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Issue 17
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DEPARTMENT OF STATE
Division of Administrative Rules

NEW YORK STATE REGISTER

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State agencies must specify in each notice which proposes a rule the last date on which they will accept public comment. Agencies must always accept public comment: for a minimum of 60 days following publication in the *Register* of a Notice of Proposed Rule Making, or a Notice of Emergency Adoption and Proposed Rule Making; and for 45 days after publication of a Notice of Revised Rule Making, or a Notice of Emergency Adoption and Revised Rule Making in the *Register*. When a public hearing is required by statute, the hearing cannot be held until 60 days after publication of the notice, and comments must be accepted for at least 5 days after the last required hearing. When the public comment period ends on a Saturday, Sunday or legal holiday, agencies must accept comment through the close of business on the next succeeding workday.

For notices published in this issue:
– the 60-day period expires on June 27, 2021
– the 45-day period expires on June 12, 2021
– the 30-day period expires on May 28, 2021

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NEW YORK STATE DEPARTMENT OF STATE

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NEW YORK STATE
REGISTER

Be a part of the rule making process!

The public is encouraged to comment on any of the proposed rules appearing in this issue. Comments must be made in writing and must be submitted to the agency that is proposing the rule. Address your comments to the agency representative whose name and address are printed in the notice of rule making. No special form is required; a handwritten letter will do. Individuals who access the online *Register* (www.dos.ny.gov) may send public comment via electronic mail to those recipients who provide an e-mail address in Notices of Proposed Rule Making. This includes Proposed, Emergency Proposed, Revised Proposed and Emergency Revised Proposed rule makings.

To be considered, comments should reach the agency before expiration of the public comment period. The law provides for a minimum 60-day public comment period after publication in the *Register* of every Notice of Proposed Rule Making, and a 45-day public comment period for every Notice of Revised Rule Making. If a public hearing is required by statute, public comments are accepted for at least five days after the last such hearing. Agencies are also required to specify in each notice the last date on which they will accept public comment.

When a time frame calculation ends on a Saturday or Sunday, the agency accepts public comment through the following Monday; when calculation ends on a holiday, public comment will be accepted through the following workday. Agencies cannot take action to adopt until the day after expiration of the public comment period.

The Administrative Regulations Review Commission (ARRC) reviews newly proposed regulations to examine issues of compliance with legislative intent, impact on the economy, and impact on affected parties. In addition to sending comments or recommendations to the agency, please do not hesitate to transmit your views to ARRC:

Administrative Regulations Review Commission
State Capitol
Albany, NY 12247
Telephone: (518) 455-5091 or 455-2731

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KEY: (P) Proposal; (RP) Revised Proposal; (E) Emergency; (EP) Emergency and Proposal; (A) Adoption; (AA) Amended Adoption; (W) Withdrawal

Individuals may send public comment via electronic mail to those recipients who provided an e-mail address in Notices of Proposed Rule Making. This includes Proposed, Emergency Proposed, Revised Proposed and Emergency Revised Proposed rule makings. Choose pertinent issue of the *Register* and follow the procedures on the website (www.dos.ny.gov)

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RULE MAKING ACTIVITIES

Each rule making is identified by an I.D. No., which consists of 13 characters. For example, the I.D. No. AAM-01-96-00001-E indicates the following:

AAM	-the abbreviation to identify the adopting agency
01	-the *State Register* issue number
96	-the year
00001	-the Department of State number, assigned upon receipt of notice.
E	-Emergency Rule Making—permanent action not intended (This character could also be: A for Adoption; P for Proposed Rule Making; RP for Revised Rule Making; EP for a combined Emergency and Proposed Rule Making; EA for an Emergency Rule Making that is permanent and does not expire 90 days after filing.)

Italics contained in text denote new material. Brackets indicate material to be deleted.

Education Department

EMERGENCY RULE MAKING

Designation of the Executive Deputy Commissioner as the Deputy Commissioner of Education as Specified in Education Law Section 101

I.D. No. EDU-04-21-00009-E
Filing No. 361
Filing Date: 2021-04-12
Effective Date: 2021-04-12

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following action:

Action taken: Amendment of section 3.8(b) of Title 8 NYCRR.

Statutory authority: Education Law, section 101

Finding of necessity for emergency rule: Preservation of general welfare.

Specific reasons underlying the finding of necessity: The proposed amendment is necessary to immediately conform the Rules of the Board of Regents to changes made in the internal organization of the State Education Department since the position of executive deputy commissioner has been filled. The proposed amendment removes provisions relating to the Counsel and designates the Executive Deputy Commissioner as the Deputy Commissioner of Education as specified in Education Law § 101, who in the absence or disability of the Commissioner or when a vacancy exists in the office of Commissioner, shall exercise and perform the functions, powers and duties of the Commissioner.

The proposed amendment was adopted as an emergency rule at the January 2021 Board of Regents meeting, effective January 12, 2021. Because the Board of Regents meets at fixed intervals, the earlier the proposed amendment could be adopted by regular (non-emergency) action, pursuant to the requirements of the State Administrative Procedure Act- including publication in the State Register and expiration of a 60-day public comment period, is the May 2021 Regents meeting, and the earliest an adoption at such meeting could be made effective would be May 26, 2021.

Therefore, a second emergency action is necessary for the preservation of the general welfare in order to immediately ensure that the Rules of the Board of Regents are immediately brought into conformance with changes in the Department's internal organization, so as to ensure that the Executive Deputy Commissioner is able to immediately carry out her duties and responsibilities relating to the Executive Deputy Commissioners' designation as the Deputy Commissioner of Education under Education Law § 101, including the ability to exercise and perform the functions, powers and duties of the Commissioner in his or her absence or disability, or if a vacancy exists in the office of the Commissioner. Additionally, such action is necessary to ensure that the emergency action taken at the January 2021 Regents meeting remains continuously in effect.

It is anticipated that the proposed rule will be presented to the Board of Regents for adoption as a permanent rule at the May 2021 meeting, which is the first scheduled meeting after expiration of the 60-day public comment period mandated by the State Administrative Procedure Act.

Subject: Designation of the Executive Deputy Commissioner as the Deputy Commissioner of Education as specified in Education Law section 101.

Purpose: To conform the Regents Rules to changes in the internal organization of the State Education Department.

Text of emergency rule: Paragraph (b) of section 3.8 of the Rules of the Board of Regents is amended to read as follows:

(b) The [counsel] *executive deputy commissioner* shall be the deputy commissioner of education as specified in section 101 of the Education Law. In the absence or disability of the commissioner or when a vacancy exists in the office of commissioner, the [counsel] *executive deputy commissioner* shall exercise and perform the functions, powers and duties conferred or imposed on the commissioner by statute and by rule of the Regents.

This notice is intended to serve only as a notice of emergency adoption. This agency intends to adopt the provisions of this emergency rule as a permanent rule, having previously submitted to the Department of State a notice of proposed rule making, I.D. No. EDU-04-21-00009-EP, Issue of January 27, 2021. The emergency rule will expire June 10, 2021.

Text of rule and any required statements and analyses may be obtained from: Kirti Goswami, NYS Education Department, Office of Counsel, 89 Washington Avenue, Room 112 EB, Albany, NY 12234, (518) 474-6400, email: legal@nysed.gov

Regulatory Impact Statement

1. STATUTORY AUTHORITY:

Education Law § 101 (not subdivided) designates the Board of Regents as the head of the State Education Department and the Commissioner of Education as Chief administrative officer. The statute provides that the Regents may also appoint and, at please, remove a deputy commissioner of education, who shall perform such duties as the Regents may assign by rule and who, in the absence or disability of the Commissioner or when a vacancy exists in the office of Commissioner, shall exercise and perform the functions, powers and duties conferred or imposed on the Commissioner by the Education Law.

2. LEGISLATIVE OBJECTIVES:

Consistent with the authority granted to the Board of Regents pursuant to Education Law section 101, the proposed amendment designates the Executive Deputy Commissioner of the State Education Department as the deputy commissioner of education as specified in Education Law section 101: "…who shall perform such duties as the regents may assign to him by rule and who, in the absence or disability of the commissioner or

when a vacancy exists in the office of commissioner, shall exercise and perform the functions, power and duties conferred or imposed on the commissioner by this chapter."

3. NEEDS AND BENEFITS:

The proposed amendment is necessary to conform the Rules of the Board of Regents to changes made in the internal organization of the State Education Department, regarding filing the position of Acting Executive Deputy Commissioner. The amendment will designate the Executive Deputy Commissioner of the State Education Department as the deputy commissioner of education as specified in Education Law section 101, who, in the absence or disability of the Commissioner or when a vacancy exists in the office of Commissioner, shall exercise and perform the functions, powers and duties of the Commissioner.

4. COSTS:

a. Costs to State government: The amendments do not impose any costs on State government, including the State Education Department.

b. Costs to local government: The amendments do not impose any costs on local government.

c. Costs to private regulated parties: The amendments do not impose any costs on private regulated parties.

d. Costs to regulating agency for implementation and continued administration: The amendments do not impose any costs on the regulating agency for implementation and continued administration.

5. LOCAL GOVERNMENT MANDATES:

The proposed amendment relates solely to the internal administration of the State Education Department and does not impose any program, service, duty or responsibility upon any county, city, town, village, school district, fire district or other special district.

6. PAPERWORK:

The propose amendment does not impose any reporting or other paperwork requirements.

7. DUPLICATION:

The proposed amendment relates solely to the internal administration of the State Education Department. There are no relevant statutes, rules, or other legal requirements of the State and Federal governments, including those which may duplicate, overlap or conflict with the rule.

8. ALTERNATIVES:

The proposed amendment is necessary to conform the Rules of the Board of Regents to changes in the internal organization of the State Education Department. There are no significant alternatives and none were considered.

9. FEDERAL STANDARDS:

There are no applicable standards of the Federal government for the subject are of the proposed amendment, which relates solely to the internal administration of the State Education Department.

10. COMPLIANCE SCHEDULE:

The proposed amendment relates solely to the internal administration of the State Education Department and does not impose any compliance requirements on any regulated parties.

Regulatory Flexibility Analysis

The proposed amendment relates solely to the internal organization of the State Education Department, regarding filling the position of Acting Executive Deputy Commissioner and does not impose any adverse economic impact, reporting, recordkeeping or other compliance requirements on small businesses or local governments. Because it is evident from the nature of the proposed amendment that it does not affect small businesses or local governments, no further steps were needed to ascertain that fact and non were taken. Accordingly, a regulatory flexibility analysis for small businesses and local governments is not required and one has not been prepared.

Rural Area Flexibility Analysis

The proposed amendment relates solely to the internal organization of the State Education Department regarding filling the position of Acting Executive Deputy Commissioner and does not impose any adverse economic impact, reporting, recordkeeping or other compliance in public and private sector interests in rural areas. Because it is evident from the nature of the proposed amendment that it does not affect such interests, no further steps were needed to ascertain that fact and non were taken. Accordingly, a rural area flexibility analysis is not required and one has not been prepared.

Job Impact Statement

The proposed amendment relates solely to the internal organization of the State Education Department regarding filling the position of Acting Executive Deputy Commissioner and will not have a substantial impact on jobs and employment opportunities. Because it is evident from the nature of the proposed amendment that no substantial impact will occur, no further steps were needed to ascertain that fact and non were taken. Accordingly, a job impact statement is not required and one has not been prepared.

EMERGENCY/PROPOSED RULE MAKING NO HEARING(S) SCHEDULED

Including Emergency Protocols in District-Wide School Safety Plans

I.D. No. EDU-17-21-00009-EP
Filing No. 370
Filing Date: 2021-04-13
Effective Date: 2021-04-13

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following action:

Proposed Action: Amendment of section 155.17(c) of Title 8 NYCRR.

Statutory authority: Education Law, sections 101, 207, 305, 2801-a; L. 2020, ch. 168 as amended by L. 2021, ch. 30

Finding of necessity for emergency rule: Preservation of public health and general welfare.

Specific reasons underlying the finding of necessity: On September 7, 2020 (Labor Day), Governor Cuomo signed into law Chapter 168 of the Laws of 2020 that requires public employers, including public school districts, to adopt a continuation of operations plan in the event that the Governor declares a public health emergency involving communicable disease. The legislation (S.8617-B / A.10832) amends subdivision 2 of section 2801-a of New York Education Law to require that District Safety Plans include protocols for responding to a declared public health emergency involving a communicable disease that are "substantially consistent" with the provisions of section 27-c of the Labor Law. A technical chapter amendment (S.01295 / A.009980) to the legislation became effective February 16, 2021 (Chapter 30 of the Laws of 2021). The proposed rule is necessary to conform the Commissioner's regulations to Chapter 168 of the Laws of 2020, as amended by Chapter 30 of the Laws of 2021.

Since the Board of Regents meets at fixed intervals, the earliest the proposed rule can be presented for adoption, after expiration of the required 60-day public comment period provided for in the State Administrative Procedure Act (SAPA) sections 201(1) and (5), would be the September 2021 Regents meeting. Further, pursuant to SAPA section 203(1), the earliest effective date of the proposed rule, if adopted at the September meeting, would be September 29, 2021, the date the Notice of Adoption would be published in the State Register. However, Chapter 168 of the Laws of 2020 (Chapter 168), as amended by Chapter 30 of the Laws of 2021 (Chapter 30) became effective September 7, 2020.

Therefore, emergency action is necessary at the April 2021 meeting for the preservation of the public health and the general welfare in order to immediately implement the requirements of Chapter 168, as amended by Chapter 30, which requires school districts to include protocols for responding to a declared state disaster emergency involving a communicable disease in their district-wide safety plans.

It is anticipated that the proposed rule will be presented to the Board of Regents for permanent adoption at the September 2021 Regents meeting, after publication of the proposed amendment in the State Register and expiration of the 60-day public comment period required under the State Administrative Procedure Act. Because the emergency regulation will expire before the September 2021 Regents meeting, it is anticipated that two additional emergency actions will be presented for adoption at the June and July Regents meetings.

Subject: Including Emergency Protocols in District-Wide School Safety Plans.

Purpose: To implement chapter 168 of the Laws of 2020, as amended by chapter 30 of the Laws of 2021.

Text of emergency/proposed rule: Subdivision (c) of section 155.17 of the Regulations of the Commissioner of Education shall be amended to read as follows:

(c) District-wide school safety plans and building-level emergency response plans. District-wide school safety plans and building-level emergency response plans shall be designed to prevent or minimize the effects of violent incidents*, declared state disaster emergency involving a communicable disease or local public health emergency declaration* and *other* emergencies and to facilitate the coordination of schools and school districts with local and county resources in the event of such incidents or emergencies.

(1) District-wide school safety plans. A district-wide school safety plan shall be developed by the district-wide school safety team and shall include, but not be limited to:

(i) . . .

(ii) . . .
(iii) . . .
(iv) . .
(v) . . .
(vi) . . .
(vii) . . .
(viii) . . .
(ix) . . .
(x) . . .
(xi) . . .
(xii) . . .
(xiii) . . .
(xiv) . . .
(xv) . . .
(xvi) . . .

(xvii) in the case of a school district, except in a school district in a city having more than one million inhabitants, a system for informing all educational agencies within such school district of a disaster; [and]

(xix) the designation of the superintendent, or superintendent's designee, as the district chief emergency officer whose duties shall include, but not be limited to:

(a) **...**
(b) **...**
(c) **...**
(d) **...**
(e) **...**
(f) **...**
(g) **...**

(h) ensure the completion and yearly update of building-level emergency response plans by the dates designated by the commissioner[.] *; and*

(xx) ensure the development of protocols for responding to a declared state disaster emergency involving a communicable disease that are substantially consistent with the provisions of section 27-c of the Labor Law.

This notice is intended: to serve as both a notice of emergency adoption and a notice of proposed rule making. The emergency rule will expire July 11, 2021.

Text of rule and any required statements and analyses may be obtained from: Kirti Goswami, NYS Education Department, Office of Counsel, 89 Washington Avenue, Room 112EB, Albany, NY 12234, (518) 474-6400, email: legal@nysed.gov

Data, views or arguments may be submitted to: Kathleen DeCataldo, Assistant Commissioner, Office of Student Support Services, NYS Education Department, 89 Washington Avenue, Room 218-M-EB, Albany, NY 12234, (518) 473-2890, email: REGCOMMENTS@nysed.gov

Public comment will be received until: 60 days after publication of this notice.

Regulatory Impact Statement

1. STATUTORY AUTHORITY:

Education Law § 101 (not subdivided) charges the Department with the general management and supervision of all public schools and all of the educational work of the state.

Education Law § 207 (not subdivided) grants general rule-making authority to the Regents to carry into effect State educational laws and policies.

Education Law §§ 305(1) and (2) provide Commissioner, as chief executive officer of the State's education system, with general supervision over all schools and institutions subject to the Education Law, or any statute relating to education, and responsibility for executing all educational policies of the Regents.

Education Law § 2801-a requires the board of education or trustees of every school district, board of cooperative educational services, county vocational education and extension board, and the chancellor of the city school district of the city of New York to adopt and amend a comprehensive district-wide school safety plan and building-level emergency response and management plan.

Chapter 168 of the Laws of 2020, as amended by Chapter 30 of the Laws of 2021, adds a new section 27-c to the labor law which requires public employers to adopt a plan for operations in the event of a declared public health emergency involving a communicable disease which shall include identification of essential personnel, needed personal protective equipment, staggering work shifts and providing necessary technology for telecommuting; and amends Education Law § 2801-a requiring school district-wide safety plans to include protocols for responding to a declared public health emergency involving a communicable disease that are substantially consistent with the provisions of Labor Law § 27-c.

2. LEGISLATIVE OBJECTIVES:

The proposed amendment is consistent with the above statutory authority and is necessary to implement the provisions of Chapter 168 of the Laws of 2020 (Chapter 168), as amended by Chapter 30 of the laws of 2021 (Chapter 30) by requiring school district-wide safety plans to include protocols for responding to a declared state disaster emergency involving a communicable disease that are substantially consistent with the provisions of section 27-c of the Labor Law.

3. NEEDS AND BENEFITS:

On September 7, 2020 (Labor Day), Governor Cuomo signed into law Chapter 168 of the Laws of 2020 that requires public employers, including public school districts, to adopt a continuation of operations plan in the event that the Governor declares a state disaster emergency involving a communicable disease. The legislation (S.8617-B / A.10832) amended subdivision 2 of section 2801-a of New York Education Law to require that District Safety Plans include protocols for responding to a declared state disaster emergency involving a communicable disease that are "substantially consistent" with the provisions of section 27-c of the Labor Law. A technical chapter amendment (S.01295 / A.009980) to the legislation became effective February 16, 2021 (Chapter 30 of the Laws of 2021).

As per section 27-c of the Labor Law, the operations plan must include, but is not limited to:

a) A list and description of the types of positions considered essential in the event of a State-ordered reduction of in-person workforce. For this purpose, essential is defined as required to be physically present at a work site to perform his or her job. Such designation may be changed at any time at the sole discretion of the employer.

b) A description of protocols the employer will follow in order for nonessential employees to telecommute including, but not limited to, facilitating or requesting the procurement, distribution, downloading, and installation of any needed technology, including software, data, and the transferring of office phone lines to work or personal cell phones as practicable or applicable to the workplace, and may include devices.

c) A description of how the employer will, to the extent possible, stagger work shifts of essential employees to reduce overcrowding on public transportation and at worksites.

d) Protocols the employer will implement to procure personal protective equipment (PPE), defined as equipment worn to minimize exposure to hazards, including gloves, masks, face shields, foot and eye protection, protective hearing devices, respirators, hard hats, and disposable gowns and aprons and, for essential employees, a quantity sufficient to provide PPE to each essential employee to meet his or her tasks and needs during any given work shift. A plan for storage of equipment and access to equipment must be included.

e) Protocols in the event an employee is exposed to a known case of the disease, exhibits symptoms of the disease, or tests positive for the disease to prevent the spread or contraction in the workplace. The protocols shall not violate any existing federal, state, or local law regarding sick leave or health information privacy and must include detailed actions to immediately and thoroughly disinfect the work area, common area surface and shared equipment. The protocols must also describe the employer policy on available leave in the event of the need of an employee to receive testing, treatment, isolation, or quarantine.

f) Protocols for documenting hours and work locations, including off-site visits, for essential employees. The protocol shall be designed only to aid in tracking of the disease and to identify exposed employees and contractors to facilitate the provision of any benefits which may be available.

g) Protocols for working with the employer's locality to identify sites for emergency housing for essential employees to further contain the spread of the communicable disease to the extent applicable to the needs of the workplace.

h) Protocols for implementing any other requirements determined by the Department of Health such as contact tracing or testing, social distancing, hand hygiene and disinfectant, or mask wearing.

The employer must consider and respond to recommendations received from the recognized or certified representatives of the employer's employees in writing, within a reasonable timeframe. A copy of the final version of the plan shall be published in a clear and conspicuous location, and in the employee handbook, and in a location accessible on either the employer's website or on the internet accessible by employees. No employer shall take retaliatory action or otherwise discriminate against any employee for making suggestions or recommendations regarding the content of the plan. ''Retaliatory action'' is defined as the discharge, suspension, demotion, or discrimination against any employee, or other adverse employment action taken against an employee in the terms and conditions of employment.

Therefore, to implement the provisions of Chapter 168 of the Laws of 2020, as amended by Chapter 30 of the Laws of 2021, the Department proposes to amend section 155.17 of the Commissioner's regulations to require that school district-wide safety plans include protocols for responding to a declared state disaster emergency involving a communicable dis-

ease that are substantially consistent with the provisions of section 27-c of the Labor Law.

4. COSTS:

a. Costs to State government: The amendments do not impose any costs on State government.

b. Costs to local government: The amendments do not impose any costs on local government beyond those imposed by statute.

c. Costs to private regulated parties: The amendments do not impose any costs on private regulated parties beyond those imposed by the statute.

d. Costs to regulating agencies for implementation and continued administration: There are no additional costs to the State Education Department.

5. LOCAL GOVERNMENT MANDATES:

The proposed amendment is necessary to conform the Commissioner's regulations to Chapter 168, as amended by Chapter 30, and does not impose any additional program, service, duty or responsibility upon any local government beyond those inherent in the statute.

6. PAPERWORK:

The proposed amendment is necessary to implement Chapter 168, as amended by Chapter 30, and does not impose any specific recordkeeping, reporting or other paperwork requirements beyond those inherent in the statute. Consistent with the statute, the proposed amendment requires that school district-wide safety plans must include protocols for responding to a declared state disaster emergency involving a communicable disease that are substantially consistent with the provisions of section 27-c of the Labor Law.

7. DUPLICATION:

The proposed amendments do not duplicate existing State or Federal requirements.

8. ALTERNATIVES:

The proposed amendment is necessary to implement Chapter 168, as amended by Chapter 30. Therefore, no alternatives were considered.

9. FEDERAL STANDARDS:

There are no applicable Federal standards.

10. COMPLIANCE SCHEDULE:

The emergency rule will become effective April 13, 2021. It is anticipated that the proposed amendment will be presented to the Board of Regents for permanent adoption at its September 2021 meeting. If adopted at the September 2021 meeting, the proposed amendment will become effective as a permanent rule on September 29, 2021. It is anticipated that regulated parties will be able to comply with the proposed amendment by the effective date.

Regulatory Flexibility Analysis

(a) Small businesses:

The proposed amendment is necessary to implement Chapter 168 of the Laws of 2020, as amended by Chapter 30 of the Laws of 2021, and does not impose any adverse economic impact, reporting, record keeping or any other compliance requirements on small businesses. Because it is evident from the nature of the proposed amendment that it does not affect small businesses, no further measures were needed to ascertain that fact and none were taken. Accordingly, a regulatory flexibility analysis for small businesses is not required and one has not been prepared.

1. EFFECT OF RULE:

The proposed amendment applies to each of the 695 public school districts in the State. The purposes of the proposed amendment is to implement the provisions of Chapter 168 of the Laws of 2020 (Chapter 168), as amended by Chapter 30 of the laws of 2021 (Chapter 30) which requires school district-wide safety plans to include protocols for responding to a declared state disaster emergency involving a communicable disease that are substantially consistent with the provisions of section 27-c of the Labor Law.

2. COMPLIANCE REQUIREMENTS:

The proposed amendment is necessary to timely implement Chapter 168, as amended by Chapter 30 and does not impose any additional compliance requirements beyond those inherent in the statute.

3. NEEDS AND BENEFITS:

On September 7, 2020 (Labor Day), Governor Cuomo signed into law Chapter 168 of the Laws of 2020 that requires public employers, including public school districts, to adopt a continuation of operations plan in the event that the Governor declares a state disaster emergency involving a communicable disease. The legislation (S.8617-B / A.10832) amended subdivision 2 of section 2801-a of New York Education Law to require that District Safety Plans include protocols for responding to a declared state disaster emergency involving a communicable disease that are "substantially consistent" with the provisions of section 27-c of the Labor Law. A technical chapter amendment (S.01295 / A.009980) to the legislation became effective February 16, 2021 (Chapter 30 of the Laws of 2021).

As per section 27-c of the Labor Law, the operations plan must include, but is not limited to:

a) A list and description of the types of positions considered essential in the event of a State-ordered reduction of in-person workforce. For this purpose, essential is defined as required to be physically present at a work site to perform his or her job. Such designation may be changed at any time at the sole discretion of the employer.

b) A description of protocols the employer will follow in order for nonessential employees to telecommute including, but not limited to, facilitating or requesting the procurement, distribution, downloading, and installation of any needed technology, including software, data, and the transferring of office phone lines to work or personal cell phones as practicable or applicable to the workplace, and may include devices.

c) A description of how the employer will, to the extent possible, stagger work shifts of essential employees to reduce overcrowding on public transportation and at worksites.

d) Protocols the employer will implement to procure personal protective equipment (PPE), defined as equipment worn to minimize exposure to hazards, including gloves, masks, face shields, foot and eye protection, protective hearing devices, respirators, hard hats, and disposable gowns and aprons and, for essential employees, a quantity sufficient to provide PPE to each essential employee to meet his or her tasks and needs during any given work shift. A plan for storage of equipment and access to equipment must be included.

e) Protocols in the event an employee is exposed to a known case of the disease, exhibits symptoms of the disease, or tests positive for the disease to prevent the spread or contraction in the workplace. The protocols shall not violate any existing federal, state, or local law regarding sick leave or health information privacy and must include detailed actions to immediately and thoroughly disinfect the work area, common area surface and shared equipment. The protocols must also describe the employer policy on available leave in the event of the need of an employee to receive testing, treatment, isolation, or quarantine.

f) Protocols for documenting hours and work locations, including offsite visits, for essential employees. The protocol shall be designed only to aid in tracking of the disease and to identify exposed employees and contractors to facilitate the provision of any benefits which may be available.

g) Protocols for working with the employer's locality to identify sites for emergency housing for essential employees to further contain the spread of the communicable disease to the extent applicable to the needs of the workplace.

h) Protocols for implementing any other requirements determined by the Department of Health such as contact tracing or testing, social distancing, hand hygiene and disinfectant, or mask wearing.

The employer must consider and respond to recommendations received from the recognized or certified representatives of the employer's employees in writing, within a reasonable timeframe. A copy of the final version of the plan shall be published in a clear and conspicuous location, and in the employee handbook, and in a location accessible on either the employer's website or on the internet accessible by employees. No employer shall take retaliatory action or otherwise discriminate against any employee for making suggestions or recommendations regarding the content of the plan. ''Retaliatory action'' is defined as the discharge, suspension, demotion, or discrimination against any employee, or other adverse employment action taken against an employee in the terms and conditions of employment.

Therefore, to implement the provisions of Chapter 168 of the Laws of 2020, as amended by Chapter 30 of the Laws of 2021, the Department proposes to amend section 155.17 of the Commissioner's regulations to require that school district-wide safety plans include protocols for responding to a declared state disaster emergency involving a communicable disease that are substantially consistent with the provisions of section 27-c of the Labor Law.

4. PROFESSIONAL SERVICES:

The proposed rule does not impose any additional professional services requirements on local governments.

5. COMPLIANCE COSTS:

The proposed amendment is necessary to conform the Commissioner's Regulations Chapter 168, as amended by Chapter 30 and does not impose any additional costs on the State, regulated parties, or the State Education Department, beyond those inherent in the statute.

6. ECONOMIC AND TECHNOLOGICAL FEASIBILITY:

The proposed rule does not impose any additional costs or technological requirements on local governments.

7. MINIMIZING ADVERSE IMPACT:

The proposed amendment implements Chapter 168, as amended by Chapter 30 and applies to all school districts in the State. Accordingly, no alternatives were considered.

8. LOCAL GOVERNMENT PARTICIPATION:

Comments on the proposed rule have been solicited from school districts through the offices of the district superintendents of each supervisory district in the State, and from the chief school officers of the five big city school districts.

Rural Area Flexibility Analysis

1. TYPES AND ESTIMATED NUMBERS OF RURAL AREAS:

The proposed rule applies to all school districts in the State, including those located in the 44 rural counties with less than 200,000 inhabitants and the 71 towns in urban counties with a population density of 150 per square mile or less.

2. REPORTING, RECORDKEEPING AND OTHER COMPLIANCE REQUIREMENTS; AND PROFESSIONAL SERVICES:

The proposed amendment is necessary to timely implement Chapter 168 of the Laws of 2020 (Chapter 168), as amended by Chapter 30 of the laws of 2021 (Chapter 30), and does not impose any specific recordkeeping, reporting or other paperwork requirements beyond those inherent in the statute. Consistent with the statute, the proposed amendment requires that school district-wide safety plans must include protocols for responding to a declared state disaster emergency involving a communicable disease that are substantially consistent with the provisions of section 27-c of the Labor Law.

3. COMPLIANCE COSTS:

The proposed amendment is necessary to conform to Chapter 168, as amended by Chapter 30, and does not impose any additional costs on the State, regulated parties, or the State Education Department, beyond those inherent in the statute.

4. MINIMIZING ADVERSE IMPACT:

Because the statutory requirement applies to all schools in the State, it is not possible to establish differing compliance or reporting requirements or timetables or to exempt schools in rural areas from coverage by the proposed amendment.

5. RURAL AREA PARTICIPATION:

Comments on the proposed rule were solicited from school districts through the offices of the district superintendents of each supervisory district in the State, from the chief school officers of the five big city school districts, including those in rural areas.

Job Impact Statement

The proposed rule is necessary to implement Chapter 168 of the Laws of 2020, and Chapter 30 of the Laws of 2021 which requires school district-wide safety plans to include protocols for responding to a declared state disaster emergency involving a communicable disease that are substantially consistent with the provisions of section 27-c of the Labor Law.

Because it is evident from the nature of the proposed rule that it will have no impact on the number of jobs or employment opportunities in New York State, no further steps were needed to ascertain that fact and none were taken. Accordingly, a job impact statement is not required and one has not been prepared.

EMERGENCY/PROPOSED RULE MAKING NO HEARING(S) SCHEDULED

Students' Eligibility to Participate in Interscholastic Competition Until the Conclusion of the Interscholastic Spring Season

I.D. No. EDU-17-21-00010-EP

Filing No. 372

Filing Date: 2021-04-13

Effective Date: 2021-04-13

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following action:

Proposed Action: Amendment of section 134.5 of Title 8 NYCRR.

Statutory authority: Education Law, sections 101, 207, 305, 803 and 3204

Finding of necessity for emergency rule: Preservation of general welfare.

Specific reasons underlying the finding of necessity: Due to the COVID-19 crisis, the New York State Public High School Athletic Association has extended the interscholastic athletics spring season until June 30, 2021. The Department received inquiries on whether students who graduate prior to June 30, 2021 would be able to complete participation in their spring sports. Moreover, many of the student athletes in nonpublic schools graduate in May and/or early June, prior to the end of the spring interscholastic season. Currently, section 135.4 of the Commissioner's regulations does not permit participation in athletic competition after a student graduates. Commissioner's regulation § 135.4(b)(1) states that "[a] pupil shall be eligible for senior high school athletic competition in a sport during each of four consecutive seasons of such sport commencing with the pupil's entry into the ninth grade and prior to graduation..." The proposed amendment would permit eligible student athletes to participate in interscholastic sports and complete their spring season during the year in which they graduate, including when such students' graduation ceremony occurs prior to the end of the interscholastic athletic spring season.

Because the Board of Regents meets at fixed intervals, the earliest the proposed amendment could be adopted by regular (nonemergency) action, pursuant to the requirements of the State Administrative Procedure Act, including publication in the State Register and expiration of a 60-day public comment period, is the September 2021 Regents meeting, and the earliest an adoption at such meeting could be made effective would be September 29, 2021.

Therefore, emergency action is necessary at the April 2021 meeting for the preservation of the general welfare in order to ensure that eligible students are able to participate in interscholastic competition until conclusion of the interscholastic athletic spring season.

It is anticipated that the proposed rule will be presented to the Board of Regents for adoption as a permanent rule at the September 2021 meeting, which is the first scheduled meeting after expiration of the 60-day public comment period mandated by the State Administrative Procedure Act. However, since the emergency regulation will expire before the September 2021 Regents meeting, it is anticipated that two additional emergency actions will be presented for adoption at the June and July 2021 Regents meetings.

Subject: Students' Eligibility to Participate in Interscholastic Competition Until the Conclusion of the Interscholastic Spring Season.

Purpose: To ensure that eligible students are able to complete their interscholastic athletic spring season the year they graduate.

Text of emergency/proposed rule: 1. The opening paragraph of subclause (1) of clause (b) of subparagraph (ii) of paragraph (7) of subdivision (c) of section 134.5 of the Regulations of the Commissioner of Education is amended to read as follows:

(1) Duration of competition. A pupil shall be eligible for senior high school athletic competition in a sport during each of four consecutive seasons of such sport commencing with the pupil's entry into the ninth grade and prior to graduation, except *that otherwise eligible students graduating at the end of the spring semester may continue to participate in athletics until the conclusion of the spring interscholastic athletic season, or except* as otherwise provided in this subclause, or except as authorized by a waiver granted under clause (d) of this subparagraph to a student with a disability, or as authorized for participation in inclusive athletic activities pursuant to clause (e) of this paragraph. If a board of education has adopted a policy, pursuant to subclause (a)(4) of this subparagraph, to permit pupils in the seventh and eighth grades to compete in senior high school athletic competition, such pupils shall be eligible for competition during five consecutive seasons of a sport commencing with the pupil's entry into the eighth grade, or six consecutive seasons of a sport commencing with the pupil's entry into the seventh grade. A pupil enters competition in a given year when the pupil is a member of the team in the sport involved, and that team has completed at least one contest. A pupil shall be eligible for interschool competition in grades 9, 10, 11 and 12 until the last day of the school year in which he or she attains the age of 19, except as otherwise provided in subclause (a)(4) or clause (d) of this subparagraph, or in this subclause. The eligibility for competition of a pupil who has not attained the age of 19 years prior to July 1st may be extended under the following circumstances.

2. Subclause (2) of clause (b) of subparagraph (ii) of paragraph (7) of subdivision (c) of section 134.5 of the Regulations of the Commissioner of Education is amended to read as follows:

(2) Registration. A pupil shall be eligible for interschool competition or inclusive athletic activities in a sport during a semester, provided that he *or she* is a bona fide student, enrolled during the first 15 school days of such semester, is registered in the equivalent of three regular courses, is meeting the physical education requirement, *has not graduated from high school, except that otherwise eligible students graduating at the end of the spring semester may continue to participate in athletics until the conclusion of the spring interscholastic athletic season* and has been in regular attendance 80 percent of the school time, bona fide absence caused by personal illness excepted. Nothing in this clause shall be construed to preclude a chief school officer, athletic association, league or section from duly considering a request for an accommodation on behalf of an otherwise qualified student with a disability who is not registered in the equivalent of three regular courses as a result of his or her individualized education program under the Individuals with Disabilities Education Act or education plan approved under section 504 of the Rehabilitation Act of 1973 or the Americans with Disabilities Act.

3. Clauses (d) and (e) of subparagraph (ii) of paragraph (7) of subdivision (c) of section 134.5 of the Regulations of the Commissioner of Education is amended to read as follows:

(d) Waiver from the age requirement and four-year limitation

for interschool athletic competition for students with disabilities in senior high school grades 9, 10, 11, and 12. For purposes of this clause, the term non-contact sport shall include swimming and diving, golf, track and field, cross country, rifle, bowling, gymnastics, skiing and archery, and any other such non-contact sport deemed appropriate by the Commissioner. A student with a disability, as defined in section 4401 of the Education Law, who has not yet graduated from high school*, except that otherwise eligible students graduating at the end of the spring semester may continue to participate in athletics until the conclusion of the spring interscholastic athletic season,* may be eligible to participate in a senior high school noncontact athletic competition under the following limited conditions:

(1) …

(i) such student has not graduated from high school as a result of his or her disability delaying his or her education for one year or more;

(ii) …

(iii) …

(iv) …

(2) ...

(e) Exemption from the age requirement and four-year limitation for inclusive athletic activities. A student who is enrolled in grades 9 through 12 and has not yet graduated from high school*, except that otherwise eligible students graduating at the end of the spring semester may continue to participate in athletics until the conclusion of the spring interscholastic athletic season,* may be eligible to participate in inclusive athletic activities under the following limited conditions:

(1) such student may be granted an exemption to the age requirement and four-year limitation prescribed in subclause (b)(1) of this subparagraph. An exemption shall only be granted upon a determination by the superintendent of schools or chief executive officer of the school or school system, as applicable, that the given student meets the following criteria:

(i) such student is a bona fide student of the high school for which the student wishes to participate in inclusive athletic activities and has not graduated from high school*, except that otherwise eligible students graduating at the end of the spring semester may continue to participate in athletics until the conclusion of the spring interscholastic athletic season*; and

(ii) …

(iii) …

(2) …

This notice is intended: to serve as both a notice of emergency adoption and a notice of proposed rule making. The emergency rule will expire July 11, 2021.

Text of rule and any required statements and analyses may be obtained from: Kirti Goswami, NYS Education Department, Office of Counsel, 89 Washington Avenue, Room 112EB, Albany, NY 12234, (518) 474-6400, email: legal@nysed.gov

Data, views or arguments may be submitted to: Marybeth Casey, Assistant Commissioner, NYS Education Department, Office of Curriculum & Instruction, 89 Washington Avenue, Room 2M, Albany, NY 12234, (518) 474-6400, email: REGCOMMENTS@nysed.gov

Public comment will be received until: 60 days after publication of this notice.

Regulatory Impact Statement

1. STATUTORY AUTHORITY:

Education Law § 101 (not subdivided) charges the Department with the general management and supervision of all public schools and all of the educational work of the state.

Education Law § 207 (not subdivided) grants general rule-making authority to the Regents to carry into effect State educational laws and policies.

Education Law § 305 authorizes the Commissioner to enforce the educational policies of this State and execute all educational policies determined by the Regents and shall prescribe the licensing of teachers employed in this State.

Education Law § 803 provides that all pupils above the age of eight years in all elementary and secondary schools shall receive physical education instruction under the direction of the Commissioner of Education as the regents may determine.

Education Law § 3204 provides that minors required to attend upon instruction pursuant to the Compulsory Education Law may attend at a public school or elsewhere and sets forth the requirements of such instruction.

2. LEGISLATIVE OBJECTIVES:

The proposed amendment is consistent with the above statutory authority and is necessary to ensure that eligible students are able to complete their interscholastic athletic spring season during the year in which they graduate.

3. NEEDS AND BENEFITS:

Due to the COVID-19 crisis, the New York State Public High School Athletic Association has extended the interscholastic athletics spring season until June 30, 2021. The Department received inquiries on whether students who graduate prior to June 30, 2021 would be able to complete participation in their spring sports. Moreover, many of the student athletes in nonpublic schools graduate in May and/or early June, prior to the end of the spring interscholastic season. Currently, section 135.4 of the Commissioner's regulations does not permit participation in athletic competition after a student graduates. Commissioner's regulation § 135.4(b)(1) states that "[a] pupil shall be eligible for senior high school athletic competition in a sport during each of four consecutive seasons of such sport commencing with the pupil's entry into the ninth grade and prior to graduation..."

Therefore, the Department proposes to amend section 135.4 of the Commissioner's regulations to clarify that eligible student athletes may participate in interscholastic sports and complete their spring season during the year in which they graduate, including when such students' graduation ceremony occurs prior to the end of the interscholastic athletic spring season. No other eligibility requirements are altered by this proposed amendment.

4. COSTS:

a. Costs to State government: The amendments do not impose any costs on State government.

b. Costs to local government: The amendments do not impose any costs on local government.

c. Costs to private regulated parties: The amendments do not impose any costs on private regulated parties.

d. Costs to regulating agencies for implementation and continued administration: There are no additional costs to the State Educaiton Department.

5. LOCAL GOVERNMENT MANDATES:

The proposed amendments do not impose any additional program, service, duty or responsibility upon any local government.

6. PAPERWORK:

The proposed amendments do not impose any additional paperwork requirements.

7. DUPLICATION:

The proposed amendments do not duplicate existing State or Federal requirements.

8. ALTERNATIVES:

The proposed amendment is necessary to ensure that eligible students are able to complete their interscholastic athletic spring season during the year in which they graduate. There are no significant alternatives to the proposed amendment and none were considered.

9. FEDERAL STANDARDS:

There are no applicable Federal standards.

10. COMPLIANCE SCHEDULE:

The emergency rule will become effective April 13, 2021. It is anticipated that the proposed amendment will be presented to the Board of Regents for permanent adoption at its September 2021 meeting. If adopted at the September 2021 meeting, the proposed amendment will become effective as a permanent rule on September 29, 2021. It is anticipated that regulated parties will be able to comply with the proposed amendment by the effective date.

Regulatory Flexibility Analysis

(a) Small businesses:

The proposed rule amends section 135.4 of the Commissioner's regulations to clarify that eligible student athletes may participate in interscholastic sports and complete their spring season during the year in which they graduate, including when such students' graduation ceremony occurs prior to the end of the interscholastic athletic spring season. No other eligibility requirements are altered by this proposed amendment. The proposed amendments do not impose any adverse economic impact, reporting, recordkeeping or any other compliance requirements on small businesses. Because it is evident from the nature of the proposed amendments that they do not affect small businesses, no further measures were needed to ascertain that fact, and none were taken. Accordingly, a regulatory flexibility analysis for small businesses is not required and one has not been prepared.

(b) Local governments:

1. EFFECT OF RULE:

The proposed amendment applies to each of the 695 public school districts in the state. The purpose of the proposed rule is to clarify that eligible student athletes may participate in interscholastic sports and complete their spring season during the year in which they graduate, including when such students' graduation ceremony occurs prior to the end of the interscholastic athletic spring season. No other eligibility requirements are altered by this proposed amendment.

2. COMPLIANCE REQUIREMENTS:

The proposed rule merely clarifies that eligible student athletes may

participate in interscholastic sports and complete their spring season during the year in which they graduate, including when such students' graduation ceremony occurs prior to the end of the interscholastic athletic spring season. No other eligibility requirements are altered by this proposed amendment. Thus, the proposed rule does not impose any additional program, service, duty or responsibility upon local governments.

3. PROFESSIONAL SERVICES:

The proposed amendment does not impose any additional professional services requirements on local governments.

4. COMPLIANCE COSTS:

The proposed amendment will not impose any additional costs on local governments beyond.

5. ECONOMIC AND TECHNOLOGICAL FEASIBILITY:

The proposed rule does not impose any additional costs or technological requirements on local governments.

6. MINIMIZING ADVERSE IMPACT:

The proposed amendment is necessary to ensure that eligible students are able to complete their interscholastic athletic spring season during the year in which they graduate. Accordingly, no alternatives were considered.

7. LOCAL GOVERNMENT PARTICIPATION:

Comments on the proposed rule were solicited from school districts through the offices of the district superintendents of each supervisory district in the State and from the chief school officers of the five big city school districts.

Rural Area Flexibility Analysis

Due to the COVID-19 crisis, the New York State Public High School Athletic Association has extended the interscholastic athletics spring season until June 30, 2021. The Department received inquiries on whether students who graduate prior to June 30, 2021 would be able to complete participation in their spring sports. Moreover, many of the student athletes in nonpublic schools graduate in May and/or early June, prior to the end of the spring interscholastic season. Currently, section 135.4 of the Commissioner's regulations does not permit participation in athletic competition after a student graduates. Commissioner's regulation § 135.4(b)(1) states that "[a] pupil shall be eligible for senior high school athletic competition in a sport during each of four consecutive seasons of such sport commencing with the pupil's entry into the ninth grade and prior to graduation..."

Therefore, the Department proposes to amend section 135.4 of the Commissioner's regulations to clarify that eligible student athletes may participate in interscholastic sports and complete their spring season during the year in which they graduate, including when such students' graduation ceremony occurs prior to the end of the interscholastic athletic spring season. No other eligibility requirements are altered by this proposed amendment.

Thus, the proposed amendment does not adversely impact entities in rural areas of New York State. Accordingly, no further steps were needed to ascertain the impact of the proposed amendment on entities in rural areas and none were taken. Thus, a rural flexibility analysis is not required and one has not been prepared.

Job Impact Statement

Due to the COVID-19 crisis, the New York State Public High School Athletic Association has extended the interscholastic athletics spring season until June 30, 2021. The Department received inquiries on whether students who graduate prior to June 30, 2021 would be able to complete participation in their spring sports. Moreover, many of the student athletes in nonpublic schools graduate in May and/or early June, prior to the end of the spring interscholastic season. Currently, section 135.4 of the Commissioner's regulations does not permit participation in athletic competition after a student graduates. Commissioner's regulation § 135.4(b)(1) states that "[a] pupil shall be eligible for senior high school athletic competition in a sport during each of four consecutive seasons of such sport commencing with the pupil's entry into the ninth grade and prior to graduation..."

Therefore, the Department proposes to amend section 135.4 of the Commissioner's regulations to clarify that eligible student athletes may participate in interscholastic sports and complete their spring season during the year in which they graduate, including when such students' graduation ceremony occurs prior to the end of the interscholastic athletic spring season. No other eligibility requirements are altered by this proposed amendment.

Because it is evident from the nature of the proposed amendment that it will have no impact on the number of jobs or employment opportunities in New York State, no further steps were needed to ascertain that fact and none were taken.

EMERGENCY/PROPOSED RULE MAKING NO HEARING(S) SCHEDULED

Extending the EdTPA Safety Net in Response to the COVID-19 Crisis

I.D. No. EDU-17-21-00012-EP

Filing No. 374

Filing Date: 2021-04-13

Effective Date: 2021-04-13

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following action:

Proposed Action: Amendment of section 80-1.5 of Title 8 NYCRR.

Statutory authority: Education Law, sections 101, 207, 210, 215, 3001, 3004 and 3009

Finding of necessity for emergency rule: Preservation of public health and general welfare.

Specific reasons underlying the finding of necessity: On January 30, 2020, the World Health Organization designated the novel coronavirus, COVID-19, outbreak as a Public Health Emergency of International Concern. On March 7, 2020, the Governor of New York State declared a State disaster emergency for the entire State of New York pursuant to Executive Order 202. In response, the Department presented, and the Board of Regents adopted, numerous emergency regulations to address issues resulting from interruptions caused by the COVID-19 crisis and to provide regulatory flexibility during the crisis.

At its February 2021 meeting, the Board of Regents permanently adopted amendments creating an edTPA safety net for candidates who: (i) complete a student teaching or similar clinical experience during the spring or summer 2020 terms while enrolled in a New York State registered teacher preparation program and could not complete their teacher performance assessment as a result of the COVID-19 crisis, as determined by the Dean or their designee overseeing the candidates' program; (ii) complete a student teaching or similar clinical experience during the 2020-2021 academic year while enrolled in a New York State registered teacher preparation program; (iii) complete a student teaching experience during the 2020-2021 academic year while enrolled in a comparable out-of-state teacher preparation program; or (iv) complete the teaching experience requirement for certification through the Individual Evaluation pathway during the 2020-2021 academic year, holding those harmless who cannot complete the edTPA as a result of the COVID-19 crisis. These candidates are able to take and pass either the Assessment of Teaching Skills-Written (ATS-W) or edTPA to satisfy the teacher performance assessment requirement for certification. Eligible candidates who choose to take the edTPA, but do not pass it, could take and pass the ATS-W or pursue the edTPA Multiple Measures Review Process (MMRP), if they qualify.

To provide additional flexibility related to the teacher performance assessment requirement, the Department is proposing to extend the edTPA safety net to candidates who complete a student teaching or similar clinical experience during the 2021-2022 academic year while enrolled in a New York State registered teacher preparation program or a comparable out-of-state teacher preparation program, or complete the teaching experience requirement for certification through the Individual Evaluation pathway during the 2021-2022 academic year. During the 2021-2022 academic year, candidates who are in the last year of their teacher preparation program will likely not have a typical student teaching experience as schools respond to the effects of the COVID-19 crisis, which may adversely impact their ability to complete the edTPA.

Because the Board of Regents meets at scheduled intervals, the earliest the proposed amendment could be presented for regular (nonemergency) adoption, after publication in the State Register and expiration of the 60-day public comment period required in sections 201(1) and (5) of the State Administrative Procedure Act (SAPA) is the September 2021 Regents meeting. Furthermore, pursuant to SAPA 203(1), the earliest effective date of the proposed rule, if adopted at the September 2021 Regents meeting, would be September 29, 2021, the date the Notice of Adoption would be published in the State Register.

Therefore, because the COVID-19 crisis is presently affecting the State of New York, emergency action is necessary at the April 2021 meeting for the preservation of the public health and general welfare in order to immediately provide additional flexibility related to the teacher performance assessment requirement in response to the COVID-19 crisis.

It is anticipated that the proposed rule will be presented for adoption as a permanent rule at the September 2021 Regents meeting, which is the

first scheduled meeting after the 60-day public comment period prescribed in SAPA for State agency rule making. Because the emergency action will expire before the September 2021 Regents meeting, it is anticipated that additional emergency actions will be presented for adoption at the June and July 2021 Regents meetings.

Subject: Extending the edTPA Safety Net in Response to the COVID-19 Crisis.

Purpose: To extend the edTPA safety net in response to the COVID-19 crisis.

Text of emergency/proposed rule: 1. Subparagraph (ii) of paragraph (1) of subdivision (c) of section 80-1.5 of the Regulations of the Commissioner of Education shall be amended to read as follows:

(ii) A candidate who: (i) completes a student teaching or similar clinical experience during the [Spring] *spring* 2020 or [Summer] *summer* 2020 terms while enrolled in a program registered pursuant to section 52.21 of this Title and could not complete their teacher performance assessment as a result of the COVID-19 crisis, as determined by the dean or his/her designee overseeing the candidate's program; (ii) completes a student teaching or similar clinical experience during the 2020-2021 *or 2021-2022* academic [year] *years* while enrolled in a program registered pursuant to section 52.21 of this Title; (iii) completes a student teaching experience during the 2020-2021 or *2021-2022* academic [year] *years* while enrolled in a substantially equivalent teacher education program at a regionally accredited institution of higher education, or a higher education institution that the commissioner deems substantially equivalent, from another state or territory of the United States or the District of Columbia that leads to certification in the title and type of certificate sought in the jurisdiction where the higher education institution is located; or (iv) completes the teaching experience requirement for certification through the individual evaluation pathway during the 2020-2021 *or 2021-2022* academic [year] *years*, may either:

(a) take and receive a satisfactory level of performance on the teacher performance assessment;

(b) take the written assessment of teaching skills by September 1, [2023] *2024* and receive a satisfactory score on such assessment; or

(c) for a candidate who takes and fails to achieve a satisfactory level of performance on the teacher performance assessment, may, in lieu of retaking such assessment:

(1) take the written assessment of teaching skills by September 1, [2023] *2024* and receive a satisfactory score on the written assessment of teaching skills after receipt of his/her score on the teacher performance assessment; or

(2) apply for a waiver of the edTPA requirement through the multiple-measures review process pursuant to subdivision (d) of this section, if he/she meets the eligibility requirements.

This notice is intended: to serve as both a notice of emergency adoption and a notice of proposed rule making. The emergency rule will expire July 11, 2021.

Text of rule and any required statements and analyses may be obtained from: Kirti Goswami, NYS Education Department, Office of Counsel, 89 Washington Avenue, Room 112EB, Albany, NY 12234, (518) 474-6400, email: legal@nysed.gov

Data, views or arguments may be submitted to: Petra Maxwell, NYS Education Department, Office of Counsel, 89 Washington Avenue, Room 112EB, Albany, NY 12234, (518) 474-6400, email: OHEREGComments@nysed.gov

Public comment will be received until: 60 days after publication of this notice.

Regulatory Impact Statement

1. STATUTORY AUTHORITY:

Education Law § 101 (not subdivided) charges the Department with the general management and supervision of all public schools and all of the educational work of the state.

Education Law § 207 (not subdivided) grants general rule-making authority to the Regents to carry into effect State educational laws and policies.

Education Law § 305 authorizes the Commissioner to enforce the educational policies of this State and execute all educational policies determined by the Regents and shall prescribe the licensing of teachers employed in this State.

Education Law § 3001 establishes the qualifications of teachers in the classroom.

Education Law § 3003 authorizes the Commissioner to issue permanent certificates to school superintendents.

Education Law § 3004(1) authorizes the Commissioner to promulgate regulations governing the certification requirements for teachers employed in public schools.

Education Law § 3009 prohibits school districts from paying the salary of an unqualified teacher.

2. LEGISLATIVE OBJECTIVES:

The proposed amendment is consistent with the above statutory authority and is necessary to provide additional regulatory flexibility related to the teacher performance assessment requirement in response to the COVID-19 crisis.

3. NEEDS AND BENEFITS:

On January 30, 2020, the World Health Organization designated the novel coronavirus, COVID-19, outbreak as a Public Health Emergency of International Concern. On March 7, 2020, the Governor of New York State declared a State disaster emergency for the entire State of New York pursuant to Executive Order 202. In response, the Department adopted emergency regulations at the April, May, June, July, September, October, November, and December 2020 Board of Regents meetings to address numerous issues resulting from interruptions caused by the COVID-19 crisis, including numerous amendments providing flexibility for institutions of higher education, certificate holders, applicants for certification, and candidates in educator preparation programs. To address additional issues resulting from the interruptions caused by the COVID-19 crisis, the Department is proposing further emergency regulatory amendments relating to higher education.

At its February 2021 meeting, the Board of Regents permanently adopted amendments creating an edTPA safety net for candidates who: (i) complete a student teaching or similar clinical experience during the spring or summer 2020 terms while enrolled in a New York State registered teacher preparation program and could not complete their teacher performance assessment as a result of the COVID-19 crisis, as determined by the Dean or their designee overseeing the candidates' program; (ii) complete a student teaching or similar clinical experience during the 2020-2021 academic year while enrolled in a New York State registered teacher preparation program; (iii) complete a student teaching experience during the 2020-2021 academic year while enrolled in a comparable out-of-state teacher preparation program; or (iv) complete the teaching experience requirement for certification through the Individual Evaluation pathway during the 2020-2021 academic year, holding those harmless who cannot complete the edTPA as a result of the COVID-19 crisis. These candidates are able to take and pass either the Assessment of Teaching Skills-Written (ATS-W) or edTPA to satisfy the teacher performance assessment requirement for certification. Eligible candidates who choose to take the edTPA, but do not pass it, could take and pass the ATS-W or pursue the edTPA Multiple Measures Review Process (MMRP), if they qualify.

To provide additional flexibility related to the teacher performance assessment requirement, the Department is proposing to extend the edTPA safety net to candidates who complete a student teaching or similar clinical experience during the 2021-2022 academic year while enrolled in a New York State registered teacher preparation program or a comparable out-of-state teacher preparation program, or complete the teaching experience requirement for certification through the Individual Evaluation pathway during the 2021-2022 academic year. During the 2021-2022 academic year, candidates who are in the last year of their teacher preparation program will likely not have a typical student teaching experience as schools respond to the effects of the COVID-19 crisis, which may adversely impact their ability to complete the edTPA.

4. COSTS:

a. Costs to State government: The amendments do not impose any costs on State government.

b. Costs to local government: The amendments do not impose any costs on local government.

c. Costs to private regulated parties: The amendments do not impose any costs on private regulated parties.

d. Costs to regulating agencies for implementation and continued administration: There are no additional costs to the State Educaiton Department.

5. LOCAL GOVERNMENT MANDATES:

The proposed amendments do not impose any additional program, service, duty or responsibility upon any local government.

6. PAPERWORK:

The proposed amendments do not impose any additional paperwork requirements.

7. DUPLICATION:

The proposed amendments do not duplicate existing State or Federal requirements.

8. ALTERNATIVES:

The proposed amendments are necessary to provide additional regulatory flexibility related to the teacher performance assessment requirement in response to the COVID-19 crisis. There are no significant alternatives to the proposed amendment and none were considered.

9. FEDERAL STANDARDS:

There are no applicable Federal standards.

10. COMPLIANCE SCHEDULE:

The emergency rule will become effective April 13, 2021. It is antici-

pated that the proposed amendment will be presented to the Board of Regents for permanent adoption at its September 2021 meeting. If adopted at the September 2021 meeting, the proposed amendment will become effective as a permanent rule on September 29, 2021. It is anticipated that regulated parties will be able to comply with the proposed amendment by the effective date.

Regulatory Flexibility Analysis

The proposed amendment applies to all higher education institutions including those located in the 44 rural counties with fewer than 200,000 inhabitants and the 71 towns and urban counties with a population density of 150 square miles or less.

On January 30, 2020, the World Health Organization designated the novel coronavirus, COVID-19, outbreak as a Public Health Emergency of International Concern. On March 7, 2020, the Governor of New York State declared a State disaster emergency for the entire State of New York pursuant to Executive Order 202. In response, the Department presented, and the Board of Regents voted to adopt, numerous emergency regulations to address issues resulting from interruptions caused by the COVID-19 crisis and to provide regulatory flexibility during the crisis.

At its February 2021 meeting, the Board of Regents permanently adopted amendments creating an edTPA safety net for candidates who: (i) complete a student teaching or similar clinical experience during the Spring or Summer 2020 terms while enrolled in a New York State registered teacher preparation program and could not complete their teacher performance assessment as a result of the COVID-19 crisis, as determined by the Dean or their designee overseeing the candidates' program; (ii) complete a student teaching or similar clinical experience during the 2020-2021 academic year while enrolled in a New York State registered teacher preparation program; (iii) complete a student teaching experience during the 2020-2021 academic year while enrolled in a comparable out-of-state teacher preparation program; or (iv) complete the teaching experience requirement for certification through the Individual Evaluation pathway during the 2020-2021 academic year, holding those harmless who cannot complete the edTPA as a result of the COVID-19 crisis. These candidates are able to take and pass either the Assessment of Teaching Skills-Written (ATS-W) or edTPA to satisfy the teacher performance assessment requirement for certification. Eligible candidates who choose to take the edTPA, but do not pass it, could take and pass the ATS-W or pursue the edTPA Multiple Measures Review Process (MMRP), if they qualify.

To provide additional flexibility related to the teacher performance assessment requirement, the Department is proposing to extend the edTPA safety net to candidates who complete a student teaching or similar clinical experience during the 2021-2022 academic year while enrolled in a New York State registered teacher preparation program or a comparable out-of-state teacher preparation program, or complete the teaching experience requirement for certification through the Individual Evaluation pathway during the 2021-2022 academic year. During the 2021-2022 academic year, candidates who are in the last year of their teacher preparation program will likely not have a typical student teaching experience as schools respond to the effects of the COVID-19 crisis, which may adversely impact their ability to complete the edTPA.

The amendment does not impose any new recordkeeping or other compliance requirements and will not have an adverse economic impact on small businesses or local governments. Because it is evident from the nature of the proposed technical amendment that it will not affect small businesses or local governments, no further steps were needed to ascertain that fact and none were taken. Accordingly, a regulatory flexibility analysis for small businesses is not required and one has not been prepared.

Rural Area Flexibility Analysis

The purpose of the proposed amendments to the Regulations of the Commissioner of Education is to provide additional regulatory flexibility related to the teacher performance assessment requirement in response to the COVID-19 crisis. On January 30, 2020, the World Health Organization designated the novel coronavirus, COVID-19, outbreak as a Public Health Emergency of International Concern. On March 7, 2020, the Governor of New York State declared a State disaster emergency for the entire State of New York pursuant to Executive Order 202. In response, the Department presented, and the Board of Regents voted to adopt, numerous emergency regulations to address issues resulting from interruptions caused by the COVID-19 crisis and to provide regulatory flexibility during the crisis.

At its February 2021 meeting, the Board of Regents permanently adopted amendments creating an edTPA safety net for candidates who: (i) complete a student teaching or similar clinical experience during the Spring or Summer 2020 terms while enrolled in a New York State registered teacher preparation program and could not complete their teacher performance assessment as a result of the COVID-19 crisis, as determined by the Dean or their designee overseeing the candidates' program; (ii) complete a student teaching or similar clinical experience during the 2020-2021 academic year while enrolled in a New York State registered teacher preparation program; (iii) complete a student teaching experience during the 2020-2021 academic year while enrolled in a comparable out-of-state teacher preparation program; or (iv) complete the teaching experience requirement for certification through the Individual Evaluation pathway during the 2020-2021 academic year, holding those harmless who cannot complete the edTPA as a result of the COVID-19 crisis. These candidates are able to take and pass either the Assessment of Teaching Skills-Written (ATS-W) or edTPA to satisfy the teacher performance assessment requirement for certification. Eligible candidates who choose to take the edTPA, but do not pass it, could take and pass the ATS-W or pursue the edTPA Multiple Measures Review Process (MMRP), if they qualify.

To provide additional flexibility related to the teacher performance assessment requirement, the Department is proposing to extend the edTPA safety net to candidates who complete a student teaching or similar clinical experience during the 2021-2022 academic year while enrolled in a New York State registered teacher preparation program or a comparable out-of-state teacher preparation program, or complete the teaching experience requirement for certification through the Individual Evaluation pathway during the 2021-2022 academic year. During the 2021-2022 academic year, candidates who are in the last year of their teacher preparation program will likely not have a typical student teaching experience as schools respond to the effects of the COVID-19 crisis, which may adversely impact their ability to complete the edTPA.

Thus, the proposed amendment does not adversely impact entities in rural areas of New York State. Accordingly, no further steps were needed to ascertain the impact of the proposed amendment on entities in rural areas and none were taken. Thus, a rural flexibility analysis is not required and one has not been prepared.

Job Impact Statement

On January 30, 2020, the World Health Organization designated the novel coronavirus, COVID-19, outbreak as a Public Health Emergency of International Concern. On March 7, 2020, the Governor of New York State declared a State disaster emergency for the entire State of New York pursuant to Executive Order 202. In response, the Department presented, and the Board of Regents adopted, numerous emergency regulations to address issues resulting from interruptions caused by the COVID-19 crisis and to provide regulatory flexibility during the crisis.

At its February 2021 meeting, the Board of Regents permanently adopted amendments creating an edTPA safety net for candidates who: (i) complete a student teaching or similar clinical experience during the Spring or Summer 2020 terms while enrolled in a New York State registered teacher preparation program and could not complete their teacher performance assessment as a result of the COVID-19 crisis, as determined by the Dean or their designee overseeing the candidates' program; (ii) complete a student teaching or similar clinical experience during the 2020-2021 academic year while enrolled in a New York State registered teacher preparation program; (iii) complete a student teaching experience during the 2020-2021 academic year while enrolled in a comparable out-of-state teacher preparation program; or (iv) complete the teaching experience requirement for certification through the Individual Evaluation pathway during the 2020-2021 academic year, holding those harmless who cannot complete the edTPA as a result of the COVID-19 crisis. These candidates are able to take and pass either the Assessment of Teaching Skills-Written (ATS-W) or edTPA to satisfy the teacher performance assessment requirement for certification. Eligible candidates who choose to take the edTPA, but do not pass it, could take and pass the ATS-W or pursue the edTPA Multiple Measures Review Process (MMRP), if they qualify.

To provide additional flexibility related to the teacher performance assessment requirement, the Department is proposing to extend the edTPA safety net to candidates who complete a student teaching or similar clinical experience during the 2021-2022 academic year while enrolled in a New York State registered teacher preparation program or a comparable out-of-state teacher preparation program, or complete the teaching experience requirement for certification through the Individual Evaluation pathway during the 2021-2022 academic year. During the 2021-2022 academic year, candidates who are in the last year of their teacher preparation program will likely not have a typical student teaching experience as schools respond to the effects of the COVID-19 crisis, which may adversely impact their ability to complete the edTPA.

Because it is evident from the nature of the proposed amendment that it will have no impact on the number of jobs or employment opportunities in New York State, no further steps were needed to ascertain that fact and none were taken.

NOTICE OF ADOPTION

Addressing the COVID-19 Crisis

I.D. No. EDU-52-20-00018-A
Filing No. 367
Filing Date: 2021-04-13
Effective Date: 2021-04-28

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following action:

Action taken: Amendment of sections 30-3.16, 100.5(a) and 155.17(f) of Title 8 NYCRR.

Statutory authority: Education Law, sections 101, 207, 208, 209, 215, 305, 2801-a, 3009, 3012-d, 3204 and 3205

Subject: Addressing the COVID-19 crisis.

Purpose: To address issues resulting from the COVID-19 crisis and to provide regulatory flexibility due to the COVID-19 crisis.

Text or summary was published in the December 30, 2020 issue of the Register, I.D. No. EDU-52-20-00018-EP.

Final rule as compared with last published rule: No changes.

Text of rule and any required statements and analyses may be obtained from: Kirti Goswami, NYS Education Department, Office of Counsel, 89 Washington Avenue, Room 112EB, Albany, NY 12234, (518) 474-6400, email: legal@nysed.gov

Initial Review of Rule

As a rule that requires a RFA, RAFA or JIS, this rule will be initially reviewed in the calendar year 2024, which is no later than the 3rd year after the year in which this rule is being adopted.

Assessment of Public Comment

The agency received no public comment.

NOTICE OF ADOPTION

Requirements for Awarding the NYS Seal of Biliteracy

I.D. No. EDU-52-20-00019-A
Filing No. 373
Filing Date: 2021-04-13
Effective Date: 2021-04-28

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following action:

Action taken: Amendment of section 100.5(h) of Title 8 NYCRR.

Statutory authority: Education Law, sections 101, 207, 208, 209, 305, 308, 309 and 815

Subject: Requirements for Awarding the NYS Seal of Biliteracy.

Purpose: To update the requirements for awarding the NYS Seal of Biliteracy.

Text or summary was published in the December 30, 2020 issue of the Register, I.D. No. EDU-52-20-00019-P.

Final rule as compared with last published rule: No changes.

Text of rule and any required statements and analyses may be obtained from: Kirti Goswami, NYS Education Department, Office of Counsel, 89 Washington Avenue, Room 112EB, Albany, NY 12234, (518) 474-6400, email: legal@nysed.gov

Initial Review of Rule

As a rule that requires a RFA, RAFA or JIS, this rule will be initially reviewed in the calendar year 2024, which is no later than the 3rd year after the year in which this rule is being adopted.

Assessment of Public Comment

Since publication of a Notice of Proposed Rule Making in the State Register on December 30, 2020, the State Education Department (SED) received the following comments on the proposed amendment.

1. COMMENT:

One commenter addressed regulations regarding the requirements for English Language Learners (ELLs) to show achievement on Regents Exams, the NYS English as a Second Language Achievement Test (NYSESLAT), ELA coursework, Advanced Placement (AP) exams, and the New York State Seal of Biliteracy (NYSSB) scholarly essay in order to earn the Seal. Specifically, the commenter stated that for purposes of earning the seal, the Department should lower the average requirement for ELLs on Regents exams; the NYSESLAT scale score should be clarified so that schools make appropriate determinations for ELL proficiency levels; the NYSSB legislation should be expanded so that 11th graders can earn the seal; there should be an exemption from the requirement that students receive an average of 85 or higher on English Language Art (ELA) courses and the average should be lowered; and the average should be lowered for AP exams. Additionally, the commenter noted that ELLs may not have the English proficiency level to write a scholarly essay.

DEPARTMENT RESPONSE:

These comments are outside of the scope of the proposed rule; therefore, no response is necessary, and no changes are needed.

2. COMMENT:

One commenter addressed how the COVID-related test exemptions and emergency regulations impact ability of ELLs to earn the Seal.

DEPARTMENT RESPONSE:

This comment is outside of the scope of the proposed rule, however, the Department has adopted emergency regulations which provide that students who were unable to take applicable Regents exams due to the COVID-19 related cancellation will satisfy criteria for the NYSSB that would have been met by the required June 2020 or August 2020 Regents exams(s), provided such student has received an exemption for such exam. Additionally, the emergency regulations provide that ELLs will satisfy criteria for the NYSSB that would have been met by a 2020 NYSESLAT score through a combination of having achieved an English language proficiency level of Transitioning or Expanding on the 2019 NYSESLAT or NYS Identification Test for ELLs (NYSITELL) and who earned credit in a course of study for English as a New Language or Bilingual Education in the 2019-2020 school year.

3. COMMENT:

One commenter addressed regulations regarding the submission of transcripts from countries outside of the U.S., including recommendations to recognize transcripts from lower grade levels and to develop an alternate method for proving prior academic achievement for those students whose former schools are unable to provide transcripts due to extenuating circumstances (e.g., natural disaster).

DEPARTMENT RESPONSE:

This comment is outside of the scope of the proposed rule; therefore, no response is necessary, and no changes are needed.

4. COMMENT:

One commenter recommended that NYSED "encourage schools to offer a yearly course where ELL students can have sufficient time to learn about a topic" to help prepare students in the preparation of a culminating project, scholarly essay, or portfolio toward earning the Seal.

DEPARTMENT RESPONSE:

This comment is outside of the scope of the proposed rule; therefore, no response is necessary, and no changes are needed.

5. COMMENT:

One commenter expressed agreement with the change to remove the phrase "coursework and final examination consistent with Checkpoint C learning standards" from item 100.5(h)(4)(ii)(b)(1)(i).

DEPARTMENT RESPONSE:

Since the comment is supportive, no change is necessary.

6. COMMENT:

One commenter expressed support for the changes: "I am writing to express my support for the proposed amendments to the New York State Seal of Biliteracy. I believe in any changes that to make the Seal more available to students and to make the NYSSB regulations more consistent."

DEPARTMENT RESPONSE:

Since the comment is supportive, no change is necessary.

7. COMMENT:

One commenter expressed support for the changes: "I support the proposed amendments for the NYS Seal of Biliteracy as I feel that by aligning the coursework criteria (requiring the 85 as ELA does) helps to ensure the rigor and quality of ''the Seal'' process. I furthermore support the removal of the final exam/approved checkpoint C assessments as I feel this will provide a more equitable opportunity for more students to pursue the Seal of Biliteracy."

DEPARTMENT RESPONSE:

Since the comment is supportive, no change is necessary.

8. COMMENT:

One commenter expressed support for the changes: "I do agree with the latest proposed amendments to Section 100.5h related to the Seal of Biliteracy. I especially strongly support the department to have more latitude with the exams that can be used."

DEPARTMENT RESPONSE:

Since the comment is supportive, no change is necessary.

9. COMMENT:

One commenter expressed support for the changes: "I support the proposed changes to the language of the NYS Seal of Biliteracy as it will make the Seal more available to students while not changing the expected rigor to earn it."

DEPARTMENT RESPONSE:
Since the comment is supportive, no change is necessary.
10. COMMENT:
One commenter expressed support for the changes: "I agree with the proposed amendments to the NYSSB. As educators, we need to be flexible and provide students with different approaches to succeed. The proposed amendments do not limit the potential of our students; they encourage more learners to achieve higher. Students must demonstrate proficiency, but they should not be restricted to the paths currently included to attain this proficiency, as many students might speak a language for which a proficiency assessment has not being yet created; therefore, although these students can demonstrate proficiency through other means, they would not have the opportunity to achieve the Seal under the current terminology of the requirements; the change in language regarding the different paths would recognize the ability of these students to read and write in more than one world language.
In addition, I believe that removing coursework and final examination consistent with Checkpoint C learning standards from section 100.5(h)(4)(ii)(b)(1)(i) would be fair to all students, as this requirement does not asks beyond the established one for ELA. The amendment will also allow students in schools not administering a final exam for a Checkpoint C course the opportunity to apply for the Seal.
The vision of NYSSB is noble. Let's allow more students the opportunity to accomplish, feel confident about their future, and realize they are members of a global society. Thank you for the work you do to recognize the multilingual student population of our state and the efforts of students to achieve and succeed."
DEPARTMENT RESPONSE:
Since the comment is supportive, no change is necessary.
11. COMMENT:
One commenter expressed support for the changes: "I am in favor of the changes to the regulations for the Seal of Biliteracy in order to make the Seal available to more students. Thank you for your consideration in making these worthy changes."
DEPARTMENT RESPONSE:
Since the comment is supportive, no change is necessary.
12. COMMENT:
One commenter expressed support for the changes: "After reading the prepared changes, I support the amendments. I appreciate that the department looked [to] create consistency and ensure access to all students that they [were] limited. I think the removal of the assessment list is smart knowing that new assessments will be made in the future and [will] need quick access to approval."
DEPARTMENT RESPONSE:
Since the comment is supportive, no change is necessary.
13. COMMENT:
One commenter expressed support for the changes: "The passage of these amendments is extremely important to increase access to the NYSSB for our Multilingual Learners and English Language Learners (MLs/ELLs). All proposed amendments would increase equity of access for our students. Specifically, in NYCDOE, our multilingual learners in bilingual programs have been prevented from receiving credit for the rigorous learning they do in their Home Language Arts (HLA) courses.
• In NYCDOE, we have 64 high schools with bilingual programs (both Transitional Bilingual Education and Dual Language) across the city. A total of 7,890 high school students are served in these programs. 5,834 (74%) of these students are current ELLs.
• Since NYCDOE does not have a district HLA exam, 5,834 ELLs in these programs have been ineligible for the 2c point, negating the important learning they do in their Home Language Arts courses, and thus are not currently pursuing the NYSSB.
• In addition to the Division of Multilingual Learners team, 49 colleagues from 40 NYCDOE high schools have signed on to this letter of support, sharing the following additional comments:
• 'The amendment is so important for our HLA Spanish population in NYC DOE schools and would be a further step towards equity for our MLL/ELL and newer New Yorkers who strive for College and Career Readiness opportunities. Thank you for considering this change on behalf of our communities and families.'
• 'These amendments MUST be passed for the sake of our students. Let's make education our number 1 priority!'
We the undersigned, respectfully submit these comments for your consideration. We look forward to continued collaboration to support our Multilingual Learners and English Learners."
DEPARTMENT RESPONSE:
Since the comment is supportive, no change is necessary.

NOTICE OF ADOPTION

Content Core Requirement in Computer Science Teacher Preparation Programs

I.D. No. EDU-52-20-00020-A
Filing No. 368
Filing Date: 2021-04-13
Effective Date: 2021-04-28

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following action:

Action taken: Amendment of section 52.21 of Title 8 NYCRR.

Statutory authority: Education Law, sections 207, 305, 3001, 3003, 3004 and 3009

Subject: Content Core Requirement in Computer Science Teacher Preparation Programs.

Purpose: To make the content core requirement for the Computer Science certificate aligned with the NYS Computer Science Standards.

Text or summary was published in the December 30, 2020 issue of the Register, I.D. No. EDU-52-20-00020-P.

Final rule as compared with last published rule: No changes.

Text of rule and any required statements and analyses may be obtained from: Kirti Goswami, NYS Education Department, Office of Counsel, 89 Washington Avenue, Room 112EB, Albany, NY 12234, (518) 474-6400, email: legal@nysed.gov

Initial Review of Rule
As a rule that requires a RFA, RAFA or JIS, this rule will be initially reviewed in the calendar year 2024, which is no later than the 3rd year after the year in which this rule is being adopted.

Assessment of Public Comment
The agency received no public comment.

NOTICE OF ADOPTION

Conferral of the Degree of Doctor of Medicine (M.D.) by the Board of Regents

I.D. No. EDU-52-20-00021-A
Filing No. 364
Filing Date: 2021-04-13
Effective Date: 2021-04-28

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following action:

Action taken: Amendment of section 3.57 of Title 8 NYCRR.

Statutory authority: Education Law, sections 207, 6504, 6507, 6521, 6522, 6524, 6528 and 6529; L. 1998, ch. 512; L. 1989, ch. 62, section 20

Subject: Conferral of the Degree of Doctor of Medicine (M.D.) by the Board of Regents.

Purpose: To conform the law with the statutory requirements for issuance of an M.D. to foreign-educated applicants.

Text or summary was published in the December 30, 2020 issue of the Register, I.D. No. EDU-52-20-00021-P.

Final rule as compared with last published rule: No changes.

Text of rule and any required statements and analyses may be obtained from: Kirti Goswami, NYS Education Department, Office of Counsel, 89 Washington Avenue, Albany, NY 12234, (518) 474-6400, email: legal@nysed.gov

Initial Review of Rule
As a rule that does not require a RFA, RAFA or JIS, this rule will be initially reviewed in the calendar year 2026, which is no later than the 5th year after the year in which this rule is being adopted

Assessment of Public Comment
The agency received no public comment.

NOTICE OF ADOPTION

Implementation of the Crown Act

I.D. No. EDU-52-20-00022-A
Filing No. 365
Filing Date: 2021-04-13
Effective Date: 2021-04-28

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following action:

Action taken: Amendment of sections 100.2 and 119.6 of Title 8 NYCRR.

Statutory authority: Education Law, sections 11, 12, 13, 14, 15, 101, 207, 305(1), (2) and 2854(1)(b); L. 2019, ch. 95

Subject: Implementation of the Crown Act.

Purpose: To implement chapter 95 of the Laws of 2019, known as the Crown Act.

Text or summary was published in the December 30, 2020 issue of the Register, I.D. No. EDU-52-20-00022-P.

Final rule as compared with last published rule: No changes.

Text of rule and any required statements and analyses may be obtained from: Kirti Goswami, NYS Education Department, Office of Counsel, 89 Washington Avenue, Room 112EB, Albany, NY 12234, (518) 474-6400, email:legal@nysed.gov.

Initial Review of Rule

As a rule that requires a RFA, RAFA or JIS, this rule will be initially reviewed in the calendar year 2024, which is no later than the 3rd year after the year in which this rule is being adopted.

Assessment of Public Comment

The agency received no public comment.

NOTICE OF ADOPTION

Instruction to Prevent Child Sexual Exploitation and Child Sexual Abuse in Grades K-8

I.D. No. EDU-52-20-00023-A
Filing No. 366
Filing Date: 2021-04-13
Effective Date: 2021-04-28

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following action:

Action taken: Amendment of section 100.2(c) of Title 8 NYCRR.

Statutory authority: Education Law, sections 101, 207, 305, 308, 803-b, as added by L. 2019, ch. 7

Subject: Instruction to prevent child sexual exploitation and child sexual abuse in grades K-8.

Purpose: Implementation of Chapter 187 of the Laws of 2019.

Text of final rule: 1. Subdivision (c) of section 100.2 of the Regulations of the Commissioner of Education is amended by adding a new paragraph (12) to read as follows:

(12) for all public school students in grades kindergarten through 8, instruction designed to educate students, parents, teachers, and other school personnel about the prevention of child sexual exploitation and child sexual abuse in accordance with section 803-b of the Education Law. Such instruction may be included as part of a school's health education program pursuant to section 135.3 of this Title. Curriculum addressing this topic should be developed in consultation with school counselors, school social workers, school psychologists, parents and community members and shall be designed to:

(i) assist children, parents, teachers, and other school personnel in identifying child sexual abuse and child sexual exploitation;

(ii) provide awareness, assistance, referral, and resource information for children and families who are victims of child sexual abuse and/or child sexual exploitation; and

(ii) be aligned to grade band objectives prescribed by the commissioner in guidance.

Final rule as compared with last published rule: Nonsubstantive changes were made in section 100.2(c).

Text of rule and any required statements and analyses may be obtained from: Kirti Goswami, NYS Education Department, Office of Counsel, 89 Washington Avenue, Room 112EB, Albany, NY 12234, (518) 474-6400, email: legal@nysed.gov

Revised Regulatory Impact Statement

1. STATUTORY AUTHORITY:

Section 101 of the Education Law continues the existence of the Education Department, with the Board of Regents as its head, and authorizes the Regents to appoint the Commissioner as chief administrative officer of the Department, which is charged with the general management and supervision of public schools and the educational work of the State.

Section 207 of the Education Law grants general rule making authority to the Board of Regents to carry into effect the laws and policies of the State relating to education.

Education Law section 305(1) and (2) provide the Commissioner, as chief executive officer of the State's education system, with general supervision over all schools and institutions subject to the Education Law, or any statute relating to education, and responsibility for executing all educational policies of the Regents.

Education Law section 308 authorizes the Commissioner to enforce and give effect to any provision in the Education Law or in any other general or special law pertaining to the school system of the State or any rule or direction of the Regents.

Education Law section 803-b, as added by Chapter 187 of the Laws of 2019, requires that all students in kindergarten through grade 8 receive instruction designed to prevent child sexual exploitation and child sexual abuse. Such program of instruction must be designed to educate students, parents, and school personnel about the prevention of child sexual exploitation and child sexual abuse.

2. LEGISLATIVE OBJECTIVES:

The proposed amendment is consistent with the above statutory authority and is necessary to implement Chapter 187 of the Laws of 2019 (Chapter 187) which requires that all students in kindergarten through grade 8 receive instruction designed to prevent child sexual exploitation and child sexual abuse.

3. NEEDS AND BENEFITS:

Chapter 187 added Education Law § 803-b to require that all students in kindergarten through grade 8 receive instruction designed to prevent child sexual exploitation and child sexual abuse. Such program of instruction must be designed to educate students, parents, and school personnel about the prevention of child sexual exploitation and child sexual abuse.

The Department has worked with key partners over the past year to develop guidance and resources to support this law. The New York State Education Department has created an Erin's Law website (http://www.nysed.gov/curriculum-instruction/erins-law) with curriculum resources to support this endeavor and to provide assistance to schools, families, and educators. The website and resources have been developed with the input, review, and help of partners such as the New York State Department of Health, the NYS Office of Children and Family Services (OCFS), and the New York State Center for School Health. These tools and resources can be used in schools to ensure students in kindergarten through grade 8 have the instruction, support, and knowledge needed to protect themselves, as well as how to seek help when needed.

The New York State Education Department recommends that educators create their own Erin's Law curriculum to best fit within their school and programs, in accordance with the existing NYS standards for Health Education, to fully comply with the intent of Erin's Law. Although curriculum remains a local decision in New York State, the resources provided on the website can serve as a foundation in local curriculum development and instruction. As more resources are available, the Department will review and share them on the Erin's Law website. NYSED's Erin's Law website includes the following:

- Background on Erin's Law and school district responsibilities;
- Grade band (K-2; 3-4; 5-6; and 6-8) curriculum benchmark guidance; and
- Links to resources or programs that could be used to support local curriculum decisions and instruction.

NYSED also encourages local school districts to partner with families and communities to make decisions about sexual abuse education that are developmentally appropriate and consistent with community values.

The proposed amendment to section 100.2(c) of the Commissioner's regulations implements the provisions of Erin's Law by adding the requirement that all public school students in kindergarten through grade 8 receive instruction designated to educate students, parents, teachers, and other school personnel about the prevention of child sexual exploitation and child sexual abuse. The proposed amendment provides that such instruction may be included as part of a school's health education program and should be developed in consultation with school counselors, school social workers, school psychologists, parents, and community members. Additionally, the proposed amendments provide that such instruction shall be designed to: (1) assist children, parents, teachers, and other school personnel in identifying child sexual abuse and child sexual exploitation; (2) provide awareness, assistance, referral, and resource information for children and families who are victims of child sexual abuse and/or child sexual

exploitation; and (3) be aligned to the grade band objectives prescribed by the Commissioner in guidance.

4. COSTS:

(a) Costs to State government: There are no additional costs to State government.

(b) Costs to local government: The proposed amendment will not impose any significant costs beyond those imposed by statute. Any costs associated with providing the instruction required by Education Law § 803-b are expected to be minimal and capable of being absorbed by using existing district staff and resources.

(c) Cost to private regulated parties: The proposed amendment does not impose any additional costs on regulated parties.

(d) Cost to the regulatory agency: The proposed amendment will not impose any additional costs on the Department.

5. LOCAL GOVERNMENT MANDATES:

As required by Education Law § 803-b the proposed amendment requires that all students in kindergarten through grade 8 receive instruction designed to prevent child sexual exploitation and child sexual abuse. Additionally, such program of instruction must be designed to educate students, parents, and school personnel about the prevention of child sexual exploitation and child sexual abuse. The proposed amendment does not impose any additional program, service, duty or responsibility upon school districts beyond those imposed by statute.

6. PAPERWORK:

The proposed rule does not require any additional paperwork, and is necessary to implement Education Law § 803-b as added by Chapter 187 of the Laws of 2019.

7. DUPLICATION:

The proposed amendment does not duplicate any other existing State or Federal requirements and is necessary to implement Education Law § 803-b as added by Chapter 187 of the Laws of 2019.

8. ALTERNATIVES:

The proposed rule is necessary to implement Education Law Education Law § 803-b as added by Chapter 187 of the Laws of 2019. There were no significant alternatives considered.

9. FEDERAL STANDARDS:

There are no applicable Federal standards.

10. COMPLIANCE SCHEDULE:

If adopted at the December 2020 Regents meeting, the emergency rule will become effective December 15, 2020. It is anticipated that the proposed rule will be presented to the Board of Regents for permanent adoption at the April 2021 Regents meeting, after publication of the proposed amendment in the State Register and expiration of the 60-day public comment period required under the State Administrative Procedure Act. It is anticipated that regulated parties can achieve compliance with the proposed rule by its effective date.

Revised Regulatory Flexibility Analysis

(a) Small businesses:

The proposed rule is necessary to implement Education Law § 803-b, as added by Chapter 187 of the Laws of 2019, which requires that all students in kindergarten through grade 8 receive instruction designed to prevent child sexual exploitation and child sexual abuse. Such program of instruction must be designed to educate students, parents, and school personnel about the prevention of child sexual exploitation and child sexual abuse. The proposed rule does not impose any economic impact, or other compliance requirements on small businesses. Because it is evident from the nature of the proposed rule that it does not affect small businesses, no further measures were needed to ascertain that fact and none were taken. Accordingly, a regulatory flexibility analysis for small businesses is not required and one has not been prepared.

(b) Local governments:

1. EFFECT OF RULE:

The rule applies to each of the 695 public school districts in the State.

2. COMPLIANCE REQUIREMENTS:

The proposed rule is necessary to implement Education Law § 803-b, as added by Chapter 187 of the Laws of 2019, which requires that all students in kindergarten through grade 8 receive instruction designed to prevent child sexual exploitation and child sexual abuse. Such program of instruction must be designed to educate students, parents, and school personnel about the prevention of child sexual exploitation and child sexual abuse.

The Department has worked with key partners over the past year to develop guidance and resources to support this law. The New York State Education Department has created an Erin's Law website (http://www.nysed.gov/curriculum-instruction/erins-law) with curriculum resources to support this endeavor and to provide assistance to schools, families, and educators. The website and resources have been developed with the input, review, and help of partners such as the New York State Department of Health, the NYS Office of Children and Family Services (OCFS), and the New York State Center for School Health. These tools and resources can be used in schools to ensure students in kindergarten through grade 8 have the instruction, support, and knowledge needed to protect themselves, as well as how to seek help when needed.

The New York State Education Department recommends that educators create their own Erin's Law curriculum to best fit within their school and programs, in accordance with the existing NYS standards for Health Education, to fully comply with the intent of Erin's Law. Although curriculum remains a local decision in New York State, the resources provided on the website can serve as a foundation in local curriculum development and instruction. As more resources are available, the Department will review and share them on the Erin's Law website. NYSED's Erin's Law website includes the following:

- Background on Erin's Law and school district responsibilities;
- Grade band (K-2; 3-4; 5-6; and 6-8) curriculum benchmark guidance; and
- Links to resources or programs that could be used to support local curriculum decisions and instruction.

NYSED also encourages local school districts to partner with families and communities to make decisions about sexual abuse education that are developmentally appropriate and consistent with community values.

The proposed amendment to section 100.2(c) of the Commissioner's regulations implements the provisions of Erin's Law by adding the requirement that all public school students in kindergarten through grade 8 receive instruction designated to educate students, parents, teachers, and other school personnel about the prevention of child sexual exploitation and child sexual abuse. The proposed amendment provides that such instruction may be included as part of a school's health education program and should be developed in consultation with school counselors, school social workers, school psychologists, parents, and community members. Additionally, the proposed amendments provide that such instruction shall be designed to: (1) assist children, parents, teachers, and other school personnel in identifying child sexual abuse and child sexual exploitation; (2) provide awareness, assistance, referral, and resource information for children and families who are victims of child sexual abuse and/or child sexual exploitation; and (3) be aligned to the grade band objectives prescribed by the Commissioner in guidance.

3. PROFESSIONAL SERVICES:

The proposed rule does not impose any additional professional services requirements on local governments.

4. COMPLIANCE COSTS:

The proposed amendment will not impose any significant costs beyond those imposed by statute. Any costs associated with providing the instruction required by Education Law § 803-b are expected to be minimal and capable of being absorbed by using existing district staff and resources.

5. ECONOMIC AND TECHNOLOGICAL FEASIBILITY:

The proposed rule does not impose any additional technological requirements on local governments. Economic feasibility is discussed above in the Compliance Costs section.

6. MINIMIZING ADVERSE IMPACT:

The proposed rule is necessary to implement Education Law § 803-b, as added by Chapter 187 of the Laws of 2019. There were no significant alternatives considered.

7. LOCAL GOVERNMENT PARTICIPATION:

Comments on the proposed rule were solicited from school districts through the offices of the district superintendents of each supervisory district in the State, from the chief school officers of the five big city school districts.

Revised Rural Area Flexibility Analysis

1. TYPES AND ESTIMATED NUMBERS OF RURAL AREAS:

The proposed rule applies to each public school district in the State, including those located in the 44 rural counties with fewer than 200,000 inhabitants and the 71 towns and urban counties with a population density of 150 square miles or less.

2. REPORTING, RECORDKEEPING, AND OTHER COMPLIANCE REQUIREMENTS; AND PROFESSIONAL SERVICES:

The proposed rule is necessary to implement Education Law § 803-b, as added by Chapter 187 of the Laws of 2019, which requires the requires that all students in kindergarten through grade 8 receive instruction designed to prevent child sexual exploitation and child sexual abuse. Such program of instruction must be designed to educate students, parents, and school personnel about the prevention of child sexual exploitation and child sexual abuse.

The Department has worked with key partners over the past year to develop guidance and resources to support this law. The New York State Education Department has created an Erin's Law website (http://www.nysed.gov/curriculum-instruction/erins-law) with curriculum resources to support this endeavor and to provide assistance to schools, families, and educators. The website and resources have been developed with the input, review, and help of partners such as the New York State Department of Health, the NYS Office of Children and Family Services (OCFS),

and the New York State Center for School Health. These tools and resources can be used in schools to ensure students in kindergarten through grade 8 have the instruction, support, and knowledge needed to protect themselves, as well as how to seek help when needed.

The New York State Education Department recommends that educators create their own Erin's Law curriculum to best fit within their school and programs, in accordance with the existing NYS standards for Health Education, to fully comply with the intent of Erin's Law. Although curriculum remains a local decision in New York State, the resources provided on the website can serve as a foundation in local curriculum development and instruction. As more resources are available, the Department will review and share them on the Erin's Law website. NYSED's Erin's Law website includes the following:

- Background on Erin's Law and school district responsibilities;
- Grade band (K-2; 3-4; 5-6; and 6-8) curriculum benchmark guidance; and
- Links to resources or programs that could be used to support local curriculum decisions and instruction.

NYSED also encourages local school districts to partner with families and communities to make decisions about sexual abuse education that are developmentally appropriate and consistent with community values.

The proposed amendment to section 100.2(c) of the Commissioner's regulations implements the provisions of Erin's Law by adding the requirement that all public school students in kindergarten through grade 8 receive instruction designated to educate students, parents, teachers, and other school personnel about the prevention of child sexual exploitation and child sexual abuse. The proposed amendment provides that such instruction may be included as part of a school's health education program and should be developed in consultation with school counselors, school social workers, school psychologists, parents, and community members. Additionally, the proposed amendments provide that such instruction shall be designed to: (1) assist children, parents, teachers, and other school personnel in identifying child sexual abuse and child sexual exploitation; (2) provide awareness, assistance, referral, and resource information for children and families who are victims of child sexual abuse and/or child sexual exploitation; and (3) be aligned to the grade band objectives prescribed by the Commissioner in guidance.

3. COSTS:

The proposed amendment will not impose any significant costs beyond those imposed by statute. Any costs associated with providing the instruction required by Education Law § 803-b are expected to be minimal and capable of being absorbed by using existing district staff and resources.

4. MINIMIZING ADVERSE IMPACT:

The proposed rule is necessary to implement Education Law § 803-b, as added by Chapter 187 of the Laws of 2018. Therefore, no alternatives were considered for school districts located in rural areas.

5. RURAL AREA PARTICIPATION:

Comments on the proposed rule were solicited from school districts through the offices of the district superintendents of each supervisory district in the State, from the chief school officers of the five big city school districts and from charter schools, including those in rural areas.

Revised Job Impact Statement

The proposed rule is necessary to implement Education Law § 803-b, as added by Chapter 187 of the Laws of 2019, which requires that all students in kindergarten through grade 8 receive instruction designed to prevent child sexual exploitation and child sexual abuse. Such program of instruction must be designed to educate students, parents, and school personnel about the prevention of child sexual exploitation and child sexual abuse. Because it is evident from the nature of the proposed rule that it will have no impact on the number of jobs or employment opportunities in New York State, no further steps were needed to ascertain that fact and none were taken. Accordingly, a job impact statement is not required and one has not been prepared.

Initial Review of Rule

As a rule that requires a RFA, RAFA or JIS, this rule will be initially reviewed in the calendar year 2024, which is no later than the 3rd year after the year in which this rule is being adopted.

Assessment of Public Comment

1. COMMENT:

A commenter noted that school psychologists play a vital role in the prevention, intervention and treatment related to child sexual exploitation and child sexual abuse; therefore, the comment suggested that the proposed amendment be amended to include school psychologists in the list of who districts should consult in developing the curriculum addressing this topic.

DEPARTMENT RESPONSE:

The Department agrees that school psychologists should be included; the proposed amendment has been revised to address this inadvertent omission of school psychologists.

NOTICE OF ADOPTION

Uniform Violent or Disruptive Incident Reporting (VADIR) System, Also Known As the School Safety and Educational Climate Report

I.D. No. EDU-52-20-00024-A

Filing No. 369

Filing Date: 2021-04-13

Effective Date: 2021-07-01

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following action:

Action taken: Amendment of sections 100.2(cc), (gg) and 120.3(a) of Title 8 NYCRR.

Statutory authority: Education Law, sections 15, 101, 207, 305(1), (2), 308 and 2802

Subject: Uniform Violent or Disruptive Incident Reporting (VADIR) System, Also Known as the School Safety and Educational Climate Report.

Purpose: Update the definitions of violent and disruptive incidents for purposes of the VADIR and update the School Violence Index.

Text or summary was published in the December 30, 2020 issue of the Register, I.D. No. EDU-52-20-00024-P.

Final rule as compared with last published rule: No changes.

Text of rule and any required statements and analyses may be obtained from: Kirti Goswami, NYS Education Department, Office of Counsel, 89 Washington Avenue, Room 112EB, Albany, NY 12234, (518) 474-6400, email: legal@nysed.gov

Initial Review of Rule

As a rule that requires a RFA, RAFA or JIS, this rule will be initially reviewed in the calendar year 2024, which is no later than the 3rd year after the year in which this rule is being adopted.

Assessment of Public Comment

The agency received no public comment.

NOTICE OF ADOPTION

Addressing the COVID-19 Crisis and Planning for the Reopening of Schools

I.D. No. EDU-01-21-00002-A

Filing No. 371

Filing Date: 2021-04-13

Effective Date: 2021-04-28

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following action:

Action taken: Amendment of sections 30-3.2, 52.21, 125.1 and 135.4 of Title 8 NYCRR.

Statutory authority: Education Law, sections 101, 207, 210, 215, 305, 803, 911, 3001, 3004, 3009 and 3012-d

Subject: Addressing the COVID-19 crisis and planning for the reopening of schools.

Purpose: To provide regulatory flexibility due to the COVID-19 crisis and to plan for the reopening of schools.

Text or summary was published in the January 6, 2021 issue of the Register, I.D. No. EDU-01-21-00002-EP.

Final rule as compared with last published rule: No changes.

Text of rule and any required statements and analyses may be obtained from: Kirti Goswami, NYS Education Department, Office of Counsel, 89 Washington Avenue, Room 112EB, Albany, NY 12234, (518) 474-6400, email: legal@nysed.gov

Initial Review of Rule

As a rule that requires a RFA, RAFA or JIS, this rule will be initially reviewed in the calendar year 2024, which is no later than the 3rd year after the year in which this rule is being adopted.

Assessment of Public Comment

The agency received no public comment.

PROPOSED RULE MAKING
NO HEARING(S) SCHEDULED

Education Law 310 Appeals to the Commissioner and Initiation Conduct of Proceedings for the Removal of School Officers

I.D. No. EDU-17-21-00011-P

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following proposed rule:

Proposed Action: Amendment of Parts 275, 276 and section 277.1 of Title 8 NYCRR.

Statutory authority: Education Law, sections 101, 207, 305, 310 and 311

Subject: Education Law 310 Appeals to the Commissioner and Initiation Conduct of Proceedings for the Removal of School Officers.

Purpose: To make technical changes and other clarifying amendments to section 310 appeal procedures and requirements.

Text of proposed rule: 1. Subdivision (a) of section 275.8 of the Regulations of the Commissioner of Education is amended to read as follows:

(a) Petition. A copy of the petition, together with all of petitioner's affidavits, exhibits, and other supporting papers, [except] *including* a memorandum of law [(unless the appeal is a charter school location/co-location appeal pursuant to section 276.11 of this Title, in which case the memorandum of law shall be served with the petition) or] *but excluding* an affidavit in support of a reply, shall be personally served upon each named respondent, or, if a named respondent cannot be found upon diligent search, by delivering and leaving the same at the respondent's residence with some person of suitable age and discretion, between six o'clock in the morning and nine o'clock in the evening, or as otherwise directed by the commissioner. If a school district is named as a party respondent, service upon such school district shall be made personally by delivering a copy of the petition to the district clerk, to any trustee or any member of the board of education of such school district, to the superintendent of schools, or to a person in the office of the superintendent who has been designated by the board of education to accept service. If a board of cooperative educational services is named as a party respondent, service upon such board shall be made personally by delivering a copy of the petition to the district superintendent, to a person in the office of the district superintendent who has been designated by the board to accept service, or to any member of the board of cooperative educational services. Pleadings may be served by any person not a party to the appeal over the age of 18 years. If the last day for service of the petition falls on a Saturday or Sunday, service may be made on the following Monday; and if the last day for such service falls on a legal holiday, service may be made on the following business day.

2. Section 275.13 of the Regulations of the Commissioner of the Education is amended to read as follows:

Section 275.13. Service of answer and supporting papers

(a) Except in the case of a charter school location/co-location appeal pursuant to section 276.11 of this Title, each respondent upon whom a copy of the petition has been served shall, within 20 days from the time of such service, answer the same, either by concurring in a statement of facts with the petitioner or by service in the manner set forth in section 275.8(b) of this Part of an answer, together with all of respondent's affidavits, exhibits and other supporting papers*, including a memorandum of law*. The date upon which personal service was made upon respondent shall be excluded in the computation of the 20-day period. The time to answer in a charter school location/co-location appeal pursuant to section 276.11 of this Title shall be governed by Education Law section 2853(3)(a-5) and such section 276.11 of this Title.

(b) …

(c) Alternative service of answer and supporting papers in an appeal regarding a homeless child's or youth's access to a free, appropriate public education pursuant to section 100.2(x) of this Title. If petitioner so elects, respondent shall serve the answer upon the local educational agency liaison for homeless children and youth, together with all of respondent's affidavits, exhibits and other supporting papers[, except a memorandum of law] *including a memorandum of law*. In such case, the local educational agency liaison for homeless children and youth shall notify the petitioner of his/her receipt of the answer, together with all of respondent's affidavits, exhibits and other supporting papers by serving these documents upon petitioner at petitioner's last known address in the manner set forth in section 275.8(b) of this Part or, upon petitioner's request, by making copies of these documents available to petitioner without cost.

3. Section 275.14 of the Regulations of the Commissioner of Educaiton is amended to read as follows:

(a) The petitioner shall reply to each affirmative defense contained in an answer. The reply, together with *any reply memorandum of law and* any affidavits which shall be limited to support of such reply, shall be served within 10 days after service of the answer to which it responds in the manner set forth in section 275.8(b) of this Part, except that a reply in a charter school location/co-location appeal pursuant to section 276.11 of this Title shall be served within the time prescribed by such section 276.11 of this Title. If an answer has been served by mail upon petitioner or petitioner's counsel, the date of mailing and the four days subsequent thereto shall be excluded in computing the 10-day period.

(b) Reply in an appeal regarding a homeless child's or youth's access to a free, appropriate public education pursuant to section 100.2(x) of this Title. The petitioner shall reply to each affirmative defense contained in an answer. The reply, together with any *reply memorandum of law and* affidavits which shall be limited to support of such reply, shall be served in the manner set forth in [either] section 275.8(b) or (e) of this Part.

4. Section 276.4 of the Regulations of the Commissioner of Education is amended to read as follows:

(a) Memoranda of law, consisting of the parties' arguments of law may be submitted by any party to an appeal, and may be requested by the commissioner's counsel or by the commissioner. [The petitioner shall serve a copy of any memorandum of law upon every other party to the appeal in the manner provided by section 275.8(b) of this Title and except in the case of a charter school location/co-location appeal pursuant to section 276.11 of this Part shall file such memorandum of law, with proof of service thereof in accordance with section 275.9 of this Title, within 20 days after service of the answer or 10 days after service of the reply, whichever is later. Each respondent shall serve a copy of any memorandum of law, upon every other party in the manner provided by section 275.8(b) of this Title and, except in the case of a charter school location/co-location appeal pursuant to section 276.11 of this Part, shall file such memorandum of law with proof of service thereof in accordance with section 275.9 of this Title, within 30 days after service of the answer or 20 days after service of the reply, whichever is later. Where the answer is served upon petitioner or petitioner's counsel by mail, the date of mailing and the four days subsequent thereto shall be excluded in the computation of the 20-day period in which petitioner's memorandum of law must be served and filed. Except in the case of a charter school location/co-location appeal pursuant to section 276.11 of this Part, reply memoranda will be accepted only with the prior approval of the commissioner.] *The petitioner shall serve and file any memorandum of law with the petition in accordance with section 275.8(a) of this section, and respondent shall serve and file any memorandum of law with the answer in accordance with section 275.13 of this Title. The petitioner shall serve and file any reply memorandum of law with the reply in accordance with section 275.14 of this Title.* The commissioner, in his/her sole discretion, may permit the late filing of memoranda of law upon written application by a party, setting forth good cause for the delay and demonstrating the necessity of such memoranda to a determination of the appeal, together with proof of service of a copy of such application upon all other parties to the appeal. In a charter school location/co-location appeal pursuant to section 276.11 of this Part, memoranda of law shall be served and filed in the manner prescribed in such section 276.11 of this Part.

(b) Notwithstanding the provisions of subdivision (a) of this section, in an appeal regarding a homeless child's or youth's access to a free, appropriate public education pursuant to section 100.2(x) of this Title, *the parent or guardian of a homeless child or youth or unaccompanied youth as defined in section 100.2(x) of this Title may serve a* [memoranda] *memorandum* of law [may be served] in the manner provided by [either] section 275.8 [(b)] *(a)* or (e) of this Title.

5. Subdivision (b) of section 276.5 of the Regulations of the Commissioner of Education is amended to read as follows:

(b) Notwithstanding the provisions of subdivision (a) of this section, in an appeal regarding a homeless child's or youth's access to a free, appropriate public education pursuant to section 100.2(x) of this Title*, the application and proposed* affidavits, exhibits and other supporting papers may be served in accordance with [either] section 275.8(b) or (e) [or section 275.13(b)] of this Title.

6. Subdivision (b) of section 277.1 of the Regulations of the Commissioner of Education is amended to read as follows:

(b) the petition must include a notice to the officer in substantially the following form:

[SIR:]

Take notice that the petition and affidavits, copies of which are herewith served upon you, will be presented to the Commissioner of Education at Albany, and application thereon made for your removal from the office of..... of..... School District..... of the Town of....., ... County, and that you are required to transmit your answer to such application, duly verified, with an affidavit of service of a copy thereof upon petitioner, to the Office of Counsel, New York State Education Department, State Education Building, Albany, N.Y. 12234, or the charges contained in such petition and affidavit will be deemed to be admitted by you.

Please take further notice that your answer must conform with the provisions of the regulations of the Commissioner of Education relating to appeals before the Commissioner, copies of which are available from the Office of Counsel, New York State Education Department, State Education Building, Albany, N.Y. 12234. Such regulations require that an answer to the petition must be served upon petitioner, or if he be represented by counsel, upon his counsel, within 20 days after the service of the petition, and that a copy of such answer must, within five days after such service, be filed with the Office of Counsel, New York State Education Department, State Education Building, Albany, N.Y. 12234.

Text of proposed rule and any required statements and analyses may be obtained from: Kirti Goswami, NYS Education Department, Office of Counsel, 89 Washington Avenue, Room 112EB, Albany, NY 12234, (518) 474-6400, email: legal@nysed.gov

Data, views or arguments may be submitted to: Julia Patane, NYS Education Department, Office of Counsel, 89 Washington Avenue, Room 112EB, Albany, NY 12234, (518) 474-6400, email: REGCOMMENTS@nysed.gov

Public comment will be received until: 60 days after publication of this notice.

Regulatory Impact Statement

1. STATUTORY AUTHORITY:

Education Law § 101 continues the existence of the Education Department, with the Board of Regents as its head, and authorizes the Regents to appoint the Commissioner as chief administrative officer of the Department, which is charged with the general management and supervision of public schools and the educational work of the State.

Education Law § 207 authorizes the Regents and Commissioner to adopt rules and regulations implementing State law regarding education.

Education Law § 305(1) designates the Commissioner as chief executive officer of the State system of education and the Regents, and authorizes the Commissioner to enforce laws relating to the educational system and to execute the Regents' educational policies. Section 305(2) authorizes the Commissioner to have general supervision over schools subject to the Education Law.

Education Law § 310 provides that an aggrieved party may appeal by petition to the Commissioner of Education in consequence of certain specified actions by school districts and school officials.

Education Law § 311 authorizes the Commissioner to regulate the practice of appeals to the Commissioner brought pursuant to Education Law section 310.

2. LEGISLATIVE OBJECTIVES:

The proposed amendment is consistent with the authority conferred by the above statutes to regulate the practice and procedures to be followed in Education Law section appeals.

3. NEEDS AND BENEFITS:

The proposed rule is needed to make technical changes to the service of memorandum of law in section 310 appeals to expedite the processing of administrative records; and to make additional clarifying amendments. Specifically, the proposed rule will:

(1) update the requirements for service and filing of memoranda of law such that a petitioner serve and file any memorandum of law with the petition; a respondent serve and file any memorandum of law with the answer; and a petitioner serve and file any reply memorandum of law with the reply;

(2) make a corresponding amendment to section 275.8(a) of the Commissioner's regulations to provide that a memorandum of law be served with the petition;

(3) make a corresponding amendment to section 275.13 of the Commissioner's regulations to provide that a memorandum of law be served with the answer;

(4) make a corresponding amendment to section 275.14 of the Commissioner's regulations to provide that a memorandum of law be served with the reply; and

(5) amend section 276.5(b) of the Commissioner's regulations to clarify that in an appeal regarding a homeless child's or youth's access to a free appropriate public education pursuant to section 100.2(x) of the Commissioner's regulations, the application must be served along with proposed affidavits, exhibits and other supporting papers, consistent with the requirements of subdivision (a) of such section. Additionally, subdivision (b) is amended to remove the reference to section 275.13(b) of the Commissioner's regulations in reference to service of such appeals.

In addition, the proposed rule includes a technical amendment to section 277.1(b) of the Commissioner's regulations relating to the initiation and conduct of proceedings for removal of school officers. The proposed amendment removes the title "SIR" from the required notice to be included in the petition for removal.

4. COSTS:

Cost to the State: The amendments do not impose any costs on State government.

Costs to local government: The amendments do not impose any costs on local government beyond those imposed by statute.

Cost to private regulated parties: The amendments do not impose any costs on private regulated parties beyond those imposed by the statute.

Cost to regulating agency for implementation and continued administration of this rule: There are no additional costs to the State Education Department.

5. LOCAL GOVERNMENT MANDATES:

The proposed amendment is necessary to clarify and make technical amendments to Education Law § 310 appeal procedures and requirements. The proposed amendment will not impose any additional program, service, duty or responsibility on local governments.

6. PAPERWORK:

The proposed amendment is necessary to clarify and make technical amendments to Education § 310 appeal procedures and requirements. The proposed amendment updates the requirements for service and filing of memoranda of law such that a petitioner serve and file any memorandum of law with the petition; a respondent serve and file any memorandum of law with the answer; and a petitioner serve and file any reply memorandum of law with the reply. Additionally, the proposed amendment clarifies that in an appeal regarding a homeless child's or youth's access to a free appropriate public education pursuant to section 100.2(x) of the Commissioner's regulations, the application must be served along with proposed affidavits, exhibits and other supporting papers, consistent with the requirements of subdivision (a) of such section.

7. DUPLICATION:

The proposed amendment does not duplicate, overlap or conflict with State and Federal rules or requirements.

8. ALTERNATIVES:

The proposed amendment is necessary to clarify and make technical amendments to Education § 310 appeal procedures and requirements. There were no significant alternatives and none were considered.

9. FEDERAL STANDARDS:

There are no applicable Federal standards.

10. COMPLIANCE SCHEDULE:

It is anticipated that the proposed rule will be presented to the Board of Regents for adoption at the September 2021 Regents meeting, after publication of the proposed amendment in the State Register and expiration of the 60-day public comment period required by the State Administrative Procedure Act. If adopted at the September 2021 meeting, the proposed rule will take effect on September 29, 2021.It is anticipated that regulated parties will be able to achieve compliance with the provisions of the proposed amendment by its effective date.

Regulatory Flexibility Analysis

(a) Small Businesses:

The proposed amendment relates to procedures for appeals that are brought pursuant to Education Law section 310 and does not apply to small businesses since they are not parties to such proceedings. The proposed amendment will not impose any additional reporting, recordkeeping or other compliance requirements on small businesses, nor will it have any adverse economic impact on small businesses. Because it is evident from the nature of the rule that it does not apply to small businesses, no further steps were needed to ascertain that fact and none were taken. Therefore, a regulatory flexibility analysis is not required, and one has not been prepared.

(b) Local Governments:

1. EFFECT OF PROPOSED RULE:

The proposed amendment is applicable to all public school districts and boards of cooperative educational services (BOCES) in the State.

2. COMPLIANCE REQUIREMENTS:

The proposed rule is needed to make technical changes to the service of memorandum of law in section 310 appeals to expedite the processing of administrative records; and to make additional clarifying amendments. Specifically, the proposed rule will:

(1) update the requirements for service and filing of memoranda of law such that a petitioner serve and file any memorandum of law with the petition; a respondent serve and file any memorandum of law with the answer; and a petitioner serve and file any reply memorandum of law with the reply;

(2) make a corresponding amendment to section 275.8(a) of the Commissioner's regulations to provide that a memorandum of law be served with the petition;

(3) make a corresponding amendment to section 275.13 of the Commissioner's regulations to provide that a memorandum of law be served with the answer;

(4) make a corresponding amendment to section 275.14 of the Commissioner's regulations to provide that a memorandum of law be served with the reply; and

(5) amend section 276.5(b) of the Commissioner's regulations to clarify that in an appeal regarding a homeless child's or youth's access to a free

appropriate public education pursuant to section 100.2(x) of the Commissioner's regulations, the application must be served along with proposed affidavits, exhibits and other supporting papers, consistent with the requirements of subdivision (a) of such section. Additionally, subdivision (b) is amended to remove the reference to section 275.13(b) of the Commissioner's regulations in reference to service of such appeals.

In addition, the proposed rule includes a technical amendment to section 277.1(b) of the Commissioner's regulations relating to the initiation and conduct of proceedings for removal of school officers. The proposed amendment removes the title "SIR" from the required notice to be included in the petition for removal.

3. PROFESSIONAL SERVICES:

The proposed amendment will not increase the level of professional services needed by school districts or BOCES to comply with its requirements.

4. COMPLIANCE COSTS:

The proposed rule is needed to clarify and make technical amendments to Education Law § 310 appeal procedures and requirements and will not impose any additional costs on school districts or BOCES.

5. ECONOMIC AND TECHNOLOGICAL FEASIBILITY:

The proposed amendment does not impose any additional technological requirements on school districts or BOCES. Economic feasibility is addressed under the compliance costs section above.

6. MINIMIZING ADVERSE IMPACT:

The proposed amendment is needed to clarify and make technical amendments to Education Law § 310 appeal procedures and requirements. The proposed amendment updates the requirements for service and filing of memoranda of law such that a petitioner serve and file any memorandum of law with the petition; a respondent serve and file any memorandum of law with the answer; and a petitioner serve and file any reply memorandum of law with the reply. Additionally, the proposed amendment clarifies that in an appeal regarding a homeless child's or youth's access to a free appropriate public education pursuant to section 100.2(x) of the Commissioner's regulations, the application must be served along with proposed affidavits, exhibits and other supporting papers, consistent with the requirements of subdivision (a) of such section. The proposed amendment does not impose any additional reporting, recordkeeping or other compliance requirements on local governments beyond those imposed by Federal and State statutes.

7. LOCAL GOVERNMENT PARTICIPATION:

Comments on the proposed amendment were solicited from school districts and BOCES through the offices of the district superintendents of each supervisory district in the State.

Rural Area Flexibility Analysis

1. TYPES AND ESTIMATED NUMBERS OF RURAL AREAS:

The proposed amendment applies to all school districts in the State, including those located in the 44 rural counties with less than 200,000 inhabitants and the 71 towns in urban counties with a population density of 150 per square mile or less. At present 2 school districts and 11 BOCES serve rural areas.

2. REPORTING, RECORDKEEPING AND OTHER COMPLIANCE REQUIREMENTS; AND PROFESSIONAL SERVICES:

The proposed rule is needed to make technical changes to the service of memorandum of law in section 310 appeals to expedite the processing of administrative records; and to make additional clarifying amendments. Specifically, the proposed rule will:

(1) update the requirements for service and filing of memoranda of law such that a petitioner serve and file any memorandum of law with the petition; a respondent serve and file any memorandum of law with the answer; and a petitioner serve and file any reply memorandum of law with the reply;

(2) make a corresponding amendment to section 275.8(a) of the Commissioner's regulations to provide that a memorandum of law be served with the petition;

(3) make a corresponding amendment to section 275.13 of the Commissioner's regulations to provide that a memorandum of law be served with the answer;

(4) make a corresponding amendment to section 275.14 of the Commissioner's regulations to provide that a memorandum of law be served with the reply; and

(5) amend section 276.5(b) of the Commissioner's regulations to clarify that in an appeal regarding a homeless child's or youth's access to a free appropriate public education pursuant to section 100.2(x) of the Commissioner's regulations, the application must be served along with proposed affidavits, exhibits and other supporting papers, consistent with the requirements of subdivision (a) of such section. Additionally, subdivision (b) is amended to remove the reference to section 275.13(b) of the Commissioner's regulations in reference to service of such appeals.

In addition, the proposed rule includes a technical amendment to section 277.1(b) of the Commissioner's regulations relating to the initiation and conduct of proceedings for removal of school officers. The proposed amendment removes the title "SIR" from the required notice to be included in the petition for removal.

The proposed amendment will not increase the level of professional services needed by school districts or BOCES to comply with its requirements.

3. COMPLIANCE COSTS:

The proposed rule is needed to clarify and make technical amendments to Education Law § 310 appeal procedures and requirements and will not impose any additional costs on school districts or BOCES.

4. MINIMIZING ADVERSE IMPACT:

The proposed amendment is needed to clarify and make technical amendments to Education Law § 310 appeal procedures and requirements. The proposed amendment updates the requirements for service and filing of memoranda of law such that a petitioner serve and file any memorandum of law with the petition; a respondent serve and file any memorandum of law with the answer; and a petitioner serve and file any reply memorandum of law with the reply. Additionally, the proposed amendment clarifies that in an appeal regarding a homeless child's or youth's access to a free appropriate public education pursuant to section 100.2(x) of the Commissioner's regulations, the application must be served along with proposed affidavits, exhibits and other supporting papers, consistent with the requirements of subdivision (a) of such section.

The proposed amendment does not impose any additional reporting, recordkeeping or other compliance requirements on rural areas. Because these requirements are applicable State-wide, it was not possible to prescribe lesser requirements for rural areas.

5. RURAL AREA PARTICIPATION:

Comments on the proposed rule were solicited from school districts through the offices of the district superintendents of each supervisory district in the State, from the chief school officers of the five big city school districts, including those in rural areas.

Job Impact Statement

The proposed amendment relates to Education Law section 310 appeal procedures and will not have a substantial adverse impact on jobs and employment opportunities. Because it is evident from the nature of the rule that it will not affect job and employment opportunities, no affirmative steps were needed to ascertain that fact and none were taken. Accordingly, a job impact statement is not required and one has not been prepared.

Department of Environmental Conservation

NOTICE OF ADOPTION

Sportfishing (Freshwater) and Associated Activities

I.D. No. ENV-47-20-00005-A

Filing No. 362

Filing Date: 2021-04-12

Effective Date: 2021-06-12

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following action:

Action taken: Amendment of sections 10.2 and 10.3 of Title 6 NYCRR.

Statutory authority: Environmental Conservation Law, sections 3-0301, 11-0303, 11-0305, 11-0317, 11-1301, 11-1303, 11-1316 and 11-1319

Subject: Sportfishing (freshwater) and associated activities.

Purpose: To revise and simplify sportfishing regulations and associated activities.

Text of final rule: Existing clause 10.2(g)(2)(i)(b) is amended to read as follows:

(b)	Black Bass	Second Saturday in June through November 30 December 1 through the Friday preceding the second Saturday in June Catch and release only; artificial lures only	[10″]*12″*	5

Existing clause 10.3(b)(1)(d) is repealed.

Existing clause 10.3(b)(12)(d) is repealed.

Existing clause 10.3(b)(14)(e) is repealed.
Existing clause 10.3(b)(16)(m) is amended to read as follows:

(m)	Moose Pond in Town of St. Armand	[Black bass]	[April 1 through November 30]	[Any size]	[Any number]
		Lake trout	April 1 through October 15	15″	3

Existing clause 10.3(b)(17)(k) is amended to read as follows:

(k)	Lake Colby	Trout	All year	Any size	5	Ice fishing permitted
		Landlocked salmon	All year	15″	3	
		[Black bass]	[Third Saturday in June through November 30]	[Any size]	[5]	

Existing clause 10.3(b)(23)(i) is amended to read as follows:

(i)	Black River from Dexter Dam upstream, Indian River	[Black bass]	[Third Saturday in June through November 30]	[10″]	[5]
		Walleye	Statewide Regulations Apply		

Existing clause 10.3(b)(25)(d) is repealed.
Existing clause 10.3(b)(29)(b) is repealed.
Existing clause 10.3(b)(33)(f) is repealed.
Existing clause 10.3(b)(37)(f) is repealed.
Existing clause 10.3(b)(40)(g) is repealed.
Existing clause 10.3(b)(44)(c) is repealed.
Existing clause 10.3(b)(45)(o) is repealed.
Existing paragraph 10.3(b)(47) is amended as follows:

(47)	Schenectady	Reserved for future use

Existing clause 10.3(b)(47)(a) is repealed.
Existing clause 10.3(b)(48)(d) is repealed
Existing clause 10.3(b)(51)(c) is repealed.
Existing clause 10.3(b)(53)(j) is repealed.
Existing clause 10.3(b)(54)(c) is repealed.
Existing clause 10.3(b)(55)(c) is repealed.
Existing clause 10.3(b)(56)(g) is repealed
Existing clause 10.3(b)(60)(f) is repealed

Final rule as compared with last published rule: Nonsubstantial changes were made in section 10.3(b).

Text of rule and any required statements and analyses may be obtained from: Jeffrey Loukmas, NYS Department of Environmental Conservation, 625 Broadway, Albany, NY 12233-4753, (518) 402-8897, email: jeffrey.loukmas@dec.ny.gov

Additional matter required by statute: Pursuant to Article 8 of the Environmental Conservation Law and the State Environmental Quality Review Act, a programmatic Generic Environmental Impact Statement pertaining to these actions is on file with the Department.

Revised Regulatory Impact Statement, Regulatory Flexibility Analysis, Rural Area Flexibility Analysis and Job Impact Statement

The original Regulatory Impact Statement, Regulatory Flexibility Analysis, Rural Area Flexibility Analysis and Job Impact Statement, as published in the Notice of Proposed Rule Making, remain valid and do not need to be amended.

Initial Review of Rule

As a rule that requires a RFA, RAFA or JIS, this rule will be initially reviewed in the calendar year 2024, which is no later than the 3rd year after the year in which this rule is being adopted.

Assessment of Public Comment

A total of 41 public comments were received through e-mail. Twenty-seven comments expressed support for the proposals, seven conveyed recommendations or concerns, and seven were general statements or issues outside the scope of the proposal. Comments were grouped into 6 themes. Responses to those themes are included below. Comments in support of the proposals are not included in this assessment.

Theme #1: The statewide minimum size limit for black bass should be higher than 12 inches.

Response: Black bass fisheries throughout the state are doing well under the current 12 inch minimum size limit. This size limit balances resource protection with recreational opportunity by allowing black bass to reach reproductive age (4 or 5 years) before being subject to harvest, while providing anglers the opportunity to take desirable size fish. A higher statewide minimum size limit will unnecessarily limit fishing opportunities. Higher size limits should be reserved for certain waters where additional protection is necessary to maintain or improve bass population structure.

Theme #2: The "any size" regulation for Lake Colby helps prevent an overpopulation of largemouth bass. Personal observation suggests that the regulation increased harvest and helped improve the size structure of the bass population.

Response: The last boat electrofishing effort was conducted in 1993 (28 years ago) with the purpose of collecting juvenile largemouth bass for a transfer program to establish populations in other ponds. There have been no bass population assessment surveys conducted on Lake Colby, and thus no formal evaluation of the "any size" regulation has been conducted. There is no current evidence that this special regulation is warranted.

Theme #3: A 10 inch minimum size limit would provide an opportunity to harvest small smallmouth bass in the Hudson River above Warrensburg.

Response: Bass population surveys have not been conducted on this section of the Hudson River and population characteristics are unknown. There is no current evidence that a 10 inch minimum size limit is warranted. A recent evaluation of 10 rivers with a 10-inch minimum size limit found no differences in bass population structure between these rivers and lakes and rivers with the 12 inch minimum size limit. Also, given that black bass fishing is primarily a catch and release activity, maintaining the statewide 12 inch minimum size is the most appropriate option.

Theme #4: The "any size, any number" special regulation provides an opportunity to harvest small smallmouth bass in Moose Pond.

Response: Bass population surveys have not been conducted on Moose Pond and population characteristics are unknown. There is no current evidence that this special regulation is warranted. Also, given that black bass fishing is primarily a catch and release activity, implementing the statewide 12 inch minimum size is the most appropriate option at this time.

Theme #5: A slot limit would be more effective at managing for larger bass than a blanket minimum size.

Response: A primary motivation for a universal black bass 12 inch minimum size limit is to simplify fishing regulations. Also, black bass fishing is now a primarily catch and release activity. As the success of slot limit regulations is dependent on harvest of bass in allowable size slots, we are not confident that implementation of slot limits would have the desired effect.

Theme #6: Leave stream and river regulations at 10 inches.

Response: A recent evaluation of black bass populations from 10 rivers with this special regulation revealed that there were no differences in the size structures of these populations and those from rivers and lakes managed with the statewide 12-inch minimum size limit. The results of this study suggest that there is no biological justification for maintaining the special regulation. Elimination of this unnecessary special regulation and replacing it with the statewide 12 inch minimum size limit should not affect angler opportunity and will simplify the current suite of back bass fishing regulations.

New York State Gaming Commission

PROPOSED RULE MAKING
NO HEARING(S) SCHEDULED

Time, Place and Manner of Powerball Drawings

I.D. No. SGC-17-21-00001-P

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following proposed rule:

Proposed Action: Amendment of section 5007.13(g) of Title 9 NYCRR.

Statutory authority: Racing, Pari-Mutuel Wagering and Breeding Law, sections 104(1), (19); Tax Law, section 1604(a)

Subject: Time, place and manner of Powerball drawings.

Purpose: To provide that the time, place and manner of Powerball drawings will be as authorized by the Multi-State Lottery Association.

Text of proposed rule: Section 5007.13(g) of 9 NYCRR would be amended to read as follows:

§ 5007.13. Powerball.

* * *

(g) Time, place and manner of conducting drawings. Powerball drawings will be conducted [twice weekly at 10:59 p.m. eastern time] at [a location] *times, places and in a manner* authorized by MUSL. [The day, time, frequency and location of the Powerball drawings may be changed following a public announcement.]

Text of proposed rule and any required statements and analyses may be obtained from: Kristen Buckley, Secretary to the Commission, New York State Gaming Commission, One Broadway Center, P.O. Box 7500, Schenectady, New York 12301-7500, (518) 388-3332, email: gamingrules@gaming.ny.gov

Data, views or arguments may be submitted to: Same as above.

Public comment will be received until: 60 days after publication of this notice.

This rule was not under consideration at the time this agency submitted its Regulatory Agenda for publication in the Register.

Regulatory Impact Statement

1. STATUTORY AUTHORITY: The New York State Gaming Commission ("Commission") is authorized to promulgate the proposed rules by Tax Law Sections 1604(a) and by Racing, Pari-Mutuel Wagering and Breeding Law ("Racing Law") Sections 104(1) and 104(19).

Tax Law Section 1604(a) authorizes the promulgation of rules governing the establishment and operation of such lottery. Racing Law Section 104(1) grants the Commission general jurisdiction over all gaming activities within the State. Racing Law Section 104(19) authorizes the Commission to promulgate any rules it deems necessary to carry out its responsibilities.

2. LEGISLATIVE OBJECTIVES: To permit the State's continued participation in the Powerball multi-jurisdiction lottery game and to implement changes that are expected to generate more revenue for education and promote flexibility in implementing future changes to the times, place or manner of Powerball drawings.

3. NEEDS AND BENEFITS: Powerball is a multi-state lottery game that New York offers pursuant to an agreement among various state and territorial lotteries, as authorized by Tax Law Sections 1617, 1612(a) and 1604. The consortium of lotteries that participates in Powerball and the Multi-State Lottery Association ("MUSL") that administers Powerball concluded that more frequent drawings would attract more customers and has adopted a change in association rules, to be effective for the August 23, 2021 drawing, to conduct drawings three times each week, instead of two. If New York does not accept the change, it would no longer participate in the Powerball game. This rule amendment provides that the time, place and manner of Powerball drawings would be as authorized by MUSL, rather than prescribing a particular frequency and times of drawings.

4. COSTS:

(a) Costs to the regulated parties for the implementation of and continuing compliance with these rules: None anticipated.

(b) Costs to the regulating agency, the State, and local governments for the implementation of and continued administration of the rule: The changes are expected to generate more revenue for education.

(c) The information, including the source or sources of such information, and methodology upon which the cost analysis is based: The estimates are based on the New York Lottery's experience regulating lottery games for more than 43 years.

5. LOCAL GOVERNMENT MANDATES: No anticipated impact upon local governments.

6. PAPERWORK: The proposed rules impose no changes in paperwork requirements.

7. DUPLICATION: These rules do not duplicate, overlap or conflict with any existing State or federal requirements.

8. ALTERNATIVES: Without the amended rules, the New York Lottery would need to remove the game from its portfolio of offerings and aid to education would be negatively affected.

9. FEDERAL STANDARDS: The proposed amendments do not exceed any minimum standards of the federal government for the same or similar subject areas.

10. COMPLIANCE SCHEDULE: The proposed rules will become effective upon publication of a Notice of Adoption in the State Register.

Regulatory Flexibility Analysis, Rural Area Flexibility Analysis and Job Impact Statement

The proposed changes do not require a Regulatory Flexibility Analysis, Rural Area Flexibility Analysis or Job Impact Statement. There will be no adverse impact on small businesses, local governments, rural areas or jobs.

The proposed rule making would permit New York's continued participation in the Powerball multi-jurisdiction lottery game and implement changes that are expected to generate more revenue for education.

The proposed rules will not impose any adverse economic impacts or reporting, recordkeeping or other compliance requirements on small businesses, local governments, rural areas or employment opportunities.

Division of Human Rights

ERRATUM

A Notice of Proposed Rule Making, ID No. HRT-15-21-00005-P, pertaining to "Notice of tenants' rights to reasonable modifications and accommodations for persons with disabilities" and published in the April 14, 2021 issue of the State Register contained a typographical error in the email address provided for requesting statements and analyses. The corrected information should read as follows:

Text of proposed rule and any required statements and analyses may be obtained from: Caroline J. Downey, General Counsel, Division of Human Rights, One Fordham Plaza, 4th Floor, Bronx, New York 10458, (718) 741-8398, email: caroline.downey@dhr.ny.gov

Office for People with Developmental Disabilities

NOTICE OF ADOPTION

Medical Consents

I.D. No. PDD-02-21-00005-A

Filing No. 363

Filing Date: 2021-04-12

Effective Date: 2021-04-28

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following action:

Action taken: Amendment of section 633.11 of Title 14 NYCRR.

Statutory authority: Mental Hygiene Law, sections 13.07, 13.09(b), 13.15(a) and 16.00

Subject: Medical Consents.

Purpose: To assist providers in administering the COVID-19 vaccine.

Text or summary was published in the January 13, 2021 issue of the Register, I.D. No. PDD-02-21-00005-EP.

Final rule as compared with last published rule: No changes.

Text of rule and any required statements and analyses may be obtained from: Mary Beth Babcock, Office for People With Developmental Disabilities, 44 Holland Avenue Albany NY, (518) 474-7700, email: rau.unit@opwdd.ny.gov

Additional matter required by statute: Pursuant to the requirements of the State Environmental Quality Review Act, OPWDD, as lead agency, has determined that the action described herein will have no effect on the environment and an E.I.S. is not needed.

Initial Review of Rule

As a rule that does not require a RFA, RAFA or JIS, this rule will be initially reviewed in the calendar year 2026, which is no later than the 5th year after the year in which this rule is being adopted

Assessment of Public Comment

The agency received no public comment.

NOTICE OF ADOPTION

Medication Regimen Review

I.D. No. PDD-04-21-00006-A
Filing No. 420
Filing Date: 2021-04-12
Effective Date: 2021-04-28

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following action:

Action taken: Amendment of Parts 633.16 and 633.17 of Title 14 NYCRR.

Statutory authority: Mental Hygiene Law, sections 13.07, 13.09(b) and 16.00

Subject: Medication Regimen Review.

Purpose: Makes technical corrections to align with current regulation allowing for an annual medication regimen review or more frequently.

Text of final rule: • Existing subparagraph 633.16(j)(5)(d) is amended to read as follows:

(d) A [semi-annual] medication regimen review that includes any medications prescribed to treat a co-occurring diagnosed psychiatric disorder, or to prevent, modify, or control challenging behavior(s), must be conducted in accordance with section 633.17 of this Part. The results of these medication regimen reviews shall be shared with the person's program planning team and the prescriber, and documented in the person's record, in order to assist healthcare providers and the team to evaluate whether the benefits of continuing the medication(s) outweigh the risk inherent in potential side effects.

Existing paragraph 633.17(b)(8) is amended to read as follows:

(8) OPWDD shall verify that the medication regimen of each person in a *certified* residential facility has been reviewed at least [semi-]annually by a registered nurse, physician, physician['s] assistant, or pharmacist.

Final rule as compared with last published rule: Nonsubstantive changes were made in section 633.17(b)(8).

Text of rule and any required statements and analyses may be obtained from: Mary Beth Babcock, Office for People With Developmental Disabilities, 44 Holland Avenue, Albany, NY, (518) 474-7100, email: rau.unit@pwdd.ny.gov

Additional matter required by statute: Pursuant to the requirements of the State Environmental Quality Review Act, OPWDD, as lead agency, has determined that the action described herein will have no effect on the environment and an E.I.S. is not needed.

Revised Regulatory Impact Statement, Regulatory Flexibility Analysis, Rural Area Flexibility Analysis and Job Impact Statement

A revised Regulatory Impact Statement, Regulatory Flexibility Analysis, Rural Area Flexibility Analysis and Job Impact Statement is not required since the changes made to this rule are not substantive changes. The changes made to the rule text clarify the language of the rule.

Initial Review of Rule

As a rule that requires a RFA, RAFA or JIS, this rule will be initially reviewed in the calendar year 2024, which is no later than the 3rd year after the year in which this rule is being adopted.

Assessment of Public Comment

Comment: Reducing from a bi-annual review to an annual review would lead to an increased risk of harm to the resident, the review will not have a meaningful impact, the review would require significantly more time than bi-annually, and a bi-annual review ensures compliance with changing best practices and clinical guidelines.

Response: The proposed rule does not require only one review per year. Under 14 NYCRR 633.17(a)(18)(i) the language states "Residential facilities shall ensure that a review of a person's medication regimen is conducted on no less than an annual basis, or more frequently as needed." The proposed rule amends 14 NYCRR 633.16 and 633.17 to coincide with the annual requirement under 14 NYCRR 633.17(a)(18)(i). However, the proposed rule does not change the requirement to only once per year but rather required at least once per year. Under the language of 14 NYCRR 633.17(a)(18)(i) a review could happen as frequently as needed.

This comment was reviewed, and the text will not be changed. Under the statutory language a review can be done as frequently as needed.

Comment: Reducing the medication review to annually would increase paperwork as a "medication related issue could continue for a longer period without the pharmacist intervention."

Response: As noted above the medication review can occur as frequently as needed but must occur at least once per year.

This comment was reviewed, and the text will not be changed. Under the statutory language a review can be done as frequently as needed.

Comment: The text of the rule uses the term "physician's assistant" rather than "physician assistant."

Response: OPWDD does not want to create any confusion as to who this term applies.

This comment was considered, and the text will be changed.

Public Service Commission

PROPOSED RULE MAKING NO HEARING(S) SCHEDULED

Changes to PSL Section 66-p Relating to Billing Information for Residential Rental Premises

I.D. No. PSC-17-21-00002-P

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following proposed rule:

Proposed Action: The Commission is considering a proposal filed by Woodhull Municipal Gas Company to modify its gas tariff schedule regarding changes to PSL Section 66-p relating to billing information for residential rental premises.

Statutory authority: Public Service Law, sections 65, 66 and 66-p

Subject: Changes to Public Service Law section 66-p relating to billing information for residential rental premises.

Purpose: To establish provisions as necessary to effectuate Public Service Law section 66-p.

Substance of proposed rule: The Commission is considering a proposal by Woodhull Municipal Gas Company (the Company) on April 1, 2021, to amend its gas tariff schedule, P.S.C. No. 2. The Company proposes to establish provisions relating to billing information for residential rental properties in accordance with the recently enacted Public Service Law Section 66-p which became effective on April 18, 2020.

The Company proposes to include language in its gas tariff specifying that the Company shall provide the prospective tenant and landlord or other authorized person of prospective residential premises the total gas charges incurred for the life at such premises, or the preceding two-year period, whichever is shorter, within ten days of receipt of the written request, at no cost to the requestor. The proposed amendments have an effective date of August 1, 2021.

The full text of the proposal and the full record of the proceeding may be reviewed online at the Department of Public Service web page: www.dps.ny.gov. The Commission may adopt, reject or modify, in whole or in part, the action proposed and may resolve related matters.

Text of proposed rule and any required statements and analyses may be obtained by filing a Document Request Form (F-96) located on our website http://www.dps.ny.gov/f96dir.htm. For questions, contact: John Pitucci, Public Service Commission, 3 Empire State Plaza, Albany, New York 12223-1350, (518) 486-2655, email: john.pitucci@dps.ny.gov

Data, views or arguments may be submitted to: Michelle L. Phillips, Secretary, Public Service Commission, 3 Empire State Plaza, Albany, New York 12223-1350, (518) 474-6530, email: secretary@dps.ny.gov

Public comment will be received until: 60 days after publication of this notice.

Regulatory Impact Statement, Regulatory Flexibility Analysis, Rural Area Flexibility Analysis and Job Impact Statement

Statements and analyses are not submitted with this notice because the proposed rule is within the definition contained in section 102(2)(a)(ii) of the State Administrative Procedure Act.

(20-M-0029SP22)

PROPOSED RULE MAKING NO HEARING(S) SCHEDULED

Notice of Intent to Submeter Electricity and Waiver of 16 NYCRR Section 96.5(k)(3)

I.D. No. PSC-17-21-00003-P

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following proposed rule:

Proposed Action: The Commission is considering the notice of intent of

52-03 Center LLC to submeter electricity at 52-03 Center Boulevard, Long Island City, New York, and request for waiver of an energy audit pursuant to 16 NYCRR section 96.5(k)(3).

Statutory authority: Public Service Law, sections 2, 4(1), 30, 32-48, 52, 53, 65(1), 66(1), (2), (3), (4), (12) and (14)

Subject: Notice of intent to submeter electricity and waiver of 16 NYCRR section 96.5(k)(3).

Purpose: To ensure adequate submetering equipment, consumer protections and energy efficiency protections are in place.

Substance of proposed rule: The Commission is considering the notice of intent, filed by 52-03 Center LLC (Owner) on March 31, 2021, to submeter electricity at 52-03 Center Boulevard, Long Island City, New York 11101, located in the service territory of Consolidated Edison Company of New York, Inc. (Con Edison).

In the notice of intent, 52-03 Center LLC requests authorization to take electric service from Con Edison and then distribute and meter that electricity to its tenants. Submetering of electricity to residential tenants is allowed so long as it complies with the protections and requirements of the Commission's regulations in 16 NYCRR Part 96. The Commission is also considering the Owner's request for the waiver of 16 NYCRR § 96.5(k)(3), which requires proof that an energy audit has been conducted when 20 percent or more of the residents receive income-based housing assistance. The Owner states that because the building is new construction, it must comply with the current New York City Energy Conservation Construction Code, which provides strict energy conservation requirements for new and renovated buildings; therefore, an energy audit is not appropriate in this case.

The full text of the notice of intent and waiver request, and the full record of the proceeding may be reviewed online at the Department of Public Service web page: www.dps.ny.gov. The Commission may adopt, reject or modify, in whole or in part, the action proposed and may resolve related matters.

Text of proposed rule and any required statements and analyses may be obtained by filing a Document Request Form (F-96) located on our website http://www.dps.ny.gov/f96dir.htm. For questions, contact: John Pitucci, Public Service Commission, 3 Empire State Plaza, Albany, New York 12223-1350, (518) 486-2655, email: john.pitucci@dps.ny.gov

Data, views or arguments may be submitted to: Michelle Phillips, Secretary, Public Service Commission, 3 Empire State Plaza, Albany, New York 12223-1350, (518) 474-6530, email: secretary@dps.ny.gov

Public comment will be received until: 60 days after publication of this notice.

Regulatory Impact Statement, Regulatory Flexibility Analysis, Rural Area Flexibility Analysis and Job Impact Statement

Statements and analyses are not submitted with this notice because the proposed rule is within the definition contained in section 102(2)(a)(ii) of the State Administrative Procedure Act.

(21-E-0189SP1)

PROPOSED RULE MAKING
NO HEARING(S) SCHEDULED

Waiver of Tariff Rules

I.D. No. PSC-17-21-00004-P

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following proposed rule:

Proposed Action: The Commission is considering a petition by Malta Land Company, LLC for a waiver of Niagara Mohawk Power Corporation d/b/a National Grid tariff rules regarding the extension of gas lines.

Statutory authority: Public Service Law, sections 65 and 66

Subject: Waiver of tariff rules.

Purpose: To consider whether a waiver of tariff rules is just and reasonable and in the public interest.

Substance of proposed rule: The Public Service Commission (Commission) is considering a petition filed on April 1, 2021 by Malta Land Company, LLC (Petitioner), requesting a six-month extension of the time allowed by Niagara Mohawk Power Corporation d/b/a National Grid's (National Grid) tariff to energize additional lots of the Petitioner's Plant Road Estates subdivision (Development).

National Grid's gas tariff, PSC 2019, Rule 10.4 provides that a non-residing applicant for gas service must provide a contribution to the utility equal to the estimated cost of construction. The portion of the contribution attributable to the cost of gas main National Grid provides without direct charge to an applicant under Rule 10.1 is refundable when a customer begins to take gas service. The refundable portion of the contribution can be provided in the form of a letter of credit. The refundable portion of the contribution is returned to the applicant, or the letter of credit is reduced, on a pro rata basis, as each new customer takes service from the utility. Any portion of the contribution remaining unrefunded five years after the date the utility is first ready to render service shall be retained by the utility.

The Development is located in Halfmoon, New York. National Grid has been ready to supply the Development with gas service since April 2016. The Petitioner provided National Grid with a letter of credit for the refundable portion of the contribution. The five year development period expires on April 28, 2021, at which point National Grid is entitled to call upon the remaining value of the letter of credit, approximately $124,973.16. Petitioner requests a waiver of National Grid's tariff rule to allow a six-month extension of the development period. Petitioner states it has all but two of the remaining lots under contract, but will not have construction completed by April 28, 2021 due to the impacts of COVID-19, including the temporary shutdown of the construction industry and shortages of material and labor.

The full text of the petition and the full record of the proceeding may be viewed online at the Department of Public Service web page: www.dps.ny.gov. The Commission may adopt, reject, or modify, in whole or in part, the action proposed and may resolve related matters.

Text of proposed rule and any required statements and analyses may be obtained by filing a Document Request Form (F-96) located on our website http://www.dps.ny.gov/f96dir.htm. For questions, contact: John Pitucci, Public Service Commission, 3 Empire State Plaza, Albany, New York 12223-1350, (518) 486-2655, email: john.pitucci@dps.ny.gov

Data, views or arguments may be submitted to: Michelle L. Phillips, Secretary, Public Service Commission, 3 Empire State Plaza, Albany, New York 12223-1350, (518) 474-6530, email: secretary@dps.ny.gov

Public comment will be received until: 60 days after publication of this notice.

Regulatory Impact Statement, Regulatory Flexibility Analysis, Rural Area Flexibility Analysis and Job Impact Statement

Statements and analyses are not submitted with this notice because the proposed rule is within the definition contained in section 102(2)(a)(ii) of the State Administrative Procedure Act.

(21-G-0191SP1)

PROPOSED RULE MAKING
NO HEARING(S) SCHEDULED

Submetering Equipment

I.D. No. PSC-17-21-00005-P

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following proposed rule:

Proposed Action: The Commission is considering the petition of Honeywell to use the Honeywell Class 6200 kWh submeter for electric submetering applications in New York State.

Statutory authority: Public Service Law, sections 65, 66 and 67

Subject: Submetering equipment.

Purpose: To consider use of submetering equipment and if it is in the public interest.

Substance of proposed rule: The Commission is considering the petition of Honeywell, filed on February 12, 2021, to use the Honeywell Class 6200 kWh submeter for electric submetering applications in New York State.

Honeywell included with the petition a report from Eurofins MET Laboratories, Inc. detailing the EMC and meter accuracy tests used to demonstrate the submeter's compliance with the requirements of ANSI C12.1-2014 Electric Meters, Code for Electric Metering, and ANSI C12.2015 for Electricity Meters – 0.1, 0.2 and 0.5 Accuracy Classes.

The full text of the petition and the full record of the proceeding may be reviewed online at the Department of Public Service web page: www.dps.ny.gov. The Commission may adopt, reject or modify, in whole or in part, the action proposed and may resolve related matters.

Text of proposed rule and any required statements and analyses may be obtained by filing a Document Request Form (F-96) located on our website http://www.dps.ny.gov/f96dir.htm. For questions, contact: John Pitucci, Public Service Commission, 3 Empire State Plaza, Albany, New York 12223-1350, (518) 486-2655, email: john.pitucci@dps.ny.gov

Data, views or arguments may be submitted to: Kathleen H. Burgess, Secretary, Public Service Commission, 3 Empire State Plaza, Albany, New York 12223-1350, (518) 474-6530, email: secretary@dps.ny.gov

Public comment will be received until: 60 days after publication of this notice.

Regulatory Impact Statement, Regulatory Flexibility Analysis, Rural Area Flexibility Analysis and Job Impact Statement

Statements and analyses are not submitted with this notice because the proposed rule is within the definition contained in section 102(2)(a)(ii) of the State Administrative Procedure Act.

(21-E-0171SP1)

PROPOSED RULE MAKING NO HEARING(S) SCHEDULED

Community Choice Aggregation and Community Distributed Generation

I.D. No. PSC-17-21-00006-P

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following proposed rule:

Proposed Action: The Public Service Commission is considering a petition filed on April 7, 2021 by Ampion, PBC requesting authorization to offer a Community Choice Aggregation program that provides opt-out Community Distributed Generation as the sole product offering.

Statutory authority: Public Service Law, sections 5(1), (2), 53, 65(1), 66(1), (2) and (3)

Subject: Community Choice Aggregation and Community Distributed Generation.

Purpose: To consider permitting opt-out Community Distributed Generation to be offered as the sole product in an aggregation.

Substance of proposed rule: The Public Service Commission (Commission) is considering a petition filed on April 7, 2021 by Ampion, PBC (Ampion) requesting authorization to offer a Community Choice Aggregation (CCA) program that provides opt-out Community Distributed Generation (CDG) as the sole product offering (Petition). The Commission has previously approved the offering of an opt-out CDG program in connection with a traditional CCA that includes commodity supply.

Ampion describes itself as a public benefit corporation, organized under the laws of the State of Delaware that was founded in 2014 with the central purpose of providing a technology-enabled turnkey solution for developers and operators of community energy assets. Ampion explains that it currently manages more than 300 MW of community energy assets in New York. Ampion asserts that some municipalities are not interested in adoption of CCA to control commodity prices, but instead may seek to incentivize local renewable development through CCA while at the same time providing savings to their residents.

Ampion argues that an opt-out CDG only program is in the public interest as it will furnish a guaranteed savings to residents while also promoting the development of local clean energy assets. Under the CDG model, Ampion continues, subscribers receive credits on their monthly utility bill that exceed the amount they have paid for those credits by an agreed-upon percentage regardless of whether the customers are on utility default service or taking commodity electric service from an Energy Service Company, and regardless of whether the customers are enrolled in the utility low-income programs or not. Ampion states that it intends to utilize the net crediting model for billing CDG customers via a single bill furnished by the distribution utility. Finally, Ampion asserts that adoption of its proposed program will not prevent the implementation of traditional commodity focused CCA products and services to municipalities.

The full text of the petition and the full record of the proceeding may be reviewed online at the Department of Public Service web page: www.dps.ny.gov. The Commission may adopt, reject, or modify, in whole or in part, the action proposed and may resolve other related matters.

Text of proposed rule and any required statements and analyses may be obtained by filing a Document Request Form (F-96) located on our website http://www.dps.ny.gov/f96dir.htm. For questions, contact: John Pitucci, Public Service Commission, 3 Empire State Plaza, Albany, New York 12223-1350, (518) 486-2655, email: john.pitucci@dps.ny.gov

Data, views or arguments may be submitted to: Michelle L. Phillips, Secretary, Public Service Commission, 3 Empire State Plaza, Albany, New York 12223-1350, (518) 474-6530, email: secretary@dps.ny.gov

Public comment will be received until: 60 days after publication of this notice.

Regulatory Impact Statement, Regulatory Flexibility Analysis, Rural Area Flexibility Analysis and Job Impact Statement

Statements and analyses are not submitted with this notice because the proposed rule is within the definition contained in section 102(2)(a)(ii) of the State Administrative Procedure Act.

(14-M-0224SP19)

PROPOSED RULE MAKING NO HEARING(S) SCHEDULED

Utility Studies of Climate Change Vulnerabilities

I.D. No. PSC-17-21-00007-P

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following proposed rule:

Proposed Action: The Commission is considering a petition filed by the City of New York, et. al. requesting that every major utility in the State be required to conduct a climate change vulnerability study.

Statutory authority: Public Service Law, sections 5, 65, 66, 79, 80, 89-b, 89-c, 91 and 94

Subject: Utility studies of climate change vulnerabilities.

Purpose: To assess the need for utilities to conduct distinct studies of their climate change vulnerabilities.

Substance of proposed rule: The Commission is considering a petition filed on March 19, 2021 by the City of New York, Environmental Defense Fund, Natural Resources Defense Council, and Sabin Center for Climate Change Law requesting that every major utility in the State – electric, gas, steam, water, and telecommunications – be required to conduct a distinct climate change vulnerability study.

Specifically, the petition requests that the Commission require every major utility do the following four tasks: "(1) assess how future projections of climate risks and climate variability for New York State and their specific geography or service area will impact their key assets and facilities, their overall system operations, supply chain, worker safety, and emergency response capabilities; (2) identify and prioritize key vulnerabilities based upon the assessment in step 1; (3) evaluate and develop options to ameliorate, mitigate, or minimize the risks identified, including considering different levels of risk mitigation relative to cost, and specifically for electric and gas utilities, consider the required transformation to achieve climate change mitigation targets; and (4) develop a plan and organizational approach to achieve effective and accountable climate governance, including assigning and ensuring executive accountability, collecting and monitoring climate and weather indicators, incorporating climate resiliency into existing planning processes and developing resiliency metrics to track progress over time."

The Commission is considering whether and how to address the issues identified in the petition, including determining if there is a need for the separate process set forth in the petition, or whether existing proceedings or future rate cases provide appropriate venues for addressing climate change vulnerabilities.

The full text of the petition and the full record of the proceeding may be reviewed online at the Department of Public Service web page: www.dps.ny.gov. The Commission may adopt, reject, or modify, in whole or in part, the action proposed and may resolve related matters.

Text of proposed rule and any required statements and analyses may be obtained by filing a Document Request Form (F-96) located on our website http://www.dps.ny.gov/f96dir.htm. For questions, contact: John Pitucci, Public Service Commission, 3 Empire State Plaza, Albany, New York 12223-1350, (518) 486-2655, email: john.pitucci@dps.ny.gov

Data, views or arguments may be submitted to: Michelle L. Phillips, Secretary, Public Service Commission, 3 Empire State Plaza, Albany, New York 12223-1350, (518) 474-6530, email: secretary@dps.ny.gov

Public comment will be received until: 60 days after publication of this notice.

Regulatory Impact Statement, Regulatory Flexibility Analysis, Rural Area Flexibility Analysis and Job Impact Statement

Statements and analyses are not submitted with this notice because the proposed rule is within the definition contained in section 102(2)(a)(ii) of the State Administrative Procedure Act.

(21-M-0199SP1)

PROPOSED RULE MAKING NO HEARING(S) SCHEDULED

Issuance of Securities and Other Forms of Indebtedness

I.D. No. PSC-17-21-00008-P

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following proposed rule:

Proposed Action: The Commission is considering a petition filed by Niagara Mohawk Power Corporation d/b/a National Grid requesting multi-year authority to issue up to $3 billion of new long-term debt securities.

Statutory authority: Public Service Law, section 69

Subject: Issuance of securities and other forms of indebtedness.

Purpose: To provide funding for capital needs, including construction, refinancing of maturing debt.

Substance of proposed rule: The Commission is considering a petition by Niagara Mohawk Power Corporation d/b/a National Grid (Company), filed on March 31, 2021, requesting authority to issue long-term indebtedness pursuant to Public Service Law Section 69.

Specifically, the Company requests authority to issue up to $3 billion in long-term debt securities through June 30, 2024. The proceeds from the sale of such securities would be used to finance the construction of utility plant; refinance maturing and/or redeemed issues of debt; redeem preferred stock, if economical; finance the capital needs of the Company; and other general corporate purposes.

The full text of the petition and the full record of the proceeding may be reviewed online at the Department of Public Service web page: www.dps.ny.gov. The Commission may adopt, reject, or modify, in whole or in part, the action proposed and may resolve related matters.

Text of proposed rule and any required statements and analyses may be obtained by filing a Document Request Form (F-96) located on our website http://www.dps.ny.gov/f96dir.htm. For questions, contact: John Pitucci, Public Service Commission, 3 Empire State Plaza, Albany, New York 12223-1350, (518) 486-2655, email: john.pitucci@dps.ny.gov

Data, views or arguments may be submitted to: Michelle L. Phillips, Secretary, Public Service Commission, 3 Empire State Plaza, Albany, New York 12223-1350, (518) 474-6530, email: secretary@dps.ny.gov

Public comment will be received until: 60 days after publication of this notice.

Regulatory Impact Statement, Regulatory Flexibility Analysis, Rural Area Flexibility Analysis and Job Impact Statement

Statements and analyses are not submitted with this notice because the proposed rule is within the definition contained in section 102(2)(a)(ii) of the State Administrative Procedure Act.

(21-M-0194SP1)

State University of New York

EMERGENCY/PROPOSED RULE MAKING NO HEARING(S) SCHEDULED

Holiday Leave

I.D. No. SUN-17-21-00014-EP

Filing No. 418

Filing Date: 2021-04-13

Effective Date: 2021-04-13

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following action:

Proposed Action: Amendment of section 337.13(a) of Title 8 NYCRR.

Statutory authority: Education Law, sections 353 and 355

Finding of necessity for emergency rule: Preservation of general welfare.

Specific reasons underlying the finding of necessity: On October 14, 2020, Governor Andrew M. Cuomo signed into law legislation (S.8598/A.10628) designating Juneteenth, the nineteenth day of June, as an official public holiday in New York State. The new law celebrates Juneteenth, a day which commemorates the end to slavery and celebrates Black and African American freedom and achievements, while encouraging continuous self-development and respect for all cultures.

Amendment of these regulations needs to proceed on an emergency basis due to the upcoming date of the newly designated holiday.

Subject: Holiday Leave.

Purpose: To designate Juneteenth as a holiday for SUNY employees.

Text of emergency/proposed rule: Section 337.13 Holiday leave.

(a) A calendar year or college year employee shall be eligible to observe the following days prescribed by law as holidays: New Year's Day, Martin Luther King Day, Lincoln's Birthday, Washington's Birthday, Memorial Day, *Juneteenth,* Independence Day, Labor Day, Columbus Day, Election Day, Veterans' Day, Thanksgiving Day and Christmas Day. The college chief administrative officer may designate two holidays as floating holidays in lieu of the holidays set forth above. The college chief administrative officer may designate an alternate date on which one of the holidays is to be observed. If a second holiday is designated the employee may select a date on which to observe the second holiday, with the approval of the employee's supervisor and consistent with the operating needs of the campus. The employee must observe such second holiday before the beginning of the next academic year. The college chief administrative officer's designation shall be announced following consultation with the certified representative of employees in the professional service in September of the academic year.

This notice is intended: to serve as both a notice of emergency adoption and a notice of proposed rule making. The emergency rule will expire July 11, 2021.

Text of rule and any required statements and analyses may be obtained from: Lisa S. Campo, State University of New York, State University Plaza, Albany, NY, (518) 320-1400, email: Lisa.Campo@SUNY.edu

Data, views or arguments may be submitted to: Same as above.

Public comment will be received until: 60 days after publication of this notice.

Regulatory Impact Statement

1. Statutory authority: Education Law §§ 353 and 355 authorizes the State University Trustees to make rules and regulations relating to the administration, supervision and coordination of state-operated institutions, including the appointment and management of employees.
2. Legislative objectives: The present measure makes amendments to the State University of New York regulations regarding holiday leave for employees.
3. Needs and benefits: On October 14, 2020, Governor Cuomo signed into law legislation designating Juneteenth as an official public holiday in New York State (Chapter 249 of the Laws of 2020). This amendment is necessary to comply with said law.
4. Costs: None.
5. Local government mandates: None.
6. Paperwork: None.
7. Duplication: None.
8. Alternatives: There are no viable alternatives.
9. Federal standards: There are no related Federal standards.
10. Compliance schedule: Immediate.

Regulatory Flexibility Analysis

No regulatory flexibility analysis is submitted with this notice because the proposed rule does not impose any requirements on small businesses and local governments. This proposed rule making will not impose any adverse economic impact on small businesses and local governments or impose any reporting, recordkeeping or other compliance requirements on small businesses and local governments.

Rural Area Flexibility Analysis

No rural area flexibility analysis is submitted with this notice because the proposed rule does not impose any requirements on rural areas. The rule will not impose any adverse economic impact on rural areas or impose any reporting, recordkeeping, professional services or other compliance requirements on rural areas.

Job Impact Statement

No job impact statement is submitted with this notice because the proposed rule does not impose any adverse economic impact on existing jobs, employment opportunities, or self-employment. This regulation governs holiday leave for employees of State University of New York and will not have any adverse impact on the number of jobs or employment.

EMERGENCY/PROPOSED RULE MAKING NO HEARING(S) SCHEDULED

Appointment of Employees; Eligibility

I.D. No. SUN-17-21-00015-EP

Filing No. 419

Filing Date: 2021-04-13

Effective Date: 2021-04-13

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following action:

Proposed Action: Amendment of section 335.4(d) of Title 8 NYCRR.

Statutory authority: Education Law, sections 353 and 355

Finding of necessity for emergency rule: Preservation of general welfare.

Specific reasons underlying the finding of necessity: The COVID-19 disease outbreak has caused significant disruption to the careers of SUNY faculty, and most significantly to those faculty working toward achievement of continuing appointment (tenure). Given this significant disruption, SUNY adopted emergency regulations on May 6, 2020, to allow for a one-time, added year of service for all non-tenured faculty in specified academic ranks as of that date. The emergency rule was finalized on January 20, 2021. To respond to the ongoing health crisis, this emergency regulation would allow for the same one-time added year of service to eligible non-tenured faculty hired between May 6, 2020 and June 30, 2021. Amendment of these regulations needs to proceed on an emergency basis in the face of the ongoing public health crisis to allow for timely implementation of these changes and to provide necessary flexibility to our faculty in this uncertain time.

Subject: Appointment of Employees; Eligibility.

Purpose: To allow for the addition of one year to the service limits for faculty hired between May 20, 2020 - June 30, 2021.

Text of emergency/proposed rule: Section 335.4(d). Eligibility.

(5) Each employee who was hired between May 6, 2020 and June 30, 2021 and appointed to a position of academic rank, who has not yet attained continuing appointment status, and who is not currently under review, shall have an automatic one-year extension of the time to continuing appointment without change in title, full-time equivalent or other employment status. Any employee in a position of academic rank who has not yet attained continuing appointment status may opt out of the automatic one-year extension referenced above and be considered for continuing appointment based on their original schedule by submitting a request, in writing, to their Department Chair, with copy to their Dean and Provost. Timelines for such submission shall be determined at each campus. These provisions shall not apply to any employee in a position of academic rank who has already been provided with a notice of non-renewal.

This notice is intended: to serve as both a notice of emergency adoption and a notice of proposed rule making. The emergency rule will expire July 11, 2021.

Text of rule and any required statements and analyses may be obtained from: Lisa S. Campo, State University of New York, State University Plaza, Albany, NY, (518) 320-1400, email: Lisa.Campo@SUNY.edu

Data, views or arguments may be submitted to: Same as above.

Public comment will be received until: 60 days after publication of this notice.

Regulatory Impact Statement

1. Statutory authority: Education Law §§ 353 and 355 authorizes the State University Trustees to make rules and regulations relating to appointment of employees.
2. Legislative objectives: The present measure makes amendments to the State University of New York regulations regarding appointment of employees.
3. Needs and benefits: Given the significant disruption caused by the COVID-19 disease outbreak, the amendments are necessary to provide necessary flexibility to our faculty in this uncertain time. This amendment would allow for the addition of one year to the service limits before attaining continuing appointment for non-tenured faculty hired between May 6, 2020 and June 30, 2021. The one-year extension would be automatic, but eligible employees may opt out of the extension and be considered for continuing appointment based on their original schedule by written request.
4. Costs: None.
5. Local government mandates: None.
6. Paperwork: None.
7. Duplication: None.
8. Alternatives: There are no viable alternatives.
9. Federal standards: There are no related Federal standards.
10. Compliance schedule: Immediate.

Regulatory Flexibility Analysis

No regulatory flexibility analysis is submitted with this notice because the proposed rule does not impose any requirements on small businesses and local governments. This proposed rule making will not impose any adverse economic impact on small businesses and local governments or impose any reporting, recordkeeping or other compliance requirements on small businesses and local governments.

Rural Area Flexibility Analysis

No rural area flexibility analysis is submitted with this notice because the proposed rule does not impose any requirements on rural areas. The rule will not impose any adverse economic impact on rural areas or impose any reporting, recordkeeping, professional services or other compliance requirements on rural areas.

Job Impact Statement

No job impact statement is submitted with this notice because the proposed rule does not impose any adverse economic impact on existing jobs, employment opportunities, or self-employment. This regulation governs appointment of employees for State University of New York and will not have any adverse impact on the number of jobs or employment.

Workers' Compensation Board

EMERGENCY RULE MAKING

Allowing Telemedicine in Some Circumstances, Supersede Previous Emergency Adoption

I.D. No. WCB-17-21-00013-E

Filing No. 416

Filing Date: 2021-04-13

Effective Date: 2021-04-13

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following action:

Action taken: Amendment of sections 325-1.8, 329-1.3, 329-4.2, 333.2 and 348.2 of Title 12 NYCRR.

Statutory authority: Workers' Compensation Law, sections 117 and 142

Finding of necessity for emergency rule: Preservation of public health, public safety and general welfare.

Specific reasons underlying the finding of necessity: This amendment is adopted as an emergency measure because the Board wants to avoid health and safety risks that can be avoided through social distancing due to the outbreak of COVID-19, by allowing telemedicine in some circumstances, and to supersede the previous emergency adoption addressing this topic.

Subject: Allowing telemedicine in some circumstances, supersede previous emergency adoption.

Purpose: To allow telemedicine in some circumstances for social distancing purposes due to outbreak of COVID-19.

Text of emergency rule: Section 325-1.8 of Title 12 NYCRR is hereby amended to read as follows:

325-1.8 Emergency medical aid and telemedicine.

(a) In the event of a serious accident requiring immediate emergency medical aid, an ambulance or any physician may be called to give first aid treatment.

(b) Telemedicine, using two-way audio and visual electronic communication, or treatment via telephone, may be used by authorized providers where medically appropriate for social distancing purposes due to the outbreak of COVID-19 during the state of emergency in accordance with the Department of Health COVID-19 Medicaid Guidance and Guidance issued by the Centers for Medicare and Medicaid Services, or irrespective of purely social distancing considerations, in the clinical judgment of the authorized provider, the potential risk of COVID-19 infection to an individual patient outweighs any real or perceived incremental benefit derived from an in-person versus remote or virtual appointment. The authorized provider shall indicate on their report that such assessment was done using telemedicine by use of modifier 95 and indicating a place of service as 11, or telephonically by indicating place of service as 02. The provider shall also confirm the employee's identity as well as provide basic information about the services the employee is receiving by telephone or telemedicine.

New subdivisions (c) and (d) of section 329-1.3 of Title 12 NYCRR is hereby added to read as follows:

(c) When medically appropriate, authorized providers who utilize the Official New York State Workers' Compensation Fee Schedule may, when telemedicine is used in accordance with section 325-1.8 of this Title and any applicable Medical Treatment Guideline, bill using the applicable Evaluation and Management codes (99212-99214) using modifier 95 and indicating 11 as the place of service. Modifiers 1B and 1D are available when services are rendered by telemedicine using two-way audio and visual communication. When services are rendered by telephone only in accordance with section 325-1.8 of this Title, the authorized provider shall

indicate 02 as the place of service. Modifiers 1B and 1D are not available when services are rendered by telephone with no visual component.

(d) Providers with the following rating codes may bill the following psychotherapy codes in conjunction with Evaluation and Management codes:

(i) New patient Evaluation and Management: 99201-99204;

(ii) Psychotherapy combination codes and crisis codes: 90832-90834, 90836-90840, 90853;

A new subdivision (d) of section 329-4.2 of Title 12 NYCRR is hereby added to read as follows:

(d) When medically appropriate, authorized physical therapists and occupational therapists shall use Common Procedural Technology (CPT) code 99212 using modifier 95 and indicating 11 as the place of service when treatment is rendered by telemedicine using two-way audio and visual communication, and indicating 02 as the place of service when treatment is rendered by telephone only. Treatment in accordance with section 325-1.8 of this Title and using these codes shall be limited to one unit per patient per day, up to two treatments per week during the thirty days following injury, and up to one treatment per week thereafter.

New subdivisions (c) and (d) of section 333.2 of Title 12 NYCRR is hereby added to read as follows:

(c) When medically appropriate, authorized providers, including psychologists and licensed clinical social workers, shall use a Common Procedural Technology (CPT) therapy code (90832, 90834, or 90837) for services delivered by telemedicine in accordance with section 325-1.8 of this Title using modifier 95 and indicating 11 as the place of service for therapy by telemedicine using two-way audio and visual communication. Modifiers 1B and 1D are available when services are rendered by telemedicine using two-way audio and visual communication. When services are rendered by telephone only in accordance with section 325-1.8 of this Title, the authorized provider shall indicate 02 as the place of service. Modifiers 1B and 1D are not available when services are rendered by telephone with no visual component. Group therapy: 90853. Group therapy is limited to a maximum of 20 participants and does not require that every participant in the group therapy session be a workers' compensation claimant.

A new subdivision (c) of section 348.2 of Title 12 NYCRR is hereby amended to read as follows:

(c) When medically appropriate, authorized chiropractors shall use Common Procedural Technology (CPT) code 99212 using modifier 95 and indicating 11 as the place of service when treatment is rendered by telemedicine using two-way audio and visual communication, and indicating 02 as the place of service when treatment is rendered by telephone only. Treatment in accordance with section 325-1.8 of this Title and using these codes shall be limited to one unit per patient per day, up to two treatments per week during the thirty days following injury, and up to one treatment per week thereafter.

This notice is intended to serve only as an emergency adoption, to be valid for 90 days or less. This rule expires July 11, 2021.

Text of rule and any required statements and analyses may be obtained from: Heather MacMaster, Workers' Compensation Board, Office of General Counsel, 328 State Street, Schenectady, NY 12305, (518) 486-9564, email: regulations@wcb.ny.gov

Regulatory Impact Statement

1. Statutory authority: WCL § 117(1) authorizes the Chair of the Workers' Compensation Board (Board) to adopt reasonable rules consistent with, and supplemental to, the provisions of the WCL.

2. Legislative objectives: The emergency adoption allows telemedicine visits in some circumstances due to the outbreak of COVID-19.

3. Needs and benefits: For social distancing purposes to avoid furthering the outbreak of COVID-19, the emergency adoption allows telemedicine visits in some circumstances to avoid in person visits to authorized providers when medically appropriate, consistent with guidance issued by the Center for Medicaid and Medicare Services. This regulation will permit physicians, nurse practitioners, physician assistants, psychologists, licensed clinical social workers, chiropractors, physical therapists and occupational therapists to treat using telehealth due to COVID-19.

4. Costs: The emergency adoption will have no impact on costs.

5. Local government mandates: The proposed amendments do not impose any program, service, duty, or responsibility upon any county, city, town, village, school district, fire district, or other special district.

6. Paperwork: The emergency adoption requires authorized providers to indicate in their report that the services rendered were through telemedicine due to the outbreak of COVID-19.

7. Duplication: The emergency adoption does not duplicate other regulatory initiatives.

8. Alternatives: An alternative would be to not file an emergency adoption addressing telemedicine. However, this poses a health risk to both the authorized providers and the claimants being treated, and social distancing, where medically appropriate, is a better alternative due to the outbreak of COVID-19.

9. Federal standards. There are no applicable Federal Standards.

10. Compliance schedule: The emergency adoption takes effect immediately upon filing but does not require telemedicine – it offers it as a tool to assist with social distancing in some circumstances. Any provider rendering services using telemedicine must comply with the emergency adoption, including the reporting requirements.

Regulatory Flexibility Analysis

A Regulatory Flexibility Analysis is not required because the emergency adoption will not have any adverse economic impact or impose any new reporting, recordkeeping or other compliance requirements on small businesses or local governments. The emergency adoption provides for telemedicine visits in some circumstances due to the outbreak of COVID-19.

Rural Area Flexibility Analysis

A Rural Area Flexibility Analysis is not required because the emergency adoption will not have any impact rural areas. The emergency adoption allows telemedicine visits in some circumstances due to the outbreak of COVID-19.

Job Impact Statement

A Job Impact Statement is not required because the emergency adoption will not have any impact on jobs or employment opportunities. The emergency adoption allows telemedicine visits in some circumstances due to the outbreak of COVID-19.

HEARINGS SCHEDULED FOR PROPOSED RULE MAKINGS

Agency I.D. No.	Subject Matter	Location—Date—Time
Liquor Authority, State		
LQR-12-21-00005-P	Minimum Curriculum Requirements for ATAP Schools	163 W. 125th St. New York, NY—June 9, 2021, 10:00 a.m.
Long Island Power Authority		
LPA-14-21-00010-P	To Increase Participation in the NYSERDA GJGNY On-Bill Loan Installment Program	Virtual (link will be on LIPA website)—June 7, 2021, 10:00 a.m. and 2:00 p.m.
State, Department of		
DOS-12-21-00010-P	New York State Uniform Fire Prevention and Building Code (Uniform Code)	99 Washington Ave., Room 505, Albany NY—May 27, 2021, 10:00 a.m. Due to the ongoing pandemic, the public hearing scheduled to accept public comments may be held virtually only. Instructions for attendance online or by phone will be provided on the Department of State's Division of Building Standards and Codes' website at https://www.dos.ny.gov/DCEA/ at least fourteen (14) days prior to the public hearing.

ACTION PENDING INDEX

The action pending index is a list of all proposed rules which are currently being considered for adoption. A proposed rule is added to the index when the notice of proposed rule making is first published in the *Register*. A proposed rule is removed from the index when any of the following occur: (1) the proposal is adopted as a permanent rule; (2) the proposal is rejected and withdrawn from consideration; or (3) the proposal's notice expires.

Most notices expire in approximately 12 months if the agency does not adopt or reject the proposal within that time. The expiration date is printed in the second column of the action pending index. Some notices, however, never expire. Those notices are identified by the word "exempt" in the second column. Actions pending for one year or more are preceded by an asterisk(*).

For additional information concerning any of the proposals listed in the action pending index, use the identification number to locate the text of the original notice of proposed rule making. The identification number contains a code which identifies the agency, the issue of the *Register* in which the notice was printed, the year in which the notice was printed and the notice's serial number. The following diagram shows how to read identification number codes.

Agency code	Issue number	Year published	Serial number	Action Code
AAM	**01**	**12**	**00001**	**P**

Action codes: P — proposed rule making; EP — emergency and proposed rule making (expiration date refers to proposed rule); RP — revised rule making

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
AGRICULTURE AND MARKETS, DEPARTMENT OF			
*AAM-12-20-00006-P	07/24/21	Calibrating and testing of certain weights and measures standards and devices.	To allow the Dept. to increase the fees it charges in calibrating and testing certain weights & measures standards and devices.
ALCOHOLISM AND SUBSTANCE ABUSE SERVICES, OFFICE OF			
ASA-28-20-00013-P	07/24/21	Patient Rights	To set-forth the minimum regulatory requirements for patient rights in OASAS certified, funded or otherwise authorized programs
ASA-28-20-00016-P	07/24/21	Designated Services	To set-forth the minimum regulatory requirements for certified programs to seek an Office designation
CHILDREN AND FAMILY SERVICES, OFFICE OF			
*CFS-04-20-00009-P	07/24/21	Host Family Homes	The proposed regulations would establish standards for the approval and administration of host family homes.
CFS-49-20-00006-EP	12/09/21	Maintenance reimbursement for residential CSE programs when a student has been absent from the program for more than 15 days.	Remove an existing regulatory barrier that precludes maintenance reimbursement for residential CSE programs.
CFS-03-21-00004-P	01/20/22	Implement rules re: release of original birth certificate or related identifying info. to adult adopted & other specific persons	Implement rules re: release of original birth certificate or related identifying info. to adult adopted & other specific persons
CIVIL SERVICE, DEPARTMENT OF			
*CVS-03-20-00003-P	07/24/21	Jurisdictional Classification	To classify positions in the non-competitive class
*CVS-03-20-00004-P	07/24/21	Jurisdictional Classification	To classify a position in the exempt class

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
CIVIL SERVICE, DEPARTMENT OF			
*CVS-03-20-00005-P	07/24/21	Jurisdictional Classification	To delete positions from and classify positions in the non-competitive class
*CVS-03-20-00006-P	07/24/21	Jurisdictional Classification	To classify a position in the non-competitive class
*CVS-03-20-00007-P	07/24/21	Jurisdictional Classification	To delete positions from and classify positions in the exempt class
*CVS-06-20-00001-P	07/24/21	Jurisdictional Classification	To classify a position in the non-competitive class
*CVS-06-20-00002-P	07/24/21	Jurisdictional Classification	To classify a position in the exempt class
*CVS-06-20-00003-P	07/24/21	Jurisdictional Classification	To classify positions in the non-competitive class
*CVS-06-20-00004-P	07/24/21	Jurisdictional Classification	To classify positions in the non-competitive class
*CVS-06-20-00005-P	07/24/21	Jurisdictional Classification	To delete positions from the non-competitive class
*CVS-06-20-00006-P	07/24/21	Jurisdictional Classification	To delete positions from and classify positions in the non-competitive class
*CVS-06-20-00007-P	07/24/21	Jurisdictional Classification	To delete a position from and classify a position in the exempt class and to delete positions from the non-competitive class
*CVS-06-20-00008-P	07/24/21	Jurisdictional Classification	To delete a subheading and positions from and to classify a subheading and positions in the exempt and non-competitive classes
*CVS-13-20-00002-P	07/24/21	Supplemental military leave benefits	To extend the availability of supplemental military leave benefits for certain New York State employees until December 31, 2020
*CVS-13-20-00009-P	07/24/21	Jurisdictional Classification	To classify positions in the exempt class
*CVS-13-20-00010-P	07/24/21	Jurisdictional Classification	To classify positions in the non-competitive class
*CVS-13-20-00011-P	07/24/21	Jurisdictional Classification	To delete positions from the exempt class
*CVS-13-20-00012-P	07/24/21	Jurisdictional Classification	To delete positions from the non-competitive class
*CVS-13-20-00013-P	07/24/21	Jurisdictional Classification	To classify a position in the non-competitive class
*CVS-13-20-00014-P	07/24/21	Jurisdictional Classification	To classify positions in the exempt class
*CVS-13-20-00015-P	07/24/21	Jurisdictional Classification	To delete positions from and classify positions in the non-competitive class
*CVS-13-20-00016-P	07/24/21	Jurisdictional Classification	To classify positions in the exempt class
*CVS-13-20-00017-P	07/24/21	Jurisdictional Classification	To classify positions in the exempt class

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
CIVIL SERVICE, DEPARTMENT OF			
*CVS-13-20-00018-P	07/24/21	Jurisdictional Classification	To classify a position in the exempt class
*CVS-13-20-00019-P	07/24/21	Jurisdictional Classification	To classify positions in the exempt class
*CVS-13-20-00020-P	07/24/21	Jurisdictional Classification	To delete positions in the non-competitive class
*CVS-13-20-00021-P	07/24/21	Jurisdictional Classification	To classify positions in the non-competitive class
*CVS-13-20-00022-P	07/24/21	Jurisdictional Classification	To classify a position in the non-competitive class
*CVS-13-20-00023-P	07/24/21	Jurisdictional Classification	To delete positions from the non-competitive class
*CVS-13-20-00024-P	07/24/21	Jurisdictional Classification	To classify positions in the exempt and the non-competitive classes.
*CVS-13-20-00025-P	04/29/21	Jurisdictional Classification	To delete a position from and classify positions in the non-competitive class
CVS-24-20-00002-P	07/24/21	Jurisdictional Classification	To delete a position from and classify a position in the exempt class
CVS-24-20-00003-P	07/24/21	Jurisdictional Classification	To classify positions in the non-competitive class
CVS-24-20-00004-P	07/24/21	Jurisdictional Classification	To classify positions in the non-competitive class
CVS-24-20-00005-P	07/24/21	Jurisdictional Classification	To classify a position in the non-competitive class
CVS-24-20-00006-P	07/24/21	Jurisdictional Classification	To classify positions in the exempt class
CVS-24-20-00007-P	07/24/21	Jurisdictional Classification	To classify positions in the non-competitive class
CVS-24-20-00008-P	07/24/21	Jurisdictional Classification	To classify positions in the non-competitive class
CVS-24-20-00009-P	07/24/21	Jurisdictional Classification	To classify positions in the non-competitive class
CVS-24-20-00010-P	07/24/21	Jurisdictional Classification	To classify positions in the non-competitive class
CVS-28-20-00004-P	07/24/21	Jurisdictional Classification	To classify positions in the exempt class
CVS-28-20-00005-P	07/24/21	Jurisdictional Classification	To classify a position in the exempt class
CVS-28-20-00006-P	07/24/21	Jurisdictional Classification	To classify a position in the exempt class
CVS-28-20-00007-P	07/24/21	Jurisdictional Classification	To delete a position from the exempt class
CVS-28-20-00008-P	07/24/21	Jurisdictional Classification	To delete positions from and classify positions in the non-competitive class

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
CIVIL SERVICE, DEPARTMENT OF			
CVS-28-20-00009-P	07/24/21	Jurisdictional Classification	To classify a position in the non-competitive class
CVS-28-20-00010-P	07/24/21	Jurisdictional Classification	To classify positions in the non-competitive class.
CVS-28-20-00011-P	07/24/21	Jurisdictional Classification	To delete positions from and classify positions in the non-competitive class
CVS-28-20-00012-P	07/24/21	Jurisdictional Classification	To classify positions in the non-competitive class
CVS-32-20-00003-P	08/12/21	Jurisdictional Classification	To classify a position in the exempt class
CVS-32-20-00004-P	08/12/21	Jurisdictional Classification	To classify positions in the exempt and the non-competitive classes
CVS-32-20-00005-P	08/12/21	Jurisdictional Classification	To classify a position in the exempt class
CVS-32-20-00006-P	08/12/21	Jurisdictional Classification	To classify a position in the exempt class
CVS-41-20-00002-P	10/14/21	Jurisdictional Classification	To delete positions from and to classify a subheading and positions in the exempt class
CVS-41-20-00003-P	10/14/21	Jurisdictional Classification	To classify a position in the non-competitive class
CVS-41-20-00004-P	10/14/21	Jurisdictional Classification	To classify a position in the non-competitive class
CVS-41-20-00005-P	10/14/21	Jurisdictional Classification	To classify positions in the non-competitive class
CVS-41-20-00006-P	10/14/21	Jurisdictional Classification	To delete a position from and classify a position in the exempt class
CVS-41-20-00007-P	10/14/21	Jurisdictional Classification	To classify positions in the non-competitive class
CVS-49-20-00002-P	12/09/21	Jurisdictional Classification	To classify a position in the non-competitive class
CVS-49-20-00003-P	12/09/21	Jurisdictional Classification	To delete positions from and classify positions in the exempt class.
CVS-49-20-00004-P	12/09/21	Jurisdictional Classification	To classify positions in the exempt class.
CVS-49-20-00005-P	12/09/21	Jurisdictional Classification	To classify a position in the non-competitive class
CVS-02-21-00001-P	01/13/22	Jurisdictional Classification	To classify positions in the exempt class
CVS-02-21-00002-P	01/13/22	Jurisdictional Classification	To classify positions in the exempt class
CVS-02-21-00003-P	01/13/22	Jurisdictional Classification	To classify a position in the exempt class
CVS-06-21-00001-P	02/10/22	Jurisdictional Classification	To delete positions from and classify positions in the exempt class and to classify positions in the non-competitive class

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
CIVIL SERVICE, DEPARTMENT OF			
CVS-06-21-00002-P	02/10/22	Jurisdictional Classification	To classify positions in the exempt class
CVS-06-21-00003-P	02/10/22	Jurisdictional Classification	To delete a position from and classify a position in the exempt class
CVS-06-21-00004-P	02/10/22	Jurisdictional Classification	To delete positions from the non-competitive class
CVS-06-21-00005-P	02/10/22	Jurisdictional Classification	To classify a position in the non-competitive class
CVS-10-21-00001-P	03/10/22	Jurisdictional Classification	To delete a subheading and positions from and to classify a subheading and positions in the exempt and non-competitive classes
CVS-10-21-00002-P	03/10/22	Jurisdictional Classification	To classify a position in the exempt class and to classify a position from the non-competitive class
CVS-10-21-00003-P	03/10/22	Jurisdictional Classification	To classify a position in the exempt class
CVS-10-21-00004-P	03/10/22	Jurisdictional Classification	To classify a position in the exempt class
CVS-10-21-00005-P	03/10/22	Jurisdictional Classification	To classify a position in the exempt class
CVS-13-21-00005-P	03/31/22	Jurisdictional Classification	To classify a position in the exempt class
CVS-13-21-00006-P	03/31/22	Jurisdictional Classification	To classify a position in the exempt class
CVS-13-21-00007-P	03/31/22	Jurisdictional Classification	To classify positions in the non-competitive class
CVS-13-21-00008-P	03/31/22	Jurisdictional Classification	To classify positions in the exempt class
CVS-14-21-00001-P	04/07/22	Juneteenth Holiday	To add Juneteenth to the listing of holidays in the Attendance Rules
CVS-14-21-00002-P	04/07/22	Supplemental military leave benefits	To extend the availability of supplemental military leave benefits for certain New York State employees until December 31, 2021
COMMISSIONER OF PILOTS, BOARD OF			
COP-12-21-00001-P	03/24/22	Updating the current website address; changing terminology regarding credentials and; changing age range for acceptance	To provide current information and allow for a more practical admission procedure reflecting modern reality
CORRECTION, STATE COMMISSION OF			
CMC-04-21-00002-P	01/27/22	Ratio of toilet, sink, shower per youth in Specialized Secured Detention Facility for Older Youth	Amend the ratio of toilet, sink, and shower to coincide with Office of Children and Family Services regulations
CORRECTIONS AND COMMUNITY SUPERVISION, DEPARTMENT OF			
CCS-34-20-00001-P	08/26/21	Family Reunion Program	To clarify for logic and consistency, and make additional changes to the current Family Reunion Program

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
ECONOMIC DEVELOPMENT, DEPARTMENT OF			
EDV-48-20-00001-P	12/02/21	Employee Training Incentive Program	To update the administrative processes for the ETIP program
EDUCATION DEPARTMENT			
*EDU-17-19-00008-P	07/24/21	To require study in language acquisition and literacy development of English language learners in certain teacher preparation	To ensure that newly certified teachers enter the workforce fully prepared to serve our ELL population
*EDU-27-19-00010-P	07/24/21	Substantially Equivalent Instruction for Nonpublic School Students	Provide guidance to local school authorities to assist them in fulfilling their responsibilities under the Compulsory Ed Law
EDU-20-20-00008-ERP	08/18/21	Addressing the COVID-19 Crisis	To provide flexibility for certain regulatory requirements in response to the COVID-19 crisis
EDU-04-21-00009-EP	01/27/22	Designation of the Executive Deputy Commissioner as the Deputy Commissioner of Education as specified in Education Law § 101	To conform the Regents Rules to changes in the internal organization of the State Education Department
EDU-04-21-00010-P	01/27/22	The licensure of registered pharmacy technicians	To implement the provisions of Chapter 414 of the Laws of 2019 relating to the licensure of registered pharmacy technicians
EDU-08-21-00001-EP	02/24/22	Providing Flexibility Relating to Higher Education Regulatory Requirements in Response to the COVID-19 Crisis	To provide flexibility for certain higher education related regulatory requirements in response to the COVID-19 crisis
EDU-08-21-00002-P	02/24/22	The Definition of the Term “University”	To clarify and broaden the definition of the term “university”
EDU-13-21-00011-EP	03/31/22	Licensure requirements for Land Surveyors	Adding and amending licensure requirements for Land Surveyors
EDU-13-21-00012-P	03/31/22	Renaming the Languages Other Than English (LOTE) learning standards to “World Languages”	To rename the LOTE learning standards to “World Languages” and corresponding changes to the certificate title and tenure titles
EDU-13-21-00013-P	03/31/22	Eligibility of School Psychology Candidates for the Internship Certificate	To allow candidates enrolled in registered school psychology programs to be eligible for the Internship certificate
EDU-13-21-00014-EP	03/31/22	Addressing the COVID-19 Crisis	To provide regulatory flexibility in response to the COVID-19 crisis
EDU-17-21-00009-EP	04/28/22	Including Emergency Protocols in District-Wide School Safety Plans	To implement Chapter 168 of the Laws of 2020, as amended by Chapter 30 of the Laws of 2021
EDU-17-21-00010-EP	04/28/22	Students’ Eligibility to Participate in Interscholastic Competition Until the Conclusion of the Interscholastic Spring Season	To ensure that eligible students are able to complete their interscholastic athletic spring season the year they graduate
EDU-17-21-00011-P	04/28/22	Education Law 310 Appeals to the Commissioner and Initiation Conduct of Proceedings for the Removal of School Officers	To make technical changes and other clarifying amendments to section 310 appeal procedures and requirements

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
EDUCATION DEPARTMENT			
EDU-17-21-00012-EP	04/28/22	Extending the edTPA Safety Net in Response to the COVID-19 Crisis	To extend the edTPA safety net in response to the COVID-19 crisis
ELECTIONS, STATE BOARD OF			
SBE-06-21-00015-EP	02/10/22	Related to establishing a cure process for absentee ballots	Establishes a cure process for absentee ballots
SBE-13-21-00015-P	03/31/22	Implementation of Cyber Security Requirements for Local Boards of Elections	Requires that that every county board of elections adhere to a minimum level of cyber-security standards
ENVIRONMENTAL CONSERVATION, DEPARTMENT OF			
ENV-01-21-00003-P	01/06/22	Regulations governing recreational fishing for striped bass	To require circle hooks when fishing recreationally for striped bass using bait
ENV-03-21-00010-P	03/23/22	Application of Site-Specific Criteria to Class I and Class SD Waters	Add site-specific criteria to Class I and SD waters to provide additional water quality protection of the existing best uses
ENV-04-21-00007-P	03/30/22	Chlorpyrifos prohibition	Prohibit distribution, sale, purchase, possession, or use of pesticides that contain the active ingredient chlorpyrifos
ENV-04-21-00008-P	04/07/22	Food Donation and Food Scraps Recycling	Required by Title 22 of Article 27, the rule increases food donation and the recycling of food scraps through composting
ENV-12-21-00004-P	03/24/22	Public use of Wildlife Management Areas, and areas with special regulations	To ensure that public use of WMAs and other sites does not interfere or conflict with intended purposes of those areas
ENV-16-21-00012-P	04/21/22	Regulations governing whelk management	To protect immature whelk from harvest and establish gear and reporting rules for marine resource protection and public safety
FINANCIAL SERVICES, DEPARTMENT OF			
*DFS-17-16-00003-P	exempt	Plan of Conversion by Commercial Travelers Mutual Insurance Company	To convert a mutual accident and health insurance company to a stock accident and health insurance company
*DFS-25-18-00006-P	exempt	Plan of Conversion by Medical Liabilty Mutual Insurance Company	To convert a mutual property and casualty insurance company to a stock property and casualty insurance company
*DFS-43-19-00017-RP	07/28/21	Independent Dispute Resolution for Emergency Services and Surpsise Bills	To require notices and consumer disclosure information related to surprise bills and bills for emergency service to be provided
DFS-49-20-00011-P	12/09/21	Credit for Reinsurance	To conform to covered agreements entered into between the US and EU and the US and UK, and implement NAIC models.
DFS-09-21-00010-P	03/03/22	Notice to Employees Concerning Termination of Group and Health Insurance Policies, etc.	To make technical changes; comport with statutes; update office addresses; correct citations; etc.

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
FINANCIAL SERVICES, DEPARTMENT OF			
DFS-13-21-00001-P	03/31/22	Enterprise Risk Management and Own Risk and Solvency Assessment	To require an entity subject to the rule to describe its ERM function in its enterprise risk report, among other things
DFS-14-21-00007-P	04/07/22	Public Adjusters	To update the rule regarding public adjusters, including to conform to Chapter 546 of the Laws of 2013
GAMING COMMISSION, NEW YORK STATE			
SGC-34-20-00009-P	08/26/21	Qualification time in harness racing	To improve harness pari-mutuel wagering and generate reasonable revenue for the support of government
SGC-50-20-00007-P	12/16/21	Contactless payment methods for chances in charitable gaming	To promote public health and support of organizations authorized to operate games of chance
SGC-09-21-00011-P	03/03/22	Restrictions on clenbuterol use in Thoroughbred racing	To improve integrity, health and safety of Thoroughbred horse racing
SGC-09-21-00012-P	03/03/22	Thresholds for controlled therapeutic medications in horse racing	To preserve the integrity of pari-mutuel racing while generating reasonable revenue for the support of government
SGC-09-21-00013-P	03/03/22	Casino table game rules	To set forth the practices and procedures for the conduct and operation of table games
SGC-09-21-00014-P	03/03/22	Lasix administrations on race day	To enhance the safety and integrity of pari-mutuel racing
SGC-09-21-00015-P	03/03/22	Regulation of bingo	To conform bingo rules to current statutes, including allowing payment for bingo opportunity by check
SGC-17-21-00001-P	04/28/22	Time, place and manner of Powerball drawings	To provide that the time, place and manner of Powerball drawings will be as authorized by the Multi-State Lottery Association
HEALTH, DEPARTMENT OF			
*HLT-14-94-00006-P	exempt	Payment methodology for HIV/AIDS outpatient services	To expand the current payment to incorporate pricing for services
*HLT-36-19-00006-P	07/24/21	Limits on Executive Compensation	Removes “Soft Cap” prohibition on covered executive salaries.
*HLT-46-19-00003-P	07/24/21	Tanning Facilities	To prohibit the use of indoor tanning facilities by individuals less than 18 years of age
*HLT-47-19-00008-P	07/24/21	Hospital Medical Staff - Limited Permit Holders	To repeal extra years of training required for limited permit holders to work in New York State hospitals.
*HLT-51-19-00001-P	07/24/21	Women, Infants and Children (WIC) Program	To support implementation of eWIC; clarify rules for violations, penalties & hearings & conform vendor authorization criteria.
*HLT-04-20-00003-P	07/24/21	Applied Behavior Analysis	To include Applied Behavior Analysis in the Early and Periodic Screening, Diagnosis, and Treatment (EPSDT) benefit.

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
HEALTH, DEPARTMENT OF			
*HLT-04-20-00011-P	07/24/21	Nursing Home Case Mix Rationalization	To authorize the Department of Health to change the case mix acuity process for all nursing homes.
*HLT-11-20-00003-P	07/24/21	Adult Day Health Care (ADHC)	To allow for reimbursement of real property leases in certain situations when used for operations of an ADHC program
HLT-27-20-00006-P	07/24/21	Medicaid Managed Care State Fair Hearings and External Appeals Processes and Standards	To address & clarify rules of procedure & presentation of evidence for Medicaid managed care fair hearings & external appeals
HLT-28-20-00019-RP	07/24/21	Personal Care Services (PCS) and Consumer Directed Personal Assistance Program (CDPAP)	To implement a revised assessment process and eligibility criteria for PCS and CDPAP
HLT-31-20-00012-EP	exempt	Hospital Non-comparable Ambulance Acute Rate Add-on	Prevents duplicate claiming by Article 28 hospitals for the ambulance add-on regarding participation in the program
HLT-38-20-00006-P	09/23/21	Medicaid Transportation Program	Medicaid payment standards for emergency ambulance providers participating in an Emergency Triage, Treat & Transport (ET3) model
HLT-38-20-00008-ERP	09/23/21	Revise Requirements for Collection of Blood Components	To facilitate the availability of human blood components while maintaining safety
HLT-45-20-00002-P	11/10/21	Cannabinoid Hemp	To create a licensing framework for cannabinoid hemp processors and cannabinoid hemp retailers
HLT-05-21-00011-P	02/03/22	Ingredient Disclosures for Vapor Products and E-Cigarettes	To provide for enhanced public awareness of the chemicals used in vapor products and electronic cigarettes
HLT-06-21-00006-P	02/10/22	Name Change for the Physically Handicapped Children's Program (PHCP)	To change the name of the PHCP to Children and Youth with Special Health Care Needs Support Services Programs
HLT-07-21-00012-P	02/17/22	Rate Setting for Residential Habilitation in Community Residences and for Non-State Providers of Day Habilitation	To amend rate methodologies limiting payments to IRA providers to conform to provisions in approved waiver
HLT-09-21-00009-EP	03/03/22	Surrogacy Programs and Assisted Reproduction Service Providers	To license and regulate surrogacy programs
HOMELAND SECURITY AND EMERGENCY SERVICES, DIVISION OF			
HES-08-21-00007-P	02/24/22	Minimum qualifications for certain fire chiefs	Minimum qualifications for fire chiefs subject to Civil Service Law Section 58-a and General Municipal Law section 204-dd
HOUSING AND COMMUNITY RENEWAL, DIVISION OF			
*HCR-21-19-00019-P	07/24/21	Low-Income Housing Qualified Allocation Plan	To amend definitions, threshold criteria and application scoring for the allocation o flow-income housing tax credits.

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
HOUSING FINANCE AGENCY			
*HFA-21-19-00020-P	07/24/21	Low-Income Housing Qualified Allocation Plan	To amend definitions, threshold criteria and application scoring for the allocation of low-income housing tax credits
HUMAN RIGHTS, DIVISION OF			
HRT-15-21-00005-P	04/14/22	Notice of tenants' rights to reasonable modifications and accommodations for persons with disabilities	To comply with the requirements of Executive Law section 170-d
LABOR, DEPARTMENT OF			
*LAB-46-19-00004-P	07/24/21	NY State Public Employees Occupational Safety and Health Standards	To incorporate by reference updates to OSHA standards into the NY State Public Employee Occupational Safety and Health Standards
LAB-49-20-00012-P	12/09/21	Sick Leave Requirements	To provide definitions and standards for the sick leave requirements contained in Section 196-b of the Labor Law
LAB-05-21-00003-EP	02/03/22	Unemployment Insurance (UI) definition of "day of total unemployment"	To prevent an additional financial burden on UI claimants seeking part-time work opportunities and help employers obtain talent
LAW, DEPARTMENT OF			
LAW-18-20-00002-P	05/06/21	Designation of a Privacy Officer	Removal of a named Privacy Officer., along with their contact information
LIQUOR AUTHORITY, STATE			
LQR-12-21-00005-P	06/09/22	Minimum curriculum requirements for ATAP schools	To add information regarding sexual violence prevention to minimum curriculum requirements for ATAP schools
LONG ISLAND POWER AUTHORITY			
*LPA-08-01-00003-P	exempt	Pole attachments and related matters	To approve revisions to the authority's tariff
*LPA-41-02-00005-P	exempt	Tariff for electric service	To revise the tariff for electric service
*LPA-04-06-00007-P	exempt	Tariff for electric service	To adopt provisions of a ratepayer protection plan
*LPA-03-10-00004-P	exempt	Residential late payment charges	To extend the application of late payment charges to residential customers
*LPA-15-18-00013-P	exempt	Outdoor area lighting	To add an option and pricing for efficient LED lamps to the Authority's outdoor area lighting
*LPA-37-18-00013-P	exempt	The net energy metering provisions of the Authority's Tariff for Electric Service	To implement PSC guidance increasing eligibility for value stack compensation to larger projects
*LPA-37-18-00017-P	exempt	The treatment of electric vehicle charging in the Authority's Tariff for Electric Service.	To effectuate the outcome of the Public Service Commission's proceeding on electric vehicle supply equipment.

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
LONG ISLAND POWER AUTHORITY			
*LPA-37-18-00018-P	exempt	The treatment of energy storage in the Authority's Tariff for Electric Service.	To effectuate the outcome of the Public Service Commission's proceeding on the NY Energy Storage Roadmap.
*LPA-09-20-00010-P	exempt	To update and implement latest requirements for ESCOs proposing to do business within the Authority's service territory.	To strengthen customer protections and be consistent with Public Service Commission orders on retail energy markets.
LPA-28-20-00033-EP	exempt	LIPA's late payment charges, reconnection charges, and low-income customer discount enrollment	To allow waiver of late payment and reconnection charges and extend the grace period for re-enrolling in customer bill discounts
LPA-37-20-00013-EP	exempt	The terms of deferred payment agreements available to LIPA's commercial customers	To expand eligibility for and ease the terms of deferred payment agreements for LIPA's commercial customers
LPA-12-21-00011-P	exempt	LIPA's Long Island Choice (retail choice) tariff	To simplify and improve Long Island Choice based on stakeholder collaborative input
LPA-14-21-00010-P	exempt	To increase participation in the NYSERDA GJGNY On-Bill Loan Installment program	To provide low-cost on-bill financing for customers investing in energy efficiency, DERs, and beneficial electrification
LONG ISLAND RAILROAD COMPANY			
LIR-39-20-00005-ERP	09/30/21	Requiring wearing masks over the nose and mouth when using terminals, stations, and trains operated by Long Island Rail Road	To safeguard the public health and safety on terminals, stations and trains operated by Long Island Rail Road
MANHATTAN AND BRONX SURFACE TRANSIT OPERATING AUTHORITY			
MBA-39-20-00007-EP	09/30/21	Requiring mask wearing covering the nose and mouth when using facilities and conveyances operated by the MaBSTOA	To safeguard the public health and safety by amending existing rules to require use of masks when using the transit system
MENTAL HEALTH, OFFICE OF			
OMH-42-20-00011-EP	10/21/21	Comprehensive Psychiatric Emergency Programs	To provide clarify and provide uniformity relating to CPEPs and to implement Chapter 58 of the Laws of 2020
OMH-09-21-00001-EP	03/03/22	Redesigning Residential Treatment Facilities (RTF)	To provide clarity and provide uniformity relating to RTF's and to implement Chapter 58 of the Laws of 2020
OMH-15-21-00001-EP	04/14/22	Residential treatment facility leave of absence	Update requirements for leave of absence in RTFs; Implement State Plan Amendments effective 7/1/18 (attachment 4.16C (pg 2 & 3)
METRO-NORTH COMMUTER RAILROAD			
MCR-39-20-00004-EP	09/30/21	Requiring mask wearing covering the nose and mouth when using terminals, stations, and trains operated by Metro-North Railroad	To safeguard the public health and safety by amending the rules to require use of masks when using Metro-North facilities

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
METROPOLITAN TRANSPORTATION AGENCY			
MTA-39-20-00009-EP	09/30/21	Requiring mask wearing covering the nose and mouth when using the facilities and conveyances operated by MTA Bus Company	To safeguard the public health and safety by amending rules to require use of masks when using MTA Bus facilities and conveyance
MTA-16-21-00004-EP	04/21/22	Requiring mask wearing when using the facilities and conveyances of the MTA and its operating affiliates and subsidiaries	To safeguard the public health and safety by adding a new all-agency rule requiring the use of masks in facilities and conveyances
NEW YORK CITY TRANSIT AUTHORITY			
NTA-39-20-00006-EP	09/30/21	Requiring mask wearing covering the nose and mouth when using facilities and conveyances operated by NYC Transit Authority	To safeguard the public health and safety by amending existing rules to require use of masks when using the transit system
NIAGARA FALLS WATER BOARD			
*NFW-04-13-00004-EP	exempt	Adoption of Rates, Fees and Charges	To pay for the increased costs necessary to operate, maintain and manage the system, and to achieve covenants with bondholders
*NFW-13-14-00006-EP	exempt	Adoption of Rates, Fees and Charges	To pay for increased costs necessary to operate, maintain and manage the system and to achieve covenants with the bondholders
OGDENSBURG BRIDGE AND PORT AUTHORITY			
*OBA-33-18-00019-P	exempt	Increase in Bridge Toll Structure	To increase bridge toll revenue in order to become financially self-supporting. Our bridge operations are resulting in deficit.
*OBA-07-19-00019-P	exempt	Increase in Bridge Toll Structure	To increase bridge toll revenue in order to become financially self-supporting. Our bridge operations are resulting in deficit
PARKS, RECREATION AND HISTORIC PRESERVATION, OFFICE OF			
PKR-04-21-00005-P	01/27/22	Fees and charges for the use of State parks, parkways, historic sites and recreational facilities	To repeal outdated fees and charges that are not required to be posted in regulation
PEOPLE WITH DEVELOPMENTAL DISABILITIES, OFFICE FOR			
PDD-07-21-00003-P	02/17/22	Reimbursement of waiver services	To conform OPWDD waiver services to the federally approved waiver agreement
PDD-12-21-00007-P	03/24/22	Repeal and amendment to outdated rate regulations	Repeal and amendment to outdated rate regulations
PDD-14-21-00005-EP	04/07/22	Medical Consents	To assist providers in administering the COVID-19 vaccine
PDD-15-21-00002-EP	04/14/22	Billing for Day Program Duration	To allow providers of day hab and site-based prevocational services to bill for day program duration with greater flexibility

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
POWER AUTHORITY OF THE STATE OF NEW YORK			
*PAS-01-10-00010-P	..... exempt	Rates for the sale of power and energy	Update ECSB Programs customers' service tariffs to streamline them/include additional required information
PUBLIC SERVICE COMMISSION			
*PSC-09-99-00012-P	..... exempt	Transfer of books and records by Citizens Utilities Company	To relocate Ogden Telephone Company's books and records out-of-state
*PSC-15-99-00011-P	..... exempt	Electronic tariff by Woodcliff Park Corp.	To replace the company's current tariff with an electronic tariff
*PSC-12-00-00001-P	..... exempt	Winter bundled sales service election date by Central Hudson Gas & Electric Corporation	To revise the date
*PSC-44-01-00005-P	..... exempt	Annual reconciliation of gas costs by Corning Natural Gas Corporation	To authorize the company to include certain gas costs
*PSC-07-02-00032-P	..... exempt	Uniform business practices	To consider modification
*PSC-36-03-00010-P	..... exempt	Performance assurance plan by Verizon New York	To consider changes
*PSC-40-03-00015-P	..... exempt	Receipt of payment of bills by St. Lawrence Gas Company	To revise the process
*PSC-41-03-00010-P	..... exempt	Annual reconciliation of gas expenses and gas cost recoveries	To consider filings of various LDCs and municipalities
*PSC-41-03-00011-P	..... exempt	Annual reconciliation of gas expenses and gas cost recoveries	To consider filings of various LDCs and municipalities
*PSC-44-03-00009-P	..... exempt	Retail access data between jurisdictional utilities	To accommodate changes in retail access market structure or commission mandates
*PSC-02-04-00008-P	..... exempt	Delivery rates for Con Edison's customers in New York City and Westchester County by the City of New York	To rehear the Nov. 25, 2003 order
*PSC-06-04-00009-P	..... exempt	Transfer of ownership interest by SCS Energy LLC and AE Investors LLC	To transfer interest in Steinway Creek Electric Generating Company LLC to AE Investors LLC
*PSC-10-04-00005-P	..... exempt	Temporary protective order	To consider adopting a protective order
*PSC-10-04-00008-P	..... exempt	Interconnection agreement between Verizon New York Inc. and VIC-RMTS-DC, L.L.C. d/b/a Verizon Avenue	To amend the agreement
*PSC-14-04-00008-P	..... exempt	Submetering of natural gas service to industrial and commercial customers by Hamburg Fairgrounds	To submeter gas service to commercial customers located at the Buffalo Speedway
*PSC-15-04-00022-P	..... exempt	Submetering of electricity by Glenn Gardens Associates, L.P.	To permit submetering at 175 W. 87th St., New York, NY
*PSC-21-04-00013-P	..... exempt	Verizon performance assurance plan by Metropolitan Telecommunications	To clarify the appropriate performance level
*PSC-22-04-00010-P	..... exempt	Approval of new types of electricity meters by Powell Power Electric Company	To permit the use of the PE-1250 electronic meter

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
*PSC-22-04-00013-P	exempt	Major gas rate increase by Consolidated Edison Company of New York, Inc.	To increase annual gas revenues
*PSC-22-04-00016-P	exempt	Master metering of water by South Liberty Corporation	To waive the requirement for installation of separate water meters
*PSC-25-04-00012-P	exempt	Interconnection agreement between Frontier Communications of Ausable Valley, Inc., et al. and Sprint Communications Company, L.P.	To amend the agreement
*PSC-27-04-00008-P	exempt	Interconnection agreement between Verizon New York Inc. and various Verizon wireless affiliates	To amend the agreement
*PSC-27-04-00009-P	exempt	Interconnection agreement between Verizon New York Inc. and various Verizon wireless affiliates	To amend the agreement
*PSC-28-04-00006-P	exempt	Approval of loans by Dunkirk & Fredonia Telephone Company and Cassadaga Telephone Corporation	To authorize participation in the parent corporation's line of credit
*PSC-31-04-00023-P	exempt	Distributed generation service by Consolidated Edison Company of New York, Inc.	To provide an application form
*PSC-34-04-00031-P	exempt	Flat rate residential service by Emerald Green Lake Louise Marie Water Company, Inc.	To set appropriate level of permanent rates
*PSC-35-04-00017-P	exempt	Application form for distributed generation by Orange and Rockland Utilities, Inc.	To establish a new supplementary application form for customers
*PSC-43-04-00016-P	exempt	Accounts recievable by Rochester Gas and Electric Corporation	To include in its tariff provisions for the purchase of ESCO accounts recievable
*PSC-46-04-00012-P	exempt	Service application form by Consolidated Edison Company of New York, Inc.	To revise the form and make housekeeping changes
*PSC-46-04-00013-P	exempt	Rules and guidelines governing installation of metering equipment	To establish uniform statewide business practices
*PSC-02-05-00006-P	exempt	Violation of the July 22, 2004 order by Dutchess Estates Water Company, Inc.	To consider imposing remedial actions against the company and its owners, officers and directors
*PSC-09-05-00009-P	exempt	Submetering of natural gas service by Hamlet on Olde Oyster Bay	To consider submetering of natural gas to a commercial customer
*PSC-14-05-00006-P	exempt	Request for deferred accounting authorization by Freeport Electric Inc.	To defer expenses beyond the end of the fiscal year
*PSC-18-05-00009-P	exempt	Marketer Assignment Program by Consolidated Edison Company of New York, Inc.	To implement the program
*PSC-20-05-00028-P	exempt	Delivery point aggregation fee by Allied Frozen Storage, Inc.	To review the calculation of the fee
*PSC-25-05-00011-P	exempt	Metering, balancing and cashout provisions by Central Hudson Gas & Electric Corporation	To establish provisions for gas customers taking service under Service Classification Nos. 8, 9 and 11

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
*PSC-27-05-00018-P	exempt	Annual reconciliation of gas costs by New York State Electric & Gas Corporation	To consider the manner in which the gas cost incentive mechanism has been applied
*PSC-41-05-00013-P	exempt	Annual reconciliation of gas expenses and gas cost recoveries by local distribution companies and municipalities	To consider the filings
*PSC-45-05-00011-P	exempt	Treatment of lost and unaccounted gas costs by Corning Natural Gas Corporation	To defer certain costs
*PSC-46-05-00015-P	exempt	Sale of real and personal property by the Brooklyn Union Gas Company d/b/a KeySpan Energy Delivery New York and Steel Arrow, LLC	To consider the sale
*PSC-47-05-00009-P	exempt	Transferral of gas supplies by Corning Natural Gas Corporation	To approve the transfer
*PSC-50-05-00008-P	exempt	Long-term debt by Saratoga Glen Hollow Water Supply Corp.	To obtain long-term debt
*PSC-04-06-00024-P	exempt	Transfer of ownership interests by Mirant NY-Gen LLC and Orange and Rockland Utilities, Inc.	To approve of the transfer
*PSC-06-06-00015-P	exempt	Gas curtailment policies and procedures	To examine the manner and extent to which gas curtailment policies and procedures should be modified and/or established
*PSC-07-06-00009-P	exempt	Modification of the current Environmental Disclosure Program	To include an attributes accounting system
*PSC-22-06-00019-P	exempt	Hourly pricing by National Grid	To assess the impacts
*PSC-22-06-00020-P	exempt	Hourly pricing by New York State Electric & Gas Corporation	To assess the impacts
*PSC-22-06-00021-P	exempt	Hourly pricing by Rochester Gas & Electric Corporation	To assess the impacts
*PSC-22-06-00022-P	exempt	Hourly pricing by Consolidated Edison Company of New York, Inc.	To assess the impacts
*PSC-22-06-00023-P	exempt	Hourly pricing by Orange and Rockland Utilities, Inc.	To assess the impacts
*PSC-24-06-00005-EP	exempt	Supplemental home energy assistance benefits	To extend the deadline to Central Hudson's low-income customers
*PSC-25-06-00017-P	exempt	Purchased power adjustment by Massena Electric Department	To revise the method of calculating the purchased power adjustment and update the factor of adjustment
*PSC-34-06-00009-P	exempt	Inter-carrier telephone service quality standards and metrics by the Carrier Working Group	To incorporate appropriate modifications
*PSC-37-06-00015-P	exempt	Procedures for estimation of customer bills by Rochester Gas and Electric Corporation	To consider estimation procedures

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
*PSC-37-06-00017-P	exempt	Procedures for estimation of customer bills by Rochester Gas and Electric Corporation	To consider estimation procedures
*PSC-43-06-00014-P	exempt	Electric delivery services by Strategic Power Management, Inc.	To determine the proper mechanism for the rate-recovery of costs
*PSC-04-07-00012-P	exempt	Petition for rehearing by Orange and Rockland Utilities, Inc.	To clarify the order
*PSC-06-07-00015-P	exempt	Meter reading and billing practices by Central Hudson Gas & Electric Corporation	To continue current meter reading and billing practices for electric service
*PSC-06-07-00020-P	exempt	Meter reading and billing practices by Central Hudson Gas & Electric Corporation	To continue current meter reading and billing practices for gas service
*PSC-11-07-00010-P	exempt	Investigation of the electric power outages by the Consolidated Edison Company of New York, Inc.	To implement the recommendations in the staff's investigation
*PSC-11-07-00011-P	exempt	Storm-related power outages by Consolidated Edison Company of New York, Inc.	To modify the company's response to power outages, the timing for any such changes and other related matters
*PSC-17-07-00008-P	exempt	Interconnection agreement between Verizon New York Inc. and BridgeCom International, Inc.	To amend the agreement
*PSC-18-07-00010-P	exempt	Existing electric generating stations by Independent Power Producers of New York, Inc.	To repower and upgrade existing electric generating stations owned by Rochester Gas and Electric Corporation
*PSC-20-07-00016-P	exempt	Tariff revisions and making rates permanent by New York State Electric & Gas Corporation	To seek rehearing
*PSC-21-07-00007-P	exempt	Natural Gas Supply and Acquisition Plan by Corning Natural Gas Corporation	To revise the rates, charges, rules and regulations for gas service
*PSC-22-07-00015-P	exempt	Demand Side Management Program by Consolidated Edison Company of New York, Inc.	To recover incremental program costs and lost revenue
*PSC-23-07-00022-P	exempt	Supplier, transportation, balancing and aggregation service by National Fuel Gas Distribution Corporation	To explicitly state in the company's tariff that the threshold level of elective upstream transmission capacity is a maximum of 112,600 Dth/day of marketer-provided upstream capacity
*PSC-24-07-00012-P	exempt	Gas Efficiency Program by the City of New York	To consider rehearing a decision establishing a Gas Efficiency Program
*PSC-39-07-00017-P	exempt	Gas bill issuance charge by New York State Electric & Gas Corporation	To create a gas bill issuance charge unbundled from delivery rates
*PSC-41-07-00009-P	exempt	Submetering of electricity rehearing	To seek reversal
*PSC-42-07-00012-P	exempt	Energy efficiency program by Orange and Rockland Utilities, Inc.	To consider any energy efficiency program for Orange and Rockland Utilities, Inc.'s electric service
*PSC-42-07-00013-P	exempt	Revenue decoupling by Orange and Rockland Utilities, Inc.	To consider a revenue decoupling mechanism for Orange and Rockland Utilities, Inc.

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
*PSC-45-07-00005-P	exempt	Customer incentive programs by Orange and Rockland Utilities, Inc.	To establish a tariff provision
*PSC-02-08-00006-P	exempt	Additional central office codes in the 315 area code region	To consider options for making additional codes
*PSC-03-08-00006-P	exempt	Rehearing of the accounting determinations	To grant or deny a petition for rehearing of the accounting determinations
*PSC-04-08-00010-P	exempt	Granting of easement rights on utility property by Central Hudson Gas & Electric Corporation	To grant easement rights to Millennium Pipeline Company, L.L.C.
*PSC-04-08-00012-P	exempt	Marketing practices of energy service companies by the Consumer Protection Board and New York City Department of Consumer Affairs	To consider modifying the commission's regulation over marketing practices of energy service companies
*PSC-08-08-00016-P	exempt	Transfer of ownership by Entergy Nuclear Fitzpatrick LLC, et al.	To consider the transfer
*PSC-12-08-00019-P	exempt	Extend the provisions of the existing electric rate plan by Rochester Gas and Electric Corporation	To consider the request
*PSC-12-08-00021-P	exempt	Extend the provisions of the existing gas rate plan by Rochester Gas and Electric Corporation	To consider the request
*PSC-13-08-00011-P	exempt	Waiver of commission policy and NYSEG tariff by Turner Engineering, PC	To grant or deny Turner's petition
*PSC-13-08-00012-P	exempt	Voltage drops by New York State Electric & Gas Corporation	To grant or deny the petition
*PSC-23-08-00008-P	exempt	Petition requesting rehearing and clarification of the commission's April 25, 2008 order denying petition of public utility law project	To consider whether to grant or deny, in whole or in part, the May 7, 2008 Public Utility Law Project (PULP) petition for rehearing and clarification of the commission's April 25, 2008 order denying petition of Public Utility Law Project
*PSC-25-08-00007-P	exempt	Policies and procedures regarding the selection of regulatory proposals to meet reliability needs	To establish policies and procedures regarding the selection of regulatory proposals to meet reliability needs
*PSC-25-08-00008-P	exempt	Report on Callable Load Opportunities	Rider U report assessing callable load opportunities in New York City and Westchester County during the next 10 years
*PSC-28-08-00004-P	exempt	Con Edison's procedure for providing customers access to their account information	To consider Con Edison's implementation plan and timetable for providing customers access to their account information
*PSC-31-08-00025-P	exempt	Recovery of reasonable DRS costs from the cost mitigation reserve (CMR)	To authorize recovery of the DRS costs from the CMR
*PSC-32-08-00009-P	exempt	The ESCO referral program for KEDNY to be implemented by October 1, 2008	To approve, reject or modify, in whole or in part, KEDNY's recommended ESCO referral program
*PSC-33-08-00008-P	exempt	Noble Allegany's request for lightened regulation	To consider Noble Allegany's request for lightened regulation as an electric corporation

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
*PSC-36-08-00019-P	exempt	Land Transfer in the Borough of Manhattan, New York	To consider petition for transfer of real property to NYPH
*PSC-39-08-00010-P	exempt	RG&E's economic development plan and tariffs	Consideration of the approval of RG&E's economic development plan and tariffs
*PSC-40-08-00010-P	exempt	Loans from regulated company to its parent	To determine if the cash management program resulting in loans to the parent should be approved
*PSC-41-08-00009-P	exempt	Transfer of control of cable TV franchise	To determine if the transfer of control of Margaretville's cable TV subsidiary should be approved
*PSC-43-08-00014-P	exempt	Annual Reconcilliation of Gas Expenses and Gas Cost Recoveries	The filings of various LDCs and municipalities regarding their Annual Reconciliation of Gas Expenses and Gas Cost Recoveries
*PSC-46-08-00008-P	exempt	Property transfer in the Village of Avon, New York	To consider a petition for the transfer of street lighting and attached equipment to the Village of Avon, New York
*PSC-46-08-00010-P	exempt	A transfer of indirect ownership interests in nuclear generation facilities	Consideration of approval of a transfer of indirect ownership interests in nuclear generation facilities
*PSC-46-08-00014-P	exempt	The attachment of cellular antennae to an electric transmission tower	To approve, reject or modify the request for permission to attach cellular antennae to an electric transmission tower
*PSC-48-08-00005-P	exempt	A National Grid high efficiency gas heating equipment rebate program	To expand eligibility to customers converting from oil to natural gas
*PSC-48-08-00008-P	exempt	Petition for the master metering and submetering of electricity	To consider the request of Bay City Metering, to master meter & submeter electricity at 345 E. 81st St., New York, New York
*PSC-48-08-00009-P	exempt	Petition for the submetering of electricity	To consider the request of PCV/ST to submeter electricity at Peter Cooper Village & Stuyvesant Town, New York, New York
*PSC-50-08-00018-P	exempt	Market Supply Charge	A study on the implementation of a revised Market Supply Charge
*PSC-51-08-00006-P	exempt	Commission's October 27, 2008 Order on Future of Retail Access Programs in Case 07-M-0458	To consider a Petition for rehearing of the Commission's October 27, 2008 Order in Case 07-M-0458
*PSC-51-08-00007-P	exempt	Commission's October 27, 2008 Order in Cases 98-M-1343, 07-M-1514 and 08-G-0078	To consider Petitions for rehearing of the Commission's October 27, 2008 Order in Cases 98-M-1343, 07-M-1514 and 08-G-0078
*PSC-53-08-00011-P	exempt	Use of deferred Rural Telephone Bank funds	To determine if the purchase of a softswitch by Hancock is an appropriate use of deferred Rural Telephone Bank funds
*PSC-53-08-00012-P	exempt	Transfer of permanent and temporary easements at 549-555 North Little Tor Road, New City, NY	Transfer of permanent and temporary easements at 549-555 North Little Tor Road, New City, NY
*PSC-53-08-00013-P	exempt	To transfer common stock and ownership	To consider transfer of common stock and ownership

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
*PSC-01-09-00015-P	exempt	FCC decision to redefine service area of Citizens/Frontier	Review and consider FCC proposed redefinition of Citizens/Frontier service area
*PSC-02-09-00010-P	exempt	Competitive classification of independent local exchange company, and regulatory relief appropriate thereto	To determine if Chazy & Westport Telephone Corporation more appropriately belongs in scenario 1 rather than scenario 2
*PSC-05-09-00008-P	exempt	Revenue allocation, rate design, performance metrics, and other non-revenue requirement issues	To consider any remaining non-revenue requirement issues related to the Company's May 9, 2008 tariff filing
*PSC-05-09-00009-P	exempt	Numerous decisions involving the steam system including cost allocation, energy efficiency and capital projects	To consider the long term impacts on steam rates and on public policy of various options concerning the steam system
*PSC-06-09-00007-P	exempt	Interconnection of the networks between Frontier Comm. and WVT Communications for local exchange service and exchange access	To review the terms and conditions of the negotiated agreement between Frontier Comm. and WVT Comm.
*PSC-07-09-00015-P	exempt	Transfer certain utility assets located in the Town of Montgomery from plant held for future use to non-utility property	To consider the request to transfer certain utility assets located in the Town of Montgomery to non-utility assets
*PSC-07-09-00017-P	exempt	Request for authorization to defer the incremental costs incurred in the restoration work resulting from the ice storm	To allow the company to defer the incremental costs incurred in the restoration work resulting from the ice storm
*PSC-07-09-00018-P	exempt	Whether to permit the submetering of natural gas service to an industrial and commercial customer at Cooper Union, New York, NY	To consider the request of Cooper Union, to submeter natural gas at 41 Cooper Square, New York, New York
*PSC-12-09-00010-P	exempt	Charges for commodity	To charge customers for commodity costs
*PSC-12-09-00012-P	exempt	Charges for commodity	To charge customers for commodity costs
*PSC-13-09-00008-P	exempt	Options for making additional central office codes available in the 718/347 numbering plan area	To consider options for making additional central office codes available in the 718/347 numbering plan area
*PSC-14-09-00014-P	exempt	The regulation of revenue requirements for municipal utilities by the Public Service Commission	To determine whether the regulation of revenue requirements for municipal utilities should be modified
*PSC-16-09-00010-P	exempt	Petition for the submetering of electricity	To consider the request of AMPS on behalf of Park Imperial to submeter electricity at 230 W. 56th Street, in New York, New York
*PSC-16-09-00020-P	exempt	Whether SUNY's core accounts should be exempt from the mandatory assignment of local distribution company (LDC) capacity	Whether SUNY's core accounts should be exempt from the mandatory assignment of local distribution company (LDC) capacity
*PSC-17-09-00010-P	exempt	Whether to permit the use of Elster REX2 solid state electric meter for use in residential and commerical accounts	To permit electric utilities in New York State to use the Elster REX2
*PSC-17-09-00011-P	exempt	Whether Brooklyn Navy Yard Cogeneration Partners, L.P. should be reimbursed by Con Edison for past and future use taxes	Whether Brooklyn Navy Yard Cogeneration Partners, L.P. should be reimbursed by Con Edison for past and future use taxes

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
*PSC-17-09-00012-P	exempt	Petition for the submetering of gas at commercial property	To consider the request of Turner Construction, to submeter natural gas at 550 Short Ave., & 10 South St., Governors Island, NY
*PSC-17-09-00014-P	exempt	Benefit-cost framework for evaluating AMI programs prepared by the DPS Staff	To consider a benefit-cost framework for evaluating AMI programs prepared by the DPS Staff
*PSC-17-09-00015-P	exempt	The construction of a tower for wireless antennas on land owned by National Grid	To approve, reject or modify the petition to build a tower for wireless antennas in the Town of Onondaga
*PSC-18-09-00012-P	exempt	Petition for rehearing of Order approving the submetering of electricity	To consider the request of Frank Signore to rehear petition to submeter electricity at One City Place in White Plains, New York
*PSC-18-09-00013-P	exempt	Petition for the submetering of electricity	To consider the request of Living Opportunities of DePaul to submeter electricity at E. Main St. located in Batavia, New York
*PSC-18-09-00017-P	exempt	Approval of an arrangement for attachment of wireless antennas to the utility's transmission facilities in the City of Yonkers	To approve, reject or modify the petition for the existing wireless antenna attachment to the utility's transmission tower
*PSC-20-09-00016-P	exempt	The recovery of, and accounting for, costs associated with the Companies' advanced metering infrastructure (AMI) pilots etc	To consider a filing of the Companies as to the recovery of, and accounting for, costs associated with it's AMI pilots etc
*PSC-20-09-00017-P	exempt	The recovery of, and accounting for, costs associated with CHG&E's AMI pilot program	To consider a filing of CHG&E as to the recovery of, and accounting for, costs associated with it's AMI pilot program
*PSC-22-09-00011-P	exempt	Cost allocation for Consolidated Edison's East River Repowering Project	To determine whether any changes are warranted in the cost allocation of Consolidated Edison's East River Repowering Project
*PSC-25-09-00005-P	exempt	Whether to grant, deny, or modify, in whole or in part, the petition	Whether to grant, deny, or modify, in whole or in part, the petition
*PSC-25-09-00006-P	exempt	Electric utility implementation plans for proposed web based SIR application process and project status database	To determine if the proposed web based SIR systems are adequate and meet requirements needed for implementation
*PSC-25-09-00007-P	exempt	Electric rates for Consolidated Edison Company of New York, Inc	Consider a Petition for Rehearing filed by Consolidated Edison Company of New York, Inc
*PSC-27-09-00011-P	exempt	Interconnection of the networks between Vernon and tw telecom of new york l.p. for local exchange service and exchange access.	To review the terms and conditions of the negotiated agreement between Vernon and tw telecom of new york l.p.
*PSC-27-09-00014-P	exempt	Billing and payment for energy efficiency measures through utility bill	To promote energy conservation
*PSC-27-09-00015-P	exempt	Interconnection of the networks between Oriskany and tw telecom of new york l.p. for local exchange service and exchange access	To review the terms and conditions of the negotiated agreement between Oriskany and tw telecom of new york l.p
*PSC-29-09-00011-P	exempt	Consideration of utility compliance filings	Consideration of utility compliance filings

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
*PSC-32-09-00009-P	exempt	Cost allocation for Consolidated Edison's East River Repowering Project	To determine whether any changes are warranted in the cost allocation of Consolidated Edison's East River Repowering Project
*PSC-34-09-00016-P	exempt	Recommendations made in the Management Audit Final Report	To consider whether to take action or recommendations contained in the Management Audit Final Report
*PSC-34-09-00017-P	exempt	To consider the transfer of control of Plattsburgh Cablevision, Inc. d/b/a Charter Communications to CH Communications, LLC	To allow the Plattsburgh Cablevision, Inc. to distribute its equity interest in CH Communications, LLC
*PSC-36-09-00008-P	exempt	The increase in the non-bypassable charge implemented by RG&E on June 1, 2009	Considering exemptions from the increase in the non-bypassable charge implemented by RG&E on June 1, 2009
*PSC-37-09-00015-P	exempt	Sale of customer-generated steam to the Con Edison steam system	To establish a mechanism for sale of customer-generated steam to the Con Edison steam system
*PSC-37-09-00016-P	exempt	Applicability of electronic signatures to Deferred Payment Agreements	To determine whether electronic signatures can be accepted for Deferred Payment Agreements
*PSC-39-09-00015-P	exempt	Modifications to the $5 Bill Credit Program	Consideration of petition of National Grid to modify the Low Income $5 Bill Credit Program
*PSC-39-09-00018-P	exempt	The offset of deferral balances with Positive Benefit Adjustments	To consider a petition to offset deferral balances with Positive Benefit Adjustments
*PSC-40-09-00013-P	exempt	Uniform System of Accounts - request for deferral and amortization of costs	To consider a petition to defer and amortize costs
*PSC-51-09-00029-P	exempt	Rules and guidelines for the exchange of retail access data between jurisdictional utilities and eligible ESCOs	To revise the uniform Electronic Data Interchange Standards and business practices to incorporate a contest period
*PSC-51-09-00030-P	exempt	Waiver or modification of Capital Expenditure condition of merger	To allow the companies to expend less funds for capital improvement than required by the merger
*PSC-52-09-00006-P	exempt	ACE's petition for rehearing for an order regarding generator-specific energy deliverability study methodology	To consider whether to change the Order Prescribing Study Methodology
*PSC-52-09-00008-P	exempt	Approval for the New York Independent System Operator, Inc. to incur indebtedness and borrow up to $50,000,000	To finance the renovation and construction of the New York Independent System Operator, Inc.'s power control center facilities
*PSC-05-10-00008-P	exempt	Petition for the submetering of electricity	To consider the request of University Residences - Rochester, LLC to submeter electricity at 220 John Street, Henrietta, NY
*PSC-05-10-00015-P	exempt	Petition for the submetering of electricity	To consider the request of 243 West End Avenue Owners Corp. to submeter electricity at 243 West End Avenue, New York, NY
*PSC-06-10-00022-P	exempt	The Commission's Order of December 17, 2009 related to redevelopment of Consolidated Edison's Hudson Avenue generating facility	To reconsider the Commission's Order of December 17, 2009 related to redevelopment of the Hudson Avenue generating facility

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
*PSC-07-10-00009-P	exempt	Petition to revise the Uniform Business Practices	To consider the RESA petition to allow rescission of a customer request to return to full utility service
*PSC-08-10-00007-P	exempt	Whether to grant, deny, or modify , in whole or in part, the rehearing petition filed in Case 06-E-0847	Whether to grant, deny, or modify , in whole or in part, the rehearing petition filed in Case 06-E-0847
*PSC-08-10-00009-P	exempt	Consolidated Edison of New York, Inc. energy efficiency programs	To modify approved energy efficiency programs
*PSC-12-10-00015-P	exempt	Recommendations made by Staff intended to enhance the safety of Con Edison's gas operations	To require that Con Edison implement the Staff recommendations intended to enhance the safety of Con Edison's gas operations
*PSC-14-10-00010-P	exempt	Petition for the submetering of electricity	To consider the request of 61 Jane Street Owners Corporation to submeter Electricity at 61 Jane Street, Manhattan, NY
*PSC-16-10-00005-P	exempt	To consider adopting and expanding mobile stray voltage testing requirements	Adopt additional mobile stray voltage testing requirements
*PSC-16-10-00007-P	exempt	Interconnection of the networks between TDS Telecom and PAETEC Communications for local exchange service and exchange access	To review the terms and conditions of the negotiated agreement between TDS Telecom and PAETEC Communications
*PSC-16-10-00015-P	exempt	Interconnection of the networks between Frontier and Choice One Communications for local exchange service and exchange access	To review the terms and conditions of the negotiated agreement between Frontier and Choice One Communications
*PSC-18-10-00009-P	exempt	Electric utility transmission right-of-way management practices	To consider electric utility transmission right-of-way management practices
*PSC-19-10-00022-P	exempt	Whether National Grid should be permitted to transfer a parcel of property located at 1 Eddy Street, Fort Edward, New York	To decide whether to approve National Grid's request to transfer a parcel of vacant property in Fort Edward, New York
*PSC-22-10-00006-P	exempt	Requirement that Noble demonstrate that its affiliated electric corporations operating in New York are providing safe service	Consider requiring that Noble demonstrate that its affiliated electric corporations in New York are providing safe service
*PSC-22-10-00008-P	exempt	Petition for the submetering of electricity	To consider the request of 48-52 Franklin Street to submeter electricity at 50 Franklin Street, New York, New York
*PSC-24-10-00009-P	exempt	Verizon New York Inc. tariff regulations relating to voice messaging service	To remove tariff regulations relating to retail voice messaging service from Verizon New York Inc.'s tariff
*PSC-25-10-00012-P	exempt	Reassignment of the 2-1-1 abbreviated dialing code	Consideration of petition to reassign the 2-1-1 abbreviated dialing code
*PSC-27-10-00016-P	exempt	Petition for the submetering of electricity	To consider the request of 9271 Group, LLC to submeter electricity at 960 Busti Avenue, Buffalo, New York
*PSC-34-10-00003-P	exempt	The modification of Central Hudson Gas & Electric Corporation's Enhanced Powerful Opportunities Program	The modification of Central Hudson Gas & Electric Corporation's Enhanced Powerful Opportunities Program

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
*PSC-34-10-00005-P	exempt	Approval of a contract for $250,000 in tank repairs that may be a financing	To decide whether to approve a contract between the parties that may be a financing of $250,000 for tank repairs
*PSC-34-10-00006-P	exempt	The modification of Central Hudson Gas & Electric Corporation's Enhanced Powerful Opportunities Program	The modification of Central Hudson Gas & Electric Corporation's Enhanced Powerful Opportunities Program
*PSC-36-10-00010-P	exempt	Central Hudson's procedures, terms and conditions for an economic development plan	Consideration of Central Hudson's procedures, terms and conditions for an economic development plan
*PSC-40-10-00014-P	exempt	Disposition of a state sales tax refund	To determine how much of a state sales tax refund should be retained by National Grid
*PSC-40-10-00021-P	exempt	Whether to permit the submetering of natural gas service to a commercial customer at Quaker Crossing Mall	To permit the submetering of natural gas service to a commercial customer at Quaker Crossing Mall
*PSC-41-10-00018-P	exempt	Amount of hourly interval data provided to Hourly Pricing customers who have not installed a phone line to read meter	Allow Central Hudson to provide less than a years worth of interval data and charge for manual meter reading for some customers
*PSC-41-10-00022-P	exempt	Request for waiver of the individual living unit metering requirements at 5742 Route 5, Vernon, NY	Request for waiver of the individual living unit metering requirements at 5742 Route 5, Vernon, NY
*PSC-42-10-00011-P	exempt	Petition for the submetering of electricity	To consider the request of 4858 Group, LLC to submeter electricity at 456 Main Street, Buffalo, New York
*PSC-43-10-00016-P	exempt	Utility Access to Ducts, Conduit Facilities and Utility Poles	To review the complaint from Optical Communications Group
*PSC-44-10-00003-P	exempt	Third and fourth stage gas rate increase by Corning Natural Gas Corporation	To consider Corning Natural Gas Corporation's request for a third and fourth stage gas rate increase
*PSC-51-10-00018-P	exempt	Commission proceeding concerning three-phase electric service by all major electric utilities	Investigate the consistency of the tariff provisions for three-phase electric service for all major electric utilities
*PSC-11-11-00003-P	exempt	The proposed transfer of 55.42 acres of land and $1.4 million of revenues derived from the rendition of public service	The proposed transfer of 55.42 acres of land and $1.4 million of revenues derived from the rendition of public service
*PSC-13-11-00005-P	exempt	Exclude the minimum monthly bill component from the earnings test calculation	Exclude the minimum monthly bill component from the earnings test calculation
*PSC-14-11-00009-P	exempt	Petition for the submetering of electricity	To consider the request of 83-30 118th Street to submeter electricity at 83-30 118th Street, Kew Gardens, New York
*PSC-19-11-00007-P	exempt	Utility price reporting requirements related to the Commission's "Power to Choose" website	Modify the Commission's utility electric commodity price reporting requirements related to the "Power to Choose" website
*PSC-20-11-00012-P	exempt	Petition for the submetering of electricity	To consider the request of KMW Group LLC to submeter electricity at 122 West Street, Brooklyn, New York

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
*PSC-20-11-00013-P	exempt	Determining the reasonableness of Niagara Mohawk Power Corporation d/b/a National Grid 's make ready charges	To determine if the make ready charges of Niagara Mohawk Power Corporation d/b/a National Grid are reasonable
*PSC-22-11-00004-P	exempt	Whether to permit the use of the Sensus accWAVE for use in residential gas meter applications	To permit gas utilities in New York State to use the Sensus accWAVE diaphragm gas meter
*PSC-26-11-00007-P	exempt	Water rates and charges	To approve an increase in annual revenues by about $25,266 or 50%
*PSC-26-11-00009-P	exempt	Petition for the submetering of electricity at commercial property	To consider the request of by Hoosick River Hardwoods, LLC to submeter electricity at 28 Taylor Avenue, in Berlin, New York
*PSC-26-11-00012-P	exempt	Waiver of generation retirement notice requirements	Consideration of waiver of generation retirement notice requirements
*PSC-29-11-00011-P	exempt	Petition requesting the Commssion reconsider its May 19, 2011 Order and conduct a hearing, and petition to stay said Order.	To consider whether to grant or deny, in whole or in part, Windstream New York's Petition For Reconsideration and Rehearing.
*PSC-35-11-00011-P	exempt	Whether to permit Consolidated Edison a waiver to commission regulations Part 226.8	Permit Consolidated Edison to conduct a inspection program in lieu of testing the accuracy of Category C meters
*PSC-36-11-00006-P	exempt	To consider expanding mobile stray voltage testing requirements	Adopt additional mobile stray voltage testing requirements
*PSC-38-11-00002-P	exempt	Operation and maintenance procedures pertaining to steam trap caps	Adopt modified steam operation and maintenance procedures
*PSC-38-11-00003-P	exempt	Waiver of certain provisions of the electric service tariffs of Con Edison	Consideration of waiver of certain provisions of the electric service tariffs of Con Edison
*PSC-40-11-00010-P	exempt	Participation of regulated local exchange carriers in the New York Data Exchange, Inc. (NYDE)	Whether to partially modify its order requiring regulated local exchange carriers' participation NYDE
*PSC-40-11-00012-P	exempt	Granting of transfer of plant in-service to a regulatory asset	To approve transfer and recovery of unamortized plant investment
*PSC-42-11-00018-P	exempt	Availability of telecommunications services in New York State at just and reasonable rates	Providing funding support to help ensure availability of affordable telecommunications service throughout New York
*PSC-43-11-00012-P	exempt	Transfer of outstanding shares of stock	Transfer the issued outstanding shares of stock of The Meadows at Hyde Park Water-Works Corporation to HPWS, LLC
*PSC-47-11-00007-P	exempt	Remedying miscalculations of delivered gas as between two customer classes	Consideration of Con Edison's proposal to address inter-class delivery imbalances resulting from past Company miscalculations
*PSC-48-11-00007-P	exempt	Transfer of controlling interests in generation facilities from Dynegy to PSEG	Consideration of the transfer of controlling interests in electric generation facilities from Dynegy to PSEG
*PSC-48-11-00008-P	exempt	Petition for the submetering of electricity	To consider the request of To Better Days, LLC to submeter electricity at 37 East 4th Street, New York, New York

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
*PSC-01-12-00007-P	exempt	The New York State Reliability Council's revisions to its rules and measurements	To adopt revisions to various rules and measurements of the New York State Reliability Council
*PSC-01-12-00008-P	exempt	Transfer of real property and easements from NMPNS to NMP3	Consideration of the transfer of real property and easements from NMPNS to NMP3
*PSC-01-12-00009-P	exempt	Recovery of expenses related to the expansion of Con Edison's ESCO referral program, PowerMove	To determine how and to what extent expenses related to the Expansion of Con Edison's ESCO referral program should be recovered
*PSC-11-12-00002-P	exempt	Whether to grant, deny or modify, in whole or part, Hegeman's petition for a waiver of Commission policy and Con Edison tariff	Whether to grant, deny or modify, in whole or part, Hegeman's petition for a waiver of Commission policy and Con Edison tariff
*PSC-11-12-00005-P	exempt	Transfer of land and water supply assets	Transfer the land and associated water supply assets of Groman Shores, LLC to Robert Groman
*PSC-13-12-00005-P	exempt	Authorization to transfer certain real property	To decide whether to approve the transfer of certain real property
*PSC-19-12-00023-P	exempt	Petition for approval pursuant to Section 70 for the sale of goods with an original cost of less than $100,000	To consider whether to grant, deny or modify, in whole or in part, the petition filed by Orange and Rockland Utilities, Inc.
*PSC-21-12-00006-P	exempt	Tariff filing requirements and refunds	To determine if certain agreements should be filed pursuant to the Public Service Law and if refunds are warranted
*PSC-21-12-00011-P	exempt	Whether to grant, deny or modify, in whole or part, the petition for waiver of tariff Rules 8.6 and 47	Whether to grant, deny or modify, in whole or part, the petition for waiver of tariff Rules 8.6 and 47
*PSC-23-12-00007-P	exempt	The approval of a financing upon a transfer to Alliance of upstream ownership interests in a generation facility	To consider the approval of a financing upon a transfer to Alliance of upstream ownership interests in a generation facility
*PSC-23-12-00009-P	exempt	Over earnings sharing between rate payers and shareholders	To establish an Earnings Sharing Mechanism to be applied following the conclusion of Corning's rate plan
*PSC-27-12-00012-P	exempt	Implementation of recommendations made in a Management Audit Report	To consider implementation of recommendations made in a Management Audit Report
*PSC-28-12-00013-P	exempt	Exemption of reliability reporting statistics for the purpose of the 2012 Reliability Performance Mechanism	Consideration of Orange and Rockland Utilities request for exemption of the 2012 reliability reporting statistics
*PSC-29-12-00019-P	exempt	Waiver of 16 NYCRR 894.1 through 894.4	To allow the Town of Hamden to waive certain preliminary franchising procedures to expedite the franchising process.
*PSC-30-12-00010-P	exempt	Waiver of 16 NYCRR 894.1 through 894.4	To allow the Town of Andes to waive certain preliminary franchising procedures to expedite the franchising process
*PSC-33-12-00009-P	exempt	Telecommunications companies ability to attach to utility company poles	Consideration of Tech Valley's ability to attach to Central Hudson poles

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
*PSC-37-12-00009-P	exempt	Proposed modification by Con Edison of its procedures to calculate estimated bills to its customers	Proposed modification by Con Edison of its procedures to calculate estimated bills to its customers
*PSC-42-12-00009-P	exempt	Regulation of Gipsy Trail Club, Inc.'s long-term financing agreements	To exempt Gipsy Trail Club, Inc. from Commission regulation of its financing agreements
*PSC-45-12-00008-P	exempt	Whether to grant, deny or modify, in whole or part, ESHG's petition for a waiver of Commission policy and RG&E tariff	Whether to grant, deny or modify, in whole or part, ESHG's petition for a waiver of Commission policy and RG&E tariff
*PSC-45-12-00010-P	exempt	Whether to grant, deny or modify, in whole or in part the petition of Con Edison to grant easements to Millwood Fire District	Whether to grant, deny or modify, in whole or in part the petition of Con Edison to grant easements to Millwood Fire District
*PSC-50-12-00003-P	exempt	Affiliate standards for Corning Natural Gas Corporation	To resolve issues raised by Corning Natural Gas Corporation in its petition for rehearing
*PSC-04-13-00006-P	exempt	Expansion of mandatory day ahead hourly pricing for customers of Orange and Rockland Utilities with demands above 100 kW	To consider the expansion of mandatory day ahead hourly pricing for customers with demands above 100 kW
*PSC-04-13-00007-P	exempt	Authorization to transfer certain real property.	To decide whether to approve the transfer of certain real property.
*PSC-06-13-00008-P	exempt	Verizon New York Inc.'s retail service quality	To investigate Verizon New York Inc.'s retail service quality
*PSC-08-13-00012-P	exempt	Filing requirements for certain Article VII electric facilities	To ensure that applications for certain electric transmission facilities contain pertinent information
*PSC-08-13-00014-P	exempt	Uniform System of Accounts - Request for Accounting Authorization	To allow the company to defer an item of expense or capital beyond the end of the year in which it was incurred
*PSC-12-13-00007-P	exempt	Protecting company water mains	To allow the company to require certain customers to make changes to the electrical grounding system at their homes
*PSC-13-13-00008-P	exempt	The potential waiver of 16 NYCRR 255.9221(d) completion of integrity assessments for certain gas transmission lines.	To determine whether a waiver of the timely completion of certain gas transmission line integrity assessments should be granted.
*PSC-18-13-00007-P	exempt	Whether Demand Energy Networks energy storage systems should be designated technologies for standby rate eligibility purposes	Whether Demand Energy Networks energy storage systems should be designated technologies for standby rate eligibility purposes
*PSC-21-13-00003-P	exempt	To consider policies that may impact consumer acceptance and use of electric vehicles	To consider and further develop policies that may impact consumer acceptance and use of electric vehicles
*PSC-21-13-00005-P	exempt	To implement an abandonment of Windover's water system	To approve the implementation of abandonment of Windover's water system
*PSC-21-13-00008-P	exempt	Rates of National Fuel Gas Distribution Corporation	To make the rates of National Fuel Gas Distribution Corporation temporary, subject to refund, if they are found to be excessive

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
*PSC-21-13-00009-P	exempt	Reporting requirements for natural gas local distribution companies	To help ensure efficient and economic expansion of the natural gas system as appropriate
*PSC-22-13-00009-P	exempt	On remand from New York State court litigation, determine the recovery of certain deferred amounts owed NFG by ratepayers	On remand, to determine the recovery of certain deferral amounts owed NFG from ratepayers
*PSC-23-13-00005-P	exempt	Waiver of partial payment, directory database distribution, service quality reporting, and service termination regulations	Equalize regulatory treatment based on level of competition and practical considerations
*PSC-25-13-00008-P	exempt	To deny, grant or modify, in whole or in part, Central Hudson's rehearing request.	To deny, grant or modify, in whole or in part, Central Hudson's rehearing request.
*PSC-25-13-00009-P	exempt	Provision by utilities of natural gas main and service lines.	To help ensure efficient and economic expansion of the natural gas system as appropriate.
*PSC-25-13-00012-P	exempt	To deny, grant or modify, in whole or in part, Central Hudson's rehearing request.	To deny, grant or modify, in whole or in part, Central Hudson's rehearing request.
*PSC-27-13-00014-P	exempt	Columbia Gas Transmission Corporation Cost Refund	For approval for temporary waiver of tariff provisions regarding its Columbia Gas Transmission Corporation cost refund.
*PSC-28-13-00014-P	exempt	Provision for the recovery and allocation of costs of transmission projects that reduce congestion on certain interfaces	To consider the recovery and allocation of costs of transmission projects that reduce congestion on certain interfaces
*PSC-28-13-00016-P	exempt	The request of NGT for lightened regulation as a gas corporation.	To consider whether to approve, reject, or modify the request of Niagara gas transport of Lockport, NY LLC.
*PSC-28-13-00017-P	exempt	The request by TE for waiver of regulations requiring that natural gas be odorized in certain gathering line segments	Consider the request by TE for waiver of regulations that gas be odorized in certain lines
*PSC-32-13-00009-P	exempt	To consider the definition of "misleading or deceptive conduct" in the Commission's Uniform Business Practices	To consider the definition of "misleading or deceptive conduct" in the Commission's Uniform Business Practices
*PSC-32-13-00012-P	exempt	To consider whether NYSEG should be required to undertake actions to protect its name and to minimize customer confusion	To consider whether NYSEG should be required to undertake actions to protect its name and to minimize customer confusion
*PSC-33-13-00027-P	exempt	Waive underground facility requirements for new construction in residential subdivisions to allow for overhead electric lines.	Determine whether Chapin Lumberland, LLC subdivision will be allowed overhead electric distribution and service lines.
*PSC-33-13-00029-P	exempt	Deferral of incremental costs associated with the restoration of steam service following Superstorm Sandy.	To consider a petition by Con Edison to defer certain incremental steam system restoration costs relating to Superstorm Sandy.
*PSC-34-13-00004-P	exempt	Escrow account and surcharge to fund extraordinary repairs	To approve the establishment of an escrow account and surcharge
*PSC-42-13-00013-P	exempt	Failure to Provide Escrow Information	The closure of the Escrow Account
*PSC-42-13-00015-P	exempt	Failure to Provide Escrow Information	The closure of the Escrow Account

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
*PSC-43-13-00015-P	exempt	Petition for submetering of electricity	To consider the request of 2701 Kingsbridge Terrace L.P. to submeter electricity at 2701 Kingsbridge Terrace, Bronx, N.Y.
*PSC-45-13-00021-P	exempt	Investigation into effect of bifurcation of gas and electric utility service on Long Island.	To consider a Petition for an investigation into effect of bifurcation of gas and electric utility service on Long Island.
*PSC-45-13-00022-P	exempt	Waiver of PSC regulations, 16 NYCRR section 88.4(a)(4)	To consider a waiver of certain regulations relating to the content of an application for transmission line siting
*PSC-45-13-00023-P	exempt	Waiver of PSC regulations, 16 NYCRR section 88.4(a)(4).	To consider a waiver of certain regulations relating to the content of an application for transmission line siting
*PSC-45-13-00024-P	exempt	Waiver of PSC regulations, 16 NYCRR section 88.4(a)(4); waiver of filing deadlines.	To consider a waiver of certain regulations relating to the content of an application for transmission line siting
*PSC-45-13-00025-P	exempt	Waiver of PSC regulations, 16 NYCRR section 88.4(a)(4).	To consider a waiver of certain regulations relating to the content of an application for transmission line siting
*PSC-47-13-00009-P	exempt	Petition for submetering of electricity.	To consider the request of Hegeman Avenue Housing L.P. to submeter electricity at 39 Hegeman Avenue, Brooklyn, N.Y.
*PSC-47-13-00012-P	exempt	Conditioning,restricting or prohibiting the purchase of services by NYSEG and RG&E from certain affiliates.	Consideration of conditioning,restricting or prohibiting the purchase of services by NYSEG and RG&E from certain affiliates.
*PSC-49-13-00008-P	exempt	Authorization to transfer all of Crystal Water Supply Company, Inc. stocks to Essel Infra West Inc.	To allow Crystal Water Supply Company, Inc to transfer all of its issued and outstanding stocks to Essel Infra West Inc.
*PSC-51-13-00009-P	exempt	Consolidated Edison proposing to use data from a test period ending September 30, 2013 to support its next rate filing.	To ensure there is a reasonable basis for data submitted in support of a request for a change in rates.
*PSC-51-13-00010-P	exempt	Consolidated Edison proposing to use data from a test period ending September 30, 2013 to support its next rate filing.	To ensure there is a reasonable basis for data submitted in support of a request for a change in rates.
*PSC-51-13-00011-P	exempt	Consolidated Edison proposing to use data from a test period ending September 30, 2013 to support its next rate filing.	To ensure there is a reasonable basis for data submitted in support of a request for a change in rates.
*PSC-52-13-00012-P	exempt	The development of reliability contingency plan(s) to address the potential retirement of Indian Point Energy Center (IPEC).	To address the petition for rehearing and reconsideration/motion for clarification of the IPEC reliability contingency plan(s).
*PSC-52-13-00015-P	exempt	To enter into a loan agreement with the banks for up to an amount of $94,000.	To consider allowing Knolls Water Company to enter into a long-term loan agreement.
*PSC-05-14-00010-P	exempt	The New York State Reliability Council's revisions to its rules and measurements	To adopt revisions to various rules and measurements of the New York State Reliability Council
*PSC-07-14-00008-P	exempt	Petition for submetering of electricity	To consider the request of Greater Centennial Homes HDFC, Inc. to submeter electricity at 102, 103 and 106 W 5th Street, et al.

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
*PSC-07-14-00012-P	exempt	Water rates and charges	Implementation of Long-Term Water Supply Surcharge to recover costs associated with the Haverstraw Water Supply Project
*PSC-08-14-00015-P	exempt	Verizon New York Inc.'s service quality and Customer Trouble Report Rate (CTRR) levels at certain central office entities	To improve Verizon New York Inc.'s service quality andthe Customer Trouble Report Rate levels at certain central office entities
*PSC-10-14-00006-P	exempt	Actions to facilitate the availability of ESCO value-added offerings, ESCO eligibility and ESCO compliance	To facilitate ESCO value-added offerings and to make changes to ESCO eligibility and to ensure ESCO compliance
*PSC-11-14-00003-P	exempt	Provision for the recovery and allocation of costs of transmission projects that reduce congestion on certain interfaces	To consider the recovery and allocation of costs of transmission projects that reduce congestion on certain interfaces
*PSC-16-14-00014-P	exempt	Whether to order NYSEG to provide gas service to customers when an expanded CPCN is approved and impose PSL 25-a penalties.	To order gas service to customers in the Town of Plattsburgh after approval of a town wide CPCN and to impose penalties.
*PSC-16-14-00015-P	exempt	Whether Central Hudson should be permitted to defer obligations of the Order issued on October 18, 2013 in Case 13-G-0336.	Consideration of the petition by Central Hudson to defer reporting obligations of the October 18, 2013 Order in Case 13-G-0336
*PSC-17-14-00003-P	exempt	Con Edison's Report on its 2013 performance under the Electric Service Reliability Performance Mechanism	Con Edison's Report on its 2013 performance under the Electric Service Reliability Performance Mechanism
*PSC-17-14-00004-P	exempt	To consider certain portions of petitions for rehearing, reconsideration and/or clarification	To consider certain portions of petitions for rehearing, reconsideration and/or clarification
*PSC-17-14-00007-P	exempt	To consider petitions for rehearing, reconsideration and/or clarification	To consider petitions for rehearing, reconsideration and/or clarification
*PSC-17-14-00008-P	exempt	To consider certain portions of petitions for rehearing, reconsideration and/or clarification	To consider certain portions of petitions for rehearing, reconsideration and/or clarification
*PSC-19-14-00014-P	exempt	Market Supply Charge	To make tariff revisions to the Market Supply Charge for capacity related costs
*PSC-19-14-00015-P	exempt	Whether to permit the use of the Sensus accuWAVE for use in residential and commercial gas meter applications	To permit gas utilities in New York State to use the Sensus accuWAVE 415TC gas meter
*PSC-22-14-00013-P	exempt	Petition to transfer and merge systems, franchises and assets.	To consider the Comcast and Time Warner Cable merger and transfer of systems, franchises and assets.
*PSC-23-14-00010-P	exempt	Whether to permit the use of the GE Dresser Series B3-HPC 11M-1480 rotary gas met for use in industrial gas meter applications	To permit gas utilities in New York State to use the GE Dresser Series B3-HPC 11M-1480 rotary gas meter
*PSC-23-14-00014-P	exempt	Waiver of the negative revenue adjustment associated with KEDLI's 2013 Customer Satisfaction Performance Metric	Consideration of KEDLI's waiver request pertaining to its 2013 performance under its Customer Satisfaction Metric
*PSC-24-14-00005-P	exempt	To examine LDC's performance and performance measures.	To improve gas safety performance.
*PSC-26-14-00013-P	exempt	Waiver of RG&E's tariffed definition of emergency generator.	To consider waiver of RG&E's tariffed definition of emergency generator.

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
*PSC-26-14-00020-P	..... exempt	New electric utility backup service tariffs and standards for interconnection may be adopted.	To encourage development of microgrids that enhance the efficiency, safety, reliability and resiliency of the electric grid.
*PSC-26-14-00021-P	..... exempt	Consumer protections, standards and protocols pertaining to access to customer data may be established.	To balance the need for the information necessary to support a robust market with customer privacy concerns.
*PSC-28-14-00014-P	..... exempt	Petition to transfer systems, franchises and assets.	To consider the Comcast and Charter transfer of systems, franchise and assets.
*PSC-30-14-00023-P	..... exempt	Whether to permit the use of the Sensus iPERL Fire Flow Meter.	Pursuant to 16 NYCRR Part 500.3 , it is necessary to permit the use of the Sensus iPERL Fire Flow Meter.
*PSC-30-14-00026-P	..... exempt	Petition for a waiver to master meter electricity.	Considering the request of Renaissance Corporation of to master meter electricity at 100 Union Drive,Albany, NY.
*PSC-31-14-00004-P	..... exempt	To transfer 100% of the issued and outstanding stock from Vincent Cross to Bonnie and Michael Cross	To transfer 100% of the issued and outstanding stock from Vincent Cross to Bonnie and Michael Cross
*PSC-32-14-00012-P	..... exempt	Whether to grant or deny, in whole or in part, the Connect New York Coalition's petition	To consider the Connect New York Coalition's petition seeking a formal investigation and hearings
*PSC-35-14-00004-P	..... exempt	Regulation of a proposed electricity generation facility located in the Town of Brookhaven, NY	To consider regulation of a proposed electricity generation facility located in the Town of Brookhaven, NY
*PSC-35-14-00005-P	..... exempt	Whether to permit the use of the Sensus iConA electric meter	Pursuant to 16 NYCRR Parts 92 and 93, Commission approval is necessary to permit the use of the Sensus iConA electric meter
*PSC-36-14-00009-P	..... exempt	Modification to the Commission's Electric Safety Standards.	To consider revisions to the Commission's Electric Safety Standards.
*PSC-38-14-00003-P	..... exempt	Whether to approve, reject or modify, in whole or in part a time-sensitive rate pilot program.	Whether to approve, reject or modify, in whole or in part a time-sensitive rate pilot program.
*PSC-38-14-00004-P	..... exempt	The study and petition of Con Edison regarding use, accounting and ratemaking treatment for 11-23 and 2-28 Hudson Ave. Brooklyn.	The study and petition of Con Edison regarding use, accounting and ratemaking treatment for 11-23 and 2-28 Hudson Ave. Brooklyn.
*PSC-38-14-00005-P	..... exempt	Action on the report and petition of Con Edison regarding the Storm Hardening and Resiliency Collaborative, Phase 2.	Action on the report and petition of Con Edison regarding the Storm Hardening and Resiliency Collaborative, Phase 2.
*PSC-38-14-00007-P	..... exempt	Whether to expand Con Edison's low income program to include Medicaid recipients.	Whether to expand Con Edison's low income program to include Medicaid recipients.
*PSC-38-14-00008-P	..... exempt	The study and petition of Con Edison regarding use, accounting and ratemaking treatment for 11-23 and 2-28 Hudson Ave. Brooklyn.	The study and petition of Con Edison regarding use, accounting and ratemaking treatment for 11-23 and 2-28 Hudson Ave. Brooklyn.
*PSC-38-14-00010-P	..... exempt	Inter-carrier telephone service quality standard and metrics and administrative changes.	To review recommendations from the Carrier Working Group and incorporate appropriate modifications to the existing Guidelines.

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
*PSC-38-14-00012-P	exempt	Action on the report and petition of Con Edison regarding the Storm Hardening and Resiliency Collaborative, Phase 2.	Action on the report and petition of Con Edison regarding the Storm Hardening and Resiliency Collaborative, Phase 2.
*PSC-39-14-00020-P	exempt	Whether to permit the use of the Mueller Systems 400 Series and 500 Series of water meters	Pursuant to 16 NYCRR section 500.3, whether to permit the use of the Mueller Systems 400, and 500 Series of water meters
*PSC-40-14-00008-P	exempt	To consider granting authorization for Buy Energy Direct to resume marketing to residential customers.	To consider granting authorization for Buy Energy Direct to resume marketing to residential customers.
*PSC-40-14-00009-P	exempt	Whether to permit the use of the Itron Open Way Centron Meter with Hardware 3.1 for AMR and AMI functionality.	Pursuant to 16 NYCRR Parts 93, is necessary to permit the use of the Itron Open Way Centron Meter with Hardware 3.1.
*PSC-40-14-00011-P	exempt	Late Payment Charge.	To modify Section 7.6 - Late Payment Charge to designate a specific time for when a late payment charge is due.
*PSC-40-14-00013-P	exempt	Regulation of a proposed natural gas pipeline and related facilities located in the Town of Ticonderoga, NY.	To consider regulation of a proposed natural gas pipeline and related facilities located in the Town of Ticonderoga, NY.
*PSC-40-14-00014-P	exempt	Waiver of 16 NYCRR Sections 894.1 through 894.4(b)(2)	To allow the Town of Goshen, NY, to waive certain preliminary franchising procedures to expedite the franchising process.
*PSC-40-14-00015-P	exempt	Late Payment Charge.	To modify Section 6.6 - Late Payment Charge to designate a specific time for when a late payment charge is due.
*PSC-42-14-00003-P	exempt	Annual Reconciliation of Gas Expenses and Gas Cost Recoveries	The filings of various LDCs and municipalities regarding their Annual Reconciliation of Gas Expenses and Gas Cost Recoveries
*PSC-42-14-00004-P	exempt	Winter Bundled Sales Service Option	To modify SC-11 to remove language relating to fixed storage charges in the determination of the Winter Bundled Sales charge
*PSC-48-14-00014-P	exempt	Considering the recommendations contained in Staff' s electric outage investigation report for MNRR, New Haven Line.	To consider the recommendations contained in Staff's electric outage investigation report for MNRR, New Haven Line.
*PSC-52-14-00019-P	exempt	Petition for a waiver to master meter electricity.	Considering the request of 614 South Crouse Avenue, LLC to master meter electricity at 614 South Crouse Avenue, Syracuse, NY..
*PSC-01-15-00014-P	exempt	State Universal Service Fund Disbursements	To consider Edwards Telephone Company's request for State Universal Service Fund disbursements
*PSC-08-15-00010-P	exempt	Request pertaining to the lawfulness of National Grid USA continuing its summary billing program.	To grant, deny, or modify URAC Rate Consultants' request that National Grid cease its summary billing program.
*PSC-10-15-00007-P	exempt	Notification concerning tax refunds	To consider Verizon New York Inc.'s partial rehearing or reconsideration request regarding retention of property tax refunds

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
*PSC-10-15-00008-P	exempt	Whether to waive Policy on Test Periods in Major Rate Proceedings and provide authority to file tariff changes	Whether to waive Policy on Test Periods in Major Rate Proceedings and provide authority to file tariff changes
*PSC-13-15-00024-P	exempt	Whether Leatherstocking should be permitted to recover a shortfall in earnings	To decide whether to approve Leatherstocking's request to recover a shortfall in earnings
*PSC-13-15-00026-P	exempt	Whether to permit the use of the Sensus Smart Point Gas AMR/AMI product	To permit the use of the Sensus Smart Point Gas AMR/AMI product
*PSC-13-15-00027-P	exempt	Whether to permit the use of the Measurlogic DTS 310 electric submeter	To permit the use of the Measurlogic DTS 310 submeter
*PSC-13-15-00028-P	exempt	Whether to permit the use of the SATEC EM920 electric meter	To permit necessary to permit the use of the SATEC EM920 electric meter
*PSC-13-15-00029-P	exempt	Whether to permit the use the Triacta Power Technologies 6103, 6112, 6303, and 6312 electric submeters	To permit the use of the Triacta submeters
*PSC-17-15-00007-P	exempt	To consider the petition of Leatherstocking Gas Company, LLC seeking authority to issue long-term debt of $2.75 million	To consider the petition of Leatherstocking Gas Company, LLC seeking authority to issue long-term debt of $2.75 million
*PSC-18-15-00005-P	exempt	Con Edison's Report on its 2014 performance under the Electric Service Reliability Performance Mechanism	Con Edison's Report on its 2014 performance under the Electric Service Reliability Performance Mechanism
*PSC-19-15-00011-P	exempt	Gas Safety Performance Measures and associated negative revenue adjustments	To update the performance measures applicable to KeySpan Gas East Corporation d/b/a National Grid
*PSC-22-15-00015-P	exempt	To consider the request for waiver of the individual residential unit meter requirements and 16 NYCRR 96.1(a)	To consider the request for waiver of the individual residential unit meter requirements and 16 NYCRR 96.1(a)
*PSC-23-15-00005-P	exempt	The modification of New York American Water's current rate plan	Whether to adopt the terms of the Joint Proposal submitted by NYAW and DPS Staff
*PSC-23-15-00006-P	exempt	The modification of New York American Water's current rate plan	Whether to adopt the terms of the Joint Proposal submitted by NYAW and DPS Staff
*PSC-25-15-00008-P	exempt	Notice of Intent to Submeter electricity.	To consider the request of 165 E 66 Residences, LLC to submeter electricity at 165 East 66th Street, New York, New York.
*PSC-29-15-00025-P	exempt	Joint Petition for authority to transfer real property located at 624 West 132nd Street, New York, NY	Whether to authorize the proposed transfer of real property located at 624 West 132nd Street, New York, NY
*PSC-32-15-00006-P	exempt	Development of a Community Solar Demonstration Project.	To approve the development of a Community Solar Demonstration Project.
*PSC-33-15-00009-P	exempt	Remote net metering of a demonstration community net metering program.	To consider approval of remote net metering of a demonstration community net metering program.
*PSC-33-15-00012-P	exempt	Remote net metering of a Community Solar Demonstration Project.	To consider approval of remote net metering of a Community Solar Demonstration Project.

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
*PSC-34-15-00021-P	exempt	Petition by NYCOM requesting assistance with obtaining information on CLECs and ESCOs	To consider the petition by NYCOM requesting assistance with obtaining information on CLECs and ESCOs
*PSC-35-15-00014-P	exempt	Consideration of consequences against Light Power & Gas, LLC for violations of the UBP	To consider consequences against Light Power & Gas, LLC for violations of the UBP
*PSC-37-15-00007-P	exempt	Submetered electricity	To consider the request of 89 Murray Street Ass. LLC, for clarification of the submetering order issued December 20, 2007
*PSC-40-15-00014-P	exempt	Whether to permit the use of the Open Way 3.5 with cellular communications	To consider the use of the Open Way 3.5 electric meter, pursuant to 16 NYCRR Parts 92 and 93
*PSC-42-15-00006-P	exempt	Deferral of incremental expenses associated with NERC's new Bulk Electric System (BES) compliance requirements approved by FERC.	Consideration of Central Hudson's request to defer incremental expenses associated with new BES compliance requirements.
*PSC-44-15-00028-P	exempt	Deferral of incremental expenses associated with new compliance requirements	Consideration of Central Hudson's request to defer incremental expenses associated with new compliance requirements
*PSC-47-15-00013-P	exempt	Whitepaper on Implementing Lightened Ratemaking Regulation.	Consider Whitepaper on Implementing Lightened Ratemaking Regulation.
*PSC-48-15-00011-P	exempt	Proposal to retire Huntley Units 67 and 68 on March 1, 2016.	Consider the proposed retirement of Huntley Units 67 and 68.
*PSC-50-15-00006-P	exempt	The reduction of rates.	To consider the reduction of rates charged by Independent Water Works, Inc.
*PSC-50-15-00009-P	exempt	Notice of Intent to submeter electricity.	To consider the request to submeter electricity at 31-33 Lincoln Road and 510 Flatbush Avenue, Brooklyn, New York.
*PSC-51-15-00010-P	exempt	Modification of the EDP	To consider modifying the EDP
*PSC-01-16-00005-P	exempt	Proposed amendment to Section 5, Attachment 1.A of the Uniform Business Practices	To consider amendment to Section 5, Attachment 1.A of the Uniform Business Practices
*PSC-04-16-00007-P	exempt	Whether Hamilton Municipal Utilities should be permitted to construct and operate a municipal gas distribution facility.	Consideration of the petition by Hamilton Municipal Utilities to construct and operate a municipal gas distribution facility.
*PSC-04-16-00012-P	exempt	Proposal to mothball three gas turbines located at the Astoria Gas Turbine Generating Station.	Consider the proposed mothball of three gas turbines located at the Astoria Gas Turbine Generating Station.
*PSC-04-16-00013-P	exempt	Proposal to find that three gas turbines located at the Astoria Gas Turbine Generating Station are uneconomic.	Consider whether three gas turbines located at the Astoria Gas Turbine Generating Station are uneconomic.
*PSC-06-16-00013-P	exempt	Continued deferral of approximately $16,000,000 in site investigation and remediation costs.	To consider the continued deferral of approximately $16,000,000 in site investigation and remediation costs.
*PSC-06-16-00014-P	exempt	MEGA's proposed demonstration CCA program.	To consider MEGA's proposed demonstration CCA program.

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
*PSC-14-16-00008-P	exempt	Resetting retail markets for ESCO mass market customers.	To ensure consumer protections with respect to residential and small non-residential ESCO customers.
*PSC-18-16-00013-P	exempt	Amendments to the Uniform Business Practices of ESCOs.	To ensure consumer protection for ESCO customers.
*PSC-18-16-00014-P	exempt	Amendments to the Uniform Business Practices of ESCOs.	To ensure consumer protection for ESCO customers.
*PSC-18-16-00015-P	exempt	Petitions for rehearing of the Order Resetting Retail Energy Markets and Establishing Further Process.	To ensure consumer protections for ESCO customers.
*PSC-18-16-00016-P	exempt	Amendments to the Uniform Business Practices of ESCOs.	To ensure consumer protection for ESCO customers.
*PSC-18-16-00018-P	exempt	Amendments to the Uniform Business Practices of ESCOs.	To ensure consumer protection for ESCO customers.
*PSC-20-16-00008-P	exempt	Consideration of consequences against Global Energy Group, LLC for violations of the Uniform Business Practices (UBP).	To consider consequences against Global Energy Group, LLC for violations of the Uniform Business Practices (UBP).
*PSC-20-16-00010-P	exempt	Deferral and recovery of incremental expense.	To consider deferring costs of conducting leak survey and repairs for subsequent recovery.
*PSC-20-16-00011-P	exempt	Enetics LD-1120 Non-Intrusive Load Monitoring Device in the Statewide Residential Appliance Metering Study.	To consider the use of the Enetics LD-1120 Non-Intrusive Load Monitoring Device.
*PSC-24-16-00009-P	exempt	Petition to submeter gas service.	To consider the Petition of New York City Economic Development Corp. to submeter gas at Pier 17, 89 South Street, New York, NY.
*PSC-25-16-00009-P	exempt	To delay Companies' third-party assessments of customer personally identifiable information until 2018.	To extend the time period between the Companies' third-party assessments of customer personally identifiable information.
*PSC-25-16-00025-P	exempt	Acquisition of all water supply assets of Woodbury Heights Estates Water Co., Inc. by the Village of Kiryas Joel.	To consider acquisition of all water supply assets of Woodbury Heights Estates Water Co., Inc. by the Village of Kiryas Joel.
*PSC-25-16-00026-P	exempt	Use of the Badger E Series Ultrasonic Cold Water Stainless Steel Meter, in residential fire service applications.	To consider the use of the Badger E Series Ultrasonic Cold Water Stainless Steel Meter in fire service applications.
*PSC-28-16-00017-P	exempt	A petition for rehearing of the Order Adopting a Ratemaking and Utility Revenue Model Policy Framework.	To determine appropriate rules for and calculation of the distributed generation reliability credit.
*PSC-29-16-00024-P	exempt	Participation of NYPA customers in surcharge-funded clean energy programs.	To consider participation of NYPA customers in surcharge-funded clean energy programs.
*PSC-32-16-00012-P	exempt	Benefit-Cost Analysis Handbooks.	To evaluate proposed methodologies of benefit-cost evaluation.
*PSC-33-16-00001-EP	exempt	Use of escrow funds for repairs.	To authorize the use of escrow account funds for repairs.

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
*PSC-33-16-00005-P	exempt	Exemption from certain charges for delivery of electricity to its Niagara Falls, New York facility.	Application of System Benefits Charges, Renewable Portfolio Standard charges and Clean Energy Fund surcharges.
*PSC-35-16-00015-P	exempt	NYSRC's revisions to its rules and measurements	To consider revisions to various rules and measurements of the NYSRC
*PSC-36-16-00004-P	exempt	Recovery of costs for installation of electric service.	To consider the recovery of costs for installation of electric service.
*PSC-40-16-00025-P	exempt	Consequences pursuant to the Commission's Uniform Business Practices (UBP).	To consider whether to impose consequences on Smart One for its apparent non-compliance with Commission requirements.
*PSC-47-16-00009-P	exempt	Petition to use commercial electric meters	To consider the petition of Itron, Inc. to use the Itron CP2SO and CP2SOA in commercial electric meter applications
*PSC-47-16-00010-P	exempt	Standby Service rate design	To consider the report filed and the recommendations therein
*PSC-47-16-00013-P	exempt	Standby Service rate design	To consider the report filed and the recommendations therein
*PSC-47-16-00014-P	exempt	Standby Service rate design	To consider the report filed and the recommendations therein
*PSC-47-16-00016-P	exempt	Standby Service rate design	To consider the report filed and the recommendations therein
*PSC-02-17-00010-P	exempt	Implementation of the four EAMs.	To consider the implementation of EAMs for RG&E.
*PSC-02-17-00012-P	exempt	Implementation of the four EAMs.	To consider the implementation of EAMs for NYSEG.
*PSC-18-17-00024-P	exempt	A petition for rehearing or reconsideration of the Order Addressing Public Policy Transmission Need for AC Transmission Upgrades	To determine whether Public Policy Transmission Need/Public Policy Requirements continue to exist.
*PSC-18-17-00026-P	exempt	Revisions to the Dynamic Load Management surcharge.	To consider revisions to the Dynamic Load Management surcharge.
*PSC-19-17-00004-P	exempt	NYAW's request to defer and amortize, for future rate recognition, pension settlement payout losses incurred in 2016.	Consideration of NYAW's petition to defer and amortize, for future rate recognition, pension payour losses incurred in 2016.
*PSC-20-17-00008-P	exempt	Compressed natural gas as a motor fuel for diesel fueled vehicles.	To consider a report filed by National Grid NY regarding the potential for adoption of compressed natural gas as a motor fuel.
*PSC-20-17-00010-P	exempt	Compressed natural gas as a motor fuel for diesel fueled vehicles.	To consider a report filed by National Grid regarding the potential for adoption of compressed natural gas as a motor fuel.
*PSC-21-17-00013-P	exempt	The establishment and implementation of Earnings Adjustment Mechanisms.	To consider the establishment and implementation of Earnings Adjustment Mechanisms.

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
*PSC-21-17-00018-P	exempt	Proposed agreement for the provision of water service by Saratoga Water Services, Inc.	To consider a waiver and approval of terms of a service agreement.
*PSC-22-17-00004-P	exempt	Financial incentives to create customer savings and develop market-enabling tools, with a focus on outcomes and incentives	To consider the proposed Interconnection Survey Process and Earnings Adjustment Mechanisms
*PSC-24-17-00006-P	exempt	Development of the Utility Energy Registry.	Improved data access.
*PSC-26-17-00005-P	exempt	Notice of Intent to submeter electricity.	To consider the Notice of Intent to submeter electricity at 125 Waverly Street, Yonkers, New York.
*PSC-34-17-00011-P	exempt	Waiver to permit Energy Cooperative of America to serve low-income customers	To consider the petition for a waiver
*PSC-37-17-00005-P	exempt	Financial incentives to create customer savings and develop market-enabling tools, with a focus on outcomes and incentives.	To consider the revised Interconnection Survey Process and Earnings Adjustment Mechanisms.
*PSC-39-17-00011-P	exempt	Whether to direct New York State Electric & Gas to complete electric facility upgrades at no charge to Hanehan.	To determine financial responsibility between NYSEG and Hanehan for the electric service upgrades to Hanehan.
*PSC-42-17-00010-P	exempt	Petition for rehearing of negative revenue adjustment and contents of annual Performance Report.	To consider NFGD's petition for rehearing.
*PSC-48-17-00015-P	exempt	Low Income customer options for affordable water bills.	To consider the Low Income Bill Discount and/or Energy Efficiency Rebate Programs.
*PSC-50-17-00017-P	exempt	New Wave Energy Corp.'s petition for rehearing.	To consider the petition for rehearing filed by New Wave Energy Corp.
*PSC-50-17-00018-P	exempt	Application of the Public Service Law to DER suppliers.	To determine the appropriate regulatory framework for DER suppliers.
*PSC-50-17-00019-P	exempt	Transfer of utility property.	To consider the transfer of utility property.
*PSC-50-17-00021-P	exempt	Disposition of tax refunds and other related matters.	To consider the disposition of tax refunds and other related matters.
*PSC-51-17-00011-P	exempt	Petition for recovery of certain costs related to the implementation of a Non-Wires Alternative Project.	To consider Con Edison's petition for the recovery of costs for implementing the JFK Project.
*PSC-04-18-00005-P	exempt	Notice of intent to submeter electricity.	To consider the notice of intent of Montante/ Morgan Gates Circle LLC to submeter electricity.
*PSC-05-18-00004-P	exempt	Lexington Power's ZEC compliance obligation.	To promote and maintain renewable and zero-emission electric energy resources.
*PSC-06-18-00012-P	exempt	To consider further proposed amendments to the original criteria to grandfathering established in the Transition Plan	To modify grandfathering criteria
*PSC-06-18-00017-P	exempt	Merger of NYAW and Whitlock Farms Water Corp.	To consider the merger of NYAW and Whitlock Farms Water Company into a single corporate entity

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
*PSC-07-18-00015-P	exempt	The accuracy and reasonableness of National Grid's billing for certain interconnection upgrades.	To consider AEC's petition requesting resolution of their billing dispute with National Grid.
*PSC-11-18-00004-P	exempt	New York State Lifeline Program.	To consider TracFone's petition seeking approval to participate in Lifeline.
*PSC-13-18-00015-P	exempt	Eligibility of an ESCO to market to and enroll residential customers.	To consider whether Astral should be allowed to market to and enroll residential customers following a suspension.
*PSC-13-18-00023-P	exempt	Reconciliation of property taxes.	To consider NYAW's request to reconcile property taxes.
*PSC-14-18-00006-P	exempt	Petition for abandonment	To consider the abandonment of Willsboro Bay Water Company's water system
*PSC-17-18-00010-P	exempt	Petition for use of gas metering equipment.	To ensure that consumer bills are based on accurate measurements of gas usage.
*PSC-18-18-00009-P	exempt	Transfer of control of Keene Valley Video Inc.	To ensure performance in accordance with applicable cable laws, regulations and standards and the public interest
*PSC-23-18-00006-P	exempt	Whether to impose consequences on Aspirity for its non-compliance with Commission requirements.	To ensure the provision of safe and adequate energy service at just and reasonable rates.
*PSC-24-18-00013-P	exempt	Implementation of program rules for Renewable Energy Standard and ZEC requirements.	To promote and maintain renewable and zero-emission electric energy resources.
*PSC-28-18-00011-P	exempt	Storm Hardening Collaborative Report.	To ensure safe and adequate gas service.
*PSC-29-18-00008-P	exempt	Participation in Targeted Accessibility Fund	To encourage enhanced services for low-income consumers
*PSC-29-18-00009-P	exempt	Overvaluing real property tax expense recovery in water rates	To prevent unjust and unreasonable water rates
*PSC-34-18-00015-P	exempt	Petition to submeter electricity.	To ensure adequate submetering equipment and energy efficiency protections are in place.
*PSC-34-18-00016-P	exempt	Deferral of pre-staging and mobilization storm costs.	To ensure just and reasonable rates for ratepayers and utility recovery of unexpected, prudently incurred costs.
*PSC-35-18-00003-P	exempt	Con Edison's 2018 DSIP and BCA Handbook Update.	To continue Con Edison's transition to a modern utility serving as a Distributed System Platform Provider.
*PSC-35-18-00005-P	exempt	NYSEG and RG&E's 2018 DSIP and BCA Handbook Update.	To continue NYSEG and RG&E's transition to modern utilities acting as Distributed System Platform Providers.
*PSC-35-18-00006-P	exempt	National Grid's 2018 DSIP and BCA Handbook Update.	To continue National Grid's transition to a modern utility serving as a Distributed System Platform Provider.

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
*PSC-35-18-00008-P	exempt	Central Hudson's 2018 DSIP and BCA Handbook Update.	To continue Central Hudson's transition to a modern utility serving as a Distributed System Platform Provider.
*PSC-35-18-00010-P	exempt	O&R's 2018 DSIP and BCA Handbook Update.	To continue O&R's transition to a modern utility acting as a Distributed System Platform Provider.
*PSC-39-18-00005-P	exempt	Participation in New York State Lifeline Program.	To encourage enhanced services for low-income customers.
*PSC-40-18-00014-P	exempt	Annual Reconciliation of Gas Expenses and Gas Cost Recoveries.	To review the gas utilities' reconciliation of Gas Expenses and Gas Cost Recoveries for 2018.
*PSC-42-18-00011-P	exempt	Voluntary residential beneficial electrification rate design.	To provide efficient rate design for beneficial technologies in New York State that is equitable for all residential customers.
*PSC-42-18-00013-P	exempt	Petition for clarification and rehearing of the Smart Solutions Program Order.	To address the increased demand for natural gas in the Con Edison's service territory and the limited pipeline capacity.
*PSC-44-18-00016-P	exempt	Petition for approval of gas metering equipment.	To ensure that customer bills are based on accurate measurements of gas usage.
*PSC-45-18-00005-P	exempt	Notice of intent to submeter electricity and waiver of energy audit	To ensure adequate submetering equipment, consumer protections and energy efficiency protections are in place
*PSC-01-19-00013-P	exempt	Order of the Commission related to caller ID unblocking.	To require telephone companies to unblock caller ID on calls placed to the 311 municipal call center in Suffolk County.
*PSC-03-19-00002-P	exempt	DPS Staff White Paper for who must be trained in 16 NYCRR Part 753 requirements and how the Commission will approve trainings.	To reduce damage to underground utility facilities by requiring certain training and approving training curricula.
*PSC-04-19-00004-P	exempt	Con Edison's petition for the Gas Innovation Program and associated budget.	To pursue programs that continue service reliability and meet customer energy needs while aiding greenhouse gas reduction goals.
*PSC-04-19-00011-P	exempt	Update of revenue targets.	To ensure NYAW's rates are just and reasonable and accurately reflect the needed revenues.
*PSC-06-19-00005-P	exempt	Consideration of the Joint Utilities' proposed BDP Program.	To to expand opportunities for low-income households to participate in Community Distributed Generation (CDG) projects.
*PSC-07-19-00009-P	exempt	Whether to impose consequences on AAA for its non-compliance with Commission requirements.	To insure the provision of safe and adequate energy service at just and reasonable rates.
*PSC-07-19-00016-P	exempt	Participation in New York State Lifeline Program.	To encourage enhanced services for low-income customers.
*PSC-09-19-00010-P	exempt	Non-pipeline alternatives report recommendations.	To consider the terms and conditions applicable to gas service.

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
*PSC-12-19-00004-P	exempt	To test innovative pricing proposals on an opt-out basis.	To provide pricing structures that deliver benefits to customers and promote beneficial electrification technologies.
*PSC-13-19-00010-P	exempt	New Commission requirements for gas company operator qualification programs.	To make pipelines safer with improved training of workers who perform construction and repairs on natural gas facilities.
*PSC-19-19-00013-P	exempt	Proposed merger of three water utilities into one corporation.	To determine if the proposed merger is in the public interest.
*PSC-20-19-00008-P	exempt	Reporting on energy sources	To ensure accurate reporting and encourage clean energy purchases
*PSC-20-19-00010-P	exempt	Compensation policies for certain CHP projects	To consider appropriate rules for compensation of certain CHP resources
*PSC-31-19-00013-P	exempt	Implementation of Statewide Energy Benchmarking.	To ensure safe and adequate service at just and reasonable rates charged to customers without undue preferences.
*PSC-31-19-00015-P	exempt	Proposed major rate increase in KEDNY's gas delivery revenues by $236.8 million (13.6% increase in total revenues).	To ensure safe and adequate service at just and reasonable rates charged to customers without undue preferences.
*PSC-31-19-00016-P	exempt	Proposed major rate increase in KEDLI's gas delivery revenues of approximately $49.4 million (or 4.1% in total revenues).	To ensure safe and adequate service at just and reasonable rates charged to customers without undue preferences.
*PSC-32-19-00012-P	exempt	Standby Service Rates and Buyback Service Rates	To ensure just and reasonable rates, including compensation, for distributed energy resources
*PSC-38-19-00002-P	exempt	Petition to submeter electricity	To ensure adequate submetering equipment and consumer protections are in place
*PSC-39-19-00018-P	exempt	Petition to submeter electricity.	To ensure adequate submetering equipment and consumer protections are in place.
*PSC-41-19-00003-P	exempt	A voluntary residential three-part rate that would include fixed, usage and demand charges.	To provide qualifying residential customers with an optional three-part rate.
*PSC-44-19-00003-P	exempt	Proposed revisions to Standby Service Rates and Buyback Service Rates.	To ensure just and reasonable rates, including compensation, for distributed energy resources.
*PSC-44-19-00005-P	exempt	Proposed revisions to Standby Service Rates and Buyback Service Rates.	To ensure just and reasonable rates, including compensation, for distributed energy resources.
*PSC-44-19-00006-P	exempt	Proposed revisions to Standby Service Rates and Buyback Service Rates.	To ensure just and reasonable rates, including compensation, for distributed energy resources.
*PSC-44-19-00007-P	exempt	Proposed revisions to Standby Service Rates and Buyback Service Rates.	To ensure just and reasonable rates, including compensation, for distributed energy resources.
*PSC-44-19-00008-P	exempt	Notice of intent to submeter electricity.	To ensure adequate submetering equipment and consumer protections are in place.
*PSC-44-19-00009-P	exempt	Proposed revisions to Standby Service Rates and Buyback Service Rates.	To ensure just and reasonable rates, including compensation, for distributed energy resources.

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
*PSC-46-19-00008-P	exempt	Wappingers Falls Hydroelectric LLC's facility located in Wappingers Falls, New York.	To promote and maintain renewable electric energy resources.
*PSC-46-19-00010-P	exempt	To test innovative rate designs on an opt-out basis.	To implement alternative innovative rate designs intended to assess customer behaviors in response to price signals
*PSC-50-19-00004-P	exempt	Petition to submeter electricity and waiver of energy audit.	To ensure adequate submetering equipment, consumer protections and energy efficiency protections are in place.
*PSC-52-19-00006-P	exempt	Authorization to defer pension settlement losses.	To address the ratemaking related to the pension settlement losses.
*PSC-03-20-00009-P	exempt	Changes to the Utility Energy Registry	To determine appropriate rules for data availability
*PSC-04-20-00014-P	exempt	Transfer of the Indian Point site, nuclear waste, and decommissioning and site restoration funds from Entergy to Holtec.	To protect the public interest.
*PSC-07-20-00008-P	exempt	Notice of intent to submeter electricity.	To ensure adequate submetering equipment and consumer protections are in place.
*PSC-08-20-00003-P	exempt	PSC regulation 16 NYCRR § § 86.3(a)(2) and 86.3(b)(2).	To consider a waiver of certain regulations relating to the content of an application for transmission line siting.
*PSC-10-20-00003-P	exempt	The Commission's statewide low-income discount policy.	To consider modifications to certain conditions regarding utility low-income discount programs.
*PSC-12-20-00008-P	exempt	Delivery rates of Corning Natural Gas Corporation.	Whether to postpone the implementation of a change in rates that would otherwise become effective on June 1, 2020.
*PSC-15-20-00011-P	exempt	To modify the terms and conditions under which gas utilities provide service to electric generators.	To provide clarity and uniformity to the provision of gas service to electric generators.
*PSC-15-20-00013-P	exempt	Ownership of New York American Water Company, Inc.	To consider whether a proposed transfer of ownership of New York American Water Company, Inc. is in the public interest.
*PSC-16-20-00004-P	exempt	Disposition of a state sales tax refund.	To determine how much of a state sales tax refund should be retained by Central Hudson.
PSC-18-20-00012-P	exempt	The purchase price of electric energy and capacity from customers with qualifying on-site generation facilities.	To revise the price to be paid by the Company under Service Classification No. 10. for qualifying purchases of unforced capacity
PSC-18-20-00015-P	exempt	Participation of Eligible Telecommunications Carriers (ETCs) in New York State Lifeline Program.	Commission will consider each petition filed by an ETCs seeking approval to participate in the NYS Lifeline program.
PSC-19-20-00004-P	exempt	Clarification of the Order Adopting Changes to the Retail Access Energy Market and Establishing Further Process.	To consider whether energy service companies should be permitted to bank RECs to satisfy their renewable energy requirements.
PSC-19-20-00005-P	exempt	Cost recovery associated with Day-Ahead-DLM and Auto-DLM programs, and elimination of double compensation.	To provide cost recovery for new DLM programs and prevent double compensation to participating customers.

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
PSC-19-20-00009-P	exempt	Cost recovery associated with Day-Ahead-DLM and Auto-DLM programs, and elimination of double compensation.	To consider revisions to P.S.C. No. 10 - Electricity, and P.S.C. No. 12 - Electricity.
PSC-21-20-00008-P	exempt	Waiver of tariff rules and a related Commission regulation.	To consider whether a waiver of tariff rules and a Commission regulation are just and reasonable and in the public interest.
PSC-23-20-00008-P	exempt	Disposition of sales tax refund and other related matters.	To consider the appropriate allocation of the sales tax refund proceeds while balancing ratepayer and shareholder interests.
PSC-25-20-00010-P	exempt	Whitepaper regarding energy service company financial assurance requirements.	To consider the form and amount of financial assurances to be included in the eligibility criteria for energy service companies.
PSC-25-20-00015-P	exempt	Staff whitepaper on a Data Access Framework.	To standardize the necessary privacy and cybersecurity requirements for access to energy-related data.
PSC-25-20-00016-P	exempt	Modifications to the Low-Income Affordability program.	To address the economic impacts of the COVID-19 pandemic.
PSC-27-20-00003-P	exempt	To make the uniform statewide customer satisfaction survey permanent.	To encourage consumer protections and safe and adequate service.
PSC-28-20-00022-P	exempt	Compensation of distributed energy resources.	To ensure just and reasonable rates, including compensation, for distributed energy resources.
PSC-28-20-00034-P	exempt	Petition to implement Section 7(5) of the Accelerated Renewable Energy Growth and Community Benefit Act	To develop the bulk transmission investments necessary to achieve the Climate Leadership and Community Protection Act goals
PSC-31-20-00008-P	exempt	Submetering of electricity.	To ensure adequate submetering equipment and consumer protections are in place.
PSC-34-20-00004-P	exempt	Notice of intent to submeter electricity and waiver of energy audit requirement.	To ensure adequate submetering equipment, consumer protections and energy efficiency protections are in place.
PSC-34-20-00005-P	exempt	Petition to provide a renewable, carbon-free energy option to residential and small commercial full-service customers.	To increase customer access to renewable energy in the Consolidated Edison Company of New York, Inc. service territory.
PSC-37-20-00006-P	exempt	Con Edison's petition for a proposed Non-Pipeline Solutions portfolio and associated budget.	To provide for continued service reliability and to meet customer energy needs while addressing greenhouse gas reduction goals.
PSC-38-20-00004-P	exempt	The annual Reconciliation of Gas Expenses and Gas Cost Recoveries.	To consider filings of LDCs and municipalities regarding their Annual Reconciliation of Gas Expenses and Gas Cost Recoveries.
PSC-39-20-00015-P	exempt	Compensation of distributed energy resources.	To ensure just and reasonable rates, including compensation, for distributed energy resources.
PSC-39-20-00021-P	exempt	Authority to issue to long-term debt.	To consider Corning's request for authority to issue long-term debt.
PSC-40-20-00003-P	exempt	NYSEG's petition for a proposed Non-Pipeline Alternatives portfolio of projects and associated budget.	To provide for continued service reliability and to meet customer energy needs while addressing greenhouse gas reduction goals.

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
PSC-40-20-00004-P	exempt	Minor rate filing.	To ensure safe and adequate service at just and reasonable rates charged to customers without undue preferences.
PSC-40-20-00006-P	exempt	Waiver of tariff rules and a related Commission regulation.	To consider whether a waiver of tariff rules and a Commission regulation are just and reasonable and in the public interest.
PSC-41-20-00010-P	exempt	Disposition of a $50 million municipal tax refund	To consider a disposition of a municipal tax refund for customer and company benefit
PSC-41-20-00011-P	exempt	Major gas rate filing.	To consider a proposed increase in Corning's gas delivery revenues of approximately $6.3 million (23.4% in total revenues).
PSC-42-20-00006-P	exempt	Proposed major rate increase in National Grid's delivery revenues of approximately $41.8 million (or 9.8% in total revenues).	To ensure safe and adequate service at just and reasonable rates charged to customers without undue preferences.
PSC-42-20-00007-P	exempt	Transfer of ownership interests and facilities associated with three nuclear generating units, funds, and storage facilities.	To ensure appropriate regulatory review, oversight, and action concerning the proposed transfer to serve the public interest.
PSC-42-20-00008-P	exempt	Availability of gas leak information to the public safety officials.	Facilitate availability of gas leak information to public safety officials by gas corporations.
PSC-42-20-00009-P	exempt	Proposed major rate increase in National Grid's delivery revenues of approximately $100.4 million (or 3.2% in total revenues).	To ensure safe and adequate service at just and reasonable rates charged to customers without undue preferences.
PSC-43-20-00003-P	exempt	The use of $50 million to support residential and commercial customers experiencing financial hardship	To consider whether the proposed support of ratepayers is in the public interest
PSC-44-20-00006-P	exempt	Transfer of property interests in the Union Falls Hydroelectric Facility.	To determine whether to authorize the transfer of the Union Falls Hydroelectric Facility and the proper accounting treatment.
PSC-44-20-00007-P	exempt	Establishment of the regulatory regime applicable to an approximately 90.5 MW electric generating facility.	Consideration of a lightened regulatory regime for an approximately 90.5 MW electric generating facility.
PSC-44-20-00009-P	exempt	Notice of intent to submeter electricity and waiver of energy audit requirement.	To ensure adequate submetering equipment, consumer protections and energy efficiency protections are in place.
PSC-45-20-00003-P	exempt	Petition to submeter electricity	To ensure adequate submetering equipment and consumer protections are in place
PSC-45-20-00004-P	exempt	Major gas rate filing	To consider an increase in Central Hudson's gas delivery revenues
PSC-45-20-00005-P	exempt	Major electric rate filing	To consider an increase in Central Hudson's electric delivery revenues
PSC-45-20-00006-P	exempt	Petition to submeter electricity and waiver request	To ensure adequate submetering equipment, consumer protections and energy efficiency protections are in place
PSC-46-20-00005-P	exempt	The recommendations of the DPS Staff report to improve Hudson Valley Water's service.	To determine if approving the DPS Staff's recommendations is in the public interest.

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
PSC-47-20-00006-P	exempt	Notice of intent to submeter electricity and request for waiver of 16 NYCRR § 96.5(k)(3).	To ensure adequate submetering equipment, consumer protections and energy efficiency protections are in place.
PSC-47-20-00007-P	exempt	Petition to submeter electricity.	To ensure adequate submetering equipment and consumer protections are in place.
PSC-47-20-00008-P	exempt	Notice of intent to submeter electricity.	To ensure adequate submetering equipment and consumer protections are in place.
PSC-48-20-00004-P	exempt	Petition to submeter electricity.	To ensure adequate submetering equipment and consumer protections are in place.
PSC-48-20-00005-P	exempt	Partial waiver of the Order Adopting Changes to the Retail Access Energy Market and Establishing Further Process.	To consider whether Chief Energy Power, LLC should be permitted to offer green gas products to mass market customers.
PSC-48-20-00007-P	exempt	Tariff modifications to change National Fuel Gas Distribution Corporation's Monthly Gas Supply Charge provisions.	To ensure safe and adequate service at just and reasonable rates charged to customers without undue preferences.
PSC-48-20-00009-P	12/02/21	Siting of major transmission facilities in new or existing rights of way that qualify for expedited process.	To establish expedited requirements for the siting, construction and operation of major transmission facilities.
PSC-49-20-00007-P	exempt	Petition to submeter electricity.	To ensure adequate submetering equipment and consumer protections are in place.
PSC-49-20-00010-P	exempt	Notice of intent to submeter electricity.	To ensure adequate submetering equipment and consumer protections are in place.
PSC-50-20-00004-P	exempt	Proposed transfer of the Company's assets to the Purchasers.	To determine if transfer of the water system to the Purchasers is in the public interest.
PSC-51-20-00006-P	exempt	Notice of intent to submeter electricity and waiver of energy audit requirement.	To ensure adequate submetering equipment, consumer protections and energy efficiency protections are in place.
PSC-51-20-00007-P	exempt	Whitepaper on the ACOS method used by utilities in developing Standby and Buyback Service rates.	To standardize the utility ACOS methods and resulting rates, and to enable stand-alone energy storage systems.
PSC-51-20-00009-P	exempt	Partial waiver of the Order Adopting Changes to the Retail Access Energy Market and Establishing Further Process.	To consider whether petitioner should be permitted to offer its "Energy Savings Program" to mass market customers.
PSC-51-20-00010-P	exempt	Petition to submeter electricity and request for waiver.	To ensure adequate submetering equipment, consumer protections and energy efficiency protections are in place.
PSC-51-20-00013-P	exempt	Competitive solicitations to procure 350mw of energy storage systems directed by the Commission's 2018 Energy Storage Order.	To ensure compliance with Public Service Law Section 74 and achieve state goals to install energy storage systems.
PSC-51-20-00014-P	exempt	Electric system needs and compensation for distributed energy resources.	To ensure safe and adequate service and just and reasonable rates, including compensation, for distributed energy resources.
PSC-52-20-00002-P	exempt	Petition for the use of gas metering equipment.	To ensure that consumer bills are based on accurate measurements of gas usage.

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
PSC-52-20-00003-P	exempt	Notice of intent to submeter electricity and waiver request.	To ensure adequate submetering equipment, consumer protections and energy efficiency protections are in place.
PSC-52-20-00004-P	exempt	Use of pipeline refund.	To consider how a pipeline refund of $2.26 million will be utilized by National Fuel.
PSC-52-20-00006-P	exempt	Banked credit distribution rules and processes.	To ensure just and consistent banked credit distribution rules and processes.
PSC-52-20-00008-P	exempt	Transfer of a natural gas pipeline and the associated certificate, and application of lightened and incidental regulation.	To determine whether the requested transfers and regulatory treatment are consistent with the law and the public interest.
PSC-52-20-00009-P	exempt	Notice of intent to submeter electricity.	To ensure adequate submetering equipment and consumer protections are in place.
PSC-52-20-00011-P	exempt	Petition for the use of gas metering equipment.	To ensure that consumer bills are based on accurate measurements of gas usage.
PSC-52-20-00013-P	exempt	Changes to PSL Section 66-p relating to billing information for residential rental premises.	To establish provisions as necessary to effectuate PSL Section 66-p.
PSC-52-20-00017-P	exempt	Enwave Syracuse LLC and Syracuse Energy Concessionaire LLC's proposed financing.	To review the proposed financing and consider whether it is within the public interest.
PSC-01-21-00004-P	exempt	Partial waiver of the Order Adopting Changes to the Retail Access Energy Market and Establishing Further Process.	To consider whether petitioner should be permitted to offer its Home Warranty product to mass market customers.
PSC-01-21-00005-P	exempt	Changes to PSL Section 66-p relating to billing information for residential rental premises.	To establish provisions as necessary to effectuate PSL Section 66-p.
PSC-01-21-00006-P	exempt	A debt financing arrangement with respect to an electric transmission line under development.	To review the proposed financing and consider whether it is within the public interest.
PSC-01-21-00007-P	exempt	Notice of intent to submeter electricity.	To ensure adequate submetering equipment and consumer protections are in place.
PSC-02-21-00006-P	exempt	Disposition of a sales tax refund received by New York American Water, Inc.	To determine the disposition of tax refunds and other related matters.
PSC-03-21-00006-P	exempt	Comprehensive study to identify distribution and transmission investments in accordance with the AREGCB Act.	To support distribution and local transmission investments necessary to achieve the State's climate goals.
PSC-03-21-00007-P	exempt	Waiver of certain rules, i.e., 7-day installation requirements pertaining to cable television franchise.	To determine whether to waive any rules and regulations.
PSC-03-21-00008-P	exempt	Authorization to recover costs for three transmission projects and related mechanisms.	To ensure safe and adequate service at just and reasonable rates charged to customers without undue preferences.
PSC-03-21-00009-P	exempt	The waiver of regulation and tariff provisions to allow for more time to recover the deposit for electric infrastructure.	Whether the extension of the deadline is in the public interest.

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
PSC-04-21-00011-P	exempt	Proposed tariff revisions for the DLM Program summer 2021 capability period.	More efficient demand response programs to gain operational efficiency and shave peak demand.
PSC-04-21-00012-P	exempt	Proposed tariff revisions and clarifications for the DLM Program summer 2021 capability period.	More efficient demand response programs to gain operational efficiency and shave peak demand.
PSC-04-21-00013-P	exempt	Proposed tariff revisions for the DLM Program summer 2021 capability period.	More efficient demand response programs to gain operational efficiency and shave peak demand.
PSC-04-21-00014-P	exempt	Proposed DLM Program and tariff revisions for the summer 2021 capability period.	More efficient demand response programs to gain operational efficiency and shave peak demand.
PSC-04-21-00015-P	exempt	Proposed tariff revisions for the DLM Program summer 2021 capability period.	More efficient demand response programs to gain operational efficiency and shave peak demand.
PSC-04-21-00016-P	exempt	Request for a waiver.	To consider whether good cause exists to support a waiver of the Commission's Test Period Policy Statement.
PSC-04-21-00017-P	exempt	Funding and management of the the Clean Energy Fund portfolio.	To review NYSERDA's proposed modifications to the Clean Energy Fund portfolio and determine whether the changes are acceptable.
PSC-04-21-00018-P	exempt	Minor electric rate filing to increase annual electric revenues.	To ensure safe and adequate service at just and reasonable rates charged to customers without undue preferences.
PSC-04-21-00019-P	exempt	Consideration of National Grid USA's New York electric and gas utilities' Implementation Plan and audit recommendations.	To ensure that recommendations issued in a comprehensive management audit are appropriately addressed and implemented.
PSC-04-21-00020-P	exempt	NFG's Implementation Plan and audit recommendations.	To consider to implement the management audit recommendations.
PSC-05-21-00004-P	exempt	Alternative proposal for net crediting billing.	To facilitate development of and participation in Community Distributed Generation projects.
PSC-05-21-00005-P	exempt	The applicable regulatory regime under the Public Service Law for the owner of a merchant electric generating facility.	Consideration of a lightened regulatory regime for the owner of an approximately 100 MW electric generating facility.
PSC-05-21-00006-P	exempt	Petition to submeter electricity.	To ensure adequate submetering equipment and consumer protections are in place.
PSC-05-21-00007-P	exempt	Petition to amend bill estimation procedures.	To consider the petition of Central Hudson Gas & Electric Corporation to amend its current bill estimation procedures.
PSC-05-21-00008-P	exempt	Petition to submeter electricity.	To ensure adequate submetering equipment and consumer protections are in place.
PSC-05-21-00009-P	exempt	Proposed rate increase in annual revenues.	To ensure safe and adequate service at just and reasonable rates charged to customers without undue preferences.

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
PSC-05-21-00012-P	exempt	The electric utilities' 2021 Electric Emergency Response Plans.	To consider the adequacy of the proposed 2021 Electric Emergency Response Plans.
PSC-06-21-00008-P	exempt	Changes to PSL Section 66-p relating to billing information for residential rental premises.	To establish provisions as necessary to effectuate PSL Section 66-p.
PSC-06-21-00009-P	exempt	Disposition of a property tax refund received by New York American Water, Inc.	To determine the disposition of tax refunds and other related matters.
PSC-06-21-00010-P	exempt	Exemptions from utility standby rates for distributed energy resources and efficient combined heat and power projects.	To determine whether utility standby rate exemptions should be continued.
PSC-06-21-00011-P	exempt	Petiton to submeter electricity.	To ensure adequate submetering equipment and consumer protections are in place.
PSC-07-21-00005-P	exempt	Staff Whitepaper recommending modifications to the utility's energy affordability program.	To consider updates and enhancements to the utility's low-income energy affordability program.
PSC-07-21-00006-P	exempt	Transfer of street lighting facilities.	To determine whether to authorize the transfer street of lighting facilities and the proper accounting for the transaction.
PSC-07-21-00007-P	exempt	Conditioned pre-approval of stock transactions of regulated entities.	To consider allowing stock transactions within statutory parameters without Commission approval for individual transactions.
PSC-07-21-00008-P	exempt	Waiver of certain Commission requirements related to the distribution of telephone directories.	To reduce unnecessary waste and disposal of hard copy directories.
PSC-07-21-00009-P	exempt	PSC regulations 16 NYCRR 86.3(a)(1); 86.3(a)(2); 86.4(b).	To consider a waiver of certain regulations relating to the content of an application for transmission line siting.
PSC-07-21-00010-P	exempt	Transfer of street lighting facilities	To determine whether to authorize the transfer street of lighting facilities and the proper accounting for the transaction
PSC-07-21-00011-P	exempt	Transfer of street lighting facilities	To determine whether to authorize the transfer street of lighting facilities and the proper accounting for the transaction
PSC-08-21-00003-P	exempt	Utility-owned ESR participation in the New York Independent System Operator, Inc. (NYISO) administered wholesale markets.	To consider if National Grid should use a ESR in NYISO markets, and whether any conditions are appropriate for such use.
PSC-08-21-00004-P	exempt	Proposed transfer of water supply assets.	To determine if transfer of all water supply assets is in the public interest.
PSC-08-21-00005-P	exempt	Transfer of street lighting facilities.	To determine whether to authorize the transfer street of lighting facilities and the proper accounting for the transaction.
PSC-08-21-00006-P	exempt	Transfer of street lighting facilities.	To determine whether to transfer street lighting facilities and the proper accounting for the transaction.

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
PSC-09-21-00002-P	exempt	Gas moratorium procedures	To consider procedures and criteria to minimize customer hardships in the unlikely event of a future gas moratorium
PSC-09-21-00003-P	exempt	Proposed filing to modify language to reflect upgrades being made to its Legacy Customer Information System.	To ensure safe and adequate service at just and reasonable rates charged to customers without undue preferences.
PSC-09-21-00004-P	exempt	Notice of intent to submeter electricity.	To ensure adequate submetering equipment and consumer protections are in place.
PSC-09-21-00005-P	exempt	Utility capital expenditure proposal.	To ensure safe and adequate service at just and reasonable rates charged to customers without undue preferences.
PSC-09-21-00006-P	exempt	Long-term gas system planning.	To consider a process to review gas distribution utilities' long-term system planning.
PSC-09-21-00007-P	exempt	Proposed filing to modify language to reflect upgrades being made to its Legacy Customer Information System.	To ensure safe and adequate service at just and reasonable rates charged to customers without undue preferences.
PSC-09-21-00008-P	exempt	Changes to PSL Section 66-p relating to billing information for residential rental premises.	To establish provisions as necessary to effectuate PSL Section 66-p.
PSC-10-21-00007-P	exempt	Transfer of street lighting facilities.	To determine whether to authorize the transfer street of lighting facilities and the proper accounting for the transaction.
PSC-10-21-00008-P	exempt	Waiver of tariff rules and a related Commission regulation.	To consider whether a waiver of tariff rules and a Commission regulation are just and reasonable and in the public interest.
PSC-10-21-00009-P	exempt	Gas Demand Response Pilot Program.	To provide promote natural gas system reliability by encouraging reductions of natural gas demand during peak gas demand days.
PSC-11-21-00003-P	exempt	NYSEG and RG&E's petition for a waiver of its customer service quality performance.	To determine if NYSEG and RG&E's petition for waiver is in the public interest.
PSC-11-21-00004-P	exempt	Pre-authorization to transfer certain cyber-security related equipment to other utilities participating in the CMA program.	To enhance the reliability of the energy system by enabling transfers of certain equipment to other participating utilities.
PSC-11-21-00005-P	exempt	Peittion concerning tariff amendments regarding billing of transformer losses.	To ensure that the National Grid tariff contains appropriate provisions for the billing of transformer losses.
PSC-12-21-00008-P	exempt	Notice of intent to submeter electricity.	To ensure adequate submetering equipment and consumer protections are in place.
PSC-12-21-00009-P	exempt	Transfer of ownership interests and facilities associated with three nuclear generating units, funds, and storage facilities.	To ensure appropriate regulatory review, oversight, and action concerning the proposed transfer to serve the public interest.
PSC-13-21-00016-P	exempt	Revised distribution strategies and reallocation of remaining funding.	To ensure the appropriate use of funding reserved for gas safety programs.
PSC-13-21-00017-P	exempt	The applicable regulatory regime under the Public Service Law for the owner of an energy storage facility.	Consideration of a lightened regulatory regime for the owner of an approximately 100 MW energy storage facility.

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
PSC-13-21-00018-P	exempt	Compensation of and incentives for distributed energy resources.	To encourage the development of and ensure just and reasonable rates for distributed energy resources.
PSC-13-21-00019-P	exempt	Notice of intent to submeter electricity.	To ensure adequate submetering equipment and consumer protections are in place.
PSC-13-21-00020-P	exempt	Notice of intent to submeter electricity.	To ensure adequate submetering equipment and consumer protections are in place.
PSC-13-21-00021-P	exempt	Headroom analyses of local transmission and distribution system to support additional renewable energy generation.	To support distribution and local transmission investments necessary to achieve the the State's climate goals.
PSC-13-21-00022-P	exempt	Notice of intent to submeter electricity.	To ensure adequate submetering equipment and consumer protections are in place.
PSC-13-21-00023-P	exempt	Petition for the use of steam metering equipment.	To ensure that consumer bills are based on accurate measurements of steam usage.
PSC-14-21-00003-P	04/07/22	More specific requirements for Operator Qualification to work on pipelines. Allows applications for "special permits."	To make the provision of natural gas service safer in New York State with better qualified pipeline workers.
PSC-14-21-00008-P	exempt	Notice of intent to submeter electricity.	To ensure adequate submetering equipment and consumer protections are in place.
PSC-14-21-00009-P	exempt	Tariff amendments regarding the Clean Energy Standard Supply Surcharge.	To ensure safe and adequate service at just and reasonable rates charged to customers without undue preferences.
PSC-15-21-00006-P	exempt	Proposed sale of the Company's stock to the Buyers.	To determine if sale of the Company's stock to the Buyers is in the public interest.
PSC-15-21-00007-P	exempt	The applicable regulatory regime under the Public Service Law for the owner of a merchant electric generating facility.	Consideration of a lightened regulatory regime.
PSC-15-21-00008-P	exempt	Transfer easement of transmission right-of-way.	To consider the proposed easement and the proper accounting treatment.
PSC-15-21-00009-P	exempt	Proposed filing to account for the acquisition of DTI by EGTS.	To ensure safe and adequate service at just and reasonable rates charged to customers without undue preferences.
PSC-15-21-00010-P	exempt	Proposed filing to account for the acquisition of DTI by EGTS.	To ensure safe and adequate service at just and reasonable rates charged to customers without undue preferences.
PSC-15-21-00011-P	exempt	Tariff rate modifications for net metered distributed energy resources.	To implement just and reasonable rates for distributed energy resources.
PSC-16-21-00005-P	exempt	Tier 2 Maintenance Tier Program of the Renewable Energy Standard.	To promote and maintain renewable electric energy resources.
PSC-16-21-00006-P	exempt	The appropriate level of community credit capacity for distributed energy generation projects in the territory.	Consideration of an increase in the community credit capacity for distributed generation projects in the territory.

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
PSC-16-21-00007-P	exempt	Accounting-related rules for utilities implementing the Integrated Energy Data Resource.	To consider cost recovery of capital expenditures and budget allocations of costs between affiliated companies.
PSC-16-21-00008-P	exempt	Transfer of street lighting facilities.	To determine whether to authorize the transfer street of lighting facilities and the proper accounting for the transaction.
PSC-16-21-00009-P	exempt	Transfer of street lighting facilities.	To determine whether to authorize the transfer street of lighting facilities and the proper accounting for the transaction.
PSC-16-21-00010-P	exempt	Petition to submeter electricity and request for waiver of 16 NYCRR § 96.5(k)(3).	To ensure adequate submetering equipment, consumer protections and energy efficiency protections are in place.
PSC-16-21-00011-P	exempt	Transfer of street lighting facilities.	To determine whether to authorize the transfer street of lighting facilities and the proper accounting for the transaction.
PSC-17-21-00002-P	exempt	Changes to PSL Section 66-p relating to billing information for residential rental premises.	To establish provisions as necessary to effectuate PSL Section 66-p.
PSC-17-21-00003-P	exempt	Notice of intent to submeter electricity and waiver of 16 NYCRR § 96.5(k)(3).	To ensure adequate submetering equipment, consumer protections and energy efficiency protections are in place.
PSC-17-21-00004-P	exempt	Waiver of tariff rules.	To consider whether a waiver of tariff rules is just and reasonable and in the public interest.
PSC-17-21-00005-P	exempt	Submetering equipment.	To consider use of submetering equipment and if it is in the public interest.
PSC-17-21-00006-P	exempt	Community Choice Aggregation and Community Distributed Generation.	To consider permitting opt-out Community Distributed Generation to be offered as the sole product in an aggregation.
PSC-17-21-00007-P	exempt	Utility studies of climate change vulnerabilities.	To assess the need for utilities to conduct distinct studies of their climate change vulnerabilities.
PSC-17-21-00008-P	exempt	Issuance of securities and other forms of indebtedness.	To provide funding for capital needs, including construction, refinancing of maturing debt.
STATE, DEPARTMENT OF			
DOS-48-20-00010-P	12/02/21	Procedures and requirements related to the filing of certificates by the Department of State's Division of Corporations	To clarify and update procedures related to the filing of certificates with the Division of Corporations
DOS-05-21-00013-P	02/03/22	Requirements and procedures related to filing, review and publication of financial reports filed with the Department of State	To provide procedures related to the filing, review and publication of financial reports filed with the Department of State
DOS-12-21-00010-P	05/27/22	New York State Uniform Fire Prevention and Building Code (the Uniform Code)	To amend the existing Uniform Code to add specific provisions applicable to rail stations
DOS-13-21-00025-P	03/31/22	Successor in interest registrations under the Right of Publicity law	To establish the fee and verification requirements to file a claim under the Right of Publicity law

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
STATE UNIVERSITY OF NEW YORK			
*SUN-53-19-00005-P	07/24/21	Proposed amendments to the traffic and parking regulations at State University Agricultural and Technical College at Morrisville	Amend existing regulations to update traffic and parking regulations
SUN-29-20-00004-EP	07/24/21	State basic financial assistance for the operating expenses of community colleges under the program of SUNY and CUNY	To modify limitations formula for basic State financial assistance and remove an operating support "floor"
SUN-29-20-00005-EP	07/24/21	Student Assembly Elections, Student Assembly Officers, Campus Government Elections, Student Activity Fees	To postpone voting on student activity fees and elections of Student Assembly representatives and officers until Fall 2020
SUN-05-21-00010-P	02/03/22	Proposed amendments to the traffic and parking regulations at State University of New York at Potsdam	Amend existing regulations to update traffic and parking regulations
SUN-11-21-00006-EP	03/17/22	Gender Neutral Bathrooms	To conform with legislation requiring SUNY state-operated campuses to designate all single occupancy bathrooms as gender neutral
SUN-17-21-00014-EP	04/28/22	Holiday Leave	To designate Juneteenth as a holiday for SUNY employees
SUN-17-21-00015-EP	04/28/22	Appointment of Employees; Eligibility	To allow for the addition of one year to the service limits for faculty hired between May 20, 2020 - June 30, 2021
STATEN ISLAND RAPID TRANSIT OPERATING AUTHORITY			
SIR-39-20-00008-EP	09/30/21	Requiring mask wearing covering the nose and mouth when using terminals, stations and trains operated by SIRTOA.	To safeguard the public health and safety by amending rules to require the use of masks when using terminals and stations.
TAXATION AND FINANCE, DEPARTMENT OF			
TAF-46-20-00003-P	exempt	Fuel use tax on motor fuel and diesel motor fuel and the art. 13-A carrier tax jointly administered therewith	To set the sales tax component and the composite rate per gallon for the period January 1, 2021 through March 31, 2021
TAF-07-21-00001-EP	exempt	Fuel use tax on motor fuel and diesel motor fuel and the art. 13-A carrier tax jointly administered therewith	To set the sales tax component and the composite rate per gallon for the period January 1, 2021 through March 31, 2021
TAF-07-21-00002-P	exempt	Fuel use tax on motor fuel and diesel motor fuel and the art. 13-A carrier tax jointly administered therewith	To set the sales tax component and the composite rate per gallon for the period April 1, 2021 through June 30, 2021
TEMPORARY AND DISABILITY ASSISTANCE, OFFICE OF			
TDA-46-20-00002-P	11/18/21	Payment access cards	To update State regulations pertaining to payment access cards to align with Part V of Chapter 56 of the Laws of 2020
TDA-13-21-00010-P	03/31/22	Establishment of parentage	To amend state regulations for the establishment of paternity to reflect federal and recently-enacted state statutory requirements, to coordinate and update terminology used by the Child Support Program, and to conform regulatory citations with state laws

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
TRANSPORTATION, DEPARTMENT OF			
TRN-14-21-00004-P	04/07/22	Regulation of commercial motor carriers in New York State	The rule making updates Title 49 CFR provisions incorporated by reference pursuant to regulation of commercial motor carriers
WORKERS' COMPENSATION BOARD			
WCB-28-20-00003-EP	07/24/21	Adding COVID-19 diagnosis by a health care provider as a serious health condition for purposes of Paid Family Leave	To clarify that employees may take PFL to care for a family member with COVID-19
WCB-42-20-00004-P	10/21/21	Medical Treatment Guidelines	To add PTSD and acute stress disorder, and major depressive disorder MTGs
WCB-42-20-00005-P	10/21/21	Medical Treatment Guidelines	To add PTSD and acute stress disorder, and major depressive disorder MTGs
WCB-06-21-00013-P	02/10/22	Medical Treatment Guidelines	To update back, neck, shoulder, knee, and NAP MTGs
WCB-10-21-00006-P	03/10/22	Direct Deposit of compensation payments	To implement the statute requiring direct deposit be available for certain compensation payments
WCB-13-21-00002-EP	03/31/22	Ambulatory surgery services fees	To update fees for ambulatory surgery services fees, especially due to the COVID-19 pandemic
WCB-13-21-00003-EP	03/31/22	Designated contact information	To provide a compliance date for carriers, self-insured employers, or TPAs to designate points of contact in the PAR process
WCB-13-21-00004-EP	03/31/22	Notice as required for compliance with the Formulary	To provide a compliance date for carriers, self-insured employers, or TPAs to provide notice as required by 12 NYCRR 441.3(f)
WCB-13-21-00009-P	03/31/22	Updating the prescription drug formulary prior authorization process	To include medical marijuana in the prior authorization process
WCB-15-21-00003-P	04/14/22	Medical Treatment Guidelines	To update the NAP MTGs

SECURITIES OFFERINGS

STATE NOTICES

Published pursuant to provisions of General Business Law
[Art. 23-A, § 359-e(2)]

DEALERS; BROKERS

ABR Minneapolis Hotel Portfolio Limited Partnership
300 E. Lombard St., Suite 1200, Baltimore, MD 21201
Partnership — ABR/MB Partners Minneapolis GP LLC

Covestro AG
Kaiser-Wilhelm-Allee 60, 51373 Leverkusen, Germany
State or country in which incorporated — Germany

CS Boynton Preferred, L.P.
c/o Capital Solutions, Inc., 910 Harvest Dr., Suite 105, Blue Bell, PA 19422
Partnership — CS Boynton Preferred General, LLC

Dalmore Group LLC, The
525 Green Place, Woodmere, NY 11598
State or country in which incorporated — New York

Delhi Bank Corp.
124 Main St., Delhi, NY 13753
State or country in which incorporated — New York

Foreside Financial Services, LLC
128 S Tryon St., Suite 880, Charlotte, NC 28202
State or country in which incorporated — Delaware

KBis Offshore Fund I, LP
c/o Harneys Fiduciary (Cayman) Limited, Harbour Place, 103 S. Church St., George Town, Cayman Islands KY1-1002
Partnership — KBis Fund I GP LLC

Kin and Carta PLC
11 Soho St., Soho, London, W1D 3AD
State or country in which incorporated — England

Patriot Financial Partners IV, L.P.
Four Radnor Corporate Center, Suite 210, 100 Matsonford Rd., Radnor, PA 19087
Partnership — Patriot Financial Partners GP IV, L.P.

Saab AB (publ)
Olof Palmes Gata 17, SE-111 22 Stockholm, Sweden
State or country in which incorporated — Sweden

SNL Storage Maintenance Group, LLC
3333 New Hyde Park Rd., Lake Success, NY 11042
State or country in which incorporated — Delaware

Westmount at Downtown Tempe Investors LP
700 N. Pearl St., Suite N1650, North Tower, Houston, TX 75202
Partnership — Westmount at Downtown Tempe Investors GP LLC

MISCELLANEOUS NOTICES/HEARINGS

Notice of Abandoned Property Received by the State Comptroller

Pursuant to provisions of the Abandoned Property Law and related laws, the Office of the State Comptroller receives unclaimed monies and other property deemed abandoned. A list of the names and last known addresses of the entitled owners of this abandoned property is maintained by the office in accordance with Section 1401 of the Abandoned Property Law. Interested parties may inquire if they appear on the Abandoned Property Listing by contacting the Office of Unclaimed Funds, Monday through Friday from 8:00 a.m. to 4:30 p.m., at:

1-800-221-9311
or visit our web site at:
www.osc.state.ny.us

Claims for abandoned property must be filed with the New York State Comptroller's Office of Unclaimed Funds as provided in Section 1406 of the Abandoned Property Law. For further information contact: Office of the State Comptroller, Office of Unclaimed Funds, 110 State St., Albany, NY 12236.

NOTICE OF ANNULMENT OF DISSOLUTION OF CERTAIN BUSINESS CORPORATIONS

Under the Provisions of Section 203-a of the Tax Law, As Amended

The Secretary of State hereby provides notice that the following corporations, which were duly dissolved in the manner prescribed by Section 203-a of the Tax Law, have complied with the provisions of subdivision (7) of Section 203-a of the Tax Law, annulling all of the proceedings theretofore taken for the dissolution of each such corporation. The appropriate entries have been made on the records of the Department of State.

COUNTY: ALBANY

ENTITY NAME: ALBANY MIRON LUMBER CORP.
REINSTATE: 02/04/21
DIS BY PROC: 12/31/03

COUNTY: BRONX

ENTITY NAME: A.H. AUTO SALES AND SERVICES, INC.
REINSTATE: 03/24/21
DIS BY PROC: 01/26/11

ENTITY NAME: ADJA KHADY FOOD DISTRIBUTORS INC.
REINSTATE: 03/24/21
DIS BY PROC: 06/29/16

ENTITY NAME: G.C.E. REALTY CORP.
REINSTATE: 02/10/21
DIS BY PROC: 10/26/16

ENTITY NAME: GREEN CASTLE RESTORATION, INC.
REINSTATE: 01/12/21
DIS BY PROC: 10/26/16

ENTITY NAME: HILL CRYSTAL GENERAL CORPORATION
REINSTATE: 02/17/21
DIS BY PROC: 08/31/16

ENTITY NAME: IMAGINE AUTO PARTS CORP.
REINSTATE: 02/01/21
DIS BY PROC: 06/29/16

ENTITY NAME: RIDGE PAINTING, INC.
REINSTATE: 03/08/21
DIS BY PROC: 06/29/16

ENTITY NAME: RMM LOUNGE BAR CORP.
REINSTATE: 03/29/21
DIS BY PROC: 10/26/16

ENTITY NAME: RODRIGUEZ & RAMOS TRANSPORTATION CORP.
REINSTATE: 02/25/21
DIS BY PROC: 08/31/16

ENTITY NAME: SEVEN HILLS DRUGS INC.
REINSTATE: 03/29/21
DIS BY PROC: 10/26/16

ENTITY NAME: THE BARNACLE BUSTER, INC.
REINSTATE: 01/22/21
DIS BY PROC: 08/31/16

ENTITY NAME: 1300 S. BLVD. REALTY, INC.
REINSTATE: 02/17/21
DIS BY PROC: 01/25/12

ENTITY NAME: 4272 KATONAH PUB, INC.
REINSTATE: 01/06/21
DIS BY PROC: 01/25/12

COUNTY: DELAWARE

ENTITY NAME: 46 DEPOT STREET CORP.
REINSTATE: 03/23/21
DIS BY PROC: 10/26/16

COUNTY: DUTCHESS

ENTITY NAME: DUTCHESS ESTATES WATER CO., INC.
REINSTATE: 01/13/21
DIS BY PROC: 12/29/04

COUNTY: ERIE

ENTITY NAME: CAPSON CORP.
REINSTATE: 01/05/21
DIS BY PROC: 04/29/09

ENTITY NAME: CHARLES MICHAEL SCHWACH, D.D.S., P.C.
REINSTATE: 01/26/21
DIS BY PROC: 09/25/02

ENTITY NAME: PIZZA BELLA ITALIAN BISTRO, INC.
REINSTATE: 03/02/21
DIS BY PROC: 10/26/16

ENTITY NAME: 3989 MAIN STREET, INC.
REINSTATE: 03/02/21
DIS BY PROC: 01/25/12

COUNTY: ESSEX

ENTITY NAME: YE OLDE FORT VIEW INN, INC.
REINSTATE: 02/18/21
DIS BY PROC: 01/28/09

COUNTY: GREENE

ENTITY NAME: BYRNE & BYRNE, INC.
REINSTATE: 03/01/21
DIS BY PROC: 06/27/01

COUNTY: KINGS

ENTITY NAME: AAR/CO ELECTRIC, INC.
REINSTATE: 01/04/21
DIS BY PROC: 01/27/10

ENTITY NAME: AFFORDABLE SALES INC.
REINSTATE: 03/22/21
DIS BY PROC: 06/29/16

ENTITY NAME: ALBACARE HHC INC.
REINSTATE: 02/12/21
DIS BY PROC: 10/26/16

ENTITY NAME: BEKIROV'S CORP.
REINSTATE: 01/29/21
DIS BY PROC: 06/29/16

ENTITY NAME: CADORE ESTATES, INC.
REINSTATE: 01/12/21
DIS BY PROC: 10/26/16

ENTITY NAME: CRESTON MANAGEMENT CORP.
REINSTATE: 01/15/21
DIS BY PROC: 09/25/91

ENTITY NAME: D & R INTERIORS CORP.
REINSTATE: 03/09/21
DIS BY PROC: 01/25/12

ENTITY NAME: DEUTSCH CAPITAL GROUP, INC.
REINSTATE: 03/01/21
DIS BY PROC: 06/29/16

ENTITY NAME: DON PANCHO VILLA RESTAURANT LTD
REINSTATE: 03/02/21
DIS BY PROC: 10/26/16

ENTITY NAME: FLY FAMILY INC.
REINSTATE: 03/02/21
DIS BY PROC: 08/31/16

ENTITY NAME: KIKI CUSTOM WIG MAKER INT., INC.
REINSTATE: 02/04/21
DIS BY PROC: 06/30/04

ENTITY NAME: MIDNIGHT 2 SIX INC.
REINSTATE: 02/16/21
DIS BY PROC: 10/26/11

ENTITY NAME: NORTH POLE AIR CONDITIONING & HEATING INC.
REINSTATE: 02/11/21
DIS BY PROC: 06/29/16

ENTITY NAME: RNN SUPPLIES INCORPORATED
REINSTATE: 01/27/21
DIS BY PROC: 10/26/11

ENTITY NAME: TISSA CT CORP.
REINSTATE: 01/26/21
DIS BY PROC: 06/29/16

ENTITY NAME: URBAN STRATEGIES MANAGEMENT CORP.
REINSTATE: 03/10/21
DIS BY PROC: 10/28/09

ENTITY NAME: WE GOT LIGHTS OF BROOKLYN, INC.
REINSTATE: 01/28/21
DIS BY PROC: 10/26/16

ENTITY NAME: WEINBERGER'S CLASSIC PRINT INC.
REINSTATE: 01/14/21
DIS BY PROC: 06/29/16

ENTITY NAME: 78STERN CORP.
REINSTATE: 03/25/21
DIS BY PROC: 10/26/16

COUNTY: MONROE

ENTITY NAME: UPSTATE LAWN INC.
REINSTATE: 02/05/21
DIS BY PROC: 01/27/10

COUNTY: MONTGOMERY

ENTITY NAME: HARDIES ELECTRIC SERVICES COMPANY, INC.
REINSTATE: 03/10/21
DIS BY PROC: 10/26/16

COUNTY: NASSAU

ENTITY NAME: BER DUR REALTY CORP.
REINSTATE: 02/03/21
DIS BY PROC: 01/27/10

ENTITY NAME: EPR CORP.
REINSTATE: 03/26/21
DIS BY PROC: 10/26/16

ENTITY NAME: INFINITY RECORDS LTD.
REINSTATE: 03/19/21
DIS BY PROC: 04/29/09

ENTITY NAME: JEC EXPRESS, INC.
REINSTATE: 01/25/21
DIS BY PROC: 10/26/16

ENTITY NAME: LIN ASSOCIATES ARCHITECTS, P.C.
REINSTATE: 02/26/21
DIS BY PROC: 08/31/16

ENTITY NAME: NSD DANCE CORP.
REINSTATE: 01/11/21
DIS BY PROC: 10/26/16

ENTITY NAME: OM PAYROLL INC.
REINSTATE: 02/05/21
DIS BY PROC: 01/25/12

ENTITY NAME: S.V.C. CORPORATION
REINSTATE: 03/10/21
DIS BY PROC: 10/26/16

ENTITY NAME: SWEET CASTLE FOOT SPA INC.
REINSTATE: 02/09/21
DIS BY PROC: 08/31/16

ENTITY NAME: TARVER LAW FIRM, P.C.
REINSTATE: 02/09/21
DIS BY PROC: 10/26/16

ENTITY NAME: VANTAGEPOINT COMMERCIAL COVERAGE INC.
REINSTATE: 02/09/21
DIS BY PROC: 10/26/16

COUNTY: NEW YORK

ENTITY NAME: AI MAR LAI MAR, GROUP, INC.
REINSTATE: 02/26/21
DIS BY PROC: 10/26/16

ENTITY NAME: AMERICAN WEALTH UNITED REAL ESTATE INC.
REINSTATE: 02/18/21
DIS BY PROC: 10/26/16

ENTITY NAME: ANATOMICAL EVOLUTION INC.
REINSTATE: 03/24/21
DIS BY PROC: 04/25/12

ENTITY NAME: APPLE CITY CAR INC
REINSTATE: 02/01/21
DIS BY PROC: 10/26/16

ENTITY NAME: CENTRAL HARLEM MHA, INC.
REINSTATE: 03/29/21
DIS BY PROC: 06/30/04

ENTITY NAME: CONCRETE INC.
REINSTATE: 03/23/21
DIS BY PROC: 06/26/02

ENTITY NAME: D'YA.TV INC.
REINSTATE: 01/13/21
DIS BY PROC: 06/29/16

ENTITY NAME: EXP ENTERPRISES, INC.
REINSTATE: 01/14/21
DIS BY PROC: 06/29/16

ENTITY NAME: FISH & CROWN, LTD.
REINSTATE: 01/05/21
DIS BY PROC: 10/26/16

ENTITY NAME: FRITZ A. GALETTE PSYCHOLOGIST, P.C.
REINSTATE: 02/22/21
DIS BY PROC: 06/29/16

ENTITY NAME: GARG CONSULTING ENGINEER, P.C.
REINSTATE: 02/11/21
DIS BY PROC: 10/27/10

ENTITY NAME: JO-NEIL INDUSTRIES, LTD.
REINSTATE: 01/07/21
DIS BY PROC: 09/28/94

ENTITY NAME: JUST ACCESS, INC.
REINSTATE: 01/06/21
DIS BY PROC: 10/26/11

ENTITY NAME: LATINEXUS INC.
REINSTATE: 02/10/21
DIS BY PROC: 08/31/16

ENTITY NAME: LAW OFFICE OF KENT GUBRUD P.C.
REINSTATE: 01/20/21
DIS BY PROC: 10/26/16

ENTITY NAME: MARGARITAS STORE CORP.
REINSTATE: 03/30/21
DIS BY PROC: 08/31/16

ENTITY NAME: MATCHROME, INC.
REINSTATE: 02/19/21
DIS BY PROC: 10/26/16

ENTITY NAME: NY EMPIRE STATE TATTOO EXPO INC.
REINSTATE: 02/18/21
DIS BY PROC: 10/26/16

ENTITY NAME: ROSAN FOOD CORP.
REINSTATE: 02/26/21
DIS BY PROC: 07/28/10

ENTITY NAME: TERCAN JEWELRY CO., INC.
REINSTATE: 01/25/21
DIS BY PROC: 12/23/92

ENTITY NAME: THE MOMS NETWORK, INC.
REINSTATE: 03/11/21
DIS BY PROC: 08/31/16

ENTITY NAME: THE PALMETTO BAY GROUP, INC.
REINSTATE: 02/19/21
DIS BY PROC: 07/27/11

COUNTY: NIAGARA

ENTITY NAME: CONVERGENT TECHNOLOGIES DESIGN GROUP- NEW YORK, INC.
REINSTATE: 01/08/21
DIS BY PROC: 06/29/16

COUNTY: ONONDAGA

ENTITY NAME: C. J. HOOSE, INC.
REINSTATE: 03/30/21
DIS BY PROC: 07/29/09

ENTITY NAME: SORON REALTY CO., INCORPORATED
REINSTATE: 01/12/21
DIS BY PROC: 03/25/92

COUNTY: ORANGE

ENTITY NAME: B&H PRIME INC.
REINSTATE: 02/11/21
DIS BY PROC: 10/26/16

ENTITY NAME: BULLVILLE LOGISTICS CORP.
REINSTATE: 02/22/21
DIS BY PROC: 07/27/11

ENTITY NAME: GENCO HOLDINGS, INC.
REINSTATE: 01/13/21
DIS BY PROC: 06/29/16

ENTITY NAME: MARK VAIL CORPORATION
REINSTATE: 01/20/21
DIS BY PROC: 01/27/10

COUNTY: OSWEGO

ENTITY NAME: ALTMAR HOTEL ENTERPRISES INC.
REINSTATE: 01/11/21
DIS BY PROC: 08/31/16

ENTITY NAME: EIF CONSTRUCTION CO. INC.
REINSTATE: 03/02/21
DIS BY PROC: 01/26/11

ENTITY NAME: SANDBAR GRILL, INC.
REINSTATE: 01/22/21
DIS BY PROC: 10/26/16

COUNTY: QUEENS

ENTITY NAME: ASCALON NETWORKS, INC.
REINSTATE: 03/23/21
DIS BY PROC: 01/27/10

ENTITY NAME: ATLANTIC EAST GROUP INC.
REINSTATE: 03/11/21
DIS BY PROC: 07/27/11

ENTITY NAME: BEST MARKET & DELI INC.
REINSTATE: 02/22/21
DIS BY PROC: 10/26/16

ENTITY NAME: FARMERS BOULEVARD REALTY CORP.
REINSTATE: 01/25/21
DIS BY PROC: 12/29/99

ENTITY NAME: ISHOOK INC.
REINSTATE: 01/13/21
DIS BY PROC: 10/26/16

ENTITY NAME: IVORY HAIR BRAIDING, CORPORATION
REINSTATE: 03/08/21
DIS BY PROC: 06/29/16

ENTITY NAME: MUKTADHARA, INC.
REINSTATE: 02/11/21
DIS BY PROC: 06/29/16

ENTITY NAME: NY 1 POWERHOUSE REALTY INC.
REINSTATE: 01/25/21
DIS BY PROC: 06/26/02

ENTITY NAME: PSFT SOLUTIONS CORP.
REINSTATE: 01/28/21
DIS BY PROC: 10/26/11

ENTITY NAME: RABBAT LIMO INC
REINSTATE: 03/30/21
DIS BY PROC: 10/26/16

ENTITY NAME: SANTYS LUXURY SERVICE CORP.
REINSTATE: 01/13/21
DIS BY PROC: 08/31/16

ENTITY NAME: ZEEBAR DEVELOPMENT INC
REINSTATE: 03/29/21
DIS BY PROC: 10/26/16

COUNTY: RICHMOND

ENTITY NAME: DELTA KAPPA HOLDINGS INC.
REINSTATE: 01/12/21
DIS BY PROC: 10/26/16

COUNTY: ROCKLAND

ENTITY NAME: CELLSPAN INC.
REINSTATE: 03/17/21
DIS BY PROC: 08/31/16

ENTITY NAME: ROCKLAND WEB DESIGN INC.
REINSTATE: 03/16/21
DIS BY PROC: 06/29/16

COUNTY: SARATOGA

ENTITY NAME: PIACENTE CONSTRUCTION CO., INC.
REINSTATE: 03/29/21
DIS BY PROC: 01/25/12

COUNTY: SUFFOLK

ENTITY NAME: ACRES OF DIAMONDS MEDIA INC.
REINSTATE: 03/15/21
DIS BY PROC: 10/26/16

ENTITY NAME: CREATIVE MANHATTAN INC.
REINSTATE: 02/18/21
DIS BY PROC: 06/29/16

ENTITY NAME: E-CLIPZ INC.
REINSTATE: 01/20/21
DIS BY PROC: 01/25/12

ENTITY NAME: EASY DUSTING, CORP.
REINSTATE: 03/01/21
DIS BY PROC: 01/25/12

ENTITY NAME: MAG SERVICES, INC.
REINSTATE: 02/11/21
DIS BY PROC: 10/26/16

ENTITY NAME: SK POOL SCAPES, INC.
REINSTATE: 01/26/21
DIS BY PROC: 01/25/12

ENTITY NAME: TIME FOR FLOORS, INC.
REINSTATE: 02/11/21
DIS BY PROC: 10/26/16

ENTITY NAME: W. E. REST. INC.
REINSTATE: 03/30/21
DIS BY PROC: 10/26/16

ENTITY NAME: 141 SUFFOLK REALTY CORP.
REINSTATE: 01/05/21
DIS BY PROC: 06/29/16

COUNTY: SULLIVAN

ENTITY NAME: GRAND HOUSE BUNGALOWS, INC.
REINSTATE: 03/12/21
DIS BY PROC: 07/27/11

COUNTY: WESTCHESTER

ENTITY NAME: CASTLE BEVERAGES, INC.
REINSTATE: 01/22/21
DIS BY PROC: 10/28/09

ENTITY NAME: COMPREHENSIVE HEALTH INTERNATIONAL, INC.
REINSTATE: 03/03/21
DIS BY PROC: 10/26/16

ENTITY NAME: DCCO INC.
REINSTATE: 02/19/21
DIS BY PROC: 01/26/11

ENTITY NAME: GAVILAN INC.
REINSTATE: 01/06/21
DIS BY PROC: 06/29/16

ENTITY NAME: GOODBOOKSONLY.COM, INC.
REINSTATE: 02/18/21
DIS BY PROC: 10/26/16

ENTITY NAME: M.C.C. COMMUNICATIONS, INC.
REINSTATE: 03/24/21
DIS BY PROC: 01/26/11

ENTITY NAME: MP GLOBAL CONNECT INC.
REINSTATE: 01/13/21
DIS BY PROC: 08/31/16

ENTITY NAME: RANDOM FARMS ASSOCIATES SEWER COMPANY, INC.
REINSTATE: 02/11/21
DIS BY PROC: 12/24/91

ENTITY NAME: TRACY'S POWERWASH INC.
REINSTATE: 03/24/21
DIS BY PROC: 01/25/12

ENTITY NAME: 201-203 ESTER STREET INC.
REINSTATE: 02/05/21
DIS BY PROC: 10/27/10

NOTICE OF ERRONEOUS INCLUSION
IN DISSOLUTION BY PROCLAMATION OF CERTAIN BUSINESS CORPORATIONS

Under the Provisions of Section 203-a of the Tax Law, As Amended

The Secretary of State hereby provides notice that the following corporations were erroneously included in proclamations declaring certain business corporations dissolved. The State Tax Commission has duly certified to the Secretary of State that the names of these corporations were erroneously included in such proclamations. The appropriate entries have been made on the records of the Department of State.

COUNTY: BRONX

ENTITY NAME: CONEY ISLAND MEDICAL PRACTICE PLAN, P.C.
REINSTATE: 01/08/21
DIS BY PROC: 08/31/16

COUNTY: DUTCHESS

ENTITY NAME: MLP XPRESS INC.
REINSTATE: 01/08/21
DIS BY PROC: 10/26/16

COUNTY: NEW YORK

ENTITY NAME: PENTHOUSE CAFE INC.
REINSTATE: 01/05/21
DIS BY PROC: 04/27/11

COUNTY: QUEENS

ENTITY NAME: LUCY'S SPA INC.
REINSTATE: 01/12/21
DIS BY PROC: 10/26/16

COUNTY: SUFFOLK

ENTITY NAME: MISSION FENCING CENTER INC.
REINSTATE: 02/23/21
DIS BY PROC: 04/27/11

NOTICE OF ERRONEOUS INCLUSION
IN ANNULMENT OF AUTHORITY OF CERTAIN FOREIGN CORPORATIONS

Under the Provisions of Section 203-b of the Tax Law, As Amended

The Secretary of State hereby provides notice that the following foreign corporations were erroneously included in proclamations declaring their authority to do business in this state annulled. The State Tax Commission has duly certified to the Secretary of State that the names of the following foreign corporations were erroneously included in such proclamations. The appropriate entries have been made on the records of the Department of State.

COUNTY: NASSAU

ENTITY NAME: QUALITY FOOD BRANDS, INC.
JURIS: NEVADA
REINSTATE: 02/16/21
ANNUL OF AUTH: 10/26/11

COUNTY: NEW YORK

ENTITY NAME: T. M. RYAN FINANCIAL SERVICES, INC.
JURIS: NEW JERSEY
REINSTATE: 03/19/21
ANNUL OF AUTH: 07/28/10

ENTITY NAME: T. M. RYAN FINANCIAL SERVICES, INC.
JURIS: NEW JERSEY
REINSTATE: 03/19/21
ANNUL OF AUTH: 07/28/10

NOTICE OF CANCELLATION
OF ANNULMENT OF AUTHORITY OF CERTAIN FOREIGN CORPORATIONS

Under the Provisions of Section 203-b of the Tax Law, As Amended

The Secretary of State hereby provides notice that the following foreign corporations, which had their authority to do business in this state annulled in the manner prescribed by Section 203-b of the Tax Law, have complied with the provisions of subdivision (7) of Section 203-b of the Tax Law, annulling all of the proceedings theretofore taken for the annulment of authority of each such corporation. The appropriate entries have been made on the records of the Department of State.

COUNTY:

ENTITY NAME: CONFIDENTIAL CONCEPTS DIGITAL, INC.
JURIS: WASHINGTON
REINSTATE: 02/01/21
ANNUL OF AUTH: 10/26/16

ENTITY NAME: FOOD & BEVERAGE ASSOCIATES OF N.J. INC.
JURIS: NEW JERSEY
REINSTATE: 02/18/21
ANNUL OF AUTH: 01/25/12

ENTITY NAME: HENNEMAN ENGINEERING INC.
FICT NAME: HENNEMAN, RAUFEISEN & ASSOCIATES
JURIS: ILLINOIS
REINSTATE: 01/08/21 ANNUL OF AUTH: 10/26/11

ENTITY NAME: MGS HORTICULTURAL USA INC.
JURIS: NEVADA
REINSTATE: 02/24/21
ANNUL OF AUTH: 08/31/16

COUNTY: SCHUYLER

ENTITY NAME: KELLY CHAUSEE' INVESTMENTS INC.
JURIS: CALIFORNIA
REINSTATE: 02/04/21
ANNUL OF AUTH: 10/27/10

PUBLIC NOTICE

Division of Criminal Justice Services

Pursuant to Public Officers Law section 104, the Division of Criminal Justice Services gives notice of a meeting of the New York State DNA Subcommittee to be held on:

Date: May 5, 2021
Time: 10:00 a.m. - 12:00 p.m.

Video Conference Only: The webcast information for this meeting will be posted on the Division of Criminal Justice website under the Newsroom, Open Meeting/Webcasts.

https://www.criminaljustice.ny.gov/pio/openmeetings.htm

PUBLIC NOTICE

Office of Fire Prevention and Control

Pursuant to Section 176-b of the Town Law, the Office of Fire Prevention and Control hereby gives notice of the following:

Application for Waiver of the Limitation of Non-resident Members of Volunteer Fire Companies

An application for a waiver of the requirements of paragraph a of subdivision 7 of section 176-b of the Town Law, which limits the membership of volunteer fire companies to forty-five per centum of the actual membership of the fire company, has been submitted by the Brighton Fire District, County of Monroe.

Pursuant to section 176-b of the Town Law, the non-resident membership limit shall be waived provided that no adjacent fire department objects within sixty days of the publication of this notice.

Objections shall be made in writing, setting forth the reasons such waiver should not be granted, and shall be submitted to:
Francis J. Nerney, Jr.
State Fire Administrator
State of New York
Office of Fire Prevention and Control
1220 Washington Avenue
Building 7A, Floor 2
Albany, New York 12226

Objections must be received by the State Fire Administrator within sixty days of the date of publication of this notice.

In cases where an objection is properly filed, the State Fire Administrator shall have the authority to grant a waiver upon consideration of (1) the difficulty of the fire company or district in retaining and recruting adequate personnel; (2) any alternative means available to the fire company or district to address such difficulties; and (3) the impact of the waiver on adjacent fire departments.

For further information, please contact: Deputy Chief William H. Rifenburgh, Office of Fire Prevention and Control, 1220 Washington Ave., Bldg. 7A, Fl. 2, Albany, NY 12226, (518) 474-6746, William.Rifenburgh@dhses.ny.gov

PUBLIC NOTICE

Department of Health

Pursuant to 42 CFR Section 600.110, the Department of Health hereby gives public notice of the following:

The Department of Health proposes to amend the Basic Health Program Blueprint to update Sections five and six.

The Department proposes to submit an amendment to the Centers for Medicare and Medicaid Services (CMS) of its Basic Health Program Blueprint. The amendment updates section 5 to reflect that in April 2021, the state budget establishes a 200 million dollar Essential Plan Quality Pool to promote high quality of care, strengthen provider networks, incentivize providers based on performance, and ensure provider access for all Essential Plan members.

The amendment updates Section 6 to reflect the amendment of NY Social Services Law section 369-gg effective June 1, 2021, which: (i) eliminates the twenty dollar monthly premium for individuals between 150 and 200 percent of Federal Poverty Level; and (ii) eliminates premium and cost sharing for dental and vision services by adding dental and vision services to health care services in the standard basic health insurance plan.

There is no additional estimated annual change to state expenditures as a result of the proposed amendment. Amounts in the basic health program trust fund shall be used to fund the Quality Pool benefit and the reduced premium and cost-sharing for eligible individuals enrolled in standard basic health insurance plans within the state.

The public is invited to review and comment on the proposed Blueprint Amendment. The Blueprint can be found at: https://info.nystateofhealth.ny.gov/2021BHPBluePrintAmendment

For further information and to review and comment, please contact: Department of Health, Division of Eligibility and Marketplace Integration, 99 Washington Ave., One Commerce Plaza, Suite 1200, Albany, NY 12210, Turkessa.Robinson@health.ny.gov

PUBLIC NOTICE

Department of Health

The New York State Department of Health (DOH) is submitting requests to the Federal Centers for Medicare and Medicaid Services (CMS) to amend the 1915(c) Children's Waiver (#NY.4125.R05.10) Home and Community Based Services (HCBS) coverage as follows:

Effective September 1, 2021:

This amendment will:

- Modify the terminology in Assistive and Adaptive Equipment to Assistive and Adaptive Technology.
- Update the state regulatory references in the respite provider qualifications.
- Combine two services: Family/Caregiver Support Services with Special Needs Community Advocacy and Support (SNCAS) into a single service Family/Caregiver Support and Advocacy.
- Clarify that palliative care counseling assessments and plan of care development will be consistent with Medicare guidelines. Rates will include bereavement counseling for up to 6 months after a child passes away.
- Ensure that certain duties are performed when a child on the waiver passes away.
- Clarify the process for reviewing MCO quality indicators under the waiver.

For further information and to review and comment, please contact: Department of Health, Ofﬁce of Health Insurance Programs, 99 Washington Ave., One Commerce Plaza, Suite 720, Albany, NY 12210, BH.Transition@health.ny.gov

PUBLIC NOTICE

Department of State
F-2020-1022
Date of Issuance – April 28, 2021

The New York State Department of State (DOS) is required by Federal regulations to provide timely public notice for the activities described below, which are subject to the consistency provisions of the Federal Coastal Zone Management Act of 1972, as amended.

The applicant has certified that the proposed activity complies with and will be conducted in a manner consistent with the approved New York State Coastal Management Program. The applicant's consistency certification and accompanying public information and data are available for inspection on the New York State Department of State's website at: http://www.dos.ny.gov/opd/programs/pdfs/Consistency/F-2020-1022.pdf

In F-2020-1022, or the "Avalon Bay Communities Harbor Isle", the applicant – Blue Island Development, LLC – proposes to construct a new 172 unit multi-family residential development (14 buildings) on a 11.56 acres site. The project scope includes dock facilities for 31 boats and 2 kayak launches. The proposed marina is to be developed in two phases, with the first phase including 6 boat slips and 2 kayak launches. The second phase will include the remaining 25 slips. The site grade will be raised from elevation 5 feet to elevation 13 feet. The existing fixed pier on the south side of the property is to be reconstructed in the same location.

The purpose of the proposed project is to allow safe access to the waterfront for water dependent uses for the residents of the residential development The project is located at 7 Washington Avenue in the Town of Hempstead, Nassau County on Simmons Hassock Creek and Wreck Lead Channel.

Any interested parties and/or agencies desiring to express their views concerning the above proposed activities may do so by filing their comments, in writing, no later than 4:30 p.m., 15 days from the date of publication of this notice, or, May 13, 2021.

Comments should be addressed to: Consistency Review Unit, Department of State, Planning, Development and Community Infrastructure, One Commerce Plaza, 99 Washington Ave., Albany, NY 12231, (518) 474-6000, Fax (518) 473-2464. Electronic submissions can be made by email at: CR@dos.ny.gov

This notice is promulgated in accordance with Title 15, Code of Federal Regulations, Part 930.

PUBLIC NOTICE

Department of State
F-2021-0106
Date of Issuance – April 28, 2021

The New York State Department of State (DOS) is required by Federal regulations to provide timely public notice for the activities described below, which are subject to the consistency provisions of the Federal Coastal Zone Management Act of 1972, as amended.

The applicant has certified that the proposed activity complies with and will be conducted in a manner consistent with the approved New York State Coastal Management Program.

In F-2021-0106, John McGinn and Cary Davis propose to replace, in-place, approximately 201 feet of existing bulkhead with two 8 foot returns, install a 5 ft wide by 24 ft. long floating dock, with a 3 ft wide by 20 ft long aluminum ramp and 5 ft wide by 40 ft long catwalk at 275 Three Mile Harbor Hog Creek Highway, Three Mile Harbor, Town of East Hampton, Suffolk County, NY.

The applicant's consistency certification and supporting information are available for review at: http://www.dos.ny.gov/opd/programs/pdfs/Consistency/F-2021-0106CoastalConsist.pdf

Original copies of public information and data submitted by the applicant are available for inspection at the New York State Department of State offices located at One Commerce Plaza, 99 Washington Avenue, in Albany, New York.

Any interested parties and/or agencies desiring to express their views concerning any of the above proposed activities may do so by filing their comments, in writing, no later than 4:30 p.m., 30 days from the date of publication of this notice, or May 28, 2021.

Comments should be addressed to: Consistency Review Unit, Department of State, Office of Planning, Development & Community Infrastructure, One Commerce Plaza, 99 Washington Ave., Albany, NY 12231, (518) 474-6000, Fax (518) 473-2464. Electronic submissions can be made by email at: CR@dos.ny.gov

This notice is promulgated in accordance with Title 15, Code of Federal Regulations, Part 930.

PUBLIC NOTICE

Department of State
F-2021-0166
Date of Issuance – April 28, 2021

The New York State Department of State (DOS) is required by Federal regulations to provide timely public notice for the activities described below, which are subject to the consistency provisions of the Federal Coastal Zone Management Act of 1972, as amended.

The applicant has certified that the proposed activity complies with and will be conducted in a manner consistent with the approved New York State Coastal Management Program.

In F-2021-0166, Mary Pat Adams and Thomas Helmer are proposing to remove an existing boathouse and existing docks including the removal of 10 stone filled cribs and a concrete crib resulting in excavation of ~28 cubic yards of material from the water. Stone from the cribs will placed along the shoreline above the plane of Ordinary High Water and the concrete cribs will be disposed of at an upland location. In addition, the applicants proposed to construct a new pile (6″ schedule 80) supported three bay boathouse and docks. The new boathouse would be ~40' wide and up to 57' 4″ long (some portions over land). A 6' wide dock would extend ~5' waterward of the boathouse. A ramp would connect a new 6' wide by 30' long floating dock to this ramp. A 6' wide dock would extend 40' waterward of the boathouse's south western corner. Total overwater square footage of the boathouse and docks would be ~2,550 an increase in ~545sf from the existing boathouse and docks. The top of new boathouse would be 13' 9″ above the Ordinary High Water level. The project is located on the St. Lawrence River in front of Lot 28 in Thousand Island Park, Town of Orleans, St. Jefferson County.

The stated purpose of the project is to "[r]econstruct a new set of docks and a new boathouse that is structurally sound and capable of providing a more amenities, such as wider slips, boatlifts, and onshore storage areas."

The applicant's consistency certification and supporting information are available for review at: http://www.dos.ny.gov/opd/programs/pdfs/Consistency/F-2021-00166ForPN.pdf

Copies of public information and data submitted by the applicant are available for inspection at the New York State Department of State offices located at One Commerce Plaza, 99 Washington Avenue, in Albany, New York.

Any interested parties and/or agencies desiring to express their views concerning any of the above proposed activities may do so by filing their comments, in writing, no later than 4:30 p.m., 30 days from the date of publication of this notice, or, May 28, 2021.

Comments should be addressed to: Consistency Review Unit, Department of State, Office of Planning, Development & Community Infrastructure, One Commerce Plaza, 99 Washington Ave., Albany, NY 12231, (518) 474-6000, Fax (518) 473-2464. Electronic submissions can be made by email at: CR@dos.ny.gov

This notice is promulgated in accordance with Title 15, Code of Federal Regulations, Part 930.

PUBLIC NOTICE

Department of State
F-2021-0192
Date of Issuance – April 28, 2021

The New York State Department of State (DOS) is required by Federal regulations to provide timely public notice for the activities described below, which are subject to the consistency provisions of the Federal Coastal Zone Management Act of 1972, as amended.

The applicant has certified that the proposed activity complies with and will be conducted in a manner consistent with the approved New York State Coastal Management Program.

In F-2021-0192, REDI SJ-155 Village of Alexandria Bay proposes to make the following changes to the Alexandra Bay Water Treatment Plant, 12 Fuller Street, Alexandria Bay. Raise the wet well two feet to an elevation of 252.08 feet, improve the exterior of building, raise the floor of the to an elevation of 250.58 feet, and install rip rap to an elevation of 250.58 feet at the base of the west wall of the plant.

Village of Alexandria Bay, Jefferson County, St. Lawrence River

* * *

The applicant's consistency certification and supporting information are available for review at: http://www.dos.ny.gov/opd/programs/pdfs/Consistency/F-2021-0192ConsistCert.pdf

Original copies of public information and data submitted by the applicant are available for inspection at the New York State Department of State offices located at One Commerce Plaza, 99 Washington Avenue, in Albany, New York.

Any interested parties and/or agencies desiring to express their views concerning any of the above proposed activities may do so by filing their comments, in writing, no later than 4:30 p.m., 15 days from the date of publication of this notice, or May 13, 2021.

Comments should be addressed to: Consistency Review Unit, Department of State, Office of Planning, Development & Community Infrastructure, One Commerce Plaza, 99 Washington Ave., Albany, NY 12231, (518) 474-6000, Fax (518) 473-2464. Electronic submissions can be made by email at: CR@dos.ny.gov

This notice is promulgated in accordance with Title 15, Code of Federal Regulations, Part 930.

PUBLIC NOTICE

Department of State
F-2021-0197
Date of Issuance – April 28, 2021

The New York State Department of State (DOS) is required by Federal regulations to provide timely public notice for the activities described below, which are subject to the consistency provisions of the Federal Coastal Zone Management Act (CZMA) of 1972, as amended.

The applicant has certified that the proposed activities comply with and will be conducted in a manner consistent with the federally approved New York State Coastal Management Program (NYSCMP). The applicant's consistency certification and accompanying public information and data are available for inspection at the New York State Department of State offices located at One Commerce Plaza, 99 Washington Avenue, in Albany, New York.

In F-2021-0197, New York State Marine Highway Transportation, LLC proposes the seasonal installation of a single mooring including buoy, chain, anchor, with associated hardware to provide a lay berth for loaded and empty barges while waiting for tide and berth availability at Catskill & Hudson, NY Stone Terminals in the Hudson River. The proposed mooring is to be installed at latitude 42.157547, longitude -73.890339, Columbia County, NY.

The applicant's consistency certification and supporting information are available for review at: http://www.dos.ny.gov/opd/programs/pdfs/Consistency/F-2021-0197NYSMarineHighwayTransportationLLC.pdf

Any interested parties and/or agencies desiring to express their views concerning any of the above proposed activities may do so by filing their comments, in writing, no later than 4:30 p.m., 30 days from the date of publication of this notice or May 28, 2021.

Comments should be addressed to: Department of State, Office of Planning and Development and Community Infrastructure, Consistency Review Unit, One Commerce Plaza, Suite 1010, 99 Washington Ave., Albany, NY 12231, (518) 474-6000. Electronic submissions can be made by email at: CR@dos.ny.gov

This notice is promulgated in accordance with Title 15, Code of Federal Regulations, Part 930.

PUBLIC NOTICE

Department of State
F-2021-0214 (DA)
Date of Issuance – April 28, 2021

The New York State Department of State (DOS) is required by Federal regulations to provide timely public notice for the activities described below, which are subject to the consistency provisions of the Federal Coastal Zone Management Act of 1972, as amended.

The U.S. Army Corps of Engineers, New York District has determined that the proposed activity complies with and will be conducted in a manner consistent to the maximum extent practicable with the approved New York State Coastal Management Program. The agency's consistency determination and accompanying public information and data are available for inspection at the New York State Department of State offices located at One Commerce Plaza, 99 Washington Avenue, in Albany, New York.

In F-2021-0214, The U.S. Army Corps of Engineers (USACE) proposes to perform maintenance dredging of the Bay Ridge and Red Hook Channels, with subsequent placement of the dredged material at the Historic Area Remediation Site (HARS). The proposed work is anticipated to occur in the summer/fall of 2021. Performing maintenance dredging of the critical shoals to the recommended project depth of 35-feet below MLLW plus 2-feet over-depth. The proposed project is located in Brooklyn, Kings County, Bay Ridge and Red Hook Channels.

The stated purpose of the proposed work is to alleviate the effects of shoaling, thereby assuring safe navigation and facilitating economical use of the Bay Ridge and Red Hook Channels by commercial interests.

The agency's consistency determination and supporting information are available for review at: http://www.dos.ny.gov/opd/programs/pdfs/Consistency/F-2021-0214_USACE_RH_BR_dredging_ap

Original copies of public information and data submitted by the applicant are available for inspection at the New York State Department of State offices located at One Commerce Plaza, 99 Washington Avenue, in Albany, New York.

Any interested parties and/or agencies desiring to express their views concerning any of the above proposed activities may do so by filing their comments, in writing, no later than 4:30 p.m., 15 days from the date of publication of this notice, or, May 13, 2021.

Comments should be addressed to: Department of State, Office of Planning, Development & Community Infrastructure, One Commerce Plaza, 99 Washington Ave., Albany, NY 12231, (518) 474-6000, Fax (518) 473-2464. Electronic submissions can be made by email at: CR@dos.ny.gov

This notice is promulgated in accordance with Title 15, Code of Federal Regulations, Part 930.

PUBLIC NOTICE

Department of State
F-2021-0234
Date of Issuance – April 28, 2021

The New York State Department of State (DOS) is required by Federal regulations to provide timely public notice for the activities described below, which are subject to the consistency provisions of the Federal Coastal Zone Management Act of 1972, as amended.

The applicant has certified that the proposed activity complies with

and will be conducted in a manner consistent with the approved New York State Coastal Management Program.

In F-2021-0234, REDI - Sandy Creek Dredging, The New York State Office of General Services proposes to dredge an area of ~0.64 acres in the channel at Sandy Creek to an elevation of +236.3 feet, IGLD85 (-7.0 feet, LWD) plus a 0.5-foot over dredge allowance to +235.8 feet, IGLD85 (-7.5 feet, LWD). Dredged material will be placed in a nearshore placement area (~5.82 acres) to the east of the harbor at elevations not to exceed +238.3 feet, IGLD85 (-5.0 feet, LWD).

Town of Hamlin, Monroe County, Sandy Creek

The applicant's consistency certification and supporting information are available for review at: http://www.dos.ny.gov/opd/programs/pdfs/Consistency/F-2021-0234ConsistCert.pdf

Original copies of public information and data submitted by the applicant are available for inspection at the New York State Department of State offices located at One Commerce Plaza, 99 Washington Avenue, in Albany, New York.

Any interested parties and/or agencies desiring to express their views concerning any of the above proposed activities may do so by filing their comments, in writing, no later than 4:30 p.m., 15 days from the date of publication of this notice, or May 13, 2021.

Comments should be addressed to: Consistency Review Unit, Department of State, Office of Planning, Development & Community Infrastructure, One Commerce Plaza, 99 Washington Ave., Albany, NY 12231, (518) 474-6000, Fax (518) 473-2464. Electronic submissions can be made by email at: CR@dos.ny.gov

This notice is promulgated in accordance with Title 15, Code of Federal Regulations, Part 930.

PUBLIC NOTICE

Department of State
F-2021-0238
Date of Issuance - April 28, 2021

The New York State Department of State (DOS) is required by Federal regulations to provide timely public notice for the activities described below, which are subject to the consistency provisions of the Federal Coastal Zone Management Act (CZMA) of 1972, as amended.

The applicant has certified that the proposed activities comply with and will be conducted in a manner consistent with the federally approved New York State Coastal Management Program (NYSCMP). The applicant's consistency certification and accompanying public information and data are available for inspection at the New York State Department of State offices located at One Commerce Plaza, 99 Washington Avenue, in Albany, New York.

In F-2021-0238, Christopher Tillett is proposing to remove an existing boathouse, dock and 4 wooden cribs and install a new pier dock 8 feet waterward and replace the boathouse. The site is located at 7329 Newark Island, Wolcott, NY 14590, in Sodus Bay.

The applicant's consistency certification and supporting information are available for review at: http://www.dos.ny.gov/opd/programs/pdfs/Consistency/F-2021-0238Tillett.pdf

Any interested parties and/or agencies desiring to express their views concerning any of the above proposed activities may do so by filing their comments, in writing, no later than 4:30 p.m., 30 days from the date of publication of this notice or May 28, 2021.

Comments should be addressed to: Department of State, Office of Planning and Development and Community Infrastructure, Consistency Review Unit, One Commerce Plaza, Suite 1010, 99 Washington Ave., Albany, NY 12231, (518) 474-6000. Electronic submissions can be made by email at: CR@dos.ny.gov

This notice is promulgated in accordance with Title 15, Code of Federal Regulations, Part 930.

PUBLIC NOTICE

Department of State
Uniform Code Variance/Appeal Petitions

Pursuant to 19 NYCRR Part 1205, the variance and appeal petitions below have been received by the Department of State. Unless otherwise indicated, they involve requests for relief from provisions of the New York State Uniform Fire Prevention and Building Code. Persons wishing to review any petitions, provide comments, or receive actual notices of any subsequent proceeding may contact Brian Tollisen or Neil Collier, Building Standards and Codes, Department of State, One Commerce Plaza, 99 Washington Ave., Albany, NY 12231, (518) 474-4073 to make appropriate arrangements.

2021-0185 Matter of Hugh W. Schaefer, 174 W. Merrick Road, Merrick, NY 11566, for a variance concerning safety requirements, including the required ceiling height and the height under a girder/soffit. Involved is an existing one-family dwelling located at 210 Yale Street, Village of Hempstead, NY 11550, County of Nassau, State of New York.

PUBLIC NOTICE

Department of State
Uniform Code Variance/Appeal Petitions

Pursuant to 19 NYCRR Part 1205, the variance and appeal petitions below have been received by the Department of State. Unless otherwise indicated, they involve requests for relief from provisions of the New York State Uniform Fire Prevention and Building Code. Persons wishing to review any petitions, provide comments, or receive actual notices of any subsequent proceeding may contact Brian Tollisen or Neil Collier, Building Standards and Codes, Department of State, One Commerce Plaza, 99 Washington Ave., Albany, NY 12231, (518) 474-4073 to make appropriate arrangements.

2021-0187 In the matter of Brittany O'Connor of Martha's on Madison, 4844 Easy Lake Road, Hamilton, New York 13346, for a variance for diminutive code issues concerning exhaust vent height at Three Madison Street, Village of Hamilton, County of Madison, State of New York.

PUBLIC NOTICE

Department of State
Uniform Code Variance/Appeal Petitions

Pursuant to 19 NYCRR Part 1205, the variance and appeal petitions below have been received by the Department of State. Unless otherwise indicated, they involve requests for relief from provisions of the New York State Uniform Fire Prevention and Building Code. Persons wishing to review any petitions, provide comments, or receive actual notices of any subsequent proceeding may contact Brian Tollisen or Neil Collier, Building Standards and Codes, Department of State, One Commerce Plaza, 99 Washington Ave., Albany, NY 12231, (518) 474-4073 to make appropriate arrangements.

2021-0189 In the matter of Brock Collingwood of IBI Group, 59 Court Street, Binghamton, New York, 13901, for a variance for diminutive code issues concerning handrail and guardrail height for North Baker Hall, Cornell University at 117 Gothics Way, City of Ithaca, Tompkins County, State of New York.

EXECUTIVE ORDERS

Executive Order No. 168.47: Continuing the Declaration of a Disaster Emergency in the Five Boroughs of New York City and the Counties of Dutchess, Nassau, Orange, Putnam, Rockland, Suffolk and Westchester That Incorporate the MTA Region in the State of New York.

WHEREAS, pursuant to Executive Order No. 168, a disaster has heretofore been declared in the five boroughs of New York City and the Counties of Dutchess, Nassau, Orange, Putnam, Rockland, Suffolk and Westchester that incorporate the Metropolitan Transportation Authority (MTA) Region in the State of New York due to increasingly constant and continuing failures of the tracks, signals, switches and other transportation infrastructure throughout the system including at Pennsylvania Station located in the County of New York (Penn Station), that have resulted in various subway derailments, extensive track outages, and substantial service disruptions impacting the health and safety of hundreds of thousands of riders;

WHEREAS, the ongoing failures of the tracks, signals, switches and other transportation infrastructure throughout the MTA's rail and subway system continue to pose an imminent threat and have a vast and deleterious impact on the health, safety, and livelihood of commuters, tourists, resident New Yorkers, as well as business and commerce in the Metropolitan Commuter Transportation District (MCTD), which is the recognized economic engine of the State of New York, and thereby have adversely affected the New York State economy;

WHEREAS, the track outages and service disruption necessary to implement the Amtrak Repair Program, and other repairs necessary to fix tracks, signals, switches and other transportation infrastructure throughout the MTA's rail and subway system continue to be necessary to protect the public, health and safety of commuters, tourists, resident New Yorkers, and will continue to worsen the transportation disaster emergency that currently exists due to the condition of Penn Station and the MTA's rail and subway system as a whole; and,

WHEREAS, it continues to be necessary for the MTA and its subsidiaries and affiliates to take significant and immediate action to assist in the repair of the tracks, signals, switches and other transportation infrastructure and in the mediation of such track outages and service disruptions due to this disaster emergency;

NOW, THEREFORE, I, ANDREW M. CUOMO, Governor of the State of New York, by virtue of the authority vested in me by the Constitution and the Laws of the State of New York, do hereby extend the state disaster emergency declared pursuant to Executive Order No. 168 and extend all of the terms, conditions, and directives of Executive Order No. 168 and the terms, conditions, and directives for any extensions of the same for the period from the date that the disaster emergency was declared pursuant to Executive Order No. 168 until April 29, 2021; provided that the temporary suspension of any laws, rules, regulations or guidelines pursuant to this and any future extensions of Executive Order 168 shall apply to the extent the Chairman of the MTA, or his designee, which shall only include the MTA's President or Managing Director, determines it necessary for the purposes of awarding any contracts, leases, licenses, permits or any other written agreement that may be entered into to mitigate such disaster emergency.

(L.S.) GIVEN under my hand and the Privy Seal of the State in the City of Albany the thirtieth of March in the year two thousand twenty-one.

BY THE GOVERNOR
/S/ Andrew M. Cuomo
/s/ Melissa DeRosa
Secretary to the Governor

Executive Order No. 202.99: Continuing Temporary Suspension and Modification of Laws Relating to the Disaster Emergency.

WHEREAS, on March 7, 2020, I issued Executive Order Number 202, declaring a State disaster emergency for the entire State of New York; and

WHEREAS, both travel-related cases and community contact transmission of COVID-19 have been documented in New York State and are expected to continue;

NOW, THEREFORE, I, ANDREW M. CUOMO, Governor of the State of New York, by virtue of the authority vested in me by the Constitution and the Laws of the State of New York, do hereby find that a disaster continues to exist for which affected state agencies and local governments are unable to respond adequately. Therefore, pursuant to the authority vested in me by the Constitution of the State of New York and Section 28 of Article 2-B of the Executive Law, I hereby continue for thirty days the declaration of the State Disaster Emergency effective March 7, 2020, as set forth in Executive Order 202. This Executive order shall remain in effect through April 25, 2021.

IN ADDITION, Governor of the State of New York, by virtue of the authority vested in me by Section 29-a of Article 2-B of the Executive Law to temporarily suspend or modify any statute, local law, ordinance, order, rule, or regulation, or parts thereof, of any agency during a State disaster emergency, if compliance with such statute, local law, ordinance, order, rule, or regulation would prevent, hinder, or delay action necessary to cope with the disaster emergency or if necessary to assist or aid in coping with such disaster, do hereby continue the suspensions and modifications of law, unless superseded, modified or otherwise expired, made by Executive Order 202 and each successor Executive Order to 202, for thirty days until April 25, 2021.

IN ADDITION, by virtue of the authority vested in me by Section 925-a of the Real Property Tax Law to extend during a State disaster emergency the period for paying property taxes without interest or penalties upon request of the chief executive officer of an affected county, city, town, village or school district, I do hereby extend by twenty-one days the period for paying, without interest or penalty, property taxes that are due in the following localities that have requested such an extension: Town of Mount Pleasant, Westchester County;

IN ADDITION, by virtue of the authority vested in me by Chapter 71 of the Laws of 2021 to modify or extend any directive issued during this disaster emergency necessary to cope with such disaster, I hereby modify and extend the following directives consistent with Chapter 71 of the Laws of 2021 for the period from the date of this Executive Order through April 25, 2021:

- The directive contained in Executive Order 202.91, as modified by Executive Order 202.97, that required pharmacies to prioritize certain individuals for vaccinations is hereby further modified to provide that pharmacies shall prioritize the following groups for vaccinations: (1) individuals age

eligible; (2) individuals who are P-12 school (public or non-public) teachers, substitute teachers, or student teachers; and (3) individuals with comorbidities.

(L.S.) GIVEN under my hand and the Privy Seal of the State in the City of Albany this twenty-sixth day of March the year two thousand twenty-one.

BY THE GOVERNOR

/S/ Andrew M. Cuomo

/s/ Melissa DeRosa

Secretary to the Governor

FINANCIAL REPORTS

Depositories for the Funds of the State of New York

Month End: March 31, 2021

Prepared by the Division of the Treasury
Investments, Cash Management and Accounting Operations

Michael R Schmidt
Commissioner

ACCOUNT DESCRIPTION	DEPOSITORY	BALANCE AS OF 03/31/2021
ACCOUNTS HELD IN JOINT CUSTODY BY THE COMMISSIONER OF TAXATION FINANCE AND THE NEW YORK STATE COMPTROLLER		
Unemployment Insurance Funding Account	Key Bank	113,631,940.97
Occupational Training Act Funding Account	Key Bank	(3,267.60)
PIT Special Refund Account	JPMorgan Chase Bank, N.A.	(211,518,287.48)
General Checking	Key Bank	(274,982,608.96)
Direct Deposit Account	Wells Fargo	42,250.82
TOTAL		(230,869,116.96)
01000 - EXECUTIVE CHAMBER		
Executive Chamber Advance Account	Key Bank	No report received
01010 - DIVISION OF BUDGET		
Advance Account	Bank of America, N.A.	5,000.00
01030 - DIVISION OF ALCOHOLIC BEVERAGE CONTROL		
Albany		
SLA Investigations Account	Key Bank	2,032.67
01050 - OFFICE OF GENERAL SERVICES		
Exec Mansion Official Function	Key Bank	No report received
NY ISO Account	Key Bank	No report received
OGS Binghamton Office Bldg	Key Bank	No report received
SNY Office of General Services	JPMorgan Chase Bank, N.A.	No report received
State of New York Elicensing	Key Bank	No report received
State of New York OGS Escrow II	Key Bank	No report received
State of New York OGS Petty Cash	Key Bank	No report received
State of New Your OGS Escrow	Key Bank	No report received
State of NY Office Of General Services	Key Bank	No report received
01060 - DIVISION OF STATE POLICE		
CNET Confidential Account	Key Bank	0.00
Div Headquarters - Petty Cash	Key Bank	1,831.85
Key Advantage Account	Key Bank	84,625.47
Manhattan Office-confidential	JPMorgan Chase Bank, N.A.	500.00
NYS Police Special Account	Key Bank	2,890,162.26
NYSP CTIU Confidential Fund	Key Bank	4,000.00
SIU Confidential Fund Account	Key Bank	7,289.05
Special Fund	Key Bank	6,427.97
State Police Receipts Account	Bank of America, N.A.	239,558.53
Troop A Batavia - Petty Cash	Bank of America, N.A.	1,000.00
Troop A Batavia-Confidential	Bank of America, N.A.	3,464.59
Troop B Confidential	Key Bank	5,900.00
Troop B Petty Cash	Community Bank	1,000.00
Troop C Confidential Fund	NBT Bank	3,955.27
Troop C Petty Cash	NBT Bank	1,000.00
Troop D Oneida - Confidential	Alliance Bank	2,800.00
Troop D Oneida Petty Cash	Alliance Bank	1,000.00
Troop E Canandaigua Confidential	Canandaigua National Bank	2,000.00
Troop E Petty Cash	Canandaigua National Bank	1,000.00
Troop F Confidential	JPMorgan Chase Bank, N.A.	2,500.00
Troop F Petty Cash	JPMorgan Chase Bank, N.A.	1,000.00
Troop G Loudonville Conf	Bank of America, N.A.	7,332.32
Troop G Petty Cash	Bank of America, N.A.	1,000.00
Troop K Petty Cash	Bank of Millbrook	924.00
Troop K Poughkeepsie-Confidential	Bank of Millbrook	1,545.00
Troop L Confidential Fund	Bank of America, N.A.	4,100.00
01070 - DIVISION OF MILITARY & NAVAL AFFAIRS		
Advance For Travel	Key Bank	13,371.82
SNY Camp Smith Billeting Fund	JPMorgan Chase Bank, N.A.	14,569.19
01077 - OFFICE OF HOMELAND SECURITY		
Academy Of Fire Science	Chemung Canal Trust	No report received
01080 - DIVISION OF HOUSING & COMMUNITY RENEWAL		
Albany Office Of Financial Administration		
Maximum Base Rent Fee Account	JPMorgan Chase Bank, N.A.	22,394.53
Revenue Account	JPMorgan Chase Bank, N.A.	254,536.99
01090 - DIVISION OF HUMAN RIGHTS		
Petty Cash Fund Account	JPMorgan Chase Bank, N.A.	No report received
01150 - OFFICE OF EMPLOYEE RELATIONS		
GOER Panel Administration Escrow Account	Key Bank	7,630.07
NYS Flex Spending	Key Bank	2,409,920.82
State of New York LMC Petty Cash Account	Key Bank	2,000.00
01160 - JUSTICE CENTER FOR THE PROTECTION OF PEOPLE WITH SPECIAL NEEDS		
Agency Advance Account	Key Bank	1,896.25
01300 - ADIRONDACK PARK AGENCY		

General Fund	Community Bank	152.00
Petty Cash	Community Bank	3,899.20
01400 - CRIME VICTIMS COMPENSATION BOARD		
Crime Victims	JPMorgan Chase Bank, N.A.	150,000.00
Emergency Award Account	M&T Bank	0.00
Emergency Claims	Key Bank	11,000.00
Petty Cash Account	Key Bank	1,550.00
REST/SUBROG Escrow Account	Key Bank	389.66
01490 - DIVISION OF CRIMINAL JUSTICE SERVICES		
Advance Account	Bank of America, N.A.	2,825.00
Fingerprint Fee Account	Bank of America, N.A.	803,395.50
01530 - STATE COMMISSION OF CORRECTION		
Advance Account	Bank of America, N.A.	2,000.00
01540 - STATE BOARD OF ELECTIONS		
Revenue Account	Key Bank	No report received
01620 - OFFICE FOR PREVENTION OF DOMESTIC VIOLENCE		
NYS Prevention Domestic Violence	Bank of America, N.A.	No report received
02000 - OFFICE OF THE STATE COMPTROLLER		
Admissions	Bank of America, N.A.	0.00
Advance for Travel Account	Key Bank	No report received
Alcohol Beverage	Bank of America, N.A.	1,413,792.09
Alcoholic Bev Control License	Wells Fargo Bank	56,411.39
Alcoholic Beverage Control License	M&T Bank	234,006.33
Alcoholic Beverage Tax Collections	Wells Fargo Bank	305,700.50
Assessments Bulk	JPMorgan Chase Bank, N.A.	126,965.44
Assessments Receivable	JPMorgan Chase Bank, N.A.	5,335,493.58
Assessments Receivable (EFT)	Wells Fargo Bank	279,131.76
Boxing And Wrestling Tax	Bank of America, N.A.	178.52
Check 21 Corporation Tax	JPMorgan Chase Bank, N.A.	17,886,412.32
Check 21 Estate Tax	JPMorgan Chase Bank, N.A.	26,913,637.26
Check 21 Highway Use	JPMorgan Chase Bank, N.A.	1,421,579.47
Check 21 PIT	JPMorgan Chase Bank, N.A.	500,662.00
Check 21 Real Estate Transfer	JPMorgan Chase Bank, N.A.	612,436.62
Check Sales Tax	JPMorgan Chase Bank, N.A.	584,257.67
Cigarette Stamp Tax (EFT)	Wells Fargo Bank	21,410,136.58
Cigarette Stamp Tax Split	JPMorgan Chase Bank, N.A.	372,035.13
Cigarette Tax Tobacco Products	Bank of America, N.A.	228,518.86
Congestion Surcharge	Wells Fargo Bank	39,008.81
Corporation Tax	Wells Fargo Bank	23,421,407.10
Corporation Tax - Coupon Acct.	JPMorgan Chase Bank, N.A.	353,254.00
Educational Chartable Account	Wells Fargo Bank	0.00
Employer Compensation Expense	Wells Fargo Bank	10,922.24
Encon Beverage Container Deposit/Bottle Bill (EFT)	Wells Fargo Bank	144,409.11
ERS Petty Cash Acct	Key Bank	No report received
Estimated Tax	JPMorgan Chase Bank, N.A.	4,491,829.60
Gift Tax	Bank of America, N.A.	0.00
Hazardous Waste	Key Bank	1,524.50
Highway Use - Permits & Reg.	Bank of America, N.A.	812.50
Highway Use Truck Mileage Tax (EFT)	Wells Fargo Bank	1,190,189.03
Hudson River-Black River	Bank of America, N.A.	500,616.98
Hudson River-Black River	Community Bank	101,727.69
Hut/Oscar Registrations & Renewals (EFT)	Wells Fargo Bank	2,643.00
IFTA Fuel Use Tax (EFT)	Wells Fargo Bank	6,972.42
IFTA/Oscar Renewals (EFT)	Wells Fargo Bank	0.00
IFTA-Decal/Permit Fee Acct.	Bank of America, N.A.	3,766.00
IFTA-Fuel Use	Bank of America, N.A.	823.54
Justice Court	Key Bank	1,499,381.77
Mac #847 NYS T&F Pari Mutuel	Key Bank	68,647.22
Mac #848 NYS T&F Off Track	Key Bank	241,379.09
MCTD Medallion Taxicab Trip	JPMorgan Chase Bank, N.A.	0.00
Medallion Taxicab Trip Tax (EFT)	Wells Fargo Bank	10,587.00
Medical Marijuana Tax Collections	Bank of America, N.A.	550,146.73
Metro Commuter Trans. Mobility	JPMorgan Chase Bank, N.A.	26,000.65
Ogdensburg Bridge & Port	Community Bank	203,082.68
Opioid Excise Tax	Wells Fargo Bank	0.00
Personal Income Tax	Wells Fargo Bank	15,792,365.00
Petroleum Business Tax (EFT)	Wells Fargo Bank	18,370.55
Petroleum Products Tax	Bank of America, N.A.	876,870.67
Petty Cash Account	Key Bank	No report received
PIT Bulk	JPMorgan Chase Bank, N.A.	2,670.00
Port Of Oswego	Key Bank	7,259.12
Promptax - MCTMT	Wells Fargo Bank	14,949,164.08
Promptax - Petroleum Business Tax	Wells Fargo Bank	0.00
Promptax - Sales Tax/Sales Tax Prepaid Fuel	Wells Fargo Bank	86.00

Promptax - Withholding	Wells Fargo Bank	190,978,550.07
Real Estate Transfer Tax	Key Bank	139,838.51
Revenue Holding	First Niagara Bank	0.00
Sales Tax	JPMorgan Chase Bank, N.A.	0.00
Sales Tax	Wells Fargo Bank	274,095.59
SUNY Concentration	First Niagara Bank	0.00
TNC Assessment (EFT)	Wells Fargo Bank	1,236.33
Troy Debt Service Reserve Fund	Bank of America, N.A.	6,845,001.21
Uncashed Winning Tickets	Bank of America, N.A.	60,196.75
Unclaimed Funds	JPMorgan Chase Bank, N.A.	407,098.88
Unclaimed Funds - 2	JPMorgan Chase Bank, N.A.	1,380.72
Withholding	Wells Fargo Bank	17,194,798.56
Withholding Tax	JPMorgan Chase Bank, N.A.	6,422,673.81
Cash Advance Accounts		
Advance For Travel Account	Key Bank	0.00
ERS Petty Cash Acct	Key Bank	5,100.00
Petty Cash Account	Key Bank	3,800.00
Common Retirement Fund		
Common Retirement Fund - Depository	JPMorgan Chase Bank, N.A.	(308.99)
NYS Common Retirement Fund	JPMorgan Chase Bank, N.A.	22,565.35
Employees Retirement System		
Employees Retirement System - EFT	JPMorgan Chase Bank, N.A.	9.29
Employees Retirement System - General	JPMorgan Chase Bank, N.A.	0.00
Employees Retirement System - Pension	JPMorgan Chase Bank, N.A.	0.00
Group Term Life		
Group Term Life - General	JPMorgan Chase Bank, N.A.	0.00
Municipal Assistance Corporation Accounts		
City Of Troy - MAC	JPMorgan Chase Bank, N.A.	0.00
Police and Fire		
Retirement Police & Firemen's - EFT	JPMorgan Chase Bank, N.A.	0.64
Retirement Police & Firemen's - General	JPMorgan Chase Bank, N.A.	0.00
Retirement Police & Firemen's - Pension	JPMorgan Chase Bank, N.A.	0.00
03000 - DEPARTMENT OF LAW		
Albany Filing Fees Account	Key Bank	No report received
Albany Petty Cash	Bank of America, N.A.	No report received
Albany Revenue Account	Key Bank	No report received
Assessment Account	JPMorgan Chase Bank, N.A.	No report received
Attorney General Account	Key Bank	No report received
Civil Recoveries Account	Key Bank	No report received
Dept Of Law Controlled Disb	M&T Bank	No report received
Marie Roberts	JPMorgan Chase Bank, N.A.	No report received
NYC Filing Fees Account	JPMorgan Chase Bank, N.A.	No report received
NYC Petty Cash Account	JPMorgan Chase Bank, N.A.	No report received
NYC Revenue Account	JPMorgan Chase Bank, N.A.	No report received
Restitution Account	M&T Bank	No report received
Special Account	Key Bank	No report received
US Justice Dept - Shared Forfeiture	Key Bank	No report received
US Treas Dept - Shared Forfeiture	Key Bank	No report received
03010 - OFFICE OF THE ATTORNEY GENERAL		
OCTF - Confidential Fund Checking	JPMorgan Chase Bank, N.A.	No report received
OCTF - Confidential Fund Checking	JPMorgan Chase Bank, N.A.	No report received
03020 - MEDICAID FRAUD CONTROL		
dept atty gen vs john doe	Key Bank	5,869,925.55
National Global Settlement	JPMorgan Chase Bank, N.A.	11,909,479.22
NYS Department Of Law Confidential Account	JPMorgan Chase Bank, N.A.	39,730.00
NYS Department of Law Petty Cash Account	JPMorgan Chase Bank, N.A.	3,499.51
04020 - NYS ASSEMBLY		
Advance For Travel	Bank of America, N.A.	3,848.00
Petty Cash Account Dist Off	Bank of America, N.A.	21,066.39
Petty Cash New York City	JPMorgan Chase Bank, N.A.	722.56
Public Information Office	Key Bank	0.25
04030 - ASSEMBLY WAYS & MEANS COMMITTEE		
Advance For Travel	Key Bank	No report received
Petty Cash	Key Bank	No report received
04040 - LEGISLATIVE BILL DRAFTING COMMISSION		
NY LBDC - Legislative Computer Services Fund	Key Bank	26,345.93
NYS Leg Bill Drafting Comm-Petty Cash Acct	Key Bank	1,880.03
04250 - REAPPORTIONMENT		
NYS Taskforce On Demo Res & Reapp	JPMorgan Chase Bank, N.A.	125.00
05000 - OFFICE OF COURT ADMINISTRATION		
Attorney Registration Fees - Revenue	JPMorgan Chase Bank, N.A.	521,625.34
Criminal Records Search Acct - Revenue	JPMorgan Chase Bank, N.A.	4,641,755.00
Finger Print Account	JPMorgan Chase Bank, N.A.	75.00
05005 - OCA OFFICE OF BUDGET & FINANCE		

Petty Cash Account	Key Bank	2,500.00
05008 - LAWYERS FUND FOR CLIENT PROTECTION		
Client Security Fund - Bail	Key Bank	1,510,917.77
Lawyers Fund For Client Protection - Bail	Key Bank	702.12
Petty Cash	Key Bank	3,995.19
05071 - COURT OF APPEALS		
Chief Judge Advance	Key Bank	15.07
Clerk Of The Court Of Appeals	Key Bank	8,875.00
05072 - STATE BOARD OF LAW EXAMINERS		
State Board Of Law Examiners Fee	Key Bank	60,900.00
05081 - APPELLATE DIVISION - 1ST JUDICIAL DEPARTMENT		
1st Appellate Division Supreme Ct	JPMorgan Chase Bank, N.A.	62,775.00
05082 - APPELLATE DIVISION - 2ND JUDICIAL DEPARTMENT		
Appellate Div 2nd Dept Revenue	JPMorgan Chase Bank, N.A.	95,974.75
05083 - APPELLATE DIVISION - 3RD JUDICIAL DEPARTMENT		
Third Dept Civil Fees Acct - Revenue	Key Bank	20,495.34
05084 - APPELLATE DIVISION - 4TH JUDICIAL DEPARTMENT		
4th Dept Appellate Div Civil Fees - Revenue	JPMorgan Chase Bank, N.A.	15,975.00
05090 - COURT OF CLAIMS		
Court Of Claims Revenue Account	Key Bank	4,834.00
05111 - 10TH JUDICIAL DISTRICT NASSAU COUNTY ADMINISTRATION		
Glen Cove City Court		
Glen Cove City Court Bail	Wells Fargo Bank	4,000.55
Glen Cove City Court Revenue	Wells Fargo Bank	24,419.83
Long Beach City Court		
Long Beach City Court Revenue	Wells Fargo Bank	50,067.00
Long Beach Court Bail	Wells Fargo Bank	49,575.84
Nassau County Court		
Nassau County Assessment	Wells Fargo Bank	29,762.45
Nassau District Court - Criminal		
Nassau Dist Ct Criminal Revenue	Wells Fargo Bank	122,018.22
Nassau District Court-Civil		
Nassau County Dist Ct- Civil Revenue	Wells Fargo Bank	21,718.91
Nassau Surrogate		
Nassau County Surrogate Court-Revenue	Wells Fargo Bank	264,807.00
05112 - 10TH JUDICIAL DISTRICT SUFFOLK COUNTY ADMINISTRATION		
10th Judicial District Suffolk County Admin		
Suffolk County Court -Court Fund	People's United Bank	74,439.00
Suffolk County Surrogate		
Surrogate Court Of Suffolk County	People's United Bank	271,235.25
Suffolk District Court Civil Fees		
Suffolk County District Court Civil Fees	Citibank	143,385.84
Suffolk District Court Criminal Fines		
Suffolk County District Court Criminal Fines	Citibank	259,010.52
Suffolk District Court Trust Acct		
Suffolk County District Court Trust Account	Citibank	0.00
05210 - NYC-CIVIL COURT		
Bronx Civil Court - Civil		
Bronx Civil Court - Revenue	JPMorgan Chase Bank, N.A.	650,257.65
Harlem Community Justice Court		
Harlem Community Justice - Revenue Account	JPMorgan Chase Bank, N.A.	4,363.56
Kings Civil Court- Civil		
Kings Civil Court- Civil Revenue	JPMorgan Chase Bank, N.A.	824,868.73
New York Civil Court - Civil		
New York Civil Court Revenue Acct	JPMorgan Chase Bank, N.A.	507,262.80
Queens Civil Court - Civil		
Queens Civil - Revenue	JPMorgan Chase Bank, N.A.	350,279.33
Richmond Civil Court - Civil		
Richmond Civil Ct Revenue Acct	JPMorgan Chase Bank, N.A.	30,928.14
05215 - NYC-CRIMINAL COURT		
Bronx Criminal Court- Criminal Court		
Bronx Criminal Division- Criminal Bail	JPMorgan Chase Bank, N.A.	0.00
Bronx Criminal Court- Criminal Court		
Bronx Criminal Division- Criminal Revenue	JPMorgan Chase Bank, N.A.	7,170.00
Kings County Criminal Court		
Kings Criminal Court	Citibank	8,396.34
New York County Criminal Court		
New York Criminal Court	JPMorgan Chase Bank, N.A.	0.00
New York Criminal Court- State Funds	JPMorgan Chase Bank, N.A.	11,281.00
Queens County Criminal Court		
Queens Criminal Court	JPMorgan Chase Bank, N.A.	0.00
Queens Criminal Court - State Funds	JPMorgan Chase Bank, N.A.	11,513.00
Richmond County Criminal Court		
Richard Criminal Court-City Funds	JPMorgan Chase Bank, N.A.	0.00

Richmond Criminal Court-State Funds	JPMorgan Chase Bank, N.A.	4,681.00
05231 - SUPREME COURT - BRONX COUNTY		
Bronx County Supreme - NYS OCA	JPMorgan Chase Bank, N.A.	2,109.00
05235 - SUPREME COURT - KINGS COUNTY		
Kings Co Supreme		
Supreme Court Kings County-Revenue	JPMorgan Chase Bank, N.A.	5,090.94
05240 - SUPREME COURT - QUEENS COUNTY		
Queens Co Supreme		
Queens County Supreme Court	JPMorgan Chase Bank, N.A.	0.00
Queens County Supreme Court	JPMorgan Chase Bank, N.A.	15,954.00
05250 - NEW YORK COUNTY CLERK		
New York Co Clerk Revenue Account	JPMorgan Chase Bank, N.A.	1,158,659.34
05255 - BRONX COUNTY CLERK		
Bronx County Clerk		
Bronx Cnty Clerk Revenue Acct	JPMorgan Chase Bank, N.A.	804,704.57
05260 - KINGS COUNTY CLERK		
Kings County Clerk		
Kings County Clerk Revenue Account	Flushing Commercial Bank	1,284,877.94
05265 - QUEENS COUNTY CLERK		
Queens County Clerk-Revenue Acct	Sterling Bank	991,477.37
05270 - RICHMOND COUNTY CLERK		
Richmond Co Clerk State Fees Account	JPMorgan Chase Bank, N.A.	11,570,877.93
Richmond County Clerk DEC	JPMorgan Chase Bank, N.A.	78.93
05275 - NEW YORK COUNTY SURROGATES COURT		
New York Surrogate		
New York Surrogate Court	JPMorgan Chase Bank, N.A.	191,708.25
05280 - BRONX COUNTY SURROGATES COURT		
Bronx Surrogate		
Bronx Surrogate Court Revenue Acct	JPMorgan Chase Bank, N.A.	79,336.00
05285 - KINGS COUNTY SURROGATES COURT		
Kings County Surrogate		
Kings Co. Surrogate Revenue Acct	Bank of America, N.A.	570,906.00
05290 - QUEENS COUNTY SURROGATES COURT		
Queens surrogate		
Queens Co Revenue Acct Surrogate	Signature Bank	90,114.00
05295 - RICHMOND COUNTY SURROGATES COURT		
Richmond County Surrogate Court Revenue Account	Victory State Bank	19,522.50
05360 - 3RD JUDICIAL DISTRICT ADMINISTRATION		
Albany City Court - (Civil)		
Albany City Civil - Revenue	Wells Fargo Bank	6,065.94
Albany City Court - (Crim-Bail)		
Albany City Criminal - Bail	Wells Fargo Bank	5,528.00
Albany City Court - (Traffic)		
Albany City Traffic-Revenue	Wells Fargo Bank	50,986.00
Albany Traffic Court - Bail	Bank of America, N.A.	0.00
Albany City Court - Civil Part		
Albany City Court Civil - Revenue	Trustco Bank	0.00
Albany City Court - Crim		
Albany City Court-Crim	Wells Fargo Bank	6,500.00
Albany City Court - Traffic-Bail		
Albany City Traffic - Bail	Wells Fargo Bank	1,300.16
Albany County Surrogate		
Albany Cty Surrogates Court - Revenue	Bank of America, N.A.	8,523.00
Albany Police Court		
Albany Police Court Bail Account	Key Bank	0.00
Cohoes City Court		
Cohoes City Court Bail	Key Bank	1,655.80
Cohoes City Court Fees/Fines Account	Key Bank	9,617.00
Columbia County Surrogate		
Columbia Co Surrogate Ct Fees - Revenue	Key Bank	1,061.00
Greene Surrogate		
Greene Surrogate-Revenue	Wells Fargo Bank	150.00
Hudson City Court		
Hudson City Bail	Wells Fargo Bank	26,309.13
Hudson City Revenue	Wells Fargo Bank	31,977.50
Kingston City Court		
Kingston City Court Bail	Wells Fargo Bank	0.00
Kingston City Court Revenue	Wells Fargo Bank	11,932.60
Rensselaer City Court		
Rensselaer City Court - Bail	Wells Fargo Bank	5,297.02
Rensselaer City Court - Revenue	Wells Fargo Bank	3,610.00
Rensselaer County Surrogate		
Rensselaer Co Surrogate Ct Fees - Revenue	Key Bank	3,206.00
Schoharie County Surrogate		

Schoharie Co Surrogates Court - Revenue	Bank of America, N.A.	762.00
Sullivan Surrogate		
Sullivan Surrogate-Revenue	Wells Fargo Bank	1,953.59
Troy City Court		
Troy City Court- Revenue Acct - Revenue	Bank of America, N.A.	32,044.00
Troy Police Court Bail Account	Bank of America, N.A.	8,942.93
Ulster County Surrogate		
Ulster County Surrogate Court - Revenue	Key Bank	4,342.34
Watervliet City Court		
Watervliet City Court - Bail	Wells Fargo Bank	41,265.71
Watervliet City Court - Revenue	Wells Fargo Bank	14,788.80
05460 - 4TH JUDICIAL DISTRICT ADMINISTRATION		
Amsterdam City Court		
Amsterdam City Court - Bail	Key Bank	26,178.28
Amsterdam City Court - Revenue	Key Bank	29,216.00
Clinton County Surrogates		
Clinton County Surrogates - Revenue	NBT Bank	3,382.75
Essex County Surrogate		
Essex Co Surrogate Clerk - Revenue	Champlain National	2,730.75
Franklin County Surrogate		
Franklin Co Surrogate Court - Revenue	Key Bank	716.00
Fulton County Surrogate		
Fulton County Surrogate's Court	Key Bank	286.34
Glens Falls City Court		
Glens Falls City Court Account - Revenue	Glens Falls National	15,101.27
Glens Falls City Court Bail Acct	Glens Falls National	7,262.32
Gloversville City Court		
Gloversville City Court Bail	NBT Bank	20,698.85
Gloversville City Court Revenue	NBT Bank	9,028.44
Hamilton Surrogate		
Hamilton Surrogate - Revenue	Community Bank	30.00
Johnstown City Court		
City Of Johnstown Bail Account - Bail	Key Bank	2,602.02
Johnstown City Court Fines/Fees - Revenue	Key Bank	8,783.00
Mechanicville City Court		
Mechanicville City Court Bail	TD Bank	4,150.33
Mechanicville City Ct Revenue Acct	TD Bank	6,424.00
Montgomery County Surrogate		
Montgomery County Surrogates Court - Revenue	NBT Bank	2,150.00
Ogdensburg City Court		
Ogdensburg City Court Int Bail	Community Bank	9,577.03
Ogdensburg City Court Revenue	Community Bank	8,084.86
Plattsburgh City Court		
Plattsburgh City Court - Bail	Glens Falls National	25,424.28
State Of NY Plattsburgh City Court - Revenue	Glens Falls National	19,056.50
Saratoga County Surrogate		
Saratoga County Surrogate - Revenue	Ballston Spa National Bank	3,274.75
Saratoga Springs City Court		
Saratoga Springs Bail Account	The Adirondack Trust Company	23,030.99
Saratoga Springs City Revenue Acct	The Adirondack Trust Company	10,824.44
Schenectady City Court		
Schenectady City Court- Bail	Bank of America, N.A.	85,281.02
Schenectady City Court Revenue	Bank of America, N.A.	30,438.00
Schenectady Surrogate		
Schenectady Surrogate Court - Revenue	Key Bank	2,960.50
St. Lawrence Co Surrogate		
St. Lawrence County Surrogate - Revenue	Community Bank	2,735.00
Warren County Surrogate		
Warren County Surrogate Court - Revenue	TD Bank	2,838.00
Washington Surrogates		
Washington Surrogate Revenue	TD Bank	2,935.00
05560 - 5TH JUDICIAL DISTRICT ADMINISTRATION		
Fulton City Court		
Fulton City Court Bail Acct	Key Bank	16,911.08
Fulton City Court Revenue	Key Bank	9,919.00
Herkimer Surrogate		
Herkimer Surrogate - Revenue	Partners Trust	1,941.00
Jefferson Surrogates		
Jefferson Co Surrogate Revenue	Key Bank	7,628.75
Lewis County		
Lewis County Clerk	Community Bank	10,244.00
Lewis County Surrogates		
Lewis County Surrogate Court - Revenue	Key Bank	749.00
Little Falls City Court		

Little Falls City Court Bail	M&T Bank	1,000.00
Little Falls City Court Revenue	M&T Bank	2,014.41
Oneida County Combined		
Oneida County Combined Court	Adirondack Bank	11,300.00
Oneida County Surrogates		
Oneida County Surrogate Court Revenue	The Adirondack Trust Company	10,501.50
Onondaga County Surrogates		
Onondaga Surrogate Court - Revenue	Alliance Bank	19,147.82
Oswego City Court		
Oswego City Court Bail Acct	JPMorgan Chase Bank, N.A.	22,554.45
Oswego City Court Revenue	JPMorgan Chase Bank, N.A.	20,228.88
Oswego Surrogate Court		
Oswego County Surrogate Court - Revenue	Key Bank	609.00
Rome City Court		
City Court Of Rome Bail Account - Bail	NBT Bank	39,163.85
Rome City Court - Revenue	NBT Bank	1,778.00
Sherrill City Court		
Sherrill City Court 5th Jud Dist - Bail	NBT Bank	500.00
Sherrill City Court Fees - Revenue	NBT Bank	835.00
Syracuse City Court		
Syracuse City Court - Bail	NBT Bank	256,058.01
Syracuse City Court - Fees - Revenue	NBT Bank	48,367.51
Utica City Court		
Utica City Court Criminal Bail	Bank of Utica	80,357.00
Utica City Court Revenue Account	Key Bank	31,891.28
Watertown City Court		
Watertown City Court Bail	Key Bank	40,015.53
Watertown City Court Fees & Fines - Revenue	Key Bank	12,376.00
05661 - 6TH JUDICIAL DISTRICT ADMINISTRATION		
Binghamton City Court		
Binghamton City Court Bail	M&T Bank	No report received
Binghamton City Court Revenue	M&T Bank	No report received
Broome Surrogates		
SNY UCS Broome County Surrogates Court	Wells Fargo Bank	No report received
Chemung County Surrogates		
SNY UCS Chemung County Surrogates Court	Wells Fargo Bank	No report received
Chenango County Surrogates		
SNY UCS Chenango County Surrogates Court	Wells Fargo Bank	No report received
Cortland City Court		
Court City Court Bail	NBT Bank	No report received
Court City Court- Revenue	NBT Bank	No report received
Cortland County Surrogates		
SNY UCS Cortland County Surrogates Court	Wells Fargo Bank	No report received
Delaware County Surrogates		
Delaware County Surrogate - Revenue	Delaware National Bank	No report received
Elmira City Court		
Elmira City Court - Revenue Account	Chemung Canal Trust	No report received
Elmira City Court Bail	Chemung Canal Trust	No report received
Ithaca City Court		
Ithaca City Court	Tompkins County Trust	No report received
Ithaca City Court Revenue	Tompkins County Trust	No report received
Madison County Surrogates		
SNY UCS Madison County Surrogates Court	Wells Fargo Bank	No report received
Norwich City Court		
Norwich City Court Bail Acct	NBT Bank	No report received
Norwich City Court Revenue Acct	NBT Bank	No report received
Oneida City Court		
Oneida City Court Bail Account	JPMorgan Chase Bank, N.A.	No report received
Oneida City Court Fee & Fine - Revenue	JPMorgan Chase Bank, N.A.	No report received
Oneonta City Court		
Oneonta City Court - Revenue	Community Bank	No report received
Oneonta City Court Bail Account	Community Bank	No report received
Otsego County Surrogates		
Otsego County Surrogates Court - Revenue	Key Bank	No report received
Schuyler County Surrogates		
Schuyler County Surrogates Court	Community Bank	No report received
Tioga County Surrogates		
Tioga Surrogates Court - Revenue	M&T Bank	No report received
Tompkins County Surrogates		
SNY UCS Tompkins County Surrogates Court	Wells Fargo Bank	No report received
05761 - 7TH JUDICIAL DISTRICT ADMINISTRATION		
Auburn City Court		
Auburn City Court Bail Acct	Key Bank	37,167.55
Auburn City Court Fees & Fines - Revenue	Key Bank	11,527.00

Canandaigua City Court		
Canandaigua City Court Bail Acct	Canandaigua National Bank	17,502.58
Canandaigua City Court Revenue	Canandaigua National Bank	13,993.00
Cayuga County Surrogates		
Cayuga Surrogate Court	Wells Fargo Bank	1,269.00
Corning City Court		
Corning City Court - Bail	Wells Fargo Bank	5,913.98
Corning City Court - Revenue	Wells Fargo Bank	7,663.00
Geneva City Court		
Geneva City Court Bail Account	Wells Fargo Bank	18,841.94
Geneva City Court Revenue Account	Wells Fargo Bank	8,232.32
Hornell City Court		
Hornell City Court Bail Account	Community Bank	10,415.08
Hornell City Court Revenue	Community Bank	2,136.00
Livingston County Surrogates		
Livingston Surrogate Court	Wells Fargo Bank	994.00
Monroe County Surrogates		
7th District Monroe Surrogate	Wells Fargo Bank	37,798.00
Ontario County Surrogates		
Ontario Surrogate Court	Wells Fargo Bank	3,387.09
Rochester City Court		
Rochester City Court Bail Account	M&T Bank	525,365.20
Rochester City Revenue	M&T Bank	35,038.21
Seneca County Surrogates		
Seneca Surrogate Court	Wells Fargo Bank	556.75
Steuben County Surrogates		
7th District Steuben Surrogate	Wells Fargo Bank	9,052.00
Wayne County Surrogates		
Wayne Surrogate Court	Wells Fargo Bank	1,141.25
Yates County Surrogates		
Yates Surrogate Court	Wells Fargo Bank	216.00
05860 - 8TH JUDICIAL DISTRICT ADMINISTRATION		
ALLEGANY COUNTY SURROGATES COURT		
ST of NY Office of The State Comptroller State of New York Unified Courts Allegany Surrogate Court	Wells Fargo Bank	No report received
Batavia City Court		
ST of NY Office of The State Comptroller Batavia City Court Bail	Wells Fargo Bank	No report received
ST of NY Office of The State Comptroller Batavia City Court REVENUE	Wells Fargo Bank	No report received
BUFFALO CITY COURT		
ST of NY Office of The State Comptroller Buffalo City Court Bail Account	Wells Fargo Bank	No report received
ST of NY OFFICE OF THE STATE COMPTROLLER BUFFALO CITY COURT REVENUE ACCOUNT	Wells Fargo Bank	No report received
Cattaraugus County Surrogates		
ST of NY Office of the State Comptroller State of New York Unified Courts Cattaraugus Surrogate Court	Wells Fargo Bank	No report received
Chautauqua County Surrogates Court		
ST of NY Office of the State Comptroller State of New York Unified Courts Chautauqua Surrogate Court	Wells Fargo Bank	No report received
Dunkirk City Court		
ST of NY Office of The State Comptroller Dunkirk Bail Account	Wells Fargo Bank	No report received
ST of NY Office of The State Comptroller Dunkirk Revenue Account	Wells Fargo Bank	No report received
Erie - Buffalo County Law Library		
Sur Ct Lib At Buffalo - Revenue	M&T Bank	No report received
Erie County Surrogates		
ST of NY Office of the State Comptroller State of New York Unified Courts Erie Surrogate Court	Wells Fargo Bank	No report received
Genesee County Surrogates		
ST of NY Office of the State Comptroller State of New York Unified Courts Genesee Surrogate Court	Wells Fargo Bank	No report received
Jamestown City Court		
St of NY Office of the State Comptroller Jamestown City Court Bail Account	Wells Fargo Bank	No report received
St of NY Office of the State Comptroller Jamestown City Court Revenue Account	Wells Fargo Bank	No report received
State of New York Office of Court Administration Jamestown City Court Bail	Key Bank	No report received
State of New York Office of Court Administration Jamestown City Court Revenue	Key Bank	No report received
Lackawanna City Court		
Lackawanna City Court Bail Account	Key Bank	No report received
Lackawanna City Court Revenue Account	Key Bank	No report received
Lockport City Court		
Lockport City - Bail	Key Bank	No report received
Lockport City HESC EFT Account - Revenue	Key Bank	No report received
St of NY Office of the State Comptroller Lockport City Court Bail Account	Wells Fargo Bank	No report received
St of NY Office of the State Comptroller Lockport City Court Revenue Account	Wells Fargo Bank	No report received
Niagara City Court		
Niagara Falls Bail Bond Account	M&T Bank	No report received
Niagara County Surrogates		
ST of NY Office of the State Comptroller State of New York Unified Courts Niagara Surrogate Court	Wells Fargo Bank	No report received
Niagara Falls City Court		
ST of NY OFFICE OF THE STATE COMPTROLLER NIAGARA FALLS BAIL ACCOUNT	Wells Fargo Bank	No report received
ST of NY OFFICE OF THE STATE COMPTROLLER NIAGARA FALLS REVENUE ACCOUNT	Wells Fargo Bank	No report received
No. Tonawanda City Court		

ST of NY Office of The State Comptroller No. Tonawanda City Court Bail	Wells Fargo Bank	No report received
ST of NY Office of The State Comptroller No. Tonawanda Revenue	Wells Fargo Bank	No report received
Olean City Court		
ST of NY Office of The State Comptroller Olean City Court Bail	Wells Fargo Bank	No report received
ST of NY Office of The State Comptroller Olean City Court Revenue	Wells Fargo Bank	No report received
Orleans County Surrogates		
ST of NY Office of The State Comptroller State of New York Unified Courts Orleans Surrogate Court	Wells Fargo Bank	No report received
Salamanca City Court		
Salamanca City Court Bail	Community Bank	No report received
Salamanca City Court City Judge - Revenue	Community Bank	No report received
St of NY Office of the State Comptroller Salamanca City Court Bail Account	Wells Fargo Bank	No report received
St of NY Office of the State Comptroller Salamanca City Court Revenue Account	Wells Fargo Bank	No report received
Tonawanda City Court		
ST of NY Office of The State Comptroller Tonawanda City Court Bail	Wells Fargo Bank	No report received
ST of NY Office of The State Comptroller Tonawanda City Court Revenue	Wells Fargo Bank	No report received
Wyoming County Surrogates		
ST of NY Office of the State Comptroller State of New York Unified Courts Wyoming Surrogate Court	Wells Fargo Bank	No report received
05960 - 9TH JUDICIAL DISTRICT ADMINISTRATION		
Beacon City Court		
Beacon City Court Bail Account - Bail	JPMorgan Chase Bank, N.A.	38,331.32
Beacon City Fines Account - Revenue	JPMorgan Chase Bank, N.A.	3,258.00
Dutchess County Surrogates Court		
Dutchess County Surrogate Court - Revenue	JPMorgan Chase Bank, N.A.	60,492.50
Middletown City Court		
Middletown City Bail Escrow - Bail	Wells Fargo Bank	93,128.39
Middletown City Court Revenue	JPMorgan Chase Bank, N.A.	0.00
Middletown City Court Revenue	Wells Fargo Bank	33,319.08
Mt Vernon City Court		
Mt Vernon City Court State Bail	Wells Fargo Bank	127,891.75
Mt Vernon City Court State Revenue	Wells Fargo Bank	19,670.64
New Rochelle City Court		
New Rochelle City Court Bail	JPMorgan Chase Bank, N.A.	273,189.07
New Rochelle City Court Revenue	JPMorgan Chase Bank, N.A.	60,728.82
Newburgh City Court		
Newburgh Bail Account	Wells Fargo Bank	40,731.88
Newburgh City Court Revenue	Wells Fargo Bank	24,656.19
Orange County Surrogates Court		
Orange Co Surrogates Court - Revenue	JPMorgan Chase Bank, N.A.	12,173.00
Peekskill City Court		
Peekskill City Court Revenue	JPMorgan Chase Bank, N.A.	18,453.00
Peekskill City Court		
Peekskill City Court - Bail	JPMorgan Chase Bank, N.A.	40,780.12
Port Jervis City Court		
Port Jervis Bail Account - Bail	JPMorgan Chase Bank, N.A.	10,212.45
Port Jervis Revenue Account - Revenue	JPMorgan Chase Bank, N.A.	13,908.00
Poughkeepsie		
Poughkeepsie City Court -Bail	Wells Fargo Bank	9,726.79
Poughkeepsie City Court -Revenue	Wells Fargo Bank	24,072.11
Putnam Co Surrogate's Court		
Putnam Co Surrogates Court	Putnam County National Bank	16,781.59
Rockland County Surrogates Court		
Rockland Co Surrogates Court - Revenue	JPMorgan Chase Bank, N.A.	28,038.84
Rye City Court		
City Of Rye Bail Account	JPMorgan Chase Bank, N.A.	26.40
City Of Rye Fines And Fees - Revenue	JPMorgan Chase Bank, N.A.	19,321.71
Westchester County Surrogates Court		
Westchester Co Surrogates Fees - Revenue	Wells Fargo Bank	101,994.00
White Plains City Court		
White Plains City Court Bail Account	Sterling Bank	0.00
White Plains City Court Bail Account	Wells Fargo Bank	71,013.36
White Plains City Court Vehicle And Traffic Acct - Revenue	Sterling Bank	0.00
White Plains City Court Vehicle And Traffic Acct - Revenue	Wells Fargo Bank	44,826.70
Yonkers City Court		
Yonkers City Bail Account - Bail	Wells Fargo Bank	466,317.64
Yonkers City Revenue Account - Revenue	Wells Fargo Bank	49,102.46
06000 - AGRICULTURE & MARKETS		
Administration Account	Key Bank	23,173.31
Agency Advance Account	Key Bank	10,000.00
Agriculture Producers Sec Fund	Key Bank	65,312.68
Animal Population Control Account	Key Bank	22,242.67
Apple Marketing Order Fund	Key Bank	0.00
Consumer Food Industry Account	Key Bank	73,891.88
Dairy Industry Services Account	Key Bank	31,505.80
Dairy Promotion Order Fund	Key Bank	0.00

Milk Producers Security Fund	Key Bank	33,118.70
NYS Farmers Market Program	Key Bank	432,692.24
NYS WNY Milk Mktg Area Administration Fund	M&T Bank	116.95
NYS WNY Milk Mktg Area Equalization Fund	M&T Bank	12,699.64
NYS WNY Milk Mktg Area Equalization Fund Savings	M&T Bank	319.41
Onion Marketing Order	Key Bank	0.00
Plants Industry Account	Key Bank	49,097.41
Pride of NY	Key Bank	6,266.98
Sour Cherry Marketing Fund	Key Bank	0.00
Weights & Measures Account	Key Bank	36,160.18
NYS Dept Agriculture & Markets		
Apple Marketing Order Fund	Key Bank	0.00
Dairy Promotion Order Fund	Key Bank	0.00
Farm Products	Key Bank	5,627.54
State Fair		
NYS Fair Operating Account	Solvay Bank	13,118.33
NYS Fair Special Account	Solvay Bank	231.52
08000 - DEPARTMENT OF CIVIL SERVICE		
Agency Advance Account	Bank of America, N.A.	3,000.00
Examination Application Fees Account	Bank of America, N.A.	418.00
Examination Application Fees Account	Key Bank	442.00
NYS Affirmative Action Advisory Account	Bank of America, N.A.	5,141.90
NYS Department of Civil Service	US Bank	12,917,796.86
08010 - PUBLIC EMPLOYEE RELATIONS BOARD		
Petty Cash And Travel Advance Account	Key Bank	1,405.00
09000 - DEPARTMENT OF ENVIRONMENTAL CONSERVATION		
Albany		
Bayville Feasibility Study	JPMorgan Chase Bank, N.A.	494,083.82
Conservation Petty Cash Account	M&T Bank	22,418.00
DEC/Exchange Account	M&T Bank	15,037.98
ENCON License Issuing Office	M&T Bank	1,825.72
ENCON/Montauk Point Feasibility Study	JPMorgan Chase Bank, N.A.	7,205.55
ENCON/South Shore Of Staten Island	JPMorgan Chase Bank, N.A.	22,921.39
Hunting Trapping & Fishing Account	M&T Bank	198,846.55
Lake Montauk Harbor	JPMorgan Chase Bank, N.A.	156,259.59
Lockbox Account	Wells Fargo Bank	225,719.82
Mattituck Inlet	JPMorgan Chase Bank, N.A.	1,329.17
NY Conservationist	Bank of America, N.A.	15,570.48
Program Fee	JPMorgan Chase Bank, N.A.	22,039.41
Revenue Account	Bank of America, N.A.	515,660.35
Rockaway Beach Study & Project	JPMorgan Chase Bank, N.A.	1,265,885.45
State of New York	Key Bank	52,507.43
Region 1		
Marine Permit Account	People's United Bank	71,996.38
Region 3		
Beaverkill & Mongaup Pond	Jeff Bank	0.00
Revenue Region 3 Account	Bank of America, N.A.	5.00
Region 4		
Bear Spring Revenue Account	Wayne Bank	0.00
Region 4	Greene County Commercial Bank	0.00
Region 4 Camping	NBT Bank	0.00
Region 5		
Campsite Revenue Account	Glens Falls National	438.65
Land & Forest Region 5W	TD Bank	24,240.93
NYS Conservation	Glens Falls National	26.00
Recreation (Warrensburg)	City National Bank & Trust	313.91
Region 5	Citizens Bank	38.03
Region 5	NBT Bank	124,942.62
Tree Nursery	Bank of America, N.A.	93,178.50
Region 6		
Fish & Wildlife Watertown	Key Bank	126.92
Lands & Forest District #7	Community Bank	194.40
Lands & Forests District #6	Community Bank	0.00
Lands And Forests District 10	M&T Bank	21.20
SNY Dept Of Environmental Conserv	Community Bank	14.04
10000 - ATTICA CORRECTIONAL FACILITY		
Agency Advance Account	Five Star Bank	1,700.00
CD Spendable	Five Star Bank	220,000.00
Employee Benefit Fund	Five Star Bank	8,821.14
General Cash Fund	Five Star Bank	382.50
Inmate Occupational Therapy Fund	Five Star Bank	78,184.24
Inmate Savings Account	Five Star Bank	255,436.09
Spendable Fund	Five Star Bank	585,598.93
10010 - AUBURN CORRECTIONAL FACILITY		

Advance Account	Key Bank	3,642.85
Certificate of Deposit	Bank of America, N.A.	90,000.00
Certificate of Deposit	Bank of America, N.A.	15,000.00
Inmate Occupational Therapy Fund	Key Bank	21,029.13
Inmate Spendable Account	Key Bank	285,999.59
Inmate Spendable Savings CD	Key Bank	102,652.92
Misc Revenue	Key Bank	60,706.02
10020 - CLINTON CORRECTIONAL FACILITY		
Advance Account	Key Bank	13,000.00
Employee Benefit Fund	Key Bank	9,785.15
General Fund	Key Bank	3,297.34
Inmate Funds	Key Bank	218,783.02
Inmate Funds Money Market Account	Key Bank	669,454.94
Inmate Occupational Therapy Acct	Key Bank	85,436.92
10030 - WATERTOWN CORRECTIONAL FACILITY		
Agency Advance Account	Key Bank	0.00
Inmate Occupational Therapy Fund	Key Bank	3,401.56
Inmate Spendable Funds	Key Bank	632.60
Miscellaneous Receipts	Key Bank	310.00
10040 - GREAT MEADOW CORRECTIONAL FACILITY		
Certificate of Deposit	Glens Falls National	100,000.00
Certificate of Deposit	Glens Falls National	150,000.00
Certificate of Deposit	Glens Falls National	25,000.00
Facility Advance	Key Bank	5,382.72
General Fund	Key Bank	0.00
Inmate Fund	Key Bank	296,897.57
Inmate Fund Savings Account	Glens Falls National	52,500.00
Miscellaneous Account	Key Bank	11,510.15
Occupational Therapy	Key Bank	44,364.19
10050 - FISHKILL CORRECTIONAL FACILITY		
Agency Advance	M&T Bank	6,505.96
Employee Benefits	M&T Bank	9,914.89
Inmate Spending Account	M&T Bank	714,870.65
Inmates Account	M&T Bank	463,139.19
Misc Receipts	M&T Bank	18,684.22
Occupational Therapy Account	M&T Bank	48,075.75
10060 - WALLKILL CORRECTIONAL FACILITY		
Advance Account	Key Bank	1,550.00
Employee Benefit	Key Bank	2,013.68
Inmate Occupational Therapy Fund	Key Bank	26,769.53
Inmate Savings Account	Key Bank	88,193.46
Inmates Fund Account	Key Bank	137,821.45
Misc. Receipts	Key Bank	17,911.49
10070 - SING SING CORRECTIONAL FACILITY		
Cash Advance	JPMorgan Chase Bank, N.A.	No report received
Inmate Funds	JPMorgan Chase Bank, N.A.	No report received
Inmate Interest Funds	JPMorgan Chase Bank, N.A.	No report received
Misc Receipts	JPMorgan Chase Bank, N.A.	No report received
Occupational Therapy	JPMorgan Chase Bank, N.A.	No report received
Quality Work Life	JPMorgan Chase Bank, N.A.	No report received
10080 - GREEN HAVEN CORRECTIONAL FACILITY		
Advance Account	Key Bank	No report received
General Fund	Key Bank	No report received
Inmates Money Market	Key Bank	No report received
Inmates Now Checking	Key Bank	No report received
Occupational Therapy Fund	Key Bank	No report received
10090 - ALBION CORRECTIONAL FACILITY		
Albion Advance Account	Bank of America, N.A.	3,485.80
Employee Benefit Fund	Bank of America, N.A.	13,743.91
Inmate Funds	Bank of America, N.A.	66,204.94
Inmate Funds Savings	Bank of America, N.A.	154,404.47
Misc Receipts	Bank of America, N.A.	6,528.87
Occupational Therapy	Bank of America, N.A.	22,486.34
10100 - EASTERN NEW YORK CORRECTIONAL FACILITY		
Agency Advance Account	Sterling Bank	1,157.84
Employee Benefit Fund	Sterling Bank	16,952.22
ID Now 3 Month CD	M&T Bank	50,102.55
Inmate Deposit Now Account	Sterling Bank	784,785.71
Inmate Occupational Therapy Account	Sterling Bank	35,223.45
Misc. Receipts	Sterling Bank	7,746.81
10110 - ELMIRA CORRECTIONAL & RECEPTION CENTER		
Agency Advance Account	Chemung Canal Trust	2,475.49
Employee Benefit Fund	Chemung Canal Trust	29,827.53
Inmate CD Account	M&T Bank	213,891.26

Inmates Fund	Chemung Canal Trust	816,039.33
Miscellaneous Receipts	Chemung Canal Trust	1,158.25
Occupational Therapy Fund	Chemung Canal Trust	27,465.22
10120 - BEDFORD HILLS CORRECTIONAL FACILITY		
Advance Account	JPMorgan Chase Bank, N.A.	5,324.09
Employee Benefit Fund	JPMorgan Chase Bank, N.A.	9,071.34
Inmate Funds	JPMorgan Chase Bank, N.A.	120,164.80
Inmate Funds CD	JPMorgan Chase Bank, N.A.	90,000.00
Inmate Funds Money Market	JPMorgan Chase Bank, N.A.	23,300.70
Misc. Receipts	JPMorgan Chase Bank, N.A.	369.99
Occupational Therapy	JPMorgan Chase Bank, N.A.	64,768.73
10130 - COXSACKIE CORRECTIONAL FACILITY		
Agency Advance Account	National Bank of Coxsackie	1,168.49
Employee Benefits Fund	National Bank of Coxsackie	31,157.22
Inmates Fund	National Bank of Coxsackie	379,296.69
Inmates Fund Savings Acct	National Bank of Coxsackie	0.00
Misc. Revenue	National Bank of Coxsackie	7,989.51
Occupational Therapy Acct	National Bank of Coxsackie	56,257.44
10140 - WOODBOURNE CORRECTIONAL FACILITY		
CD - Inmate Funds	First National Bank of Jeffersonville	190,000.00
CD - Inmate Funds	First National Bank of Jeffersonville	50,000.00
WCF Agency Advance	Jeff Bank	1,172.33
WCF General Fund	Jeff Bank	18,174.26
WCF Inmate Fund	Jeff Bank	284,179.83
WCF Occupational Therapy Fund	Jeff Bank	42,581.63
10160 - DEPARTMENT OF CORRECTIONS AND COMMUNITY SUPERVISION		
Agency Advance Account	Key Bank	24,526.20
Employee Benefit Fund	Key Bank	16,183.60
Inmate Escrow Account	Key Bank	460,642.37
Misc. Receipts Account	Key Bank	37,209.62
Special Account	Key Bank	1,225.00
10170 - QUEENSBORO CORRECTIONAL FACILITY		
Agency Advance Account	JPMorgan Chase Bank, N.A.	7,592.88
Employee Benefit Fund	JPMorgan Chase Bank, N.A.	9,607.15
Inmate Funds Account	JPMorgan Chase Bank, N.A.	244,745.30
Miscellaneous Receipts Account	JPMorgan Chase Bank, N.A.	604.78
Occupational Therapy Fund	JPMorgan Chase Bank, N.A.	2,476.21
Savings Account	JPMorgan Chase Bank, N.A.	15,648.88
10230 - ADIRONDACK CORRECTIONAL FACILITY		
ADK QWL	Community Bank	7,775.12
Agency Advance	Community Bank	670.00
Employee Benefit Fund	Community Bank	5,587.35
General Fund	Community Bank	567.00
Inmate Funds	Community Bank	42,712.65
Inmate Occupational Therapy Fund	Community Bank	808.35
10240 - DOWNSTATE CORRECTIONAL FACILITY		
Agency Advance	JPMorgan Chase Bank, N.A.	2,334.50
Employee Recreational Funds	JPMorgan Chase Bank, N.A.	18,021.06
Inmate Fund	JPMorgan Chase Bank, N.A.	401,454.53
Inmates Funds Savings	JPMorgan Chase Bank, N.A.	80,473.91
Misc. Receipts	JPMorgan Chase Bank, N.A.	16,943.86
Occupational Therapy	JPMorgan Chase Bank, N.A.	68,102.80
10250 - TACONIC CORRECTIONAL FACILITY		
Employee Benefit Fund	JPMorgan Chase Bank, N.A.	No report received
Inmate Funds	JPMorgan Chase Bank, N.A.	No report received
Misc. Revenue	JPMorgan Chase Bank, N.A.	No report received
Money Market	JPMorgan Chase Bank, N.A.	No report received
Occupational Therapy Fund	JPMorgan Chase Bank, N.A.	No report received
Taconic Advance Account	JPMorgan Chase Bank, N.A.	No report received
10270 - HUDSON CORRECTIONAL FACILITY		
Advance Account	Key Bank	1,894.56
Employee Benefit Fund Account	Key Bank	5,407.67
Inmate Funds Account	Key Bank	178,762.81
Inmate Key Advantage Account	Key Bank	50,360.86
Inmate Occupational Therapy Account	Key Bank	6,390.87
Miscellaneous Receipts Account	Key Bank	1,960.52
10290 - OTISVILLE CORRECTIONAL FACILITY		
Cash Advance	Jeff Bank	No report received
General Fund	Jeff Bank	No report received
Inmate Funds	Jeff Bank	No report received
Inmate Occupational Therapy	Jeff Bank	No report received
Inmate Savings	Jeff Bank	No report received
Inmate Savings CD	Hometown Bank	No report received
10300 - ROCHESTER CORRECTIONAL FACILITY		

Consolidated Advance Account	M&T Bank	1,586.21
Employee Recreation Fund	M&T Bank	1,245.84
Inmate Deposit Account	M&T Bank	35,932.43
Misc Fees	M&T Bank	0.00
Work Release Advance Account	M&T Bank	7,183.69
10320 - EDGECOMBE CORRECTIONAL FACILITY		
Agency Advance	JPMorgan Chase Bank, N.A.	No report received
Employee Benefit Account	JPMorgan Chase Bank, N.A.	No report received
Inmate Cash Account	JPMorgan Chase Bank, N.A.	No report received
Misc. Receipts Account	JPMorgan Chase Bank, N.A.	No report received
Occupational Therapy Acct	JPMorgan Chase Bank, N.A.	No report received
Work Release Account	JPMorgan Chase Bank, N.A.	No report received
10350 - OGDENSBURG CORRECTIONAL FACILITY		
Agency Advance Account	Community Bank	No report received
EBF	Community Bank	No report received
Inmate Fund	Community Bank	No report received
Inmate Savings	Community Bank	No report received
Misc. Receipts	Community Bank	No report received
Occupational Therapy	Community Bank	No report received
10370 - FIVE POINTS CORRECTIONAL FACILITY		
Consolidated Advance	Five Star Bank	990.01
EBF Checking	Five Star Bank	43,552.01
EBF Savings	Five Star Bank	30,846.96
Inmate Savings	Five Star Bank	257,969.87
Inmate Spendable	Five Star Bank	347,291.68
Misc Receipts	Five Star Bank	1,216.70
Occupational Therapy	Five Star Bank	43,655.66
10390 - MOHAWK CORRECTIONAL FACILITY		
Agency Advance	Key Bank	No report received
Employee Benefit Fund Checking	Bank of America, N.A.	No report received
Inmate Funds Checking	Key Bank	No report received
Inmate Funds Savings	Key Bank	No report received
Miscellaneous Revenue	Key Bank	No report received
Occupational Therapy	Key Bank	No report received
10430 - WENDE CORRECTIONAL FACILITY		
Consolidated Advance	Alden State Bank	3,793.54
Employee Benefit Account	Alden State Bank	24,397.76
Inmate Savings	Alden State Bank	197,371.04
Inmates Funds	Alden State Bank	282,096.31
Misc. Receipts	Alden State Bank	5,560.77
Occupational Therapy	Alden State Bank	35,221.25
10441 - DEPARTMENT OF CORRECTIONAL SERVICES-FOOD PRODUCTION CENTER		
Office of Nutritional Services		
Miscellaneous Receipts	Key Bank	6,053.73
10450 - GOWANDA CORRECTIONAL FACILITY		
Advance Account	Community Bank	2,575.39
Employee Benefit Fund	Community Bank	0.00
Inmate Funds	Community Bank	10,684.24
Miscellaneous Revenue	Community Bank	177.25
Occupational Therapy	Community Bank	13,733.55
10460 - GROVELAND CORRECTIONAL FACILITY		
Agency Advance Account	Five Star Bank	2,000.00
Employee Commission Account	Five Star Bank	14,434.36
Inmate Funds Account	Five Star Bank	332,311.45
Inmate Funds Account - Savings	Five Star Bank	108,070.68
Miscellaneous Receipts Account	Five Star Bank	945.95
Occupational Therapy Account	Five Star Bank	12,403.62
10470 - COLLINS CORRECTIONAL FACILITY		
Agency Advance	Community Bank	4,379.00
Employee Activities	Community Bank	41,623.38
Inmate Fund Checking	Community Bank	316,582.91
Inmate Savings	Community Bank	130,569.41
Miscellaneous Revenue	Community Bank	6,800.08
Occupational Therapy	Community Bank	13,528.23
10480 - MID-STATE CORRECTIONAL FACILITY		
Agency Advance	Key Bank	1,538.77
Employee Benefit Fund	Bank of America, N.A.	39,873.93
Inmate Funds	Key Bank	368,351.36
Inmate Savings	Key Bank	198,761.33
Misc. Revenue	Key Bank	1,088.89
Occupational Therapy	Key Bank	35,452.95
10490 - MARCY CORRECTIONAL FACILITY		
Agency Advance Account	Key Bank	No report received
Employee Benefit Fund Account	Bank of America, N.A.	No report received

Inmate Fund Account	Key Bank	No report received
Misc receipts Acct	Key Bank	No report received
NYS DOCS Marcy CORR Facility	Key Bank	No report received
Occupational Therapy fund Acct	Key Bank	No report received
10501 - CENTRAL PHARMACY		
NYS Docs Central Pharmacy Advance Acct	Bank of America, N.A.	878.00
10510 - MORIAH SHOCK INCARCERATION CORRECTIONAL FACILITY		
Moriah Shock Incarceration Advance Acct	Glens Falls National	1,800.00
Moriah Shock Incarceration Employee Benefit Fund	Glens Falls National	3,853.24
Moriah Shock Incarceration Occ Therapy	Glens Falls National	2,820.50
Moriah Shock Misc Receipts	Glens Falls National	0.00
NYS Moriah Shock Incarceration Inmate Checking	Glens Falls National	27,289.20
10530 - FRANKLIN CORRECTIONAL FACILITY		
Advance Account	Key Bank	4,696.37
Employee Benefit Account	Key Bank	17,674.42
Inmate Funds	Key Bank	150,632.37
Inmate Occupational Therapy	Key Bank	15,478.07
Misc. Receipts	Key Bank	2,359.55
10540 - ALTONA CORRECTIONAL FACILITY		
Cons Adv Travel Petty Cash	NBT Bank	3,655.80
Employees Vending Benefit	NBT Bank	8,428.51
Inmates Funds	NBT Bank	46,950.31
Misc Revenues General Fund	NBT Bank	185.59
Occupational Therapy	NBT Bank	4,808.55
10550 - CAYUGA CORRECTIONAL FACILITY		
Agency Advance	First National Bank of Groton	1,469.93
Cert Of Deposit	First National Bank of Groton	87,552.74
Employee Benefit Fund	First National Bank of Groton	5,629.05
Inmate Occupational Therapy Account	First National Bank of Groton	26,810.69
Inmate Spendable	First National Bank of Groton	285,841.81
Misc Receipts	First National Bank of Groton	862.40
10560 - BARE HILL CORRECTIONAL FACILITY		
Agency Advance	Key Bank	1,882.00
Employee Benefit Fund	Key Bank	9,189.97
Inmate Spendable Funds	Key Bank	227,369.55
Miscellaneous Receipts	Key Bank	989.54
Occupational Therapy	Key Bank	10,309.24
10570 - RIVERVIEW CORRECTIONAL FACILITY		
Agency Advance Account	Key Bank	1,182.06
Inmate Accounts	Key Bank	122,090.96
Inmate Savings Account	Key Bank	105,650.06
Miscellaneous Receipts Account	Key Bank	10,959.05
Occupational Therapy	Key Bank	15,514.50
10580 - CAPE VINCENT CORRECTIONAL FACILITY		
Advance Account	Community Bank	1,900.00
Employee Benefit Fund	Community Bank	42,254.82
Inmate Occupation Therapy Acct	Community Bank	29,243.62
Inmate Savings	Community Bank	101,751.74
Inmate Spendable Account	Community Bank	197,001.54
Miscellaneous Receipts Account	Community Bank	7,653.32
10600 - LAKEVIEW SHOCK INCARCERATION CORRECTIONAL FACILITY		
Agency Advance	Community Bank	3,700.00
Employee Benefit Fund	Community Bank	14,073.94
Inmate Funds	Community Bank	29,443.61
Inmate Funds - Sav	Community Bank	84,666.30
Miscellaneous Revenue	Community Bank	660.16
Occupational Therapy	Community Bank	898.75
10610 - ULSTER CORRECTIONAL FACILITY		
Agency Advance	Bank of America, N.A.	0.00
Agency Advance	M&T Bank	1,314.00
Employee Benefit Fund	Bank of America, N.A.	0.00
Employee Benefit Fund	M&T Bank	7,232.50
Inmate Fund	Bank of America, N.A.	0.00
Inmate Fund	M&T Bank	143,978.70
Inmate Funds Savings	Bank of America, N.A.	0.00
Inmate Funds Savings	M&T Bank	12,307.95
Misc Receipts	M&T Bank	184.37
Misc. Receipts	Bank of America, N.A.	0.00
Occupational Therapy	Bank of America, N.A.	0.00
Occupational Therapy	M&T Bank	3,252.29
10630 - SOUTHPORT CORRECTIONAL FACILITY		
Advance Account	Chemung Canal Trust	505.29
Employee Benefit Fund	Chemung Canal Trust	15,429.58
Inmate Funds	Chemung Canal Trust	106,790.06

Inmate Funds Account	Chemung Canal Trust	35,435.56
Misc. Receipts Account	Chemung Canal Trust	0.00
Occupational Therapy Account	Chemung Canal Trust	26,247.36
10640 - ORLEANS CORRECTIONAL FACILITY		
Agency Advance	Bank of America, N.A.	2,209.99
Employee Benefit Fund	Bank of America, N.A.	11,774.85
Inmate Funds	Bank of America, N.A.	216,408.88
Inmate Savings	Bank of America, N.A.	108,324.09
Miscellaneous Receipts	Bank of America, N.A.	15,974.68
Occupational Therapy	Bank of America, N.A.	16,280.77
10650 - WASHINGTON CORRECTIONAL FACILITY		
Advance Account	Key Bank	2,433.42
EBF Account	Key Bank	9,846.99
General Account	Key Bank	0.00
Inmate Account	Key Bank	198,671.76
Inmate Funds Account Certificate Of Deposit	Glens Falls National	79,227.86
Inmate Savings Account	Key Bank	14,369.98
Occupational Therapy Account	Key Bank	13,217.88
10660 - WYOMING CORRECTIONAL FACILITY		
Agency Advance	Five Star Bank	6,300.00
Employee Benefit Fund	Five Star Bank	13,150.02
Inmate Occupational Therapy	Five Star Bank	29,244.16
Inmate Savings - Certificate of Deposit	Five Star Bank	25,000.00
Inmate Savings - Certificate of Deposit	Five Star Bank	25,000.00
Inmate Savings - Certificate of Deposit	Five Star Bank	25,000.00
Inmate Savings Account	Five Star Bank	52,110.52
Inmate Spendable	Five Star Bank	441,424.18
Misc. Receipts Account	Five Star Bank	7,449.00
10670 - GREENE CORRECTIONAL FACILITY		
Consolidated Advance	National Bank of Coxsackie	No report received
Employee Benefit Fund	National Bank of Coxsackie	No report received
Inmate Accounts	National Bank of Coxsackie	No report received
Inmate Savings	National Bank of Coxsackie	No report received
Misc. Receipts	National Bank of Coxsackie	No report received
Occupational Therapy	National Bank of Coxsackie	No report received
10680 - SHAWANGUNK CORRECTIONAL FACILITY		
Consolidated Advance Account	Key Bank	1,300.00
Inmate Funds	Key Bank	150,391.51
Inmates Funds Account	Key Bank	222,843.97
Misc. Receipts Account	Key Bank	5,611.32
Occupational Therapy Acct	Key Bank	35,183.20
10690 - SULLIVAN CORRECTIONAL FACILITY		
Consolidated Advance	Key Bank	2,325.47
Inmate Checking	Key Bank	300,639.79
Inmate Savings	Key Bank	100,505.18
Miscellaneous	Key Bank	8,476.60
Occupational Therapy	Key Bank	34,603.07
10810 - GOUVERNEUR CORRECTIONAL FACILITY		
Agency Advance	Community Bank	1,295.00
Inmate Occupational Therapy	Community Bank	16,772.13
Inmate Savings	Community Bank	250,013.27
Inmate Spendable Fund	Community Bank	147,165.07
Misc Receipts	Community Bank	12,207.97
10820 - WILLARD DRUG TREATMENT CENTER		
Consolidated Advance	Community Bank	No report received
Employee Benefit Fund	Community Bank	No report received
Inmate Funds	Community Bank	No report received
Inmate Occupational Therapy	Community Bank	No report received
Misc Receipts	Community Bank	No report received
10840 - UPSTATE CORRECTIONAL FACILITY-AUDIT 1		
Advance Account	Key Bank	1,900.00
Facility Committees	Key Bank	14,835.85
Inmate Fund	Key Bank	204,890.14
Inmate Occupational Therapy Fund	Key Bank	8,808.05
Miscellaneous Account	Key Bank	218.64
10850 - HALE CREEK ASACTC		
Consolidated Advance	Key Bank	590.21
Employee Benefit Fund	Bank of America, N.A.	9,077.83
Inmate Funds	Key Bank	124,305.94
Inmate Interest Bearing Account	Key Bank	15,000.37
Misc Receipts	Key Bank	26.75
Occupational Therapy	Key Bank	22,997.07
10890 - CORRECTIONS AND COMMUNITY SUPERVISION		
Asset Forfeiture Special Rev Acct	Bank of America, N.A.	310,353.76

Parole Supervision Fee	Wells Fargo Bank	33,388.30
10916 - CENTRAL OFFICE - INDUSTRIES		
Div of Ind Petty Cash Acct	Key Bank	4,000.00
Div of Ind Revenue Acct	Key Bank	631,395.58
11000 - EDUCATION DEPARTMENT		
Consolidated Advance Account	Key Bank	No report received
Consolidated Advance Account (Control Disbursement)	Key Bank	No report received
Revenue Account	Key Bank	No report received
11100 - NYS HIGHER EDUCATION SERVICES CORPORATION		
Federal Student Loan Suspense	US Bank	143,581.13
NYS HESC-Federal Student Loan Suspense	Key Bank	1,371.07
Operating	Key Bank	299,956.04
Operating	US Bank	491,580.68
Retail Lockbox	US Bank	151,381.00
TAP	Key Bank	41,907.12
Wholesale Lockbox	US Bank	1,654,044.91
11260 - BATAVIA SCHOOL FOR THE BLIND		
Misc. Receipts	M&T Bank	779.43
Petty Cash	M&T Bank	3,006.56
Student Spending Account	Bank of America, N.A.	8,428.23
11270 - ROME SCHOOL FOR THE DEAF		
Miscellaneous Receipts	NBT Bank	16,219.76
Petty Cash	NBT Bank	2,000.00
Student Activity Fund	NBT Bank	14,878.12
11280 - ARCHIVES PARTNERSHIP TRUST		
Endowment	Janney Montgomery Scott LLC	5,428,272.25
Endowment - Special Account	Janney Montgomery Scott LLC	0.00
Trust's Board Project Account	Key Bank	197,418.44
12000 - DEPARTMENT OF HEALTH CENTRAL ADMINISTRATION		
CSA Rebate Account	Bank of America, N.A.	0.00
DOH EPIC Lockbox Acct	Wells Fargo Bank	30,296.84
DOH Main Cash Advance	Key Bank	17,195.11
Early Intervention - Municipal Deposits for Provider Pymts	Key Bank	0.00
Early Intervention - Provider Payments Escrow	Key Bank	9,527,361.09
Early Intervention - State Funds	Key Bank	16,839.10
EPIC Co Pay Account	Bank of America, N.A.	0.00
Epic Drug Manufacturer Rebate Account	Bank of America, N.A.	55,009.28
EPIC EFT Acct	Bank of America, N.A.	0.00
EPIC Master Funding Acct	Bank of America, N.A.	606,469.24
EPIC Provider Receipt Account	Bank of America, N.A.	0.00
EPIC Refund Acct	Bank of America, N.A.	0.00
eWIC	Wells Fargo Bank	44,077.76
General Account	M&T Bank	139,170.14
ICR Audit Fees Account	Bank of America, N.A.	33,480.08
Indian Health Disbursement Account	Bank of America, N.A.	3,613.15
Indian Health-Master Acct	Bank of America, N.A.	23,566.07
Medicaid	Key Bank	391.13
Medicaid Audit Recoveries Acct	Key Bank	0.00
Medicaid Insurance Recoveries Acct	Bank of America, N.A.	223,670.63
Nurses Aide Fees (Prometric)	Bank of America, N.A.	66,282.10
Nursing Home Fees Account	Bank of America, N.A.	50,511.21
NYS DOH CLEP Revenue	Key Bank	10,687.84
OBRA Drug Rebate Program Acct	Bank of America, N.A.	5,398,203.65
SPARCS	Key Bank	33,259.76
12010 - ROSWELL PARK MEMORIAL INSTITUTE		
Office Of Patient Accounts	M&T Bank	2,633,785.31
12030 - HELEN HAYES HOSPITAL		
Misc. Receipts	JPMorgan Chase Bank, N.A.	2,090,057.14
Petty Cash Account	JPMorgan Chase Bank, N.A.	10,684.55
Rental Deposit Acct	JPMorgan Chase Bank, N.A.	5,647.64
12120 - NYS VETERANS HOME-OXFORD		
Agency Advance	NBT Bank	2,632.82
Exchange Account	NBT Bank	9,005.14
Maintenance Fund	NBT Bank	205,749.20
NYS Veterans Home-Oxford (Resident Account, Custodial Account)	NBT Bank	481,727.56
Resident Custodial Account	NBT Bank	3,534.71
Resident Custodial Account	NBT Bank	8,513.58
Resident Custodial Account	NBT Bank	10,536.11
Resident Custodial Account	NBT Bank	6,723.77
Resident Custodial Account	NBT Bank	48,665.96
Resident Custodial Account	NBT Bank	1,166.55
Resident Custodial Account	NBT Bank	1,158.68
Resident Custodial Account	NBT Bank	2,857.16
12150 - NYS VETERANS HOME-ST ALBANS		

NYC Veteran Home Agency Advance	JPMorgan Chase Bank, N.A.	93,952.19
St Albans NYC Vet Home Resid Funds	JPMorgan Chase Bank, N.A.	712,858.17
St Albans Vet Home Maintenance Acct	NBT Bank	163,718.91
12180 - WESTERN NEW YORK VETERANS HOME		
Advance Account	Bank of America, N.A.	5,100.00
Exchange Account	Bank of America, N.A.	2,912.38
Maintenance Account	NBT Bank	208,740.34
Resident Funds	Bank of America, N.A.	46,529.17
12190 - VETERANS HOME AT MONTROSE		
Agency Advance Account	Bank of America, N.A.	No report received
Maintenance Acct	NBT Bank	422,727.29
Residence Account	Bank of America, N.A.	No report received
12200 - OFFICE OF MEDICAID INSPECTOR GENERAL		
Albany Confidential Account	Key Bank	229.59
Albany Petty Cash Account	Key Bank	442.00
NYC Confidential Account	JPMorgan Chase Bank, N.A.	166.00
14000 - DEPARTMENT OF LABOR		
Agency Advance Account	Key Bank	28,001.00
Exchange Account	Bank of America, N.A.	8,273.16
Fee And Permit Account	Key Bank	635,069.25
Min Wage & Claim Funding Acct	Key Bank	141,876.34
Minimum Wage & Wage Claim Acct	Key Bank	761,512.00
Misc Receipts	Bank of America, N.A.	456,552.16
U.I. Fund Clearing Account	JPMorgan Chase Bank, N.A.	6,177,635.66
UI Fund ACH Transactions	Wells Fargo Bank	2,000,000.00
14010 - WORKERS COMPENSATION BOARD		
DTF/WCB MAC 14	JPMorgan Chase Bank, N.A.	228,876,450.54
16000 - PUBLIC SERVICE COMMISSION		
Cable Account	Key Bank	7,393.03
Petty Cash Account	Key Bank	3,982.75
Special Fee Account	Key Bank	12,026.64
17000 - NYS DEPARTMENT OF TRANSPORTATION		
Contractors Bid And Guarantee	Key Bank	No report received
Main Office Advance For Travel	Key Bank	No report received
PARTNERS DOT -HOOCS	Key Bank	No report received
Revenue Unit	Key Bank	No report received
Republic Airport, Long Island		
Republic Airport Revenue Acct	JPMorgan Chase Bank, N.A.	No report received
19000 - DEPARTMENT OF STATE		
Atheltic	M&T Bank	10,170.00
Licensing Revenue Account	JPMorgan Chase Bank, N.A.	569,321.38
Main	M&T Bank	200,860.13
Petty Cash Account	Key Bank	18,981.52
Summons	M&T Bank	547,937.05
19001 - TUG HILL COMMISSION		
Agency Advance Account	Key Bank	No report received
19002 - LAKE GEORGE PARK COMMISSION		
Petty Cash Account	Glens Falls National	No report received
Revenue Transfer Account	Glens Falls National	No report received
19005 - COMMISSION ON PUBLIC INTEGRITY		
JCOPE Petty Cash Account	Bank of America, N.A.	No report received
JCOPE Revenue Account	Bank of America, N.A.	No report received
20000 - DEPARTMENT OF TAXATION & FINANCE		
IFTA Funding	JPMorgan Chase Bank, N.A.	5,208.99
Misc Tax Account - Exchange	Bank of America, N.A.	58,235.36
Off Track Betting Tax (MAC 848)	Key Bank	241,379.09
Pari Mutuel Betting Tax (MAC 847)	Key Bank	68,647.22
Petty Cash	Bank of America, N.A.	14,898.00
Tax Preparer Registration Fee (EFT)	Wells Fargo Bank	51,700.00
Waste Tire Fee (EFT)	Wells Fargo Bank	1,875,158.97
Waste Tire Tax	JPMorgan Chase Bank, N.A.	114,985.99
20050 - NEW YORK STATE GAMING COMMISSION		
Charitable Gaming Account	Key Bank	267,631.63
Commercial Gaming Revenue Account	Key Bank	0.00
Custody Account	US Bank	12,688.86
Fingerprint Concentration Account	Key Bank	13,975.86
License Revenue Account	Bank of America, N.A.	10,263.31
Lottery Concentration Account	Key Bank	29,850.00
Lottery Prize Payment Account	Key Bank	0.00
Lottery Subscriptions Account	Key Bank	617,368.90
Petty Cash Account	Key Bank	882.42
Racing Refund Account	Key Bank	247,552.76
Video Gaming Revenue Account	Key Bank	7,011,453.72
21012 - WELFARE INSPECTOR GENERAL		

Confidential Fund	Bank of America, N.A.	15,000.00
Confidential Fund	JPMorgan Chase Bank, N.A.	No report received
Petty Cash	JPMorgan Chase Bank, N.A.	No report received
21110 - OFFICE OF REGULATORY REFORM		
Petty Cash	Key Bank	No report received
21190 - NYS ENERGY RESEARCH & DEVELOPMENT AUTHORITY		
NYSERDA Greenbank MAC 26	JPMorgan Chase Bank, N.A.	No report received
NYSERDA Main Checking MAC 30	JPMorgan Chase Bank, N.A.	(9,001,970.83)
21290 - HUDSON RIVER-BLACK RIVER REGULATING DISTRICT		
Checking- General Fund Acct.	Community Bank	101,727.69
Checking- Petty Cash Fund	Community Bank	5,000.00
Hudson River General Acct	Bank of America, N.A.	479,431.90
Money Market	Bank of America, N.A.	0.38
Petty Cash Fund	Bank of America, N.A.	6,500.00
21700 - OFFICE OF THE STATE INSPECTOR GENERAL		
Office Of The State Inspector General Pass Thru Account	Key Bank	0.00
OSIG Petty Cash Account	Key Bank	1,550.00
Albany		
Office of the Inspector General Confidential	Bank of America, N.A.	27,700.00
21820 - STATE COMMISSION ON JUDICIAL CONDUCT		
Petty Cash Account	JPMorgan Chase Bank, N.A.	500.55
Petty Cash Account	Key Bank	1,050.50
Petty Cash Fund	JPMorgan Chase Bank, N.A.	1,770.44
21940 - NYS FINANCIAL CONTROL BOARD		
Agency Advance Acct	JPMorgan Chase Bank, N.A.	No report received
23000 - DEPARTMENT OF MOTOR VEHICLES		
Abany Central Main Acct		
Albany Central Main Acct	Wells Fargo Bank	375,461.78
Albany Central Main Exchange		
Exchange	Wells Fargo Bank	21,656.00
Albany Central Office		
Title Escrow Exchange (Albany Central Office)	Wells Fargo Bank	40,680.45
Albany TVB Sub (Albany Central Office)		
Adjudication Account	Wells Fargo Bank	16,599.59
Administrative Adj	M&T Bank	No report received
Albany-Region 3		
Confidential Inv Subpoena - Albany	Key Bank	1,500.00
Allegany-Belmont		
County Clerk Fee Allegany	Steuben Trust Co.	12,818.85
Andirondack Mountains		
County Fee Account	JPMorgan Chase Bank, N.A.	116,067.00
Buffalo-Region 5		
MV- Buffalo Investigator & Subpoena	M&T Bank	1,332.00
Capital Saratoga Revenue		
County Fee Account	JPMorgan Chase Bank, N.A.	254,852.23
Catskill Mountains		
County Fee Account	JPMorgan Chase Bank, N.A.	195,065.61
Central Leatherstocking		
County Fee Account	JPMorgan Chase Bank, N.A.	70,983.36
Chautaqua-Steuben		
County Fee Acct	JPMorgan Chase Bank, N.A.	53,239.99
Chautauqua County		
Holding Acct-Chautauqua County	Community Bank	No report received
Holding Acct-Chautauqua County	Key Bank	No report received
Holding Acct-Chautauqua County	M&T Bank	No report received
Concentration (CTY)(OSC)		
Concentration (CTY)(OSC)	Key Bank	0.00
Concentration (DO)(OSC)		
Concentration (DO)(OSC)	Key Bank	3,240,394.77
Confidential Fund (Albany Central Office)		
Confidential Fund	Bank of America, N.A.	8,905.00
CTY Credit Card (Albany Central Office)		
County Office Credit Card Account	JPMorgan Chase Bank, N.A.	1,004,487.80
Customer Service Counter (Albany)		
NYS DMV CSC	Wells Fargo Bank	51,453.92
D.O. Credit Card (Albany Central Office)		
District Office Credit Card Account	JPMorgan Chase Bank, N.A.	4,150,799.01
DMV Division Of Field Investigations - Albany Central Office		
Field Investigation	M&T Bank	No report received
Downstate		
Revenue Account - Downstate	Wells Fargo Bank	542,317.06
Eric County Revenue		
County Fee Account	JPMorgan Chase Bank, N.A.	50,000.00
Finger Lakes First		

County Fee Acct	JPMorgan Chase Bank, N.A.	67,656.33
Finger Lakes Second		
County Fee Acct	JPMorgan Chase Bank, N.A.	111,863.24
Genesee County		
Genesee County Clerk - DMV	Bank of Castile	No report received
Greene County		
Fee Account - Greene	Greene County Commercial Bank	No report received
Hudson Valley		
County Fee Acct	JPMorgan Chase Bank, N.A.	74,579.28
IRP (Albany Central Office)		
International Registration	M&T Bank	2,232,075.09
International Registration	Wells Fargo Bank	278,309.97
IRP Exchange (Albany Central Office)		
Irp Internet Office - Dept. MV	M&T Bank	370,343.58
Kiosk		
Kiosk Account	JPMorgan Chase Bank, N.A.	83,485.00
Long Island/Staten Island DO		
Long Island/Staten Island DO	Wells Fargo Bank	638,756.57
Long Island/Staten Island JP		
Long Island/Staten Island (Mass/Med)	JPMorgan Chase Bank, N.A.	51,526.00
Nassau Region 1		
Div. of Vehicle Safety	Citibank	3,760.00
Niagara Frontier		
County Fee Acct	JPMorgan Chase Bank, N.A.	95,456.70
Oneida County		
DMV Oneida County Fee Account	Bank of Utica	340,341.34
Oneida County Fee Account	NBT Bank	62,845.46
Petty Cash (Albany Central Office)		
Petty Cash	Bank of America, N.A.	20,804.59
Queens-Region 6		
Confidential - Queens	JPMorgan Chase Bank, N.A.	3,760.00
Rockland/Westchester DO		
Rockland/Westchester DO	Wells Fargo Bank	52,553.29
Search Exchange (Albany Central Office)		
MV Search	Key Bank	481,867.61
Search Exchange (Albany Central Office)		
MV Search	Wells Fargo Bank	96,577.28
Syracuse-Region 4		
Confidential - Syracuse	Key Bank	1,080.00
Thousand Island Seaway		
County Fee Acct	JPMorgan Chase Bank, N.A.	312,170.59
TLC/DOCCS		
TLC/DOCCS	JPMorgan Chase Bank, N.A.	2,362.18
Travel Advance (Albany Central Office)		
Travel Advance	Bank of America, N.A.	1,000.00
TVB Acct		
TVB Acct	Wells Fargo Bank	101,306.42
TVB Credit Card (Albany Central Office)		
TVB Credit Card Receipts	JPMorgan Chase Bank, N.A.	705,145.21
Upstate DO		
Upstate District Offices (ALB, SYD, SYS, UTD)	Wells Fargo Bank	77,562.36
Utica D.O.		
Exchange Account	Bank of Utica	No report received
Revenue Utica	Bank of Utica	3,348,067.82
Yonkers-Region 2		
Safety Sup Automotive FAC INSP	JPMorgan Chase Bank, N.A.	2,872.00
25000 - OFFICE OF CHILDREN & FAMILY SERVICES		
Brentwood Resid Center Cash Advance	JPMorgan Chase Bank, N.A.	No report received
Brentwood Residents' Account	JPMorgan Chase Bank, N.A.	No report received
Brookwood Cash Advance	Key Bank	No report received
Brookwood Resid Residential Cash	Key Bank	No report received
Check Exchange	Bank of America, N.A.	No report received
CO Independent Living Acct	Bank of America, N.A.	No report received
Co. Training Employment Dev (Youth Stipend)	Bank of America, N.A.	No report received
Columbia Girls Secure Center-Advance Acct	Key Bank	No report received
Columbia Girls Secure Center-Youth Savings	Key Bank	No report received
Finger Lakes Res Ctr Residents Cash	Tompkins County Trust	No report received
Fingerlakes Res Ctr Cash Advance	Tompkins County Trust	No report received
Goshen Cash Advance	Bank of America, N.A.	No report received
Goshen Residents Account	Bank of America, N.A.	No report received
Harriet Tubman Advance Account	Key Bank	No report received
Harriet Tubman Residents' Account	Key Bank	No report received
Highland Res Ctr Petty Cash Account	Bank of America, N.A.	No report received
Highland Res Ctr Residents Acct	Bank of America, N.A.	No report received

Industry Advance Account	JPMorgan Chase Bank, N.A.	No report received
Industry Res Account	JPMorgan Chase Bank, N.A.	No report received
Industry School Dug-Out	JPMorgan Chase Bank, N.A.	No report received
MacCormick Cash Advance	Tompkins County Trust	No report received
MacCormick Residents' Account	Tompkins County Trust	No report received
Medicaid Reimbursement Exchange	Bank of America, N.A.	No report received
NYS OCFS Advance Acct (Travel & Misc P.C.)	Bank of America, N.A.	No report received
NYS OCFS Salary Advance Account	Bank of America, N.A.	No report received
Queens-Long Island Aftercare	JPMorgan Chase Bank, N.A.	No report received
Red Hook Res Ctr Resident Cash	Key Bank	No report received
Red Hook Resid Ctr Cash Advance	Key Bank	No report received
SCR Credit Card Revenue Account	Bank of America, N.A.	No report received
State Central Register	Bank of America, N.A.	No report received
Taberg Cash Advance	NBT Bank	No report received
Taberg Residents Account	NBT Bank	No report received
27000 - OFFICE OF TEMPORARY & DISABILITY ASSISTANCE		
Exchange Account	Key Bank	188.70
Title IV D Of Social Security	Key Bank	12,855,302.91
Travel Advance	Key Bank	10,001.14
28010 - SUNY ALBANY		
Fee Account	Key Bank	226,673.68
Loan Services Center Account	Key Bank	349,443.74
Petty Cash/Travel Advance	Key Bank	No report received
28020 - SUNY BINGHAMTON		
SUNY Binghamton	M&T Bank	421,437.27
SUNY Binghamton - Controlled Disb	M&T Bank	No report received
28030 - SUNY BUFFALO		
Controlled Disbursement Account	Bank of America, N.A.	No report received
General Revenue Account	Bank of America, N.A.	No report received
General Revenue Account	Key Bank	298,601.91
Imprest Account	Key Bank	No report received
28050 - SUNY STONY BROOK		
Central Funding	JPMorgan Chase Bank, N.A.	2,511,718.21
Controlled Disbursement	JPMorgan Chase Bank, N.A.	No report received
Fees Depository	JPMorgan Chase Bank, N.A.	3,508,948.55
LISVH Fees Depository	JPMorgan Chase Bank, N.A.	200,654.70
LISVH Fees Depository	Sterling Bank	120,825.98
LISVH Residence Fund	Sterling Bank	378,637.79
Payroll Advance	JPMorgan Chase Bank, N.A.	6,914.01
SBU Student Refunds Cont Disb Acct	JPMorgan Chase Bank, N.A.	No report received
Student ACH Refunds Account	JPMorgan Chase Bank, N.A.	192,535.71
SUNY Eastern Long Island Hospital Depository	JPMorgan Chase Bank, N.A.	1,012,145.82
SUNY Southampton Depository	JPMorgan Chase Bank, N.A.	641,419.67
University Hosp Fees Depository	JPMorgan Chase Bank, N.A.	10,185,750.63
University Hospital Petty Cash	JPMorgan Chase Bank, N.A.	1,909.35
28100 - SUNY HEALTH SCIENCE CENTER AT BROOKLYN		
Center Revenue	JPMorgan Chase Bank, N.A.	892,087.08
EFT Federal Deposits Acct	JPMorgan Chase Bank, N.A.	No report received
Hospital Controlled Disbursement	JPMorgan Chase Bank, N.A.	No report received
Hospital Revenue	JPMorgan Chase Bank, N.A.	2,784,134.28
LICH Controlled Disbursement	JPMorgan Chase Bank, N.A.	No report received
LICH Depository	JPMorgan Chase Bank, N.A.	43,466.79
Petty Cash	JPMorgan Chase Bank, N.A.	No report received
Student Refunds	JPMorgan Chase Bank, N.A.	No report received
28110 - SUNY HEALTH SCIENCE CENTER AT SYRACUSE		
College Revenue	Key Bank	265,072.88
Controlled Disbursement	Key Bank	No report received
Hospital Revenue	Key Bank	10,728,895.19
Parking	Key Bank	85,633.41
28150 - SUNY BROCKPORT		
Brockport-REOC Account	Key Bank	1,510.73
Concentration Acct	M&T Bank	124,532.09
Controlled Disb	M&T Bank	No report received
28160 - SUNY BUFFALO STATE COLLEGE		
Controlled Disb	M&T Bank	No report received
Dept Public Safety	M&T Bank	No report received
Special Grant Account	M&T Bank	3,773.14
Students Acct Office	M&T Bank	386,255.17
28170 - SUNY CORTLAND		
General Checking Account	Key Bank	130,862.10
28180 - SUNY FREDONIA		
Controlled Disb	M&T Bank	No report received
Depository Account	M&T Bank	56,106.32
28190 - SUNY GENESEO		

Controlled Disbursement Account	Key Bank	No report received
State Fees	Key Bank	109,799.79
28200 - SUNY OLD WESTBURY		
Local Depository	JPMorgan Chase Bank, N.A.	(98,735.75)
28210 - SUNY NEW PALTZ		
Disbursement Account	Key Bank	No report received
State Revenue	Key Bank	198,560.26
28220 - SUNY ONEONTA		
Petty Cash Advance Account	NBT Bank	No report received
Revenue Account	NBT Bank	1,114,971.22
28230 - SUNY OSWEGO		
Controlled Disbursement	Key Bank	No report received
General Revenue	Key Bank	154,558.68
Imprest Account	Key Bank	No report received
28240 - SUNY PLATTSBURGH		
General Revenue	TD Bank	1,218,381.66
28250 - SUNY POTSDAM		
Control Disbursement Account	Key Bank	No report received
State Fee Reconciliation Account	Key Bank	1,045,624.69
28260 - SUNY PURCHASE		
General Income Fund	Key Bank	71,774.12
28270 - SUNY INSTITUTE OF TECHNOLOGY UTICA/ROME		
Advance Account	Bank of America, N.A.	No report received
Controlled Disbursement Account	Bank of America, N.A.	No report received
Revenue	Bank of America, N.A.	70,548.65
28280 - SUNY EMPIRE STATE COLLEGE		
Concentration Account	Key Bank	145,783.93
Distribution Center Account	Key Bank	123,936.72
International Wire Account	Key Bank	14,015.00
Zero Balance Controlled Disbursement Account	Key Bank	No report received
28350 - SUNY COLLEGE OF TECHNOLOGY AT ALFRED		
Fees Account	Community Bank	377,164.05
28360 - SUNY COLLEGE OF TECHNOLOGY AT CANTON		
Community Cash Deposits	NBT Bank	161,294.16
Income Fund	Key Bank	108,326.51
International Program Account	Key Bank	37,521.36
28370 - SUNY AGRICULTURAL & TECHNICAL COLLEGE AT COBLESKILL		
Income Fund	Key Bank	141,001.34
28380 - SUNY COLLEGE OF TECHNOLOGY AT DELHI		
General Revenue	Delaware National Bank	79,059.55
Petty Cash Fund	Delaware National Bank	No report received
28390 - SUNY COLLEGE OF TECHNOLOGY AT FARMINGDALE		
Income Fund	Citibank	672,842.28
28400 - SUNY AGRICULTURAL & TECHNICAL COLLEGE AT MORRISVILLE		
Income Fund	Key Bank	951,551.97
Revenue Account	NBT Bank	11,007.67
28550 - SUNY COLLEGE OF ENVIRONMENTAL SCIENCE & FORESTRY		
Agency Advance	Key Bank	No report received
Controlled Disb	Key Bank	No report received
ESF/GSA	Key Bank	No report received
Forestry	Community Bank	180.78
Regular Account	Key Bank	10,225.74
Student Government	Key Bank	No report received
28570 - SUNY MARITIME COLLEGE		
Controlled Disbursement Account	JPMorgan Chase Bank, N.A.	No report received
Cruise Account	JPMorgan Chase Bank, N.A.	100,000.00
Revenue Deposit Account	JPMorgan Chase Bank, N.A.	338,128.14
Revenue EFT Account	JPMorgan Chase Bank, N.A.	11,177.01
28580 - SUNY COLLEGE OF OPTOMETRY		
General Revenue	JPMorgan Chase Bank, N.A.	152,633.32
Medical Transportation	JPMorgan Chase Bank, N.A.	17.88
28650 - SUNY CENTRAL SYSTEM ADMINISTRATION		
ASC	Key Bank	10,000.00
NYS Iso	Key Bank	4,700,000.00
Revenue	Key Bank	319,332.43
37000 - DEPARTMENT OF FINANCIAL SERVICES		
Confidential Investigations	JPMorgan Chase Bank, N.A.	11,202.85
Confidential Investigations	JPMorgan Chase Bank, N.A.	10,000.00
Fire Tax Account (Main)	Key Bank	5,161.24
Fire Tax Payment	Key Bank	0.00
General Assessment Account	JPMorgan Chase Bank, N.A.	196,120.93
General Fund	Key Bank	121,512.15
Market Stabilization Pool Account	JPMorgan Chase Bank, N.A.	144,030.68
Miscellaneous Account	JPMorgan Chase Bank, N.A.	93,760.13

Paid Family Leave	JPMorgan Chase Bank, N.A.	0.00
Petty Cash	Key Bank	9,678.14
Workers Comp Insurance Sec Fund Pymnt	JPMorgan Chase Bank, N.A.	100,000.85
49010 - SARATOGA-CAPITAL DISTRICT STATE PARK COMMISSION		
Revenue (SA)	Glens Falls National	47,026.41
SARATOGA REGION CONTRACTORS BID ACCOUNT	Key Bank	88,231.68
49020 - LONG ISLAND STATE PARK COMMISSION		
Contractors Bid (LI)	JPMorgan Chase Bank, N.A.	76,764.93
Regional Account (LI)	Bank of America, N.A.	1.00
Regional Account 2 (LI)	JPMorgan Chase Bank, N.A.	134,174.46
Revenue (LI)	People's United Bank	27,681.60
49030 - GENESEE STATE PARK COMMISSION		
Contractors Bid (GE)	Bank of Castile	51,683.57
Revenue (GE)	Bank of Castile	36,957.39
49040 - NIAGARA FRONTIER STATE PARK COMMISSION		
Contractors Bid (NIA)	Key Bank	68.40
Revenue (NIA)	Evans National Bank	35,082.47
49050 - PALISADES INTERSTATE STATE PARK COMMISSION		
Contractors Bid (PA)	JPMorgan Chase Bank, N.A.	3,983.32
49070 - OFFICE OF PARKS & RECREATION		
Main Office - Change Fund	Key Bank	118,535.00
Main Office Account (ALB)	Key Bank	1,500.00
OPRHP Concentration Account	Key Bank	73,182.05
Petty Cash (ALB)	Key Bank	29,003.20
Revenue (NI, GE, AL, CE, TA)	M&T Bank	50,846.92
Revenue (NYC, CE, LI, PA, TA)	JPMorgan Chase Bank, N.A.	22,892.57
Revenue (SA, LI, GE, NI, CE, TA)	Bank of America, N.A.	11,484.79
Revenue (SA, NI, PA, CE, TA, TI)	Key Bank	15,228.54
Revenue (various)	Wells Fargo Bank	80,286.90
Statewide Campsite/Cabin Revenue	JPMorgan Chase Bank, N.A.	1,312.44
Statewide Credit Card Revenue, Revenue (ALB, FL, LI, PA, TI)	Key Bank	286,860.64
Statewide Housing Security Deposits	Key Bank	144,730.75
49090 - FINGER LAKES STATE PARK COMMISSION		
Contractors Bid (FL)	Tompkins County Trust	20,517.78
Revenue (FL)	Savannah Bank	34,976.51
Revenue (FL-Multi)	Community Bank	489.68
Revenue (FL-Multi-Facilities)	Tompkins County Trust	119,510.53
49100 - ALLEGANY STATE PARK COMMISSION		
Contractors Bid (AL)	Five Star Bank	2,015.00
Regional Account (AL)	Five Star Bank	138,482.73
Revenue (AL)	Five Star Bank	29,553.81
49120 - CENTRAL NEW YORK STATE PARK COMMISSION		
Contractors Bid (CE)	JPMorgan Chase Bank, N.A.	21,065.27
Revenue (CE, SA, TI)	NBT Bank	36,272.14
49130 - TACONIC STATE PARK COMMISSION		
Contractors Bid (TA)	M&T Bank	800.70
49140 - THOUSAND ISLANDS STATE PARK COMMISSION		
Revenue (TI)	Citizens Bank	35,740.35
Revenue (TI-Multi)	Community Bank	20,949.89
50000 - OFFICE OF MENTAL HEALTH		
Consolidated Advance	Bank of America, N.A.	19,037.74
Iterim Assistance Agreement	Bank of America, N.A.	No report received
OMH Medication Grant Program Acct	Bank of America, N.A.	1,009.03
Reimbursement Account	Bank of America, N.A.	No report received
50010 - GREATER BINGHAMTON HEALTH CENTER		
Agency Advance Account	JPMorgan Chase Bank, N.A.	11,481.55
Facility Holding Account	JPMorgan Chase Bank, N.A.	13,329.28
Patient Cash Funds	JPMorgan Chase Bank, N.A.	450,967.16
Patients Cash Account	JPMorgan Chase Bank, N.A.	407,040.17
Security Deposit	JPMorgan Chase Bank, N.A.	4,389.53
50020 - KINGSBORO PSYCHIATRIC CENTER		
Advance Account	Banco Popular	13,774.17
Family Care	Banco Popular	14,290.87
Holding Account	Banco Popular	240,047.16
Medicaid Outpatient Travel	Banco Popular	847.63
Patient Cash Acct (MM)	Banco Popular	463,780.46
Patient Checking Account	Citibank	70.98
Patient Savings Account	Banco Popular	335,014.33
Security Deposit	Banco Popular	2,489.34
Urban Oasis/EBT	Banco Popular	56,723.32
50030 - BUFFALO PSYCHIATRIC CENTER		
Advance Account	Key Bank	39,800.98
Faciity Holding	Key Bank	4,074.59
Patient Cash Checking	Key Bank	304,823.19

50080 - MANHATTAN PSYCHIATRIC CENTER		
Advance Account	Sterling Bank	6,071.14
CD	Hudson Valley National Bank	425,038.94
General Fund Checking	Hudson Valley National Bank	5,725.69
Patient Cash Checking	Hudson Valley National Bank	1,006,932.96
Patients Money Market	Hudson Valley National Bank	72,902.05
Social Service Tokens	Hudson Valley National Bank	33,968.46
50110 - ROCHESTER PSYCHIATRIC CENTER		
Agency Advance	Key Bank	39,712.45
Facility Holding	Key Bank	11,885.97
Patients Cash Account	Key Bank	220,697.80
Patients Fund Savings	Key Bank	257,912.39
50120 - ST LAWRENCE PSYCHIATRIC CENTER		
Facility Advance Account	Community Bank	19,372.08
Facility Holding Account	Community Bank	0.00
Patients Cash Account	Community Bank	2,045.33
Patients Cash Savings	Community Bank	301,209.47
50150 - CREEDMOOR PSYCHIATRIC CENTER		
Advance Account	HSBC	67,716.48
Certificate Of Deposit	HSBC	150,000.00
Certificate Of Deposit	HSBC	250,000.00
Holding Account	HSBC	16,874.27
Medicaid Travel Account	HSBC	No report received
Money Management Account	HSBC	767,818.13
Patient Cash Account	HSBC	87,203.53
Rent Holding Account	HSBC	449.00
50170 - ROCKLAND PSYCHIATRIC CENTER		
Exchange	JPMorgan Chase Bank, N.A.	No report received
Holding Account	JPMorgan Chase Bank, N.A.	No report received
Investment CD A	Sterling Bank	No report received
INVESTMENT CD B	Sterling Bank	No report received
Investment CD C	Sterling Bank	No report received
Investment CD D	Sterling Bank	No report received
Investment CD G	Sterling Bank	No report received
Investment CD I	Sterling Bank	No report received
Patient Cash Account	JPMorgan Chase Bank, N.A.	No report received
Patient Cash Checking Account	JPMorgan Chase Bank, N.A.	No report received
Patient Cash Savings Account	JPMorgan Chase Bank, N.A.	No report received
50180 - NYS PSYCHIATRIC INSTITUTE		
Donation & Gift Acct/Patient Fund Acct	JPMorgan Chase Bank, N.A.	3,914.21
General	JPMorgan Chase Bank, N.A.	54,506.59
Petty Cash	JPMorgan Chase Bank, N.A.	1,003.84
50190 - RICHARD H HUTCHINGS PSYCHIATRIC CENTER		
Advance Account	Key Bank	16,423.67
Clients Count	Key Bank	155,896.04
Holding Account	Key Bank	16,492.66
50200 - PILGRIM PSYCHIATRIC CENTER		
Facility Advance Account	JPMorgan Chase Bank, N.A.	53,690.48
Facility Holding Acct	JPMorgan Chase Bank, N.A.	221,712.20
Patient Cash	JPMorgan Chase Bank, N.A.	1,047,823.11
50210 - MOHAWK VALLEY PSYCHIATRIC CENTER		
Advance Fund	Key Bank	13,704.94
Facility Holding	Key Bank	2,042.68
Patient Cash Checking	Key Bank	35,729.21
Patient Cash Savings	Key Bank	28,931.77
Security Deposit	Key Bank	7,773.34
50310 - BRONX PSYCHIATRIC CENTER		
CD	JPMorgan Chase Bank, N.A.	250,000.00
Consolidated Advance	JPMorgan Chase Bank, N.A.	18,565.22
Misc. Receipts	JPMorgan Chase Bank, N.A.	27,199.95
Patients Cash MM	JPMorgan Chase Bank, N.A.	88,219.04
Patients Checking Acct	JPMorgan Chase Bank, N.A.	460,625.49
50340 - NATHAN KLINE INSTITUTE		
Petty Cash Account	JPMorgan Chase Bank, N.A.	1,300.00
50350 - KIRBY FORENSIC PSYCHIATRIC CENTER		
Consolidated Advance Account	Hudson Valley National Bank	7,422.70
Holding Account	Hudson Valley National Bank	30,893.08
Patient Cash Account	Hudson Valley National Bank	109,482.57
50390 - CENTRAL NY PSYCHIATRIC CENTER		
Agency Advance Account	Key Bank	9,589.25
General Fund	Key Bank	3,620.82
Patient Checking	Key Bank	64,080.08
Patient Savings	Key Bank	246,515.48
Security Deposit	Key Bank	2,743.27

50440 - MID-HUDSON FORENSIC PSYCHIATRIC CENTER		
Facility Advance Account	Key Bank	16,252.00
Facility Holding Account	Key Bank	5,799.94
Patients Account	Key Bank	188,794.88
50520 - BROOKLYN CHILDRENS PSYCHIATRIC CENTER		
Petty Cash Advance Account	Banco Popular	4,965.00
50790 - SOUTH BEACH PSYCHIATRIC CENTER		
Agency Advance Checking	JPMorgan Chase Bank, N.A.	26,984.82
Facility Holding Checking	JPMorgan Chase Bank, N.A.	15,513.70
Family Care Checking	JPMorgan Chase Bank, N.A.	0.00
Patients Cash Checking	JPMorgan Chase Bank, N.A.	679,043.90
50800 - BRONX CHILDRENS PSYCHIATRIC CENTER		
General Fund	JPMorgan Chase Bank, N.A.	11,322.05
50810 - WESTERN NY CHILDRENS PSYCHIATRIC CENTER		
Agency Advance	Key Bank	2,098.84
Exchange Account	Key Bank	0.00
Patient Cash	Key Bank	70.00
50850 - SAGAMORE CHILDRENS PSYCHIATRIC CENTER		
Sagamore Patient Personal Acct	JPMorgan Chase Bank, N.A.	42,051.48
Sagamore Petty Cash Account	JPMorgan Chase Bank, N.A.	5,210.00
50860 - ROCKLAND CHILDRENS PSYCHIATRIC CENTER		
Advance Account	JPMorgan Chase Bank, N.A.	No report received
50870 - QUEENS CHILDRENS PSYCHIATRIC CENTER		
Queens Adv Acct	HSBC	11,260.00
Queens Childrens Account	HSBC	7,894.58
50920 - ELMIRA PSYCHIATRIC CENTER		
Certificate of Deposit	Chemung Canal Trust	100,000.00
Certificate of Deposit	Chemung Canal Trust	100,000.00
Facility Advance Account	Chemung Canal Trust	11,633.27
General Fund Account	Chemung Canal Trust	4,113.05
IMMA	Chemung Canal Trust	46,753.77
Patients Fund Account	Chemung Canal Trust	284,634.11
50980 - CAPITAL DISTRICT PSYCHIATRIC CENTER		
Facility Advance Account	Key Bank	No report received
Facility Holding Account	Key Bank	No report received
Parking Garage Account	Key Bank	No report received
Patients Cash Checking Account	Key Bank	No report received
Patients Cash Savings Account	Key Bank	No report received
51000 - NYS OFFICE FOR PEOPLE WITH DEVELOPMENTAL DISABILITIES		
Petty Cash Acct	Bank of America, N.A.	No report received
Revenue Account	Bank of America, N.A.	15,422,085.14
51210 - HUDSON VALLEY DDSO		
EBT Checking	JPMorgan Chase Bank, N.A.	No report received
Exchange Account	JPMorgan Chase Bank, N.A.	No report received
General Fund	JPMorgan Chase Bank, N.A.	No report received
Investor's Choice Savings	JPMorgan Chase Bank, N.A.	No report received
Petty Cash	JPMorgan Chase Bank, N.A.	No report received
PTS Cash Checking	JPMorgan Chase Bank, N.A.	No report received
51240 - CENTRAL NY DDSO		
Advance Accounts	Key Bank	35,165.00
Clients Account	Key Bank	496,473.16
Consumer Cash - Savings	Adirondack Bank	2,016,004.20
Consumer Cash - Savings	Key Bank	3,417,019.67
51250 - TACONIC DDSO		
Agency Advance Account	M&T Bank	47,500.00
General Fund	M&T Bank	2,200.00
Patients Cash Checking	M&T Bank	2,222,000.00
500 Balltown Rd Schenectady, NY		
TDDSO Representative Payee Savings Acct	The Adirondack Trust Company	1,553,000.00
51270 - STATEN ISLAND DDSO		
Clients Cash Account	JPMorgan Chase Bank, N.A.	No report received
General Fund Account	JPMorgan Chase Bank, N.A.	No report received
Money Market Account	JPMorgan Chase Bank, N.A.	No report received
Petty Cash Account	JPMorgan Chase Bank, N.A.	No report received
51290 - CAPITAL DISTRICT DDSO		
Agency Advance Account	Key Bank	No report received
Agency Advance Account	The Adirondack Trust Company	No report received
Consumer EBT Fund / Fiduciary Account	Key Bank	No report received
Consumers Fund / Fiduciary Account	Key Bank	No report received
Patients Account Direct Deposit / Fiduciary Acct	The Adirondack Trust Company	No report received
Patients Fund Operating Acct / Fiduciary Acct	The Adirondack Trust Company	No report received
Summer Camp - Fiduciary Acct	The Adirondack Trust Company	No report received
51330 - WESTERN NY DDSO		
Agency Advance Account	M&T Bank	No report received

CD-Patient Property Funds	Key Bank	No report received
Exchange Account	M&T Bank	No report received
General Account	Community Bank	No report received
Patient Property Funds	Adirondack Bank	No report received
Patient Property Funds	M&T Bank	No report received
51350 - LONG ISLAND DDSO		
Consolidated Advance	JPMorgan Chase Bank, N.A.	No report received
EBT Checking Account	JPMorgan Chase Bank, N.A.	No report received
General Fund	JPMorgan Chase Bank, N.A.	No report received
Patient Cash	JPMorgan Chase Bank, N.A.	No report received
51380 - BROOKLYN DDSO		
CD	Banco Popular	No report received
Consumers Fund	JPMorgan Chase Bank, N.A.	313,386.31
Consumers Money Management	JPMorgan Chase Bank, N.A.	687,317.28
Miscellaneous Receipts	JPMorgan Chase Bank, N.A.	167,611.06
Petty Cash - Mental Hygiene Brooklyn	JPMorgan Chase Bank, N.A.	22,307.11
Thomas Shirtz Community Service	JPMorgan Chase Bank, N.A.	8,953.36
51420 - SUNMOUNT DDSO		
Certificate of Deposit	Community Bank	No report received
Residents Fund	Community Bank	No report received
Sunmount Advance Account	Community Bank	No report received
51430 - INSTITUTE FOR BASIC RESEARCH IN DEVELOPMENTAL DISABILITIES		
Petty Cash	JPMorgan Chase Bank, N.A.	No report received
51450 - METRO NY DDSO		
Consolidated Acct	JPMorgan Chase Bank, N.A.	37,824.48
Manhattan DDSO Client Cash	JPMorgan Chase Bank, N.A.	29,387.12
Metro NY DDSO Food Stamp Acct	JPMorgan Chase Bank, N.A.	0.00
Metro NY DDSOO REP PAYEE ACCOUNT	JPMorgan Chase Bank, N.A.	448,043.08
Patients Cash Account	JPMorgan Chase Bank, N.A.	18,798.32
Patients Money Market Account	JPMorgan Chase Bank, N.A.	0.10
Petty Cash	JPMorgan Chase Bank, N.A.	0.00
51470 - BERNARD M FINESON DDSO		
Money Market Account	JPMorgan Chase Bank, N.A.	307,112.09
Patient Fund Account	JPMorgan Chase Bank, N.A.	463,463.02
Petty Cash Fund	JPMorgan Chase Bank, N.A.	12,739.12
51780 - FINGER LAKES DDSO		
Advance Account	JPMorgan Chase Bank, N.A.	No report received
F.L. Newark Resident Checking	Community Bank	No report received
Finger Lakes Resident Checking	JPMorgan Chase Bank, N.A.	No report received
FL Vending Machine Account	Community Bank	No report received
General Account	JPMorgan Chase Bank, N.A.	No report received
Geneseo Client Cash	Bank of America, N.A.	No report received
Kelsey Trust Fund	Bank of America, N.A.	No report received
Mary Moore Trust Fund	Bank of America, N.A.	No report received
Monroe Resident Checking	JPMorgan Chase Bank, N.A.	No report received
Monroe Resident Savings	JPMorgan Chase Bank, N.A.	No report received
Patient Food Stamp Account	JPMorgan Chase Bank, N.A.	No report received
51940 - BROOME DDSO		
Broome DDSO	M&T Bank	No report received
Broome DDSO - Advance Account	M&T Bank	No report received
Broome DDSO - General Fund	M&T Bank	No report received
Disabled Individuals Savings	Key Bank	No report received
Disabled Individuals Savings	M&T Bank	No report received
OMRDD Broome DDSO EBT Checking	M&T Bank	No report received
53000 - OFFICE OF ALCOHOLISM & SUBSTANCE ABUSE SERVICES		
Agency Advance Acct	Key Bank	2,550.00
Creedmoor PNA Account	JPMorgan Chase Bank, N.A.	0.00
Patient Fees	Bank of America, N.A.	428,085.91
Revenue Account	Bank of America, N.A.	46,006.06
53020 - KINGSBORO ALCOHOLISM TREATMENT CENTER		
Petty Cash Advance Account	Bank of America, N.A.	899.79
70000 - CUNY UNIVERSITY MANAGEMENT & PROGRAM BOARD OF HIGHER EDUCATION		
CUNY Admin Imprest Cash Account	Citibank	11,006.25
70030 - CUNY HUNTER COLLEGE		
Travel Petty Cash Account	Citibank	50,185.70
70060 - CUNY JOHN JAY COLLEGE		
CUNY JOHN JAY COLLEGE IMPREST FUND	Citibank	16,681.16
70070 - CUNY LEHMAN COLLEGE		
Lehman College	Citibank	4,345.50
70080 - CUNY YORK COLLEGE		
York College Imprest Funds	Citibank	11,288.64
York College Travel Advance Fund	Citibank	817.00
70100 - CUNY COLLEGE OF STATEN ISLAND		
CSI Imprest Cash	TD Bank	4,914.62

70120 - CUNY NYC COLLEGE OF TECHNOLOGY		
NY City College Of Technology Technical College Imprest Fund	Popular Community Bank	5,000.00
70150 - CUNY SCHOOL OF LAW		
CUNY School Of Law	TD Bank	24,304.48

The above balances represent funds deposited in various banking institutions as reported by the State department and agencies, and published in accordance with Section 107 of the State Finance Law.

Division of the Treasury, Department of Taxation and Finance
Christopher Curtis *Deputy Commissioner and State Treasurer*

FUNDS OF THE DIVISION OF THE TREASURY OF WHICH THE COMMISSIONER OF TAXATION AND FINANCE IS THE SOLE CUSTODIAN WITH BALANCES AS OF 03/31/2021

ACCOUNT DESCRIPTION		DEPOSITORY	BALANCE AS OF 03/31/2021
COMMUNITY COLLEGE TUITION AND INSTRUCTIONAL INCOME FUND			
0232	Upstate Community Colleges, Series 2005B	Key Bank	9,275.75
DEPARTMENT OF FINANCIAL SERVICES			
0001	Property/Casualty Insurance Security Fund	Key Bank	11,186,690.95
0002	Public Motor Vehicle Security Fund	JPMorgan Chase Bank, N.A.	6,681,248.98
0003	Workers' Compensation Security Fund	JPMorgan Chase Bank, N.A.	4,321,318.81
DORMITORY AUTHORITY OF THE STATE OF NEW YORK			
0039	Mental Hygiene Facilities Improvement Fund Income Account	Bank of America, N.A.	2,521,103.02
0104	Lincoln Medical and Mental Health Center Project Construction Account	Key Bank	8,359.45
0105	Greenpoint Medical and Mental Health Center Project Construction Account	Key Bank	300,845.14
0149	State Advances Repayment Account	Bank of America, N.A.	0.00
HOMELESS HOUSING ASSISTANCE CORPORATION			
0320	Social Services Homeless Housing and Assistance Corporation Operating Account	Key Bank	11,980,038.15
NELSON A. ROCKEFELLER EMPIRE STATE PLAZA PERFORMING ARTS CENTER CORPORATION			
0315	The Egg	Key Bank	29,040.93
NEW YORK CONVENTION CENTER			
0300	Operating Fund	JPMorgan Chase Bank, N.A.	22,920,147.07
NEW YORK JOB DEVELOPMENT AUTHORITY			
0036	Special Purpose Fund	Bank of America, N.A.	1,152,261.89
0371	Series H Commercial Paper	Bank of America, N.A.	1,425,342.88
0389	Daily Demand Special Purpose Bonds Series 1992A-B	JPMorgan Chase Bank, N.A.	339,800.79
0423	Escrow Account for USA Industries Inc.	Key Bank	37,274.67
0424	Escrow Account for Pluritec USA Inc.	Key Bank	19,782.38
0426	Agriculture Loan Program	Key Bank	370,388.91
NYS AFFORDABLE HOUSING CORPORATION			
0491	Disbursement Account	JPMorgan Chase Bank, N.A.	298,664.17
0520	Development Account	Key Bank	2,273,643.68
0522	Repayment Account	Key Bank	224,916.81
0523	Recapture Account	Key Bank	195,156.31
0880	Payroll Account	JPMorgan Chase Bank, N.A.	0.00
NYS DEPARTMENT OF HEALTH			
0004	Medical Indemnity Fund	JPMorgan Chase Bank, N.A.	2,526,377.73
NYS DEPARTMENT OF TAXATION AND FINANCE			
0510	Excelsior Linked Deposit Fund	Key Bank	0.27
0600	World Trade Center Memorial Foundation Fund Account	Bank of America, N.A.	97,640.01
0625	Advance Acct/Imprest Confidential Fund	Bank of America, N.A.	26,446.00
0626	Criminal Investigation Division	Key Bank	260,089.25
0778	PIT/STAR Rebate Exchange Account	JPMorgan Chase Bank, N.A.	0.00
0800	NYS IRS PIT offset account	Key Bank	0.00
0827	Stock Transfer Incentive Fund	Key Bank	1,165,678.48
0847	Pari-Mutuel Revenue Transfer Account	Key Bank	0.00
0848	Off-Track Bet Tax Revenue Transfer Account	Key Bank	0.00
NYS HOUSING FINANCE AGENCY			
0252	Energy Conservation/Tenant Health & Safety Improvement Account	JPMorgan Chase Bank, N.A.	205,927.29
0254	Agency Assisted Housing Operation Fund	JPMorgan Chase Bank, N.A.	22,728,062.12
0267	Neighborhood Stabilization Program - Round 1	JPMorgan Chase Bank, N.A.	87,646.12
0274	NYSHFA Special Reserve Fund	JPMorgan Chase Bank, N.A.	294,213.70
0283	Small Owner's Assistance Program Account	Key Bank	75,277.71
0285	Public Purpose Account	Key Bank	17,297,781.22
0286	Disbursement Account	Key Bank	4,142,094.95
0287	Infrastructure Development Fund	Key Bank	197,817.52
0288	Mobile Home Cooperative Fund	Key Bank	23,793.33
0292	Homeless Housing Initiatives	Key Bank	199,854.21
0294	Housing Plan Fund	Key Bank	41,250,536.62
0301	HFA - Subsidy Repayment Account	Key Bank	3,761,806.56
0305	HPD Disbursement Fund	Key Bank	1,227,149.59
0879	Payroll Account	JPMorgan Chase Bank, N.A.	115,405.91
NYS HOUSING TRUST FUND CORPORATION			
0458	Section 8 Housing Assistance Payment Account	JPMorgan Chase Bank, N.A.	1,143,095.60
0460	Escrow Account	M&T Bank	1,125,999.45
0461	Housing Modernization Account	M&T Bank	770,401.95

0462	General Custodial Account	M&T Bank	70,573,131.86
0465	Home Program Account	M&T Bank	10,345,035.21
0466	Homes for Working Families Account	M&T Bank	8,905,367.34
0467	Section 8 Administrative Account	M&T Bank	9,087,527.85
0469	OCR Community Miscellaneous Programs Account	M&T Bank	8,131,144.83
0470	HCV Main Account	Bank of America, N.A.	71,611,604.57
0471	Empire State Relief Fund	M&T Bank	472,816.46
0472	Master Escrow Account	Bank of America, N.A.	8,281.02
0473	Family Self-Sufficiency Account	Bank of America, N.A.	4,222,868.15
0475	Reserve Account	Bank of America, N.A.	107,444.90
0480	OHP Miscellaneous Programs Account	Bank of America, N.A.	9,924,437.75
0891	Small Cities Community Development Block Grant Program	M&T Bank	8,365.10
0892	Disaster Recovery Initiative Account	M&T Bank	326,858.18
0893	Payroll Account for Small Cities CDBG Program	M&T Bank	158.69
0895	HTFC Storm Recovery Payment	M&T Bank	2,385,893.08
0899	HTFC Storm Recovery Lockbox	US Bank	40,968.71
NYS TEACHERS RETIREMENT SYSTEM			
0052	Main Account	JPMorgan Chase Bank, N.A.	2,077,439.45
0052	Master Funding Account	State Street Bank & Trust Co.	0.00
0853	Excess Benefit Fund	JPMorgan Chase Bank, N.A.	2,847,550.26
STATE INSURANCE FUND			
0053	State Insurance Fund	Bank of America, N.A.	93,905,726.57
0054	State Insurance Fund	Bank of America, N.A.	14,815,696.18
0055	State Insurance Fund	Bank of America, N.A.	9,038,111.20
0861	Disability Benefits Fund Tax Escrow Account	Bank of America, N.A.	24,925.00
STATE UNIVERSITY CONSTRUCTION FUND			
0034	Income Fund	Key Bank	1,077,115.11
0075	Educational Facilities Revenue Bonds Debt Service Account	Key Bank	31,806.05
0870	Deductions Account	Key Bank	572,829.44

The above balances represent funds deposited in various banking institutions per the records of the Department of Taxation and Finance, Division of Treasury, and published in accordance with Section 107 of the State Finance Law.

Division of the Treasury, Department of Taxation and Finance
Christopher Curtis *Deputy Commissioner and State Treasurer*

Office of the NEW YORK STATE
COMPTROLLER

Comptroller's Monthly Report on State Funds Cash Basis of Accounting

MARCH 2021

Office of Operations
Division of Payroll, Accounting and Revenue Services
Bureau of Financial Reporting and Oil Spill Remediation

NYS Comptroller
THOMAS P. DiNAPOLI

STATE OF NEW YORK
OFFICE OF OPERATIONS
DIVISION OF PAYROLL, ACCOUNTING AND REVENUE SERVICES
BUREAU OF FINANCIAL REPORTING AND OIL SPILL REMEDIATION

THOMAS P. DiNAPOLI
STATE COMPTROLLER

COMPTROLLER'S MONTHLY REPORT TO THE LEGISLATURE ON STATE FUNDS - CASH BASIS OF ACCOUNTING
March 31, 2021

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STATE OF NEW YORK
GOVERNMENTAL FUNDS
COMBINED STATEMENT OF CASH RECEIPTS, DISBURSEMENTS AND CHANGES IN FUND BALANCES
(amounts in millions)

EXHIBIT A

		GENERAL		SPECIAL REVENUE		DEBT SERVICE		CAPITAL PROJECTS		TOTAL GOVERNMENTAL FUNDS				YEAR OVER YEAR	
		MONTH OF MAR. 2021	12 MOS. ENDED MAR. 31, 2021	MONTH OF MAR. 2021	12 MOS. ENDED MAR. 31, 2021	MONTH OF MAR. 2021	12 MOS. ENDED MAR. 31, 2021	MONTH OF MAR. 2021	12 MOS. ENDED MAR. 31, 2021	MONTH OF MAR. 2021	12 MOS. ENDED MAR. 31, 2021	MONTH OF MAR. 2020	12 MOS. ENDED MAR. 31, 2020	$ Increase/ (Decrease)	% Increase/ Decrease
RECEIPTS:															
Personal Income Tax	(3)	$ 1,647.1	$ 25,456.0	$ 18.8	$ 2,027.4	$ 1,665.8	$ 27,483.3	$ -	$ -	$ 3,331.7	$ 54,966.7	$ 3,746.2	$ 53,659.4	$ 1,307.3	2.4%
Consumption/Use Taxes		661.2	7,249.5	107.6	1,712.9	624.9	6,634.4	46.5	520.6	1,440.2	16,117.4	1,463.2	18,022.0	(1,904.6)	-10.6%
Business Taxes		1,919.2	6,420.5	423.4	1,836.0	-	-	46.2	535.9	2,388.8	8,792.4	2,364.8	8,995.8	(203.4)	-2.3%
Other Taxes		85.0	1,548.8	-	-	80.8	831.4	11.9	119.1	177.7	2,499.3	135.6	2,211.9	287.4	13.0%
Miscellaneous Receipts		662.0	7,515.3	1,896.8	17,375.2	40.6	400.9	281.6	5,480.8	2,881.0	30,772.2	3,770.0	29,466.4	1,305.8	4.4%
Federal Receipts		0.2	0.4	6,673.8	76,123.9	9.2	73.8	134.3	1,954.3	6,817.5	78,152.4	4,476.9	65,080.0	13,072.4	20.1%
Total Receipts		**4,974.7**	**48,190.5**	**9,120.4**	**99,075.4**	**2,421.3**	**35,423.8**	**520.5**	**8,610.7**	**17,036.9**	**191,300.4**	**15,956.7**	**177,435.5**	**13,864.9**	**7.8%**
DISBURSEMENTS:															
Local Assistance Grants:															
Education		10,535.7	28,211.8	555.4	8,701.9	-	-	3.2	122.1	11,094.3	37,035.8	10,292.8	37,846.6	(810.8)	-2.1%
Environment and Recreation		0.1	0.6	1.9	5.8	-	-	47.7	199.2	49.7	205.6	25.0	426.1	(220.5)	-51.7%
General Government		183.0	1,010.3	22.8	4,391.2	-	-	99.0	615.2	304.8	6,016.7	145.0	2,172.0	3,844.7	177.0%
Public Health:															
Medicaid		108.7	13,821.7	4,938.4	49,779.1	-	-	-	-	5,047.1	63,600.8	2,701.7	63,490.5	110.3	0.2%
Other Public Health		614.2	2,638.5	828.2	7,664.2	-	-	41.8	517.8	1,484.2	10,820.5	1,370.8	10,448.7	371.8	3.6%
Public Safety		13.7	127.2	250.8	2,178.4	-	-	1.6	52.8	266.1	2,358.4	195.0	1,853.7	504.7	27.2%
Public Welfare		569.7	2,944.7	862.3	4,166.1	-	-	100.8	604.6	1,532.8	7,715.4	1,110.1	7,593.5	121.9	1.6%
Support and Regulate Business		41.3	119.4	7.7	56.3	-	-	138.6	685.0	187.6	860.7	83.3	1,114.3	(253.6)	-22.8%
Transportation		21.3	106.6	614.9	3,606.8	-	-	239.2	2,444.1	875.4	6,157.5	182.2	5,173.1	984.4	19.0%
Total Local Assistance Grants		**12,087.7**	**48,980.8**	**8,082.4**	**80,549.8**	**-**	**-**	**671.9**	**5,240.8**	**20,842.0**	**134,771.4**	**16,105.9**	**130,118.5**	**4,652.9**	**3.6%**
Departmental Operations:															
Personal Service		789.9	7,154.4	691.8	7,637.6	-	-	-	-	1,481.7	14,792.0	1,105.9	14,726.5	65.5	0.4%
Non-Personal Service		435.1	2,950.3	516.0	4,364.0	12.2	61.4	-	-	963.3	7,375.7	1,194.4	7,477.1	(101.4)	-1.4%
General State Charges		1,438.3	7,031.6	116.6	2,227.9	-	-	-	-	1,554.9	9,259.5	567.4	8,756.8	502.7	5.7%
Debt Service, Including Payments on Financing Agreements		-	-	-	102.2	9,727.9	13,196.3	-	-	9,727.9	13,298.5	2,639.4	4,916.1	8,382.4	170.5%
Capital Projects	(1)	-	-	-	-	-	-	702.0	7,090.0	702.0	7,090.0	532.1	6,985.3	104.7	1.5%
Total Disbursements		**14,751.0**	**66,117.1**	**9,406.8**	**94,881.5**	**9,740.1**	**13,257.7**	**1,373.9**	**12,330.8**	**35,271.8**	**186,587.1**	**22,145.1**	**172,980.3**	**13,606.8**	**7.9%**
Excess (Deficiency) of Receipts over Disbursements		**(9,776.3)**	**(17,926.6)**	**(286.4)**	**4,193.9**	**(7,318.8)**	**22,166.1**	**(853.4)**	**(3,720.1)**	**(18,234.9)**	**4,713.3**	**(6,188.4)**	**4,455.2**	**258.1**	**5.8%**
OTHER FINANCING SOURCES (USES):															
Bond and Note Proceeds (net)		-	-	-	-	-	-	202.6	202.6	202.6	202.6	-	-	202.6	100.0%
Transfers from Other Funds	(2)	1,127.3	26,121.4	1,159.7	3,088.4	1,093.1	3,223.9	1,581.8	4,855.1	4,961.9	37,288.8	5,502.6	45,464.7	(8,175.9)	-18.0%
Transfers to Other Funds	(2)	(2,520.4)	(7,978.2)	(967.5)	(2,727.6)	(594.1)	(25,388.4)	(914.1)	(1,446.7)	(4,996.1)	(37,540.9)	(5,522.5)	(45,610.1)	(8,069.2)	-17.7%
Total Other Financing Sources (Uses)		**(1,393.1)**	**18,143.2**	**192.2**	**360.8**	**499.0**	**(22,164.5)**	**870.3**	**3,611.0**	**168.4**	**(49.5)**	**(19.9)**	**(145.4)**	**95.9**	**66.0%**
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses		**(11,169.4)**	**216.6**	**(94.2)**	**4,554.7**	**(6,819.8)**	**1.6**	**16.9**	**(109.1)**	**(18,066.5)**	**4,663.8**	**(6,208.3)**	**4,309.8**	**354.0**	**8.2%**
Beginning Fund Balances (Deficits)		**20,330.2**	**8,944.2**	**10,961.0**	**6,312.1**	**6,884.8**	**63.4**	**(1,160.9)**	**(1,034.9)**	**37,015.1**	**14,284.8**	**20,493.1**	**9,975.0**	**4,309.8**	**43.2%**
Ending Fund Balances (Deficits)		**$ 9,160.8**	**$ 9,160.8**	**$ 10,866.8**	**$ 10,866.8**	**$ 65.0**	**$ 65.0**	**$ (1,144.0)**	**$ (1,144.0)**	**$ 18,948.6**	**$ 18,948.6**	**$ 14,284.8**	**$ 14,284.8**	**$ 4,663.8**	**32.6%**

STATE OF NEW YORK
GOVERNMENTAL FUNDS-STATE OPERATING (*)
COMBINED STATEMENT OF CASH RECEIPTS, DISBURSEMENTS AND CHANGES IN FUND BALANCES
(amounts in millions)

EXHIBIT A
SUPPLEMENTAL

		GENERAL		STATE SPECIAL REVENUE (**)		DEBT SERVICE		TOTAL STATE OPERATING FUNDS					
		MONTH OF MAR. 2021	12 MOS. ENDED MAR. 31, 2021	MONTH OF MAR. 2021	12 MOS. ENDED MAR. 31, 2021	MONTH OF MAR. 2021	12 MOS. ENDED MAR. 31, 2021	MONTH OF MAR. 2021	12 MOS. ENDED MAR. 31, 2021	MONTH OF MAR. 2020	12 MOS. ENDED MAR. 31, 2020	$ Increase/ (Decrease)	% Increase/ Decrease
RECEIPTS:													
Personal Income Tax	(3)	$ 1,647.1	$ 25,456.0	$ 18.8	$ 2,027.4	$ 1,665.8	$ 27,483.3	$ 3,331.7	$ 54,966.7	$ 3,746.2	$ 53,659.4	$ 1,307.3	2.4%
Consumption/Use Taxes		661.2	7,249.5	107.6	1,712.9	624.9	6,634.4	1,393.7	15,596.8	1,406.1	17,390.0	(1,793.2)	-10.3%
Business Taxes		1,919.2	6,420.5	423.4	1,836.0	-	-	2,342.6	8,256.5	2,313.1	8,329.4	(72.9)	-0.9%
Other Taxes		85.0	1,548.8	-	-	80.8	831.4	165.8	2,380.2	123.7	2,092.8	287.4	13.7%
Miscellaneous Receipts		662.0	7,515.3	1,887.3	17,193.2	40.6	400.9	2,589.9	25,109.4	1,824.5	22,700.6	2,408.8	10.6%
Federal Receipts		0.2	0.4	(0.7)	(13.6)	9.2	73.8	8.7	60.6	(4.1)	61.2	(0.6)	-1.0%
Total Receipts		**4,974.7**	**48,190.5**	**2,436.4**	**22,755.9**	**2,421.3**	**35,423.8**	**9,832.4**	**106,370.2**	**9,409.5**	**104,233.4**	**2,136.8**	**2.1%**
DISBURSEMENTS:													
Local Assistance Grants:													
Education		10,535.7	28,211.8	328.2	5,697.9	-	-	10,863.9	33,909.7	9,974.6	34,142.3	(232.6)	-0.7%
Environment and Recreation		0.1	0.6	1.8	4.7	-	-	1.9	5.3	1.0	7.8	(2.5)	-32.1%
General Government		183.0	1,010.3	14.7	164.9	-	-	197.7	1,175.2	77.4	1,267.9	(92.7)	-7.3%
Public Health:													
Medicaid		108.7	13,821.7	431.9	5,470.9	-	-	540.6	19,292.6	9.2	23,241.2	(3,948.6)	-17.0%
Other Public Health		614.2	2,638.5	153.2	974.2	-	-	767.4	3,612.7	792.9	3,591.0	21.7	0.6%
Public Safety		13.7	127.2	73.0	202.5	-	-	86.7	329.7	41.5	363.6	(33.9)	-9.3%
Public Welfare		569.7	2,944.7	(0.2)	0.4	-	-	569.5	2,945.1	113.9	2,322.9	622.2	26.8%
Support and Regulate Business		41.3	119.4	7.4	49.1	-	-	48.7	168.5	37.1	227.3	(58.8)	-25.9%
Transportation		21.3	106.6	607.6	3,541.5	-	-	628.9	3,648.1	41.0	3,488.3	159.8	4.6%
Total Local Assistance Grants		**12,087.7**	**48,980.8**	**1,617.6**	**16,106.1**	**-**	**-**	**13,705.3**	**65,086.9**	**11,088.6**	**68,652.3**	**(3,565.4)**	**-5.2%**
Departmental Operations:													
Personal Service		789.9	7,154.4	609.6	5,200.2	-	-	1,399.5	12,354.6	1,057.0	14,089.7	(1,735.1)	-12.3%
Non-Personal Service		435.1	2,950.3	297.7	2,639.5	12.2	61.4	745.0	5,651.2	1,047.1	6,078.2	(427.0)	-7.0%
General State Charges		1,438.3	7,031.6	92.4	886.3	-	-	1,530.7	7,917.9	541.3	8,423.2	(505.3)	-6.0%
Debt Service, Including Payments on Financing Agreements		-	-	-	-	9,727.9	13,196.3	9,727.9	13,196.3	2,639.4	4,916.1	8,280.2	168.4%
Capital Projects		-	-	-	-	-	-	-	-	-	-	-	0.0%
Total Disbursements		**14,751.0**	**66,117.1**	**2,617.3**	**24,832.1**	**9,740.1**	**13,257.7**	**27,108.4**	**104,206.9**	**16,373.4**	**102,159.5**	**2,047.4**	**2.0%**
Excess (Deficiency) of Receipts over Disbursements		**(9,776.3)**	**(17,926.6)**	**(180.9)**	**(2,076.2)**	**(7,318.8)**	**22,166.1**	**(17,276.0)**	**2,163.3**	**(6,963.9)**	**2,073.9**	**89.4**	**4.3%**
OTHER FINANCING SOURCES (USES):													
Transfers from Other Funds	(2)	1,127.3	26,121.4	1,179.0	3,685.4	1,093.1	3,223.9	3,399.4	33,030.7	6,045.7	42,428.5	(9,397.8)	-22.1%
Transfers to Other Funds	(2)	(2,520.4)	(7,978.2)	(759.8)	(1,103.8)	(594.1)	(25,388.4)	(3,874.3)	(34,470.4)	(4,684.7)	(42,455.4)	(7,985.0)	-18.8%
Total Other Financing Sources (Uses)		**(1,393.1)**	**18,143.2**	**419.2**	**2,581.6**	**499.0**	**(22,164.5)**	**(474.9)**	**(1,439.7)**	**1,361.0**	**(26.9)**	**(1,412.8)**	**-5,252.0%**
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses		**(11,169.4)**	**216.6**	**238.3**	**505.4**	**(6,819.8)**	**1.6**	**(17,750.9)**	**723.6**	**(5,602.9)**	**2,047.0**	**(1,323.4)**	**-64.7%**
Beginning Fund Balances (Deficits)		**20,330.2**	**8,944.2**	**5,667.8**	**5,400.7**	**6,884.8**	**63.4**	**32,882.8**	**14,408.3**	**20,011.2**	**12,361.3**	**2,047.0**	**16.6%**
Ending Fund Balances (Deficits)		**$ 9,160.8**	**$ 9,160.8**	**$ 5,906.1**	**$ 5,906.1**	**$ 65.0**	**$ 65.0**	**$ 15,131.9**	**$ 15,131.9**	**$ 14,408.3**	**$ 14,408.3**	**$ 723.6**	**5.0%**

(*) State Operating Funds are comprised of the General Fund, State Special Revenue Funds supported by activities from dedicated revenue sources (including operating transfers from Federal Funds) and Debt Service Funds.
(**) Eliminations between Special Revenue - State and Federal Funds are not included.

EXHIBIT A NOTES
MARCH 2021

GOVERNMENTAL FUNDS FOOTNOTES

1. Certain disbursements from Capital Projects funds are financed by operating transfers from other funds, proceeds of State bonds and notes, and reimbursements received from Public Authorities and the Federal Government. The amounts shown below represent disbursements to be reimbursed in future months from the sources indicated:

Urban Development Corporation (Correctional Facilities)	$169.1	million
Urban Development Corporation (Youth Facilities)	14.3	
Housing Finance Agency (HFA)	377.4	
Housing Assistance Fund	12.9	
Dormitory Authority (Mental Hygiene)	426.7	
Dormitory Authority and State University Income Fund	538.8	
Federal Capital Projects	580.3	
State bond and note proceeds	25.6	

2. Operating Transfers constitute legally authorized transfers from a fund receiving revenues to a fund through which disbursements will ultimately be made. The more significant transfers include:

General Fund "Transfers to Other Funds" are as follows:

State Capital Projects Fund	$3,108.2	million
General Debt Service Fund	326.0	
Banking Services Account	36.7	
Batavia School For Blind	0.9	
Building Administration Account	9.5	
Business Services Center	26.9	
Centralized Tech Services Account	11.5	
Certificates of Participation Account	9.6	
Combined Expendable Trust	1.3	
Commercial Gaming Revenue	96.2	
Correctional Facilities Capital Improvement Fund	102.8	
Correctional Industries Revolving Fund	20.8	
Court Facilities Incentive Aid Fund	116.2	
Dedicated Highway & Bridge Trust Fund	786.0	
Dedicated Infrastructure Investment Fund	513.0	
Dedicated Mass Transportation - Railroad Account	8.9	
Dedicated Mass Transportation - Transit Authority Account	49.8	
Dedicated Mass Transportation - (Non MTA)	5.1	
Entertainment Diversity Job Training and Development	1.5	
Environmental Protection Fund	28.0	
Federal Salary Sharing Account	1.9	
Hazardous Waste Oversight & Assistance Account	1.8	
Health Insurance Revolving Fund	12.0	
Helen Hayes Hospital	26.2	
Indigent Legal Services	1.2	
Mass Transportation Operating Assistance Fund	29.6	
Mass Transportation Financial Assistance	244.3	
Medical Marihuana Health Operation and Oversight Account	6.6	
Montrose Veteran's Home	9.3	
Neighborhood Work Project Account	1.0	
New York Central Business District Trust Fund	150.0	
New York City County Clerks' Operations Offset	2.8	
New York City Veterans - St. Albans	11.1	
New York State Veterans Home Oxford	6.6	
Recruitment Incentive Account	2.1	

General Fund (continued):

Rome School for Deaf Account	1.0
Spinal Cord Injury Account	8.5
State Fair Receipts	3.0
State Lottery Fund	692.8
State University Income Fund	1,229.5
Tax Revenue Arrearage	1.5
Western New York Veteran's Batavia	5.3

Also included in the General Fund are transfers representing payments for patients residing in State-operated health, mental hygiene and State University facilities to Debt Service funds ($8.5m), and the State University Income Fund ($262.4.m).

§72(4)(b) was added to the State Finance Law in 2010 to permit the State's General Debt Service Fund to maintain a cash reserve for the payment of debt service, and related expenses, during the current fiscal quarter. As of March 31, 2021 - pursuant to a certification of the Budget Director - payment obligations were met out of these reserves and future payment amounts were scheduled for transfer at the commencement of the succeeding month.

Special Revenue Funds "Transfers To Other Funds" includes transfers to Mental Health Services Fund and Department of Health Income Fund ($1,444.4m) representing the federal share of Medicaid payments for patients residing in State-operated Health and Mental Hygiene facilities, the General Debt Service Fund ($56.3m), Medicaid Management Information System Escrow Fund ($122.3m), State Capital Projects Fund ($213.8m) and All Other Capital Projects ($100.9m).

Also included in Special Revenue funds are transfers to the General Fund from the following:

Business & Licensing Services Account	$7.3	million
Certificate of Need Account	1.1	
Charitable Gift Trust Account	96.0	
Clean Air Account	2.1	
DMNA Radiological Emergency Preparedness Account	3.5	
Encon Special Revenue Account	8.1	
Federal Department of Health Services Fund	140.4	
Federal Education Fund	2.4	
Federal Operating Grants Fund	5.3	
Federal USDA/Food and Nutrition Services Fund	9.6	
Fingerprint Identification Technology Account	2.0	
Health Care Transformation Fund	200.0	
HESC Insurance Premium Account	5.3	
Legal Services Assist Account	9.8	
Litigation Settlement Account	7.5	
Mass Transportation Operating Assistance Fund	1.4	
Miscellaneous State Special Revenue Fund	5.1	
Motor Vehicle Theft & Insurance Fraud Account	1.1	
New York City Assessment Account	2.4	
Parking Account	1.0	
Patron Services Account	1.6	
Professional Education Services Account	2.8	
Public Service Account	3.6	
State Central Register Account	3.5	
State Lottery Fund	8.7	
State Police Motor Vehicle Law Enforcement Fund	112.4	

GOVERNMENTAL FUNDS FOOTNOTES

EXHIBIT A NOTES
MARCH 2021

Special Revenue Funds (continued):

Statewide Public Safety Communications	27.5
SUNY Income Fund	58.4
Surplus Property Account	3.0
System and Technology Account	3.5
Training and Education Program on OSHA	3.5
Transportation Surplus Property Account	1.8
Unemployment Insurance Administration Fund	16.2
Unemployment Insurance Interest & Penalty Account	13.1
Vital Records Management Fund	2.6
Workers' Compensation Board Account	7.3

Debt Service Funds "Transfers To Other Funds" includes transfers to the General Fund from the following:

Revenue Bond Tax Fund	$18,578.0 million
Local Government Assistance Tax Fund	3,237.8
Sales Tax Revenue Bond Tax Fund	1,277.8
Clean Water/Clean Air Fund	782.7
Mental Health Services Fund	1,396.5

Also included in Debt Service funds are transfers to Special Revenue funds representing receipts in excess of lease-purchase obligations that are used to finance a portion of the operating expenses for the Department of Health ($115.6m).

Capital Projects Funds "Transfers To Other Funds" includes transfers to the General Fund ($62.1m), the General Debt Service Fund - Lease Purchase ($108.8m), and the Revenue Bond Tax Fund ($1,275.9m).

3. A portion of Personal Income Tax receipts is transferred to the State Special Revenue School Tax Relief (STAR) Fund to be used to reimburse school districts for the STAR property tax exemptions for homeowners and payments to homeowners for the STAR Property Rebate Program. School Tax Relief payments were ($2,027.4m) as of March 31, 2021.

STATE OF NEW YORK
PROPRIETARY FUNDS
COMBINED STATEMENT OF CASH RECEIPTS, DISBURSEMENTS AND CHANGES IN FUND BALANCES
(amounts in millions)

EXHIBIT B

	ENTERPRISE		INTERNAL SERVICE		TOTAL PROPRIETARY FUNDS				YEAR OVER YEAR	
	MONTH OF MAR. 2021	12 MOS. ENDED MAR. 31, 2021	MONTH OF MAR. 2021	12 MOS. ENDED MAR. 31, 2021	MONTH OF MAR. 2021	12 MOS. ENDED MAR. 31, 2021	MONTH OF MAR. 2020	12 MOS. ENDED MAR. 31, 2020	$ Increase/ (Decrease)	% Increase/ Decrease
RECEIPTS:										
Miscellaneous Receipts	$ 6.0	$ 67.7	$ 145.5	$ 522.8	$ 151.5	$ 590.5	$ 68.5	$ 670.0	$ (79.5)	-11.9%
Federal Receipts	6,144.4	57,050.0	-	-	6,144.4	57,050.0	1.2	16.8	57,033.2	339,483.3%
Unemployment Taxes	494.9	15,133.8	-	-	494.9	15,133.8	357.4	2,284.7	12,849.1	562.4%
Total Receipts	**6,645.3**	**72,251.5**	**145.5**	**522.8**	**6,790.8**	**72,774.3**	**427.1**	**2,971.5**	**69,802.8**	**2,349.1%**
DISBURSEMENTS:										
Departmental Operations:										
Personal Service	1.1	12.5	10.9	134.6	12.0	147.1	12.0	143.3	3.8	2.7%
Non-Personal Service	5.1	52.7	105.1	520.3	110.2	573.0	82.4	546.7	26.3	4.8%
General State Charges	0.1	1.7	3.7	60.2	3.8	61.9	3.9	57.9	4.0	6.9%
Unemployment Benefits	6,536.2	72,071.7	-	-	6,536.2	72,071.7	359.9	2,303.4	69,768.3	3,028.9%
Total Disbursements	**6,542.5**	**72,138.6**	**119.7**	**715.1**	**6,662.2**	**72,853.7**	**458.2**	**3,051.3**	**69,802.4**	**2,287.6%**
Excess (Deficiency) of Receipts Over Disbursements	**102.8**	**112.9**	**25.8**	**(192.3)**	**128.6**	**(79.4)**	**(31.1)**	**(79.8)**	**0.4**	**0.5%**
OTHER FINANCING SOURCES (USES):										
Transfers from Other Funds	-	3.0	38.3	133.8	38.3	136.8	37.6	110.1	26.7	24.3%
Transfers to Other Funds	-	-	(4.1)	(7.5)	(4.1)	(7.5)	(17.7)	(22.0)	(14.5)	-65.9%
Total Other Financing Sources (Uses)	**-**	**3.0**	**34.2**	**126.3**	**34.2**	**129.3**	**19.9**	**88.1**	**41.2**	**46.8%**
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	**102.8**	**115.9**	**60.0**	**(66.0)**	**162.8**	**49.9**	**(11.2)**	**8.3**	**41.6**	**501.2%**
Beginning Fund Balances (Deficits)	**42.8**	**29.7**	**(423.5)**	**(297.5)**	**(380.7)**	**(267.8)**	**(256.6)**	**(276.1)**	**8.3**	**3.0%**
Ending Fund Balances (Deficits)	**$ 145.6**	**$ 145.6**	**$ (363.5)**	**$ (363.5)**	**$ (217.9)**	**$ (217.9)**	**$ (267.8)**	**$ (267.8)**	**$ 49.9**	**18.6%**

STATE OF NEW YORK
TRUST FUNDS
COMBINED STATEMENT OF CASH RECEIPTS, DISBURSEMENTS AND CHANGES IN FUND BALANCES
(amounts in millions)

EXHIBIT C

	PENSION		PRIVATE PURPOSE		TOTAL TRUST FUNDS				YEAR OVER YEAR	
	MONTH OF MAR. 2021	12 MOS. ENDED MAR. 31, 2021	MONTH OF MAR. 2021	12 MOS. ENDED MAR. 31, 2021	MONTH OF MAR. 2021	12 MOS. ENDED MAR. 31, 2021	MONTH OF MAR. 2020	12 MOS. ENDED MAR. 31, 2020	$ Increase/ (Decrease)	% Increase/ Decrease
RECEIPTS:										
Miscellaneous Receipts	$ 37.8	$ 151.0	$ 0.1	$ 0.5	$ 37.9	$ 151.5	$ 22.0	$ 146.7	$ 4.8	3.3%
Total Receipts	37.8	151.0	0.1	0.5	37.9	151.5	22.0	146.7	4.8	3.3%
DISBURSEMENTS:										
Departmental Operations:										
Personal Service	11.1	77.6	-	0.2	11.1	77.8	7.9	70.6	7.2	10.2%
Non-Personal Service	20.4	32.5	-	-	20.4	32.5	2.7	34.4	(1.9)	-5.5%
General State Charges	1.8	39.8	-	0.1	1.8	39.9	1.9	38.7	1.2	3.1%
Total Disbursements	33.3	149.9	-	0.3	33.3	150.2	12.5	143.7	6.5	4.5%
Excess (Deficiency) of Receipts Over Disbursements	4.5	1.1	0.1	0.2	4.6	1.3	9.5	3.0	(1.7)	-56.7%
OTHER FINANCING SOURCES (USES):										
Transfers from Other Funds	-	-	-	-	-	-	-	-	-	0.0%
Transfers to Other Funds	-	-	-	-	-	-	-	-	-	0.0%
Total Other Financing Sources (Uses)	-	-	-	-	-	-	-	-	-	0.0%
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	4.5	1.1	0.1	0.2	4.6	1.3	9.5	3.0	(1.7)	-56.7%
Beginning Fund Balances (Deficits)	(4.5)	(1.1)	14.4	14.3	9.9	13.2	3.7	10.2	3.0	29.4%
Ending Fund Balances (Deficits)	$ -	$ -	$ 14.5	$ 14.5	$ 14.5	$ 14.5	$ 13.2	$ 13.2	$ 1.3	9.8%

STATE OF NEW YORK
BUDGETARY BASIS - FINANCIAL PLAN AND ACTUAL
FISCAL YEAR 2020-2021
FOR TWELVE MONTHS ENDED MARCH 31, 2021
(amounts in millions)

EXHIBIT D

	ALL GOVERNMENTAL FUNDS				
	Enacted Financial Plan (*)	Updated Financial Plan (**)	Actual	Actual Over/ (Under) Enacted Financial Plan	Actual Over/ (Under) Updated Financial Plan
RECEIPTS:					
Taxes:					
Personal Income	$ 49,046.0	$ 53,042.0	$ 54,966.7	$ 5,920.7	$ 1,924.7
Consumption/Use	15,474.0	16,001.0	16,117.4	643.4	116.4
Business	8,957.0	8,178.0	8,792.4	(164.6)	614.4
Other	2,066.0	2,125.0	2,499.3	433.3	374.3
Miscellaneous Receipts	30,669.0	31,707.0	30,772.2	103.2	(934.8)
Federal Receipts	72,833.0	84,096.0	78,152.4	5,319.4	(5,943.6)
Total Receipts	**179,045.0**	**195,149.0**	**191,300.4**	**12,255.4**	**(3,848.6)**
DISBURSEMENTS:					
Local Assistance Grants	127,427.0	142,324.0	134,771.4	7,344.4	(7,552.6)
Departmental Operations	21,222.0	22,807.0	22,167.7	945.7	(639.3)
General State Charges	8,646.0	8,619.0	9,259.5	613.5	640.5
Debt Service	10,471.0	12,035.0	13,298.5	2,827.5	1,263.5
Capital Projects	9,752.0	8,545.0	7,090.0	(2,662.0)	(1,455.0)
Total Disbursements	**177,518.0**	**194,330.0**	**186,587.1**	**9,069.1**	**(7,742.9)**
Excess (Deficiency) of Receipts over Disbursements	**1,527.0**	**819.0**	**4,713.3**	**3,186.3**	**3,894.3**
OTHER FINANCING SOURCES (USES):					
Bond and Note Proceeds, net	850.0	365.0	202.6	(647.4)	(162.4)
Transfers from Other Funds	38,689.0	36,671.0	37,288.8	(1,400.2)	617.8
Transfers to Other Funds	(38,644.0)	(36,622.0)	(37,540.9)	(1,103.1)	918.9
Total Other Financing Sources (Uses)	**895.0**	**414.0**	**(49.5)**	**(944.5)**	**(463.5)**
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	**2,422.0**	**1,233.0**	**4,663.8**	**2,241.8**	**3,430.8**
Fund Balances (Deficits) at April 1	**14,284.0**	**14,283.0**	**14,284.8**	**0.8**	**1.8**
Fund Balances (Deficits) at March 31, 2021	**$ 16,706.0**	**$ 15,516.0**	**$ 18,948.6**	**$ 2,242.6**	**$ 3,432.6**

(*) Source: 2020-21 Enacted Financial Plan dated April 25, 2020.
(**) Source: 2021-22 Executive Budget with 30-day amendments dated February 22, 2021.

STATE OF NEW YORK
BUDGETARY BASIS - FINANCIAL PLAN AND ACTUAL
FISCAL YEAR 2020-2021
FOR TWELVE MONTHS ENDED MARCH 31, 2021
(amounts in millions)

EXHIBIT D

	STATE OPERATING FUNDS (***)				
	Enacted Financial Plan (*)	Updated Financial Plan (**)	Actual	Actual Over/ (Under) Enacted Financial Plan	Actual Over/ (Under) Updated Financial Plan
RECEIPTS:					
Taxes:					
Personal Income	$ 49,046.0	$ 53,042.0	$ 54,966.7	$ 5,920.7	$ 1,924.7
Consumption/Use	14,917.0	15,489.0	15,596.8	679.8	107.8
Business	8,372.0	7,627.0	8,256.5	(115.5)	629.5
Other	1,947.0	2,006.0	2,380.2	433.2	374.2
Miscellaneous Receipts	22,390.0	22,988.0	25,109.4	2,719.4	2,121.4
Federal Receipts	51.0	51.0	60.6	9.6	9.6
Total Receipts	**96,723.0**	**101,203.0**	**106,370.2**	**9,647.2**	**5,167.2**
DISBURSEMENTS:					
Local Assistance Grants	61,660.0	66,672.0	65,086.9	3,426.9	(1,585.1)
Departmental Operations	19,107.0	18,077.0	18,005.8	(1,101.2)	(71.2)
General State Charges	8,296.0	7,146.0	7,917.9	(378.1)	771.9
Debt Service	10,338.0	11,891.0	13,196.3	2,858.3	1,305.3
Capital Projects	-	-	-	-	-
Total Disbursements	**99,401.0**	**103,786.0**	**104,206.9**	**4,805.9**	**420.9**
Excess (Deficiency) of Receipts over Disbursements	**(2,678.0)**	**(2,583.0)**	**2,163.3**	**4,841.3**	**4,746.3**
OTHER FINANCING SOURCES (USES):					
Transfers from Other Funds	34,785.0	33,308.0	33,030.7 (****)	(1,754.3)	(277.3)
Transfers to Other Funds	(35,076.0)	(32,950.0)	(34,470.4) (****)	(605.6)	1,520.4
Total Other Financing Sources (Uses)	**(291.0)**	**358.0**	**(1,439.7)**	**(1,148.7)**	**(1,797.7)**
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	**(2,969.0)**	**(2,225.0)**	**723.6**	**3,692.6**	**2,948.6**
Fund Balances (Deficits) at April 1	**14,408.0**	**14,407.0**	**14,408.3**	**0.3**	**1.3**
Fund Balances (Deficits) at March 31, 2021	**$ 11,439.0**	**$ 12,182.0**	**$ 15,131.9**	**$ 3,692.9**	**$ 2,949.9**

(*) Source: 2020-21 Enacted Financial Plan dated April 25, 2020.
(**) Source: 2021-22 Executive Budget with 30-day amendments dated February 22, 2021.
(***) State Operating Funds are comprised of the General Fund, State Special Revenue Funds supported by activities from dedicated revenue sources (including operating transfers from Federal Funds) and Debt Service Funds.
(****) Eliminations between Special Revenue - State and Federal Funds are not included.

STATE OF NEW YORK
BUDGETARY BASIS - FINANCIAL PLAN AND ACTUAL
FISCAL YEAR 2020-2021
FOR TWELVE MONTHS ENDED MARCH 31, 2021
(amounts in millions)

EXHIBIT D

	GENERAL FUND				
	Enacted Financial Plan (*)	Updated Financial Plan (**)	Actual	Actual Over/ (Under) Enacted Financial Plan	Actual Over/ (Under) Updated Financial Plan
RECEIPTS:					
Taxes:					
Personal Income	$ 22,450.0	$ 24,491.0	$ 25,456.0	$ 3,006.0	$ 965.0
Consumption/Use	6,934.0	7,196.0	7,249.5	315.5	53.5
Business	6,506.0	5,921.0	6,420.5	(85.5)	499.5
Other	1,115.0	1,225.0	1,548.8	433.8	323.8
Miscellaneous Receipts	6,373.0	6,913.0	7,515.3	1,142.3	602.3
Federal Receipts	-	-	0.4	0.4	0.4
Transfers From:					
PIT / ECET in excess of Revenue Bond Debt Service	19,154.0	18,068.0	18,578.0	(576.0)	510.0
Sales Tax in excess of LGAC / STRBF Debt Service	5,050.0	5,337.0	4,515.6	(534.4)	(821.4)
Real Estate Taxes in excess of CW/CA Debt Service	781.0	730.0	782.7	1.7	52.7
All Other	2,579.0	3,159.0	2,245.1	(333.9)	(913.9)
Total Receipts and Other Financing Sources	**70,942.0**	**73,040.0**	**74,311.9**	**3,369.9**	**1,271.9**
DISBURSEMENTS:					
Local Assistance Grants	46,400.0	52,011.0	48,980.8	2,580.8	(3,030.2)
Departmental Operations	11,655.0	10,615.0	10,104.7	(1,550.3)	(510.3)
General State Charges	7,249.0	6,084.0	7,031.6	(217.4)	947.6
Transfers To:					
Debt Service	1,810.0	309.0	326.0	(1,484.0)	17.0
Capital Projects	3,512.0	2,983.0	4,539.8	1,027.8	1,556.8
State Share Medicaid	-	-	270.9 (***)	270.9	270.9
SUNY Operations	1,273.0	1,239.0	1,229.5	(43.5)	(9.5)
Other Purposes	1,270.0	1,506.0	1,612.0	342.0	106.0
Total Disbursements and Other Financing Uses	**73,169.0**	**74,747.0**	**74,095.3**	**926.3**	**(651.7)**
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	**(2,227.0)**	**(1,707.0)**	**216.6**	**2,443.6**	**1,923.6**
Fund Balances (Deficits) at April 1	**8,944.0**	**8,944.0**	**8,944.2**	**0.2**	**0.2**
Fund Balances (Deficits) at March 31, 2021	**$ 6,717.0**	**$ 7,237.0**	**$ 9,160.8**	**$ 2,443.8**	**$ 1,923.8**

(*) Source: 2020-21 Enacted Financial Plan dated April 25, 2020.
(**) Source: 2021-22 Executive Budget with 30-day amendments dated February 22, 2021.
(***) Includes transfers to the Department of Health Income Fund and the State University Income Fund representing payments for patients residing in State-Operated Health and State University facilities.

STATE OF NEW YORK
BUDGETARY BASIS - FINANCIAL PLAN AND ACTUAL
FISCAL YEAR 2020-2021
FOR TWELVE MONTHS ENDED MARCH 31, 2021
(amounts in millions)

EXHIBIT D

	SPECIAL REVENUE FUNDS						
	Enacted Financial Plan (*)	Updated Financial Plan (**)	Actual	Eliminations	Total	Actual Over/ (Under) Enacted Financial Plan	Actual Over/ (Under) Updated Financial Plan
RECEIPTS:							
Taxes:							
Personal Income	$ 2,073.0	$ 2,030.0	$ 2,027.4	$ -	$ 2,027.4	$ (45.6)	$ (2.6)
Consumption/Use	1,691.0	1,715.0	1,712.9	-	1,712.9	21.9	(2.1)
Business	1,866.0	1,706.0	1,836.0	-	1,836.0	(30.0)	130.0
Miscellaneous Receipts	15,866.0	15,921.0	17,375.2	-	17,375.2	1,509.2	1,454.2
Federal Receipts	70,577.0	81,840.0	76,123.9	-	76,123.9	5,546.9	(5,716.1)
Transfers from Other Funds (***)	2,535.0	2,744.0	3,685.4	(597.0)	3,088.4	553.4	344.4
Total Receipts and Other Financing Sources	**94,608.0**	**105,956.0**	**102,760.8**	**(597.0)**	**102,163.8**	**7,555.8**	**(3,792.2)**
DISBURSEMENTS:							
Local Assistance Grants	76,045.0	84,906.0	80,549.8	-	80,549.8	4,504.8	(4,356.2)
Departmental Operations	9,523.0	12,148.0	12,001.6	-	12,001.6	2,478.6	(146.4)
General State Charges	1,397.0	2,535.0	2,227.9	-	2,227.9	830.9	(307.1)
Debt Service	133.0	144.0	102.2	-	102.2	(30.8)	(41.8)
Capital Projects	-	3.0	-	-	-	-	(3.0)
Transfers to Other Funds (***)	2,852.0	3,422.0	3,324.6	(597.0)	2,727.6	(124.4)	(694.4)
Total Disbursements and Other Financing Uses	**89,950.0**	**103,158.0**	**98,206.1**	**(597.0)**	**97,609.1**	**7,659.1**	**(5,548.9)**
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	**4,658.0**	**2,798.0**	**4,554.7**	**-**	**4,554.7**	**(103.3)**	**1,756.7**
Fund Balances (Deficits) at April 1	**6,312.0**	**6,311.0**	**6,312.1**	**-**	**6,312.1**	**0.1**	**1.1**
Fund Balances (Deficits) at March 31, 2021	**$ 10,970.0**	**$ 9,109.0**	**$ 10,866.8**	**$ -**	**$ 10,866.8**	**$ (103.2)**	**$ 1,757.8**

(*) Source: 2020-21 Enacted Financial Plan dated April 25, 2020.
(**) Source: 2021-22 Executive Budget with 30-day amendments dated February 22, 2021.
(***) Actual reported transfer amounts include eliminations between Special Revenue - State and Federal Funds.

STATE OF NEW YORK
BUDGETARY BASIS - FINANCIAL PLAN AND ACTUAL
FISCAL YEAR 2020-2021
FOR TWELVE MONTHS ENDED MARCH 31, 2021
(amounts in millions)

EXHIBIT D

	STATE SPECIAL REVENUE FUNDS					FEDERAL SPECIAL REVENUE FUNDS				
	Enacted Financial Plan (*)	Updated Financial Plan (**)	Actual	Actual Over/ (Under) Enacted Financial Plan	Actual Over/ (Under) Updated Financial Plan	Enacted Financial Plan (*)	Updated Financial Plan (**)	Actual	Actual Over/ (Under) Enacted Financial Plan	Actual Over/ (Under) Updated Financial Plan
RECEIPTS:										
Taxes:										
Personal Income	$ 2,073.0	$ 2,030.0	$ 2,027.4	$ (45.6)	$ (2.6)	$ -	$ -	$ -	$ -	$ -
Consumption/Use	1,691.0	1,715.0	1,712.9	21.9	(2.1)	-	-	-	-	-
Business	1,866.0	1,706.0	1,836.0	(30.0)	130.0	-	-	-	-	-
Miscellaneous Receipts	15,636.0	15,701.0	17,193.2	1,557.2	1,492.2	230.0	220.0	182.0	(48.0)	(38.0)
Federal Receipts	(23.0)	(23.0)	(13.6)	9.4	9.4	70,600.0	81,863.0	76,137.5	5,537.5	(5,725.5)
Transfers from Other Funds	2,535.0	2,744.0	3,685.4	1,150.4	941.4	-	-	-	-	-
Total Receipts and Other Financing Sources	**23,778.0**	**23,873.0**	**26,441.3**	**2,663.3**	**2,568.3**	**70,830.0**	**82,083.0**	**76,319.5**	**5,489.5**	**(5,763.5)**
DISBURSEMENTS:										
Local Assistance Grants	15,260.0	14,661.0	16,106.1	846.1	1,445.1	60,785.0	70,245.0	64,443.7	3,658.7	(5,801.3)
Departmental Operations	7,408.0	7,418.0	7,839.7	431.7	421.7	2,115.0	4,730.0	4,161.9	2,046.9	(568.1)
General State Charges	1,047.0	1,062.0	886.3	(160.7)	(175.7)	350.0	1,473.0	1,341.6	991.6	(131.4)
Debt Service	-	-	-	-	-	133.0	144.0	102.2	(30.8)	(41.8)
Capital Projects	-	-	-	-	-	-	3.0	-	-	(3.0)
Transfers to Other Funds	798.0	1,245.0	1,103.8	305.8	(141.2)	2,054.0	2,177.0	2,220.8	166.8	43.8
Total Disbursements and Other Financing Uses	**24,513.0**	**24,386.0**	**25,935.9**	**1,422.9**	**1,549.9**	**65,437.0**	**78,772.0**	**72,270.2**	**6,833.2**	**(6,501.8)**
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	**(735.0)**	**(513.0)**	**505.4**	**1,240.4**	**1,018.4**	**5,393.0**	**3,311.0**	**4,049.3**	**(1,343.7)**	**738.3**
Fund Balances (Deficits) at April 1	**5,401.0**	**5,400.0**	**5,400.7**	**(0.3)**	**0.7**	**911.0**	**911.0**	**911.4**	**0.4**	**0.4**
Fund Balances (Deficits) at March 31, 2021	**$ 4,666.0**	**$ 4,887.0**	**$ 5,906.1**	**$ 1,240.1**	**$ 1,019.1**	**$ 6,304.0**	**$ 4,222.0**	**$ 4,960.7**	**$ (1,343.3)**	**$ 738.7**

(*) Source: 2020-21 Enacted Financial Plan dated April 25, 2020.
(**) Source: 2021-22 Executive Budget with 30-day amendments dated February 22, 2021.

STATE OF NEW YORK
BUDGETARY BASIS - FINANCIAL PLAN AND ACTUAL
FISCAL YEAR 2020-2021
FOR TWELVE MONTHS ENDED MARCH 31, 2021
(amounts in millions)

EXHIBIT D

	DEBT SERVICE FUNDS				
	Enacted Financial Plan (*)	Updated Financial Plan (**)	Actual	Actual Over/ (Under) Enacted Financial Plan	Actual Over/ (Under) Updated Financial Plan
RECEIPTS:					
Taxes:					
Personal Income	$ 24,523.0	$ 26,521.0	$ 27,483.3	$ 2,960.3	$ 962.3
Consumption/Use	6,292.0	6,578.0	6,634.4	342.4	56.4
Other	832.0	781.0	831.4	(0.6)	50.4
Miscellaneous Receipts	381.0	374.0	400.9	19.9	26.9
Federal Receipts	74.0	74.0	73.8	(0.2)	(0.2)
Transfers from Other Funds	4,686.0	3,270.0	3,223.9	(1,462.1)	(46.1)
Total Receipts and Other Financing Sources	**36,788.0**	**37,598.0**	**38,647.7**	**1,859.7**	**1,049.7**
DISBURSEMENTS:					
Departmental Operations	44.0	44.0	61.4	17.4	17.4
Debt Service	10,338.0	11,891.0	13,196.3	2,858.3	1,305.3
Transfers to Other Funds	26,413.0	25,668.0	25,388.4	(1,024.6)	(279.6)
Total Disbursements and Other Financing Uses	**36,795.0**	**37,603.0**	**38,646.1**	**1,851.1**	**1,043.1**
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	**(7.0)**	**(5.0)**	**1.6**	**8.6**	**6.6**
Fund Balances (Deficits) at April 1	**63.0**	**63.0**	**63.4**	**0.4**	**0.4**
Fund Balances (Deficits) at March 31, 2021	**$ 56.0**	**$ 58.0**	**$ 65.0**	**$ 9.0**	**$ 7.0**

(*) Source: 2020-21 Enacted Financial Plan dated April 25, 2020.
(**) Source: 2021-22 Executive Budget with 30-day amendments dated February 22, 2021.

STATE OF NEW YORK
BUDGETARY BASIS - FINANCIAL PLAN AND ACTUAL
FISCAL YEAR 2020-2021
FOR TWELVE MONTHS ENDED MARCH 31, 2021
(amounts in millions)

EXHIBIT D

	CAPITAL PROJECTS FUNDS						
	Enacted Financial Plan (*)	Updated Financial Plan (**)	Actual	Eliminations	Total	Actual Over/ (Under) Enacted Financial Plan	Actual Over/ (Under) Updated Financial Plan
RECEIPTS:							
Taxes:							
Consumption/Use	$ 557.0	$ 512.0	$ 520.6	$ -	$ 520.6	$ (36.4)	$ 8.6
Business	585.0	551.0	535.9	-	535.9	(49.1)	(15.1)
Other	119.0	119.0	119.1	-	119.1	0.1	0.1
Miscellaneous Receipts	8,049.0	8,499.0	5,480.8	-	5,480.8	(2,568.2)	(3,018.2)
Federal Receipts	2,182.0	2,182.0	1,954.3	-	1,954.3	(227.7)	(227.7)
Bond and Note Proceeds, net	850.0	365.0	202.6	-	202.6	(647.4)	(162.4)
Transfers from Other Funds	3,904.0	3,363.0	5,187.5	(332.4)	4,855.1	951.1	1,492.1
Total Receipts and Other Financing Sources	**16,246.0**	**15,591.0**	**14,000.8**	**(332.4)**	**13,668.4**	**(2,577.6)**	**(1,922.6)**
DISBURSEMENTS:							
Local Assistance Grants	4,982.0	5,407.0	5,240.8	-	5,240.8	258.8	(166.2)
Capital Projects	9,752.0	8,542.0	7,090.0	-	7,090.0	(2,662.0)	(1,452.0)
Transfers to Other Funds	1,514.0	1,495.0	1,779.1	(332.4)	1,446.7	(67.3)	(48.3)
Total Disbursements and Other Financing Uses	**16,248.0**	**15,444.0**	**14,109.9**	**(332.4)**	**13,777.5**	**(2,470.5)**	**(1,666.5)**
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	**(2.0)**	**147.0**	**(109.1)**	**-**	**(109.1)**	**(107.1)**	**(256.1)**
Fund Balances (Deficits) at April 1	**(1,035.0)**	**(1,035.0)**	**(1,034.9)**	**-**	**(1,034.9)**	**0.1**	**0.1**
Fund Balances (Deficits) at March 31, 2021	**$ (1,037.0)**	**$ (888.0)**	**$ (1,144.0)**	**$ -**	**$ (1,144.0)**	**$ (107.0)**	**$ (256.0)**

(*) Source: 2020-21 Enacted Financial Plan dated April 25, 2020.
(**) Source: 2021-22 Executive Budget with 30-day amendments dated February 22, 2021.

STATE OF NEW YORK
BUDGETARY BASIS - FINANCIAL PLAN AND ACTUAL
FISCAL YEAR 2020-2021
FOR TWELVE MONTHS ENDED MARCH 31, 2021
(amounts in millions)

EXHIBIT D

	STATE CAPITAL PROJECTS FUNDS					FEDERAL CAPITAL PROJECTS FUNDS				
	Enacted Financial Plan (*)	Updated Financial Plan (**)	Actual	Actual Over/ (Under) Enacted Financial Plan	Actual Over/ (Under) Updated Financial Plan	Enacted Financial Plan (*)	Updated Financial Plan (**)	Actual	Actual Over/ (Under) Enacted Financial Plan	Actual Over/ (Under) Updated Financial Plan
RECEIPTS:										
Taxes:										
Consumption/Use	$ 557.0	$ 512.0	$ 520.6	$ (36.4)	$ 8.6	$ -	$ -	$ -	$ -	$ -
Business	585.0	551.0	535.9	(49.1)	(15.1)	-	-	-	-	-
Other	119.0	119.0	119.1	0.1	0.1	-	-	-	-	-
Miscellaneous Receipts	8,049.0	8,499.0	5,479.9	(2,569.1)	(3,019.1)	-	-	0.9	0.9	0.9
Federal Receipts	5.0	5.0	4.2	(0.8)	(0.8)	2,177.0	2,177.0	1,950.1	(226.9)	(226.9)
Bond and Note Proceeds, net	850.0	365.0	202.6	(647.4)	(162.4)	-	-	-	-	-
Transfers from Other Funds	4,306.0	3,764.0	5,187.5	881.5	1,423.5	(402.0)	(401.0)	-	402.0	401.0
Total Receipts and Other Financing Sources	**14,471.0**	**13,815.0**	**12,049.8**	**(2,421.2)**	**(1,765.2)**	**1,775.0**	**1,776.0**	**1,951.0**	**176.0**	**175.0**
DISBURSEMENTS:										
Local Assistance Grants	4,276.0	4,701.0	4,701.9	425.9	0.9	706.0	706.0	538.9	(167.1)	(167.1)
Capital Projects	8,690.0	7,480.0	5,992.7	(2,697.3)	(1,487.3)	1,062.0	1,062.0	1,097.3	35.3	35.3
Transfers to Other Funds	1,514.0	1,495.0	1,446.7	(67.3)	(48.3)	-	-	332.4	332.4	332.4
Total Disbursements and Other Financing Uses	**14,480.0**	**13,676.0**	**12,141.3**	**(2,338.7)**	**(1,534.7)**	**1,768.0**	**1,768.0**	**1,968.6**	**200.6**	**200.6**
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	**(9.0)**	**139.0**	**(91.5)**	**(82.5)**	**(230.5)**	**7.0**	**8.0**	**(17.6)**	**(24.6)**	**(25.6)**
Fund Balances (Deficits) at April 1	**(471.0)**	**(471.0)**	**(472.2)**	**(1.2)**	**(1.2)**	**(564.0)**	**(564.0)**	**(562.7)**	**1.3**	**1.3**
Fund Balances (Deficits) at March 31, 2021	**$ (480.0)**	**$ (332.0)**	**$ (563.7)**	**$ (83.7)**	**$ (231.7)**	**$ (557.0)**	**$ (556.0)**	**$ (580.3)**	**$ (23.3)**	**$ (24.3)**

(*) Source: 2020-21 Enacted Financial Plan dated April 25, 2020.
(**) Source: 2021-22 Executive Budget with 30-day amendments dated February 22, 2021.

STATE OF NEW YORK
GOVERNMENTAL FUNDS
COMPARATIVE SCHEDULE OF TAX RECEIPTS
(amounts in millions)

EXHIBIT E

	GENERAL		SPECIAL REVENUE		DEBT SERVICE		CAPITAL PROJECTS		TOTAL GOVERNMENTAL FUNDS				YEAR OVER YEAR	
	MONTH OF MAR. 2021	12 MOS. ENDED MAR. 31, 2021	MONTH OF MAR. 2021	12 MOS. ENDED MAR. 31, 2021	MONTH OF MAR. 2021	12 MOS. ENDED MAR. 31, 2021	MONTH OF MAR. 2021	12 MOS. ENDED MAR. 31, 2021	MONTH OF MAR. 2021	12 MOS. ENDED MAR. 31, 2021	MONTH OF MAR. 2020	12 MOS. ENDED MAR. 31, 2020	$ Increase/ (Decrease)	% Increase/ Decrease
PERSONAL INCOME TAX														
Withholding	$ 5,083.7	$ 44,218.3	$ -	$ -	$ -	$ -	$ -	$ -	$ 5,083.7	$ 44,218.3	$ 4,549.3	$ 43,118.3	$ 1,100.0	2.6%
Estimated Payments	127.4	16,441.2	-	-	-	-	-	-	127.4	16,441.2	125.2	17,025.0	(583.8)	-3.4%
Returns	265.3	3,606.0	-	-	-	-	-	-	265.3	3,606.0	194.3	3,482.1	123.9	3.6%
State/City Offsets	(104.2)	(1,192.3)	-	-	-	-	-	-	(104.2)	(1,192.3)	(71.4)	(1,117.3)	75.0	6.7%
Other (Assessments/LLC)	193.7	1,263.9	-	-	-	-	-	-	193.7	1,263.9	144.7	1,357.4	(93.5)	-6.9%
Gross Receipts	**5,565.9**	**64,337.1**	**-**	**-**	**-**	**-**	**-**	**-**	**5,565.9**	**64,337.1**	**4,942.1**	**63,865.5**	**471.6**	**0.7%**
Transfers to School Tax Relief Fund	(18.8)	(2,027.4)	18.8	2,027.4	-	-	-	-	-	-	-	-	-	0.0%
Transfers to Revenue Bond Tax Fund	(1,665.8)	(27,483.3)	-	-	1,665.8	27,483.3	-	-	-	-	-	-	-	0.0%
Less: Refunds Issued	(2,234.2)	(9,370.4)	-	-	-	-	-	-	(2,234.2)	(9,370.4)	(1,195.9)	(10,206.1)	(835.7)	-8.2%
Total	**1,647.1**	**25,456.0**	**18.8**	**2,027.4**	**1,665.8**	**27,483.3**	**-**	**-**	**3,331.7**	**54,966.7**	**3,746.2**	**53,659.4**	**1,307.3**	**2.4%**
CONSUMPTION/USE TAXES														
Sales and Use	624.9	6,638.8	48.5	873.0	624.9	6,634.4	-	-	1,298.3	14,146.2	1,296.8	15,932.0	(1,785.8)	-11.2%
Auto Rental	-	-	3.4	12.1	-	-	10.1	51.9	13.5	64.0	23.5	107.1	(43.1)	-40.2%
Cigarette/Tobacco Products	17.5	309.7	41.1	695.9	-	-	-	-	58.6	1,005.6	71.1	1,035.2	(29.6)	-2.9%
Medical Marihuana	-	-	0.4	8.4	-	-	-	-	0.4	8.4	0.4	5.7	2.7	47.4%
Motor Fuel	-	-	7.3	90.7	-	-	27.1	334.3	34.4	425.0	38.4	511.8	(86.8)	-17.0%
Alcoholic Beverage	18.7	271.0	-	-	-	-	-	-	18.7	271.0	12.6	259.0	12.0	4.6%
Highway Use	-	-	0.1	0.5	-	-	9.3	134.4	9.4	134.9	10.0	141.4	(6.5)	-4.6%
Vapor Excise	-	-	6.8	32.3	-	-	-	-	6.8	32.3	10.4	10.4	21.9	210.6%
Opioid Excise	0.1	30.0	-	-	-	-	-	-	0.1	30.0	-	19.4	10.6	54.6%
Total	**661.2**	**7,249.5**	**107.6**	**1,712.9**	**624.9**	**6,634.4**	**46.5**	**520.6**	**1,440.2**	**16,117.4**	**1,463.2**	**18,022.0**	**(1,904.6)**	**-10.6%**
BUSINESS TAXES														
Corporation Franchise	992.7	3,890.1	267.8	1,064.0	-	-	-	-	1,260.5	4,954.1	1,205.9	4,824.3	129.8	2.7%
Corporation and Utilities	157.2	417.3	40.4	122.6	-	-	3.3	10.2	200.9	550.1	290.1	704.8	(154.7)	-21.9%
Insurance	758.5	1,975.6	78.8	214.1	-	-	-	-	837.3	2,189.7	777.7	2,305.9	(116.2)	-5.0%
Bank	10.8	137.5	2.8	19.0	-	-	-	-	13.6	156.5	5.5	0.1	156.4	156,400.0%
Petroleum Business	-	-	33.6	416.3	-	-	42.9	525.7	76.5	942.0	85.6	1,160.7	(218.7)	-18.8%
Total	**1,919.2**	**6,420.5**	**423.4**	**1,836.0**	**-**	**-**	**46.2**	**535.9**	**2,388.8**	**8,792.4**	**2,364.8**	**8,995.8**	**(203.4)**	**-2.3%**
OTHER TAXES														
Real Property Gains	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Estate and Gift	84.7	1,537.4	-	-	-	-	-	-	84.7	1,537.4	47.7	1,070.2	467.2	43.7%
Pari-Mutuel	0.2	9.7	-	-	-	-	-	-	0.2	9.7	0.1	13.9	(4.2)	-30.2%
Real Estate Transfer	-	-	-	-	80.7	829.8	11.9	119.1	92.6	948.9	87.6	1,123.8	(174.9)	-15.6%
Racing and Exhibitions	-	0.1	-	-	-	-	-	-	-	0.1	-	2.0	(1.9)	-95.0%
Employer Compensation Expense Tax	0.1	1.6	-	-	0.1	1.6	-	-	0.2	3.2	0.2	2.0	1.2	60.0%
Total	**85.0**	**1,548.8**	**-**	**-**	**80.8**	**831.4**	**11.9**	**119.1**	**177.7**	**2,499.3**	**135.6**	**2,211.9**	**287.4**	**13.0%**
Total Tax Receipts	**$ 4,312.5**	**$ 40,674.8**	**$ 549.8**	**$ 5,576.3**	**$ 2,371.5**	**$ 34,949.1**	**$ 104.6**	**$ 1,175.6**	**$ 7,338.4**	**$ 82,375.8**	**$ 7,709.8**	**$ 82,889.1**	**$ (513.3)**	**-0.6%**

STATE OF NEW YORK
GOVERNMENTAL FUNDS (*)
STATEMENT OF CASH FLOW
FISCAL YEAR 2020-2021
(amounts in millions)

	2020 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2021 JANUARY	FEBRUARY	MARCH	12 Months Ended March 31 2021	2020	$ Increase/ (Decrease)	% Increase/ Decrease
Beginning Fund Balance	$ 14,284.8	$ 20,544.4	$ 17,650.3	$ 20,623.6	$ 28,288.0	$ 27,457.2	$ 25,800.5	$ 28,991.8	$ 27,525.0	$ 29,610.1	$ 35,571.9	$ 37,015.1	$ 14,284.8	$ 9,975.0	$ 4,309.8	43.2%
RECEIPTS:																
Taxes:																
Personal Income Tax:																
Withholdings	3,187.3	2,928.3	3,096.3	3,400.3	2,876.6	3,147.2	2,919.5	3,032.3	4,648.0	4,626.5	5,272.3	5,083.7	44,218.3	43,118.3	1,100.0	2.6%
Estimated Payments	211.6	70.9	1,493.0	6,329.0	121.3	2,510.0	176.8	99.6	335.5	4,810.2	155.9	127.4	16,441.2	17,025.0	(583.8)	-3.4%
Returns	339.1	124.7	260.9	1,765.1	69.3	83.4	528.0	51.4	32.3	22.5	64.0	265.3	3,606.0	3,482.1	123.9	3.6%
State/City Offsets	(69.8)	(39.8)	(58.4)	(187.0)	(28.1)	(71.7)	(444.9)	(65.9)	(58.3)	(3.5)	(60.7)	(104.2)	(1,192.3)	(1,117.3)	75.0	6.7%
Other (Assessments/LLC)	107.4	60.1	63.0	103.1	75.0	97.9	133.3	90.9	119.3	116.1	104.1	193.7	1,263.9	1,357.4	(93.5)	-6.9%
Gross Receipts	**3,775.6**	**3,144.2**	**4,854.8**	**11,410.5**	**3,114.1**	**5,766.8**	**3,312.7**	**3,208.3**	**5,076.8**	**9,571.8**	**5,535.6**	**5,565.9**	**64,337.1**	**63,865.5**	**471.6**	**0.7%**
Transfers to School Tax Relief Fund	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Transfers to Revenue Bond Tax Fund	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Refunds Issued	(1,709.4)	(945.0)	(486.3)	(1,179.8)	(391.1)	(495.4)	(781.1)	(633.5)	(244.0)	(118.8)	(151.8)	(2,234.2)	(9,370.4)	(10,206.1)	(835.7)	-8.2%
Total Personal Income Tax	**2,066.2**	**2,199.2**	**4,368.5**	**10,230.7**	**2,723.0**	**5,271.4**	**2,531.6**	**2,574.8**	**4,832.8**	**9,453.0**	**5,383.8**	**3,331.7**	**54,966.7**	**53,659.4**	**1,307.3**	**2.4%**
Consumption/Use Taxes:																
Sales and Use	869.4	790.8	1,210.0	1,132.4	1,146.4	1,540.2	1,140.6	1,166.8	1,500.2	1,280.7	1,070.4	1,298.3	14,146.2	15,932.0	(1,785.8)	-11.2%
Auto Rental	0.5	(1.4)	13.5	2.1	2.1	14.5	0.1	-	19.1	-	-	13.5	64.0	107.1	(43.1)	-40.2%
Cigarette/Tobacco Products	98.8	74.0	86.1	97.8	87.0	103.4	81.0	86.0	87.5	89.1	56.3	58.6	1,005.6	1,035.2	(29.6)	-2.9%
Medical Marijuana	0.5	0.6	0.7	0.6	0.8	0.7	0.8	0.7	0.8	1.0	0.8	0.4	8.4	5.7	2.7	47.4%
Motor Fuel	30.3	21.4	31.5	39.7	42.5	41.6	39.6	39.7	42.4	30.0	31.9	34.4	425.0	511.8	(86.8)	-17.0%
Alcoholic Beverage	26.7	21.4	22.8	26.0	23.1	23.3	23.7	22.9	18.6	29.9	13.9	18.7	271.0	259.0	12.0	4.6%
Highway Use	11.6	8.9	12.4	12.4	10.3	12.7	10.6	11.5	12.7	11.6	10.8	9.4	134.9	141.4	(6.5)	-4.6%
Vapor Excise	-	0.1	11.7	(0.4)	(0.1)	7.4	-	-	6.8	-	-	6.8	32.3	10.4	21.9	210.6%
Opioid Excise	7.2	-	-	8.9	-	0.3	6.1	0.1	0.2	7.1	-	0.1	30.0	19.4	10.6	54.6%
Total Consumption/Use Taxes	**1,045.0**	**915.8**	**1,388.7**	**1,319.5**	**1,312.1**	**1,744.1**	**1,302.5**	**1,327.7**	**1,688.3**	**1,449.4**	**1,184.1**	**1,440.2**	**16,117.4**	**18,022.0**	**(1,904.6)**	**-10.6%**
Business Taxes:																
Corporation Franchise	254.4	(134.7)	557.5	563.2	29.7	1,000.7	115.0	90.1	1,079.1	46.0	92.6	1,260.5	4,954.1	4,824.3	129.8	2.7%
Corporation and Utilities	15.6	(11.0)	94.9	33.3	2.3	106.3	10.2	0.5	102.0	10.0	(14.9)	200.9	550.1	704.8	(154.7)	-21.9%
Insurance	70.2	6.5	364.0	33.3	16.0	391.7	23.1	9.2	421.6	(4.9)	21.7	837.3	2,189.7	2,305.9	(116.2)	-5.0%
Bank	7.4	2.6	91.8	0.7	46.5	15.3	0.4	(0.7)	1.6	(10.1)	(12.6)	13.6	156.5	0.1	156.4	156,400.0%
Petroleum Business	68.3	39.8	85.7	87.9	87.0	98.4	89.5	82.8	81.2	76.4	68.5	76.5	942.0	1,160.7	(218.7)	-18.8%
Total Business Taxes	**415.9**	**(96.8)**	**1,193.9**	**718.4**	**181.5**	**1,612.4**	**238.2**	**181.9**	**1,685.5**	**117.4**	**155.3**	**2,388.8**	**8,792.4**	**8,995.8**	**(203.4)**	**-2.3%**
Other Taxes:																
Real Property Gains	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Estate and Gift	72.7	52.0	147.3	147.7	55.8	91.3	135.1	131.5	123.1	293.5	202.7	84.7	1,537.4	1,070.2	467.2	43.7%
Pari-Mutuel	0.7	0.2	0.8	1.0	1.4	0.7	1.6	0.8	0.9	0.6	0.8	0.2	9.7	13.9	(4.2)	-30.2%
Real Estate Transfer	57.2	48.4	49.8	64.9	68.3	82.2	77.8	90.8	107.1	122.8	87.0	92.6	948.9	1,123.8	(174.9)	-15.6%
Racing and Exhibitions	0.1	-	-	-	-	-	-	-	-	-	-	-	0.1	2.0	(1.9)	-95.0%
Employer Compensation Expense Tax	0.2	(0.2)	0.2	0.2	0.2	0.2	0.3	0.2	0.8	0.7	0.2	0.2	3.2	2.0	1.2	60.0%
Total Other Taxes	**130.9**	**100.4**	**198.1**	**213.8**	**125.7**	**174.4**	**214.8**	**223.3**	**231.9**	**417.6**	**290.7**	**177.7**	**2,499.3**	**2,211.9**	**287.4**	**13.0%**
Total Taxes	**3,658.0**	**3,118.6**	**7,149.2**	**12,482.4**	**4,342.3**	**8,802.3**	**4,287.1**	**4,307.7**	**8,438.5**	**11,437.4**	**7,013.9**	**7,338.4**	**82,375.8**	**82,889.1**	**(513.3)**	**-0.6%**
Miscellaneous Receipts:																
Abandoned Property:																
Abandoned Property	1.6	0.7	0.8	0.9	25.9	86.0	11.4	215.9	31.4	6.0	1.3	209.5	591.4	462.3	129.1	27.9%
Bottle Bill	0.7	0.3	20.6	18.4	0.1	43.2	2.1	0.7	21.4	0.8	-	30.6	138.9	117.4	21.5	18.3%
Assessments:																
Business	54.6	66.0	88.0	78.5	48.6	72.7	97.6	19.0	97.4	63.6	40.6	84.3	810.9	908.0	(97.1)	-10.7%
Medical Care	571.2	466.8	506.1	442.6	463.6	520.0	509.0	477.1	507.2	461.0	491.7	489.3	5,905.6	6,404.1	(498.5)	-7.8%
Public Utilities	0.1	-	0.4	4.4	0.4	45.4	(10.1)	(1.6)	0.7	-	6.4	42.6	88.7	90.7	(2.0)	-2.2%
Other	-	0.1	-	-	-	-	-	0.1	-	-	-	0.1	0.3	1.1	(0.8)	-72.7%
Fees, Licenses and Permits:																
Alcohol Beverage Control Licensing	2.2	2.9	2.9	4.9	4.8	5.4	5.0	3.8	3.0	4.9	4.9	6.6	51.3	73.0	(21.7)	-29.7%
Audit Fees	-	-	0.1	0.2	0.7	0.7	0.1	0.3	0.1	-	-	-	2.2	2.6	(0.4)	-15.4%
Business/Professional:	69.0	71.9	116.0	55.6	55.3	128.6	66.5	47.1	114.5	79.7	47.5	143.4	995.1	1,009.3	(14.2)	-1.4%
Civil	4.3	3.3	5.5	3.1	88.8	(9.8)	14.6	33.4	28.5	16.7	(9.4)	20.2	199.2	299.6	(100.4)	-33.5%
Criminal	0.6	0.4	0.2	1.6	-	0.2	0.5	0.1	0.2	0.7	0.1	0.2	4.8	9.3	(4.5)	-48.4%
Motor Vehicle	(29.8)	-	187.3	200.9	103.6	128.9	105.0	114.2	114.4	110.7	100.2	168.6	1,304.0	1,369.5	(65.5)	-4.8%
Recreational/Consumer	43.0	-	36.6	34.2	43.3	155.0	85.3	60.7	57.8	46.3	83.5	113.2	758.9	933.0	(174.1)	-18.7%
Fines, Penalties and Forfeitures	100.9	233.7	18.3	201.3	6.9	16.3	80.1	154.8	11.0	40.3	23.0	37.6	924.2	1,386.3	(462.1)	-33.3%
Gaming:																
Casino	-	-	-	20.8	-	8.0	33.4	10.0	10.2	27.1	10.5	17.4	137.4	272.5	(135.1)	-49.6%
Lottery	157.0	142.1	173.8	202.2	195.9	199.3	168.3	171.0	215.6	256.5	186.9	450.2	2,518.8	2,533.2	(14.4)	-0.6%
Video Lottery	-	0.6	-	(0.4)	-	33.8	59.6	54.2	58.0	58.9	55.4	89.8	409.9	943.7	(533.8)	-56.6%
Interest Earnings	32.9	18.2	9.2	5.2	6.7	6.2	5.8	5.9	5.9	6.4	11.0	6.4	119.8	430.6	(310.8)	-72.2%
Receipts from Public Authorities:																
Bond Proceeds	1,122.1	1,019.1	3,842.4	269.9	32.4	384.7	895.8	1.0	982.3	3.9	289.3	187.8	9,030.7	5,408.7	3,622.0	67.0%
Cost Recovery Assessments	-	-	-	-	-	-	8.9	-	-	-	27.7	25.8	62.4	42.1	20.3	48.2%
Issuance Fees	0.5	1.4	25.5	24.7	-	1.8	30.2	0.5	21.6	4.3	4.6	18.1	133.2	89.4	43.8	49.0%
Non Bond Related	9.0	0.3	0.5	4.3	2.3	0.1	0.8	-	28.6	17.7	1.0	8.7	73.3	139.4	(66.1)	-47.4%
Receipts from Municipalities	9.3	2.5	3.9	3.8	2.0	4.7	3.5	3.5	5.1	4.1	1.4	286.2	330.0	104.7	225.3	215.2%
Rentals	(4.6)	(41.7)	1.8	4.2	7.8	35.9	61.8	12.5	8.5	31.0	53.3	44.0	214.5	405.6	(191.1)	-47.1%

STATE OF NEW YORK
GOVERNMENTAL FUNDS (*)
STATEMENT OF CASH FLOW
FISCAL YEAR 2020-2021
(amounts in millions)

	2020 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2021 JANUARY	FEBRUARY	MARCH	12 Months Ended March 31 2021	2020	$ Increase/ (Decrease)	% Increase/ Decrease
Revenues of State Departments:																
Administrative Recoveries	25.0	8.8	25.4	9.1	8.9	25.6	24.5	8.8	22.6	8.3	2.5	18.4	187.9	228.8	(40.9)	-17.9%
Commissions	0.6	(0.3)	0.2	0.2	-	1.3	0.2	0.9	14.2	1.2	0.7	7.2	26.4	27.5	(1.1)	-4.0%
Commissions - Asset Conversion	-	-	-	-	-	-	-	-	68.0	50.0	-	-	118.0	468.0	(350.0)	-74.8%
Gifts, Grants and Donations	0.6	2.2	27.9	0.7	1.9	2.1	5.9	0.7	3.4	0.3	(0.0)	0.8	46.5	43.3	3.2	7.4%
Indirect Cost Recoveries	5.5	5.4	7.4	5.9	6.1	5.7	5.0	5.5	8.7	6.3	5.9	7.2	74.6	90.8	(16.2)	-17.8%
Patient/Client Care Reimbursement	526.5	372.8	350.6	227.5	221.8	79.0	291.4	187.1	371.4	208.1	208.2	221.4	3,265.8	2,650.4	615.4	23.2%
Rebates	7.8	15.3	13.2	17.7	12.7	12.7	9.8	13.8	12.7	10.9	11.1	12.4	150.1	167.7	(17.6)	-10.5%
Restitution and Settlements	7.0	0.6	0.6	0.3	42.7	5.3	3.6	1.3	2.0	22.6	1.7	12.9	100.6	37.5	63.1	168.3%
Student Loans	6.1	1.4	3.2	6.4	6.8	3.7	6.0	3.6	4.9	2.2	(1.0)	1.3	44.6	66.7	(22.1)	-33.1%
All Other	(19.5)	10.7	30.0	75.6	46.8	65.5	75.7	42.8	41.3	50.6	112.6	14.4	546.5	684.6	(138.1)	-20.2%
Sales	0.5	0.6	2.6	3.0	0.6	0.7	1.8	0.8	0.6	1.2	1.9	1.2	15.5	23.7	(8.2)	-34.6%
Tuition	(67.5)	33.6	56.9	50.9	113.3	375.7	172.8	49.7	(32.8)	150.4	384.0	103.2	1,390.2	1,541.3	(151.1)	-9.8%
Total Miscellaneous Receipts	**2,637.2**	**2,439.7**	**5,557.9**	**1,978.6**	**1,550.7**	**2,444.4**	**2,831.9**	**1,699.2**	**2,840.4**	**1,752.7**	**2,158.5**	**2,881.0**	**30,772.2**	**29,466.4**	**1,305.8**	**4.4%**
Federal Receipts	10,863.1	4,207.0	7,520.2	5,423.2	4,591.2	10,136.1	7,609.2	4,274.8	7,541.3	5,908.6	3,260.2	6,817.5	78,152.4	65,080.0	13,072.4	20.1%
Total Receipts	**17,158.3**	**9,765.3**	**20,227.3**	**19,884.2**	**10,484.2**	**21,382.8**	**14,728.2**	**10,281.7**	**18,820.2**	**19,098.7**	**12,432.6**	**17,036.9**	**191,300.4**	**177,435.5**	**13,864.9**	**7.8%**
DISBURSEMENTS:																
Local Assistance Grants:																
Education	1,149.2	4,132.7	3,964.9	799.2	1,070.5	4,157.3	1,350.8	1,804.3	2,665.5	2,974.1	1,873.0	11,094.3	37,035.8	37,846.6	(810.8)	-2.1%
Environment and Recreation	4.5	3.7	14.0	12.9	20.6	15.6	7.7	10.0	20.8	36.6	9.5	49.7	205.6	426.1	(220.5)	-51.7%
General Government	48.5	17.7	543.7	67.4	109.0	3,937.2	322.4	159.0	333.9	93.6	79.5	304.8	6,016.7	2,172.0	3,844.7	177.0%
Public Health:																
Medicaid	5,410.3	5,099.6	6,082.5	5,357.6	5,292.8	7,004.6	4,286.1	4,812.6	6,709.3	5,415.5	3,082.8	5,047.1	63,600.8	63,490.5	110.3	0.2%
Other Public Health	602.5	638.5	980.4	1,028.7	701.7	1,078.5	815.2	774.3	864.5	1,028.5	823.5	1,484.2	10,820.5	10,448.7	371.8	3.6%
Public Safety	95.3	63.6	163.3	265.2	71.2	151.0	634.7	56.8	180.7	95.7	314.8	266.1	2,358.4	1,853.7	504.7	27.2%
Public Welfare	211.8	217.7	388.7	850.8	364.1	1,487.5	479.0	394.6	426.5	436.7	925.2	1,532.8	7,715.4	7,593.5	121.9	1.6%
Support and Regulate Business	48.1	15.1	35.9	26.7	84.5	22.3	64.2	54.2	144.9	31.4	145.8	187.6	860.7	1,114.3	(253.6)	-22.8%
Transportation	90.0	90.9	72.6	825.5	509.6	618.1	745.0	956.3	1,009.2	275.1	89.8	875.4	6,157.5	5,173.1	984.4	19.0%
Total Local Assistance Grants	**7,660.2**	**10,279.5**	**12,246.0**	**9,234.0**	**8,224.0**	**18,472.1**	**8,705.1**	**9,022.1**	**12,355.3**	**10,387.2**	**7,343.9**	**20,842.0**	**134,771.4**	**130,118.5**	**4,652.9**	**3.6%**
Departmental Operations:																
Personal Service	1,569.5	1,135.9	1,116.4	1,278.8	1,053.9	1,527.0	1,119.2	1,090.2	1,298.5	1,078.8	1,042.1	1,481.7	14,792.0	14,726.5	65.5	0.4%
Non-Personal Service	584.1	417.0	504.4	577.1	605.9	756.7	620.6	492.9	641.0	637.7	575.0	963.3	7,375.7	7,477.1	(101.4)	-1.4%
General State Charges	535.2	395.0	2,621.5	471.9	414.0	616.1	511.7	500.1	474.5	567.8	596.8	1,554.9	9,259.5	8,756.8	502.7	5.7%
Debt Service, Including Payments on Financing Agreements	36.5	23.5	28.9	10.7	337.6	841.8	39.8	30.4	1,332.0	10.6	878.8	9,727.9	13,298.5	4,916.1	8,382.4	170.5%
Capital Projects	509.8	406.0	681.8	641.4	667.7	706.7	533.6	609.8	630.5	453.5	547.2	702.0	7,090.0	6,985.3	104.7	1.5%
Total Disbursements	**10,895.3**	**12,656.9**	**17,199.0**	**12,213.9**	**11,303.1**	**22,920.4**	**11,530.0**	**11,745.5**	**16,731.8**	**13,135.6**	**10,983.8**	**35,271.8**	**186,587.1**	**172,980.3**	**13,606.8**	**7.9%**
Excess (Deficiency) of Receipts over Disbursements	**6,263.0**	**(2,891.6)**	**3,028.3**	**7,670.3**	**(818.9)**	**(1,537.6)**	**3,198.2**	**(1,463.8)**	**2,088.4**	**5,963.1**	**1,448.8**	**(18,234.9)**	**4,713.3**	**4,455.2**	**258.1**	**5.8%**
OTHER FINANCING SOURCES (USES):																
Bond and Note Proceeds (net)	-	-	-	-	-	-	-	-	-	-	-	202.6	202.6	-	202.6	100.0%
Transfers from Other Funds	1,063.1	1,858.4	4,185.9	5,857.2	1,882.7	3,319.7	1,882.5	2,244.8	3,331.2	2,997.9	3,703.5	4,961.9	37,288.8	45,464.7	(8,175.9)	-18.0%
Transfers to Other Funds	(1,066.5)	(1,860.9)	(4,240.9)	(5,863.1)	(1,894.6)	(3,438.8)	(1,889.4)	(2,247.8)	(3,334.5)	(2,999.2)	(3,709.1)	(4,996.1)	(37,540.9)	(45,610.1)	(8,069.2)	-17.7%
Total Other Financing Sources (Uses)	**(3.4)**	**(2.5)**	**(55.0)**	**(5.9)**	**(11.9)**	**(119.1)**	**(6.9)**	**(3.0)**	**(3.3)**	**(1.3)**	**(5.6)**	**168.4**	**(49.5)**	**(145.4)**	**95.9**	**66.0%**
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	**6,259.6**	**(2,894.1)**	**2,973.3**	**7,664.4**	**(830.8)**	**(1,656.7)**	**3,191.3**	**(1,466.8)**	**2,085.1**	**5,961.8**	**1,443.2**	**(18,066.5)**	**4,663.8**	**4,309.8**	**354.0**	**8.2%**
Ending Fund Balance	**$ 20,544.4**	**$ 17,650.3**	**$ 20,623.6**	**$ 28,288.0**	**$ 27,457.2**	**$ 25,800.5**	**$ 28,991.8**	**$ 27,525.0**	**$ 29,610.1**	**$ 35,571.9**	**$ 37,015.1**	**$ 18,948.6**	**$ 18,948.6**	**$ 14,284.8**	**$ 4,663.8**	**32.6%**

(*) Governmental Funds includes General, Special Revenue, Debt Service and Capital Projects Funds combined.

STATE OF NEW YORK
GOVERNMENTAL FUNDS
STATEMENT OF CASH FLOW - STATE OPERATING (*)
FISCAL YEAR 2020-2021
(amounts in millions)

	2020 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2021 JANUARY	FEBRUARY	MARCH	12 Months Ended March 31 2021	2020	$ Increase/ (Decrease)	% Increase/ Decrease
Beginning Fund Balance	$ 14,408.3	$ 16,171.6	$ 13,542.7	$ 14,605.2	$ 22,665.9	$ 22,416.0	$ 22,583.0	$ 23,825.2	$ 22,940.5	$ 25,029.5	$ 31,846.5	$ 32,882.8	$ 14,408.3	$ 12,361.3	$ 2,047.0	16.6%
RECEIPTS:																
Taxes:																
Personal Income Tax:																
Withholdings	3,187.3	2,928.3	3,096.3	3,400.3	2,876.6	3,147.2	2,919.5	3,032.3	4,648.0	4,626.5	5,272.3	5,083.7	44,218.3	43,118.3	1,100.0	2.6%
Estimated Payments	211.6	70.9	1,493.0	6,329.0	121.3	2,510.0	176.8	99.6	335.5	4,810.2	155.9	127.4	16,441.2	17,025.0	(583.8)	-3.4%
Returns	339.1	124.7	260.9	1,765.1	69.3	83.4	528.0	51.4	32.3	22.5	64.0	265.3	3,606.0	3,482.1	123.9	3.6%
State/City Offsets	(69.8)	(39.8)	(58.4)	(187.0)	(28.1)	(71.7)	(444.9)	(65.9)	(58.3)	(3.5)	(60.7)	(104.2)	(1,192.3)	(1,117.3)	75.0	6.7%
Other (Assessments/LLC)	107.4	60.1	63.0	103.1	75.0	97.9	133.3	90.9	119.3	116.1	104.1	193.7	1,263.9	1,357.4	(93.5)	-6.9%
Gross Receipts	**3,775.6**	**3,144.2**	**4,854.8**	**11,410.5**	**3,114.1**	**5,766.8**	**3,312.7**	**3,208.3**	**5,076.8**	**9,571.8**	**5,535.6**	**5,565.9**	**64,337.1**	**63,865.5**	**471.6**	**0.7%**
Transfers to School Tax Relief Fund	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Transfers to Revenue Bond Tax Fund	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Refunds Issued	(1,709.4)	(945.0)	(486.3)	(1,179.8)	(391.1)	(495.4)	(781.1)	(633.5)	(244.0)	(118.8)	(151.8)	(2,234.2)	(9,370.4)	(10,206.1)	(835.7)	-8.2%
Total Personal Income Tax	**2,066.2**	**2,199.2**	**4,368.5**	**10,230.7**	**2,723.0**	**5,271.4**	**2,531.6**	**2,574.8**	**4,832.8**	**9,453.0**	**5,383.8**	**3,331.7**	**54,966.7**	**53,659.4**	**1,307.3**	**2.4%**
Consumption/Use Taxes:																
Sales and Use	869.4	790.8	1,210.0	1,132.4	1,146.4	1,540.2	1,140.6	1,166.8	1,500.2	1,280.7	1,070.4	1,298.3	14,146.2	15,932.0	(1,785.8)	-11.2%
Auto Rental	(0.1)	(1.5)	3.4	2.1	2.1	(1.1)	-	-	3.8	-	-	3.4	12.1	19.6	(7.5)	-38.3%
Cigarette/Tobacco Products	98.8	74.0	86.1	97.8	87.0	103.4	81.0	86.0	87.5	89.1	56.3	58.6	1,005.6	1,035.2	(29.6)	-2.9%
Medical Marijuana	0.5	0.6	0.7	0.6	0.8	0.7	0.8	0.7	0.8	1.0	0.8	0.4	8.4	5.7	2.7	47.4%
Motor Fuel	6.5	4.7	6.6	8.5	9.1	8.9	8.3	8.6	8.7	6.5	7.0	7.3	90.7	108.2	(17.5)	-16.2%
Alcoholic Beverage	26.7	21.4	22.8	26.0	23.1	23.3	23.7	22.9	18.6	29.9	13.9	18.7	271.0	259.0	12.0	4.6%
Highway Use	-	0.1	-	-	0.1	-	-	0.1	0.1	-	-	0.1	0.5	0.5	-	0.0%
Vapor Excise	-	0.1	11.7	(0.4)	(0.1)	7.4	-	-	6.8	-	-	6.8	32.3	10.4	21.9	210.6%
Opioid Excise	7.2	-	-	8.9	-	0.3	6.1	0.1	0.2	7.1	-	0.1	30.0	19.4	10.6	54.6%
Total Consumption/Use Taxes	**1,009.0**	**890.2**	**1,341.3**	**1,275.9**	**1,268.5**	**1,683.1**	**1,260.5**	**1,285.2**	**1,626.7**	**1,414.3**	**1,148.4**	**1,393.7**	**15,596.8**	**17,390.0**	**(1,793.2)**	**-10.3%**
Business Taxes:																
Corporation Franchise	254.4	(134.7)	557.5	563.2	29.7	1,000.7	115.0	90.1	1,079.1	46.0	92.6	1,260.5	4,954.1	4,824.3	129.8	2.7%
Corporation and Utilities	15.5	(9.5)	94.3	29.7	2.2	104.1	9.5	0.5	99.7	9.8	(13.5)	197.6	539.9	690.2	(150.3)	-21.8%
Insurance	70.2	6.5	364.0	33.3	16.0	391.7	23.1	9.2	421.6	(4.9)	21.7	837.3	2,189.7	2,305.9	(116.2)	-5.0%
Bank	7.4	2.6	91.8	0.7	46.5	15.3	0.4	(0.7)	1.6	(10.1)	(12.6)	13.6	156.5	0.1	156.4	156,400.0%
Petroleum Business	30.3	17.6	37.7	38.8	38.7	43.2	39.6	36.5	36.4	33.7	30.2	33.6	416.3	508.9	(92.6)	-18.2%
Total Business Taxes	**377.8**	**(117.5)**	**1,145.3**	**665.7**	**133.1**	**1,555.0**	**187.6**	**135.6**	**1,638.4**	**74.5**	**118.4**	**2,342.6**	**8,256.5**	**8,329.4**	**(72.9)**	**-0.9%**
Other Taxes:																
Real Property Gains	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Estate and Gift	72.7	52.0	147.3	147.7	55.8	91.3	135.1	131.5	123.1	293.5	202.7	84.7	1,537.4	1,070.2	467.2	43.7%
Pari-Mutuel	0.7	0.2	0.8	1.0	1.4	0.7	1.6	0.8	0.9	0.6	0.8	0.2	9.7	13.9	(4.2)	-30.2%
Real Estate Transfer	57.2	48.4	37.9	53.0	56.4	70.3	65.8	78.9	95.2	110.9	75.1	80.7	829.8	1,004.7	(174.9)	-17.4%
Racing and Exhibitions	0.1	-	-	-	-	-	-	-	-	-	-	-	0.1	2.0	(1.9)	-95.0%
Employer Compensation Expense Tax	0.2	(0.2)	0.2	0.2	0.2	0.2	0.3	0.2	0.8	0.7	0.2	0.2	3.2	2.0	1.2	60.0%
Total Other Taxes	**130.9**	**100.4**	**186.2**	**201.9**	**113.8**	**162.5**	**202.8**	**211.4**	**220.0**	**405.7**	**278.8**	**165.8**	**2,380.2**	**2,092.8**	**287.4**	**13.7%**
Total Taxes	**3,583.9**	**3,072.3**	**7,041.3**	**12,374.2**	**4,238.4**	**8,672.0**	**4,182.5**	**4,207.0**	**8,317.9**	**11,347.5**	**6,929.4**	**7,233.8**	**81,200.2**	**81,471.6**	**(271.4)**	**-0.3%**
Miscellaneous Receipts:																
Abandoned Property:																
Abandoned Property	1.6	0.7	0.8	0.9	25.9	86.0	11.4	215.9	31.4	6.0	1.3	209.5	591.4	462.3	129.1	27.9%
Bottle Bill	0.7	0.3	20.6	(4.6)	0.1	43.2	2.1	0.7	21.4	0.8	-	13.9	99.2	94.4	4.8	5.1%
Assessments:																
Business	42.7	21.8	82.4	69.8	33.4	65.5	88.9	6.0	90.8	55.8	28.2	77.8	663.1	731.3	(68.2)	-9.3%
Medical Care	571.2	466.8	506.1	442.6	463.6	520.0	509.0	477.1	507.2	461.0	491.7	489.3	5,905.6	6,404.1	(498.5)	-7.8%
Public Utilities	0.1	-	0.4	4.4	0.4	45.4	(10.1)	(1.6)	0.7	-	6.4	42.6	88.7	90.7	(2.0)	-2.2%
Other	-	0.1	-	-	-	-	-	0.1	-	-	-	0.1	0.3	1.1	(0.8)	-72.7%
Fees, Licenses and Permits:																
Alcohol Beverage Control Licensing	2.2	2.9	2.9	4.9	4.8	5.4	5.0	3.8	3.0	4.9	4.9	6.6	51.3	73.0	(21.7)	-29.7%
Audit Fees	-	-	0.1	0.2	0.7	0.7	0.1	0.3	0.1	-	-	-	2.2	2.6	(0.4)	-15.4%
Business/Professional	67.2	69.8	114.4	54.3	46.0	125.5	64.0	44.8	113.9	79.3	45.7	143.3	988.2	977.6	(9.4)	-1.0%
Civil	4.3	3.3	5.5	3.1	88.8	(9.8)	14.6	33.4	28.5	16.7	(9.4)	20.2	199.2	299.6	(100.4)	-33.5%
Criminal	0.6	0.4	0.2	1.6	-	0.2	0.5	0.1	0.2	0.7	0.1	0.2	4.8	9.3	(4.5)	-48.4%
Motor Vehicle	(82.0)	(33.2)	144.2	140.3	40.2	71.5	44.3	53.9	54.8	50.8	43.9	120.8	649.5	640.8	8.7	1.4%
Recreational/Consumer	43.0	-	36.1	34.2	32.3	147.3	85.2	60.7	57.0	46.2	83.5	111.2	736.7	902.1	(165.4)	-18.3%
Fines, Penalties and Forfeitures	98.6	231.6	16.1	199.9	3.5	13.8	78.1	153.2	9.6	37.5	21.1	35.1	898.1	1,353.9	(455.8)	-33.7%
Gaming:																
Casino	-	-	-	20.8	-	8.0	33.4	10.0	10.2	27.1	10.5	17.4	137.4	272.5	(135.1)	-49.6%
Lottery	157.0	142.1	173.8	202.2	195.9	199.3	168.3	171.0	215.6	256.5	186.9	450.2	2,518.8	2,533.2	(14.4)	-0.6%
Video Lottery	-	0.6	-	(0.4)	-	33.8	59.6	54.2	58.0	58.9	55.4	89.8	409.9	943.7	(533.8)	-56.6%
Interest Earnings	29.2	13.8	7.7	4.3	5.4	5.3	5.0	5.0	5.1	5.6	10.2	5.8	102.4	388.8	(286.4)	-73.7%
Receipts from Public Authorities:																
Bond Proceeds	-	1,000.0	3,500.0	-	-	-	-	-	0.4	-	-	-	4,500.4	-	4,500.4	100.0%
Cost Recovery Assessments	-	-	-	-	-	-	8.9	-	-	-	27.7	25.8	62.4	42.1	20.3	48.2%

STATE OF NEW YORK
GOVERNMENTAL FUNDS
STATEMENT OF CASH FLOW - STATE OPERATING (*)
FISCAL YEAR 2020-2021
(amounts in millions)

	2020 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2021 JANUARY	FEBRUARY	MARCH	12 Months Ended March 31 2021	2020	$ Increase/ (Decrease)	% Increase/ Decrease
Issuance Fees	0.5	1.4	25.5	24.7	-	1.8	30.2	0.5	21.6	4.3	4.6	18.1	133.2	89.4	43.8	49.0%
Non Bond Related	8.9	0.3	-	4.2	1.8	-	0.4	-	28.2	2.5	0.1	(4.0)	42.4	85.1	(42.7)	-50.2%
Receipts from Municipalities	9.3	2.5	3.8	3.8	1.7	4.7	3.4	3.5	5.1	4.0	1.1	286.1	329.0	100.6	228.4	227.0%
Rentals	(5.1)	(42.8)	(0.3)	2.0	4.0	34.7	61.0	11.6	7.6	29.4	51.5	42.5	196.1	394.7	(198.6)	-50.3%
Revenues of State Departments:																
Administrative Recoveries	25.0	8.8	25.4	9.1	8.9	25.6	24.5	8.8	22.6	8.3	2.5	18.4	187.9	228.8	(40.9)	-17.9%
Commissions	0.6	(0.3)	0.2	0.2	-	1.3	0.2	0.9	14.2	1.2	0.7	7.2	26.4	27.5	(1.1)	-4.0%
Commissions - Asset Conversion	-	-	-	-	-	-	-	-	68.0	50.0	-	-	118.0	468.0	(350.0)	-74.8%
Gifts, Grants and Donations	0.6	1.5	22.1	0.7	0.6	0.5	5.1	0.3	1.7	0.1	1.3	0.5	35.0	8.8	26.2	297.7%
Indirect Cost Recoveries	5.5	5.4	7.4	5.9	6.1	5.7	5.0	5.5	8.7	6.3	5.9	7.2	74.6	88.2	(13.6)	-15.4%
Patient/Client Care Reimbursement	526.5	372.8	350.6	227.5	221.8	79.0	291.4	187.1	371.4	208.1	208.2	221.4	3,265.8	2,650.4	615.4	23.2%
Rebates	0.1	6.6	5.7	10.2	5.3	5.0	2.3	6.4	4.8	3.7	3.4	4.8	58.3	67.4	(9.1)	-13.5%
Restitution and Settlements	3.9	0.4	0.6	0.2	41.8	3.9	0.3	0.9	1.2	21.7	0.8	12.0	87.7	24.9	62.8	252.2%
Student Loans	6.1	1.4	3.2	6.4	6.8	3.7	6.0	3.6	4.9	2.2	(1.0)	1.3	44.6	66.7	(22.1)	-33.1%
All Other	(20.1)	8.6	18.0	75.3	45.5	51.9	70.9	41.5	39.2	63.1	111.1	10.4	515.4	617.9	(102.5)	-16.6%
Sales	0.5	0.6	2.6	3.0	0.5	0.6	1.8	0.8	0.6	1.1	1.9	1.2	15.2	17.8	(2.6)	-14.6%
Tuition	(67.5)	33.6	56.9	50.9	113.3	375.7	172.8	49.7	(32.8)	150.4	384.0	103.2	1,390.2	1,541.3	(151.1)	-9.8%
Total Miscellaneous Receipts	**1,431.2**	**2,321.8**	**5,133.0**	**1,602.6**	**1,399.1**	**1,955.2**	**1,843.6**	**1,609.7**	**1,774.9**	**1,664.2**	**1,784.2**	**2,589.9**	**25,109.4**	**22,700.6**	**2,408.8**	**10.6%**
Federal Receipts	-	-	4.1	(4.1)	2.5	49.9	12.9	(33.5)	12.5	-	7.6	8.7	60.6	61.2	(0.6)	-1.0%
Total Receipts	**5,015.1**	**5,394.1**	**12,178.4**	**13,972.7**	**5,640.0**	**10,677.1**	**6,039.0**	**5,783.2**	**10,105.3**	**13,011.7**	**8,721.2**	**9,832.4**	**106,370.2**	**104,233.4**	**2,136.8**	**2.1%**
DISBURSEMENTS:																
Local Assistance Grants:																
Education	754.3	4,065.1	3,409.1	563.6	856.2	3,861.8	1,200.2	1,559.5	2,583.1	2,609.0	1,583.9	10,863.9	33,909.7	34,142.3	(232.6)	-0.7%
Environment and Recreation	0.1	0.3	-	0.2	(0.1)	0.4	0.7	0.2	0.3	0.2	1.1	1.9	5.3	7.8	(2.5)	-32.1%
General Government	16.1	4.6	500.0	20.4	33.6	62.5	77.7	18.1	184.1	32.0	28.4	197.7	1,175.2	1,267.9	(92.7)	-7.3%
Public Health:																
Medicaid	757.7	1,757.8	2,497.4	2,043.9	1,856.5	2,135.5	1,416.5	1,503.7	2,122.7	1,469.1	1,191.2	540.6	19,292.6	23,241.2	(3,948.6)	-17.0%
Other Public Health	93.5	72.8	307.2	486.1	118.0	438.1	249.0	234.8	263.3	340.2	242.3	767.4	3,612.7	3,591.0	21.7	0.6%
Public Safety	20.9	11.1	4.5	16.9	29.4	34.6	17.3	(6.7)	66.0	16.9	32.1	86.7	329.7	363.6	(33.9)	-9.3%
Public Welfare	77.0	158.2	61.4	578.1	57.1	426.8	70.3	222.3	168.2	152.8	403.4	569.5	2,945.1	2,322.9	622.2	26.8%
Support and Regulate Business	4.6	7.1	9.0	5.4	11.0	10.9	9.7	26.2	10.9	10.0	15.0	48.7	168.5	227.3	(58.8)	-25.9%
Transportation	61.7	41.7	18.5	726.3	357.9	243.1	256.4	446.1	781.3	70.4	15.8	628.9	3,648.1	3,488.3	159.8	4.6%
Total Local Assistance Grants	**1,785.9**	**6,118.7**	**6,807.1**	**4,440.9**	**3,319.6**	**7,213.7**	**3,297.8**	**4,004.2**	**6,179.9**	**4,700.6**	**3,513.2**	**13,705.3**	**65,086.9**	**68,652.3**	**(3,565.4)**	**-5.2%**
Departmental Operations:																
Personal Service	1,494.8	1,084.9	955.5	1,114.0	990.1	1,443.0	987.4	976.5	(98.0)	963.9	1,043.0	1,399.5	12,354.6	14,089.7	(1,735.1)	-12.3%
Non-Personal Service	543.3	372.9	335.8	(308.2)	384.5	528.7	432.0	417.2	435.2	456.5	1,308.3	745.0	5,651.2	6,078.2	(427.0)	-7.0%
General State Charges	512.5	370.3	2,582.6	388.2	343.3	592.6	434.0	470.8	362.1	(217.5)	548.3	1,530.7	7,917.9	8,423.2	(505.3)	-6.0%
Debt Service, Including Payments on Financing Agreements	36.5	23.5	28.9	10.7	337.6	841.8	39.8	30.4	1,229.8	10.6	878.8	9,727.9	13,196.3	4,916.1	8,280.2	168.4%
Capital Projects	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Total Disbursements	**4,373.0**	**7,970.3**	**10,709.9**	**5,645.6**	**5,375.1**	**10,619.8**	**5,191.0**	**5,899.1**	**8,109.0**	**5,914.1**	**7,291.6**	**27,108.4**	**104,206.9**	**102,159.5**	**2,047.4**	**2.0%**
Excess (Deficiency) of Receipts over Disbursements	**642.1**	**(2,576.2)**	**1,468.5**	**8,327.1**	**264.9**	**57.3**	**848.0**	**(115.9)**	**1,996.3**	**7,097.6**	**1,429.6**	**(17,276.0)**	**2,163.3**	**2,073.9**	**89.4**	**4.3%**
OTHER FINANCING SOURCES (USES):																
Transfers from Other Funds (**)	1,939.1	1,674.9	3,796.1	5,367.5	1,326.8	3,217.1	2,037.9	1,382.5	3,217.2	2,534.9	3,137.3	3,399.4	33,030.7	42,428.5	(9,397.8)	-22.1%
Transfers to Other Funds (**)	(817.9)	(1,727.6)	(4,202.1)	(5,633.9)	(1,841.6)	(3,107.4)	(1,643.7)	(2,151.3)	(3,124.5)	(2,815.5)	(3,530.6)	(3,874.3)	(34,470.4)	(42,455.4)	(7,985.0)	-18.8%
Total Other Financing Sources (Uses)	**1,121.2**	**(52.7)**	**(406.0)**	**(266.4)**	**(514.8)**	**109.7**	**394.2**	**(768.8)**	**92.7**	**(280.6)**	**(393.3)**	**(474.9)**	**(1,439.7)**	**(26.9)**	**(1,412.8)**	**-5,252.0%**
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	**1,763.3**	**(2,628.9)**	**1,062.5**	**8,060.7**	**(249.9)**	**167.0**	**1,242.2**	**(884.7)**	**2,089.0**	**6,817.0**	**1,036.3**	**(17,750.9)**	**723.6**	**2,047.0**	**(1,323.4)**	**-64.7%**
Ending Fund Balance	**$ 16,171.6**	**$ 13,542.7**	**$ 14,605.2**	**$ 22,665.9**	**$ 22,416.0**	**$ 22,583.0**	**$ 23,825.2**	**$ 22,940.5**	**$ 25,029.5**	**$ 31,846.5**	**$ 32,882.8**	**$ 15,131.9**	**$ 15,131.9**	**$ 14,408.3**	**$ 723.6**	**5.0%**

(*) State Operating Funds are comprised of the General Fund, State Special Revenue Funds supported by activities from dedicated revenue sources (including operating transfers from Federal funds) and Debt Service Funds.
(**) Eliminations between State and Federal Special Revenue Funds are not included.

STATE OF NEW YORK
GENERAL FUND
STATEMENT OF CASH FLOW
FISCAL YEAR 2020-2021
(amounts in millions)

EXHIBIT F

	2020 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2021 JANUARY	FEBRUARY	MARCH	12 Months Ended March 31 2021	2020	$ Increase/ (Decrease)	% Increase/ Decrease
Beginning Fund Balance	$ 8,944.2	$ 10,082.5	$ 7,310.2	$ 6,863.6	$ 14,383.0	$ 13,522.7	$ 15,441.8	$ 14,930.8	$ 13,602.7	$ 16,552.4	$ 19,332.7	$ 20,330.2	$ 8,944.2	$ 7,205.7	$ 1,738.5	24.1%
RECEIPTS:																
Taxes:																
Personal Income Tax:																
Withholdings	3,187.3	2,928.3	3,096.3	3,400.3	2,876.6	3,147.2	2,919.5	3,032.3	4,648.0	4,626.5	5,272.3	5,083.7	44,218.3	43,118.3	1,100.0	2.6%
Estimated Payments	211.6	70.9	1,493.0	6,329.0	121.3	2,510.0	176.8	99.6	335.5	4,810.2	155.9	127.4	16,441.2	17,025.0	(583.8)	-3.4%
Returns	339.1	124.7	260.9	1,765.1	69.3	83.4	528.0	51.4	32.3	22.5	64.0	265.3	3,606.0	3,482.1	123.9	3.6%
State/City Offsets	(69.8)	(39.8)	(58.4)	(187.0)	(28.1)	(71.7)	(444.9)	(65.9)	(58.3)	(3.5)	(60.7)	(104.2)	(1,192.3)	(1,117.3)	75.0	6.7%
Other (Assessments/LLC)	107.4	60.1	63.0	103.1	75.0	97.9	133.3	90.9	119.3	116.1	104.1	193.7	1,263.9	1,357.4	(93.5)	-6.9%
Gross Receipts	3,775.6	3,144.2	4,854.8	11,410.5	3,114.1	5,766.8	3,312.7	3,208.3	5,076.8	9,571.8	5,535.6	5,565.9	64,337.1	63,865.5	471.6	0.7%
Transfers to School Tax Relief Fund	-	-	-	-	-	(0.1)	(0.1)	(1.4)	(35.0)	(1,972.0)	-	(18.8)	(2,027.4)	(2,183.7)	(156.3)	-7.2%
Transfers to Revenue Bond Tax Fund	(1,033.1)	(1,099.6)	(2,184.2)	(5,115.4)	(1,361.5)	(2,635.7)	(1,265.8)	(1,287.4)	(2,416.4)	(4,726.5)	(2,691.9)	(1,665.8)	(27,483.3)	(26,829.7)	653.6	2.4%
Refunds Issued	(1,709.4)	(945.0)	(486.3)	(1,179.8)	(391.1)	(495.4)	(781.1)	(633.5)	(244.0)	(118.8)	(151.8)	(2,234.2)	(9,370.4)	(10,206.1)	(835.7)	-8.2%
Total Personal Income Tax	1,033.1	1,099.6	2,184.3	5,115.3	1,361.5	2,635.6	1,265.7	1,286.0	2,381.4	2,754.5	2,691.9	1,647.1	25,456.0	24,646.0	810.0	3.3%
Consumption/Use Taxes:																
Sales and Use	394.9	369.9	572.4	530.0	536.5	748.0	511.5	545.5	707.2	598.3	499.7	624.9	6,638.8	7,446.4	(807.6)	-10.8%
Auto Rental	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Cigarette/Tobacco Products	30.0	22.7	25.9	29.0	26.6	32.4	25.3	26.9	26.5	27.8	19.1	17.5	309.7	313.0	(3.3)	-1.1%
Motor Fuel	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Alcoholic Beverage	26.7	21.4	22.8	26.0	23.1	23.3	23.7	22.9	18.6	29.9	13.9	18.7	271.0	259.0	12.0	4.6%
Highway Use	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Vapor Excise	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Opioid Excise	7.2	-	-	8.9	-	0.3	6.1	0.1	0.2	7.1	-	0.1	30.0	19.4	10.6	54.6%
Total Consumption/Use Taxes	458.8	414.0	621.1	593.9	586.2	804.0	566.6	595.4	752.5	663.1	532.7	661.2	7,249.5	8,037.8	(788.3)	-9.8%
Business Taxes:																
Corporation Franchise	197.4	(131.5)	447.4	449.2	18.0	835.0	74.3	65.6	908.1	(21.9)	55.8	992.7	3,890.1	3,791.1	99.0	2.6%
Corporation and Utilities	13.3	(2.6)	73.8	12.7	3.6	79.7	5.4	0.4	74.8	4.9	(5.9)	157.2	417.3	518.2	(100.9)	-19.5%
Insurance	63.0	6.9	325.4	28.9	15.6	348.4	21.9	8.9	379.5	(4.4)	23.0	758.5	1,975.6	2,052.6	(77.0)	-3.8%
Bank	6.1	2.0	78.9	0.7	40.4	18.4	(0.1)	(0.5)	1.1	(8.3)	(12.0)	10.8	137.5	7.9	129.6	1,640.5%
Petroleum Business	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Total Business Taxes	279.8	(125.2)	925.5	491.5	77.6	1,281.5	101.5	74.4	1,363.5	(29.7)	60.9	1,919.2	6,420.5	6,369.8	50.7	0.8%
Other Taxes:																
Real Property Gains	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Estate and Gift	72.7	52.0	147.3	147.7	55.8	91.3	135.1	131.5	123.1	293.5	202.7	84.7	1,537.4	1,070.2	467.2	43.7%
Pari-Mutuel	0.7	0.2	0.8	1.0	1.4	0.7	1.6	0.8	0.9	0.6	0.8	0.2	9.7	13.9	(4.2)	-30.2%
Real Estate Transfer	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Racing and Exhibitions	0.1	-	-	-	-	-	-	-	-	-	-	-	0.1	2.0	(1.9)	-95.0%
Employer Compensation Expense Tax	0.1	(0.1)	0.1	0.1	0.1	0.1	0.1	0.1	0.4	0.4	0.1	0.1	1.6	1.0	0.6	60.0%
Total Other Taxes	73.6	52.1	148.2	148.8	57.3	92.1	136.8	132.4	124.4	294.5	203.6	85.0	1,548.8	1,087.1	461.7	42.5%
Total Taxes	1,845.3	1,440.5	3,879.1	6,349.5	2,082.6	4,813.2	2,070.6	2,088.2	4,621.8	3,682.4	3,489.1	4,312.5	40,674.8	40,140.7	534.1	1.3%
Miscellaneous Receipts:																
Abandoned Property:																
Abandoned Property	0.4	-	-	-	25.1	84.8	10.6	215.0	30.1	5.1	-	203.6	574.7	449.7	125.0	27.8%
Bottle Bill	0.7	0.3	20.6	(4.6)	0.1	43.2	2.1	0.7	21.4	0.8	-	13.9	99.2	94.4	4.8	5.1%
Assessments:																
Business	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Medical Care	1.9	2.1	1.7	1.8	2.1	2.7	3.3	6.3	-	12.7	4.3	6.8	45.7	45.4	0.3	0.7%
Public Utilities	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Other	-	0.1	-	-	-	-	-	0.1	-	-	-	0.1	0.3	0.7	(0.4)	-57.1%
Fees, Licenses and Permits:																
Alcohol Beverage Control Licensing	2.2	2.9	2.9	4.9	4.8	5.4	5.0	3.8	3.0	4.9	4.9	6.6	51.3	73.0	(21.7)	-29.7%
Audit Fees	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Business/Professional	19.0	(0.6)	27.9	13.5	9.7	30.7	17.9	4.1	40.6	31.3	8.5	43.6	246.2	263.6	(17.4)	-6.6%
Civil	1.1	0.9	2.9	1.7	82.7	(14.5)	9.5	29.2	23.6	11.9	(13.1)	15.1	151.0	243.2	(92.2)	-37.9%
Criminal	0.1	0.1	0.1	0.1	-	0.2	0.1	0.1	0.1	0.1	-	0.2	1.2	1.8	(0.6)	-33.3%
Motor Vehicle	(100.4)	(49.0)	127.2	109.7	13.2	43.2	28.5	19.0	39.4	19.3	13.6	98.6	362.3	342.3	20.0	5.8%
Recreational/Consumer	-	-	0.1	(0.1)	0.5	3.0	1.9	1.1	1.2	1.5	-	3.7	12.9	19.2	(6.3)	-32.8%
Fines, Penalties and Forfeitures	86.9	226.0	14.7	186.1	3.3	8.2	12.8	150.4	7.1	31.9	19.7	29.7	778.8	1,088.5	(309.7)	-28.5%
Interest Earnings	15.6	5.9	1.6	0.9	1.4	1.9	1.7	1.6	1.4	1.7	2.0	2.0	37.7	174.3	(136.6)	-78.4%
Receipts from Public Authorities:																
Bond Proceeds	-	1,000.0	3,500.0	-	-	-	-	-	-	-	-	-	4,500.0	-	4,500.0	100.0%
Cost Recovery Assessments	-	-	-	-	-	-	-	-	-	-	16.2	25.8	42.0	21.7	20.3	93.5%
Issuance Fees	-	-	20.2	24.7	-	1.8	30.2	0.5	21.6	4.3	4.6	18.1	126.0	82.2	43.8	53.3%
Non Bond Related	-	-	-	-	-	-	-	-	28.2	2.2	-	(4.0)	26.4	25.4	1.0	3.9%
Receipts from Municipalities	-	-	-	-	0.1	-	-	-	-	-	-	250.0	250.1	16.8	233.3	1,388.7%
Rentals	0.2	0.1	0.1	0.1	0.2	0.1	-	0.3	0.2	0.1	0.1	0.2	1.7	2.2	(0.5)	-22.7%
Revenues of State Departments:																
Administrative Recoveries	0.4	0.3	16.7	0.4	0.1	15.5	0.3	0.1	14.0	0.2	0.1	17.8	65.9	75.6	(9.7)	-12.8%
Commissions	0.4	(0.4)	0.1	-	(0.3)	0.5	(0.5)	0.1	-	0.4	(0.3)	-	-	0.4	(0.4)	-100.0%
Gifts, Grants and Donations	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Indirect Cost Recoveries	5.5	5.4	7.4	5.9	6.1	5.7	5.0	5.5	8.7	6.3	5.9	6.3	73.7	86.5	(12.8)	-14.8%
Patient/Client Care Reimbursement	(3.7)	53.7	2.2	(17.1)	7.7	(107.3)	49.3	1.2	7.2	(15.6)	6.7	(48.6)	(64.3)	(43.8)	(20.5)	-46.8%
Rebates	-	1.7	(0.9)	-	3.2	-	(0.7)	2.6	-	-	0.8	-	6.7	6.4	0.3	4.7%
Restitution and Settlements	0.2	-	-	0.1	-	-	-	0.2	0.7	-	0.1	0.1	1.4	6.1	(4.7)	-77.0%

STATE OF NEW YORK
GENERAL FUND
STATEMENT OF CASH FLOW
FISCAL YEAR 2020-2021
(amounts in millions)

EXHIBIT F

	2020 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2021 JANUARY	FEBRUARY	MARCH	12 Months Ended March 31 2021	2020	$ Increase/ (Decrease)	% Increase/ Decrease
Student Loans	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
All Other	4.8	4.5	4.9	11.9	6.1	3.2	12.7	6.3	12.6	8.1	72.2	(27.5)	119.8	83.7	36.1	43.1%
Sales	-	-	1.8	2.3	-	-	0.5	-	-	-	0.1	(0.1)	4.6	-	4.6	100.0%
Total Miscellaneous Receipts	**37.3**	**1,254.0**	**3,752.2**	**342.3**	**166.1**	**128.3**	**190.2**	**448.2**	**261.1**	**127.2**	**146.4**	**662.0**	**7,515.3**	**3,159.3**	**4,356.0**	**137.9%**
Federal Receipts	-	-	-	-	-	0.1	-	0.1	-	-	-	0.2	0.4	0.3	0.1	33.3%
Total Receipts	**1,882.6**	**2,694.5**	**7,631.3**	**6,691.8**	**2,248.7**	**4,941.6**	**2,260.8**	**2,536.5**	**4,882.9**	**3,809.6**	**3,635.5**	**4,974.7**	**48,190.5**	**43,300.3**	**4,890.2**	**11.3%**
DISBURSEMENTS:																
Local Assistance Grants:																
Education	754.2	4,030.1	3,112.1	563.3	856.3	1,571.5	1,051.2	1,411.4	2,408.4	480.6	1,437.0	10,535.7	28,211.8	28,101.5	110.3	0.4%
Environment and Recreation	0.1	-	-	-	(0.1)	-	-	-	0.3	0.2	-	0.1	0.6	3.2	(2.6)	-81.3%
General Government	6.8	0.7	493.7	12.4	0.6	51.7	62.4	8.8	168.4	10.4	11.4	183.0	1,010.3	1,048.9	(38.6)	-3.7%
Public Health:																
Medicaid	229.4	1,283.6	2,466.9	1,220.8	1,431.8	1,614.5	999.5	1,041.9	1,632.6	1,007.1	784.9	108.7	13,821.7	17,566.5	(3,744.8)	-21.3%
Other Public Health	63.9	47.3	239.8	433.9	72.7	247.3	193.6	181.5	168.3	225.7	150.3	614.2	2,638.5	2,366.6	271.9	11.5%
Public Safety	2.9	1.4	0.3	2.7	7.9	14.1	7.3	3.7	45.6	7.6	20.0	13.7	127.2	176.8	(49.6)	-28.1%
Public Welfare	76.9	158.0	61.4	577.5	57.1	426.7	70.3	222.3	167.3	154.1	403.4	569.7	2,944.7	2,318.8	625.9	27.0%
Support and Regulate Business	4.6	6.7	4.6	4.8	4.3	5.6	9.0	7.5	10.1	9.0	11.9	41.3	119.4	170.8	(51.4)	-30.1%
Transportation	0.1	-	-	24.5	12.7	2.0	2.9	13.9	24.3	-	4.9	21.3	106.6	110.2	(3.6)	-3.3%
Total Local Assistance Grants	**1,138.9**	**5,527.8**	**6,378.8**	**2,839.9**	**2,443.3**	**3,933.4**	**2,396.2**	**2,891.0**	**4,625.3**	**1,894.7**	**2,823.8**	**12,087.7**	**48,980.8**	**51,863.3**	**(2,882.5)**	**-5.6%**
Departmental Operations:																
Personal Service	893.7	691.3	564.6	738.8	663.2	835.8	598.0	615.3	(485.5)	594.6	654.7	789.9	7,154.4	8,939.8	(1,785.4)	-20.0%
Non-Personal Service	313.2	195.2	165.0	(506.7)	222.4	260.7	183.6	192.7	241.1	219.1	1,028.9	435.1	2,950.3	3,114.0	(163.7)	-5.3%
General State Charges	460.2	330.5	2,511.9	335.9	271.1	476.3	387.4	342.1	262.3	(277.2)	492.8	1,438.3	7,031.6	7,453.7	(422.1)	-5.7%
Total Disbursements	**2,806.0**	**6,744.8**	**9,620.3**	**3,407.9**	**3,600.0**	**5,506.2**	**3,565.2**	**4,041.1**	**4,643.2**	**2,431.2**	**5,000.2**	**14,751.0**	**66,117.1**	**71,370.8**	**(5,253.7)**	**-7.4%**
Excess (Deficiency) of Receipts over Disbursements	**(923.4)**	**(4,050.3)**	**(1,989.0)**	**3,283.9**	**(1,351.3)**	**(564.6)**	**(1,304.4)**	**(1,504.6)**	**239.7**	**1,378.4**	**(1,364.7)**	**(9,776.3)**	**(17,926.6)**	**(28,070.5)**	**10,143.9**	**36.1%**
OTHER FINANCING SOURCES (USES):																
Transfers from Revenue Bond Tax Fund	1,032.9	1,098.9	2,178.3	4,323.6	487.1	1,889.6	665.9	518.5	2,027.4	1,373.5	2,341.2	641.1	18,578.0	25,862.4	(7,284.4)	-28.2%
Transfers from LGAC / STRBTF	284.6	162.2	560.4	420.1	426.7	656.4	440.2	435.4	597.1	488.1	310.4	(266.0)	4,515.6	6,178.5	(1,662.9)	-26.9%
Transfers from CW/CA Fund	43.8	48.4	37.5	50.5	52.3	66.1	61.8	74.8	91.1	106.9	71.0	78.5	782.7	951.1	(168.4)	-17.7%
Transfers from Other Funds	74.9	193.6	83.5	126.5	195.3	151.5	45.0	133.3	227.6	135.3	204.9	673.7	2,245.1	2,914.8	(669.7)	-23.0%
Transfers to State Capital Projects	800.3	(203.8)	(312.4)	(306.1)	(565.6)	(229.0)	(90.8)	(860.0)	(34.2)	(431.1)	(471.6)	(403.9)	(3,108.2)	(1,384.0)	1,724.2	124.6%
Transfers to All Other Capital Projects	-	-	(30.5)	(204.0)	-	(16.5)	-	(45.8)	(85.5)	(50.0)	(68.1)	(931.2)	(1,431.6)	(1,744.1)	(312.5)	-17.9%
Transfers to General Debt Service	(32.0)	1.7	(3.7)	(82.7)	4.0	21.6	(75.6)	1.4	11.2	(185.1)	14.5	(1.3)	(326.0)	(735.8)	(409.8)	-55.7%
Transfers to All Other State Funds	(142.8)	(23.0)	(970.7)	(92.4)	(108.8)	(56.0)	(253.1)	(81.1)	(124.7)	(35.7)	(40.1)	(1,184.0)	(3,112.4)	(2,233.9)	878.5	39.3%
Total Other Financing Sources (Uses)	**2,061.7**	**1,278.0**	**1,542.4**	**4,235.5**	**491.0**	**2,483.7**	**793.4**	**176.5**	**2,710.0**	**1,401.9**	**2,362.2**	**(1,393.1)**	**18,143.2**	**29,809.0**	**(11,665.8)**	**-39.1%**
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	**1,138.3**	**(2,772.3)**	**(446.6)**	**7,519.4**	**(860.3)**	**1,919.1**	**(511.0)**	**(1,328.1)**	**2,949.7**	**2,780.3**	**997.5**	**(11,169.4)**	**216.6**	**1,738.5**	**(1,521.9)**	**-87.5%**
Ending Fund Balance	**$ 10,082.5**	**$ 7,310.2**	**$ 6,863.6**	**$ 14,383.0**	**$ 13,522.7**	**$ 15,441.8**	**$ 14,930.8**	**$ 13,602.7**	**$ 16,552.4**	**$ 19,332.7**	**$ 20,330.2**	**$ 9,160.8**	**$ 9,160.8**	**$ 8,944.2**	**$ 216.6**	**2.4%**

STATE OF NEW YORK
SPECIAL REVENUE FUNDS - COMBINED
STATEMENT OF CASH FLOW
FISCAL YEAR 2020-2021
(amounts in millions)

EXHIBIT G

	2020 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2021 JANUARY	FEBRUARY	MARCH	Intra-Fund Transfer Eliminations (*)	12 Months Ended March 31 2021	2020	$ Increase/ (Decrease)	% Increase/ Decrease
Beginning Fund Balance	$ 6,312.1	$ 11,224.0	$ 11,140.2	$ 14,472.7	$ 13,232.7	$ 12,870.2	9,510.3	$ 12,183.8	$ 11,260.9	$ 10,778.6	$ 10,293.9	$ 10,961.0	$ -	$ 6,312.1	$ 3,842.4	$ 2,469.7	64.3%
RECEIPTS:																	
Taxes:																	
Personal Income Tax	-	-	-	-	-	0.1	0.1	1.4	35.0	1,972.0	-	18.8	-	2,027.4	2,183.7	(156.3)	-7.2%
Consumption/Use Taxes:																	
Sales and Use	80.3	51.2	65.6	72.7	73.6	83.3	79.2	76.4	86.3	84.6	71.3	48.5	-	873.0	1,049.1	(176.1)	-16.8%
Auto Rental	(0.1)	(1.5)	3.4	2.1	2.1	(1.1)	-	-	3.8	-	-	3.4	-	12.1	19.6	(7.5)	-38.3%
Cigarette/Tobacco Products	68.8	51.3	60.2	68.8	60.4	71.0	55.7	59.1	61.0	61.3	37.2	41.1	-	695.9	722.2	(26.3)	-3.6%
Medical Marijuana	0.5	0.6	0.7	0.6	0.8	0.7	0.8	0.7	0.8	1.0	0.8	0.4	-	8.4	5.7	2.7	47.4%
Motor Fuel	6.5	4.7	6.6	8.5	9.1	8.9	8.3	8.6	8.7	6.5	7.0	7.3	-	90.7	108.2	(17.5)	-16.2%
Alcoholic Beverage	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Highway Use	-	0.1	-	-	0.1	-	-	0.1	0.1	-	-	0.1	-	0.5	0.5	-	0.0%
Vapor Excise	-	0.1	11.7	(0.4)	(0.1)	7.4	-	-	6.8	-	-	6.8	-	32.3	10.4	21.9	210.6%
Total Consumption/Use Taxes	**156.0**	**106.5**	**148.2**	**152.3**	**146.0**	**170.2**	**144.0**	**144.9**	**167.5**	**153.4**	**116.3**	**107.6**	**-**	**1,712.9**	**1,915.7**	**(202.8)**	**-10.6%**
Business Taxes:																	
Corporation Franchise	57.0	(3.2)	110.1	114.0	11.7	165.7	40.7	24.5	171.0	67.9	36.8	267.8	-	1,064.0	1,033.2	30.8	3.0%
Corporation and Utilities	2.2	(6.9)	20.5	17.0	(1.4)	24.4	4.1	0.1	24.9	4.9	(7.6)	40.4	-	122.6	172.0	(49.4)	-28.7%
Insurance	7.2	(0.4)	38.6	4.4	0.4	43.3	1.2	0.3	42.1	(0.5)	(1.3)	78.8	-	214.1	253.3	(39.2)	-15.5%
Bank	1.3	0.6	12.9	-	6.1	(3.1)	0.5	(0.2)	0.5	(1.8)	(0.6)	2.8	-	19.0	(7.8)	26.8	343.6%
Petroleum Business	30.3	17.6	37.7	38.8	38.7	43.2	39.6	36.5	36.4	33.7	30.2	33.6	-	416.3	508.9	(92.6)	-18.2%
Total Business Taxes	**98.0**	**7.7**	**219.8**	**174.2**	**55.5**	**273.5**	**86.1**	**61.2**	**274.9**	**104.2**	**57.5**	**423.4**	**-**	**1,836.0**	**1,959.6**	**(123.6)**	**-6.3%**
Total Taxes	**254.0**	**114.2**	**368.0**	**326.5**	**201.5**	**443.8**	**230.2**	**207.5**	**477.4**	**2,229.6**	**173.8**	**549.8**	**-**	**5,576.3**	**6,059.0**	**(482.7)**	**-8.0%**
Miscellaneous Receipts:																	
Abandoned Property:																	
Abandoned Property	1.2	0.7	0.8	0.9	0.8	1.2	0.8	0.9	1.3	0.9	1.3	5.9	-	16.7	12.6	4.1	32.5%
Assessments:																	
Business	46.7	62.4	82.6	71.5	41.0	65.6	90.3	11.7	90.9	56.9	33.5	77.8	-	730.9	804.9	(74.0)	-9.2%
Medical Care	569.3	464.7	504.4	440.8	461.5	517.3	505.7	470.8	507.2	448.3	487.4	482.5	-	5,859.9	6,358.7	(498.8)	-7.8%
Public Utilities	0.1	-	0.4	4.4	0.4	45.4	(10.1)	(1.6)	0.7	-	6.4	42.6	-	88.7	90.7	(2.0)	-2.2%
Other	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.4	(0.4)	-100.0%
Fees, Licenses and Permits:																	
Audit Fees	-	-	0.1	0.2	0.7	0.7	0.1	0.3	0.1	-	-	-	-	2.2	2.6	(0.4)	-15.4%
Business/Professional	48.2	70.4	86.5	40.8	36.3	94.8	46.1	40.7	73.3	48.0	37.2	99.7	-	722.0	714.0	8.0	1.1%
Civil	3.2	2.4	2.6	1.4	6.1	4.7	5.1	4.2	4.9	4.8	3.7	5.1	-	48.2	56.4	(8.2)	-14.5%
Criminal	0.5	0.3	0.1	1.5	-	-	0.4	-	0.1	0.6	0.1	-	-	3.6	7.5	(3.9)	-52.0%
Motor Vehicle	18.4	15.8	17.0	30.6	27.0	28.3	15.8	34.9	15.4	31.5	30.3	22.2	-	287.2	298.5	(11.3)	-3.8%
Recreational/Consumer	43.0	-	36.0	34.3	31.8	144.3	83.3	59.6	55.8	44.7	83.5	107.5	-	723.8	882.9	(159.1)	-18.0%
Fines, Penalties and Forfeitures	10.0	5.8	1.6	14.0	0.4	5.9	65.4	3.0	2.8	5.9	1.7	5.6	-	122.1	271.1	(149.0)	-55.0%
Gaming:																	
Casino	-	-	-	20.8	-	8.0	33.4	10.0	10.2	27.1	10.5	17.4	-	137.4	272.5	(135.1)	-49.6%
Lottery	157.0	142.1	173.8	202.2	195.9	199.3	168.3	171.0	215.6	256.5	186.9	450.2	-	2,518.8	2,533.2	(14.4)	-0.6%
Video Lottery	-	0.6	-	(0.4)	-	33.8	59.6	54.2	58.0	58.9	55.4	89.8	-	409.9	943.7	(533.8)	-56.6%
Interest Earnings	16.5	11.7	7.5	4.3	5.2	4.2	4.1	4.1	4.4	4.7	8.8	4.4	-	79.9	242.0	(162.1)	-67.0%
Receipts from Public Authorities:																	
Bond Proceeds	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Cost Recovery Assessments	-	-	-	-	-	-	8.9	-	-	-	11.5	-	-	20.4	20.4	-	0.0%
Issuance Fees	0.5	1.4	5.3	-	-	-	-	-	-	-	-	-	-	7.2	7.2	-	0.0%
Non Bond Related	8.9	0.3	-	4.2	1.8	-	0.4	-	-	0.3	0.1	-	-	16.0	59.7	(43.7)	-73.2%
Receipts from Municipalities	9.3	2.2	3.7	3.7	1.6	4.7	3.4	1.6	5.1	3.3	1.1	35.3	-	75.0	80.0	(5.0)	-6.3%
Rentals	(5.3)	(42.9)	(0.4)	1.9	3.8	34.6	61.0	11.3	7.4	29.3	51.4	42.3	-	194.4	392.5	(198.1)	-50.5%
Revenues of State Departments:																	
Administrative Recoveries	24.6	8.5	8.7	8.7	8.8	10.1	24.2	8.7	8.6	8.1	2.4	0.6	-	122.0	153.2	(31.2)	-20.4%
Commissions	0.2	0.1	0.1	0.2	0.3	0.8	0.7	0.8	14.2	0.8	1.0	7.2	-	26.4	27.1	(0.7)	-2.6%
Commissions - Asset Conversion	-	-	-	-	-	-	-	-	68.0	50.0	-	-	-	118.0	468.0	(350.0)	-74.8%
Gifts, Grants and Donations	0.6	1.5	22.1	0.7	0.6	0.5	5.1	0.3	1.7	0.2	1.3	0.5	-	35.1	8.8	26.3	298.9%
Indirect Cost Recoveries	-	-	-	-	-	-	-	-	-	-	-	0.9	-	0.9	1.7	(0.8)	-47.1%
Patient/Client Care Reimbursement	483.0	305.7	305.8	198.8	167.9	150.1	221.2	165.9	314.0	205.9	185.4	230.2	-	2,933.9	2,224.2	709.7	31.9%
Rebates	7.8	13.6	14.1	17.7	9.5	12.7	10.5	11.2	12.7	10.9	10.2	12.4	-	143.3	160.1	(16.8)	-10.5%
Restitution and Settlements	3.7	0.4	0.6	0.1	41.8	3.9	0.3	0.7	0.5	21.7	0.7	11.9	-	86.3	18.8	67.5	359.0%
Student Loans	6.1	1.4	3.2	6.4	6.8	3.7	6.0	3.6	4.9	2.2	(1.0)	1.3	-	44.6	66.7	(22.1)	-33.1%
All Other	(24.6)	4.4	13.1	63.5	40.0	48.6	58.3	35.2	27.9	55.3	38.9	39.0	-	399.6	540.1	(140.5)	-26.0%
Sales	0.5	0.6	0.8	0.7	0.5	0.6	1.3	0.8	0.6	1.1	1.8	1.3	-	10.6	17.9	(7.3)	-40.8%
Tuition	(67.5)	33.6	56.9	50.9	113.3	375.7	172.8	49.7	(32.8)	150.4	384.0	103.2	-	1,390.2	1,541.3	(151.1)	-9.8%
Total Miscellaneous Receipts	**1,361.9**	**1,107.7**	**1,347.4**	**1,224.8**	**1,203.8**	**1,799.5**	**1,642.4**	**1,153.6**	**1,473.5**	**1,528.3**	**1,635.5**	**1,896.8**	**-**	**17,375.2**	**19,279.4**	**(1,904.2)**	**-9.9%**
Federal Receipts	10,777.4	4,104.3	7,352.3	5,214.1	4,404.5	9,934.4	7,441.5	4,052.8	7,300.6	5,782.7	3,085.5	6,673.8	-	76,123.9	62,896.9	13,227.0	21.0%
Total Receipts	**12,393.3**	**5,326.2**	**9,067.7**	**6,765.4**	**5,809.8**	**12,177.7**	**9,314.1**	**5,413.9**	**9,251.5**	**9,540.6**	**4,894.8**	**9,120.4**	**-**	**99,075.4**	**88,235.3**	**10,840.1**	**12.3%**

STATE OF NEW YORK
SPECIAL REVENUE FUNDS - COMBINED
STATEMENT OF CASH FLOW
FISCAL YEAR 2020-2021
(amounts in millions)

EXHIBIT G

	2020 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2021 JANUARY	FEBRUARY	MARCH	Intra-Fund Transfer Eliminations (*)	12 Months Ended March 31 2021	2020	$ Increase/ (Decrease)	% Increase/ Decrease
DISBURSEMENTS:																	
Local Assistance Grants:																	
Education	383.0	102.6	847.9	234.9	213.2	2,584.4	279.3	347.3	252.9	2,492.2	408.8	555.4	-	8,701.9	9,569.3	(867.4)	-9.1%
Environment and Recreation	-	0.5	-	0.3	0.1	0.3	0.8	0.2	0.1	0.1	1.5	1.9	-	5.8	5.8	-	0.0%
General Government	11.3	6.1	8.5	19.0	39.4	3,866.8	169.6	94.6	114.3	22.8	16.0	22.8	-	4,391.2	273.0	4,118.2	1,508.5%
Public Health:																	
Medicaid	5,180.9	3,816.0	3,615.6	4,136.8	3,861.0	5,390.1	3,286.6	3,770.7	5,076.7	4,408.4	2,297.9	4,938.4	-	49,779.1	45,924.0	3,855.1	8.4%
Other Public Health	509.6	533.0	709.7	556.1	552.9	805.5	570.7	551.3	650.7	761.8	634.7	828.2	-	7,664.2	7,526.7	137.5	1.8%
Public Safety	92.4	62.2	159.2	261.6	62.2	136.2	627.1	19.1	133.8	84.8	289.0	250.8	-	2,178.4	1,580.1	598.3	37.9%
Public Welfare	134.9	25.9	253.6	201.6	253.9	950.4	384.1	164.5	162.9	265.8	506.2	862.3	-	4,166.1	4,861.6	(695.5)	-14.3%
Support and Regulate Business	0.3	0.7	6.5	1.0	8.6	5.3	1.7	18.7	1.0	1.6	3.2	7.7	-	56.3	64.5	(8.2)	-12.7%
Transportation	65.5	44.7	22.6	709.1	350.2	246.8	258.5	438.5	764.5	74.5	17.0	614.9	-	3,606.8	3,437.1	169.7	4.9%
Total Local Assistance Grants	**6,377.9**	**4,591.7**	**5,623.6**	**6,120.4**	**5,341.5**	**13,985.8**	**5,578.4**	**5,404.9**	**7,156.9**	**8,112.0**	**4,174.3**	**8,082.4**	**-**	**80,549.8**	**73,242.1**	**7,307.7**	**10.0%**
Departmental Operations:																	
Personal Service	675.8	444.6	551.8	540.0	390.7	691.2	521.2	474.9	1,784.0	484.2	387.4	691.8	-	7,637.6	5,786.7	1,850.9	32.0%
Non-Personal Service	270.9	220.9	327.3	1,078.0	380.6	488.1	437.0	297.0	408.8	418.5	(479.1)	516.0	-	4,364.0	4,326.9	37.1	0.9%
General State Charges	75.0	64.5	109.6	136.0	142.9	139.8	124.3	158.0	212.2	845.0	104.0	116.6	-	2,227.9	1,303.1	924.8	71.0%
Debt Service, Including Payments on Financing Agreements	-	-	-	-	-	-	-	-	102.2	-	-	-	-	102.2	-	102.2	100.0%
Capital Projects	-	-	-	-	2.3	-	-	-	-	-	(2.3)	-	-	-	-	-	0.0%
Total Disbursements	**7,399.6**	**5,321.7**	**6,612.3**	**7,874.4**	**6,258.0**	**15,304.9**	**6,660.9**	**6,334.8**	**9,664.1**	**9,859.7**	**4,184.3**	**9,406.8**	**-**	**94,881.5**	**84,658.8**	**10,222.7**	**12.1%**
Excess (Deficiency) of Receipts over Disbursements	**4,993.7**	**4.5**	**2,455.4**	**(1,109.0)**	**(448.2)**	**(3,127.2)**	**2,653.2**	**(920.9)**	**(412.6)**	**(319.1)**	**710.5**	**(286.4)**	**-**	**4,193.9**	**3,576.5**	**617.4**	**17.3%**
OTHER FINANCING SOURCES (USES):																	
Transfers from Other Funds	222.7	41.5	897.4	135.6	116.0	206.1	505.9	131.0	138.6	63.9	47.7	1,179.0	(597.0)	3,088.4	2,269.2	819.2	36.1%
Transfers to Other Funds	(304.5)	(129.8)	(20.3)	(266.6)	(30.3)	(438.8)	(485.6)	(133.0)	(208.3)	(229.5)	(91.1)	(986.8)	597.0	(2,727.6)	(3,376.0)	(648.4)	-19.2%
Total Other Financing Sources (Uses)	**(81.8)**	**(88.3)**	**877.1**	**(131.0)**	**85.7**	**(232.7)**	**20.3**	**(2.0)**	**(69.7)**	**(165.6)**	**(43.4)**	**192.2**	**-**	**360.8**	**(1,106.8)**	**1,467.6**	**-132.6%**
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	**4,911.9**	**(83.8)**	**3,332.5**	**(1,240.0)**	**(362.5)**	**(3,359.9)**	**2,673.5**	**(922.9)**	**(482.3)**	**(484.7)**	**667.1**	**(94.2)**	**-**	**4,554.7**	**2,469.7**	**2,085.0**	**84.4%**
Ending Fund Balance	**$ 11,224.0**	**$ 11,140.2**	**$ 14,472.7**	**$ 13,232.7**	**$ 12,870.2**	**$ 9,510.3**	**$ 12,183.8**	**$ 11,260.9**	**$ 10,778.6**	**$ 10,293.9**	**$ 10,961.0**	**$ 10,866.8**	**$ -**	**$ 10,866.8**	**$ 6,312.1**	**$ 4,554.7**	**72.2%**

(*) Intra-Fund transfer eliminations represent transfers between Special Revenue-State and Federal Funds.

STATE OF NEW YORK
SPECIAL REVENUE FUNDS - STATE
STATEMENT OF CASH FLOW
FISCAL YEAR 2020-2021
(amounts in millions)

EXHIBIT G

	2020 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2021 JANUARY	FEBRUARY	MARCH	12 Months Ended March 31 2021	2020	$ Increase/ (Decrease)	% Increase/ Decrease
Beginning Fund Balance	$ 5,400.7	$ 5,696.2	$ 5,710.4	$ 7,246.4	$ 6,679.9	$ 6,748.8	$ 4,803.9	$ 5,594.1	$ 5,211.5	$ 5,003.3	$ 5,304.6	$ 5,667.8	$ 5,400.7	$ 5,090.8	$ 309.9	6.1%
RECEIPTS:																
Taxes:																
Personal Income Tax	-	-	-	-	-	0.1	0.1	1.4	35.0	1,972.0	-	18.8	2,027.4	2,183.7	(156.3)	-7.2%
Consumption/Use Taxes:																
Sales and Use	80.3	51.2	65.6	72.7	73.6	83.3	79.2	76.4	86.3	84.6	71.3	48.5	873.0	1,049.1	(176.1)	-16.8%
Auto Rental	(0.1)	(1.5)	3.4	2.1	2.1	(1.1)	-	-	3.8	-	-	3.4	12.1	19.6	(7.5)	-38.3%
Cigarette/Tobacco Products	68.8	51.3	60.2	68.8	60.4	71.0	55.7	59.1	61.0	61.3	37.2	41.1	695.9	722.2	(26.3)	-3.6%
Medical Marijuana	0.5	0.6	0.7	0.6	0.8	0.7	0.8	0.7	0.8	1.0	0.8	0.4	8.4	5.7	2.7	47.4%
Motor Fuel	6.5	4.7	6.6	8.5	9.1	8.9	8.3	8.6	8.7	6.5	7.0	7.3	90.7	108.2	(17.5)	-16.2%
Alcoholic Beverage	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Highway Use	-	0.1	-	-	0.1	-	-	0.1	0.1	-	-	0.1	0.5	0.5	-	0.0%
Vapor Excise	-	0.1	11.7	(0.4)	(0.1)	7.4	-	-	6.8	-	-	6.8	32.3	10.4	21.9	210.6%
Total Consumption/Use Taxes	**156.0**	**106.5**	**148.2**	**152.3**	**146.0**	**170.2**	**144.0**	**144.9**	**167.5**	**153.4**	**116.3**	**107.6**	**1,712.9**	**1,915.7**	**(202.8)**	**-10.6%**
Business Taxes																
Corporation Franchise	57.0	(3.2)	110.1	114.0	11.7	165.7	40.7	24.5	171.0	67.9	36.8	267.8	1,064.0	1,033.2	30.8	3.0%
Corporation and Utilities	2.2	(6.9)	20.5	17.0	(1.4)	24.4	4.1	0.1	24.9	4.9	(7.6)	40.4	122.6	172.0	(49.4)	-28.7%
Insurance	7.2	(0.4)	38.6	4.4	0.4	43.3	1.2	0.3	42.1	(0.5)	(1.3)	78.8	214.1	253.3	(39.2)	-15.5%
Bank	1.3	0.6	12.9	-	6.1	(3.1)	0.5	(0.2)	0.5	(1.8)	(0.6)	2.8	19.0	(7.8)	26.8	343.6%
Petroleum Business	30.3	17.6	37.7	38.8	38.7	43.2	39.6	36.5	36.4	33.7	30.2	33.6	416.3	508.9	(92.6)	-18.2%
Total Business Taxes	**98.0**	**7.7**	**219.8**	**174.2**	**55.5**	**273.5**	**86.1**	**61.2**	**274.9**	**104.2**	**57.5**	**423.4**	**1,836.0**	**1,959.6**	**(123.6)**	**-6.3%**
Total Taxes	**254.0**	**114.2**	**368.0**	**326.5**	**201.5**	**443.8**	**230.2**	**207.5**	**477.4**	**2,229.6**	**173.8**	**549.8**	**5,576.3**	**6,059.0**	**(482.7)**	**-8.0%**
Miscellaneous Receipts:																
Abandoned Property:																
Abandoned Property	1.2	0.7	0.8	0.9	0.8	1.2	0.8	0.9	1.3	0.9	1.3	5.9	16.7	12.6	4.1	32.5%
Assessments:																
Business	42.7	21.8	82.4	69.8	33.4	65.5	88.9	6.0	90.8	55.8	28.2	77.8	663.1	731.3	(68.2)	-9.3%
Medical Care	569.3	464.7	504.4	440.8	461.5	517.3	505.7	470.8	507.2	448.3	487.4	482.5	5,859.9	6,358.7	(498.8)	-7.8%
Public Utilities	0.1	-	0.4	4.4	0.4	45.4	(10.1)	(1.6)	0.7	-	6.4	42.6	88.7	90.7	(2.0)	-2.2%
Other	-	-	-	-	-	-	-	-	-	-	-	-	-	0.4	(0.4)	-100.0%
Fees, Licenses and Permits:																
Audit Fees	-	-	0.1	0.2	0.7	0.7	0.1	0.3	0.1	-	-	-	2.2	2.6	(0.4)	-15.4%
Business/Professional	48.2	70.4	86.5	40.8	36.3	94.8	46.1	40.7	73.3	48.0	37.2	99.7	722.0	714.0	8.0	1.1%
Civil	3.2	2.4	2.6	1.4	6.1	4.7	5.1	4.2	4.9	4.8	3.7	5.1	48.2	56.4	(8.2)	-14.5%
Criminal	0.5	0.3	0.1	1.5	-	-	0.4	-	0.1	0.6	0.1	-	3.6	7.5	(3.9)	-52.0%
Motor Vehicle	18.4	15.8	17.0	30.6	27.0	28.3	15.8	34.9	15.4	31.5	30.3	22.2	287.2	298.5	(11.3)	-3.8%
Recreational/Consumer	43.0	-	36.0	34.3	31.8	144.3	83.3	59.6	55.8	44.7	83.5	107.5	723.8	882.9	(159.1)	-18.0%
Fines, Penalties and Forfeitures	9.7	5.6	1.4	13.8	0.2	5.6	65.3	2.8	2.5	5.6	1.4	5.4	119.3	265.4	(146.1)	-55.0%
Gaming:																
Casino	-	-	-	20.8	-	8.0	33.4	10.0	10.2	27.1	10.5	17.4	137.4	272.5	(135.1)	-49.6%
Lottery	157.0	142.1	173.8	202.2	195.9	199.3	168.3	171.0	215.6	256.5	186.9	450.2	2,518.8	2,533.2	(14.4)	-0.6%
Video Lottery	-	0.6	-	(0.4)	-	33.8	59.6	54.2	58.0	58.9	55.4	89.8	409.9	943.7	(533.8)	-56.6%
Interest Earnings	13.5	7.8	6.1	3.4	4.0	3.4	3.3	3.3	3.7	3.9	8.1	3.8	64.3	211.5	(147.2)	-69.6%
Receipts from Public Authorities:																
Bond Proceeds	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Cost Recovery Assessments	-	-	-	-	-	-	8.9	-	-	-	11.5	-	20.4	20.4	-	0.0%
Issuance Fees	0.5	1.4	5.3	-	-	-	-	-	-	-	-	-	7.2	7.2	-	0.0%
Non Bond Related	8.9	0.3	-	4.2	1.8	-	0.4	-	-	0.3	0.1	-	16.0	59.7	(43.7)	-73.2%
Receipts from Municipalities	9.3	2.2	3.7	3.7	1.6	4.7	3.4	1.6	5.1	3.3	1.1	35.3	75.0	80.0	(5.0)	-6.3%
Rentals	(5.3)	(42.9)	(0.4)	1.9	3.8	34.6	61.0	11.3	7.4	29.3	51.4	42.3	194.4	392.5	(198.1)	-50.5%
Revenues of State Departments:																
Administrative Recoveries	24.6	8.5	8.7	8.7	8.8	10.1	24.2	8.7	8.6	8.1	2.4	0.6	122.0	153.2	(31.2)	-20.4%
Commissions	0.2	0.1	0.1	0.2	0.3	0.8	0.7	0.8	14.2	0.8	1.0	7.2	26.4	27.1	(0.7)	-2.6%
Commissions - Asset Conversion	-	-	-	-	-	-	-	-	68.0	50.0	-	-	118.0	468.0	(350.0)	-74.8%
Gifts, Grants and Donations	0.6	1.5	22.1	0.7	0.6	0.5	5.1	0.3	1.7	0.1	1.3	0.5	35.0	8.8	26.2	297.7%
Indirect Cost Recoveries	-	-	-	-	-	-	-	-	-	-	-	0.9	0.9	1.7	(0.8)	-47.1%
Patient/Client Care Reimbursement	483.0	305.7	305.8	198.8	167.9	150.1	221.2	165.9	314.0	205.9	185.4	230.2	2,933.9	2,224.2	709.7	31.9%
Rebates	0.1	4.9	6.6	10.2	2.1	5.0	3.0	3.8	4.8	3.7	2.6	4.8	51.6	61.0	(9.4)	-15.4%
Restitution and Settlements	3.7	0.4	0.6	0.1	41.8	3.9	0.3	0.7	0.5	21.7	0.7	11.9	86.3	18.8	67.5	359.0%
Student Loans	6.1	1.4	3.2	6.4	6.8	3.7	6.0	3.6	4.9	2.2	(1.0)	1.3	44.6	66.7	(22.1)	-33.1%
All Other	(24.9)	4.1	13.1	63.4	39.4	48.7	58.2	35.2	26.6	55.0	38.9	37.9	395.6	534.1	(138.5)	-25.9%
Sales	0.5	0.6	0.8	0.7	0.5	0.6	1.3	0.8	0.6	1.1	1.8	1.3	10.6	17.8	(7.2)	-40.4%
Tuition	(67.5)	33.6	56.9	50.9	113.3	375.7	172.8	49.7	(32.8)	150.4	384.0	103.2	1,390.2	1,541.3	(151.1)	-9.8%
Total Miscellaneous Receipts	**1,346.6**	**1,054.0**	**1,338.1**	**1,214.4**	**1,186.8**	**1,790.7**	**1,632.5**	**1,139.5**	**1,463.2**	**1,518.5**	**1,621.6**	**1,887.3**	**17,193.2**	**19,064.4**	**(1,871.2)**	**-9.8%**
Federal Receipts	-	-	4.1	(4.1)	2.5	25.4	12.9	(33.6)	-	-	(20.1)	(0.7)	(13.6)	(12.9)	(0.7)	-5.4%
Total Receipts	**1,600.6**	**1,168.2**	**1,710.2**	**1,536.8**	**1,390.8**	**2,259.9**	**1,875.6**	**1,313.4**	**1,940.6**	**3,748.1**	**1,775.3**	**2,436.4**	**22,755.9**	**25,110.5**	**(2,354.6)**	**-9.4%**

STATE OF NEW YORK
SPECIAL REVENUE FUNDS - STATE
STATEMENT OF CASH FLOW
FISCAL YEAR 2020-2021
(amounts in millions)

EXHIBIT G

	2020 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2021 JANUARY	FEBRUARY	MARCH	12 Months Ended March 31 2021	2020	$ Increase/ (Decrease)	% Increase/ Decrease
DISBURSEMENTS:																
Local Assistance Grants:																
Education	0.1	35.0	297.0	0.3	(0.1)	2,290.3	149.0	148.1	174.7	2,128.4	146.9	328.2	5,697.9	6,040.8	(342.9)	-5.7%
Environment and Recreation	-	0.3	-	0.2	-	0.4	0.7	0.2	-	-	1.1	1.8	4.7	4.6	0.1	2.2%
General Government	9.3	3.9	6.3	8.0	33.0	10.8	15.3	9.3	15.7	21.6	17.0	14.7	164.9	219.0	(54.1)	-24.7%
Public Health:																
Medicaid	528.3	474.2	30.5	823.1	424.7	521.0	417.0	461.8	490.1	462.0	406.3	431.9	5,470.9	5,674.7	(203.8)	-3.6%
Other Public Health	29.6	25.5	67.4	52.2	45.3	190.8	55.4	53.3	95.0	114.5	92.0	153.2	974.2	1,224.4	(250.2)	-20.4%
Public Safety	18.0	9.7	4.2	14.2	21.5	20.5	10.0	(10.4)	20.4	9.3	12.1	73.0	202.5	186.8	15.7	8.4%
Public Welfare	0.1	0.2	-	0.6	-	0.1	-	-	0.9	(1.3)	-	(0.2)	0.4	4.1	(3.7)	-90.2%
Support and Regulate Business	-	0.4	4.4	0.6	6.7	5.3	0.7	18.7	0.8	1.0	3.1	7.4	49.1	56.5	(7.4)	-13.1%
Transportation	61.6	41.7	18.5	701.8	345.2	241.1	253.5	432.2	757.0	70.4	10.9	607.6	3,541.5	3,378.1	163.4	4.8%
Total Local Assistance Grants	**647.0**	**590.9**	**428.3**	**1,601.0**	**876.3**	**3,280.3**	**901.6**	**1,113.2**	**1,554.6**	**2,805.9**	**689.4**	**1,617.6**	**16,106.1**	**16,789.0**	**(682.9)**	**-4.1%**
Departmental Operations:																
Personal Service	601.1	393.6	390.9	375.2	326.9	607.2	389.4	361.2	387.5	369.3	388.3	609.6	5,200.2	5,149.9	50.3	1.0%
Non-Personal Service	230.1	176.8	158.7	192.7	159.2	260.1	248.4	221.3	203.0	237.3	254.2	297.7	2,639.5	2,928.0	(288.5)	-9.9%
General State Charges	52.3	39.8	70.7	52.3	72.2	116.3	46.6	128.7	99.8	59.7	55.5	92.4	886.3	969.5	(83.2)	-8.6%
Capital Projects	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Total Disbursements	**1,530.5**	**1,201.1**	**1,048.6**	**2,221.2**	**1,434.6**	**4,263.9**	**1,586.0**	**1,824.4**	**2,244.9**	**3,472.2**	**1,387.4**	**2,617.3**	**24,832.1**	**25,836.4**	**(1,004.3)**	**-3.9%**
Excess (Deficiency) of Receipts over Disbursements	**70.1**	**(32.9)**	**661.6**	**(684.4)**	**(43.8)**	**(2,004.0)**	**289.6**	**(511.0)**	**(304.3)**	**275.9**	**387.9**	**(180.9)**	**(2,076.2)**	**(725.9)**	**(1,350.3)**	**-186.0%**
OTHER FINANCING SOURCES (USES):																
Transfers from Other Funds	222.7	41.5	897.4	135.6	116.0	206.1	505.9	131.0	138.6	63.9	47.7	1,179.0	3,685.4	2,779.5	905.9	32.6%
Transfers to Other Funds	2.7	5.6	(23.0)	(17.7)	(3.3)	(147.0)	(5.3)	(2.6)	(42.5)	(38.5)	(72.4)	(759.8)	(1,103.8)	(1,743.7)	(639.9)	-36.7%
Total Other Financing Sources (Uses)	**225.4**	**47.1**	**874.4**	**117.9**	**112.7**	**59.1**	**500.6**	**128.4**	**96.1**	**25.4**	**(24.7)**	**419.2**	**2,581.6**	**1,035.8**	**1,545.8**	**149.2%**
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	**295.5**	**14.2**	**1,536.0**	**(566.5)**	**68.9**	**(1,944.9)**	**790.2**	**(382.6)**	**(208.2)**	**301.3**	**363.2**	**238.3**	**505.4**	**309.9**	**195.5**	**63.1%**
Ending Fund Balance	**$ 5,696.2**	**$ 5,710.4**	**$ 7,246.4**	**$ 6,679.9**	**$ 6,748.8**	**$ 4,803.9**	**$ 5,594.1**	**$ 5,211.5**	**$ 5,003.3**	**$ 5,304.6**	**$ 5,667.8**	**$ 5,906.1**	**$ 5,906.1**	**$ 5,400.7**	**$ 505.4**	**9.4%**

STATE OF NEW YORK
SPECIAL REVENUE FUNDS - FEDERAL
STATEMENT OF CASH FLOW
FISCAL YEAR 2020-2021
(amounts in millions)

EXHIBIT G

	2020 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2021 JANUARY	FEBRUARY	MARCH	12 Months Ended March 31 2021	2020	$ Increase/ (Decrease)	% Increase/ Decrease
Beginning Fund Balance	$ 911.4	$ 5,527.8	$ 5,429.8	$ 7,226.3	$ 6,552.8	$ 6,121.4	$ 4,706.4	$ 6,589.7	$ 6,049.4	$ 5,775.3	$ 4,989.3	$ 5,293.2	$ 911.4	$ (1,248.4)	$ 2,159.8	173.0%
RECEIPTS:																
Miscellaneous Receipts:																
Abandoned Property:																
Abandoned Property	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Assessments:																
Business	4.0	40.6	0.2	1.7	7.6	0.1	1.4	5.7	0.1	1.1	5.3	-	67.8	73.6	(5.8)	-7.9%
Medical Care	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Public Utilities	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Other	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Fees, Licenses and Permits:																
Business/Professional	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Civil	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Criminal	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Motor Vehicle	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Recreational/Consumer	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Fines, Penalties and Forfeitures	0.3	0.2	0.2	0.2	0.2	0.3	0.1	0.2	0.3	0.3	0.3	0.2	2.8	5.7	(2.9)	-50.9%
Interest Earnings	3.0	3.9	1.4	0.9	1.2	0.8	0.8	0.8	0.7	0.8	0.7	0.6	15.6	30.5	(14.9)	-48.9%
Receipts from Public Authorities:																
Bond Proceeds	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Cost Recovery Assessments	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Issuance Fees	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Non Bond Related	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Receipts from Municipalities	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Rentals	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Revenues of State Departments:																
Administrative Recoveries	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Commissions	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Gifts, Grants and Donations	-	-	-	-	-	-	-	-	-	0.1	-	-	0.1	-	0.1	100.0%
Indirect Cost Recoveries	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Patient/Client Care Reimbursement	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Rebates	7.7	8.7	7.5	7.5	7.4	7.7	7.5	7.4	7.9	7.2	7.6	7.6	91.7	99.1	(7.4)	-7.5%
Restitution and Settlements	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Student Loans	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
All Other	0.3	0.3	-	0.1	0.6	(0.1)	0.1	-	1.3	0.3	-	1.1	4.0	6.0	(2.0)	-33.3%
Sales	-	-	-	-	-	-	-	-	-	-	-	-	-	0.1	(0.1)	-100.0%
Tuition	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Total Miscellaneous Receipts	**15.3**	**53.7**	**9.3**	**10.4**	**17.0**	**8.8**	**9.9**	**14.1**	**10.3**	**9.8**	**13.9**	**9.5**	**182.0**	**215.0**	**(33.0)**	**-15.3%**
Federal Receipts	10,777.4	4,104.3	7,348.2	5,218.2	4,402.0	9,909.0	7,428.6	4,086.4	7,300.6	5,782.7	3,105.6	6,674.5	76,137.5	62,909.8	13,227.7	21.0%
Total Receipts	**10,792.7**	**4,158.0**	**7,357.5**	**5,228.6**	**4,419.0**	**9,917.8**	**7,438.5**	**4,100.5**	**7,310.9**	**5,792.5**	**3,119.5**	**6,684.0**	**76,319.5**	**63,124.8**	**13,194.7**	**20.9%**

STATE OF NEW YORK
SPECIAL REVENUE FUNDS - FEDERAL
STATEMENT OF CASH FLOW
FISCAL YEAR 2020-2021
(amounts in millions)

EXHIBIT G

	2020 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2021 JANUARY	FEBRUARY	MARCH	12 Months Ended March 31 2021	2020	$ Increase/ (Decrease)	% Increase/ Decrease
DISBURSEMENTS:																
Local Assistance Grants:																
Education	382.9	67.6	550.9	234.6	213.3	294.1	130.3	199.2	78.2	363.8	261.9	227.2	3,004.0	3,528.5	(524.5)	-14.9%
Environment and Recreation	-	0.2	-	0.1	0.1	(0.1)	0.1	-	0.1	0.1	0.4	0.1	1.1	1.2	(0.1)	-8.3%
General Government	2.0	2.2	2.2	11.0	6.4	3,856.0	154.3	85.3	98.6	1.2	(1.0)	8.1	4,226.3	54.0	4,172.3	7,726.5%
Public Health:																
Medicaid	4,652.6	3,341.8	3,585.1	3,313.7	3,436.3	4,869.1	2,869.6	3,308.9	4,586.6	3,946.4	1,891.6	4,506.5	44,308.2	40,249.3	4,058.9	10.1%
Other Public Health	480.0	507.5	642.3	503.9	507.6	614.7	515.3	498.0	555.7	647.3	542.7	675.0	6,690.0	6,302.3	387.7	6.2%
Public Safety	74.4	52.5	155.0	247.4	40.7	115.7	617.1	29.5	113.4	75.5	276.9	177.8	1,975.9	1,393.3	582.6	41.8%
Public Welfare	134.8	25.7	253.6	201.0	253.9	950.3	384.1	164.5	162.0	267.1	506.2	862.5	4,165.7	4,857.5	(691.8)	-14.2%
Support and Regulate Business	0.3	0.3	2.1	0.4	1.9	-	1.0	-	0.2	0.6	0.1	0.3	7.2	8.0	(0.8)	-10.0%
Transportation	3.9	3.0	4.1	7.3	5.0	5.7	5.0	6.3	7.5	4.1	6.1	7.3	65.3	59.0	6.3	10.7%
Total Local Assistance Grants	**5,730.9**	**4,000.8**	**5,195.3**	**4,519.4**	**4,465.2**	**10,705.5**	**4,676.8**	**4,291.7**	**5,602.3**	**5,306.1**	**3,484.9**	**6,464.8**	**64,443.7**	**56,453.1**	**7,990.6**	**14.2%**
Departmental Operations:																
Personal Service	74.7	51.0	160.9	164.8	63.8	84.0	131.8	113.7	1,396.5	114.9	(0.9)	82.2	2,437.4	636.8	1,800.6	282.8%
Non-Personal Service	40.8	44.1	168.6	885.3	221.4	228.0	188.6	75.7	205.8	181.2	(733.3)	218.3	1,724.5	1,398.9	325.6	23.3%
General State Charges	22.7	24.7	38.9	83.7	70.7	23.5	77.7	29.3	112.4	785.3	48.5	24.2	1,341.6	333.6	1,008.0	302.2%
Debt Service, Including Payments on Financing Agreements	-	-	-	-	-	-	-	-	102.2	-	-	-	102.2	-	102.2	100.0%
Capital Projects	-	-	-	-	2.3	-	-	-	-	-	(2.3)	-	-	-	-	0.0%
Total Disbursements	**5,869.1**	**4,120.6**	**5,563.7**	**5,653.2**	**4,823.4**	**11,041.0**	**5,074.9**	**4,510.4**	**7,419.2**	**6,387.5**	**2,796.9**	**6,789.5**	**70,049.4**	**58,822.4**	**11,227.0**	**19.1%**
Excess (Deficiency) of Receipts over Disbursements	**4,923.6**	**37.4**	**1,793.8**	**(424.6)**	**(404.4)**	**(1,123.2)**	**2,363.6**	**(409.9)**	**(108.3)**	**(595.0)**	**322.6**	**(105.5)**	**6,270.1**	**4,302.4**	**1,967.7**	**45.7%**
OTHER FINANCING SOURCES (USES):																
Transfers from Other Funds	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Transfers to Other Funds	(307.2)	(135.4)	2.7	(248.9)	(27.0)	(291.8)	(480.3)	(130.4)	(165.8)	(191.0)	(18.7)	(227.0)	(2,220.8)	(2,142.6)	78.2	3.6%
Total Other Financing Sources (Uses)	**(307.2)**	**(135.4)**	**2.7**	**(248.9)**	**(27.0)**	**(291.8)**	**(480.3)**	**(130.4)**	**(165.8)**	**(191.0)**	**(18.7)**	**(227.0)**	**(2,220.8)**	**(2,142.6)**	**78.2**	**3.6%**
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	**4,616.4**	**(98.0)**	**1,796.5**	**(673.5)**	**(431.4)**	**(1,415.0)**	**1,883.3**	**(540.3)**	**(274.1)**	**(786.0)**	**303.9**	**(332.5)**	**4,049.3**	**2,159.8**	**1,889.5**	**87.5%**
Ending Fund Balance	**$ 5,527.8**	**$ 5,429.8**	**$ 7,226.3**	**$ 6,552.8**	**$ 6,121.4**	**$ 4,706.4**	**$ 6,589.7**	**$ 6,049.4**	**$ 5,775.3**	**$ 4,989.3**	**$ 5,293.2**	**$ 4,960.7**	**$ 4,960.7**	**$ 911.4**	**$ 4,049.3**	**444.3%**

STATE OF NEW YORK
DEBT SERVICE FUNDS
STATEMENT OF CASH FLOW
FISCAL YEAR 2020-2021
(amounts in millions)

EXHIBIT H

	2020 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2021 JANUARY	FEBRUARY	MARCH	12 Months Ended March 31 2021	2020	$ Increase/ (Decrease)	% Increase/ Decrease
Beginning Fund Balance	**$ 63.4**	**$ 392.9**	**$ 522.1**	**$ 495.2**	**$ 1,603.0**	**$ 2,144.5**	**$ 2,337.3**	**$ 3,300.3**	**$ 4,126.3**	**$ 3,473.8**	**$ 7,209.2**	**$ 6,884.8**	**$ 63.4**	**$ 64.8**	**$ (1.4)**	**-2.2%**
RECEIPTS:																
Taxes:																
Personal Income Tax	1,033.1	1,099.6	2,184.2	5,115.4	1,361.5	2,635.7	1,265.8	1,287.4	2,416.4	4,726.5	2,691.9	1,665.8	27,483.3	26,829.7	653.6	2.4%
Consumption/Use Taxes:																
Sales and Use	394.2	369.7	572.0	529.7	536.3	708.9	549.9	544.9	706.7	597.8	499.4	624.9	6,634.4	7,436.5	(802.1)	-10.8%
Total Consumption/Use Taxes	**394.2**	**369.7**	**572.0**	**529.7**	**536.3**	**708.9**	**549.9**	**544.9**	**706.7**	**597.8**	**499.4**	**624.9**	**6,634.4**	**7,436.5**	**(802.1)**	**-10.8%**
Other Taxes:																
Real Estate Transfer	57.2	48.4	37.9	53.0	56.4	70.3	65.8	78.9	95.2	110.9	75.1	80.7	829.8	1,004.7	(174.9)	-17.4%
Employer Compensation Expense Tax	0.1	(0.1)	0.1	0.1	0.1	0.1	0.2	0.1	0.4	0.3	0.1	0.1	1.6	1.0	0.6	60.0%
Total Other Taxes	**57.3**	**48.3**	**38.0**	**53.1**	**56.5**	**70.4**	**66.0**	**79.0**	**95.6**	**111.2**	**75.2**	**80.8**	**831.4**	**1,005.7**	**(174.3)**	**-17.3%**
Total Taxes	**1,484.6**	**1,517.6**	**2,794.2**	**5,698.2**	**1,954.3**	**3,415.0**	**1,881.7**	**1,911.3**	**3,218.7**	**5,435.5**	**3,266.5**	**2,371.5**	**34,949.1**	**35,271.9**	**(322.8)**	**-0.9%**
Miscellaneous Receipts:																
Assessments:																
Medical Care	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Fees, Licenses and Permits:																
Alcohol Beverage Control Licensing	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Business/Professional	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Civil	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Criminal	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Motor Vehicle	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Recreational/Consumer	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Interest Earnings	0.1	0.1	-	-	-	-	-	0.1	-	-	0.1	-	0.4	3.0	(2.6)	-86.7%
Receipts from Public Authorities:																
Bond Proceeds	-	-	-	-	-	-	-	-	0.4	-	-	-	0.4	-	0.4	100.0%
Receipts from Municipalities	-	0.3	0.1	0.1	-	-	-	1.9	-	0.7	-	0.8	3.9	3.8	0.1	2.6%
Rentals	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Revenues of State Departments:																
Patient/Client Care Reimbursement	47.2	13.4	42.6	45.8	46.2	36.2	20.9	20.0	50.2	17.8	16.1	39.8	396.2	470.0	(73.8)	-15.7%
All Other	-	-	-	-	-	-	-	-	-	-	-	-	-	0.1	(0.1)	-100.0%
Sales	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Total Miscellaneous Receipts	**47.3**	**13.8**	**42.7**	**45.9**	**46.2**	**36.2**	**20.9**	**22.0**	**50.6**	**18.5**	**16.2**	**40.6**	**400.9**	**476.9**	**(76.0)**	**-15.9%**
Federal Receipts	-	-	-	-	-	24.4	-	-	12.5	-	27.7	9.2	73.8	73.8	-	0.0%
Total Receipts	**1,531.9**	**1,531.4**	**2,836.9**	**5,744.1**	**2,000.5**	**3,475.6**	**1,902.6**	**1,933.3**	**3,281.8**	**5,454.0**	**3,310.4**	**2,421.3**	**35,423.8**	**35,822.6**	**(398.8)**	**-1.1%**
DISBURSEMENTS:																
Departmental Operations:																
Non-Personal Service	-	0.9	12.1	5.8	2.9	7.9	-	3.2	(8.9)	0.1	25.2	12.2	61.4	36.2	25.2	69.6%
Debt Service, Including Payments on Financing Agreements	36.5	23.5	28.9	10.7	337.6	841.8	39.8	30.4	1,229.8	10.6	878.8	9,727.9	13,196.3	4,916.1	8,280.2	168.4%
Total Disbursements	**36.5**	**24.4**	**41.0**	**16.5**	**340.5**	**849.7**	**39.8**	**33.6**	**1,220.9**	**10.7**	**904.0**	**9,740.1**	**13,257.7**	**4,952.3**	**8,305.4**	**167.7%**
Excess (Deficiency) of Receipts over Disbursements	**1,495.4**	**1,507.0**	**2,795.9**	**5,727.6**	**1,660.0**	**2,625.9**	**1,862.8**	**1,899.7**	**2,060.9**	**5,443.3**	**2,406.4**	**(7,318.8)**	**22,166.1**	**30,870.3**	**(8,704.2)**	**-28.2%**
OTHER FINANCING SOURCES (USES):																
Transfers from Other Funds	280.2	130.3	39.0	311.2	49.4	247.4	319.1	89.5	135.4	367.2	162.1	1,093.1	3,223.9	3,742.2	(518.3)	-13.9%
Transfers to Other Funds	(1,446.1)	(1,508.1)	(2,861.8)	(4,931.0)	(1,167.9)	(2,680.5)	(1,218.9)	(1,163.2)	(2,848.8)	(2,075.1)	(2,892.9)	(594.1)	(25,388.4)	(34,613.9)	(9,225.5)	-26.7%
Total Other Financing Sources (Uses)	**(1,165.9)**	**(1,377.8)**	**(2,822.8)**	**(4,619.8)**	**(1,118.5)**	**(2,433.1)**	**(899.8)**	**(1,073.7)**	**(2,713.4)**	**(1,707.9)**	**(2,730.8)**	**499.0**	**(22,164.5)**	**(30,871.7)**	**8,707.2**	**28.2%**
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	**329.5**	**129.2**	**(26.9)**	**1,107.8**	**541.5**	**192.8**	**963.0**	**826.0**	**(652.5)**	**3,735.4**	**(324.4)**	**(6,819.8)**	**1.6**	**(1.4)**	**3.0**	**214.3%**
Ending Fund Balance	**$ 392.9**	**$ 522.1**	**$ 495.2**	**$ 1,603.0**	**$ 2,144.5**	**$ 2,337.3**	**$ 3,300.3**	**$ 4,126.3**	**$ 3,473.8**	**$ 7,209.2**	**$ 6,884.8**	**$ 65.0**	**$ 65.0**	**$ 63.4**	**$ 1.6**	**2.5%**

STATE OF NEW YORK
CAPITAL PROJECTS FUNDS - COMBINED
STATEMENT OF CASH FLOW
FISCAL YEAR 2020-2021
(amounts in millions)

EXHIBIT I

	2020 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2021 JANUARY	FEBRUARY	MARCH	Intra-Fund Transfer Eliminations (*)	12 Months Ended March 31 2021	2020	$ Increase/ (Decrease)	% Increase/ Decrease
Beginning Fund Balance	$ (1,034.9)	$ (1,155.0)	$ (1,322.2)	$ (1,207.9)	$ (930.7)	$ (1,080.2)	$ (1,488.9)	$ (1,423.1)	$ (1,464.9)	$ (1,194.7)	$ (1,263.9)	$ (1,160.9)		$ (1,034.9)	$ (1,137.9)	$ 103.0	9.1%
RECEIPTS:																	
Taxes:																	
Consumption/Use Taxes:																	
Auto Rental	0.6	0.1	10.1	-	-	15.6	0.1	-	15.3	-	-	10.1	-	51.9	87.5	(35.6)	-40.7%
Motor Fuel	23.8	16.7	24.9	31.2	33.4	32.7	31.3	31.1	33.7	23.5	24.9	27.1	-	334.3	403.6	(69.3)	-17.2%
Highway Use	11.6	8.8	12.4	12.4	10.2	12.7	10.6	11.4	12.6	11.6	10.8	9.3	-	134.4	140.9	(6.5)	-4.6%
Total Consumption/Use Taxes	**36.0**	**25.6**	**47.4**	**43.6**	**43.6**	**61.0**	**42.0**	**42.5**	**61.6**	**35.1**	**35.7**	**46.5**	**-**	**520.6**	**632.0**	**(111.4)**	**-17.6%**
Business Taxes:																	
Corporation Franchise	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Corporation and Utilities	0.1	(1.5)	0.6	3.6	0.1	2.2	0.7	-	2.3	0.2	(1.4)	3.3	-	10.2	14.6	(4.4)	-30.1%
Petroleum Business	38.0	22.2	48.0	49.1	48.3	55.2	49.9	46.3	44.8	42.7	38.3	42.9	-	525.7	651.8	(126.1)	-19.3%
Total Business Taxes	**38.1**	**20.7**	**48.6**	**52.7**	**48.4**	**57.4**	**50.6**	**46.3**	**47.1**	**42.9**	**36.9**	**46.2**	**-**	**535.9**	**666.4**	**(130.5)**	**-19.6%**
Other Taxes:																	
Real Estate Transfer	-	-	11.9	11.9	11.9	11.9	12.0	11.9	11.9	11.9	11.9	11.9	-	119.1	119.1	-	0.0%
Total Other Taxes	**-**	**-**	**11.9**	**11.9**	**11.9**	**11.9**	**12.0**	**11.9**	**11.9**	**11.9**	**11.9**	**11.9**	**-**	**119.1**	**119.1**	**-**	**0.0%**
Total Taxes	**74.1**	**46.3**	**107.9**	**108.2**	**103.9**	**130.3**	**104.6**	**100.7**	**120.6**	**89.9**	**84.5**	**104.6**	**-**	**1,175.6**	**1,417.5**	**(241.9)**	**-17.1%**
Miscellaneous Receipts:																	
Abandoned Property:																	
Bottle Bill	-	-	-	23.0	-	-	-	-	-	-	-	16.7	-	39.7	23.0	16.7	72.6%
Assessments:																	
Business	7.9	3.6	5.4	7.0	7.6	7.1	7.3	7.3	6.5	6.7	7.1	6.5	-	80.0	103.1	(23.1)	-22.4%
Fees, Licenses and Permits:																	
Business/Professional	1.8	2.1	1.6	1.3	9.3	3.1	2.5	2.3	0.6	0.4	1.8	0.1	-	26.9	31.7	(4.8)	-15.1%
Civil	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Motor Vehicle	52.2	33.2	43.1	60.6	63.4	57.4	60.7	60.3	59.6	59.9	56.3	47.8	-	654.5	728.7	(74.2)	-10.2%
Recreational/Consumer	-	-	0.5	-	11.0	7.7	0.1	-	0.8	0.1	-	2.0	-	22.2	30.9	(8.7)	-28.2%
Fines, Penalties and Forfeitures	2.0	1.9	2.0	1.2	3.2	2.2	1.9	1.4	1.1	2.5	1.6	2.3	-	23.3	26.7	(3.4)	-12.7%
Interest Earnings	0.7	0.5	0.1	-	0.1	0.1	-	0.1	0.1	-	0.1	-	-	1.8	11.3	(9.5)	-84.1%
Receipts from Public Authorities:																	
Bond Proceeds	1,122.1	19.1	342.4	269.9	32.4	384.7	895.8	1.0	981.9	3.9	289.3	187.8	-	4,530.3	5,408.7	(878.4)	-16.2%
Issuance Fees	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Non Bond Related	0.1	-	0.5	0.1	0.5	0.1	0.4	-	0.4	15.2	0.9	12.7	-	30.9	54.3	(23.4)	-43.1%
Receipts from Municipalities	-	-	0.1	-	0.3	-	0.1	-	-	0.1	0.3	0.1	-	1.0	4.1	(3.1)	-75.6%
Rentals	0.5	1.1	2.1	2.2	3.8	1.2	0.8	0.9	0.9	1.6	1.8	1.5	-	18.4	10.9	7.5	68.8%
Revenues of State Departments:																	
Administrative Recoveries	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Gifts, Grants and Donations	-	0.7	5.8	-	1.3	1.6	0.8	0.4	1.7	0.1	(1.3)	0.3	-	11.4	34.5	(23.1)	-67.0%
Indirect Cost Recoveries	-	-	-	-	-	-	-	-	-	-	-	-	-	-	2.6	(2.6)	-100.0%
Rebates	-	-	-	-	-	-	-	-	-	-	0.1	-	-	0.1	1.2	(1.1)	-91.7%
Restitution and Settlements	3.1	0.2	-	0.1	0.9	1.4	3.3	0.4	0.8	0.9	0.9	0.9	-	12.9	12.6	0.3	2.4%
All Other	0.3	1.8	12.0	0.2	0.7	13.7	4.7	1.3	0.8	(12.8)	1.5	2.9	-	27.1	60.7	(33.6)	-55.4%
Sales	-	-	-	-	0.1	0.1	-	-	-	0.1	-	-	-	0.3	5.8	(5.5)	-94.8%
Total Miscellaneous Receipts	**1,190.7**	**64.2**	**415.6**	**365.6**	**134.6**	**480.4**	**978.4**	**75.4**	**1,055.2**	**78.7**	**360.4**	**281.6**	**-**	**5,480.8**	**6,550.8**	**(1,070.0)**	**-16.3%**
Federal Receipts	85.7	102.7	167.9	209.1	186.7	177.2	167.7	221.9	228.2	125.9	147.0	134.3	-	1,954.3	2,109.0	(154.7)	-7.3%
Total Receipts	**1,350.5**	**213.2**	**691.4**	**682.9**	**425.2**	**787.9**	**1,250.7**	**398.0**	**1,404.0**	**294.5**	**591.9**	**520.5**	**-**	**8,610.7**	**10,077.3**	**(1,466.6)**	**-14.6%**

STATE OF NEW YORK
CAPITAL PROJECTS FUNDS - COMBINED
STATEMENT OF CASH FLOW
FISCAL YEAR 2020-2021
(amounts in millions)

EXHIBIT I

	2020 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2021 JANUARY	FEBRUARY	MARCH	Intra-Fund Transfer Eliminations (*)	12 Months Ended March 31 2021	2020	$ Increase/ (Decrease)	% Increase/ Decrease
DISBURSEMENTS:																	
Local Assistance Grants:																	
Education	12.0	-	4.9	1.0	1.0	1.4	20.3	45.6	4.2	1.3	27.2	3.2	-	122.1	175.8	(53.7)	-30.5%
Environment and Recreation	4.4	3.2	14.0	12.6	20.6	15.3	6.9	9.8	20.4	36.3	8.0	47.7	-	199.2	417.1	(217.9)	-52.2%
General Government	30.4	10.9	41.5	36.0	69.0	18.7	90.4	55.6	51.2	60.4	52.1	99.0	-	615.2	850.1	(234.9)	-27.6%
Public Health:																	
Medicaid	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Other Public Health	29.0	58.2	30.9	38.7	76.1	25.7	50.9	41.5	45.5	41.0	38.5	41.8	-	517.8	555.4	(37.6)	-6.8%
Public Safety	-	-	3.8	0.9	1.1	0.7	0.3	34.0	1.3	3.3	5.8	1.6	-	52.8	96.8	(44.0)	-45.5%
Public Welfare	-	33.8	73.7	71.7	53.1	110.4	24.6	7.8	96.3	16.8	15.6	100.8	-	604.6	413.1	191.5	46.4%
Support and Regulate Business	43.2	7.7	24.8	20.9	71.6	11.4	53.5	28.0	133.8	20.8	130.7	138.6	-	685.0	879.0	(194.0)	-22.1%
Transportation	24.4	46.2	50.0	91.9	146.7	369.3	483.6	503.9	220.4	200.6	67.9	239.2	-	2,444.1	1,625.8	818.3	50.3%
Total Local Assistance Grants	**143.4**	**160.0**	**243.6**	**273.7**	**439.2**	**552.9**	**730.5**	**726.2**	**573.1**	**380.5**	**345.8**	**671.9**	**-**	**5,240.8**	**5,013.1**	**227.7**	**4.5%**
Departmental Operations:																	
Personal Service	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Non-Personal Service	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
General State Charges	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Capital Projects	509.8	406.0	681.8	641.4	665.4	706.7	533.6	609.8	630.5	453.5	549.5	702.0	-	7,090.0	6,985.3	104.7	1.5%
Total Disbursements	**653.2**	**566.0**	**925.4**	**915.1**	**1,104.6**	**1,259.6**	**1,264.1**	**1,336.0**	**1,203.6**	**834.0**	**895.3**	**1,373.9**	**-**	**12,330.8**	**11,998.4**	**332.4**	**2.8%**
Excess (Deficiency) of Receipts over Disbursements	**697.3**	**(352.8)**	**(234.0)**	**(232.2)**	**(679.4)**	**(471.7)**	**(13.4)**	**(938.0)**	**200.4**	**(539.5)**	**(303.4)**	**(853.4)**	**-**	**(3,720.1)**	**(1,921.1)**	**(1,799.0)**	**-93.6%**
OTHER FINANCING SOURCES (USES):																	
Bond and Note Proceeds (net)	-	-	-	-	-	-	-	-	-	-	-	202.6	-	202.6	-	202.6	100.0%
Transfers from Other Funds	(805.1)	198.0	360.6	523.4	566.3	250.0	91.3	908.3	124.1	481.9	887.0	1,601.7	(332.4)	4,855.1	3,546.5	1,308.6	36.9%
Transfers to Other Funds	(12.3)	(12.4)	(12.3)	(14.0)	(36.4)	(187.0)	(12.1)	(12.1)	(54.3)	(11.6)	(480.6)	(934.0)	332.4	(1,446.7)	(1,522.4)	(75.7)	-5.0%
Total Other Financing Sources (Uses)	**(817.4)**	**185.6**	**348.3**	**509.4**	**529.9**	**63.0**	**79.2**	**896.2**	**69.8**	**470.3**	**406.4**	**870.3**	**-**	**3,611.0**	**2,024.1**	**1,586.9**	**78.4%**
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	**(120.1)**	**(167.2)**	**114.3**	**277.2**	**(149.5)**	**(408.7)**	**65.8**	**(41.8)**	**270.2**	**(69.2)**	**103.0**	**16.9**	**-**	**(109.1)**	**103.0**	**(212.1)**	**-205.9%**
Ending Fund Balance	**$ (1,155.0)**	**$ (1,322.2)**	**$ (1,207.9)**	**$ (930.7)**	**$ (1,080.2)**	**$ (1,488.9)**	**$ (1,423.1)**	**$ (1,464.9)**	**$ (1,194.7)**	**$ (1,263.9)**	**$ (1,160.9)**	**$ (1,144.0)**	**$ -**	**$ (1,144.0)**	**$ (1,034.9)**	**$ (109.1)**	**-10.5%**

(*) Intra-Fund transfer eliminations represent transfers from Capital Projects-State and Federal Funds.

STATE OF NEW YORK
CAPITAL PROJECTS FUNDS - STATE
STATEMENT OF CASH FLOW
FISCAL YEAR 2020-2021
(amounts in millions)

EXHIBIT I

	2020 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2021 JANUARY	FEBRUARY	MARCH	12 Months Ended March 31 2021	2020	$ Increase/ (Decrease)	% Increase/ Decrease
Beginning Fund Balance	$ (472.2)	$ (598.4)	$ (754.3)	$ (629.3)	$ (389.5)	$ (564.6)	$ (935.6)	$ (883.5)	$ (1,005.9)	$ (843.0)	$ (924.7)	$ (552.4)	$ (472.2)	$ (633.2)	$ 161.0	25.4%
RECEIPTS:																
Taxes:																
Consumption/Use Taxes																
Auto Rental	0.6	0.1	10.1	-	-	15.6	0.1	-	15.3	-	-	10.1	51.9	87.5	(35.6)	-40.7%
Motor Fuel	23.8	16.7	24.9	31.2	33.4	32.7	31.3	31.1	33.7	23.5	24.9	27.1	334.3	403.6	(69.3)	-17.2%
Highway Use	11.6	8.8	12.4	12.4	10.2	12.7	10.6	11.4	12.6	11.6	10.8	9.3	134.4	140.9	(6.5)	-4.6%
Total Consumption/Use Taxes	**36.0**	**25.6**	**47.4**	**43.6**	**43.6**	**61.0**	**42.0**	**42.5**	**61.6**	**35.1**	**35.7**	**46.5**	**520.6**	**632.0**	**(111.4)**	**-17.6%**
Business Taxes																
Corporation Franchise	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Corporation and Utilities	0.1	(1.5)	0.6	3.6	0.1	2.2	0.7	-	2.3	0.2	(1.4)	3.3	10.2	14.6	(4.4)	-30.1%
Petroleum Business	38.0	22.2	48.0	49.1	48.3	55.2	49.9	46.3	44.8	42.7	38.3	42.9	525.7	651.8	(126.1)	-19.3%
Total Business Taxes	**38.1**	**20.7**	**48.6**	**52.7**	**48.4**	**57.4**	**50.6**	**46.3**	**47.1**	**42.9**	**36.9**	**46.2**	**535.9**	**666.4**	**(130.5)**	**-19.6%**
Other Taxes																
Real Estate Transfer	-	-	11.9	11.9	11.9	11.9	12.0	11.9	11.9	11.9	11.9	11.9	119.1	119.1	-	0.0%
Total Other Taxes	**-**	**-**	**11.9**	**11.9**	**11.9**	**11.9**	**12.0**	**11.9**	**11.9**	**11.9**	**11.9**	**11.9**	**119.1**	**119.1**	**-**	**0.0%**
Total Taxes	**74.1**	**46.3**	**107.9**	**108.2**	**103.9**	**130.3**	**104.6**	**100.7**	**120.6**	**89.9**	**84.5**	**104.6**	**1,175.6**	**1,417.5**	**(241.9)**	**-17.1%**
Miscellaneous Receipts:																
Abandoned Property:																
Bottle Bill	-	-	-	23.0	-	-	-	-	-	-	-	16.7	39.7	23.0	16.7	72.6%
Assessments:																
Business	7.9	3.6	5.4	7.0	7.6	7.1	7.3	7.3	6.5	6.7	7.1	6.5	80.0	103.1	(23.1)	-22.4%
Fees, Licenses and Permits:																
Business/Professional	1.8	2.1	1.6	1.3	9.3	3.1	2.5	2.3	0.6	0.4	1.8	0.1	26.9	31.7	(4.8)	-15.1%
Civil	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Motor Vehicle	52.2	33.2	43.1	60.6	63.4	57.4	60.7	60.3	59.6	59.9	56.3	47.8	654.5	728.7	(74.2)	-10.2%
Recreational/Consumer	-	-	0.5	-	11.0	7.7	0.1	-	0.8	0.1	-	2.0	22.2	30.9	(8.7)	-28.2%
Fines, Penalties and Forfeitures	2.0	1.9	2.0	1.2	3.2	2.2	1.9	1.4	1.1	2.5	1.6	2.3	23.3	26.7	(3.4)	-12.7%
Interest Earnings	0.7	0.5	0.1	-	0.1	0.1	-	0.1	0.1	-	0.1	-	1.8	11.3	(9.5)	-84.1%
Receipts from Public Authorities:																
Bond Proceeds	1,122.1	19.1	342.4	269.9	32.4	384.7	895.8	1.0	981.9	3.9	289.3	187.8	4,530.3	5,408.7	(878.4)	-16.2%
Issuance Fees	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Non Bond Related	0.1	-	0.5	0.1	0.5	0.1	0.4	-	0.4	15.2	0.9	12.7	30.9	54.3	(23.4)	-43.1%
Receipts from Municipalities	-	-	0.1	-	0.3	-	0.1	-	-	0.1	0.3	0.1	1.0	4.1	(3.1)	-75.6%
Rentals	0.4	1.1	2.0	2.2	3.7	1.1	0.7	0.8	0.8	1.6	1.7	1.5	17.6	10.0	7.6	76.0%
Revenues of State Departments:																
Administrative Recoveries	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Gifts, Grants and Donations	-	0.7	5.8	-	1.3	1.6	0.8	0.4	1.7	0.1	(1.3)	0.3	11.4	34.5	(23.1)	-67.0%
Indirect Cost Recoveries	-	-	-	-	-	-	-	-	-	-	-	-	-	2.6	(2.6)	-100.0%
Rebates	-	-	-	-	-	-	-	-	-	-	0.1	-	0.1	1.2	(1.1)	-91.7%
Restitution and Settlements	3.1	0.2	-	0.1	0.9	1.4	3.3	0.4	0.8	0.9	0.9	0.9	12.9	12.6	0.3	2.4%
All Other	0.3	1.8	12.0	0.2	0.7	13.7	4.7	1.3	0.8	(12.8)	1.5	2.9	27.1	60.7	(33.6)	-55.4%
Sales	-	-	-	-	0.1	-	-	-	-	0.1	-	-	0.2	5.6	(5.4)	-96.4%
Total Miscellaneous Receipts	**1,190.6**	**64.2**	**415.5**	**365.6**	**134.5**	**480.2**	**978.3**	**75.3**	**1,055.1**	**78.7**	**360.3**	**281.6**	**5,479.9**	**6,549.7**	**(1,069.8)**	**-16.3%**
Federal Receipts	-	-	-	-	-	2.1	-	-	-	-	-	2.1	4.2	4.6	(0.4)	-8.7%
Total Receipts	**1,264.7**	**110.5**	**523.4**	**473.8**	**238.4**	**612.6**	**1,082.9**	**176.0**	**1,175.7**	**168.6**	**444.8**	**388.3**	**6,659.7**	**7,971.8**	**(1,312.1)**	**-16.5%**

STATE OF NEW YORK
CAPITAL PROJECTS FUNDS - STATE
STATEMENT OF CASH FLOW
FISCAL YEAR 2020-2021
(amounts in millions)

EXHIBIT I

	2020 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2021 JANUARY	FEBRUARY	MARCH	12 Months Ended March 31 2021	2020	$ Increase/ (Decrease)	% Increase/ Decrease
DISBURSEMENTS:																
Local Assistance Grants:																
Education	12.0	-	4.9	1.0	1.0	1.4	20.3	45.6	4.2	1.3	27.2	3.2	122.1	175.8	(53.7)	-30.5%
Environment and Recreation	4.4	3.2	14.0	12.6	20.6	15.3	6.9	9.8	20.4	36.3	8.0	41.0	192.5	241.7	(49.2)	-20.4%
General Government	30.4	10.9	41.5	36.0	69.0	18.7	90.4	55.6	51.2	60.4	52.1	99.0	615.2	850.1	(234.9)	-27.6%
Public Health:																
Medicaid	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Other Public Health	29.0	58.2	30.9	37.5	76.1	25.1	50.9	41.5	45.2	41.0	38.5	40.0	513.9	512.5	1.4	0.3%
Public Safety	-	-	0.7	0.9	1.1	0.3	0.3	34.0	0.4	3.3	5.8	1.6	48.4	62.8	(14.4)	-22.9%
Public Welfare	-	33.8	73.7	71.7	53.1	110.4	24.6	7.8	96.3	16.8	15.6	100.8	604.6	413.1	191.5	46.4%
Support and Regulate Business	43.2	7.7	24.8	20.9	71.6	11.4	53.5	28.0	133.8	20.8	130.7	138.6	685.0	879.0	(194.0)	-22.1%
Transportation	2.4	4.7	14.4	50.4	104.0	316.6	432.9	456.5	155.5	154.9	13.5	214.4	1,920.2	1,083.4	836.8	77.2%
Total Local Assistance Grants	**121.4**	**118.5**	**204.9**	**231.0**	**396.5**	**499.2**	**679.8**	**678.8**	**507.0**	**334.8**	**291.4**	**638.6**	**4,701.9**	**4,218.4**	**483.5**	**11.5%**
Departmental Operations:																
Personal Service	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Non-Personal Service	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
General State Charges	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Capital Projects	452.1	333.5	541.8	512.4	546.9	547.4	430.2	515.8	575.6	385.8	500.0	651.2	5,992.7	5,915.6	77.1	1.3%
Total Disbursements	**573.5**	**452.0**	**746.7**	**743.4**	**943.4**	**1,046.6**	**1,110.0**	**1,194.6**	**1,082.6**	**720.6**	**791.4**	**1,289.8**	**10,694.6**	**10,134.0**	**560.6**	**5.5%**
Excess (Deficiency) of Receipts over Disbursements	**691.2**	**(341.5)**	**(223.3)**	**(269.6)**	**(705.0)**	**(434.0)**	**(27.1)**	**(1,018.6)**	**93.1**	**(552.0)**	**(346.6)**	**(901.5)**	**(4,034.9)**	**(2,162.2)**	**(1,872.7)**	**-86.6%**
OTHER FINANCING SOURCES (USES):																
Bond and Note Proceeds (net)	-	-	-	-	-	-	-	-	-	-	-	202.6	202.6	-	202.6	100.0%
Transfers from Other Funds	(805.1)	198.0	360.6	523.4	566.3	250.0	91.3	908.3	124.1	481.9	887.0	1,601.7	5,187.5	3,845.5	1,342.0	34.9%
Transfers to Other Funds	(12.3)	(12.4)	(12.3)	(14.0)	(36.4)	(187.0)	(12.1)	(12.1)	(54.3)	(11.6)	(168.1)	(914.1)	(1,446.7)	(1,522.3)	(75.6)	-5.0%
Total Other Financing Sources (Uses)	**(817.4)**	**185.6**	**348.3**	**509.4**	**529.9**	**63.0**	**79.2**	**896.2**	**69.8**	**470.3**	**718.9**	**890.2**	**3,943.4**	**2,323.2**	**1,620.2**	**69.7%**
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	**(126.2)**	**(155.9)**	**125.0**	**239.8**	**(175.1)**	**(371.0)**	**52.1**	**(122.4)**	**162.9**	**(81.7)**	**372.3**	**(11.3)**	**(91.5)**	**161.0**	**(252.5)**	**-156.8%**
Ending Fund Balance	**$ (598.4)**	**$ (754.3)**	**$ (629.3)**	**$ (389.5)**	**$ (564.6)**	**$ (935.6)**	**$ (883.5)**	**$ (1,005.9)**	**$ (843.0)**	**$ (924.7)**	**$ (552.4)**	**$ (563.7)**	**$ (563.7)**	**$ (472.2)**	**$ (91.5)**	**-19.4%**

STATE OF NEW YORK
CAPITAL PROJECTS FUNDS - FEDERAL
STATEMENT OF CASH FLOW
FISCAL YEAR 2020-2021
(amounts in millions)

EXHIBIT I

	2020 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2021 JANUARY	FEBRUARY	MARCH	12 Months Ended March 31 2021	2020	$ Increase/ (Decrease)	% Increase/ Decrease
Beginning Fund Balance	$ (562.7)	$ (556.6)	$ (567.9)	$ (578.6)	$ (541.2)	$ (515.6)	$ (553.3)	$ (539.6)	$ (459.0)	$ (351.7)	$ (339.2)	$ (608.5)	$ (562.7)	$ (504.7)	$ (58.0)	-11.5%
RECEIPTS:																
Miscellaneous Receipts:																
Abandoned Property:																
Bottle Bill	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Assessments:																
Business	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Fees, Licenses and Permits:																
Business/Professional	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Civil	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Motor Vehicle	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Recreational/Consumer	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Fines, Penalties and Forfeitures	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Interest Earnings	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Receipts from Public Authorities:																
Bond Proceeds	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Issuance Fees	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Non Bond Related	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Receipts from Municipalities	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Rentals	0.1	-	0.1	-	0.1	0.1	0.1	0.1	0.1	-	0.1	-	0.8	0.9	(0.1)	-11.1%
Revenues of State Departments:																
Administrative Recoveries	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Gifts, Grants and Donations	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Indirect Cost Recoveries	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Restitution and Settlements	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
All Other	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Sales	-	-	-	-	-	0.1	-	-	-	-	-	-	0.1	0.2	(0.1)	-50.0%
Total Miscellaneous Receipts	**0.1**	**-**	**0.1**	**-**	**0.1**	**0.2**	**0.1**	**0.1**	**0.1**	**-**	**0.1**	**-**	**0.9**	**1.1**	**(0.2)**	**-18.2%**
Federal Receipts	85.7	102.7	167.9	209.1	186.7	175.1	167.7	221.9	228.2	125.9	147.0	132.2	1,950.1	2,104.4	(154.3)	-7.3%
Total Receipts	**85.8**	**102.7**	**168.0**	**209.1**	**186.8**	**175.3**	**167.8**	**222.0**	**228.3**	**125.9**	**147.1**	**132.2**	**1,951.0**	**2,105.5**	**(154.5)**	**-7.3%**
DISBURSEMENTS:																
Local Assistance Grants:																
Education	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Environment and Recreation	-	-	-	-	-	-	-	-	-	-	-	6.7	6.7	175.4	(168.7)	-96.2%
General Government	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Public Health:																
Medicaid	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Other Public Health	-	-	-	1.2	-	0.6	-	-	0.3	-	-	1.8	3.9	42.9	(39.0)	-90.9%
Public Safety	-	-	3.1	-	-	0.4	-	-	0.9	-	-	-	4.4	34.0	(29.6)	-87.1%
Public Welfare	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Support and Regulate Business	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Transportation	22.0	41.5	35.6	41.5	42.7	52.7	50.7	47.4	64.9	45.7	54.4	24.8	523.9	542.4	(18.5)	-3.4%
Total Local Assistance Grants	**22.0**	**41.5**	**38.7**	**42.7**	**42.7**	**53.7**	**50.7**	**47.4**	**66.1**	**45.7**	**54.4**	**33.3**	**538.9**	**794.7**	**(255.8)**	**-32.2%**
Departmental Operations:																
Personal Service	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Non-Personal Service	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
General State Charges	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Capital Projects	57.7	72.5	140.0	129.0	118.5	159.3	103.4	94.0	54.9	67.7	49.5	50.8	1,097.3	1,069.7	27.6	2.6%
Total Disbursements	**79.7**	**114.0**	**178.7**	**171.7**	**161.2**	**213.0**	**154.1**	**141.4**	**121.0**	**113.4**	**103.9**	**84.1**	**1,636.2**	**1,864.4**	**(228.2)**	**-12.2%**
Excess (Deficiency) of Receipts over Disbursements	**6.1**	**(11.3)**	**(10.7)**	**37.4**	**25.6**	**(37.7)**	**13.7**	**80.6**	**107.3**	**12.5**	**43.2**	**48.1**	**314.8**	**241.1**	**73.7**	**30.6%**
OTHER FINANCING SOURCES (USES):																
Transfers from Other Funds	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Transfers to Other Funds	-	-	-	-	-	-	-	-	-	-	(312.5)	(19.9)	(332.4)	(299.1)	33.3	11.1%
Total Other Financing Sources (Uses)	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**(312.5)**	**(19.9)**	**(332.4)**	**(299.1)**	**33.3**	**11.1%**
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	**6.1**	**(11.3)**	**(10.7)**	**37.4**	**25.6**	**(37.7)**	**13.7**	**80.6**	**107.3**	**12.5**	**(269.3)**	**28.2**	**(17.6)**	**(58.0)**	**40.4**	**69.7%**
Ending Fund Balance	$ (556.6)	$ (567.9)	$ (578.6)	$ (541.2)	$ (515.6)	$ (553.3)	$ (539.6)	$ (459.0)	$ (351.7)	$ (339.2)	$ (608.5)	$ (580.3)	$ (580.3)	$ (562.7)	$ (17.6)	-3.1%

STATE OF NEW YORK
ENTERPRISE FUNDS
STATEMENT OF CASH FLOW
FISCAL YEAR 2020-2021
(amounts in millions)

EXHIBIT J

	2020 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2021 JANUARY	FEBRUARY	MARCH	12 Months Ended March 31 2021	2020	$ Increase/ (Decrease)	% Increase/ Decrease
Beginning Fund Balance	$ 29.7	$ 45.9	$ 35.9	$ 35.3	$ 40.4	$ 42.2	$ 42.1	$ 41.3	$ 41.0	$ 38.4	$ 43.0	$ 42.8	$ 29.7	$ 26.6	$ 3.1	11.7%
RECEIPTS:																
Miscellaneous Receipts	4.2	4.8	6.4	6.9	7.7	7.0	4.4	3.9	3.1	9.6	3.7	6.0	67.7	80.7	(13.0)	-16.1%
Federal Receipts	2,584.0	5,993.3	10,834.5	8,949.5	3,020.9	2,491.7	2,489.5	2,484.9	2,184.6	4,910.9	4,961.8	6,144.4	57,050.0	16.8	57,033.2	339,483.3%
Unemployment Taxes	1,823.9	2,261.7	2,631.7	1,980.9	1,831.3	1,396.4	613.4	544.2	561.3	499.8	494.3	494.9	15,133.8	2,284.7	12,849.1	562.4%
Total Receipts	4,412.1	8,259.8	13,472.6	10,937.3	4,859.9	3,895.1	3,107.3	3,033.0	2,749.0	5,420.3	5,459.8	6,645.3	72,251.5	2,382.2	69,869.3	2,933.0%
DISBURSEMENTS:																
Departmental Operations:																
Personal Service	1.4	0.9	1.1	1.1	1.3	1.7	1.1	0.9	0.9	0.7	0.3	1.1	12.5	17.1	(4.6)	-26.9%
Non-Personal Service	3.6	4.9	5.4	4.9	4.6	4.4	4.1	3.9	4.6	3.6	3.6	5.1	52.7	57.5	(4.8)	-8.3%
General State Charges	0.2	0.1	0.2	0.1	0.1	0.2	0.1	0.2	0.2	-	0.2	0.1	1.7	1.6	0.1	6.3%
Unemployment Benefits	4,390.7	8,263.9	13,469.5	10,926.1	4,852.1	3,888.9	3,102.8	3,028.3	2,745.9	5,411.4	5,455.9	6,536.2	72,071.7	2,303.4	69,768.3	3,028.9%
Total Disbursements	4,395.9	8,269.8	13,476.2	10,932.2	4,858.1	3,895.2	3,108.1	3,033.3	2,751.6	5,415.7	5,460.0	6,542.5	72,138.6	2,379.6	69,759.0	2,931.5%
Excess (Deficiency) of Receipts over Disbursements	16.2	(10.0)	(3.6)	5.1	1.8	(0.1)	(0.8)	(0.3)	(2.6)	4.6	(0.2)	102.8	112.9	2.6	110.3	4,242.3%
OTHER FINANCING SOURCES (USES):																
Transfers from Other Funds	-	-	3.0	-	-	-	-	-	-	-	-	-	3.0	0.5	2.5	500.0%
Transfers to Other Funds	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Total Other Financing Sources (Uses)	-	-	3.0	-	-	-	-	-	-	-	-	-	3.0	0.5	2.5	500.0%
Excess (Deficiency) of Receipts and Other Financing Sources Over Disbursements and Other Financing Uses	16.2	(10.0)	(0.6)	5.1	1.8	(0.1)	(0.8)	(0.3)	(2.6)	4.6	(0.2)	102.8	115.9	3.1	112.8	3,638.7%
Ending Fund Balance	$ 45.9	$ 35.9	$ 35.3	$ 40.4	$ 42.2	$ 42.1	$ 41.3	$ 41.0	$ 38.4	$ 43.0	$ 42.8	$ 145.6	$ 145.6	$ 29.7	$ 115.9	390.2%

STATE OF NEW YORK
INTERNAL SERVICE FUNDS
STATEMENT OF CASH FLOW
FISCAL YEAR 2020-2021
(amounts in millions)

EXHIBIT K

	2020 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2021 JANUARY	FEBRUARY	MARCH	12 Months Ended March 31 2021	2020	$ Increase/ (Decrease)	% Increase/ Decrease
Beginning Fund Balance	$ (297.5)	$ (281.0)	$ (299.2)	$ (315.2)	$ (342.3)	$ (355.2)	$ (372.7)	$ (389.1)	$ (416.4)	$ (427.5)	$ (413.5)	$ (423.5)	$ (297.5)	$ (302.7)	$ 5.2	1.7%
RECEIPTS:																
Miscellaneous Receipts	25.2	22.3	34.9	19.8	32.4	34.1	53.0	29.0	46.0	33.0	47.6	145.5	522.8	589.3	(66.5)	-11.3%
Total Receipts	25.2	22.3	34.9	19.8	32.4	34.1	53.0	29.0	46.0	33.0	47.6	145.5	522.8	589.3	(66.5)	-11.3%
DISBURSEMENTS:																
Departmental Operations:																
Personal Service	14.5	11.1	11.0	12.3	9.9	14.6	10.2	9.9	10.7	9.4	10.1	10.9	134.6	126.2	8.4	6.7%
Non-Personal Service	(9.3)	26.6	83.3	31.7	41.6	35.2	61.3	44.8	45.0	6.5	48.5	105.1	520.3	489.2	31.1	6.4%
General State Charges	4.8	5.3	8.6	4.6	5.7	4.8	4.8	4.6	4.3	4.5	4.5	3.7	60.2	56.3	3.9	6.9%
Total Disbursements	10.0	43.0	102.9	48.6	57.2	54.6	76.3	59.3	60.0	20.4	63.1	119.7	715.1	671.7	43.4	6.5%
Excess (Deficiency) of Receipts over Disbursements	15.2	(20.7)	(68.0)	(28.8)	(24.8)	(20.5)	(23.3)	(30.3)	(14.0)	12.6	(15.5)	25.8	(192.3)	(82.4)	(109.9)	-133.4%
OTHER FINANCING SOURCES (USES):																
Transfers from Other Funds	1.3	2.5	52.0	1.7	12.1	3.1	6.9	3.1	5.8	1.4	5.6	38.3	133.8	109.6	24.2	22.1%
Transfers to Other Funds	-	-	-	-	(0.2)	(0.1)	-	(0.1)	(2.9)	-	(0.1)	(4.1)	(7.5)	(22.0)	(14.5)	-65.9%
Total Other Financing Sources (Uses)	1.3	2.5	52.0	1.7	11.9	3.0	6.9	3.0	2.9	1.4	5.5	34.2	126.3	87.6	38.7	44.2%
Excess (Deficiency) of Receipts and Other Financing Sources Over Disbursements and Other Financing Uses	16.5	(18.2)	(16.0)	(27.1)	(12.9)	(17.5)	(16.4)	(27.3)	(11.1)	14.0	(10.0)	60.0	(66.0)	5.2	(71.2)	-1,369.2%
Ending Fund Balance	$ (281.0)	$ (299.2)	$ (315.2)	$ (342.3)	$ (355.2)	$ (372.7)	$ (389.1)	$ (416.4)	$ (427.5)	$ (413.5)	$ (423.5)	$ (363.5)	$ (363.5)	$ (297.5)	$ (66.0)	-22.2%

STATE OF NEW YORK
PENSION TRUST FUNDS
STATEMENT OF CASH FLOW
FISCAL YEAR 2020-2021
(amounts in millions)

EXHIBIT L

	2020 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2021 JANUARY	FEBRUARY	MARCH	12 Months Ended March 31 2021	2020	$ Increase/ (Decrease)	% Increase/ Decrease
Beginning Fund Balance	$ (1.1)	$ (5.3)	$ (9.3)	$ (15.3)	$ (1.7)	$ (5.9)	$ (4.2)	$ (2.9)	$ (3.6)	$ (1.3)	$ (6.8)	$ (4.5)	$ (1.1)	$ (3.0)	$ 1.9	63.3%
RECEIPTS:																
Miscellaneous Receipts	8.3	5.5	5.4	23.5	5.6	14.3	11.0	5.6	15.8	5.6	12.6	37.8	151.0	145.2	5.8	4.0%
Total Receipts	**8.3**	**5.5**	**5.4**	**23.5**	**5.6**	**14.3**	**11.0**	**5.6**	**15.8**	**5.6**	**12.6**	**37.8**	**151.0**	**145.2**	**5.8**	**4.0%**
DISBURSEMENTS:																
Departmental Operations:																
Personal Service	8.3	5.5	5.6	5.5	5.5	8.3	5.6	5.5	5.6	5.5	5.6	11.1	77.6	70.4	7.2	10.2%
Non-Personal Service	0.7	0.6	0.6	1.0	0.9	0.8	0.7	0.7	2.7	2.2	1.2	20.4	32.5	34.4	(1.9)	-5.5%
General State Charges	3.5	3.4	5.2	3.4	3.4	3.5	3.4	0.1	5.2	3.4	3.5	1.8	39.8	38.5	1.3	3.4%
Total Disbursements	**12.5**	**9.5**	**11.4**	**9.9**	**9.8**	**12.6**	**9.7**	**6.3**	**13.5**	**11.1**	**10.3**	**33.3**	**149.9**	**143.3**	**6.6**	**4.6%**
Excess (Deficiency) of Receipts over Disbursements	**(4.2)**	**(4.0)**	**(6.0)**	**13.6**	**(4.2)**	**1.7**	**1.3**	**(0.7)**	**2.3**	**(5.5)**	**2.3**	**4.5**	**1.1**	**1.9**	**(0.8)**	**-42.1%**
OTHER FINANCING SOURCES (USES):																
Transfers from Other Funds	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Transfers to Other Funds	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Total Other Financing Sources (Uses)	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**0.0%**
Excess (Deficiency) of Receipts and Other Financing Sources Over Disbursements and Other Financing Uses	**(4.2)**	**(4.0)**	**(6.0)**	**13.6**	**(4.2)**	**1.7**	**1.3**	**(0.7)**	**2.3**	**(5.5)**	**2.3**	**4.5**	**1.1**	**1.9**	**(0.8)**	**-42.1%**
Ending Fund Balance	$ (5.3)	$ (9.3)	$ (15.3)	$ (1.7)	$ (5.9)	$ (4.2)	$ (2.9)	$ (3.6)	$ (1.3)	$ (6.8)	$ (4.5)	$ -	$ -	$ (1.1)	$ 1.1	100.0%

STATE OF NEW YORK
PRIVATE PURPOSE TRUST FUNDS
STATEMENT OF CASH FLOW
FISCAL YEAR 2020-2021
(amounts in millions)

EXHIBIT M

	2020 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2021 JANUARY	FEBRUARY	MARCH	12 Months Ended March 31 2021	2020	$ Increase/ (Decrease)	% Increase/ Decrease
Beginning Fund Balance	$ 14.3	$ 14.4	$ 14.5	$ 14.6	$ 14.7	$ 14.1	$ 14.1	$ 14.2	$ 14.2	$ 14.2	$ 14.3	$ 14.4	$ 14.3	$ 13.2	$ 1.1	8.3%
RECEIPTS:																
Miscellaneous Receipts	0.2	0.1	0.1	0.2	(0.6)	-	0.2	-	-	0.1	0.1	0.1	0.5	1.5	(1.0)	-66.7%
Total Receipts	0.2	0.1	0.1	0.2	(0.6)	-	0.2	-	-	0.1	0.1	0.1	0.5	1.5	(1.0)	-66.7%
DISBURSEMENTS:																
Departmental Operations:																
Personal Service	-	-	-	0.1	-	-	0.1	-	-	-	-	-	0.2	0.2	-	0.0%
Non-Personal Service	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
General State Charges	0.1	-	-	-	-	-	-	-	-	-	-	-	0.1	0.2	(0.1)	-50.0%
Total Disbursements	0.1	-	-	0.1	-	-	0.1	-	-	-	-	-	0.3	0.4	(0.1)	-25.0%
Excess (Deficiency) of Receipts over Disbursements	0.1	0.1	0.1	0.1	(0.6)	-	0.1	-	-	0.1	0.1	0.1	0.2	1.1	(0.9)	-81.8%
OTHER FINANCING SOURCES (USES):																
Transfers from Other Funds	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Transfers to Other Funds	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Total Other Financing Sources (Uses)	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Excess (Deficiency) of Receipts and Other Financing Sources Over Disbursements and Other Financing Uses	0.1	0.1	0.1	0.1	(0.6)	-	0.1	-	-	0.1	0.1	0.1	0.2	1.1	(0.9)	-81.8%
Ending Fund Balance	$ 14.4	$ 14.5	$ 14.6	$ 14.7	$ 14.1	$ 14.1	$ 14.2	$ 14.2	$ 14.2	$ 14.3	$ 14.4	$ 14.5	$ 14.5	$ 14.3	$ 0.2	1.4%

STATE OF NEW YORK
GOVERNMENTAL FUNDS
SUMMARY OF CASH RECEIPTS, DISBURSEMENTS AND CHANGES IN FUND BALANCES
FISCAL YEAR 2020-2021
FOR THE MONTH OF MARCH 2021
(amounts in millions)

SCHEDULE 1

	BALANCE MARCH 1, 2021	RECEIPTS	DISBURSEMENTS	OTHER FINANCING SOURCES (USES)	BALANCE MARCH 31, 2021
GENERAL FUND					
10000-10049-Local Assistance Account	$ -	$ 250.046	$ 12,087.388	$ 11,837.342	$ -
10050-10099-State Operations Account	20,300.463	4,724.646	2,663.343	(22,361.766)	-
10100-10149-Tax Stabilization Reserve	-	-	-	1,257.763	1,257.763
10150-10199-Contingency Reserve	-	-	-	20.624	20.624
10200-10249-Universal Pre-K Reserve	-	-	-	-	-
10250-10299-Community Projects	29.718	-	0.279	-	29.439
10300-10349-Rainy Day Reserve Fund	-	-	-	1,217.544	1,217.544
10400-10449-Refund Reserve Account	-	-	-	6,635.461	6,635.461
10500-10549-Fringe Benefits Escrow	-	-	-	-	-
10550-10599-Tobacco Revenue Guarantee	-	-	-	-	-
TOTAL GENERAL FUND	**20,330.181**	**4,974.692**	**14,751.010**	**(1,393.032)**	**9,160.831**
SPECIAL REVENUE FUNDS-STATE					
20000-20099-Mental Health Gifts and Donations	0.812	-	0.005	-	0.807
20100-20299-Combined Expendable Trust	69.901	0.675	1.350	1.037	70.263
20300-20349-New York Interest on Lawyer Account	111.430	2.459	1.301	-	112.588
20350-20399-NYS Archives Partnership Trust	0.086	-	0.042	-	0.044
20400-20449-Child Performer's Protection	0.262	0.005	0.050	-	0.217
20450-20499-Tuition Reimbursement	8.360	0.634	0.350	(0.023)	8.621
20500-20549-New York State Local Government Records Management Improvement	6.843	0.980	0.691	(0.782)	6.350
20550-20599-School Tax Relief	2.880	18.739	21.619	-	-
20600-20649-Charter Schools Stimulus	0.578	-	-	-	0.578
20650-20699-Not-For-Profit Short Term Revolving Loan	-	-	-	-	-
20800-20849-HCRA Resources	166.224	479.189	486.837	(142.712)	15.864
20850-20899-Dedicated Mass Transportation Trust	104.720	42.234	64.323	16.824	99.455
20900-20949-State Lottery	(881.686)	540.215	150.106	693.701	202.124
20950-20999-Combined Student Loan	11.766	0.604	1.392	-	10.978
21000-21049-Sewage Treatment Program Mgmt. & Administration	(4.016)	0.851	0.068	-	(3.233)
21050-21149-Encon Special Revenue	(0.185)	11.932	9.326	(0.733)	1.688
21150-21199-Conservation	101.311	1.442	3.665	-	99.088
21200-21249-Environmental Protection and Oil Spill Compensation	12.843	3.475	1.972	(2.808)	11.538
21250-21299-Training and Education Program on OSHA	5.832	4.012	4.187	(2.863)	2.794
21300-21349-Lawyers' Fund for Client Protection	8.659	2.247	0.083	-	10.823
21350-21399-Equipment Loan for the Disabled	0.532	0.002	0.008	-	0.526
21400-21449-Mass Transportation Operating Assistance	288.650	450.639	457.229	1.711	283.771
21450-21499-Clean Air	(32.054)	2.865	3.892	-	(33.081)
21500-21549-New York State Infrastructure Trust	0.071	-	-	-	0.071
21550-21599-Legislative Computer Services	12.420	0.271	0.083	-	12.608
21600-21649-Biodiversity Stewardship and Research	-	-	-	-	-
21650-21699-Combined Non-Expendable Trust	0.469	-	-	-	0.469
21700-21749-Winter Sports Education Trust	-	-	-	-	-
21750-21799-Musical Instrument Revolving	-	-	-	-	-
21850-21899-Arts Capital Grants	0.838	0.001	0.180	-	0.659
21900-22499-Miscellaneous State Special Revenue	1,699.770	321.532	425.766	6.727	1,602.263
22500-22549-Court Facilities Incentive Aid	4.525	0.001	12.569	25.600	17.557

STATE OF NEW YORK
GOVERNMENTAL FUNDS
SUMMARY OF CASH RECEIPTS, DISBURSEMENTS AND CHANGES IN FUND BALANCES
FISCAL YEAR 2020-2021
FOR THE MONTH OF MARCH 2021
(amounts in millions)

SCHEDULE 1

	BALANCE MARCH 1, 2021	RECEIPTS	DISBURSEMENTS	OTHER FINANCING SOURCES (USES)	BALANCE MARCH 31, 2021
SPECIAL REVENUE FUNDS-STATE (CONTINUED)					
22550-22599-Employment Training	0.053	-	-	-	0.053
22650-22699-State University Income	2,106.694	384.841	647.570	106.686	1,950.651
22700-22749-Chemical Dependence Service	9.904	0.253	1.528	(2.995)	5.634
22750-22799-Lake George Park Trust	0.613	-	0.094	-	0.519
22800-22849-State Police Motor Vehicle Law Enforcement and Motor Vehicle Theft and Insurance Fraud Prevention	45.667	8.546	2.685	(38.587)	12.941
22850-22899-New York Great Lakes Protection	0.425	0.187	0.015	-	0.597
22900-22949-Federal Revenue Maximization	0.024	-	-	-	0.024
22950-22999-Housing Development	11.305	0.001	0.269	-	11.037
23000-23049-NYS/DOT Highway Safety Program	(17.281)	0.082	(0.208)	-	(16.991)
23050-23099-Vocational Rehabilitation	0.085	0.008	0.001	(0.032)	0.060
23100-23149-Drinking Water Program Management and Administration	(5.351)	-	-	-	(5.351)
23150-23199-NYC County Clerks' Operations Offset	(55.597)	32.581	3.541	-	(26.557)
23200-23249-Judiciary Data Processing Offset	58.558	11.429	3.788	-	66.199
23250-23449-IFR/CUTRA	170.830	4.575	3.570	-	171.835
23500-23549-USOC Lake Placid Training	0.239	0.002	-	-	0.241
23550-23599-Indigent Legal Services	540.337	48.000	55.949	-	532.388
23600-23649-Unemployment Insurance Interest and Penalty	27.351	0.002	0.131	(1.743)	25.479
23650-23699-MTA Financial Assistance Fund	201.656	0.016	86.574	12.500	127.598
23700-23749-New York State Commercial Gaming Fund	56.799	17.433	160.636	96.172	9.768
23750-23799-Medical Marihuana Trust Fund	14.164	0.600	3.539	6.550	17.775
23800-23899-Dedicated Miscellaneous State Special Revenue	4.787	0.301	0.532	-	4.556
24850-24899-Health Care Transformation	447.932	6.707	-	(200.000)	254.639
24900-24949-Charitable Gifts Trust Fund	95.951	0.008	-	(95.959)	-
24950-24999-Interactive Fantasy Sports	24.510	0.582	0.017	(5.000)	20.075
40350-40399-State University Dormitory Income	226.309	35.305	-	(54.107)	207.507
TOTAL SPECIAL REVENUE FUNDS-STATE	**5,667.805**	**2,436.463**	**2,617.325**	**419.164**	**5,906.107**
SPECIAL REVENUE FUNDS-FEDERAL					
25000-25099-Federal USDA/Food and Consumer Services	(103.361)	243.355	150.012	(1.178)	(11.196)
25100-25199-Federal Health and Human Services	2,643.642	5,682.937	6,040.469	(216.254)	2,069.856
25200-25249-Federal Education	(41.386)	329.050	298.295	(6.291)	(16.922)
25300-25899-Federal Miscellaneous Operating Grants	2,825.787	218.868	212.847	(3.260)	2,828.548
25900-25949-Unemployment Insurance Administration	(22.686)	195.478	74.696	-	98.096
25950-25999-Unemployment Insurance Occupational Training	(0.480)	0.023	0.053	-	(0.510)
26000-26049-Federal Employment and Training Grants	(8.299)	14.219	13.118	-	(7.198)
TOTAL SPECIAL REVENUE FUNDS-FEDERAL	**5,293.217**	**6,683.930**	**6,789.490**	**(226.983)**	**4,960.674**
TOTAL SPECIAL REVENUE FUNDS	**10,961.022**	**9,120.393**	**9,406.815**	**192.181**	**10,866.781**
DEBT SERVICE FUNDS					
40000-40049-Debt Reduction Reserve	-	-	-	-	-
40100-40149-Mental Health Services	18.540	11.846	-	(5.705)	24.681
40150-40199-General Debt Service	6,761.119	1,987.546	9,659.733	911.068	-
40250-40299-State Housing Debt Service	-	0.871	0.908	0.037	-
40300-40349-Department of Health Income	23.759	27.800	-	(11.233)	40.326
40400-40449-Clean Water/Clean Air	1.964	80.748	-	(82.712)	-
40450-40499-Local Government Assistance Tax	79.443	312.470	79.443	(312.470)	-
TOTAL DEBT SERVICE FUNDS	**6,884.825**	**2,421.281**	**9,740.084**	**498.985**	**65.007**

STATE OF NEW YORK
GOVERNMENTAL FUNDS
SUMMARY OF CASH RECEIPTS, DISBURSEMENTS AND CHANGES IN FUND BALANCES
FISCAL YEAR 2020-2021
FOR THE MONTH OF MARCH 2021
(amounts in millions)

SCHEDULE 1

	BALANCE MARCH 1, 2021	RECEIPTS	DISBURSEMENTS	OTHER FINANCING SOURCES (USES)	BALANCE MARCH 31, 2021
CAPITAL PROJECTS FUNDS					
30000-30049-State Capital Projects	-	0.778	812.834	812.056	-
30050-30099-Dedicated Highway and Bridge Trust	105.951	196.124	160.586	(156.350)	(14.861)
30100-30299-SUNY Residence Halls Rehabilitation and Repair	106.941	0.009	0.555	11.288	117.683
30300-30349-New York State Canal System Development	14.103	0.001	-	-	14.104
30350-30399-Parks Infrastructure	(71.357)	49.844	14.466	-	(35.979)
30400-30449-Passenger Facility Charge	0.015	-	-	-	0.015
30450-30499-Environmental Protection	95.628	38.749	50.897	-	83.480
30500-30549-Clean Water/Clean Air Implementation	-	-	-	-	-
30600-30609-Energy Conservation Thru Improved Transportation Bond	0.164	-	-	-	0.164
30610-30619-Park and Recreation Land Acquisition Bond	-	-	-	-	-
30620-30629-Pure Waters Bond	0.668	-	-	-	0.668
30630-30639-Transportation Capital Facilities Bond	3.328	-	-	-	3.328
30640-30649-Environmental Quality Protection Bond	1.419	-	-	-	1.419
30650-30659-Rebuild and Renew New York Transportation Bond	17.200	-	-	0.010	17.210
30660-30669-Transportation Infrastructure Renewal Bond	4.255	-	-	-	4.255
30670-30679-1986 Environmental Quality Bond Act	5.551	-	-	0.021	5.572
30680-30689-Accelerated Capacity and Transportation Improvement Bond	2.778	-	-	-	2.778
30690-30699-Clean Water/Clean Air Bond	1.428	-	-	0.001	1.429
30700-30709-State Housing Bond	-	-	-	-	-
30710-30719-Smart Schools Bond	-	-	-	-	-
30750-30799-Outdoor Recreation Development Bond	-	-	-	-	-
30900-30949-Rail Preservation and Development Bond	-	-	-	-	-
31350-31449-Federal Capital Projects	(608.514)	132.246	84.094	(19.949)	(580.311)
31450-31499-Forest Preserve Expansion	1.082	0.001	-	-	1.083
31500-31549-Hazardous Waste Remedial	(84.538)	21.897	10.029	1.322	(71.348)
31650-31699-Suburban Transportation	0.540	-	-	-	0.540
31700-31749-Division for Youth Facilities Improvement	(13.390)	-	0.900	-	(14.290)
31800-31849-Housing Assistance	(12.942)	-	-	-	(12.942)
31850-31899-Housing Program	(282.265)	-	98.791	3.609	(377.447)
31900-31949-Natural Resource Damage	18.169	0.002	0.076	-	18.095
31950-31999-DOT Engineering Services	(11.956)	-	0.015	-	(11.971)
32200-32249-Miscellaneous Capital Projects	122.616	0.538	8.084	21.917	136.987
32250-32299-CUNY Capital Projects	0.040	0.002	-	-	0.042
32300-32349-Mental Hygiene Facilities Capital Improvement	(462.927)	77.693	45.474	3.965	(426.743)
32350-32399-Correction Facilities Capital Improvement	(246.392)	-	25.587	102.852	(169.127)
32400-32999-State University Capital Projects	129.007	0.011	8.876	(0.467)	119.675
33000-33049-NYS Storm Recovery Fund	(55.763)	2.626	1.091	-	(54.228)
33050-33099 Dedicated Infrastructure Investment Fund	58.223	-	51.500	90.000	96.723
TOTAL CAPITAL PROJECTS FUNDS	**(1,160.938)**	**520.521**	**1,373.855**	**870.275**	**(1,143.997)**
TOTAL GOVERNMENTAL FUNDS	**$ 37,015.090**	**$ 17,036.887**	**$ 35,271.764**	**$ 168.409**	**$ 18,948.622**

STATE OF NEW YORK
PROPRIETARY FUNDS
SUMMARY OF CASH RECEIPTS, DISBURSEMENTS AND CHANGES IN FUND BALANCES
FISCAL YEAR 2020-2021
FOR THE MONTH OF MARCH 2021
(amounts in millions)

SCHEDULE 2

FUND TYPE	BALANCE MARCH 1, 2021	RECEIPTS	DISBURSEMENTS	OTHER FINANCING SOURCES (USES)	BALANCE MARCH 31, 2021
ENTERPRISE FUNDS					
50000-50049-Youth Commissary	$ 0.117	$ 0.001	$ 0.001	$ -	$ 0.117
50050-50099-State Exposition Special	0.970	0.180	0.570	-	0.580
50100-50299-Correctional Services Commissary	4.118	3.861	4.268	-	3.711
50300-50399-Agencies Enterprise	11.840	1.802	1.288	-	12.354
50400-50449-Sheltered Workshop	2.258	0.013	0.021	-	2.250
50450-50499-Patient Workshop	2.120	-	0.013	-	2.107
50500-50599-Mental Hygiene Community Stores	4.880	0.065	0.124	-	4.821
50650-50699-Unemployment Insurance	16.487	6,639.338	6,536.172	-	119.653
TOTAL ENTERPRISE FUNDS	**42.790**	**6,645.260**	**6,542.457**	**-**	**145.593**
INTERNAL SERVICE FUNDS					
55000-55049-Centralized Services	(143.093)	96.411	38.323	8.156	(76.849)
55050-55099-Agency Internal Service	(157.704)	20.172	69.390	5.389	(201.533)
55100-55149-Mental Hygiene Revolving	0.198	0.037	0.071	-	0.164
55150-55199-Youth Vocational Education	0.072	-	0.007	-	0.065
55200-55249-Joint Labor and Management Administration	0.896	-	0.081	-	0.815
55250-55299-Audit and Control Revolving	(60.368)	25.599	5.680	(0.037)	(40.486)
55300-55349-Health Insurance Revolving	(11.616)	-	1.503	-	(13.119)
55350-55399-Correctional Industries Revolving	(51.898)	3.257	4.662	20.725	(32.578)
TOTAL INTERNAL SERVICE FUNDS	**(423.513)**	**145.476**	**119.717**	**34.233**	**(363.521)**
TOTAL PROPRIETARY FUNDS	**$ (380.723)**	**$ 6,790.736**	**$ 6,662.174**	**$ 34.233**	**$ (217.928)**

STATE OF NEW YORK
FIDUCIARY FUNDS
SUMMARY OF CASH RECEIPTS, DISBURSEMENTS AND CHANGES IN FUND BALANCES
FISCAL YEAR 2020-2021
FOR THE MONTH OF MARCH 2021
(amounts in millions)

SCHEDULE 3

FUND TYPE	BALANCE MARCH 1, 2021	RECEIPTS	DISBURSEMENTS	OTHER FINANCING SOURCES (USES)	BALANCE MARCH 31, 2021
PENSION TRUST FUNDS					
65000-65049-Common Retirement Administration	$ (4.498)	$ 37.842	$ 33.354	$ -	$ (0.010)
TOTAL PENSION TRUST FUNDS	**(4.498)**	**37.842**	**33.354**	**-**	**(0.010)**
PRIVATE PURPOSE TRUST FUNDS					
66000-66049-Agriculture Producers' Security	2.974	0.048	(0.046)	-	3.068
66050-66099-Milk Producers' Security	11.387	0.061	0.026	-	11.422
TOTAL PRIVATE PURPOSE TRUST FUNDS	**14.361**	**0.109**	**(0.020)**	**-**	**14.490**
AGENCY FUNDS					
60050-60149-School Capital Facilities Financing Reserve	18.054	0.389	-	-	18.443
60150-60199-Child Performer's Holding	0.538	0.011	0.001	-	0.548
60200-60249-Employees Health Insurance	1,036.539	1,553.219	999.834	-	1,589.924
60250-60299-Social Security Contribution	15.309	128.762	128.760	-	15.311
60300-60399-Employee Payroll Withholding	39.929	468.088	443.816	-	64.201
60400-60449-Employees Dental Insurance	18.737	24.024	9.903	-	32.858
60450-60499-Management Confidential Group Insurance	0.416	0.944	0.767	-	0.593
60500-60549-Lottery Prize	729.838	(28.448)	164.819	-	536.571
60550-60599-Health Insurance Reserve Receipts	-	-	-	-	-
60600-60799-Miscellaneous New York State Agency	1,164.931	1,844.287	1,923.561	-	1,085.657
60800-60849-Elderly Pharmaceutical Insurance Coverage (EPIC) Escrow	28.341	8.667	-	-	37.008
60850-60899-CUNY Senior College Operating	59.178	148.769	197.375	-	10.572
60900-60949-Medicaid Management Information System (MMIS) Escrow	226.184	6,263.456	3,858.860	-	2,630.780
60950-60999-Special Education	-	-	-	-	-
61000-61099-State University of New York Revenue Collection	165.834	(78.853)	-	-	86.981
61100-61999-State University Federal Direct Lending Program	(21.119)	49.544	29.112	-	(0.687)
62000-62049-SSI SSP Payment Escrow	-	-	-	-	-
TOTAL AGENCY FUNDS	**3,482.709**	**10,382.859**	**7,756.808**	**-**	**6,108.760**
TOTAL FIDUCIARY FUNDS	**$ 3,492.572**	**$ 10,420.810**	**$ 7,790.142**	**$ -**	**$ 6,123.240**

STATE OF NEW YORK
SOLE CUSTODY AND INVESTMENT ACCOUNTS
STATEMENT OF CASH RECEIPTS AND DISBURSEMENTS
FISCAL YEAR 2020-2021
FOR THE MONTH OF MARCH 2021
(amounts in millions)

SCHEDULE 4

FUND TYPE	BALANCE MARCH 1, 2021	RECEIPTS	DISBURSEMENTS	BALANCE MARCH 31, 2021
ACCOUNTS				
70000-70049-Tobacco Settlement	$ 2.889	$ -	$ -	$ 2.889
70093, 70095, 70300-70301-MTA State Assistance	262.041	211.434	277.334	196.141
70050-70149-Sole Custody Investment (*)	2,256.551	4,595.500	3,963.838	2,888.213
70200-Comptroller's Refund Account	-	367.387	367.387	-
TOTAL ACCOUNTS	$ 2,521.481	$ 5,174.321	$ 4,608.559	$ 3,087.243

(*) Includes Public Asset Fund resources:

Chapter 1 of the Laws of 2002 authorized the conversion of Empire Health Choice, d/b/a Empire Blue Cross and Blue Shield from a not-for-profit corporation to a for-profit corporation. Chapter 1 requires, in part, that upon such conversion, assets representing 95 percent of the fair market value of the not-for-profit corporation be transferred to a fund designated as the "Public Asset Fund" and 5 percent transferred to a Charitable Foundation - as set forth in Section 7317 of the Insurance Law. On December 28, 2005, WellChoice, Inc. (previously known as Empire Blue Cross, Blue Shield) approved a takeover by WellPoint, Inc. This conversion was also subject to the same Chapter 1 requirements of assigning assets representing 95 percent of the fair market value of the not-for-profit corporation be transferred to the "Public Asset Fund".

As of March 31, 2021, $9,545,926.78 (representing the remaining balance of the State's 95 percent share of the fair market value of the not-for-profit corporation plus interest) is on deposit in the sole custody account titled Public Asset Fund. In accordance with Section 4301(j)(4)(F) and (O) of the Insurance Law and at the direction of the Director of the Budget, these funds are available for transfer to HCRA Resources Fund (20800-20849).

STATE OF NEW YORK
DEBT SERVICE FUNDS
STATEMENT OF DIRECT STATE DEBT ACTIVITY
FISCAL YEAR 2020-2021

SCHEDULE 5

PURPOSE	DEBT OUTSTANDING APRIL 1, 2020	DEBT ISSUED: MONTH OF MARCH REFUNDING(*)	DEBT ISSUED: MONTH OF MARCH	DEBT ISSUED: 12 MONTHS ENDED MARCH 31, 2021	DEBT MATURED: MONTH OF MARCH	DEBT MATURED: 12 MONTHS ENDED MARCH 31, 2021	DEBT OUTSTANDING MARCH 31, 2021	INTEREST DISBURSED: MONTH OF MARCH	INTEREST DISBURSED: 12 MONTHS ENDED MARCH 31, 2021
GENERAL OBLIGATION BONDED DEBT:									
Accelerated Capacity and Transportation Improvements	$ 11,445,463	$ -	$ -	$ -	$ -	$ 1,453,289	$ 9,992,174	$ -	$ 347,904
Clean Water/Clean Air:									
Air Quality	1,795,354	-	-	-	-	473,909	1,321,445	-	51,873
Safe Drinking Water	-	-	-	-	-	-	-	-	-
Clean Water	298,595,491	2,288,397	2,431,568	4,719,965	1,694,419	25,653,557	277,661,899	1,078,451	10,523,338
Solid Waste	16,287,590	8,750	-	8,750	24,893	5,212,385	11,083,955	3,439	623,816
Environmental Restoration	40,070,447	243,127	1,139,735	1,382,862	1,063,443	4,751,754	36,701,555	402,543	1,481,173
Energy Conservation Through Improved Transportation:									
Rapid Transit and Rail Freight	1,198,754	-	-	-	-	176,298	1,022,456	-	48,908
Environmental Quality (1972):									
Air	3,184	-	-	-	-	3,184	-	-	129
Land and Wetlands	4,939,861	-	-	-	574,494	672,349	4,267,512	110,896	240,156
Water	6,370,803	29,587	-	29,587	92,698	861,629	5,538,761	36,842	234,123
Environmental Quality (1986):									
Land Acquisition/Development/Restoration/Forests	5,309,545	18,082	-	18,082	61,156	2,634,757	2,692,870	23,401	217,189
Solid Waste Management	91,992,747	621,475	5,810,330	6,431,805	985,215	15,421,248	83,003,304	292,228	3,288,828
Housing:									
Low Income	5,840,000	135,000	-	135,000	800,000	1,860,000	4,115,000	71,700	159,300
Middle Income	4,035,000	-	-	-	-	2,240,000	1,795,000	36,477	117,195
Park and Recreation Land Acquisition	-	-	-	-	-	-	-	-	-
Pure Waters	15,498,329	117,444	-	117,444	19,488	2,129,827	13,485,946	14,628	527,330
Rail Preservation Development	-	-	-	-	-	-	-	-	-
Rebuild and Renew New York Transportation:									
Highway Facilities	600,658,226	8,140,303	-	8,140,303	19,608,564	46,347,847	562,450,682	6,050,130	20,823,844
Canals and Waterways	9,419,680	-	-	-	787,019	1,799,369	7,620,311	97,043	378,650
Aviation	41,089,448	551,860	701,386	1,253,246	430,019	1,878,003	40,464,691	244,688	1,287,902
Rail and Port	92,824,245	176,410	2,296,921	2,473,331	1,059,911	5,096,387	90,201,189	338,685	2,826,266
Mass Transit - Dept. of Transportation	12,168,734	-	3,553,142	3,553,142	974,738	1,792,336	13,929,540	232,697	573,235
Mass Transit - Metropolitan Transportation Authority	705,163,311	11,314,565	-	11,314,565	12,085,928	25,368,452	691,109,424	4,425,815	24,685,486
Rebuild New York-Transportation Infrastructure Renewal:									
Highways, Parkways, and Bridges	553,992	-	-	-	155,169	196,324	357,668	11,544	25,242
Rapid Transit, Rail and Aviation	2,042,563	-	-	-	-	479,171	1,563,392	-	86,761
Smart Schools Bond Act	161,307,133	-	163,986,918	163,986,918	8,442,846	18,351,447	306,942,604	2,015,541	8,062,853
Transportation Capital Facilities:									
Aviation	2,090,099	-	-	-	-	441,478	1,648,621	-	90,412
Mass Transportation	-	-	-	-	-	-	-	-	-
Total General Obligation Bonded Debt	**$ 2,130,699,999**	**$ 23,645,000**	**$ 179,920,000**	**$ 203,565,000**	**$ 48,860,000**	**$ 165,295,000**	**$ 2,168,969,999**	**$ 15,486,748**	**$ 76,701,913**

(*) Represents the net effect of the March 2021 refunding transaction of $453,835,000, which was used to refund $430,190,000 of previously issued general obligation bonds.

STATE OF NEW YORK
DEBT SERVICE FUNDS
FINANCING AGREEMENTS
FOR THE TWELVE MONTHS ENDED MARCH 31, 2021

SCHEDULE 5a

Special Contractual Financing Obligations:	DEBT REDUCTION RESERVE (40000-40049)	GENERAL DEBT SERVICE (40151)	DEPARTMENT OF HEALTH INCOME (40300-40349)	LOCAL GOVERNMENT ASSISTANCE TAX (40450-40499)	MENTAL HEALTH SERVICES (40100-40149)	REVENUE BOND TAX(*) (40152)	SALES TAX REVENUE BOND TAX (40154)	COMBINED TOTALS 12 MONTHS ENDED MARCH 31 2021	COMBINED TOTALS 12 MONTHS ENDED MARCH 31 2020	$ INCREASE/ (DECREASE)
Payments to Public Authorities:										
City University Construction	$ -	$ 7,115,904	$ -	$ -	$ -	$ -	$ -	$ 7,115,904	$ 193,123,569	$ (186,007,665)
Dormitory Authority:										
Consolidated Service Contract Refunding	-	-	-	-	-	-	-	-	65,375,063	(65,375,063)
DASNY Revenue Bond	-	-	-	-	-	8,904,948,908	2,039,112,689	10,944,061,597	1,963,082,162	8,980,979,435
Department of Health Facilities	-	-	25,467,940	-	-	-	-	25,467,940	26,157,902	(689,962)
Mental Health Facilities	-	-	-	-	8,334,220	-	-	8,334,220	7,095,969	1,238,251
Secured Hospital Program	-	25,232,277	-	-	-	-	-	25,232,277	31,720,944	(6,488,667)
SUNY Community Colleges	-	8,347,200	-	-	-	-	-	8,347,200	5,928,700	2,418,500
SUNY Educational Facilities	-	25,819,812	-	-	-	-	-	25,819,812	103,616,675	(77,796,863)
Environmental Facilities Corporation	-	-	-	-	-	17,266,263	-	17,266,263	17,269,722	(3,459)
Housing Finance Agency	-	20,324,584	-	-	-	-	-	20,324,584	30,857,653	(10,533,069)
Local Government Assistance Corporation	-	-	-	79,442,835	-	-	-	79,442,835	300,784,859	(221,342,024)
Metropolitan Transportation Authority:										
Transit and Commuter Rail Projects	-	-	-	-	-	-	-	-	-	-
Thruway Authority:										
Dedicated Highway and Bridge	-	106,686,534	-	-	-	-	-	106,686,534	448,180,780	(341,494,246)
Local Highway and Bridge	-	-	-	-	-	-	-	-	21,772,000	(21,772,000)
Transportation	-	-	-	-	-	65,949,467	-	65,949,467	151,377,875	(85,428,408)
Urban Development Corporation:										
Clarkson University	-	-	-	-	-	-	-	-	448,350	(448,350)
Columbia Univer. Telecommunications Center	-	-	-	-	-	-	-	-	-	-
Consolidated Service Contract Refunding	-	23,405,116	-	-	-	-	-	23,405,116	78,864,907	(55,459,791)
Cornell Univer. Supercomputer Center	-	-	-	-	-	-	-	-	-	-
Correctional Facilities	-	-	-	-	-	-	-	-	9,781,500	(9,781,500)
Debt Reduction Reserve	-	-	-	-	-	-	-	-	-	-
UDC Revenue Bond	-	-	-	-	-	1,596,793,277	-	1,596,793,277	1,190,706,819	406,086,458
University Facilities Grant 95 Refunding	-	11,603	-	-	-	-	-	11,603	1,770,144	(1,758,541)
Total Disbursements for Special Contractual Financing Obligations	**$ -**	**$ 216,943,030**	**$ 25,467,940**	**$ 79,442,835**	**$ 8,334,220**	**$ 10,584,957,915**	**$ 2,039,112,689**	**$ 12,954,258,629**	**$ 4,647,915,593**	**$ 8,306,343,036**

(*) Debt Service does not include interest paid on Revenue Anticipation Notes (General Purpose), Series 2020A and 2020B that were reimbursed from the Coronavirus Relief Fund within the Special Revenue Federal Funds.

STATE OF NEW YORK **SCHEDULE 6**
SUMMARY OF THE OPERATING FUND INVESTMENTS
FOR THE MONTH OF MARCH 2021
AS REQUIRED OF THE STATE COMPTROLLER
(amounts in millions)

	MONTH OF MARCH 2021	FISCAL YEAR TO DATE	PRIOR FISCAL YEAR TO DATE
SHORT TERM INVESTMENT POOL (*)			
AVERAGE DAILY INVESTMENT BALANCE (**)	$ 37,403.3	$ 30,526.5	$ 20,308.1
AVERAGE YIELD (**)	0.094%	0.181%	2.028%
TOTAL INVESTMENT EARNINGS	$ 3.020	$ 58.280	$ 417.550

Month-End Portfolio Balances

DESCRIPTION	MARCH 2021 PAR AMOUNT	MARCH 2020 PAR AMOUNT
GOVT. AGENCY BILLS/NOTES	$ 15,467.6	$ 1,876.3
REPURCHASE AGREEMENTS	18.1	151.6
GOVT. SPONSORED AGENCIES	-	-
COMMERCIAL PAPER	10,689.0	16,509.0
CERTIFICATES OF DEPOSIT/SAVINGS	990.6	2,943.7
0% COMPENSATING BALANCE CDs	923.0	78.0
	$ 28,088.3	**$ 21,558.6**

(*) Pursuant to §98 of the State Finance Law, the State Comptroller is authorized to invest and keep invested all moneys, in any fund, held by the State. The Short Term investment Pool (STIP) represents an accounting mechanism that allows for the separate accounting of individual funds (on deposit in the State's General Checking account) for the purpose of making short term investments. Pursuant to State Finance Law §4(5) the STIP is authorized to temporarily loan to the General Fund-State Operations Account (10050) funds for a period not to exceed the end of the fiscal year. However, it must be noted that certain funds are invested as part of STIP, but are held by the State Comptroller in a fiduciary capacity. Fiduciary fund balances are restricted and may not be used for any State purposes since moneys in such funds are held by the State in a trustee (or fiduciary) capacity or as an agent for individuals, private organizations, or non-State governmental units (e.g. local governments and public authorities). Therefore, Fiduciary fund balances are not available to be temporarily loaned to the General Fund-State Operations Account. Fiduciary fund balances are presented in Schedules 3 and 4 of this report.

(**) Does not include 0% Compensating Balance CDs.

APPENDIX A

STATE OF NEW YORK
HCRA RESOURCES FUND
STATEMENT OF RECEIPTS AND DISBURSEMENTS BY ACCOUNT
FISCAL YEAR 2020-2021

	2020 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2021 JANUARY	FEBRUARY	MARCH	12 Months Ended March 31, 2021
OPENING CASH BALANCE	**$ 15,704,540**	**$ 95,764,658**	**$ 80,082,746**	**$ 490,512,199**	**$ 186,132,186**	**$ 217,721,195**	**$ 104,334,501**	**$ 181,581,146**	**$ 200,742,306**	**$ 204,855,179**	**$ 143,146,845**	**$ 166,223,852**	**$ 15,704,540**
RECEIPTS:													
Cigarette Tax	68,786,104	51,352,065	60,187,824	68,798,997	60,405,723	70,952,125	55,756,250	59,065,187	60,999,901	61,273,605	37,264,963	41,032,605	695,875,349
State Share of NYC Cigarette Tax	2,160,000	1,133,000	1,346,000	1,945,000	1,308,000	2,075,000	1,665,000	1,555,000	1,749,000	1,868,000	1,066,000	1,346,806	19,216,806
Vapor Excise Tax	25,877	69,976	11,670,725	(348,272)	(123,922)	7,357,137	6,765	27,573	6,801,844	9,407	20,906	6,800,000	32,318,016
STIP Interest	382,848	258,771	58,513	43,854	53,717	52,869	41,598	38,448	48,760	55,912	35,247	27,616	1,098,153
Public Asset Transfers	-	-	-	-	-	-	-	-	-	-	-	-	-
Assessments	478,443,458	390,720,867	437,012,587	376,078,217	389,691,001	454,153,792	435,070,365	401,657,632	444,521,644	376,057,991	418,420,999	424,294,558	5,026,123,111
Fees	327,000	46,000	561,000	930,000	776,000	1,404,000	199,000	390,000	2,067,000	1,103,000	168,000	981,000	8,952,000
Rebates	12,000	4,545,140	5,975,618	9,916,208	2,000,366	4,881,029	2,789,602	3,632,553	4,734,992	3,713,400	1,735,168	4,705,779	48,641,855
Restitution and Settlements	-	-	-	-	-	-	-	-	-	-	-	-	-
Miscellaneous	-	-	297,248	-	1,148	-	483	-	22,682	28,874	-	591	351,026
Total Receipts	**550,137,287**	**448,125,819**	**517,109,515**	**457,364,004**	**454,112,033**	**540,875,952**	**495,529,063**	**466,366,393**	**520,945,823**	**444,110,189**	**458,711,283**	**479,188,955**	**5,832,576,316**
DISBURSEMENTS:													
Grants	466,021,724	462,778,011	97,733,246	756,144,082	414,416,738	642,214,484	404,418,743	442,607,097	510,453,711	501,400,673	420,299,115	469,320,766	5,587,808,390
Interest - Late Payments	36	22	26,224	11,452	(5,834)	(3,803)	610	203	(642)	84	22	12	28,386
Personal Service	1,509,162	534,992	1,290,941	270,686	1,523,044	750,054	1,609,108	983,288	345,679	1,628,961	(392,469)	1,913,430	11,966,876
Non-Personal Service	55,956	(291,867)	5,284,609	4,077,923	3,349,084	7,839,793	3,878,285	2,812,150	5,182,277	1,084,498	5,131,981	14,468,474	52,873,163
Employee Benefits/Indirect Costs	612,447	299,051	1,164,130	638,546	568,694	194,836	891,073	593,884	511,315	1,024,088	(501,246)	1,133,641	7,130,459
Total Disbursements	**468,199,325**	**463,320,209**	**105,499,150**	**761,142,689**	**419,851,726**	**650,995,364**	**410,797,819**	**446,996,622**	**516,492,340**	**505,138,304**	**424,537,403**	**486,836,323**	**5,659,807,274**
OPERATING TRANSFERS:													
Transfers to Capital Projects Fund	-	-	-	-	-	-	-	-	-	-	-	91,304,000	91,304,000
Transfers to General Fund	-	-	297,248	-	1,147	-	484	-	132,000	1,607	-	570	433,056
Transfers to Revenue Bond Tax Fund	-	-	-	-	2,276,000	2,650,324	-	-	-	-	-	51,339,325	56,265,649
Transfers to Miscellaneous Special Revenue Fund:													
Administration Program Account	989,254	-	-	-	-	222,807	(1)	1	-	-	658,596	-	1,870,657
Empire State Stem Cell Trust Account	-	-	-	-	-	-	7,100,000	-	-	-	10,000,000	-	17,100,000
Transfers to SUNY Income Fund	888,590	487,522	883,664	601,328	394,151	394,151	384,116	208,610	208,610	678,612	438,277	68,232	5,635,863
Total Operating Transfers	**1,877,844**	**487,522**	**1,180,912**	**601,328**	**2,671,298**	**3,267,282**	**7,484,599**	**208,611**	**340,610**	**680,219**	**11,096,873**	**142,712,127**	**172,609,225**
Total Disbursements and Transfers	**470,077,169**	**463,807,731**	**106,680,062**	**761,744,017**	**422,523,024**	**654,262,646**	**418,282,418**	**447,205,233**	**516,832,950**	**505,818,523**	**435,634,276**	**629,548,450**	**5,832,416,499**
CLOSING CASH BALANCE	**$ 95,764,658**	**$ 80,082,746**	**$ 490,512,199**	**$ 186,132,186**	**$ 217,721,195**	**$ 104,334,501**	**$ 181,581,146**	**$ 200,742,306**	**$ 204,855,179**	**$ 143,146,845**	**$ 166,223,852**	**$ 15,864,357**	**$ 15,864,357**

STATE OF NEW YORK
HCRA RESOURCES FUND
STATEMENT OF PROGRAM DISBURSEMENTS
FISCAL YEAR 2020-21

APPENDIX B

Program/Purpose	Appropriation Amount (*)	March	12 Months Ended March 31st, 2021 (**)
CENTER FOR COMMUNITY HEALTH PROGRAM	**$ 8,827,000.00**	**$ 404,299.03**	**$ 2,442,319.21**
CENTER FOR COMMUNITY HLTH	8,827,000.00	404,299.03	2,442,319.21
CHILD HEALTH INSURANCE PROGRAM	**2,134,768,000.00**	**97,188,536.61**	**590,068,959.15**
CHILD HEALTH INSURANCE	2,134,768,000.00	97,188,536.61	590,068,959.15
COMMUNITY SUPPORT PROGRAM	**120,000.00**	**45,000.00**	**60,000.00**
COMMUNITY SUPPORT	120,000.00	45,000.00	60,000.00
ELDERLY PHARMACEUTICAL INS COVERAGE PRG	**384,850,000.00**	**13,698,844.12**	**107,558,468.98**
ELDERLY PHARMACEUTICAL INSURANCE COVERAGE	384,850,000.00	13,698,844.12	107,558,468.98
HEALTH CARE REFORM ACT PROGRAM	**1,490,325,059.03**	**42,212,569.92**	**276,417,924.17**
AIDS DRUG ASSISTANCE	123,150,000.00	-	20,000,000.00
AMBULATORY CARE TRAINING	3,537,000.00	-	448,825.77
AREA HEALTH EDUCATION CENTER	3,387,000.00	-	583,185.44
COMMISSIONER EMERGENCY DISTRIBUTIONS	24,700,000.00	-	(0.01)
DIAGNOSTIC AND TREATMENT CTR UNCOMPENSATED CARE	163,200,000.00	13,602,660.50	54,400,000.00
DIVERSITY IN MEDICINE	4,732,000.00	855,448.00	855,448.00
EMPIRE CLINIC RESEARCH INVESTMENT (ECRIP)	10,335,000.00	689,004.00	3,444,996.00
HCRA PAYOR / PROVIDER AUDITS	9,440,000.00	343,000.00	2,779,213.15
HEALTH FACILITY RESTRUCTURING DASNY	39,200,000.00	3,920,000.00	19,600,000.00
HEALTH WORKFORCE RETRAINING	18,320,000.00	2,783,747.80	7,046,637.55
INFERTILITY SERVICES GRANTS	5,733,000.00	50,965.27	421,685.84
MEDICAL INDEMNITY FUND	52,000,000.00	-	-
PART 405.4 HOSPITAL AUDITS NYCRR	2,200,000.00	698,224.80	698,224.80
PHYSICIAN EXCESS MEDICAL MALPRACTICE	359,900,000.00	-	102,100,000.00
PHYSICIAN LOAN REPAYMENT	27,195,000.00	1,617,977.75	5,755,067.62
PHYSICIAN WORKFORCE STUDIES	974,000.00	247,000.00	487,000.00
POISON CONTROL CENTERS	6,320,000.00	-	1,756,720.67
POOL ADMINISTRATION	5,300,000.00	510,322.30	2,334,403.59
ROSWELL PARK CANCER INSTITUTE	89,266,000.00	7,592,600.00	37,963,000.00
ROSWELL PARK COMPREHENSIVE CANCER CENTER	50,000.00	-	-
RURAL HEALTH CARE ACCESS	17,050,000.00	177,813.54	575,799.16
RURAL HEALTH CARE ACCESS & NETWORK DEVELOPMENT	9,410,000.00	2,665,653.79	7,429,708.82
RURAL HEALTH CARE GRANTS	1,100,000.00	-	-
RURAL HEALTH NETWORK	11,610,000.00	113,152.17	1,393,007.77
SCHOOL BASED HEALTH CENTERS	4,230,000.00	2,115,000.00	2,115,000.00
SCHOOL BASED HEALTH CLINICS-POOL ADMN	8,460,000.00	4,230,000.00	4,230,000.00
TRANSITION ACCT - PRIOR YEAR ALLOCATION	489,526,059.03	-	-
MEDICAL ASSISTANCE PROGRAM	**28,631,301,000.00**	**322,772,819.83**	**4,641,490,246.44**
HOME HEALTH RATE INCREASE	300,000,000.00	-	44,300,000.00
MEDICAID INDIGENT CARE	4,999,000,000.00	68,772,819.83	750,790,246.44
MEDICAL ASSISTANCE	22,349,101,000.00	254,000,000.00	3,716,000,000.00
PSNL CRE WRKR RECR & RETEN NYC (***)	916,000,000.00	-	120,500,000.00
PSNL CRE WRKR RECR & RETEN ROS (****)	67,200,000.00	-	9,900,000.00
NEW YORK STATE OF HEALTH	**102,431,000.00**	**7,545,110.88**	**33,117,038.52**
NEW YORK STATE OF HEALTH ADMINISTRATION	102,431,000.00	7,545,110.88	33,117,038.52
OFFICE OF HEALTH INSURANCE PROGRAM	**1,834,000.00**	**-**	**-**
OFFICE OF HEALTH INSURANCE	1,834,000.00	-	-
OFFICE OF HEALTH SYSTEMS MANAGEMENT	**68,916,000.00**	**2,561,040.95**	**10,134,977.58**
OFFICE HEALTH SYSTEMS MANAGEMENT	68,916,000.00	2,561,040.95	10,134,977.58
OFFICE OF LONG TERM CARE	**2,477,800.00**	**-**	**-**
ADULT HOME INITIATIVE	2,477,800.00	-	-
REVENUE, PROCESSING & RECONCILIATION	**8,190,000.00**	**476,215.78**	**4,153,216.33**
REVENUE, PROCESSING & RECONCILIATION	8,190,000.00	476,215.78	4,153,216.33
TOTAL	**32,834,039,859.03**	**486,904,437.12**	**5,665,443,150.38**
Reclass of SUNY Hospital Disprop Share to Transfer		(10,816.07)	(4,429,680.44)
Reclass of SUNY Hospital Poison Control Centers to Transfer		-	(919,100.00)
Reclass of SUNY Empire Clinical Research Investigator Program to Transfer		(57,417.00)	(287,083.00)
Reconciling Adjustment (P-Card and T-Card)		118.59	(13.05)
TOTAL REPORTED AMOUNT	**$ 32,834,039,859.03**	**$ 486,836,322.64**	**$ 5,659,807,273.89**

(*) Includes amounts appropriated in SFY 2020-21, as well as prior year appropriations that were reappropriated.
(**) Disbursements from the HCRA Resources Fund includes direct grant payments to program beneficiaries, services and expenses for administration of grant programs, and transfers to the Public Goods Pool to finance payments made by the State's fiscal agent.
(***) Full title is: NYC Personal Care Workforce Recruitment and Retention Rates Grants.
(****) Full title is: Personal Care Workforce Recruitment and Retention Rates Grants.

APPENDIX C

STATE OF NEW YORK
STATEMENT OF CASH FLOW - PUBLIC GOODS POOL
FISCAL YEAR 2020-21

	1st Quarter APRIL - JUNE	2nd Quarter JULY - SEPTEMBER	3rd Quarter OCTOBER - DECEMBER	2021 JANUARY	2021 FEBRUARY	2021 MARCH	2020-21
OPENING CASH BALANCE	**$ 350,947,309.06**	**$ 126,897,506.61**	**$ 318,804,091.76**	**$ 299,674,693.96**	**$ 176,012,956.72**	**$ 169,749,956.13**	**$ 350,947,309.06**
RECEIPTS:							
Patient Services	722,415,689.44	975,374,899.18	859,864,830.97	175,709,345.39	281,558,901.80	389,079,196.21	3,404,002,862.99
Covered Lives	224,564,997.99	294,913,084.03	252,919,950.64	43,950,805.01	83,044,729.98	121,911,624.31	1,021,305,191.96
Provider Assessments	19,621,242.87	22,021,897.80	24,084,713.16	4,939,880.39	5,932,721.12	12,451,180.65	89,051,635.99
1% Assessments	103,739,180.00	107,280,064.00	105,841,411.19	29,576,999.00	37,756,109.00	37,432,074.00	421,625,837.19
DASNY- MOE/Recast receivables	-	-	-	-	-	-	-
Interest Income	13,893.95	16,145.63	8,568.77	3,593.43	3,447.91	1,145.69	46,795.38
Unassigned	(1,563,049.32)	(978,886.85)	6,783,770.57	(5,637,858.63)	43,971.22	(712,076.33)	(2,064,129.34)
Total Receipts	**1,068,791,954.93**	**1,398,627,203.79**	**1,249,503,245.30**	**248,542,764.59**	**408,339,881.03**	**560,163,144.53**	**4,933,968,194.17**
PROGRAM DISBURSEMENTS:							
Poison Control Centers	-	-	(1,920,000.00)	(480,000.00)	-	-	(2,400,000.00)
School Based Health Center Grants	-	-	-	-	-	(4,230,000.00)	(4,230,000.00)
ECRIP Distributions	-	-	(2,400,000.00)	-	-	(1,044,996.00)	(3,444,996.00)
Total Program Disbursements	**-**	**-**	**(4,320,000.00)**	**(480,000.00)**	**-**	**(5,274,996.00)**	**(10,074,996.00)**
Excess (Deficiency) of Receipts over Disbursements	**1,068,791,954.93**	**1,398,627,203.79**	**1,245,183,245.30**	**248,062,764.59**	**408,339,881.03**	**554,888,148.53**	**4,923,893,198.17**
OTHER FINANCING SOURCES (USES):							
Transfers From Other Pools:							
Medicaid Disproportionate Share	-	-	-	-	-	-	-
Health Facility Assessment Fund - Hospital Quality Contribution	13,334,232.00	13,201,960.00	12,615,514.00	4,274,213.00	3,461,970.00	3,206,832.00	50,094,721.00
Transfers From State Funds:							
HCRA Resources Fund	-	-	4,320,000.00	480,000.00	355,992.00	4,919,004.00	10,074,996.00
Total Other Financing Sources	**13,334,232.00**	**13,201,960.00**	**16,935,514.00**	**4,754,213.00**	**3,817,962.00**	**8,125,836.00**	**60,169,717.00**
Transfers To Other Pools:							
Medicaid Disproportionate Share	-	-	-	-	-	-	-
Health Facility Assessment Fund	-	-	-	-	-	-	-
Transfers To State Funds:							
HCRA Resources Fund	(1,306,175,989.38)	(1,219,922,578.64)	(1,281,248,157.10)	(376,478,714.83)	(418,420,843.62)	(438,887,071.05)	(5,041,133,354.62)
Indigent Care Fund - Matched	-	-	-	-	-	-	-
Indigent Care Fund - Unmatched	-	-	-	-	-	-	-
Total Other Financing Uses	**(1,306,175,989.38)**	**(1,219,922,578.64)**	**(1,281,248,157.10)**	**(376,478,714.83)**	**(418,420,843.62)**	**(438,887,071.05)**	**(5,041,133,354.62)**
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	**(224,049,802.45)**	**191,906,585.15**	**(19,129,397.80)**	**(123,661,737.24)**	**(6,263,000.59)**	**124,126,913.48**	**(57,070,439.45)**
CLOSING CASH BALANCE	**$ 126,897,506.61**	**$ 318,804,091.76**	**$ 299,674,693.96**	**$ 176,012,956.72**	**$ 169,749,956.13**	**$ 293,876,869.61**	**$ 293,876,869.61**

Source: HCRA - Office of Pool Administration

APPENDIX D

STATE OF NEW YORK
STATEMENT OF CASH FLOW - MEDICAID DISPROPORTIONATE SHARE
FISCAL YEAR 2020-21

	1st Quarter APRIL - JUNE	2nd Quarter JULY - SEPTEMBER	3rd Quarter OCTOBER - DECEMBER	2021 JANUARY	2021 FEBRUARY	2021 MARCH	2020-21
OPENING CASH BALANCE	**$ 668.63**	**$ 169.31**	**$ 333,127.45**	**$ 6,834,706.32**	**$ 3,582,218.33**	**$ 807,586.75**	**$ 668.63**
RECEIPTS:							
Interest Income	422.98	1,460.78	420.06	155.33	114.31	82.34	2,655.80
Total Receipts	**422.98**	**1,460.78**	**420.06**	**155.33**	**114.31**	**82.34**	**2,655.80**
PROGRAM DISBURSEMENTS:							
Indigent Care	(188,629,665.12)	(150,799,778.96)	(183,599,073.14)	(44,735,209.09)	(44,486,841.96)	(44,096,938.26)	(656,347,506.53)
High Need Indigent Care	-	-	-	-	-	-	-
Other	506,867.55	(90,700,038.60)	3,733,616.64	-	(17,294,895.00)	804,121.74	(102,950,327.67)
Total Program Disbursements	**(188,122,797.57)**	**(241,499,817.56)**	**(179,865,456.50)**	**(44,735,209.09)**	**(61,781,736.96)**	**(43,292,816.52)**	**(759,297,834.20)**
Excess (Deficiency) of Receipts over Disbursements	**(188,122,374.59)**	**(241,498,356.78)**	**(179,865,036.44)**	**(44,735,053.76)**	**(61,781,622.65)**	**(43,292,734.18)**	**(759,295,178.40)**
OTHER FINANCING SOURCES (USES):							
Transfers From Other Pools:							
Public Goods Pool	-	-	-	-	-	-	-
Health Facility Assessment Fund	-	-	-	-	-	-	-
Transfers From State Funds:							
HCRA Resources Indigent Care - Matched	94,314,832.56	113,950,116.17	80,001,388.03	19,594,021.59	19,510,144.82	19,494,526.01	346,865,029.18
HCRA Resources Indigent Care - Unmatched	(506,129.55)	16,528,236.56	3,716,890.61	(3,252,508.75)	14,463,436.76	(1,967,133.00)	28,982,792.63
HCRA Resources Indigent Care - ATB	-	-	-	-	-	-	-
Federal DHHS Fund	94,314,832.56	113,950,116.15	102,650,182.79	25,141,187.50	25,033,564.82	25,013,524.25	386,103,408.07
Other	-	-	-	-	-	-	-
Total Other Financing Sources	**188,123,535.57**	**244,428,468.88**	**186,368,461.43**	**41,482,700.34**	**59,007,146.40**	**42,540,917.26**	**761,951,229.88**
Transfers To Other Pools:							
Public Goods Pool	-	-	-	-	-	-	-
Health Facility Assessment Fund	-	-	-	-	-	-	-
Transfers To State Funds:							
HCRA Resources Fund Indigent Care Acct	(922.30)	(431.55)	(1,484.03)	(134.57)	(155.33)	(114.31)	(3,242.09)
CSRA Inc (eMedNY) General Fund	(738.00)	(2,596,722.41)	(362.09)	-	-	-	(2,597,822.50)
Total Other Financing Uses	**(1,660.30)**	**(2,597,153.96)**	**(1,846.12)**	**(134.57)**	**(155.33)**	**(114.31)**	**(2,601,064.59)**
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	**(499.32)**	**332,958.14**	**6,501,578.87**	**(3,252,487.99)**	**(2,774,631.58)**	**(751,931.23)**	**54,986.89**
CLOSING CASH BALANCE	**$ 169.31**	**$ 333,127.45**	**$ 6,834,706.32**	**$ 3,582,218.33**	**$ 807,586.75**	**$ 55,655.52**	**$ 55,655.52**

Source: HCRA - Office of Pool Administration

STATE OF NEW YORK
SUMMARY OF OFF-BUDGET SPENDING REPORT
FISCAL YEAR 2020-2021
(amounts in thousands)

APPENDIX E

	2020 APRIL	2020 MAY	2020 JUNE	2020 JULY	2020 AUGUST	2020 SEPTEMBER	2020 OCTOBER	2020 NOVEMBER	2020 DECEMBER	2021 JANUARY	2021 FEBRUARY	2021 MARCH	2020-2021 TOTAL
DORMITORY AUTHORITY:													
Education - All Other	$ -	$ -	$ -	$ -	$ 15	$ -	$ -	$ -	$ -	$ -	$ -	$ -	$ 15
Education - EXCEL	427	2,157	1,567	17	-	649	-	829	90	161	-	-	5,897
Department of Health - All Other	(1)	-	59	-	-	-	-	-	-	-	-	-	58
Community Enhancement Facilities Assistance Program (CEFAP)	-	-	-	-	-	-	-	-	-	-	-	-	-
Regional Development:													
Community Capital Assistance Program (CCAP)/RESTORE	525	-	454	444	323	148	14	383	94	125	114	835	3,459
Multi-modal	-	-	24	-	-	20	-	-	-	-	-	-	44
GenNYsis	-	-	-	-	-	-	-	-	-	-	-	-	-
CUNY Senior Colleges	24,128	11,443	24,631	18,581	19,061	18,031	10,339	32,044	15,125	25,329	15,108	23,669	237,489
CUNY Community Colleges	4,766	1,358	5,403	2,217	1,052	2,094	83	4,094	2,211	690	2,039	3,210	29,217
Brooklyn Court Officer Training Academy	26	-	-	1,153	-	-	-	-	-	-	-	-	1,179
TOTAL DORMITORY AUTHORITY	**29,871**	**14,958**	**32,138**	**22,412**	**20,451**	**20,942**	**10,436**	**37,350**	**17,520**	**26,305**	**17,261**	**27,714**	**277,358**
EMPIRE STATE DEVELOPMENT CORP:													
Regional Development:													
Centers of Excellence	-	-	-	-	-	-	-	-	-	-	-	-	-
Community Capital Assistance Program (CCAP)	-	-	-	-	-	-	-	-	-	-	-	-	-
Empire Opportunity	-	-	-	-	-	-	-	-	-	-	-	-	-
Community Enhancement Facilities Assistance Program (CEFAP)	-	-	-	-	-	-	-	-	-	-	-	-	-
State Facilities and Equipment	-	-	-	-	-	-	-	-	-	-	-	-	-
TOTAL EMPIRE STATE DEVELOPMENT CORP	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**
TOTAL OFF-BUDGET	**$ 29,871**	**$ 14,958**	**$ 32,138**	**$ 22,412**	**$ 20,451**	**$ 20,942**	**$ 10,436**	**$ 37,350**	**$ 17,520**	**$ 26,305**	**$ 17,261**	**$ 27,714**	**$ 277,358**

The Division of the Budget (DOB) is responsible for organizing and presenting the above schedule of 'Off Budget Spending'. Such reported disbursements are drawn from unaudited financial data provided by public authorities. Although the Office of the State Comptroller (OSC) has no reason to believe this information to be unreliable, it is important to note that these program disbursements are financed with public authority bond proceeds deposited directly into public authority accounts and all disbursements are made without any oversight by the OSC. Therefore, and pursuant to the provisions of Chapter 60, §16, of the Laws of 2006; this schedule is provided for information only.

APPENDIX F

STATE OF NEW YORK
SCHEDULE OF MONTH-END TEMPORARY LOANS OUTSTANDING(*)

SFS Fund	ACCOUNT TITLE	December 31, 2020	January 31, 2021	February 28, 2021	Change	March 31, 2021	
	GENERAL FUND						
10050	STATE OPERATIONS AND LOCAL ASSISTANCE	$ -	$ -	$ -	$ -	$ -	(***)
	TOTAL GENERAL FUND	-	-	-	-	-	
	CAPITAL PROJECT AND BOND REIMBURSABLE FUNDS						
30051	HIGHWAY AND BRIDGE CAPITAL	348,649,284.21	328,865,597.18	-	44,223,561.21	44,223,561.21	
30053	AVIATION PURPOSE ACCOUNT	-	-	-	-	-	
30101	REHAB/REPAIR MARITIME	-	-	-	-	-	
30102	D21RVE- MARITIME	-	-	-	-	-	
30103	D36RVE- CENTRAL ADMIN	-	-	-	-	-	
30104	RESIDENCE HALL CAMPUS LET BOND PROCEEDS	-	-	-	-	-	
30105	REHAB/REPAIR ALBANY	-	-	-	-	-	
30106	D01RVE- ALBANY	-	-	-	-	-	
30107	REHAB/REPAIR BINGHAMTON	-	-	-	-	-	
30108	D07RVE- BINGHAMTON	-	-	-	-	-	
30109	REHAB/REPAIR BUFFALO UNIVERSITY	-	-	-	-	-	
30110	D28RVE- SUNY BUFFALO	-	-	-	-	-	
30111	REHAB/REPAIR STONYBROOK	-	-	-	-	-	
30112	D13RVE- STONYBROOK	-	-	-	-	-	
30113	REHAB/REPAIR BROOKLYN	-	-	-	-	-	
30114	D14RVE - HSC BROOKLYN	-	-	-	-	-	
30115	REHAB/REPAIR SYRACUSE	-	-	-	-	-	
30116	D15RVE- HSC SYRACUSE	-	-	-	-	-	
30117	REHAB/REPAIR BROCKPORT	-	-	-	-	-	
30118	D02RVE- BROCKPORT	-	-	-	-	-	
30119	REHAB/REPAIR BUFFALO COLLEGE	-	-	-	-	-	
30120	D03RVE -SUB BUFFALO	-	-	-	-	-	
30121	REHAB/REPAIR CORTLAND	-	-	-	-	-	
30122	D04RVE- CORTLAND	-	-	-	-	-	
30123	REHAB/REPAIR FREDONIA	-	-	-	-	-	
30124	D05RVE- FREDONIA	-	-	-	-	-	
30125	REHAB/REPAIR GENESEO	-	-	-	-	-	
30126	D06RVE- GENESEO	-	-	-	-	-	
30127	REHAB/REPAIR OLD WESTBURY	-	-	-	-	-	
30128	D31RVE- OLD WESTBURY	-	-	-	-	-	
30129	REHAB/REPAIR NEW PALTZ	-	-	-	-	-	
30130	D08RVE- NEW PALTZ	-	-	-	-	-	
30131	REHAB/REPAIR ONEONTA	-	-	-	-	-	
30132	D09RVE- ONEONTA	-	-	-	-	-	
30133	REHAB/REPAIR OSWEGO	-	-	-	-	-	
30134	D10RVE- OSWEGO	-	-	-	-	-	
30135	REHAB/REPAIR PLATTSBURGH	-	-	-	-	-	
30136	D11RVE- PLATTSBURGH	-	-	-	-	-	
30137	REHAB/REPAIR POTSDAM	-	-	-	-	-	
30138	D12RVE- POTSDAM	-	-	-	-	-	
30139	REHAB/REPAIR PURCHASE	-	-	-	-	-	
30140	D29RVE- PURCHASE	-	-	-	-	-	
30141	REHAB/REPAIR FOR UTICA/ROME	-	-	-	-	-	
30142	D27RVE- CAMPUS RESERVE	-	-	-	-	-	
30143	REHAB/REPAIR ALFRED	-	-	-	-	-	
30144	D22RVE- ALFRED	-	-	-	-	-	
30145	REHAB/REPAIR CANTON	-	-	-	-	-	
30146	D23RVE- CANTON	-	-	-	-	-	
30147	REHAB/REPAIR COBLESKILL	953,069.38	1,135,204.83	1,203,596.49	(1,203,596.49)	-	
30148	D24RVE- COBLESKILL	-	-	-	-	-	
30149	REHAB/REPAIR DELHI	-	-	-	-	-	
30150	D25RVE- DELHI	-	-	-	-	-	
30151	REHAB/REPAIR FARMINGDALE	-	-	-	-	-	
30152	D26RVE- FARMINGDALE	-	-	-	-	-	
30153	REHAB/REPAIR MORRISVILLE	-	-	-	-	-	
30154	D27RVE- MORRISVILLE	-	-	-	-	-	
30351	STATE PARK INFRASTRUCTURE	55,768,416.64	53,130,282.82	71,356,612.45	(35,377,652.59)	35,978,959.86	
30501	CW/CA IMPLEMENTATION DEC	-	-	-	-	-	
30502	CW/CA IMPLEMENTATION STATE	-	-	-	-	-	
30503	CW/CA IMPLEMENTATION ERDA	-	-	-	-	-	
30504	CW/CA IMPLEMENTATION EFC	-	-	-	-	-	
31506	HAZARDOUS WASTE CLEAN UP	106,962,837.42	120,224,762.79	122,939,482.99	(12,619,799.73)	110,319,683.26	
31701	YOUTH FACILITIES IMPROVEMENT	12,387,821.98	13,011,358.40	13,390,037.15	900,443.30	14,290,480.45	
31801	HOUSING ASSISTANCE	12,941,967.06	12,941,967.06	12,941,967.06	-	12,941,967.06	
31851	HOUSING PROG FD-HSG TR FD CORP	116,954,523.32	129,266,936.45	136,215,935.45	84,410,242.02	220,626,177.47	
31852	HOUSING PROG FD AFFORD HSG CORP	38,695,121.72	38,695,121.72	38,695,121.72	2,271,588.00	40,966,709.72	
31853	HOUSING PROG FD-DEPT OF SOCIAL SERVICES	99,246,517.14	102,446,517.14	107,646,517.14	8,500,000.00	116,146,517.14	
31854	HOUSING PROG FD-HFA	-	-	-	-	-	
31951	HIGHWAY FAC PURPOSE	11,956,479.77	11,956,479.77	11,956,479.77	14,273.97	11,970,753.74	

APPENDIX F

STATE OF NEW YORK
SCHEDULE OF MONTH-END TEMPORARY LOANS OUTSTANDING(*)

SFS Fund	ACCOUNT TITLE	December 31, 2020	January 31, 2021	February 28, 2021	Change	March 31, 2021
32213	NY RACING ACCOUNT	153,750.00	153,750.00	153,750.00	-	153,750.00
32214	CAPITAL PROJECT MISC GIFTS	-	-	-	-	-
32215	IT CAPITAL FINANCING ACCT	4,765,153.90	5,226,104.01	5,669,893.07	1,799,851.13	7,469,744.20
32219	NY ENVIRONMENTAL PROTECTION & SPILL REMEDIATION	180.00	-	-	-	-
32301	OPWDD-STATE FACILITIES PRE 12/99	-	-	-	-	-
32302	DSAS-COMMUINTY FACILITIES	-	-	-	-	-
32303	OMH-COMMUNITY FACILITIES	71,862,321.37	72,271,381.76	73,275,852.43	(77,330.80)	73,198,521.63
32304	OPWDD-COMMUNITY FACILITIES	-	-	-	-	-
32305	OASAS-COMMUNITY FACILITIES	185,432,642.59	184,405,566.97	191,405,566.97	(4,387,481.83)	187,018,085.14
32306	DASNY - OMH ADMIN	-	-	-	-	-
32307	DASNY - OPWDD ADMIN	11,650,968.39	11,650,968.39	4,369,852.32	-	4,369,852.32
32308	DASNY - OASAS ADMIN	2,581,221.20	2,581,221.20	1,483,213.09	-	1,483,213.09
32309	OMH -STATE FACILITIES	165,417,218.76	178,340,759.27	171,564,249.62	(40,224,275.30)	131,339,974.32
32310	OPWDD -STATE FACILITIES	31,697,903.16	31,697,903.16	31,697,903.16	6,694,423.61	38,392,326.77
32311	OASAS -STATE FACILITIES	3,203,257.72	3,282,024.25	3,282,024.25	1,813,956.92	5,095,981.17
32351	CORR. FACILITIES CAPITAL IMPROVEMENT	-	-	-	-	-
32352	DOCS-REHABILITATION PROJECTS	194,435,239.85	214,987,658.41	246,392,114.85	(77,265,489.61)	169,126,625.24
32353	CORR. FACILITIES CAPITAL CLOSURE	-	-	-	-	-
33001	STORM RECOVERY ACCOUNT	55,576,380.02	55,800,568.97	55,763,946.28	(1,535,847.55)	54,228,098.73
	TOTAL CAPITAL AND BOND REIMBURSABLE FUNDS	**1,531,292,275.60**	**1,572,072,134.55**	**1,301,404,116.26**	**(22,063,133.74)**	**1,279,340,982.52**
	STATE SPECIAL REVENUE FUNDS					
20401	DOL-CHILD PERFORMER PROTECTION ACCOUNT	-	-	-	-	-
20452	VOCATIONAL SCHOOL SUPERVISION	-	-	-	-	-
20501	LOCAL GOVERNMENT RECORDS MGMT	-	-	-	-	-
20810	CHILD HEALTH INSURANCE	-	43,991,008.24	-	-	-
20818	EPIC PREMIUM ACCOUNT	-	-	-	-	-
20901	LOTTERY-EDUCATION	1,068,708,130.42	853,561,887.69	697,556,168.55	(697,556,168.55)	-
20904	VLT EDUCATION	345,538,604.22	437,408,602.19	531,970,401.50	(531,970,401.50)	-
21001	ENVIR FAC CORP ADM ACCT	-	-	-	-	-
21002	ENCON ADMIN ACCT	3,912,591.70	3,964,363.48	4,016,135.26	(782,729.16)	3,233,406.10
21061	HAZARDOUS BULK STORAGE	-	-	-	-	-
21064	UTILITY ENVIRONMENTAL REGULATORY ACCOUNT	1,672,099.99	3,324,199.99	3,324,199.99	-	3,324,199.99
21065	FEDERAL GRANTS INDIRECT COST RECOVERY ACCOUNT	762,637.76	1,421,973.93	2,084,600.58	(2,084,600.58)	-
21066	ENCON-LOW LEVEL RADIOACTIVE WASTE SITING	3,945,486.63	4,116,249.18	4,551,767.13	(295,935.81)	4,255,831.32
21067	ENCON-RECREATION	-	-	-	-	-
21077	PUBLIC SAFETY RECOVERY ACCOUNT	-	-	-	-	-
21081	ENVIRONMENTAL REGULATORY	60,694,009.62	60,683,220.74	61,490,250.32	(14,567.59)	61,475,682.73
21082	NATURAL RESOURCES ACCOUNT	14,690,320.20	14,482,012.46	14,822,988.78	447,500.25	15,270,489.03
21084	MINED LAND RECLAMATION ACCT	-	-	-	-	-
21087	GREAT LAKES RESTORATION INITIATIVE	-	-	-	-	-
21201	AUDIT AND CONTROL OIL SPILL	11,957.03	25.40	349.00	(349.00)	-
21202	HEALTH DEPT OIL SPILL	2,318.43	-	-	-	-
21203	DEPT OF ENVIRONMENTAL CONSERVATION OIL SPILL	270,435.85	87,291.88	4,004.81	(4,004.81)	-
21204	OIL SPILL COMPENSATION	-	-	-	-	-
21205	LICENSE FEE SURCHARGES	-	-	-	-	-
21401	PUBLIC TRANSPORTATION SYSTEMS	-	-	-	-	-
21402	METROPOLITAN MASS TRANSPORTATION	99,446,088.44	-	-	-	-
21451	OPERATING PERMIT PROGRAM	32,937,539.50	33,386,296.84	33,816,328.57	575,170.96	34,391,499.53
21452	MOBILE SOURCE	-	-	-	-	-
21902	HEALTH-SPARC'S	-	-	-	-	-
21905	THRUWAY AUTHORITY ACCT	2,912,861.50	7,049,060.11	5,153,373.25	916,670.56	6,070,043.81
21907	MENTAL HYGIENE PROGRAM	-	-	-	-	-
21909	MENTAL HYGIENE PATIENT INCOME ACCOUNT	-	-	-	-	-
21911	FINANCIAL CONTROL BOARD	579,539.40	200,986.13	385,557.07	278,823.85	664,380.92
21912	RACING REGULATION ACCOUNT	3,294,263.41	3,312,997.34	4,016,974.07	300,954.06	4,317,928.13
21937	SU DORM INCOME REIMBURSE	119,644.65	375,947.73	140,504.21	(140,504.21)	0.00
21945	CRIMINAL JUSTICE IMPROVEMENT	-	-	-	-	-
21959	ENV LAB REF FEE	-	-	-	-	-
21961	TRAINING, MANAGEMENT AND EVALUATION ACCOUNT	657,890.69	548,128.76	521,748.19	(52,725.87)	469,022.32
21962	CLINICAL LAB FEE	11,548,187.44	9,293,724.14	9,718,277.89	(700,870.01)	9,017,407.88
21978	INDIRECT COST RECOVERY	-	-	-	-	-
21979	HIGH SCHOOL EQUIVALENCY PROGRAM	-	-	-	-	-
21989	MULTI - AGENCY TRAINING ACCOUNT	-	-	-	-	-
22003	BELL JAR COLLECTION ACCOUNT	-	-	-	-	-
22004	INDUSTRY AND UTILITY SERVICE	-	-	-	-	-
22006	REAL PROPERTY DISPOSITION	-	-	-	-	-
22007	PARKING ACCOUNT	-	-	-	728,613.54	728,613.54
22008	COURTS SPECIAL GRANTS	-	-	-	-	-
22009	ASBESTOS SAFETY TRAINING	24,304.44	32,074.58	25,497.18	(3,574.35)	21,922.83
22017	CAMP SMITH BILLETING ACCOUNT	-	-	-	-	-
22032	BATAVIA SCHOOL FOR THE BLIND	10,602,800.05	11,320,507.81	12,681,293.47	(6,277,602.76)	6,403,690.71
22034	INVESTMENT SERVICES	-	-	-	-	-
22036	SURPLUS PROPERTY ACCOUNT	-	-	-	-	-

APPENDIX F

STATE OF NEW YORK
SCHEDULE OF MONTH-END TEMPORARY LOANS OUTSTANDING(*)

SFS Fund	ACCOUNT TITLE	December 31, 2020	January 31, 2021	February 28, 2021	Change	March 31, 2021	
22039	FINANCIAL OVERSIGHT	827,618.02	275,005.07	549,858.41	362,258.26	912,116.67	
22046	REGULATION INDIAN GAMING	95,993,465.56	96,973,231.07	97,869,203.31	147,526.86	98,016,730.17	
22053	ROME SCHOOL FOR THE DEAF	5,586,537.02	6,114,821.97	7,318,915.51	(5,310,829.93)	2,008,085.58	
22054	DSP-SEIZED ASSETS	808,753.32	659,132.92	585,246.75	(128,612.28)	456,634.47	
22055	ADMINISTRATIVE ADJUDICATION	37,896,896.77	39,411,493.44	42,649,797.61	2,466,984.36	45,116,781.97	
22056	FEDERAL SALARY SHARING	1,484,621.43	1,598,602.46	1,708,179.30	(1,674,986.57)	33,192.73	
22062	NYC ASSESSMENT ACCT	-	-	-	-	-	
22063	CULTURAL EDUCATION ACCOUNT	4,786,470.03	3,391,222.79	3,537,228.58	(603,922.47)	2,933,306.11	
22078	LOCAL SERVICE ACCOUNT	-	-	-	-	-	
22085	DHCR MORTGAGE SERVICES	1,929,393.99	2,131,303.19	2,392,016.13	(243,191.59)	2,148,824.54	
22090	HOUSING INDIRECT COST RECOVERY	-	-	-	-	-	
22100	DHCR-HOUSING CREDIT AGENCY APPLY FEE	11,818,808.10	12,378,588.81	12,218,379.67	(869,963.44)	11,348,416.23	
22130	LOW INCOME HOUSING CREDIT MONITORING	-	-	-	-	-	
22135	EFC-CORPORATION ADMINISTRATION	-	-	-	-	-	
22144	MONTROSE VETERAN'S HOME	-	-	7,760.17	(7,760.17)	-	
22151	DEFERRED COMPENSATION ADMIN	119,092.21	171,045.61	56,058.94	67,675.33	123,734.27	
22156	RENT REVENUE OTHER - NYC	12,415,682.77	16,295,440.90	20,611,517.36	4,653,549.69	25,265,067.05	
22158	RENT REVENUE	-	-	-	-	-	
22168	TAX REVENUE ARREARAGE ACCOUNT	-	-	-	-	-	
22240	NYS MEDICAL INDEMNITY FUND ACCOUNT	1,502,286.60	1,606,183.66	1,656,669.31	(382,039.58)	1,274,629.73	
22654	S.U. NON-RESIDENT REV. OFFSET	20,671,321.96	20,673,610.47	20,675,784.98	1,722.05	20,677,507.03	
22751	LAKE GEORGE PARK TRUST FUND	-	23,185.21	-	-	-	
22802	STATE POLICE MV ENFORCE	-	-	-	-	-	
23001	DOT - HIGHWAY SAFETY PRGM	16,693,271.87	16,839,996.98	17,281,214.32	(289,758.32)	16,991,456.00	
23102	DOH DRINKING WATER PROGRAM	5,350,949.70	5,350,949.70	5,350,949.70	-	5,350,949.70	
23151	NYCCC OPERATING OFFSET	50,730,785.31	53,091,758.53	55,596,399.79	(29,039,717.94)	26,556,681.85	
23701	COMMERCIAL GAMING REVENUE	-	-	-	-	-	
23702	COMMERCIAL GAMING REGULATION	21,181,241.86	21,495,412.34	21,859,993.24	411,887.26	22,271,880.50	
23801	HIGHWAY USE TAX ADMIN	-	-	-	-	-	
23806	NYS SECURE CHOICE ADMIN	-	-	-	-	-	
24951	FANTASY SPORTS ADMINISTRATION	37,509.74	37,509.74	59,230.63	16,580.81	75,811.44	
	TOTAL STATE SPECIAL REVENUE FUNDS	**1,952,166,417.63**	**1,787,079,053.48**	**1,698,264,823.53**	**(1,267,058,898.65)**	**431,205,924.88**	
	FEDERAL FUNDS						
25000-25099	FEDERAL USDA/FOOD AND NUTRITION SERVICES FUND	14,138,263.58	5,921,211.58	114,685,009.66	(102,101,792.24)	12,583,217.42	
25100-25199	FEDERAL HEALTH AND HUMAN SERVICES FUND	244,159,117.03	463,975,848.19	616,818,369.22	1,828,173,363.06	2,444,991,732.28	
25200-25249	FEDERAL EDUCATION GRANTS FUND	14,673,179.58	29,762,688.71	52,262,948.69	(32,598,722.24)	19,664,226.45	
25250-25299	FEDERAL DHHS BLOCK GRANTS	-	-	-	-	-	
25300-25899	FEDERAL OPERATING GRANTS FUND	476,592,572.12	471,249,600.16	493,344,470.56	(6,378,134.71)	486,966,335.85	
31351	MILITARY AND NAVAL AFFAIRS	8,753,932.66	8,753,932.66	8,753,932.66	-	8,753,932.66	
31354	DEPARTMENT OF TRANSPORTATION	342,775,368.18	294,284,894.79	559,474,133.62	(21,652,327.65)	537,821,805.97	
31350-31449	FEDERAL CAPITAL PROJECTS FUND (ALL OTHER)	112,446,385.15	111,552,071.94	113,174,287.06	(7,533,747.66)	105,640,539.40	
25900-25949	UNEMPLOYMENT INSURANCE ADMINISTRATION	164,587,973.83	246,996,095.93	263,720,313.36	(192,108,884.92)	71,611,428.44	
25950	FEDERAL UNEMPLOYMENT INS OCCUPATIONAL TRAINING	482,839.00	462,563.50	480,214.00	23,056.00	503,270.00	
26001-26049	DOL EMPLOYMENT AND TRAINING GRANTS	4,109,419.63	12,922,420.90	8,298,815.53	(1,100,495.05)	7,198,320.48	
	TOTAL FEDERAL FUNDS	**1,382,719,050.76**	**1,645,881,328.36**	**2,231,012,494.36**	**1,464,722,314.59**	**3,695,734,808.95**	(**)
	AGENCY FUNDS						
60201	EMPLOYEES HEALTH INSURANCE ACCT	-	-	-	-	-	
60901	MMIS - STATE AND FEDERAL	-	-	-	-	-	
	TOTAL AGENCY FUNDS	**-**	**-**	**-**	**-**	**-**	
	ENTERPRISE FUND						
50318	OGS CONVENTION CENTER ACCOUNT	858,561.11	909,948.94	930,931.15	58,228.98	989,160.13	
50327	EMPIRE PLAZA GIFT SHOP	277,839.74	278,641.72	274,184.69	9,417.83	283,602.52	
	TOTAL ENTERPRISE FUND	**1,136,400.85**	**1,188,590.66**	**1,205,115.84**	**67,646.81**	**1,272,762.65**	
	INTERNAL SERVICE FUNDS						
55001	CENTRALIZED SERVICES-FLEET MGMT	-	-	-	-	-	
55002	CENTRALIZED SERVICES-DATA PROCESSING	-	-	-	-	-	
55003	CENTRALIZED SERVICES-PRINTING	1,422,908.23	1,415,556.47	1,430,894.99	(414,322.08)	1,016,572.91	
55004	CENTRALIZED SERVICES-REAL PROPERTY-LABOR	-	134,821.27	226,461.51	(226,461.51)	-	
55005	CENTRALIZED SERVICES-DONATED FOODS	-	-	-	-	-	
55006	CENTRALIZED SERVICES-PERSONAL PROPERTY	14,991.22	24,475.44	36,672.74	16,549.54	53,222.28	
55007	CENTRALIZED SERVICES-CONSTRUCTION SERVICES	2,452,775.52	2,678,600.17	2,686,693.63	(438,988.15)	2,247,705.48	
55008	CENTRALIZED SERVICES-PASNY	20,803,539.76	21,051,905.82	23,352,048.48	(23,352,048.48)	-	
55009	CENTRALIZED SERVICES-ADMIN SUPPORT	-	-	-	-	-	
55010	CENTRALIZED SERVICES-DESIGN AND CONSTR	18,107,950.66	18,079,001.23	15,902,600.03	(7,428,884.38)	8,473,715.65	
55011	CENTRALIZED SERVICES-INSURANCE	1,164,558.81	5,837,106.48	5,835,999.73	(195,886.27)	5,640,113.46	
55012	CENTRALIZED SERVICES-SECURITY CARD ACCESS	158,832.30	166,164.30	159,500.71	6,690.00	166,190.71	
55013	CENTRALIZED SERVICES-COP'S	-	-	-	-	-	
55014	CENTRALIZED SERVICES-FOOD SERVICES	-	-	-	-	-	
55015	CENTRALIZED SERVICES-HOMER FOLKS	-	-	-	-	-	

APPENDIX F

STATE OF NEW YORK
SCHEDULE OF MONTH-END TEMPORARY LOANS OUTSTANDING(*)

SFS Fund	ACCOUNT TITLE	December 31, 2020	January 31, 2021	February 28, 2021	Change	March 31, 2021
55016	CENTRALIZED SERVICES-IMMICS	1,840,583.09	1,919,964.31	1,914,901.23	(532,675.01)	1,382,226.22
55017	DOWNSTATE WAREHOUSE	149,898.27	38,884.11	148,749.75	273,154.04	421,903.79
55018	BUILDING ADMINISTRATION	-	-	-	-	-
55019	LEASE SPACE INITIATIVE	-	-	-	-	-
55020	OGS ENTERPRISE CONTRACTING ACCT	88,195,248.20	91,664,939.29	90,884,212.13	(16,946,205.45)	73,938,006.68
55021	NYS MEDIA CENTER	11,155,297.64	11,558,290.64	11,802,755.13	107,459.41	11,910,214.54
55022	BUSINESS SERVICES CENTER	24,238,440.67	26,230,837.21	28,417,248.08	3,232,989.43	31,650,237.51
55052	ARCHIVES RECORD MGMT I.S.	-	65,304.11	70,832.99	(70,832.99)	-
55053	FEDERAL SINGLE AUDIT	-	-	-	-	-
55056	CIVIL SERVICE EHS OCCUP HEALTH PROG	-	-	-	-	-
55057	BANKING SERVICES ACCOUNT	30,802.29	38,305.45	219,069.09	(219,069.09)	-
55058	CULTURAL RESOURCE SURVEY	2,725,647.37	2,963,159.31	3,203,884.65	278,539.59	3,482,424.24
55059	NEIGHBOR WORK PROJECT	11,585,502.98	11,588,001.33	11,930,124.19	(559,537.98)	11,370,586.21
55060	AUTOMATIC/PRINT CHARGBACKS	2,734,309.87	3,722,315.71	710,657.18	(710,657.18)	-
55061	OFT NYT ACCT	-	-	-	-	-
55062	DATA CENTER ACCOUNT	52,824,404.62	50,058,692.95	51,819,133.86	39,760,323.40	91,579,457.26
55066	CYBER SECURITY INTRUSION ACCT	1,261,584.27	1,261,584.27	1,261,584.27	-	1,261,584.27
55067	DOMESTIC VIOLENCE GRANT	225,548.29	249,787.03	276,765.63	(178,109.29)	98,656.34
55069	CENTRALIZED TECHNOLOGY SERVICES	103,800,648.53	76,564,331.50	84,201,124.13	9,408,985.05	93,610,109.18
55071	LABOR CONTACT CENTER ACCT	4,203,491.07	4,277,327.23	1,331,867.20	174,645.33	1,506,512.53
55072	HUMAN SERVICES CONTACT CNTR ACCT	3,170,869.49	4,122,584.84	5,797,025.97	(2,469,782.60)	3,327,243.37
55073	TAX CONTACT CENTER ACCT	-	-	-	-	-
55074	CIVIL RECOVERIES ACCT	4,035,844.07	2,668,281.45	3,383,833.21	(204,843.95)	3,178,989.26
55251	EXECUTIVE DIRECTION INTERNAL AUDIT	11,038,001.16	11,268,754.69	11,495,238.52	(2,720,399.51)	8,774,839.01
55252	CIO INFORMATION TECHNOLOGY CENTRALIZED SERVICES	44,661,267.15	45,329,606.22	48,873,335.02	(17,162,474.60)	31,710,860.42
55300	HEALTH INSURANCE INTERNAL SERVICE	2,988,283.21	3,797,831.95	4,815,234.27	1,429,761.21	6,244,995.48
55301	CIVIL SERVICE EMPLOYEE BENEFITS DIV ADM	5,003,507.81	5,076,503.25	6,800,405.01	73,478.92	6,873,883.93
55350	CORR INDUSTRIES INTERNAL SERVICE	50,777,391.54	50,743,022.72	51,897,788.46	(19,320,046.95)	32,577,741.51
	TOTAL INTERNAL SERVICE FUNDS	**470,772,128.09**	**454,595,940.75**	**470,886,641.79**	**(38,388,649.55)**	**432,497,992.24**
	GRAND TOTAL - TEMPORARY LOANS OUTSTANDING	**$ 5,338,086,272.93**	**$ 5,460,817,047.80**	**$ 5,702,773,191.78**	**$ 137,279,279.46**	**$ 5,840,052,471.24**

(*) Temporary Loans are authorized pursuant to Subdivision 5 of Section 4 of the State Finance Law and Chapter 56, Part JJ, Section 1, of the Laws of 2020-21.
The loans represent authorizations made by the Legislature to allow certain funds/accounts to make appropriated payments regardless of the fund (cash) balance.
Such loans are made from the State's Short-Term Investment Pool (STIP) and are intended to satisfy temporary cash shortfalls whenever scheduled disbursements exceed available revenues during the fiscal year. Generally, temporary loans are repaid from the first cash receipts of the fund or account; however, in some cases actual revenues are not sufficient to repay all loans made to the fund or account and a transfer from the General Fund "Repayment of Receivables" appropriation is approved by the Budget Director.
The balances reported here in Appendix F are the actual fund balances as of the close of business on the last day of the reporting month and do not include post-closing adjustments.
Please refer to Schedule 1 for a detailed analysis of the 'reported' cash balances of the fund group.

(**) Temporary loans to federal funds are typically reimbursed within 2-3 days. Such loans are made pursuant to federal regulations which require the State to disburse funds prior to making a reimbursement claim from the U.S. Treasury.

(***) Per Section 72 of the State Finance Law, the General Fund includes the Local Assistance Fund (10000) and State Purpose Fund (10050).

STATE OF NEW YORK
DEDICATED INFRASTRUCTURE INVESTMENT FUND(*)
STATEMENT OF RECEIPTS AND DISBURSEMENTS
FISCAL YEAR 2020-2021

APPENDIX G

	2020 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2021 JANUARY	FEBRUARY	MARCH	12 Months Ended March 31, 2021
OPENING CASH BALANCE	$ 86,513,214	$ 49,126,483	$ 42,662,065	$ 7,636,110	$ 165,822,096	$ 101,117,004	$ 90,519,037	$ 33,132,009	$ 34,311,372	$ 65,037,383	$ 75,763,969	$ 58,223,075	$ 86,513,214
RECEIPTS:													
Transfers from General Fund (**)	-	-	-	204,000,000	-	-	-	40,000,000	68,967,000	50,000,000	60,000,000	90,000,000	512,967,000
Other	-	-	-	-	-	-	-	-	-	-	-	-	-
Total Receipts	-	-	-	204,000,000	-	-	-	40,000,000	68,967,000	50,000,000	60,000,000	90,000,000	512,967,000
DISBURSEMENTS:													
Affordable and Homeless Housing	-	-	9,481	533,024	-	415,671	516,927	83,421	-	1,251,346	3,445,028	2,036,730	8,291,628
Broadband Initiative	1,735,855	1,420,080	-	6,989,621	-	47,334	7,596,310	707,298	5,896,536	-	6,675,852	2,616,927	33,685,813
Downtown Revitalization	-	-	-	-	-	250,000	455,489	415,518	239,112	-	422,621	1,323,431	3,106,170
Empire State Poverty Reduction Initiatives	2,457,343	88,175	-	1,071,138	565,275	2,517,997	1,963,105	329,081	444,698	947,002	(454,974)	1,364,360	11,293,199
Health Care / Hospital Initiatives	2,586,638	3,634,367	1,781,021	298,010	2,275,903	643,795	468,438	105,191	736,003	-	81,650	150,503	12,761,518
Information Technology/Infrastructure for Behavioral Sciences	-	-	-	-	-	-	-	-	-	-	-	-	-
Infrastructure Improvements	-	-	5,540,794	1,292,017	2,270,353	144,374	4,447,962	792,375	6,319,850	469	599,236	251,697	21,659,128
Jacob Javits Center Expansion	-	-	-	-	55,700,000	-	22,620,551	28,198,264	-	32,443,444	28,176,402	15,966,328	183,104,989
Life Sciences Initiative	2,500,000	1,500,000	-	-	-	-	5,811,363	-	-	-	-	632,000	10,443,363
Municipal Restructuring / Consolidation Competition	3,054,840	(2,778,292)	-	562,372	-	830,000	2,063,090	1,237,295	503,423	701,627	1,328,610	6,658,789	14,161,755
Penn Station Access	-	-	-	-	-	-	-	-	-	-	-	-	-
Resiliency, Mitigation, Security and Emergency Response	-	-	-	-	(6,035)	-	(10,425)	-	-	414,460	-	-	398,000
Southern Tier / Hudson Valley Farm Initiative	-	-	-	30,000	55,274	(14,282)	20,642	24,656	(4,552)	-	(103,393)	-	8,345
Thruway Stabilization Program	-	-	22,587,449	24,055,020	2,944,322	4,284,912	-	-	-	-	-	-	53,871,704
Transformative Economic Development Projects	10,440,876	79,325	4,746,161	282,274	-	1,478,166	5,814,301	4,625,918	11,485,752	70,474	21,844,909	2,186,747	63,054,902
Transporation Capital Plan	-	-	-	-	-	-	-	-	-	-	-	-	-
Upstate Revitalization Program	14,611,179	2,520,763	361,049	10,700,538	900,000	-	5,619,275	2,301,620	12,620,169	3,444,591	15,524,953	18,313,039	86,917,176
Total Disbursements	37,386,731	6,464,418	35,025,955	45,814,014	64,705,092	10,597,967	57,387,028	38,820,637	38,240,989	39,273,414	77,540,894	51,500,551	502,757,690
OPERATING TRANSFERS:													
Transfers to General Fund	-	-	-	-	-	-	-	-	-	-	-	-	-
Total Operating Transfers	-	-	-	-	-	-	-	-	-	-	-	-	-
Total Disbursements and Transfers	37,386,731	6,464,418	35,025,955	45,814,014	64,705,092	10,597,967	57,387,028	38,820,637	38,240,989	39,273,414	77,540,894	51,500,551	502,757,690
CLOSING CASH BALANCE	$ 49,126,483	$ 42,662,065	$ 7,636,110	$ 165,822,096	$ 101,117,004	$ 90,519,037	$ 33,132,009	$ 34,311,372	$ 65,037,383	$ 75,763,969	$ 58,223,075	$ 96,722,524	$ 96,722,524

(*) Fund created pursuant to Chapter 60, Laws of 2015-16, Part H and SFL § 93-b

(**) Pursuant to Section 93(b) of the State Finance Law

STATE OF NEW YORK

MEDICAL ASSISTANCE DISBURSEMENTS - STATE FUNDS(*)

FISCAL YEAR 2020-2021

APPENDIX H

	MARCH 2021			12 MONTHS ENDED MARCH 31		
	Department of Health	Other State Agencies	March	Department of Health	Other State Agencies	Year to Date
Adult State Share Medicaid	$ -	$ 5,585,169.00	**$ 5,585,169.00**	$ -	$ 86,119,077.00	**$ 86,119,077.00**
State Share Medicaid	10,326,130.00	(9,260,214.95)	**1,065,915.05**	118,004,006.00	11,580,684.42	**129,584,690.42**
Medical Assistance (OPWDD)	-	8,356,111.00	**8,356,111.00**	-	35,638,108.97	**35,638,108.97**
Medical Assistance Administration	4,139,855.60	145,306,200.00	**149,446,055.60**	53,620,220.75	427,010,662.00	**480,630,882.75**
Population Health Improvement	4,776.17	-	**4,776.17**	2,139,109.71	-	**2,139,109.71**
Traumatic Brain Injury Services	1,283,991.71	-	**1,283,991.71**	11,772,276.42	-	**11,772,276.42**
Nursing Home Transition & Diversion	594,438.94	-	**594,438.94**	1,609,495.39	-	**1,609,495.39**
Reducing Maternal Mortality	1,116,292.76	-	**1,116,292.76**	1,832,944.94	-	**1,832,944.94**
New York Connects	-	12,731,143.66	**12,731,143.66**	-	26,228,536.25	**26,228,536.25**
Facilitated Enrollment	553,524.79	-	**553,524.79**	3,200,661.63	-	**3,200,661.63**
Emergency Medical Transportation	-	-	**-**	750,000.00	-	**750,000.00**
Managed Long-Term Care Ombudsman	1,038,895.67	-	**1,038,895.67**	5,690,117.43	-	**5,690,117.43**
Major Academic Pool	-	-	**-**	-	-	**-**
Women's Health & Multiple Births	-	-	**-**	-	-	**-**
Vital Access Program (OASAS)	-	-	**-**	-	-	**-**
Vital Access Program (OMH)	-	-	**-**	-	-	**-**
Vital Access Provider Services	-	-	**-**	1,600,000.00	-	**1,600,000.00**
General Hospitals Safety-Net Providers	-	-	**-**	35,239,490.00	-	**35,239,490.00**
Rural Transportation	-	-	**-**	-	-	**-**
AIDS Epidemic	2,211,614.01	-	**2,211,614.01**	10,983,329.36	-	**10,983,329.36**
Fluoridation Systems	-	-	**-**	750,709.02	-	**750,709.02**
Expanding Caregiver Support Services	3,543,140.59	-	**3,543,140.59**	26,322,315.99	-	**26,322,315.99**
Provide Affordable Housing	(1,542,994.05)	9,419,539.00	**7,876,544.95**	18,756,899.23	23,650,204.38	**42,407,103.61**
Health Homes Establishment	-	-	**-**	376,446.03	-	**376,446.03**
Community Provider Network	26,254,244.25	-	**26,254,244.25**	44,785,745.70	-	**44,785,745.70**
Inpatient Services	58,581,598.75	-	**58,581,598.75**	404,745,492.75	-	**404,745,492.75**
Patient Centered Medical Homes	-	-	**-**	-	-	**-**
Outpatient & Emergency Room Services	13,763,684.62	-	**13,763,684.62**	172,922,949.34	-	**172,922,949.34**
Clinic Services	3,972,048.72	-	**3,972,048.72**	163,015,233.24	-	**163,015,233.24**
Nursing Home Services	91,364,005.32	-	**91,364,005.32**	1,026,639,152.37	-	**1,026,639,152.37**
Other Long Term Care Services	(80,854,476.55)	-	**(80,854,476.55)**	5,996,418,831.46	-	**5,996,418,831.46**
Managed Care Services	326,477,047.96	-	**326,477,047.96**	4,533,351,919.98	-	**4,533,351,919.98**
Pharmacy Services	18,595,568.48	-	**18,595,568.48**	160,984,686.84	-	**160,984,686.84**
Transportation Services	14,747,844.89	-	**14,747,844.89**	116,130,204.80	-	**116,130,204.80**
Dental Services	471,785.27	-	**471,785.27**	3,311,015.40	-	**3,311,015.40**
Non-Institutional & Other	(566,657,537.54)	1,714,646.00	**(564,942,891.54)**	420,369,710.08	24,049,844.00	**444,419,554.08**
Medical Services State Facilities	170,489,594.11	-	**170,489,594.11**	1,380,959,007.06	-	**1,380,959,007.06**
CSEA Family Health Plus Buy In	987,455.68	-	**987,455.68**	2,425,387.64	-	**2,425,387.64**
DC37 & Teamster Local 858	2,291,746.38	-	**2,291,746.38**	2,291,746.38	-	**2,291,746.38**
Medical Assistance (HCRA)	254,000,000.00	-	**254,000,000.00**	3,716,000,000.00	-	**3,716,000,000.00**
Indigent Care	68,772,819.83	-	**68,772,819.83**	750,790,246.44	-	**750,790,246.44**
Provider Assessments	109,147,000.00	-	**109,147,000.00**	833,844,000.00	-	**833,844,000.00**
NYC Personal Care Workforce Recruitment and Retention Rates (HCRA)	-	-	**-**	120,500,000.00	-	**120,500,000.00**
Personal Care Workforce Recruitment and Retention Rates (HCRA)	-	-	**-**	9,900,000.00	-	**9,900,000.00**
Home Health Rate Increase (HCRA)	-	-	**-**	44,300,000.00	-	**44,300,000.00**
Additional DSH Payments SUNY	-	-	**-**	169,029,951.20	-	**169,029,951.20**
TOTAL(**)	**535,674,096.36**	**173,852,593.71**	**709,526,690.07**	**20,365,363,302.58**	**634,277,117.02**	**20,999,640,419.60**
Reclassification of Medical Assistance payments for care and treatment of patients at State-operated health, mental hygiene and State University facilities to Transfers.	(168,941,377.54)	-	(168,941,377.54)	(1,707,027,742.67)	-	(1,707,027,742.67)
TOTAL REPORTED MEDICAID	**$ 366,732,718.82**	**$ 173,852,593.71**	**$ 540,585,312.53**	**$ 18,658,335,559.91**	**$ 634,277,117.02**	**$ 19,292,612,676.93**

(*) General Fund and State Special Revenue Funds only.
These amounts do not include Medical Assistance spending for State Operations.
These amounts are not comparable to Medicaid Global Cap spending.
Department of Health regularly reclassifies spending between programs,
and therefore amounts for any individual program may be restated by DOH.

(**)Source: Statewide Financial System

STATE OF NEW YORK
MEDICAL ASSISTANCE DISBURSEMENTS - FEDERAL FUNDS(*)
FISCAL YEAR 2020-2021

APPENDIX I

	MARCH 2021			12 MONTHS ENDED MARCH 31		
	Department of Health	Other State Agencies	March	Department of Health	Other State Agencies	Year to Date
Medical Assistance & Survey Certification Program	$ 14,176,961.54	$ -	**$ 14,176,961.54**	$ 131,446,509.95	$ -	**$ 131,446,509.95**
Medical Assistance Administration	287,661.12	124,002,892.00	**124,290,553.12**	82,111,113.74	387,963,947.00	**470,075,060.74**
Partnership Plan	-	-	**-**	746,230,284.57	-	**746,230,284.57**
Inpatient Services	413,381,894.95	-	**413,381,894.95**	4,329,792,566.64	-	**4,329,792,566.64**
Outpatient & Emergency Room Services	43,635,471.13	-	**43,635,471.13**	562,576,065.54	-	**562,576,065.54**
Clinic Services	84,420,795.19	-	**84,420,795.19**	716,096,894.17	-	**716,096,894.17**
Nursing Home Services	207,305,470.64	-	**207,305,470.64**	1,609,319,238.49	-	**1,609,319,238.49**
Other Long Term Care Services	1,755,858,133.65	-	**1,755,858,133.65**	14,732,844,742.64	-	**14,732,844,742.64**
Managed Care Services	1,835,513,834.19	-	**1,835,513,834.19**	19,695,735,361.94	-	**19,695,735,361.94**
Pharmacy Services	42,712,071.75	-	**42,712,071.75**	413,819,570.83	-	**413,819,570.83**
Transportation Services	52,226,649.98	-	**52,226,649.98**	485,113,212.89	-	**485,113,212.89**
Dental Services	1,259,632.52	-	**1,259,632.52**	10,682,168.44	-	**10,682,168.44**
Non-Institutional & Other	(2,497,073.90)	8,836,903.00	**6,339,829.10**	154,788,199.89	32,940,508.00	**187,728,707.89**
Medical Services State Facilities	50,000,000.01	-	**50,000,000.01**	1,123,973,623.09	-	**1,123,973,623.09**
Additional DSH Payments SUNY	-	-	**-**	221,268,617.80	-	**221,268,617.80**
TOTAL(**)	**4,498,281,502.77**	**132,839,795.00**	**4,631,121,297.77**	**45,015,798,170.62**	**420,904,455.00**	**45,436,702,625.62**
Reclassification of Medical Assistance payments for care and treatment of patients at State-operated health, mental hygiene and State University facilities to Transfers and adjustments for timing of payments at month end.	(124,608,763.24)	-	(124,608,763.24)	(1,128,467,196.31)	-	(1,128,467,196.31)
TOTAL REPORTED MEDICAID(***)	**$ 4,373,672,739.53**	**$ 132,839,795.00**	**$ 4,506,512,534.53**	**$ 43,887,330,974.31**	**$ 420,904,455.00**	**$ 44,308,235,429.31**

(*) Special Revenue Federal Funds only.
These amounts do not include Medical Assistance spending for State Operations.
These amounts are not comparable to Medicaid Global Cap spending.
(**) Source: Statewide Financial System
(***) Reported Medicaid spending does not include the Basic Health Plan.