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**NEW YORK STATE**  
**REGISTER**

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**INSIDE THIS ISSUE:**

- Family Reunion Program
- Qualification Time in Harness Racing
- Surge and Flex Health Coordination System

**Financial Reports**

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State agencies must specify in each notice which proposes a rule the last date on which they will accept public comment. Agencies must always accept public comment: for a minimum of 60 days following publication in the *Register* of a Notice of Proposed Rule Making, or a Notice of Emergency Adoption and Proposed Rule Making; and for 45 days after publication of a Notice of Revised Rule Making, or a Notice of Emergency Adoption and Revised Rule Making in the *Register*. When a public hearing is required by statute, the hearing cannot be held until 60 days after publication of the notice, and comments must be accepted for at least 5 days after the last required hearing. When the public comment period ends on a Saturday, Sunday or legal holiday, agencies must accept comment through the close of business on the next succeeding workday.

***For notices published in this issue:***

- the 60-day period expires on October 25, 2020
- the 45-day period expires on October 10, 2020
- the 30-day period expires on September 25, 2020

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# NEW YORK STATE REGISTER

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## Be a part of the rule making process!

The public is encouraged to comment on any of the proposed rules appearing in this issue. Comments must be made in writing and must be submitted to the agency that is proposing the rule. Address your comments to the agency representative whose name and address are printed in the notice of rule making. No special form is required; a handwritten letter will do. Individuals who access the online *Register* ([www.dos.ny.gov](http://www.dos.ny.gov)) may send public comment via electronic mail to those recipients who provide an e-mail address in Notices of Proposed Rule Making. This includes Proposed, Emergency Proposed, Revised Proposed and Emergency Revised Proposed rule makings.

To be considered, comments should reach the agency before expiration of the public comment period. The law provides for a minimum 60-day public comment period after publication in the *Register* of every Notice of Proposed Rule Making, and a 45-day public comment period for every Notice of Revised Rule Making. If a public hearing is required by statute, public comments are accepted for at least five days after the last such hearing. Agencies are also required to specify in each notice the last date on which they will accept public comment.

When a time frame calculation ends on a Saturday or Sunday, the agency accepts public comment through the following Monday; when calculation ends on a holiday, public comment will be accepted through the following workday. Agencies cannot take action to adopt until the day after expiration of the public comment period.

The Administrative Regulations Review Commission (ARRC) reviews newly proposed regulations to examine issues of compliance with legislative intent, impact on the economy, and impact on affected parties. In addition to sending comments or recommendations to the agency, please do not hesitate to transmit your views to ARRC:

Administrative Regulations Review Commission  
State Capitol  
Albany, NY 12247  
Telephone: (518) 455-5091 or 455-2731

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KEY: (P) Proposal; (RP) Revised Proposal; (E) Emergency; (EP) Emergency and Proposal; (A) Adoption; (AA) Amended Adoption; (W) Withdrawal

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Individuals may send public comment via electronic mail to those recipients who provided an e-mail address in Notices of Proposed Rule Making. This includes Proposed, Emergency Proposed, Revised Proposed and Emergency Revised Proposed rule makings. Choose pertinent issue of the *Register* and follow the procedures on the website ([www.dos.ny.gov](http://www.dos.ny.gov))

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# RULE MAKING ACTIVITIES

Each rule making is identified by an I.D. No., which consists of 13 characters. For example, the I.D. No. AAM-01-96-00001-E indicates the following:

AAM      -the abbreviation to identify the adopting agency  
01        -the *State Register* issue number  
96        -the year  
00001    -the Department of State number, assigned upon receipt of notice.  
E         -Emergency Rule Making—permanent action not intended (This character could also be: A for Adoption; P for Proposed Rule Making; RP for Revised Rule Making; EP for a combined Emergency and Proposed Rule Making; EA for an Emergency Rule Making that is permanent and does not expire 90 days after filing.)

Italics contained in text denote new material. Brackets indicate material to be deleted.

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## Department of Corrections and Community Supervision

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### PROPOSED RULE MAKING NO HEARING(S) SCHEDULED

#### Family Reunion Program

**I.D. No.** CCS-34-20-00001-P

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following proposed rule:

**Proposed Action:** Amendment of Part 220 of Title 7 NYCRR.

**Statutory authority:** Correction Law, section 70

**Subject:** Family Reunion Program.

**Purpose:** To clarify for logic and consistency, and make additional changes to the current Family Reunion Program.

**Substance of proposed rule (Full text is posted at the following State website: <https://doccs.ny.gov/rules-regulations>):** The Department of Corrections and Community Supervision is amending various sections in 7 NYCRR Part 220, “Family Reunion Program.” Some of the revisions are non-substantive and consist of minor grammatical changes, re-lettering, and a clarification regarding the scope of the medical disclosure subject to an incarcerated individual’s signed release. Other changes are more substantive. A summary of the more substantive-related amendments within each section is listed below:

Four new sections were added to include the incarcerated individual’s right to apply for the Family Reunion Program (FRP), the ability to request reasonable accommodations, required reporting, and the need for file retention.

Content-related changes within section 220.4 (Previously section 220.2 before renumbering) are as follows:

Amend sub-paragraph 220.4(a)(1)(ii) to provide a 30-day timeframe restriction before a transferred incarcerated individual can apply for the family reunion program, if they have not previously participated in the program. This provides time for the incarcerated individual to receive an appropriate assessment.

Amend sub-paragraph 220.4(a)(1)(iii) to state that if an incarcerated individual is within 90 days of an approved release date, the application will not be accepted.

Amend sub-paragraph 220.4(a)(2) in order to expand upon the definition of a severe disciplinary problem and the impact it has on an incarcerated individual’s assessed program plan and eligibility for the program.

Add a new clause 220.4(a)(2)(iii)(f-q) that introduces new categories to the list of disciplinary reports that are deemed to constitute a severe disciplinary problem.

Amend paragraph 220.4(a)(3) to clearly indicate that dependent upon an incarcerated individual’s criminal, disciplinary or programming history, participation or completion of a specific therapeutic or treatment program may be a pre-condition for participation in the program.

Amend paragraph 220.4(b) to include additional disqualifying conditions and to increase the ineligibility period from six months to one year for an incarcerated individual found in violation of family reunion standards as the result of a disciplinary hearing, depending on the Tier level violation. The one-year timeframe to be determined from the date of the disciplinary hearing finding or the date when the disciplinary confinement has been completed.

Amend paragraph 220.4(c)(1) to clarify that special reviews of incarcerated individual applications for the program are to be completed by central office staff. New sub-paragraphs in this paragraph have been added to include sex offense conviction, having prior participation suspended or terminated, or the visitor is a minor step-child as reasons for a central office review of an incarcerated individual’s application. In addition, the diagnosis of having a communicable disease no longer requires a central office review and has been removed.

Content related changes within section 220.5 (Previously, section 220.3) are as follows:

Amend sub-division 220.5(a) to allow the Department discretion in reviewing a family reunion program application in a situation where a minor is going to participate or a family member that resides more than 300 miles away. It also discusses the requirements needed when a prolonged disruption in a visit pattern occurs.

Amend paragraph 220.5(b)(1) through 220.5(b)(9) to clarify and expand the definitions and age restrictions for family members that may be allowed to participate in the family reunion program subject to a special review as follows: Nieces and nephews’ age changed from 12 to 18 years of age; add the term ancestral to aunts and uncles; allow for an adult aunt and uncle to be accompanied by a spouse; allow for the participation of step-siblings if they share a biological parent; amend the step-children category to require that permission from noncustodial biological parents; require that the step-children be accompanied by the custodial parent, if alive; clarify that grandchildren and step-grandchildren must be accompanied by an approved parent or legal guardian, and prohibit program participation by cousins.

Content related changes within section 220.6 (Previously, section 220.4) are as follows:

Content related changes within sub-paragraph 220.6(a) to further clarify the job duties within the processing of an application.

Content related changes to sub-paragraph 220.6(a)(9) (previously 220.4(a)(h)) include amendments to newly re-numbered paragraphs 220.6(a)(9)(i) through 220.6(a)(9)(iv) to ensure that other communicable diseases, including chronic hepatitis B, and chronic hepatitis C, are also being screened by health services staff during the application process. These amendments also provide instructions with regard to the medical clearance notification process to the incarcerated individual’s spouse, if they are visiting for the first time.

The content-related amendments within sub-divisions 220.6(b) focus

on the notification procedures that staff shall follow with regard to the incarcerated individual that has been approved for participation. Approved family members shall receive a packet consisting of an approval letter, a form requesting specific verification of required documentation, a copy of the Department's program guidelines, and notification of the need for a photo identification interview.

The content related amendments within sub-division 220.6(c) are as follows:

Amend sub-paragraph 220.6(c) to clarify all visitors are required to submit two forms of identification. One must be a photo I.D. A birth certificate will be required to establish proof of biological relationship and will remain on file in order to participate.

Amend sub-paragraphs 220.6(c)(1) (i) through (v), to note that approved family participants shall receive a packet consisting of an approval letter, a form requesting specific verification of required documentation, a copy of the Department's program guidelines, and they shall be informed of the need for a photo identification interview.

Add a new paragraph 220.6(c)(1)(ii) to provide the interview/photograph procedures for approved family participants. Procedures include: collecting the requested documents; interviewing the participants and addressing all concerns; reviewing the program guidelines; completing the identification photograph and scheduling the family reunion program visit.

Amend sub-paragraph 220.6(c)(1)(iii) to change marriage licenses to marriage certificates and that other relatives must bring proof of relationship.

Add a new sub-paragraph 220.6(c)(2)(i) to provide information on the process for the Interview/Photo Identification Interview.

Amend sub-paragraph 220.6(c)(3)(ii) to provide for non-spouse participants to receive educational materials on communicable diseases.

Add a new sub-division 220.6(d) to state the process for disapproval of an incarcerated individual to participate in the FRP and the appeals process.

Amend Section 220.7 (previously Section 220.6) title to Processing subsequent applications.

Amend sub-paragraph 220.7(a) to include the addition of sub-paragraphs (1) and (2) to state when a full cycle review is required for a subsequent application including clarifying that when a new family participant is added to a subsequent application, the application will be subject to the complete application review as described in this part.

Amend sub-paragraph 220.7(b) to include the addition of sub-paragraphs (1) that states the procedures for scheduling subsequent visits.

Amend Section 220.9 (previously Section 220.8) to include the testing of drugs or intoxicants.

Amend sub-paragraph 220.9(c) to state that a visitor if implicated in providing drugs or intoxicants during the FRP program will be ineligible for participation in the FRP for a minimum of two years.

Add new Section 220.10 which discusses the requirement of filing accident reports as well as monthly reports.

Add new Section 220.11 which states the requirements of file retention in regards to the FRP recordkeeping.

Renumber Section 220.9 to new Section 220.12.

Amend Section 220.12 to provide greater detail with regard to transportation and identification requirements. It also provides statements regarding: limits of personal items; required searches upon entrance to a facility; the restriction of certain disallowed items; medication storage and labeling procedures; the necessity for the supervision of children; the prohibition of pets; the responsibility to maintain the cleanliness of the residence, food packing restrictions, and a reference to the listing of allowable foods.

**Text of proposed rule and any required statements and analyses may be obtained from:** Cathy Sheehan, Acting Deputy Commissioner and Counsel, NYS Department of Corrections and Community Supervision, 1220 Washington Avenue, Harriman State Campus, Albany, NY 12226-2050, (518) 457-4951, email: Rules@DOCCS.ny.gov

**Data, views or arguments may be submitted to:** Same as above.

**Public comment will be received until:** 60 days after publication of this notice.

#### **Regulatory Impact Statement**

##### **a. Statutory Authority:**

Article 6, section 112, subdivision 1 of the Correction Law provides the commissioner of corrections and community supervision with the superintendence, management and control of the correctional facilities in the department and of the inmates confined therein, and of all matters relating to the government, discipline, policing, contracts and fiscal concerns thereof. In this regard, the commissioner is authorized to make rules and regulations for the government and discipline each correctional facility and to cause such rules and regulations to be recorded by the superintendent of the facility and a copy thereof to be furnished to each employee assigned to the facility.

##### **b. Needs and Benefits:**

Inmates who maintain positive relationships with their families while incarcerated will be in a better position to maintain such relationships when they are released. Strengthening family bonds improves re-entry and reduces recidivism. This particular rule change clarifies the process and improves consistency in the program.

##### **c. Costs:**

(i) Compliance with this rule imposes no cost on the Department's personnel or the inmates required to follow the rule.

(ii) This rule imposes no additional costs on the State or on the Department.

(iii) This cost analysis is based on the Department's own review of its procedures.

##### **d. Paperwork:**

This rule imposes no reporting requirements. The only paperwork required for compliance are the application that the inmate must make to apply to the program and the response by Department personnel. The current changes in the rule do not change any aspect of that.

##### **e. Local Government Mandates:**

This rulemaking imposes no program, service, duty or responsibility on any county, city, town, village, school district, or other special district. It applies only to designated officials of the Department.

##### **f. Duplication:**

There is no overlap or conflict with any other legal requirements of the State or Federal government.

##### **g. Alternatives:**

There are no alternatives.

##### **h. Federal Standards:**

No federal standards are applicable to the subject matter of this rule.

##### **i. Compliance Schedule:**

Department personnel can achieve compliance with the rule upon its adoption.

#### **Regulatory Flexibility Analysis**

A regulatory flexibility analysis is not required for this proposal since it will not impose any adverse economic impact or reporting, record keeping or other compliance requirements on small businesses or local governments. This proposal will improve the clarity of the text, includes minor grammatical revisions, and restructuring for logic and consistency, updates employee job titles, and clarifies that an inmate's signed authorization for the release or disclosure of confidential medical information.

#### **Rural Area Flexibility Analysis**

A rural area flexibility analysis is not required for this proposal since it will not impose any adverse economic impact or reporting, recordkeeping or other compliance requirements on rural areas. This proposal will improve the clarity of the text, includes minor grammatical revisions, and restructuring for logic and consistency, updates employee job titles, and clarifies that an inmate's signed authorization for the release or disclosure of confidential medical information.

#### **Job Impact Statement**

A job impact statement is not submitted because this proposed rule will have no adverse impact on jobs or employment opportunities. This proposal will improve the clarity of the text, includes minor grammatical revisions, and restructuring for logic and consistency, updates employee job titles, and clarifies that an inmate's signed authorization for the release or disclosure of confidential medical information.

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## New York State Gaming Commission

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### PROPOSED RULE MAKING NO HEARING(S) SCHEDULED

#### **Qualification Time in Harness Racing**

**I.D. No.** SGC-34-20-00009-P

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following proposed rule:

**Proposed Action:** Amendment of sections 4113.5 and 4120.2 of Title 9 NYCRR.

**Statutory authority:** Racing, Pari-Mutuel Wagering and Breeding Law, sections 103(2), 104(1) and (19)



**Subject:** Qualification time in harness racing.

**Purpose:** To improve harness pari-mutuel wagering and generate reasonable revenue for the support of government.

**Text of proposed rule:** Sections 4113.5 and 4120.2 of 9 NYCRR will be amended, as follows:

§ 4113.5. Unqualified horses.

(a) A horse shall be deemed unqualified and must qualify once before being allowed to start in any overnight pari-mutuel event for the following reasons:

(1) The horse does not show a charted line of a current performance meeting the qualifying standards at the track for the class of race. Current performance shall be defined as a start within [30] 45 days of the date of the race to which declared. Official workouts shall be acceptable as qualifying performances for this paragraph for horses with previous satisfactory races. The commission may extend the qualifying standards from [30] 45 to as many as [60] 90 days for appropriate reasons, including track closings, equine sickness, inclement weather or other unexpected events that interfere with the opportunities for otherwise eligible horses to race.

\* \* \*

§ 4120.2. Restricted use of drugs, medications and other substances.

\* \* \*

(k) [If a horse has been required to qualify when not showing] A horse that does not have a current performance within 30 days [or more and has not yet raced after qualifying, then such horse] may not race for at least 14 days following an administration of clenbuterol.

**Text of proposed rule and any required statements and analyses may be obtained from:** Kristen M. Buckley, New York State Gaming Commission, 1 Broadway Center, PO Box 7500, Schenectady, New York 12301, (518) 388-3332, email: gamingrules@gaming.ny.gov

**Data, views or arguments may be submitted to:** Same as above.

**Public comment will be received until:** 60 days after publication of this notice.

**This rule was not under consideration at the time this agency submitted its Regulatory Agenda for publication in the Register.**

#### Regulatory Impact Statement

1. Statutory authority: The New York State Gaming Commission ("Commission") is authorized to promulgate these rules pursuant to Racing Pari-Mutuel Wagering and Breeding Law ("Racing Law") Sections 103(2) and 104 (1, 19). Pursuant to Section 103(2), the Commission is responsible for supervising, regulating and administering all horse racing and pari-mutuel wagering activities in the State. Subdivision (1) of Section 104 confers upon the Commission general jurisdiction over all such gaming activities within the State and over the corporations, associations and persons engaged in such activities. Subdivision (19) of Section 104 authorizes the Commission to promulgate any rules and regulations that it deems necessary to carry out its responsibilities.

2. Legislative objectives: To improve harness wagering and generate reasonable revenue for the support of government.

3. Needs and benefits: This rule making proposes to reduce how often a harness horse must requalify after not racing for a period of time. The current rule requires a harness horse to requalify when it has not raced for 30 days, which the commission may extend to as many as 60 days when racing opportunities have been foreclosed by track closings, equine sickness, inclement weather or other unexpected events. 9 NYCRR § 4113.5(a)(1). The requirement ensures the wagering public that a horse is capable of competing against the other horses that may enter in a pari-mutuel race at harness race meetings. The contemporary judgment is that this assurance is provided by a current performance within the prior 45 days. This is the rule in most mid-Atlantic jurisdictions and has been adopted as a rule by the United States Trotting Association ("USTA").

The proposal would permit a horse to race without requalifying until the horse has not raced for 45 days. It would also allow the commission, in its judgment, to extend the grace period when racing opportunities have been interrupted unexpectedly to as many as 90 days.

The additional grace period between racing will also reduce the burden and expense on owners and trainers of standardbred (harness) horses and ease the problem of a shortage of horses that is a growing problem for the pari-mutuel racetracks.

The proposal also redacts from 9 NYCRR § 4120.2(k) a passing reference to the need to requalify a horse that has not raced for 30 days, in a rule relating to restricted clenbuterol use before a horse's next race.

4. Costs:

(a) Costs to regulated parties for the implementation of and continuing compliance with the rule: These amendments will not add any new mandated costs to the existing rules.

(b) Costs to the agency, the State and local governments for the implementation and continuation of the rule: None. The amendments will

not add any new costs. There will be no costs to local government because the Commission is the only governmental entity authorized to regulate harness racing.

(c) The information, including the source(s) of such information and the methodology upon which the cost analysis is based: N/A.

5. Local government mandates: None. The Commission is the only governmental entity authorized to regulate harness racing activities.

6. Paperwork: There will be no additional paperwork.

7. Duplication: No relevant rules or other legal requirements of the state and/or federal government exist that duplicate, overlap or conflict with this rule.

8. Alternatives. The Commission considered and rejected not making these changes. The proposed rule change will afford horsemen the same rules in New York that apply generally in the mid-Atlantic states. The proposal was drafted in consultation with industry participants and the USTA.

9. Federal standards: There are no minimum standards of the Federal government for this or a similar subject area.

10. Compliance schedule: The Commission believes that regulated persons will be able to achieve compliance with the rule upon adoption of this rule.

#### Regulatory Flexibility Analysis, Rural Area Flexibility Analysis and Job Impact Statement

A regulatory flexibility analysis for small business and local governments, a rural area flexibility analysis and a job impact statement are not required for this rulemaking proposal because it will not adversely affect small businesses, local governments, rural areas or jobs.

The proposed amendment is a revision to the Commission's harness racing rules to raise the number of days a horse may not race before it has to requalify from 30 to 45 in normal cases and from 60 to as long as 90 days, in the discretion of the Commission, when the horses are deprived of race opportunities by unexpected event such as inclement weather.

This rule will not impose an adverse economic impact or reporting, record keeping, or other compliance requirements on small businesses in rural or urban areas or on employment opportunities. No local government activities are involved.

## Office of General Services

### NOTICE OF ADOPTION

#### Facility Use

**I.D. No.** GNS-40-19-00005-A

**Filing No.** 489

**Filing Date:** 2020-08-06

**Effective Date:** 2020-08-26

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following action:

**Action taken:** Amendment of section 300-1.2(d) of Title 9 NYCRR.

**Statutory authority:** Executive Law, section 200; L. 2008, ch. 257; L. 2019, ch. 34

**Subject:** Facility Use.

**Purpose:** To add "plastic knuckles" and remove "gravity knife" from the definition of "deadly weapon".

**Text or summary was published** in the October 2, 2019 issue of the Register, I.D. No. GNS-40-19-00005-P.

**Final rule as compared with last published rule:** No changes.

**Text of rule and any required statements and analyses may be obtained from:** Paula B. Hanlon, Esq., NYS Office of General Services, 36th Floor Corning Tower, The Governor Nelson A. Rockefeller ESP, Albany, NY 12242, (518) 474-0571, email: paula.hanlon@ogs.ny.gov

#### Assessment of Public Comment

The agency received no public comment.

## Department of Health

### EMERGENCY RULE MAKING

#### Surge and Flex Health Coordination System

**I.D. No.** HLT-34-20-00002-E

**Filing No.** 490

**Filing Date:** 2020-08-06

**Effective Date:** 2020-08-06

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following action:

**Action taken:** Addition of sections 1.2, 700.5, Part 360; and amendment of sections 400.1, 405.24 and 1001.6 of Title 10 NYCRR; amendment of sections 487.3, 488.3 and 490.3 of Title 18 NYCRR.

**Statutory authority:** Public Health Law, sections 225, 576, 2800, 2803, 4662; Social Services Law, section 461

**Finding of necessity for emergency rule:** Preservation of public health.

**Specific reasons underlying the finding of necessity:** During a state disaster emergency with significant public health impact, and where compliance with certain regulations may prevent, hinder or delay action necessary to cope with the disaster, as is the case with COVID-19, these proposed regulations will ensure that the State has the most efficient regulatory tools to facilitate the State's and regulated parties' response efforts to Surge and Flex the healthcare system statewide. Additionally, this authority will also ensure that the Department has the flexibility to impose additional requirements, where necessary, to ensure effective response to a declared state disaster emergency. Accordingly, these tools will help ensure the health and safety of patients and residents in New York State.

Given the possibility of a second wave of COVID-19 in New York State, the Department has determined that these regulations should be issued on an emergency basis.

**Subject:** Surge and Flex Health Coordination System.

**Purpose:** Provides authority to the Commissioner to direct certain actions and waive certain regulations in an emergency.

**Substance of emergency rule (Full text is posted at the following State website:** [www.health.ny.gov/Laws&Regulations/Emergency](http://www.health.ny.gov/Laws&Regulations/Emergency)

**Regulations):** Although the Governor retains authority to issue Executive Orders to temporarily suspend or modify regulations and issue directives pursuant to the Executive Law, these proposed regulatory amendments would provide an expedient and coherent plan to implement quickly the relevant temporary suspensions, modifications, and directives. The proposed regulatory amendments would permit the State Commissioner of Health or designee to take specific actions, as well as to temporarily suspend or modify certain regulatory provisions (or parts thereof) in Titles 10 and 18 of the NYCRR during a state disaster emergency, where such provisions are not required by statute or federal law. These proposed amendments would also permit the Commissioner to take certain actions, where consistent with any Executive Order (EO) issued by the Governor during a declared state disaster emergency. Examples include issuing directives to authorize and require clinical laboratories or hospitals to take certain actions consistent with any such EOs, as well as the temporary suspension or modification of additional regulatory provisions when the Governor temporarily suspends or modifies a controlling state statute.

The proposed regulatory amendments would also require hospitals to: develop disaster emergency response plans; maintain a 90-day supply of personal protective equipment (PPE); ensure that staff capable of working remotely are equipped and trained to do so; and report data as requested by the Commissioner.

**This notice is intended** to serve only as a notice of emergency adoption. This agency intends to adopt this emergency rule as a permanent rule and will publish a notice of proposed rule making in the *State Register* at some future date. The emergency rule will expire November 3, 2020.

**Text of rule and any required statements and analyses may be obtained from:** Katherine Ceroalo, DOH, Bureau of Program Counsel, Reg. Affairs Unit, Room 2438, ESP Tower Building, Albany, NY 12237, (518) 473-7488, email: regsqa@health.ny.gov

#### Regulatory Impact Statement

##### Statutory Authority:

The authority for the promulgation of these regulations with respect to facilities subject to Article 28 of the Public Health Law (PHL) is contained

in PHL sections 2800 and 2803(2). PHL Article 28 (Hospitals), section 2800, specifies: "Hospital and related services including health-related service of the highest quality, efficiently provided and properly utilized at a reasonable cost, are of vital concern to the public health. In order to provide for the protection and promotion of the health of the inhabitants of the state, pursuant to section three of article seventeen of the constitution, the department of health shall have the central, comprehensive responsibility for the development and administration of the state's policy with respect to hospital and related services, and all public and private institutions, whether state, county, municipal, incorporated or not incorporated, serving principally as facilities for the prevention, diagnosis or treatment of human disease, pain, injury, deformity or physical condition or for the rendering of health-related service shall be subject to the provisions of this article." PHL section 2801 defines the term "hospital" as also including residential health care facilities (nursing homes) and diagnostic and treatment centers (D&TCs). PHL section 2803(2) authorizes PHHPC to adopt and amend rules and regulations, subject to the approval of the Commissioner, to implement the purposes and provisions of PHL Article 28, and to establish minimum standards governing the operation of such health care facilities.

PHL section 4662 authorizes the Commissioner to issue regulations governing assisted living residences. Social Services Law (SSL) section 461(1) authorizes the Commissioner to promulgate regulations establishing standards applicable to adult care facilities. PHL section 576 authorizes the Commissioner to regulate clinical laboratories.

PHL section 225 authorizes the Public Health and Health Planning Council (PHHPC) and the Commissioner to establish and amend the State Sanitary Code (SSC) provisions related to any matters affecting the security of life or health or the preservation and improvement of public health in the State of New York.

Executive Order No. 202, as extended, authorizes the Commissioner to directly issue emergency regulations pursuant to PHL sections 225 and 2803. Upon the future declaration of any disaster emergency, any further authorization by the Governor pursuant to article 2-B of the Executive Law, if it should suspend any statutes which otherwise conflict with these regulations, will establish the immediate effectiveness of these provisions.

##### Legislative Objectives:

The objectives of PHL Article 28 include protecting the health of New York State residents by ensuring that they have access to safe, high-quality health services in medical facilities, while also protecting the health and safety of healthcare workers. Similarly, PHL Articles 36 and 40 ensure that the Department has the tools needed to achieve these goals in the home care and hospice spaces, and PHL section 4662 and SSL section 461 likewise ensure that the Department has appropriate regulatory authority with respect to assisted living residences and adult care facilities. PHL section 576 ensures that the Commissioner has appropriate regulatory authority over clinical laboratories. Finally, PHL section 225 ensures that the State Sanitary Code includes appropriate regulations in the areas of communicable disease control and environmental health, among others.

Each of these areas has been impacted by COVID-19. By permitting the Commissioner to temporarily suspend or modify regulatory provisions in each these areas, where not required by state statute or federal law, or where he is authorized by a gubernatorial Executive Order, these amendments provide crucial flexibility for this and future emergency response efforts.

##### Needs and Benefits:

During a state disaster emergency, Section 29-a of the Executive Law permits the Governor to, among other things, "temporarily suspend any statute, local law, ordinance, orders, rules, or regulations, or parts thereof, of any agency. . . if compliance with such provisions would prevent, hinder, or delay action necessary to cope with the state disaster emergency." To that end, on March 7, 2020 and in response to the COVID-19 pandemic, Governor Andrew M. Cuomo issued Executive Order No. 202, declaring a state disaster emergency, thereby enabling additional State action that aided in addressing the threat COVID-19 presents to the health and welfare of New York State residents and visitors.

Since March 7, 2020, fifty-five (55) Executive Orders have been issued to address the COVID-19 pandemic, with many of them containing temporary suspensions and modifications of regulations within Titles 10 and 18 of the NYCRR. Further, nine (9) of these Executive Orders were issued, either in whole or in part, to extend previously suspended or modified regulations in Titles 10 and 18 of the NYCRR.

Although the Governor retains authority to issue Executive Orders to temporarily suspend or modify regulations and issue directives pursuant to the Executive Law, these proposed regulatory amendments would provide an expedient and coherent plan to implement quickly the relevant temporary suspensions, modifications, and directives. The proposed regulatory amendments would permit the State Commissioner of Health or designee to take specific actions, as well as to temporarily suspend or modify certain regulatory provisions (or parts thereof) in Titles 10 and 18

of the NYCRR during a state disaster emergency, where such provisions are not required by statute or federal law. These proposed amendments would also permit the Commissioner to take certain actions, where consistent with any Executive Order (EO) issued by the Governor during a declared state disaster emergency. Examples include issuing directives to authorize and require clinical laboratories or hospitals to take certain actions consistent with any such EOs, as well as the temporary suspension or modification of additional regulatory provisions when the Governor temporarily suspends or modifies a controlling state statute.

The proposed regulatory amendments would also require hospitals to: develop disaster emergency response plans; maintain a 90-day supply of personal protective equipment (PPE); ensure that staff capable of working remotely are equipped and trained to do so; and report data as requested by the Commissioner.

During a state disaster emergency with significant public health impact, and where compliance with certain regulations may prevent, hinder or delay action necessary to cope with the disaster, as is the case with COVID-19, this authority will ensure that the State has the most efficient regulatory tools to facilitate the State's and regulated parties' response efforts to Surge and Flex the healthcare system statewide. Additionally, this authority will also ensure that the Department has the flexibility to impose additional requirements, where necessary, to ensure effective response to a declared state disaster emergency. Accordingly, these tools will help ensure the health and safety of patients and residents in New York State.

#### Costs:

##### Costs to Regulated Parties:

As a significant portion of these regulatory amendments would give the State Commissioner of Health authority to temporarily suspend or modify certain regulations within Titles 10 and 18 of the NYCRR during a state disaster emergency, these regulatory amendments are not expected to result in any significant costs to regulated parties.

To the extent that additional requirements are imposed on regulated parties by these proposed regulatory amendments, most requirements would be in effect only for the duration of a declared state disaster emergency, thereby limiting costs. The ongoing cost to hospitals of requiring a minimum PPE supply have already been realized through Executive Orders.

##### Costs to Local Governments:

As a significant portion of these regulatory amendments would give the Commissioner authority to temporarily suspend or modify certain regulations within Titles 10 and 18 of the NYCRR during a state disaster emergency, these regulatory amendments are not expected to result in any significant costs to regulated parties, including facilities operated by local governments.

To the extent additional requirements are imposed on local governments that operate facilities regulated by the Department, most requirements would be in effect only for the duration of a declared state disaster emergency, thereby limiting costs. The ongoing cost to hospitals of requiring a minimum PPE supply have already been realized through Executive Orders.

##### Cost to State Government:

The administration and oversight of these planning and response activities will be managed within the Department's existing resources.

##### Paperwork:

It is not anticipated that the proposed regulatory amendments will impose any significant paperwork requirements. Although these proposed amendments require additional reporting, these reports can be submitted electronically using the current platforms that facilities are already using. Moreover, such reporting requirements would only be activated during a declared state disaster emergency, thereby limiting the burden.

##### Local Government Mandates:

Facilities operated by local governments will subject to the same requirements as any other regulated facility, as described above.

##### Duplication:

These proposed regulatory amendments do not duplicate state or federal rules.

##### Alternatives:

The alternative would be to not promulgate the regulation. However, this alternative was rejected, as the Department believes that these regulatory amendments are necessary to facilitate response to a state disaster emergency.

##### Federal Standards:

42 CFR 482.15 establishes emergency preparedness minimum standards in four core areas including emergency planning, development of applicable policies and procedures, communications plan, and training and testing. These proposed amendments would complement the federal regulation and further strengthen hospitals' emergency preparedness and response programs.

##### Compliance Schedule:

These regulatory amendments will become effective upon filing with the Department of State.

### Regulatory Flexibility Analysis

#### Effect on Small Business and Local Government:

The proposed regulatory amendments would primarily affect health care professionals, licensed health care facilities, permitted clinical laboratories, emergency medical service personnel, providers, and agencies, and pharmacies.

#### Compliance Requirements:

A significant portion of these regulatory amendments are designed to provide regulatory relief during a declared state disaster emergency. Where the regulatory amendments would impose requirements, most of them would only be applicable when there is a declared state disaster emergency. An example of a requirement that may be implemented during a declared state disaster emergency is reporting of data and inventory as requested by the Commissioner (i.e. medical supplies and equipment, as well as hospital bed capacity, bed utilization, patient demographics, etc.). There are certain ongoing requirements proposed by this regulatory amendments, which would apply regardless of whether there is a declared state disaster emergency, in which hospitals would be required to: (1) maintain minimum levels of PPE; (2) ensure work from home capabilities; and (3) develop disaster emergency response plans.

#### Professional Services:

It is not expected that any professional services will be required to comply with the proposed regulatory amendments.

#### Compliance Costs:

As a significant portion of these regulatory amendments would give the State Commissioner of Health authority to temporarily suspend or modify certain regulations within Titles 10 and 18 during a state disaster emergency, these regulatory amendments are not expected to result in any significant costs to small businesses and local governments.

To the extent additional requirements are imposed on small businesses and local governments by these proposed regulatory amendments, most requirements would only be in effect for the duration of a declared state disaster emergency, thereby limiting costs. Ongoing costs requiring hospitals to maintain a minimum PPE supply and ensure work from home capabilities should have been addressed throughout the ongoing COVID-19 pandemic, thereby limiting costs of continued implementation. Ongoing costs related to hospital development of disaster emergency response plan will complement and build upon existing planning documents that hospitals are already required to have, which also limits costs.

#### Economic and Technological Feasibility:

There are no economic or technological impediments to the proposed regulatory amendments.

#### Minimizing Adverse Impact:

Although the proposed regulatory amendments impose some additional requirements on regulated parties, most of these requirements are only triggered during a declared state disaster emergency. Proposed amendments that would impose ongoing requirements would only apply to hospitals, and as noted above, will largely be a continuation of the efforts already being employed by these entities.

#### Small Business and Local Government Participation:

Due to the emergency nature of COVID-19, small businesses and local governments were not consulted.

### Rural Area Flexibility Analysis

#### Types and Numbers of Rural Areas:

Although this rule applies uniformly throughout the state, including rural areas, for the purposes of this Rural Area Flexibility Analysis (RAFA), "rural area" means areas of the state defined by Exec. Law § 481(7) (SAPA § 102(10)). Per Exec. Law § 481(7), rural areas are defined as "counties within the state having less than two hundred thousand population, and the municipalities, individuals, institutions, communities, and programs and such other entities or resources found therein. In counties of two hundred thousand or greater population 'rural areas' means towns with population densities of one hundred fifty persons or less per square mile, and the villages, individuals, institutions, communities, programs and such other entities or resources as are found therein." The following 43 counties have a population of less than 200,000 based upon the United States Census estimated county populations for 2010:

Allegany County	Greene County	Schoharie County
Cattaraugus County	Hamilton County	Schuyler County
Cayuga County	Herkimer County	Seneca County
Chautauqua County	Jefferson County	St. Lawrence County
Chemung County	Lewis County	Steuben County
Chenango County	Livingston County	Sullivan County
Clinton County	Madison County	Tioga County
Columbia County	Montgomery County	Tompkins County



Cortland County	Ontario County	Ulster County
Delaware County	Orleans County	Warren County
Essex County	Oswego County	Washington County
Franklin County	Otsego County	Wayne County
Fulton County	Putnam County	Wyoming County
Genesee County	Rensselaer County	Yates County
	Schenectady County	

The following counties have a population of 200,000 or greater and towns with population densities of 150 persons or fewer per square mile. Data is based upon the United States Census estimated county populations for 2010.

Albany County	Monroe County	Orange County
Broome County	Niagara County	Saratoga County
Dutchess County	Oneida County	Suffolk County
Erie County	Onondaga County	

Reporting, recordkeeping, and other compliance requirements; and professional services:

A significant portion of these regulatory amendments are designed to provide regulatory relief during a declared state disaster emergency. Where the regulatory amendments would impose requirements, most of them would only be applicable when there is a declared state disaster emergency. An example of a requirement that may be implemented during a declared state disaster emergency is reporting of data and inventory as requested by the Commissioner (i.e. medical supplies and equipment, hospital bed capacity, bed utilization, patient demographics, etc.). There are certain ongoing requirements proposed by this regulatory amendments, regardless of whether there is a declared state disaster emergency, in which hospitals would be required to: (1) maintain minimum levels of PPE; (2) ensure work from home capabilities; and (3) develop disaster emergency response plans.

It is not expected that any professional services will be required to comply with the proposed regulatory amendments.

#### Compliance Costs:

As a large part of these regulatory amendments would give the State Commissioner of Health authority to temporarily suspend or modify certain regulations within Titles 10 and 18 during a state disaster emergency, these regulatory amendments are not expected to result in any significant costs to public and private entities in rural areas.

To the extent additional requirements are imposed on public and private entities in rural areas by these proposed regulatory amendments, such requirements would only be in effect for the duration of a declared state disaster emergency.

Lastly, per SAPA § 202-bb(3)(c), it is not anticipated that there will be any significant variation in cost for different types of public and private entities in rural areas.

#### Economic and Technological Feasibility:

There are no economic or technological impediments to the rule changes.

#### Minimizing Adverse Impact:

Although the proposed regulatory amendments impose additional requirements on regulated parties, including those in rural areas, most of these requirements are only triggered during a declared state disaster emergency. Proposed amendments that would require disaster emergency preparedness planning on the part of regulated parties will complement and build upon existing state and federal planning requirements.

#### Rural Area Participation:

Due to the emergency nature of COVID-19, parties representing rural areas were not consulted in the initial draft. However, parties representing rural may submit comments during the notice and commenter period for the proposed regulations.

#### Job Impact Statement

The Department of Health has determined that these regulatory changes will not have a substantial adverse impact on jobs and employment, based upon its nature and purpose.

## NOTICE OF ADOPTION

### Maximum Contaminant Levels (MCLs)

**I.D. No.** HLT-30-19-00006-A

**Filing No.** 492

**Filing Date:** 2020-08-13

**Effective Date:** 2020-08-26

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following action:

**Action taken:** Amendment of Subpart 5-1 of Title 10 NYCRR.

**Statutory authority:** Public Health Law, section 225

**Subject:** Maximum Contaminant Levels (MCLs).

**Purpose:** Incorporating MCLs for perfluorooctanoic acid (PFOA), perfluorooctanesulfonic acid (PFOS) and 1,4-dioxane.

**Text or summary was published** in the July 24, 2019 issue of the Register, I.D. No. HLT-30-19-00006-P.

**Final rule as compared with last published rule:** No changes.

**Revised rule making(s) were previously published in the State Register** on January 22, 2020.

**Text of rule and any required statements and analyses may be obtained from:** Katherine Ceroalo, DOH, Bureau of Program Counsel, Reg. Affairs Unit, Room 2438, ESP Tower Building, Albany, NY 12237, (518) 473-7488, email: regsqa@health.ny.gov

#### Initial Review of Rule

As a rule that requires a RFA, RAFA or JIS, this rule will be initially reviewed in the calendar year 2023, which is no later than the 3rd year after the year in which this rule is being adopted.

#### Assessment of Public Comment

The New York State Department of Health (Department) received over 2,000 comments from public water suppliers, local health departments, chemical manufacturers, local and State elected officials, environmental advocacy groups, the New York Section of the American Water Works Association (AWWA), the New York Association of State and County Health Officials (NYSACHO) and members of the public, on the revised rulemaking amending Subpart 5-1 of Title 10 of the New York State Codes, Rules and Regulations (NYCRR).

A large number of comments requested a lowering of the proposed PFOA and PFOS maximum contaminant levels (MCLs) to less than 2 parts per trillion (ppt) combined for PFOA and PFOS. In addition, these commenters requested that the MCL for 1,4-dioxane be lowered to 0.3 or 0.35 parts per billion (ppb). Additional commenters questioned the scientific understanding of the Advanced Oxidation Process, used to treat 1,4-dioxane contamination.

Based on the comments received, the Department has made no revisions to the revised rulemaking.

## NOTICE OF ADOPTION

### State Aid for Public Health Services: Counties and Cities

**I.D. No.** HLT-04-20-00012-A

**Filing No.** 491

**Filing Date:** 2020-08-10

**Effective Date:** 2020-08-26

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following action:

**Action taken:** Amendment of Part 40 of Title 10 NYCRR.

**Statutory authority:** Public Health Law, section 619

**Subject:** State Aid for Public Health Services: Counties and Cities.

**Purpose:** Clarifying State Aid payments for maintaining a cooling tower program.

**Text or summary was published** in the January 29, 2020 issue of the Register, I.D. No. HLT-04-20-00012-P.

**Final rule as compared with last published rule:** No changes.

**Text of rule and any required statements and analyses may be obtained from:** Katherine Ceroalo, DOH, Bureau of Program Counsel, Reg. Affairs Unit, Room 2438, ESP Tower Building, Albany, NY 12237, (518) 473-7488, email: regsqa@health.ny.gov

#### Initial Review of Rule

As a rule that does not require a RFA, RAFA or JIS, this rule will be initially reviewed in the calendar year 2025, which is no later than the 5th year after the year in which this rule is being adopted.



**Assessment of Public Comment**

The agency received no public comment.

## Public Service Commission

### NOTICE OF ADOPTION

#### Demand Response Program Amendments

**I.D. No.** PSC-22-20-00001-A

**Filing Date:** 2020-08-07

**Effective Date:** 2020-08-07

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following action:

**Action taken:** On 8/7/20, the PSC adopted an order, adopting the emergency rule on a permanent basis, directing New York State's six electric utilities to make amendments to their Demand Response Programs.

**Statutory authority:** Public Service Law, sections 65(1), (2), (3), 66(1), (2), (3), (5), (8) and (10)

**Subject:** Demand Response Program amendments.

**Purpose:** To adopt the emergency rule on a permanent basis.

**Text or summary was published** in the June 3, 2020 issue of the Register, I.D. No. PSC-22-20-00001-EP.

**Final rule as compared with last published rule:** No changes.

**Text of rule may be obtained from:** John Pitucci, Public Service Commission, 3 Empire State Plaza, Albany, New York 12223-1350, (518) 486-2655, email: john.pitucci@dps.ny.gov An IRS employer ID no. or social security no. is required from firms or persons to be billed 25 cents per page. Please use tracking number found on last line of notice in requests.

#### Assessment of Public Comment

An assessment of public comment is not submitted with this notice because the rule is within the definition contained in section 102(2)(a)(ii) of the State Administrative Procedure Act.

(14-E-0423SA3)

### PROPOSED RULE MAKING NO HEARING(S) SCHEDULED

#### Notice of Intent to Submeter Electricity and Waiver of Energy Audit Requirement

**I.D. No.** PSC-34-20-00004-P

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following proposed rule:

**Proposed Action:** The Commission is considering the notice of intent of DD West 29th LLC to submeter electricity at 311 11th Avenue, New York, New York and a waiver the requirement of an energy audit pursuant to 16 NYCRR section 96.5(k)(3).

**Statutory authority:** Public Service Law, sections 2, 4(1), 30, 32-48, 52, 53, 65(1), 66(1), (2), (3), (4), (12) and (14)

**Subject:** Notice of intent to submeter electricity and waiver of energy audit requirement.

**Purpose:** To ensure adequate submetering equipment, consumer protections and energy efficiency protections are in place.

**Substance of proposed rule:** The Commission is considering the notice of intent, filed by DD West 29th LLC on March 11, 2020, to submeter electricity at 311 11th Avenue, New York, New York, located in the Territory of Consolidated Edison Company of New York, Inc.

In the notice of intent, DD West 29th LLC requests authorization to take electric service from Con Edison and then distribute and meter that electricity to its tenants. Submetering of electricity to residential tenants is allowed so long as it complies with the protections and requirements of the Commission's regulations in 16 NYCRR Part 96. The Commission is also considering the Owner's request for waiver of 16 NYCRR § 96.5(k)(3), which requires proof that an energy audit has been conducted when 20 percent or more of the residents receive income-based housing assistance. The owner states that because the building is new construction, it must comply with the current New York City Energy Conservation Construction Code, which provides strict energy conservation require-

ments for new and renovated buildings, therefore an energy audit is not appropriate in this case.

The full text of the notice of intent and waiver request and the full record of the proceeding may be reviewed online at the Department of Public Service web page: [www.dps.ny.gov](http://www.dps.ny.gov). The Commission may adopt, reject or modify, in whole or in part, the action proposed and may resolve related matters.

**Text of proposed rule and any required statements and analyses may be obtained by filing a Document Request Form (F-96) located on our website <http://www.dps.ny.gov/f96dir.htm>. For questions, contact:** John Pitucci, Public Service Commission, 3 Empire State Plaza, Albany, New York 12223-1350, (518) 486-2655, email: john.pitucci@dps.ny.gov

**Data, views or arguments may be submitted to:** Michelle Phillips, Secretary, Public Service Commission, 3 Empire State Plaza, Albany, New York 12223-1350, (518) 474-6530, email: secretary@dps.ny.gov

**Public comment will be received until:** 60 days after publication of this notice.

#### Regulatory Impact Statement, Regulatory Flexibility Analysis, Rural Area Flexibility Analysis and Job Impact Statement

Statements and analyses are not submitted with this notice because the proposed rule is within the definition contained in section 102(2)(a)(ii) of the State Administrative Procedure Act.

(20-E-0128SP1)

### PROPOSED RULE MAKING NO HEARING(S) SCHEDULED

#### Petition to Provide a Renewable, Carbon-Free Energy Option to Residential and Small Commercial Full-Service Customers

**I.D. No.** PSC-34-20-00005-P

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following proposed rule:

**Proposed Action:** The Commission is considering a petition by Consolidated Edison Company of New York, Inc. seeking approval to provide residential and small commercial full-service customers a Green Power Program.

**Statutory authority:** Public Service Law, sections 4(1), 5(1), (2), 66(2); Energy Law, section 6-104(5)(b)

**Subject:** Petition to provide a renewable, carbon-free energy option to residential and small commercial full-service customers.

**Purpose:** To increase customer access to renewable energy in the Consolidated Edison Company of New York, Inc. service territory.

**Substance of proposed rule:** The Public Service Commission (Commission) is considering a petition filed on August 3, 2020 by Consolidated Edison Company of New York, Inc. (Con Edison) seeking approval to provide residential and small commercial full-service customers a Green Power Program (Petition).

Con Edison proposes to purchase renewable energy certificates (RECs) from renewable generators located in or delivering power into New York State on behalf of customers participating in the Green Power Program. If approved by the Commission, Con Edison proposes to market the Green Power Program during 2021 and start fulfilling Green Power Program subscriptions by January 2022.

According to Con Edison, a recent survey of Con Edison residential customers indicated that a majority of such customers would be interested in purchasing renewable power from Con Edison to help reduce the carbon footprint of their electricity supply. According to Con Edison, the Green Power Program would provide a green energy option for customers, particularly those customers who cannot participate in community distributed generation, community choice aggregation, and rooftop solar programs. Con Edison asserts that voluntary REC purchases pursuant to the proposed Green Power Program would retain RECs in New York State that might otherwise be exported out of State, thus increasing the amount of RECs available to meet the State's renewable power goals and potentially reducing the costs imposed on all customers associated with achieving such goals by decreasing the number of incremental renewable energy projects needed. Additionally, Con Edison continues, voluntary renewable energy programs increase customer awareness and engagement with renewable energy options and signal to electricity generators a willingness to pay a premium for clean energy.

As part of the Green Power Program, Con Edison proposes to purchase New York State Tier Two RECs equivalent to subscribers' total monthly electricity consumption, less the compliance RECs that Con Edison purchases on their behalf pursuant to the Clean Energy Standard (CES).

Additionally, Con Edison proposes to procure available RECs from the open market and other qualified REC suppliers who produce or deliver the RECs within New York. Con Edison would then retire RECs equivalent to program participants' energy consumption in the New York Generator Attribute Tracking System (NYGATS), and provide participating customers with environmental disclosure labels confirming their REC purchases equal to 100% of their electricity usage. Con Edison states that it has considerable experience purchasing and retiring RECs in NYGATS through its role in procuring Tier 1 RECs as part of its CES obligations. Con Edison projects that it would purchase approximately 72,000 RECs annually if it achieves its first year goal of 20,000 program participants.

Con Edison proposes to offer its Green Power Program to its approximately 2.7 million full-service residential (SC 1) and small commercial (SC 2) customers who will be able to enter or leave the Green Power Program at any time either online or by speaking to a customer service representative. Participating customers, Con Edison continues, would be charged a \$/kilowatt hour (kWh) price premium on their total monthly electricity consumption and will continue to pay all otherwise applicable supply related charges. Con Edison proposes that the \$/kWh subscription rate will be designed to collect all program costs, including pre-launch costs, from anticipated participants.

Con Edison states that it will file a tariff change for a cost recovery mechanism that will initially recover the program costs via a component of the Merchant Function Charge (MFC), through a common rate that would be applied to SC 1 and SC 2 full-service customers only. After the program is launched, Con Edison continues, SC 1 and SC 2 full-service customers would be credited via the MFC with the subscription revenue collected from Green Power Program participants. Con Edison proposes to track all incremental program costs charged to the MFC and revenues from the Green Power Program participants in order to design an appropriate subscription rate.

For pre-launch incremental capital costs (estimated at \$2.0 million for billing infrastructure upgrades), Con Edison proposes to depreciate the software upgrade costs of its legacy billing system in line with other Customer Information System upgrade costs. After the system upgrade is placed into plant in service, Con Edison proposes to recover the revenue requirement associated with the pre-launch incremental capital costs over the life of the underlying assets via the MFC. For pre-launch operation and management costs (estimated at \$0.7 million primarily for initial marketing of the program to customers), Con Edison proposes to defer such costs and recover them over a one-year period, with interest at the Other Customer-Provided Capital Rate, via the MFC. Con Edison proposes to recover the post-launch incremental costs associated with attracting and retaining program participants, as well as purchasing Tier 2 RECs, as incurred via the MFC.

Con Edison proposes to provide annual reports on the number of participating customers, customer energy consumption by service class, and average length of customer participation, and further proposes to regularly survey a sample of program participants on customer satisfaction and to discern program impact.

The full text of the petition and the full record of the proceeding may be read in its entirety on the Department of Public Service's website at [www.dps.ny.gov](http://www.dps.ny.gov). The Commission may adopt, reject, or modify, in whole or in part, the action proposed and may resolve related matters.

**Text of proposed rule and any required statements and analyses may be obtained by filing a Document Request Form (F-96) located on our website <http://www.dps.ny.gov/f96dir.htm>. For questions, contact:** John Pitucci, Public Service Commission, 3 Empire State Plaza, Albany, New York 12223-1350, (518) 486-2655, email: [john.pitucci@dps.ny.gov](mailto:john.pitucci@dps.ny.gov)

**Data, views or arguments may be submitted to:** Michelle Phillips, Secretary, Public Service Commission, 3 Empire State Plaza, Albany, New York 12223-1350, (518) 474-6530, email: [secretary@dps.ny.gov](mailto:secretary@dps.ny.gov)

**Public comment will be received until:** 60 days after publication of this notice.

**Regulatory Impact Statement, Regulatory Flexibility Analysis, Rural Area Flexibility Analysis and Job Impact Statement**

Statements and analyses are not submitted with this notice because the proposed rule is within the definition contained in section 102(2)(a)(ii) of the State Administrative Procedure Act.

(20-E-0386SP1)

**PROPOSED RULE MAKING  
NO HEARING(S) SCHEDULED**

**Waiver of Tariff Rules and a Related Commission Regulation**

**I.D. No.** PSC-34-20-00006-P

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following proposed rule:

**Proposed Action:** The Commission is considering a petition by Crimson Ridge Section 5 Development, for waiver of Niagara Mohawk Power Corporation d/b/a National Grid tariff rules regarding the extension of electric and gas lines.

**Statutory authority:** Public Service Law, sections 65 and 66

**Subject:** Waiver of tariff rules and a related Commission regulation.

**Purpose:** To consider whether a waiver of tariff rules and a Commission regulation are just and reasonable and in the public interest.

**Substance of proposed rule:** The Public Service Commission (Commission) is considering a petition filed on July 28, 2020 by Crimson Ridge Section 5 Development (Petitioner), requesting a one year extension of the time allowed by Niagara Mohawk Power Corporation d/b/a National Grid (National Grid) to energize the final three lots of the Petitioner's residential development.

The Commission's regulations at 16 NYCRR § 100.3 provide that a non-residing applicant for electric service must provide a deposit to the utility equal to the estimated cost of construction. The deposit is returned to the applicant, on a pro rata basis, as each new customer takes service from the utility. Part 100.3(b) provides that any portion of the deposit remaining unrefunded five years after the date the utility is first ready to render service shall be retained by the utility. National Grid's electric tariff, PSC 220 Rule 16.6, incorporates the Commission's regulation. National Grid's gas tariff, PSC 219, Rule 10.4 provides substantially similar requirements for non-residing applicants requesting the extension of gas facilities.

The Crimson Ridge Section 5 Development consists of eleven building lots supplied with gas and electric service from National Grid in September 2015. The Petitioner provided National Grid with a deposit of \$38,379.10 to ensure all building lots would have homes built upon them to use gas and electric service from National Grid within five years, or by September 28, 2020. Currently, three of the eleven building lots are not prepared to be energized. If the three remaining building lots are not energized by September 28, 2020, National Grid would retain the remainder of the Petitioner's deposit, approximately \$10,515. Petitioner states requests a one year extension of the development period due to the shutdown of the construction industry in the Spring of 2020 due to the impact of COVID-19.

The full text of the petition and the full record of the proceeding may be viewed online at the Department of Public Service web page: [www.dps.ny.gov](http://www.dps.ny.gov). The Commission may adopt, reject, or modify, in whole or in part, the action proposed and may resolve related matters.

**Text of proposed rule and any required statements and analyses may be obtained by filing a Document Request Form (F-96) located on our website <http://www.dps.ny.gov/f96dir.htm>. For questions, contact:** John Pitucci, Public Service Commission, 3 Empire State Plaza, Albany, New York 12223-1350, (518) 486-2655, email: [john.pitucci@dps.ny.gov](mailto:john.pitucci@dps.ny.gov)

**Data, views or arguments may be submitted to:** Michelle L. Phillips, Secretary, Public Service Commission, 3 Empire State Plaza, Albany, New York 12223-1350, (518) 474-6530, email: [secretary@dps.ny.gov](mailto:secretary@dps.ny.gov)

**Public comment will be received until:** 60 days after publication of this notice.

**Regulatory Impact Statement, Regulatory Flexibility Analysis, Rural Area Flexibility Analysis and Job Impact Statement**

Statements and analyses are not submitted with this notice because the proposed rule is within the definition contained in section 102(2)(a)(ii) of the State Administrative Procedure Act.

(20-M-0377SP1)

**PROPOSED RULE MAKING  
NO HEARING(S) SCHEDULED**

**Transfer of Street Light Facilities**

**I.D. No.** PSC-34-20-00007-P

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following proposed rule:

**Proposed Action:** The Commission is considering a petition filed by New York State Electric and Gas Corporation for the transfer of certain street lighting facilities to the Town of Bethel, Sullivan County, New York.

**Statutory authority:** Public Service Law, section 70(1)

**Subject:** Transfer of street light facilities.

**Purpose:** To consider the transfer of street lighting facilities to the Town of Bethel.

**Substance of proposed rule:** The Public Service Commission (Commission) is considering a petition filed by New York State Electric & Gas

Corporation (NYSEG or the Company) on July 27, 2020, requesting approval to transfer to the Town of Bethel (the Town) the ownership of its system of street lighting facilities installed throughout the Town.

The street lighting facilities consist of a system of street lighting poles, luminaires, lamps, mast arms, electrical connections and wiring (Facilities). NYSEG requests the Commission's approval of the transaction pursuant to Public Service Law § 70(1), as the original cost of the proposed assets to be transferred is greater than \$100,000.

Based on plant records, NYSEG represents that the original book cost of the Facilities is approximately \$100,407 and the net book value, as of June 20, 2020, is \$42,095. The Company proposes to transfer the ownership of the Facilities to the Town for \$78,834. Upon the closing date of the sale, the Town will become solely responsible and liable for the operation, maintenance, and condition of the Facilities. The transfer will not impact the reliability, safety, operation, or maintenance of NYSEG's electric distribution system.

The full text of the petition and the full record of the proceeding may be reviewed online at the Department of Public Service web page: [www.dps.ny.gov](http://www.dps.ny.gov). The Commission may adopt, reject or modify, in whole or in part, the action proposed and may resolve related matters.

**Text of proposed rule and any required statements and analyses may be obtained by filing a Document Request Form (F-96) located on our website <http://www.dps.ny.gov/f96dir.htm>. For questions, contact:** John Pitucci, Public Service Commission, 3 Empire State Plaza, Albany, New York 12223-1350, (518) 486-2655, email: [john.pitucci@dps.ny.gov](mailto:john.pitucci@dps.ny.gov)

**Data, views or arguments may be submitted to:** Michelle L. Phillips, Secretary, Public Service Commission, 3 Empire State Plaza, Albany, New York 12223-1350, (518) 474-6517, email: [secretary@dps.ny.gov](mailto:secretary@dps.ny.gov)

**Public comment will be received until:** 60 days after publication of this notice.

**Regulatory Impact Statement, Regulatory Flexibility Analysis, Rural Area Flexibility Analysis and Job Impact Statement**

Statements and analyses are not submitted with this notice because the proposed rule is within the definition contained in section 102(2)(a)(ii) of the State Administrative Procedure Act.

(20-E-0374SP1)

## Department of State

### PROPOSED RULE MAKING NO HEARING(S) SCHEDULED

#### Access to Domestic Violence and Sexual Assault Awareness Education Courses

**I.D. No.** DOS-34-20-00003-P

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following proposed rule:

**Proposed Action:** This is a consensus rule making to amend sections 162.1, 162.2, 162.3, 162.4, 162.5 and 162.6 of Title 19 NYCRR.

**Statutory authority:** General Business Law, sections 402, 403, 408 and 408-b

**Subject:** Access to domestic violence and sexual assault awareness education courses.

**Purpose:** To provide access to domestic violence and sexual assault awareness education courses as mandated by the General Business Law.

**Text of proposed rule:** 19 NYCRR Section 162.1. Nail specialty

(a) Scope of curriculum. Educational requirements for nail specialists shall include the following subjects and the hours assigned to each:

Scope of Curriculum

Hours

Orientation [4] 5

(1) Safety and Health 8

(2) Anatomy and Physiology of the Nail, Hand, Arm, Foot and Leg 10

(3) Bacteria and Infectious Diseases; Nail, Foot, Skin Disorders and 10 Diseases

(4) Methods of Infection Control 10

(5) Client Consultation 4

(6) Manicuring and Hand/Arm Massage 20

(7) Pedicuring 15

(8) Tip Application and Design 12

(9) Nail Wraps 25

(10) Liquid and Powder Nail Extensions 50

(11) Gel Nails 20

(12) Nail Art 4

(13) Retailing Techniques 6

(14) Business Practices 8

(15) Job Skills 6

Unassigned Hours [38] 37

Total 250

(b) Subject matter. Each subject (including orientation) shall include a treatment of the topics as set forth herein:

#### ORIENTATION [4] 5 HOURS

- School Rules and Regulations

- Qualities of the Professional Nail Specialist

- Code of Ethics

- Familiarization of School Facilities and Supplies

- *View and discuss the mandatory Domestic Violence and Sexual Assault Awareness online course*

19 NYCRR Section 162.2. Esthetics

(a) Scope of curriculum. Educational requirements for estheticians shall include the following subjects and the hours assigned to each:

Scope of Curriculum Hours

Orientation [4] 5

(1) Safety and Health 8

(2) Infection Control 18

(3) Anatomy and Physiology 30

(4) Structure and Functions of the Skin 18

(5) Nutrition for Healthy Skin and Body 5

(6) Skin Disorders and Diseases 12

(7) Skin Analysis 18

(8) Superfluous Hair 60

(9) Chemistry 3

(10) Chemistry as Applied to Esthetics 21

(11) Electricity and Equipment 18

(12) Facial and Body Procedures 240

(13) Make-Up Techniques 70

(14) Business Practices 30

(15) Career Skills 12

(16) Introduction to Advanced Esthetics 9

(17) Unassigned [24] 23

Total 600

(b) Subject matter. Each subject (including orientation) shall include a treatment of the topics as set forth herein:

#### ORIENTATION—[4] 5 HOURS

- School Rules and Regulations

- History of Esthetics

- The Role of the Esthetician

- Qualities of the Professional Esthetician

- Code of Ethics

- New York State and Federal Laws, Rules, and Regulations

- *View and discuss the mandatory Domestic Violence and Sexual Assault Awareness online course*

SUBJECT 17

UNASSIGNED- [24] 23 HOURS

19 NYCRR Section 162.3. Natural hair styling

(a) Scope of curriculum. The educational requirements for natural hair styling shall include the following subjects and the hours assigned to each:

Scope of Curriculum

Hours

(1) Professional Requirements 10

(2) Safety and Health 20

(3) Anatomy and Physiology 5

(4) Hair Analysis 10

(5) Hair and Scalp Disorders and Diseases 10

(6) Chemistry as Applied to Natural Hair Styling 5

(7) Shampoos, Rinses, Conditioners and Treatments 20

(8) Hair Braiding, Locking, Weaving and Styling 220

Total 300

(b) Subject matter. Each subject shall include a treatment of the topics as set forth herein:

Subject 1

Professional Requirements—10 Hours



- New York State License Requirements
- State and Federal Payroll Requirements
- New York State Sales Tax Requirements
- Career Opportunities and Placement
- Professional Ethics, Conduct and Attitude
- Professional Organizations, Trade Shows and Publications
- *View and discuss the mandatory Domestic Violence and Sexual Assault Awareness online course*

19 NYCRR Section 162.4. Cosmetology

- (a) Scope of curriculum. The educational requirements for cosmetologists shall include the following subjects and the hours assigned to each:

Scope of Curriculum

Hours

- (1) Professional Requirements 24
  - (2) Safety and Health 26
  - (3) Anatomy and Physiology 15
  - (4) Hair Analysis 10
  - (5) Hair and Scalp Disorders and Diseases 10
  - (6) Chemistry as Applied to Cosmetology 5
  - (7) Shampoos, Rinses, Conditioners and Treatments 30
  - (8) Hair Cutting and Shaping 175
  - (9) Hair Styling 245
  - (10) Chemical Restructuring 180
  - (11) Hair Coloring and Lightening 180
  - (12) Nail Care and Procedures 40
  - (13) Skin Care and Procedures 60
- Total 1000

- (b) Subject matter. Each subject shall include a treatment of the topics as set forth herein:

#### Subject 1

##### Professional Requirements—24 Hours

- New York State License Requirements
- State and Federal Payroll Requirements
- New York State Sales Tax Requirements
- Career Opportunities and Placement
- Professional Ethics, Conduct and Attitude
- Professional Organizations, Trade Shows and Publications
- *View and discuss the mandatory Domestic Violence and Sexual Assault Awareness online course*

Section 162.5. Waxing

- (a) Scope of curriculum. The educational requirements for waxing shall include the following subjects and the hours assigned to each:

Scope of Curriculum

Hours

- (1) Professional Requirements 10
  - (2) Safety and Health 20
  - (3) Skin Structure, Disorders and Diseases 10
  - (4) Removal of Superfluous Hair 35
- Total 75

- (b) Subject matter. Each subject shall include a treatment of the topics as set forth herein:

#### Subject 1

##### Professional Requirements—10 Hours

- New York State License Requirements
- State and Federal Payroll Requirements
- New York State Sales Tax Requirements
- Career Opportunities and Placement
- Professional Ethics, Conduct and Attitude
- Professional Organizations, Trade Shows and Publications
- *View and discuss the mandatory Domestic Violence and Sexual Assault Awareness online course*

19 NYCRR Section 162.6. Nail specialist trainee

- (a) Scope of curriculum. Educational requirements for nail specialist trainees shall include the following subjects and the hours assigned to each:

Scope of Curriculum Hours

Course Overview/Content [1] 2

Workplace Safety and Health 5

Infection Control 6

Bacteria and Infectious Disorders and Diseases 6

Anatomy and Physiology of the Nail, Hand, 3  
Arm, Foot and Leg

Client Consultation and Service Protocols 5

Examination N/A

Total Hours [26] 27

- (b) Subject matter. Each subject (including the course overview) shall include a treatment of the topics as set forth herein:

Subject 1

COURSE OVERVIEW/CONTENT—[1 HOUR] 2 HOURS

- Course Overview
- The Role of the Nail Specialist
- New York State Law, Rules, and Regulations
- *View and discuss the mandatory Domestic Violence and Sexual Assault Awareness online course*

**Text of proposed rule and any required statements and analyses may be obtained from:** David A. Mossberg, Esq., NYS Department of State, 123 William Street, 20th Floor, New York, NY 10038, (518) 473-2728, email: david.mossberg@dos.ny.gov

**Data, views or arguments may be submitted to:** Same as above.

**Public comment will be received until:** 60 days after publication of this notice.

**This rule was not under consideration at the time this agency submitted its Regulatory Agenda for publication in the Register.**

#### Consensus Rule Making Determination

This rule is being proposed as a consensus rulemaking. The New York State Department of State (the "Department") does not expect that any person is likely to object to its adoption because the proposed rule implements a nondiscretionary statutory direction, i.e., the creation, development, and provision of access to domestic violence and sexual assault awareness education courses to applicants seeking licensure pursuant to Article 27 of the NY General Business Law. See, L.2019, c. 715, § 3, eff. June 17, 2020. Amended L.2020, c. 71, § 1, eff. June 17, 2020.

Recent legislation mandates that all applicants, as part of their studies, take a course covering domestic violence and sexual assault awareness education. The instant rulemaking furthers this nondiscretionary legislative mandate by directing educational providers to offer the course, made available by the Department, to enrolled students.

As of the date of publication in the State Register, the course mandated by the legislation, has been posted on the Department's website and is publicly available at no cost. Accordingly, the Department does not anticipate that any of the schools that offer an approved course of study will likely object. Further, inasmuch as all applicants are required to take the course, the Department does not anticipate that any applicants (i.e., students) will likely object as without the course, students would not be eligible to obtain a license. For the foregoing reasons, this rule is being proposed as a consensus rulemaking.

#### Job Impact Statement

As is evident by the nature of this rulemaking, this proposal will not have a substantial adverse impact on jobs and employment opportunities. The rule adds an additional hour of nondiscretionary course work, as recently mandated by the legislature, to educational courses of study for those seeking to obtain a new license in the practice of nail specialty, waxing, natural hair styling, ethics or cosmetology. Inasmuch as the proposal implements a nondiscretionary mandate, that imposes only a modest burden on applicants (i.e., an additional hour of education), the Department of State does not believe that this regulation will deter individuals from seeking a license. For the foregoing reasons, a job impact statement is not required.

## Thoroughbred Breeding and Development Fund

### PROPOSED RULE MAKING NO HEARING(S) SCHEDULED

#### Residency Requirement for Dam of New York Bred Foal

I.D. No. TBD-34-20-00008-P

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following proposed rule:

**Proposed Action:** Amendment of sections 4081.1, 4081.2 and 4081.3 of Title 9 NYCRR.

**Statutory authority:** Racing, Pari-Mutuel Wagering and Breeding Law, sections 252(1), 253(6) and 254(2)

**Subject:** Residency requirement for dam of New York bred foal.

**Purpose:** To enable the Fund to improve agriculture and Thoroughbred horse breeding in New York.

**Text of proposed rule:** Sections 4081.1, 4081.2 and 4081.3 of 9 NYCRR would be amended, as follows:

§ 4081.1. Definitions.

(a) As used in this Article, the following terms shall mean:

\* \* \*

(13) *Resident mare means a mare that is continuously in residence in New York State from the date of conception in New York State or within 120 days after her last cover in the year of conception occurring outside of New York State and that remains in residence until foaling in the following year.*

(14) *Non-resident mare means a mare that does not qualify as a resident mare.*

(15) *Foal from public auction mare means the foal delivered in New York State following public auction by a mare purchased in such public auction under the terms and conditions set forth in Section 4081.2(c).*

(b) Inquiries. Questions regarding these rules and regulations, procedures, application forms or other similar matters may be directed to the executive director of the fund at the fund offices, One Broadway Center, [Suite 600] 1st floor, Schenectady, New York 12305. Telephone (518) [395-5400] 388-0174.

§ 4081.2. Registration as a New York-bred.

In order to qualify for registration as a New York-bred, a thoroughbred horse must have been foaled in New York State and:

(a) [If such horse was conceived in New York State by a registered New York stallion at the time of conception, the dam of such horse must have remained in New York State for a minimum of 90 days after foaling or the dam must have remained in New York State continuously from the time of conception until she foaled.] *For resident mares. In order to qualify for registration as a New York-bred, the foal of a resident mare must have been foaled in New York State and the dam of such foal must have been continuously in residence in New York State from the date of conception in New York State or within 120 days after her last cover in the year of conception occurring outside New York State until foaling.*

(b) [If such horse was not conceived in New York State by a stallion registered as a New York stallion at the time of conception, the dam of such horse must have been bred back in New York State to a registered New York stallion in the year of foaling and must have remained in New York State for a minimum of 90 days after the date of foaling.] *For non-resident mares. In order to qualify for registration as a New York-bred, the foal of a non-resident mare must have been foaled in New York State, and the dam of such horse must have been bred back in New York State to a registered New York stallion in the year of foaling and must have remained in New York State for a minimum of 90 consecutive days after arrival. If the dam of such foal is bred to a stallion outside of New York State in the year of foaling, the foal of the new resident mare shall not be eligible for registration as a New York-bred.*

(c) [The horse conceived as a result of such breed-back shall not qualify for registration as New York-bred unless:

(1) the dam of such horse shall have remained a minimum of 90 days after the date of foaling; or

(2) the dam shall have remained in New York State continuously from the time of conception until it foaled.] *Mares from public auction. Effective for public auction sales occurring on or after November 1, 2019, a non-resident mare that is purchased in foal through public auction will be deemed to be a resident mare for all purposes if each of the following conditions is satisfied:*

(1) *the mare is purchased for at least \$50,000 (or such other amount as the fund may determine annually and prominently disclose on the fund's website on or before July 1 of each year) in the public auction;*

(2) *the mare is present in New York State within 15 days after the sale is concluded;*

(3) *the foal from public auction mare is foaled in New York State; and*

(4) *thereafter, the mare is continuously in residence in New York State from within 120 days after last cover in the year of conception of another foal and remains in residence until foaling (such mare shall not be subject to breed-back requirements set forth in section 4081.3 of this Part).*

*In the event that any of the foregoing conditions in this subdivision are not met, no breeder, owner or stallion awards attributable to the foal from public auction mare shall be due or owing to the individual or entity that*

*purported to purchase the public auction mare in accordance with this subsection, provided, however, that nothing in this subdivision shall affect the owner awards or stallion awards that may be due and owing to individuals or entities that are not controlled by or affiliated with the individual or entity that purported to purchase the public auction mare in accordance with this subsection.*

§ 4081.3. Bred back in New York State.

To qualify as having been bred back in New York, a mare, after foaling in New York State, shall remain in New York for [the applicable period of such year] *a minimum of 90 consecutive days after arrival.* During such applicable period, such mare shall be booked exclusively to and serviced exclusively by a registered New York sire. Should such mare fail to be so certified as in foal during such period, it may be taken from the State after [the applicable] *that minimum 90-consecutive-day period* [of such year] and be deemed "bred back" provided it is not serviced by a nonregistered New York sire thereafter in the year. Should such mare die or otherwise become medically unfit for breeding during or after foaling, it shall be considered "bred back" if such mare had been booked for service to a registered New York stallion in such year and, if alive, was not serviced by a nonregistered New York sire thereafter during such year or the following year.

**Text of proposed rule and any required statements and analyses may be obtained from:** Tracy Egan, Executive Director, New York State Thoroughbred Breeding and Development Fund, One Broadway Center, 1st Floor, Schenectady, NY 12305, (518) 388-0174, email: [tegan@nybreds.com](mailto:tegan@nybreds.com)

**Data, views or arguments may be submitted to:** Same as above.

**Public comment will be received until:** 60 days after publication of this notice.

#### Regulatory Impact Statement

1. Statutory authority: The New York State Thoroughbred Breeding and Development Fund ("Fund") is authorized to promulgate these rules pursuant to Racing Pari-Mutuel Wagering and Breeding Law ("Racing Law") Sections 252(1), 253(6), and 254(2). Section 252(1) creates the Fund as a body corporate and politic constituting a public benefit corporation. Section 253(6) authorizes the Fund to perform and engage in such acts as may be necessary and proper for exercising its powers. Under Section 254(2), the Fund is authorized to dispose and distribute the money received by it pursuant to the provisions of Sections 222 through 705 of the RWL, in accordance with distribution schedules promulgated by the Fund and adopted in the rules and regulations of the Fund's Board. This rulemaking establishes guidelines for the proper distribution of breeding and development funds to worthy breeders and owners.

2. Legislative objectives: To enable the Fund to improve agriculture and Thoroughbred horse breeding in New York.

3. Needs and benefits: This rule making proposes to expand the population of Thoroughbred mares and subsequent foal population in New York. The current rules require, for a foal conceived and born in New York to be registered as a New York-bred horse, that if the stallion was a registered New York stallion then the dam must either stay in New York from conception to birth ("foaling") or remain for 90 days after the foaling, 9 NYCRR § 4081.2(a). If the foal is not conceived in New York by a registered New York stallion, then the dam must remain for 90 days after the foaling in New York and participate in the program for another year by being bred back to a registered New York stallion during the year the foal was born, 9 NYCRR § 4081.2(b). For the bred-back foal to be registered as New York-bred, it must be conceived and foaled in New York and the dam must meet the subdivision (a) conditions: stay continuously in New York until, or remain for 90 days after, the foaling, 9 NYCRR § 4081.2(c).

The proposal would eliminate the breed back requirement for a non-resident mare purchased in foal at a public auction, provided that the dam is purchased for a price (or more) specified by the Fund, resides in New York within 15 days after the purchase, the foal is born in New York, and the dam resides in New York from within 120 days after last cover in the year of conception of another foal until foaling. This is implemented by amendments to 9 NYCRR § 4081.1 to define resident mare, non-resident mare, and foal from public auction mare; and to 9 NYCRR § 4081.2 to set forth the conditions for a foal to be registered as a New York-bred horse from a resident mare, non-resident mare, or mare from public auction. The proposal would also amend 9 NYCRR § 4081.3 to conform the description of bred-back in New York State to the other proposed amendments.

4. Costs:

(a) Costs to regulated parties for the implementation of and continuing compliance with the rule: There would be no additional costs to the regulated parties. The proposal would lessen the conditions for a horse to be considered a participant in the New York-bred program. This will not impose any additional costs on the horse and farm owners and breeders.

(b) Costs to the agency, the State and local governments for the

implementation and continuation of the rule: There will be no costs to local government because the Fund is the only governmental entity authorized to administer the breeding program.

(c) The information, including the source(s) of such information and the methodology upon which the cost analysis is based: The Fund has relied upon its knowledge of the breeding industry to determine that no additional costs are imposed by the proposed rulemaking.

5. Local government mandates: None. The Fund is the only governmental entity authorized to administer the breeding program.

6. Paperwork: None.

7. Duplication: No relevant rules or other legal requirements of the state and/or federal government exist that duplicate, overlap or conflict with this rule.

8. Alternatives: The Fund considered and rejected retaining the breed back rule in its present form. The importance to the New York horse breeding industry of competing effectively with other jurisdictions is paramount, and the Fund determined that to attract and maintain a sufficient population of Thoroughbred mares in New York, the Fund needed to adopt the more flexible requirements that are offered by competing jurisdictions.

9. Federal standards: There are no minimum standards of the Federal government for this or a similar subject area.

10. Compliance schedule: The Commission believes that regulated persons will be able to achieve compliance with the rule upon adoption of this rule.

***Regulatory Flexibility Analysis, Rural Area Flexibility Analysis and Job Impact Statement***

A regulatory flexibility analysis for small business and local governments, a rural area flexibility analysis and a job impact statement are not required for this rulemaking proposal because it will not adversely affect small businesses, local governments, rural areas or jobs.

This proposal will make it easier for a horse foaled in New York State to qualify for the incentives offered by the New York State Thoroughbred Breeding and Development Fund by adopting more flexible residency requirements for the mare from which the foal is born.

This rule will not impose an adverse economic impact or reporting, record keeping, or other compliance requirements on small businesses in rural or urban areas or on employment opportunities. No local government activities are involved.

## HEARINGS SCHEDULED FOR PROPOSED RULE MAKINGS

Agency I.D. No.	Subject Matter	Location—Date—Time
<b>Environmental Conservation, Department of</b>		
ENV-33-20-00007-P .....	Emissions Limits for 2030 and 2050, as a Percentage of 1990 Levels, Required by Climate Leadership and Community Protection Act	<p>Electronic webinar—Oct. 20, 2020, 2:00 p.m. and 6:00 p.m.</p> <p>Instructions on how to “join” the hearing webinar and provide an oral statement will be published in the Department’s electronic Environmental Notice Bulletin (ENB), and posted on the Department’s events calendar and proposed regulations webpage by Wednesday, October 7, 2020. The Department’s ENB may be accessed at <a href="https://www.dec.ny.gov/enb/enb.html">https://www.dec.ny.gov/enb/enb.html</a>. The Department’s events calendar may be accessed at <a href="https://www.dec.ny.gov/calendar/">https://www.dec.ny.gov/calendar/</a>. The proposed regulations webpage for Part 496 may be accessed at <a href="https://www.dec.ny.gov/regulations/proprotegrulations.html">https://www.dec.ny.gov/regulations/proprotegrulations.html</a>.</p> <p>Persons who wish to receive email instructions on how to join the hearing webinar via Webex may register at <a href="https://www.eventbrite.com/e/nysdec-hearing-webinar-re-regs-part-496-greenhouse-gas-emission-limits-registration-115516961341">https://www.eventbrite.com/e/nysdec-hearing-webinar-re-regs-part-496-greenhouse-gas-emission-limits-registration-115516961341</a>. Persons who wish to receive the instructions by mail or telephone may call the Department at (518) 402-9003. Please provide your first and last name, address, and telephone number and reference the Part 496 public comment hearing.</p> <p>The Department will provide interpreter services for hearing impaired persons at no charge upon written request submitted no later than October 9, 2020. The written request must be addressed to ALJ McBride, NYS DEC Office of Hearings and Mediation Services, 625 Broadway, 1st Floor, Albany, NY 12233-1550 or emailed to ALJ McBride at <a href="mailto:ohms@dec.ny.gov">ohms@dec.ny.gov</a>.</p>
<b>Housing and Community Renewal, Division of</b>		
HCR-26-20-00012-P .....	Schedule of Reasonable Costs for Major Capital Improvements in Rent Regulated Housing Accommodations	<p>Electronic webinar—September 9, 2020, 10:00 a.m.</p> <p>Update: Due to COVID-19, the September 9, 2020 hearing will be held remotely. Anyone may attend online; details regarding accessing the hearing are available at the NYSHCR website at <a href="https://hcr.ny.gov/regulatory-information">https://hcr.ny.gov/regulatory-information</a>.</p> <p>Note: hearing may be canceled, re-scheduled or conducted remotely due to COVID-19. Notice of any change shall be provided on the agency’s website.</p>
<b>Lake George Park Commission</b>		
LGP-29-20-00006-P .....	Amendment of Stormwater Regulations Within the Lake George Park	Fort William Henry, 48 Canada St., Lake George, NY—September 22, 2020, 4:00 p.m.
<b>Long Island Power Authority</b>		
LPA-28-20-00033-EP .....	LIPA’s Late Payment Charges, Reconnection Charges, and Low-income Customer Discount Enrollment	<p>H. Lee Dennison Bldg., 100 Veterans Memorial Hwy., Hauppauge, NY—September 14, 2020, 10:00 a.m.</p> <p>Long Island Power Authority, 333 Earle Ovington Blvd., 4th Fl., Uniondale, NY—September 14, 2020, 2:00 p.m.</p>





## ACTION PENDING INDEX

The action pending index is a list of all proposed rules which are currently being considered for adoption. A proposed rule is added to the index when the notice of proposed rule making is first published in the *Register*. A proposed rule is removed from the index when any of the following occur: (1) the proposal is adopted as a permanent rule; (2) the proposal is rejected and withdrawn from consideration; or (3) the proposal's notice expires.

Most notices expire in approximately 12 months if the agency does not adopt or reject the proposal within that time. The expiration date is printed in the second column of the action pending index. Some notices, however, never expire. Those notices are identified by the word "exempt" in the second column. Actions pending for one year or more are preceded by an asterisk(\*).

For additional information concerning any of the proposals

listed in the action pending index, use the identification number to locate the text of the original notice of proposed rule making. The identification number contains a code which identifies the agency, the issue of the *Register* in which the notice was printed, the year in which the notice was printed and the notice's serial number. The following diagram shows how to read identification number codes.

Agency code	Issue number	Year published	Serial number	Action Code
<b>AAM</b>	<b>01</b>	<b>12</b>	<b>00001</b>	<b>P</b>

Action codes: P — proposed rule making; EP — emergency and proposed rule making (expiration date refers to proposed rule); RP — revised rule making

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
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### AGING, OFFICE FOR THE

AGE-34-19-00014-P	..... 10/05/20	Limits on Administrative Expenses and Executive Compensation	To bring this rule into compliance with current law in New York State
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### AGRICULTURE AND MARKETS, DEPARTMENT OF

AAM-12-20-00006-P	..... 03/25/21	Calibrating and testing of certain weights and measures standards and devices.	To allow the Dept. to increase the fees it charges in calibrating and testing certain weights & measures standards and devices.
AAM-21-20-00002-P	..... 05/27/21	Milk and Milk Products	To incorporate federal requirements applicable to the processing and manufacture of milk and milk products
AAM-27-20-00001-EP	..... 07/08/21	Spotted Lanternfly ("SL")	To prevent SL-infested articles originating in specific counties in NJ, PA, MD, VA and WV from entering NYS

### ALCOHOLISM AND SUBSTANCE ABUSE SERVICES, OFFICE OF

ASA-19-20-00001-P	..... 05/13/21	General service standards applicable to outpatient substance use disorder programs	To set-forth the minimum regulatory requirements for certified outpatient substance use disorder treatment programs.
ASA-28-20-00013-P	..... 07/15/21	Patient Rights	To set-forth the minimum regulatory requirements for patient rights in OASAS certified, funded or otherwise authorized programs
ASA-28-20-00014-P	..... 07/15/21	Specialized Services	To replace the term "chemical dependence" with "addiction"
ASA-28-20-00016-P	..... 07/15/21	Designated Services	To set-forth the minimum regulatory requirements for certified programs to seek an Office designation

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
<b>CHILDREN AND FAMILY SERVICES, OFFICE OF</b>			
CFS-46-19-00002-P	11/12/20	Behavioral health services, elimination of room isolation and authority to operate de-escalation rooms	To implement standards for behavioral health services and the operation of de-escalation rooms and to eliminate room isolation
CFS-49-19-00001-P	12/03/20	Limits on executive compensation	To remove the soft cap limit on executive compensation
CFS-04-20-00009-P	01/28/21	Host Family Homes	The proposed regulations would establish standards for the approval and administration of host family homes.
CFS-24-20-00001-EP	06/17/21	Medical reviews for child placement	To modernize the requirements for medical reviews so that required standards not act as a barrier for child placement
CFS-24-20-00014-EP	06/17/21	To implement and enforce emergency health guidance as put forward by the Executive Chamber and DOH.	To implement and enforce emergency health guidance as put forward by the Executive Chamber and DOH.
CFS-31-20-00001-EP	08/05/21	To require districts to authorize up to eight hours of child care assistance, as needed, to assist parents or caretakers	To require districts to authorize up to eight hours of child care assistance, as needed, to assist parents or caretakers
<b>CIVIL SERVICE, DEPARTMENT OF</b>			
CVS-51-19-00002-P	12/17/20	Jurisdictional Classification	To delete positions from and classify positions in the non-competitive class
CVS-51-19-00003-P	12/17/20	Jurisdictional Classification	To classify a position in the exempt class
CVS-51-19-00004-P	12/17/20	Jurisdictional Classification	To classify positions in the exempt class
CVS-51-19-00005-P	12/17/20	Jurisdictional Classification	To classify positions in the exempt class
CVS-51-19-00006-P	12/17/20	Jurisdictional Classification	To classify positions in the exempt class
CVS-51-19-00007-P	12/17/20	Jurisdictional Classification	To classify positions in the non-competitive class
CVS-51-19-00008-P	12/17/20	Jurisdictional Classification	To classify positions in the non-competitive class
CVS-51-19-00009-P	12/17/20	Jurisdictional Classification	To classify positions in the non-competitive class
CVS-51-19-00010-P	12/17/20	Jurisdictional Classification	To delete positions from the non-competitive class
CVS-51-19-00011-P	12/17/20	Jurisdictional Classification	To delete a position from and classify a position in the exempt class
CVS-51-19-00012-P	12/17/20	Jurisdictional Classification	To classify positions in the non-competitive class
CVS-51-19-00013-P	12/17/20	Jurisdictional Classification	To classify positions in the non-competitive class
CVS-51-19-00014-P	12/17/20	Jurisdictional Classification	To classify positions in the non-competitive class

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
<b>CIVIL SERVICE, DEPARTMENT OF</b>			
CVS-03-20-00003-P	01/21/21	Jurisdictional Classification	To classify positions in the non-competitive class
CVS-03-20-00004-P	01/21/21	Jurisdictional Classification	To classify a position in the exempt class
CVS-03-20-00005-P	01/21/21	Jurisdictional Classification	To delete positions from and classify positions in the non-competitive class
CVS-03-20-00006-P	01/21/21	Jurisdictional Classification	To classify a position in the non-competitive class
CVS-03-20-00007-P	01/21/21	Jurisdictional Classification	To delete positions from and classify positions in the exempt class
CVS-06-20-00001-P	02/11/21	Jurisdictional Classification	To classify a position in the non-competitive class
CVS-06-20-00002-P	02/11/21	Jurisdictional Classification	To classify a position in the exempt class
CVS-06-20-00003-P	02/11/21	Jurisdictional Classification	To classify positions in the non-competitive class
CVS-06-20-00004-P	02/11/21	Jurisdictional Classification	To classify positions in the non-competitive class
CVS-06-20-00005-P	02/11/21	Jurisdictional Classification	To delete positions from the non-competitive class
CVS-06-20-00006-P	02/11/21	Jurisdictional Classification	To delete positions from and classify positions in the non-competitive class
CVS-06-20-00007-P	02/11/21	Jurisdictional Classification	To delete a position from and classify a position in the exempt class and to delete positions from the non-competitive class
CVS-06-20-00008-P	02/11/21	Jurisdictional Classification	To delete a subheading and positions from and to classify a subheading and positions in the exempt and non-competitive classes
CVS-13-20-00002-P	04/01/21	Supplemental military leave benefits	To extend the availability of supplemental military leave benefits for certain New York State employees until December 31, 2020
CVS-13-20-00009-P	04/01/21	Jurisdictional Classification	To classify positions in the exempt class
CVS-13-20-00010-P	04/01/21	Jurisdictional Classification	To classify positions in the non-competitive class
CVS-13-20-00011-P	04/01/21	Jurisdictional Classification	To delete positions from the exempt class
CVS-13-20-00012-P	04/01/21	Jurisdictional Classification	To delete positions from the non-competitive class
CVS-13-20-00013-P	04/01/21	Jurisdictional Classification	To classify a position in the non-competitive class
CVS-13-20-00014-P	04/01/21	Jurisdictional Classification	To classify positions in the exempt class
CVS-13-20-00015-P	04/01/21	Jurisdictional Classification	To delete positions from and classify positions in the non-competitive class

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Agency I.D. No.	Expires	Subject Matter	Purpose of Action
<b>CIVIL SERVICE, DEPARTMENT OF</b>			
CVS-13-20-00016-P	04/01/21	Jurisdictional Classification	To classify positions in the exempt class
CVS-13-20-00017-P	04/01/21	Jurisdictional Classification	To classify positions in the exempt class
CVS-13-20-00018-P	04/01/21	Jurisdictional Classification	To classify a position in the exempt class
CVS-13-20-00019-P	04/01/21	Jurisdictional Classification	To classify positions in the exempt class
CVS-13-20-00020-P	04/01/21	Jurisdictional Classification	To delete positions in the non-competitive class
CVS-13-20-00021-P	04/01/21	Jurisdictional Classification	To classify positions in the non-competitive class
CVS-13-20-00022-P	04/01/21	Jurisdictional Classification	To classify a position in the non-competitive class
CVS-13-20-00023-P	04/01/21	Jurisdictional Classification	To delete positions from the non-competitive class
CVS-13-20-00024-P	04/01/21	Jurisdictional Classification	To classify positions in the exempt and the non-competitive classes.
CVS-13-20-00025-P	04/01/21	Jurisdictional Classification	To delete a position from and classify positions in the non-competitive class
CVS-18-20-00004-P	05/06/21	Jurisdictional Classification	To classify a position in the exempt class
CVS-18-20-00005-P	05/06/21	Jurisdictional Classification	To classify positions in the exempt class
CVS-18-20-00006-P	05/06/21	Jurisdictional Classification	To classify a position in the exempt class
CVS-18-20-00007-P	05/06/21	Jurisdictional Classification	To delete a position from and classify a position in the exempt class
CVS-18-20-00008-P	05/06/21	Jurisdictional Classification	To delete positions from and classify positions in the non-competitive class
CVS-18-20-00009-P	05/06/21	Jurisdictional Classification	To classify positions in the exempt class
CVS-18-20-00010-P	05/06/21	Jurisdictional Classification	To delete positions from the non-competitive class
CVS-24-20-00002-P	06/17/21	Jurisdictional Classification	To delete a position from and classify a position in the exempt class
CVS-24-20-00003-P	06/17/21	Jurisdictional Classification	To classify positions in the non-competitive class
CVS-24-20-00004-P	06/17/21	Jurisdictional Classification	To classify positions in the non-competitive class
CVS-24-20-00005-P	06/17/21	Jurisdictional Classification	To classify a position in the non-competitive class
CVS-24-20-00006-P	06/17/21	Jurisdictional Classification	To classify positions in the exempt class
CVS-24-20-00007-P	06/17/21	Jurisdictional Classification	To classify positions in the non-competitive class

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
<b>CIVIL SERVICE, DEPARTMENT OF</b>			
CVS-24-20-00008-P	06/17/21	Jurisdictional Classification	To classify positions in the non-competitive class
CVS-24-20-00009-P	06/17/21	Jurisdictional Classification	To classify positions in the non-competitive class
CVS-24-20-00010-P	06/17/21	Jurisdictional Classification	To classify positions in the non-competitive class
CVS-28-20-00004-P	07/15/21	Jurisdictional Classification	To classify positions in the exempt class
CVS-28-20-00005-P	07/15/21	Jurisdictional Classification	To classify a position in the exempt class
CVS-28-20-00006-P	07/15/21	Jurisdictional Classification	To classify a position in the exempt class
CVS-28-20-00007-P	07/15/21	Jurisdictional Classification	To delete a position from the exempt class
CVS-28-20-00008-P	07/15/21	Jurisdictional Classification	To delete positions from and classify positions in the non-competitive class
CVS-28-20-00009-P	07/15/21	Jurisdictional Classification	To classify a position in the non-competitive class
CVS-28-20-00010-P	07/15/21	Jurisdictional Classification	To classify positions in the non-competitive class.
CVS-28-20-00011-P	07/15/21	Jurisdictional Classification	To delete positions from and classify positions in the non-competitive class
CVS-28-20-00012-P	07/15/21	Jurisdictional Classification	To classify positions in the non-competitive class
CVS-32-20-00003-P	08/12/21	Jurisdictional Classification	To classify a position in the exempt class
CVS-32-20-00004-P	08/12/21	Jurisdictional Classification	To classify positions in the exempt and the non-competitive classes
CVS-32-20-00005-P	08/12/21	Jurisdictional Classification	To classify a position in the exempt class
CVS-32-20-00006-P	08/12/21	Jurisdictional Classification	To classify a position in the exempt class
<b>CORRECTION, STATE COMMISSION OF</b>			
CMC-35-19-00002-P	08/27/20	Disciplinary and administrative segregation of inmates in special housing.	Prohibit the segregation of vulnerable inmates, and to standardize allowable uses and duration of special housing segregation.
<b>CORRECTIONS AND COMMUNITY SUPERVISION, DEPARTMENT OF</b>			
*CCS-21-19-00014-P	09/05/20	Adolescent Offender Facilities	To reclassify two existing correctional facilities to adolescent offender facilities.
CCS-35-19-00001-P	08/27/20	Special Housing Units	Revisions have been made in order to be in compliance with new laws regarding special housing units and solitary confinement use
CCS-34-20-00001-P	08/26/21	Family Reunion Program	To clarify for logic and consistency, and make additional changes to the current Family Reunion Program

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
<b>CRIMINAL JUSTICE SERVICES, DIVISION OF</b>			
*CJS-30-19-00010-ERP	10/05/20	Use of Force	Set forth use of force reporting and recordkeeping procedures
CJS-19-20-00010-P	05/13/21	Part 364 - Conditional release conditions.	Conform to the recent changes made by the Legislature by removing the term "gravity knife".
<b>ECONOMIC DEVELOPMENT, DEPARTMENT OF</b>			
EDV-09-20-00007-RP	03/04/21	Minority and Women-Owned Business Enterprise Program	Update the regulations of the Division of Minority and Women's Business Development
<b>EDUCATION DEPARTMENT</b>			
*EDU-17-19-00008-P	10/05/20	To require study in language acquisition and literacy development of English language learners in certain teacher preparation	To ensure that newly certified teachers enter the workforce fully prepared to serve our ELL population
*EDU-27-19-00010-P	10/05/20	Substantially Equivalent Instruction for Nonpublic School Students	Provide guidance to local school authorities to assist them in fulfilling their responsibilities under the Compulsory Ed Law
EDU-11-20-00013-RP	03/23/21	Special Education Impartial Hearing Officers and the Special Education Due Process System Procedures	To address volume of special education due process complaints in the New York City due process system
EDU-16-20-00002-ERP	04/22/21	Addressing the COVID-19 Crisis	To provide flexibility for certain regulatory requirements in response to the COVID-19 crisis
EDU-20-20-00008-ERP	05/20/21	Addressing the COVID-19 Crisis	To provide flexibility for certain regulatory requirements in response to the COVID-19 crisis
EDU-25-20-00004-P	06/24/21	Alternative High School Equivalency Preparation and Alternative Transition Programs	To provide expanded access to and update Alternative High School Equivalency Preparation and Alternative Transition Programs
EDU-25-20-00005-EP	06/24/21	Addressing the COVID-19 Crisis	To provide flexibility for certain regulatory requirements in response to the COVID-19 crisis
EDU-25-20-00006-EP	06/24/21	Licensing Examinations in the Profession of Public Accountancy	Conform the Commissioner's Regulations to the national licensing examination standards in public accountancy
EDU-25-20-00007-EP	06/24/21	Professional Student of Nursing	To implement Chapter 502 of the Laws of 2017 and Chapter 380 of the Laws of 2018
EDU-25-20-00008-EP	06/24/21	Eligibility for Participation of Students With Section 504 or ADA Plans in Interschool Competition and Inclusive Athletics	To clarify the eligibility requirements for participation of students with section 504 or ADA plans in interschool competition
EDU-30-20-00002-P	07/29/21	Creating a transitional J Certificate for Military Spouses	To create a Transitional J certificate for spouses of individuals on full-time active duty with the Armed Forces
EDU-30-20-00003-P	07/29/21	Creating Safety Nets for the Arts Content Specialty Tests (CSTs)	To create a safety net for the Arts Content Specialty Tests (CSTs)

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
<b>EDUCATION DEPARTMENT</b>			
EDU-30-20-00004-EP	07/29/21	Addressing the COVID-19 crisis and planning for the reopening of schools	To provide regulatory flexibility due to the COVID-19 crisis and to plan for the reopening of schools
EDU-30-20-00005-P	07/29/21	Creating a Safety Net for the School Building Leader Assessment	To create a safety net for the School Building Leader Assessment
<b>ENERGY RESEARCH AND DEVELOPMENT AUTHORITY, NEW YORK STATE</b>			
ERD-19-20-00012-P	05/13/21	CO2 Allowance Auction Program	Continued administration and implementation of the CO2 allowance auctions and programs under Part 507
<b>ENVIRONMENTAL CONSERVATION, DEPARTMENT OF</b>			
ENV-36-19-00003-P	11/07/20	Stationary Combustion Installations	Update permit references, rule citations, monitoring, record keeping, reporting requirements, and lower emission standards.
ENV-37-19-00003-P	10/05/20	Clarifying determination of jurisdiction under the Endangered and Threatened Fish and Wildlife regulations	To improve the review of projects by removing some project types that are known not to cause harm from the review stream
ENV-43-19-00010-P	01/06/21	Repeal and replace 6 NYCRR Part 622 and amend 6 NYCRR Part 624, Part 621 and Part 620	To incorporate procedural and legal developments, develop consistency & reflect current practice in DEC hearings
ENV-53-19-00016-P	03/09/21	Certain substances that contain hydrofluorocarbons, highly-potent greenhouse gases	Remove greenhouse gas emission sources that endanger public health and the environment
ENV-04-20-00004-EP	01/28/21	Regulations governing commercial fishing of quota managed species.	To improve efficiency, reduce waste, and increase safety in marine commercial fisheries.
ENV-05-20-00001-P	04/10/21	Use of Ultra Low Sulfur Diesel Fuel and Best Available Retrofit Technology for Heavy Duty Vehicles	Updating to meet with statutory deadline
ENV-05-20-00002-P	04/10/21	Sulfur-in Fuel Limitations	Limit sulfur in liquid and solid fuels throughout NYS
ENV-06-20-00018-P	04/16/21	The repeal and replacement of 6 NYCRR Part 230 Gasoline Dispensing Sites and Transport Vehicles	To further reduce harmful volatile organic compounds (VOCs) emitted into the atmosphere.
ENV-06-20-00019-P	04/16/21	Consumer Products	Reduce Volatile Organic Compound emissions from Consumer Products - those products used in the average household.
ENV-06-20-00020-P	04/16/21	New Source Review requirements for proposed new major facilities and major modifications to existing facilities.	To conform to federal NSR rule requirements and related court rulings, correct typographical errors, and clarify rule language.
ENV-12-20-00001-EP	03/25/21	Regulations governing commercial fishing of Tautog (blackfish).	To revise regulations concerning the commercial harvest of Tautog in New York State.
ENV-17-20-00005-P	04/29/21	The above referenced Parts make up the Department's air pollution control permitting program.	The purpose of this rulemaking is to improve the clarity and consistency of the Department's air pollution permitting program

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
<b>ENVIRONMENTAL CONSERVATION, DEPARTMENT OF</b>			
ENV-17-20-00006-P	04/29/21	Emission Statements	The purpose of this rule making is to require electronic submittal of annual emission statements beginning in 2022.
ENV-17-20-00007-P	04/29/21	CO2 Budget trading program	To lower the emissions cap established under Part 242.
ENV-21-20-00003-EP	05/27/21	Regulations governing the recreational harvest of bluefish	To revise regulations concerning the recreational harvest of bluefish in New York State
ENV-22-20-00003-P	06/03/21	Amendments to New York State migratory game bird hunting regulations	To bring New York State migratory game bird hunting regulations into compliance with Federal Code of Regulations
ENV-22-20-00004-P	06/03/21	Amendments to New York State mink, muskrat, and beaver trapping season dates	To align existing mink, muskrat and beaver trapping season start dates and adjust the seasons to trapper-preferred dates
ENV-33-20-00005-P	08/19/21	Repeal of Section 485.1	To remove outdated and redundant references in the Department's regulations
ENV-33-20-00007-P	10/20/21	Emissions limits for 2030 and 2050, as a percentage of 1990 levels, required by Climate Leadership and Community Protection Act	To limit greenhouse gas emissions that endanger public health and the environment
<b>FINANCIAL SERVICES, DEPARTMENT OF</b>			
*DFS-17-16-00003-P	exempt	Plan of Conversion by Commercial Travelers Mutual Insurance Company	To convert a mutual accident and health insurance company to a stock accident and health insurance company
*DFS-25-18-00006-P	exempt	Plan of Conversion by Medical Liability Mutual Insurance Company	To convert a mutual property and casualty insurance company to a stock property and casualty insurance company
*DFS-33-19-00004-RP	01/03/21	Minimum Standards for Form, Content, and Sale of Health Insurance, Including Standards for Full and Fair Disclosure	To set forth minimum standards for the content of health insurance identification cards.
DFS-43-19-00017-P	10/22/20	Independent Dispute Resolution for Emergency Services and Surprise Bills	To require notices and consumer disclosure information related to surprise bills and bills for emergency service to be provided
DFS-24-20-00015-EP	06/17/21	Paid Family Leave COVID-19 Risk Adjustment Mechanism	Establishment of a risk adjustment mechanism as required by Chapter 25 of the Laws of 2020
DFS-27-20-00002-P	07/08/21	Mental Health and Substance Use Disorder Treatment Parity Compliance Program	To establish mental health and substance use disorder parity compliance program requirements
<b>GAMING COMMISSION, NEW YORK STATE</b>			
SGC-22-20-00008-P	06/03/21	Permit harness horses to race without qualifying in extraordinary circumstances	To enhance harness racing in New York and promote a reasonable return for government
SGC-22-20-00009-P	06/03/21	Technical changes to correct cross-references in the regulations	To correct cross-references in the regulations
SGC-33-20-00006-P	08/19/21	Agency rule for the protection of trade secrets submitted to the Gaming Commission	To prescribe the manner of safeguarding against any unauthorized access to records containing trade secrets



Agency I.D. No.	Expires	Subject Matter	Purpose of Action
<b>GAMING COMMISSION, NEW YORK STATE</b>			
SGC-34-20-00009-P	08/26/21	Qualification time in harness racing	To improve harness pari-mutuel wagering and generate reasonable revenue for the support of government
<b>HEALTH, DEPARTMENT OF</b>			
*HLT-14-94-00006-P	exempt	Payment methodology for HIV/AIDS outpatient services	To expand the current payment to incorporate pricing for services
HLT-36-19-00006-P	10/05/20	Limits on Executive Compensation	Removes "Soft Cap" prohibition on covered executive salaries.
HLT-40-19-00004-P	10/05/20	Drug Take Back	To implement the State's drug take back program to provide for the safe disposal of drugs
HLT-46-19-00003-P	11/12/20	Tanning Facilities	To prohibit the use of indoor tanning facilities by individuals less than 18 years of age
HLT-47-19-00008-P	11/19/20	Hospital Medical Staff - Limited Permit Holders	To repeal extra years of training required for limited permit holders to work in New York State hospitals.
HLT-47-19-00009-P	11/19/20	Empire Clinical Research Investigator Program (ECRIP)	To expand the types of & change the time frames for past research grants that qualify staff to supervise the ECRIP project.
HLT-51-19-00001-P	12/17/20	Women, Infants and Children (WIC) Program	To support implementation of eWIC; clarify rules for violations, penalties & hearings & conform vendor authorization criteria.
HLT-53-19-00001-P	12/30/20	Prohibition on the Sale of Electronic Liquids with Characterizing Flavors	To prohibit the sale of electronic liquids with characterizing flavors
HLT-53-19-00011-P	12/30/20	Cardiac Services	To amend existing Certificate of Need requirements for approval of adult cardiac surgery centers.
HLT-53-19-00012-P	12/30/20	Consumer Directed Personal Assistance Program Reimbursement	To establish a program to pay home care services & establish a methodology framework for the payment of FI administrative costs.
HLT-04-20-00002-P	01/28/21	Reducing Annual Tuberculosis Testing of Health Care Workers	To replace annual tuberculosis testing of health care workers.
HLT-04-20-00003-P	01/28/21	Applied Behavior Analysis	To include Applied Behavior Analysis in the Early and Periodic Screening, Diagnosis, and Treatment (EPSDT) benefit.
HLT-04-20-00011-P	01/28/21	Nursing Home Case Mix Rationalization	To authorize the Department of Health to change the case mix acuity process for all nursing homes.
HLT-11-20-00003-P	03/18/21	Adult Day Health Care (ADHC)	To allow for reimbursement of real property leases in certain situations when used for operations of an ADHC program
HLT-27-20-00005-P	07/08/21	Private Duty Nursing Services to Medically Fragile Children	To amend the Medicaid reimbursement for fee-for-service private duty nursing provided to medically fragile children

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Agency I.D. No.	Expires	Subject Matter	Purpose of Action
<b>HEALTH, DEPARTMENT OF</b>			
HLT-27-20-00006-P	07/08/21	Medicaid Managed Care State Fair Hearings and External Appeals Processes and Standards	To address & clarify rules of procedure & presentation of evidence for Medicaid managed care fair hearings & external appeals
HLT-27-20-00007-P	07/08/21	Development of Mental Health and Substance Use Disorder Parity Compliance Programs	To establish standards for a mental health and substance use disorder parity compliance program
HLT-28-20-00019-P	07/15/21	Personal Care Services (PCS) and Consumer Directed Personal Assistance Program (CDPAP)	To implement a revised assessment process and eligibility criteria for PCS and CDPAP
HLT-31-20-00012-EP	exempt	Hospital Non-comparable Ambulance Acute Rate Add-on	Prevents duplicate claiming by Article 28 hospitals for the ambulance add-on regarding participation in the program
HLT-32-20-00002-EP	08/12/21	Controlled Substances	To ensure access to medicine that was formerly classified as a controlled substance
HLT-32-20-00007-EP	exempt	Upper Payment Limit (UPL) Rate Add-ons	To include UPL rate add-ons in the rates of payment for acute, specialty long term care acute and emergency department services.

**HOUSING AND COMMUNITY RENEWAL, DIVISION OF**

*HCR-21-19-00019-P	10/05/20	Low-Income Housing Qualified Allocation Plan	To amend definitions, threshold criteria and application scoring for the allocation of low-income housing tax credits.
HCR-26-20-00012-EP	09/09/21	Schedule of Reasonable Costs for Major Capital Improvements in rent regulated housing accommodations	Provide a schedule of reasonable costs for Major Capital Improvements in rent regulated housing accommodations

**HOUSING FINANCE AGENCY**

*HFA-21-19-00020-P	10/05/20	Low-Income Housing Qualified Allocation Plan	To amend definitions, threshold criteria and application scoring for the allocation of low-income housing tax credits
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**JOINT COMMISSION ON PUBLIC ETHICS, NEW YORK STATE**

JPE-28-20-00031-P	07/15/21	Source of funding reporting	Clarifying amendments to Source of Funding reporting
JPE-28-20-00032-P	07/15/21	Amendments to the lobbying regulations	To clarify the lobbying regulations that implement the provisions of the Lobbying Act

**LABOR, DEPARTMENT OF**

LAB-46-19-00004-P	11/12/20	NY State Public Employees Occupational Safety and Health Standards	To incorporate by reference updates to OSHA standards into the NY State Public Employee Occupational Safety and Health Standards
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**LAKE GEORGE PARK COMMISSION**

LGP-29-20-00006-P	09/22/21	Amendment of Stormwater Regulations within the Lake George Park	To more adequately control and minimize the pollutants found in stormwater runoff from going into Lake George
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Agency I.D. No.	Expires	Subject Matter	Purpose of Action
<b>LAW, DEPARTMENT OF</b>			
LAW-15-20-00017-P	04/15/21	investment advisers defined under GBL § 359-eee	Harmonize regulations with federal law and national standards and facilitate anti-fraud activities and modernize registration.
LAW-15-20-00018-P	04/15/21	Brokers, dealers and salespersons defined under GBL § 359-e	Harmonize regulations with federal law and national standards and facilitate anti-fraud activities and modernize registration.
LAW-18-20-00002-P	05/06/21	Designation of a Privacy Officer	Removal of a named Privacy Officer., along with their contact information
<b>LONG ISLAND POWER AUTHORITY</b>			
*LPA-08-01-00003-P	exempt	Pole attachments and related matters	To approve revisions to the authority's tariff
*LPA-41-02-00005-P	exempt	Tariff for electric service	To revise the tariff for electric service
*LPA-04-06-00007-P	exempt	Tariff for electric service	To adopt provisions of a ratepayer protection plan
*LPA-03-10-00004-P	exempt	Residential late payment charges	To extend the application of late payment charges to residential customers
*LPA-15-18-00013-P	exempt	Outdoor area lighting	To add an option and pricing for efficient LED lamps to the Authority's outdoor area lighting
*LPA-37-18-00013-P	exempt	The net energy metering provisions of the Authority's Tariff for Electric Service	To implement PSC guidance increasing eligibility for value stack compensation to larger projects
*LPA-37-18-00017-P	exempt	The treatment of electric vehicle charging in the Authority's Tariff for Electric Service.	To effectuate the outcome of the Public Service Commission's proceeding on electric vehicle supply equipment.
*LPA-37-18-00018-P	exempt	The treatment of energy storage in the Authority's Tariff for Electric Service.	To effectuate the outcome of the Public Service Commission's proceeding on the NY Energy Storage Roadmap.
LPA-09-20-00010-P	exempt	To update and implement latest requirements for ESCOs proposing to do business within the Authority's service territory.	To strengthen customer protections and be consistent with Public Service Commission orders on retail energy markets.
LPA-28-20-00033-EP	exempt	LIPA's late payment charges, reconnection charges, and low-income customer discount enrollment	To allow waiver of late payment and reconnection charges and extend the grace period for re-enrolling in customer bill discounts
<b>LONG ISLAND RAILROAD COMPANY</b>			
LIR-20-20-00005-EP	05/20/21	The conduct and safety of the public in the use of terminals, stations, and trains operated by The Long Island Railroad Company	To safeguard the public health and safety by amending rules concerning appropriate and safe uses of terminals and stations
<b>MANHATTAN AND BRONX SURFACE TRANSIT OPERATING AUTHORITY</b>			
MBA-20-20-00002-EP	05/20/21	The conduct and safety of the public in the use of facilities and conveyances operated by the MaBSTOA	To safeguard the public health and safety by amending existing rules concerning appropriate and safe use of the transit system

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
<b>MEDICAID INSPECTOR GENERAL, OFFICE OF</b>			
MED-28-20-00029-P	07/15/21	Monetary Penalties	To amend regulations governing the imposition of monetary penalties under the Medicaid program
<b>MENTAL HEALTH, OFFICE OF</b>			
OMH-47-19-00001-P	11/19/20	Limits on Executive Compensation	To eliminate "soft cap" restrictions on compensation.
OMH-12-20-00003-P	03/25/21	Uncompensated care funds issued pursuant to the Indigent Care Program.	To ensure the appropriate allocation of uncompensated care funds.
<b>METRO-NORTH COMMUTER RAILROAD</b>			
MCR-20-20-00004-EP	05/20/21	The conduct and safety of the public in the use of terminal, stations, and trains operated by Metro-North Commuter Railroad	To safeguard the public health and safety by amending rules concerning appropriate and safe uses of terminal and stations
<b>MOTOR VEHICLES, DEPARTMENT OF</b>			
MTV-28-20-00001-P	07/15/21	Limited Use Vehicles - Equipment	Removes an obsolete, unnecessary requirement for seat height on 2 and 3 wheeled limited use motorcycles
MTV-28-20-00002-P	07/15/21	Safety Criteria for Registration - Motorcycles	removes an obsolete, unnecessary requirement for seat height on motorcycles
MTV-28-20-00030-P	07/15/21	Dealer and transporters -procedures used for certificates of sale	makes minor technical change removing an obsolete reference and conforms regulation with federal regulation
<b>NEW YORK CITY TRANSIT AUTHORITY</b>			
NTA-20-20-00001-EP	05/20/21	The conduct and safety of the public in the use of facilities and conveyances operated by New York City Transit Authority	To safeguard the public health and safety by amending existing rules concerning appropriate and safe use of the transit system
<b>NIAGARA FALLS WATER BOARD</b>			
*NFW-04-13-00004-EP	exempt	Adoption of Rates, Fees and Charges	To pay for the increased costs necessary to operate, maintain and manage the system, and to achieve covenants with bondholders
*NFW-13-14-00006-EP	exempt	Adoption of Rates, Fees and Charges	To pay for increased costs necessary to operate, maintain and manage the system and to achieve covenants with the bondholders
<b>OGDENSBURG BRIDGE AND PORT AUTHORITY</b>			
*OBA-33-18-00019-P	exempt	Increase in Bridge Toll Structure	To increase bridge toll revenue in order to become financially self-supporting. Our bridge operations are resulting in deficit.
*OBA-07-19-00019-P	exempt	Increase in Bridge Toll Structure	To increase bridge toll revenue in order to become financially self-supporting. Our bridge operations are resulting in deficit

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
<b>PARKS, RECREATION AND HISTORIC PRESERVATION, OFFICE OF</b>			
PKR-23-20-00005-EP	06/10/21	face coverings, social distancing and dispersal of groups not from the same household or family unit	To encourage patrons to wear face coverings or stay six feet away from other patrons and not congregate in groups at parks
PKR-29-20-00001-P	07/22/21	Listing of state parks, parkways, recreation facilities and historic sites (facilities). New York City Region	To update the listing of state parks, parkways, recreation facilities and historic sites in the New York City Region
<b>PEOPLE WITH DEVELOPMENTAL DISABILITIES, OFFICE FOR</b>			
PDD-29-20-00002-EP	07/22/21	Operating Certificates	Outlines the required operating certificates for providers who intend to provide care coordination and crisis intervention
<b>POWER AUTHORITY OF THE STATE OF NEW YORK</b>			
*PAS-01-10-00010-P	exempt	Rates for the sale of power and energy	Update ECSB Programs customers' service tariffs to streamline them/include additional required information
<b>PUBLIC SERVICE COMMISSION</b>			
*PSC-09-99-00012-P	exempt	Transfer of books and records by Citizens Utilities Company	To relocate Ogden Telephone Company's books and records out-of-state
*PSC-15-99-00011-P	exempt	Electronic tariff by Woodcliff Park Corp.	To replace the company's current tariff with an electronic tariff
*PSC-12-00-00001-P	exempt	Winter bundled sales service election date by Central Hudson Gas & Electric Corporation	To revise the date
*PSC-44-01-00005-P	exempt	Annual reconciliation of gas costs by Corning Natural Gas Corporation	To authorize the company to include certain gas costs
*PSC-07-02-00032-P	exempt	Uniform business practices	To consider modification
*PSC-36-03-00010-P	exempt	Performance assurance plan by Verizon New York	To consider changes
*PSC-40-03-00015-P	exempt	Receipt of payment of bills by St. Lawrence Gas Company	To revise the process
*PSC-41-03-00010-P	exempt	Annual reconciliation of gas expenses and gas cost recoveries	To consider filings of various LDCs and municipalities
*PSC-41-03-00011-P	exempt	Annual reconciliation of gas expenses and gas cost recoveries	To consider filings of various LDCs and municipalities
*PSC-44-03-00009-P	exempt	Retail access data between jurisdictional utilities	To accommodate changes in retail access market structure or commission mandates
*PSC-02-04-00008-P	exempt	Delivery rates for Con Edison's customers in New York City and Westchester County by the City of New York	To rehear the Nov. 25, 2003 order
*PSC-06-04-00009-P	exempt	Transfer of ownership interest by SCS Energy LLC and AE Investors LLC	To transfer interest in Steinway Creek Electric Generating Company LLC to AE Investors LLC

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
<b>PUBLIC SERVICE COMMISSION</b>			
*PSC-10-04-00005-P	..... exempt	Temporary protective order	To consider adopting a protective order
*PSC-10-04-00008-P	..... exempt	Interconnection agreement between Verizon New York Inc. and VIC-RMTS-DC, L.L.C. d/b/a Verizon Avenue	To amend the agreement
*PSC-14-04-00008-P	..... exempt	Submetering of natural gas service to industrial and commercial customers by Hamburg Fairgrounds	To submeter gas service to commercial customers located at the Buffalo Speedway
*PSC-15-04-00022-P	..... exempt	Submetering of electricity by Glenn Gardens Associates, L.P.	To permit submetering at 175 W. 87th St., New York, NY
*PSC-21-04-00013-P	..... exempt	Verizon performance assurance plan by Metropolitan Telecommunications	To clarify the appropriate performance level
*PSC-22-04-00010-P	..... exempt	Approval of new types of electricity meters by Powell Power Electric Company	To permit the use of the PE-1250 electronic meter
*PSC-22-04-00013-P	..... exempt	Major gas rate increase by Consolidated Edison Company of New York, Inc.	To increase annual gas revenues
*PSC-22-04-00016-P	..... exempt	Master metering of water by South Liberty Corporation	To waive the requirement for installation of separate water meters
*PSC-25-04-00012-P	..... exempt	Interconnection agreement between Frontier Communications of Ausable Valley, Inc., et al. and Sprint Communications Company, L.P.	To amend the agreement
*PSC-27-04-00008-P	..... exempt	Interconnection agreement between Verizon New York Inc. and various Verizon wireless affiliates	To amend the agreement
*PSC-27-04-00009-P	..... exempt	Interconnection agreement between Verizon New York Inc. and various Verizon wireless affiliates	To amend the agreement
*PSC-28-04-00006-P	..... exempt	Approval of loans by Dunkirk & Fredonia Telephone Company and Cassadaga Telephone Corporation	To authorize participation in the parent corporation's line of credit
*PSC-31-04-00023-P	..... exempt	Distributed generation service by Consolidated Edison Company of New York, Inc.	To provide an application form
*PSC-34-04-00031-P	..... exempt	Flat rate residential service by Emerald Green Lake Louise Marie Water Company, Inc.	To set appropriate level of permanent rates
*PSC-35-04-00017-P	..... exempt	Application form for distributed generation by Orange and Rockland Utilities, Inc.	To establish a new supplementary application form for customers
*PSC-43-04-00016-P	..... exempt	Accounts recievable by Rochester Gas and Electric Corporation	To include in its tariff provisions for the purchase of ESCO accounts recievable
*PSC-46-04-00012-P	..... exempt	Service application form by Consolidated Edison Company of New York, Inc.	To revise the form and make housekeeping changes
*PSC-46-04-00013-P	..... exempt	Rules and guidelines governing installation of metering equipment	To establish uniform statewide business practices

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
<b>PUBLIC SERVICE COMMISSION</b>			
*PSC-02-05-00006-P	..... exempt	Violation of the July 22, 2004 order by Dutchess Estates Water Company, Inc.	To consider imposing remedial actions against the company and its owners, officers and directors
*PSC-09-05-00009-P	..... exempt	Submetering of natural gas service by Hamlet on Olde Oyster Bay	To consider submetering of natural gas to a commercial customer
*PSC-14-05-00006-P	..... exempt	Request for deferred accounting authorization by Freeport Electric Inc.	To defer expenses beyond the end of the fiscal year
*PSC-18-05-00009-P	..... exempt	Marketer Assignment Program by Consolidated Edison Company of New York, Inc.	To implement the program
*PSC-20-05-00028-P	..... exempt	Delivery point aggregation fee by Allied Frozen Storage, Inc.	To review the calculation of the fee
*PSC-25-05-00011-P	..... exempt	Metering, balancing and cashout provisions by Central Hudson Gas & Electric Corporation	To establish provisions for gas customers taking service under Service Classification Nos. 8, 9 and 11
*PSC-27-05-00018-P	..... exempt	Annual reconciliation of gas costs by New York State Electric & Gas Corporation	To consider the manner in which the gas cost incentive mechanism has been applied
*PSC-41-05-00013-P	..... exempt	Annual reconciliation of gas expenses and gas cost recoveries by local distribution companies and municipalities	To consider the filings
*PSC-45-05-00011-P	..... exempt	Treatment of lost and unaccounted gas costs by Corning Natural Gas Corporation	To defer certain costs
*PSC-46-05-00015-P	..... exempt	Sale of real and personal property by the Brooklyn Union Gas Company d/b/a KeySpan Energy Delivery New York and Steel Arrow, LLC	To consider the sale
*PSC-47-05-00009-P	..... exempt	Transferral of gas supplies by Corning Natural Gas Corporation	To approve the transfer
*PSC-50-05-00008-P	..... exempt	Long-term debt by Saratoga Glen Hollow Water Supply Corp.	To obtain long-term debt
*PSC-04-06-00024-P	..... exempt	Transfer of ownership interests by Mirant NY-Gen LLC and Orange and Rockland Utilities, Inc.	To approve of the transfer
*PSC-06-06-00015-P	..... exempt	Gas curtailment policies and procedures	To examine the manner and extent to which gas curtailment policies and procedures should be modified and/or established
*PSC-07-06-00009-P	..... exempt	Modification of the current Environmental Disclosure Program	To include an attributes accounting system
*PSC-22-06-00019-P	..... exempt	Hourly pricing by National Grid	To assess the impacts
*PSC-22-06-00020-P	..... exempt	Hourly pricing by New York State Electric & Gas Corporation	To assess the impacts
*PSC-22-06-00021-P	..... exempt	Hourly pricing by Rochester Gas & Electric Corporation	To assess the impacts

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<b>PUBLIC SERVICE COMMISSION</b>			
*PSC-22-06-00022-P	..... exempt	Hourly pricing by Consolidated Edison Company of New York, Inc.	To assess the impacts
*PSC-22-06-00023-P	..... exempt	Hourly pricing by Orange and Rockland Utilities, Inc.	To assess the impacts
*PSC-24-06-00005-EP	..... exempt	Supplemental home energy assistance benefits	To extend the deadline to Central Hudson's low-income customers
*PSC-25-06-00017-P	..... exempt	Purchased power adjustment by Massena Electric Department	To revise the method of calculating the purchased power adjustment and update the factor of adjustment
*PSC-34-06-00009-P	..... exempt	Inter-carrier telephone service quality standards and metrics by the Carrier Working Group	To incorporate appropriate modifications
*PSC-37-06-00015-P	..... exempt	Procedures for estimation of customer bills by Rochester Gas and Electric Corporation	To consider estimation procedures
*PSC-37-06-00017-P	..... exempt	Procedures for estimation of customer bills by Rochester Gas and Electric Corporation	To consider estimation procedures
*PSC-43-06-00014-P	..... exempt	Electric delivery services by Strategic Power Management, Inc.	To determine the proper mechanism for the rate-recovery of costs
*PSC-04-07-00012-P	..... exempt	Petition for rehearing by Orange and Rockland Utilities, Inc.	To clarify the order
*PSC-06-07-00015-P	..... exempt	Meter reading and billing practices by Central Hudson Gas & Electric Corporation	To continue current meter reading and billing practices for electric service
*PSC-06-07-00020-P	..... exempt	Meter reading and billing practices by Central Hudson Gas & Electric Corporation	To continue current meter reading and billing practices for gas service
*PSC-11-07-00010-P	..... exempt	Investigation of the electric power outages by the Consolidated Edison Company of New York, Inc.	To implement the recommendations in the staff's investigation
*PSC-11-07-00011-P	..... exempt	Storm-related power outages by Consolidated Edison Company of New York, Inc.	To modify the company's response to power outages, the timing for any such changes and other related matters
*PSC-17-07-00008-P	..... exempt	Interconnection agreement between Verizon New York Inc. and BridgeCom International, Inc.	To amend the agreement
*PSC-18-07-00010-P	..... exempt	Existing electric generating stations by Independent Power Producers of New York, Inc.	To repower and upgrade existing electric generating stations owned by Rochester Gas and Electric Corporation
*PSC-20-07-00016-P	..... exempt	Tariff revisions and making rates permanent by New York State Electric & Gas Corporation	To seek rehearing
*PSC-21-07-00007-P	..... exempt	Natural Gas Supply and Acquisition Plan by Corning Natural Gas Corporation	To revise the rates, charges, rules and regulations for gas service
*PSC-22-07-00015-P	..... exempt	Demand Side Management Program by Consolidated Edison Company of New York, Inc.	To recover incremental program costs and lost revenue



Agency I.D. No.	Expires	Subject Matter	Purpose of Action
<b>PUBLIC SERVICE COMMISSION</b>			
*PSC-23-07-00022-P	..... exempt	Supplier, transportation, balancing and aggregation service by National Fuel Gas Distribution Corporation	To explicitly state in the company's tariff that the threshold level of elective upstream transmission capacity is a maximum of 112,600 Dth/day of marketer-provided upstream capacity
*PSC-24-07-00012-P	..... exempt	Gas Efficiency Program by the City of New York	To consider rehearing a decision establishing a Gas Efficiency Program
*PSC-39-07-00017-P	..... exempt	Gas bill issuance charge by New York State Electric & Gas Corporation	To create a gas bill issuance charge unbundled from delivery rates
*PSC-41-07-00009-P	..... exempt	Submetering of electricity rehearing	To seek reversal
*PSC-42-07-00012-P	..... exempt	Energy efficiency program by Orange and Rockland Utilities, Inc.	To consider any energy efficiency program for Orange and Rockland Utilities, Inc.'s electric service
*PSC-42-07-00013-P	..... exempt	Revenue decoupling by Orange and Rockland Utilities, Inc.	To consider a revenue decoupling mechanism for Orange and Rockland Utilities, Inc.
*PSC-45-07-00005-P	..... exempt	Customer incentive programs by Orange and Rockland Utilities, Inc.	To establish a tariff provision
*PSC-02-08-00006-P	..... exempt	Additional central office codes in the 315 area code region	To consider options for making additional codes
*PSC-03-08-00006-P	..... exempt	Rehearing of the accounting determinations	To grant or deny a petition for rehearing of the accounting determinations
*PSC-04-08-00010-P	..... exempt	Granting of easement rights on utility property by Central Hudson Gas & Electric Corporation	To grant easement rights to Millennium Pipeline Company, L.L.C.
*PSC-04-08-00012-P	..... exempt	Marketing practices of energy service companies by the Consumer Protection Board and New York City Department of Consumer Affairs	To consider modifying the commission's regulation over marketing practices of energy service companies
*PSC-08-08-00016-P	..... exempt	Transfer of ownership by Entergy Nuclear Fitzpatrick LLC, et al.	To consider the transfer
*PSC-12-08-00019-P	..... exempt	Extend the provisions of the existing electric rate plan by Rochester Gas and Electric Corporation	To consider the request
*PSC-12-08-00021-P	..... exempt	Extend the provisions of the existing gas rate plan by Rochester Gas and Electric Corporation	To consider the request
*PSC-13-08-00011-P	..... exempt	Waiver of commission policy and NYSEG tariff by Turner Engineering, PC	To grant or deny Turner's petition
*PSC-13-08-00012-P	..... exempt	Voltage drops by New York State Electric & Gas Corporation	To grant or deny the petition
*PSC-23-08-00008-P	..... exempt	Petition requesting rehearing and clarification of the commission's April 25, 2008 order denying petition of public utility law project	To consider whether to grant or deny, in whole or in part, the May 7, 2008 Public Utility Law Project (PULP) petition for rehearing and clarification of the commission's April 25, 2008 order denying petition of Public Utility Law Project

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
<b>PUBLIC SERVICE COMMISSION</b>			
*PSC-25-08-00007-P	..... exempt	Policies and procedures regarding the selection of regulatory proposals to meet reliability needs	To establish policies and procedures regarding the selection of regulatory proposals to meet reliability needs
*PSC-25-08-00008-P	..... exempt	Report on Callable Load Opportunities	Rider U report assessing callable load opportunities in New York City and Westchester County during the next 10 years
*PSC-28-08-00004-P	..... exempt	Con Edison's procedure for providing customers access to their account information	To consider Con Edison's implementation plan and timetable for providing customers access to their account information
*PSC-31-08-00025-P	..... exempt	Recovery of reasonable DRS costs from the cost mitigation reserve (CMR)	To authorize recovery of the DRS costs from the CMR
*PSC-32-08-00009-P	..... exempt	The ESCO referral program for KEDNY to be implemented by October 1, 2008	To approve, reject or modify, in whole or in part, KEDNY's recommended ESCO referral program
*PSC-33-08-00008-P	..... exempt	Noble Allegany's request for lightened regulation	To consider Noble Allegany's request for lightened regulation as an electric corporation
*PSC-36-08-00019-P	..... exempt	Land Transfer in the Borough of Manhattan, New York	To consider petition for transfer of real property to NYPH
*PSC-39-08-00010-P	..... exempt	RG&E's economic development plan and tariffs	Consideration of the approval of RG&E's economic development plan and tariffs
*PSC-40-08-00010-P	..... exempt	Loans from regulated company to its parent	To determine if the cash management program resulting in loans to the parent should be approved
*PSC-41-08-00009-P	..... exempt	Transfer of control of cable TV franchise	To determine if the transfer of control of Margaretville's cable TV subsidiary should be approved
*PSC-43-08-00014-P	..... exempt	Annual Reconciliation of Gas Expenses and Gas Cost Recoveries	The filings of various LDCs and municipalities regarding their Annual Reconciliation of Gas Expenses and Gas Cost Recoveries
*PSC-46-08-00008-P	..... exempt	Property transfer in the Village of Avon, New York	To consider a petition for the transfer of street lighting and attached equipment to the Village of Avon, New York
*PSC-46-08-00010-P	..... exempt	A transfer of indirect ownership interests in nuclear generation facilities	Consideration of approval of a transfer of indirect ownership interests in nuclear generation facilities
*PSC-46-08-00014-P	..... exempt	The attachment of cellular antennae to an electric transmission tower	To approve, reject or modify the request for permission to attach cellular antennae to an electric transmission tower
*PSC-48-08-00005-P	..... exempt	A National Grid high efficiency gas heating equipment rebate program	To expand eligibility to customers converting from oil to natural gas
*PSC-48-08-00008-P	..... exempt	Petition for the master metering and submetering of electricity	To consider the request of Bay City Metering, to master meter & submeter electricity at 345 E. 81st St., New York, New York
*PSC-48-08-00009-P	..... exempt	Petition for the submetering of electricity	To consider the request of PCV/ST to submeter electricity at Peter Cooper Village & Stuyvesant Town, New York, New York

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
<b>PUBLIC SERVICE COMMISSION</b>			
*PSC-50-08-00018-P	..... exempt	Market Supply Charge	A study on the implementation of a revised Market Supply Charge
*PSC-51-08-00006-P	..... exempt	Commission's October 27, 2008 Order on Future of Retail Access Programs in Case 07-M-0458	To consider a Petition for rehearing of the Commission's October 27, 2008 Order in Case 07-M-0458
*PSC-51-08-00007-P	..... exempt	Commission's October 27, 2008 Order in Cases 98-M-1343, 07-M-1514 and 08-G-0078	To consider Petitions for rehearing of the Commission's October 27, 2008 Order in Cases 98-M-1343, 07-M-1514 and 08-G-0078
*PSC-53-08-00011-P	..... exempt	Use of deferred Rural Telephone Bank funds	To determine if the purchase of a softswitch by Hancock is an appropriate use of deferred Rural Telephone Bank funds
*PSC-53-08-00012-P	..... exempt	Transfer of permanent and temporary easements at 549-555 North Little Tor Road, New City, NY	Transfer of permanent and temporary easements at 549-555 North Little Tor Road, New City, NY
*PSC-53-08-00013-P	..... exempt	To transfer common stock and ownership	To consider transfer of common stock and ownership
*PSC-01-09-00015-P	..... exempt	FCC decision to redefine service area of Citizens/Frontier	Review and consider FCC proposed redefinition of Citizens/Frontier service area
*PSC-02-09-00010-P	..... exempt	Competitive classification of independent local exchange company, and regulatory relief appropriate thereto	To determine if Chazy & Westport Telephone Corporation more appropriately belongs in scenario 1 rather than scenario 2
*PSC-05-09-00008-P	..... exempt	Revenue allocation, rate design, performance metrics, and other non-revenue requirement issues	To consider any remaining non-revenue requirement issues related to the Company's May 9, 2008 tariff filing
*PSC-05-09-00009-P	..... exempt	Numerous decisions involving the steam system including cost allocation, energy efficiency and capital projects	To consider the long term impacts on steam rates and on public policy of various options concerning the steam system
*PSC-06-09-00007-P	..... exempt	Interconnection of the networks between Frontier Comm. and WVT Communications for local exchange service and exchange access	To review the terms and conditions of the negotiated agreement between Frontier Comm. and WVT Comm.
*PSC-07-09-00015-P	..... exempt	Transfer certain utility assets located in the Town of Montgomery from plant held for future use to non-utility property	To consider the request to transfer certain utility assets located in the Town of Montgomery to non-utility assets
*PSC-07-09-00017-P	..... exempt	Request for authorization to defer the incremental costs incurred in the restoration work resulting from the ice storm	To allow the company to defer the incremental costs incurred in the restoration work resulting from the ice storm
*PSC-07-09-00018-P	..... exempt	Whether to permit the submetering of natural gas service to an industrial and commercial customer at Cooper Union, New York, NY	To consider the request of Cooper Union, to submeter natural gas at 41 Cooper Square, New York, New York
*PSC-12-09-00010-P	..... exempt	Charges for commodity	To charge customers for commodity costs
*PSC-12-09-00012-P	..... exempt	Charges for commodity	To charge customers for commodity costs
*PSC-13-09-00008-P	..... exempt	Options for making additional central office codes available in the 718/347 numbering plan area	To consider options for making additional central office codes available in the 718/347 numbering plan area

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
<b>PUBLIC SERVICE COMMISSION</b>			
*PSC-14-09-00014-P	..... exempt	The regulation of revenue requirements for municipal utilities by the Public Service Commission	To determine whether the regulation of revenue requirements for municipal utilities should be modified
*PSC-16-09-00010-P	..... exempt	Petition for the submetering of electricity	To consider the request of AMPS on behalf of Park Imperial to submeter electricity at 230 W. 56th Street, in New York, New York
*PSC-16-09-00020-P	..... exempt	Whether SUNY's core accounts should be exempt from the mandatory assignment of local distribution company (LDC) capacity	Whether SUNY's core accounts should be exempt from the mandatory assignment of local distribution company (LDC) capacity
*PSC-17-09-00010-P	..... exempt	Whether to permit the use of Elster REX2 solid state electric meter for use in residential and commercial accounts	To permit electric utilities in New York State to use the Elster REX2
*PSC-17-09-00011-P	..... exempt	Whether Brooklyn Navy Yard Cogeneration Partners, L.P. should be reimbursed by Con Edison for past and future use taxes	Whether Brooklyn Navy Yard Cogeneration Partners, L.P. should be reimbursed by Con Edison for past and future use taxes
*PSC-17-09-00012-P	..... exempt	Petition for the submetering of gas at commercial property	To consider the request of Turner Construction, to submeter natural gas at 550 Short Ave., & 10 South St., Governors Island, NY
*PSC-17-09-00014-P	..... exempt	Benefit-cost framework for evaluating AMI programs prepared by the DPS Staff	To consider a benefit-cost framework for evaluating AMI programs prepared by the DPS Staff
*PSC-17-09-00015-P	..... exempt	The construction of a tower for wireless antennas on land owned by National Grid	To approve, reject or modify the petition to build a tower for wireless antennas in the Town of Onondaga
*PSC-18-09-00012-P	..... exempt	Petition for rehearing of Order approving the submetering of electricity	To consider the request of Frank Signore to rehear petition to submeter electricity at One City Place in White Plains, New York
*PSC-18-09-00013-P	..... exempt	Petition for the submetering of electricity	To consider the request of Living Opportunities of DePaul to submeter electricity at E. Main St. located in Batavia, New York
*PSC-18-09-00017-P	..... exempt	Approval of an arrangement for attachment of wireless antennas to the utility's transmission facilities in the City of Yonkers	To approve, reject or modify the petition for the existing wireless antenna attachment to the utility's transmission tower
*PSC-20-09-00016-P	..... exempt	The recovery of, and accounting for, costs associated with the Companies' advanced metering infrastructure (AMI) pilots etc	To consider a filing of the Companies as to the recovery of, and accounting for, costs associated with it's AMI pilots etc
*PSC-20-09-00017-P	..... exempt	The recovery of, and accounting for, costs associated with CHG&E's AMI pilot program	To consider a filing of CHG&E as to the recovery of, and accounting for, costs associated with it's AMI pilot program
*PSC-22-09-00011-P	..... exempt	Cost allocation for Consolidated Edison's East River Repowering Project	To determine whether any changes are warranted in the cost allocation of Consolidated Edison's East River Repowering Project
*PSC-25-09-00005-P	..... exempt	Whether to grant, deny, or modify, in whole or in part, the petition	Whether to grant, deny, or modify, in whole or in part, the petition
*PSC-25-09-00006-P	..... exempt	Electric utility implementation plans for proposed web based SIR application process and project status database	To determine if the proposed web based SIR systems are adequate and meet requirements needed for implementation

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<b>PUBLIC SERVICE COMMISSION</b>			
*PSC-25-09-00007-P	..... exempt	Electric rates for Consolidated Edison Company of New York, Inc	Consider a Petition for Rehearing filed by Consolidated Edison Company of New York, Inc
*PSC-27-09-00011-P	..... exempt	Interconnection of the networks between Vernon and tw telecom of new york l.p. for local exchange service and exchange access.	To review the terms and conditions of the negotiated agreement between Vernon and tw telecom of new york l.p.
*PSC-27-09-00014-P	..... exempt	Billing and payment for energy efficiency measures through utility bill	To promote energy conservation
*PSC-27-09-00015-P	..... exempt	Interconnection of the networks between Oriskany and tw telecom of new york l.p. for local exchange service and exchange access	To review the terms and conditions of the negotiated agreement between Oriskany and tw telecom of new york l.p
*PSC-29-09-00011-P	..... exempt	Consideration of utility compliance filings	Consideration of utility compliance filings
*PSC-32-09-00009-P	..... exempt	Cost allocation for Consolidated Edison's East River Repowering Project	To determine whether any changes are warranted in the cost allocation of Consolidated Edison's East River Repowering Project
*PSC-34-09-00016-P	..... exempt	Recommendations made in the Management Audit Final Report	To consider whether to take action or recommendations contained in the Management Audit Final Report
*PSC-34-09-00017-P	..... exempt	To consider the transfer of control of Plattsburgh Cablevision, Inc. d/b/a Charter Communications to CH Communications, LLC	To allow the Plattsburgh Cablevision, Inc. to distribute its equity interest in CH Communications, LLC
*PSC-36-09-00008-P	..... exempt	The increase in the non-bypassable charge implemented by RG&E on June 1, 2009	Considering exemptions from the increase in the non-bypassable charge implemented by RG&E on June 1, 2009
*PSC-37-09-00015-P	..... exempt	Sale of customer-generated steam to the Con Edison steam system	To establish a mechanism for sale of customer-generated steam to the Con Edison steam system
*PSC-37-09-00016-P	..... exempt	Applicability of electronic signatures to Deferred Payment Agreements	To determine whether electronic signatures can be accepted for Deferred Payment Agreements
*PSC-39-09-00015-P	..... exempt	Modifications to the \$5 Bill Credit Program	Consideration of petition of National Grid to modify the Low Income \$5 Bill Credit Program
*PSC-39-09-00018-P	..... exempt	The offset of deferral balances with Positive Benefit Adjustments	To consider a petition to offset deferral balances with Positive Benefit Adjustments
*PSC-40-09-00013-P	..... exempt	Uniform System of Accounts - request for deferral and amortization of costs	To consider a petition to defer and amortize costs
*PSC-51-09-00029-P	..... exempt	Rules and guidelines for the exchange of retail access data between jurisdictional utilities and eligible ESCOs	To revise the uniform Electronic Data Interchange Standards and business practices to incorporate a contest period
*PSC-51-09-00030-P	..... exempt	Waiver or modification of Capital Expenditure condition of merger	To allow the companies to expend less funds for capital improvement than required by the merger
*PSC-52-09-00006-P	..... exempt	ACE's petition for rehearing for an order regarding generator-specific energy deliverability study methodology	To consider whether to change the Order Prescribing Study Methodology



Agency I.D. No.	Expires	Subject Matter	Purpose of Action
<b>PUBLIC SERVICE COMMISSION</b>			
*PSC-52-09-00008-P	..... exempt	Approval for the New York Independent System Operator, Inc. to incur indebtedness and borrow up to \$50,000,000	To finance the renovation and construction of the New York Independent System Operator, Inc.'s power control center facilities
*PSC-05-10-00008-P	..... exempt	Petition for the submetering of electricity	To consider the request of University Residences - Rochester, LLC to submeter electricity at 220 John Street, Henrietta, NY
*PSC-05-10-00015-P	..... exempt	Petition for the submetering of electricity	To consider the request of 243 West End Avenue Owners Corp. to submeter electricity at 243 West End Avenue, New York, NY
*PSC-06-10-00022-P	..... exempt	The Commission's Order of December 17, 2009 related to redevelopment of Consolidated Edison's Hudson Avenue generating facility	To reconsider the Commission's Order of December 17, 2009 related to redevelopment of the Hudson Avenue generating facility
*PSC-07-10-00009-P	..... exempt	Petition to revise the Uniform Business Practices	To consider the RESA petition to allow rescission of a customer request to return to full utility service
*PSC-08-10-00007-P	..... exempt	Whether to grant, deny, or modify , in whole or in part, the rehearing petition filed in Case 06-E-0847	Whether to grant, deny, or modify , in whole or in part, the rehearing petition filed in Case 06-E-0847
*PSC-08-10-00009-P	..... exempt	Consolidated Edison of New York, Inc. energy efficiency programs	To modify approved energy efficiency programs
*PSC-12-10-00015-P	..... exempt	Recommendations made by Staff intended to enhance the safety of Con Edison's gas operations	To require that Con Edison implement the Staff recommendations intended to enhance the safety of Con Edison's gas operations
*PSC-14-10-00010-P	..... exempt	Petition for the submetering of electricity	To consider the request of 61 Jane Street Owners Corporation to submeter Electricity at 61 Jane Street, Manhattan, NY
*PSC-16-10-00005-P	..... exempt	To consider adopting and expanding mobile stray voltage testing requirements	Adopt additional mobile stray voltage testing requirements
*PSC-16-10-00007-P	..... exempt	Interconnection of the networks between TDS Telecom and PAETEC Communications for local exchange service and exchange access	To review the terms and conditions of the negotiated agreement between TDS Telecom and PAETEC Communications
*PSC-16-10-00015-P	..... exempt	Interconnection of the networks between Frontier and Choice One Communications for local exchange service and exchange access	To review the terms and conditions of the negotiated agreement between Frontier and Choice One Communications
*PSC-18-10-00009-P	..... exempt	Electric utility transmission right-of-way management practices	To consider electric utility transmission right-of-way management practices
*PSC-19-10-00022-P	..... exempt	Whether National Grid should be permitted to transfer a parcel of property located at 1 Eddy Street, Fort Edward, New York	To decide whether to approve National Grid's request to transfer a parcel of vacant property in Fort Edward, New York
*PSC-22-10-00006-P	..... exempt	Requirement that Noble demonstrate that its affiliated electric corporations operating in New York are providing safe service	Consider requiring that Noble demonstrate that its affiliated electric corporations in New York are providing safe service
*PSC-22-10-00008-P	..... exempt	Petition for the submetering of electricity	To consider the request of 48-52 Franklin Street to submeter electricity at 50 Franklin Street, New York, New York

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
<b>PUBLIC SERVICE COMMISSION</b>			
*PSC-24-10-00009-P	..... exempt	Verizon New York Inc. tariff regulations relating to voice messaging service	To remove tariff regulations relating to retail voice messaging service from Verizon New York Inc.'s tariff
*PSC-25-10-00012-P	..... exempt	Reassignment of the 2-1-1 abbreviated dialing code	Consideration of petition to reassign the 2-1-1 abbreviated dialing code
*PSC-27-10-00016-P	..... exempt	Petition for the submetering of electricity	To consider the request of 9271 Group, LLC to submeter electricity at 960 Busti Avenue, Buffalo, New York
*PSC-34-10-00003-P	..... exempt	The modification of Central Hudson Gas & Electric Corporation's Enhanced Powerful Opportunities Program	The modification of Central Hudson Gas & Electric Corporation's Enhanced Powerful Opportunities Program
*PSC-34-10-00005-P	..... exempt	Approval of a contract for \$250,000 in tank repairs that may be a financing	To decide whether to approve a contract between the parties that may be a financing of \$250,000 for tank repairs
*PSC-34-10-00006-P	..... exempt	The modification of Central Hudson Gas & Electric Corporation's Enhanced Powerful Opportunities Program	The modification of Central Hudson Gas & Electric Corporation's Enhanced Powerful Opportunities Program
*PSC-36-10-00010-P	..... exempt	Central Hudson's procedures, terms and conditions for an economic development plan	Consideration of Central Hudson's procedures, terms and conditions for an economic development plan
*PSC-40-10-00014-P	..... exempt	Disposition of a state sales tax refund	To determine how much of a state sales tax refund should be retained by National Grid
*PSC-40-10-00021-P	..... exempt	Whether to permit the submetering of natural gas service to a commercial customer at Quaker Crossing Mall	To permit the submetering of natural gas service to a commercial customer at Quaker Crossing Mall
*PSC-41-10-00018-P	..... exempt	Amount of hourly interval data provided to Hourly Pricing customers who have not installed a phone line to read meter	Allow Central Hudson to provide less than a years worth of interval data and charge for manual meter reading for some customers
*PSC-41-10-00022-P	..... exempt	Request for waiver of the individual living unit metering requirements at 5742 Route 5, Vernon, NY	Request for waiver of the individual living unit metering requirements at 5742 Route 5, Vernon, NY
*PSC-42-10-00011-P	..... exempt	Petition for the submetering of electricity	To consider the request of 4858 Group, LLC to submeter electricity at 456 Main Street, Buffalo, New York
*PSC-43-10-00016-P	..... exempt	Utility Access to Ducts, Conduit Facilities and Utility Poles	To review the complaint from Optical Communications Group
*PSC-44-10-00003-P	..... exempt	Third and fourth stage gas rate increase by Corning Natural Gas Corporation	To consider Corning Natural Gas Corporation's request for a third and fourth stage gas rate increase
*PSC-51-10-00018-P	..... exempt	Commission proceeding concerning three-phase electric service by all major electric utilities	Investigate the consistency of the tariff provisions for three-phase electric service for all major electric utilities
*PSC-11-11-00003-P	..... exempt	The proposed transfer of 55.42 acres of land and \$1.4 million of revenues derived from the rendition of public service	The proposed transfer of 55.42 acres of land and \$1.4 million of revenues derived from the rendition of public service

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
<b>PUBLIC SERVICE COMMISSION</b>			
*PSC-13-11-00005-P	..... exempt	Exclude the minimum monthly bill component from the earnings test calculation	Exclude the minimum monthly bill component from the earnings test calculation
*PSC-14-11-00009-P	..... exempt	Petition for the submetering of electricity	To consider the request of 83-30 118th Street to submeter electricity at 83-30 118th Street, Kew Gardens, New York
*PSC-19-11-00007-P	..... exempt	Utility price reporting requirements related to the Commission's "Power to Choose" website	Modify the Commission's utility electric commodity price reporting requirements related to the "Power to Choose" website
*PSC-20-11-00012-P	..... exempt	Petition for the submetering of electricity	To consider the request of KMW Group LLC to submeter electricity at 122 West Street, Brooklyn, New York
*PSC-20-11-00013-P	..... exempt	Determining the reasonableness of Niagara Mohawk Power Corporation d/b/a National Grid 's make ready charges	To determine if the make ready charges of Niagara Mohawk Power Corporation d/b/a National Grid are reasonable
*PSC-22-11-00004-P	..... exempt	Whether to permit the use of the Sensus accWAVE for use in residential gas meter applications	To permit gas utilities in New York State to use the Sensus accWAVE diaphragm gas meter
*PSC-26-11-00007-P	..... exempt	Water rates and charges	To approve an increase in annual revenues by about \$25,266 or 50%
*PSC-26-11-00009-P	..... exempt	Petition for the submetering of electricity at commercial property	To consider the request of by Hoosick River Hardwoods, LLC to submeter electricity at 28 Taylor Avenue, in Berlin, New York
*PSC-26-11-00012-P	..... exempt	Waiver of generation retirement notice requirements	Consideration of waiver of generation retirement notice requirements
*PSC-29-11-00011-P	..... exempt	Petition requesting the Commission reconsider its May 19, 2011 Order and conduct a hearing, and petition to stay said Order.	To consider whether to grant or deny, in whole or in part, Windstream New York's Petition For Reconsideration and Rehearing.
*PSC-35-11-00011-P	..... exempt	Whether to permit Consolidated Edison a waiver to commission regulations Part 226.8	Permit Consolidated Edison to conduct a inspection program in lieu of testing the accuracy of Category C meters
*PSC-36-11-00006-P	..... exempt	To consider expanding mobile stray voltage testing requirements	Adopt additional mobile stray voltage testing requirements
*PSC-38-11-00002-P	..... exempt	Operation and maintenance procedures pertaining to steam trap caps	Adopt modified steam operation and maintenance procedures
*PSC-38-11-00003-P	..... exempt	Waiver of certain provisions of the electric service tariffs of Con Edison	Consideration of waiver of certain provisions of the electric service tariffs of Con Edison
*PSC-40-11-00010-P	..... exempt	Participation of regulated local exchange carriers in the New York Data Exchange, Inc. (NYDE)	Whether to partially modify its order requiring regulated local exchange carriers' participation NYDE
*PSC-40-11-00012-P	..... exempt	Granting of transfer of plant in-service to a regulatory asset	To approve transfer and recovery of unamortized plant investment
*PSC-42-11-00018-P	..... exempt	Availability of telecommunications services in New York State at just and reasonable rates	Providing funding support to help ensure availability of affordable telecommunications service throughout New York

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
<b>PUBLIC SERVICE COMMISSION</b>			
*PSC-43-11-00012-P	..... exempt	Transfer of outstanding shares of stock	Transfer the issued outstanding shares of stock of The Meadows at Hyde Park Water-Works Corporation to HPWS, LLC
*PSC-47-11-00007-P	..... exempt	Remedying miscalculations of delivered gas as between two customer classes	Consideration of Con Edison's proposal to address inter-class delivery imbalances resulting from past Company miscalculations
*PSC-48-11-00007-P	..... exempt	Transfer of controlling interests in generation facilities from Dynegy to PSEG	Consideration of the transfer of controlling interests in electric generation facilities from Dynegy to PSEG
*PSC-48-11-00008-P	..... exempt	Petition for the submetering of electricity	To consider the request of To Better Days, LLC to submeter electricity at 37 East 4th Street, New York, New York
*PSC-01-12-00007-P	..... exempt	The New York State Reliability Council's revisions to its rules and measurements	To adopt revisions to various rules and measurements of the New York State Reliability Council
*PSC-01-12-00008-P	..... exempt	Transfer of real property and easements from NMPNS to NMP3	Consideration of the transfer of real property and easements from NMPNS to NMP3
*PSC-01-12-00009-P	..... exempt	Recovery of expenses related to the expansion of Con Edison's ESCO referral program, PowerMove	To determine how and to what extent expenses related to the Expansion of Con Edison's ESCO referral program should be recovered
*PSC-11-12-00002-P	..... exempt	Whether to grant, deny or modify, in whole or part, Hegeman's petition for a waiver of Commission policy and Con Edison tariff	Whether to grant, deny or modify, in whole or part, Hegeman's petition for a waiver of Commission policy and Con Edison tariff
*PSC-11-12-00005-P	..... exempt	Transfer of land and water supply assets	Transfer the land and associated water supply assets of Groman Shores, LLC to Robert Groman
*PSC-13-12-00005-P	..... exempt	Authorization to transfer certain real property	To decide whether to approve the transfer of certain real property
*PSC-19-12-00023-P	..... exempt	Petition for approval pursuant to Section 70 for the sale of goods with an original cost of less than \$100,000	To consider whether to grant, deny or modify, in whole or in part, the petition filed by Orange and Rockland Utilities, Inc.
*PSC-21-12-00006-P	..... exempt	Tariff filing requirements and refunds	To determine if certain agreements should be filed pursuant to the Public Service Law and if refunds are warranted
*PSC-21-12-00011-P	..... exempt	Whether to grant, deny or modify, in whole or part, the petition for waiver of tariff Rules 8.6 and 47	Whether to grant, deny or modify, in whole or part, the petition for waiver of tariff Rules 8.6 and 47
*PSC-23-12-00007-P	..... exempt	The approval of a financing upon a transfer to Alliance of upstream ownership interests in a generation facility	To consider the approval of a financing upon a transfer to Alliance of upstream ownership interests in a generation facility
*PSC-23-12-00009-P	..... exempt	Over earnings sharing between rate payers and shareholders	To establish an Earnings Sharing Mechanism to be applied following the conclusion of Corning's rate plan
*PSC-27-12-00012-P	..... exempt	Implementation of recommendations made in a Management Audit Report	To consider implementation of recommendations made in a Management Audit Report

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
<b>PUBLIC SERVICE COMMISSION</b>			
*PSC-28-12-00013-P	..... exempt	Exemption of reliability reporting statistics for the purpose of the 2012 Reliability Performance Mechanism	Consideration of Orange and Rockland Utilities request for exemption of the 2012 reliability reporting statistics
*PSC-29-12-00019-P	..... exempt	Waiver of 16 NYCRR 894.1 through 894.4	To allow the Town of Hamden to waive certain preliminary franchising procedures to expedite the franchising process.
*PSC-30-12-00010-P	..... exempt	Waiver of 16 NYCRR 894.1 through 894.4	To allow the Town of Andes to waive certain preliminary franchising procedures to expedite the franchising process
*PSC-33-12-00009-P	..... exempt	Telecommunications companies ability to attach to utility company poles	Consideration of Tech Valley's ability to attach to Central Hudson poles
*PSC-37-12-00009-P	..... exempt	Proposed modification by Con Edison of its procedures to calculate estimated bills to its customers	Proposed modification by Con Edison of its procedures to calculate estimated bills to its customers
*PSC-42-12-00009-P	..... exempt	Regulation of Gipsy Trail Club, Inc.'s long-term financing agreements	To exempt Gipsy Trail Club, Inc. from Commission regulation of its financing agreements
*PSC-45-12-00008-P	..... exempt	Whether to grant, deny or modify, in whole or part, ESHG's petition for a waiver of Commission policy and RG&E tariff	Whether to grant, deny or modify, in whole or part, ESHG's petition for a waiver of Commission policy and RG&E tariff
*PSC-45-12-00010-P	..... exempt	Whether to grant, deny or modify, in whole or in part the petition of Con Edison to grant easements to Millwood Fire District	Whether to grant, deny or modify, in whole or in part the petition of Con Edison to grant easements to Millwood Fire District
*PSC-50-12-00003-P	..... exempt	Affiliate standards for Corning Natural Gas Corporation	To resolve issues raised by Corning Natural Gas Corporation in its petition for rehearing
*PSC-04-13-00006-P	..... exempt	Expansion of mandatory day ahead hourly pricing for customers of Orange and Rockland Utilities with demands above 100 kW	To consider the expansion of mandatory day ahead hourly pricing for customers with demands above 100 kW
*PSC-04-13-00007-P	..... exempt	Authorization to transfer certain real property.	To decide whether to approve the transfer of certain real property.
*PSC-06-13-00008-P	..... exempt	Verizon New York Inc.'s retail service quality	To investigate Verizon New York Inc.'s retail service quality
*PSC-08-13-00012-P	..... exempt	Filing requirements for certain Article VII electric facilities	To ensure that applications for certain electric transmission facilities contain pertinent information
*PSC-08-13-00014-P	..... exempt	Uniform System of Accounts - Request for Accounting Authorization	To allow the company to defer an item of expense or capital beyond the end of the year in which it was incurred
*PSC-12-13-00007-P	..... exempt	Protecting company water mains	To allow the company to require certain customers to make changes to the electrical grounding system at their homes
*PSC-13-13-00008-P	..... exempt	The potential waiver of 16 NYCRR 255.9221(d) completion of integrity assessments for certain gas transmission lines.	To determine whether a waiver of the timely completion of certain gas transmission line integrity assessments should be granted.



Agency I.D. No.	Expires	Subject Matter	Purpose of Action
<b>PUBLIC SERVICE COMMISSION</b>			
*PSC-18-13-00007-P	..... exempt	Whether Demand Energy Networks energy storage systems should be designated technologies for standby rate eligibility purposes	Whether Demand Energy Networks energy storage systems should be designated technologies for standby rate eligibility purposes
*PSC-21-13-00003-P	..... exempt	To consider policies that may impact consumer acceptance and use of electric vehicles	To consider and further develop policies that may impact consumer acceptance and use of electric vehicles
*PSC-21-13-00005-P	..... exempt	To implement an abandonment of Windover's water system	To approve the implementation of abandonment of Windover's water system
*PSC-21-13-00008-P	..... exempt	Rates of National Fuel Gas Distribution Corporation	To make the rates of National Fuel Gas Distribution Corporation temporary, subject to refund, if they are found to be excessive
*PSC-21-13-00009-P	..... exempt	Reporting requirements for natural gas local distribution companies	To help ensure efficient and economic expansion of the natural gas system as appropriate
*PSC-22-13-00009-P	..... exempt	On remand from New York State court litigation, determine the recovery of certain deferred amounts owed NFG by ratepayers	On remand, to determine the recovery of certain deferral amounts owed NFG from ratepayers
*PSC-23-13-00005-P	..... exempt	Waiver of partial payment, directory database distribution, service quality reporting, and service termination regulations	Equalize regulatory treatment based on level of competition and practical considerations
*PSC-25-13-00008-P	..... exempt	To deny, grant or modify, in whole or in part, Central Hudson's rehearing request.	To deny, grant or modify, in whole or in part, Central Hudson's rehearing request.
*PSC-25-13-00009-P	..... exempt	Provision by utilities of natural gas main and service lines.	To help ensure efficient and economic expansion of the natural gas system as appropriate.
*PSC-25-13-00012-P	..... exempt	To deny, grant or modify, in whole or in part, Central Hudson's rehearing request.	To deny, grant or modify, in whole or in part, Central Hudson's rehearing request.
*PSC-27-13-00014-P	..... exempt	Columbia Gas Transmission Corporation Cost Refund	For approval for temporary waiver of tariff provisions regarding its Columbia Gas Transmission Corporation cost refund.
*PSC-28-13-00014-P	..... exempt	Provision for the recovery and allocation of costs of transmission projects that reduce congestion on certain interfaces	To consider the recovery and allocation of costs of transmission projects that reduce congestion on certain interfaces
*PSC-28-13-00016-P	..... exempt	The request of NGT for lightened regulation as a gas corporation.	To consider whether to approve, reject, or modify the request of Niagara gas transport of Lockport, NY LLC.
*PSC-28-13-00017-P	..... exempt	The request by TE for waiver of regulations requiring that natural gas be odorized in certain gathering line segments	Consider the request by TE for waiver of regulations that gas be odorized in certain lines
*PSC-32-13-00009-P	..... exempt	To consider the definition of "misleading or deceptive conduct" in the Commission's Uniform Business Practices	To consider the definition of "misleading or deceptive conduct" in the Commission's Uniform Business Practices
*PSC-32-13-00012-P	..... exempt	To consider whether NYSEG should be required to undertake actions to protect its name and to minimize customer confusion	To consider whether NYSEG should be required to undertake actions to protect its name and to minimize customer confusion

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
<b>PUBLIC SERVICE COMMISSION</b>			
*PSC-33-13-00027-P	..... exempt	Waive underground facility requirements for new construction in residential subdivisions to allow for overhead electric lines.	Determine whether Chapin Lumberland, LLC subdivision will be allowed overhead electric distribution and service lines.
*PSC-33-13-00029-P	..... exempt	Deferral of incremental costs associated with the restoration of steam service following Superstorm Sandy.	To consider a petition by Con Edison to defer certain incremental steam system restoration costs relating to Superstorm Sandy.
*PSC-34-13-00004-P	..... exempt	Escrow account and surcharge to fund extraordinary repairs	To approve the establishment of an escrow account and surcharge
*PSC-42-13-00013-P	..... exempt	Failure to Provide Escrow Information	The closure of the Escrow Account
*PSC-42-13-00015-P	..... exempt	Failure to Provide Escrow Information	The closure of the Escrow Account
*PSC-43-13-00015-P	..... exempt	Petition for submetering of electricity	To consider the request of 2701 Kingsbridge Terrace L.P. to submeter electricity at 2701 Kingsbridge Terrace, Bronx, N.Y.
*PSC-45-13-00021-P	..... exempt	Investigation into effect of bifurcation of gas and electric utility service on Long Island.	To consider a Petition for an investigation into effect of bifurcation of gas and electric utility service on Long Island.
*PSC-45-13-00022-P	..... exempt	Waiver of PSC regulations, 16 NYCRR section 88.4(a)(4)	To consider a waiver of certain regulations relating to the content of an application for transmission line siting
*PSC-45-13-00023-P	..... exempt	Waiver of PSC regulations, 16 NYCRR section 88.4(a)(4).	To consider a waiver of certain regulations relating to the content of an application for transmission line siting
*PSC-45-13-00024-P	..... exempt	Waiver of PSC regulations, 16 NYCRR section 88.4(a)(4); waiver of filing deadlines.	To consider a waiver of certain regulations relating to the content of an application for transmission line siting
*PSC-45-13-00025-P	..... exempt	Waiver of PSC regulations, 16 NYCRR section 88.4(a)(4).	To consider a waiver of certain regulations relating to the content of an application for transmission line siting
*PSC-47-13-00009-P	..... exempt	Petition for submetering of electricity.	To consider the request of Hegeman Avenue Housing L.P. to submeter electricity at 39 Hegeman Avenue, Brooklyn, N.Y.
*PSC-47-13-00012-P	..... exempt	Conditioning, restricting or prohibiting the purchase of services by NYSEG and RG&E from certain affiliates.	Consideration of conditioning, restricting or prohibiting the purchase of services by NYSEG and RG&E from certain affiliates.
*PSC-49-13-00008-P	..... exempt	Authorization to transfer all of Crystal Water Supply Company, Inc. stocks to Essel Infra West Inc.	To allow Crystal Water Supply Company, Inc to transfer all of its issued and outstanding stocks to Essel Infra West Inc.
*PSC-51-13-00009-P	..... exempt	Consolidated Edison proposing to use data from a test period ending September 30, 2013 to support its next rate filing.	To ensure there is a reasonable basis for data submitted in support of a request for a change in rates.
*PSC-51-13-00010-P	..... exempt	Consolidated Edison proposing to use data from a test period ending September 30, 2013 to support its next rate filing.	To ensure there is a reasonable basis for data submitted in support of a request for a change in rates.
*PSC-51-13-00011-P	..... exempt	Consolidated Edison proposing to use data from a test period ending September 30, 2013 to support its next rate filing.	To ensure there is a reasonable basis for data submitted in support of a request for a change in rates.

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
<b>PUBLIC SERVICE COMMISSION</b>			
*PSC-52-13-00012-P	..... exempt	The development of reliability contingency plan(s) to address the potential retirement of Indian Point Energy Center (IPEC).	To address the petition for rehearing and reconsideration/motion for clarification of the IPEC reliability contingency plan(s).
*PSC-52-13-00015-P	..... exempt	To enter into a loan agreement with the banks for up to an amount of \$94,000.	To consider allowing Knolls Water Company to enter into a long-term loan agreement.
*PSC-05-14-00010-P	..... exempt	The New York State Reliability Council's revisions to its rules and measurements	To adopt revisions to various rules and measurements of the New York State Reliability Council
*PSC-07-14-00008-P	..... exempt	Petition for submetering of electricity	To consider the request of Greater Centennial Homes HDPC, Inc. to submeter electricity at 102, 103 and 106 W 5th Street, et al.
*PSC-07-14-00012-P	..... exempt	Water rates and charges	Implementation of Long-Term Water Supply Surcharge to recover costs associated with the Haverstraw Water Supply Project
*PSC-08-14-00015-P	..... exempt	Verizon New York Inc.'s service quality and Customer Trouble Report Rate (CTRR) levels at certain central office entities	To improve Verizon New York Inc.'s service quality and the Customer Trouble Report Rate levels at certain central office entities
*PSC-10-14-00006-P	..... exempt	Actions to facilitate the availability of ESCO value-added offerings, ESCO eligibility and ESCO compliance	To facilitate ESCO value-added offerings and to make changes to ESCO eligibility and to ensure ESCO compliance
*PSC-11-14-00003-P	..... exempt	Provision for the recovery and allocation of costs of transmission projects that reduce congestion on certain interfaces	To consider the recovery and allocation of costs of transmission projects that reduce congestion on certain interfaces
*PSC-16-14-00014-P	..... exempt	Whether to order NYSEG to provide gas service to customers when an expanded CPCN is approved and impose PSL 25-a penalties.	To order gas service to customers in the Town of Plattsburgh after approval of a town wide CPCN and to impose penalties.
*PSC-16-14-00015-P	..... exempt	Whether Central Hudson should be permitted to defer obligations of the Order issued on October 18, 2013 in Case 13-G-0336.	Consideration of the petition by Central Hudson to defer reporting obligations of the October 18, 2013 Order in Case 13-G-0336
*PSC-17-14-00003-P	..... exempt	Con Edison's Report on its 2013 performance under the Electric Service Reliability Performance Mechanism	Con Edison's Report on its 2013 performance under the Electric Service Reliability Performance Mechanism
*PSC-17-14-00004-P	..... exempt	To consider certain portions of petitions for rehearing, reconsideration and/or clarification	To consider certain portions of petitions for rehearing, reconsideration and/or clarification
*PSC-17-14-00007-P	..... exempt	To consider petitions for rehearing, reconsideration and/or clarification	To consider petitions for rehearing, reconsideration and/or clarification
*PSC-17-14-00008-P	..... exempt	To consider certain portions of petitions for rehearing, reconsideration and/or clarification	To consider certain portions of petitions for rehearing, reconsideration and/or clarification
*PSC-19-14-00014-P	..... exempt	Market Supply Charge	To make tariff revisions to the Market Supply Charge for capacity related costs
*PSC-19-14-00015-P	..... exempt	Whether to permit the use of the Sensus accuWAVE for use in residential and commercial gas meter applications	To permit gas utilities in New York State to use the Sensus accuWAVE 415TC gas meter

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
<b>PUBLIC SERVICE COMMISSION</b>			
*PSC-22-14-00013-P	..... exempt	Petition to transfer and merge systems, franchises and assets.	To consider the Comcast and Time Warner Cable merger and transfer of systems, franchises and assets.
*PSC-23-14-00010-P	..... exempt	Whether to permit the use of the GE Dresser Series B3-HPC 11M-1480 rotary gas met for use in industrial gas meter applications	To permit gas utilities in New York State to use the GE Dresser Series B3-HPC 11M-1480 rotary gas meter
*PSC-23-14-00014-P	..... exempt	Waiver of the negative revenue adjustment associated with KEDLI's 2013 Customer Satisfaction Performance Metric	Consideration of KEDLI's waiver request pertaining to its 2013 performance under its Customer Satisfaction Metric
*PSC-24-14-00005-P	..... exempt	To examine LDC's performance and performance measures.	To improve gas safety performance.
*PSC-26-14-00013-P	..... exempt	Waiver of RG&E's tariffed definition of emergency generator.	To consider waiver of RG&E's tariffed definition of emergency generator.
*PSC-26-14-00020-P	..... exempt	New electric utility backup service tariffs and standards for interconnection may be adopted.	To encourage development of microgrids that enhance the efficiency, safety, reliability and resiliency of the electric grid.
*PSC-26-14-00021-P	..... exempt	Consumer protections, standards and protocols pertaining to access to customer data may be established.	To balance the need for the information necessary to support a robust market with customer privacy concerns.
*PSC-28-14-00014-P	..... exempt	Petition to transfer systems, franchises and assets.	To consider the Comcast and Charter transfer of systems, franchise and assets.
*PSC-30-14-00023-P	..... exempt	Whether to permit the use of the Sensus iPERL Fire Flow Meter.	Pursuant to 16 NYCRR Part 500.3 , it is necessary to permit the use of the Sensus iPERL Fire Flow Meter.
*PSC-30-14-00026-P	..... exempt	Petition for a waiver to master meter electricity.	Considering the request of Renaissance Corporation of to master meter electricity at 100 Union Drive,Albany, NY.
*PSC-31-14-00004-P	..... exempt	To transfer 100% of the issued and outstanding stock from Vincent Cross to Bonnie and Michael Cross	To transfer 100% of the issued and outstanding stock from Vincent Cross to Bonnie and Michael Cross
*PSC-32-14-00012-P	..... exempt	Whether to grant or deny, in whole or in part, the Connect New York Coalition's petition	To consider the Connect New York Coalition's petition seeking a formal investigation and hearings
*PSC-35-14-00004-P	..... exempt	Regulation of a proposed electricity generation facility located in the Town of Brookhaven, NY	To consider regulation of a proposed electricity generation facility located in the Town of Brookhaven, NY
*PSC-35-14-00005-P	..... exempt	Whether to permit the use of the Sensus iConA electric meter	Pursuant to 16 NYCRR Parts 92 and 93, Commission approval is necessary to permit the use of the Sensus iConA electric meter
*PSC-36-14-00009-P	..... exempt	Modification to the Commission's Electric Safety Standards.	To consider revisions to the Commission's Electric Safety Standards.
*PSC-38-14-00003-P	..... exempt	Whether to approve, reject or modify, in whole or in part a time-sensitive rate pilot program.	Whether to approve, reject or modify, in whole or in part a time-sensitive rate pilot program.

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
<b>PUBLIC SERVICE COMMISSION</b>			
*PSC-38-14-00004-P	..... exempt	The study and petition of Con Edison regarding use, accounting and ratemaking treatment for 11-23 and 2-28 Hudson Ave. Brooklyn.	The study and petition of Con Edison regarding use, accounting and ratemaking treatment for 11-23 and 2-28 Hudson Ave. Brooklyn.
*PSC-38-14-00005-P	..... exempt	Action on the report and petition of Con Edison regarding the Storm Hardening and Resiliency Collaborative, Phase 2.	Action on the report and petition of Con Edison regarding the Storm Hardening and Resiliency Collaborative, Phase 2.
*PSC-38-14-00007-P	..... exempt	Whether to expand Con Edison's low income program to include Medicaid recipients.	Whether to expand Con Edison's low income program to include Medicaid recipients.
*PSC-38-14-00008-P	..... exempt	The study and petition of Con Edison regarding use, accounting and ratemaking treatment for 11-23 and 2-28 Hudson Ave. Brooklyn.	The study and petition of Con Edison regarding use, accounting and ratemaking treatment for 11-23 and 2-28 Hudson Ave. Brooklyn.
*PSC-38-14-00010-P	..... exempt	Inter-carrier telephone service quality standard and metrics and administrative changes.	To review recommendations from the Carrier Working Group and incorporate appropriate modifications to the existing Guidelines.
*PSC-38-14-00012-P	..... exempt	Action on the report and petition of Con Edison regarding the Storm Hardening and Resiliency Collaborative, Phase 2.	Action on the report and petition of Con Edison regarding the Storm Hardening and Resiliency Collaborative, Phase 2.
*PSC-39-14-00020-P	..... exempt	Whether to permit the use of the Mueller Systems 400 Series and 500 Series of water meters	Pursuant to 16 NYCRR section 500.3, whether to permit the use of the Mueller Systems 400, and 500 Series of water meters
*PSC-40-14-00008-P	..... exempt	To consider granting authorization for Buy Energy Direct to resume marketing to residential customers.	To consider granting authorization for Buy Energy Direct to resume marketing to residential customers.
*PSC-40-14-00009-P	..... exempt	Whether to permit the use of the Itron Open Way Centron Meter with Hardware 3.1 for AMR and AMI functionality.	Pursuant to 16 NYCRR Parts 93, is necessary to permit the use of the Itron Open Way Centron Meter with Hardware 3.1.
*PSC-40-14-00011-P	..... exempt	Late Payment Charge.	To modify Section 7.6 - Late Payment Charge to designate a specific time for when a late payment charge is due.
*PSC-40-14-00013-P	..... exempt	Regulation of a proposed natural gas pipeline and related facilities located in the Town of Ticonderoga, NY.	To consider regulation of a proposed natural gas pipeline and related facilities located in the Town of Ticonderoga, NY.
*PSC-40-14-00014-P	..... exempt	Waiver of 16 NYCRR Sections 894.1 through 894.4(b)(2)	To allow the Town of Goshen, NY, to waive certain preliminary franchising procedures to expedite the franchising process.
*PSC-40-14-00015-P	..... exempt	Late Payment Charge.	To modify Section 6.6 - Late Payment Charge to designate a specific time for when a late payment charge is due.
*PSC-42-14-00003-P	..... exempt	Annual Reconciliation of Gas Expenses and Gas Cost Recoveries	The filings of various LDCs and municipalities regarding their Annual Reconciliation of Gas Expenses and Gas Cost Recoveries
*PSC-42-14-00004-P	..... exempt	Winter Bundled Sales Service Option	To modify SC-11 to remove language relating to fixed storage charges in the determination of the Winter Bundled Sales charge



Agency I.D. No.	Expires	Subject Matter	Purpose of Action
<b>PUBLIC SERVICE COMMISSION</b>			
*PSC-48-14-00014-P	..... exempt	Considering the recommendations contained in Staff's electric outage investigation report for MNRR, New Haven Line.	To consider the recommendations contained in Staff's electric outage investigation report for MNRR, New Haven Line.
*PSC-52-14-00019-P	..... exempt	Petition for a waiver to master meter electricity.	Considering the request of 614 South Crouse Avenue, LLC to master meter electricity at 614 South Crouse Avenue, Syracuse, NY..
*PSC-01-15-00014-P	..... exempt	State Universal Service Fund Disbursements	To consider Edwards Telephone Company's request for State Universal Service Fund disbursements
*PSC-08-15-00010-P	..... exempt	Request pertaining to the lawfulness of National Grid USA continuing its summary billing program.	To grant, deny, or modify URAC Rate Consultants' request that National Grid cease its summary billing program.
*PSC-10-15-00007-P	..... exempt	Notification concerning tax refunds	To consider Verizon New York Inc.'s partial rehearing or reconsideration request regarding retention of property tax refunds
*PSC-10-15-00008-P	..... exempt	Whether to waive Policy on Test Periods in Major Rate Proceedings and provide authority to file tariff changes	Whether to waive Policy on Test Periods in Major Rate Proceedings and provide authority to file tariff changes
*PSC-13-15-00024-P	..... exempt	Whether Leatherstocking should be permitted to recover a shortfall in earnings	To decide whether to approve Leatherstocking's request to recover a shortfall in earnings
*PSC-13-15-00026-P	..... exempt	Whether to permit the use of the Sensus Smart Point Gas AMR/AMI product	To permit the use of the Sensus Smart Point Gas AMR/AMI product
*PSC-13-15-00027-P	..... exempt	Whether to permit the use of the Measurlogic DTS 310 electric submeter	To permit the use of the Measurlogic DTS 310 submeter
*PSC-13-15-00028-P	..... exempt	Whether to permit the use of the SATEC EM920 electric meter	To permit necessary to permit the use of the SATEC EM920 electric meter
*PSC-13-15-00029-P	..... exempt	Whether to permit the use the Triacta Power Technologies 6103, 6112, 6303, and 6312 electric submeters	To permit the use of the Triacta submeters
*PSC-17-15-00007-P	..... exempt	To consider the petition of Leatherstocking Gas Company, LLC seeking authority to issue long-term debt of \$2.75 million	To consider the petition of Leatherstocking Gas Company, LLC seeking authority to issue long-term debt of \$2.75 million
*PSC-18-15-00005-P	..... exempt	Con Edison's Report on its 2014 performance under the Electric Service Reliability Performance Mechanism	Con Edison's Report on its 2014 performance under the Electric Service Reliability Performance Mechanism
*PSC-19-15-00011-P	..... exempt	Gas Safety Performance Measures and associated negative revenue adjustments	To update the performance measures applicable to KeySpan Gas East Corporation d/b/a National Grid
*PSC-22-15-00015-P	..... exempt	To consider the request for waiver of the individual residential unit meter requirements and 16 NYCRR 96.1(a)	To consider the request for waiver of the individual residential unit meter requirements and 16 NYCRR 96.1(a)
*PSC-23-15-00005-P	..... exempt	The modification of New York American Water's current rate plan	Whether to adopt the terms of the Joint Proposal submitted by NYAW and DPS Staff
*PSC-23-15-00006-P	..... exempt	The modification of New York American Water's current rate plan	Whether to adopt the terms of the Joint Proposal submitted by NYAW and DPS Staff

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
<b>PUBLIC SERVICE COMMISSION</b>			
*PSC-25-15-00008-P	..... exempt	Notice of Intent to Submeter electricity.	To consider the request of 165 E 66 Residences, LLC to submeter electricity at 165 East 66th Street, New York, New York.
*PSC-29-15-00025-P	..... exempt	Joint Petition for authority to transfer real property located at 624 West 132nd Street, New York, NY	Whether to authorize the proposed transfer of real property located at 624 West 132nd Street, New York, NY
*PSC-32-15-00006-P	..... exempt	Development of a Community Solar Demonstration Project.	To approve the development of a Community Solar Demonstration Project.
*PSC-33-15-00009-P	..... exempt	Remote net metering of a demonstration community net metering program.	To consider approval of remote net metering of a demonstration community net metering program.
*PSC-33-15-00012-P	..... exempt	Remote net metering of a Community Solar Demonstration Project.	To consider approval of remote net metering of a Community Solar Demonstration Project.
*PSC-34-15-00021-P	..... exempt	Petition by NYCOM requesting assistance with obtaining information on CLECs and ESCOs	To consider the petition by NYCOM requesting assistance with obtaining information on CLECs and ESCOs
*PSC-35-15-00014-P	..... exempt	Consideration of consequences against Light Power & Gas, LLC for violations of the UBP	To consider consequences against Light Power & Gas, LLC for violations of the UBP
*PSC-37-15-00007-P	..... exempt	Submetered electricity	To consider the request of 89 Murray Street Ass. LLC, for clarification of the submetering order issued December 20, 2007
*PSC-40-15-00014-P	..... exempt	Whether to permit the use of the Open Way 3.5 with cellular communications	To consider the use of the Open Way 3.5 electric meter, pursuant to 16 NYCRR Parts 92 and 93
*PSC-42-15-00006-P	..... exempt	Deferral of incremental expenses associated with NERC's new Bulk Electric System (BES) compliance requirements approved by FERC.	Consideration of Central Hudson's request to defer incremental expenses associated with new BES compliance requirements.
*PSC-44-15-00028-P	..... exempt	Deferral of incremental expenses associated with new compliance requirements	Consideration of Central Hudson's request to defer incremental expenses associated with new compliance requirements
*PSC-47-15-00013-P	..... exempt	Whitepaper on Implementing Lightened Ratemaking Regulation.	Consider Whitepaper on Implementing Lightened Ratemaking Regulation.
*PSC-48-15-00011-P	..... exempt	Proposal to retire Huntley Units 67 and 68 on March 1, 2016.	Consider the proposed retirement of Huntley Units 67 and 68.
*PSC-50-15-00006-P	..... exempt	The reduction of rates.	To consider the reduction of rates charged by Independent Water Works, Inc.
*PSC-50-15-00009-P	..... exempt	Notice of Intent to submeter electricity.	To consider the request to submeter electricity at 31-33 Lincoln Road and 510 Flatbush Avenue, Brooklyn, New York.
*PSC-51-15-00010-P	..... exempt	Modification of the EDP	To consider modifying the EDP
*PSC-01-16-00005-P	..... exempt	Proposed amendment to Section 5, Attachment 1.A of the Uniform Business Practices	To consider amendment to Section 5, Attachment 1.A of the Uniform Business Practices

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
<b>PUBLIC SERVICE COMMISSION</b>			
*PSC-04-16-00007-P	..... exempt	Whether Hamilton Municipal Utilities should be permitted to construct and operate a municipal gas distribution facility.	Consideration of the petition by Hamilton Municipal Utilities to construct and operate a municipal gas distribution facility.
*PSC-04-16-00012-P	..... exempt	Proposal to mothball three gas turbines located at the Astoria Gas Turbine Generating Station.	Consider the proposed mothball of three gas turbines located at the Astoria Gas Turbine Generating Station.
*PSC-04-16-00013-P	..... exempt	Proposal to find that three gas turbines located at the Astoria Gas Turbine Generating Station are uneconomic.	Consider whether three gas turbines located at the Astoria Gas Turbine Generating Station are uneconomic.
*PSC-06-16-00013-P	..... exempt	Continued deferral of approximately \$16,000,000 in site investigation and remediation costs.	To consider the continued deferral of approximately \$16,000,000 in site investigation and remediation costs.
*PSC-06-16-00014-P	..... exempt	MEGA's proposed demonstration CCA program.	To consider MEGA's proposed demonstration CCA program.
*PSC-14-16-00008-P	..... exempt	Resetting retail markets for ESCO mass market customers.	To ensure consumer protections with respect to residential and small non-residential ESCO customers.
*PSC-18-16-00013-P	..... exempt	Amendments to the Uniform Business Practices of ESCOs.	To ensure consumer protection for ESCO customers.
*PSC-18-16-00014-P	..... exempt	Amendments to the Uniform Business Practices of ESCOs.	To ensure consumer protection for ESCO customers.
*PSC-18-16-00015-P	..... exempt	Petitions for rehearing of the Order Resetting Retail Energy Markets and Establishing Further Process.	To ensure consumer protections for ESCO customers.
*PSC-18-16-00016-P	..... exempt	Amendments to the Uniform Business Practices of ESCOs.	To ensure consumer protection for ESCO customers.
*PSC-18-16-00018-P	..... exempt	Amendments to the Uniform Business Practices of ESCOs.	To ensure consumer protection for ESCO customers.
*PSC-20-16-00008-P	..... exempt	Consideration of consequences against Global Energy Group, LLC for violations of the Uniform Business Practices (UBP).	To consider consequences against Global Energy Group, LLC for violations of the Uniform Business Practices (UBP).
*PSC-20-16-00010-P	..... exempt	Deferral and recovery of incremental expense.	To consider deferring costs of conducting leak survey and repairs for subsequent recovery.
*PSC-20-16-00011-P	..... exempt	Enetics LD-1120 Non-Intrusive Load Monitoring Device in the Statewide Residential Appliance Metering Study.	To consider the use of the Enetics LD-1120 Non-Intrusive Load Monitoring Device.
*PSC-24-16-00009-P	..... exempt	Petition to submeter gas service.	To consider the Petition of New York City Economic Development Corp. to submeter gas at Pier 17, 89 South Street, New York, NY.
*PSC-25-16-00009-P	..... exempt	To delay Companies' third-party assessments of customer personally identifiable information until 2018.	To extend the time period between the Companies' third-party assessments of customer personally identifiable information.
*PSC-25-16-00025-P	..... exempt	Acquisition of all water supply assets of Woodbury Heights Estates Water Co., Inc. by the Village of Kiryas Joel.	To consider acquisition of all water supply assets of Woodbury Heights Estates Water Co., Inc. by the Village of Kiryas Joel.

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
<b>PUBLIC SERVICE COMMISSION</b>			
*PSC-25-16-00026-P	..... exempt	Use of the Badger E Series Ultrasonic Cold Water Stainless Steel Meter, in residential fire service applications.	To consider the use of the Badger E Series Ultrasonic Cold Water Stainless Steel Meter in fire service applications.
*PSC-28-16-00017-P	..... exempt	A petition for rehearing of the Order Adopting a Ratemaking and Utility Revenue Model Policy Framework.	To determine appropriate rules for and calculation of the distributed generation reliability credit.
*PSC-29-16-00024-P	..... exempt	Participation of NYPA customers in surcharge-funded clean energy programs.	To consider participation of NYPA customers in surcharge-funded clean energy programs.
*PSC-32-16-00012-P	..... exempt	Benefit-Cost Analysis Handbooks.	To evaluate proposed methodologies of benefit-cost evaluation.
*PSC-33-16-00001-EP	..... exempt	Use of escrow funds for repairs.	To authorize the use of escrow account funds for repairs.
*PSC-33-16-00005-P	..... exempt	Exemption from certain charges for delivery of electricity to its Niagara Falls, New York facility.	Application of System Benefits Charges, Renewable Portfolio Standard charges and Clean Energy Fund surcharges.
*PSC-35-16-00015-P	..... exempt	NYSRC's revisions to its rules and measurements	To consider revisions to various rules and measurements of the NYSRC
*PSC-36-16-00004-P	..... exempt	Recovery of costs for installation of electric service.	To consider the recovery of costs for installation of electric service.
*PSC-40-16-00025-P	..... exempt	Consequences pursuant to the Commission's Uniform Business Practices (UBP).	To consider whether to impose consequences on Smart One for its apparent non-compliance with Commission requirements.
*PSC-47-16-00009-P	..... exempt	Petition to use commercial electric meters	To consider the petition of Itron, Inc. to use the Itron CP2SO and CP2SOA in commercial electric meter applications
*PSC-47-16-00010-P	..... exempt	Standby Service rate design	To consider the report filed and the recommendations therein
*PSC-47-16-00013-P	..... exempt	Standby Service rate design	To consider the report filed and the recommendations therein
*PSC-47-16-00014-P	..... exempt	Standby Service rate design	To consider the report filed and the recommendations therein
*PSC-47-16-00016-P	..... exempt	Standby Service rate design	To consider the report filed and the recommendations therein
*PSC-02-17-00010-P	..... exempt	Implementation of the four EAMs.	To consider the implementation of EAMs for RG&E.
*PSC-02-17-00012-P	..... exempt	Implementation of the four EAMs.	To consider the implementation of EAMs for NYSEG.
*PSC-18-17-00024-P	..... exempt	A petition for rehearing or reconsideration of the Order Addressing Public Policy Transmission Need for AC Transmission Upgrades	To determine whether Public Policy Transmission Need/Public Policy Requirements continue to exist.
*PSC-18-17-00026-P	..... exempt	Revisions to the Dynamic Load Management surcharge.	To consider revisions to the Dynamic Load Management surcharge.

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
<b>PUBLIC SERVICE COMMISSION</b>			
*PSC-19-17-00004-P	..... exempt	NYAW's request to defer and amortize, for future rate recognition, pension settlement payout losses incurred in 2016.	Consideration of NYAW's petition to defer and amortize, for future rate recognition, pension payout losses incurred in 2016.
*PSC-20-17-00008-P	..... exempt	Compressed natural gas as a motor fuel for diesel fueled vehicles.	To consider a report filed by National Grid NY regarding the potential for adoption of compressed natural gas as a motor fuel.
*PSC-20-17-00010-P	..... exempt	Compressed natural gas as a motor fuel for diesel fueled vehicles.	To consider a report filed by National Grid regarding the potential for adoption of compressed natural gas as a motor fuel.
*PSC-21-17-00013-P	..... exempt	The establishment and implementation of Earnings Adjustment Mechanisms.	To consider the establishment and implementation of Earnings Adjustment Mechanisms.
*PSC-21-17-00018-P	..... exempt	Proposed agreement for the provision of water service by Saratoga Water Services, Inc.	To consider a waiver and approval of terms of a service agreement.
*PSC-22-17-00004-P	..... exempt	Financial incentives to create customer savings and develop market-enabling tools, with a focus on outcomes and incentives	To consider the proposed Interconnection Survey Process and Earnings Adjustment Mechanisms
*PSC-24-17-00006-P	..... exempt	Development of the Utility Energy Registry.	Improved data access.
*PSC-26-17-00005-P	..... exempt	Notice of Intent to submeter electricity.	To consider the Notice of Intent to submeter electricity at 125 Waverly Street, Yonkers, New York.
*PSC-34-17-00011-P	..... exempt	Waiver to permit Energy Cooperative of America to serve low-income customers	To consider the petition for a waiver
*PSC-37-17-00005-P	..... exempt	Financial incentives to create customer savings and develop market-enabling tools, with a focus on outcomes and incentives.	To consider the revised Interconnection Survey Process and Earnings Adjustment Mechanisms.
*PSC-39-17-00011-P	..... exempt	Whether to direct New York State Electric & Gas to complete electric facility upgrades at no charge to Hanehan.	To determine financial responsibility between NYSEG and Hanehan for the electric service upgrades to Hanehan.
*PSC-42-17-00010-P	..... exempt	Petition for rehearing of negative revenue adjustment and contents of annual Performance Report.	To consider NFGD's petition for rehearing.
*PSC-48-17-00015-P	..... exempt	Low Income customer options for affordable water bills.	To consider the Low Income Bill Discount and/or Energy Efficiency Rebate Programs.
*PSC-50-17-00017-P	..... exempt	New Wave Energy Corp.'s petition for rehearing.	To consider the petition for rehearing filed by New Wave Energy Corp.
*PSC-50-17-00018-P	..... exempt	Application of the Public Service Law to DER suppliers.	To determine the appropriate regulatory framework for DER suppliers.
*PSC-50-17-00019-P	..... exempt	Transfer of utility property.	To consider the transfer of utility property.
*PSC-50-17-00021-P	..... exempt	Disposition of tax refunds and other related matters.	To consider the disposition of tax refunds and other related matters.



Agency I.D. No.	Expires	Subject Matter	Purpose of Action
<b>PUBLIC SERVICE COMMISSION</b>			
*PSC-51-17-00011-P	..... exempt	Petition for recovery of certain costs related to the implementation of a Non-Wires Alternative Project.	To consider Con Edison's petition for the recovery of costs for implementing the JFK Project.
*PSC-04-18-00005-P	..... exempt	Notice of intent to submeter electricity.	To consider the notice of intent of Montante/Morgan Gates Circle LLC to submeter electricity.
*PSC-05-18-00004-P	..... exempt	Lexington Power's ZEC compliance obligation.	To promote and maintain renewable and zero-emission electric energy resources.
*PSC-06-18-00012-P	..... exempt	To consider further proposed amendments to the original criteria to grandfathering established in the Transition Plan	To modify grandfathering criteria
*PSC-06-18-00017-P	..... exempt	Merger of NYAW and Whitlock Farms Water Corp.	To consider the merger of NYAW and Whitlock Farms Water Company into a single corporate entity
*PSC-07-18-00015-P	..... exempt	The accuracy and reasonableness of National Grid's billing for certain interconnection upgrades.	To consider AEC's petition requesting resolution of their billing dispute with National Grid.
*PSC-11-18-00004-P	..... exempt	New York State Lifeline Program.	To consider TracFone's petition seeking approval to participate in Lifeline.
*PSC-13-18-00015-P	..... exempt	Eligibility of an ESCO to market to and enroll residential customers.	To consider whether Astral should be allowed to market to and enroll residential customers following a suspension.
*PSC-13-18-00023-P	..... exempt	Reconciliation of property taxes.	To consider NYAW's request to reconcile property taxes.
*PSC-14-18-00006-P	..... exempt	Petition for abandonment	To consider the abandonment of Willsboro Bay Water Company's water system
*PSC-17-18-00010-P	..... exempt	Petition for use of gas metering equipment.	To ensure that consumer bills are based on accurate measurements of gas usage.
*PSC-18-18-00009-P	..... exempt	Transfer of control of Keene Valley Video Inc.	To ensure performance in accordance with applicable cable laws, regulations and standards and the public interest
*PSC-23-18-00006-P	..... exempt	Whether to impose consequences on Aspiry for its non-compliance with Commission requirements.	To ensure the provision of safe and adequate energy service at just and reasonable rates.
*PSC-24-18-00013-P	..... exempt	Implementation of program rules for Renewable Energy Standard and ZEC requirements.	To promote and maintain renewable and zero-emission electric energy resources.
*PSC-28-18-00011-P	..... exempt	Storm Hardening Collaborative Report.	To ensure safe and adequate gas service.
*PSC-29-18-00008-P	..... exempt	Participation in Targeted Accessibility Fund	To encourage enhanced services for low-income consumers
*PSC-29-18-00009-P	..... exempt	Overvaluing real property tax expense recovery in water rates	To prevent unjust and unreasonable water rates
*PSC-34-18-00015-P	..... exempt	Petition to submeter electricity.	To ensure adequate submetering equipment and energy efficiency protections are in place.

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
<b>PUBLIC SERVICE COMMISSION</b>			
*PSC-34-18-00016-P	..... exempt	Deferral of pre-staging and mobilization storm costs.	To ensure just and reasonable rates for ratepayers and utility recovery of unexpected, prudently incurred costs.
*PSC-35-18-00003-P	..... exempt	Con Edison's 2018 DSIP and BCA Handbook Update.	To continue Con Edison's transition to a modern utility serving as a Distributed System Platform Provider.
*PSC-35-18-00005-P	..... exempt	NYSEG and RG&E's 2018 DSIP and BCA Handbook Update.	To continue NYSEG and RG&E's transition to modern utilities acting as Distributed System Platform Providers.
*PSC-35-18-00006-P	..... exempt	National Grid's 2018 DSIP and BCA Handbook Update.	To continue National Grid's transition to a modern utility serving as a Distributed System Platform Provider.
*PSC-35-18-00008-P	..... exempt	Central Hudson's 2018 DSIP and BCA Handbook Update.	To continue Central Hudson's transition to a modern utility serving as a Distributed System Platform Provider.
*PSC-35-18-00010-P	..... exempt	O&R's 2018 DSIP and BCA Handbook Update.	To continue O&R's transition to a modern utility acting as a Distributed System Platform Provider.
*PSC-39-18-00005-P	..... exempt	Participation in New York State Lifeline Program.	To encourage enhanced services for low-income customers.
*PSC-40-18-00014-P	..... exempt	Annual Reconciliation of Gas Expenses and Gas Cost Recoveries.	To review the gas utilities' reconciliation of Gas Expenses and Gas Cost Recoveries for 2018.
*PSC-42-18-00011-P	..... exempt	Voluntary residential beneficial electrification rate design.	To provide efficient rate design for beneficial technologies in New York State that is equitable for all residential customers.
*PSC-42-18-00013-P	..... exempt	Petition for clarification and rehearing of the Smart Solutions Program Order.	To address the increased demand for natural gas in the Con Edison's service territory and the limited pipeline capacity.
*PSC-44-18-00016-P	..... exempt	Petition for approval of gas metering equipment.	To ensure that customer bills are based on accurate measurements of gas usage.
*PSC-45-18-00005-P	..... exempt	Notice of intent to submeter electricity and waiver of energy audit	To ensure adequate submetering equipment, consumer protections and energy efficiency protections are in place
*PSC-47-18-00008-P	..... exempt	Proposed Public Policy Transmission Needs/ Public Policy Requirements, as defined under the NYISO tariff.	To identify any proposed Public Policy Transmission Needs/Public Policy Requirements for referral to the NYISO.
*PSC-01-19-00004-P	..... exempt	Advanced Metering Infrastructure.	To determine whether Niagara Mohawk Power Corporation d/b/a National Grid should implement advanced metering infrastructure.
*PSC-01-19-00013-P	..... exempt	Order of the Commission related to caller ID unblocking.	To require telephone companies to unblock caller ID on calls placed to the 311 municipal call center in Suffolk County.
*PSC-03-19-00002-P	..... exempt	DPS Staff White Paper for who must be trained in 16 NYCRR Part 753 requirements and how the Commission will approve trainings.	To reduce damage to underground utility facilities by requiring certain training and approving training curricula.

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
<b>PUBLIC SERVICE COMMISSION</b>			
*PSC-04-19-00004-P	..... exempt	Con Edison's petition for the Gas Innovation Program and associated budget.	To pursue programs that continue service reliability and meet customer energy needs while aiding greenhouse gas reduction goals.
*PSC-04-19-00011-P	..... exempt	Update of revenue targets.	To ensure NYAW's rates are just and reasonable and accurately reflect the needed revenues.
*PSC-06-19-00005-P	..... exempt	Consideration of the Joint Utilities' proposed BDP Program.	To to expand opportunities for low-income households to participate in Community Distributed Generation (CDG) projects.
*PSC-07-19-00009-P	..... exempt	Whether to impose consequences on AAA for its non-compliance with Commission requirements.	To insure the provision of safe and adequate energy service at just and reasonable rates.
*PSC-07-19-00016-P	..... exempt	Participation in New York State Lifeline Program.	To encourage enhanced services for low-income customers.
*PSC-09-19-00010-P	..... exempt	Non-pipeline alternatives report recommendations.	To consider the terms and conditions applicable to gas service.
*PSC-12-19-00004-P	..... exempt	To test innovative pricing proposals on an opt-out basis.	To provide pricing structures that deliver benefits to customers and promote beneficial electrification technologies.
*PSC-13-19-00010-P	..... exempt	New Commission requirements for gas company operator qualification programs.	To make pipelines safer with improved training of workers who perform construction and repairs on natural gas facilities.
*PSC-19-19-00013-P	..... exempt	Proposed merger of three water utilities into one corporation.	To determine if the proposed merger is in the public interest.
*PSC-19-19-00014-P	..... exempt	Establishment of the regulatory regime applicable to an approximately 124 MW electric generating facility.	Consideration of a lightened regulatory regime for an approximately 124 MW electric generating facility.
*PSC-20-19-00008-P	..... exempt	Reporting on energy sources	To ensure accurate reporting and encourage clean energy purchases
*PSC-20-19-00010-P	..... exempt	Compensation policies for certain CHP projects	To consider appropriate rules for compensation of certain CHP resources
*PSC-20-19-00015-P	..... exempt	Establishment of the regulatory regime applicable to an approximately 105.8 MW electric generating facility	Consideration of a lightened regulatory regime for an approximately 105.8 MW electric generating facility
*PSC-31-19-00011-P	..... exempt	Electric metering equipment.	To ensure that consumer bills are based on accurate measurements of electric usage.
*PSC-31-19-00013-P	..... exempt	Implementation of Statewide Energy Benchmarking.	To ensure safe and adequate service at just and reasonable rates charged to customers without undue preferences.
*PSC-31-19-00015-P	..... exempt	Proposed major rate increase in KEDNY's gas delivery revenues by \$236.8 million (13.6% increase in total revenues).	To ensure safe and adequate service at just and reasonable rates charged to customers without undue preferences.
*PSC-31-19-00016-P	..... exempt	Proposed major rate increase in KEDLI's gas delivery revenues of approximately \$49.4 million (or 4.1% in total revenues).	To ensure safe and adequate service at just and reasonable rates charged to customers without undue preferences.

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
<b>PUBLIC SERVICE COMMISSION</b>			
*PSC-32-19-00012-P	..... exempt	Standby Service Rates and Buyback Service Rates	To ensure just and reasonable rates, including compensation, for distributed energy resources
PSC-34-19-00015-P	..... exempt	Major electric rate filing.	To consider a proposed increase in RG&E's electric delivery revenues of approximately \$31.7 million (or 4.1% in total revenues).
PSC-34-19-00016-P	..... exempt	Major gas rate filing.	To consider a proposed increase in RG&E's gas delivery revenues of approximately \$5.8 million (or 1.4% in total revenues).
PSC-34-19-00018-P	..... exempt	Major electric rate filing.	To consider a proposed increase in NYSEG's electric delivery revenues of approximately \$156.7 million (10.4% in total revenues).
PSC-34-19-00020-P	..... exempt	Major gas rate filing.	To consider a proposed increase in NYSEG's gas delivery revenues of approximately \$6.3 million (or 1.4% in total revenues).
PSC-36-19-00011-P	..... exempt	Minor electric rate filing to increase annual electric revenues.	To ensure safe and adequate service at just and reasonable rates charged to customers without undue preferences.
PSC-38-19-00002-P	..... exempt	Petition to submeter electricity	To ensure adequate submetering equipment and consumer protections are in place
PSC-39-19-00018-P	..... exempt	Petition to submeter electricity.	To ensure adequate submetering equipment and consumer protections are in place.
PSC-41-19-00003-P	..... exempt	A voluntary residential three-part rate that would include fixed, usage and demand charges.	To provide qualifying residential customers with an optional three-part rate.
PSC-43-19-00014-P	..... exempt	Petition for the use of electric metering equipment.	To ensure that consumer bills are based on accurate measurements of electric usage.
PSC-44-19-00003-P	..... exempt	Proposed revisions to Standby Service Rates and Buyback Service Rates.	To ensure just and reasonable rates, including compensation, for distributed energy resources.
PSC-44-19-00005-P	..... exempt	Proposed revisions to Standby Service Rates and Buyback Service Rates.	To ensure just and reasonable rates, including compensation, for distributed energy resources.
PSC-44-19-00006-P	..... exempt	Proposed revisions to Standby Service Rates and Buyback Service Rates.	To ensure just and reasonable rates, including compensation, for distributed energy resources.
PSC-44-19-00007-P	..... exempt	Proposed revisions to Standby Service Rates and Buyback Service Rates.	To ensure just and reasonable rates, including compensation, for distributed energy resources.
PSC-44-19-00008-P	..... exempt	Notice of intent to submeter electricity.	To ensure adequate submetering equipment and consumer protections are in place.
PSC-44-19-00009-P	..... exempt	Proposed revisions to Standby Service Rates and Buyback Service Rates.	To ensure just and reasonable rates, including compensation, for distributed energy resources.
PSC-45-19-00012-P	..... exempt	Notice of intent to submeter electricity.	To ensure adequate submetering equipment and consumer protections are in place.
PSC-46-19-00008-P	..... exempt	Wappingers Falls Hydroelectric LLC's facility located in Wappingers Falls, New York.	To promote and maintain renewable electric energy resources.

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
<b>PUBLIC SERVICE COMMISSION</b>			
PSC-46-19-00010-P	..... exempt	To test innovative rate designs on an opt-out basis.	To implement alternative innovative rate designs intended to assess customer behaviors in response to price signals
PSC-48-19-00007-P	..... exempt	Extension of the State Universal Service Fund.	To continue to provide universal service at a reasonable rate in certain service territories.
PSC-50-19-00004-P	..... exempt	Petition to submeter electricity and waiver of energy audit.	To ensure adequate submetering equipment, consumer protections and energy efficiency protections are in place.
PSC-52-19-00001-P	..... exempt	SUEZ Water New York Inc.'s acquisition of 100% of Heritage Hills Water Works Corporation's assets.	To determine if the proposed acquisition is in the public interest.
PSC-52-19-00003-P	..... exempt	Notice of intent to submeter electricity and waiver of energy audit.	To ensure adequate submetering equipment, consumer protections and energy efficiency protections are in place.
PSC-52-19-00006-P	..... exempt	Authorization to defer pension settlement losses.	To address the ratemaking related to the pension settlement losses.
PSC-03-20-00009-P	..... exempt	Changes to the Utility Energy Registry	To determine appropriate rules for data availability
PSC-04-20-00014-P	..... exempt	Transfer of the Indian Point site, nuclear waste, and decommissioning and site restoration funds from Entergy to Holtec.	To protect the public interest.
PSC-05-20-00007-P	..... exempt	Petition to submeter electricity.	To ensure adequate submetering equipment and consumer protections are in place.
PSC-06-20-00013-P	..... exempt	Notice of intent to submeter electricity.	To ensure adequate submetering equipment and consumer protections are in place.
PSC-06-20-00014-P	..... exempt	A program for the procurement of Renewable Energy Certificates from existing renewable resources.	To purchase Renewable Energy Certificates and maintain the State's baseline of existing renewable resources.
PSC-06-20-00016-P	..... exempt	Notice of intent to submeter electricity and waiver of energy audit.	To ensure adequate submetering equipment, consumer protections and energy efficiency protections are in place.
PSC-06-20-00017-P	..... exempt	Petitions for rehearing, reconsideration, clarification and stay of the December 12, 2019 Order.	To determine whether the Commission should grant, deny, or modify the relief sought and actions proposed by Petitioners
PSC-07-20-00008-P	..... exempt	Notice of intent to submeter electricity.	To ensure adequate submetering equipment and consumer protections are in place.
PSC-07-20-00010-P	..... exempt	Notice of intent to submeter electricity.	To ensure adequate submetering equipment and consumer protections are in place.
PSC-08-20-00003-P	..... exempt	PSC regulation 16 NYCRR § 86.3(a)(2) and 86.3(b)(2).	To consider a waiver of certain regulations relating to the content of an application for transmission line siting.
PSC-09-20-00002-P	..... exempt	Request for waiver of 16 NYCRR 96.5(k)(3).	To ensure adequate submetering equipment, consumer protections and energy efficiency protections are in place.

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
<b>PUBLIC SERVICE COMMISSION</b>			
PSC-09-20-00004-P	..... exempt	Petition to submeter electricity.	To ensure adequate submetering equipment and consumer protections are in place.
PSC-09-20-00005-P	..... exempt	Petition for the use of gas metering equipment.	To ensure that consumer bills are based on accurate measurements of gas usage.
PSC-09-20-00006-P	..... exempt	Petition for the use of an electric meter in submetering applications.	Whether to permit the use of the GG electric meter in submetering applications in New York State.
PSC-10-20-00003-P	..... exempt	The Commission's statewide low-income discount policy.	To consider modifications to certain conditions regarding utility low-income discount programs.
PSC-10-20-00005-P	..... exempt	Partial waiver of the Order Adopting Changes to the Retail Access Energy Market and Establishing Further Process.	To consider whether Family Energy, Inc. should be granted a waiver to offer two "green gas" products to mass market customers.
PSC-11-20-00006-P	..... exempt	Notice of intent to submeter electricity.	To ensure adequate submetering equipment and consumer protections are in place.
PSC-11-20-00007-P	..... exempt	Deferral and recovery of incremental costs and establishment of an extraordinary repair escrow account.	To consider deferring costs related to water main leak repairs for subsequent recovery and establishment of an escrow account.
PSC-11-20-00008-P	..... exempt	Revisions to the proration tariff language.	To consider revisions to the proration tariff language under Leaf 18.1, 18 61 to 64 and Leaf 69.
PSC-11-20-00011-P	..... exempt	Application of the Public Service Law to owners of a proposed 345 kilovolt (kV) transmission line providing wholesale services.	To determine whether to apply a lightened regulatory regime to the owners of a proposed 345 kV transmission line.
PSC-12-20-00008-P	..... exempt	Delivery rates of Corning Natural Gas Corporation.	Whether to postpone the implementation of a change in rates that would otherwise become effective on June 1, 2020.
PSC-12-20-00010-P	..... exempt	Direct Energy, LLC's Green Gas Products.	To consider whether Direct Energy, LLC should be allowed to offer two Green Gas Products to mass market customers.
PSC-13-20-00006-P	..... exempt	Utility capital expenditure proposal.	To ensure safe and adequate service at just and reasonable rates charged to customers without undue preferences.
PSC-15-20-00011-P	..... exempt	To modify the terms and conditions under which gas utilities provide service to electric generators.	To provide clarity and uniformity to the provision of gas service to electric generators.
PSC-15-20-00012-P	..... exempt	Tariff filing.	To ensure that the utility provides safe, adequate, and reliable service at just and reasonable rates.
PSC-15-20-00013-P	..... exempt	Ownership of New York American Water Company, Inc.	To consider whether a proposed transfer of ownership of New York American Water Company, Inc. is in the public interest.
PSC-15-20-00014-P	..... exempt	Tariff filing.	To ensure that the utility provides safe, adequate, and reliable service at just and reasonable rates.



Agency I.D. No.	Expires	Subject Matter	Purpose of Action
<b>PUBLIC SERVICE COMMISSION</b>			
PSC-16-20-00003-P	..... exempt	Proposal to implement a competitive procurement process for participation in multi-year DLM and auto-DLM programs.	To establish a multi-year and auto-DLM programs that will improve demand response program offerings.
PSC-16-20-00004-P	..... exempt	Disposition of a state sales tax refund.	To determine how much of a state sales tax refund should be retained by Central Hudson.
PSC-16-20-00005-P	..... exempt	Proposal to implement a competitive procurement process for participation in multi-year DLM and auto-DLM programs.	To establish a multi-year and auto-DLM programs that will improve demand response program offerings.
PSC-16-20-00006-P	..... exempt	Proposal to implement a competitive procurement process for participation in multi-year DLM and auto-DLM programs.	To establish a multi-year and auto-DLM programs that will improve demand response program offerings.
PSC-16-20-00007-P	..... exempt	Proposed plan to implement a competitive procurement process for participation in multi-year DLM and auto-DLM programs.	To establish a multi-year and auto-DLM programs that will improve demand response program offerings.
PSC-16-20-00008-P	..... exempt	Extension of the ESA between New York State Electric & Gas Corporation and Nucor Steel Auburn, Inc.	To ensure safe and adequate service at just and reasonable rates charged to customers without undue preference.
PSC-16-20-00009-P	..... exempt	Proposal to implement a competitive procurement process for participation in multi-year DLM and auto-DLM programs.	To establish a multi-year and auto-DLM programs that will improve demand response program offerings.
PSC-16-20-00011-P	..... exempt	Proposal to implement a competitive procurement process for participation in multi-year DLM and auto-DLM programs.	To establish a multi-year and auto-DLM programs that will improve demand response program offerings.
PSC-17-20-00008-P	..... exempt	Partial waiver of the Order Adopting Changes to the Retail Access Energy Market and Establishing Further Process.	To consider whether Alpha Gas & Electric, LLC should be permitted to offer its Green Gas Program to mass market customers.
PSC-17-20-00009-P	..... exempt	Proposed filing to provide credits for AMI non-residential customer sided meters.	To ensure safe and adequate service at just and reasonable rates charged to customers without undue preferences.
PSC-17-20-00010-P	..... exempt	Tariff provisions for Non-Firm Demand Response service classes.	To consider appropriate tariff provisions for non-compliant Non-Firm Demand Response service customers.
PSC-17-20-00011-P	..... exempt	Tariff provisions for Non-Firm Demand Response service classes.	To consider appropriate tariff provisions for non-compliant Non-Firm Demand Response service customers.
PSC-17-20-00012-P	..... exempt	Tariff provisions for Interruptible and Off-Peak Firm Service Gas Customers.	To consider appropriate tariff provisions for non-compliant Interruptible and Off-Peak Firm Gas Customers.
PSC-18-20-00012-P	..... exempt	The purchase price of electric energy and capacity from customers with qualifying on-site generation facilities.	To revise the price to be paid by the Company under Service Classification No. 10. for qualifying purchases of unforced capacity
PSC-18-20-00013-P	..... exempt	Transfer of street light facilities.	To consider the transfer of street lighting facilities to the Village of Clyde.
PSC-18-20-00014-P	..... exempt	Tariff modifications to reduce customer costs related to relocating customer owned equipment for back-lot service relocations.	To facilitate the relocation of service lines owned by customers from the back of their lots to the front.

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
<b>PUBLIC SERVICE COMMISSION</b>			
PSC-18-20-00015-P	..... exempt	Participation of Eligible Telecommunications Carriers (ETCs) in New York State Lifeline Program.	Commission will consider each petition filed by an ETCs seeking approval to participate in the NYS Lifeline program.
PSC-19-20-00003-P	..... exempt	Continued implementation of the Clean Energy Standard.	To promote and maintain renewable and zero-emission electric energy resources.
PSC-19-20-00004-P	..... exempt	Clarification of the Order Adopting Changes to the Retail Access Energy Market and Establishing Further Process.	To consider whether energy service companies should be permitted to bank RECs to satisfy their renewable energy requirements.
PSC-19-20-00005-P	..... exempt	Cost recovery associated with Day-Ahead-DLM and Auto-DLM programs, and elimination of double compensation.	To provide cost recovery for new DLM programs and prevent double compensation to participating customers.
PSC-19-20-00009-P	..... exempt	Cost recovery associated with Day-Ahead-DLM and Auto-DLM programs, and elimination of double compensation.	To consider revisions to P.S.C. No. 10 - Electricity, and P.S.C. No. 12 - Electricity.
PSC-21-20-00005-P	..... exempt	Partial waiver of the Order Adopting Changes to the Retail Access Energy Market and Establishing Further Process.	To consider whether Kiwi Energy NY LLC should be permitted to offer its Green Gas Products to mass market customers.
PSC-21-20-00006-P	..... exempt	Transfer of street lighting facilities.	To consider the transfer of street lighting facilities to the Village of Dryden.
PSC-21-20-00007-P	..... exempt	The methodology for the calculation of reactive power demand.	To revise the methodology for the calculation of reactive power demand.
PSC-21-20-00008-P	..... exempt	Waiver of tariff rules and a related Commission regulation.	To consider whether a waiver of tariff rules and a Commission regulation are just and reasonable and in the public interest.
PSC-21-20-00009-P	..... exempt	Consideration of the NFG petition to modify Audit Implementation Plans.	To consider if modifying the Audit Implementation Plans are in the public interest.
PSC-21-20-00010-P	..... exempt	Transfer of street light facilities.	To consider the transfer of street lighting facilities to the Town of Thompson.
PSC-21-20-00011-P	..... exempt	Partial waiver of the Order Adopting Changes to the Retail Access Energy Market and Establishing Further Process.	To consider whether IGS Energy should be allowed to offer a Carbon-Neutral Gas Product and a Home Warranty Service Product.
PSC-22-20-00005-P	..... exempt	Waiver of certain Commission requirements related to the distribution of telephone directories.	To reduce unnecessary waste and disposal of directory listings.
PSC-22-20-00006-P	..... exempt	Proposed tariff amendment regarding the billing of customers participating in the Preservation Power Program.	To ensure safe and adequate service at just and reasonable rates charged to customers without undue preferences.
PSC-22-20-00007-P	..... exempt	Changes to PSL Section 66-p relating to billing information for residential rental premises.	To establish provisions as necessary to effectuate PSL Section 66-p.
PSC-23-20-00006-P	..... exempt	Partial waiver of the Order Adopting Changes to the Retail Access Energy Market and Establishing Further Process.	To consider whether IDT Energy, Inc. and Residents Energy, LLC should be permitted to offer Green Gas Products in New York.
PSC-23-20-00007-P	..... exempt	Partial waiver of the Order Adopting Changes to the Retail Access Energy Market and Establishing Further Process.	To consider whether American Power & Gas LLC should be permitted to offer its Green Gas Products to mass market customers.

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
<b>PUBLIC SERVICE COMMISSION</b>			
PSC-23-20-00008-P	..... exempt	Disposition of sales tax refund and other related matters.	To consider the appropriate allocation of the sales tax refund proceeds while balancing ratepayer and shareholder interests.
PSC-23-20-00009-P	..... exempt	Adjustments to the Non-Firm Revenue Sharing Mechanism.	To adjust the Non-Firm Revenue Sharing mechanism to increase firm customer sharing
PSC-23-20-00010-P	..... exempt	Partial waiver of the Order Adopting Changes to the Retail Access Energy Market and Establishing Further Process.	To consider whether AmeriChoice Energy should be permitted to offer its Green Gas Products to mass market customers.
PSC-24-20-00011-EP	..... exempt	Postponement of a rate increase.	To assist customers in a time of hardship.
PSC-24-20-00012-EP	..... exempt	Further postponement of a rate increase and waiver of a tariff rule.	To assist customers in a time of hardship.
PSC-24-20-00013-EP	..... exempt	Further postponement of the annual update of the low income discount credits.	To assist customers in a time of hardship.
PSC-24-20-00016-P	..... exempt	Partial waiver of the Order Adopting Changes to the Retail Access Energy Market and Establishing Further Process.	To consider whether the NRG Retail Companies should be permitted to offer Green Gas Products in New York.
PSC-24-20-00017-P	..... exempt	Changes to PSL Section 66-p relating to billing information for residential rental premises.	To establish provisions as necessary to effectuate PSL Section 66-p.
PSC-24-20-00018-P	..... exempt	Partial waiver of the Order Adopting Changes to the Retail Access Energy Market and Establishing Further Process.	To consider whether Atlantic Energy, LLC should be permitted to offer Green Gas Products to mass market customers in New York.
PSC-24-20-00019-P	..... exempt	Enwave Syracuse LLC and Syracuse Energy Concessionaire LLC's operation and maintenance of the SUSS and muni agreements.	To review a contract to operate, maintain and modernize the SUSS and three municipal road use agreements.
PSC-24-20-00020-P	..... exempt	Partial waiver of the Order Adopting Changes to the Retail Access Energy Market and Establishing Further Process.	To consider whether CenStar Energy, Major Energy Services, and Spark Energy Gas should be permitted to offer Green Gas Products.
PSC-25-20-00009-P	..... exempt	Petition for the use of electric metering equipment.	To ensure that consumer bills are based on accurate measurements of electric usage.
PSC-25-20-00010-P	..... exempt	Whitepaper regarding energy service company financial assurance requirements.	To consider the form and amount of financial assurances to be included in the eligibility criteria for energy service companies.
PSC-25-20-00011-P	..... exempt	Notice of intent to submeter electricity.	To ensure adequate submetering equipment and consumer protections are in place.
PSC-25-20-00012-P	..... exempt	Petition to submeter electricity.	To ensure adequate submetering equipment, consumer protections and energy efficiency protections are in place.
PSC-25-20-00013-P	..... exempt	Changes to PSL Section 66-p relating to billing information for residential rental premises.	To establish provisions as necessary to effectuate PSL Section 66-p.

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
<b>PUBLIC SERVICE COMMISSION</b>			
PSC-25-20-00014-P	..... exempt	Partial waiver of the Order Adopting Changes to the Retail Access Energy Market and Establishing Further Process.	To consider whether South Bay Energy Corp. should be permitted to offer Green Gas Products to mass market customers in New York.
PSC-25-20-00015-P	..... exempt	Staff whitepaper on a Data Access Framework.	To standardize the necessary privacy and cybersecurity requirements for access to energy-related data.
PSC-25-20-00016-P	..... exempt	Modifications to the Low-Income Affordability program.	To address the economic impacts of the COVID-19 pandemic.
PSC-25-20-00017-P	..... exempt	Partial waiver of the Order Adopting Changes to the Retail Access Energy Market and Establishing Further Process.	To consider whether Marathon Energy should be permitted to offer Green Gas Products to mass market customers in New York.
PSC-25-20-00018-P	..... exempt	Staff's whitepaper proposing an IEDR.	To collect and integrate a large and diverse set of energy-related information and data on one statewide platform.
PSC-25-20-00019-P	..... exempt	A Clean Energy Resources Development and Incentives Program.	To identify and develop renewable energy project sites for competitive auction to private developers.
PSC-25-20-00020-P	..... exempt	Transfer of street lighting facilities.	To determine whether to authorize the transfer of street lighting facilities and the proper accounting for the transaction.
PSC-26-20-00004-EP	..... exempt	Postponement of a rate increase.	To assist customers in a time of hardship.
PSC-26-20-00005-EP	..... exempt	Postponement of a rate increase.	To assist customers in a time of hardship.
PSC-26-20-00006-EP	..... exempt	Emergency financial relief.	To protect the health, safety and general welfare of low-income customers during the summer months.
PSC-26-20-00009-P	..... exempt	Escrow account modification and one-time surcharge.	To determine whether the Company's proposed changes to its Escrow Account and a one time surcharge is in the public interest.
PSC-26-20-00010-P	..... exempt	Waiver of certain Commission requirements related to the distribution of telephone directories.	The waiver should be considered because directory publishing is temporarily not feasible due to the COVID-19 pandemic.
PSC-26-20-00011-P	..... exempt	NYSERDA and Staff whitepaper regarding a clean energy regulatory structure.	To develop a renewable energy program to meet Climate Leadership and Community Protection Act goals.
PSC-27-20-00003-P	..... exempt	To make the uniform statewide customer satisfaction survey permanent.	To encourage consumer protections and safe and adequate service.
PSC-27-20-00004-P	..... exempt	Tariff modifications to implement programming changes to National Fuel Gas Distribution Corporation's SAP Billing System.	To ensure safe and adequate service at just and reasonable rates charged to customers without undue preferences.
PSC-28-20-00020-P	..... exempt	Compensation of distributed energy resources.	To ensure just and reasonable rates, including compensation, for distributed energy resources.
PSC-28-20-00021-P	..... exempt	Waiver of tariff provisions.	To ensure just and reasonable rates charged to customers without undue preference.

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
<b>PUBLIC SERVICE COMMISSION</b>			
PSC-28-20-00022-P	..... exempt	Compensation of distributed energy resources.	To ensure just and reasonable rates, including compensation, for distributed energy resources.
PSC-28-20-00023-P	..... exempt	Notice of intent to submeter electricity.	To ensure adequate submetering equipment and consumer protections are in place.
PSC-28-20-00024-P	..... exempt	Notice of intent to submeter electricity and request for waiver of 16 NYCRR § 96.5(k)(3).	To ensure adequate submetering equipment, consumer protections and energy efficiency protections are in place.
PSC-28-20-00025-P	..... exempt	Notice of intent to submeter electricity.	To ensure adequate submetering equipment, consumer protections and energy efficiency protections are in place.
PSC-28-20-00026-P	..... exempt	Petition to implement Section 7(5) of the Accelerated Renewable Energy Growth and Community Benefit Act.	To develop the bulk transmission investments necessary to achieve the Climate Leadership and Community Protection Act goals.
PSC-28-20-00027-P	..... exempt	Partial waiver of the Order Adopting Changes to the Retail Access Energy Market and Establishing Further Process.	To consider whether the petitioners should be permitted to offer Green Gas Products to mass market customers in New York.
PSC-28-20-00034-P	..... exempt	Petition to implement Section 7(5) of the Accelerated Renewable Energy Growth and Community Benefit Act	To develop the bulk transmission investments necessary to achieve the Climate Leadership and Community Protection Act goals
PSC-29-20-00007-P	..... exempt	Petition to transfer telephone and cable systems, franchises and assets.	Consider the proposed transfer of telephone and cable systems, franchises and assets.
PSC-29-20-00008-P	..... exempt	Modification to the Commission's Electric Safety Standards.	To consider revisions to the Commission's Electric Safety Standards.
PSC-29-20-00009-P	..... exempt	Niagara Mohawk Power Corporation d/b/a National Grid's economic development programs.	To consider modifications to Niagara Mohawk Power Corporation d/b/a National Grid's economic development assistance programs.
PSC-29-20-00011-P	..... exempt	Petition for waiver of the requirements of Opinion No. 76-17 and 16 NYCRR Part 96 regarding individual metering of living units.	To consider the petition of Opportunities for Broome, Inc for waiver of Opinion No. 76-17 and 16 NYCRR Part 96.
PSC-29-20-00012-P	..... exempt	Notice of intent to submeter electricity.	To ensure adequate submetering equipment and consumer protections are in place.
PSC-29-20-00013-P	..... exempt	Transfer of street lighting facilities.	To determine whether to authorize the transfer of street lighting facilities and the proper accounting for the transaction.
PSC-29-20-00014-P	..... exempt	Transfer of street lighting facilities.	To determine whether to authorize the transfer of street lighting facilities and the proper accounting for the transaction.
PSC-29-20-00015-P	..... exempt	Transfer of street lighting facilities.	To determine whether to authorize the transfer of street lighting facilities and the proper accounting for the transaction.
PSC-30-20-00006-P	..... exempt	Petition to submeter electricity and request for waiver of 16 NYCRR § 96.5(k)(3).	To ensure adequate submetering equipment, consumer protections and energy efficiency protections are in place.
PSC-30-20-00007-P	..... exempt	Tariff modifications to include provisions to enter into negotiated agreements for billing services.	To ensure safe and adequate service at just and reasonable rates charged to customers without undue preferences.

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
<b>PUBLIC SERVICE COMMISSION</b>			
PSC-31-20-00003-P	..... exempt	Authority to issue and sell promissory notes.	To consider the petition of National Fuel Gas Distribution Corporation to issue up to \$300 million in promissory notes.
PSC-31-20-00004-P	..... exempt	Submetering of electricity.	To ensure adequate submetering equipment and consumer protections are in place.
PSC-31-20-00005-P	..... exempt	Changes to PSL Section 66-p relating to billing information for residential rental premises.	To establish provisions as necessary to effectuate PSL Section 66-p.
PSC-31-20-00006-P	..... exempt	Authority to issue and sell Long-Term Indebtedness, Preferred Stock, Hybrid Securities and to enter into derivative instruments.	To consider RG&E's request for authority to issue and sell Long-Term Indebtedness
PSC-31-20-00007-P	..... exempt	Transfer of street lighting facilities.	To determine whether to authorize the transfer street of lighting facilities and the proper accounting for the transaction.
PSC-31-20-00008-P	..... exempt	Submetering of electricity.	To ensure adequate submetering equipment and consumer protections are in place.
PSC-31-20-00009-P	..... exempt	Partial waiver of the Order Adopting Changes to the Retail Access Energy Market and Establishing Further Process.	To consider whether Kiwi Energy NY LLC should be permitted to offer its Kiwi Guard product to mass market customers in New York.
PSC-31-20-00010-P	..... exempt	Submetering of electricity and waiver of energy audit.	To ensure adequate submetering equipment, consumer protections and energy efficiency protections are in place.
PSC-31-20-00011-P	..... exempt	Submetering of electricity.	To ensure adequate submetering equipment and consumer protections are in place.
PSC-32-20-00009-P	..... exempt	Transfer of street light facilities.	To determine whether to authorize the transfer of street lighting facilities and the proper accounting for the transaction.
PSC-32-20-00010-P	..... exempt	Procurement of Tier 1 RECs.	Management of renewable energy procurements to meet state goals and benefit ratepayers.
PSC-32-20-00011-P	..... exempt	Sale of transmission line and related property rights.	To determine whether to authorize the transfer of a 1.42 mile transmission line and the proper accounting for the transaction.
PSC-32-20-00012-P	..... exempt	Transfer of street lighting facilities.	To determine whether to authorize the transfer of street lighting facilities and the proper accounting for the transaction.
PSC-32-20-00013-P	..... exempt	Authorization for RED-Rochester, LLC to incur indebtedness of up to \$200 million.	To ensure that the proposed debt financing is within the public interest.
PSC-32-20-00014-P	..... exempt	The term for retention of a monetary crediting methodology.	To provide sufficient revenues to support financing, realize promised benefits from the project, and repay necessary re-work.
PSC-32-20-00015-P	..... exempt	Petition for waiver of the requirements of 16 NYCRR Part 96 regarding individual metering of living units.	To consider the petition of St. Paul's Center to master meter and for waiver of 16 NYCRR Part 96.



Agency I.D. No.	Expires	Subject Matter	Purpose of Action
<b>PUBLIC SERVICE COMMISSION</b>			
PSC-32-20-00016-P	..... exempt	Transfer of street lighting facilities.	To determine whether to authorize the transfer of street lighting facilities and the proper accounting for the transaction.
PSC-32-20-00017-P	..... exempt	Transfer of street light facilities.	To determine whether to authorize the transfer of street lighting facilities and the proper accounting for the transaction.
PSC-33-20-00003-P	..... exempt	Transfer of street lighting facilities.	To determine whether to authorize the transfer of street lighting facilities and the proper accounting for the transaction.
PSC-33-20-00004-P	..... exempt	Transfer of street lighting facilities.	To determine whether to authorize the transfer of street lighting facilities and the proper accounting for the transaction.
PSC-34-20-00004-P	..... exempt	Notice of intent to submeter electricity and waiver of energy audit requirement.	To ensure adequate submetering equipment, consumer protections and energy efficiency protections are in place.
PSC-34-20-00005-P	..... exempt	Petition to provide a renewable, carbon-free energy option to residential and small commercial full-service customers.	To increase customer access to renewable energy in the Consolidated Edison Company of New York, Inc. service territory.
PSC-34-20-00006-P	..... exempt	Waiver of tariff rules and a related Commission regulation.	To consider whether a waiver of tariff rules and a Commission regulation are just and reasonable and in the public interest.
PSC-34-20-00007-P	..... exempt	Transfer of street light facilities.	To consider the transfer of street lighting facilities to the Town of Bethel.
<b>STATE, DEPARTMENT OF</b>			
DOS-26-20-00008-P	..... 07/01/21	Creation of a cease and desist zone within Kings County	To adopt a cease and desist zone for a designated area within Kings County
DOS-34-20-00003-P	..... 08/26/21	Access to domestic violence and sexual assault awareness education courses	To provide access to domestic violence and sexual assault awareness education courses as mandated by the General Business Law
<b>STATE UNIVERSITY OF NEW YORK</b>			
SUN-53-19-00002-P	..... 12/30/20	Proposed amendments to the traffic and parking regulations at State University of New York College at Old Westbury	Amend existing regulations to update traffic and parking regulations
SUN-53-19-00005-P	..... 12/30/20	Proposed amendments to the traffic and parking regulations at State University Agricultural and Technical College at Morrisville	Amend existing regulations to update traffic and parking regulations
SUN-28-20-00028-EP	..... 07/15/21	Tuition, Fees and Charges	To authorize the waiver of admission application fees for active-duty military service members and their dependents.
SUN-29-20-00004-EP	..... 07/22/21	State basic financial assistance for the operating expenses of community colleges under the program of SUNY and CUNY	To modify limitations formula for basic State financial assistance and remove an operating support "floor"

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
<b>STATE UNIVERSITY OF NEW YORK</b>			
SUN-29-20-00005-EP	07/22/21	Student Assembly Elections, Student Assembly Officers, Campus Government Elections, Student Activity Fees	To postpone voting on student activity fees and elections of Student Assembly representatives and officers until Fall 2020
<b>STATEN ISLAND RAPID TRANSIT OPERATING AUTHORITY</b>			
SIR-20-20-00003-EP	05/20/21	The conduct and safety of the public in the use of terminals, stations and trains operated by Staten Island Rapid Transit Auth	To safeguard the public health and safety by amending rules concerning appropriate and safe use of terminals and stations.
<b>TAXATION AND FINANCE, DEPARTMENT OF</b>			
TAF-02-20-00001-EP	01/14/21	Property tax levy limits for school districts in relation to certain costs resulting from capital local expenditures	To implement Education Law 2023-a relating to certain costs resulting from capital local expenditures of school districts
TAF-33-20-00002-P	exempt	Fuel use tax on motor fuel and diesel motor fuel and the art. 13-A carrier tax jointly administered therewith	To set the sales tax component and the composite rate per gallon for the period October 1, 2020 through December 31, 2020
<b>TEMPORARY AND DISABILITY ASSISTANCE, OFFICE OF</b>			
TDA-16-20-00012-P	04/22/21	New York State Combined Application Project (NYSCAP)	To implement the NYSCAP, a new combined application project for recipients of Supplemental Security Income benefits, who have been designated as Live-Alone by the Social Security Administration and the State-funded SSI State Supplement Program
TDA-26-20-00007-P	07/01/21	Supplemental Security Income (SSI) Additional State Payments	To clarify who participates, the intended uses for benefits, that benefits won't be issued once a death is verified, time frames to report and circumstances when underpayment/retroactive benefits will issue, and NYS operates SSP under State rules
<b>THOROUGHBRED BREEDING AND DEVELOPMENT FUND</b>			
TBD-34-20-00008-P	08/26/21	Residency requirement for dam of New York bred foal	To enable the Fund to improve agriculture and Thoroughbred horse breeding in New York
<b>THRUWAY AUTHORITY, NEW YORK STATE</b>			
THR-01-20-00003-P	01/07/21	Toll rate adjustments on the New York State Thruway system.	To provide for toll rate adjustments necessary to support the Authority's financial obligations.
<b>TRIBOROUGH BRIDGE AND TUNNEL AUTHORITY</b>			
TBA-26-20-00001-P	exempt	A proposal to establish a new crossing charge schedule for use of bridges and tunnels operated by TBTA	To provide for the implementation of split tolling at TBTA's Verrazzano-Narrows Bridge as required by federal law
<b>WORKERS' COMPENSATION BOARD</b>			
WCB-37-19-00002-P	09/10/20	Applications for Reopenings	Clarify the process for reopening a case that has been previously closed

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
<b>WORKERS' COMPENSATION BOARD</b>			
WCB-23-20-00001-P	..... 06/10/21	Submission of medical bills and reports	To allows the single mailing address and format prescribed by the chair for submission of bills and medical reports
WCB-23-20-00002-P	..... 06/10/21	Medical Treatment Guidelines	Repeal carpal tunnel syndrome MTG and replace with hand,wrist, and forearm, and add asthma
WCB-23-20-00004-P	..... 06/10/21	EDI system updates	To require carriers to report certain credits taken for payments to claimants; biannual reports; EDI 3.1 updates
WCB-28-20-00003-EP	..... 07/15/21	Adding COVID-19 diagnosis by a health care provider as a serious health condition for purposes of Paid Family Leave	To clarify that employees may take PFL to care for a family member with COVID-19



# ADVERTISEMENTS FOR BIDDERS/CONTRACTORS

## SEALED BIDS

### REPAIR ELEVATOR

Elmira Correctional Facility  
Elmira, Chemung County

Sealed bids for Project Nos. Q1780-C, Q1780-H, Q1780-E and Q1780-U, comprising separate contracts for Construction Work, HVAC Work, Electrical Work, and Elevator Work, Repair Elevator, Building 99, Elmira Correctional Facility, 1879 Davis Street, Elmira (Chemung County), NY, will be received by the Office of General Services (OGS), Design & Construction Group (D&C), Division of Contract Management, 35th Fl., Corning Tower, Empire State Plaza, Albany, NY on behalf of the Department of Corrections and Community Supervision, until 2:00 p.m. on Wednesday, September 16, 2020, when they will be publicly opened and read. Each bid must be prepared and submitted in accordance with the Instructions to Bidders and must be accompanied by a bid security (i.e. certified check, bank check, or bid bond in the amount of \$4,100 for C, \$4,100 for H, \$21,200 for U, and \$15,200 for E).

All successful bidders will be required to furnish a Performance Bond and a Labor and Material Bond pursuant to Sections 136 and 137 of the State Finance Law, each for 100% of the amount of the Contract estimated to be between \$25,000 and \$50,000 for C, between \$25,000 and \$50,000 for H, between \$250,000 and \$500,000 for U, and between \$100,000 and \$250,000 for E.

Pursuant to State Finance Law §§ 139-j and 139-k, this solicitation includes and imposes certain restrictions on communications between OGS D&C and a bidder during the procurement process. A bidder is restricted from making contacts from the earliest posting, on the OGS website, in a newspaper of general circulation, or in the Contract Reporter of written notice, advertisement or solicitation of offers through final award and approval of the contract by OGS D&C and the Office of the State Comptroller ("Restricted Period") to other than designated staff unless it is a contact that is included among certain statutory exceptions set forth in State Finance Law § 139-j(3)(a). Designated staff are John Pupons, Jessica Hoffman and Pierre Alric in the Division of Contract Management, telephone (518) 474-0203, fax (518) 473-7862 and John Lewyckyj, Deputy Director, Design & Construction Group, telephone (518) 474-0201, fax (518) 486-1650. OGS D&C employees are also required to obtain certain information when contacted during the restricted period and make a determination of the responsibility of the bidder pursuant to these two statutes. Certain findings of non-responsibility can result in rejection for contract award and in the event of two findings within a four-year period, the bidder is debarred from obtaining governmental Procurement Contracts. Bidders responding to this Advertisement must familiarize themselves with the State Finance Law requirements and will be expected to affirm that they understand and agree to comply on the bid form. Further information about these requirements can be found within the project manual or at: <http://www.ogs.ny.gov/aboutOGS/regulations/defaultAdvisoryCouncil.html>

The substantial completion date for this project is 257 days after the Agreement is approved by the Comptroller.

Pursuant to Public Buildings Law § 8(6), effective January 11, 2020, for any projects where the project design commenced on or af-

ter January 1, 2020 and for any contracts over \$5,000 for the work of construction, reconstruction, alteration, repair, or improvement of any State building, a responsible and reliable NYS-certified Minority or Women-Owned Business Enterprise that submits a bid within ten percent of the lowest bid will be deemed the apparent low bidder provided that the bid is \$1,400,000 or less, as adjusted annually for inflation beginning January 1, 2020. If more than one responsible and reliable MWBE firm meets these requirements, the MWBE firm with the lowest bid will be deemed the apparent low bidder.

  X   Project commenced design before January 1, 2020. Not subject to provision.

       Project commenced design on or after January 1, 2020. Subject to provision.

The Office of General Services reserves the right to reject any or all bids.

The Bidding and Contract Documents for this Project are available on compact disc (CD) only, and may be obtained for an \$8.00 deposit per set, plus a \$2.00 per set shipping and handling fee. Pursuant to State Finance Law § 143(1), effective January 11, 2020, the required deposit will be waived upon request by any Minority- and Women-Owned Business Enterprise certified pursuant to Article 15-A of the Executive Law or any Service-Disabled Veteran-Owned Business Enterprise certified pursuant to Article 17-B of the Executive Law. Contractors and other interested parties can order CD's on-line through a secure web interface available 24 hours a day, 7 days a week. Please use the following link at the OGS website for ordering and payment instructions: <https://online.ogs.ny.gov/dnc/contractorConsultant/esb/ESBPlansAvailableIndex.asp>

For questions about purchase of bid documents, please send an e-mail to [DCPlans@ogs.ny.gov](mailto:DCPlans@ogs.ny.gov), or call (518) 474-0203.

For additional information on this project, please use the link below and then click on the project number: <https://online.ogs.ny.gov/dnc/contractorConsultant/esb/ESBPlansAvailableIndex.asp>

By *John D. Lewyckyj, Deputy Director*  
OGS - Design & Construction Group

### INVESTIGATE OIL WATER SEPARATOR Troop B Headquarters Ray Brook, Essex County

Sealed bids for Project No. Q1796-C, comprising a contract for Construction Work, Investigate Oil Water Separator, Troop B Headquarters, 1097 Route 86 Box 100, Ray Brook (Essex County), NY, will be received by the Office of General Services (OGS), Design & Construction Group (D&C), Division of Contract Management, 35th Fl., Corning Tower, Empire State Plaza, Albany, NY 12242, on behalf of the New York State Police, until 2:00 p.m. on Wednesday, September 2nd, 2020, when they will be publicly opened and read. Each bid must be prepared and submitted in accordance with the Instructions to Bidders and must be accompanied by a bid security (i.e. certified check, bank check, or bid bond in the amount of \$18,700 for C).

Further, Wicks Exempt Projects require a completed form BDC 59 (Wicks Exempt List of Contractors) be filled out and submitted (included in a separate, sealed envelope) in accordance with Docu-

ment 002220, Supplemental Instructions to Bidders – Wicks Exempt. Failure to submit this form correctly will result in a disqualification of the bid.

All successful bidders will be required to furnish a Performance Bond and a Labor and Material Bond pursuant to Sections 136 and 137 of the State Finance Law, each for 100% of the amount of the Contract estimated to be between \$250,000 and \$500,000 for C.

Pursuant to State Finance Law §§ 139-j and 139-k, this solicitation includes and imposes certain restrictions on communications between OGS D&C and a bidder during the procurement process. A bidder is restricted from making contacts from the earliest posting, on the OGS website, in a newspaper of general circulation, or in the Contract Reporter of written notice, advertisement or solicitation of offers through final award and approval of the contract by OGS D&C and the Office of the State Comptroller (“Restricted Period”) to other than designated staff unless it is a contact that is included among certain statutory exceptions set forth in State Finance Law § 139-j(3)(a). Designated staff are John Pupons, Jessica Hoffman and Pierre Alric in the Division of Contract Management, telephone (518) 474-0203, fax (518) 473-7862 and John Lewyckyj, Deputy Director, Design & Construction Group, telephone (518) 474-0201, fax (518) 486-1650. OGS D&C employees are also required to obtain certain information when contacted during the restricted period and make a determination of the responsibility of the bidder pursuant to these two statutes. Certain findings of non-responsibility can result in rejection for contract award and in the event of two findings within a four-year period, the bidder is debarred from obtaining governmental Procurement Contracts. Bidders responding to this Advertisement must familiarize themselves with the State Finance Law requirements and will be expected to affirm that they understand and agree to comply on the bid form. Further information about these requirements can be found within the project manual or at: <http://www.ogs.ny.gov/aboutOGS/regulations/defaultAdvisoryCouncil.html>

The substantial completion date for this project is 199 days after the Agreement is approved by the Comptroller.

Pursuant to Public Buildings Law § 8(6), effective January 11, 2020, for any projects where the project design commenced on or after January 1, 2020 and for any contracts over \$5,000 for the work of construction, reconstruction, alteration, repair, or improvement of any State building, a responsible and reliable NYS-certified Minority or Women-Owned Business Enterprise that submits a bid within ten percent of the lowest bid will be deemed the apparent low bidder provided that the bid is \$1,400,000 or less, as adjusted annually for inflation beginning January 1, 2020. If more than one responsible and reliable MWBE firm meets these requirements, the MWBE firm with the lowest bid will be deemed the apparent low bidder.

☒ Project commenced design before January 1, 2020. Not subject to provision.

☐ Project commenced design on or after January 1, 2020. Subject to provision.

Pursuant to New York State Executive Law Article 15-A and the rules and regulations promulgated thereunder, OGS is required to promote opportunities for the maximum feasible participation of New York State-certified Minority- and Women-owned Business Enterprises (“MWBEs”) and the employment of minority group members and women in the performance of OGS contracts. All bidders are expected to cooperate in implementing this policy. OGS hereby establishes an overall goal of 30% for MWBE participation, 15% for Minority-Owned Business Enterprises (“MBE”) participation and 15% for Women-Owned Business Enterprises (“WBE”) participation (based on the current availability of qualified MBEs and WBEs). The total contract goal can be obtained by utilizing any combination of MBE and/or WBE participation for subcontracting and supplies acquired under this Contract.

The Office of General Services reserves the right to reject any or all bids.

The Bidding and Contract Documents for this Project are available on compact disc (CD) only, and may be obtained for an \$8.00 deposit per set, plus a \$2.00 per set shipping and handling fee. Pursuant to State Finance Law § 143(1), effective January 11, 2020, the required

deposit will be waived upon request by any Minority- and Women-Owned Business Enterprise certified pursuant to Article 15-A of the Executive Law or any Service-Disabled Veteran-Owned Business Enterprise certified pursuant to Article 17-B of the Executive Law. Contractors and other interested parties can order CD’s on-line through a secure web interface available 24 hours a day, 7 days a week. Please use the following link for ordering and payment instructions: <https://online.ogs.ny.gov/dnc/contractorConsultant/esb/ESBPlansAvailableIndex.asp>

For questions about purchase of bid documents, please send an e-mail to [DCPlans@ogs.ny.gov](mailto:DCPlans@ogs.ny.gov), or call (518) 474-0203.

For additional information on this project, please use the link below and then click on the project number: <https://online.ogs.ny.gov/dnc/contractorConsultant/esb/ESBPlansAvailableIndex.asp>

By *John D. Lewyckyj, Deputy Director*  
OGS - Design & Construction Group



# MISCELLANEOUS NOTICES/HEARINGS

## Notice of Abandoned Property Received by the State Comptroller

Pursuant to provisions of the Abandoned Property Law and related laws, the Office of the State Comptroller receives unclaimed monies and other property deemed abandoned. A list of the names and last known addresses of the entitled owners of this abandoned property is maintained by the office in accordance with Section 1401 of the Abandoned Property Law. Interested parties may inquire if they appear on the Abandoned Property Listing by contacting the Office of Unclaimed Funds, Monday through Friday from 8:00 a.m. to 4:30 p.m., at:

1-800-221-9311  
or visit our web site at:  
[www.osc.state.ny.us](http://www.osc.state.ny.us)

Claims for abandoned property must be filed with the New York State Comptroller's Office of Unclaimed Funds as provided in Section 1406 of the Abandoned Property Law. For further information contact: Office of the State Comptroller, Office of Unclaimed Funds, 110 State St., Albany, NY 12236.

## PUBLIC NOTICE

Division of Criminal Justice Services  
Law Enforcement Agency Accreditation Council

Pursuant to Public Officers Law § 104, the NYS Division of Criminal Justice Services gives notice of a virtual meeting of the Law Enforcement Agency Accreditation Council to be held on:

Date: Thursday, September 3, 2020  
Time: 10:00 a.m.

*For further information, please contact:* Division of Criminal Justice Services, Office of Public Safety, Alfred E. Smith Office Bldg., 80 S. Swan St., Albany, NY 12210, 518-457-2667

Live Webcast will be available as soon as the meeting commences at: <http://www.criminaljustice.ny.gov/pio/openmeetings.htm>

## PUBLIC NOTICE

Division of Criminal Justice Services  
Municipal Police Training Council

Pursuant to Public Officers Law § 104, the NYS Division of Criminal Justice Services gives notice of a virtual meeting of the Municipal Police Training Council to be held on:

Date: Wednesday, September 2, 2020  
Time: 10:00 a.m.

*For further information, please contact:* Division of Criminal Justice Services, Office of Public Safety, Alfred E. Smith Office Bldg., 80 S. Swan St., Albany, NY 12210, 518-457-2667

Live Webcast will be available as soon as the meeting commences at: <http://www.criminaljustice.ny.gov/pio/openmeetings.htm>

## PUBLIC NOTICE

Department of State  
Uniform Code Variance/Appeal Petitions

Pursuant to 19 NYCRR Part 1205, the variance and appeal petitions below have been received by the Department of State. Unless other-

wise indicated, they involve requests for relief from provisions of the New York State Uniform Fire Prevention and Building Code. Persons wishing to review any petitions, provide comments, or receive actual notices of any subsequent proceeding may contact Brian Tollisen or Neil Collier, Building Standards and Codes, Department of State, One Commerce Plaza, 99 Washington Ave., Albany, NY 12231, (518) 474-4073 to make appropriate arrangements.

2020-0206 Matter of Dov Hadas, Aia, 600 Shore Road, Long Beach, NY 11561 for a variance concerning, plumbing fixture requirements, involved is an existing building located at 588 W. Merrick Road, Incorporated Village of Valley Stream, County of Nassau, State of New York.

2020-0345 Matter of Michael James Palladino, RA, 1259 Route 25A, Suite 4, Stony Brook, NY 11790 for a variance concerning plumbing fixture and accessibility requirements, involved is an existing building located at 1117 Old Country Road, Town of Oyster Bay, County of Nassau, State of New York.

2020-0219 Matter of Mark Anthony Architects, 1563 Bellmore Avenue, Bellmore, NY 11710, for a variance concerning fire safety requirements, including ceiling heights, involved is an existing dwelling located at 1430 Newbridge Road, Town of Hempstead, County of Nassau, State of New York.

2020-0301 Matter of WXY Architecture + Urban Design, 224 Centre Street, 5th Floor, New York, NY 10013 for a variance concerning fire protection, plumbing fixture and construction requirements, involved is an existing passenger rail train station, the building is known as the LIRR Mineola Station and is located at Front Street & Mineola Boulevard, Village of Mineola, County of Nassau, State of New York.

2020-0290 Matter of Spaces Architects, 410 West Montauk Highway, Suite 2, Lindenhurst, NY 11757, for a variance concerning fire safety requirements, including ceiling heights, involved is an existing dwelling located at 1288 Jackson Avenue, Town of Babylon, County of Suffolk, State of New York.

2020-0205 Matter of Cannondesign, 360 Madison Avenue, 11th Floor, New York NY 10017 for a variance concerning mechanical ventilation requirements, involved is an existing building known as Building 21 on the Creedmore Psychiatric Campus located at 80-45 Winchester Boulevard, County of Queens, State of New York.

2020-0224 Matter of MVA Realty LLC, 89 Carlough Road, Bohemia, NY 11797 for a variance concerning plumbing fixture requirements, involved is an existing building located at 90 Crossways Drive West, Town of Oyster Bay, County of Nassau, State of New York.

2020-0220 Matter of WXY Architecture + Urban Design, 224 Centre Street, 5th Floor, New York, NY 10013 for a variance concerning fire protection, plumbing fixture and construction requirements, involved is an existing light rail train station, the building is known as the LIRR New Hyde Park Station and is located at 2nd & 3rd Avenues between 11th Street and New Hyde Park Road, Village of Garden City, County of Nassau, State of New York.

## PUBLIC NOTICE

Department of State  
Uniform Code Variance/Appeal Petitions

Pursuant to 19 NYCRR Part 1205, the variance and appeal petitions below have been received by the Department of State. Unless other-

wise indicated, they involve requests for relief from provisions of the New York State Uniform Fire Prevention and Building Code. Persons wishing to review any petitions, provide comments, or receive actual notices of any subsequent proceeding may contact Brian Tollisen or Neil Collier, Building Standards and Codes, Department of State, One Commerce Plaza, 99 Washington Ave., Albany, NY 12231, (518) 474-4073 to make appropriate arrangements.

2020-0355 In the matter of Valentyna Humenyuk, 104-106 Whitesboro Street, Village of Yorkville, NY 13495 for a variance concerning requirements for a fire rated cellar ceiling and cellar stair enclosure.

Involved is an existing multiple residence occupancy, three stories in height, located at 104-106 Whitesboro Street, Village of Yorkville, County of Oneida, State of New York.

## PUBLIC NOTICE

### Department of State Uniform Code Variance/Appeal Petitions

Pursuant to 19 NYCRR Part 1205, the variance and appeal petitions below have been received by the Department of State. Unless otherwise indicated, they involve requests for relief from provisions of the New York State Uniform Fire Prevention and Building Code. Persons wishing to review any petitions, provide comments, or receive actual notices of any subsequent proceeding may contact Brian Tollisen or Neil Collier, Building Standards and Codes, Department of State, One Commerce Plaza, 99 Washington Ave., Albany, NY 12231, (518) 474-4073 to make appropriate arrangements.

2020-0357 In the matter of Denielle Adibi, P.O. Box 6802, Ithaca, NY 14851, concerning safety requirements including a variance for a reduction in required height of an existing interior stair guardrail.

Involved is the certificate of compliance inspection of an existing residential occupancy, two stories in height, located at 129 Linn Street, City of Ithaca, County of Tompkins, State of New York.

# FINANCIAL REPORTS

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**Department of  
Taxation and Finance**

## **Depositories for the Funds of the State of New York**

**Month End: July 31, 2020**

Prepared by the Division of the Treasury  
Investments, Cash Management and Accounting Operations

A handwritten signature in black ink, appearing to read "M R Schmidt".

Michael R Schmidt  
Commissioner

ACCOUNT DESCRIPTION	DEPOSITORY	BALANCE AS OF 07/31/2020
<b>ACCOUNTS HELD IN JOINT CUSTODY BY THE COMMISSIONER OF TAXATION FINANCE AND THE NEW YORK STATE COMPTROLLER</b>		
Unemployment Insurance Funding Account	Key Bank	10,846,802.34
Occupational Training Act Funding Account	Key Bank	89,581.00
Unemployment Insurance Exchange Account	Key Bank	0.00
PIT Special Refund Account	JPMorgan Chase Bank, N.A.	(246,417,960.41)
General Checking	Key Bank	(528,401,857.59)
Direct Deposit Account	Wells Fargo	86,088.89
<b>TOTAL</b>		<b>(265,724,951.82)</b>
<b>01000 - EXECUTIVE CHAMBER</b>		
Executive Chamber Advance Account	Key Bank	No report received
<b>01010 - DIVISION OF BUDGET</b>		
Advance Account	Bank of America, N.A.	5,000.00
<b>01030 - DIVISION OF ALCOHOLIC BEVERAGE CONTROL</b>		
Albany		
SLA Investigations Account	Key Bank	1,741.45
<b>01050 - OFFICE OF GENERAL SERVICES</b>		
Exec Mansion Official Function	Key Bank	33,255.55
NY ISO Account	Key Bank	8,484,369.22
OGS Binghamton Office Bldg	Key Bank	0.00
SNY Office of General Services	JPMorgan Chase Bank, N.A.	537.12
State of New York OGS Escrow II	Key Bank	2,817,894.73
State of New York OGS Petty Cash	Key Bank	293,889.51
State of New York OGS Escrow	Key Bank	102,529.72
State of NY Office Of General Services	Key Bank	0.00
<b>01060 - DIVISION OF STATE POLICE</b>		
CNET Confidential Account	Key Bank	0.00
Div Headquarters - Petty Cash	Key Bank	2,031.75
Key Advantage Account	Key Bank	89,189.52
Manhattan Office-confidential	JPMorgan Chase Bank, N.A.	500.00
NYS Police Special Account	Key Bank	1,026,531.95
NYSP CTIU Confidential Fund	Key Bank	4,000.00
SIU Confidential Fund Account	Key Bank	6,629.04
Special Fund	Key Bank	49,188.48
State Police Receipts Account	Bank of America, N.A.	197,756.81
Troop A Batavia - Petty Cash	Bank of America, N.A.	1,000.00
Troop A Batavia-Confidential	Bank of America, N.A.	3,300.00
Troop B Confidential	Key Bank	6,400.00
Troop B Petty Cash	Community Bank	1,000.00
Troop C Confidential Fund	NBT Bank	1,800.00
Troop C Petty Cash	NBT Bank	1,000.00
Troop D Oneida - Confidential	Alliance Bank	3,000.00
Troop D Oneida Petty Cash	Alliance Bank	1,000.00
Troop E Canandaigua Confidential	Canandaigua National Bank	2,000.00
Troop E Petty Cash	Canandaigua National Bank	1,000.00
Troop F Confidential	JPMorgan Chase Bank, N.A.	2,500.00
Troop F Petty Cash	JPMorgan Chase Bank, N.A.	1,000.00
Troop G Loudonville Conf	Bank of America, N.A.	4,182.71
Troop G Petty Cash	Bank of America, N.A.	1,000.00
Troop K Petty Cash	Bank of Millbrook	1,000.00
Troop K Poughkeepsie-Confidential	Bank of Millbrook	5,995.87
Troop L Confidential Fund	Bank of America, N.A.	7,500.00
<b>01070 - DIVISION OF MILITARY &amp; NAVAL AFFAIRS</b>		
Advance For Travel	Key Bank	10,971.82
SNY Camp Smith Billeting Fund	JPMorgan Chase Bank, N.A.	8,759.02
<b>01077 - OFFICE OF HOMELAND SECURITY</b>		
Academy Of Fire Science	Chemung Canal Trust	No report received
<b>01080 - DIVISION OF HOUSING &amp; COMMUNITY RENEWAL</b>		
Albany Office Of Financial Administration		
Maximum Base Rent Fee Account	JPMorgan Chase Bank, N.A.	16,544.53
Revenue Account	JPMorgan Chase Bank, N.A.	694,006.00
<b>01090 - DIVISION OF HUMAN RIGHTS</b>		
Petty Cash Fund Account	JPMorgan Chase Bank, N.A.	No report received
<b>01150 - OFFICE OF EMPLOYEE RELATIONS</b>		
GOER Panel Administration Escrow Account	Key Bank	3,830.07
NYS Flex Spending	Key Bank	140,022.34
State of New York LMC Petty Cash Account	Key Bank	2,000.00
<b>01160 - JUSTICE CENTER FOR THE PROTECTION OF PEOPLE WITH SPECIAL NEEDS</b>		
Agency Advance Account	Key Bank	1,953.16
<b>01300 - ADIRONDACK PARK AGENCY</b>		

General Fund	Community Bank	752.00
Petty Cash	Community Bank	3,537.27
<b>01400 - CRIME VICTIMS COMPENSATION BOARD</b>		
Crime Victims	JPMorgan Chase Bank, N.A.	149,680.00
Emergency Award Account	M&T Bank	0.00
Emergency Claims	Key Bank	14,111.50
Petty Cash Account	Key Bank	1,550.00
REST/SUBROG Escrow Account	Key Bank	389.66
<b>01490 - DIVISION OF CRIMINAL JUSTICE SERVICES</b>		
Advance Account	Bank of America, N.A.	2,897.00
FBI Fee Account	Bank of America, N.A.	3,559.46
Fingerprint Fee Account	Bank of America, N.A.	2,270,480.50
<b>01530 - STATE COMMISSION OF CORRECTION</b>		
Advance Account	Bank of America, N.A.	2,000.00
<b>01540 - STATE BOARD OF ELECTIONS</b>		
Revenue Account	Key Bank	No report received
<b>01620 - OFFICE FOR PREVENTION OF DOMESTIC VIOLENCE</b>		
NYS Prevention Domestic Violence	Bank of America, N.A.	No report received
<b>02000 - OFFICE OF THE STATE COMPTROLLER</b>		
Admissions	Bank of America, N.A.	2,019.83
Advance for Travel Account	Key Bank	No report received
Alcohol Beverage	Bank of America, N.A.	113,160.94
Alcoholic Bev Control License	Wells Fargo Bank	397,648.34
Alcoholic Beverage Control License	M&T Bank	111,345.94
Assessments Bulk	JPMorgan Chase Bank, N.A.	124,413.26
Assessments Receivable	JPMorgan Chase Bank, N.A.	7,341,219.76
Assessments Receivable (EFT)	Wells Fargo Bank	259,539.33
Boxing And Wrestling Tax	Bank of America, N.A.	64,522.09
Check 21 Corporation Tax	JPMorgan Chase Bank, N.A.	241,895.89
Check 21 Estate Tax	JPMorgan Chase Bank, N.A.	2,775,139.62
Check 21 Highway Use	JPMorgan Chase Bank, N.A.	548,732.77
Check 21 PIT	JPMorgan Chase Bank, N.A.	861,508.75
Check 21 Real Estate Transfer	JPMorgan Chase Bank, N.A.	142,906.99
Check Sales Tax	JPMorgan Chase Bank, N.A.	480,149.16
Cigarette Stamp Tax	JPMorgan Chase Bank, N.A.	300.00
Cigarette Stamp Tax (EFT)	Wells Fargo Bank	30,884,106.59
Cigarette Stamp Tax Split	JPMorgan Chase Bank, N.A.	103,431.71
Cigarette Tax Tobacco Products	Bank of America, N.A.	27,311.08
Congestion Surcharge	Wells Fargo Bank	113,717.42
Corporation Tax	Wells Fargo Bank	416.53
Corporation Tax - Coupon Acct.	JPMorgan Chase Bank, N.A.	90,659.72
Educational Chartable Account	Wells Fargo Bank	0.00
Employer Compensation Expense	Wells Fargo Bank	101,362.26
Encon Beverage Container Deposit/Bottle Bill (EFT)	Wells Fargo Bank	200,453.87
ERS Petty Cash Acct	Key Bank	No report received
Estimated Tax	JPMorgan Chase Bank, N.A.	7,771,132.19
Gift Tax	Bank of America, N.A.	0.00
Hazardous Waste	Key Bank	75,427.53
Highway Use - Permits & Reg.	Bank of America, N.A.	13,998.18
Highway Use Truck Mileage Tax (EFT)	Wells Fargo Bank	3,323,817.57
Hudson River-Black River	Bank of America, N.A.	585,456.23
Hudson River-Black River	Community Bank	109,165.98
Hut/Oscar Registrations & Renewals (EFT)	Wells Fargo Bank	29,597.00
IFTA Fuel Use Tax (EFT)	Wells Fargo Bank	463,610.41
IFTA/Oscar Renewals (EFT)	Wells Fargo Bank	0.00
IFTA-Decal/Permit Fee Acct.	Bank of America, N.A.	23,607.00
IFTA-Fuel Use	Bank of America, N.A.	65,971.78
Income Tax - LLC	JPMorgan Chase Bank, N.A.	67,975.72
Justice Court	Key Bank	38,178.83
Mac #847 NYS T&F Pari Mutuel	Key Bank	562,108.34
Mac #848 NYS T&F Off Track	Key Bank	608,501.98
MCTD Medallion Taxicab Trip	JPMorgan Chase Bank, N.A.	876.50
Medallion Taxicab Trip Tax (EFT)	Wells Fargo Bank	87,721.50
Medical Marijuana Tax Collections	Bank of America, N.A.	1,824.52
Metro Commuter Trans. Mobility	JPMorgan Chase Bank, N.A.	342,353.36
Ogdensburg Bridge & Port	Community Bank	42,738.01
Opioid Excise Tax	Wells Fargo Bank	214,607.04
Personal Income Tax	Wells Fargo Bank	88,284.00
Petroleum Business Tax (EFT)	Wells Fargo Bank	323,493.71
Petroleum Products Tax	Bank of America, N.A.	3,009,705.51
Petty Cash Account	Key Bank	No report received
PIT Bulk	JPMorgan Chase Bank, N.A.	1,288,140.00
Port Of Oswego	Key Bank	724,132.32
Promptax - MCTMT	Wells Fargo Bank	29,498,897.74

Promptax - Petroleum Business Tax	Wells Fargo Bank	189.97
Promptax - Sales Tax/Sales Tax Prepaid Fuel	Wells Fargo Bank	20,256.30
Promptax - Withholding	Wells Fargo Bank	13,887,617.15
Promptax - Withholding Checks	JPMorgan Chase Bank, N.A.	43,317.39
Real Estate Transfer Tax	Key Bank	2,993.00
Revenue Holding	First Niagara Bank	1,646,076.71
Sales Tax	JPMorgan Chase Bank, N.A.	0.00
Sales Tax	Wells Fargo Bank	977,504.64
SUNY Concentration	First Niagara Bank	136,000.00
TNC Assessment (EFT)	Wells Fargo Bank	2,074,086.14
Troy Debt Service Reserve Fund	Bank of America, N.A.	7,169,995.12
Uncashed Winning Tickets	Bank of America, N.A.	46,321.85
Unclaimed Funds	JPMorgan Chase Bank, N.A.	713,941.68
Unclaimed Funds - 2	JPMorgan Chase Bank, N.A.	32,589.03
Withholding	Wells Fargo Bank	8.50
Withholding Tax	JPMorgan Chase Bank, N.A.	38,163,220.91
Cash Advance Accounts		
Advance For Travel Account	Key Bank	950.00
ERS Petty Cash Acct	Key Bank	5,100.00
Petty Cash Account	Key Bank	3,922.66
Common Retirement Fund		
Common Retirement Fund - Depository	JPMorgan Chase Bank, N.A.	266.93
NYS Common Retirement Fund	JPMorgan Chase Bank, N.A.	521,699.42
Employees Retirement System		
Employees Retirement System - EFT	JPMorgan Chase Bank, N.A.	17.31
Employees Retirement System - General	JPMorgan Chase Bank, N.A.	0.00
Employees Retirement System - Pension	JPMorgan Chase Bank, N.A.	0.00
Group Term Life		
Group Term Life - General	JPMorgan Chase Bank, N.A.	0.00
Municipal Assistance Corporation Accounts		
City Of Troy - MAC	JPMorgan Chase Bank, N.A.	0.00
Police and Fire		
Retirement Police & Firemen's - EFT	JPMorgan Chase Bank, N.A.	2.23
Retirement Police & Firemen's - General	JPMorgan Chase Bank, N.A.	0.00
Retirement Police & Firemen's - Pension	JPMorgan Chase Bank, N.A.	0.00
<b>03000 - DEPARTMENT OF LAW</b>		
Albany Filing Fees Account	Key Bank	No report received
Albany Petty Cash	Bank of America, N.A.	No report received
Albany Revenue Account	Key Bank	No report received
Assessment Account	JPMorgan Chase Bank, N.A.	No report received
Attorney General Account	Key Bank	No report received
Civil Recoveries Account	Key Bank	No report received
Dept Of Law Controlled Disb	M&T Bank	No report received
Marie Roberts	JPMorgan Chase Bank, N.A.	No report received
NYC Filing Fees Account	JPMorgan Chase Bank, N.A.	No report received
NYC Petty Cash Account	JPMorgan Chase Bank, N.A.	No report received
NYC Revenue Account	JPMorgan Chase Bank, N.A.	No report received
Restitution Account	M&T Bank	No report received
Special Account	Key Bank	No report received
US Justice Dept - Shared Forfeiture	Key Bank	No report received
US Treas Dept - Shared Forfeiture	Key Bank	No report received
<b>03010 - OFFICE OF THE ATTORNEY GENERAL</b>		
OCTF - Confidential Fund Checking	JPMorgan Chase Bank, N.A.	No report received
OCTF - Confidential Fund Checking	JPMorgan Chase Bank, N.A.	No report received
<b>03020 - MEDICAID FRAUD CONTROL</b>		
dept atty gen vs john doe	Key Bank	9,818,712.57
National Global Settlement	JPMorgan Chase Bank, N.A.	3,271,603.11
NYS Department Of Law Confidential Account	JPMorgan Chase Bank, N.A.	40,000.00
NYS Department of Law Petty Cash Account	JPMorgan Chase Bank, N.A.	5,193.88
<b>04020 - NYS ASSEMBLY</b>		
Advance For Travel	Bank of America, N.A.	2,884.00
Petty Cash Account Dist Off	Bank of America, N.A.	21,306.90
Petty Cash New York City	JPMorgan Chase Bank, N.A.	722.56
Public Information Office	Key Bank	0.25
<b>04030 - ASSEMBLY WAYS &amp; MEANS COMMITTEE</b>		
Advance For Travel	Key Bank	No report received
Petty Cash	Key Bank	No report received
<b>04040 - LEGISLATIVE BILL DRAFTING COMMISSION</b>		
NY LBDC - Legislative Computer Services Fund	Key Bank	42,759.64
NYS Leg Bill Drafting Comm-Petty Cash Acct	Key Bank	2,000.00
<b>04250 - REAPPORTIONMENT</b>		
NYS Taskforce On Demo Res & Reapp	JPMorgan Chase Bank, N.A.	125.00
<b>05000 - OFFICE OF COURT ADMINISTRATION</b>		
Attorney Registration Fees - Revenue	JPMorgan Chase Bank, N.A.	1,026,075.00



Criminal Records Search Acct - Revenue	JPMorgan Chase Bank, N.A.	6,079,750.00
Finger Print Account	JPMorgan Chase Bank, N.A.	2,425.00
Petty Cash Acct	JPMorgan Chase Bank, N.A.	500.00
<b>05005 - OCA OFFICE OF BUDGET &amp; FINANCE</b>		
Petty Cash Account	Key Bank	2,500.00
<b>05008 - LAWYERS FUND FOR CLIENT PROTECTION</b>		
Client Security Fund - Bail	Key Bank	1,657,915.94
Lawyers Fund For Client Protection - Bail	Key Bank	702.07
Petty Cash	Key Bank	5,000.00
<b>05071 - COURT OF APPEALS</b>		
Chief Judge Advance	Key Bank	65.07
Clerk Of The Court Of Appeals	Key Bank	16,152.54
<b>05072 - STATE BOARD OF LAW EXAMINERS</b>		
State Board Of Law Examiners Fee	Key Bank	59,850.00
<b>05081 - APPELLATE DIVISION - 1ST JUDICIAL DEPARTMENT</b>		
1st Appellate Division Supreme Ct	JPMorgan Chase Bank, N.A.	206,559.00
<b>05082 - APPELLATE DIVISION - 2ND JUDICIAL DEPARTMENT</b>		
Appellate Div 2nd Dept Revenue	JPMorgan Chase Bank, N.A.	No report received
<b>05083 - APPELLATE DIVISION - 3RD JUDICIAL DEPARTMENT</b>		
Third Dept Civil Fees Acct - Revenue	Key Bank	91,960.50
<b>05084 - APPELLATE DIVISION - 4TH JUDICIAL DEPARTMENT</b>		
4th Dept Appellate Div Civil Fees - Revenue	JPMorgan Chase Bank, N.A.	62,998.25
<b>05090 - COURT OF CLAIMS</b>		
Court Of Claims Revenue Account	Key Bank	6,355.00
<b>05111 - 10TH JUDICIAL DISTRICT NASSAU COUNTY ADMINISTRATION</b>		
Glen Cove City Court		
Glen Cove City Court Bail	Wells Fargo Bank	3,000.61
Glen Cove City Court Revenue	Wells Fargo Bank	21,645.95
Long Beach City Court		
Long Beach City Court Revenue	Wells Fargo Bank	70,859.22
Long Beach Court Bail	Wells Fargo Bank	30,135.01
Nassau County Court		
Nassau County Assessment	Wells Fargo Bank	65,985.67
Nassau District Court - Criminal		
Nassau Dist Ct Criminal Revenue	Wells Fargo Bank	95,671.50
Nassau District Court-Civil		
Nassau County Dist Ct- Civil Revenue	Wells Fargo Bank	31,892.65
Nassau Surrogate		
Nassau County Surrogate Court-Revenue	Wells Fargo Bank	745,217.25
<b>05112 - 10TH JUDICIAL DISTRICT SUFFOLK COUNTY ADMINISTRATION</b>		
10th Judicial District Suffolk County Admin		
Suffolk County Court -Court Fund	People's United Bank	22,489.00
Suffolk County Surrogate		
Surrogate Court Of Suffolk County	People's United Bank	210,045.00
Suffolk District Court Civil Fees		
Suffolk County District Court Civil Fees	Citibank	487,096.11
Suffolk District Court Criminal Fines		
Suffolk County District Court Criminal Fines	Citibank	700,897.28
Suffolk District Court Trust Acct		
Suffolk County District Court Trust Account	Citibank	37,755.00
<b>05210 - NYC-CIVIL COURT</b>		
Bronx Civil Court - Civil		
Bronx Civil Court - Revenue	JPMorgan Chase Bank, N.A.	490,243.25
Harlem Community Justice Court		
Harlem Community Justice - Revenue Account	JPMorgan Chase Bank, N.A.	45.00
Kings Civil Court- Civil		
Kings Civil Court- Civil Revenue	JPMorgan Chase Bank, N.A.	351,539.00
New York Civil Court - Civil		
New York Civil Court Revenue Acct	JPMorgan Chase Bank, N.A.	202,994.90
Queens Civil Court - Civil		
Queens Civil - Revenue	JPMorgan Chase Bank, N.A.	689,204.25
Richmond Civil Court - Civil		
Richmond Civil Ct Revenue Acct	JPMorgan Chase Bank, N.A.	80,495.00
<b>05215 - NYC-CRIMINAL COURT</b>		
Bronx Criminal Court- Criminal Court		
Bronx Criminal Division- Criminal Bail	JPMorgan Chase Bank, N.A.	65,102.00
Bronx Criminal Court- Criminal Court		
Bronx Criminal Division- Criminal Revenue	JPMorgan Chase Bank, N.A.	1,366.00
Kings County Criminal Court		
Kings Criminal Court	Citibank	1,624.00
New York County Criminal Court		
New York Criminal Court	JPMorgan Chase Bank, N.A.	11,970.00
New York Criminal Court- State Funds	JPMorgan Chase Bank, N.A.	2,020.00
Queens County Criminal Court		

Queens Criminal Court	JPMorgan Chase Bank, N.A.	776.00
Queens Criminal Court - State Funds	JPMorgan Chase Bank, N.A.	2,648.00
Richmond County Criminal Court		
Richard Criminal Court-City Funds	JPMorgan Chase Bank, N.A.	10,243.00
Richmond Criminal Court-State Funds	JPMorgan Chase Bank, N.A.	23,684.00
<b>05231 - SUPREME COURT - BRONX COUNTY</b>		
Bronx County Supreme - NYS OCA	JPMorgan Chase Bank, N.A.	1,060.00
<b>05235 - SUPREME COURT - KINGS COUNTY</b>		
Kings Co Supreme		
Supreme Court Kings County-Revenue	JPMorgan Chase Bank, N.A.	6,412.25
<b>05240 - SUPREME COURT - QUEENS COUNTY</b>		
Queens Co Supreme		
Queens County Supreme Court	JPMorgan Chase Bank, N.A.	0.00
Queens County Supreme Court	JPMorgan Chase Bank, N.A.	7,493.00
<b>05250 - NEW YORK COUNTY CLERK</b>		
New York Co Clerk Revenue Account	JPMorgan Chase Bank, N.A.	10,490,125.23
<b>05255 - BRONX COUNTY CLERK</b>		
Bronx County Clerk		
Bronx Cnty Clerk Revenue Acct	JPMorgan Chase Bank, N.A.	2,300,150.25
<b>05260 - KINGS COUNTY CLERK</b>		
Kings County Clerk		
Kings County Clerk Revenue Account	Flushing Commercial Bank	1,068,513.00
<b>05265 - QUEENS COUNTY CLERK</b>		
Queens County Clerk-Revenue Acct	Sterling Bank	2,901,127.80
<b>05270 - RICHMOND COUNTY CLERK</b>		
Richmond Co Clerk State Fees Account	JPMorgan Chase Bank, N.A.	7,389,679.34
Richmond County Clerk DEC	JPMorgan Chase Bank, N.A.	78.93
<b>05275 - NEW YORK COUNTY SURROGATES COURT</b>		
New York Surrogate		
New York Surrogate Court	JPMorgan Chase Bank, N.A.	130,198.25
<b>05280 - BRONX COUNTY SURROGATES COURT</b>		
Bronx Surrogate		
Bronx Surrogate Court Revenue Acct	JPMorgan Chase Bank, N.A.	83,677.75
<b>05285 - KINGS COUNTY SURROGATES COURT</b>		
Kings County Surrogate		
Kings Co. Surrogate Revenue Acct	Bank of America, N.A.	176,350.00
<b>05290 - QUEENS COUNTY SURROGATES COURT</b>		
Queens surrogate		
Queens Co Revenue Acct Surrogate	Signature Bank	224,956.00
<b>05295 - RICHMOND COUNTY SURROGATES COURT</b>		
Richmond County Surrogate Court Revenue Account	Victory State Bank	156,893.00
<b>05360 - 3RD JUDICIAL DISTRICT ADMINISTRATION</b>		
Albany City Court - (Civil)		
Albany City Civil - Revenue	Wells Fargo Bank	44,386.00
Albany City Court - (Crim-Bail)		
Albany City Criminal - Bail	Wells Fargo Bank	13,035.62
Albany City Court - (Traffic)		
Albany City Traffic-Revenue	Wells Fargo Bank	103,000.48
Albany Traffic Court - Bail	Bank of America, N.A.	0.00
Albany City Court - Civil Part		
Albany City Court Civil - Revenue	Trustco Bank	0.00
Albany City Court - Crim		
Albany City Court-Crim	Wells Fargo Bank	11,086.00
Albany City Court - Traffic-Bail		
Albany City Traffic - Bail	Wells Fargo Bank	1,300.16
Albany County Surrogate		
Albany Cty Surrogates Court - Revenue	Bank of America, N.A.	16,008.00
Albany Police Court		
Albany Police Court Bail Account	Key Bank	0.00
Cohoes City Court		
Cohoes City Court Bail	Key Bank	5,846.10
Cohoes City Court Fees/Fines Account	Key Bank	11,422.50
Columbia County Surrogate		
Columbia Co Surrogate Ct Fees - Revenue	Key Bank	4,848.00
Greene Surrogate		
Greene Surrogate-Revenue	Wells Fargo Bank	17,363.00
Hudson City Court		
Hudson City Bail	Wells Fargo Bank	30,166.52
Hudson City Revenue	Wells Fargo Bank	5,379.00
Kingston City Court		
Kingston City Court Bail	Wells Fargo Bank	2.00
Kingston City Court Revenue	Wells Fargo Bank	22,166.27
Rensselaer City Court		
Rensselaer City Court - Bail	Wells Fargo Bank	0.00

Rensselaer City Court - Revenue	Wells Fargo Bank	7,489.00
Rensselaer City Court Bail Acct	Key Bank	360.00
Rensselaer County Surrogate		
Rensselaer Co Surrogate Ct Fees - Revenue	Key Bank	22,378.00
Schoharie County Surrogate		
Schoharie Co Surrogates Court - Revenue	Bank of America, N.A.	367.00
Sullivan Surrogate		
Sullivan Surrogate-Revenue	Wells Fargo Bank	3,779.00
Troy City Court		
Troy City Court- Revenue Acct - Revenue	Bank of America, N.A.	41,056.70
Troy Police Court Bail Account	Bank of America, N.A.	18,187.17
Ulster County Surrogate		
Ulster County Surrogate Court - Revenue	Key Bank	95,686.75
Watervliet City Court		
Watervliet City Court - Bail	Wells Fargo Bank	45,820.00
Watervliet City Court - Revenue	Wells Fargo Bank	19,729.13
<b>05460 - 4TH JUDICIAL DISTRICT ADMINISTRATION</b>		
Amsterdam City Court		
Amsterdam City Court - Bail	Key Bank	55,213.47
Amsterdam City Court - Revenue	Key Bank	15,206.00
Clinton County Surrogates		
Clinton County Surrogates - Revenue	Key Bank	1,814.75
Essex County Surrogate		
Essex Co Surrogate Clerk - Revenue	Champlain National	617.75
Franklin County Surrogate		
Franklin Co Surrogate Court - Revenue	Key Bank	1,411.00
Fulton County Surrogate		
Fulton County Surrogate's Court	Key Bank	4,416.25
Glens Falls City Court		
Glens Falls City Court Account - Revenue	Glens Falls National	0.00
Glens Falls City Court Bail Acct	Glens Falls National	0.00
Gloversville City Court		
Gloversville City Court Bail	NBT Bank	19,526.36
Gloversville City Court Revenue	NBT Bank	5,177.00
Hamilton Surrogate		
Hamilton Surrogate - Revenue	Community Bank	1,537.00
Johnstown City Court		
City Of Johnstown Bail Account - Bail	Key Bank	6,176.56
Johnstown City Court Fines/Fees - Revenue	Key Bank	6,173.00
Mechanicville City Court		
Mechanicville City Court Bail	TD Bank	1,500.19
Mechanicville City Ct Revenue Acct	TD Bank	22,294.78
Montgomery County Surrogate		
Montgomery County Surrogates Court - Revenue	NBT Bank	637.75
Ogdensburg City Court		
Ogdensburg City Court Int Bail	Community Bank	1,767.00
Ogdensburg City Court Revenue	Community Bank	4,143.00
Plattsburgh City Court		
Plattsburgh City Court - Bail	Glens Falls National	21,555.92
State Of NY Plattsburgh City Court - Revenue	Glens Falls National	21,555.92
Saratoga County Surrogate		
Saratoga County Surrogate - Revenue	Ballston Spa National Bank	7,043.00
Saratoga Springs City Court		
Saratoga Springs Bail Account	The Adirondack Trust Company	26,082.18
Saratoga Springs City Revenue Acct	The Adirondack Trust Company	17,316.86
Schenectady City Court		
Schenectady City Court- Bail	Bank of America, N.A.	26,187.07
Schenectady City Court Revenue	Bank of America, N.A.	24,576.15
Schenectady Surrogate		
Schenectady Surrogate Court - Revenue	Key Bank	2,502.75
St. Lawrence Co Surrogate		
St. Lawrence County Surrogate - Revenue	Community Bank	5,559.75
Warren County Surrogate		
Warren County Surrogate Court - Revenue	TD Bank	3,728.00
Washington Surrogates		
Washington Surrogate Revenue	TD Bank	2,172.00
<b>05560 - 5TH JUDICIAL DISTRICT ADMINISTRATION</b>		
Fulton City Court		
Fulton City Court Bail Acct	Key Bank	1,386.01
Fulton City Court Revenue	Key Bank	24,270.58
Herkimer Surrogate		
Herkimer Surrogate - Revenue	Partners Trust	911.00
Jefferson Surrogates		
Jefferson Co Surrogate Revenue	Key Bank	5,250.00

Lewis County		
Lewis County Clerk	Community Bank	2,425.00
Lewis County Surrogates		
Lewis County Surrogate Court - Revenue	Key Bank	1,155.00
Little Falls City Court		
Little Falls City Court Bail	Bank of America, N.A.	500.00
Little Falls City Court Revenue	M&T Bank	8,523.80
Oneida County Combined		
Oneida County Combined Court	Adirondack Bank	12,506.26
Oneida County Surrogates		
Oneida County Surrogate Court Revenue	The Adirondack Trust Company	3,345.00
Onondaga County Surrogates		
Onondaga Surrogate Court - Revenue	Alliance Bank	37,219.50
Oswego City Court		
Oswego City Court Bail Acct	JPMorgan Chase Bank, N.A.	15,114.71
Oswego City Court Revenue	JPMorgan Chase Bank, N.A.	34,029.16
Oswego Surrogate Court		
Oswego County Surrogate Court - Revenue	Key Bank	4,548.00
Rome City Court		
City Court Of Rome Bail Account - Bail	Bank of America, N.A.	1,551.25
Rome City Court - Revenue	Bank of America, N.A.	52,385.22
Sherrill City Court		
Sherrill City Court 5th Jud Dist - Bail	Alliance Bank	0.00
Sherrill City Court Fees - Revenue	Alliance Bank	1,997.05
Syracuse City Court		
Syracuse City Court - Bail	Alliance Bank	150,648.01
Syracuse City Court - Fees - Revenue	Alliance Bank	121,622.15
Utica City Court		
Utica City Court Criminal Bail	Bank of Utica	63,391.50
Utica City Court Revenue Account	Key Bank	100,630.40
Watertown City Court		
Watertown City Court Bail	Key Bank	81,662.63
Watertown City Court Fees & Fines - Revenue	Key Bank	49,676.04
<b>05661 - 6TH JUDICIAL DISTRICT ADMINISTRATION</b>		
Binghamton City Court		
Binghamton City Court Bail	M&T Bank	56,060.00
Binghamton City Court Revenue	M&T Bank	98,599.28
Broome Surrogates		
SNY UCS Broome County Surrogates Court	Wells Fargo Bank	7,777.50
Chemung County Surrogates		
SNY UCS Chemung County Surrogates Court	Wells Fargo Bank	1,053.50
Chenango County Surrogates		
SNY UCS Chenango County Surrogates Court	Wells Fargo Bank	2,620.00
Cortland City Court		
Court City Court Bail	NBT Bank	15,190.24
Court City Court- Revenue	NBT Bank	7,210.00
Cortland County Surrogates		
SNY UCS Cortland County Surrogates Court	Wells Fargo Bank	462.00
Delaware County Surrogates		
Delaware County Surrogate - Revenue	Delaware National Bank	3,266.50
Elmira City Court		
Elmira City Court - Revenue Account	Chemung Canal Trust	43,683.73
Elmira City Court Bail	Chemung Canal Trust	22,897.65
Ithaca City Court		
Ithaca City Court	Tompkins County Trust	9,078.00
Ithaca City Court Revenue	Tompkins County Trust	3,502.00
Madison County Surrogates		
SNY UCS Madison County Surrogates Court	Wells Fargo Bank	2,390.00
Norwich City Court		
Norwich City Court Bail Acct	NBT Bank	13,451.00
Norwich City Court Revenue Acct	NBT Bank	2,405.00
Oneida City Court		
Oneida City Court Bail Account	JPMorgan Chase Bank, N.A.	7,415.00
Oneida City Court Fee & Fine - Revenue	JPMorgan Chase Bank, N.A.	24,323.00
Oneonta City Court		
Oneonta City Court - Revenue	Community Bank	3,002.00
Oneonta City Court Bail Account	Community Bank	12,454.50
Otsego County Surrogates		
Otsego County Surrogates Court - Revenue	Key Bank	2,928.25
Schuyler County Surrogates		
Schuyler County Surrogates Court	Community Bank	1,428.00
Tioga County Surrogates		
Tioga Surrogates Court - Revenue	M&T Bank	455.75
Tompkins County Surrogates		

SNY UCS Tompkins County Surrogates Court	Wells Fargo Bank	3,742.25
<b>05761 - 7TH JUDICIAL DISTRICT ADMINISTRATION</b>		
Auburn City Court		
Auburn City Court Bail Acct	Key Bank	20,666.55
Auburn City Court Fees & Fines - Revenue	Key Bank	8,931.75
Canandaigua City Court		
Canandaigua City Court Bail Acct	Canandaigua National Bank	21,673.47
Canandaigua City Court Revenue	Canandaigua National Bank	7,934.00
Cayuga County Surrogates		
Cayuga Surrogate Court	Wells Fargo Bank	3,607.00
Corning City Court		
Corning City Court - Bail	Wells Fargo Bank	7,361.13
Corning City Court - Revenue	Wells Fargo Bank	4,847.00
Geneva City Court		
Geneva City Court Bail Account	Wells Fargo Bank	17,801.90
Geneva City Court Revenue Account	Wells Fargo Bank	6,992.00
Hornell City Court		
Hornell City Court Bail Account	Community Bank	3,150.03
Hornell City Court Revenue	Community Bank	1,828.00
Livingston County Surrogates		
Livingston Surrogate Court	Wells Fargo Bank	977.00
Monroe County Surrogates		
7th District Monroe Surrogate	Wells Fargo Bank	26,477.00
Ontario County Surrogates		
Ontario Surrogate Court	Wells Fargo Bank	5,839.25
Rochester City Court		
Rochester City Court Bail Account	M&T Bank	373,917.85
Rochester City Revenue	M&T Bank	33,541.26
Seneca County Surrogates		
Seneca Surrogate Court	Wells Fargo Bank	2,090.00
Steuben County Surrogates		
7th District Steuben Surrogate	Wells Fargo Bank	3,955.00
Wayne County Surrogates		
Wayne Surrogate Court	Wells Fargo Bank	1,958.75
Yates County Surrogates		
Yates Surrogate Court	Wells Fargo Bank	866.00
<b>05860 - 8TH JUDICIAL DISTRICT ADMINISTRATION</b>		
ALLEGANY COUNTY SURROGATES COURT		
ST of NY Office of The State Comptroller State of New York Unified Courts Allegany Surrogate Court	Wells Fargo Bank	No report received
Batavia City Court		
ST of NY Office of The State Comptroller Batavia City Court Bail	Wells Fargo Bank	No report received
ST of NY Office of The State Comptroller Batavia City Court REVENUE	Wells Fargo Bank	No report received
BUFFALO CITY COURT		
ST of NY Office of The State Comptroller Buffalo City Court Bail Account	Wells Fargo Bank	No report received
ST of NY OFFICE OF THE STATE COMPTROLLER BUFFALO CITY COURT REVENUE ACCOUNT	Wells Fargo Bank	No report received
Cattaraugus County Surrogates		
ST of NY Office of the State Comptroller State of New York Unified Courts Cattaraugus Surrogate Court	Wells Fargo Bank	No report received
Chautauqua County Surrogates Court		
ST of NY Office of the State Comptroller State of New York Unified Courts Chautauqua Surrogate Court	Wells Fargo Bank	No report received
Dunkirk City Court		
ST of NY Office of The State Comptroller Dunkirk Bail Account	Wells Fargo Bank	No report received
ST of NY Office of The State Comptroller Dunkirk Revenue Account	Wells Fargo Bank	No report received
Erie - Buffalo County Law Library		
Sur Ct Lib At Buffalo - Revenue	M&T Bank	No report received
Erie County Surrogates		
ST of NY Office of the State Comptroller State of New York Unified Courts Erie Surrogate Court	Wells Fargo Bank	No report received
Genesee County Surrogates		
ST of NY Office of the State Comptroller State of New York Unified Courts Genesee Surrogate Court	Wells Fargo Bank	No report received
Jamestown City Court		
State of New York Office of Court Administration Jamestown City Court Bail	Key Bank	No report received
State of New York Office of Court Administration Jamestown City Court Revenue	Key Bank	No report received
Lackawanna City Court		
Lackawanna City Court Bail Account	Key Bank	No report received
Lackawanna City Court Revenue Account	Key Bank	No report received
Lockport City Court		
Lockport City - Bail	Key Bank	No report received
Lockport City HESC EFT Account - Revenue	Key Bank	No report received
Niagara City Court		
Niagara Falls Bail Bond Account	M&T Bank	No report received
Niagara County Surrogates		
ST of NY Office of the State Comptroller State of New York Unified Courts Niagara Surrogate Court	Wells Fargo Bank	No report received
Niagara Falls City Court		
ST of NY OFFICE OF THE STATE COMPTROLLER NIAGARA FALLS BAIL ACCOUNT	Wells Fargo Bank	No report received
ST of NY OFFICE OF THE STATE COMPTROLLER NIAGARA FALLS REVENUE ACCOUNT	Wells Fargo Bank	No report received

No. Tonawanda City Court		
N. Tonawanda City Court Revenue	M&T Bank	No report received
ST of NY Office of The State Comptroller No. Tonawanda City Court Bail	Wells Fargo Bank	No report received
ST of NY Office of The State Comptroller No. Tonawanda Revenue	Wells Fargo Bank	No report received
Olean City Court		
ST of NY Office of The State Comptroller Olean City Court Bail	Wells Fargo Bank	No report received
ST of NY Office of The State Comptroller Olean City Court Revenue	Wells Fargo Bank	No report received
Orleans County Surrogates		
ST of NY Office of The State Comptroller State of New York Unified Courts Orleans Surrogate Court	Wells Fargo Bank	No report received
Salamanca City Court		
Salamanca City Court Bail	Community Bank	No report received
Salamanca City Court City Judge - Revenue	Community Bank	No report received
Tonawanda City Court		
ST of NY Office of The State Comptroller Tonawanda City Court Bail	Wells Fargo Bank	No report received
ST of NY Office of The State Comptroller Tonawanda City Court Revenue	Wells Fargo Bank	No report received
Tonawanda City Court Bail	M&T Bank	No report received
Wyoming County Surrogates		
ST of NY Office of The State Comptroller State of New York Unified Courts Wyoming Surrogate Court	Wells Fargo Bank	No report received
<b>05960 - 9TH JUDICIAL DISTRICT ADMINISTRATION</b>		
Beacon City Court		
Beacon City Court Bail Account - Bail	JPMorgan Chase Bank, N.A.	No report received
Beacon City Fines Account - Revenue	JPMorgan Chase Bank, N.A.	No report received
Dutchess County Surrogates Court		
Dutchess County Surrogate Court - Revenue	JPMorgan Chase Bank, N.A.	No report received
Middletown City Court		
Middletown City Bail Escrow - Bail	Wells Fargo Bank	No report received
Middletown City Court Revenue	JPMorgan Chase Bank, N.A.	No report received
Middletown City Court Revenue	Wells Fargo Bank	No report received
Mt Vernon City Court		
Mt Vernon City Court State Bail	Wells Fargo Bank	No report received
Mt Vernon City Court State Revenue	Wells Fargo Bank	No report received
New Rochelle City Court		
New Rochelle City Court Bail	JPMorgan Chase Bank, N.A.	No report received
New Rochelle City Court Revenue	JPMorgan Chase Bank, N.A.	No report received
Newburgh City Court		
Newburgh Bail Account	Wells Fargo Bank	No report received
Newburgh City Court Revenue	Wells Fargo Bank	No report received
Orange County Surrogates Court		
Orange Co Surrogates Court - Revenue	JPMorgan Chase Bank, N.A.	No report received
Peekskill City Court		
Peekskill City Court Revenue	JPMorgan Chase Bank, N.A.	No report received
Peekskill City Court		
Peekskill City Court - Bail	JPMorgan Chase Bank, N.A.	No report received
Port Jervis City Court		
Port Jervis Bail Account - Bail	JPMorgan Chase Bank, N.A.	No report received
Port Jervis Revenue Account - Revenue	JPMorgan Chase Bank, N.A.	No report received
Poughkeepsie		
Poughkeepsie City Court -Bail	Wells Fargo Bank	No report received
Poughkeepsie City Court -Revenue	Wells Fargo Bank	No report received
Putnam Co Surrogate's Court		
Putnam Co Surrogates Court	Putnam County National Bank	No report received
Rockland County Surrogates Court		
Rockland Co Surrogates Court - Revenue	JPMorgan Chase Bank, N.A.	No report received
Rye City Court		
City Of Rye Bail Account	JPMorgan Chase Bank, N.A.	No report received
City Of Rye Fines And Fees - Revenue	JPMorgan Chase Bank, N.A.	No report received
Westchester County Surrogates Court		
Westchester Co Surrogates Fees - Revenue	Wells Fargo Bank	No report received
White Plains City Court		
White Plains City Court Bail Account	Sterling Bank	No report received
White Plains City Court Bail Account	Wells Fargo Bank	No report received
White Plains City Court Vehicle And Traffic Acct - Revenue	Sterling Bank	No report received
White Plains City Court Vehicle And Traffic Acct - Revenue	Wells Fargo Bank	No report received
Yonkers City Court		
Yonkers City Bail Account - Bail	Wells Fargo Bank	No report received
Yonkers City Revenue Account - Revenue	Wells Fargo Bank	No report received
<b>06000 - AGRICULTURE &amp; MARKETS</b>		
Administration Account	Key Bank	32,775.71
Agency Advance Account	Key Bank	10,000.00
Agriculture Producers Sec Fund	Key Bank	13,012.68
Animal Population Control Account	Key Bank	45,671.67
Apple Marketing Order Fund	Key Bank	0.00
Consumer Food Industry Account	Key Bank	48,400.60
Dairy Industry Services Account	Key Bank	43,290.80



Dairy Promotion Order Fund	Key Bank	0.00
Milk Producers Security Fund	Key Bank	42,547.02
NYS Farmers Market Program	Key Bank	432,712.24
NYS WNY Milk Mktg Area Administration Fund	M&T Bank	148.85
NYS WNY Milk Mktg Area Equalization Fund	M&T Bank	33,524.41
NYS WNY Milk Mktg Area Equalization Fund Savings	M&T Bank	319.31
Onion Marketing Order	Key Bank	0.00
Plants Industry Account	Key Bank	37,944.41
Pride of NY	Key Bank	6,266.98
Sour Cherry Marketing Fund	Key Bank	0.00
Weights & Measures Account	Key Bank	8,414.43
NYS Dept Agriculture & Markets		
Apple Marketing Order Fund	Key Bank	0.00
Dairy Promotion Order Fund	Key Bank	0.00
Farm Products	Key Bank	30,864.98
State Fair		
NYS Fair Operating Account	Solvay Bank	14,402.14
NYS Fair Special Account	Solvay Bank	231.20
<b>08000 - DEPARTMENT OF CIVIL SERVICE</b>		
Agency Advance Account	Bank of America, N.A.	3,000.00
Examination Application Fees Account	Bank of America, N.A.	843.00
Examination Application Fees Account	Key Bank	3,592.00
NYS Affirmative Action Advisory Account	Bank of America, N.A.	5,141.90
NYS Department of Civil Service	US Bank	43,565,579.62
<b>08010 - PUBLIC EMPLOYEE RELATIONS BOARD</b>		
Petty Cash And Travel Advance Account	Key Bank	707.38
<b>09000 - DEPARTMENT OF ENVIRONMENTAL CONSERVATION</b>		
Albany		
Bayville Feasibility Study	JPMorgan Chase Bank, N.A.	493,977.52
Conservation Petty Cash Account	M&T Bank	8,946.00
DEC/Exchange Account	M&T Bank	15,680.53
ENCON License Issuing Office	M&T Bank	7,879.00
ENCON/Montauk Point Feasibility Study	JPMorgan Chase Bank, N.A.	7,205.08
ENCON/South Shore Of Staten Island	JPMorgan Chase Bank, N.A.	22,919.89
Hunting Trapping & Fishing Account	M&T Bank	130,549.21
Lake Montauk Harbor	JPMorgan Chase Bank, N.A.	156,225.98
Lockbox Account	Wells Fargo Bank	593,332.63
Mattituck Inlet	JPMorgan Chase Bank, N.A.	1,329.09
NY Conservationist	Bank of America, N.A.	9,076.71
Program Fee	JPMorgan Chase Bank, N.A.	11,686.30
Revenue Account	Bank of America, N.A.	495,731.55
Rockaway Beach Study & Project	JPMorgan Chase Bank, N.A.	1,265,613.12
State of New York	Key Bank	211,756.36
Region 1		
Marine Permit Account	Bank of Smithtown	13,310.00
Region 3		
Beaverkill & Mongaup Pond	Jeff Bank	0.00
Revenue Region 3 Account	Bank of America, N.A.	0.00
Region 4		
Bear Spring Revenue Account	Wayne Bank	0.00
Region 4	Greene County Commercial Bank	0.00
Region 4 Camping	NBT Bank	0.00
Region 5		
Campsite Revenue Account	Glens Falls National	11.65
Land & Forest Region SW	TD Bank	28,430.93
NYS Conservation	Glens Falls National	1.00
Recreation (Warrensburg)	City National Bank & Trust	338.91
Region 5	Citizens Bank	38.03
Region 5	NBT Bank	8,325.70
Tree Nursery	Bank of America, N.A.	200.00
Region 6		
Fish & Wildlife Watertown	Key Bank	121.92
Lands & Forest District #7	Community Bank	0.00
Lands & Forests District #6	Community Bank	50.00
Lands And Forests District 10	M&T Bank	46.20
SNY Dept Of Environmental Conserv	Community Bank	5,189.04
<b>10000 - ATTICA CORRECTIONAL FACILITY</b>		
Agency Advance Account	Five Star Bank	1,700.00
CD Spendable	Five Star Bank	220,000.00
Employee Benefit Fund	Five Star Bank	7,102.81
General Cash Fund	Five Star Bank	0.00
Inmate Occupational Therapy Fund	Five Star Bank	61,762.51
Inmate Savings Account	Five Star Bank	254,994.85
Spendable Fund	Five Star Bank	381,856.18

**10010 - AUBURN CORRECTIONAL FACILITY**

Advance Account	Key Bank	4,457.85
Certificate of Deposit	Bank of America, N.A.	90,000.00
Certificate of Deposit	Bank of America, N.A.	15,000.00
Inmate Occupational Therapy Fund	Key Bank	15,863.26
Inmate Spendable Account	Key Bank	71,073.69
Inmate Spendable Savings CD	Key Bank	252,645.16
Misc Revenue	Key Bank	53,241.56

**10020 - CLINTON CORRECTIONAL FACILITY**

Advance Account	Key Bank	12,833.50
Employee Benefit Fund	Key Bank	13,441.95
General Fund	Key Bank	6,965.49
Inmate Funds	Key Bank	150,636.79
Inmate Funds Money Market Account	Key Bank	964,396.82
Inmate Occupational Therapy Acct	Key Bank	63,471.72

**10030 - WATERTOWN CORRECTIONAL FACILITY**

Agency Advance Account	Key Bank	2,359.98
Inmate Occupational Therapy Fund	Key Bank	20,286.84
Inmate Spendable Funds	Key Bank	60,557.87
Inmate Spendable Savings Account	Key Bank	60,672.21
Miscellaneous Receipts	Key Bank	13,734.19

**10040 - GREAT MEADOW CORRECTIONAL FACILITY**

Certificate of Deposit	Glens Falls National	25,000.00
Certificate of Deposit	Glens Falls National	100,000.00
Certificate of Deposit	Glens Falls National	150,000.00
Facility Advance	Key Bank	6,250.72
General Fund	Key Bank	0.00
Inmate Fund	Key Bank	147,556.80
Inmate Fund Savings Account	Glens Falls National	52,500.00
Miscellaneous Account	Key Bank	10,049.05
Occupational Therapy	Key Bank	29,718.44

**10050 - FISHKILL CORRECTIONAL FACILITY**

Agency Advance	M&T Bank	No report received
Employee Benefits	M&T Bank	No report received
Inmate Spending Account	M&T Bank	No report received
Inmates Account	M&T Bank	No report received
Inmates Benefit	M&T Bank	No report received
Misc Receipts	M&T Bank	No report received
Occupational Therapy Account	M&T Bank	No report received

**10060 - WALLKILL CORRECTIONAL FACILITY**

Advance Account	Key Bank	1,229.50
Employee Benefit	Key Bank	1,799.28
Inmate Occupational Therapy Fund	Key Bank	31,265.47
Inmate Savings Account	Key Bank	88,187.60
Inmates Fund Account	Key Bank	115,529.03
Misc. Receipts	Key Bank	24,878.95

**10070 - SING SING CORRECTIONAL FACILITY**

Cash Advance	JPMorgan Chase Bank, N.A.	No report received
Inmate Funds	JPMorgan Chase Bank, N.A.	No report received
Inmate Interest Funds	JPMorgan Chase Bank, N.A.	No report received
Misc Receipts	JPMorgan Chase Bank, N.A.	No report received
Occupational Therapy	JPMorgan Chase Bank, N.A.	No report received
Quality Work Life	JPMorgan Chase Bank, N.A.	No report received

**10080 - GREEN HAVEN CORRECTIONAL FACILITY**

Advance Account	Key Bank	1,810.75
General Fund	Key Bank	7,772.18
Inmates Money Market	Key Bank	287,819.14
Inmates Now Checking	Key Bank	701,725.84
Occupational Therapy Fund	Key Bank	136,852.57

**10090 - ALBION CORRECTIONAL FACILITY**

Albion Advance Account	Bank of America, N.A.	2,827.06
Employee Benefit Fund	Bank of America, N.A.	10,947.48
Inmate Funds	Bank of America, N.A.	103,720.00
Inmate Funds Savings	Bank of America, N.A.	154,199.45
Misc Receipts	Bank of America, N.A.	5,630.93
Occupational Therapy	Bank of America, N.A.	23,670.74

**10100 - EASTERN NEW YORK CORRECTIONAL FACILITY**

Agency Advance Account	Sterling Bank	1,050.31
Employee Benefit Fund	Sterling Bank	15,515.40
ID Now 3 Month CD	M&T Bank	50,102.55
Inmate Deposit Now Account	Sterling Bank	449,148.07
Inmate Occupational Therapy Account	Sterling Bank	35,883.00
Misc. Receipts	Sterling Bank	2,176.12

**10110 - ELMIRA CORRECTIONAL & RECEPTION CENTER**

Agency Advance Account	Chemung Canal Trust	2,055.28
Employee Benefit Fund	Chemung Canal Trust	27,094.34
Inmate CD Account	M&T Bank	213,819.78
Inmates Fund	Chemung Canal Trust	580,711.87
Miscellaneous Receipts	Chemung Canal Trust	570.98
Occupational Therapy Fund	Chemung Canal Trust	29,551.09
<b>10120 - BEDFORD HILLS CORRECTIONAL FACILITY</b>		
Advance Account	JPMorgan Chase Bank, N.A.	5,239.70
Employee Benefit Fund	JPMorgan Chase Bank, N.A.	7,802.82
Inmate Funds	JPMorgan Chase Bank, N.A.	126,416.87
Inmate Funds CD	JPMorgan Chase Bank, N.A.	90,000.00
Inmate Funds Money Market	JPMorgan Chase Bank, N.A.	23,177.41
Misc. Receipts	JPMorgan Chase Bank, N.A.	452.25
Occupational Therapy	JPMorgan Chase Bank, N.A.	61,524.15
<b>10130 - COXSACKIE CORRECTIONAL FACILITY</b>		
Agency Advance Account	National Bank of Coxsackie	2,077.78
Employee Benefits Fund	National Bank of Coxsackie	25,619.55
Inmates Fund	National Bank of Coxsackie	114,024.14
Inmates Fund Savings Acct	National Bank of Coxsackie	185,324.08
Misc. Revenue	National Bank of Coxsackie	172.60
Money Market Acct	National Bank of Coxsackie	0.00
Occupational Therapy Acct	National Bank of Coxsackie	62,598.84
<b>10140 - WOODBOURNE CORRECTIONAL FACILITY</b>		
CD - Inmate Funds	First National Bank of Jeffersonville	190,000.00
CD - Inmate Funds	First National Bank of Jeffersonville	50,000.00
WCF Agency Advance	Jeff Bank	1,480.10
WCF General Fund	Jeff Bank	23,373.75
WCF Inmate Fund	Jeff Bank	135,596.44
WCF Occupational Therapy Fund	Jeff Bank	34,645.57
<b>10160 - DEPARTMENT OF CORRECTIONS AND COMMUNITY SUPERVISION</b>		
Agency Advance Account	Key Bank	No report received
Employee Benefit Fund	Key Bank	No report received
Inmate Escrow Account	Key Bank	No report received
Misc. Receipts Account	Key Bank	No report received
Special Account	Key Bank	No report received
<b>10170 - QUEENSBORO CORRECTIONAL FACILITY</b>		
Agency Advance Account	JPMorgan Chase Bank, N.A.	5,853.00
Employee Benefit Fund	JPMorgan Chase Bank, N.A.	7,362.64
Inmate Funds Account	JPMorgan Chase Bank, N.A.	112,436.96
Miscellaneous Receipts Account	JPMorgan Chase Bank, N.A.	584.79
Occupational Therapy Fund	JPMorgan Chase Bank, N.A.	1,858.93
Savings Account	JPMorgan Chase Bank, N.A.	15,644.98
<b>10230 - ADIRONDACK CORRECTIONAL FACILITY</b>		
ADK QWL	Community Bank	7,517.67
Agency Advance	Community Bank	786.25
Diversity Management	Community Bank	493.07
Employee Benefit Fund	Community Bank	2,393.05
General Fund	Community Bank	0.00
Inmate Funds	Community Bank	40,642.95
Inmate Occupational Therapy Fund	Community Bank	5,554.78
Make A Difference Day	Community Bank	0.00
<b>10240 - DOWNSTATE CORRECTIONAL FACILITY</b>		
Agency Advance	JPMorgan Chase Bank, N.A.	1,356.77
Employee Recreational Funds	JPMorgan Chase Bank, N.A.	14,790.61
Inmate Fund	JPMorgan Chase Bank, N.A.	387,268.00
Inmates Funds Savings	JPMorgan Chase Bank, N.A.	80,456.69
Misc. Receipts	JPMorgan Chase Bank, N.A.	13,869.36
Occupational Therapy	JPMorgan Chase Bank, N.A.	72,887.09
<b>10250 - TACONIC CORRECTIONAL FACILITY</b>		
Employee Benefit Fund	JPMorgan Chase Bank, N.A.	1,404.23
Inmate Funds	JPMorgan Chase Bank, N.A.	70,942.62
Misc. Revenue	JPMorgan Chase Bank, N.A.	815.48
Money Market	JPMorgan Chase Bank, N.A.	30,985.32
Occupational Therapy Fund	JPMorgan Chase Bank, N.A.	15,577.95
Taconic Advance Account	JPMorgan Chase Bank, N.A.	3,549.50
<b>10270 - HUDSON CORRECTIONAL FACILITY</b>		
Advance Account	Key Bank	No report received
Employee Benefit Fund Account	Key Bank	No report received
Inmate Funds Account	Key Bank	No report received
Inmate Key Advantage Account	Key Bank	No report received
Inmate Occupational Therapy Account	Key Bank	No report received
Miscellaneous Receipts Account	Key Bank	No report received
<b>10290 - OTISVILLE CORRECTIONAL FACILITY</b>		
Cash Advance	Jeff Bank	708.08

General Fund	Jeff Bank	2,653.67
Inmate Funds	Jeff Bank	202,344.79
Inmate Occupational Therapy	Jeff Bank	33,138.27
Inmate Savings	Jeff Bank	51,357.24
Inmate Savings CD	Hometown Bank	78,275.34
<b>10300 - ROCHESTER CORRECTIONAL FACILITY</b>		
Consolidated Advance Account	M&T Bank	1,540.00
Employee Recreation Fund	M&T Bank	1,117.08
Inmate Deposit Account	M&T Bank	128,859.98
Misc Fees	M&T Bank	2,131.27
Work Release Advance Account	M&T Bank	6,601.00
<b>10320 - EDGEcombe CORRECTIONAL FACILITY</b>		
Agency Advance	JPMorgan Chase Bank, N.A.	1,163.60
Employee Benefit Account	JPMorgan Chase Bank, N.A.	3,229.85
Inmate Cash Account	JPMorgan Chase Bank, N.A.	26,627.20
Misc. Receipts Account	JPMorgan Chase Bank, N.A.	0.00
Occupational Therapy Acct	JPMorgan Chase Bank, N.A.	2,671.66
Work Release Account	JPMorgan Chase Bank, N.A.	0.00
<b>10350 - OGDENSBURG CORRECTIONAL FACILITY</b>		
Agency Advance Account	Community Bank	2,979.00
EBF	Community Bank	7,157.73
Inmate Fund	Community Bank	86,569.00
Inmate Savings	Community Bank	45,238.74
Misc. Receipts	Community Bank	46.46
Occupational Therapy	Community Bank	14,044.87
<b>10370 - FIVE POINTS CORRECTIONAL FACILITY</b>		
Consolidated Advance	Five Star Bank	1,062.70
EBF Checking	Five Star Bank	37,714.08
EBF Savings	Five Star Bank	30,842.33
Inmate Savings	Five Star Bank	257,931.15
Inmate Spendable	Five Star Bank	211,024.61
Misc Receipts	Five Star Bank	858.86
Occupational Therapy	Five Star Bank	31,577.18
<b>10390 - MOHAWK CORRECTIONAL FACILITY</b>		
Agency Advance	Key Bank	2,206.99
Employee Benefit Fund Checking	Bank of America, N.A.	30,123.14
Inmate Funds Checking	Key Bank	237,804.87
Inmate Funds Savings	Key Bank	314,475.34
Miscellaneous Revenue	Key Bank	369.74
Occupational Therapy	Key Bank	24,241.27
<b>10430 - WENDE CORRECTIONAL FACILITY</b>		
Consolidated Advance	Alden State Bank	3,809.34
Employee Benefit Account	Alden State Bank	18,161.07
Inmate Savings	Alden State Bank	197,144.89
Inmates Funds	Alden State Bank	184,436.37
Misc. Receipts	Alden State Bank	9,850.40
Occupational Therapy	Alden State Bank	38,370.07
<b>10441 - DEPARTMENT OF CORRECTIONAL SERVICES-FOOD PRODUCTION CENTER</b>		
Office of Nutritional Services		
Miscellaneous Receipts	Key Bank	6,703.33
<b>10450 - GOWANDA CORRECTIONAL FACILITY</b>		
Advance Account	Community Bank	2,183.63
Employee Benefit Fund	Community Bank	12,475.85
Inmate Funds	Community Bank	187,544.02
Inmate Funds Savings	Community Bank	259,769.71
Miscellaneous Revenue	Community Bank	2,733.70
Occupational Therapy	Community Bank	19,907.22
<b>10460 - GROVELAND CORRECTIONAL FACILITY</b>		
Agency Advance Account	Five Star Bank	1,655.00
Employee Commission Account	Five Star Bank	12,431.26
Inmate Funds Account	Five Star Bank	100,123.16
Inmate Funds Account - Savings	Five Star Bank	108,054.46
Miscellaneous Receipts Account	Five Star Bank	555.54
Occupational Therapy Account	Five Star Bank	15,741.13
<b>10470 - COLLINS CORRECTIONAL FACILITY</b>		
Agency Advance	Community Bank	4,850.00
Employee Activities	Community Bank	41,564.06
Inmate Fund Checking	Community Bank	234,421.04
Inmate Savings	Community Bank	130,552.06
Miscellaneous Revenue	Community Bank	3,316.82
Occupational Therapy	Community Bank	11,764.90
<b>10480 - MID-STATE CORRECTIONAL FACILITY</b>		
Agency Advance	Key Bank	1,162.87
Employee Benefit Fund	Bank of America, N.A.	33,827.28

Inmate Funds	Key Bank	299,878.36
Inmate Savings	Key Bank	198,748.13
Misc. Revenue	Key Bank	1,463.36
Occupational Therapy	Key Bank	37,367.32
<b>10490 - MARCY CORRECTIONAL FACILITY</b>		
Agency Advance Account	Key Bank	4,486.39
Employee Benefit Fund Account	Bank of America, N.A.	12,441.35
Inmate Fund Account	Key Bank	226,469.88
Misc receipts Acct	Key Bank	0.00
NYS DOCS Marcy CORR Facility	Key Bank	206,812.16
Occupational Therapy fund Acct	Key Bank	42,429.77
<b>10500 - NYC CENTRAL ADMINISTRATION</b>		
Agency Advance Acct	JPMorgan Chase Bank, N.A.	0.00
Misc Receipts	JPMorgan Chase Bank, N.A.	459.17
<b>10501 - CENTRAL PHARMACY</b>		
NYS Docs Central Pharmacy Advance Acct	Bank of America, N.A.	1,000.00
<b>10510 - MORIAH SHOCK INCARCERATION CORRECTIONAL FACILITY</b>		
Moriah Shock Incarceration Advance Acct	Glens Falls National	No report received
Moriah Shock Incarceration Employee Benefit Fund	Glens Falls National	No report received
Moriah Shock Incarceration Occ Therapy	Glens Falls National	No report received
Moriah Shock Misc Receipts	Glens Falls National	No report received
NYS Moriah Shock Incarceration Inmate Checking	Glens Falls National	No report received
<b>10530 - FRANKLIN CORRECTIONAL FACILITY</b>		
Advance Account	Key Bank	5,034.66
Employee Benefit Account	Key Bank	18,860.91
Inmate Funds	Key Bank	122,661.23
Inmate Occupational Therapy	Key Bank	13,255.28
Inmate Savings	Key Bank	217,714.63
Misc. Receipts	Key Bank	4,784.57
<b>10540 - ALTONA CORRECTIONAL FACILITY</b>		
Cons Adv Travel Petty Cash	NBT Bank	3,238.48
Employees Vending Benefit	NBT Bank	9,268.22
Inmates Funds	NBT Bank	115,099.30
Misc Revenues General Fund	NBT Bank	87.10
Occupational Therapy	NBT Bank	4,565.08
<b>10550 - CAYUGA CORRECTIONAL FACILITY</b>		
Agency Advance	First National Bank of Groton	1,354.33
Cert Of Deposit	First National Bank of Groton	87,348.97
Employee Benefit Fund	First National Bank of Groton	5,229.82
Inmate Occupational Therapy Account	First National Bank of Groton	225,312.57
Inmate Spendable	First National Bank of Groton	32,570.63
Misc Receipts	First National Bank of Groton	1,290.07
<b>10560 - BARE HILL CORRECTIONAL FACILITY</b>		
Agency Advance	Key Bank	1,868.94
Employee Benefit Fund	Key Bank	6,559.29
Inmate Spendable Funds	Key Bank	71,381.25
Key Public Money Market Checking	Key Bank	359,001.70
Miscellaneous Receipts	Key Bank	1,432.17
Occupational Therapy	Key Bank	16,996.38
<b>10570 - RIVERVIEW CORRECTIONAL FACILITY</b>		
Agency Advance Account	Key Bank	1,500.00
Inmate Accounts	Key Bank	98,840.56
Inmate Savings Account	Key Bank	105,643.04
Miscellaneous Receipts Account	Key Bank	0.00
Miscellaneous Receipts Account	Key Bank	7,810.13
Occupational Therapy	Key Bank	13,267.31
<b>10580 - CAPE VINCENT CORRECTIONAL FACILITY</b>		
Advance Account	Community Bank	1,900.00
Employee Benefit Fund	Community Bank	41,259.81
Inmate Occupation Therapy Acct	Community Bank	31,773.56
Inmate Savings	Community Bank	151,735.18
Inmate Spendable Account	Community Bank	118,828.83
Miscellaneous Receipts Account	Community Bank	3,574.90
<b>10600 - LAKEVIEW SHOCK INCARCERATION CORRECTIONAL FACILITY</b>		
Agency Advance	Community Bank	3,685.40
Employee Benefit Fund	Community Bank	10,437.03
Inmate Funds	Community Bank	50,295.29
Inmate Funds - Sav	Community Bank	94,660.42
Miscellaneous Revenue	Community Bank	2,142.42
Occupational Therapy	Community Bank	830.25
<b>10610 - ULSTER CORRECTIONAL FACILITY</b>		
Agency Advance	Bank of America, N.A.	0.00
Agency Advance	M&T Bank	1,532.32
Employee Benefit Fund	Bank of America, N.A.	0.00

Employee Benefit Fund	M&T Bank	7,611.96
Inmate Fund	Bank of America, N.A.	0.00
Inmate Fund	M&T Bank	120,447.23
Inmate Funds Savings	Bank of America, N.A.	0.00
Inmate Funds Savings	M&T Bank	12,304.14
Misc Receipts	M&T Bank	37.03
Misc. Receipts	Bank of America, N.A.	0.00
Occupational Therapy	Bank of America, N.A.	0.00
Occupational Therapy	M&T Bank	3,147.14
<b>10630 - SOUTHPORT CORRECTIONAL FACILITY</b>		
Advance Account	Chemung Canal Trust	496.87
Employee Benefit Fund	Chemung Canal Trust	10,618.43
Inmate Funds	Chemung Canal Trust	90,326.32
Inmate Funds Account	Chemung Canal Trust	414.35
Misc. Receipts Account	Chemung Canal Trust	81,742.72
Occupational Therapy Account	Chemung Canal Trust	26,003.15
<b>10640 - ORLEANS CORRECTIONAL FACILITY</b>		
Agency Advance	Bank of America, N.A.	2,019.13
Employee Benefit Fund	Bank of America, N.A.	8,930.19
Inmate Funds	Bank of America, N.A.	110,438.92
Inmate Savings	Bank of America, N.A.	108,180.26
Miscellaneous Receipts	Bank of America, N.A.	14,853.99
Occupational Therapy	Bank of America, N.A.	16,802.47
<b>10650 - WASHINGTON CORRECTIONAL FACILITY</b>		
Advance Account	Key Bank	2,449.54
EBF Account	Key Bank	6,301.57
General Account	Key Bank	0.00
Inmate Account	Key Bank	151,916.22
Inmate Funds Account Certificate Of Deposit	Glens Falls National	79,050.03
Inmate Savings Account	Key Bank	14,369.02
Occupational Therapy Account	Key Bank	22,195.39
<b>10660 - WYOMING CORRECTIONAL FACILITY</b>		
Agency Advance	Five Star Bank	6,300.00
Employee Benefit Fund	Five Star Bank	10,115.30
Inmate Occupational Therapy	Five Star Bank	33,624.26
Inmate Savings - Certificate of Deposit	Five Star Bank	25,000.00
Inmate Savings - Certificate of Deposit	Five Star Bank	25,000.00
Inmate Savings Account	Five Star Bank	51,926.95
Inmate Savings-Certificate of Deposit	Five Star Bank	25,000.00
Inmate Spendable	Five Star Bank	223,229.70
Misc. Receipts Account	Five Star Bank	7,543.58
<b>10670 - GREENE CORRECTIONAL FACILITY</b>		
Consolidated Advance	National Bank of Coxsackie	1,971.78
Inmate Accounts	National Bank of Coxsackie	199,508.14
Inmate Savings	National Bank of Coxsackie	248,774.40
Misc. Receipts	National Bank of Coxsackie	944.14
Occupational Therapy	National Bank of Coxsackie	61,845.71
<b>10680 - SHAWANGUNK CORRECTIONAL FACILITY</b>		
Consolidated Advance Account	Key Bank	1,300.00
Inmate Funds	Key Bank	150,381.51
Inmates Funds Account	Key Bank	64,833.70
Misc. Receipts Account	Key Bank	4,306.17
Occupational Therapy Acct	Key Bank	28,047.72
<b>10690 - SULLIVAN CORRECTIONAL FACILITY</b>		
Consolidated Advance	Key Bank	2,522.50
Inmate Checking	Key Bank	135,611.50
Inmate Savings	Key Bank	100,498.50
Miscellaneous	Key Bank	6,010.55
Occupational Therapy	Key Bank	28,320.77
<b>10810 - GOUVERNEUR CORRECTIONAL FACILITY</b>		
Agency Advance	Community Bank	2,144.00
Inmate Occupational Therapy	Community Bank	21,000.84
Inmate Savings	Community Bank	174,990.66
Inmate Spendable Fund	Community Bank	167,676.68
Misc Receipts	Community Bank	12,190.66
<b>10820 - WILLARD DRUG TREATMENT CENTER</b>		
Consolidated Advance	Community Bank	598.44
Employee Benefit Fund	Community Bank	12,875.58
Inmate Funds	Community Bank	4,814.09
Inmate Occupational Therapy	Community Bank	11,572.87
Misc Receipts	Community Bank	1,503.29
<b>10840 - UPSTATE CORRECTIONAL FACILITY-AUDIT 1</b>		
Advance Account	Key Bank	No report received
Facility Committees	Key Bank	No report received



Inmate Fund	Key Bank	No report received
Inmate Fund Savings	Key Bank	No report received
Inmate Occupational Therapy Fund	Key Bank	No report received
Miscellaneous Account	Key Bank	No report received
<b>10850 - HALE CREEK ASACTC</b>		
Consolidated Advance	Key Bank	675.00
Employee Benefit Fund	Bank of America, N.A.	9,670.59
Inmate Funds	Key Bank	71,954.91
Inmate Interest Bearing Account	Key Bank	15,000.13
Misc Receipts	Key Bank	3.50
Occupational Therapy	Key Bank	29,014.10
<b>10890 - CORRECTIONS AND COMMUNITY SUPERVISION</b>		
Asset Forfeiture Special Rev Acct	Bank of America, N.A.	307,462.76
Parole Supervision Fee	Wells Fargo Bank	22,370.34
<b>10916 - CENTRAL OFFICE - INDUSTRIES</b>		
Div of Ind Petty Cash Acct	Key Bank	5,763.00
Div of Ind Revenue Acct	Key Bank	313,495.66
<b>11000 - EDUCATION DEPARTMENT</b>		
Consolidated Advance Account	Key Bank	No report received
Consolidated Advance Account (Control Disbursement)	Key Bank	No report received
Revenue Account	Key Bank	No report received
<b>11100 - NYS HIGHER EDUCATION SERVICES CORPORATION</b>		
NYS HESC-Federal Student Loan Suspense	Key Bank	159,090.54
Operating	Key Bank	7,424,955.18
Retail Lockbox	US Bank	154,076.00
TAP	Key Bank	44,012.72
Wholesale Lockbox	US Bank	1,646,037.30
<b>11260 - BATAVIA SCHOOL FOR THE BLIND</b>		
Misc. Receipts	M&T Bank	3,301.92
Petty Cash	M&T Bank	3,536.64
Student Spending Account	Bank of America, N.A.	8,402.16
<b>11270 - ROME SCHOOL FOR THE DEAF</b>		
Miscellaneous Receipts	NBT Bank	2,686.28
Petty Cash	NBT Bank	2,070.00
Student Activity Fund	NBT Bank	15,463.85
<b>11280 - ARCHIVES PARTNERSHIP TRUST</b>		
Endowment	Janney Montgomery Scott LLC	4,834,887.15
Endowment - Special Account	Janney Montgomery Scott LLC	No report received
Trust's Board Project Account	Key Bank	248,656.05
<b>12000 - DEPARTMENT OF HEALTH CENTRAL ADMINISTRATION</b>		
Conf Narcotic Investigation	M&T Bank	0.00
Consolidated Advance Acct	M&T Bank	5,500.00
CSA Rebate Account	Bank of America, N.A.	0.00
DOH EPIC Lockbox Acct	Wells Fargo Bank	41,185.34
Early Intervention - Municipal Deposits for Provider Pymts	Key Bank	0.00
Early Intervention - Provider Payments Escrow	Key Bank	99,501.83
Early Intervention - State Funds	Key Bank	16,255.82
EPIC Co Pay Account	Bank of America, N.A.	0.00
Epic Drug Manufacturer Rebate Account	Bank of America, N.A.	1,480.98
EPIC EFT Acct	Bank of America, N.A.	0.00
EPIC Master Funding Acct	Bank of America, N.A.	13,347.87
EPIC Provider Receipt Account	Bank of America, N.A.	0.00
EPIC Refund Acct	Bank of America, N.A.	0.00
eWIC	Wells Fargo Bank	23,673.61
General Account	M&T Bank	32,241.46
ICR Audit Fees Account	Bank of America, N.A.	115,996.08
Indian Health Disbursement Account	Bank of America, N.A.	0.00
Indian Health-Master Acct	Bank of America, N.A.	11,576.31
Medicaid	Key Bank	620.41
Medicaid Audit Recoveries Acct	Key Bank	0.00
Medicaid Insurance Recoveries Acct	Bank of America, N.A.	293,529.73
Nurses Aide Fees (Prometric)	Bank of America, N.A.	61,109.54
Nursing Home Fees Account	Bank of America, N.A.	50,714.58
NYS DOH CLEP Revenue	Key Bank	38,037.68
OBRA Drug Rebate Program Acct	Bank of America, N.A.	131,949.81
SPARCS	Key Bank	43,686.26
<b>12010 - ROSWELL PARK MEMORIAL INSTITUTE</b>		
Office Of Patient Accounts	M&T Bank	1,579,269.87
<b>12030 - HELEN HAYES HOSPITAL</b>		
Misc. Receipts	JPMorgan Chase Bank, N.A.	234,415.44
Petty Cash Account	JPMorgan Chase Bank, N.A.	13,328.90
Rental Deposit Acct	JPMorgan Chase Bank, N.A.	5,405.72
<b>12120 - NYS VETERANS HOME-OXFORD</b>		
Agency Advance	NBT Bank	7,957.51

Exchange Account	NBT Bank	17,151.88
Maintenance Fund	NBT Bank	144,067.28
NYS Veterans Home-Oxford (Resident Account, Custodial Account)	NBT Bank	266,722.09
Resident Custodial Account	NBT Bank	119.81
Resident Custodial Account	NBT Bank	11,905.57
Resident Custodial Account	NBT Bank	12,163.72
Resident Custodial Account	NBT Bank	48,219.67
Resident Custodial Account	NBT Bank	5,121.96
Resident Custodial Account	NBT Bank	4,642.82
Resident Custodial Account	NBT Bank	3,060.61
Resident Custodial Account	NBT Bank	5,072.25
Resident Custodial Account	NBT Bank	9,345.27
Resident Custodial Account	NBT Bank	4,431.73
Resident Custodial Account	NBT Bank	1,779.92
<b>12150 - NYS VETERANS HOME-ST ALBANS</b>		
NYC Veteran Home Agency Advance	JPMorgan Chase Bank, N.A.	35,665.69
St Albans NYC Vet Home Resid Funds	JPMorgan Chase Bank, N.A.	829,934.67
St Albans Vet Home Maintenance Acct	NBT Bank	424,831.40
<b>12180 - WESTERN NEW YORK VETERANS HOME</b>		
Advance Account	Bank of America, N.A.	5,500.00
Exchange Account	Bank of America, N.A.	10,353.18
Maintenance Account	NBT Bank	77,015.36
Resident Funds	Bank of America, N.A.	61,190.04
<b>12190 - VETERANS HOME AT MONTROSE</b>		
Agency Advance Account	Bank of America, N.A.	8,571.49
Maintenance Acct	NBT Bank	451,423.71
Residence Account	Bank of America, N.A.	674,278.05
<b>12200 - OFFICE OF MEDICAID INSPECTOR GENERAL</b>		
Albany Confidential Account	Key Bank	254.59
Albany Petty Cash Account	Key Bank	467.00
NYC Confidential Account	JPMorgan Chase Bank, N.A.	166.00
<b>14000 - DEPARTMENT OF LABOR</b>		
Agency Advance Account	Key Bank	26,525.00
Exchange Account	Bank of America, N.A.	16,027.30
Fee And Permit Account	Key Bank	1,572,787.11
Min Wage & Claim Funding Acct	Key Bank	109,137.21
Minimum Wage & Wage Claim Acct	Key Bank	765,329.53
Misc Receipts	Bank of America, N.A.	311,995.37
U.I. Fund Clearing Account	JPMorgan Chase Bank, N.A.	98,554,778.61
UI Fund ACH Transactions	Wells Fargo Bank	3,000,000.00
<b>14010 - WORKERS COMPENSATION BOARD</b>		
DTF/WCB MAC 14	JPMorgan Chase Bank, N.A.	No report received
<b>16000 - PUBLIC SERVICE COMMISSION</b>		
Cable Account	Key Bank	5,407.41
Petty Cash Account	Key Bank	3,735.00
Special Fee Account	Key Bank	10,266.91
<b>17000 - NYS DEPARTMENT OF TRANSPORTATION</b>		
Contractors Bid And Guarantee	Key Bank	137,215.12
Driver Improvement Program (DIP)	Key Bank	17,064.31
Main Office Advance For Travel	Key Bank	52,901.30
PARTNERS DOT -HOOCs	Key Bank	4,036,929.17
Revenue Unit	Key Bank	1,841,033.80
Republic Airport, Long Island		
Republic Airport Revenue Acct	JPMorgan Chase Bank, N.A.	91,861.80
<b>19000 - DEPARTMENT OF STATE</b>		
Atheltic	M&T Bank	0.00
Licensing Revenue Account	JPMorgan Chase Bank, N.A.	604,074.66
Main	M&T Bank	87,811.38
Petty Cash Account	Key Bank	17,455.30
Summons	M&T Bank	0.00
<b>19001 - TUG HILL COMMISSION</b>		
Agency Advance Account	Key Bank	No report received
<b>19002 - LAKE GEORGE PARK COMMISSION</b>		
Petty Cash Account	Glens Falls National	No report received
Revenue Transfer Account	Glens Falls National	No report received
<b>19005 - COMMISSION ON PUBLIC INTEGRITY</b>		
JCOPE Petty Cash Account	Bank of America, N.A.	No report received
JCOPE Revenue Account	Bank of America, N.A.	No report received
<b>20000 - DEPARTMENT OF TAXATION &amp; FINANCE</b>		
IFTA Funding	JPMorgan Chase Bank, N.A.	7,781.74
Misc Tax Account - Exchange	Bank of America, N.A.	70,955.60
Off Track Betting Tax (MAC 848)	Key Bank	608,501.98
Pari Mutuel Betting Tax (MAC 847)	Key Bank	562,108.34
Petty Cash	Bank of America, N.A.	15,000.00

Tax Preparer Registration Fee (EFT)	Wells Fargo Bank	19,400.00
Waste Tire Fee (EFT)	Wells Fargo Bank	59,705.03
Waste Tire Tax	JPMorgan Chase Bank, N.A.	34,362.42
<b>20020 - DEPARTMENT OF TAXATION &amp; FINANCE</b>		
Commercial Gaming Revenue Account	Key Bank	No report received
Commercial Gaming Revenue Account	Key Bank	No report received
<b>20050 - NEW YORK STATE GAMING COMMISSION</b>		
Charitable Gaming Account	Key Bank	16,031.23
Commercial Gaming Revenue Account	Key Bank	83.65
Custody Account	US Bank	12,686.25
Fingerprint Concentration Account	Key Bank	17,460.86
License Revenue Account	Bank of America, N.A.	16,091.81
Lottery Concentration Account	Key Bank	0.00
Lottery Prize Payment Account	Key Bank	0.00
Lottery Subscriptions Account	Key Bank	699,552.90
Petty Cash Account	Key Bank	921.17
Racing Refund Account	Key Bank	957,407.23
Video Gaming Revenue Account	Key Bank	250,220.83
<b>21012 - WELFARE INSPECTOR GENERAL</b>		
Confidential Fund	Bank of America, N.A.	15,000.00
Confidential Fund	JPMorgan Chase Bank, N.A.	No report received
Petty Cash	JPMorgan Chase Bank, N.A.	No report received
<b>21110 - OFFICE OF REGULATORY REFORM</b>		
Petty Cash	Key Bank	No report received
<b>21190 - NYS ENERGY RESEARCH &amp; DEVELOPMENT AUTHORITY</b>		
NYSERDA Greenbank MAC 26	JPMorgan Chase Bank, N.A.	No report received
NYSERDA Main Checking MAC 30	JPMorgan Chase Bank, N.A.	(3,272,294.50)
<b>21290 - HUDSON RIVER-BLACK RIVER REGULATING DISTRICT</b>		
Checking- General Fund Acct.	Community Bank	109,165.98
Checking- Petty Cash Fund	Community Bank	5,000.00
Hudson River General Acct	Bank of America, N.A.	407,980.17
Money Market	Bank of America, N.A.	0.30
Petty Cash Fund	Bank of America, N.A.	6,500.00
<b>21700 - OFFICE OF THE STATE INSPECTOR GENERAL</b>		
Office Of The State Inspector General Pass Thru Account	Key Bank	56,204.23
OSIG Petty Cash Account	Key Bank	1,545.13
Albany		
Office of the Inspector General Confidential	Bank of America, N.A.	27,700.00
<b>21820 - STATE COMMISSION ON JUDICIAL CONDUCT</b>		
Petty Cash Account	JPMorgan Chase Bank, N.A.	608.85
Petty Cash Account	Key Bank	902.00
Petty Cash Fund	JPMorgan Chase Bank, N.A.	1,371.94
<b>21940 - NYS FINANCIAL CONTROL BOARD</b>		
Agency Advance Acct	JPMorgan Chase Bank, N.A.	No report received
<b>23000 - DEPARTMENT OF MOTOR VEHICLES</b>		
Albany Central Main Acct		
Albany Central Main Acct	Wells Fargo Bank	1,445,049.40
Albany Central Main Exchange		
Exchange	Wells Fargo Bank	68,969.33
Albany Central Office		
Title Escrow Exchange (Albany Central Office)	Wells Fargo Bank	27,575.46
Albany TVB Sub (Albany Central Office)		
Adjudication Account	Wells Fargo Bank	46,158.03
Administrative Adj	M&T Bank	No report received
Albany-Region 3		
Confidential Inv Subpoena - Albany	Key Bank	1,500.00
Allegany-Belmont		
County Clerk Fee Allegany	Steuben Trust Co.	8,558.24
Andirondack Mountains		
County Fee Account	JPMorgan Chase Bank, N.A.	148,056.91
Buffalo-Region 5		
MV- Buffalo Investigator & Subpoena	M&T Bank	1,227.00
Capital Saratoga Revenue		
County Fee Account	JPMorgan Chase Bank, N.A.	421,273.31
Catskill Mountains		
County Fee Account	JPMorgan Chase Bank, N.A.	142,024.60
Central Leatherstocking		
County Fee Account	JPMorgan Chase Bank, N.A.	96,590.82
Chautauqua-Steuben		
County Fee Acct	JPMorgan Chase Bank, N.A.	58,005.75
Chautauqua County		
Holding Acct-Chautauqua County	Community Bank	No report received
Holding Acct-Chautauqua County	Key Bank	No report received
Holding Acct-Chautauqua County	M&T Bank	No report received

Concentration (CTY)(OSC)		
Concentration (CTY)(OSC)	Key Bank	384,000.00
Concentration (DO)(OSC)		
Concentration (DO)(OSC)	Key Bank	550,108.50
Confidential Fund (Albany Central Office)		
Confidential Fund	Bank of America, N.A.	9,859.00
CTY Credit Card (Albany Central Office)		
County Office Credit Card Account	JPMorgan Chase Bank, N.A.	1,023,233.58
Customer Service Counter (Albany)		
NYS DMV CSC	Wells Fargo Bank	93,437.58
D.O. Credit Card (Albany Central Office)		
District Office Credit Card Account	JPMorgan Chase Bank, N.A.	3,489,539.13
DMV Division Of Field Investigations - Albany Central Office		
Field Investigation	M&T Bank	No report received
Downstate		
Revenue Account - Downstate	Wells Fargo Bank	1,337,955.57
Eric County Revenue		
County Fee Account	JPMorgan Chase Bank, N.A.	50,000.00
Finger Lakes First		
County Fee Acct	JPMorgan Chase Bank, N.A.	78,432.56
Finger Lakes Second		
County Fee Acct	JPMorgan Chase Bank, N.A.	85,161.63
Genesee County		
Genesee County Clerk - DMV	Bank of Castile	No report received
Greene County		
Fee Account - Greene	Greene County Commercial Bank	No report received
Hudson Valley		
County Fee Acct	JPMorgan Chase Bank, N.A.	79,234.23
IRP (Albany Central Office)		
International Registration	M&T Bank	50,076.71
International Registration	Wells Fargo Bank	670,927.62
IRP Exchange (Albany Central Office)		
Irp Internet Office - Dept. MV	M&T Bank	273,466.31
Kiosk		
Kiosk Account	JPMorgan Chase Bank, N.A.	60,894.50
Long Island/Staten Island DO		
Long Island/Staten Island DO	Wells Fargo Bank	3,076,400.90
Long Island/Staten Island JP		
Long Island/Staten Island (Mass/Med)	JPMorgan Chase Bank, N.A.	33,900.12
Nassau Region 1		
Div. of Vehicle Safety	Citibank	No report received
Niagara Frontier		
County Fee Acct	JPMorgan Chase Bank, N.A.	67,235.31
Oneida County		
DMV Oneida County Fee Account	Bank of Utica	218,959.21
Oneida County Fee Account	NBT Bank	87,435.52
Petty Cash (Albany Central Office)		
Petty Cash	Bank of America, N.A.	7,050.20
Queens-Region 6		
Confidential - Queens	JPMorgan Chase Bank, N.A.	4,500.00
Rockland/Westchester DO		
Rockland/Westchester DO	Wells Fargo Bank	257,065.37
Search Exchange (Albany Central Office)		
MV Search	Key Bank	207,908.61
Search Exchange (Albany Central Office)		
MV Search	Wells Fargo Bank	96,330.37
Syracuse-Region 4		
Confidential - Syracuse	Key Bank	1,500.00
Thousand Island Seaway		
County Fee Acct	JPMorgan Chase Bank, N.A.	324,280.30
TLC/DOCCS		
TLC/DOCCS	JPMorgan Chase Bank, N.A.	743,486.57
Travel Advance (Albany Central Office)		
Travel Advance	Bank of America, N.A.	1,000.00
TVB Acct		
TVB Acct	Wells Fargo Bank	231,183.62
TVB Credit Card (Albany Central Office)		
TVB Credit Card Receipts	JPMorgan Chase Bank, N.A.	467,257.37
Upstate DO		
Upstate District Offices (ALB, SYD, SYS, UTD)	Wells Fargo Bank	434,075.95
Utica D.O.		
Exchange Account	Bank of Utica	No report received
Revenue Utica	Bank of Utica	1,606,092.79
Yonkers-Region 2		

Safety Sup Automotive FAC INSP	JPMorgan Chase Bank, N.A.	No report received
<b>25000 - OFFICE OF CHILDREN &amp; FAMILY SERVICES</b>		
Brentwood Resid Center Cash Advance	JPMorgan Chase Bank, N.A.	950.00
Brentwood Residents' Account	JPMorgan Chase Bank, N.A.	675.20
Brookwood Cash Advance	Key Bank	1,702.58
Brookwood Resid Residential Cash	Key Bank	13,924.29
Check Exchange	Bank of America, N.A.	64.95
CO Independent Living Acct	Bank of America, N.A.	2,000.00
Co. Training Employment Dev (Youth Stipend)	Bank of America, N.A.	25,000.00
Columbia Girls Secure Center-Advance Acct	Key Bank	873.36
Columbia Girls Secure Center-Youth Savings	Key Bank	1,061.01
Finger Lakes Res Ctr Residents Cash	Tompkins County Trust	3,515.05
Fingerlakes Res Ctr Cash Advance	Tompkins County Trust	3,300.00
Goshen Cash Advance	Bank of America, N.A.	2,600.00
Goshen Residents Account	Bank of America, N.A.	3,327.96
Harriet Tubman Advance Account	Key Bank	500.00
Harriet Tubman Residents' Account	Key Bank	612.36
Highland Res Ctr Petty Cash Account	Bank of America, N.A.	2,545.55
Highland Res Ctr Residents Acct	Bank of America, N.A.	1,637.72
Home Office Care & Maintenance Account	Bank of America, N.A.	0.00
Industry Advance Account	JPMorgan Chase Bank, N.A.	1,954.00
Industry Res Account	JPMorgan Chase Bank, N.A.	5,515.40
Industry School Dug-Out	JPMorgan Chase Bank, N.A.	0.00
MacCormick Cash Advance	Tompkins County Trust	2,408.81
MacCormick Residents' Account	Tompkins County Trust	5,936.48
Medicaid Reimbursement Exchange	Bank of America, N.A.	146,003.65
NYS OCFS Advance Acct (Travel & Misc P.C.)	Bank of America, N.A.	15,464.00
NYS OCFS Salary Advance Account	Bank of America, N.A.	17,821.83
Queens-Long Island Aftercare	JPMorgan Chase Bank, N.A.	500.00
Red Hook Res Ctr Resident Cash	Key Bank	376.13
Red Hook Resid Ctr Cash Advance	Key Bank	300.00
SCR Credit Card Revenue Account	Bank of America, N.A.	17,075.00
State Central Register	Bank of America, N.A.	64,342.60
Taberg Cash Advance	NBT Bank	758.85
Taberg Residents Account	NBT Bank	726.80
Youth Leadership Academy	NBT Bank	0.00
Youth Leadership Cash Advance	NBT Bank	705.00
<b>27000 - OFFICE OF TEMPORARY &amp; DISABILITY ASSISTANCE</b>		
Exchange Account	Key Bank	20.70
Title IV D Of Social Security	Key Bank	2,482,856.93
Travel Advance	Key Bank	10,001.14
<b>28010 - SUNY ALBANY</b>		
Fee Account	Key Bank	1,134,455.69
Loan Services Center Account	Key Bank	164,724.74
Petty Cash/Travel Advance	Key Bank	0.00
<b>28020 - SUNY BINGHAMTON</b>		
SUNY Binghamton	M&T Bank	171,750.09
SUNY Binghamton - Controlled Disb	M&T Bank	0.00
<b>28030 - SUNY BUFFALO</b>		
Controlled Disbursement Account	Bank of America, N.A.	0.00
General Revenue Account	Bank of America, N.A.	0.00
General Revenue Account	Key Bank	718,566.96
Imprest Account	Key Bank	0.00
<b>28050 - SUNY STONY BROOK</b>		
Central Funding	JPMorgan Chase Bank, N.A.	2,533,869.68
Controlled Disbursement	JPMorgan Chase Bank, N.A.	0.00
Fees Depository	JPMorgan Chase Bank, N.A.	4,237,672.23
LISVH Fees Depository	JPMorgan Chase Bank, N.A.	1,666,724.61
LISVH Fees Depository	Sterling Bank	589,042.95
LISVH Residence Fund	Sterling Bank	349,070.00
Payroll Advance	JPMorgan Chase Bank, N.A.	5,562.63
SBU Student Refunds Cont Disb Acct	JPMorgan Chase Bank, N.A.	0.00
Student ACH Refunds Account	JPMorgan Chase Bank, N.A.	198,929.79
SUNY Eastern Long Island Hospital Depository	JPMorgan Chase Bank, N.A.	1,062,155.82
SUNY Southampton Depository	JPMorgan Chase Bank, N.A.	2,384,788.52
University Hosp Fees Depository	JPMorgan Chase Bank, N.A.	1,265,946.13
University Hospital Petty Cash	JPMorgan Chase Bank, N.A.	1,909.35
<b>28100 - SUNY HEALTH SCIENCE CENTER AT BROOKLYN</b>		
Center Revenue	JPMorgan Chase Bank, N.A.	222,028.33
EFT Federal Deposits Acct	JPMorgan Chase Bank, N.A.	0.00
Hospital Controlled Disbursement	JPMorgan Chase Bank, N.A.	0.00
Hospital Revenue	JPMorgan Chase Bank, N.A.	1,268,596.30
LICH Controlled Disbursement	JPMorgan Chase Bank, N.A.	0.00
LICH Depository	JPMorgan Chase Bank, N.A.	153,399.45

Petty Cash	JPMorgan Chase Bank, N.A.	0.00
Student Refunds	JPMorgan Chase Bank, N.A.	0.00
<b>28110 - SUNY HEALTH SCIENCE CENTER AT SYRACUSE</b>		
College Revenue	Key Bank	80,660.21
Controlled Disbursement	Key Bank	0.00
Hospital Revenue	Key Bank	3,082,422.28
Parking	Key Bank	186,539.54
<b>28150 - SUNY BROCKPORT</b>		
Brockport-REOC Account	Key Bank	491.87
Concentration Acct	M&T Bank	206,996.79
Controlled Disb	M&T Bank	0.00
<b>28160 - SUNY BUFFALO STATE COLLEGE</b>		
Controlled Disb	M&T Bank	0.00
Dept Public Safety	M&T Bank	0.00
Special Grant Account	M&T Bank	3,773.14
Students Acct Office	M&T Bank	95,167.62
<b>28170 - SUNY CORTLAND</b>		
General Checking Account	Key Bank	38,015.82
<b>28180 - SUNY FREDONIA</b>		
Controlled Disb	M&T Bank	0.00
Depository Account	M&T Bank	643,469.35
<b>28190 - SUNY GENESEO</b>		
Controlled Disbursement Account	Key Bank	0.00
State Fees	Key Bank	73,256.93
<b>28200 - SUNY OLD WESTBURY</b>		
Local Depository	JPMorgan Chase Bank, N.A.	73,075.33
<b>28210 - SUNY NEW PALTZ</b>		
Disbursement Account	Key Bank	0.00
State Revenue	Key Bank	54,243.65
<b>28220 - SUNY ONEONTA</b>		
Petty Cash Advance Account	NBT Bank	0.00
Revenue Account	NBT Bank	1,716,484.02
<b>28230 - SUNY OSWEGO</b>		
Controlled Disbursement	Key Bank	0.00
General Revenue	Key Bank	235,573.85
Imprest Account	Key Bank	0.00
<b>28240 - SUNY PLATTSBURGH</b>		
General Revenue	TD Bank	203,035.57
<b>28250 - SUNY POTSDAM</b>		
Control Disbursement Account	Key Bank	0.00
State Fee Reconciliation Account	Key Bank	40,456.37
<b>28260 - SUNY PURCHASE</b>		
General Income Fund	Key Bank	153,756.76
<b>28270 - SUNY INSTITUTE OF TECHNOLOGY UTICA/ROME</b>		
Advance Account	Bank of America, N.A.	0.00
Controlled Disbursement Account	Bank of America, N.A.	0.00
Revenue	Bank of America, N.A.	61,558.56
<b>28280 - SUNY EMPIRE STATE COLLEGE</b>		
Concentration Account	Key Bank	No report received
Distribution Center Account	Key Bank	No report received
Zero Balance Controlled Disbursement Account	Key Bank	No report received
<b>28350 - SUNY COLLEGE OF TECHNOLOGY AT ALFRED</b>		
Fees Account	Community Bank	1,612,515.14
<b>28360 - SUNY COLLEGE OF TECHNOLOGY AT CANTON</b>		
Community Cash Deposits	NBT Bank	263,335.26
Income Fund	Key Bank	191,203.71
International Program Account	Key Bank	37,521.36
<b>28370 - SUNY AGRICULTURAL &amp; TECHNICAL COLLEGE AT COBLESKILL</b>		
Income Fund	Key Bank	39,432.86
<b>28380 - SUNY COLLEGE OF TECHNOLOGY AT DELHI</b>		
General Revenue	Delaware National Bank	76,128.31
Petty Cash Fund	Delaware National Bank	0.00
<b>28390 - SUNY COLLEGE OF TECHNOLOGY AT FARMINGDALE</b>		
Income Fund	Citibank	483,344.97
<b>28400 - SUNY AGRICULTURAL &amp; TECHNICAL COLLEGE AT MORRISVILLE</b>		
Income Fund	Key Bank	1,951,750.65
Revenue Account	NBT Bank	11,007.67
<b>28550 - SUNY COLLEGE OF ENVIRONMENTAL SCIENCE &amp; FORESTRY</b>		
Agency Advance	Key Bank	0.00
Controlled Disb	Key Bank	0.00
ESF/GSA	Key Bank	0.00
Forestry	Community Bank	196.78
Regular Account	Key Bank	84,788.25
Student Government	Key Bank	0.00



<b>28570 - SUNY MARITIME COLLEGE</b>		
Controlled Disbursement Account	JPMorgan Chase Bank, N.A.	0.00
Cruise Account	JPMorgan Chase Bank, N.A.	0.00
Revenue Deposit Account	JPMorgan Chase Bank, N.A.	966,559.79
Revenue EFT Account	JPMorgan Chase Bank, N.A.	18,520.01
<b>28580 - SUNY COLLEGE OF OPTOMETRY</b>		
General Revenue	JPMorgan Chase Bank, N.A.	83,692.24
Medical Transportation	JPMorgan Chase Bank, N.A.	1,398.88
<b>28650 - SUNY CENTRAL SYSTEM ADMINISTRATION</b>		
ASC	Key Bank	10,000.00
NYS Iso	Key Bank	4,168,740.88
Revenue	Key Bank	58,101.72
<b>37000 - DEPARTMENT OF FINANCIAL SERVICES</b>		
Confidential Investigations	JPMorgan Chase Bank, N.A.	11,202.85
Confidential Investigations	JPMorgan Chase Bank, N.A.	9,967.00
Fire Tax Account (Main)	Key Bank	13,625.31
Fire Tax Payment	Key Bank	0.00
General Assessment Account	JPMorgan Chase Bank, N.A.	59,388.59
General Fund	Key Bank	36,278.63
Market Stabilization Pool Account	JPMorgan Chase Bank, N.A.	2,925,670.68
Miscellaneous Account	JPMorgan Chase Bank, N.A.	97,543.01
Paid Family Leave	JPMorgan Chase Bank, N.A.	63,362,002.13
Petty Cash	Key Bank	6,000.00
Workers Comp Insurance Sec Fund Pymnt	JPMorgan Chase Bank, N.A.	100,001.73
<b>49010 - SARATOGA-CAPITAL DISTRICT STATE PARK COMMISSION</b>		
Revenue (SA)	Glens Falls National	45,490.91
SARATOGA REGION CONTRACTORS BID ACCOUNT	Key Bank	88,133.68
<b>49020 - LONG ISLAND STATE PARK COMMISSION</b>		
Contractors Bid (LI)	JPMorgan Chase Bank, N.A.	71,276.93
Regional Account (LI)	Bank of America, N.A.	1.00
Regional Account 2 (LI)	JPMorgan Chase Bank, N.A.	183,450.20
Revenue (LI)	People's United Bank	30,987.48
<b>49030 - GENESEE STATE PARK COMMISSION</b>		
Contractors Bid (GE)	Bank of Castile	43,911.50
Revenue (GE)	Bank of Castile	132,653.27
<b>49040 - NIAGARA FRONTIER STATE PARK COMMISSION</b>		
Contractors Bid (NIA)	Key Bank	1,698.65
Revenue (NIA)	Evans National Bank	42,038.80
<b>49050 - PALISADES INTERSTATE STATE PARK COMMISSION</b>		
Contractors Bid (PA)	JPMorgan Chase Bank, N.A.	3,213.97
<b>49070 - OFFICE OF PARKS &amp; RECREATION</b>		
Main Office - Change Fund	Key Bank	14,210.00
Main Office Account (ALB)	Key Bank	1,500.00
OPRHP Concentration Account	Key Bank	295,491.88
Petty Cash (ALB)	Key Bank	29,764.25
Revenue (NI, GE, AL, CE, TA)	M&T Bank	48,318.56
Revenue (NYC, CE, LI, PA, TA)	JPMorgan Chase Bank, N.A.	149,026.03
Revenue (SA, LI, GE, NI, CE, TA)	Bank of America, N.A.	83,218.68
Revenue (SA, NI, PA, CE, TA, TI)	Key Bank	21,216.90
Revenue (various)	Wells Fargo Bank	81,801.55
Statewide Campsite/Cabin Revenue	JPMorgan Chase Bank, N.A.	728,835.15
Statewide Credit Card Revenue, Revenue (ALB, FL, LI, PA, TI)	Key Bank	464,712.87
Statewide Housing Security Deposits	Key Bank	137,783.28
<b>49090 - FINGER LAKES STATE PARK COMMISSION</b>		
Contractors Bid (FL)	Tompkins County Trust	20,517.78
Revenue (FL)	Savannah Bank	95,616.13
Revenue (FL-Multi)	Community Bank	12,765.06
Revenue (FL-Multi-Facilities)	Tompkins County Trust	163,031.46
<b>49100 - ALLEGANY STATE PARK COMMISSION</b>		
Contractors Bid (AL)	Five Star Bank	868.00
Regional Account (AL)	Five Star Bank	129,817.34
Revenue (AL)	Five Star Bank	58,603.16
<b>49120 - CENTRAL NEW YORK STATE PARK COMMISSION</b>		
Contractors Bid (CE)	JPMorgan Chase Bank, N.A.	21,065.27
Revenue (CE, SA, TI)	NBT Bank	79,946.65
<b>49130 - TACONIC STATE PARK COMMISSION</b>		
Contractors Bid (TA)	M&T Bank	627.58
<b>49140 - THOUSAND ISLANDS STATE PARK COMMISSION</b>		
Revenue (TI)	Citizens Bank	24,070.31
Revenue (TI-Multi)	Community Bank	8,814.40
<b>50000 - OFFICE OF MENTAL HEALTH</b>		
Consolidated Advance	Bank of America, N.A.	14,928.01
Iterim Assistance Agreement	Bank of America, N.A.	No report received
OMH Medication Grant Program Acct	Bank of America, N.A.	385,217.43

Reimbursement Account	Bank of America, N.A.	No report received
<b>50010 - GREATER BINGHAMTON HEALTH CENTER</b>		
Agency Advance Account	JPMorgan Chase Bank, N.A.	9,787.88
Facility Holding Account	JPMorgan Chase Bank, N.A.	10,724.41
Patient Cash Funds	JPMorgan Chase Bank, N.A.	450,870.16
Patients Cash Account	JPMorgan Chase Bank, N.A.	440,769.42
Security Deposit	JPMorgan Chase Bank, N.A.	2,891.30
<b>50020 - KINGSBORO PSYCHIATRIC CENTER</b>		
Advance Account	Banco Popular	15,094.38
Family Care	Banco Popular	14,290.87
Holding Account	Banco Popular	217,515.40
Medicaid Outpatient Travel	Banco Popular	413.75
Patient Cash Acct (MM)	Banco Popular	223,217.63
Patient Checking Account	Citibank	46,128.59
Patient Savings Account	Banco Popular	334,858.78
Security Deposit	Banco Popular	2,489.18
Urban Oasis/EBT	Banco Popular	53,109.32
<b>50030 - BUFFALO PSYCHIATRIC CENTER</b>		
Advance Account	Key Bank	36,637.88
Facility Holding	Key Bank	15,930.38
Patient Cash Checking	Key Bank	295,688.51
<b>50080 - MANHATTAN PSYCHIATRIC CENTER</b>		
Advance Account	Sterling Bank	10,389.84
CD	Hudson Valley National Bank	425,038.94
General Fund Checking	Hudson Valley National Bank	5,859.85
Patient Cash Checking	Hudson Valley National Bank	983,316.42
Patients Money Market	Hudson Valley National Bank	72,871.28
Social Service Tokens	Hudson Valley National Bank	33,959.29
<b>50110 - ROCHESTER PSYCHIATRIC CENTER</b>		
Agency Advance	Key Bank	10,940.68
Facility Holding	Key Bank	49,369.89
Patients Cash Account	Key Bank	213,534.14
Patients Fund Savings	Key Bank	202,133.25
<b>50120 - ST LAWRENCE PSYCHIATRIC CENTER</b>		
Facility Advance Account	Community Bank	18,975.97
Facility Holding Account	Community Bank	10,749.19
Patients Cash Account	Community Bank	30,177.08
Patients Cash Savings	Community Bank	288,885.36
<b>50150 - CREEDMOOR PSYCHIATRIC CENTER</b>		
Advance Account	HSBC	613,717.67
Certificate Of Deposit	HSBC	250,000.00
Certificate Of Deposit	HSBC	150,000.00
Holding Account	HSBC	16,359.14
Medicaid Travel Account	HSBC	0.00
Money Management Account	HSBC	69,419.46
Patient Cash Account	HSBC	147,492.65
Rent Holding Account	HSBC	0.00
<b>50170 - ROCKLAND PSYCHIATRIC CENTER</b>		
Exchange	JPMorgan Chase Bank, N.A.	No report received
Holding Account	JPMorgan Chase Bank, N.A.	No report received
INVESTMENT CD A	Sterling Bank	No report received
INVESTMENT CD B	Sterling Bank	No report received
INVESTMENT CD C	Sterling Bank	No report received
INVESTMENT CD D	Sterling Bank	No report received
INVESTMENT CD G	Sterling Bank	No report received
INVESTMENT CD I	Sterling Bank	No report received
Patient Cash Account	JPMorgan Chase Bank, N.A.	No report received
Patient Cash Checking Account	JPMorgan Chase Bank, N.A.	No report received
Patient Cash Savings Account	JPMorgan Chase Bank, N.A.	No report received
<b>50180 - NYS PSYCHIATRIC INSTITUTE</b>		
Donation & Gift Acct/Patient Fund Acct	JPMorgan Chase Bank, N.A.	4,559.21
General	JPMorgan Chase Bank, N.A.	35,375.38
Petty Cash	JPMorgan Chase Bank, N.A.	1,276.59
<b>50190 - RICHARD H HUTCHINGS PSYCHIATRIC CENTER</b>		
Advance Account	Key Bank	16,507.98
Clients Count	Key Bank	176,174.59
Holding Account	Key Bank	16,479.42
<b>50200 - PILGRIM PSYCHIATRIC CENTER</b>		
Facility Advance Account	JPMorgan Chase Bank, N.A.	58,695.39
Facility Holding Acct	JPMorgan Chase Bank, N.A.	175,875.79
Patient Cash	JPMorgan Chase Bank, N.A.	1,196,438.66
<b>50210 - MOHAWK VALLEY PSYCHIATRIC CENTER</b>		
Advance Fund	Key Bank	10,139.26
Facility Holding	Key Bank	1,439.43

Patient Cash Checking	Key Bank	35,237.38
Patient Cash Savings	Key Bank	28,929.85
Security Deposit	Key Bank	6,772.86
<b>50310 - BRONX PSYCHIATRIC CENTER</b>		
CD	JPMorgan Chase Bank, N.A.	250,000.00
Consolidated Advance	JPMorgan Chase Bank, N.A.	35,517.38
Misc. Receipts	JPMorgan Chase Bank, N.A.	27,226.38
Patients Cash MM	JPMorgan Chase Bank, N.A.	88,200.06
Patients Checking Acct	JPMorgan Chase Bank, N.A.	481,717.13
<b>50340 - NATHAN KLINE INSTITUTE</b>		
Petty Cash Account	JPMorgan Chase Bank, N.A.	1,300.00
<b>50350 - KIRBY FORENSIC PSYCHIATRIC CENTER</b>		
Consolidated Advance Account	Hudson Valley National Bank	5,319.36
Holding Account	Hudson Valley National Bank	30,718.65
Patient Cash Account	Hudson Valley National Bank	111,982.25
<b>50390 - CENTRAL NY PSYCHIATRIC CENTER</b>		
Agency Advance Account	Key Bank	9,604.96
General Fund	Key Bank	5,755.21
Patient Checking	Key Bank	45,151.87
Patient Savings	Key Bank	251,498.66
Security Deposit	Key Bank	3,820.66
<b>50440 - MID-HUDSON FORENSIC PSYCHIATRIC CENTER</b>		
Facility Advance Account	Key Bank	16,025.00
Facility Holding Account	Key Bank	810.50
Patients Account	Key Bank	205,476.15
<b>50520 - BROOKLYN CHILDRENS PSYCHIATRIC CENTER</b>		
Petty Cash Advance Account	Banco Popular	4,965.00
<b>50790 - SOUTH BEACH PSYCHIATRIC CENTER</b>		
Agency Advance Checking	JPMorgan Chase Bank, N.A.	25,244.58
Facility Holding Checking	JPMorgan Chase Bank, N.A.	14,044.90
Family Care Checking	JPMorgan Chase Bank, N.A.	0.00
Patients Cash Checking	JPMorgan Chase Bank, N.A.	578,145.58
<b>50800 - BRONX CHILDRENS PSYCHIATRIC CENTER</b>		
General Fund	JPMorgan Chase Bank, N.A.	11,450.07
<b>50810 - WESTERN NY CHILDRENS PSYCHIATRIC CENTER</b>		
Agency Advance	Key Bank	2,447.20
Exchange Account	Key Bank	122.27
Patient Cash	Key Bank	105.00
<b>50850 - SAGAMORE CHILDRENS PSYCHIATRIC CENTER</b>		
Sagamore Patient Personal Acct	JPMorgan Chase Bank, N.A.	51,949.23
Sagamore Petty Cash Account	JPMorgan Chase Bank, N.A.	4,290.20
<b>50860 - ROCKLAND CHILDRENS PSYCHIATRIC CENTER</b>		
Advance Account	JPMorgan Chase Bank, N.A.	No report received
<b>50870 - QUEENS CHILDRENS PSYCHIATRIC CENTER</b>		
Money Management Account	HSBC	3,573.89
Queens Adv Acct	HSBC	11,205.00
Queens Childrens Account	HSBC	5,887.69
<b>50920 - ELMIRA PSYCHIATRIC CENTER</b>		
Certificate of Deposit	Chemung Canal Trust	100,000.00
Certificate of Deposit	Chemung Canal Trust	100,000.00
Facility Advance Account	Chemung Canal Trust	12,462.20
General Fund Account	Chemung Canal Trust	2,440.25
IMMA	Chemung Canal Trust	46,368.98
Patients Fund Account	Chemung Canal Trust	271,169.53
<b>50980 - CAPITAL DISTRICT PSYCHIATRIC CENTER</b>		
Facility Advance Account	Key Bank	No report received
Facility Holding Account	Key Bank	No report received
Parking Garage Account	Key Bank	No report received
Patients Cash Checking Account	Key Bank	No report received
Patients Cash Savings Account	Key Bank	No report received
<b>51000 - NYS OFFICE FOR PEOPLE WITH DEVELOPMENTAL DISABILITIES</b>		
Petty Cash Acct	Bank of America, N.A.	No report received
Revenue Account	Bank of America, N.A.	8,003,382.34
<b>51210 - HUDSON VALLEY DDSO</b>		
EBT Checking	JPMorgan Chase Bank, N.A.	No report received
Exchange Account	JPMorgan Chase Bank, N.A.	No report received
General Fund	JPMorgan Chase Bank, N.A.	No report received
Investor's Choice Savings	JPMorgan Chase Bank, N.A.	No report received
Petty Cash	JPMorgan Chase Bank, N.A.	No report received
PTS Cash Checking	JPMorgan Chase Bank, N.A.	No report received
<b>51240 - CENTRAL NY DDSO</b>		
Advance Accounts	Key Bank	34,969.96
Clients Account	Key Bank	1,150,600.96
Consumer Cash - Savings	Adirondack Bank	1,366,151.16

Consumer Cash - Savings	Key Bank	3,416,537.59
<b>51250 - TACONIC DDSO</b>		
Agency Advance Account	M&T Bank	65,163.80
General Fund	M&T Bank	4,765.73
Patients Cash Checking	M&T Bank	2,717,564.37
500 Balltown Rd Schenectady, NY		
TDDSO Representative Payee Savings Acct	The Adirondack Trust Company	813,994.28
<b>51270 - STATEN ISLAND DDSO</b>		
Clients Cash Account	JPMorgan Chase Bank, N.A.	No report received
General Fund Account	JPMorgan Chase Bank, N.A.	No report received
Money Market Account	JPMorgan Chase Bank, N.A.	No report received
Petty Cash Account	JPMorgan Chase Bank, N.A.	No report received
<b>51290 - CAPITAL DISTRICT DDSO</b>		
Agency Advance Account	Key Bank	No report received
Agency Advance Account	The Adirondack Trust Company	No report received
Consumer EBT Fund / Fiduciary Account	Key Bank	No report received
Consumers Fund / Fiduciary Account	Key Bank	No report received
Patients Account Direct Deposit / Fiduciary Acct	The Adirondack Trust Company	No report received
Patients Fund Operating Acct / Fiduciary Acct	The Adirondack Trust Company	No report received
Summer Camp - Fiduciary Acct	The Adirondack Trust Company	No report received
<b>51330 - WESTERN NY DDSO</b>		
Agency Advance Account	M&T Bank	No report received
CD-Patient Property Funds	Key Bank	No report received
Exchange Account	M&T Bank	No report received
General Account	Community Bank	No report received
Patient Property Funds	Adirondack Bank	No report received
Patient Property Funds	M&T Bank	No report received
<b>51350 - LONG ISLAND DDSO</b>		
Consolidated Advance	JPMorgan Chase Bank, N.A.	No report received
EBT Checking Account	JPMorgan Chase Bank, N.A.	No report received
General Fund	JPMorgan Chase Bank, N.A.	No report received
Patient Cash	JPMorgan Chase Bank, N.A.	No report received
<b>51380 - BROOKLYN DDSO</b>		
CD	Banco Popular	No report received
Consumers Fund	JPMorgan Chase Bank, N.A.	445,279.85
Consumers Money Management	JPMorgan Chase Bank, N.A.	687,169.42
Miscellaneous Receipts	JPMorgan Chase Bank, N.A.	223,499.14
Petty Cash - Mental Hygiene Brooklyn	JPMorgan Chase Bank, N.A.	22,307.11
Thomas Shirtz Community Service	JPMorgan Chase Bank, N.A.	7,143.86
<b>51420 - SUNMOUNT DDSO</b>		
Certificate of Deposit	Community Bank	No report received
Community Store Fund	Community Bank	No report received
Exchange Fund	Community Bank	No report received
Residents Fund	Community Bank	No report received
Sunmount Advance Account	Community Bank	No report received
Sunmount EBT Checking Account	Community Bank	No report received
<b>51430 - INSTITUTE FOR BASIC RESEARCH IN DEVELOPMENTAL DISABILITIES</b>		
Petty Cash	JPMorgan Chase Bank, N.A.	3,000.00
<b>51450 - METRO NY DDSO</b>		
Consolidated Acct	JPMorgan Chase Bank, N.A.	28,816.54
Manhattan DDSO Client Cash	JPMorgan Chase Bank, N.A.	28,310.65
Metro NY DDSO Food Stamp Acct	JPMorgan Chase Bank, N.A.	0.00
Metro NY DDSO REP PAYEE ACCOUNT	JPMorgan Chase Bank, N.A.	391,107.74
Patients Cash Account	JPMorgan Chase Bank, N.A.	18,798.32
Patients Money Market Account	JPMorgan Chase Bank, N.A.	0.10
Petty Cash	JPMorgan Chase Bank, N.A.	0.00
<b>51470 - BERNARD M FINESON DDSO</b>		
Money Market Account	JPMorgan Chase Bank, N.A.	307,046.01
Patient Fund Account	JPMorgan Chase Bank, N.A.	152,309.37
Petty Cash Fund	JPMorgan Chase Bank, N.A.	14,877.47
<b>51780 - FINGER LAKES DDSO</b>		
Advance Account	JPMorgan Chase Bank, N.A.	No report received
F.L. Newark Resident Checking	Community Bank	No report received
Finger Lakes Resident Checking	JPMorgan Chase Bank, N.A.	No report received
FL Vending Machine Account	Community Bank	No report received
General Account	JPMorgan Chase Bank, N.A.	No report received
Geneseo Client Cash	Bank of America, N.A.	No report received
Kelsey Trust Fund	Bank of America, N.A.	No report received
Mary Moore Trust Fund	Bank of America, N.A.	No report received
Monroe Resident Checking	JPMorgan Chase Bank, N.A.	No report received
Monroe Resident Savings	JPMorgan Chase Bank, N.A.	No report received
Patient Food Stamp Account	JPMorgan Chase Bank, N.A.	No report received
<b>51940 - BROOME DDSO</b>		
Broome DDSO	M&T Bank	No report received

Broome DDSO - Advance Account	M&T Bank	No report received
Broome DDSO - General Fund	M&T Bank	No report received
Disabled Individuals Savings	Key Bank	No report received
Disabled Individuals Savings	M&T Bank	No report received
OMRDD Broome DDSO EBT Checking	M&T Bank	No report received
<b>53000 - OFFICE OF ALCOHOLISM &amp; SUBSTANCE ABUSE SERVICES</b>		
Agency Advance Acct	Key Bank	2,800.00
Creedmoor PNA Account	JPMorgan Chase Bank, N.A.	0.00
Patient Fees	Bank of America, N.A.	1,096,631.18
Revenue Account	Bank of America, N.A.	46,559.06
<b>53020 - KINGSBORO ALCOHOLISM TREATMENT CENTER</b>		
Petty Cash Advance Account	Bank of America, N.A.	924.85
<b>70000 - CUNY UNIVERSITY MANAGEMENT &amp; PROGRAM BOARD OF HIGHER EDUCATION</b>		
CUNY Admin Imprest Cash Account	Citibank	11,103.68
<b>70030 - CUNY HUNTER COLLEGE</b>		
Travel Petty Cash Account	Citibank	46,481.60
<b>70060 - CUNY JOHN JAY COLLEGE</b>		
CUNY JOHN JAY COLLEGE IMPREST FUND	Citibank	16,681.16
<b>70070 - CUNY LEHMAN COLLEGE</b>		
Lehman College	Citibank	4,345.50
<b>70080 - CUNY YORK COLLEGE</b>		
York College Imprest Funds	Citibank	11,288.64
York College Travel Advance Fund	Citibank	817.00
<b>70100 - CUNY COLLEGE OF STATEN ISLAND</b>		
CSI Imprest Cash	TD Bank	4,912.13
<b>70120 - CUNY NYC COLLEGE OF TECHNOLOGY</b>		
NY City College Of Technology Technical College Imprest Fund	Popular Community Bank	5,000.00
<b>70150 - CUNY SCHOOL OF LAW</b>		
CUNY School Of Law	TD Bank	26,849.41

The above balances represent funds deposited in various banking institutions as reported by the State department and agencies, and published in accordance with Section 107 of the State Finance Law.

*Division of the Treasury, Department of Taxation and Finance*  
**Christopher Curtis** Deputy Commissioner and State Treasurer

**FUNDS OF THE DIVISION OF THE TREASURY OF WHICH THE COMMISSIONER OF TAXATION AND FINANCE  
IS THE SOLE CUSTODIAN WITH BALANCES AS OF 07/31/2020**

ACCOUNT DESCRIPTION	DEPOSITORY	BALANCE AS OF 07/31/2020
<b>COMMUNITY COLLEGE TUITION AND INSTRUCTIONAL INCOME FUND</b>		
0231 Upstate Community Colleges, Series 2005A	Key Bank	0.00
0232 Upstate Community Colleges, Series 2005B	Key Bank	10,002,210.87
<b>DEPARTMENT OF FINANCIAL SERVICES</b>		
0001 Property/Casualty Insurance Security Fund	Key Bank	136,364.86
0002 Public Motor Vehicle Security Fund	JPMorgan Chase Bank, N.A.	439,679.90
0003 Workers' Compensation Security Fund	JPMorgan Chase Bank, N.A.	485,578.52
<b>DORMITORY AUTHORITY OF THE STATE OF NEW YORK</b>		
0039 Mental Hygiene Facilities Improvement Fund Income Account	Bank of America, N.A.	1,143.49
0070 Hospital and Nursing Home Project Operating Fund	Key Bank	0.00
0104 Lincoln Medical and Mental Health Center Project Construction Account	Key Bank	12,015.27
0105 Greenpoint Medical and Mental Health Center Project Construction Account	Key Bank	299,399.81
0149 State Advances Repayment Account	Bank of America, N.A.	0.00
1202 DA DFRF MAC #1202 Binghamton	Key Bank	0.00
1203 DA DFRF MAC #1203 Oneonta	Key Bank	0.00
1205 DA DFRF MAC #1205 Delhi	Key Bank	0.00
1206 DA DFRF MAC #1206 Buffalo Univ	Key Bank	0.00
1207 DA DFRF MAC #1207 Buffalo College	Key Bank	0.00
1208 DA DFRF MAC #1208 Alfred	Key Bank	0.00
1209 DA DFRF Mac #1209 Fredonia	Key Bank	0.00
1211 DA DFRF Mac #1211 Upstate Medical	Key Bank	0.00
1212 DA DFRF Mac #1212 Oswego	Key Bank	0.00
1214 DA DFRF Mac #1214 Cortland	Key Bank	0.00
1215 DA DFRF MAC #1215 Stony Brook	Key Bank	0.00
1216 DA DFRF MAC #1216 Old Westbury	Key Bank	0.00
1217 DA DFRF MAC #1217 Farmingdale	Key Bank	0.00
1218 DA DFRF MAC #1218 Downstate Med	Key Bank	0.00
1219 DA DFRF MAC #1219 Maritime	Key Bank	0.00
1221 DA DFRF MAC #1221 Brockport	Key Bank	0.00
1222 DA DFRF MAC #1222 Geneseo	Key Bank	0.00
1223 DA DFRF MAC #1223 Purchase	Key Bank	0.00
1224 DA DFRF MAC #1224 New Paltz	Key Bank	0.00
1225 DA DFRF MAC #1225 Canton	Key Bank	0.00
1226 DA DFRF MAC #1226 Plattsburgh	Key Bank	0.00
1227 DA DFRF MAC #1227 Potsdam	Key Bank	0.00
1228 DA DFRF MAC #1228 Morrisville	Key Bank	0.00
1229 DA DFRF MAC #1229 SUNYIT	Key Bank	0.00
1230 DA DFRF MAC #1230 Cobleskill	Key Bank	0.00
1239 DA DFRF MAC #1239 Albany	Key Bank	0.00
1240 Dormitory Authority Collection Account - Mac 1240	Key Bank	0.00
2202 DA OMR MAC #2202 Binghamton	Key Bank	0.00
2203 DA OMR MAC #2203 Oneonta	Key Bank	0.00
2205 DA OMR MAC #2205 Delhi	Key Bank	0.00
2206 DA OMR MAC #2206 Buffalo Univ	Key Bank	0.00
2207 DA OMR MAC #2207 Buffalo College	Key Bank	0.00
2208 DA OMR MAC #2208 Alfred	Key Bank	0.00
2209 DA OMR MAC #2209 Fredonia	Key Bank	0.00
2211 DA OMR MAC #2211 Upstate Medical	Key Bank	0.00
2212 DA OMR MAC #2212 Oswego	Key Bank	0.00
2214 DA OMR MAC #2214 Cortland	Key Bank	0.00
2215 DA OMR MAC #2215 Stony Brook	Key Bank	0.00
2216 DA OMR MAC #2216 Old Westbury	Key Bank	0.00
2217 DA OMR MAC #2217 Farmingdale	Key Bank	0.00
2218 DA OMR MAC #2218 Downstate Med	Key Bank	0.00
2219 DA OMR MAC #2219 Maritime	Key Bank	0.00
2221 DA OMR MAC #2221 Brockport	Key Bank	0.00
2222 DA OMR MAC #2222 Geneseo	Key Bank	0.00
2223 DA OMR MAC #2223 Purchase	Key Bank	0.00
2224 DA OMR MAC #2224 New Paltz	Key Bank	0.00
2225 DA OMR MAC #2225 Canton	Key Bank	0.00
2226 DA OMR MAC #2226 Plattsburgh	Key Bank	0.00
2227 DA OMR MAC #2227 Potsdam	Key Bank	0.00
2228 DA OMR MAC #2228 Morrisville	Key Bank	0.00
2229 DA OMR MAC #2229 SUNYIT	Key Bank	0.00
2230 DA OMR MAC #2230 Cobleskill	Key Bank	0.00
2239 DA OMR MAC #2239 Albany	Key Bank	0.00
2240 DA UNALLOCATED FD MAC #2240 System Admin	Key Bank	0.00



**HOMELESS HOUSING ASSISTANCE CORPORATION**

0320	Social Services Homeless Housing and Assistance Corporation Operating Account	Key Bank	6,959,207.03
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**NELSON A. ROCKEFELLER EMPIRE STATE PLAZA PERFORMING ARTS CENTER CORPORATION**

0315	The Egg	Key Bank	51,219.90
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**NEW YORK CONVENTION CENTER**

0300	Operating Fund	JPMorgan Chase Bank, N.A.	10,270,189.88
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**NEW YORK JOB DEVELOPMENT AUTHORITY**

0036	Special Purpose Fund	Bank of America, N.A.	1,368,726.88
0371	Series H Commercial Paper	Bank of America, N.A.	1,412,974.85
0389	Daily Demand Special Purpose Bonds Series 1992A-B	JPMorgan Chase Bank, N.A.	338,832.70
0423	Escrow Account for USA Industries Inc.	Key Bank	37,274.67
0424	Escrow Account for Pluritec USA Inc.	Key Bank	19,782.38
0426	Agriculture Loan Program	Key Bank	30,636.91

**NYS AFFORDABLE HOUSING CORPORATION**

0491	Disbursement Account	JPMorgan Chase Bank, N.A.	754,772.03
0520	Development Account	Key Bank	5,764,138.04
0521	Development Account II	Key Bank	0.00
0522	Repayment Account	Key Bank	1,525,855.75
0523	Recapture Account	Key Bank	122,425.37
0880	Payroll Account	JPMorgan Chase Bank, N.A.	0.00

**NYS DEPARTMENT OF HEALTH**

0004	Medical Indemnity Fund	JPMorgan Chase Bank, N.A.	(1,422,201.04)
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**NYS DEPARTMENT OF TAXATION AND FINANCE**

0510	Excelsior Linked Deposit Fund	Key Bank	0.00
0600	World Trade Center Memorial Foundation Fund Account	Bank of America, N.A.	92,426.86
0625	Advance Acct/Imprest Confidential Fund	Bank of America, N.A.	28,950.00
0626	Criminal Investigation Division	Key Bank	344,431.25
0778	PIT/STAR Rebate Exchange Account	JPMorgan Chase Bank, N.A.	0.00
0800	NYS IRS PIT offset account	Key Bank	0.00
0827	Stock Transfer Incentive Fund	Key Bank	1,118,338.70
0847	Pari-Mutuel Revenue Transfer Account	Key Bank	0.00
0848	Off-Track Bet Tax Revenue Transfer Account	Key Bank	0.00

**NYS ENERGY RESEARCH & DEVELOPMENT AUTHORITY**

0028	Green Jobs - Green New York Fund	Bank of America, N.A.	0.00
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**NYS HOUSING FINANCE AGENCY**

0032	Operating Fund	Key Bank	0.00
0252	Energy Conservation/Tenant Health & Safety Improvement Account	JPMorgan Chase Bank, N.A.	205,007.68
0254	Agency Assisted Housing Operation Fund	JPMorgan Chase Bank, N.A.	4,087,726.49
0255	Residual Indebtedness Program Operating Fund	JPMorgan Chase Bank, N.A.	0.00
0266	Community Related Programs Operating Account	Key Bank	0.00
0267	Neighborhood Stabilization Program - Round 1	JPMorgan Chase Bank, N.A.	62,646.12
0270	Claims Repayment Account	Key Bank	0.00
0271	Pre-Bond Revenue Account	Key Bank	0.00
0274	NYSIFA Special Reserve Fund	JPMorgan Chase Bank, N.A.	1,331,211.47
0277	Amalgamated Warbasse Houses Construction Defect Repair Fund	JPMorgan Chase Bank, N.A.	0.00
0283	Small Owner's Assistance Program Account	Key Bank	75,277.71
0285	Public Purpose Account	Key Bank	2,739,938.00
0286	Disbursement Account	Key Bank	4,631,883.36
0287	Infrastructure Development Fund	Key Bank	197,817.52
0288	Mobile Home Cooperative Fund	Key Bank	23,793.33
0290	Low Rent Lease Account	Key Bank	0.00
0292	Homeless Housing Initiatives	Key Bank	199,854.21
0294	Housing Plan Fund	Key Bank	8,178,928.69
0301	HFA - Subsidy Repayment Account	Key Bank	207,401.57
0305	HPD Disbursement Fund	Key Bank	1,885,387.19
0879	Payroll Account	JPMorgan Chase Bank, N.A.	125,999.27

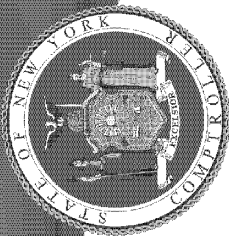
**NYS HOUSING TRUST FUND CORPORATION**

0458	Section 8 Housing Assistance Payment Account	JPMorgan Chase Bank, N.A.	845,063.60
0460	Escrow Account	M&T Bank	6,619,452.48
0461	Housing Modernization Account	M&T Bank	975,311.58
0462	General Custodial Account	M&T Bank	55,971,421.43
0463	General Administrative Account	M&T Bank	0.00
0464	Turnkey Account	M&T Bank	0.00
0465	Home Program Account	M&T Bank	9,328,967.53
0466	Homes for Working Families Account	M&T Bank	6,203,408.59
0467	Section 8 Administrative Account	M&T Bank	23,690,231.65
0468	Small Cities Administrative Account	M&T Bank	0.00
0469	OCR Community Miscellaneous Programs Account	M&T Bank	16,953,083.29

0470	HCV Main Account	Bank of America, N.A.	18,371,591.02
0471	Empire State Relief Fund	M&T Bank	515,425.72
0472	Master Escrow Account	Bank of America, N.A.	0.00
0473	Family Self-Sufficiency Account	Bank of America, N.A.	4,142,021.92
0474	Moderate Rehabilitation Account	Bank of America, N.A.	0.00
0475	Reserve Account	Bank of America, N.A.	1,565,777.76
0476	Voucher Fee Account	Bank of America, N.A.	0.00
0477	Five-Year Mainstream Account	Bank of America, N.A.	0.00
0480	OHP Miscellaneous Programs Account	Bank of America, N.A.	15,507,821.45
0891	Small Cities Community Development Block Grant Program	M&T Bank	2,678,966.83
0892	Disaster Recovery Initiative Account	M&T Bank	26,461.86
0893	Payroll Account for Small Cities CDBG Program	M&T Bank	103,888.49
0895	HTFC Storm Recovery Payment	M&T Bank	1,488,143.59
0899	HTFC Storm Recovery Lockbox	US Bank	44,078.91
<b>NYS TEACHERS RETIREMENT SYSTEM</b>			
0052	Master Funding Account	State Street Bank & Trust Co.	0.00
0052	Main Account	JPMorgan Chase Bank, N.A.	4,984,140.98
0853	Excess Benefit Fund	JPMorgan Chase Bank, N.A.	3,179,640.26
<b>STATE INSURANCE FUND</b>			
0053	State Insurance Fund	Bank of America, N.A.	57,089,708.87
0054	State Insurance Fund	Bank of America, N.A.	6,424,573.99
0055	State Insurance Fund	Bank of America, N.A.	11,142,584.36
0861	Disability Benefits Fund Tax Escrow Account	Bank of America, N.A.	25,572.87
<b>STATE UNIVERSITY CONSTRUCTION FUND</b>			
0034	Income Fund	Key Bank	1,052,393.84
0075	Educational Facilities Revenue Bonds Debt Service Account	Key Bank	31,771.62
0870	Deductions Account	Key Bank	281,291.75
0871	Bio-Tech Incubator at Farmingdale	Key Bank	0.00
<b>WORKERS COMPENSATION BOARD</b>			
0006	Fund for Reopened Cases	Bank of America, N.A.	0.00
0012	WCB Asset Transfer Account	Bank of America, N.A.	0.00
0013	WCB Assessment Revenue Clearing	Bank of America, N.A.	0.00
008K	Special Fund for Disability Benefits	Key Bank	42,429.43

The above balances represent funds deposited in various banking institutions per the records of the Department of Taxation and Finance, Division of Treasury, and published in accordance with Section 107 of the State Finance Law.

*Division of the Treasury, Department of Taxation and Finance*  
**Christopher Curtis** Deputy Commissioner and State Treasurer



Office of the NEW YORK STATE  
COMPTROLLER

# Comptroller's Monthly Report on State Funds Cash Basis of Accounting

JULY 2020

NYS Comptroller  
**THOMAS P. DINAPOLI**

Office of Operations  
Division of Payroll, Accounting and Revenue Services  
Bureau of Financial Reporting and Oil Spill Remediation



**STATE OF NEW YORK**  
**OFFICE OF OPERATIONS**  
**DIVISION OF PAYROLL, ACCOUNTING AND REVENUE SERVICES**  
**BUREAU OF FINANCIAL REPORTING AND OIL SPILL REMEDIATION**

**THOMAS P. DINAPOLI**  
**STATE COMPTROLLER**

**COMPTROLLER'S MONTHLY REPORT TO THE LEGISLATURE ON STATE FUNDS - CASH BASIS OF ACCOUNTING**

July 31, 2020

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## EXHIBIT A

STATE OF NEW YORK  
GOVERNMENTAL FUNDS  
COMBINED STATEMENT OF CASH RECEIPTS, DISBURSEMENTS AND CHANGES IN FUND BALANCES  
(amounts in millions)

	GENERAL		SPECIAL REVENUE		DEBT SERVICE		CAPITAL PROJECTS		TOTAL GOVERNMENTAL FUNDS		YEAR OVER YEAR	
	MONTH OF JULY 2020	4 MOS. ENDED JULY 31, 2020	MONTH OF JULY 2020	4 MOS. ENDED JULY 31, 2020	MONTH OF JULY 2020	4 MOS. ENDED JULY 31, 2020	MONTH OF JULY 2020	4 MOS. ENDED JULY 31, 2020	MONTH OF JULY 2019	4 MOS. ENDED JULY 31, 2019	\$ Increase/ (Decrease)	% Increase/ Decrease
<b>RECEIPTS:</b>												
Personal Income Tax	\$ 5,115.3	\$ 9,432.3	\$ -	\$ -	\$ 9,432.3	\$ -	\$ -	\$ 18,864.6	\$ 3,331.6	\$ 20,241.5	\$ (1,376.9)	-6.8%
Consumption/Use Taxes	593.9	2,087.8	152.3	563.0	1,865.6	43.6	152.6	1,319.5	1,424.9	5,915.7	(1,246.7)	-21.1%
Business Taxes	491.5	1,571.6	174.2	499.7	-	52.7	160.1	719.4	297.1	2,516.7	(85.3)	-11.3%
Other Taxes	148.8	422.7	-	-	196.7	11.9	23.8	213.8	257.1	728.2	(85.0)	-11.7%
Miscellaneous Receipts	342.3	5,385.8	1,224.8	5,041.8	45.9	365.6	2,036.1	1,978.6	1,968.6	8,471.1	4,142.3	48.9%
Federal Receipts	-	5,214.1	27,448.1	-	-	209.1	565.4	5,423.2	3,275.4	20,280.4	7,733.1	38.1%
<b>Total Receipts</b>	<b>6,691.8</b>	<b>18,900.2</b>	<b>6,763.4</b>	<b>33,552.6</b>	<b>5,744.1</b>	<b>682.9</b>	<b>2,938.0</b>	<b>19,884.2</b>	<b>10,554.7</b>	<b>58,153.6</b>	<b>8,881.5</b>	<b>15.3%</b>
<b>DISBURSEMENTS:</b>												
Local Assistance Grants:												
Education	563.3	8,459.7	234.9	1,568.4	-	1.0	17.9	799.2	1,910.2	10,559.6	(513.6)	-4.9%
Environment and Recreation	-	0.1	0.3	0.8	-	12.6	34.2	12.9	14.9	76.7	(41.6)	-54.2%
General Government	12.4	513.6	19.0	44.9	-	36.0	118.8	67.4	63.0	1,017.4	(340.1)	-33.4%
Public Health:												
Medicaid	1,220.8	5,200.7	4,136.8	16,749.3	-	-	-	5,357.6	4,905.7	22,327.3	(377.3)	-1.7%
Other Public Health	433.9	784.9	556.1	2,308.4	-	36.7	156.8	1,026.7	802.3	3,371.2	(121.1)	-3.6%
Public Safety	2.7	7.3	261.6	575.4	-	0.9	4.7	265.2	87.9	493.6	93.8	19.0%
Public Welfare	577.5	873.8	201.6	616.0	-	71.7	179.2	850.8	452.8	1,446.5	222.5	15.4%
Support and Regulate Business	4.8	20.7	1.0	8.5	-	20.9	96.6	26.7	67.8	471.3	(345.5)	-73.3%
Transportation	24.5	24.6	709.1	841.9	-	91.9	212.5	825.5	335.4	1,472.1	(393.1)	-26.7%
<b>Total Local Assistance Grants</b>	<b>2,839.9</b>	<b>15,885.4</b>	<b>6,120.4</b>	<b>22,713.6</b>	<b>-</b>	<b>273.7</b>	<b>820.7</b>	<b>9,234.0</b>	<b>8,640.0</b>	<b>41,235.7</b>	<b>(1,816.0)</b>	<b>-4.4%</b>
Departmental Operations:												
Personal Service	738.8	2,888.4	540.0	2,212.2	-	-	-	1,278.8	1,191.0	5,176.1	(75.5)	-1.5%
Non-Personal Service	(506.7)	166.7	1,078.0	1,897.1	5.8	18.8	-	577.1	519.5	2,085.8	(3.2)	-0.2%
General State Charges	335.9	3,638.5	136.0	385.1	-	-	-	471.9	499.0	4,227.8	(204.2)	-4.8%
Debt Service, Including Payments on Financing Agreements	-	-	-	-	10.7	99.6	-	10.7	45.1	488.9	(369.3)	-78.8%
Capital Projects	-	-	-	-	-	641.4	2,239.0	641.4	600.7	2,099.4	139.6	6.6%
<b>Total Disbursements</b>	<b>3,407.9</b>	<b>22,579.0</b>	<b>7,874.4</b>	<b>27,208.0</b>	<b>16.5</b>	<b>1,184.4</b>	<b>3,059.7</b>	<b>12,213.9</b>	<b>11,495.3</b>	<b>55,293.7</b>	<b>(2,328.6)</b>	<b>-4.2%</b>
<b>Excess (Deficiency) of Receipts over Disbursements</b>	<b>3,283.9</b>	<b>(3,678.8)</b>	<b>(1,109.0)</b>	<b>6,344.6</b>	<b>5,727.6</b>	<b>11,525.9</b>	<b>(121.7)</b>	<b>7,670.3</b>	<b>(940.6)</b>	<b>2,859.9</b>	<b>11,210.1</b>	<b>392.0%</b>
<b>OTHER FINANCING SOURCES (USES):</b>												
Bond and Note Proceeds (net)	-	-	-	-	-	-	-	-	-	-	-	0.0%
Transfers from Other Funds	4,920.7	10,719.7	101.9	1,207.3	311.2	760.7	276.9	5,857.2	3,269.8	15,993.2	(4,026.6)	-23.7%
Transfers to Other Funds	(685.2)	(1,602.1)	(232.9)	(631.3)	(4,931.0)	(10,747.0)	(51.0)	(5,863.1)	(3,261.7)	(17,053.0)	(4,021.6)	-23.6%
<b>Total Other Financing Sources (Uses)</b>	<b>4,235.5</b>	<b>9,117.6</b>	<b>(131.0)</b>	<b>576.0</b>	<b>(4,619.8)</b>	<b>(9,986.3)</b>	<b>225.9</b>	<b>(5.9)</b>	<b>(12.9)</b>	<b>(59.8)</b>	<b>(7.0)</b>	<b>-11.7%</b>
<b>Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses</b>	<b>7,519.4</b>	<b>5,438.8</b>	<b>(1,240.0)</b>	<b>6,920.6</b>	<b>1,107.8</b>	<b>1,538.6</b>	<b>104.2</b>	<b>7,664.4</b>	<b>(953.5)</b>	<b>2,800.1</b>	<b>11,203.1</b>	<b>400.1%</b>
<b>Beginning Fund Balances (Deficits)</b>	<b>6,863.6</b>	<b>8,944.2</b>	<b>14,472.7</b>	<b>6,312.1</b>	<b>495.2</b>	<b>63.4</b>	<b>(1,034.9)</b>	<b>20,623.6</b>	<b>13,728.6</b>	<b>9,975.0</b>	<b>4,308.8</b>	<b>43.2%</b>
<b>Ending Fund Balances (Deficits)</b>	<b>\$ 14,383.0</b>	<b>\$ 14,383.0</b>	<b>\$ 13,232.7</b>	<b>\$ 13,232.7</b>	<b>\$ 1,603.0</b>	<b>\$ 1,603.0</b>	<b>\$ (930.7)</b>	<b>\$ 28,288.0</b>	<b>\$ 12,775.1</b>	<b>\$ 12,775.1</b>	<b>\$ 15,512.9</b>	<b>121.4%</b>

**STATE OF NEW YORK  
GOVERNMENTAL FUNDS-STATE OPERATING (\*)  
COMBINED STATEMENT OF CASH RECEIPTS, DISBURSEMENTS AND CHANGES IN FUND BALANCES  
(amounts in millions)**

**EXHIBIT A  
SUPPLEMENTAL**

	GENERAL			STATE SPECIAL REVENUE (**)			DEBT SERVICE			TOTAL STATE OPERATING FUNDS			
	MONTH OF JULY 2020	4 MOS. ENDED JULY 31, 2020	MONTH OF JULY 2020	4 MOS. ENDED JULY 31, 2020	MONTH OF JULY 2020	4 MOS. ENDED JULY 31, 2020	MONTH OF JULY 2020	4 MOS. ENDED JULY 31, 2020	MONTH OF JULY 2020	MONTH OF JULY 2019	4 MOS. ENDED JULY 31, 2019	\$ Increase/ (Decrease)	% Increase/ Decrease
<b>RECEIPTS:</b>													
Personal Income Tax	\$ 5,115.3	\$ 9,432.3	\$ -	\$ -	\$ 5,115.4	\$ 9,432.3			\$ 1,230.7	\$ 3,331.6	\$ 20,241.5	\$ (1,376.9)	-6.8%
Consumption/Use Taxes	593.9	2,087.8	152.3	563.0	529.7	1,865.6			1,275.9	1,379.9	5,705.0	(1,183.6)	-20.8%
Business Taxes	491.5	1,571.6	174.2	499.7	-	-			665.7	244.6	2,288.6	(217.3)	-9.5%
Other Taxes	148.8	422.7	-	-	53.1	196.7			201.9	245.2	704.4	(85.0)	-12.1%
Miscellaneous Receipts	342.3	5,386.8	1,214.4	4,953.1	45.9	149.7			1,602.6	1,709.4	7,483.4	3,005.2	40.2%
Federal Receipts	-	-	(4.1)	-	-	-			(4.1)	1.7	0.8	(0.8)	-100.0%
<b>Total Receipts</b>	<b>6,691.8</b>	<b>19,900.2</b>	<b>1,536.8</b>	<b>6,015.8</b>	<b>5,744.1</b>	<b>11,644.3</b>			<b>13,972.7</b>	<b>6,912.4</b>	<b>36,423.7</b>	<b>136.6</b>	<b>0.4%</b>
<b>DISBURSEMENTS:</b>													
Local Assistance Grants:													
Education	563.3	8,459.7	0.3	332.4	-	-			563.6	1,611.6	9,048.1	(256.0)	-2.8%
Environment and Recreation	-	0.1	0.2	0.5	-	-			0.2	(0.1)	0.8	(0.2)	-25.0%
General Government	12.4	513.6	8.0	27.5	-	-			20.4	22.4	660.6	(119.5)	-18.1%
Public Health:													
Medicaid	1,220.8	5,200.7	823.1	1,856.1	-	-			2,043.9	1,903.0	9,428.2	(2,371.4)	-25.2%
Other Public Health	433.9	784.9	52.2	174.7	-	-			486.1	261.2	1,065.2	(105.6)	-9.9%
Public Safety	2.7	7.3	14.2	46.1	-	-			16.9	28.0	115.1	(61.7)	-53.6%
Public Welfare	577.5	873.8	0.6	0.9	-	-			578.1	128.9	484.3	390.4	80.6%
Support and Regulate Business	4.8	20.7	0.6	5.4	-	-			5.4	27.8	56.3	(30.2)	-53.6%
Transportation	24.5	24.6	701.8	823.6	-	-			726.3	274.3	1,048.6	(200.4)	-19.1%
<b>Total Local Assistance Grants</b>	<b>2,839.9</b>	<b>15,885.4</b>	<b>1,601.0</b>	<b>3,267.2</b>	<b>-</b>	<b>-</b>			<b>4,440.9</b>	<b>4,257.1</b>	<b>21,907.2</b>	<b>(2,754.8)</b>	<b>-12.6%</b>
Departmental Operations:													
Personal Service	738.8	2,888.4	375.2	1,760.8	-	-			1,114.0	1,146.7	4,961.6	(312.4)	-6.3%
Non-Personal Service	(506.7)	166.7	192.7	758.3	5.8	18.8			(308.2)	437.9	1,761.4	(817.6)	-46.4%
General State Charges	335.9	3,638.5	52.3	215.1	-	-			388.2	463.4	4,112.7	(259.1)	-6.3%
Debt Service, Including Payments on Financing Agreements	-	-	-	-	10.7	99.6			10.7	45.1	468.9	(369.3)	-78.8%
Capital Projects	-	-	-	-	-	-			-	-	0.1	(0.1)	-100.0%
<b>Total Disbursements</b>	<b>3,407.9</b>	<b>22,578.0</b>	<b>2,221.2</b>	<b>6,001.4</b>	<b>16.5</b>	<b>118.4</b>			<b>5,645.6</b>	<b>6,350.2</b>	<b>33,211.9</b>	<b>(4,513.1)</b>	<b>-13.6%</b>
<b>Excess (Deficiency) of Receipts over Disbursements</b>	<b>3,283.9</b>	<b>(3,678.8)</b>	<b>(684.4)</b>	<b>14.4</b>	<b>5,727.6</b>	<b>11,525.9</b>			<b>8,327.1</b>	<b>562.2</b>	<b>3,211.8</b>	<b>4,649.7</b>	<b>144.8%</b>
<b>OTHER FINANCING SOURCES (USES):</b>													
Transfers from Other Funds	4,920.7	10,719.7	135.6	1,297.2	311.2	760.7			5,367.5	3,019.8	15,442.0	(2,664.4)	-17.3%
Transfers to Other Funds	(685.2)	(1,602.1)	(17.7)	(32.4)	(4,931.0)	(10,747.0)			(5,633.9)	(3,125.6)	(16,509.0)	(4,127.5)	-25.0%
<b>Total Other Financing Sources (Uses)</b>	<b>4,235.5</b>	<b>9,117.6</b>	<b>117.9</b>	<b>1,264.8</b>	<b>(4,619.8)</b>	<b>(9,986.3)</b>			<b>(266.4)</b>	<b>(105.8)</b>	<b>(1,067.0)</b>	<b>1,463.1</b>	<b>137.1%</b>
<b>Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses</b>	<b>7,519.4</b>	<b>5,438.8</b>	<b>(566.5)</b>	<b>1,279.2</b>	<b>1,107.8</b>	<b>1,539.6</b>			<b>8,060.7</b>	<b>456.4</b>	<b>2,144.8</b>	<b>6,112.8</b>	<b>285.0%</b>
<b>Beginning Fund Balances (Deficits)</b>	<b>6,863.6</b>	<b>8,944.2</b>	<b>7,246.4</b>	<b>5,400.7</b>	<b>495.2</b>	<b>63.4</b>			<b>14,605.2</b>	<b>14,049.7</b>	<b>12,361.3</b>	<b>2,047.0</b>	<b>16.6%</b>
<b>Ending Fund Balances (Deficits)</b>	<b>\$ 14,383.0</b>	<b>\$ 14,383.0</b>	<b>\$ 6,679.9</b>	<b>\$ 6,679.9</b>	<b>\$ 1,603.0</b>	<b>\$ 1,603.0</b>			<b>\$ 22,665.9</b>	<b>\$ 14,506.1</b>	<b>\$ 14,506.1</b>	<b>\$ 8,159.8</b>	<b>56.3%</b>

(\*) State Operating Funds are comprised of the General Fund, State Special Revenue Funds supported by activities from dedicated revenue sources (including operating transfers from Federal Funds) and Debt Service Funds.

(\*\*) Eliminations between Special Revenue - State and Federal Funds are not included.

**GOVERNMENTAL FUNDS FOOTNOTES****EXHIBIT A NOTES  
JULY 2020**

1. Certain disbursements from Capital Projects funds are financed by operating transfers from other funds, proceeds of State bonds and notes, and reimbursements received from Public Authorities and the Federal Government. The amounts shown below represent disbursements to be reimbursed in future months from the sources indicated:

Urban Development Corporation (Correctional Facilities)	\$159.3 million
Urban Development Corporation (Youth Facilities)	13.2
Housing Finance Agency (HFA)	261.2
Housing Assistance Fund	12.9
Dormitory Authority (Mental Hygiene)	370.1
Dormitory Authority and State University Income Fund	171.2
Federal Capital Projects	541.2
State bond and note proceeds	169.1

2. Operating Transfers constitute legally authorized transfers from a fund receiving revenues to a fund through which disbursements will ultimately be made. The more significant transfers include:

**General Fund** "Transfers to Other Funds" are as follows:

State Capital Projects Fund	\$22.0 million
General Debt Service Fund	116.7
Banking Services Account	9.4
Building Administration Account	4.2
Business Services Center	26.9
Centralized Tech Services	5.0
Court Facilities Incentive Aid Fund	62.6
Dedicated Highway & Bridge Trust Fund	16.5
Dedicated Infrastructure Investment Fund	204.0
Dedicated Mass Transportation (Non MTA)	1.3
Environmental Protection Fund	14.0
Health Insurance Revolving Fund	12.0
Mass Transportation Operating Assistance Fund	21.7
Mass Transportation Financial Assistance	146.6
New York Central Business District Trust Fund	50.0
New York City County Clerks' Operations Offset	2.8
Railroad Account	2.2
State Fair Receipts	3.0
State University Income Fund	821.2
Transit Authority Account	12.2

Also included in the General Fund are transfers representing payments for patients residing in State-operated health, mental hygiene and State University facilities to Debt Service funds (\$2.7m), and the State University Income Fund (\$45.1m).

\$724(b) was added to the State Finance Law in 2010 to permit the State's General Debt Service Fund to maintain a cash reserve for the payment of debt service, and related expenses, during the current fiscal quarter. As of July 31, 2020 - pursuant to a certification of the Budget Director - the reserve amount is (\$78.8m), which was funded by a transfer from the General Fund.

**Special Revenue Funds** "Transfers To Other Funds" includes transfers to Mental Health Services Fund and Department of Health Income Fund (\$597.1m) representing the federal share of Medicaid payments for patients residing in State-operated Health and Mental Hygiene facilities; to Medicaid Medicaid Management Information System Escrow Fund (\$6.3m), SUNY Capital Projects Fund (\$2.2), and All Other Capital Projects (\$18.2).

Also included in Special Revenue funds are transfers to the General Fund from the following:

Federal Department of Health Services Fund	\$1.8 million
SUNY Income Fund	4.9

**Debt Service Funds** "Transfers To Other Funds" includes transfers to the General Fund from the following:

Revenue Bond Tax Fund	\$8,633.7 million
Local Government Assistance Tax Fund	932.8
Sales Tax Revenue Bond Tax Fund	494.5
Clean Water/Clean Air Fund	180.2
Mental Health Services Fund	464.7

Also included in Debt Service funds are transfers to Special Revenue funds representing receipts in excess of lease-purchase obligations that are used to finance a portion of the operating expenses for the Department of Health (\$41.1m).

**Capital Projects Funds** "Transfers To Other Funds" includes transfers to the General Fund (\$6.3m) and the General Debt Service Fund - Lease Purchase (\$44.8m).



## EXHIBIT B

STATE OF NEW YORK  
PROPRIETARY FUNDS  
COMBINED STATEMENT OF CASH RECEIPTS, DISBURSEMENTS AND CHANGES IN FUND BALANCES  
(amounts in millions)

	ENTERPRISE			INTERNAL SERVICE			TOTAL PROPRIETARY FUNDS				YEAR OVER YEAR	
	MONTH OF JULY 2020	4 MOS. ENDED JULY 31, 2020		MONTH OF JULY 2020	4 MOS. ENDED JULY 31, 2020		MONTH OF JULY 2020	4 MOS. ENDED JULY 31, 2020	MONTH OF JULY 2019	4 MOS. ENDED JULY 31, 2019	\$ Increase/ (Decrease)	% Increase/ Decrease
RECEIPTS:												
Miscellaneous Receipts	\$ 6.9	\$ 22.3	\$ 19.8	\$ 26.7	\$ 124.5	\$ 62.1	\$ 186.1				\$ (61.6)	-33.1%
Federal Receipts	8,949.5	28,361.3	-	8,949.5	28,361.3	1.0	4.0				28,357.3	708,932.5%
Unemployment Taxes	1,980.9	8,698.2	-	1,980.9	8,698.2	186.0	647.6				8,050.6	1,243.1%
Total Receipts	10,937.3	37,081.8	19.8	10,957.1	37,184.0	249.1	837.7				36,346.3	4,338.8%
DISBURSEMENTS:												
Departmental Operations:												
Personal Service	1.1	4.5	12.3	13.4	53.4	10.0	44.5				8.9	20.0%
Non-Personal Service	4.9	18.8	31.7	36.6	151.1	39.1	138.9				12.2	8.8%
General State Charges	0.1	0.6	4.6	4.7	23.9	5.3	21.1				2.8	13.3%
Unemployment Benefits	10,926.1	37,050.2	-	10,926.1	37,050.2	187.2	652.5				36,397.7	5,578.2%
Total Disbursements	10,932.2	37,074.1	48.6	10,980.8	37,278.6	241.6	857.0				36,421.6	4,249.9%
Excess (Deficiency) of Receipts Over Disbursements	5.1	7.7	(28.8)	(23.7)	(94.6)	7.5	(19.3)				(75.3)	-390.2%
OTHER FINANCING SOURCES (USES):												
Transfers from Other Funds	-	3.0	1.7	1.7	60.5	2.3	38.8				21.7	55.9%
Transfers to Other Funds	-	-	-	-	-	-	-				-	0.0%
Total Other Financing Sources (Uses)	-	3.0	1.7	1.7	60.5	2.3	38.8				21.7	55.9%
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	5.1	10.7	(27.1)	(22.0)	(34.1)	9.8	19.5				(53.6)	-274.9%
Beginning Fund Balances (Deficits)	35.3	29.7	(315.2)	(279.9)	(267.8)		(276.1)				8.3	3.0%
Ending Fund Balances (Deficits)	\$ 40.4	\$ 40.4	\$ (342.3)	\$ (301.9)	\$ (301.9)	\$ (256.6)	\$ (256.6)				\$ (45.3)	-17.7%

EXHIBIT C

STATE OF NEW YORK  
TRUST FUNDS  
COMBINED STATEMENT OF CASH RECEIPTS, DISBURSEMENTS AND CHANGES IN FUND BALANCES  
(amounts in millions)

	PENSION		PRIVATE PURPOSE		TOTAL TRUST FUNDS			YEAR OVER YEAR	
	MONTH OF JULY 2020	4 MOS. ENDED JULY 31, 2020	MONTH OF JULY 2020	4 MOS. ENDED JULY 31, 2020	MONTH OF JULY 2020	4 MOS. ENDED JULY 31, 2020	MONTH OF JULY 2019	4 MOS. ENDED JULY 31, 2019	% Increase/ (Decrease)
<b>RECEIPTS:</b>									
Miscellaneous Receipts	\$ 23.5	\$ 42.7	\$ 0.2	\$ 0.6	\$ 23.7	\$ 43.3	\$ 5.3	\$ 23.9	81.2%
Total Receipts	<u>23.5</u>	<u>42.7</u>	<u>0.2</u>	<u>0.6</u>	<u>23.7</u>	<u>43.3</u>	<u>5.3</u>	<u>23.9</u>	<u>81.2%</u>
<b>DISBURSEMENTS:</b>									
Departmental Operations:									
Personal Service	5.5	24.9	0.1	0.1	5.6	25.0	5.1	23.5	1.5
Non-Personal Service	1.0	2.9	-	-	1.0	2.9	1.3	4.6	(1.7)
General State Charges	3.4	15.5	-	0.1	3.4	15.6	4.9	14.9	0.7
Total Disbursements	<u>9.9</u>	<u>43.3</u>	<u>0.1</u>	<u>0.2</u>	<u>10.0</u>	<u>43.5</u>	<u>11.3</u>	<u>43.0</u>	<u>0.5</u>
Excess (Deficiency) of Receipts Over Disbursements	<u>13.6</u>	<u>(0.6)</u>	<u>0.1</u>	<u>0.4</u>	<u>13.7</u>	<u>(0.2)</u>	<u>(6.0)</u>	<u>(19.1)</u>	<u>18.9</u>
<b>OTHER FINANCING SOURCES (USES):</b>									
Transfers from Other Funds	-	-	-	-	-	-	-	-	0.0%
Transfers to Other Funds	-	-	-	-	-	-	-	-	0.0%
Total Other Financing Sources (Uses)	<u>-</u>	<u>-</u>	<u>-</u>	<u>-</u>	<u>-</u>	<u>-</u>	<u>-</u>	<u>-</u>	<u>0.0%</u>
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	<u>13.6</u>	<u>(0.6)</u>	<u>0.1</u>	<u>0.4</u>	<u>13.7</u>	<u>(0.2)</u>	<u>(6.0)</u>	<u>(19.1)</u>	<u>18.9</u>
Beginning Fund Balances (Deficits)	<u>(15.3)</u>	<u>(1.1)</u>	<u>14.6</u>	<u>14.3</u>	<u>(0.7)</u>	<u>13.2</u>	<u>(2.9)</u>	<u>10.2</u>	<u>3.0</u>
Ending Fund Balances (Deficits)	<u>\$ (1.7)</u>	<u>\$ (1.7)</u>	<u>\$ 14.7</u>	<u>\$ 14.7</u>	<u>\$ 13.0</u>	<u>\$ 13.0</u>	<u>\$ (8.9)</u>	<u>\$ (8.9)</u>	<u>\$ 21.9</u>
									<u>246.1%</u>

## EXHIBIT D

STATE OF NEW YORK  
BUDGETARY BASIS - FINANCIAL PLAN AND ACTUAL  
FISCAL YEAR 2020-2021  
FOR FOUR MONTHS ENDED JULY 31, 2020  
(amounts in millions)

	ALL GOVERNMENTAL FUNDS				
	Enacted Financial Plan (*)	Updated Financial Plan (**)	Actual	Actual Over/ (Under) Enacted Financial Plan	Actual Over/ (Under) Updated Financial Plan
<b>RECEIPTS:</b>					
Taxes:					
Personal Income	\$ 18,730.0	\$ 18,878.0	\$ 18,864.6	\$ 134.6	\$ (13.4)
Consumption/Use	5,051.0	4,666.0	4,669.0	(382.0)	3.0
Business	2,379.0	2,300.0	2,231.4	(147.6)	(68.6)
Other	591.0	647.0	643.2	52.2	(3.8)
Miscellaneous Receipts	11,155.0	12,560.0	12,613.4	1,458.4	53.4
Federal Receipts	26,207.0	28,017.0	28,013.5	1,806.5	(3.5)
<b>Total Receipts</b>	<b>64,113.0</b>	<b>67,068.0</b>	<b>67,035.1</b>	<b>2,922.1</b>	<b>(32.9)</b>
<b>DISBURSEMENTS:</b>					
Local Assistance Grants	44,422.0	39,440.0	39,419.7	(5,002.3)	(20.3)
Departmental Operations	7,186.0	7,125.0	7,183.2	(2.8)	58.2
General State Charges	4,131.0	4,026.0	4,023.6	(107.4)	(2.4)
Debt Service	96.0	100.0	99.6	3.6	(0.4)
Capital Projects	2,923.0	2,227.0	2,239.0	(684.0)	12.0
<b>Total Disbursements</b>	<b>58,758.0</b>	<b>52,918.0</b>	<b>52,965.1</b>	<b>(5,792.9)</b>	<b>47.1</b>
<b>Excess (Deficiency) of Receipts over Disbursements</b>	<b>5,355.0</b>	<b>14,150.0</b>	<b>14,070.0</b>	<b>8,715.0</b>	<b>(80.0)</b>
<b>OTHER FINANCING SOURCES (USES):</b>					
Bond and Note Proceeds, net	-	-	-	-	-
Transfers from Other Funds	14,266.0	12,919.0	12,964.6	(1,301.4)	45.6
Transfers to Other Funds	(14,338.0)	(12,994.0)	(13,031.4)	(1,306.6)	(37.4)
<b>Total Other Financing Sources (Uses)</b>	<b>(72.0)</b>	<b>(75.0)</b>	<b>(66.8)</b>	<b>5.2</b>	<b>8.2</b>
<b>Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses</b>	<b>5,283.0</b>	<b>14,075.0</b>	<b>14,003.2</b>	<b>8,720.2</b>	<b>(71.8)</b>
<b>Fund Balances (Deficits) at April 1</b>	<b>14,284.0</b>	<b>14,283.0</b>	<b>14,284.8</b>	<b>0.8</b>	<b>1.8</b>
<b>Fund Balances (Deficits) at July 31, 2020</b>	<b>\$ 19,567.0</b>	<b>\$ 28,358.0</b>	<b>\$ 28,288.0</b>	<b>\$ 8,721.0</b>	<b>\$ (70.0)</b>

(\*) Source: 2020-21 Enacted Financial Plan dated April 25, 2020.

(\*\*) Source: 2020-21 First Quarter Update dated August 13, 2020.

## EXHIBIT D

STATE OF NEW YORK  
BUDGETARY BASIS - FINANCIAL PLAN AND ACTUAL  
FISCAL YEAR 2020-2021  
FOR FOUR MONTHS ENDED JULY 31, 2020  
(amounts in millions)

	STATE OPERATING FUNDS (****)			
	Enacted Financial Plan (*)	Updated Financial Plan (**)	Actual	Actual Over/ (Under) Enacted Financial Plan Updated Financial Plan
<b>RECEIPTS:</b>				
Taxes:				
Personal Income	\$ 18,730.0	\$ 18,878.0	\$ 18,864.6	\$ 134.6 (13.4)
Consumption/Use	4,913.0	4,518.0	4,516.4	(396.6) (1.6)
Business	2,237.0	2,147.0	2,071.3	(165.7) (75.7)
Other	567.0	623.0	619.4	52.4 (3.6)
Miscellaneous Receipts	9,727.0	10,445.0	10,488.6	761.6 43.6
Federal Receipts	(1.0)	(1.0)	-	1.0 1.0
<b>Total Receipts</b>	<b>36,173.0</b>	<b>36,610.0</b>	<b>36,560.3</b>	<b>387.3 (49.7)</b>
<b>DISBURSEMENTS:</b>				
Local Assistance Grants	21,642.0	19,094.0	19,152.6	(2,489.4) 58.6
Departmental Operations	6,479.0	5,594.0	5,593.0	(886.0) (1.0)
General State Charges	4,014.0	3,854.0	3,853.6	(160.4) (0.4)
Debt Service	96.0	100.0	99.6	3.6 (0.4)
Capital Projects	-	-	-	- -
<b>Total Disbursements</b>	<b>32,231.0</b>	<b>28,642.0</b>	<b>28,698.8</b>	<b>(3,532.2) 56.8</b>
<b>Excess (Deficiency) of Receipts over Disbursements</b>	<b>3,942.0</b>	<b>7,968.0</b>	<b>7,861.5</b>	<b>3,919.5 (106.5)</b>
<b>OTHER FINANCING SOURCES (USES):</b>				
Transfers from Other Funds	12,948.0	12,643.0	12,777.6 (****)	(170.4) 134.6
Transfers to Other Funds	(13,752.0)	(12,387.0)	(12,381.5) (****)	(1,370.5) 5.5
<b>Total Other Financing Sources (Uses)</b>	<b>(804.0)</b>	<b>256.0</b>	<b>396.1</b>	<b>1,200.1 129.1</b>
<b>Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses</b>	<b>3,138.0</b>	<b>8,224.0</b>	<b>8,257.6</b>	<b>5,119.6 22.6</b>
<b>Fund Balances (Deficits) at April 1</b>	<b>14,408.0</b>	<b>14,407.0</b>	<b>14,408.3</b>	<b>0.3 1.3</b>
<b>Fund Balances (Deficits) at July 31, 2020</b>	<b>\$ 17,546.0</b>	<b>\$ 22,631.0</b>	<b>\$ 22,665.9</b>	<b>\$ 5,119.9 23.9</b>

(\*) Source: 2020-21 Enacted Financial Plan dated April 25, 2020.

(\*\*) Source: 2020-21 First Quarter Update dated August 13, 2020.

(\*\*\*\*) State Operating Funds are comprised of the General Fund, State Special Revenue Funds supported by activities from dedicated revenue sources (including operating transfers from Federal Funds) and Debt Service Funds. Eliminations between Special Revenue - State and Federal Funds are not included.

**STATE OF NEW YORK  
BUDGETARY BASIS - FINANCIAL PLAN AND ACTUAL  
FISCAL YEAR 2020-2021  
FOR FOUR MONTHS ENDED JULY 31, 2020  
(amounts in millions)**

EXHIBIT D

	GENERAL FUND					Actual Over/ (Under) Updated Financial Plan	Actual Over/ (Under) Updated Financial Plan
	Enacted Financial Plan (*)	Updated Financial Plan (**)	Actual				
<b>RECEIPTS:</b>							
Taxes:							
Personal Income	\$ 9,365.0	\$ 9,439.0	\$ 9,432.3	\$	67.3	\$	(6.7)
Consumption/Use	2,277.0	2,088.0	2,087.8		(189.2)		(0.2)
Business	1,781.0	1,666.0	1,571.6		(209.4)		(94.4)
Other	394.0	426.0	422.7		28.7		(3.3)
Miscellaneous Receipts	4,923.0	5,385.0	5,385.8		462.8		0.8
Federal Receipts	-	-	-		-		-
Transfers From:							
PIT / ECET in excess of Revenue Bond Debt Service	8,623.0	8,646.0	8,633.7		10.7		(12.3)
Sales Tax in excess of LGAC / STRBF Debt Service	1,606.0	1,429.0	1,427.3		(178.7)		(1.7)
Real Estate Taxes in excess of CW/CA Debt Service	157.0	179.0	180.2		23.2		1.2
All Other	406.0	481.0	478.5		72.5		(2.5)
<b>Total Receipts and Other Financing Sources</b>	<b>29,532.0</b>	<b>29,739.0</b>	<b>29,619.9</b>		<b>87.9</b>		<b>(119.1)</b>
<b>DISBURSEMENTS:</b>							
Local Assistance Grants	18,008.0	15,822.0	15,885.4		(2,122.6)		63.4
Departmental Operations	3,934.0	3,060.0	3,055.1		(878.9)		(4.9)
General State Charges	3,701.0	3,639.0	3,638.5		(62.5)		(0.5)
Transfers To:							
Debt Service	109.0	85.0	116.7		7.7		31.7
Capital Projects	1,289.0	257.0	256.5		(1,032.5)		(0.5)
State Share Medicaid	-	-	47.8	(***)	47.8		47.8
SUNY Operations	1,078.0	821.0	821.2		(256.8)		0.2
Other Purposes	411.0	423.0	359.9		(51.1)		(63.1)
<b>Total Disbursements and Other Financing Uses</b>	<b>28,530.0</b>	<b>24,107.0</b>	<b>24,181.1</b>		<b>(4,348.9)</b>		<b>74.1</b>
<b>Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses</b>	<b>1,002.0</b>	<b>5,632.0</b>	<b>5,438.8</b>		<b>4,436.8</b>		<b>(193.2)</b>
<b>Fund Balances (Deficits) at April 1</b>	<b>8,944.0</b>	<b>8,944.0</b>	<b>8,944.2</b>		<b>0.2</b>		<b>0.2</b>
<b>Fund Balances (Deficits) at July 31, 2020</b>	<b>\$ 9,946.0</b>	<b>\$ 14,576.0</b>	<b>\$ 14,383.0</b>		<b>\$ 4,437.0</b>		<b>\$ (193.0)</b>

(\*) Source: 2020-21 Enacted Financial Plan dated April 25, 2020.

(\*\*) Source: 2020-21 First Quarter Update dated August 13, 2020.

(\*\*\*) Includes transfers to the Department of Health Income Fund and the State University Income Fund representing payments for patients residing in State-Operated Health and State University facilities.

## EXHIBIT D

STATE OF NEW YORK  
BUDGETARY BASIS - FINANCIAL PLAN AND ACTUAL  
FISCAL YEAR 2020-2021  
FOR FOUR MONTHS ENDED JULY 31, 2020  
(amounts in millions)

SPECIAL REVENUE FUNDS						
	Enacted Financial Plan (*)	Updated Financial Plan (**)	Actual	Eliminations	Total	Actual Over/ (Under) Enacted Financial Plan Updated Financial Plan
<b>RECEIPTS:</b>						
Taxes:						
Personal Income	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
Consumption/Use	590.0	561.0	563.0	-	563.0	(27.0)
Business	456.0	481.0	499.7	-	499.7	43.7
Miscellaneous Receipts	4,752.0	5,005.0	5,041.8	-	5,041.8	289.8
Federal Receipts	25,548.0	27,449.0	27,448.1	-	27,448.1	1,900.1
Transfers from Other Funds (***)	1,620.0	1,294.0	1,297.2	(89.9)	1,207.3	(412.7)
<b>Total Receipts and Other Financing Sources</b>	<b>32,966.0</b>	<b>34,790.0</b>	<b>34,849.8</b>	<b>(89.9)</b>	<b>34,759.9</b>	<b>1,793.9</b>
<b>DISBURSEMENTS:</b>						
Local Assistance Grants	24,700.0	22,790.0	22,713.6	-	22,713.6	(1,986.4)
Departmental Operations	3,245.0	4,052.0	4,109.3	-	4,109.3	864.3
General State Charges	430.0	387.0	385.1	-	385.1	(44.9)
Capital Projects	-	-	-	-	-	-
Transfers to Other Funds (***)	587.0	597.0	721.2	(89.9)	631.3	44.3
<b>Total Disbursements and Other Financing Uses</b>	<b>28,962.0</b>	<b>27,826.0</b>	<b>27,929.2</b>	<b>(89.9)</b>	<b>27,839.3</b>	<b>(1,122.7)</b>
<b>Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses</b>	<b>4,004.0</b>	<b>6,964.0</b>	<b>6,920.6</b>	<b>-</b>	<b>6,920.6</b>	<b>2,916.6</b>
<b>Fund Balances (Deficits) at April 1</b>	<b>6,312.0</b>	<b>6,311.0</b>	<b>6,312.1</b>	<b>-</b>	<b>6,312.1</b>	<b>0.1</b>
<b>Fund Balances (Deficits) at July 31, 2020</b>	<b>\$ 10,316.0</b>	<b>\$ 13,275.0</b>	<b>\$ 13,232.7</b>	<b>\$ -</b>	<b>\$ 13,232.7</b>	<b>\$ 2,916.7</b>
						<b>\$ (42.3)</b>

(\*) Source: 2020-21 Enacted Financial Plan dated April 25, 2020.

(\*\*) Source: 2020-21 First Quarter Update dated August 13, 2020.

(\*\*\*) Actual reported transfer amounts include eliminations between Special Revenue - State and Federal Funds.

**STATE OF NEW YORK  
BUDGETARY BASIS - FINANCIAL PLAN AND ACTUAL  
FISCAL YEAR 2020-2021  
FOR FOUR MONTHS ENDED JULY 31, 2020  
(amounts in millions)**

EXHIBIT D

	STATE SPECIAL REVENUE FUNDS				FEDERAL SPECIAL REVENUE FUNDS			
	Enacted Financial Plan (*)	Updated Financial Plan (**)	Actual	Actual Over/ (Under) Enacted Financial Plan	Enacted Financial Plan (*)	Updated Financial Plan (**)	Actual	Actual Over/ (Under) Enacted Financial Plan
<b>RECEIPTS:</b>								
Taxes:								
Personal Income	\$ 590.0	\$ 561.0	\$ 563.0	\$ (27.0)	\$ -	\$ -	\$ -	\$ -
Consumption/Use	456.0	481.0	489.7	43.7	-	-	-	-
Business	4,677.0	4,925.0	4,953.1	276.1	75.0	80.0	88.7	13.7
Miscellaneous Receipts	-	-	-	-	25,548.0	27,449.0	27,448.1	8.7
Federal Receipts	1,620.0	1,284.0	1,297.2	(322.8)	-	-	-	(0.9)
Transfers from Other Funds	-	-	-	-	-	-	-	-
<b>Total Receipts and Other Financing Sources</b>	<b>7,343.0</b>	<b>7,261.0</b>	<b>7,313.0</b>	<b>(30.0)</b>	<b>25,623.0</b>	<b>27,529.0</b>	<b>27,536.8</b>	<b>7.8</b>
<b>DISBURSEMENTS:</b>								
Local Assistance Grants	3,634.0	3,272.0	3,267.2	(366.8)	21,086.0	19,518.0	19,446.4	(1,619.6)
Departmental Operations	2,538.0	2,521.0	2,519.1	(18.9)	707.0	1,531.0	1,590.2	883.2
General State Charges	313.0	215.0	215.1	(97.9)	117.0	172.0	170.0	53.0
Capital Projects	-	-	-	-	-	-	-	-
Transfers to Other Funds	46.0	41.0	32.4	(13.6)	541.0	556.0	688.8	147.8
<b>Total Disbursements and Other Financing Uses</b>	<b>6,531.0</b>	<b>6,049.0</b>	<b>6,033.8</b>	<b>(487.2)</b>	<b>22,431.0</b>	<b>21,777.0</b>	<b>21,895.4</b>	<b>(535.6)</b>
<b>Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses</b>	<b>812.0</b>	<b>1,212.0</b>	<b>1,279.2</b>	<b>467.2</b>	<b>3,192.0</b>	<b>5,752.0</b>	<b>5,641.4</b>	<b>2,449.4</b>
<b>Fund Balances (Deficits) at April 1</b>	<b>5,401.0</b>	<b>5,400.0</b>	<b>5,400.7</b>	<b>(0.3)</b>	<b>911.0</b>	<b>911.0</b>	<b>911.4</b>	<b>0.4</b>
<b>Fund Balances (Deficits) at July 31, 2020</b>	<b>\$ 6,213.0</b>	<b>\$ 6,612.0</b>	<b>\$ 6,679.9</b>	<b>\$ 466.9</b>	<b>\$ 4,103.0</b>	<b>\$ 6,663.0</b>	<b>\$ 6,552.8</b>	<b>\$ 2,449.8</b>
								<b>\$ (110.2)</b>

(\*) Source: 2020-21 Enacted Financial Plan dated April 25, 2020.  
(\*\*) Source: 2020-21 First Quarter Update dated August 13, 2020.



**STATE OF NEW YORK**  
**BUDGETARY BASIS - FINANCIAL PLAN AND ACTUAL**  
**FISCAL YEAR 2020-2021**  
**FOR FOUR MONTHS ENDED JULY 31, 2020**  
**(amounts in millions)**

**EXHIBIT D**

	DEBT SERVICE FUNDS				
	Enacted Financial Plan (*)	Updated Financial Plan (**)	Actual	Actual Over/ (Under) Enacted Financial Plan	Actual Over/ (Under) Updated Financial Plan
<b>RECEIPTS:</b>					
Taxes:					
Personal Income	\$ 9,365.0	\$ 9,439.0	\$ 9,432.3	\$ 67.3	\$ (6.7)
Consumption/Use	2,046.0	1,869.0	1,865.6	(180.4)	(3.4)
Other	173.0	197.0	196.7	23.7	(0.3)
Miscellaneous Receipts	127.0	135.0	149.7	22.7	14.7
Federal Receipts	(1.0)	(1.0)	-	1.0	1.0
Transfers from Other Funds	536.0	614.0	760.7	224.7	146.7
<b>Total Receipts and Other Financing Sources</b>	<b>12,246.0</b>	<b>12,253.0</b>	<b>12,405.0</b>	<b>159.0</b>	<b>152.0</b>
<b>DISBURSEMENTS:</b>					
Departmental Operations	7.0	13.0	18.8	11.8	5.8
Debt Service	96.0	100.0	99.6	3.6	(0.4)
Transfers to Other Funds	10,819.0	10,760.0	10,747.0	(72.0)	(13.0)
<b>Total Disbursements and Other Financing Uses</b>	<b>10,922.0</b>	<b>10,873.0</b>	<b>10,865.4</b>	<b>(56.6)</b>	<b>(7.6)</b>
<b>Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses</b>	<b>1,324.0</b>	<b>1,380.0</b>	<b>1,539.6</b>	<b>215.6</b>	<b>159.6</b>
<b>Fund Balances (Deficits) at April 1</b>	<b>63.0</b>	<b>63.0</b>	<b>63.4</b>	<b>0.4</b>	<b>0.4</b>
<b>Fund Balances (Deficits) at July 31, 2020</b>	<b>\$ 1,387.0</b>	<b>\$ 1,443.0</b>	<b>\$ 1,603.0</b>	<b>\$ 216.0</b>	<b>\$ 160.0</b>

(\*) Source: 2020-21 Enacted Financial Plan dated April 25, 2020.

(\*\*) Source: 2020-21 First Quarter Update dated August 13, 2020.

**STATE OF NEW YORK  
BUDGETARY BASIS - FINANCIAL PLAN AND ACTUAL  
FISCAL YEAR 2020-2021  
FOR FOUR MONTHS ENDED JULY 31, 2020  
(amounts in millions)**

EXHIBIT D

CAPITAL PROJECTS FUNDS						
	Enacted Financial Plan (*)	Updated Financial Plan (**)	Actual	Eliminations	Total	Actual Over/ (Under) Enacted Financial Plan Updated Financial Plan
<b>RECEIPTS:</b>						
Taxes:						
Consumption/Use	\$ 138.0	\$ 148.0	\$ 152.6	\$ -	\$ 152.6	\$ 14.6
Business	142.0	153.0	160.1	-	160.1	18.1
Other	24.0	24.0	23.8	-	23.8	(0.2)
Miscellaneous Receipts	1,353.0	2,035.0	2,036.1	-	2,036.1	683.1
Federal Receipts	660.0	569.0	565.4	-	565.4	(94.6)
Bond and Note Proceeds, net	-	-	-	-	-	-
Transfers from Other Funds	1,318.0	276.0	276.9	-	276.9	(1,041.1)
<b>Total Receipts and Other Financing Sources</b>	<b>3,635.0</b>	<b>3,205.0</b>	<b>3,214.9</b>	<b>-</b>	<b>3,214.9</b>	<b>(420.1)</b>
<b>DISBURSEMENTS:</b>						
Local Assistance Grants	1,714.0	828.0	820.7	-	820.7	(893.3)
Capital Projects	2,923.0	2,227.0	2,239.0	-	2,239.0	(684.0)
Transfers to Other Funds	45.0	51.0	51.0	-	51.0	6.0
<b>Total Disbursements and Other Financing Uses</b>	<b>4,682.0</b>	<b>3,106.0</b>	<b>3,110.7</b>	<b>-</b>	<b>3,110.7</b>	<b>(1,571.3)</b>
<b>Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses</b>	<b>(1,047.0)</b>	<b>99.0</b>	<b>104.2</b>	<b>-</b>	<b>104.2</b>	<b>1,151.2</b>
<b>Fund Balances (Deficits) at April 1</b>	<b>(1,035.0)</b>	<b>(1,035.0)</b>	<b>(1,034.9)</b>	<b>-</b>	<b>(1,034.9)</b>	<b>0.1</b>
<b>Fund Balances (Deficits) at July 31, 2020</b>	<b>\$ (2,082.0)</b>	<b>\$ (936.0)</b>	<b>\$ (930.7)</b>	<b>\$ -</b>	<b>\$ (930.7)</b>	<b>\$ 1,151.3</b>

(\*) Source: 2020-21 Enacted Financial Plan dated April 25, 2020.

(\*\*) Source: 2020-21 First Quarter Update dated August 13, 2020.

STATE OF NEW YORK  
BUDGETARY BASIS - FINANCIAL PLAN AND ACTUAL  
FISCAL YEAR 2020-2021  
FOR FOUR MONTHS ENDED JULY 31, 2020  
(amounts in millions)

EXHIBIT D

	STATE CAPITAL PROJECTS FUNDS				FEDERAL CAPITAL PROJECTS FUNDS					
	Enacted Financial Plan (*)	Updated Financial Plan (**)	Actual	Actual Over/ (Under) Enacted Financial Plan	Actual Over/ (Under) Updated Financial Plan	Enacted Financial Plan (*)	Updated Financial Plan (**)	Actual	Actual Over/ (Under) Enacted Financial Plan	Actual Over/ (Under) Updated Financial Plan
RECEIPTS:										
Taxes:										
Consumption/Use	\$ 138.0	\$ 148.0	\$ 152.6	\$ 14.6	\$ 4.6	\$ -	\$ -	\$ -	\$ -	\$ -
Business	142.0	153.0	160.1	18.1	7.1	-	-	-	-	-
Other	24.0	24.0	23.8	(0.2)	(0.2)	-	(0.2)	-	-	-
Miscellaneous Receipts	1,353.0	2,035.0	2,035.9	682.9	0.9	-	-	0.2	0.2	0.2
Federal Receipts	-	-	-	-	-	660.0	569.0	565.4	(94.6)	(3.6)
Bond and Note Proceeds, net	-	-	-	-	-	-	-	-	-	-
Transfers from Other Funds	1,317.0	276.0	276.9	(1,040.1)	0.9	1.0	-	-	(1.0)	-
Total Receipts and Other Financing Sources	2,974.0	2,636.0	2,649.3	(324.7)	13.3	661.0	569.0	565.6	(95.4)	(3.4)
DISBURSEMENTS:										
Local Assistance Grants	1,501.0	684.0	675.8	(825.2)	(8.2)	213.0	144.0	144.9	(68.1)	0.9
Capital Projects	2,555.0	1,830.0	1,839.8	(715.2)	9.8	368.0	397.0	399.2	31.2	2.2
Transfers to Other Funds	46.0	51.0	51.0	5.0	-	(1.0)	-	-	1.0	-
Total Disbursements and Other Financing Uses	4,102.0	2,565.0	2,566.6	(1,535.4)	1.6	580.0	541.0	544.1	(35.9)	3.1
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	(1,128.0)	71.0	82.7	1,210.7	11.7	81.0	28.0	21.5	(59.5)	(6.5)
Fund Balances (Deficits) at April 1	(471.0)	(471.0)	(472.2)	(1.2)	(1.2)	(564.0)	(564.0)	(562.7)	1.3	1.3
Fund Balances (Deficits) at July 31, 2020	\$ (1,599.0)	\$ (400.0)	\$ (389.5)	\$ 1,209.5	\$ 10.5	\$ (483.0)	\$ (536.0)	\$ (541.2)	\$ (58.2)	\$ (5.2)

(\*) Source: 2020-21 Enacted Financial Plan dated April 25, 2020.

(\*\*) Source: 2020-21 First Quarter Update dated August 13, 2020.

## EXHIBIT E

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STATE OF NEW YORK  
GOVERNMENTAL FUNDS (\*)  
STATEMENT OF CASH FLOW  
FISCAL YEAR 2020-2021  
(amounts in millions)

	4 Months Ended July 31												
	2020 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2021 JANUARY	FEBRUARY	MARCH	
Beginning Fund Balance	\$ 14,284.8	\$ 20,544.4	\$ 17,650.3	\$ 20,623.6									
<b>RECEIPTS:</b>													
Taxes:													
Personal Income Tax:													
Withholdings	3,187.3	2,928.3	3,096.3	3,400.3									
Estimated Payments	211.6	70.9	1,493.0	6,329.0									
Returns	338.1	124.7	280.9	1,785.1									
State City Offsets	(89.6)	(38.6)	(69.4)	(187.0)									
State City Offsets (Net)	3,776.6	3,144.2	4,854.8	11,410.3									
Gross Receipts													
Transfers to School Tax Relief Fund	-	-	-	-									
Transfers to Revenue Bond Tax Fund	(1,709.4)	(945.0)	(488.3)	(1,179.8)									
Refunds Issued	2,066.2	2,199.2	4,388.5	10,230.7									
<b>Total Personal Income Tax</b>													
Consumption/Use Taxes:													
Sales and Use	889.4	790.8	1,210.0	1,132.4									
Auto Rental	0.5	(1.4)	13.5	2.1									
Cigarette/Tobacco Products	98.8	74.0	86.1	97.8									
Medical Marijuana	0.5	0.6	0.7	0.6									
Motor Fuel	50.3	21.4	31.5	38.7									
Alcoholic Beverage	23.7	28.6	22.6	24.4									
Hotel/Motel Tax	11.6	8.9	12.0	12.0									
Vapor Excise	-	0.1	11.7	(0.4)									
Opoid Excise	7.2	-	-	8.9									
<b>Total Consumption/Use Taxes</b>													
Metropolitan Commuter Trans. Taxicab Trip	1,045.0	915.8	1,388.7	1,319.5									
<b>Total Consumption/Use Taxes</b>													
Business Taxes:													
Corporation Franchise	254.4	(134.7)	557.5	583.2									
Corporation and Utilities	15.6	(11.0)	94.9	33.3									
Insurance	70.2	6.5	364.0	53.3									
Bank	7.4	2.6	91.9	0.7									
Petroleum Business	89.3	38.8	85.0	87.9									
<b>Total Business Taxes</b>	419.9	868.9	1,180.9	716.4									
Other Taxes:													
Real Property Gains	-	-	-	-									
Estate and Gift	72.7	52.0	147.3	147.7									
Pen-Mutuel	0.7	0.2	0.8	1.0									
Real Estate Transfer	57.2	48.4	49.8	64.9									
Racing and Exhibitions	0.1	-	-	-									
Metropolitan Commuter Trans. Mobility	-	-	0.2	0.2									
Employer Compensation Expense Tax	150.9	100.4	188.1	213.8									
<b>Total Other Taxes</b>	3,688.0	3,118.6	7,149.2	12,482.4									
<b>Total Taxes</b>													
Miscellaneous Receipts:													
Abandoned Property	1.6	0.7	0.8	0.9									
Bottle Bill	0.7	0.3	20.6	18.4									
Assessments:													
Business	54.6	66.0	88.0	78.5									
Medical Care	571.2	466.8	508.1	442.6									
Public Utilities	0.1	-	0.4	4.4									
Other	-	0.1	-	-									
Fees, Licenses and Permits:													
Alcohol Beverage Control Licensing	2.2	2.9	2.9	4.9									
Adult Fees	69.0	71.9	118.0	55.6									
Business/Professional:	4.3	3.3	5.5	3.1									
Civil	0.6	0.4	0.2	1.6									
Criminal	(29.8)	-	187.3	200.9									
Motor Vehicle	43.0	-	36.6	34.2									
Recreational/Consumer	100.9	233.7	18.3	201.3									
Fines, Penalties and Forfeitures	-	-	-	-									
Gaming:	-	-	-	-									
Casino	157.0	142.1	173.8	202.2									
Lottery	-	0.6	-	(0.4)									
Interest Earnings	32.9	18.2	9.2	6.5									
Rent from Public Authorities:	1,122.1	1,018.1	3,842.4	2,989.9									
Bond Redemption	-	-	-	-									
Cost Recovery Assessments	0.5	1.4	25.5	24.7									
Insurance Fees	9.0	0.3	0.5	4.3									
Non Bond Related	-	-	-	-									

STATE OF NEW YORK  
GOVERNMENTAL FUNDS (\*)  
STATEMENT OF CASH FLOW  
FISCAL YEAR 2020-2021  
(amounts in millions)

	4 Months Ended July 31												\$ Increase/ (Decrease)	% Increase/ Decrease
	2020 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2021 JANUARY	FEBRUARY	MARCH	2020 APRIL	2020 MAY
Receipts from Municipalities	9.3	2.5	3.9	3.8										
Rentals	(4.6)	(41.7)	1.8	4.2										
Revenues of State Departments:														
Administrative Recoveries	25.0	8.8	25.4	9.1										
Commissions	0.6	(0.3)	0.2	0.2										
Commissions - Asset Conversion	-	-	-	-										
Gifts, Grants and Donations	5.5	2.2	27.9	0.7										
Indirect Cost Recoveries	526.5	372.8	350.6	227.5										
Patient/Client Care Reimbursement	7.8	15.3	13.2	17.7										
Rebates	6.1	1.4	3.2	6.2										
Reimbursement and Settlements	6.1	1.4	3.2	6.2										
State Contracts	(19.5)	10.7	30.0	75.6										
All Other	0.5	0.6	2.6	3.0										
Tuition	(67.5)	33.6	55.9	50.9										
Total Miscellaneous Receipts	2,637.2	2,435.7	5,557.9	1,978.6										
Federal Receipts	10,883.1	4,207.0	7,520.2	5,423.2										
Total Receipts	17,158.3	9,765.3	20,227.3	19,884.2										
DISBURSEMENTS:														
Local Assistance Grants:														
Education	1,149.2	4,132.7	3,954.9	799.2										
Environment and Recreation	4.5	3.7	14.0	12.9										
General Government	48.5	17.7	543.7	67.4										
Public Health:														
Medicaid	5,410.3	5,069.6	6,082.5	5,357.6										
Other Public Health	602.5	638.5	980.4	1,028.7										
Public Safety	95.3	63.6	163.3	285.2										
Public Welfare	211.8	217.7	388.7	850.8										
Support and Regulate Business	48.1	15.1	35.9	26.7										
Transportation	80.0	98.9	72.6	825.5										
Total Local Assistance Grants	7,660.2	10,275.3	12,248.0	9,234.0										
Departmental Operations:														
Capital Projects	1,569.5	1,135.9	1,118.4	1,278.8										
General Services	584.1	417.0	504.4	577.1										
Non-Personal Service	535.2	395.0	2,621.5	471.9										
General State Charges	36.5	23.5	28.9	10.7										
Debt Service, Including Payments on	509.8	406.0	681.8	641.4										
Financing Agreements														
Capital Projects														
Total Disbursements	10,895.3	12,654.9	17,199.0	12,213.9										
Excess (Deficiency) of Receipts over Disbursements	6,263.0	(2,891.6)	3,028.3	7,670.3										
OTHER FINANCING SOURCES (USES):														
Bond and Note Proceeds (net)	1,063.1	1,858.4	4,185.9	5,857.2										
Transfers from Other Funds	(1,065.5)	(1,860.9)	(4,240.9)	(5,853.1)										
Transfers to Other Funds														
Total Other Financing Sources (Uses)	(3.4)	(2.9)	(55.0)	(5.9)										
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	6,259.6	(2,894.1)	2,973.3	7,664.4										
Ending Fund Balance	\$ 20,544.4	\$ 17,650.3	\$ 20,623.6	\$ 28,288.0										

(\*) Governmental Funds includes General, Special Revenue, Debt Service and Capital Projects Funds combined.

STATE OF NEW YORK  
GOVERNMENTAL FUNDS  
STATEMENT OF CASH FLOW - STATE OPERATING (\*)  
FISCAL YEAR 2020-2021  
(amounts in millions)

	2020 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2021 JANUARY	FEBRUARY	MARCH	2020 \$	2019 \$	4 Months Ended July 31 Increase (Decrease) \$	% Increase (Decrease)
Beginning Fund Balance	\$ 14,408.3	\$ 16,171.6	\$ 13,342.7	\$ 14,605.2										\$ 12,361.3	\$ 2,047.0	16.6%
RECEIPTS:																
Taxes:																
Personal Income Tax:																
Withholdings	3,187.3	2,928.3	3,096.3	3,400.3										12,745.3	(133.1)	-1.0%
Estimated Payments	211.6	70.9	1,493.0	6,329.0										9,470.9	(1,366.4)	-14.4%
Returns	338.1	124.7	260.9	1,785.1										2,489.8	32.9	1.3%
Charitable Offsets	(89.9)	(69.9)	(100.0)	(100.0)										(335.8)	(17.9)	5.1%
State of New York (SOLIC)	100.0	(50.0)	63.4	(100.0)										(335.8)	(17.9)	5.1%
Gross Receipts	3,776.9	3,144.2	4,854.8	11,410.3										24,759.2	(1,574.1)	-4.4%
Transfers to School Tax Relief Fund	-	-	-	-										-	-	0.0%
Transfers to Revenue Bond Tax Fund	-	-	-	-										-	-	0.0%
Refunds Issued	(1,709.4)	(645.0)	(498.3)	(1,179.9)										(4,517.7)	(167.2)	-4.4%
Total Personal Income Tax	2,068.2	2,195.2	4,356.5	10,230.7										20,241.5	(1,376.9)	-4.8%
Consumption/Use Taxes:																
Alcohol/Use	869.4	750.8	1,210.0	1,132.4										5,208.1	(1,205.5)	-23.1%
Auto Rental	(0.1)	(1.5)	3.4	2.1										3.9	3.9	100.0%
Cigarette/Tobacco Products	98.8	74.0	86.1	97.8										365.0	(8.3)	-2.3%
Medical Marijuana	0.5	0.6	0.7	0.6										1.9	0.5	26.3%
Motor Fuel	6.5	4.7	6.6	8.5										37.3	(11.0)	-28.5%
Alcoholic Beverage	26.7	21.4	22.8	26.0										92.5	4.4	4.8%
Highway Use	-	0.1	11.7	(0.4)										11.1	(0.1)	-0.9%
Opport Excess	7.2	-	-	8.9										-	16.1	100.0%
Metropolitan Commuter Trans. Taxicab Trip	-	-	-	-										-	-	0.0%
Total Consumption/Use Taxes	1,009.0	894.2	1,341.3	1,275.9										5,705.0	(1,188.6)	-20.8%
Business Taxes:																
Corporation Franchise	284.4	(134.7)	557.5	563.2										1,355.2	(114.8)	-8.5%
Corporation and Utilities	16.5	(8.5)	175.0	175.0										146.0	(13.0)	-9.0%
Real Estate Transfer	70.0	384.0	33.3	33.3										686.0	(13.0)	-1.9%
Bank	7.4	2.6	91.8	0.7										102.5	89.6	3,434.5%
Petroleum Business	30.3	17.6	37.7	38.8										174.7	(50.3)	-28.8%
Total Business Taxes	377.8	(117.5)	1,145.3	665.7										2,071.3	(217.3)	-9.5%
Other Taxes:																
Real Property Gains	79.7	62.0	147.3	147.7										323.9	95.8	0.0%
Estate and Gift	0.7	0.2	0.8	1.0										2.7	(2.1)	-43.8%
Real Estate Transfer	57.2	48.4	37.9	53.0										374.5	(178.0)	-47.5%
Racing and Exhibitions	0.1	-	-	-										0.1	0.8	0.7%
Metropolitan Commuter Trans. Mobility	-	-	-	-										-	-	-87.5%
Employer Compensation Expense Tax	0.2	(0.2)	0.2	0.2										0.4	-	0.0%
Total Other Taxes	139.9	100.4	188.2	201.9										704.4	(85.0)	-12.1%
Total Taxes	3,553.0	3,072.3	7,041.3	12,374.2										26,071.7	(2,867.8)	-9.9%
Miscellaneous Receipts:																
Abandoned Property:																
Abandoned Property	1.6	0.7	0.8	0.9										5.3	(1.3)	-24.5%
Bottle Bill	0.7	0.3	20.6	(4.6)										9.0	8.0	88.9%
Assessments:																
Medical Care	42.7	21.8	82.4	69.8										243.4	(38.7)	-11.0%
Medical Care	571.2	468.8	508.1	442.6										2,229.9	(243.2)	-10.9%
Public Utilities	0.1	0.4	0.4	4.4										5.8	(0.9)	-15.5%
Other	-	0.1	-	-										0.1	(0.2)	-66.7%
Fees, Licenses and Permits:																
Alcohol Beverage Control Licensing	2.2	2.9	2.9	4.9										23.1	(10.2)	-44.2%
Audit Fees	67.2	68.9	114.1	54.2										305.3	(2.0)	-0.7%
Professional	4.3	3.3	5.5	3.1										32.1	(75.9)	-82.4%
Civil	0.6	0.4	0.2	1.6										2.4	0.4	16.7%
Criminal	(82.0)	(33.2)	144.2	140.3										220.0	(60.7)	-23.0%
Motor Vehicle	43.0	-	36.1	34.2										113.3	(123.8)	-52.2%
Recreational/Consumer	98.6	231.6	16.1	199.9										1,029.4	(483.2)	-46.9%
Fines, Penalties and Forfeitures	-	-	-	-										107.6	(86.8)	-80.7%
Gaming:																
Lottery	157.0	142.1	173.8	202.2										908.4	(233.3)	-25.7%
Video Lottery	-	0.6	-	(0.4)										0.2	(31.7)	-99.9%
Interest Earnings	29.2	13.8	7.7	4.3										150.9	(95.9)	-63.6%
Receipts from Public Authorities:																



STATE OF NEW YORK  
GOVERNMENTAL FUNDS  
STATEMENT OF CASH FLOW - STATE OPERATING (\*)  
FISCAL YEAR 2020-2021  
(amounts in millions)

	2020	2021	4 Months Ended July 31												% Increase Decrease	
	APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	JANUARY	FEBRUARY	MARCH	2020	2021	\$ (Increase) (Decrease)	
Bond Proceeds	-	1,000.0	3,500.0	-	-	-	-	-	-	-	-	-	4,500.0	-	4,500.0	100.0%
Cost Recovery Assessments	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Insurance Fees	0.5	1.4	25.5	24.7	-	-	-	-	-	-	-	-	52.1	25.1	27.0	107.6%
Interest Received	0.5	0.5	-	0.5	-	-	-	-	-	-	-	-	1.5	2.5	1.0	66.7%
Receipts from Municipalities	9.3	2.5	3.8	3.8	-	-	-	-	-	-	-	-	19.4	40.0	20.6	106.2%
Rentals	(5.1)	(42.8)	(0.3)	2.0	-	-	-	-	-	-	-	-	(48.2)	105.8	154.0	317.4%
Revenues of State Departments:																
Administrative Recoveries	25.0	8.8	25.4	9.1	-	-	-	-	-	-	-	-	88.3	47.1	41.2	46.6%
Commissions	0.6	(0.3)	0.2	0.2	-	-	-	-	-	-	-	-	0.7	2.4	1.7	242.9%
Commissions - Asset Conversion	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Commissions - Franchise Fees	0.6	1.5	22.1	0.7	-	-	-	-	-	-	-	-	24.9	4.9	20.0	80.3%
Indirect Cost Recoveries	5.6	5.4	7.4	5.9	-	-	-	-	-	-	-	-	24.2	28.7	4.5	18.6%
Patient/Client Care Reimbursement	526.5	372.8	350.6	227.5	-	-	-	-	-	-	-	-	1,477.4	873.2	604.2	69.2%
Rebates	0.1	6.6	5.7	10.2	-	-	-	-	-	-	-	-	22.6	26.8	4.2	18.6%
Restitution and Settlements	3.9	0.4	0.6	0.2	-	-	-	-	-	-	-	-	5.1	10.8	5.7	111.8%
Student Loans	6.1	1.4	3.2	0.4	-	-	-	-	-	-	-	-	17.1	26.6	9.5	55.6%
All Other	(20.1)	0.6	19.0	75.3	-	-	-	-	-	-	-	-	81.9	193.3	111.4	134.8%
State Grants	67.9	33.6	56.9	50.9	-	-	-	-	-	-	-	-	73.9	180.0	106.1	143.6%
Tuition	(67.9)	33.6	56.9	50.9	-	-	-	-	-	-	-	-	73.9	180.0	106.1	143.6%
Total Miscellaneous Receipts	1,431.2	2,271.8	5,133.0	1,602.6	-	-	-	-	-	-	-	-	10,488.6	7,483.4	3,005.2	40.2%
Federal Receipts	-	-	4.1	(4.1)	-	-	-	-	-	-	-	-	-	0.8	(0.8)	-100.0%
Total Receipts	5,015.1	5,394.1	12,778.4	13,972.7	-	-	-	-	-	-	-	-	38,560.3	38,423.7	136.6	0.4%
DISBURSEMENTS:																
Local Assistance Grants:																
Education	754.3	4,065.1	3,409.1	563.6	-	-	-	-	-	-	-	-	8,792.1	9,048.1	256.0	2.9%
Environment and Recreation	0.1	0.3	-	0.2	-	-	-	-	-	-	-	-	0.6	0.8	0.2	33.3%
General Government	16.1	4.6	500.0	20.4	-	-	-	-	-	-	-	-	541.1	680.6	139.5	25.8%
Public Health:																
Adoptive Care	757.7	1,757.8	2,497.4	2,043.9	-	-	-	-	-	-	-	-	7,056.8	9,429.2	2,372.4	33.6%
Other Public Health	93.5	72.8	307.2	486.1	-	-	-	-	-	-	-	-	959.6	1,085.2	125.6	13.1%
Public Safety	20.9	11.1	4.5	16.9	-	-	-	-	-	-	-	-	53.4	115.1	61.7	115.5%
Public Welfare	77.0	158.2	61.4	578.1	-	-	-	-	-	-	-	-	874.7	484.3	390.4	44.6%
Support and Regulate Business	4.6	7.1	9.0	5.4	-	-	-	-	-	-	-	-	26.1	56.3	30.2	115.7%
Transportation	61.7	41.7	18.5	726.3	-	-	-	-	-	-	-	-	848.2	1,048.6	200.4	23.6%
Total Local Assistance Grants	1,785.9	6,118.7	6,807.1	4,440.9	-	-	-	-	-	-	-	-	19,152.6	21,907.2	2,754.6	14.4%
Departmental Operations:																
Capital Projects	1,494.8	1,084.9	955.5	1,114.0	-	-	-	-	-	-	-	-	4,649.2	4,981.6	332.4	7.1%
Personal Services	543.3	372.9	335.8	(308.2)	-	-	-	-	-	-	-	-	943.8	1,761.4	817.6	86.6%
Non-Personal Service	512.5	370.3	2,582.6	388.2	-	-	-	-	-	-	-	-	3,853.6	4,112.7	259.1	6.7%
General State Charges	36.5	23.5	28.9	10.7	-	-	-	-	-	-	-	-	99.6	488.9	389.3	390.4%
Debt Service, Including Payments on	-	-	-	-	-	-	-	-	-	-	-	-	-	0.1	(0.1)	-100.0%
Financing Agreements	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Capital Projects	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Total Disbursements	4,373.0	7,876.3	10,708.9	5,645.6	-	-	-	-	-	-	-	-	28,688.8	33,211.9	4,523.1	15.8%
Excess (Deficiency) of Receipts over Disbursements	642.1	(2,576.2)	1,468.5	8,327.1	-	-	-	-	-	-	-	-	7,861.5	3,211.8	4,649.7	59.1%
OTHER FINANCING SOURCES (USES):																
Transfers from Other Funds (**)	1,939.1	1,674.9	3,796.1	5,367.5	-	-	-	-	-	-	-	-	12,777.6	15,442.0	2,664.4	20.8%
Transfers to Other Funds (**)	(817.9)	(1,727.6)	(4,202.1)	(6,633.9)	-	-	-	-	-	-	-	-	(12,381.5)	(16,509.0)	(4,127.5)	-33.4%
Total Other Financing Sources (Uses)	1,121.2	(52.7)	(406.0)	(266.4)	-	-	-	-	-	-	-	-	396.1	(1,067.0)	(1,463.1)	-137.1%
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	1,763.3	(2,628.9)	1,062.5	8,060.7	-	-	-	-	-	-	-	-	8,257.6	2,144.8	6,112.8	74.1%
Ending Fund Balance	\$ 16,171.6	\$ 13,542.7	\$ 14,005.2	\$ 22,665.9	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 22,665.9	\$ 14,506.1	\$ 8,159.8	36.0%

(\*) State Operating Funds are comprised of the General Fund, State Special Revenue Funds supported by activities from dedicated revenue sources (including operating transfers from Federal funds) and Debt Service Funds.

(\*\*) Eliminations between State and Federal Special Revenue Funds are not included.

STATE OF NEW YORK  
GENERAL FUND  
STATEMENT OF CASH FLOW  
FISCAL YEAR 2020-2021  
(amounts in millions)

EXHIBIT F

	4 Months Ended July 31												% Increase/ Decrease
	2020 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2021 JANUARY	FEBRUARY	MARCH	
Beginning Fund Balance	\$ 3,344.2	\$ 10,082.5	\$ 7,310.2	\$ 6,883.6									
RECEIPTS:													
Taxes:													
Personal Income Tax:													
Withholdings	3,187.3	2,928.3	3,086.3	3,400.3									
Estimated Payments	211.6	70.9	1,493.0	6,329.0									
Returns	339.1	124.7	280.9	1,785.1									
State/City Offsets	(69.8)	(38.9)	(88.4)	(187.0)									
Other (Assessments/LLO)	197.4	3,145.2	83.0	103.1									
	3,733.0	3,145.2	4,839.0	11,418.5									
Transfers to Revenue Bond Tax Fund	(1,033.1)	(1,098.6)	(2,184.2)	(5,115.4)									
Transfers to School Tax Relief Fund	(1,033.1)	(1,098.6)	(2,184.2)	(5,115.4)									
Refunds Issued	(1,709.4)	(945.0)	(486.3)	(1,179.8)									
Total Personal Income Tax	1,033.1	1,098.6	2,184.3	5,115.3									
Consumption/Use Taxes:													
Sales and Use	394.9	369.9	572.4	530.0									
Auto Rental	-	-	-	-									
Regulated Tobacco Products	30.0	22.7	25.9	28.0									
Alcoholic Beverage	26.7	21.4	22.8	26.0									
Highway Use	-	-	-	-									
Vapor Excise	7.2	-	-	8.9									
Opoloid Excise	-	-	-	-									
Metropolitan Commuter Trans. Taxicab Trip	-	-	-	-									
Total Consumption/Use Taxes	458.8	414.0	621.1	583.9									
Business Taxes:													
Corporate Franchise	197.4	(131.5)	447.4	449.2									
Insurance	13.3	(2.6)	73.8	12.7									
Corporation and Utilities	63.0	6.9	325.4	28.9									
Bank	6.1	2.0	78.9	0.7									
Petroleum Business	-	-	-	-									
Total Business Taxes	279.8	(125.2)	925.5	491.5									
Other Taxes:													
Real Property Gains	72.7	52.0	147.3	147.7									
Real Estate Gift	0.7	0.2	0.8	1.0									
Real Estate Transfer	-	-	-	-									
Racing and Exhibitions	0.1	-	-	-									
Metropolitan Commuter Trans. Mobility	0.1	(0.1)	0.1	0.1									
Employer Compensation Expense Tax	73.8	52.1	148.2	148.8									
Total Other Taxes	145.3	1,440.5	3,879.1	6,349.5									
Total Taxes	1,458.3	1,440.5	3,879.1	6,349.5									
Miscellaneous Receipts:													
Abandoned Property:													
Bottle Bill	0.4	-	20.6	-									
Assessments:													
Business:	1.9	2.1	1.7	1.8									
Medical Care	-	-	-	-									
Public Utilities	-	0.1	-	-									
Other	-	-	-	-									
Fees, Licenses and Permits:													
Alcohol Beverage Control Licensing	2.2	2.9	2.9	4.9									
Audit Fees	19.0	(0.6)	27.9	13.5									
Charitable Contributions	1.1	0.9	2.9	1.7									
Criminal	0.1	0.1	0.1	0.1									
Motor Vehicle	(100.4)	(49.0)	127.2	109.7									
Recreational/Consumer	-	-	0.1	(0.1)									
Fines, Penalties and Forfeitures	88.9	228.0	14.7	188.1									
Interest Earnings	15.6	5.9	1.6	0.9									
Receipts from Public Authorities:													
Bond Proceeds	-	1,000.0	3,500.0	-									
Insurance Assessments	-	-	-	-									
Non Bond Related	-	-	20.2	24.7									
Receipts from Municipalities	-	-	-	-									
Rentals	0.2	0.1	0.1	0.1									
Revenues of State Departments:													
Administrative Recoveries	0.4	0.3	16.7	0.4									
Commissions	0.4	(0.4)	0.1	-									
Grants and Donations	5.5	5.4	7.4	5.9									
Indirect Cost Recoveries	(3.7)	53.7	2.2	(17.1)									
Patient/Client Care Reimbursement	-	-	-	-									

## EXHIBIT F

STATE OF NEW YORK  
GENERAL FUND  
STATEMENT OF CASH FLOW  
FISCAL YEAR 2020-2021  
(amounts in millions)

	2020	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2021	JANUARY	FEBRUARY	MARCH	2020	2019	\$ Increase/ (Decrease)	% Increase/ Decrease
Relates	-	1.7	(0.9)	-	-	-	-	-	-	-	-	-	-	0.6	0.3	0.3	166.7%
Restitution and Settlements	0.2	-	-	0.1	-	-	-	-	-	-	-	-	-	0.2	0.2	0.1	50.0%
Student Loans	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
All Other	4.8	4.5	4.9	11.9	-	-	-	-	-	-	-	-	-	26.1	18.9	7.2	38.1%
Sales	-	-	1.8	2.3	-	-	-	-	-	-	-	-	-	4.1	-	4.1	100.0%
Total Miscellaneous Receipts	37.3	1,254.0	3,752.2	342.3	-	-	-	-	-	-	-	-	-	5,385.8	1,266.5	4,119.3	325.3%
Federal Receipts	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Total Receipts	1,882.6	2,894.5	7,631.3	6,691.8	-	-	-	-	-	-	-	-	-	15,990.2	15,998.2	2,902.0	18.1%
DISBURSEMENTS:																	
Local Assistance Grants:																	
Education	754.2	4,030.1	3,112.1	563.3	-	-	-	-	-	-	-	-	-	8,459.7	8,697.9	(238.2)	-2.7%
Environment and Recreation	0.1	-	-	-	-	-	-	-	-	-	-	-	-	0.3	0.3	(0.2)	-66.7%
General Government	6.8	0.7	493.7	12.4	-	-	-	-	-	-	-	-	-	513.6	594.5	(80.9)	-13.6%
Public Health:																	
Mental Health	229.4	1,283.6	2,466.9	1,220.8	-	-	-	-	-	-	-	-	-	5,200.7	7,599.3	(2,398.6)	-31.6%
Other Public Health	63.9	47.3	239.8	433.9	-	-	-	-	-	-	-	-	-	784.9	824.2	(39.3)	-4.8%
Public Safety	2.9	1.4	0.3	2.7	-	-	-	-	-	-	-	-	-	7.3	55.0	(47.7)	-86.7%
Public Welfare	76.9	158.0	61.4	577.5	-	-	-	-	-	-	-	-	-	873.8	481.6	392.2	81.4%
Support and Regulate Business	4.6	6.7	4.6	4.8	-	-	-	-	-	-	-	-	-	20.7	41.4	(20.7)	-50.0%
Transportation	0.1	-	-	24.5	-	-	-	-	-	-	-	-	-	24.6	38.2	(13.6)	-35.6%
Total Local Assistance Grants	1,138.9	5,527.8	6,378.8	2,839.9	-	-	-	-	-	-	-	-	-	15,895.4	18,332.4	(2,447.0)	-13.3%
Departmental Operations:																	
Capital Projects	893.7	891.3	584.6	738.8	-	-	-	-	-	-	-	-	-	2,899.4	3,138.9	(249.5)	-7.9%
Non-Personal Service	313.2	185.2	165.0	(506.7)	-	-	-	-	-	-	-	-	-	166.7	828.0	(661.3)	-79.9%
General State Charges	480.2	330.5	2,511.9	335.9	-	-	-	-	-	-	-	-	-	3,638.5	3,822.2	(183.7)	-4.9%
Total Disbursements	2,806.0	6,744.8	9,620.3	3,407.9	-	-	-	-	-	-	-	-	-	22,579.0	26,124.5	(3,545.5)	-13.6%
Excess (Deficiency) of Receipts over Disbursements	(923.4)	(4,050.3)	(1,989.0)	3,283.9	-	-	-	-	-	-	-	-	-	(3,678.8)	(10,126.3)	6,447.5	63.7%
OTHER FINANCING SOURCES (USES):																	
Transfers from Revenue Bond Tax Fund	1,032.9	1,098.9	2,178.3	4,323.6	-	-	-	-	-	-	-	-	-	8,633.7	9,997.8	(1,364.1)	-13.6%
Transfers from Local Gov't STRBTF	284.6	162.2	560.4	471.1	-	-	-	-	-	-	-	-	-	1,453.3	2,059.6	(606.3)	-30.6%
Transfers from Local Gov't	34.8	146.4	146.4	120.5	-	-	-	-	-	-	-	-	-	350.0	350.0	-	0.0%
Transfers from Other Funds	74.9	193.6	83.5	126.5	-	-	-	-	-	-	-	-	-	478.5	528.6	(50.1)	-9.1%
Transfers to State Capital Projects	800.3	(203.8)	(312.4)	(306.1)	-	-	-	-	-	-	-	-	-	(22.0)	(1,250.7)	(1,228.7)	-98.2%
Transfers to All Other Capital Projects	-	-	(30.5)	(204.0)	-	-	-	-	-	-	-	-	-	(234.5)	(516.5)	(282.0)	-54.6%
Transfers to General Debt Service	(32.0)	1.7	(3.7)	(82.7)	-	-	-	-	-	-	-	-	-	(116.7)	(270.5)	(153.8)	-56.9%
Transfers to All Other State Funds	(142.8)	(23.0)	(670.7)	(82.4)	-	-	-	-	-	-	-	-	-	(1,228.9)	(1,399.6)	(170.7)	-12.2%
Total Other Financing Sources (Uses)	2,061.7	1,278.0	1,542.4	4,235.5	-	-	-	-	-	-	-	-	-	9,117.6	9,534.9	(417.3)	-4.4%
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	1,138.3	(2,772.3)	(446.6)	7,519.4	-	-	-	-	-	-	-	-	-	5,438.8	(591.4)	6,030.2	1,019.6%
Ending Fund Balance	\$ 10,822.5	\$ 7,310.2	\$ 6,863.6	\$ 14,383.0	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 14,383.0	\$ 6,814.3	\$ 7,768.7	117.5%

STATE OF NEW YORK  
SPECIAL REVENUE FUNDS - COMBINED  
STATEMENT OF CASH FLOW  
FISCAL YEAR 2020-2021  
(amounts in millions)

EXHIBIT G

	2020			2021								Intra-Fund Transfer Eliminations (*)	4 Months Ended July 31			
	APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	JANUARY	FEBRUARY		MARCH	2020	2019	\$ Increase/ (Decrease)
Beginning Fund Balance																
RECEIPTS:																
Taxes:																
Personal Income Tax	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Consumption/Use Taxes:																
Sales and Use	80.3	51.2	65.6	72.7	-	-	-	-	-	-	-	-	-	382.4	(112.6)	-29.4%
Auto Rental	(0.1)	(1.5)	3.4	2.1	-	-	-	-	-	-	-	-	-	3.9	100.0%	-
Cigarette/Tobacco Products	68.8	51.3	60.2	68.8	-	-	-	-	-	-	-	-	-	249.1	(6.2)	-2.4%
Medical Marijuana	0.5	0.6	0.7	0.6	-	-	-	-	-	-	-	-	-	1.9	0.5	28.3%
Alcoholic Beverage	6.5	4.7	6.6	8.5	-	-	-	-	-	-	-	-	-	37.3	(11.0)	-29.5%
Highway Use	-	0.1	-	-	-	-	-	-	-	-	-	-	-	0.2	(0.1)	-50.0%
Vapor Excise	-	0.1	11.7	(0.4)	-	-	-	-	-	-	-	-	-	11.4	100.0%	-
Metropolitan Commuter Trans. Taxicab Trip																
Total Consumption/Use Taxes																
Business	156.0	106.5	148.2	132.3	-	-	-	-	-	-	-	-	-	565.8	(114.1)	-18.9%
Corporation Franchise	57.0	(3.2)	110.1	114.0	-	-	-	-	-	-	-	-	-	277.9	(43.0)	-13.4%
Corporation and Utilities	2.2	(6.9)	20.5	17.0	-	-	-	-	-	-	-	-	-	32.8	(13.8)	-29.6%
Insurance	7.2	(0.4)	38.6	4.4	-	-	-	-	-	-	-	-	-	77.4	(27.6)	-35.7%
Bank	1.3	0.6	12.9	14.8	-	-	-	-	-	-	-	-	-	4.0	10.8	270.0%
Petroleum Business	30.3	17.6	37.7	38.8	-	-	-	-	-	-	-	-	-	174.7	(50.3)	-28.8%
Total Business Taxes	98.0	7.7	219.8	174.2	-	-	-	-	-	-	-	-	-	499.7	(123.9)	-19.9%
Total Taxes																
	254.0	114.2	368.0	326.5	-	-	-	-	-	-	-	-	-	1,062.7	(238.0)	-18.3%
Miscellaneous Receipts:																
Abandoned Property:																
Abandoned Property	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
Assessments:																
Business	46.7	62.4	82.6	71.5	-	-	-	-	-	-	-	-	-	3.6	(0.4)	-10.0%
Medical Care	563.3	484.7	504.4	440.8	-	-	-	-	-	-	-	-	-	2,217.6	(238.4)	-10.8%
Public Utilities	0.1	-	-	-	-	-	-	-	-	-	-	-	-	5.8	(0.9)	-15.5%
Other	-	-	-	-	-	-	-	-	-	-	-	-	-	0.1	(0.1)	-100.0%
Fees, Licenses and Permits:																
Audit Fees	-	-	0.1	0.2	-	-	-	-	-	-	-	-	-	0.3	(2.0)	-87.0%
Business/Professional	48.2	70.4	86.5	40.8	-	-	-	-	-	-	-	-	-	245.9	14.9	6.5%
Civil	3.2	2.4	2.6	1.4	-	-	-	-	-	-	-	-	-	17.5	(7.9)	-45.1%
Criminal	0.5	0.3	0.1	1.5	-	-	-	-	-	-	-	-	-	9.6	0.6	33.3%
Motor Vehicle	18.4	15.8	17.0	30.6	-	-	-	-	-	-	-	-	-	104.8	(23.0)	-21.9%
Recreational/Consumer	43.0	-	36.0	34.3	-	-	-	-	-	-	-	-	-	113.3	(117.6)	-50.9%
Fines, Penalties and Forfeitures	10.0	5.8	1.6	14.0	-	-	-	-	-	-	-	-	-	31.4	(157.3)	-83.4%
Gaming:																
Casino	-	-	-	20.8	-	-	-	-	-	-	-	-	-	20.8	(86.8)	-80.7%
Lottery	157.0	142.1	173.8	202.2	-	-	-	-	-	-	-	-	-	908.4	(233.3)	-25.7%
Interest Earnings	-	0.6	-	(0.4)	-	-	-	-	-	-	-	-	-	317.8	(317.6)	-99.9%
Receipts from Public Authorities:																
Bond Proceeds	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Cost Recovery Assessments	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Insurance Fees	0.5	1.4	5.3	-	-	-	-	-	-	-	-	-	-	7.2	-	0.0%
Non Bond Related	8.9	0.3	-	4.2	-	-	-	-	-	-	-	-	-	23.1	(3.7)	-42.0%
Receipts from Municipalities	9.3	2.2	3.7	3.7	-	-	-	-	-	-	-	-	-	22.0	(3.1)	-14.1%
Rentals	(5.3)	(42.9)	(0.4)	1.9	-	-	-	-	-	-	-	-	-	104.9	(151.6)	-144.5%
Revenues of State Departments:																
Administrative Recoveries	24.6	8.5	8.7	8.7	-	-	-	-	-	-	-	-	-	50.5	25.4	98.8%
Commissions	0.2	0.1	0.1	0.2	-	-	-	-	-	-	-	-	-	1.9	(1.3)	-68.4%
Commissions - Asset Conversion	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Gifts, Grants and Donations	0.6	1.5	22.1	0.7	-	-	-	-	-	-	-	-	-	4.9	20.0	408.2%
Indirect Cost Recoveries	483.0	305.7	305.8	198.8	-	-	-	-	-	-	-	-	-	737.7	(10.9)	-100.0%
Patient/Clerk Reimbursement	7.8	13.6	14.1	17.7	-	-	-	-	-	-	-	-	-	60.1	(6.9)	-11.5%
Rebates	3.7	0.4	0.6	0.1	-	-	-	-	-	-	-	-	-	10.6	(5.8)	-54.7%
Restitution and Settlements	6.1	1.4	3.2	6.4	-	-	-	-	-	-	-	-	-	26.6	(9.5)	-35.7%
Student Loans	(24.6)	4.4	13.1	63.5	-	-	-	-	-	-	-	-	-	174.9	(118.5)	-67.8%
All Other	3.6	3.6	3.6	3.6	-	-	-	-	-	-	-	-	-	5.7	(3.1)	-54.4%
Sales	(67.9)	33.6	56.9	50.9	-	-	-	-	-	-	-	-	-	180.0	(105.1)	-58.9%
Tuition	1,361.9	1,187.7	1,347.4	1,224.8	-	-	-	-	-	-	-	-	-	6,101.8	(1,038.8)	-17.4%
Total Miscellaneous Receipts	10,777.4	4,104.3	7,352.3	5,214.1	-	-	-	-	-	-	-	-	-	19,809.7	7,639.4	38.6%
Federal Receipts	12,393.3	5,336.2	9,007.7	6,765.4	-	-	-	-	-	-	-	-	-	27,212.0	6,340.6	23.3%
Total Receipts	23,170.7	9,440.5	16,354.1	11,979.5	-	-	-	-	-	-	-	-	-	47,021.7	13,680.0	29.0%

## EXHIBIT G

(\*) Intra-Fund transfer eliminations represent transfers between Special Revenue-State and Federal Funds.

## EXHIBIT G

[illegible]

STATE OF NEW YORK  
SPECIAL REVENUE FUNDS - STATE  
STATEMENT OF CASH FLOW  
FISCAL YEAR 2020-2021  
(amounts in millions)

EXHIBIT G

	2020 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2021 JANUARY	FEBRUARY	MARCH	2020	2019	\$ Increase/ (Decrease)	% Increase/ Decrease
<b>DISBURSEMENTS:</b>																
Local Assistance Grants:																
Education	0.1	35.0	297.0	0.3									332.4	350.2	(17.8)	-5.1%
Environment and Recreation	-	0.3	-	0.2									0.5	0.5	0.0%	0.0%
General Government	9.3	3.9	6.3	8.0									27.5	68.1	(38.6)	-58.4%
Public Health:																
Medicaid	528.3	474.2	30.5	823.1									1,856.1	1,828.9	27.2	1.5%
Other Public Health	29.6	25.5	67.4	52.2									174.7	241.0	(66.3)	-27.5%
Public Safety	18.0	9.7	4.2	14.2									46.1	60.1	(14.0)	-23.3%
Public Welfare	0.1	0.2	-	0.6									0.9	2.7	(1.8)	-66.7%
Support and Regulate Business	-	0.4	4.4	0.6									5.4	1.4	4.0	285.7%
Transportation	61.2	4.2	1.1	70.6									101.4	101.4	0.0%	0.0%
Total Local Assistance Grants	647.0	580.9	428.3	1,691.0									3,267.2	3,573.8	(306.6)	-8.9%
Departmental Operations:																
Personal Service	801.1	393.6	390.9	375.2									1,760.8	1,824.7	(63.9)	-3.5%
Non-Personal Service	230.1	176.8	158.7	192.7									758.3	919.2	(160.9)	-17.5%
General State Charges	52.3	39.8	70.7	52.3									215.1	285.5	(70.4)	-24.7%
Capital Projects	-	-	-	-									-	0.1	(0.1)	-100.0%
Total Disbursements	1,590.5	1,201.1	1,048.6	2,221.2									6,001.4	6,604.3	(602.9)	-9.1%
Excess (Deficiency) of Receipts over Disbursements	70.1	(32.9)	681.6	(684.4)									14.4	705.5	(691.1)	-98.0%
<b>OTHER FINANCING SOURCES (USES):</b>																
Transfers from Other Funds	222.7	41.5	897.4	135.6									1,297.2	1,675.5	(378.3)	-22.6%
Transfers to Other Funds	2.7	5.6	(23.0)	(17.7)									(32.4)	(194.7)	(162.3)	-83.4%
Total Other Financing Sources (Uses)	225.4	47.1	874.4	117.9									1,264.8	1,480.8	(216.0)	-14.6%
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	295.5	14.2	1,538.0	(566.5)									1,279.2	2,186.3	(907.1)	-41.5%
Ending Fund Balance	\$ 5,696.2	\$ 5,710.4	\$ 7,246.4	\$ 6,679.9	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 6,679.9	\$ 7,277.1	\$ (597.2)	-8.2%



STATE OF NEW YORK  
SPECIAL REVENUE FUNDS - FEDERAL  
STATEMENT OF CASH FLOW  
FISCAL YEAR 2020-2021  
(amounts in millions)

EXHIBIT G

	4 Months Ended July 31												% Increase/ Decrease			
	2020						2021									
	APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	JANUARY	FEBRUARY	MARCH				
Beginning Fund Balance	\$ 911.4	\$ 5,527.8	\$ 5,429.8	\$ 7,226.3									\$ 911.4	\$ (1,288.4)	\$ 2,199.8	173.0%
RECEIPTS:																
Miscellaneous Receipts:																
Abandoned Property:																
Assessments:																
Business:	-	-	-	-									-	-	-	0.0%
Medical Care	4.0	40.6	0.2	1.7									46.6	46.6	(0.1)	-0.2%
Public Utilities	-	-	-	-									-	-	-	0.0%
Other	-	-	-	-									-	-	-	0.0%
Fees, Licenses and Permits:																
Business/Professional	-	-	-	-									-	-	-	0.0%
Civil	-	-	-	-									-	-	-	0.0%
Criminal	-	-	-	-									-	-	-	0.0%
Motor Vehicle	-	-	-	-									-	-	-	0.0%
Recreational/Consumer	-	-	-	-									-	-	-	0.0%
Fines, Penalties and Forfeitures	0.3	0.2	0.2	0.2									0.9	2.4	(1.5)	0.0%
Interest Earnings	3.0	3.9	1.4	0.9									9.2	8.6	0.6	-62.5%
Receipts from Public Authorities:																7.0%
Bond Proceeds	-	-	-	-									-	-	-	0.0%
Cost Recovery Assessments	-	-	-	-									-	-	-	0.0%
Insurance Fees	-	-	-	-									-	-	-	0.0%
Non Bond Related	-	-	-	-									-	-	-	0.0%
Receipts from Municipalities	-	-	-	-									-	-	-	0.0%
Rentals	-	-	-	-									-	-	-	0.0%
Revenues of State Departments:																
Administrative Recoveries	-	-	-	-									-	-	-	0.0%
Commissions	-	-	-	-									-	-	-	0.0%
Gifts, Grants and Donations	-	-	-	-									-	-	-	0.0%
Indirect Cost Recoveries	-	-	-	-									-	-	-	0.0%
Patient/Client Care Reimbursement	-	-	-	-									-	-	-	0.0%
Rebates	7.7	8.7	7.5	7.5									31.4	33.6	(2.2)	-6.5%
Restitution and Settlements	-	-	-	-									-	-	-	0.0%
Student Loans	-	-	-	-									-	-	-	0.0%
All Other	0.3	0.3	-	0.1									0.7	0.5	0.2	40.0%
Sales	-	-	-	-									-	-	-	0.0%
Tuition	-	-	-	-									-	-	-	0.0%
Total Miscellaneous Receipts	15.3	53.7	9.3	10.4	-	-	-	-	-	-	-	-	88.7	91.7	(3.0)	-3.3%
Federal Receipts	10,777.4	4,104.3	7,348.2	5,218.2									27,448.1	19,810.5	7,637.6	38.6%
Total Receipts	10,792.7	4,158.0	7,357.5	5,228.6	-	-	-	-	-	-	-	-	27,536.8	19,902.2	7,634.6	38.4%

STATE OF NEW YORK  
SPECIAL REVENUE FUNDS - FEDERAL  
STATEMENT OF CASH FLOW  
FISCAL YEAR 2020-2021  
(amounts in millions)

EXHIBIT G

	4 Months Ended July 31												% Increase/ Decrease
	2020 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2021 JANUARY	FEBRUARY	MARCH	
<b>DISBURSEMENTS:</b>													
Local Assistance Grants:													
Education and Recreation	382.9	67.6	550.9	234.6							1,236.0	1,478.1	(242.1)
Environment and Recreation	-	0.2	-	0.1							0.3	0.2	0.1
General Government	2.0	2.2	2.2	11.0							17.4	15.4	2.0
Public Health:													
Medicaid	4,652.6	3,341.8	3,585.1	3,313.7							14,893.2	12,899.1	1,994.1
Other Public Health	480.0	507.5	642.3	503.9							2,133.7	2,137.0	(3.3)
Public Safety	74.4	52.5	155.0	247.4							529.3	389.2	160.1
Public Welfare	134.8	25.7	253.6	201.0							615.1	859.2	(244.1)
Support and Regulate Business	0.3	0.3	2.1	0.4							3.1	2.5	0.6
Transportation	3.9	3.0	4.1	4.3							18.3	18.2	0.1
Total Local Assistance Grants	5,720.9	4,008.8	5,193.3	4,578.4	-	-	-	-	-	-	19,448.4	17,778.9	1,669.5
Other Disbursements:													
Department of Operations:													
Regional Service	74.7	51.0	160.9	164.8							451.4	214.5	236.9
Non-Personal Service	40.8	44.1	168.6	885.3							1,138.8	324.4	814.4
General State Charges	22.7	24.7	38.9	83.7							170.0	115.1	54.9
Capital Projects	-	-	-	-							-	-	-
Total Disbursements	5,868.1	4,120.6	5,563.7	5,653.2	-	-	-	-	-	-	21,206.6	18,432.9	2,773.7
Excess (Deficiency) of Receipts over Disbursements	4,923.6	37.4	1,793.8	(424.6)	-	-	-	-	-	-	6,330.2	1,489.3	4,860.9
<b>OTHER FINANCING SOURCES (USES):</b>													
Transfers from Other Funds	-	-	2.7	-							-	-	-
Transfers to Other Funds	(307.2)	(135.4)	2.7	(248.9)							(617.4)	(617.4)	71.4
Total Other Financing Sources (Uses)	(307.2)	(135.4)	2.7	(248.9)	-	-	-	-	-	-	(683.8)	(617.4)	71.4
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	4,616.4	(98.0)	1,796.5	(673.5)	-	-	-	-	-	-	5,641.4	851.9	4,789.5
Ending Fund Balance	\$ 5,527.8	\$ 5,429.8	\$ 7,226.3	\$ 6,552.8	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 6,552.8	\$ (396.5)	\$ 6,949.3
													1,752.7%

## EXHIBIT H

[illegible]

## EXHIBIT I

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STATE OF NEW YORK  
CAPITAL PROJECTS FUNDS - COMBINED  
STATEMENT OF CASH FLOW  
FISCAL YEAR 2020-2021  
(amounts in millions)

EXHIBIT I

	2020 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2021 JANUARY	FEBRUARY	MARCH	Intra-Fund Transfer Eliminations (*)	4 Months Ended July 31			% Increase/ Decrease
														2020	2019	\$ Increase/ (Decrease)	
<b>DISBURSEMENTS:</b>																	
Local Assistance Grants:																	
Education	12.0	-	4.9	1.0	-	-	-	-	-	-	-	-	-	17.9	33.4	(15.5)	-46.4%
Environment and Recreation	4.4	3.2	14.0	12.6	-	-	-	-	-	-	-	-	-	34.2	75.7	(41.5)	-54.8%
General Government	30.4	10.9	41.5	36.0	-	-	-	-	-	-	-	-	-	118.8	341.4	(222.6)	-65.2%
Public Health:																	
Medicaid	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Other Public Health	29.0	58.2	30.9	38.7	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Public Safety	-	-	3.8	0.9	-	-	-	-	-	-	-	-	-	-	-	-	-
Public Welfare	-	33.8	73.7	71.7	-	-	-	-	-	-	-	-	-	179.2	103.0	76.2	49.5%
Support and Regulate Business	43.2	7.7	24.8	20.9	-	-	-	-	-	-	-	-	-	96.6	412.5	(315.9)	-74.0%
Transportation	24.4	46.2	35.0	81.9	-	-	-	-	-	-	-	-	-	212.5	412.5	(199.9)	-47.8%
Total Local Assistance Grants	143.4	189.0	243.8	273.7	-	-	-	-	-	-	-	-	-	820.7	1,548.0	(728.9)	-47.0%
Departmental Operations:																	
Personal Services	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Non-Personal Service	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
General State Charges	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Capital Projects	508.8	406.0	681.8	641.4	-	-	-	-	-	-	-	-	-	2,239.0	2,069.3	139.7	6.7%
Total Disbursements	653.2	566.0	925.4	915.1	-	-	-	-	-	-	-	-	-	3,059.7	3,648.9	(589.2)	-16.1%
Excess (Deficiency) of Receipts over Disbursements	697.3	(352.8)	(234.0)	(232.2)	-	-	-	-	-	-	-	-	-	(121.7)	(1,821.2)	1,699.5	93.3%
<b>OTHER FINANCING SOURCES (USES):</b>																	
Bond and Note Proceeds (net)	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Transfers from Other Funds	(805.1)	198.0	360.6	523.4	-	-	-	-	-	-	-	-	-	276.9	1,815.7	(1,538.8)	-84.7%
Transfers to Other Funds	(12.3)	(12.4)	(12.3)	(14.0)	-	-	-	-	-	-	-	-	-	(51.0)	(191.1)	(140.1)	-73.3%
Total Other Financing Sources (Uses)	(817.4)	185.6	348.3	509.4	-	-	-	-	-	-	-	-	-	225.9	1,624.6	(1,398.7)	-86.1%
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	(120.1)	(167.2)	114.3	277.2	-	-	-	-	-	-	-	-	-	104.2	(196.6)	300.8	153.0%
Ending Fund Balance	\$ (1,155.0)	\$ (1,322.2)	\$ (1,207.9)	\$ (930.7)	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ (930.7)	\$ (1,334.5)	\$ 403.8	30.3%

(\*) Intra-Fund transfer eliminations represent transfers from Capital Projects-State and Federal Funds.

STATE OF NEW YORK  
CAPITAL PROJECTS FUNDS - STATE  
STATEMENT OF CASH FLOW  
FISCAL YEAR 2020-2021  
(amounts in millions)

EXHIBIT I

	4 Months Ended July 31												% Increase/ Decrease			
	2020 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	JANUARY	FEBRUARY	MARCH				
Beginning Fund Balance	\$ (472.2)	\$ (598.4)	\$ (754.3)	\$ (629.3)									\$ (472.2)	2019 \$ (633.2)	\$ 161.0	25.4%
RECEIPTS:																
Taxes:																
Consumption/Use Taxes																
Auto Rental	0.6	0.1	10.1	-									10.8	23.4	(12.6)	-53.8%
Motor Fuel	23.8	16.7	24.9	31.2									96.6	138.2	(41.6)	-30.1%
Highway Use	11.6	8.8	12.4	12.4									45.2	49.1	(3.9)	-7.9%
Total Consumption/Use Taxes	36.0	25.6	47.4	43.6	-	-	-	-	-	-	-	-	152.6	210.7	(58.1)	-27.6%
Business Taxes																
Corporation Franchise	-	-	-	-									-	-	-	0.0%
Corporation and Utilities	0.1	(1.5)	0.6	3.6									2.8	5.1	(2.3)	-45.1%
Petroleum Business	38.0	22.2	48.0	49.1									157.3	223.0	(65.7)	-29.5%
Total Business Taxes	38.1	20.7	48.6	52.7	-	-	-	-	-	-	-	-	160.1	228.1	(68.0)	-29.8%
Other Taxes																
Real Estate Transfer	-	-	11.9	11.9									23.8	23.8	-	0.0%
Total Other Taxes	-	-	11.9	11.9	-	-	-	-	-	-	-	-	23.8	23.8	-	0.0%
Total Taxes	74.1	46.3	107.9	108.2	-	-	-	-	-	-	-	-	336.5	462.6	(126.1)	-27.3%
Miscellaneous Receipts:																
Abandoned Property:																
Bottle Bill	-	-	-	23.0									23.0	23.0	-	0.0%
Assessments:																
Business	7.9	3.6	5.4	7.0									23.9	36.3	(12.4)	-34.2%
Fees, Licenses and Permits:																
Business/Professional	1.8	2.1	1.6	1.3									6.8	12.6	(5.8)	-46.0%
Civil	-	-	-	-									-	-	-	0.0%
Motor Vehicle	52.2	33.2	43.1	60.6									189.1	262.5	(73.4)	-28.0%
Recreational/Consumer	-	-	0.5	-									0.5	0.3	0.2	66.7%
Fines, Penalties and Forfeitures	2.0	1.9	2.0	1.2									7.1	8.3	(1.2)	-14.5%
Interest Earnings	0.7	0.5	0.1	-									1.3	4.2	(2.9)	-69.0%
Receipts from Public Authorities:																
Bond Proceeds	1,122.1	19.1	342.4	269.9									1,753.5	505.3	1,248.2	247.0%
Issuance Fees	-	-	-	-									-	-	-	0.0%
Non Bond Related	0.1	-	0.5	0.1									0.7	3.9	(3.2)	-82.1%
Receipts from Municipalities	-	-	0.1	-									0.6	0.6	(0.5)	-83.3%
Rentals	0.4	1.1	2.0	2.2									5.7	2.5	3.2	128.0%
Revenues of State Departments:																
Administrative Recoveries	-	-	-	-									-	-	-	0.0%
Gifts, Grants and Donations	-	0.7	5.8	-									6.5	11.5	(5.0)	-43.5%
Indirect Cost Recoveries	-	-	-	-									-	(0.9)	0.9	100.0%
Rebates	-	-	-	-									-	0.2	(0.2)	-100.0%
Restitution and Settlements	3.1	0.2	-	0.1									3.4	1.2	2.2	183.3%
All Other	0.3	1.8	12.0	0.2									14.3	20.0	(5.7)	-28.5%
Sales	-	-	-	-									-	4.2	(4.2)	-100.0%
Total Miscellaneous Receipts	1,190.6	64.2	415.5	365.6	-	-	-	-	-	-	-	-	2,035.9	895.7	1,140.2	127.3%
Federal Receipts																
	-	-	-	-									-	-	-	0.0%
Total Receipts	1,264.7	110.5	523.4	473.8	-	-	-	-	-	-	-	-	2,372.4	1,358.3	1,014.1	74.7%

STATE OF NEW YORK  
CAPITAL PROJECTS FUNDS - STATE  
STATEMENT OF CASH FLOW  
FISCAL YEAR 2020-2021  
(amounts in millions)

EXHIBIT I

	2020			2021								4 Months Ended July 31				
	APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	JANUARY	FEBRUARY	MARCH	2020	2019	\$ Increase/ (Decrease)	% Increase/ Decrease
DISBURSEMENTS:																
Local Assistance Grants:																
Education	12.0	-	4.9	1.0									17.9	33.4	(15.5)	-46.4%
Environment and Recreation	4.4	3.2	14.0	12.6									34.2	75.7	(41.5)	-54.8%
General Government	30.4	10.9	41.5	36.0									118.8	341.4	(222.6)	-65.2%
Public Health:																
Medicaid	-	-	-	-									-	-	-	0.0%
Other Public Health	29.0	58.2	30.9	37.5									155.6	168.6	(13.0)	-7.7%
Public Safety	-	-	0.7	0.9									1.6	(0.9)	2.5	277.8%
Public Welfare	-	33.8	73.7	71.7									179.2	103.0	76.2	74.0%
Support and Regulate Business	43.2	7.7	24.8	20.9									96.6	412.5	(315.9)	-76.6%
Transportation	2.4	4.7	14.4	50.4									71.9	242.3	(170.4)	-70.3%
Total Local Assistance Grants	121.4	118.5	204.9	231.0	-	-	-	-	-	-	-	-	675.8	1,376.0	(700.2)	-50.9%
Departmental Operations:																
Personal Service	-	-	-	-									-	-	-	0.0%
Non-Personal Service	-	-	-	-									-	-	-	0.0%
General State Charges	452.1	333.5	541.8	512.4									1,939.8	1,745.5	94.3	5.4%
Capital Projects	-	-	-	-									-	-	-	0.0%
Total Disbursements	573.5	452.0	746.7	743.4	-	-	-	-	-	-	-	-	2,515.6	3,121.5	(605.9)	-19.4%
Excess (Deficiency) of Receipts over Disbursements	691.2	(341.5)	(223.3)	(269.6)	-	-	-	-	-	-	-	-	(143.2)	(1,763.2)	1,620.0	91.9%
OTHER FINANCING SOURCES (USES):																
Bond and Note Proceeds (net)	-	-	-	-									-	-	-	0.0%
Transfers from Other Funds	(805.1)	198.0	360.6	523.4									276.9	1,815.7	(1,538.8)	-84.7%
Transfers to Other Funds	(12.3)	(12.4)	(12.3)	(14.0)									(51.0)	(191.1)	(140.1)	-73.3%
Total Other Financing Sources (Uses)	(817.4)	185.6	348.3	509.4	-	-	-	-	-	-	-	-	225.9	1,624.6	(1,398.7)	-86.1%
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	(126.2)	(155.9)	125.0	239.8	-	-	-	-	-	-	-	-	82.7	(138.6)	221.3	159.7%
Ending Fund Balance	\$ (598.4)	\$ (754.3)	\$ (629.3)	\$ (389.5)	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ (389.5)	\$ (771.8)	\$ 382.3	49.5%



## EXHIBIT I

STATE OF NEW YORK  
CAPITAL PROJECTS FUNDS - FEDERAL  
STATEMENT OF CASH FLOW  
FISCAL YEAR 2020-2021  
(amounts in millions)

	4 Months Ended July 31												% Increase/ Decrease			
	2020 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2021 JANUARY	FEBRUARY	MARCH				
Beginning Fund Balance	\$ (562.7)	\$ (556.6)	\$ (567.9)	\$ (578.6)									\$ (562.7)	\$ (504.7)	\$ (58.0)	-11.5%
<b>RECEIPTS:</b>																
Miscellaneous Receipts:																
Abandoned Property:																
Bottle Bill	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Assessments:																
Business	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Fees, Licenses and Permits:																
Business/Professional	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Civil	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Motor Vehicle	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Recreational/Consumer	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Fines, Penalties and Forfeitures	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Interest Earnings	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Receipts from Public Authorities:																
Bond Proceeds	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Issuance Fees	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Non Bond Related	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Receipts from Municipalities	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Rentals	0.1	-	0.1	-	-	-	-	-	-	0.2	0.3	(0.1)	-33.3%			
Revenues of State Departments:																
Administrative Recoveries	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Gifts, Grants and Donations	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Indirect Cost Recoveries	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Restitution and Settlements	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
All Other	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Sales	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Total Miscellaneous Receipts	0.1	-	0.1	-	-	-	-	-	-	-	-	-	0.2	0.3	(0.1)	-33.3%
Federal Receipts	85.7	102.7	167.9	209.1									565.4	469.1	96.3	20.5%
Total Receipts	85.8	102.7	168.0	209.1	-	-	-	-	-	-	-	-	565.6	469.4	96.2	20.5%
<b>DISBURSEMENTS:</b>																
Local Assistance Grants:																
Education	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Environment and Recreation	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
General Government	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Public Health:																
Medicaid	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Other Public Health	-	-	-	1.2	-	-	-	-	-	1.2	0.4	0.8	200.0%	0.6	0.8	200.0%
Public Safety	-	-	3.1	-	-	-	-	-	-	3.1	10.2	(7.1)	-69.6%	10.2	(7.1)	-69.6%
Public Welfare	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Support and Regulate Business	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Transportation	22.0	41.5	35.6	41.5	-	-	-	-	-	140.6	163.0	(22.4)	-13.7%	163.0	(22.4)	-13.7%
Total Local Assistance Grants	22.0	41.5	38.7	42.7	-	-	-	-	-	144.9	173.6	(28.7)	-16.5%	173.6	(28.7)	-16.5%
Departmental Operations:																
Personal Service	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Non-Personal Service	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
General State Charges	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Capital Projects	57.7	72.5	140.0	129.0						399.2	353.8	45.4	12.8%	353.8	45.4	12.8%
Total Disbursements	79.7	114.0	178.7	171.7	-	-	-	-	-	544.1	527.4	16.7	3.2%	527.4	16.7	3.2%
Excess (Deficiency) of Receipts over Disbursements	6.1	(11.3)	(10.7)	37.4	-	-	-	-	-	21.5	(58.0)	79.5	137.1%	(58.0)	79.5	137.1%
<b>OTHER FINANCING SOURCES (USES):</b>																
Transfers from Other Funds	-	-	-	-	-	-	-	-	-	-	-	-	0.0%	-	-	0.0%
Transfers to Other Funds	-	-	-	-	-	-	-	-	-	-	-	-	0.0%	-	-	0.0%
Total Other Financing Sources (Uses)	-	-	-	-	-	-	-	-	-	-	-	-	0.0%	-	-	0.0%
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	6.1	(11.3)	(10.7)	37.4	-	-	-	-	-	21.5	(58.0)	79.5	137.1%	(58.0)	79.5	137.1%
Ending Fund Balance	\$ (556.6)	\$ (567.9)	\$ (578.6)	\$ (541.2)	\$ -	\$ -	\$ -	\$ -	\$ -	\$ (541.2)	\$ (562.7)	\$ (58.0)	3.8%	\$ (562.7)	\$ (58.0)	3.8%

STATE OF NEW YORK  
ENTERPRISE FUNDS  
STATEMENT OF CASH FLOW  
FISCAL YEAR 2020-2021  
(amounts in millions)

EXHIBIT J

	2020												4 Months Ended July 31				% Increase/ Decrease										
	APRIL		MAY		JUNE		JULY		AUGUST		SEPTEMBER		OCTOBER		NOVEMBER			DECEMBER		2021		JANUARY	FEBRUARY	MARCH			
	\$	29.7	\$	45.9	\$	35.9	\$	35.3												\$	29.7				\$	26.6	\$
Beginning Fund Balance																											
RECEIPTS:																											
Miscellaneous Receipts	4.2		4.8		6.4		6.9																			3.7%	
Federal Receipts	2,584.0		5,983.3		10,834.5		8,949.5																			708,932.5%	
Unemployment Taxes	1,823.9		2,261.7		2,631.7		1,980.9																			1,243.1%	
Total Receipts	4,412.1		8,259.8		13,472.6		10,937.3																			5,409.1%	
DISBURSEMENTS:																											
Departmental Operations:																											
Personal Service	1.4		0.9		1.1		1.1																			200.0%	
Non-Personal Service	3.6		4.9		5.4		4.9																			6.8%	
General State Charges	0.2		0.1		0.2		0.1																			100.0%	
Unemployment Benefits	4,390.7		8,263.9		13,469.5		10,926.1																			5,578.2%	
Total Disbursements	4,395.9		8,269.8		13,476.2		10,932.2																			5,417.8%	
Excess (Deficiency) of Receipts over Disbursements	16.2		(10.0)		(3.6)		5.1																				
OTHER FINANCING SOURCES (USES):																											
Transfers from Other Funds	-		-		3.0		-																			100.0%	
Transfers to Other Funds	-		-		-		-																			0.0%	
Total Other Financing Sources (Uses)	-		-		3.0		-																			0.0%	
Excess (Deficiency) of Receipts and Other Financing Sources Over Disbursements and Other Financing Uses	16.2		(10.0)		(0.6)		5.1																			791.7%	
Ending Fund Balance	\$ 45.9	\$ 35.9	\$ 35.3	\$ 40.4	\$ -	\$ -	\$ 40.4	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	45.3%

STATE OF NEW YORK  
INTERNAL SERVICE FUNDS  
STATEMENT OF CASH FLOW  
FISCAL YEAR 2020-2021  
(amounts in millions)

EXHIBIT K

	2020 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2021 JANUARY	FEBRUARY	MARCH	4 Months Ended July 31			% Increase/ Decrease
	\$ (297.5)	\$ (281.0)	\$ (299.2)	\$ (315.2)									2020 \$ (297.5)	2019 \$ (302.7)	\$ 5.2	
Beginning Fund Balance																1.7%
RECEIPTS:																
Miscellaneous Receipts	25.2	22.3	34.9	19.8									102.2	164.6	(62.4)	-37.9%
Total Receipts	25.2	22.3	34.9	19.8									102.2	164.6	(62.4)	-37.9%
DISBURSEMENTS:																
Departmental Operations:																
Personal Service	14.5	11.1	11.0	12.3									48.9	43.0	5.9	13.7%
Non-Personal Service	(9.3)	26.6	83.3	31.7									132.3	121.3	11.0	9.1%
General State Charges	4.8	5.3	8.6	4.6									23.3	20.8	2.5	12.0%
Total Disbursements	10.0	43.0	102.9	48.6									204.5	185.1	19.4	10.5%
Excess (Deficiency) of Receipts over Disbursements	15.2	(20.7)	(68.0)	(28.8)									(102.3)	(20.5)	(81.8)	-399.0%
OTHER FINANCING SOURCES (USES):																
Transfers from Other Funds	1.3	2.5	52.0	1.7									57.5	38.8	18.7	48.2%
Transfers to Other Funds	-	-	-	-									-	-	-	0.0%
Total Other Financing Sources (Uses)	1.3	2.5	52.0	1.7									57.5	38.8	18.7	48.2%
Excess (Deficiency) of Receipts and Other Financing Sources Over Disbursements and Other Financing Uses	16.5	(18.2)	(16.0)	(27.1)									(44.8)	18.3	(63.1)	-344.8%
Ending Fund Balance	\$ (281.0)	\$ (299.2)	\$ (315.2)	\$ (342.3)	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ (342.3)	\$ (284.4)	\$ (57.9)	-20.4%

## EXHIBIT L

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**EXHIBIT M**

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## SCHEDULE 1

STATE OF NEW YORK  
GOVERNMENTAL FUNDS  
SUMMARY OF CASH RECEIPTS, DISBURSEMENTS AND  
CHANGES IN FUND BALANCES  
FISCAL YEAR 2020-2021  
FOR THE MONTH OF JULY 2020  
(amounts in millions)

	BALANCE JULY 1, 2020	RECEIPTS	DISBURSEMENTS	OTHER FINANCING SOURCES (USES)	BALANCE JULY 31, 2020
<b>GENERAL FUND</b>					
10000-10049-Local Assistance Account	\$ -	\$ 0.007	\$ 2,839.936	\$ 2,839.929	\$ -
10050-10099-State Operations Account	6,833.420	6,691.764	567.888	1,395.612	14,352.908
10100-10149-Tax Stabilization Reserve	-	-	-	-	-
10150-10199-Contingency Reserve	-	-	-	-	-
10200-10249-Universal Pre-K Reserve	-	-	-	-	-
10250-10299-Community Projects	30.146	-	0.041	-	30.105
10300-10349-Rainy Day Reserve Fund	-	-	-	-	-
10400-10449-Refund Reserve Account	-	-	-	-	-
10500-10549-Fringe Benefits Escrow	-	-	-	-	-
10550-10599-Tobacco Revenue Guarantee	-	-	-	-	-
<b>TOTAL GENERAL FUND</b>	<b>6,863.566</b>	<b>6,691.771</b>	<b>3,407.865</b>	<b>4,235.541</b>	<b>14,383.013</b>
<b>SPECIAL REVENUE FUNDS-STATE</b>					
20000-20099-Mental Health Gifts and Donations	0.826	-	-	-	0.826
20100-20299-Combined Expendable Trust	70.793	0.332	0.156	-	70.969
20300-20349-New York Interest on Lawyer Account	112.433	2.256	1.237	-	113.452
20350-20399-NYS Archives Partnership Trust	(0.103)	-	0.031	-	(0.134)
20400-20449-Child Performer's Protection	0.569	0.001	0.026	-	0.544
20450-20499-Tuition Reimbursement	7.683	0.436	0.172	-	7.947
20500-20549-New York State Local Government Records Management Improvement	4.161	0.071	0.517	-	3.715
20550-20599-School Tax Relief	0.006	-	-	-	0.006
20600-20649-Charter Schools Stimulus	6.098	0.001	1.733	-	4.366
20650-20699-Not-For-Profit Short Term Revolving Loan	-	-	-	-	-
20800-20849-HCRA Resources	490.512	457.364	761.143	(0.601)	186.132
20850-20899-Dedicated Mass Transportation Trust	85.183	48.927	60.339	-	73.771
20900-20949-State Lottery	251.059	201.735	2.446	-	450.348
20950-20999-Combined Student Loan	24.519	2.387	0.526	-	26.380
21000-21049-Sewage Treatment Program Mgmt. & Administration	(3.542)	-	0.064	-	(3.606)
21050-21149-Encon Special Revenue	(5.356)	6.503	7.113	-	(5.966)
21150-21199-Conservation	83.770	3.178	2.576	-	84.372
21200-21249-Environmental Protection and Oil Spill Compensation	24.274	2.399	1.536	(5.074)	20.063
21250-21299-Training and Education Program on OSHA	11.916	0.001	3.321	-	8.596
21300-21349-Lawyers' Fund for Client Protection	10.497	0.017	2.232	-	8.282
21350-21399-Equipment Loan for the Disabled	0.545	0.003	0.003	-	0.545
21400-21449-Mass Transportation Operating Assistance	901.791	220.614	641.890	(0.097)	480.418
21450-21499-Clean Air	(36.254)	3.911	3.465	-	(35.808)
21500-21549-New York State Infrastructure Trust	0.071	-	-	-	0.071
21550-21599-Legislative Computer Services	12.343	0.018	0.064	-	12.297
21600-21649-Biodiversity Stewardship and Research	-	-	-	-	-
21650-21699-Combined Non-Expendable Trust	0.469	-	-	-	0.469
21700-21749-Winter Sports Education Trust	-	-	-	-	-
21750-21799-Musical Instrument Revolving	-	-	-	-	-
21850-21899-Arts Capital Grants	-	-	-	-	-
21900-22499-Miscellaneous State Special Revenue	0.987	-	-	-	0.987
22500-22549-Court Facilities Incentive Aid	1,510.607	241.458	250.730	34.055	1,535.390
	58.552	0.008	8.194	-	50.366

## SCHEDULE 1

STATE OF NEW YORK  
GOVERNMENTAL FUNDS  
SUMMARY OF CASH RECEIPTS, DISBURSEMENTS AND  
CHANGES IN FUND BALANCES  
FISCAL YEAR 2020-2021  
FOR THE MONTH OF JULY 2020  
(amounts in millions)

	BALANCE JULY 1, 2020	RECEIPTS	DISBURSEMENTS	OTHER FINANCING SOURCES (USES)	BALANCE JULY 31, 2020
<b>SPECIAL REVENUE FUNDS-STATE (CONTINUED)</b>					
22550-22599-Employment Training	0.053	-	-	-	0.053
22650-22699-State University Income	1,966.590	316.998	452.095	-	1,931.665
22700-22749-Chemical Dependence Service	9.747	0.990	0.059	100.172	10.678
22750-22799-Lake George Park Trust	(0.144)	-	0.103	-	(0.247)
22800-22849-State Police Motor Vehicle Law Enforcement and Motor Vehicle Theft and Insurance Fraud Prevention	41.385	14.739	0.009	-	56.115
22850-22899-New York Great Lakes Protection	0.520	-	0.012	-	0.508
22900-22949-Federal Revenue Maximization	0.024	-	-	-	0.024
22950-22999-Housing Development	10.641	0.541	0.540	-	10.642
23000-23049-NYS/DOT Highway Safety Program	(15.199)	0.169	0.336	-	(15.366)
23050-23099-Vocational Rehabilitation	0.059	-	-	-	0.059
23100-23149-Drinking Water Program Management and Administration	(5.351)	-	-	-	(5.351)
23150-23199-NYC County Clerks' Operations Offset	(35.976)	-	2.528	-	(38.504)
23200-23249-Judiciary Data Processing Offset	51.694	0.033	2.898	-	48.829
23250-23449-IFRC/UTRA	197.805	1.158	10.350	-	188.613
23500-23549-USOC Lake Placid Training	0.319	0.009	-	-	0.328
23550-23599-Indigent Legal Services	468.717	3.273	1.392	-	470.598
23600-23649-Unemployment Insurance Interest and Penalty	32.038	0.401	0.102	-	32.337
23650-23699-MTA Financial Assistance Fund	299.097	0.017	-	12.500	311.614
23700-23749-New York State Commercial Gaming Fund	6.964	-	1.047	-	5.917
23750-23799-Medical Marijuana Trust Fund	12.250	0.615	0.195	-	12.670
23800-23899-Dedicated Miscellaneous State Special Revenue	3.408	0.223	0.031	-	3.600
24850-24899-Health Care Transformation	316.300	0.038	-	-	316.338
24900-24949-Charitable Gifts Trust Fund	95.862	0.011	-	-	95.873
24950-24999-Interactive Fantasy Sports	19.829	0.135	-	-	19.964
40350-40399-State University Dormitory Income	145.407	5.833	-	(23.073)	128.167
<b>TOTAL SPECIAL REVENUE FUNDS-STATE</b>	<b>7,246.448</b>	<b>1,536.803</b>	<b>2,221.211</b>	<b>117.882</b>	<b>6,679.922</b>
<b>SPECIAL REVENUE FUNDS-FEDERAL</b>					
25000-25099-Federal USDA/Food and Consumer Services	(44.452)	254.877	206.373	-	4.052
25100-25199-Federal Health and Human Services	2,403.073	4,684.627	3,987.934	(243.834)	2,855.932
25200-25249-Federal Education	(22.874)	186.549	183.624	(4.953)	(24.902)
25300-25899-Federal Miscellaneous Operating Grants	4,751.614	58.793	1,216.854	(0.121)	3,593.432
25900-25949-Unemployment Insurance Administration	144.624	32.053	39.275	-	137.407
25950-25999-Unemployment Insurance Occupational Training	(0.502)	0.191	0.106	-	(0.417)
26000-26049-Federal Employment and Training Grants	(5.216)	11.539	19.048	-	(12.725)
<b>TOTAL SPECIAL REVENUE FUNDS-FEDERAL</b>	<b>7,226.267</b>	<b>5,228.629</b>	<b>5,653.214</b>	<b>(248.908)</b>	<b>6,552.774</b>
<b>TOTAL SPECIAL REVENUE FUNDS</b>	<b>14,472.715</b>	<b>6,765.432</b>	<b>7,874.425</b>	<b>(131.026)</b>	<b>13,232.696</b>
<b>DEBT SERVICE FUNDS</b>					
40000-40049-Debt Reduction Reserve	-	-	-	-	-
40100-40149-Mental Health Services	139.378	39.710	-	89.047	268.135
40150-40199-General Debt Service	328.860	5,380.320	16.425	(4,383.651)	1,309.104
40250-40299-State Housing Debt Service	-	0.084	-	(0.084)	-
40300-40349-Department of Health Income	26.975	6.114	-	(9.844)	23.245
40400-40449-Clean Water/Clean Air	-	53.035	-	(50.483)	2.552
40450-40499-Local Government Assistance Tax	-	264.851	-	(264.851)	-
<b>TOTAL DEBT SERVICE FUNDS</b>	<b>495.213</b>	<b>5,744.114</b>	<b>16.425</b>	<b>(4,619.866)</b>	<b>1,603.036</b>



## SCHEDULE 1

STATE OF NEW YORK  
GOVERNMENTAL FUNDS  
SUMMARY OF CASH RECEIPTS, DISBURSEMENTS AND  
CHANGES IN FUND BALANCES  
FISCAL YEAR 2020-2021  
FOR THE MONTH OF JULY 2020  
(amounts in millions)

	BALANCE JULY 1, 2020	RECEIPTS	DISBURSEMENTS	OTHER FINANCING SOURCES (USES)	BALANCE JULY 31, 2020
<b>CAPITAL PROJECTS FUNDS</b>					
30000-30049-State Capital Projects	-	19,972	326,079	306,107	-
30050-30099-Dedicated Highway and Bridge Trust	(92,178)	166,647	192,089	(12,603)	(130,223)
30100-30299-SUNY Residence Halls Rehabilitation and Repair	132,389	0,048	6,403	6,000	132,034
30300-30349-New York State Canal System Development	14,090	0,002	-	-	14,092
30350-30399-Parks Infrastructure	(89,467)	51,887	26,557	-	(64,137)
30400-30449-Passenger Facility Charge	0,015	-	-	-	0,015
30450-30499-Environmental Protection	82,045	47,252	23,214	-	106,083
30500-30549-Clean Water/Clean Air Implementation	-	-	-	-	-
30600-30609-Energy Conservation Thru Improved Transportation Bond	0,164	-	-	-	0,164
30610-30619-Park and Recreation Land Acquisition Bond	-	-	-	-	-
30620-30629-Pure Waters Bond	0,668	-	-	-	0,668
30630-30639-Transportation Capital Facilities Bond	3,328	-	-	-	3,328
30640-30649-Environmental Quality Protection Bond	1,419	-	-	-	1,419
30650-30659-Rebuild and Renew New York Transportation Bond	17,219	-	-	-	17,219
30660-30669-Transportation Infrastructure Renewal Bond	4,255	-	-	-	4,255
30670-30679-1986 Environmental Quality Bond Act	5,551	-	-	-	5,551
30680-30689-Accelerated Capacity and Transportation Improvement Bond	2,778	-	-	-	2,778
30690-30699-Clean Water/Clean Air Bond	1,428	-	-	-	1,428
30700-30709-State Housing Bond	-	-	-	-	-
30710-30719-Smart Schools Bond	-	-	-	-	-
30750-30799-Outdoor Recreation Development Bond	-	-	-	-	-
30900-30949-Rail Preservation and Development Bond	-	-	-	-	-
31350-31449-Federal Capital Projects	(578,651)	209,075	171,622	-	(541,198)
31450-31499-Forest Preserve Expansion	1,081	0,001	-	-	1,082
31500-31549-Hazardous Waste Remedial	(85,567)	38,206	8,779	(0,810)	(56,950)
31650-31699-Suburban Transportation	0,539	-	-	-	0,539
31700-31749-Division for Youth Facilities Improvement	(15,626)	3,664	1,206	-	(13,168)
31800-31849-Housing Assistance	(12,942)	-	-	-	(12,942)
31850-31899-Housing Program	(243,831)	53,850	71,225	-	(261,206)
31900-31949-Natural Resource Damage	17,017	0,002	0,058	-	16,961
31950-31999-DOT Engineering Services	(11,969)	-	-	-	(11,969)
32200-32249-Miscellaneous Capital Projects	109,586	0,401	6,930	4,488	107,545
32250-32299-CUNY Capital Projects	0,024	0,007	-	-	0,031
32300-32349-Mental Hygiene Facilities Capital Improvement	(361,865)	3,693	11,975	-	(370,147)
32350-32399-Correction Facilities Capital Improvement	(227,694)	88,192	19,771	-	(159,273)
32400-32999-State University Capital Projects	164,139	0,011	3,147	2,200	163,203
33000-33049-NYS Storm Recovery Fund	(53,516)	-	0,222	-	(53,738)
33050-33099-Dedicated Infrastructure Investment Fund	7,636	-	45,814	204,000	165,822
<b>TOTAL CAPITAL PROJECTS FUNDS</b>	<b>(1,207,935)</b>	<b>682,910</b>	<b>915,091</b>	<b>509,382</b>	<b>(930,734)</b>
<b>TOTAL GOVERNMENTAL FUNDS</b>	<b>\$ 20,623,559</b>	<b>\$ 19,884,227</b>	<b>\$ 12,213,806</b>	<b>\$ (5,969)</b>	<b>\$ 28,288,011</b>

## SCHEDULE 2

STATE OF NEW YORK  
 PROPRIETARY FUNDS  
 SUMMARY OF CASH RECEIPTS, DISBURSEMENTS AND  
 CHANGES IN FUND BALANCES  
 FISCAL YEAR 2020-2021  
 FOR THE MONTH OF JULY 2020  
 (amounts in millions)

FUND TYPE	BALANCE JULY 1, 2020	RECEIPTS	DISBURSEMENTS	OTHER FINANCING SOURCES (USES)	BALANCE JULY 31, 2020
<b>ENTERPRISE FUNDS</b>					
50000-50049-Youth Commissary	\$ 0.101	\$ 0.011	\$ 0.002	\$ -	\$ 0.110
50050-50099-State Exposition Special	3.456	0.013	0.368	-	3.101
50100-50299-Correctional Services Commissary	3.674	3.812	4.102	-	3.384
50300-50399-Agencies Enterprise	6.464	3.061	1.671	-	7.854
50400-50449-Sheltered Workshop	2.243	-	0.005	-	2.238
50450-50499-Patient Workshop	1.884	-	-	-	1.884
50500-50599-Mental Hygiene Community Stores	4.961	0.067	0.068	-	4.960
50650-50699-Unemployment Insurance	12.501	10,930.404	10,926.064	-	16.841
<b>TOTAL ENTERPRISE FUNDS</b>	<b>35.284</b>	<b>10,937.368</b>	<b>10,932.280</b>	<b>-</b>	<b>40.372</b>
<b>INTERNAL SERVICE FUNDS</b>					
55000-55049-Centralized Services	(87.743)	14.768	30.291	0.046	(103.220)
55050-55099-Agency Internal Service	(139.488)	3.160	5.950	2.051	(140.227)
55100-55149-Mental Hygiene Revolving	(0.011)	0.040	0.054	-	(0.025)
55150-55199-Youth Vocational Education	0.076	-	-	-	0.076
55200-55249-Joint Labor and Management Administration	0.338	-	0.082	-	0.256
55250-55299-Audit and Control Revolving	(46.937)	-	4.291	(0.007)	(51.235)
55300-55349-Health Insurance Revolving	(6.436)	0.025	0.866	(0.374)	(7.651)
55350-55399-Correctional Industries Revolving	(35.033)	1.788	6.988	-	(40.233)
<b>TOTAL INTERNAL SERVICE FUNDS</b>	<b>(315.234)</b>	<b>19.781</b>	<b>48.522</b>	<b>1.716</b>	<b>(342.259)</b>
<b>TOTAL PROPRIETARY FUNDS</b>	<b>\$ (279.950)</b>	<b>\$ 10,957.149</b>	<b>\$ 10,980.802</b>	<b>\$ 1.716</b>	<b>\$ (301.887)</b>

STATE OF NEW YORK						SCHEDULE 3	
FIDUCIARY FUNDS							
SUMMARY OF CASH RECEIPTS, DISBURSEMENTS AND CHANGES IN FUND BALANCES							
FISCAL YEAR 2020-2021							
FOR THE MONTH OF JULY 2020							
(amounts in millions)							
FUND TYPE							
BALANCE						BALANCE	
JULY 1, 2020						JULY 31, 2020	
RECEIPTS						OTHER FINANCING SOURCES (USES)	
DISBURSEMENTS							
TOTAL PENSION TRUST FUNDS							
65000-65049-Common Retirement Administration	\$	(15.345)	\$	23.488	\$	9.901	\$ (1.758)
<b>TOTAL PENSION TRUST FUNDS</b>		<b>(15.345)</b>		<b>23.488</b>		<b>9.901</b>	<b>(1.758)</b>
PRIVATE PURPOSE TRUST FUNDS							
66000-66049-Agriculture Producers' Security		3.041		0.049		0.017	3.073
66050-66099-Milk Producers' Security		11.515		0.105		0.019	11.601
<b>TOTAL PRIVATE PURPOSE TRUST FUNDS</b>		<b>14.556</b>		<b>0.154</b>		<b>0.036</b>	<b>14.674</b>
AGENCY FUNDS							
60050-60149-School Capital Facilities Financing Reserve		17.525		0.287		-	17.812
60150-60199-Child Performer's Holding		0.539		-		0.001	0.538
60200-60249-Employees Health Insurance		955.363		944.655		897.277	1,002.741
60250-60299-Social Security Contribution		15.103		114.942		115.025	15.020
60300-60399-Employee Payroll Withholding		14.665		420.292		396.510	38.447
60400-60449-Employees Dental Insurance		20.914		5.991		5.829	21.076
60450-60499-Management Confidential Group Insurance		0.579		0.731		0.714	0.596
60500-60549-Lottery Prize		556.212		97.138		74.271	579.079
60550-60599-Health Insurance Reserve Receipts		0.146		-		-	0.146
60600-60799-Miscellaneous New York State Agency		897.951		438.693		450.255	886.389
60800-60849-Elderly Pharmaceutical Insurance Coverage (EPIC) Escrow		27.064		6.813		5.295	28.582
60850-60899-CUNY Senior College Operating		56.901		245.313		237.612	64.602
60900-60949-Medicaid Management Information System (MMIS) Escrow		859.739		6,636.876		7,458.085	42.783
60950-60999-Special Education		-		-		-	-
61000-61099-State University of New York Revenue Collector		111.858		(8.675)		-	103.183
61100-61999-State University Federal Direct Lending Program		(0.704)		29.293		-	(0.856)
62000-62049-SSI SSP Payment Escrow		-		-		-	-
<b>TOTAL AGENCY FUNDS</b>		<b>3,533.855</b>		<b>8,932.349</b>		<b>4,253</b>	<b>2,800.138</b>
<b>TOTAL FIDUCIARY FUNDS</b>		<b>3,533.066</b>		<b>8,955.991</b>		<b>4,253</b>	<b>2,813.054</b>

## SCHEDULE 4

STATE OF NEW YORK  
 SOLE CUSTODY AND INVESTMENT ACCOUNTS  
 STATEMENT OF CASH RECEIPTS AND DISBURSEMENTS  
 FISCAL YEAR 2020-2021  
 FOR THE MONTH OF JULY 2020  
 (amounts in millions)

ACCOUNTS	FUND TYPE	BALANCE			DISBURSEMENTS			BALANCE		
		JULY 1, 2020			RECEIPTS			JULY 31, 2020		
70000-70049-Tobacco Settlement		\$	2.886		\$	0.001		\$		2.887
70093, 70095, 70300-70301-MTA State Assistance			124.927			186.618				191.706
70050-70149-Sole Custody Investment (*)			1,970.494			2,726.062				2,359.131
70200-Comptroller's Refund Account			-			158.705				-
<b>TOTAL ACCOUNTS</b>		<b>\$</b>	<b>2,098.307</b>		<b>\$</b>	<b>3,071.386</b>		<b>\$</b>	<b>2,615.969</b>	<b>2,553.724</b>

## (\*) Includes Public Asset Fund resources:

Chapter 1 of the Laws of 2002 authorized the conversion of Empire Health Choice, d/b/a Empire Blue Cross and Blue Shield from a not-for-profit corporation to a for-profit corporation. Chapter 1 requires, in part, that upon such conversion, assets representing 95 percent of the fair market value of the not-for-profit corporation be transferred to a fund designated as the "Public Asset Fund" and 5 percent transferred to a Charitable Foundation - as set forth in Section 7317 of the Insurance Law. On December 28, 2005, WellChoice, Inc. (previously known as Empire Blue Cross, Blue Shield) approved a takeover by WellPoint, Inc. This conversion was also subject to the same Chapter 1 requirements of assigning assets representing 95 percent of the fair market value of the not-for-profit corporation be transferred to the "Public Asset Fund".

As of July 31, 2020, \$9,537,626.62 (representing the remaining balance of the State's 95 percent share of the fair market value of the not-for-profit corporation plus interest) is on deposit in the sole custody account titled Public Asset Fund. In accordance with Section 4301(j)(4)(F) and (O) of the Insurance Law and at the direction of the Director of the Budget, these funds are available for transfer to HCRA Resources Fund (20800-20849).

SCHEDULE 5

STATE OF NEW YORK  
DEBT SERVICE FUNDS  
STATEMENT OF DIRECT STATE DEBT ACTIVITY  
FISCAL YEAR 2020-2021

PURPOSE	DEBT OUTSTANDING APRIL 1, 2020	DEBT ISSUED		DEBT MATURED		DEBT OUTSTANDING JULY 31, 2020	INTEREST DISBURSED	
		MONTH OF JULY	4 MONTHS ENDED JULY 31, 2020	MONTH OF JULY	4 MONTHS ENDED JULY 31, 2020		MONTH OF JULY	4 MONTHS ENDED JULY 31, 2020
GENERAL OBLIGATION BONDED DEBT:								
Accelerated Capacity and Transportation Improvements	\$ 11,445,463	\$ -	\$ -	\$ -	\$ 720,219	\$ 10,725,244	\$ -	\$ 101,841
Clean Water/Clean Air:								
Air Quality	1,795,354	-	-	-	-	1,795,354	-	963
Safe Drinking Water	-	-	-	-	-	-	-	-
Clean Water	298,595,491	-	-	-	10,184,660	288,410,831	-	1,531,475
Solid Waste	16,287,590	-	-	-	1,659,267	14,628,323	-	65,086
Environmental Restoration	40,070,447	-	-	-	160,000	39,910,447	-	195,920
Energy Conservation Through Improved Transportation:								
Rapid Transit and Rail Freight	1,198,754	-	-	-	116,298	1,082,466	-	25,458
Environmental Quality (1972):								
Air	3,184	-	-	-	-	3,184	-	-
Land and Wetlands	4,939,861	-	-	-	25,000	4,914,861	-	3,579
Water	6,370,803	-	-	-	715,000	5,655,803	-	60,750
Environmental Quality (1986):								
Land Acquisition/Development/Restoration/Forests	5,309,545	-	-	-	486,025	4,823,520	-	24,945
Solid Waste Management	91,992,747	-	-	-	7,260,923	84,731,824	-	969,128
Housing:								
Low Income	5,840,000	-	-	-	-	5,840,000	-	-
Middle Income	4,035,000	-	-	-	-	4,035,000	-	-
Park and Recreation Land Acquisition	-	-	-	-	-	-	-	-
Pure Waters	15,498,329	-	-	-	946,959	14,551,370	-	164,013
Rail Preservation Development	-	-	-	-	-	-	-	-
Rebuild and Renew New York Transportation:								
Highway Facilities	600,658,226	-	-	-	-	600,658,226	-	1,276,567
Canals and Waterways	9,419,680	-	-	-	-	9,419,680	-	25,992
Aviation	41,089,448	-	-	-	-	41,089,448	-	-
Rail and Port	92,824,245	-	-	-	-	92,824,245	-	-
Mass Transit - Dept. of Transportation	12,168,734	-	-	-	-	12,168,734	-	-
Mass Transit - Metropolitan Transportation Authority	705,163,311	-	-	-	-	705,163,311	-	2,887,954
Rebuild New York Transportation Infrastructure Renewal:								
Highways, Parkways, and Bridges	553,992	-	-	-	-	553,992	-	-
Rapid Transit, Rail and Aviation	2,042,563	-	-	-	479,171	1,563,392	-	50,222
Smart Schools Bond Act	161,307,133	-	-	-	-	161,307,133	-	-
Transportation Capital Facilities:								
Aviation	2,090,099	-	-	-	246,478	1,843,621	-	46,824
Mass Transportation	-	-	-	-	-	-	-	-
Total General Obligation Bonded Debt	\$ 2,130,699,999	\$ -	\$ -	\$ -	\$ 23,000,000	\$ 2,107,699,999	\$ -	\$ 7,430,716

## SCHEDULE 5a

STATE OF NEW YORK  
DEBT SERVICE FUNDS  
FINANCING AGREEMENTS  
FOR THE FOUR MONTHS ENDED JULY 31, 2020

Special Contractual Financing Obligations:	DEBT REDUCTION RESERVE (40000-40049)	GENERAL DEBT SERVICE (40151)	DEPARTMENT OF HEALTH INCOME (40300-40349)	LOCAL GOVERNMENT ASSISTANCE TAX (40450-40499)	MENTAL HEALTH SERVICES (40100-40149)	REVENUE BOND TAX (40152)	SALES TAX REVENUE BOND TAX (40154)	COMBINED TOTALS 4 MONTHS ENDED JULY 31	\$ INCREASE/ (DECREASE)
								2020	2019
Payments to Public Authorities:	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 54,720,301
City University Construction	-	-	-	-	-	-	-	-	-
Domitory Authority:	-	-	-	-	-	-	-	-	-
Consolidated Service Contract Refunding	-	-	-	-	-	-	-	-	54,430,525
DASNY Revenue Bond	-	-	-	-	-	-	-	-	(54,430,525)
Department of Health Facilities	-	-	-	-	-	-	-	-	109,355,813
Mental Health Facilities	-	-	12,802,026	-	-	-	-	12,802,026	(278,850)
Secured Hospital Program	-	-	-	-	1,723,959	-	-	1,723,959	93,115
SUNY Community Colleges	-	-	-	-	-	-	-	-	-
SUNY Educational Facilities	-	8,347,200	-	-	-	-	-	8,347,200	2,418,500
Environmental Facilities Corporation	-	-	-	-	-	-	-	-	-
Housing Finance Agency	-	-	-	-	-	430,631	-	430,631	(409,228)
Local Government Assistance Corporation	-	-	-	-	-	-	-	-	-
Metropolitan Transportation Authority	-	-	-	-	-	-	-	-	8,402,319
Transit and Commuter Rail Projects	-	-	-	-	-	-	-	-	-
Thruway Authority:	-	-	-	-	-	-	-	-	-
Dedicated Highway and Bridge	-	42,818,542	-	-	-	-	-	42,818,542	(137,461,390)
Local Highway and Bridge	-	-	-	-	-	-	-	-	-
Transportation	-	-	-	-	-	-	-	-	-
Urban Development Corporation:	-	-	-	-	-	-	-	-	-
Clarkson University	-	-	-	-	-	-	-	-	26,675
Columbia Univer. Telecommunications Center	-	-	-	-	-	-	-	-	-
Consolidated Service Contract Refunding	-	3,068,008	-	-	-	-	-	3,068,008	173,554
Cornell Univer. Supercomputer Center	-	-	-	-	-	-	-	-	-
Correctional Facilities	-	-	-	-	-	-	-	-	-
Debt Reduction Reserve	-	-	-	-	-	-	-	-	-
UDC Revenue Bond	-	-	-	-	-	-	-	-	5,105,575
University Facilities Grant 95 Refunding	-	11,603	-	-	-	-	-	11,603	60,072
Total Disbursements for Special Contractual Financing Obligations	\$ -	\$ 54,245,353	\$ 12,802,026	\$ -	\$ 1,723,959	\$ 430,631	\$ -	\$ 69,201,969	\$ 436,755,945
									\$ (367,553,976)

## SCHEDULE 6

STATE OF NEW YORK  
SUMMARY OF THE OPERATING FUND INVESTMENTS  
FOR THE MONTH OF JULY 2020  
AS REQUIRED OF THE STATE COMPTROLLER  
(amounts in millions)

	MONTH OF JULY 2020	FISCAL YEAR TO DATE	PRIOR FISCAL YEAR TO DATE
<b><u>SHORT TERM INVESTMENT POOL (*)</u></b>			
AVERAGE DAILY INVESTMENT BALANCE (**)	\$ 29,095.5	\$ 25,223.3	\$ 19,670.6
AVERAGE YIELD (**)	0.150%	0.348%	2.455%
TOTAL INVESTMENT EARNINGS	\$ 3,938	\$ 29,553	\$ 157,583

**Month-End Portfolio Balances**

DESCRIPTION	JULY 2020	JULY 2019
	PAR AMOUNT	PAR AMOUNT
GOVT. AGENCY BILLS/NOTES	\$ 16,002.4	\$ 1,491.3
REPURCHASE AGREEMENTS	21.2	29.0
GOVT. SPONSORED AGENCIES	715.5	-
COMMERCIAL PAPER	13,793.7	15,274.3
CERTIFICATES OF DEPOSIT/SAVINGS	2,913.3	3,005.1
0% COMPENSATING BALANCE CDs	48.0	3.0
	<b>\$ 33,494.1</b>	<b>\$ 19,802.7</b>

(\*) Pursuant to §98 of the State Finance Law, the State Comptroller is authorized to invest and keep invested all moneys, in any fund, held by the State. The Short Term Investment Pool (STIP) represents an accounting mechanism that allows for the separate accounting of individual funds (on deposit in the State's General Checking account) for the purpose of making short term investments. Pursuant to State Finance Law §4(5) the STIP is authorized to temporarily loan to the General Fund-State Operations Account (10050) funds for a period not to exceed the end of the fiscal year. However, it must be noted that certain funds are invested as part of STIP, but are held by the State Comptroller in a fiduciary capacity. Fiduciary fund balances are restricted and may not be used for any State purposes since moneys in such funds are held by the State in a trustee (or fiduciary) capacity or as an agent for individuals, private organizations, or non-State governmental units (e.g. local governments and public authorities). Therefore, Fiduciary fund balances are not available to be temporarily loaned to the General Fund-State Operations Account. Fiduciary fund balances are presented in Schedules 3 and 4 of this report.

(\*\*) Does not include 0% Compensating Balance CDs.

STATE OF NEW YORK  
HCRA RESOURCES FUND  
STATEMENT OF RECEIPTS AND DISBURSEMENTS BY ACCOUNT  
FISCAL YEAR 2020-2021

APPENDIX A

	2020 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2021 JANUARY	FEBRUARY	MARCH	4 Months Ended July 31, 2021
<b>OPENING CASH BALANCE</b>	\$ 15,704,540	\$ 95,764,658	\$ 80,082,746	\$ 490,512,199									\$ 15,704,540
<b>RECEIPTS:</b>													
Cigarette Tax	68,786,104	51,352,065	60,187,824	68,786,987									249,124,890
State Share of NYC Cigarette Tax	2,160,000	1,133,000	1,345,000	1,945,000									6,584,000
Excise Tax	1,509,162	534,982	534,982	534,982									3,114,106
STIP	382,848	258,771	58,113	43,856									745,586
Public Asset Transfers	-	-	-	-									-
Assessments	478,443,458	390,720,867	437,012,587	376,078,217									1,682,255,129
Fees	327,000	46,000	561,000	930,000									1,864,000
Rebates	12,000	4,545,140	5,975,618	9,916,208									20,448,866
Restitution and Settlements	-	-	-	-									-
Miscellaneous	-	-	297,248	-									297,248
<b>Total Receipts</b>	<b>550,137,287</b>	<b>448,125,819</b>	<b>517,189,313</b>	<b>457,364,004</b>									<b>1,972,369,225</b>
<b>DISBURSEMENTS:</b>													
Grants	466,021,724	462,778,011	97,733,246	756,144,082									1,782,677,053
Interest - Late Payments	36	22	26,224	11,452									37,734
Personal Service	1,509,162	534,982	1,290,941	270,686									3,605,781
Non-Personal Service	95,956	(291,867)	5,284,099	4,077,923									9,126,821
Employee Benefits/Indirect Costs	612,447	298,051	3,164,130	535,546									2,714,174
<b>Total Disbursements</b>	<b>468,199,325</b>	<b>463,320,209</b>	<b>105,495,196</b>	<b>761,142,869</b>									<b>1,798,191,575</b>
<b>OPERATING TRANSFERS:</b>													
Transfers to Capital Projects Fund	-	-	-	-									-
Transfers to General Fund	-	-	297,248	-									297,248
Transfers to Revenue Bond Tax Fund	-	-	-	-									-
Transfers to Miscellaneous Special Revenue Fund:													
Administration Program Account	989,254	-	-	-									989,254
Empire State Stem Cell Trust Account	888,590	487,522	953,864	601,328									2,881,104
Transfers to Capital Projects Fund	1,877,844	487,522	1,180,512	601,328									4,147,606
<b>Total Operating Transfers</b>	<b>4,707,716</b>	<b>463,807,731</b>	<b>106,650,862</b>	<b>761,744,017</b>									<b>1,802,308,979</b>
<b>Total Disbursements and Transfers</b>	<b>470,077,169</b>	<b>463,807,731</b>	<b>106,650,862</b>	<b>761,744,017</b>									<b>1,802,308,979</b>
<b>CLOSING CASH BALANCE</b>	<b>\$ 95,764,658</b>	<b>\$ 80,082,746</b>	<b>\$ 490,512,199</b>	<b>\$ 186,132,186</b>									<b>\$ 186,132,186</b>



## APPENDIX B

STATE OF NEW YORK  
HCRA RESOURCES FUND  
STATEMENT OF PROGRAM DISBURSEMENTS  
FISCAL YEAR 2020-21

Program/Purpose	Appropriation Amount (**)	July	4 Months Ended July 31, 2020 (**)
<b>CENTER FOR COMMUNITY HEALTH PROGRAM</b>	<b>8,752,000.00</b>	<b>110,549.89</b>	<b>901,137.90</b>
CENTER FOR COMMUNITY HLTH	8,752,000.00	110,549.89	901,137.90
<b>CHILD HEALTH INSURANCE PROGRAM</b>	<b>2,134,768,000.00</b>	<b>33,310,013.88</b>	<b>137,128,078.41</b>
CHILD HEALTH INSURANCE	2,134,768,000.00	33,310,013.88	137,128,078.41
<b>COMMUNITY SUPPORT PROGRAM</b>	<b>120,000.00</b>	<b>-</b>	<b>-</b>
COMMUNITY SUPPORT	120,000.00	-	-
<b>ELDERLY PHARMACEUTICAL INS COVERAGE PRG</b>	<b>384,850,000.00</b>	<b>8,764,787.07</b>	<b>28,674,620.20</b>
ELDERLY PHARMACEUTICAL INSURANCE COVERAGE	384,850,000.00	8,764,787.07	28,674,620.20
<b>HEALTH CARE REFORM ACT PROGRAM</b>	<b>1,490,325,059.03</b>	<b>9,706,802.81</b>	<b>10,039,801.60</b>
AIDS DRUG ASSISTANCE	123,150,000.00	-	-
AMBULATORY CARE TRAINING	3,600,000.00	240,971.71	306,160.94
AREA HEALTH EDUCATION CENTER	3,324,000.00	440,351.59	440,351.59
COMMISSIONER EMERGENCY DISTRIBUTIONS	24,700,000.00	-	(0.01)
DIAGNOSTIC AND TREATMENT CTR UNCOMPENSATED CARE	163,200,000.00	-	-
DIVERSITY IN MEDICINE	4,732,000.00	-	-
EMPIRE CLINIC RESEARCH INVESTMENT (ECRIP)	10,335,000.00	-	-
HCRA PAYOR / PROVIDER AUDITS	9,440,000.00	205,092.25	411,213.15
HEALTH FACILITY RESTRUCTURING DASNY	39,200,000.00	-	-
HEALTH WORKFORCE RETRAINING	18,320,000.00	1,211,235.64	1,211,235.64
INFERTILITY SERVICES GRANTS	5,733,000.00	-	-
MEDICAL INDEMNITY FUND	52,000,000.00	-	-
PART 405.4 HOSPITAL AUDITS NYCRR	2,200,000.00	-	-
PHYSICIAN EXCESS MEDICAL MALPRACTICE	359,900,000.00	-	-
PHYSICIAN LOAN REPAYMENT	27,195,000.00	16,351.62	16,351.62
PHYSICIAN WORKFORCE STUDIES	974,000.00	-	-
POISON CONTROL CENTERS	6,320,000.00	-	-
POOL ADMINISTRATION	5,300,000.00	-	-
ROSWELL PARK CANCER INSTITUTE	89,266,000.00	7,592,600.00	7,592,600.00
ROSWELL PARK COMPREHENSIVE CANCER CENTER	50,000.00	-	-
RURAL HEALTH CARE ACCESS	17,050,000.00	-	-
RURAL HEALTH CARE ACCESS & NETWORK DEVELOPMENT	9,410,000.00	-	-
RURAL HEALTH CARE GRANTS	1,100,000.00	-	-
RURAL HEALTH NETWORK	11,610,000.00	-	61,888.67
SCHOOL BASED HEALTH CENTERS	4,230,000.00	-	-
SCHOOL BASED HEALTH CLINICS-POOL ADMIN	8,460,000.00	-	-
TRANSITION ACCT - PRIOR YEAR ALLOCATION	489,526,059.03	-	-
<b>MEDICAL ASSISTANCE PROGRAM</b>	<b>28,631,301,000.00</b>	<b>706,400,000.00</b>	<b>1,613,208,703.01</b>
HOME HEALTH RATE INCREASE	300,000,000.00	-	-
MEDICAID INDIGENT CARE	4,999,000,000.00	31,400,000.00	188,208,703.01
MEDICAL ASSISTANCE	22,349,101,000.00	675,000,000.00	1,425,000,000.00
PSNL CRE WRKR RECR & RETEN NYC (***)	916,000,000.00	-	-
PSNL CRE WRKR RECR & RETEN ROS (****)	67,200,000.00	-	-
<b>NEW YORK STATE OF HEALTH</b>	<b>102,431,000.00</b>	<b>3,386,816.85</b>	<b>7,346,008.44</b>
NEW YORK STATE OF HEALTH ADMINISTRATION	102,431,000.00	3,386,816.85	7,346,008.44
<b>OFFICE OF HEALTH INSURANCE PROGRAM</b>	<b>1,834,000.00</b>	<b>-</b>	<b>-</b>
OFFICE OF HEALTH INSURANCE	1,834,000.00	-	-
<b>OFFICE OF HEALTH SYSTEMS MANAGEMENT</b>	<b>68,317,000.00</b>	<b>19,310.55</b>	<b>2,121,230.23</b>
OFFICE HEALTH SYSTEMS MANAGEMENT	68,317,000.00	19,310.55	2,121,230.23
<b>OFFICE OF LONG TERM CARE</b>	<b>2,477,800.00</b>	<b>-</b>	<b>-</b>
ADULT HOME INITIATIVE	2,477,800.00	-	-
<b>REVENUE, PROCESSING &amp; RECONCILIATION</b>	<b>8,190,000.00</b>	<b>-</b>	<b>603,383.74</b>
REVENUE, PROCESSING & RECONCILIATION	8,190,000.00	-	603,383.74
<b>TOTAL</b>	<b>32,833,365,859.03</b>	<b>761,698,081.05</b>	<b>1,801,022,963.53</b>
Reclass of SUNY Hospital Disprop Share to Transfer	-	(601,328.31)	(2,861,104.45)
Reclass of SUNY Hospital Disprop Share to Transfer	-	-	-
Reclass of SUNY Empire Clinical Research Investigator Program to Transfer	-	-	-
Reconciling Adjustment (P-Card and T-Card)	-	45,936.63	(486.00)
<b>TOTAL REPORTED AMOUNT</b>	<b>\$ 32,833,365,859.03</b>	<b>\$ 761,142,689.37</b>	<b>\$ 1,798,161,373.08</b>

(\*) Includes amounts appropriated in SFY 2020-21, as well as prior year appropriations that were reappropriated.

(\*\*) Disbursements from the HCRA Resources Fund includes direct grant payments to program beneficiaries, services and expenses for administration of grant programs, and transfers to the Public Goods Pool to finance payments made by the State's fiscal agent.

(\*\*\*): Full title is: NYC Personal Care Workforce Recruitment and Retention Rates Grants.

(\*\*\*\*): Full title is: Personal Care Workforce Recruitment and Retention Rates Grants.

## APPENDIX C

STATE OF NEW YORK  
STATEMENT OF CASH FLOW - PUBLIC GOODS POOL  
FISCAL YEAR 2020-21

	1st Quarter APRIL - JUNE	2020 JULY	2020-21
<b>OPENING CASH BALANCE</b>	<b>\$ 350,947,309.06</b>	<b>\$ 126,897,506.61</b>	<b>\$ 350,947,309.06</b>
<b>RECEIPTS:</b>			
Patient Services	722,415,689.44	321,259,755.18	1,043,675,444.62
Covered Lives	224,564,997.99	108,532,515.12	333,097,513.11
Provider Assessments	19,621,242.87	8,041,524.61	27,662,767.48
1% Assessments	103,739,180.00	36,128,456.00	139,867,636.00
DASNY - MOE/Recast receivables	-	-	-
Interest Income	13,893.95	5,991.85	19,885.80
Unassigned	(1,563,049.32)	13,497,032.37	11,933,983.05
<b>Total Receipts</b>	<b>1,068,791,954.93</b>	<b>487,465,275.13</b>	<b>1,556,257,230.06</b>
<b>PROGRAM DISBURSEMENTS:</b>			
Poison Control Centers	-	-	-
School Based Health Center Grants	-	-	-
ECRIP Distributions	-	-	-
<b>Total Program Disbursements</b>	<b>-</b>	<b>-</b>	<b>-</b>
<b>Excess (Deficiency) of Receipts over Disbursements</b>	<b>1,068,791,954.93</b>	<b>487,465,275.13</b>	<b>1,556,257,230.06</b>
<b>OTHER FINANCING SOURCES (USES):</b>			
<b>Transfers From Other Pools:</b>			
Medicaid Disproportionate Share	-	-	-
Health Facility Assessment Fund - Hospital Quality Contribution	13,334,232.00	4,464,850.00	17,799,082.00
<b>Transfers From State Funds:</b>			
HCRA Resources Fund	-	-	-
<b>Total Other Financing Sources</b>	<b>13,334,232.00</b>	<b>4,464,850.00</b>	<b>17,799,082.00</b>
<b>Transfers To Other Pools:</b>			
Medicaid Disproportionate Share	-	-	-
Health Facility Assessment Fund	-	-	-
<b>Transfers To State Funds:</b>			
HCRA Resources Fund	(1,306,175,989.38)	(376,078,048.48)	(1,682,254,037.86)
Indigent Care Fund - Matched	-	-	-
Indigent Care Fund - Unmatched	-	-	-
<b>Total Other Financing Uses</b>	<b>(1,306,175,989.38)</b>	<b>(376,078,048.48)</b>	<b>(1,682,254,037.86)</b>
<b>Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses</b>	<b>(224,049,802.45)</b>	<b>115,852,076.65</b>	<b>(108,197,725.80)</b>
<b>CLOSING CASH BALANCE</b>	<b>\$ 126,897,506.61</b>	<b>\$ 242,749,583.26</b>	<b>\$ 242,749,583.26</b>

Source: HCRA - Office of Pool Administration

## APPENDIX D

STATE OF NEW YORK  
STATEMENT OF CASH FLOW - MEDICAID DISPROPORTIONATE SHARE  
FISCAL YEAR 2020-21

	1st Quarter APRIL - JUNE	2020 JULY	2020-21
<b>OPENING CASH BALANCE</b>	\$ 668.63	\$ 169.31	\$ 668.63
<b>RECEIPTS:</b>			
Interest Income	422.98	-	422.98
<b>Total Receipts</b>	<b>422.98</b>	<b>-</b>	<b>422.98</b>
<b>PROGRAM DISBURSEMENTS:</b>			
Indigent Care	(188,629,665.12)	-	(188,629,665.12)
High Need Indigent Care	-	-	-
Other	506,867.55	-	506,867.55
<b>Total Program Disbursements</b>	<b>(188,122,797.57)</b>	<b>-</b>	<b>(188,122,797.57)</b>
<b>Excess (Deficiency) of Receipts over Disbursements</b>	<b>(188,122,374.59)</b>	<b>-</b>	<b>(188,122,374.59)</b>
<b>OTHER FINANCING SOURCES (USES):</b>			
<b>Transfers From Other Pools:</b>			
Public Goods Pool	-	-	-
Health Facility Assessment Fund	-	-	-
<b>Transfers From State Funds:</b>			
HCRA Resources Indigent Care - Matched	94,314,832.56	-	94,314,832.56
HCRA Resources Indigent Care - Unmatched	(506,129.55)	-	(506,129.55)
HCRA Resources Indigent Care - ATB	-	-	-
Federal DHHS Fund	94,314,832.56	-	94,314,832.56
Other	-	-	-
<b>Total Other Financing Sources</b>	<b>188,123,535.57</b>	<b>-</b>	<b>188,123,535.57</b>
<b>Transfers To Other Pools:</b>			
Public Goods Pool	-	-	-
Health Facility Assessment Fund	-	-	-
<b>Transfers To State Funds:</b>			
HCRA Resources Fund Indigent Care Acct	(1,660.30)	(169.31)	(1,829.61)
<b>Total Other Financing Uses</b>	<b>(1,660.30)</b>	<b>(169.31)</b>	<b>(1,829.61)</b>
<b>Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses</b>	<b>(499.32)</b>	<b>(169.31)</b>	<b>(668.63)</b>
<b>CLOSING CASH BALANCE</b>	<b>\$ 169.31</b>	<b>\$ -</b>	<b>\$ -</b>

Source: HCRA - Office of Pool Administration

STATE OF NEW YORK  
SUMMARY OF OFF-BUDGET SPENDING REPORT  
FISCAL YEAR 2020-2021  
(amounts in thousands)

APPENDIX E

	2020 APRIL	2020 MAY	2020 JUNE	2020 JULY	2020 AUGUST	2020 SEPTEMBER	2020 OCTOBER	2020 NOVEMBER	2020 DECEMBER	2020 JANUARY	2020 FEBRUARY	2020-2021 MARCH	2020-2021 TOTAL
<b>DORMITORY AUTHORITY:</b>													
Education - All Other	\$ -	\$ -	\$ -	\$ -	-	-	-	-	-	-	-	\$ -	-
Education - EXCEL	427	2,157	1,567	17	-	-	-	-	-	-	-	-	4,168
Department of Health - All Other	(1)	-	59	-	-	-	-	-	-	-	-	-	58
Community Enhancement Facilities Assistance Program (CEFAP)	-	-	-	-	-	-	-	-	-	-	-	-	-
Regional Development:													
Community Capital Assistance Program (CCAP)/RESTORE	525	-	454	444	-	-	-	-	-	-	-	-	1,423
Multi-modal	-	-	24	-	-	-	-	-	-	-	-	-	24
GenYSIS	-	-	-	-	-	-	-	-	-	-	-	-	-
CUNY Senior Colleges	24,128	11,443	24,631	18,581	-	-	-	-	-	-	-	-	78,783
CUNY Community Colleges	4,766	1,358	5,403	2,217	-	-	-	-	-	-	-	-	13,744
Brooklyn Court Officer Training Academy	26	-	-	1,153	-	-	-	-	-	-	-	-	1,179
<b>TOTAL DORMITORY AUTHORITY</b>	<b>29,871</b>	<b>14,958</b>	<b>32,138</b>	<b>22,412</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>99,379</b>
<b>EMPIRE STATE DEVELOPMENT CORP:</b>													
Regional Development	-	-	-	-	-	-	-	-	-	-	-	-	-
Centers of Excellence	-	-	-	-	-	-	-	-	-	-	-	-	-
Community Capital Assistance Program (CCAP)	-	-	-	-	-	-	-	-	-	-	-	-	-
Empire Opportunity	-	-	-	-	-	-	-	-	-	-	-	-	-
Community Enhancement Facilities Assistance Program (CEFAP)	-	-	-	-	-	-	-	-	-	-	-	-	-
State Facilities and Equipment	-	-	-	-	-	-	-	-	-	-	-	-	-
<b>TOTAL EMPIRE STATE DEVELOPMENT CORP</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>
<b>TOTAL OFF-BUDGET</b>	<b>\$ 29,871</b>	<b>\$ 14,958</b>	<b>\$ 32,138</b>	<b>\$ 22,412</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ 99,379</b>

The Division of the Budget (DOB) is responsible for organizing and presenting the above schedule of 'Off Budget Spending'. Such reported disbursements are drawn from unaudited financial data provided by public authorities. Although the Office of the State Comptroller (OSC) has no reason to believe this information to be unreliable, it is important to note that these program disbursements are financed with public authority bond proceeds deposited directly into public authority accounts and all disbursements are made without any oversight by the OSC. Therefore, and pursuant to the provisions of Chapter 60, §16, of the Laws of 2006, this schedule is provided for information only.

APPENDIX F

STATE OF NEW YORK  
SCHEDULE OF MONTH-END TEMPORARY LOANS OUTSTANDING(\*)

SFS Fund	ACCOUNT TITLE	April 30, 2020	May 31, 2020	June 30, 2020	Change	July 31, 2020
10050	GENERAL FUND					
	STATE OPERATIONS AND LOCAL ASSISTANCE	\$ -	\$ -	\$ -	\$ -	\$ -
	TOTAL GENERAL FUND	\$ -	\$ -	\$ -	\$ -	\$ -
CAPITAL PROJECT AND BOND REIMBURSABLE FUNDS						
30051	HIGHWAY AND BRIDGE CAPITAL	97,766,659.24	134,432,898.68	160,658,281.67	(23,651,650.66)	137,006,631.01
30053	AVIATION PURPOSE ACCOUNT	-	-	-	-	-
30101	REHAB/REPAIR MARITIME	-	-	-	-	-
30102	D21RVE- MARITIME	-	-	-	-	-
30103	D56RVE- CENTRAL ADMIN	-	-	-	-	-
30104	RESIDENCE HALL CAMPUS LET BOND PROCEEDS	-	-	-	-	-
30105	REHAB/REPAIR ALBANY	-	-	-	-	-
30106	D01RVE- ALBANY	-	-	-	-	-
30107	REHAB/REPAIR BINGHAMTON	-	-	-	-	-
30108	D07RVE- BINGHAMTON	-	-	-	-	-
30109	REHAB/REPAIR BUFFALO UNIVERSITY	-	-	-	-	-
30110	D28RVE- SUNY BUFFALO	-	-	-	-	-
30111	REHAB/REPAIR STONYBROOK	-	-	-	-	-
30112	D13RVE- STONYBROOK	-	-	-	-	-
30113	REHAB/REPAIR BROOKLYN	-	-	-	-	-
30114	D14RVE- HSC BROOKLYN	-	-	-	-	-
30115	REHAB/REPAIR SYRACUSE	-	-	-	-	-
30116	D15RVE- HSC SYRACUSE	-	-	-	-	-
30117	REHAB/REPAIR BROCKPORT	-	-	-	-	-
30118	D02RVE- BROCKPORT	-	-	-	-	-
30119	REHAB/REPAIR BUFFALO COLLEGE	-	-	-	-	-
30120	D03RVE- SUB BUFFALO	-	-	-	-	-
30121	REHAB/REPAIR CORTLAND	-	-	-	-	-
30122	D04RVE- CORTLAND	-	-	-	-	-
30123	REHAB/REPAIR FREDONIA	-	-	-	-	-
30124	D05RVE- FREDONIA	-	-	-	-	-
30125	REHAB/REPAIR GENESEO	-	-	-	-	-
30126	D06RVE- GENESEO	-	-	-	-	-
30127	REHAB/REPAIR OLD WESTBURY	-	-	-	-	-
30128	D31RVE- OLD WESTBURY	-	-	-	-	-
30129	REHAB/REPAIR NEW PALTZ	-	-	-	-	-
30130	D08RVE- NEW PALTZ	-	-	-	-	-
30131	REHAB/REPAIR ONEONTA	-	-	-	-	-
30132	D09RVE- ONEONTA	-	-	-	-	-
30133	REHAB/REPAIR OSWEGO	-	-	-	-	-
30134	D10RVE- OSWEGO	-	-	-	-	-
30135	REHAB/REPAIR PLATTSBURGH	-	-	-	-	-
30136	D11RVE- PLATTSBURGH	-	-	-	-	-
30137	REHAB/REPAIR POTSDAM	-	-	-	-	-
30138	D12RVE- POTSDAM	-	-	-	-	-
30139	REHAB/REPAIR PURCHASE	-	-	-	-	-
30140	D29RVE- PURCHASE	-	-	-	-	-
30141	REHAB/REPAIR FOR UTICA/ROME	-	-	-	-	-
30142	D27RVE- CAMPUS RESERVE	-	-	-	-	-
30143	REHAB/REPAIR ALFRED	-	-	-	-	-
30144	D22RVE- ALFRED	-	-	-	-	-
30145	REHAB/REPAIR CANTON	-	-	-	-	-
30146	D23RVE- CANTON	-	-	-	-	-
30147	REHAB/REPAIR COBLESKILL	-	-	-	-	-
30148	D24RVE- COBLESKILL	-	-	-	-	-
30149	REHAB/REPAIR DELHI	-	-	-	-	-
30150	D25RVE- DELHI	-	-	-	-	-
30151	REHAB/REPAIR FARMINGDALE	-	-	-	-	-
30152	D26RVE- FARMINGDALE	-	-	-	-	-
30153	REHAB/REPAIR MORRISVILLE	-	-	-	-	-
30154	D27RVE- MORRISVILLE	-	-	-	-	-
30351	STATE PARK INFRASTRUCTURE	-	-	-	-	-
30501	CWICA IMPLEMENTATION DEC	-	-	-	-	-
30502	CWICA IMPLEMENTATION STATE	-	-	-	-	-
30503	CWICA IMPLEMENTATION ERDA	-	-	-	-	-
30504	CWICA IMPLEMENTATION EFC	-	-	-	-	-
31506	HAZARDOUS WASTE CLEAN UP	-	-	-	-	-
31701	YOUTH FACILITIES IMPROVEMENT	-	-	-	-	-
31801	HOUSING ASSISTANCE	-	-	-	-	-
31852	HOUSING PROG FD-HSG TR FD CORP	-	-	-	-	-
31853	HOUSING PROG FD AFFORD HSG CORP	-	-	-	-	-
31854	HOUSING PROG FD-DEPT OF SOCIAL SERVICES	-	-	-	-	-
31951	HIGHWAY FAC PURPOSE	-	-	-	-	-

APPENDIX F

STATE OF NEW YORK  
SCHEDULE OF MONTH-END TEMPORARY LOANS OUTSTANDING(\*)

SFS Fund	ACCOUNT TITLE	April 30, 2020	May 31, 2020	June 30, 2020	Change	July 31, 2020
32213	NY RACING ACCOUNT	153,750.00	153,750.00	153,750.00	-	153,750.00
32214	CAPITAL PROJECT MISC GIFTS	-	-	-	-	-
32215	IT CAPITAL FINANCING ACCT	-	-	-	-	-
32219	NY ENVIRONMENTAL PROTECTION & SPILL REMEDIATION	1,085,401.74	1,311,287.77	1,619,301.49	296,228.34	1,915,529.83
32301	OPWDD-STATE FACILITIES PRE 12/89	-	-	-	-	-
32302	DSAS-COMMUNITY FACILITIES	-	-	-	-	-
32303	OMH-COMMUNITY FACILITIES	116,938,407.92	92,539,301.33	82,010,826.38	580,775.06	82,591,601.44
32304	OPWDD-COMMUNITY FACILITIES	-	-	-	-	-
32305	OASAS-COMMUNITY FACILITIES	176,660,826.46	176,660,093.00	176,272,809.92	-	176,272,809.92
32306	DASNY - OMH ADMIN	-	-	-	-	-
32307	DASNY - OPWDD ADMIN	4,005,576.39	4,005,576.39	7,828,273.39	-	7,828,273.39
32308	DASNY - OASAS ADMIN	883,591.20	883,591.20	1,732,406.20	-	1,732,406.20
32309	OMH-STATE FACILITIES	60,806,690.57	65,092,456.23	88,324,488.18	9,252,692.88	97,577,181.06
32310	OPWDD-STATE FACILITIES	14,521,897.38	14,521,897.38	17,831,809.86	-	17,831,809.86
32311	OASAS-STATE FACILITIES	1,619,787.38	1,619,787.38	1,983,616.94	-	1,983,616.94
32351	CORR. FACILITIES CAPITAL IMPROVEMENT	-	-	-	-	-
32352	DOCS-REHABILITATION PROJECTS	347,418,987.52	362,271,817.31	227,694,118.63	(68,421,194.95)	159,272,923.68
32353	CORR. FACILITIES CAPITAL CLOSURE	-	-	-	-	-
33001	STORM RECOVERY ACCOUNT	49,697,121.10	51,055,617.57	53,515,590.30	222,185.82	53,737,776.12
	<b>TOTAL CAPITAL AND BOND REIMBURSABLE FUNDS</b>	<b>1,314,347,357.88</b>	<b>1,401,791,694.63</b>	<b>1,315,198,982.29</b>	<b>(120,904,849.40)</b>	<b>1,194,294,132.89</b>
<b>STATE SPECIAL REVENUE FUNDS</b>						
20401	DOL-CHILD PERFORMER PROTECTION ACCOUNT	-	-	-	-	-
20452	VOCATIONAL SCHOOL SUPERVISION	-	-	-	-	-
20501	LOCAL GOVERNMENT RECORDS MGMT	-	-	-	-	-
20810	CHILD HEALTH INSURANCE	23,252,615.40	40,984,545.82	101,456,029.18	(46,689,588.60)	54,766,440.58
20818	EPIC PREMIUM ACCOUNT	1,091,524.07	3,985,007.11	7,324,399.12	(7,324,399.12)	-
20901	LOTTERY-EDUCATION	-	-	-	-	-
20904	VLT EDUCATION	-	-	-	-	-
21001	ENVIR FAC CORP ADM ACCT	-	-	-	-	-
21002	ENCON ADMIN ACCT	3,403,020.42	3,486,686.36	3,542,178.37	63,665.94	3,605,844.31
21061	HAZARDOUS BULK STORAGE	-	-	-	-	-
21064	UTILITY ENVIRONMENTAL REGULATORY ACCOUNT	1,672,099.99	1,672,099.99	-	-	-
21065	FEDERAL GRANTS INDIRECT COST RECOVERY ACCOUNT	545,960.20	1,213,636.22	1,878,388.17	666,209.84	2,544,578.01
21066	ENCON-LOW LEVEL RADIOACTIVE WASTE SITING	4,488,873.89	4,659,547.36	3,941,524.69	157,840.24	4,099,364.93
21067	ENCON-RECREATION	-	-	-	-	-
21077	PUBLIC SAFETY RECOVERY ACCOUNT	-	-	-	-	-
21081	ENVIRONMENTAL REGULATORY	56,952,437.62	58,703,121.73	64,768,454.17	884,354.67	65,652,808.84
21082	NATURAL RESOURCES ACCOUNT	14,468,178.55	14,542,855.30	14,485,513.32	(87,639.95)	14,397,873.37
21084	MINED LAND RECLAMATION ACCT	-	-	-	-	-
21087	GREAT LAKES RESTORATION INITIATIVE	-	-	-	-	-
21201	AUDIT AND CONTROL OIL SPILL	-	393.00	-	-	-
21202	HEALTH DEPT OIL SPILL	-	-	-	-	-
21203	DEPT OF ENVIRONMENTAL CONSERVATION OIL SPILL	-	-	26,427.14	(26,332.11)	95.03
21204	OIL SPILL COMPENSATION	-	-	-	-	-
21205	LICENSE FEE SURCHARGES	-	-	-	-	-
21401	PUBLIC TRANSPORTATION SYSTEMS	-	-	-	-	-
21402	METROPOLITAN MASS TRANSPORTATION	-	-	-	-	-
21451	OPERATING PERMIT PROGRAM	31,804,676.34	32,324,100.91	32,937,755.62	539,705.17	33,477,460.79
21452	MOBILE SOURCE	2,740,187.81	3,680,431.13	3,315,812.80	(985,397.93)	2,330,414.87
21902	HEALTH-SPARC'S	-	-	-	-	-
21905	THRUWAY AUTHORITY ACCT	7,891,794.52	11,772,516.36	16,450,079.53	(9,446,125.97)	7,003,953.56
21907	MENTAL-HYGIENE PROGRAM	-	-	-	-	-
21909	FINANCIAL CONTROL BOARD	-	-	-	-	-
21911	RACING REGULATION ACCOUNT	244,589.09	442,995.99	706,936.73	(504,084.23)	202,852.50
21912	SU DORM INCOME REIMBURSE	2,666,191.14	2,141,870.01	2,832,223.50	(804,161.18)	2,028,062.32
21937	CRIMINAL JUSTICE IMPROVEMENT	676,884.75	-	320,127.42	(123,238.55)	196,888.87
21945	ENV LAB REF FEE	-	-	-	-	-
21959	TRAINING MANAGEMENT AND EVALUATION ACCOUNT	-	-	-	-	-
21981	INDIRECT COST RECOVERY	479,173.93	532,067.69	590,377.37	57,323.21	647,700.58
21982	HIGH SCHOOL EQUIVALENCY PROGRAM	11,306,500.18	10,849,122.68	11,725,245.15	(67,639.82)	11,657,605.33
21978	MULTI- AGENCY TRAINING ACCOUNT	-	-	3,350,190.59	(3,350,190.59)	-
21989	BELL JAR COLLECTION ACCOUNT	-	-	-	-	-
22003	INDUSTRY AND UTILITY SERVICE	-	-	-	-	-
22004	REAL PROPERTY DISPOSITION	-	-	-	-	-
22006	PARKING ACCOUNT	-	-	-	-	-
22007	COURTS SPECIAL GRANTS	-	-	-	-	-
22008	ASBESTOS SAFETY TRAINING	-	-	-	-	-
22009	CAMP SMITH BILLETING ACCOUNT	1,320.60	25,060.36	32,921.28	7,637.59	40,558.87
22017	BATAVIA SCHOOL FOR THE BLIND	-	8,503,506.34	-	-	-
22032	INVESTMENT SERVICES	9,287,377.43	-	9,221,734.60	21,663.28	9,243,397.88
22034	SURPLUS PROPERTY ACCOUNT	-	-	-	-	-

## APPENDIX F

STATE OF NEW YORK  
SCHEDULE OF MONTH-END TEMPORARY LOANS OUTSTANDING(\*)

SFS Fund	ACCOUNT TITLE	April 30, 2020	May 31, 2020	June 30, 2020	Change	July 31, 2020
22039	FINANCIAL OVERSIGHT	361,716.31	639,149.03	941,372.35	(672,418.07)	268,954.28
22046	REGULATION INDIAN GAMING	91,390,863.15	92,478,418.83	93,769,436.68	1,081,744.26	94,851,180.94
22053	ROME SCHOOL FOR THE DEAF	3,899,529.89	3,370,784.91	3,330,301.50	500,362.42	3,830,663.92
22054	DSP-SIZED ASSETS	1,452,794.34	1,404,442.69	1,272,138.16	(44,138.53)	1,227,999.63
22055	ADMINISTRATIVE ADJUDICATION	21,194,309.92	21,077,054.35	23,272,448.49	(2,594,667.32)	20,677,781.17
22056	FEDERAL SALARY SHARING	157,464.11	282,995.82	422,168.37	217,788.10	639,956.47
22062	NYC ASSESSMENT ACCT	-	-	-	-	-
22063	CULTURAL EDUCATION ACCOUNT	5,463,783.05	6,231,236.23	6,772,749.59	1,382,410.31	8,155,159.90
22078	LOCAL SERVICE ACCOUNT	15,703,370.62	15,845,966.51	15,982,860.55	142,204.27	16,125,064.82
22086	DACR MORTGAGE SERVICES	-	-	-	-	-
22090	HOUSING INDIRECT COST RECOVERY	-	-	-	-	-
22100	DHCR-HOUSING CREDIT AGENCY APPLY FEE	6,678,995.21	7,534,453.81	8,646,721.74	428,501.27	9,073,223.01
22130	LOW INCOME HOUSING CREDIT MONITORING	-	-	-	-	-
22135	EFC-CORPORATION ADMINISTRATION	-	-	-	-	-
22144	MONROSE VETERANS HOME	-	-	-	-	-
22151	DEFERRED COMPENSATION ADMIN	188,307.01	71,638.69	133,843.96	52,370.46	186,214.42
22156	RENT REVENUE OTHER - NYC	-	-	-	-	-
22158	RENT REVENUE	-	-	-	-	-
22168	TAX REVENUE ARREARAGE ACCOUNT	-	-	-	-	-
22240	NYS MEDICAL INDEMNITY FUND ACCOUNT	656,853.96	765,796.61	893,808.12	91,041.94	984,850.06
22654	S.U. NON-RESIDENT REV. OFFSET	20,636,649.34	20,653,488.14	20,657,057.09	2,471.52	20,659,528.61
22751	LAKE GEORGE PARK TRUST FUND	-	29,397.23	144,864.67	102,273.85	247,138.52
22802	STATE POLICE MV ENFORCE	-	-	-	-	-
23001	DOT - HIGHWAY SAFETY PRGM	14,732,258.42	14,950,648.45	15,199,258.53	166,830.35	15,366,088.88
23102	DOH DRINKING WATER PROGRAM	5,350,949.70	5,350,949.70	5,350,949.70	-	5,350,949.70
23151	NYCCC OPERATING OFFSET	28,447,423.20	30,145,470.87	35,975,980.05	2,527,835.63	38,503,815.68
23701	COMMERCIAL GAMING REVENUE	-	-	-	-	-
23702	COMMERCIAL GAMING REGULATION	18,416,940.79	18,713,031.79	19,120,286.63	303,328.90	19,423,617.53
23801	HIGHWAY USE TAX ADMIN	-	-	-	-	-
23806	NYS SECURE CHOICE ADMIN	-	-	-	-	-
24951	FANTASY SPORTS ADMINISTRATION	5,780.79	5,780.79	34,948.58	-	34,948.58
	<b>TOTAL STATE SPECIAL REVENUE FUNDS</b>	<b>407,772,595.74</b>	<b>440,669,389.50</b>	<b>532,503,595.50</b>	<b>(63,324,658.75)</b>	<b>469,178,936.75</b>
	<b>FEDERAL FUNDS</b>					
25000-25099	FEDERAL USDA/FOOD AND NUTRITION SERVICES FUND	95,333,404.09	32,705,787.21	53,113,377.13	(48,251,817.57)	4,861,559.56
25100-25199	FEDERAL HEALTH AND HUMAN SERVICES FUND	2,021,923,896.72	1,888,440,953.44	981,080,416.03	(860,577,741.73)	120,502,674.30
25200-25249	FEDERAL EDUCATION GRANTS FUND	38,115,373.22	22,311,328.48	31,456,748.85	(1,629,261.28)	29,827,487.57
25250-25299	FEDERAL DHHS BLOCK GRANTS	-	-	-	-	-
25300-25899	FEDERAL OPERATING GRANTS FUND	473,547,458.22	472,858,847.63	471,256,489.93	210,915,000.97	682,171,490.90
31351	MILITARY AND NAVAL AFFAIRS	8,753,932.66	8,753,932.66	8,753,932.66	-	8,753,932.66
31350-31449	DEPARTMENT OF TRANSPORTATION	473,545,877.79	481,206,740.17	487,360,142.92	(39,982,269.97)	447,377,872.95
25900-25949	FEDERAL CAPITAL PROJECTS FUND (ALL OTHER)	104,186,098.82	107,771,680.98	112,399,567.02	2,624,103.79	115,023,670.81
25950	UNEMPLOYMENT INSURANCE ADMINISTRATION	16,391,508.42	19,255,414.32	25,711,197.65	7,808,348.15	33,519,545.80
26001-26049	FEDERAL UNEMPLOYMENT INS OCCUPATIONAL TRAINING	356,583.50	481,634.50	486,610.50	(80,037.00)	416,583.50
	<b>TOTAL FEDERAL FUNDS</b>	<b>3,232,788,592.45</b>	<b>3,040,325,767.80</b>	<b>2,176,844,331.96</b>	<b>(721,663,842.21)</b>	<b>1,455,180,489.75</b>
	<b>AGENCY FUNDS</b>					
60201	EMPLOYEES HEALTH INSURANCE ACCT	-	-	-	-	-
60901	MMIS - STATE AND FEDERAL	-	-	-	-	-
	<b>TOTAL AGENCY FUNDS</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>
	<b>ENTERPRISE FUND</b>					
50318	OGS CONVENTION CENTER ACCOUNT	416,637.70	464,172.57	488,925.57	87,340.93	566,266.50
50327	EMPIRE PLAZA GIFT SHOP	186,099.69	197,920.18	216,211.92	12,050.26	228,262.18
	<b>TOTAL ENTERPRISE FUND</b>	<b>602,737.39</b>	<b>662,092.75</b>	<b>715,137.49</b>	<b>99,391.19</b>	<b>814,528.68</b>
	<b>INTERNAL SERVICE FUNDS</b>					
55001	CENTRALIZED SERVICES-FLEET MGMT	-	-	-	-	-
55002	CENTRALIZED SERVICES-DATA PROCESSING	-	-	-	-	-
55003	CENTRALIZED SERVICES-PRINTING	1,443,389.67	1,579,839.71	1,496,350.49	(60,117.92)	1,446,232.57
55004	CENTRALIZED SERVICES-REAL PROPERTY-LABOR	-	-	-	-	-
55005	CENTRALIZED SERVICES-DONATED FOODS	-	-	-	-	-
55006	CENTRALIZED SERVICES-PERSONAL PROPERTY	-	-	-	-	-
55007	CENTRALIZED SERVICES-CONSTRUCTION SERVICES	-	-	-	-	-
55008	CENTRALIZED SERVICES-PASNY	3,991,948.40	2,981,023.93	2,933,431.43	(41,942.05)	2,891,489.38
55009	CENTRALIZED SERVICES-ADMIN SUPPORT	13,314,095.96	12,565,307.21	12,585,092.43	772,885.04	13,357,977.47
55010	CENTRALIZED SERVICES-DESIGN AND CONSTR	16,488,905.88	15,829,669.25	16,414,005.80	370,145.98	16,784,151.78
55011	CENTRALIZED SERVICES-INSURANCE	3,189,157.47	2,469,769.81	2,460,273.27	2,116,914.69	4,577,187.96
55012	CENTRALIZED SERVICES-SECURITY CARD ACCESS	231,183.30	230,533.30	221,823.30	(14,384.00)	207,459.30
55013	CENTRALIZED SERVICES-COPS	-	-	-	-	-
55014	CENTRALIZED SERVICES-FOOD SERVICES	-	-	-	-	-
55015	CENTRALIZED SERVICES-HOMER FOLKS	-	-	-	-	-

## APPENDIX F

STATE OF NEW YORK  
SCHEDULE OF MONTH-END TEMPORARY LOANS OUTSTANDING(\*)

SFS Fund	ACCOUNT TITLE	April 30, 2020	May 31, 2020	June 30, 2020	Change	July 31, 2020
55016	CENTRALIZED SERVICES-IMMICS	1,604,440.46	1,660,588.96	1,772,738.94	(258,127.95)	1,514,610.99
55017	DOWNSTATE WAREHOUSE	546,194.95	628,870.65	711,223.47	(48,253.76)	662,969.71
55018	BUILDING ADMINISTRATION	599,767.75	77,436.38	-	-	-
55019	LEASE SPACE INITIATIVE	-	-	-	-	-
55020	OGS ENTERPRISE CONTRACTING ACCT	57,003,952.89	62,445,110.42	64,688,929.15	8,908,557.54	73,597,486.69
55021	NYS MEDIA CENTER	7,644,944.84	7,943,434.39	8,528,434.62	527,105.08	9,055,539.70
55022	BUSINESS SERVICES CENTER	30,326,931.82	32,865,150.00	10,971,557.60	1,962,352.00	12,933,909.60
55052	ARCHIVES RECORD MGMT I/S.	-	-	-	73,519.17	73,519.17
55053	FEDERAL SINGLE AUDIT	-	-	-	-	-
55056	CIVIL SERVICE EHS OCCUP HEALTH PROG	-	-	-	-	-
55057	BANKING SERVICES ACCOUNT	213,133.60	31,793.07	123,821.85	(105,361.51)	18,460.34
55058	CULTURAL RESOURCE SURVEY	2,596,909.42	1,195,740.22	1,451,777.47	222,284.92	1,674,062.39
55059	NEIGHBOR WORK PROJECT	11,703,717.16	11,378,649.67	11,272,972.62	2,588.85	11,275,561.47
55060	AUTOMATIC/PRINT CHARGBACKS	1,523,672.29	2,955,460.96	4,475,588.10	843,827.84	5,319,415.94
55061	OFT NYT ACCT	1,630,366.14	1,630,366.14	1,445,258.44	-	1,445,258.44
55062	DATA CENTER ACCOUNT	41,893,207.51	41,893,207.51	44,909,722.53	(1,583,579.52)	43,326,143.01
55066	CYBER SECURITY INTRUSION ACCT	1,261,584.27	1,261,584.27	1,261,584.27	-	1,261,584.27
55067	DOMESTIC VIOLENCE GRANT	93,323.04	118,572.83	145,481.62	25,504.49	170,986.11
55069	CENTRALIZED TECHNOLOGY SERVICES	43,454,381.48	44,818,059.74	78,081,800.66	(3,108,528.07)	74,973,272.59
55071	LABOR CONTACT CENTER ACCT	216,161.90	1,317,211.08	3,486,640.87	176,945.86	3,663,586.73
55072	HUMAN SERVICES CONTACT CNTR ACCT	-	223,463.56	1,244,122.41	508,388.85	1,752,511.26
55073	TAX CONTACT CENTER ACCT	-	-	-	-	-
55074	CIVIL RECOVERIES ACCT	-	-	-	75,398.42	75,398.42
55251	EXECUTIVE DIRECTION INTERNAL AUDIT	9,048,400.98	9,278,769.41	9,548,378.43	230,476.33	9,778,854.76
55252	CIO INFORMATION TECHNOLOGY CENTRALIZED SERVICES	30,986,669.32	34,435,234.47	37,389,000.24	4,067,353.71	41,456,353.95
55300	HEALTH INSURANCE INTERNAL SERVICE	11,648,344.26	12,724,382.68	2,026,206.73	1,119,583.33	3,145,790.06
55301	CIVIL SERVICE EMPLOYEE BENEFITS DIV ADM	4,200,904.35	4,295,954.99	4,409,764.34	95,050.64	4,504,814.98
55350	CORR INDUSTRIES INTERNAL SERVICE	25,500,113.71	30,030,857.31	35,032,506.26	5,200,167.59	40,232,673.85
	<b>TOTAL INTERNAL SERVICE FUNDS</b>	<b>322,335,802.82</b>	<b>338,866,041.92</b>	<b>359,088,487.34</b>	<b>22,088,775.55</b>	<b>381,177,262.89</b>
	<b>GRAND TOTAL - TEMPORARY LOANS OUTSTANDING</b>	<b>\$ 5,277,786,886.28</b>	<b>\$ 5,222,314,986.60</b>	<b>\$ 4,384,350,534.58</b>	<b>\$ (883,705,183.62)</b>	<b>\$ 3,500,645,350.96</b>

(\*) Temporary Loans are authorized pursuant to Subdivision 5 of Section 4 of the State Finance Law and Chapter 56, Part JJ, Section 1, of the Laws of 2020-21.

The loans represent authorizations made by the Legislature to allow certain funds/accounts to make appropriated payments regardless of the fund (cash) balance.

Such loans are made from the State's Short-Term Investment Pool (STIP) and are intended to satisfy temporary cash shortfalls whenever scheduled disbursements exceed available revenues during the fiscal year. Generally, temporary loans are repaid from the first cash receipts of the fund or account; however, in some cases actual revenues are not sufficient to repay all loans made to the fund or account and a transfer from the General Fund "Repayment of Receivables" appropriation is approved by the Budget Director.

The balances reported here in Appendix F are the actual fund balances as of the close of business on the last day of the reporting month and do not include post-closing adjustments.

Please refer to Schedule 1 for a detailed analysis of the "reported" cash balances of the fund group.

(\*\*) Temporary loans to federal funds are typically reimbursed within 2-3 days. Such loans are made pursuant to federal regulations which require the State to disburse funds prior to making a reimbursement claim from the U.S. Treasury.

(\*\*\*) Per Section 72 of the State Finance Law, the General Fund includes the Local Assistance Fund (10000) and State Purpose Fund (10050).



STATE OF NEW YORK DEDICATED INFRASTRUCTURE INVESTMENT FUND(*) STATEMENT OF RECEIPTS AND DISBURSEMENTS FISCAL YEAR 2020-2021													APPENDIX G
	2020 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2021 JANUARY	FEBRUARY	MARCH	4 Months Ended July 31, 2020
<b>OPENING CASH BALANCE</b>	\$ 86,513,214	\$ 49,126,483	\$ 42,662,065	\$ 7,636,110									\$ 86,513,214
<b>RECEIPTS:</b>													
Transfers from General Fund (**)	-	-	-	204,000,000	-	-	-	-	-	-	-	-	204,000,000
Other	-	-	-	-	-	-	-	-	-	-	-	-	-
<b>Total Receipts</b>	-	-	-	204,000,000	-	-	-	-	-	-	-	-	204,000,000
<b>DISBURSEMENTS:</b>													
Affordable and Homeless Housing	-	-	9,481	533,024	-	-	-	-	-	-	-	-	542,505
Broadband Initiative	1,735,855	1,420,080	-	6,989,821	-	-	-	-	-	-	-	-	10,145,556
Downtown Revitalization	-	-	-	-	-	-	-	-	-	-	-	-	-
Empire State Poverty Reduction Initiatives	2,457,343	88,175	-	1,071,138	-	-	-	-	-	-	-	-	3,616,656
Health Care / Hospital Initiatives	2,596,638	3,634,367	1,781,021	288,011	-	-	-	-	-	-	-	-	8,300,037
Information Technology/Infrastructure for Behavioral Sciences	-	-	-	-	-	-	-	-	-	-	-	-	-
Infrastructure Improvements	-	-	5,540,794	1,292,017	-	-	-	-	-	-	-	-	6,832,810
Jacob Javits Center Expansion	-	-	-	-	-	-	-	-	-	-	-	-	-
Life Sciences Initiative	2,500,000	1,500,000	-	-	-	-	-	-	-	-	-	-	4,000,000
Municipal Restructuring / Consolidation Competition	3,054,840	(2,778,292)	-	562,372	-	-	-	-	-	-	-	-	838,920
Penn Station Access	-	-	-	-	-	-	-	-	-	-	-	-	-
Resiliency, Mitigation, Security and Emergency Response	-	-	-	-	-	-	-	-	-	-	-	-	-
Southern Tier / Hudson Valley Farm Initiative	-	-	-	30,000	-	-	-	-	-	-	-	-	30,000
Thruway Stabilization Program	-	-	22,587,449	24,055,021	-	-	-	-	-	-	-	-	46,642,469
Transformative Economic Development Projects	10,440,876	79,325	4,746,161	282,274	-	-	-	-	-	-	-	-	15,548,636
Transportation Capital Plan	-	-	-	-	-	-	-	-	-	-	-	-	-
Update Revitalization Program	14,611,179	2,520,763	361,049	10,700,538	-	-	-	-	-	-	-	-	28,193,529
<b>Total Disbursements</b>	37,386,731	6,464,418	35,025,955	45,814,014	-	-	-	-	-	-	-	-	124,691,118
<b>OPERATING TRANSFERS:</b>													
Transfers to General Fund	-	-	-	-	-	-	-	-	-	-	-	-	-
<b>Total Operating Transfers</b>	-	-	-	-	-	-	-	-	-	-	-	-	-
<b>Total Disbursements and Transfers</b>	37,386,731	6,464,418	35,025,955	45,814,014	-	-	-	-	-	-	-	-	124,691,118
<b>CLOSING CASH BALANCE</b>	\$ 49,126,483	\$ 42,662,065	\$ 7,636,110	\$ 165,822,096	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 165,822,096

(\*) Fund created pursuant to Chapter 60, Laws of 2015-16, Part H and SFL § 93-b

(\*\*) Pursuant to Section 93(b) of the State Finance Law

## APPENDIX H

STATE OF NEW YORK  
MEDICAL ASSISTANCE DISBURSEMENTS - STATE FUNDS<sup>(\*)</sup>  
FISCAL YEAR 2020-2021

	JULY 2020			4 MONTHS ENDED JULY 31		
	Department of Health	Other State Agencies	July	Department of Health	Other State Agencies	Year-to-Date
Adult State Share Medicaid	\$ -	\$ 25,164,175.00	\$ 25,164,175.00	\$ -	\$ 33,017,216.00	\$ 33,017,216.00
State Share Medicaid	34,366,555.00	(10,505,057.70)	23,861,497.30	47,867,305.00	(7,110,041.19)	40,757,263.81
Medical Assistance (OPWDD)	-	-	-	-	-	-
Medical Assistance Administration	266,412.96	-	266,412.96	3,772,956.30	11,415,893.00	15,188,849.30
Population Health Improvement	697,779.76	-	697,779.76	927,592.94	-	927,592.94
Traumatic Brain Injury Services	1,990,556.14	-	1,990,556.14	3,786,892.52	-	3,786,892.52
Nursing Home Transition & Diversion	-	-	-	-	-	-
Reducing Maternal Mortality	340,658.49	-	340,658.49	340,658.49	-	340,658.49
New York Connects	-	-	-	-	-	-
Facilitated Enrollment	-	2,616,432.64	2,616,432.64	-	3,318,743.61	3,318,743.61
Emergency Medical Transportation	498,743.68	-	498,743.68	892,908.35	-	892,908.35
Managed Long-Term Care Ombudsman	-	-	-	750,000.00	-	750,000.00
Major Academic Pool	1,458,629.10	-	1,458,629.10	2,477,337.73	-	2,477,337.73
Women's Health & Multiple Births	-	-	-	-	-	-
Vital Access Program (OASAS)	-	-	-	-	-	-
Vital Access Program (OMH)	-	-	-	-	-	-
Vital Access Provider Services	-	-	-	-	-	-
General Hospitals Safety-Net Providers	-	-	-	-	-	-
Rural Transportation	-	-	-	35,239,490.00	-	35,239,490.00
AIDS Epidemic	262,293.90	-	262,293.90	-	-	-
Fluoridation Systems	522,809.05	-	522,809.05	383,975.88	-	383,975.88
Expanding Caregiver Support Services	5,269,635.86	-	5,269,635.86	522,809.05	-	522,809.05
Provide Affordable Housing	3,057,743.99	1,248,023.79	4,305,767.78	8,537,339.34	-	8,537,339.34
Health Homes Establishment	-	-	-	6,564,831.50	1,518,439.04	8,083,270.54
Community Provider Network	-	-	-	376,446.03	-	376,446.03
Inpatient Services	73,445,884.26	-	73,445,884.26	(1,320,927.55)	-	(1,320,927.55)
Patient-Centered Homes	-	-	-	206,987,828.57	-	206,987,828.57
Outpatient & Emergency Room Services	19,918,061.95	-	19,918,061.95	46,974,452.85	-	46,974,452.85
Clinic Services	20,113,370.13	-	20,113,370.13	58,607,141.52	-	58,607,141.52
Nursing Home Services	86,681,408.16	-	86,681,408.16	51,129,805.38	-	51,129,805.38
Other Long Term Care Services	283,389,270.25	-	283,389,270.25	279,735,386.19	-	279,735,386.19
Managed Care Services	572,527,608.33	-	572,527,608.33	2,595,839,101.31	-	2,595,839,101.31
Pharmacy Services	17,247,972.97	-	17,247,972.97	1,610,764,050.04	-	1,610,764,050.04
Transportation Services	9,633,862.46	-	9,633,862.46	48,880,023.36	-	48,880,023.36
Dental Services	306,406.32	-	306,406.32	24,077,738.83	-	24,077,738.83
Non-Institutional & Other	35,271,449.93	-	35,271,449.93	616,640.94	-	616,640.94
Medical Services State Facilities	232,772,698.51	-	232,772,698.51	180,405,299.65	141,298.00	180,546,597.65
CSEA Family Health Plus Buy In	-	-	-	550,074,777.76	-	550,074,777.76
DC37 & Teamster Local 858	-	-	-	128,310.97	-	128,310.97
Medical Assistance (HCRA)	-	-	-	-	-	-
Indigent Care	675,000,000.00	-	675,000,000.00	1,425,000,000.00	-	1,425,000,000.00
Provider Assessments	31,400,000.00	-	31,400,000.00	188,208,703.01	-	188,208,703.01
NYC Personal Care Workforce Recruitment and Retention Rates (HCRA)	117,312,000.00	-	117,312,000.00	245,745,000.00	-	245,745,000.00
Personal Care Workforce Recruitment and Retention Rates (HCRA)	-	-	-	-	-	-
Home Health Rate Increase (HCRA)	-	-	-	-	-	-
Additional DSH Payments SUNY	-	-	-	-	-	-
<b>TOTAL<sup>(*)</sup></b>	<b>2,223,751,811.20</b>	<b>18,523,573.73</b>	<b>2,242,275,384.93</b>	<b>7,624,293,875.96</b>	<b>42,301,548.46</b>	<b>7,666,595,424.42</b>
Reclassification of Medical Assistance payments for care and treatment of patients at State-operated health, mental hygiene and State University facilities to Transfers.	(198,335,148.18)	-	(198,335,148.18)	(609,772,713.26)	-	(609,772,713.26)
<b>TOTAL REPORTED MEDICAID</b>	<b>\$ 2,025,416,663.02</b>	<b>\$ 18,523,573.73</b>	<b>\$ 2,043,940,236.75</b>	<b>\$ 7,014,521,162.70</b>	<b>\$ 42,301,548.46</b>	<b>\$ 7,056,822,711.16</b>

<sup>(\*)</sup> General Fund and State Special Revenue Funds only.

These amounts do not include Medical Assistance spending for State Operations.

These amounts are not comparable to Medicaid Global Cap spending.

Department of Health regularly reclassifies spending between programs,

and therefore amounts for any individual program may be restated by DOH.

<sup>(\*\*)</sup> Source: Statewide Financial System

## APPENDIX I

	JULY 2020			4 MONTHS ENDED JULY 31		
	Department of Health	Other State Agencies	July	Department of Health	Other State Agencies	Year to Date
Medical Assistance & Survey Certification Program	\$ 7,664,595.29	\$ -	\$ 7,664,595.29	\$ 32,811,712.74	\$ -	\$ 32,811,712.74
Medical Assistance Administration	-	-	-	17,589,203.00	132,774.00	17,721,977.00
Partnership Plan	12,759,165.96	-	12,759,165.96	29,330,955.23	-	29,330,955.23
Inpatient Services	327,222,774.27	-	327,222,774.27	1,348,369,277.01	-	1,348,369,277.01
Outpatient & Emergency Room Services	128,663,502.17	-	128,663,502.17	305,999,303.23	-	305,999,303.23
Clinic Services	49,908,967.61	-	49,908,967.61	202,490,246.31	-	202,490,246.31
Nursing Home Services	98,065,345.03	-	98,065,345.03	441,568,502.70	-	441,568,502.70
Other Long Term Care Services	1,388,422,549.27	-	1,388,422,549.27	3,745,095,786.91	-	3,745,095,786.91
Managed Care Services	1,453,650,918.51	-	1,453,650,918.51	7,851,257,432.09	-	7,851,257,432.09
Pharmacy Services	31,686,576.01	-	31,686,576.01	143,359,866.04	-	143,359,866.04
Transportation Services	33,093,252.41	-	33,093,252.41	153,536,435.38	-	153,536,435.38
Dental Services	659,652.83	-	659,652.83	2,776,936.05	-	2,776,936.05
Non-Institutional & Other	(67,800,875.47)	-	(67,800,875.47)	12,123,344.17	-	12,123,344.17
Medical Services State Facilities	50,625,000.01	-	50,625,000.01	454,467,284.84	-	454,467,284.84
Additional DSH Payments SUNY	-	-	-	-	-	-
<b>TOTAL<sup>(*)</sup></b>	<b>3,514,621,423.90</b>	<b>-</b>	<b>3,514,621,423.90</b>	<b>14,740,776,286.70</b>	<b>132,774.00</b>	<b>14,740,909,059.70</b>
Reclassification of Medical Assistance payments for care and treatment of patients at State-operated health, mental hygiene and State University facilities to Transfers and adjustments for timing of payments at month end.						
	(200,980,820.52)	-	(200,980,820.52)	152,286,158.17	-	152,286,158.17
<b>TOTAL REPORTED MEDICAID<sup>(**)</sup></b>	<b>\$ 3,313,640,603.38</b>	<b>\$ -</b>	<b>\$ 3,313,640,603.38</b>	<b>\$ 14,893,062,443.87</b>	<b>\$ 132,774.00</b>	<b>\$ 14,893,195,217.87</b>

Reclassification of Medical Assistance payments for care and treatment of patients at State-operated health, mental hygiene and State University facilities to Transfers and adjustments for timing of payments at month end

TOTAL REPORTED MEDICAID<sup>(2004)</sup>

(<sup>1</sup>) Special Revenue Federal Funds only.  
These amounts do not include Medical Assistance spending for State Operations.  
These amounts are not comparable to Medicaid Global Cap spending.

(<sup>2</sup>) Source: Statewide Financial System

(<sup>3</sup>) Reported Medicaid spending does not include the Basic Health Plan.



# State of New York

## Interim Financial Report Governmental Funds

For the three months ended June 30, 2020  
*(Pursuant to Section 8(9a) of the State Finance Law)*

New York State Comptroller  
**THOMAS P. DiNAPOLI**



**STATE OF NEW YORK**  
**Combined Balance Sheet**  
**Governmental Funds**  
June 30, 2020  
(Amounts in thousands)(Unaudited)

	General	Federal Special Revenue	Special Revenue	Debt Service	Capital Projects
<b>ASSETS:</b>					
Cash and investments.....	\$ 9,413,005	\$ 7,299,278	\$ 7,577,566	\$ 983,099	\$ 2,020,378
Receivables, net of allowances for uncollectibles:					
Taxes.....	9,600,902	-	558,612	10,151,472	53,221
Due from Federal government.....	-	8,299,336	-	3,701	661,409
Other.....	2,447,668	811,151	1,131,047	99,153	156,507
Due from other funds.....	9,833,269	34,115	20,177	254,703	278,130
Other assets.....	2,331,551	8,978	85	-	69,049
Total assets.....	\$ 33,626,395	\$ 16,452,858	\$ 9,287,487	\$ 11,492,128	\$ 3,238,694
<b>LIABILITIES:</b>					
Tax refunds payable.....	\$ 5,104,706	\$ -	\$ 435,697	\$ 3,863,285	\$ 28,824
Accounts payable.....	316,124	60,060	7,861	88	290,586
Accrued liabilities.....	2,561,907	2,991,263	18,434	27,086	72,745
Payable to local governments.....	5,349,449	3,935,283	2,305,408	457,717	174,647
Due to other funds.....	2,497,812	880,855	513,925	5,482,100	1,575,531
Pension contributions payable.....	328,874	-	-	-	-
Unearned revenues.....	100,434	6,268,462	-	1,530	-
Total liabilities.....	16,259,306	14,135,923	3,281,325	9,831,806	2,142,333
DEFERRED INFLOWS OF RESOURCES.....	731,979	2,287,718	310,403	291,329	28,678
<b>FUND BALANCES:</b>					
Restricted.....	-	29,217	995,135	490,813	352,674
Committed.....	3,588,838	-	2,579,960	878,180	2,893,856
Assigned.....	13,041,819	-	3,859,880	-	178,752
Unassigned.....	4,453	-	(1,739,216)	-	(2,357,599)
Total fund balances.....	16,635,110	29,217	5,695,759	1,368,993	1,067,683
Total liabilities, deferred inflows of resources and fund balances.....	\$ 33,626,395	\$ 16,452,858	\$ 9,287,487	\$ 11,492,128	\$ 3,238,694

See accompanying notes to the financial statements.

**STATE OF NEW YORK**

Combined Statement of Revenues, Expenditures and Changes in Fund Balances

Governmental Funds

For the Three Months Ended June 30, 2020

(Amounts in thousands)(Unaudited)

	General	Federal Special Revenue	Special Revenue	Debt Service	Capital Projects
<b>REVENUES:</b>					
Taxes.....	\$ 8,345,208	\$ -	\$ 1,374,236	\$ 11,231,035	\$ 220,505
Federal grants.....	64	16,091,930	-	-	369,827
Miscellaneous.....	22,836,895	53,530	1,255,758	103,422	415,981
<b>Total revenues.....</b>	<b>31,182,167</b>	<b>16,145,460</b>	<b>2,629,994</b>	<b>11,334,457</b>	<b>1,006,313</b>
<b>EXPENDITURES:</b>					
Local assistance grants.....	12,533,092	15,000,696	5,132,540	-	590,127
State operations.....	24,325,029	698,652	126,794	11,817	-
Debt service, including payments on financing arrangements.....	-	-	-	658,913	-
Capital construction.....	-	-	-	-	1,384,982
<b>Total expenditures.....</b>	<b>36,858,121</b>	<b>15,699,348</b>	<b>5,259,334</b>	<b>670,730</b>	<b>1,975,109</b>
<b>Excess (deficiency) of revenues over expenditures.....</b>	<b>(5,675,954)</b>	<b>446,112</b>	<b>(2,629,340)</b>	<b>10,663,727</b>	<b>(968,796)</b>
<b>OTHER FINANCING SOURCES (USES):</b>					
Transfers from other funds.....	11,336,950	-	1,082,997	426,719	(370,794)
Transfers to other funds.....	(1,463,190)	(417,758)	(7,887)	(11,267,742)	(49,800)
Financing arrangements issued.....	-	-	-	-	1,774,805
Premiums on bonds issued.....	117,802	-	-	-	240,060
Revenue anticipation notes.....	4,382,200	-	-	-	-
<b>Net other financing sources (uses).....</b>	<b>14,373,762</b>	<b>(417,758)</b>	<b>1,075,110</b>	<b>(10,841,023)</b>	<b>1,594,271</b>
<b>Net change in fund balances.....</b>	<b>8,697,808</b>	<b>28,354</b>	<b>(1,554,230)</b>	<b>(177,296)</b>	<b>625,475</b>
<b>Fund balances at beginning of period, as restated.....</b>	<b>7,937,302</b>	<b>863</b>	<b>7,249,989</b>	<b>1,546,289</b>	<b>442,208</b>
<b>Fund balances at June 30, 2020.....</b>	<b>\$ 16,635,110</b>	<b>\$ 29,217</b>	<b>\$ 5,695,759</b>	<b>\$ 1,368,993</b>	<b>\$ 1,067,683</b>

See accompanying notes to the financial statements.



**STATE OF NEW YORK**  
**Notes to Financial Statements**  
**June 30, 2020 (Unaudited)**

**NOTE 1**

The accounting policies and methods of estimating and accumulating financial data for preparation of the June 30, 2020 interim financial statements for governmental fund types are similar to those used to prepare the March 31, 2020 governmental fund financial statements.

The implementation of GASB Statement 84, Fiduciary Activities, has been initiated in the interim financial statements for the fiscal year ending March 31, 2021.

**NOTE 2**

Within the governmental funds, transfers to other funds exceeded transfers from other funds by \$731 million. Subsidies to the State University of New York and the Senior Colleges of the City University of New York totaling \$1,597 million are reported as transfers to other funds offset by \$866 million in Enterprise Funds subsidies which are reported as transfers from other funds.

**NOTE 3**

The following table presents a reconciliation of the budgetary cash basis operating results for the three months ended June 30, 2020 as reported in the State Register, with the operating results for Governmental Fund Types prepared in accordance with generally accepted accounting principles (amounts in thousands):

	<u>General</u>	<u>Federal Special Revenue</u>	<u>Special Revenue</u>	<u>Debt Service</u>	<u>Capital Projects</u>
Excess (deficiency) of receipts and other financing sources over (under) disbursements and other financing uses .....	\$ (2,080,600) \$	6,314,900 \$	1,845,700 \$	431,800 \$	(173,000)
Entity differences:					
Receipts and other financing sources over (under) disbursements and other financing uses for funds and accounts not included in the cash basis financial plan .....	577,465	(1,378,340)	(170,361)	(727,197)	1,221,495
Perspective differences:					
Receipts and other financing sources over (under) disbursements and other financing uses of the Miscellaneous Special Revenue Account, Infrastructure Trust Account, Child Performer's Protection Account and Charter Schools Stimulus Account, which are treated as Special Revenue Funds in the financial plan and as part of the General Fund for GAAP reporting .....	133,441	-	(133,441)	-	-
Fiduciary Funds .....	(625,854)	-	(3,838)	-	-
College and University Funds .....	-	-	(357,919)	-	29,292
Lottery Fund .....	-	-	(133,539)	-	-
Temporary interfund cash loans .....	202,700	(255,280)	17,885	-	34,695
Basis of accounting differences:					
To adjust for revenue accruals .....	5,353,144	(7,299,909)	(146,032)	3,597,230	(586,958)
To adjust for expenditure accruals .....	5,137,512	2,646,983	(2,472,685)	(3,479,129)	99,951
<b>Net change in fund balances .....</b>	<b>\$ 8,697,808 \$</b>	<b>28,354 \$</b>	<b>(1,554,230) \$</b>	<b>(177,296) \$</b>	<b>625,475</b>

<b>STATE OF NEW YORK</b> <b>Notes to Financial Statements</b> <b>June 30, 2020 (Unaudited) (cont'd)</b>
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**NOTE 4**

During the three months ended June 30, 2020, there were no debt refunding issues.

**NOTE 5**

On March 11, 2020, the World Health Organization officially declared Coronavirus (COVID-19), the disease caused by the novel coronavirus, a pandemic. The impact of the pandemic which had begun to be seen in late March 2020, is likely to effect various parts of fiscal year 2021 operations and financial performance. In response to the COVID-19 pandemic, the United States Congress passed, and the President signed, a variety of legislation (including but not limited to the Coronavirus Aid, Relief, and Economic Security Act, or “CARES Act”) that provides certain relief to partially mitigate the economic impact of the pandemic. The relief provided under the CARES Act has been and is expected to be distributed through the Coronavirus Relief Fund (CRF). The outbreak of COVID-19 has affected travel, commerce and financial markets globally, and is widely expected to effect economic growth worldwide. The degree of any such impact to the State's operations and finances (and those of its municipalities and major public authorities), is extremely difficult to predict due to the dynamic nature of the COVID-19 outbreak, including uncertainties relating to its duration and severity, as well as with regard to what actions may be taken by governmental and other health care authorities to contain or mitigate its impact. While the overall impact on the State cannot be predicted at this time, the continued spread of the outbreak could have a material adverse effect on the State, its economy and the Financial Plan.

The Division of the Budget (DOB) expects a reduction in State tax revenues in the range of \$10 to \$15 billion in the 2020-21 fiscal year, with recurring shortfalls in subsequent years. Accordingly, effective April 28, 2020, DOB ordered spending controls including a hiring freeze, elimination of non-essential nonpersonal service spending, and deferral on discretionary local aid grants.

In April, the State received \$5.1 billion in allocation from the Federal government under the CRF. Federal provisions and current guidance for the CRF indicate that its funds are limited to expenditures made between March 1, 2020 and December 30, 2020, and eligible jurisdictions need to return any funds that do not meet this requirement to the Federal government. The State intends to charge eligible costs incurred prior to receipt of the funding to the CRF during the 2020-21 fiscal year. Further allocation of funds may be received based on allocation methods and criteria that are not yet known, however any receipt of any additional monies under the CARES Act is uncertain and is not guaranteed.

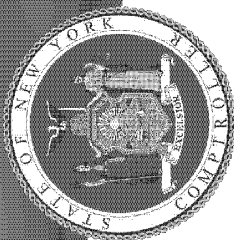


**Office of the New York State Comptroller****Thomas P. DiNapoli, State Comptroller****Office of Operations – Division of Payroll, Accounting and Revenue Services****Terri B. Crowley, Executive Deputy Comptroller****David J. Hasso, CPA, CGFM, CGMA, Deputy Comptroller****Sharon M. Buck, Assistant Comptroller****Bureau of Financial Reporting and Oil Spill Remediation****Deborah J. Hilson, Director****Maria Guzman, CPA, Assistant Director****GAAP Reporting**

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Office of the NEW YORK STATE  
**COMPTROLLER**

# Comptroller's Annual Report to the Legislature on State Funds Cash Basis of Accounting

FISCAL YEAR ENDED MARCH 31, 2020

Office of Operations  
Division of Payroll, Accounting and Revenue Services

NYS Comptroller  
**THOMAS P. DINAPOLI**

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Office of the State Comptroller  
Bureau of Financial Reporting and Oil Spill Remediation  
110 State Street - 9<sup>th</sup> Floor  
Albany, NY 12236

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**STATE OF NEW YORK  
OFFICE OF OPERATIONS  
DIVISION OF PAYROLL, ACCOUNTING AND REVENUE SERVICES  
BUREAU OF FINANCIAL REPORTING AND OIL SPILL REMEDIATION**

**COMPTROLLER'S ANNUAL REPORT TO THE LEGISLATURE ON STATE FUNDS - CASH BASIS OF ACCOUNTING  
FISCAL YEAR ENDED MARCH 31, 2020**

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**STATE OF NEW YORK**  
**OFFICE OF OPERATIONS**  
**DIVISION OF PAYROLL, ACCOUNTING AND REVENUE SERVICES**  
**BUREAU OF FINANCIAL REPORTING AND OIL SPILL REMEDIATION**  
**COMPTROLLER'S ANNUAL REPORT TO THE LEGISLATURE ON STATE FUNDS - CASH BASIS OF ACCOUNTING**  
**FISCAL YEAR ENDED MARCH 31, 2020**

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STATE OF NEW YORK  
OFFICE OF OPERATIONS  
DIVISION OF PAYROLL, ACCOUNTING AND REVENUE SERVICES  
BUREAU OF FINANCIAL REPORTING AND OIL SPILL REMEDIATION

COMPTROLLER'S ANNUAL REPORT TO THE LEGISLATURE ON STATE FUNDS - CASH BASIS OF ACCOUNTING  
FISCAL YEAR ENDED MARCH 31, 2020

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STATE OF NEW YORK  
OFFICE OF OPERATIONS  
DIVISION OF PAYROLL, ACCOUNTING AND REVENUE SERVICES  
BUREAU OF FINANCIAL REPORTING AND OIL SPILL REMEDIATION

**COMPTROLLER'S ANNUAL REPORT TO THE LEGISLATURE ON STATE FUNDS - CASH BASIS OF ACCOUNTING**  
**FISCAL YEAR ENDED MARCH 31, 2020**

**GLOSSARY OF ABBREVIATIONS**

ACTION	
ARRA	Accelerated Capacity and Transportation Improvements of the Nineties
CIO	American Recovery and Reinvestment Act of 2009
COPs	Chief Information Officer
CUNY	Certificates of Participation
CUTRA	City University of New York
CW/CA	City University Tuition Reimbursement Account
DASNY	Clean Water / Clean Air
DDSO	Dormitory Authority of the State of New York
DEC	Developmental Disabilities Services Office
DOCCS	Department of Environmental Conservation
DOH	Department of Corrections and Community Supervision
DOL	Department of Health
DOT	Department of Labor
DSAS	Department of Transportation
ECET	Division of Substance Abuse Services
EFC	Employer Compensation Expense Tax
EHS	Environmental Facilities Corporation
ENCON	Employee Health Services
EPIC	Department of Environmental Conservation
ERDA	Elderly Pharmaceutical Insurance Coverage
FBI	Energy Research and Development Authority
HAF	Federal Bureau of Investigation
HCR	Housing Assistance Fund
HCRA	Housing and Community Renewal
HESC	Health Care Reform Act
HFA	Higher Education Services Corporation
HHC	Housing Finance Agency
HOOCs	Health and Hospitals Corporation
HSC	Highway Oversize Overweight Credentialing System
IOLA	Health Science Center
IT	Interest on Lawyer Account
LGAC	Information Technology
LGATF	New York Local Government Assistance Corporation
LLC	Local Government Assistance Tax Fund
MAC	Limited Liability Company
MBBA	Municipal Assistance Corporation
MCTD	Municipal Bond Bank Agency
MMIS	Metropolitan Commuter Transportation District
	Medicaid Management Information System

STATE OF NEW YORK  
OFFICE OF OPERATIONS  
DIVISION OF PAYROLL, ACCOUNTING AND REVENUE SERVICES  
BUREAU OF FINANCIAL REPORTING AND OIL SPILL REMEDIATION

COMPTROLLER'S ANNUAL REPORT TO THE LEGISLATURE ON STATE FUNDS - CASH BASIS OF ACCOUNTING  
FISCAL YEAR ENDED MARCH 31, 2020

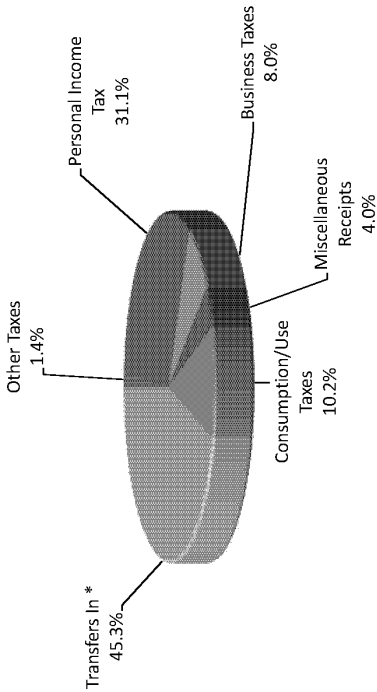
GLOSSARY OF ABBREVIATIONS

MSA	Master Settlement Agreement
MTA	Metropolitan Transportation Authority
NYC	New York City
NYCCC	New York City County Clerk
NYT	New York Network
OASAS	Office of Addiction Services and Supports
OCT	Office of Counter Terrorism
OGS	Office of General Services
OMH	Office of Mental Health
OPWDD	Office for People with Developmental Disabilities
OSC	Office of the State Comptroller
OSHA	Occupational Safety and Health Administration
PASNY	Power Authority of the State of New York
PIT	Personal Income Tax
RBTF	Revenue Bond Tax Fund
SPARCS	Statewide Planning and Research Cooperative System
SSI	Supplemental Security Income
SSP	New York State Supplement Program
STAR	School Tax Relief
STARC	Sales Tax Asset Receivable Corporation
STIP	Short-Term Investment Pool
STRBTF	Sales Tax Revenue Bond Tax Fund
SUNY	State University of New York
TFA	Transitional Finance Authority
UDC	Urban Development Corporation
UI	Unemployment Insurance
USDA	United States Department of Agriculture
VLT	Video Lottery Terminal
WIC	Women, Infants and Children Program

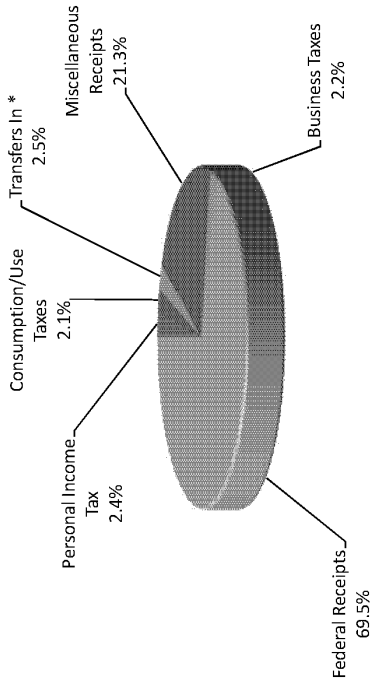


GOVERNMENTAL FUNDS - RECEIPTS  
FISCAL YEAR ENDED MARCH 31, 2020

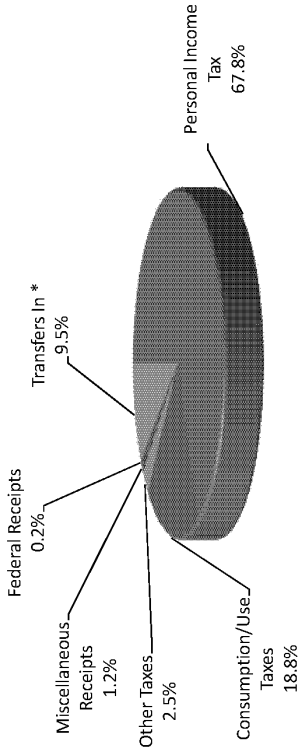
GENERAL



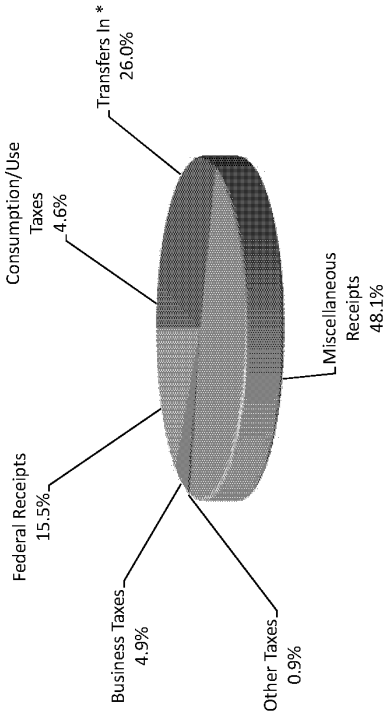
SPECIAL REVENUE



DEBT SERVICE

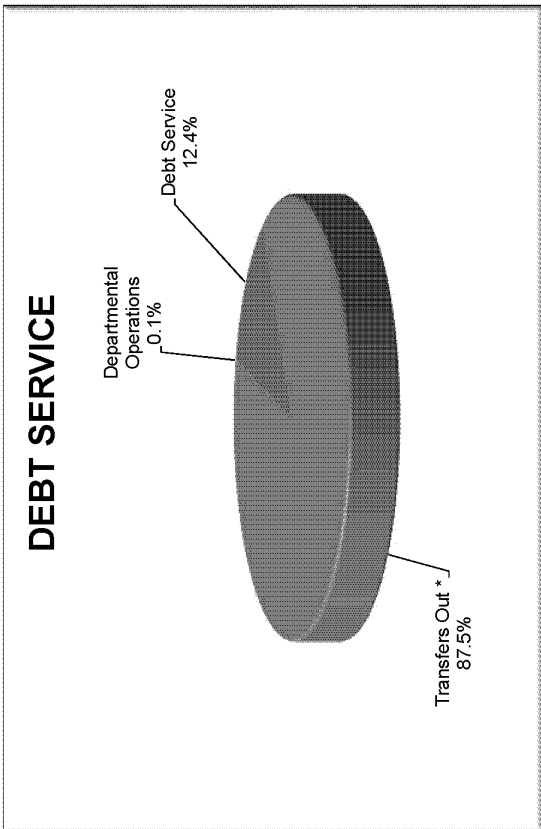
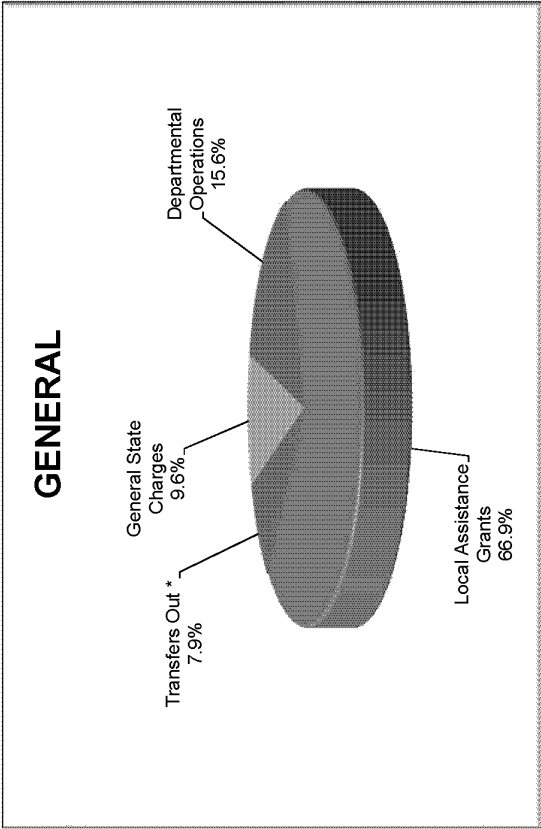
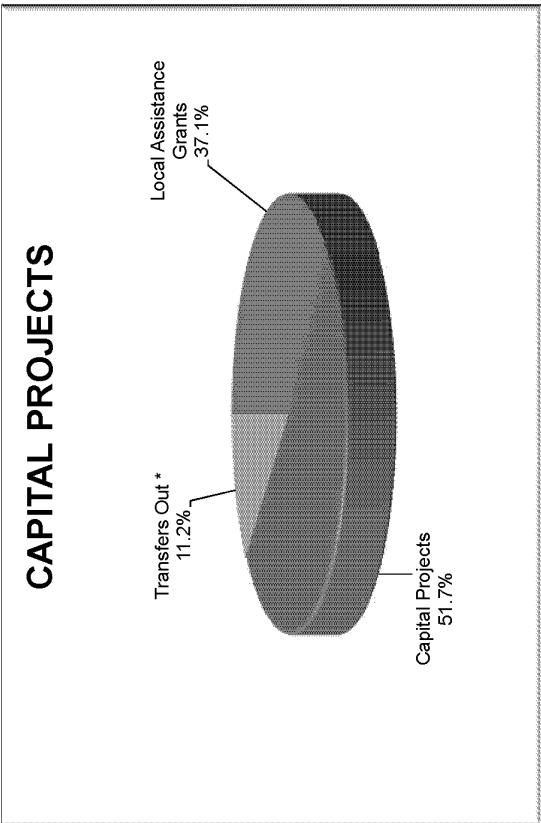
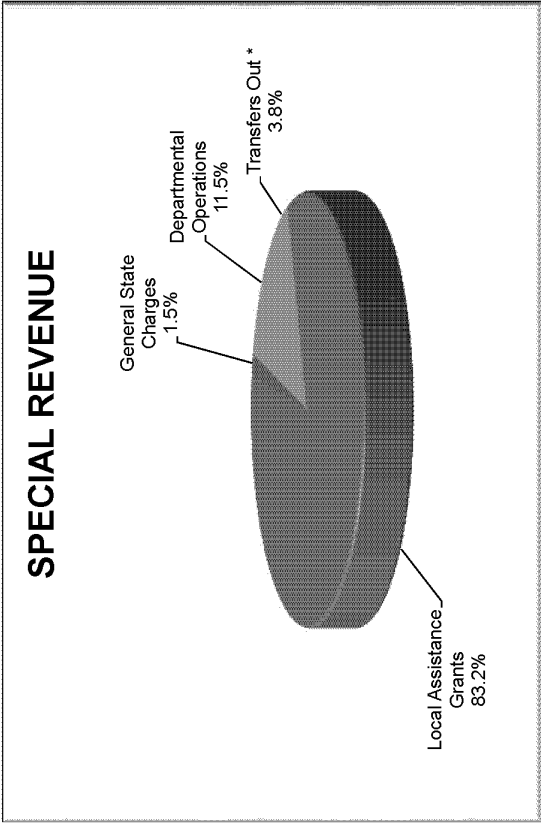


CAPITAL PROJECTS



\*Transfers from Other Funds

GOVERNMENTAL FUNDS - DISBURSEMENTS  
FISCAL YEAR ENDED MARCH 31, 2020



\*Transfers to Other Funds

**STATE OF NEW YORK  
GOVERNMENTAL FUNDS  
COMBINED STATEMENT OF CASH RECEIPTS,  
DISBURSEMENTS AND CHANGES IN FUND BALANCES  
FISCAL YEAR ENDED MARCH 31, 2020**  
(amounts in thousands)

EXHIBIT A

	GENERAL	SPECIAL REVENUE	DEBT SERVICE	CAPITAL PROJECTS	TOTAL GOVERNMENTAL FUNDS	
					2019-20	2018-19
<b>RECEIPTS:</b>						
Personal Income Tax.....	\$ 24,846,013	\$ 2,183,688	\$ 26,829,701	\$ -	\$ 53,659,402	\$ 48,087,389
Consumption/Use Taxes .....	8,037,776	1,915,707	7,436,516	631,986	18,021,985	17,356,314
Business Taxes.....	6,369,844	1,959,609	-	666,364	8,995,817	7,912,088
Other Taxes.....	1,087,102	-	1,005,663	119,100	2,211,865	2,221,531
Miscellaneous Receipts.....	3,159,259	19,279,357	476,948	6,550,808	29,466,372	31,184,690
Federal Receipts.....	285	62,896,868	73,769	2,109,103	65,080,025	61,344,353
<b>Total Receipts.....</b>	<b>43,300,279</b>	<b>88,235,229</b>	<b>35,822,597</b>	<b>10,077,351</b>	<b>177,435,466</b>	<b>168,106,365</b>
<b>DISBURSEMENTS:</b>						
Local Assistance Grants:						
Education.....	28,101,508	9,569,236	-	175,690	37,846,434	37,838,681
Environment and Recreation.....	3,182	5,790	-	417,060	426,032	437,205
General Government.....	1,048,889	273,030	-	850,047	2,171,966	2,256,612
Public Health:						
Medicaid.....	17,566,451	45,924,012	-	-	63,490,463	59,753,089
Other Public Health .....	2,366,621	7,526,751	-	555,414	10,448,786	10,375,088
Public Safety .....	176,821	1,580,165	-	96,784	1,853,770	1,593,457
Public Welfare .....	2,318,853	4,861,594	-	413,057	7,593,504	8,076,392
Support and Regulate Business .....	170,772	64,451	-	879,044	1,114,267	1,328,131
Transportation.....	110,219	3,437,053	-	1,625,915	5,173,187	5,773,123
<b>Total Local Assistance Grants.....</b>	<b>51,863,316</b>	<b>73,242,082</b>	<b>-</b>	<b>5,013,011</b>	<b>130,118,409</b>	<b>127,431,778</b>
Departmental Operations:						
Personal Service.....	8,939,781	5,786,723	-	-	14,726,504	14,324,727
Non-Personal Service.....	3,113,937	4,326,921	36,272	-	7,477,130	6,764,020
General State Charges.....	7,453,706	1,303,087	-	-	8,756,793	8,624,718
Debt Service, Including Payments on Financing Agreements.....	-	-	4,916,091	-	4,916,091	6,698,565
Capital Projects.....	-	-	-	6,985,439	6,985,439	7,031,248
<b>Total Disbursements.....</b>	<b>71,370,740</b>	<b>84,658,813</b>	<b>4,952,363</b>	<b>11,998,450</b>	<b>172,980,366</b>	<b>170,875,056</b>
<b>Excess (Deficiency) of Receipts over Disbursements.....</b>	<b>(28,070,461)</b>	<b>3,576,416</b>	<b>30,870,234</b>	<b>(1,921,089)</b>	<b>4,455,100</b>	<b>(2,768,691)</b>
<b>OTHER FINANCING SOURCES (USES):</b>						
Bond and Note Proceeds, net.....	-	-	-	-	-	132,900
Transfers from Other Funds.....	35,906,769	2,269,197	3,742,214	3,546,520	45,464,700	38,730,322
Transfers to Other Funds.....	(6,097,816)	(3,375,962)	(34,613,840)	(1,522,454)	(45,610,072)	(38,868,505)
<b>Total Other Financing Sources (Uses).....</b>	<b>29,808,953</b>	<b>(1,106,765)</b>	<b>(30,871,626)</b>	<b>2,024,066</b>	<b>(145,372)</b>	<b>(5,283)</b>
<b>Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses.....</b>	<b>1,738,492</b>	<b>2,469,651</b>	<b>(1,392)</b>	<b>102,977</b>	<b>4,309,728</b>	<b>(2,773,974)</b>
<b>Fund Balances (Deficits) at April 1.....</b>	<b>7,205,733</b>	<b>3,842,405</b>	<b>64,780</b>	<b>(1,137,904)</b>	<b>9,975,014</b>	<b>12,748,988</b>
<b>Fund Balances (Deficits) at March 31.....</b>	<b>\$ 8,944,225</b>	<b>\$ 6,312,056</b>	<b>\$ 63,388</b>	<b>\$ (1,034,927)</b>	<b>\$ 14,284,742</b>	<b>\$ 9,975,014</b>

See Accompanying Notes

**STATE OF NEW YORK  
GOVERNMENTAL FUNDS - STATE OPERATING  
COMBINED STATEMENT OF CASH RECEIPTS,  
DISBURSEMENTS AND CHANGES IN FUND BALANCES  
FISCAL YEAR ENDED MARCH 31, 2020**  
(amounts in thousands)

**EXHIBIT A  
SUPPLEMENTAL**

	GENERAL	STATE SPECIAL REVENUE	DEBT SERVICE	TOTAL STATE OPERATING FUNDS 2019-20	2018-19
<b>RECEIPTS:</b>					
Personal Income Tax.....	\$ 24,646,013	\$ 2,183,688	\$ 26,829,701	\$ 53,659,402	\$ 48,087,389
Consumption/Use Taxes.....	8,037,776	1,915,707	7,436,516	17,389,999	16,711,610
Business Taxes.....	6,369,844	1,959,609	-	8,329,453	7,242,419
Other Taxes.....	1,087,102	-	1,005,663	2,092,765	2,102,431
Miscellaneous Receipts.....	3,159,259	19,064,356	476,948	22,700,563	23,485,540
Federal Receipts.....	285	(12,890)	73,769	61,164	72,646
<b>Total Receipts.....</b>	<b>43,300,279</b>	<b>25,110,470</b>	<b>35,822,597</b>	<b>104,233,346</b>	<b>97,702,035</b>
<b>DISBURSEMENTS:</b>					
Local Assistance Grants:					
Education.....	28,101,508	6,040,755	-	34,142,263	33,845,340
Environment and Recreation.....	3,182	4,629	-	7,811	7,913
General Government.....	1,048,889	219,040	-	1,267,929	1,180,807
Public Health:					
Medicaid.....	17,566,451	5,674,673	-	23,241,124	20,371,950
Other Public Health.....	2,366,621	1,224,402	-	3,591,023	3,436,703
Public Safety.....	176,821	186,836	-	363,657	379,250
Public Welfare.....	2,318,853	4,078	-	2,322,931	2,783,464
Support and Regulate Business.....	170,772	56,487	-	227,259	233,146
Transportation.....	110,219	3,378,077	-	3,488,296	3,938,202
<b>Total Local Assistance Grants.....</b>	<b>51,863,316</b>	<b>16,788,977</b>	<b>-</b>	<b>68,652,293</b>	<b>66,176,775</b>
Departmental Operations:					
Personal Service.....	8,999,781	5,149,895	-	14,089,676	13,687,315
Non-Personal Service.....	3,113,937	2,928,017	36,272	6,078,226	5,370,250
General State Charges.....	7,453,706	969,492	-	8,423,198	8,203,826
Debt Service, Including Payments on Financing Agreements.....	-	-	4,916,091	4,916,091	6,698,565
<b>Total Disbursements.....</b>	<b>71,370,740</b>	<b>25,836,381</b>	<b>4,952,363</b>	<b>102,159,484</b>	<b>100,136,731</b>
<b>Excess (Deficiency) of Receipts over Disbursements.....</b>	<b>(28,070,461)</b>	<b>(725,911)</b>	<b>30,870,234</b>	<b>2,073,862</b>	<b>(2,434,696)</b>
<b>OTHER FINANCING SOURCES (USES):</b>					
Transfers from Other Funds.....	35,906,769	2,779,512	3,742,214	42,428,495	37,127,642
Transfers to Other Funds.....	(6,097,816)	(1,743,686)	(34,613,840)	(42,455,322)	(35,938,285)
<b>Total Other Financing Sources (Uses).....</b>	<b>29,808,953</b>	<b>1,035,826</b>	<b>(30,871,626)</b>	<b>(26,827)</b>	<b>1,189,357</b>
<b>Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses.....</b>	<b>1,738,492</b>	<b>309,935</b>	<b>(1,392)</b>	<b>2,047,035</b>	<b>(1,245,339)</b>
<b>Fund Balances at April 1.....</b>	<b>7,205,733</b>	<b>5,090,754</b>	<b>64,780</b>	<b>12,361,267</b>	<b>13,606,606</b>
<b>Fund Balances at March 31.....</b>	<b>\$ 8,944,225</b>	<b>\$ 5,400,689</b>	<b>\$ 63,388</b>	<b>\$ 14,408,302</b>	<b>\$ 12,361,267</b>

See Accompanying Notes

STATE OF NEW YORK PROPRIETARY FUNDS COMBINED STATEMENT OF CASH RECEIPTS, DISBURSEMENTS AND CHANGES IN FUND BALANCES FISCAL YEAR ENDED MARCH 31, 2020 (amounts in thousands)		EXHIBIT B	
	ENTERPRISE	INTERNAL SERVICE	TOTAL PROPRIETARY FUNDS
		2019-20	2018-19
<b>RECEIPTS:</b>			
Miscellaneous Receipts.....	\$ 80,756	\$ 589,252	\$ 592,722
Federal Receipts.....	16,826	-	15,146
Unemployment Taxes.....	2,284,671	-	2,013,564
<b>Total Receipts.....</b>	<b>2,382,253</b>	<b>589,252</b>	<b>2,621,432</b>
<b>DISBURSEMENTS:</b>			
Departmental Operations:			
Personal Service.....	17,144	126,191	115,691
Non-Personal Service.....	57,502	489,238	522,055
General State Charges.....	1,645	56,268	74,844
Unemployment Benefits.....	2,303,371	-	2,027,828
<b>Total Disbursements.....</b>	<b>2,379,662</b>	<b>671,697</b>	<b>2,740,418</b>
<b>Excess (Deficiency) of Receipts over Disbursements.....</b>	<b>2,591</b>	<b>(82,445)</b>	<b>(118,986)</b>
<b>OTHER FINANCING SOURCES (USES):</b>			
Transfers from Other Funds.....	500	109,639	115,957
Transfers to Other Funds.....	-	(22,045)	(28,458)
<b>Total Other Financing Sources (Uses).....</b>	<b>500</b>	<b>87,594</b>	<b>87,499</b>
<b>Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses.....</b>	<b>3,091</b>	<b>5,149</b>	<b>(31,487)</b>
<b>Fund Balances (Deficits) at April 1.....</b>	<b>26,586</b>	<b>(302,672)</b>	<b>(244,599)</b>
<b>Fund Balances (Deficits) at March 31.....</b>	<b>\$ 29,677</b>	<b>\$ (297,523)</b>	<b>\$ (276,086)</b>
See Accompanying Notes			

STATE OF NEW YORK		EXHIBIT C	
TRUST FUNDS			
COMBINED STATEMENT OF CASH RECEIPTS, DISBURSEMENTS AND CHANGES IN FUND BALANCES			
FISCAL YEAR ENDED MARCH 31, 2020			
(amounts in thousands)			
		TOTAL TRUST FUNDS	
		2019-20	2018-19
<b>RECEIPTS:</b>			
Miscellaneous Receipts.....	\$ 145,216	\$ 146,703	\$ 145,522
<b>Total Receipts.....</b>	<b>145,216</b>	<b>146,703</b>	<b>145,522</b>
<b>DISBURSEMENTS:</b>			
Departmental Operations:			
Personal Service.....	70,425	70,645	69,421
Non-Personal Service.....	34,420	34,429	31,285
General State Charges.....	38,545	38,686	44,487
<b>Total Disbursements.....</b>	<b>143,390</b>	<b>143,760</b>	<b>145,193</b>
Excess (Deficiency) of Receipts over Disbursements.....	1,826	2,943	329
Fund Balances (Deficits) at April 1.....	(2,971)	10,205	9,876
Fund Balances (Deficits) at March 31.....	\$ (1,145)	\$ 13,148	\$ 10,205
See Accompanying Notes			

STATE OF NEW YORK  
GOVERNMENTAL FUNDS  
BUDGETARY BASIS REPORT - FINANCIAL PLAN AND ACTUAL - GENERAL FUND  
COMBINED STATEMENT OF CASH RECEIPTS, DISBURSEMENTS  
AND CHANGES IN FUND BALANCES  
FISCAL YEAR ENDED MARCH 31, 2020  
(amounts in thousands)

EXHIBIT D

	Financial Plan Amounts			Actual	Final to Actual Over/ (Under) Variance
	Original	Mid-Year	Final		
<b>RECEIPTS:</b>					
Personal Income Tax.....	\$ 23,899,000	\$ 23,899,000	\$ 24,333,000	\$ 24,646,000	\$ 313,000
Consumption/Use Taxes.....	8,209,000	8,209,000	8,123,000	8,037,800	(85,200)
Business Taxes.....	6,104,000	6,077,000	6,400,000	6,369,800	(30,200)
Other Taxes.....	1,113,000	1,113,000	1,112,000	1,087,100	(24,900)
Miscellaneous Receipts.....	2,857,000	2,904,000	2,979,000	3,159,300	180,300
Federal Receipts.....	-	-	-	300	300
Transfers from Other Funds:					
PIT / ECET in excess of Revenue Bond Debt Service.....	24,636,000	24,654,000	24,918,000	25,862,400	944,400
Sales Tax in excess of LGAC/STRBTF Debt Service.....	6,426,000	6,426,000	6,435,000	6,178,500	(256,500)
Real Estate Taxes in excess of CW/CA Debt Service.....	973,000	973,000	952,000	951,100	(900)
All Other.....	2,900,000	3,028,000	3,080,000	2,914,800	(165,200)
<b>Total Receipts.....</b>	<b>77,117,000</b>	<b>77,283,000</b>	<b>78,332,000</b>	<b>79,207,100</b>	<b>875,100</b>
<b>DISBURSEMENTS:</b>					
Local Assistance Grants.....	52,100,000	54,028,000	53,573,000	51,863,300	(1,709,700)
Departmental Operations.....	11,911,000	11,913,000	11,738,000	12,053,800	315,800
General State Charges.....	7,716,000	7,667,000	7,626,000	7,453,700	(172,300)
Transfers to Other Funds:					
Debt Service.....	550,000	546,000	517,000	735,800	218,800
Capital Projects.....	3,191,000	3,019,000	3,182,000	3,128,100	(53,900)
State Share of Medicaid.....	-	-	-	316,000	316,000
SUNY Operations.....	1,185,000	1,185,000	1,185,000	1,179,400	(5,600)
Other Purposes.....	1,204,000	1,186,000	1,190,000	738,500	(451,500)
<b>Total Disbursements.....</b>	<b>77,857,000</b>	<b>79,644,000</b>	<b>79,011,000</b>	<b>77,468,600</b>	<b>(1,642,400)</b>
<b>Savings Plan.....</b>	<b>-</b>	<b>(1,782,000)</b>	<b>-</b>	<b>-</b>	<b>-</b>
<b>Excess (Deficiency) of Receipts over Disbursements.....</b>	<b>(740,000)</b>	<b>(479,000)</b>	<b>(679,000)</b>	<b>1,738,500</b>	<b>2,417,500</b>
<b>Fund Balances (Deficits) at April 1.....</b>	<b>7,206,000</b>	<b>7,206,000</b>	<b>7,206,000</b>	<b>7,206,700</b>	<b>(300)</b>
<b>Fund Balances (Deficits) at March 31.....</b>	<b>\$ 6,466,000</b>	<b>\$ 6,727,000</b>	<b>\$ 6,527,000</b>	<b>\$ 8,944,200</b>	<b>\$ 2,417,200</b>

See Accompanying Notes

STATE OF NEW YORK  
 GOVERNMENTAL FUNDS  
 BUDGETARY BASIS REPORT - FINANCIAL PLAN AND ACTUAL - SPECIAL REVENUE  
 COMBINED STATEMENT OF CASH RECEIPTS, DISBURSEMENTS  
 AND CHANGES IN FUND BALANCES  
 FISCAL YEAR ENDED MARCH 31, 2020  
 (amounts in thousands)

EXHIBIT D  
(continued)

	Financial Plan Amounts			Actual	Eliminations Actual	Total Actual	Final to Actual Over/ (Under) Variance
	Original	Mid-Year	Final				
<b>RECEIPTS:</b>							
Personal Income Tax.....	\$ 2,176,000	\$ 2,176,000	\$ 2,176,000	\$ 2,183,700	\$ -	\$ 2,183,700	\$ 7,700
Consumption/Use Taxes.....	1,895,000	1,895,000	1,877,000	1,915,700	-	1,915,700	38,700
Business Taxes.....	1,816,000	1,811,000	1,908,000	1,959,600	-	1,959,600	51,600
Other Taxes.....	-	-	-	-	-	-	-
Miscellaneous Receipts.....	17,909,000	18,391,000	18,601,000	19,279,400	-	19,279,400	678,400
Federal Receipts.....	62,491,000	60,013,000	63,859,000	62,896,900	-	62,896,900	(962,100)
Transfers from Other Funds.....	2,385,000	2,385,000	2,387,000	2,779,500	(510,300)	2,269,200	(117,800)
<b>Total Receipts.....</b>	<b>88,672,000</b>	<b>86,671,000</b>	<b>90,808,000</b>	<b>91,014,800</b>	<b>(510,300)</b>	<b>90,504,500</b>	<b>(303,500)</b>
<b>DISBURSEMENTS:</b>							
Local Assistance Grants.....	75,053,000	72,412,000	72,947,000	73,242,100	-	73,242,100	295,100
Departmental Operations.....	9,828,000	9,883,000	10,012,000	10,113,600	-	10,113,600	101,600
General State Charges.....	1,417,000	1,383,000	1,381,000	1,303,100	-	1,303,100	(77,900)
Capital Projects.....	-	-	-	-	-	-	-
Transfers to Other Funds.....	3,351,000	3,503,000	3,546,000	3,886,300	(510,300)	3,376,000	(170,000)
<b>Total Disbursements.....</b>	<b>89,649,000</b>	<b>87,181,000</b>	<b>87,886,000</b>	<b>88,545,100</b>	<b>(510,300)</b>	<b>88,034,800</b>	<b>148,800</b>
<b>Savings Plan.....</b>	<b>-</b>	<b>(327,000)</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>
<b>Excess (Deficiency) of Receipts over Disbursements.....</b>	<b>(977,000)</b>	<b>(183,000)</b>	<b>2,922,000</b>	<b>2,469,700</b>	<b>-</b>	<b>2,469,700</b>	<b>(452,300)</b>
<b>Fund Balances (Deficits) at April 1.....</b>	<b>3,842,000</b>	<b>3,842,000</b>	<b>3,842,000</b>	<b>3,842,400</b>	<b>-</b>	<b>3,842,400</b>	<b>400</b>
<b>Fund Balances (Deficits) at March 31.....</b>	<b>\$ 2,865,000</b>	<b>\$ 3,659,000</b>	<b>\$ 6,764,000</b>	<b>\$ 6,312,100</b>	<b>\$ -</b>	<b>\$ 6,312,100</b>	<b>\$ (451,900)</b>

See Accompanying Notes



**STATE OF NEW YORK  
GOVERNMENTAL FUNDS  
BUDGETARY BASIS REPORT - FINANCIAL PLAN AND ACTUAL - SPECIAL REVENUE - STATE  
COMBINED STATEMENT OF CASH RECEIPTS, DISBURSEMENTS  
AND CHANGES IN FUND BALANCES  
FISCAL YEAR ENDED MARCH 31, 2020**  
(amounts in thousands)

**EXHIBIT D**  
(continued)

	Financial Plan Amounts			Actual	Final to Actual Over/ (Under) Variance
	Original	Mid-Year	Final		
<b>RECEIPTS:</b>					
Personal Income Tax.....	\$ 2,176,000	\$ 2,176,000	\$ 2,176,000	\$ 2,183,700	\$ 7,700
Consumption/Use Taxes.....	1,895,000	1,895,000	1,877,000	1,915,700	38,700
Business Taxes.....	1,816,000	1,811,000	1,908,000	1,959,600	51,600
Other Taxes.....	-	-	-	-	-
Miscellaneous Receipts.....	17,707,000	18,189,000	18,377,000	19,064,400	687,400
Federal Receipts.....	1,000	1,000	1,000	(12,900)	(13,900)
Transfers from Other Funds.....	2,373,000	2,373,000	2,375,000	2,779,500	404,500
<b>Total Receipts.....</b>	<b>25,968,000</b>	<b>26,445,000</b>	<b>26,714,000</b>	<b>27,890,000</b>	<b>1,176,000</b>
<b>DISBURSEMENTS:</b>					
Local Assistance Grants.....	16,371,000	16,598,000	16,751,000	16,789,000	38,000
Departmental Operations.....	7,727,000	7,806,000	7,935,000	8,077,900	142,900
General State Charges.....	1,080,000	1,058,000	1,055,000	969,500	(85,500)
Capital Projects.....	-	-	-	-	-
Transfers to Other Funds.....	1,360,000	1,309,000	1,322,000	1,743,700	421,700
<b>Total Disbursements.....</b>	<b>26,538,000</b>	<b>26,771,000</b>	<b>27,063,000</b>	<b>27,580,100</b>	<b>517,100</b>
Savings Plan.....	-	(327,000)	-	-	-
<b>Excess (Deficiency) of Receipts over Disbursements.....</b>	<b>(570,000)</b>	<b>1,000</b>	<b>(349,000)</b>	<b>309,900</b>	<b>658,900</b>
<b>Fund Balances (Deficits) at April 1.....</b>	<b>5,091,000</b>	<b>5,091,000</b>	<b>5,091,000</b>	<b>5,090,800</b>	<b>(200)</b>
<b>Fund Balances (Deficits) at March 31.....</b>	<b>\$ 4,521,000</b>	<b>\$ 5,092,000</b>	<b>\$ 4,742,000</b>	<b>\$ 5,400,700</b>	<b>\$ 658,700</b>

**STATE OF NEW YORK**  
**GOVERNMENTAL FUNDS**  
**BUDGETARY BASIS REPORT - FINANCIAL PLAN AND ACTUAL - SPECIAL REVENUE - FEDERAL**  
**COMBINED STATEMENT OF CASH RECEIPTS, DISBURSEMENTS**  
**AND CHANGES IN FUND BALANCES**  
**FISCAL YEAR ENDED MARCH 31, 2020**  
 (amounts in thousands)

EXHIBIT D  
(continued)

	Financial Plan Amounts			Actual	Final to Actual Over/ (Under) Variance
	Original	Mid-Year	Final		
<b>RECEIPTS:</b>					
Miscellaneous Receipts.....	\$ 202,000	\$ 202,000	\$ 224,000	\$ 215,000	\$ (9,000)
Federal Receipts.....	62,490,000	60,012,000	63,858,000	62,909,800	(948,200)
Transfers from Other Funds.....	12,000	12,000	12,000	-	(12,000)
<b>Total Receipts.....</b>	<b>62,704,000</b>	<b>60,226,000</b>	<b>64,094,000</b>	<b>63,124,800</b>	<b>(969,200)</b>
<b>DISBURSEMENTS:</b>					
Local Assistance Grants.....	58,682,000	55,814,000	56,196,000	56,453,100	257,100
Departmental Operations.....	2,101,000	2,077,000	2,077,000	2,035,700	(41,300)
General State Charges.....	337,000	325,000	326,000	333,600	7,600
Capital Projects.....	-	-	-	-	-
Transfers to Other Funds.....	1,991,000	2,194,000	2,224,000	2,142,600	(81,400)
<b>Total Disbursements.....</b>	<b>63,111,000</b>	<b>60,410,000</b>	<b>60,823,000</b>	<b>60,965,000</b>	<b>142,000</b>
<b>Excess (Deficiency) of Receipts over Disbursements.....</b>	<b>(407,000)</b>	<b>(184,000)</b>	<b>3,271,000</b>	<b>2,159,800</b>	<b>(1,111,200)</b>
<b>Fund Balances (Deficits) at April 1.....</b>	<b>(1,249,000)</b>	<b>(1,249,000)</b>	<b>(1,249,000)</b>	<b>(1,248,400)</b>	<b>600</b>
<b>Fund Balances (Deficits) at March 31.....</b>	<b>\$ (1,656,000)</b>	<b>\$ (1,433,000)</b>	<b>\$ 2,022,000</b>	<b>\$ 911,400</b>	<b>\$ (1,110,600)</b>

STATE OF NEW YORK  
 GOVERNMENTAL FUNDS  
 BUDGETARY BASIS REPORT - FINANCIAL PLAN AND ACTUAL - DEBT SERVICE  
 COMBINED STATEMENT OF CASH RECEIPTS, DISBURSEMENTS  
 AND CHANGES IN FUND BALANCES  
 FISCAL YEAR ENDED MARCH 31, 2020  
 (amounts in thousands)

EXHIBIT D  
(continued)

	Financial Plan Amounts			Actual	Final to Actual Over/ (Under) Variance
	Original	Mid-Year	Final		
<b>RECEIPTS:</b>					
Personal Income Tax.....	\$ 26,075,000	\$ 26,075,000	\$ 26,507,000	\$ 26,829,700	\$ 322,700
Consumption/Use Taxes.....	7,568,000	7,568,000	7,505,000	7,436,500	(68,500)
Other Taxes.....	1,030,000	1,030,000	1,009,000	1,005,700	(3,300)
Miscellaneous Receipts.....	394,000	374,000	404,000	476,900	72,900
Federal Receipts.....	74,000	74,000	74,000	73,800	(200)
Transfers from Other Funds.....	3,483,000	3,683,000	3,639,000	3,742,200	103,200
<b>Total Receipts.....</b>	<b>38,624,000</b>	<b>38,804,000</b>	<b>39,138,000</b>	<b>39,564,800</b>	<b>426,800</b>
<b>DISBURSEMENTS:</b>					
Departmental Operations.....	46,000	46,000	38,000	36,200	(1,800)
Debt Service.....	5,166,000	5,146,000	5,166,000	4,916,100	(249,900)
Transfers to Other Funds.....	33,430,000	33,619,000	33,908,000	34,613,900	705,900
<b>Total Disbursements.....</b>	<b>38,642,000</b>	<b>38,811,000</b>	<b>39,112,000</b>	<b>39,566,200</b>	<b>454,200</b>
<b>Excess (Deficiency) of Receipts over Disbursements.....</b>	<b>(18,000)</b>	<b>(7,000)</b>	<b>26,000</b>	<b>(1,400)</b>	<b>(27,400)</b>
<b>Fund Balances (Deficits) at April 1.....</b>	<b>65,000</b>	<b>65,000</b>	<b>65,000</b>	<b>64,800</b>	<b>(200)</b>
<b>Fund Balances (Deficits) at March 31.....</b>	<b>\$ 47,000</b>	<b>\$ 58,000</b>	<b>\$ 91,000</b>	<b>\$ 63,400</b>	<b>\$ (27,600)</b>

See Accompanying Notes

STATE OF NEW YORK  
GOVERNMENTAL FUNDS  
BUDGETARY BASIS REPORT - FINANCIAL PLAN AND ACTUAL - CAPITAL PROJECTS  
COMBINED STATEMENT OF CASH RECEIPTS, DISBURSEMENTS  
AND CHANGES IN FUND BALANCES  
FISCAL YEAR ENDED MARCH 31, 2020  
(amounts in thousands)

EXHIBIT D  
(continued)

	Financial Plan Amounts			Actual	Eliminations Actual	Total Actual	Final to Actual Over/ (Under) Variance
	Original	Mid-Year	Final				
<b>RECEIPTS:</b>							
Consumption/Use Taxes.....	\$ 636,000	\$ 636,000	\$ 643,000	\$ 632,000	\$ -	\$ 632,000	\$ (11,000)
Business Taxes.....	665,000	665,000	678,000	666,400	-	666,400	(11,600)
Other Taxes.....	119,000	119,000	119,000	119,100	-	119,100	100
Miscellaneous Receipts.....	7,853,000	8,124,000	7,717,000	6,550,800	-	6,550,800	(1,166,200)
Federal Receipts.....	2,229,000	2,229,000	2,229,000	2,109,000	-	2,109,000	(120,000)
Transfers from Other Funds.....	3,532,000	3,360,000	3,524,000	3,845,500	(299,000)	3,546,500	22,500
<b>Total Receipts.....</b>	<b>15,034,000</b>	<b>15,133,000</b>	<b>14,910,000</b>	<b>13,922,800</b>	<b>(299,000)</b>	<b>13,623,800</b>	<b>(1,286,200)</b>
<b>DISBURSEMENTS:</b>							
Local Assistance Grants.....	5,377,000	5,267,000	4,921,000	5,013,000	-	5,013,000	92,000
Capital Projects.....	8,413,000	8,545,000	8,507,000	6,985,400	-	6,985,400	(1,521,600)
Transfers to Other Funds.....	1,586,000	1,597,000	1,552,000	1,821,400	(299,000)	1,522,400	(29,600)
<b>Total Disbursements.....</b>	<b>15,376,000</b>	<b>15,409,000</b>	<b>14,980,000</b>	<b>13,819,800</b>	<b>(299,000)</b>	<b>13,520,800</b>	<b>(1,459,200)</b>
<b>Excess (Deficiency) of Receipts over Disbursements.....</b>	<b>(342,000)</b>	<b>(276,000)</b>	<b>(70,000)</b>	<b>103,000</b>	<b>-</b>	<b>103,000</b>	<b>173,000</b>
<b>OTHER FINANCING SOURCES (USES):</b>							
Bond and Note Proceeds, net.....	444,000	389,000	389,000	-	-	-	(389,000)
<b>Total Other Financing Sources (Uses).....</b>	<b>444,000</b>	<b>389,000</b>	<b>389,000</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>(389,000)</b>
<b>Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses.....</b>	<b>102,000</b>	<b>113,000</b>	<b>319,000</b>	<b>103,000</b>	<b>-</b>	<b>103,000</b>	<b>(216,000)</b>
<b>Fund Balances (Deficits) at April 1.....</b>	<b>(1,138,000)</b>	<b>(1,138,000)</b>	<b>(1,138,000)</b>	<b>(1,137,900)</b>	<b>-</b>	<b>(1,137,900)</b>	<b>100</b>
<b>Fund Balances (Deficits) at March 31.....</b>	<b>\$ (1,036,000)</b>	<b>\$ (1,025,000)</b>	<b>\$ (819,000)</b>	<b>\$ (1,034,900)</b>	<b>\$ -</b>	<b>\$ (1,034,900)</b>	<b>\$ (215,900)</b>

See Accompanying Notes

**STATE OF NEW YORK**  
**GOVERNMENTAL FUNDS**  
**BUDGETARY BASIS REPORT - FINANCIAL PLAN AND ACTUAL - CAPITAL PROJECTS - STATE**  
**COMBINED STATEMENT OF CASH RECEIPTS, DISBURSEMENTS**  
**AND CHANGES IN FUND BALANCES**  
**FISCAL YEAR ENDED MARCH 31, 2020**  
 (amounts in thousands)

**EXHIBIT D**  
 (continued)

	Financial Plan Amounts			Actual	Final to Actual Over/ (Under) Variance
	Original	Mid-Year	Final		
<b>RECEIPTS:</b>					
Consumption/Use Taxes.....	\$ 636,000	\$ 636,000	\$ 643,000	\$ 632,000	\$ (11,000)
Business Taxes.....	665,000	665,000	678,000	666,400	(11,600)
Other Taxes.....	119,000	119,000	119,000	119,100	100
Miscellaneous Receipts.....	7,853,000	8,124,000	7,717,000	6,549,700	(1,167,300)
Federal Receipts.....	5,000	5,000	5,000	4,600	(400)
Transfers from Other Funds.....	3,927,000	3,755,000	3,919,000	3,845,500	(73,500)
<b>Total Receipts.....</b>	<b>13,205,000</b>	<b>13,304,000</b>	<b>13,081,000</b>	<b>11,817,300</b>	<b>(1,263,700)</b>
<b>DISBURSEMENTS:</b>					
Local Assistance Grants.....	4,671,000	4,561,000	4,215,000	4,218,300	3,300
Capital Projects.....	7,318,000	7,450,000	7,412,000	5,915,700	(1,496,300)
Transfers to Other Funds.....	1,574,000	1,586,000	1,541,000	1,522,300	(18,700)
<b>Total Disbursements.....</b>	<b>13,563,000</b>	<b>13,597,000</b>	<b>13,168,000</b>	<b>11,656,300</b>	<b>(1,511,700)</b>
<b>Excess (Deficiency) of Receipts over Disbursements.....</b>	<b>(358,000)</b>	<b>(293,000)</b>	<b>(87,000)</b>	<b>161,000</b>	<b>248,000</b>
<b>OTHER FINANCING SOURCES (USES):</b>					
Bond and Note Proceeds, net.....	444,000	389,000	389,000	-	(389,000)
<b>Total Other Financing Sources (Uses).....</b>	<b>444,000</b>	<b>389,000</b>	<b>389,000</b>	<b>-</b>	<b>(389,000)</b>
<b>Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses.....</b>	<b>86,000</b>	<b>96,000</b>	<b>302,000</b>	<b>161,000</b>	<b>(141,000)</b>
<b>Fund Balances (Deficits) at April 1.....</b>	<b>(633,000)</b>	<b>(633,000)</b>	<b>(633,000)</b>	<b>(633,200)</b>	<b>(200)</b>
<b>Fund Balances (Deficits) at March 31.....</b>	<b>\$ (547,000)</b>	<b>\$ (537,000)</b>	<b>\$ (331,000)</b>	<b>\$ (472,200)</b>	<b>\$ (141,200)</b>

STATE OF NEW YORK GOVERNMENTAL FUNDS BUDGETARY BASIS REPORT - FINANCIAL PLAN AND ACTUAL - CAPITAL PROJECTS - FEDERAL COMBINED STATEMENT OF CASH RECEIPTS, DISBURSEMENTS AND CHANGES IN FUND BALANCES FISCAL YEAR ENDED MARCH 31, 2020 (amounts in thousands)					EXHIBIT D (continued)	
	Financial Plan Amounts			Actual	Final to Actual Over/ (Under) Variance	
	Original	Mid-Year	Final			
<b>RECEIPTS:</b>						
Miscellaneous Receipts.....	\$ -	\$ -	\$ -	\$ 1,100	\$ 1,100	
Federal Receipts.....	2,224,000	2,224,000	2,224,000	2,104,400	(119,600)	
Transfers from Other Funds.....	(395,000)	(395,000)	(395,000)	-	395,000	
<b>Total Receipts.....</b>	<b>1,829,000</b>	<b>1,829,000</b>	<b>1,829,000</b>	<b>2,105,500</b>	<b>276,500</b>	
<b>DISBURSEMENTS:</b>						
Local Assistance Grants.....	706,000	706,000	706,000	794,700	88,700	
Capital Projects.....	1,095,000	1,095,000	1,095,000	1,069,700	(25,300)	
Transfers to Other Funds.....	12,000	11,000	11,000	299,100	288,100	
<b>Total Disbursements.....</b>	<b>1,813,000</b>	<b>1,812,000</b>	<b>1,812,000</b>	<b>2,163,500</b>	<b>351,500</b>	
<b>Excess (Deficiency) of Receipts over Disbursements.....</b>	<b>16,000</b>	<b>17,000</b>	<b>17,000</b>	<b>(58,000)</b>	<b>(75,000)</b>	
<b>OTHER FINANCING SOURCES (USES):</b>						
Bond and Note Proceeds, net.....	-	-	-	-	-	
<b>Total Other Financing Sources (Uses).....</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	
<b>Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses.....</b>	<b>16,000</b>	<b>17,000</b>	<b>17,000</b>	<b>(58,000)</b>	<b>(75,000)</b>	
<b>Fund Balances (Deficits) at April 1.....</b>	<b>(505,000)</b>	<b>(505,000)</b>	<b>(505,000)</b>	<b>(504,700)</b>	<b>300</b>	
<b>Fund Balances (Deficits) at March 31.....</b>	<b>\$ (489,000)</b>	<b>\$ (488,000)</b>	<b>\$ (488,000)</b>	<b>\$ (562,700)</b>	<b>\$ (74,700)</b>	

## NOTES TO THE COMPTROLLER'S 2020 ANNUAL REPORT TO THE LEGISLATURE ON THE STATE FUNDS - CASH BASIS OF ACCOUNTING

### NOTE 1 - BASIS OF PRESENTATION

As set forth in State Finance Law, this report is prepared on a cash basis of accounting. Accounting and reporting of financial activity on a cash basis results in the recording of receipts at the time money or checks are deposited in the State Treasury and the recording of disbursements at the time a check is drawn, regardless of the fiscal period to which the receipts or disbursements relate. The State Financial Plan sets forth projections of receipts and disbursements in the governmental fund types based initially upon the recommendations in the Executive Budget. After the budget is enacted, the State Financial Plan is adjusted to reflect revenue measures, appropriation bills, and certain related bills enacted by the Legislature. The Financial Plan is updated quarterly, or more frequently when necessary, by the Division of the Budget.

### NOTE 2 - FUND TYPES

The State records its transactions in the following fund types:

#### Governmental Funds:

General - the major operating fund of the State. It accounts for all receipts that are not required by law to be deposited into another fund. The General Fund's income finances disbursements from the Local Assistance Account, the State Operations Account, the Contingency Reserve Fund, the Universal Pre-Kindergarten Reserve Fund, the Refund Reserve Account, the Fringe Benefit Escrow Account, and the Tobacco Revenue Guarantee Fund. Receipts in excess of General Fund requirements in the Local Assistance Account and State Operations Account are transferred to the Tax Stabilization Reserve Fund at year-end (see Schedule 27). Receipts retained in the Rainy Day Reserve Fund may only be used in an economic downturn or catastrophic event as defined in State Finance Law Section 92-cc.

Special Revenue - to account for State receipts of specific revenue sources (other than debt service or major capital projects) such as Federal grants, that are legally restricted to disbursements for specified purposes. These restrictions may be imposed by the State or Federal government.

Debt Service - to account for the accumulation of resources for, and the payment of principal and interest on general long-term debt and State debt under lease/purchase and contractual obligation financing agreements. Debt service in relation to general obligation debt and certain lease/purchase and contractual obligation payments that are paid from the General Debt Service Fund are funded by transfers from the General Fund. Also disbursed from Debt Service Funds are debt service related activities for the Health and Mental Hygiene facilities, for highway construction, reconstruction, reconditioning and preservation, and for certain local assistance payments made under contractual agreements with public authorities. Such activities are primarily funded by dedicated tax receipts and patient income.

Capital Projects - to account for resources used for the acquisition or construction of capital facilities. Capital assistance grants to local governments and advances for capital construction costs reimbursable by public authorities of the State, Federal or local governments are also accounted for in these funds. Financial resources are generated primarily from bond issuances, dedicated taxes and other revenues, reimbursement of advances, Federal grants and transfers from other State Funds.

#### State Operating Funds:

State Operating Funds - comprise the General Fund, State Special Revenue Funds supported by activities from dedicated revenue sources (including operating transfers from Federal Funds) and Debt Service Funds.

#### Proprietary Funds:

Enterprise - to account for activities for which a fee is charged to users for goods or services. Enterprise Funds include services provided where either the costs are intended to be recovered primarily through charges to users outside of the State entity, or where the potential exists for significant financing through user charges, even if the legislative intent is not to self-finance the service and instead subsidize it from general governmental resources.

Internal Service - to account for any activity that provides goods or services to other funds, other State departments or agencies of the primary government on a cost-reimbursement basis. Internal Service Funds should be used only if the reporting government is the predominant participant in the activity.

#### Fiduciary Funds:

Private Purpose Trust - to account for all other trust arrangements where the principal and income benefits individuals, private organizations or other governments.

Pension Trust - to account for the cash basis results of operations for the administrative portion of the State's Common Retirement Fund. It does not reflect investment activity, balances, or other assets available to this fund. In addition, pension contributions and payments to retirees are excluded, since these payments are not required to be appropriated.

Agency - to account for funds held by the State in a purely custodial capacity. Cash is held temporarily until disbursements are made to individuals, private organizations or other governmental units.



## NOTES TO THE COMPTROLLER'S 2020 ANNUAL REPORT TO THE LEGISLATURE ON THE STATE FUNDS - CASH BASIS OF ACCOUNTING

## NOTE 3 - DISBURSEMENT DESCRIPTIONS

The State's Cash report includes payments made pursuant to an appropriation, as well as non-appropriated payments from funds held in a fiduciary capacity.

Local Assistance Grants - this category includes payments to counties, cities, towns, villages, school districts, private schools and other local entities as well as certain financial assistance to, or on behalf of, individuals and nonprofit organizations. Schedule 7 contains further information relating to local assistance disbursements by program.

Departmental Operations - this category includes the payment of salaries and compensation for State employees, miscellaneous contractual payments, supplies and materials, travel, rentals and repairs, utilities, postage and shipping, printing, telephone, and other miscellaneous operating costs of State departments and agencies.

General State Charges - this category includes costs mandated either by statute, collective bargaining agreements or court order. Charges in this category include contributions to pension systems, the employer's share of social security contributions, employer contributions toward the cost of medical and dental insurance, workers' compensation and unemployment insurance, and contributions to union employee benefit funds which provide vision care and other services. Also included are fixed costs for State payments in lieu of taxes, as well as payments for local assessments on State-owned land, judgments against the State pursuant to the Court of Claims Act, defense(s) by private counsel or alternately, payments on behalf of State officers and employees in civil judicial proceedings.

Debt Service - this category includes debt service on long-term debt and payments on certain lease/purchase or contractual obligation contracts accounted for in Debt Service Funds (see Schedules 14 and 18). Under lease/purchase or contractual obligation financing arrangements, public authorities and certain municipalities have issued debt to finance the acquisition, construction or rehabilitation of State facilities or equipment and expect to receive rental or contractual payments from the State in an amount equal to the debt issued by the authority or municipality.

Capital Projects - this category includes payments made for the acquisition or construction of the State's capital facilities. Included in this category are planning, land acquisition, design, construction, engineering services, and equipment costs attributable to highways, parkways, rail preservation, outdoor recreation, and environmental conservation projects, as well as payments to local government units and public authorities to help finance highways, parkways, bridges, mass transportation, aviation, economic development, port development, community colleges, community and State mental hygiene buildings, outdoor recreational parks, correctional and State-assisted housing and environmental quality projects. Advances are made for capital construction costs reimbursable by public benefit corporations, the Federal or local governments, or from the proceeds of State bonds and note sales.

## NOTE 4 - OTHER FINANCING SOURCES (USES)

Bond Proceeds - this category includes proceeds from the sale of general obligation bonds. Schedule 14 provides an analysis of State debt activity during the fiscal year.

Operating Transfers - constitutes legally authorized transfers from a fund receiving revenues to a fund through which disbursements will ultimately be made.

The more significant General Fund transfers include transfers to the following Funds/Accounts (amounts in millions):

	\$
Banking Services	39.8
Batavia School for the Blind	0.9
Building Administration	9.5
Business Service Center	8.1
Centralized Technology Services	11.5
Certificates of Participation	9.6
Charter School Stimulus	4.8
Combined Expendable Trust	1.4
Correctional Facilities Capital Improvement	105.9
Correctional Industries Revolving	20.8
Court Facilities Incentive Aid	113.7
Dedicated Highway and Bridge Trust	397.5
Dedicated Infrastructure Investment	1,211.0
Dedicated Mass Transportation - (Non-MTA)	5.4
Dedicated Mass Transportation - Railroad	9.4
Dedicated Mass Transportation - Transit Authority	52.3
Environmental Protection	28.0
Federal Salary Sharing	2.0
General Debt Service	735.8
Hazardous Waste Oversight and Assistance	1.8
Health Insurance Revolving	7.9
Indigent Legal Services	22.1
Mass Transportation Financial Assistance	244.3
Mass Transportation Operating Assistance	39.8
Medical Marihuana Health Operating and Oversight	4.6
Neighborhood Works Project	1.0
New York City Central Business District Trust	112.5
New York City County Clerks' Operations Offset	3.2
Recruitment Incentive	2.1
Rome School for the Deaf	1.0
Spinal Cord Injury	8.5
State Capital Projects	1,384.0
State Housing Debt	1.5
State University Income	1,179.4
<b>Total</b>	<b>\$ 5,781.1</b>



# NOTES TO THE COMPTROLLER'S 2020 ANNUAL REPORT TO THE LEGISLATURE ON THE STATE FUNDS - CASH BASIS OF ACCOUNTING

In addition to the amounts listed in the prior table, reported General Fund Transfers to Other Funds include transfers representing payments for patients residing in State-operated Health, Mental Hygiene and State University facilities to the Health Income Fund (\$10.3m) and the State University Income Fund (\$305.7m).

The Special Revenue Funds, Transfer to Other Funds include transfers to Debt Service Funds representing the federal share of Medicaid payments for patients residing in State-operated Health, SUNY, Education and Mental Hygiene facilities (\$1,453.8m), as well as transfers to the Revenue Bond Tax Fund (\$88.2m) and Medicaid Management Information System Escrow Fund (\$57.3m).

Additionally, Special Revenue Funds include Transfers to the General Fund from the following Funds/Accounts (amounts in millions):

Business and Licensing Services	\$	3.8
Chemical Dependence Service		101.3
Criminal Justice Improvement		22.1
ENCON Special Revenue		6.4
Federal Education		1.6
Federal Employment & Training Grants		2.0
Federal Health and Human Services		96.4
Federal Operating Grants		3.5
Federal USDA/Food and Nutrition Services		42.4
Fingerprint Identification Technology		15.6
Health Care Transformation		710.3
HESC Insurance Premium		11.4
Legal Services Assistance		17.1
Miscellaneous State Special Revenue		6.2
Motor Vehicle Theft and Insurance Fraud		1.4
MTA Operating Assistance		1.5
New York City Assessment Account		22.9
Parking Account		2.1
Professional Education Services		2.8
Public Safety Communication		28.3
Public Service		5.6
State Central Register		3.5
State Lottery		5.5
State Police Motor Vehicle Law Enforcement		112.4
State University Income		54.1
Surplus Property		3.0

System and Technology	5.3
Training and Education Program on OSHA	2.3
Transportation Surplus Property	1.8
Unemployment Insurance Administration	31.0
Unemployment Insurance Interest and Penalty	11.6
Vital Records Management	2.7
Workers' Compensation Board	12.3
<b>Total</b>	<b>\$ 1,350.2</b>

Also included in Special Revenue Funds transfers are transfers to finance capital projects in the State Capital Projects Fund (\$205.6m), the State University Capital Projects Fund (\$79.9m), the Hazardous Waste Remedial Fund (\$11.9m), the SUNY Residence Halls Rehabilitation and Repair Fund (\$59.5m), and the Miscellaneous Capital Projects Fund (\$61.2m).

Debt Service Funds, Transfers to Other Funds include transfers to the General Fund from the following funds (amounts in millions):

Clean Water/Clean Air	\$	951.1
Local Government Assistance Tax		3,416.6
Mental Health Services		1,466.4
Revenue Bond Tax		25,862.4
Sales Tax Revenue Bond Tax		2,761.9
<b>Total</b>	<b>\$</b>	<b>34,458.4</b>

Also included in the Debt Service Funds transfers are transfers to Special Revenue Funds representing receipts in excess of lease/purchase obligations that are used to finance a portion of the operating expenses for the Department of Health (\$155.4m).

Capital Projects Funds, Transfers to Other Funds include transfers to the General Fund from the following funds (amounts in millions):

Dedicated Highway and Bridge Trust	\$	63.8
Federal Capital Projects		0.1
Hazardous Waste Remedial		22.5
<b>Total</b>	<b>\$</b>	<b>86.4</b>

Also included in Capital Projects Funds transfers are transfers to the General Debt Service Fund - Lease Purchase (\$471.8m) and the Revenue Bond Tax Fund (\$964.3m).

## NOTES TO THE COMPTROLLER'S 2020 ANNUAL REPORT TO THE LEGISLATURE ON THE STATE FUNDS - CASH BASIS OF ACCOUNTING

Transfer Eliminations:

The Special Revenue Funds and Capital Project Funds include transfers of resources between Federal and State accounts within each fund group. To avoid recording spending twice, initially as a transfer of resources to another account and subsequently when payments are made, these transfers are eliminated in these funds.

**NOTE 5 - FUTURE DEBT SERVICE REQUIREMENTS**

Future debt service payments reflect gross principal and interest due to bondholders as of March 31, 2020. Actual amounts paid by the State may vary from these estimates, due to offsetting interest earnings, actual variable rate results, related expenses and future bond sales conducted between the fiscal year end date and the date the Annual Report was released.

Schedules 17 and 19 provide a complete detail of future debt service requirements.

**NOTE 6 - CAPITAL PROJECTS REIMBURSED DISBURSEMENTS**

Disbursements from Capital Projects Funds are financed by operating transfers from other funds, proceeds from State bonds and notes, and reimbursements received from public authorities and the Federal Government. The following is a list of capital projects spending by agency and sources of financing (amounts in millions):

Source of Financing	2019-20	Percentage of Spending Supported By:
Dedicated Taxes	\$ 119.1	0.99%
Federal Funds	2,109.1	17.58%
Non-Public Authority Receipts	863.7	7.20%
Public Authority Financing	5,463.0	45.53%
Short-Term Investment Pool Loans	(103.0)	-0.85%
General Obligation Debt	-	0.00%
Tax Transfers from General Fund	1,384.0	11.53%
Transfers from Other Funds	2,162.5	18.02%
<b>Total</b>	<b>\$ 11,998.4</b>	<b>100.00%</b>

Capital Projects Spending	2019-20
Addition Services and Supports	\$ 31.9
Agriculture and Markets	68.7
Children and Family Services	22.7
City University of New York	36.9
Corrections and Community Supervision	412.4
Dormitory Authority	188.5
Education	178.5
Energy Research and Development Authority	24.0
Environmental Conservation and Parks	1,006.1
Health	624.9
Homeland Security and Emergency Services	88.3
Housing and Community Renewal	360.1
Mental Hygiene	386.3
Metropolitan Transportation Authority	606.5
Military and Naval Affairs	125.4
Motor Vehicle (Operating Expense)	262.8
New York State Thruway Authority	328.5
Office of General Services	176.9
Other	328.5
State	32.2
State Police	49.3
State University	1,004.0
Temporary and Disability Assistance	74.6
Transportation	4,397.1
UDC (Empire State Development Corp)	1,185.3
<b>Total</b>	<b>\$ 11,998.4</b>

## NOTES TO THE COMPTROLLER'S 2020 ANNUAL REPORT TO THE LEGISLATURE ON THE STATE FUNDS - CASH BASIS OF ACCOUNTING

### NOTE 8 - EXTRAORDINARY MONETARY SETTLEMENTS

Since fiscal year 2015, the State has received a significant amount of Extraordinary Monetary Settlements related to violations of State laws by major financial institutions and other entities. The Refund Reserve Account balance includes Extraordinary Monetary Settlements the State has received. The Refund Reserve Account is reported in Exhibit A-1.

### NOTE 9 - TOBACCO MASTER SETTLEMENT AGREEMENT PROCEEDS

In fiscal year 2018, bonds secured by annual payments from tobacco manufacturers under the Master Settlement Agreement (MSA) were retired, with no remaining debt service requirements to be paid on these bonds. Legislation (Chapter 59, Laws of 2017) included in the fiscal year 2018 Enacted Budget directed these payments in certain instances be used to help defray costs of the State's takeover of certain Medicaid costs from counties and New York City. In fiscal year 2020, payments of \$321.4m received under the Master Settlement Agreement were deposited to the Medicaid Management Information System Escrow Fund and used to offset, without appropriation, the non-Federal share of Medicaid pursuant to the 2018 Enacted Budget.

The Comptroller certifies monthly, to the Division of the Budget, the Assembly Ways and Means Committee and the State Finance Committee, capital disbursements that are eligible to be reimbursed by Public Authority financing or State-issued General Obligation Debt.

The unreimbursed capital disbursements are financed in the first instance with General Fund resources or loans from the State's Short-Term Investment Pool. As reimbursements are received, the transfers and loans are repaid.

The amounts shown below represent disbursements as of March 31, 2020 and March 31, 2019, respectively, which are eligible to be reimbursed in the following fiscal year from the funding sources listed below (amounts in millions):

<b>Unreimbursed Balances</b>	
	<b>2019-20</b>
Dormitory Authority (Mental Hygiene)	\$ 355.8
Dormitory Authority and State University Income Fund	758.1
Federal Capital Projects	562.7
Housing Finance Agency (HFA)	216.3
Housing Assistance Fund (HAF)	12.9
State Bond and Note Proceeds	150.6
Urban Development Corporation (Correctional Facilities)	316.0
Urban Development Corporation (Youth Facilities)	21.2
<b>Total</b>	<b>\$ 2,393.6</b>
	<b>\$ 1,530.2</b>

### NOTE 7 - SCHOOL TAX RELIEF

A portion of Personal Income Tax receipts is transferred to the State Special Revenue - School Tax Relief (STAR) Fund and used to reimburse school districts for the STAR property tax exemptions for homeowners and payments to homeowners for the STAR Property Rebate program. School Tax Relief payments totaled \$2,183.7m for fiscal year 2019-20.

Schedule 2 provides additional detail regarding Personal Income Tax receipts.

## NOTES TO THE COMPTROLLER'S 2020 ANNUAL REPORT TO THE LEGISLATURE ON THE STATE FUNDS - CASH BASIS OF ACCOUNTING

## NOTE 10 - NEW YORK LOCAL GOVERNMENT ASSISTANCE TAX FUND

An amount equal to 25 percent of the State's sales tax, less refunds to taxpayers, is to be deposited in the Local Government Assistance Tax Fund (LGATF). The monies of such Fund are reserved for payment to the New York Local Government Assistance Corporation to enable it to meet principal and interest on its bonds.

Pursuant to Section 92-r(5) of the State Finance Law, monies in the LGATF in excess of debt service requirements and administrative expenses of the New York Local Government Assistance Corporation are required to be transferred to the General Fund.

Following is a summary of the transactions in the LGATF during Fiscal Years 2019-20 and 2018-19 (amounts in thousands):

	FY 2019-20												FISCAL YEAR TOTALS
	2019 April	May	June	July	August	September	October	November	December	2020 January	February	March	
Beginning Balance	\$ -	\$ 8,203	\$ 170,539	\$ -	\$ -	\$ 13,439	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 280,021	\$ -
Sales Tax Receipts	269,122	275,498	371,542	289,696	290,722	380,775	289,158	291,973	370,910	317,525	261,063	310,274	3,718,258
Interest Income	2	14	319	-	-	28	-	-	1	-	-	375	739
Total Receipts	269,124	275,512	371,861	289,696	290,722	380,803	289,158	291,973	370,911	317,525	261,063	310,649	3,718,997
Contractual Services	-	-	538	-	-	539	-	-	539	-	-	-	1,616
Debt Service	199	8,203	-	-	-	12,901	-	-	-	-	-	279,482	300,785
Transfer to General Fund for STAR/CMNYC Payment	-	-	170,000	-	-	-	-	-	-	-	-	-	170,000
Transfer to General Fund	260,722	104,973	371,862	289,696	277,283	380,802	289,158	291,973	370,372	317,525	(18,958)	311,188	3,246,596
Total Disbursements	260,921	113,176	542,400	289,696	277,283	394,242	289,158	291,973	370,911	317,525	(18,958)	590,670	3,718,997
Ending Balance	\$ 8,203	\$ 170,539	\$ -	\$ -	\$ 13,439	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 280,021	\$ -	\$ -

	FY 2018-19												FISCAL YEAR TOTALS
	2018 April	May	June	July	August	September	October	November	December	2019 January	February	March	
Beginning Balance	\$ -	\$ 1,870	\$ 46,154	\$ 1,810	\$ 2,051	\$ 25,886	\$ 1,870	\$ 1,931	\$ 2,404	\$ 1,810	\$ 1,689	\$ 398,346	\$ -
Sales Tax Receipts	251,395	263,259	361,961	275,043	273,944	354,854	269,206	274,884	360,473	286,804	242,725	322,242	3,536,790
Interest Income	1	2	25	3	2	47	3	2	3	4	3	665	760
Total Receipts	251,396	263,261	361,986	275,046	273,946	354,901	269,209	274,886	360,476	286,808	242,728	322,907	3,537,550
Contractual Services	-	-	714	-	-	594	-	-	-	-	-	-	1,308
Debt Service	353	722	451	275	163	23,271	367	613	545	369	-	396,419	423,548
Transfer to General Fund for STAR/CMNYC Payment	-	-	170,000	-	-	-	-	-	-	-	-	-	170,000
Transfer to General Fund	249,173	218,255	235,165	274,530	249,948	355,052	268,781	273,800	360,525	286,560	(153,929)	324,834	2,942,894
Total Disbursements	249,526	218,977	406,330	274,805	250,111	378,917	269,148	274,413	361,070	286,929	(153,929)	721,263	3,537,550
Ending Balance	\$ 1,870	\$ 46,154	\$ 1,810	\$ 2,051	\$ 25,886	\$ 1,870	\$ 1,931	\$ 2,404	\$ 1,910	\$ 1,689	\$ 398,346	\$ -	\$ -

## NOTES TO THE COMPTROLLER'S 2020 ANNUAL REPORT TO THE LEGISLATURE ON THE STATE FUNDS - CASH BASIS OF ACCOUNTING

## NOTE 11 - NEW YORK REVENUE BOND TAX FUND

An amount equal to 50 percent of the State's Personal Income Tax (PIT) receipts and Employer Compensation Expense Tax (ECET) receipts, less refunds to taxpayers, is to be deposited in the Revenue Bond Tax Fund (RBTF). The monies of such Fund are reserved for payment of debt service on Personal Income Tax Revenue Bonds.

Pursuant to Section 92-z(5) of the State Finance Law, monies in the RBTF in excess of debt service requirements are required to be transferred to the General Fund.

Following is a summary of the transactions in the RBTF during Fiscal Years 2019-20 and 2018-19 (amounts in thousands):

FY 2019-20															FY 2018-19															
	2019		April	May	June	July	August	September	October	November	December	January	February	March	FISCAL YEAR	2018		April	May	June	July	August	September	October	November	December	January	February	March	FISCAL YEAR
	\$	-	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	TOTALS	\$	-	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	TOTALS
Opening Balance																														
PIT Receipts	4,607,696	1,242,402	2,604,821	1,665,837	1,454,283	2,415,699	1,289,123	1,234,769	2,143,926	4,449,674	1,848,397	1,873,074	26,829,701			2,928,118	1,091,488	1,091,488	2,475,887	1,449,889	1,487,081	2,398,372	1,287,386	1,094,222	2,052,564	4,306,244	1,692,888	1,779,529	24,043,668	
Employer Compensation Expense Tax	-	(14)	145	73	82	57	106	50	247	162	35	54	997			-	-	438	9,982	-	-	525,879	-	-	-	167,186	-	538,677	2,892,712	4,134,874
Federal Subsidies	(*)	-	-	1,606	33,539	-	-	-	-	-	1,611	33,647	-	70,403		-	-	-	-	1,599	-	33,397	-	-	-	-	1,606	33,539	-	70,141
Transfer from - Health Care Reform Act (HCRA) Resources Fund	(**)	-	-	-	-	3,027	3,755	-	-	-	-	-	88,200		-	-	-	-	-	-	3,582	4,850	-	-	-	-	-	26,167	49,520	84,119
Transfer from - Dedicated Highway and Bridge Trust Fund	(**)	-	-	-	-	22,517	190,898	-	-	-	-	-	964,307		-	-	-	-	-	-	22,956	193,864	-	-	-	-	-	751,489	994,683	
Transfer from - Mental Health Services Fund	(**)	-	-	-	-	88,181	-	-	-	-	-	-	279,482		-	-	-	-	-	-	78,424	-	-	-	-	-	-	212,063	290,487	
Transfer from - Centralized Services Fund	(**)	-	-	-	-	-	404	-	-	-	-	-	13,018		-	-	-	-	-	-	-	6,890	-	-	-	-	-	12,924	19,814	
Total Receipts	4,607,696	1,242,388	2,604,966	1,667,516	1,601,629	2,610,813	1,289,229	1,234,819	2,144,173	4,451,447	2,165,843	2,024,796	28,246,118			2,928,118	1,091,488	1,091,488	2,475,887	1,451,488	1,625,440	2,603,976	1,287,386	1,094,222	2,052,564	4,307,850	1,991,031	2,593,462	25,502,912	
Non-Personal Service	-	-	4	-	6,599	517	591	-	95	-	1,299	6,577	15,682			-	-	-	-	-	-	1,358	-	-	-	238	-	1,305	22,247	
Debt Service	-	-	115,301	-	-	55,445	-	-	271,192	-	592,514	1,333,548	2,368,000			-	-	-	-	-	-	525,879	-	-	-	167,186	-	538,677	2,892,712	
Transfer to General Fund	4,607,294	1,126,212	2,606,042	1,658,289	1,476,294	2,682,814	1,286,772	960,281	2,147,290	2,950,297	1,048,581	3,310,270	25,862,436			2,927,786	1,070,334	1,070,334	2,486,667	1,438,139	1,056,260	2,647,518	1,287,386	926,058	2,053,304	4,306,244	1,892,888	1,779,529	24,043,668	
Total Disbursements	4,607,294	1,126,212	2,721,347	1,658,289	1,482,893	2,738,776	1,289,363	960,281	2,418,577	2,950,297	1,642,394	4,650,395	28,246,118			2,927,786	1,070,334	1,070,334	2,486,667	1,438,139	1,068,010	3,174,755	1,287,386	926,058	2,220,728	2,760,983	1,344,779	4,786,746	25,502,912	
Ending Balance	\$	402	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	-			\$	332	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	-	

FY 2018-19															FY 2017-18															
	2018		April	May	June	July	August	September	October	November	December	January	February	March	FISCAL YEAR	2017		April	May	June	July	August	September	October	November	December	January	February	March	FISCAL YEAR
	\$	-	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	TOTALS	\$	-	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	TOTALS
Opening Balance																														
PIT Receipts	2,928,118	1,091,488	2,475,887	1,449,889	1,487,081	2,398,372	1,287,386	1,094,222	2,052,564	4,306,244	1,692,888	1,779,529	24,043,668			2,928,118	1,091,488	1,091,488	2,475,887	1,449,889	1,487,081	2,398,372	1,287,386	1,094,222	2,052,564	4,306,244	1,692,888	1,779,529	24,043,668	
Federal Subsidies	(*)	-	-	1,599	33,397	-	-	-	-	-	-	-	70,141			-	-	-	-	-	-	-	-	-	-	-	-	-	-	70,141
Transfer from - Health Care Reform Act (HCRA) Resources Fund	(**)	-	-	-	3,582	4,850	-	-	-	-	-	-	84,119			-	-	-	-	-	3,582	4,850	-	-	-	-	-	-	49,520	84,119
Transfer from - Dedicated Highway and Bridge Trust Fund	(**)	-	-	-	-	22,956	193,864	-	-	-	-	-	994,683			-	-	-	-	-	22,956	193,864	-	-	-	-	-	-	751,489	994,683
Transfer from - Mental Health Services Fund	(**)	-	-	-	-	78,424	-	-	-	-	-	-	290,487			-	-	-	-	-	78,424	-	-	-	-	-	-	-	212,063	290,487
Transfer from - Centralized Services Fund	(**)	-	-	-	-	-	6,890	-	-	-	-	-	19,814			-	-	-	-	-	-	6,890	-	-	-	-	-	-	12,924	19,814
Total Receipts	2,928,118	1,091,488	2,475,887	1,451,488	1,625,440	2,603,976	1,287,386	1,094,222	2,052,564	4,307,850	1,991,031	2,593,462	25,502,912			2,928,118	1,091,488	1,091,488	2,475,887	1,451,488	1,625,440	2,603,976	1,287,386	1,094,222	2,052,564	4,307,850	1,991,031	2,593,462	25,502,912	
Non-Personal Service	-	101	20	-	11,750	1,358	-	-	-	-	-	-	22,247			-	-	-	-	-	-	1,358	-	-	-	-	-	-	1,305	22,247
Debt Service	-	438	9,982	-	-	525,879	-	-	-	-	-	-	4,134,874			-	-	-	-	-	-	525,879	-	-	-	-	-	-	538,677	2,892,712
Transfer to General Fund	2,927,786	1,070,334	2,486,667	1,438,139	1,056,260	2,647,518	1,287,386	926,058	2,053,304	4,306,244	1,892,888	1,779,529	24,043,668			2,927,786	1,070,334	1,070,334	2,486,667	1,438,139	1,056,260	2,647,518	1,287,386	926,058	2,053,304	4,306,244	1,892,888	1,779,529	24,043,668	
Total Disbursements	2,927,786	1,070,873	2,496,669	1,438,139	1,068,010	3,174,755	1,287,386	926,058	2,220,728	2,760,983	1,344,779	4,786,746	25,502,912			2,927,786	1,070,873	1,070,873	2,496,669	1,438,139	1,068,010	3,174,755	1,287,386	926,058	2,220,728	2,760,983	1,344,779	4,786,746	25,502,912	
Ending Balance	\$	332	\$	20,947	\$	165	\$	165	\$	165	\$	165	-			\$	332	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	-	

(\*) Pursuant to Section 73 of the State Finance Law, as added by Chapter 56 of the Laws of 2010 and amended by Chapter 59 of the Laws of 2012, the Federal Subsidies are Federal Interest Subsidy receipts solely used for debt service on Build America Bonds and Qualified School Construction Bonds, as authorized pursuant to ARRA, under Section 54AA and 54F of the Internal Revenue Code.

(\*\*) Transfers represent reimbursements for debt service paid from the Revenue Bond Tax Fund. These reimbursements are made pursuant to State Finance Law Sections 92-dd, 88-b, 97-f, and 97-g.



## NOTES TO THE COMPTROLLER'S 2020 ANNUAL REPORT TO THE LEGISLATURE ON THE STATE FUNDS - CASH BASIS OF ACCOUNTING

## NOTE 13 - MOBILITY TAX TRUST ACCOUNT

Section 11 of Part UU of Chapter 59 of the Laws of 2018 amended Section 805(b) of the Tax Law, whereby the receipts from the Metropolitan Commuter Transportation Mobility Tax will be paid into the Metropolitan Transportation Authority Finance Fund pursuant to statute but without appropriation. The result is that neither the mobility receipts nor the related grant disbursements to the MTA are recorded in the State Funds. This activity is reported in the MTA State Assistance fund group.

Following is a summary of the transactions in the Mobility Tax Trust Account during Fiscal Years 2019-20 and 2018-19 (amounts in thousands):

FY 2019-20													FY 2018-19														
2019													2018														
Beginning Balance	April	May	June	July	August	September	October	November	December	January	February	March	TOTALS	Beginning Balance	April	May	June	July	August	September	October	November	December	January	February	March	TOTALS
	\$ 124,970	\$ 165,812	\$ 117,476	\$ 109,766	\$ 126,087	\$ 104,633	\$ 112,719	\$ 130,989	\$ 98,282	\$ 32,836	\$ 211,153	\$ 158,705	\$ 124,970		\$ -	\$ 135,506	\$ 111,201	\$ 98,412	\$ 111,595	\$ 111,919	\$ 105,254	\$ 124,646	\$ 91,601	\$ 18,186	\$ 196,213	\$ 157,525	\$ -
MCTD Mobility Tax Receipts	165,812	117,474	109,765	126,077	104,621	112,709	130,961	98,279	128,176	211,151	158,704	140,544	1,604,273	MCTD Mobility Tax Receipts	135,506	111,201	98,412	111,566	111,765	105,067	124,511	91,472	110,561	196,213	157,525	124,970	1,478,769
Interest Income	268	204	241	281	195	285	192	128	170	111	130	199	2,404	Interest Income	-	-	-	29	125	187	135	129	179	154	171	276	1,385
Total Receipts	166,080	117,678	110,006	126,358	104,816	112,994	131,153	98,407	128,346	211,262	158,834	140,743	1,606,677	Total Receipts	135,506	111,201	98,412	111,595	105,254	124,646	91,601	110,740	196,367	157,696	125,246	1,480,154	
Payments to MTA	125,238	166,014	117,716	110,037	126,270	104,908	112,883	131,114	193,792	32,945	211,282	158,903	1,591,102	Payments to MTA	-	135,506	111,201	98,412	111,566	111,919	105,254	124,646	184,155	18,340	196,384	157,801	1,355,184
Total Disbursements	125,238	166,014	117,716	110,037	126,270	104,908	112,883	131,114	193,792	32,945	211,282	158,903	1,591,102	Total Disbursements	-	135,506	111,201	98,412	111,566	111,919	105,254	124,646	184,155	18,340	196,384	157,801	1,355,184
Ending Balance	\$ 165,812	\$ 117,476	\$ 109,766	\$ 126,087	\$ 104,633	\$ 112,719	\$ 130,989	\$ 98,282	\$ 32,836	\$ 211,153	\$ 158,705	\$ 140,545		Ending Balance	\$ 135,506	\$ 111,201	\$ 98,412	\$ 111,595	\$ 105,254	\$ 124,646	\$ 91,601	\$ 18,186	\$ 196,213	\$ 157,525	\$ 124,970	\$ 124,970	

## NOTES TO THE COMPTROLLER'S 2020 ANNUAL REPORT TO THE LEGISLATURE ON THE STATE FUNDS - CASH BASIS OF ACCOUNTING

## NOTE 14 - CONGESTION SURCHARGE

Section 2 of Part NNN of Chapter 59 of the Laws of 2018 added Article 29-c of the Tax Law, whereby the receipts from the Congestion Surcharge will be paid to the MTA for deposit into the NYC Transportation Assistance Fund pursuant to statute but without appropriation. The result is that neither the surcharge receipts nor the related disbursements to the MTA are recorded in the State Funds. This activity is reported in the MTA State Assistance fund group.

Following is a summary of the transactions in the Congestion Surcharge Account during Fiscal Years 2019-20 and 2018-19 (amounts in thousands):

	FY 2019-20												FISCAL YEAR TOTALS
	2019 April	May	June	July	August	September	October	November	December	2020 January	February	March	
<b>Beginning Balance</b>	\$ 34,422	\$ 76,188	\$ 39,406	\$ 40,697	\$ 38,150	\$ 36,613	\$ 35,242	\$ 37,638	\$ 41,438	\$ 39,761	\$ 40,104	\$ 38,716	\$ 34,422
Business / Professional Fees	41,754	38,671	38,683	37,773	35,242	33,849	36,384	40,312	38,498	37,952	35,643	32,982	447,743
Interest Income	12	89	109	78	75	71	61	60	57	56	61	50	779
<b>Total Receipts</b>	<b>41,766</b>	<b>38,760</b>	<b>38,792</b>	<b>37,851</b>	<b>35,317</b>	<b>33,920</b>	<b>36,445</b>	<b>40,372</b>	<b>38,555</b>	<b>38,008</b>	<b>35,704</b>	<b>33,032</b>	<b>448,522</b>
Grants	-	-	-	-	-	-	-	-	-	-	-	-	-
Payments to MTA	-	75,542	37,501	40,398	36,854	35,291	34,049	36,572	40,232	37,665	37,092	35,703	3,086
<b>Total Disbursements</b>	<b>-</b>	<b>75,542</b>	<b>37,501</b>	<b>40,398</b>	<b>36,854</b>	<b>35,291</b>	<b>34,049</b>	<b>36,572</b>	<b>40,232</b>	<b>37,665</b>	<b>37,092</b>	<b>35,789</b>	<b>449,885</b>
<b>Ending Balance</b>	<b>\$ 76,188</b>	<b>\$ 39,406</b>	<b>\$ 40,697</b>	<b>\$ 38,150</b>	<b>\$ 36,613</b>	<b>\$ 35,242</b>	<b>\$ 37,638</b>	<b>\$ 41,438</b>	<b>\$ 39,761</b>	<b>\$ 40,104</b>	<b>\$ 38,716</b>	<b>\$ 32,959</b>	<b>\$ 32,959</b>

	FY 2018-19												FISCAL YEAR TOTALS
	2018 April	May	June	July	August	September	October	November	December	2019 January	February	March	
<b>Beginning Balance</b>	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
Business / Professional Fees	-	-	-	-	-	-	-	-	-	-	-	34,422	34,422
Interest Income	-	-	-	-	-	-	-	-	-	-	-	-	-
<b>Total Receipts</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>34,422</b>	<b>34,422</b>
Payments to MTA	-	-	-	-	-	-	-	-	-	-	-	-	-
<b>Total Disbursements</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>
<b>Ending Balance</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ 34,422</b>	<b>\$ 34,422</b>



## NOTES TO THE COMPTROLLER'S 2020 ANNUAL REPORT TO THE LEGISLATURE ON THE STATE FUNDS - CASH BASIS OF ACCOUNTING

## NOTE 15 - MTA AID TRUST FUND REFORMS

Part FF of Chapter 58 of the Laws of 2019 amended paragraphs (b-1) and (c-3) of subdivision two of Section 503 of the Vehicle and Traffic Law, Article 29-a of the Tax Law, article 17-c of the Vehicle and Traffic Law and Section 1166-a of the Tax Law, whereby the receipts from the various taxes and fees will be paid into the Metropolitan Transportation Authority Special Assistance Fund pursuant to statute but without appropriation. The result is that neither the revenue nor the related disbursements to the MTA are recorded in the State Funds. This activity is reported in the MTA State Assistance fund group.

Following is a summary of the transactions in the MTA Aid Trust Fund Reforms Account during Fiscal Year 2019-20 (amounts in thousands):

	FY 2019-20												FISCAL YEAR TOTALS
	2019 April	May	June	July	August	September	October	November	December	2020 January	February	March	
<b>Beginning Balance</b>	\$ -	\$ 31,034	\$ 49,893	\$ 2,584	\$ 28,955	\$ 47,000	\$ 10,456	\$ 37,285	\$ 54,409	\$ 15,597	\$ 39,524	\$ 56,034	\$ -
MCTD Taxicab Trip Tax Receipts	10,704	249	219	9,958	518	564	10,446	1,726	701	9,924	617	343	45,969
MTA Passenger Car Rentals Tax	1,609	76	11,575	19	36	19,103	(3)	4	14,897	12	5	8,300	55,633
Motor Vehicle Fees	18,721	18,534	18,285	16,394	17,491	16,521	16,386	15,361	14,130	13,933	15,850	15,912	197,518
Interest Income	-	-	-	-	-	-	-	33	42	58	38	64	235
<b>Total Receipts</b>	<b>31,034</b>	<b>18,859</b>	<b>30,079</b>	<b>26,371</b>	<b>18,045</b>	<b>36,188</b>	<b>26,829</b>	<b>17,124</b>	<b>29,770</b>	<b>23,927</b>	<b>16,510</b>	<b>24,619</b>	<b>299,355</b>
Payments to MTA	-	-	-	-	-	72,732	-	-	68,582	-	-	72,000	290,702
<b>Total Disbursements</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>72,732</b>	<b>-</b>	<b>-</b>	<b>68,582</b>	<b>-</b>	<b>-</b>	<b>72,000</b>	<b>290,702</b>
<b>Ending Balance</b>	<b>\$ 31,034</b>	<b>\$ 49,893</b>	<b>\$ 2,584</b>	<b>\$ 28,955</b>	<b>\$ 47,000</b>	<b>\$ 10,456</b>	<b>\$ 37,285</b>	<b>\$ 54,409</b>	<b>\$ 15,597</b>	<b>\$ 39,524</b>	<b>\$ 56,034</b>	<b>\$ 8,653</b>	<b>\$ 8,653</b>

## NOTES TO THE COMPTROLLER'S 2020 ANNUAL REPORT TO THE LEGISLATURE ON THE STATE FUNDS - CASH BASIS OF ACCOUNTING

## NOTE 16 - ADDITIONAL REAL ESTATE TRANSFER TAX

Part 000 of Chapter 59 of the Laws of 2019 added to and amended Tax Law Article 31. Section 1402-b added an additional real estate transfer tax to residential property over \$2 million, in cities with a population of over 1 million. Section 1421(b) of the Tax Law was amended directing these taxes be remitted to the MTA for deposit into the Central Business District Tolling Capital Lockbox Fund, pursuant to statute but without appropriation. The result is that neither this real estate transfer tax nor the related disbursements to the MTA are recorded in the State Funds. This activity is reported in the MTA State Assistance fund group.

Following is a summary of the transactions in the Additional Real Estate Tax Account during Fiscal Year 2019-20 (amounts in thousands):

	FY 2019-20												FISCAL YEAR TOTALS
	2019 April	May	June	July	August	September	October	November	December	2020 January	February	March	
<b>Beginning Balance</b>	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 6,132	\$ 20,054	\$ 35,498	\$ 58,013	\$ 15,739	\$ 25,092	\$ 17,521	\$ -
Real Estate Transfer Tax Receipts	-	-	-	-	6,132	13,922	15,437	22,493	15,739	25,091	17,521	25,855	142,190
Interest Income	-	-	-	-	-	-	7	22	40	43	3	2	117
<b>Total Receipts</b>	-	-	-	-	6,132	13,922	15,444	22,515	15,779	25,134	17,524	25,857	142,307
Payments to MTA	-	-	-	-	-	-	-	-	58,053	15,781	25,095	17,523	116,452
<b>Total Disbursements</b>	-	-	-	-	-	-	-	-	58,053	15,781	25,095	17,523	116,452
<b>Ending Balance</b>	\$ -	\$ -	\$ -	\$ -	\$ 6,132	\$ 20,054	\$ 35,498	\$ 58,013	\$ 15,739	\$ 25,092	\$ 17,521	\$ 25,855	\$ 25,855

STATE OF NEW YORK											
GENERAL FUND											
COMBINING STATEMENT OF CASH RECEIPTS, DISBURSEMENTS AND CHANGES IN FUND BALANCES											
FISCAL YEAR ENDED MARCH 31, 2020											
(amounts in thousands)											
RECEIPTS:											
LOCAL ASSISTANCE ACCOUNT (10000-10049)	STATE OPERATIONS ACCOUNT (10050-10099)	TAX STABILIZATION RESERVE (10100-10149)	CONTINGENCY RESERVE (10150-10199)	UNIVERSAL PRE-KINDERGARTEN RESERVE (10200-10249)	COMMUNITY PROJECTS (10250-10299)						
\$ -	\$ 24,646,013	\$ -	\$ -	\$ -	\$ -						
Personal Income Tax (*)	-	-	-	-	-						
Consumption/Use Taxes (*)	-	-	-	-	-						
Business Taxes	-	-	-	-	-						
Other Taxes	-	-	-	-	-						
Miscellaneous Receipts	636	-	-	-	-						
Federal Receipts	-	-	-	-	-						
	285	-	-	-	-						
<b>Total Receipts</b>	<b>636</b>	<b>43,299,643</b>	<b>-</b>	<b>-</b>	<b>-</b>						
DISBURSEMENTS:											
LOCAL ASSISTANCE GRANTS (*)											
Education	28,101,508	-	-	-	-						
Environment and Recreation	3,182	-	-	-	-						
General Government	1,043,742	-	-	-	-						
Public Health:											
Medicaid	17,566,451	-	-	-	-						
Other Public Health	2,366,621	-	-	-	-						
Public Safety	176,821	-	-	-	-						
Public Welfare	2,318,853	-	-	-	-						
Support and Regulate Business	170,772	-	-	-	-						
Transportation	110,219	-	-	-	-						
<b>Total Local Assistance Grants</b>	<b>51,858,169</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>5,147</b>						
Departmental Operations:											
Personal Service	-	8,939,781	-	-	-						
Non-Personal Service	-	3,113,937	-	-	-						
General State Charges	-	7,453,706	-	-	-						
<b>Total Disbursements</b>	<b>51,858,169</b>	<b>19,507,424</b>	<b>-</b>	<b>-</b>	<b>5,147</b>						
<b>Excess (Deficiency) of Receipts over Disbursements</b>	<b>(51,857,533)</b>	<b>23,792,219</b>	<b>-</b>	<b>-</b>	<b>(5,147)</b>						
OTHER FINANCING SOURCES (USES):											
Transfers from Other Funds (*)	53,800,751	42,302,372	-	-	-						
Transfers to Other Funds (*)	(1,943,218)	(66,094,591)	-	-	-						
<b>Total Other Financing Sources (Uses)</b>	<b>51,857,533</b>	<b>(23,792,219)</b>	<b>-</b>	<b>-</b>	<b>-</b>						
<b>Excess of Receipts and Other Financing Sources over Disbursements and Other Financing Uses</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>(5,147)</b>						
<b>Fund Balances at April 1</b>	<b>-</b>	<b>1,257,763</b>	<b>20,624</b>	<b>-</b>	<b>35,802</b>						
<b>Fund Balances at March 31</b>	<b>\$ -</b>	<b>\$ 1,257,763</b>	<b>\$ 20,624</b>	<b>\$ -</b>	<b>\$ 30,655</b>						

EXHIBIT A-1

(\*) See Accompanying Notes

## STATE OF NEW YORK

## GENERAL FUND

COMBINING STATEMENT OF CASH RECEIPTS,  
DISBURSEMENTS AND CHANGES IN FUND BALANCES  
FISCAL YEAR ENDED MARCH 31, 2020  
(amounts in thousands)EXHIBIT A-1  
(continued)

RECEIPTS:	RAINY DAY RESERVE (10300-10349)	REFUND RESERVE ACCOUNT (*) (10400-10449)	FRINGE BENEFIT ESCROW ACCOUNT (10500-10549)	TOBACCO REVENUE GUARANTEE (10550-10599)	ELIMINATIONS	COMBINED TOTALS	
						2019-20	2018-19
Personal Income Tax (*)	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 24,646,013	\$ 21,620,610
Consumption/Use Taxes (*)	-	-	-	-	-	8,037,776	7,680,725
Business Taxes	-	-	-	-	-	6,369,844	5,501,328
Other Taxes	-	-	-	-	-	1,087,102	1,086,260
Miscellaneous Receipts	-	-	-	-	-	3,159,259	3,586,046
Federal Receipts	-	-	-	-	-	285	149
<b>Total Receipts</b>	-	-	-	-	-	<b>43,300,279</b>	<b>39,475,116</b>
<b>DISBURSEMENTS:</b>							
Local Assistance Grants (*):							
Education	-	-	-	-	-	28,101,508	28,090,487
Environment and Recreation	-	-	-	-	-	3,182	3,599
General Government	-	-	-	-	-	1,048,889	1,010,800
Public Health:	-	-	-	-	-		
Medicaid	-	-	-	-	-	17,566,451	14,689,743
Other Public Health	-	-	-	-	-	2,366,821	2,516,107
Public Safety	-	-	-	-	-	176,821	183,029
Public Welfare	-	-	-	-	-	2,318,853	2,778,976
Support and Regulate Business	-	-	-	-	-	170,772	168,750
Transportation	-	-	-	-	-	110,219	303,836
<b>Total Local Assistance Grants</b>	-	-	-	-	-	<b>51,863,316</b>	<b>49,745,327</b>
Departmental Operations:							
Personal Service	-	-	-	-	-	8,939,781	8,719,311
Non-Personal Service	-	-	-	-	-	3,113,937	2,622,064
General State Charges	-	-	-	-	-	7,453,706	7,138,640
<b>Total Disbursements</b>	-	-	-	-	-	<b>71,370,740</b>	<b>68,225,342</b>
<b>Excess (Deficiency) of Receipts over Disbursements</b>	-	-	-	-	-	<b>(28,070,461)</b>	<b>(28,750,226)</b>
<b>OTHER FINANCING SOURCES (USES):</b>							
Transfers from Other Funds (*)	428,000	6,417,639 (5,102,000)	-	-	(67,041,993) 67,041,993	35,906,769 (6,097,816)	31,089,281 (4,558,333)
Transfers to Other Funds (*)	-	-	-	-	-	-	-
<b>Total Other Financing Sources (Uses)</b>	<b>428,000</b>	<b>1,315,639</b>	-	-	-	<b>29,808,953</b>	<b>26,510,948</b>
<b>Excess of Receipts and Other Financing Sources over Disbursements and Other Financing Uses</b>	<b>428,000</b>	<b>1,315,639</b>	-	-	-	<b>1,738,492</b>	<b>(2,239,278)</b>
<b>Fund Balances at April 1</b>	<b>789,544</b>	<b>5,102,000</b>	-	-	-	<b>7,205,733</b>	<b>9,445,011</b>
<b>Fund Balances at March 31</b>	<b>\$ 1,217,544</b>	<b>\$ 6,417,639</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ 8,944,225</b>	<b>\$ 7,205,733</b>

(\*) See Accompanying Notes

**STATE OF NEW YORK**  
**SPECIAL REVENUE FUNDS - STATE**  
**COMBINING STATEMENT OF CASH RECEIPTS,**  
**DISBURSEMENTS AND CHANGES IN FUND BALANCES**  
**FISCAL YEAR ENDED MARCH 31, 2020**  
(amounts in thousands)

EXHIBIT A-2

RECEIPTS:	ARTS CAPITAL GRANTS (21850-21899)	BIODIVERSITY STEWARDSHIP AND RESEARCH (21600-21649)	CHARITABLE GIFTS TRUST (24900-24949)	CHARTER SCHOOL STIMULUS (20600-20649)	CHEMICAL DEPENDENCE SERVICE (22700-22749)	CHILD PERFORMERS PROTECTION (20400-20449)
Personal Income Tax (*)	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
Consumption/Use Taxes	-	-	-	-	-	-
Business Taxes	-	-	-	-	-	-
Other Taxes	-	-	-	-	-	-
Miscellaneous Receipts	35	-	2,046	76	107,881	114
Federal Receipts	-	-	-	-	-	-
<b>Total Receipts</b>	<b>35</b>	<b>-</b>	<b>2,046</b>	<b>76</b>	<b>107,881</b>	<b>114</b>
DISBURSEMENTS:						
Local Assistance Grants (*):						
Education	-	-	-	1,894	-	-
Environment and Recreation	-	-	-	-	-	-
General Government	-	-	-	-	-	-
Public Health:	-	-	-	-	-	-
Medicaid	-	-	-	-	-	-
Other Public Health	-	-	-	-	-	-
Public Safety	-	-	-	-	-	-
Public Welfare	-	-	-	-	-	-
Support and Regulate Business	-	-	-	-	-	-
Transportation	-	-	-	-	-	-
<b>Total Local Assistance Grants</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>1,894</b>	<b>-</b>	<b>-</b>
Departmental Operations:						
Personal Service	-	-	-	-	-	290
Non-Personal Service	-	-	-	3,433	6,443	77
General State Charges	-	-	-	-	-	185
Capital Projects	-	-	-	-	-	-
<b>Total Disbursements</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>5,327</b>	<b>6,443</b>	<b>552</b>
<b>Excess (Deficiency) of Receipts over Disbursements</b>	<b>35</b>	<b>-</b>	<b>2,046</b>	<b>(5,251)</b>	<b>101,438</b>	<b>(438)</b>
OTHER FINANCING SOURCES (USES):						
Transfers from Other Funds	-	-	-	4,837	-	500
Transfers to Other Funds (*)	-	-	-	-	(101,295)	(21)
<b>Total Other Financing Sources (Uses)</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>4,837</b>	<b>(101,295)</b>	<b>479</b>
<b>Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses</b>	<b>35</b>	<b>-</b>	<b>2,046</b>	<b>(414)</b>	<b>143</b>	<b>41</b>
Fund Balances (Deficits) at April 1	947	-	93,589	6,501	9,995	9
Fund Balances (Deficits) at March 31	982	\$ -	\$ 95,645	\$ 6,087	\$ 10,138	\$ 50

(\*) See Accompanying Notes

STATE OF NEW YORK SPECIAL REVENUE FUNDS - STATE COMBINING STATEMENT OF CASH RECEIPTS, DISBURSEMENTS AND CHANGES IN FUND BALANCES FISCAL YEAR ENDED MARCH 31, 2020 (amounts in thousands)						EXHIBIT A-2 (continued)	
RECEIPTS:	CITY UNIVERSITY TUITION REIMBURSEMENT (23250-23449)	CLEAN AIR (21450-21499)	COMBINED EXPENDABLE TRUST (20100-20299)	COMBINED NON- EXPENDABLE TRUST (21650-21699)	COMBINED STUDENT LOAN (20950-20999)	COMMERCIAL GAMING REVENUE (23700-23749)	
Personal Income Tax (*)	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	-
Consumption/Use Taxes	-	-	-	-	-	-	-
Business Taxes	-	-	-	-	-	-	-
Other Taxes	-	-	-	-	-	-	-
Miscellaneous Receipts	115,682	31,717	8,787	8	26,468	191,377	-
Federal Receipts	-	-	-	-	(27,546)	-	-
<b>Total Receipts</b>	<b>115,682</b>	<b>31,717</b>	<b>8,787</b>	<b>8</b>	<b>(1,078)</b>	<b>191,377</b>	
<b>DISBURSEMENTS:</b>							
Local Assistance Grants (*):							
Education	-	-	90	-	-	161,600	-
Environment and Recreation	-	-	-	-	-	-	-
General Government	-	-	-	-	-	37,853	-
Public Health:	-	-	-	-	-	-	-
Medicaid	-	-	-	-	-	-	-
Other Public Health	-	-	2,345	-	-	3,388	-
Public Safety	-	-	2,818	-	-	-	-
Public Welfare	-	-	-	-	-	-	-
Support and Regulate Business	-	-	-	-	-	-	-
Transportation	-	-	-	-	-	-	-
<b>Total Local Assistance Grants</b>	<b>-</b>	<b>-</b>	<b>5,253</b>	<b>-</b>	<b>-</b>	<b>202,841</b>	
Departmental Operations:							
Personal Services	48,676	19,645	428	-	-	2,485	-
Non-Personal Services	53,020	5,289	2,112	7	9,237	160	-
General State Charges	204	12,438	295	-	-	1,579	-
Capital Projects	-	-	-	-	-	-	-
<b>Total Disbursements</b>	<b>101,900</b>	<b>37,352</b>	<b>8,088</b>	<b>7</b>	<b>9,237</b>	<b>207,065</b>	
<b>Excess (Deficiency) of Receipts over Disbursements</b>	<b>13,782</b>	<b>(5,635)</b>	<b>699</b>	<b>1</b>	<b>(10,315)</b>	<b>(15,688)</b>	
<b>OTHER FINANCING SOURCES (USES):</b>							
Transfers from Other Funds	-	-	1,372	-	-	-	-
Transfers to Other Funds (*)	-	-	(550)	-	-	-	-
<b>Total Other Financing Sources (Uses)</b>	<b>-</b>	<b>-</b>	<b>822</b>	<b>-</b>	<b>-</b>	<b>-</b>	
<b>Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses</b>	<b>13,782</b>	<b>(5,635)</b>	<b>1,521</b>	<b>1</b>	<b>(10,315)</b>	<b>(15,688)</b>	
Fund Balances (Deficits) at April 1	190,533	(27,665)	68,508	466	28,748	31,687	-
<b>Fund Balances (Deficits) at March 31</b>	<b>204,315</b>	<b>(33,300)</b>	<b>70,029</b>	<b>467</b>	<b>18,433</b>	<b>15,999</b>	

(\*) See Accompanying Notes

STATE OF NEW YORK SPECIAL REVENUE FUNDS - STATE COMBINING STATEMENT OF CASH RECEIPTS, DISBURSEMENTS AND CHANGES IN FUND BALANCES FISCAL YEAR ENDED MARCH 31, 2020 (amounts in thousands)						EXHIBIT A-2 (continued)	
RECEIPTS:	CONSERVATION (21150-21199)	COURT FACILITIES INCENTIVE AID (22500-22549)	DEDICATED MASS TRANSPORTATION TRUST (20850-20899)	DEDICATED MISCELLANEOUS STATE SPECIAL REVENUE (23800-23899)	DRINKING WATER PROGRAM MANAGEMENT AND ADMINISTRATION (23100-23149)	EMPLOYMENT TRAINING (22550-22599)	
	\$	\$	\$	\$	\$	\$	
Personal Income Tax (*)	-	-	-	-	-	-	-
Consumption/Use Taxes	-	-	108,213	523	-	-	-
Business Taxes	-	-	374,224	-	-	-	-
Other Taxes	-	-	-	-	-	-	-
Miscellaneous Receipts	46,709	820	144,737	1,662	-	-	1
Federal Receipts	-	-	-	-	-	-	-
<b>Total Receipts</b>	<b>46,709</b>	<b>820</b>	<b>627,174</b>	<b>2,185</b>	<b>-</b>	<b>-</b>	<b>1</b>
<b>DISBURSEMENTS:</b>							
Local Assistance Grants (*):							
Education	-	-	-	-	-	-	-
Environment and Recreation	-	-	-	-	-	-	-
General Government	-	118,800	-	-	-	-	-
Public Health	-	-	-	-	-	-	-
Medicaid	-	-	-	-	-	-	-
Other Public Health	-	-	-	-	-	-	-
Public Safety	-	-	-	-	-	-	-
Public Welfare	-	-	-	-	-	-	-
Support and Regulate Business	-	-	-	-	-	-	-
Transportation	-	-	697,119	-	-	-	-
<b>Total Local Assistance Grants</b>	<b>-</b>	<b>118,800</b>	<b>697,119</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>
Departmental Operations:							
Personal Service	18,696	1,972	-	619	-	-	-
Non-Personal Service	5,708	12	-	86	-	-	-
General State Charges	11,876	869	-	394	-	-	-
Capital Projects	-	-	-	-	-	-	-
<b>Total Disbursements</b>	<b>36,280</b>	<b>121,653</b>	<b>697,119</b>	<b>1,099</b>	<b>-</b>	<b>-</b>	<b>-</b>
<b>Excess (Deficiency) of Receipts over Disbursements</b>	<b>10,429</b>	<b>(120,833)</b>	<b>(69,945)</b>	<b>1,086</b>	<b>-</b>	<b>-</b>	<b>1</b>
<b>OTHER FINANCING SOURCES (USES):</b>							
Transfers from Other Funds	609	113,672	67,001	-	-	-	-
Transfers to Other Funds (*)	(1,832)	(3,517)	-	(40)	-	-	-
<b>Total Other Financing Sources (Uses)</b>	<b>(1,223)</b>	<b>110,155</b>	<b>67,001</b>	<b>(40)</b>	<b>-</b>	<b>-</b>	<b>-</b>
<b>Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses</b>	<b>9,206</b>	<b>(10,678)</b>	<b>(2,944)</b>	<b>1,046</b>	<b>-</b>	<b>-</b>	<b>1</b>
Fund Balances (Deficits) at April 1	74,061	19,201	87,772	1,810	(5,351)	-	51
<b>Fund Balances (Deficits) at March 31</b>	<b>\$ 83,267</b>	<b>\$ 8,523</b>	<b>\$ 84,828</b>	<b>\$ 2,856</b>	<b>\$ (5,351)</b>	<b>\$ -</b>	<b>\$ 52</b>

(\*) See Accompanying Notes

STATE OF NEW YORK SPECIAL REVENUE FUNDS - STATE COMBINING STATEMENT OF CASH RECEIPTS, DISBURSEMENTS AND CHANGES IN FUND BALANCES FISCAL YEAR ENDED MARCH 31, 2020 (amounts in thousands)									EXHIBIT A-2 (continued)	
RECEIPTS:										
Personal Income Tax (*)	\$ -	ENVIRONMENTAL PROTECTION AND OIL SPILL COMPENSATION (21200-21249)	\$ -	EQUIPMENT LOAN FUND FOR THE DISABLED (21350-21399)	\$ -	FEDERAL REVENUE MAXIMIZATION CONTRACT (22900-22949)	HEALTH CARE REFORM ACT RESOURCES (20800-20849)	HEALTH CARE TRANSFORMATION (24850-24899)		
Consumption/Use Taxes	-	-	-	-	-	-	732,643	-		
Business Taxes	-	-	-	-	-	-	-	-		
Other Taxes	89,387	49,285	-	31	-	-	5,528,983	501,010		
Miscellaneous Receipts	-	-	-	-	-	-	-	-		
Federal Receipts	4	-	-	-	-	-	-	-		
Total Receipts	89,391	49,285	-	31	-	-	6,261,626	501,010		
DISBURSEMENTS:										
Local Assistance Grants (*):										
Education	-	-	-	-	-	-	-	-		
Environment and Recreation	-	-	-	-	-	-	-	-		
General Government	-	-	-	-	-	-	-	-		
Public Health:	-	-	-	-	-	-	-	-		
Medicaid	-	-	-	-	-	-	4,743,673	-		
Other Public Health	-	-	-	-	-	-	1,200,652	-		
Public Safety	-	-	-	-	-	-	-	-		
Public Welfare	-	-	-	-	-	-	-	-		
Support and Regulate Business	-	-	-	-	-	-	-	-		
Transportation	-	-	-	-	-	-	-	-		
Total Local Assistance Grants	-	-	-	-	-	-	5,944,325	-		
Departmental Operations:										
Personal Service	50,279	11,746	-	-	-	-	13,518	-		
Non-Personal Service	13,065	1,948	-	25	-	-	62,276	-		
General State Charges	26,002	7,475	-	-	-	-	8,582	-		
Capital Projects	-	-	-	-	-	-	-	-		
Total Disbursements	89,346	21,169	-	25	-	-	6,028,701	-		
Excess (Deficiency) of Receipts over Disbursements	45	28,116	-	6	-	-	232,925	501,010		
OTHER FINANCING SOURCES (USES):										
Transfers from Other Funds	15,182	-	-	-	-	-	-	-		
Transfers to Other Funds (*)	(7,860)	(35,543)	-	-	-	-	(217,220)	(710,332)		
Total Other Financing Sources (Uses)	7,322	(35,543)	-	-	-	-	(217,220)	(710,332)		
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	7,367	(7,427)	-	6	-	-	15,705	(209,322)		
Fund Balances (Deficits) at April 1	(1,674)	33,983	-	537	-	24	-	524,619		
Fund Balances (Deficits) at March 31	5,693	26,556	-	543	-	24	15,705	315,297		
									\$	\$
(*) See Accompanying Notes										

(\*) See Accompanying Notes



STATE OF NEW YORK SPECIAL REVENUE FUNDS - STATE COMBINING STATEMENT OF CASH RECEIPTS, DISBURSEMENTS AND CHANGES IN FUND BALANCES FISCAL YEAR ENDED MARCH 31, 2020 (amounts in thousands)							EXHIBIT A-2 (continued)	
	HOUSING DEVELOPMENT (22950-22999)	INDIGENT LEGAL SERVICES (23550-23599)	INTERACTIVE FANTASY SPORTS (24950-24999)	JUDICIARY DATA PROCESSING OFFSET (23200-23249)	LAKE GEORGE PARK TRUST (22750-22799)	LAWYERS' FUND FOR CLIENT PROTECTION (21300-21349)		
RECEIPTS:								
Personal Income Tax (*)	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -		
Consumption/Use Taxes	-	-	-	-	-	-		
Business Taxes	-	-	-	-	-	-		
Other Taxes	749	236,924	6,262	54,695	1,381	13,135		
Miscellaneous Receipts	-	-	-	-	-	-		
Federal Receipts	-	-	-	-	-	-		
Total Receipts	749	236,924	6,262	54,695	1,381	13,135		
DISBURSEMENTS:								
Local Assistance Grants (*):								
Education	-	-	-	-	-	-		
Environment and Recreation	-	-	-	-	-	-		
General Government	-	-	-	-	-	-		
Public Health	-	-	-	-	-	-		
Medicaid	-	-	-	-	-	-		
Other Public Health	-	-	-	-	-	-		
Public Safety	-	91,193	-	-	-	-		
Public Welfare	408	-	-	-	-	-		
Support and Regulate Business	-	-	-	-	-	-		
Transportation	-	-	-	-	-	-		
Total Local Assistance Grants	408	91,193	-	-	-	-		
Departmental Operations:								
Personal Service	-	2,620	57	25,429	728	581		
Non-Personal Service	-	25,497	13	3,578	167	10,643		
General State Charges	-	1,645	35	10,017	386	226		
Capital Projects	-	-	-	-	-	-		
Total Disbursements	408	120,955	105	39,024	1,281	11,450		
Excess (Deficiency) of Receipts over Disbursements	341	115,969	6,157	15,671	100	1,685		
OTHER FINANCING SOURCES (USES):								
Transfers from Other Funds	-	22,123	-	-	-	-		
Transfers to Other Funds (*)	-	-	-	-	-	-		
Total Other Financing Sources (Uses)	-	22,123	-	-	-	-		
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	341	138,092	6,157	15,671	100	1,685		
Fund Balances (Deficits) at April 1	10,277	313,697	13,433	37,796	70	9,747		
Fund Balances (Deficits) at March 31	\$ 10,618	\$ 451,789	\$ 19,590	\$ 53,467	\$ 170	\$ 11,432		
(*) See Accompanying Notes								

(\*) See Accompanying Notes

STATE OF NEW YORK SPECIAL REVENUE FUNDS - STATE COMBINING STATEMENT OF CASH RECEIPTS, DISBURSEMENTS AND CHANGES IN FUND BALANCES FISCAL YEAR ENDED MARCH 31, 2020 (amounts in thousands)						EXHIBIT A-2 (continued)	
RECEIPTS:							
Personal Income Tax (*)	\$	-	\$	-	\$	-	-
Consumption/Use Taxes	-	-	-	-	-	-	-
Business Taxes	-	-	-	-	-	-	-
Other Taxes	-	-	-	-	-	-	-
Miscellaneous Receipts	1,519	-	-	19,285	1,892	47	3,008,784
Federal Receipts	-	-	-	-	-	-	14,652
<b>Total Receipts</b>	<b>1,519</b>	<b>8,702</b>	<b>2,673,300</b>	<b>7,590</b>	<b>47</b>	<b>3,023,436</b>	
DISBURSEMENTS:							
Local Assistance Grants (*):							
Education	-	5,246	-	-	-	-	4,043
Environment and Recreation	-	-	-	-	-	-	4,629
General Government	-	-	-	2,417	-	-	59,970
Public Health:	-	-	-	-	-	-	-
Medicaid	-	-	-	-	-	-	931,000
Other Public Health	-	-	-	-	-	-	18,017
Public Safety	-	-	-	-	-	-	69,893
Public Welfare	-	-	-	-	-	-	3,670
Support and Regulate Business	-	-	-	-	-	-	56,487
Transportation	-	-	2,404,389	-	-	-	-
<b>Total Local Assistance Grants</b>	<b>-</b>	<b>5,246</b>	<b>2,404,389</b>	<b>2,417</b>	<b>-</b>	<b>1,147,709</b>	
Departmental Operations:	-	1,743	2,880	1,746	-	-	921,115
Personal Service	1,179	276	212	2,410	32	-	576,691
General State Charges	-	1,113	1,836	1,108	-	-	402,408
Capital Projects	-	-	-	-	-	-	-
<b>Total Disbursements</b>	<b>1,179</b>	<b>8,378</b>	<b>2,409,317</b>	<b>7,681</b>	<b>32</b>	<b>3,047,923</b>	
<b>Excess (Deficiency) of Receipts over Disbursements</b>	<b>340</b>	<b>324</b>	<b>263,983</b>	<b>(91)</b>	<b>15</b>	<b>(24,487)</b>	
OTHER FINANCING SOURCES (USES):							
Transfers from Other Funds	-	-	39,822	4,626	-	-	486,183
Transfers to Other Funds (*)	-	(1,432)	(4,632)	(752)	-	-	(223,528)
<b>Total Other Financing Sources (Uses)</b>	<b>-</b>	<b>(1,432)</b>	<b>35,190</b>	<b>3,874</b>	<b>-</b>	<b>262,655</b>	
<b>Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses</b>	<b>340</b>	<b>(1,108)</b>	<b>299,173</b>	<b>3,783</b>	<b>15</b>	<b>238,168</b>	
<b>Fund Balances (Deficits) at April 1</b>	<b>11,894</b>	<b>4,571</b>	<b>115,463</b>	<b>8,152</b>	<b>808</b>	<b>1,139,528</b>	
<b>Fund Balances (Deficits) at March 31</b>	<b>12,234</b>	<b>3,463</b>	<b>414,636</b>	<b>11,935</b>	<b>823</b>	<b>1,377,696</b>	

(\*) See Accompanying Notes

STATE OF NEW YORK SPECIAL REVENUE FUNDS - STATE COMBINING STATEMENT OF CASH RECEIPTS, DISBURSEMENTS AND CHANGES IN FUND BALANCES FISCAL YEAR ENDED MARCH 31, 2020 (amounts in thousands)							EXHIBIT A-2 (continued)
	MTA FINANCIAL ASSISTANCE (23650-23699)	MUSICAL INSTRUMENT REVOLVING (21750-21799)	NEW YORK CITY COUNTY CLERKS' OPERATIONS OFFSET (23150-23199)	NEW YORK GREAT LAKES PROTECTION (22850-22899)	NEW YORK INTEREST ON LAWYER ACCOUNT (20300-20349)	NEW YORK STATE ARCHIVES PARTNERSHIP TRUST (20350-20399)	
RECEIPTS:							
Personal Income Tax (*)	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	
Consumption/Use Taxes	-	-	-	-	-	-	
Business Taxes	-	-	-	-	-	-	
Other Taxes	1,298	(1)	32,467	178	65,453	63	
Miscellaneous Receipts	-	-	-	-	-	-	
Federal Receipts	-	-	-	-	-	-	
Total Receipts	1,298	(1)	32,467	178	65,453	63	
DISBURSEMENTS:							
Local Assistance Grants (*):							
Education	-	-	-	-	-	-	
Environment and Recreation	-	-	-	-	-	-	
General Government	-	-	-	-	-	-	
Public Health	-	-	-	-	-	-	
Medicaid	-	-	-	-	-	-	
Other Public Health	-	-	-	-	-	-	
Public Safety	-	-	-	-	19,639	-	
Public Welfare	-	-	-	-	-	-	
Support and Regulate Business	-	-	-	-	-	-	
Transportation	276,569	-	-	-	-	-	
Total Local Assistance Grants	276,569	-	-	-	19,639	-	
Departmental Operations:							
Personal Service	-	-	21,210	87	831	238	
Non-Personal Service	-	-	2,758	8	508	19	
General State Charges	-	-	11,411	56	529	152	
Capital Projects	-	-	-	-	-	-	
Total Disbursements	276,569	-	35,379	151	21,507	409	
Excess (Deficiency) of Receipts over Disbursements	(275,271)	(1)	(2,912)	27	43,946	(346)	
OTHER FINANCING SOURCES (USES):							
Transfers from Other Funds	356,750	-	3,214	-	-	300	
Transfers to Other Funds (*)	-	-	-	-	-	(36)	
Total Other Financing Sources (Uses)	356,750	-	3,214	-	-	264	
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	81,479	(1)	302	27	43,946	(82)	
Fund Balances (Deficits) at April 1	33,323	1	(28,280)	355	58,589	86	
Fund Balances (Deficits) at March 31	\$ 114,802	\$ -	\$ (27,978)	\$ 382	\$ 102,535	\$ 4	
(*) See Accompanying Notes							

(\*) See Accompanying Notes

STATE OF NEW YORK SPECIAL REVENUE FUNDS - STATE COMBINING STATEMENT OF CASH RECEIPTS, DISBURSEMENTS AND CHANGES IN FUND BALANCES FISCAL YEAR ENDED MARCH 31, 2020 (amounts in thousands)							EXHIBIT A-2 (continued)
	NYS DOT HIGHWAY SAFETY PROGRAM (23000-23049)	NEW YORK STATE INFRASTRUCTURE TRUST (21500-21549)	NOT-FOR-PROFIT SHORT-TERM REVOLVING LOAN (20650-20699)	SCHOOL TAX RELIEF (20550-20599)	SEWAGE TREATMENT PROGRAM MANAGEMENT AND ADMINISTRATION (21000-21049)	STATE LOTTERY (20900-20949)	
RECEIPTS:							
Personal Income Tax (*)	-	\$ -	\$ -	\$ 2,183,688	\$ -	\$ -	
Consumption/Use Taxes	-	-	-	-	-	-	
Business Taxes	-	-	-	-	-	-	
Other Taxes	-	-	-	-	-	-	
Miscellaneous Receipts	1,299	1	-	-	1,740	3,483,497	
Federal Receipts	-	-	-	-	-	-	
Total Receipts	1,299	1	-	2,183,688	1,740	3,483,497	
DISBURSEMENTS:							
Local Assistance Grants (*):							
Education	-	-	-	2,183,688	-	3,684,194	
Environment and Recreation	-	-	-	-	-	-	
General Government	-	-	-	-	-	-	
Public Health:							
Medicaid	-	-	-	-	-	-	
Other Public Health	-	-	-	-	-	-	
Public Safety	-	-	-	-	-	-	
Public Welfare	-	-	-	-	-	-	
Support and Regulate Business	-	-	-	-	-	-	
Transportation	-	-	-	-	-	-	
Total Local Assistance Grants	-	-	-	2,183,688	-	3,684,194	
Departmental Operations:							
Personal Service	2,944	-	-	-	508	17,189	
Non-Personal Service	2	-	-	-	9	9,073	
General State Charges	-	-	-	-	310	10,880	
Capital Projects	-	-	-	-	-	-	
Total Disbursements	2,946	-	-	2,183,688	827	3,721,336	
Excess (Deficiency) of Receipts over Disbursements	(1,647)	1	-	-	913	(237,839)	
OTHER FINANCING SOURCES (USES):							
Transfers from Other Funds	-	-	-	-	-	-	
Transfers to Other Funds (*)	-	-	-	-	-	(5,521)	
Total Other Financing Sources (Uses)	-	-	-	-	-	(5,521)	
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	(1,647)	1	-	-	913	(243,360)	
Fund Balances (Deficits) at April 1	(12,683)	70	-	-	(4,221)	360,880	
Fund Balances (Deficits) at March 31	\$ (14,330)	\$ 71	\$ -	\$ -	\$ (3,308)	\$ 117,520	
(*) See Accompanying Notes							

(\*) See Accompanying Notes

**STATE OF NEW YORK  
SPECIAL REVENUE FUNDS - STATE  
COMBINING STATEMENT OF CASH RECEIPTS,  
DISBURSEMENTS AND CHANGES IN FUND BALANCES  
FISCAL YEAR ENDED MARCH 31, 2020**  
(amounts in thousands)

**EXHIBIT A-2**  
(continued)

	STATE POLICE MOTOR VEHICLE LAW ENFORCEMENT AND MOTOR VEHICLE THEFT AND INSURANCE FRAUD PREVENTION (22800-22849)	STATE UNIVERSITY DORMITORY INCOME (40350-40389)	STATE UNIVERSITY INCOME (22850-22899)	TRAINING AND EDUCATION PROGRAM ON OCCUPATIONAL SAFETY AND HEALTH (21250-21299)	TUITION REIMBURSEMENT (20450-20499)	UNEMPLOYMENT INSURANCE INTEREST AND PENALTY (23800-23849)
<b>RECEIPTS:</b>						
Personal Income Tax (*)	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
Consumption/Use Taxes	-	-	-	-	-	-
Business Taxes	-	-	-	-	-	-
Other Taxes	-	-	-	-	-	-
Miscellaneous Receipts	122,191	329,827	4,763,335	47,166	3,585	11,880
Federal Receipts	-	-	-	-	-	-
<b>Total Receipts</b>	<b>122,191</b>	<b>329,827</b>	<b>4,763,335</b>	<b>47,166</b>	<b>3,585</b>	<b>11,880</b>
<b>DISBURSEMENTS:</b>						
Local Assistance Grants (*):						
Education	-	-	-	-	-	-
Environment and Recreation	-	-	-	-	-	-
General Government	-	-	-	-	-	-
Public Health	-	-	-	-	-	-
Medicaid	-	-	-	-	-	-
Other Public Health	-	-	-	-	-	-
Public Safety	3,293	-	-	-	-	-
Public Welfare	-	-	-	-	-	-
Support and Regulate Business	-	-	-	-	-	-
Transportation	-	-	-	-	-	-
<b>Total Local Assistance Grants</b>	<b>3,293</b>	-	-	-	-	-
Departmental Operations:						
Personal Services	4,117	-	3,952,865	21,398	1,561	1,694
Non-Personal Services	5,066	-	2,113,972	12,129	613	342
General State Charges	-	-	441,536	13,569	1,300	1,076
Capital Projects	-	-	-	-	-	-
<b>Total Disbursements</b>	<b>12,476</b>	-	<b>6,508,273</b>	<b>47,096</b>	<b>3,474</b>	<b>3,112</b>
<b>Excess (Deficiency) of Receipts over Disbursements</b>	<b>109,715</b>	<b>329,827</b>	<b>(1,744,938)</b>	<b>70</b>	<b>111</b>	<b>8,768</b>
<b>OTHER FINANCING SOURCES (USES):</b>						
Transfers from Other Funds	-	-	1,953,063	-	-	-
Transfers to Other Funds (*)	(113,820)	(328,857)	(262,439)	(2,268)	(281)	(11,632)
<b>Total Other Financing Sources (Uses)</b>	<b>(113,820)</b>	<b>(328,857)</b>	<b>1,690,624</b>	<b>(2,268)</b>	<b>(281)</b>	<b>(11,632)</b>
<b>Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses</b>	<b>(4,105)</b>	<b>970</b>	<b>(54,314)</b>	<b>(2,198)</b>	<b>(170)</b>	<b>(2,864)</b>
Fund Balances (Deficits) at April 1	24,915	263,829	1,543,169	3,828	8,066	34,816
Fund Balances (Deficits) at March 31	20,810	\$ 264,799	\$ 1,488,855	\$ 1,630	\$ 7,896	\$ 31,952

(\*) See Accompanying Notes

**STATE OF NEW YORK  
SPECIAL REVENUE FUNDS - STATE  
COMBINING STATEMENT OF CASH RECEIPTS,  
DISBURSEMENTS AND CHANGES IN FUND BALANCES  
FISCAL YEAR ENDED MARCH 31, 2020**  
(amounts in thousands)

**EXHIBIT A-2**  
(continued)

	US OLYMPIC COMMITTEE/ LAKE PLACID OLYMPIC TRAINING (23500-23549)	VOCATIONAL REHABILITATION (23050-23099)	WINTER SPORTS EDUCATION TRUST (21700-21749)	ELIMINATIONS (*)	STATE COMBINED TOTALS	
					2019-20	2018-19
<b>RECEIPTS:</b>						
Personal Income Tax (*)	\$ -	\$ -	\$ -	\$ -	\$ 2,183,688	\$ 2,423,111
Consumption/Use Taxes	-	-	-	-	1,915,707	1,957,305
Business Taxes	-	-	-	-	1,969,609	1,741,093
Other Taxes	-	-	-	-	-	-
Miscellaneous Receipts	116	70	-	-	19,064,356	19,466,092
Federal Receipts	-	-	-	-	(12,890)	(1,136)
<b>Total Receipts</b>	<b>116</b>	<b>70</b>	<b>-</b>	<b>-</b>	<b>25,110,470</b>	<b>25,586,465</b>
<b>DISBURSEMENTS:</b>						
Local Assistance Grants (*):						
Education	-	-	-	-	6,040,755	5,754,853
Environment and Recreation	-	-	-	-	4,629	4,314
General Government	-	-	-	-	219,040	170,007
Public Health:						
Medicaid	-	-	-	-	5,674,673	5,682,207
Other Public Health	-	-	-	-	1,224,402	920,596
Public Safety	-	-	-	-	186,636	196,221
Public Welfare	-	-	-	-	4,078	4,488
Support and Regulate Business	-	-	-	-	56,487	64,396
Transportation	-	-	-	-	3,378,077	3,634,366
<b>Total Local Assistance Grants</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>16,788,977</b>	<b>16,431,448</b>
Departmental Operations:						
Personal Service	-	-	-	-	5,149,895	4,968,004
Non-Personal Service	-	42	-	-	2,928,017	2,710,480
General State Charges	-	-	-	-	969,492	1,065,186
Capital Projects	-	-	-	-	-	-
<b>Total Disbursements</b>	<b>-</b>	<b>42</b>	<b>-</b>	<b>-</b>	<b>25,836,381</b>	<b>25,175,128</b>
<b>Excess (Deficiency) of Receipts over Disbursements</b>	<b>116</b>	<b>28</b>	<b>-</b>	<b>-</b>	<b>(725,911)</b>	<b>411,337</b>
<b>OTHER FINANCING SOURCES (USES):</b>						
Transfers from Other Funds	-	-	-	(289,742)	2,779,512	2,521,651
Transfers to Other Funds (*)	-	-	-	289,742	(1,743,666)	(1,850,720)
<b>Total Other Financing Sources (Uses)</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>1,035,846</b>	<b>670,931</b>
<b>Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses</b>	<b>116</b>	<b>28</b>	<b>-</b>	<b>-</b>	<b>309,935</b>	<b>1,082,268</b>
<b>Fund Balances (Deficits) at April 1</b>	<b>183</b>	<b>31</b>	<b>-</b>	<b>-</b>	<b>5,090,754</b>	<b>4,008,486</b>
<b>Fund Balances (Deficits) at March 31</b>	<b>299</b>	<b>59</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ 5,400,689</b>	<b>\$ 5,090,754</b>

(\*) See Accompanying Notes

STATE OF NEW YORK  
SPECIAL REVENUE FUNDS - FEDERAL  
COMBINING STATEMENT OF CASH RECEIPTS,  
DISBURSEMENTS AND CHANGES IN FUND BALANCES  
FISCAL YEAR ENDED MARCH 31, 2020  
(amounts in thousands)

EXHIBIT A-2  
(continued)

	FEDERAL EDUCATION (25200-25249)	FEDERAL EMPLOYMENT AND TRAINING GRANTS (26000-26049)	FEDERAL HEALTH AND HUMAN SERVICES (25100-25199)	FEDERAL MISCELLANEOUS OPERATING GRANTS (25300-25899)
<b>RECEIPTS:</b>				
Personal Income Tax.....	\$ -	\$ -	\$ -	\$ -
Consumption/Use Taxes.....	-	-	-	-
Business Taxes.....	-	-	-	-
Miscellaneous Receipts.....	1	100	25,729	1,371
Federal Receipts.....	3,060,154	167,381	55,286,951	1,782,823
<b>Total Receipts.....</b>	<b>3,060,155</b>	<b>167,481</b>	<b>55,312,680</b>	<b>1,784,194</b>
<b>DISBURSEMENTS:</b>				
Local Assistance Grants (*):				
Education.....	2,312,126	-	646	3,186
Environment and Recreation.....	-	-	-	1,161
General Government.....	-	-	53,802	188
Public Health:				
Medicaid.....	-	-	40,249,339	-
Other Public Health.....	4,832	-	5,580,582	4,133
Public Safety.....	-	-	-	1,393,329
Public Welfare.....	-	129,363	4,274,349	30,160
Support and Regulate Business.....	-	-	-	7,964
Transportation.....	-	-	-	58,976
<b>Total Local Assistance Grants.....</b>	<b>2,316,958</b>	<b>129,363</b>	<b>50,158,718</b>	<b>1,499,097</b>
Departmental Operations:				
Personal Service.....	101,438	14,499	219,274	107,760
Non-Personal Service.....	455,644	13,222	698,736	108,954
General State Charges.....	52,062	8,784	111,007	44,272
<b>Total Disbursements.....</b>	<b>2,926,102</b>	<b>165,868</b>	<b>51,187,735</b>	<b>1,760,083</b>
<b>Excess (Deficiency) of Receipts over Disbursements.....</b>	<b>134,053</b>	<b>1,613</b>	<b>4,124,945</b>	<b>24,111</b>
<b>OTHER FINANCING SOURCES (USES):</b>				
Transfers from Other Funds.....	-	-	-	-
Transfers to Other Funds (*).....	(12,324)	(2,006)	(2,039,976)	(13,395)
<b>Total Other Financing Sources (Uses).....</b>	<b>(12,324)</b>	<b>(2,006)</b>	<b>(2,039,976)</b>	<b>(13,395)</b>
<b>Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses.....</b>	<b>121,729</b>	<b>(393)</b>	<b>2,084,969</b>	<b>10,716</b>
<b>Fund Balances (Deficits) at April 1.....</b>	<b>(136,957)</b>	<b>(2,015)</b>	<b>(971,747)</b>	<b>(263,585)</b>
<b>Fund Balances (Deficits) at March 31.....</b>	<b>\$ (15,228)</b>	<b>\$ (2,408)</b>	<b>\$ 1,113,222</b>	<b>\$ (252,869)</b>

(\*) See Accompanying Notes

STATE OF NEW YORK  
SPECIAL REVENUE FUNDS - FEDERAL  
COMBINING STATEMENT OF CASH RECEIPTS,  
DISBURSEMENTS AND CHANGES IN FUND BALANCES  
FISCAL YEAR ENDED MARCH 31, 2020  
(amounts in thousands)

EXHIBIT A-2  
(continued)

	FEDERAL USDA/FOOD AND NUTRITION SERVICES (25000-25099)	UNEMPLOYMENT INSURANCE ADMINISTRATION (25900-25949)	UNEMPLOYMENT INSURANCE OCCUPATIONAL TRAINING (25950-25999)	2019-20	2018-19
<b>RECEIPTS:</b>					
Personal Income Tax.....	\$ -	\$ -	\$ -	\$ -	\$ -
Consumption/Use Taxes.....	-	-	-	-	-
Business Taxes.....	-	-	-	-	-
Miscellaneous Receipts.....	100,287	87,513	-	215,001	202,118
Federal Receipts.....	2,351,850	256,333	4,266	62,909,758	58,921,694
<b>Total Receipts.....</b>	<b>2,452,137</b>	<b>343,846</b>	<b>4,266</b>	<b>63,124,759</b>	<b>59,123,812</b>
<b>DISBURSEMENTS:</b>					
Local Assistance Grants (*):					
Education.....	1,212,523	-	-	3,528,481	3,840,418
Environment and Recreation.....	-	-	-	1,161	2,765
General Government.....	-	-	-	53,990	61,088
Public Health:					
Medicaid.....	-	-	-	40,249,339	39,381,139
Other Public Health.....	712,802	-	-	6,302,349	6,587,308
Public Safety.....	-	-	-	1,393,329	1,138,354
Public Welfare.....	416,659	2,729	4,256	4,857,516	4,948,655
Support and Regulate Business.....	-	-	-	7,964	7,948
Transportation.....	-	-	-	58,976	53,608
<b>Total Local Assistance Grants.....</b>	<b>2,341,984</b>	<b>2,729</b>	<b>4,256</b>	<b>56,453,105</b>	<b>56,021,283</b>
Departmental Operations:					
Personal Service.....	33,183	160,674	-	636,828	637,412
Non-Personal Service.....	55,219	67,129	-	1,398,904	1,393,770
General State Charges.....	20,185	97,285	-	333,595	420,892
<b>Total Disbursements.....</b>	<b>2,450,571</b>	<b>327,817</b>	<b>4,256</b>	<b>58,822,432</b>	<b>58,473,357</b>
<b>Excess (Deficiency) of Receipts over Disbursements.....</b>	<b>1,566</b>	<b>16,029</b>	<b>10</b>	<b>4,302,327</b>	<b>650,455</b>
<b>OTHER FINANCING SOURCES (USES):</b>					
Transfers from Other Funds.....	-	-	-	-	-
Transfers to Other Funds (*).....	(43,872)	(31,038)	-	(2,142,611)	(2,192,403)
<b>Total Other Financing Sources (Uses).....</b>	<b>(43,872)</b>	<b>(31,038)</b>	<b>-</b>	<b>(2,142,611)</b>	<b>(2,192,403)</b>
<b>Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses.....</b>	<b>(42,306)</b>	<b>(15,009)</b>	<b>10</b>	<b>2,159,716</b>	<b>(1,541,948)</b>
<b>Fund Balances (Deficits) at April 1.....</b>	<b>(15,504)</b>	<b>141,983</b>	<b>(524)</b>	<b>(1,248,349)</b>	<b>293,599</b>
<b>Fund Balances (Deficits) at March 31.....</b>	<b>(57,810)</b>	<b>126,974</b>	<b>(514)</b>	<b>\$ 911,367</b>	<b>\$ (1,248,349)</b>

(\*) See Accompanying Notes



STATE OF NEW YORK  
SPECIAL REVENUE FUNDS - STATE AND FEDERAL  
COMBINING STATEMENT OF CASH RECEIPTS,  
DISBURSEMENTS AND CHANGES IN FUND BALANCES  
FISCAL YEAR ENDED MARCH 31, 2020  
(amounts in thousands)

EXHIBIT A-2  
(continued)

	STATE SPECIAL REVENUE	FEDERAL SPECIAL REVENUE	ELIMINATIONS (*)	COMBINED TOTALS	
				2019-20	2018-19
<b>RECEIPTS:</b>					
Personal Income Tax (*)	\$ 2,183,688	\$ -	\$ -	\$ 2,183,688	\$ 2,423,111
Consumption/Use Taxes	1,915,707	-	-	1,915,707	1,957,305
Business Taxes	1,959,609	-	-	1,959,609	1,741,093
Other Taxes	-	-	-	-	-
Miscellaneous Receipts	19,064,386	215,001	-	19,279,387	19,663,210
Federal Receipts	(12,890)	62,909,758	-	62,896,868	58,920,558
<b>Total Receipts</b>	<b>25,110,470</b>	<b>63,124,759</b>	<b>-</b>	<b>88,235,229</b>	<b>84,710,277</b>
<b>DISBURSEMENTS:</b>					
Local Assistance Grants (*):					
Education	6,040,755	3,528,481	-	9,569,236	9,595,271
Environment and Recreation	4,629	1,161	-	5,790	7,079
General Government	219,040	53,990	-	273,030	231,095
Public Health:					
Medicaid	5,674,673	40,249,339	-	45,924,012	45,063,346
Other Public Health	1,224,402	6,302,349	-	7,526,751	7,507,904
Public Safety	186,836	1,393,329	-	1,580,165	1,334,575
Public Welfare	4,078	4,857,516	-	4,861,594	4,953,143
Support and Regulate Business	56,487	7,964	-	64,451	72,344
Transportation	3,378,077	58,976	-	3,437,053	3,687,974
<b>Total Local Assistance Grants</b>	<b>16,788,977</b>	<b>56,453,105</b>	<b>-</b>	<b>73,242,082</b>	<b>72,452,731</b>
Departmental Operations:					
Personal Service	5,149,895	636,828	-	5,786,723	5,605,416
Non-Personal Service	2,928,017	1,398,904	-	4,326,921	4,104,260
General State Charges	969,492	333,595	-	1,303,087	1,486,078
Capital Projects	-	-	-	-	-
<b>Total Disbursements</b>	<b>25,836,381</b>	<b>58,822,432</b>	<b>-</b>	<b>84,658,813</b>	<b>83,648,485</b>
<b>Excess (Deficiency) of Receipts over Disbursements</b>	<b>(725,911)</b>	<b>4,302,327</b>	<b>-</b>	<b>3,576,416</b>	<b>1,061,792</b>
<b>OTHER FINANCING SOURCES (USES):</b>					
Transfers from Other Funds	2,779,512	-	(510,315)	2,269,197	1,905,571
Transfers to Other Funds (*)	(1,743,666)	(2,142,611)	510,315	(3,375,962)	(3,427,043)
<b>Total Other Financing Sources (Uses)</b>	<b>1,035,846</b>	<b>(2,142,611)</b>	<b>-</b>	<b>(1,106,765)</b>	<b>(1,521,472)</b>
<b>Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses</b>	<b>309,935</b>	<b>2,159,716</b>	<b>-</b>	<b>2,469,651</b>	<b>(459,680)</b>
<b>Fund Balances (Deficits) at April 1</b>	<b>5,090,754</b>	<b>(1,248,349)</b>	<b>-</b>	<b>3,842,405</b>	<b>4,302,085</b>
<b>Fund Balances (Deficits) at March 31</b>	<b>5,400,689</b>	<b>911,367</b>	<b>-</b>	<b>6,312,056</b>	<b>3,842,405</b>

(\*) See Accompanying Notes

STATE OF NEW YORK DEBT SERVICE FUNDS		EXHIBIT A-3				
COMBINING STATEMENT OF CASH RECEIPTS, DISBURSEMENTS AND CHANGES IN FUND BALANCES FISCAL YEAR ENDED MARCH 31, 2020 (amounts in thousands)		CLEAN WATER/ CLEAN AIR (40400-40449)	DEBT REDUCTION RESERVE (40000-40049)	DEPARTMENT OF HEALTH INCOME (40300-40349)	GENERAL DEBT SERVICE (40150-40199)	HOUSING DEBT (40250-40299)
<b>RECEIPTS:</b>						
Personal Income Tax (*)	\$	-	\$	-	\$	-
Consumption/Use Taxes (*)	-	-	-	-	26,829,701	-
Other Taxes	1,004,666	-	-	-	3,718,258	-
Miscellaneous Receipts	-	-	-	152,327	997	3,855
Federal Receipts	-	-	-	-	73,769	-
<b>Total Receipts</b>		<b>1,004,666</b>	<b>-</b>	<b>152,327</b>	<b>30,622,725</b>	<b>3,855</b>
<b>DISBURSEMENTS:</b>						
Departmental Operations:						
Non-Personal Service	-	-	-	1,949	31,430	-
Debt Service, Including Payments on Financing Agreements	-	-	-	26,158	4,576,659	5,393
<b>Total Disbursements</b>		<b>-</b>	<b>-</b>	<b>28,107</b>	<b>4,608,089</b>	<b>5,393</b>
<b>Excess (Deficiency) of Receipts over Disbursements</b>		<b>1,004,666</b>	<b>-</b>	<b>124,220</b>	<b>26,014,636</b>	<b>(1,538)</b>
<b>OTHER FINANCING SOURCES (USES):</b>						
Transfers from Other Funds	-	-	-	27,168	2,609,709	1,538
Transfers to Other Funds (*)	(1,004,666)	-	-	(155,434)	(28,624,345)	-
<b>Total Other Financing Sources (Uses)</b>		<b>(1,004,666)</b>	<b>-</b>	<b>(128,266)</b>	<b>(26,014,636)</b>	<b>1,538</b>
<b>Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses</b>		<b>-</b>	<b>-</b>	<b>(4,046)</b>	<b>-</b>	<b>-</b>
<b>Fund Balances at April 1</b>		<b>-</b>	<b>-</b>	<b>36,349</b>	<b>-</b>	<b>-</b>
<b>Fund Balances at March 31</b>		<b>-</b>	<b>\$</b>	<b>\$</b>	<b>\$</b>	<b>\$</b>

(\*) See Accompanying Notes

STATE OF NEW YORK  
DEBT SERVICE FUNDS  
COMBINING STATEMENT OF CASH RECEIPTS,  
DISBURSEMENTS AND CHANGES IN FUND BALANCES  
FISCAL YEAR ENDED MARCH 31, 2020  
(amounts in thousands)

EXHIBIT A-3  
(continued)

	LOCAL GOVERNMENT ASSISTANCE TAX (40450-40499)	MENTAL HEALTH SERVICES (40100-40149)	ELIMINATIONS	COMBINED TOTALS	
				2019-20	2018-19
<b>RECEIPTS:</b>					
Personal Income Tax (*)	\$ -	\$ -	\$ -	\$ 26,829,701	\$ 24,043,688
Consumption/Use Taxes (*)	3,718,258	-	-	7,436,516	7,073,580
Other Taxes	-	-	-	1,005,663	1,016,171
Miscellaneous Receipts	739	320,027	-	476,948	433,402
Federal Receipts	-	-	-	73,769	73,633
<b>Total Receipts</b>	<b>3,718,997</b>	<b>320,027</b>	<b>-</b>	<b>35,822,597</b>	<b>32,640,454</b>
<b>DISBURSEMENTS:</b>					
Departmental Operations:					
Non-Personal Service	1,616	1,277	-	36,272	37,696
Debt Service, Including Payments on	300,785	7,096	-	4,916,091	6,698,565
Financing Agreements	302,401	8,373	-	4,952,363	6,736,261
<b>Total Disbursements</b>	<b>3,416,596</b>	<b>311,654</b>	<b>-</b>	<b>30,870,234</b>	<b>25,904,193</b>
<b>Excess (Deficiency) of Receipts over Disbursements</b>					
<b>OTHER FINANCING SOURCES (USES):</b>					
Transfers from Other Funds	-	1,436,884	(333,085)	3,742,214	3,536,710
Transfers to Other Funds (*)	(3,416,596)	(1,745,884)	333,085	(34,613,840)	(29,529,232)
<b>Total Other Financing Sources (Uses)</b>	<b>(3,416,596)</b>	<b>(309,000)</b>	<b>-</b>	<b>(30,871,626)</b>	<b>(25,992,522)</b>
<b>Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses</b>					
		2,654	-	(1,392)	(88,329)
<b>Fund Balances at April 1</b>	<b>-</b>	<b>28,431</b>	<b>-</b>	<b>64,780</b>	<b>153,109</b>
<b>Fund Balances at March 31</b>	<b>\$ -</b>	<b>\$ 31,085</b>	<b>\$ -</b>	<b>\$ 63,388</b>	<b>\$ 64,780</b>

(\*) See Accompanying Notes

**STATE OF NEW YORK  
CAPITAL PROJECTS FUNDS - STATE  
COMBINING STATEMENT OF CASH RECEIPTS,  
DISBURSEMENTS AND CHANGES IN FUND BALANCES  
FISCAL YEAR ENDED MARCH 31, 2020**  
(amounts in thousands)

EXHIBIT A-4

RECEIPTS:	STATE CAPITAL PROJECTS (30000-30049)	ACCELERATED CAPACITY AND TRANSPORTATION IMPROVEMENTS BONDS (30880-30889)	CITY UNIVERSITY OF NEW YORK CAPITAL PROJECTS (32250-32259)	CLEAN WATER/ CLEAN AIR BOND (30690-30699)	CLEAN WATER/ CLEAN AIR IMPLEMENTATION (30500-30549)	CORRECTIONAL FACILITIES CAPITAL IMPROVEMENT (32350-32359)
Consumption/Use Taxes.....	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
Business Taxes.....	-	-	-	-	-	-
Other Taxes.....	-	-	-	-	-	-
Miscellaneous Receipts.....	4,032,443	-	35	-	-	183,606
Federal Receipts.....	-	-	-	-	-	-
<b>Total Receipts.....</b>	<b>4,032,443</b>	<b>-</b>	<b>35</b>	<b>-</b>	<b>-</b>	<b>183,606</b>
<b>DISBURSEMENTS:</b>						
Local Assistance Grants (*):						
Education.....	175,690	-	-	-	-	-
Environment and Recreation.....	112,625	-	-	-	-	-
General Government.....	387,945	-	-	-	-	-
Public Health:						
Medicaid.....	-	-	-	-	-	-
Other Public Health.....	460,965	-	-	-	-	-
Public Safety.....	69,074	-	-	-	-	-
Public Welfare.....	-	-	-	-	-	-
Support and Regulate Business.....	693,223	-	-	-	-	-
Transportation.....	1,074,565	-	-	-	-	-
<b>Total Local Assistance Grants.....</b>	<b>2,974,087</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>
Capital Projects.....	2,643,609	-	-	-	-	412,425
<b>Total Disbursements.....</b>	<b>5,617,696</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>412,425</b>
<b>Excess (Deficiency) of Receipts over Disbursements.....</b>	<b>(1,585,253)</b>	<b>-</b>	<b>35</b>	<b>-</b>	<b>-</b>	<b>(228,819)</b>
<b>OTHER FINANCING SOURCES (USES):</b>						
Bond and Note Proceeds, net.....	-	-	-	-	-	-
Transfers from Other Funds.....	1,591,541	-	-	-	-	105,863
Transfers to Other Funds (*).....	(6,288)	-	-	-	-	-
<b>Total Other Financing Sources (Uses).....</b>	<b>1,585,253</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>105,863</b>
<b>Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses.....</b>	<b>-</b>	<b>-</b>	<b>35</b>	<b>-</b>	<b>-</b>	<b>(122,956)</b>
<b>Fund Balances (Deficits) at April 1.....</b>	<b>-</b>	<b>2,778</b>	<b>(18)</b>	<b>1,428</b>	<b>-</b>	<b>(193,029)</b>
<b>Fund Balances (Deficits) at March 31.....</b>	<b>-</b>	<b>2,778</b>	<b>17</b>	<b>1,428</b>	<b>-</b>	<b>(315,985)</b>

(\*) See Accompanying Notes

STATE OF NEW YORK  
CAPITAL PROJECTS FUNDS - STATE  
COMBINING STATEMENT OF CASH RECEIPTS,  
DISBURSEMENTS AND CHANGES IN FUND BALANCES  
FISCAL YEAR ENDED MARCH 31, 2020  
(amounts in thousands)

EXHIBIT A-4  
(continued)

RECEIPTS:	DEDICATED HIGHWAY AND BRIDGE TRUST (30050-30099)	DEDICATED INFRASTRUCTURE INVESTMENT FUND (33050-33099)	DEPARTMENT OF TRANSPORTATION ENGINEERING SERVICES (31950-31999)	DIVISION FOR YOUTH FACILITIES IMPROVEMENT (31700-31749)	ENERGY CONSERVATION THROUGH IMPROVED TRANSPORTATION BOND (30600-30609)	ENVIRONMENTAL PROTECTION (30450-30499)	ENVIRONMENTAL QUALITY BOND ACT (1986) (30870-30879)
Consumption/Use Taxes.....	\$ 631,986	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
Business Taxes.....	666,364	-	-	-	-	-	-
Other Taxes.....	-	-	-	-	-	119,400	-
Miscellaneous Receipts.....	1,416,932	373	-	15,722	-	53,215	-
Federal Receipts.....	4,635	-	-	-	-	-	-
Total Receipts.....	2,719,917	373	-	15,722	-	174,315	-
DISBURSEMENTS:							
Local Assistance Grants (*):							
Education.....	-	-	-	-	-	-	-
Environment and Recreation.....	-	-	-	-	-	126,441	-
General Government.....	-	460,982	-	-	-	-	-
Public Health:	-	-	-	-	-	-	-
Medicaid.....	-	-	-	-	-	-	-
Other Public Health.....	-	-	-	-	-	-	-
Public Safety.....	-	-	-	-	-	-	-
Public Welfare.....	-	165,682	-	-	-	-	-
Support and Regulate Business.....	-	185,821	-	-	-	-	-
Transportation.....	8,860	-	-	-	-	-	-
Total Local Assistance Grants.....	8,860	812,485	-	-	-	126,441	-
Capital Projects.....	1,851,406	328,631	(377)	15,609	-	123,174	-
Total Disbursements.....	1,860,266	1,141,116	(377)	15,609	-	249,615	-
Excess (Deficiency) of Receipts over Disbursements.....	859,651	(1,140,743)	377	113	-	(75,300)	-
OTHER FINANCING SOURCES (USES):							
Bond and Note Proceeds, net.....	-	-	-	-	-	-	-
Transfers from Other Funds.....	696,503	1,210,957	-	-	-	28,000	-
Transfers to Other Funds (*):	(1,499,825)	-	-	-	-	-	-
Total Other Financing Sources (Uses).....	(803,322)	1,210,957	-	-	-	28,000	-
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses.....	56,329	70,214	377	113	-	(47,300)	-
Fund Balances (Deficits) at April 1.....	(89,804)	16,299	(12,346)	(21,348)	164	119,984	5,551
Fund Balances (Deficits) at March 31.....	(33,475)	86,513	(11,969)	(21,235)	164	72,684	5,551

(\*) See Accompanying Notes

**STATE OF NEW YORK  
CAPITAL PROJECTS FUNDS - STATE  
COMBINING STATEMENT OF CASH RECEIPTS,  
DISBURSEMENTS AND CHANGES IN FUND BALANCES  
FISCAL YEAR ENDED MARCH 31, 2020**  
(amounts in thousands)

**EXHIBIT A-4  
(continued)**

	ENVIRONMENTAL QUALITY PROTECTION BOND ACT (1872) (30840-30849)	FOREST PRESERVE EXPANSION (31480-31489)	HAZARDOUS WASTE REMEDIAL (31500-31549)	HOUSING ASSISTANCE (31800-31849)	HOUSING PROGRAM (31850-31889)	MENTAL HYGIENE FACILITIES CAPITAL IMPROVEMENT (32300-32349)
<b>RECEIPTS:</b>						
Consumption/Use Taxes.....	-	-	-	-	-	-
Business Taxes.....	-	-	-	-	-	-
Other Taxes.....	-	-	-	-	-	-
Miscellaneous Receipts.....	-	23	113,266	-	203,864	330,494
Federal Receipts.....	-	-	-	-	-	-
<b>Total Receipts.....</b>	<b>-</b>	<b>23</b>	<b>113,266</b>	<b>-</b>	<b>203,864</b>	<b>330,494</b>
<b>DISBURSEMENTS:</b>						
Local Assistance Grants (*):						
Education.....	-	-	-	-	-	-
Environment and Recreation.....	-	-	2,584	-	-	-
General Government.....	-	-	-	-	-	1,120
Public Health:	-	-	-	-	-	-
Medicaid.....	-	-	-	-	-	-
Other Public Health.....	-	-	-	-	-	51,576
Public Safety.....	-	-	-	-	-	-
Public Welfare.....	-	-	-	-	-	-
Support and Regulate Business.....	-	-	-	-	247,375	-
Transportation.....	-	-	-	-	-	-
<b>Total Local Assistance Grants.....</b>	<b>-</b>	<b>-</b>	<b>2,584</b>	<b>-</b>	<b>247,375</b>	<b>52,696</b>
Capital Projects.....	-	-	85,662	-	-	165,462
<b>Total Disbursements.....</b>	<b>-</b>	<b>-</b>	<b>88,246</b>	<b>-</b>	<b>247,375</b>	<b>218,158</b>
<b>Excess (Deficiency) of Receipts over Disbursements.....</b>	<b>-</b>	<b>23</b>	<b>25,020</b>	<b>-</b>	<b>(43,511)</b>	<b>112,336</b>
<b>OTHER FINANCING SOURCES (USES):</b>						
Bond and Note Proceeds, net.....	-	-	-	-	-	-
Transfers from Other Funds.....	-	-	13,663	-	4,329	1,960
Transfers to Other Funds (*).....	-	-	(22,495)	-	-	-
<b>Total Other Financing Sources (Uses).....</b>	<b>-</b>	<b>-</b>	<b>(8,842)</b>	<b>-</b>	<b>4,329</b>	<b>1,960</b>
<b>Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses.....</b>	<b>-</b>	<b>23</b>	<b>16,178</b>	<b>-</b>	<b>(39,182)</b>	<b>114,296</b>
<b>Fund Balances (Deficits) at April 1.....</b>	<b>1,419</b>	<b>1,056</b>	<b>(95,650)</b>	<b>(12,942)</b>	<b>(177,077)</b>	<b>(470,121)</b>
<b>Fund Balances (Deficits) at March 31.....</b>	<b>\$ 1,419</b>	<b>\$ 1,079</b>	<b>\$ (80,472)</b>	<b>\$ (12,942)</b>	<b>\$ (216,259)</b>	<b>\$ (355,825)</b>

(\*) See Accompanying Notes

STATE OF NEW YORK CAPITAL PROJECTS FUNDS - STATE COMBINING STATEMENT OF CASH RECEIPTS, DISBURSEMENTS AND CHANGES IN FUND BALANCES FISCAL YEAR ENDED MARCH 31, 2020 (amounts in thousands)						EXHIBIT A-4 (continued)	
	MISCELLANEOUS CAPITAL PROJECTS (32200-32249)	NATURAL RESOURCE DAMAGES (31900-31949)	NEW YORK STATE CANAL SYSTEM DEVELOPMENT (30300-30349)	NEW YORK STATE STORM RECOVERY (33000-33049)	OUTDOOR RECREATION DEVELOPMENT BOND (30750-30799)	PARK AND RECREATION LAND ACQUISITION BOND (30610-30619)	
RECEIPTS:							
Consumption/Use Taxes.....	-	-	-	-	-	-	
Business Taxes.....	-	-	-	-	-	-	
Other Taxes.....	-	-	-	-	-	-	
Miscellaneous Receipts.....	36,812	979	2,467	24,330	-	-	
Federal Receipts.....	-	-	-	-	-	-	
Total Receipts.....	36,812	979	2,467	24,330	-	-	
DISBURSEMENTS:							
Local Assistance Grants (*):							
Education.....	-	-	-	-	-	-	
Environment and Recreation.....	2	33	-	-	-	-	
General Government.....	-	-	-	-	-	-	
Public Health.....	-	-	-	-	-	-	
Medicaid.....	-	-	-	-	-	-	
Other Public Health.....	-	-	-	-	-	-	
Public Safety.....	-	-	-	(6,291)	-	-	
Public Welfare.....	-	-	-	-	-	-	
Support and Regulate Business.....	-	-	-	-	-	-	
Transportation.....	-	-	-	-	-	-	
Total Local Assistance Grants.....	2	33	-	(6,291)	-	-	
Capital Projects.....	66,704	886	-	(8,381)	-	-	
Total Disbursements.....	66,706	919	-	(14,672)	-	-	
Excess (Deficiency) of Receipts over Disbursements.....	(29,894)	60	2,467	39,002	-	-	
OTHER FINANCING SOURCES (USES):							
Bond and Note Proceeds, net.....	-	-	-	-	-	-	
Transfers from Other Funds.....	61,191	-	-	-	-	-	
Transfers to Other Funds (*):	-	-	-	-	-	-	
Total Other Financing Sources (Uses).....	61,191	-	-	-	-	-	
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses.....	31,297	60	2,467	39,002	-	-	
Fund Balances (Deficits) at April 1.....	83,477	17,054	11,592	(88,684)	-	-	
Fund Balances (Deficits) at March 31.....	114,774	17,114	14,059	(49,682)	-	-	
	\$	\$	\$	\$	\$	\$	

(\*) See Accompanying Notes

STATE OF NEW YORK CAPITAL PROJECTS FUNDS - STATE COMBINING STATEMENT OF CASH RECEIPTS, DISBURSEMENTS AND CHANGES IN FUND BALANCES FISCAL YEAR ENDED MARCH 31, 2020 (amounts in thousands)													EXHIBIT A-4 (continued)	
	PASSENGER FACILITY CHARGE (30400-30449)	PURE WATERS BOND (30620-30629)	RAIL PRESERVATION AND DEVELOPMENT BOND (30900-30949)	REBUILD AND RENEW NEW YORK TRANSPORTATION BOND (30650-30659)	SMART SCHOOLS BOND (30710-30719)	STATE HOUSING BOND (30700-30709)								
RECEIPTS:														
Consumption/Use Taxes.....	-	-	-	-	-	-								
Business Taxes.....	-	-	-	-	-	-								
Other Taxes.....	-	-	-	-	-	-								
Miscellaneous Receipts.....	-	-	-	-	-	-								
Federal Receipts.....	-	-	-	-	-	-								
Total Receipts.....	-	-	-	-	-	-								
DISBURSEMENTS:														
Local Assistance Grants (*):														
Education.....	-	-	-	-	-	-								
Environment and Recreation.....	-	-	-	-	-	-								
General Government.....	-	-	-	-	-	-								
Public Health.....	-	-	-	-	-	-								
Medicaid.....	-	-	-	-	-	-								
Other Public Health.....	-	-	-	-	-	-								
Public Safety.....	-	-	-	-	-	-								
Public Welfare.....	-	-	-	-	-	-								
Support and Regulate Business.....	-	-	-	-	-	-								
Transportation.....	-	-	-	-	-	-								
Total Local Assistance Grants.....	-	-	-	-	-	-								
Capital Projects.....	-	-	-	-	-	-								
Total Disbursements.....	-	-	-	-	-	-								
Excess (Deficiency) of Receipts over Disbursements.....	-	-	-	-	-	-								
OTHER FINANCING SOURCES (USES):														
Bond and Note Proceeds, net.....	-	-	-	-	-	-								
Transfers from Other Funds.....	-	-	-	-	-	-								
Transfers to Other Funds (*).....	-	-	-	(1,547)	-	-								
Total Other Financing Sources (Uses).....	-	-	-	(1,547)	-	-								
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses.....	-	-	-	(1,547)	-	-								
Fund Balances (Deficits) at April 1.....	15	668	-	18,876	-	-								
Fund Balances (Deficits) at March 31.....	15	668	\$	\$	\$	\$								
See Accompanying Notes														

(\*) See Accompanying Notes



STATE OF NEW YORK CAPITAL PROJECTS FUNDS - STATE COMBINING STATEMENT OF CASH RECEIPTS, DISBURSEMENTS AND CHANGES IN FUND BALANCES FISCAL YEAR ENDED MARCH 31, 2020 (amounts in thousands)										EXHIBIT A-4 (continued)	
RECEIPTS:											
Consumption/Use Taxes.....	\$	-	\$	-	\$	-	-	-	-	\$	-
Business Taxes.....	-	-	-	-	-	-	-	-	-	-	-
Other Taxes.....	-	-	-	-	-	-	-	-	-	-	-
Miscellaneous Receipts.....	108,590	20,448	3,086	11	-	-	-	-	-	-	-
Federal Receipts.....	-	-	-	-	-	-	-	-	-	-	-
Total Receipts.....	108,590	20,448	3,086	11	-	-	-	-	-	-	-
DISBURSEMENTS:											
Local Assistance Grants (*):											
Education.....	-	-	-	-	-	-	-	-	-	-	-
Environment and Recreation.....	-	-	-	-	-	-	-	-	-	-	-
General Government.....	-	-	-	-	-	-	-	-	-	-	-
Public Health.....	-	-	-	-	-	-	-	-	-	-	-
Medicaid.....	-	-	-	-	-	-	-	-	-	-	-
Other Public Health.....	-	-	-	-	-	-	-	-	-	-	-
Public Safety.....	-	-	-	-	-	-	-	-	-	-	-
Public Welfare.....	-	-	-	-	-	-	-	-	-	-	-
Support and Regulate Business.....	-	-	-	-	-	-	-	-	-	-	-
Transportation.....	-	-	-	-	-	-	-	-	-	-	-
Total Local Assistance Grants.....	-	-	-	-	-	-	-	-	-	-	-
Capital Projects.....	128,239	43,312	59,365	-	-	-	-	-	-	-	-
Total Disbursements.....	128,239	43,312	59,365	-	-	-	-	-	-	-	-
Excess (Deficiency) of Receipts over Disbursements.....	(18,649)	(22,864)	(56,279)	11	-	-	-	-	-	-	-
OTHER FINANCING SOURCES (USES):											
Bond and Note Proceeds, net.....	-	-	-	-	-	-	-	-	-	-	-
Transfers from Other Funds.....	-	79,849	59,508	-	-	-	-	-	-	-	(7,823)
Transfers to Other Funds (*):	-	-	13	-	-	-	-	-	-	-	7,823
Total Other Financing Sources (Uses).....	-	79,849	59,521	-	-	-	-	-	-	-	-
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses.....	(18,649)	56,985	3,242	11	-	-	-	-	-	-	-
Fund Balances (Deficits) at April 1.....	(25,302)	120,004	145,596	527	-	-	-	-	-	-	-
Fund Balances (Deficits) at March 31.....	(43,951)	176,989	148,838	538	-	-	-	-	-	-	-
(*) See Accompanying Notes											

**STATE OF NEW YORK  
CAPITAL PROJECTS FUNDS - STATE AND FEDERAL  
COMBINING STATEMENT OF CASH RECEIPTS,  
DISBURSEMENTS AND CHANGES IN FUND BALANCES  
FISCAL YEAR ENDED MARCH 31, 2020**  
(amounts in thousands)

**EXHIBIT A-4  
(continued)**

	TOTAL STATE CAPITAL PROJECTS	FEDERAL CAPITAL PROJECTS (31350-31449)	ELIMINATIONS (*)	COMBINED TOTALS	
				2019-20	2018-19
<b>RECEIPTS:</b>					
Consumption/Use Taxes	631,986	-	\$ -	\$ 631,986	\$ 644,704
Business Taxes	666,364	-	-	666,364	669,689
Other Taxes	119,100	-	-	119,100	119,100
Miscellaneous Receipts	6,549,696	1,112	-	6,550,808	7,497,032
Federal Receipts	4,635	2,104,468	-	2,109,103	2,350,013
<b>Total Receipts</b>	<b>7,971,781</b>	<b>2,105,580</b>	-	<b>10,077,361</b>	<b>11,280,518</b>
<b>DISBURSEMENTS:</b>					
Local Assistance Grants (*):					
Education	175,690	-	-	175,690	152,923
Environment and Recreation	241,685	175,375	-	417,060	426,527
General Government	850,047	-	-	850,047	1,014,717
Public Health:					
Medicaid	-	-	-	-	-
Other Public Health	512,541	42,873	-	555,414	351,077
Public Safety	62,783	34,001	-	96,784	75,853
Public Welfare	413,057	-	-	413,057	344,273
Support and Regulate Business	879,044	-	-	879,044	1,087,037
Transportation	1,083,425	542,490	-	1,625,915	1,781,313
<b>Total Local Assistance Grants</b>	<b>4,218,272</b>	<b>794,739</b>	-	<b>5,013,011</b>	<b>5,233,720</b>
Capital Projects	5,915,726	1,069,713	-	6,985,439	7,031,248
<b>Total Disbursements</b>	<b>10,133,998</b>	<b>1,864,452</b>	-	<b>11,998,450</b>	<b>12,264,968</b>
<b>Excess (Deficiency) of Receipts over Disbursements</b>	<b>(2,162,217)</b>	<b>241,128</b>	-	<b>(1,921,089)</b>	<b>(884,450)</b>
<b>OTHER FINANCING SOURCES (USES):</b>					
Bond and Note Proceeds, net	-	-	-	-	132,900
Transfers from Other Funds	3,845,531	-	(299,011)	3,546,520	2,218,760
Transfers to Other Funds (*)	(1,522,319)	(299,146)	299,011	(1,522,454)	(1,353,897)
<b>Total Other Financing Sources (Uses)</b>	<b>2,323,212</b>	<b>(299,146)</b>	-	<b>2,024,066</b>	<b>997,763</b>
<b>Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses</b>	<b>160,995</b>	<b>(58,018)</b>	-	<b>102,977</b>	<b>13,313</b>
<b>Fund Balances (Deficits) at April 1</b>	<b>(633,230)</b>	<b>(504,674)</b>	-	<b>(1,137,904)</b>	<b>(1,151,217)</b>
<b>Fund Balances (Deficits) at March 31</b>	<b>(472,235)</b>	<b>(562,692)</b>	-	<b>(1,034,927)</b>	<b>(1,137,904)</b>
	<b>\$</b>	<b>\$</b>	<b>\$</b>	<b>\$</b>	<b>\$</b>

(\*) See Accompanying Notes

STATE OF NEW YORK

ENTERPRISE FUNDS

COMBINING STATEMENT OF CASH RECEIPTS,  
DISBURSEMENTS AND CHANGES IN FUND BALANCES  
FISCAL YEAR ENDED MARCH 31, 2020  
(amounts in thousands)

	AGENCY ENTERPRISE (50300-50399)	CORRECTIONAL SERVICES COMMISSARY (50100-50299)	MENTAL HYGIENE COMMUNITY STORES (50500-50599)	SHELTERED AND PATIENT WORKSHOP (50400-50499)	STATE EXPOSITION SPECIAL (50050-50099)	UNEMPLOYMENT INSURANCE (50650-50699)	YOUTH COMMISSARY (50000-50049)	COMBINED TOTALS	
								2019-20	2018-19
RECEIPTS:									
Miscellaneous Receipts.....	\$ 21,900	\$ 41,883	\$ 1,524	\$ 1,567	\$ 13,635	\$ 222	\$ 25	\$ 80,756	\$ 61,609
Federal Receipts.....	-	-	-	-	-	16,826	-	16,826	15,146
Unemployment Taxes.....	-	-	-	-	-	2,284,671	-	2,284,671	2,013,564
Total Receipts.....	21,900	41,883	1,524	1,567	13,635	2,301,719	25	2,382,253	2,090,319
DISBURSEMENTS:									
Departmental Operations:									
Personal Service.....	11,166	-	36	-	5,942	-	-	17,144	5,983
Non-Personal Service.....	4,859	42,203	1,238	1,318	7,866	-	18	57,502	53,473
General State Charges.....	1,623	-	22	-	-	-	-	1,645	1,016
Unemployment Benefits.....	-	-	-	-	-	2,303,371	-	2,303,371	2,027,828
Total Disbursements.....	17,648	42,203	1,296	1,318	13,808	2,303,371	18	2,379,862	2,088,300
Excess (Deficiency) of Receipts over Disbursements.....	4,252	(320)	228	249	(173)	(1,652)	7	2,591	2,019
OTHER FINANCING SOURCES (USES):									
Transfers from Other Funds.....	-	-	-	-	500	-	-	500	-
Transfers to Other Funds.....	-	-	-	-	-	-	-	-	-
Total Other Financing Sources (Uses).....	-	-	-	-	500	-	-	500	-
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses.....	4,252	(320)	228	249	327	(1,652)	7	3,091	2,019
Fund Balances at April 1.....	3,397	4,077	4,719	3,893	1,259	9,144	97	26,586	24,567
Fund Balances at March 31.....	7,649	3,757	4,947	4,142	1,586	7,492	104	29,677	26,586

EXHIBIT B-1

**STATE OF NEW YORK**  
**INTERNAL SERVICE FUNDS**  
**COMBINING STATEMENT OF CASH RECEIPTS,**  
**DISBURSEMENTS AND CHANGES IN FUND BALANCES**  
**FISCAL YEAR ENDED MARCH 31, 2020**  
(amounts in thousands)

EXHIBIT B-2

	AGENCY INTERNAL SERVICE (65050-55099)	AUDIT AND CONTROL REVOLVING (65250-55299)	CENTRALIZED SERVICES (65000-55049)	CORRECTIONAL INDUSTRIES REVOLVING (65350-55399)	HEALTH INSURANCE REVOLVING (65300-55349)	JOINT LABOR AND MANAGEMENT ADMINISTRATION (55200-55249)	MENTAL HYGIENE REVOLVING (55100-55149)	YOUTH VOCATIONAL EDUCATION (55150-55199)	COMBINED TOTALS	
									2019-20	2018-19
<b>RECEIPTS:</b>										
Miscellaneous Receipts.....	\$ 146,251	\$ 32,953	\$ 350,559	\$ 45,315	\$ 12,221	\$ 1,179	\$ 740	\$ 34	\$ 589,252	\$ 531,113
<b>Total Receipts.....</b>	<b>146,251</b>	<b>32,953</b>	<b>350,559</b>	<b>45,315</b>	<b>12,221</b>	<b>1,179</b>	<b>740</b>	<b>34</b>	<b>589,252</b>	<b>531,113</b>
<b>DISBURSEMENTS:</b>										
Departmental Operations:										
Personal Service.....	28,600	12,111	59,235	17,183	8,023	588	451	-	126,191	109,708
Non-Personal Service.....	113,073	22,199	313,952	38,344	1,482	93	74	21	489,238	468,582
General State Charges.....	17,153	4,773	21,373	7,505	4,800	375	289	-	56,268	73,828
<b>Total Disbursements.....</b>	<b>158,826</b>	<b>39,083</b>	<b>394,560</b>	<b>63,032</b>	<b>14,305</b>	<b>1,056</b>	<b>814</b>	<b>21</b>	<b>671,697</b>	<b>652,118</b>
<b>Excess (Deficiency) of Receipts over Disbursements.....</b>	<b>(12,575)</b>	<b>(6,130)</b>	<b>(44,001)</b>	<b>(17,717)</b>	<b>(2,084)</b>	<b>123</b>	<b>(74)</b>	<b>13</b>	<b>(82,445)</b>	<b>(121,005)</b>
<b>OTHER FINANCING SOURCES (USES):</b>										
Transfers from Other Funds.....	54,871	-	38,506	20,773	7,853	-	-	-	109,639	115,957
Transfers to Other Funds.....	(13,565)	(332)	(15,016)	(237)	(5,253)	(6)	-	-	(22,045)	(28,458)
<b>Total Other Financing Sources (Uses).....</b>	<b>41,306</b>	<b>(332)</b>	<b>23,490</b>	<b>20,536</b>	<b>2,600</b>	<b>(6)</b>	<b>-</b>	<b>-</b>	<b>87,594</b>	<b>87,499</b>
<b>Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses.....</b>	<b>28,731</b>	<b>(6,462)</b>	<b>(20,511)</b>	<b>2,819</b>	<b>516</b>	<b>117</b>	<b>(74)</b>	<b>13</b>	<b>5,149</b>	<b>(33,506)</b>
<b>Fund Balances (Deficits) at April 1.....</b>	<b>(140,719)</b>	<b>(31,075)</b>	<b>(91,361)</b>	<b>(25,351)</b>	<b>(14,780)</b>	<b>481</b>	<b>72</b>	<b>71</b>	<b>(302,672)</b>	<b>(269,166)</b>
<b>Fund Balances (Deficits) at March 31.....</b>	<b>\$ (111,988)</b>	<b>\$ (37,537)</b>	<b>\$ (111,872)</b>	<b>\$ (22,542)</b>	<b>\$ (14,264)</b>	<b>\$ 598</b>	<b>\$ (2)</b>	<b>\$ 84</b>	<b>\$ (297,523)</b>	<b>\$ (302,672)</b>

STATE OF NEW YORK  
PENSION TRUST FUND  
COMBINING STATEMENT OF CASH RECEIPTS,  
DISBURSEMENTS AND CHANGES IN FUND BALANCE  
FISCAL YEAR ENDED MARCH 31, 2020  
(amounts in thousands)

EXHIBIT C-1

	COMMON RETIREMENT ADMINISTRATION (65000-65049)	
	2019-20	2018-19
<b>RECEIPTS:</b>		
Miscellaneous Receipts.....	\$ 145,216	\$ 143,992
<b>Total Receipts.....</b>	<b>145,216</b>	<b>143,992</b>
<b>DISBURSEMENTS:</b>		
Departmental Operations:		
Personal Service.....	70,425	69,282
Non-Personal Service.....	34,420	31,279
General State Charges.....	38,545	44,420
<b>Total Disbursements.....</b>	<b>143,390</b>	<b>144,981</b>
<b>Excess (Deficiency) of Receipts over Disbursements.....</b>	<b>1,826</b>	<b>(989)</b>
<b>Fund Balance (Deficit) at April 1.....</b>	<b>(2,971)</b>	<b>(1,982)</b>
<b>Fund Balance (Deficit) at March 31.....</b>	<b>\$ (1,145)</b>	<b>\$ (2,971)</b>

STATE OF NEW YORK		EXHIBIT C-2	
PRIVATE PURPOSE TRUST FUNDS			
COMBINING STATEMENT OF CASH RECEIPTS			
DISBURSEMENTS AND CHANGES IN FUND BALANCES			
FISCAL YEAR ENDED MARCH 31, 2020			
(amounts in thousands)			
		COMBINED TOTALS	
		2019-20	2018-19
<b>RECEIPTS:</b>			
Miscellaneous Receipts.....		\$ 1,487	\$ 1,530
<b>Total Receipts.....</b>		<b>1,487</b>	<b>1,530</b>
<b>DISBURSEMENTS:</b>			
Departmental Operations:			
Personal Service.....	119	220	139
Non-Personal Service.....	5	9	6
General State Charges.....	76	141	67
<b>Total Disbursements.....</b>	<b>200</b>	<b>370</b>	<b>212</b>
<b>Excess (Deficiency) of Receipts over Disbursements.....</b>	<b>68</b>	<b>1,117</b>	<b>1,318</b>
<b>Fund Balances at April 1.....</b>	<b>2,906</b>	<b>13,176</b>	<b>11,858</b>
<b>Fund Balances at March 31.....</b>	<b>\$ 2,974</b>	<b>\$ 14,293</b>	<b>\$ 13,176</b>

STATE OF NEW YORK  
 AGENCY FUNDS (\*)  
 COMBINING STATEMENT OF CASH RECEIPTS,  
 DISBURSEMENTS AND CHANGES IN FUND BALANCES  
 FISCAL YEAR ENDED MARCH 31, 2020  
 (amounts in thousands)

EXHIBIT C-3

	RECEIPTS				DISBURSEMENTS			
	Cash Balance April 1, 2019	Miscellaneous	Federal (**)	Transfers From Other Funds	Department Operations	Refunds	Dedicated Payments	Cash Balance March 31, 2020
Child Performer's Holding (60150-60199).....	\$ 515	\$ 36	-	-	\$ -	\$ (20)	\$ -	\$ 531
CUNY Senior College Operating (60850-60899).....	13,413	2,622,366	-	-	(2,603,017)	-	-	32,762
Elderly Pharmaceutical Insurance Coverage (EPIC) Escrow (60800-60849)...	28,086	74,133	-	-	-	-	(71,317)	30,902
Employee Payroll Withholding (60300-60399).....	1,863	4,726,137	-	-	-	-	(4,729,010)	(1,010)
Employees Dental Insurance (60400-60449).....	20,015	71,032	-	-	-	-	(72,505)	18,542
Employees Health Insurance (60200-60249).....	1,024,786	11,015,937	145	-	-	-	(11,069,911)	970,957
Health Insurance Reserve Receipts (60550-60599).....	142	3	-	-	-	-	-	145
Lottery Prize (60500-60549) .....	627,403	1,201,751	-	-	-	-	(1,331,479)	497,675
Management Confidential Group Insurance (60450-60499).....	518	8,778	-	-	-	-	(8,918)	378
Medicaid Management Information System (MMIS) Escrow (60900-60949) ...	1,950,898	76,841,501	-	57,277	-	-	(77,393,270)	1,456,406
Miscellaneous New York State Agency (60600-60799) .....	954,701	6,178,441	-	-	-	-	(6,207,373)	925,769
School Capital Facilities Financing Reserve (60050-60149) .....	23,523	4,495	-	-	-	-	(3,263)	24,755
Social Security Contribution (60250-60299) .....	15,090	1,278,253	-	-	-	-	(1,278,282)	15,061
Special Education (60950-60999) .....	-	-	-	-	-	-	-	-
SSI SSP Payment (62000-62049) .....	-	-	-	-	-	-	-	-
State University Federal Direct Lending Program (61100-61999) .....	(662)	1,127,627	-	-	(21)	-	(1,127,656)	(712)
State University of New York Revenue Collection (61000-61099) .....	97,316	(42,184)	-	-	-	-	-	55,132
<b>Total Agency Funds.....</b>	<b>\$ 4,757,607</b>	<b>\$ 105,108,306</b>	<b>\$ 145</b>	<b>\$ 57,277</b>	<b>\$ (2,603,039)</b>	<b>\$ (20)</b>	<b>\$ (103,292,984)</b>	<b>\$ 4,027,293</b>

(\*) Agency funds are used to account for funds held by the State in a purely custodial capacity or to hold monies where the disposition of the receipt is not known at the time the revenue is received. Cash is held until the proper disposition is determined (e.g., transferred to a State fund) or until payments are made to individuals, private organizations or other governmental units (e.g., payment of withholding taxes).

Agency funds are also used for temporary investment purposes where a State fund payment has been issued but the checks have not cleared the bank account from which the checks were issued (e.g., Medicaid, EPIC).

(\*\*) Federal Receipts represent participating employers' share of the Medicare Part D subsidy. Participating employers include local governments, public authorities, public benefit corporations and municipal corporations.

STATE OF NEW YORK  
SOLE CUSTODY FUNDS AND ACCOUNTS  
STATEMENT OF RECEIPTS AND DISBURSEMENTS  
FISCAL YEAR ENDED MARCH 31, 2020

EXHIBIT C-4

	CASH AND INVESTMENT BALANCES APRIL 1, 2019		RECEIPTS		DISBURSEMENTS		CASH AND INVESTMENT BALANCES MARCH 31, 2020	
	\$	2	\$	671	\$	671	\$	2
<b>GENERAL FUND</b>								
Adirondack Park Agency:								
Revenue Collection.....				671		671		
Assembly:		-		1,181		1,181		-
Revenue Collection.....								
Department of Agriculture and Markets:								
Revenue Collection.....	177,987			8,996,769		9,140,918		33,838
Department of Corrections and Community Supervision:								
Revenue Collection.....	1,560,640			12,200,311		13,111,882		649,069
Department of Financial Services:								
Revenue Collection.....	584,746			1,411,058,608		1,411,165,000		478,354
Department of Health:								
Medicaid Recoveries Account.....	805,682			273,155,949		246,287,579		27,674,052
Revenue Collection.....	64,023,202			3,509,994,888		3,478,989,175		95,028,915
Department of Motor Vehicles:								
Revenue Collection.....	35,234,569			2,057,080,479		2,077,952,980		14,362,068
Department of Taxation and Finance:								
Revenue Collection.....	365,804			3,878		369,682		-
Stock Transfer Incentive.....	1,072,355			319,435		296,447		1,095,343
Higher Education Services Corporation:								
Tuition Assistance Program Recoveries.....	5,261,274			4,765,527		5,268,301		4,758,500
Joint Commission on Public Ethics:								
Revenue Collection.....	58,140			998,685		1,040,600		16,225
NYS Gaming Commission:								
Revenue Collection.....	1,097,177			25,447,972		25,782,669		762,480
Office for People with Developmental Disabilities:								
Electronic Benefits Account.....	1,334,115			13,490,041		12,377,758		2,446,398
Facility Revenue Collection.....	824,515			2,199,655		2,317,510		706,660
Revenue Collection.....	5,805,766			2,096,446,031		2,097,826,265		4,424,532
Office of Addiction Services and Supports:								
Revenue Collection.....	34,189			527,640		557,429		4,400
Office of Children and Family Services:								
Revenue Collection.....	4,924			14,911		19,630		205
Office of General Services:								
Escrow Fund.....	27,271,005			26,250,233		41,269,012		12,252,226
Executive Mansion Expenses.....	5,973			144,596		114,833		35,736
Office of Mental Health:								
Medication Grant Program.....	1,069			2,769,018		2,762,058		8,029
Revenue Collection (*).....	1,067,535			2,603,411		2,712,781		958,165

(\*) At the time of publishing, some accounts remain unfilled.



STATE OF NEW YORK  
SOLE CUSTODY FUNDS AND ACCOUNTS  
STATEMENT OF RECEIPTS AND DISBURSEMENTS  
FISCAL YEAR ENDED MARCH 31, 2020

EXHIBIT C-4  
(continued)

	CASH AND INVESTMENT BALANCES APRIL 1, 2019	RECEIPTS	DISBURSEMENTS	CASH AND INVESTMENT BALANCES MARCH 31, 2020
<b>GENERAL FUND (continued)</b>				
Office of the State Comptroller:				
Revenue Collection.....	291,974,622	113,912,452,872	113,829,190,898	375,236,596
Tobacco Settlement.....	2,819,155	60,708	-	2,879,863
<b>Total General Fund.....</b>	<b>441,384,446</b>	<b>123,360,982,469</b>	<b>123,258,555,259</b>	<b>543,811,656</b>
<b>SPECIAL REVENUE FUNDS</b>				
Department of Agriculture and Markets:	155,296	3,057,400	3,079,795	132,901
Marketing and Publicity Account.....				
Department of Civil Service:	5,142	-	-	5,142
Affirmative Action Advisory Council.....	23,387	1,632,258	1,640,150	15,495
Exam Application Fees.....				
Department of Education:	4,626,819	687,713	934,258	4,380,274
Archives Partnership Trust Endowment.....	291,504	105,021,230	105,035,000	277,734
Revenue Collection.....	110,807	519,183	628,383	1,607
Revenue Collection - Batavia School for the Blind.....	4,294	44,473	44,834	3,933
Revenue Collection - Rome School for the Deaf.....				
Department of Environmental Conservation:	6,795,746	212,681,712	214,654,364	4,823,094
Revenue Collection.....				
Department of Financial Services:	2,890,025	105,251,127	105,112,330	3,028,822
Excess Liability Pool (**)	219,298,713	179,218,035	68,615,063	329,901,685
Liquidation Bureau - Property and Liability Insurance Security.....	152,393,998	25,728,588	46,392,370	131,730,216
Liquidation Bureau - Public Motor Vehicle Liability Security.....	240,017,794	57,682,368	51,980,113	246,720,049
Medical Indemnity Fund.....	86,940,041	17,815,035	24,761,444	79,993,632
Rate Stabilization Pool (**)	95,735,032	183,803,600	90,771,821	188,766,811
Workers' Compensation Security Account.....	100,259	180,000,251	180,000,498	100,012
Workers' Compensation Security Fund Payment Account.....				
Department of Health:	156,791	13,632,569	13,658,826	130,534
Clinical Lab Fee.....	1,852,131	179,386,647	180,323,487	915,291
Elderly Pharmaceutical Insurance Coverage (EPIC) Program (**)	21,769,118	984,077,014	980,973,849	24,872,283
Health Facilities Assessments (**)	66,492	3,986,396	3,982,952	68,936
Hospital Fees.....	2,224	912,425,855	912,427,410	669
Medical Disproportionate Share (Indigent Care Pools) (**)	1,004	-	1,004	-
Medical Marijuana.....	284,899,773	5,537,172,452	5,471,124,916	350,947,309
Public Goods Pool (**)	55,778	685,479	642,000	99,257
Statewide Planning and Research Cooperative System (SPARCS).....	1,730,498	338,352,427	340,071,985	10,940
Women, Infants and Child (WIC) Program.....				

(\*\*) These accounts are administered by a fiscal agent on behalf of New York State.

STATE OF NEW YORK  
SOLE CUSTODY FUNDS AND ACCOUNTS  
STATEMENT OF RECEIPTS AND DISBURSEMENTS  
FISCAL YEAR ENDED MARCH 31, 2020

EXHIBIT C-4  
(continued)

	CASH AND INVESTMENT BALANCES APRIL 1, 2019	RECEIPTS	DISBURSEMENTS	CASH AND INVESTMENT BALANCES MARCH 31, 2020
<b><u>SPECIAL REVENUE FUNDS (continued)</u></b>				
Department of Labor:				
Revenue Collection.....	1,009,885	25,008,943	24,630,279	1,388,549
Department of Law:				
Shared Forfeiture.....	2,327,231	42,358	741,134	1,628,455
Department of Public Service:				
Revenue Collection.....	494,787	98,716,708	99,103,000	108,495
Department of State:				
Revenue Collection.....	1,694,907	84,385,149	84,672,157	1,407,899
Department of Taxation and Finance:				
Revenue Collection.....	-	31,625,267	29,675,879	1,949,388
World Trade Center Memorial Foundation.....	51,905	82,748	26,881	107,772
Division of Homeland Security and Emergency Services:				
Fire Academy Account.....	40,475	660,276	688,831	11,920
Division of Housing and Community Renewal:				
Maximum Base Rent.....	45,065	216,960	251,090	10,935
Revenue Collection.....	133,268	11,893,024	11,810,503	215,789
Division of Military and Naval Affairs:				
Revenue Collection.....	13,561	165,556	169,800	9,317
Lake George Park Commission:				
Revenue Collection.....	675,297	1,744,168	1,502,000	917,465
NYS Gaming Commission:				
Revenue Collection.....	13,542,844	1,375,104,457	1,387,232,067	1,415,234
Office for People with Developmental Disabilities:				
Nonexpendable Trust.....	25,011	1	-	25,012
Office of Children and Family Services:				
Care and Maintenance Reimbursement Account.....	-	-	-	-
Medicaid Reimbursement Account.....	260,750	161,119	343,814	78,055
Revenue Collection.....	101,769	4,363,109	4,318,534	146,344
Office of Parks, Recreation and Historic Preservation:				
Revenue Collection.....	2,599,468	128,946,993	130,179,895	1,366,566
Office of the State Comptroller:				
Tax Collection.....	1,225,700	1,234,678,504	1,222,711,882	13,192,322
State Board of Elections:				
Revenue Collection.....	24,386	628,010	633,590	18,806
State University:				
Revenue Collection.....	35,474,385	5,410,088,669	5,433,494,285	12,068,769
Student Loan Repayment.....	(1,679,179)	216,312,303	213,981,891	651,233

EXHIBIT C-4  
(continued)STATE OF NEW YORK  
SOLE CUSTODY FUNDS AND ACCOUNTS  
STATEMENT OF RECEIPTS AND DISBURSEMENTS  
FISCAL YEAR ENDED MARCH 31, 2020

	CASH AND INVESTMENT BALANCES APRIL 1, 2019	RECEIPTS	DISBURSEMENTS	CASH AND INVESTMENT BALANCES MARCH 31, 2020
<b><u>SPECIAL REVENUE FUNDS (continued)</u></b>				
Unified Court System:				
Revenue Collection (*)	20,953,721	671,371,688	681,078,774	11,246,635
Workers' Compensation Board:				
Revenue Collection	2,529,356,700	1,338,899,344	1,083,579,523	2,784,676,521
<b>Total Special Revenue Funds</b>	<b>3,728,293,602</b>	<b>19,677,957,166</b>	<b>19,207,692,661</b>	<b>4,198,568,107</b>
<b><u>DEBT SERVICE FUNDS</u></b>				
Department of Health:				
Revenue Collection	5,708,568	166,706,321	169,233,713	3,181,176
Department of Taxation and Finance:				
DASNY Community College Tuition and Instructional Income	4,514,935	7,135,818	6,726,500	4,924,253
DASNY Mental Hygiene Facilities Improvements	-	71,424,977	71,424,977	-
SUNY Construction Fund Debt Service	29,743	114,066,400	114,064,375	31,768
SUNY Dormitory Facilities Revenue - Repair and Rehabilitation	144,327,710	759,513,041	756,967,926	146,872,825
Office of Addiction Services and Supports:				
Revenue Collection	373,946	25,410,994	24,986,378	798,562
Office of Mental Health:				
Revenue Collection	22,949,611	1,145,107,513	1,130,369,212	37,687,912
Office of the State Comptroller:				
Tax Collection	384,217	1,250,552,417	1,249,459,099	1,477,535
<b>Total Debt Service Funds</b>	<b>178,288,730</b>	<b>3,539,917,481</b>	<b>3,523,232,180</b>	<b>194,974,031</b>
<b><u>CAPITAL PROJECTS FUNDS</u></b>				
Department of Taxation and Finance:				
DASNY State Advances Repayment Account	-	232,387,824	232,387,824	-
SUNY Construction Fund Capital Projects	30,718,607	18,712,827	17,914,642	31,516,792
SUNY Dormitory Facilities Revenue - Repair and Rehabilitation	58,511,851	40,690,054	24,569,344	74,632,561
Department of Transportation:				
Revenue Collection	3,379,171	45,342,099	48,365,510	355,760
Division of State Police:				
Revenue Collection	48,287	8,170,139	8,169,578	48,848
Office of the State Comptroller:				
Hazardous Waste Remediation Collection	1,365	1,575,968	1,537,000	40,333
Tax Collection - Highways and Bridges	1,186,855	1,898,621,283	1,897,103,900	2,704,238
<b>Total Capital Projects Funds</b>	<b>93,846,136</b>	<b>2,245,500,194</b>	<b>2,230,047,798</b>	<b>109,298,532</b>

(\*) At the time of publishing, some accounts remain unfilled.

EXHIBIT C-4  
(continued)STATE OF NEW YORK  
SOLE CUSTODY FUNDS AND ACCOUNTS  
STATEMENT OF RECEIPTS AND DISBURSEMENTS  
FISCAL YEAR ENDED MARCH 31, 2020

	CASH AND INVESTMENT BALANCES APRIL 1, 2019	RECEIPTS	DISBURSEMENTS	CASH AND INVESTMENT BALANCES MARCH 31, 2020
<b><u>ENTERPRISE FUNDS</u></b>				
Department of Agriculture and Markets:				
New York State Fair Revenue Collection.....	19,351	13,704,618	13,611,028	112,941
Department of Labor:				
Unemployment Insurance Fund Clearing Account.....	1,716,449	2,379,804,458	2,379,306,366	2,214,541
<b>Total Enterprise Funds.....</b>	<b>1,735,800</b>	<b>2,393,509,076</b>	<b>2,392,917,394</b>	<b>2,327,482</b>
<b><u>INTERNAL SERVICE FUNDS</u></b>				
Corcraft:				
Revenue Collection.....	728,920	45,520,414	45,529,000	720,334
Office of General Services:				
Revenue Collection - State Office Buildings.....	10,501	47,088	57,052	537
<b>Total Internal Service Funds.....</b>	<b>739,421</b>	<b>45,567,502</b>	<b>45,586,052</b>	<b>720,871</b>
<b><u>PRIVATE PURPOSE TRUST</u></b>				
Department of Agriculture and Markets:				
Producer Security.....	75,680	1,178,931	1,207,172	47,439
Office of the State Comptroller:				
New York State College Choice Tuition Savings.....	28,108,520,752	9,112,726,598	2,540,087,393	34,681,159,957
<b>Total Private Purpose Trust Funds.....</b>	<b>28,108,596,432</b>	<b>9,113,905,529</b>	<b>2,541,294,565</b>	<b>34,681,207,396</b>
<b><u>AGENCY FUNDS</u></b>				
Council on the Arts:				
Theater Development.....	-	951,617	27,083	924,534
Department of Agriculture and Markets:				
Farmers Market Nutrition Program.....	919,633	5,405,965	5,892,822	432,776
Marketing and Publicity Account.....	10,402	157,835	153,843	14,394
Department of Civil Service:				
Employees' Health Insurance Premiums Collection.....	44,211,550	6,314,323,604	6,352,564,963	5,970,191
Department of Corrections and Community Supervision:				
Employee Benefit Account.....	625,114	877,381	831,282	671,213
Inmates' Account.....	18,077,298	89,102,230	89,346,203	17,833,325
Occupational Therapy.....	1,513,055	3,391,021	3,444,083	1,459,993
Department of Education:				
State Schools Student Activity Accounts.....	24,113	24,705	23,266	25,552

EXHIBIT C-4  
(continued)STATE OF NEW YORK  
SOLE CUSTODY FUNDS AND ACCOUNTS  
STATEMENT OF RECEIPTS AND DISBURSEMENTS  
FISCAL YEAR ENDED MARCH 31, 2020

## AGENCY FUNDS (continued)

	CASH AND INVESTMENT BALANCES APRIL 1, 2019	RECEIPTS	DISBURSEMENTS	CASH AND INVESTMENT BALANCES MARCH 31, 2020
Department of Environmental Conservation:				
Coastal Erosion Projects Escrow.....	3,870,554	19,308	1,897,485	1,992,377
Department of Financial Services:				
Family Leave Benefits Account.....	-	48,926,860	48,926,860	-
Foreign Fire Insurance Tax.....	62,178,186	62,165,889	62,195,451	62,148,624
Department of Health:				
American Indian Health Program (**)	733,168	19,794,867	20,520,747	7,268
Early Intervention Program.....	59,034	736,839,124	734,253,462	2,644,696
Medicaid.....	324	2,147,647,174	2,118,696,496	28,991,002
Miscellaneous Agency Account.....	6,043	1,837	1,650	6,230
Patients' Account.....	1,727,659	6,874,422	6,878,637	1,723,444
Department of Labor:				
Minimum and Prevailing Wage and Wage Claim Payment Account.....	(185,403)	19,034,801	19,406,519	(557,121)
Department of Law:				
Civil Recoveries.....	19,793,828	279,019,625	287,390,367	11,423,086
Medicaid Fraud Control Escrow.....	18,093,163	242,866,354	245,417,536	15,541,981
Restitution Account.....	126,834,808	29,191,489	17,029,627	138,996,670
Department of Motor Vehicles:				
Revenue Collection.....	128,595	189,102,662	189,104,160	127,097
Division of State Police:				
Seized Asset.....	5,037,203	2,570,570	6,113,301	1,494,472
Department of Taxation and Finance:				
DASNY NYC HHC Litigation Holding Account.....	17,632,910	476,122	20,000	18,089,032
Exchange Account.....	124,794	73,155,189	64,846,284	8,433,699
Linked Deposit Program.....	-	22,144,165	22,144,165	-
Special Investigation Program.....	-	426,943	54,959	371,984
State University Construction Fund Payroll Deductions.....	277,219	4,768,347	4,732,399	313,167
Department of Transportation:				
Contractors Bid Deposit and Guarantee Escrow.....	(53,099)	17,607,815	17,641,490	(86,774)
Employee Benefit Account.....	53	902	150	805
Highway Oversize/Overweight Credentialing System (HOOCs) Partners.	2,964,868	8,239,183	7,145,950	4,058,101
Division of Criminal Justice Services:				
Fingerprint Fees.....	1,060,007	58,412,358	58,381,545	1,090,820
Higher Education Services Corporation:				
Guaranteed Student Loans.....	78,414,813	806,306,086	790,039,622	94,681,277
NYS Gaming Commission:				
Lottery Prizes.....	1,306,586,979	1,334,732,692	1,223,549,072	1,417,770,599
Office for People with Developmental Disabilities:				
Patients' Account.....	32,196,628	115,417,584	115,936,808	31,677,404

(\*\*) These accounts are administered by a fiscal agent on behalf of New York State.

EXHIBIT C-4  
(continued)STATE OF NEW YORK  
SOLE CUSTODY FUNDS AND ACCOUNTS  
STATEMENT OF RECEIPTS AND DISBURSEMENTS  
FISCAL YEAR ENDED MARCH 31, 2020

	CASH AND INVESTMENT BALANCES APRIL 1, 2019	RECEIPTS	DISBURSEMENTS	CASH AND INVESTMENT BALANCES MARCH 31, 2020
<b>AGENCY FUNDS (continued)</b>				
Office of Children and Family Services:				
Youth Residents.....	35,667	121,191	111,846	45,013
Office of Employee Relations:				
Arbitrator Panel Administration.....	3,830	-	-	3,830
NYS Flex Spending Account.....	13,508,764	39,856,160	40,380,283	12,984,641
Office of Mental Health:				
Employee Benefits and Escrow.....	55,731	5,438	9,337	51,832
Patients' Account (*).....	9,718,109	19,416,890	21,166,351	7,968,648
Office of Parks, Recreation and Historic Preservation:				
Miscellaneous Agency Fund.....	415,034	79,950	115,405	379,579
Office of Temporary and Disability Assistance:				
Exchange Account.....	-	1,115,006	1,115,006	-
Title IV-D Child Support.....	16,373,724	74,091,476	80,290,313	10,174,887
Office of the State Comptroller:				
Abandoned Property.....	261,061,345	839,533,007	812,479,392	288,114,960
City of Troy Debt Service Fund.....	6,649,706	43,988,890	43,567,763	7,070,833
City of Troy Municipal Assistance State Aid.....	-	29,595,078	29,595,078	-
Comptroller's Refund.....	125,006	3,072,225,678	2,817,120,460	255,230,224
Hudson River - Black River.....	632,562	9,130,165	9,148,519	614,208
Income Tax Refund.....	7,679	11,546,799,742	11,309,169,920	237,637,501
Justice Court.....	38,521,472	334,111,330	294,079,843	78,552,959
MTA State Assistance.....	161,172,394	2,501,665,824	2,448,241,476	214,596,742
New York City General Debt Service Account.....	3,890,501	25,718,101,446	25,710,321,595	11,670,352
Ogdensburg Bridge and Port.....	(3,043,881)	4,497,206	1,368,883	84,442
Port of Oswego.....	(247,387)	3,162,770	2,375,305	540,078
Public Asset Account.....	9,314,503	200,580	-	9,515,083
Sales Tax Holding - Localities Share.....	1,507,876,271	17,305,902,959	17,405,803,428	1,407,975,802
Office of Victim Services:				
Crime Victims Restitution Escrow.....	390	-	-	390
State University:				
Miscellaneous Agency Account.....	2,010,748	207,593,164	201,584,846	8,019,066
Unified Court System:				
Judiciary Trust.....	6,874,303	28,552,558	32,046,457	3,380,404
Workers' Compensation Board:				
Rate Stabilization.....	45,214,438	792,443	11,000,000	35,006,881
Safe Depository.....	3,277,225,568	386,102,577	39,710,615	3,623,617,530
Self-Insured Account.....	-	-	-	-
<b>Total Agency Funds.....</b>	<b>7,100,259,976</b>	<b>74,806,618,254</b>	<b>73,825,370,407</b>	<b>8,081,507,823</b>
<b>TOTAL SOLE CUSTODY FUNDS AND ACCOUNTS.....</b>	<b>\$ 39,653,144,543</b>	<b>\$ 235,183,957,671</b>	<b>\$ 227,024,686,316</b>	<b>\$ 47,812,415,898</b>

(\*) At the time of publishing, some accounts remain unfilled.

STATE OF NEW YORK  
GOVERNMENTAL FUNDS  
SCHEDULE OF NET TAX RECEIPTS  
FISCAL YEAR ENDED MARCH 31, 2020  
(amounts in thousands)

SCHEDULE 1

	NET TAX RECEIPTS			
	2019-20		2018-19	
PERSONAL INCOME TAX.....	\$	63,865,459	\$	53,659,402
				\$
CONSUMPTION/USE TAXES:				
Sales and Use.....		16,137,929		15,932,060
Auto Rental.....		107,060		107,033
Cigarette and Tobacco Products.....		1,040,830		1,035,223
Medical Marijuana.....		5,698		5,698
Motor Fuel.....		539,751		511,845
Alcoholic Beverage.....		259,385		258,980
Highway Use.....		142,465		141,385
Vapor Excise.....		10,405		10,405
Opioid Excise.....		19,356		19,356
MCTD Taxicab Trip.....		12		-
Total Consumption/Use Taxes.....		18,262,891		18,021,985
				\$
BUSINESS TAXES:				
Corporation Franchise.....		6,180,704		4,824,289
Corporation and Utilities.....		721,580		704,722
Insurance.....		2,387,480		2,305,962
Bank.....		58,390		69
Petroleum Business.....		1,218,591		1,160,775
Total Business Taxes.....		10,566,745		8,995,817
				\$
OTHER TAXES:				
Real Property Gains.....		-		-
Estate and Gift.....		1,144,848		1,070,166
Pari-Mutuel.....		13,917		13,917
Real Estate Transfer.....		1,124,218		1,123,766
Racing and Exhibitions.....		2,123		2,022
Employer Compensation Expense Tax.....		2,020		1,994
Total Other Taxes.....		2,287,126		2,211,865
				\$
TOTAL TAX RECEIPTS.....	\$	94,982,221	\$	82,889,069
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**SCHEDULE 2**

**STATE OF NEW YORK**  
**GOVERNMENTAL FUNDS**  
**COMBINED STATEMENT OF RECEIPTS AND OTHER FINANCING SOURCES**  
**FISCAL YEAR ENDED MARCH 31, 2020**  
(amounts in thousands)

	GENERAL		SPECIAL REVENUE		DEBT SERVICE		CAPITAL PROJECTS		TOTAL GOVERNMENTAL FUNDS	
	MARCH 31, 2020	MARCH 31, 2019	MARCH 31, 2020	MARCH 31, 2019	MARCH 31, 2020	MARCH 31, 2019	MARCH 31, 2020	MARCH 31, 2019	MARCH 31, 2020	MARCH 31, 2019
<b>TAXES:</b>										
<b>PERSONAL INCOME TAX:</b>										
Withholding.....	\$ 43,118,278	\$ 41,084,099	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 43,118,278	\$ 41,084,099
Estimated Payments.....	17,025,034	14,009,873	-	-	-	-	-	-	17,025,034	14,009,873
Returns.....	3,482,091	2,746,012	-	-	-	-	-	-	3,482,091	2,746,012
State/City Offsets.....	(1,117,314)	(1,135,335)	-	-	-	-	-	-	(1,117,314)	(1,135,335)
Other (Assessments/LLC).....	1,357,370	1,332,835	-	-	-	-	-	-	1,357,370	1,332,835
Employer Compensation Expense Tax.....	-	53	-	-	-	-	-	-	-	53
Gross Receipts.....	63,865,459	58,037,537	-	-	-	-	-	-	63,865,459	58,037,537
Transfers to School Tax Relief Fund.....	(2,183,688)	(2,423,111)	2,183,688	2,423,111	-	-	-	-	-	-
Transfers to Revenue Bond Tax Fund.....	(26,829,701)	(24,043,688)	-	-	26,829,701	24,043,688	-	-	(10,206,057)	(9,950,148)
Less: Refunds Issued.....	(10,206,057)	(9,950,148)	-	-	-	-	-	-	53,659,402	48,087,389
<b>Total Personal Income Tax.....</b>	<b>24,546,013</b>	<b>21,620,510</b>	<b>2,183,688</b>	<b>2,423,111</b>	<b>26,829,701</b>	<b>24,043,688</b>	<b>-</b>	<b>-</b>	<b>53,659,402</b>	<b>48,087,389</b>
<b>CONSUMPTION/USE TAXES:</b>										
Sales and Use.....	7,446,455	7,090,793	1,049,089	963,069	7,436,516	7,073,580	-	-	15,932,060	15,127,442
Auto Rental.....	-	19,541	722,238	49,026	-	-	87,492	81,007	107,033	130,033
Cigarettes and Tobacco Products.....	312,985	327,547	-	-	-	-	-	-	1,035,223	1,107,756
Marijuana.....	-	-	5,698	3,867	-	-	-	-	5,698	3,867
Motor Fuel.....	-	-	108,213	110,993	-	-	403,632	417,138	511,845	528,121
Alcoholic Beverage.....	258,980	262,385	-	-	-	-	-	-	258,980	262,385
Highway Use.....	-	-	523	(1,552)	-	-	140,862	146,569	141,395	145,007
Vapor Excise.....	-	-	10,405	-	-	-	-	-	10,405	-
Opoid Excise.....	19,356	-	-	-	-	-	-	-	19,356	-
MCTD Taxicab Trip.....	-	-	-	51,703	-	-	-	-	-	51,703
<b>Total Consumption/Use Taxes.....</b>	<b>8,037,776</b>	<b>7,680,725</b>	<b>1,915,707</b>	<b>1,957,305</b>	<b>7,436,516</b>	<b>7,073,580</b>	<b>631,986</b>	<b>644,704</b>	<b>18,021,985</b>	<b>17,356,314</b>
<b>BUSINESS TAXES:</b>										
Corporation Franchise.....	3,791,131	3,409,712	1,033,158	886,883	-	-	-	-	4,824,289	4,296,595
Corporation and Utilities.....	518,174	495,306	171,985	161,881	-	-	14,563	15,292	704,722	672,479
Insurance.....	2,052,623	1,637,689	253,339	199,096	-	-	-	-	2,305,962	1,836,785
Bank.....	7,916	(41,381)	(7,847)	(17,608)	-	-	-	-	69	(58,989)
Petroleum Business.....	-	-	508,974	510,841	-	-	651,801	654,377	1,160,775	1,165,218
<b>Total Business Taxes.....</b>	<b>6,368,844</b>	<b>5,501,326</b>	<b>1,969,609</b>	<b>1,741,093</b>	<b>-</b>	<b>-</b>	<b>666,364</b>	<b>669,669</b>	<b>8,995,817</b>	<b>7,912,088</b>
<b>OTHER TAXES:</b>										
Real Property Gains.....	-	-	-	-	-	-	-	-	-	-
Estate and Gift.....	1,070,166	1,068,327	-	-	-	-	-	-	1,070,166	1,068,327
Part-Mutuel.....	13,917	15,368	-	-	-	-	-	-	13,917	15,368
Real Estate Transfer.....	-	-	-	-	1,004,666	1,016,171	119,100	119,100	1,123,766	1,135,271
Racing and Exhibitions.....	2,022	2,565	-	-	-	-	-	-	2,022	2,565
Employer Compensation Expense Tax.....	997	-	-	-	997	-	-	-	1,994	-
<b>Total Other Taxes.....</b>	<b>1,087,102</b>	<b>1,086,260</b>	<b>-</b>	<b>-</b>	<b>1,005,663</b>	<b>1,016,171</b>	<b>119,100</b>	<b>119,100</b>	<b>2,211,865</b>	<b>2,221,531</b>
<b>TOTAL TAX RECEIPTS.....</b>	<b>40,140,735</b>	<b>35,888,921</b>	<b>6,059,004</b>	<b>6,121,509</b>	<b>35,271,890</b>	<b>32,133,419</b>	<b>1,417,450</b>	<b>1,433,479</b>	<b>82,889,069</b>	<b>75,577,322</b>
<b>MISCELLANEOUS RECEIPTS:</b>										
<b>Abandoned Property:</b>										
Abandoned Property.....	449,728	493,585	12,579	11,422	-	-	-	-	462,307	505,007
Bottle Bill.....	94,372	97,854	-	-	-	-	23,000	23,000	117,372	120,854
<b>Total Abandoned Property.....</b>	<b>544,100</b>	<b>591,439</b>	<b>12,579</b>	<b>11,422</b>	<b>-</b>	<b>-</b>	<b>23,000</b>	<b>23,000</b>	<b>579,679</b>	<b>625,861</b>



**SCHEDULE 2**  
(continued)

**STATE OF NEW YORK  
GOVERNMENTAL FUNDS  
COMBINED STATEMENT OF RECEIPTS AND OTHER FINANCING RESOURCES  
FISCAL YEAR ENDED MARCH 31, 2020**  
(amounts in thousands)

	GENERAL		SPECIAL REVENUE		DEBT SERVICE		CAPITAL PROJECTS		TOTAL GOVERNMENTAL FUNDS	
	MARCH 31, 2020	MARCH 31, 2019	MARCH 31, 2020	MARCH 31, 2019	MARCH 31, 2020	MARCH 31, 2019	MARCH 31, 2020	MARCH 31, 2019	MARCH 31, 2020	MARCH 31, 2019
<b>Assessments:</b>										
Business.....	-	-	804,869	752,881	-	-	103,087	101,016	907,956	853,897
Medical Care.....	45,388	40,943	6,358,641	5,966,784	-	-	-	-	6,404,029	6,007,727
Public Utilities.....	-	-	90,700	85,446	-	-	-	-	90,700	85,446
All Other.....	687	775	417	2,039	-	-	-	-	1,104	2,814
<b>Total Assessments.....</b>	<b>46,075</b>	<b>41,718</b>	<b>7,254,627</b>	<b>6,807,150</b>	-	-	<b>103,087</b>	<b>101,016</b>	<b>7,403,789</b>	<b>6,949,884</b>
<b>Fees, Licenses and Permits:</b>										
Alcohol Beverage Control Licensing.....	73,044	73,910	-	-	-	-	-	-	73,044	73,910
Audit.....	-	-	2,608	2,142	-	-	-	-	2,608	2,142
Business/Professional.....	263,551	214,718	713,992	696,070	1	5	31,704	32,487	1,009,248	943,280
Civil.....	243,223	237,919	56,409	55,708	-	-	-	-	298,632	293,627
Criminal.....	1,749	1,681	7,470	9,212	-	-	-	-	9,219	10,893
Motor Vehicle.....	342,294	317,154	298,472	501,514	-	-	728,735	714,766	1,369,501	1,533,434
Recreational/Consumer.....	19,234	17,402	882,928	753,900	-	-	30,845	36,089	933,007	807,391
<b>Total Fees, Licenses and Permits.....</b>	<b>943,095</b>	<b>862,784</b>	<b>1,961,879</b>	<b>2,018,546</b>	<b>1</b>	<b>5</b>	<b>791,284</b>	<b>783,342</b>	<b>3,696,259</b>	<b>3,664,877</b>
<b>Fines, Penalties and Forfeitures.....</b>	<b>1,088,528</b>	<b>1,346,851</b>	<b>271,176</b>	<b>185,921</b>	-	-	<b>26,701</b>	<b>26,087</b>	<b>1,386,405</b>	<b>1,538,859</b>
<b>Gaming:</b>										
Casino.....	-	-	272,525	256,390	-	-	-	-	272,525	256,390
Lottery.....	-	-	2,533,241	2,551,211	-	-	-	-	2,533,241	2,551,211
Video Lottery.....	-	-	943,644	959,533	-	-	-	-	943,644	959,533
<b>Total Gaming.....</b>	-	-	<b>3,749,410</b>	<b>3,767,134</b>	-	-	-	-	<b>3,749,410</b>	<b>3,767,134</b>
<b>Interest Earnings.....</b>	<b>174,300</b>	<b>134,355</b>	<b>242,072</b>	<b>195,490</b>	<b>3,004</b>	<b>3,530</b>	<b>11,248</b>	<b>10,984</b>	<b>430,624</b>	<b>344,359</b>
<b>Receipts from Public Authorities:</b>										
Bond Proceeds.....	-	-	-	22,000	-	-	5,408,710	6,493,974	5,408,710	6,515,974
Cost Recovery Assessments.....	21,656	20,669	20,400	20,400	-	-	-	-	42,056	41,069
Insurance Fees.....	82,203	88,024	7,200	7,200	-	-	-	-	89,403	95,224
Non Bond Related.....	25,334	25,122	59,719	60,209	-	-	54,283	5,767	139,336	91,098
<b>Total Receipts from Public Authorities.....</b>	<b>129,193</b>	<b>133,815</b>	<b>87,319</b>	<b>109,809</b>	-	-	<b>5,462,993</b>	<b>6,499,741</b>	<b>5,679,505</b>	<b>6,743,365</b>
<b>Receipts from Municipalities.....</b>	<b>16,800</b>	<b>200,084</b>	<b>79,967</b>	<b>139,106</b>	<b>3,855</b>	<b>3,779</b>	<b>4,058</b>	<b>1,200</b>	<b>104,680</b>	<b>344,179</b>
<b>Rentals.....</b>	<b>2,250</b>	<b>6,159</b>	<b>392,530</b>	<b>434,120</b>	-	-	<b>10,853</b>	<b>12,779</b>	<b>405,633</b>	<b>453,058</b>
<b>Revenues of State Departments:</b>										
Administrative Recoveries.....	75,604	73,065	153,183	150,637	-	-	5	36	228,792	223,788
Commissions.....	418	40	27,085	15,252	-	1	39	17	27,542	15,310
Commissions - Asset Conversion.....	-	-	468,000	1,068,000	-	-	-	-	468,000	1,068,000
Gifts, Grants and Donations.....	28	270	8,825	108,105	-	-	34,490	6,031	43,343	114,406
Indirect Cost Recoveries.....	86,488	93,081	1,739	57	-	-	2,637	4,363	90,864	97,501
Patient/Client Care Reimbursement.....	(43,851)	(52,258)	2,224,230	2,123,204	470,001	425,887	-	-	2,650,380	2,496,833
Rebates.....	6,383	2,622	160,036	157,697	-	-	1,233	452	167,652	160,771
Restitution and Settlements.....	6,063	112,654	18,826	77,916	-	-	12,646	10,050	37,535	200,620
Student Loans.....	29	34	66,725	90,587	-	-	-	-	66,754	90,621
All Other.....	83,719	39,052	539,985	478,737	68	114	60,739	15,342	684,511	533,245
<b>Total Revenues of State Departments.....</b>	<b>214,881</b>	<b>268,560</b>	<b>3,668,634</b>	<b>4,270,242</b>	<b>470,069</b>	<b>425,002</b>	<b>111,789</b>	<b>36,291</b>	<b>4,465,373</b>	<b>5,001,095</b>

**SCHEDULE 2**  
(continued)

	GENERAL		SPECIAL REVENUE		DEBT SERVICE		CAPITAL PROJECTS		TOTAL GOVERNMENTAL FUNDS	
	MARCH 31, 2020	MARCH 31, 2019	MARCH 31, 2020	MARCH 31, 2019	MARCH 31, 2020	MARCH 31, 2019	MARCH 31, 2020	MARCH 31, 2019	MARCH 31, 2020	MARCH 31, 2019
<b>STATE OF NEW YORK</b>										
<b>GOVERNMENTAL FUNDS</b>										
<b>COMBINED STATEMENT OF RECEIPTS AND OTHER FINANCING RESOURCES</b>										
<b>FISCAL YEAR ENDED MARCH 31, 2020</b>										
(amounts in thousands)										
Sales.....	37	271	17,830	23,140	19	86	5,795	2,592	23,681	26,089
Tuition.....	-	-	1,541,334	1,726,130	-	-	-	-	1,541,334	1,726,130
<b>TOTAL MISCELLANEOUS RECEIPTS.....</b>	<b>3,159,259</b>	<b>3,586,046</b>	<b>19,279,357</b>	<b>19,668,210</b>	<b>476,948</b>	<b>433,402</b>	<b>6,550,808</b>	<b>7,487,032</b>	<b>29,486,372</b>	<b>31,184,690</b>
<b>FEDERAL RECEIPTS.....</b>	<b>285</b>	<b>149</b>	<b>62,896,868</b>	<b>58,920,568</b>	<b>73,769</b>	<b>73,633</b>	<b>2,109,103</b>	<b>2,350,013</b>	<b>65,080,025</b>	<b>61,344,353</b>
<b>OTHER FINANCING SOURCES:</b>										
Bond and Note Proceeds, net.....	35,906,769	31,069,281	2,269,197	1,905,571	-	-	3,546,520	132,900	-	132,900
Transfers from Other Funds.....	-	-	-	-	3,742,214	3,536,710	-	2,216,700	45,464,700	38,730,322
<b>Total Other Financing Sources.....</b>	<b>35,906,769</b>	<b>31,069,281</b>	<b>2,269,197</b>	<b>1,905,571</b>	<b>3,742,214</b>	<b>3,536,710</b>	<b>3,546,520</b>	<b>2,351,660</b>	<b>45,464,700</b>	<b>38,863,222</b>
<b>TOTAL.....</b>	<b>\$ 79,207,048</b>	<b>\$ 70,544,397</b>	<b>\$ 90,504,426</b>	<b>\$ 86,615,848</b>	<b>\$ 39,564,811</b>	<b>\$ 36,177,164</b>	<b>\$ 13,623,881</b>	<b>\$ 13,632,178</b>	<b>\$ 222,900,166</b>	<b>\$ 206,969,587</b>

STATE OF NEW YORK GOVERNMENTAL FUNDS SCHEDULE OF TAX RECEIPTS FISCAL YEARS 2010-11 THROUGH 2019-20 (amounts in thousands)										SCHEDULE 3	
PERSONAL INCOME TAX:											
	2010-11	2011-12	2012-13	2013-14	2014-15	2015-16	2016-17	2017-18	2018-19	2019-20	
Withholding.....	\$ 31,240,169	\$ 31,198,971	\$ 31,957,653	\$ 33,367,555	\$ 34,906,793	\$ 36,549,038	\$ 37,523,891	\$ 40,269,241	\$ 41,084,099	\$ 43,118,278	
Estimated.....	9,735,271	11,628,433	12,192,942	14,637,177	13,743,147	16,111,218	14,971,822	17,781,120	14,009,873	17,025,034	
Returns.....	2,022,248	2,174,476	2,192,787	2,415,648	2,280,045	2,653,707	2,616,198	2,538,951	2,746,012	3,482,091	
State/City Offsets.....	(100,179)	(365,944)	(309,012)	(615,003)	(590,752)	(675,293)	(851,242)	(855,954)	(1,135,335)	(1,117,314)	
Other (Assessments/LLC).....	1,004,746	1,028,586	1,099,268	1,154,668	1,338,284	1,286,154	1,405,964	1,446,472	1,332,835	1,357,370	
Employer Compensation Expense Tax.....	-	-	-	-	-	-	-	-	53	-	
Gross Total.....	43,902,255	45,664,522	47,133,638	50,960,045	51,657,517	55,924,824	55,666,633	61,179,830	58,037,537	63,865,459	
Less: Refunds Issued.....	(7,693,040)	(6,896,695)	(6,906,923)	(7,999,270)	(7,947,684)	(8,869,541)	(8,100,689)	(9,678,493)	(9,950,148)	(10,206,057)	
<b>Net Personal Income Tax.....</b>	<b>36,209,215</b>	<b>38,767,827</b>	<b>40,226,715</b>	<b>42,960,775</b>	<b>43,709,833</b>	<b>47,055,283</b>	<b>47,565,944</b>	<b>51,501,337</b>	<b>48,087,389</b>	<b>53,659,402</b>	
CONSUMPTION/USE TAXES:											
Sales and Use.....	11,537,852	11,874,582	11,989,290	12,587,660	12,991,656	13,359,320	13,869,587	14,495,076	15,127,442	15,932,060	
Auto Rental.....	95,027	104,017	109,050	113,979	119,093	126,076	127,004	122,988	130,033	107,033	
Hotel/Motel.....	12	-	-	-	-	-	-	-	-	-	
Cigarette and Tobacco Products.....	1,615,691	1,633,524	1,550,599	1,453,351	1,313,758	1,250,612	1,235,230	1,170,526	1,107,756	1,035,223	
Medical Marijuana.....	-	-	-	-	-	11	584	1,880	3,867	5,698	
Motor Fuel.....	516,145	501,610	492,464	473,156	486,955	503,068	519,015	512,503	528,121	511,845	
Alcoholic Beverage.....	229,698	238,263	246,217	250,306	250,859	254,548	257,678	259,230	262,385	258,980	
Highway Use.....	129,162	132,129	145,008	136,223	140,400	158,562	138,696	93,205	145,007	141,385	
Vapor Excise.....	-	-	-	-	-	-	-	-	-	10,405	
Optold Excise Tax.....	-	-	-	-	-	-	-	-	-	19,356	
MCTD Taxicab Trip.....	81,142	86,849	82,945	85,190	82,263	73,146	64,036	55,944	51,703	-	
<b>Total Consumption/Use Taxes.....</b>	<b>14,204,729</b>	<b>14,570,974</b>	<b>14,615,573</b>	<b>15,099,865</b>	<b>15,384,984</b>	<b>15,725,343</b>	<b>16,211,830</b>	<b>16,711,352</b>	<b>17,356,314</b>	<b>18,021,985</b>	
BUSINESS TAXES:											
Corporation Franchise.....	2,845,857	3,176,226	3,008,746	3,811,628	3,547,992	4,527,319	3,165,584	3,079,974	4,296,595	4,824,289	
Corporation and Utilities.....	813,646	796,540	894,491	797,293	727,294	773,852	720,335	747,908	672,479	704,722	
Insurance.....	1,350,932	1,413,093	1,508,600	1,444,398	1,532,832	1,580,071	1,579,645	1,776,830	1,836,785	2,305,962	
Bank.....	1,178,239	1,391,665	1,911,860	1,050,058	1,536,194	(121,350)	389,660	467,123	(58,989)	69	
Petroleum Business.....	1,090,439	1,100,354	1,139,721	1,154,510	1,158,332	1,123,851	1,123,685	1,091,954	1,165,218	1,160,775	
Lubricating Oil.....	2	-	2	1	1	-	-	-	-	-	
<b>Total Business Taxes.....</b>	<b>7,279,115</b>	<b>7,877,878</b>	<b>8,463,420</b>	<b>8,257,888</b>	<b>8,502,645</b>	<b>7,883,743</b>	<b>6,978,909</b>	<b>7,163,789</b>	<b>7,912,088</b>	<b>8,995,817</b>	
OTHER TAXES:											
Real Property Gains.....	(3)	-	209	(159)	39	10	63	(7)	-	-	
Estate and Gift.....	1,219,248	1,076,531	1,014,863	1,238,321	1,108,530	1,520,792	1,090,899	1,307,842	1,068,327	1,070,166	
Part-Mutuel.....	17,039	17,197	17,416	16,821	18,038	17,182	15,372	15,372	15,368	13,917	
Real Estate Transfer.....	580,101	610,048	756,355	911,352	1,037,880	1,163,060	1,126,369	1,125,073	1,135,271	1,123,766	
Racing and Exhibitions.....	713	768	1,029	995	1,128	1,425	2,914	2,541	2,565	2,022	
MCTD Mobility Tax.....	1,359,465	1,375,651	1,204,572	1,204,147	1,271,303	1,306,235	1,380,246	1,439,241	-	-	
Employer Compensation Expense Tax.....	-	-	-	-	-	-	-	-	-	1,994	
<b>Total Other Taxes.....</b>	<b>3,176,563</b>	<b>3,082,195</b>	<b>2,994,444</b>	<b>3,371,477</b>	<b>3,436,918</b>	<b>4,008,704</b>	<b>3,616,236</b>	<b>3,890,062</b>	<b>2,221,531</b>	<b>2,211,865</b>	
<b>TOTAL TAX RECEIPTS.....</b>	<b>\$ 60,869,622</b>	<b>\$ 64,298,874</b>	<b>\$ 66,300,152</b>	<b>\$ 69,690,005</b>	<b>\$ 71,034,380</b>	<b>\$ 74,673,073</b>	<b>\$ 74,372,919</b>	<b>\$ 79,266,540</b>	<b>\$ 75,577,322</b>	<b>\$ 82,889,069</b>	

STATE OF NEW YORK  
GOVERNMENTAL FUNDS  
COMBINING SCHEDULE OF TAX RECEIPTS  
FISCAL YEAR ENDED MARCH 31, 2020  
(amounts in thousands)

SCHEDULE 4

GENERAL	SPECIAL REVENUE					
	DEDICATED MASS TRANSPORTATION TRUST (20850-20899)	HEALTH CARE REFORM ACT RESOURCES (20800-20849)	HIGHWAY USE TAX ADMIN. (23801)	MASS TRANSPORTATION OPERATING ASSISTANCE (21400-21449)	MEDICAL MARIHUANA TRUST (23750-23799)	SCHOOL TAX RELIEF (20550-20599)
PERSONAL INCOME TAX.....	\$ 24,646,013	\$ -	\$ -	\$ -	\$ -	\$ 2,183,688
CONSUMPTION/USE TAXES:						
Sales and Use.....	7,446,455	-	-	1,049,089	-	-
Auto Rental.....	-	-	-	19,541	-	-
Cigarette and Tobacco Products.....	312,985	722,238	-	-	-	-
Medical Marijuana.....	-	-	-	-	5,698	-
Motor Fuel.....	-	-	-	-	-	-
Alcoholic Beverage.....	258,980	-	-	-	-	-
Highway Use.....	-	-	523	-	-	-
Vapor Excise.....	-	10,405	-	-	-	-
Opitoid Excise.....	19,356	-	-	-	-	-
MCTD Taxicab Trip.....	-	-	-	-	-	-
Total Consumption/Use Taxes.....	8,037,776	732,643	523	1,068,630	5,698	-
BUSINESS TAXES:						
Corporation Franchise.....	3,791,131	-	-	1,033,158	-	-
Corporation and Utilities.....	518,174	-	-	171,985	-	-
Insurance.....	2,052,623	-	-	253,339	-	-
Bank.....	7,916	-	-	(7,847)	-	-
Petroleum Business.....	-	-	-	134,750	-	-
Total Business Taxes.....	6,369,844	-	-	1,585,385	-	-
OTHER TAXES:						
Real Property Gains.....	-	-	-	-	-	-
Estate and Gift.....	1,070,166	-	-	-	-	-
Part-Mutuel.....	13,917	-	-	-	-	-
Real Estate Transfer.....	-	-	-	-	-	-
Racing and Exhibitions.....	2,022	-	-	-	-	-
Employer Compensation Expense Tax.....	997	-	-	-	-	-
Total Other Taxes.....	1,087,102	-	-	-	-	-
TOTAL TAX RECEIPTS.....	\$ 40,140,735	\$ 732,643	\$ 523	\$ 2,654,015	\$ 5,698	\$ 2,183,688

STATE OF NEW YORK  
GOVERNMENTAL FUNDS  
COMBINING SCHEDULE OF TAX RECEIPTS  
FISCAL YEAR ENDED MARCH 31, 2020  
(amounts in thousands)

SCHEDULE 4  
(continued)

	DEBT SERVICE		CAPITAL PROJECTS		TOTAL GOVERNMENTAL FUNDS	
	CLEAN WATER / CLEAN AIR (40400-40449)	GENERAL DEBT SERVICE (40150-40199)	LOCAL GOVERNMENT ASSISTANCE TAX (40450-40499)	DEDICATED HIGHWAY AND BRIDGE TRUST (30050-30099)	ENVIRONMENTAL PROTECTION (30450-30499)	
	\$	\$	\$	\$	\$	
PERSONAL INCOME TAX.....	-	26,829,701	-	-	-	53,659,402
CONSUMPTION/USE TAXES:						
Sales and Use.....	-	3,718,258	3,718,258	-	-	15,127,442
Auto Rental.....	-	-	-	87,492	-	107,033
Cigarette and Tobacco Products.....	-	-	-	-	-	1,035,223
Medical Marijuana.....	-	-	-	-	-	5,698
Motor Fuel.....	-	-	-	403,632	-	511,845
Alcoholic Beverage.....	-	-	-	-	-	258,980
Highway Use.....	-	-	-	140,862	-	141,385
Vapor Excise.....	-	-	-	-	-	10,405
Opitoid Excise.....	-	-	-	-	-	19,356
MCTD Taxicab Trip.....	-	-	-	-	-	51,703
Total Consumption/Use Taxes.....	-	3,718,258	3,718,258	631,986	-	18,021,985
BUSINESS TAXES:						
Corporation Franchise.....	-	-	-	-	-	4,824,289
Corporation and Utilities.....	-	-	-	14,563	-	704,722
Insurance.....	-	-	-	-	-	2,305,962
Bank.....	-	-	-	-	-	69
Petroleum Business.....	-	-	-	651,801	-	1,160,775
Total Business Taxes.....	-	-	-	666,364	-	8,995,817
OTHER TAXES:						
Real Property Gains.....	-	-	-	-	-	-
Estate and Gift.....	-	-	-	-	-	1,068,327
Part-Mutuel.....	-	-	-	-	-	13,917
Real Estate Transfer.....	1,004,666	-	-	-	119,100	1,123,766
Racing and Exhibitions.....	-	-	-	-	-	2,022
Employer Compensation Expense Tax.....	-	997	-	-	-	1,994
Total Other Taxes.....	1,004,666	997	-	-	119,100	2,211,865
TOTAL TAX RECEIPTS.....	1,004,666	30,548,956	3,718,258	1,298,350	119,100	82,889,069
						75,577,322

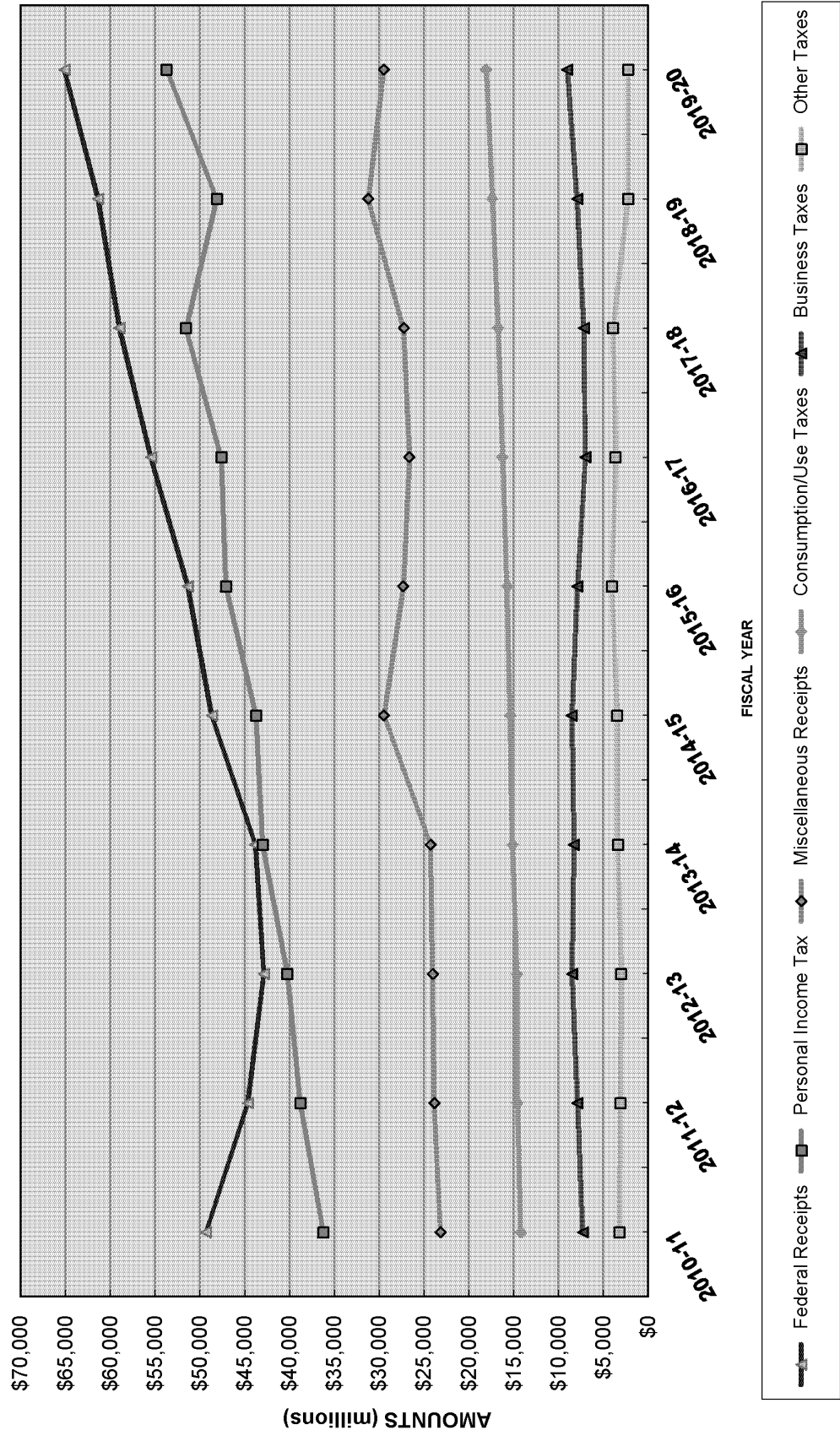
STATE OF NEW YORK  
GOVERNMENTAL FUNDS  
STATE TAX RECEIPTS PER CAPITA (\*)  
FISCAL YEARS 2010-11 THROUGH 2019-20

SCHEDULE 5

	2010-11	2011-12	2012-13	2013-14	2014-15	2015-16	2016-17	2017-18	2018-19	2019-20
<b>PERSONAL INCOME TAX</b>										
Millions of Dollars.....	\$ 36,209	\$ 38,768	\$ 40,227	\$ 42,961	\$ 43,710	\$ 47,055	\$ 47,566	\$ 51,501	\$ 48,087	\$ 53,659
Percentage of Total Taxes.....	59.48%	60.30%	60.67%	61.64%	61.53%	63.01%	63.96%	64.97%	63.63%	64.74%
Change from Prior Year.....	4.20%	7.07%	3.76%	6.80%	1.70%	7.70%	1.10%	8.30%	-6.63%	11.59%
Per Capita.....	1,866	1,988	2,052	2,181	2,219	2,377	2,415	2,601	2,466	2,752
<b>CONSUMPTION/USE TAXES</b>										
Millions of Dollars.....	14,205	14,571	14,616	15,100	15,385	15,725	16,212	16,711	17,356	18,022
Percentage of Total Taxes.....	23.34%	22.66%	22.04%	21.67%	21.66%	21.06%	21.80%	21.08%	22.96%	21.74%
Change from Prior Year.....	10.53%	2.58%	0.31%	3.31%	1.89%	2.21%	3.10%	3.08%	3.86%	3.84%
Per Capita.....	732	747	746	766	781	794	823	844	890	924
<b>BUSINESS TAXES</b>										
Millions of Dollars.....	7,279	7,878	8,463	8,258	8,502	7,884	6,979	7,164	7,912	8,996
Percentage of Total Taxes.....	11.96%	12.25%	12.77%	11.85%	11.97%	10.56%	9.38%	9.04%	10.47%	10.85%
Change from Prior Year.....	-2.40%	8.23%	7.43%	-2.42%	2.95%	-7.27%	-11.48%	2.65%	10.44%	13.70%
Per Capita.....	375	404	432	419	432	398	354	362	406	461
<b>OTHER TAXES</b>										
Millions of Dollars.....	3,177	3,082	2,994	3,371	3,437	4,009	3,616	3,890	2,222	2,212
Percentage of Total Taxes.....	5.22%	4.79%	4.52%	4.84%	4.84%	5.37%	4.86%	4.91%	2.94%	2.67%
Change from Prior Year.....	21.91%	-2.99%	-2.86%	12.59%	1.96%	16.64%	-9.80%	7.58%	-42.88%	-0.45%
Per Capita.....	164	158	153	171	174	202	184	196	114	113
<b>TOTAL TAXES</b>										
Millions of Dollars.....	\$ 60,870	\$ 64,299	\$ 66,300	\$ 69,690	\$ 71,034	\$ 74,673	\$ 74,373	\$ 79,266	\$ 75,577	\$ 82,889
Percentage of Total Taxes.....	100.00%	100.00%	100.00%	100.00%	100.00%	100.00%	100.00%	100.00%	100.00%	100.00%
Change from Prior Year.....	5.55%	5.63%	3.11%	5.11%	1.93%	5.12%	-0.40%	6.58%	-4.65%	9.67%
Per Capita.....	\$ 3,137	\$ 3,297	\$ 3,383	\$ 3,537	\$ 3,606	\$ 3,771	\$ 3,776	\$ 4,003	\$ 3,876	\$ 4,250

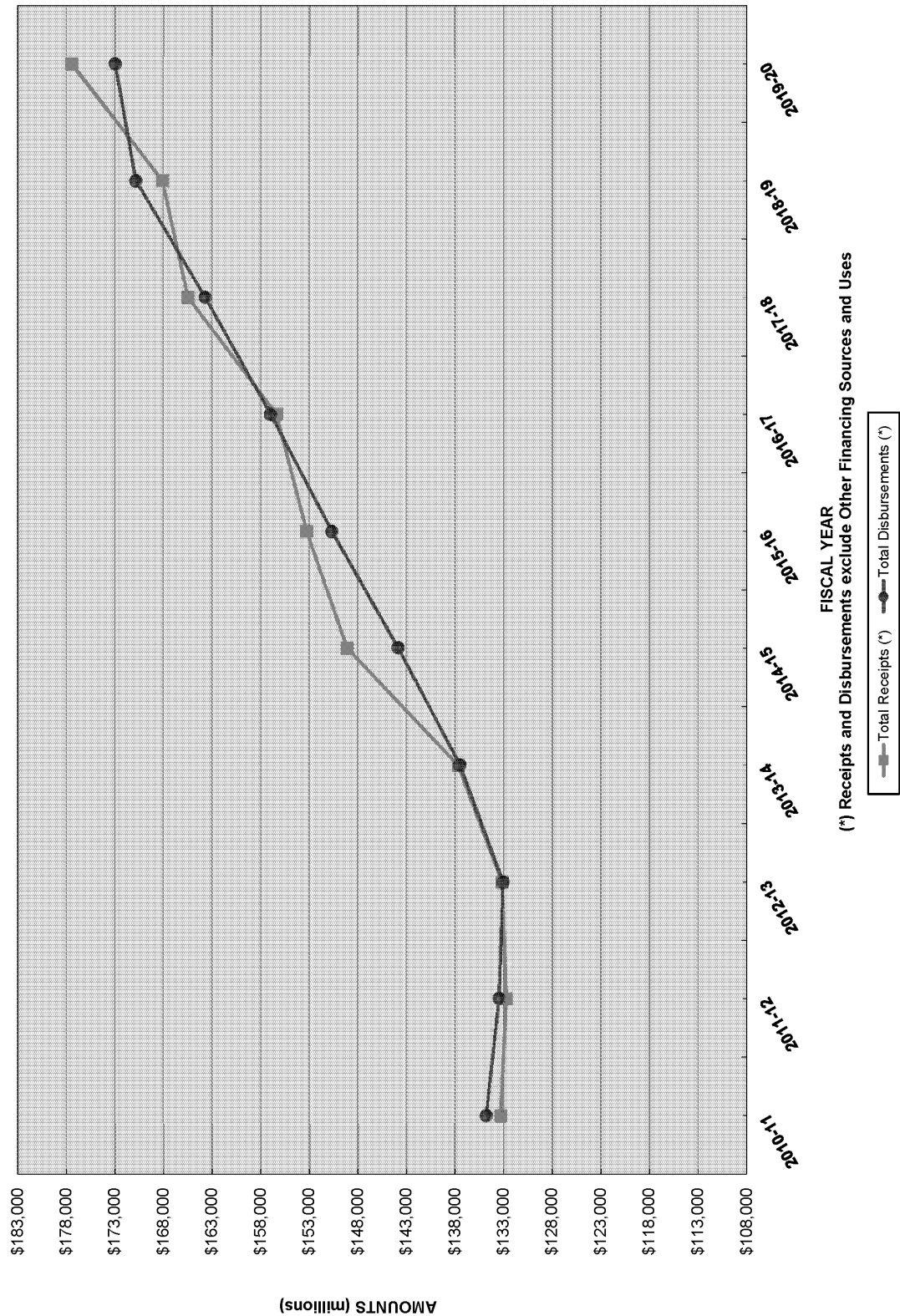
(\*) Population estimates are based on current information published by the U.S. Census Bureau.

GOVERNMENTAL FUNDS - RECEIPTS  
TEN - YEAR TREND ANALYSIS





GOVERNMENTAL FUNDS - RECEIPTS TO DISBURSEMENTS  
TEN - YEAR TREND ANALYSIS





**STATE OF NEW YORK  
GOVERNMENTAL FUNDS  
SCHEDULE OF RECEIPTS, DISBURSEMENTS  
AND OTHER FINANCING SOURCES (USES)  
FISCAL YEARS 2010-11 THROUGH 2019-20  
(amounts in thousands)**

**SCHEDULE 6**

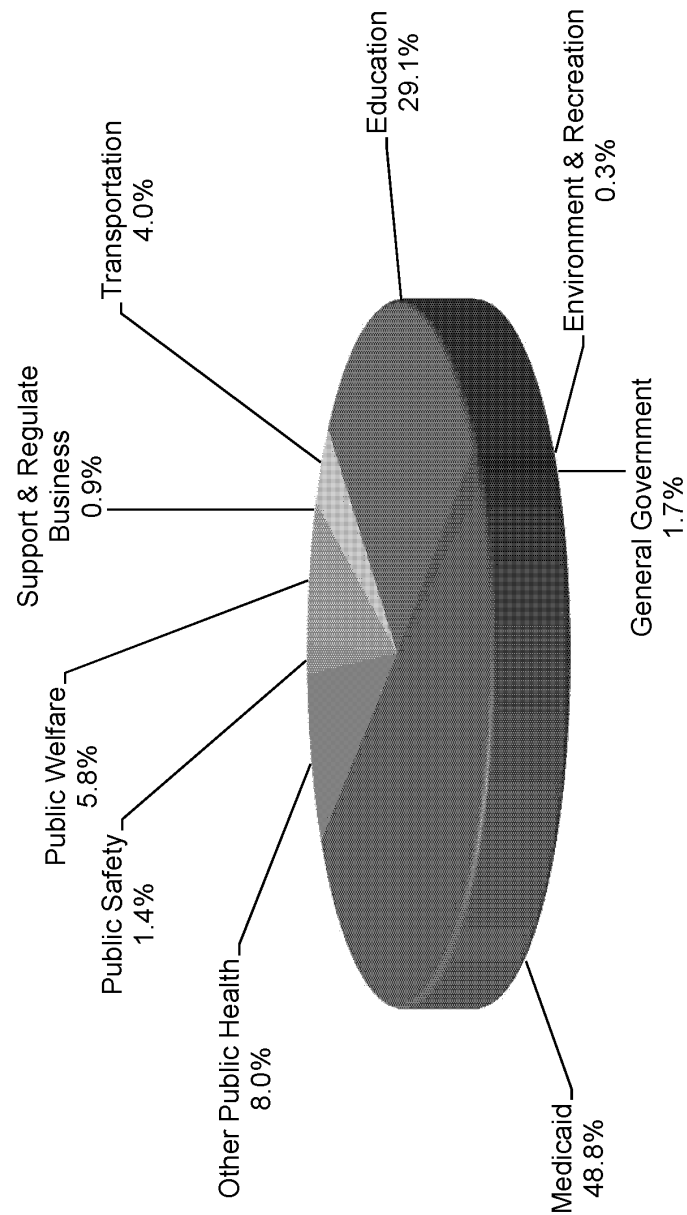
	2010-11	2011-12	2012-13	2013-14	2014-15	2015-16	2016-17	2017-18	2018-19	2019-20
<b>RECEIPTS:</b>										
Personal Income Tax.....	\$36,209,215	\$ 38,767,827	\$ 40,226,715	\$ 42,960,775	\$ 43,709,833	\$ 47,055,283	\$ 47,566,944	\$ 51,501,337	\$ 48,087,389	\$ 53,659,402
Consumption/Use Taxes.....	14,204,729	14,570,874	14,615,573	15,009,865	15,384,084	15,725,343	16,711,830	16,711,352	17,356,314	18,021,985
Business Taxes.....	7,279,115	7,877,878	8,463,420	8,257,886	8,502,645	7,663,743	6,978,909	7,163,789	7,912,088	8,965,817
Other Taxes.....	3,176,563	3,062,195	2,984,444	3,371,477	3,436,916	4,008,704	3,616,236	3,890,062	2,221,531	2,211,865
Miscellaneous Receipts.....	23,148,031	23,836,115	24,030,850	24,233,635	29,437,525	27,268,336	26,592,173	27,261,900	31,784,690	29,466,372
Federal Receipts.....	49,303,727	44,609,856	42,842,927	43,789,256	48,636,693	51,323,529	55,407,032	58,941,910	61,344,353	65,080,025
<b>Total Receipts.....</b>	<b>133,321,380</b>	<b>132,744,845</b>	<b>133,173,929</b>	<b>137,713,096</b>	<b>149,108,598</b>	<b>153,264,938</b>	<b>156,372,124</b>	<b>165,470,350</b>	<b>168,106,365</b>	<b>177,435,466</b>
<b>DISBURSEMENTS:</b>										
Local Assistance Grants:										
Education.....	-	-	31,275,884	32,273,478	33,347,534	35,349,565	36,143,713	36,311,610	37,838,681	37,846,434
Environment and Recreation.....	-	-	452,989	455,295	315,309	321,479	323,656	339,773	437,205	426,032
General Government.....	-	-	1,190,751	1,369,339	1,358,364	1,573,980	1,708,128	1,825,069	2,256,612	2,171,986
Public Health:										
Medicaid.....	-	-	41,585,429	42,125,677	47,642,930	49,664,291	52,441,101	56,641,472	59,753,089	63,490,463
Other Public Health.....	-	-	5,812,015	5,788,874	5,154,962	6,873,429	9,059,103	10,136,319	10,375,088	10,448,780
Public Safety.....	-	-	1,802,631	2,123,277	2,718,169	2,228,061	1,715,641	1,784,205	1,593,457	1,853,770
Public Welfare.....	-	-	7,836,605	8,132,748	7,597,686	7,086,779	7,259,655	7,500,534	8,076,392	7,593,504
Support and Regulate Business.....	-	-	891,811	802,690	657,668	796,912	1,087,080	1,202,054	1,328,131	1,114,267
Transportation.....	-	-	5,052,837	5,497,814	5,931,129	5,698,151	6,600,666	6,243,875	5,773,123	5,173,187
General Purpose.....	1,036,641	956,091	-	-	-	-	-	-	-	-
Education.....	35,055,672	31,872,529	-	-	-	-	-	-	-	-
Medicaid.....	40,495,297	41,127,169	-	-	-	-	-	-	-	-
Other Social Services.....	7,550,948	7,614,837	-	-	-	-	-	-	-	-
Health and Environment.....	4,489,502	4,900,892	-	-	-	-	-	-	-	-
Mental Hygiene.....	2,003,236	1,962,597	-	-	-	-	-	-	-	-
Transportation.....	5,130,684	4,974,616	-	-	-	-	-	-	-	-
Criminal Justice, Emergency Management & Security Services and Miscellaneous.....	2,891,467	3,059,315	-	-	-	-	-	-	-	-
<b>Total Local Assistance Grants.....</b>	<b>98,633,449</b>	<b>96,487,846</b>	<b>95,700,952</b>	<b>98,569,192</b>	<b>104,723,651</b>	<b>110,313,627</b>	<b>116,336,743</b>	<b>121,994,911</b>	<b>127,431,778</b>	<b>130,118,409</b>
Departmental Operations:										
Personal Service.....	13,104,777	12,673,297	13,011,558	12,957,859	13,162,852	13,598,151	13,723,534	13,837,589	14,324,727	14,726,504
Non-Personal Service.....	5,979,320	6,347,746	6,170,765	6,803,869	6,977,664	6,974,097	6,958,850	7,019,728	6,764,020	7,477,130
General State Charges.....	6,361,066	6,854,416	6,675,439	7,279,909	7,337,109	7,739,187	7,926,960	8,174,647	8,624,718	8,756,793
Debt Service, Including Payments on Financing Agreements.....	5,614,669	5,864,022	6,137,929	6,399,696	6,182,817	5,598,485	5,513,783	5,872,838	6,698,565	4,916,081
Capital Projects.....	5,131,630	5,276,540	5,400,190	5,515,961	5,506,585	6,484,930	6,553,395	6,843,884	7,031,248	6,985,439
<b>Total Disbursements.....</b>	<b>134,824,931</b>	<b>133,503,867</b>	<b>133,096,833</b>	<b>137,526,486</b>	<b>143,890,678</b>	<b>150,708,477</b>	<b>157,015,265</b>	<b>163,743,597</b>	<b>170,875,056</b>	<b>172,980,366</b>
<b>Excess (Deficiency) of Receipts over Disbursements.....</b>	<b>(1,503,551)</b>	<b>(759,022)</b>	<b>77,096</b>	<b>186,610</b>	<b>5,217,920</b>	<b>2,556,461</b>	<b>(643,141)</b>	<b>1,726,753</b>	<b>(2,768,691)</b>	<b>4,455,100</b>
<b>OTHER FINANCING SOURCES (USES):</b>										
Bond and Note Proceeds, net.....	525,154	352,069	433,631	-	161,343	-	-	160,369	132,900	-
Transfers from Other Funds.....	27,605,704	26,540,430	26,902,829	30,592,764	29,807,742	33,441,924	31,668,311	33,063,685	38,730,322	45,464,700
Transfers to Other Funds.....	(27,675,129)	(26,595,488)	(26,867,343)	(30,621,199)	(29,666,485)	(33,543,814)	(31,730,590)	(33,306,520)	(38,668,505)	(45,610,072)
Investments.....	-	-	-	-	-	(6)	-	-	-	-
<b>Total Other Financing Sources (Uses).....</b>	<b>455,729</b>	<b>307,011</b>	<b>438,917</b>	<b>(28,435)</b>	<b>102,600</b>	<b>(101,895)</b>	<b>(62,279)</b>	<b>(82,466)</b>	<b>(5,283)</b>	<b>(145,372)</b>
<b>Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses.....</b>	<b>(1,047,822)</b>	<b>(452,011)</b>	<b>516,013</b>	<b>158,175</b>	<b>5,320,520</b>	<b>2,454,566</b>	<b>(705,420)</b>	<b>1,644,287</b>	<b>(2,773,974)</b>	<b>4,309,728</b>
<b>Beginning Fund Balances (*).....</b>	<b>4,860,151</b>	<b>3,812,329</b>	<b>3,360,318</b>	<b>3,876,331</b>	<b>4,034,506</b>	<b>9,355,555</b>	<b>11,810,121</b>	<b>11,104,701</b>	<b>12,748,988</b>	<b>9,975,014</b>
<b>Ending Fund Balances.....</b>	<b>\$ 3,812,329</b>	<b>\$ 3,360,318</b>	<b>\$ 3,876,331</b>	<b>\$ 4,034,506</b>	<b>\$ 9,355,026</b>	<b>\$ 11,810,121</b>	<b>\$ 11,104,701</b>	<b>\$ 12,748,988</b>	<b>\$ 9,975,014</b>	<b>\$ 14,284,742</b>

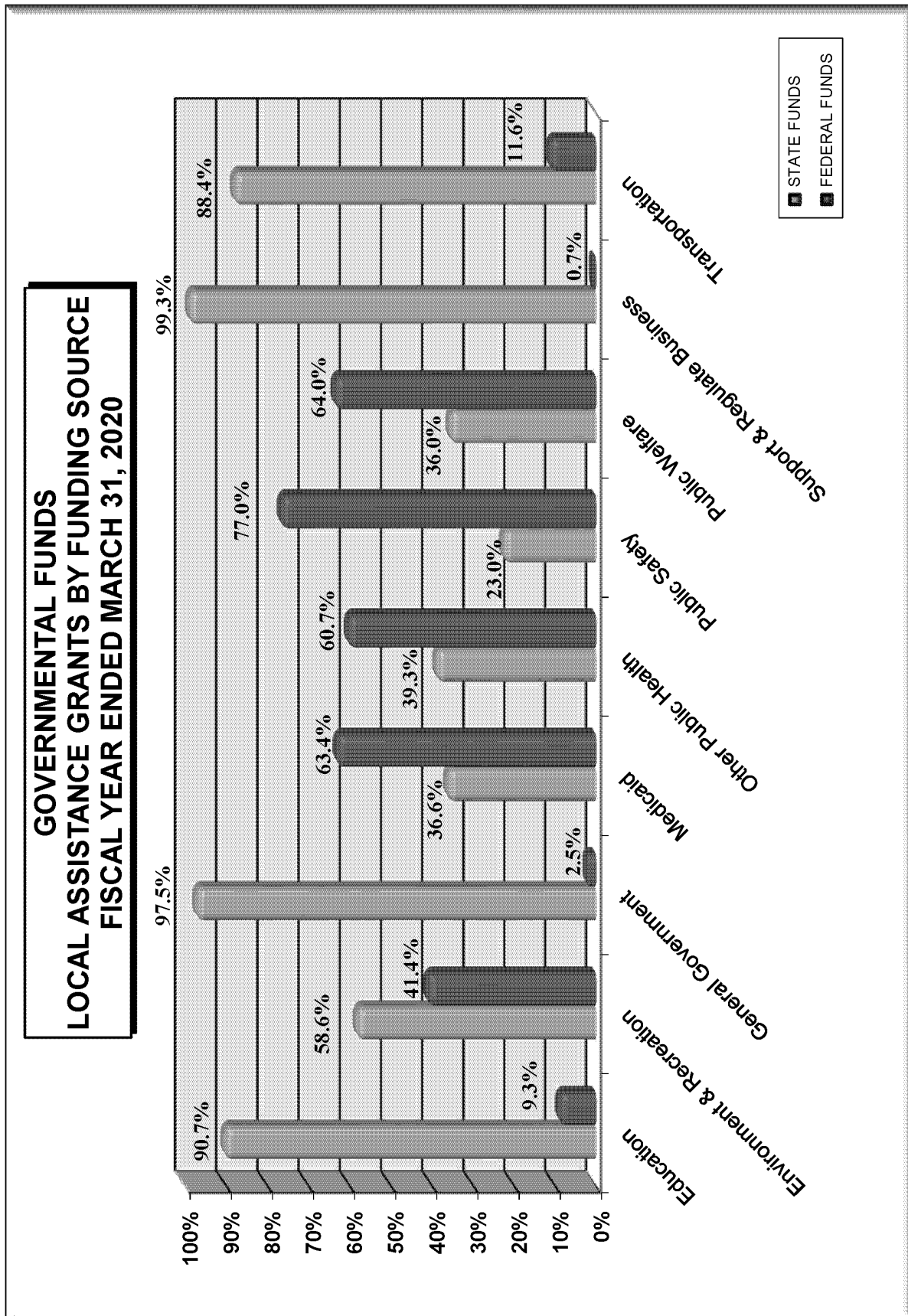
(\*) The April 1, 2015 balance has been adjusted by \$529 thousand to reverse out a prior period adjustment.

STATE OF NEW YORK GOVERNMENTAL FUNDS STATE OPERATING FUNDS SCHEDULE OF RECEIPTS, DISBURSEMENTS AND OTHER FINANCING SOURCES (USES) FISCAL YEARS 2010-11 THROUGH 2019-20 (amounts in thousands)										SCHEDULE 6 SUPPLEMENTAL	
	2010-11	2011-12	2012-13	2013-14	2014-15	2015-16	2016-17	2017-18	2018-19	2019-20	
<b>RECEIPTS:</b>											
Personal Income Tax.....	\$36,209,215	\$38,767,827	\$40,228,715	\$42,960,775	\$43,709,833	\$47,055,283	\$47,565,944	\$51,501,337	\$48,087,389	\$53,659,402	
Consumption/Use Taxes.....	13,607,810	13,977,493	14,013,281	14,518,137	14,784,464	15,089,642	15,587,740	16,138,822	16,711,610	17,389,999	
Business Taxes.....	6,656,770	7,252,986	7,815,280	7,603,524	7,846,377	7,244,264	6,339,407	6,541,978	7,242,419	8,329,453	
Other Taxes.....	3,057,463	2,963,095	2,875,344	3,252,377	3,317,818	3,889,604	3,497,136	3,770,962	2,102,431	2,092,765	
Miscellaneous Receipts.....	19,148,276	19,515,006	20,000,698	20,521,164	25,300,841	23,254,991	21,756,680	21,333,717	23,485,540	22,700,563	
Federal Receipts.....	112,070	139,961	140,547	71,420	74,718	73,548	72,472	74,484	72,646	61,164	
<b>Total Receipts.....</b>	<b>78,791,604</b>	<b>82,616,368</b>	<b>85,071,815</b>	<b>88,927,397</b>	<b>95,037,051</b>	<b>96,607,332</b>	<b>94,819,359</b>	<b>99,361,300</b>	<b>97,702,035</b>	<b>104,233,346</b>	
<b>DISBURSEMENTS:</b>											
Local Assistance Grants:											
Education.....	-	-	28,003,547	28,566,137	30,130,698	31,662,758	32,548,831	33,014,336	33,845,340	34,142,263	
Environment and Recreation.....	-	-	7,814	10,465	9,539	12,174	8,668	8,315	7,913	7,811	
General Government.....	-	-	1,096,762	1,249,088	1,192,301	1,215,379	1,191,543	1,165,773	1,180,807	1,267,929	
Public Health:											
Medicaid.....	-	-	17,591,758	17,436,689	18,019,396	18,314,157	18,651,573	18,691,251	20,371,950	23,241,124	
Other Public Health.....	-	-	3,762,685	3,656,417	3,352,872	3,265,481	3,485,984	3,292,526	3,436,703	3,591,023	
Public Safety.....	-	-	386,785	295,356	331,569	283,224	315,928	323,989	379,250	363,657	
Public Welfare.....	-	-	3,049,037	3,085,434	2,829,894	2,935,571	2,922,687	2,822,482	2,783,484	2,322,931	
Support and Regulate Business.....	-	-	378,468	380,256	351,665	219,285	266,146	260,406	233,146	227,259	
Transportation.....	-	-	4,306,745	4,722,567	4,834,029	4,745,229	4,977,294	5,024,864	3,938,202	3,468,286	
General Purpose.....	1,036,641	856,091	-	-	-	-	-	-	-	-	
Education.....	28,926,703	27,094,238	-	-	-	-	-	-	-	-	
Medicaid.....	13,149,970	16,489,870	-	-	-	-	-	-	-	-	
Other Social Services.....	2,809,578	2,908,092	-	-	-	-	-	-	-	-	
Health and Environment.....	2,635,368	2,968,850	-	-	-	-	-	-	-	-	
Mental Hygiene.....	1,669,180	1,731,350	-	-	-	-	-	-	-	-	
Transportation.....	4,253,827	4,229,733	-	-	-	-	-	-	-	-	
Criminal Justice, Emergency Management & Security Services and Miscellaneous.....	813,359	889,489	-	-	-	-	-	-	-	-	
<b>Total Local Assistance Grants.....</b>	<b>55,294,646</b>	<b>57,267,713</b>	<b>59,581,599</b>	<b>59,402,389</b>	<b>61,051,963</b>	<b>62,653,256</b>	<b>64,368,654</b>	<b>65,603,942</b>	<b>66,176,775</b>	<b>68,652,293</b>	
Departmental Operations:											
Personal Service.....	12,422,427	12,039,972	12,402,450	12,300,482	12,549,597	12,880,751	13,092,382	13,170,430	13,687,315	14,089,676	
Non-Personal Service.....	4,965,584	5,410,785	5,280,742	5,563,854	5,607,541	5,601,777	5,587,399	5,650,695	5,370,250	6,078,226	
General State Charges.....	6,101,494	6,592,416	6,436,100	6,957,584	7,033,234	7,452,462	7,634,324	7,853,087	8,203,826	8,423,198	
Debt Service, Including Payments on Financing Agreements.....	5,614,669	5,864,022	6,137,929	6,399,696	6,182,817	5,598,485	5,513,783	5,872,838	6,698,565	4,916,091	
Capital Projects.....	18,571	6,428	4,025	6,929	1,322	1,749	2,544	-	-	-	
<b>Total Disbursements.....</b>	<b>84,417,391</b>	<b>87,181,346</b>	<b>88,842,845</b>	<b>90,630,944</b>	<b>92,426,474</b>	<b>94,288,482</b>	<b>96,193,086</b>	<b>98,150,992</b>	<b>100,136,731</b>	<b>102,159,484</b>	
<b>Excess (Deficiency) of Receipts over Disbursements.....</b>	<b>(5,625,787)</b>	<b>(4,564,979)</b>	<b>(3,771,030)</b>	<b>(1,703,547)</b>	<b>2,610,577</b>	<b>2,318,850</b>	<b>(1,379,727)</b>	<b>1,210,308</b>	<b>(2,434,696)</b>	<b>2,073,862</b>	
<b>OTHER FINANCING SOURCES (USES):</b>											
Transfers from Other Funds.....	27,218,099	26,274,216	26,404,073	29,481,642	28,850,719	31,042,573	29,289,847	31,088,466	37,127,642	42,428,495	
Transfers to Other Funds.....	(22,433,710)	(21,831,104)	(22,120,550)	(27,348,419)	(26,360,135)	(30,811,045)	(28,925,962)	(30,317,497)	(35,938,285)	(42,455,322)	
Investments.....	-	-	-	-	-	(5)	-	-	-	-	
<b>Total Other Financing Sources (Uses).....</b>	<b>4,784,389</b>	<b>4,443,112</b>	<b>4,283,523</b>	<b>2,133,223</b>	<b>2,490,584</b>	<b>431,520</b>	<b>363,885</b>	<b>770,969</b>	<b>1,189,357</b>	<b>(26,827)</b>	
<b>Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses.....</b>	<b>(841,398)</b>	<b>(121,866)</b>	<b>512,493</b>	<b>429,676</b>	<b>5,101,161</b>	<b>2,750,370</b>	<b>(1,015,842)</b>	<b>1,981,277</b>	<b>(1,245,339)</b>	<b>2,047,035</b>	
<b>Beginning Fund Balances (*).....</b>	<b>4,810,206</b>	<b>3,968,808</b>	<b>3,846,942</b>	<b>4,359,435</b>	<b>4,789,111</b>	<b>9,890,801</b>	<b>12,641,171</b>	<b>11,625,329</b>	<b>13,606,606</b>	<b>12,361,267</b>	
<b>Ending Fund Balances.....</b>	<b>\$ 3,968,808</b>	<b>\$ 3,846,942</b>	<b>\$ 4,359,435</b>	<b>\$ 4,789,111</b>	<b>\$ 9,890,272</b>	<b>\$ 12,641,171</b>	<b>\$ 11,625,329</b>	<b>\$ 13,606,606</b>	<b>\$ 12,361,267</b>	<b>\$ 14,408,302</b>	

(\*) The April 1, 2015 balance has been adjusted by \$529 thousand to reverse out a prior period adjustment.

**GOVERNMENTAL FUNDS  
LOCAL ASSISTANCE GRANTS  
FISCAL YEAR ENDED MARCH 31, 2020**





## SCHEDULE 7

STATE OF NEW YORK  
GOVERNMENTAL FUNDS  
LOCAL ASSISTANCE DISBURSEMENTS BY PROGRAM  
FISCAL YEAR ENDED MARCH 31, 2020  
(amounts in thousands)

	GENERAL	STATE SPECIAL REVENUE	FEDERAL SPECIAL REVENUE	STATE CAPITAL PROJECTS	FEDERAL CAPITAL PROJECTS	2019-20	2018-19
<b>Education:</b>							
Public School Aid.....	\$ 25,406,256	\$ 3,847,777	\$ 3,477,239	\$ 169,821	\$ -	\$ 32,901,093	\$ 32,049,653
Higher Education.....	2,475,518	-	-	5,669	-	2,481,187	3,101,463
School Tax Relief (STAR).....	-	2,183,688	-	-	-	2,183,688	2,423,111
Other Education.....	219,734	9,290	51,242	200	-	280,466	264,454
<b>Total Education.....</b>	<b>28,101,508</b>	<b>6,040,755</b>	<b>3,528,481</b>	<b>175,690</b>	<b>-</b>	<b>37,846,434</b>	<b>37,838,681</b>
<b>Environment and Recreation:</b>							
Environmental Protection.....	2,160	-	-	241,683	175,375	419,218	428,852
Parks, Recreation and Historic Preservation..	1,022	4,629	1,161	2	-	6,814	8,353
<b>Total Environment and Recreation.....</b>	<b>3,182</b>	<b>4,629</b>	<b>1,161</b>	<b>241,685</b>	<b>175,375</b>	<b>426,032</b>	<b>437,205</b>
<b>General Government:</b>							
Local Government Aid.....	952,507	97,808	-	11,005	-	1,061,320	1,044,148
Judicial.....	47,313	118,800	-	-	-	166,113	101,561
All Other.....	49,069	2,432	53,990	839,042	-	944,533	1,110,903
<b>Total General Government.....</b>	<b>1,048,889</b>	<b>219,040</b>	<b>53,990</b>	<b>850,047</b>	<b>-</b>	<b>2,171,966</b>	<b>2,256,612</b>
<b>Public Health:</b>							
Medicaid.....	17,566,451	5,674,673	40,249,339	-	-	63,490,463	59,753,089
Mental Hygiene.....	1,782,831	3,898	131,736	35,462	-	1,953,927	1,911,270
Health.....	448,722	5,004	6,060,427	477,079	42,873	8,249,605	8,236,266
Aging.....	135,068	-	110,186	-	-	245,254	227,552
<b>Total Public Health.....</b>	<b>19,933,072</b>	<b>6,899,075</b>	<b>46,551,688</b>	<b>512,541</b>	<b>42,873</b>	<b>73,939,249</b>	<b>70,128,177</b>
<b>Public Safety:</b>							
Criminal Justice.....	164,629	158,696	90,467	-	-	413,792	409,955
Emergency Management Service.....	6,656	28,140	1,302,862	62,783	34,001	1,434,442	1,180,067
Prisons and Reformatories.....	5,536	-	-	-	-	5,536	3,435
<b>Total Public Safety.....</b>	<b>176,821</b>	<b>186,836</b>	<b>1,393,329</b>	<b>62,783</b>	<b>34,001</b>	<b>1,853,770</b>	<b>1,593,457</b>
<b>Public Welfare:</b>							
Welfare.....	2,246,743	3,634	4,700,399	-	-	6,950,776	7,536,015
Public Housing.....	58,757	407	20,769	413,057	-	492,990	401,616
Employment Services.....	13,353	37	136,348	-	-	149,738	138,761
<b>Total Public Welfare.....</b>	<b>2,318,853</b>	<b>4,078</b>	<b>4,857,516</b>	<b>413,057</b>	<b>-</b>	<b>7,593,504</b>	<b>8,076,392</b>
<b>Support and Regulate Business:</b>							
Commerce, Industry & Agriculture.....	170,772	-	7,964	837,170	-	1,015,906	1,263,735
Regulate Businesses.....	-	56,487	-	41,874	-	98,361	64,396
<b>Total Support and Regulate Business.....</b>	<b>170,772</b>	<b>56,487</b>	<b>7,964</b>	<b>879,044</b>	<b>-</b>	<b>1,114,267</b>	<b>1,328,131</b>
<b>Transportation:</b>							
Traffic Safety.....	-	-	15,136	-	-	15,136	17,595
Transportation.....	110,219	3,378,077	43,840	1,083,425	542,490	5,158,051	5,755,528
<b>Total Transportation.....</b>	<b>110,219</b>	<b>3,378,077</b>	<b>58,976</b>	<b>1,083,425</b>	<b>542,490</b>	<b>5,173,187</b>	<b>5,773,123</b>
<b>Total.....</b>	<b>\$ 51,863,316</b>	<b>\$ 16,788,977</b>	<b>\$ 56,453,105</b>	<b>\$ 4,218,272</b>	<b>\$ 794,739</b>	<b>\$ 130,118,409</b>	<b>\$ 127,431,778</b>

## SCHEDULE 8

STATE OF NEW YORK  
ALL FUNDS BY FUND TYPE  
STATEMENT OF APPROPRIATION TRANSACTIONS (IN FORCE)  
BUDGET PERIOD ENDED MARCH 31, 2020

	BALANCE OF APPROPRIATIONS IN FORCE APRIL 1, 2019	ADD: APPROPRIATIONS BY 2019 LEGISLATURE	LESS: APPROPRIATIONS LAPSED	AUTHORIZED BUDGET TRANSFERS (*)	OTHER 2019 LEGISLATIVE ACTIONS (**)	BUDGET PERIOD 2019-20 EXPENDITURES	BALANCE OF APPROPRIATIONS IN FORCE MARCH 31, 2020	BUDGET PERIOD 2019-20 DISBURSEMENTS
<b>GOVERNMENTAL FUNDS:</b>								
General Fund.....	\$ 74,720,377,539	\$ 111,506,154,257	\$ 36,209,753,206	\$ (182,942,430)	\$ 534,495,332	\$ 73,278,798,878	\$ 77,069,532,614	\$ 73,089,283,486
Special Revenue - Federal.....	243,339,623,183	116,168,576,000	62,783,360,233	(42,174,432)	-	61,470,532,977	215,208,601,541	61,470,082,860
Special Revenue - State.....	21,253,198,702	34,126,455,448	9,237,030,147	144,182,815	2,307,789	25,835,613,843	20,453,500,684	25,832,756,538
Debt Service.....	1,220,537,358	8,322,850,000	1,792,053,751	-	-	4,102,346,879	3,648,986,628	4,673,967,176
Capital Projects - Federal.....	9,386,087,516	2,841,000,000	15,250,120	20,700,000	-	2,163,462,597	10,079,084,799	2,163,462,544
Capital Projects - State.....	60,866,718,108	12,464,513,000	249,464,937	60,615,000	(44,000,000)	10,557,804,116	62,540,577,055	10,564,972,579
Special Emergency.....	1,500,000,000	3,000,000,000	1,500,000,000	-	40,000,000	-	3,040,000,000	-
<b>TOTAL GOVERNMENTAL FUNDS.....</b>	<b>412,293,552,406</b>	<b>288,429,548,705</b>	<b>131,787,442,394</b>	<b>380,953</b>	<b>532,803,121</b>	<b>177,406,559,490</b>	<b>392,060,283,301</b>	<b>177,794,555,183</b>
<b>PROPRIETARY FUNDS:</b>								
Enterprise.....	4,438,375,782	2,908,548,000	2,638,184,467	-	-	2,255,579,070	2,453,160,245	2,258,956,385
Internal Service.....	980,386,204	1,357,311,400	736,332,584	(380,953)	-	676,695,108	804,318,959	677,076,413
<b>TOTAL PROPRIETARY FUNDS.....</b>	<b>5,418,761,986</b>	<b>4,265,859,400</b>	<b>3,374,517,051</b>	<b>(380,953)</b>	<b>-</b>	<b>2,932,244,178</b>	<b>3,257,479,204</b>	<b>2,936,032,798</b>
<b>FIDUCIARY FUNDS:</b>								
Private Purpose Trust.....	1,606,779	1,836,000	1,602,376	-	-	371,002	1,469,401	371,002
Pension Trust.....	12,601,949	137,461,000	8,228,267	-	-	132,033,339	9,791,343	132,033,339
Agency.....	2,070,524,583	3,365,180,500	639,894,303	-	-	2,671,953,446	2,124,757,734	2,671,953,354
<b>TOTAL FIDUCIARY FUNDS.....</b>	<b>2,084,733,311</b>	<b>3,505,467,500</b>	<b>649,814,946</b>	<b>-</b>	<b>-</b>	<b>2,804,367,787</b>	<b>2,156,018,478</b>	<b>2,804,365,695</b>
<b>GRAND TOTAL - ALL FUNDS.....</b>	<b>\$ 419,797,047,703</b>	<b>\$ 296,200,876,005</b>	<b>\$ 135,811,774,391</b>	<b>\$ -</b>	<b>\$ 532,803,121</b>	<b>\$ 183,145,171,455</b>	<b>\$ 397,573,780,383</b>	<b>\$ 183,534,951,676</b>

(\*) Authorized budget transfers represent those movements of appropriation authority provided in appropriation acts between different fund/agencies.

(\*\*) Other legislative actions represent additional appropriations enacted outside of the Executive budget process (i.e., deficiency and supplemental appropriation bills) and increases or reductions by the Legislature to a prior year appropriation.



## SCHEDULE 9

STATE OF NEW YORK  
ALL FUNDS BY BUSINESS UNIT  
STATEMENT OF APPROPRIATION TRANSACTIONS (IN FORCE)  
BUDGET PERIOD ENDED MARCH 31, 2020

## GENERAL:

	BALANCE OF APPROPRIATIONS IN FORCE APRIL 1, 2019	ADD: APPROPRIATIONS BY 2019 LEGISLATURE	LESS: APPROPRIATIONS LAPSED	AUTHORIZED BUDGET TRANSFERS (*)	OTHER LEGISLATIVE ACTIONS (**)	BUDGET PERIOD 2019-20 EXPENDITURES	BALANCE OF APPROPRIATIONS IN FORCE MARCH 31, 2020	BUDGET PERIOD 2019-20 DISBURSEMENTS
Addition Services and Supports, Office of.....	\$ 187,845,276	\$ 548,680,000	\$ 151,791,166	\$ 5,172,500	-	\$ 447,988,815	\$ 141,917,795	\$ 447,981,421
Adirondack Park Agency.....	4,000,804	4,684,000	258,949	34,501	-	4,443,684	416,662	4,443,684
Aging, State Office for the.....	62,676,084	147,117,500	13,733,005	30,502,527	-	165,049,926	61,513,180	165,049,926
Agriculture and Markets, Department of.....	51,180,900	74,631,000	2,373,505	6,453,731	-	70,718,397	59,173,729	70,721,464
Alcoholic Beverage Control, Division of.....	2,148,389	13,313,000	1,744,632	-	-	10,620,327	3,096,430	10,610,701
Arts, Council on the.....	17,777,925	46,274,000	210,874	-	-	48,267,633	14,573,418	48,264,233
Budget, Division of the.....	1,177,052	2,078,000	6,577,744	-	-	24,545,213	4,835,085	24,545,213
Children and Family Services, Office of.....	34,639,000	2,018,000	34,639,000	138,780,346	-	1,331,569,000	1,710,331,569	1,331,569,000
Civil Service, Department of.....	522,587,088	1,018,267,800	(1,161,270)	9,204,116	-	1,212,373,574	936,846,700	1,212,373,574
Corrections, State Commission of.....	201,722	17,840,000	505,031	-	-	15,181,146	2,355,545	15,181,146
Corrections and Community Supervision, Department of.....	1,074,485	2,955,000	437,972	602,800	-	3,147,412	1,046,901	3,147,803
Criminal Justice Services, Division of.....	180,540,475	2,655,475,000	67,549,048	268,196,100	-	2,872,152,901	164,509,626	2,873,960,760
Economic Development, Department of.....	159,288,116	194,163,000	12,461,950	31,599,711	-	191,834,636	180,752,684	180,752,684
Economic Development, Department of.....	176,253,378	74,546,000	2,746,281	(5,456,000)	-	50,396,836	192,200,251	50,746,264
Education, State Department of.....	2,045,565,443	26,208,440,850	(37,159,450)	(288,511,333)	-	25,687,339,185	2,335,315,215	25,687,359,680
Elections, Board of.....	8,140,903	18,559,000	1,281,903	-	-	9,891,375	15,426,625	9,891,375
Employee Relations, Office of.....	71,948,531	45,152,000	1,758,024	(4,463,499)	-	39,307,825	85,688,383	39,309,302
Environmental Conservation, Department of.....	61,766,590	130,688,000	23,725,217	396,182	-	112,488,873	59,648,882	112,481,988
Executive Chamber.....	5,774,815	-	5,317,906	-	-	13,238,714	5,078,062	13,238,714
Financial Services, Department of.....	1,636,980	-	-	-	-	-	1,636,980	-
Financial Services, Department of.....	1,636,980	-	-	-	-	-	1,636,980	-
General Services, Office of.....	17,841,287	125,066,000	16,516,969	349,345	-	102,016,378	24,743,285	101,989,623
Governmental Judicial Screening Committee.....	30,900	38,000	29,984	-	-	166,000	33,276	166,000
Health, Department of.....	51,940,602,321	45,628,489,571	23,676,495,690	(384,340,926)	-	18,726,679,946	54,801,585,330	18,561,004,768
Higher Education Services Corporation.....	812,708,724	1,158,073,000	305,565,135	1,500,000	-	1,109,560,120	557,136,469	1,109,560,120
Homes and Community Renewal, Division of.....	1,234,537,731	154,900,000	25,316,706	179,000	-	1,357,748,193	6,551,832	1,357,748,193
Housing and Community Renewal, Division of.....	70,485,405	43,483,000	6,822,103	615,143	-	36,639,433	71,122,012	36,639,433
Hudson River Valley Greenways.....	948,087	321,000	81,936	-	-	240,433	946,718	240,433
Human Rights, Division of.....	2,408,322	12,135,000	1,901,749	-	-	11,046,252	159,321	11,046,252
Information Technology Services, Office of.....	124,791,765	982,707,000	40,300,994	-	-	538,580,981	128,616,790	538,626,171
Inspector General, Office of the State.....	4,701,742	16,944,000	383,215	464,000	-	6,380,675	1,134,852	6,380,675
Interest on Lawyer Account (OLA).....	3,462,415	5,992,000	316,625	-	-	1,449,375	5,178,000	1,449,375
Justice Center for the Protection of People with Special Needs.....	62,415	6,026,000	201,782	-	-	538,400	5,217,389	5,217,389
Judicial Commission on Public Ethics.....	414,583	-	-	-	-	5,744,231	494,570	5,743,640
Judicial Nomination.....	30,000	30,000	-	-	-	-	30,000	-
Justice Center for the Protection of People with Special Needs.....	5,057,165	45,116,000	3,628,080	1,504,300	-	41,571,200	6,478,185	41,577,059
Labor, Department of.....	35,831,060	13,302,000	456,827	50,000	-	25,729,650	22,966,583	25,757,351
Law, Department of.....	1,520,625	109,689,000	896,524	1,398,021	-	110,144,983	1,564,139	110,037,899
Legislative Bill Drafting Commission.....	12,045,884	13,941,116	-	-	-	14,657,853	11,329,157	14,657,853
Legislature - Assembly.....	55,159,795	122,399,172	-	-	-	118,501,646	58,057,321	118,513,464
Legislature - Senate.....	61,185,251	103,798,552	-	-	-	94,045,963	70,937,840	94,045,963
Lieutenant Governor.....	111,636	924,303	162,296	-	-	813,084	60,559	813,084
Medicaid Inspector General, Office of.....	2,347,463	19,428,000	1,748,278	-	-	17,963,665	2,040,520	17,963,665
Mental Health, Office of.....	1,787,403,695	3,773,905,500	1,688,384,540	25,991,132	-	2,732,138,603	1,156,780,184	2,733,035,444
Military and Naval Affairs, Division of.....	7,515,796,873	11,832,433,613	6,458,897,981	1,065,288,875	522,505,921	7,384,280,149	7,082,835,152	7,371,331,549
Motor Vehicles, Department of.....	194,619	12,617,000	1,616,717	-	-	10,651,571	2,384,821	10,651,571
National and Community Service.....	1,084,508	768,300	49,648	81,087	-	654,381	1,229,866	654,381
NYS Gaming Commission.....	2,145,391	6,431,000	1,973,583	-	-	4,522,233	2,080,575	4,522,233
Parks, Recreation and Historic Preservation, Office of.....	48,924,053	131,061,000	25,393,159	85,000	-	107,737,819	46,939,075	107,737,819
People With Developmental Disabilities, Office for.....	4,665,566,954	4,726,877,000	2,724,494,153	133,000	-	3,085,682,041	3,582,400,760	3,085,633,136
Prevention of Domestic Violence, Office for the.....	2,243,658	3,102,000	201,146	(9)	-	2,984,119	2,160,384	2,984,119
Public Benefit Corporations.....	881,666,065	528,392,849	394,665,408	1,405,000	-	162,224,441	654,574,065	162,224,441
Public Employment Relations Board.....	318,576	3,672,000	214,128	-	-	3,360,313	3,360,313	3,360,313
State Comptroller, Office of the.....	4,769,497	169,442,000	33,396	857,000	-	169,421,529	5,613,572	169,420,822
State Police, Division of.....	78,476,307	679,665,000	40,595,431	62,035,000	-	503,508,734	81,314,371	696,257,527
State University of New York.....	48,245,978	2,247,230,000	(2,953,214)	(1,734,383,247)	-	25,118,114	59,537,211	503,508,734
State, Department of.....	38,067,700	28,968,000	1,586,234	5,452,335	-	48,602,687	48,602,687	48,602,687
Statewide Financial System.....	1,036,257	3,506,000	-	-	-	1,036,257	3,506,000	1,036,257
Tax Appeals, Division of.....	302,791	3,040,000	171,993	-	-	2,870,188	300,610	2,870,188

(\*) Authorized budget transfers represent those movements of appropriation authority provided in appropriation acts between different funds/agencies.

(\*\*) Other legislative actions represent additional appropriations enacted outside of the Executive budget process (i.e., deficiency and supplemental appropriation bills) and increases or reductions by the Legislature to a prior year appropriation.

SCHEDULE 9  
(continued)STATE OF NEW YORK  
ALL FUNDS BY BUSINESS UNIT  
STATEMENT OF APPROPRIATION TRANSACTIONS (IN FORCE)  
BUDGET PERIOD ENDED MARCH 31, 2020

	BALANCE OF APPROPRIATIONS IN FORCE APRIL 1, 2019	ADD: APPROPRIATIONS BY 2019 LEGISLATURE	LESS: APPROPRIATIONS LAPSED	AUTHORIZED BUDGET TRANSFERS (*)	OTHER 2019 LEGISLATIVE ACTIONS (**)	BUDGET PERIOD 2019-20 EXPENDITURES	BALANCE OF APPROPRIATIONS IN FORCE MARCH 31, 2020	BUDGET PERIOD 2019-20 DISBURSEMENTS
GENERAL (continued):								
Taxation and Finance, Department of .....	38,249,261	271,942,000	40,822,920	-	-	230,241,823	39,126,518	230,277,857
Temporary and Disability Assistance, Office of .....	501,672,307	1,579,182,000	118,210,028	523,438,833	-	1,792,832,025	693,249,087	1,792,835,504
Transportation, Department of .....	57,674,512	490,622,800	1,150,013	1,426,093	-	1,150,013	103,175,078	445,398,314
Unified Court System .....	103,121,486	2,847,171,881	9,341,013	28,925,617	-	2,862,140,438	107,737,843	2,859,084,972
Veterans' Services, Division of .....	12,403,159	18,726,000	1,608,815	-	-	14,520,344	14,520,344	14,520,344
Welfare Inspector General, Office of .....	303,159	-	-	2,469,789	(2,307,789)	37,000	389,159	37,000
Welfare Inspector General, Office of .....	18,477	-	19,173	(464,000)	-	639,756	57,548	639,756
TOTAL GENERAL .....	74,720,377,539	111,506,154,257	36,209,753,206	(182,942,430)	534,495,332	73,278,798,878	77,089,532,614	73,089,283,486
SPECIAL REVENUE - FEDERAL:								
Addition Services and Supports, Office of .....	70,008,876	152,170,000	40,146,587	-	-	94,740,889	87,291,410	94,740,889
Adirondack Park Agency .....	1,902,273	-	-	-	-	-	1,902,273	-
Agging, State Office for the .....	208,287,799	124,739,000	35,682,914	(2,145,566)	-	118,163,255	177,035,064	118,162,153
Agriculture and Markets, Department of .....	150,443,623	50,922,000	20,006,235	1,229,424	-	17,732,148	164,856,664	17,732,148
Arts, Council on the .....	12,346,366	1,513,000	8,036,991	-	-	783,610	5,038,765	783,610
Children and Family Services, Office of .....	3,497,178,196	1,616,411,000	209,825,851	39,207,280	-	1,251,042,636	3,691,827,989	1,251,068,894
City University of New York .....	2,283,846	40,650,000	216,201	947,794	-	1,626,680	1,388,759	1,626,680
Corrections and Community Supervision, Department of .....	201,724,120	51,350,000	112,735,945	2,791,767	-	4,057,224	127,955,158	4,056,443
Criminal Justice Services, Division of .....	249,176,375	31,735,000	31,735,000	3,761,377	-	22,261,800	246,914,575	246,914,575
Department of Public Health, Office of .....	1,393,840	4,750,000	64,331	-	-	4,634,351	8,844,291	4,634,351
Economic Development, Department of .....	21,083,840	14,000,000	800	(778,223)	-	26,685,051	7,619,766	7,619,766
Education, State Department of .....	8,456,972,327	4,953,265,000	188,480,965	(9,094,492)	-	3,746,833,045	9,465,828,825	3,746,839,519
Elections, Board of .....	52,207,531	-	-	-	-	9,183,265	43,024,266	9,183,265
Environmental Conservation, Department of .....	303,513,197	81,198,000	23,649,901	(482,571)	-	56,054,507	304,524,218	56,054,084
Financial Services, Department of .....	1,463,226	1,400,000	1,248,159	-	-	-	1,614,067	-
General Services, Office of .....	18,164,711	14,230,000	1,678,770	-	-	11,589,738	177,979,333	11,589,738
Health, Department of .....	206,576,385	101,716,000	80,660,595	(497,931,075)	-	49,235,058	177,979,333	49,235,058
Hemp, Office of .....	67,336,051	3,500,000	1,730,860	(116,449)	-	3,995,248	67,336,051	3,995,248
Homeland Security and Emergency Services, Division of .....	10,412,602,997	1,253,774,000	1,018,653,529	(35,166,626)	-	1,328,786,114	9,283,629,788	1,328,790,714
Housing and Community Renewal, Division of .....	178,103,997	88,808,000	40,088,654	40,088,654	-	72,648,536	234,362,115	72,648,536
Human Rights, Division of .....	9,337,535	6,018,000	2,822,780	868,014	-	4,384,496	8,148,279	4,384,496
Information Technology Services, Office of .....	2,528,812	500,000	364,498	-	-	1,280,933	2,251,395	1,280,933
Inspector General, Office of the State .....	400,000	-	1,742,010	1,189,584	-	-	-	-
Justice Center for the Protection of People with Special Needs .....	6,285,529	2,047,000	400,000	1,189,584	-	2,324,337	5,455,776	2,324,337
Labor, Department of .....	1,173,197,219	741,350,000	149,182,952	287,737	-	498,158,047	1,267,493,957	498,168,509
Lake George Park Commission .....	27,498	-	-	-	-	-	-	-
Law, Department of .....	13,679,574	42,144,000	1,745	-	-	17,659,235	27,498	17,659,235
Medicaid Inspector General, Office of .....	26,615,462	30,985,000	8,128,216	-	-	38,162,594	27,804,526	38,191,628
Mental Health, Office of .....	47,559,833	35,344,000	5,598,023	32,775,999	-	27,804,526	27,804,526	27,804,526
Military and Naval Affairs, Division of .....	47,559,833	35,344,000	5,598,023	32,775,999	-	76,037,755	76,037,755	76,037,755
Miscellaneous State Agencies and Public Authorities .....	47,559,833	42,760,000	25,267,621	24,498,423	-	46,267,555	46,267,555	46,267,555
Motor Vehicles, Department of .....	7,502,159,188	-	-	(20,700,000)	-	7,481,459,188	7,481,459,188	7,481,459,188
National and Community Service .....	117,023,092	42,693,000	-	(11,059,871)	-	20,944,309	127,111,912	20,944,309
Parks, Recreation and Historic Preservation, Office of .....	124,391,707	30,005,000	3,283,742	272,119	-	11,315,704	139,797,261	11,315,704
People With Developmental Disabilities, Office for the .....	43,421,419	10,453,000	2,306,254	326,000	(7,643,137)	7,507,882	44,332,402	7,507,882
Prevention of Domestic Violence, Office for the .....	3,271,058	751,000	514,192	375,000	13,336,846	13,336,846	13,336,846	13,336,846
Public Benefit Corporations .....	14,701,229	1,600,000	3,102,284	(110,161)	-	17,533,731	16,220,773	17,533,731
Public Service, Department of .....	5,500,000	-	-	19,053,275	-	15,533,731	16,220,773	15,533,731
State Police, Division of .....	146,965,803	16,838,000	60,864,666	3,998,101	-	2,772,586	9,462,873	2,772,586
State University of New York .....	105,494,597	442,600,000	130,753,002	12,956,570	-	351,860,393	581,162,088	28,488,258
State, Department of .....	117,023,092	79,001,000	22,428,957	10,127,319	-	101,937,437	60,131,203	351,860,393
Taxation and Finance, Department of .....	4,923,205	4,150,504,000	29,384,224	340,700,293	-	4,141,147,900	4,774,715	148,490
Temporary and Disability Assistance, Office of .....	2,193,958,000	123,730,000	84,623	58,585,526	-	2,516,167,855	2,516,167,855	4,141,767,845
Unemployment Insurance, Office of .....	544,798,376	19,000,000	2,696,513	646,564	-	580,100,045	580,100,045	68,565,255
Veterans' Services, Division of .....	5,720,094	2,525,000	1,747,292	-	-	8,598,832	1,058,178	8,598,832
Victim Services, Office of .....	146,308,799	125,954,000	22,998,368	(377,690)	-	77,649,386	171,237,355	1,058,178
Workers' Compensation Board .....	1,110,910	-	-	-	-	-	1,110,910	-
Welfare Inspector General, Office of .....	200,000	-	200,000	-	-	-	-	-
TOTAL SPECIAL REVENUE - FEDERAL .....	243,336,623,183	116,168,576,000	82,783,890,233	(42,174,432)	-	61,470,532,977	215,208,601,541	61,470,082,860

(\*) Authorized budget transfers represent those movements of appropriation authority provided in appropriation acts between different funds/agencies.

(\*\*) Other legislative actions represent additional appropriations enacted outside of the Executive budget process (i.e., deficiency and supplemental appropriation bills) and increases or reductions by the Legislature to a prior year appropriation.



SCHEDULE 9  
(continued)STATE OF NEW YORK  
ALL FUNDS BY BUSINESS UNIT  
STATEMENT OF APPROPRIATION TRANSACTIONS (IN FORCE)  
BUDGET PERIOD ENDED MARCH 31, 2020

	BALANCE OF APPROPRIATIONS IN FORCE APRIL 1, 2019	ADD: APPROPRIATIONS BY 2019 LEGISLATURE	LESS: APPROPRIATIONS LAPSED	AUTHORIZED BUDGET TRANSFERS (*)	OTHER 2019 LEGISLATIVE ACTIONS (**)	BUDGET PERIOD 2019-20 EXPENDITURES	BALANCE OF APPROPRIATIONS IN FORCE MARCH 31, 2020	BUDGET PERIOD 2019-20 DISBURSEMENTS
<b>SPECIAL REVENUE - STATE:</b>								
Addition Services and Supports, Office of.....	25,139,579	17,643,000	1,190,446.00	89,909	-	9,920,426	31,761,616	9,920,426
Adirondack Park Agency.....	1,500,000	-	-	-	-	-	1,500,000	-
Aging, State Office for.....	2,709,822	1,230,000	2,210,089	475,000	-	474,988	1,729,735	474,988
Agriculture and Markets, Department of.....	36,944,088	21,784,000	516,225.00	-	-	6,441,624	51,770,239	6,441,624
Arts, Council on the.....	1,176,000	196,000	1,176,000.00	-	-	-	196,000	-
Budget, Division of.....	15,773,607	19,283,000	15,484,600.00	-	-	5,027,464	14,534,543	5,027,464
Children and Family Services, Office of.....	205,624,471	59,886,000	32,881,543.00	-	-	23,733,606	209,205,322	23,733,606
City University of New York.....	99,902,467	154,400,000	63,234,637.00	-	-	100,480,140	87,587,690	100,477,966
Civil Service, Department of.....	1,568,408	1,140,000	1,558,983.00	-	-	307,989	841,536	307,989
Corrections and Community Supervision, Department of.....	32,749,058	33,853,000	32,470,539.00	30,989	-	1,584,426	32,660,062	1,584,426
Criminal Justice Services, Division of.....	48,000,000	48,000,000	48,000,000.00	5,085,789	-	29,418,542	9,581,458	29,418,542
Education, State Department of.....	767,889,280	4,480,000	2,210,753.00	(6,080,366)	-	1,437,398	562,162,129	1,353,380
Electoral, State Department of.....	6,072,095,000	6,072,095,000	114,015,088.00	-	-	6,157,097,579	6,157,380,411	6,157,380,411
Elections, Board of.....	13,337,546	3,000,000	5,458,685.00	-	-	269,893	10,510,968	269,893
Employee Relations, Office of.....	345,373	1,031,000	344,150.00	-	-	851,926	180,297	851,926
Environmental Conservation, Department of.....	207,839,872	248,572,000	188,368,383.00	(2,438,000)	-	159,393,838	126,211,651	159,887,134
Financial Control Board for New York City.....	337,731	3,497,000	340,944.00	-	-	2,559,169	934,618	2,559,169
Financial Services, Department of.....	62,880,055	4,364,300,863	56,148,046.00	40,080,414	-	421,087,255	62,125,131	421,087,255
General Services, Office of.....	23,580,719	30,168,000	21,137,717.00	-	-	15,867,628	16,723,374	15,867,628
Health, Department of.....	13,215,176,862	12,875,934,000	5,784,967,200.00	(87,098,967)	-	7,136,604,036	12,872,440,659	7,136,604,036
Higher Education Services Corporation.....	22,460,229	59,168,000	14,694,974.00	-	-	38,703,796	28,229,459	38,703,796
Homeland Security and Emergency Services, Office of.....	440,600,256	127,233,000	12,524,228.00	32,117,586	-	92,262,983	495,323,621	92,262,917
Housing and Community Renewal, Division of.....	158,944,714	219,800,000	32,425,888.00	(450,000)	-	60,767,515	141,661,211	60,767,515
Indigent Legal Services, Office of.....	30,000,000	30,000,000	30,000,000.00	-	-	80,284,561	30,000,000	80,284,561
Inspector General, Office of the State.....	300,000	300,000	300,000.00	494,000	-	494,000	300,000	494,000
Interest on Lawyer Account (OLA).....	26,046,384	47,039,000	22,250,756.00	1,900	-	21,504,489	28,332,039	21,504,489
Justice Center for the Protection of People with Special Needs.....	7,625,378	10,359,000	7,512,874.00	45,057	-	2,066,167	8,448,394	2,066,167
Labor, Department of.....	28,518,566	74,472,000	249,432.00	631,000	-	68,621,373	34,750,351	68,621,373
Lake George Park Commission.....	715,808	2,052,000	279,933.00	-	-	1,556,672	931,203	1,556,672
Law, Department of.....	12,065,226	93,094,000	10,529,309.00	7,962,603	-	95,694,336	6,898,184	95,699,015
Legislative Bill Drafting Commission.....	1,514,050	-	-	1,500,000	-	1,178,700	1,835,350	1,178,700
Legislature - Assembly.....	400,000	300,000	300,000.00	(1,500,000)	-	-	400,000	-
Legislature - Senate.....	6,475,579	1,800,000	300,000.00	-	-	2,799,920	6,475,579	2,799,920
Military and Naval Affairs, Division of.....	22,319,745	25,252,000	22,355,888.00	-	-	2,825,827	22,625,827	2,825,827
Minor Vehicle Sales, Division of.....	609,744,108	13,277,000	6,110,163.00	-	-	5,160,341	611,000,000	5,160,341
Motor Vehicles, Department of.....	15,680,822	67,750,000	600,665,384.00	-	-	8,078,785	8,078,785	8,078,785
NYS Gaming Commission.....	223,139,486	402,063,000	10,872,884.00	-	-	62,125,828	7,411,900	62,125,828
NYS Racing and Wagering Board.....	-	-	198,575,325.00	-	-	160,086,096	266,555,065	160,086,096
Oil Spill Fund (ENCON).....	1	-	-	-	-	-	-	-
Parks, Recreation and Historic Preservation, Office of.....	45,815,137	95,585,000	22,262,185.00	1	-	65,000,251	54,137,701	64,999,521
People With Developmental Disabilities, Office for.....	703,707	651,000	606,017.00	-	-	75,645	673,045	75,645
Prevention of Domestic Violence, Office for the.....	84,989	41,000	41,000.00	9	-	44,009	40,989	44,009
Public Benefit Corporations.....	944,387,412	970,093,000	944,243,682.00	150,000	-	186,956	970,198,774	186,956
Public Employment Relations Board.....	356,044	384,000	349,917.00	-	-	20,420	369,707	20,420
Public Service, Department of.....	25,585,895	99,182,000	18,224,719.00	(17,000)	-	78,186,822	28,338,354	78,186,822
State Comptroller, Office of the.....	7,584,852	22,641,000	6,521,689.00	(1,500,000)	-	5,900,642	16,503,521	5,900,642
State Police, Division of.....	38,929,147	132,639,000	33,098,752.00	92,781	-	104,168,982	32,392,194	104,168,982
State University of New York.....	3,008,678,199	7,318,483,100	682,894,720.00	154,789,889	-	6,771,869,889	3,215,111,780	6,768,204,159
State, Department of.....	15,423,632	52,598,000	8,635,195.00	9,636,155	-	49,607,057	19,615,535	49,609,467

(\*) Authorized budget transfers represent those movements of appropriation authority provided in appropriation acts between different funds/agencies.

(\*\*) Other legislative actions represent additional appropriations enacted outside of the Executive budget process (i.e., deficiency and supplemental appropriation bills) and increases or reductions by the Legislature to a prior year appropriation.

**SCHEDULE 9  
(continued)**

**STATE OF NEW YORK  
ALL FUNDS BY BUSINESS UNIT  
STATEMENT OF APPROPRIATION TRANSACTIONS (IN FORCE)  
BUDGET PERIOD ENDED MARCH 31, 2020**

	BALANCE OF APPROPRIATIONS IN FORCE APRIL 1, 2019	ADD: APPROPRIATIONS BY 2019 LEGISLATURE	LESS: APPROPRIATIONS LAPSED	AUTHORIZED BUDGET TRANSFERS (*)	OTHER 2019 LEGISLATIVE ACTIONS (**)	BUDGET PERIOD 2019-20 EXPENDITURES	BALANCE OF APPROPRIATIONS IN FORCE MARCH 31, 2020	BUDGET PERIOD 2019-20 DISBURSEMENTS
<b>SPECIAL REVENUE - STATE (continued):</b>								
Taxation and Finance, Department of.....	109,010,027	121,977,000	107,037,132.00	-	-	96,720,310	27,229,585	96,720,310
Temporary and Disability Assistance, Office of.....	21,672,154	22,900,000	19,900,000.00	1,870,000	-	2,822,246	23,719,908	2,822,246
Transportation, Department of.....	194,469,424	3,490,127,500	63,327,432.00	-	-	3,389,473,454	231,796,038	3,389,473,454
Unified Court System.....	97,219,145	261,314,585	5,245,972.00	(6,711,317)	-	82,573,024	82,573,024	263,865,061
Veterans' Services, Division of.....	77,833	-	-	-	-	-	77,833	-
Women's Services, Office of.....	105,156,279	45,844,000	11,267,468.00	(5,264,566)	2,307,789	28,057,546	108,718,458	28,057,546
Workers' Compensation Board.....	50,700	150,000	150,000.00	-	-	-	150,000	-
Workers' Compensation Board.....	9,802,720	198,439,000	2,394,382.00	-	-	193,053,025	10,818,303	193,053,025
<b>TOTAL SPECIAL REVENUE - STATE</b>	<b>21,253,198,702</b>	<b>34,126,453,446</b>	<b>9,237,030,147</b>	<b>144,822,815</b>	<b>2,307,789</b>	<b>23,835,813,943</b>	<b>20,453,900,864</b>	<b>23,837,736,338</b>
<b>DEBT SERVICE:</b>								
Miscellaneous State Agencies and Public Authorities.....	1,220,537,358	8,322,850,000	1,792,053,751	-	-	4,102,346,979	3,648,986,828	4,673,987,176
<b>TOTAL DEBT SERVICE</b>	<b>1,220,537,358</b>	<b>8,322,850,000</b>	<b>1,792,053,751</b>	<b>-</b>	<b>-</b>	<b>4,102,346,979</b>	<b>3,648,986,828</b>	<b>4,673,987,176</b>
<b>CAPITAL PROJECTS - FEDERAL:</b>								
Environmental Conservation, Department of.....	758,997,349	185,000,000	15,102,694	19,398,900	-	211,799,963	736,493,592	211,799,963
General Services, Office of.....	1,348,797	-	-	-	-	1,348,797	1,348,797	-
Health, Department of.....	231,624,807	77,000,000	-	-	-	47,233,003	261,391,604	47,233,003
Homeland Security and Emergency Services, Office of.....	198,738,050	-	-	-	-	-	198,738,050	-
Housing and Community Renewal, Division of.....	10,100,498	-	-	-	-	-	10,100,498	-
Military and Naval Affairs, Division of.....	158,498,178	33,000,000	147,425	-	-	35,292,182	156,058,571	35,292,182
Miscellaneous State Agencies and Public Authorities.....	100,000,000	-	-	-	-	-	100,000,000	-
Parks, Recreation and Historic Preservation, Office of.....	27,980,187	20,000,000	-	1,301,100	-	10,827,378	38,453,909	-
State University of New York.....	1	-	1	-	-	-	-	-
Transportation, Department of.....	7,907,809,849	2,526,000,000	-	-	-	1,859,310,071	8,575,499,778	1,859,310,071
<b>TOTAL CAPITAL PROJECTS - FEDERAL</b>	<b>9,396,097,516</b>	<b>2,841,000,000</b>	<b>15,250,120</b>	<b>20,700,000</b>	<b>-</b>	<b>2,163,462,597</b>	<b>10,079,084,799</b>	<b>2,163,462,544</b>
<b>CAPITAL PROJECTS - STATE:</b>								
Addition Services and Supports, Office of.....	531,021,694	90,000,000	1,187,724	3,377,357	-	32,869,057	590,342,270	32,869,057
Adirondack Park Agency.....	1,000,000	-	-	85,568	-	5	1,000,000	5
Aging, State Office for the.....	329,152,487	-	-	-	-	-	329,152,487	-
Arts, Council on the.....	25,016,756	19,415,000	5,000,781	50,142,265	-	68,672,773	325,036,198	68,672,773
Children and Family Services, Office of.....	395,603,409	43,453,000	1,174	-	-	5,080,829	19,935,927	5,080,829
City University Construction Fund.....	6,546,111,319	389,498,000	-	-	-	22,729,369	416,325,866	22,729,369
Corrections and Community Supervision (Corcraft), Department of.....	5,188,480	3,000,000	-	-	-	36,491,250	6,899,118,067	36,875,503
Corrections and Community Supervision, Department of.....	505,255,723	494,000,000	356,264	8,672,500	-	1,462,185	6,726,295	1,462,185
Criminal Justice Services, Division of.....	5,761,762	-	-	-	-	410,902,040	596,669,919	410,902,384
Disaster Aid.....	-	-	-	-	-	4,333,501	1,428,261	4,333,501
Economic Development, Department of.....	9,347,547	-	-	-	-	-	9,128,855	218,692
Education, State Department of.....	1,986,178,540	141,200,000	-	(4,750,000)	-	176,481,473	1,946,147,023	176,484,022
Elections, Board of.....	67,742	14,700,000	44	-	-	3,387,773	4,321,28,869	3,387,773
Environmental Conservation, Department of.....	4,553,000	1,000,000	39,334,455	(728,630,000)	-	5,435,869,608	4,337,375,868	5,435,869,608
General Services, Office of.....	625,445,086	204,000,000	-	18,400,086	-	671,275,868	176,889,448	176,889,448
General Services, Office of.....	10,000,000	-	-	36,604,920	-	18,500,000	27,104,920	18,500,000
Health, Department of.....	3,349,761,605	125,904,000	23,586,500	586,450,304	-	577,671,396	3,459,856,013	577,631,819
Higher Education Services Corporation.....	-	-	-	10,000,000	-	-	10,000,000	-
Homeland Security and Emergency Services, Division of.....	467,000,002	33,000,000	-	32,167,957	-	88,333,831	443,834,128	88,333,831
Housing and Community Renewal, Division of.....	2,847,652,581	294,200,000	-	21,387,683	-	364,443,307	2,798,796,957	364,443,307
Hudson River Valley Greenways.....	79,564,517	-	-	(62,346,455)	-	17,218,062	17,218,062	-
Information Technology Services, Office of.....	133,056,164	95,700,000	-	(21,131,952)	-	72,266,249	135,357,963	72,266,249
Inspector General, Office of the State.....	4,536	-	-	575,000	-	540,598	38,938	540,598
Labor, Department of.....	230,000	-	-	2,000,000	-	-	2,230,000	-
Lake George Park Commission.....	818,653	-	-	650,000	-	413,678	1,054,975	413,678

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SCHEDULE 9  
(continued)STATE OF NEW YORK  
ALL FUNDS BY BUSINESS UNIT  
STATEMENT OF APPROPRIATION TRANSACTIONS (IN FORCE)  
BUDGET PERIOD ENDED MARCH 31, 2020

## CAPITAL PROJECTS - STATE (continued):

	BALANCE OF APPROPRIATIONS IN FORCE APRIL 1, 2019	ADD: APPROPRIATIONS BY 2019 LEGISLATURE	LESS: APPROPRIATIONS LAPSED	AUTHORIZED BUDGET TRANSFERS (*)	OTHER 2019 LEGISLATIVE ACTIONS (**)	BUDGET PERIOD 2019-20 EXPENDITURES	BALANCE OF APPROPRIATIONS IN FORCE MARCH 31, 2020	BUDGET PERIOD 2019-20 DISBURSEMENTS
Law, Department of.....	7,309,583	2,000,000	-	4,535,458	-	5,041,445	8,803,586	5,041,445
Mental Health, Office of.....	2,750,614,928	534,472,000	118	22,234,525	-	285,168,717	3,022,152,618	285,078,258
Military and Naval Affairs, Division of.....	65,223,146	42,200,000	1,176,447	56,125,000	-	90,101,738	73,289,961	90,101,738
Miscellaneous State Agencies and Public Authorities.....	6,874,863,918	1,078,500,000	60,486,284	(845,369,711)	-	453,812,446	6,573,743,877	453,812,446
Motor Vehicles, Department of.....	20,855,609	287,525,000	-	13,000,000	-	38,705,964	262,774,525	-
National and Community Service.....	10,617,305	-	-	(179,386)	-	-	-	-
Office of State and (ERDCNY).....	598,348,078	21,200,000	-	91,633,288	-	19,690,916	12,126,985	19,690,916
Parks, Recreation and Historic Preservation, Office of.....	617,146,755	202,700,000	165,111	91,633,288	-	208,678,181	885,840,064	215,571,818
People With Developmental Disabilities, Office for.....	15,038,677,648	99,400,000	-	950,000	-	102,189,985	615,308,790	102,179,487
Public Benefit Corporations.....	6,525,439	3,038,231,000	11,283,731	1,486,308,729	-	2,497,242,139	17,034,589,507	2,497,242,139
State Comptroller, Office of the.....	145,011,017	-	-	5,586,000	-	4,238,542	7,681,887	4,238,542
State Police, Division of.....	3,400,129,750	127,500,000	65,477,899	-	-	49,320,079	157,713,039	49,320,079
State University of New York Construction Fund.....	1,619,404,608	889,098,000	-	(223,804,565)	-	721,447,908	3,343,975,277	719,858,961
State University of New York.....	3,319,286,896	37,060,000	5,141,912	(684,757,634)	-	284,117,082	682,447,980	284,107,232
State, Department of.....	198,270,705	102,000,000	1	132,385,379	-	30,016,784	523,665,310	32,180,376
Temporary and Disability Assistance, Office of.....	6,854,420,341	64,000,000	170	13,373,660	-	74,587,548	198,056,847	74,587,548
Transportation, Department of.....	65,413,300	2,892,632,000	16,277,884	52,509,406	(44,000,000)	2,837,162,399	6,702,121,364	2,837,311,948
Unified Court System.....	68,306,074	25,675,000	4,335	-	-	21,341,980	69,741,985	21,284,383
Workers' Compensation Board.....	60,886,718,108	12,464,573,000	249,464,937	60,615,000	(44,000,000)	4,907,059	63,399,015	4,907,059
<b>TOTAL CAPITAL PROJECTS - STATE.....</b>	<b>60,886,718,108</b>	<b>12,464,573,000</b>	<b>249,464,937</b>	<b>60,615,000</b>	<b>(44,000,000)</b>	<b>10,557,804,116</b>	<b>82,540,577,055</b>	<b>10,564,972,579</b>
<b>SPECIAL EMERGENCY:</b>								
Miscellaneous State Agencies and Public Authorities.....	1,500,000,000	3,000,000,000	1,500,000,000	-	40,000,000	-	3,040,000,000	-
<b>TOTAL SPECIAL EMERGENCY.....</b>	<b>1,500,000,000</b>	<b>3,000,000,000</b>	<b>1,500,000,000</b>	<b>-</b>	<b>40,000,000</b>	<b>-</b>	<b>3,040,000,000</b>	<b>-</b>
<b>TOTAL GOVERNMENTAL FUNDS.....</b>	<b>\$ 412,293,552,406</b>	<b>\$ 288,279,548,705</b>	<b>\$ 131,787,442,384</b>	<b>\$ 380,953</b>	<b>\$ 532,803,121</b>	<b>\$ 177,408,559,480</b>	<b>\$ 392,060,283,301</b>	<b>\$ 177,794,555,183</b>
<b>ENTERPRISE:</b>								
Aging, State Office for the.....	200,000	100,000	200,000	-	-	13,808,647	100,000	-
Agriculture and Markets, Department of.....	20,790,908	21,261,000	-	-	-	18,161	28,243,261	13,808,647
Children and Family Services, Office of.....	1,249,808	515,000	448,414	-	-	1,286,233	1,286,233	18,161
Corrections and Community Supervision, Department of (Corcraft).....	4,639,076	42,000,000	1,027,953	-	-	371,164	4,133,161	371,164
Corrections and Community Supervision, Department of.....	4,639,076	42,000,000	1,027,953	-	-	371,164	4,133,161	371,164
Department of Public Planning Council.....	10,000	10,000	10,000	-	-	43,800,647	7,007,471	43,739,183
General Services, Office of.....	809,421	2,662,000	702,828	-	-	1,669,530	1,089,063	1,669,530
Information Technology Services, Office of.....	4,000,000	4,000,000	4,000,000	-	-	-	4,000,000	-
Justice Center for the Protection of People with Special Needs.....	500,000	500,000	500,000	-	-	-	500,000	-
Labor, Department of.....	4,397,059,293	2,797,794,000	2,622,033,550	-	-	2,179,183,336	2,393,636,407	2,182,722,856
Mental Health, Office of.....	7,447,231	8,606,000	7,353,458	-	-	1,326,516	7,373,257	1,334,851
Parks, Recreation and Historic Preservation, Office of.....	-	22,000,000	-	-	-	14,012,521	7,987,479	14,012,521
People With Developmental Disabilities, Office for.....	1,530,302	2,657,000	1,411,400	-	-	1,279,411	1,496,491	1,279,411
<b>TOTAL ENTERPRISE.....</b>	<b>4,438,375,782</b>	<b>2,008,548,000</b>	<b>2,638,184,467</b>	<b>-</b>	<b>-</b>	<b>2,255,579,070</b>	<b>2,453,160,245</b>	<b>2,258,956,383</b>
<b>INTERNAL SERVICE:</b>								
Budget, Division of the.....	188,594	1,650,000	198,594	-	-	1,470,705	179,295	1,470,705
Children and Family Services, Office of.....	9,338,753	39,761,000	9,011,353	-	-	12,135,401	10,353,989	12,135,401
Civil Service, Department of.....	14,819,008	74,885,000	2,103,462	-	-	62,885,871	14,755,480	63,035,917
Corrections and Community Supervision, Department of (Corcraft).....	4,849,813	33,927,000	30,691,602	-	-	13,490,566	9,733,256	13,490,566
Corrections and Community Supervision, Department of.....	13,171,427	33,927,000	12,358,500	-	-	20,585,253	13,854,186	21,024,186
Education, State Department of.....	524,778	95,000	95,000	-	-	854,186	95,000	1,062,253
Employment Services, Department of.....	95,000	95,000	95,000	-	-	384,863,036	491,902,052	384,706,287
Environmental Conservation, Department of.....	497,124,019	856,457,000	121,354,084	-	-	55,193,920	269,114,424	55,191,084
General Services, Office of.....	294,026,428	151,636,000	121,354,084	-	-	2,111,088	4,443,569	2,111,088
Information Technology Services, Office of.....	4,638,713	4,260,000	2,344,056	-	-	-	-	-
Labor, Department of.....	-	-	-	-	-	-	-	-

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**SCHEDULE 9  
(continued)**

**STATE OF NEW YORK  
ALL FUNDS BY BUSINESS UNIT  
STATEMENT OF APPROPRIATION TRANSACTIONS (IN FORCE)  
BUDGET PERIOD ENDED MARCH 31, 2020**

	BALANCE OF APPROPRIATIONS IN FORCE APRIL 1, 2019	ADD: APPROPRIATIONS BY 2019 LEGISLATURE	LESS: APPROPRIATIONS LAPSED	AUTHORIZED BUDGET TRANSFERS (*)	OTHER 2019 LEGISLATIVE ACTIONS (**)	BUDGET PERIOD 2019-20 EXPENDITURES	BALANCE OF APPROPRIATIONS IN FORCE MARCH 31, 2020	BUDGET PERIOD 2019-20 DISBURSEMENTS
<b>INTERNAL SERVICE (continued):</b>								
Law, Department of .....	5,697,794	16,700,000	4,460,597	(1,023,953)	-	15,919,357	963,887	15,919,357
Mental Health, Office of .....	1,795,623	2,597,000	1,772,237	-	-	814,432	1,805,964	814,432
Motor Vehicles, Department of .....	3,723,296	5,300,000	3,640,792	-	-	1,231,734	4,150,770	1,231,734
People With Developmental Disabilities, Office for .....	348,001	348,000	348,000	-	-	-	348,001	-
Prevention of Domestic Violence, Office for the .....	459,337	904,000	451,844	-	-	360,584	550,909	360,584
State Comptroller, Office of the .....	9,686,828	36,994,000	8,385,406	643,000	-	31,154,376	7,784,046	31,154,376
State University of New York .....	8,229,421	24,300,000	2,674,791	-	-	22,910,743	6,943,887	22,910,743
Taxation and Finance, Department of .....	67,124,230	74,302,400	55,643,647	-	-	16,878,551	69,244,432	16,878,551
<b>TOTAL INTERNAL SERVICE .....</b>	<b>980,386,204</b>	<b>1,357,371,400</b>	<b>736,332,584</b>	<b>(380,953)</b>	<b>-</b>	<b>676,665,108</b>	<b>924,318,959</b>	<b>677,076,413</b>
<b>TOTAL PROPRIETARY FUNDS .....</b>	<b>\$ 5,418,761,886</b>	<b>\$ 4,265,859,400</b>	<b>\$ 3,374,517,051</b>	<b>\$ (380,953)</b>	<b>\$ -</b>	<b>\$ 2,932,244,178</b>	<b>\$ 3,377,479,204</b>	<b>\$ 2,936,032,798</b>
<b>PRIVATE PURPOSE TRUST:</b>								
Agriculture and Markets, Department of .....	1,606,779	1,836,000	1,602,376	-	-	371,002	1,469,401	371,002
<b>TOTAL PRIVATE PURPOSE TRUST .....</b>	<b>1,606,779</b>	<b>1,836,000</b>	<b>1,602,376</b>	<b>-</b>	<b>-</b>	<b>371,002</b>	<b>1,469,401</b>	<b>371,002</b>
<b>PENSION TRUST:</b>								
State Comptroller, Office of the .....	12,601,949	137,451,000	8,228,267	-	-	132,033,339	9,791,343	132,033,339
<b>TOTAL PENSION TRUST .....</b>	<b>12,601,949</b>	<b>137,451,000</b>	<b>8,228,267</b>	<b>-</b>	<b>-</b>	<b>132,033,339</b>	<b>9,791,343</b>	<b>132,033,339</b>
<b>AGENCY:</b>								
City University of New York .....	794,728,886	2,607,930,900	70,385,702	-	-	2,575,716,930	756,557,154	2,575,716,930
General Services, Office of .....	750,000	750,000	750,000	-	-	-	750,000	-
Housing and Community Renewal, Division of .....	172,521,675	-	-	(30,860,000)	-	8,562,377	133,089,298	8,562,377
Law, Department of .....	13,668,980	-	-	15,000,000	-	20,005,180	8,663,800	20,005,180
Mental Health, Office of .....	-	-	-	10,000,000	-	-	10,000,000	-
Miscellaneous State Agencies and Public Authorities .....	561,559,688	697,500,000	561,559,692	-	-	53,044,783	644,455,213	53,044,783
Public Benefit Corporations .....	579,987	10,000,000	7,286,909	5,860,000	-	3,930,000	2,509,967	3,930,000
Temporary and Disability Assistance, Office of .....	11,503,221	-	-	-	-	2,825,537	11,388,775	2,825,537
Transportation, Department of .....	515,212,166	50,000,000	-	-	-	7,878,639	557,333,527	7,878,639
<b>TOTAL AGENCY .....</b>	<b>2,070,524,583</b>	<b>3,366,180,900</b>	<b>639,984,303</b>	<b>-</b>	<b>-</b>	<b>2,671,963,446</b>	<b>2,174,757,734</b>	<b>2,671,963,446</b>
<b>TOTAL FIDUCIARY FUNDS .....</b>	<b>\$ 2,084,733,311</b>	<b>\$ 3,305,467,800</b>	<b>\$ 649,814,946</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ 2,804,367,787</b>	<b>\$ 2,136,018,478</b>	<b>\$ 2,804,363,965</b>
<b>GRAND TOTAL - ALL FUNDS .....</b>	<b>\$ 419,797,047,703</b>	<b>\$ 286,200,876,005</b>	<b>\$ 135,811,774,391</b>	<b>\$ -</b>	<b>\$ 532,803,121</b>	<b>\$ 183,145,171,455</b>	<b>\$ 397,573,780,983</b>	<b>\$ 183,534,951,676</b>

(\*) Authorized budget transfers represent those movements of appropriation authority provided in appropriation acts between different funds/agencies.

(\*\*) Other legislative actions represent additional appropriations enacted outside of the Executive budget process (i.e., deficiency and supplemental appropriation bills) and increases or reductions by the Legislature to a prior year appropriation.

STATE OF NEW YORK GOVERNMENTAL FUNDS SUMMARY OF APPROPRIATED LOANS RECEIVABLE TRANSACTIONS BY FUND AND BUSINESS UNIT FISCAL YEAR ENDED MARCH 31, 2020 (amounts in thousands)					SCHEDULE 10	
	BALANCE APRIL 1, 2019	ADVANCES	REIMBURSEMENTS	BALANCE MARCH 31, 2020		
<b>GENERAL:</b>						
Public Benefit Corporations.....	\$ 11,726	\$ -	\$ 50	\$ 11,676		
<b>Total General.....</b>	<b>11,726</b>	<b>-</b>	<b>50</b>	<b>11,676</b>		
<b>SPECIAL REVENUE:</b>						
NYS Gaming Commission.....	9,000	-	-	9,000		
<b>Total Special Revenue.....</b>	<b>9,000</b>	<b>-</b>	<b>-</b>	<b>9,000</b>		
<b>CAPITAL PROJECTS:</b>						
Environmental Conservation .....	17,749	2,880	1	20,628		
Office of General Services.....	923	158	1,081	-		
Transportation.....	23,382	-	-	23,382		
Public Benefit Corporations .....	94,291	-	50	94,241		
<b>Total Capital Projects.....</b>	<b>136,345</b>	<b>3,038</b>	<b>1,132</b>	<b>138,251</b>		
<b>TOTAL GOVERNMENTAL FUNDS .....</b>	<b>\$ 157,071</b>	<b>\$ 3,038</b>	<b>\$ 1,182</b>	<b>\$ 158,927</b>		

STATE OF NEW YORK GENERAL FUND SUMMARY OF APPROPRIATED LOANS RECEIVABLE TRANSACTIONS BY FUND, BUSINESS UNIT AND DEPARTMENT FISCAL YEAR ENDED MARCH 31, 2020 (amounts in thousands)				SCHEDULE 11	
	BALANCE APRIL 1, 2019	ADVANCES	REIMBURSEMENTS	BALANCE MARCH 31, 2020	
<b>LOCAL ASSISTANCE ACCOUNT:</b>					
PUBLIC BENEFIT CORPORATIONS:					
Development Authority of the North Country:					
Expansion of U.S. Army Fort Drum.....	\$ 791	\$ -	\$ -	\$ 791	
Urban Development Corporation:					
New York Racing Association - Economic Development.....	8,400	-	-	8,400	
Regional Economic Development Program.....	1,150	-	-	1,150	
<b>TOTAL LOCAL ASSISTANCE ACCOUNT.....</b>	<b>10,341</b>	<b>-</b>	<b>-</b>	<b>10,341</b>	
<b>STATE OPERATIONS ACCOUNT:</b>					
PUBLIC BENEFIT CORPORATIONS:					
Development Authority of the North Country:					
Expansion of U.S. Army Fort Drum.....	223	-	50	173	
Urban Development Corporation:					
High Risk Targeted Investment Program.....	1,162	-	-	1,162	
<b>TOTAL STATE OPERATIONS ACCOUNT.....</b>	<b>1,385</b>	<b>-</b>	<b>50</b>	<b>1,335</b>	
<b>TOTAL GENERAL FUND.....</b>	<b>\$ 11,726</b>	<b>\$ -</b>	<b>\$ 50</b>	<b>\$ 11,676</b>	

STATE OF NEW YORK SPECIAL REVENUE FUNDS SUMMARY OF APPROPRIATED LOANS RECEIVABLE TRANSACTIONS BY FUND, BUSINESS UNIT AND DEPARTMENT FISCAL YEAR ENDED MARCH 31, 2020 (amounts in thousands)				SCHEDULE 12	
	BALANCE APRIL 1, 2019	ADVANCES	REIMBURSEMENTS	BALANCE MARCH 31, 2020	
STATE LOTTERY FUND:					
NYS GAMING COMMISSION:					
New York Racing Association.....	\$ 9,000	\$ -	\$ -	\$ 9,000	
TOTAL STATE LOTTERY FUND.....	<u>9,000</u>	<u>-</u>	<u>-</u>	<u>9,000</u>	
TOTAL SPECIAL REVENUE FUNDS.....	<u>\$ 9,000</u>	<u>\$ -</u>	<u>\$ -</u>	<u>\$ 9,000</u>	

STATE OF NEW YORK  
CAPITAL PROJECTS FUNDS  
SUMMARY OF APPROPRIATED LOANS RECEIVABLE TRANSACTIONS BY FUND, BUSINESS UNIT AND DEPARTMENT  
FISCAL YEAR ENDED MARCH 31, 2020  
(amounts in thousands)

SCHEDULE 13

	BALANCE APRIL 1, 2019	ADVANCES	REIMBURSEMENTS	BALANCE MARCH 31, 2020
<b>STATE CAPITAL PROJECTS FUND:</b>				
ENVIRONMENTAL CONSERVATION:				
Municipal Share of Flood Control Projects.....	\$ 17,749	\$ 2,880	1	\$ 20,628
OFFICE OF GENERAL SERVICES:				
Real Property Maintenance and Improvements.....	923	158	1,081	-
TRANSPORTATION:				
Railroad Crossing Improvement Projects - Municipal Share.....	1,116	-	-	1,116
South Bronx Oak Point Link Project - New York.....	630	-	-	630
PUBLIC BENEFIT CORPORATIONS:				
Niagara Frontier Transportation Authority:				
Construction/Rehabilitation of Buffalo International Airport.....	3,380	-	-	3,380
Ogdensburg Bridge and Port Authority:				
Construction and Development of Port Facilities.....	18,630	-	-	18,630
Port Authority of New York and New Jersey - South Bronx Oak Point Link.....	3,230	-	-	3,230
Port of Oswego Authority - Construction and Development of Port Facilities.....	3,556	-	50	3,506
Urban Development Corporation:				
Construction of Binghamton Multi - Use Stadium.....	1,400	-	-	1,400
Construction of Rochester Convention Center.....	39,433	-	-	39,433
Economic Development Program.....	348	-	-	348
High Risk Targeted Investment Program.....	11,234	-	-	11,234
Minority and Women Business Assistance.....	3,010	-	-	3,010
South Street Seaport Development Project.....	1,929	-	-	1,929
Strategic Resurgence.....	1,146	-	-	1,146
Urban Community Development.....	6,995	-	-	6,995
<b>TOTAL STATE CAPITAL PROJECTS FUND .....</b>	<b>114,709</b>	<b>3,038</b>	<b>1,132</b>	<b>116,615</b>
<b>DEDICATED HIGHWAY AND BRIDGE TRUST FUND:</b>				
TRANSPORTATION:				
Industrial Access Program.....	21,636	-	-	21,636
<b>TOTAL DEDICATED HIGHWAY AND BRIDGE TRUST FUND.....</b>	<b>21,636</b>	<b>-</b>	<b>-</b>	<b>21,636</b>
<b>TOTAL CAPITAL PROJECTS FUNDS .....</b>	<b>\$ 136,345</b>	<b>\$ 3,038</b>	<b>\$ 1,132</b>	<b>\$ 138,251</b>



**SCHEDULE 14**

(\*) Includes the net effect of the October 2019 refunding transaction of \$914,300,000, which was used to refund \$888,520,000 of previously issued general obligation bonds.

**SCHEDULE 15**

**STATE OF NEW YORK**  
**GENERAL OBLIGATION DEBT**  
**AUTHORIZED, ISSUED AND OUTSTANDING**  
**AS OF MARCH 31, 2020**

PURPOSE	YEAR OF FIRST ISSUANCE	YEAR OF LAST MATURITY	BONDS AUTHORIZED	BONDS AND NOTES ISSUED (*)	AUTHORIZED BUT UNISSUED	TOTAL DEBT OUTSTANDING
<b>Accelerated Capacity and Transportation Improvements of the Nineties (ACTION)</b>	1989	2031	\$ 3,000,000,000	\$ 2,979,768,596	\$ 20,231,404	\$ 11,445,463
<b>Clean Water/Clean Air:</b>						
Air Quality.....	1997	2028	230,000,000	202,062,819	27,937,181	1,795,354
Safe Drinking Water.....	1998	2015	355,000,000	355,000,000	-	-
Clean Water.....	1997	2045	790,000,000	733,130,138	56,869,862	298,595,491
Solid Waste.....	1999	2040	175,000,000	175,000,000	-	16,287,590
Environmental Restoration.....	1998	2035	200,000,000	178,175,424	21,824,576	40,070,447
<b>Energy Conservation Through Improved Transportation (****):</b>						
Rapid Transit and Rail Freight.....	1981	2033	400,000,000	400,000,000	-	1,198,754
<b>Environmental Quality (1972):</b>						
Air.....	1977	2021	150,000,000	137,646,893	12,353,107	3,184
Land and Wetlands.....	1976	2029	350,000,000	347,134,293	2,865,707	4,939,861
Water.....	1976	2043	650,000,000	647,667,912	2,332,088	6,370,803
<b>Environmental Quality (1986):</b>						
Land Acquisition/Development/Restoration/Forests.....	1989	2035	250,000,000	249,091,572	908,428	5,309,545
Solid Waste Management.....	1989	2033	1,200,000,000	1,160,508,692	39,491,308	91,992,747
<b>Housing (****):</b>						
Low Income.....	1941	2024	960,000,000	952,072,000	7,928,000	5,840,000
Middle Income.....	1957	2023	150,000,000	149,500,000	500,000	4,035,000
<b>Park and Recreation Land Acquisition</b>	1959	2018	100,000,000	99,228,000	772,000	-
<b>Pure Waters</b>	1968	2043	1,000,000,000	980,075,995	19,924,005	15,498,329
<b>Rail Preservation</b>	1977	2017	250,000,000	250,000,000	-	-
<b>Rebuild and Renew New York Transportation:</b>						
Transportation Purposes (excluding MTA):						
Highway Facilities.....	2006	2042	-	1,142,172,938	-	600,658,226
Canals and Waterways.....	2009	2028	-	33,862,391	-	9,419,680
Aviation.....	2009	2043	-	66,380,007	-	41,089,448
Rail and Port.....	2007	2045	-	125,809,671	-	92,824,245
Mass Transit - Department of Transportation.....	2008	2028	-	32,734,153	-	12,168,734
Total Transportation Purposes (excluding MTA).....			1,450,000,000	1,400,959,160	49,040,840	756,160,333
Mass Transit - Metropolitan Transportation Authority.....	2006	2043	1,450,000,000	1,064,151,648	385,848,352	705,163,311
<b>Rebuild New York - Transportation Infrastructure Renewal:</b>						
Highways, Parkways and Bridges.....	1984	2025	1,064,000,000	1,043,500,569	20,499,431	553,992
Ports, Canals and Waterways.....	1984	2013	49,360,000	49,360,000	-	-
Rapid Transit, Rail and Aviation.....	1984	2027	136,640,000	136,640,000	-	2,042,563
Smart Schools Bond Act.....	2018	2028	2,000,000,000	217,366,581	1,782,633,419	161,307,133
<b>Transportation Capital Facilities (****):</b>						
Aviation.....	1971	2027	250,000,000	250,000,000	-	2,090,099
Mass Transportation.....	1970	2017	1,000,000,000	1,000,000,000	-	-
<b>TOTAL GENERAL OBLIGATION DEBT</b>			<b>\$ 17,610,000,000</b>	<b>\$ 15,158,040,293</b>	<b>\$ 2,451,959,707</b>	<b>\$ 2,130,699,999</b>

(\*) For the purpose of calculating bonds issued, par values of the bonds sold are adjusted for any premium/discount that was applied at the time of issuance.

(\*\*) Legislation authorizing the issuance of Rebuild and Renew New York Transportation Bonds only specified the total amount of bonds authorized to be issued for transportation purposes (excluding MTA). As bonds are issued, this report will categorize the issuance under its designated purpose.

(\*\*\*) Authorizations have been adjusted to reflect reallocations made by Chapter 54 of the Laws of 1990.

(\*\*\*\*) Bond proceeds from Series 2003D were reallocated from EQ72-Air to Clean Water/Clean Air - Water and Solid Waste purposes.

(\*\*\*\*\*) Schedule omits general obligation purposes for which no debt was outstanding at March 31 and no debt service was paid during fiscal years 2010-11 through 2019-20.

STATE OF NEW YORK GENERAL OBLIGATION DEBT PRINCIPAL AND INTEREST PAYMENTS FISCAL YEARS 2010-11 THROUGH 2019-20				SCHEDULE 16				
YEAR OF FIRST ISSUANCE	YEAR OF LAST MATURITY	PURPOSE	March 31, 2011		March 31, 2012		March 31, 2013	
			PRINCIPAL	INTEREST	PRINCIPAL	INTEREST	PRINCIPAL	INTEREST
1989	2031	Accelerated Capacity and Transportation Improvements of the Nineties (ACTION) Clean Water/Clean Air:	\$ 92,979,557	\$ 24,007,853	\$ 88,086,857	\$ 18,490,218	\$ 80,150,520	\$ 15,514,645
1997	2028	Air Quality.....	14,508,714	2,525,816	14,736,251	2,047,370	12,643,037	1,592,780
1998	2015	Safe Drinking Water.....	20,904,914	1,780,093	15,195,455	982,335	9,109,125	436,578
1997	2045	Clean Water.....	27,515,255	17,843,809	25,584,706	17,694,260	27,069,670	17,361,198
1999	2040	Solid Waste.....	13,092,685	2,245,038	11,780,361	1,919,064	11,039,456	1,629,009
1998	2035	Environmental Restoration.....	8,886,205	3,577,987	10,127,430	3,683,712	11,350,173	4,010,003
1981	2033	Energy Conservation Through Improved Transportation (*): Rapid Transit and Rail Freight.....	4,757,324	942,002	3,703,162	526,891	3,498,079	399,450
1977	2021	Environmental Quality (1972): Air.....	3,476,088	697,123	3,633,186	561,329	3,140,960	438,407
1976	2029	Land and Wetlands.....	8,197,214	1,669,435	7,121,195	1,236,074	7,015,543	977,624
1976	2043	Water.....	15,270,074	5,117,574	13,785,658	3,700,533	16,670,711	2,963,331
1989	2035	Environmental Quality (1986): Land Acquisition/Development/Restoration/Forests.....	6,108,064	1,618,094	6,038,641	1,289,450	6,927,201	1,089,955
1989	2033	Solid Waste Management.....	57,045,834	16,929,358	61,518,957	14,785,149	50,032,595	13,468,435
1941	2024	Housing (*): Low Income.....	7,619,253	1,412,401	7,149,472	1,141,010	5,935,000	967,650
1957	2023	Middle Income.....	5,123,000	1,875,053	5,344,000	751,158	4,415,000	685,251
1959	2018	Park and Recreation Land Acquisition.....	7,783	1,250	12,605	874	2,601	685
1968	2043	Pure Waters.....	9,013,047	3,691,330	9,585,460	2,912,892	10,178,930	2,518,976
1977	2017	Rail Preservation.....	4,024,814	514,339	2,885,412	264,874	2,043,406	138,739
2006	2042	Rebuild and Renew New York Transportation: Highway Facilities.....	27,569,401	21,218,377	34,756,665	28,962,644	39,875,281	33,531,325
2009	2028	Canals and Waterways.....	1,261,216	477,201	1,288,473	455,013	1,674,360	627,868
2009	2043	Aviation.....	1,431,412	1,276,818	1,982,907	1,846,232	2,038,342	1,875,106
2007	2045	Rail and Port.....	1,715,062	2,216,286	2,236,652	3,393,485	2,309,975	3,494,641
2008	2028	Mass Transit - Department of Transportation.....	1,098,450	425,206	1,491,192	579,552	1,524,394	561,064
2006	2043	Mass Transit - Metropolitan Transportation Authority.....	17,753,650	20,050,556	21,930,194	27,956,978	27,585,257	35,749,267
1984	2025	Rebuild New York - Transportation Infrastructure Renewal: Highways, Parkways and Bridges.....	941,679	157,461	677,982	131,769	715,024	113,449
1984	2013	Ports, Canals and Waterways.....	35,685	3,747	37,435	2,285	38,396	768
1984	2027	Rapid Transit, Rail and Aviation.....	2,657,637	958,247	2,387,899	753,898	3,333,691	631,031
2018	2028	Smart Schools Bond Act.....	-	-	-	-	-	-
1971	2027	Transportation Capital Facilities (*): Aviation.....	3,552,316	1,032,850	3,224,757	758,251	2,594,718	607,650
1970	2017	Mass Transportation.....	8,145,657	682,885	4,695,036	286,703	2,833,555	67,189
TOTALS			\$ 364,692,000	\$ 134,948,189	\$ 360,998,000	\$ 137,114,003	\$ 345,745,000	\$ 141,452,074

(\*) Schedule omits general obligation purposes for which no debt was outstanding at March 31 and no debt service was paid during fiscal years 2010-11 through 2019-20.

**STATE OF NEW YORK  
GENERAL OBLIGATION DEBT  
PRINCIPAL AND INTEREST PAYMENTS  
FISCAL YEARS 2010-11 THROUGH 2019-20**

**SCHEDULE 16  
(continued)**

PURPOSE	YEAR OF FIRST ISSUANCE	YEAR OF LAST MATURITY	March 31, 2014		March 31, 2015		March 31, 2016	
			PRINCIPAL	INTEREST	PRINCIPAL	INTEREST	PRINCIPAL	INTEREST
Accelerated Capacity and Transportation Improvements of the Nineties (ACTION)	1989	2031	\$ 59,239,005	\$ 11,324,805	\$ 73,050,730	\$ 9,150,971	\$ 44,088,768	\$ 4,951,535
Clean Water/Clean Air:								
Air Quality.....	1997	2028	12,211,777	1,102,502	10,440,575	656,918	6,743,376	302,388
Safe Drinking Water.....	1998	2015	3,447,572	64,376	7,932	377	-	-
Clean Water.....	1997	2045	28,732,807	16,353,261	25,355,095	16,532,868	26,882,316	15,292,839
Solid Waste.....	1999	2040	9,416,589	1,263,579	5,417,017	1,063,746	5,684,198	777,868
Environmental Restoration.....	1998	2035	11,328,773	3,724,766	11,780,068	3,352,018	12,340,510	3,768,088
Energy Conservation Through Improved Transportation (*):								
Rapid Transit and Rail Freight.....	1981	2033	3,390,514	295,223	1,273,923	273,740	2,712,050	171,354
Environmental Quality (1972):								
Air.....	1977	2021	3,100,735	297,401	1,797,336	194,137	2,491,605	86,827
Land and Wetlands.....	1976	2029	6,599,377	702,250	3,437,109	489,754	3,357,287	408,774
Water.....	1976	2043	18,317,279	2,152,239	8,371,903	1,855,917	11,228,184	1,449,962
Environmental Quality (1980):								
Land Acquisition/Development/Restoration/Forests.....	1989	2035	4,431,460	825,329	3,046,876	736,483	4,547,720	538,920
Solid Waste Management.....	1989	2033	51,812,589	11,356,614	46,469,128	10,511,030	46,980,744	8,122,624
Housing (*):								
Low Income.....	1941	2024	4,765,000	822,050	3,770,000	698,100	3,770,000	584,100
Middle Income.....	1957	2023	4,720,000	643,526	4,740,000	578,230	3,310,000	503,900
Park and Recreation Land Acquisition.....	1959	2018	2,787	581	2,804	483	2,919	371
Pure Waters.....	1968	2043	10,965,252	1,993,405	6,159,061	1,814,867	7,447,408	1,423,839
Rail Preservation.....	1977	2017	1,533,034	80,838	396,834	45,693	449,723	26,289
Rebuild and Renew New York Transportation:								
Highway Facilities.....	2006	2042	46,821,599	38,098,806	48,236,187	36,785,952	53,663,190	38,055,126
Canals and Waterways.....	2009	2028	1,853,459	652,102	1,919,404	589,713	2,473,810	806,690
Aviation.....	2009	2043	2,425,104	2,364,454	2,511,284	2,308,409	2,619,079	2,214,033
Rail and Port.....	2007	2045	2,612,405	3,589,764	2,716,588	3,495,470	3,111,383	3,766,378
Mass Transit - Department of Transportation.....	2008	2028	1,595,202	502,433	1,652,617	433,575	1,723,730	362,697
Mass Transit - Metropolitan Transportation Authority.....	2006	2043	36,650,462	40,302,233	37,686,361	39,487,751	38,944,442	38,201,473
Rebuild New York - Transportation Infrastructure Renewal:								
Highways, Parkways and Bridges.....	1984	2025	799,745	142,524	830,180	115,657	381,335	84,019
Ports, Canals and Waterways.....	1984	2013	-	-	-	-	-	-
Rapid Transit, Rail and Aviation.....	1984	2027	2,970,463	417,897	1,588,953	398,640	2,073,634	283,010
Smart Schools Bond Act.....	2018	2028	-	-	-	-	-	-
Transportation Capital Facilities (*):								
Aviation.....	1971	2027	2,309,984	481,966	1,756,299	431,551	3,222,876	291,605
Mass Transportation.....	1970	2017	892,017	19,001	40,736	5,063	39,714	3,011
<b>TOTALS</b> .....			<b>\$ 332,805,000</b>	<b>\$ 139,573,925</b>	<b>\$ 304,455,000</b>	<b>\$ 132,007,113</b>	<b>\$ 290,290,000</b>	<b>\$ 122,497,719</b>

(\*) Schedule omits general obligation purposes for which no debt was outstanding at March 31 and no debt service was paid during fiscal years 2010-11 through 2019-20.

**STATE OF NEW YORK  
GENERAL OBLIGATION DEBT  
PRINCIPAL AND INTEREST PAYMENTS  
FISCAL YEARS 2010-11 THROUGH 2019-20**

**SCHEDULE 16  
(continued)**

PURPOSE	YEAR OF FIRST ISSUANCE	YEAR OF LAST MATURITY	March 31, 2017		March 31, 2018		March 31, 2019		March 31, 2020	
			PRINCIPAL	INTEREST	PRINCIPAL	INTEREST	PRINCIPAL	INTEREST	PRINCIPAL	INTEREST
Accelerated Capacity and Transportation Improvements of the Nineties (ACTION)	1989	2031	\$ 44,215,664	\$ 4,219,269	\$ 30,464,862	\$ 2,377,655	\$ 15,527,176	\$ 1,252,387	\$ 5,457,617	\$ 631,951
Clean Water/Clean Air:										
Air Quality.....	1997	2028	1,195,274	127,794	472,507	72,010	651,849	97,831	657,629	70,903
Safe Drinking Water.....	1998	2015	-	-	-	-	-	-	-	-
Clean Water.....	1997	2045	28,512,689	15,234,692	26,962,570	14,963,790	25,706,644	13,838,350	25,640,517	12,026,942
Solid Waste.....	1999	2040	5,654,801	898,918	5,338,512	1,047,784	5,733,562	1,033,435	5,890,743	893,036
Environmental Restoration.....	1998	2035	12,168,464	3,275,982	10,767,101	2,784,306	10,580,460	2,346,493	6,627,358	1,785,718
Energy Conservation Through Improved Transportation (*):										
Rapid Transit and Rail Freight.....	1981	2033	726,809	98,451	229,318	75,186	264,788	65,213	154,061	56,567
Environmental Quality (1972):										
Air.....	1977	2021	162,781	20,530	162,864	12,449	162,960	4,357	3,063	250
Land and Wetlands.....	1976	2029	2,958,457	264,815	709,823	176,258	1,015,660	147,027	929,513	275,481
Water.....	1976	2043	8,162,461	1,028,548	5,890,496	729,351	4,821,685	524,207	4,585,354	341,697
Environmental Quality (1980):										
Land Acquisition/Development/Restoration/Forests.....	1989	2035	3,724,570	520,427	3,182,377	437,629	1,639,551	341,330	1,531,885	287,074
Solid Waste Management.....	1989	2033	37,584,068	7,532,613	27,787,939	6,120,141	14,073,416	5,011,331	15,908,042	4,175,595
Housing (*):										
Low Income.....	1941	2024	2,880,000	479,000	2,880,000	382,800	1,860,000	302,800	2,680,000	342,600
Middle Income.....	1957	2023	3,455,000	419,984	2,110,000	341,883	2,185,000	275,781	2,190,000	200,855
Park and Recreation Land Acquisition.....	1959	2018	3,113	254	3,238	129	-	-	-	-
Pure Waters.....	1968	2043	5,697,235	1,209,791	4,276,347	966,610	3,217,264	807,568	2,641,435	634,869
Rail Preservation.....	1977	2017	297,439	7,867	-	-	-	-	-	-
Rebuild and Renew New York Transportation:										
Highway Facilities.....	2006	2042	55,248,657	35,814,737	55,858,222	33,511,076	49,598,735	30,971,323	46,988,395	24,252,002
Canals and Waterways.....	2009	2028	2,579,356	703,896	2,692,443	591,155	3,310,967	610,476	2,464,683	483,265
Aviation.....	2009	2043	2,734,938	2,098,776	2,849,840	1,982,870	3,177,912	1,915,715	2,327,660	1,408,597
Rail and Port.....	2007	2045	3,257,340	3,644,105	3,414,705	3,485,942	5,674,448	3,914,118	4,930,815	3,125,938
Mass Transit - Department of Transportation.....	2008	2028	1,813,667	283,409	1,892,336	205,135	1,761,001	229,763	1,706,789	625,325
Mass Transit - Metropolitan Transportation Authority.....	2006	2043	38,675,678	36,743,919	40,070,170	35,343,116	37,449,646	33,753,945	28,077,077	26,877,030
Rebuild New York - Transportation Infrastructure Renewal:										
Highways, Parkways and Bridges.....	1984	2025	337,856	66,669	169,791	52,793	178,281	44,303	185,622	34,427
Ports, Canals and Waterways.....	1984	2013	-	-	-	-	-	-	-	-
Rapid Transit, Rail and Aviation.....	1984	2027	1,304,038	230,058	781,006	183,789	1,088,953	146,600	555,054	112,617
Smart Schools Bond Act.....	2018	2028	-	-	-	-	9,382,699	4,557,673	17,713,092	8,701,207
Transportation Capital Facilities (*):										
Aviation.....	1971	2027	1,455,965	215,415	628,533	170,406	720,343	140,553	928,596	116,514
Mass Transportation.....	1970	2017	36,670	996	-	-	-	-	-	-
<b>TOTALS</b> .....			<b>\$ 264,845,000</b>	<b>\$ 115,140,915</b>	<b>\$ 229,585,000</b>	<b>\$ 106,034,243</b>	<b>\$ 199,790,000</b>	<b>\$ 102,332,579</b>	<b>\$ 180,715,000</b>	<b>\$ 87,460,460</b>

(\*) Schedule omits general obligation purposes for which no debt was outstanding at March 31 and no debt service was paid during fiscal years 2010-11 through 2019-20.

PURPOSE	March 31, 2021			March 31, 2022			March 31, 2023		
	PRINCIPAL	INTEREST	TOTAL	PRINCIPAL	INTEREST	TOTAL	PRINCIPAL	INTEREST	TOTAL
<b>STATE OF NEW YORK</b>									
<b>FUTURE GENERAL OBLIGATION DEBT SERVICE</b>									
<b>REQUIREMENTS PAYABLE FROM DEBT SERVICE FUNDS (*)</b>									<b>SCHEDULE 17</b>
Accelerated Capacity and Transportation Improvements of the Nineties (ACTION)	\$ 1,453,289	\$ 347,904	\$ 1,801,193	\$ 1,531,170	\$ 295,727	\$ 1,826,897	\$ 1,537,312	\$ 240,537	\$ 1,777,849
Clean Water/Clean Air (**):									
Air Quality.....	473,909	51,874	525,783	202,567	40,083	242,650	173,110	34,596	207,706
Clean Water.....	25,653,557	10,523,338	36,176,895	24,088,217	9,515,619	33,603,836	22,896,126	8,553,901	31,450,027
Solid Waste.....	5,212,385	623,816	5,836,201	3,887,499	412,389	4,299,888	768,231	230,194	998,425
Environmental Restoration.....	4,751,755	1,481,173	6,232,928	3,841,145	1,293,290	5,134,435	2,599,382	1,132,866	3,732,248
Energy Conservation Through Improved Transportation (**):									
Rapid Transit and Rail Freight.....	176,298	48,908	225,206	283,712	38,507	302,219	171,238	27,634	198,872
Environmental Quality (1972):									
Air.....	3,184	128	3,312	-	-	-	-	-	-
Land and Wetlands.....	672,349	240,156	912,505	702,110	207,278	909,388	698,642	172,836	871,478
Water.....	861,629	234,123	1,095,752	1,870,621	173,690	2,044,311	805,303	114,594	919,897
Environmental Quality (1988):									
Land Acquisition/Development/Restoration/Forests.....	2,634,757	217,189	2,851,946	634,687	95,814	730,501	313,654	68,294	382,948
Solid Waste Management.....	15,421,247	3,288,828	18,710,075	14,755,837	2,656,263	17,412,100	13,948,275	2,051,355	15,999,630
Housing (**):									
Low Income.....	1,060,000	87,600	1,147,600	1,860,000	131,400	1,991,400	1,860,000	75,600	1,935,600
Middle Income.....	2,240,000	117,195	2,357,195	1,280,000	47,035	1,327,035	515,000	10,558	525,558
Pure Waters.....	2,129,827	527,330	2,657,157	1,123,650	462,043	1,585,693	1,132,240	412,687	1,544,927
Rebuild and Renew New York Transportation:									
Highway Facilities.....	46,347,847	20,823,845	67,171,692	47,876,804	19,211,519	67,088,323	46,638,563	17,512,581	64,151,144
Canals and Waterways.....	1,799,369	378,650	2,178,019	1,876,708	301,311	2,178,019	1,399,233	219,645	1,618,878
Aviation.....	1,878,003	1,287,901	3,165,904	1,374,665	1,236,858	2,611,523	1,417,229	1,193,635	2,610,864
Rail and Port.....	5,096,387	2,826,266	7,922,653	5,233,716	2,684,066	7,917,782	5,382,911	2,532,880	7,915,791
Mass Transit - Department of Transportation.....	1,792,336	573,235	2,365,571	1,311,168	503,250	1,814,418	1,374,289	440,129	1,814,418
Mass Transit - Metropolitan Transportation Authority.....	25,368,452	24,685,486	50,053,938	25,380,735	23,633,161	49,013,896	23,429,132	22,952,739	46,381,871
Rebuild New York - Transportation Infrastructure Renewal (**):									
Highways, Parkways and Bridges.....	196,324	25,242	221,566	180,373	16,202	196,575	171,074	7,183	178,257
Rapid Transit, Rail and Aviation.....	479,171	86,761	565,932	393,136	64,953	458,089	371,991	48,825	417,816
Smart Schools Bond Act.....	18,351,447	8,062,853	26,414,300	19,288,669	7,145,631	26,414,300	20,231,765	6,182,535	26,414,300
Transportation Capital Facilities (**):									
Aviation.....	441,478	90,412	531,890	837,811	60,380	898,191	312,300	31,627	343,927
<b>TOTAL FUTURE DEBT SERVICE REQUIREMENTS.....</b>	<b>\$ 164,495,000</b>	<b>\$ 76,630,213</b>	<b>\$ 241,125,213</b>	<b>\$ 159,755,000</b>	<b>\$ 70,426,489</b>	<b>\$ 230,181,489</b>	<b>\$ 148,145,000</b>	<b>\$ 64,245,431</b>	<b>\$ 212,390,431</b>

(\*) See Accompanying Footnotes

(\*\*) Schedule omits general obligation purposes for which there are no future debt service requirements.

**STATE OF NEW YORK  
FUTURE GENERAL OBLIGATION DEBT SERVICE  
REQUIREMENTS PAYABLE FROM DEBT SERVICE FUNDS (\*)**

**SCHEDULE 17  
(continued)**

PURPOSE	March 31, 2024			March 31, 2025			THEREAFTER			TOTAL FUTURE DEBT SERVICE		
	PRINCIPAL	INTEREST	TOTAL	PRINCIPAL	INTEREST	TOTAL	PRINCIPAL	INTEREST	TOTAL	PRINCIPAL	INTEREST	TOTAL
Accelerated Capacity and Transportation Improvements of the Nineties (ACTION):												
Clean Water/Clean Air (**):	\$ 1,538,198	\$ 186,105	\$ 1,724,303	\$ 1,832,312	\$ 129,061	\$ 1,961,373	\$ 3,553,182	\$ 265,756	\$ 3,818,938	\$ 11,445,463	\$ 1,465,090	\$ 12,910,553
Air Quality.....	177,957	29,749	207,706	183,206	24,499	207,705	584,605	38,510	623,115	1,795,354	219,311	2,014,665
Clean Water.....	19,806,413	7,743,894	27,550,307	21,917,924	6,983,765	28,901,689	184,253,254	41,016,309	225,269,563	298,595,491	84,336,826	382,932,317
Solid Waste.....	850,069	201,448	1,051,517	877,931	173,405	1,051,336	4,693,475	516,404	5,209,879	16,287,590	2,157,656	18,445,246
Environmental Restoration.....	2,529,976	1,028,345	3,558,321	2,892,120	921,645	3,813,765	23,456,069	3,785,034	27,221,103	40,070,447	9,622,353	49,692,800
Energy Conservation Through Improved Transportation (**):												
Rapid Transit and Rail Freight.....	155,308	19,470	174,778	135,012	12,212	147,224	297,186	43,492	340,678	1,198,754	190,223	1,388,977
Environmental Quality (1972):												
Air.....	-	-	-	-	-	-	-	-	-	3,184	128	3,312
Land and Wetlands.....	662,368	139,599	801,967	698,501	107,111	805,612	1,505,891	147,981	1,653,872	4,939,861	1,014,961	5,954,822
Water.....	425,073	85,226	511,299	104,907	74,370	179,277	2,302,270	686,975	2,989,245	6,370,803	1,366,978	7,739,781
Environmental Quality (1986):												
Land Acquisition/Development/Restoration/Forests.....	258,514	58,575	317,089	290,133	48,744	338,877	1,177,800	177,756	1,355,556	5,309,545	887,372	5,976,917
Solid Waste Management.....	12,639,596	1,337,052	14,176,648	12,867,083	1,068,593	13,735,676	22,560,709	2,337,401	24,898,110	91,992,747	12,938,492	104,932,239
Housing (**):												
Low Income.....	1,060,000	31,800	1,091,800	-	-	-	-	-	-	5,840,000	326,400	6,166,400
Middle Income.....	-	-	-	-	-	-	-	-	-	4,035,000	174,788	4,209,788
Pure Waters.....	768,504	372,449	1,140,953	581,706	347,545	929,251	9,762,402	2,393,077	12,155,479	15,496,329	4,515,131	20,013,460
Rebuild and Renew New York Transportation:												
Highway Facilities.....	46,947,323	15,943,556	62,890,879	56,286,523	14,267,712	70,554,235	356,561,166	49,356,570	405,917,736	600,658,226	137,115,793	737,774,009
Canals and Waterways.....	1,246,272	1,162,353	2,408,625	1,200,272	1,112,390	2,312,662	1,393,086	108,250	1,501,336	9,448,663	1,293,322	10,713,322
Aviation.....	1,336,536	1,162,838	2,499,374	1,298,964	1,111,772	2,410,736	33,393,085	9,887,365	43,280,450	41,889,468	15,877,304	59,995,762
Rail and Port.....	5,530,697	2,375,439	7,906,136	6,581,517	2,203,984	8,785,501	64,989,767	15,577,813	80,567,580	92,824,245	28,201,408	121,035,693
Mass Transit - Department of Transportation.....	1,440,681	373,758	1,814,419	1,510,449	303,969	1,814,418	4,739,831	456,906	5,196,737	12,168,734	2,851,247	14,819,981
Mass Transit - Metropolitan Transportation Authority.....	16,388,108	22,156,638	38,544,746	28,891,736	21,527,542	50,419,278	585,705,148	193,135,285	778,840,433	705,163,311	308,290,871	1,013,454,182
Rebuild New York - Transportation Infrastructure Renewal (**):												
Highways, Parkways and Bridges.....	3,034	311	3,345	3,187	159	3,346	-	-	-	553,962	49,087	603,089
Rapid Transit, Rail and Aviation.....	383,448	26,939	410,387	144,269	13,747	158,016	270,548	7,843	278,391	2,042,563	246,088	2,288,631
Smart Schools Bond Act.....	21,243,029	5,171,270	26,414,299	22,304,871	4,109,429	26,414,300	59,907,352	5,412,847	65,320,199	161,307,133	36,084,585	197,391,698
Transportation Capital Facilities (**):												
Aviation.....	350,911	15,048	365,959	107,372	3,589	110,961	40,227	1,356	41,583	2,090,069	202,412	2,292,511
<b>TOTAL FUTURE DEBT SERVICE REQUIREMENTS.....</b>	<b>\$ 135,755,000</b>	<b>\$ 58,812,862</b>	<b>\$ 194,567,862</b>	<b>\$ 161,040,000</b>	<b>\$ 53,545,466</b>	<b>\$ 214,585,466</b>	<b>\$ 1,361,509,999</b>	<b>\$ 325,345,875</b>	<b>\$ 1,686,855,874</b>	<b>\$ 2,130,699,999</b>	<b>\$ 649,006,336</b>	<b>\$ 2,779,706,335</b>

(\*) See Accompanying Footnotes

(\*\*) Schedule omits general obligation purposes for which there are no future debt service requirements.



STATE OF NEW YORK  
DEBT SERVICE FUNDS  
LEASE/PURCHASE AND OTHER FINANCING AGREEMENT DISBURSEMENTS  
FISCAL YEAR ENDED MARCH 31, 2020

SCHEDULE 18

Special Contractual Financing Obligations: Payments to Public Authorities:	DEBT REDUCTION RESERVE (40000-40049)	GENERAL DEBT SERVICE (40151)	DEPARTMENT OF HEALTH INCOME (40300-40349)	LOCAL			REVENUE BOND TAX (40152)	SALES TAX REVENUE BOND TAX (40154)	COMBINED TOTALS	
				GOVERNMENT ASSISTANCE TAX (40450-40499)	MENTAL HEALTH SERVICES (40100-40149)	FISCAL YEAR ENDED				
						2019-20			2018-19	
City University Construction.....	\$ -	\$ 193,123,569	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 193,123,569	\$ 106,556,550	
Dormitory Authority:										
Consolidated Service Contract Refunding.....	-	65,375,063	-	-	-	-	-	65,375,063	57,690,325	
DASNY Revenue Bond.....	-	-	-	-	-	-	1,006,737,539	956,344,623	3,126,315,557	
Department of Health Facilities.....	-	-	26,157,902	-	-	-	-	26,157,902	26,132,003	
Mental Health Facilities.....	-	-	-	-	7,095,969	-	-	7,095,969	112,061,495	
Secured Hospital Program.....	-	31,720,944	-	-	-	-	-	31,720,944	25,540,485	
SUNY Community Colleges.....	-	5,928,700	-	-	-	-	-	5,928,700	4,586,528	
SUNY Educational Facilities.....	-	103,616,675	-	-	-	-	-	103,616,675	89,305,250	
Environmental Facilities Corporation.....	-	-	-	-	-	-	17,269,722	17,269,722	33,203,788	
Housing Finance Agency.....	-	28,949,685	-	-	-	-	1,907,968	30,857,653	44,751,324	
Local Government Assistance Corporation.....	-	-	-	300,784,859	-	-	-	300,784,859	423,548,180	
Metropolitan Transportation Authority: Transit and Commuter Railroad Projects.....	-	-	-	-	-	-	-	-	35,457,621	
New York State Thruway Authority: Dedicated Highway and Bridge.....	-	448,180,780	-	-	-	-	-	448,180,780	199,621,712	
Local Highway and Bridge.....	-	21,772,000	-	-	-	-	-	21,772,000	73,484,650	
Transportation.....	-	-	-	-	-	-	151,377,875	151,377,875	206,811,200	
Urban Development Corporation:										
Clarkson University.....	-	448,350	-	-	-	-	-	448,350	1,023,950	
Columbia University Telecommunications Center.....	-	-	-	-	-	-	-	-	2,777,000	
Consolidated Service Contract Refunding.....	-	78,864,907	-	-	-	-	-	78,864,907	170,064,584	
Cornell University Supercomputer Center.....	-	-	-	-	-	-	-	-	362,000	
Correctional Facilities.....	-	9,781,500	-	-	-	-	-	9,781,500	20,607,865	
Debt Reduction Reserve.....	-	-	-	-	-	-	-	-	-	
UDC Revenue Bond.....	-	-	-	-	-	-	1,190,706,819	-	1,635,554,143	
University Facilities Grant '95 Refunding.....	-	1,770,144	-	-	-	-	-	1,770,144	985,972	
TOTAL.....	\$ -	\$ 989,532,317	\$ 26,157,902	\$ 300,784,859	\$ 7,095,969	\$ -	\$ 2,367,999,923	\$ 956,344,623	\$ 4,647,915,593	\$ 6,396,442,182



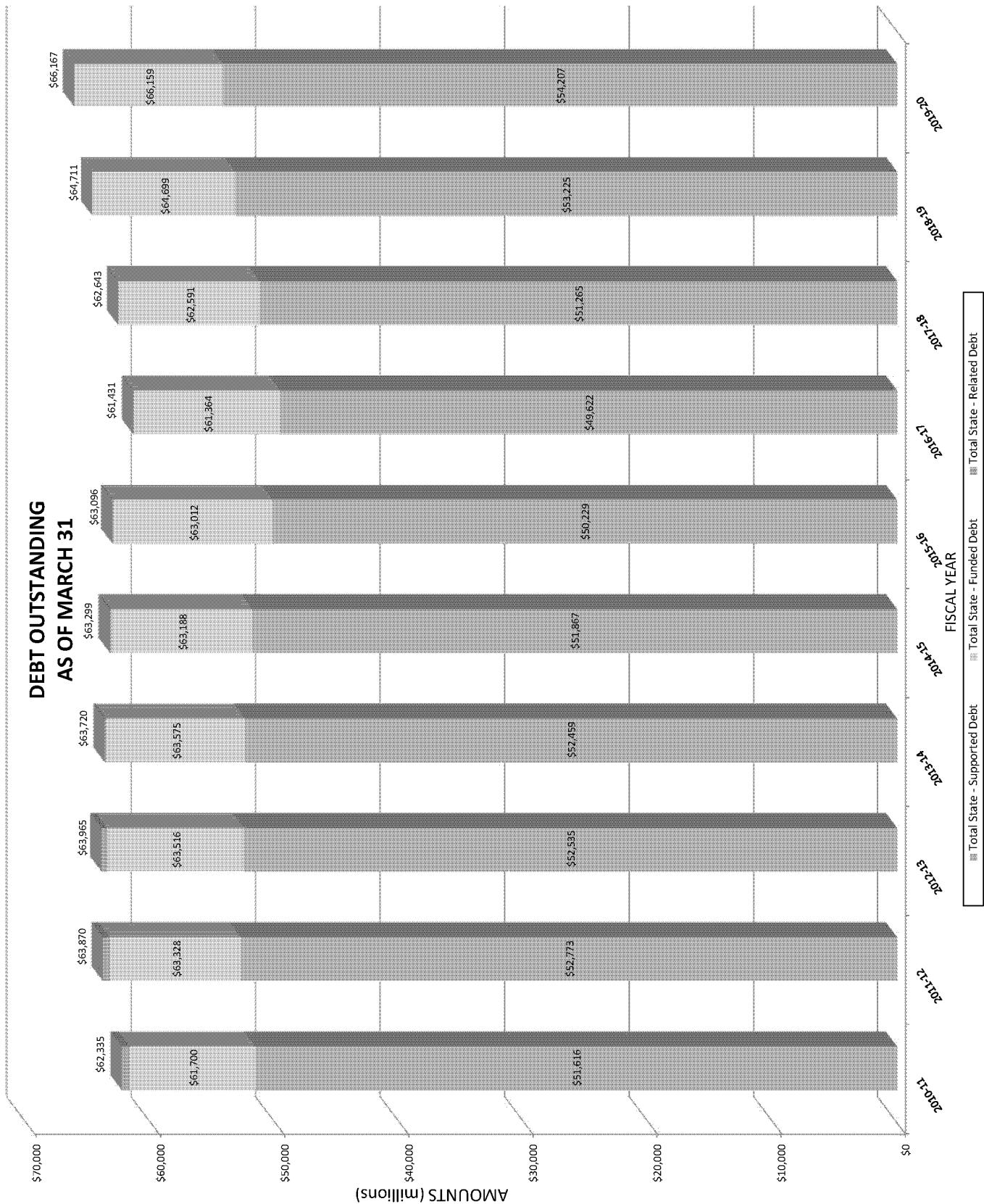
**SCHEDULE 19**

(\*) See Accompanying Footnotes

**SCHEDULE 19**  
**(continued)**

TOTALS.....	\$ 2,920,445	\$ 1,828,525	\$ 4,748,970	\$ 35,854,603	\$ 18,528,920	\$ 54,383,523	\$ 52,075,746	\$ 29,122,925	\$ 81,198,671
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(\*) See Accompanying Footnotes



STATE OF NEW YORK  
DEBT OUTSTANDING AS OF MARCH 31  
FISCAL YEARS 2010-11 THROUGH 2019-20  
(amounts in millions)

## SCHEDULE 20

	2010-11	2011-12	2012-13	2013-14	2014-15	2015-16	2016-17	2017-18	2018-19	2019-20
<b><u>STATE - SUPPORTED DEBT</u></b>										
General Obligation Debt.....	\$ 3,525	\$ 3,494	\$ 3,524	\$ 3,191	\$ 3,018	\$ 2,727	\$ 2,463	\$ 2,371	\$ 2,286	\$ 2,131
Lease/Purchase and Contractual										
Obligation Debt.....	48,091	49,279	49,011	49,268	48,849	47,502	47,159	48,894	50,939	52,076
<b>SUBTOTAL - STATE - SUPPORTED DEBT....</b>	<b>51,616</b>	<b>52,773</b>	<b>52,535</b>	<b>52,459</b>	<b>51,867</b>	<b>50,229</b>	<b>49,622</b>	<b>51,265</b>	<b>53,225</b>	<b>54,207</b>
<b><u>STATE - FUNDED DEBT</u></b>										
Tobacco Settlement Financing Corporation.....	3,012	2,690	2,411	2,054	1,745	1,378	660	-	-	-
Municipal Bond Bank Agency (MBBA).....	396	368	294	281	263	234	203	172	139	104
Sales Tax Asset Receivable Corporation (STARC).....	2,246	2,188	2,127	2,063	1,996	1,961	1,884	1,805	1,721	1,634
Secured Hospital Debt.....	-	-	-	226	204	181	157	142	153	127
SUNY Dormitory Facilities Revenue Bonds.....	-	-	-	440	437	985	956	1,263	1,350	1,787
Transitional Finance Agency (TFA).....	4,430	5,309	6,149	6,052	6,676	8,044	7,882	7,944	8,111	8,300
<b>SUBTOTAL - STATE - FUNDED DEBT .....</b>	<b>61,700</b>	<b>63,328</b>	<b>63,516</b>	<b>63,575</b>	<b>63,188</b>	<b>63,012</b>	<b>61,364</b>	<b>62,591</b>	<b>64,699</b>	<b>66,159</b>
<b><u>STATE - RELATED DEBT</u></b>										
Moral Obligation Debt.....	26	20	12	7	2	2	1	1	-	-
Secured Hospital Debt - Contingent.....	586	503	422	126	100	76	63	51	12	8
State Guaranteed Authority Debt.....	23	19	15	12	9	6	3	-	-	-
<b>TOTAL DEBT OUTSTANDING.....</b>	<b>\$ 62,335</b>	<b>\$ 63,870</b>	<b>\$ 63,965</b>	<b>\$ 63,720</b>	<b>\$ 63,299</b>	<b>\$ 63,096</b>	<b>\$ 61,431</b>	<b>\$ 62,643</b>	<b>\$ 64,711</b>	<b>\$ 66,167</b>

STATE OF NEW YORK  
STATE SUPPORTED DEBT OUTSTANDING AS OF MARCH 31 PER CAPITA (\*)  
FISCAL YEARS 2010-11 THROUGH 2019-20

SCHEDULE 20a

State supported debt includes capital leases for State facilities, and debt as defined in State Finance Law §67-a, where the State is constitutionally obligated to pay debt service to bondholders or where it is contractually obligated to pay money to public authorities to enable it to make payments on its outstanding bonds.

	2010-11	2011-12	2012-13	2013-14	2014-15	2015-16	2016-17	2017-18	2018-19	2019-20
<b>STATE - SUPPORTED DEBT:</b>										
<b>General Obligation Debt</b>										
Millions of Dollars.....	\$ 3,525	\$ 3,494	\$ 3,524	\$ 3,191	\$ 3,018	\$ 2,727	\$ 2,463	\$ 2,371	\$ 2,286	\$ 2,131
Change from Prior Year.....	3.68%	-0.88%	0.86%	-9.45%	-5.42%	-9.64%	-9.68%	-3.74%	-3.58%	-6.78%
Per Capita.....	182	179	180	162	153	138	125	120	117	109
<b>Lease/Purchase and Contractual Obligation Debt</b>										
Millions of Dollars.....	48,091	49,279	49,011	49,268	48,849	47,502	47,159	48,894	50,939	52,076
Change from Prior Year.....	2.49%	2.47%	-0.54%	0.52%	-0.85%	-2.76%	-0.72%	3.68%	4.18%	2.23%
Per Capita.....	2,479	2,527	2,501	2,501	2,480	2,399	2,394	2,469	2,612	2,671
<b>TOTAL STATE - SUPPORTED DEBT:</b>										
Millions of Dollars.....	\$ 51,616	\$ 52,773	\$ 52,535	\$ 52,459	\$ 51,867	\$ 50,229	\$ 49,622	\$ 51,265	\$ 53,225	\$ 54,207
Change from Prior Year.....	2.57%	2.24%	-0.45%	-0.14%	-1.13%	-3.16%	-1.21%	3.31%	3.82%	1.84%
Per Capita.....	\$ 2,661	\$ 2,706	\$ 2,681	\$ 2,663	\$ 2,633	\$ 2,637	\$ 2,619	\$ 2,689	\$ 2,729	\$ 2,780

(\*) Population estimates are based on current information published by the U.S. Census Bureau.

**STATE OF NEW YORK**  
**STATE FUNDED DEBT OBLIGATIONS OUTSTANDING AS OF MARCH 31 PER CAPITA (\*)**  
**FISCAL YEARS 2010-11 THROUGH 2019-20**

**SCHEDULE 20b**

State funded debt obligations include all State-supported debt from Schedule 20a, plus certain other debt where the State has agreed to allow certain other entities to pledge or assign State resources to pay debt service on debt issued for State purposes or to finance State assistance payments to another entity. These obligations include those associated with the sale of the State's share of Tobacco Settlement proceeds, prior year school aid claims, debt issued by the Sales Tax Asset Receivable Corporation (STARC) for NYC debt relief, debt issued by the Transitional Finance Authority (TFA) for NYC school building aid, portions of the secured hospital program and obligations issued to finance certain State University of New York (SUNY) dormitory facilities. Debt service on these obligations is secured by future State local assistance payments (financed with available General Fund resources or taxes), tobacco settlement payments, contingent debt service appropriations or SUNY dormitory rental income.

	2010-11	2011-12	2012-13	2013-14	2014-15	2015-16	2016-17	2017-18	2018-19	2019-20
<b>TOTAL STATE - SUPPORTED DEBT:</b>										
Millions of Dollars.....	\$ 51,616	\$ 52,773	\$ 52,535	\$ 52,459	\$ 51,867	\$ 50,229	\$ 49,622	\$ 51,265	\$ 53,225	\$ 54,207
Change from Prior Year.....	2.57%	2.24%	-0.45%	-0.14%	-1.13%	-3.16%	-1.21%	3.31%	3.82%	1.84%
Per Capita.....	2,661	2,706	2,681	2,663	2,633	2,537	2,519	2,589	2,729	2,780
<b>Tobacco Settlement Financing Corporation</b>										
Millions of Dollars.....	3,012	2,690	2,411	2,054	1,745	1,378	660	-	-	-
Change from Prior Year.....	-7.52%	-10.69%	-10.37%	-14.81%	-15.04%	-21.03%	-52.10%	-100.00%	0.00%	0.00%
Per Capita.....	155	138	123	104	89	70	34	-	-	-
<b>Municipal Bond Bank Agency (MBBA)</b>										
Millions of Dollars.....	396	368	294	281	263	234	203	172	139	104
Change from Prior Year.....	-5.49%	-7.07%	-20.11%	-4.42%	-6.41%	-11.03%	-13.25%	-15.27%	-19.19%	-25.18%
Per Capita.....	20	19	15	14	13	12	10	9	7	5
<b>Sales Tax Asset Receivable Corporation (STARC)</b>										
Millions of Dollars.....	2,246	2,188	2,127	2,063	1,996	1,961	1,884	1,805	1,721	1,634
Change from Prior Year.....	-2.43%	-2.58%	-2.79%	-3.01%	-3.25%	-1.75%	-3.93%	-4.19%	-4.65%	-5.06%
Per Capita.....	116	112	109	105	101	99	96	91	88	84
<b>Secured Hospital Debt</b>										
Millions of Dollars.....	-	-	-	226	204	181	157	142	153	127
Change from Prior Year.....	0.00%	0.00%	0.00%	100.00%	-9.73%	-11.27%	-13.26%	-9.55%	7.75%	-16.99%
Per Capita.....	-	-	-	11	10	9	8	7	8	6
<b>SUNY Dormitory Facilities Revenue Bonds</b>										
Millions of Dollars.....	-	-	-	440	437	985	956	1,263	1,350	1,787
Change from Prior Year.....	0.00%	0.00%	0.00%	100.00%	-0.68%	125.40%	-2.94%	32.11%	6.89%	32.37%
Per Capita.....	-	-	-	22	22	50	49	64	69	92
<b>Transitional Finance Authority (TFA)</b>										
Millions of Dollars.....	4,430	5,309	6,149	6,052	6,676	8,044	7,882	7,944	8,111	8,300
Change from Prior Year.....	4.95%	19.84%	15.82%	-1.58%	10.31%	20.49%	-2.01%	0.79%	2.10%	2.33%
Per Capita.....	228	272	314	307	339	406	400	401	416	426
<b>TOTAL STATE - FUNDED DEBT:</b>										
Millions of Dollars.....	\$ 61,700	\$ 63,328	\$ 63,516	\$ 63,575	\$ 63,188	\$ 63,012	\$ 61,364	\$ 62,591	\$ 64,699	\$ 66,159
Change from Prior Year.....	1.95%	2.64%	0.30%	0.09%	-0.61%	-0.28%	-2.62%	2.00%	3.37%	2.26%
Per Capita.....	\$ 3,180	\$ 3,247	\$ 3,242	\$ 3,227	\$ 3,208	\$ 3,182	\$ 3,116	\$ 3,161	\$ 3,317	\$ 3,393

(\*) Population estimates are based on current information published by the U.S. Census Bureau.

**STATE OF NEW YORK**  
**STATE RELATED DEBT OBLIGATIONS OUTSTANDING AS OF MARCH 31 PER CAPITA (\*)**  
**FISCAL YEARS 2010-11 THROUGH 2019-20**

**SCHEDULE 20c**

State related debt obligations include all State funded debt from Schedule 20b, plus certain other debt issued by various authorities that may be supported by contingent State obligations to make payments. Such moral obligation, contingent obligation (secured hospital debt where the State has not been called on to pay debt service) and State guaranteed authority debt carries a pledge by the State to finance deficiencies in the authority's debt service or debt service reserve funds, if necessary.

	2010-11	2011-12	2012-13	2013-14	2014-15	2015-16	2016-17	2017-18	2018-19	2019-20
<b>TOTAL STATE - FUNDED DEBT:</b>										
Millions of Dollars.....	\$ 61,700	\$ 63,328	\$ 63,516	\$ 63,575	\$ 63,188	\$ 63,012	\$ 61,364	\$ 62,591	\$ 64,699	\$ 66,159
Change from Prior Year.....	1.95%	2.64%	0.30%	0.09%	-0.61%	-0.28%	-2.62%	2.00%	3.37%	2.26%
Per Capita.....	3,180	3,247	3,242	3,227	3,208	3,182	3,116	3,161	3,317	3,393
<b>Moral Obligation Debt</b>										
Millions of Dollars.....	26	20	12	7	2	2	1	1	-	-
Change from Prior Year.....	-13.33%	-23.08%	-40.00%	-41.67%	-71.43%	0.00%	-50.00%	0.00%	-100.00%	0.00%
Per Capita.....	1	1	1	-	-	-	-	-	-	-
<b>Secured Hospital Debt - Contingent</b>										
Millions of Dollars.....	586	503	422	126	100	76	63	51	12	8
Change from Prior Year.....	-8.01%	-14.16%	-16.10%	-70.14%	-20.63%	-24.00%	-17.11%	-19.05%	-76.47%	-33.33%
Per Capita.....	30	26	22	6	5	4	3	3	1	-
<b>State Guaranteed Authority Debt</b>										
Millions of Dollars.....	23	19	15	12	9	6	3	-	-	-
Change from Prior Year.....	-17.86%	-17.39%	-21.05%	-20.00%	-25.00%	-33.33%	-50.00%	-100.00%	0.00%	0.00%
Per Capita.....	1	1	1	1	-	-	-	-	-	-
<b>TOTAL STATE - RELATED DEBT:</b>										
Millions of Dollars.....	\$ 62,335	\$ 63,870	\$ 63,965	\$ 63,720	\$ 63,299	\$ 63,096	\$ 61,431	\$ 62,643	\$ 64,711	\$ 66,167
Change from Prior Year.....	1.83%	2.46%	0.15%	-0.38%	-0.66%	-0.32%	-2.64%	1.97%	3.30%	2.25%
Per Capita.....	\$ 3,212	\$ 3,275	\$ 3,266	\$ 3,235	\$ 3,213	\$ 3,187	\$ 3,119	\$ 3,164	\$ 3,318	\$ 3,393

(\*) Population estimates are based on current information published by the U.S. Census Bureau.

STATE OF NEW YORK  
GENERAL FUND  
COMBINING STATEMENT OF SELECTED DEPARTMENTAL DISBURSEMENTS  
FISCAL YEAR ENDED MARCH 31, 2020  
(amounts in thousands)

SCHEDULE 21

Department/Division	LOCAL ASSISTANCE GRANTS										OPERATIONS			TOTAL	
	Education	Environment and Recreation	General Government	Medicaid	Other Public Health	Public Safety	Public Welfare	Support and Regulate Business	Transportation	Total Grants	Personal Service	Non-Personal Service	General State Charges	2019-20	2018-19
Attorick Park Agency	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 4,069	\$ 375	\$ -	\$ 4,444	\$ 4,297
Board of Elections	-	-	1,352	-	-	-	-	-	-	1,352	6,039	2,600	-	9,991	9,349
City University of New York	936,584	-	-	-	-	-	-	-	-	936,584	-	263	-	936,847	1,521,080
Commission on Quality of Care and Advocacy	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
Council on the Arts	44,013	-	-	-	-	-	-	-	-	44,013	2,681	1,570	-	48,264	43,516
Department of Agriculture and Markets	-	-	10	-	-	-	-	35,037	-	28,153	7,549	-	-	70,749	65,379
Department of Civil Service	-	-	77	-	-	-	-	-	-	77	15,103	1	-	15,181	15,793
Department of Corrections and Community Supervision	-	-	-	-	-	6,336	-	-	-	6,336	2,360,498	490,600	797	2,878,231	2,601,778
Department of Economic Development	-	-	232	-	2,847	-	-	32,940	-	35,019	11,394	2,924	-	50,877	70,694
Department of Environmental Conservation	-	2,161	41	-	-	-	-	-	-	2,202	94,779	15,510	-	112,491	105,457
Department of Health	-	-	154	16,368,327	442,023	-	-	-	-	15,810,504	139,314	778,582	-	16,728,400	14,853,997
Department of Labor	-	-	12,254	-	-	-	13,226	-	-	25,480	86	192	-	25,758	15,770
Department of Law	-	-	-	-	-	-	-	-	-	-	101,472	8,601	-	110,073	107,955
Department of Motor Vehicles	-	-	-	-	-	-	-	-	-	-	8,478	2,085	-	10,563	10,677
Department of State	-	-	10,105	-	-	4,408	276	-	-	14,789	10,012	355	-	25,156	23,022
Department of Taxation and Finance	-	-	885	-	-	-	-	-	-	885	215,885	40,131	-	256,901	304,540
Department of Transportation	-	-	-	-	-	-	-	-	120	110,219	178,257	-	-	445,415	598,676
Division of Alcohol Beverage Control	-	-	-	-	-	-	-	-	-	-	8,088	2,523	-	10,611	11,547
Division of Budget	-	-	-	-	-	-	-	-	-	-	22,089	1,839	618	24,545	22,431
Division of Criminal Justice Services	-	-	1,756	-	31	145,072	(4)	-	-	146,855	27,666	6,237	-	180,758	194,988
Division of Homeland Security and Emergency Services	-	-	148	-	-	5,404	-	-	-	5,552	1,000	-	-	6,552	5,189
Division of Housing and Community Renewal	-	-	80	-	-	-	29,939	-	-	30,019	3,229	4,508	-	37,756	15,721
Division of Human Rights	-	-	-	-	-	-	-	-	-	-	9,543	1,506	-	11,049	9,994
Division of Military and Naval Affairs	-	-	-	-	-	885	-	-	-	885	14,168	5,907	-	20,950	21,330
Division of State Police	-	-	-	-	-	-	-	-	-	-	661,291	34,975	5	696,271	640,203
Division of Tax Appeals	-	-	-	-	-	-	-	-	-	-	2,540	230	-	2,870	2,821
Division of Veterans Services	-	-	101	-	-	-	8,134	-	-	8,235	5,546	660	-	14,441	15,219
Executive Chamber	-	-	-	-	-	-	-	-	-	-	10,877	2,372	-	13,249	12,677
Greenway Heritage Conservancy for the Hudson River Valley	-	-	-	-	-	-	-	-	-	-	-	166	-	166	166
Higher Education Services Corp	-	-	-	-	-	-	-	-	-	-	-	-	-	950,867	984,334
Hudson River Valley Greenway	950,867	137	-	-	-	14,349	-	-	-	950,867	73	30	-	240	192
Interest on Lawyer Account	-	-	-	-	-	-	-	-	-	-	4,203	1,545	-	5,746	5,423
Judicial Conduct	-	-	-	-	-	-	-	-	-	-	-	6	-	6	7
Judicial Screening	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
Judicial Nomination	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
Justice Center for the Protection of People with Special Needs	-	-	-	-	170	-	-	-	-	170	33,333	8,071	-	41,574	40,350
Legislature	-	-	-	-	-	-	-	-	-	-	177,071	50,182	-	227,253	222,726
Lieutenant Governor	-	-	-	-	-	-	-	-	-	-	726	89	-	815	811
National and Community Service	-	-	-	-	-	-	349	-	-	349	305	-	-	654	560
NYS Gaming Commission	-	-	-	-	-	-	-	-	-	-	3,254	1,271	-	4,525	4,538
NYS Joint Commission on Public Ethics	-	-	-	-	-	-	-	-	-	-	4,486	731	-	5,217	5,223
Office for the Prevention of Domestic Violence	-	-	-	-	-	-	1,288	-	-	1,288	1,550	146	-	2,984	2,817
Office for People with Developmental Disabilities	-	-	59	1,333,390	408,220	-	5	-	-	1,741,674	1,161,329	195,661	15	3,098,679	1,851,052
Office of Addiction Services and Supports	140	-	8	26,249	338,834	-	-	-	-	365,231	60,529	22,555	-	448,015	430,936
Office of Children and Family Services	17,517	-	50,989	28,678	-	-	1,095,193	-	-	1,192,377	80,867	45,947	45	1,321,336	1,301,204
Office of Employee Relations	-	-	-	-	-	-	-	-	-	-	12,478	21,510	6,472	40,460	34,952



STATE OF NEW YORK  
GENERAL FUND  
COMBINING STATEMENT OF SELECTED DEPARTMENTAL DISBURSEMENTS  
FISCAL YEAR ENDED MARCH 31, 2020  
(amounts in thousands)

SCHEDULE 21  
(continued)

Department/Division	LOCAL ASSISTANCE GRANTS										OPERATIONS			TOTAL	
	Education	Environment & Recreation	General Government	Medicaid	Other Public Health	Public Safety	Public Welfare	Support and Regulate Business	Transportation	Total Grants	Personal Service	Non-Personal Service	General State Charges	2019-20	2018-19
Office of General Services.....	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 36,171	\$ 65,819	\$ -	\$ 101,990	\$ 135,675
Office of Information Technology Services.....	-	-	-	-	-	-	-	-	-	-	-	243,615	-	540,198	544,541
Office of Medicaid Inspector General.....	-	-	-	-	-	-	-	-	-	-	296,583	2,365	-	17,984	18,116
Office of Mental Health.....	-	-	21	301,221	1,032,760	-	10,016	-	-	1,344,018	1,117,319	274,334	-	2,735,670	2,643,941
Office of Parks, Recreation and Historic Preservation.....	-	884	514	-	-	-	-	-	-	1,398	101,313	5,643	-	108,354	108,078
Office of the State Inspector General.....	-	-	-	-	-	-	-	-	-	-	5,423	968	-	6,381	6,844
Office of Temporary and Disability Assistance.....	-	-	1,108	482,060	6,382	-	1,160,431	-	-	1,649,981	64,861	78,167	-	1,793,009	1,733,049
Office of the State Comptroller.....	-	-	32,025	-	-	-	-	-	-	32,025	110,531	26,984	-	169,540	166,807
Office of Victim Services.....	-	-	37	-	-	-	-	-	-	37	-	-	-	37	20
Office of Welfare Inspector General.....	-	-	-	-	-	-	-	-	-	-	630	11	-	641	630
Public Benefits Corporations:	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
Energy Research and Development Authority.....	-	-	-	-	-	-	-	-	-	-	-	-	-	-	600
Olympic Regional Development Authority.....	-	-	-	-	-	-	-	2,268	-	2,268	5,500	4,188	1,157	13,113	13,300
Power Authority.....	-	-	-	-	-	-	-	-	-	-	-	43,000	-	43,000	43,000
Urban Development Corporation (Empire State Dev. Corp.).....	-	-	4,336	-	-	367	-	100,407	-	105,110	1,000	166	-	106,110	86,177
Public Employment Relations Board.....	-	-	-	-	-	-	-	-	-	-	3,214	166	-	3,380	3,393
State Commission of Correction.....	-	-	-	-	-	-	-	-	-	-	2,652	498	-	3,150	2,539
State Education Department.....	25,659,567	-	470	-	-	-	-	-	-	25,660,037	32,211	23,243	-	25,715,491	25,090,346
State Office for the Aging.....	-	-	494	26,526	135,068	-	-	-	-	162,088	1,991	971	-	165,050	154,068
State University of New York.....	492,820	-	-	-	286	-	-	-	-	493,106	2,384	7,966	53	503,509	510,077
Statewide Financial System.....	-	-	-	-	-	-	-	-	-	-	11,651	19,866	-	31,517	30,520
Unified Court System.....	-	-	47,313	-	-	-	-	-	-	47,313	1,646,896	375,855	789,456	2,899,520	2,824,320
All Other.....	-	-	884,320	-	-	-	-	-	-	884,320	-	2,407	6,655,088	7,541,815	7,309,681
<b>Total.....</b>	<b>\$ 28,101,508</b>	<b>\$ 3,182</b>	<b>\$ 1,048,889</b>	<b>\$ 17,586,451</b>	<b>\$ 2,366,621</b>	<b>\$ 176,821</b>	<b>\$ 2,318,853</b>	<b>\$ 170,772</b>	<b>\$ 110,219</b>	<b>\$ 51,853,316</b>	<b>\$ 8,939,781</b>	<b>\$ 3,113,937</b>	<b>\$ 7,453,706</b>	<b>\$ 71,370,740</b>	<b>\$ 68,225,342</b>

STATE OF NEW YORK SPECIAL REVENUE FUNDS - STATE COMBINING STATEMENT OF SELECTED DEPARTMENTAL DISBURSEMENTS FISCAL YEAR ENDED MARCH 31, 2020 (amounts in thousands)															SCHEDULE 22				
LOCAL ASSISTANCE GRANTS															OPERATIONS			TOTAL	
Department/Division	Environment and Recreation		General Government	Medicaid	Other Public Health	Public Safety	Public Welfare	Support and Regulate Business	Transportation	Total Grants	Personal Service	Non-Personal Service	General State Charges	2019-20	2018-19				
	\$	-																	
Board of Elections	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	270	\$	273				
City University of New York	-	-	-	-	-	-	-	-	-	-	48,075	53,020	204	101,989	95,802				
Commission on Quality of Care and Advocacy	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-				
Department of Agriculture and Markets	-	-	-	-	-	-	-	-	-	-	2,757	2,226	1,481	6,444	8,907				
Department of Civil Service	-	-	-	-	-	-	-	-	-	-	184	6	118	308	335				
Department of Corrections and Community Supervision	-	-	-	-	-	-	-	-	-	-	242	1,107	155	1,504	1,298				
Department of Economic Development	-	-	-	-	-	-	-	-	-	-	98	1,194	62	1,354	2,046				
Department of Environmental Conservation	-	-	-	-	-	-	-	-	-	-	87,586	22,632	49,667	159,887	177,179				
Department of Financial Services	-	-	-	-	157,100	-	-	15,110	-	172,210	127,847	39,870	81,185	421,112	446,914				
Department of Health	-	-	-	5,674,673	1,061,332	-	-	40,036	-	6,776,041	148,804	160,142	42,829	7,127,816	6,795,976				
Department of Labor	-	-	-	-	-	-	37	-	-	37	33,082	14,516	20,984	68,831	76,067				
Department of Law	-	-	-	-	-	-	-	-	-	-	38,913	41,142	19,417	99,472	114,260				
Department of Motor Vehicles	-	-	-	-	-	-	-	-	-	-	30,653	12,591	18,892	62,126	65,743				
Department of Public Service	-	-	-	-	-	-	-	1,341	-	1,341	41,938	8,203	26,704	78,186	74,188				
Department of State	-	-	15	-	-	-	-	-	-	15	22,833	15,077	11,992	49,717	54,869				
Department of Taxation and Finance	-	-	2,417	-	-	-	-	-	-	2,417	51,464	10,010	32,829	96,720	15,982				
Department of Transportation	-	-	-	-	-	-	-	-	3,378,077	3,378,077	3,424	5,788	2,184	3,893,473	3,845,439				
Division of Alcoholic Beverage Control	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-				
Division of Budget	-	-	-	-	-	-	-	-	-	-	1,526	2,545	956	5,027	3,695				
Division of Criminal Justice Services	-	-	-	-	-	27,991	-	-	-	27,991	146	1,331	-	29,468	19,998				
Division of Homeland Security and Emergency Services	-	-	-	-	-	28,140	-	-	-	28,140	39,891	17,922	6,882	92,635	105,624				
Division of Housing and Community Renewal	-	-	-	-	-	-	407	-	-	407	36,861	8,307	19,144	64,519	69,317				
Division of Military and Naval Affairs	-	-	-	-	-	-	-	-	-	-	-	5,160	-	5,160	3,638				
Division of State Police	-	-	-	-	-	15	-	-	-	15	48,609	29,137	26,408	104,169	123,171				
Financial Control Board for New York City	-	-	-	-	-	-	-	-	-	-	1,184	644	746	2,574	3,113				
Higher Education Services Corp	-	-	-	-	-	2,601	-	-	-	2,601	10,721	18,651	6,737	38,710	51,659				
Interest on Lawyer Account	-	-	-	-	-	19,639	-	-	-	19,639	831	507	529	21,506	23,498				
Justice Center for the Protection of People with Special Needs	-	-	-	-	479	-	-	-	-	479	969	31	589	2,068	3,291				
Lake George Park Commission	-	-	-	-	-	-	-	-	-	-	764	407	386	1,557	1,688				
Legislature	-	-	-	-	-	-	-	-	-	-	-	1,179	-	1,179	564				
NYS Gaming Commission	-	-	97,008	-	-	-	-	-	-	97,008	30,759	14,982	17,064	160,613	154,516				
Office for the Prevention of Domestic Violence	-	-	-	-	-	44	-	-	-	44	-	-	-	44	-				
Office for People with Developmental Disabilities	-	-	-	-	-	-	-	-	-	-	-	76	-	76	(11,271)				
Office of Addiction Services and Supports	90	-	-	-	3,388	-	-	-	-	3,478	-	6,442	-	9,920	9,832				
Office of Children and Family Services	-	-	-	-	-	-	3,634	-	-	3,634	3,470	14,000	2,630	23,724	22,295				
Office of Employee Relations	-	-	-	-	-	-	-	-	-	-	406	186	260	852	741				
Office of General Services	-	-	-	-	-	-	-	-	-	-	4,953	5,942	4,973	15,868	6,327				
Office of Indigent Legal Services	-	-	-	-	-	85,503	-	-	-	85,503	2,620	488	1,645	90,266	88,452				
Office of Information Technology Services	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-				
Office of Medicaid Inspector General	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-				
Office of Mental Health	-	-	-	-	31	-	-	-	-	31	-	2,761	-	2,800	(44,634)				

STATE OF NEW YORK SPECIAL REVENUE FUNDS - STATE COMBINING STATEMENT OF SELECTED DEPARTMENTAL DISBURSEMENTS FISCAL YEAR ENDED MARCH 31, 2020 (amounts in thousands)													SCHEDULE 22 (continued)	
Department/Division	LOCAL ASSISTANCE GRANTS												TOTAL	
	Education	Environment and Recreation	General Government	Medicaid	Other Public Health	Public Safety	Public Welfare	Support and Regulate Business	Transportation	Total Grants	Personal Service	Non-Personal Service	General State Charges	2018-19
Office of Parks, Recreation and Historic Preservation	\$ -	\$ 4,829	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 4,829	\$ 25,848	\$ 32,208	\$ 4,896	\$ 67,179
Office of the State Inspector General	-	-	-	-	-	-	-	-	-	-	-	-	-	494
Office of Temporary and Disability Assistance	-	-	-	-	1,822	-	-	-	-	1,822	-	935	65	2,822
Office of the State Comptroller	-	-	-	-	-	-	-	-	-	-	11,461	3,376	1,667	16,504
Office of Victim Services	-	-	-	-	-	22,903	-	-	-	22,903	2,853	619	1,583	28,059
Office of Maternal and Child Health	-	-	-	-	-	-	-	-	-	-	-	-	-	-
Public Benefits Corporation:														
Energy Research and Development Authority	-	-	-	-	-	-	-	-	-	-	-	187	-	187
Olympic Regional Development Authority	-	-	-	-	-	-	-	-	-	-	-	-	-	32
Public Employment Relations Board	-	-	-	-	-	-	-	-	-	-	-	20	-	20
State Education Department	6,038,771	-	-	-	-	-	-	-	-	6,038,771	57,890	23,471	37,239	6,157,367
State Office for the Aging	-	-	-	-	250	-	-	-	-	250	134	5	86	475
State University of New York	1,894	-	-	-	-	-	-	-	-	1,894	4,078,957	2,245,823	441,696	6,798,370
State University of New York Construction Fund	-	-	-	-	-	-	-	-	-	-	-	-	-	-
Statewide Financial System	-	-	-	-	-	-	-	-	-	-	-	-	-	-
Unified Court System	-	-	118,800	-	-	-	-	-	-	118,800	66,381	47,746	30,838	263,865
Workers' Compensation Board	-	-	-	-	-	-	-	-	-	-	84,895	54,631	53,880	193,376
<b>Total</b>	<b>\$ 6,040,766</b>	<b>\$ 4,829</b>	<b>\$ 219,640</b>	<b>\$ 6,674,673</b>	<b>\$ 1,224,402</b>	<b>\$ 198,836</b>	<b>\$ 4,078</b>	<b>\$ 56,487</b>	<b>\$ 3,379,077</b>	<b>\$ 16,798,877</b>	<b>\$ 6,149,896</b>	<b>\$ 2,526,017</b>	<b>\$ 9,694,492</b>	<b>\$ 26,636,381</b>
														<b>\$ 26,176,128</b>

STATE OF NEW YORK  
SPECIAL REVENUE FUNDS - FEDERAL  
COMBINING STATEMENT OF SELECTED DEPARTMENTAL DISBURSEMENTS  
FISCAL YEAR ENDED MARCH 31, 2020  
(amounts in thousands)

SCHEDULE 23

Department/Division	LOCAL ASSISTANCE GRANTS										OPERATIONS		General State Charges	TOTAL	
	Education	Environment and Recreation	General Government	Medicaid	Other Public Health	Public Safety	Public Welfare	Support and Regulate Business	Transportation	Total Grants	Personal Service	Non-Personal Service		2019-20	2018-19
Adirondack Park Agency.....	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	
Board of Elections.....	-	-	188	-	-	-	-	-	-	188	596	8,035	364	9,183	2,542
City University of New York.....	-	-	-	-	-	-	-	-	-	-	-	-	-	1,627	1,820
Commission on Quality of Care and Advocacy.....	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
Council on the Arts.....	784	-	-	-	-	-	-	-	-	784	-	-	-	784	698
Department of Agriculture and Markets.....	-	-	-	-	-	-	363	-	-	363	4,635	9,932	2,805	17,735	19,586
Department of Corrections and Community Supervision.....	-	-	-	-	-	-	-	-	-	-	2,007	1,040	1,009	4,056	4,449
Department of Economic Development.....	-	-	-	-	-	-	-	6,904	-	6,904	-	716	-	7,620	7,816
Department of Environmental Conservation.....	-	-	-	-	-	-	-	-	-	-	24,969	15,974	15,111	56,054	57,939
Department of Financial Services.....	-	-	-	-	-	-	-	-	-	-	-	-	-	-	1,161
Department of Health.....	-	-	10,739	-	-	-	-	-	-	45,854,236	80,219	621,453	31,832	46,587,740	45,840,859
Department of Labor.....	-	-	136,485	-	-	-	-	-	-	136,485	175,235	80,371	106,107	498,198	494,690
Department of Law.....	-	-	-	-	-	-	-	-	-	-	20,144	5,823	12,247	38,214	43,632
Department of Motor Vehicles.....	-	-	-	-	-	-	-	-	14,070	14,070	3,232	2,126	1,516	20,944	20,980
Department of Public Service.....	-	-	160	-	-	-	-	-	-	160	1,540	278	795	2,773	3,074
Department of State.....	-	-	53,802	-	-	-	-	-	-	53,802	2,859	1,732	1,741	60,134	67,238
Department of Taxation and Finance.....	-	-	-	-	-	-	-	-	-	-	-	148	-	148	92
Department of Transportation.....	-	-	-	-	-	-	-	-	43,840	43,840	8,215	9,359	4,952	66,366	63,829
Developmental Disabilities Planning Council.....	-	-	-	-	-	-	-	-	-	-	1,133	2,804	682	4,619	3,934
Division of Criminal Justice Services.....	-	-	11,990	-	-	-	-	-	1,066	13,056	4,746	4,075	392	22,269	51,365
Division of Homeland Security and Emergency Services.....	-	-	1,300,326	-	-	-	-	-	-	1,300,326	8,502	16,025	3,939	1,328,792	1,090,949
Division of Housing and Community Renewal.....	-	-	-	-	-	-	61,656	-	-	61,656	5,500	2,192	3,327	72,675	67,217
Division of Human Rights.....	-	-	-	-	-	-	-	-	-	-	3,033	1,351	-	4,384	4,998
Division of Military and Naval Affairs.....	-	-	-	-	-	-	-	-	-	-	22,957	15,006	8,307	46,270	49,976
Division of State Police.....	-	-	-	-	-	-	-	-	-	-	12,250	14,099	2,140	28,489	30,118
Division of Veterans' Services.....	-	-	-	-	-	-	-	-	-	-	541	187	330	1,058	1,036
Higher Education Services Corp.....	-	-	70	-	-	-	-	-	70	70	-	3,925	-	3,995	3,259
Justice Center for the Protection of People with Special Needs.....	-	-	-	-	-	-	-	-	-	-	146	2,178	-	2,324	1,576
National and Community Service.....	-	-	-	-	-	-	-	-	-	-	374	10,934	-	11,308	9,768
Office for the Prevention of Domestic Violence.....	-	-	-	-	-	59	-	-	-	59	-	-	-	59	7
Office for People with Developmental Disabilities.....	-	-	-	(8,271)	-	-	-	-	-	(8,271)	233	253	142	(7,643)	(244)
Office of Addiction Services and Supports.....	-	-	-	-	90,805	-	-	-	-	90,805	2,935	1,001	-	94,741	109,853
Office of Children and Family Services.....	-	-	-	-	3,929	2,018	1,152,659	-	-	1,158,606	26,669	54,007	11,790	1,251,072	941,131
Office of Information Technology Services.....	-	-	-	-	-	-	-	-	-	-	-	1,281	-	1,281	291
Office of General Services.....	-	-	-	-	-	-	-	-	-	-	-	11,590	-	11,590	10,150
Office of Medicaid Inspector General.....	-	-	-	-	-	-	-	-	-	-	15,587	2,780	2,780	27,804	28,797
Office of Mental Health.....	-	-	-	31,872	40,931	-	-	-	-	72,803	1,012	1,606	617	76,038	84,963
Office of Parks, Recreation and Historic Preservation.....	-	-	1,161	-	-	2,174	-	-	-	3,335	2,516	1,658	-	7,509	10,363
Office of Temporary and Disability Assistance.....	-	-	-	430,294	8,445	-	3,476,015	-	-	3,914,754	98,612	68,562	59,841	4,141,769	4,744,436
Office of Victim Services.....	-	-	-	-	-	74,252	-	-	-	74,252	2,630	767	-	77,649	49,458
Public Benefits Corporations:	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
Energy Research and Development Authority.....	-	-	-	-	-	-	16,474	-	-	16,474	-	-	-	16,474	11,281
Urban Development Corporation (Empire State Dev Corp).....	-	-	-	-	-	-	-	1,060	-	1,060	-	-	-	1,060	588
State Education Department.....	3,527,697	-	-	-	-	-	-	-	-	3,527,697	86,866	78,678	53,408	3,746,649	4,068,251
State Office for the Aging.....	-	-	-	-	110,186	1,241	854	-	-	112,281	5,272	547	63	118,163	107,187
State University of New York.....	-	-	-	-	-	1,039	2,271	-	-	3,310	9,759	338,692	99	351,860	354,696
Unified Court System.....	-	-	-	-	-	-	-	-	-	-	1,904	6,092	602	8,598	7,523
Workers' Compensation Board.....	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
Total.....	\$ 3,528,481	\$ 1,161	\$ 53,990	\$ 40,240,339	\$ 6,302,349	\$ 1,393,328	\$ 4,857,516	\$ 7,864	\$ 56,976	\$ 56,453,105	\$ 636,828	\$ 1,398,904	\$ 333,595	\$ 58,822,432	\$ 58,473,357

STATE OF NEW YORK  
DEBT SERVICE FUNDS  
COMBINING STATEMENT OF SELECTED DEPARTMENTAL DISBURSEMENTS  
FISCAL YEAR ENDED MARCH 31, 2020  
(amounts in thousands)

SCHEDULE 24

Description	Related Expenses (*)	Debt Service		Total	
		2019-20	2018-19		
<b>Special Contractual Financing Obligation Payments:</b>					
<b>To Public Benefit Corporations:</b>					
City University of New York.....	\$ 12,226	\$ 193,123	\$ 205,349	\$ 113,080	
Dormitory Authority.....	13,431	2,202,977	2,216,408	3,459,726	
Environmental Facilities Corporation.....	95	17,270	17,365	33,442	
Housing Finance Agency.....	761	30,858	31,619	45,948	
Local Government Assistance Corporation.....	1,616	300,785	302,401	424,856	
Metropolitan Transportation Authority.....	-	-	-	35,458	
New York State Thruway Authority.....	418	621,331	621,749	480,467	
Urban Development Corporation (Empire State Dev Corp).....	7,082	1,281,572	1,288,654	1,840,434	
<b>Subtotal.....</b>	<b>35,629</b>	<b>4,647,916</b>	<b>4,683,545</b>	<b>6,433,411</b>	
<b>Interest on General Obligation Bonds.....</b>	<b>-</b>	<b>87,460</b>	<b>87,460</b>	<b>102,333</b>	
<b>Principal on General Obligation Bonds.....</b>	<b>-</b>	<b>180,715</b>	<b>180,715</b>	<b>199,790</b>	
<b>Administrative Expenses for Variable Rate General Obligation Bonds.....</b>	<b>643</b>	<b>-</b>	<b>643</b>	<b>727</b>	
<b>Total Debt Service Funds Disbursements.....</b>	<b>\$ 36,272</b>	<b>\$ 4,916,091</b>	<b>\$ 4,952,363</b>	<b>\$ 6,736,261</b>	

(\*) Related expenses include remarketing fees, cost of issuance expenses, administrative fees, capital expenses and other expenses paid to the bank and/or the public benefit corporations issuing the bonds.

STATE OF NEW YORK  
CAPITAL PROJECTS FUNDS - STATE  
COMBINING STATEMENT OF SELECTED DEPARTMENTAL DISBURSEMENTS  
FISCAL YEAR ENDED MARCH 31, 2020  
(amounts in thousands)

## SCHEDULE 25

Department/Division	LOCAL ASSISTANCE GRANTS										TOTAL	
	Education	Environment and Recreation	General Government	Medicaid	Other Public Health	Public Safety	Public Welfare	Support and Regulate Business	Transportation	Total Grants	Capital Construction	2019-20
Adirondack Park Agency.....	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
City/University of New York.....	-	-	-	-	-	-	-	-	-	-	36,876	36,876
Department of Agriculture and Markets.....	-	6,564	-	-	-	-	-	-	-	6,564	62,109	68,673
Department of Corrections and Community Supervision.....	-	-	-	-	-	-	-	-	-	-	412,425	412,425
Department of Environmental Conservation.....	-	176,739	5,704	-	-	(4,662)	13,092	-	-	190,873	352,717	543,590
Department of Health.....	-	5,123	39,568	-	454,454	-	-	-	-	499,145	78,488	577,633
Department of Law.....	-	-	-	-	-	-	-	-	-	-	5,041	5,041
Department of Motor Vehicles.....	-	-	-	-	-	-	-	-	-	-	262,789	262,789
Department of State.....	-	15,484	13,554	-	-	-	-	31	-	29,469	2,711	32,180
Department of Transportation.....	-	-	112,641	-	-	-	-	-	538,939	651,580	2,837,800	2,837,800
Division of Criminal Justice Services.....	-	74	4,260	-	-	-	-	-	-	4,334	-	4,334
Division of Homeland Security and Emergency Services.....	4,648	-	-	-	-	67,445	-	-	-	72,093	16,241	88,334
Division of Housing and Community Renewal.....	-	-	17,412	-	-	-	-	5,720	-	360,115	-	360,115
Division of Military and Naval Affairs.....	-	-	-	-	-	-	336,983	-	-	-	90,101	90,101
Division of State Police.....	-	-	-	-	-	-	-	-	-	-	49,326	49,326
Office for People with Developmental Disabilities.....	-	-	4,369	-	1,210	-	-	-	-	5,579	96,601	102,180
Office of Addiction Services and Supports.....	-	-	6,518	-	23,642	-	146	-	-	30,306	1,603	31,909
Office of Children and Family Services.....	-	-	-	-	-	-	-	108	-	22,621	22,729	22,729
Office of General Services.....	-	-	-	-	-	-	-	-	-	-	176,881	176,881
Office of Information Technology Services.....	-	-	-	-	-	-	-	-	-	-	72,266	72,266
Office of Mental Health.....	-	-	-	-	-	-	-	-	-	-	239,694	239,694
Office of Parks, Recreation and Historic Preservation.....	-	37,701	10,424	-	33,235	-	729	-	-	44,388	284,062	279,835
Office of the State Inspector General.....	-	-	-	-	-	-	-	-	-	37,701	202,278	239,979
Office of Temporary and Disability Assistance.....	-	-	10,918	-	-	-	62,107	750	-	73,775	541	574
Public Benefits Corporations:	-	-	-	-	-	-	-	-	-	-	813	74,588
Dormitory Authority.....	-	-	163,267	-	-	-	-	25,220	-	188,487	-	188,487
Energy Research and Development Authority.....	-	-	-	-	-	-	-	-	-	-	23,991	23,991
Environmental Facilities Corporation.....	-	-	-	-	-	-	-	-	-	-	-	-
Higher Education Capital Matching Grant Board.....	5,669	-	-	-	-	-	-	-	-	5,669	-	5,669
Hudson River Park Trust.....	-	-	-	-	-	-	-	-	-	-	8,959	8,959
Metropolitan Transportation Authority.....	-	-	-	-	-	-	-	62,060	544,466	606,546	-	606,546
New York State Canal Corporation.....	-	-	-	-	-	-	-	32	-	32	-	32
New York State Thruway Authority.....	-	-	-	-	-	-	-	6,424	-	6,424	322,048	328,472
Olympic Regional Development Authority.....	-	-	-	-	-	-	-	-	-	-	99,935	99,935
Power Authority.....	-	-	-	-	-	-	-	49,814	-	49,814	-	49,814
Roosevelt Island Operating Corporation.....	-	-	-	-	-	-	-	-	-	-	-	-
Urban Development Corporation (Empire State Dev Corp).....	200	-	457,361	-	-	-	-	727,260	-	1,184,621	516	1,185,337
State Education Department.....	165,173	-	-	-	-	-	-	-	-	11,311	176,484	149,451
State University of New York.....	-	-	-	-	-	-	-	-	-	284,107	284,107	284,107
State University of New York Construction Fund.....	-	-	-	-	-	-	-	-	-	719,876	719,876	719,876
Unified Court System.....	-	-	-	-	-	-	-	-	-	21,294	21,294	21,294
All Other.....	-	-	3,951	-	-	-	-	1,625	-	5,576	55,347	60,623
<b>Total.....</b>	<b>\$ 175,690</b>	<b>\$ 241,685</b>	<b>\$ 850,047</b>	<b>\$ -</b>	<b>\$ 512,541</b>	<b>\$ 62,783</b>	<b>\$ 413,057</b>	<b>\$ 878,044</b>	<b>\$ 1,093,425</b>	<b>\$ 4,218,272</b>	<b>\$ 5,915,726</b>	<b>\$ 10,133,998</b>
												<b>\$ 10,321,384</b>

STATE OF NEW YORK CAPITAL PROJECTS FUNDS - FEDERAL COMBINING STATEMENT OF SELECTED DEPARTMENTAL DISBURSEMENTS FISCAL YEAR ENDED MARCH 31, 2020 (amounts in thousands)															SCHEDULE 26		
Department/Division	LOCAL ASSISTANCE GRANTS											TOTAL					
	Education	Environment and Recreation	General Government	Medicaid	Public Health	Other	Public Safety	Public Welfare	Support and Regulate Business	Transportation	Total Grants	Capital Construction	2019-20	2018-19			
Department of Environmental Conservation.....	\$ -	\$ 175,375	\$ -	\$ -	\$ -	\$ -	\$ 34,001	\$ -	\$ -	\$ -	\$ 209,376	\$ 2,424	\$ 211,800	\$ 229,494			
Department of Health.....	-	-	-	-	42,873	-	-	-	-	-	42,873	4,361	47,234	51,188			
Department of Transportation.....	-	-	-	-	-	-	-	-	-	542,490	542,490	1,016,809	1,559,299	1,624,077			
Division of Military and Naval Affairs .....	-	-	-	-	-	-	-	-	-	-	-	35,292	35,292	31,149			
Office of Parks, Recreation and Historic Preservation .....	-	-	-	-	-	-	-	-	-	-	-	10,827	10,827	7,666			
	\$ -	\$ 175,375	\$ -	\$ -	\$ 42,873	\$ -	\$ 34,001	\$ -	\$ -	\$ 542,490	\$ 794,739	\$ 1,068,713	\$ 1,864,452	\$ 1,943,574			

STATE OF NEW YORK  
TAX STABILIZATION RESERVE FUND (\*)  
STATEMENT OF NET CASH TRANSACTIONS  
FISCAL YEARS 1945-46 THROUGH 2019-20

## SCHEDULE 27

<b>Fund Balance</b>	
Cumulative Transfers of General Fund Cash Basis Surplus (**)	\$ 1,247,613,898
Interest Earned on Temporary Investment of Surpluses (1947 - 1963)	10,148,700
<b>Total Fund (Cash) Balance</b>	<b>\$ 1,257,762,598</b>
<b>Account Activity Life-to-Date</b>	
<b>Accounts Receivable</b>	
First Instance Advances (***)	\$ 152,294,394
Repayment of First Instance Advances (****)	(152,294,394)
<b>Total Accounts Receivable</b>	<b>\$ -</b>
<b>Loans Receivable</b>	
Loans to General Fund to Cover Cash Deficits (*****)	\$ 473,298,928
Repayments of Loans to Date (*****)	(473,298,928)
<b>Total Loans Receivable</b>	<b>\$ -</b>

## Footnotes:

(\*) Pursuant to Article VII of the State Constitution and Section 92 of the State Finance Law, receipts in excess of General Fund requirements not otherwise appropriated are required to be transferred to the Tax Stabilization Reserve Fund at the end of each fiscal year. Loans to finance cash deficits must be repaid within six years in at least three equal annual installments. As of March 31, 2020, there are no loans outstanding.

<b>(**) Transfers of Surplus from the General Fund to the Reserve Fund:</b>		
March 31:		
1946.....	\$ 50,000,000	1996.....
1947.....	58,712,842	1997.....
1948.....	3,917,297	1998.....
1949.....	5,425,258	1999.....
1950.....	1,698,783	2000.....
1951.....	3,381,320	2001.....
1952.....	642,650	2002.....
1953.....	6,992,071	2003.....
1954.....	7,722,315	2004.....
1955.....	1,027,379	2005.....
1956.....	375,882	2006.....
1957.....	269,700	2007.....
1958.....	169,329	2012.....
1959.....	124,878	2015.....
1960.....	615,641	Total Transfers from the General Fund
1979.....	49,676,865	
1985.....	234,777	
1986.....	97,732	
1987.....	139,179	
<b>Subtotal (carry forward)</b>	<b>191,223,898</b>	
		<b>Subtotal (carry forward)</b>
		191,223,898



STATE OF NEW YORK  
TAX STABILIZATION RESERVE FUND  
STATEMENT OF NET CASH TRANSACTIONS  
FISCAL YEARS 1945-46 THROUGH 2019-20

SCHEDULE 27  
(continued)

Footnotes (continued):

(\*\*\*) First Instance Advances to:

Local Governments.....	\$ 6,932,932
Metropolitan Transportation Authority.....	62,238,750
Other Public Authorities.....	6,799,862
State Agencies.....	47,353,816
Miscellaneous Commissions and Funds.....	28,969,034
<b>Total First Instance Advances.....</b>	<b>\$ 152,294,394</b>

(\*\*\*\*) Repayment of First Instance Advances:

1984.....	\$ 50,764,798
1985.....	50,764,798
1986.....	50,764,798
<b>Total Repayment of First Instance Advances.....</b>	<b>\$ 152,294,394</b>

(\*\*\*\*\*) Loans to General Fund to Cover Operating Deficit for Fiscal Year Ended March 31:

1949.....	\$ 3,146,805
1950.....	13,929,926
1951.....	12,917,100
1971.....	23,172,661
1972.....	42,700,850
1975.....	18,482,584
1976.....	64,808,688
1983.....	48,606,516
1988.....	132,483,599
1989.....	68,888,999
1992.....	44,161,200
<b>Total Transfers to Cover Operating Deficits.....</b>	<b>\$ 473,298,928</b>

(\*\*\*\*\*) Repayments from General Fund of Prior

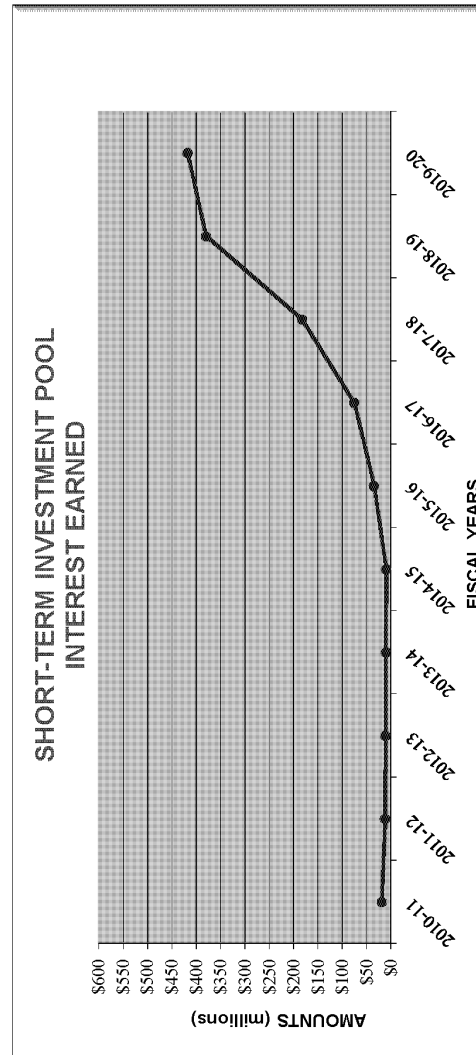
Years Withdrawals:

1950.....	\$ 3,146,805
1952.....	4,729,660
1953.....	14,253,064
1954.....	7,864,302
1973.....	65,873,511
1978.....	18,482,584
1979.....	16,202,172
1980.....	16,202,172
1981.....	16,202,172
1982.....	16,202,172
1987.....	16,202,172
1988.....	16,202,172
1989.....	16,202,172
1992.....	44,161,200
1993.....	67,124,200
1994.....	67,124,199
1995.....	22,962,999
1996.....	14,720,400
1997.....	14,720,400
1998.....	14,720,400
<b>Total Repayments from General Fund.....</b>	<b>\$ 473,298,928</b>

## SCHEDULE 28

STATE OF NEW YORK  
SHORT-TERM INVESTMENT POOL  
FISCAL YEARS 2010-11 THROUGH 2019-20  
(amounts in millions)

	<u>2010-11</u>	<u>2011-12</u>	<u>2012-13</u>	<u>2013-14</u>	<u>2014-15</u>	<u>2015-16</u>	<u>2016-17</u>	<u>2017-18</u>	<u>2018-19</u>	<u>2019-20</u>
Average Yields	0.226%	0.136%	0.167%	0.140%	0.117%	0.231%	0.635%	1.341%	2.221%	2.028%
Average Portfolio Balance	\$ 7,304,275	\$ 7,955,373	\$ 6,494,290	\$ 6,767,400	\$ 8,890,987	\$ 15,000,434	\$ 12,514,837	\$ 13,877,525	\$ 17,647,625	\$ 20,308,120
Total Interest Income Earned <sup>(*)</sup>	\$ 18,223	\$ 11,452	\$ 10,852	\$ 9,978	\$ 9,288	\$ 34,283	\$ 75,093	\$ 182,250	\$ 379,425	\$ 417,550



(\*) The Excelsior Linked Deposit Program authorizes the Comptroller to deposit State funds with participating lenders at a reduced rate of return when such lenders make lower cost loans, on the basis of such linked deposits, to qualifying businesses to improve productivity, competitiveness, access to new markets and exporting capabilities. The Banking Development District Program authorizes the Comptroller to deposit State funds at a reduced rate of return to encourage the establishment of commercial bank branches in areas where there is a demonstrated need for banking services. Actual earnings in STIP are lower by \$4,384,326 due to the effect of the Linked Deposit and Banking Development District programs.

SCHEDULE 29

STATE OF NEW YORK  
INVESTMENT YIELDS, PORTFOLIO BALANCES AND INTEREST EARNINGS  
FISCAL YEAR ENDED MARCH 31, 2020  
(amounts in millions)

	AVERAGE YIELDS	AVERAGE-PORTFOLIO BALANCE	TOTAL INTEREST INCOME EARNED
Short-Term Investment Pool (STIP)	2.028%	\$ 20,308.120	\$ 417.550 (*)
NYC General Debt Service Funds	1.939%	500.284	9.725
Other Funds:			
Fish and Game	3.246%	61.586	2.004
Local Gov't Assist. Tax Fund Debt Service	1.834%	40.175	0.738
Mental Health Services Fund	1.875%	76.734	1.442
Roswell Park - Cancer Institute	2.148%	15.219	0.327

2019-2020 MONTHLY RESULTS

	April	May	June	July	August	September	October	November	December	January	February	March
Short-Term Investment Pool												
Yield	2.495%	2.488%	2.459%	2.378%	2.258%	2.143%	2.037%	1.846%	1.741%	1.686%	1.609%	1.531%
Average Portfolio	\$ 19,702.7	\$ 20,900.6	\$ 18,366.2	\$ 19,671.8	\$ 18,621.3	\$ 20,135.5	\$ 20,374.0	\$ 19,508.9	\$ 18,640.1	\$ 22,840.1	\$ 26,149.2	\$ 25,023.5
NYC General Debt Service Funds												
Yield	2.368%	2.368%	2.088%	2.075%	2.095%	2.094%	1.785%	1.777%	1.804%	1.478%	1.499%	1.501%
Average Portfolio	\$ 269.5	\$ 208.4	\$ 558.5	\$ 2,363.9	\$ 391.9	\$ 251.3	\$ 281.2	\$ 225.0	\$ 18.6	\$ 862.1	\$ 405.0	\$ 139.4
Other Funds												
Yield	1.937%	2.305%	2.249%	9.002%	2.203%	2.133%	1.892%	1.749%	1.691%	1.679%	1.650%	1.536%
Average Portfolio	\$ 109.2	\$ 163.0	\$ 283.8	\$ 148.9	\$ 86.4	\$ 117.1	\$ 162.7	\$ 206.9	\$ 262.2	\$ 283.5	\$ 178.8	\$ 319.2

(\*) The Excelsior Linked Deposit Program authorizes the Comptroller to deposit State funds with participating lenders at a reduced rate of return when such lenders make lower cost loans, on the basis of such linked deposits, to qualifying businesses to improve productivity, competitiveness, access to new markets and exporting capabilities. The Banking Development District Program authorizes the Comptroller to deposit State funds at a reduced rate of return to encourage the establishment of commercial bank branches in areas where there is a demonstrated need for banking services. Actual earnings in STIP are lower by \$4,384,326 due to the effect of the Linked Deposit and Banking Development District programs.

STATE OF NEW YORK  
INVESTMENT PORTFOLIO BALANCES  
AS OF MARCH 31, 2020

SCHEDULE 30

	Treasury Bills	Government Sponsored Agencies	Repurchase Agreements (*)	Commercial Paper	Savings Account	Certificates of Deposit	Totals	
							2019-20	2018-19
Short-Term Investment Pool (STIP).....	\$ 1,412,950,000	\$ 592,320,000	\$ 22,639,019	\$ 16,509,016,000	\$ 2,395,000,000	\$ 548,748,801	\$ 21,480,673,820	\$ 16,943,933,701
NYC General Debt Service Funds.....	-	-	-	-	-	-	-	933,000
Other Funds:								
Fish and Game.....	64,270,000	-	-	-	-	-	64,270,000	54,000,000
Local Gov't Assist. Tax Fund Debt Service.....	-	-	-	-	-	-	-	-
Mental Health Services Fund.....	24,190,000	-	-	-	-	-	24,190,000	24,394,000
Roswell Park Cancer Institute.....	18,119,500	-	-	-	-	-	18,119,500	19,035,200
TOTAL INVESTMENT PORTFOLIO.....	\$ 1,519,529,500	\$ 592,320,000	\$ 22,639,019	\$ 16,509,016,000	\$ 2,395,000,000	\$ 548,748,801	\$ 21,587,253,320	\$ 17,042,295,901

(\*) Par value of collateral held

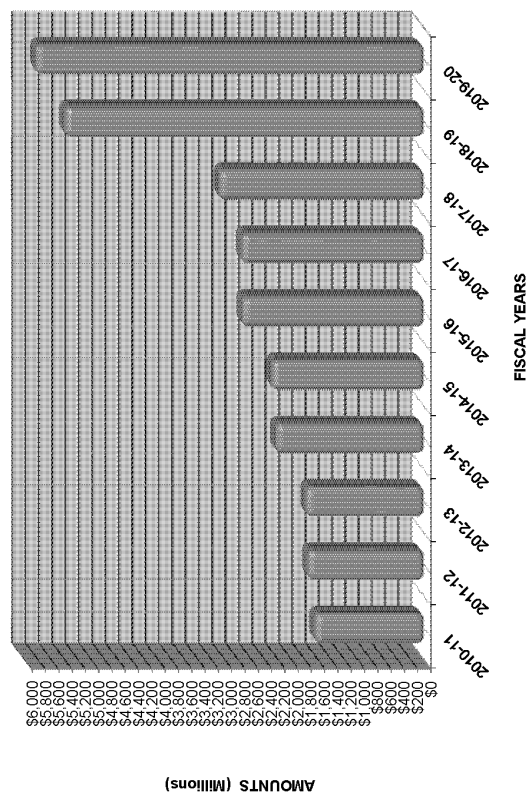
STATE OF NEW YORK

TEMPORARY LOANS OUTSTANDING (\*)  
FISCAL YEARS 2010-11 THROUGH 2019-20

SCHEDULE 31

DESCRIPTION	2010-11	2011-12	2012-13	2013-14	2014-15	2015-16	2016-17	2017-18	2018-19	2019-20
STATE SPECIAL REVENUE FUNDS.....	\$ 413,840,422.81	\$ 455,159,430.76	\$ 419,499,664.90	\$ 442,503,062.90	\$ 323,140,719.98	\$ 345,240,384.02	\$ 298,260,936.34	\$ 312,983,433.23	\$ 349,741,388.88	\$ 378,683,666.13
FEDERAL FUNDS.....	344,096,270.51	341,941,278.04	322,966,964.88	643,460,447.10	718,739,086.23	1,258,139,231.72	979,612,229.30	1,169,756,139.24	3,416,782,834.39	3,898,120,941.68
ENTERPRISE FUNDS.....							72,549.27	58,944.37	335,202.11	415,477.56
INTERNAL SERVICE FUNDS.....	41,593,035.56	37,435,462.57	79,088,819.94	122,773,157.24	233,651,939.37	179,316,239.49	234,500,082.36	301,777,618.43	337,584,993.15	339,943,521.52
CAPITAL AND BOND REIMBURSABLE FUNDS.....	856,641,092.46	930,502,988.49	972,368,348.38	1,034,847,615.92	1,029,229,405.60	966,447,979.04	1,246,132,185.60	1,286,872,692.13	1,300,440,314.22	1,225,847,992.33
AGENCY FUNDS.....										
	<u>\$ 1,656,170,821.34</u>	<u>\$ 1,765,039,149.86</u>	<u>\$ 1,793,923,788.20</u>	<u>\$ 2,243,584,283.16</u>	<u>\$ 2,304,764,163.18</u>	<u>\$ 2,749,143,834.27</u>	<u>\$ 2,758,577,982.87</u>	<u>\$ 3,090,418,827.40</u>	<u>\$ 5,404,884,732.75</u>	<u>\$ 5,843,011,599.24</u>
CHANGE FROM PRIOR YEAR	11.48%	6.57%	1.64%	25.07%	2.73%	19.28%	0.34%	12.03%	74.89%	8.11%
CHANGE FROM 2010-11 TO 2019-20										252.80%

TEMPORARY LOANS OUTSTANDING



(\*) Temporary Loans are authorized pursuant to Subdivision 5 of Section 4 of the State Finance Law and Chapter 59, Part TTT, Section 1, of the Laws of 2019-20. These loans represent authorizations made by the Legislature to allow certain funds/accounts to make appropriated payments regardless of the fund (cash) balance. Such loans are made from the State's Short-Term Investment Pool (STIP) and are intended to satisfy temporary cash shortfalls whenever scheduled disbursements exceed available revenues during the fiscal year. Generally, temporary loans are repaid from the first cash receipts of the fund or account. In some cases, actual revenues are not sufficient to repay all loans made to the fund or account and a transfer from the General Fund "Repayment of Receivables" appropriation is approved by the Budget Director.

## SCHEDULE 32

FUND	FUND DESCRIPTION	AMOUNT OF LOAN	FUND	FUND DESCRIPTION	AMOUNT OF LOAN
STATE SPECIAL REVENUE FUNDS					
204001	DOL - CHILD PERFORMER PROTECTION ACCOUNT.....	-	22039	FINANCIAL OVERSIGHT ACCOUNT.....	1,733,948.98
20452	VOCATIONAL SCHOOL SUPERVISION.....	-	22046	REGULATION INDIAN GAMING.....	89,923,974.30
20501	LOCAL GOVERNMENT RECORDS MANAGEMENT.....	-	22053	ROME SCHOOL FOR THE DEAF.....	3,364,755.49
20810	CHILD HEALTH INSURANCE.....	-	22054	SEIZED ASSETS ACCOUNT.....	1,509,970.95
20818	EPIC PREMIUM ACCOUNT.....	-	22055	ADMINISTRATIVE ADJUDICATION ACCOUNT.....	24,341,032.54
20901	LOTTERY-EDUCATION.....	-	22056	FEDERAL SALARY SHARING ACCOUNT.....	-
20904	VLT EDUCATION.....	-	22062	NYS ASSESSMENT ACCOUNT.....	-
21001	ENVR FACILITIES CORPORATE ADMINISTRATION ACCOUNT.....	-	22063	CULTURAL EDUCATION ACCOUNT.....	4,173,497.81
21002	ENCON ADMINISTRATION ACCOUNT.....	3,308,151.25	22078	LOCAL SERVICES ACCOUNT.....	-
21061	HAZARDOUS BULK STORAGE ACCOUNT.....	-	22085	HCR - MORTGAGE SERVICING.....	-
21064	UTILITY ENVIRONMENTAL REGULATORY ACCOUNT.....	1,672,089.99	22086	HOUSING INDIRECT COST RECOVERY.....	15,471,808.02
21065	FEDERAL GRANTS INDIRECT COST RECOVERY ACCOUNT.....	-	22100	HCR - HOUSING CREDIT AGENCY APPLY FEE.....	6,004,755.07
21066	ENCON - LOW LEVEL RADIOACTIVE WASTE SITING.....	4,262,701.06	22130	LOW INCOME HOUSING CREDIT MONITORING.....	-
21067	ENCON - RECREATION ACCOUNT.....	-	22135	EFC - CORPORATION ADMINISTRATION ACCOUNT.....	-
21077	PUBLIC SAFETY RECOVERY ACCOUNT.....	-	22144	MONTROSE VETERAN'S HOME.....	-
21201	ENVIRONMENTAL REGULATORY ACCOUNT.....	55,241,059.13	22151	DEFERRED COMPENSATION BOARD ADMIN ACCOUNT.....	120,773.86
21082	NATURAL RESOURCES ACCOUNT.....	14,061,571.93	22156	RENT REVENUE OTHER - NYC.....	-
21084	MINED LAND RECLAMATION ACCOUNT.....	-	22158	RENT REVENUE ACCOUNT.....	-
21087	GREAT LAKES RESTORATION INITIATIVE.....	-	22168	TAX REVENUE ARREARAGE ACCOUNT.....	-
21201	AUDIT AND CONTROL OIL SPILL.....	-	22240	NYS MEDICAL INDEMNITY FUND ACCOUNT.....	529,329.61
21202	HEALTH DEPARTMENT OIL SPILL.....	-	22254	STATE UNIVERSITY INCOME OFFSET.....	20,610,214.70
21203	DEPT OF ENVIRONMENTAL CONSERVATION OIL SPILL.....	-	22751	LAKE GEORGE PARK TRUST FUND.....	-
21204	OIL SPILL COMPENSATION.....	-	22802	STATE POLICE MOTOR VEHICLE ENFORCE ACCOUNT.....	14,330,277.93
21205	LICENSE FEE SURCHARGES.....	-	23001	DOT - HIGHWAY SAFETY PROGRAM.....	5,350,949.70
21401	PUBLIC TRANSPORTATION SYSTEMS.....	-	23001	DOT - DRINKING WATER PROGRAM.....	27,978,018.76
21402	METROPOLITAN MASS TRANSPORTATION.....	-	23102	NYCCC OPERATING OFFSET.....	-
21451	OPERATING PERMIT PROGRAM.....	31,064,947.07	23151	COMMERCIAL GAMING REVENUE.....	-
21452	MOBILE SOURCE ACCOUNT.....	2,235,197.82	23701	COMMERCIAL GAMING REGULATION.....	18,017,394.56
21900	HEALTH - SPARCS ACCOUNT.....	-	23702	INTERSTATE RECEIPTIVITY FOR POST SEC DISTANCE ED.....	-
21905	THRUWAY AUTHORITY ACCOUNT.....	10,933,559.94	23801	HIGHWAY USE TAX ADMIN.....	-
21907	MENTAL HYGIENE PROGRAM.....	-	23806	NYS SECURE CHOICE ADMIN.....	-
21909	MENTAL HYGIENE PATIENT INCOME ACCOUNT.....	-	24951	FANTASY SPORTS ADMINISTRATION.....	3,015.01
21911	FINANCIAL CONTROL BOARD ACCOUNT.....	1,335,184.38			
21912	RACING REGULATION ACCOUNT.....	2,693,505.28			
21913	NY METROPOLITAN TRANSPORTATION COUNCIL.....	-			
21937	STATE UNIVERSITY DORMITORY INCOME REIMBURSEMENTS ACCT.....	-			
21945	CRIMINAL JUSTICE IMPROVEMENT.....	-			
21699	ENVIRONMENTAL LABORATORY REFERENCE FEE ACCOUNT.....	-			
21981	TRAINING, MANAGEMENT AND EVALUATION ACCOUNT.....	408,286.90			
21982	CLINICAL LABORATORY FEE REFERENCE ACCOUNT.....	9,339,666.85			
21978	INDIRECT COST RECOVERY.....	-			
21979	HIGH SCHOOL EQUIVALENCY PROGRAM.....	-			
21989	MULTI - AGENCY TRAINING ACCOUNT.....	-			
22003	BELL JAR COLLECTION ACCOUNT.....	-			
22204	INDUSTRY AND UTILITY SERVICE.....	-			
22206	REAL PROPERTY DISPOSITION.....	-			
22207	PARKING ACCOUNT.....	-			
22208	COURTS SPECIAL GRANTS.....	-			
22209	ASBESTOS SAFETY TRAINING.....	-			
22232	BATAVIA SCHOOL FOR THE BLIND.....	8,613,997.26			
22234	INVESTMENT SERVICES.....	-			
22236	SURPLUS PROPERTY ACCOUNT.....	-			
			TOTAL FEDERAL FUNDS		3,898,120,941.68
			TOTAL STATE SPECIAL REVENUE FUNDS		378,683,666.13
FEDERAL FUNDS					
25000-25099	FEDERAL USAID/FOOD AND NUTRITION SERVICES.....	-			59,981,547.76
25100-25199	FEDERAL HEALTH AND HUMAN SERVICES.....	-			2,763,923,094.72
25200-25249	FEDERAL EDUCATION GRANTS.....	408,286.90			18,951,886.00
25300-25699	FEDERAL MISCELLANEOUS OPERATING GRANTS.....	9,339,666.85			451,614,036.39
25900-25949	UI ADMINISTRATION.....	-			8,093,858.87
25950	FEDERAL UNEMPLOYMENT INS OCCUPATIONAL TRAINING.....	-			594,280.69
25950	FEDERAL EMPLOYMENT AND TRAINING GRANTS.....	-			2,408,133.05
26000-26049	MILITARY AND NAVAL AFFAIRS.....	-			8,753,932.66
31351	DEPARTMENT OF TRANSPORTATION.....	-			479,651,782.98
31354	FEDERAL CAPITAL PROJECTS FUND (ALL OTHER).....	-			104,148,388.26
31350-31449		-			

STATE OF NEW YORK  
TEMPORARY LOANS OUTSTANDING (\*)  
AS OF MARCH 31, 2020

SCHEDULE 32  
(continued)

FUND	FUND DESCRIPTION	AMOUNT OF LOAN	FUND	FUND DESCRIPTION	AMOUNT OF LOAN
30051	CAPITAL AND BOND REIMBURSABLE FUNDS		30148	CAPITAL AND BOND REIMBURSABLE FUNDS (continued)	
30053	HIGHWAY AND BRIDGE CAPITAL		30149	D24RVE - COBLESKILL	-
30101	AVIATION PURPOSE ACCOUNT	65,800,227.45		REHAB/REPAIR DELHI	-
30102	REHAB/REPAIR MARITIME	-	30150	D25RVE - DELHI	-
30103	D21RVE - MARITIME	-	30151	REHAB/REPAIR FARMINGDALE	-
30104	D36RVE - CENTRAL ADMIN.	-	30152	D26RVE - FARMINGDALE	-
30105	RESIDENCE HALL CAMPUS LET BOND PROCEEDS	-	30153	REHAB/REPAIR MORRISVILLE	-
30106	REHAB/REPAIR ALBANY	-	30154	D27RVE - MORRISVILLE	-
30107	D01RVE - ALBANY	-	30351	STATE PARK INFRASTRUCTURE	43,951,035.32
30108	REHAB/REPAIR BINGHAMTON	-	30501	CWICA IMPLEMENTATION DEC	-
30109	D07RVE - BINGHAMTON	-	30502	CWICA IMPLEMENTATION STATE	-
30110	REHAB/REPAIR BUFFALO UNIVERSITY	-	30503	CWICA IMPLEMENTATION ERDA	-
30111	D28RVE - SUNY BUFFALO	-	30504	CWICA IMPLEMENTATION EFC	-
30112	REHAB/REPAIR STONYBROOK	-	31506	HAZARDOUS WASTE CLEANUP ACCOUNT	116,951,080.21
30113	D13RVE - STONYBROOK	-	31701	YOUTH FACILITIES IMPROVEMENT	21,234,504.85
30114	REHAB/REPAIR BROOKLYN	-	31801	HOUSING ASSISTANCE	12,941,967.08
30115	D14RVE - HSC BROOKLYN	-	31851	HOUSING PROG FUND - HOUSING TRUST FUND CORPORATION	35,519,992.10
30116	REHAB/REPAIR SYRACUSE	-	31852	HOUSING PROG FUND - AFFORD HOUSING-CORPORATION	54,486,219.74
30117	D15RVE - HSC SYRACUSE	-	31853	HOUSING PROG FUND - DEPT OF SOCIAL SERVICES	126,535,379.93
30118	REHAB/REPAIR BROCKPORT	-	31854	HOUSING PROG FUND - HFA	-
30119	D02RVE - BROCKPORT	-	31951	HIGHWAY FACILITY PURPOSE	-
30120	REHAB/REPAIR BUFFALO COLLEGE	-	32213	NY RACING ACCOUNT	11,989,463.99
30121	D03RVE - SUB BUFFALO	-	32214	CAPITAL PROJECTS MISCELLANEOUS GIFTS	153,750.00
30122	D04RVE - CORTLAND	-	32215	IT CAPITAL FINANCING ACCT	712,729.64
30123	REHAB/REPAIR FREDONIA	-	32219	NY ENVIRONMENTAL PROTECTION & SPILL REMEDIATION	-
30124	D05RVE - FREDONIA	-	32301	OPWDD - STATE FACILITIES PRE 12/99	-
30125	REHAB/REPAIR GENESEO	-	32302	DSAS - COMMUNITY FACILITIES	-
30126	D06RVE - GENESEO	-	32303	OPWDD - COMMUNITY FACILITIES	114,555,741.54
30127	REHAB/REPAIR OLD WESTBURY	-	32304	OPWDD - COMMUNITY FACILITIES	-
30128	D31RVE - OLD WESTBURY	-	32305	OASAS - COMMUNITY FACILITIES	185,174,787.22
30129	REHAB/REPAIR NEW PALTZ	-	32306	DASNY - OMH ADMIN	-
30130	D08RVE - NEW PALTZ	-	32307	DASNY - OPWDD ADMIN	4,005,578.39
30131	REHAB/REPAIR ONEONTA	-	32308	DASNY - OASAS ADMIN	883,591.20
30132	D09RVE - ONEONTA	-	32309	OPWDD - STATE FACILITIES	54,173,438.31
30133	REHAB/REPAIR OSWEGO	-	32310	OPWDD - STATE FACILITIES	11,021,897.38
30134	D10RVE - OSWEGO	-	32311	OASAS - STATE FACILITIES	119,787.38
30135	REHAB/REPAIR PLATTSBURGH	-	32351	CORRECTIONAL FACILITY CAPITAL IMPROVEMENT	-
30136	D11RVE - PLATTSBURGH	-	32352	DOCCS - REHABILITATION PROJECTS	315,985,088.12
30137	REHAB/REPAIR POTSDAM	-	32353	CORRECTIONAL FACILITY CAPITAL CLOSURE	-
30138	D12RVE - POTSDAM	-	33001	STORM RECOVERY ACCOUNT	49,661,762.50
30139	REHAB/REPAIR PURCHASE	-		TOTAL CAPITAL AND BOND REIMBURSABLE FUNDS	\$ 1,225,847,992.33
30140	D29RVE - PURCHASE	-			
30141	REHAB/REPAIR FOR UTICA/ROME	-		ENTERPRISE FUNDS	
30142	D27RVE - CAMPUS RESERVE	-	50318	OGS CONVENTION CENTER ACCOUNT	221,849.53
30143	REHAB/REPAIR ALFRED	-	50327	EMPIRE STATE PLAZA GIFT SHOP	193,628.05
30144	D22RVE - ALFRED	-		TOTAL ENTERPRISE FUNDS	\$ 415,477.58
30145	REHAB/REPAIR CANTON	-			
30146	D23RVE - CANTON	-			
30147	REHAB/REPAIR COBLESKILL	-			

STATE OF NEW YORK  
TEMPORARY LOANS OUTSTANDING (\*)  
AS OF MARCH 31, 2020

<u>FUND</u>	<u>FUND DESCRIPTION</u>	<u>AMOUNT OF LOAN</u>	<u>FUND</u>	<u>FUND DESCRIPTION</u>	<u>AMOUNT OF LOAN</u>
<b>INTERNAL SERVICE FUNDS</b>					
55001	CENTRALIZED SERVICES - FLEET MANAGEMENT	-	55052	INTERNAL SERVICE FUNDS (continued)	
55002	CENTRALIZED SERVICES - INFORMATION RESOURCE MANAGEMENT	-	55053	ARCHIVES RECORD MANAGEMENT	103,251.56
55003	CENTRALIZED SERVICES - PRINTING	-	55056	FEDERAL SINGLE AUDIT	-
55004	CENTRALIZED SERVICES - REAL PROPERTY LABOR	1,379,088.29	55057	CIVIL SERVICE EHS OCCUPATIONAL HEALTH PROGRAM	-
55005	CENTRALIZED SERVICES - DONATED FOODS	-	55058	BANKING SERVICES ACCOUNT	-
55006	CENTRALIZED SERVICES - FEDERAL PERSONAL PROPERTY	132,128.31	55059	CULTURAL RESOURCE SURVEY	2,379,253.82
55007	CENTRALIZED SERVICES - CONSTRUCTION SERVICES	-	55060	NEIGHBOR WORK PROJECT	11,223,387.17
55008	CENTRALIZED SERVICES - PASNY	4,485,004.08	55061	AUTOMATIC / PRINT CHARGEBACKS	-
55009	CENTRALIZED SERVICES - ADMINISTRATIVE SUPPORT	12,143,321.76	55062	OFFICE OF INFORMATION TECHNOLOGY SERVICES/ NYT	2,229,110.71
55010	CENTRALIZED SERVICES - DESIGN AND CONSTRUCTION	-	55066	DATA CENTER ACCOUNT	41,893,207.51
55011	CENTRALIZED SERVICES - INSURANCE SERVICE	21,793,727.51	55067	CYBER SECURITY INTRUSION ACCOUNT	1,261,584.27
55012	CENTRALIZED SERVICES - SECURITY CARD ACCESS	2,841,070.34	55068	DOMESTIC VIOLENCE GRANT	49,811.96
55013	CENTRALIZED SERVICES - COPS	233,445.30	55069	CENTRALIZED TECHNOLOGY SERVICES	67,479,142.96
55014	CENTRALIZED SERVICES - FOOD SERVICES	-	55071	LABOR CONTACT CENTER	24,533.09
55015	CENTRALIZED SERVICES - HOMER FOLKS	-	55072	HUMAN SERVICES CONTACT CENTER	-
55016	CENTRALIZED SERVICES - INTERAGENCY MAIL & MESSENGER COURIER SERVICE	-	55073	TAX CONTACT CENTER	-
55017	DOWNSTATE WAREHOUSE	1,548,891.08	55074	CIVIL RECOVERIES ACCOUNT	-
55018	BUILDING ADMINISTRATION ACCOUNT	517,219.05	55251	EXECUTIVE DIRECTION INTERNAL AUDIT	8,769,166.48
55019	LEASE SPACE INITIATIVE	4,234,360.95	55252	CIO INFORMATION TECHNOLOGY CENTRALIZED SERVICES	28,787,480.09
55020	OGS ENTERPRISE CONTRACTING	-	55300	HEALTH INSURANCE INTERNAL SERVICE	10,488,312.42
55021	NYS MEDIA CENTER	55,515,435.18	55301	CIVIL SERVICE EMPLOYEE BENEFITS DIV ADM ACCOUNT	3,775,947.06
55022	BUSINESS SERVICES CENTER	7,237,402.82	55350	CORRECTIONAL INDUSTRIES INTERNAL SERVICE	22,542,328.99
		26,915,888.76		<b>TOTAL INTERNAL SERVICE FUNDS</b>	<b>\$ 339,943,521.52</b>
<b>AGENCY FUNDS</b>					
60201	EMPLOYEES HEALTH INSURANCE ACCT	-			
60901	MMIS - STATE AND FEDERAL	-			
	<b>TOTAL AGENCY FUNDS</b>	<b>\$ -</b>		<b>GRAND TOTAL</b>	<b>\$ 5,843,011,599.24</b>

(\*) Temporary Loans are authorized pursuant to Subdivision 5 of Section 4 of the State Finance Law and Chapter 59, Part TTT, Section 1, of the Laws of 2019-20. The loans represent authorizations made by the Legislature to allow certain funds to make appropriated payments regardless of the fund (cash) balance. Such loans are made from the State's Short-Term Investment Pool (STIP) and are intended to satisfy temporary cash shortfalls whenever scheduled disbursements exceed available revenues during the fiscal year. Generally, temporary loans are repaid from the first cash receipts of the fund or account. In some cases, actual revenues are not sufficient to repay all loans made to the fund and a transfer from the General Fund "Repayment of Receivables" appropriation is approved by the Budget Director.



## SCHEDULE 33

STATE OF NEW YORK  
SUMMARY OF CASH ADVANCE ACCOUNTS BY BUSINESS UNIT AND DEPARTMENT  
FISCAL YEAR ENDED MARCH 31, 2020

This schedule presents balances of petty cash, travel, and other cash advances (i.e., confidential, patient, and inmate work release) issued to State business units pursuant to Section 115 of the State Finance Law. Advances are issued from business unit appropriations and cash is transferred from the State Treasury to a local bank account for appropriate use. Cash advance accounts are reimbursed periodically by vouchers audited and approved by the State Comptroller for payment out of the State Treasury.

BUSINESS UNIT	PETTY CASH	TRAVEL	OTHER	TOTAL ADVANCES
Adirondack Park Agency.....	\$ 4,000	\$ -	\$ -	\$ 4,000
Agriculture and Markets, Department of.....	10,000	-	-	10,000
Alcoholic Beverage Control, Division of.....	-	-	2,000	2,000
Addiction Services and Supports, Office of: Addiction Services and Supports, Office of.....	2,800	-	-	2,800
Kingsboro Addiction Treatment Center.....	1,500	-	-	1,500
Budget, Division of.....	5,000	-	-	5,000
Children and Family Services, Office of: Children and Family Services, Office of.....	27,150	-	54,000	81,150
Harriet Tubman Residential Center.....	500	-	-	500
City University of New York: College of Staten Island.....	5,500	-	-	5,500
Hunter College.....	55,000	-	-	55,000
John Jay College.....	18,700	-	-	18,700
Lehman College.....	5,000	-	-	5,000
New York City College of Technology.....	5,000	-	-	5,000
School of Journalism.....	5,000	-	-	5,000
School of Law.....	30,000	-	-	30,000
University Accounting Office - Accounts Payable.....	13,000	-	-	13,000
York College.....	10,500	-	-	10,500
Civil Service, Department of.....	3,000	-	-	3,000
Correction, State Commission of.....	2,000	-	-	2,000
Corrections and Community Supervision (Corcraft), Department of.....	4,000	-	-	4,000
Corrections and Community Supervision, Department of: Adirondack Correctional Facility.....	500	250	100	850
Albion Correctional Facility.....	2,000	500	2,700	5,200

STATE OF NEW YORK SUMMARY OF CASH ADVANCE ACCOUNTS BY BUSINESS UNIT AND DEPARTMENT FISCAL YEAR ENDED MARCH 31, 2020				SCHEDULE 33 (continued)	
BUSINESS UNIT	PETTY CASH	TRAVEL	OTHER	TOTAL ADVANCES	
Altona Correctional Facility.....	2,500	600	200	3,300	
Attica Correctional Facility.....	1,500	500	200	2,200	
Auburn Correctional Facility.....	4,000	1,000	250	5,250	
Bare Hill Correctional Facility.....	1,500	500	500	2,500	
Bedford Hills Correctional Facility.....	5,000	250	500	5,750	
Cape Vincent Correctional Facility.....	1,000	1,000	500	2,500	
Cayuga Correctional Facility.....	1,500	100	300	1,900	
Central Pharmacy.....	1,000	-	-	1,000	
Clinton Correctional Facility.....	10,500	3,000	500	14,000	
Collins Correctional Facility.....	2,500	100	2,250	4,850	
Coxsackie Correctional Facility.....	2,000	-	700	2,700	
Downstate Correctional Facility.....	1,700	100	1,000	2,800	
Eastern Correctional Facility.....	2,500	300	50	2,850	
Edgecombe Correctional Facility.....	1,000	100	500	1,600	
Elmira Correctional Facility.....	2,000	300	650	2,950	
Fishkill Correctional Facility.....	1,000	1,000	3,500	5,500	
Five Points Correctional Facility.....	500	500	250	1,250	
Franklin Correctional Facility.....	4,500	300	1,000	5,800	
Gouverneur Correctional Facility.....	1,000	250	500	1,750	
Gowanda Correctional Facility.....	2,200	500	200	2,900	
Great Meadow Correctional Facility.....	5,500	500	300	6,300	
Green Haven Correctional Facility.....	2,000	500	300	2,800	
Greene Correctional Facility.....	1,000	500	1,000	2,500	
Groveland Correctional Facility.....	2,000	100	400	2,500	
Hale Creek Alcohol and Substance Abuse Correctional Treatment Center.....	650	250	25	925	
Hudson Correctional Facility.....	3,000	100	2,050	5,150	
Lakeview Shock Incarceration Correctional Facility.....	3,000	500	200	3,700	
Marcy Correctional Facility.....	3,500	250	500	4,250	
Mid-State Correctional Facility.....	1,000	600	300	1,900	
Mohawk Correctional Facility.....	2,500	100	250	2,850	
Moriah Shock Incarceration Correctional Facility.....	2,000	-	-	2,000	
Ogdensburg Correctional Facility.....	2,500	550	250	3,300	
Operations - Central Office.....	20,000	5,000	2,500	27,500	
Orleans Correctional Facility.....	2,500	250	500	3,250	
Otisville Correctional Facility.....	1,000	-	50	1,050	
Queensboro Correctional Facility.....	2,000	1,000	8,100	11,100	
Riverview Correctional Facility.....	1,000	500	-	1,500	
Rochester Correctional Facility.....	1,600	-	7,000	8,600	
Shawangunk Correctional Facility.....	1,200	-	100	1,300	
Sing Sing Correctional Facility.....	7,000	250	500	7,750	

STATE OF NEW YORK SUMMARY OF CASH ADVANCE ACCOUNTS BY BUSINESS UNIT AND DEPARTMENT FISCAL YEAR ENDED MARCH 31, 2020				SCHEDULE 33 (continued)	
BUSINESS UNIT				PETTY CASH	TOTAL ADVANCES
				TRAVEL	OTHER
Southport Correctional Facility.....				500	100
Sullivan Correctional Facility.....				2,000	100
Taconic Correctional Facility.....				3,000	700
Ulster Correctional Facility.....				600	1,000
Upstate Correctional Facility.....				1,600	500
Wallkill Correctional Facility.....				1,000	250
Washington Correctional Facility.....				2,000	200
Watertown Correctional Facility.....				1,000	500
Wende Correctional Facility.....				2,500	1,000
Willard Drug Treatment Center.....				200	300
Woodbourne Correctional Facility.....				1,300	100
Wyoming Correctional Facility.....				4,300	1,000
Criminal Justice Services, Division of.....				3,000	-
Education, State Department of:					
Batavia School for the Blind.....				4,000	-
Education, State Department of.....				3,000	297,000
Rome School for the Deaf.....				2,000	-
Elections, Board of.....				-	5,000
Employee Relations, Office of.....				2,000	-
Environmental Conservation, Department of.....				30,000	37,000
Financial Control Board for New York City.....				750	-
Financial Services, Department of.....				6,000	10,000
General Services, Office of:					
Business Service Center - General.....				25,000	-
General Services, Office of.....				20,000	3,300,000
General Services New York Power Authority Miscellaneous, Office of.....				-	6,000,000
Health, Department of:					
Health, Department of.....				14,950	7,000
Helen Hayes Hospital.....				20,000	-
New York State Veterans' Home at Montrose.....				15,000	-
New York State Veterans' Home at Oxford.....				13,000	1,200

STATE OF NEW YORK SUMMARY OF CASH ADVANCE ACCOUNTS BY BUSINESS UNIT AND DEPARTMENT FISCAL YEAR ENDED MARCH 31, 2020				SCHEDULE 33 (continued)	
BUSINESS UNIT				PETTY CASH	TOTAL ADVANCES
				TRAVEL	OTHER
New York State Veterans' Home at St Albans.....				15,000	-
Western New York Veterans' Home at Batavia.....				4,000	1,500
Homeland Security, Department of.....				-	15,000
Human Rights, Division of.....				4,500	-
Inspector General, Office of the State.....				1,500	30,000
Joint Commission on Public Ethics.....				500	-
Judicial Conduct.....				4,000	-
Justice Center for the Protection of People with Special Needs.....				2,000	-
Labor, Department of.....				28,000	-
Lake George Park Commission.....				2,000	-
Law, Department of:					
Attorney General, Office of the.....				30,050	212,949
Medicaid Fraud Control.....				8,000	40,000
Legislative Bill Drafting Commission.....				1,000	1,000
Legislature - Assembly:					
Legislative Task Force on Demographic Research and Reapportionment.....				250	-
New York State Assembly.....				18,750	4,000
Medicaid Inspector General, Office of.....				500	1,000
Mental Health, Office of:					
Brooklyn Children's Psychiatric Center.....				5,000	-
Bronx Children's Psychiatric Center.....				8,000	3,025
Bronx Psychiatric Center.....				5,000	19,020
Buffalo Psychiatric Center.....				45,500	30,020
Capital District Psychiatric Center.....				5,100	7,410
Central New York Psychiatric Center.....				5,550	5,225
Creedmoor Psychiatric Center.....				15,000	56,000
Elmira Psychiatric Center.....				11,000	6,350
Greater Binghamton Health Center.....				3,500	8,000
Kingsboro Psychiatric Center.....				21,793	27,545

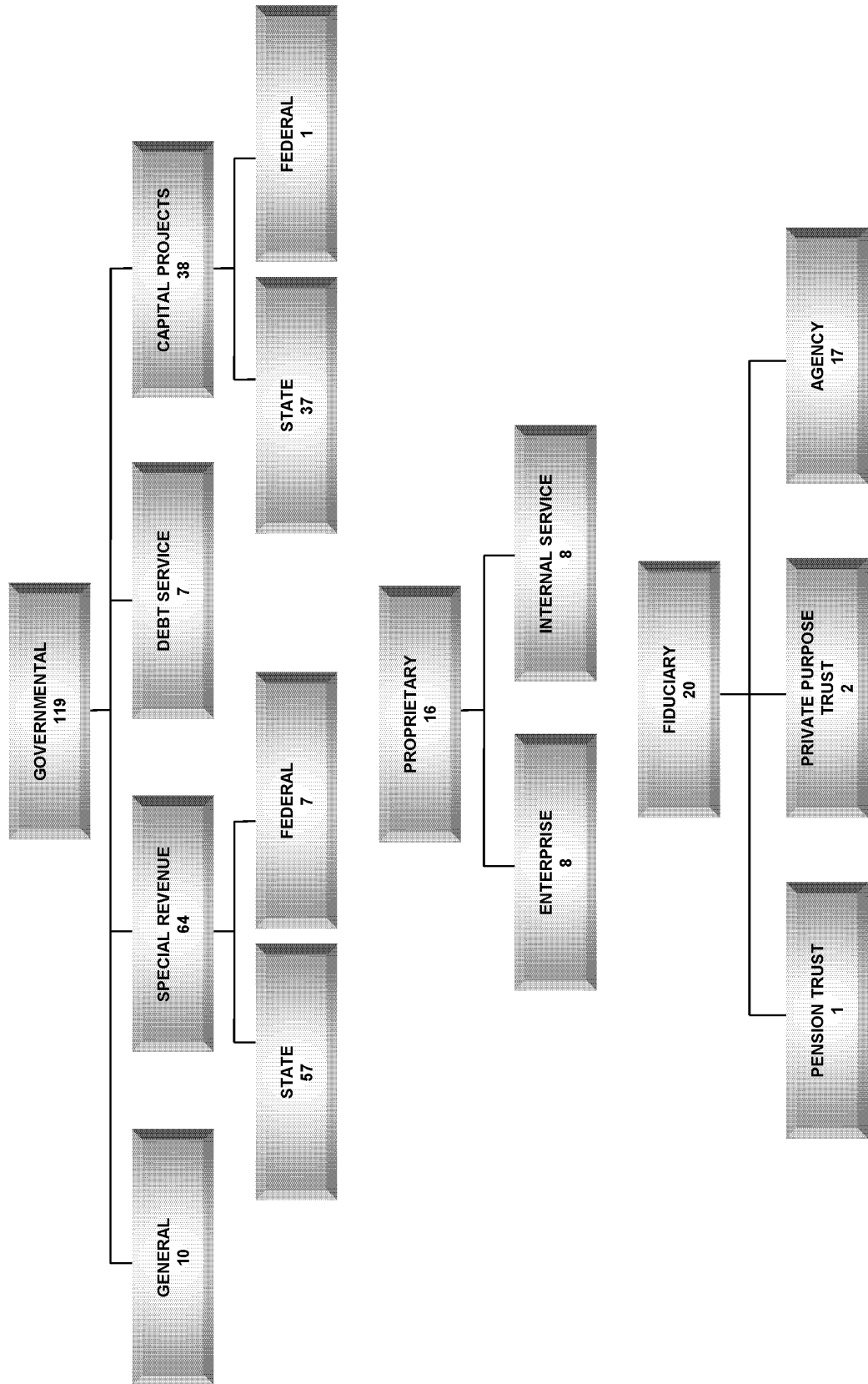
STATE OF NEW YORK SUMMARY OF CASH ADVANCE ACCOUNTS BY BUSINESS UNIT AND DEPARTMENT FISCAL YEAR ENDED MARCH 31, 2020				SCHEDULE 33 (continued)	
BUSINESS UNIT	PETTY CASH	TRAVEL	OTHER	TOTAL ADVANCES	
Kirby Forensic Psychiatric Center.....	18,600	-	-	18,600	
Manhattan Psychiatric Center.....	32,000	-	-	32,000	
Mental Health, Office of.....	19,500	-	-	19,500	
Mid-Hudson Forensic Psychiatric Center.....	16,925	-	-	16,925	
Mohawk Valley Psychiatric Center.....	6,000	-	11,000	17,000	
Nathan Kline Institute.....	1,300	-	-	1,300	
New York State Psychiatric Institute.....	2,000	-	-	2,000	
Pilgrim Psychiatric Center.....	5,000	-	60,000	65,000	
Queens Facility Wide.....	11,000	-	-	11,000	
Richard H. Hutchins Psychiatric Center.....	10,000	-	6,000	16,000	
Rochester Psychiatric Center.....	17,000	-	24,093	41,093	
Rockland Children's Psychiatric Center.....	19,200	-	800	20,000	
Rockland Psychiatric Center.....	35,000	-	41,500	76,500	
Sagamore Children's Psychiatric Center.....	3,500	-	2,275	5,775	
South Beach Psychiatric Center.....	9,000	-	18,000	27,000	
St. Lawrence Psychiatric Center.....	7,350	-	13,534	20,884	
Western New York Children's Psychiatric Center.....	2,000	-	1,325	3,325	
Military and Naval Affairs, Division of.....	20,000	-	-	20,000	
Motor Vehicles, Department of.....	440,790	1,000	28,000	469,790	
NYS Gaming Commission.....	1,000	-	-	1,000	
Parks, Recreation and Historic Preservation, Office of.....	40,000	-	273,090	313,090	
People With Developmental Disabilities, Office for:					
Bernard M Fineson DDSO.....	12,000	-	-	12,000	
Brooklyn DDSO.....	34,000	-	-	34,000	
Broome DDSO.....	45,675	-	1,450	47,125	
Capital District DDSO.....	50,000	-	-	50,000	
Central New York DDSO.....	40,000	-	-	40,000	
Finger Lakes DDSO.....	78,100	-	34,500	112,600	
Hudson Valley DDSO.....	40,302	-	-	40,302	
Institute for Basic Research in Developmental Disabilities.....	3,000	-	-	3,000	
Long Island DDSO.....	25,000	-	-	25,000	
Metro New York DDSO.....	38,505	-	-	38,505	
People With Developmental Disabilities, Office for.....	2,000	-	-	2,000	
Staten Island DDSO.....	19,000	-	-	19,000	

STATE OF NEW YORK SUMMARY OF CASH ADVANCE ACCOUNTS BY BUSINESS UNIT AND DEPARTMENT FISCAL YEAR ENDED MARCH 31, 2020				SCHEDULE 33 (continued)	
BUSINESS UNIT	PETTY CASH	TRAVEL	OTHER	TOTAL ADVANCES	
Summont DDSO.....	26,000	-	-	26,000	
Taconic DDSO.....	67,000	-	-	67,000	
Western New York DDSO.....	60,000	-	50,000	110,000	
Public Employment Relations Board.....	1,500	-	-	1,500	
Public Service, Department of.....	4,000	-	-	4,000	
State Comptroller, Office of the.....	9,400	15,650	-	25,050	
State Police, Division of:					
New York State Police - Troop A.....	1,000	-	14,500	15,500	
New York State Police - Troop B.....	1,000	-	12,000	13,000	
New York State Police - Troop C.....	1,000	-	14,500	15,500	
New York State Police - Troop D.....	1,000	-	14,500	15,500	
New York State Police - Troop E.....	1,000	-	14,500	15,500	
New York State Police - Troop F.....	1,000	-	12,000	13,000	
New York State Police - Troop G.....	1,000	-	20,000	21,000	
New York State Police - Troop K.....	1,000	-	12,000	13,000	
New York State Police - Troop L.....	-	-	8,000	8,000	
New York State Police - Troop New York City.....	-	-	500	500	
OCT-Response & Training Sect/HQ.....	-	-	4,000	4,000	
State Police, Division of.....	2,000	-	121,000	123,000	
State University of New York:					
Central Administration.....	-	-	2,500,000	2,500,000	
State, Department of:					
State, Department of.....	17,000	-	-	17,000	
Tug Hill Commission.....	1,750	-	-	1,750	
Taxation and Finance, Department of.....	15,000	-	30,000	45,000	
Temporary and Disability Assistance, Office of.....	10,000	-	-	10,000	
Transportation, Department of.....	30,000	23,100	-	53,100	

STATE OF NEW YORK SUMMARY OF CASH ADVANCE ACCOUNTS BY BUSINESS UNIT AND DEPARTMENT FISCAL YEAR ENDED MARCH 31, 2020				SCHEDULE 33 (continued)	
BUSINESS UNIT	PETTY CASH	TRAVEL	OTHER	TOTAL ADVANCES	
Unified Court System:					
Appellate Division - 1st Judicial Department.....	300	-	-	-	300
Court Administration Budget and Finance, Office of.....	2,500	-	-	-	2,500
Court Administration New York City, Office of.....	500	-	-	-	500
Court of Appeals.....	50	-	-	-	50
Lawyers' Fund for Client Protection.....	5,000	-	-	-	5,000
2nd Judicial District Kings County.....	900	-	-	-	900
4th District Administration.....	415	-	-	-	415
5th District Administration.....	655	-	-	-	655
6th District Administration.....	800	-	-	-	800
7th District Administration.....	450	-	-	-	450
8th District Administration.....	1,350	-	-	-	1,350
9th District Administration.....	900	-	-	-	900
10th Judicial District Nassau County.....	500	-	-	-	500
10th Judicial District Suffolk County.....	950	-	-	-	950
Victim Services, Office of.....	1,550	-	170,000	-	171,550
Welfare Inspector General, Office of.....	-	-	15,000	-	15,000
<b>Total of Cash Advance Accounts by Business Unit and Department.....</b>	<b>\$ 2,121,910</b>	<b>\$ 72,950</b>	<b>\$ 13,750,086</b>	<b>\$ 15,944,946</b>	

## APPENDIX

**STATE OF NEW YORK  
FUND STRUCTURE  
NUMBER OF MAJOR FUNDS PER FUND TYPE  
AS OF MARCH 31, 2020**





STATE OF NEW YORK  
FUND STRUCTURE AND LIST OF JOINT CUSTODY FUNDS  
AS OF MARCH 31, 2020

APPENDIX  
(continued)

FUND NUMBER	FUND NAME	FUND CLASSIFICATION	YEAR ESTABLISHED	AUTHORIZATION
10000-10049	Local Assistance Account	General	1981	State Finance Law, §72 (2a)
10050-10099	State Operations Account	General	1981	State Finance Law, §72 (2b)
10100-10149	Tax Stabilization Reserve	General	1984	State Finance Law, §92
10150-10199	Contingency Reserve	General	1993	Laws of 1993, Chapter 60, §41
10200-10249	Universal Pre-Kindergarten Reserve	General	2000	State Finance Law, §97-vv
10250-10299	Community Projects	General	1996	State Finance Law, §99-d
10300-10349	Rainy Day Reserve	General	2007	State Finance Law, §92-cc
10400-10449	Refund Reserve Account	General	1978	State Finance Law, §71 and Tax Law, §171-a
10500-10549	Fringe Benefit Escrow Account	General	1970	State Finance Law, §8-b and Civil Service Law, §168
10550-10599	Tobacco Revenue Guarantee	General	2003	State Finance Law, §97-cccc
20000-20099	Mental Health Gifts and Donations	Special Revenue - State	1984	Mental Hygiene Law, §7.29 and §13.29
20100-20199	Combined Expendable Trust	Special Revenue - State	1982	State Finance Law, §71 and State Finance Law, §11
20300-20349	New York Interest on Lawyer Account (IOLA)	Special Revenue - State	1983	State Finance Law, §97-v
20350-20399	New York State Archives Partnership Trust	Special Revenue - State	1992	Laws of 1992, Chapter 758, §7
20400-20449	Child Performer's Protection	Special Revenue - State	2003	State Finance Law, §99-j
20500-20549	Tuition Reimbursement	Special Revenue - State	1990	State Finance Law, §97-hh
20550-20599	Local Government Records Management Improvement	Special Revenue - State	1989	State Finance Law, §97-i
20600-20649	School Tax Relief	Special Revenue - State	1998	State Finance Law, §97-rr
20650-20699	Charter Schools Stimulus	Special Revenue - State	1999	State Finance Law, §97-ss
20800-20849	Not-For-Profit Short-Term Revolving Loan	Special Revenue - State	1991	State Finance Law, §97-jj
20850-20899	Health Care Reform Act Resources	Special Revenue - State	2000	State Finance Law, §92-dd
20900-20949	Dedicated Mass Transportation Trust	Special Revenue - State	1991	State Finance Law, §89-c
20950-20999	State Lottery	Special Revenue - State	1967	State Finance Law, §92-c
21000-21049	Combined Student Loan	Special Revenue - State	1982	State Finance Law, §71
21050-21149	Sewage Treatment Program Management and Administration	Special Revenue - State	1989	State Finance Law, §97-l
21150-21199	ENCON Special Revenue	Special Revenue - State	1992	State Finance Law, §71
21200-21249	Conservation	Special Revenue - State	1940	State Finance Law, §83
21250-21299	Environmental Protection and Oil Spill Compensation	Special Revenue - State	1978	Navigation Law, §179
21300-21349	Training and Education Program on Occupational Safety and Health	Special Revenue - State	1985	State Finance Law, §97-c and Labor Law, §887
21350-21399	Lawyers' Fund For Client Protection	Special Revenue - State	1981	State Finance Law, §97-t
21400-21449	Equipment Loan Fund for the Disabled	Special Revenue - State	1985	Social Services Law, §326-b
21450-21499	Mass Transportation Operating Assistance	Special Revenue - State	1981	State Finance Law, §88-a
21500-21549	Clean Air	Special Revenue - State	1993	State Finance Law, §97-oo
21550-21599	New York State Infrastructure Trust	Special Revenue - State	1987	State Finance Law, §88
21600-21649	Legislative Computer Services	Special Revenue - State	1984	State Finance Law, §97-uu
21650-21699	Biodiversity Stewardship and Research	Special Revenue - State	1993	State Finance Law, §97-oo
21700-21749	Combined Non-Expendable Trust	Special Revenue - State	1982	State Finance Law, §71
21750-21799	Winter Sports Education Trust	Special Revenue - State	1977	Education Law, §495-a
21850-21899	Musical Instrument Revolving	Special Revenue - State	1983	State Finance Law, §97-v
21900-22499	Arts Capital Revolving	Special Revenue - State	1987	State Finance Law, §97-z
	Miscellaneous State Special Revenue	Special Revenue - State	1982	State Finance Law, §71

APPENDIX  
(continued)STATE OF NEW YORK  
FUND STRUCTURE AND LIST OF JOINT CUSTODY FUNDS  
AS OF MARCH 31, 2020

FUND NUMBER	FUND NAME	FUND CLASSIFICATION	YEAR ESTABLISHED	AUTHORIZATION
22500-22549	Court Facilities Incentive Aid	Special Revenue - State	1987	State Finance Law, §94
22550-22599	Employment Training	Special Revenue - State	1983	State Finance Law, §71
22650-22699	State University Income	Special Revenue - State	1959	Education Law, §355 (4)
22700-22749	Chemical Dependence Service	Special Revenue - State	1984	State Finance Law, §97-w
22750-22799	Lake George Park Trust	Special Revenue - State	1987	State Finance Law, §97-h
22800-22849	State Police Motor Vehicle Law Enforcement and Motor Vehicle Theft and Insurance Fraud Prevention	Special Revenue - State	1992	State Finance Law, §89-d and §97-mm
22850-22899	New York Great Lakes Protection	Special Revenue - State	1990	State Finance Law, §97-ee
22900-22949	Federal Revenue Maximization Contract	Special Revenue - State	1996	State Finance Law, §97-fft
22950-22999	Housing Development	Special Revenue - State	1968	Private Housing Finance Law, §574
23000-23049	NYS DOT Highway Safety Program	Special Revenue - State	1990	State Finance Law, §90
23050-23099	Vocational Rehabilitation	Special Revenue - State	1969	State Finance Law, §97-lll
23100-23149	Drinking Water Program Management and Administration	Special Revenue - State	1997	State Finance Law, §97-ddd
23150-23199	New York City County Clerks' Operations Offset	Special Revenue - State	1992	State Finance Law, §94-a
23200-23249	Judiciary Data Processing Offset	Special Revenue - State	1992	State Finance Law, §94-b
23250-23299	City University Tuition Reimbursement (CUTRA)	Special Revenue - State	1995	State Finance Law, §71
23500-23549	US Olympic Committee/Lake Placid Olympic Training	Special Revenue - State	1995	State Finance Law, §84
23550-23599	Indigent Legal Services	Special Revenue - State	2003	State Finance Law, §98-b
23600-23649	Unemployment Insurance Interest and Penalty	Special Revenue - State	1952	Labor Law, §552
23650-23699	MTA Financial Assistance	Special Revenue - State	2009	State Finance Law, §92-ff
23700-23749	Commercial Gaming Revenue	Special Revenue - State	2013	State Finance Law, §97-nnnn
23750-23799	Medical Marihuana Trust	Special Revenue - State	2014	State Finance Law, §89-h
23800-23899	Dedicated Miscellaneous State Special Revenue	Special Revenue - State	2016	State Finance Law, §71
24850-24899	Health Care Transformation	Special Revenue - State	2018	State Finance Law, §92-hh
24900-24949	Charitable Gifts Trust	Special Revenue - State	2018	State Finance Law, §92-gg
24950-24999	Interactive Fantasy Sports	Special Revenue - State	2016	Racing, Pari-Mutuel Wagering and Breeding Law, Article 14
40350-40399	State University Dormitory Income	Special Revenue - State	1955	Education Law, §355 (8)
25000-25099	Federal USDA/Food and Nutrition Services	Special Revenue - Federal	1988	State Finance Law, §71
25100-25199	Federal Health and Human Services	Special Revenue - Federal	1972	State Finance Law, §71
25200-25249	Federal Education	Special Revenue - Federal	1998	State Finance Law, §71
25300-25399	Federal Miscellaneous Operating Grants	Special Revenue - Federal	1981	State Finance Law, §71
25900-25949	Unemployment Insurance Administration	Special Revenue - Federal	1936	Labor Law, §551, §552-a, §552-b and §530
25950-25999	Unemployment Insurance Occupational Training	Special Revenue - Federal	1967	State Finance Law, §71
26000-26049	Federal Employment and Training Grants	Special Revenue - Federal	1983	State Finance Law, §71
30000-30049	State Capital Projects	Capital Projects - State	1982	State Finance Law, §93
30050-30099	Dedicated Highway and Bridge Trust	Capital Projects - State	1991	State Finance Law, §89-b
30100-30299	State University Residence Halls Rehabilitation and Repair	Capital Projects - State	1991	State Finance Law, §71
30300-30349	New York State Canal System Development	Capital Projects - State	1992	State Finance Law, §92-u
30350-30399	State Park Infrastructure	Capital Projects - State	1993	State Finance Law, §97-mm
30400-30449	Passenger Facility Charge	Capital Projects - State	1993	State Finance Law, §90-a
30450-30499	Environmental Protection	Capital Projects - State	1993	State Finance Law, §92-s
30500-30549	Clean Water/Clean Air Implementation	Capital Projects - State	1997	State Finance Law, §97-eee

APPENDIX  
(continued)STATE OF NEW YORK  
FUND STRUCTURE AND LIST OF JOINT CUSTODY FUNDS  
AS OF MARCH 31, 2020

FUND NUMBER	FUND NAME	FUND CLASSIFICATION	YEAR ESTABLISHED	AUTHORIZATION
30600-30609	Energy Conservation Through Improved Transportation Bond	Capital Projects - State	1980	State Finance Law, §57 (5), §76 (2) and §76 (3)
30610-30619	Park and Recreation Land Acquisition Bond	Capital Projects - State	1960	State Finance Law, §57 (5)
30620-30629	Pure Waters Bond	Capital Projects - State	1965	State Finance Law, §57 (5)
30630-30639	Transportation Capital Facilities Bond	Capital Projects - State	1967	State Finance Law, §57 (5)
30640-30649	Environmental Quality Protection Bond Act (1972)	Capital Projects - State	1973	State Finance Law, §97-a
30650-30659	Rebuild and Renew New York Transportation Bond	Capital Projects - State	2005	State Finance Law, §97-eeee
30660-30669	Transportation Infrastructure Renewal Bond	Capital Projects - State	1983	State Finance Law, §74
30670-30679	Environmental Quality Bond Act (1986)	Capital Projects - State	1986	State Finance Law, §97-d
30680-30689	Accelerated Capacity and Transportation Improvements Bond	Capital Projects - State	1988	State Finance Law, §77
30690-30699	Clean Water/Clean Air Bond	Capital Projects - State	1996	State Finance Law, §97-aaa
30700-30709	State Housing Bond	Capital Projects - State	1939	State Finance Law, §60 (5)
30710-30719	Smart Schools Bond	Capital Projects - State	2014	State Finance Law, §97-oooo
30750-30799	Outdoor Recreation and Development Bond	Capital Projects - State	1965	State Finance Law, §57 (5)
30900-30949	Rail Preservation and Development Bond	Capital Projects - State	1974	State Finance Law, §76
31350-31449	Federal Capital Projects	Capital Projects - Federal	1982	State Finance Law, §71
31450-31499	Forest Preserve Expansion	Capital Projects - State	1958	State Finance Law, §97-e
31500-31549	Hazardous Waste Remedial	Capital Projects - State	1982	State Finance Law, §97-b
31650-31699	Suburban Transportation	Capital Projects - State	1987	State Finance Law, §88-b
31700-31749	Division For Youth Facilities Improvement	Capital Projects - State	1990	State Finance Law, §97-gg
31800-31849	Housing Assistance	Capital Projects - State	1988	State Finance Law, §92-q
31850-31899	Housing Program	Capital Projects - State	1990	State Finance Law, §71
31900-31949	Natural Resource Damages	Capital Projects - State	1994	State Finance Law, §71
31950-31999	Department of Transportation Engineering Services	Capital Projects - State	1989	State Finance Law, §71
32200-32249	Miscellaneous Capital Projects	Capital Projects - State	1988	State Finance Law, §71
32250-32299	City University of New York Capital Projects	Capital Projects - State	1987	State Finance Law, §71 and Education Law, §377
32300-32349	Mental Hygiene Facilities Capital Improvement	Capital Projects - State	1990	State Finance Law, §71
32350-32399	Correctional Facilities Capital Improvement	Capital Projects - State	1990	State Finance Law, §71
32400-32999	State University Capital Projects	Capital Projects - State	1977	State Finance Law, §71
33000-33049	New York State Storm Recovery	Capital Projects - State	2013	State Finance Law, §93-a
33050-33099	Dedicated Infrastructure Investment Fund	Capital Projects - State	2015	State Finance Law, §93-b
40000-40049	Debt Reduction Reserve	Debt Service	1998	State Finance Law, §97-rrr
40100-40149	Mental Health Services	Debt Service	1987	State Finance Law, §97-f
40150-40199	General Debt Service	Debt Service	1982	State Finance Law, §71, §72, §73, §92-h and §92-z
40250-40299	Housing Debt	Debt Service	1940	State Finance Law, §97
40300-40349	Department of Health Income	Debt Service	1971	Public Health Law, §409
40400-40449	Clean Water/Clean Air	Debt Service	1996	State Finance Law, §97-bbb
40450-40499	Local Government Assistance Tax	Debt Service	1990	State Finance Law, §92-r
50000-50049	Youth Commissary	Enterprise	1970	Executive Law, §517
50050-50099	State Exposition Special	Enterprise	1927	Agriculture and Markets Law, §31-c
50100-50299	Correctional Services Commissary	Enterprise	1948	Correction Law, §26
50300-50399	Agency Enterprise	Enterprise	1983	State Finance Law, §71
50400-50449	Sheltered Workshop	Enterprise	1984	State Finance Law, §71

STATE OF NEW YORK  
FUND STRUCTURE AND LIST OF JOINT CUSTODY FUNDS  
AS OF MARCH 31, 2020

APPENDIX  
(continued)

FUND NUMBER	FUND NAME	FUND CLASSIFICATION	YEAR ESTABLISHED	AUTHORIZATION
50450-50499	Patient Workshop	Enterprise	1984	State Finance Law, §71
50500-50599	Mental Hygiene Community Stores	Enterprise	1965	Mental Hygiene Law, §7 27(c) and §13.27(c)
50650-50699	Unemployment Insurance Benefit	Enterprise	1938	Labor Law, §550
55000-55049	Centralized Services	Internal Service	1964	State Finance Law, §97-g
55050-55099	Agency Internal Service	Internal Service	1983	State Finance Law, §71
55100-55149	Mental Hygiene Revolving	Internal Service	1953	State Finance Law, §71
55150-55199	Youth Vocational Education	Internal Service	1983	Executive Law, §513
55200-55249	Joint Labor and Management Administration	Internal Service	1986	State Finance Law, §71
55250-55299	Audit and Control Revolving	Internal Service	1982	State Finance Law, §71
55300-55349	Health Insurance Revolving	Internal Service	1982	State Finance Law, §71
55350-55399	Correctional Industries Revolving	Internal Service	1982	State Finance Law, §71
60050-60149	School Capital Facilities Financing Reserve	Agency	1988	Education Law, §407-a and §407-b
60150-60199	Child Performer's Holding	Agency	2004	State Finance Law, §99-k
60200-60249	Employees Health Insurance	Agency	1956	Civil Service Law, §167 (6)
60250-60299	Social Security Contribution	Agency	1953	Retirement and Social Security Law, §134 and §141
60300-60399	Employee Payroll Withholding	Agency	1982	State Finance Law, §71
60400-60449	Employees Dental Insurance	Agency	1971	State Finance Law, §71
60450-60499	Management Confidential Group Insurance	Agency	1971	State Finance Law, §71
60500-60549	Lottery Prize	Agency	1967	Tax Law, §1612
60550-60599	Health Insurance Reserve Receipts	Agency	1977	State Finance Law, §99-c (3)
60600-60799	Miscellaneous New York State Agency	Agency	1982	State Finance Law, §71
60800-60849	Elderly Pharmaceutical Insurance Coverage (EPIC) Escrow	Agency	1987	State Finance Law, §71
60850-60899	CUNY Senior College Operating	Agency	1977	Education Law, §6221
60900-60949	Medicaid Management Information System (MMIS) Escrow	Agency	1977	Social Services Law, §367-b
60950-60999	Special Education	Agency	1985	Civil Service Law, §154-b, §154-c, and Executive Law, §227-a (3)
61000-61099	State University of New York Revenue Collection	Agency	1976	State Finance Law, §71
61100-61999	State University Federal Direct Lending Program	Agency	1995	State Finance Law, §71
62000-62049	SSI SSP Payment	Agency	2014	State Finance Law, §71
65000-65049	Common Retirement Administration	Pension Trust	1967	Retirement and Social Security Law, §422
66000-66049	Agriculture Producers' Security	Private Purpose Trust	1992	Agriculture and Markets Law, §250
66050-66099	Milk Producers' Security	Private Purpose Trust	1987	Agriculture and Markets Law, §258-b (4)(a)

**STATE OF NEW YORK  
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DIVISION OF PAYROLL, ACCOUNTING AND REVENUE SERVICES**

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