REGISTER

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State agencies must specify in each notice which proposes a rule the last date on which they will accept public comment. Agencies must always accept public comment: for a minimum of 60 days following publication in the *Register* of a Notice of Proposed Rule Making, or a Notice of Emergency Adoption and Proposed Rule Making; and for 45 days after publication of a Notice of Revised Rule Making, or a Notice of Emergency Adoption and Revised Rule Making in the *Register*. When a public hearing is required by statute, the hearing cannot be held until 60 days after publication of the notice, and comments must be accepted for at least 5 days after the last required hearing. When the public comment period ends on a Saturday, Sunday or legal holiday, agencies must accept comment through the close of business on the next succeeding workday.

For notices published in this issue:

- the 60-day period expires on February 27, 2022
- the 45-day period expires on February 12, 2022
- the 30-day period expires on January 28, 2022

KATHY HOCHUL **GOVERNOR**

ROBERT J. RODRIGUEZ **ACTING SECRETARY OF STATE**

NEW YORK STATE DEPARTMENT OF STATE

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Be a part of the rule making process!

The public is encouraged to comment on any of the proposed rules appearing in this issue. Comments must be made in writing and must be submitted to the agency that is proposing the rule. Address your comments to the agency representative whose name and address are printed in the notice of rule making. No special form is required; a handwritten letter will do. Individuals who access the online *Register* (www.dos.ny.gov) may send public comment via electronic mail to those recipients who provide an e-mail address in Notices of Proposed Rule Making. This includes Proposed, Emergency Proposed, Revised Proposed and Emergency Revised Proposed rule makings.

To be considered, comments should reach the agency before expiration of the public comment period. The law provides for a minimum 60-day public comment period after publication in the *Register* of every Notice of Proposed Rule Making, and a 45-day public comment period for every Notice of Revised Rule Making. If a public hearing is required by statute, public comments are accepted for at least five days after the last such hearing. Agencies are also required to specify in each notice the last date on which they will accept public comment.

When a time frame calculation ends on a Saturday or Sunday, the agency accepts public comment through the following Monday; when calculation ends on a holiday, public comment will be accepted through the following workday. Agencies cannot take action to adopt until the day after expiration of the public comment period.

The Administrative Regulations Review Commission (ARRC) reviews newly proposed regulations to examine issues of compliance with legislative intent, impact on the economy, and impact on affected parties. In addition to sending comments or recommendations to the agency, please do not hesitate to transmit your views to ARRC:

Administrative Regulations Review Commission State Capitol Albany, NY 12247 Telephone: (518) 455-5091 or 455-2731

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KEY: (P) Proposal; (RP) Revised Proposal; (E) Emergency; (EP) Emergency and Proposal; (A) Adoption; (AA) Amended Adoption; (W) Withdrawal

Individuals may send public comment via electronic mail to those recipients who provided an e-mail address in Notices of Proposed Rule Making. This includes Proposed, Emergency Proposed, Revised Proposed and Emergency Revised Proposed rule makings. Choose pertinent issue of the *Register* and follow the procedures on the website (www.dos.ny.gov)

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AAM -the abbreviation to identify the adopting agency

of the *State Register* issue number

96 -the year

on the Department of State number, assigned upon

receipt of notice.

E -Emergency Rule Making—permanent action

not intended (This character could also be: A for Adoption; P for Proposed Rule Making; RP for Revised Rule Making; EP for a combined Emergency and Proposed Rule Making; EA for an Emergency Rule Making that is permanent

and does not expire 90 days after filing.)

Italics contained in text denote new material. Brackets indicate material to be deleted.

Department of Agriculture and Markets

EMERGENCY/PROPOSED RULE MAKING NO HEARING(S) SCHEDULED

Control of the Box Tree Moth (Cydalima Perspectalis)

I.D. No. AAM-52-21-00001-EP

Filing No. 1236

Filing Date: 2021-12-10 **Effective Date:** 2021-12-10

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following action:

Proposed Action: Addition of Part 143 to Title 1 NYCRR.

Statutory authority: Agriculture and Markets Law, sections 18, 164 and

167

Finding of necessity for emergency rule: Preservation of general welfare. Specific reasons underlying the finding of necessity: This proposed rule adopts a new Part 143 of 1 NYCRR to establish a quarantine to regulate the human assisted movement of the Box Tree Moth (Cydalima perspectalis) (Walker, 1859) (BTM), in areas with evidence of infestation, and in areas at high risk of infestation due to their proximity to the international border with the province of Ontario, Canada, where a BTM infestation exists. The regulations are necessary to balance pest risk against economic impacts and allow regulatory officials to assess the BTM population in New York State and ascertain if the provincial or federal government in Canada will mirror this BTM management approach. Absent action in Canada, the BTM is likely to be continually reintroduced into Western

New York, and destroy the Buxus species, a common plant used in the professional landscaping industry.

These regulations will also allow researchers to perfect integrated pest management techniques, such as sterile release of males and or identification of specific predators or parasitoids which reduce the population of BTM to a safer level. The immediate adoption of this rule is necessary to mitigate negative economic and ecological impacts that will result if BTM is allowed to spread further into New York State.

Subject: Control of the Box Tree Moth (Cydalima perspectalis).

Purpose: To help control the spread of the Box Tree Moth, which infests certain landscaping host plants, rendering them unmarketable.

Substance of emergency/proposed rule (Full text is posted at the following State website: https://agriculture.ny.gov/plant-industry/express-terms-box-tree-moth): This regulation adds a new Part 143 to 1 NYCRR, establishing controls to help prevent the spread in New York of the Box Tree Moth, an insect nonindigenous to the United States, which infests the Buxus plant species (host material), rendering it non-viable and unmarketable.

A summary of each section of the rule follows:

Section 143.1: Definitions. This is the definition section.

Section 143.2: Establishment and amendment of regulated and quarantine area map. This section establishes the quarantined areas by map and narrative description and requires that any change to the quarantine area must be done by regulation.

Section 143.3: Movement of regulated articles within regulated and quarantine areas. This section sets forth the parameters for movement of the Buxus host plant within the regulated and quarantine areas.

Section 143.4: Restrictions on intrastate movement of regulated articles originating within or traveling through regulated or quarantine areas. This section prohibits any person from moving the regulated articles from the regulated or quarantine areas to or through to any point outside the regulated or quarantine areas, unless accompanied by a compliance agreement, valid limited permit, certificate of inspection, administrative instructions from the Commissioner of the Department authorizing such movement, or for experimental or scientific purposes. This section also provides that any host material from outside the regulated or quarantine areas may be moved through the regulated or quarantine areas, provided the points of origin and destination are set forth on the waybill, or the host material is being moved directly through the regulated area without stops, except for refueling and traffic conditions.

Section 143.5: Conditions governing compliance agreements for movement of regulated articles out of regulated or quarantine areas. This section addresses conditions governing compliance agreements for movement of the host material out of the regulated or quarantine areas. It provides that persons moving host material intrastate may apply for a compliance agreement with the Department, which would eliminate the requirement of inspections prior to each movement of host material.

Section 143.6: Conditions governing limited permits and certificates of inspection for movement of regulated articles out of regulated or quarantine areas. This section sets forth conditions governing limited permits and certificates of inspection for the movement of host material out of quarantine or regulated areas. With a limited permit, host materials can be moved from the regulated or quarantine areas. An inspector or an authorized holder of a compliance agreement may issue a limited permit if the host material has been treated using approved control measures; and the host material is otherwise eligible for unrestricted movement under all other applicable state plant quarantines and regulations. An inspector may issue a certificate of inspection if such inspector has determined the host material to be free of infestation; or treated by approved control methods.

Section 143.7: Exemptions. This section authorizes the intrastate movement of host material for experimental or scientific purposes, provided the Department's conditions and safeguards are met. Additionally, the container holding the host material shall bear an identification tag issued by the Department, showing compliance with the conditions and

safeguards. This section also allows for the application for a Commissioner Administrative Exemption to allow for the one-time movement of host material outside the quarantine area.

Section 143.8. Assembly of Regulated Articles for Inspection. All persons intending to conduct intrastate movement of a regulated article, unless subject to an applicable compliance agreement, limited permit, certificate of inspection, or applicable exemption, shall make an application for inspection as far in advance as possible to permit the movement of host material outside the quarantine area.

Section 143.9: Marking Requirements. Every container of host material intended for intrastate movement shall be plainly marked with the name and address of the consignor and the name and address of the consignee, when offered for shipment, and shall have securely attached to the outside thereof a valid certificate (or limited permit) issued in compliance with this Part.

Section 143.10. Inspection and disposition of shipments. Any vehicle or other conveyance may be subject to an inspection by an inspector. Inspector may take any such action deemed necessary to eliminate potential dissemination of infested articles.

Section 143.11: Other laws and regulations; interstate movement of regulated articles. Limits the applicability of this Part to the intrastate movement of host material. The interstate movement of host material must comply with applicable federal laws and regulations.

Section 143.12: Effective date. This regulation shall take effect immediately.

This notice is intended: to serve as both a notice of emergency adoption and a notice of proposed rule making. The emergency rule will expire March 9, 2022.

Text of rule and any required statements and analyses may be obtained from: Christopher Logue, Director, Division of Plant Industry, Department of Agriculture and Markets, 10B Airline Drive, Albany, New York 12235, (518) 457-2087, email: christopher.logue@ agriculture.ny.gov

Data, views or arguments may be submitted to: Same as above.

Public comment will be received until: 60 days after publication of this notice

This rule was not under consideration at the time this agency submitted its Regulatory Agenda for publication in the Register.

Regulatory Impact Statement

1. Statutory authority:

Section 18 of the Agriculture and Markets Law provides, in part, that the Commissioner may enact, amend and repeal necessary rules which shall provide generally for the exercise of the powers and performance of the duties of the Department as prescribed in the Agriculture and Markets Law and the laws of the State, and for the enforcement of their provisions and the provisions of the rules that have been enacted to implement these

Section 164 of the Agriculture and Markets Law provides, in part, that the Commissioner shall take such action as he may deem necessary to control or eradicate any injurious insects, noxious weeds, or plant diseases existing within the State.

Section 167 of the Agriculture and Markets Law provides, in part, that the Commissioner is authorized to make, issue, promulgate and enforce such order, by way of quarantines or otherwise, as he may deem necessary or fitting to carry out the purposes of Article 14 of said Law. Section 167 also provides that the Commissioner may adopt and promulgate such rules and regulations to supplement and give full effect to the provisions of Article 14 of the Agriculture and Markets Law.

2. Legislative objectives:

These proposed regulations are consistent with the public policy objectives the Legislature sought to advance by enacting the statutory authority, namely, preventing the spread within the State of an injurious insect, such as the Box Tree Moth (hereinafter "BTM").

3. Needs and benefits:

The BTM (Cydalima perspectalis) (Walker, 1859), an insect species nonindigenous to the United States, is native to eastern Asia, including Japan, China, the Russian Far East, Korea, and India. This insect was introduced into Ontario from Europe in 2018. In 2021, 19 states received shipments of nursery stock from Canada which were exposed to BTM. In August 2021, BTM adults were found in traps placed in high-risk locations in Niagara County near the international border with Ontario, Canada. Traps have yielded adults throughout Niagara County and multiple life stages of BTM have been observed on residential properties via visual survey and public reporting. The Department and USDA have agreed that placing quarantine restrictions on Erie, Niagara and Orleans County will allow the agencies to do a thorough assessment of the population of BTM, allow for a reasonable buffer zone to be developed and maintained and develop treatment and control options. BTM eggs are pale yellow and are laid on the leaves. BTM caterpillars are green and yellow with white, yellow and black stripes and black spots. The caterpillars infest and feed on the underside of the boxwood leaves and weave the leaves together. Once the leaves are gone, larvae consume the stems and bark, leading to girdling and plant death. The pupae are often found among the woven, damaged leaves. The adult BTM is generally white, with a brown border around the wings. About 5-10% of the adult moths are entirely brown with white commas on the forewings. BTM were introduced into Germany in 2006 and have subsequently spread throughout Europe. In North America, BTM was first detected in Toronto, Canada in November 2018. High risk areas for the BTM spread in western New York have been monitored throughout 2020. In July 2021, the first adult BTM was collected from a trap in Lewiston, New York. Subsequent visual surveys documented a population of BTM on a residential property in Youngstown, New York. The trap catches in New York are likely natural range expansion from the infested area in Ontario, Canada.

Buxus nursery stock, cut Buxus greens and trimmings or fallen leaves are capable of harboring BTM living life stages, and are the most likely manner in which BTM has spread long distances. Buxus production is currently valued nationally at \$141 million dollars per year, and damage to the species attributable to BTM has been observed in New York. There are no native Buxus species growing in the wild in North America, therefore, the host plant material is restricted to maintained landscapes and nurseries where Buxus is propagated and grown. Due to the lack of wild Buxus species, BTM is capable of more effective regulatory control than some other invasive species.

This proposed rule adopts a new Part 143 of 1 NYCRR to establish a quarantine to regulate the human assisted movement of the BTM, in areas with evidence of BTM infestation, and in areas at high risk of infestation due to their proximity to the international border with the province of Ontario, Canada (Erie, Niagara, and Orleans). The regulations are necessary to balance pest risk against economic impacts and allow regulatory officials to assess the population in New York State and ascertain if the provincial or federal government in Canada will mirror this BTM management approach. Absent action in Canada, BTM is likely to be continually reintroduced into Western New York, and destroy the Buxus species. These regulations will also allow researchers to perfect integrated pest management techniques for BTM, such as sterile release of males and or identification of specific predators or parasitoids which reduce the population of BTM to a safer level. The immediate adoption of this rule is necessary to mitigate negative economic and ecological impacts that will result if BTM is allowed to spread further into New York State.

4 Costs:

(a) Costs to regulated parties for the implementation of and continuing compliance with the rule: Regulated parties exporting regulated articles, other than pursuant to compliance agreements, would require an inspection of the materials, reviewing shipment records and issuing a federal or state certificate of inspection. These services are available at a rate of \$25 per hour. Most inspections will take one hour or less. However, most shipments would be made pursuant to compliance agreements, for which there is no charge. A regulated plant grower or dealer may incur sales losses due to geographic market limitations. A grower or dealer who chooses to premptively destroy Buxus stock may incur product losses and disposal costs. There are other landscape plants which serve a similar purpose and have similar appearance that are suitable replacements for Buxus.

There is currently no approved protocol for the treatment of and certification of regulated Buxus to leave the quarantine. Regulated parties may choose to stop the sale of Buxus nursery stock or delay planting Buxus until such time as an approved treatment is available. However, the proposed rule contemplates relaxing the regulatory restriction on the movement of host plants once one is available.

Residential and commercial property owners with Buxus plants in their landscapes will incur the cost of treatment or removal of Buxus. The costs associated with treatments and removals are dependent on the size and number of the specimen.

Landscape maintenance businesses will have the option of leaving host materials within the quarantine area, chipping the material, or transporting the material to an approved disposal site within the quarantine area.

(b) Costs to the agency, the state and local governments for the establishment and implementation of the rule: Local governments within the quarantine area will not incur any additional expenses due to the quarantine, other than incidental costs related to property maintenance. While some local governments may face incidental expenses in landscape maintenance since Buxus plants are relatively common in residential and commercial landscapes, the rule neither requires local governments to remove the Buxus plants from the quarantine area nor contemplates any regulatory actions to be undertaken by local governments. There are no costs to the agency or state governments other than the cost of providing an inspector, as described in the regulations.

(c) The information, including the sources of such information and the methodology upon which the cost analysis is based: The costs analysis set forth above is based upon observations of the industry.

5. Local government mandates:

These proposed rules will not impose any program, service, duty, additional costs, or responsibility on any county, city town, village school district, fire district, or other special district.

6. Paperwork:

Plant growers and dealers within the quarantine area desiring to continue the production or sale of Buxus nursery stock will be required to enter into compliance agreements with state and federal authorities to grow, sell, ship, and move regulated articles (e.g. Buxus nursery stock, cut Buxus used for decorative purposes) within the quarantine area.

7. Duplication:

These proposed rules do not duplicate existing State or federal requirements.

8. Alternatives:

The alternative of no action was considered. However, this option is not feasible, given the threat BTM poses to the boxwood component of the nation's \$141 million nursery and landscape industries. The establishment of a larger quarantine area consisting of additional counties, including a state-wide quarantine, was considered. This option was rejected, as it would regulate businesses in areas without evidence of infestation, based on available survey data. Accordingly, by limiting the BTM quarantine to areas at highest risk of natural spread from Canada, the rule minimizes economic impacts while maintaining, and without compromising, efforts to slow the human assisted spread of BTM.

9. Federal standards:

The state quarantine and regulations are harmonized with the proposed federal rule.

10. Compliance schedule:

It is anticipated that regulated parties would be able to comply with the regulations immediately.

Regulatory Flexibility Analysis

1. Effect of rule:

This proposed rule adopts a new Part 143 of 1 NYCRR to establish a quarantine to regulate the human assisted movement of the BTM, in areas with evidence of BTM infestation, and in areas at high risk of infestation due to their proximity to the international border with the province of Ontario, Canada (Erie, Niagara, and Orleans).

There are 368 registered nursery growers and 498 registered plant dealers in the newly established quarantine areas. However, only a small fraction of these businesses are believed to handle or sell the Buxus plant. Current registration and inspection data indicates that 61 growers and 52 dealers have recently grown or sold Buxus. The rule may also impact landscape contractors and maintenance firms, which are not required to register with the Department.

There is currently no approved protocol for the treatment of and certification of regulated Buxus to leave the quarantine. Regulated parties may choose to stop the sale of Buxus nursery stock or delay planting Buxus until such time as an approved treatment is available. Nevertheless, the proposed rule provides the flexibility to permit the relaxation of the regulatory restriction on the movement of host plants once a treatment becomes available.

Local governments within the quarantine area will not incur any additional expenses due to the quarantine, other than incidental costs related to property maintenance. While some local governments may face incidental expenses in landscape maintenance since Buxus plants are relatively common in residential and commercial landscapes, the rule neither requires local governments to remove the Buxus plants from the quarantine area nor contemplates any regulatory actions to be undertaken by local governments.

2. Compliance requirements:

Plant growers and dealers within the quarantine area desiring to continue the production or sale of Buxus nursery stock will be required to enter into compliance agreements with state and federal authorities to grow, sell, ship, and move regulated articles (e.g. Buxus nursery stock, cut Buxus used for decorative purposes) within the quarantine area.

There is currently no approved protocol for the treatment of and certification of regulated Buxus to leave the quarantine areas. Currently, the proposed regulation allows regulated parties to stop the sale of Buxus nursery stock or delay planting Buxus until such time as an approved treatment is available. The proposed rule provides the flexibility to permit the relaxation of the restriction on the movement of host plants once a treatment becomes available.

3. Professional services: There are no additional professional services required as a result of this regulation.

4. Compliance costs:

(a) Initial capital costs that will be incurred by a regulated business or industry or local government to comply with the proposed rule: Small businesses and local governments exporting regulated articles, other than pursuant to compliance agreements, would require an inspection of the materials, reviewing shipment records and issuing a federal or state certif-

icate of inspection. These services are available at a rate of \$25 per hour. Most inspections will take one hour or less. However, most shipments would be made pursuant to compliance agreements, for which there is no charge.

A regulated plant grower or dealer may incur sales losses due to geographic market limitations. A grower or dealer who chooses to preemptively destroy Buxus stock may incur product losses and disposal costs. There are other landscape plants which serve a similar purpose and have similar appearance that are suitable replacements for Buxus.

There is currently no approved protocol for the treatment of and certification of regulated Buxus to leave the quarantine. Regulated parties may choose to stop the sale of Buxus nursery stock or delay planting Buxus until such time as an approved treatment is available. However, the proposed rule contemplates relaxing the regulatory restriction on the movement of host plants once one is available.

Residential and commercial property owners with Buxus plants in their landscapes will incur the cost of treatment or removal of Buxus. The costs associated with treatments and removals are dependent on the size and number of the specimen.

Landscape maintenance businesses will have option of leaving host materials within the quarantine area, chipping the material, or transporting the material to an approved disposal site within the quarantine area.

(b) Annual cost for continuing compliance with the proposed rule: It is anticipated that the rule will have no annual cost for continuing compliance other than those described above.

5. Economic and technological feasibility:

The economic and technological feasibility of compliance with the proposed rule by small businesses and local governments has been addressed. The basis for this determination is that the Department will provide regulated parties with any paperwork required under this rule and will not compel regulated parties to utilize any specialized technology.

6. Minimizing adverse impact:

The proposed rule targets only the infested county (Niagara County) and two additional contiguous high-risk counties. The establishment of a larger quarantine area consisting of additional counties, including a state-wide quarantine, was considered. This option was rejected, as it would regulate businesses in areas without evidence of infestation, based on available survey data. Accordingly, by limiting the BTM quarantine to areas at highest risk of natural spread from Canada, the rule minimizes economic impacts while maintaining, and without compromising, efforts to slow the human assisted spread of BTM.

The Department has also sought to minimize adverse impact of the BTM quarantine through compliance agreements between the Department and regulated parties in rural areas and limited permits, which allow the shipment of regulated articles without state or federal inspection and for which there is no charge. The proposed rule also contemplates relaxing the regulatory restriction on the movement of host plants once an approved treatment is available. Regulated parties may choose to stop the sale of Buxus nursery stock or delay planting Buxus until such time as an approved treatment is available. Given all of the facts and circumstances, the regulations minimize adverse economic impact as much as is currently possible.

7. Small business and local government participation:

Department officials have been monitoring BTM in high-risk establishments in the quarantine for two years, including the trapping and surveying for BTM in high-risk locations in western New York. The Department has communicated with nursery growers, including small business owners, regarding the risk that the BTM poses to producers in the region. The Department will continue to work with regulated parties to further minimize any identified impacts and work with regulated parties to pursue treatment options that would permit the movement of regulated articles more easily in the future. The Department also plans to continue to keep stakeholder groups informed concerning this proposed rule and will incorporate information about this rule into industry and trade meetings over the winter months.

Rural Area Flexibility Analysis

1. Types and estimated numbers of rural areas:

There are 368 registered nursery growers and 498 registered plant dealers in the newly established quarantine areas. However, only a small fraction of these businesses are believed to handle or sell the Buxus plant. Current registration and inspection data indicates that 61 growers and 52 dealers have recently grown or sold Buxus. It is estimated that a large majority of these growers and dealers are located within rural areas, consisting of approximately 80% of all growers and dealers within the quarantine area. The rule may also impact landscape contractors and maintenance firms, which are not required to register with the Department.

2. Reporting, recordkeeping and other compliance requirements; and professional services:

Plant growers and dealers within the quarantine area desiring to continue the production or sale of Buxus nursery stock will be required to

enter into compliance agreements with state and federal authorities to grow, sell, ship, and move regulated articles (e.g. Buxus nursery stock, cut Buxus used for decorative purposes) within the quarantine area.

There is currently no approved protocol for the treatment of and certification of regulated Buxus to leave the quarantine areas. Currently, the proposed regulation allows regulated parties to stop the sale of Buxus nursery stock or delay planting Buxus until such time as an approved treatment is available. The proposed rule provides the flexibility to permit the relaxation of the restriction on the movement of host plants once a treatment becomes available.

3 Costs:

Regulated parties in rural areas exporting regulated articles, other than pursuant to compliance agreements, would require an inspection of the materials, reviewing shipment records and issuing a federal or state certificate of inspection. These services are available at a rate of \$25 per hour. Most inspections will take one hour or less. However, most shipments would be made pursuant to compliance agreements, for which there is no charge. A regulated plant grower or dealer may incur sales losses due to geographic market limitations. A grower or dealer who chooses to preemptively destroy Buxus stock may incur product losses and disposal costs. There are other landscape plants which serve a similar purpose and have similar appearance that are suitable replacements for Buxus.

There is currently no approved protocol for the treatment of and certification of regulated Buxus to leave the quarantine. Regulated parties may choose to stop the sale of Buxus nursery stock or delay planting Buxus until such time as an approved treatment is available. However, the proposed rule contemplates relaxing the regulatory restriction on the movement of host plants once one is available.

4. Minimizing adverse impact:

The proposed rule targets only the infested county (Niagara County) and two additional contiguous high-risk counties. The establishment of a larger quarantine area consisting of additional counties, including a state-wide quarantine, was considered. This option was rejected, as it would regulate businesses in areas without evidence of infestation, based on available survey data. Accordingly, by limiting the BTM quarantine to areas at highest risk of natural spread from Canada, the rule minimizes economic impacts while maintaining, and without compromising, efforts to slow the human assisted spread of BTM.

The Department has also sought to minimize adverse impact of the BTM quarantine through compliance agreements between the Department and regulated parties in rural areas and limited permits, which allow the shipment of regulated articles without state or federal inspection and for which there is no charge. The proposed rule also contemplates relaxing the regulatory restriction on the movement of host plants once an approved treatment is available. Regulated parties may choose to stop the sale of Buxus nursery stock or delay planting Buxus until such time as an approved treatment is available. Given all of the facts and circumstances, the regulations minimize adverse economic impact as much as is currently possible.

5. Rural area participation:

Department officials have been monitoring BTM in high-risk establishments in the quarantine for two years, including the trapping and surveying for BTM in high-risk locations in western New York. The Department has communicated with nursery growers, including those in rural areas regarding the risk that the BTM poses to producers in the region. The Department will continue to work with regulated parties to further minimize any identified impacts and work with regulated parties to pursue treatment options that would permit the movement of regulated articles more easily in the future. The Department also plans to continue to keep stakeholder groups informed concerning this proposed rule and will incorporate information about this rule into industry and trade meetings over the winter months.

Job Impact Statement

This rule establishes the Box Tree Moth (BTM) quarantine in Niagara, Erie and Orleans counties, necessary to prevent the further establishment and spread of BTM into New York State. There are 368 registered nursery growers and 498 registered plant dealers in the newly established quarantine areas. However, only a small fraction of these businesses are believed to handle or sell the Buxus plant. Current registration and inspection data indicates that 61 growers and 52 dealers have recently grown or sold Buxus. The rule may also impact landscape contractors and maintenance firms, which are not required to register with the Department. The Department has limited the quarantine area to the maximum extent possible to avoid any potential adverse effect arising from this regulation.

Implementation of the BTM quarantine is designed to prevent the further spread of this pest to other parts of the State, thereby helping to prevent adverse economic consequences to those areas by preserving jobs and employment opportunities associated with the production and sale of the Buxus plant within New York's nursery and landscape industry.

Office of Alcoholism and Substance Abuse Services

EMERGENCY/PROPOSED RULE MAKING NO HEARING(S) SCHEDULED

Masking Requirements in All OASAS Certified/Funded/ Otherwise Authorized Settings

I.D. No. ASA-52-21-00005-EP

Filing No. 1242

Filing Date: 2021-12-14 **Effective Date:** 2021-12-14

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following action:

Proposed Action: Addition of Part 808 to Title 14 NYCRR.

Statutory authority: Mental Hygiene Law, sections 19.07(c), (e), 19.09(b), 19.21(b), 32.01, 32.07(a) and 32.21

Finding of necessity for emergency rule: Preservation of public health.

Specific reasons underlying the finding of necessity: The proposed emergency rule adds new Part 808 to require masks to be worn in all OASAS Certified, Funded, or otherwise authorized settings for staff, program participants and visitors. Such amendments are consistent with Centers for Disease Control and Prevention Guidance which was created to protect the health, safety and welfare of individuals and prevent further transmission and long-term illness or fatality from SARS-CoV-2 (COVID-19) pandemic. The addition of this rule is necessary to preserve public health and prevent further transmission of COVID-19 to all staff and patients in OASAS settings, as the pandemic continues to present an immediate threat. OASAS originally submitted this rule in September 2021 as an emergency rulemaking, which can only be extended for periods of time but not made permanent. At this time, given the continued national public health emergency and the rising number of COVID-19 cases in New York State, OASAS is submitted the rule as an emergency adoption and proposed rulemaking so that the rule can become final after receipt and assessment of public comment.

Subject: Masking requirements in all OASAS certified/funded/otherwise authorized settings.

Purpose: To prevent the ongoing threat to public health of the spread of COVID-19 in OASAS settings.

Text of emergency/proposed rule: Part 808

COVID-19 Mask Wearing Requirements in Certified, Funded or Otherwise Authorized Settings

Section 808.1 Background and Intent

(a) The purpose of this Part is to provide a healthy environment for staff, patients, volunteers and visitors in combatting the SARS-CoV-2 (COVID-19) pandemic in New York State in certified, funded or otherwise authorized entities by mandating consistent with Office and Centers for Disease Control and Prevention Guidance.

Section 808.2 Legal Basis

(a) Section 19.07(e) of the Mental Hygiene Law authorizes the Commissioner of the Office of Addiction Services and Supports ("the Commissioner") to adopt standards including necessary rules and regulations pertaining to substance use disorder services.

(b) Section 19.07(c) of the Mental Hygiene Law charges the Office with the responsibility for seeing that persons in need of treatment for substance use disorder services and their families receive high quality care and treatment, and that the personal and civil rights of persons receiving care, treatment and rehabilitation are adequately protected.

(c) Section 19.09(b) of the Mental Hygiene Law authorizes the Commissioner to adopt regulations necessary and proper to implement any matter under his or her jurisdiction.

(d) Section 19.21(b) of the Mental Hygiene Law requires the Commissioner to establish and enforce certification, inspection, licensing, and treatment standards for substance use disorder treatment facilities.

(e) Section 32.01 of the Mental Hygiene Law authorizes the Commissioner to adopt any regulation reasonably necessary to implement and effectively exercise the powers and perform the duties conferred by Article 32 of the Mental Hygiene Law.

(f) Section 32.07(a) of the Mental Hygiene Law authorizes the Commis-

sioner to adopt regulations to effectuate the provisions and purposes of Article 32 of the Mental Hygiene Law.

(g) Section 32.21 of the Mental Hygiene Law authorizes the Commissioner to suspend, revoke, or limit an operating certificate and impose fines where a provider has failed to comply with the terms of its operating certificate or with the provisions of any applicable statute, rule, or regulation.

Section 808.3 Applicability

- (a) This Part shall apply to any program organized and operating pursuant to the provisions of this Title and certified, funded, or otherwise authorized by the Office as a provider of substance use disorder or gambling prevention, treatment or recovery services, including but not limited to the following:
- (1) Title 14 NYCRR Part 343 Alcohol Awareness Education Program for Youth;
- (2) Title 14 NYCRR Part 816 Substance Use Disorder Withdrawal and Stabilization Services, including Medically Supervised Outpatient Withdrawal and Stabilization Services;
- (3) Title 14 NYCRR Part 817 Substance Use Disorder Residential Rehabilitation Services For Youth (RRSY);
- (4) Title 14 NYCRR Part 818 Substance Use Disorder Inpatient Rehabilitation Services;
- (5) Title 14 NYCRR Part 819 Chemical Dependence Residential Services, except for supportive living services provided in scatter sites;
- (6) Tîtle 14 NŶCRR Part 820 Residential Services, except those services provided in non-congregate settings;
 - (7) Title 14 NYCRR Part 822 Outpatient Treatment Services;
- (8) Title 14 NYCRR Part 823 Child and Family Treatment and Support Services;
 - (9) Title 14 NYCRR Part 825 Integrated Outpatient Services;
 - (10) Title 14 NYCRR Part 1030.3 Drug-free prevention services;
- (11) Services which are not certified but are funded or otherwise authorized by the Office.

- Section 808.4 Definitions
 (a) "Mask" means a face covering that fits snugly and covers both the
- (b) "Staff" shall include the director, employee or volunteer of a facility or provider agency, contract staff, students, or those who engage in activities that if they were to be infected with COVID-19 they would potentially expose patients to the disease.

Section 808.5 Masking Requirements

- (a) Effective immediately, all Programs shall have policies and procedure in place to ensure all staff, visitors and individuals receiving services regardless of vaccination status wear appropriate masks, consistent with any directives issued by the Office and consistent with guidance from the Centers for Disease Control and Prevention (CDC).
- (b) Exemptions from mask wearing requirements for staff, individuals receiving services, and visitors.
 - (1) Masks are not required to be worn by:
 - (i) Children under two years of age
- (ii) A person with a disability who cannot wear a mask, or cannot safely wear a mask, for reasons related to the disability, or
- (iii) A person for whom wearing a mask would create a risk to workplace health, safety, or job duty as determined by an Occupational Health and Safety Administration workplace risk assessment.
- (2) Where a mask cannot be worn due to disability or a risk to workplace health, safety or job duty:
 - i. a face shield should be used as an alternative whenever practical.
- ii. Any exemption from mask wearing must be based upon proof of disability or risk which is retained in a distinct recordkeeping system to assist with monitoring compliance.

Section 808.6 Penalties

(a) Failure to comply with the requirements of this regulation may result in limitation to a provider's operating certification, including suspension or revocation, and the imposition of fines for each violation in accordance with Mental Hygiene Law Section 32.21.

Section 808.7 Severability.

If any provision of this Part or the application thereof to any person or circumstance is held invalid, such invalidity shall not affect other provision or applications of this Part which can be given effect without the invalid provision or applications, and to this end the provisions of this Part are declared to be severable.

This notice is intended: to serve as both a notice of emergency adoption and a notice of proposed rule making. The emergency rule will expire

Text of rule and any required statements and analyses may be obtained from: Kelly E Grace, Esq, OASAS, 1450 Western Ave, Albany NY 12203, (518) 366-7958, email: Kelly.Grace@oasas.ny.gov

Data, views or arguments may be submitted to: Same as above.

Public comment will be received until: 60 days after publication of this

Regulatory Impact Statement

. Statutory Authority:

(a) Section 19.07(e) of the Mental Hygiene Law authorizes the Commissioner of the Office of Addiction Services and Supports ("the Commissioner") to adopt standards including necessary rules and regulations pertaining to substance use disorder services.

(b) Section 19.07(c) of the Mental Hygiene Law charges the Office with the responsibility for seeing that persons in need of treatment for substance use disorder services and their families receive high quality care and treatment, and that the personal and civil rights of persons receiving care, treat-

ment and rehabilitation are adequately protected.

(c) Section 19.09(b) of the Mental Hygiene Law authorizes the Commissioner to adopt regulations necessary and proper to implement any

matter under his or her jurisdiction.

(d) Section 19.21(b) of the Mental Hygiene Law requires the Commissioner to establish and enforce certification, inspection, licensing, and treatment standards for substance use disorder treatment facilities

(e) Section 32.01 of the Mental Hygiene Law authorizes the Commissioner to adopt any regulation reasonably necessary to implement and effectively exercise the powers and perform the duties conferred by Article

32 of the Mental Hygiene Law.
(f) Section 32.07(a) of the Mental Hygiene Law authorizes the Commissioner to adopt regulations to effectuate the provisions and purposes of Article 32 of the Mental Hygiene Law.

(g) Section 32.21 of the Mental Hygiene Law authorizes the Commissioner to suspend, revoke, or limit an operating certificate and impose fines where a provider has failed to comply with the terms of its operating certificate or with the provisions of any applicable statute, rule, or

2. Legislative Objectives: The legislature has authorized OASAS to establish standards and regulations governing the provision of addiction treatment services, including problem gambling services, as well as stan-

- dards for providers seeking to offer such services.

 3. Needs and Benefits: This regulation is added on an emergency basis consistent with other regulations contained in Title 14. This regulation is necessary to ensure the safety of those working, visiting and receiving services in programs certified, funded or otherwise authorized by OASAS from health impacts associated with the COVID-19 pandemic. The rule was originally submitted by OASAS as an emergency adoption, which can be extended but not made permanent. Given the current continuation of the national public health emergency as well as the rising numbers of COVID-19 in New York State, OASAS intends to make this rule permanent for the foreseeable future to combat the health crisis.
- 4. Costs: No additional administrative costs to the agency are anticipated and no additional costs to providers are anticipated.
- 5. Paperwork: Providers are required to develop policies and procedures to ensure implementation of these requirements within the program.
- 6. Local Government Mandates: There are no new local government mandates.
- 7. Duplication: This proposed rule does not duplicate, overlap, or conflict with any State or federal statute or rule.
- 8. Alternatives: The alternative is to remain silent on masking requirements which would result in inconsistent operation between programs and potentially endanger program participants and employees, volunteers, contractors, during the context of a global pandemic.
- 9. Federal Standards: This regulation does not conflict with federal
- 10. Compliance Schedule: This emergency rulemaking will be effective upon filing with the Department of State. Public comment will be received, and upon review of the public comment the rule will be adopted as permanent.

Regulatory Flexibility Analysis

OASAS has determined that the rule will not impose any adverse economic impact or reporting, recordkeeping or other compliance requirements on small businesses or local governments because the proposed regulation does not impose any requirements on certified, funded or otherwise authorized providers that were not previously required under federal guidelines.

Rural Area Flexibility Analysis

OASAS has determined that the rule will not impose any adverse impact on rural areas or reporting, recordkeeping or other compliance requirements on public or private entities in rural areas because the proposed regulation does not impose any new requirements on prevention, treatment or recovery programs beyond those already recommended by federal guidance.

Job Impact Statement

OASAS is not submitting a Job Impact Statement for this rulemaking. OASAS does not anticipate a substantial adverse impact on jobs and

employment opportunities because the proposed regulation does not impose any new employment requirements on prevention, treatment or recovery programs.

Office of Children and Family Services

EMERGENCY/PROPOSED RULE MAKING NO HEARING(S) SCHEDULED

To Establish Minimum Standards to Control the Spread of COVID-19

I.D. No. CFS-52-21-00002-EP

Filing No. 1237

Filing Date: 2021-12-13 **Effective Date:** 2021-12-13

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following action:

Proposed Action: Amendment of Parts 414, 415, 416, 417 and Subparts 418-1 and 418-2 of Title 18 NYCRR.

Statutory authority: Social Services Law, sections 20, 34, 390 and 410 Finding of necessity for emergency rule: Preservation of public health, public safety and general welfare.

Specific reasons underlying the finding of necessity: The 2019 Coronavirus (COVID-19) is a disease that causes mild to severe respiratory symptoms, including fever, cough, and difficulty breathing. People infected with COVID-19 have had symptoms ranging from those that are mild (like a common cold) to severe pneumonia that requires medical care in a general hospital and can be fatal, with a disproportionate risk of severe illness for older adults and/or those who have serious underlying medical health conditions.

On January 30, 2020 the World Health Organization (WHO) designated the COVID-19 outbreak as a Public Health Emergency of International Concern. On a national level, the Secretary of Health and Human Services determined on January 31, 2020 that as a result of confirmed cases of COVID-19 in the United States, a public health emergency existed and had existed since January 27, 2020, nationwide. Thereafter, the situation rapidly evolved throughout the world, with many countries, including the United States, quickly progressing from the identification of travelassociated cases to person-to-person transmission among close contacts of travel-associated cases, and finally to widespread community transmission

New York State first identified cases on March 1, 2020 and thereafter became the national epicenter of the outbreak. On March 7, 2020 with widespread transmission rapidly increasing within certain areas of the state, Governor Andrew M. Cuomo issued an Executive Order declaring a state disaster emergency to aid in addressing the threat COVID-19 poses to the health and welfare of New York State residents and visitors, which ended on June 24, 2021, due to the success in vaccination rates, and declining hospitalization and positivity statewide. With the emergence of the Delta variant accounting for 95% of recent sequenced positives in New York State, these regulations are necessary, on an emergency basis, to establish minimum standards to control the spread of COVID-19 at licensed, registered and enrolled legally-exempt group child care programs.

Subject: To establish minimum standards to control the spread of COVID-

Purpose: To establish minimum standards to control the spread of COVID-

Text of emergency/proposed rule: Paragraph (19) is added to subdivision (i) of section 414.11 of Title 18 NYCRR to read as follows:

- (19) Face coverings to prevent the spread of the novel coronavirus identified as SARS-CoV-2 or COVID-19.
- (i) Any individual two years of age or older, who is able to medically tolerate a face covering, must wear a face covering when indoors, subject to applicable Centers for Disease Control and Prevention (CDC) standards and recommended exceptions.
- (ii) Any individual who claims that they are unable to medically tolerate a face covering must provide medical documentation of such to the Office upon demand.

(iii) The violation of these regulations shall be a basis to deny, limit, suspend, revoke, or terminate a license or registration, pursuant to Social Services Law § 390(10) and applicable regulations.

Subparagraph (vi) is added to paragraph (8) of subdivision (b) of sec-

tion 415.13 of Title 18 NYCRR to read as follows:

- (vi) Face coverings to prevent the spread of the novel coronavirus identified as SARS-CoV-2 or COVID-19 for legally exempt group
- (a) Any individual two years of age or older, who is able to medically tolerate a face covering, must wear a face covering when indoors, subject to applicable Centers for Disease Control and Prevention (CDC)
- standards and recommended exceptions.

 (b) Any individual who claims that they are unable to medically tolerate a face covering must provide medical documentation of such to the Office upon demand.
- (c) The violation of these regulations shall be a basis to terminate or deny the enrollment.

Paragraph (33) is added to subdivision (i) of section 416.11 of Title 18 NYCRR is amended to read as follows:

- (33) Face coverings to prevent the spread of the novel coronavirus identified as SARS-CoV-2 or COVID-19.
- (i) Any individual two years of age or older, who is able to medically tolerate a face covering, must wear a face covering when indoors, subject to applicable Centers for Disease Control and Prevention (CDC) standards and recommended exceptions.
- (ii) Any individual who claims that they are unable to medically tolerate a face covering must provide medical documentation of such to the Office upon demand.
- (iii) The violation of these regulations shall be a basis to deny, limit, suspend, revoke, or terminate a license or registration, pursuant to Social Services Law § 390(10) and applicable regulations.

Paragraph (33) is added to subdivision (i) of section 417.11 of Title 18 NYCRR is amended to read as follows:

- (33) Face coverings to prevent the spread of the novel coronavirus identified as SARS-CoV-2 or COVID-19.
- (i) Any individual two years of age or older, who is able to medically tolerate a face covering, must wear a face covering when indoors, subject to applicable Centers for Disease Control and Prevention (CDC) standards and recommended exceptions.
- (ii) Any individual who claims that they are unable to medically tolerate a face covering must provide medical documentation of such to the Office upon demand.
- (iii) The violation of these regulations shall be a basis to deny, limit, suspend, revoke, or terminate a license or registration, pursuant to Social Services Law § 390(10) and applicable regulations.

Paragraph (20) is added to subdivision (i) of section 418-1.11 of Title 18 NYCRR is amended to read as follows:

- (20) Face coverings to prevent the spread of the novel coronavirus identified as SARS-CoV-2 or COVID-19.
- (i) Any individual two years of age or older, who is able to medically tolerate a face covering, must wear a face covering when indoors, subject to applicable Centers for Disease Control and Prevention (CDC) standards and recommended exceptions.
- (ii) Any individual who claims that they are unable to medically tolerate a face covering must provide medical documentation of such to the Office upon demand.
- (iii) The violation of these regulations shall be a basis to deny, limit, suspend, revoke, or terminate a license or registration, pursuant to Social Services Law § 390(10) and applicable regulations.

Paragraph (20) is added to subdivision (i) of section 418-2.11 of Title 18 NYCRR is amended to read as follows:

- (20) Face coverings to prevent the spread of the novel coronavirus identified as SARS-CoV-2 or COVID-19.
- (i) Any individual two years of age or older, who is able to medically tolerate a face covering, must wear a face covering when indoors, subject to applicable Centers for Disease Control and Prevention (CDC) standards and recommended exceptions.
- (ii) Any individual who claims that they are unable to medically tolerate a face covering must provide medical documentation of such to the Office upon demand.
- (iii) The violation of these regulations shall be a basis to deny, limit, suspend, revoke, or terminate a license or registration, pursuant to Social Services Law § 390(10) and applicable regulations.

This notice is intended: to serve as both a notice of emergency adoption and a notice of proposed rule making. The emergency rule will expire February 10, 2022.

Text of rule and any required statements and analyses may be obtained from: Frank J. Nuara, Office of Children and Family Services, 52 Washington St., Rensselaer. NY 12144, (914) 589-3096, email: REGCOMMENTS@ocfs.ny.gov

Data, views or arguments may be submitted to: Same as above.

Public comment will be received until: 60 days after publication of this

Regulatory Impact Statement

Statutory Authority:

Section 20(3)(d) of the Social Services Law (SSL) authorizes the Commissioner of the New York State Office of Children and Family Services (OCFS) to establish rules, regulations and policies to carry out OCFS

powers and duties under the SSL.

Section 390(2-a) of the SSL requires OCFS establish regulations for licensed and registered child care programs that include minimum standards for health an infection control at such programs.

Section 410-x(3) of the SSL requires OCFS establish regulations for enrolled legally-exempt group child care programs that include minimum health and safety standards at such programs.

2) Legislative Objectives:

The legislative objective of SSL 390(2-a) and 410-x(3) authorizes OCFS to establish minimum standards to control communicable diseases at licensed, registered and enrolled legally-exempt group child care

The 2019 Coronavirus (COVID-19) is a disease that causes mild to severe respiratory symptoms, including fever, cough, and difficulty breathing. People infected with COVID-19 have had symptoms ranging from those that are mild (like a common cold) to severe pneumonia that requires medical care in a general hospital and can be fatal, with a disproportionate risk of severe illness for older adults and/or those who have serious underlying medical health conditions. On January 30, 2020 the World Health Organization (WHO) designated the COVID-19 outbreak as a Public Health Emergency of International Concern. On a national level, the Secretary of Health and Human Services determined on January 31, 2020 that as a result of confirmed cases of COVID-19 in the United States, a public health emergency existed and had existed since January 27, 2020, nationwide. Thereafter, the situation rapidly evolved throughout the world, with many countries, including the United States, quickly progressing from the identification of travel-associated cases to person-toperson transmission among close contacts of travel-associated cases, and finally to widespread community transmission of COVID-19.

New York State first identified cases on March 1, 2020 and thereafter became the national epicenter of the outbreak. On March 7, 2020 with widespread transmission rapidly increasing within certain areas of the state, Governor Andrew M. Cuomo issued an Executive Order declaring a state disaster emergency to aid in addressing the threat COVID019 poses to the health and welfare of New York State residents and visitors, which ended on June 24, 2021, due to the success in vaccination rates, and declining hospitalization and positivity statewide. With the emergence of the Delta variant accounting for 95% of recent sequenced positives in New

These regulations require face coverings for all children, staff and visitors in child care programs to protect against the highly contagious Delta variant and increasing COVID-19 infections. The requirement will apply to large and small child care centers, home-based group family and family child care programs, after-school child care programs and legally exempt group programs. It will apply only to those who are medically able to tolerate wearing a mask.

4) Costs:

As part of ongoing efforts to address the COVID-19 pandemic, regulated parties have been a partner in implementing measures to limit the spread and/or mitigate the impact of COVID-19 within the state since March of 2020. Since 2020, OCFS has made funding available to child care programs to purchase personal protective equipment, including face coverings. Accordingly, this regulation will not have a significant cost impact on programs.

5) Local Government Mandates:

No new mandates are imposed on local governments by this rule.

6) Paperwork:

There is no new required paperwork.

7) Duplication:

This rule does not duplicate state or federal requirements.

8) Alternatives:

There are no alternatives. Without this rule, OCFS would not be meetings its statutory obligation to promulgates regulation that address the minimum health and safety standards for infection control.

9) Federal Standards:

This rule is consistent with applicable federal requirements.

10) Compliance Schedule:

Compliance with this rule will begin immediately.

Regulatory Flexibility Analysis

1) Effect of Rule:

There are approximately 15,106 licensed/registered child care programs

and 276 enrolled legally-exempt group child care programs. As part of ongoing efforts to address the COVID-19 pandemic, regulated parties have been a partner in implementing measures to limit the spread and/or mitigate the impact of COVID-19 within the state since March of 2020.

There is no anticipated effect on local governments.

2) Compliance Requirements:

These regulations require face coverings for all children, staff and visitors in child care programs to protect against the highly contagious Delta variant and increasing COVID-19 infections. The requirement will apply to large and small child care centers, home-based group family and family child care programs, after-school child care programs and legally exempt group programs. It will apply only to those who are medically able to tolerate wearing a mask. Above listed child care programs will need to return to face covering compliance as previously in place under New York State executive orders and currently recommended by Federal Centers for Disease Control guidance.

3) Professional Services:

Child care programs and local governments will not be required to use or employ any additional professional services as a result of this rule.

4) Compliance Costs:

As part of ongoing efforts to address the COVID-19 pandemic, regulated parties have been a partner in implementing measures to limit the spread and/or mitigate the impact of COVID-19 within the state since March of 2020. Since 2020, OCFS has made funding available to child care programs to purchase personal protective equipment, including face coverings. Accordingly, this regulation will not have a significant cost impact on programs.

5) Economic and Technological Feasibility:

There are no new requirements that will impact economic and/or technological feasibility.

6) Minimizing Adverse Impact:

As part of ongoing efforts to address the COVID-19 pandemic, regulated parties have been a partner in implementing measures to limit the spread and/or mitigate the impact of COVID-19 within the state since March of 2020. Therefore, OCFS does not anticipate an adverse impact.

7) Small Business and Local Government Participation:

Due to the emergent nature of COVID-19, small business and local governments were not consulted. OCFS will provide guidance, technical assistance, and outreach to child care programs that are impacted by this rule. OCFS will send notice of this rule via email to all such child care programs and post this information on the OCFS child care website. This will include the contact information of someone in OCFS to answer questions on this emergency rule.

Rural Area Flexibility Analysis

1) Types and Estimated Numbers of Rural Areas:

This rule will apply to all licensed/registered and enrolled legallyexempt group child care programs in all areas of the state, including the 44 rural areas.

2) Reporting, Recordkeeping and Other Compliance Requirements; and Professional Services:

There is no new paperwork.

3) Costs:

As part of ongoing efforts to address the COVID-19 pandemic, regulated parties have been a partner in implementing measures to limit the spread and/or mitigate the impact of COVID-19 within the state since March of 2020. Since 2020, OCFS has made funding available to child care programs to purchase personal protective equipment, including face coverings. Accordingly, this regulation will not have a significant cost impact on programs.

4) Minimizing Adverse Impact:

As part of ongoing efforts to address the COVID-19 pandemic, regulated parties have been a partner in implementing measures to limit the spread and/or mitigate the impact of COVID-19 within the state since March of 2020. Therefore, OCFS does not anticipate an adverse impact.

5) Rural Area Participation:

Due to the emergent nature of COVID-19, rural areas were not consulted. OCFS will provide guidance, technical assistance, and outreach to those that are impacted by this rule. OCFS will send notice of this rule via email to impacted child care programs and post this information on the OCFS child care website. This will include the contact information of someone in OCFS to answer questions on this rule.

Job Impact Statement

. Nature of Impact:

The New York State Office of Children and Family Services (OCFS) does not anticipate that this rule will have a negative impact on employment opportunities at child care programs in any region of New York State. This regulatory change is necessary to prevent further closure of the child care programs.

2. Categories and Numbers Affected:

It is estimated that there are 15,106 licensed/registered child care programs and 276 enrolled legally-exempt group child care programs.

3. Regions of Adverse Impact:

This rule applies to licensed/registered and enrolled legally-exempt group child care programs There are no regions where this rule will have a disproportionate adverse impact on employment opportunities.

4. Minimizing Adverse Impact:

OCFS does not anticipate an adverse impact.

EMERGENCY/PROPOSED RULE MAKING NO HEARING(S) SCHEDULED

Establish Minimum Standards to Control the Spread of **COVID-19 at Residential Congregate Programs**

I.D. No. CFS-52-21-00003-EP

Filing No. 1238

Filing Date: 2021-12-13 **Effective Date:** 2021-12-13

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following action:

Proposed Action: Addition of Part 338 to Title 18 NYCRR.

Statutory authority: Executive Law, sections 500(2), 501(16); Social Services Law, sections 20(3)(d), 34(3)(c) and 462(1)(a)

Finding of necessity for emergency rule: Preservation of public health, public safety and general welfare.

Specific reasons underlying the finding of necessity: The 2019 Coronavirus (COVID-19) is a disease that causes mild to severe respiratory symptoms, including fever, cough, and difficulty breathing. People infected with COVID-19 have had symptoms ranging from those that are mild (like a common cold) to severe pneumonia that requires medical care in a general hospital and can be fatal, with a disproportionate risk of severe illness for older adults and/or those who have serious underlying medical health conditions.

On January 30, 2020 the World Health Organization (WHO) designated the COVID-19 outbreak as a Public Health Emergency of International Concern. On a national level, the Secretary of Health and Human Services determined on January 31, 2020 that as a result of confirmed cases of COVID-19 in the United States, a public health emergency existed and had existed since January 27, 2020, nationwide. Thereafter, the situation rapidly evolved throughout the world, with many countries, including the United States, quickly progressing from the identification of travelassociated cases to person-to-person transmission among close contacts of travel-associated cases, and finally to widespread community transmission of COVID-19. New York State first identified cases on March 1, 2020 and thereafter became the national epicenter of the outbreak. On March 7, 2020 with widespread transmission rapidly increasing within certain areas of the state, Governor Andrew M. Cuomo issued an Executive Order declaring a state disaster emergency to aid in addressing the threat COVID-19 poses to the health and welfare of New York State residents and visitors, which ended on June 24, 2021, due to the success in vaccination rates, and declining hospitalization and positivity statewide. However, with the emergence of the Delta variant accounting for 95% of recent sequenced positives in New York State, these regulations are necessary, on an emergency basis, to establish minimum standards to control the spread of COVID-19 at residential congregate programs operated, licensed, certified or approved by the Office of Children and Family Services.

Subject: To establish minimum standards to control the spread of COVID-19 at residential congregate programs.

Purpose: To establish minimum standards to control the spread of COVID-19 at residential congregate programs.

Text of emergency/proposed rule: Part 338 of Title 18 NYCRR is added to read as follows:

Part 338. Congregate Care COVID-19 Prevention and Protection Pro-

338.1. Applicability

NYCRR 180-1.3(d)(1).

This part applies to all residential congregate programs operated, approved, licensed, or certified by the Office of Children and Family Services, with the exception of:

- (a) family-type homes for adults as defined in 18 NYCRR 485.2(f); and (b) nonsecure detention family boarding care facilities as defined in 9
- 338.2. Face Covering Requirements
 (a) "Face covering" means a mask or other face covering that fits snugly and completely covers both the nose and mouth.

(b) All staff, volunteers, contractors, vendors, visitors, and residents of programs subject to this part, who are two years of age or older and able to medically tolerate a face covering, must wear a face covering when indoors regardless of vaccination status, subject to applicable Centers for Disease Control and Prevention (CDC) standards and recommended

(c) In no instance should a resident face a loss of services, disciplinary consequences, removal from or change in programming, or be denied

admission for failure to consistently wear a face covering.

(d) All programs subject to this part shall provide their employees, contractors, volunteers, and residents with appropriate face coverings at no expense. Face coverings must be replaced or laundered as necessary.

This notice is intended: to serve as both a notice of emergency adoption and a notice of proposed rule making. The emergency rule will expire February 10, 2022.

Text of rule and any required statements and analyses may be obtained from: Frank J. Nuara, Office of Children and Family Services, 52 Washington St., Rensselaer. NY 12144, (914) 589-3096, email: REGCOMMENTS@ocfs.ny.gov

Data, views or arguments may be submitted to: Same as above.

Public comment will be received until: 60 days after publication of this

Regulatory Impact Statement

Statutory Authority:

Section 20(3)(d) of the Social Services Law (SSL) authorizes the Office of Children and Family Services (OCFS) to establish rules and regulations to carry out its powers and duties pursuant to the provisions of the SSL.

Section 34(3)(c) of the SSL requires the Commissioner of OCFS to take cognizance of the interests of health and welfare of the inhabitants of the

Section 462(1)(a) of the SSL requires OCFS to promulgate regulations concerning standards of care, treatment, and safety applicable to all facilities exercising care or custody of children.

Section 500(2) of the Executive Law authorizes the Commissioner of OCFS to establish rules and regulations to carry out its powers and duties pursuant to the provisions of the Executive Law.

Section 501(16) of the Executive Law authorizes the Commissioner of OCFS to perform such acts as are necessary or convenient to carry out OCFS' functions, powers, and duties in furtherance of the best interests of youth.

2. Legislative Objectives:

Chapter 436 of the Laws of 1997 created OCFS to take on the functions, powers, duties and obligations in the SSL concerning services and programs identified in article 6 of the SSL regarding the care and protection of children and under the structure and authority of article 2 of the SSL. This proposed rule enhances that responsible by addressing the issue of risk of physical harm to youth in care and victims of domestic violence and their children residing in residential programs for victims of domestic violence caused by failing to require appropriate face coverings in congregate care settings to prevent the spread of COVID-19.

3. Needs and Benefits:

The proposed regulation will protect the health and safety of youth and victims of domestic violence and their children residing in residential programs for victims of domestic violence in congregate settings overseen by OCFS. The United States Centers for Disease Control and Prevention has issued guidance warning of the higher risks posed to persons residing in congregate settings, including juvenile detention centers (see, e.g., https://www.cdc.gov/coronavirus/2019-ncov/community/sharedcongregate-house/guidance-shared-congregate-housing.html; https:// www.cdc.gov/coronavirus/2019-ncov/community/correction-detention/ guidance-correctional-detention.html).

To address the need to protect youth residing in programs operated, approved, licensed or certified by OCFS, many of whom are unable to become vaccinated due to their age, and victims of domestic violence and their children residing in residential programs for victims of domestic violence, it is incumbent on OCFS to establish expectations for operators of these programs to minimize the risk to youth in their care such as setting expectations regarding the wearing of face coverings.

4. Costs:

The proposed regulations mandate implementation of best practices recommended by numerous State and federal agencies. There are no costs anticipated.

5. Local Government Mandates:

There are no new local government mandates.

6. Paperwork:

There are no additional paperwork requirements.

The proposed regulations would not duplicate other state or federal requirements.

8. Alternatives:

No significant alternatives were considered.

9. Federal Standards:

The proposed regulations would not conflict with current federal

10. Compliance Schedule:

Compliance with the proposed regulations would begin immediately upon filing.

Regulatory Flexibility Analysis

1. Effect of Rule:

The proposed regulation would affect all congregate residential programs operated, approved, licensed, or certified by the Office of Children and Family Services (OCFS) in New York State. These programs include approximately 72 voluntary authorized agencies, 73 runaway and homeless youth shelters, 62 residential shelters and domestic violence programs, 30 residential sponsoring agencies with a total of 100 safe dwellings, all 10 OCFS-operated residential facilities, 16 nonsecure detention facilities, and all eight secure and specialized secure detention facilities. The proposed regulation does not affect family-type homes for adults as defined in 18 NYCRR 485.2(f) or nonsecure detention family board care facilities as defined in 9 NYCRR 180-1.3(d)(1).

2. Compliance Requirements:

The proposed regulation would require congregate residential programs operated, approved, licensed, or certified by OCFS to develop policies and procedures that require face coverings to minimize the spread of COVID-

3. Professional Services:

The proposed regulation would not require additional professional services to be retained by local governments or small businesses.

4. Compliance Costs:

The proposed regulation would not impose new costs on residential programs operated, approved, licensed, or certified by OCFS.

5. Economic and Technological Feasibility:

It is not anticipated that the proposed regulations would have an adverse economic impact on local governments or small businesses. OCFS has issued guidance throughout the COVID-19 pandemic (based on up-to-date guidance or directives issued by the New York State Department of Health and the Centers for Disease Control and Prevention) related to safety protocols for staff and youth in congregate care settings.

6. Minimizing Adverse Impact:

It is not anticipated that the proposed regulations would result in an adverse impact on local governments or small businesses. Congregate residential programs are already required to have COVID-19 policies and protocols to protect the continued health and safety of youth and staff alike. The proposed regulations would reinforce such policies and procedures.

7. Small Business and Local Government Participation:

The issues addressed in the proposed regulation are not new. Throughout the COVID-19 pandemic, OCFS has been in constant contact with local governments and small businesses regarding best practices to ensure the health and safety of staff and youth in congregate care settings. Local governments and small businesses have been receptive to OCFS guidance and have had an opportunity to voice any concerns or questions throughout the COVID-19 pandemic. OCFS will continue these relationships as it completes necessary guidance related to the requirements set forth in this regulation.

Rural Area Flexibility Analysis

1. Types and Estimated Numbers of Rural Areas:

This rule will apply to all residential congregate programs operated, approved, licensed, or certified by the Office of Children and Family Services (OCFS), with the exception of family-type homes for adults and nonsecure detention family boarding care facilities, in all 44 rural areas of the state.

2. Reporting, Recordkeeping and Other Compliance; and Professional Services:

OCFS does not anticipate there will be reporting, recordkeeping and other compliance or professional services related to this regulation.

3. Costs:

There are no new costs associated with this rule in rural areas.

4. Minimizing Adverse Impact:

OCFS does not anticipate any adverse impact to congregate residential programs operated, approved, licensed, or certified by OCFS in rural areas as a result of this rule.

5. Rural Area Participation:

Since the start of the pandemic, OCFS has continuously worked with all congregate residential programs operated, approved, licensed, or certified by OCFS regarding actions to help minimize the spread of COVID-19. OCFS anticipates continuing this relationship as it completes necessary guidance related to the requirements set forth in this regulation.

Job Impact Statement

1. Nature of Impact:

The New York State Office of Children and Family Services (OCFS) does not anticipate that this rule will have a negative impact on employment opportunities in any region of New York State.

2. Categories and Numbers Affected:

None.

3. Regions of Adverse Impact:

This rule applies to all residential congregate programs operated, approved, licensed, or certified by the Office of Children and Family Services. There are no regions where this rule will have a disproportionate adverse impact on employment opportunities.

4. Minimizing Adverse Impact:

OCFS does not anticipate an adverse impact.

NOTICE OF EXPIRATION

The following notice has expired and cannot be reconsidered unless the Office of Children and Family Services publishes a new notice of proposed rule making in the NYS Register.

Maintenance Reimbursement for Residential CSE Programs When a Student has been Absent from the Program for More than 15 Days

I.D. No. CFS-49-20-00006-EP **Proposed**

Expiration Date

December 9, 2020

December 9, 2021

Department of Civil Service

NOTICE OF ADOPTION

Jurisdictional Classification

I.D. No. CVS-23-21-00006-A

Filing No. 1252

Filing Date: 2021-12-14 Effective Date: 2021-12-29

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following action:

Action taken: Amendment of Appendix 1 of Title 4 NYCRR.

Statutory authority: Civil Service Law, section 6(1)

Subject: Jurisdictional Classification.

Purpose: To classify positions in the exempt class.

Text or summary was published in the June 9, 2021 issue of the Register,

I.D. No. CVS-23-21-00006-P.

Final rule as compared with last published rule: No changes.

Text of rule and any required statements and analyses may be obtained from: Jennifer Paul, NYS Department of Civil Service, Empire State Plaza, Agency Building 1, Albany, NY 12239, (518) 473-6598, email: commops@cs.ny.gov

Assessment of Public Comment

The agency received no public comment.

NOTICE OF ADOPTION

Jurisdictional Classification

I.D. No. CVS-23-21-00008-A

Filing No. 1256

Filing Date: 2021-12-14 Effective Date: 2021-12-29

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following action:

Action taken: Amendment of Appendix 1 of Title 4 NYCRR.

Statutory authority: Civil Service Law, section 6(1)

Subject: Jurisdictional Classification.

Purpose: To delete positions from the exempt class.

Text or summary was published in the June 9, 2021 issue of the Register,

I.D. No. CVS-23-21-00008-P.

Final rule as compared with last published rule: No changes.

Text of rule and any required statements and analyses may be obtained from: Jennifer Paul, NYS Department of Civil Service, Empire State Plaza, Agency Building 1, Albany, NY 12239, (518) 473-6598, email: commops@cs.ny.gov

Assessment of Public Comment

The agency received no public comment.

NOTICE OF ADOPTION

Jurisdictional Classification

I.D. No. CVS-23-21-00009-A

Filing No. 1257

Filing Date: 2021-12-14 Effective Date: 2021-12-29

PURSUANT TO THE PROVISIONS OF THE State Administrative Pro-

cedure Act, NOTICE is hereby given of the following action: Action taken: Amendment of Appendix 1 of Title 4 NYCRR. **Statutory authority:** Civil Service Law, section 6(1)

Subject: Jurisdictional Classification.

Purpose: To classify a subheading and positions in the exempt class.

Text or summary was published in the June 9, 2021 issue of the Register,

I.D. No. CVS-23-21-00009-P.

Final rule as compared with last published rule: No changes.

Text of rule and any required statements and analyses may be obtained from: Jennifer Paul, NYS Department of Civil Service, Empire State Plaza, Agency Building 1, Albany, NY 12239, (518) 473-6598, email: commops@cs.ny.gov

Assessment of Public Comment

The agency received no public comment.

NOTICE OF ADOPTION

Jurisdictional Classification

I.D. No. CVS-27-21-00004-A

Filing No. 1250

Filing Date: 2021-12-14 Effective Date: 2021-12-29

PURSUANT TO THE PROVISIONS OF THE State Administrative Pro-

cedure Act, NOTICE is hereby given of the following action: Action taken: Amendment of Appendix 1 of Title 4 NYCRR.

Statutory authority: Civil Service Law, section 6(1)

Subject: Jurisdictional Classification.

Purpose: To classify positions in the exempt class.

Text or summary was published in the July 7, 2021 issue of the Register, I.D. No. CVS-27-21-00004-P.

Final rule as compared with last published rule: No changes.

Text of rule and any required statements and analyses may be obtained from: Jennifer Paul, NYS Department of Civil Service, Empire State Plaza, Agency Building 1, Albany, NY 12239, (518) 473-6598, email: commops@cs.ny.gov

Assessment of Public Comment

The agency received no public comment.

NOTICE OF ADOPTION

Jurisdictional Classification

I.D. No. CVS-27-21-00005-A

Filing No. 1254

Filing Date: 2021-12-14 **Effective Date:** 2021-12-29

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following action:

Action taken: Amendment of Appendix 1 of Title 4 NYCRR.

Statutory authority: Civil Service Law, section 6(1)

Subject: Jurisdictional Classification.

Purpose: To classify a position in the exempt class.

Text or summary was published in the July 7, 2021 issue of the Register, I.D. No. CVS-27-21-00005-P.

Final rule as compared with last published rule: No changes.

Text of rule and any required statements and analyses may be obtained from: Jennifer Paul, NYS Department of Civil Service, Empire State Plaza, Agency Building 1, Albany, NY 12239, (518) 473-6598, email: commops@cs.ny.gov

Assessment of Public Comment

The agency received no public comment.

NOTICE OF ADOPTION

Jurisdictional Classification

I.D. No. CVS-27-21-00006-A

Filing No. 1249

Filing Date: 2021-12-14 **Effective Date:** 2021-12-29

PURSUANT TO THE PROVISIONS OF THE State Administrative Pro-

cedure Act, NOTICE is hereby given of the following action: Action taken: Amendment of Appendix 1 of Title 4 NYCRR.

Statutory authority: Civil Service Law, section 6(1)

Subject: Jurisdictional Classification.

Purpose: To delete a position from and classify a position in the exempt

class.

Text or summary was published in the July 7, 2021 issue of the Register, I.D. No. CVS-27-21-00006-P.

Final rule as compared with last published rule: No changes.

Text of rule and any required statements and analyses may be obtained from: Jennifer Paul, NYS Department of Civil Service, Empire State Plaza, Agency Building 1, Albany, NY 12239, (518) 473-6598, email: commops@cs.ny.gov

Assessment of Public Comment

The agency received no public comment.

NOTICE OF ADOPTION

Jurisdictional Classification

I.D. No. CVS-27-21-00007-A

Filing No. 1251

Filing Date: 2021-12-14 **Effective Date:** 2021-12-29

PURSUANT TO THE PROVISIONS OF THE State Administrative Pro-

cedure Act, NOTICE is hereby given of the following action: Action taken: Amendment of Appendix 2 of Title 4 NYCRR.

Statutory authority: Civil Service Law, section 6(1)

Subject: Jurisdictional Classification.

Purpose: To classify positions in the non-competitive class.

Text or summary was published in the July 7, 2021 issue of the Register, I.D. No. CVS-27-21-00007-P.

Final rule as compared with last published rule: No changes.

Text of rule and any required statements and analyses may be obtained from: Jennifer Paul, NYS Department of Civil Service, Empire State Plaza, Agency Building 1, Albany, NY 12239, (518) 473-6598, email: commops@cs.ny.gov

Assessment of Public Comment

The agency received no public comment.

NOTICE OF ADOPTION

Jurisdictional Classification

I.D. No. CVS-27-21-00008-A

Filing No. 1247 Filing Date: 2021-12-14

Effective Date: 2021-12-29

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following action:

Action taken: Amendment of Appendix 2 of Title 4 NYCRR.

Statutory authority: Civil Service Law, section 6(1)

Subject: Jurisdictional Classification.

Purpose: To classify positions in the non-competitive class.

Text or summary was published in the July 7, 2021 issue of the Register,

I.D. No. CVS-27-21-00008-P.

Final rule as compared with last published rule: No changes.

Text of rule and any required statements and analyses may be obtained from: Jennifer Paul, NYS Department of Civil Service, Empire State Plaza, Agency Building 1, Albany, NY 12239, (518) 473-6598, email: commops@cs.ny.gov

Assessment of Public Comment

The agency received no public comment.

NOTICE OF ADOPTION

Jurisdictional Classification

I.D. No. CVS-31-21-00002-A

Filing No. 1259

Filing Date: 2021-12-14 Effective Date: 2021-12-29

PURSUANT TO THE PROVISIONS OF THE State Administrative Pro-

cedure Act, NOTICE is hereby given of the following action: Action taken: Amendment of Appendix 2 of Title 4 NYCRR.

Statutory authority: Civil Service Law, section 6(1)

Subject: Jurisdictional Classification.

Purpose: To classify positions in the non-competitive class.

Text or summary was published in the August 4, 2021 issue of the Regis-

ter, I.D. No. CVS-31-21-00002-P.

Final rule as compared with last published rule: No changes.

Text of rule and any required statements and analyses may be obtained from: Jennifer Paul, NYS Department of Civil Service, Empire State Plaza, Agency Building 1, Albany, NY 12239, (518) 473-6598, email:

commops@cs.ny.gov

Assessment of Public Comment

The agency received no public comment.

NOTICE OF ADOPTION

Jurisdictional Classification

I.D. No. CVS-31-21-00003-A

Filing No. 1248

Filing Date: 2021-12-14 **Effective Date:** 2021-12-29

PURSUANT TO THE PROVISIONS OF THE State Administrative Pro-

cedure Act, NOTICE is hereby given of the following action:

Action taken: Amendment of Appendix 2 of Title 4 NYCRR.

Statutory authority: Civil Service Law, section 6(1)

Subject: Jurisdictional Classification.

Purpose: To classify positions in the non-competitive class.

Text or summary was published in the August 4, 2021 issue of the Regis-

ter, I.D. No. CVS-31-21-00003-P.

Final rule as compared with last published rule: No changes.

Text of rule and any required statements and analyses may be obtained from: Jennifer Paul, NYS Department of Civil Service, Empire State Plaza, Agency Building 1, Albany, NY 12239, (518) 473-6598, email: commops@cs.ny.gov

Assessment of Public Comment

The agency received no public comment.

NOTICE OF ADOPTION

Jurisdictional Classification

I.D. No. CVS-31-21-00004-A

Filing No. 1255

Filing Date: 2021-12-14 **Effective Date:** 2021-12-29

PURSUANT TO THE PROVISIONS OF THE State Administrative Pro-

cedure Act, NOTICE is hereby given of the following action: Action taken: Amendment of Appendix 2 of Title 4 NYCRR.

Statutory authority: Civil Service Law, section 6(1)

Subject: Jurisdictional Classification.

Purpose: To classify a position in the non-competitive class.

Text or summary was published in the August 4, 2021 issue of the Register, I.D. No. CVS-31-21-00004-P.

Final rule as compared with last published rule: No changes.

Text of rule and any required statements and analyses may be obtained from: Jennifer Paul, NYS Department of Civil Service, Empire State Plaza, Agency Building 1, Albany, NY 12239, (518) 473-6598, email: commops@cs.ny.gov

Assessment of Public Comment

The agency received no public comment.

NOTICE OF ADOPTION

Jurisdictional Classification

I.D. No. CVS-31-21-00005-A

Filing No. 1258

Filing Date: 2021-12-14 **Effective Date:** 2021-12-29

PURSUANT TO THE PROVISIONS OF THE State Administrative Pro-

cedure Act, NOTICE is hereby given of the following action: Action taken: Amendment of Appendix 2 of Title 4 NYCRR.

Statutory authority: Civil Service Law, section 6(1)

Subject: Jurisdictional Classification.

Purpose: To classify a position in the non-competitive class.

Text or summary was published in the August 4, 2021 issue of the Regis-

ter, I.D. No. CVS-31-21-00005-P.

Final rule as compared with last published rule: No changes.

Text of rule and any required statements and analyses may be obtained *from:* Jennifer Paul, NYS Department of Civil Service, Empire State Plaza, Agency Building 1, Albany, NY 12239, (518) 473-6598, email: commops@cs.ny.gov

Assessment of Public Comment

The agency received no public comment.

NOTICE OF ADOPTION

Jurisdictional Classification

I.D. No. CVS-31-21-00006-A

Filing No. 1246

Filing Date: 2021-12-14 **Effective Date:** 2021-12-29

PURSUANT TO THE PROVISIONS OF THE State Administrative Pro-

cedure Act, NOTICE is hereby given of the following action: Action taken: Amendment of Appendix 2 of Title 4 NYCRR.

Statutory authority: Civil Service Law, section 6(1)

Subject: Jurisdictional Classification.

Purpose: To classify positions in the non-competitive class.

Text or summary was published in the August 4, 2021 issue of the Regis-

ter, I.D. No. CVS-31-21-00006-P.

Final rule as compared with last published rule: No changes.

Text of rule and any required statements and analyses may be obtained from: Jennifer Paul, NYS Department of Civil Service, Empire State Plaza, Agency Building 1, Albany, NY 12239, (518) 473-6598, email: commops@cs.ny.gov

Assessment of Public Comment

The agency received no public comment.

NOTICE OF ADOPTION

Jurisdictional Classification

I.D. No. CVS-31-21-00007-A

Filing No. 1253

Filing Date: 2021-12-14 Effective Date: 2021-12-29

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following action:

Action taken: Amendment of Appendix 2 of Title 4 NYCRR.

Statutory authority: Civil Service Law, section 6(1)

Subject: Jurisdictional Classification.

Purpose: To classify positions in the non-competitive class.

Text or summary was published in the August 4, 2021 issue of the Register, I.D. No. CVS-31-21-00007-P.

Final rule as compared with last published rule: No changes.

Text of rule and any required statements and analyses may be obtained from: Jennifer Paul, NYS Department of Civil Service, Empire State Plaza, Agency Building 1, Albany, NY 12239, (518) 473-6598, email: commops@cs.ny.gov

Assessment of Public Comment

The agency received no public comment.

Education Department

EMERGENCY RULE MAKING

Flexibility for Accountability Requirements in Response to the COVID-19 Crisis

I.D. No. EDU-39-21-00008-E

Filing No. 1245

Filing Date: 2021-12-14 Effective Date: 2021-12-14

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following action:

Action taken: Amendment of section 100.21 of Title 8 NYCRR.

Statutory authority: Education Law, sections 101, 112, 207, 210, 215, 305, 309, 3713; Elementary and Secondary Education Act of 1965, as amended by the Every Student Succeeds Act of 2015, 20 U.S.C. sections 6301 et seq. (Public Law 114-95, 129 STAT. 1802)

Finding of necessity for emergency rule: Preservation of public health

Specific reasons underlying the finding of necessity: In February 2021, the Department submitted a waiver request to the United States Department of Education (USDE) on behalf of all Local Education Agencies (LEAs) in New York State seeking flexibility from federal accountability requirements in the 2020-2021 school year. Specifically, the waiver request addressed the unique circumstances caused by the ongoing COVID-19 pandemic. USDE granted the Department a waiver of the following requirements of the Elementary and Secondary Education Act (ESEA), as recently amended by the Every Student Succeeds Act (ESSA):

- Accountability and school identification requirements in Sections 1111(c)(4) and 1111(d)(2)(C)-(D): the requirements that a State measure progress toward Long-Term Goals and Measures of Interim Progress (MIP); meaningfully differentiate, on an annual basis, all public schools, including adjusting the Academic Achievement indicator based on a participation rate below 95 percent; and identify schools for Comprehensive, Targeted, and additional Targeted Support and Improvement based on data from the 2020-2021 school year.
- Report card provisions related to accountability in section 1111(h) based on data from the 2020-2021 school year. These include:
 - Section 1111(h)(1)(C)(i)(I)-(IV) and (VI): Accountability system

description, other than the list of Comprehensive, Targeted, and additional Targeted Support and Improvement Schools.

- Section 1111(h)(1)(C)(iii)(I): Other Academic indicator results for schools that are not high schools.
- Section 1111(h)(1)(C)(v): School Quality or Student Success indicator results.
- Section 1111(h)(1)(C)(vi): Progress toward meeting Long-Terms
- Section 1111(h)(2)(C) with respect, at the LEA and school levels, to all waiver requirements in section 1111(h)(1)(C).

As a result, it is necessary for the Department to adopt emergency regulations to be consistent with the waiver granted by USDE. The proposed amendment provides continued flexibility related to school and district accountability, out-of-school suspension rates, and school and district participation rate improvement plans.

The proposed amendment was presented to the P-12 Education Committee for recommendation to the Full Board for adoption as an emergency rule at the September 2021 meeting of the Board of Regents, effective September 14, 2021. Since the Board of Regents meets at scheduled intervals, the earliest the proposed amendment could be presented for regular (nonemergency) adoption, after publication in the State Register and expiration of the 60-day public comment period required in the SAPA sections 201(1) and (5), is the January 2022 Regents meeting. Furthermore, pursuant to SAPA 203(1), the earlier effective date of the proposed rule, if adopted at the (insert month) meeting, would be (insert date), the date the Notice of Adoption would be published in the State Register.

However, the emergency rule will expire on December 12, 2021. Therefore, a second emergency action is necessary for the preservation of public health and the general welfare to provide flexibility for certain regulatory requirements relating to accountability in response to the COVID-19 crisis and to ensure the emergency action taken at the September meeting remains continuously in effect until the rule can be permanently adopted.

It is anticipated that the proposed rule will be presented for adoption as a permanent rule at the January 2022 Regents meeting, which is the first scheduled meeting after the 60-day public comment period prescribed in SAPA for State agency rule makings

Subject: Flexibility for accountability requirements in response to the COVID-19 crisis.

Purpose: To provide flexibility for accountability requirements in response to the COVID-19 crisis.

Text of emergency rule: 1. Paragraph of subdivision (a) of section 100.21 of the Regulations of the Commissioner of Education is amended to read

- (3) Notwithstanding any other provisions of this section, the Commissioner shall not conduct a review of school and district performance for the 2021-2022 school year using 2019-2020 or 2020-2021 school year results, the accountability status of public schools and districts for the 2020-2021 school year shall be the same as for the 2019-2020 school year and the accountability status of public schools and districts for the 2021-2022 school year school be the same as for the 2020-2021 school year.
- (4) Notwithstanding any other provision of this section, the Commissioner may, upon a finding of good cause, modify for the 2019-2020 through [2021-2022] 2022-2023 school years any timelines pertaining to notifications, plans, reports, or implementation of activities required by this section.
- 2. Subparagraphs (i) and (ii) of paragraph (3) of subdivision (b) of section 100.21 of the Regulations of the Commissioner of Education are amended to read as follows:
- (i) Comprehensive support and improvement (CSI) schools (elementary and middle schools). The State shall designate elementary/ middle schools as CSI schools using the following criteria:
- (a) beginning with the 2018-2019 school year, using 2017-2018 school year results, and the [2022-2023] 2023-2024 school year using [2021-2022] 2022-2023 school year results, the State shall identify a minimum five percent of the lowest performing schools as CSI schools and shall identify CSI schools based upon the results from the all students group once every three years thereafter, based on the accountability measures described in subdivision (f) of this section. Provided that, if the accountability measures used to identify CSI schools in subdivision (f) of this section result in the identification of fewer than five percent of Title I public elementary/middle schools in the State, the commissioner shall identify the lowest performing five percent of Title I public elementary/ middle schools using the rank ordered list for the combined composite performance and growth index; and

- (ii) CSI (high schools). The State shall designate high schools as CSI schools using the following criteria:
 - (a) beginning with the 2018-2019 school year, using 2017-2018

school year results, and the [2022-2023] 2023-2024 school year using [2021-2022] 2022-2023 school year results, the State will identify a minimum five percent of the lowest-performing schools as CSI schools based upon the results from the all students group once every three years based on the accountability measures described in subdivision (f) of this section. Provided that, if the methodology pursuant to subparagraph (f)(1)(i) of this section for elementary/middle schools and subparagraph (f)(2)(i) of this section for high schools results in the identification of fewer than five percent of Title I public high schools in the State, the commissioner shall identify the lowest performing five percent of Title I public high schools using the rank ordered list for the combined composite performance and graduation rate index; and

(b)...

- 3. Subparagraphs (xi) of paragraph (2) of subdivision (b) of section 100.21 of the Regulations of the Commissioner of Education are amended to read as follows:
- (xi) The out-of-school suspension rate shall mean the number of students who were suspended from school (not including in-school suspensions) for one full day or longer anytime during the school year divided by the number of students enrolled on BEDS day of that school year commencing with data collected for the [2018-2019] 2022-2023 school year. A student is counted only once, regardless of whether the student was suspended one or more times during the school year. For the [2020-2021] 2023-2024 school year results, the Commissioner shall report for each accountability group for which a school or district is accountable a Level from 1-4 based on the out-of-school suspension rate. For the [2020-2021] 2023-2024 school year results, districts must implement the provisions of 100.21(i)(4) for any schools that have an accountability group that performs at Level 1 on the out-of-school suspension indicator. Beginning with the [2023-2024] 2024-2025 school year results, the out-of-school suspension indicator shall be incorporated into the methodology used to determine the accountability status of schools and districts under this section.
- 4. Subparagraphs (ii), (iii), (iv), (v), and (vi) of paragraph (5) of subdivision (i) of section 100.21 of the Regulations of the Commissioner of Education are amended to read as follows:
- (ii) Beginning with [2017-2018] 2021-2022 and [2018-2019] 2022-2023 school year results, any public elementary/middle or high school that has a weighted average achievement level or high school composite performance level of 1 or 2 and that fails to meet the required 95 percent participation rate for the same subgroup(s), in the same subject (i.e., ELA or math) for two consecutive years, and that fails to improve participation rate as compared to the previous year for the same subgroup(s) and subject(s), as determined by the [Commissioner] commissioner, must conduct a participation rate self-assessment and develop a participation rate improvement plan, in such form and according to such timeline as determined by the [Commissioner] commissioner. Such school participation rate self-assessment and improvement plan shall be developed in collaboration with a committee composed of the school principal or his/her designee(s); school staff, including teachers and student support staff selected by the representative collective bargaining organization(s), and parents (not employed by the district or a collective bargaining organization representing teachers or administrators in the district) selected by school-related parent organizations; except that a school district may designate that a school-based management team established pursuant to section 100.11 of this Part or a community engagement team established pursuant to 100.19 of this Part may serve as the committee required by this paragraph. Such school plan must address participation of students from all subgroups for which the school has failed to meet the required 95 percent participation rate and failed to improve the participation rate as compared to the previous year. Such school plan must be adopted by the district Board of Education (in New York City, the chancellor or chancellor's designee), after consultation with the committee, no later than 60 days following notification to the district that such a plan is required. The Board of Education (in New York City, the chancellor or chancellor's designee) shall take appropriate action to notify the general public upon adoption of the school plan; such action shall include, but not be limited to, publishing on the district website, if one exists, or direct notification, within 30 days of adoption, in English and translated, when appropriate, into the recipient's native language or mode of communication.

 (iii) Beginning with [2018-2019] 2022-2023 and [2020-2021]
- (iii) Beginning with [2018-2019] 2022-2023 and [2020-2021] 2023-2024 school year results, for any school that completed a school participation rate self-assessment and improvement plan in the previous school year and that fails to improve its participation rates for the subgroup(s) and subject(s), as determined by the commissioner, for which the plan was required, the district shall conduct a participation rate audit and develop an updated participation rate improvement plan. Such district participation improvement plan shall be developed in collaboration with a committee composed of the superintendent or his/her designee(s); the

school principal or his/her designee(s); school staff, including teachers and student support staff selected by the representative collective bargaining organization(s); and parents (not employed by the district or a collective bargaining organization representing teachers or administrators in the district) selected by district-related and/or school-related parent organizations. Such district plan must be adopted by the district board of education, no later than 60 days following notification to the district that such a plan is required. The board of education (in New York City, the chancellor or chancellor's designee) shall take appropriate action to notify the general public upon adoption of the school plan; such action shall include, but not be limited to, publishing on the district website, if one exists, or direct notification to the parents, within 30 days of adoption, in English and translated, when appropriate, into the recipient's native language or mode of communication.

(iv) Beginning with [2020-2021] 2023-2024 and [2021-2022] 2024-2025 school year results, for any school for which a district audit and district participation rate improvement plan was completed in the previous school year and that fails to improve its participation rates for the subgroup(s) and subject(s), as determined by the commissioner, for which the plan was required, the district must partner with a Board of Cooperative Educational Services (BOCES) or other technical assistance center to conduct a participation rate audit and develop an updated participation rate plan. Such participation rate improvement plan shall be developed in collaboration with a committee composed of BOCES staff, the superintendent or his/her designee(s); the school principal or his/her designee(s); school staff, including teachers and student support staff, no more than 50 percent of whom shall be selected by the representative collective bargaining organization(s); and parents (not employed by the district or a collective bargaining organization representing teachers or administrators in the district) selected by district-related and/or school-related parent organizations. Such plan must be adopted by the district board of education no later than 60 days following notification to the district that such a plan is required. The board of education (in New York City, the chancellor or chancellor's designee) shall take appropriate action to notify the general public upon adoption of the school plan; such action shall include, but not be limited to, publishing on the district website, if one exists, or direct notification to the parents, within 30 days of adoption, in English and translated, when appropriate, into the recipient's native language or mode of communication.

(v) Beginning with [2021-2022] 2024-2025 and [2022-2023] 2025-2026 school year results, for any school for which an audit and participation rate improvement plan was completed pursuant to subparagraph (iv) of this paragraph in the previous school year and that fails to improve its participation rates for the subgroup(s) and subject(s), as determined by the commissioner, for which the plan was required, the department shall conduct an audit of the participation rate and the school may be required by the commissioner to address recommendations contained in the participation rate audit.

(vi) Beginning with [2017-2018] 2021-2022 and [2018-2019] 2022-2023 school year results, for any public elementary/middle or high school that is required to develop a participation rate improvement plan and is among the lowest 10 percent of schools within the State for participation rate as determined by the commissioner, the district must submit such plan for approval by the commissioner no later than 60 days following notification to the district that such plan is required.

This notice is intended to serve only as a notice of emergency adoption. This agency intends to adopt the provisions of this emergency rule as a permanent rule, having previously submitted to the Department of State a notice of proposed rule making, I.D. No. EDU-39-21-00008-EP, Issue of September 29, 2021. The emergency rule will expire February 11, 2022

Text of rule and any required statements and analyses may be obtained from: Kirti Goswami, NYS Education Department, Office of Counsel, 89 Washington Avenue, Room 112EB, Albany, NY 12234, (518) 474-6400, email: legal@nysed.gov

Regulatory Impact Statement

1. STAŤUTÔRY AUTHORITY:

Section 101 of the Education Law continues the existence of the Education Department, with the Board of Regents as its head, and authorizes the Regents to appoint the Commissioner as chief administrative officer of the Department, which is charged with the general management and supervision of public schools and the educational work of the State.

Section 112 of the Education Law provides that the State Education Department shall establish and enforce standards of instruction, personnel qualifications and other requirements for education services or programs with respect to the individual requirements of children who are in full-time residential care in facilities or homes operated or supervised by any state department or agency or political subdivision.

Section 207 of the Education Law grants general rule making authority to the Board of Regents to carry into effect the laws and policies of the State relating to education.

Section 210 of the Education Law empowers the Regents to register domestic and foreign institutions in terms of New York standards, and fix the value of degrees, diplomas and certificates issued by institutions of other states or countries and presented to entrance to schools, colleges and the professions in New York.

Section 215 of the Education Law provides the Regents, or the Commissioner, or their representatives, the power to visit, examine, and inspect any institution in the University of the State of New York and any school

or institution under the educational supervision of the State.

Education Law section 305(1) and (2) provide the Commissioner, as chief executive officer of the State's education system, with general supervision over all schools and institutions subject to the Education Law, or any statute relating to education, and responsibility for executing all educational policies of the Regents.

Section 309 of the Educaiton Law provides that the schools of every union free school district and of every city in all their departments are subject to the visitation of the commissioner and charges the commissioner with the general supervision of their board of education and their management and conduct of all departments of instruction.

Section 3713 of the Education Law authorizes and empowers the state, any school district or its trustees or board of education to accept funds appropriated by the federal government for educational purposes.

Every Student Succeeds Act § 1111(b)(2) requires States to implement a set of high-quality student academic assessments in mathematics, read-

ing or language arts, and science.

Every Student Succeeds Act § 1111(c)(4) requires all States to have a statewide accountability system based on challenging State academic standards for reading or language arts and mathematics to improve student academic achievement and school success.

Every Student Succeeds Act § 1111(d)(2) requires schools which receive notification under such Act that a subgroup of students is consistently underperforming to develop and implement a school-level targeted support and improvement plan to improve student outcomes based on the indicators in the statewide accountability system.

2. LEGISLATIVE OBJECTIVES:

The proposed amendment is consistent with the above statutory authority and is necessary to align the Commissioner's regulations consistent with the waiver granted to the New York State Education Department (NYSED) by the United States Department of Education (USDE) providing Local Educational Agencies (LEAs) flexibility from federal accountability requirements in the 2020-2021 school year.

3. NEEDS AND BENEFITS:

In April 2020, USDE approved the Department's application for a one-year waiver from provisions of the Elementary and Secondary Education Act (ESEA), as recently amended by the Every Student Succeeds Act (ESSA) pertaining to State assessments and school district accountability determinations due to the unique circumstances that have arisen as a result the COVID-19 crisis. In response the Board of Regents permanently adopted amendments to section 100.21 of the Commissioner's regulations at its February 2021 meeting providing that: the Commissioner shall not conduct a review of school and district performance using 2019-2020 school year result; the accountability status of public schools and districts for the 2020-2021 school year shall be the same as for the 2019-2020 school year; and the 2018-2019 school year results shall be used in any instance for which 2019-2020 school year results would have been used as part of the process of making 2021-2022 school year accountability determinations.

Additionally, the amendments provide that the Commissioner may, upon a finding of good cause, modify for the 2019-2020 through 2021-2022 school years any timelines pertaining to notifications, plans, reports, or implementation of activities required by such section. Because of the on-going impact of the COVID-19 crisis, the Department again applied for a one-year waiver from provisions of ESSA pertaining to school and district accountability determinations due to the ongoing impact of the COVID-19 crisis. The USDE approved the Department's application in June 2021 and granted the Department a waiver of the following requirements of ESSA:

- Accountability and school identification requirements in Sections 1111(c)(4) and 1111(d)(2)(C)-(D): the requirements that a State measure progress toward Long-Term Goals and Measures of Interim Progress (MIP); meaningfully differentiate, on an annual basis, all public schools, including adjusting the Academic Achievement indicator based on a participation rate below 95 percent; and identify schools for Comprehensive, Targeted, and additional Targeted Support and Improvement based on data from the 2020-2021 school year.
- Report card provisions related to accountability in Section 1111(h) based on data from the 2020-2021 school year. These include:
- based on data from the 2020-2021 school year. These include:
 Section 1111(h)(1)(C)(i)(I)-(IV) and (VI): Accountability system description, other than the list of Comprehensive, Targeted, and additional Targeted Support and Improvement Schools.

- Section 1111(h)(1)(C)(iii)(I): Other Academic indicator results for schools that are not high schools.
- Section $1111(\tilde{h})(1)(C)(v)$: School Quality or Student Success indicator results.
- \bullet Section 1111(h)(1)(C)(vi): Progress toward meeting Long-Terms Goals and MIPs.
- Section 1111(h)(2)(C) with respect, at the LEA and school levels, to all waiver requirements in section 1111(h)(1)(C).

Therefore, the Department is proposing to amend sections 100.21(a) and (b)(3)(i) of the Commissioner's regulations to provide that the Commissioner shall not identify schools for Comprehensive, Targeted, and additional Targeted Support and Improvement for the 2021-2022 school year based on data from the 2020-2021 school year. As such, the accountability status of public schools and districts for the 2021-2022 school year shall be the same as for the 2020-2021 school year. Additionally, the proposed amendment to section 100.21(a) of the Commissioner's regulation extends the time period where the Commissioner may, upon a finding of good cause, modify any timelines pertaining to notifications, plans, reports, or implementation of activities required by such section through the 2022-2023 school year.

The Department also proposes to amend section 100.21(b)(2)(xi) of the Commissioner's regulations to: (1) delay until the 2023-2024 school year the Commissioner assigning a Level from 1 to 4 to any accountability group for which a school or district is accountable on the out-of-school suspension indicator based on 2022-2023 school year results and the requirement that districts implement the provisions of section 100.21(i)(4) for any schools that have an accountability group that performs at Level 1 on the out-of-school suspension indicator; and (2) delay until the 2025-2026 school year the incorporation of the out-of-school suspension indicator into the methodology used to determine the accountability status of schools and districts under this section.

Finally, the Department proposes to amend sections 100.21(i)(5)(ii), (i)(5)(iii), (i)(5)(iv), (i)(5)(v), and (i)(5)(vi) of the Commissioner's regulations to: (1) delay until the 2023-2024 school year the requirement that certain districts conduct a participation rate audit and develop an updated participation rate improvement plan for any school that completes a school participation rate self-assessment and improvement plan in the previous school year and that fails to improve its participation rates for the subgroup(s) and subject(s), as determined by the Commissioner, for which the plan was required; (2) delay until the 2024-2025 school year the requirement that certain districts partner with a Board of Cooperative Educational Services (BOCES) or other technical assistance center to conduct a participation rate audit and develop an updated participation rate plan for any school for which a district audit and district participation rate improvement plan is completed in the previous school year and that fails to improve its participation rates for the subgroup(s) and subject(s), as determined by the Commissioner; and (3) delay until the 2025-2026 school year the requirements that the Department conduct an audit of the participation rate and require schools to address recommendations contained in the participation rate audit for any school for which an audit and participation rate improvement plan was completed in the previous school year and that fails to improve its participation rates for the subgroup(s) and subject(s), as determined by the Commissioner, for which the plan was required.

Later this school year, the Department will recommend for Board of Regents consideration additional regulatory amendments to address issues pertaining to 2022-2023 and subsequent school year accountability determinations.

4. COSTS:

- (a) Costs to State government: There are no additional costs to State government.
- (b) Costs to local government: The proposed amendment does not impose any additional costs on regulated parties.
- (c) Cost to private regulated parties: The proposed amendment does not impose any additional costs on regulated parties.
- (d) Cost to the regulatory agency: The proposed amendment will not impose any additional costs on the Department.

5. LOCAL GOVERNMENT MANDATES:

The proposed amendment does not impose any program, service, duty, or responsibility on local governments.

6. PAPERWORK:

The proposed amendment imposes no new forms, reporting requirements, or other recordkeeping or paperwork requirements.

7. DUPLICATION:

The proposed amendment does not duplicate any other existing State or Federal requirements.

8. ALTERNATIVES:

The proposed rule is necessary to align the Commissioner's regulations consistent with the waiver granted to the NYSED by USDE providing LEAs flexibility from federal accountability requirements in the 2020-2021 school year. There were no significant alternatives considered.

9. FEDERAL STANDARDS:

The proposed rule does not exceed any minimum standards of the federal government, rather it aligns the Commissioner's regulations with the waiver granted to NYSED by USDE providing LEAs flexibility from federal accountability requirements in the 2020-2021 school year.

10. COMPLIANCE SCHEDULE:

It is anticipated that regulated parties will be able to comply with the proposed amendment by the effective date.

Regulatory Flexibility Analysis

(a) Small businesses:

The proposed amendment is consistent with the above statutory authority and is necessary to align the Commissioner's regulations consistent with the waiver granted to the New York State Education Department (NYSED) by the United States Department of Education (USDE) providing Local Educational Agencies (LEAs) flexibility from federal accountability requirements in the 2020-2021 school year.

Because it is evident from the nature of the proposed rule that it does not affect small businesses, no further measures were needed to ascertain that fact and none were taken. Accordingly, a regulatory flexibility analysis for small businesses is not required and one has not been prepared.

(b) Local governments:

EFFECT OF RULE:

The rule applies to each of the 731 school districts in the State. 2. COMPLIANCE REQUIREMENTS:

In April 2020, USDE approved the Department's application for a oneyear waiver from provisions of the Elementary and Secondary Education Act (ESEA), as recently amended by the Every Student Succeeds Act (ESSA) pertaining to State assessments and school district accountability determinations due to the unique circumstances that have arisen as a result the COVID-19 crisis. In response the Board of Regents permanently adopted amendments to section 100.21 of the Commissioner's regulations at its February 2021 meeting providing that: the Commissioner shall not conduct a review of school and district performance using 2019-2020 school year result; the accountability status of public schools and districts for the 2020-2021 school year shall be the same as for the 2019-2020 school year; and the 2018-2019 school year results shall be used in any instance for which 2019-2020 school year results would have been used as part of the process of making 2021-2022 school year accountability determinations.

Additionally, the amendments provide that the Commissioner may, upon a finding of good cause, modify for the 2019-2020 through 2021-2022 school years any timelines pertaining to notifications, plans, reports, or implementation of activities required by such section. Because of the on-going impact of the COVID-19 crisis, the Department again applied for a one-year waiver from provisions of ESSA pertaining to school and district accountability determinations due to the ongoing impact of the COVID-19 crisis. The USDE approved the Department's application in June 2021 and granted the Department a waiver of the following requirements of ESSA

- · Accountability and school identification requirements in Sections 1111(c)(4) and 1111(d)(2)(C)-(D): the requirements that a State measure progress toward Long-Term Goals and Measures of Interim Progress (MIP); meaningfully differentiate, on an annual basis, all public schools, including adjusting the Academic Achievement indicator based on a participation rate below 95 percent; and identify schools for Comprehensive, Targeted, and additional Targeted Support and Improvement based on data from the 2020-2021 school year.
- Report card provisions related to accountability in Section 1111(h) based on data from the 2020-2021 school year. These include:
- Section 1111(h)(1)(C)(i)(I)-(IV) and (VI): Accountability system description, other than the list of Comprehensive, Targeted, and additional Targeted Support and Improvement Schools.
- Section 1111(h)(1)(C)(iii)(I): Other Academic indicator results for schools that are not high schools.
- Section 1111(h)(1)(C)(v): School Quality or Student Success indicator results.
- Section 1111(h)(1)(C)(vi): Progress toward meeting Long-Terms Goals and MIPs.
- Section 1111(h)(2)(C) with respect, at the LEA and school levels, to all waiver requirements in section 1111(h)(1)(C).

Therefore, the Department is proposing to amend sections 100.21(a) and (b)(3)(i) of the Commissioner's regulations to provide that the Commissioner shall not identify schools for Comprehensive, Targeted, and additional Targeted Support and Improvement for the 2021-2022 school year based on data from the 2020-2021 school year. As such, the accountability status of public schools and districts for the 2021-2022 school year shall be the same as for the 2020-2021 school year. Additionally, the proposed amendment to section 100.21(a) of the Commissioner's regulation extends the time period where the Commissioner may, upon a finding of good cause, modify any timelines pertaining to notifications, plans, reports, or implementation of activities required by such section through the 2022-2023 school year.

The Department also proposes to amend section 100.21(b)(2)(xi) of the Commissioner's regulations to: (1) delay until the 2023-2024 school year the Commissioner assigning a Level from 1 to 4 to any accountability group for which a school or district is accountable on the out-of-school suspension indicator based on 2022-2023 school year results and the requirement that districts implement the provisions of section 100.21(i)(4) for any schools that have an accountability group that performs at Level 1 on the out-of-school suspension indicator; and (2) delay until the 2025-2026 school year the incorporation of the out-of-school suspension indicator into the methodology used to determine the accountability status of schools and districts under this section.

Finally, the Department proposes to amend sections 100.21(i)(5)(ii), (i)(5)(iii), (i)(5)(iv), (i)(5)(v), and (i)(5)(vi) of the Commissioner's regulations to: (1) delay until the 2023-2024 school year the requirement that certain districts conduct a participation rate audit and develop an updated participation rate improvement plan for any school that completes a school participation rate self-assessment and improvement plan in the previous school year and that fails to improve its participation rates for the subgroup(s) and subject(s), as determined by the Commissioner, for which the plan was required; (2) delay until the 2024-2025 school year the requirement that certain districts partner with a Board of Cooperative Educational Services (BOCES) or other technical assistance center to conduct a participation rate audit and develop an updated participation rate plan for any school for which a district audit and district participation rate improvement plan is completed in the previous school year and that fails to improve its participation rates for the subgroup(s) and subject(s), as determined by the Commissioner; and (3) delay until the 2025-2026 school year the requirements that the Department conduct an audit of the participation rate and require schools to address recommendations contained in the participation rate audit for any school for which an audit and participation rate improvement plan was completed in the previous school year and that fails to improve its participation rates for the subgroup(s) and subject(s), as determined by the Commissioner, for which the plan was required.

Later this school year, the Department will recommend for Board of Regents consideration additional regulatory amendments to address issues pertaining to 2022-2023 and subsequent school year accountability determinations

3. PROFESSIONAL SERVICES:

The proposed rule does not impose any additional professional services requirements on local governments.

4. COMPLIANCE COSTS:

The proposed rule does not impose any costs on local governments.

5. ECONOMIC AND TECHNOLOGICAL FEASIBILITY:

The proposed rule does not impose any additional costs or technological requirements on local governments.

6. MINIMIZING ADVERSE IMPACT:

The proposed rule is necessary to align the Commissioner's regulations consistent with the waiver granted to the NYSED by USDE providing LEAs flexibility from federal accountability requirements in the 2020-2021 school year. There were no significant alternatives considered

7. LOCAL GOVERNMENT PARTICIPATION:

Comments on the proposed rule were solicited from school districts through the offices of the district superintendents of each supervisory district in the State, from the chief school officers of the five big city school districts.

Rural Area Flexibility Analysis

The purpose of the proposed amendment to section 100.21 of the Regulations of the Commissioner of Education is to align the Commissioner's regulations consistent with the waiver granted to the New York State Education Department (NYSED) by the United States Department of Education (USDE) providing Local Educational Agencies (LEAs) flexibility from federal accountability requirements in the 2020-2021 school

Thus, the proposed amendment does not have any adverse economic impact entities in rural areas of New York State or impose any reporting, recordkeeping, or compliance requirements on public or private entities in rural areas. Accordingly, no further steps were needed to ascertain the impact of the proposed amendment on entities in rural areas and none were taken. Thus, a rural flexibility analysis is not required and one has not been prepared.

Job Impact Statement

The purpose of the proposed amendment to section 100.21 of the Regulations of the Commissioner of Education is to align the Commissioner's regulations consistent with the waiver granted to the New York State Education Department (NYSED) by the United States Department of Education (USDE) providing Local Educational Agencies (LEAs) flexibility from federal accountability requirements in the 2020-2021 school year. Because it is evident from the nature of the proposed rule that it will have no impact on the number of jobs or employment opportunities in New York State, no further steps were needed to ascertain that fact and none were taken. Accordingly, a job impact statement is not required and one has not been prepared.

EMERGENCY/PROPOSED RULE MAKING NO HEARING(S) SCHEDULED

Administration of Non-Injectable Glucagon in Schools by Trained Unlicensed School Personnel

I.D. No. EDU-52-21-00015-EP

Filing No. 1264

Filing Date: 2021-12-14 **Effective Date:** 2021-12-14

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following action:

Proposed Action: Amendment of section 136.7 of Title 8 NYCRR.

Statutory authority: Education Law, sections 207, 305, 921; L. 2021, ch.

339

Finding of necessity for emergency rule: Preservation of public health and public safety.

Specific reasons underlying the finding of necessity: Glucagon is a prescription medication used to treat severe hypoglycemia (low blood sugar) and is prescribed to individuals with diabetes who take insulin. Severe hypoglycemia can be life threatening and must be treated immediately. In 2015, Education Law § 921 was enacted to increase access to students in need of glucagon by permitting school districts, boards of cooperative educational services (BOCES), and nonpublic schools to allow trained unlicensed school personnel to administer injections of prescribed glucagon to students with written parent/guardian consent, in emergency situations where an appropriate licensed health professional is not available.

New formulations of prescribed glucagon are now available that are not injected. To capture these methods, Chapter 339 of the Laws of 2021 (Chapter 339) amended Education Law § 921 and replaced the word "inject" with "administer," permitting schools to train unlicensed school personnel to administer these new formulations of prescribed glucagon which do not required injection as the route to administration of the medication.

Section 136.7(f)(2) of the Commissioner's regulations outlines the requirements for training unlicensed school personnel to administer prescribed glucagon. To implement Chapter 339, the proposed rule amends section 136.7(f) of the Commissioner's regulations to provide that a component of the glucagon training shall include the steps for mixing, if necessary, prescribed glucagon. Additionally, such section is amended to reflect the current practice of utilizing a Department-approved course on glucagon administration, for an overview of diabetes and hypoglycemia, rather than a Department of Health approved webinar and to clarify that such glucagon training includes observation of the trainee using a manufacturer's glucagon training devise or demonstration device.

Since the Board of Regents meets at fixed intervals, the earliest the proposed amendment could be adopted by regular (nonemergency) action after expiration of the 60-day public comment period provided for in the State Administrative Procedure Act (SAPA) sections 201(1) and (5) would be the April 2022 Regents meeting. Furthermore, pursuant to SAPA § 203(1), the earlier effective date of the proposed rule, if adopted at the April 2022 meeting, would be April 27, 2022, the date the Notice of Adoption would be published in the State Register.

Therefore, emergency action is necessary at the December 2021 meeting for the preservation of public health and safety to immediately conform the Commissioner's regulations to Chapter 339 of the Laws of 2021 so that unlicensed school personnel may be trained to administer new formulations of glucagon which do not require injection.

It is anticipated that the proposed rule will be presented to the Board of Regents for adoption as a permanent rule at the April 2022 meeting, which is the first scheduled meeting after expiration of the 60-day public comment period mandated by SAPA for state agency rule making. However, since the emergency action will expire before the April 2022 Regents meeting, it is anticipated that an additional emergency action will be presented for adoption at the March 2022 Regents meeting.

Subject: Administration of non-injectable glucagon in schools by trained unlicensed school personnel.

Purpose: To conform the Commissioner's regulations to chapter 339 of the Laws of 2021.

Text of emergency/proposed rule: Paragraph (2) of subdivision (f) of section 136.7 of the Regulations of the Commissioner of Education is amended to read as follows:

- (2) Training of Unlicensed Personnel to Administer Prescribed Glucagon. Unlicensed school personnel employed by school districts, boards of cooperative education services, and non-public schools must complete an annual course of training regarding the administration of prescribed glucagon to a student. Such training must be provided and documented by an authorized licensed health professional as described in section (d) of this section. Components of such training shall include, but not be limited to:
- (i) overview of diabetes and hypoglycemia utilizing [the Department of Health approved webinar] *a department approved course* on glucagon administration;
- (ii) review of the student's emergency [action] care plan, if available, including treatment of mild or moderate hypoglycemia;
- (iii) signs and symptoms of a severe hypoglycemia warranting administration of glucagon;
 - (iv) how to access emergency services per school policy;
- (v) the steps for mixing, if necessary, and administering the prescribed glucagon;
- (vi) observation of the trainee using a manufacturer's glucagon training device or demonstration device;
- (vii) steps for providing ongoing care while waiting for emergency services;
 - (viii) notification of appropriate school personnel; and
- (ix) methods of safely storing, handling, and disposing of glucagon and used needles and syringes.

This notice is intended: to serve as both a notice of emergency adoption and a notice of proposed rule making. The emergency rule will expire March 13, 2022.

Text of rule and any required statements and analyses may be obtained from: Kirti Goswami, NYS Education Department, Office of Counsel, 89 Washington Avenue, Room 112 EB, Albany, NY 12234, (518) 474-6400, email: legal@nysed.gov

Data, views or arguments may be submitted to: Kathleen DeCataldo, Assistant Commissioner, Office of Student Support Services, NYS Education Department, 89 Washington Avenue, Room 318-M-EB, Albany, NY 12234, (518) 473-2890, email: REGCOMMENTS@nysed.gov

Public comment will be received until: 60 days after publication of this notice.

This rule was not under consideration at the time this agency submitted its Regulatory Agenda for publication in the Register.

Regulatory Impact Statement

1. STAŤUTÓRY AUTHORITY:

Education Law § 207 grants general rule making authority to the Board of Regents to carry into effect the laws and policies of the State relating to education.

Education Law § 305(1) and (2) provide the Commissioner, as chief executive officer of the State's education system, with general supervision over all schools and institutions subject to the Education Law, or any statute relating to education, and responsibility for executing all educational policies of the Regents.

Education Law § 921, as amended by Chapter 339 of the Laws of 2021 (Chapter 339), allows school districts, boards of cooperative educational services (BOCES), and nonpublic schools to allow trained unlicensed school personnel to administer prescribed glucagon to students with written parent/guardian consent, in emergency situations where an appropriate licensed health professional is not available.

2. LEGISLATIVE OBJECTIVES:

The proposed amendment is consistent with the above statutory authority and is necessary to implement and otherwise conform Commissioner's Regulations to Education Law § 921 as amended by Chapter 339, which permits schools to train unlicensed personnel to administer new formulations of prescribed glucagon.

3. NEEDS AND BENEFITS:

Glucagon is a prescription medication used to treat severe hypoglycemia (low blood sugar) and is prescribed to individuals with diabetes who take insulin. Severe hypoglycemia can be life threatening and must be treated immediately. In 2015, Education Law § 921 was enacted to increase access to students in need of glucagon by permitting school districts, boards of cooperative educational services (BOCES), and nonpublic schools to allow trained unlicensed school personnel to administer injections of prescribed glucagon to students with written parent/guardian consent, in emergency situations where an appropriate licensed health professional is not available.

New formulations of prescribed glucagon are now available that are not injected. To capture these methods, Chapter 339 amended Education Law § 921 and replaced the word "inject" with "administer," permitting schools to train unlicensed school personnel to administer these new formulations

of prescribed glucagon.

Section 136.7(f)(2) of the Commissioner's regulations outlines the requirements for training unlicensed school personnel to administer prescribed glucagon. To implement Chapter 339, the proposed rule amends section 136.7(f) of the Commissioner's regulations to provide that a component of the glucagon training shall include the steps for mixing, if necessary, prescribed glucagon. Additionally, such section is amended to reflect the current practice of utilizing a Department-approved course on glucagon administration, for an overview of diabetes and hypoglycemia, rather than a Department of Health approved webinar and to clarify that such glucagon training includes observation of the trainee using a manufacturer's glucagon training device or demonstration device by the authorized licensed booth professional and device by the authorized licensed health professional providing such training.

4. COSTS:

(a) Costs to State government: There are no additional costs to State government.

(b) Costs to local government: There are no additional costs to local government.

(c) Cost to private regulated parties: The proposed amendment does not impose any additional costs on regulated parties.

(d) Cost to the regulatory agency: The proposed amendment will not impose any additional costs on the Department.
5. LOCAL GOVERNMENT MANDATES:

The proposed rule does not impose any program, service, duty or responsibilities on local governments, but merely implements and otherwise conforms Commissioner's Regulations to Chapter 339.

6. PAPERWORK: The proposed rule does not require any additional paperwork, and is necessary to implement Chapter 339. 7. DUPLICATION:

The proposed amendment does not duplicate any other existing State or Federal requirements.

8. ALTERNATIVES:

The proposed rule is necessary to implement Chapter 339. There were no significant alternatives considered.

9. FEDERAL STANDARDS:

There are no applicable Federal standards. 10. COMPLIANCE SCHEDULE:

If adopted as an emergency rule at the December 2021 Regents meeting, the emergency rule will become effective December 14, 2021. It is anticipated that the proposed rule will be presented to the Board of Regents for permanent adoption at the April 2022 Regents meeting, after publication of the proposed amendment in the State Register and expiration of the 60-day public comment period required under the State Administrative Procedure Act. Because the emergency action will expire before the April 2022 Regents meeting, it is anticipated that an additional emergency action will be presented for adoption at the March 2022 meeting. If adopted at the April 2022 meeting, the proposed amendment will become effective on April 27, 2022. It is anticipated that regulated parties can achieve compliance with the proposed rule by its effective date.

Regulatory Flexibility Analysis

(a) Small businesses:

The proposed rule is necessary to implement Education Law § 921, as amended by Chapter 339 of the Laws of 2021, which permits school districts, boards of cooperative educational services (BOCES), and nonpublic schools to allow trained unlicensed school personnel to administer prescribed glucagon to students with written parent/guardian consent, in emergency situations where an appropriate licensed health professional is not available.

The proposed rule does not impose any economic impact, or other compliance requirements on small businesses. Because it is evident from the nature of the proposed rule that it does not affect small businesses, no further measures were needed to ascertain that fact, and none were taken. Accordingly, a regulatory flexibility analysis for small businesses is not required and one has not been prepared.

b) Local governments:

1. EFFECT OF RULE:

The proposed rule applies to all school districts, BOCES and non-public elementary and secondary schools in the State.

2. COMPLIANCE REQUIREMENTS:

The proposed rule is necessary to implement Education Law § 921, as amended by Chapter 339 of the Laws of 2021, which permits school districts, boards of cooperative educational services (BOCES), and nonpublic schools to allow trained unlicensed school personnel to administer prescribed glucagon to students with written parent/guardian consent, in emergency situations where an appropriate licensed health professional is not available.

3. PROFESSIONAL SERVICES:

The proposed rule does not impose any additional professional service requirement on local governments.

4. COMPLIANCE COSTS:

The proposed amendment does not impose any costs on local governments.

5. ECONOMIC AND TECHNOLOGICAL FEASIBILITY:

The proposed rule does not impose any additional technological requirements on local governments.

6. MINIMIZING ADVERSE IMPACT:

The proposed rule is necessary to implement Education Law § 921, as amended by Chapter 339 of the Laws of 2021. There were no significant alternatives considered.

7. LOCAL GOVERNMENT PARTICIPATION:

Comments on the proposed rule will be solicited from school districts through the offices of the district superintendents of each supervisory district in the State, from the chief school officers of the five big city school

Rural Area Flexibility Analysis

1. TYPES AND EŠTIMATED NUMBERS OF RURAL AREAS:

The proposed rule applies to school districts, boards of cooperative educational services (BOCES), and nonpublic elementary and secondary schools, including those located in the 44 rural counties with fewer than 200,000 inhabitants and the 71 towns and urban counties with a population density of 150 square miles or less.

2. REPÖRTING, RECORDKEEPING, AND OTHER COMPLIANCE REQUIREMENTS; AND PROFESSIONAL SERVICES:

The proposed rule is necessary to implement Education Law § 921, as amended by Chapter 339 of the Laws of 2021 (Chapter 339). The proposed rule generally does not impose any compliance requirements upon local governments. Consistent with the statute, school districts, BOCES, and non-public elementary and secondary schools may, but are not required to, train unlicensed personnel to administer glucagon.

The proposed rule does not impose any additional professional services requirements on entities in rural areas.

3. COSTS:

The proposed amendment is necessary to conform the Commissioner's regulations with Chapter 339. Consistent with the statute, school districts, BOCES and nonpublic schools may, but are not required to, provide training to unlicensed school personnel to administer glucagon. The proposed amendment does not impose any additional costs to school districts, BOCES, or non-public schools, including those in rural areas.

4. MINIMIZING ADVERSE IMPACT:

The proposed rule is necessary to implement Education Law § 921, as amended by Chapter 339 of the Laws of 2021. Because the statute upon which the proposed amendment is based applies to all school districts, BOCES, and non-public schools in the state, it is not possible to establish differing requirements for schools in rural areas. Additionally, schools may, but are not required to, provide training to unlicensed school personnel to administer glucagon. Therefore, no alternatives were considered for school districts, BOCES, or non-public schools located in rural areas.

5. RURAL AREA PARTICIPATION:

Comments on the proposed rule will be solicited from school districts through the offices of the district superintendents of each supervisory district in the State, from the chief school officers of the five big city school districts including those in rural areas.

Job Impact Statement

The proposed amendment necessary to implement Education Law § 921, as amended by Chapter 339 of the Laws of 2021, which allows the administration of certain prescribed medications by trained unlicensed school personnel in school districts, boards of cooperative educational services (BOCES), and nonpublic schools. Because it is evident from the nature of the proposed amendment that it will have no impact on jobs or employment opportunities, no further measures were needed to ascertain that fact, and none were taken. Accordingly, a job impact statement is not required, and one has not been prepared.

NOTICE OF ADOPTION

Addressing the COVID-19 Crisis

I.D. No. EDU-20-20-00008-A

Filing No. 1265

Filing Date: 2021-12-14 Effective Date: 2021-12-29

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following action:

Action taken: Amendment of sections 51-1.3, 52.21, 60.6, 61.12, 80-1.2, 80-3.7, 100.1, 100.2, 100.4, 100.5, 100.6, 100.7, 100.19; addition of section 80-5.27 to Title 8 NYCRR.

Statutory authority: Education Law, sections 101, 207, 209, 210, 211-f, 214, 215, 305, 3001, 3004, 3009, 3204, 3205, 3602, 3602-3, 3602-ee, 6525, 6611; Every Student Succeeds Act of 2015, sections 1111(b)(3), (c)(4), (d)(2); 20 U.S.C., section 6301 et seq. (Public Law 114-95, 129 STAT. 1802)

Subject: Addressing the COVID-19 Crisis.

Purpose: To provide flexibility for certain regulatory requirements in response to the COVID-19 crisis.

Substance of final rule: On January 30, 2020, the World Health Organization designated the novel coronavirus, COVID-19, outbreak as a Public Health Emergency of International Concern. On March 7, 2020, the Governor of New York State declared a State disaster emergency for the entire State of New York pursuant to Executive Order 202. Subsequently, the Governor issued additional Executive Orders in response to the COVID-19 crisis, including orders directing the closure of schools and directing nonessential work personnel to work from home. In response, the Department has adopted emergency regulations to address numerous issues resulting from the interruptions caused by the COVID-19 crisis. To address additional issues resulting from the interruptions caused by the COVID-19 crisis, the Department is proposing further emergency regulatory amendments as follows:

Professions

o Section 60.6 is amended to permit the Department, at its discretion, to renew limited permits in medicine for one additional 24-month period.
o Section 61.19(b) is amended to permit the Department to accept

o Section 61.19(b) is amended to permit the Department to accept alternative means to be used by dentists and dental hygienists to obtain and/or maintain the required certification in cardiopulmonary resuscitation other than through an in-person course during the COVID-19 crisis.

Receivership

o Section 100.19 is amended to provide that: (1) the Commissioner shall not use 2019-20 or 2020-2021 school year results to newly identify in the 2021-2022 school year any schools as struggling, place any schools under independent receivership, or remove the designation of any schools as struggling or persistently struggling; (2) all schools identified as persistently struggling or struggling schools for the 2019-20 school year shall remain so identified for the 2020-21 and 2021-2022 school years and all schools that operated under a school district superintendent receiver in the 2019-20 and 2020-2021 school years shall continue to operate under a school district superintendent receiver in the 2021-22 school year; and (3) the Commissioner may, upon a finding of good cause, modify for the 2019-20 through 2022-23 school years any timelines pertaining to notifications, plans, reports, or implementation of activities required by such section, except for any timelines prescribed by law.

Higher Education

o Section 80-3.7 is amended to allow any undergraduate or graduate level course completed during the spring, summer, or fall 2020 terms with a passing grade, or its equivalent, to count toward the content core or pedagogical core semester hour requirements for certification through the linkvidual Evaluation pathway. The passing grade, or its equivalent, must be in accordance with the pass/fail grading policy, or its equivalent, at the institution of higher education (e.g., credit/no credit, pass/fail, satisfactory/unsatisfactory policy).

o Section 80-1.2(b) is amended to extend the expiration date of the Initial certificate, Initial Reissuance, Provisional certificate, Provisional Renewal, and the extensions of the initial and Provisional certificates from August 31, 2020 to January 31, 2021 to provide candidates with the time needed to work in schools and complete the requirements for the Professional or Permanent certificate. Additionally, such section is amended to extend the expiration date of the Conditional Initial certificate from August 31, 2020 to August 31, 2021 to provide candidates with the time needed to complete the edTPA, which requires working with students. An additional year gives candidates the time to establish a relationship with students, teach lessons and assess student learning, and prepare the edTPA submission once classroom routines are more consistent after the COVID-19 crisis

o Section 80-5.27 is added to create an Emergency COVID-19 certificate for candidates seeking certain certificates, extensions, and annotations because there is limited test center availability and schools have been closed pursuant to Executive Order(s) of the Governor due to the COVID-19 crisis. This certificate would be valid for two years. Candidates must apply for the certificate, extension, or annotation on or before September 1, 2022. They must also apply for the Emergency COVID-19 certificate, in the same certificate title as the certificate, extension, or annotation applied for, on or before September 1, 2022.

o Section 52.21(c) is amended to exempt school district leader (SDL) and school business leader (SDBL) candidates from taking and passing

the SDL and SDBL assessment, respectively, for program completion and for the institutional recommendation for the Professional certificate, if they completed all program requirements except the assessment requirement in the 2019-2020 or 2020-20201 academic year. The exemption enables these candidates to complete their program while there is limited test center availability due to the COVID-19 crisis and receive institutional recommendation for Professional certification, which would be needed to pursue the proposed Emergency COVID-19 certificate. The candidates would need to take and pass the SDL and SDBL assessment to earn Professional SDL and SDBL certification, respectively.

o Section 52.21(c) is also amended to exempt candidates admitted to Transitional D programs leading to school district leader certification from taking and passing the SDL assessment for the institutional recommendation for the Transitional D certificate, if they completed all requirements for admitted candidates except the assessment requirement on or before September 1, 2021. The exemption enables Transitional D candidates to receive institutional recommendation for Transitional D certification while there is limited test center availability due to the COVID-19 crisis, which would be needed to pursue the proposed Emergency COVID-19 certificate. The candidates would need to take and pass the SDL assessment to earn Transitional D certification.

· Early Learning

o Section 151-1.3(b) is amended to waive the requirement that school districts must annually monitor and track prekindergarten program effectiveness for the 2019-2020 school year and to waive the annual report of the percentage of prekindergarten children making significant gains for the 2019-2020 school year.

Alternative High Šchool Equivalency Program ("AHSEP")

o Section 100.7 is amended to provide an extension to the June 30 application deadline for alternative high school equivalency preparation programs to be operated during the 2020-2021 school year.

• Curriculum and Instruction

o Section 100.1 is amended to provide that a unit of credit may be earned where a student has not completed a unit of study due to the COVID-19 crisis but has otherwise achieved the standards assessed in the provided coursework.

o Sections 100.2 and 100.5 are amended to provide an exemption to the diploma, credential, and endorsement requirements associated with the Regents examination, pathway assessment, alternative assessment, technical assessment, and locally developed test during the 2019-20 and 2020-21 school years due to the COVID-19 crisis so that students are still able to meet their diploma requirements.

o Section 100.5(a)(5)(vi)(a) is amended to provide an exemption to the diploma, credential, and endorsement requirements related to the passing of the January 2022 Regents examination in United States History and Government so that students are still able to meet their diploma requirements since the January 2022 Regents examination in United States History and Government will not be available.

o Section 100.4 is amended to make a technical citation correction.

o Section 100.5 is amended to provide that for the 2019-2020 and 2020-2021 school years the 1,200 minutes of laboratory experience is not required for a student to qualify to take a Regents examination where such student is unable to meet the 1,200 minute requirement due to the COVID -19 crisis, and to provide that 1,200 minutes of lab experience is not required for a student to be exempted from a Regents examination.

o Section 100.6 is amended to exempt students from the requirements for the career development and occupational studies commencement credential ("CDOS"), including the work readiness assessment, provided that the student is otherwise eligible to exit from high school and has otherwise demonstrated knowledge and skills relating to the CDOS learning standards.

o Section 100.7 is amended to provide an exemption from the subtests of the general comprehensive examination requirements for a high school equivalency diploma where students meet certain criteria for the 2019-20 and 2020-21 school years.

Final rule as compared with last published rule: Nonsubstantive changes were made in section 100.19(m)(2).

Revised rule making(s) were previously published in the State Register on June 23, 2021 and September 29, 2021.

Text of rule and any required statements and analyses may be obtained from: Kirti Goswami, NYS Education Department, Office of Counsel, 89 Washington Avenue, Room 112EB, Albany, NY 12234, (518) 474-6400, email: legal@nysed.gov

Revised Regulatory Impact Statement

Since publication of a Notice of Emergency Adoption Revised Rule Making in the State Register on September 29, 2021, a non-substantial revision was made to section 110.19(m)(2) of the Regulations of the Commissioner of Education to fix a typographical error. The proposed revised rule stated that:

Notwithstanding any other provision of this section, all schools identified as persistently struggling or struggling schools for the 2019-2020 school year shall remain so identified for the 2020-2021 and 2020-2021 school years and all schools that, pursuant to subdivision (a) of this section, operated under a school district superintendent receiver in the 2019-2020 and 2020-2021 school years shall continue to operate under a school district superintendent receiver in the 2021-2022 school year.

The typographical revision removes the repeated reference to the 2020-2021 school year and replaces such with a corrected reference to the 2021-2022 school year. The above non-substantial revision does not require any changes to the previously published Regulatory Impact Statement.

Revised Regulatory Flexibility Analysis

Since the publication of the Notice of Emergency Adoption and Revised Rule Making in the State Register on September 29, 2021, a non-substantial revision was made to the proposed regulation as set forth in the Statement Concerning the Regulatory Impact Statement submitted herewith.

The above non-substantial revision does not require any changes to the previously published Regulatory Flexibility Analysis for Small Businesses and Local Governments.

Revised Rural Area Flexibility Analysis

Since the publication of a Notice of Emergency Adoption and Revised Rule Making in the State Register on September 29, 2021, a non-substantial revision was made to the proposed regulation as set forth in the Statement Concerning the Regulatory Impact Statement submitted herewith. The revised proposed amendment does not adversely impact entities in rural areas of New York State.

Accordingly, no further steps were needed to ascertain the impact of the proposed amendment on entities in rural areas and none were taken. Thus, a rural flexibility analysis is not required and one has not been prepared.

Revised Job Impact Statement

Since publication of a Notice of Emergency Adoption and Proposed Rule Making in the State Register on September 29, 2021, a non-substantial revision was made to the proposed regulation as set forth in the Statement Concerning the Regulatory Impact Statement submitted herewith.

The revised proposed rule will not have a substantial adverse impact on jobs and employment opportunities. Because it is evident from the nature of the proposed revised rule that it will not affect job and employment opportunities, no affirmative steps were needed to ascertain that fact and none were taken. Accordingly, a job impact statement is not required and one has not been prepared.

Initial Review of Rule

As a rule that requires a RFA, RAFA or JIS, this rule will be initially reviewed in the calendar year 2024, which is no later than the 3rd year after the year in which this rule is being adopted.

Assessment of Public Comment

The agency received no public comment.

NOTICE OF ADOPTION

Education Law 310 Appeals to the Commissioner and Initiation Conduct of Proceedings for the Removal of School Officers

I.D. No. EDU-17-21-00011-A

Filing No. 1260

Filing Date: 2021-12-14 Effective Date: 2021-12-29

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following action:

Action taken: Amendment of Part 276 and section 277.1 of Title 8 NYCRR.

Statutory authority: Education Law, sections 101, 207, 305, 310 and 311 Subject: Education Law 310 Appeals to the Commissioner and Initiation

Conduct of Proceedings for the Removal of School Officers.

Purpose: To make technical changes and other clarifying amendments to

section 310 appeal procedures and requirements.

Text or summary was published in the April 28, 2021 issue of the Register, I.D. No. EDU-17-21-00011-P.

Final rule as compared with last published rule: No changes.

Revised rule making(s) were previously published in the State Register on September 29, 2021.

Text of rule and any required statements and analyses may be obtained from: Kirti Goswami, NYS Education Department, Office of Counsel, 89 Washington Avenue, Room 112EB, Albany, NY 12234, (518) 474-6400, email: legal@nysed.gov

Initial Review of Rule

As a rule that requires a RFA, RAFA or JIS, this rule will be initially reviewed in the calendar year 2024, which is no later than the 3rd year after the year in which this rule is being adopted.

Assessment of Public Comment

The agency received no public comment.

NOTICE OF ADOPTION

School Counselor Bilingual and Supplementary Bilingual Education Extension and Registration Requirements

I.D. No. EDU-21-21-00009-A

Filing No. 1262

Filing Date: 2021-12-14 **Effective Date:** 2021-12-29

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following action:

Action taken: Amendment of sections 52.21, 80-2.9 and Subpart 80-4 of Title 8 NYCRR.

Statutory authority: Education Law, sections 101, 207, 210, 305, 3001, 3004 and 3009

Subject: School Counselor Bilingual and Supplementary Bilingual Education Extension and Registration Requirements.

Purpose: To create the bilingual education extension, supplementary bilingual education extension, and registration requirements for programs leading to the bilingual education extension for initial and professional school counselor certificates.

Text or summary was published in the May 26, 2021 issue of the Register, I.D. No. EDU-21-21-00009-P.

Final rule as compared with last published rule: No changes.

Revised rule making(s) were previously published in the State Register on September 29, 2021.

Text of rule and any required statements and analyses may be obtained from: Kirti Goswami, NYS Education Department, Office of Counsel, 89 Washington Avenue, Room 112EB, Albany, NY 12234, (518) 474-6400, email: legal@nysed.gov

Initial Review of Rule

As a rule that requires a RFA, RAFA or JIS, this rule will be initially reviewed in the calendar year 2024, which is no later than the 3rd year after the year in which this rule is being adopted.

Assessment of Public Comment

The agency received no public comment.

NOTICE OF ADOPTION

General Unprofessional Conduct Provisions for the Design Professions and Continuing Education Requirements for the Profession

I.D. No. EDU-39-21-00009-A

Filing No. 1261

Filing Date: 2021-12-14 **Effective Date:** 2021-12-29

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following action:

Action taken: Amendment of sections 29.3 and 68.14; repeal of section 68.15 of Title 8 NYCRR.

Statutory authority: Education Law, sections 207, 6504, 6507, 6509, 7204-b, 720-6-b, 7211 and 7212; L. 2020, ch. 260; L. 2014, ch. 475

Subject: General Unprofessional Conduct Provisions for the Design Professions and Continuing Education Requirements for the Profession.

Purpose: To conform regulations with the requirements of chapter 160 of the Laws of 2020 and to make technical corrections.

Text or summary was published in the September 29, 2021 issue of the Register, I.D. No. EDU-39-21-00009-P.

Final rule as compared with last published rule: No changes.

Text of rule and any required statements and analyses may be obtained from: Kirti Goswami, NYS Education Department, Office of Counsel, 89 Washington Avenue, Room 112 EB, Albany, NY 12234, (518) 474-6400, email: legal@nysed.gov

Initial Review of Rule

As a rule that requires a RFA, RAFA or JIS, this rule will be initially reviewed in the calendar year 2024, which is no later than the 3rd year after the year in which this rule is being adopted.

Assessment of Public Comment

Since publication of the Notice of the Emergency Adoption and Proposed Rule Making in the State Register on September 29, 2021, the State Education Department received the following comment on the proposed amendment.

1. COMMENT: A comment was submitted by the President of the New York State Association of Professional Land Surveyors ("NYSAPLS") on behalf of the organization. The commenter states that NYSAPLS supported the legislation that was signed into law as Chapter 260 of the Laws of 2020 (Chapter 260), which streamlines continuing education (CE) requirements, allows flexibility in educational activity formats, and permits carryover of some CE credits for the design professions. The commenter further states that Chapter 260 ensures that New York State's design profession CE requirements are modernized to reflect the increased use of online educational opportunities and updated to allow for increased learning opportunities. Additionally, the commenter states that NYSAPLS supports the proposed rule and looks forward to continuing to support the Department as these regulations are implemented.

DEPARTMENT RESPONSE: The Department appreciates the supportive comments as it works to protect the public by implementing Chapter 260. Chapter 260 modernizes outdated laws that regulate CE for professional engineers and land surveyors and mandates CE for professional geologists to help ensure New York State licensed design professionals maintain their competency throughout their careers.

NOTICE OF ADOPTION

Licensure Examination, Licensure by **Endorsement** and Continuing Education Requirements in the Profession of **Pharmacy**

I.D. No. EDU-39-21-00010-A

Filing No. 1263

Filing Date: 2021-12-14

Effective Date: 2022-01-01 for sections 63.2, 63.3, 63.5; 2023-01-01 for

section 63.7

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following action:

Action taken: Amendment of sections 63.2, 63.3, 63.5 and 63.7 of Title 8 NYCRR

Statutory authority: Education Law, sections 207, 6504, 6507, 6801, 6805 and 6827

Subject: Licensure Examination, Licensure by Endorsement and Continuing Education Requirements in the Profession of Pharmacy.

Purpose: To provide flexibility in determining acceptable licensure examinations and to add compounding continuing education requirements. Text of final rule: 1. Subdivision (b) of section 63.2 of the Regulations of the Commissioner of Education is amended, to read as follows:

- (b) [Graduates] Effective January 1, 2022 graduates of nonregistered and nonaccredited programs, including foreign programs in pharmacy, shall be authorized to begin an internship only after passing [Part I of the pharmacist licensing examination] an initial examination or examinations acceptable to the board of pharmacy for licensure purposes and determined by the department to be satisfactory for measuring the applicant's knowledge regarding the curricular areas defined in subdivision (b) of section 52.29 of this Title and other matters of practice as may be deemed appropriate by the department. Thereafter, the applicant shall complete not less than 12 months of full-time experience, or the equivalent thereof, in an internship program which meets the requirements of paragraphs (a)(1), (3) and (4) of this section. Upon completion of the internship program, the applicant may be admitted to [the practical portions (Parts II and III) of the examination] an additional examination or examinations acceptable to the board of pharmacy for licensure purposes and determined by the department to be satisfactory for measuring the applicant's knowledge regarding the practice of pharmacy, as well as the curricular areas defined in subdivision (b) of section 52.29 of this Title.
- 2. Section 63.3 of the Regulations of the Commissioner of Education is amended, to read as follows:

Licensing examinations.

(a) [Content. The examination shall consist of three parts:

Part I.: Applied chemistry, mathematics, pharmacology and pharmaceutics and clinical pharmacy.

Part II.: Professional law, ethics and professional conduct, prescription and nonprescription required drugs and prescription problems

Part III.: Prescription compounding and pharmacy practice.] Effective January 1, 2022, each candidate applying for licensure as a pharmacist in New York State shall pass an examination or examinations acceptable to the board of pharmacy for licensure purposes and determined by the department to be satisfactory for measuring the applicant's knowledge regarding the curricular areas defined in subdivision (b) of section 52.29 of this Title and other matters of practice as may be deemed appropriate by the department. The passing score for such examination or examinations shall be determined by the department.

(b) [Examination components.

- (1) Part I of examination. The department may accept satisfactory scores on an examination of the National Association of Boards of Pharmacy as meeting the requirements of Part I of the licensing examination.
 - (2) Alternative to part III of examination.
- (i) The department may accept, as meeting the requirements of part III of the licensing examination, certification that the applicant has successfully achieved each of the following competencies as part of a residency program in pharmacy practice approved by the department:

(a) sterile product preparation and technique;

(b) non-sterile compounding preparation and technique; (c) performing dosing calculations, including but not limited to aliquot, proportions, and infusion drip-rates;

(d) medication safety procedures, including, but not limited to, identifying potential look-alike and sound-alike drugs and other medication error prevention techniques;

(e) drug distribution, including but not limited to preparing, dispensing and verifying the accuracy of filled prescriptions or medication orders; and

(f) such other competencies in pharmacy practice as may be required by the department.

(ii) Such certification shall be on a form prescribed by the commis-

sioner and shall be completed by the residency program director who supervised the applicant's performance in such residency program, attesting that the applicant has successfully achieved such competencies and that in the supervisor's judgment the applicant is competent to practice pharmacy.

(c) Passing score. The passing score in each part of the examination shall be 75.0, as determined by the State Board of Pharmacy.

(d) Special condition. A graduate of a registered or accredited program of education who has not completed the required practical experience may be admitted to Part I of the examination only.]

A candidate may retain credit for passing scores on each required examination for licensure as a pharmacist for a period not to exceed five years from the examination or examinations dates.

- (c) Special provisions. Effective January 1, 2022, candidates with a pending application for licensure may submit a form prescribed by the department, which the department will review to determine whether the candidates meet the revised requirements for licensure on or after that
- 3. Section 63.5 of the Regulations of the Commissioner of Education is amended, to read as follows:

[For] Effective January 1, 2022, an applicant for licensure as a pharmacist by endorsement of a pharmacist license issued by another jurisdiction[, the applicant] shall:

(a) present evidence of having met all requirements of 59.6 of this Title, [except that the applicant shall have had, during the five years preceding the filing of the application, at least one year of satisfactory experience following licensure;] except for the experience requirement in paragraph (b) of 59.6 of this Title; and

(b) pass an examination of the laws, rules, regulations, and professional conduct pertaining to the practice of pharmacy in New York [administered by the department or an equivalent examination] acceptable to the board of pharmacy for licensure purposes and determined by the department to be satisfactory for this purpose [State Board of Pharmacy]

4. Paragraph (1) of subdivision (c) of section 63.7 of the Regulations of the Commissioner of Education is amended to read as follows:

(1) During each triennial registration period, meaning a registration period of three years' duration, an applicant for registration shall complete at least 45 hours of formal continuing education acceptable to the department, as defined in paragraph (4) of this subdivision, provided that no more than 22 hours of such continuing education shall consist of selfstudy courses. During registration periods beginning on or after September 1, 2003, a licensee shall complete as part of the 45 hours of formal continuing education, or pro-ration thereof, at least three hours of formal continuing education acceptable to the department in the processes and strategies that may be used to reduce medication and/or prescription errors. Any licensee participating in collaborative drug therapy management pursuant to Education Law section 6801-a, shall complete as part of the 45 hours of formal continuing education, or pro-ration thereof, at least five hours of formal continuing education acceptable to the department in the area or areas of practice generally related to any collaborative drug therapy management protocols to which the pharmacist may be subject, provided that such continuing education shall not be completed as self-study. Commencing with registration periods beginning on or after January 1, 2023, a licensee shall complete as part of the 45 hours of formal continuing education at least three hours of formal continuing education acceptable to the department in compounding. For purposes of this requirement, the continuing education in the area of compounding shall be consistent with current compounding standards as determined by the department.

Final rule as compared with last published rule: Nonsubstantial changes were made in section 63.2(b).

Text of rule and any required statements and analyses may be obtained from: Kirti Goswami, NYS Education Department, Office of Counsel, 89 Washington Avenue, Room 112 EB, Albany, NY 12234, (518) 474-6400, email: legal@nysed.gov

Revised Regulatory Impact Statement

Since publication of a Notice of Proposed Rule Making in the State Register on September 29, 2021, Department staff discovered that, while the beginning of the proposed amendment to section 63.2(b) of the Commissioner's regulations contains the following language: "... an initial examination or examinations acceptable to the board of pharmacy for licensure purposes and determined by the department to be satisfactory for measuring the applicant's knowledge regarding the curricular areas defined in subdivision (b) of section 52.29 of this Title. ...", the end of this subdivision inadvertently failed to include the "... and determined by the department to be satisfactory. ." language as intended. The Department proposed identical language in the amendment to section 63.3(a) of the Commissioner's regulations. This omitted language is needed to further the intent of the proposed amendment and to ensure consistency in the language of the proposed amendment. Thus, subsequent to the publication of a Notice of Proposed Rule Making noted above, a non-substantial revision was made to the proposed amendment of section 63.2 of the Commissioner's regulations as follows:

[Graduates] Effective January 1, 2022 graduates of nonregistered and nonaccredited programs, including foreign programs in pharmacy, shall be authorized to begin an internship only after passing [Part I of the pharmacist licensing examination] an initial examination or examinations acceptable to the board of pharmacy for licensure purposes and determined by the department to be satisfactory for measuring the applicant's knowledge regarding the curricular areas defined in subdivision (b) of section 52.29 of this Title and other matters of practice as may be deemed appropriate by the department. Thereafter, the applicant shall complete not less than 12 months of full-time experience, or the equivalent thereof, in an internship program which meets the requirements of paragraphs (a)(1), (3) and (4) of this section. Upon completion of the internship program, the applicant may be admitted to [the practical portions (Parts II and III) of the examination] an additional examination or examinations acceptable to the board of pharmacy for licensure purposes and determined by the department to be satisfactory for measuring the applicant's knowledge regarding the practice of pharmacy, as well as the curricular areas defined in subdivision (b) of section 52.29 of this Title. (emphasis added).

The above non-substantial revision does not require any changes to the previously published Regulatory Impact Statement.

Revised Regulatory Flexibility Analysis

Since the publication of the Notice of Proposed Rule Making in the State Register on September 29, 2021, a non-substantial revision was made to the proposed regulation as set forth in the Statement Concerning the Regulatory Impact Statement submitted herewith.

The above non-substantial revision does not require any changes to the previously published Regulatory Flexibility Analysis for Small Businesses and Local Governments.

Revised Rural Area Flexibility Analysis

Since the publication of a Notice of Proposed Rule Making in the State Register on September 29, 2021, a non-substantial revision was made to the proposed regulation as set forth in the Statement Concerning the Regulatory Impact Statement submitted herewith.

The above non-substantial revision does not require any changes to the previously published Rural Area Flexibility Analysis.

Revised Job Impact Statement

Since publication of a Notice of Proposed Rule Making in the State Register on September 29, 2021, a non-substantial revision was made to the proposed regulation as set forth in the Statement Concerning the Regulatory Impact Statement submitted herewith.

The revised proposed rule will not have a substantial adverse impact on jobs and employment opportunities. Because it is evident from the nature of the proposed revised rule that it will not affect job and employment op-

portunities, no affirmative steps were needed to ascertain that fact and none were taken. Accordingly, a job impact statement is not required and one has not been prepared.

Initial Review of Rule

As a rule that requires a RFA, RAFA or JIS, this rule will be initially reviewed in the calendar year 2024, which is no later than the 3rd year after the year in which this rule is being adopted.

Assessment of Public Comment

Since the publication of a Notice of Proposed Rule Making in the September 29, 2021 State Register, the State Education Department received the following comments on the proposed regulatory amendments:

1. COMMENT: A pharmacy association expressed support for the proposed amendments to section 63.2(b) of the Commissioner's regulations to the degree that it provides the Board of Pharmacy with the authority to determine what examinations (exams) are acceptable for licensure purposes. It further supports the proposed amendments to section 63.3(a) of the Commissioner's Regulations as they relate to providing the Board of Pharmacy with the authority to set exam standards for the candidates. However, the association recommended that the language "... and other matters of practice as may be deemed appropriate by the department" as well as "... and other matters as may be deemed appropriate by the department" be stricken from the amendments, arguing that the Board of Pharmacy is composed of subject matter experts and is, therefore, in the best position make these determinations to protect public health.

DEPARTMENT RESPONSE: The Department appreciates the supportive comments as it works to protect the public by implementing the proposed amendments, which, among other things, amends the licensure pathway by eliminating the Part III exam for New York pharmacist licensure.

Pursuant to Education Law § 6508, the State Boards of the Professions are appointed by the Board of Regents for the purpose of assisting the Board of the Regents and the Department on matters of professional licensing, practice, and conduct. Pursuant to Education Law § 6805, candidates must pass an exam satisfactory to the Board of Pharmacy and in accordance with the Commissioner's regulations. The proposed amended language at issue provides the Department with the flexibility to update the matters of practice that are covered on the licensing examination as needed to ensure the protection of public health without requiring further regulatory amendments and does not diminish the Board of Pharmacy's advisory role to the Department, nor the fact that the exam(s) chosen must be acceptable to such Board. Therefore, no changes to the proposed rule are required.

2. COMMENT: A New York college with a school of pharmacy and a pharmacy association both commented that they fully support the elimination of the Part III exam. The college noted that long grading times and the limited twice a year offering of the exam caused delays in licensure of qualified applicants, resulting in many individuals obtaining licensure from and choosing to work in other states.

The college further stated that most states do not require a practical compounding exam for licensure and there is no evidence to show that compounding errors occur more frequently in those states. The college also stated that New York schools are required to teach compounding to a high degree to adhere to the national standards of education established by the Accreditation Council for Pharmacy Education (ACPE).

DEPARTMENT RESPONSE: The Department appreciates the supportive comments as it works to protect the public by implementing the proposed regulations, which, among other things, amend the licensure pathway by eliminating the Part III exam for applicants seeking to practice the profession of pharmacy in New York. Since the comments are supportive, no changes to the proposed rule are necessary.

3. COMMENT: A college of pharmacy and a pharmacy association agreed with the findings of the gap analysis, which compared the virtual Part III exam against the national exams to determine what competency gaps, if any, existed. However, they also stated that had the analysis been conducted using the pre-pandemic version of the Part III exam, rather than the virtual Part III version of the exam, competency gaps would have been identified. They further commented that the elimination of the Part III exam would hinder the State Board of Pharmacy's ability to assess whether candidates are adequately prepared for state licensure, which would decrease the assurance of public safety.

DEPARTMENT RESPONSE: In both the virtual and practical formats,

DEPARTMENT RESPONSE: In both the virtual and practical formats, the Part III exam tests applicants on the competencies outlined in Part 52.29 of the Commissioner's regulations. The gap analysis findings indicated that the competencies outlined in the regulations, including compounding, were also tested on the nationally offered exam, under its revised competency blueprint, resulting in duplicative testing. As a result, the Part III exam no longer serves a unique purpose. Therefore, no changes to the proposed rule are required.

4. COMMENT: A college of pharmacy and two pharmacy associations

commented that the Board of Pharmacy and the Department should consider expanding the Part III exam to include additional topics relevant to the scope of practice – such as patient counseling and immunization administration. One pharmacy association stated that, due to the new Registered Pharmacy Technician profession, it is now even more important for newly licensed pharmacists to have, at least, minimum competency in compounding to be able to properly supervise them in compounding related work. Furthermore, they requested that a comprehensive blueprint of their proposed expanded Part III exam be developed to provide candidates with a thorough overview of what is being tested and that pharmacy professors be utilized to develop and prepare their proposed expanded exam.

DEPARTMENT RESPONSE: The nationally administered NAPLEX exam, under its revised competency blueprint, tests candidates on six areas within the profession of pharmacy, the subcategories of which include, but are not limited to: techniques for drug administration, obtaining, interpreting or assessing medical or patient information and expanded practice responsibilities. Part 52.29 (a)(5) of the Commissioner's regulations requires pharmacy schools to include, as part of their clinical sciences content area, an appropriate mix of clinical experiences in both community and institutional pharmacies. Thus, the currently required curriculum, in conjunction with the national testing standards, ensure that newly licensed pharmacists are adequately prepared to practice at an entry level. Should the national exams fall below the standards outlined in the current regulations, the proposed amendments to the regulations provide the Department with the flexibility to require an additional exam or exams if necessary. Moreover, if the Part III exam is eliminated as proposed, the other above-referenced comments will be moot. Therefore, no changes to the proposed rule are required.

5. COMMENT: A pharmacy association commented that the removal of the Part III exam might alter the level to which the schools of pharmacy teach compounding and recommended that Part 52.29 of the Commissioner's regulations be amended to emphasize training in compounding as a curricular requirement.

DEPARTMENT RESPONSE: Section 52.29(a)(4)(ii) of the Commissioner's regulations currently lists compounding as a curricular area required for general education in the pharmacy profession. Furthermore, to sit for the nationally offered exam, students are required to graduate from an accredited program. ACPE is the national agency responsible for the accreditation of pharmacy degree programs. Extemporaneous compounding is a required element of an ACPE accredited Doctor of Pharmacy curriculum. Should there be any changes to the scope of pharmacy practice, which require additional or revised testing, the proposed regulatory amendments give the Board and Department the flexibility to determine what additional exams, if any, are required to test such new competencies. Therefore, no changes to the proposed rule are required.

6. COMMENT: A pharmacy association commented that it opposes the proposed amendment to section 63.3(a) of the Commissioner's regulations which states: "The passing score for such examination or examinations shall be determined by the department," citing that not providing a numeric score within the proposed regulations leaves candidates without a sense of certainty and will cause unsuccessful candidates to challenge the outcome of their exam results as arbitrary and capricious.

DEPARTMENT RESPONSE: In addition to revising its competency blueprint(s), the National Association of Boards of Pharmacy (NABP®) recently discontinued its issuance of numeric scores for the North American Pharmacist Licensure Examination (NAPLEX) and Multistate Pharmacy Jurisprudence Examination (MPJE) – opting instead to implement only a "pass/fail" result. Thus, if the proposed amendment cited a specific numeric value, it would not be in line with the current reporting system, which would hinder the ability of the Department to stay consistent with the evolving profession of pharmacy and its testing requirements. Additionally, not including a specific numeric passing score is consistent with other professions' examination requirements, as indicated in Commissioner's regulations. Therefore, no changes to the proposed rule are required.

7. COMMENT: A pharmacy association expressed its support of the proposed amendment to section 63.5 of the Commissioner's regulations regarding licensure by endorsement and the incorporation of section 59.6 in such regulation, thereby eliminating the inconsistency between these provisions.

DEPARTMENT RESPONSE: The Department appreciates the supportive comments as it works to protect the public by, among other things, increasing access to pharmacy services by implementing the proposed amendments which amends both the regular licensure and licensure by endorsement pathways for applicants seeking to practice the profession of pharmacy in New York. Because the comment is supportive, no changes to the proposed rule are needed.

8. COMMENT: A New York college with a school of pharmacy commented that it supported adding the new three credit compounding

continuing education (CE) requirement for pharmacists for each triennial registration period.

DEPARTMENT RESPONSE: The Department appreciates the supportive comments as it works to protect the public by implementing the proposed amendments, including mandating that pharmacists complete three CE credits in compounding each triennial registration period to assist in ensuring that they maintain their competency in this area throughout their careers. Because the comment is supportive, no changes to the proposed rule are needed.

9. COMMENT: A New York college of pharmacy commented that not

9. COMMENT: A New York college of pharmacy commented that not all accredited pharmacy CE providers may be able to provide sufficient compounding related CE offerings for all New York licensed pharmacists. However, they noted that they themselves are well positioned to develop and offer these types of educational activities

and offer these types of educational activities.

DEPARTMENT RESPONSE: Education Law § 6827(4) states that CE courses must be taken from a Department approved provider. The proposed amendment is not effective until January 1, 2023. This effective date allows sufficient time for approved providers and prospective approved providers to develop appropriate compounding CE courses and content.

10. COMMENT: A pharmacist and a pharmacy association commented that instead of compounding, the Department should implement CE in more relevant topics, such as pharmacy law/ethics and immunization administration.

DEPARTMENT RESPONSE: Pursuant to section 63.7(c)(4) of the Commissioner's regulations, the list of acceptable CE subjects is not exhaustive, which means that an approved CE provider could offer a course in the subject matter(s) of pharmacy law/ethics and/or immunization administration, as long as the course contributes to the profession of pharmacy. The Department will continue to assess the need to further refine the CE requirements going forward. Therefore, no change to the proposed rule is necessary.

11. COMMENT: A New York licensed pharmacist commented that it would be more beneficial for the Department to require all compounding CE courses be given in a live format with a hands-on component.

DEPARTMENT RESPONSE: Pharmacists are required by Education Law § 6827(2) to complete at least 23 of the required 45 CE credits by taking live courses. The existing CE requirements allow appropriate flexibility for licensed pharmacists and recognize the value of various CE modalities, which include live format courses. Therefore, no changes to the proposed rule are required.

12. COMMENT: A pharmacist, a college of pharmacy, and a pharmacy association within New York commented that only pharmacists who were actively engaged in sterile and non-sterile compounding should be required to complete the proposed CE credits in compounding each triennial registration period.

DEPARTMENT RESPONSE: Pursuant to Education Law § 6801(1), compounding is part of the scope of practice of the profession of pharmacy. Likewise, Education Law § 6827(4) authorizes the Department to require pharmacists to complete CE in specific subjects consistent with that scope of practice. Given the serious consequences of improperly compounded medications, and the fact that a pharmacist can change jobs or duties at any time, requiring compounding CE for all pharmacists will contribute to the health and welfare of the public by assisting in ensuring that pharmacists maintain competency in compounding throughout their careers. Therefore, no change to the proposed rule is necessary.

PROPOSED RULE MAKING NO HEARING(S) SCHEDULED

General Education Core in the Liberal Arts and Sciences Requirements

I.D. No. EDU-52-21-00012-P

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following proposed rule:

Proposed Action: Amendment of sections 52.21 and 80-3.7 of Title 8 NYCRR.

Statutory authority: Education Law, sections 14, 101, 207, 208, 305, 308, 3001, 3004 and 3009

Subject: General Education Core in the Liberal Arts and Sciences requirements.

Purpose: To remove the General Education Core in the Liberal Arts and Sciences requirement for registered teacher preparation programs and the Individual Evaluation Pathway to teacher certification.

Substance of proposed rule (Full text is posted at the following State website: http://www.counsel.nysed.gov/rules/full-text-indices): The proposed amendments to sections 52.21 and 80-3.7 of the Regulations of

the Commissioner of Education removes the general education core in the liberal arts and sciences requirement for registered teacher preparation programs and for candidates seeking teacher certification outside of a New York State registered teacher preparation program via the individual evaluation pathway, including career changers.

Proposed Amendments to 8 NYCRR 52.21:

The proposed rule amends section 52.21 titled "Registration of curricula in teacher education", removing the general education core in the liberal arts and sciences requirement contained in clause 52.21(b)(2)(ii)(a) and making corresponding amendments to references throughout such

Proposed Amendments to 8 NYCRR 52.21: The proposed rule amends section 80-3.7 titled "Satisfaction of education requirements for certification in the classroom teaching service through individual evaluation", removing the general education core in the liberal arts and sciences requirement in subparagraph 80-3.7(a)(2)(iii) and making corresponding amendments to references throughout such

Text of proposed rule and any required statements and analyses may be obtained from: Kirti Goswami, NYS Education Department, Office of Counsel, 89 Washington Avenue, Room 112EB, Albany, NY 12234, (518) 474-6400, email: legal@nysed.gov

Data, views or arguments may be submitted to: Petra Maxwell, NYS Education Department, Office of Higher Education, 89 Washington Avenue, Room 975 EBA, Albany, NY 12234, (518) 474-2238, email: OHEREGComments@nysed.gov

Public comment will be received until: 60 days after publication of this

This rule was not under consideration at the time this agency submitted its Regulatory Agenda for publication in the Register.

Regulatory Impact Statement

. STAŤUTÔRY AUTHORITY:

Education Law § 14 authorizes the Commissioner to prescribe regulations pertaining to certification and licensing requirements of a classroom

Education Law § 101 (not subdivided) charges the Department with the general management and supervision of all public schools and all of the educational work of the state.

Education Law § 207 grants general rule-making authority to the Regents to carry into effect State educational laws and policies.

Education Law § 208 authorizes the Board of Regents to confer certificates, diplomas and degrees on persons who satisfactorily meet the requirements prescribed by them.

Education Law § 305 authorizes the Commissioner to enforce the educational policies of this State and execute all educational policies determined by the Regents and shall prescribe the licensing of teachers employed in this State.

Education Law § 308 authorizes the Commissioner to institute such proceedings and processes as may be necessary to enforce and implement any law pertaining to the school system of the state or any part thereof or to any school district or city.

Education Law § 3001 establishes the qualifications of teachers in the

Education Law § 3004 authorizes the Commissioner to promulgate regulations governing the certification requirements for teachers employed in public schools.

Education Law § 3009 prohibits school districts from paying the salary of an unqualified teacher.

2. LEGISLATIVE OBJECTIVES:

The proposed amendment is consistent with the above legislative authority and is necessary to implement Regents' policy of addressing barriers to certification during this period of significant teacher shortages. The proposed amendment addresses such by removing the general education core in the liberal arts and sciences requirement for registered teacher preparation programs and the individual evaluation pathway to teacher certification, because candidates are already completing a distribution of liberal arts and science for their undergraduate degree, making the requirement an unnecessary obstacle to program completion. Additionally, the proposed amendment provides teacher preparation programs greater flexibility to offer coursework in the content core and pedagogical core.

3. NEEDS AND BENEFITS:

To obtain an undergraduate degree in New York State, all students, including those enrolled in teacher preparation programs, must complete a minimum amount of liberal arts and sciences (LAS) coursework. The precise amount depends upon the degree (8 NYCRR 3.47). Institutions of higher education (IHEs) typically require LAS coursework across a range of disciplines as an undergraduate degree requirement. In addition, the Middle States Commission on Higher Education requires those IHEs which it accredits to ensure that undergraduates complete a general education program that includes coursework in oral and written communication, scientific and quantitative reasoning, critical analysis and reasoning, technological competency, and information literacy.

Section 52.21 of the Commissioner's regulations require that most

registered teacher preparation programs ensure that candidates complete coursework in the following three core areas:

- General education core in the liberal arts and sciences. Candidates complete study in the liberal arts and sciences, including artistic expression, communication, information retrieval, concepts in history and social sciences, humanities, a world language other than English, scientific and mathematical processes, and written analysis and expression.
- Content core. Candidates complete a major or its equivalent of at least 30 semester hours in the subject area(s) of the certificate sought, which prepares them with the knowledge base to teach the subject area(s) in accordance with the State learning standards for students, as prescribed in Part 100 of this Title. This, in turn, prepares them for refining and expanding that knowledge base.

• Pedagogical core. Candidates complete study in specified pedagogical knowledge, understanding, and skills, and field experiences, student teaching, and/or practica.

The Department is proposing to remove the general education core in LAS requirement for registered teacher preparation programs because candidates are already completing a distribution of liberal arts and science for their undergraduate degree, making the requirement an unnecessary obstacle to program completion.

By removing this requirement, undergraduate teacher preparation programs may have greater flexibility to offer coursework in the content core and pedagogical core. Additionally, undergraduate programs would still need to meet the degree requirements of having a specified minimum amount of LAS coursework and at least 120 semester hours for the undergraduate degree (8 NYCRR 3.47(c) and 52.2(c)(7)).

For candidates in graduate teacher preparation programs, the general education core in LAS is completed during their undergraduate degree. If a candidate entering a graduate program has not met one or more of the requirements of the general education core in LAS, the institution must require them to take additional LAS coursework to complete the requirement. Faculty in graduate teacher preparation programs have expressed that this requirement presents an unnecessary obstacle to program completion.

For similar reasons, the Department proposes to eliminate the general education core in LAS requirement for candidates seeking teacher certification outside of a New York State registered teacher preparation program via the individual evaluation pathway. These candidates are required to complete 30 semester hours of coursework that includes study in each of the following subjects: artistic expression, communication, information retrieval, concepts in history and social sciences, humanities, a world language other than English, scientific and mathematical processes, and written analysis and expression.

As with candidates enrolled in teacher preparation programs, these candidates have typically completed such requirements during their bachelor's degree, which is required to satisfy the individual evaluation pathway. They would also continue to need to complete the content core and pedagogical core requirements for certification via the individual evaluation pathway.

This proposal removes a potential barrier to teacher certification and is intended to alleviate current teacher shortages.

4. COSTS:

- a. Costs to State government: The amendment does not impose any costs on State government, including the State Education Department.
- b. Costs to local government: The amendment does not impose any costs on local government.
- c. Costs to private regulated parties: The amendment does not impose any costs on private regulated parties.
- d. Costs to regulating agency for implementation and continued administration: See above.

5. LOCAL GOVERNMENT MANDATES:

The proposed amendment does not impose any additional program, service, duty or responsibility upon any local government.

6. PAPERWORK:

The proposed amendment does not impose any additional paperwork requirements

7. DUPLICATION:

The proposed amendment does not duplicate existing State or Federal requirements.

8. ALTERNATIVES:

The proposed amendment implements Regents' policy of addressing barriers to certification during this period of significant teacher shortages by removing the general education core in the liberal arts and sciences requirement for registered teacher preparation programs and the individual evaluation pathway to teacher certification. Candidates are already

completing a distribution of liberal arts and science for their undergraduate degree, making the requirement an unnecessary obstacle to program completion. Additionally, the proposed amendment provides teacher preparation programs greater flexibility to offer coursework in the content core and pedagogical core. No alternatives to the proposed amendment were considered.

9. FEDERAL STANDARDS:

There are no Federal standards that establish requirements for the certification of teachers for service in the State's public schools.

10. COMPLIANCE SCHEDULE:

A Notice of Proposed Rule Making will be published in the State Register on December 29, 2021. Following the 60-day public comment period required under the State Administrative Procedure Act, it is anticipated that the proposed amendment will be presented to the Board of Regents for adoption at its April 2023 meeting. If adopted at the April 2023 meeting, the proposed amendment will become effective on April 27, 2022. It is anticipated that regulated parties will be able to comply by the stated effective date.

Regulatory Flexibility Analysis

In order to address barriers to certification during this period of significant teacher shortages, the proposed amendment removes the general education core in the liberal arts and sciences requirement for registered teacher preparation programs and the individual evaluation pathway to teacher certification. Candidates are already completing a distribution of liberal arts and science for their undergraduate degree, making the requirement an unnecessary obstacle to program completion. Additionally, the proposed amendment provides teacher preparation programs greater flexibility to offer coursework in the content core and pedagogical core.

For similar reasons, the Department proposes to eliminate the general education core in LAS requirement for candidates seeking teacher certification outside of a New York State registered teacher preparation program via the individual evaluation pathway. These candidates are required to complete 30 semester hours of coursework that includes study in each of the following subjects: artistic expression, communication, information retrieval, concepts in history and social sciences, humanities, a world language other than English, scientific and mathematical processes, and written analysis and expression.

As with candidates enrolled in teacher preparation programs, these candidates have typically completed such requirements during their bachelor's degree, which is required to satisfy the individual evaluation pathway. They would also continue to need to complete the content core and pedagogical core requirements for certification via the individual evaluation pathway.

This proposal removes a potential barrier to teacher certification and is intended to alleviate current teacher shortages. The amendment does impose any new recordkeeping or other compliance requirements and will not have an adverse economic impact on small businesses or local governments. Because it is evident from the nature of the proposed amendments that it will not affect small businesses or local governments, no further steps were needed to ascertain that fact and none were taken. Accordingly, a regulatory flexibility analysis for small businesses and local governments is not required and one has not been prepared.

Rural Area Flexibility Analysis

1. TYPES AND ESTIMATED NUMBERS OF RURAL AREAS:

The proposed amendment applies to all teacher preparation programs in New York State including those located in the 44 rural counties with fewer than 200,000 inhabitants and the 71 towns and urban counties with a population density of 150 square miles or less.

2. REPORTING, RECORDKEEPING, AND OTHER COMPLIANCE REQUIREMENTS; AND PROFESSIONAL SERVICES:

The Department is proposing to remove the general education core in LAS requirement for registered teacher preparation programs because candidates are already completing a distribution of liberal arts and science for their undergraduate degree, making the requirement an unnecessary obstacle to program completion.

By removing this requirement, undergraduate teacher preparation programs may have greater flexibility to offer coursework in the content core and pedagogical core. Additionally, undergraduate programs would still need to meet the degree requirements of having a specified minimum amount of LAS coursework and at least 120 semester hours for the undergraduate degree (8 NYCRR 3.47(c) and 52.2(c)(7)).

For candidates in graduate teacher preparation programs, the general education core in LAS is completed during their undergraduate degree. If a candidate entering a graduate program has not met one or more of the requirements of the general education core in LAS, the institution must require them to take additional LAS coursework to complete the requirement. Faculty in graduate teacher preparation programs have expressed that this requirement presents an unnecessary obstacle to program completion.

For similar reasons, the Department proposes to eliminate the general education core in LAS requirement for candidates seeking teacher certification outside of a New York State registered teacher preparation program via the individual evaluation pathway. These candidates are required to complete 30 semester hours of coursework that includes study in each of the following subjects: artistic expression, communication, information retrieval, concepts in history and social sciences, humanities, a world language other than English, scientific and mathematical processes, and written analysis and expression.

As with candidates enrolled in teacher preparation programs, these candidates have typically completed such requirements during their bachelor's degree, which is required to satisfy the individual evaluation pathway. They would also continue to need to complete the content core and pedagogical core requirements for certification via the individual evaluation pathway.

This proposal removes a potential barrier to teacher certification and is intended to alleviate current teacher shortages and does not impose any reporting, recordkeeping or other compliance requirements on teacher preparation programs nor does it require any professional services to

comply. 3. COSTS:

The proposed amendment does not impose any costs on the State, local governments, private regulated parties or the State Education Department.

4. MINIMIZING ADVERSE IMPACT:

The proposed amendment does not impose any additional compliance requirements or costs on teacher candidates or teacher preparation programs and instead provides flexibility be removing the general core in LAS requirement. The State Education Department does not believe any changes for candidates who live or work in rural areas or teacher preparation programs located in rural areas is warranted because uniform standards for certification are necessary across the State.

5. RURAL AREA PARTICIPATION:

Copies of the proposed amendments have been provided to the New York Association of Colleges for Teacher Education and New York State United Teachers, who have members in rural areas, for review and comment

Job Impact Statement

In order to address barriers to certification during this period of significant teacher shortages, the proposed amendment removes the general education core in the liberal arts and sciences requirement for registered teacher preparation programs and the individual evaluation pathway to teacher certification. Candidates are already completing a distribution of liberal arts and science for their undergraduate degree, making the requirement an unnecessary obstacle to program completion. Additionally, the proposed amendment provides teacher preparation programs greater flexibility to offer coursework in the content core and pedagogical core.

For similar reasons, the Department proposes to eliminate the general education core in LAS requirement for candidates seeking teacher certification outside of a New York State registered teacher preparation program via the individual evaluation pathway. These candidates are required to complete 30 semester hours of coursework that includes study in each of the following subjects: artistic expression, communication, information retrieval, concepts in history and social sciences, humanities, a world language other than English, scientific and mathematical processes, and written analysis and expression.

As with candidates enrolled in teacher preparation programs, these candidates have typically completed such requirements during their bachelor's degree, which is required to satisfy the individual evaluation pathway. They would also continue to need to complete the content core and pedagogical core requirements for certification via the individual evaluation pathway.

This proposal removes a potential barrier to teacher certification and is intended to alleviate current teacher shortages. Because it is evident from the nature of the proposed amendment that it will have no impact on the existing number of jobs or employment opportunities in New York State, no further steps were needed to ascertain that fact and none were taken.

PROPOSED RULE MAKING NO HEARING(S) SCHEDULED

Eacher Performance Assessment Requirement for Certification

I.D. No. EDU-52-21-00013-P

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following proposed rule:

Proposed Action: Amendment of sections 52.21, 80-1.5, 80-3.3, 80-3.4, 80-5.8 and 80-5.17 of Title 8 NYCRR.

Statutory authority: Education Law, sections 14, 101, 207, 208, 305, 308, 3001, 3004 and 3009

Subject: Eacher performance assessment requirement for certification.

Purpose: To modify the teacher performance assessment requirement by eliminating the requirement of the edTPA for certification and, instead, requiring that New York State registered teacher preparation program integrate a teacher performance assessment into the candidates' student teaching, practicum, or similar clinical experience.

Substance of proposed rule (Full text is posted at the following State website: http://www.counsel.nysed.gov/rules/full-text-indices): The Department is therefore proposing to modify the teacher performance assessment requirement by eliminating the requirement of the edTPA for certification and, instead, requiring that New York State registered teacher preparation programs integrate a teacher performance assessment into the candidates' student teaching, practicum, or similar clinical experience (e.g., residency, mentored in-service component).

Amendments to 8 NYCRR 52.21:

The proposed amendment adds a definition of "teacher performance assessment" to section 52.21(b)(1) of the Regulations of the Commissioner of Education as follows:

Teacher performance assessment means a multi-measure assessment where candidates demonstrate the pedagogical knowledge and skills identified in the New York State Teaching Standards, which align with the four principles of the New York State Culturally Responsive-Sustaining Education Framework, and their content knowledge and skill in teaching to the State learning standards in the grade band and subject area of a certificate sought.

The proposed rule also amends section 52.21(b)(2)(ii)(c)(2)(iii) of the Commissioner's regulations to provide that effective September 1, 2023 field experiences student teaching and practica in teacher preparation programs shall include a teacher performance assessment for candidates seeking their first initial teaching certificate that shall be integrated into the candidates' student teaching, practicum, or similar clinical experience and designed to promote candidates' professional growth.

Amendments to 8 NYCRR Part 80:

Since the teacher performance assessment would move from a certification requirement to a program requirement, the Department is also proposing several attendant changes to Part 80 of the Commissioner's regulations related to certification. The edTPA safety net, edTPA MMRP, and Conditional Initial certificate in the classroom teaching service would be removed from the regulations along with references to the teacher performance assessment requirement for certification. The proposed amendments are as follows:

- Amendment to section 80-1.5 to remove references to the teacher performance assessment for certification in 80-1.5(b) and 80-1.5(c)(1), and to remove subdivision (d), the "multiple measures review process for the edTPA":
- Amendment to section 80-3.3 to remove references to the teacher performance assessment with respect to the requirements for initial certificate in the classroom teaching service;
- in the classroom teaching service;
 Amendment to 80-3.4 to remove references to the teacher performance assessment in 80-3.4(b)(3) with respect to transitional C certificate holders and others holding a graduate academic or graduate professional degree:
- Amendment to section 80-5.8 regarding endorsement of certificates for service as a teacher in the classroom teaching service, removing the language contained in 80-5.8(a)(1)(vi)(a) requiring that the candidate complete a teacher education program from a regionally accredited institution of higher education or a higher education institution that the Commissioner deems substantially equivalent, provided that such program leads to an initial certificate, or a similar certificate title and type, in the jurisdiction in which the higher education institution is located; and meet the examination requirements for the title and type of certificate sought in this State; except if a candidate meets all the examination requirements except the teacher performance assessment, if required for the certificate type and title sought, the candidate shall be issued a one-year nonrenewable conditional initial certificate, provided that the candidate meets the requirements of section 80-5.17; and
- Amendment to section 80-5.17 of the Commissioner's regulations to remove the language in 80-5.17(a) with respect to conditional initial certificates in the classroom teaching service.

Text of proposed rule and any required statements and analyses may be obtained from: Kirti Goswami, NYS Education Department, Office of Counsel, 89 Washington Avenue, Room 112EB, Albany, NY 12234, (518) 474-6400, email: legal@nysed.gov

Data, views or arguments may be submitted to: Petra Maxwell, NYS Education Department, Office of Higher Education, 89 Washington Avenue, Room 975 EBA, Albany, NY 12234, (518) 474-2238, email: OHEREGComments@nysed.gov

Public comment will be received until: 60 days after publication of this notice.

This rule was not under consideration at the time this agency submitted its Regulatory Agenda for publication in the Register.

Regulatory Impact Statement

1. STAŤUTÔRY AUTHORITY:

Education Law § 14 authorizes the Commissioner to prescribe regulations pertaining to certification and licensing requirements of a classroom teacher.

Education Law § 101 (not subdivided) charges the Department with the general management and supervision of all public schools and all of the educational work of the state.

Education Law § 207 grants general rule-making authority to the Regents to carry into effect State educational laws and policies.

Education Law § 208 authorizes the Board of Regents to confer certificates, diplomas and degrees on persons who satisfactorily meet the requirements prescribed by them.

Education Law § 305 authorizes the Commissioner to enforce the educational policies of this State and execute all educational policies determined by the Regents and shall prescribe the licensing of teachers employed in this State.

Education Law § 308 authorizes the Commissioner to institute such proceedings and processes as may be necessary to enforce and implement any law pertaining to the school system of the state or any part thereof or to any school district or city.

Education Law § 3001 establishes the qualifications of teachers in the classroom.

Education Law § 3004 authorizes the Commissioner to promulgate regulations governing the certification requirements for teachers employed in public schools.

Education Law § 3009 prohibits school districts from paying the salary of an unqualified teacher.

2. LEGISLATIVE OBJECTIVES:

The proposed amendment to sections 52.21, 80-1.5, 80-3.3, 80-3.4, 80-5.8, and 80-5.17 of the Regulations of the Commissioner of Education is consistent with the above statutory authority and is necessary to implement Regents' policy of addressing barriers to certification during this period of significant teacher shortages. The proposed amendment addresses such by modifying the teacher performance assessment requirement, eliminating the requirement of the edTPA for certification and, instead, requiring that New York State registered teacher preparation programs integrate a teacher performance assessment into the candidates' student teaching, practicum, or similar clinical experience.

3. NEEDS AND BENEFITS:

Beginning May 1, 2014, teacher candidates have been required to complete a teacher performance assessment, in addition to other certification exams, to obtain most teacher certificates in New York State. In particular, they must pass the edTPA to satisfy this requirement. The edTPA is a national teacher performance assessment where candidates demonstrate their readiness to teach.

For the edTPA, candidates plan for instruction and assessment, videotape themselves teaching and engaging students in learning, assess and analyze students' learning, and reflect on their practice. Candidates typically complete the edTPA during their student teaching or practicum experience. The edTPA costs \$300 and is administered and scored by the Evaluation Systems group of Pearson.

For out-of-state candidates, the one-year Conditional Initial certificate in the classroom teaching service is available for those who hold a valid teacher certificate in another U.S. state that is equivalent to the New York State certificate sought, completed an acceptable teacher preparation program in another U.S. state, and met all other requirements for certification other than the teacher performance assessment requirement. This certificate enables out-of-state candidates to teach in New York State public schools for one year while completing the edTPA.

The State Education Department has offered edTPA "safety nets" for candidates since the inception of the teacher performance assessment requirement, allowing eligible candidates to take and pass the Assessment of Teaching Skills - Written (ATS-W) in lieu of the edTPA. In addition, the edTPA Multiple Measures Review Process (MMRP) is available for candidates who do not pass the edTPA but score within two points of the passing score and meet additional eligibility requirements. Candidates who successfully complete the MMRP process are deemed to have satisfied the teacher performance assessment requirement.

During the COVID-19 pandemic, teacher preparation program faculty reported that the edTPA safety nets have been helpful; this experience also informed their recommendation that the edTPA be eliminated as a requirement for certification. They indicated that the edTPA often becomes the primary focus for candidates during the student teaching experience, which detracts from other potential learning experiences and responsibilities. In addition, it is difficult for candidates to manage the multiple tasks and related logistics of the assessment (e.g., videorecording) and it represents a significant out-of-pocket expense. Thus, according

to these educators, the edTPA serves as a barrier to certification during this period of significant teacher shortages.

The Department is therefore proposing to modify the teacher performance assessment requirement by eliminating the requirement of the edTPA for certification and, instead, requiring that New York State registered teacher preparation programs integrate a teacher performance assessment into the candidates' student teaching, practicum, or similar clinical experience (e.g., residency, mentored in-service component). This assessment would be designed to promote the professional growth of candidates seeking their first initial teaching certificate and thus serve as both a formative and summative assessment for candidates.

The Department proposes the following definition that teacher preparation programs would use to develop or choose their teacher performance assessment.

• Teacher performance assessment means a multi-measure assessment where candidates demonstrate the pedagogical knowledge and skills identified in the New York State Teaching Standards, which align with the four principles of the New York State Culturally Responsive-Sustaining Education Framework, and their content knowledge and skill in teaching to the State learning standards in the grade band and subject area of a certificate sought.

As such, candidates would be explicitly assessed on the knowledge and skills expected of New York State teachers. The teaching standards, elements, and performance indicators for each element are described in the New York State Teaching Standards. Those standards are aligned with the four principles of the New York State Culturally Responsive-Sustaining Education Framework.

This requirement will allow programs to develop a teacher performance assessment that is user-friendly and meets the needs of their candidates. Additionally, the teacher performance assessment could be completed with no additional costs to students, depending on each program's determination of its teacher performance assessment requirements.

Programs would have until September 1, 2023 to integrate a teacher performance assessment into candidates' student teaching, practicum, or similar clinical experience. This timing gives programs a transition period of over a year to develop or choose a teacher performance assessment and update their curriculum accordingly. Between the effective date of the proposed amendment and September 1, 2023, field experiences, student teaching, and practica must continue to comply with the program's philosophy, purposes, and objectives, with learning outcomes specified and their achievement regularly evaluated, as described in section 52.21.

Since the teacher performance assessment would move from a certification requirement to a program requirement, the Department is also proposing several attendant changes to Part 80 of the Commissioner's regulations related to certification. The edTPA safety net, edTPA MMRP, and Conditional Initial certificate in the classroom teaching service would be removed from the regulations along with references to the teacher performance assessment requirement for certification. Candidates who apply for certification would no longer need to complete the edTPA on the date the proposed amendment becomes effective, including out-of-state candidates and candidates who apply for certification through the individual evaluation pathway.

4. COSTŠ:

- a. Costs to State government: The amendment does not impose any costs on State government, including the State Education Department.
- b. Costs to local government: The amendment does not impose any costs on local government.
- c. Costs to private regulated parties: The amendment does not impose any costs on private regulated parties.
- d. Costs to regulating agency for implementation and continued administration: See above.
 - 5. LOCAL GOVERNMENT MANDATES:

The proposed amendment does not impose any additional program, service, duty or responsibility upon any local government.

6. PAPERWORK:

The proposed amendment does not impose any additional paperwork requirements.

7. DUPLICATION:

The proposed amendment does not duplicate existing State or Federal requirements.

8. ALTERNATIVES:

The proposed amendment is necessary to implement Regents' policy of addressing barriers to certification during this period of significant teacher shortages. The proposed amendment addresses such by modifying the teacher performance assessment requirement, eliminating the requirement of the edTPA for certification and, instead, requiring that New York State registered teacher preparation programs integrate a teacher performance assessment into the candidates' student teaching, practicum, or similar clinical experience. No alternatives to the proposed amendment were considered.

9. FEDERAL STANDARDS:

There are no applicable Federal standards concerning teacher performance assessment requirements for teacher certification in New York State.

10. COMPLIANCE SCHEDULE:

A Notice of Proposed Rule Making will be published in the State Register on December 29, 2021. Following the 60-day public comment period required under the State Administrative Procedure Act, it is anticipated that the proposed amendment will be presented to the Board of Regents for adoption at its April 2023 meeting. If adopted at the April 2023 meeting, the proposed amendment will become effective on April 27, 2022. It is anticipated that regulated parties will be able to comply by the stated effective date.

Regulatory Flexibility Analysis

In order to address barriers to certification during this period of significant teacher shortages, the proposed amendment modifys the teacher performance assessment requirement, eliminating the requirement of the edTPA for certification and, instead, requiring that New York State registered teacher preparation programs integrate a teacher performance assessment into the candidates' student teaching, practicum, or similar clinical experience. Programs would have until September 1, 2023 to integrate a teacher performance assessment into candidates' student teaching, practicum, or similar clinical experience. This timing gives programs a transition period of over a year to develop or choose a teacher performance assessment and update their curriculum accordingly. Between the effective date of the proposed amendment and September 1, 2023, field experiences, student teaching, and practica must continue to comply with the program's philosophy, purposes, and objectives, with learning outcomes specified and their achievement regularly evaluated, as described in section 52.21.

Since the teacher performance assessment would move from a certification requirement to a program requirement, the Department is also proposing several attendant changes to Part 80 of the Commissioner's regulations related to certification. The edTPA safety net, edTPA MMRP, and Conditional Initial certificate in the classroom teaching service would be removed from the regulations along with references to the teacher performance assessment requirement for certification. Candidates who apply for certification would no longer need to complete the edTPA on the date the proposed amendment becomes effective, including out-of-state candidates and candidates who apply for certification through the individual evaluation pathway.

The amendments do not impose any new recordkeeping or other compliance requirements and will not have an adverse economic impact on small businesses or local governments. Because it is evident from the nature of the proposed amendments that it will not affect small businesses or local governments, no further steps were needed to ascertain that fact, and none were taken. Accordingly, a regulatory flexibility analysis for small businesses and local governments is not required and one has not been prepared.

Rural Area Flexibility Analysis

1. TYPES AND ESTIMATED NUMBERS OF RURAL AREAS:

The proposed amendment applies to all teacher candidates in New York State and all New York State registered teacher preparation programs including those located in the 44 rural counties with fewer than 200,000 inhabitants and the 71 towns and urban counties with a population density of 150 square miles or less.

2. REPORTING, RECORDKEEPING, AND OTHER COMPLIANCE REQUIREMENTS; AND PROFESSIONAL SERVICES:

The Department is proposing to modify the teacher performance assessment requirement by eliminating the requirement of the edTPA for certification and, instead, requiring that New York State registered teacher preparation programs integrate a teacher performance assessment into the candidates' student teaching, practicum, or similar clinical experience (e.g., residency, mentored in-service component). This assessment would be designed to promote the professional growth of candidates seeking their first initial teaching certificate and thus serve as both a formative and summative assessment for candidates.

The Department proposes the following definition that teacher preparation programs would use to develop or choose their teacher performance assessment.

 Teacher performance assessment means a multi-measure assessment where candidates demonstrate the pedagogical knowledge and skills identified in the New York State Teaching Standards, which align with the four principles of the New York State Culturally Responsive-Sustaining Education Framework, and their content knowledge and skill in teaching to the State learning standards in the grade band and subject area of a certificate sought.

As such, candidates would be explicitly assessed on the knowledge and skills expected of New York State teachers. The teaching standards, elements, and performance indicators for each element are described in the New York State Teaching Standards. Those standards are aligned with the four principles of the New York State Culturally Responsive-Sustaining Education Framework.

This requirement will allow programs to develop a teacher performance assessment that is user-friendly and meets the needs of their candidates. Additionally, the teacher performance assessment could be completed with no additional costs to students, depending on each program's determination of its teacher performance assessment requirements.

Programs would have until September 1, 2023 to integrate a teacher performance assessment into candidates' student teaching, practicum, or similar clinical experience. This timing gives programs a transition period of over a year to develop or choose a teacher performance assessment and update their curriculum accordingly. Between the effective date of the proposed amendment and September 1, 2023, field experiences, student teaching, and practica must continue to comply with the program's philosophy, purposes, and objectives, with learning outcomes specified and their achievement regularly evaluated, as described in section 52.21.

Since the teacher performance assessment would move from a certification requirement to a program requirement, the Department is also proposing several attendant changes to Part 80 of the Commissioner's regulations related to certification. The edTPA safety net, edTPA MMRP, and Conditional Initial certificate in the classroom teaching service would be removed from the regulations along with references to the teacher performance assessment requirement for certification. Candidates who apply for certification would no longer need to complete the edTPA on the date the proposed amendment becomes effective, including out-of-state candidates and candidates who apply for certification through the individual evaluation pathway.

3. COSTS:

The proposed amendment does not impose any costs on the State, local governments, private regulated parties or the State Education Department.

4. MINIMIZING ADVERSE IMPACT:

The State Education Department does not believe any changes for candidates who live or work in rural areas or teacher preparation programs located in rural areas are warranted because uniform standards for certification are necessary across the State.

5. RURAL AREA PARTICIPATION:

Copies of the proposed amendments have been provided to the New York Association of Colleges for Teacher Education and New York State United Teachers, who have members in rural areas, for review and

Job Impact Statement

In order to address barriers to certification during this period of significant teacher shortages, the proposed amendment modifys the teacher performance assessment requirement, eliminating the requirement of the edTPA for certification and, instead, requiring that New York State registered teacher preparation programs integrate a teacher performance assessment into the candidates' student teaching, practicum, or similar clinical experience. Programs would have until September 1, 2023 to integrate a teacher performance assessment into candidates' student teaching, practicum, or similar clinical experience. This timing gives programs a transition period of over a year to develop or choose a teacher performance assessment and update their curriculum accordingly. Between the effective date of the proposed amendment and September 1, 2023, field experiences, student teaching, and practica must continue to comply with the program's philosophy, purposes, and objectives, with learning outcomes specified and their achievement regularly evaluated, as described in section 52.21.

Since the teacher performance assessment would move from a certification requirement to a program requirement, the Department is also proposing several attendant changes to Part 80 of the Commissioner's regulations related to certification. The edTPA safety net, edTPA MMRP, and Conditional Initial certificate in the classroom teaching service would be removed from the regulations along with references to the teacher performance assessment requirement for certification. Candidates who apply for certification would no longer need to complete the edTPA on the date the proposed amendment becomes effective, including out-of-state candidates and candidates who apply for certification through the individual evalua-

Because it is evident from the nature of the proposed amendment that it will have no impact on the existing number of jobs or employment opportunities in New York State, no further steps were needed to ascertain that fact, and none were taken.

PROPOSED RULE MAKING NO HEARING(S) SCHEDULED

Requirements for the Reissuance of an Initial Certificate

I.D. No. EDU-52-21-00014-P

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following proposed rule:

Proposed Action: Amendment of section 80-1.8(a) of Title 8 NYCRR. Statutory authority: Education Law, sections 14, 101, 207, 208, 305, 308, 3001, 3004 and 3009

Subject: Requirements for the Reissuance of an Initial Certificate.

Purpose: To remove the requirement that candidates complete 50 hours of CTLE and/or professional learning to obtain a reissuance.

Text of proposed rule: Subdivision (a) of section 80-1.8 of the Regulations of the Commissioner of Education shall be amended to read as follows:

(a) The holder of an initial certificate whose certificate has expired and who has not successfully completed three school years of [teaching] experience, or its equivalent, as is required for a professional certificate, shall be reissued an initial certificate on one occasion only, for a period of five years from the date of reissuance.

(1) Candidates applying for a reissuance shall [meet the requirements in the following paragraphs:

- (i) The candidate shall have successfully completed 50 clock hours of acceptable continuing teacher and leader education (CTLE), professional learning (PL), and/or a combination thereof. Such PL and/or CTLE shall be completed within one year prior to the department's receipt of a completed application or within one year after the department's receipt of such completed application for the reissuance of the initial certificate. The definition of acceptable PL and the measurement of PL study shall be that defined in section 100.2(dd) of this Title and the definition of acceptable CTLE and the measurement of CTLE shall be that prescribed in Subpart 80-6 of this Part.
- (ii) The candidate shall] submit evidence of having achieved a satisfactory level of performance on the New York State Teacher Certification Examination content specialty test(s) in the area required for the certificate sought[or, where applicable], the New York State assessment [for school building leadership]required for a certificate as a school building leader, or the New York State assessment required for a certificate as a school counselor, which shall be taken within one year prior to the department's receipt of a completed application or within one year after the department's receipt of such completed application for the reissuance of the initial certificate.

Text of proposed rule and any required statements and analyses may be obtained from: Kirti Goswami, NYS Education Department, Office of Counsel, 89 Washington Avenue, Room 112EB, Albany, NY 12234, (518) 474-6400, email: legal@nysed.gov

Data, views or arguments may be submitted to: Petra Maxwell, NYS Education Department, Office of Higher Education, 89 Washington Avenue, Room 975 EBA, Albany, NY 12234, (518) 474-2238, email: OHEREGComments@nysed.gov

Public comment will be received until: 60 days after publication of this

This rule was not under consideration at the time this agency submitted its Regulatory Agenda for publication in the Register.

Regulatory Impact Statement

Í. STAŤUTÔRY AUTHORITY:

Education Law § 14 authorizes the Commissioner to prescribe regulations pertaining to certification and licensing requirements of a classroom

Education Law § 101 (not subdivided) charges the Department with the general management and supervision of all public schools and all of the educational work of the state.

Education Law § 207 grants general rule-making authority to the Regents to carry into effect State educational laws and policies.

Education Law § 208 authorizes the Board of Regents to confer certificates, diplomas and degrees on persons who satisfactorily meet the requirements prescribed by them.

Education Law § 305 authorizes the Commissioner to enforce the educational policies of this State and execute all educational policies determined by the Regents and shall prescribe the licensing of teachers employed in this State.

Education Law § 308 authorizes the Commissioner to institute such proceedings and processes as may be necessary to enforce and implement any law pertaining to the school system of the state or any part thereof or to any school district or city.

Education Law § 3001 establishes the qualifications of teachers in the

Education Law § 3004 authorizes the Commissioner to promulgate regulations governing the certification requirements for teachers employed in public schools.

Education Law § 3009 prohibits school districts from paying the salary of an unqualified teacher.

2. LEĜISLATIVE OBJECTIVES:

The proposed amendment is consistent with the above statutory authority and is necessary to implement Regents' policy of addressing teacher shortages by removing the requirement that candidates for teacher certification who have an expired Initial certificate complete 50 clock hours of continuing teacher and leader education (CTLE) and/or professional learning to obtain a reissuance of their Initial certificate. In addition, the Department is proposing to add the school counselor assessment to the list of acceptable assessments that candidates must pass and take within one year before or after the application for the Initial reissuance. This assessment needs to be added because it will be required for Initial and Professional School Counselor certificates that will begin to be issued on February 2, 2023, and candidates who receive these certificates would take this assessment for the reissuance of their Initial certificate.

3. NEEDS AND BENEFITS:

An Initial certificate is issued as an entry-level certificate for classroom teachers and school building leaders and is valid for five years. Holders of Initial certificates are expected to progress to a Professional certificate within the five-year Initial certification period by completing all of the requirements for Professional certification. One of these requirements is the completion of three years of experience (or its equivalent) within this five-year time frame. Some candidates, however, are unable to complete this requirement.

To provide candidates who have not been able to complete at least three years of acceptable experience (or its equivalent) for the Professional certificate the opportunity to complete the requirements for Professional certification, section 80-1.8(a) of the Commissioner's Regulations currently provides that such candidates may have their Initial certificate reissued for a period of five years if they meet the following two requirements for the reissuance of an Initial certificate:

- Pass the content specialty test(s) in the area(s) required for the certificate sought within one year before or after the application date for the
- · Complete 50 clock hours of acceptable continuing teacher and leader education (CTLE), professional learning, and/or a combination of both within one year before or after the application date for the Initial

The requirement of 50 clock hours of CTLE and/or professional learning has proved to be an impediment to obtaining the reissuance of the Initial certificate in two respects. First, completion of CTLE for candidates who hold a valid or expired Initial certificate is not required by section 3006-a of the Education Law. Second, candidates with an expired Initial certificate do not have access to the same CTLE and professional learning opportunities as educators who hold Professional certificates and are currently employed by school districts or Boards of Cooperative Educational Services (BOCES). Districts and BOCES provide opportunities for educators to complete professional learning, including CTLE, as part of their professional learning plans. Thus, candidates with an expired Initial certificate may need to seek other ways to complete CTLE or professional learning for the reissuance of their Initial certificate.

The Department is therefore proposing to remove the requirement that these candidates complete 50 clock hours of CTLE and/or professional learning to obtain a reissuance of their Initial certificate. These candidates would still be required to demonstrate current content knowledge by passing the content specialty test(s) in the area(s) required for the certificate sought within one year of applying for reissuance.

In addition, the Department is proposing to add the school counselor assessment to the list of acceptable assessments that candidates must pass and take within one year before or after the application for the Initial Reissuance. This assessment needs to be added because it will be required for Initial and Professional School Counselor certificates that will begin to be issued on February 2, 2023, and candidates who receive these certificates would take this assessment for the reissuance of their Initial certificate.

4. COSTS:

- a. Costs to State government: The amendment does not impose any costs on State government, including the State Education Department.
- b. Costs to local government: The amendment does not impose any costs on local government.
- c. Costs to private regulated parties: The amendment does not impose any costs on private regulated parties.
- d. Costs to regulating agency for implementation and continued administration: See above.

5. LOCAL GOVERNMENT MANDATES:

The proposed amendment does not impose any additional program, service, duty or responsibility upon any local government.

6. PAPERWORK:

The proposed amendment does not impose any additional paperwork requirements.

7. DUPLICATION:

The proposed amendment does not duplicate existing State or Federal requirements

The proposed amendment is necessary to implement Regents' policy of addressing teacher shortages by removing the requirement that candidates for teacher certification who have an expired Initial certificate complete 50 clock hours of continuing teacher and leader education (CTLE) and/or professional learning to obtain a reissuance of their Initial certificate. In addition, the Department is proposing to add the school counselor assessment to the list of acceptable assessments that candidates must pass and take within one year before or after the application for the Initial reissuance. This assessment needs to be added because it will be required for Initial and Professional School Counselor certificates that will begin to be issued on February 2, 2023, and candidates who receive these certificates would take this assessment for the reissuance of their Initial certificate. There are no significant alternatives, and none were considered.

FEDERAL STANDARDS:

There are no applicable federal standards. 10. COMPLIANCE SCHEDULE:

A Notice of Proposed Rule Making will be published in the State Register on December 29, 2021. Following the 60-day public comment period required under the State Administrative Procedure Act, it is anticipated that the proposed amendment will be presented to the Board of Regents for adoption at its April 2023 meeting. If adopted at the April 2023 meeting, the proposed amendment will become effective on April 27, 2022. It is anticipated that regulated parties will be able to come into compliance with the proposed rule by its effective date.

Regulatory Flexibility Analysis

The proposed amendment applies to all teacher candidates in New York State with an expired Initial certificate seeking a reissuance of their Initial certificate, including those located in the 44 rural counties with fewer than 200,000 inhabitants and the 71 towns and urban counties with a population density of 150 square miles or less.

The purpose of the proposed amendment to section 80-1.8 of the Regulations of the Commissioner of Education is to implement Regents policy of addressing teacher shortages by removing the requirement that candidates for teacher certification who have an expired Initial certificate complete 50 clock hours of continuing teacher and leader education (CTLE) and/or professional learning to obtain a reissuance of their Initial certificate. In addition, the Department is proposing to add the school counselor assessment to the list of acceptable assessments that candidates must pass and take within one year before or after the application for the Initial reissuance. This assessment needs to be added because it will be required for Initial and Professional School Counselor certificates that will begin to be issued on February 2, 2023, and candidates who receive these certificates would take this assessment for the reissuance of their Initial certificate.

The amendment does not impose any new recordkeeping or other compliance requirements and will not have an adverse economic impact on small businesses or local governments. Because it is evident from the nature of the proposed technical amendment that it will not affect small businesses or local governments, no further steps were needed to ascertain that fact and none were taken. Accordingly, a regulatory flexibility analysis for small businesses and local governments is not required and one has not been prepared.

Rural Area Flexibility Analysis

1. TYPES AND EŠTIMATED NUMBERS OF RURAL AREAS:

The proposed amendment applies to all teacher candidates in New York State with an expired Initial certificate seeking a reissuance of their Initial certificate, including those located in the 44 rural counties with fewer than 200,000 inhabitants and the 71 towns and urban counties with a population density of 150 square miles or less.

2. REPORTING, RECORDKEEPING, AND OTHER COMPLIANCE REQUIREMENTS; AND PROFESSIONAL SERVICES:

The purpose of the proposed amendment to section 80-1.8 of the Regulations of the Commissioner of Education is to implement Regents' policy of addressing teacher shortages by removing the requirement that candidates for teacher certification who have an expired Initial certificate complete 50 clock hours of continuing teacher and leader education (CTLE) and/or professional learning to obtain a reissuance of their Initial certificate. In addition, the Department is proposing to add the school counselor assessment to the list of acceptable assessments that candidates

must pass and take within one year before or after the application for the Initial reissuance. This assessment needs to be added because it will be required for Initial and Professional School Counselor certificates that will begin to be issued on February 2, 2023, and candidates who receive these certificates would take this assessment for the reissuance of their Initial certificate.

3. COSTS:

The proposed amendment does not impose any costs on teacher certification candidates and/or the New York State school districts or the BOCES who wish to hire them.

4. MINIMIZING ADVERSE IMPACT:

The Department believes that uniform standards for certification must be established across the State. Therefore, no alternatives were considered for those located in rural areas of the State.

5. RURAL AREA PARTICIPATION:

Copies of the proposed amendments have been provided to the New York Association of Colleges for Teacher Education and New York State United Teachers, who have members in rural areas, for review and comment.

Job Impact Statement

The purpose of the proposed amendment to section 80-1.8 of the Regulations of the Commissioner of Education is to implement Regents' policy of addressing teacher shortages by removing the requirement that candidates for teacher certification who have an expired Initial certificate complete 50 clock hours of continuing teacher and leader education (CTLE) and/or professional learning to obtain a reissuance of their Initial certificate. In addition, the Department is proposing to add the school counselor assessment to the list of acceptable assessments that candidates must pass and take within one year before or after the application for the Initial reissuance. This assessment needs to be added because it will be required for Initial and Professional School Counselor certificates that will begin to be issued on February 2, 2023, and candidates who receive these certificates would take this assessment for the reissuance of their Initial certificate.

Because it is evident from the nature of the proposed amendment that it will have no impact on the existing number of jobs or employment opportunities in New York State, no further steps were needed to ascertain that fact and none were taken.

Department of Financial Services

NOTICE OF ADOPTION

Principle-Based Reserving

I.D. No. DFS-40-21-00001-A

Filing No. 1235

Filing Date: 2021-12-10 Effective Date: 2021-12-29

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following action:

Action taken: Amendment of Part 103 of Title 11 NYCRR.

Statutory authority: Financial Services Law, sections 202, 302; Insurance Law, sections 301, 4217 and 4517

Subject: Principle-Based Reserving.

Purpose: To update citation to the Valuation Manual to 2021 (instead of 2020) in Footnote 1 to section 103.3(b).

Text or summary was published in the October 6, 2021 issue of the Register, I.D. No. DFS-40-21-00001-P.

Final rule as compared with last published rule: No changes.

Text of rule and any required statements and analyses may be obtained from: Amanda Fenwick, New York State Department of Financial Services, One Commerce Plaza, Albany, New York 12257, (518) 474-7929, email: Amanda.Fenwick@dfs.ny.gov

Additional matter required by statute: IBR Certification.

Initial Review of Rule

As a rule that requires a RFA, RAFA or JIS, this rule will be initially reviewed in the calendar year 2024, which is no later than the 3rd year after the year in which this rule is being adopted.

Assessment of Public Comment

The agency received no public comment.

Department of Labor

NOTICE OF ADOPTION

Minimum Wage

I.D. No. LAB-39-21-00015-A

Filing No. 1271

Filing Date: 2021-12-15 Effective Date: 2021-12-29

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following action:

Action taken: Amendment of Parts 141, 142, 143 and 146 of Title 12 NYCRR.

Statutory authority: Labor Law, sections 21, 652 and 656

Subject: Minimum Wage.

Purpose: To comply with Labor Law 652(6) that increased the minimum wage, and implement the recommendation of the Division of Budget.

Text or summary was published in the September 29, 2021 issue of the Register, I.D. No. LAB-39-21-00015-P.

Final rule as compared with last published rule: No changes.

Text of rule and any required statements and analyses may be obtained from: Michael Paglialonga, NYS Department of Labor, Building 12, State Office Campus, Room 509, Albany, NY 12240, (518) 485-2191, email: regulations@labor.ny.gov

Initial Review of Rule

As a rule that requires a RFA, RAFA or JIS, this rule will be initially reviewed in the calendar year 2024, which is no later than the 3rd year after the year in which this rule is being adopted.

Assessment of Public Comment

The agency received no public comment.

NOTICE OF ADOPTION

Minimum Wage for Farmworkers

I.D. No. LAB-39-21-00016-A

Filing No. 1272

Filing Date: 2021-12-15 **Effective Date:** 2021-12-29

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following action:

Action taken: Amendment of sections 190-1.3 and 190-2.1 of Title 12 NYCRR.

Statutory authority: Labor Law, sections 21, 673 and 674

Subject: Minimum Wage for Farmworkers.

Purpose: To comply with sections 652 and 673 of the Labor Law, by adopting minimum wage increases for farmworkers.

Text or summary was published in the September 29, 2021 issue of the Register, I.D. No. LAB-39-21-00016-P.

Final rule as compared with last published rule: No changes.

Text of rule and any required statements and analyses may be obtained from: Michael Paglialonga, NYS Department of Labor, Building 12, State Office Campus, Room 509, Albany, NY 12240, (518) 485-2191, email: regulations@labor.ny.gov

Initial Review of Rule

As a rule that requires a RFA, RAFA or JIS, this rule will be initially reviewed in the calendar year 2024, which is no later than the 3rd year after the year in which this rule is being adopted.

Assessment of Public Comment

The agency received no public comment.

Long Island Power Authority

NOTICE OF WITHDRAWAL

Access to Records and Fees Collected Under the Freedom of Information Law

I.D. No. LPA-39-21-00014-W

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following action:

Action taken: Notice of proposed rule making, I.D. No. LPA-39-21-00014-P, has been withdrawn from consideration. The notice of proposed rule making was published in the *State Register* on September 29, 2021.

Subject: Access to records and fees collected under the Freedom of Information Law.

Reason(s) for withdrawal of the proposed rule: Objections were received requiring withdrawal under section 202(1)(b)(ii) and re-filing under section 202(1)(a).

Office for People with Developmental Disabilities

EMERGENCY RULE MAKING

Mandatory Face Coverings in OPWDD Settings

I.D. No. PDD-40-21-00002-E

Filing No. 1234

Filing Date: 2021-12-08 **Effective Date:** 2021-12-08

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following action:

Action taken: Addition of section 633.26 to Title 14 NYCRR.

Statutory authority: Mental Hygiene Law, sections 13.07, 13.09(b) and

Finding of necessity for emergency rule: Preservation of public health, public safety and general welfare.

Specific reasons underlying the finding of necessity: The emergency adoption of a new section, 14 NYCRR 633.26, that requires face coverings for all staff, volunteers, contractors, vendors, visitors and individuals receiving services when in facilities or providing services that are certified or operated by OPWDD, is necessary to protect the health, safety, and welfare of individuals who receive these services. This regulation must be issued by emergency regulation giving the increasing transmission of COVID-19 despite vaccines being available. OPWDD serves a vulnerable population and as such has a duty to ensure the services provided meet public health and safety standards.

Subject: Mandatory face coverings in OPWDD settings.

Purpose: To protect pubic health.

Text of emergency rule: A new section 633.26 is added to read as follows: 633.26 Mandatory Face Coverings

(a) Applicability

(1) This section applies to all agencies providing services or operating facilities that are certified or operated by OPWDD (hereinafter "Providers of Services").

(b) Legal Basis

- (1) Section 13.07 of the Mental Hygiene Law charges the OPWDD with the responsibility for seeing that persons with developmental disabilities are provided with care and treatment, and that such care, treatment and rehabilitation is of high quality and effectiveness.
- (2) Section 13.09 of the Mental Hygiene Law gives the commissioner of OPWDD the power and responsibility to adopt regulations that are necessary and proper to implement matters under his or her jurisdiction.
- (3) Section 16.00 of the Mental Hygiene Law grants the commissioner of OPWDD the power and responsibility to adopt regulations to ef-

fectuate the provisions and purposes of article 16 of such law, including procedures for the issuance and amendment of operating certificates, and for setting standards of quality and adequacy of facilities.

(4) Section 16.11 of the Mental Hygiene Law authorizes the commis-

(4) Section 16.11 of the Mental Hygiene Law authorizes the commissioner of OPWDD to provide for the oversight of facilities and providers of services holding operating certificates pursuant to section 16.03 of this article.

(c) Mask wearing requirements

- (1) All Providers of Services shall require all staff, volunteers, contractors, vendors, visitors and individuals receiving services to wear appropriate face coverings, consistent with any directives issued by OPWDD and consistent with guidance from the Centers for Disease Control and Prevention (CDC).
 - (d) Exemptions from face covering requirements
 - (1) Face coverings are not required to be worn by:

(i) Children under two years of age

(ii) A person with a disability who cannot wear a mask, or cannot safely wear a mask, for reasons related to the disability, or

(iii) A person for whom wearing a mask would create a risk to workplace health, safety, or job duty as determined by an Occupational Health and Safety Administration workplace risk assessment.

(e) Enforcement

(1) OPWDD will enforce this mandate as part of its oversight activities in accordance with the authority set forth in subsection b of this section.

This notice is intended to serve only as a notice of emergency adoption. This agency intends to adopt the provisions of this emergency rule as a permanent rule, having previously submitted to the Department of State a notice of proposed rule making, I.D. No. PDD-40-21-00002-EP, Issue of October 6, 2021. The emergency rule will expire February 5, 2022.

Text of rule and any required statements and analyses may be obtained from: Mary Beth Babcock, Office for People With Developmental Disabilities, 44 Holland Avenue, Albany, NY 12209, (518) 474-7700, email: rau.unit@opwdd.ny.gov

Additional matter required by statute: Pursuant to the requirements of the State Environmental Quality Review Act, OPWDD, as lead agency, has determined that the action described herein will have no effect on the environment and an E.I.S. is not needed

Regulatory Impact Statement

1. Statutory Authority:

- a. The Office for People With Developmental Disabilities (OPWDD) has the statutory responsibility to provide and encourage the provision of appropriate programs, supports, and services in the areas of care, treatment, habilitation, rehabilitation, and other education and training of persons with intellectual and developmental disabilities, as stated in the New York State (NYS) Mental Hygiene Law (MHL) Section 13.07.
- b. OPWDD has the statutory authority to adopt rules and regulations necessary and proper to implement any matter under its jurisdiction as stated in the NYS MHL Section 13.09(b).
- c. OPWDD has the statutory authority to adopt regulations concerned with the operation of programs and the provision of services, as stated in the NYS MHL Section 16.00. The regulation also ensures compliance by OPWDD certified and operated residences with the proper provision of services.
- d. OPWDD has the statutory authority to provide for the oversight of facilities and providers of services holding operating certificates, as stated in the NYS MHL Section 16.11.
- 2. Legislative Objectives: The proposed regulations further legislative objectives embodied in MHL sections 13.07, 13.09(b), and 16.00. The proposed regulation adds Title 14 NYCRR Section 633.26 in order to protect individuals receiving services from providers certified or operated by OPWDD.
- 3. Needs and Benefits: The proposed regulation adds Title 14 NYCRR Section 633.26 to require face coverings for all staff, volunteers, contractors, vendors, visitors and individuals receiving services when in facilities or providing services that are certified or operated by OPWDD. This regulation is necessary to protect the health, safety, and welfare of individuals who receive services from providers that are certified or operated by OPWDD. This regulation is being proposed due to the increasing transmission of COVID-19 and despite vaccines being available. OPWDD serves a vulnerable population and as such has a duty to ensure the services provided meet public health and safety standards.
 - 4. Ĉosts:

a. Costs to the Agency and to the State and its local governments:

There is no anticipated impact on Medicaid expenditures as a result of the proposed regulations, as the entities that provide these services will only be providing such services to individual's already receiving Medicaid, in accordance with existing OPWDD policies and processes.

These regulations will not have any fiscal impact on local governments,

as the contribution of local governments to Medicaid has been capped. Chapter 58 of the Laws of 2005 places a cap on the local share of Medicaid costs and local governments are already paying for Medicaid at the capped level.

There are no anticipated costs to OPWDD in its role as a provider of services to comply with the new requirements.

- b. Costs to private regulated parties: There will not be any anticipated costs to regulated providers to comply with the proposed regulations. The regulation requires face coverings for all staff, volunteers, contractors, vendors, visitors and individuals receiving services when in facilities or providing services that are certified or operated by OPWDD. Throughout the past two years there have been various masking requirements for these providers. Therefore, there are no new anticipated costs.
- 5. Local Government Mandates: This rule would not apply to local government units. There are no new requirements imposed by the rule on any other county, city, town, village; or school, fire, or other special district.
- 6. Paperwork: Providers will not experience an increase in paperwork as a result of the proposed regulations.
- 7. Duplication: The proposed regulations do not duplicate any existing State or Federal requirements on this topic.
- 8. Alternatives: OPWDD did not consider any other alternatives to the proposed regulations. These changes are necessary to protect public health and individuals receiving services from providers certified or operated by OPWDD.
- 9. Federal Standards: The proposed amendments do not exceed any minimum standards of the federal government for the same or similar subject areas.
- 10. Compliance Schedule: OPWDD plans to adopt the regulations as an emergency regulation effective upon filing. Following the comment period OPWDD plans to adopt the regulation as permanent. OPWDD expects that providers will be in compliance with the proposed requirements at the time of their effective date(s).

Regulatory Flexibility Analysis

1. Effect of Rule:

- a. This rule would apply to all providers certified or operated by OPWDD. This regulation would that requires face coverings for all staff, volunteers, contractors, vendors, visitors and individuals receiving services when in facilities or providing services that are certified or operated by OPWDD. This regulation is necessary to protect individuals receiving services at these facilities.
- 2. Compliance Requirements: These regulations will not require additional reporting requirements. Any entities that provide these services have had various masking requirements throughout the past two years and will continue to be required to follow OPWDD regulations.
- 3. Professional Services: This rule will not require additional professional services as the providers all already have compliance managers or staff tasked with following other OPWDD regulations.
 - 4. Compliance Costs:
- a. There will be no additional compliance costs. The regulation requires face coverings for all staff, volunteers, contractors, vendors, visitors and individuals receiving services when in facilities or providing services that are certified or operated by OPWDD. This was previously a requirement prior to vaccines being available for COVID-19.
- 5. Economic and Technological Feasibility: The entities required to comply with this rule already have the technological capability to comply with this rule.
- 6. Minimizing Adverse Impact: There will be no adverse impact as a result of this rule because the rule applies to all providers of these services regardless of size. Furthermore, these entities have always had regulations to follow regarding public health and infection control. This regulation seeks to further those goals given the current pandemic, high rate of spread of COVID-19, and low vaccination rate.
- 7. Small Business and Local Government Participation: This rule would not apply to local governments. Provider and provider associations will have opportunities to comment during the regulatory comment period.
- 8. Establishment or Modification of Penalties: The rule does not modify or establish any new penalties.
- 9. Initial Review of Rule: OPWDD will review the rule within the third calendar year after filing.

Rural Area Flexibility Analysis

A Rural Area Flexibility Analysis for these amendments is not being submitted because the regulation will not impose any adverse impact or significant reporting, recordkeeping or other compliance requirements on public or private entities in rural areas. There are no professional services, capital, or other compliance costs imposed on public or private entities in rural areas as a result of the proposed regulation.

The proposed regulation adds Title 14 NYCRR Section 633.26 in order to require face coverings for all staff, volunteers, contractors, vendors,

visitors and individuals receiving services when in facilities or providing services that are certified or operated by OPWDD. The regulation will not result in an adverse impact on rural communities because the regulation applies to all employees, volunteers, or contractors working at providers who are certified or operated by OPWDD. The proposed regulation will not result in costs for regulated parties. Therefore, the amendments will not have any adverse effects on providers in rural areas and local governments.

Job Impact Statement

A Job Impact Statement for the proposed regulation is not being submitted because it is apparent from the nature and purpose of the regulation that it will not have a substantial adverse impact on jobs and/or employment opportunities.

The proposed regulation adds Title 14 NYCRR Section 633.26 in order to require face coverings for all staff, volunteers, contractors, vendors, visitors and individuals receiving services when in facilities or providing services that are certified or operated by OPWDD. The regulation will result in new compliance requirements for providers. However, throughout the past two years there have been face covering requirements on these types of providers so the compliance measures would be minimal and not overly burdensome. The regulation will not have a substantial impact on jobs or employment opportunities in New York State.

Assessment of Public Comment

This document contains responses to public comments submitted during the public comment period for emergency/proposed regulations that requires all agencies providing services or operating facilities that are certified or operated by OPWDD to require all staff, volunteers, contractors, vendors, visitors, and individuals receiving services to wear a face covering during the ongoing COVID-19 pandemic.

Comment: There are concerns that this regulation would require developmentally disabled individuals to wear masks while in communal areas of their own homes or group homes. An individual's home is a place of respite, not an extension of the outside world.

Response: This comment was considered and the text will not be changed. OPWDD guidance states that pursuant to the most recent CDC guidance, individuals living in congregate care settings are at greatest risk of transmission, serious illness and death due to COVID-19. Individuals living in certified residential facilities should be strongly encouraged to wear masks while in their certified residential setting under the following circumstances: (1) when social distance cannot be maintained within the home, (2) in communities with a high or substantial transmission rate, as identified by the CDC, regardless of vaccination status; (3) for individuals who have reduced immunity due to a medical condition or medication, regardless of vaccination status; and (4) for individuals who are unvaccinated.

Public Service Commission

PROPOSED RULE MAKING NO HEARING(S) SCHEDULED

Proposed Tariff Revisions to the Companies Firm Demand Response Programs for the 2021-2022 Season

I.D. No. PSC-52-21-00006-P

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following proposed rule:

Proposed Action: The Commission is considering tariff amendments filed by The Brooklyn Union Gas Company d/b/a National Grid NY and KeySpan Gas East Corporation d/b/a National Grid (collectively, the Companies) to implement firm gas demand response programs.

Statutory authority: Public Service Law, sections 5, 65 and 66

Subject: Proposed tariff revisions to the Companies firm demand response programs for the 2021-2022 season.

Purpose: To effectuate more efficient firm gas demand response programs to gain operational efficiency and shave peak demand.

Substance of proposed rule: The Public Service Commission (Commission) is considering tariff amendments filed on October 26, 2021 in Case 20-G-0086 by The Brooklyn Union Gas Company d/b/a National Grid NY (KEDNY), and Case 20-G-0087 by KeySpan Energy East Corporation (KEDLI) (collectively, the Companies), to revise their gas tariff schedules, P.S.C. No. 12 and P.S.C. No. 1, respectively. The filings were required by the Commission's Order Authorizing Tariff Amendments to Effectuate

Gas Demand Response Programs for Firm Gas Customers (DR Program Tariff Order) issued on October 7, 2021.

The Gas Demand Response Programs provide the Companies the right to call upon firm customers (large commercial, industrial, and multi-family customers selected at the Company's discretion) who choose to participate to curtail load when needed. Participants in the Gas Demand Response Programs agree to curtail their natural gas consumption for a defined time period in exchange for an economic incentive. In their tariff filings, the Companies have made modifications related to those specifically directed by the DR Program Tariff Order. The amendments went into effect on a temporary basis on November 1, 2021.

The full text of the amendments and the full record of these proceedings may be reviewed online at the Department of Public Service web page: www.dps.ny.gov. The Commission may adopt, reject, or modify, in whole or in part, the action proposed and may resolve related matters.

Text of proposed rule and any required statements and analyses may be obtained by filing a Document Request Form (F-96) located on our website http://www.dps.ny.gov/f96dir.htm. For questions, contact: John Pitucci, Public Service Commission, 3 Empire State Plaza, Albany, New York 12223-1350, (518) 486-2655, email: john.pitucci@dps.ny.gov

Data, views or arguments may be submitted to: Michelle Phillips, Secretary, Public Service Commission, 3 Empire State Plaza, Albany, New York 12223-1350, (518) 474-6530, email: secretary@dps.ny.gov

Public comment will be received until: 60 days after publication of this notice.

Regulatory Impact Statement, Regulatory Flexibility Analysis, Rural Area Flexibility Analysis and Job Impact Statement

Statements and analyses are not submitted with this notice because the proposed rule is within the definition contained in section 102(2)(a)(ii) of the State Administrative Procedure Act. (20-G-0086SP3)

PROPOSED RULE MAKING NO HEARING(S) SCHEDULED

Clean Energy Standard Tier 1 Load Serving Entity Obligations

I.D. No. PSC-52-21-00007-P

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following proposed rule:

Proposed Action: The Commission is considering the 2021 Clean Energy Standard Divergence Test filed by Department of Public Service Staff and the New York State Energy Research and Development Authority proposing modifications to the Tier 1 load serving entity obligations.

Statutory authority: Public Service Law, sections 4(1), 5(1), (2), 66(2), 66-p; New York Energy Law, section 6-104(5)(b)

Subject: Clean Energy Standard Tier 1 Load Serving Entity Obligations.

Purpose: To modify the Tier 1 Load Serving Entity obligations for compliance year 2023 and establish the obligation for year 2024.

Substance of proposed rule: The Public Service Commission is considering the 2021 Clean Energy Standard Divergence Test and Target Setting filing (Divergence Test) submitted on November 29, 2021, by the New York State Department of Public Service (DPS Staff) and New York State Energy Research and Development Authority (NYSERDA) staff.

The Divergence Test analyzed Clean Energy Standard (CES) compliance years 2019 and 2020 in accordance with the Phase 2 Implementation Plan. Specifically, the 2021 Divergence Test demonstrates that a high rate of Alternative Compliance Payments (ACPs) were purchased to meet the Tier 1 obligations for load-serving entities (LSEs) in compliance years 2019 and 2020 due to the ongoing construction delays of many Tier 1 projects. Therefore, NYSERDA and DPS Staff recommend adjustments to the Tier 1 LSE obligation for compliance year 2023 and propose an LSE obligation for compliance year 2024. The proposed adjustments include reducing the 2023 LSE obligation from 8.20% to 6.16%, and establishing a 2024 LSE obligation.

The full text of the Divergence Test and the full record of the proceeding may be reviewed online at the Department of Public Service web page: www.dps.ny.gov. The Commission may adopt, reject, or modify, in whole or in part, the action proposed and may resolve related matters.

Text of proposed rule and any required statements and analyses may be obtained by filing a Document Request Form (F-96) located on our website http://www.dps.ny.gov/f96dir.htm. For questions, contact: John Pitucci, Public Service Commission, 3 Empire State Plaza, Albany, New York 12223-1350, (518) 486-2655, email: john.pitucci@dps.ny.gov

Data, views or arguments may be submitted to: Michelle L. Phillips, Secretary, Public Service Commission, 3 Empire State Plaza, Albany, New York 12223-1350, (518) 474-6530, email: secretary@dps.ny.gov

Public comment will be received until: 60 days after publication of this notice.

Regulatory Impact Statement, Regulatory Flexibility Analysis, Rural Area Flexibility Analysis and Job Impact Statement

Statements and analyses are not submitted with this notice because the proposed rule is within the definition contained in section 102(2)(a)(ii) of the State Administrative Procedure Act. (15-E-0302SP53)

PROPOSED RULE MAKING NO HEARING(S) SCHEDULED

Notice of Intent to Submeter Electricity

I.D. No. PSC-52-21-00008-P

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following proposed rule:

Proposed Action: The Commission is considering the notice of intent of 595 Dean LLC to submeter electricity at 595 Dean Street, Brooklyn, New York, 11238.

Statutory authority: Public Service Law, sections 2, 4(1), 30, 32-48, 52, 53, 65(1), 66(1), (2), (3), (4), (12) and (14)

Subject: Notice of intent to submeter electricity.

Purpose: To ensure adequate submetering equipment and consumer protections are in place.

Substance of proposed rule: The Commission is considering the notice of intent of 595 Dean LLC filed on October 26, 2021, to submeter electricity at a new rental building with 558 units of fair-market housing and 240 units of affordable housing that will be available to tenants who earn less than 130% of the area median income. The new building will be located at 595 Dean Street, Brooklyn, New York 11238, in the service territory of Consolidated Edison Company of New York, Inc. (Con Edison).

Consolidated Edison Company of New York, Inc. (Con Edison).

In its notice of intent, 595 Dean LLC requests authorization to take electric service from Con Edison and then distribute and meter that electricity to its residents. Once approved by the Commission, submetering of electricity to residents is allowed so long as it complies with the protections and requirements of the Commission's regulations in 16 NYCRR Part 96.

The full text of the notice of intent and the full record of the proceeding may be reviewed online at the Department of Public Service web page: www.dps.ny.gov. The Commission may adopt, reject or modify, in whole or in part, the action proposed and may resolve related matters.

Text of proposed rule and any required statements and analyses may be obtained by filing a Document Request Form (F-96) located on our website http://www.dps.ny.gov/f96dir.htm. For questions, contact: John Pitucci, Public Service Commission, 3 Empire State Plaza, Albany, New York 12223-1350, (518) 486-2655, email: john.pitucci@dps.ny.gov

Data, views or arguments may be submitted to: Michelle L. Phillips, Secretary, Public Service Commission, 3 Empire State Plaza, Albany, New York 12223-1350, (518) 474-6530, email: secretary@dps.ny.gov

Public comment will be received until: 60 days after publication of this notice.

Regulatory Impact Statement, Regulatory Flexibility Analysis, Rural Area Flexibility Analysis and Job Impact Statement

Statements and analyses are not submitted with this notice because the proposed rule is within the definition contained in section 102(2)(a)(ii) of the State Administrative Procedure Act. (21-E-0533SP1)

PROPOSED RULE MAKING NO HEARING(S) SCHEDULED

Authorization to Recover Costs for 19 Transmission Projects and Related Mechanisms

I.D. No. PSC-52-21-00009-P

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following proposed rule:

Proposed Action: The Commission is considering a petition filed by Niagara Mohawk Power Corporation d/b/a National Grid to recover through a surcharge mechanism the costs of 19 transmission projects.

Statutory authority: Public Service Law, sections 5, 65, 66; Accelerated Renewable Energy Growth and Community Benefit Act

Subject: Authorization to recover costs for 19 transmission projects and related mechanisms.

Purpose: To ensure safe and adequate service at just and reasonable rates charged to customers without undue preferences.

Substance of proposed rule: The Commission is considering a petition (Petition) filed by Niagara Mohawk Power Corporation d/b/a National Grid (National Grid or the Company) on November 8, 2021, seeking authority to recover costs related to 19 Phase 1 local transmission projects that are included in the Company's proposed 2030 Climate Leadership and Community Protection Act Regional Transmission Plan (2030 Regional Plan).

The 2030 Regional Plan, which is presented in the Petition, is a portfolio of proposed Phase 1 and Phase 2 transmission solutions intended to support renewable generation growth in Upstate New York to meet the State's 2030 renewable goals. The 2030 Regional Plan includes 19 Phase 1 transmission projects, estimated to cost \$38.2 million, which are anticipated to be placed in service during the term of the pending rate case (Case 20-E-0380), but are not included in those rates, and thus would be eligible for the proposed surcharge and deferral.

Specifically, National Grid requests that the Commission find that the Company should continue to pursue the Phase 1 transmission projects presented in the 2030 Regional Plan. Phase 1 projects are defined as immediately actionable projects that satisfy traditional reliability, safety and compliance purposes but that can also address bottlenecks or constraints that limit the deliverability of renewable energy within a utility's system. Further, the Company requests that the Commission approve the deferral of carrying charges associated with the 19 Phase 1 local transmission projects included in the 2030 Regional Plan, which could be placed in service over the term of the pending rate plan. National Grid would then be eligible to recover those carrying charges through a Commission-approved surcharge rate in the Company's tariff. Finally, National Grid requests Commission approval to defer the operating expenses, return on capital investment, and depreciation expense associated with these 19 projects for future recovery in the Company's next rate filing.

National Grid submits that the 2030 Regional Plan supports the Climate Leadership and Community Protection Act clean energy 2030 goals through robust local transmission and distribution solutions that benefit the production of clean, affordable electricity and addresses system limitations in the Company's electric transmission network. The 2030 Regional Plan identifies and evaluates transmission limitations in areas of known merchant renewable generator development interest, and develops solutions to the system's capacity limitations appropriate to deliver renewable generation from these generation pockets. Therefore, the Company requests that the Commission find that the Company should continue to pursue the projects included in its 2030 Regional Plan. According to National Grid, this would allow the Company to better provide cost certainty for customers, support the most promising locations for renewable generation development, and inform future NYSERDA renewable generation solicitations. National Grid further states that Commission approval of a cost recovery mechanism for the 19 projects outside of the currently pending rate case would also allow the Company to better meet these objectives.

The full text of the petition and the full record of the proceeding may be reviewed online at the Department of Public Service web page: www.dps.ny.gov. The Commission may adopt, reject or modify, in whole or in part, the action proposed and may resolve related matters.

Text of proposed rule and any required statements and analyses may be obtained by filing a Document Request Form (F-96) located on our website http://www.dps.ny.gov/f96dir.htm. For questions, contact: John Pitucci, Public Service Commission, 3 Empire State Plaza, Albany, New York 12223-1350, (518) 486-2655, email: john.pitucci@dps.ny.gov

Data, views or arguments may be submitted to: Michelle L. Phillips, Secretary, Public Service Commission, 3 Empire State Plaza, Albany, New York 12223-1350, (518) 474-6530, email: secretary@dps.ny.gov

Public comment will be received until: 60 days after publication of this notice

Regulatory Impact Statement, Regulatory Flexibility Analysis, Rural Area Flexibility Analysis and Job Impact Statement

Statements and analyses are not submitted with this notice because the proposed rule is within the definition contained in section 102(2)(a)(ii) of the State Administrative Procedure Act.

(20-E-0197SP7)

PROPOSED RULE MAKING NO HEARING(S) SCHEDULED

Transfer of Street Lighting Facilities

I.D. No. PSC-52-21-00010-P

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following proposed rule:

Proposed Action: The Commission is considering a petition filed by Niagara Mohawk Power Corporation d/b/a National Grid seeking authorization to transfer certain street lighting facilities in the Village of Fredonia to the Village of Fredonia.

Statutory authority: Public Service Law, sections 5, 65, 66 and 70(1)

Subject: Transfer of street lighting facilities.

Purpose: To determine whether to authorize the transfer street of lighting facilities and the proper accounting for the transaction.

Substance of proposed rule: The Public Service Commission (Commission) is considering a petition filed on November 22, 2021 by Niagara Mohawk Power Corporation d/b/a National Grid (National Grid), requesting authorization to transfer certain street lighting facilities located in the Village of Fredonia (Village) to the Village.

The original cost of the facilities was approximately \$443,606 and the net book value of the assets is \$190,758, as of September 30, 2021. National Grid proposes to transfer the street lighting facilities to the Village for approximately \$205,836, which reflects the net book value of the assets, transition and transaction costs. National Grid explains that the agreement between it and the Village provides that the purchase price will be adjusted (up or down) to reflect the actual net book value at the date of the closing.

The full text of the petition and the full record of the proceeding may be viewed online at the Department of Public Service web page: www.dps.ny.gov. The Commission may adopt, reject, or modify, in whole or in part, the action proposed and may resolve related matters.

Text of proposed rule and any required statements and analyses may be obtained by filing a Document Request Form (F-96) located on our website http://www.dps.ny.gov/f96dir.htm. For questions, contact: John Pitucci, Public Service Commission, 3 Empire State Plaza, Albany, New York 12223-1350, (518) 486-2655, email: john.pitucci@dps.ny.gov

Data, views or arguments may be submitted to: Michelle L. Phillips, Secretary, Public Service Commission, 3 Empire State Plaza, Albany, New York 12223-1350, (518) 474-6530, email: secretary@dps.ny.gov

Public comment will be received until: 60 days after publication of this notice

Regulatory Impact Statement, Regulatory Flexibility Analysis, Rural Area Flexibility Analysis and Job Impact Statement

Statements and analyses are not submitted with this notice because the proposed rule is within the definition contained in section 102(2)(a)(ii) of the State Administrative Procedure Act.

(21-E-0572SP1)

Department of State

NOTICE OF ADOPTION

Minimum Standards for Administration and Enforcement of the Uniform Code and Energy Code

I.D. No. DOS-19-21-00014-A

Filing No. 1266

Filing Date: 2021-12-14 **Effective Date:** 2022-12-30

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following action:

Action taken: Repeal of Part 1203; addition of new Part 1203 to Title 19 NYCRR.

Statutory authority: Executive Law, sections 381 and 382

Subject: Minimum standards for administration and enforcement of the Uniform Code and Energy Code.

Purpose: To revise the minimum standards applicable to a program for administration and enforcement of the Uniform Code and Energy Code.

Substance of final rule: This rule making would repeal the current version of Part 1203 of Title 19 of the NYCRR and add a new Part 1203 as

Part 1203 Uniform Code and the Energy Code: Minimum Standards for Administration and Enforcement

Section 1203.1 Introduction and definitions.

(a) Introduction. Section 381 of the Executive Law directs the Secretary of State to promulgate rules and regulations for administration of the New York State Uniform Fire Prevention and Building Code and the New York State Energy Conservation Construction Code.

(b) Definitions. In this part, the following terms are defined:

(1) Assembly area.(2) Authority having jurisdiction.

(3) Building permit.

- (4) Certificate of compliance.(5) Certificate of occupancy.

(6) Codes.

- (7) Energy Code. (8) FCNYS.
- (9) Fire safety and property maintenance inspection.(10) Hazardous production materials.
- (11) Mobile food preparation vehicles. (12) PMCNYS.
- (13) RCNYS. (14) Repair.
- (15) Sugarhouse.
- (16) Uniform Code.

Section 1203.2 Program for administration and enforcement.

(a) Every city, village, town, and county responsible for administration and enforcement of either or both of the Codes shall establish a code enforcement program to provide for such administration and enforcement by local law, ordinance, or other appropriate regulation. Such code enforcement program shall include the features and provisions described in section 1203.3 of this Part.

(b) Where the State is responsible under section 1201.2 (d) of Part 1201 of this Title for administration and enforcement of the Uniform Code, the state agency or agencies determined in accordance with the provisions of Part 1204 of this Title shall administer and enforce the Codes in accordance with Part 1204 of this Title and section 1203.3 (j) of this Part. For the purposes of section 1203.3 (j)(5) of this Part, the period fixed by the code enforcement program of such state agency, as the interval between periodic condition assessments, shall not exceed three years.

(c) Every state agency responsible under section 1201.2 of this Title for administration and enforcement of the Uniform Code and not otherwise included in subdivisions (a) and (b) of this section shall provide for administration and enforcement of the Codes in regulation. Any such regulation shall include the features described in section 1203.3 of this

Part.

(d) Every authority having jurisdiction responsible for administration and enforcement of the Uniform Code shall exercise its powers in due and proper manner so as to extend to all people of the State protection from the hazards of fire and inadequate building construction. Every authority having jurisdiction responsible for administration and enforcement of the Energy Code shall exercise its powers in due and proper manner so as to further the purposes of Article 11 of the Energy Law, as applicable.

(e) An authority having jurisdiction may contract directly with an individual or business entity to perform "building safety inspector enforcement activities" or "code enforcement official enforcement activities" (as those terms are defined in Part 1208 of this Title) on behalf of the authority

having jurisdiction, subject to the following conditions:

(1) Where an authority having jurisdiction contracts directly with an individual or a business entity to perform any building safety inspector enforcement activities on behalf of such authority having jurisdiction, the authority having jurisdiction shall satisfy itself that each individual performing such contracted-for building safety inspector enforcement activities has qualifications comparable to those of a person who has met the requirements of Part 1208 of this Title applicable to building safety inspectors.

(2) Where an authority having jurisdiction contracts directly with an individual or a business entity to perform any code enforcement official enforcement activities on behalf of such authority having jurisdiction, the authority having jurisdiction shall satisfy itself that each individual performing such contracted-for code enforcement official enforcement activities has qualifications comparable to those of a person who has met the requirements of Part 1208 of this Title applicable to code enforcement officials.

(3) No agreement shall be made by which building permits, certificates of occupancy, temporary certificates of occupancy, certificates of compliance, orders, appearance tickets, or other similar documents related to administration and enforcement of either or both of the Codes are issued by other than public officers of the authority having jurisdiction.

- (4) "Special inspections" (as defined in the Uniform Code), including but not limited to, electrical inspections, elevator inspections, welding inspections, and smoke control system inspections are not considered to be building safety inspector enforcement activities or code enforcement official enforcement activities (as defined in Part 1208 of this Title). Accordingly, a special inspector performing a special inspection is not performing a building safety inspector enforcement activity or a code enforcement official enforcement activity and is not required to have qualifications comparable to those of a person who has met the requirements of Part 1208 of this Title. However, an authority having jurisdiction shall not accept or rely upon a special inspection unless the person performing such special inspection:
- (i) is a qualified person employed or retained by an agency that has been approved by the authority having jurisdiction and
- (ii) has been approved by the authority having jurisdiction as having the competence necessary to inspect a particular type of construction requiring such special inspection.
- (f) The persons, offices, departments, agencies, or combinations thereof, authorized and responsible for administration and enforcement of either or both of the Codes, or any portion thereof, shall be clearly identified.

Section 1203.3 Minimum features of a program for administration and

A program for administration and enforcement of either or both of the Codes shall include all features and provisions described in this section 1203.3. Each authority having jurisdiction must provide for each of the listed features through local law, ordinance, or appropriate regulation. Such authority having jurisdiction may adopt provisions for administration and enforcement that are more stringent than the minimum standards set forth in this section.

(a) Building permits.

- (b) Construction inspections.
- (c) Stop work orders.
- (d) Certificates of occupancy, certificates of compliance, and temporary certificates of occupancy
 - (e) Notification regarding fire or explosion.
 - (f) Unsafe structures and equipment and conditions of imminent danger.

(g) Operating permits.

- (h) Fire safety and property maintenance inspections.
- (i) Procedure for complaints.
- (j) Condition assessments of parking garages.
- (k) Climatic and Geographic Design Criteria.
- (l) Recordkeeping. A system of records of the features and activities specified in section 1203.3 (a) through section 1203.3 (k) and of fees charged and collected, if any, shall be established and maintained.

Section 1203.4 Program review and reporting.

- (a) Every city, village, town, and county charged under subdivision 2 of section 381 of the Executive Law with administration and enforcement of the Uniform Code shall annually submit to the Secretary of State, on a form prescribed by the Secretary of state, a report of its activities relative to administration and enforcement of the Codes.
- (b) Upon request of the Department of State, every authority having jurisdiction shall provide to the Department of State true and complete copies of the records and related materials such authority having jurisdiction is required to maintain; true and complete copies of such portion of such records and related materials as may be requested by the Department of State; and/or such excerpts, summaries, tabulations, statistics, and other information and accounts of its activities in connection with administration and enforcement of either or both of the Codes as may be requested by the Department of State. Failure to produce the requested materials within a reasonable timeframe shall permit an inference that the minimum standards of this Part have not been met. No such inference shall be based on the failure to provide copies of records if such records were, prior to a request for copies, disposed of pursuant to the applicable records retention and disposition schedules established by the authority having jurisdiction or pursuant to the Arts and Cultural Affairs Law.

Section 1203.5 Compliance with an order to remedy.

(a) Introduction and purpose. The purpose of this section is to fix, for the purposes of subdivision 2 of section 382 of the Executive Law, the time within which a person or entity served with an order to remedy is required to comply with such order to remedy.

(b) Definitions. In this section, the following terms are defined:

(1) Order to remedy.

(2) Comply with an order to remedy.

- (c) Time for compliance with order to remedy. The time within which a person or entity served with an order to remedy is required to comply with such order to remedy is hereby fixed at 30 days following the date of such order to remedy.
- (d) Statement to be included in order to remedy. An order to remedy shall include a statement substantially similar to the following: "The person or entity served with this Order to Remedy must completely rem-

edy each violation described in this Order to Remedy by __ [specify date], which is thirty (30) days after the date of this Order to Remedy."

(e) Service. An order to remedy shall be served personally or by certified or registered mail within five days of the date of the order. For the

purposes of this section:

- (1) if an order to remedy is served personally by any authorized means that requires more than one action by the person effecting service (such as service by "delivery and mail" similar to that authorized by CPLR 308[2]), the order to remedy shall be deemed to be served on the date on which the last required action is taken; and
- (2) an order to remedy served by certified or registered mail shall be deemed to be served on the date it is mailed.
- (f) Requiring immediate commencement of corrective action. Nothing in this section shall be construed as prohibiting any authority having jurisdiction that issues an order to remedy from including in such order to remedy provisions ordering the person or entity served with such order to remedy:
- (1) to begin to remedy the violations described in the order to remedy immediately, or within some other specified period of time which may be less than 30 days; to continue diligently to remedy such violations until each such violation is fully remedied; and, in any event, to complete the remedying of all such violations within 30 days of the date of such order to remedy; and/or
- (2) to take such other protective actions (such as vacating the building or barricading the area where the violations exist) which are authorized by the code enforcement program of the authority having jurisdiction or by any other applicable statute, regulation, rule, local law or ordinance, and which the authority having jurisdiction may deem appropriate, during the period while such violations are being remedied.
- (g) Other means of enforcing the Uniform Code. Nothing in this section shall be construed as requiring an authority having jurisdiction to issue an order to remedy in a given situation where violations of the Uniform Code are found to exist if, in the judgment of the authority having jurisdiction, such violations can be addressed adequately by the use of other enforcement tools or by other means. Nothing in this section shall be construed as limiting the authority of an authority having jurisdiction to employ any other means of enforcing either or both of the Codes.
- (h) Office of Fire Prevention and Control. For the purposes of this section, the term order to remedy shall not include any order issued by the Office of Fire Prevention and Control pursuant to section 156-e of the Executive Law (or pursuant to any regulation promulgated thereunder) requiring the remedying of any condition found to exist in, on or about any building under the jurisdiction of a public college or independent college (as these terms are defined in section 807-b of the Education Law) which violates the Uniform Code. Nothing in this section shall be construed as fixing the time within which a public college or independent college shall have to comply with any such order, or as requiring any such order to include the statement prescribed in section 1203.5(d).

Final rule as compared with last published rule: Nonsubstantial changes were made in sections 1203.1(b), 1203.2(a), (b), (d), (e), (1), (2), (4), 1203.3, (a)(1), (2), (3), (4), (5), (6), (7), (8), (b)(1), (2), (3), (c), (d)(1), (2), (3), (4), (5), (e), (f), (g)(1), (2), (3), (4), (5), (6), (7), (h)(1), (2), (4), (j)(2), (3), (6), (8), (10), (k)(2), (1), 1203.4(b), 1203.5(g), (3), (4) and (h).

Text of rule and any required statements and analyses may be obtained from: Kevin Duerr-Clark, PE, Department of State, 99 Washington Ave., Suite 1160, Albany, NY 12231, (518) 473-4073, email: kevin.duerr-clark@dos.ny.gov

Revised Regulatory Impact Statement

The Department of State has determined that the changes made to the last published rule are non-substantive and do not necessitate a revision of the original Regulatory Impact Statement published in the Notice of Proposed Rule Making.

The changes made to the previously published rule text provide clarification as to the application of the proposed text and do not alter or increase any regulatory impact on the regulated parties effected by this Rulemaking.

Revised Regulatory Flexibility Analysis

The Department of State has determined that the changes made to the last published rule are non-substantive and do not necessitate a revision of the original Regulatory Flexibility Analysis for Small Businesses and Local Governments published in the Notice of Proposed Rule Making.

The changes made to the previously published rule text provide clarification as to the application of the proposed text and do not alter or increase any effect of the rule upon small businesses or local governments.

Revised Rural Area Flexibility Analysis

The Department of State has determined that the changes made to the last published rule are non-substantive and do not necessitate a revision of the original Rural Area Flexibility Analysis published in the Notice of Proposed Rule Making.

The changes made to the previously published rule text provide clarification as to the application of the proposed text and do not alter or increase any effect of the rule upon rural areas in New York State.

Revised Job Impact Statement

The Department of State has determined that the changes made to the last published rule are non-substantive and do not necessitate a revision of the original Statement Explaining Why Job Impact Statement Is Not Required published in the Notice of Proposed Rule Making.

The changes made to the previously published rule text provide clarification as to the application of the proposed text and will not have a substantial adverse impact on jobs and employment opportunities in New York State.

Initial Review of Rule

As a rule that requires a RFA, RAFA or JIS, this rule will be initially reviewed in the calendar year 2024, which is no later than the 3rd year after the year in which this rule is being adopted.

Assessment of Public Comment

This proposed rule repeals Part 1203 and adds a new Part 1203 of Title 19 of the Official Compilation of the Rules and Regulations of the State of New York (Part 1203), which establishes the minimum standards for the administration and enforcement of the State Uniform Fire Prevention and Building Code (Uniform Code) in accordance with Executive Law § 381(1) and the State Energy Conservation Construction Code (Energy Code) in accordance with Energy Law § 11-107. The Proposed Rule Making was published in the State Register on May 12, 2021. A public hearing was held on July 15, 2021, and the public comment period ended on July 20, 2021.

The Department of State (DOS) did not receive any comments during the public hearing and received four comment letters during the public comment period. Where identical or substantially similar comments were received, those comments are discussed in one consolidated statement below. Some of the comments received either requested clarification or indicated that some provisions had been misunderstood. As a result, editorial clarifications were made to the proposed rule and minor changes were made to correct typographical errors. Additionally, a few changes were made as a result of new legislation that passed subsequent to the publication of the Notice of Proposed Rule Making in the State Register.

In some instances, DOS determined that the change recommended by

In some instances, DOS determined that the change recommended by the comment needs further consideration, analysis, and public input and that incorporating those changes as part of this Rule Making could delay the adoption of this rule, potentially by a significant amount of time. DOS determined that a delay of the other important proposed changes provided by this Rule Making would unnecessarily delay the proper enforcement of the Uniform Code and Energy Code, including the necessary coordination with the updates to those codes that went into effect on May 12, 2020. Although the changes recommended by those comments have not been incorporated into the rule now being adopted, DOS and where appropriate, the State Fire Prevention and Building Code Council, will continue to evaluate the recommendations and may consider them as part of future proposed rule makings. The comments received are described in further detail in the Full Assessment of Public Comments.

Summary of Description of Changes Made to the Rule

The rule repeals Part 1203 of NYCRR Title 19 and adds a new Part 1203 in its place. Editorial clarifications were made to the proposed rule in response to some of the comments received either requesting clarification or indicating that some provisions had been misunderstood. Other editorial modifications were made to correct typographical errors or for formatting purposes. The changes made to the rule are described in further detail in the Full Assessment of Public Comments. Nonsubstantive changes were made in [Parts, sections, subdivisions, or paragraphs] as follows:

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1. 19 NYCRR § 1203.1(b)
2. 19 NYCRR § 1203.2(a)
3. 19 NYCRR § 1203.2(b)
4. 19 NYCRR § 1203.2(d)
5. 19 NYCRR § 1203.2(e)
6. 19 NYCRR § 1203.2(e)(1)
7. 19 NYCRR § 1203.2(e)(2)
8. 19 NYCRR § 1203.2(e)(4)
9. 19 NYCRR § 1203.3
10. 19 NYCRR § 1203.3(a)(1)
11. 19 NYCRR § 1203.3(a)(2)
12. 19 NYCRR § 1203.3(a)(3)
13. 19 NYCRR § 1203.3(a)(4)
14. 19 NYCRR $ 1203.3(a)(5)
15. 19 NYCRR $ 1203.3(a)(6)
16. 19 NYCRR § 1203.3(a)(7)
17. 19 NYCRR § 1203.3(a)(8)
18. 19 NYCRR § 1203.3(b)(1)
19. 19 NYCRR § 1203.3(b)(2)
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20. 19 NYCRR § 1203.3(b)(3) 21. 19 NYCRR § 1203.3(c)
21. 19 NYCRR § 1203.3(c) 22. 19 NYCRR § 1203.3(d)(1)
23. 19 NYCRR § 1203.3(d)(2)
24. 19 NYCRR § 1203.3(d)(3)
25. 19 NYCRR § 1203.3(d)(4)
26. 19 NYCRR § 1203.3(d)(5)
27. 19 NYCRR § 1203.3(e)
28. 19 NYCRR § 1203.3(f)
29. 19 NYCRR § 1203.3(g)(1)
30. 19 NYCRR § 1203.3(g)(2)
31. 19 NYCRR § 1203.3(g)(3)
32. 19 NYCRR § 1203.3(g)(4)
33. 19 NYCRR § 1203.3(g)(5)
34. 19 NYCRR § 1203.3(g)(6)
35. 19 NYCRR § 1203.3(g)(7)
36. 19 NYCRR § 1203.3(h)(1)
37. 19 NYCRR § 1203.3(h)(2)
38. 19 NYCRR § 1203.3(h)(4)
39. 19 NYCRR § 1203.3(j)(2)
40. 19 NYCRR § 1203.3(j)(3)
41. 19 NYCRR § 1203.3(j)(6)
42. 19 NYCRR § 1203.3(j)(8)
43. 19 NYCRR § 1203.3(j)(10)
44. 19 NYCRR § 1203.3(k)(2)
45. 19 NYCRR § 1203.3(1)
46. 19 NYCRR § 1203.4(b) 47. 19 NYCRR § 1203.5(g)
3 00 - 00 - 00 - 00 - 00 - 00 - 00 -
0 (2)(7)
50. 19 NYCRR § 1203.5(h)

Department of Taxation and Finance

NOTICE OF ADOPTION

New York State and City of Yonkers Withholding Tables and Other Methods

I.D. No. TAF-41-21-00003-A

Filing No. 1240

Filing Date: 2021-12-14 **Effective Date:** 2021-12-29

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following action:

Action taken: Amendment of sections 171.4, 251.1; repeal of appendices 10, 10-A; addition of new appendices 10 and 10-A to Title 20 NYCRR.

Statutory authority: Tax Law, sections 171, subdivision First, 671(a)(1), 697(a), 1321(a), 1329(a), 1332(a); Code of City of Yonkers, sections 15-105, 15-108, 15-111; L. 2016, ch. 60, part TT; L. 2021, ch. 59, part A

Subject: New York State and City of Yonkers withholding tables and other methods.

Purpose: To provide current New York State and City of Yonkers withholding tables and other methods.

Substance of final rule: Tax Law sections 671(a)(1) and 1329(a), and section 15-105 of the Code of the City of Yonkers mandate that employers withhold from employee wages amounts that are substantially equivalent to the amount of New York State and City of Yonkers personal income tax on residents reasonably estimated to be due for the taxable year. The provisions authorize the Commissioner of Taxation and Finance to provide for withholding of these taxes through regulations promulgated by the Commissioner.

This rule repeals and adds new Appendixes 10 and 10-A of Title 20 NYCRR, the New York State and City of Yonkers withholding tables and other methods, respectively. The amendments to Appendixes 10 and 10-A reflect the incremental decrease in New York State personal income tax effected by Part TT of Chapter 60 of the Laws of 2016, beginning in tax year 2018. The new withholding tables and other methods also reflect the temporary expansion of the top brackets and rates of New York State personal income tax effected by Part A of Chapter 59 of the Laws of 2021 and provide a third withholding method to better accommodate the new

brackets and rates. Changes to the City of Yonkers withholding tables and other methods were necessary because the City of Yonkers income tax surcharge is a specified percentage of New York State tax. The rule also updates 20 NYCRR sections 171.4 and 251.1, relating to withholding for supplemental wages, to reflect the new brackets and rates and the additional withholding method.

The rule applies to wages and other compensation subject to withholding paid on or after January 1, 2022.

Final rule as compared with last published rule: Nonsubstantive changes were made in Appendixes 10 and 10-A.

Text of rule and any required statements and analyses may be obtained from: Kathleen Chase, Tax Regulation Specialist, Department of Taxation and Finance, Office of Counsel, Building 9, W.A. Harriman Campus, Albany, NY 12227, (518) 530-4153, email: kathleen.chase@tax.ny.gov

Revised Regulatory Impact Statement, Regulatory Flexibility Analysis, Rural Area Flexibility Analysis and Job Impact Statement

A revised Regulatory Impact Statement, Regulatory Flexibility Analysis for Small Businesses and Local Governments, Rural Area Flexibility Analysis, and Job Impact Exemption are not required to be submitted with this rule because the revisions made to the proposed rule are not substantial and do not affect any of the statements made in the documents submitted with the proposal.

A typographical error in the lowest bracket in Column 1 of Method III in Appendixes 10 and 10-A was corrected to accord with Methods I and II; the dollar value of the bracket was originally stated as \$1,077,500 and corrected to read \$1,077,550. The corrected tables were promptly posted to the Department's website.

Initial Review of Rule

As a rule that requires a RFA, RAFA or JIS, this rule will be initially reviewed in the calendar year 2024, which is no later than the 3rd year after the year in which this rule is being adopted.

Assessment of Public Comment

The Department received two written comments regarding the rule. Both comments identified a typographical error in Column 1 of the Method III Single Table for both Appendix 10 (New York State withholding tables and other methods) and Appendix 10-A (City of Yonkers withholding tables and other methods). The Department made the necessary technical correction to remedy the error and posted the revised text of the rule on its website.

Workers' Compensation Board

EMERGENCY/PROPOSED RULE MAKING NO HEARING(S) SCHEDULED

Independent Livery Drivers and Independent Livery Driver Fund

I.D. No. WCB-52-21-00004-EP

Filing No. 1239

Filing Date: 2021-12-13 **Effective Date:** 2021-12-13

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following action:

Proposed Action: Amendment of section 309.3; addition of section 309.4 to Title 12 NYCRR.

Statutory authority: Workers' Compensation Law, sections 117 and 141 Finding of necessity for emergency rule: Preservation of public safety and general welfare.

Specific reasons underlying the finding of necessity: The amendment of 12 NYCRR 309.3 and addition of 309.4 is adopted as an emergency measure to ensure that there is no conflict between the Board's current regulatory language and the recent Cisnero Third Department case (195 A.D.3d 1344). The case essentially expanded the definition of independent livery drivers, and the Board is modifying the language of the regulation to conform to this decision. The addition of section 309.4 also ensures that premium is collected to cover the additional required coverage of the expanded definition of independent livery drivers.

Subject: Independent Livery Drivers and Independent Livery Driver Fund.

Purpose: To implement the Cisnero Appellate Division case (194 A.D.3d 1344).

Text of emergency/proposed rule: Paragraph (1) of subdivision (a) of section 309.3 of Title 12 NYCRR is hereby amended to read as follows:

(a) Independent livery drivers.

(1) A livery driver is an independent livery driver when he or she is licensed to drive a livery by the appropriate governing taxi and limousine commission and is dispatched by an independent livery base with which he or she is affiliated. An independent livery driver also includes a black car operator when the black car operator is dispatched by an independent livery base.

A new section 309.4 of Title 12 NYCRR is hereby added to read as follows:

309.4 Annual contributions to the Fund.

(a) Annual contributions to the Fund by independent livery bases calculated using the number of liveries affiliated with each livery base pursuant to section 160-ccc of the Executive Law shall include independent livery drivers as defined in subdivision (a) of section 309.3 of this Title. Such calculation may be done at the discretion of the Fund using a per ride surcharge.

This notice is intended: to serve as both a notice of emergency adoption and a notice of proposed rule making. The emergency rule will expire March 12, 2022.

Text of rule and any required statements and analyses may be obtained from: Heather MacMaster, NYS Workers' Compensation Board, Office of General Counsel, 328 State Street, Schenectady, NY 12305, (518) 486-9564, email: regulations@wcb.n.gov

Data, views or arguments may be submitted to: Same as above.

Public comment will be received until: 60 days after publication of this notice.

This rule was not under consideration at the time this agency submitted its Regulatory Agenda for publication in the Register.

Regulatory Impact Statement

- 1. Statutory authority: Workers' Compensation Law (WCL) § 117(1) and 142 authorizes the Chair of the Workers' Compensation Board (Board) to adopt reasonable rules consistent with, and supplemental to, the provisions of the WCL.
- 2. Legislative objectives: The emergency adoption and permanent proposal seeks to implement the recent Third Department Appellate Division case, Cisnero v. Independent Livery Driver Benefit Fund, 195 A.D. 3D (Cisnero), which expanded the definition of independent livery driver.
- 3. Needs and benefits: The emergency adoption and permanent proposal is necessary to ensure that there is no conflict between the Cisnero decision and the current regulatory language, and to ensure that premium is collected to account for the additional coverage by the Independent Livery Driver Benefit Fund for this expanded definition. Conforming to the recent decision should decrease litigation and confusion surrounding this issue.
- 4. Costs: The Board anticipates that there will be a slight increased cost associated with the regulation since it expands the definition of independent livery driver to include Black Car Drivers when that driver is injured on a livery call, and the addition of section 309.4 also accounts for this by ensuring that additional premium can be collected for this additional coverage.
- 5. Local government mandates: The proposed amendments do not impose any additional program, service, duty, or responsibility upon any county, city, town, village, school district, fire district, or other special district.
- 6. Paperwork: There is no specific paperwork associated with this emergency adoption and proposal it expands the definition of independent livery driver to conform to the Cisnero case.
- 7. Duplication: The proposal does not duplicate other regulatory initiatives.
- 8. Alternatives: An alternative would be to leave the current regulations in place and not update the regulatory language to conform to the Cisnero decision and leave the conflict in the regulatory language. However, this would leave a conflict between the regulation and the Appellate Division, and could lead to increased litigation and confusion.
 - 9. Federal standards: There are no applicable federal standards.
- 10. Compliance schedule: The emergency adoption takes effect immediately upon filing to ensure that the conflict is resolved.

Regulatory Flexibility Analysis

1. Effect of rule

The emergency adoption and permanent proposal seeks to implement the recent Third Department Appellate Division case, Cisnero v. Independent Livery Driver Benefit Fund, 195 A.D. 3D (Cisnero), which expanded the definition of independent livery driver.

2. Compliance requirements

The expanded definition of independent livery driver includes a Black Car Driver when that driver is injured on a livery call. This requires these individuals to be covered for workers' compensation insurance.

3. Professional services

It is believed that no professional services will be needed by small businesses or local governments to comply with this emergency adoption and proposal.

4. Compliance costs

Compliance with the proposed regulations may slightly increase compliance costs on small businesses or local governments who are employers, since it expands the definition of independent livery driver to include Black Car Drivers when that driver is injured on a livery call, and the addition of section 309.4 also accounts for this by ensuring that additional premium can be collected for this additional coverage.

5. Economic and technological feasibility

Compliance with the proposal is economically and technologically feasible for small businesses and local governments, because this emergency adoption and proposal simply expands the definition of independent livery driver.

6. Minimizing adverse impact

The emergency adoption and proposal is being filed to ensure that the recent Appellate Division case (Cisnero) is implemented and no conflict in the regulation exists and to avoid confusion and potential litigation.

7. Small business and local government participation

The Board does not have a small employer or municipality database but has sent an electronic communication describing the proposal to 4,634 subscribers (self-insured employers) for Board updates.

The Board will also duly consider all public comments received from small businesses or local governments during the public comment period.

Rural Area Flexibility Analysis

1. Types and estimated numbers of rural areas

The emergency adoption and proposed rulemaking affects any employers of and independent livery drivers in all areas of New York State, including rural areas.

2. Reporting, recordkeeping and other compliance requirements; and professional services

The same compliance and recordkeeping requirements apply to rural areas as metropolitan ones. The expanded definition of independent livery driver includes a Black Car Driver when that driver is injured on a livery call. This requires these individuals to be covered for workers' compensation insurance.

No special professional services should be required by rural or any other areas.

3. Costs

The Board anticipates the proposed regulations may slightly increase compliance costs for employers, since it expands the definition of independent livery driver to include Black Car Drivers when that driver is injured on a livery call, and the addition of section 309.4 also accounts for this by ensuring that additional premium can be collected for this additional coverage.

4. Minimizing adverse impact

The emergency adoption is being filed to ensure that the recent Appellate Division case (Cisnero) is implemented and no conflict in the regulation exists and to avoid confusion and potential litigation.

5. Rural area participation

The Board will duly consider all public comments received from rural areas during the public comment period.

Job Impact Statement

A Job Impact Statement is not required because the emergency adoption and proposal will not have any impact on jobs or employment opportunities. The emergency adoption and proposal is updating the regulation to conform to a recent Third Department Appellate Division Case.

HEARINGS SCHEDULED FOR PROPOSED RULE MAKINGS

Agency I.D. No. Subject Matter Location—Date—Time

Education Department

System Procedures

Zoom—February 1, 2022, 12:00 p.m.

Link: https://zoom.us/j/95291448709?pwd=S1E2a3pvRjYvZlQ0eHZKNFpOaTZ6dz09, Meeting ID: 952 9144 8709, Passcode:

MTbs02

Zoom—February 9, 2022, 5:00 p.m.

Link: https://zoom.us/j/95132788304?pwd= QmVvaWxFZ2JaRi91bW1pTUxBZ2JHdz09, Meeting ID: 951 3278 8304, Passcode: 8p4dru

Zoom—February 17, 2022, 9:00 a.m.

Link: https://zoom.us/j/99029637239?pwd= eHcyaEdYRmY3SVFsMnNhdzdpdFZzdz09, Meeting ID: 990 2963 7239, Passcode: 8iqjHd

Environmental Conservation, Department of

ENV-51-21-00003-P..... Environmental Remediation Programs

Electronic Webinar—April 5, 2022, 2:00 p.m.

Electronic Webinar—April 7, 2022, 5:30 p.m.

Instructions on how to "join" the hearing webinar and provide an oral statement will be published on the Department's proposed regulations webpage for 6 NYCRR Part 375 by December 22, 2021. The proposed regulations webpage for 6 NYCRR Part 375 may be accessed at: https://www.dec.ny.gov/regulations/propregulations.html

Persons who wish to receive the instructions by mail or telephone may call the Department at (518) 402-9764 and leave a message for Jenn Dawson. Please provide your first and last name, address, and telephone number and reference the Part 375 public comment hearing.

The Department will provide interpreter services for hearing impaired persons, and language interpreter services for individuals with difficulty understanding or reading English, at no charge upon written request submitted no later than March 22, 2022. The written request must be addressed to Deputy Commissioner, NYS DEC Office of Hearings and Mediation Services, 625 Broadway, 1st Floor, Albany, NY 12233-1550 or emailed to the Office of Hearings and Mediation Services at ohms@dec.ny.gov. Please include "Part 375 Public Comment Hearing" in the subject line.

The public comment period for Part 375 is open until 8:00 p.m., April 21, 2022. Comments may be entered during the hearing, e-mailed to derweb@dec.ny.gov, or mailed to NYS DEC, Division of Environmental Remediation, 625 Broadway, Albany, NY 12233, Attn: Jenn Dawson. Please include "Part 375 Comments" in the subject or memo line of the correspondence.

Location to be announced on Department of Labor website—February 9, 2022, 11:00 a.m.

Labor, Department of

LAB-51-21-00007-P..... Workplace Safety Committees

Public Service Commission

PSC-44-21-00012-P Disposition of a New York State Tax Refund

Teleconference—January 4, 2022, 10:00 a.m. (Public Statement Hearing)*
*On occasion, there are requests to reschedule or postpone hearing dates. If such a request is granted, notification of any subsequent scheduling changes will be available at the DPS website (www.dps.ny.gov) under Case

21-W-0356.

ACTION PENDING INDEX

The action pending index is a list of all proposed rules which are currently being considered for adoption. A proposed rule is added to the index when the notice of proposed rule making is first published in the *Register*. A proposed rule is removed from the index when any of the following occur: (1) the proposal is adopted as a permanent rule; (2) the proposal is rejected and withdrawn from consideration; or (3) the proposal's notice expires.

Most notices expire in approximately 12 months if the agency does not adopt or reject the proposal within that time. The expiration date is printed in the second column of the action pending index. Some notices, however, never expire. Those notices are identified by the word "exempt" in the second column. Actions pending for one year or more are preceded by an asterisk(*).

For additional information concerning any of the proposals

ASA-47-21-00001-EP

listed in the action pending index, use the identification number to locate the text of the original notice of proposed rule making. The identification number contains a code which identifies the agency, the issue of the *Register* in which the notice was printed, the year in which the notice was printed and the notice's serial number. The following diagram shows how to read identification number codes.

Agency code	Issue	Year	Serial	Action
	number	published	number	Code
AAM	01	12	00001	Р

Action codes: P — proposed rule making; EP — emergency and proposed rule making (expiration date refers to proposed rule); RP — revised rule making

Agency I.D. No. **Expires** Subject Matter Purpose of Action AGRICULTURE AND MARKETS, DEPARTMENT OF 07/07/22 AAM-23-21-00001-P Regulated commodity labeling, packaging and Amend packaging, labeling & method of sale method of sale requirements requirements for various commodities to align with industry & federal standards 09/15/22 AAM-31-21-00014-P Regulated commodity labeling, packaging and Amend packaging, labeling & method of sale method of sale requirements requirements for various commodities to align with industry & federal standards Control of the Box Tree Moth (Cydalima AAM-52-21-00001-EP To help control the spread of the Box Tree perspectalis) Moth, which infests certain landscaping host plants, rendering them unmarketable ALCOHOLISM AND SUBSTANCE ABUSE SERVICES, OFFICE OF ASA-27-21-00009-P To identify those provisions that are required of 07/07/22 General provisions applicable to all OASAS all OASAS certified, funded or otherwise programs authorized programs Children and Family Treatment Support Identify services and designation process for ASA-42-21-00008-P 10/20/22 children and family treatment and support services Incident Reporting in OASAS certified, ASA-42-21-00009-P 10/20/22 To update and clarify existing language. licensed, funded, or Operated Services ASA-42-21-00010-P Provision of problem gambling treatment and Identify the requirements for provision of problem gambling services. recovery services. ASA-42-21-00012-P 10/20/22 Tobacco-Limited Services The purpose of the rule is to change the requirement from tobacco "free" services to tobacco "limited" services. ASA-43-21-00001-P 10/27/22 This part establishes standards for the Update language and conform to current State Plan Amendment reimbursement and participation in the Medical Assistance Program

Telehealth flexibilities and LGBTQ optional

endorsement.

To continue telehealth flexibilities allowed under

the COVID-19 disaster emergency to become

permanent.

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Agency I.D. No.	Expires	Subject Matter	Purpose of Action
ALCOHOLISM AN	D SUBSTANCE ABI	USE SERVICES, OFFICE OF	
ASA-47-21-00002-EP	11/24/22	Patient's Rights in OASAS Programs	To set forth minimum requirements for patient rights in OASAS certified, funded or otherwise authorized programs.
ASA-48-21-00002-EP	12/01/22	General Provisions applicable to all OASAS programs	To identify those provisions that are required of ALL OASAS certified, funded, or otherwise authorized programs.
ASA-52-21-00005-EP	12/29/22	Masking requirements in all OASAS certified/ funded/otherwise authorized settings	To prevent the ongoing threat to public health of the spread of COVID-19 in OASAS settings
AUDIT AND CONT	ROL, DEPARTMEN	T OF	
AAC-51-21-00005-P	12/22/22	300.1 Regular interest; and rate of estimated future investment earnings 310.1 Mortality and service tables for valuation	To update the rate of estimated future investment earnings and the mortality and service tables used for valuation purposes.
CANNABIS MANA	GEMENT, OFFICE (OF	
OCM-46-21-00010-P	11/17/22	Part 115 - Personal Cultivation of Cannabis	Regulation to authorize the home cultivation of cannabis for certified medical cannabis patients
CHILDREN AND F	AMILY SERVICES,	OFFICE OF	
CFS-36-21-00010-EP	09/08/22	Adopt provisions & standards to operationalize compliance with the federal Family First Prevention Services Act	Adopt provisions & standards to operationalize compliance with the federal Family First Prevention Services Act
CFS-52-21-00002-EP	12/29/22	To establish minimum standards to control the spread of COVID-19	To establish minimum standards to control the spread of COVID-19
CFS-52-21-00003-EP	12/29/22	To establish minimum standards to control the spread of COVID-19 at residential congregate programs.	To establish minimum standards to control the spread of COVID-19 at residential congregate programs.
CIVIL SERVICE, D	EPARTMENT OF		
CVS-23-21-00007-P	06/09/22	Jurisdictional Classification	To classify a position in the exempt class
CVS-40-21-00008-P	10/06/22	Jurisdictional Classification	To classify a position in the exempt class.
CVS-40-21-00009-P	10/06/22	Jurisdictional Classification	To delete positions from and classify positions in the exempt class.
CVS-40-21-00010-P	10/06/22	Jurisdictional Classification	To delete positions from and classify a position in the exempt class and to classify a position in the non-competitive class
CVS-40-21-00011-P	10/06/22	Jurisdictional Classification	To classify positions in the exempt class.
CVS-40-21-00012-P	10/06/22	Jurisdictional Classification	To delete positions from and classify positions in the non-competitive class
CVS-40-21-00013-P	10/06/22	Jurisdictional Classification	To classify positions in the exempt class.
CVS-40-21-00014-P	10/06/22	Jurisdictional Classification	To classify a position in the non-competitive class

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Agency I.D. No.	Expires	Subject Matter	Purpose of Action
CIVIL SERVICE, D	DEPARTMENT OF		
CVS-40-21-00015-P	10/06/22	Jurisdictional Classification	To classify a position in the non-competitive class
CVS-40-21-00016-P	10/06/22	Jurisdictional Classification	To delete a position from and classify a position in the non-competitive class
CVS-44-21-00003-P	11/03/22	Jurisdictional Classification	To delete a position and to classify a position in the exempt class and to classify a position in the non-competitive class
CVS-44-21-00004-P		Jurisdictional Classification	To classify a position in the exempt class.
CVS-44-21-00005-P	11/03/22	Jurisdictional Classification	To classify a position in the non-competitive class
CVS-44-21-00006-P		Jurisdictional Classification	To delete a position in the exempt class.
CVS-44-21-00007-P	11/03/22	Jurisdictional Classification	To classify a position in the non-competitive class
CVS-49-21-00002-P	12/08/22	Jurisdictional Classification	To delete a position from and classify positions in the non-competitive class
CVS-49-21-00003-P		Jurisdictional Classification	To delete positions from the exempt class
CVS-49-21-00004-P	12/08/22	Jurisdictional Classification	To delete positions from and classify positions in the non-competitive class
CVS-49-21-00005-P		Jurisdictional Classification	To classify positions in the exempt class.
CVS-49-21-00006-P	12/08/22	Jurisdictional Classification	To classify positions in the non-competitive class
CVS-49-21-00007-P	12/08/22	Jurisdictional Classification	To classify a position in the non-competitive class
CORRECTION, ST	TATE COMMISSION	OF	
CMC-34-21-00001-P	08/25/22	Jail staffing requirements	To provide county governments and the City of New York an increased role and flexibility in determining officer staffing levels
CORRECTIONS A	ND COMMUNITY S	UPERVISION, DEPARTMENT OF	
CCS-49-21-00001-P	12/08/22	Incarcerated Individual Correspondence Program	To further clarify facility mail processing procedures
CRIMINAL JUSTIC	CE SERVICES, DIVI	SION OF	
CJS-42-21-00004-EP	10/20/22	Professional Policing Standards	Implementation of the "New York State Professional Policing Act of 2021"
ECONOMIC DEVE	ELOPMENT, DEPAR	TMENT OF	
EDV-44-21-00001-P	11/03/22	Music and Theatrical Tax Credit program	Update regulations to include a third party verification process for application

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
ECONOMIC DEVE	LOPMENT, DEPAR	IMENT OF	
EDV-45-21-00001-P		Commercial Production Credit Program	Update regulations to include a third party verification process for application submissions.
EDV-51-21-00006-P	12/22/22	Employee Training Incentive Program	To update the administrative process for the ETIP program
EDUCATION DEPA	ARTMENT		
EDU-08-21-00002-RP	02/24/22	The Definition of the Term "University"	To clarify and broaden the definition of the term "university"
EDU-39-21-00001-EP	09/29/22	Technical amendments relating to the School Safety and Educational Climate (SSEC) reporting system.	To make technical corrections rrelating to the SSEC reporting system.
EDU-39-21-00008-EP	09/29/22	Flexibility for accountability requirements in response to the COVID-19 crisis.	To provide flexibility for accountability requirements in response to the COVID-19 crisis.
EDU-39-21-00011-P	09/29/22	Removing References to Regional Accreditation.	To remove references to "regional accreditation" in the Rules of the Board of Regents and Commissioner's regulations.
EDU-39-21-00012-P	09/29/22	Prohibits schools from filing a law suit against parents or guardians for unpaid meal fees.	To implement and conform Commissioner's Regulations according to Chapter 315 of the Laws of 2021.
EDU-44-21-00008-EP	11/03/22	Execution by Registered Professional Nurses of Non-Patient Specific Orders to Administer COVID-19 Immunizations	To ensure greater access to immunizations against COVID-19, as permitted by Education Law § 6909.
EDU-44-21-00009-P	11/03/22	Removing face-to-face instruction requirement for the Dignity For All Students Act (DASA) Training.	To remove the face-to-face instruction requirement for DASA training.
EDU-48-21-00008-P	02/17/23	Special education impartial hearing officers and the special education due process system procedures.	To address volume of special education due process complaints in the New York City due process system
EDU-48-21-00009-P	12/01/22	Licensure of Psychologists.	To conform New York State's licensure requirements with national standards and create a pathway for licensure by endorsement.
EDU-48-21-00010-P	12/01/22	Definition of the term "year of experience" for permanent or professional certification.	To streamline the definition of "year of experience" for permanent or professional certification.
EDU-48-21-00011-P	12/01/22	School districts' exemption from the establishment of an internal audit function.	To align the student enrollment number for eligibility for such exemption with the applicable statute.
EDU-48-21-00012-EP	12/01/22	Annual visitation of voluntarily registered nursery schools and kindergartens.	To extend flexibility for the annual visitation of voluntarily registered nursery schools and kindergartens to the 2021-2022 SY.
EDU-48-21-00013-P	12/01/22	Records retention and disposition scheudles	To revise records retention and disposition schedule LGS-1 and to remove superseded disposition schedules.

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
EDUCATION DEP	ARTMENT		
EDU-52-21-00012-P	12/29/22	General Education Core in the Liberal Arts and Sciences requirements	To remove the General Education Core in the Liberal Arts and Sciences requirements for registered teacher preparation programs and Individual Evaluation Pathway to teacher certification
EDU-52-21-00013-P	12/29/22	The eacher performance assessment requirement for certification.	To modify the teacher performance assessment requirement by eliminating the requirement of the edTPA for certification
EDU-52-21-00014-P	12/29/22	Requirements for the Reissuance of an Initial Certificate	To remove the requirement that candidates complete 50 hours of CTLE and/or professional learning to obtain a reissuance
EDU-52-21-00015-EP	12/29/22	Administration of non-injectable glucagon in schools by trained unlicensed school personnel	To conform the Commissioner's regulations to Chapter 339 of the Laws of 2021
ELECTIONS, STA	TE BOARD OF		
SBE-33-21-00010-P	08/18/22	Public Campaign Finance Program	Implementation of the Public Campaign Finance Program
SBE-39-21-00002-P	09/29/22	County Voter Registration Systems Requirements	Requirements County Voter Registration Systems Must Meet in Order to Connect to the Statewide Voter Registration System
SBE-39-21-00003-P	09/29/22	Required Debates for Statewide Candidates Participating in the Public Campaign Finance Program	Outlines Debate Requirements for Statewide Candidates Participating in the Public Campaign Finance Program
SBE-46-21-00001-P	11/17/22	Public Campaign Finance Board's Enforcement Procedure	Relates to how the Public Campaign Finance Board will enforce the public campaign finance provisions of the Election Law
ENVIRONMENTAL	_ CONSERVATION,	DEPARTMENT OF	
ENV-16-21-00012-P	04/21/22	Regulations governing whelk management	To protect immature whelk from harvest and establish gear and reporting rules for marine resource protection and public safety
ENV-19-21-00001-P	07/20/22	Set monitoring, operational and reporting requirements for the oil and natural gas sector	Reduce emissions of methane and volatile organic compounds from the oil and natural gas sector
ENV-22-21-00001-EP	06/02/22	Peekamoose Valley Riparian Corridor	Protect public health, safety, general welfare and natural resources on the Peekamoose Valley Riparian Corridor
ENV-24-21-00008-P	08/17/22	Petroleum Bulk Storage (PBS)	To amend the PBS regulations, 6 NYCRR Part 613
ENV-24-21-00009-P	08/17/22	Chemical Bulk Storage (CBS)	To repeal existing 6 NYCRR Parts 596, 598,599 and replace with new Part 598; and amend existing Part 597; for the CBS program
ENV-26-21-00003-P	09/08/22	Product Stewardship and Product Labeling	Expand, strengthen and clarify existing regulations to establish consistency with federal and state requirements

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
ENVIRONMENTAL	CONSERVATION,	DEPARTMENT OF	
ENV-33-21-00004-P	08/18/22	Amendments to permit requirements for trapping fisher and marten in New York State.	To remove the requirement for a special fisher trapping permit, and to simplify marten trapping requirements.
ENV-36-21-00003-P	11/15/22	Expanded Polystyrene Foam Container and Polystyrene Loose Fill Packaging Reduction	Implementation of the expanded polystyrene foam container and loose fill packaging ban in ECL Art. 27, Title 30
ENV-36-21-00004-P	11/09/22	Medium- and heavy-duty (MHD) zero emission truck annual sales requirements and large entity reporting	Annual zero emission MHD truck sales requirements for model years 2025-2035. Report MHD volumes, operations, and locations
ENV-37-21-00004-P	09/15/22	Deer Hunting	This rulemaking will allow counties to annually, by county law, "opt-out" of the late bow and/or muzzleloader deer seasons
ENV-43-21-00010-P	10/27/22	Sunfish and crappie fishing regulations	To revise sunfish and crappie fishing regulations
ENV-49-21-00008-EP	12/08/22	Sanitary Condition of Shellfish Lands	To reclassify underwater shellfish lands to protect public health
ENV-49-21-00009-P	12/08/22	Freshwater fishing regulation simplification and clean-up	Eliminate unnecessary regulations, provide consistency and align regulations with actual management intent
ENV-51-21-00003-P	04/07/23	Environmental Remediation Programs	To amend 6 NYCRR Part 375, Environmental Remediation Programs.
FINANCIAL SERVI	ICES, DEPARTMEN	T OF	
*DFS-17-16-00003-P	exempt	Plan of Conversion by Commercial Travelers Mutual Insurance Company	To convert a mutual accident and health insurance company to a stock accident and health insurance company
*DFS-25-18-00006-P	exempt	Plan of Conversion by Medical Liabilty Mutual Insurance Company	To convert a mutual property and casualty insurance company to a stock property and casualty insurance company
DFS-42-21-00011-P	10/20/22	DISCLOSURE REQUIREMENTS FOR CERTAIN PROVIDERS OF COMMERCIAL FINANCING TRANSACTIONS	To provide new disclosure rules for small business financings
DFS-44-21-00015-P	11/03/22	Compliance With Community Reinvestment Act Requirements	To provide new rules concerning data collection on extension of credit to women-owned and minority-owned businesses.
DFS-47-21-00006-P	11/24/22	Minimum Standards for the Form, Content and Sale of Health Insurance, Including Standards of Full and Fair Disclosure	To hold insurers, plans and HMOs responsible for inaccurate provider directory information and replies to insureds' inquiries.
DFS-50-21-00016-P	12/15/22	Debt Collection by Third-Party Debt Collectors and Debt Buyers	To clarify and modify standards for debt collection practices in New York
GAMING COMMIS	SION, NEW YORK	STATE	
SGC-37-21-00017-P	09/15/22	Discretion to require a Thoroughbred jockey to serve a suspension for a riding violation at track where the violation occurred	To enhance the integrity and safety of thoroughbred horse racing

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Agency I.D. No.	Expires	Subject Matter	Purpose of Action
HEALTH, DEPART	MENT OF		
*HLT-14-94-00006-P	exempt	Payment methodology for HIV/AIDS outpatient services	To expand the current payment to incorporate pricing for services
*HLT-46-19-00003-RP	12/21/21	Tanning Facilities	To prohibit the use of indoor tanning facilities by individuals less than 18 years of age
*HLT-31-20-00012-EP	exempt	Hospital Non-comparable Ambulance Acute Rate Add-on	Prevents duplicate claiming by Article 28 hospitals for the ambulance add-on regarding participation in the program
HLT-05-21-00011-P	02/03/22	Ingredient Disclosures for Vapor Products and E-Cigarettes	To provide for enhanced public awareness of the chemicals used in vapor products and electronic cigarettes
HLT-22-21-00003-P	06/02/22	Reducing Biannual Testing of Adult Care Facility Staff	To remove the requirement for biannual testing of adult care workers
HLT-22-21-00004-P	06/02/22	Hospice Residence Rates	To authorize Medicaid rate of payment to increase the Hospice Residence reimbursement rates by 10 percent
HLT-22-21-00005-P	06/02/22	Stroke Services	Amend transition period for existing stroke centers to allow the Dept. to extend the three year transition period, if necessary
HLT-22-21-00009-P	06/02/22	Managed Care Organizations (MCOs)	To maintain the contingent reserve requirement at 7.25% through 2022 applied to Medicaid Managed Care, HIV SNP & HARP programs
HLT-22-21-00010-P	06/02/22	Labeling Requirements Concerning Vent-Free Gas Space Heating Appliances	To adjust the current labeling requirements for unvented gas space heating appliances
HLT-28-21-00018-P	07/14/22	Public Water Systems	To correct typographic & minor technical errors to obtain primacy for the implementation of federal drinking water regulations
HLT-32-21-00001-P	08/11/22	Abortion Services	To protect and promote the health of New Yorkers seeking to access abortion services
HLT-46-21-00005-P	11/17/22	Nursing Home Minimum Direct Resident Care Spending	Every RHCF shall spend a minimum of 70% of revenue on direct resident care and 40% of revenue on resident-facing staffing.
HLT-46-21-00006-P	11/17/22	Article 28 Nursing Homes; Establishment; Notice and Character and Competence Requirements	To strengthen the establishment application review process for all Article 28 facilities
HLT-46-21-00007-P		Minimum Staffing Requirements for Nursing Homes	Requiring minimum staffing levels for nursing homes
HLT-50-21-00001-EP	12/15/22	Prevention of COVID-19 Transmission by Covered Entities	To require covered entities to ensure their personnel are fully vaccinated against COVID-19 subject to certain exemptions.
HLT-50-21-00002-EP	12/15/22	Investigation of Communicable Disease; Isolation and Quarantine	Control of communicable disease.
HLT-50-21-00003-EP	12/15/22	Face Coverings for COVID-19 Prevention	To control and promote the control of communicable diseases to reduce their spread.

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Agency I.D. No.	Expires	Subject Matter	Purpose of Action
HEALTH, DEPART	MENT OF		
HLT-50-21-00004-EP	12/15/22	Personal Caregiving and Compassionate Caregiving Visitors in Nursing Homes (NH's) and Adult Care Facilities (ACF's)	To require NH's & ACF's to establish policies & procedures relating to personal caregiving & compassionate caregiving visitors.
HUMAN RIGHTS,	DIVISION OF		
HRT-15-21-00005-P	04/14/22	Notice of tenants' rights to reasonable modifications and accommodations for persons with disabilities	To comply with the requirements of Executive Law section 170-d
INDUSTRIAL BOA	ARD OF APPEALS		
IBA-45-21-00003-P	11/10/22	Rules of Procedure and Practice for administrative hearings; Freedom of Information Law	To update the Rules of Procedure and Practice for administrative review and to correct address for Freedom of Information Law.
LABOR, DEPART	MENT OF		
LAB-05-21-00003-EP	02/03/22	Unemployment Insurance (UI) definition of "day of total unemployment"	To prevent an additional financial burden on UI claimants seeking part-time work opportunities and help employers obtain talent
LAB-34-21-00002-EP	11/17/22	New York Health and Essential Rights Act (NY HERO Act)	Airborne Infectious Disease Exposure Prevention Standard
LAB-51-21-00007-P	02/09/23	Workplace Safety Committees	To comply with Labor Law 27-d(8) which requires that the Department adopt regulations.
LAW, DEPARTME	NT OF		
LAW-48-21-00016-P	12/01/22	Contents of annual financial reports required to be filed by charities required to register with the Department of Law	Amend filing requirement held unconstitutional by the United States Supreme Court; conform filing thresholds to law
LAW-49-21-00016-P	12/08/22	Charities regulatory framework and the use of gendered pronouns therein	Removal of all references to gender pronouns and replacing them with the neutral pronoun, "they" or "their"
LIQUOR AUTHOR	ITY, STATE		
LQR-36-21-00002-P	11/10/22	Commencement of administrative disciplinary proceedings via electronic means	To modernize outdated administrative disciplinary procedures to provide for service of pleadings via electronic means
LONG ISLAND PO	WER AUTHORITY		
*LPA-08-01-00003-P	exempt	Pole attachments and related matters	To approve revisions to the authority's tariff
*LPA-41-02-00005-P	exempt	Tariff for electric service	To revise the tariff for electric service
*LPA-04-06-00007-P	exempt	Tariff for electric service	To adopt provisions of a ratepayer protection plan
*LPA-03-10-00004-P	exempt	Residential late payment charges	To extend the application of late payment charges to residential customers

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
LONG ISLAND PO	WER AUTHORITY		
*LPA-15-18-00013-P	exempt	Outdoor area lighting	To add an option and pricing for efficient LED lamps to the Authority's outdoor area lighting
*LPA-37-18-00013-P	exempt	The net energy metering provisions of the Authority's Tariff for Electric Service	To implement PSC guidance increasing eligibility for value stack compensation to larger projects
*LPA-37-18-00017-P	exempt	The treatment of electric vehicle charging in the Authority's Tariff for Electric Service.	To effectuate the outcome of the Public Service Commission's proceeding on electric vehicle supply equipment.
*LPA-37-18-00018-P	exempt	The treatment of energy storage in the Authority's Tariff for Electric Service.	To effectuate the outcome of the Public Service Commission's proceeding on the NY Energy Storage Roadmap.
*LPA-09-20-00010-P	exempt	To update and implement latest requirements for ESCOs proposing to do business within the Authority's service territory.	To strengthen customer protections and be consistent with Public Service Commission orders on retail energy markets.
*LPA-28-20-00033-EP	exempt	LIPA's late payment charges, reconnection charges, and low-income customer discount enrollment	To allow waiver of late payment and reconnection charges and extend the grace period for re-enrolling in customer bill discounts
*LPA-37-20-00013-EP	exempt	The terms of deferred payment agreements available to LIPA's commercial customers	To expand eligibility for and ease the terms of deferred payment agreements for LIPA's commercial customers
LPA-12-21-00011-P	exempt	LIPA's Long Island Choice (retail choice) tariff	To simplify and improve Long Island Choice based on stakeholder collaborative input
LPA-38-21-00008-P	exempt	The Authority's annual budget, as reflected in the rates and charges in the Tariff for Electric Service.	To update the Tariff to implement the Authority's annual budget and corresponding rate adjustments.
LPA-38-21-00009-P	exempt	Community distributed generation and remote crediting tariffs.	To conform LIPA's community distributed generation and remote crediting tariffs with recent PSC orders.
LPA-38-21-00010-P	exempt	A Customer Benefit Contribution (CBC) Charge for new mass market net metering customers.	To ensure adequate contribution to LIPA's customer benefits programs.
LPA-38-21-00011-P	exempt	Conform Long Island Choice program rules and requirements with final DPS recommendations from collaborative proceeding.	To conform with Statewide retail choice policy and eliminate program inefficiencies.
LPA-38-21-00012-P	exempt	Miscellaneous clean-up of Tariff for Electric Service.	To clarify potential ambiguity and make other minor Tariff updates.
LPA-38-21-00013-P	exempt	Daily service charges during prolonged outages.	To conform with statewide policy.
MENTAL HEALTH	, OFFICE OF		
OMH-09-21-00001-EP	03/03/22	Redesigning Residential Treatment Facilities (RTF)	To provide clarity and provide uniformity relating to RTF's and to implement Chapter 58 of the Laws of 2020
OMH-20-21-00006-P	05/19/22	Establishment of Youth Assertive Community Treatment (ACT)	To include children in the populations eligible to receive ACT and other conforming changes

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Agency I.D. No.	Expires	Subject Matter	Purpose of Action
MENTAL HEALTH,	OFFICE OF		
OMH-33-21-00005-P	08/18/22	Establishes Crisis Stabilization Centers.	To establish standards for a Crisis Stabilization Center which provides a full range of psychiatric and substance use services.
OMH-40-21-00007-EP	10/06/22	COVID-19 Masking Program	To implement a COVID-19 mask program
OMH-43-21-00002-EP	10/27/22	COVID-19 Vaccination Program	To implement a COVID-19 vaccination program in OMH Operated or Licensed Hospitals
OMH-48-21-00003-EP	12/01/22	Telehealth Expansion.	To establish regulations regarding the expansion of telehealth.
METROPOLITAN T	RANSPORTATION	AGENCY	
MTA-16-21-00004-EP	04/21/22	Requiring mask wearing when using the facilities and conveyances of the MTA and its operating affiliates and subsidiaries	To safeguard the public health and safety by adding a new all-agency rule requiring the use of masks in facilities and conveyances
MOTOR VEHICLES	S, DEPARTMENT O	F	
MTV-43-21-00004-P	10/27/22	Motor Vehicle Accident Prevention Course by Internet or other Technologies (Alternate Delivery Methods)	Conforms regulation with statute
MTV-48-21-00014-P	12/01/22	Special Requirements For For-Hire Vehicle Motor Carriers	necessary to implement statute (Ch 2, Laws of 2020)
MTV-48-21-00015-P	12/01/22	Signs for school bus photo violation monitoring system	Conforms regulation with statute
NIAGARA FALLS	WATER BOARD		
*NFW-04-13-00004-EP	exempt	Adoption of Rates, Fees and Charges	To pay for the increased costs necessary to operate, maintain and manage the system, and to achieve covenants with bondholders
*NFW-13-14-00006-EP	exempt	Adoption of Rates, Fees and Charges	To pay for increased costs necessary to operate, maintain and manage the system and to achieve covenants with the bondholders
NFW-49-21-00010-EP	12/08/22	Adoption of Rates, Fees, and Charges	To pay for increased costs necessary to operate, maintain, and manage the system, and to meet covenants with the bondholders
OGDENSBURG BE	RIDGE AND PORT	AUTHORITY	
*OBA-33-18-00019-P	exempt	Increase in Bridge Toll Structure	To increase bridge toll revenue in order to become financially self-supporting. Our bridge operations are resulting in deficit.
*OBA-07-19-00019-P	exempt	Increase in Bridge Toll Structure	To increase bridge toll revenue in order to become financially self-supporting. Our bridge operations are resulting in deficit
PEOPLE WITH DE	VELOPMENTAL DI	SABILITIES, OFFICE FOR	
PDD-37-21-00001-P	09/15/22	Certified Residential Opportunities	To provide equity in opportunities for certified residential opportunities

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PEOPLE WITH DE	EVELOPMENTAL DI	SABILITIES, OFFICE FOR	
PDD-40-21-00002-EP		Mandatory Face Coverings in OPWDD Certified Services	To protect public health
PDD-43-21-00003-EP	10/27/22	COVID-19 vaccines	To require vaccinations in certain OPWDD settings
PDD-46-21-00015-P	11/17/22	Community Transition Services	To match federal limitations and use gender neutral terminology
POWER AUTHOR	ITY OF THE STATE	OF NEW YORK	
*PAS-01-10-00010-P	exempt	Rates for the sale of power and energy	Update ECSB Programs customers' service tariffs to streamline them/include additional required information
PAS-44-21-00016-P	exempt	Rates for the Sale of Power and Energy	To align rates and costs
PUBLIC SERVICE	COMMISSION		
*PSC-09-99-00012-P	exempt	Transfer of books and records by Citizens Utilities Company	To relocate Ogden Telephone Company's books and records out-of-state
*PSC-15-99-00011-P	exempt	Electronic tariff by Woodcliff Park Corp.	To replace the company's current tariff with an electronic tariff
*PSC-12-00-00001-P	exempt	Winter bundled sales service election date by Central Hudson Gas & Electric Corporation	To revise the date
*PSC-44-01-00005-P	exempt	Annual reconciliation of gas costs by Corning Natural Gas Corporation	To authorize the company to include certain gas costs
*PSC-07-02-00032-P	exempt	Uniform business practices	To consider modification
*PSC-36-03-00010-P	exempt	Performance assurance plan by Verizon New York	To consider changes
*PSC-40-03-00015-P	exempt	Receipt of payment of bills by St. Lawrence Gas Company	To revise the process
*PSC-41-03-00010-P	exempt	Annual reconciliation of gas expenses and gas cost recoveries	To consider filings of various LDCs and municipalities
*PSC-41-03-00011-P	exempt	Annual reconciliation of gas expenses and gas cost recoveries	To consider filings of various LDCs and municipalities
*PSC-44-03-00009-P	exempt	Retail access data between jurisdictional utilities	To accommodate changes in retail access market structure or commission mandates
*PSC-02-04-00008-P	exempt	Delivery rates for Con Edison's customers in New York City and Westchester County by the City of New York	To rehear the Nov. 25, 2003 order
*PSC-06-04-00009-P	exempt	Transfer of ownership interest by SCS Energy LLC and AE Investors LLC	To transfer interest in Steinway Creek Electric Generating Company LLC to AE Investors LLC
*PSC-10-04-00005-P	exempt	Temporary protective order	To consider adopting a protective order
*PSC-10-04-00008-P	exempt	Interconnection agreement between Verizon New York Inc. and VIC-RMTS-DC, L.L.C. d/b/a Verizon Avenue	To amend the agreement

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Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE	COMMISSION		
*PSC-14-04-00008-P	exempt	Submetering of natural gas service to industrial and commercial customers by Hamburg Fairgrounds	To submeter gas service to commercial customers located at the Buffalo Speedway
*PSC-15-04-00022-P	exempt	Submetering of electricity by Glenn Gardens Associates, L.P.	To permit submetering at 175 W. 87th St., New York, NY
*PSC-21-04-00013-P	exempt	Verizon performance assurance plan by Metropolitan Telecommunications	To clarify the appropriate performance level
*PSC-22-04-00010-P	exempt	Approval of new types of electricity meters by Powell Power Electric Company	To permit the use of the PE-1250 electronic meter
*PSC-22-04-00013-P	exempt	Major gas rate increase by Consolidated Edison Company of New York, Inc.	To increase annual gas revenues
*PSC-22-04-00016-P	exempt	Master metering of water by South Liberty Corporation	To waive the requirement for installation of separate water meters
*PSC-25-04-00012-P	exempt	Interconnection agreement between Frontier Communications of Ausable Valley, Inc., et al. and Sprint Communications Company, L.P.	To amend the agreement
*PSC-27-04-00008-P	exempt	Interconnection agreement between Verizon New York Inc. and various Verizon wireless affiliates	To amend the agreement
*PSC-27-04-00009-P	exempt	Interconnection agreement between Verizon New York Inc. and various Verizon wireless affiliates	To amend the agreement
*PSC-28-04-00006-P	exempt	Approval of loans by Dunkirk & Fredonia Telephone Company and Cassadaga Telephone Corporation	To authorize participation in the parent corporation's line of credit
*PSC-31-04-00023-P	exempt	Distributed generation service by Consolidated Edison Company of New York, Inc.	To provide an application form
*PSC-34-04-00031-P	exempt	Flat rate residential service by Emerald Green Lake Louise Marie Water Company, Inc.	To set appropriate level of permanent rates
*PSC-35-04-00017-P	exempt	Application form for distributed generation by Orange and Rockland Utilities, Inc.	To establish a new supplementary application form for customers
*PSC-43-04-00016-P	exempt	Accounts recievable by Rochester Gas and Electric Corporation	To include in its tariff provisions for the purchase of ESCO accounts recievable
*PSC-46-04-00012-P	exempt	Service application form by Consolidated Edison Company of New York, Inc.	To revise the form and make housekeeping changes
*PSC-46-04-00013-P	exempt	Rules and guidelines governing installation of metering equipment	To establish uniform statewide business practices
*PSC-02-05-00006-P	exempt	Violation of the July 22, 2004 order by Dutchess Estates Water Company, Inc.	To consider imposing remedial actions against the company and its owners, officers and directors
*PSC-09-05-00009-P	exempt	Submetering of natural gas service by Hamlet on Olde Oyster Bay	To consider submetering of natural gas to a commercial customer

Agency I.D. No.	Expires	Subject Matter	Purpose of Action	
PUBLIC SERVICE COMMISSION				
*PSC-14-05-00006-P	exempt	Request for deferred accounting authorization by Freeport Electric Inc.	To defer expenses beyond the end of the fiscal year	
*PSC-18-05-00009-P	exempt	Marketer Assignment Program by Consolidated Edison Company of New York, Inc.	To implement the program	
*PSC-20-05-00028-P	exempt	Delivery point aggregation fee by Allied Frozen Storage, Inc.	To review the calculation of the fee	
*PSC-25-05-00011-P	exempt	Metering, balancing and cashout provisions by Central Hudson Gas & Electric Corporation	To establish provisions for gas customers taking service under Service Classification Nos. 8, 9 and 11	
*PSC-27-05-00018-P	exempt	Annual reconciliation of gas costs by New York State Electric & Gas Corporation	To consider the manner in which the gas cost incentive mechanism has been applied	
*PSC-41-05-00013-P	exempt	Annual reconciliation of gas expenses and gas cost recoveries by local distribution companies and municipalities	To consider the filings	
*PSC-45-05-00011-P	exempt	Treatment of lost and unaccounted gas costs by Corning Natural Gas Corporation	To defer certain costs	
*PSC-46-05-00015-P	exempt	Sale of real and personal property by the Brooklyn Union Gas Company d/b/a KeySpan Energy Delivery New York and Steel Arrow, LLC	To consider the sale	
*PSC-47-05-00009-P	exempt	Transferral of gas supplies by Corning Natural Gas Corporation	To approve the transfer	
*PSC-50-05-00008-P	exempt	Long-term debt by Saratoga Glen Hollow Water Supply Corp.	To obtain long-term debt	
*PSC-04-06-00024-P	exempt	Transfer of ownership interests by Mirant NY-Gen LLC and Orange and Rockland Utilities, Inc.	To approve of the transfer	
*PSC-06-06-00015-P	exempt	Gas curtailment policies and procedures	To examine the manner and extent to which gas curtailment policies and procedures should be modified and/or established	
*PSC-07-06-00009-P	exempt	Modification of the current Environmental Disclosure Program	To include an attributes accounting system	
*PSC-22-06-00019-P	exempt	Hourly pricing by National Grid	To assess the impacts	
*PSC-22-06-00020-P	exempt	Hourly pricing by New York State Electric & Gas Corporation	To assess the impacts	
*PSC-22-06-00021-P	exempt	Hourly pricing by Rochester Gas & Electric Corporation	To assess the impacts	
*PSC-22-06-00022-P	exempt	Hourly pricing by Consolidated Edison Company of New York, Inc.	To assess the impacts	
*PSC-22-06-00023-P	exempt	Hourly pricing by Orange and Rockland Utilities, Inc.	To assess the impacts	

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Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE	COMMISSION		
*PSC-24-06-00005-EP	exempt	Supplemental home energy assistance benefits	To extend the deadline to Central Hudson's low-income customers
*PSC-25-06-00017-P	exempt	Purchased power adjustment by Massena Electric Department	To revise the method of calculating the purchased power adjustment and update the factor of adjustment
*PSC-34-06-00009-P	exempt	Inter-carrier telephone service quality standards and metrics by the Carrier Working Group	To incorporate appropriate modifications
*PSC-37-06-00015-P	exempt	Procedures for estimation of customer bills by Rochester Gas and Electric Corporation	To consider estimation procedures
*PSC-37-06-00017-P	exempt	Procedures for estimation of customer bills by Rochester Gas and Electric Corporation	To consider estimation procedures
*PSC-43-06-00014-P	exempt	Electric delivery services by Strategic Power Management, Inc.	To determine the proper mechanism for the rate-recovery of costs
*PSC-04-07-00012-P	exempt	Petition for rehearing by Orange and Rockland Utilities, Inc.	To clarify the order
*PSC-06-07-00015-P	exempt	Meter reading and billing practices by Central Hudson Gas & Electric Corporation	To continue current meter reading and billing practices for electric service
*PSC-06-07-00020-P	exempt	Meter reading and billing practices by Central Hudson Gas & Electric Corporation	To continue current meter reading and billing practices for gas service
*PSC-11-07-00010-P	exempt	Investigation of the electric power outages by the Consolidated Edison Company of New York, Inc.	To implement the recommendations in the staff's investigation
*PSC-11-07-00011-P	exempt	Storm-related power outages by Consolidated Edison Company of New York, Inc.	To modify the company's response to power outages, the timing for any such changes and other related matters
*PSC-17-07-00008-P	exempt	Interconnection agreement between Verizon New York Inc. and BridgeCom International, Inc.	To amend the agreement
*PSC-18-07-00010-P	exempt	Existing electric generating stations by Independent Power Producers of New York, Inc.	To repower and upgrade existing electric generating stations owned by Rochester Gas and Electric Corporation
*PSC-20-07-00016-P	exempt	Tariff revisions and making rates permanent by New York State Electric & Gas Corporation	To seek rehearing
*PSC-21-07-00007-P	exempt	Natural Gas Supply and Acquisition Plan by Corning Natural Gas Corporation	To revise the rates, charges, rules and regulations for gas service
*PSC-22-07-00015-P	exempt	Demand Side Management Program by Consolidated Edison Company of New York, Inc.	To recover incremental program costs and lost revenue
*PSC-23-07-00022-P	exempt	Supplier, transportation, balancing and aggregation service by National Fuel Gas Distribution Corporation	To explicitly state in the company's tariff that the threshold level of elective upstream transmission capacity is a maximum of 112,600 Dth/day of marketer-provided upstream capacity

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Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE	COMMISSION		
*PSC-24-07-00012-P	exempt	Gas Efficiency Program by the City of New York	To consider rehearing a decision establishing a Gas Efficiency Program
*PSC-39-07-00017-P	exempt	Gas bill issuance charge by New York State Electric & Gas Corporation	To create a gas bill issuance charge unbundled from delivery rates
*PSC-41-07-00009-P	exempt	Submetering of electricity rehearing	To seek reversal
*PSC-42-07-00012-P	exempt	Energy efficiency program by Orange and Rockland Utilities, Inc.	To consider any energy efficiency program for Orange and Rockland Utilities, Inc.'s electric service
*PSC-42-07-00013-P	exempt	Revenue decoupling by Orange and Rockland Utilities, Inc.	To consider a revenue decoupling mechanism for Orange and Rockland Utilities, Inc.
*PSC-45-07-00005-P	exempt	Customer incentive programs by Orange and Rockland Utilities, Inc.	To establish a tariff provision
*PSC-02-08-00006-P	exempt	Additional central office codes in the 315 area code region	To consider options for making additional codes
*PSC-03-08-00006-P	exempt	Rehearing of the accounting determinations	To grant or deny a petition for rehearing of the accounting determinations
*PSC-04-08-00010-P	exempt	Granting of easement rights on utility property by Central Hudson Gas & Electric Corporation	To grant easement rights to Millennium Pipeline Company, L.L.C.
*PSC-04-08-00012-P	exempt	Marketing practices of energy service companies by the Consumer Protection Board and New York City Department of Consumer Affairs	To consider modifying the commission's regulation over marketing practices of energy service companies
*PSC-08-08-00016-P	exempt	Transfer of ownership by Entergy Nuclear Fitzpatrick LLC, et al.	To consider the transfer
*PSC-12-08-00019-P	exempt	Extend the provisions of the existing electric rate plan by Rochester Gas and Electric Corporation	To consider the request
*PSC-12-08-00021-P	exempt	Extend the provisions of the existing gas rate plan by Rochester Gas and Electric Corporation	To consider the request
*PSC-13-08-00011-P	exempt	Waiver of commission policy and NYSEG tariff by Turner Engineering, PC	To grant or deny Turner's petition
*PSC-13-08-00012-P	exempt	Voltage drops by New York State Electric & Gas Corporation	To grant or deny the petition
*PSC-23-08-00008-P	exempt	Petition requesting rehearing and clarification of the commission's April 25, 2008 order denying petition of public utility law project	To consider whether to grant or deny, in whole or in part, the May 7, 2008 Public Utility Law Project (PULP) petition for rehearing and clarification of the commission's April 25, 2008 order denying petition of Public Utility Law Project
*PSC-25-08-00007-P	exempt	Policies and procedures regarding the selection of regulatory proposals to meet reliability needs	To establish policies and procedures regarding the selection of regulatory proposals to meet reliability needs

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Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE	COMMISSION		
*PSC-25-08-00008-P	exempt	Report on Callable Load Opportunities	Rider U report assessing callable load opportunities in New York City and Westchester County during the next 10 years
*PSC-28-08-00004-P	exempt	Con Edison's procedure for providing customers access to their account information	To consider Con Edison's implementation plan and timetable for providing customers access to their account information
*PSC-31-08-00025-P	exempt	Recovery of reasonable DRS costs from the cost mitigation reserve (CMR)	To authorize recovery of the DRS costs from the CMR
*PSC-32-08-00009-P	exempt	The ESCO referral program for KEDNY to be implemented by October 1, 2008	To approve, reject or modify, in whole or in part, KEDNY's recommended ESCO referral program
*PSC-33-08-00008-P	exempt	Noble Allegany's request for lightened regulation	To consider Noble Allegany's request for lightened regulation as an electric corporation
*PSC-36-08-00019-P	exempt	Land Transfer in the Borough of Manhattan, New York	To consider petition for transfer of real property to NYPH
*PSC-39-08-00010-P	exempt	RG&E's economic development plan and tariffs	Consideration of the approval of RG&E's economic development plan and tariffs
*PSC-40-08-00010-P	exempt	Loans from regulated company to its parent	To determine if the cash management program resulting in loans to the parent should be approved
*PSC-41-08-00009-P	exempt	Transfer of control of cable TV franchise	To determine if the transfer of control of Margaretville's cable TV subsidiary should be approved
*PSC-43-08-00014-P	exempt	Annual Reconcilliation of Gas Expenses and Gas Cost Recoveries	The filings of various LDCs and municipalities regarding their Annual Reconciliation of Gas Expenses and Gas Cost Recoveries
*PSC-46-08-00008-P	exempt	Property transfer in the Village of Avon, New York	To consider a petition for the transfer of street lighting and attached equipment to the Village of Avon, New York
*PSC-46-08-00010-P	exempt	A transfer of indirect ownership interests in nuclear generation facilities	Consideration of approval of a transfer of indirect ownership interests in nuclear generation facilities
*PSC-46-08-00014-P	exempt	The attachment of cellular antennae to an electric transmission tower	To approve, reject or modify the request for permission to attach cellular antennae to an electric transmission tower
*PSC-48-08-00005-P	exempt	A National Grid high efficiency gas heating equipment rebate program	To expand eligibility to customers converting from oil to natural gas
*PSC-48-08-00008-P	exempt	Petition for the master metering and submetering of electricity	To consider the request of Bay City Metering, to master meter & submeter electricity at 345 E. 81st St., New York, New York
*PSC-48-08-00009-P	exempt	Petition for the submetering of electricity	To consider the request of PCV/ST to submeter electricity at Peter Cooper Village & Stuyvesant Town, New York, New York
*PSC-50-08-00018-P	exempt	Market Supply Charge	A study on the implementation of a revised Market Supply Charge

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE	COMMISSION		
*PSC-51-08-00006-P	exempt	Commission's October 27, 2008 Order on Future of Retail Access Programs in Case 07-M-0458	To consider a Petition for rehearing of the Commission's October 27, 2008 Order in Case 07-M-0458
*PSC-51-08-00007-P	exempt	Commission's October 27, 2008 Order in Cases 98-M-1343, 07-M-1514 and 08-G-0078	To consider Petitions for rehearing of the Commission's October 27, 2008 Order in Cases 98-M-1343, 07-M-1514 and 08-G-0078
*PSC-53-08-00011-P	exempt	Use of deferred Rural Telephone Bank funds	To determine if the purchase of a softswitch by Hancock is an appropriate use of deferred Rural Telephone Bank funds
*PSC-53-08-00012-P	exempt	Transfer of permanent and temporary easements at 549-555 North Little Tor Road, New City, NY	Transfer of permanent and temporary easements at 549-555 North Little Tor Road, New City, NY
*PSC-53-08-00013-P	exempt	To transfer common stock and ownership	To consider transfer of common stock and ownership
*PSC-01-09-00015-P	exempt	FCC decision to redefine service area of Citizens/Frontier	Review and consider FCC proposed redefinition of Citizens/Frontier service area
*PSC-02-09-00010-P	exempt	Competitive classification of independent local exchange company, and regulatory relief appropriate thereto	To determine if Chazy & Westport Telephone Corporation more appropriately belongs in scenario 1 rather than scenario 2
*PSC-05-09-00008-P	exempt	Revenue allocation, rate design, performance metrics, and other non-revenue requirement issues	To consider any remaining non-revenue requirement issues related to the Company's May 9, 2008 tariff filing
*PSC-05-09-00009-P	exempt	Numerous decisions involving the steam system including cost allocation, energy efficiency and capital projects	To consider the long term impacts on steam rates and on public policy of various options concerning the steam system
*PSC-06-09-00007-P	exempt	Interconnection of the networks between Frontier Comm. and WVT Communications for local exchange service and exchange access	To review the terms and conditions of the negotiated agreement between Frontier Comm. and WVT Comm.
*PSC-07-09-00015-P	exempt	Transfer certain utility assets located in the Town of Montgomery from plant held for future use to non-utility property	To consider the request to transfer certain utility assets located in the Town of Montgomery to non-utility assets
*PSC-07-09-00017-P	exempt	Request for authorization to defer the incremental costs incurred in the restoration work resulting from the ice storm	To allow the company to defer the incremental costs incurred in the restoration work resulting from the ice storm
*PSC-07-09-00018-P	exempt	Whether to permit the submetering of natural gas service to an industrial and commercial customer at Cooper Union, New York, NY	To consider the request of Cooper Union, to submeter natural gas at 41 Cooper Square, New York, New York
*PSC-12-09-00010-P	exempt	Charges for commodity	To charge customers for commodity costs
*PSC-12-09-00012-P	exempt	Charges for commodity	To charge customers for commodity costs
*PSC-13-09-00008-P	exempt	Options for making additional central office codes available in the 718/347 numbering plan area	To consider options for making additional central office codes available in the 718/347 numbering plan area
*PSC-14-09-00014-P	exempt	The regulation of revenue requirements for municipal utilities by the Public Service Commission	To determine whether the regulation of revenue requirements for municipal utilities should be modified

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Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE	COMMISSION		
*PSC-16-09-00010-P	exempt	Petition for the submetering of electricity	To consider the request of AMPS on behalf of Park Imperial to submeter electricity at 230 W. 56th Street, in New York, New York
*PSC-16-09-00020-P	exempt	Whether SUNY's core accounts should be exempt from the mandatory assignment of local distribution company (LDC) capacity	Whether SUNY's core accounts should be exempt from the mandatory assignment of local distribution company (LDC) capacity
*PSC-17-09-00010-P	exempt	Whether to permit the use of Elster REX2 solid state electric meter for use in residential and commerical accounts	To permit electric utilities in New York State to use the Elster REX2
*PSC-17-09-00011-P	exempt	Whether Brooklyn Navy Yard Cogeneration Partners, L.P. should be reimbursed by Con Edison for past and future use taxes	Whether Brooklyn Navy Yard Cogeneration Partners, L.P. should be reimbursed by Con Edison for past and future use taxes
*PSC-17-09-00012-P	exempt	Petition for the submetering of gas at commercial property	To consider the request of Turner Construction, to submeter natural gas at 550 Short Ave., & 10 South St., Governors Island, NY
*PSC-17-09-00014-P	exempt	Benefit-cost framework for evaluating AMI programs prepared by the DPS Staff	To consider a benefit-cost framework for evaluating AMI programs prepared by the DPS Staff
*PSC-17-09-00015-P	exempt	The construction of a tower for wireless antennas on land owned by National Grid	To approve, reject or modify the petition to build a tower for wireless antennas in the Town of Onondaga
*PSC-18-09-00012-P	exempt	Petition for rehearing of Order approving the submetering of electricity	To consider the request of Frank Signore to rehear petition to submeter electricity at One City Place in White Plains, New York
*PSC-18-09-00013-P	exempt	Petition for the submetering of electricity	To consider the request of Living Opportunities of DePaul to submeter electricity at E. Main St. located in Batavia, New York
*PSC-18-09-00017-P	exempt	Approval of an arrangement for attachment of wireless antennas to the utility's transmission facilities in the City of Yonkers	To approve, reject or modify the petition for the existing wireless antenna attachment to the utility's transmission tower
*PSC-20-09-00016-P	exempt	The recovery of, and accounting for, costs associated with the Companies' advanced metering infrastructure (AMI) pilots etc	To consider a filing of the Companies as to the recovery of, and accounting for, costs associated with it's AMI pilots etc
*PSC-20-09-00017-P	exempt	The recovery of, and accounting for, costs associated with CHG&E's AMI pilot program	To consider a filing of CHG&E as to the recovery of, and accounting for, costs associated with it's AMI pilot program
*PSC-22-09-00011-P	exempt	Cost allocation for Consolidated Edison's East River Repowering Project	To determine whether any changes are warranted in the cost allocation of Consolidated Edison's East River Repowering Project
*PSC-25-09-00005-P	exempt	Whether to grant, deny, or modify, in whole or in part, the petition	Whether to grant, deny, or modify, in whole or in part, the petition
*PSC-25-09-00006-P	exempt	Electric utility implementation plans for proposed web based SIR application process and project status database	To determine if the proposed web based SIR systems are adequate and meet requirements needed for implementation
*PSC-25-09-00007-P	exempt	Electric rates for Consolidated Edison Company of New York, Inc	Consider a Petition for Rehearing filed by Consolidated Edison Company of New York, Inc

Agency I.D. No. PUBLIC SERVICE (*PSC-27-09-00011-P	Expires COMMISSION exempt	Subject Matter Interconnection of the networks between	Purpose of Action
		Interconnection of the networks between	
*PSC-27-09-00011-P	exempt	Interconnection of the networks between	
		Vernon and tw telecom of new york l.p. for local exchange service and exchange access.	To review the terms and conditions of the negotiated agreement between Vernon and tw telecom of new york I.p.
*PSC-27-09-00014-P	exempt	Billing and payment for energy efficiency measures through utility bill	To promote energy conservation
*PSC-27-09-00015-P	exempt	Interconnection of the networks between Oriskany and tw telecom of new york l.p. for local exchange service and exchange access	To review the terms and conditions of the negotiated agreement between Oriskany and tw telecom of new york l.p
*PSC-29-09-00011-P	exempt	Consideration of utility compliance filings	Consideration of utility compliance filings
*PSC-32-09-00009-P	exempt	Cost allocation for Consolidated Edison's East River Repowering Project	To determine whether any changes are warranted in the cost allocation of Consolidated Edison's East River Repowering Project
*PSC-34-09-00016-P	exempt	Recommendations made in the Management Audit Final Report	To consider whether to take action or recommendations contained in the Management Audit Final Report
*PSC-34-09-00017-P	exempt	To consider the transfer of control of Plattsburgh Cablevision, Inc. d/b/a Charter Communications to CH Communications, LLC	To allow the Plattsburgh Cablevision, Inc. to distribute its equity interest in CH Communications, LLC
*PSC-36-09-00008-P	exempt	The increase in the non-bypassable charge implemented by RG&E on June 1, 2009	Considering exemptions from the increase in the non-bypassable charge implemented by RG&E on June 1, 2009
*PSC-37-09-00015-P	exempt	Sale of customer-generated steam to the Con Edison steam system	To establish a mechanism for sale of customer- generated steam to the Con Edison steam system
*PSC-37-09-00016-P	exempt	Applicability of electronic signatures to Deferred Payment Agreements	To determine whether electronic signatures can be accepted for Deferred Payment Agreements
*PSC-39-09-00015-P	exempt	Modifications to the \$5 Bill Credit Program	Consideration of petition of National Grid to modify the Low Income \$5 Bill Credit Program
*PSC-39-09-00018-P	exempt	The offset of deferral balances with Positive Benefit Adjustments	To consider a petition to offset deferral balances with Positive Benefit Adjustments
*PSC-40-09-00013-P	exempt	Uniform System of Accounts - request for deferral and amortization of costs	To consider a petition to defer and amortize costs
*PSC-51-09-00029-P	exempt	Rules and guidelines for the exchange of retail access data between jurisdictional utilities and eligible ESCOs	To revise the uniform Electronic Data Interchange Standards and business practices to incorporate a contest period
*PSC-51-09-00030-P	exempt	Waiver or modification of Capital Expenditure condition of merger	To allow the companies to expend less funds for capital improvement than required by the merger
*PSC-52-09-00006-P	exempt	ACE's petition for rehearing for an order regarding generator-specific energy deliverability study methodology	To consider whether to change the Order Prescribing Study Methodology
*PSC-52-09-00008-P	exempt	Approval for the New York Independent System Operator, Inc. to incur indebtedness and borrow up to \$50,000,000	To finance the renovation and construction of the New York Independent System Operator, Inc.'s power control center facilities

Agency I.D. No.	Expires	Subject Matter	Purpose of Action	
PUBLIC SERVICE COMMISSION				
*PSC-05-10-00008-P	exempt	Petition for the submetering of electricity	To consider the request of University Residences - Rochester, LLC to submeter electricity at 220 John Street, Henrietta, NY	
*PSC-05-10-00015-P	exempt	Petition for the submetering of electricity	To consider the request of 243 West End Avenue Owners Corp. to submeter electricity at 243 West End Avenue, New York, NY	
*PSC-06-10-00022-P	exempt	The Commission's Order of December 17, 2009 related to redevelopment of Consolidated Edison's Hudson Avenue generating facility	To reconsider the Commission's Order of December 17, 2009 related to redevelopment of the Hudson Avenue generating facility	
*PSC-07-10-00009-P	exempt	Petition to revise the Uniform Business Practices	To consider the RESA petition to allow rescission of a customer request to return to full utility service	
*PSC-08-10-00007-P	exempt	Whether to grant, deny, or modify , in whole or in part, the rehearing petition filed in Case 06-E-0847	Whether to grant, deny, or modify , in whole or in part, the rehearing petition filed in Case 06-E-0847	
*PSC-08-10-00009-P	exempt	Consolidated Edison of New York, Inc. energy efficiency programs	To modify approved energy efficiency programs	
*PSC-12-10-00015-P	exempt	Recommendations made by Staff intended to enhance the safety of Con Edison's gas operations	To require that Con Edison implement the Staff recommendations intended to enhance the safety of Con Edison's gas operations	
*PSC-14-10-00010-P	exempt	Petition for the submetering of electricity	To consider the request of 61 Jane Street Owners Corporation to submeter Electricity at 61 Jane Street, Manhattan, NY	
*PSC-16-10-00005-P	exempt	To consider adopting and expanding mobile stray voltage testing requirements	Adopt additional mobile stray voltage testing requirements	
*PSC-16-10-00007-P	exempt	Interconnection of the networks between TDS Telecom and PAETEC Communications for local exchange service and exchange access	To review the terms and conditions of the negotiated agreement between TDS Telecom and PAETEC Communications	
*PSC-16-10-00015-P	exempt	Interconnection of the networks between Frontier and Choice One Communications for local exchange service and exchange access	To review the terms and conditions of the negotiated agreement between Frontier and Choice One Communications	
*PSC-18-10-00009-P	exempt	Electric utility transmission right-of-way management practices	To consider electric utility transmission right-of- way management practices	
*PSC-19-10-00022-P	exempt	Whether National Grid should be permitted to transfer a parcel of property located at 1 Eddy Street, Fort Edward, New York	To decide whether to approve National Grid's request to transfer a parcel of vacant property in Fort Edward, New York	
*PSC-22-10-00006-P	exempt	Requirement that Noble demonstrate that its affiliated electric corporations operating in New York are providing safe service	Consider requiring that Noble demonstrate that its affiliated electric corporations in New York are providing safe service	
*PSC-22-10-00008-P	exempt	Petition for the submetering of electricity	To consider the request of 48-52 Franklin Street to submeter electricity at 50 Franklin Street, New York, New York	
*PSC-24-10-00009-P	exempt	Verizon New York Inc. tariff regulations relating to voice messaging service	To remove tariff regulations relating to retail voice messaging service from Verizon New York Inc.'s tariff	

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE	COMMISSION		
*PSC-25-10-00012-P	exempt	Reassignment of the 2-1-1 abbreviated dialing code	Consideration of petition to reassign the 2-1-1 abbreviated dialing code
*PSC-27-10-00016-P	exempt	Petition for the submetering of electricity	To consider the request of 9271 Group, LLC to submeter electricity at 960 Busti Avenue, Buffalo, New York
*PSC-34-10-00003-P	exempt	The modification of Central Hudson Gas & Electric Corporation's Enhanced Powerful Opportunities Program	The modification of Central Hudson Gas & Electric Corporation's Enhanced Powerful Opportunities Program
*PSC-34-10-00005-P	exempt	Approval of a contract for \$250,000 in tank repairs that may be a financing	To decide whether to approve a contract between the parties that may be a financing of \$250,000 for tank repairs
*PSC-34-10-00006-P	exempt	The modification of Central Hudson Gas & Electric Corporation's Enhanced Powerful Opportunities Program	The modification of Central Hudson Gas & Electric Corporation's Enhanced Powerful Opportunities Program
*PSC-36-10-00010-P	exempt	Central Hudson's procedures, terms and conditions for an economic development plan	Consideration of Central Hudson's procedures, terms and conditions for an economic development plan
*PSC-40-10-00014-P	exempt	Disposition of a state sales tax refund	To determine how much of a state sales tax refund should be retained by National Grid
*PSC-40-10-00021-P	exempt	Whether to permit the submetering of natural gas service to a commercial customer at Quaker Crossing Mall	To permit the submetering of natural gas service to a commercial customer at Quaker Crossing Mall
*PSC-41-10-00018-P	exempt	Amount of hourly interval data provided to Hourly Pricing customers who have not installed a phone line to read meter	Allow Central Hudson to provide less than a years worth of interval data and charge for manual meter reading for some customers
*PSC-41-10-00022-P	exempt	Request for waiver of the individual living unit metering requirements at 5742 Route 5, Vernon, NY	Request for waiver of the individual living unit metering requirements at 5742 Route 5, Vernon, NY
*PSC-42-10-00011-P	exempt	Petition for the submetering of electricity	To consider the request of 4858 Group, LLC to submeter electricity at 456 Main Street, Buffalo, New York
*PSC-43-10-00016-P	exempt	Utility Access to Ducts, Conduit Facilities and Utility Poles	To review the complaint from Optical Communications Group
*PSC-44-10-00003-P	exempt	Third and fourth stage gas rate increase by Corning Natural Gas Corporation	To consider Corning Natural Gas Corporation's request for a third and fourth stage gas rate increase
*PSC-51-10-00018-P	exempt	Commission proceeding concerning three- phase electric service by all major electric utilities	Investigate the consistency of the tariff provisions for three-phase electric service for all major electric utilities
*PSC-11-11-00003-P	exempt	The proposed transfer of 55.42 acres of land and \$1.4 million of revenues derived from the rendition of public service	The proposed transfer of 55.42 acres of land and \$1.4 million of revenues derived from the rendition of public service
*PSC-13-11-00005-P	exempt	Exclude the minimum monthly bill component from the earnings test calculation	Exclude the minimum monthly bill component from the earnings test calculation

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Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE	COMMISSION		
*PSC-14-11-00009-P	exempt	Petition for the submetering of electricity	To consider the request of 83-30 118th Street to submeter electricity at 83-30 118th Street, Kew Gardens, New York
*PSC-19-11-00007-P	exempt	Utility price reporting requirements related to the Commission's "Power to Choose" website	Modify the Commission's utility electric commodity price reporting requirements related to the "Power to Choose" website
*PSC-20-11-00012-P	exempt	Petition for the submetering of electricity	To consider the request of KMW Group LLC to submeter electricity at 122 West Street, Brooklyn, New York
*PSC-20-11-00013-P	exempt	Determining the reasonableness of Niagara Mohawk Power Corporation d/b/a National Grid 's make ready charges	To determine if the make ready charges of Niagara Mohawk Power Corporation d/b/a National Grid are reasonable
*PSC-22-11-00004-P	exempt	Whether to permit the use of the Sensus accWAVE for use in residential gas meter applications	To permit gas utilities in New York State to use the Sensus accWAVE diaphragm gas meter
*PSC-26-11-00007-P	exempt	Water rates and charges	To approve an increase in annual revenues by about \$25,266 or 50%
*PSC-26-11-00009-P	exempt	Petition for the submetering of electricity at commercial property	To consider the request of by Hoosick River Hardwoods, LLC to submeter electricity at 28 Taylor Avenue, in Berlin, New York
*PSC-26-11-00012-P	exempt	Waiver of generation retirement notice requirements	Consideration of waiver of generation retirement notice requirements
*PSC-29-11-00011-P	exempt	Petition requesting the Commssion reconsider its May 19, 2011 Order and conduct a hearing, and petition to stay said Order.	To consider whether to grant or deny, in whole or in part, Windstream New York's Petition For Reconsideration and Rehearing.
*PSC-35-11-00011-P	exempt	Whether to permit Consolidated Edison a waiver to commission regulations Part 226.8	Permit Consolidated Edison to conduct a inspection program in lieu of testing the accuracy of Category C meters
*PSC-36-11-00006-P	exempt	To consider expanding mobile stray voltage testing requirements	Adopt additional mobile stray voltage testing requirements
*PSC-38-11-00002-P	exempt	Operation and maintenance procedures pertaining to steam trap caps	Adopt modified steam operation and maintenance procedures
*PSC-38-11-00003-P	exempt	Waiver of certain provisions of the electric service tariffs of Con Edison	Consideration of waiver of certain provisions of the electric service tariffs of Con Edison
*PSC-40-11-00010-P	exempt	Participation of regulated local exchange carriers in the New York Data Exchange, Inc. (NYDE)	Whether to partially modify its order requiring regulated local exchange carriers' participation NYDE
*PSC-40-11-00012-P	exempt	Granting of transfer of plant in-service to a regulatory asset	To approve transfer and recovery of unamortized plant investment
*PSC-42-11-00018-P	exempt	Availability of telecommunications services in New York State at just and reasonable rates	Providing funding support to help ensure availability of affordable telecommunications service throughout New York
*PSC-43-11-00012-P	exempt	Transfer of outstanding shares of stock	Transfer the issued outstanding shares of stock of The Meadows at Hyde Park Water-Works Corporation to HPWS, LLC

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Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE	COMMISSION		
*PSC-47-11-00007-P	exempt	Remedying miscalculations of delivered gas as between two customer classes	Consideration of Con Edison's proposal to address inter-class delivery imbalances resulting from past Company miscalculations
*PSC-48-11-00007-P	exempt	Transfer of controlling interests in generation facilities from Dynegy to PSEG	Consideration of the transfer of controlling interests in electric generation facilities from Dynegy to PSEG
*PSC-48-11-00008-P	exempt	Petition for the submetering of electricity	To consider the request of To Better Days, LLC to submeter electricity at 37 East 4th Street, New York, New York
*PSC-01-12-00007-P	exempt	The New York State Reliability Council's revisions to its rules and measurements	To adopt revisions to various rules and measurements of the New York State Reliability Council
*PSC-01-12-00008-P	exempt	Transfer of real property and easements from NMPNS to NMP3	Consideration of the transfer of real property and easements from NMPNS to NMP3
*PSC-01-12-00009-P	exempt	Recovery of expenses related to the expansion of Con Edison's ESCO referral program, PowerMove	To determine how and to what extent expenses related to the Expansion of Con Edison's ESCO referral program should be recovered
*PSC-11-12-00002-P	exempt	Whether to grant, deny or modify, in whole or part, Hegeman's petition for a waiver of Commission policy and Con Edison tariff	Whether to grant, deny or modify, in whole or part, Hegeman's petition for a waiver of Commission policy and Con Edison tariff
*PSC-11-12-00005-P	exempt	Transfer of land and water supply assets	Transfer the land and associated water supply assets of Groman Shores, LLC to Robert Groman
*PSC-13-12-00005-P	exempt	Authorization to transfer certain real property	To decide whether to approve the transfer of certain real property
*PSC-19-12-00023-P	exempt	Petition for approval pursuant to Section 70 for the sale of goods with an original cost of less than \$100,000	To consider whether to grant, deny or modify, in whole or in part, the petition filed by Orange and Rockland Utilities, Inc.
*PSC-21-12-00006-P	exempt	Tariff filing requirements and refunds	To determine if certain agreements should be filed pursuant to the Public Service Law and if refunds are warranted
*PSC-21-12-00011-P	exempt	Whether to grant, deny or modify, in whole or part, the petition for waiver of tariff Rules 8.6 and 47	Whether to grant, deny or modify, in whole or part, the petition for waiver of tariff Rules 8.6 and 47
*PSC-23-12-00007-P	exempt	The approval of a financing upon a transfer to Alliance of upstream ownership interests in a generation facility	To consider the approval of a financing upon a transfer to Alliance of upstream ownership interests in a generation facility
*PSC-23-12-00009-P	exempt	Over earnings sharing between rate payers and shareholders	To establish an Earnings Sharing Mechanism to be applied following the conclusion of Corning's rate plan
*PSC-27-12-00012-P	exempt	Implementation of recommendations made in a Management Audit Report	To consider implementation of recommendations made in a Management Audit Report
*PSC-28-12-00013-P	exempt	Exemption of reliability reporting statistics for the purpose of the 2012 Reliability Performance Mechanism	Consideration of Orange and Rockland Utilities request for exemption of the 2012 reliability reporting statistics

Agency I.D. No.	Expires	Subject Matter	Purpose of Action				
PUBLIC SERVICE COMMISSION							
*PSC-29-12-00019-P	exempt	Waiver of 16 NYCRR 894.1 through 894.4	To allow the Town of Hamden to waive certain preliminary franchising procedures to expedite the franchising process.				
*PSC-30-12-00010-P	exempt	Waiver of 16 NYCRR 894.1 through 894.4	To allow the Town of Andes to waive certain preliminary franchising procedures to expedite the franchising process				
*PSC-33-12-00009-P	exempt	Telecommunications companies ability to attach to utility company poles	Consideration of Tech Valley's ability to attach to Central Hudson poles				
*PSC-37-12-00009-P	exempt	Proposed modification by Con Edison of its procedures to calculate estimated bills to its customers	Proposed modification by Con Edison of its procedures to calculate estimated bills to its customers				
*PSC-42-12-00009-P	exempt	Regulation of Gipsy Trail Club, Inc.'s long- term financing agreements	To exempt Gipsy Trail Club, Inc. from Commission regulation of its financing agreements				
*PSC-45-12-00008-P	exempt	Whether to grant, deny or modify, in whole or part, ESHG's petition for a waiver of Commission policy and RG&E tariff	Whether to grant, deny or modify, in whole or part, ESHG's petition for a waiver of Commission policy and RG&E tariff				
*PSC-45-12-00010-P	exempt	Whether to grant, deny or modify, in whole or in part the petition of Con Edison to grant easements to Millwood Fire District	Whether to grant, deny or modify, in whole or in part the petition of Con Edison to grant easements to Millwood Fire District				
*PSC-50-12-00003-P	exempt	Affiliate standards for Corning Natural Gas Corporation	To resolve issues raised by Corning Natural Gas Corporation in its petition for rehearing				
*PSC-04-13-00006-P	exempt	Expansion of mandatory day ahead hourly pricing for customers of Orange and Rockland Utilities with demands above 100 kW	To consider the expansion of mandatory day ahead hourly pricing for customers with demands above 100 kW				
*PSC-04-13-00007-P	exempt	Authorization to transfer certain real property.	To decide whether to approve the transfer of certain real property.				
*PSC-06-13-00008-P	exempt	Verizon New York Inc.'s retail service quality	To investigate Verizon New York Inc.'s retail service quality				
*PSC-08-13-00012-P	exempt	Filing requirements for certain Article VII electric facilities	To ensure that applications for certain electric transmission facilities contain pertinent information				
*PSC-08-13-00014-P	exempt	Uniform System of Accounts - Request for Accounting Authorization	To allow the company to defer an item of expense or capital beyond the end of the year in which it was incurred				
*PSC-12-13-00007-P	exempt	Protecting company water mains	To allow the company to require certain customers to make changes to the electrical grounding system at their homes				
*PSC-13-13-00008-P	exempt	The potential waiver of 16 NYCRR 255.9221(d) completion of integrity assessments for certain gas transmission lines.	To determine whether a waiver of the timely completion of certain gas transmission line integrity assessments should be granted.				
*PSC-18-13-00007-P	exempt	Whether Demand Energy Networks energy storage systems should be designated technologies for standby rate eligibility purposes	Whether Demand Energy Networks energy storage systems should be designated technologies for standby rate eligibility purposes				

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Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE	COMMISSION		
*PSC-21-13-00003-P	exempt	To consider policies that may impact consumer acceptance and use of electric vehicles	To consider and further develop policies that may impact consumer acceptance and use of electric vehicles
*PSC-21-13-00005-P	exempt	To implement an abandonment of Windover's water system	To approve the implementation of abandonment of Windover's water system
*PSC-21-13-00008-P	exempt	Rates of National Fuel Gas Distribution Corporation	To make the rates of National Fuel Gas Distribution Corporation temporary, subject to refund, if they are found to be excessive
*PSC-21-13-00009-P	exempt	Reporting requirements for natural gas local distribution companies	To help ensure efficient and economic expansion of the natural gas system as appropriate
*PSC-22-13-00009-P	exempt	On remand from New York State court litigation, determine the recovery of certain deferred amounts owed NFG by ratepayers	On remand, to determine the recovery of certain deferral amounts owed NFG from ratepayers
*PSC-23-13-00005-P	exempt	Waiver of partial payment, directory database distribution, service quality reporting, and service termination regulations	Equalize regulatory treatment based on level of competition and practical considerations
*PSC-25-13-00008-P	exempt	To deny, grant or modify, in whole or in part, Central Hudson's rehearing request.	To deny, grant or modify, in whole or in part, Central Hudson's rehearing request.
*PSC-25-13-00009-P	exempt	Provision by utilities of natural gas main and service lines.	To help ensure efficient and economic expansion of the natural gas system as appropriate.
*PSC-25-13-00012-P	exempt	To deny, grant or modify, in whole or in part, Central Hudson's rehearing request.	To deny, grant or modify, in whole or in part, Central Hudson's rehearing request.
*PSC-27-13-00014-P	exempt	Columbia Gas Transmission Corporation Cost Refund	For approval for temporary waiver of tariff provisions regarding its Columbia Gas Transmission Corporation cost refund.
*PSC-28-13-00014-P	exempt	Provision for the recovery and allocation of costs of transmission projects that reduce congestion on certain interfaces	To consider the recovery and allocation of costs of transmission projects that reduce congestion on certain interfaces
*PSC-28-13-00016-P	exempt	The request of NGT for lightened regulation as a gas corporation.	To consider whether to approve, reject, or modify the request of Niagara gas transport of Lockport, NY LLC.
*PSC-28-13-00017-P	exempt	The request by TE for waiver of regulations requiring that natural gas be odorized in certain gathering line segments	Consider the request by TE for waiver of regulations that gas be odorized in certain lines
*PSC-32-13-00009-P	exempt	To consider the definition of "misleading or deceptive conduct" in the Commission's Uniform Business Practices	To consider the definition of "misleading or deceptive conduct" in the Commission's Uniform Business Practices
*PSC-32-13-00012-P	exempt	To consider whether NYSEG should be required to undertake actions to protect its name and to minimize customer confusion	To consider whether NYSEG should be required to undertake actions to protect its name and to minimize customer confusion
*PSC-33-13-00027-P	exempt	Waive underground facility requirements for new construction in residential subdivisions to allow for overhead electric lines.	Determine whether Chapin Lumberland, LLC subdivision will be allowed overhead electric distribution and service lines.

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE	COMMISSION		
*PSC-33-13-00029-P	exempt	Deferral of incremental costs associated with the restoration of steam service following Superstorm Sandy.	To consider a petition by Con Edison to defer certain incremental steam system restoration costs relating to Superstorm Sandy.
*PSC-34-13-00004-P	exempt	Escrow account and surcharge to fund extraordinary repairs	To approve the establishment of an escrow account and surcharge
*PSC-42-13-00013-P	exempt	Failure to Provide Escrow Information	The closure of the Escrow Account
*PSC-42-13-00015-P	exempt	Failure to Provide Escrow Information	The closure of the Escrow Account
*PSC-43-13-00015-P	exempt	Petition for submetering of electricity	To consider the request of 2701 Kingsbridge Terrace L.P. to submeter electricity at 2701 Kingsbridge Terrace, Bronx, N.Y.
*PSC-45-13-00021-P	exempt	Investigation into effect of bifurcation of gas and electric utility service on Long Island.	To consider a Petition for an investigation into effect of bifurcation of gas and electric utility service on Long Island.
*PSC-45-13-00022-P	exempt	Waiver of PSC regulations, 16 NYCRR section 88.4(a)(4)	To consider a waiver of certain regulations relating to the content of an application for transmission line siting
*PSC-45-13-00023-P	exempt	Waiver of PSC regulations, 16 NYCRR section 88.4(a)(4).	To consider a waiver of certain regulations relating to the content of an application for transmission line siting
*PSC-45-13-00024-P	exempt	Waiver of PSC regulations, 16 NYCRR section 88.4(a)(4); waiver of filing deadlines.	To consider a waiver of certain regulations relating to the content of an application for transmission line siting
*PSC-45-13-00025-P	exempt	Waiver of PSC regulations, 16 NYCRR section 88.4(a)(4).	To consider a waiver of certain regulations relating to the content of an application for transmission line siting
*PSC-47-13-00009-P	exempt	Petition for submetering of electricity.	To consider the request of Hegeman Avenue Housing L.P. to submeter electricity at 39 Hegeman Avenue, Brooklyn, N.Y.
*PSC-47-13-00012-P	exempt	Conditioning,restricting or prohibiting the purchase of services by NYSEG and RG&E from certain affiliates.	Consideration of conditioning,restricting or prohibiting the purchase of services by NYSEG and RG&E from certain affiliates.
*PSC-49-13-00008-P	exempt	Authorization to transfer all of Crystal Water Supply Company, Inc. stocks to Essel Infra West Inc.	To allow Crystal Water Supply Company, Inc to transfer all of its issued and outstanding stocks to Essel Infra West Inc.
*PSC-51-13-00009-P	exempt	Consolidated Edison proposing to use data from a test period ending September 30, 2013 to support its next rate filing.	To ensure there is a reasonable basis for data submitted in support of a request for a change in rates.
*PSC-51-13-00010-P	exempt	Consolidated Edison proposing to use data from a test period ending September 30, 2013 to support its next rate filing.	To ensure there is a reasonable basis for data submitted in support of a request for a change in rates.
*PSC-51-13-00011-P	exempt	Consolidated Edison proposing to use data from a test period ending September 30, 2013 to support its next rate filing.	To ensure there is a reasonable basis for data submitted in support of a request for a change in rates.
*PSC-52-13-00012-P	exempt	The development of reliability contingency plan(s) to address the potential retirement of Indian Point Energy Center (IPEC).	To address the petition for rehearing and reconsideration/motion for clarification of the IPEC reliability contingency plan(s).

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Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE	COMMISSION		
*PSC-52-13-00015-P	exempt	To enter into a loan agreement with the banks for up to an amount of \$94,000.	To consider allowing Knolls Water Company to enter into a long-term loan agreement.
*PSC-05-14-00010-P	exempt	The New York State Reliability Council's revisions to its rules and measurements	To adopt revisions to various rules and measurements of the New York State Reliability Council
*PSC-07-14-00008-P	exempt	Petition for submetering of electricity	To consider the request of Greater Centennial Homes HDFC, Inc. to submeter electricity at 102, 103 and 106 W 5th Street, et al.
*PSC-07-14-00012-P	exempt	Water rates and charges	Implementation of Long-Term Water Supply Surcharge to recover costs associated with the Haverstraw Water Supply Project
*PSC-08-14-00015-P	exempt	Verizon New York Inc.'s service quality and Customer Trouble Report Rate (CTRR) levels at certain central office entities	To improve Verizon New York Inc.'s service quality andthe Customer Trouble Report Rate levels at certain central office entities
*PSC-10-14-00006-P	exempt	Actions to facilitate the availability of ESCO value-added offerings, ESCO eligibility and ESCO compliance	To facilitate ESCO value-added offerings and to make changes to ESCO eligibility and to ensure ESCO compliance
*PSC-11-14-00003-P	exempt	Provision for the recovery and allocation of costs of transmission projects that reduce congestion on certain interfaces	To consider the recovery and allocation of costs of transmission projects that reduce congestion on certain interfaces
*PSC-16-14-00014-P	exempt	Whether to order NYSEG to provide gas service to customers when an expanded CPCN is approved and impose PSL 25-a penalties.	To order gas service to customers in the Town of Plattsburgh after approval of a town wide CPCN and to impose penalties.
*PSC-16-14-00015-P	exempt	Whether Central Hudson should be permitted to defer obligations of the Order issued on October 18, 2013 in Case 13-G-0336.	Consideration of the petition by Central Hudson to defer reporting obligations of the October 18, 2013 Order in Case 13-G-0336
*PSC-17-14-00003-P	exempt	Con Edison's Report on its 2013 performance under the Electric Service Reliability Performance Mechanism	Con Edison's Report on its 2013 performance under the Electric Service Reliability Performance Mechanism
*PSC-17-14-00004-P	exempt	To consider certain portions of petitions for rehearing, reconsideration and/or clarification	To consider certain portions of petitions for rehearing, reconsideration and/or clarification
*PSC-17-14-00007-P	exempt	To consider petitions for rehearing, reconsideration and/or clarification	To consider petitions for rehearing, reconsideration and/or clarification
*PSC-17-14-00008-P	exempt	To consider certain portions of petitions for rehearing, reconsideration and/or clarification	To consider certain portions of petitions for rehearing, reconsideration and/or clarification
*PSC-19-14-00014-P	exempt	Market Supply Charge	To make tariff revisions to the Market Supply Charge for capacity related costs
*PSC-19-14-00015-P	exempt	Whether to permit the use of the Sensus accuWAVE for use in residential and commercial gas meter applications	To permit gas utilities in New York State to use the Sensus accuWAVE 415TC gas meter
*PSC-22-14-00013-P	exempt	Petition to transfer and merge systems, franchises and assets.	To consider the Comcast and Time Warner Cable merger and transfer of systems, franchises and assets.

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Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE	COMMISSION		
*PSC-23-14-00010-P	exempt	Whether to permit the use of the GE Dresser Series B3-HPC 11M-1480 rotary gas met for use in industrial gas meter applications	To permit gas utilities in New York State to use the GE Dresser Series B3-HPC 11M-1480 rotary gas meter
*PSC-23-14-00014-P	exempt	Waiver of the negative revenue adjustment associated with KEDLI's 2013 Customer Satisfaction Performance Metric	Consideration of KEDLI's waiver request pertaining to its 2013 performance under its Customer Satisfaction Metric
*PSC-24-14-00005-P	exempt	To examine LDC's performance and performance measures.	To improve gas safety performance.
*PSC-26-14-00013-P	exempt	Waiver of RG&E's tariffed definition of emergency generator.	To consider waiver of RG&E's tariffed definition of emergency generator.
*PSC-26-14-00020-P	exempt	New electric utility backup service tariffs and standards for interconnection may be adopted.	To encourage development of microgrids that enhance the efficiency, safety, reliability and resiliency of the electric grid.
*PSC-26-14-00021-P	exempt	Consumer protections, standards and protocols pertaining to access to customer data may be established.	To balance the need for the information necessary to support a robust market with customer privacy concerns.
*PSC-28-14-00014-P	exempt	Petition to transfer systems, franchises and assets.	To consider the Comcast and Charter transfer of systems, franchise and assets.
*PSC-30-14-00023-P	exempt	Whether to permit the use of the Sensus iPERL Fire Flow Meter.	Pursuant to 16 NYCRR Part 500.3, it is necessary to permit the use of the Sensus iPERL Fire Flow Meter.
*PSC-30-14-00026-P	exempt	Petition for a waiver to master meter electricity.	Considering the request of Renaissance Corporation of to master meter electricity at 100 Union Drive, Albany, NY.
*PSC-31-14-00004-P	exempt	To transfer 100% of the issued and outstanding stock from Vincent Cross to Bonnie and Michael Cross	To transfer 100% of the issued and outstanding stock from Vincent Cross to Bonnie and Michael Cross
*PSC-32-14-00012-P	exempt	Whether to grant or deny, in whole or in part, the Connect New York Coalition's petition	To consider the Connect New York Coalition's petition seeking a formal investigation and hearings
*PSC-35-14-00004-P	exempt	Regulation of a proposed electricity generation facility located in the Town of Brookhaven, NY	To consider regulation of a proposed electricity generation facility located in the Town of Brookhaven, NY
*PSC-35-14-00005-P	exempt	Whether to permit the use of the Sensus iConA electric meter	Pursuant to 16 NYCRR Parts 92 and 93, Commission approval is necessary to permit the use of the Sensus iConA electric meter
*PSC-36-14-00009-P	exempt	Modification to the Commission's Electric Safety Standards.	To consider revisions to the Commission's Electric Safety Standards.
*PSC-38-14-00003-P	exempt	Whether to approve, reject or modify, in whole or in part a time-sensitive rate pilot program.	Whether to approve, reject or modify, in whole or in part a time-sensitive rate pilot program.
*PSC-38-14-00004-P	exempt	The study and petition of Con Edison regarding use, accounting and ratemaking treatment for 11-23 and 2-28 Hudson Ave. Brooklyn.	The study and petition of Con Edison regarding use, accounting and ratemaking treatment for 11-23 and 2-28 Hudson Ave. Brooklyn.

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE	COMMISSION		
*PSC-38-14-00005-P	exempt	Action on the report and petition of Con Edison regarding the Storm Hardening and Resiliency Collaborative, Phase 2.	Action on the report and petition of Con Edison regarding the Storm Hardening and Resiliency Collaborative, Phase 2.
*PSC-38-14-00007-P	exempt	Whether to expand Con Edison's low income program to include Medicaid recipients.	Whether to expand Con Edison's low income program to include Medicaid recipients.
*PSC-38-14-00008-P	exempt	The study and petition of Con Edison regarding use, accounting and ratemaking treatment for 11-23 and 2-28 Hudson Ave. Brooklyn.	The study and petition of Con Edison regarding use, accounting and ratemaking treatment for 11-23 and 2-28 Hudson Ave. Brooklyn.
*PSC-38-14-00010-P	exempt	Inter-carrier telephone service quality standard and metrics and administrative changes.	To review recommendations from the Carrier Working Group and incorporate appropriate modifications to the existing Guidelines.
*PSC-38-14-00012-P	exempt	Action on the report and petition of Con Edison regarding the Storm Hardening and Resiliency Collaborative, Phase 2.	Action on the report and petition of Con Edison regarding the Storm Hardening and Resiliency Collaborative, Phase 2.
*PSC-39-14-00020-P	exempt	Whether to permit the use of the Mueller Systems 400 Series and 500 Series of water meters	Pursuant to 16 NYCRR section 500.3, whether to permit the use of the Mueller Systems 400, and 500 Series of water meters
*PSC-40-14-00008-P	exempt	To consider granting authorization for Buy Energy Direct to resume marketing to residential customers.	To consider granting authorization for Buy Energy Direct to resume marketing to residential customers.
*PSC-40-14-00009-P	exempt	Whether to permit the use of the Itron Open Way Centron Meter with Hardware 3.1 for AMR and AMI functionality.	Pursuant to 16 NYCRR Parts 93, is necessary to permit the use of the Itron Open Way Centron Meter with Hardware 3.1.
*PSC-40-14-00011-P	exempt	Late Payment Charge.	To modify Section 7.6 - Late Payment Charge to designate a specific time for when a late payment charge is due.
*PSC-40-14-00013-P	exempt	Regulation of a proposed natural gas pipeline and related facilities located in the Town of Ticonderoga, NY.	To consider regulation of a proposed natural gas pipeline and related facilities located in the Town of Ticonderoga, NY.
*PSC-40-14-00014-P	exempt	Waiver of 16 NYCRR Sections 894.1 through 894.4(b)(2)	To allow the Town of Goshen, NY, to waive certain preliminary franchising procedures to expedite the franchising process.
*PSC-40-14-00015-P	exempt	Late Payment Charge.	To modify Section 6.6 - Late Payment Charge to designate a specific time for when a late payment charge is due.
*PSC-42-14-00003-P	exempt	Annual Reconciliation of Gas Expenses and Gas Cost Recoveries	The filings of various LDCs and municipalities regarding their Annual Reconciliation of Gas Expenses and Gas Cost Recoveries
*PSC-42-14-00004-P	exempt	Winter Bundled Sales Service Option	To modify SC-11 to remove language relating to fixed storage charges in the determination of the Winter Bundled Sales charge
*PSC-48-14-00014-P	exempt	Considering the recommendations contained in Staff's electric outage investigation report for MNRR, New Haven Line.	To consider the recommendations contained in Staff's electric outage investigation report for MNRR, New Haven Line.

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Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE	COMMISSION		
*PSC-52-14-00019-P	exempt	Petition for a waiver to master meter electricity.	Considering the request of 614 South Crouse Avenue, LLC to master meter electricity at 614 South Crouse Avenue, Syracuse, NY
*PSC-01-15-00014-P	exempt	State Universal Service Fund Disbursements	To consider Edwards Telephone Company's request for State Universal Service Fund disbursements
*PSC-08-15-00010-P	exempt	Request pertaining to the lawfulness of National Grid USA continuing its summary billing program.	To grant, deny, or modify URAC Rate Consultants' request that National Grid cease its summary billing program.
*PSC-10-15-00007-P	exempt	Notification concerning tax refunds	To consider Verizon New York Inc.'s partial rehearing or reconsideration request regarding retention of property tax refunds
*PSC-10-15-00008-P	exempt	Whether to waive Policy on Test Periods in Major Rate Proceedings and provide authority to file tariff changes	Whether to waive Policy on Test Periods in Major Rate Proceedings and provide authority to file tariff changes
*PSC-13-15-00024-P	exempt	Whether Leatherstocking should be permitted to recover a shortfall in earnings	To decide whether to approve Leatherstocking's request to recover a shortfall in earnings
*PSC-13-15-00026-P	exempt	Whether to permit the use of the Sensus Smart Point Gas AMR/AMI product	To permit the use of the Sensus Smart Point Gas AMR/AMI product
*PSC-13-15-00027-P	exempt	Whether to permit the use of the Measurlogic DTS 310 electric submeter	To permit the use of the Measurlogic DTS 310 submeter
*PSC-13-15-00028-P	exempt	Whether to permit the use of the SATEC EM920 electric meter	To permit necessary to permit the use of the SATEC EM920 electric meter
*PSC-13-15-00029-P	exempt	Whether to permit the use the Triacta Power Technologies 6103, 6112, 6303, and 6312 electric submeters	To permit the use of the Triacta submeters
*PSC-17-15-00007-P	exempt	To consider the petition of Leatherstocking Gas Company, LLC seeking authority to issue long-term debt of \$2.75 million	To consider the petition of Leatherstocking Gas Company, LLC seeking authority to issue long- term debt of \$2.75 million
*PSC-18-15-00005-P	exempt	Con Edison's Report on its 2014 performance under the Electric Service Reliability Performance Mechanism	Con Edison's Report on its 2014 performance under the Electric Service Reliability Performance Mechanism
*PSC-19-15-00011-P	exempt	Gas Safety Performance Measures and associated negative revenue adjustments	To update the performance measures applicable to KeySpan Gas East Corporation d/b/a National Grid
*PSC-22-15-00015-P	exempt	To consider the request for waiver of the individual residential unit meter requirements and 16 NYCRR 96.1(a)	To consider the request for waiver of the individual residential unit meter requirements and 16 NYCRR 96.1(a)
*PSC-23-15-00005-P	exempt	The modification of New York American Water's current rate plan	Whether to adopt the terms of the Joint Proposal submitted by NYAW and DPS Staff
*PSC-23-15-00006-P	exempt	The modification of New York American Water's current rate plan	Whether to adopt the terms of the Joint Proposal submitted by NYAW and DPS Staff
*PSC-25-15-00008-P	exempt	Notice of Intent to Submeter electricity.	To consider the request of 165 E 66 Residences, LLC to submeter electricity at 165 East 66th Street, New York, New York.

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE	COMMISSION		
*PSC-29-15-00025-P	exempt	Joint Petition for authority to transfer real property located at 624 West 132nd Street, New York, NY	Whether to authorize the proposed transfer of real property located at 624 West 132nd Street New York, NY
*PSC-32-15-00006-P	exempt	Development of a Community Solar Demonstration Project.	To approve the development of a Community Solar Demonstration Project.
*PSC-33-15-00009-P	exempt	Remote net metering of a demonstration community net metering program.	To consider approval of remote net metering of a demonstration community net metering program.
*PSC-33-15-00012-P	exempt	Remote net metering of a Community Solar Demonstration Project.	To consider approval of remote net metering of a Community Solar Demonstration Project.
*PSC-34-15-00021-P	exempt	Petition by NYCOM requesting assistance with obtaining information on CLECs and ESCOs	To consider the petition by NYCOM requesting assistance with obtaining information on CLECs and ESCOs
*PSC-35-15-00014-P	exempt	Consideration of consequences against Light Power & Gas, LLC for violations of the UBP	To consider consequences against Light Power & Gas, LLC for violations of the UBP
*PSC-37-15-00007-P	exempt	Submetered electricity	To consider the request of 89 Murray Street Ass. LLC, for clarification of the submetering order issued December 20, 2007
*PSC-40-15-00014-P	exempt	Whether to permit the use of the Open Way 3.5 with cellular communications	To consider the use of the Open Way 3.5 electric meter, pursuant to 16 NYCRR Parts 92 and 93
*PSC-42-15-00006-P	exempt	Deferral of incremental expenses associated with NERC's new Bulk Electric System (BES) compliance requirements approved by FERC.	Consideration of Central Hudson's request to defer incremental expenses associated with new BES compliance requirements.
*PSC-44-15-00028-P	exempt	Deferral of incremental expenses associated with new compliance requirements	Consideration of Central Hudson's request to defer incremental expenses associated with new compliance requirements
*PSC-47-15-00013-P	exempt	Whitepaper on Implementing Lightened Ratemaking Regulation.	Consider Whitepaper on Implementing Lightened Ratemaking Regulation.
*PSC-48-15-00011-P	exempt	Proposal to retire Huntley Units 67 and 68 on March 1, 2016.	Consider the proposed retirement of Huntley Units 67 and 68.
*PSC-50-15-00006-P	exempt	The reduction of rates.	To consider the reduction of rates charged by Independent Water Works, Inc.
*PSC-50-15-00009-P	exempt	Notice of Intent to submeter electricity.	To consider the request to submeter electricity at 31-33 Lincoln Road and 510 Flatbush Avenue, Brooklyn, New York.
*PSC-51-15-00010-P	exempt	Modification of the EDP	To consider modifying the EDP
*PSC-01-16-00005-P	exempt	Proposed amendment to Section 5, Attachment 1.A of the Uniform Business Practices	To consider amendment to Section 5, Attachment 1.A of the Uniform Business Practices
*PSC-04-16-00007-P	exempt	Whether Hamilton Municipal Utilities should be permitted to construct and operate a municipal gas distribution facility.	Consideration of the petition by Hamilton Municipal Utilities to construct and operate a municipal gas distribution facility.

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PUBLIC SERVICE	COMMISSION		
*PSC-04-16-00012-P	exempt	Proposal to mothball three gas turbines located at the Astoria Gas Turbine Generating Station.	Consider the proposed mothball of three gas turbines located at the Astoria Gas Turbine Generating Station.
*PSC-04-16-00013-P	exempt	Proposal to find that three gas turbines located at the Astoria Gas Turbine Generating Station are uneconomic.	Consider whether three gas turbines located at the Astoria Gas Turbine Generating Station are uneconomic.
*PSC-06-16-00013-P	exempt	Continued deferral of approximately \$16,000,000 in site investigation and remediation costs.	To consider the continued deferral of approximately \$16,000,000 in site investigation and remediation costs.
*PSC-06-16-00014-P	exempt	MEGA's proposed demonstration CCA program.	To consider MEGA's proposed demonstration CCA program.
*PSC-14-16-00008-P	exempt	Resetting retail markets for ESCO mass market customers.	To ensure consumer protections with respect to residential and small non-residential ESCO customers.
*PSC-18-16-00013-P	exempt	Amendments to the Uniform Business Practices of ESCOs.	To ensure consumer protection for ESCO customers.
*PSC-18-16-00014-P	exempt	Amendments to the Uniform Business Practices of ESCOs.	To ensure consumer protection for ESCO customers.
*PSC-18-16-00015-P	exempt	Petitions for rehearing of the Order Resetting Retail Energy Markets and Establishing Further Process.	To ensure consumer protections for ESCO customers.
*PSC-18-16-00016-P	exempt	Amendments to the Uniform Business Practices of ESCOs.	To ensure consumer protection for ESCO customers.
*PSC-18-16-00018-P	exempt	Amendments to the Uniform Business Practices of ESCOs.	To ensure consumer protection for ESCO customers.
*PSC-20-16-00008-P	exempt	Consideration of consequences against Global Energy Group, LLC for violations of the Uniform Business Practices (UBP).	To consider consequences against Global Energy Group, LLC for violations of the Uniform Business Practices (UBP).
*PSC-20-16-00010-P	exempt	Deferral and recovery of incremental expense.	To consider deferring costs of conducting leak survey and repairs for subsequent recovery.
*PSC-20-16-00011-P	exempt	Enetics LD-1120 Non-Intrusive Load Monitoring Device in the Statewide Residential Appliance Metering Study.	To consider the use of the Enetics LD-1120 Non-Intrusive Load Monitoring Device.
*PSC-24-16-00009-P	exempt	Petition to submeter gas service.	To consider the Petition of New York City Economic Development Corp. to submeter gas at Pier 17, 89 South Street, New York, NY.
*PSC-25-16-00009-P	exempt	To delay Companies' third-party assessments of customer personally identifiable information until 2018.	To extend the time period between the Companies' third-party assessments of customer personally identifiable information.
*PSC-25-16-00025-P	exempt	Acquisition of all water supply assets of Woodbury Heights Estates Water Co., Inc. by the Village of Kiryas Joel.	To consider acquisition of all water supply assets of Woodbury Heights Estates Water Co., Inc. by the Village of Kiryas Joel.
*PSC-25-16-00026-P	exempt	Use of the Badger E Series Ultrasonic Cold Water Stainless Steel Meter, in residential fire service applications.	To consider the use of the Badger E Series Ultrasonic Cold Water Stainless Steel Meter in fire service applications.

Subject Matter

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE	COMMISSION		
*PSC-28-16-00017-P	exempt	A petition for rehearing of the Order Adopting a Ratemaking and Utility Revenue Model Policy Framework.	To determine appropriate rules for and calculation of the distributed generation reliability credit.
*PSC-29-16-00024-P	exempt	Participation of NYPA customers in surcharge-funded clean energy programs.	To consider participation of NYPA customers in surcharge-funded clean energy programs.
*PSC-32-16-00012-P	exempt	Benefit-Cost Analysis Handbooks.	To evaluate proposed methodologies of benefit cost evaluation.
*PSC-33-16-00001-EP	exempt	Use of escrow funds for repairs.	To authorize the use of escrow account funds for repairs.
*PSC-33-16-00005-P	exempt	Exemption from certain charges for delivery of electricity to its Niagara Falls, New York facility.	Application of System Benefits Charges, Renewable Portfolio Standard charges and Clean Energy Fund surcharges.
*PSC-35-16-00015-P	exempt	NYSRC's revisions to its rules and measurements	To consider revisions to various rules and measurements of the NYSRC
*PSC-36-16-00004-P	exempt	Recovery of costs for installation of electric service.	To consider the recovery of costs for installation of electric service.
*PSC-40-16-00025-P	exempt	Consequences pursuant to the Commission's Uniform Business Practices (UBP).	To consider whether to impose consequences on Smart One for its apparent non-compliance with Commission requirements.
*PSC-47-16-00009-P	exempt	Petition to use commercial electric meters	To consider the petition of Itron, Inc. to use the Itron CP2SO and CP2SOA in commercial electric meter applications
*PSC-47-16-00010-P	exempt	Standby Service rate design	To consider the report filed and the recommendations therein
*PSC-47-16-00013-P	exempt	Standby Service rate design	To consider the report filed and the recommendations therein
*PSC-47-16-00014-P	exempt	Standby Service rate design	To consider the report filed and the recommendations therein
*PSC-47-16-00016-P	exempt	Standby Service rate design	To consider the report filed and the recommendations therein
*PSC-02-17-00010-P	exempt	Implementation of the four EAMs.	To consider the implementation of EAMs for RG&E.
*PSC-02-17-00012-P	exempt	Implementation of the four EAMs.	To consider the implementation of EAMs for NYSEG.
*PSC-18-17-00024-P	exempt	A petition for rehearing or reconsideration of the Order Addressing Public Policy Transmission Need for AC Transmission Upgrades	To determine whether Public Policy Transmission Need/Public Policy Requirements continue to exist.
*PSC-18-17-00026-P	exempt	Revisions to the Dynamic Load Management surcharge.	To consider revisions to the Dynamic Load Management surcharge.
*PSC-19-17-00004-P	exempt	NYAW's request to defer and amortize, for future rate recognition, pension settlement payout losses incurred in 2016.	Consideration of NYAW's petition to defer and amortize, for future rate recognition, pension payour losses incurred in 2016.

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Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE	COMMISSION		
*PSC-20-17-00008-P	exempt	Compressed natural gas as a motor fuel for diesel fueled vehicles.	To consider a report filed by National Grid NY regarding the potential for adoption of compressed natural gas as a motor fuel.
*PSC-20-17-00010-P	exempt	Compressed natural gas as a motor fuel for diesel fueled vehicles.	To consider a report filed by National Grid regarding the potential for adoption of compressed natural gas as a motor fuel.
*PSC-21-17-00013-P	exempt	The establishment and implementation of Earnings Adjustment Mechanisms.	To consider the establishment and implementation of Earnings Adjustment Mechanisms.
*PSC-21-17-00018-P	exempt	Proposed agreement for the provision of water service by Saratoga Water Services, Inc.	To consider a waiver and approval of terms of a service agreement.
*PSC-22-17-00004-P	exempt	Financial incentives to create customer savings and develop market-enabling tools, with a focus on outcomes and incentives	To consider the proposed Interconnection Survey Process and Earnings Adjustment Mechanisms
*PSC-24-17-00006-P	exempt	Development of the Utility Energy Registry.	Improved data access.
*PSC-26-17-00005-P	exempt	Notice of Intent to submeter electricity.	To consider the Notice of Intent to submeter electricity at 125 Waverly Street, Yonkers, New York.
*PSC-34-17-00011-P	exempt	Waiver to permit Energy Cooperative of America to serve low-income customers	To consider the petition for a waiver
*PSC-37-17-00005-P	exempt	Financial incentives to create customer savings and develop market-enabling tools, with a focus on outcomes and incentives.	To consider the revised Interconnection Survey Process and Earnings Adjustment Mechanisms.
*PSC-39-17-00011-P	exempt	Whether to direct New York State Electric & Gas to complete electric facility upgrades at no charge to Hanehan.	To determine financial responsibility between NYSEG and Hanehan for the electric service upgrades to Hanehan.
*PSC-42-17-00010-P	exempt	Petition for rehearing of negative revenue adjustment and contents of annual Performance Report.	To consider NFGD's petition for rehearing.
*PSC-48-17-00015-P	exempt	Low Income customer options for affordable water bills.	To consider the Low Income Bill Discount and/or Energy Efficiency Rebate Programs.
*PSC-50-17-00017-P	exempt	New Wave Energy Corp.'s petition for rehearing.	To consider the petition for rehearing filed by New Wave Energy Corp.
*PSC-50-17-00018-P	exempt	Application of the Public Service Law to DER suppliers.	To determine the appropriate regulatory framework for DER suppliers.
*PSC-50-17-00019-P	exempt	Transfer of utility property.	To consider the transfer of utility property.
*PSC-50-17-00021-P	exempt	Disposition of tax refunds and other related matters.	To consider the disposition of tax refunds and other related matters.
*PSC-51-17-00011-P	exempt	Petition for recovery of certain costs related to the implementation of a Non-Wires Alternative Project.	To consider Con Edison's petition for the recovery of costs for implementing the JFK Project.

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Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE	COMMISSION		
*PSC-04-18-00005-P	exempt	Notice of intent to submeter electricity.	To consider the notice of intent of Montante/ Morgan Gates Circle LLC to submeter electricity.
*PSC-05-18-00004-P	exempt	Lexington Power's ZEC compliance obligation.	To promote and maintain renewable and zero- emission electric energy resources.
*PSC-06-18-00012-P	exempt	To consider further proposed amendments to the original criteria to grandfathering established in the Transition Plan	To modify grandfathering criteria
*PSC-06-18-00017-P	exempt	Merger of NYAW and Whitlock Farms Water Corp.	To consider the merger of NYAW and Whitlock Farms Water Company into a single corporate entity
*PSC-07-18-00015-P	exempt	The accuracy and reasonableness of National Grid's billing for certain interconnection upgrades.	To consider AEC's petition requesting resolution of their billing dispute with National Grid.
*PSC-11-18-00004-P	exempt	New York State Lifeline Program.	To consider TracFone's petition seeking approval to participate in Lifeline.
*PSC-13-18-00015-P	exempt	Eligibility of an ESCO to market to and enroll residential customers.	To consider whether Astral should be allowed to market to and enroll residential customers following a suspension.
*PSC-13-18-00023-P	exempt	Reconciliation of property taxes.	To consider NYAW's request to reconcile property taxes.
*PSC-14-18-00006-P	exempt	Petition for abandonment	To consider the abandonment of Willsboro Bay Water Company's water system
*PSC-17-18-00010-P	exempt	Petition for use of gas metering equipment.	To ensure that consumer bills are based on accurate measurements of gas usage.
*PSC-18-18-00009-P	exempt	Transfer of control of Keene Valley Video Inc.	To ensure performance in accordance with applicable cable laws, regulations and standards and the public interest
*PSC-23-18-00006-P	exempt	Whether to impose consequences on Aspirity for its non-compliance with Commission requirements.	To ensure the provision of safe and adequate energy service at just and reasonable rates.
*PSC-24-18-00013-P	exempt	Implementation of program rules for Renewable Energy Standard and ZEC requirements.	To promote and maintain renewable and zero- emission electric energy resources.
*PSC-28-18-00011-P	exempt	Storm Hardening Collaborative Report.	To ensure safe and adequate gas service.
*PSC-29-18-00008-P	exempt	Participation in Targeted Accessibility Fund	To encourage enhanced services for low-income consumers
*PSC-29-18-00009-P	exempt	Overvaluing real property tax expense recovery in water rates	To prevent unjust and unreasonable water rates
*PSC-34-18-00015-P	exempt	Petition to submeter electricity.	To ensure adequate submetering equipment and energy efficiency protections are in place.
*PSC-34-18-00016-P	exempt	Deferral of pre-staging and mobilization storm costs.	To ensure just and reasonable rates for ratepayers and utility recovery of unexpected, prudently incurred costs.

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE	COMMISSION		
*PSC-35-18-00003-P	exempt	Con Edison's 2018 DSIP and BCA Handbook Update.	To continue Con Edison's transition to a modern utility serving as a Distributed System Platform Provider.
*PSC-35-18-00005-P	exempt	NYSEG and RG&E's 2018 DSIP and BCA Handbook Update.	To continue NYSEG and RG&E's transition to modern utilities acting as Distributed System Platform Providers.
*PSC-35-18-00006-P	exempt	National Grid's 2018 DSIP and BCA Handbook Update.	To continue National Grid's transition to a modern utility serving as a Distributed System Platform Provider.
*PSC-35-18-00008-P	exempt	Central Hudson's 2018 DSIP and BCA Handbook Update.	To continue Central Hudson's transition to a modern utility serving as a Distributed System Platform Provider.
*PSC-35-18-00010-P	exempt	O&R's 2018 DSIP and BCA Handbook Update.	To continue O&R's transition to a modern utility acting as a Distributed System Platform Provider.
*PSC-39-18-00005-P	exempt	Participation in New York State Lifeline Program.	To encourage enhanced services for low-income customers.
*PSC-40-18-00014-P	exempt	Annual Reconciliation of Gas Expenses and Gas Cost Recoveries.	To review the gas utilities' reconciliation of Gas Expenses and Gas Cost Recoveries for 2018.
*PSC-42-18-00011-P	exempt	Voluntary residential beneficial electrification rate design.	To provide efficient rate design for beneficial technologies in New York State that is equitable for all residential customers.
*PSC-42-18-00013-P	exempt	Petition for clarification and rehearing of the Smart Solutions Program Order.	To address the increased demand for natural gas in the Con Edison's service territory and the limited pipeline capacity.
*PSC-44-18-00016-P	exempt	Petition for approval of gas metering equipment.	To ensure that customer bills are based on accurate measurements of gas usage.
*PSC-45-18-00005-P	exempt	Notice of intent to submeter electricity and waiver of energy audit	To ensure adequate submetering equipment, consumer protections and energy efficiency protections are in place
*PSC-01-19-00013-P	exempt	Order of the Commission related to caller ID unblocking.	To require telephone companies to unblock caller ID on calls placed to the 311 municipal call center in Suffolk County.
*PSC-03-19-00002-P	exempt	DPS Staff White Paper for who must be trained in 16 NYCRR Part 753 requirements and how the Commission will approve trainings.	To reduce damage to underground utility facilities by requiring certain training and approving training curricula.
*PSC-04-19-00004-P	exempt	Con Edison's petition for the Gas Innovation Program and associated budget.	To pursue programs that continue service reliability and meet customer energy needs while aiding greenhouse gas reduction goals.
*PSC-04-19-00011-P	exempt	Update of revenue targets.	To ensure NYAW's rates are just and reasonable and accurately reflect the needed revenues.
*PSC-06-19-00005-P	exempt	Consideration of the Joint Utilities' proposed BDP Program.	To to expand opportunities for low-income households to participate in Community Distributed Generation (CDG) projects.

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Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE	COMMISSION		
*PSC-07-19-00009-P	exempt	Whether to impose consequences on AAA for its non-compliance with Commission requirements.	To insure the provision of safe and adequate energy service at just and reasonable rates.
*PSC-07-19-00016-P	exempt	Participation in New York State Lifeline Program.	To encourage enhanced services for low-income customers.
*PSC-09-19-00010-P	exempt	Non-pipeline alternatives report recommendations.	To consider the terms and conditions applicable to gas service.
*PSC-12-19-00004-P	exempt	To test innovative pricing proposals on an optout basis.	To provide pricing structures that deliver benefits to customers and promote beneficial electrification technologies.
*PSC-13-19-00010-P	exempt	New Commission requirements for gas company operator qualification programs.	To make pipelines safer with improved training of workers who perform construction and repairs on natural gas facilities.
*PSC-19-19-00013-P	exempt	Proposed merger of three water utilities into one corporation.	To determine if the proposed merger is in the public interest.
*PSC-20-19-00008-P	exempt	Reporting on energy sources	To ensure accurate reporting and encourage clean energy purchases
*PSC-20-19-00010-P	exempt	Compensation policies for certain CHP projects	To consider appropriate rules for compensation of certain CHP resources
*PSC-31-19-00013-P	exempt	Implementation of Statewide Energy Benchmarking.	To ensure safe and adequate service at just and reasonable rates charged to customers without undue preferences.
*PSC-32-19-00012-P	exempt	Standby Service Rates and Buyback Service Rates	To ensure just and reasonable rates, including compensation, for distributed energy resources
*PSC-38-19-00002-P	exempt	Petition to submeter electricity	To ensure adequate submetering equipment and consumer protections are in place
*PSC-39-19-00018-P	exempt	Petition to submeter electricity.	To ensure adequate submetering equipment and consumer protections are in place.
*PSC-41-19-00003-P	exempt	A voluntary residential three-part rate that would include fixed, usage and demand charges.	To provide qualifying residential customers with an optional three-part rate.
*PSC-44-19-00003-P	exempt	Proposed revisions to Standby Service Rates and Buyback Service Rates.	To ensure just and reasonable rates, including compensation, for distributed energy resources.
*PSC-44-19-00005-P	exempt	Proposed revisions to Standby Service Rates and Buyback Service Rates.	To ensure just and reasonable rates, including compensation, for distributed energy resources.
*PSC-44-19-00006-P	exempt	Proposed revisions to Standby Service Rates and Buyback Service Rates.	To ensure just and reasonable rates, including compensation, for distributed energy resources.
*PSC-44-19-00007-P	exempt	Proposed revisions to Standby Service Rates and Buyback Service Rates.	To ensure just and reasonable rates, including compensation, for distributed energy resources.
*PSC-44-19-00009-P	exempt	Proposed revisions to Standby Service Rates and Buyback Service Rates.	To ensure just and reasonable rates, including compensation, for distributed energy resources.
*PSC-46-19-00008-P	exempt	Wappingers Falls Hydroelectric LLC's facility located in Wappingers Falls, New York.	To promote and maintain renewable electric energy resources.

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE	COMMISSION		
*PSC-46-19-00010-P	exempt	To test innovative rate designs on an opt-out basis.	To implement alternative innovative rate designs intended to assess customer behaviors in response to price signals
*PSC-52-19-00006-P	exempt	Authorization to defer pension settlement losses.	To address the ratemaking related to the pension settlement losses.
*PSC-08-20-00003-P	exempt	PSC regulation 16 NYCRR § § 86.3(a)(2) and 86.3(b)(2).	To consider a waiver of certain regulations relating to the content of an application for transmission line siting.
*PSC-10-20-00003-P	exempt	The Commission's statewide low-income discount policy.	To consider modifications to certain conditions regarding utility low-income discount programs.
*PSC-12-20-00008-P	exempt	Delivery rates of Corning Natural Gas Corporation.	Whether to postpone the implementation of a change in rates that would otherwise become effective on June 1, 2020.
*PSC-15-20-00011-P	exempt	To modify the terms and conditions under which gas utilities provide service to electric generators.	To provide clarity and uniformity to the provision of gas service to electric generators.
*PSC-15-20-00013-P	exempt	Ownership of New York American Water Company, Inc.	To consider whether a proposed transfer of ownership of New York American Water Company, Inc. is in the public interest.
*PSC-16-20-00004-P	exempt	Disposition of a state sales tax refund.	To determine how much of a state sales tax refund should be retained by Central Hudson.
*PSC-18-20-00012-P	exempt	The purchase price of electric energy and capacity from customers with qualifying onsite generation facilities.	To revise the price to be paid by the Company under Service Classification No. 10. for qualifying purchases of unforced capacity
*PSC-18-20-00015-P	exempt	Participation of Eligible Telecommunications Carriers (ETCs) in New York State Lifeline Program.	Commission will consider each petition filed by an ETCs seeking approval to participate in the NYS Lifeline program.
*PSC-19-20-00004-P	exempt	Clarification of the Order Adopting Changes to the Retail Access Energy Market and Establishing Further Process.	To consider whether energy service companies should be permitted to bank RECs to satisfy their renewable energy requirements.
*PSC-19-20-00005-P	exempt	Cost recovery associated with Day-Ahead- DLM and Auto-DLM programs, and elimination of double compensation.	To provide cost recovery for new DLM programs and prevent double compensation to participating customers.
*PSC-19-20-00009-P	exempt	Cost recovery associated with Day-Ahead- DLM and Auto-DLM programs, and elimination of double compensation.	To consider revisions to P.S.C. No. 10 - Electricity, and P.S.C. No. 12 - Electricity.
*PSC-25-20-00010-P	exempt	Whitepaper regarding energy service company financial assurance requirements.	To consider the form and amount of financial assurances to be included in the eligibility criteria for energy service companies.
*PSC-25-20-00016-P	exempt	Modifications to the Low-Income Affordability program.	To address the economic impacts of the COVID-19 pandemic.
*PSC-27-20-00003-P	exempt	To make the uniform statewide customer satisfaction survey permanent.	To encourage consumer protections and safe and adequate service.
*PSC-28-20-00022-P	exempt	Compensation of distributed energy resources.	To ensure just and reasonable rates, including compensation, for distributed energy resources.

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Agency I.D. No.	Expires	Subject Matter	Purpose of Action	
PUBLIC SERVICE	COMMISSION			
*PSC-28-20-00034-P	exempt	Petition to implement Section 7(5) of the Accelerated Renewable Energy Growth and Community Benefit Act	To develop the bulk transmission investments necessary to achieve the Climate Leadership and Community Protection Act goals	
*PSC-34-20-00005-P	exempt	Petition to provide a renewable, carbon-free energy option to residential and small commercial full-service customers.	To increase customer access to renewable energy in the Consolidated Edison Company of New York, Inc. service territory.	
*PSC-38-20-00004-P	exempt	The annual Reconciliation of Gas Expenses and Gas Cost Recoveries.	To consider filings of LDCs and municipalities regarding their Annual Reconciliation of Gas Expenses and Gas Cost Recoveries.	
*PSC-40-20-00004-P	exempt	Minor rate filing.	To ensure safe and adequate service at just and reasonable rates charged to customers without undue preferences.	
*PSC-42-20-00006-P	exempt	Proposed major rate increase in National Grid's delivery revenues of approximately \$41.8 million (or 9.8% in total revenues).	To ensure safe and adequate service at just and reasonable rates charged to customers without undue preferences.	
*PSC-42-20-00008-P	exempt	Availability of gas leak information to the public safety officials.	Facilitate availability of gas leak information to public safety officials by gas corporations.	
*PSC-42-20-00009-P	exempt	Proposed major rate increase in National Grid's delivery revenues of approximately \$100.4 million (or 3.2% in total revenues).	To ensure safe and adequate service at just and reasonable rates charged to customers without undue preferences.	
*PSC-43-20-00003-P	exempt	The use of \$50 million to support residential and commercial customers experiencing financial hardship	To consider whether the proposed support of ratepayers is in the public interest	
*PSC-45-20-00003-P	exempt	Petition to submeter electricity	To ensure adequate submetering equipment and consumer protections are in place	
*PSC-46-20-00005-P	exempt	The recommendations of the DPS Staff report to improve Hudson Valley Water's service.	To determine if approving the DPS Staff's recommendations is in the public interest.	
*PSC-48-20-00005-P	exempt	Partial waiver of the Order Adopting Changes to the Retail Access Energy Market and Establishing Further Process.	To consider whether Chief Energy Power, LLC should be permitted to offer green gas products to mass market customers.	
*PSC-48-20-00007-P	exempt	Tariff modifications to change National Fuel Gas Distribution Corporation's Monthly Gas Supply Charge provisions.	To ensure safe and adequate service at just and reasonable rates charged to customers without undue preferences.	
*PSC-51-20-00007-P	exempt	Whitepaper on the ACOS method used by utilities in developing Standby and Buyback Service rates.	To standardize the utility ACOS methods and resulting rates, and to enable stand-alone energy storage systems.	
*PSC-51-20-00009-P	exempt	Partial waiver of the Order Adopting Changes to the Retail Access Energy Market and Establishing Further Process.	To consider whether petitioner should be permitted to offer its "Energy Savings Program" to mass market customers.	
*PSC-51-20-00014-P	exempt	Electric system needs and compensation for distributed energy resources.	To ensure safe and adequate service and just and reasonable rates, including compensation, for distributed energy resources.	
PSC-01-21-00004-P	exempt	Partial waiver of the Order Adopting Changes to the Retail Access Energy Market and Establishing Further Process.	To consider whether petitioner should be permitted to offer its Home Warranty product to mass market customers.	

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE	COMMISSION		
PSC-01-21-00006-P	exempt	A debt financing arrangement with respect to an electric transmission line under development.	To review the proposed financing and consider whether it is within the public interest.
PSC-02-21-00006-P	exempt	Disposition of a sales tax refund received by New York American Water, Inc.	To determine the disposition of tax refunds and other related matters.
PSC-03-21-00006-P	exempt	Comprehensive study to identify distribution and transmission investments in accordance with the AREGCB Act.	To support distribution and local transmission investments necessary to achieve the State's climate goals.
PSC-04-21-00016-P	exempt	Request for a waiver.	To consider whether good cause exists to support a waiver of the Commission's Test Period Policy Statement.
PSC-05-21-00005-P	exempt	The applicable regulatory regime under the Public Service Law for the owner of a merchant electric generating facility.	Consideration of a lightened regulatory regime for the owner of an approximately 100 MW electric generating facility.
PSC-06-21-00009-P	exempt	Disposition of a property tax refund received by New York American Water, Inc.	To determine the disposition of tax refunds and other related matters.
PSC-09-21-00002-P	exempt	Gas moratorium procedures	To consider procedures and criteria to minimize customer hardships in the unlikely event of a future gas moratorium
PSC-09-21-00005-P	exempt	Utility capital expenditure proposal.	To ensure safe and adequate service at just and reasonable rates charged to customers without undue preferences.
PSC-09-21-00006-P	exempt	Long-term gas system planning.	To consider a process to review gas distribution utilities' long-term system planning.
PSC-12-21-00009-P	exempt	Transfer of ownership interests and facilities associated with three nuclear generating units, funds, and storage facilities.	To ensure appropriate regulatory review, oversight, and action concerning the proposed transfer to serve the public interest.
PSC-13-21-00016-P	exempt	Revised distribution strategies and reallocation of remaining funding.	To ensure the appropriate use of funding reserved for gas safety programs.
PSC-13-21-00023-P	exempt	Petition for the use of steam metering equipment.	To ensure that consumer bills are based on accurate measurements of steam usage.
PSC-14-21-00003-RP	04/07/22	More specific requirements for Operator Qualification to work on pipelines. Allows applications for "special permits."	To make the provision of natural gas service safer in New York State with better qualified pipeline workers.
PSC-15-21-00007-P	exempt	The applicable regulatory regime under the Public Service Law for the owner of a merchant electric generating facility.	Consideration of a lightened regulatory regime.
PSC-16-21-00006-P	exempt	The appropriate level of community credit capacity for distributed energy generation projects in the territory.	Consideration of an increase in the community credit capacity for distributed generation projects in the territory.
PSC-16-21-00007-P	exempt	Accounting-related rules for utilities implementing the Integrated Energy Data Resource.	To consider cost recovery of capital expenditures and budget allocations of costs between affiliated companies.
PSC-17-21-00005-P	exempt	Submetering equipment.	To consider use of submetering equipment and if it is in the public interest.

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Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE	COMMISSION		
PSC-17-21-00006-P	exempt	Community Choice Aggregation and Community Distributed Generation.	To consider permitting opt-out Community Distributed Generation to be offered as the sole product in an aggregation.
PSC-17-21-00007-P	exempt	Utility studies of climate change vulnerabilities.	To assess the need for utilities to conduct distinct studies of their climate change vulnerabilities.
PSC-18-21-00004-P	exempt	Community Choice Aggregation programs.	To modify and improve Community Choice Aggregation programs in New York State.
PSC-18-21-00005-P	exempt	Proposed transfer of the Company's capital stock to the Purchaser.	To determine if transfer of the Company's capital stock to the Purchaser is in the public interest.
PSC-18-21-00006-P	exempt	Community Choice Aggregation renewable products.	To consider waiving the locational and delivery requirements for RECs purchased to support renewable CCA products.
PSC-18-21-00008-P	exempt	RG&E's Economic Development Programs and exemption from funding limits.	To consider RG&E to grant up to \$5.25 million in ED funding to Project Block to the benefit of ratepayers.
PSC-19-21-00008-P	exempt	Community Choice Aggregation (CCA) and Community Distributed Generation (CDG).	To consider permitting Upstate Power, LLC to serve as a CCA administrator offering an optout CDG focused program.
PSC-19-21-00009-P	exempt	Major electric rate filing.	To consider an increase in O&R's electric delivery revenues.
PSC-19-21-00012-P	exempt	Major gas rate filing.	To consider an increase in O&R's gas delivery revenues.
PSC-20-21-00004-P	exempt	Regulatory approvals in connection with a 437 MW electric generating facility.	To ensure appropriate regulatory review, oversight, and action, consistent with the public interest.
PSC-21-21-00012-P	exempt	Petition for the use of gas metering equipment.	To ensure that consumer bills are based on accurate measurements of gas usage.
PSC-21-21-00015-P	exempt	Notice of intent to submeter electricity.	To ensure adequate submetering equipment and consumer protections are in place.
PSC-21-21-00019-P	exempt	Utility capital expenditure proposal.	To ensure safe and adequate service at just and reasonable rates charged to customers without undue preferences.
PSC-22-21-00006-P	exempt	Notice of intent to submeter electricity.	To ensure adequate submetering equipment and consumer protections are in place.
PSC-22-21-00007-P	exempt	The applicable regulatory regime under the Public Service Law for the owner of a merchant electric generating facility.	Consideration of a lightened regulatory regime for the owner of an approximately 7.6 mile, 13 kV AC electric cable.
PSC-22-21-00008-P	exempt	Cost allocation for project(s) to meet a Public Policy Transmission Need/Public Policy Requirement.	To address the cost allocation methodology for use by the New York Independent System Operator, Inc. (NYISO).
PSC-25-21-00005-P	exempt	Transfer of Penelec assets and franchise rights.	To consider the transfer of utility assets and franchise to be in Waverly ratepayer and public interest.

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Agency I.D. No.	Expires	Subject Matter	Purpose of Action			
PUBLIC SERVICE COMMISSION						
PSC-25-21-00008-P	exempt	NYSERDA and National Grid's proposed Expanded Solar For All Program for low- income customers.	To consider the authorization and appropriate design of an opt-out community solar program for low-income customers.			
PSC-25-21-00013-P	exempt	Negative revenue adjustments for gas main replacements targets in 2020.	To promote and ensure safety and reliability enhancements for utility infrastructure replacement.			
PSC-26-21-00010-P	exempt	Proposed acquisition of all shares of common stock of Corning Natural Gas Holding Corporation by ACP Crotona Corp.	To consider whether the acquisition of all shares of common stock of CNGH by ACP Crotona Corp. is in the public interest.			
PSC-26-21-00011-P	exempt	Notice of intent to submeter electricity.	To ensure adequate submetering equipment and consumer protections are in place.			
PSC-28-21-00012-P	exempt	Transfer of ownership interests in a 55 megawatt natural gas-fired cogeneration facility located in North Tonawanda, NY.	To address the proposed transfer and any matters within the public interest.			
PSC-28-21-00013-P	exempt	Elimination of internal audits of wholesale performance metrics.	To consider Verizon New York Inc.'s petition to eliminate requirements for certain internal audits.			
PSC-28-21-00015-P	exempt	Proposals for active and passive managed charging programs for mass market EV customers.	To shift EV charging to moderate grid impacts and customer costs.			
PSC-28-21-00016-P	exempt	Transfer of Suez Water New York Inc.'s parent company to Veolia Environment S.A.	To determine if the proposed transfer is the public interest.			
PSC-29-21-00004-P	exempt	Exemptions from utility standby rates for efficient combined heat and power projects.	To determine whether utility standby rate exemptions should be continued.			
PSC-29-21-00009-P	exempt	Proposed pilot program to use AMI to disconnect electric service to customers during gas system emergencies.	To study the efficacy of using AMI to disconnect electric service during gas system emergencies.			
PSC-30-21-00006-P	exempt	NYSERDA proposal regarding Clean Energy Standard backstop collection processes.	To ensure that NYSERDA has sufficient funds to make timely payments to generators pursuant to the Clean Energy Standard.			
PSC-30-21-00007-P	exempt	Submetering of electricity and waiver requests.	To ensure adequate submetering equipment, consumer protections and energy efficiency protections are in place.			
PSC-31-21-00011-P	exempt	Establishment of the regulatory regime applicable to a solar electric generating facility.	To ensure appropriate regulation of a new electric corporation.			
PSC-32-21-00002-P	exempt	The prohibition on ESCO service to low-income customers.	To consider whether Icon Energy, LLC d/b/a Source Power Company should be granted a waiver to serve low-income customers.			
PSC-32-21-00003-P	exempt	Exemptions from utility standby rates for certain designated or environmentally advantageous technologies.	To harmonize standby rate exemptions statewide.			
PSC-33-21-00006-P	exempt	Proposed rate increase.	To ensure safe and adequate service at just and reasonable rates.			

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE	COMMISSION		
PSC-33-21-00007-P	exempt	Acquisition of cable television facilities and franchises of two municipalities.	To ensure performance in accordance with applicable cable laws, regulations and standards and the public interest.
PSC-33-21-00008-P	exempt	Establishment of a Tapping and Connection Fee.	To consider whether the proposed fees are in the public interest.
PSC-33-21-00009-P	exempt	Banking of credits and switching between Community Distributed Generation and Remote Crediting projects.	To ensure just and reasonable rates charged to customers.
PSC-34-21-00004-P	exempt	CDG subscriber eligibility requirements.	To consider modifications to the CDG program eligibility requirements for certain Standby Service customers.
PSC-34-21-00005-P	exempt	Notice of intent to submeter electricity and request for waiver.	To ensure adequate submetering equipment, consumer protections and energy efficiency protections are in place.
PSC-34-21-00006-P	exempt	Staff recommendations to address the financial impacts of the COVID-19 pandemic.	To consider measures to provide relief to those financially impacted by the COVID-19 pandemic.
PSC-34-21-00007-P	exempt	Authorization to extend the maturity date of certain short-term indebtedness and total debt.	To consider the request for authorization to enter into indebtedness.
PSC-34-21-00010-P	exempt	Clean Energy Standard Programs.	Continued implementation of the Clean Energy Standard and the Zero Energy Credit Requirements Programs.
PSC-35-21-00002-P	exempt	Notice of intent to submeter electricity.	To ensure adequate submetering equipment and consumer protections are in place.
PSC-35-21-00004-P	exempt	Major gas rate filing.	To consider a proposed increase in Corning's gas delivery revenues of approximately \$5.8 million (20.4% in total revenues).
PSC-35-21-00006-P	exempt	Proposed rate increase.	To ensure safe and adequate service at just and reasonable rates.
PSC-35-21-00007-P	exempt	Notice of intent to submeter electricity.	To ensure adequate submetering equipment and consumer protections are in place.
PSC-35-21-00009-P	exempt	To modify the terms and conditions under which gas utilities provide service to electric generators.	To provide clarity and uniformity to the provision of gas service to electric generators in New York State.
PSC-36-21-00005-P	exempt	Transfer of real property.	To determine whether to authorize the transfer of real property and the proper accounting for the transaction.
PSC-36-21-00006-P	exempt	The Westchester Power Program.	To consider integration of Opt-out Community Distributed Generation into the Westchester Power program.
PSC-36-21-00007-P	exempt	Pension settlement payout losses incurred in 2020.	Consideration of NYAW's petition to defer and amortize, for future rate recognition, pension payout losses incurred in 2020.

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Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE	COMMISSION		
PSC-36-21-00008-P	exempt	Transfer of real property.	To determine whether to authorize the transfer of real property and the proper accounting for the transaction.
PSC-36-21-00009-P	exempt	Notice of intent to submeter electricity.	To ensure adequate submetering equipment and consumer protections are in place.
PSC-37-21-00007-P	exempt	Waiver of tariff rules and a related Commission regulation.	To consider whether a waiver of tariff rules and a Commission regulation are just and reasonable and in the public interest.
PSC-37-21-00008-P	exempt	Transfer of street lighting facilities.	To determine whether to authorize the transfer street of lighting facilities and the proper accounting for the transaction.
PSC-37-21-00009-P	exempt	Procedures necessary to implement Tax Law Section 187-q.	To establish procedures by which eligible utility- taxpayers can have the amounts of certain waived customer arrears certified.
PSC-37-21-00010-P	exempt	Zero emitting electric generating facilities that are not renewable energy systems.	To consider modifications to the Clean Energy Standard.
PSC-37-21-00011-P	exempt	Green Button Connect implementation.	To consider the proposed Green Button Connect User Agreement and Green Button Connect Onboarding Process document.
PSC-37-21-00012-P	exempt	Partial waiver of the Order Adopting Changes to the Retail Access Energy Market and Establishing Further Process.	To consider whether Catalyst should be permitted to offer its Community Distributed Generation product to mass market customers.
PSC-37-21-00014-P	exempt	Consideration of Time Warner Cable Information Services (New York)'s Revised Implementation Plan and audit recommendations.	To ensure that recommendations issued in a management and operations audit are appropriately addressed and implemented.
PSC-37-21-00015-P	exempt	Rehearing and/or reconsideration of the Commission's determination related to ITIA's non-pipe alternative project.	To determine whether the Commission made an error of fact related to ITIA's non-pipe alternative project.
PSC-38-21-00006-P	exempt	Annual Reconciliation of Gas Expenses and Gas Cost Recoveries.	To consider filings of LDCs and municipalities regarding their Annual Reconciliation of Gas Expenses and Gas Cost Recoveries.
PSC-38-21-00007-P	exempt	Electric metering equipment.	To consider use of electric submeter and ensure that consumer bills will be based on accurate measurements of electric usage.
PSC-39-21-00005-P	exempt	Establishment of the regulatory regime applicable to a electric transmission facility.	To ensure appropriate regulation of a new electric corporation.
PSC-39-21-00006-P	exempt	Minor electric rate filing to increase annual electric revenues.	To ensure safe and adequate service at just and reasonable rates charged to customers without undue preferences.
PSC-39-21-00007-P	exempt	The proposed alternative method of account identification.	To facilitate secure customer data exchanges between the utility or provider and energy service entities.
PSC-40-21-00017-P	exempt	The Commission's Order Adopting Utility Energy Registry Modifications	To determine if the Commission committed errors of law or fact in its Order, or if new facts warrant a different result.

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Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE	COMMISSION		
PSC-40-21-00018-P	exempt	Notice of intent to submeter electricity and request for waiver.	To ensure adequate submetering equipment, consumer protections and energy efficiency protections are in place.
PSC-40-21-00020-P	exempt	Notice of intent to submeter electricity.	To ensure adequate submetering equipment and consumer protections are in place.
PSC-40-21-00021-P	exempt	Notice of intent to submeter electricity.	To ensure adequate submetering equipment and consumer protections are in place.
PSC-41-21-00005-P	exempt	Area code overlay as relief of the exhausting 516 area code (Long Island).	To ensure performance in accordance with applicable telecommunications laws, regulations and standards and the public interest.
PSC-41-21-00006-P	exempt	The proposed transfer of ownership interests and debt financing arrangement related to an electric generating facility.	To determine whether the proposed transfer of ownership interests and financing arrangement are in the public interest.
PSC-41-21-00007-P	exempt	Waiver of certain Commission requirements related to the distribution of telephone directories.	To ensure performance in accordance with applicable telecommunications laws, regulations and standards and the public interest.
PSC-41-21-00008-P	exempt	Waiver of the prohibition on service to low-income customers by ESCOs.	To consider the petition for an extension of the waiver of the prohibition on service to low-income customers by ESCOs.
PSC-41-21-00009-P	exempt	Waiver of the prohibition on service to low-income customers by ESCOs.	To consider the petition for an extension of the waiver of the prohibition on service to low-income customers by ESCOs.
PSC-41-21-00010-P	exempt	Waiver of the prohibition on service to low-income customers by ESCOs.	To consider the petition for an extension of the waiver of the prohibition on service to low-income customers by ESCOs.
PSC-41-21-00011-P	exempt	Notice of intent to submeter electricity and request for waiver of 16 NYCRR § 96.5(k)(3).	To ensure adequate submetering equipment, consumer protections and energy efficiency protections are in place.
PSC-42-21-00005-P	exempt	Electric metering equipment.	To ensure that consumer bills are based on accurate measurements of electric usage.
PSC-42-21-00006-P	exempt	Waiver of tariff rules and a related Commission regulation.	To consider whether a waiver of tariff rules and a Commission regulation are just and reasonable and in the public interest.
PSC-42-21-00007-P	exempt	Waiver of tariff rules and a related Commission regulation.	To consider whether a waiver of tariff rules and a Commission regulation are just and reasonable and in the public interest.
PSC-43-21-00007-P	exempt	Minor rate filing.	To ensure safe and adequate service at just and reasonable rates.
PSC-43-21-00008-P	exempt	Incremental demand side management programs.	To consider proposed demand side management programs and cost recovery.
PSC-44-21-00010-P	exempt	Petition to enter a long term loan agreement and to institute a surcharge for recovery.	To determine if the issuance of long term debt and a surcharge mechanism for recovery is in the public interest.

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Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE	COMMISSION		
PSC-44-21-00011-P	exempt	The amount electric, gas, and steam corporations can charge for security deposits, and the acceptable forms of payment.	To establish security deposit requirements.
PSC-44-21-00012-P	exempt	Disposition of a New York State tax refund.	To determine the disposition of a tax refund obtained by New York American Water Company, Inc.
PSC-44-21-00013-P	exempt	Transfer of street lighting facilities.	To determine whether to authorize the transfer street of lighting facilities and the proper accounting for the transaction.
PSC-44-21-00014-P	exempt	Development of distribution and local transmission in accordance with the AREGCB Act.	To support distribution and local transmission investments necessary to achieve the the State's climate goals.
PSC-45-21-00004-P	exempt	The SIR and Application Process for New DG and ESS 5 MW or Less Connected in Parallel with Utility Distribution Systems.	To accommodate federal government agencies that wish to install distributed generation or energy storage systems 5 MW or Less.
PSC-46-21-00011-P	exempt	Transfer of street lighting facilities.	To determine whether to authorize the transfer street of lighting facilities and the proper accounting for the transaction.
PSC-46-21-00012-P	exempt	Transfer of street lighting facilities.	To determine whether to authorize the transfer street of lighting facilities and the proper accounting for the transaction.
PSC-46-21-00013-P	exempt	ESCO Eligibility	To consider whether Astral should be allowed to market to and enroll residential customers following a suspension.
PSC-46-21-00014-P	exempt	Waiver of tariff rules and a related Commission regulation.	To consider whether a waiver of tariff rules and a Commission regulation are just and reasonable and in the public interest.
PSC-47-21-00003-P	exempt	Utility processes for customers to consent to sharing data with third parties and how consent options will be communicated.	To develop standardized consent requirements that will increase customer familiarity with appropriate data sharing and access.
PSC-47-21-00004-P	exempt	Proposed modifications to CDRP.	To consider revisions to CDRP, as well as conforming tariff revisions.
PSC-47-21-00005-P	exempt	Utility processes for customers to consent to sharing data with third parties and how consent options will be communicated.	To develop standardized consent requirements that will increase customer familiarity with appropriate data sharing and access.
PSC-48-21-00004-P	exempt	Proposed filings to modify Riders AB and J - Smart Home Rate.	To ensure safe and adequate service at just and reasonable rates charged to customers without undue preferences.
PSC-48-21-00005-P	exempt	Transfer of street light facilities.	To consider the transfer of street lighting facilities to the Town of Hamburg.
PSC-48-21-00006-P	exempt	Electric metering equipment.	To consider use of electric metering equipment and ensure consumer bills are based on accurate measurements of electric usage.
PSC-48-21-00007-P	exempt	Verizon's Performance Assurance Plan.	To consider whether to retire the Performance Assurance Plan.

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Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE	COMMISSION		
PSC-49-21-00011-P	exempt	Amendments to the SIR.	To more effectively interconnect distributed generation and energy storage systems 5 MW or less to the distribution system.
PSC-49-21-00012-P	exempt	Hosting capacity maps at investor-owned electric utilities.	To provide more useful information about the distribution system's hosting capacity.
PSC-49-21-00013-P	exempt	Amendments to the SIR and funding mechanisms.	To more equitably share costs among distributed generation and energy storage projects that require capital upgrades.
PSC-49-21-00014-P	exempt	A Tier 4 renewable energy certificate contract.	To increase renewable generation in New York city.
PSC-49-21-00015-P	exempt	A Tier 4 renewable energy certificate contract.	To increase renewable generation in New York city.
PSC-50-21-00005-P	exempt	Notice of intent to submeter electricity and request for waiver.	To ensure adequate submetering equipment, consumer protections and energy efficiency protections are in place.
PSC-50-21-00006-P	exempt	Implementation of the Host Community Benefit Program.	To consider the proposed administration and implementation related to disbursement of customer bill credits.
PSC-50-21-00007-P	exempt	Notice of intent to submeter electricity.	To ensure adequate submetering equipment and consumer protections are in place.
PSC-50-21-00008-P	exempt	Implementation of the Host Community Benefit Program.	To consider the proposed administration and implementation related to disbursement of customer bill credits.
PSC-50-21-00009-P	exempt	Notice of intent to submeter electricity.	To ensure adequate submetering equipment and consumer protections are in place.
PSC-50-21-00010-P	exempt	New York City's proposal to procure Tier 4 Renewable Energy Certificates.	To modify load serving entity compliance obligations under the Clean Energy Standard.
PSC-50-21-00011-P	exempt	Implementation of the Host Community Benefit Program.	To consider the proposed administration and implementation related to disbursement of customer bill credits.
PSC-50-21-00012-P	exempt	Implementation of the Host Community Benefit Program.	To consider the proposed administration and implementation related to disbursement of customer bill credits.
PSC-50-21-00013-P	exempt	Transfer of street lighting facilities.	To determine whether to authorize the transfer street of lighting facilities and the proper accounting for the transaction.
PSC-50-21-00014-P	exempt	Submetering of electricity and waiver request.	To ensure adequate submetering equipment, consumer protections and energy efficiency measures are in place.
PSC-50-21-00015-P	exempt	Notice of intent to submeter electricity.	To ensure adequate submetering equipment and consumer protections are in place.
PSC-51-21-00008-P	exempt	Distribution level demand response programs	More efficient demand response programs to gain operational efficiency and shave peak demand

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Agency I.D. No. Expires		Subject Matter	Purpose of Action
PUBLIC SERVICE	COMMISSION		
PSC-51-21-00009-P	exempt	Distribution-level demand response programs	More efficient demand response programs to gain operational efficiency and shave peak demand
PSC-51-21-00010-P	exempt	Notice of intent to submeter electricity	To ensure adequate submetering equipment and consumer protections are in place
PSC-51-21-00011-P	exempt	Distribution-level demand response programs	More efficient demand response programs to gain operational efficiency and shave peak demand
PSC-51-21-00012-P	exempt	Distribution-level demand response programs	More efficient demand response programs to gain operational efficiency and shave peak demand
PSC-51-21-00013-P	exempt	Distribution-level demand response programs	More efficient demand response programs to gain operational efficiency and shave peak demand
PSC-51-21-00014-P	exempt	Distribution level demand response programs	More efficient demand response programs to gain operational efficiency and shave peak demand
PSC-51-21-00015-P	exempt	PSC Regulation 16 NYCRR 86.3(b)(2), and 88.4(a)(4)	To consider the Applicants requests relating to the content of their application for transmission line siting
PSC-51-21-00016-P	exempt	Notice of intent to submeter electricity	To ensure adequate submetering equipment and consumer protections are in place
PSC-52-21-00006-P	exempt	Proposed tariff revisions to the Companies firm demand response programs for the 2021-2022 season.	To effectuate more efficient firm gas demand response programs to gain operational efficiency and shave peak demand.
PSC-52-21-00007-P	exempt	Clean Energy Standard Tier 1 Load Serving Entity Obligations.	To modify the Tier 1 Load Serving Entity obligations for compliance year 2023 and establish the obligation for year 2024.
PSC-52-21-00008-P	exempt	Notice of intent to submeter electricity.	To ensure adequate submetering equipment and consumer protections are in place.
PSC-52-21-00009-P	exempt	Authorization to recover costs for 19 transmission projects and related mechanisms.	To ensure safe and adequate service at just and reasonable rates charged to customers without undue preferences.
PSC-52-21-00010-P	exempt	Transfer of street lighting facilities.	To determine whether to authorize the transfer street of lighting facilities and the proper accounting for the transaction.
STATE, DEPARTM	ENT OF		
DOS-39-21-00013-P	09/29/22	Procedures to help avoid abandonment of cemeteries and determine when a cemetery has become abandoned.	To provide procedures to help avoid abandonment of cemeteries and determine when a cemetery has become abandoned.
DOS-42-21-00003-EP	10/20/22	Ventilation Requirements	To provide an additional 6 months for appearance enhancement businesses to comply with existing ventilation standards
DOS-51-21-00004-EP	12/22/22	General Administration Relating to the Division of Licensing Services.	To give approved educational providers the option of offering mandatory course work virtually.

Agency I.D. No. STATE UNIVERSITY	Expires Y OF NEW YORK	Subject Matter	Purpose of Action
STATE UNIVERSITY			
	06/16/22		
SUN-24-21-00002-EP		Gender Neutral Bathrooms	To conform with legislation requiring SUNY state-operated campuses to designate all single occupancy bathrooms as gender neutral
TAXATION AND FIN	IANCE, DEPARTM	ENT OF	
*TAF-46-20-00003-P	exempt	Fuel use tax on motor fuel and diesel motor fuel and the art. 13-A carrier tax jointly administered therewith	To set the sales tax component and the composite rate per gallon for the period January 1, 2021 through March 31, 2021
TAF-46-21-00009-P	exempt	Fuel use tax on motor fuel and diesel motor fuel and the art. 13-A carrier tax jointly administered therewith	To set the sales tax component and the composite rate per gallon for the period January 1, 2022 through March 31, 2021
TEMPORARY AND	DISABILITY ASSIS	STANCE, OFFICE OF	
TDA-39-21-00004-EP	09/29/22	Standard Utility Allowances (SUAs) for the Supplemental Nutrition Assistance Program (SNAP)	These regulatory amendments set forth the federally-approved SUAs as of 10/1/21
TDA-43-21-00006-EP	10/27/22	Public Assistance (PA) eligibility interviews by phone or other digital means at PA applicant's or recipient's request	See attached addendum
TDA-51-21-00002-EP	12/22/22	Elderly Simplified Application Project (ESAP) for the Supplemental Nutrition Assistance Program (SNAP)	To simplify the SNAP eligibility process for certain elderly and/or disabled residents in New York State
WORKERS' COMPE	ENSATION BOARD		
WCB-28-21-00009-P	07/14/22	Telehealth	Provides the option for telehealth visits in some circumstances
WCB-37-21-00018-P	09/15/22	NY Workers' Compensation Drug Formulary	Update the Formulary (technical and clarifying changes)
WCB-41-21-00012-P	10/13/22	Medical Treatment Guidelines	To add Eye Disorders, Traumatic Brain Injury, and Complex Regional Pain Syndrome MTGs
WCB-52-21-00004-EP		Independent Livery Drivers and Independent Livery Driver Fund	To implement the Cisnero Appellate Division case (194 A.D.3d 1344)

REGULATORY AGENDA

Workers' Compensation Board

Pursuant to section 202-d of the State Administrative Procedures Act, notice is hereby provided of the following rules which the Workers' Compensation Board ("the Board") is considering proposing but for which a rule making proceeding has not been commenced. All references are to Title 12 of the New York Code of Rules and Regulations unless otherwise noted. The Board's regulatory plans are subject to change, and the Board reserves the right to add, delete, or modify any item. The Board is not required to propose for adoption any rule summarized in this regulatory agenda. In addition, the Board may propose a rule for adoption that was not under consideration at the time that this regulatory agenda was submitted for publication.

This notice is also intended to provide small businesses, local governments, and public and private interests in rural areas with the opportunity to participate in the rule-making process, as provided for in sections 202-b and 202-bb of the State Administrative Procedures Act. All rules described below may require a Regulatory Flexibility Analysis for Small Businesses and Local Governments and a Rural Area Flexibility Analysis pursuant to sections 202-b and 202-bb of the State Administrative Procedures Act, respectively.

The public is welcome to send written comments on the Board's Regulatory Agenda to the contact person at the end of this list.

The Board is considering proposing the rules described below:

- \bullet Amendment to implement Workers' Compensation Law section 25(3)(g)
 - Continued updates to the NY Workers' Compensation Formulary
- Amend Part 302 to ensure the regulations accurately reflect the Third Party Administrator process and update requirements for a Qualifying Officer

The Board will continue to review its rules in an effort to provide for clearer and more accurate references to Board policies and procedures while also eliminating typographical errors and obsolete forms and practices.

To obtain information about or submit written comments concerning any item in this Regulatory Agenda, contact: Heather M. MacMaster, Acting General Counsel, Workers' Compensation Board, 328 State St., Schenectady, NY 12305-2318, (518) 486-9564, e-mail: regulations@wcb.ny.gov

RULE REVIEW

Education Department

Section 207(1)(a) of the State Administrative Procedure Act (SAPA) requires that each State agency review each of its rules that is adopted on or after January 1, 1997 in the calendar year specified in the notice of adoption for the rule, provided that at a minimum every rule shall be initially reviewed no later than in the fifth calendar year after the year in which the rule is adopted, and, thereafter, every rule shall be re-reviewed at five-year intervals, in order to determine whether such rules should be modified or continued without modification.

Additionally, section 207(1)(b) of SAPA requires that, for any rule adopted on or after January 1, 2013, for which a regulatory flexibility analysis, rural area flexibility analysis, or job impact statement is required, the initial review shall occur no later than in the third calendar year after the year in which the rule is adopted; provided, however, that the agency may propose a different review period in such analysis or statement, along with its justification for doing so, and shall invite public comment thereon.

Pursuant to SAPA section 207, the State Education Department submits the following list of its rules that were adopted during calendar years 2018, 2017, 2016, 2013, 2011, 2006 and 2001 that the Department has reviewed and determined should be continued without modification. All section and part references are to Title 8 of the New York Code of Rules and Regulations.

A. CALENDAR YEAR 2018 (3 YEAR REVIEW)

OFFICE OF P-12 EDUCATION

Section 100.7 Pathways to Graduation

Description of Rule: New pathway to a NYS high school equivalency diploma.

Justification for Continuation Without Modification: To allow students to use passing scores on certain Regents examinations in lieu of certain TASC sub-tests.

Legal Basis for Rule: Education Law sections 101, 207, 208, 209, 305(1) and (2), 308, 309, and 3204(3).

Assessment of Public Comment: No public comment received.

Sections 135.1 and 135.3 Mental Health Education

Description of Rule: Mental health education in schools.

Justification for Continuation Without Modification: To implement the provisions of Chapter 390 of the Laws of 2016 and Chapter 1 of the Laws of 2017 requiring health education in schools to include mental health education.

Legal Basis for Rule: Education Law sections 101, 207, 305(1) and (2), 308, 804(1)-(7), Chapter 401 of the Laws of 1998, Chapter 390 of the Laws of 2016, and Chapter 1 of the Laws of 2017.

Assessment of Public Comment: No public comment received.

Sections 100.5 Science Lab Requirements

Description of Rule: Laboratory experiences required to take a science regents examination for students in certain state agency educational programs.

Justification for Continuation Without Modification: To provide

flexibility in meeting the science laboratory requirements for students in certain state agency educational programs.

Legal Basis for Rule: Education Law sections 101, 207, 208, 209, 305(1) and (2), 308, 209, and 3204(3) and (4).

Assessment of Public Comment: No public comment received.

Section 100.2(kk) DASA

Description of Rule: Reports of incidents of harassment, bullying, and/or discrimination pursuant to the Dignity for All Students Act (DASA).

Justification for Continuation Without Modification: To include illustrative examples to the field to aid in the continued implementation of DASA.

Legal Basis for Rule: Education Law sections 11(1-7), 14(3), 15, 16, 101, 207, 305(1) and (2), and 2854(1)(b), and Chapter 482 of the Laws of 2010.

Assessment of Public Comment: No public comment received.

Sections 175.2 and 175.5 State Aid

Description of Rule: Minimum instruction requirement for State

Justification for Continuation Without Modification: To modify the manner in which minimum instructional time is calculated for State aid purposes.

Legal Basis for Rule: Education Law sections 101, 207, 1704, and 3604.

Assessment of Public Comment: No public comment received.

OFFICE OF HIGHER EDUCATION

Section 80-6.1 CTLE/Non-publics

Description of Rule: Continuing teacher and leader education (CTLE) for non-public school teachers.

Justification for Continuation Without Modification: To implement Chapter 311 of the Laws of 2017 requiring mandatory continuing education for all holders of professional certificates in the classroom teaching service.

Legal Basis for Rule: Education Law sections 101, 207, 305, 3001 3004, 305, and 3006-a and Chapter 311 of the Laws of 2017.

Assessment of Public Comment: No public comment received.

Section 80-5.26 Puerto Rico Certificates

Description of Rule: Temporary Hurricane Maria certificate.

Justification for Continuation Without Modification: To provide temporary certificates for teachers displaced from Puerto Rico and/or another US territory because of Hurricane Maria.

Legal Basis for Rule: Education Law sections 101, 207, 305, 3001, 3004, and 3009.

Assessment of Public Comment: No public comment received.

Section 80-5.25 Transitional H Certificate

Description of Rule: Transitional H Certificate Pathway.

Justification for Continuation Without Modification: To establish a Transitional H Pathway for holders of a NYS license as a CPA to Pursue a School District Business Leader Certificate.

Legal Basis for Rule: Education Law sections 101, 207, 305, 3001, 3004, and 3009.

Assessment of Public Comment: No public comment received.

80-3.10 Educational Leadership Service

Description of Rule: Relates to requirements for certificates in the Educational Leadership Service.

Justification for Continuation Without Modification: To revise program requirements for leadership programs necessary for certificates in Educational Leadership service.

Legal Basis for Rule: Education Law sections 207, 305, 3001, and 3004.

Assessment of Public Comment: No public comment received.

Section 52.21, Parts 30 and 80 Computer Science

Description of Rule: Teacher certification and tenure in computer science.

Justification for Continuation Without Modification: To create a new certification area and tenure area in computer science.

Legal Basis for Rule: Education Law sections 101, 207, 210, 215, 305, 3001, 3004, and 3009.

Assessment of Public Comment: No public comment received.

Section 80-5.13 Transitional B Pathway

Description of Rule: Alternative teacher education models for Transitional B certification

Justification for Continuation Without Modification: To provide flexibility in alternative teacher education models for Transitional B certification

Legal Basis for Rule: Education Law sections 101, 207, 305, 3001, 3003, 3004 and 3009.

Assessment of Public Comment: No public comment received.

80-3.4 Mentoring Requirement

Description of Rule: Mentoring program for professional certification.

Justification for Continuation Without Modification: To enable new teachers who are hired late in the academic year to have the same amount of time to participate in mentoring.

Legal Basis for Rule: Education Law sections 101, 207, 210, 215, 305, 3001, 3004, and 3009.

Assessment of Public Comment: No public comment received.

52.21 and 80-5.22 Transitional G Certificate and Pre-Professional Certificate

Description of Rule: Transitional G teaching certificate and preprofessional teaching assistant experience.

Justification for Continuation Without Modification: To expand the certification areas for which a Transitional G certificate may be issued and allow certain pre-professional teaching assistant experience to be counted towards experience for a professional certificate.

Legal Basis for Rule: Education Law sections 101, 207, 305, 3001, 3004, and 3009.

Assessment of Public Comment: No public comment received. 27-1.1, 27-2.6 HEOP

Description of Rule: Higher Education Opportunity Program requirements.

Justification for Continuation Without Modification: To amend existing requirements for the Higher Education Opportunity Program.

Legal Basis for Rule: Education Law sections 101, 207, 305, 6451, and 6452.

Assessment of Public Comment: No public comment received.

3.58, 3.59 Procedures for Not-for-Profits Institutions to Offer Degrees

Description of Rule: Authorization of an independent/not-for-profit higher education institution to offer programs leading to postsecondary degrees.

Justification for Continuation Without Modification: To establish requirements and fees for the authorization of independent/not-for-profit higher education institutions to offer postsecondary degrees.

Legal Basis for Rule: Education Law section 207, 210, 215, 216, 218, and 224, State Finance Law section 97-IIII, and Chapter 82 of the Laws of 1995.

Assessment of Public Comment: No public comment received.

Subpart 4-2 and Section 52.21 Accreditation

Description of Rule: Continuous accreditation requirement for education preparation providers.

Justification for Continuation Without Modification: To allow institutions of higher education with registered educator programs to be accredited by accrediting associations seeking recognition.

Legal Basis for Rule: Education Law sections 101, 207, 210, 215, and 305.

Assessment of Public Comment: No public comment received.

Section 52.21 Graduate Admissions

Description of Rule: Graduate admission examination requirements.

Justification for Continuation Without Modification: To implement Chapter 454 of the Laws of 2017 by clarifying that the graduate admission examination requirement does not apply to certified teachers and school administrators who already hold a graduate degree.

Legal Basis for Rule: Education Law sections 101, 207, and 210-a and Chapter 454 of the Laws of 2017.

Assessment of Public Comment: No public comment received.

Section 80-1.5 Safety Net Extensions

Description of Rule: Safety nets for teacher certification examination.

Justification for Continuation Without Modification: To eliminate the requirement that candidates must complete all other certification requirements by June 30, 2018 to be eligible to use one of the safety nets.

Legal Basis for Rule: Education Law sections 207, 305, 3001, 3003, 3004, and 3009.

Assessment of Public Comment: No public comment received.

Section 80-4.3 Special Education Certification Flexibility

Description of Rule: Grade-level extensions for certain candidates who hold a Students with Disabilities Generalist certificate.

Justification for Continuation Without Modification: To expand the pool of qualified teachers of students with disabilities and to extend the grade levels in which they can teach.

Legal Basis for Rule: Education Law sections 101, 207, 210, 215, 305, 3001, 3004, and 3009.

Assessment of Public Comment: No public comment received.

Part 80 HOUSE Teacher Certification Flexibility

Description of Rule: Teacher certification requirements for teachers who hold Students with Disabilities Generalist (7-12) certificate and teach in a special class

Justification for Continuation Without Modification: To create limited extensions for teachers holding a Students with Disabilities Generalist certificate who teach a special class in grades 7-12 and a statement of continued eligibility for teachers holding a Students with Disabilities Generalist certificate who teach a special class in grades 7-12 and were previously allowed to teach in another content area by school districts using the HOUSSE rubric for federal aid purposes under the No Child Left Behind Act.

Legal Basis for Rule: Education Law sections 101, 207, 210, 215, 305, 3001, 3004, and 3009.

Assessment of Public Comment: No public comment received.

Sections 80-3.3 and 80-3.7 Individual Evaluation

Description of Rule: Individual evaluation pathway for teacher certification.

Justification for Continuation Without Modification: To allow for individual evaluation in certain certificate titles.

Legal Basis for Rule: Education Law sections 101, 207, 210, 215, 305, 3001, 3004, and 3009.

Assessment of Public Comment: No public comment received.

Section 80-1.5 Library Media Specialists/EdTPA

Description of Rule: Safety Net for the revised library specialist teacher performance assessment.

Justification for Continuation Without Modification: To establish a safety net for the library specialist teacher performance assessment.

Legal Basis for Rule: Education Law sections 207, 305, 3001, 3003, 3004, and 3009.

Assessment of Public Comment: No public comment received.

Section 80-1.5 Educational Technology Specialist Content Specialty Test Safety Net

Description of Rule: Reinstatement of the Educational Technology Specialists (ETS) Content Specialty Test (CST) safety net for candidates seeking certification.

Justification for Continuation Without Modification: To allow candidates to take either the redeveloped CST or the predecessor CST.

Legal Basis for Rule: Education Law sections 207, 305, 3001, 3004, and 3009.

Assessment of Public Comment: No public comment received.

Section 80-3.16 Speech and Language Disabilities (SLD) Certificate

Description of Rule: Teacher certification in Speech and Language Disabilities (All Grades).

Justification for Continuation Without Modification: To create a pathway allowing candidates who complete a speech-language pathology program accredited by the American Speech, Language, and Hearing Association (ASHA) to obtain an initial certificate in Speech and Language Disabilities (All Grades).

Legal Basis for Rule: Education Law sections 207, 305, 3001, 3003, 3004, and 3009.

Assessment of Public Comment: No public comment received.

Section 4-1.3 Institutional Accreditation

Description of Rule: Voluntary institutional accreditation for Title IV purposes.

Justification for Continuation Without Modification: To make clear that the extensions for duration of accreditation provided for in section 4-1.3 are specifically administrative in nature and that they do not extend the corrective action period granted to an institution to come into compliance with the standards for accreditation. Additionally, to establish a fee structure for institutional accreditation by the Board of Regents and Commissioner of Education.

Legal Basis for Rule: Education Law sections 207, 210, 212, 214, 215, and 305.

Assessment of Public Comment: No public comment received. OFFICE OF THE PROFESSIONS

Section 70.10 CPA Mandatory Peer Review Program (sponsoring organization)

Description of Rule: Relates to the mandatory peer review program in public accountancy.

Justification for Continuation Without Modification: To revise the requirements for sponsoring organizations who facilitate peer review programs in public accountancy.

Legal Basis for Rule: Education Law sections 207, 6504, 6507 and

Assessment of Public Comment: No public comment received.

Section 75.2 Speech Language Pathology Limited License

Description of Rule: Relates to limited license in speech-language pathology.

Justification for Continuation Without Modification: To subject applicants who have been issued a limited license, to the same experience requirements as applicants for a license.

Legal Basis for Rule: Education Law sections 207 (not subdivided), 6504 (not subdivided), 6507(2)(a), 8205-a and Chapter 202 of the Laws of 2017.

Assessment of Public Comment: No public comment received. Section 76.5 Occupational Therapy Scope of Practice Definition Description of Rule: Definition of occupational therapy practice.

Justification for Continuation Without Modification: To conform the definition of occupational therapy practice to changes to Education Law § 7901 made by Chapter 460 of the Laws of 2011.

Legal Basis for Rule: Education Law sections 207 (not subdivided), 6504 (not subdivided), 6507(2)(a), 7901 and Chapter 460 of the Laws of 2011.

Assessment of Public Comment: No public comment received.

Sections 29.10, 70.7, 70.8, 70.10 CPA Review Exemption

Description of Rule: Mandatory peer review program in public accountancy.

Justification for Continuation Without Modification: To eliminate the exemption from the program for sole proprietorship and firms with two or fewer accounting professionals.

Legal Basis for Rule: Education Law sections 207, 6507(2)(a), 7408, 7410, and Chapter 364 of the Laws of 2017.

Assessment of Public Comment: No public comment received.

Sections 29.2, 52.8, 79-20 Licensure of Pathologists Assistants

Description of Rule: Licensing of licensed pathologists' assistants.

Justification for Continuation Without Modification: To establish the requirements for licensure including professional education, examination, fee, and limited permit requirements.

Legal Basis for Rule: Education Law sections 207, 212(3), 6504, 6507(2)(a), 6509(9), 8850, 8851, 8852, 8853, 8854, 8855, 8856, and Chapter 497 of the Laws of 2016.

Assessment of Public Comment: No public comment received.

Section 29.7 and 63.6 Biological Products

Description of Rule: Biological products in the profession of pharmacy.

Justification for Continuation Without Modification: Establishes requirements for substitution of interchangeable biological products for prescribed products.

Legal Basis for Rule: Education Law sections 207, 6504, 6507(2)(a), 6802, 6810, and 6816-a, and Chapter 357 of the Laws of 2017.

Assessment of Public Comment: No public comment received.

Section 61.4 Dentists Licensure by Endorsement

Description of Rule: Endorsement requirements for licensure as a dentist.

Justification for Continuation Without Modification: To permit dentists with licenses issued by a Canadian province to become licensed dentists in New York State by endorsement.

Legal Basis for Rule: Education Law section 207, 6504, 6506(6), 6507(2), and 6604(3).

Assessment of Public Comment: No public comment received.

Section 64.9 Advanced Home Health Aide

Description of Rule: Regulation of advanced home health aides (AHHAs).

Justification for Continuation Without Modification: To implement the provisions of Chapter 471 of the Laws of 2016 relating to the regulation of AHHAs.

Legal Basis for Rule: Education Law sections 207, 212(3), 6504, 6507(2), 6906, 6908(2) and 6909(8) and Chapter 471 of the Laws of 2016.

Assessment of Public Comment: No public comment received.

Section 63.9 Pharmacist Vaccination

Description of Rule: The administration of certain vaccines by pharmacists.

Justification for Continuation Without Modification: To implement the provisions of Part DD of Chapter 57 of the Laws of 2018 which permits pharmacists to administer certain vaccines.

Legal Basis for Rule: Education Law section 207, 6504, 6507(2), 6901(2), 6801(4), 6802(22), 6902(1), and 6909(7) and Part DD of Chapter 57 of the Laws of 2018.

Assessment of Public Comment: No public comment received. OFFICE OF CULTURAL EDUCATION

Sections 90.2 and 90.16 Library System

Description of Rule: Standards for registration of public, free association and Indian libraries.

Justification for Continuation Without Modification: To revise the standards for registration of public, free association and Indian libraries and to implement Education Law § 273.

Legal Basis for Rule: Education Law sections 207, 208, 215, 253 through 268, 271, 272, 273, 273-a, and 282 through 285.

Assessment of Public Comment: No public comment received.
OFFICE OF ADULT CAREER AND CONTINUING EDUCATION SERVICES (ACCES)

Part 126 BPSS Technical Changes

Description of Rule: Relates to the operation of licensed private career schools.

Justification for Continuation Without Modification: To make technical amendments to Part 126 of the Commissioner's regulations relating to the operation or license private career schools.

Legal Basis for Rule: Education Law sections $101,\,207,\,$ and 5001 through 5010.

Assessment of Public Comment: No public comment received.

Part 147 Readers Aid

Description of Rule: Eligibility, funding and use of funds for the Readers Aid program.

Justification for Continuation Without Modification: To implement Chapter 350 of the Laws of 2017 which provides for an expansion in eligibility, funding and use of the funds for the Readers Aid Program.

Legal Basis for Rule: Education Law sections 207, 305, and 4210 and Chapter 350 of the Laws of 2017.

Assessment of Public Comment: No public comment received.

B. CALENDAR YEAR 2017 (4 YEAR REVIEW)

The State Education Department did not adopt any rules in the year 2017 to be initially reviewed in 4 years.

C. CALENDAR YEAR 2016 (5 YEAR REVIEW)

OFFICE OF P-12 EDUCATION

Section 100.5(h) Seal of Biliteracy

Description of Rule: New York State Seal of Biliteracy.

Justification for Continuation Without Modification: To establish requirements for students to earn a State Seal of Biliteracy.

Legal Basis for Rule: Education Law sections 101(not subdivided), 207(not subdivided), 208(not subdivided), 305(1) and (2), 308(not subdivided), 309(not subdivided) and 815(2)(b).

Assessment of Public Comment: No public comment received.

155.17 School Safety

Description of Rule: District-wide school safety plans and building-level emergency response plans.

Justification for Continuation Without Modification: To implement the provisions of Part B of Chapter 54 of the Laws of 2016.

Legal Basis for Rule: Education Law sections 101(not subdivided), 207(not subdivided), 305(1) and (2) and 807 and 2801-a, as amended by Chapter 54 of the Laws of 2016.

Assessment of Public Comment: No public comment received.

Section 100.19 Receivership/Community Schools

Description of Rule: Community school grants.

Justification for Continuation Without Modification: To establish the criteria for community school grants to implement Chapter 53 of the Laws of 2016.

Legal Basis for Rule: Education Law sections 207(not subdivided), 305(1) and (2), 211-f, as added by Part EE, Subpart H of Chapter 56 of the Laws of 2015 and Chapter 53 of the Laws of 2016.

Assessment of Public Comment: No public comment received.

Section 100.5(a)(5) Graduation Requirements

Description of Rule: Social studies regents examinations.

Justification for Continuation Without Modification: To provide additional pathway options for passing the social studies Regents examinations for a diploma.

Legal Basis for Rule: Education Law sections 101(not subdivided), 207(not subdivided), 208(not subdivided), 209(not subdivided), 305(1) and (2), 308 (not subdivided), 309(not subdivided).

Assessment of Public Comment: No public comment received.

Section 100.6 CDOS Assessment

Description of Rule: Assessments for the New York State Career Development and Occupational Studies (CDSO) commencement credential.

Justification for Continuation Without Modification: To establish conditions and procedures for approval of work-readiness assessments for the CDOS credential.

Legal Basis for Rule: Education Law sections 101(not subdivided), 207(not subdivided), 208(not subdivided), 209(not subdivided), 305(1) and (2), 308 (not subdivided), 309(not subdivided).

Assessment of Public Comment: No public comment received.

Section 200.18 Rate Setting

Description of Rule: Establishment of tuition rates.

Justification for Continuation Without Modification: To clarify that the Educaiton Department maintains discretion in establishing tuition rates based on a financial audit.

Legal Basis for Rule: Education Law 207, 4003, 4401, 4403, 4405, 4408 and 4410.

Assessment of Public Comment: No public comment received.

Sections 100.5(d) and 200.4(d) Superintendent Determinations

Description of Rule: Superintendent determination as to academic proficiency for certain students with disabilities to graduate with a local diploma.

Justification for Continuation Without Modification: To expand the safety net options for students with disabilities to graduate with local diplomas when certain conditions are met.

Legal Basis for Rule: Education Law sections 101(not subdivided), 207(not subdivided), 208(not subdivided), 209(not subdivided), 305(1) and (2), 308 (not subdivided), 309(not subdivided), and 3204(3) and (4).

Assessment of Public Comment: No public comment received.

OFFICE OF HIGHER EDUCATION

100.2, 30-2, 30-3 APPR

Description of Rule: Annual professional performance reviews of classroom teachers and building principals.

Justification for Continuation Without Modification: To implement Subparts D and E of Part EE of Chapters 20 and 56 of the Laws of 2015.

Legal Basis for Rule: Education Law sections 101(not subdivided), 207(not subdivided), 215(not subdivided), 305(1) and (2), 3009(1), 3012-c(1-10) and section 3012-d(1-15), and Subparts D and E of Part EE of Chapters 20 and 56 of the Laws of 2015.

Assessment of Public Comment: No public comment received.

OFFICE OF THE PROFESSIONS

Section 79-9.8 Mental Health Counseling CE

Description of Rule: Continuing education requirements for Licensed Mental Health Counselors.

Justification for Continuation Without Modification: To implement mandatory continuing education requirements, establish standards for acceptable education and approval of providers.

Legal Basis for Rule: Education Law sections 207 (not subdivided), 212 (3), 6504 (not subdivided), 6507(2)(a), and 8412, Chapter 486 of the Laws of 2013, and Chapter 15 of the Laws of 2014.

Assessment of Public Comment: No public comment received.

79-10.8 Marriage Family Therapy CE

Description of Rule: Continuing education requirements for Licensed Marriage and Family Therapists.

Justification for Continuation Without Modification: To implement continuing education requirements, establish standards for acceptable education and approval of providers.

Legal Basis for Rule: Education Law sections 207 (not subdivided),

212(3), 6504 (not subdivided), 6507(2)(a), and 8412, Chapter 486 of the Laws of 2013, and Chapter 15 of the Laws of 2014.

Assessment of Public Comment: No public comment received.

79-11.8 Creative Arts Therapy CE

Description of Rule: Continuing education requirements for Licensed Creative Arts Therapists.

Justification for Continuation Without Modification: To implement mandatory continuing education requirements, establish standards for acceptable education and approval of providers.

Legal Basis for Rule: Education Law sections 207 (not subdivided), 212 (3), 6504 (not subdivided), 6507(2)(a), and 8412, Chapter 486 of the Laws of 2013, and Chapter 15 of the Laws of 2014.

Assessment of Public Comment: No public comment received.

Section 79-12.8 Psychoanalysis CE

Description of Rule: Continuing education requirements for Licensed Psychoanalysts.

Justification for Continuation Without Modification: To implement mandatory continuing education requirements, establish standards for acceptable education and approval of providers.

Legal Basis for Rule: Education Law sections 207 (not subdivided), 212 (3), 6504 (not subdivided), 6507(2)(a), and 8412, Chapter 486 of the Laws of 2013, and Chapter 15 of the Laws of 2014.

Assessment of Public Comment: No public comment received.

Section 61.7 Dental Hygienists

Description of Rule: Licensing examination requirements for dental hygienists.

Justification for Continuation Without Modification: To address a name-change by the testing agency for Part II of the licensing exam and to remove remedial education requirements.

Legal Basis for Rule: Education Law sections 207 (not subdivided), 6504 (not subdivided), 6507(2)(a), 6606(1) and (2), 6608 (not subdivided), and 6609(4).

Assessment of Public Comment: No public comment received.

63.10 Pharmacists Collaborative Drug Therapy Management

Description of Rule: Extension and expansion of the Collaborative Drug Therapy Management (CDTM) demonstration program for pharmacists.

Justification for Continuation Without Modification: To implement Chapter 238 of the Laws of 2015 to extend and expand the CDTM program for pharmacists.

Legal Basis for Rule: Education Law §§ 207(not subdivided), 6504(not subdivided), 6507(2)(a), and 6801-a of the Education Law, Chapter 238 of the Laws of 2015 and Chapter 21 of the Laws of 2011.

Assessment of Public Comment: No public comment received.

64.7 TB Test Administration

Description of Rule: Execution by registered professional nurses of non-patient specific orders to administer tuberculosis tests.

Justification for Continuation Without Modification: To authorize the administration of other tests to detect/screen for tuberculosis in addition to purified protein derivative (PPD) tests.

Legal Basis for Rule: Education Law §§ 207(not subdivided), 6504(not subdivided), 6507(2)(a), 6527(6)(c), 6902(1), 6909(4)(c), and Chapter 464 of the Laws of 2015.

Assessment of Public Comment: No public comment received.

Section 71.3 Certified Shorthand Reporting Exam

Description of Rule: Licensing examination requirements for certified shorthand reporters.

Justification for Continuation Without Modification: To permit the Department to accept a passing score on an exam determined by the State Board to be acceptable for licensure.

Legal Basis for Rule: Education Law §§ 207(not subdivided), 6504(not subdivided), 6507(2)(a), 7501(not subdivided), and 7504(1).

Assessment of Public Comment: No public comment received.

Sections 29.3 and 52.46 and Part 68 Geology

Description of Rule: Licensure of Professional Geologists and Continuing Education for Land Surveyors.

Justification for Continuation Without Modification: To establish the new profession of geology including licensure requirements, and to extend continuing education for land surveyors.

Legal Basis for Rule: Education Law §§ 207(not subdivided), 6504(not subdivided), 6507(2)(a), 6509(9), 7200(not subdivided), 7204-a(not subdivided), 7204-b(not subdivided), 7206-b, 7205(not subdivided), 7207, 7208-a, 7209(1), (2) and (4), and Chapters 61 and 475 of the Laws of 2014 and Chapter 9 of the Laws of 2015.

Assessment of Public Comment: No public comment received.

Part 76 Occupational Therapy Assistants

Description of Rule: Licensure of Occupational Therapy Assistants (OTAs).

Justification for Continuation Without Modification: To define the practice of OTAs, establish requirements for licensure, and alter the composition of the State Board.

Legal Basis for Rule: Education Law §§ 207(not subdivided), 6504(not subdivided), 6507(2)(a), 7902-a, 7903, 7904-a, 7905(2), 7906(4), 7907 and Chapter 470 of the Laws of 2015.

Assessment of Public Comment: No public comment received.

Section 17.5(b) Pharmacy Disciplinary Consents

Description of Rule: Regulation of consent orders in disciplinary proceedings in the professions.

Justification for Continuation Without Modification: To remove the requirement that the State Board of Pharmacy Executive Secretary agree to consent orders for pharmacists/pharmacies.

Legal Basis for Rule: Education Law §§ 207(not subdivided), 6504(not subdivided), 6507(2)(a), 6509(9), 6510, and 6511(not subdivided).

Assessment of Public Comment: No public comment received. Sections 29.2, 52.47, and 79-19 Perfusionists

Description of Rule: Licensure of Perfusionists.

Justification for Continuation Without Modification: To establish licensure requirements for perfusionists, including education, experience, and examination.

Legal Basis for Rule: Education Law §§ 207(not subdivided), 212, 6504(not subdivided), 6507(2)(a), 6509(9), 6630, 6631, 6632, 6633, 6634, 6635, 6636 and Chapter 409 of the Laws of 2013.

Assessment of Public Comment: No public comment received.

D. CALENDAR YEAR 2013 (3 YEAR INITIAL 5 YEAR SUBSEQUENT REVIEW)

OFFICE OF P-12 EDUCATION

Section 100.8 Local Diploma Based on Experimental Programs

Description of Rule: Local high school equivalency diplomas based upon experimental programs.

Justification for Continuation Without Modification: To extend until 6/30/15 the provision for awarding local high school equivalency diplomas based upon experimental programs. This provision was subsequently extended until 8/31/16. The Department is considering repealing this section as schools may no longer offer local high school equivalency diplomas on and after 8/31/16.

Legal Basis for Rule: Education Law sections 101(not subdivided), 207(not subdivided, 208(not subdivided), 209(not subdivided), 305(1) and (2), 309(not subdivided) and 3204(3).

Assessment of Public Comment: No public comment received.

Sections 100.5(b)(7)(i), 100.6 200.5(a)(5)(iii) Career Development and Occupational Studies Credentials

Description of Rule: New York State Career Development and Occupational Studies Commencement Credential.

Justification for Continuation Without Modification: To establish criteria for award of the Credential to students with disabilities.

Legal Basis for Rule: Education Law sections 101(not subdivided), 207(not subdivided), 208(not subdivided), 305(1) and (2), 4402(1 - 7) and 4403(3).

Assessment of Public Comment: No public comment received.

Section 119.7 Charter School Charter Renewals

Description of Rule: Charter school charter renewals.

Justification for Continuation Without Modification: To clarify standards for charter renewals of charter schools for which the Board of Regents is the authorizing entity.

Legal Basis for Rule: Education Law sections 101(not subdivided), 207(not subdivided), 305(1), (2) and (20), 2851(4) and 2852(1), (2), (3), (5), (5-a), (5-b) and (6) and 2857(1).

Assessment of Public Comment: No public comment received.

Section 100.2(ee)(2) Academic Intervention Services (AIS)

Description of Rule: Academic Intervention Services (AIS).

Justification for Continuation Without Modification: To establish modified requirements for AIS during the 2013-2014 school year. This rule has subsequently been amended to provide requirements for AIS during the 2016-17, 2017-18, and 2018-19 school years. Since these provisions apply to prior school years, the Department is considering repealing this provision.

Legal Basis for Rule: Education Law sections 101(not subdivided), 207(not subdivided), 305(1) and (2), 308(not subdivided), 309(not subdivided) and 3204(3).

Assessment of Public Comment: No public comment received.

Section 135.4(c)(7)(ii)(d) Duration of Competition in High School Athletics

Description of Rule: Duration of competition in high school athletics.

Justification for Continuation Without Modification: To eliminate the one additional season limit on waivers for students with disabilities to participate in athletic competition.

Legal Basis for Rule: Education Law sections 101(not subdivided), 207(not subdivided), 305(1) (2), 803(not subdivided), and 3204(2) (3).

Assessment of Public Comment: No public comment received. OFFICE OF HIGHER EDUCATION

Sections 80-3.3, 80-3.4, 80-3.10, 80-5.13, 80-5.15 and 80-5.20(a)(1)(v) Teacher and School District Leader Certification Examinations

Description of Rule: Teacher and school district leader certification examinations.

Justification for Continuation Without Modification: To adopt technical changes to the certification examination requirements for certain teachers and school district leaders.

Legal Basis for Rule: Education Law sections 207, 305(1), 3001(2), 3006(1)(b) and 3009(1).

Assessment of Public Comment: No public comment received.

Sections 80-1.2(b), 80-1.6 and 80-2.1(a)(2)(k) and (ii) Definition of Date of Issuance of Certificates and Expiration of Certain Permanent Certificates from Expired Provisionals

Description of Rule: Definition of date of issuance of certificates and expiration of certain permanent certificates from expired provisional certificates.

Justification for Continuation Without Modification: To amend the definition of effective date of a certificate to allow persons to be employed in their certificate area on the date their certificate is issued, rather than the February 1 or September 1 following the issuance date of their certificates. The proposed amendment also establishes an expiration date of October 1, 2014, for the issuance of a permanent certificate in the classroom teaching service or school administrator and supervisory service for candidates with an expired provisional certificate.

Legal Basis for Rule: Education Law sections 207 (not subdivided), 305(1), 3001(2), 3006(1)(b) and 3009(1).

Assessment of Public Comment: No public comment received.

OFFICE OF THE PROFESSIONS

Sections 63.11 and 63.12 Interpretations and Translations for Prescription Drugs, Standardized Labeling and Patient-Centered Data Elements for Medications

Description of Rule: Interpretations and translation for prescription drugs, standardized labeling patient-centered data elements for medications.

Justification for Continuation Without Modification: To implement Part V of Chapter 57 of the Laws of 2012.

Legal Basis for Rule: Sections 207(not subdivided), 6504(not subdivided), 6507(2)(a), 6829(1), (6), (7) and 6830 (1) of the Education Law, and Part V of Chapter 57 of the Laws of 2012.

Assessment of Public Comment: No public comment received.

E. CALENDAR YEAR 2011 (5 YEAR REVIEW)

OFFICE OF P-12 EDUCATION

Section 100.2(ee)(2) Technical Amendment

Description of Rule: The purpose of the rule is to add language that was inadvertently omitted in a previous rule making.

Justification for Continuation Without Modification: The rule is necessary because the rule concerning diploma credit for languages other than English (LOTE) and State assessments in Social Studies, that were adopted at the December 2010 Regents meeting (EDU-40-10-00022-A), inadvertently omitted language in section 100.2(ee)(2) that was added in a prior, separate amendment concerning Academic Intervention Services, that was permanently adopted at the October 2010 Regents meeting (EDU-31-10-00004-A). The rule is necessary to clarify and resolve this inconsistency by including the omitted language.

Legal Basis for Rule: Education Law sections 101(not subdivided), 207(not subdivided), 305(1) and (2), 308(not subdivided), 309(not subdivided) and 3204(3).

Assessment of Public Comment: No public comment received.

Section 100.2(p)(14) Annual Measurable Objective

Description of Rule: Conforms the Commissioner's Regulations with recent amendments to New York State's accountability plan that reset New York's annual measurable objective (AMO) for grades 3-8 English language arts (ELA) and mathematics beginning in the 2010-11 school year, as prescribed in New York's approved accountability workbook.

Justification for Continuation Without Modification: On February 14, 2011, Dr. Thelma Melèndez de Santa Ana, the Assistant Secretary of the Office of Elementary and Secondary Education of the United States Department of Education (USDE), informed Commissioner Steiner that USDE had approved New York's request to amend its State accountability plan under Title I of the Elementary and Secondary Education Act of 1965 (ESEA), as amended by the No Child Left Behind Act (NCLB), to reset New York's AMO for grades 3-8 ELA and mathematics beginning in the 2010-11 school year, as prescribed in New York's approved accountability workbook. As a result, New York was approved to reset the AMO to a Performance Index of 122 for ELA and a Performance Index of 137 for mathematics for 2010-11, and with annual equal increments up to 200 by 2013-14, as prescribed in New York's approved accountability workbook.

In August 2010, the proficiency cut scores were raised on the grades 3-8 ELA and math assessments based on the analyses that associated college readiness with cut scores of between 75 and 85 on the Regents exams. Proficiency means students will be college ready if they are able to meet high school exit examination requirements and pass first year college courses in ELA and math without the need for remediation. The purpose of the rule is to conform the Commissioner's Regulations with New York State's approved amended accountability plan. Adoption of the rule is necessary for New York to smoothly transition to the higher achievement standards for grades 3-8 in ELA and mathematics.

While the Elementary and Secondary Education Act has been subsequently amended by the Every Student Succeeds Act or ESSA, and the Department has added section 100.21 to the Commissioner's regulations to implement the Department's ESSA accountability system, section 100.21 provides that the provisions of such section shall apply in lieu of the provisions of section 100.2(p)(1) through (11) and (14) through (16) during the period the Elementary and Secondary Education Act, as amended by ESSA is effective. Therefore, the amendments to section 100.2(p) of the Commissioner's regulations are still necessary.

Legal Basis for Rule: Education Law sections 101(not subdivided), 207(not subdivided), 210(not subdivided), 215(not subdivided), 305(1), (2) and (20), 309(not subdivided) and 3713(1) and (2).

Assessment of Public Comment: No public comment received.

Section 100.5(b)(7)(v) Regents Advanced Diploma Math Requirements

Description of Rule: Revises the mathematics requirements for earning a Regents diploma with advanced designation.

Justification for Continuation Without Modification: The rule is necessary to provide additional options for students to meet the Mathematics assessment requirements leading to a Regents Diploma with Advanced Designation.

Legal Basis for Rule: Education Law sections 101(not subdivided), 207(not subdivided), 208(not subdivided), 209(not subdivided), 305(1) and (2), 308(not subdivided), 309(not subdivided) and 3204.

Assessment of Public Comment: No public comment received.

Section 100.5(b)(7)(i) Local Diploma Appeals Process

Description of Rule: Clarifies an inconsistency in the Commissioner's Regulations relating to the award of Regents and local diplomas.

Justification for Continuation Without Modification: The rule is necessary to resolve an inconsistency in the Commissioner's Regulations relating to Regents and local diplomas. Sections 100.5(b)(7) and 100.5(d)(7) are inconsistent in that 100.5(b)(7) does not allow for local diplomas, beginning with students who enter grade 9 in 2008, but 100.5(d)(7) permits students who first enter grade nine in September 2005 or thereafter to earn a local diploma through an appeals process as specified in the regulation. The rule would resolve this inconsistency by amending 100.5(b)(7)(i) to clarify that the local diploma option under 100.5(d)(5) continues to be available under the appeals process for general education students.

Legal Basis for Rule: Education Law sections 101(not subdivided), 207(not subdivided), 208(not subdivided), 209(not subdivided), 210(not subdivided), 215(not subdivided), 305(1) and (2), and 309(not subdivided).

Assessment of Public Comment: No public comment received.

Section 100.5(d)(10) Online Blended Course Credit

Description of Rule: Establishes criteria for awarding credit towards a Regents diploma for online and online/classroom coursework.

Justification for Continuation Without Modification: The rule is necessary to establish criteria for awarding credit towards a Regents diploma for online and online/classroom coursework. Digital and Internet-connected technologies have increased access to a rich variety of educational opportunities, in the form of online content and instruction, for schools and students across New York State. These educational opportunities have resulted in several questions from school districts and educational organizations, and a need for the New York State Education Department (NYSED) to provide alternative pathways for school districts to provide students with opportunities to earn course credit through online instruction and content. A policy for awarding units of credit to students for their completion of online and blended courses is intended to provide guidance to public school districts and Boards of Cooperative Educational Services (BOCES) interested in offering new learning opportunities for students through online instruction. NYSED recognizes that concepts such as virtual learning, distance learning, online instruction, online courses, and online learning may have multiple meanings, which may evolve and change with the development of new technologies. This policy serves as an initial foundation for school districts and BOCES to develop. implement, and evaluate online and blended courses as alternative pathways for student completion of general education and diploma requirements.

Legal Basis for Rule: Education Law sections 101(not subdivided), 207(not subdivided), 208(not subdivided), 209(not subdivided), 305(1) and (2), 308(not subdivided), 309(not subdivided) and 3204(3).

Assessment of Public Comment: No public comment received.

Section 100.17 Distinguished Educator

Description of Rule: Prescribes requirements regarding appointment of distinguished educators to assist low-performing schools.

Justification for Continuation Without Modification: The rule is necessary to implement Education Law §§ 211-c and 211-b by

establishing criteria regarding the selection, roles, responsibilities, protocols and procedures, and expenses for distinguished educators.

Legal Basis for Rule: Education Law sections 207(not subdivided), 305(1), 211-b(1-5), 211-c(1-8) and section 1 of Part A of Chapter 57 of the Laws of 2007.

Assessment of Public Comment: No public comment received.

Section 119.5 Charter School Lottery

Description of Rule: Establishes procedures for the random selection process for Charter School student admissions required under Ed. L. 2854(2).

Justification for Continuation Without Modification: The rule is necessary to establish procedures for the conduct of the random selection process for charter school admissions required under Education Law section 2854(2), as amended by Chapter 101 of the Laws of 2010, and to ensure that the process is performed in a transparent and equitable manner, consistent with the requirements of the statute.

Legal Basis for Rule: Education Law section 101(not subdivided), 206(not subdivided), 207(not subdivided), 305(1), (2) and (20) and 2854(2) and Chapter 101 of the Laws of 2010.

Assessment of Public Comment: No public comment received.

Section 155.17 School Safety Plans

Description of Rule: Amends the content requirements of each district-wide school safety plan and building-level school safety plan to reflect current confidentiality requirements and concerns.

Justification for Continuation Without Modification: This rule is necessary to ensure that confidential information including the home telephone numbers of local education officials and the tactical strategies for responding to critical events such as building evacuation and sheltering are not disclosed to the public. The rule will also reinforce the strategies related to the public availability of strategic plans for responding to certain emergencies in schools, as well as the public availability of key information related to certain information about each educational agency located in the school district.

Legal Basis for Rule: Sections 207, 305, and 2801-a of the Education Law.

Assessment of Public Comment: No public comment received.

Section 156.3(b) and (h) MR School Transportation

Description of Rule: The purpose of the rule is to provide mandate relief through increased scheduling flexibility and by repealing certain monitoring/reporting requirements.

Justification for Continuation Without Modification: The rule is necessary to amend section 156.3(b)(5)(iii) to provide mandate relief to school districts and afford greater flexibility to school bus drivers to complete required semi-annual school bus driver safety training, by allowing the training to be scheduled coincidental with other professional development days scheduled during the year.

Legal Basis for Rule: Education Law sections 207(not subdivided), 305(1), (2) and (20), 3624(not subdivided) and 3637(1), (2) and (3).

Assessment of Public Comment: No public comment received.

Section 155.6 MR School Facilities Report Card

Description of Rule: The purpose of the rule is to repeal the requirement that school districts and BOCES prepare school facility report cards.

Justification for Continuation Without Modification: The rule is necessary to reduce costs and provide mandate relief to school districts and boards of cooperative educational services (BOCES), by repealing section 155.6 of the Commissioner's Regulations to eliminate a requirement that school districts and BOCES prepare a school facility report card for each occupied school building.

Legal Basis for Rule: Education Law sections 207(not subdivided), 305(1), (2) and (20), 409-d(1-3) and 409-e(1-4).

Assessment of Public Comment: No public comment received.

Section 200.1 and 200.4 "Intellectual Disability" Name Change

Description of Rule: Conforms the Commissioner's regulations to federal and State terminology changes for Special Education Programs and Services for Students with Disabilities.

Justification for Continuation Without Modification: The rule is

necessary to conform the Regulations of the Commissioner of Education to be consistent with a recent terminology change in federal law, by replacing the term "mental retardation" with "intellectual disability" in the Commissioner's Regulations.

The rule also makes technical revisions to replace the term "Commissioner of Mental Retardation and Developmental Disabilities" with "Commissioner of the Office for People With Developmental Disabilities" to conform to a recent State statutory change of name of the Office of Mental Retardation and Developmental Disabilities to the Office for People With Developmental Disabilities (OPWDD).

Legal Basis for Rule: Education Law sections 207, 305(1), (2) and (20), 4402 and 4403(3).

Assessment of Public Comment: No public comment received. Parts 275 and 276 Charter School Co-location Appeals

Description of Rule: Establishes special procedures for appeals re-

lating to New York City charter school location/co-location and building usage plans.

Justification for Continuation Without Modification: The amend-

ment is necessary to implement Chapter 101 of the Laws of 2010 by establishing procedures for expedited appeals relating to New York City charter school location/co-location and building usage plans brought pursuant to Education Law §§ 310 and 2853(3)(a-5).

Legal Basis for Rule: Education Law $\S\S 101, 207, 305(1)$ and (2), 310(1) and (7), 311 and 2853(3)(a-5) and $\S 15$ of Chapter 101 of the Laws of 2010.

Assessment of Public Comment: No public comment received.

OFFICE OF HIGHER EDUCATION

Section 27-1.1 HEOP

Description of Rule: The purpose of the rule is to update criteria for determining student economic eligibility for the Higher Education Opportunity Program.

Justification for Continuation Without Modification: The rule is needed in order to update criteria for determining student economic eligibility for the Higher Education Opportunity Program by: (1) taking into account inflationary conditions and changes in annual income; (2) accounting for New York State and local taxes and regional maintenance costs; (3) assuring consistency across the State-supported postsecondary opportunity programs; and (4) maintaining the continuing linkage of these eligibility criteria with federally approved methods of needs analysis.

The amendment updates the existing definition of "economically disadvantaged," which has become outdated because of inflationary factors. The rule is also needed to update the definition of an independent student, to be more consistent with the federal definition of independent student for purposes of the needs analysis for federal student financial aid programs.

 $Legal\ Basis\ for\ Rule:\ Education\ Law\ Sections\ 207\ and\ 6451(1).$

Assessment of Public Comment: No public comment received.

Subpart 30-1 Instructional Support Services

Description of Rule: Creates a new tenure area for teachers performing instruction support services in boards of cooperative educational services.

Justification for Continuation Without Modification: The rule is necessary to create new tenure areas for teachers performing instruction support services in boards of cooperative educational services.

Legal Basis for Rule: Section 207(not subdivided) of the Education Law.

Assessment of Public Comment: No public comment received. Section 52.21(b)(5) Teacher Prep Pilot Program (MAT Degree)

Description of Rule: Clinically rich graduate level teacher preparation program.

Justification for Continuation Without Modification: To amend the degree conferring requirements of the pilot program to grant program providers with flexibility to confer degrees.

Legal Basis for Rule: Education Laws Sections 207(not subdivided), 208(not subdivided), 210(not subdivided), 214(not subdivided), 216(not subdivided), 224(1), 305(1), (2) and (7), 3004(1) and 3006(1).

Assessment of Public Comment: No public comment received.

Section 52.21(c)(7) Principal Prep Pilot Program (Clinical Experience)

Description of Rule: Clinically rich graduate level principal preparation program.

Justification for Continuation Without Modification: To amend the clinical experience requirement to grant program providers the flexibility they need to be innovative.

Legal Basis for Rule: Education Law Sections 207, 208, 210, 214, 216, 224, 305(1), (2) and (7), 3004(1) and 3006(1).

Assessment of Public Comment: No public comment received.

Part 80-STEM Expedited Pathway

Description of Rule: Provides an expedited pathway for candidates with an advanced degree in STEM areas and postsecondary teaching experience.

Justification for Continuation Without Modification: The rule is necessary to establish a transitional G certificate to create a mechanism for schools to employ applicants with a graduate degree or higher in science. technology, engineering or mathematics, and two years of experience teaching at the college level in the same area as the certificate requested, or in a closely related field as determined by the Commissioner, to address demonstrated shortage areas in these subjects.

Legal Basis for Rule: Education Law 207(not subdivided), 305(1) and (2), 3001(2), 3004(1) and (6) and 3006(1)(b).

Assessment of Public Comment: No public comment received.

Section 80-1.6 Teacher Certification Extensions (Residency)

Description of Rule: Extends the time validity of provisional or initial teacher certificates for those who have met all requirements except citizenship.

Justification for Continuation Without Modification: The rule establishes a means for extending the time validity of Provisional or Initial certificates for those individuals who have met all academic, testing and experience requirements for Permanent or Professional certification, and are unable to receive such certification due to USCIS processing delays. The rule is needed to maintain the employment of experienced teachers and is in the interest of the New York State public schools and students.

Legal Basis for Rule: Education Law sections 207 (not subdivided), 305(1) and (2), 3001(2), 3004(1) and 3006(1).

Assessment of Public Comment: No public comment received.

Section 80-3.7 Individual Evaluation

Description of Rule: Extends the expiration date for applicants seeking certification in the classroom teaching service through the individual evaluation pathway.

Justification for Continuation Without Modification: The rule was necessary to extend the expiration date for applicants seeking certification through the individual evaluation pathway in all classroom titles except childhood education from February 1, 2012 to September 1, 2013, thus extending the time that the individual evaluation pathway remains available for these applicants. In 2003, the Board of Regents established requirements for teacher certification though the individual evaluation of candidates who have not completed registered teacher education programs. Under the individual evaluation pathway, candidates are required to submit evidence of course work and field experience to the State Education Department for evaluation and issuance of the certificate. The rule was therefore critical to facilitate the Department's continuing ability to certify a sufficient number of properly qualified candidates to fill vacant teaching positions in the State's public schools.

Legal Basis for Rule: Education Law Sections 207(not subdivided), 305(1), 3001(2), 3004(1), and 3006(1)(b) and (2).

Assessment of Public Comment: No public comment received.

Section 80-4.3 Teacher Certification Flexibility

Description of Rule: Provides teacher certification flexibility if it would provide for a more efficient operation of the school district or BOCES.

Justification for Continuation Without Modification: The rule is

necessary to provide teacher certification flexibility if it would provide for a more efficient operation of the school district or BOCES.

In 2010, the Board of Regents adopted an amendment to section 80-4.3 of the Commissioner's regulations to provide school districts and BOCES with flexibility in certification when there was a demonstrated immediate fiscal crisis and the certification flexibility would avoid a reduction in force. The amendment eliminates the requirement that districts or BOCES demonstrate an immediate fiscal crisis or a reduction in force. The employing entity would only need to demonstrate that the certification flexibility would provide for a more efficient operation of the school district or BOCES. The amendment also eliminated a sunset provision in the prior regulation.

Legal Basis for Rule: Education Law sections 207, 3001, 3004(1). Assessment of Public Comment: No public comment received.

Section 87.5 Employment Clearances Due Process Procedures

Description of Rule: Amends section 87.5(a)(5) of the Commissioner's Regulations to conform the Regulations of the Commissioner of Education to changes in the internal organization of the State Education Department by replacing references in the regulation to specific staff titles with the terms "designee of the Commissioner" or "Commissioner"s designee," and thereby provide flexibility in responding to future changes in the internal organization of the Department, and avoid the necessity of amending the regulation each time such changes occur.

Justification for Continuation Without Modification: The amendment is necessary to conform the Regulations of the Commissioner of Education to changes in the internal organization of the State Education Department. Under the prior Commissioner's Regulation [8 NYCRR section 87.5(a)(5)], Department determinations denying clearance for employment to prospective school employees and certification applicants may be appealed to the Assistant Commissioner of the Office of Teaching Initiatives (or, in one instance, to the executive director of such Office). The rule will replace references to the specific staff titles with the terms "designee of the Commissioner" or "Commissioner's designee." The rule thereby provides flexibility in responding to future changes in the internal organization of the Department and avoids the necessity of amending the regulation each time such changes occur.

Legal Basis for Rule: Education Law sections 207(not subdivided), 305(1), (2) and (30), and 3035(3).

Assessment of Public Comment: No public comment received. Section 100.2, Subpart 30-2 Teacher and Principal Evaluations

Description of Rule: Establishes requirements for conducting annual professional performance reviews of classroom teachers and building principals pursuant to Education Law section 3012-c.

Justification for Continuation Without Modification: The rule is necessary to establish requirements for conducting annual professional performance reviews of classroom teachers and building principals. Education Law section 3012-c establishes a comprehensive evaluation system for classroom teachers and building principals. Although a new Education Law section 3012-d has been enacted to establish a revised comprehensive evaluation system for classroom teachers and building principals effective with the 2015-2016 school year, many school districts and BOCES are continuing to conduct annual professional performance reviews pursuant to Education Law section 3012-c while they complete the collective negotiations needed to implement section 3012-d. Therefore, the provisions of Subpart 30-2 continue to be needed.

Legal Basis for Rule: Education Law sections 101(not subdivided), 207(not subdivided, 215(not subdivided), 305(1) and (2), and 3012-c(1) - (8), as added by Chapter 103 of the Laws of 2010.

Assessment of Public Comment: No public comment received. OFFICE OF THE PROFESSIONS

Sections 3.2, 60.2 Oversight Committee for Clinical Clerkships

Description of Rule: Establishes an Advisory Committee on Long-Term Clinical Clerkships to recommend standards for placement of students into long-term clinical clerkships in New York.

Justification for Continuation Without Modification: The rule is

necessary to establish an Advisory Committee that would provide advice on matters related to the evaluation and approval of dual-campus international medical schools seeking authorization to place students in long-term clinical clerkships in New York State.

Legal Basis for Rule: Education Law sections 207(not subdivided), 6506(4), 6507(2) and (4) and 6508(1).

Assessment of Public Comment: No public comment received.

Section 29.7 Customized Packaging of Prescription Drugs

Description of Rule: Authorizes pharmacists to repackage drugs in customized patient packaging provided that certain requirements are met.

Justification for Continuation Without Modification: The rule is necessary to authorize medications to be repackaged in customized patient medication packages, with the consent of the patient, the patient's caregiver, or the prescriber. The rule would encourage patient compliance with complex medication protocols.

Legal Basis for Rule: Education Law sections 207, 6504, 6506(1), 6508(1), 6509(9) and 6510(1).

Assessment of Public Comment: No public comment received.

Sections 29.10, 70.7, 70.8 CPA Practice Privilege

Description of Rule: Implements Chapter 456 of the Laws of 2011 which establishes a practice privilege provision to permit practice in New York by certain CPAs licensed in other states.

Justification for Continuation Without Modification: The rule is needed to implement Chapter 456 of the Laws of 2011. The new law repeals a statutory provision which enabled certain certified public accountants (CPAs) licensed in states other than New York to provide attest and compilation services in this state on a temporary and limited basis. It also repeals a provision which authorized certain out-of-state CPAs to provide non-attest services in New York. In lieu of these provisions, Chapter 456 establishes a practice privilege provision to permit practice in New York by certain CPAs licensed in other states.

Legal Basis for Rule: Education Law sections 207 (not subdivided), 6504 (not subdivided), 6506(1), 6507(2)(a), Chapter 456 of the Laws of 2011.

Assessment of Public Comment: No public comment received. Sections 29.19 79-5 Midwifery

Description of Rule: Removes unnecessary provisions and conforms the practice of midwifery to current law.

Justification for Continuation Without Modification: The rule is necessary to conform the Commissioner's regulations with current statute, and to update educational requirements for licensure as a midwife, in response to increasingly independent practice by licensed midwives.

Legal Basis for Rule: Education Law sections 207 (not subdivided), 6504 (not subdivided), 6507(2)(a), 6508(1) and 6509(9).

Assessment of Public Comment: No public comment received.

Section 59.14 Mental Health Corporate Practice Waivers

Description of Rule: Waiver of corporate professional practice restrictions for certain Mental Health professions to conform Commissioner's Regulations to Education Law section 6503-a, as amended by Ch. 187, L. 2011.

Justification for Continuation Without Modification: Chapter 130 of the Laws of 2010 added a new Education Law section 6503-a, which authorizes the Department to issue waivers of the corporate professional practice restrictions to certain not-for-profit or educational corporations that were in existence on the effective date of the law and that apply for the waiver by a specified deadline. Chapter 187 of the Laws of 2011 amended Education Law section 6503-a to extend the time during which waiver applications can be submitted until February 1, 2012. The corporation could continue to provide services until the application is approved or denied, but if an application was denied by the Department, the entity had to cease providing professional services in New York.

The amendment of section 59.14 of the Commissioner's regulations was necessary to conform the Commissioner's regulations to Education Law section 6503-a, as amended by Chapter 187 of the Laws of

2011. Consistent with the statute, the amendment merely extended to February 1, 2012, the date by which certain not-for-profit corporations and education corporations must apply for a waiver from corporate professional practice restrictions in the Education Law.

Legal Basis for Rule: Education Law sections 207(not subdivided), 6503-a(1)(a) and (c), 6504(not subdivided), 6507(2)(a), and Chapter 187 of the Laws of 2011.

Assessment of Public Comment: No public comment received.

Section 62.8 Veterinarian and Veterinary Technicians Continuing

Description of Rule: Implements statutory authority requiring continuing education for licensed veterinarians and veterinary technicians

Justification for Continuation Without Modification: The rule implements sections 6704-a and 6711-b of the Education Law, enacted by Chapter 328 of the Laws of 2010, which established mandatory continuing education requirements for veterinarians and veterinary technicians licensed in this State. The rule is necessary to implement these statutorily mandated continuing education requirements.

Legal Basis for Rule: Education Law sections 207, 6504, 6506, 6507(2)(a),6704-a, and 6711-b, and Chapter 328 of the Laws of 2010.

Assessment of Public Comment: No public comment received.

Sections 63.7 63.10 Collaborative Drug Therapy Management

Description of Rule: The purpose of the rule is to establish requirements to implement the Collaborative Drug Management Therapy Demonstration Program.

Justification for Continuation Without Modification: The rule is necessary to implement Chapter 21 of the Laws of 2011, which established the Collaborative Drug Therapy Management (CDTM) Demonstration Program, which continues to operate.

Legal Basis for Rule: Education Law sections 207(not subdivided), 6801(1-a), 6507, 6801-a(1-6) and 6827(2) of the Education Law and Chapter 21 of the Laws of 2011.

Assessment of Public Comment: No public comment received.

Sections 68.11 and 68.12 Engineering continuing education

Description of Rule: Requires mandatory continuing education in ethics for Engineers and Land Surveyors.

Justification for Continuation Without Modification: The amendments to sections 68.11(c)(1)(i) and 68.12(c)(1)(i) of the Regulations of the Commissioner are necessary to require mandatory continuing education in ethics for Engineers and Land Surveyors. This rule more closely aligns the New York State requirements for mandatory continuing education with current national standards established by the National Council of Examiners for Engineering and Surveying.

Legal Basis for Rule: Education Law Sections 207 (not subdivided), 6504 (not subdivided), 6507 (2)(a), 7211(4) and 7212(4).

Assessment of Public Comment: No public comment received.

Section 70.9(b)(5) Public Accountancy Continuing Education

Description of Rule: Requires the completion of continuing education requirements in ethics for Certified Public Accountants (CPA's) and Public Accountants (PA's) to be calculated on a calendar year basis

Justification for Continuation Without Modification: The current ethics continuing education requirement is aligned with the licensees' month of birth thereby creating thirty-six separate reporting periods over the three-year registration period. The rule is needed to align the ethics continuing education requirement with the calendar year reporting requirement contained in § 7409 of the Education Law, as amended by chapter 651 of the Laws of 2008.

Legal Basis for Rule: Education Law Sections 207 (not subdivided), 6501 (not subdivided), 6502 (not subdivided), 6504 (not subdivided), 6507 (not subdivided), 6508 (not subdivided) and 7409 (not subdivided).

Assessment of Public Comment: No public comment received.

Section 78.5 Massage Therapy

Description of Rule: Implements statutory authority requiring continuing education for licensed massage therapists.

Justification for Continuation Without Modification: The rule is necessary to implement section 7807 of the Education Law, enacted by Chapter 463 of the Laws of 2010, which prescribes mandatory continuing education requirements for individuals licensed in the practice of massage therapy. The rule was necessary to implement these statutorily mandated continuing education requirements.

Legal Basis for Rule: Sections 207 (not subdivided), 6504 (not subdivided), 6507(2)(a) and 7807(2) of the Education Law, and section 2 of Chapter 463 of the Laws of 2010.

Assessment of Public Comment: No public comment received.

OFFICE OF CULTURAL EDUCATION

Section 3.27 Deaccessioning

Description of Rule: Clarifies restrictions on the deaccessioning of items and materials in collections held by museums and historical societies.

Justification for Continuation Without Modification: The rule is necessary to implement Regents policy to protect the public's interest in collections held by chartered museums and historical societies. Specifically, the amendment clarifies restrictions on the deaccessioning of items and materials in an institution's collections, consistent with generally accepted professional and ethical standards within the museum and historical society communities.

Legal Basis for Rule: Education Law sections 101(not subdivided), 207(not subdivided), 215(not subdivided), 216(not subdivided) and 217(not subdivided).

Assessment of Public Comment: No public comment received.

OFFICE OF ADULT CAREER AND CONTINUING EDUCATION SERVICES (ACCES)

Section 100.8 Local High School Equivalency Diploma Experimental Program

Description of Rule: Extends until June 30, 2012 the provision for awarding local high school equivalency diplomas based upon experimental programs.

Justification for Continuation Without Modification: The rule was necessary to implement Regents policy to extend for one year the provision in section 100.8 of the Commissioner's Regulations that allows boards of education and BOCES to award local high school equivalency diplomas based upon experimental programs approved by the Commissioner. This rule was subsequently amended to extend the provision for awarding local high school equivalency diplomas based upon experimental programs until 8/31/16. The Department is considering repealing this section as schools may no longer offer local high school equivalency diplomas on and after 8/31/16.

Legal Basis for Rule: Education Law sections 101(not subdivided), 207(not subdivided, 208(not subdivided), 209(not subdivided), 305(1) and (2), 309(not subdivided) and 3204(3).

Assessment of Public Comment: No public comment received.

OFFICE OF MANAGEMENT SERVICES

Section 3.8 Executive Deputy Commissioner

Description of Rule: Designates the Executive Deputy Commissioner as Deputy Commissioner of Education pursuant to Education Law section 101.

Justification for Continuation Without Modification: The rule is necessary to conform the Rules of the Board of Regents to changes made in the internal organization of the State Education Department, relating to the designation of the Executive Deputy Commissioner as the deputy commissioner of education specified in Education Law section 101, who shall exercise the duties of the Commissioner of Education in his absence or disability, or when a vacancy exists in the office of Commissioner.

Legal Basis for Rule: Education Law section 101(not subdivided).

Assessment of Public Comment: No public comment received.

F. CALENDAR YEAR 2006

OFFICE OF P-12 EDUCATION

Sections 170.12, 170.2 and 170.3 of the Commissioner's regulations, regarding school district financial accountability

Description of rule: Establishes criteria for claims auditor, financial

training for school district officers, internal audit function, request for proposals (RFP) process for contracting for annual audit, audit committees and annual audits.

Justification for Continuation Without Modification: The rule is needed to implement Chapter 263 of the Laws of 2005. The rule establishes systems and processes that provide for transparency and accountability in the conduct of district business, strengthens oversight, and increases accountability.

Legal basis for rule: Education Law sections 207(not subdivided), 215(not subdivided), 305(1) and (2), 1604(35), 1709(20-a), 1711(2)(e), 1950(4)(k), 2102-a(1) through (4), 2116-a(3), 2116-b(1) through (7), 2116-c(1) through (9), 2117(1), 2503(5), 2508(5), 2509(4), 2523(2), 2524(1), 2525(1) and (2), 2526(1), (1-a) and (2), 2527(not subdivided), 2554(2-a), 2562(2), 2566(6), 2573(4), 2576(1)(a), 2580(2) and 3713(1) and (2), and Chapter 263 of the Laws of 2005.

Assessment of Public Comment: No public comment received.

Section 175.5 of the Commissioner's Regulations, regarding length of school day

Description of rule: Amends section 175.5(b) of the Commissioner's Regulations to provide that the minimum daily sessions lengths set forth in section 175.5(a), for purposes of determining State aid, shall not apply to school days during which Regents examinations have been scheduled.

Justification for Continuation Without Modification: Pursuant to section 175.5(a) of the Commissioner's Regulations, for a school day to be counted for State aid purposes, students in Grades 7 through 12 must attend school for a minimum of five and one-half hours. Subdivision (b) of section 175.5 allows an exception to this rule where a school day of less than five and one-half hours is conducted because of certain circumstances specified in the subdivision. The proposed amendment to section 175.5(b) would add the scheduling of Regents examinations to the list of permissible circumstances allowing an exception.

As a result, schools would be able to count for State aid purposes a school day that includes a half-day Regents Examination session. In addition, the Department would be able to provide school districts and boards of cooperative educational services (BOCES) with a more flexible Regents examination schedule that minimizes the number of instances in which general education students and students with disabilities might be expected to take two examinations required for a diploma on the same day.

Legal basis for rule: Education Law sections 207(not subdivided) and 3602(1)(d).

Assessment of Public Comment: No public comment received.

Section 100.2(c) of the Commissioner's Regulations, regarding instruction in life safety

Description of rule: Establishes State learning requirements for injury prevention and life safety education.

Justification for Continuation Without Modification: To conform the Commissioner's Regulations to Chapter 242 of the Laws of 2005, by requiring the addition of a course of instruction in injury prevention and life safety education to existing curricula.

Legal basis for rule: Education Law sections 101 (not subdivided), 207 (not subdivided), 305(1) and (2), 308 (not subdivided), 309 (not subdivided), 808(1) and 3204(3).

Assessment of Public Comment: No public comment received.

Section 100.5 of the Commissioner's Regulations, regarding mathematics graduation and diploma requirements

Description of rule: Revises mathematics graduation and diploma requirements consistent with policy adopted by the New York State Board of Regents.

Justification for Continuation Without Modification: The rule is necessary to implement revisions to the commencement level mathematics graduation and diploma requirements to align with the revised high school performance indicators for the following three mathematics courses: Integrated Algebra, Geometry, and Algebra 2 and Trigonometry.

Legal basis for rule: Education Law sections 101(not subdivided), 207(not subdivided), 208(not subdivided), 209(not subdivided), 305(1) and (2), 308(not subdivided), 309(not subdivided) and 3204(3).

Assessment of Public Comment: No public comment received.

Sections 19.5, 200.1, 200.4, 200.7 and 200.22 of the Commissioner's Regulations, regarding aversive behavioral intervention

Description of rule: Establishes standards for behavioral interventions, including a prohibition on the use of aversive interventions; to provide for a child-specific exception to the prohibition on the use of aversive interventions; and to establish standards for programs using aversive interventions.

Justification for Continuation Without Modification: The rule is necessary to establish standards for behavioral interventions, including a prohibition on use of aversive behavioral interventions (ABIs); to provide for a child specific exception; and to establish standards for programs using ABIs. The rule ensures that ABIs are used only when necessary; in accordance with research-based practices; under conditions of minimal intensity and duration to accomplish their purpose; and in accordance with the highest standards of oversight and monitoring.

Legal basis for rule: Education Law sections 207(not subdivided), 210(not subdivided), 305(1), (2) and (20), 4401(2), 4402(1), 4403(3) and 4410(13).

Assessment of Public Comment: No public comment received.

Section 100.2(gg) of the Commissioner's Regulations, regarding uniform violent and disruptive incident reporting

Description of rule: Provides a ranking, standard for reporting, and more concise definition of reportable offenses as required by the uniform violent and disruptive incident reporting system for the reporting of incidents by school districts, BOCES, charter schools and county vocational education and extension boards, as required by Education Law section 2802, and to establish the use of a school violence index as a comparative measure of the level of school violence in a school.

Justification for Continuation Without Modification: The rule is necessary to provide a ranking, standard for reporting, and more concise definition of reportable offenses as required by the uniform violent and disruptive incident reporting system for the reporting of incidents by school districts, BOCES, charter schools and county vocational education and extension boards, as required by Education Law section 2802, and thereby assure to the extent practicable that the reports are uniform and comparable throughout the State with respect to the type of incidents reported and the actions taken in response to such incidents.

Legal basis for rule: Education Law sections 101(not subdivided), 207(not subdivided), 305(1) and (2), 2801(1) and 2802(2),(3),(4) and (6) and Chapter 402 of the Laws of 2005.

Assessment of Public Comment: No public comment received.

Section 100.2(p) of the Commissioner's Regulations, regarding school accountability

Description of rule: Conforms the Commissioner's Regulations with New York's approved NCLB accountability plan by: (1) modifying the School Performance Index to incorporate the results from New York's grade 3-8 assessment program in English language arts and mathematics; (2) revising the Annual Measurable Objectives in English language arts and mathematics to reflect the use of grade 3-8 test results; (3) combining the elementary and secondary science criteria into a single combined elementary-middle level science criterion; (4) revising the definition of the graduation cohort beginning with the 2003 graduation cohort to make schools accountable for students after they received five months of instruction in a school or district; (5) incorporating in the limited English proficient (LEP) subgroup students who had previously been considered LEP students during the prior one or two years in order to calculate Adequate Yearly Progress; (6) restricting the use of back mapping to schools serving exclusively students below grade three; (7) revising the timelines for schools and local educational agencies whose 2006-2007 accountability status is dependent on 2005-2006 grade 3-8 assessment results to take certain actions required of schools and local educational agencies identified as requiring academic progress or as in need of improvement; (8) indicating that the NYSESLAT will no longer be administered, in lieu of the required State assessment in English language arts, for accountability purposes beyond the 2005-2006 school year; and (9) restricting the use of the NYSESLAT, for participation rate purposes, to limited English proficient students who have attended school in the United States (not including Puerto Rico) for one year.

Justification for Continuation Without Modification: The rule establishes criteria and procedures to ensure State and local educational agency compliance with the provisions of the federal Elementary and Secondary Education Act, as amended by the No Child Left Behind Act of 2001 relating to academic standards and school/district accountability. While the Elementary and Secondary Education Act has been subsequently amended by the Every Student Succeeds Act or ESSA, and the Department has added section 100.21 to the Commissioner's regulations to implement the Department's ESSA accountability system, section 100.21 provides that the provisions of such section shall apply in lieu of the provisions of section 100.2(p)(1) through (11) and (14) through (16) during the period the Elementary and Secondary Education Act, as amended by ESSA is effective. Therefore, the amendments to section 100.2(p) of the Commissioner's regulations are still necessary.

Legal basis for rule: Education Law sections 101(not subdivided), 207(not subdivided), 210(not subdivided), 215(not subdivided), 305(1), (2) and (20), 309(not subdivided) and 3713(1) and (2).

Assessment of Public Comment: No public comment received.

OFFICE OF HIGHER EDUCATION

Section 80-1.7 of the Commissioner's Regulations, regarding renewal of provisional certificate

Description of rule: Restores the opportunity for candidates to renew expired provisional certificates in the pupil personnel service and in the title school administrator and supervisor (authorizing service as a school building level administrator) and establish requirements for the renewal of these certificates.

Justification for Continuation Without Modification: To provide individuals holding such expired provisional certificates a one-time opportunity to renew these certificates for a five-year term to enable them to meet the experience requirement for the permanent certificate. The opportunity to renew provisional certificates was removed effective February 2, 2004. The Department believes that this opportunity should be restored because otherwise these individuals have no way to qualify for employment in the public schools for the purpose of meeting the experience requirement for the permanent certificate.

The rule also addresses regional shortages of school principals and pupil personnel professionals by expanding the pool of qualified candidates for such positions.

Legal basis for rule: Education Law sections 207(not subdivided); 305(1) and (7); 3001(2); 3004(1); 3006(1)(b); and 3009(1).

Assessment of Public Comment: No public comment received.

Section 80-5.6 of the Commissioner's Regulations, regarding teaching assistants

Description of rule: Establishes requirements for the certification of teaching assistants for service in the State's public schools.

Justification for Continuation Without Modification: To extend the validity of the entry-level certificate for teaching assistants, the Level I teaching assistant certificate, from one to three years. The rule is needed to allow holders of the Level I teaching assistant additional time to meet the experience and education requirements for the level II teaching assistant certificate.

The rule also establishes a new requirement for the renewal of the level I certificate: the candidate must submit to the State Education Department adequate evidence substantiating that the candidate has a commitment for employment in a teaching assistant position under the level I teaching assistant certificate. These changes allow candidates who were unable to find employment during the first term of the level I certificate, or have decided to delay entry into this field, the opportunity to obtain employment and meet the experience requirement for the level II certificate. It also ensures that candidates for the renewed level I certificate will be on track for meeting the experience requirement for the level II certificate.

The rule is needed to strengthen the education requirement for the level II teaching assistant certificate. After February 1, 2007, the candidate must have completed a total of nine semester hours of collegiate study for this certificate, instead of the current requirement of six semester hours. Candidates will have sufficient time to complete the additional coursework because of the change in the duration of the level I certificate.

The rule also increases the validity period of the level II teaching assistant certificate from two to three years to give candidates additional time to earn the remaining semester hours of collegiate study required for the level III teaching assistant certificate.

Finally, the rule is needed to clarify that the education requirement for each certificate level may be met by completing collegiate coursework creditable to an associate degree, as well as the baccalaureate degree. This is needed because teaching assistants often attend two-year colleges, which do not offer baccalaureate study.

Legal basis for rule: Education Law sections 207(not subdivided); 305(1), (2), and (7); 3001(2); 3004(1); 3006(1)(b); and 3009(1) and (2).

Assessment of Public Comment: No public comment received.

Section 7.1 of the Regents Rules and sections 52.21, 80-2, 80-3 80-5 of the Commissioner's Regulations, regarding certification in educational leadership

Description of rule: Establishes requirements for the certification of school administrators for service in New York State public schools.

Justification for Continuation Without Modification: To strengthen requirements that candidates must meet to be certified as school building leaders, school district leaders, and school district business leaders for service in New York State public schools.

Legal basis for rule: Education Law sections 207(not subdivided); 210(not subdivided); 305(1), (2), and (7); 3001(2); 3003(1), (3), and (5); 3004(1); 3006(1)(b); 3007(2); 3009(1); and 3604(8).

Assessment of Public Comment: No public comment received.

Section 52.21 of the Commissioner's Regulations, regarding accreditation of teacher preparation programs

Description of rule: Defines limited conditions under which registered teacher education programs leading to certification in the classroom teaching service may receive from the State Education Department a deferral of the date by which they must be accredited.

Justification for Continuation Without Modification: To provide the Department with regulatory flexibility to accommodate sound teacher preparation programs that demonstrate the ability to earn accreditation within the short term. The amendment is intended to provide needed flexibility to permit programs to address deficiencies, thereby limiting disruptions to students while helping to ensure improvements in program quality.

Legal basis for rule: Education Law sections 207(not subdivided); 210(not subdivided); 215(not subdivided); 305(1) and (2); 3001(2); and 3004(1).

Assessment of Public Comment: No public comment received.

Sections 3.46 3.58 of the Regents Rules, regarding proprietary colleges

Description of rule: Establishes requirements that a for-profit institution must meet for Regents authorization to confer degrees and that a prospective owner of a proprietary college must meet to obtain Regents consent to the transfer of the degree-conferring authority of the institution, and to establish requirements for the revocation and surrender of degree-conferring authority at proprietary colleges.

Justification for Continuation Without Modification: To strengthen the Regents and State Education Department's oversight of proprietary colleges, thereby helping to ensure high standards of academic quality at these institutions. The rule is needed to establish a procedure by which the State Education Department and the Regents will monitor and assess the on-going capacity of the new degree-granting proprietary college, before it is granted permanent authority to confer degrees. The rule is also needed to establish circumstances and procedures under which the Board of Regents may revoke or limit the degree-conferring authority of a proprietary college and procedures

for the surrender of such degree-conferring authority. Finally, it is needed to establish institutional responsibilities upon the cessation of degree-granting authority.

Legal basis for rule: Education Law sections 207(not subdivided); 210(not subdivided); 215(not subdivided); 216(not subdivided); 218(1) and (2); 224(1)(a) and (b); and section 137 of Chapter 82 of the Laws of 1995.

Assessment of Public Comment: No public comment received. OFFICE OF THE PROFESSIONS

Sections 61.2 61.18 of the Commissioner's Regulations, regarding dental licensure

Description of rule: Establishes requirements relating to examination and residency programs for dental licensure.

Justification for Continuation Without Modification: To implement the requirements of Education Law section 6604(3) and (4) by requiring applicants for dental licensure to complete an accredited dental residency program and eliminating the option of their completing a clinical examination in dentistry instead of a residency program, effective January 1, 2007, to establish a definition of an acceptable national accrediting body for dental residency programs, and to add two specialties to the list of specialty residency programs that may be used to fulfill the residency program requirement for dental licensure.

Legal basis for rule: Sections 207(not subdivided); 6506(1); 6507(2)(a); 6601(not subdivided); 6604(3) and (4) of the Education Law; and Section (3) of Chapter 76 of the Laws of 2004.

Assessment of Public Comment: No public comment received.

Section 71.3 of the Commissioner's Regulations, regarding certified shorthand reporting

Description of rule: Makes a change in an examination requirement for licensure in certified shorthand reporting to partially eliminate the option that permits a candidate to transcribe shorthand notes in longhand during the examination, preserving the option only in the event the candidate's transcription equipment fails or malfunctions during the examination.

Justification for Continuation Without Modification: To align examination requirements with standard practice in this field, which requires certified shorthand reporters to produce transcripts of their shorthand notes using typewriters or other transcription equipment.

Legal basis for rule: Education Law sections 207(not subdivided); 6504 (not subdivided); 6506(1); 6507(2)(a) and (3)(a); and 7504(4).

Assessment of Public Comment: No public comment received.

Section 29.10 of the Regents Rules, regarding unprofessional conduct in accountancy

Description of rule: Revises the definition of unprofessional conduct in accountancy by updating the names of entities that promulgate generally accepted auditing standards and generally accepted accounting principles, establishing reporting requirements, and setting forth definitions of unprofessional conduct based upon actions of the United States Securities and Exchange Commission (SEC) or the Public Company Accounting Oversight Board (PCAOB).

Justification for Continuation Without Modification: To align the regulation of the public accountancy profession in New York State with Federal laws and regulations and contemporary professional practice.

Legal basis for rule: Education Law sections 207(not subdivided); 6502(1) and (3-a); 6504(not subdivided); 6506(1); 6509(9); 6510(8); and 7401 (not subdivided).

Assessment of Public Comment: No public comment received.

Sections 52.36, 52.37, 52.38, 79-13, 79-14 and 79.15 of the Commissioner's Regulations, regarding clinical laboratory technology practitioners

Description of rule: Adds new sections 52.36, 52.37, and 52.38, and new Subparts 79-13, 79-14, and 79-15, relating to licensure as a clinical laboratory technologist and as a cytotechnologist and certification as a clinical laboratory technician.

Justification for Continuation Without Modification: To implement the provisions of Article 165 of the Education Law by establishing requirements for licensure as a clinical laboratory technologist or cytotechnologist and for certification as a clinical laboratory technician, requirements for limited permits in these fields, and standards for registered college preparation programs for these professions.

Legal basis for rule: Education Law sections 207 (not subdivided); 210 (not subdivided); 212(3); 6501 (not subdivided); 6504(not subdivided); 6507(2)(a), (3)(a), and (4)(a); 6508(1); 8605(1)(b) and (c) and (2) (b) and (c); 8606(2) and (3); 8607(1) and (2); and 8608.

Assessment of Public Comment: No public comment received.

Section 64.4 of the Commissioner's Regulations, regarding nurse practitioner

Description of rule: Phases out alternative criteria for certification in an additional specialty area of practice and requires candidates to complete the standard requirements for certification, which include completion of a registered master's degree or advanced certificate program in the area of specialty, or its equivalent; or certification as a nurse practitioner in the specialty area by a national certifying body acceptable to the Department.

Justification for Continuation Without Modification: To close what was intended to be a limited window of opportunity for nurse practitioners to qualify for certification in additional specialty areas through completion of 60 hours of continuing education in the specialty area and 1,000 hours of clinical practice in the specialty. These requirements were designed primarily to provide a route to certification in another specialty area of practice for certified nurse practitioners who were employed in the specialty area of practice before the effective date of this licensed profession. The objective was to provide experienced nurse practitioners a route to certification in another specialty area without requiring them to return to college to complete a master's degree or advanced certificate program in the specialty area. The Department believes that this option is no longer needed and that this change will strengthen the educational preparation of certified nurse practitioners.

The rule also makes several minor technical changes in the regulation, correcting the terminology for the titles "physician assistant" and "midwife" and a lettering error.

Legal basis for rule: Education Law sections 207(not subdivided); 6504(not subdivided); 6507(2)(a) and (3)(a); 6902(3)(a); and 6910(1)(c) and (5).

Assessment of Public Comment: No public comment received.

Section 79-1.5 of the Commissioner's Regulations, regarding landscape architecture

Description of rule: Establishes continuing education requirements that licensed landscape architects must complete to be registered to practice this profession in New York State and requirements for the approval of sponsors of such continuing education.

Justification for Continuation Without Modification: To clarify and implement the requirements of Education Law section 7328, as added by Chapter 683 of the Laws of 2005. As required by statute, the proposed regulation is also needed to establish continuing education requirements when there is a lapse in practice, requirements for licensees under conditional registration, and standards for the approval of sponsors of continuing education to licensed landscape architects. In addition, the regulation is needed to establish a fee for the review by the State Education Department of sponsors of courses of learning or educational activities to defray the cost of such review.

Legal basis for rule: Education Law sections 207(not subdivided); 212(3); 6504(not subdivided); 6507(2)(a); and 7328(1), (2), (3), (4), (5), and (6).

Assessment of Public Comment: No public comment received.

Sections 52.13, 70.1 and 70.4 of the Commissioner's Regulations, regarding public accountancy education and endorsement

Description of rule: Revises requirements for college programs leading to licensure in public accountancy, makes changes in the education requirements that applicants for licensure as a certified public accountant must meet, and revises requirements for licensure in this field through the endorsement of an out-of-state license.

Justification for Continuation Without Modification: To delete a 60

semester-hour liberal arts and sciences requirement for such registered programs, and instead permit the registered programs to provided liberal arts and sciences coursework in accordance with the requirements of Regents Rules for the type of degree conferred. The Department believes that this change provides accountants with sufficient liberal and sciences preparation, and removes a barrier to licensure for certified public accountants who are licensed in other states and have completed out-of-state programs, most of which do not include a similar liberal arts and sciences coursework requirement

The rule also removes a requirement in the registered licensurequalifying programs for a course in quantitative measurements or methods. This specific requirement does not exist in the education requirements for licensure in most other states. As a result, this requirement has been a barrier to licensure in New York State. The State Board for Public Accountancy has approved this change.

The rule makes a clarifying change in the requirements specifying content requirements for registered 150-semester hour programs leading to licensure. The language clarifies that the subjects listed under each content area must be completed in curricular content, meaning that the subjects may be provided in individual courses or within the curricular content of several courses. This change is needed to ensure that colleges have the flexibility to structure their registered programs as they see fit, provided that the prescribed subject matter is covered.

The rule also permits an applicant to meet the education requirement for licensure by completing an accountancy program that is accredited by an acceptable accrediting agency. The regulation defines an acceptable accrediting agency as an agency that has accreditation standards that are substantially equivalent to the requirements in Commissioner's Regulations for registered programs leading to licensure, among other requirements. This change eases access to licensure in New York State for applicants who have completed out-of-state accredited programs, streamlines the licensure process, and expedites the processing of licensure applications. It saves staff time because the Department no longer must compare the course content of out-of-state programs to registered New York State programs, if the programs are accredited by an acceptable accrediting agency that the Department has already determined to have substantially equivalent standards to New York's.

The rule also changes requirements for the endorsement of an out-of-state license in this field. The rule changes the experience requirement for applicants who do not meet the regular education and/or experience requirement for licensure. It reduces from five years to four years in the preceding 10 years the number of years of professional experience that such an applicant for licensure through the endorsement of an out-of-state license must have. This is needed to ease access to licensure in New York State for experienced certified public accountants who are licensed in other jurisdictions. The change is consistent with the standard included in the Uniform Accountancy Act of the American Institute for Certified Public Accountants and the National Association of State Boards of Accountancy and with the experience requirement prescribed by many other jurisdictions for the endorsement of an out-of-state license.

Legal basis for rule: Education Law sections 207(not subdivided); 210(not subdivided, 6506(1) and (6); 6507(2)(a), (3)(a), and (4)(a); and 7404(1)(2) and (2).

Assessment of Public Comment: No public comment received.

Sections 29.17 and 77.9 of the Commissioner's Regulations, regarding physical therapy

Description of rule: Implements the requirements of section 6731(d) of the Education Law by defining the experience requirement that a licensed physical therapist must meet to provide treatment without a referral, clarifying the content of the notice of advice provided to a patient prior to treatment without a referral, and establishing a definition of unprofessional conduct relating to such practice.

The rule establishes requirements that licensed physical therapists must meet to provide treatment without a referral and to provide uniformity and consistency in the information that must be contained in the written notice provided to a patient. The rule also establishes an additional definition of unprofessional practice in the practice of physical therapy: failing to meet the requirements of subdivision (d) of

section 6731 of the Education Law and/or section 77.9 of the Commissioner's Regulations.

Justification for Continuation Without Modification: The rule is needed to implement the requirements of Education Law section 6731(d) by defining the experience requirement that a licensed physical therapist must meet to provide treatment without a referral, clarifying the content of the notice of advice provided to a patient prior to treatment without a referral, and establishing a definition of unprofessional conduct relating to such practice.

Legal basis for rule: Education Law sections 207(not subdivided); 6504(not subdivided), 6506(1), 6507(2)(a), 6509(9), and 6731(d).

Assessment of Public Comment: No public comment received.

OFFICE OF CULTURAL EDUCATION

Sections 185.5, 185.13 and 185.14 of the Commissioner's Regulations, regarding records retention

Description of rule: The rule revises schedules CO-2 and MI-1 since they were last issued in 2002. The major revisions in both schedules are new Community College sections appearing in each, authorizing the disposition of records held by New York's community colleges. In addition to updating the community college sections of the two schedules, other sections in Schedules CO-2 and MI-1 have also been updated based on changes to record keeping systems since the 2002 editions.

Justification for Modification: The Department has determined that this rule should be modified since, at the July 2020 meeting of the Board of Regents, the Board adopted a new records retention and disposition schedule, LGS-1 for use by cities, towns, villages, fire districts, counties, school districts, boards of cooperative educational services, teacher resource and computer training centers, county vocational education and extension boards, and other local governments. This schedule supersedes the CO-2 and MI-1 schedules referenced above.

Therefore, the Department has published a Notice of Proposed Rule Making in the December 1, 2021 State Register which, among other things, repeals these superseded record retention and disposition schedules, as well as additional superseded records retention and disposition schedules (MU-1 and ED-1), contained in sections 185.11, 185.12, 185.13, and 185.14 of the Regulations of the Commissioner of Education and appendices H, I, J, and K of Title 8 of the New York Code of Rules and Regulations.

The Department is proposing to modify this rule because of the LGS-1 records retention and disposition schedule has effectively rendered the superseded records retention and disposition schedules obsolete. Changes in technology, economic conditions, time required to comply, and/or other factors did not necessitate changes in the rule.

Legal basis for rule: Education Law sections 207 (not subdivided) and Arts and Cultural Affairs Law section 57.25(2).

Assessment of Public Comment: No public comment received.

Section 90.12 of the Commissioner's Regulations, regarding State aid for library construction $% \left(1\right) =\left(1\right) \left(1\right) \left$

Description of rule: Prescribes eligibility requirements and criteria for applications for state aid for library construction, and conforms the Commissioner's Regulations to changes to Education Law section 273-a.

Justification for Continuation Without Modification: To ensure that the Commissioner's Regulations follow changes to Education Law section 273-a. Chapter 572 of the Laws of 2003 amended section 273-a to change the funding year from one year to three years and the payment year from 'January 1 through December 31' to 'July 1 through June 30.' Section 4 of Part O of Chapter 57 of the Laws of 2005 amended section 273-a to change the payment schedule from a 50/40/10 percent basis to a 90/10 percent basis. The rule also permits libraries greater flexibility in applying for grant funds. Projects that are not completed but are more than 60 percent complete are now eligible for funding. Projects that will not be ready to start for up to 180 days are now eligible for funding, as opposed to 90 days previously.

Legal basis for rule: Education Law sections 207(not subdivided), 215(not subdivided) and 273-a(5) and section 1 of Chapter 53 of the Laws of 2006.

Assessment of Public Comment: No public comment received.
OFFICE OF OPERATIONS AND MANAGEMENT SERVICES

Section 3.2 of the Regents Rules, regarding Quality Committee name and responsibilities

Description of rule: Revised the provision on Regents standing committees to replace the Committee on Quality with a new Committee on Policy Integration and Innovation.

Justification for Continuation Without Modification: To conform the Regents Rules with changes to the committee structure of the Board of Regents.

Legal basis for rule: Education Law section 207(not subdivided).

Assessment of Public Comment: No public comment received.

G. CALENDAR YEAR 2001

OFFICE OF P-12 EDUCATION

Section 7.1 of the Regents Rules and section 135.4 of the Commissioner's Regulations, regarding professional coaching certificates

Description of Rule: Section 7.1 of the Regents Rules and section 135.4 of the Commissioner's Regulations establish a professional coaching certificate that is valid for three years to a candidate who has completed the first aid requirements as set forth in section 135.4 of the Commissioner's Regulations and three course requirements established for coaching by the State Education Department, and has a minimum of three years coaching experience in a specific sport in a New York State interschool athletic program. The professional coaching certificate may be renewed for an additional three-year period if the candidate meets the requirements of section 135.4 and has received a satisfactory evaluation by the principal or athletic director for each of the preceding three years that the candidate coached in the specific sport.

Justification for Continuation Without Modification: To comply with Regents policy. The rule provides flexibility to school districts to hire the most qualified candidates for interschool athletic coaching positions and to create a stable pool of qualified non-teacher coaching candidates to offset decreases in qualified certified teacher coaching candidates caused by teacher retirements.

Legal Basis for Rule: Education Law sections 101, 207, 212(3), 305(1) and (2), 803(5), 3006(1)(b) and (2)(a)(iii) and 3204(2).

Assessment of Public Comment: No public comment received. Part 57 and section 100.2(dd)(2) of the Commissioner's Regulations, regarding training in school violence prevention and intervention.

Description of Rule: Part 57 and section 100.2(dd)(2) of the Commissioner's Regulations establish standards for Department approval of providers of coursework or training in school violence prevention and intervention and require school districts and BOCES to include in their professional development plans provisions for training of employees holding a teaching certificate or license in the classroom teaching service, school service, or administrative and supervisory service in school violence prevention and intervention.

Justification for Continuation Without Modification: To comply with the Safe Schools Against Violence in Education Act signed into law in July 2000. The rule establishes standards for Department approval of providers of course work or training in school violence prevention and requires school districts to include such training in their professional development plans.

Legal Basis for Rule: Education Law sections 101, 207, 305(1) and (2) and 3004(3) and section 9 of Chapter 181 of the Laws of 2000.

Assessment of Public Comment: No public comment received.

Section 100.2(gg), (bb) and (cc) of the Commissioner's Regulations, regarding the Uniform Violent Incident Reporting System

Description of Rule: Section 100.2(gg), (bb) and (cc) of the Commissioner's Regulations establishes a Uniform Violent Incident Reporting System for the reporting of violent or disruptive incidents by school districts, BOCES and county vocational education and extension boards; prescribes the manner by which a summary of information provided in the annual report on violent and disruptive incidents submitted to the Commissioner concerning these incidents will be incorporated in school district and BOCES report cards; and provides for the confidentiality of all personally identifiable informa-

tion to ensure that any such information which is collected is used only for its intended purpose.

Justification for Continuation Without Modification: To implement Chapter 181 of the Laws of 2000 which requires the Commissioner of Education, in conjunction with the division of criminal justice services to establish a statewide uniform violent incident reporting system which public school districts, boards of cooperative educational services, and county vocational education and extension boards shall follow

Legal Basis for Rule: Education Law sections 101, 207, 305(1) and (2) and 2802(2), (3), (4) and (6) and section 5 of Chapter 181 of the Laws of 2000

Assessment of Public Comment: No public comment received.

Section 100.2(hh) of the Commissioner's Regulations, regarding reporting of Child Abuse in an educational setting

Description of Rule: Section 100.2(hh) of the Commissioner's Regulations requires school administrators and superintendents, upon receipt of a written report alleging that a child has been abused in an educational setting, to promptly provide the parent of the child with a written statement setting forth parental rights, responsibilities and procedures, and requires each school district and BOCES to establish and implement on an ongoing basis a training program regarding the procedures for reporting of child abuse in an educational setting for all current and new teachers, school nurses, school counselors, school psychologists, school social workers, school administrators, other personnel required to hold a teaching or administrative certificate or license, and school board members. Section 100.2(hh) of the Commissioner's Regulations was further modified to clarify that charter schools must also comply with these provisions.

Justification for Continuation Without Modification: The rule is necessary to implement Chapter 180 of the Laws of 2000.

Legal Basis for Rule: Education Law sections 101, 207, 305(1) and (2), 1125(6), 1128(1), (2) and (3), 1128-a(1) and (2), 1132(2) and 3028-b and sections 12 and 13 of Chapter 180 of the Laws of 2000.

Assessment of Public Comment: No public comment received.

Section 100.5 and 100.2 of the Commissioner's Regulations, regarding Career and Technical Education programs and high school diploma requirements

Description of Rule: Section 100.5 and 100.2 of the Commissioner's Regulations create a process of program approval for career and technical education programs that will allow flexibility in the attainment of graduation requirements; provide for a diploma with a technical endorsement to be awarded to students who successfully complete certain requirements, including an industry-developed technical assessment of skills in a specific technical field; and correct technical errors concerning the units of credit for mathematics to meet graduation requirements.

Justification for Continuation Without Modification: To implement Regents policy. The rule establishes criteria by which school districts and BOCES may operate career and technical education programs approved by the Commissioner and award high school diplomas to students who successfully complete such programs. Approved programs will provide students pursuing career and technical education programs with flexibility in attaining required units of credit for graduation and will provide for a technical endorsement on a Regents diploma, Regents diploma with advanced designation or a local diploma upon completion of an approved program. The rule is also necessary to correct certain technical errors concerning the units of credit requirement for mathematics and certain citation errors.

Legal Basis for Rule: Education Law sections 101, 207, 208, 209, 215, 305(1) and (2), 308, 309 and 3204(3).

Assessment of Public Comment: No public comment received.

Section 100.5(a)(5) and (b)(7) of the Commissioner's Regulations, regarding the State assessment system and diploma requirements for students with disabilities

Description of Rule: Section 100.5(a)(5) and (b)(7) of the Commissioner's Regulations extended for four years the existing provisions that permit students with disabilities who enter grade nine in or after September 2001 and prior to September 2005, and who fail one or

more of the Regents examinations in English, mathematics, United States history and government, global history and geography, and science required for high school graduation, to meet local diploma requirements by passing the respective Regents Competency Tests or their equivalent in these subject areas.

Justification for Continuation Without Modification: To implement Regents policy relating to State learning standards, State assessments and graduation and diploma requirements, to provide additional time to gather data on how students with disabilities are performing on required Regents examinations, including the effect of multiple tests, to increase the participation of students with disabilities in the general education curriculum, and to study the impact of academic intervention services for these students. The Board of Regents has since amended such section to extend the applicability to students entering grade 9 in or after September 2001 and prior to September 2011.

In July 2005, the Board of Regents adopted an amendment to section 100.5 to provide an additional safety net for all students with disabilities entering grade 9 in the 2005-06 school year, by allowing students with disabilities to meet local diploma requirements by achieving a passing score of 55-64 on the five required Regents examinations to meet local diploma requirements. In October 2016, the Board of Regents adopted an amendment to section 100.5 to provide an additional safety net for all students with disabilities entering grade 9 in 2005-06 school year and thereafter, by allowing students with disabilities to meet local diploma requirements by compensating a score of 45-54 on a Regents examination with a score of 65 or higher on another required Regents examination provided certain conditions are met

Legal Basis for Rule: Education Law sections 101, 207, 208, 209, 305(1) and (2), 308, 309, 3204(3) and 4403(3).

Assessment of Public Comment: No public comment received.

Section 104.1 of the Commissioner's Regulations, regarding pupil attendance recordkeeping

Description of Rule: Section 104.1 of the Commissioner's Regulations requires each school district, BOCES, charter school, and county vocational educational extension board to adopt a comprehensive attendance policy; keep records of each pupil's presence, absence, tardiness and early departure in a register of attendance; record attendance of students in non-departmentalized kindergarten through grade 8 once per school day; record attendance in each period of scheduled instruction of students in grades 9-12 or in departmentalized schools at any grade level; record absences as excussed or unexcused; establish local policy regarding student attendance and the awarding of course credit; annual review student attendance records and make revisions to the comprehensive attendance policy that are deemed necessary; and provide parents or persons in parental relation a summary of the attendance policy and each teacher with a copy of the attendance policy.

Justification for Continuation Without Modification: To implement Regents policy to ensure effective school attendance programs by requiring that schools collect data through accurate attendance recordkeeping, and analyze attendance data to identify individual and group patterns to provide programs and services that will assist each student to successfully meet higher academic standards.

Legal Basis for Rule: Education Law sections 101, 207, 305(1) and (2), 3024, 3205(1), (2) and (3), 3210(1) and (2) and 3211(1).

Assessment of Public Comment: No public comment received.

Section 155.22 of the Commissioner's Regulations, regarding Qualified Zone Academy Bonds

Description of Rule: The rule establishes procedures for the reallocation of unused or unclaimed State limitation amount allocations of Qualified Zone Academy Bonds (QZABs).

Justification for Continuation Without Modification: The rule is needed to establish a method for the Commissioner to reallocate any unused or unclaimed amounts of the State limitation amount for the issuance of QZABs so that such amounts may be applied towards qualified projects who otherwise would not be eligible to receive them. The federal Tax Cuts and Jobs Act (Pub. L. No. 115-97 (2017)) repealed the authority to issue tax credit bonds, including Qualified Zone Academy Bonds, after December 31, 2017, therefore the Department is considering repealing this rule.

Legal Basis for Rule: Education Law sections 101, 207, 305(1) and (2) and 26 USC section 1397E.

Assessment of Public Comment: No public comment received.

Section 155.23 of the Commissioner's Regulations, regarding multi-year cost allowance for school district building aid

Description of Rule: Section 155.23 of the Commissioner's Regulations establishes the methodology school districts and BOCES must apply when establishing a multi-year cost allowance for computation of building aid and the procedures to appeal the determination.

Justification for Continuation Without Modification: To comply with chapter 60 of the Laws of 2000, which requires the Commissioner to promulgate regulations prescribing the methodology for establishing a multi-year cost allowance for the purpose of computation of building aid to school districts and to establish procedures for school districts to appeal a determination that a building has not been adequately maintained.

Legal Basis for Rule: Education Law sections 207 and 3602(6) and section 5 of Part A of Chapter 60 of the Laws of 2000.

Assessment of Public Comment: No public comment received.

Section 155.24 of the Commissioner's Regulations, regarding school pesticide neighbor notification

Description of Rule: Section 155.24 of the Commissioner's Regulations requires public school districts, nonpublic elementary and secondary schools, and BOCES to establish a pesticide notification procedure to provide information to staff who regularly work at school facilities, and to persons in parental relation to children regularly receiving instruction at school facilities, to inform them that pesticide products may be used periodically throughout the school year and how to register to receive 48-hour advance notification of certain applications. The rule also establishes a procedure for individuals to notify the State Education Department of any school's failure to comply with these requirements and authorizes the Commissioner to withhold State aid if schools fail to adopt notification procedures or otherwise fail to implement these requirements.

Justification for Continuation Without Modification: The rule is necessary to implement Education Law section 409-h, as added by Chapter 285 of the Laws of 2000, by establishing the process by which the statue is implemented, including provisions relating to State notification and withholding of State aid with respect to a school's noncompliance with the statute.

Legal Basis for Rule: Education Law sections 101, 207, 305(1) and (2), 409(1) and 409-h(1) - (3) and section 6 of Chapter 285 of the Laws of 2000.

Assessment of Public Comment: No public comment received.

Sections 168.1, 168.2 and 168.6 of the Commissioner's Regulations, regarding Employment Preparation Education programs

Description of Rule: Sections 168.1, 168.2 and 168.6 of the Commissioner's Regulations establish criteria by which failure to demonstrate basic educational competencies is to be determined for the purpose of determining Employment Preparation Education Aid to enable school districts and BOCES to provide educational services to adults with limited basic skills who have previously been precluded for participating in the program.

Justification for Continuation Without Modification: The rule is necessary to comply with section 23 of Chapter 60 of the Laws of 2000, as amended by section 15 of Part A of Chapter 57 of the Laws of 2007.

Legal Basis for Rule: Education Law sections 101, 207 and 3602(11)(a-1).

Assessment of Public Comment: No public comment received.

Section 170.3(k) of the Commissioner's Regulations, regarding career education instructional equipment reserve fund

Description of Rule: Section 170.3(k) of the Commissioner's Regulations establishes procedures for the establishment, use, maintenance and liquidation of BOCES career education instructional equipment reserve funds.

Justification for Continuation Without Modification: The rule is necessary to be consistent with Education Law section 1950(4)(ee).

Legal Basis for Rule: Education Law sections 207 and 1950(4)(ee). Assessment of Public Comment: No public comment received.

Section 175.10 of the Commissioner's Regulations, regarding statute of limitations on State aid payments

Description of Rule: Section 175.10 of the Commissioner's Regulations changes the requirement for submitting claims for building aid so that it is consistent with other statute of limitation requirements for all State aid claims.

Justification for Continuation Without Modification: To eliminate an inconsistency for submission of State aid claims for building aid, by requiring more timely annual submissions, consistent with current statute of limitation requirements for other State aid claims.

Legal Basis for Rule: Education Law sections 207 and 3602(6).

Assessment of Public Comment: No public comment received.

Sections 200.1, 200.2, 200.5 and 200.21 of the Commissioner's Regulations, regarding the impartial hearing process for students with disabilities

Description of Rule: Establishes requirements relating to the impartial hearing process for students with disabilities, including the qualifications of impartial hearing officers, procedures for the appointment of an impartial hearing officer, procedures to conduct the hearing, data reporting requirements and procedures for the suspension or revocation of the impartial hearing officer determination.

Justification for Continuation Without Modification: To ensure that impartial hearings are conducted in a timely manner consistent with Federal requirements by individuals who have the necessary and appropriate procedural and content knowledge and background to conduct an impartial hearing related to special education.

Legal Basis for Rule: Education Law sections 101, 207, 4403(3), 4404(1) and 4410(13).

Assessment of Public Comment: No public comment received.

Sections 200.1-200.7, 200.13, 200.16, 201.7, 201.11, 276.1 and 100.6 of the Commissioner's Regulations, regarding conforming and technical amendments to implement IDEA

Description of Rule: The rule relates to definitions; board of education responsibilities; membership on Committees on Special Education; procedures for referral, evaluation, Individualized Education Program (IEP) development, placement and review; due process procedures; continuum of services; students with disabilities being educated in private schools and State operated or State supported schools; educational programs for students with autism; educational programs for preschool students with disabilities; general procedures for suspensions and removals of students with disabilities; expedited due process hearings; procedures for appeals to the State Review Office; rules of practice; and local certificates.

Justification for Continuation Without Modification: The rule is needed to conform the Commissioner's Regulations to the federal regulations implementing IDEA and strengthen the link between transition services and a student's movement from school to post-school activities and correct certain cross citations.

Legal Basis for Rule: Education Law sections 101, 207, 3214(3), 4403(3) and (20), 4404(1) and (2) and 4410(13).

Assessment of Public Comment: No public comment received.

Sections 200.2 and 200.5 of the Commissioner's Regulations, regarding procedures for appointment of impartial hearing officers

Description of Rule: The rule establishes the time period for the board of education to appoint the impartial hearing officer and to ensure the State Education Department has the data to monitor the initiation and completion of impartial hearings.

Justification for Continuation Without Modification: The rule is necessary to ensure the timely conduct of impartial hearings as required by the federal Individuals with Disabilities Education Act.

Legal Basis for Rule: Education Law sections 101, 207, 4403(3), 4404(1) and 4410(13).

Assessment of Public Comment: No public comment received.

Sections 200.4 and 200.7 of the Commissioner's Regulations, regarding technical amendments to conform to State and federal requirements

Description of Rule: Section 200.4(a) was amended to require that a referral include a written description of the interventions and strategies used to remediate the student's performance prior to referral. The rule also provides that the building administrator may request a meeting with the parents to determine if the referred student would benefit from other services designed to meet the learning needs of the student while maintaining the student in general education. Section 200.4(d)(2)(iv) and section 200.7(d)(1)(ii) were amended to correct certain cross citations.

Justification for Continuation Without Modification: The rule is needed to conform the Commissioner's Regulations to State and federal requirements and to correct certain cross citations.

Legal Basis for Rule: Education Law sections 101, 207, 4401-a(2) and 4403(3).

Assessment of Public Comment: No public comment received.

OFFICE OF HIGHER EDUCATION

Section 3.12(d), Part 4, section 13.1 of the Regents Rules and Subpart 145-8 of the Commissioner's Regulations, regarding voluntary institutional accreditation for Title IV purposes

Description of Rule: Establishes standards and procedures that must be met by institutions of higher education that voluntarily seek institutional accreditation by the Commissioner of Education and the board of Regents for purposes of Title IV of the Higher Education Act of 1965, as amended, and deletes unnecessary provisions in the Rules of the Board of Regents and the Commissioner's Regulations.

Justification for Continuation Without Modification: To establish standards consistent with federal requirements to ensure that institutions that are accredited by the Commissioner of Education and the Board of Regents, for purposes of their participation in Title IV federal student aid programs, are quality institutions. The rule also removed unnecessary provisions in the Regents Rules relating to the role of the State Education Department as a State Postsecondary Review Entity. This role does not exist because the federal law authorizing the designation of such entities was not reauthorized. Section 13.1 and Subpart 145-9 were subsequently repealed in a separate rule making, effective July 4, 2001.

Legal Basis for Rule: Education Law sections 207, 210, 214, 215 and 305(2).

Assessment of Public Comment: No public comment received.

Sections 4.2 and 4.5 of the Regents Rules, regarding voluntary institutional accreditation for Title IV purposes

Description of Rule: The rule clarifies the accreditation actions that may be taken pursuant to a voluntary institutional accreditation review of an institution of higher education for Title IV purposes, shortens timeframes for such review, and adds a new appeal procedure.

Justification for Continuation Without Modification: The rule is needed to specify the accreditation actions that result from a review of an institution of higher education for Title IV purposes by the Commissioner and Board of Regents, to define the term "accreditation with conditions", to ensure that reviews are completed within a reasonable time period, and to comply with federal requirements for appeals of review determinations. Subparts 4.2 and 4.5 were renumbered to 4-1.2 and 4-5.2 pursuant to a separate rulemaking filed April 2002 and made effective May 16, 2002.

Legal Basis for Rule: Education Law sections 207, 210, 214 and 215.

Assessment of Public Comment: No public comment received.

Sections 52.21, 80-1.2 and 80-5.13 of the Commissioner's Regulations, regarding requirements for alternative teacher certification program

Description of Rule: Establishes alternative teacher certification programs.

Section 52.21(b)(3)(xvi) establishes the authority for the Commissioner of Education to register teacher preparation programs leading to professional certificates for individuals, including career changers and others, holding transitional C certificates and appropriate graduate academic or graduate professional degrees.

Section 52.21(b)(3)(xvii) establishes the authority for the Commis-

sioner of Education to register teacher preparation programs leading to initial/professional certificates for individuals, including career changers and recent college graduates, who will begin teaching under transitional B certificates.

Section 80-1.2 establishes the authority for the Commissioner of Education to issue transitional certificates as of September 1, 2004.

Section 80-5.13 establishes the requirements for the "Transitional B" certificate. The Transitional B certificate is issued to candidates enrolled in alternative teacher education programs registered under section 52.21. Valid for three years, the Transitional B certificate leads to the first regular, or initial certificate, upon completion of the program.

Justification for Continuation Without Modification: To increase the number of qualified individuals who will be attracted to teaching careers, improve the teacher preparation and mentoring provided through alternative teacher certification programs, and to extend the period of validity of transitional B certificates.

Legal Basis for Rule: Education Law sections 207, 210, 215, 305(1), (2) and (7), 3004(1) and 3006(1).

Assessment of Public Comment: No public comment received.

Section 80-1.11 and Part 87 of the Commissioner's Regulations, regarding fingerprinting and criminal history check of prospective school employees and applicants for teaching certification

Description of Rule: Establishes requirements and procedures for the fingerprinting and criminal history record check of prospective school employees and applicants for teaching certification in order to implement the requirements of Chapter 180 of the Laws of 2000.

Justification for Continuation Without Modification: The rule is necessary to implement Chapter 180 of the Laws of 2000 to set forth requirements and procedures for fingerprinting and criminal history record checks of prospective school employees. The rule establishes requirements for applicants for certification, as well as the requirements for school employer's vis-à-vis prospective school employees. It also describes the Department's obligations with respect to issuing clearances for employment as well as due process considerations for individuals who may be denied clearance for employment. Additionally, the rule outlines the process for notifying school employers about subsequent arrests. Finally, it sets forth the fee amount, who is obligated to pay the fee, and sets forth the rules surrounding the destruction of an individual's criminal history record.

Legal Basis for Rule: Education Law sections 207, 305(3)(a) and (b), 1604(39) and (40), 1709(39) and (40), 1804(9) and (10), 1950(4), (11) and (mm), 2503(18) and (19), 2554(25) and (26), 2854(3)(a-2) and (a-3), 3004-b(1) and (2), 3004-c, 3035(1), (3) and (4) and Chapter 180 of the Laws of 2000.

Assessment of Public Comment: No public comment received.

OFFICE OF THE PROFESSIONS

Sections 52.30, 74.1, 74.2, 74.4 and 74.5 of the Commissioner's Regulations, regarding standards for licensure qualifying social work programs

Description of Rule: Establishes standards for licensure qualifying programs in social work, education and examination requirements for licensure, requirements for applicants for limited permits to practice as a certified social worker (CSW), and requirements that must be met by certified social workers to qualify for reimbursement under certain group health insurance policies for psychotherapy services.

Justification for Continuation Without Modification: To establish such standards to ensure the quality of social work programs that are registered or seeking registration, to set criteria to measure the acceptability of social work programs offered outside the United States and its territories, to allow certain master's degree programs, within limitations, to permit students to use advanced standing credit for meeting their requirements, to ensure that candidates have adequate educational preparation prior to taking the licensing examination, to enable an applicant who has met the education requirement in substance to obtain employment in the social work field, and to clarify supervised experience requirements that must be met to qualify for reimbursement under certain group health insurance policies for psychotherapy services.

Legal Basis for Rule: Education Law sections 207, 210, 6504,

6507(1),(2)(a), and (4)(a); 6508(1); 7703, 7704(2) and (4), 7705 and Insurance Law sections 3221(1)(4)(A) and (D) and 4303(i) and (n).

Assessment of Public Comment: No public comment received.

Section 71.3 of the Commissioner's Regulations, regarding examination requirement for licensure as a certified shorthand reporter

Description of Rule: Changes the examination requirement for licensure as a certified shorthand reporter to reduce the amount of time the candidate must take dictation and to permit candidates to use transcription equipment to transcribe dictation.

Justification for Continuation Without Modification: To conform to realistic testing requirements for the dictation portion of the licensure test, as recommended by the State Board for Certified Shorthand Reporting. The rule is also needed to update the examination to reflect current widespread use of computer assisted dictation equipment in the practice of certified shorthand reporter.

Legal Basis for Rule: Education Law sections 207, 6504, 6507(1), (2)(a) and (3)(a), 6508(1) and (2), 7503 and 7504(4).

Assessment of Public Comment: No public comment received.

Section 75.4 of the Commissioner's Regulations, regarding mandatory continuing competency for speech-language pathologists and audiologists

Description of Rule: Establishes continuing competency requirements and standards that licensed speech-language pathologists and/or audiologists must meet to be registered to practice in New York State and requirements for sponsors of continuing education.

Justification for Continuation Without Modification: To clarify and implement the requirements of Education Law section 8209, as added by Chapter 266 of the Laws of 2000.

Legal Basis for Rule: Education Law sections 207, 212(3), 6502(1), 6504, 6507(2)(a), 6508(1) and 8209(1)(a), (b) and (c), (2), (3), (4), (5) and (6) and Chapter 266 of the Laws of 2000.

Assessment of Public Comment: No public comment received.

OFFICE OF ADULT CAREER AND CONTINUING EDUCATION SERVICES

Part 247 of the Commissioner's Regulations, regarding conforming and technical amendments pertaining to the vocational rehabilitation program

Description of Rule: Enacts technical changes to conform the Commissioner's Regulations relating to the State vocational rehabilitation program to Title I of the Rehabilitation Act and the federal regulations promulgated under such Act.

Justification for Continuation Without Modification: The rule is needed to conform to federal requirements.

Legal Basis for Rule: Education Law sections 101, 207 and 1004(1). Assessment of Public Comment: No public comment received.

OFFICE OF STATE REVIEW

Sections 279.3 and 279.8 of the Commissioner's Regulations, regarding conforming and technical amendments to implement IDEA

Description of Rule: The rule relates to procedures for appeals to the State Review Office.

Section 279.3, as amended: (1) repealed language allowing a State Review Officer to base his or her decision on statements contained in a petition, which are deemed to be true, if an answer to the allegations in a petition is not served and filed according to the provisions of such regulations; and (2) authorized a State Review Officer to make a decision, that is considered final unless an aggrieved party seeks judicial review.

Section 279.8, as amended: (1) repealed language stating that oral argument before a State review officer is not permitted; (2) added language giving a State Review Officer the authority to determine if oral argument is necessary and to direct that such argument be heard at a time and place reasonably convenient to the parties; (3) authorized the State Review Officer to seek additional oral testimony or documentary evidence if determined necessary; (4) clarified that hearings to take additional evidence will be conducted before a State Review Officer at a time and place reasonably convenient to both parties; and (5) ensures that the procedures at such hearings are consistent with the due process requirements of section 200.5(i)(3).

Justification for Continuation Without Modification: The rule is needed to conform the Commissioner's Regulations to the federal regulations implementing the Individuals with Disabilities Education Act.

Legal Basis for Rule: Education Law sections 101, 207, 3214(3), 4403(3) and (20), 4404(1) and (2) and 4410(13).

Assessment of Public Comment: No public comment received.

OFFICE OF MANAGEMENT SERVICES

Section 3.31 of the Regents Rules, regarding removal of trustees of education corporations

Description of Rule: Establishes procedures to be used in proceedings of the Board of Regents pursuant to Education Law § 226(4) to remove trustees of education corporations created by the Board of Regents, for misconduct, incapacity, neglect of duty and/or failure or refusal of the institution to carry into effect its educational purposes.

Justification for Continuation Without Modification: The rule is needed to codify in the Regents Rules the procedures for removal of trustees of education corporations created by the Board of Regents, and thereby ensure the consistent, systemized practice with respect to such proceedings.

Legal Basis for Rule: Education Law section 101, 201, 202(1), 206, 207, 214, 215, 216 and 226(4).

Assessment of Public Comment: No public comment received.

ADVERTISEMENTS FOR BIDDERS/CONTRACTORS

SEALED BIDS

REPAIR/STABILIZE VARIOUS BUILDINGS

Hudson Correctional Facility Hudson, Columbia County

Sealed bids for Project No. M3146-C, comprising a contract for Construction Work, Repair / Stabilize Various Buildings, Hudson Correctional Facility, 50 East Court Street, Hudson (Columbia County), NY will be received by the Office of General Services (OGS), Design & Construction Group (D&C), Division of Contract Management, 35th Fl., Corning Tower, Empire State Plaza, Albany, NY 12242, on behalf of the Department of Corrections and Community Supervision, until 2:00 p.m. on Wednesday, January 12, 2022 when they will be publicly opened and read. Each bid must be prepared and submitted in accordance with the Instructions to Bidders and must be accompanied by a bid security (i.e. certified check, bank check, or bid bond in the amount of \$38,000 for C).

All successful bidders will be required to furnish a Performance Bond and a Labor and Material Bond pursuant to Sections 136 and 137 of the State Finance Law, each for 100% of the amount of the Contract estimated to be between \$1,000,000 and \$2,000,000 for C.

Pursuant to State Finance Law §§ 139-j and 139-k, this solicitation includes and imposes certain restrictions on communications between OGS D&C and a bidder during the procurement process. A bidder is restricted from making contacts from the earliest posting on the OGS website, in a newspaper of general circulation, or in the Contract Reporter, of written notice, advertisement or solicitation of offers, through final award and approval of the contract by OGS D&C and the Office of the State Comptroller ("Restricted Period") to other than designated staff, unless it is a contact that is included among certain statutory exceptions set forth in State Finance Law § 139-j(3)(a). Designated staff are John Pupons, Jessica Hoffman, and Pierre Alric in the Division of Contract Management, telephone (518) 474-0203, fax (518) 473-7862. OGS D&C employees are also required to obtain certain information when contacted during the restricted period and to make a determination of the responsibility of the bidder pursuant to these two statutes. Certain findings of non-responsibility can result in rejection for contract award and in the event of two findings within a four-year period, the bidder is debarred from obtaining governmental Procurement Contracts. Bidders responding to this Advertisement must familiarize themselves with the State Finance Law requirements and will be expected to affirm that they understand and agree to comply on the bid form. Further information about these requirements can be found within the project manual or at: https://ogs.ny.gov/

Pursuant to Public Buildings Law § 8(6), effective January 11, 2020, for any projects where the project design commenced on or after January 1, 2020 and for any contracts over \$5,000 for the work of construction, reconstruction, alteration, repair, or improvement of any State building, a responsible and reliable NYS-certified Minority or Women-Owned Business Enterprise that submits a bid within ten percent of the lowest bid will be deemed the apparent low bidder provided that the bid is \$1,400,000 or less, as adjusted annually for inflation beginning January 1, 2020. If more than one responsible and reliable MWBE firm meets these requirements, the MWBE firm with the lowest bid will be deemed the apparent low bidder.

Project commenced design before January 1, 2020. Not subject to provision.

X Project commenced design on or after January 1, 2020. Subject to provision.

The substantial completion date for this project is 587 days after the Agreement is approved by the Comptroller.

The only time prospective bidders will be allowed to visit the job site to take field measurements and examine existing conditions of the project area will be at 10:00 a.m. on December 22, 2021 at the Hudson Correctional Facility, 50 East Court Street, Hudson, NY. Prospective bidders are urged, but not mandated, to visit the site at this time. Prospective bidders or their representatives attending the pre-bid site visit will not be admitted on facility grounds without proper photo identification. Note that parking restrictions and security provisions will apply, and all vehicles will be subject to search. Refer to Document 002218 for any additional requirements for attendance at the pre-bid site visit.

Phone the office of Daniel MacCormack, (518-822-1931) a minimum of 72 hours in advance of the date to provide the names of those who will attend the pre-bid site visit. Only contractors that schedule a visit at least 72 hours in advance will be allowed to participate in the pre-bid site visit.

Pursuant to New York State Executive Law Article 15-A and the rules and regulations promulgated thereunder, OGS is required to promote opportunities for the maximum feasible participation of New York State-certified Minority and Women-owned Business Enterprises ("MWBEs") and the employment of minority group members and women in the performance of OGS contracts. All bidders are expected to cooperate in implementing this policy. OGS hereby establishes an overall goal of 30% for MWBE participation, 15% for Minority-Owned Business Enterprises ("MBE") participation and 15% for Women-Owned Business Enterprises ("WBE") participation (based on the current availability of qualified MBEs and WBEs). The total contract goal can be obtained by utilizing any combination of MBE and/or WBE participation for subcontracting and supplies acquired under this Contract. Trades with 0% goals are encouraged to make "good faith efforts" to promote and assist in the participation of MWBEs on the Contract for the provision of services and materials.

Article 17-B of the New York State Executive Law provides for more meaningful participation in public procurement by certified Service-Disabled Veteran-Owned Businesses ("SDVOBs"). Bidders are expected to consider SDVOBs in the fulfillment of the requirements of the Contract. Such participation may be as subcontractors or suppliers, as protégés, or in other partnering or supporting roles. OGS hereby establishes overall goals for SDVOBs' participation under this contract as follows: 6% for the C trade contractor, based on the current availability of qualified SDVOBs. Trades with 0% goals are encouraged to make "good faith efforts" to promote and assist in the participation of SDVOBs on the Contract for the provision of services and materials.

The Office of General Services reserves the right to reject any or all bids.

The Bidding and Contract Documents for this Project are available for viewing and downloading from OGS Design & Construction's plan room hosting service, Bid Express. Vendors wishing to view and/or download bid documents must complete a one-time registration for the Bid Express service. There is no cost to register for Bid

Express. Registration along with viewing and downloading of documents can be accessed at the following link: http://www.bidexpress.com

For questions about downloading of bid documents, please send an e-mail to support@bidexpress.com, or call the Bid Express toll-free number at (888) 352-2439.

For all other questions, please send an email to DCPlans@ogs.ny.gov, or call (518) 474-0203.

For additional information on this project, please use the link below and then click on the project number: https://online.ogs.ny.gov/dnc/contractorConsultant/esb/ESBPlansAvailableIndex.asp

By OGS - Design & Construction Group

UPGRADE HEATING SYSTEM

Various Department of Transportation Facilities Chautauqua County

Sealed bids for Project Nos. 47070-H and 47070-E, comprising separate contracts for HVAC Work and Electrical Work, Upgrade Heating System, Various DOT Facilities (Chautauqua County), NY will be received by the Office of General Services (OGS), Design & Construction Group (D&C), Division of Contract Management, 35th Fl., Corning Tower, Empire State Plaza, Albany, NY 12242, on behalf of the Department of Transportation, until 2:00 p.m. on Wednesday, January 12, 2022 when they will be publicly opened and read. Each bid must be prepared and submitted in accordance with the Instructions to Bidders and must be accompanied by a bid security (i.e. certified check, bank check, or bid bond in the amount of \$15,200 for H, and \$9,500 for E).

All successful bidders will be required to furnish a Performance Bond and a Labor and Material Bond pursuant to Sections 136 and 137 of the State Finance Law, each for 100% of the amount of the Contract estimated to be between \$100,000 and \$250,000 for H, and between \$100,000 and \$250,000 for E.

Pursuant to State Finance Law §§ 139-j and 139-k, this solicitation includes and imposes certain restrictions on communications between OGS D&C and a bidder during the procurement process. A bidder is restricted from making contacts from the earliest posting on the OGS website, in a newspaper of general circulation, or in the Contract Reporter, of written notice, advertisement or solicitation of offers, through final award and approval of the contract by OGS D&C and the Office of the State Comptroller ("Restricted Period") to other than designated staff, unless it is a contact that is included among certain statutory exceptions set forth in State Finance Law § 139-j(3)(a). Designated staff are John Pupons, Jessica Hoffman, and Pierre Alric in the Division of Contract Management, telephone (518) 474-0203, fax (518) 473-7862. OGS D&C employees are also required to obtain certain information when contacted during the restricted period and to make a determination of the responsibility of the bidder pursuant to these two statutes. Certain findings of non-responsibility can result in rejection for contract award and in the event of two findings within a four-year period, the bidder is debarred from obtaining governmental Procurement Contracts. Bidders responding to this Advertisement must familiarize themselves with the State Finance Law requirements and will be expected to affirm that they understand and agree to comply on the bid form. Further information about these requirements can be found within the project manual or at: https://ogs.ny.gov/

Pursuant to Public Buildings Law § 8(6), effective January 11, 2020, for any projects where the project design commenced on or after January 1, 2020 and for any contracts over \$5,000 for the work of construction, reconstruction, alteration, repair, or improvement of any State building, a responsible and reliable NYS-certified Minority or Women-Owned Business Enterprise that submits a bid within ten percent of the lowest bid will be deemed the apparent low bidder provided that the bid is \$1,400,000 or less, as adjusted annually for inflation beginning January 1, 2020. If more than one responsible and

reliable MWBE firm meets these requirements, the MWBE firm with the lowest bid will be deemed the apparent low bidder.

Project commenced design before January 1, 2020. Not subject to provision.

X Project commenced design on or after January 1, 2020. Subject to provision.

The substantial completion date for this project is 199 days after the Agreement is approved by the Comptroller.

No pre-bid site visits have been scheduled for this project and prospective bidders are not allowed to visit the project site or facility buildings and grounds to take measurements or examine existing conditions.

Pursuant to New York State Executive Law Article 15-A and the rules and regulations promulgated thereunder, OGS is required to promote opportunities for the maximum feasible participation of New York State-certified Minority and Women-owned Business Enterprises ("MWBEs") and the employment of minority group members and women in the performance of OGS contracts. All bidders are expected to cooperate in implementing this policy. OGS hereby establishes an overall goal of 20% for MWBE participation, 10% for Minority-Owned Business Enterprises ("MBE") participation and 10% for Women-Owned Business Enterprises ("WBE") participation (based on the current availability of qualified MBEs and WBEs) for HVAC Work and an overall goal of 10% for MWBE participation, 5% for Minority-Owned Business Enterprises ("MBE") participation and 5% for Women-Owned Business Enterprises ("WBE") participation (based on the current availability of qualified MBEs and WBEs) for Electrical Work. The total contract goal can be obtained by utilizing any combination of MBE and/or WBE participation for subcontracting and supplies acquired under this Contract. Trades with 0% goals are encouraged to make "good faith efforts" to promote and assist in the participation of MWBEs on the Contract for the provision of services and materials.

Article 17-B of the New York State Executive Law provides for more meaningful participation in public procurement by certified Service-Disabled Veteran-Owned Businesses ("SDVOBs"). Bidders are expected to consider SDVOBs in the fulfillment of the requirements of the Contract. Such participation may be as subcontractors or suppliers, as protégés, or in other partnering or supporting roles. OGS hereby establishes overall goals for SDVOBs' participation under this contract as follows: 3% for the H trade contractor, and 0% for the E trade contractor, based on the current availability of qualified SDVOBs. Trades with 0% goals are encouraged to make "good faith efforts" to promote and assist in the participation of SDVOBs on the Contract for the provision of services and materials.

The Office of General Services reserves the right to reject any or all bids.

The Bidding and Contract Documents for this Project are available for viewing and downloading from OGS Design & Construction's plan room hosting service, Bid Express. Vendors wishing to view and/or download bid documents must complete a one-time registration for the Bid Express service. There is no cost to register for Bid Express. Registration along with viewing and downloading of documents can be accessed at the following link: http://www.bidexpress.com

For questions about downloading of bid documents, please send an e-mail to support@bidexpress.com, or call the Bid Express toll-free number at (888) 352-2439.

For all other questions, please send an email to DCPlans@ogs.ny.gov, or call (518) 474-0203.

For additional information on this project, please use the link below and then click on the project number: https://online.ogs.ny.gov/dnc/contractorConsultant/esb/ESBPlansAvailableIndex.asp

By OGS - Design & Construction Group

MISCELLANEOUS NOTICES/HEARINGS

Notice of Abandoned Property Received by the State Comptroller

Pursuant to provisions of the Abandoned Property Law and related laws, the Office of the State Comptroller receives unclaimed monies and other property deemed abandoned. A list of the names and last known addresses of the entitled owners of this abandoned property is maintained by the office in accordance with Section 1401 of the Abandoned Property Law. Interested parties may inquire if they appear on the Abandoned Property Listing by contacting the Office of Unclaimed Funds, Monday through Friday from 8:00 a.m. to 4:30 p.m., at:

1-800-221-9311 or visit our web site at: www.osc.state.ny.us

Claims for abandoned property must be filed with the New York State Comptroller's Office of Unclaimed Funds as provided in Section 1406 of the Abandoned Property Law. For further information contact: Office of the State Comptroller, Office of Unclaimed Funds, 110 State St., Albany, NY 12236.

PUBLIC NOTICE

Department of Civil Service

PURSUANT to the Open Meetings Law, the New York State Civil Service Commission hereby gives public notice of the following:

Please take notice that the regular monthly meeting of the State Civil Service Commission for January 2022 will be conducted on January 12th and January 13th commencing at 10:00 a.m. This meeting will be conducted at NYS Media Services Center, Suite 146, South Concourse, Empire State Plaza, Albany, NY with live coverage available at https://www.cs.ny.gov/commission/

For further information, contact: Office of Commission Operations, Department of Civil Service, Empire State Plaza, Agency Bldg. One, Albany, NY 12239, (518) 473-6598

PUBLIC NOTICE

Office of Fire Prevention and Control

Pursuant to Section 176-b of the Town Law, the Office of Fire Prevention and Control hereby gives notice of the following:

Application for Waiver of the Limitation of Non-resident Members of Volunteer Fire Companies

An application for a waiver of the requirements of paragraph a of subdivision 7 of section 176-b of the Town Law, which limits the membership of volunteer fire companies to forty-five per centum of the actual membership of the fire company, has been submitted by the Pembroke Fire District, County of Genesee.

Pursuant to section 176-b of the Town Law, the non-resident membership limit shall be waived provided that no adjacent fire department objects within sixty days of the publication of this notice.

Objections shall be made in writing, setting forth the reasons such waiver should not be granted, and shall be submitted to:

James B. Cable State Fire Administrator Office of Fire Prevention and Control 1220 Washington Avenue Building 7A, Floor 2 Albany, New York 12226

Objections must be received by the State Fire Administrator within sixty days of the date of publication of this notice.

In cases where an objection is properly filed, the State Fire Administrator shall have the authority to grant a waiver upon consideration of (1) the difficulty of the fire company or district in retaining and recruting adequate personnel; (2) any alternative means available to the fire company or district to address such difficulties; and (3) the impact of the waiver on adjacent fire departments.

For further information, please contact: Deputy Chief William H. Rifenburgh, Office of Fire Prevention and Control, 1220 Washington Ave., Bldg. 7A, Fl. 2, Albany, NY 12226, (518) 474-6746, William.Rifenburgh@dhses.ny.gov

PUBLIC NOTICE

Office of General Services

GreenNY Interagency Committee on Sustainability and Green Procurement

Pursuant to Executive Order No. 4: Establishing a State Green Procurement and Agency Sustainability Program, April 24, 2008 ("EO 4"), the Interagency Committee on Sustainability and Green Procurement hereby gives public notice of the following:

Three (3) green procurement specifications were tentatively approved by the Interagency Committee on Sustainability and Green Procurement and have been posted for public comment.

These include new or amended specifications on the following topics:

- Lower Carbon Concrete
- Menstrual Products
- Packaging

All the above specifications are available for viewing at: https://ogs.ny.gov/greenny/executive-order-4-tentatively-approved-specifications

Information regarding the green specification approval process is also available at the above link.

Comments may be submitted electronically to: GreenEO4@ogs.ny.gov

Comments from the public regarding the tentatively approved specifications will be accepted until Friday, April 1, 2022.

PUBLIC NOTICE

Department of Health

Pursuant to 42 CFR Section 447.205, the Department of Health hereby gives public notice of the following:

The Department of Health proposes to amend the Title XIX (Medicaid) State Plan for non-institutional services to revise provisions of the Ambulatory Patient Group (APG) reimbursement methodology. The following changes are proposed:

Non-Institutional Services

Effective on or after January 1, 2022, the Ambulatory Patient Group

(APG) reimbursement methodology is revised to include recalculated weight and component updates to reflect the APG policy updates.

There is no additional estimated annual change to gross Medicaid expenditures as a result of this proposed amendment.

The public is invited to review and comment on this proposed State Plan Amendment, a copy of which will be available for public review on the Department's website at http://www.health.ny.gov/regulations/state_plans/status. Individuals without Internet access may view the State Plan Amendments at any local (county) social services district.

For the New York City district, copies will be available at the following places:

New York County 250 Church Street New York, New York 10018

Queens County, Queens Center 3220 Northern Boulevard Long Island City, New York 11101

Kings County, Fulton Center 114 Willoughby Street Brooklyn, New York 11201

Bronx County, Tremont Center 1916 Monterey Avenue Bronx, New York 10457

Richmond County, Richmond Center 95 Central Avenue, St. George Staten Island, New York 10301

For further information and to review and comment, please contact: Department of Health, Division of Finance and Rate Setting, 99 Washington Ave., One Commerce Plaza, Suite 1432, Albany, NY 12210, spa_inquiries@health.ny.gov

PUBLIC NOTICE

Department of Health

Pursuant to 42 CFR Section 447.205, the Department of Health hereby gives public notice of the following:

The Department of Health proposes to amend the Title XIX (Medicaid) State Plan for institutional services to comply with enacted statutory provisions. The following changes are proposed:

Institutional Services

Effective for dates of service on or after January 1, 2022, the Department of Health will redefine the rural designation for the inpatient psychiatric services provided in exempt general hospitals or exempt units of general hospitals by increasing the average county population density from 225 to 300 per square mile based on 2020 census data. This State Plan Amendment is necessary to adequately reimburse hospitals for providing these services and better meet the community's mental health needs.

The estimated annual increase in gross Medicaid expenditures attributable to this initiative is \$2,300,000. Funding for this redefinition is included in the State Budget for State Fiscal Year 2022.

The public is invited to review and comment on this proposed State Plan Amendment, a copy of which will be available for public review on the Department's website at http://www.health.ny.gov/regulations/state_plans/status. Individuals without Internet access may view the State Plan Amendments at any local (county) social services district.

For the New York City district, copies will be available at the following places:

New York County 250 Church Street New York, New York 10018 Queens County, Queens Center 3220 Northern Boulevard Long Island City, New York 11101

Kings County, Fulton Center 114 Willoughby Street Brooklyn, New York 11201

Bronx County, Tremont Center 1916 Monterey Avenue Bronx, New York 10457

Richmond County, Richmond Center 95 Central Avenue, St. George Staten Island, New York 10301

For further information and to review and comment, please contact: Department of Health, Division of Finance and Rate Setting, 99 Washington Ave., One Commerce Plaza, Suite 1432, Albany, NY 12210, spa_inquiries@health.ny.gov

PUBLIC NOTICE

Department of Health

Pursuant to 42 CFR Section 447.205, the Department of Health hereby gives public notice of the following:

The Department of Health proposes to amend the Title XIX (Medicaid) State Plan for Long Term Care services as authorized by § 2826 of New York Public Health Law. The following changes are proposed:

Long Term Care Services

Temporary rate adjustments have been approved for services related to providers that are undergoing closure, merger, consolidation, acquisition or restructuring themselves or other health care providers. The temporary rate adjustments have been reviewed and approved for the following six Nursing Homes:

- Loretto Health and Rehabilitation with aggregate payment amounts totaling up to \$4,747,976 for the period January 01, 2022 through March 31, 2022 and \$2,977,124 for the period April 1, 2022 through March 31, 2023.
- St. Vincent DePaul Residence with aggregate payment amounts totaling up to \$3,681,188 for the period January 01, 2022 through March 31, 2022 and \$1,538,986 for the period April 1, 2022 through March 31, 2023.
- Fort Hudson Nursing Center with aggregate payment amounts totaling up to \$1,129,968 for the period January 01, 2022 through March 31, 2022, \$475,930 for the period April 1, 2022 through March 31, 2023 and \$551,772 for the period April 1, 2023 through March 31, 2024.
- Adirondack Health Mercy Living Center with aggregate payment amounts totaling up to \$500,000 for the period January 01, 2022 through March 31, 2022.
- Greenfield Health and Rehabilitation Center with aggregate payment amounts totaling up to \$695,000 for the period January 01, 2022 through March 31, 2022, \$1,647,500 for the period April 1, 2022 through March 31, 2023, and \$620,000 for the period April 1, 2023 through March 31, 2024.
- The Trustees of Eastern Star Hall and Home of New York with aggregate payment amounts totaling up to \$869,050 for the period January 01, 2022 through March 31, 2022.

The estimated annual net aggregate increase in gross Medicaid expenditures attributable to this initiative contained in the budget for state fiscal year 2021/2022 is \$11,623,182. The Medicaid expenditures attributable to state fiscal year 2022/2023 and state fiscal year 2023/2024 are \$6,639,540 and \$1,172,772, respectively.

The public is invited to review and comment on this proposed State Plan Amendment, a copy of which will be available for public review on the Department's website at http://www.health.ny.gov/regulations/state_plans/status. Individuals without Internet access may view the State Plan Amendments at any local (county) social services district.

For the New York City district, copies will be available at the following places:

New York County 250 Church Street New York, New York 10018

Queens County, Queens Center 3220 Northern Boulevard Long Island City, New York 11101

Kings County, Fulton Center 114 Willoughby Street Brooklyn, New York 11201

Bronx County, Tremont Center 1916 Monterey Avenue Bronx, New York 10457

Richmond County, Richmond Center 95 Central Avenue, St. George Staten Island, New York 10301

For further information and to review and comment, please contact: Department of Health, Division of Finance and Rate Setting, 99 Washington Ave., One Commerce Plaza, Suite 1432, Albany, NY 12210, spa_inquiries@health.ny.gov

PUBLIC NOTICE

Department of Health

Pursuant to 42 CFR Section 447.205, the Department of Health hereby gives public notice of the following:

The Department of Health proposes to amend the Title XIX (Medicaid) State Plan for all qualifying Mental Hygiene services to comply with enacted statutory provisions. The following changes are proposed:

Non-Institutional

Effective on or after December 30, 2021 the Department of Health will adjust rates to reflect labor costs resulting from statutorily required increases in the New York State minimum wage. The minimum wage rate increases apply to services provided in Office of Mental Health licensed rehabilitation programs, effective December 31, 2021.

The estimated annual aggregate increase in gross Medicaid expenditures attributable to the rate increase is \$14,032 in State Fiscal Year 2022 and \$56,128 in State Fiscal Year 2023.

The public is invited to review and comment on this proposed State Plan Amendment, a copy of which will be available for public review on the Department's website at http://www.health.ny.gov/regulations/state_plans/status. Individuals without Internet access may view the State Plan Amendments at any local (county) social services district.

For the New York City district, copies will be available at the following places:

New York County 250 Church Street New York, New York 10018

Queens County, Queens Center 3220 Northern Boulevard Long Island City, New York 11101

Kings County, Fulton Center 114 Willoughby Street Brooklyn, New York 11201 Bronx County, Tremont Center 1916 Monterey Avenue Bronx, New York 10457

Richmond County, Richmond Center 95 Central Avenue, St. George Staten Island, New York 10301

For further information and to review and comment, please contact: Department of Health, Division of Finance and Rate Setting, 99 Washington Ave., One Commerce Plaza, Suite 1432, Albany, NY 12210, spa_inquiries@health.ny.gov

PUBLIC NOTICE

Department of Health

Pursuant to 42 CFR Section 447.205, the Department of Health hereby gives public notice of the following:

The Department of Health proposes to amend the Title XIX (Medicaid) State Plan for long term care services to comply with Public Health Law Section 2808 (2-c)(d). The following changes are proposed:

Long Term Care Services

Effective on and after January 1, 2022, the quality incentive program for non-specialty nursing homes will continue to recognize improvement in performance and provide for other minor modifications.

There is no estimated annual change to gross Medicaid expenditures as a result of this proposed amendment.

The public is invited to review and comment on this proposed State Plan Amendment, a copy of which will be available for public review on the Department's website at http://www.health.ny.gov/regulations/state_plans/status. Individuals without Internet access may view the State Plan Amendments at any local (county) social services district.

For the New York City district, copies will be available at the following places:

New York County 250 Church Street New York, New York 10018

Queens County, Queens Center 3220 Northern Boulevard Long Island City, New York 11101

Kings County, Fulton Center 114 Willoughby Street Brooklyn, New York 11201

Bronx County, Tremont Center 1916 Monterey Avenue Bronx, New York 10457

Richmond County, Richmond Center 95 Central Avenue, St. George Staten Island. New York 10301

For further information and to review and comment, please contact: Department of Health, Division of Finance and Rate Setting, 99 Washington Ave., One Commerce Plaza, Suite 1432, Albany, New York 12210, pa_inquiries@health.ny.gov

PUBLIC NOTICE

Department of Health

Pursuant to 42 CFR Section 447.205, the Department of Health hereby gives public notice of the following:

The Department of Health proposes to amend the Title XIX (Medicaid) State Plan for non-institutional services to comply with enacted statutory provisions. The following changes are proposed:

Non-Institutional Services

Effective on or after January 1, 2022, the Department of Health will adjust the reimbursement rate for Ambulatory Services in Facilities Certified Under Article 16 of the Mental Health Law Clinic Day Treatment program to remove the providers that no longer offer the service and update the reimbursements for the remaining providers based on more current cost data.

The estimated annual net aggregate increase in gross Medicaid expenditures attributable to this initiative contained in the budget for state fiscal year 2022 is \$300,000.

The public is invited to review and comment on this proposed State Plan Amendment, a copy of which will be available for public review on the Department's website at http://www.health.ny.gov/regulations/state_plans/status. Individuals without Internet access may view the State Plan Amendments at any local (county) social services district.

For the New York City district, copies will be available at the following places:

New York County 250 Church Street New York, New York 10018

Queens County, Queens Center 3220 Northern Boulevard Long Island City, New York 11101

Kings County, Fulton Center 114 Willoughby Street Brooklyn, New York 11201

Bronx County, Tremont Center 1916 Monterey Avenue Bronx, New York 10457

Richmond County, Richmond Center 95 Central Avenue, St. George Staten Island, New York 10301

For further information and to review and comment, please contact: Department of Health, Division of Finance and Rate Setting, 99 Washington Ave., One Commerce Plaza, Suite 1432, Albany, NY 12210, spa_inquiries@health.ny.gov

PUBLIC NOTICE

Department of Health

Pursuant to 42 CFR Section 447.205, the Department of Health hereby gives public notice of the following:

The Department of Health proposes to amend the Title XIX (Medicaid) State Plan for long term care services to comply with sections 2803, 2895-b, and 2828 of the Public Health Law. The following changes are proposed:

Long Term Care Services

Effective on or after January 1, 2022, the Department of Health will adjust rates for residential health care facilities meeting the requirements set forth in section 2828 of the Public Health and implementing regulations. This rate adjustment will support increases in resident-facing staffing services provided by registered nurses, licensed practical nurses, certified nurse aides, and nurse aides in accordance with standards set forth in section 2895-b of the Public Health Law and implementing regulations, which shall be sufficient to attain the highest practicable physical, mental, and psychological well-being of the residents of such residential health care facilities.

The estimated annual net aggregate increase in gross Medicaid expenditures attributable to the residential health care facility rate adjustment is \$128 million as appropriated in the budget for state fiscal year 2021/2022.

The public is invited to review and comment on this proposed State Plan Amendment, a copy of which will be available for public review on the Department's website at http://www.health.ny.gov/regulations/state_plans/status. Individuals without Internet access may view the State Plan Amendments at any local (county) social services district.

For the New York City district, copies will be available at the following places:

New York County 250 Church Street New York, New York 10018

Queens County, Queens Center 3220 Northern Boulevard Long Island City, New York 11101

Kings County, Fulton Center 114 Willoughby Street Brooklyn, New York 11201

Bronx County, Tremont Center 1916 Monterey Avenue Bronx, New York 10457

Richmond County, Richmond Center 95 Central Avenue, St. George Staten Island, New York 10301

For further information and to review and comment, please contact: Department of Health, Division of Finance and Rate Setting, 99 Washington Ave., One Commerce Plaza, Suite 1432, Albany, NY 12210, spa_inquiries@health.ny.gov

PUBLIC NOTICE

Department of Health

Pursuant to 42 CFR Section 447.205, the Department of Health hereby gives public notice of the following:

The Department of Health proposes to amend the Title XIX (Medicaid) State Plan for institutional services to comply with enacted statutory provisions. The following changes are proposed:

Institutional Services

Effective on or after December 31, 2021, the Department of Health will adjust Residential Treatment Facility (RTF) rates for providers to consider increased labor costs resulting from increases in the New York State minimum wage in the Remainder of State region.

The estimated annual net aggregate increase in gross Medicaid expenditures attributable to this initiative contained in the budget for state fiscal year 2021/2022 is \$16,000.

The public is invited to review and comment on this proposed State Plan Amendment, a copy of which will be available for public review on the Department's website at http://www.health.ny.gov/regulations/state_plans/status. Individuals without Internet access may view the State Plan Amendments at any local (county) social services district.

For the New York City district, copies will be available at the following places:

New York County 250 Church Street New York, New York 10018

Queens County, Queens Center 3220 Northern Boulevard Long Island City, New York 11101 Kings County, Fulton Center 114 Willoughby Street Brooklyn, New York 11201 Bronx County, Tremont Center 1916 Monterey Avenue Bronx, New York 10457

Richmond County, Richmond Center 95 Central Avenue, St. George Staten Island, New York 10301

For further information and to review and comment, please contact: Department of Health, Division of Finance and Rate Setting, 99 Washington Ave., One Commerce Plaza, Suite 1432, Albany, NY 12210, spa_inquiries@health.ny.gov

PUBLIC NOTICE

Department of Health

The Department of Health proposes to amend the Traumatic Brain Injury (TBI) (waiver number NY.0269.R04.04) and Nursing Home Transition and Diversion (NHTD) (waiver number NY.0444.R02.01) 1915c Waiver Programs in order to implement planned minimum wage-related rate increases pursuant to New York State Minimum Wage regulations effective January 1, 2017. This minimum wage bill stipulates that wages will be increased gradually through 2021. This increase specifically impacts all counties except for all New York City boroughs, Nassau, Suffolk, and Westchester.

Drafts of the proposed amendments to the TBI and NHTD waivers are available for review at: https://health.ny.gov/health_care/medicaid/redesign/mrt90/policy_docs.htm

As of December 31, 2016, the first in a series of wage increases went into effect in New York State. Rates differ based on region and industry to allow for a phase-in of new salaries. Changes take effect on the last day of the year. Annual increases for Upstate New York will continue until the rate reaches a \$15 minimum wage. The annual increases are published by the Commissioner of Labor by October 1. They are based on percentage increases determined by the Director of the Division of Budget, based on economic indices, including the Consumer Price Index. The rate for the "remainder of state" will be \$13.20 per hour effective December 31, 2021. This wage adjustment will require a rate increase of \$.90 per hour for waiver service: Home and Community Support Services (HCSS) for both the Nursing Home Transition and Diversion (NHTD) and Traumatic Brain Injury (TBI) 1915 (c) Medicaid Waivers. The anticipated change to projected gross Medicaid expenditures as a result of this proposed amendment is an increase of \$11.8 million for the remainder of the 5 year waiver cycles.

The public is invited to review and comment on this initiative. Comments may be filed electronically at: waivertransition@health.ny.gov, or mailed to: Department of Health, Office of Health Insurance Programs, Division of Long Term Care, Bureau of Community Integration and Alzheimer's Disease, One Commerce Plaza, Suite 1605, Albany, NY 12210. All comments must be postmarked or emailed by 30 days of the date of this notice. Include "TBI and NHTD Waiver Amendments" in the subject line and indicate your name and affiliation. Individuals without internet access may contact the Waiver Unit at: (518) 474-5271 to receive additional information.

PUBLIC NOTICE

Department of State F-2021-0654

Date of Issuance – December 29, 2021

The New York State Department of State (DOS) is required by Federal regulations to provide timely public notice for the activities described below, which are subject to the consistency provisions of the Federal Coastal Zone Management Act (CZMA) of 1972, as amended

The applicant has certified that the proposed activities comply with and will be conducted in a manner consistent with the federally approved New York State Coastal Management Program (NYSCMP). The applicant's consistency certification and accompanying public information and data are available for inspection at the New York State Department of State offices located at One Commerce Plaza, 99 Washington Avenue, in Albany, New York.

In F-2021-0654 the applicant, Village Marine of Westhampton LTD, is proposing to install 552 linear feet of new low sill bulkhead; replace existing 4 feet wide x 464 linear feet fixed docks with floating docks; and dredge 949 cubic yards of sediment to -4' MLW within existing West and East boat basins. This project is located at 33 Library Avenue, Village of Southampton, Suffolk County, Moneybogue Bay.

The applicant's consistency certification and supporting information are available for review at: https://dos.ny.gov/system/files/documents/2021/12/f-2021-0654app.pdf or at https://dos.ny.gov/public-notices

The proposed activity would be located within or has the potential to affect the following Special Management or Regulated Area(s):

• Moriches Bay Significant Coastal Fish and Wildlife Habitats:

https://dos.ny.gov/system/files/documents/2020/03/moriches_bay.pdf

Any interested parties and/or agencies desiring to express their views concerning any of the above proposed activities may do so by filing their comments, in writing, no later than 4:30 p.m., 15 days from the date of publication of this notice or January 13, 2022.

Comments should be addressed to: Department of State, Office of Planning and Development and Community Infrastructure, Consistency Review Unit, One Commerce Plaza, Suite 1010, 99 Washington Ave., Albany, NY 12231, (518) 474-6000. Electronic submissions can be made by email at: CR@dos.ny.gov

This notice is promulgated in accordance with Title 15, Code of Federal Regulations, Part 930.

PUBLIC NOTICE

Department of State F-2021-0695

Date of Issuance - December 29, 2021

The New York State Department of State (DOS) is required by Federal regulations to provide timely public notice for the activities described below, which are subject to the consistency provisions of the Federal Coastal Zone Management Act of 1972, as amended.

The applicant has certified that the proposed activity complies with and will be conducted in a manner consistent with the approved New York State Coastal Management Program.

In F-2021-0695, Vincent Rovitelli, is proposing to excavate/remove two land peninsulas from an existing marina basin. The peninsula measures 17'-4" wide by 185'-2" long and 10'-1" long by 214'-5" long. The peninsulas would be excavated down to an elevation of 243.5' IGLD. The project would result in the excavation of up to 1,207 cubic yards of materials (765 from below the plane of Ordinary High Water). A boulder located within the marina basin would also be removed. Dewatering of material would be completed at an upland on-site location with off-site disposal at an approved upland location. Sheet pile would be placed at the landward extent of the each of the excavated peninsulas. In addition, 65 linear feet of sheet pile where the seawall is missing A turbidity curtain would be paced within the channel that separates the marina from Sandy Creek during excavation. The applicant was previously approved to replace existing fixed docks with floating docks throughout the marina basin. Various upland improvements to the site are also proposed.

The proposal is for the Sandy Creek Marina located off of Sandy Creek at Eight Lake Road East Fork in the Town of Hamlin, Monroe County.

The stated purpose of the proposed action is to "Improve the usage and safety of our existing marina."

The applicant's consistency certification and supporting information are available for review at: https://dos.ny.gov/system/files/documents/2021/12/f-2021-0695publicnotice.pdf or at https://dos.ny.gov/public-notices

Original copies of public information and data submitted by the ap-

plicant are available for inspection at the New York State Department of State offices located at One Commerce Plaza, 99 Washington Avenue, in Albany, New York.

Any interested parties and/or agencies desiring to express their views concerning any of the above proposed activities may do so by filing their comments, in writing, no later than 4:30 p.m., 15 days from the date of publication of this notice, or January 13, 2022.

Comments should be addressed to: Consistency Review Unit, Department of State, Office of Planning, Development and Community Infrastructure, One Commerce Plaza, 99 Washington Ave., Albany, NY 12231, (518) 474-6000, Fax (518) 473-2464. Electronic submissions can be made by email at: CR@dos.ny.gov

This notice is promulgated in accordance with Title 15, Code of Federal Regulations, Part 930.

PUBLIC NOTICE

Department of State F-2021-0719

Date of Issuance – December 29, 2021

The New York State Department of State (DOS) is required by Federal regulations to provide timely public notice for the activities described below, which are subject to the consistency provisions of the Federal Coastal Zone Management Act of 1972, as amended.

The applicant has certified that the proposed activity complies with and will be conducted in a manner consistent with the approved New York State Coastal Management Program.

In F-2021-0719, The City of Rochester, is proposing to construct a new storm water pump station located in the grass portion of the round-about of St. Paul Boulevard Terminus. The project would also include installing a new stormwater collection system that will connect existing storm drain inlets to reroute them to the proposed pumping station. In addition, installation of an 18" nominal stormwater discharge outlet to the Genesee River located south of the DEC Fishing Access boat slip. The outfall will be fitted with pipe diffuser system (16" pipe Tee with discharge elbow on each side) and will be installed below the plane of Ordinary High Water. In addition, just south of the Monroe County Sheriff property, an existing ~43 foot long 40" reinforced concrete pipe storm line will be cleaned, televised and sliplined with HDPE pipe. The existing open grate inlet/outlet access at the top of the pipe will be removed and sealed. The slip-lined pipe will extend 1.5' beyond the existing headwall and fitted with a duckbill check valve.

The proposed pumping station, associated outfall, and upgrades to the storm line are part of a larger resiliency improvement project along an ~1,000-foot stretch of the east bank of the Genesee River including shoreline improvements, improvements to the Summerville Wastewater Pumping Station and modifications to the Public Storm Sewer System. The project elements are located in the City of Rochester and the Town of Irondequoit, Monroe County near the mouth of the Genesee River

The stated purpose of the proposed action is to "increase resiliency within the project area by reducing flooding potential, riverbank erosion, and property damage caused by high-water events."

This proposal is part of the New York State Lake Ontario Resiliency and Economic Development Initiative (REDI). REDI is a program created to increase the resilience of shoreline communities and bolster economic development throughout the Lake Ontario and St. Lawrence River regions of New York State. Additional information about the REDI program including project profiles can be found at: https://www.governor.ny.gov/programs/lake-ontario-resiliency-and-economic-development-initiative-redi

The applicant's consistency certification and supporting information are available for review at: https://dos.ny.gov/system/files/documents/2021/12/f-2021-0719publicnotice.pdf or at https://dos.ny.gov/public-notices

Original copies of public information and data submitted by the applicant are available for inspection at the New York State Department of State offices located at One Commerce Plaza, 99 Washington Avenue, in Albany, New York.

Any interested parties and/or agencies desiring to express their views concerning any of the above proposed activities may do so by filing their comments, in writing, no later than 4:30 p.m., 15 days from the date of publication of this notice, or January 13, 2022.

Comments should be addressed to: Consistency Review Unit, Department of State, Office of Planning, Development and Community Infrastructure, One Commerce Plaza, 99 Washington Ave., Albany, NY 12231, (518) 474-6000, Fax (518) 473-2464. Electronic submissions can be made by email at: CR@dos.ny.gov

This notice is promulgated in accordance with Title 15, Code of Federal Regulations, Part 930.

PUBLIC NOTICE

Department of State F-2021-0720

Date of Issuance - December 29, 2021

The New York State Department of State (DOS) is required by Federal regulations to provide timely public notice for the activities described below, which are subject to the consistency provisions of the Federal Coastal Zone Management Act of 1972, as amended.

The applicant has certified that the proposed activity complies with and will be conducted in a manner consistent with the approved New York State Coastal Management Program.

In F-2021-0720, The City of Rochester, is proposing to increase the height of existing rip rap slope protection along a 785 and 400 linear foot sections of shoreline by adding geotextile fabric, 12" bedding stone and 18" medium stone fill above and landward of existing rip rap to extend the top elevation of the rock protection to 251.6' IGLD. The only work below the plane of Ordinary High Water (OHW) for this portion of the project would be the placement of a turbidity curtain or silt fence.

The project would also include increasing the height of an existing precast concrete segmental wall along 480 linear feet of shoreline. A turbidly curtain would be placed below the plane of OHW along the section of shoreline during construction and the construction may result in incidental distance to the river.

The project would also include the removal of up to 137 cubic yards (cy) of existing material including wood docking/decking, structural steel beams, steel piling, cement soil and rip rap from ~140 linear feet of shoreline with the placement to sloped rip rap resulting in ~125cy of fill placed below the plane of OHW. In addition, within this area an existing gravel boat slip/ramp will be filled with suitable compacted fill, and topsoil to an elevation of 250' with the area being seeded.

The project would also include the removal of an existing wood dock/deck that extends over the water. The existing dock/deck measure ~160 feet long by up to 25 feet wide. The sloped rip rap under the dock/deck would remain. This work would be completed within the confines of a turbidity curtain.

The project would also include the installation of a new stormwater pumping station and 12" outfall pipe. An additional 12" outfall pipe would also be installed. Rip rap (12") scour protection would be installed at both outfall locations (10' x 8' centered on outfall pipe).

Finally, 28 existing concrete anchorage points for floating docks would be fitted with two-way hinges and other minor modification would made to allow the floating docks to move over a larger vertical range.

The proposal is for an ~3,000 linear foot section of the western shoreline of the Genesee River from Petten Street north to the public boat ramp in the City of Rochester, Monroe County excluding Coast Guard Auxiliary property. The larger project includes additional upland resiliency components including flood walls and berms.

The stated purpose of the proposed action is to "increase resiliency within the project area by reducing flooding potential, riverbank erosion, and property damage caused by high-water events."

This proposal is part of the New York State Lake Ontario Resiliency & Economic Development Initiative (REDI). REDI is a program created to increase the resilience of shoreline communities and bolster economic development throughout the Lake Ontario and St. Lawrence

River regions of New York State. Additional information about the REDI program including project profiles can be found at: https://www.governor.ny.gov/programs/lake-ontario-resiliency-and-economic-development-initiative-redi

The applicant's consistency certification and supporting information are available for review at: https://dos.ny.gov/system/files/documents/2021/12/f-2021-0720publicnotice.pdf or at https://dos.ny.gov/public-notices

Original copies of public information and data submitted by the applicant are available for inspection at the New York State Department of State offices located at One Commerce Plaza, 99 Washington Avenue, in Albany, New York.

Any interested parties and/or agencies desiring to express their views concerning any of the above proposed activities may do so by filing their comments, in writing, no later than 4:30 p.m., 15 days from the date of publication of this notice, or January 13, 2022.

Comments should be addressed to: Consistency Review Unit, Department of State, Office of Planning, Development and Community Infrastructure, One Commerce Plaza, 99 Washington Ave., Albany, NY 12231, (518) 474-6000, Fax (518) 473-2464. Electronic submissions can be made by email at: CR@dos.ny.gov

This notice is promulgated in accordance with Title 15, Code of Federal Regulations, Part 930.

PUBLIC NOTICE

Department of State Uniform Code Variance/Appeal Petitions

Pursuant to 19 NYCRR Part 1205, the variance and appeal petitions below have been received by the Department of State. Unless otherwise indicated, they involve requests for relief from provisions of the New York State Uniform Fire Prevention and Building Code. Persons wishing to review any petitions, provide comments, or receive actual notices of any subsequent proceeding may contact Brian Tollisen or Neil Collier, Building Standards and Codes, Department of State, One Commerce Plaza, 99 Washington Ave., Albany, NY 12231, (518) 474-4073 to make appropriate arrangements.

2021-0601 In the matter of The Syracuse Flatiron LLC, 2509 James Street, Suite 571, Syracuse NY 13206 for a variance concerning life safety issues to an existing historic building known as the Flatiron building, four stories in height, located at 530-536 North Salina Street, City of Syracuse, County of Onondaga, State of New York.

COURT NOTICES

AMENDMENT OF RULE

Rules of the Chief Administrative Judge

Pursuant to the authority vested in me, and with the advice and consent of the Administrative Board of the Courts, I hereby amend Part 124.3 of the Rules of the Chief Administrative Judge (22 NYCRR § 124.3), effective immediately, to read as follows:

Section 124.3 Subject matter list.

A reasonably detailed list, by subject matter, of all records in the possession of the Office of Court Administration, whether or not the records are subject to public inspection and copying pursuant to the Freedom of Information Law, shall be available for public inspection and copying at the business office of the records access officer. The subject matter list shall be updated *annually* [not less than twice per year], and the date of the most recent revision shall be indicated on the first page of the list.

AMENDMENT OF RULE

Uniform Civil Rules for the Supreme and County Courts

Pursuant to the authority vested in me, and with the advice and consent of the Administrative Board of the Courts, I hereby promulgate a new section 202.5-c of the Uniform Civil Rules for the Supreme and County Courts, effective immediately, to read as follows:

- § 202.5-c. Electronic Document Delivery System.
- (a) Court documents may be transmitted to the courts of the unified court system by means of the electronic document delivery system ("EDDS") only to the extent and in the manner provided in this section. For purposes of this section, "clerk of the court" shall mean the county clerk where the court is the Supreme Court or a County Court, and the chief clerk of the court where it is any other court.
- (b) How to use the EDDS. In order for a party to a court action or proceeding to use the EDDS to transmit one or more court documents to a court, such party must:
- (1) have use of a computer or other electronic device that permits access to the Internet, an email address and telephone number, and a scanner to digitize documents or some other device by which to convert documents into an electronically transmissible form; and
- (2) access a web site provided by the UCS for the transmission of the document(s) by the EDDS and, using that web site: (i) select a court to be the recipient of the document(s) and, where the Chief Administrator has authorized use of the EDDS for the filing of documents in an action or proceeding and the party is using the EDDS for such purpose, so indicate, (ii) enter certain basic information about the action or proceeding; (iii) upload the document(s) thereto in pdf or some other format authorized by the Chief Administrator of the Courts; and (iv) if a fee is required for the filing of the document(s), follow the on-line instructions for payment of that fee.
- (c) When may the EDDS be used. The EDDS may be used for the transmission of documents in such courts and in such classes of cases, and for such purposes including the filing thereof with a court, as may be authorized by order of the Chief Administrator. Notwithstanding any other provision hereunder:
- (1) a party may not use the EDDS to transmit documents in a court action or proceeding in a court in a county in which consensual or mandatory e-filing is available in such an action or proceeding, except that EDDS may be used in such a county for the purpose of (i)

converting a pending action to e-filing in accordance with section 202.5-b(2)(iv) of these rules, (ii) transmitting exhibits for a conference, hearing, or trial; or (iii) any other use as may be authorized by the Chief Administrator;

- (2) unless the Chief Administrator shall otherwise provide as to a particular court or class of cases, a party may only use the EDDS for the transmission of documents for a purpose other than for filing in an action or proceeding;
- (3) where the Chief Administrator authorizes use of the EDDS for the transmission of documents for filing with a court in an action or proceeding, any such documents shall not be deemed filed until the clerk of such court or his or her designee shall have reviewed the documents and determined (i) that they are complete, (ii) that any fee that is required before the documents may be filed has been paid, (iii) that the documents include proof of service upon the other party or parties to the action or proceeding when proof of service is required by law, and (iv) that all other filing requirements have been satisfied.

AMENDMENT OF RULE

Uniform Civil Rules for the Supreme and County Courts

Pursuant to the authority vested in me, and with the advice and consent of the Administrative Board of the Courts, I hereby promulgate Rule 37 of section 202.70(g) of the Uniform Rules for the Supreme and County Courts (Rules of Practice for the Commercial Division) and add a new Appendix G (attached), effective December 15, 2021, to read as follows:

Rule 37. Remote Depositions.

- (a) The court may, upon the consent of the parties or upon a motion showing good cause, order oral depositions by remote electronic means, subject to the limitations of this Rule.
- (b) Considerations upon such a motion, and in support of a showing of good cause, shall include but not be limited to:
- (1) The distance between the parties and the witness, including time and costs of travel by counsel and litigants and the witness to the proposed location for the deposition; and
- (2) The safety of the parties and the witness, including whether counsel and litigants and the witness may safely convene in one location for the deposition; and
 - (3) Whether the witness is a party to the litigation; and
- (4) The likely importance or significance of the testimony of the witness to the claims and defenses at issue in the litigation.

For the avoidance of doubt, the safety of the parties and the witness shall take priority over all other criteria.

- (c) Remote depositions shall replicate, insofar as practical, inperson depositions and parties should endeavor to eliminate any potential for prejudice that may arise as a result of the remote format of the deposition. To that end, parties are encouraged to utilize the form protocol for remote deposition, which is reproduced as Appendix G to these rules, as a basis for reaching the parties' agreed protocol.
- (d) No party shall challenge the validity of any oath or affirmation administered during a remote deposition on the grounds that:
- (1) the court reporter or officer is or might not be a notary public in the state where the witness is located; or,
- (2) the court reporter or officer might not be physically present with the witness during the examination.

- (e) Witnesses and defending attorneys shall have the right to review exhibits at the deposition independently to the same degree as if they were given paper copies.
- (f) No waiver shall be inferred as to any testimony if the defending attorney was prohibited by technical problems from interposing a timely objection or instruction not to answer.
- (g) Nothing in this rule is intended to: (i) address whether a remote witness is deemed "unavailable," within the meaning of CPLR 3117 and its interpretive case law, for the purposes of utilizing that witness' deposition at trial; or (ii) alter the Court's authority to compel testimony of non-party witnesses in accordance with New York law.

Please see the Appendix at the end of this issue for the full text of Appendix G.

FINANCIAL REPORTS



Depositories for the Funds of the State of New York

Month End: November 30, 2021

Prepared by the Division of the Treasury
Investments, Cash Management and Accounting Operations

Michael R Schmidt Commissioner

mald

ACCOUNTS HELD IN JOINT CUSTODY BY THE COMMISSIONER OF TAXATION FINANCE AND THE NEW YORK STATE COMPTROLLER		
Unemployment Insurance Funding Account	Key Bank	9,550,363.55
Occupational Training Act Funding Account PIT Special Refund Account	Key Bank JPMorgan Chase Bank, N.A.	20,058.86 (287,112,817.05)
General Checking	Key Bank	(788,854,705.86)
Direct Deposit Account TOTAL	Wells Fargo	(306,445,841.27)
01000 - EXECUTIVE CHAMBER		
Executive Chamber Advance Account	Key Bank	No report received
01010 - DIVISION OF BUDGET		
Advance Account	Bank of America, N.A.	4,940.00
01030 - DIVISION OF ALCOHOLIC BEVERAGE CONTROL		
Albany	Kov Pank	1,700.00
SLA Investigations Account 01050 - OFFICE OF GENERAL SERVICES	Key Bank	1,700.00
Exec Mansion Official Function	Key Bank	19,921.04
NY ISO Account	Key Bank	8,272,054.75
SNY Office of General Services	JPMorgan Chase Bank, N.A.	3,193.12
State of New York Elicensing	Key Bank	41,552.01
State of New York OGS Petty Cash	Key Bank	291,854.74
State of New Your OGS Escrow 01060 - DIVISION OF STATE POLICE	Key Bank	5,468.73
CNET Confidential Account	Key Bank	0.00
Div Headquarters - Petty Cash	Key Bank	1,706.75
Key Advantage Account	Key Bank	87,622.34
Manhattan Office-confidential	JPMorgan Chase Bank, N.A.	339.55
NYS Police Special Account	Key Bank	1,871,620.94
NYSP CTIU Confidential Fund	Key Bank	4,000.00
SIU Confidential Fund Account	Key Bank	8,750.00 220,199.29
Special Fund State Police Receipts Account	Key Bank Bank of America, N.A.	138,778.83
Troop A Batavia - Petty Cash	Bank of America, N.A.	1,000.00
Troop A Batavia-Confidential	Bank of America, N.A.	2,450.17
Troop B Confidential	Key Bank	5,800.00
Troop B Petty Cash	Community Bank	1,000.00
Troop C Confidential Fund	NBT Bank	4,300.00
Troop C Petty Cash	NBT Bank	1,000.00
Troop D Oneida - Confidential Troop D Oneida Petty Cash	Alliance Bank Alliance Bank	3,000.00 1,000.00
Troop B Coneda Petry Cash Troop E Canandaigua Confidential	Canandaigua National Bank	2,000.00
Troop E Petty Cash	Canandaigua National Bank	1,000.00
Troop F Confidential	JPMorgan Chase Bank, N.A.	2,500.00
Troop F Petty Cash	JPMorgan Chase Bank, N.A.	1,000.00
Troop G Loudonville Conf	Bank of America, N.A.	2,330.22
Troop G Petty Cash	Bank of America, N.A. Bank of Millbrook	1,000.00 924.00
Troop K Petty Cash Troop K Poughkeepsie-Confidential	Bank of Millbrook	1,500.00
Troop L Confidential Fund	Bank of America, N.A.	2,808.73
01070 - DIVISION OF MILITARY & NAVAL AFFAIRS	,	
Advance For Travel	Key Bank	No report received
SNY Camp Smith Billeting Fund	JPMorgan Chase Bank, N.A.	No report received
01077 - OFFICE OF HOMELAND SECURITY		44.050.00
Academy Of Fire Science 01080 - DIVISION OF HOUSING & COMMUNITY RENEWAL	Chemung Canal Trust	41,250.20
Albany Office Of Financial Administration		
Maximum Base Rent Fee Account	JPMorgan Chase Bank, N.A.	No report received
Revenue Account	JPMorgan Chase Bank, N.A.	No report received
01090 - DIVISION OF HUMAN RIGHTS		
Petty Cash Fund Account	JPMorgan Chase Bank, N.A.	No report received
01150 - OFFICE OF EMPLOYEE RELATIONS	Key Bank	10.000.07
GOER Panel Administration Escrow Account NYS Flex Spending	Key Bank Key Bank	10,630.07 978,970.59
State of New York LMC Petty Cash Account	Key Bank	1,840.00
01160 - JUSTICE CENTER FOR THE PROTECTION OF PEOPLE WITH SPECIAL NEEDS	noy bank	1,310.00
Agency Advance Account	Key Bank	2,000.00
01300 - ADIRONDACK PARK AGENCY		
General Fund	Community Bank	2.00
Petty Cash	Community Bank	3,769.70
01400 - CRIME VICTIMS COMPENSATION BOARD		

	Crime Victims	JPMorgan Chase Bank, N.A.	150,000.00
	Emergency Award Account	M&T Bank	0.00
	Emergency Claims	Key Bank	7,870.00
	Petty Cash Account	Key Bank	1,550.00
01.400	REST/SUBROG Escrow Account DIVISION OF CRIMINAL JUSTICE SERVICES	Key Bank	389.66
01490	Advance Account	Bank of America, N.A.	2,900.00
	Fingerprint Fee Account	Bank of America, N.A.	1,068,551.50
01530	STATE COMMISSION OF CORRECTION	24 3.743	2,000,002100
	Advance Account	Bank of America, N.A.	2,000.00
01540	STATE BOARD OF ELECTIONS		
	Revenue Account	Key Bank	No report received
01620	OFFICE FOR PREVENTION OF DOMESTIC VIOLENCE		
	NYS Prevention Domestic Violence	Bank of America, N.A.	No report received
02000	OFFICE OF THE STATE COMPTROLLER Admissions	Pank of America N A	230,364.80
	Adult-Use Cannabis	Bank of America, N.A. Wells Fargo Bank	0.00
	Advance for Travel Account	Key Bank	No report received
	Alcohol Beverage	Bank of America, N.A.	96,579.75
	Alcoholic Bev Control License	Wells Fargo Bank	37,651.51
	Alcoholic Beverage Control License	M&T Bank	2,536,200.32
	Alcoholic Beverage Tax Collections	Wells Fargo Bank	50,994.39
	Assessments Bulk	JPMorgan Chase Bank, N.A.	87,761.95
	Assessments Receivable	JPMorgan Chase Bank, N.A.	6,194,355.21
	Assessments Receivable (EFT)	Wells Fargo Bank	1,092,433.35
	Boxing And Wrestling Tax	Bank of America, N.A.	14,453.17
	Check 21 Corporation Tax Check 21 Estate Tax	JPMorgan Chase Bank, N.A. JPMorgan Chase Bank, N.A.	2,004,330.78
	Check 21 Highway Use	JPMorgan Chase Bank, N.A. JPMorgan Chase Bank, N.A.	1,952,281.97 463,697.92
	Check 21 PIT	JPMorgan Chase Bank, N.A.	647,044.64
	Check 21 Real Estate Transfer	JPMorgan Chase Bank, N.A.	1,025,158.35
	Check Sales Tax	JPMorgan Chase Bank, N.A.	2,605,683.88
	Cigarette Stamp Tax (EFT)	Wells Fargo Bank	5,116,188.24
	Cigarette Stamp Tax Split	JPMorgan Chase Bank, N.A.	662,493.85
	Cigarette Tax Tobacco Products	Bank of America, N.A.	166,041.53
	Congestion Surcharge	Wells Fargo Bank	70,338.82
	Corporation Tax	Wells Fargo Bank	23,056.87
	Corporation Tax - Coupon Acct.	JPMorgan Chase Bank, N.A.	51,863.94
	Employer Compensation Expense	Wells Fargo Bank	13,016.89
	Encon Beverage Container Deposit/Bottle Bill (EFT) ERS Petty Cash Acct	Wells Fargo Bank Key Bank	200,882.24 No report received
	Estimated Tax	JPMorgan Chase Bank, N.A.	4,947,803.34
	Gift Tax	Bank of America, N.A.	0.00
	Hazardous Waste	Key Bank	18,673.72
	Highway Use - Permits & Reg.	Bank of America, N.A.	28,066.87
	Highway Use Truck Mileage Tax (EFT)	Wells Fargo Bank	518,801.04
	Hudson River-Black River	Bank of America, N.A.	726,704.20
	Hudson River-Black River	Community Bank	356,084.71
	Hut/Oscar Registrations & Renewals (EFT)	Wells Fargo Bank	92,888.50 73,848.08
	IFTA Fuel Use Tax (EFT) IFTA/Oscar Renewals (EFT)	Wells Fargo Bank Wells Fargo Bank	64,776.00
	IFTA-Decal/Permit Fee Acct.	Bank of America, N.A.	95,361.00
	IFTA-Fuel Use	Bank of America, N.A.	80,896.52
	Justice Court	Key Bank	300,540.30
	Mac #847 NYS T&F Pari Mutuel	Key Bank	11,300.42
	Mac #848 NYS T&F Off Track	Key Bank	2,710,548.56
	Medallion Taxicab Trip Tax (EFT)	Wells Fargo Bank	2,707.50
	Medical Marijuana Tax Collections	Bank of America, N.A.	85,988.10
	Metro Commuter Trans. Mobility	JPMorgan Chase Bank, N.A.	5,622.88
	Ogdensburg Bridge & Port Opioid Excise Tax	Community Bank Wells Fargo Bank	143,776.15 99,100.32
	Pass-Through Entity Tax	Wells Fargo Bank	1.00
	Personal Income Tax	Wells Fargo Bank	64,429.94
	Petroleum Business Tax (EFT)	Wells Fargo Bank	292,482.23
	Petroleum Products Tax	Bank of America, N.A.	3,127,976.03
	Petty Cash Account	Key Bank	No report received
	PIT Bulk	JPMorgan Chase Bank, N.A.	680,445.00
	Port Of Oswego	Key Bank	125,610.29
	Promptax - MCTMT	Wells Fargo Bank	6,141,111.84
	Promptax - Petroleum Business Tax	Wells Fargo Bank	285.22
	Promptax - Sales Tax/Sales Tax Prepaid Fuel	Wells Fargo Bank	4,880.54
	Promptax - Withholding Real Estate Transfer Tax	Wells Fargo Bank Key Bank	109,794,415.56 171,999.07
	Revenue Holding	First Niagara Bank	3,230,000.00
	······································		5,250,000.00

Sales Tax Sales Tax	JPMorgan Chase Bank, N.A. Wells Fargo Bank	0.00 1,049,682.31
SUNY Concentration	First Niagara Bank	127,000.00
TNC Assessment (EFT)	Wells Fargo Bank	1,901.10
Troy Debt Service Reserve Fund	Bank of America, N.A.	313,761.94
Uncashed Winning Tickets	Bank of America, N.A.	49,975.49
Withholding	Wells Fargo Bank	928.95
Withholding Tax	JPMorgan Chase Bank, N.A.	18,163,415.62
Cash Advance Accounts		
Advance For Travel Account	Key Bank	No report received
ERS Petty Cash Acct	Key Bank	No report received
Petty Cash Account	Key Bank	No report received
Common Retirement Fund		
Common Retirement Fund - Depository	JPMorgan Chase Bank, N.A.	(288.57)
NYS Common Retirement Fund	JPMorgan Chase Bank, N.A.	25,995,209.52
Employees Retirement System	JDM Chara Barth M A	7.60
Employees Retirement System - EFT	JPMorgan Chase Bank, N.A.	7.69 0.00
Employees Retirement System - General Employees Retirement System - Pension	JPMorgan Chase Bank, N.A. JPMorgan Chase Bank, N.A.	0.00
Group Term Life	JPMOIgan Chase Bank, N.A.	0.00
Group Term Life - General	JPMorgan Chase Bank, N.A.	0.00
Municipal Assistance Corporation Accounts	51 Florgali Chase Bally, N.A.	0.00
City Of Troy - MAC	JPMorgan Chase Bank, N.A.	719,231.00
Police and Fire	51 Tiongan Shabe Barny Tin a	, 13/201100
Retirement Police & Firemen's - EFT	JPMorgan Chase Bank, N.A.	0.16
Retirement Police & Firemen's - General	JPMorgan Chase Bank, N.A.	0.00
Retirement Police & Firemen's - Pension	JPMorgan Chase Bank, N.A.	0.00
03000 - DEPARTMENT OF LAW	- '	
Albany Filing Fees Account	Key Bank	No report received
Albany Petty Cash	Bank of America, N.A.	No report received
Albany Revenue Account	Key Bank	No report received
Assessment Account	JPMorgan Chase Bank, N.A.	No report received
Attorney General Account	Key Bank	No report received
Civil Recoveries Account	Key Bank	No report received
Dept Of Law Controlled Disb	M&T Bank	No report received
Marie Roberts	JPMorgan Chase Bank, N.A.	No report received
NYC Filing Fees Account	JPMorgan Chase Bank, N.A.	No report received
NYC Petty Cash Account	JPMorgan Chase Bank, N.A.	No report received
NYC Revenue Account	JPMorgan Chase Bank, N.A.	No report received
Restitution Account	M&T Bank	No report received
Special Account	Key Bank	No report received
US Justice Dept - Shared Forfeiture	Key Bank	No report received
US Treas Dept - Shared Forfeiture	Key Bank	No report received
03010 - OFFICE OF THE ATTORNEY GENERAL		
OCTF - Confidential Fund Checking	JPMorgan Chase Bank, N.A.	No report received
OCTF - Confidential Fund Checking	JPMorgan Chase Bank, N.A.	No report received
03020 - MEDICAID FRAUD CONTROL	Ken Denle	C 270 F01 00
dept atty gen vs john doe National Global Settlement	Key Bank JPMorgan Chase Bank, N.A.	6,370,581.80 349,229.58
NYS Department Of Law Confidential Account	JPMorgan Chase Bank, N.A.	28,544.76
NYS Department of Law Confluential Account	JPMorgan Chase Bank, N.A.	4,903.39
04020 - NYS ASSEMBLY	Je Florgali Chase Balik, N.A.	7,303.33
Advance For Travel	Bank of America, N.A.	2,975.00
Petty Cash Account Dist Off	Bank of America, N.A.	20,005.66
Petty Cash New York City	JPMorgan Chase Bank, N.A.	706.52
Public Information Office	Key Bank	33.25
04030 - ASSEMBLY WAYS & MEANS COMMITTEE	··- , ····	
Advance For Travel	Key Bank	No report received
Petty Cash	Key Bank	No report received
04040 - LEGISLATIVE BILL DRAFTING COMMISSION	,	
NY LBDC - Legislative Computer Services Fund	Key Bank	No report received
NYS Leg Bill Drafting Comm-Petty Cash Acct	Key Bank	No report received
04250 - REAPPORTIONMENT		
NYS Taskforce On Demo Res & Reapp	JPMorgan Chase Bank, N.A.	No report received
05000 - OFFICE OF COURT ADMINISTRATION		
Attorney Registration Fees - Revenue	JPMorgan Chase Bank, N.A.	1,163,988.00
Criminal Records Search Acct - Revenue	JPMorgan Chase Bank, N.A.	11,735,240.00
Finger Print Account	JPMorgan Chase Bank, N.A.	3,225.00
05005 - OCA OFFICE OF BUDGET & FINANCE		
Petty Cash Account	Key Bank	2,513.60
05008 - LAWYERS FUND FOR CLIENT PROTECTION		
Client Security Fund - Bail	Key Bank	1,479,621.07
Lawyers Fund For Client Protection - Bail	Key Bank	702.16
Petty Cash	Key Bank	2,734.71

0F074 COURT OF ARREADS		
05071 - COURT OF APPEALS Chief Judge Advance	Key Bank	15.07
Clerk Of The Court Of Appeals	Key Bank	2,943.05
05072 - STATE BOARD OF LAW EXAMINERS State Board Of Law Examiners Fee	Key Bank	2,170,000.00
05081 - APPELLATE DIVISION - 1ST JUDICIAL DEPARTMENT	Rey Built	2,170,000.00
1st Appellate Division Supreme Ct	JPMorgan Chase Bank, N.A.	48,156.00
05082 - APPELLATE DIVISION - 2ND JUDICIAL DEPARTMENT Appellate Div 2nd Dept Revenue	JPMorgan Chase Bank, N.A.	75,479.00
05083 - APPELLATE DIVISION - 3RD JUDICIAL DEPARTMENT	or rengan ended barry ray	70,173100
Third Dept Civil Fees Acct - Revenue	Key Bank	12,510.00
05084 - APPELLATE DIVISION - 4TH JUDICIAL DEPARTMENT 4th Dept Appellate Div Civil Fees - Revenue	JPMorgan Chase Bank, N.A.	12,978.00
05090 - COURT OF CLAIMS		,
Court Of Claims Revenue Account 05111 - 10TH JUDICIAL DISTRICT NASSAU COUNTY ADMINISTRATION	Key Bank	3,558.60
Glen Cove City Court		
Glen Cove City Court Bail	Wells Fargo Bank	4,001.05
Glen Cove City Court Revenue Long Beach City Court	Wells Fargo Bank	19,555.86
Long Beach City Court Revenue	Wells Fargo Bank	83,297.10
Long Beach Court Bail	Wells Fargo Bank	66,061.10
Nassau County Court Nassau County Assessment	Wells Fargo Bank	113,764.41
Nassau District Court - Criminal	Wells Largo Balik	115,701.11
Nassau Dist Ct Criminal Revenue	Wells Fargo Bank	184,479.67
Nassau District Court-Civil Nassau County Dist Ct- Civil Revenue	Wells Fargo Bank	34,492.80
Nassau Surrogate	Wells I algo balk	37,732.00
Nassau County Surrogate Court-Revenue	Wells Fargo Bank	352,717.00
05112 - 10TH JUDICIAL DISTRICT SUFFOLK COUNTY ADMINISTRATION 10th Judicial District Suffolk County Admin		
Suffolk County Court -Court Fund	People's United Bank	47,537.30
Suffolk County Surrogate		
Surrogate Court Of Suffolk County Suffolk District Court Civil Fees	People's United Bank	No report received
Suffolk County District Court Civil Fees	Citibank	No report received
Suffolk District Court Criminal Fines	Citiberal	No seemed as a second
Suffolk County District Court Criminal Fines Suffolk District Court Trust Acct	Citibank	No report received
Suffolk County District Court Trust Account	Citibank	No report received
05210 - NYC-CIVIL COURT Bronx Civil Court - Civil		
Bronx Civil Court - Revenue	JPMorgan Chase Bank, N.A.	1,163,900.53
Harlem Community Justice Court		
Harlem Community Justice - Revenue Account Kings Civil Court- Civil	JPMorgan Chase Bank, N.A.	23,622.88
Kings Civil Court- Civil Revenue	JPMorgan Chase Bank, N.A.	492,279.47
New York Civil Court - Civil		
New York Civil Court Revenue Acct Queens Civil Court - Civil	JPMorgan Chase Bank, N.A.	74,493.44
Queens Civil - Revenue	JPMorgan Chase Bank, N.A.	136,999.24
Richmond Civil Court - Civil		
Richmond Civil Ct Revenue Acct 05215 - NYC-CRIMINAL COURT	JPMorgan Chase Bank, N.A.	50,026.66
Bronx Criminal Court- Criminal Court		
Bronx Criminal Division- Criminal Bail	JPMorgan Chase Bank, N.A.	258,512.00
Bronx Criminal Court- Criminal Court Bronx Criminal Division- Criminal Revenue	JPMorgan Chase Bank, N.A.	4,487.00
Kings County Criminal Court	of Florgan Chase Samy 11.7 C	1,107.00
Kings Criminal Court	Citibank	123,131.25
New York County Criminal Court New York Criminal Court	JPMorgan Chase Bank, N.A.	31,476.00
New York Criminal Court- State Funds	JPMorgan Chase Bank, N.A.	30,983.00
Queens County Criminal Court	IDM Change B. J. M. A.	F7 060 00
Queens Criminal Court Queens Criminal Court - State Funds	JPMorgan Chase Bank, N.A. JPMorgan Chase Bank, N.A.	57,868.00 19,153.00
Richmond County Criminal Court	gan anna anny ma	15,1253.00
Richard Criminal Court-City Funds	JPMorgan Chase Bank, N.A.	35,756.00
Richmond Criminal Court-State Funds 05231 - SUPREME COURT - BRONX COUNTY	JPMorgan Chase Bank, N.A.	13,983.00
Bronx County Supreme - NYS OCA	JPMorgan Chase Bank, N.A.	60,240.00
05235 - SUPREME COURT - KINGS COUNTY		
Kings Co Supreme		

Supreme Court Kings County-Revenue 05240 - SUPREME COURT - QUEENS COUNTY	JPMorgan Chase Bank, N.A.	4,899.00
Queens Co Supreme		
Queens County Supreme Court	JPMorgan Chase Bank, N.A.	9,462.00
Queens County Supreme Court	JPMorgan Chase Bank, N.A.	0.00
05250 - NEW YORK COUNTY CLERK	,	
New York Co Clerk Revenue Account	JPMorgan Chase Bank, N.A.	3,129,852.24
05255 - BRONX COUNTY CLERK	-	
Bronx County Clerk		
Bronx Cnty Clerk Revenue Acct	JPMorgan Chase Bank, N.A.	589,700.45
05260 - KINGS COUNTY CLERK		
Kings County Clerk		
Kings County Clerk Revenue Account	Flushing Commercial Bank	1,191,714.85
05265 - QUEENS COUNTY CLERK		
Queens County Clerk-Revenue Acct	Sterling Bank	857,805.32
05270 - RICHMOND COUNTY CLERK		
Richmond Co Clerk State Fees Account	JPMorgan Chase Bank, N.A.	9,291,801.10
Richmond County Clerk DEC	JPMorgan Chase Bank, N.A.	78.93
05275 - NEW YORK COUNTY SURROGATES COURT		
New York Surrogate New York Surrogate Court	JPMorgan Chase Bank, N.A.	100,972.00
05280 - BRONX COUNTY SURROGATES COURT	3F Horgan Chase Bank, N.A.	100,372.00
Bronx Surrogate		
Bronx Surrogate Court Revenue Acct	JPMorgan Chase Bank, N.A.	67,611.25
05285 - KINGS COUNTY SURROGATES COURT	,	•
Kings County Surrogate		
Kings Co. Surrogate Revenue Acct	Bank of America, N.A.	699,691.00
05290 - QUEENS COUNTY SURROGATES COURT		
Queens surrogate		
Queens Co Revenue Acct Surrogate	Signature Bank	40,227.00
05295 - RICHMOND COUNTY SURROGATES COURT		
Richmond County Surrogate Court Revenue Account	Victory State Bank	19,464.00
05360 - 3RD JUDICIAL DISTRICT ADMINISTRATION		
Albany City Court - (Civil)		
Albany City Civil - Revenue	Wells Fargo Bank	2,532.00
Albany City Court - (Crim-Bail)	W.H. 5 D I	10.507.04
Albany City Criminal - Bail	Wells Fargo Bank	13,587.34
Albany City Court - (Traffic)	Malla Farra Bank	12 FFC 00
Albany Treffic Court Bril	Wells Fargo Bank	43,556.00
Albany Traffic Court - Bail Albany City Court - Civil Part	Bank of America, N.A.	0.00
Albany City Court Civil - Revenue	Trustco Bank	0.00
Albany City Court - Crim	Trasico bank	0.00
Albany City Court-Crim	Wells Fargo Bank	5,371.22
Albany City Court - Traffic-Bail		0,0,1122
Albany City Traffic - Bail	Wells Fargo Bank	1,300.35
Albany County Surrogate	5	,
Albany Cty Surrogates Court - Revenue	Bank of America, N.A.	220.00
Albany Police Court		
Albany Police Court Bail Account	Key Bank	0.00
Albany Surrogates Court		
Albany County Surrogates Court Revenue	Wells Fargo Bank	7,783.75
Cohoes City Court		
Cohoes City Court Bail	Key Bank	1,915.00
Cohoes City Court Fees/Fines Account	Key Bank	9,715.00
Columbia County Surrogate		
Columbia Co Surrogate Ct Fees - Revenue	Key Bank	18,377.50
Greene Surrogate		
Greene Surrogate-Revenue	Wells Fargo Bank	1,290.00
Hudson City Court	Walla Carea Dank	20 504 87
Hudson City Bail Hudson City Revenue	Wells Fargo Bank	29,594.87 10,308.45
riudson City Revenue Kingston City Court	Wells Fargo Bank	10,308.45
Kingston City Court Bail	Wells Fargo Bank	4.00
Kingston City Court Revenue	Wells Fargo Bank	26,010.48
Rensselaer City Court	rrais i argo barik	20,010.40
Rensselaer City Court - Bail	Wells Fargo Bank	5,006.66
Rensselaer City Court - Revenue	Wells Fargo Bank	5,166.00
Rensselaer County Surrogate		5,255.00
Rensselaer Co Surrogate Ct Fees - Revenue	Key Bank	30,403.00
Schoharie Surrogate Court	•	30,.00.00
Schoharie Surrogates Court Revenue	NBT Bank	1,850.00
Sullivan Surrogate		,
Sullivan Surrogate-Revenue	Wells Fargo Bank	3,273.59

Troy City Court	Pank of America N.A.	19,241.22
Troy City Court- Revenue Acct - Revenue Troy Police Court Bail Account	Bank of America, N.A. Bank of America, N.A.	19,241.22
Ulster County Surrogate	Same of America, 143 a	12,203,00
Ulster County Surrogate Court - Revenue	Key Bank	6,360.00
Watervliet City Court		
Watervliet City Court - Bail	Wells Fargo Bank	39,490.19
Watervliet City Court - Revenue 05460 - 4TH JUDICIAL DISTRICT ADMINISTRATION	Wells Fargo Bank	19,741.45
Amsterdam City Court		
Amsterdam City Court - Bail	Key Bank	27,901.24
Amsterdam City Court - Revenue	Key Bank	10,271.00
Clinton County Surrogates		
Clinton County Surrogates - Revenue	NBT Bank	2,135.75
Essex County Surrogate Fiscex Co Surrogate Clock - Revenue	Champlain National	488.00
Essex Co Surrogate Clerk - Revenue Franklin County Surrogate	Champiain National	100.00
Franklin Co Surrogate Court - Revenue	Key Bank	55.25
Fulton County Surrogate	,	
Fulton County Surrogate's Court	Key Bank	716.00
Glens Falls City Court		
Glens Falls City Court Account - Revenue	Glens Falls National	11,377.50
Glens Falls City Court Bail Acct Gloversville City Court	Glens Falls National	12,828.89
Gloversville City Court Bail	NBT Bank	24,814.52
Gloversville City Court Revenue	NBT Bank	4,940.50
Hamilton Surrogate		
Hamilton Surrogate - Revenue	Community Bank	0.00
Johnstown City Court		0.576.00
City Of Johnstown Bail Account - Bail Johnstown City Court Fines/Fees - Revenue	Key Bank Key Bank	2,576.02 5,003.00
Mechanicville City Court	Rey Dalik	3,003.00
Mechanicville City Court Bail	TD Bank	0.00
Mechanicville City Ct Revenue Acct	TD Bank	3,631.00
Montgomery County Surrogate		
Montgomery County Surrogates Court - Revenue	NBT Bank	892.00
Ogdensburg City Court	Community Pank	E E11 04
Ogdensburg City Court Int Bail Ogdensburg City Court Revenue	Community Bank Community Bank	5,511.04 1,173.86
Plattsburgh City Court	Communicy bank	1,175.00
Plattsburgh City Court - Bail	Glens Falls National	8,851.76
State Of NY Plattsburgh City Court - Revenue	Glens Falls National	14,265.00
Saratoga County Surrogate		
Saratoga County Surrogate - Revenue	Ballston Spa National Bank	3,435.00
Saratoga Springs City Court Saratoga Springs Bail Account	The Adirondack Trust Company	38,821.32
Saratoga Springs City Revenue Acct	The Adirondack Trust Company	15,438.00
Schenectady City Court		, , , , , , , , , , , , , , , , , , ,
Schenectady City Court- Bail	Bank of America, N.A.	64,576.61
Schenectady City Court Revenue	Bank of America, N.A.	25,691.86
Schenectady Surrogate Court - Revenue	Key Bank	4,811.50
Schenectady Surrogate Court - Revenue St. Lawrence Co Surrogate	Rey Ballk	٦,611.50
St. Lawrence County Surrogate - Revenue	Community Bank	1,466.50
Warren County Surrogate	·	·
Warren County Surrogate Court - Revenue	TD Bank	1,325.00
Washington Surrogates		
Washington Surrogate Revenue	TD Bank	1,812.00
05560 - 5TH JUDICIAL DISTRICT ADMINISTRATION Fulton City Court		
Fulton City Court Bail Acct	Key Bank	No report received
Fulton City Court Revenue	Key Bank	No report received
Herkimer Surrogate		
Herkimer Surrogate - Revenue	Partners Trust	No report received
Jefferson Surrogates	Kara Barata	No accordence and
Jefferson Co Surrogate Revenue Lewis County	Key Bank	No report received
Lewis County Clerk	Community Bank	No report received
Lewis County Surrogates	•	
Lewis County Surrogate Court - Revenue	Key Bank	No report received
Little Falls City Court		
Little Falls City Court Bail	M&T Bank	No report received
Little Falls City Court Revenue Oneida County Combined	M&T Bank	No report received
Should State, Committee		

Oneida County Combined Court	Adirondack Bank	No report received
Oneida County Surrogates	Adirondack Dank	No report received
Oneida County Surrogate Court Revenue	The Adirondack Trust Company	No report received
Onondaga County Surrogates		
Onondaga Surrogate Court - Revenue	Alliance Bank	No report received
Oswego City Court	JOM Chara Bank N.A.	No occupation of
Oswego City Court Bail Acct Oswego City Court Revenue	JPMorgan Chase Bank, N.A. JPMorgan Chase Bank, N.A.	No report received No report received
Oswego Surrogate Court	Jemorgan Chase Bank, N.A.	No report received
Oswego County Surrogate Court - Revenue	Key Bank	No report received
Rome City Court	,	•
City Court Of Rome Bail Account - Bail	NBT Bank	No report received
Rome City Court - Revenue	NBT Bank	No report received
Sherrill City Court		
Sherrill City Court 5th Jud Dist - Bail	NBT Bank NBT Bank	No report received No report received
Sherrill City Court Fees - Revenue Syracuse City Court	INDI Dalik	No report received
Syracuse City Court - Bail	NBT Bank	No report received
Syracuse City Court - Fees - Revenue	NBT Bank	No report received
Utica City Court		
Utica City Court Criminal Bail	Bank of Utica	No report received
Utica City Court Revenue Account	Key Bank	No report received
Watertown City Court	Key Benk	No second second
Watertown City Court Bail Watertown City Court Fees & Fines - Revenue	Key Bank Key Bank	No report received No report received
05661 - 6TH JUDICIAL DISTRICT ADMINISTRATION	Key Bank	No report received
Binghamton City Court		
Binghamton City Court Bail	M&T Bank	67,602.00
Binghamton City Court Revenue	M&T Bank	10,687.30
Broome Surrogates		
SNY UCS Broome County Surrogates Court	Wells Fargo Bank	1,595.50
Chemung County Surrogates SNY UCS Chemung County Surrogates Court	Wells Fargo Bank	1,093.25
Chenango County Surrogates	Walls Furgo bullik	1,030.23
SNY UCS Chenango County Surrogates Court	Wells Fargo Bank	335.00
Cortland City Court		
Court City Court Bail	NBT Bank	21,639.38
Court City Court- Revenue	NBT Bank	4,920.06
Cortland County Surrogates	Wells Farge Pank	489.00
SNY UCS Cortland County Surrogates Court Delaware County Surrogates	Wells Fargo Bank	489.00
Delaware County Surrogate - Revenue	Delaware National Bank	No report received
Elmira City Court		•
Elmira City Court - Revenue Account	Chemung Canal Trust	7,178.01
Elmira City Court Bail	Chemung Canal Trust	109,583.47
Ithaca City Court		2.454.00
Ithaca City Court Ithaca City Court Revenue	Tompkins County Trust Tompkins County Trust	8,454.00 3,778.00
Madison County Surrogates	Tompkins County Trust	3,//6.00
SNY UCS Madison County Surrogates Court	Wells Fargo Bank	10.75
Norwich City Court	<i>-</i>	
Norwich City Court Bail Acct	NBT Bank	No report received
Norwich City Court Revenue Acct	NBT Bank	No report received
Oneida City Court		
Oneida City Court Bail Account	JPMorgan Chase Bank, N.A. JPMorgan Chase Bank, N.A.	5,864.00
Oneida City Court Fee & Fine - Revenue Oneonta City Court	JPMorgan Chase Bank, N.A.	8,271.82
Oneonta City Court - Revenue	Community Bank	6,296.00
Oneonta City Court Bail Account	Community Bank	0.00
Otsego County Surrogates		
Otsego County Surrogates Court - Revenue	Key Bank	1,274.00
Schuyler County Surrogates		
Schuyler County Surrogates Court Tioga County Surrogates	Community Bank	726.00
Tioga Surrogates Tioga Surrogates Court - Revenue	M&T Bank	0.00
Tompkins County Surrogates	FIGT BUILT	0.00
SNY UCS Tompkins County Surrogates Court	Wells Fargo Bank	2,610.25
05761 - 7TH JUDICIAL DISTRICT ADMINISTRATION		
Auburn City Court		
Auburn City Court Bail Acct	Key Bank	26,908.00
Auburn City Court Fees & Fines - Revenue	Key Bank	10,200.52
Canandaigua City Court Canandaigua City Court Bail Acct	Canandaigua National Bank	26,302.44
Canandaigua City Court Revenue	Canandaigua National Bank	11,104.00
	-	,

Cayuga County Surrogates		
Cayuga Surrogate Court	Wells Fargo Bank	2,723.00
Corning City Court		
Corning City Court - Bail	Wells Fargo Bank	11,552.54
Corning City Court - Revenue	Wells Fargo Bank	3,143.02
Geneva City Court Geneva City Court Bail Account	Wells Fargo Bank	23,022.33
Geneva City Court Revenue Account	Wells Fargo Bank	6,301.50
Hornell City Court	-	,
Hornell City Court Bail Account	Community Bank	5,100.04
Hornell City Court Revenue	Community Bank	851.00
Livingston County Surrogates	Walle Faure Book	171.00
Livingston Surrogate Court Monroe County Surrogates	Wells Fargo Bank	171.00
7th District Monroe Surrogate	Wells Fargo Bank	17,950.50
Ontario County Surrogates	5	,
Ontario Surrogate Court	Wells Fargo Bank	6,277.00
Rochester City Court	MOT D. I	400.040.00
Rochester City Court Bail Account	M&T Bank	492,948.00
Rochester City Revenue Seneca County Surrogates	M&T Bank	19,709.34
Seneca Surrogate Court	Wells Fargo Bank	1,058.00
Steuben County Surrogates	5	,
7th District Steuben Surrogate	Wells Fargo Bank	10,153.00
Wayne County Surrogates		
Wayne Surrogate Court	Wells Fargo Bank	2,143.50
Yates County Surrogates Yates Surrogate Court	Wells Fargo Bank	638.00
05860 - 8TH JUDICIAL DISTRICT ADMINISTRATION	Wals Fargo bank	030.00
ALLEGANY COUNTY SURROGATES COURT		
ST of NY Office of The State Comptroller State of New York Unified Courts Allegany Surrogate Court	Wells Fargo Bank	No report received
Batavia City Court		
ST of NY Office of The State Comptroller Batavia City Court Bail	Wells Fargo Bank	No report received
ST of NY Office of The State Comptroller Batavia City Court REVENUE BUFFALO CITY COURT	Wells Fargo Bank	No report received
ST of NY Office of The State Comptroller Buffalo City Court Bail Account	Wells Fargo Bank	No report received
ST of NY OFFICE OF THE STATE COMPTROLLER BUFFALO CITY COURT REVENUE ACCOUNT	Wells Fargo Bank	No report received
Cattaraugus County Surrogates		
ST of NY Office of the State Comptroller State of New York Unified Courts Cattaraugus Surrogate Court	Wells Fargo Bank	No report received
Chautauqua County Surrogates Court ST of NY Office of the State Comptroller State of New York Unified Courts Chautauqua Surrogate Court	Wells Fargo Bank	No report received
Dunkirk City Court	Wells Falgo Balik	No report received
ST of NY Office of The State Comptroller Dunkirk Bail Account	Wells Fargo Bank	No report received
ST of NY Office of The State Comptroller Dunkirk Revenue Account	Wells Fargo Bank	No report received
Erie - Buffalo County Law Library		
Sur Ct Lib At Buffalo - Revenue	M&T Bank	No report received
Erie County Surrogates ST of NY Office of the State Comptroller State of New York Unified Courts Erie Surrogate Court	Wells Fargo Bank	No report received
Genesee County Surrogates	Walle Fall go Ballik	no ropore rosonou
ST of NY Office of the State Comptroller State of New York Unified Courts Genesee Surrogate Court	Wells Fargo Bank	No report received
Jamestown City Court		
St of NY Office of the State Comptroller Jamestown City Court Bail Account	Wells Fargo Bank	No report received
St of NY Office of the State Comptroller Jamestown City Court Revenue Account Lackawanna City Court	Wells Fargo Bank	No report received
Lackawanna City Court Bail Account	Key Bank	No report received
Lackawanna City Court Revenue Account	Key Bank	No report received
Lockport City Court		
St of NY Office of the State Comptroller Lockport City Court Bail Account	Wells Fargo Bank	No report received
St of NY Office of the State Comptroller Lockport City Court Revenue Account	Wells Fargo Bank	No report received
Niagara County Surrogates ST of NY Office of the State Comptroller State of New York Unified Courts Niagara Surrogate Court	Wells Fargo Bank	No report received
Niagara Falls City Court	Walis Fargo Darik	No report received
ST of NY OFFICE OF THE STATE COMPTROLLER NIAGARA FALLS BAIL ACCOUNT	Wells Fargo Bank	No report received
ST of NY OFFICE OF THE STATE COMPTROLLER NIAGARA FALLS REVENUE ACCOUNT	Wells Fargo Bank	No report received
No. Tonawanda City Court		
ST of NY Office of The State Comptroller No. Tonawanda City Court Bail	Wells Fargo Bank	No report received
ST of NY Office of The State Comptroller No. Tonawanda Revenue Olean City Court	Wells Fargo Bank	No report received
ST of NY Office of The State Comptroller Olean City Court Bail	Wells Fargo Bank	No report received
ST of NY Office of The State Comptroller Olean City Court Revenue	Wells Fargo Bank	No report received
Orleans County Surrogates		
ST of NY Office of The State Comptroller State of New York Unified Courts Orleans Surrogate Court	Wells Fargo Bank	No report received
Salamanca City Court St of NV Office of the State Comptroller Salamanca City Court Bail Account	Walls Farga Pank	No report received
St of NY Office of the State Comptroller Salamanca City Court Bail Account	Wells Fargo Bank	No report received

St of NY Office of the State Comptroller Salamanca City Court Revenue Account	Wells Fargo Bank	No report received
Tonawanda City Court		
ST of NY Office of The State Comptroller Tonawanda City Court Bail	Wells Fargo Bank	No report received
ST of NY Office of The State Comptroller Tonawanda City Court Revenue	Wells Fargo Bank	No report received
Wyoming County Surrogates ST of NY Office of the State Comptroller State of New York Unified Courts Wyoming Surrogate Court	Wells Fargo Bank	No report received
05960 - 9TH JUDICIAL DISTRICT ADMINISTRATION	Wells Falgo ballk	No report received
Beacon City Court		
Beacon City Court Bail Account - Bail	JPMorgan Chase Bank, N.A.	11,381.09
Beacon City Fines Account - Revenue	JPMorgan Chase Bank, N.A.	7,330.00
Dutchess County Surrogates Court		
Dutchess County Surrogate Court - Revenue	JPMorgan Chase Bank, N.A.	41,283.00
Middletown City Court		
Middletown City Bail Escrow - Bail	Wells Fargo Bank	116,323.55
Middletown City Court Revenue	JPMorgan Chase Bank, N.A.	0.00
Middletown City Court Revenue Mt Vernon City Court	Wells Fargo Bank	29,623.47
Mt Vernon City Court State Bail	Wells Fargo Bank	166,940.92
Mt Vernon City Court State Revenue	Wells Fargo Bank	12,683.00
New Rochelle City Court		,
New Rochelle City Court Bail	JPMorgan Chase Bank, N.A.	313,845.78
New Rochelle City Court Revenue	JPMorgan Chase Bank, N.A.	30,962.00
Newburgh City Court		
Newburgh Bail Account	Wells Fargo Bank	52,849.01
Newburgh City Court Revenue	Wells Fargo Bank	33,037.00
Orange County Surrogates Court	JDM CL D L MA	c 222 75
Orange Co Surrogates Court - Revenue	JPMorgan Chase Bank, N.A.	6,228.75
Peekskill City Court Peekskill City Court Revenue	JPMorgan Chase Bank, N.A.	24 <i>,7</i> 85.01
Peekskill City Court	of Horgan Chase Bank, N.A.	21,700.01
Peekskill City Court - Bail	JPMorgan Chase Bank, N.A.	110,936.70
Port Jervis City Court	<u>-</u>	·
Port Jervis Bail Account - Bail	JPMorgan Chase Bank, N.A.	3,112.00
Port Jervis Revenue Account - Revenue	JPMorgan Chase Bank, N.A.	4,319.00
Poughkeepsie		
Poughkeepsie City Court -Bail	Wells Fargo Bank	54,125.56
Poughkeepsie City Court -Revenue	Wells Fargo Bank	35,925.50
Putnam Co Surrogate's Court Putnam Co Surrogates Court	Putnam County National Bank	9,224.25
Rockland County Surrogates Court	rutham County National Dank	9,224.23
Rockland Co Surrogates Court - Revenue	JPMorgan Chase Bank, N.A.	11,248.34
Rye City Court	,	,
City Of Rye Bail Account	JPMorgan Chase Bank, N.A.	751.01
City Of Rye Fines And Fees - Revenue	JPMorgan Chase Bank, N.A.	21,197.02
Westchester County Surrogates Court		
Westchester Co Surrogates Fees - Revenue	Wells Fargo Bank	57,615.59
White Plains City Court	Charling Bank	0.00
White Plains City Court Bail Account White Plains City Court Bail Account	Sterling Bank Wells Fargo Bank	0.00 80,144.33
White Plains City Court Vehicle And Traffic Acct - Revenue	Sterling Bank	0.00
White Plains City Court Vehicle And Traffic Acct - Revenue	Wells Fargo Bank	117,422.58
Yonkers City Court	J.	,
Yonkers City Bail Account - Bail	Wells Fargo Bank	504,417.71
Yonkers City Revenue Account - Revenue	Wells Fargo Bank	85,331.19
06000 - AGRICULTURE & MARKETS		
Administration Account	Key Bank	5,194.32
Agency Advance Account	Key Bank	10,000.00
Agriculture Producers Sec Fund	Key Bank	40,237.68 17,033.49
Animal Population Control Account Apple Marketing Order Fund	Key Bank Key Bank	0.00
Consumer Food Industry Account	Key Bank	85,436.51
Dairy Industry Services Account	Key Bank	27,439.00
Dairy Promotion Order Fund	Key Bank	0.00
Milk Producers Security Fund	Key Bank	1,396.41
NYS Farmers Market Program	Key Bank	416,445.82
NYS WNY Milk Mktg Area Administration Fund	M&T Bank	96.96
NYS WNY Milk Mktg Area Equalization Fund	M&T Bank	10,157.88
NYS WNY Milk Mktg Area Equalization Fund Savings	M&T Bank	319.46
Plants Industry Account Pride of NY	Key Bank Key Bank	9,654.64 6,266.98
Weights & Measures Account	Key Bank	5,110.22
NYS Dept Agriculture & Markets	icy saint	5,110.22
Apple Marketing Order Fund	Key Bank	0.00
Dairy Promotion Order Fund	Key Bank	0.00

Form Draducte	Kou Pank	40 420 92
Farm Products State Fair	Key Bank	49,420.83
NYS Fair Operating Account	Solvay Bank	218,734.34
NYS Fair Special Account	Solvay Bank	232.08
08000 - DEPARTMENT OF CIVIL SERVICE		
Agency Advance Account	Bank of America, N.A.	3,000.00
Examination Application Fees Account	Bank of America, N.A.	1,188.00
Examination Application Fees Account	Key Bank	2,277.00
NYS Affirmative Action Advisory Account NYS Department of Civil Service	Bank of America, N.A. US Bank	5,141.90 6,560,116.66
08010 - PUBLIC EMPLOYEE RELATIONS BOARD	US DATIK	0,300,110.00
Petty Cash And Travel Advance Account	Key Bank	No report received
09000 - DEPARTMENT OF ENVIRONMENTAL CONSERVATION		
Albany		
Conservation Petty Cash Account	M&T Bank	28,724.82
DEC/Exchange Account	M&T Bank	12,783.98
ENCON License Issuing Office	M&T Bank	1,936.17
ENCON/Montauk Point Feasibility Study ENCON/South Shore Of Staten Island	JPMorgan Chase Bank, N.A. JPMorgan Chase Bank, N.A.	7,206.03 22,922.91
Hunting Trapping & Fishing Account	M&T Bank	1,190,969.85
Lake Montauk Harbor	JPMorgan Chase Bank, N.A.	154,336.47
Lockbox Account	Wells Fargo Bank	467,868.27
NY Conservationist	Bank of America, N.A.	3,545.41
Program Fee	JPMorgan Chase Bank, N.A.	3,546.58
Revenue Account	Bank of America, N.A.	827,999.50
Rockaway Beach Study & Project	JPMorgan Chase Bank, N.A.	1,265,968.85
State of New York	Key Bank	1,142,063.35
Region 1 Marine Permit Account	Deeple's United Pank	12.015.00
Region 3	People's United Bank	13,015.00
Beaverkill & Mongaup Pond	Jeff Bank	0.00
Revenue Region 3 Account	Bank of America, N.A.	5.00
Region 4	,	
Bear Spring Revenue Account	Wayne Bank	0.00
Region 4	Greene County Commercial Bank	9,036.75
Region 4 Camping	NBT Bank	0.00
Region 5		0.554.40
Campsite Revenue Account	Glens Falls National TD Bank	3,561.10
Land & Forest Region 5W NYS Conservation	Glens Falls National	21,908.93 1,307.50
Recreation (Warrensburg)	City National Bank & Trust	2,751.12
Region 5	Citizens Bank	3,238.03
Region 5	NBT Bank	4,195.51
Tree Nursery	Bank of America, N.A.	520.00
Region 6		
Fish & Wildlife Watertown	Key Bank	126.92
Lands & Forest District #7	Community Bank	25.80
Lands & Forests District #6 Lands And Forests District 10	Community Bank M&T Bank	241.75 21.20
SNY Dept Of Environmental Conserv	Community Bank	14.04
10000 - ATTICA CORRECTIONAL FACILITY	Community Bank	14.04
Agency Advance Account	Five Star Bank	1,522.92
Employee Benefit Fund	Five Star Bank	8,838.01
General Cash Fund	Five Star Bank	718.75
Inmate Occupational Therapy Fund	Five Star Bank	124,023.51
Spendable Fund	Five Star Bank	1,192,965.54
10010 - AUBURN CORRECTIONAL FACILITY	K B I	2 547 44
Advance Account	Key Bank	3,517.11 15,021.20
Certificate of Deposit Inmate Occupational Therapy Fund	Bank of America, N.A. Key Bank	29,664.49
Inmate Secupational Herapy Fund	Key Bank	390,312.94
Misc Revenue	Key Bank	53,978.24
10020 - CLINTON CORRECTIONAL FACILITY	,	,
Advance Account	Key Bank	12,461.52
Employee Benefit Fund	Key Bank	8,148.26
General Fund	Key Bank	855.20
Inmate Funds	Key Bank	110,224.26
Inmate Occupational Therapy Acct	Key Bank	85,299.84
10040 - GREAT MEADOW CORRECTIONAL FACILITY Certificate of Deposit	Glens Falls National	150,000.00
Certificate of Deposit Certificate of Deposit	Glens Falls National	25,000.00
Certificate of Deposit	Glens Falls National	100,000.00
Facility Advance	Key Bank	6,234.72
General Fund	Key Bank	0.00
	,	

	Inmate Fund	Key Bank	518,779.53
	Miscellaneous Account	Key Bank	21,470.73
	Occupational Therapy	Key Bank	78,063.93
10050 -	FISHKILL CORRECTIONAL FACILITY	ncy bunk	70,003.33
10050	Agency Advance	M&T Bank	No report received
	Employee Benefits	M&T Bank	No report received
	Inmate Spending Account	M&T Bank	No report received
	Inmates Account	M&T Bank	No report received
		M&T Bank	
	Misc Receipts	M&T Bank	No report received
10060	Occupational Therapy Account	MOL DOLK	No report received
10000 -	WALLKILL CORRECTIONAL FACILITY	Kay Bardy	1 400 00
	Advance Account	Key Bank	1,499.92
	Employee Benefit	Key Bank	2,339.67
	Inmate Occupational Therapy Fund	Key Bank	28,527.15
	Inmates Fund Account	Key Bank	347,321.35
	Misc. Receipts	Key Bank	25,628.63
10070 -	SING SING CORRECTIONAL FACILITY		
	Cash Advance	JPMorgan Chase Bank, N.A.	No report received
	Inmate Funds	JPMorgan Chase Bank, N.A.	No report received
	Inmate Interest Funds	JPMorgan Chase Bank, N.A.	No report received
	Misc Receipts	JPMorgan Chase Bank, N.A.	No report received
	Occupational Therapy	JPMorgan Chase Bank, N.A.	No report received
	Quality Work Life	JPMorgan Chase Bank, N.A.	No report received
10080 -	GREEN HAVEN CORRECTIONAL FACILITY		
	Advance Account	Key Bank	No report received
	General Fund	Key Bank	No report received
	Inmates Money Market	Key Bank	No report received
	Inmates Now Checking	Key Bank	No report received
	Occupational Therapy Fund	Key Bank	No report received
10090 -	ALBION CORRECTIONAL FACILITY		
	Albion Advance Account	Bank of America, N.A.	No report received
	Employee Benefit Fund	Bank of America, N.A.	No report received
	Inmate Funds	Bank of America, N.A.	No report received
	Misc Receipts	Bank of America, N.A.	No report received
	Occupational Therapy	Bank of America, N.A.	No report received
10100 -	EASTERN NEW YORK CORRECTIONAL FACILITY	•	·
	Agency Advance Account	Sterling Bank	1,993.77
	Employee Benefit Fund	Sterling Bank	17,720.17
	ID Now 3 Month CD	M&T Bank	50,102.55
	Inmate Deposit Now Account	Sterling Bank	655,767.60
	Inmate Occupational Therapy Account	Sterling Bank	49,761.08
	Misc. Receipts	Sterling Bank	7,584.19
10110 -	ELMIRA CORRECTIONAL & RECEPTION CENTER	Sterling bank	7,301.13
10110 -	Agency Advance Account	Chemung Canal Trust	2,577.40
	Employee Benefit Fund	Chemung Canal Trust	32,176.67
		-	
	Inmates Fund	Chemung Canal Trust	334,349.15
	Miscellaneous Receipts	Chemung Canal Trust	17.00
10120	Occupational Therapy Fund	Chemung Canal Trust	26,511.52
10120 -	BEDFORD HILLS CORRECTIONAL FACILITY	324 al 2 l 14	5.050.75
	Advance Account	JPMorgan Chase Bank, N.A.	5,062.76
	Employee Benefit Fund	JPMorgan Chase Bank, N.A.	6,174.58
	Inmate Funds	JPMorgan Chase Bank, N.A.	266,502.25
	Inmate Funds CD	JPMorgan Chase Bank, N.A.	90,000.00
	Inmate Funds Money Market	JPMorgan Chase Bank, N.A.	23,341.49
	Misc. Receipts	JPMorgan Chase Bank, N.A.	1,011.74
	Occupational Therapy	JPMorgan Chase Bank, N.A.	78,922.10
10130 -	COXSACKIE CORRECTIONAL FACILITY		
	Agency Advance Account	National Bank of Coxsackie	1,901.43
	Employee Benefits Fund	National Bank of Coxsackie	35,080.42
	Inmates Fund	National Bank of Coxsackie	317,047.84
	Misc. Revenue	National Bank of Coxsackie	235.03
	Occupational Therapy Acct	National Bank of Coxsackie	62,217.67
10140 -	WOODBOURNE CORRECTIONAL FACILITY		
	CD - Inmate Funds	First National Bank of Jeffersonville	190,000.00
	CD - Inmate Funds	First National Bank of Jeffersonville	50,000.00
	WCF Agency Advance	Jeff Bank	1,497.87
	WCF General Fund	Jeff Bank	25,819.19
	WCF Inmate Fund	Jeff Bank	411,000.56
	WCF Occupational Therapy Fund	Jeff Bank	68,830.98
10160	DEPARTMENT OF CORRECTIONS AND COMMUNITY SUPERVISION	Jon Bully	30,030.30
10100 -	Agency Advance Account	Key Bank	No report received
		•	
	Employee Benefit Fund	Key Bank	No report received
	Inmate Escrow Account	Key Bank	No report received
	Misc. Receipts Account	Key Bank	No report received

Special Account	Key Bank	No report received
10170 - QUEENSBORO CORRECTIONAL FACILITY	no, bank	THE POPULT OF STATE O
Agency Advance Account	JPMorgan Chase Bank, N.A.	7,897.59
Employee Benefit Fund	JPMorgan Chase Bank, N.A.	7,603.33
Inmate Funds Account	JPMorgan Chase Bank, N.A.	926,113.18
Miscellaneous Receipts Account	JPMorgan Chase Bank, N.A.	543.79
Occupational Therapy Fund	JPMorgan Chase Bank, N.A.	2,320.90
Savings Account	JPMorgan Chase Bank, N.A.	15,650.05
10230 - ADIRONDACK CORRECTIONAL FACILITY	or Horgan Chase Dank, N.A.	19,030.03
ADK QWL	Community Bank	5,717.85
•	•	790.00
Agency Advance	Community Bank	
Employee Benefit Fund	Community Bank	4,804.64
General Fund	Community Bank	114.00
Inmate Funds	Community Bank	32,326.12
Inmate Occupational Therapy Fund	Community Bank	653.93
10240 - DOWNSTATE CORRECTIONAL FACILITY		
Agency Advance	JPMorgan Chase Bank, N.A.	No report received
Employee Recreational Funds	JPMorgan Chase Bank, N.A.	No report received
Inmate Fund	JPMorgan Chase Bank, N.A.	No report received
Misc. Receipts	JPMorgan Chase Bank, N.A.	No report received
Occupational Therapy	JPMorgan Chase Bank, N.A.	No report received
10250 - TACONIC CORRECTIONAL FACILITY		
Employee Benefit Fund	JPMorgan Chase Bank, N.A.	2,928.00
Inmate Funds	JPMorgan Chase Bank, N.A.	118,182.27
Misc. Revenue	JPMorgan Chase Bank, N.A.	830.48
Money Market	JPMorgan Chase Bank, N.A.	30,994.03
Occupational Therapy Fund	JPMorgan Chase Bank, N.A.	18,901.34
Taconic Advance Account	JPMorgan Chase Bank, N.A.	2,636.70
10270 - HUDSON CORRECTIONAL FACILITY		
Advance Account	Key Bank	4,244.72
Employee Benefit Fund Account	Key Bank	4,964.21
Innate Funds account	Key Bank	95,058.58
Inmate Occupational Therapy Account	Key Bank	2,349.86
Miscellaneous Receipts Account	Key Bank	3,325.74
10290 - OTISVILLE CORRECTIONAL FACILITY	no, built	0,020.7
Cash Advance	Jeff Bank	889.20
General Fund	Jeff Bank	3,286.45
	Jeff Bank	
Inmate Funds		529,865.88
Inmate Occupational Therapy	Jeff Bank	57,642.45
Inmate Savings	Jeff Bank	51,385.30
Inmate Savings CD	Hometown Bank	79,097.61
10300 - ROCHESTER CORRECTIONAL FACILITY	MOT D. J.	4 500 00
Consolidated Advance Account	M&T Bank	1,598.32
Employee Recreation Fund	M&T Bank	1,438.89
Inmate Deposit Account	M&T Bank	156,784.64
Misc Fees	M&T Bank	453.35
Work Release Advance Account	M&T Bank	7,553.62
10320 - EDGECOMBE CORRECTIONAL FACILITY		
Agency Advance	JPMorgan Chase Bank, N.A.	No report received
Employee Benefit Account	JPMorgan Chase Bank, N.A.	No report received
Inmate Cash Account	JPMorgan Chase Bank, N.A.	No report received
Misc. Receipts Account	JPMorgan Chase Bank, N.A.	No report received
Occupational Therapy Acct	JPMorgan Chase Bank, N.A.	No report received
Work Release Account	JPMorgan Chase Bank, N.A.	No report received
10350 - OGDENSBURG CORRECTIONAL FACILITY	•	
Agency Advance Account	Community Bank	2,940.00
EBF	Community Bank	6,706.07
Inmate Fund	Community Bank	95,265.52
Inmate Savings	Community Bank	1.04
Misc. Receipts	Community Bank	9.21
Occupational Therapy	Community Bank	12,729.89
10370 - FIVE POINTS CORRECTIONAL FACILITY	Community Dank	12,729.09
Consolidated Advance	Five Star Bank	1,013.80
EBF Checking	Five Star Bank	47,076.89
EBF Savings	Five Star Bank	30,850.05
Inmate Spendable	Five Star Bank	371,724.70
Misc Receipts	Five Star Bank	750.57
Occupational Therapy	Five Star Bank	52,455.99
10390 - MOHAWK CORRECTIONAL FACILITY		
Agency Advance	Key Bank	1,896.16
Employee Benefit Fund Checking	Bank of America, N.A.	32,683.68
Inmate Funds Checking	Key Bank	462,272.77
Inmate Funds Savings	Key Bank	314,517.26
Miscellaneous Revenue	Key Bank	8,068.13

Occupational Therapy	Key Bank	30,304.31
10430 - WENDE CORRECTIONAL FACILITY		
Consolidated Advance	Alden State Bank	3,694.72
Employee Benefit Account	Alden State Bank	29,173.54
Inmates Funds	Alden State Bank	190,783.23
Misc. Receipts	Alden State Bank	8,968.79
Occupational Therapy	Alden State Bank	54,426.25
10441 - DEPARTMENT OF CORRECTIONAL SERVICES-FOOD PRODUCTION CENTER	, addit otato bant	01/120120
Office of Nutritional Services		
Miscellaneous Receipts	Key Bank	37,457.37
10460 - GROVELAND CORRECTIONAL FACILITY	Ney Built	37,137.37
Agency Advance Account	Five Star Bank	1,912.88
	Five Star Bank	11,076.23
Employee Commission Account		
Inmate Funds Account	Five Star Bank	296,275.12
Miscellaneous Receipts Account	Five Star Bank	3,938.00
Occupational Therapy Account	Five Star Bank	18,190.56
10470 - COLLINS CORRECTIONAL FACILITY		
Agency Advance	Community Bank	4,847.11
Employee Activities	Community Bank	49,940.12
Inmate Fund Checking	Community Bank	440,692.23
Miscellaneous Revenue	Community Bank	16,472.87
Occupational Therapy	Community Bank	17,644.14
10480 - MID-STATE CORRECTIONAL FACILITY		
Agency Advance	Key Bank	1,426.71
Employee Benefit Fund	Bank of America, N.A.	35,786.19
Inmate Funds	Key Bank	461,133.34
Inmate Savings	Key Bank	198,774.62
		2,136.00
Misc. Revenue	Key Bank	,
Occupational Therapy	Key Bank	43,601.62
10490 - MARCY CORRECTIONAL FACILITY		
Agency Advance Account	Key Bank	3,474.46
Employee Benefit Fund Account	Bank of America, N.A.	36,342.80
Inmate Fund Account	Key Bank	219,840.07
Misc receipts Acct	Key Bank	179.50
NYS DOCS Marcy CORR Facility	Key Bank	206,839.73
Occupational Therapy fund Acct	Key Bank	41,901.44
10501 - CENTRAL PHARMACY		
NVC Dana Cantual Dhamana, Advance And	B 1 60 1 10	1,000.00
NYS Docs Central Pharmacy Advance Acct	Bank of America, N.A.	
10510 - MORIAH SHOCK INCARCERATION CORRECTIONAL FACILITY	Bank of America, N.A.	-,
	Bank of America, N.A. Glens Falls National	1,800.00
10510 - MORIAH SHOCK INCARCERATION CORRECTIONAL FACILITY Moriah Shock Incarceration Advance Acct	Glens Falls National	1,800.00
10510 - MORIAH SHOCK INCARCERATION CORRECTIONAL FACILITY Moriah Shock Incarceration Advance Acct Moriah Shock Incarceration Employee Benefit Fund	Glens Falls National Glens Falls National	1,800.00 2,422.98
10510 - MORIAH SHOCK INCARCERATION CORRECTIONAL FACILITY Moriah Shock Incarceration Advance Acct Moriah Shock Incarceration Employee Benefit Fund Moriah Shock Incarceration Occ Therapy	Glens Falls National Glens Falls National Glens Falls National	1,800.00 2,422.98 2,854.57
10510 - MORIAH SHOCK INCARCERATION CORRECTIONAL FACILITY Moriah Shock Incarceration Advance Acct Moriah Shock Incarceration Employee Benefit Fund Moriah Shock Incarceration Occ Therapy Moriah Shock Misc Receipts	Glens Falls National Glens Falls National Glens Falls National Glens Falls National	1,800.00 2,422.98 2,854.57 0.00
10510 - MORIAH SHOCK INCARCERATION CORRECTIONAL FACILITY Moriah Shock Incarceration Advance Acct Moriah Shock Incarceration Employee Benefit Fund Moriah Shock Incarceration Occ Therapy Moriah Shock Misc Receipts NYS Moriah Shock Incarceration Inmate Checking	Glens Falls National Glens Falls National Glens Falls National	1,800.00 2,422.98 2,854.57
10510 - MORIAH SHOCK INCARCERATION CORRECTIONAL FACILITY Moriah Shock Incarceration Advance Acct Moriah Shock Incarceration Employee Benefit Fund Moriah Shock Incarceration Occ Therapy Moriah Shock Misc Receipts NYS Moriah Shock Incarceration Inmate Checking 10530 - FRANKLIN CORRECTIONAL FACILITY	Glens Falls National Glens Falls National Glens Falls National Glens Falls National Glens Falls National	1,800.00 2,422.98 2,854.57 0.00 31,038.95
10510 - MORIAH SHOCK INCARCERATION CORRECTIONAL FACILITY Moriah Shock Incarceration Advance Acct Moriah Shock Incarceration Employee Benefit Fund Moriah Shock Incarceration Occ Therapy Moriah Shock Misc Receipts NYS Moriah Shock Incarceration Inmate Checking 10530 - FRANKLIN CORRECTIONAL FACILITY Advance Account	Glens Falls National Glens Falls National Glens Falls National Glens Falls National Glens Falls National Key Bank	1,800.00 2,422.98 2,854.57 0.00 31,038.95
10510 - MORIAH SHOCK INCARCERATION CORRECTIONAL FACILITY Moriah Shock Incarceration Advance Acct Moriah Shock Incarceration Employee Benefit Fund Moriah Shock Incarceration Occ Therapy Moriah Shock Misc Receipts NYS Moriah Shock Incarceration Inmate Checking 10530 - FRANKLIN CORRECTIONAL FACILITY Advance Account Employee Benefit Account	Glens Falls National Key Bank Key Bank	1,800.00 2,422.98 2,854.57 0.00 31,038.95 4,688.00 15,214.30
10510 - MORIAH SHOCK INCARCERATION CORRECTIONAL FACILITY Moriah Shock Incarceration Advance Acct Moriah Shock Incarceration Employee Benefit Fund Moriah Shock Incarceration Occ Therapy Moriah Shock Misc Receipts NYS Moriah Shock Incarceration Inmate Checking 10530 - FRANKLIN CORRECTIONAL FACILITY Advance Account Employee Benefit Account Inmate Funds	Glens Falls National Key Bank Key Bank Key Bank	1,800.00 2,422.98 2,854.57 0.00 31,038.95 4,688.00 15,214.30 107,827.53
10510 - MORIAH SHOCK INCARCERATION CORRECTIONAL FACILITY Moriah Shock Incarceration Advance Acct Moriah Shock Incarceration Employee Benefit Fund Moriah Shock Incarceration Occ Therapy Moriah Shock Misc Receipts NYS Moriah Shock Incarceration Inmate Checking 10530 - FRANKLIN CORRECTIONAL FACILITY Advance Account Employee Benefit Account Inmate Funds Inmate Occupational Therapy	Glens Falls National Key Bank Key Bank Key Bank Key Bank Key Bank	1,800.00 2,422.98 2,854.57 0.00 31,038.95 4,688.00 15,214.30 107,827.53 16,305.70
10510 - MORIAH SHOCK INCARCERATION CORRECTIONAL FACILITY Moriah Shock Incarceration Advance Acct Moriah Shock Incarceration Employee Benefit Fund Moriah Shock Incarceration Occ Therapy Moriah Shock Misc Receipts NYS Moriah Shock Incarceration Inmate Checking 10530 - FRANKLIN CORRECTIONAL FACILITY Advance Account Employee Benefit Account Inmate Funds Inmate Occupational Therapy Misc. Receipts	Glens Falls National Key Bank Key Bank Key Bank	1,800.00 2,422.98 2,854.57 0.00 31,038.95 4,688.00 15,214.30 107,827.53
10510 - MORIAH SHOCK INCARCERATION CORRECTIONAL FACILITY Moriah Shock Incarceration Advance Acct Moriah Shock Incarceration Employee Benefit Fund Moriah Shock Incarceration Occ Therapy Moriah Shock Misc Receipts NYS Moriah Shock Incarceration Inmate Checking 10530 - FRANKLIN CORRECTIONAL FACILITY Advance Account Employee Benefit Account Inmate Funds Inmate Occupational Therapy Misc. Receipts 10540 - ALTONA CORRECTIONAL FACILITY	Glens Falls National Key Bank Key Bank Key Bank Key Bank Key Bank	1,800.00 2,422.98 2,854.57 0.00 31,038.95 4,688.00 15,214.30 107,827.53 16,305.70 1,619.83
10510 - MORIAH SHOCK INCARCERATION CORRECTIONAL FACILITY Moriah Shock Incarceration Advance Acct Moriah Shock Incarceration Employee Benefit Fund Moriah Shock Incarceration Occ Therapy Moriah Shock Misc Receipts NYS Moriah Shock Incarceration Inmate Checking 10530 - FRANKLIN CORRECTIONAL FACILITY Advance Account Employee Benefit Account Inmate Funds Inmate Funds Inmate Occupational Therapy Misc. Receipts 10540 - ALTONA CORRECTIONAL FACILITY Cons Adv Travel Petty Cash	Glens Falls National Key Bank	1,800.00 2,422.98 2,854.57 0.00 31,038.95 4,688.00 15,214.30 107,827.53 16,305.70 1,619.83
10510 - MORIAH SHOCK INCARCERATION CORRECTIONAL FACILITY Moriah Shock Incarceration Advance Acct Moriah Shock Incarceration Employee Benefit Fund Moriah Shock Incarceration Occ Therapy Moriah Shock Misc Receipts NYS Moriah Shock Incarceration Inmate Checking 10530 - FRANKLIN CORRECTIONAL FACILITY Advance Account Employee Benefit Account Inmate Funds Inmate Funds Inmate Occupational Therapy Misc. Receipts 10540 - ALTONA CORRECTIONAL FACILITY Cons Adv Travel Petty Cash Employees Vending Benefit	Glens Falls National Key Bank Key Bank Key Bank Key Bank Key Bank NBT Bank NBT Bank	1,800.00 2,422.98 2,854.57 0.00 31,038.95 4,688.00 15,214.30 107,827.53 16,305.70 1,619.83
10510 - MORIAH SHOCK INCARCERATION CORRECTIONAL FACILITY Moriah Shock Incarceration Advance Acct Moriah Shock Incarceration Employee Benefit Fund Moriah Shock Incarceration Occ Therapy Moriah Shock Misc Receipts NYS Moriah Shock Incarceration Inmate Checking 10530 - FRANKLIN CORRECTIONAL FACILITY Advance Account Employee Benefit Account Inmate Funds Inmate Occupational Therapy Misc. Receipts 10540 - ALTONA CORRECTIONAL FACILITY Cons Adv Travel Petty Cash Employees Vending Benefit Inmates Funds	Glens Falls National Key Bank	1,800.00 2,422.98 2,854.57 0.00 31,038.95 4,688.00 15,214.30 107,827.53 16,305.70 1,619.83 3,540.64 7,640.52 59,336.43
10510 - MORIAH SHOCK INCARCERATION CORRECTIONAL FACILITY Moriah Shock Incarceration Advance Acct Moriah Shock Incarceration Employee Benefit Fund Moriah Shock Incarceration Occ Therapy Moriah Shock Misc Receipts NYS Moriah Shock Incarceration Inmate Checking 10530 - FRANKLIN CORRECTIONAL FACILITY Advance Account Employee Benefit Account Inmate Funds Inmate Funds Inmate Occupational Therapy Misc. Receipts 10540 - ALTONA CORRECTIONAL FACILITY Cons Adv Travel Petty Cash Employees Vending Benefit	Glens Falls National Key Bank Key Bank Key Bank Key Bank Key Bank NBT Bank NBT Bank	1,800.00 2,422.98 2,854.57 0.00 31,038.95 4,688.00 15,214.30 107,827.53 16,305.70 1,619.83
10510 - MORIAH SHOCK INCARCERATION CORRECTIONAL FACILITY Moriah Shock Incarceration Advance Acct Moriah Shock Incarceration Employee Benefit Fund Moriah Shock Incarceration Occ Therapy Moriah Shock Misc Receipts NYS Moriah Shock Incarceration Inmate Checking 10530 - FRANKLIN CORRECTIONAL FACILITY Advance Account Employee Benefit Account Inmate Funds Inmate Occupational Therapy Misc. Receipts 10540 - ALTONA CORRECTIONAL FACILITY Cons Adv Travel Petty Cash Employees Vending Benefit Inmates Funds	Glens Falls National Key Bank Key Bank Key Bank Key Bank Key Bank NBT Bank NBT Bank NBT Bank	1,800.00 2,422.98 2,854.57 0.00 31,038.95 4,688.00 15,214.30 107,827.53 16,305.70 1,619.83 3,540.64 7,640.52 59,336.43
10510 - MORIAH SHOCK INCARCERATION CORRECTIONAL FACILITY Moriah Shock Incarceration Advance Acct Moriah Shock Incarceration Employee Benefit Fund Moriah Shock Misc Receipts NYS Moriah Shock Incarceration Inmate Checking 10530 - FRANKLIN CORRECTIONAL FACILITY Advance Account Employee Benefit Account Inmate Funds Inmate Occupational Therapy Misc. Receipts 10540 - ALTONA CORRECTIONAL FACILITY Cons Adv Travel Petty Cash Employees Vending Benefit Inmates Funds Misc Revenues General Fund	Glens Falls National Key Bank Key Bank Key Bank Key Bank Key Bank NBT Bank NBT Bank NBT Bank NBT Bank	1,800.00 2,422.98 2,854.57 0.00 31,038.95 4,688.00 15,214.30 107,827.53 16,305.70 1,619.83 3,540.64 7,640.52 59,336.43 135.05
10510 - MORIAH SHOCK INCARCERATION CORRECTIONAL FACILITY Moriah Shock Incarceration Advance Acct Moriah Shock Incarceration Employee Benefit Fund Moriah Shock Incarceration Occ Therapy Moriah Shock Misc Receipts NYS Moriah Shock Incarceration Inmate Checking 10530 - FRANKLIN CORRECTIONAL FACILITY Advance Account Employee Benefit Account Inmate Funds Inmate Cocupational Therapy Misc. Receipts 10540 - ALTONA CORRECTIONAL FACILITY Cons Adv Travel Petty Cash Employees Vending Benefit Inmates Funds Misc Revenues General Fund Occupational Therapy	Glens Falls National Key Bank Key Bank Key Bank Key Bank Key Bank NBT Bank NBT Bank NBT Bank NBT Bank	1,800.00 2,422.98 2,854.57 0.00 31,038.95 4,688.00 15,214.30 107,827.53 16,305.70 1,619.83 3,540.64 7,640.52 59,336.43 135.05
10510 - MORIAH SHOCK INCARCERATION CORRECTIONAL FACILITY Moriah Shock Incarceration Advance Acct Moriah Shock Incarceration Employee Benefit Fund Moriah Shock Incarceration Occ Therapy Moriah Shock Misc Receipts NYS Moriah Shock Incarceration Inmate Checking 10530 - FRANKLIN CORRECTIONAL FACILITY Advance Account Employee Benefit Account Inmate Funds Inmate Cocupational Therapy Misc. Receipts 10540 - ALTONA CORRECTIONAL FACILITY Cons Adv Travel Petty Cash Employees Vending Benefit Inmates Funds Misc Revenues General Fund Occupational Therapy 10550 - CAYUGA CORRECTIONAL FACILITY	Glens Falls National Key Bank Key Bank Key Bank Key Bank Key Bank NBT Bank NBT Bank NBT Bank NBT Bank NBT Bank NBT Bank	1,800.00 2,422.98 2,854.57 0.00 31,038.95 4,688.00 15,214.30 107,827.53 16,305.70 1,619.83 3,540.64 7,640.52 59,336.43 135.05 4,394.34
10510 - MORIAH SHOCK INCARCERATION CORRECTIONAL FACILITY Moriah Shock Incarceration Advance Acct Moriah Shock Incarceration Employee Benefit Fund Moriah Shock Incarceration Occ Therapy Moriah Shock Misc Receipts NYS Moriah Shock Incarceration Inmate Checking 10530 - FRANKLIN CORRECTIONAL FACILITY Advance Account Employee Benefit Account Inmate Funds Inmate Occupational Therapy Misc. Receipts 10540 - ALTONA CORRECTIONAL FACILITY Cons Adv Travel Petty Cash Employees Vending Benefit Inmates Funds Misc Revenues General Fund Occupational Therapy 10550 - CAYUGA CORRECTIONAL FACILITY Agency Advance Employee Benefit Fund	Glens Falls National Key Bank Key Bank Key Bank Key Bank Key Bank NBT Bank	1,800.00 2,422.98 2,854.57 0.00 31,038.95 4,688.00 15,214.30 107,827.53 16,305.70 1,619.83 3,540.64 7,640.52 59,336.43 135.05 4,394.34
10510 - MORIAH SHOCK INCARCERATION CORRECTIONAL FACILITY Moriah Shock Incarceration Employee Benefit Fund Moriah Shock Incarceration Coc Therapy Moriah Shock Misc Receipts NYS Moriah Shock Incarceration Inmate Checking 10530 - FRANKLIN CORRECTIONAL FACILITY Advance Account Employee Benefit Account Inmate Funds Inmate Occupational Therapy Misc. Receipts 10540 - ALTONA CORRECTIONAL FACILITY Cons Adv Travel Petty Cash Employees Vending Benefit Inmates Funds Misc Revenues General Fund Occupational Therapy 10550 - CAYUGA CORRECTIONAL FACILITY Agency Advance Employee Benefit Fund Inmate Occupational Therapy Account	Glens Falls National Key Bank Key Bank Key Bank Key Bank Key Bank NBT Bank	1,800.00 2,422.98 2,854.57 0.00 31,038.95 4,688.00 15,214.30 107,827.53 16,305.70 1,619.83 3,540.64 7,640.52 59,336.43 135.05 4,394.34 1,218.94 5,040.18 37,249.45
10510 - MORIAH SHOCK INCARCERATION CORRECTIONAL FACILITY Moriah Shock Incarceration Advance Acct Moriah Shock Incarceration Coc Therapy Moriah Shock Misc Receipts NYS Moriah Shock Misc Receipts NYS Moriah Shock Incarceration Inmate Checking 10530 - FRANKLIN CORRECTIONAL FACILITY Advance Account Employee Benefit Account Inmate Funds Inmate Cocupational Therapy Misc. Receipts 10540 - ALTONA CORRECTIONAL FACILITY Cons Adv Travel Petty Cash Employees Vending Benefit Inmates Funds Misc Revenues General Fund Occupational Therapy 10550 - CAYUGA CORRECTIONAL FACILITY Agency Advance Employee Benefit Fund Inmate Spendable	Glens Falls National Key Bank Key Bank Key Bank Key Bank Key Bank NBT Bank	1,800.00 2,422.98 2,854.57 0.00 31,038.95 4,688.00 15,214.30 107,827.53 16,305.70 1,619.83 3,540.64 7,640.52 59,336.43 135.05 4,394.34 1,218.94 5,040.18 37,249.45 270,457.05
10510 - MORIAH SHOCK INCARCERATION CORRECTIONAL FACILITY Moriah Shock Incarceration Advance Acct Moriah Shock Incarceration Demployee Benefit Fund Moriah Shock Misc Receipts NYS Moriah Shock Incarceration Inmate Checking 10530 - FRANKLIN CORRECTIONAL FACILITY Advance Account Employee Benefit Account Inmate Funds Inmate Occupational Therapy Misc. Receipts 10540 - ALTONA CORRECTIONAL FACILITY Cons Adv Travel Petty Cash Employees Vending Benefit Inmates Funds Misc Revenues General Fund Occupational Therapy 10550 - CAYUGA CORRECTIONAL FACILITY Agency Advance Employee Benefit Fund Inmate Occupational Therapy Account Inmate Spendable Misc Receipts	Glens Falls National Key Bank Key Bank Key Bank Key Bank NBT Bank	1,800.00 2,422.98 2,854.57 0.00 31,038.95 4,688.00 15,214.30 107,827.53 16,305.70 1,619.83 3,540.64 7,640.52 59,336.43 135.05 4,394.34 1,218.94 5,040.18 37,249.45
10510 - MORIAH SHOCK INCARCERATION CORRECTIONAL FACILITY Moriah Shock Incarceration Advance Acct Moriah Shock Incarceration Dec Therapy Moriah Shock Misc Receipts NYS Moriah Shock Incarceration Inmate Checking 10530 - FRANKLIN CORRECTIONAL FACILITY Advance Account Employee Benefit Account Inmate Funds Inmate Occupational Therapy Misc. Receipts 10540 - ALTONA CORRECTIONAL FACILITY Cons Adv Travel Petty Cash Employees Vending Benefit Inmates Funds Misc Revenues General Fund Occupational Therapy 10550 - CAYUGA CORRECTIONAL FACILITY Agency Advance Employee Benefit Fund Inmate Spendable Misc Receipts 10560 - BARE HILL CORRECTIONAL FACILITY	Glens Falls National Key Bank Key Bank Key Bank Key Bank Key Bank NBT Bank First National Bank of Groton	1,800.00 2,422.98 2,854.57 0.00 31,038.95 4,688.00 15,214.30 107,827.53 16,305.70 1,619.83 3,540.64 7,640.52 59,336.43 135.05 4,394.34 1,218.94 5,040.18 37,249.45 270,457.05 3,779.81
10510 - MORIAH SHOCK INCARCERATION CORRECTIONAL FACILITY Moriah Shock Incarceration Advance Acct Moriah Shock Incarceration Employee Benefit Fund Moriah Shock Incarceration Occ Therapy Moriah Shock Misc Receipts NYS Moriah Shock Incarceration Inmate Checking 10530 - FRANKLIN CORRECTIONAL FACILITY Advance Account Employee Benefit Account Inmate Funds Inmate Occupational Therapy Misc. Receipts 10540 - ALTONA CORRECTIONAL FACILITY Cons Adv Travel Petty Cash Employees Vending Benefit Inmates Funds Misc Revenues General Fund Occupational Therapy 10550 - CAYUGA CORRECTIONAL FACILITY Agency Advance Employee Benefit Fund Inmate Occupational Therapy Account Inmate Spendable Misc Receipts 10560 - BARE HILL CORRECTIONAL FACILITY Agency Advance	Glens Falls National Key Bank Key Bank Key Bank Key Bank NBT Bank First National Bank of Groton	1,800.00 2,422.98 2,854.57 0.00 31,038.95 4,688.00 15,214.30 107,827.53 16,305.70 1,619.83 3,540.64 7,640.52 59,336.43 135.05 4,394.34 1,218.94 5,040.18 37,249.45 270,457.05 3,779.81 1,864.70
10510 - MORIAH SHOCK INCARCERATION CORRECTIONAL FACILITY Moriah Shock Incarceration Advance Acct Moriah Shock Incarceration Employee Benefit Fund Moriah Shock Misc Receipts NYS Moriah Shock Misc Receipts NYS Moriah Shock Incarceration Inmate Checking 10530 - FRANKLIN CORRECTIONAL FACILITY Advance Account Employee Benefit Account Inmate Funds Inmate Occupational Therapy Misc. Receipts 10540 - ALTONA CORRECTIONAL FACILITY Cons Adv Travel Petty Cash Employees Vending Benefit Inmates Funds Misc Revenues General Fund Occupational Therapy 10550 - CAYUGA CORRECTIONAL FACILITY Agency Advance Employee Benefit Fund Inmate Spendable Misc Receipts 10560 - BARE HILL CORRECTIONAL FACILITY Agency Advance Employee Benefit Fund	Glens Falls National Key Bank Key Bank Key Bank Key Bank NBT Bank First National Bank of Groton	1,800.00 2,422.98 2,854.57 0.00 31,038.95 4,688.00 15,214.30 107,827.53 16,305.70 1,619.83 3,540.64 7,640.52 59,336.43 135.05 4,394.34 1,218.94 5,040.18 37,249.45 270,457.05 3,779.81 1,864.70 9,332.18
10510 - MORIAH SHOCK INCARCERATION CORRECTIONAL FACILITY Moriah Shock Incarceration Advance Acct Moriah Shock Incarceration Employee Benefit Fund Moriah Shock Misc Receipts NYS Moriah Shock Misc Receipts NYS Moriah Shock Incarceration Inmate Checking 10530 - FRANKLIN CORRECTIONAL FACILITY Advance Account Employee Benefit Account Inmate Funds Inmate Occupational Therapy Misc. Receipts 10540 - ALTONA CORRECTIONAL FACILITY Cons Adv Travel Petty Cash Employees Vending Benefit Inmates Funds Misc Revenues General Fund Occupational Therapy 10550 - CAYUGA CORRECTIONAL FACILITY Agency Advance Employee Benefit Fund Inmate Spendable Misc Receipts 10560 - BARE HILL CORRECTIONAL FACILITY Agency Advance Employee Benefit Fund Inmate Spendable Funds	Glens Falls National Key Bank Key Bank Key Bank Key Bank Key Bank NBT	1,800.00 2,422.98 2,854.57 0.00 31,038.95 4,688.00 15,214.30 107,827.53 16,305.70 1,619.83 3,540.64 7,640.52 59,336.43 135.05 4,394.34 1,218.94 5,040.18 37,249.45 270,457.05 3,779.81 1,864.70 9,332.18 89,741.00
10510 - MORIAH SHOCK INCARCERATION CORRECTIONAL FACILITY Moriah Shock Incarceration Advance Acct Moriah Shock Incarceration Employee Benefit Fund Moriah Shock Misc Receipts Moriah Shock Misc Receipts NYS Moriah Shock Incarceration Inmate Checking 10530 - FRANKLIN CORRECTIONAL FACILITY Advance Account Employee Benefit Account Inmate Funds Inmate Cocupational Therapy Misc. Receipts 10540 - ALTONA CORRECTIONAL FACILITY Cons Adv Travel Petty Cash Employees Vending Benefit Inmates Funds Misc Revenues General Fund Occupational Therapy 10550 - CAYUGA CORRECTIONAL FACILITY Agency Advance Employee Benefit Fund Inmate Occupational Therapy Account Inmate Spendable Misc Receipts 10560 - BARE HILL CORRECTIONAL FACILITY Agency Advance Employee Benefit Fund Inmate Spendable Funds Miscellaneous Receipts	Glens Falls National Key Bank Key Bank Key Bank Key Bank Key Bank NBT	1,800.00 2,422.98 2,854.57 0.00 31,038.95 4,688.00 15,214.30 107,827.53 16,305.70 1,619.83 3,540.64 7,640.52 59,336.43 135.05 4,394.34 1,218.94 5,040.18 37,249.45 270,457.05 3,779.81 1,864.70 9,332.18 89,741.00 850.00
10510 - MORIAH SHOCK INCARCERATION CORRECTIONAL FACILITY Moriah Shock Incarceration Advance Acct Moriah Shock Incarceration Employee Benefit Fund Moriah Shock Misc Receipts NYS Moriah Shock Incarceration Inmate Checking 10530 - FRANKLIN CORRECTIONAL FACILITY Advance Account Employee Benefit Account Inmate Funds Inmate Occupational Therapy Misc. Receipts 10540 - ALTONA CORRECTIONAL FACILITY Cons Adv Travel Petty Cash Employees Vending Benefit Inmates Funds Misc Revenues General Fund Occupational Therapy 10550 - CAYUGA CORRECTIONAL FACILITY Agency Advance Employee Benefit Fund Inmate Occupational Therapy Account Inmate Spendable Misc Receipts 10560 - BARE HILL CORRECTIONAL FACILITY Agency Advance Employee Benefit Fund Inmate Spendable Misc Receipts 10560 - BARE HILL CORRECTIONAL FACILITY Agency Advance Employee Benefit Fund Inmate Spendable Misc Receipts	Glens Falls National Key Bank Key Bank Key Bank Key Bank Key Bank NBT	1,800.00 2,422.98 2,854.57 0.00 31,038.95 4,688.00 15,214.30 107,827.53 16,305.70 1,619.83 3,540.64 7,640.52 59,336.43 135.05 4,394.34 1,218.94 5,040.18 37,249.45 270,457.05 3,779.81 1,864.70 9,332.18 89,741.00
10510 - MORIAH SHOCK INCARCERATION CORRECTIONAL FACILITY Moriah Shock Incarceration Employee Benefit Fund Moriah Shock Incarceration Cor Therapy Moriah Shock Misc Receipts NYS Moriah Shock Incarceration Inmate Checking 10530 - FRANKLIN CORRECTIONAL FACILITY Advance Account Employee Benefit Account Inmate Funds Inmate Occupational Therapy Misc. Receipts 10540 - ALTONA CORRECTIONAL FACILITY Cons Adv Travel Petty Cash Employees Vending Benefit Inmates Funds Misc Revenues General Fund Occupational Therapy 10550 - CAYUGA CORRECTIONAL FACILITY Agency Advance Employee Benefit Fund Inmate Occupational Therapy Account Inmate Spendable Misc Receipts 10560 - BARE HILL CORRECTIONAL FACILITY Agency Advance Employee Benefit Fund Inmate Spendable Misc Receipts 10560 - BARE HILL CORRECTIONAL FACILITY Agency Advance Employee Benefit Fund Inmate Spendable Funds Misc Receipts 10570 - RIVERVIEW CORRECTIONAL FACILITY	Glens Falls National Key Bank Key Bank Key Bank Key Bank NBT Bank Orden First National Bank of Groton	1,800.00 2,422.98 2,854.57 0.00 31,038.95 4,688.00 15,214.30 107,827.53 16,305.70 1,619.83 3,540.64 7,640.52 59,336.43 135.05 4,394.34 1,218.94 5,040.18 37,249.45 270,457.05 3,779.81 1,864.70 9,332.18 89,741.00 850.00 9,264.33
10510 - MORIAH SHOCK INCARCERATION CORRECTIONAL FACILITY Moriah Shock Incarceration Advance Acct Moriah Shock Incarceration Employee Benefit Fund Moriah Shock Incarceration Occ Therapy Moriah Shock Misc Receipts NYS Moriah Shock Incarceration Inmate Checking 10530 - FRANKLIN CORRECTIONAL FACILITY Advance Account Employee Benefit Account Inmate Funds Inmate Cocupational Therapy Misc. Receipts 10540 - ALTONA CORRECTIONAL FACILITY Cons Adv Travel Petty Cash Employees Vending Benefit Inmates Funds Misc Revenues General Fund Occupational Therapy 10550 - CAYUGA CORRECTIONAL FACILITY Agency Advance Employee Benefit Fund Inmate Occupational Therapy Account Inmate Spendable Misc Receipts 10560 - BARE HILL CORRECTIONAL FACILITY Agency Advance Employee Benefit Fund Inmate Spendable Funds Miscelianeous Receipts Occupational Therapy 10570 - RTVERVIEW CORRECTIONAL FACILITY Agency Advance	Glens Falls National Key Bank Key Bank Key Bank Key Bank NBT Bank Key Bank First National Bank of Groton Key Bank Key Bank Key Bank Key Bank Key Bank	1,800.00 2,422.98 2,854.57 0.00 31,038.95 4,688.00 15,214.30 107,827.53 16,305.70 1,619.83 3,540.64 7,640.52 59,336.43 135.05 4,394.34 1,218.94 5,040.18 37,249.45 270,457.05 3,779.81 1,864.70 9,332.18 89,741.00 850.00 9,264.33 1,238.19
10510 - MORIAH SHOCK INCARCERATION CORRECTIONAL FACILITY Moriah Shock Incarceration Advance Acct Moriah Shock Incarceration Employee Benefit Fund Moriah Shock Misc Receipts NYS Moriah Shock Misc Receipts NYS Moriah Shock Incarceration Inmate Checking 10530 - FRANKLIN CORRECTIONAL FACILITY Advance Account Employee Benefit Account Inmate Funds Inmate Occupational Therapy Misc. Receipts 10540 - ALTONA CORRECTIONAL FACILITY Cons Adv Travel Petty Cash Employees Vending Benefit Inmates Funds Misc Revenues General Fund Occupational Therapy 10550 - CAYUGA CORRECTIONAL FACILITY Agency Advance Employee Benefit Fund Inmate Occupational Therapy Account Inmate Spendable Misc Receipts 10560 - BARE HILL CORRECTIONAL FACILITY Agency Advance Employee Benefit Fund Inmate Spendable Funds Miscellaneous Receipts Occupational Therapy 10570 - RIVERVIEW CORRECTIONAL FACILITY Agency Advance	Glens Falls National Key Bank Key Bank Key Bank Key Bank Key Bank NBT Ba	1,800.00 2,422.98 2,854.57 0.00 31,038.95 4,688.00 15,214.30 107,827.53 16,305.70 1,619.83 3,540.64 7,640.52 59,336.43 135.05 4,394.34 1,218.94 5,040.18 37,249.45 270,457.05 3,779.81 1,864.70 9,332.18 89,741.00 850.00 9,264.33 1,238.19 75,126.43
10510 - MORIAH SHOCK INCARCERATION CORRECTIONAL FACILITY Moriah Shock Incarceration Advance Acct Moriah Shock Incarceration Employee Benefit Fund Moriah Shock Misc Receipts NYS Moriah Shock Misc Receipts NYS Moriah Shock Incarceration Inmate Checking 10530 - FRANKLIN CORRECTIONAL FACILITY Advance Account Employee Benefit Account Inmate Funds Inmate Cocupational Therapy Misc. Receipts 10540 - ALTONA CORRECTIONAL FACILITY Cons Adv Travel Petty Cash Employees Vending Benefit Inmates Funds Misc Revenues General Fund Occupational Therapy 10550 - CAYUGA CORRECTIONAL FACILITY Agency Advance Employee Benefit Fund Inmate Occupational Therapy Account Inmate Spendable Misc Receipts 10560 - BARE HILL CORRECTIONAL FACILITY Agency Advance Employee Benefit Fund Inmate Spendable Funds Misc Receipts Occupational Therapy 10570 - RIVERVIEW CORRECTIONAL FACILITY Agency Advance Employee Benefit Fund Inmate Spendable Funds Miscellaneous Receipts Occupational Therapy 10570 - RIVERVIEW CORRECTIONAL FACILITY Agency Advance Account Inmate Savings Account	Glens Falls National Key Bank Key Bank Key Bank Key Bank Key Bank NBT Bank Key Bank	1,800.00 2,422.98 2,854.57 0.00 31,038.95 4,688.00 15,214.30 107,827.53 16,305.70 1,619.83 3,540.64 7,640.52 59,336.43 135.05 4,394.34 1,218.94 5,040.18 37,249.45 270,457.05 3,779.81 1,864.70 9,332.18 89,741.00 850.00 9,264.33 1,238.19 75,126.43 125,657.82
10510 - MORIAH SHOCK INCARCERATION CORRECTIONAL FACILITY Moriah Shock Incarceration Advance Acct Moriah Shock Incarceration Employee Benefit Fund Moriah Shock Misc Receipts NYS Moriah Shock Misc Receipts NYS Moriah Shock Incarceration Inmate Checking 10530 - FRANKLIN CORRECTIONAL FACILITY Advance Account Employee Benefit Account Inmate Funds Inmate Cocupational Therapy Misc. Receipts 10540 - ALTONA CORRECTIONAL FACILITY Cons Adv Travel Petty Cash Employees Vending Benefit Inmates Funds Misc Revenues General Fund Occupational Therapy 10550 - CAYUGA CORRECTIONAL FACILITY Agency Advance Employee Benefit Fund Inmate Occupational Therapy Account Inmate Spendable Misc Receipts 10560 - BARE HILL CORRECTIONAL FACILITY Agency Advance Employee Benefit Fund Inmate Spendable Funds Miscelaneous Receipts Occupational Therapy 10570 - RIVERVIEW CORRECTIONAL FACILITY Agency Advance Employee Benefit Fund Inmate Spendable Advance Employee Benefit Fund Inmate Spendable Advance Employee Benefit Fund Inmate Spendable Runds Miscellaneous Receipts Occupational Therapy	Glens Falls National Key Bank Key Bank Key Bank Key Bank Key Bank NBT Bank Of Groton First National Bank of Groton Key Bank	1,800.00 2,422.98 2,854.57 0.00 31,038.95 4,688.00 15,214.30 107,827.53 16,305.70 1,619.83 3,540.64 7,640.52 59,336.43 135.05 4,394.34 1,218.94 5,040.18 37,249.45 270,457.05 3,779.81 1,864.70 9,332.18 89,741.00 850.00 9,264.33 1,238.19 75,126.43 125,657.82 8,696.56
10510 - MORIAH SHOCK INCARCERATION CORRECTIONAL FACILITY Moriah Shock Incarceration Advance Acct Moriah Shock Incarceration Employee Benefit Fund Moriah Shock Misc Receipts NYS Moriah Shock Misc Receipts NYS Moriah Shock Incarceration Inmate Checking 10530 - FRANKLIN CORRECTIONAL FACILITY Advance Account Employee Benefit Account Inmate Funds Inmate Cocupational Therapy Misc. Receipts 10540 - ALTONA CORRECTIONAL FACILITY Cons Adv Travel Petty Cash Employees Vending Benefit Inmates Funds Misc Revenues General Fund Occupational Therapy 10550 - CAYUGA CORRECTIONAL FACILITY Agency Advance Employee Benefit Fund Inmate Spendable Misc Receipts 10560 - BARE HILL CORRECTIONAL FACILITY Agency Advance Employee Benefit Fund Inmate Spendable Funds Misc Receipts Occupational Therapy 10570 - RIVERVIEW CORRECTIONAL FACILITY Agency Advance Employee Benefit Fund Inmate Spendable Funds Miscellaneous Receipts Occupational Therapy 10570 - RIVERVIEW CORRECTIONAL FACILITY Agency Advance Account Inmate Spendable Funds Miscellaneous Receipts Occupational Therapy	Glens Falls National Key Bank Key Bank Key Bank Key Bank Key Bank NBT Bank Key Bank	1,800.00 2,422.98 2,854.57 0.00 31,038.95 4,688.00 15,214.30 107,827.53 16,305.70 1,619.83 3,540.64 7,640.52 59,336.43 135.05 4,394.34 1,218.94 5,040.18 37,249.45 270,457.05 3,779.81 1,864.70 9,332.18 89,741.00 850.00 9,264.33 1,238.19 75,126.43 125,657.82

10580 - CAPE VINCENT CORRECTIONAL FACILITY		
Advance Account	Community Bank	1,900.00
Employee Benefit Fund	Community Bank	47,433.04
Inmate Occupation Therapy Acct	Community Bank	34,806.73
Inmate Savings	Community Bank	141,770.40
Inmate Spendable Account	Community Bank	130,771.11
Miscellaneous Receipts Account	Community Bank	2,377.54
10600 - LAKEVIEW SHOCK INCARCERATION CORRECTIONAL FACILITY	Community Book	2.000.40
Agency Advance	Community Bank	3,698.19
Employee Benefit Fund	Community Bank	17,234.61
Inmate Funds	Community Bank	46,957.74
Inmate Funds - Sav	Community Bank	84,671.98
Miscellaneous Revenue	Community Bank	2,064.71
Occupational Therapy	Community Bank	868.47
10610 - ULSTER CORRECTIONAL FACILITY Agency Advance	MOT Deals	1 270 60
J ,	M&T Bank	1,279.60
Employee Benefit Fund	M&T Bank	7,497.96
Inmate Fund	M&T Bank	162,348.68
Inmate Funds Savings	M&T Bank	12,308.79
Misc Receipts	M&T Bank	188.45
Occupational Therapy	M&T Bank	4,265.96
10630 - SOUTHPORT CORRECTIONAL FACILITY	Champing Canal Trust	286.37
Advance Account	Chemung Canal Trust Chemung Canal Trust	
Employee Benefit Fund	Chemung Canal Trust	8,657.54
Inmate Funds Account Misc. Receipts Account		56,271.14 357.70
•	Chemung Canal Trust Chemung Canal Trust	29,459.77
Occupational Therapy Account	Cheming Canal Trust	29,739.//
10640 - ORLEANS CORRECTIONAL FACILITY Agency Advance	Pank of America, N.A.	2,242.57
Employee Benefit Fund	Bank of America, N.A. Bank of America, N.A.	9,899.24
Inmate Funds	Bank of America, N.A.	88,041.17
Miscellaneous Receipts	Bank of America, N.A.	23,180.42
Occupational Therapy	Bank of America, N.A.	21,862.48
10650 - WASHINGTON CORRECTIONAL FACILITY	bank of America, N.A.	21,002.40
Advance Account	Key Bank	2,401.27
EBF Account	Key Bank	15,765.37
General Account	Key Bank	212.25
Inmate Account	Key Bank	160,709.61
Inmate Funds Account Certificate Of Deposit	Glens Falls National	79,307.33
Occupational Therapy Account	Key Bank	16,063.87
10660 - WYOMING CORRECTIONAL FACILITY	itey balik	10,005.07
Agency Advance	Five Star Bank	6,226.47
Employee Benefit Fund	Five Star Bank	14,860.71
Inmate Occupational Therapy	Five Star Bank	36,171.47
Inmate Spendable	Five Star Bank	324,821.54
Misc. Receipts Account	Five Star Bank	9,400.43
10670 - GREENE CORRECTIONAL FACILITY	THE State Ballic	3,100.10
Consolidated Advance	National Bank of Coxsackie	1,993.81
Employee Benefit Fund	National Bank of Coxsackie	26,139.71
Inmate Accounts	National Bank of Coxsackie	152,858.69
Misc. Receipts	National Bank of Coxsackie	0.00
Occupational Therapy	National Bank of Coxsackie	42,603.77
10680 - SHAWANGUNK CORRECTIONAL FACILITY		,
Consolidated Advance Account	Key Bank	1,280.70
Inmates Funds Account	Key Bank	292,900.04
Misc. Receipts Account	Key Bank	5,428.98
Occupational Therapy Acct	Key Bank	51,451.16
10690 - SULLIVAN CORRECTIONAL FACILITY		
Consolidated Advance	Key Bank	2,210.95
Inmate Checking	Key Bank	285,702.02
Inmate Savings	Key Bank	100,511.90
Miscellaneous	Key Bank	19,317.09
Occupational Therapy	Key Bank	43,704.70
10810 - GOUVERNEUR CORRECTIONAL FACILITY		
Agency Advance	Community Bank	1,643.50
Inmate Occupational Therapy	Community Bank	17,123.54
Inmate Savings	Community Bank	205,046.73
Inmate Spendable Fund	Community Bank	79,543.46
Misc Receipts	Community Bank	13,102.31
10820 - WILLARD DRUG TREATMENT CENTER		
Consolidated Advance	Community Bank	937.50
Employee Benefit Fund	Community Bank	10,663.78
Inmate Funds	Community Bank	32,907.56
Inmate Occupational Therapy	Community Bank	10,090.80

	Misc Receipts	Community Bank	0.00
10840 -	- UPSTATE CORRECTIONAL FACILITY-AUDIT 1		
	Advance Account	Key Bank	1,829.95
	Facility Committees	Key Bank	19,760.06
	Inmate Fund	Key Bank	356,249.25
	Inmate Occupational Therapy Fund	Key Bank	14,600.91
10050	Miscellaneous Account	Key Bank	238.25
10920 -	- HALE CREEK ASACTC Consolidated Advance	Key Bank	675.00
	Employee Benefit Fund	Bank of America, N.A.	10,633.01
	Inmate Funds	Key Bank	95,109.29
	Inmate Interest Bearing Account	Key Bank	15,000.25
	Misc Receipts	Key Bank	671.30
	Occupational Therapy	Key Bank	20,303.33
10890 -	- CORRECTIONS AND COMMUNITY SUPERVISION		
	Asset Forfeiture Special Rev Acct	Bank of America, N.A.	12,808.69
	Parole Supervision Fee	Wells Fargo Bank	27,361.55
10916 -	- CENTRAL OFFICE - INDUSTRIES		
	Div of Ind Petty Cash Acct	Key Bank	3,985.00
	Div of Ind Revenue Acct	Key Bank	163,611.13
11000 -	- EDUCATION DEPARTMENT	Key Beels	No accordence to the d
	Consolidated Advance Account Consolidated Advance Account (Control Disbursement)	Key Bank	No report received
	Revenue Account	Key Bank Key Bank	No report received No report received
11100 -	- NYS HIGHER EDUCATION SERVICES CORPORATION	icey Dalik	No report received
11100	Federal Student Loan Suspense	US Bank	12,106.36
	NYS HESC-Federal Student Loan Suspense	Key Bank	0.00
	Operating	Key Bank	287,047.51
	Operating	US Bank	3,411,098.81
	Retail Lockbox	US Bank	151,404.79
	Wholesale Lockbox	US Bank	984,942.97
11260 -	- BATAVIA SCHOOL FOR THE BLIND		
	Misc. Receipts	M&T Bank	No report received
	Petty Cash	M&T Bank	No report received
	Student Spending Account	Bank of America, N.A.	No report received
112/0	- ROME SCHOOL FOR THE DEAF	NIDT Develo	22.257.20
	Miscellaneous Receipts	NBT Bank NBT Bank	23,257.30
	Petty Cash Student Activity Fund	NBT Bank	2,000.00 15,155.66
11280 -	- ARCHIVES PARTNERSHIP TRUST	NDI Dalik	13,133.00
	Endowment	Janney Montgomery Scott LLC	No report received
	Endowment - Special Account	Janney Montgomery Scott LLC	No report received
	Trust's Board Project Account	Key Bank	No report received
12000 -	- DEPARTMENT OF HEALTH CENTRAL ADMINISTRATION		
	CSA Rebate Account	Bank of America, N.A.	0.00
	DOH EPIC Lockbox Acct	Wells Fargo Bank	14,053.78
	DOH Main Cash Advance	Key Bank	16,256.92
	Early Intervention - Municipal Deposits for Provider Pymts	Key Bank	0.00
	Early Intervention - Provider Payments Escrow	Key Bank	8,796,257.21
	Early Intervention - State Funds	Key Bank	16,121.45
	EPIC Co Pay Account Epic Drug Manufacturer Rebate Account	Bank of America, N.A. Bank of America, N.A.	0.00 1,040.18
	EPIC EFT Acct	Bank of America, N.A.	0.00
	EPIC Master Funding Acct	Bank of America, N.A.	2,909,726.14
	EPIC Provider Receipt Account	Bank of America, N.A.	0.00
	EPIC Refund Acct	Bank of America, N.A.	0.00
	eWIC	Wells Fargo Bank	61,411.81
	ICR Audit Fees Account	Bank of America, N.A.	47,949.82
		Bank of America, N.A.	0.00
	Indian Health Disbursement Account	Dank Of Afficility, N.A.	
	Indian Health Disbursement Account Indian Health-Master Acct	Bank of America, N.A.	9,827.67
			9,827.67 27,204,809.26
	Indian Health-Master Acct	Bank of America, N.A.	·
	Indian Health-Master Acct Medicaid Medicaid Audit Recoveries Acct Medicaid Insurance Recoveries Acct	Bank of America, N.A. Key Bank Key Bank Bank of America, N.A.	27,204,809.26 0.00 476,312.15
	Indian Health-Master Acct Medicaid Medicaid Audit Recoveries Acct Medicaid Insurance Recoveries Acct Nurses Aide Fees (Prometric)	Bank of America, N.A. Key Bank Key Bank Bank of America, N.A. Bank of America, N.A.	27,204,809.26 0.00 476,312.15 65,061.45
	Indian Health-Master Acct Medicaid Medicaid Audit Recoveries Acct Medicaid Insurance Recoveries Acct Nurses Aide Fees (Prometric) Nursing Home Fees Account	Bank of America, N.A. Key Bank Key Bank Bank of America, N.A. Bank of America, N.A. Bank of America, N.A.	27,204,809.26 0.00 476,312.15 65,061.45 No report received
	Indian Health-Master Acct Medicaid Medicaid Audit Recoveries Acct Medicaid Insurance Recoveries Acct Nurses Aide Fees (Prometric) Nursing Home Fees Account NYS DOH CLEP Revenue	Bank of America, N.A. Key Bank Key Bank Bank of America, N.A. Bank of America, N.A. Bank of America, N.A. Key Bank	27,204,809.26 0.00 476,312.15 65,061.45 No report received 28,472.95
	Indian Health-Master Acct Medicaid Medicaid Audit Recoveries Acct Medicaid Insurance Recoveries Acct Nurses Aide Fees (Prometric) Nursing Home Fees Account NYS DOH CLEP Revenue OBRA Drug Rebate Program Acct	Bank of America, N.A. Key Bank Key Bank Bank of America, N.A. Bank of America, N.A. Bank of America, N.A. Key Bank Bank of America, N.A.	27,204,809.26 0.00 476,312.15 65,061.45 No report received 28,472.95 132,221.86
12010	Indian Health-Master Acct Medicaid Medicaid Audit Recoveries Acct Medicaid Insurance Recoveries Acct Nurses Aide Fees (Prometric) Nursing Home Fees Account NYS DOH CLEP Revenue OBRA Drug Rebate Program Acct SPARCS	Bank of America, N.A. Key Bank Key Bank Bank of America, N.A. Bank of America, N.A. Bank of America, N.A. Key Bank	27,204,809.26 0.00 476,312.15 65,061.45 No report received 28,472.95
12010 -	Indian Health-Master Acct Medicaid Medicaid Audit Recoveries Acct Medicaid Insurance Recoveries Acct Nurses Aide Fees (Prometric) Nursing Home Fees Account NYS DOH CLEP Revenue OBRA Drug Rebate Program Acct SPARCS - ROSWELL PARK MEMORIAL INSTITUTE	Bank of America, N.A. Key Bank Key Bank Bank of America, N.A. Bank of America, N.A. Bank of America, N.A. Key Bank Bank Bank of America, N.A. Key Bank	27,204,809.26 0.00 476,312.15 65,061.45 No report received 28,472.95 132,221.86 89,658.65
	Indian Health-Master Acct Medicaid Medicaid Audit Recoveries Acct Medicaid Insurance Recoveries Acct Nurses Aide Fees (Prometric) Nursing Home Fees Account NYS DOH CLEP Revenue OBRA Drug Rebate Program Acct SPARCS - ROSWELL PARK MEMORIAL INSTITUTE Office Of Patient Accounts	Bank of America, N.A. Key Bank Key Bank Bank of America, N.A. Bank of America, N.A. Bank of America, N.A. Key Bank Bank of America, N.A.	27,204,809.26 0.00 476,312.15 65,061.45 No report received 28,472.95 132,221.86
	Indian Health-Master Acct Medicaid Medicaid Audit Recoveries Acct Medicaid Insurance Recoveries Acct Nurses Aide Fees (Prometric) Nursing Home Fees Account NYS DOH CLEP Revenue OBRA Drug Rebate Program Acct SPARCS - ROSWELL PARK MEMORIAL INSTITUTE Office Of Patient Accounts - HELEN HAYES HOSPITAL	Bank of America, N.A. Key Bank Key Bank Bank of America, N.A. Bank of America, N.A. Bank of America, N.A. Key Bank Bank of America, N.A. Key Bank M&T Bank	27,204,809.26 0.00 476,312.15 65,061.45 No report received 28,472.95 132,221.86 89,658.65 No report received
	Indian Health-Master Acct Medicaid Medicaid Audit Recoveries Acct Medicaid Insurance Recoveries Acct Nurses Aide Fees (Prometric) Nursing Home Fees Account NYS DOH CLEP Revenue OBRA Drug Rebate Program Acct SPARCS - ROSWELL PARK MEMORIAL INSTITUTE Office Of Patient Accounts - HELEN HAYES HOSPITAL Misc. Receipts	Bank of America, N.A. Key Bank Key Bank Bank of America, N.A. Bank of America, N.A. Bank of America, N.A. Key Bank Bank of America, N.A. Key Bank Bank of Bank M&T Bank	27,204,809.26 0.00 476,312.15 65,061.45 No report received 28,472.95 132,221.86 89,658.65 No report received
	Indian Health-Master Acct Medicaid Medicaid Audit Recoveries Acct Medicaid Insurance Recoveries Acct Nurses Aide Fees (Prometric) Nursing Home Fees Account NYS DOH CLEP Revenue OBRA Drug Rebate Program Acct SPARCS - ROSWELL PARK MEMORIAL INSTITUTE Office Of Patient Accounts - HELEN HAYES HOSPITAL	Bank of America, N.A. Key Bank Key Bank Bank of America, N.A. Bank of America, N.A. Bank of America, N.A. Key Bank Bank of America, N.A. Key Bank M&T Bank	27,204,809.26 0.00 476,312.15 65,061.45 No report received 28,472.95 132,221.86 89,658.65 No report received 274,305.26 14,250.40
	Indian Health-Master Acct Medicaid Medicaid Audit Recoveries Acct Medicaid Insurance Recoveries Acct Nurses Aide Fees (Prometric) Nursing Home Fees Account NYS DOH CLEP Revenue OBRA Drug Rebate Program Acct SPARCS - ROSWELL PARK MEMORIAL INSTITUTE Office Of Patient Accounts - HELEN HAYES HOSPITAL Misc. Receipts Petty Cash Account	Bank of America, N.A. Key Bank Key Bank Bank of America, N.A. Bank of America, N.A. Bank of America, N.A. Key Bank Bank of America, N.A. Key Bank Bank of Bank M&T Bank JPMorgan Chase Bank, N.A. JPMorgan Chase Bank, N.A.	27,204,809.26 0.00 476,312.15 65,061.45 No report received 28,472.95 132,221.86 89,658.65 No report received

12120 - NYS VETERANS HOME-OXFORD		
Agency Advance	NBT Bank	8,537.59
Exchange Account	NBT Bank	13,894.41
Maintenance Fund	NBT Bank	694,841.98
NYS Veterans Home-Oxford (Resident Account, Custodial Account)	NBT Bank	556,227.96
Resident Custodial Account	NBT Bank	2,337.26
Resident Custodial Account	NBT Bank	2,274.44
Resident Custodial Account	NBT Bank	11,535.05
Resident Custodial Account	NBT Bank	6,317.87
Resident Custodial Account Resident Custodial Account	NBT Bank NBT Bank	990.36 9,061.78
Resident Custodial Account	NBT Bank	15,166.63
12150 - NYS VETERANS HOME-ST ALBANS	HOT BUILT	13,100.03
NYC Veteran Home Agency Advance	JPMorgan Chase Bank, N.A.	118,134.37
St Albans NYC Vet Home Resid Funds	JPMorgan Chase Bank, N.A.	643,677.02
St Albans Vet Home Maintenance Acct	NBT Bank	503,628.00
12180 - WESTERN NEW YORK VETERANS HOME		
Advance Account	Bank of America, N.A.	3,681.71
Exchange Account	Bank of America, N.A.	17,323.56
Maintenance Account Resident Funds	NBT Bank	61,361.21
12190 - VETERANS HOME AT MONTROSE	Bank of America, N.A.	57,154.64
Agency Advance Account	Bank of America, N.A.	No report received
Maintenance Acct	NBT Bank	212,754.87
Residence Account	Bank of America, N.A.	No report received
12200 - OFFICE OF MEDICAID INSPECTOR GENERAL	,	,
Albany Confidential Account	Key Bank	229.59
Albany Petty Cash Account	Key Bank	402.00
NYC Confidential Account	JPMorgan Chase Bank, N.A.	166.00
14000 - DEPARTMENT OF LABOR	w 5 l	27.005.00
Agency Advance Account	Key Bank	27,986.00
Exchange Account Fee And Permit Account	Bank of America, N.A. Key Bank	12,354.43 857,441.30
Min Wage & Claim Funding Acct	Key Bank	163,251.96
Minimum Wage & Wage Claim Acct	Key Bank	858,656.15
Misc Receipts	Bank of America, N.A.	147,879.11
U.I. Fund Clearing Account	JPMorgan Chase Bank, N.A.	4,163,464.50
UI Fund ACH Transactions	Wells Fargo Bank	1,000,000.00
14010 - WORKERS COMPENSATION BOARD		
DTF/WCB MAC 14	JPMorgan Chase Bank, N.A.	455,050,347.05
16000 - PUBLIC SERVICE COMMISSION	w 5 l	500507
Cable Account	Key Bank	5,305.87
Petty Cash Account Special Fee Account	Key Bank Key Bank	3,925.00 48,888.62
17000 - NYS DEPARTMENT OF TRANSPORTATION	KCy Dank	10,000.02
Main Office Advance For Travel	Key Bank	50,953.99
Republic Airport, Long Island	,	,
Republic Airport Revenue Acct	JPMorgan Chase Bank, N.A.	171,274.80
19000 - DEPARTMENT OF STATE		
Atheltic	M&T Bank	No report received
Licensing Revenue Account	JPMorgan Chase Bank, N.A.	No report received
Main	M&T Bank	No report received No report received
Main Petty Cash Account	M&T Bank Key Bank	No report received No report received No report received
Main Petty Cash Account Summons	M&T Bank	No report received No report received
Main Petty Cash Account	M&T Bank Key Bank M&T Bank	No report received No report received No report received No report received
Main Petty Cash Account Summons 19001 - TUG HILL COMMISSION	M&T Bank Key Bank	No report received No report received No report received
Main Petty Cash Account Summons 19001 - TUG HILL COMMISSION Agency Advance Account	M&T Bank Key Bank M&T Bank	No report received No report received No report received No report received
Main Petty Cash Account Summons 19001 - TUG HILL COMMISSION Agency Advance Account 19002 - LAKE GEORGE PARK COMMISSION Petty Cash Account Revenue Transfer Account	M&T Bank Key Bank M&T Bank Key Bank	No report received No report received No report received No report received No report received
Main Petty Cash Account Summons 19001 - TUG HILL COMMISSION Agency Advance Account 19002 - LAKE GEORGE PARK COMMISSION Petty Cash Account Revenue Transfer Account 19005 - COMMISSION ON PUBLIC INTEGRITY	M&T Bank Key Bank M&T Bank Key Bank Glens Falls National Glens Falls National	No report received
Main Petty Cash Account Summons 19001 - TUG HILL COMMISSION Agency Advance Account 19002 - LAKE GEORGE PARK COMMISSION Petty Cash Account Revenue Transfer Account 19005 - COMMISSION ON PUBLIC INTEGRITY JCOPE Petty Cash Account	M&T Bank Key Bank M&T Bank Key Bank Glens Falls National Glens Falls National Bank of America, N.A.	No report received
Main Petty Cash Account Summons 19001 - TUG HILL COMMISSION Agency Advance Account 19002 - LAKE GEORGE PARK COMMISSION Petty Cash Account Revenue Transfer Account 19005 - COMMISSION ON PUBLIC INTEGRITY JCOPE Petty Cash Account JCOPE Revenue Account	M&T Bank Key Bank M&T Bank Key Bank Glens Falls National Glens Falls National	No report received
Main Petty Cash Account Summons 19001 - TUG HILL COMMISSION Agency Advance Account 19002 - LAKE GEORGE PARK COMMISSION Petty Cash Account Revenue Transfer Account 19005 - COMMISSION ON PUBLIC INTEGRITY JCOPE Petty Cash Account JCOPE Petty Cash Account JCOPE Revenue Account 20000 - DEPARTMENT OF TAXATION & FINANCE	M&T Bank Key Bank M&T Bank Key Bank Glens Falls National Glens Falls National Bank of America, N.A. Bank of America, N.A.	No report received
Main Petty Cash Account Summons 19001 - TUG HILL COMMISSION Agency Advance Account 19002 - LAKE GEORGE PARK COMMISSION Petty Cash Account Revenue Transfer Account 19005 - COMMISSION ON PUBLIC INTEGRITY JCOPE Petty Cash Account JCOPE Revenue Account 20000 - DEPARTMENT OF TAXATION & FINANCE IFTA Funding	M&T Bank Key Bank M&T Bank Key Bank Glens Falls National Glens Falls National Bank of America, N.A. Bank of America, N.A. JPMorgan Chase Bank, N.A.	No report received
Main Petty Cash Account Summons 19001 - TUG HILL COMMISSION Agency Advance Account 19002 - LAKE GEORGE PARK COMMISSION Petty Cash Account Revenue Transfer Account 19005 - COMMISSION ON PUBLIC INTEGRITY JCOPE Petty Cash Account JCOPE Revenue Account 20000 - DEPARTMENT OF TAXATION & FINANCE IFTA Funding Misc Tax Account - Exchange	M&T Bank Key Bank M&T Bank Key Bank Glens Falls National Glens Falls National Bank of America, N.A. Bank of America, N.A. JPMorgan Chase Bank, N.A. Bank of America, N.A.	No report received 285.80
Main Petty Cash Account Summons 19001 - TUG HILL COMMISSION Agency Advance Account 19002 - LAKE GEORGE PARK COMMISSION Petty Cash Account Revenue Transfer Account 19005 - COMMISSION ON PUBLIC INTEGRITY JCOPE Petty Cash Account JCOPE Revenue Account 20000 - DEPARTMENT OF TAXATION & FINANCE IFTA Funding	M&T Bank Key Bank M&T Bank Key Bank Glens Falls National Glens Falls National Bank of America, N.A. Bank of America, N.A. JPMorgan Chase Bank, N.A.	No report received 10 report received 10 report received 10 report received 10 report received
Main Petty Cash Account Summons 19001 - TUG HILL COMMISSION Agency Advance Account 19002 - LAKE GEORGE PARK COMMISSION Petty Cash Account Revenue Transfer Account 19005 - COMMISSION ON PUBLIC INTEGRITY JCOPE Petty Cash Account JCOPE Revenue Account 20000 - DEPARTMENT OF TAXATION & FINANCE IFTA Funding Misc Tax Account - Exchange Off Track Betting Tax (MAC 848)	M&T Bank Key Bank M&T Bank Key Bank Glens Falls National Glens Falls National Bank of America, N.A. Bank of America, N.A. JPMorgan Chase Bank, N.A. Bank of America, N.A. Key Bank	No report received 285.80 16,033.66 2,710,548.56
Main Petty Cash Account Summons 19001 - TUG HILL COMMISSION Agency Advance Account 19002 - LAKE GEORGE PARK COMMISSION Petty Cash Account Revenue Transfer Account 19005 - COMMISSION ON PUBLIC INTEGRITY JCOPE Petty Cash Account JCOPE Revenue Account 20000 - DEPARTMENT OF TAXATION & FINANCE IFTA Funding Misc Tax Account - Exchange Off Track Betting Tax (MAC 848) Pari Mutuel Betting Tax (MAC 847)	M&T Bank Key Bank M&T Bank Key Bank Glens Falls National Glens Falls National Bank of America, N.A. Bank of America, N.A. Bank of America, N.A. Key Bank Key Bank Bank of America, N.A. Wells Fargo Bank	No report received 10,033.66 2,710,548.56 11,300.42 12,957.29 83,800.00
Main Petty Cash Account Summons 19001 - TUG HILL COMMISSION Agency Advance Account 19002 - LAKE GEORGE PARK COMMISSION Petty Cash Account Revenue Transfer Account 19005 - COMMISSION ON PUBLIC INTEGRITY JCOPE Petty Cash Account JCOPE Revenue Account 20000 - DEPARTMENT OF TAXATION & FINANCE IFTA Funding Misc Tax Account - Exchange Off Track Betting Tax (MAC 848) Pari Mutuel Betting Tax (MAC 847) Petty Cash Tax Preparer Registration Fee (EFT) Waste Tire Fee (EFT)	M&T Bank Key Bank M&T Bank Key Bank Key Bank Glens Falls National Glens Falls National Bank of America, N.A. Bank of America, N.A. JPMorgan Chase Bank, N.A. Bank of America, N.A. Key Bank Key Bank Bank of America, N.A. Wells Fargo Bank Wells Fargo Bank	No report received 285.80 16,033.66 2,710,548.56 11,300.42 11,257.29 83,800.00 7,639.69
Main Petty Cash Account Summons 19001 - TUG HILL COMMISSION Agency Advance Account 19002 - LAKE GEORGE PARK COMMISSION Petty Cash Account Revenue Transfer Account 19005 - COMMISSION ON PUBLIC INTEGRITY JCOPE Petty Cash Account JCOPE Revenue Account 20000 - DEPARTMENT OF TAXATION & FINANCE IFTA Funding Misc Tax Account - Exchange Off Track Betting Tax (MAC 848) Pari Mutuel Betting Tax (MAC 847) Petty Cash Tax Preparer Registration Fee (EFT) Waste Tire Fee (EFT) Waste Tire Fee (EFT)	M&T Bank Key Bank M&T Bank Key Bank Glens Falls National Glens Falls National Bank of America, N.A. Bank of America, N.A. Bank of America, N.A. Key Bank Key Bank Bank of America, N.A. Wells Fargo Bank	No report received 10,033.66 2,710,548.56 11,300.42 12,957.29 83,800.00
Main Petty Cash Account Summons 19001 - TUG HILL COMMISSION Agency Advance Account 19002 - LAKE GEORGE PARK COMMISSION Petty Cash Account Revenue Transfer Account 19005 - COMMISSION ON PUBLIC INTEGRITY JCOPE Petty Cash Account JCOPE Revenue Account 20000 - DEPARTMENT OF TAXATION & FINANCE IFTA Funding Misc Tax Account - Exchange Off Track Betting Tax (MAC 848) Pari Mutuel Betting Tax (MAC 847) Petty Cash Tax Preparer Registration Fee (EFT) Waste Tire Fee (EFT) Waste Tire Fee (EFT) Waste Tire Tax	M&T Bank Key Bank M&T Bank Key Bank Glens Falls National Glens Falls National Bank of America, N.A. Bank of America, N.A. JPMorgan Chase Bank, N.A. Bank of America, N.A. Key Bank Key Bank Bank of America, N.A. Wells Fargo Bank Wells Fargo Bank JPMorgan Chase Bank, N.A.	No report received 285.80 16,033.66 2,710,548.56 11,300.42 12,957.29 83,800.00 7,639.69 28,266.43
Main Petty Cash Account Summons 19001 - TUG HILL COMMISSION Agency Advance Account 19002 - LAKE GEORGE PARK COMMISSION Petty Cash Account Revenue Transfer Account 19005 - COMMISSION ON PUBLIC INTEGRITY JCOPE Petty Cash Account JCOPE Revenue Account 20000 - DEPARTMENT OF TAXATION & FINANCE IFTA Funding Misc Tax Account - Exchange Off Track Betting Tax (MAC 848) Pari Mutuel Betting Tax (MAC 847) Petty Cash Tax Preparer Registration Fee (EFT) Waste Tire Fee (EFT) Waste Tire Fee (EFT)	M&T Bank Key Bank M&T Bank Key Bank Key Bank Glens Falls National Glens Falls National Bank of America, N.A. Bank of America, N.A. JPMorgan Chase Bank, N.A. Bank of America, N.A. Key Bank Key Bank Bank of America, N.A. Wells Fargo Bank Wells Fargo Bank	No report received 285.80 16,033.66 2,710,548.56 11,300.42 11,257.29 83,800.00 7,639.69

Custody Account	US Bank	12,689.35
Fingerprint Concentration Account	Key Bank	11,170.86
License Revenue Account	Bank of America, N.A.	37,578.06
Lottery Concentration Account	Key Bank	23,252.55 30.00
Lottery Prize Payment Account Lottery Subscriptions Account	Key Bank Key Bank	773,723.70
Petty Cash Account	Key Bank	841.22
Racing Refund Account	Key Bank	744,689.98
Video Gaming Revenue Account	Key Bank	27,254,721.69
21012 - WELFARE INSPECTOR GENERAL	,	, ,
Confidential Fund	Bank of America, N.A.	15,000.00
Confidential Fund	JPMorgan Chase Bank, N.A.	No report received
Petty Cash	JPMorgan Chase Bank, N.A.	No report received
21110 - OFFICE OF REGULATORY REFORM		
Petty Cash	Key Bank	No report received
21190 - NYS ENERGY RESEARCH & DEVELOPMENT AUTHORITY	JDM Chara Danis Ni A	N=
NYSERDA Greenbank MAC 26 NYSERDA Main Checking MAC 30	JPMorgan Chase Bank, N.A. JPMorgan Chase Bank, N.A.	No report received (8,588,337.62)
21290 - HUDSON RIVER-BLACK RIVER REGULATING DISTRICT	Jemorgan Chase Bank, N.A.	(0,300,337.02)
Checking- General Fund Acct.	Community Bank	356,084.51
Checking- Petty Cash Fund	Community Bank	5,000.00
Hudson River General Acct	Bank of America, N.A.	717,823.19
Money Market	Bank of America, N.A.	6,500.00
Petty Cash Fund	Bank of America, N.A.	0.50
21700 - OFFICE OF THE STATE INSPECTOR GENERAL		
Office Of The State Inspector General Pass Thru Account	Key Bank	0.00
OSIG Petty Cash Account	Key Bank	1,438.00
Albany		
Office of the Inspector General Confidential	Bank of America, N.A.	30,000.00
21820 - STATE COMMISSION ON JUDICIAL CONDUCT	JDMannan Chasa Bank N A	640.00
Petty Cash Account Petty Cash Account	JPMorgan Chase Bank, N.A. Key Bank	640.00 990.50
Petty Cash Fund	JPMorgan Chase Bank, N.A.	1,770.44
21940 - NYS FINANCIAL CONTROL BOARD	31 Horgan Chase Barny Have	1,775.11
Agency Advance Acct	JPMorgan Chase Bank, N.A.	No report received
23000 - DEPARTMENT OF MOTOR VEHICLES	,	·
Abany Central Main Acct		
Albany Central Main Acct	Wells Fargo Bank	286,796.48
Albany Central Main Exchange		
Exchange	Wells Fargo Bank	25,354.33
Albany Central Office		
Title Escrow Exchange (Albany Central Office)	Wells Fargo Bank	10,580.45
Albany TVB Sub (Albany Central Office)	Wells Forge Dank	28.650.16
Adjudication Account Albany-Region 3	Wells Fargo Bank	28,659.16
Confidential Inv Subpoena - Albany	Key Bank	No report received
Allegany-Belmont	noy built	no report received
County Clerk Fee Allegany	Steuben Trust Co.	10,986.42
Andirondack Mountains		
County Fee Account	JPMorgan Chase Bank, N.A.	118,476.07
Buffalo-Region 5		
MV- Buffalo Investigator & Subpoena	M&T Bank	No report received
Capital Saratoga Revenue		
County Fee Account	JPMorgan Chase Bank, N.A.	217,398.01
Catskill Mountains	JDM Chara Bault N.A.	142.702.12
County Fee Account Central Leatherstocking	JPMorgan Chase Bank, N.A.	142,782.13
County Fee Account	JPMorgan Chase Bank, N.A.	69,543.59
Chautaqua-Steuben	or rongan chase bank, run.	03,010.03
County Fee Acct	JPMorgan Chase Bank, N.A.	60,201.24
Chautauqua County	,	,
Holding Acct-Chautauqua County	Community Bank	87,206.43
Holding Acct-Chautauqua County	Key Bank	64,728.34
Holding Acct-Chautauqua County	M&T Bank	377,481.70
Concentration (CTY)(OSC)		
Concentration (CTY)(OSC)	Key Bank	244,000.00
Concentration (DO)(OSC)	Key Pank	2,070,806.28
Concentration (DO)(OSC) Confidential Fund (Albany Central Office)	Key Bank	2,070,800.28
Confidential Fund	Bank of America, N.A.	6,593.00
CTY Credit Card (Albany Central Office)	bank of renorma, m.m.	0,333.00
County Office Credit Card Account	JPMorgan Chase Bank, N.A.	No report received
Customer Service Counter (Albany)	- ,	,
NYS DMV CSC	Wells Fargo Bank	51,325.63

D.O. Credit Card (Albany Central Office)		
District Office Credit Card Account	JPMorgan Chase Bank, N.A.	No report received
DMV Division Of Field Investigations - Albany Central Office		
Field Investigation Downstate	M&T Bank	No report received
Revenue Account - Downstate	Wells Fargo Bank	424,376.27
Eric County Revenue		
County Fee Account	JPMorgan Chase Bank, N.A.	50,000.00
Finger Lakes First County Fee Acct	JPMorgan Chase Bank, N.A.	86,904.19
Finger Lakes Second	or hergan article and her article	00,00
County Fee Acct	JPMorgan Chase Bank, N.A.	92,585.05
Genesee County	Reals of Contile	No accordence in the
Genesee County Clerk - DMV Greene County	Bank of Castile	No report received
Fee Account - Greene	Greene County Commercial Bank	No report received
Hudson Valley		
County Fee Acct	JPMorgan Chase Bank, N.A.	92,532.46
IRP (Albany Central Office)	M&T Bank	No report received
International Registration International Registration	Wells Fargo Bank	198,090.48
IRP Exchange (Albany Central Office)	· · · · · · · · · · · · · · · · · · ·	,
Irp Internet Office - Dept. MV	M&T Bank	No report received
Kiosk		70.510.05
Kiosk Account Long Island/Staten Island DO	JPMorgan Chase Bank, N.A.	78,512.25
Long Island/Staten Island DO Long Island/Staten Island DO	Wells Fargo Bank	585,973.13
Long Island/Staten Island JP		,
Long Island/Staten Island (Mass/Med)	JPMorgan Chase Bank, N.A.	50,558.03
Nassau Region 1	Citibank	No report received
Div. of Vehicle Safety Niagara Frontier	Citibalik	No report received
County Fee Acct	JPMorgan Chase Bank, N.A.	74,642.84
Oneida County		
DMV Oneida County Fee Account	Bank of Utica	No report received
Oneida County Fee Account Petty Cash (Albany Central Office)	NBT Bank	No report received
Petty Cash	Bank of America, N.A.	21,878.12
Queens-Region 6		
Confidential - Queens	JPMorgan Chase Bank, N.A.	3,831.00
Rockland/Westchester DO Rockland/Westchester DO	Wells Fargo Bank	103,224.40
Search Exchange (Albany Central Office)	Wolld I digo ballik	100,221.10
MV Search	Key Bank	17,054.61
Search Exchange (Albany Central Office)		
MV Search Syracuse-Region 4	Wells Fargo Bank	68,568.39
Confidential - Syracuse	Key Bank	No report received
Thousand Island Seaway	····,···	
County Fee Acct	JPMorgan Chase Bank, N.A.	128,082.95
TLC/DOCCS	IDM CL D L NA	50.450.04
TLC/DOCCS Travel Advance (Albany Central Office)	JPMorgan Chase Bank, N.A.	50,158.21
Travel Advance	Bank of America, N.A.	1,000.00
TVB Acct	,	,
TVB Acct	Wells Fargo Bank	90,539.07
TVB Credit Card (Albany Central Office)	10M Cl D L NA	
TVB Credit Card Receipts Upstate DO	JPMorgan Chase Bank, N.A.	No report received
Upstate District Offices (ALB, SYD, SYS, UTD)	Wells Fargo Bank	192,801.52
Utica D.O.	-	,
Exchange Account	Bank of Utica	No report received
Revenue Utica	Bank of Utica	No report received
Yonkers-Region 2 Safety Sup Automotive FAC INSP	JPMorgan Chase Bank, N.A.	No report received
00 - OFFICE OF CHILDREN & FAMILY SERVICES	31 Forgan Chase Barry Na L	no report received
Brentwood Resid Center Cash Advance	JPMorgan Chase Bank, N.A.	No report received
Brentwood Residents' Account	JPMorgan Chase Bank, N.A.	No report received
Brookwood Cash Advance	Key Bank	No report received
Brookwood Resid Residential Cash Check Exchange	Key Bank Bank of America, N.A.	No report received No report received
CO Independent Living Acct	Bank of America, N.A.	No report received
Co. Training Employment Dev (Youth Stipend)	Bank of America, N.A.	No report received
Columbia Girls Secure Center-Advance Acct	Key Bank	No report received

	Columbia Girls Secure Center-Youth Savings	Key Bank	No report received
		•	
	Finger Lakes Res Ctr Residents Cash	Tompkins County Trust	No report received
	Fingerlakes Res Ctr Cash Advance	Tompkins County Trust	No report received
	Goshen Cash Advance	Bank of America, N.A.	No report received
	Goshen Residents Account	Bank of America, N.A.	No report received
	Harriet Tubman Advance Account	Key Bank	No report received
	Harriet Tubman Residents' Account	Key Bank	No report received
	Highland Res Ctr Petty Cash Account	Bank of America, N.A.	No report received
	Highland Res Ctr Residents Acct	Bank of America, N.A.	No report received
		·	
	Industry Advance Account	JPMorgan Chase Bank, N.A.	No report received
	Industry Res Account	JPMorgan Chase Bank, N.A.	No report received
	Industry School Dug-Out	JPMorgan Chase Bank, N.A.	No report received
	MacCormick Cash Advance	Tompkins County Trust	No report received
	MacCormick Residents' Account	Tompkins County Trust	No report received
	Medicaid Reimbursement Exchange	Bank of America, N.A.	No report received
	NYS OCFS Advance Acct (Travel & Misc P.C.)	Bank of America, N.A.	
	· · · · · · · · · · · · · · · · · · ·	•	No report received
	NYS OCFS Salary Advance Account	Bank of America, N.A.	No report received
	Queens-Long Island Aftercare	JPMorgan Chase Bank, N.A.	No report received
	Red Hook Res Ctr Resident Cash	Key Bank	No report received
	Red Hook Resid Ctr Cash Advance	Key Bank	No report received
	SCR Credit Card Revenue Account	Bank of America, N.A.	No report received
	State Central Register	Bank of America, N.A.	No report received
	Taberg Cash Advance	NBT Bank	No report received
	Taberg Residents Account	NBT Bank	No report received
27000 -	OFFICE OF TEMPORARY & DISABILITY ASSISTANCE		
	Exchange Account	Key Bank	No report received
	Title IV D Of Social Security	Key Bank	No report received
	·		•
	Travel Advance	Key Bank	No report received
28010 -	SUNY ALBANY		
	Fee Account	Key Bank	110,014.50
	Loan Services Center Account	Key Bank	130,855.06
	Petty Cash/Travel Advance	Key Bank	No report received
28020 -	SUNY BINGHAMTON	,	
20020		M&T Bank	220.466.12
	SUNY Binghamton		230,466.13
	SUNY Binghamton - Controlled Disb	M&T Bank	No report received
28030 -	SUNY BUFFALO		
	Controlled Disbursement Account	Bank of America, N.A.	No report received
	General Revenue Account	Bank of America, N.A.	No report received
	General Revenue Account	Key Bank	609,779.32
	Imprest Account	Key Bank	No report received
28050 -	SUNY STONY BROOK		
	Central Funding	JPMorgan Chase Bank, N.A.	1,467,366.87
	Controlled Disbursement	JPMorgan Chase Bank, N.A.	No report received
	Fees Depository	JPMorgan Chase Bank, N.A.	10,378,936.83
	LISVH Fees Depository	JPMorgan Chase Bank, N.A.	52,030.72
	LISVH Fees Depository	- "	99,707.02
	·	Sterling Bank	
	LISVH Residence Fund	Sterling Bank	430,657.63
	Payroll Advance	JPMorgan Chase Bank, N.A.	1,585.90
	SBU Student Refunds Cont Disb Acct	JPMorgan Chase Bank, N.A.	No report received
	Student ACH Refunds Account	JPMorgan Chase Bank, N.A.	No report received
	SUNY Eastern Long Island Hospital Depository	JPMorgan Chase Bank, N.A.	1,038,390.87
	SUNY Southampton Depository	JPMorgan Chase Bank, N.A.	472,311.93
	University Hosp Fees Depository	JPMorgan Chase Bank, N.A.	1,352,280.14
	University Hospital Petty Cash	JPMorgan Chase Bank, N.A.	2,018.81
28100 -	SUNY HEALTH SCIENCE CENTER AT BROOKLYN		
	Center Revenue	JPMorgan Chase Bank, N.A.	73,965.73
	EFT Federal Deposits Acct	JPMorgan Chase Bank, N.A.	No report received
	Hospital Controlled Disbursement	JPMorgan Chase Bank, N.A.	No report received
	Hospital Revenue	JPMorgan Chase Bank, N.A.	388,785.62
	LICH Controlled Disbursement	JPMorgan Chase Bank, N.A.	No report received
	LICH Depository	JPMorgan Chase Bank, N.A.	43,466.79
	Student Refunds	JPMorgan Chase Bank, N.A.	No report received
	Student Nerunds		
28110 -	SUNY HEALTH SCIENCE CENTER AT SYRACUSE		
28110 -	SUNY HEALTH SCIENCE CENTER AT SYRACUSE	Kev Bank	18.157.63
28110 -	SUNY HEALTH SCIENCE CENTER AT SYRACUSE College Revenue	Key Bank	18,157.63
28110 -	SUNY HEALTH SCIENCE CENTER AT SYRACUSE College Revenue Controlled Disbursement	Key Bank	No report received
28110 -	SUNY HEALTH SCIENCE CENTER AT SYRACUSE College Revenue Controlled Disbursement Hospital Revenue	Key Bank Key Bank	No report received 2,320,830.00
	SUNY HEALTH SCIENCE CENTER AT SYRACUSE College Revenue Controlled Disbursement Hospital Revenue Parking	Key Bank	No report received
	SUNY HEALTH SCIENCE CENTER AT SYRACUSE College Revenue Controlled Disbursement Hospital Revenue	Key Bank Key Bank	No report received 2,320,830.00
	SUNY HEALTH SCIENCE CENTER AT SYRACUSE College Revenue Controlled Disbursement Hospital Revenue Parking	Key Bank Key Bank	No report received 2,320,830.00
	SUNY HEALTH SCIENCE CENTER AT SYRACUSE College Revenue Controlled Disbursement Hospital Revenue Parking SUNY BROCKPORT Brockport-REOC Account	Key Bank Key Bank Key Bank Key Bank	No report received 2,320,830.00 314,017.24 3,494.34
	SUNY HEALTH SCIENCE CENTER AT SYRACUSE College Revenue Controlled Disbursement Hospital Revenue Parking SUNY BROCKPORT Brockport-REOC Account Concentration Acct	Key Bank Key Bank Key Bank Key Bank M&T Bank	No report received 2,320,830.00 314,017.24 3,494.34 98,825.22
	SUNY HEALTH SCIENCE CENTER AT SYRACUSE College Revenue Controlled Disbursement Hospital Revenue Parking SUNY BROCKPORT Brockport-RECC Account Concentration Acct Controlled Disb	Key Bank Key Bank Key Bank Key Bank M&T Bank M&T Bank	No report received 2,320,830.00 314,017.24 3,494.34 98,825.22 No report received
28150 -	SUNY HEALTH SCIENCE CENTER AT SYRACUSE College Revenue Controlled Disbursement Hospital Revenue Parking SUNY BROCKPORT Brockport-RECC Account Concentration Acct Controlled Disb Special Account	Key Bank Key Bank Key Bank Key Bank M&T Bank	No report received 2,320,830.00 314,017.24 3,494.34 98,825.22
28150 -	SUNY HEALTH SCIENCE CENTER AT SYRACUSE College Revenue Controlled Disbursement Hospital Revenue Parking SUNY BROCKPORT Brockport-RECC Account Concentration Acct Controlled Disb Special Account SUNY BUFFALO STATE COLLEGE	Key Bank Key Bank Key Bank Key Bank M&T Bank M&T Bank M&T Bank	No report received 2,320,830.00 314,017.24 3,494.34 98,825.22 No report received 1,000.00
28150 -	SUNY HEALTH SCIENCE CENTER AT SYRACUSE College Revenue Controlled Disbursement Hospital Revenue Parking SUNY BROCKPORT Brockport-RECC Account Concentration Acct Controlled Disb Special Account	Key Bank Key Bank Key Bank Key Bank M&T Bank M&T Bank	No report received 2,320,830.00 314,017.24 3,494.34 98,825.22 No report received
28150 -	SUNY HEALTH SCIENCE CENTER AT SYRACUSE College Revenue Controlled Disbursement Hospital Revenue Parking SUNY BROCKPORT Brockport-RECC Account Concentration Acct Controlled Disb Special Account SUNY BUFFALO STATE COLLEGE	Key Bank Key Bank Key Bank Key Bank M&T Bank M&T Bank M&T Bank	No report received 2,320,830.00 314,017.24 3,494.34 98,825.22 No report received 1,000.00

	Dept Public Safety	M&T Bank	No report received
	Special Grant Account	M&T Bank	3,423.14
	Students Acct Office	M&T Bank	163,732.58
28170 -	SUNY CORTLAND		
	General Checking Account	Key Bank	168,541.99
28180 -	SUNY FREDONIA		
	Controlled Disb	M&T Bank	No report received
	Depository Account	M&T Bank	119,066.35
28190 -	SUNY GENESEO		
	Controlled Disbursement Account	Key Bank	No report received
	State Fees	Key Bank	33,605.84
28200 -	SUNY OLD WESTBURY		
	Local Depository	JPMorgan Chase Bank, N.A.	174,127.35
28210 -	SUNY NEW PALTZ		
	Disbursement Account	Key Bank	No report received
	State Revenue	Key Bank	834,943.47
28220 -	SUNY ONEONTA		
	Petty Cash Advance Account	NBT Bank	No report received
	Revenue Account	NBT Bank	1,035,344.30
28230 -	SUNY OSWEGO		
	Controlled Disbursement	Key Bank	No report received
	General Revenue	Key Bank	579,589.71
	Imprest Account	Key Bank	No report received
28240 -	SUNY PLATTSBURGH		
	General Revenue	TD Bank	3,110,473.08
28250 -	SUNY POTSDAM	w = 1	=
	Control Disbursement Account	Key Bank	74,121.74
	State Fee Reconciliation Account	Key Bank	No report received
28260 -	SUNY PURCHASE	w B I	100 150 10
20270	General Income Fund	Key Bank	133,163.10
28270 -	SUNY INSTITUTE OF TECHNOLOGY UTICA/ROME	D 1 (A : NA	
	Advance Account	Bank of America, N.A.	No report received
	Controlled Disbursement Account	Bank of America, N.A.	No report received
	Revenue	Bank of America, N.A.	67,654.18
28280 -	SUNY EMPIRE STATE COLLEGE	w 5 l	==
	Concentration Account	Key Bank	55,690.26
	Distribution Center Account	Key Bank	123,936.72
	International Wire Account	Key Bank	10,948.00
20250	Zero Balance Controlled Disbursement Account	Key Bank	No report received
28350 -	SUNY COLLEGE OF TECHNOLOGY AT ALFRED	Community Book	4 400 500 40
20260	Fees Account	Community Bank	1,199,520.40
28360 -	SUNY COLLEGE OF TECHNOLOGY AT CANTON	NOT Pouls	No
	Community Cash Deposits	NBT Bank	No report received
	Income Fund	Key Bank	No report received No report received
20270	International Program Account SUNY AGRICULTURAL & TECHNICAL COLLEGE AT COBLESKILL	Key Bank	No report received
20370 -	Income Fund	Key Bank	99,995.99
26360 -	SUNY COLLEGE OF TECHNOLOGY AT DELHI	Key ballk	22,223.22
20300	General Revenue	Delaware National Bank	168,318.92
	Petty Cash Fund	Delaware National Bank	No report received
28300 -	SUNY COLLEGE OF TECHNOLOGY AT FARMINGDALE	Delaware National Bank	No report received
20050	Income Fund	Citibank	889,539.82
28400 -	SUNY AGRICULTURAL & TECHNICAL COLLEGE AT MORRISVILLE	Citibality	003,003.02
	Income Fund	Key Bank	1,022,999.39
	Revenue Account	NBT Bank	No report received
28550 -	SUNY COLLEGE OF ENVIRONMENTAL SCIENCE & FORESTRY		
	Agency Advance	Key Bank	No report received
	Controlled Disb	Key Bank	No report received
	ESF/GSA	Key Bank	No report received
	Forestry	Community Bank	180.78
	Regular Account	Key Bank	99,520.31
	Student Government	Key Bank	No report received
28570 -	SUNY MARITIME COLLEGE	,	
	Controlled Disbursement Account	JPMorgan Chase Bank, N.A.	No report received
	Cruise Account	JPMorgan Chase Bank, N.A.	119,169.00
	Revenue Deposit Account	JPMorgan Chase Bank, N.A.	83,824.42
	Revenue EFT Account	JPMorgan Chase Bank, N.A.	22,694.00
28580 -	SUNY COLLEGE OF OPTOMETRY	-	,
	General Revenue	JPMorgan Chase Bank, N.A.	239,735.37
	Medical Transportation	JPMorgan Chase Bank, N.A.	83.88
28650 -	SUNY CENTRAL SYSTEM ADMINISTRATION	-	
	ASC	Key Bank	10,000.00
	NYS Iso	Key Bank	4,700,000.00
	Revenue	Key Bank	641,419.02

37000 - DEPARTMENT OF FINANCIAL SERVICES		
Confidential Investigations	JPMorgan Chase Bank, N.A.	9,864.75
Confidential Investigations	JPMorgan Chase Bank, N.A.	11,202.85
Fire Tax Account (Main)	Key Bank	88,106.38
Fire Tax Payment	Key Bank	0.00
General Assessment Account	JPMorgan Chase Bank, N.A.	832,550.76
General Fund	Key Bank	197,981.48
Market Stabilization Pool Account	JPMorgan Chase Bank, N.A.	3,015,587.84
Miscellaneous Account Paid Family Leave	JPMorgan Chase Bank, N.A. JPMorgan Chase Bank, N.A.	12,853,364.96 0.00
Petty Cash	Key Bank	5,757.84
Workers Comp Insurance Sec Fund Pymnt	JPMorgan Chase Bank, N.A.	100,007.65
49010 - SARATOGA-CAPITAL DISTRICT STATE PARK COMMISSION	5	200,007.100
Revenue (SA)	Glens Falls National	54,885.15
SARATOGA REGION CONTRACTORS BID ACCOUNT	Key Bank	79,141.42
49020 - LONG ISLAND STATE PARK COMMISSION		
Contractors Bid (LI)	JPMorgan Chase Bank, N.A.	76,813.93
Regional Account 2 (LI)	JPMorgan Chase Bank, N.A.	254,111.87
Revenue (LI)	People's United Bank	53,183.60
49030 - GENESEE STATE PARK COMMISSION Contractors Bid (GE)	Bank of Castile	61,703.53
Revenue (GE)	Bank of Castile	331,121.35
49040 - NIAGARA FRONTIER STATE PARK COMMISSION	bally of Casale	551,121.55
Contractors Bid (NIA)	Key Bank	68.40
Revenue (NIA)	Evans National Bank	108,697.85
49050 - PALISADES INTERSTATE STATE PARK COMMISSION		
Contractors Bid (PA)	JPMorgan Chase Bank, N.A.	6,570.67
49070 - OFFICE OF PARKS & RECREATION		
Main Office - Change Fund	Key Bank	95,485.00
Main Office Account (ALB)	Key Bank	1,500.00
OPRHP Concentration Account	Key Bank	981,374.32
Petty Cash (ALB) Revenue (NI, GE, AL, CE, TA)	Key Bank M&T Bank	29,053.20 794,834.73
Revenue (NYC, CE, LI, PA, TA)	JPMorgan Chase Bank, N.A.	41,668.33
Revenue (SA, LI, GE, NI, CE, TA)	Bank of America, N.A.	6,289.81
Revenue (SA, NI, PA, CE, TA, TI)	Key Bank	5,564.18
Revenue (various)	Wells Fargo Bank	62,193.85
Statewide Campsite/Cabin Revenue	JPMorgan Chase Bank, N.A.	662,547.77
Statewide Credit Card Revenue, Revenue (ALB, FL, LI, PA, TI)	Key Bank	457,741.65
Statewide Housing Security Deposits	Key Bank	153,335.08
49090 - FINGER LAKES STATE PARK COMMISSION		
Revenue (FL)	Savannah Bank	42,781.18
Revenue (FL-Multi)	Community Bank	3,890.90
Revenue (FL-Multi-Facilities) 49100 - ALLEGANY STATE PARK COMMISSION	Tompkins County Trust	196,463.14
Contractors Bid (AL)	Five Star Bank	2,027.57
Regional Account (AL)	Five Star Bank	156,863.03
Revenue (AL)	Five Star Bank	240,927.19
49120 - CENTRAL NEW YORK STATE PARK COMMISSION		
Revenue (CE, SA, TI)	NBT Bank	53,649.78
49140 - THOUSAND ISLANDS STATE PARK COMMISSION		
Revenue (TI)	Citizens Bank	49,109.18
Revenue (TI-Multi) 50000 - OFFICE OF MENTAL HEALTH	Community Bank	787.35
Consolidated Advance	Bank of America, N.A.	76,048.03
Iterim Assistance Agreement	Bank of America, N.A.	0.00
OMH Medication Grant Program Acct	Bank of America, N.A.	No report received
Reimbursement Account	Bank of America, N.A.	5,952,458.49
50010 - GREATER BINGHAMTON HEALTH CENTER	· ·	
Agency Advance Account	JPMorgan Chase Bank, N.A.	5,121.29
Facility Holding Account	JPMorgan Chase Bank, N.A.	10,119.42
Patient Cash Funds	JPMorgan Chase Bank, N.A.	450,996.84
Patients Cash Account	JPMorgan Chase Bank, N.A.	507,400.49
Security Deposit	JPMorgan Chase Bank, N.A.	3,516.74
50020 - KINGSBORO PSYCHIATRIC CENTER	Panca Panular	9,739.33
Advance Account Family Care	Banco Popular Banco Popular	14,290.87
Holding Account	Banco Popular	269,643.75
Medicaid Outpatient Travel	Banco Popular	1,319.35
Patient Cash Acct (MM)	Banco Popular	444,799.06
Patient Checking Account	Citibank	19,267.75
Patient Savings Account	Banco Popular	335,140.91
Security Deposit	Banco Popular	2,489.51
Urban Oasis/EBT	Banco Popular	60,372.32

50030 -	BUFFALO PSYCHIATRIC CENTER		
	Advance Account Facility Holding	Key Bank Key Bank	No report received No report received
	Patient Cash Checking	Key Bank	No report received
50080 -	MANHATTAN PSYCHIATRIC CENTER	Noy bank	no report received
	Advance Account	Sterling Bank	4,069.40
	CD	Hudson Valley National Bank	425,038.94
	General Fund Checking	Hudson Valley National Bank	4,696.06
	Patient Cash Checking	Hudson Valley National Bank	1,520,594.23
	Patients Money Market	Hudson Valley National Bank	72,926.77
E0110 -	Social Service Tokens ROCHESTER PSYCHIATRIC CENTER	Hudson Valley National Bank	33,974.08
30110 -	Agency Advance	Key Bank	21,279.35
	Facility Holding	Key Bank	5,924.55
	Patients Cash Account	Key Bank	255,814.01
	Patients Fund Savings	Key Bank	248,278.10
50120 -	ST LAWRENCE PSYCHIATRIC CENTER		
	Facility Advance Account	Community Bank	18,362.84
	Facility Holding Account	Community Bank	20,137.20
	Patients Cash Account	Community Bank	79,659.60
50150 -	Patients Cash Savings CREEDMOOR PSYCHIATRIC CENTER	Community Bank	242,154.43
30130	Advance Account	HSBC	45,950.02
	Certificate Of Deposit	HSBC	250,000.00
	Certificate Of Deposit	HSBC	150,000.00
	Holding Account	HSBC	16,879.51
	Medicaid Travel Account	HSBC	0.00
	Money Management Account	HSBC	803,592.56
	Patient Cash Account	HSBC	55,780.83
50170 -	Rent Holding Account ROCKLAND PSYCHIATRIC CENTER	HSBC	1,063.00
30170 -	Exchange	JPMorgan Chase Bank, N.A.	No report received
	Holding Account	JPMorgan Chase Bank, N.A.	No report received
	Investment CD A	Sterling Bank	No report received
	Investment CD B	Sterling Bank	No report received
	Investment CD B	Sterling Bank	No report received
	Investment CD C	Sterling Bank	No report received
	Investment CD D	Sterling Bank	No report received
	Investment CD G	Sterling Bank	No report received
	Investment CD I	Sterling Bank	No report received
	Patient Cash Account Patient Cash Checking Account	JPMorgan Chase Bank, N.A. JPMorgan Chase Bank, N.A.	No report received No report received
	Patient Cash Savings Account	JPMorgan Chase Bank, N.A.	No report received
50180 -	NYS PSYCHIATRIC INSTITUTE	51 Horgan Ghabo Barny Hara	no report received
	Donation & Gift Acct/Patient Fund Acct	JPMorgan Chase Bank, N.A.	3,914.21
	General	JPMorgan Chase Bank, N.A.	72,968.76
	Petty Cash	JPMorgan Chase Bank, N.A.	544.50
50190 -	RICHARD H HUTCHINGS PSYCHIATRIC CENTER		
	Advance Account	Key Bank	No report received
	Clients Count Holding Account	Key Bank Key Bank	No report received No report received
50200 -	PILGRIM PSYCHIATRIC CENTER	Key Dalik	No report received
50200	Facility Advance Account	JPMorgan Chase Bank, N.A.	52,029,44
	Facility Holding Acct	JPMorgan Chase Bank, N.A.	135,011.04
	Patient Cash	JPMorgan Chase Bank, N.A.	983,788.77
50210 -	MOHAWK VALLEY PSYCHIATRIC CENTER		
	Advance Fund	Key Bank	14,867.54
	Facility Holding	Key Bank	1,096.87
	Patient Cash Checking	Key Bank Key Bank	53,187.48
	Patient Cash Savings Security Deposit	Key Bank	28,933.70 7,773.86
50310 -	BRONX PSYCHIATRIC CENTER	icy bank	7,775.00
	CD	JPMorgan Chase Bank, N.A.	250,000.00
	Consolidated Advance	JPMorgan Chase Bank, N.A.	19,470.14
	Misc. Receipts	JPMorgan Chase Bank, N.A.	27,441.73
	Patients Cash MM	JPMorgan Chase Bank, N.A.	88,274.85
	Patients Checking Acct	JPMorgan Chase Bank, N.A.	394,923.85
50340 -	NATHAN KLINE INSTITUTE		
EUSEO	Petty Cash Account	JPMorgan Chase Bank, N.A.	No report received
50350 -	KIRBY FORENSIC PSYCHIATRIC CENTER Consolidated Advance Account	Hudson Valley National Bank	2,757.95
	Holding Account	Hudson Valley National Bank	27,993.82
	Patient Cash Account	Hudson Valley National Bank	149,437.59
50390 -	CENTRAL NY PSYCHIATRIC CENTER	•	,

	Agency Advance Account	Key Bank	9,424.90
	General Fund	Key Bank	0.02
	Patient Checking	Key Bank	51,717.24
	Patient Savings	Key Bank	336,537.77
	Security Deposit	Key Bank	2,743.45
50440 -	MID-HUDSON FORENSIC PSYCHIATRIC CENTER	·,···	-,
30440	Facility Advance Account	Kou Pank	11,089.12
	·	Key Bank	
	Facility Holding Account	Key Bank	1,856.46
	Patients Account	Key Bank	221,596.74
50790 -	SOUTH BEACH PSYCHIATRIC CENTER		
	Agency Advance Checking	JPMorgan Chase Bank, N.A.	No report received
	Facility Holding Checking	JPMorgan Chase Bank, N.A.	No report received
	Patients Cash Checking	JPMorgan Chase Bank, N.A.	No report received
50900 -	BRONX CHILDRENS PSYCHIATRIC CENTER	or riorgan oriano barriy riva	
30000 -		IDM Chara Barda N. A	10.010.55
	General Fund	JPMorgan Chase Bank, N.A.	10,919.55
50810 -	WESTERN NY CHILDRENS PSYCHIATRIC CENTER		
	Agency Advance	Key Bank	No report received
	Exchange Account	Key Bank	No report received
	Patient Cash	Key Bank	No report received
50850 -	SAGAMORE CHILDRENS PSYCHIATRIC CENTER		
	Sagamore Patient Personal Acct	JPMorgan Chase Bank, N.A.	22,850.95
	Sagamore Petty Cash Account	JPMorgan Chase Bank, N.A.	5,530.00
E0060		JEHOIGAII CHASE BAIR, N.A.	3,330.00
50860 -	ROCKLAND CHILDRENS PSYCHIATRIC CENTER		
	Advance Account	JPMorgan Chase Bank, N.A.	No report received
50870 -	QUEENS CHILDRENS PSYCHIATRIC CENTER		
	Queens Adv Acct	HSBC	No report received
	Queens Childrens Account	HSBC	No report received
50920 -	ELMIRA PSYCHIATRIC CENTER		·
	Certificate of Deposit	Chemung Canal Trust	No report received
	Certificate of Deposit	Chemung Canal Trust	No report received
		_	
	Facility Advance Account	Chemung Canal Trust	No report received
	General Fund Account	Chemung Canal Trust	No report received
	IMMA	Chemung Canal Trust	No report received
	Patients Fund Account	Chemung Canal Trust	No report received
50980 -	CAPITAL DISTRICT PSYCHIATRIC CENTER		
	Facility Advance Account	Key Bank	No report received
	Facility Holding Account	Key Bank	No report received
	Parking Garage Account	Key Bank	No report received
	Patients Cash Checking Account	Key Bank	No report received
	Patients Cash Savings Account	Key Bank	No report received
51000 -	NYS OFFICE FOR PEOPLE WITH DEVELOPMENTAL DISABILITIES		
	Petty Cash Acct	Bank of America, N.A.	No report received
	Revenue Account	Bank of America, N.A.	No report received
51210 -	HUDSON VALLEY DDSO		
	EBT Checking	JPMorgan Chase Bank, N.A.	No report received
	Exchange Account	JPMorgan Chase Bank, N.A.	No report received
			•
	General Fund	JPMorgan Chase Bank, N.A.	No report received
	Investor's Choice Savings	JPMorgan Chase Bank, N.A.	No report received
	Petty Cash Petty Cash	JPMorgan Chase Bank, N.A.	No report received
	PTS Cash Checking	JPMorgan Chase Bank, N.A.	No report received
51240 -	CENTRAL NY DDSO		
	Advance Accounts	Key Bank	34,563.61
	Clients Account	Key Bank	388,093.83
	Consumer Cash - Savings	Adirondack Bank	2,324,000.13
	Consumer Cash - Savings	Key Bank	3,418,648.19
F40F0		key balik	3,410,040.19
51250 -	TACONIC DDSO	MOT D. I	
	Agency Advance Account	M&T Bank	52,563.61
	General Fund	M&T Bank	30,318.39
	Patient Fund CD	JPMorgan Chase Bank, N.A.	1,500,000.00
	Patients Cash Checking	M&T Bank	403,157.42
500	Balltown Rd Schenectady, NY		
	TDDSO Representative Payee Savings Acct	The Adirondack Trust Company	2,139,069.67
51270 -	STATEN ISLAND DDSO	The Hall official trade company	2,103,003.0,
312/0		IDMorgan Chasa Bank N A	No report received
	Clients Cash Account	JPMorgan Chase Bank, N.A.	
	General Fund Account	JPMorgan Chase Bank, N.A.	No report received
	Money Market Account	JPMorgan Chase Bank, N.A.	No report received
	Petty Cash Account	JPMorgan Chase Bank, N.A.	No report received
51290 -	CAPITAL DISTRICT DDSO		
	Agency Advance Account	Key Bank	No report received
	Agency Advance Account	The Adirondack Trust Company	No report received
	Consumer EBT Fund / Fiduciary Account	Key Bank	No report received
		•	
	Consumers Fund / Fiduciary Account	Key Bank	No report received
	Patients Account Direct Deposit / Fiduciary Acct	The Adirondack Trust Company	No report received
	Patients Fund Operating Acct / Fiduciary Acct	The Adirondack Trust Company	No report received

	Summer Camp - Fiduciary Acct	The Adirondack Trust Company	No report received
51330 -	WESTERN NY DDSO	The Adirondack Trust Company	No report received
31330 -	Agency Advance Account	M&T Bank	78,213.80
	CD-Patient Property Funds	Key Bank	3,386,299.88
	Exchange Account	M&T Bank	27,156.31
	General Account	Community Bank	51,050.65
	Patient Property Funds	Adirondack Bank	1,427,177.24
	Patient Property Funds	M&T Bank	832,774.84
51350 -	LONG ISLAND DDSO		332,77 113 1
	Consolidated Advance	JPMorgan Chase Bank, N.A.	No report received
	EBT Checking Account	JPMorgan Chase Bank, N.A.	No report received
	General Fund	JPMorgan Chase Bank, N.A.	No report received
	Patient Cash	JPMorgan Chase Bank, N.A.	No report received
51380 -	BROOKLYN DDSO	,	
	CD	Banco Popular	No report received
	Consumers Fund	JPMorgan Chase Bank, N.A.	295,388.98
	Consumers Money Management	JPMorgan Chase Bank, N.A.	687,362.56
	Miscellaneous Receipts	JPMorgan Chase Bank, N.A.	167,876.21
	Thomas Shirtz Community Service	JPMorgan Chase Bank, N.A.	8,647.40
51420 -	SUNMOUNT DDSO	•	,
	Certificate of Deposit	Community Bank	No report received
	Residents Fund	Community Bank	No report received
	Sunmount Advance Account	Community Bank	No report received
51430 -	INSTITUTE FOR BASIC RESEARCH IN DEVELOPMENTAL DISABILITIES		
	Petty Cash	JPMorgan Chase Bank, N.A.	No report received
51450 -	METRO NY DDSO		
	Consolidated Acct	JPMorgan Chase Bank, N.A.	41,025.47
	Manhattan DDSO Client Cash	JPMorgan Chase Bank, N.A.	26,944.95
	Metro NY DDSOO REP PAYEE ACCOUNT	JPMorgan Chase Bank, N.A.	425,002.41
	Patients Cash Account	JPMorgan Chase Bank, N.A.	21,341.32
	Patients Money Market Account	JPMorgan Chase Bank, N.A.	0.10
51470 -	BERNARD M FINESON DDSO		
	Money Market Account	JPMorgan Chase Bank, N.A.	307,132.33
	Patient Fund Account	JPMorgan Chase Bank, N.A.	685,221.93
	Petty Cash Fund	JPMorgan Chase Bank, N.A.	10,588.54
51780 -	FINGER LAKES DDSO		
	Advance Account	JPMorgan Chase Bank, N.A.	No report received
	F.L. Newark Resident Checking	Community Bank	No report received
	Finger Lakes Resident Checking	JPMorgan Chase Bank, N.A.	No report received
	FL Vending Machine Account	Community Bank	No report received
	General Account	JPMorgan Chase Bank, N.A.	No report received
	Geneseo Client Cash	Bank of America, N.A.	No report received
	Kelsey Trust Fund	Bank of America, N.A.	No report received
	Mary Moore Trust Fund	Bank of America, N.A.	No report received
	Monroe Resident Checking	JPMorgan Chase Bank, N.A.	No report received
	Monroe Resident Savings	JPMorgan Chase Bank, N.A.	No report received
	Patient Food Stamp Account	JPMorgan Chase Bank, N.A.	No report received
51940 -	BROOME DDSO		
	Broome DDSO	M&T Bank	No report received
	Broome DDSO - Advance Account	M&T Bank	No report received
	Broome DDSO - General Fund	M&T Bank	No report received
	Disabled Individuals Savings	Key Bank	No report received
	Disabled Individuals Savings	M&T Bank	No report received
E2000	OMRDD Broome DDSO EBT Checking	M&T Bank	No report received
53000 -	OFFICE OF ALCOHOLISM & SUBSTANCE ABUSE SERVICES	Kou Pank	2 024 27
	Agency Advance Acct Creedmoor PNA Account	Key Bank JPMorgan Chase Bank, N.A.	2,834.37 0.00
	Patient Fees	Bank of America, N.A.	344,525.75
	Revenue Account	Bank of America, N.A.	5,330.06
E2020 -	KINGSBORO ALCOHOLISM TREATMENT CENTER	Dalik Of Afficiata, N.A.	3,330.00
33020 -	Petty Cash Advance Account	Bank of America, N.A.	1,033.74
70000 -	CUNY UNIVERSITY MANAGEMENT & PROGRAM BOARD OF HIGHER EDUCATION	bank of America, N.A.	1,055.74
70000	CUNY Admin Imprest Cash Account	Citibank	11,016.14
70030 -	CUNY HUNTER COLLEGE	Cicibanic	11,010.11
,0050	Travel Petty Cash Account	Citibank	49,274.05
70060 -	CUNY JOHN JAY COLLEGE	Cicibanik	15,27 1.05
, 5000	CUNY JOHN JAY COLLEGE IMPREST FUND	Citibank	16,250.00
70070 -	CUNY LEHMAN COLLEGE	Cicibanik	10,230.00
	Lehman College	Citibank	4,766.52
70080 -	CUNY YORK COLLEGE		.,,
	York College Imprest Funds	Citibank	11,288.64
	York College Travel Advance Fund	Citibank	817.00
70100 -	CUNY COLLEGE OF STATEN ISLAND		
	CSI Imprest Cash	TD Bank	4,917.10
			, -

70120 - CUNY NYC COLLEGE OF TECHNOLOGY

NY City College Of Technology Technical College Imprest Fund

70150 - CUNY SCHOOL OF LAW

CUNY School Of Law

Popular Community Bank

5,000.00

TD Bank

29,485.23

The above balances represent funds deposited in various banking institutions as reported by the State department and agencies, and published in accordance with Section 107 of the State Finance Law.

Division of the Treasury, Department of Taxation and Finance Christopher Curtis Deputy Commissioner and State Treasurer

FUNDS OF THE DIVISION OF THE TREASURY OF WHICH THE COMMISSIONER OF TAXATION AND FINANCE IS THE SOLE CUSTODIAN WITH BALANCES AS OF 11/30/2021

ACCOUNT I	DESCRIPTION	DEPOSITORY	BALANCE AS OI 11/30/2021
COMMUNIT	TY COLLEGE TUITION AND INSTRUCTIONAL INCOME FUND		
0232	Upstate Community Colleges, Series 2005B	Key Bank	5,448,438.2
DEPARTME	ENT OF FINANCIAL SERVICES		
0001	Property/Casualty Insurance Security Fund	Key Bank	149,838.4
0002	Public Motor Vehicle Security Fund	JPMorgan Chase Bank, N.A.	673,411.2
0003	Workers' Compensation Security Fund	JPMorgan Chase Bank, N.A.	524,678.2
DORMITOR	RY AUTHORITY OF THE STATE OF NEW YORK		
0039	Mental Hygiene Facilities Improvement Fund Income Account	Bank of America, N.A.	0.0
0104	Lincoln Medical and Mental Health Center Project Construction Account	Key Bank	3,338.0
0105	Greenpoint Medical and Mental Health Center Project Construction Account	Key Bank	305,845.1
0149	State Advances Repayment Account	Bank of America, N.A.	(1,761.84
HOMELESS	HOUSING ASSISTANCE CORPORATION		
0320	Social Services Homeless Housing and Assistance Corporation Operating Account	Key Bank	13,591.1
0315	ROCKEFELLER EMPIRE STATE PLAZA PERFORMING ARTS CENTER CORPORATION	Vov. Pank	28,771.3
0313	The Egg	Key Bank	20,//1.3
NEW YORK	CONVENTION CENTER		
0300	Operating Fund	JPMorgan Chase Bank, N.A.	29,421,375.1
NEW YORK	(JOB DEVELOPMENT AUTHORITY		
0036	Special Purpose Fund	Bank of America, N.A.	882,306.7
0371	Series H Commercial Paper	Bank of America, N.A.	432,087.3
0389	Daily Demand Special Purpose Bonds Series 1992A-B	JPMorgan Chase Bank, N.A.	340,370.8
0423	Escrow Account for USA Industries Inc.	Key Bank	37,274.6
0424	Escrow Account for Pluritec USA Inc.	Key Bank	19,782.3
0426	Agriculture Loan Program	Key Bank	401,629.1
NYS AFFOR	RDABLE HOUSING CORPORATION		
0491	Disbursement Account	JPMorgan Chase Bank, N.A.	135,436.8
0520	Development Account	Key Bank	232,085.8
0522	Repayment Account	Key Bank	370,913.0
0523	Recapture Account	Key Bank	17,872.4
0880	Payroll Account	JPMorgan Chase Bank, N.A.	0.0
NYS DEPAR	RTMENT OF HEALTH		
0004	Medical Indemnity Fund	JPMorgan Chase Bank, N.A.	(1,086,534.49
NVS DEDA	RTMENT OF TAXATION AND FINANCE		
0510	Excelsior Linked Deposit Fund	Key Bank	0.0
0600	World Trade Center Memorial Foundation Fund Account	Bank of America, N.A.	147,318.5
0625	Advance Acct/Imprest Confidential Fund	Bank of America, N.A.	29,021.1
0626	Criminal Investigation Division	Key Bank	233,658.9
0778	PIT/STAR Rebate Exchange Account	JPMorgan Chase Bank, N.A.	0.0
0800	NYS IRS PIT offset account	Key Bank	5,842,925.4
0827	Stock Transfer Incentive Fund	Key Bank	1,240,688.5
0847	Pari-Mutuel Revenue Transfer Account	Key Bank	0.0
0848	Off-Track Bet Tax Revenue Transfer Account	Key Bank	0.0
NYS HOUS	ING FINANCE AGENCY		
0252	Energy Conservation/Tenant Health & Safety Improvement Account	JPMorgan Chase Bank, N.A.	206,627.7
0254	Agency Assisted Housing Operation Fund	JPMorgan Chase Bank, N.A.	2,849,606.2
0267	Neighborhood Stabilization Program - Round 1	JPMorgan Chase Bank, N.A.	87,646.1
0274	NYSHFA Special Reserve Fund	JPMorgan Chase Bank, N.A.	637,829.1
0283 0285	Small Owner's Assistance Program Account Public Purpose Account	Key Bank Key Bank	75,887.9 (35,888,172.70
0286	Disbursement Account	Key Bank	26,442,765.4
0287	Infrastructure Development Fund	Key Bank	197,817.5
0288	Mobile Home Cooperative Fund	Key Bank	24,033.8
0292	Homeless Housing Initiatives	Key Bank	200,389.0
0294	Housing Plan Fund	Key Bank	30,749,379.1
0301	HFA - Subsidy Repayment Account	Key Bank	4,247,482.4
0305	HPD Disbursement Fund	Key Bank	1,227,149.5
0879	Payroll Account	JPMorgan Chase Bank, N.A.	101,211.6
NYS HOUS	ING TRUST FUND CORPORATION		
0458	Section 8 Housing Assistance Payment Account	JPMorgan Chase Bank, N.A.	1,143,095.6
0460	Escrow Account	M&T Bank	1,125,999.4
	Housing Modernization Account	M&T Bank	770,401.9

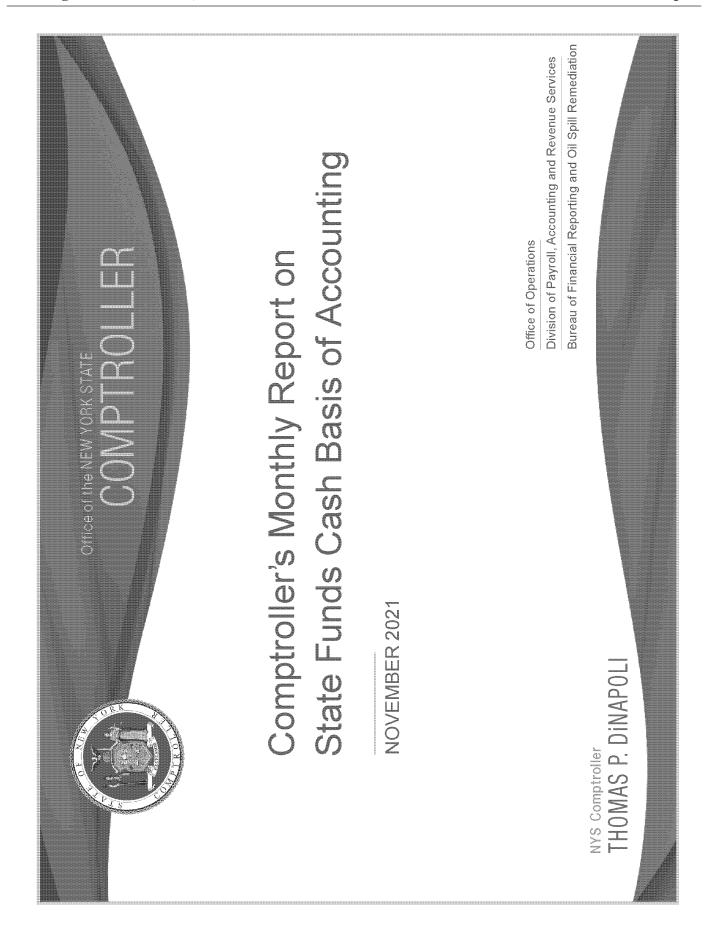
Financial Reports

0462	General Custodial Account	M&T Bank	68,375,030.86
		M&T Bank	
0465 0466	Home Program Account Homes for Working Families Account	M&T Bank	10,345,035.21
			8,905,367.34
0467	Section 8 Administrative Account	M&T Bank	9,087,527.85
0469	OCR Community Miscellaneous Programs Account	M&T Bank	8,131,144.83
0470	HCV Main Account	Bank of America, N.A.	71,611,604.57
0471	Empire State Relief Fund	M&T Bank	472,816.46
0472	Master Escrow Account	Bank of America, N.A.	8,281.02
0473	Family Self-Sufficiency Account	Bank of America, N.A.	4,222,868.15
0475	Reserve Account	Bank of America, N.A.	107,444.90
0480	OHP Miscellaneous Programs Account	Bank of America, N.A.	9,924,437.75
0891	Small Cities Community Development Block Grant Program	M&T Bank	8,365.10
0892	Disaster Recovery Initiative Account	M&T Bank	326,858.18
0893	Payroll Account for Small Cities CDBG Program	M&T Bank	158.69
0895	HTFC Storm Recovery Payment	M&T Bank	2,385,893.08
0899	HTFC Storm Recovery Lockbox	US Bank	40,968.71
NYS TEAC	CHERS RETIREMENT SYSTEM		
0052	Master Funding Account	State Street Bank & Trust Co.	0.00
0052	Main Account	JPMorgan Chase Bank, N.A.	5,211,178.56
0853	Excess Benefit Fund	JPMorgan Chase Bank, N.A.	2,794,095.26
STATE IN	SURANCE FUND		
0053	State Insurance Fund	Bank of America, N.A.	63,678,179.45
0054	State Insurance Fund	Bank of America, N.A.	23,543,766.51
0055	State Insurance Fund	Bank of America, N.A.	15,125,061.46
0861	Disability Benefits Fund Tax Escrow Account	Bank of America, N.A.	28,184.64
STATE UN	IIVERSITY CONSTRUCTION FUND		
0034	Income Fund	Key Bank	1,120,895.42
0075	Educational Facilities Revenue Bonds Debt Service Account	Key Bank	31,806.05
0870	Deductions Account	Key Bank	164,936.02

The above balances represent funds deposited in various banking institutions per the records of the Department of Taxation and Finance, Division of Treasury, and published in accordance with Section 107 of the State Finance Law.

Division of the Treasury, Department of Taxation and Finance

Christopher Curtis Deputy Commissioner and State Treasurer





OFFICE OF OPERATIONS STATE OF NEW YORK

THOMAS P. DINAPOLI STATE COMPTROLLER

DIVISION OF PAYROLL, ACCOUNTING AND REVENUE SERVICES BUREAU OF FINANCIAL REPORTING AND OIL SPILL REMEDIATION

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November 30, 2021

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EXHIBIT A

STATE OF NEW YORK GOVERNMENTAL FUNDS COMBINED STATEMENT OF CASH RECEIPTS, DISBURSEMENTS AND CHANGES IN FUND BALANCES (amounts in millions)

	GEN	GENERAL	SPECIAL REVENUE	REVENUE	DEBT S	DEBT SERVICE	CAPITAL PROJECTS	ROJECTS		TOTAL GOVERNMENTAL FUNDS	TAL FUNDS		YEAR OVER YEAR	ıR
	MONTH OF	8 MOS. ENDED	MONTH OF	8 MOS. ENDED	MONTH OF	8 MOS. ENDED	MONTH OF	8 MOS. ENDED	MONTH OF	8 MOS. ENDED	MONTH OF	8 MOS. ENDED	\$ Increase/	% Increase/
RECEIPTS:				50		60		100		60			(2000)	
Personal Income Tax (4)	\$ 1,789.8	\$ 21,374.4	\$ 0.8	\$ 2.0	\$ 1,790.5	\$ 21,376.3	· •	s	\$ 3,581.1	\$ 42,752.7	\$ 2,574.8	\$ 31,965.4	\$ 10,787.3	33.7%
Consumption/Use Taxes	370.4	3,094.1	152.9	1,335.3	0.696	8,031.9	36.4	406.3	1,528.7	12,867.6	1,327.7	10,355.4	2,512.2	24.3%
Business Taxes	(42.2)	4,452.4	71.0	1,327.0	٠	•	51.5	400.8	80.3	6,180.2	181.9	4,445.4	1,734.8	39.0%
Other Taxes	94.6	946.7			119.5	980.4	11.9	71.5	226.0	1,998.6	223.3	1,381.4	617.2	44.7%
Miscellaneous Receipts	311.3	1,395.2	1,552.3	12,162.1	66.4	308.9	94.5	2,431.0	2,024.5	16,297.2	1,699.2	21,139.6	(4.842.4)	-22.9%
Federal Receipts			5,695.5	64,447.7	٠	30.2	314.2	1,035.0	6,009.7	65,512.9	4,274.8	54,624.8	10,888.1	19.9%
Total Receipts	2,523.9	31,262.8	7,472.5	79,274.1	2,945.4	30,727.7	508.5	4,344.6	13,450.3	145,609.2	10,281.7	123,912.0	21,697.2	17.5%
O COLORES														
Local Accidence Grante:														
Force Designation Grants.	1 664 4	14 245 9	518.2	6 999 2		٠	80	131.8	2 181 5	21 376 9	1 804 3	18.428.9	2 948 N	16.0%
Exception and Repression		5.0	4.0	3.000.0	į	•	0.5	0.151	1 5	1510	10.0	0.03	62.0	69.7%
General Government	200	663.7	4.75	639.2			35.8	289.2	07.3	15716	159.0	5 204 9	(3,633.3)	% 69°-
Dublic Health:	O.	7.000	2	7.000			9	7.00.7	9	2	200	0,504.9	(0.000,0)	200
Madicald	1 551 8	13 117 6	4 317 1	34 114 8	,		•		5 868 9	47 232 4	4 812 6	43 346 1	3 886 3	8 U 8
Other Dublic Health	145.1	1,460.3	617.4	5,632.5	,		677	377.0	819.7	7 474 7	7743	8 840 B	954.9	12.6%
Dublic Safett		170.0	1 200	1 141 2			2.20	9. 4	107.0	1,378.7	0 9	1,501.1	(174.4)	11.8%
Fublic Salety	- 6	6.621.0	- ag.	7.141.7			2.0	B 1	0.701	1,320.7	0.00	1.00.1	(+:+/1)	0/0/11-
Public Welfare	110.7	3,657.3	9/0.0	4,855.7			20.7	3/4.1	701.4	8,887.1	394.6	4,394.2	4,492.9	102.2%
Support and Regulate Business	212.6	707.1	3.0	34.3			24.9	464.6	240.5	1,206.0	54.2	351.0	855.0	243.6%
Transportation	25.1	97.5	518.7	2,701.9		•	213.1	1,726.4	756.9	4,525.8	956.3	3,908.0	617.8	15.8%
Total Local Assistance Grants	3,739.1	34,094.1	6,675.4	56,121.6			371.2	3,536.5	10,785.7	93,752.2	9,022.1	83,843.0	9,909.2	11.8%
Departmental Operations:														
Personal Service	872.9	5,710.3	559.4	4,265.4					1,432.3	9,975.7	1,090.2	6'880'8	84.8	%6:0
Non-Personal Service	208.8	1,599.2	479.5	3,629.5	2.2	3.1	•	1	690.5	5,231.8	492.9	4,558.7	673.1	14.8%
General State Charges	644.7	6,088.6	185.1	1,136.5			i		829.8	7,225.1	500.1	6,065.5	1,159.6	19.1%
Debt Service, Including Payments on														
Financing Agreements	•			42.3	26.0	1,233.4	,		26.0	1,275.7	30.4	1,349.2	(73.5)	-5.4%
Capital Projects (1)						•	744.7	4,853.8	744.7	4,853.8	8:609	4,756.8	0.76	2.0%
Total Disbursements	5,465.5	47,492.2	7,899.4	65,195.3	28.2	1,236.5	1,115.9	8,390.3	14,509.0	122,314.3	11,745.5	110,464.1	11,850.2	10.7%
Evese (Deficiency) of Receipte														
over Disbursements	(2,941.6)	(16,229.4)	(426.9)	14,078.8	2,917.2	29,491.2	(607.4)	(4,045.7)	(1,058.7)	23,294.9	(1,463.8)	13,447.9	9,847.0	73.2%
OTHER FINANCING SOURCES (USES):														
Bond and Note Proceeds (net)	•	٠		٠	٠	•	•		•	•		•	•	0.0%
Transfers from Other Funds (2)	2,779.5	30,416.1	176.9	2,061.1	60.5	1,025.4	608.3	3,912.4	3,625.2	37,415.0	2,244.8	22,294.3	15,120.7	67.8%
Transfers to Other Funds (2)	(771.9)	(6,162.7)	(54.4)	(961.0)	(2,791.1)	(30,080.4)	(9.7)	(289.5)	(3,627.1)	(37,493.6)	(2,247.8)	(22,502.0)	14,991.6	66.6%
Total Other Financing Sources (Uses)	2,007.6	24,253.4	122.5	1,100.1	(2,730.6)	(29,055.0)	598.6	3,622.9	(1.9)	(78.6)	(3.0)	(207.7)	129.1	62.2%
Excess (Deficiency) of Receipts														
and Other Financing Sources over Disbursements and Other Financing Uses	(934.0)	8,024.0	(304.4)	15,178.9	186.6	436.2	(8.8)	(422.8)	(1,060.6)	23,216.3	(1,466.8)	13,240.2	9,976.1	75.3%
Beginning Fund Balances (Deficits) (3)	18,118.8	9,160.8	26,152.6	10,669.3	314.6	65.0	(1,558.0)	(1,144.0)	43,028.0	18,751.1	28,991.8	14,284.8	4,466.3	31.3%
Ending Fund Balances (Deficits)	\$ 17,184.8	\$ 17,184.8	\$ 25,848.2	\$ 25,848.2	\$ 501.2	\$ 501.2	\$ (1,566.8)	\$ (1,566.8)	\$ 41,967.4	\$ 41,967.4	\$ 27,525.0	\$ 27,525.0	\$ 14,442.4	52.5%

EXHIBIT A SUPPLEMENTAL

STATE OF NEW YORK GOVERNMENTAL FUNDS-STATE OPERATING (*) COMBINED STATEMENT OF CASH RECEIPTS, DISBURSEMENTS AND CHANGES IN FUND BALANCES (amounts in millions)

		ᄬ	RAL	STATE SPECIAL REVENUE (**)	- REVENUE (**)	S	DEBT SERVICE		TOTAL STATE	TOTAL STATE OPERATING FUNDS			
		MONTH OF NOV. 2021	8 MOS. ENDED NOV. 30, 2021	MONTH OF NOV. 2021	8 MOS. ENDED NOV. 30, 2021	MONTH OF NOV, 2021	8 MOS. ENDED NOV. 30, 2021	MONTH OF NOV. 2021	8 MOS. ENDED NOV. 30, 2021	MONTH OF NOV. 2020	8 MOS. ENDED NOV. 30, 2020	\$ Increase/ (Decrease)	% Increase/ Decrease
RECEIPTS:													
Personal Income Tax	(4)	\$ 1,789.8	\$ 21,374.4	\$ 0.8	\$ 2.0	\$ 1,790.5	\$ 21,376.3	\$ 3,581.1	\$ 42,752.7		\$ 31,965.4	\$ 10,787.3	33.7%
Consumption/Use Taxes		370.4	3,094.1	152.9	1,335.3	0.696	8,031.9	1,492.3	12,461.3	1,285.2	10,013.7	2,447.6	24.4%
Business Taxes		(42.2)	4,452.4	71.0	1,327.0	•		28.8	5,779.4	135.6	4,082.6	1,696.8	41.6%
Other Taxes		94.6	946.7	1	ı	119.5	980.4	214.1	1,927.1	211.4	1,309.9	617.2	47.1%
Miscellaneous Receipts		311.3	1,395.2	1,536.1	12,027.4	66.4	308.9	1,913.8	13,731.5	1,609.7	17,296.2	(3,564.7)	-20.6%
Federal Receipts		•			34.5		30.2	•	64.7	(33.5)	31.8	32.9	103.5%
Total Receipts		2,523.9	31,262.8	1,760.8	14,726.2	2,945.4	30,727.7	7,230.1	76,716.7	5,783.2	64,699.6	12,017.1	18.6%
DISBURSEMENTS:													
Local Assistance Grants:													
Education		1,664.4	14,245.9	114.4	2,912.1			1,778.8	17,158.0	1,559.5	16,269.8	888.2	2.5%
Environment and Recreation		0.3	6.3	0.2	2.0	1	1	9.0	8.3	0.2	1.8	6.5	361.1%
General Government		20.0	663.2	36.4	124.0	1		56.4	787.2	18.1	733.0	54.2	7.4%
Public Health:													
Medicaid		1,551.8	13,117.6	642.4	3,785.4	•		2,194.2	16,903.0	1,503.7	13,969.0	2,934.0	21.0%
Other Public Health		145.1	1,469.3	85.8	738.0	ı	1	230.9	2,207.3	234.8	1,999.5	207.8	10.4%
Public Safety		9.1	129.9	35.6	178.5	ı	1	44.7	308.4	(6.7)	128.0	180.4	140.9%
Public Welfare		110.7	3,657.3	0.7	2.2	٠		111.4	3,659.5	222.3	1,651.2	2,008.3	121.6%
Support and Regulate Business		212.6	707.1	1.0	25.5	ı		213.6	732.6	26.2	83.9	648.7	773.2%
Transportation		25.1	97.5	512.8	2,667.4			537.9	2,764.9	446.1	2,151.7	613.2	28.5%
Total Local Assistance Grants		3,739.1	34,094.1	1,429.3	10,435.1			5,168.4	44,529.2	4,004.2	36,987.9	7,541.3	20.4%
Departmental Operations:													
Personal Service		872.9	5,710.3	470.2	3,374.6			1,343.1	9,084.9	976.5	9,046.2	38.7	0.4%
Non-Personal Service		208.8	1,599.2	252.2	1,866.8	2.2	3.1	463.2	3,469.1	417.2	2,706.2	762.9	28.2%
General State Charges		644.7	6,088.6	134.4	6.699		1	779.1	6,758.5	470.8	5,694.3	1,064.2	18.7%
Debt Service, Including Payments on													
Financing Agreements		•	i	•	i	26.0	1,233.4	26.0	1,233.4	30.4	1,349.2	(115.8)	-8.6%
Capital Projects		•		-			-	•		-	-	•	%0:0
Total Disbursements		5,465.5	47,492.2	2,286.1	16,346.4	28.2	1,236.5	7,779.8	65,075.1	5,899.1	55,783.8	9,291.3	16.7%
Excess (Deficiency) of Beceints													
over Disbursements		(2,941.6)	(16,229.4)	(525.3)	(1,620.2)	2,917.2	29,491.2	(549.7)	11,641.6	(115.9)	8,915.8	2,725.8	30.6%
OTHER FINANCING SOURCES (USES):													
Transfers from Other Funds	(2)	2,779.5	30,416.1	205.5	2,533.7	60.5	1,025.4	3,045.5	33,975.2	1,382.5	20,741.9	13,233.3	63.8%
Transfers to Other Funds	(2)	(771.9)	(6,162.7)	3.2	(130.2)	(2,791.1)	(30,080.4)	(3,559.8)	(36,373.3)	(2,151.3)	(21,125.5)	15,247.8	72.2%
Total Other Financing Sources (Uses)		2,007.6	24,253.4	208.7	2,403.5	(2,730.6)	(29,055.0)	(514.3)	(2,398.1)	(768.8)	(383.6)	(2,014.5)	525.2%
Excess (Deficiency) of Receipts													
Disbursements and Other Financing Uses		(934.0)	8,024.0	(316.6)	783.3	186.6	436.2	(1,064.0)	9,243.5	(884.7)	8,532.2	711.3	8.3%
Beginning Fund Balances (Deficits)	(3)	18,118.8	9,160.8	6,808.5	5,708.6	314.6	65.0	25,241.9	14,934.4	23,825.2	14,408.3	526.1	3.7%
Ending Fund Balances (Deficits)		\$ 17,184.8	\$ 17,184.8	\$ 6,491.9	\$ 6,491.9	\$ 501.2	\$ 501.2	\$ 24,177.9	\$ 24,177.9	\$ 22,940.5	\$ 22,940.5	\$ 1,237.4	5.4%

^(*) State Operating Funds are comprised of the General Funds. State Special Revenue Funds supported by activities from dedicated revenue sources (including operating transfers from Federal Funds) and Debt Service Funds. (**) Eliminations between Special Revenue - State and Federal Funds are not included.

GOVERNMENTAL FUNDS FOOTNOTES

Certain disbursements from Capital Projects funds are financed by operating transfers from other
funds, proceeds of State bonds and index, and reimbursements received from Public Authorities
and the Federal Government. The amounts shown below represent disbursements to be
reimbursed in future months from the sources indicated:

Urban Development Corporation (Correctional Facilities)	\$219.4 million
Urban Development Corporation (Youth Facilities)	13.1
Housing Finance Agency (HFA)	304.4
Housing Assistance Fund	12.9
Dormitory Authority (Mental Hygiene)	471.2
Dormitory Authority and State University Income Fund	653.3
Federal Capital Projects	0.096
State bond and note proceeds	122.7

Operating Transfers constitute legally authorized transfers from a fund receiving revenues to a fund through which disbursements will ultimately be made. The more significant transfers include:

General Fund "Transfers to Other Funds" are as follows:

ount onty Account d d	227.3 25.4 8.0
Account 2	25.4 8.0
Account 2	8.0
Account 2	
Account 2	30.0
Account 2	11.5
Account 2	4.8
Account	38.4
Account	33.0
Account	135.0
Account	2.5
Account	4.4
	24.4
	28.0
	12.0
	244.3
	29.7
	6.2
New York Central Business District Trust Fund	101.0
New York City County Clerks' Operations Offset	2.1
Recruitment Incentive	2.6
Spinal Cord Injury Account	5.7
State Fair Receipts	7.0
State University Income Fund	1,297.6

Also included in the General Fund are transfers representing payments for patients residing in Stateoperated health, mental hygiene and State University facilities to Debt Service funds (\$4.7m), and the State University Income Fund (\$218.8m). §72(4)(b) was added to the State Finance Lawin 2010 to permit the State's General Debt Service Fund to maintain a cash reserve for the payment of debt service, and related expenses, during the current fiscal quarter. As of November 30, 2021 - pursuant to a certification of the Budget Director the reserve amount is (\$39.2m), which was funded by a transfer from the General Fund.

Special Revenue Funds. "Transfers To Other Funds" includes transfers to Mental Health Services Fund and Department of Health Income Fund (\$738.7m) representing the federal share of Medicaid payments for patients residing in State-operated Health and Mental Hygiene facilities, SUNY Captial Projects Fund (\$2.1m) and All Other Capital Projects (\$44.7m).

EXHIBIT A NOTES November 2021

Also included in Special Revenue funds are transfers to the General Fund from the following:

Administration Adjudication Account	\$1.4	\$1.4 million
Clean Air	3.1	
Encon Special Revenue	1.7	
Federal Health and Human Services Fund	58.6	
Federal USDA/Food and Nutrition	18.3	
Fingerprint Identification Technology Account	9.4	
HESC Insurance Premium Account	5.0	
Miscellaneous State Special Revenue Fund	5.1	
Nursing Home Receivership Account	1.0	
Professional Medical Conduct Account	1.	
Public Service Account	2.1	
Statewide Public Safety Communications	8.9	
System and Technology Account	1.9	
SUNY Income Fund	21.7	
Unemployment Insurance Administration	13.9	
Unemployment Insurance, Interest & Penalty	11.6	
Workers' Compensation Board	6.4	

Debt Service Funds "Transfers To Other Funds" includes transfers to the General Fund from the following:

Revenue Bond Tax Fund	\$20,309.5 million	million
Local Government Assistance Tax Fund	2,675.5	
Sales Tax Revenue Bond Tax Fund	5,138.6	
Clean Water/Clean Air Fund	951.5	
Mental Health Services Fund	927.4	

Also included in Debt Service funds are transfers to Special Revenue funds representing receipts in excess of lease-purchase obligations that are used to finance a portion of the operating expenses for the Department of Health (\$77.8m).

Capital Projects Funds. "Transfers To Other Funds" includes transfers to the General Fund (\$233.7m) and the General Debt Service Fund - Lease Purchase (\$55.8m).

3. Pursuant to FY 2022 Enacted Budget Legislation (Chapter 50), IFR/CUTRA (City University Tuition Reimbursement Account) Fund and CUNY Senior College Operating Fund have both been reclassified from Special Revenue State Funds and Agency Funds, respectively, to Enterprise Funds. As a result of this change, the beginning cash balances in the Special Revenue State Funds and the Agency Funds have been reduced by \$171.8n and \$10.6n, respectively, and the Enterprise Funds have been increased by \$182.4m.

Additionally, the College Savings Account within the Miscellaneous State Special Revenue Funds was reclassified to the Private Purpose Trust Funds. As a result of this change, the beginning balance of the Special Revenue State Funds has been reduced by an additional \$25.7m and Private Purpose Trust Funds increased by \$25.7m.

4. A portion of Personal Income Tax receipts is transferred to the State Special Revenue School Tax Relief (STAR) Fund to be used to reimburse school districts for the STAR property tax exemptions for homeowners and payments to homeowners for the STAR Property Rebate Program. School Tax Relief payments were (\$2.0m) as of November 30, 2021.

GOVERNMENTAL FUNDS FOOTNOTES

EXHIBIT B

STATE OF NEW YORK
PROPRIETARY FUNDS
COMBINED STATEMENT OF CASH RECEIPTS, DISBURSEMENTS AND CHANGES IN FUND BALANCES
(amounts in millions)

	ENTERP	RPRISE	INTERNAI	INTERNAL SERVICE		TOTAL PROPRI	TOTAL PROPRIETARY FUNDS		YEAR OVER YEAR	R YEAR
	MONTH OF NOV. 2021	8 MOS. ENDED NOV. 30, 2021	MONTH OF NOV. 2021	8 MOS. ENDED NOV. 30, 2021	MONTH OF NOV. 2021	8 MOS. ENDED NOV. 30, 2021	MONTH OF NOV. 2020	8 MOS. ENDED NOV. 30, 2020	\$ Increase/ (Decrease)	% Increase/ Decrease
RECEIPTS:										
Miscellaneous Receipts	\$ 196.9	\$ 1,885.8	\$ 51.6	\$ 327.6	\$ 248.5	\$ 2,213.4	\$ 32.9	\$ 296.0	\$ 1,917.4	647.8%
Federal Receipts	50.0	23,855.3	•	•	20.0	23,855.3	2,484.9	38,848.3	(14,993.0)	-38.6%
Unemployment Taxes	215.9		•	•	215.9	2,239.9	544.2	13,083.5	(10,843.6)	-82.9%
Total Receipts	462.8	27,981.0	51.6	327.6	514.4	28,308.6	3,062.0	52,227.8	(23,919.2)	45.8%
DISBURSEMENTS:										
Departmental Operations:										
Personal Service	132.5	1,080.6	16.3	87.3	148.8	1,167.9	10.8	103.0	1,064.9	1,033.9%
Non-Personal Service	37.4	302.3	36.4	287.2	73.8	589.5	48.7	351.0	238.5	67.9%
General State Charges	54.9	468.7	7.8	40.9	62.7	509.6	4.8	44.4	465.2	1,047.7%
Unemployment Benefits	266.7	26,199.3	•	•	266.7	26,199.3	3,028.3	51,922.3	(25,723.0)	-49.5%
Total Disbursements	491.5	28,050.9	60.5	415.4	552.0	28,466.3	3,092.6	52,420.7	(23,954.4)	-45.7%
Excess (Deficiency) of Receipts Over Disbursements	(28.7)	(6.69)	(6.8)	(87.8)	(37.6)	(157.7)	(30.6)	(192.9)	35.2	18.2%
	(1121)	(212)	(20)	(2:12)	(2:12)	()	(212)	(2000)		
OTHER FINANCING SOURCES (USES):										
Transfers from Other Funds	•	7.0	2.0	86.8	2.0	93.8	3.1	85.7	8.1	9.5%
Transfers to Other Funds		•	-	(4.5)	•	(4.5)	(0.1)	(0.4)	4.1	1,025.0%
Total Other Financing Sources (Uses)	•	7.0	2.0	82.3	2.0	89.3	3.0	85.3	4.0	4.7%
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other										
Financing Uses	(28.7)	(62.9)	(6.9)	(5.5)	(35.6)	(68.4)	(27.6)	(107.6)	39.2	36.4%
Beginning Fund Balances (Deficits)	293.8	328.0	(362.1)	(363.5)	(68.3)	(35.5)	(347.8)	(267.8)	232.3	%2'98
Ending Fund Balances (Deficite)	\$ 265.1	\$ 265.1	(369.0)	(369.0)	(1039)	(103 9)	(375.4)	(375.4)	2715	72 3%

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STATE OF NEW YORK
TRUST FUNDS
COMBINED STATEMENT OF CASH RECEIPTS, DISBURSEMENTS AND CHANGES IN FUND BALANCES
(amounts in millions)

EXHIBIT D

BUDGETARY BASIS - FINANCIAL PLAN AND ACTUAL FISCAL YEAR 2021-2022 FOR EIGHT MONTHS ENDED NOVEMBER 30, 2021 (amounts in millions) STATE OF NEW YORK

				ALL	GOVER	ALL GOVERNMENTAL FUNDS	SON				
		Enacted Financial Plan (*)		Updated Financial Plan (**)		Actual	(E Fina	Actual Over/ (Under) Enacted	Final	Actual Over/ (Under) Updated Financial Plan	
RECEIPTS: Taxes: Personal Income	↔	36,617.0	↔	41,812.0	↔	42,752.7	↔	6,135.7	↔	940.7	
Consumption/Use Business Other Miscellaneous Receipts		11,861.0 4,930.0 1,571.0 15,184.0		12,683.0 6,161.0 1,882.0 16,509.0		12,867.6 6,180.2 1,998.6 16,297.2		1,006.6 1,250.2 427.6 1,113.2		184.6 19.2 116.6 (211.8)	
Federal Receipts Total Receipts		67,531.0 137,694.0		65,380.0 144,427.0		65,512.9 145,609.2		(2,018.1) 7,915.2		1,182.2	
DISBURSEMENTS:											
Local Assistance Grants Departmental Operations		98,216.0 16,322.0		97,266.0 15.679.0		93,752.2		(4,463.8)		(3,513.8)	
General State Charges		6,964.0		6,998.0		7,225.1		261.1		227.1	
Debt Service Canital Projects		1,361.0		1,289.0		1,275.7		(85.3) (9/15.2)		(13.3)	
Total Disbursements		128,662.0		126,112.0		122,314.3		(6,347.7)		(3,797.7)	
Excess (Deficiency) of Receipts over Disbursements		9,032.0		18,315.0		23,294.9		14,262.9		4,979.9	
OTHER FINANCING SOURCES (USES): Bond and Note Proceeds, net Transfers from Other Funds Transfers to Other Funds Total Other Financing Sources (Uses)		33,960.0 (34,050.0) (90.0)		38,288.0 (38,375.0) (87.0)		37,415.0 (37,493.6) (78.6)		3,455.0 3,443.6 11.4		(873.0) (881.4) 8.4	
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses		8,942.0		18,228.0		23,216.3		14,274.3		4,988.3	
Fund Balances (Deficits) at April 1 Fund Balances (Deficits) at November 30, 2021	₩	18,751.0 27,693.0	s s	18,751.0 36,979.0	€9	18,751.1 41,967.4	₩	0.1 14,274.4	↔	0.1 4,988.4	

Source: 2021-22 Enacted Financial Plan dated May 25, 2021. Source: 2021-22 Mid Year Update dated October 29, 2021. ε£

EXHIBIT D

BUDGETARY BASIS - FINANCIAL PLAN AND ACTUAL FISCAL YEAR 2021-2022 FOR EIGHT MONTHS ENDED NOVEMBER 30, 2021 STATE OF NEW YORK (amounts in millions)

		ST/	STATE OPERATING FUNDS (***)	S (***)	
	Enacted Financial Plan (*)	Updated Financial Plan (**)	Actual	Actual Over/ (Under) Enacted Financial Plan	Actual Over/ (Under) Updated Financial Plan
RECEIPTS: Taxes:					
Personal Income	\$ 36,617.0	\$ 41,812.0	\$ 42,752.7	\$ 6,135.7	\$ 940.7
Consumption/Use	11,460.0	12,264.0	12,461.3	1,001.3	197.3
Other	1,499.0	1.810.0	1.927.1	428.1	117.1
Miscellaneous Receipts	12,311.0	13,663.0	13,731.5	1,420.5	68.5
Federal Receipts	274.0	92.0	64.7	(209.3)	(27.3)
Total Receipts	66,684.0	75,406.0	76,716.7	10,032.7	1,310.7
DISBURSEMENTS:					
Local Assistance Grants	45,824.0	45,098.0	44,529.2	(1,294.8)	(268.8)
Departmental Operations	12,944.0	12,890.0	12,554.0	(0.066)	(336.0)
General State Charges	6,649.0	6,546.0	6,758.5	109.5	212.5
Debt Service	1,319.0	1,247.0	1,233.4	(85.6)	(13.6)
Capital Projects	-	.			.
Total Disbursements	66,736.0	65,781.0	65,075.1	(1,660.9)	(705.9)
Excess (Deficiency) of Receipts over Disbursements	(52.0)	9,625.0	11,641.6	11,693.6	2,016.6
OTHER FINANCING SOURCES (USES):					
Transfers from Other Funds	29,930.0	33,487.0	33,975.2 (****)	4,045.2	488.2
Total Other Financing Sources (Uses)	(2,395.0)	(3,245.0)			846.9
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements		000			
and Otner Financing Uses	(2,447.0)	6,380.0	9,243.5	11,690.5	2,863.5
Fund Balances (Deficits) at April 1 Fund Balances (Deficits) at November 30, 2021	14,934.0 \$ 12,487.0	14,934.0 \$ 21,314.0	14,934.4	\$ 11,690.9	\$ 2,863.9

 ^(*) Source: 2021-22 Enacted Financial Plan dated May 25, 2021.
 (**) Source: 2021-22 Mid Year Update dated October 29, 2021.
 (***) <u>State Operating Funds</u> are comprised of the General Fund, State Special Revenue Funds supported by activities from dedicated revenue sources (including operating transfers from Federal Funds) and Debt Service Funds.
 (****) Eliminations between Special Revenue - State and Federal Funds are not included.

EXHIBIT D

BUDGETARY BASIS - FINANCIAL PLAN AND ACTUAL FISCAL YEAR 2021-2022 FOR EIGHT MONTHS ENDED NOVEMBER 30, 2021 (amounts in millions) STATE OF NEW YORK

					GENI	GENERAL FUND				
		Enacted Einancial	ני כ 	Updated				Actual Over/ (Under)	4 ° ⊃ <u>۶</u>	Actual Over/ (Under)
	-	Plan (*)		Plan (**)		Actual	Ë	Financial Plan	Finar	Spaced Financial Plan
RECEIPTS:										
Taxes: Personal Income	€9	18 307 0	€.	20.905.0	€9	21 374 4	€5	3 067 4	€.	469 4
Consumption/Use	•	2,872.0	•	3,050.0	•	3,094.1	•	222.1	•	4.
Business		3,404.0		4,466.0		4,452.4		1,048.4		(13.6)
Other		834.0		0.006		946.7		112.7		46.7
Miscellaneous Receipts		1,156.0		1,352.0		1,395.2		239.2		43.2
Tedelal Necelpis		•		•		•		1		ı
I ransters From: DIT / ECET in exercise of Develop Dead Debt Service		7 7 7 7 7 7 7 7 7 7 7 7 7 7 7 7 7 7 7 7		10 830 0		20300 5		2 1 1/15 15		7077
Sales Tay in excess of IGAC / STRRE Debt Service		7.123.0		7,667.0		7,203.5		0, 140.0 0, 1		177.
Real Estate Taxes in excess of CW/CA Debt Service		627.0		0.700,7		951.5		324.5		- 17:
All Other		1,607.0		1,476.0		1,341.0		(266.0)		(135.0)
Total Receipts and Other Financing Sources		53,094.0		60,538.0		61,678.9		8,584.9		1,140.9
DISBURSEMENTS:										
Local Assistance Grants		35,500.0		34,562.0		34,094.1		(1,405.9)		(467.9)
Departmental Operations		8,096.0		7,581.0		7,309.5		(786.5)		(271.5)
General State Charges		5,961.0		5,850.0		6,088.6		127.6		238.6
Transfers To:										
Debt Service		265.0		242.0		227.3		(37.7)		(14.7)
Capital Projects		3,997.0		4,758.0				(142.6)		(903.6)
State Share Medicaid		•					(***)	223.5		223.5
SUNY Operations		1,038.0		1,279.0		1,297.6		259.6		18.6
Other Purposes		725.0		819.0		559.9		(165.1)		(259.1)
Total Disbursements and Other Financing Uses		55,582.0		55,091.0		53,654.9		(1,927.1)		(1,436.1)
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements										
and Other Financing Uses		(2,488.0)		5,447.0		8,024.0		10,512.0		2,577.0
Fund Balances (Deficits) at April 1		9,161.0		9,161.0		9,160.8		(0.2)		(0.2)
Fund Balances (Deficits) at November 30, 2021	↔	6,673.0	₩	14,608.0	ss	17,184.8	φ.	10,511.8	↔	2,576.8

 ^(*) Source: 2021-22 Enacted Financial Plan dated May 25, 2021.
 (**) Source: 2021-22 Mid Year Update dated October 29, 2021.
 (***) Includes transfers to the Department of Health Income Fund and the State University Income Fund representing payments for patients residing in State-Operated Health and State University facilities.

EXHIBIT D

STATE OF NEW YORK
BUDGETARY BASIS - FINANCIAL PLAN AND ACTUAL
FISCAL YEAR 2021-202
FOR EIGHT MONTHS ENDED NOVEMBER 30, 2021
(amounts in millions)

						SP	ECIAL RE	SPECIAL REVENUE FUNDS	NDS				
											Actual Over/		Actual Over/
		Enacted Financial		Updated Financial							(Under) Enacted	~ 5	(Under) Updated
		Plan (*)		Plan (**)		Actual	Elimi	Eliminations		Total	Financial Plan	Fina	Financial Plan
RECEIPTS:													
Taxes:													
Personal Income	₩	1.0	ઝ	2.0	↔	2.0	↔		↔	2.0	\$ 1.0	↔	
Consumption/Use		1,248.0		1,318.0		1,335.3		•		1,335.3	87.3		17.3
Business		1,119.0		1,299.0		1,327.0				1,327.0	208.0		28.0
Miscellaneous Receipts		11,065.0		12,179.0		12,162.1		•		12,162.1	1,097.1		(16.9)
Federal Receipts		65,911.0		64,453.0		64,447.7		•		64,447.7	(1,463.3)		(5.3)
Transfers from Other Funds (***)		2,101.0		2,600.0		2,533.7		(472.6)		2,061.1	(39.9)		(538.9)
Total Receipts and Other Financing Sources		81,445.0		81,851.0		81,807.8		(472.6)		81,335.2	(109.8)		(515.8)
DISBURSEMENTS:													
Local Assistance Grants		58,352.0		57,781.0		56,121.6				56,121.6	(2,230.4)		(1,659.4)
Departmental Operations		8,217.0		8,097.0		7,894.9		1		7,894.9	(322.1)		(202.1)
General State Charges		1,003.0		1,148.0		1,136.5		,		1,136.5	133.5		(11.5)
Debt Service		42.0		45.0		42.3		•		42.3	0.3		0.3
Capital Projects		•		•		•		•		1	•		•
Transfers to Other Funds (***)		1,613.0		1,488.0		1,433.6		(472.6)		961.0	(652.0)		(527.0)
Total Disbursements and Other Financing Uses		69,227.0		68,556.0		66,628.9		(472.6)		66,156.3	(3,070.7)		(2,399.7)
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements													
and Other Financing Uses		12,218.0		13,295.0		15,178.9				15,178.9	2,960.9		1,883.9
Fund Balances (Deficits) at April 1		10,669.0		10,669.0		10,669.3				10,669.3	0.3		0.3
Fund Balances (Deficits) at November 30, 2021	₩	22,887.0	ક્ક	23,964.0	₩	25,848.2	ક	.	s.	25,848.2	\$ 2,961.2	₩	1,884.2
	İ												

^(*) Source: 2021-22 Enacted Financial Plan dated May 25, 2021.
(**) Source: 2021-22 Mid Year Update dated October 29, 2021.
(**) Actual reported transfer amounts include eliminations between Special Revenue - State and Federal Funds.

EXHIBIT D

STATE OF NEW YORK
BUDGETARY BASIS - FINANCIAL PLAN AND ACTUAL
FISCAL YEAR 2021-2022
FOR EIGHT MONTHS ENDED NOVEMBER 30, 2021
(amounts in millions)

		STATE SPECI	STATE SPECIAL REVENUE FUNDS	SC			FEDERAL SPECI	FEDERAL SPECIAL REVENUE FUNDS	S	
				Actual Over/	Actual Over/				Actual Over/	Actual Over/
	Enacted Financial	Updated Financial		(Under) Enacted	(Under) Updated	Enacted Financial	Updated Financial		(Under) Enacted	(Under) Updated
	Plan (*)	Plan (**)	Actual	Financial Plan	Financial Plan	Plan (*)	Plan (**)	Actual	Financial Plan	Financial Plan
RECEIPTS:										
Taxes:										
Personal Income	3 1.0	s	\$ 2.0	1.0			· ·	· ·		· •
Consumption/Use	1,248.0	1,318.0	1,335.3	87.3	17.3	i	i			
Business	1,119.0		1,327.0	208.0	28.0	,				1
Miscellaneous Receipts	10,885.0	12,039.0	12,027.4	1,142.4	(11.6)	180.0	140.0	134.7	(45.3)	(5.3)
Federal Receipts	238.0	62.0	34.5	(203.5)	(27.5)	65,673.0	64,391.0	64,413.2	(1,259.8)	22.2
Transfers from Other Funds	2,101.0	2,600.0	2,533.7	432.7	(66.3)	•				
Total Receipts and Other Financing Sources	15,592.0	17,320.0	17,259.9	1,667.9	(60.1)	65,853.0	64,531.0	64,547.9	(1,305.1)	16.9
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Local Assistance Grants	10.324.0	10.536.0	10.435.1	1111	(100.9)	48.028.0	47.245.0	45.686.5	(2.341.5)	(1.558.5)
Departmental Operations	4,839.0		5,241.4	402.4	(99.9)	3,378.0	2,789.0	2,653.5	(724.5)	(135.5)
General State Charges	0.889		6.699	(18.1)	(26.1)	315.0	452.0	466.6	151.6	14.6
Debt Service	•					42.0	42.0	42.3	0.3	0.3
Capital Projects	1	1	•			•		•		1
Transfers to Other Funds	186.0	135.0	130.2	(55.8)	(4.8)	1,427.0	1,353.0	1,303.4	(123.6)	(49.6)
Total Disbursements and Other Financing Uses	16,037.0	16,675.0	16,476.6	439.6	(198.4)	53,190.0	51,881.0	50,152.3	(3,037.7)	(1,728.7)
Excess (Deficiency) of Receipts and Other										
Financing Sources over Disbursements and Other Financing Uses	(445.0)	645.0	783.3	1,228.3	138.3	12,663.0	12,650.0	14,395.6	1,732.6	1,745.6
Fund Balances (Deficits) at April 1	5,708.0	5,708.0	5,708.6	9.0	9.0	4,961.0	4,961.0	4,960.7	(0.3)	(0.3)
Fund Balances (Deficits) at November 30, 2021	\$ 5,263.0	\$ 6,353.0	\$ 6,491.9	\$ 1,228.9	\$ 138.9	\$ 17,624.0	\$ 17,611.0	\$ 19,356.3 \$	1,732.3	\$ 1,745.3

Source: 2021-22 Enacted Financial Plan dated May 25, 2021. Source: 2021-22 Mid Year Update dated October 29, 2021.

EXHIBIT D

BUDGETARY BASIS - FINANCIAL PLAN AND ACTUAL FISCAL YEAR 2021-2022 FOR EIGHT MONTHS ENDED NOVEMBER 30, 2021 STATE OF NEW YORK (amounts in millions)

					DEBT	DEBT SERVICE FUNDS	SON			
							∢ ∪	Actual Over/	₫ ∪	Actual Over/
	_	Enacted Financial	_	Updated Financial			3 1	(Under)	ਹ <u>‡</u>	(Under)
		Plan (*)		Plan (**)		Actual	Finar	Financial Plan	Finar	Financial Plan
RECEIPTS:										
Taxes:										
Personal Income	↔	18,309.0	↔	20,905.0	↔	21,376.3	↔	3,067.3	↔	471.3
Consumption/Use		7,340.0		7,896.0		8,031.9		691.9		135.9
Other		665.0		910.0		980.4		315.4		70.4
Miscellaneous Receipts		270.0		272.0		308.9		38.9		36.9
Federal Receipts		36.0		30.0		30.2		(5.8)		0.2
Transfers from Other Funds		1,308.0		1,022.0		1,025.4		(282.6)		3.4
Total Receipts and Other Financing Sources		27,928.0		31,035.0		31,753.1		3,825.1		718.1
DISBURSEMENTS:										
Departmental Operations		0.6		1.0		3.1		(6.9)		2.1
Debt Service		1,319.0		1,247.0		1,233.4		(85.6)		(13.6)
Transfers to Other Funds		26,114.0		29,499.0		30,080.4		3,966.4		581.4
Total Disbursements and Other Financing Uses		27,442.0		30,747.0		31,316.9		3,874.9		569.9
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements										
and Other Financing Uses		486.0		288.0		436.2		(49.8)		148.2
Fund Balances (Deficits) at April 1		65.0		65.0		65.0		ı		•
Fund Balances (Deficits) at November 30, 2021	↔	551.0	₩	353.0	₩	501.2	₩	(49.8)	\$	148.2

^(*) Source: 2021-22 Enacted Financial Plan dated May 25, 2021. (**) Source: 2021-22 Mid Year Update dated October 29, 2021.

EXHIBIT D

STATE OF NEW YORK BUDGETARY BASIS - FINANCIAL PLAN AND ACTUAL FISCAL YEAR 2021-2022 FOR EIGHT MONTHS ENDED NOVEMBER 30, 2021 (amounts in millions)

					O	CAPITAL PROJECTS FUNDS	FUND	Ø			
									Actual Over/		Actual Over/
		Enacted Financial	Updated Financial	ted cial					(Under) Enacted	_	(Under) Updated
		Plan (*)	Plan (**)	*	Actual	Eliminations		Total	Financial Plan	뜶	Financial Plan
RECEIPTS:											
Taxes:											
Consumption/Use	↔	401.0	₩	419.0	\$ 406.3	· \$	↔	406.3	\$ 5.3	↔	(12.7)
Business		407.0		396.0	400.8	•		400.8	(6.2)		4.8
Other		72.0		72.0	71.5	•		71.5	(0.5)		(0.5)
Miscellaneous Receipts		2,693.0		2,706.0	2,431.0	•		2,431.0	(262.0)		(275.0)
Federal Receipts		1,584.0		897.0	1,035.0	•		1,035.0	(549.0)		138.0
Bond and Note Proceeds, net		•			•	•		•			•
Fransfers from Other Funds		4,030.0	,	4,801.0	3,912.4	•		3,912.4	(117.6)		(888.6)
Total Receipts and Other Financing Sources		9,187.0		9,291.0	8,257.0			8,257.0	(930.0)		(1,034.0)
DISBURSEMENTS:											
Local Assistance Grants		4,364.0	•	4,923.0	3,536.5	•		3,536.5	(827.5)		(1,386.5)
Capital Projects		5,799.0	•	4,880.0	4,853.8	•		4,853.8	(945.2)		(26.2)
Transfers to Other Funds		298.0		290.0	289.5	•		289.5	(8.5)		(0.5)
Total Disbursements and Other Financing Uses		10,461.0	-	10,093.0	8,679.8			8,679.8	(1,781.2)		(1,413.2)
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements											
and Other Financing Uses		(1,274.0)		(802.0)	(422.8)			(422.8)	851.2		379.2
Fund Balances (Deficits) at April 1		(1,144.0)	_	(1,144.0)	(1,144.0)			(1,144.0)	•		
Fund Balances (Deficits) at November 30, 2021	₩	(2,418.0)	• •	(1,946.0)	\$ (1,566.8)	\$	ا م	(1,566.8)	\$ 851.2	ઝ	379.2

^(*) Source: 2021-22 Enacted Financial Plan dated May 25, 2021.

EXHIBIT D

STATE OF NEW YORK
BUDGETARY BASIS - FINANCIAL PLAN AND ACTUAL
FISCAL YEAR 2021-2022
FOR EIGHT MONTHS ENDED NOVEMBER 30, 2021
(amounts in millions)

		STATE	STATE CAPITAL PROJECTS FUNDS	'S FUNDS			FEDERAL CAF	FEDERAL CAPITAL PROJECTS FUNDS	JNDS	
	Enacted Financial Plan (*)	Updated Financial Plan (**)	Actual	Actual Over/ (Under) Enacted Financial Plan	Actual Over/ (Under) Updated Financial Plan	Enacted Financial Plan (*)	Updated Financial Plan (**)	Actual	Actual Over/ (Under) Enacted	Actual Over/ (Under) Updated Financial Plan
RECEIPTS:										
Consumption/Use	\$ 401.0	\$ 419.0	\$ 406.3	\$	↔	ω	φ	· •	s	69
Business	407.0	396.0	400.8	(6.2)	4.8	•				
Other	72.0	72.0	71.5	(0.5)						•
Miscellaneous Receipts	2,693.0	2,706.0	2,431.3	(261.7)		•		(0.3)	(0.3)	(0.3)
Federal Receipts	2.0	19.0	11.8	8.6		1,582.0	878.0	1,023.2	(558.8)	145.2
Bond and Note Proceeds, net	- 170	, 00	, 00	, 202	. 600	, 6	, 2	•	, 62	, 7
	0.710,4	4,00Z.U	5,912.4	(104.6)		13.0	(0.1)		(13.0)	0.1
Total Receipts and Other Financing Sources	7,592.0	8,414.0	7,234.1	(357.9)	(1,179.9)	1,595.0	877.0	1,022.9	(572.1)	145.9
DISBURSEMENTS:										
Local Assistance Grants	3,976.0	4,599.0	3,224.4	(751.6)	(1,3	388:0	324.0	312.1	(75.9)	(11.9)
Capital Projects	4,716.0	3,764.0	3,763.6	(952.4)	(0.4)	1,083.0	1,116.0	1,090.2	7.2	(25.8)
Transfers to Other Funds	298:0	289.0	289.2	(8.8)		•	1.0	0.3	0.3	(0.7)
Total Disbursements and Other Financing Uses	8,990.0	8,652.0	7,277.2	(1,712.8)	(1,374.8)	1,471.0	1,441.0	1,402.6	(68.4)	(38.4)
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements										
and Other Financing Uses	(1,398.0)	(238.0)	(43.1)	1,354.9	194.9	124.0	(564.0)	(379.7)	(503.7)	184.3
Fund Balances (Deficits) at April 1	(562.0)	(562.0)	(563.7)		(1.7)	(582.0)	(582.0)	(580.3)	1.7	1.7
Fund Balances (Deficits) at November 30, 2021	\$ (1,960.0)	(800.0)	\$ (606.8)	\$ 1,353.2	\$ 193.2	\$ (458.0)	\$ (1,146.0)	(0.096)	\$ (502.0)	\$ 186.0

^(*) Source: 2021-22 Enacted Financial Plan dated May 25, 2021.
(**) Source: 2021-22 Mid Year Update dated October 29, 2021.

STATE OF NEW YORK
GOVERNMENTAL FUNDS
COMPARATIVE SCHEDULE OF TAX RECE
(amounts in millions)

(another minors)															EXHIBIT E
	쁑	GENERAL	SPECIAL		DEBTS	DEBT SERVICE	CAPITAL	CAPITAL PROJECTS		TOTAL GOVER	TOTAL GOVERNMENTAL FUNDS			YEAR OVER YEAR	YEAR
	MONTH OF NOV. 2021	8 MOS. ENDED NOV. 30, 2021	MONTH OF NOV. 2021	8 MOS. ENDED NOV. 30, 2021	MONTH OF NOV. 2021	8 MOS. ENDED NOV. 30, 2021	MONTH OF NOV. 2021	8 MOS. ENDED NOV. 30, 2021	MONTH OF NOV. 2021	8 MOS. ENDED NOV. 30, 2021	MONTH OF NOV. 2020	8 MOS. ENDED NOV. 30, 2020	NDED 2020	\$ Increase/ (Decrease)	% Increase/ Decrease
PERSONAL INCOME TAX															
Withholding	\$ 3,963.5	\$ 28,766.6	· •	s	· •	69	69	·	\$ 3,963.5	\$ 28,766.6	\$ 3,032.3	69	24,587.8	\$ 4,178.8	17.0%
Estimated Payments	119.9	16,039.4	•	•	•	•	•		119.9	16,039.4	9.66		11,012.2	5,027.2	45.7%
Retums	75.2	4,093.6	•			•			75.2	4,093.6	51.4		3,221.9	871.7	27.1%
State/City Offsets	(44.8)	(855.9)	i	ı			1	1	(44.8)	(822.9)	(62.9)		(965.6)	(109.7)	-11.4%
Other (Assessments/LLC)	121.4	9.006		•	٠		٠		121.4	9:006	6.06		730.7	169.9	23.3%
Gross Receipts	4,235.2	48,944.3				٠			4,235.2	48,944.3	3,208.3		38,587.0	10,357.3	76.8%
Transfers to School Tax Relief Fund	(0.8)	(2:0)	8:0	2.0					•		•				%0.0
Transfers to Revenue Bond Tax Fund	(1,790.5)	(21,376.3)	1	•	1,790.5	21,376.3	1		•	•	•		i	•	%0:0
Less: Refunds Issued	(654.1)	(6,191.6)							(654.1)	(6,191.6)	(633.5)		(6,621.6)	(430.0)	-6.5%
Total	1,789.8	21,374.4	0.8	2.0	1,790.5	21,376.3			3,581.1	42,752.7	2,574.8		31,965.4	10,787.3	33.7%
SONSI IMPTION/LISE TAXES															
Sales and Ilse	323.3	2 675 2	988	746.4	0 696	8 031 9	•		13819	11 453 5	1 166 8		8 996 6	2 456 9	27.3%
Auto Rental	·	<u> </u>	(0.1)	12.5	'		0.1	46.0	0.0	58.5			31.4	27.1	86.3%
Cigarette/Tobacco Products	23.8	208.8	55.1	480.4			•		78.9	689.2	0.98	0	714.1	(24.9)	-3.5%
Cannabis	•	1	1.0	8.8	•	•	1		1.0	8.8	7.0	_	5.4	3.4	63.0%
Motor Fuel		•	6.5	71.1	•	•	23.4	262.4	29.9	333.5	39.7	_	286.3	47.2	16.5%
Alcoholic Beverage	23.3	190.6	1	1	i	i	•	1	23.3	190.6	22.9	6	189.9	2.0	0.4%
Highway Use	•	•	0.7	1.2	•	•	12.9	6'26	13.6	1.66	11.5		90.4	8.7	%9.6
Vapor Excise		•	0.1	14.9	•	•	•		1.0	14.9	'		18.7	(3.8)	-20.3%
Opioid Excise		19.5			•				•	19.5	0.1		22.6	(3.1)	-13.7%
Total	370.4	3,094.1	152.9	1,335.3	969.0	8,031.9	36.4	406.3	1,528.7	12,867.6	1,327.7		10,355.4	2,512.2	24.3%
BUSINESS TAXES															
Corporation Franchise	(77.4)	3,288.8	27.1	859.0	•	•	1		(50.3)	4,147.8	90.1		2,475.9	1,671.9	67.5%
Corporation and Utilities	0.8	180.4	0.1	48.4	•	•	1	4.3	0.9	233.1	0.5		252.1	(19.0)	-7.5%
Insurance	34.8	6.996	3.4	108.0	•	•	٠		38.2	1,074.9	9.2	2	914.0	160.9	17.6%
Bank	(0.4)	16.3	(0.1)		•	•	•		(0.5)	15.9	(0.7)	(2	164.0	(148.1)	-90.3%
Petroleum Business			40.5	312.0			51.5	396.5	92.0	708.5	82.8	8	639.4	69.1	10.8%
Total	(42.2)	4,452.4	71.0	1,327.0			51.5	400.8	80.3	6,180.2	181.9		4,445.4	1,734.8	39.0%
OTHER TAXES															
Real Property Gains	•		•	•	•	•	•		•	•					0.0%
Estate and Gift	92.5	933.8	•	•	•	•	•		92.5	933.8	131.5	10	833.4	100.4	12.0%
Pari-Mutuel	1.1	10.5	•	•	•	•	•		1.1	10.5	8:0	80	7.2	3.3	45.8%
Real Estate Transfer		•	•	•	119.4	979.3	11.9	71.5	131.3	1,050.8	8.06	80	539.4	511.4	94.8%
Racing and Exhibitions	0.8	1.2	•	•	•	•	•		8.0	1.2	'		0.1	1.1	1,100.0%
Employer Compensation Expense Tax	0.2	1.2			0.1	1.1			0.3	2.3	0.2		1.3	1.0	76.9%
Total	94.6	946.7			119.5	980.4	11.9	71.5	226.0	1,998.6	223.3		1,381.4	617.2	44.7%

GOVERNMENTAL FUNDS (*)
STATEMENT OF CASH FLOW
FISCAL YEAR 2021-2022

% Increase/	i		26.8% 0.0% 0.0% -6.5%	,]]	67.5% 17.6% 17.6% 10.8% 39.0%	ž	0.1%	6.1% 6.1% 13.3% 300.0%	41.4% 9.5% -1.5% 33.0% 19.4% 11.2% 58.4%	151.2% 28.2% 354.8% -58.5%) -8.4%	96.6% 96.8% -42.1% 36.4% 252.9%
s Increase/	\$ 4,466.3	4,178.8 5,027.2 871.7 (109.7)			(19.0) (19.0) (148.1) (148.1) (9.1) (1,734.8)	100.4 3.3 511.4 1.1 1.0 617.2	15,651.5	(37.7) 241.8 (5.2) 0.6	13.2 0.2 (9.4) 47.2 0.7 91.1 267.4 (523.1)	109.2 397.5 524.4 (52.7) (2.8)	(5,913.0) 8.6 (35.6) 6.3 196.5
8 Months Ended November 30 \$ Increase/	\$ 14,284.8	24,587.8 11,012.2 3,221.9 (965.6)	38,587.0 - (6,621.6) 31,965.4		2,475,9 252.1 914.0 164.0 639.4 4,445,4	833.4 7.2 7.2 5.39.4 0.1 1.3	48,147.6 343.2 86.1	525.0 3,956.4 39.0 0.2	31.9 2.1 6.00 143.2 3.6 810.1 458.1 812.3	72.2 1,409.6 147.8 90.1 33.2	7,567.4 8.9 84.6 17.3
2021	\$ 18,751.1	28,766.6 16,039.4 4,093.6 (955.9)	48,944.3 (6,191.6) 42,752.7	11,453.5 68.2 689.2 8.8 333.6 190.6 99.1 14.9 14.9	4,147.8 233.1 1,074.9 15.9 708.5 6,180.2	933.8 10.5 1,050.8 1.2 2.3 1,998.6	63,799.1 343.4 71.7	487.3 4,198.2 33.8 0.8	45.1 2.3 600.6 190.4 4.3 901.2 7.25.5 289.2	181.4 1,807.1 672.2 37.4 30.4	1,654.4 17.5 17.5 23.6 274.2
FEBRUARY	 			<u> </u> -		- - -	· ·				
2022 JANUARY FEBR	 			 							
DECEMBER							•				
NOVEMBER	\$ 43,028.0	3,963.5 119.9 75.2 (44.8)		1,381.9 78.9 78.0 1.0 23.3 23.3 13.6 0.1	(50.3) 0.9 38.2 (0.5) 92.0 80.3	92.5 1.1 131.3 0.8 0.3 226.0	5,416.1 226.3 0.7	17.6 527.4 (12.2) 0.3	4.3 62.3 27.9 0.6 130.5 53.0	10.2 363.2 76.5 5.3 1.5	0.6 2.7 1.1 8.6 28.3
OCTOBER	\$ 43,570.8	3,459.6 187.8 578.5 (242.9)	4,101.2	1,343.7 88.3 1.1 44.2 23.1 12.6	92.0 1.4 19.7 9.5 92.5 215.1	183.2 1.0 144.0 0.1 0.4 328.7	5,438.4 1.0 1.5	99.9 514.7 (0.7) 0.1	5.6 58.9 20.2 0.4 87.4 79.2 24.8	36.5 192.4 76.9 4.4 3.4	979.2 8.9 18.6 1.8 79.3
SEPTEMBER	\$ 41,672.8	3,634.8 3,241.9 77.5 (28.1)	7,030.3 - (580.5) 6,449.8		1,477.1 104.2 453.6 (0.7) 77.5	108.7 1.7 145.3 0.2 0.3 256.2	10,766.8 101.2 34.9	35.8 530.4 44.4 0.1	5.0 0.1 131.7 23.4 0.3 131.1 155.3	19.3 240.9 99.2 4.2 6.9	328.1 4.3 86.5
AUGUST	\$ 41,722.0	3,778.4 131.1 59.9 (19.1)	4,062.7 (261.3) 3,801.4	1,334.8 (0.1) 88.3 1.1 46.1 22.7 13.3	28.5 (1.0) 52.8 95.8 176.1	100.6 2.1 151.9 0.1 255.0	5,738.7 10.9 0.2	37.2 536.1 0.1 0.1	6.2 1.5 86.4 26.0 0.5 120.6 83.0	15.2 186.8 81.5 4.7	27.6 . 0.6 0.3 17.7
JULY	\$ 41,256.4	3,408.2 147.1 65.0 (3.1.8)	3,680.4	1,382.9 0.1 86.4 69.4 44.5 29.7 12.2 0.2 0.2 7.5 7.5	274.0 (7.7) 15.9 0.2 99.0	103.1 1.1 143.9 - 0.4 248.5	5,557.9 0.9	101.9 526.3	6.2 0.7 52.2 19.9 0.3 103.6 58.3	35.7 181.9 82.4 4.5 3.3	237.0 5.9 22.0 4.6 3.8
	15.2	3,702.6 2,740.7 139.6 (21.6)	6,672.6 - - (852.0) 5,820.6	1,711.7 22.6 22.6 90.6 1.1 46.6 21.7 11.6 6.6	1,452.1 83.2 373.2 10.2 92.6 2,011.3	108.9 1.1 127.0 0.2 237.2	9,981.6 0.8 31.1	67.4 544.9 0.7	7.0 - 127.1 18.9 0.9 139.8 87.5 62.2	17.1 238.9 101.9 4.3 4.3	3.0 - 1.7 0.8 10.5
МАУ	\$ 24,932.2	3,217.7 6,128.7 2,184.3 (264.7)	11,353.2	76.6 1.1 40.3 21.8 10.5 0.1	106.0 1.1 57.3 (20.1) 90.2 234.5	117.0 1.1 110.0 0.2 228.3	11,707.6 1.0 0.3	48.3 533.9 - 0.1	5.5 49.1 28.2 0.3 172.5 53.3 42.6	14.2 213.5 77.9 4.4 2.2	0.5 2.3 2.6 2.8
2021 APRIL	\$ 18,751.1	3,601.8 9,342.2 913.6 (203.0)	7,808.7	1,297.4 91.9 98.3 16.3 34.1 23.0 14.6 0.2 6.7	768 4 51.0 64.2 17.3 68.9 969.8	119.8 1.3 97.4 0.2 2.88.7	9,192.0 1.3 1.0	79.2 484.5 1.5	5.3 6.3.9 2.5.9 1.0 1.29.0 68.4 60.4	34.2 199.5 75.9 5.6 6.7	78.4 - 2.7 0.7 45.3
	Beginning Fund Balance	RECEIPTS: Takes: Takes: Withhodings Estimate Payments Returns State/by Offset Other (Assessments).	Gross Receipts Transfers to School Tax Relief Fund Transfers to Revenue Bond Tax Fund Refunds Issued Refunds Issued	Sales and Use Taxes: Sales and Use Taxes: Sales and Use Taxes Gaparitar Objector Commission Motor Fuel Highway Use Excele Highway Use Excele Opicial Excele Displaces Displaces Taxes	Corporation Franchise Corporation and Utilities Insurance Bank Petroleum Business Total Business Taxes	Real Property Gains Real Property Gains Real Property Gains Parks and Gift Parks and Gift Parks and Gains Parks and Ester In Transfer Recring and Exhibitors Employer Compensation Expense Tax Total Other Taxes	Total Taxes Miscellaneous Receipts: Abandoned Property: Abandoned Property Battle Bill	Assessments. Business Medical Care Public Utilities	Aud Tees Litelases and remina. Aud tees Businessy Control Licensing Aud Tees Criminal Criminal Recediblic Control Recediblic Control Recediblic Control Fines, Penalties and Furfeiures	Ganing: Casino Lottery Video Lottery Video Lottery Receipt's from Municipalities.	recents aron rubulo. Authorities. Bond Proceeds Cost Recovery Assessments Issuance Fees Non Bond Related Rentals

STATE OF NEW YORK
GOVERNMENTAL FUNDS (
STATEMENT OF CASH FLC
FISCAL YEAR 2021-2022
(amounts in millions)

	2006									2002				8 Months Ended November 30	ember 30)occupal /0
	APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	JANUARY	FEBRUARY	MARCH	2021	2020	(Decrease)	Decrease
Revenues of State Departments:														_		
Administrative Recoveries	45.8	9.2	36.0	9.6	1.6	23.5	8.7	5.6					149.4	136.1	13.3	8.8%
Commissions	6:0	8:0	0.2	9.0	4.0	1.3	0.7	6:0					5.8	3.1	2.7	87.1%
Gife Grants and Donations			. 8	. 0	. c	. 00	. 4	- 62					. %	42.0	. 118	
Indirect Cost Recoveries	0.4	5.7	6.3	5.0	6.0	20.3	10.4	31.3					6:68	46.5	43.4	
Patient/Client Care Reimbursement	295.8	223.6	214.5	293.3	205.9	251.4	210.2	278.7					1,973.4	2,256.7	(283.3)	
Rebates	11.3	9.5	13.8	12.0	10.2	14.5	15.4	6.9					0.96	103.0	(7.0)	
Restitution and Settlements	1.5	11.1	0.6	6.5	2.8	8.0	18.3	10.0					67.2	61.4	5.8	
Student Loans	4.7	9.5	2 6		4.00	2.1.2	7	0.50					6.61	3/.2	(6.71)	
All Other	8/.3	25 c	2.5	4.6	82.9	37.7	4. t). Sec.					9.90	327.6	192.3	
Tuition	4.35	6. 14	- 6	56.5	0.40	243.3	125.1	5. E					677.5	785.4	(107.9)	
Total Miscellaneous Receipts	1,729.5	1,568.7	1,870.7	1,923.3	1,784.3	2,618.2	2,778.0	2,024.5					16,297.2	21,139.6	(4,842.4)	-22.9%
Federal Receipts	7,164.2	18,246.2	7,485.1	5,880.5	5,986.7	7,537.7	7,202.8	6,009.7					65,512.9	54,624.8	10,888.1	19.9%
Total Receipts	18,085.7	31,522.5	19,337.4	13,361.7	13,509.7	20,922.7	15,419.2	13,450.3				•	145,609.2	123,912.0	21,697.2	17.5%
DISBURSEMENTS																
Local Assistance Grants:																
Education	810.6	4,402.4	4,076.2	1,902.5	1,418.3	4,369.7	2,215.7	2,181.5					21,376.9	18,428.9	2,948.0	16.0%
Environment and Recreation	29.4	9.6	19.8	16.0	32.7	15.8	16.0	11.7					151.0	89.0	62.0	89.7%
Certer at Government Public Health:	90.00	130.2	4.400	4.0.4	100.8	180.0	00.00	0.79					0.176,1	5,204.9	(5,553.3)	-08.8%
Medicaid	6,499.1	5,695.1	6,549.9	4,699.1	5,876.2	5,505.4	6,538.7	5,868.9					47,232.4	43,346.1	3,886.3	9.0%
Other Public Health	621.9	688.7	1,395.4	906.1	2.906	1,218.6	9.788	819.7					7,474.7	6,619.8	854.9	12.9%
Public Safety	128.1	139.4	196.1	220.6	98:0	225.3	211.4	107.8					1,326.7	1,501.1	(174.4)	-11.6%
Public Welfare	230.2	356.7	905.0	1,005.5	1,046.7	2,372.2	2,269.4	701.4					8,887.1	4,394.2	4,492.9	102.2%
Support and regulate business Transportation	, 92 P	63.5	559.4	68.4	50.2	132.8	264.5	240.5					1,206.0	351.0	855.0	243.6%
Total Local Assistance Grants	8.805.5	11,956.9	14,577.9	9,828.9	10,183.7	14,658.9	12,954.7	10.785.7					93,752.2	83,843.0	9,909.2	11.8%
Departmental Operations:																
Personal Service	1,158.2	1,182.3	1,167.5	1,336.7	1,113.3	1,486.3	1,099.1	1,432.3					9,975.7	6'088'6	84.8	0.9%
Non-Personal Service	519.4	5/6.0	839.1	516.0	726.9	9.069	6/3.3	690.5					5,231.8	4,558.7	6/3.1	14.8%
General State Charges Debt Service Including Bayments on	930.0	2,367.0	625.3	645.8	908.9	9:15/	621.3	878.8					1,225,1	6,065.5	1,159.6	19.1%
Financing Agreements	122.4	40.5	20.5	7.2	307.7	742.2	9.2	26.0					1,275.7	1,349.2	(73.5)	-5.4%
Capital Projects	398.2	514.1	631.4	554.2	9.669	710.1	601.5	744.7					4,853.8	4,756.8	97.0	2.0%
Total Disbursements	11,899.2	16,636.8	17,861.7	12,888.8	13,539.7	19,020.0	15,959.1	14,509.0					122,314.3	110,464.1	11,850.2	10.7%
Excess (Deficiency) of Receipts over Disbursements	6,186.5	14,885.7	1,475.7	472.9	(30.0)	1,902.7	(539.9)	(1,058.7)				.	23,294.9	13,447.9	9,847.0	73.2%
OTHER FINANCING SOURCES (USES): Bond and Note Proceeds (net) Transfers if onn Other Funds Transfers to Other Funds	5,344.8	6,200.6 (6,203.3)	6,454.2 (6,488.7)	3,531.7 (3,539.0)	3,037.4	5,770.3 (5,775.0)	3,450.8 (3,453.7)	3,625.2 (3,627.1)					37,415.0 (37,493.6)	22,294.3 (22,502.0 <u>)</u>	15,120.7 14,991.6	0.0% 67.8% 66.6%
Total Other Financing Sources (Uses)	(5.4)	(2.7)	(34.5)	(2.3)	(19.2)	(4.7)	(5:9)	(1.9)					(78.6)	(207.7)	129.1	62.2%
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	6,181.1	14,883.0	1,441.2	465.6	(49.2)	1,898.0	(542.8)	(1,060.6)					23,216.3	13,240.2	9,976.1	75.3%
Ending Fund Balance	\$ 24,932.2	\$ 39,815.2	\$ 41,256.4	\$ 41,722.0	\$ 41,672.8	\$ 43,570.8	\$ 43,028.0	\$ 41,967.4					\$ 41,967.4	\$ 27,525.0	\$ 14,442.4	52.5%
(1) Gamanmantal Eunde includes Canaca Spacial Damanua Date Santina and Canital Desirate Eunde combined	Revenue Debt Service	and Canital Draincte	Eunde combined													

STATE OF NEW YORK
GOVERNMENTAL FUNDS
STATEMENT OF CASH FLOW - STATE OPERATING (*)
FISCAL YEAR 2021-2022
(amounts in millions)

% Increase/	3.7%	17.0%	27.1%	23.3%	0.0%	0.0%	33.7%	27.3%	155.1%	63.0%	16.2%	300.0%	-20.3%	24.4%	R7 F92	-7.1%	17.6% -90.3%	10.5%	41.0%	0.0%	45.8%	1,100.0%	76.9%	32.8%		0.1%	-10.0%	-13.3%	300.0%	41.4%	-2.2%	33.0%	5.6%	59.9%	151 2%	28.2%	-58.9%	300 000	%9:00- %9:96
S Increase	\$ 526.1	4,178.8	871.7	169.7)	10,357.3	. 0077	10,787.3	2,456.9	7.6	3.4	0 1	0.0	889	2,447.6	1 671 0	(17.5)	160.9 (148.1)	29.6	1,090.8	100.4	3.3	F	617.2	15,548.9		(14.4)	(41.1)	241.8	9.0	13.2	(12.9)	47.2	21.2	262.7 (525.5)	109.2	397.5	(44.6)	6 6 6 6 6 6 6 6 6 6 6 6 6 6 6 6 6 6 6 6	(4,500.0) 8.6
8 Months Ended November 30	\$ 14,408.3	24,587.8	3,221.9	730.7	0.786,86		31,965.4	8,996.6	4.9	5.4	61.2	188.9	18.7	10,013.7	24769	246.3	914.0	282.4	4,082.0	833.4	7.2	0.1	1,309.9	47,371.6		343.2	410.5	3,956.4	0.2	31.9	586.0	143.2	379.2	438.8 794.8	72.2	1,409.6	75.7		4,500.0 8.9
505	\$ 14,934.4	28,766,6	4,093.6	900.6	48,944.3		42,752.7	11,453.5	12.5	2,890	71.1	190.6	6.41	12,461.3	27	228.8	1,074.9	312.0	5,779.4	933.8	10.5	1.2	1,927.1	62,920.5		343.4	369.4	4,198.2	8:0	45.1	573.1	190.4	4.004	701.5	181	1,807.1	30.3		17.5
T OVE	Dag													-																									_
>0410000	T T T T T T T T T T T T T T T T T T T																		•					1															
2022	NO.																																						
	DECEMBER													-																									
OBOMBYON	\$ 25,241.9	3,963.5	75.2	121.4	4,235.2		3,581.1	1,381.9	(0.1)	8, C	6.5	23.3	0.1	1,492.3	6 99	6.00	38.2	40.5	78.8	92.5	1.1	0.8	214.1	5,316.3		226.3	3.8	527.4	0.3	4.3	9:09	27.9	25.1	128.4	10.2	353.2	4.3	!	2.7
GEGE	\$ 26,636.3	3,459.6	578.5	(242.8)	4,101.2		3,376.4	1,343.7		1.1	9.5	1.52		1,471.1	6	1.2	19.7	40.7	103.1	183.2	1.0	0.	316.8	5,327.4		1.0	92.2	514.7	0.1	5.6	55.6	20.2	32.1	24.2	35.5	192.4	3.6	i	6.8
OBOTHOR	\$ 24,879.7		,	104.2		. 001	6,449.8	1,739.7	7.7	82.8 1.0	10.2	0.1	7.8	1,874.6	'	103.0		33.8	2,000.8	108.7	133.3	0.2	244.2	10,635.4		101.2 34.9	29.7	530.4 44.4	0.1	5.0	124.2	23.4	71.6	145.1 26.1	9	240.9	4.6		
Felicity	\$ 24,196.6			(19.1)	4	. 500	3,801.4	1,334.8		88.3 1.1	7.6	7.77	•	1,456.6	8	(6:0)	52.8	42.2	122.0	100.6	2.1	0.1	243.1	5,623.7		10.9	20.1	536.1	0.1	6.2	6.99	26.0	61.6	92.6	15.2	186.8	3.7		
2	\$ 23,094.9			91.9			3,364.6	1,382.9		4.08 4.00	50 0	/S	0.2	1,516.2	0 720	(6.2)	0.2	43.7	327.0	103.1	1.1	'	236.6	5,445.0		0.9	93.5	526.3	0.1	6.2	50.8	19.9	37.6	9.6 9.6	7.96.7	181.9	3.7	1	5.9
<u> </u>	\$ 20,954.3			(21.6)			5,820.6	1,711.7	5.1	1.1	6.6	7.17	9.9	1,846.7	1,462.1	81.8	373.2 10.2	40.6	6.706,1	108.9	1.1		225.3	9,850.5		0.8 8.1	61.3	544.9	•	7.0	125.0	18.9	79.2	88.0 49.1	17.1	238.9	3.6	!	
> 49	\$ 18,753.4			87.2		. 002.53	9,833.0	1,261.4		1.1	9.8	21.8	. ?	1,369.6	0.00	1.1	(20.1)	39.8	184.1	117.0	1.1		228.3	11,615.0		1.0	5.1	533.9	0.1	5.5	47.4	28.2	43.4	50.4 4.4.4	14.2	213.5	3.8	!	
2021	\$ 14,934.4	3,601.8	913.6	(203:0)	7,808.7	. 000	6,525.8	1,297.4	(0.2)	98.3	7.2	0.53	0.5	1,434.2	780 7	47.9	64.2 17.3	30.7	928.3	119.8	1.3		218.7	9,107.2		£. D.	63.7	484.5	•	5.3	52.6	25.9	49.8	66.9	25	199.5	5.0	i	
	ė	4	2	IS/LLC)	rs I Tax Relief Fund	ue Bond Tax Fund	os issued Total Personal Income Tax	xes:		Products				Id Excise Total Consumption/Use Taxes		ilities		s	ess laxes	S	ă	ions	Employer Compensation Expense Tax Total Other Taxes		ots:	٨			Jaconsilla	ontrol Licensing	lar			ımer Forfeitures			nalities	Authorities:	essments
	Beginning Fund Balance	RECEIPTS: Taxes: Personal Income Tax: Withholdings	Returns	State/City Offsets Other (Assessments/LLC)	Gross Receipt Transfers to School	Transfers to Revenue Bond Tax Fund	refunds issued Total Person	Consumption/Use Taxes: Sales and Use	Auto Rental	Granette/Tobacco Products Cannabis	Motor Fuel	Alcoholic Beverage Highway Use	Vapor Excise	Opioid Excise Total Consur	Business Taxes:	Corporation and Utilities	Insurance Bank	Petroleum Business	Other Taxes:	Real Property Gains Estate and Gift	Pari-Mutuel Real Estate Transfer	Racing and Exhibitions	Employer Compen: Total Other	Total Taxes	Miscellaneous Receipts: Abandoned Property:	Abandoned Property Bottle Bill	Assessments: Business	Medical Care Public Utilities	Other Lineage and Describe	Alcohol Beverage Control Licensing	Audit rees Business/Professional	Civil	Motor Vehicle	Recreational/Consumer Fines, Penalties and Forfeitures	Gaming:	Lottery Video Lottery	Interest Earnings Receipts from Municipalities	Receipts from Public Authorities:	Bond Proceeds Cost Recovery Assessments

STATE OF NEW YORK
GOVERNMENTAL FUNDS
STATTEMENT OF CASH FLOW - STATE OPERATING (*)
FISCAL YEAR 2021-2022
(amounts in millions)

	2021									2022				8 Months Ended November 30	lovember 30 S Increase/	% Increase/
	APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	JANUARY	FEBRUARY	MARCH	2021	2020	(Decrease)	Decrease
Issuance Fees	2.7	2.3	1.7	22.0	9.0		18.6	17					49.0	84.6	(36.6)	-42.1%
Non Bond Related	0.2	. ;	. ;	9.4	0.3	6.43	0.8	9.6					18.8	15.6	3.2	20.5%
Rentals Revenues of State Departments:	47.0	0.2	- - -	7.7	6.01	0.08	4//	-67					798.3	8	7:55	7.28.3.%
Administrative Recoveries	45.8	9.2	36.0	9.8	9.1	23.5	8.7	9,5					149.4	136.1	13,3	%8'6
Commissions	6.0	0.8	0.2	9.0	0.4	1.3	7.0	6:0					5.8	3.1	2.7	87.1%
Commissions - Asset Conversion	. ;	. ;	. :	. ;	. :	. !	. ;	• }					• •	• ;	. !	
Gifts, Grants and Donations	0.7	0.8	9.0	6.0	5.0	7.7	0.1	12.5					17.1	4.16	(14.3)	
Indirect Cost Recoveries Dationt/Client Care Reimburgement	205.8	223.6	214.5	203.3	205.9	251.4	210.5	778.7					1 973.4	2 256 7	0.83.3)	
Rebates	7.4	2.1	7 5 60 5 80	6. 4.	2.50	7.5	8.3	2.6					40.3	41.6	(1.3)	
Restitution and Settlements	1.2	. 8 6 6	7.4	0.6	6.0	3.9	17.2	2.1					43.1	52.0	(8.9)	
Student Loans	2.4	9.9	1.3	1.9	1.4	1.2	1	9.0					19.9	37.2	(17.3)	
All Other	84.7	60.2	29.0	69.9	74.4	33.2	72.4	28.5					482.3	291.6	190.7	
Sales	23	0. 1 0. 4	- 2	E. 6	0.0	3.0	80 5	55.0					20.2	10.4	80.00	
Total Miscellaneous Receipts	1,534.9	1,432.8	1,744.1	1,590.4	1,648.8	2,173.1	1,693.6	1,913.8					13,731.5	17,296.2	(3,564.7)	-13.7%
Padamal Danajah	c			4	900	6	24.4						7.75	25	0 00	103 68/
receipts	70				7000	(6:0)	*	į					÷	9	92.3	R.C.20
Total Receipts	10,642.3	13,047.8	11,594.6	7,037.0	7,301.3	12,808.2	7,055.4	7,230.1					76,716.7	64,699.6	12,017.1	18.6%
DISBURSEMENTS:																
Local Assistance Grants:	200	0000	0	909	1700	0,0	933	1100					11	0000	000	70 24 24
Education Environment and Recreation	0.4.3	3,886.5	5,183.5	1,505.5 0.6	0.62/	2,010,4	1,000,1	7,7/8.8					17,158.0	15,259.8	2888.2	3611%
General Government	13.0	9.99	456.5	(20.3)	59.3	119.3	36.4	56.4					787.2	733.0	54.2	7.4%
Public Health:																
Medicald Other Dublic Health	3,127.9	1,954.6	2,221.1	1,436.8	1,895.5	1,794.8	2,278.1	2,194.2					16,903.0	13,969.0	2,934.0	21.0%
Public Safety	23.8	49.6	39.4	18.0	33.4	40.6	58.9	44.7					308.4	128.0	180.4	140.9%
Public Welfare	43.5	100.5	284.3	318.8	343.4	943.4	1,514.2	111.4					3,659.5	1,651.2	2,008.3	121.6%
Support and Regulate Business	5.53	9.5	211.8	26.7	13.8	27.9	224.3	213.6					732.6	83.9	648.7	773.2%
Total Local Assistance Grants	4,031,6	6,658.1	7.266,9	3.798.1	3.748.9	7.643,8	6.213.4	5.168.4	-	-	-	-	44,529.2	36,987,9	7.541,3	20.4%
Departmental Operations:																
Personal Service	1,107.2	1,131.4	709.8	1,272.6	1,060.8	1,415.6	1,044.4	1,343.1					9,084.9	9,046.2	38.7	0.4%
Non-Personal Service	362.5	470.3	374.0	364.9	511.8	485.6	436.8	463.2					3,469.1	2,706.2	762.9	28.2%
Debt Service, Including Payments on	000	6,040.0	6.004	3.	1.00	9,000	2	000					0.000	2	7: too'i	P 7:0
Financing Agreements Canital Projects	122.4	40.5	(21.8)	7.2	307.7	742.2	9.2	26.0					1,233.4	1,349.2	(115.8)	-8.6%
paode i mucho																
Total Disbursements	6,493.8	10,640.3	8,797.8	5,980.0	6,097.6	10,988.0	8,297.8	7,779.8					65,075.1	55,783.8	9,291.3	16.7%
Excess (Deficiency) of Receipts over Disbursements	4,148.5	2,407.5	2,796.8	1,057.0	1,203.7	1,820.2	(1,242.4)	(549.7)					11,641.6	8,915.8	2,725.8	30.6%
OTHER FINANCING SOURCES (USES):																
Transfers from Other Funds (**) Transfers to Other Funds (**)	4,886.9 (5,216.4)	5,968.8 (6,175.4)	5,640.2 (6,296.4)	3,452.7	2,475.6 (2,996.2)	5,351.0 (5,414.6)	3,154.5	3,045.5					33,975.2 (36,373.3)	20,741.9 (21,125.5)	13,233.3	63.8%
Total Other Financing Sources (Uses)	(329.5)	(206.6)	(656.2)	44.7	(520.6)	(63.6)	(152.0)	(514.3)					(2,398.1)	(383.6)	(2,014.5)	-525.2%
Excess (Deficiency) of Receipts and Other Financing Sources over			į		;										i	į
Disbursements and Other Financing Uses	3,819.0	2,200.9	2,140.6	1,101.7	683.1	1,756.6	(1,394.4)	(1,064.0)			•		9,243.5	8,532.2	711.3	8.3%
Ending Fund Balance	\$ 18,753.4	\$ 20,954.3	\$ 23,094.9	\$ 24,196.6 \$	24,879.7	\$ 26,636.3	\$ 25,241.9	\$ 24,177.9					\$ 24,177.9	\$ 22,940.5	\$ 1,237.4	5.4%

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Beginning Fund Balance RECEPTS: RECEPTS: Personal Income Tax: Rethodistings Estimated Payments Returned Payments Returned Payments		MAY	i		45 804 4		\$ 10.053.7	\$ 18.118.8				1	۱	246.6	
RECEIPTS: The second income Tax: Presonal income Tax: Withholdings Edimand Payments Returns Payments Controls one -	' ∞	9.	\$ 14,356.5 \$	15,464.4 \$	2,00	15,789.3		•				\$ 9,160.8	\$ 8,944.2		2.4%
Personal income Tax: Withholdings Estimated Payments Returns															
Estimated Payments Returns Returns	3,601.8	3,217.7	3,702.6	3,408.2	3,778.4	3,634.8	3,459.6	3,963.5				28,766.6	24,587.8	4,178.8	17.0%
	3,342.2 913.6	6,128.7	2,740.7	147.1	131.1	3,241.9	187.8	119.9				16,039.4	11,012.2	5,027.2 871.7	45.7% 27.1%
outer only others	(203.0)	(264.7)	(21.6)	(31.8)	(19.1)	(28.1)	(242.8)	(44.8)				(855.9)	(965.6)	(109.7)	-11.4%
Gross Receipts	7,808,7	11,353.2	6,672.6	3,680.4	4,062.7	7,030.3	4,101.2	4,235.2				48,944.3	38,587.0	10,357.3	26.8%
Iransfers to School Tax Kellet Fund Transfers to Revenue Bond Tax Fund	(3,262.9)	(4,916.5)	(2,910.3)	(1,682.3)	(1,900.7)	(3,224.9)	(1.688.2)	(1,790.5)				(2.0) (21,376.3)	(1.5) (15,982.7)	0.4 5,393.6	33.7%
Refunds Issued Total Personal Income Tax	3,262.9	(1,520.2) 4,916.5	(852.0)	(315.8)	1,900.7	3,224.9	(724.8)	(654.1)				(6,191.6)	(6,621.6)	(430.0)	-6.5%
Consumption/Use Taxes: Sales and Use	292.8	295.6	403.0	324.5	313.4	408.0	314.6	323.3				2,675.2	4,208.7	(1,533.5)	-36.4%
Auto Rental Cigarette/Tobacco Products	28.6	24.8	. 25.7	. 25.6	26.0	. 26.7	27.6	. 23.8				208.8	218.8	. (10.0)	0.0%
Alcoholic Reverage	0.52	2 . 2	7.	7.90	7.00	, K	; ' &	2 . 2				190.6	9 90	20	0.0%
Alconolic beverage Highway Use	0.67	9 .										9000	6.60	ò'	0.0%
Vapor Excise Opioid Excise	6.7	· 1:0	 - 	7.5		٠.	5.2		ĺ			19.5	22.6	(3.1)	0.0% -13.7%
Total Consumption/Use Taxes Business Taxes:	351.1	342.3	420.4	387.3	362.1	460.0	370.5	370.4			•	3,094.1	4,640.0	(1,545.9)	-33.3%
Corporation Franchise Corporation and Utilities	613.8	66.2	1,187.8	213.6	19.9	1,216.4	48.5	(77.4)				3,288.8	1,955.4	1,333.4	68.2%
Insurance Bank	73.0	8. £	328.4	13.4	48.0	403.5	11.0	8.8 8.4				966.9	819.0	147.9	18.1%
Petroleum Business Total Business Taxes	729.6	104.5	1.586.4	738.7	67.1	1,707.9	70.4	(42.2)	-	-		4.452.4	3.106.6	1.345.8	0.0%
Other Taxes:								-							2000
Real Property Gains Estate and Gift Desi: Mitthell	119.8	117.0	108.9	103.1	100.6	108.7	183.2	92.5				933.8	833.4	100.4	12.0%
Real Estate Period Paristin					5		. 3						! . ?	3 - 7	0.0%
racing and exhibitions Employer Compensation Expense Tax	0.1	. 10	0.1	0.2	0.1	7 0 0 7	0.2	0.2				1.2	0.6	0.6	1,100.0%
Total Other Taxes	121.2	118.2	110.1	104.4	102.9	110.8	184.5	94.6				946.7	841.3	105.4	12.5%
Total Taxes	4,464.8	5,481.5	5,057.2	2,402.7	2,432.8	5,503.6	2,312.4	2,212.6				29,867.6	24,569.0	5,298.6	21.6%
Miscellaneous Receipts: Abandoned Property: Abandoned Property:	ō				900	9		i i				F 200	0 160	ģ	9
Abortee Tripperly Approximate:	0.0	0.3	- 8:	2.0	0.2	8 8 8	. <u>t.</u>	0.7				48.7	63.1	(14.4)	-22.8%
Assessments. Business Madical Casa	. •	, 0	. ;	•				. "				. 00	. 2	. 5	0.0%
Public Utilities	₽.	3 - 3		?		ì ·	;					2	2 . 6	6	0.0%
Orner Fees, Licenses and Permits:		5		5	5		5					4.0	0.7	0.7	00.0%
Alcohol Beverage Control Licensing Audit Fees	. 5.3	9.9	7.0	6.2	6.2	9.0	9.9	6				45.1	31.9	13.2	41.4% 0.0%
Business/Professional Civil	14.0 20.8	20:7	33.9 13.9	12.2 15.9	4.4 19.5	28.6	16.9 4.4	23.1 23.1				141.3	122.2	19.1 34.6	15.6% 30.5%
Criminal Motor Vehicle	20.2	15.6	0.1 54.9	20.7	32.0	29.7	17.8	0.2				1.0	0.8	0.2	25.0%
Recreational/Consumer	1.2	9.0	3.0	4. c	2.7	2.0	6.7	4 5				16.6	6.5	10.1	155.4%
Interest Earnings	1.5	0.6	0.5	0.5	0.5	90	0.7	8:0				5.57	30.6	(24.9)	-81.4%
Receipts from Municipalities Receipts from Public Authorities:		,	,			L'i		•				ő	- 0		0.0%
Bond Proceeds Cost Recovery Assessments													4,500.0	(4,500:0)	-100.0%
Issuance Fees Non Bond Related				21.5	9.0		18.6	Ξ.				41.8	77.4	(35.6)	-46.0% 0.0%
Rentals Revenues of State Departments:	0.2	0.1	0.1	0.1	0.1	0.1	0.2	0.3				1.2	F.	0.1	9.1%
Administrative Recoveries Commissions	0.8	0.3	15.2 (0.4)	0.2	0.5	15.2 0.9	0.4	0.3				32.9	33.8	(0.9)	-2.7% 1,200.0%
Gifts, Grants and Donations Indirect Cost Recoveries	- 4.9	5.7	6.3	- 20	0.3 6.0	7.5	5.3	12.3 9.8				12.6	46.5	12.6	100.0%
Patient/Client Care Reimbursement Rebates	38.3	1.6	6.49 6.49	54.6	(4.0)	5.8	(20.2)	(6.5)				19.7	(14.0)	33.7	240.7%
Restitution and Settlements			0.2									0.2	0.5	(0.3)	-60.0%

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(amounts in millions)														8 Months Ended November 30	lovember 30	
	2021 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2022 JANUARY	FEBRUARY	MARCH	2021	2020	\$ Increase/ (Decrease)	% Increase/ Decrease
Student Loans All Other	20.1	23.1	- 1.6	10.0	22.9	(14.4)	35.7	(14.1)					84.9	54.4	30.5	0.0%
Sales Total Miscellaneous Receipts	172.5	140.1	131.0	154.0	122.5	256.5	107.3	311.3					1,395.2	4.6 6,318.6	(4,923.4)	-95.7%
Federal Receipts	0.2			0.1		(0.3)								0.2	(0.2)	-100.0%
Total Receipts	4,637.5	5,621.6	5,188.2	2,556.8	2,555.3	5,759.8	2,419.7	2,523.9		.			31,262.8	30,887.8	375.0	1.2%
DISBURSEMENTS: Local Assistance Grants:																
Education Environment and Recreation	623.4	3,899.2	2,835.3	1,505.5	723.3	1,656.9	1,437.9	1,664.4					14,245.9	13,350.1	895.8	6.7%
General Construction of the Construction of th	2.9	48.6	450.8	(37.0)	34.2	109.4	34.3	20.0					663.2	637.1	26.1	4.1%
Medicaid	2,741.0	1,493.2	1,799.2	995.7	1,432.0	1,322.6	1,782.1	1,551.8					13,117.6	10,288.4	2,829.2	27.5%
Other Public Health	47.9	102.1	400.8	156.4	113.6	317.5	185.9	145.1					1,469.3	1,480.0	(10.7)	-0.7%
Public Welfare	43.3	100.3	283.8	318.8	343.2	943.2	1,514.0	110.7					3,657.3	1,650.2	2,007.1	121.6%
Support and Regulate Business	5.0	7.0	210.4	25.3	11.7	13.1	222.0	212.6					707.1	47.1	0.099	1,401.3%
Transportation Total Local Assistance Grants	3,377.5	5,704.1	8.800,9	2,971.3	2,693.7	4,382.2	5,217.4	3,739.1			٠	.	34,094.1	27,549.3	6,544.8	23.8%
Departmental Operations:	707 6	725.4	382.2	863.2	673.4	820.2	665.4	872.9					5 710.3	5 600 7	109.6	2.0%
Non-Personal Service General State Charges	136.7	234.2	183.0 392.3	118.9	240.9	248.1	228.6	208.8					1,599.2	1,026.1	573.1 973.2	55.9%
Total Disbursements	5,032.1	8,940.3	6,966.3	4,372.6	4,010.4	6,062.4	6,642.6	5,465.5					47,492.2	39,291.5	8,200.7	20.9%
Excess (Deficiency) of Receipts over Disbursements	(394.6)	(3,318.7)	(1,778.1)	(1,815.8)	(1,455.1)	(302.6)	(4,222.9)	(2,941.6)					(16,229.4)	(8,403.7)	(7,825.7)	-93.1%
OTHER FINANCING SOURCES (USES):																
Transfers from Revenue Bond Tax Fund Transfers from I CAC / STB RTS	3,262.7	4,916.9	2,958.2	1,411.3	1,149.9	3,306.7	1,688.4	1,615.4					20,309.5	12,194.8	8,114.7	66.5%
Transfers from CW/CA Fund	8.98	0.01	115.1	128.7	136.6	129.8	128.6	115.9					951.5	435.2	516.3	118.6%
Transfers to State Capital Projects	(485.7)	(341.4)	(715.6)	(296.3)	(573.0)	(414.2)	(240.7)	(591.5)					(3,658.4)	(1,767.4)	1,891.0	107.0%
Iransiers to All Orner Capital Projects Transiers to General Debt Service Transiers to All Other State Funds	(162.6)	21.3 (84.1)	(100.5) 21.2 (917.2)	(55.7) (369.5)	7.7	(11.8) (16.9)	(62.5) (91,0)	(14:0) 5.1 (171.5)					(227.3)	(165.3) (165.3) (1,727.9)	(100.8) 62.0 363.1	-34.0% 37.5% 20.4%
Total Other Financing Sources (Uses)	3,451.4	5,457.6	2,886.0	1,952.5	1,643.3	4,467.0	2,388.0	2,007.6					24,253.4	13,062.2	11,191.2	85.7%
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	3,056.8	2,138.9	1,107.9	136.7	188.2	4,164.4	(1,834.9)	(934.0)					8,024.0	4,658.5	3,365.5	72.2%
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	2021									2022			Intra-Fund Transfer	8	8 Months Ended November 30 S Increase/	- 1	, Increase/
Occination Count Delease	APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	JANUARY	FEBRUARY	MARCH	Eliminations (*)	2021	2020	i.	Decrease 60.00
Beginning Fund Balance	\$ 10,009.3		79,502.7														%0.60
AECEP1S: Taxes:																	
Personal Income Tax	•			•			1.2	0.8					•	2:0	1.6	0.4	25.0%
Consumption Cose Taxes. Sales and Use	109.2	80.5	101.6	86.9	83.3	109.0	86.3	9.68						746.4	582.3	164.1	28.2%
Auto Rental	(0.2)	. 4	5.1	, or	. 69	7.7	- 60 7	(0.1)						12.5	404.9	7.6	155.1%
Cannabls	1.5		1.5	6.0	1.1	1.0	1.1	1.0						8.8	5.5	3.4	63.0%
Motor Fuel	7.2	8.6	6.6	9.5	9.7	10.2	9.6	6.5					•	71.1	61.2	6.6	16.2%
Alcoholic Deverage Highway Use	. 0.			0.1		. 0.1	0.2	0.7						-12	0.3	- 0.9	300.0%
Vapor Excise	0.2		9'9	0.2		7.8		1.0						14.9	18.7	(3.8)	-20.3%
Total Consumption/Use Taxes	187.7	142.0	189.2	157.4	156.4	191.9	157.8	152.9						1,335.3	1,168.1	167.2	14.3%
Corporation Franchise	154.6	39.8	264.3	60.4	8.6	260.7	43.5	27.1						859.0	520.5	338.5	65.0%
Corporation and Utilities	19.3	9.0	18.1	(7.7)	(0.1)	18.9	(7.0)	1.0					•	48.4	0.09	(11.6)	-19.3%
Insurance	(8.8)	5.5	8,48	2.5	8.4	50.1	8.7	4. £. €						108.0	95.0	13.0	13.7%
Petroleum Business	30.7	39.8	40.6	43.7	42.2	33.8	40.7	40.5						312.0	282.4	29.6	10.5%
Total Business Taxes	198.9	79.6	3/1.5	6.86	99.9	358.9	92.7	71.0	i				1	1,327.0	976.0	351.0	36.0%
Total Taxes	386.6	221.6	2095	256.3	211.9	920.8	251.7	224.7						2,664.3	2,145.7	518.6	24.2%
Miscellaneous Receipts:																	
Abandoned Property: Abandoned Property	6.0	1.0	0.8	6.0	6.0	1.2	1.0	1.3						8.0	7.3	0.7	9.6%
Assessments:	000			8		ò	6							0007	424.0	000	80
Medical Care	482.7	530.1	540.7	526.3	530.0	527.7	514.7	525.1						4,177.3	3,934.5	242.8	6.2%
Public Utilities	1.5		0.7		0.1	4.44	(0.7)	(12.2)					•	33.8	39.0	(5.2)	-13.3%
Fees, Licenses and Permits:						5		2					•	t o		t o	8000
Audit Fees	900	. 96	. 10	7.0	1.5	0.1	. 00	. 0					•	2.3	2.1	0.2	96.50 86.00
CMI	5.1	5.4	0.5	4.0	6.5	5.7	5.8	8.4						42.3	29.7	12.6	42.4%
Criminal Medion Vehicle	6.0	0.2	0.8	0.2	4.00	0.1	0.3	0.4						3.3	2.8	0.0	17.9%
Recreational/Consumer	65.7	49.6	83.0	56.5	6.68	143.1	72.9	124.2						684.9	432.3	252.6	58.4%
Fines, Penalties and Forfeitures	6.5	9.1	12.2	6.1	11.3	6.4	12.8	10.1					•	74.5	106.1	(31.6)	-29.8%
Casino Casino	34.2	14.2	17.1	35.7	15.2	19.3	35.5	10.2						181.4	72.2	109.2	151.2%
Lottery	199.5	213.5	238.9	181.9	186.8	240.9	192.4	353.2					•	1,807.1	1,409.6	397.5	28.2%
Interest Earnings	E. 4	3.7	8.E	4.0	0.14	3.6	3.7	0.4						31.5	57.6	(26.1)	-45.3%
Receipts from Municipalities	6.7	1.9	6.4	3.2	1.4	5.1	3.4	1.2					•	27.8	30.2	(2.4)	-7.9%
Receipts from Public Authorities: Bond Proceeds	•	,	•	•	•	٠	•	•					•	•		•	%0.0
Cost Recovery Assessments	. !	. ;	. ;	6.0			8.9	2.7						17.5	6.8	9.6	%9°96
Issuance rees Non Bond Related	0.2	5,	/:	4.6	0.3	4.3	0.8	9.8						18.8	15.6	3.2	20.5%
Rentals	41.8	1.9	9.0	2.1	16.4		77.2	24.8					•	258.1	64.0	194.1	303.3%
Revenues of State Departments: Administrative Recoveries	45.0	6.8	20.8	8,4	8.6			8.2						116.5	102.3	14.2	13.9%
Commissions	0.5	9.0	9.0	9.0	0.4			6.0					•	4.7	3.2	1.5	46.9%
Commissions - Asset Conversion Giffs, Grants and Donations	0.7	. 0.8	0.5	0.3	0.2			0.2						4.5	31.4	(26.9)	-85.7%
Indirect Cost Recoveries			. ;					4.5					•	4.6		. 4.6	100.0%
Patient/Client Care Keim bursement Rebates	214.3	7.9	14.2	12.3	8.08 8.0			7.1						1,647.3	97.1	(5.9) (5.9)	-17.5%
Restitution and Settlements	1.5	80 K	7.2	9.0	0.0			2.1					•	42.9	51.5	(8.6)	-16.7%
All Other	64.8	38.6	58.5	60.4	53.4	47.7	37.6	42.7						403.7	238.5	165.2	69.3%
Sales	2.2	0.5	1.7	1.2	204.0			313						20.0	5.8	14.2	244.8%
Total Miscellaneous Receipts	1,332.5	1,299.0	1,574.5	1,412.4	1,533.1			1,552.3						12,162.1	10,841.1	1,321.0	12.2%
Federal Receipts	7,158.4	18,222.9	7,375.0	5,735.7	5,788.6	7,398.1	7,073.5	5,695.5						64,447.7	53,281.3	11,166.4	21.0%
	0 077 6	40.749.5	6	7 404 4	2 693 7	0 000	9 500 0	2 472 5						70 074 4	, 000		

EXHIBIT G

STATE OF NEW YORK SPECIAL REVENUE FUNDS - COMBINED	STATEMENT OF CASH FLOW	FISCAL YEAR 2021-2022	(amounte in millione)
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House Hous														mra-rund		8 Months Ended November 30	dovernoer ou	
Harding Hard		2021 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2022 JANUARY	FEBRUARY	MARCH	Transfer Eliminations (*)	2021	2020	\$ Increase/ (Decrease)	% Increase/ Decrease
1, 1, 1, 1, 1, 1, 1, 1, 1, 1, 1, 1, 1,	DISBURSEMENTS:																	
1,155, 1,155, 1,155, 1,155, 1,155, 1,155, 1,155, 1,155, 1,155, 1,155, 1,155, 1,155, 1,155, 1,155, 1,155, 1,155, 1,155, 1,155, 1,155, 1,155, 1,155, 1,155, 1,155, 1,155, 1,155, 1,155, 1,155, 1,155, 1,155, 1,155, 1,155, 1,155, 1,155, 1,155, 1,155, 1,155, 1,155, 1,155, 1,155, 1,155, 1,155, 1,155, 1,155, 1,155, 1,155, 1,155, 1,155, 1,155, 1,155, 1,155, 1,155, 1,155, 1,155, 1,155, 1,155, 1,155, 1,155, 1,155, 1,155, 1,155, 1,155, 1,155, 1,155, 1,155, 1,155, 1,155, 1,155, 1,155, 1,155, 1,155, 1,155, 1,155, 1,155, 1,155, 1,155, 1,155, 1,155, 1,155, 1,155, 1,155, 1,155, 1,155, 1,155, 1,155, 1,155, 1,155, 1,155, 1,155, 1,155, 1,155, 1,155, 1,155, 1,155, 1,155, 1,155, 1,155, 1,155, 1,155, 1,155, 1,155, 1,155, 1,155, 1,155, 1,155, 1,155, 1,155, 1,155, 1,155, 1,155, 1,155, 1,155, 1,155, 1,155, 1,155, 1,155, 1,155, 1,155, 1,155, 1,155, 1,155, 1,155, 1,155, 1,155, 1,155, 1,155, 1,155, 1,155, 1,155, 1,155, 1,155, 1,155, 1,155, 1,155, 1,155, 1,155, 1,155, 1,155, 1,155, 1,155, 1,155, 1,155, 1,155, 1,155, 1,155, 1,155, 1,155, 1,155, 1,155, 1,155, 1,155, 1,155, 1,155, 1,155, 1,155, 1,155, 1,155, 1,155, 1,155, 1,155, 1,155, 1,155, 1,155, 1,155, 1,155, 1,155, 1,155, 1,155, 1,155, 1,155, 1,155, 1,155, 1,155, 1,155, 1,155, 1,155, 1,155, 1,155, 1,155, 1,155, 1,155, 1,155, 1,155, 1,155, 1,155, 1,155, 1,155, 1,155, 1,155, 1,155, 1,155, 1,155, 1,155, 1,155, 1,155, 1,155, 1,155, 1,155, 1,155, 1,155, 1,155, 1,155, 1,155, 1,155, 1,155, 1,155, 1,155, 1,155, 1,155, 1,155, 1,155, 1,155, 1,155, 1,155, 1,155, 1,155, 1,155, 1,155, 1,155, 1,155, 1,155, 1,155, 1,155, 1,155, 1,155, 1,155, 1,155, 1,155, 1	Local Assistance Grants:														_			
1, 1, 1, 1, 1, 1, 1, 1, 1, 1, 1, 1, 1,	Education	273.7	478.2	1,195.4	384.0	684.6	2,704.5	762.6	516.2						6,999.2	4,992.6	2,006.6	40.2%
1, 1, 1, 1, 1, 1, 1, 1, 1, 1, 1, 1, 1,	Environment and Recreation	9.4	0.3	1.0	9:0	9.0	0.2	•	0.4					•	2.8	2.2	9.0	27.3%
Harding black bl	General Government	21.3	39.9	15.7	455.2	35.8	26.0	3.8	41.5						639.2	4,215.3	(3,576.1)	-84.8%
1, 1, 1, 1, 1, 1, 1, 1, 1, 1, 1, 1, 1,	Public Health:														_			
1,000, 1,000, 1,000, 1,000, 1,000, 1,000, 1,000, 1,000, 1,000, 1,000, 1,000, 1,000, 1,000, 1,000, 1,000, 1,000, 1,000, 1,000, 1,000, 1,000, 1,000, 1,000, 1,000, 1,000, 1,000, 1,000, 1,000, 1,000, 1,000, 1,000, 1,000, 1,000, 1,000, 1,000, 1,000, 1,000, 1,000, 1,000, 1,000, 1,000, 1,000, 1,000, 1,000, 1,000, 1,000, 1,000, 1,000, 1,000, 1,000, 1,000, 1,000, 1,000, 1,000, 1,000, 1,000, 1,000, 1,000, 1,000, 1,000, 1,000, 1,000, 1,000, 1,000, 1,000, 1,000, 1,000, 1,000, 1,000, 1,000, 1,000, 1,000, 1,000, 1,000, 1,000, 1,000, 1,000, 1,000, 1,000, 1,000, 1,000, 1,000, 1,000, 1,000, 1,000, 1,000, 1,000, 1,000, 1,000, 1,000, 1,000, 1,000, 1,000, 1,000, 1,000, 1,000, 1,000, 1,000, 1,000, 1,000, 1,000, 1,000, 1,000, 1,000, 1,000, 1,000, 1,000, 1,000, 1,000, 1,000, 1,000, 1,000, 1,000, 1,000, 1,000, 1,000, 1,000, 1,000, 1,000, 1,000, 1,000, 1,000, 1,000, 1,000, 1,000, 1,000, 1,000, 1,000, 1,000, 1,000, 1,000, 1,000, 1,000, 1,000, 1,000, 1,000, 1,000, 1,000, 1,000, 1,000, 1,000, 1,000, 1,000, 1,000, 1,000, 1,000, 1,000, 1,000, 1,000, 1,000, 1,000, 1,000, 1,000, 1,000, 1,000, 1,000, 1,000, 1,000, 1,000, 1,000, 1,000, 1,000, 1,000, 1,000, 1,000, 1,000, 1,000, 1,000, 1,000, 1,000, 1,000, 1,000, 1,000, 1,000, 1,000, 1,000, 1,000, 1,000, 1,000, 1,000, 1,000, 1,000, 1,000, 1,000, 1,000, 1,000, 1,000, 1,000, 1,000, 1,000, 1,000, 1,000, 1,000, 1,000, 1,000, 1,000, 1,000, 1,000, 1,000, 1,000, 1,000, 1,000, 1,000, 1,000, 1,000, 1,000, 1,000, 1,000, 1,000, 1,000, 1,000, 1,000, 1,000, 1,000, 1,000, 1,000, 1,000, 1,000, 1,000, 1,000, 1,000, 1,000, 1,000, 1,000, 1,000, 1,000, 1,000, 1,000, 1,000, 1,000, 1,000, 1,000, 1,000, 1,000, 1,000, 1,000, 1,000, 1,000, 1,000, 1,000, 1,000, 1,000, 1,000, 1,000, 1,000, 1,000, 1,000, 1,000, 1,000, 1,000, 1,000, 1,000, 1,000, 1,000, 1,000, 1,000, 1,000, 1,000, 1,000, 1,000, 1,000, 1,000, 1,000, 1,000, 1,000, 1,000, 1,000, 1,000, 1,000, 1,000, 1,000, 1,000, 1,000, 1,000, 1,000, 1,000, 1,000, 1,000, 1,000, 1,000, 1,000, 1,000, 1,000, 1,000, 1,000, 1,000, 1,000, 1,000, 1,000, 1,000, 1,000, 1,000, 1,000,	Medicaid	3,758.1	4,201.9	4,750.7	3,703.4	4,444.2	4,182.8	4,756.6	4,317.1						34,114.8	33,057.7	1,057.1	3.2%
152 2006 1586 2021 1586 2021 1586 2021 1586 2021 1586 2022 1586 2022 1586 2022 1586 2022 1586 2022 1586 2022 1586 2022 1586 2022 1586 2022 1586 2022 1586 2022 1586 2022 1586 2022 1586 2022 1586 2022 1586 2022 1586 2022 1586 2022 1586 2022 2022 2022 2022 2022 2022 2022 2022 2022 2022 2022 2022 2022 2022 2022 2022 2022 2022 2022 2022 2022 2022 2022 2022 2022 2022 2022 2022 2022 2022 2022 2022 2022 2022 2022 2022 2022 2022 2022 2022 2022 2022 2022 2022 2022 2022 2022 2022 2022 2022 2022 2022 2022 2022 2022 2022 2022 2022 2022 2022 2022 2022 2022 2022 2022 2022 2022 2022 2022 2022 2022 2022 2022 2022 2022 2022 2022 2022 2022 2022 2022 2022 2022 2022 2022 2022 2022 2022 2022 2022 2022 2022 2022 2022 2022 2022 2022 2022 2022 2022 2022 2022 2022 2022 2022 2022 2022 2022 2022 2022 2022 2022 2022 2022 2022 2022 2022 2022 2022 2022 2022 2022 2022 2022 2022 2022 2022 2022 2022 2022 2022 2022 2022 2022 2022 2022 2022 2022 2022 2022 2022 2022 2022 2022 2022 2022 2022 2022 2022 2022 2022 2022 2022 2022 2022 2022 2022 2022 2022 2022 2022 2022 2022 2022 2022 2022 2022 2022 2022 2022 2022 2022 2022 2022 2022 2022 2022 2022 2022 2022 2022 2022 2022 2022 2022 2022 2022 2022 2022 2022 2022 2022 2022 2022 2022 2022 2022 2022 2022 2022 2022 2022 2022 2022 2022 2022 2022 2022 2022 2022 2022 2022 2022 2022 2022 2022 2022 2022 2022 2022 2022 2022 2022 2022 2022 2022 2022 2022 2022 2022 2022 2022 2022 2022 2022 2022 2022 2022 2022 2022 2022 2022 2022 2022 2022 2	Other Public Health	928.9	556.9	913.7	708.0	758.1	864.3	660.2	612.4						5,632.5	4,788.8	843.7	17.6%
1892 2006 2006 2006 2006 2006 2006 2006 2006 2006 2006 2006 2006 2006 2006 2006 2006 2006 2006 2006 2006 2006 2006 2006 2006 2006 2006 2006 2006 2006 2006 2006 2006 2006 2006 2006 2006 2006 2006 2006 2006 2006 2006 2006 2006 2006 2006 2006 2006 2006 2006 2006 2006 2006 2006 2006 2006 2006 2006 2006 2006 2006 2006 2006 2006 2006 2006 2006 2006 2006 2006 2006 2006 2006 2006 2006 2006 2006 2006 2006 2006 2006 2006 2006 2006 2006 2006 2006 2006 2006 2006 2006 2006 2006 2006 2006 2006 2006 2006 2006 2006 2006 2006 2006 2006 2006 2006 2006 2006 2006 2006 2006 2006 2006 2006 2006 2006 2006 2006 2006 2006 2006 2006 2006 2006 2006 2006 2006 2006 2006 2006 2006 2006 2006 2006 2006 2006 2006 2006 2006 2006 2006 2006 2006 2006 2006 2006 2006 2006 2006 2006 2006 2006 2006 2006 2006 2006 2006 2006 2006 2006 2006 2006 2006 2006 2006 2006 2006 2006 2006 2006 2006 2006 2006 2006 2006 2006 2006 2006 2006 2006 2006 2006 2006 2006 2006 2006 2006 2006 2006 2006 2006 2006 2006 2006 2006 2006 2006 2006 2006 2006 2006 2006 2006 2006 2006 2006 2006 2006 2006 2006 2006 2006 2006 2006 2006 2006 2006 2006 2006 2006 2006 2006 2006 2006 2006 2006 2006 2006 2006 2006 2006 2006 2006 2006 2006 2006 2006 2006 2006 2006 2006 2006 2006 2006 2006 2006 2006 2006 2006 2006 2006 2006 2006 2006 2006 2006 2006 2006 2006 2006 2006 2006 2006 2006 2006 2006 2006 2006 2006 2006 2006 2006 2006 2006 2006 2006 2006 2006 2006 2006 2006 2006 2006 2006 2006 2006 2006 2006 2006 2006 2006	Public Safety	123.2	108.0	158.6	208.7	85.3	205.2	156.1	96.1					•	1,141.2	1,420.0	(278.8)	-19.6%
tell business 20.5 2.2 1.8 3.5 4.15 2.75 4.25 4.15 2.75 4.15 2.75 4.15 2.75 4.15 2.75 4.15 2.75 4.15 2.75 4.15 2.75 4.15 2.75 4.15 2.75 4.15 2.75 4.15 2.75 4.15 2.75 4.15 2.75 4.15 2.75 4.15 2.75 4.15 2.75 4.15 4.15 4.15 4.15 4.15 4.15 4.15 4.15 4.15 4.15 4.15 4.15 4.15 4.15 4.15 4.15 4.15 4.15 4.15 4.15 4.15 4.15 4.15 4.15 4.15 4.15 4.15 4.15 4.15 4.15 4.15 4.15 4.15 4.15 4.15 4.15 4.15 4.15 4.15 4.15 4.15 4.15 4.15 4.15 4.15 4.15 4.15 4.15 4.15 4.15 4.15 4.15	Public Welfare	158.7	200.6	496.6	632.1	691.0	1,389.6	717.1	570.0						4,855.7	2,368.9	2.486.8	105.0%
sistance Grants 5,014 2,1994 2,9904 2,1756 2,2776 2,2776 2,2776 2,2776 2,2776 2,2776 2,2776 2,2776 2,2776 2,2776 2,2776 2,2776 2,2776 2,2776 2,2776 2,2776 2,2776 2,2776 2,2776 2,2776 2,2776 2,2776 2,2776 2,2776 2,2776 2,2776 2,2776 2,2776 2,2776 2,2776 2,2776 2,2776 2,2776 2,2776 2,2776 2,2776 2,2776 2,2776 2,2776 2,2776 2,2776 2,2776 2,2776 2,2776 2,2776 2,2776 2,2776 2,2776 2,2776 2,2776 2,2776 2,2776 2,2776 2,2776 2,2776 2,2776 2,2776 2,2776 2,2776 2,2776 2,2776 2,2776 2,2776 2,2776 2,2776 2,2776 2,2776 2,2776 2,2776 2,2776 2,2776 2,2776 2,2776 2,2776 2,2776 2,2776 2,2776 2,2776 2,2776 <th< td=""><td>Support and Regulate Business</td><td>0.5</td><td>2.2</td><td>1.8</td><td>3.6</td><td>5,3</td><td>14.9</td><td>3.0</td><td>3.0</td><td></td><td></td><td></td><td></td><td>•</td><td>34.3</td><td>42.8</td><td>(8.5)</td><td>-19.9%</td></th<>	Support and Regulate Business	0.5	2.2	1.8	3.6	5,3	14.9	3.0	3.0					•	34.3	42.8	(8.5)	-19.9%
sighter of outside \$1682 \$72824 \$72824 \$72824 \$72824 \$72824 \$72824 \$72824 \$72824 \$72824 \$72824 \$72824 \$72824 \$72824 \$72824 \$72824 \$72824 \$72824 \$72824 \$72824 \$72824 \$72824 \$72824 \$72824 \$72824 \$72824 \$72824 \$72824 \$72824 \$72824 \$72824 \$72824 \$72824 \$72824 \$72824 \$72824 \$72824 \$72824 \$72824 \$72824 \$72824 \$72824 \$72824 \$72824 \$72824 \$72824 \$72824 \$72824 \$72824 \$72824 \$72824 \$72824 \$72824 \$72824 \$72824 \$72824 \$72824 \$72824 \$72824 \$72824 \$72824 \$72824 \$72824 \$72824 \$72824 \$72824 \$72824 \$72824 \$72824 \$72824 \$72824 \$72824 \$72824 \$72824 \$72824 \$72824 \$72824 \$72824 \$72824 \$72824 \$72824 \$72824 \$72824	Transportation	201.4	411.2	287.4	303.2	415.5	277.5	287.0	518.7						2 701 9	2.135.9	566.0	26.5%
one: 450.6 456.9 456.9 456.9 456.9 456.9 456.9 456.9 456.9 456.9 456.9 456.9 456.9 456.9 456.9 456.9 456.9 456.9 456.9 456.9 456.9 456.9 456.9 456.9 456.9 456.9 456.9 456.9 456.9 456.9 456.9 456.9 456.9 456.9 456.9 456.9 456.9 456.9 456.9 456.9 456.9 456.9 456.9 456.9 456.9 456.9 456.9 456.9 456.9 456.9 456.9 456.9 456.9 456.9 456.9 456.9 456.9 456.9 456.9 456.9 456.9 456.9 456.9 456.9 456.9 456.9 456.9 456.9 456.9 456.9 456.9 456.9 456.9 456.9 456.9 456.9 456.9 456.9 456.9 456.9 456.9 456.9 456.9 456.9 456.9 456.9 456.9	Total Local Assistance Grants	5,096.2	5,999.2	7,820.0	6,398.8	7,120.6	9,665.0	7,346.4	6,675.4	-		-			56,121.6	53,024.2	3,097.4	%8'5
ce 420.6 426.5 426.5 426.5 426.5 426.5 426.5 426.5 426.5 426.5 426.5 426.5 426.5 426.5 426.5 426.5 426.5 426.5 426.5 426.5 426.5 426.5 426.5 426.5 426.5 426.5 426.5 426.5 426.5 426.5 426.5 426.5 426.5 426.5 426.5 426.5 426.5 426.5 426.5 426.5 426.5 426.5 426.5 426.5 426.5 426.5 426.5 426.5 426.5 426.5 426.5 426.5 426.5 426.5 426.5 426.5 426.5 426.5 426.5 426.5 426.5 426.5 426.5 426.5 426.5 426.5 426.5 426.5 426.5 426.5 426.5 426.5 426.5 426.5 426.5 426.5 426.5 426.5 426.5 426.5 426.5 426.5 426.5 426.5 426.5 426.5 426.5 42	Departmental Operations:																	
se size 382.5 333.6 681.9 382.4 443.6 473.5 443.6 473.5 349.6 349.6 349.6 349.6 349.6 349.6 349.6 349.6 349.6 349.6 349.6 349.6 349.6 349.6 349.6 349.6 349.6 349.6 349.6 349.6 349.6 349.6 349.6 349.6 349.6 349.6 349.6 349.6 349.6 349.6 349.6 349.6 349.6 349.6 349.6 349.6 349.6 349.6 349.6 349.6 349.6 349.6 349.6 349.6 349.6 349.6 349.6 349.6 349.6 349.6 349.6 349.6 349.6 349.6 349.6 349.6 349.6 349.6 349.6 349.6 349.6 349.6 349.6 349.6 349.6 349.6 349.6 349.6 349.6 349.6 349.6 349.6 349.6 349.6 349.6 349.6 349.6 349.6 349.6 <	Personal Service	450.6	456.9	785.3	473.5	439.9	666.1	433.7	559.4						4,265.4	4,290.2	(24.8)	%9.0-
spanner of	Non-Personal Service	382.5	339.6	681.9	382.4	478.7	440.3	444.6	479.5					•	3,629.5	3,499.8	129.7	3.7%
State Stat	General State Charges	85.2	90.4	233.0	226.6	106.1	120.0	90.1	185.1						1,136.5	950.1	186.4	19.6%
Heath	Debt Service, Including Payments on														_			
Precipits 2.85.9 6,014.5 6,014.5 6,014.5 7,481.3 10,391.4 8,314.8 7,899.4 7,899.4 7,899.4 7,899.4 7,899.4 7,899.4 7,899.4 7,899.4 7,199.8 7,199.8 7,199.8 7,199.8 7,199.8 7,199.8 7,199.8 7,199.8 7,199.8 7,199.8 7,199.8 7,199.8 7,199.8 7,199.8 7,199.8 7,199.8 7,199.8 7,199.8 7,199.8 7,199.8 7,199.8 7,199.8 7,199.8 7,199.8 7,199.8 7,199.8 7,199.8 7,199.8 7,199.8 7,199.8 7,199.8 7,199.8 7,199.8 7,199.8 7,199.8 7,199.8 7,199.8 7,199.8 7,199.8 7,199.8 7,199.8 7,199.8 7,199.8 7,199.8 7,199.8 7,199.8 7,199.8 7,199.8 7,199.8 7,199.8 7,199.8 7,199.8 7,199.8 7,199.8 7,199.8 7,199.8 7,199.8 7,199.8 7,199.8 7,199.8 7,199.8 7,199.8 7,199.8 7,199.8 7,199.8	Financing Agreements			42.3										•	42.3	•	42.3	100.0%
Readings 2,863.0 1,461.2 8,146.2 1,289.4 7,899.4 2,899.4 2,899.4 2,899.4 2,899.4 2,899.4 2,899.4 2,899.4 2,899.4 2,899.4 2,899.4 2,899.4 2,899.4 2,899.4 2,899.4 2,899.4 2,899.4 2,899.4 2,899.4 2,899.4 2,899.4 2,899.4 2,899.4 2,899.4 2,899.4 2,899.4 2,899.4 2,899.4 2,899.4 2,899.4 2,899.4 2,899.4 2,899.4 2,899.4 2,899.4 2,899.4 2,899.4 2,899.4 2,899.4 2,899.4 2,899.4 2,899.4 2,899.4 2,899.4 2,899.4 2,899.4 2,899.4 2,899.4 2,899.4 2,899.4 2,899.4 2,899.4 2,899.4 2,899.4 2,899.4 2,899.4 2,899.4 2,899.4 2,899.4 2,899.4 2,899.4 2,899.4 2,899.4 2,899.4 2,899.4 2,899.4 2,899.4 2,899.4 2,899.4 2,899.4 2,899.4 2,899.4 2,899.4 2,899.4 2,899.4 2,899.4 <	Capital Projects								j						Ī	2.3	(2.3)	-100.0%
Receipts 2,863.0 12,857.4 (672.3) (76.8) (611.7) (1,800.6) 586.6 (426.9) 	Total Disbursements	6,014.5	6,886.1	9,562.5	7,481.3	8,145.3	10,891.4	8,314.8	7,899.4						65,195.3	61,766.6	3,428.7	9.6%
2,883.0 12,892.2 (61.2) (1,606.6) 586.6 (427.6) 	vese (Deficiency) of Beceinte																	
OutCase (uses): 28.5 1 (51.0) 968.3 698.2 178.6 178.6 206.5 178.6 178.6 178.6 178.6 178.6 178.6 178.6 178.6 178.6 178.6 178.6 178.6 178.6 178.6 178.6 178.6 178.6 178.6 178.6 178.6 178.6 178.6 178.6 178.6 178.6 178.6 178.6 178.6 178.6 178.6 178.6 178.6 178.6 178.6 178.6 178.6 178.6 178.6 178.6 178.6 178.6 178.6 178.6 178.6 178.6 178.6 178.6 178.7 178.6 178.7 178.7 178.7 178.7 178.7 178.7 178.7 178.7 178.7 178.7 178.7 178.7 178.7 178.7 178.7 178.7 178.7 178.7 178.7 178.7 178.7 178.7 178.7 178.7 178.7 178.7 178.7 178.7 178.7 178.7 178.7 178.	over Disbursements	2,863.0	12,857.4	(52.3)	(76.9)	(611.7)	(1,060.6)	586.8	(426.9)				•		14,078.8	4,501.5	9,577.3	212.8%
unds 25.3 (15.5) (15.5) (15.5) (15.6) (15.8) (15.6) (15.6) (15.6) (15.6) (15.6) (15.6) (15.6) (15.6) (15.6) (15.6) (15.6) (15.6) (15.6) (15.6) (15.6) (15.6) (15.6) (15.6) (15.6) (15.6) (15.6) (15.6) (15.6) (15.6) (15.6) (15.6) (15.6) (15.6) (15.6) (15.6) (15.6) (15.6) (15.6) (15.6) (15.6) (15.6) (15.6) (15.6) (15.6) (15.6) (15.6) (15.6) (15.6) (15.6) (15.6) (15.6) (15.6) (15.6) (15.6) (15.6) (15.6) (15.6) (15.6) (15.6) (15.6) (15.6) (15.6) (15.6) (15.6) (15.6) (15.6) (15.6) (15.6) (15.6) (15.6) (15.6) (15.6) (15.6) (15.6) (15.6) (15.6) (15.6) (15.6) (15.6) (15.6) (15.6) (15.6) (15.6) (15.	THER FINANCING SOURCES (USES):																	
Tribo	Transfers from Other Funds	263.5	151.0	908.3	593.2	109.4	183.0	119.8	205.5					(472.6)		1,715.8	345.3	20.1%
ing Sources (Uses) 876 154 6656 2419 307 (168) (568) 1225	Transfers to Other Funds	(165.9)	(135.6)	(242.7)	(351.3)	(78.7)	(199.8)	(176.6)	(83.0)					- 472.6		(1,268.5)	(307.5)	-24.2%
Receipts and response Receipts and response 12,872.8 615.3 165.0 (381.0) (1,077.4) 530.0 (304.4) 	Total Other Financing Sources (Uses)	97.6	15.4	665.6	241.9	30.7	(16.8)	(56.8)	122.5						1,100.1	447.3	652.8	145.9%
	xcess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	2,960.6	12,872.8	613.3	165.0	(581.0)	(1,077.4)	530.0	(304.4)						15,178.9	4,948.8	10,230.1	206.7%
		0 000 00	2 200 300 3	3 446 9	0 700 20	0 002 30	200230	9 03 7 30	000000						000000	44.000.0	44 507 0	400 607

(?) Intra-Eurd transfer eliminations represent francters between Sherial Revenue-State and Eederal Funds

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Beginning Fund Balance RECEPTS: Taxes: Frace: Frace	5,708.6 - - - - 109.2 (0.2) (9.7)	\$ 6,329.3	\$ 6,294.9	\$ 7.392.8	\$ 8,049.4	\$ 8,108.3	\$ 6,498.4	\$ 6,808.5					\$ 5,708.6	\$ 5,400.7	\$ 307.9	2.7%
ELPTS: (etc.) - 109.2 (0.2) 69.7											-		_		;	
effects: reconstruction of the control of the cont	- 109.2 (0.2) 69.7															
onsumption/Use Taxes: Sales and rise Auto Retrial Cognette Trobacco Products Cognette Trobacco Products Cognette Trobacco Products Cognette Trobacco Products Cognette Trobacco Trobacco Products Cognette Trobacco Coproduction Trobacco Coproduction Trobacco Coproduction Trobacco Trobacco Products Trobacco Trob	109.2 (0.2) 69.7	,	٠	,	٠		1.2	0.8					2:0	1.6	0.4	25.0%
Sales and Use Auto Rental Cigarette/Tobacco Products Cannabia Motor Fuel Alcoholic Beverage Highway Use Vapor Existe Total Consumption/Use Taxes Corporation Franchise Corporation Franchise Corporation Franchise Franc	109.2 (0.2) 69.7															
Cigarette Tobacco Products Carambia Moor Tele Moor Tele Alcoholic Beverage Highway Use Vapor Excise Corporation Franchise Corporation Franchise Bank Petroleum Business Total Business Total Business Total Business Total J	69.7	80.5	101.6	86.9	83.3	109.0	86.3	89.6					746.4	582.3	164.1	28.2
Community Moor Tuel Adochoic Beverage Highway Use Vapor Existe Total Consumption/Use Taxes Total Consumption/Use Taxes Corporation Franchise Bank Readign Rusiness Total Business Taxes Total Business Taxes		51.8	64.9	59.8	62.3	56.1	2.09	55.1					480.4	495.3	(14.9)	0.6
Alchodio Beverage Highway Use Vapor Excise Total Consumption/Use Taxes Corporation Franchies Corporation Franchies Franchies Taxes Feeting Business Taxes Total Business Taxes Total Taxes	7.2	- c	1.1	0.9	1.1	1.0	1.1	1.0					8.8	5.4	3.4	63.0%
Highway Use Vapor Excise Total Consumption/Use Taxes Total Consumption/Use Taxes Corporation Franchise Corporation and Utilities Insurance Rank Bank Rank Total Business Total Taxes	! .	;	; '	} '	; '		} '	; '							3 '	0.0
Total Consumption/Use Taxes Unitiess Taxes Comporation Franchise Comporation Franchise Comporation Huillese Insurance Bank Petholeum Business Total Business Taxes Total Taxes	1.0		' 60	0.1		0.1	0.2	0.7					12	0.3	6.0 6.0 6.0 6.0 6.0 6.0 6.0 6.0 6.0 6.0	300.0
Values 1 saces Coporation Franchise Coporation and Utilities Insurance Bank Perfoldum Business Total Business Taxes Total Taxes	187.7	142.0	189.2	157.4	156.4	191.9	157.8	152.9					1,335.3		167.2	14.3
Corporation and Utilities Insurance Bank Petroleum Business Total Business Taxes Total Taxes	154.6	39.8	264.3	60.4	8.6	260.7	43.5	27.1					859.0	520.5	338.5	65.0
Bank Marance Bank Petroleum Business Total Business Taxes Total Taxes	19.3	0.5	18.1	(7.7)	(0.1)	18.9	(0.7)	0.1					48.4	90.0	(11.6)	19.3
Perroleum Business Total Business Taxes Total Taxes	3.8	3.5	37.8	2.5	8. '	50.1	8.7	3.4					108:0	95.0	13.0	13.7
Total Taxes	30.7	39.8	40.6	43.7	42.2	33.8	40.7	40.5				-	312.0	282.4	29.6	10.5%
loral laxes	900	9 700	2 000	6 030	270	0 033	2.830	204.7					0.100	2446.7	0	
	380.0	9:177	7:090	5.062	8.TT2	8.000	/:167	- 77-7					2,004.3	7,145./	9.816	24.2%
Miscellaneous Receipts:																
Abandoned Property	6.0	1.0	0.8	6.0	6:0	1.2	1.0	1.3					8:0	7.3	2.0	%9.6
Assessments: Business	63.7	- 45	613	93.5	20.1	7 62	92.2	80					369.4	4105	(41.1)	-10.05
Medical Care	482.7	530.1	540.7	526.3	530.0	527.7	514.7	525.1					4,177.3	3,934.5	242.8	6.2
Public Utilities	3.		0.7		0.1	4.44	(0.7)	(122)					33.8	39:0	(5.2)	-13.3%
Fees, Licenses and Permits:				, ;	. !	5		2					5			
Audit Fees Business/Drofessional	. 86	787	. 5	0.7 28 6	1.5	0.1	38.7	- 20.0					2.3	2.1	32.0	, 00.04
Civil	5.1	5.4	5.0	4.0	6.5	5.7	5.8	8.4					42.3	29.7	12.6	42.4
Criminal Motor Vehicle	0.0 0.0 0.0 0.0	27.8	24.3	16.9	29.6	0.1	0.3	31.9					2163	187.8	28.5	17.9
Recreational/Consumer	65.7	49.6	83.0	56.5	89.9	143.1	72.9	124.2					684.9	432.3	252.6	58.4%
Fines, Penalties and Forteitures Gamind:	1.9). B0	9:11	9.6	11.0	0.9	12.3	6.5					71.3	104.4	(33.1)	-31.7
Casino	34.2	14.2	17.1	35.7	15.2	19.3	35.5	10.2					181.4	72.2	109.2	151.2
Lottery Video ottery	199.5	213.5	238.9	181.9	186.8	240.9	192.4	353.2					1,807.1	1,409.6	397.5	354 8%
terest Earnings	3.5	3.2	3.1	3.2	3.2	2.8	2.9	3.5					25.4	44.8	(19.4)	43.3
Receipts from Municipalities Receipts from Public Authorities:	7.9	9.	4. B	3.2	4.1	L.c	3.4	1.2					8:72	30.2	(2.4)	, ,
Bond Proceeds			•	1	ı	•	•	•					•	•		0.0
Cost Recovery Assessments	2.7	23	1.7	5.9			ග. ' ගේ '	2.7					17.5	0.00	9.8	96.6
Non Bond Related	0.2	} •		4.6	0.3	4.3	8.0	9.8					18.8	15.6	3.2	20.5%
Rentals	8.1.8	g.	0.6	2.1	16.4	94.9	77.2	24.8					258.1	64:0	194.1	303.3
Administrative Recoveries	45.0	6.8	20.8	8.4	8.6	8.3	8.3	8.2					116.5	102.3	14.2	13.9
Commissions - Asset Conversion	?; ·	9. '	9. '	9; '		† ·	à '	n '				_	<i>j</i>	2.5	2 .	0.00
Gifts, Grants and Donations	2.0	8.0	0.5	0.3	0.2	1.7	0.1	0.2					4.5	31.4	(26.9)	-85.7
Indirect Cost Recoveries Patient/Client Care Reimbursement	214.3	178.7	221.1	204.1	195.8	204.2	210.0	219.1					1,647.3	1,998.4	(351.1)	-17.6%
Rebates Postarion and Settlements	7.4	0.5	7.2	5.2	1.2	7.7	8.7	0.4					35.6	35.7	(0.1)	0.3
Student Loans	2.4	5.6	13	6.1	1.4	1.2	Ξ	5.0					19.9	37.2	(17.3)	46.5
All Other	64.6	37.1	57.4	59.9	51.4	47.6	36.7	42.6					397.3	237.2	160.1	67.5
Sales Tuition	(75.7)	0.5 41.6	51.3	56.6	204.0	3.0 243.3	125.1	31.3					20:0	785.4	(107.9)	-13.7
Total Miscellaneous Receipts	1,319.2	1,253.6	1,565.3	1,401.7	1,512.1	1,873.5	1,565.9	1,536.1	- - -		.	. -	12,027.4	10,702.6	1,324.8	12.4
Federal Receipts	-				0.1		34.4	•		ĺ			34.5	7.2	27.3	379.2%
Total Beceinte	1 705 8	1 475 2	2 126 0	1 658 0	1 72/1 1	2 424 3	18520	1 760 8	,	·	•	-	14 726 2	12 855 5	1 870 7	44 6%

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														8 Months Ended November 30	ovember 30	
	2021 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2022 JANUARY	FEBRUARY	MARCH	2021	2020	\$ Increase/ (Decrease)	% Increase/ Decrease
SBURSEMENTS:																
Education	0.9		328.0			2,353.3	118.2	114.4					2,912.1	2,919.7	(7.6)	-0.3%
Environment and Recreation	.0	0.2		0.5		0.2		0.2					2.0	1.8	0.2	11.1%
General Government	10.1		5.7	-	25.1	9.6	2.1	36.4					124.0	95.9	28.1	29.3%
onblic Health:																
Medicaid	386.9	461.4	421.9		•	472.2		642.4					3,785.4	3,680.6	104.8	2.8%
Other Public Health	37.3		_			118.0		82.8					738.0	519.5	218.5	42.1%
Public Safety	19.3	3 20.0		11.5	22.9	21.2	17.8	35.6					178.5	7.78	8.06	103.5%
Public Welfare	.0					0.2		0.7					2.2	1.0	1.2	120.0%
Support and Regulate Business	0	3 2.2	1,4	4.1		14.8		1.0					25.5	36.8	(11.3)	-30.7%
Transportation	199.0	40	283.3		•	271.8		512.8					2.667.4	2.095.6	571.8	27.3%
Total Local Assistance Grants	654.1	954.0	1,258.1	826.8	-	3,261.6		1,429.3	ļ.				10,435.1	9,438.6	996.5	10.6%
Departmental Operations:																
Personal Service	399.6					595.4		470.2					3,374.6	3,445.5	(20.9)	-2.1%
Non-Personal Service	225.6	.,	(4	3 231.3	263.6	235.3	208.1	252.2					1,866.8	1,647.3	219.5	13.3%
Seneral State Charges	59.8	63.4	76.6			88.9		134.4					6.699	6.876	91.0	15.7%
Sapital Projects									ĺ						•	%0.0
Total Disbursements	1,339.1	1,657.3	1,879.1	1,585.5	1,772.2	4,181.2	1,645.9	2,286.1	•				16,346.4	15,110.3	1,236.1	8.2%
icess (Deficiency) of Receipts ver Disbursements	366.7	(182.1)	246.9	72.5	(48.1)	(1.756.9)	206.1	(525.3)					(1.620.2)	(2.254.8)	634.6	28.1%
														()		
THER FINANCING SOURCES (USES): Francture from Other Finds	263.5	151 0	908.3										2 533 7	2 256 2	7776	12.3%
Fransfers to Other Funds	(9.5)			(9.1)	(2.4)	(36.0)	(15.8)	3.2					(130.2)	(190.6)	(60.4)	-31.7%
Total Other Financing Sources (Uses)	254.0	147.7	851.0	584.1	107.0	147.0	104.0	208.7	•		•		2,403.5	2,065.6	337.9	16.4%
cess (Deficiency) of Receipts and Ather Financing Sources over																
isbursements and Other Financing Uses	620.7	(34.4)	1,097.9	656.6	58.9	(1,609.9)	310.1	(316.6)	j				783.3	(189.2)	972.5	514.0%
iding Fund Balance	\$ 6.329.3	\$ 6.294.9	\$ 7.392.8	\$ 8,049,4	\$ 8.108.3	\$ 6.498.4	\$ 6,808.5	\$ 6,491.9	s	s	s	·	\$ 6.491,9	\$ 5.211.5	\$ 1,280.4	24.6%

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														8 Months Ended November 30	d November 30	
1	2021 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2022 JANUARY	FEBRUARY	MARCH	2021	2020	\$ Increase/ (Decrease)	% Increase/ Decrease
Beginning Fund Balance	\$ 4,960.7	\$ 7,300.6	.6 \$ 20,207.8	8 \$ 19,723.2	\$ 19,231.6	\$ 18,591.7	\$ 19,124.2	\$ 19,344.1					\$ 4,960.7	\$ 911.4	\$ 4,049.3	444.3%
RECEIPTS:																
Miscellaneous Receipts:																
Abandoned Property:																č
Assessments:	•				•											%n:n
Business	5.5	35.6	.0	1 2.0	11.0	0.1	1.3	7.8					63.4	61.3	2.1	3.4%
Medical Care							•									%0:0
Public Utilities	1			1	i	į	•	•					1	•	į	%0:0
Other	•				•	•							•		•	%0:0
Fees, Licenses and Permits:																į
Business/Professional	•				•	1							•			%0:0
OM	•															%0:0
Criminal	•				•	•	•						•		•	%0:0
Motor Vehicle						•	•							•		%0:0
Recreational/Consumer	• ;							. ;					. :	. !		%0:0
Fines, Penalties and Forfeitures	9.0		0.4 0.3	3	0.3	0.4	0.5	9.0					3.2	1.7	5.	88.2%
Interest Earnings	9.0							1.0					6.1	12.8	(6.7)	-52.3%
Receipts from Municipalities																%0:0
Receipts from Public Authorities:																0
Bond Proceeds						•										%0.0 %0.0
Cost Recovery Assessments																%O:O
Issuance rees					•	•		•							•	%O:O
Non Bond Kelated																% O.O.
Remais Resemble of Gate Departments:	•				•	•	•	•								8. D:
Administrative Recoveries																%0.0
Commissions	,			•	•	•	•	,					,	,	,	%00
Giffs, Grants and Donations	•				•	•	•									%0:0
Indirect Cost Recoveries	•				•	•										%0:0
Patient/Client Care Reimbursement	1			•			•	•					•		i	%0:0
Rebates	9.9		7.4 7.1	0 7.1	6.8		7.0	6.7					929	61.4	(2.8)	-9.4%
Restitution and Settlements	•				•	•										%0:0
Student Loans							• ;							• :	• ;	%0.0
All Other	0.2		1.5			_	6:0	0.1					6.4	6.	5.1	392.3%
Sales					•	i		•						•		%O:O
Total Miscellaneous Receipts	13.3	45.4	4 9.2	2 10.7	7 21.0	8.4	10.5	16.2			-	-	134.7	138.5	(3.8)	-2.7%
rederal Kecepts	7,158.4	18,222.9	9 /3/5.0	5,735.7	5,788.5	7,398.1	7,039.1	5,685.5					64,413.2	53,2/4.1	11,139.1	20.9%
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	7000									2000		1		8 Months Ended November 30	November 30	/ Incorporation	
	APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	JANUARY	FEBRUARY	MARCH	2021	2020	(Decrease)	% increase/ Decrease	
SBURSEMENTS:																	
Local Assistance Grants:	0 020	* 101	1 200	0	, , , ,		, , ,	9					1 200 1	0.040.0		700 200	
Education	87/7	481.1	86/.4	384.0	684.4	351.2	544.4	8.104					1,780,4	2,0/2.9	2,014.2	97.7%	
Environment and Recreation	0.0		. ;	5	5	. ;	. !	0.2					SO :	4.0	4.0	100.0%	
General Government Public Health:	11.2	21.9	10:0	438.5	10.7	16.1	1.7	5.1					515.2	4,119.4	(3,604.2)	-87.5%	
Medicaid	3,371,2	3.740,5	4,328.8	3.262.3	3,980.7	3,710,6	4,260.6	3.674.7					30,329.4	29.377.1	952.3	3.2%	
Other Public Health	521.6	509.7	726.7	652.2	627.4	746.3	584.0	526.6					4,894.5	4,269.3	625.2	14.6%	
Public Safety	103.9	88.0	128.4	197.2	62.4	184.0	138.3	60.5					962.7	1,332.3	(369.6)	-27.7%	
Public Welfare	158.5	200.4	496.1	632.1	8.069	1,389.4	716.9	569.3					4,853.5	2,367.9	2,485.6	105.0%	
Support and Regulate Business	0.2		0.4	2.2	3.2	0.1	0.7	2.0					8.8	0.9	2.8	46.7%	
Transportation	2.4	3.5	4.1	3.4	5.7	5.7	3.8	5.9					34.5	40.3	(2.8)	-14.4%	
Total Local Assistance Grants	4,442.1	5,045.2	6,561.9	5,572.0	6,065.4	6,403.4	6,350.4	5,246.1	ļ.		-		45,686.5	43,585.6	2,100.9	4.8%	
Departmental Operations:																	
Personal Service	51.0	6.05	457.7	64.1	52.5	7.07	54.7	89.2					890.8	844.7	46.1	2.5%	
Non-Personal Service	156.9	105.7	465.1	151.1	215.1	205.0	236.5	227.3					1,762.7	1,852.5	(88.8)	-4.8%	
General State Charges	25.4	27.0	156.4	108.6	40.1	31.1	27.3	20.7					466.6	371.2	95.4	25.7%	
Debt Service, Including Payments on																	
Financing Agreements	•		42.3	•	•	•	1	ı					42.3	•	42.3	100.0%	
Capital Projects														2.3	(2.3)	-100.0%	
Total Disbursements	4,675.4	5,228.8	7,683.4	5,895.8	6,373.1	6,710.2	6,668.9	5,613.3					48,848.9	46,656.3	2,192.6	4.7%	
cess (Deficiency) of Receipts over Disbursements	2,496.3	13,039.5	(299.2)	(149.4)	(563.6)	696.3	380.7	98.4					15,699.0	6,756.3	8,942.7	132.4%	
THER FINANCING SOURCES (USES):																	
Transfers from Other Funds	. !	. !	' !	• !	• ;	,	•	• }							, ;	%0:0	
Transfers to Other Funds	(156.4)	(132.3)	(185.4)	(342.2)	(/6.3)	(163.8)	(160.8)	(86.2)					(1,303.4)	(1,618.3)	(314.9)	-19.5%	
Total Other Financing Sources (Uses)	(156.4)	(132.3)	(185.4)	(342.2)	(76.3)	(163.8)	(160.8)	(86.2)				-	(1,303.4)	(1,618.3)	(314.9)	-19.5%	
ccess (Deficiency) of Receipts and																	
Other Financing Sources over Disbursements and Other Financing Uses	2,339.9	12,907.2	(484.6)	(491.6)	(639.9)	532.5	219.9	12.2					14,395.6	5,138.0	9,257.6	180.2%	
																	_

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DEBT SERVICE FUNDS STATEMENT OF CASH FLOW FISCAL YEAR 2021-2022 (amounts in millions)													,	:		
Beginning Fund Balance	2021 APRIL \$ 65.0	MAY \$ 206.5	JUNE \$ 302.9	JULY \$ 237.7	AUGUST S	SEPTEMBER \$ 982.1	OCTOBER \$ 184.2	NOVEMBER \$ 314.6	DECEMBER	2022 JANUARY	FEBRUARY	MARCH	2021	Months Ended November 30	\$ Increase/ (Decrease)	% Increase/ Decrease 2.5%
RECEIPTS: Taxes: Personal Income Tax	3,262.9	4,916.5	2,910.3	1,682.3	1,900.7	3,224.9	1,688.2	1,790.5					21,376.3	15,982.7	5,393.6	33.7%
Consumption/Use Taxes: Sales and Use Total Consumption/Use Taxes	895.4 895.4	885.3 885.3	1,207.1	971.5 971.5	938.1	1,222.7 1,222.7	942.8 942.8	0.696 0.696					8,031.9 8,031.9	4,205.6 4,205.6	3,826.3 3,826.3	91.0%
Other Taxes: Real Estate Transfer Employer Compensation Expense Tax Total Other Taxes	97.4 0.1 97.5	110.0 0.1 110.1	115.1 0.1 115.2	132.0 0.2 132.2	140.0 0.2 140.2	133.3 0.1 133.4	132.1 0.2 132.3	119.4 0.1 119.5					979.3 1.1 980.4	467.9 0.7 468.6	511.4 0.4 511.8	109.3% 57.1% 109.2 %
Total Taxes	4,255.8	5,911.9	4,232.6	2,786.0	2,979.0	4,581.0	2,763.3	2,879.0	·				30,388.6	20,656.9	9,731.7	47.1%
Miscellaneous Receipts: Assessments:																
Medical Care Fees, Licenses and Permits:		1				•								•	•	%0:0
Alcohol Beverage Control Licensing Business/Professional																%0:0 %0:0
Civil Oriminal																0.0
Motor Vehicle Recreational/Consumer						1 1										0.0
Interest Enrings Receirts from Municipalities		, C		. 0		- 17		. 0					- 24	0.3	(0.3)	-100.0
Receipts from Public Authorities: Bond Proceeds	,		,	,	,	1	,	,					· '	'	,	0.0
Rentals	•							1					1	•	•	%0.0
Revenues of State Departments: Patient/Client Care Reimbursement Call Other	43.2	38.	47.8	34.6	14.1	4.14	20.4	66.1					306.4	272.3	34.1	12.5% 100.0%
Total Miscellaneous Receipts	43.2	39.1	47.8	34.7	14.2	43.1	20.4	66.4					308.9	275.0	33.9	12.3%
Federal Receipts	•	-		1.5	28.7		١						30.2	24.4	5.8	23.8%
Total Receipts	4,299.0	5,951.0	4,280.4	2,822.2	3,021.9	4,624.1	2,783.7	2,945.4					30,727.7	20,956.3	9,771.4	46.6%
DISBURSEMENTS: Departmental Operations: Non-Personal Service	0.2	2.2	(25.8)	14.7	7.3	2.2	0.1	2.2					Б	32.8	(29.7)	-90.5%
Debt Service, Including Payments on Financing Agreements	122.4	40.5	(21.8)	7.2	307.7	742.2	9.2	26.0	ĺ				1,233.4	1,349.2	(115.8)	-8.6%
Total Disbursements	122.6	42.7	(47.6)	21.9	315.0	744.4	9.3	28.2	ij				1,236.5	1,382.0	(145.5)	-10.5%
Excess (Deficiency) of Receipts over Disbursements	4,176.4	5,908.3	4,328.0	2,800.3	2,706.9	3,879.7	2,774.4	2,917.2	·				29,491.2	19,574.3	9,916.9	20.7%
OTHER FINANCING SOURCES (USES): Transfers from Other Funds Transfers to Other Funds	295.9 (4,330.8)	6.0 (5,817.9)	133.8	185.5 (2,677.4)	51.6 (2,322.5)	94.6	197.5 (2,841.5)	60.5 (2,791.1)					1,025.4	1,466.1 (16,977.5)	(440.7) 13,102.9	-30.1% 77.2%
Total Other Financing Sources (Uses)	(4,034.9)	(5,811.9)	(4,393.2)	(2,491.9)	(2,270.9)	(4,677.6)	(2,644.0)	(2,730.6)			•		(29,055.0)	(15,511.4)	(13,543.6)	-87.3%
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	141.5	96.4	(65.2)	308.4	436.0	(797.9)	130.4	186.6	·			-	436.2	4,062.9	(3,626.7)	-89.3%
Ending Fund Balance	\$ 206.5	\$ 302.9	\$ 237.7	\$ 546.1	\$ 982.1	\$ 184.2	\$ 314.6	\$ 501.2		· •>	, ↔	· &9	\$ 501.2	\$ 4,126.3	\$(3,625.1)	-87.9%

EXHIBIT

													Intra-Fund		8 Months Ended November 30	November 30	
	2021 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2022 JANUARY	FEBRUARY	MARCH	Transfer Eliminations (*)	2021	2020	\$ Increase/ (Decrease)	% Increase/ Decrease
Beginning Fund Balance	\$ (1,144.0)	\$ (1,121.8)	\$ (1,346.9)	\$ (1,561.7)	\$ (1,706.2)	\$ (1,798.6)	\$ (2,189.7)	\$ (1,558.0)						\$ (1,144.0)	\$ (1,034.9)	\$ (109.1)	-10.5%
RECEIPTS: Taxes:																	
Consumption Seriaxes. Auto Rental Motor Fuel	2.1	31.7	17.5 36.7	35.0	(0.1)	26.3 37.6	34.7	0.1						46.0 262.4	26.5 225.1	19.5	73.6%
Highway Use	14.5	10.5	11.6	12.1	13.3	74.5	12.4	36.4						97.9	341.7	64.6	8.7% 18.9%
Business Taxes: Corporation Franchise			•	٠		•	٠	٠							•	•	0.0%
Corporation and Utilities Petroleum Business	3.1	50.4	1.4	(1.5)	(0.1) 53.6	1.2	0.2 51.8	51.5						396.5	5.8	(1.5)	-25.9%
Total Business Taxes	41.3	50.4	53.4	53.8	53.5	44.9	52.0	51.5						400.8	362.8	38.0	10.5%
Other laxes: Real Estate Transfer Total Other Taxes	1 1		11.9	11.9	11.9	12.0	11.9	11.9						71.5	71.5		0.0%
Total Taxes	84.8	92.6	131.1	112.9	115.0	131.4	111.0	8.66		.			-	878.6	776.0	102.6	13.2%
Miscellaneous Receipts: Abandoned Property: Rottle Bill	,		23.0	,	,		,							23.0	23.0		%U U
Assessments:	Ü	7.6	9	. 49		. 09	. 49	09						2 2	53.2	· -	%4 %
Fees, Licenses and Permits:		!!	} ;	;	; ;	} ;	;	} !								2 !	} i
Business/Professional Civil	<u>.</u> .	1.7	2.1	4.	6.5	6.7	3.3	1.7						27.5	24.0	3.5	14.6% 0.0%
Motor Vehicle	79.2	69.1	9.09	99	59.0	59.5	55.3	52.1						500.8	430.9	6.69	16.2%
Recreational/Consumer Fines. Penalties and Forfeitures	2.5	2.9	1.5	2.0	3.1	10.2	5.0	2.1						24.0	19.3	0.9	24.4%
Interest Earnings		0.1	; '	2	. 5	ţ,		ţ,						0.2	1.6	(1.4)	-87.5%
Receipts from Municipalities	•			٠	0.1	•								0.1	0.5	(0.4)	-80.0%
Recepts from Public Aumonties: Bond Proceeds	78.4	0.5	3.0	237.0	27.6	328.1	979.2	9.0						1,654.4	3,067.4	(1,413.0)	46.1%
Issuance Fees		25	. 80			1 1	, -	•						, «	17	. "	0.0%
Rentals	3.3	0.8	5.1	1.6	1.2	1.5	6.	3.2						6.4	12.6	2.3	18.3%
Revenues of State Departments:																	ò
Administrative Recoveries Giffs Grants and Donations	· č	· *	2.9	- 0		- 1	. 4	0.4						13.3	10.6	2.2	25.5%
Indirect Cost Recoveries	? .	? .	} ·			12.7	5.1	17.0						34.8	-	34.8	100.0%
Rebates	• ;	• 3	1	0.1	(0.1)	1	0.1	• }					•	0.1	1	0.1	100.0%
Restitution and Settlements	0.3		1.6	5.9	e . e	4 4	= 2	7.9						24.1	9.4	14.7	156.4%
Sales	0.1	<u>.</u>	-	2 .	3 -5	0.1	6.3	į '						9.9	0.2	6.4	3,200.0%
Total Miscellaneous Receipts	181.3	90.5	117.4	322.2	114.5	436.7	1,073.9	94.5						2,431.0	3,704.9	(1,273.9)	-34.4%
Federal Receipts	5.6	23.3	110.1	143.2	169.4	139.9	129.3	314.2					-	1,035.0	1,318.9	(283.9)	-21.5%
Total Receipts	271.7	206.4	358.6	578.3	398.9	708.0	1,314.2	508.5	1			·	•	4,344.6	5,799.8	(1,455.2)	-25.1%

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CAPITAL PROJECTS FUNDS - COMBINED	STATEMENT OF CASH FLOW	FISCAL YEAR 2021-2022	(amounts in millions)

													Intra-Fund		8 Wonths Ended November 30	November 30	
	2021 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2022 JANUARY	FEBRUARY	MARCH	Transfer Eliminations (*)	2021	2020	\$ Increase/ (Decrease)	% Increase/ Decrease
DISBURSEMENTS:																	
Local Assistance Grants:	13.5	0.50	155	13.0	ţ	œ	15.2	00						131.8	96.7	15.6	52.0%
Environment and Recreation	0.00	7.6	15.7	15.3	. 2	15.6	1,4	110						1419	2 9	55.1	63.5%
General Government	12.7	41.7	37.9	22.2	30.8	59.9	28.2	35.8						269.2	352.5	(83.3)	-23.6%
Public Health:																	
Medicaid																	%0.0
Other Public Health	45.1	29.7	80.9	41.7	35.0	36.8	41.5	62.2						372.9	351.0	21.9	6.2%
Public Safety	0.4	1.8	28.3	5.4	2.2	0.7	14.2	2.6						55.6	40.8	14.8	36.3%
Public Welfare	28.2	55.8	124.6	54.6	12.5	39.4	38.3	20.7						374.1	375.1	(1.0)	-0.3%
Support and Regulate Business	21.2	54.3	147.2	39.5	33.2	104.8	39.5	24.9						464.6	261.1	203.5	77.9%
Transportation	181.7	37.7	269.0	267.1	213.5	346.2	198.1	213.1						1,726.4	1,716.0	10.4	%9'0
Total Local Assistance Grants	331.8	253.6	749.1	458.8	369.4	611.7	390.9	371.2	.				-	3,536.5	3,269.5	267.0	8.2%
Departmental Operations:																	
Personal Service							•	•									%0:0
Non-Personal Service			,	,	•	•	•	•						•	•	•	%0:0
General State Charges	•	•	•	•	•	•	•	•						•	•	•	%0:0
Capital Projects	398.2	514.1	631.4	554.2	9.669	710.1	601.5	744.7						4,853.8	4,754.5	99.3	2.1%
Total Disbursements	730.0	7.797	1,380.5	1,013.0	1,069.0	1,321.8	992.4	1,115.9				İ		8,390.3	8,024.0	366.3	4.6%
Excess (Deficiency) of Receipts																	
over Disbursements	(458.3)	(561.3)	(1,021.9)	(434.7)	(670.1)	(613.8)	321.8	(607.4)		•				(4,045.7)	(2,224.2)	(1,821.5)	-81.9%
OTHER FINANCING SOURCES (USES):																	
Bond and Note Proceeds (net)								•									%0:0
Transfers from Other Funds	488.9	344.3	830.9	298.9	986.6	434.1	320.4	608.3						3,912.4	2,092.8	1,819.6	%6.9% %6.0%
indiplets to Otter rulius	(0.4)	(0.1)	(23.0)	(0.7)	(0.9)	(211.4)	(0.01)	(9.7)					-	(0.802)	(230.0)	(9.1)	80.7
Total Other Financing Sources (Uses)	480.5	336.2	807.1	290.2	577.7	222.7	309.9	598.6						3,622.9	1,794.2	1,828.7	101.9%
Excess (Deficiency) of Receipts and Other Financing Sources over																	
Disbursements and Other Financing Uses	22.2	(225.1)	(214.8)	(144.5)	(92.4)	(391.1)	631.7	(8.8)		•				(422.8)	(430.0)	7.2	1.7%
Ending Fund Balance	\$ (1,121.8) \$ (1,346.9) \$ (1,561.7) \$ (1,706.2)	\$ (1,346.9)	\$ (1,561.7)	\$ (1,706.2)	\$ (1,798.6)	\$ (2,189.7)	\$ (1,558.0)	\$ (1,566.8)		·		s	· s	\$ (1,566.8)	\$ (1,464.9)	\$ (101.9)	-7.0%

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														8 Months Enc	8 Months Ended November 30	
	2021 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2022 JANUARY	FEBRUARY	MARCH	2021	2020	\$ Increase/ (Decrease)	% Increase/ Decrease
Beginning Fund Balance	\$ (563.7)	\$ (494.1)	\$ (618.8)	\$ (705.9)	\$ (804.0)	\$ (893.1)	\$ (1,184.5)	\$ (459.6)					\$ (563.7)	\$ (472.2)	\$ (91.5)	-19.4%
RECEIPTS: Taxes: ConsumptionAlise Taxes																
Auto Rental Motor Fuel	2.1	31.7	17.5 36.7	35.0	(0.1)	26.3 37.6	34.7	0.1					46.0 262.4	26.5 225.1	19.5 37.3	73.6%
Highway Use Total Consumption/Use Taxes	14.5	10.5	11.6	12.1	13.3	10.6 74.5	12.4	12.9				-	97.9	341.7	7.8 64.6	8.7%
Business Taxes Corporation Franchise	,				٠	•	٠								٠	%0:0
Corporation and Utilities	3.1	' 0	4.1.4	(1.5)	(0.1)	1.2	0.2	. 5					6.43	5.8	(1.5)	-25.9%
Fetroleum Business Total Business Taxes	41.3	50.4		53.8	53.5	43.7	52.0	51.5					400.8	362.8	38.0	10.5%
Other Taxes Real Estate Transfer Total Other Taxes			11.9	11.9	11.9	12.0 12.0	11.9	11.9					71.5	71.5	. .	0.0%
Total Taxes	84.8	92.6	131.1	112.9	115.0	131.4	111.0	8.66	.			-	878.6	776.0	102.6	13.2%
Miscellaneous Receipts: Abandoned Property: Bottle Bill	,		23.0	ı	1								23.0	23.0	,	0.0
Assessments: Business	10.0	7.6	0.9	6.4	6.1	6.0	6.4	9:0					54.5	53.2	1.3	2.4%
Fees, Licenses and Permits: Business/Professional		17	2.1	4	60	7.5	en en	17					27.5	24.0	60	14 6%
Civil																0.0%
Motor Vehicle Recreational/Consumer	79.2	69.1 2.0	60.6	66.0	59.0	59.5	55.3	52.1					500.8	430.9	69.9	16.2%
Fines, Penalties and Forfeitures	2.5	0.8	2.8	2.0	3.1	1.4	2.7	1.4					16.7	15.8	6.0	5.7%
Interest Earnings Receints from Municinalities		0.1			0.1								0.2	1.6	(1.4)	-87.5%
Receipts from Public Authorities:													;			
Bond Proceeds	78.4	0.5	3.0	237.0	27.6	328.1	979.2	9.0					1,654.4	3,067.4	(1,413.0)	-46.1%
Non Bond Related	0.5	2.5	0.8	•	•	•	1.0	•					4.8	1.7	3.1	182.4%
Rentals Revenues of State Departments:	3.3	0.8	1.4	1.6	1.2	1.5	1.9	3.2					14.9	12.0	2.9	24.2%
Administrative Recoveries					,	٠	•								٠	0.0%
Giffs, Grants and Donations	1.8	1.3	2.9	0.4	1	1.2	5.3	0.4					13.3	10.6	2.7	25.5%
Indirect Cost Recoveries				1	. ;	12.8	5.1	17.2					35.1		35.1	100.0%
Rebates Restitution and Settlements		. 4	٠ +	0.1 9.5	(0.1)	- 4	0.1	- 62					0.1	- 0	0.1	156.4%
All Other	2.4	6.	11.7	1.0	6.5	4.4	1.2	2.1					31.2	34.7	(3.5)	-10.1%
Sales Total Miscellaneous Receipts	181.3	90.5	117.4	322.2	114.5	436.8	1,073.9	94.7				·	2,431.3	3,704.2	(1,272.9)	6,500.0%
Federal Receipts				2.3	2.2	14.8	(8.1)	9.0					11.8	2.1	2.6	461.9%
Total Beceints	266.1	183.1	248.5	437.4	234.7	583.0	1.176.8	195.1		•		•	3.324.7	4.482.3	(1.160.6)	-25.9%

EXHIBIT I

0.0% 5.6% -0.3% 77.9% 5.0% 0.0% 0.0% 86.9% -3.1% 19.4 (13.6) (1.0) 203.5 68.6 294.3 1,819.6 8 Months Ended November 30 (1,338.4)490.6 1,829.0 399.1 177.8 2,092.8 (298.6) 86.2 86.8 352.5 349.2 37.3 375.1 261.1 261.1 930.1 1,794.2 3,880.1 2020 (8.909) 368.6 23.7 374.1 464.6 450.5 3,763.6 6.988.0 (43.1) (3,666.3) 3,623.2 2021 MARCH FEBRUARY 2022 JANUARY DECEMBER NOVEMBER (745.8) (8.909) . 62.2 2.6 20.7 24.9 179.6 337.7 (147.2) 608.3 (9.7) 603.2 940.9 598.6 320.4 (459.6) 415.0 724.9 3.5 3.5 38.3 39.5 39.5 347.1 414.7 761.8 OCTOBER 434.1 (211.4) 1,097.1 \$ (1,184.5) 36.2 0.7 39.4 104.8 285.1 550.0 547.1 222.7 586.6 (89.1) AUGUST 35.0 2.2 12.5 33.2 340.1 \$ (893.1) 898.5 577.7 (388.3) (98.1) (8.7) (804.0) 5.4 5.4 5.4 5.8 39.5 215.4 407.1 825.7 290.2 JULY (23.5) (894.5) (705.9) 77.2 7.1 7.1 124.6 147.2 224.4 **679.6** 1,143.0 (87.1) 463.4 807.4 JUNE (618.8) 644.0 29.7 1.8 55.8 54.3 21.0 236.9 344.3 (8.1) 336.2 MAY (410.9) (8.4) 45.1 0.4 28.2 21.2 175.8 325.9 69.6 \$ (494.1) 351.1 677.0 2021 APRIL Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses Total Other Financing Sources (Uses) Local Assistance Grants:
Local Assistance Grants:
Education
Environment and fecreation
General Government
Public Health
Public Health
Public Safety
Public Safety
Public Safety
Public Safety
Public Welfare
Support and Regulate Business
Timsprotation or Total Local Assistance Grants
Personal Saviore
Non-Personal Saviore
General State Charges
Capital Projects OTHER FINANCING SOURCES (USES):
Bond and Note Proceeds (net)
Transfers from Other Funds
Transfers to Other Funds Excess (Deficiency) of Receipts over Disbursements Total Disbursements

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STATE OF NEW YORK
CAPITAL PROJECTS FUNDS - STATE
STATEMENT OF CASH FLOW
FISCAL YEAR 2021-2022
(amounts in millions)

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STATE OF NEW YORK
CAPITAL PROJECTS FUNDS - FEDERAL
STATEMENT OF CASH FLOW
FISCAL YEAR 2021-2022
(amounts in millions)

Beginning Fund Balance	APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	JANUARY	FEBRUARY	MARCH	2021	2020	\$ Increase/ (Decrease)	% Increase/ Decrease
	\$ (580.3)	\$ (627.7)	\$ (728.1)	\$ (855.8)	\$ (902.2)	\$ (905.5)	\$ (1,005.2)	\$ (1,098.4)					\$ (580.3)	\$ (562.7)	↔	-3.1%
RECEIPTS: Miscellaneous Receipts:																
Abandoned Property.																•
Bottle Bill Assessments:						•	•									%0:0
Business			٠		٠	•	•	•					ı	•	•	%0:0
rees, Licenses and Permits: Risiness/Professional		٠		٠		•	,	٠						٠	•	00
Civil				•	•	٠	٠	•						•	•	0.0
Motor Vehicle								•						•		0.0
Recreational/Consumer Fines Penalties and Forfeitures																%0.0 0.0%
terest Earnings		•	•	•	,	•	•	•						٠	•	0.0
Receipts from Municipalities				•	•	1	1	•						•	1	0:0
sceipts from Public Authorities: Rond Droceeds		·	,		,	•	•	•						•	•	0
Issuance Fees																0:0
Non Bond Related		•	•	•	•	•	i	•								%0.0
Rentals							•	•						9.0	(0:0)	-100.0
Revenues of state Departments. Administrative Recoveries		,	,	٠	,	•	,	٠						٠	•	0:0
Giffs, Grants and Donations			,		,		•	•						,		0:0
Indirect Cost Recoveries						(0.1)		(0.2)					(0.3)	•	(0.3)	-100.0
Restitution and Settlements			•	•	•	1	1	•						•	•	0.0
All Other														, 5	, é	
Total Miscellaneous Receipts						(0.1)		(0.2)					(0.3)	0.7	(1.0)	-142.9%
discool of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the sta				0.084	167.7	1,30	127.4	070					1 003 7	1 246 0		
redefal Kecelpts	970			140.9	7.701	1.62.1	4.701	0.010.0					1,023.2	0.016,1	(33.6)	-22.3%
Total Receipts	5.6	23.3	110.1	140.9	167.2	125.0	137.4	313.4					1,022.9	1,317.5	(294.6)	-22.4%
DISBURSEMENTS:																
Local Assistance Grants:	·				,	,	,	,								00
Environment and Recreation																0.0%
General Government		•	•	•	•		1	•						•	1	0:0
Public Health: Medicaid																00
Other Public Health			3.7			90							. 4	8	2.5	138.9
Public Safety		•	21.2	٠	•		10.7	٠					31.9	3.5	28.4	811.4
Public Welfare							•	•						•	•	0.0
Support and Regulate Business	. 4	18.7	. 44	. 84.7	, 00	. 1	. 22	32.					275.0	334.4	(60.2)	0.0
Total Local Assistance Grants	5.9	16.7	. 69.5	51.7	29.3	61.7	43.8	33.5		-	-	-	312.1	339.4	(27.3)	-8.0%
Departmental Operations:																
Personal Service Non-Personal Service																0.00
General State Charges		٠					•	•						•	•	%0:0
oital Projects	47.1	107.0	168.0	135.6	141.2	163.0	186.8	141.5					1,090.2	874.4	215.8	24.7
Total Disbursements	53.0	123.7	237.5	187.3	170.5	224.7	230.6	175.0					1,402.3	1,213.8	188.5	15.5%
Excess (Deficiency) of Receipts	4 23	400	17.24	(7.97)	ŝ	12.00	6 60	730 7					19 0267	403.7	(1007)	0 398
over Disbursements	(47.4)	(100.4)	(127.4)	(40.4)	(5.5)	(33.1)	(33.2)	138.4					(3/3.4)	103.7	(483.1)	463.3%
OTHER FINANCING SOURCES (USES): Transfers from Other Funds Transfers to Other Funds			. 6										. 03		-03	0.0%
Total Other Financing Sources (Uses)			(0.3)						•			-	(0.3)		0.3	100.0%
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	(47.4)	(100.4)	(127.7)	(46.4)	(3.3)	(99.7)	(93.2)	138.4	•	•			(379.7)	103.7	(483.4)	-466.2%
1	:															

EXHIBIT J

														8 Months End	8 Months Ended November 30	
	2021 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2022 JANUARY	FEBRUARY	MARCH	2021	2020	\$ Increase/ (Decrease)	% Increase/ Decrease
Beginning Fund Balance	\$ 328.0	\$ 330.9	\$ 267.0	\$ 283.6	\$ 287.1	\$ 230.7	\$ 269.1	\$ 293.8					\$ 328.0	\$ 29.7	\$ 298.3	1,004.4%
RECEIPTS: Miscellaneous Receipts Federal Receipts Unemployment Taxes	261.8 4,691.4 218.5	200.8 4,264.1 313.6	211.8 5,008.2 390.8	257.9 3,973.1 315.0	195.1 4,570.9 350.5	307.7 1,186.4 239.4	253.8 111.2 196.2	196.9 50.0 215.9					1,885.8 23,855.3 2,239.9	45.3 38,848.3 13,083.5	1,840.5 (14,993.0) (10,843.6)	4,062.9% -38.6% -82.9%
Total Receipts	5,171.7	4,778.5	5,610.8	4,546.0	5,116.5	1,733.5	561.2	462.8					27,981.0	51,977.1	(23,996.1)	46.2%
DISBURSEMENTS: Departmental Operations: Personal Service Non-Personal Service General State Charges Unemployment Benefits	128.3 22.1 54.3 4,967.1	126.8 32.9 58.7 4,624.0	112.0 35.1 50.8 5,396.3	187.2 21.1 47.9 4,290.3	113.1 40.7 92.6 4,926.5	131.0 91.7 51.0 1,421.4	149.7 21.3 58.5 307.0	132.5 37.4 54.9 266.7					1,080.6 302.3 468.7 26,199.3	9.5 35.8 1.2 51,922.3	1,071.1 266.5 467.5 (25,723.0)	11,274.7% 744.4% 38,958.3% -49.5%
Total Disbursements	5,171.8	4,842.4	5,594.2	4,546.5	5,172.9	1,695.1	536.5	491.5					28,050.9	51,968.8	(23,917.9)	46.0%
Excess (Deficiency) of Receipts over Disbursements	(0.1)	(63.9)	16.6	(0.5)	(56.4)	38.4	24.7	(28.7)					(6.69)	8.3	(78.2)	-942.2%
OTHER FINANCING SOURCES (USES): Transfers from Other Funds Transfers to Other Funds	3.0	1 1		4.0	, ,	1 1	1 1						7.0	3.0	4.0	133.3%
Total Other Financing Sources (Uses)	3.0	•	·	4.0	·		•			•		•	7.0	3.0	4.0	133.3%
Excess (Deficiency) of Receipts and Other Financing Sources Over Disbursements and Other Financing Uses	2.9	(6.63)	16.6	6. 75.	(56.4)	38.4	24.7	(28.7)	-				(62.9)	11.3	(74.2)	-656.6%
Ending Find Balance	\$ 330 9	0 287 0	3836	\$ 287.1	\$ 230.7	269.1	203.8	265.1	,		,	·	\$ 265.1	410	\$ 224.1	548 6%

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STATE OF NEW YORK
INTERNAL SERVICE FUNDS
STATEMENT OF CASH FLOW
FISCAL YEAR 2021-2022
(amounts in millions)

	(8.01) (8.13) (1.8) 2.02
3.4	38.3 (4.3) 34.0

\$ (349.4) \$ (398.2) \$ (339.0) \$ (344.8) \$ (336.3) \$ (347.5) \$ (362.1) \$ (369.0)

Ending Fund Balance

EXHIBIT L

RECEIPTS. APRIL ALLY ALLOIST SEPTIMBER SEPTIMBER OCTOBER NOVAMBER DECEMBER ALLANDARY FEBRUARY MARCH SCALL STATE ALLOIST SEPTIMBER OCTOBER ALLOIST ALLOIST SEPTIMBER OCTOBER ALLOIST SEPTIMBER OCTOBER ALLOIST SEPTIMBER OCTOBER ALLOIST SEPTIMBER OCTOBER ALLOIST SEPTIMBER ALLOIST SE		2021									2022					\$ Increase/ % Increase/	ul %
\$ \$ \$ \$ \$ \$ \$ \$ \$ \$ \$ \$ \$ \$ \$ \$ \$ \$ \$ \$ \$ \$ \$ \$ \$ \$ \$ \$ \$ \$ \$ \$ \$ \$ \$ \$ \$ \$ \$ \$ \$ \$ \$ \$ \$ \$ \$ \$ \$ \$ \$ \$ \$ \$ \$ \$ \$ \$ \$ \$ \$ \$ \$ \$ \$ \$ \$ \$ \$ \$ \$ \$ \$ \$ \$ \$ \$ \$ \$ \$ \$ \$ \$ \$ \$ \$ \$ \$ \$ \$ \$ \$ \$ \$ \$ \$ \$ \$ \$ \$ \$ \$ \$ \$ \$ \$ \$		APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER		NOVEMBER	DECEMBER	JANUARY	FEBRUARY	MARCH	2021	2020	(Decrease)	Dec
59 131 119 86 130 144 102 84 855 792 63 59 131 119 86 130 144 102 84 855 792 63 59 12 15 14 08 83 56 91 82 60 22 83 82 60 22 83 83 83 83 83 83 83 83 83 83 83 83 83 83 83 83 83 83 83 83 83 83 83 83 83 83 83 83 83 83 83 83 83 83 83 83 83 83 83 83 83 83 83 83 83 83 83 83 83 83 83 83 83 83 83 83 83 83 83 83	Beginning Fund Balance	, \$	\$ (2.4)	' \$÷	\$ (2.2)									' &		\$ 1.1	100.0%
5.9 13.1 11.9 8.6 13.0 14.4 10.2 8.4 . . . 85.5 73.2 6.3 5.9 5.6 5.8 6.0 5.6 8.3 5.6 9.1 8.2 4.98 2.1 6.0 1.2 1.5 1.4 0.8 0.9 0.9 9.9 8.2 6.0 2.2 6.0 2.2 6.0 2.2 6.0 2.2 6.0 2.2 6.0 2.2 6.0 2.2 6.0 2.2 6.0 2.2 6.0 2.2 6.0 2.2 6.0 2.2 6.0 2.2 6.0 2.2 6.0 2.2 6.0 2.2 6.0 2.2 6.0 2.2 6.0 2.2 6.0 2.2 6.0 2.2 6.0 6.0 6.0 6.0 6.0 6.0 6.0 6.0 6.0 6.0 6.0 6.0 6.0 6.0 6.0 6.0 6.0 6.0 6.0	RECEIPTS: Miscellaneous Receipts	9.0	13.1	9.	8.6	13.0	14.4	10.2	8.4					85.5	79.2	6.3	
59 56 58 60 56 83 56 9.1 519 498 21 06 12 15 14 08 09 09 09 69 82 60 22 18 39 36 34 53 53 34 53 61 83 40.7 14.1 11.2 99 12.7 99 153 6.9 (2.4) 2.4 (2.2) (2.6) 3.1 1.7 0.3 (6.9) sees (2.4) 2.4 (2.2) (2.6) 3.1 1.7 0.3 (6.9) sees (2.4) 2.4 (2.2) (2.6) 3.1 1.7 0.3 (6.9)	Total Receipts	5.9	13.1	11.9	8.6	13.0	14.4	10.2	8.4					85.5	79.2	6.3	
5.9 5.6 5.8 6.0 5.6 9.1 51.9 49.8 2.1 0.6 1.2 1.5 1.4 0.8 0.9 0.9 0.9 0.9 2.2 1.8 3.9 6.8 3.6 9.1 6.3 3.2 6.0 2.2 8.3 10.7 14.1 11.2 9.9 12.7 9.9 15.3 (2.4) 2.4 (2.2) (2.6) 3.1 1.7 0.3 (6.9) sees (2.4) 2.4 (2.2) (2.6) 3.1 1.7 0.3 (6.9) sees (2.4) 2.4 (2.2) (2.6) 3.1 1.7 0.3 (6.9)	DISBURSEMENTS:																
06 1.2 1.5 1.4 0.8 0.9 0.9 0.9 8.2 6.0 2.2 6.0 2.2 6.0 2.2 6.0 2.2 6.0 2.2 6.0 2.2 6.0 2.2 6.0 2.2 6.0 2.2 6.0 2.2 6.0 6.0 6.0 6.0 6.0 6.0 6.0 6.0 6.0 6.0 6.0 6.0 6.0 6.0 6.0 6.0 6.0 6.0 6.0 6.0 6.0 6.0 6.0 6.0 6.0 6.0 6.0 6.0 6.0 6.0 6.0 6.0 6.0 6.0 6.0 6.0 6.0 6.0 6.0 6.0 6.0 6.0 6.0 6.0 6.0 6.0 6.0 6.0 6.0 6.0 6.0 6.0 6.0 6.0 6.0 6.0 6.0 6.0 6.0 6.0 6.0 6.0 6.0 6.0 6.0 6.0 6.0 6.0	Departmental Operations: Personal Service	5	r.c.	12 23	09	5	60	55	9					25	49.8	21	
18 39 68 38 35 34 53 61 320 259 61 407 404 407 408 412 499 412 499 453 69 69 69 69 69 69 69 69 69 69 69 69 69 69 69 69 69 69 69 69 69 69 69 69 69 69 69 69 69 69 69 69 69 69 69 69 69 69 69 69 69 69 69 69 69 69 69 69 69 69 69 69 60 60 60 60 60 60 60 60 60 60 60 60 60 60 60 60 60 60 60 60 60 60 60 60 60 60 60 60 60	Non-Personal Service	9.0	1.2	1.5	1.4	0.8	0.0	6.0	6.0				_	8.2	0.9	2.2	36.7%
883 10.7 14.1 11.2 9.9 12.7 9.9 16.3	General State Charges	1.8	3.9	6.8	3.8	3.5	3.5	3.4	5.3					32.0	25.9	6.1	23.6%
(24) 24 (22) (26) 3.1 1.7 0.3 (6.9) - - (6.6) (2.5) (4.1) Ses) - - - - - - - - - Ses (24) 24 (22) (26) 3.1 1.7 0.3 (6.9) - - - - - -	Total Disbursements	8.3	10.7	14.1	11.2	9.9	12.7	6.6	15.3		.			92.1	81.7	10.4	12.7%
Ses) : : : : : : : : : : : : : : : : : : :	Excess (Deficiency) of Receipts over Disbursements	(2.4)	2.4	(2.2)	(2.6)	3.1	1.7	0.3	(6.9)					(6.6)	(2.5)	(4.1)	-164.0%
(Uses)	OTHER FINANCING SOURCES (USES): Transfers from Other Funds		,				ı		,					,		,	
(Uses)	Transfers to Other Funds	٠					1		•					,	•	,	
3 Uses (2.4) 2.4 (2.2) (2.6) 3.1 1.7 0.3 (6.9) (6.6) (2.5) (4.1)	Total Other Financing Sources (Uses)																
$ \begin{array}{c ccccccccccccccccccccccccccccccccccc$	Excess (Deficiency) of Receipts and Other Financing Sources Over																
	Disbursements and Other Financing Uses			(2.2)	(2.6)	3.1	1.7	0.3	(6.9)					(9.9)	(2.5)	(4.1)	-164.0%

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STATE OF NEW YORK
PENSION TRUST FUNDS
STATEMENT OF CASH FLOW
FISCAL YEAR 2021-2022
(amounts in millions)

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STATE OF NEW YORK
PRIVATE PURPOSE TRUST FUNDS
STATEMENT OF CASH FLOW
FISCAL YEAR 2021-2022
(amounts in millions)

														8	8 Months Ended November 30	November 30	
	2021										2022					\$ Increase/ % Increase/	% Increase/
	APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER		OCTOBER	NOVEMBER	ER DECEMBER	JANUARY	FEBRUARY	MARCH	2021	2020	(Decrease)	Decrease
Beginning Fund Balance	\$ 40.2	\$ 40.3	\$ 40.5	\$ 41.7	\$ 42.3	\$ 42.1	چ پ	42.6	\$ 44.0	 o:				\$ 40.2	\$ 14.3	\$ 25.9	181.1%
RECEIPTS: Miscellaneous Receipts	0.1	0.2	1.3	0.7	(0.2)	0.6	6	1.5	Ö	0.5				4.7	0.2	4.5	2,250.0%
Total Receipts	0.1	0.2	1.3	0.7	(0.2)	9.0		1.5	0	0.5				4.7	0.2	4.5	2,250.0%
DISBURSEMENTS:																	
Departmental Operations: Personal Service		,		0.1		0.1	_		•					0.2	0.0	,	%0.0
Non-Personal Service		,	•	,	•	'		0.1						0.1	,	0.1	100.0%
General State Charges	٠		0.1						0.1	-				0.2	0.1	0.1	100.0%
Total Disbursements		.	0.1	0.1		0.1		0.1	0	. <u>.</u>				0.5	0.3	0.2	%2'99
Excess (Deficiency) of Receipts over Disbursements	0.1	0.2	1.2	0.6	(0.2)	0.5	10	4.	O					4.2	(0.1)	4.3	4,300.0%
OTHER FINANCING SOURCES (USES): Transfers from Other Funds Transfers to Other Funds	1 1								, ,						1 1		%0.0 %0.0
Total Other Financing Sources (Uses)			.	-						; 				.		.	%0.0
Excess (Deficiency) of Receipts and Other Financing Sources Over Disbursements and Other Financing Uses	0.1	0.2	12	0.6	(0.2)	0.5	10	4.	ó	0.4	•	•		4.2	(0.1)	4.3	4,300.0%
Ending Fund Balance	\$ 40.3	₩	\$ 41.7	\$ 42.3	\$ 42.1	\$ 42.6	 	0.44	\$ 44.4	. F	ا چ	φ	· &	\$ 44.4	\$ 14.2	\$ 30.2	212.7%

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SCHEDULE 1 G BALANCE NOVEMBER 30, 2021	3) 17,157,477 27,310	
OTHER FINANCING SOURCES (USES)	\$ 3,738,964 (1,731,386)	6,0010) (0.010) (0.072) (0.072) (0.072) (0.035) (0.035)
DISBURSEMENTS	\$ 3,738,968 1,726,366	0.001 0.001 0.186 8.180 0.074 0.079 0.855 1.16.856 0.017 0.091 116.856 0.017 0.091 1.16.85 0.017 0.091 1.16.85 0.017 0.091 1.16.85 0.017 0.091 1.16.85 0.017 0.091 1.16.85 0.017 0.091 1.16.85 0.017 0.017 0.017 0.017 0.017 0.017 0.017 0.017 0.017 0.017 0.017 0.017 0.017 0.017 0.017 0.017 0.017 0.017 0.017 0.017 0.017 0.017 0.017 0.017 0.017 0.017 0.017 0.017 0.017 0.017 0.017 0.017 0.017 0.017 0.017 0.017 0.017 0.017 0.017 0.017 0.017 0.017 0.017 0.017 0.017 0.017 0.017 0.017 0.017 0.017 0.017 0.017 0.017 0.017 0.017 0.017 0.017 0.017 0.017 0.017 0.017 0.017 0.017 0.017 0.017 0.017 0.017 0.017 0.017 0.017 0.017 0.017 0.017 0.017 0.017 0.017 0.017 0.017 0.017 0.017 0.017 0.017 0.017 0.017 0.017 0.017 0.017 0.017 0.017 0.017 0.017 0.017 0.017 0.017 0.017 0.017 0.017 0.017 0.017 0.017 0.017 0.017 0.017 0.017 0.017 0.017 0.017 0.017 0.017 0.017 0.017 0.017 0.017 0.017 0.017 0.017 0.017 0.017 0.017 0.017 0.017 0.017 0.017 0.017 0.017 0.017 0.017 0.017 0.017 0.017 0.017 0.017 0.017 0.017 0.017 0.017 0.017 0.017 0.017 0.017 0.017 0.017 0.017 0.017 0.017 0.017 0.017 0.017 0.017 0.017 0.017 0.017 0.017 0.017 0.017 0.017 0.017 0.017 0.017 0.017 0.017 0.017 0.017 0.017 0.017 0.017 0.017 0.017 0.017 0.017 0.017 0.017 0.017 0.017 0.017 0.017 0.017 0.017 0.017 0.017 0.017 0.017 0.017 0.017 0.017 0.017 0.017 0.017 0.017 0.017 0.017 0.017 0.017 0.017 0.017 0.017 0.017 0.017 0.017 0.017 0.017 0.017 0.017 0.017 0.017 0.017 0.017 0.017 0.017 0.017 0.017 0.017 0.017 0.017 0.017 0.017 0.017 0.017 0.017 0.017 0.017 0.017 0.017 0.017 0.017 0.017 0.017 0.017 0.017 0.017 0.017 0.017 0.017 0.017 0.017 0.017 0.017 0.017 0.017 0.017 0.017 0.017 0.017 0.017 0.017 0.017 0.017 0.017 0.017 0.017 0.017 0.017 0.017 0.017 0.017 0.017 0.017 0.017 0.017 0.017 0.017 0.017 0.017 0.017 0.017 0.017 0.017 0.017 0.017 0.017 0.017 0.017 0.017 0.017 0.017 0.017 0.017 0.017 0.017 0.017 0.017 0.017 0.017 0.017 0.017 0.017 0.017 0.017 0.017 0.017 0.017 0.017 0.017 0.017 0.017 0.017 0.017 0.017 0.017 0.017 0.017 0.017 0.017 0.017 0.017 0.017 0.017 0.017 0.017 0
RECEIPTS	\$ 0.004	6.006 0.006 0.436 3.068 0.008 0.715 0.715 0.850 1.493 1.493 1.493 1.493 1.041 0.003 1.041 0.003 1.041
BALANCE NOVEMBER 1, 2021	\$ 18,091.349 - 27.421 - - - - - - - - - - - - - - - - - - -	0.802 62.935 123.634 0.082 0.082 0.082 0.074 8.017 8.128 0.014 5.416 70.581 (558.270) 19.965 (3.532) 6.763 10.085 10.085 10.366 0.071 12.361 12.361 12.361 0.071
STATE OF NEW YORK GOVERNMENTAL FUNDS SUMMARY OF CASH RECEIPTS, DISBURSEMENTS AND CHANGES IN FUND BALANCES FISCAL YEAR 2021-2022 FOR THE MONTH OF NOVEMBER 2021 (amounts in millions)	GENERAL FUND 10000-10049-Local Assistance Account 10050-10049-Local Assistance Account 10050-10099-State Operations Account 10100-10149-Tax Stabilization Reserve 10150-10199-Contingency Reserve 10250-10249-Universal Pre-K Reserve 10250-10249-Rainy Day Reserve Fund 10400-10449-Refund Reserve Account 10500-10549-Fringe Benefits Escrow 10550-10599-Tobacco Revenue Guarantee	SPECIAL REVENUE FUNDS-STATE 20000-20099-Mental Health Gifts and Donations 20100-20299-Combined Expendable Trust 20300-2039-New York Interest on Lawyer Account 20350-20399-New York Interest on Lawyer Account 20350-20399-New York Interest on Lawyer Account 20400-20499-Luiton Reimbursement 20400-20499-Luiton Reimbursement 20500-20549-New York State Local Government Records Management Improvement 20500-20549-Charter School Stimulus 20650-20699-School Tax Relief 20600-20649-Charter Schools Stimulus 20650-20699-Dedicated Mass Transportation Trust 20600-20649-Charter Schools Stimulus 20600-20649-Charter Schools Stimulus 20600-20649-Charter Schools Stimulus 20600-20649-Charter Schools Stimulus 20600-20649-Charter Schools Stimulus 20600-20649-Charter Schools Stimulus 20600-20649-Charter Schools Stimulus 20600-20649-Charter Schools Stimulus 20600-20649-Charter Schools Stimulus 20600-20649-Charter Schools Stimulus 21600-2149-Charter Schools Stimulus 21600-2149-Environmental Protection and Oil Spill Compensation 21250-21399-Equipment Loan for the Disabled 21400-21449-Mass Transportation Operating Assistance 21400-21449-Mass Transportation Operating Assistance 21400-21449-Mass Transportation Operating Assistance 21600-21649-Beislative Computer Services 21600-21649-Beislative Computer Services 21600-21649-Minter Sports Education Trust 21600-21749-Winter Sports Education Trust 21600-21749-Winter Sports Education Trust 21750-21789-Minter Sports Education Trust 21750-21789-Minter Sports Education Trust 21750-21789-Minter Sports Education Trust

STATE OF NEW YORK GOVERNMENTAL FUNDS	SUMMARY OF CASH RECEIPTS, DISBURSEMENTS AND	FISCAL YEAR 2021-2022	FOR THE MONTH OF NOVEMBER 2021	(amounts in millions)

SCHEDULE 1

(amounts in millions)	BALANCE			OTHER FINANCING	BALANCE
	NOVEMBER 1, 2021	RECEIPTS	DISBURSEMENTS	SOURCES (USES)	NOVEMBER 30, 2021
SPECIAL REVENUE FUNDS-STATE (CONTINUED)	0 063				C 60 64
22650-22699-State University Income	2.511.275	334,036	630,117	114.129	2.329.323
22700-22749-Chemical Dependence Service	6.608	0.096	0.054		6.650
22750-22799-Lake George Park Trust	0.370	0.550	0.150		0.770
22800-22849-State Police Motor Vehicle Law Enforcement and					
Motor Vehicle Theft and Insurance Fraud Prevention	79.556	17.963	0.093		97.426
22850-22899-New York Great Lakes Protection	0.499	•	0.014	i	0.485
22900-22949-Federal Revenue Maximization	0.024	•	•	•	0.024
22950-22999-Housing Development	10.496		0.775	Ĭ	9.721
23000-23049-NYS/DOT Highway Safety Program	(18.438)	(0.001)	0.414	•	(18.853)
23050-23099-Vocational Rehabilitation	0.036	•	•	•	0.036
23100-23149-Drinking Water Program Management and					
Administration	(5.351)	•	•	Ť	(5.351)
23150-23199-NYC County Clerks' Operations Offset	(48.826)	•	2.280	Ť	(51.106)
23200-23249-Judiciary Data Processing Offset	77.018	12.762	2.986	i	86.794
23500-23549-USOC Lake Placid Training	0.258	0.001	•	i	0.259
23550-23599-Indigent Legal Services	632.041	53.404	17.360	•	668.085
23600-23649-Unemployment Insurance Interest and Penalty	13.438	0.001	0.015		13.424
23650-23699-MTA Financial Assistance Fund	164.220	900:0	61.475	61.475	164.226
23700-23749-New York State Commercial Gaming Fund	98.155	14.290	11.774		100.671
23750-23799-Medical Cannabis Trust Fund	27.972	996:0	1.920	(0.212)	26.806
23800-23899-Dedicated Miscellaneous State Special Revenue	5.870	0.916	0.220	(0.015)	6.551
24800-24849-NYS Cannabis Revenue	(0.049)		0.098		(0.147)
24850-24899-Health Care Transformation	254.747	0.012			254.759
24900-24949-Charitable Gifts Trust Fund	0.005	•		•	0.005
24950-24999-Interactive Fantasy Sports	23.379	0.899	00:00	1	24.269
40350-40399-State University Dormitory Income	380.976	21.053		(3.726)	398.303
TOTAL SPECIAL REVENUE FUNDS-STATE	6,808.465	1,760.908	2,286.152	208.731	6,491.952
SPECIAL REVENUE FUNDS-FEDERAL					
25000-25099-Federal USDA/Food and Consumer Services	(103.242)	242.220	285.798	(0.225)	(147.045)
25100-25199-Federal Health and Human Services	4,404.526	4,423.242	4,690.208	(64.333)	4,073.227
25200-25249-Federal Education	(128.246)	401.030	309.759	(21.675)	(58.650)
25300-25899, 25951-Federal Miscellaneous Operating Grants	14,997.659	610.282	265.068	(0.001)	15,342.872
25900-25949-Unemployment Insurance Administration	176.133	21.296	43.611	•	153.818
25950, 25952-25999-Unemployment Insurance Occupational Training	(0.452)	0.528	0.562	i	(0.486)
	(2.224)	13.060	18.308		(7.472)
TOTAL SPECIAL REVENUE FUNDS-FEDERAL	19,344.154	5,711.658	5,613.314	(86.234)	19,356.264
TOTAL SPECIAL REVENUE FUNDS	26,152.619	7,472.566	7,899.466	122.497	25,848.216
DEBT SERVICE FUNDS					
40000-40049-Debt Reduction Reserve	- 1	. 04	•	- '	, n
40100-40149-Mental Health Services	75.847	45.548	700 4	(62.537)	35.834 405.470
40130-40199-Gerleral Debt Service 40250-40399-State Housing Debt Service	700.974	2,438.677	13.02/	(0.300)	403.1.8
40300-40255-0tate Hodaling Deta October	25 925	20.369	13 190	(5.356)	27 443
40400-40449-Clean Water/Clean Air	9.214	119.354	3 '	(115.882)	12.686
40450-40499-Local Government Assistance Tax	•	322.989		(322.989)	•
TOTAL DEBT SERVICE FUNDS	314.605	2,945.333	28.217	(2,730.559)	501.162

(13.144) (12.942) (304.398) 17.938 (11.952) (103.114) 0.540 120.019 122.385 18.179 (98.296) 0.015 84.082 (959.966) 1.083 0.668 3.328 1.419 17.210 4.255 5.550 0.164 1.428 143.212 0.060 471.239) (219.418)71.083 (62.049)BALANCE NOVEMBER 30, 2021 1,566.778 41,967.387 \$ (0.934)OTHER FINANCING 1.088 SOURCES (USES) DISBURSEMENTS 18.948 0.080 15.449 30.655 6.509 175.060 1.538 9 14,509.056 11.277 3.477 2.718 0.516 13,450.383 0.824 0.634 0.037 313.465 508,600 RECEIPTS (456.424) (188.763) (1,098.371) 1.083 (11.606) (12.942) 17.502 (11.952) 95.669 (1,557.986) 18.179 (92.722) 0.015 87.015 0.668 3.328 1.419 17.210 4.255 5.550 2.778 1.428 144.777 **NOVEMBER 1, 2021** 0.164 (93.621) 0.540 77.083 (60.640)(285.450) 43,028.008 124.891 30600-30609-Energy Conservation Thru Improved Transportation Bond 30650-30659-Rebuild and Renew New York Transportation Bond 30100-30299-SUNY Residence Halls Rehabilitation and Repair 32300-32349-Mental Hygiene Facilities Capital Improvement 30300-30349-New York State Canal System Development 30660-30669-Transportation Infrastructure Renewal Bond 30610-30619-Park and Recreation Land Acquisition Bond 30900-30949-Rail Preservation and Development Bond 32350-32399-Correction Facilities Capital Improvement 33050-33099 Dedicated Infrastructure Investment Fund 30680-30689-Accelerated Capacity and Transportation 31700-31749-Division for Youth Facilities Improvement 30750-30799-Outdoor Recreation Development Bond 30500-30549-Clean Water/Clean Air Implementation 30640-30649-Environmental Quality Protection Bond 30630-30639-Transportation Capital Facilities Bond 30670-30679-1986 Environmental Quality Bond Act 30050-30099-Dedicated Highway and Bridge Trust 32400-32999-State University Capital Projects 32200-32249-Miscellaneous Capital Projects 30690-30699-Clean Water/Clean Air Bond 31500-31549-Hazardous Waste Remedial 31450-31499-Forest Preserve Expansion 33000-33049-NYS Storm Recovery Fund 31900-31949-Natural Resource Damage 31950-31999-DOT Engineering Services 30400-30449-Passenger Facility Charge TOTAL CAPITAL PROJECTS FUNDS 30450-30499-Environmental Protection 31650-31699-Suburban Transportation 31350-31449-Federal Capital Projects 32250-32299-CUNY Capital Projects TOTAL GOVERNMENTAL FUNDS 30000-30049-State Capital Projects 30700-30709-State Housing Bond 30710-30719-Smart Schools Bond 31800-31849-Housing Assistance 30350-30399-Parks Infrastructure Improvement Bond 30620-30629-Pure Waters Bond 31850-31899-Housing Program CAPITAL PROJECTS FUNDS (amounts in millions)

SUMMARY OF CASH RECEIPTS, DISBURSEMENTS AND

GOVERNMENTAL FUNDS

STATE OF NEW YORK

CHANGES IN FUND BALANCES

FISCAL YEAR 2021-2022

FOR THE MONTH OF NOVEMBER 2021

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STATE OF NEW YORK
PROPRIETARY FUNDS
SUMMARY OF CASH RECEIPTS, DISBURSEMENTS AND
CHANGES IN FUND BALANCES
FISCAL YEAR 2021-2022
FOR THE MONTH OF NOVEMBER 2021
(amounts in millions)

FUND TYPE	B	BALANCE NOVEMBER 1, 2021	RE	RECEIPTS	DISBU	DISBURSEMENTS	OTI FINAN SOURCE	OTHER FINANCING SOURCES (USES)	BAI	BALANCE NOVEMBER 30, 2021
ENTERPRISE FUNDS										
23250-23449-CUNY Senior College Program	€	188.280	s	5.656	€	6.627	€	,	↔	187.309
50000-50049-Youth Commissary		0.113		0.002		0.004		1	•	0.111
50050-50099-State Exposition Special		3.388		0.113		1.566		į		1.935
50100-50299-Correctional Services Commissary		3.962		3.751		3.800		1		3.913
50300-50399-Agencies Enterprise		18.389		2.466		1.706		į		19.149
50400-50449-Sheltered Workshop		2.178		0.001		0.018		į		2.161
50450-50499-Patient Workshop		2.098		0.011		0.007		ı		2.102
50500-50599-Mental Hygiene Community Stores		4.857		0.041		0.077		ı		4.821
50650-50699-Unemployment Insurance		12.940		266.007		266.704				12.243
60850-60899-CUNY Senior College Operating		57.577		184.753		210.973		ı		31.357
TOTAL ENTERPRISE FUNDS		293.782		462.801		491.482				265.101
INTERNAL SERVICE FUNDS										
55000-55049-Centralized Services		(85.531)		33.532		38.313		0.334		(89.978)
55050-55099-Agency Internal Service		(179.563)		15.891		9.715		1.702		(171.685)
55100-55149-Mental Hygiene Revolving		0.025		0.018		0.078		ı		(0.035)
55150-55199-Youth Vocational Education		0.046		1		1		į		0.046
55200-55249-Joint Labor and Management Administration		0.707		ı		0.078		ı		0.629
55250-55299-Audit and Control Revolving		(63.046)		1		6.791		(0.062)		(68.899)
55300-55349-Health Insurance Revolving		(3.983)		ı		1.120		ı		(5.103)
55350-55399-Correctional Industries Revolving		(30.756)		2.222		4.387		(0.026)		(32.947)
TOTAL INTERNAL SERVICE FUNDS		(362.101)		51.663		60.482		1.948		(368.972)
	•		•		•		•		•	
TOTAL PROPRIETARY FUNDS	sə	(68.319)	₽ Э	514.464	sə-	551.964	s s	1.948	ь	(103.871)

1.893 (0.001) 1,093.883 119.647 513.609 6.921 0.992 402.960 15.312 15.312 0.030 0.033 0.029 0.092 DISBURSEMENTS 4 0.656 0.001 985.987 119.650 491.892 4.780 0.719 515.519 8.488 0.516 8.488 0.465 0.051 RECEIPTS 6.702 0.570 979.926 15.098 41.568 37.201 1.299 557.908 **NOVEMBER 1, 2021** 0.255 43.969 0.255 SUMMARY OF CASH RECEIPTS, DISBURSEMENTS AND CHANGES IN FUND BALANCES BALANCE 69 60050-60149-School Capital Facilities Financing Reserve 60150-60199-Child Performer's Holding 60200-60249-Employees Health Insurance 60250-60299-Social Security Contribution 60300-60399-Employee Payroll Withholding 65000-65049-Common Retirement Administration TOTAL PRIVATE PURPOSE TRUST FUNDS 66000-66049-Agriculture Producers' Security 66050-66099-Milk Producers' Security FOR THE MONTH OF NOVEMBER 2021 PRIVATE PURPOSE TRUST FUNDS **TOTAL PENSION TRUST FUNDS** FUND TYPE 22022-College Savings Account PENSION TRUST FUNDS FISCAL YEAR 2021-2022 (amounts in millions) AGENCY FUNDS

(6.569)

(6.569)

S

69

NOVEMBER 30, 2021 BALANCE

SOURCES (USES) **FINANCING**

29.528 3.134 11.731

44.393

120.345	(3.114)		3,534.121	3,571.945
				49
İ	•			
				φ,
1	17.622	•	8,986.685	9,002.089
				s
(35.849)	19.207		8,961.087	8,970.091
				60
156.194	(4.699)		3,559.719	3,603.943
				s
61000-61099-State University of New York Revenue Collection	61100-61999-State University Federal Direct Lending Program	62000-62049-SSI SSP Payment Escrow	TOTAL AGENCY FUNDS	TOTAL FIDUCIARY FUNDS

1,063.121 28.336 705.861

623.128

566.438 3,284.954

1,119.811 28.337

6,198.897

619.804

60800-60849-Elderly Pharmaceutical Insurance Coverage (EPIC) Escrow 60900-60949-Medicaid Management Information System (MMIS) Escrow 60950-60999-Special Education

60600-60799-Miscellaneous New York State Agency

60550-60599-Health Insurance Reserve Receipts

60400-60449-Employees Dental Insurance 60450-60499-Management Confidential Group Insurance 60500-60549-Lottery Prize

5.465 0.572 872.030 15.101 19.851 35.060 1.026 670.467

FIDUCIARY FUNDS

SCHEDULE 4

STATE OF NEW YORK
SOLE CUSTODY AND INVESTMENT ACCOUNTS
STATEMENT OF CASH RECEIPTS AND DISBURSEMENTS
FISCAL YEAR 2021-2022
FOR THE MONTH OF NOVEMBER 2021

(amounts in millions)

FUND TYPE	NOVE	BALANCE VEMBER 1, 2021	۳	RECEIPTS	DISB	ISBURSEMENTS	B NOVEN	BALANCE NOVEMBER 30, 2021
ACCOUNTS								
70000-70049-Tobacco Settlement	↔	2.890	↔	ı	↔	ı	↔	2.890
70093, 70095, 70300-70301-MTA State Assistance		231.283		195.309		192.981		233.611
70050-70149-Sole Custody Investment (*)		2,595.055		2,714.808		2,414.160		2,895.703
70200-Comptroller's Refund Account		'		366.349		366.349		1
TOTAL ACCOUNTS	₩	2,829.228	\$	3,276.466	€	2,973.490	\$	3,132.204

(*) Includes Public Asset Fund resources:

such conversion, assets representing 95 percent of the fair market value of the not-for-profit corporation be transferred to a fund designated as the "Public Asset Fund" and 5 percent transferred to a Charitable Foundation as set forth in Section 7317 of the Insurance Law. On December 28, 2005, WellChoice, Inc. (previously known as Empire Blue Cross, Blue Shield) approved a takeover by WellPoint, Inc. This conversion was also subject to the same Chapter 1 requirements of assigning assets representing 95 percent of the fair market value of the not-for-profit corporation be transferred to the "Public Asset Fund". As of November 30, 2021, \$9,549,832.00 (representing the remaining balance of the State's 95 percent share of the fair market value of the not-for-profit corporation plus interest) is on deposit in the sole custody account titled Public Asset Fund. In accordance with Section 4301(i)(4)(F) and (O) of the Insurance Law and at the direction of the Director of the Budget, these funds are available for transfer to HCRA Resources Fund (20800-20849). Chapter 1 of the Laws of 2002 authorized the conversion of Empire Health Choice, d'b/s Empire Blue Cross and Blue Shield from a not-for-profit corporation to a for-profit corporation. Chapter 1 requires, in part, that upon

STATE OF NEW YORK DEBT SERVICE FUNDS STATEMENT OF DIRECT STATE DEBT ACTIVITY FISCAL YEAR 2021-2022

		ļ	DEB.	DEBT ISSUED		DEBT	DEBT MATURED		!				
PURPOSE	OUT	DEBT OUTSTANDING APRIL 1, 2021	MONTH OF NOVEMBER	8 MONTHS ENDED NOVEMBER 30, 2021	NDED 0, 2021	MONTH OF NOVEMBER	8 MON NOVEM	8 MONTHS ENDED NOVEMBER 30, 2021	DEBT OUTSTANDING NOVEMBER 30, 2021	T NDING 30, 2021	INTER MONTH OF NOVEMBER	INTEREST DISBURSED OF 8 MONTHS BER NOVEMBER	ISBURSED 8 MONTHS ENDED NOVEMBER 30, 2021
GENERAL OBLIGATION BONDED DEBT:													
Accelerated Capacity and Transportation Improvements	69	9,992,174	€	69		9	€9	785,635	69	9,206,539	€	69	220,188
Clean Water/Olean Air: Air Quality		1,321,445				1		1		1,321,445			20,042
Safe Drinking Water Clean Water Solid Waste Ervironmental Restoration		- 277,661,899 11,083,955 36,701,555	1 1 1		1 1 1 1			9,125,472 163,906 165,000	3 1 26	268,536,427 10,920,049 36,536,555			4,843,641 215,006 553,153
Energy Conservation Through Improved Transportation: Rapid Transit and Rail Freight		1,022,456	ı			ı		263,713		758,743			38,507
Environmental Quality (1972): Air Land and Wetlands Water		4,267,512 5,538,761	1 1 1					25,000 1,720,000		4,242,512 3,818,761			- 104,576 126,730
Environmental Quality (1986): Land Acquisition/Development/Restoration/Forests Solid Waste Management		2,692,870 83,003,304	1 1		1 1	1 1		202,816 7,383,811		2,490,054 75,619,493			53,800 1,868,407
Housing: Low Income Middle Income		4,115,000 1,795,000						1,280,000		4,115,000			
Park and Recreation Land Acquisition		•	•		,	•		•		•			•
Pure Waters		13,485,946	1		1	1		833,700	-	12,652,246			308,663
Rail Preservation Development		1	•		1	ı		1		1			ı
Rebuild and Renew New York Transportation: Highway Facilities Canals and Waterways Aviation Rail and Pot Arransportation Mass Transit. Deat of Transportation		562,450,682 7,620,311 40,464,691 90,201,189	1 1 1 1 1		1 1 1 1 1			1 1 1 1 1	80, 4 e -	562,450,682 7,620,311 40,464,691 90,201,189			7,956,515 150,656 543,569 1,321,629
Mass Transit - Metropolitan Transportation Authority		691,109,424	•		ı	1		1	- 69	691,109,424			10,070,016
Rebuild New York-Transportation infrastructure Renewal: Highways, Parkways, and Bridges Rapid Transit, Rail and Aviation		357,668 1,563,392			1.1	1 1		393,136		357,668 1,170,256			8,101 64,954
Smart Schools Bond Act		306,942,604	1			•		1	8	306,942,604			5,959,880
Transportation Capital Facilities: Aviation Mass Transportation		1,648,621			1 1	1 1		837,811		810,810			60,379
Total General Obligation Bonded Debt	w	2,168,969,999	€9	6		s	69	23,180,000	\$ 2,14	2,145,789,999	49	~	34,760,324

SCHEDULE 5a

FOR THE EIGHT MONTHS ENDED NOVEMBER 30, 2021

	į	į			LOCAL		į	1				
	DEBI	מַּיָּ	GENERAL DEBT	OF HEALTH	GOVERNIMENI	MENIAL	REVENUE	SALES LAX REVENUE BOND	COME	COMBINED TOTALS		
	RESERVE	SE	SERVICE	INCOME	TAX	SERVICES (1)	⊤AX [⊕]	TAX	8 MONTHS	8 MONTHS ENDED NOVEMBER 30	BER 30	\$ INCREASE/
Special Contractual Financing Obligations:	(40000-40049)	9	(40151)	(40300-40349)	(40450-40499)	(40100-40149)	(40152)	(40154)	2021		2020	(DECREASE)
Payments to Public Authorities:												
City University Construction	•	so	27,593,459	·	- &		69	•	\$ 27,593,459	\$ 69	7,115,904	\$ 20,477,555
Dormitory Authority:												
Consolidated Service Contract Refunding												
DASNY Revenue Bond	•		٠	•			550,857,612	29,531,110	580,388,7	22	797,266,004	(216,877,282)
Department of Health Facilities	•		•	24,122,878	•		•	•	24,122,878	78	25,467,940	(1,345,062)
Mental Health Facilities				1	1	(144,157)	1	•	(144,157)	(25	8,615,353	(8,759,51
Secured Hospital Program			1,627,568				•		1,627,568	88	2,495,165	765,798)
SUNY Community Colleges	•		10,879,525	•	•	•	•	•	10,879,525	52	8,347,200	2,532,325
SUNY Educational Facilities	•		105,416,691	•	•	•	•	•	105,416,691	74	25.819.812	79,596,879
Environmental Facilities Corporation	•		•	•			•				430,631	(430,631)
Housing Finance Agency	•		1,772,122	•	•		•		1,772,122	52	15,828,552	(14,056,430)
Local Government Assistance Corporation	•		•	•	•	1	•	•			ı	
Metropolitan Transportation Authority:												
Transit and Commuter Rail Projects	•		•	•			•					
Thruway Authority:												
Dedicated Highway and Bridge			55,713,218						55,713,218	81	80,878,520	(25,165,302)
Local Highway and Bridge	1		1	•	1	ı	ı,	ı		i	1	
Transportation	•			•	•	1	4,675,750	•	4,675,750	00	17,821,175	(13,145,425)
Urban Development Corporation:												
Clarkson University				1	1		1	•				
Columbia Univer. Telecommunications Center				1	i	1	1	1				
Consolidated Service Contract Refunding	•		2,180,971	•	•	1	•	•	2,180,971	7	4,297,108	(2,116,137)
Cornell Univer. Supercomputer Center	•											
Correctional Facilities	•		٠	•	•		•				٠	
Debt Reduction Reserve	•			•	•	1	•	•		,		
UDC Revenue Bond			•	•	•		361,261,266		361,261,266	96	286,525,473	74,735,793
University Facilities Grant 95 Refunding	•				•						11,603	(11,603)
Total Disbursements for Special Contractual												
Financing Obligations	49	s	205,183,554	\$ 24,122,878	· •	\$ (144,157)	\$ 916,794,628	\$ 29,531,110	\$ 1,175,488,013	ا~	1,280,920,440	\$ (105,432,427)

Debt Service does not include interest paid on Revenue Anticipation Notes (General Purpose), Series 2020A and 2020B that were reimbursed from the Coronavirus Relief Fund within the Special Revenue Federal Funds.

SCHEDULE 6

STATE OF NEW YORK
SUMMARY OF THE OPERATING FUND INVESTMENTS
FOR THE MONTH OF NOVEMBER 2021
AS REQUIRED OF THE STATE COMPTROLLER
(amounts in millions)

	MONTH OF NOVEMBER 2021	FISCAL YEAR TO DATE	YEAR	PRIOR FISCAL YEAR TO DATE	CAL
SHORT TERM INVESTMENT POOL (*)					
AVERAGE DAILY INVESTMENT BALANCE (**) AVERAGE YIELD (**)	\$ 48,420.0	↔	44,268.0 0.056%	\$ 28	28,546.7 0.224%
TOTAL INVESTMENT EARNINGS	\$ 2.493	&	16.076	\$	44.261
Month-End Portfolio Balances					
		NOVEMBER 2021	ER 2021	NOVEMBER 2020	\$ 2020
DESCRIPTION		PAR AMOUNT	MOUNT	PAR AMOUNT	UNT
GOVT. AGENCY BILLS/NOTES		€>	25,317.5	\$ 14	14,652.2
REPURCHASE AGREEMENTS			200.2		20.4
GOVT. SPONSORED AGENCIES			0.009		609.5
COMMERCIAL PAPER			19,307.1	15	15,242.0
CERTIFICATES OF DEPOSIT/SAVINGS	NGS		2,927.6	2	2,325.3
0% COMPENSATING BALANCE CDs	S(843.0		648.0
		\$	49,195.4	\$ 33	33,497.4

moneys, in any fund, held by the State. The Short Term investment Pool (STIP) represents an accounting mechanism that allows for the separate accounting of individual funds (on deposit in the State's General Checking or as an agent for individuals, private organizations, or non-State governmental units (e.g. local governments and used for any State purposes since moneys in such funds are held by the State in a trustee (or fiduciary) capacity (*) Pursuant to §98 of the State Finance Law, the State Comptroller is authorized to invest and keep invested all exceed the end of the fiscal year. However, it must be noted that certain funds are invested as part of STIP, but are held by the State Comptroller in a fiduciary capacity. Fiduciary fund balances are restricted and may not be public authorities). Therefore, Fiduciary fund balances are not available to be temporarily loaned to the General Fund-State Operations Account. Fiduciary fund balances are presented in Schedules 3 and 4 of this report. authorized to temporarily loan to the General Fund-State Operations Account (10050) funds for a period not to account) for the purpose of making short term investments. Pursuant to State Finance Law §4(5) the STIP is

(**) Does not include 0% Compensating Balance CDs.

	2021 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2022 JANUARY	FEBRUARY	MARCH	8 Months Ended November 30, 2021
OPENING CASH BALANCE	\$ 15,864,357	\$ 114,747,009	\$ 145,654,657	\$ 159,720,053	\$ 245,054,517	\$ 236,162,456	\$ 248,383,918	\$ 252,474,319					\$ 15,864,357
RECEIPTS:													
Cigarette Tax	69, 708, 587	51,733,707	64, 925, 784	59,829,609	62, 332, 930	56,116,441	60,603,823	55,171,362					480,422,243
State Share of NYC Cigarette Tax	1,648,000	1,459,000	1,274,000	1,676,000	1,306,000	1,626,000	1,727,000	1,251,000					11,967,000
Vapor Excise Tax	195,233	36,284	6, 603, 432	121,491	8,416	7, 791, 035	54,540	40,320					14,850,751
STIP Interest	25,976	16,935	19,037	14,171	14,291	22,003	21,927	23,224					157,564
Public Asset Transfers	. !				•								
Assessments	414,748,474	457,225,416	477,819,714	458,852,224	457,886,237	461,408,108	440,416,406	460,846,426					3,629,203,005
rees	2/8,000	000 %/	660'/9/	989/,000	000,996,1	618,000	12/,000	95,000					4,414,099
Rebates	4,640,806	263, 285	6, 664, 296	5,005,057	1,011,717	7,553,581	8,367,221	234,849					33,740,812
Restitution and Settlements				. !									
Miscellaneous		1,104	3,026,622	8,171	18,066		5,921	-					3,059,885
Total Receipts	491,246,076	510,810,731	561,089,984	526,403,723	524,143,657	535,135,168	511,323,838	517,662,182	1				4,177,815,359
DISBURSEMENTS:													
Grants	389,370,867	471,920,457	537,645,318	431,140,487	525, 222, 097	516,132,496	505,441,410	652,481,638					4,029,354,770
Interest - Late Payments	47	108	157	1,060	1,583	1,361	(010)	3,280					986'9
Personal Service	927,463	929,434	521,245		1,060,813	1,319,948	265,068	1,568,618					7,335,025
Non-Personal Service	952,452	6,137,767	6,694,728	2,687,862	5,697,716	4,428,571	1,026,703	8,754,698					36,380,497
Employee Benefits/Indirect Costs	577,094	581,800	737,800	148,953	661,882	711,327	174,942	954,879					4,548,677
Total Disbursements	391,827,923	479,569,566	545,599,248	434,720,798	532,644,091	522,593,703	506,907,513	663,763,113					4,077,625,955
OPERATING TRANSFERS:													
Transfers to Capital Projects Fund													
Transfers to General Fund		1,104	602,000	6,229,171			5,921	(6,220,999)					617,197
Transfers to Revenue Bond Tax Fund	•	•	•	•	•	•	•						
Transfers to Miscellaneous Special Revenue Fund:													
Administration Program Account	326,891		340,322					758, 199					1,425,412
Transfers to CI INV Income Find	208 610	332.413	483.049	119 200	301 627	320 003	320.003	346.620					2 524 584
Total Operating Transfers	535,501	333,517	1,425,340	6,348,461	391,627	320,003	325,924	(5,116,180)					4,564,193
Total Disbursements and Transfers	392,363,424	479,903,083	547,024,588	441,069,259	533,035,718	522,913,706	507,233,437	658,646,933		•			4,082,190,148
SOND CASH BALANCE	\$ 114 747 000	2 145 654 657	\$ 150 720 053	\$ 245,054,547	S 236 162 456	\$ 248 383 048	\$ 252.474.340	411 400 560					944 400 560

APPENDIX B

Program/Purpose	Appropriation Amount (*)	November	8 Months Ended November 30, 2021 (**)
CENTER FOR COMMUNITY HEALTH PROGRAM	\$ 8,827,000.00 \$	445,256.44	\$ 1,788,264.35
CENTER FOR COMMUNITY HLTH	8,827,000.00	445,256.44	
CHILD HEALTH INSURANCE PROGRAM	1,901,406,000.00	32,120,553.65	432,878,991.20
CHIED TEACH IN INCOMING THE COMMINITY STIDDONG TO COMMINITY STIDDONG TO COMMINITY STIDDONG TO COMMINITY STIDDONG TO COMMINITY STIDDONG TO COMMINITY STIDDONG TO COMMINITY STIDDONG TO COMMINITY STIDDONG TO COMMINITY STIDDONG TO COMMINITY STIDDONG TO COMMINITY STIDDONG TO COMMINITY STIDDONG TO COMMINITY STIDDONG TO COMMINITY STIDDONG TO COMMINITY STIDDONG TO COMMINITY STIDDONG TO COMMINITY STIDDONG TO COMMINITY STIDDONG TO COMMINITY STIDDONG TO COMMINITY STIDDONG TO COMMINITY STIDDONG TO COMMINITY STIDDONG TO COMMINITY STIDDONG TO COMMINITY STIDDONG TO COMMINITY STIDDONG TO COMMINITY STIDDONG TO COMMINITY STIDDONG TO COMMINITY STIDDONG TO COMMINITY STIDDONG TO COMMINITY STIDDONG TO COMMINITY STIDDONG TO COMMINITY STIDDONG TO COMMINITY STIDDONG TO COMMINITY STIDDONG TO COMMINITY STIDDONG TO COMMINITY STIDDONG TO COMMINITY STIDDONG TO COMMINITY STIDDONG TO COMMINITY STIDDONG TO COMMINITY STIDDONG TO COMMINITY STIDDONG TO COMMINITY STIDDONG TO COMMINITY STIDDONG TO COMMINITY STIDDONG TO COMMINITY STIDDONG TO COMMINITY STIDDONG TO COMMINITY STIDDONG TO COMMINITY STIDDONG TO COMMINITY STIDDONG TO COMMINITY STIDDONG TO COMMINITY STIDDONG TO COMMINITY STIDDONG TO COMMINITY STIDDONG TO COMMINITY STIDDONG TO COMMINITY STIDDONG TO COMMINITY STIDDONG TO COMMINITY STIDDONG TO COMMINITY STIDDONG TO COMMINITY STIDDONG TO COMMINITY STIDDONG TO COMMINITY STIDDONG TO COMMINITY STIDDONG TO COMMINITY STIDDONG TO COMMINITY STIDDONG TO COMMINITY STIDDONG TO COMMINITY STIDDONG TO COMMINITY STIDDONG TO COMMINITY STIDDONG TO COMMINITY STIDDONG TO COMMINITY STIDDONG TO COMMINITY STIDDONG TO COMMINITY STIDDONG TO COMMINITY STIDDONG TO COMMINITY STIDDONG TO COMMINITY STIDDONG TO COMMINITY STIDDONG TO COMMINITY STIDDONG TO COMMINITY STIDDONG TO COMMINITY STIDDONG TO COMMINITY STIDDONG TO COMMINITY STIDDONG TO COMMINITY STIDDONG TO COMMINITY STIDDONG TO COMMINITY STIDDONG TO COMMINITY STIDDONG TO COMMINITY STIDDONG TO COMMINITY STIDDONG TO COMMINITY STIDDONG TO COMMINITY STIDDONG STIDDONG TO COMMINITY STIDDONG TO COMMINITY STIDDONG STIDDONG S	00.000,004,108,1	32, 120,003.80	
COMMUNITY SUPPORT	120,000.00		
ELDERLY PHARMACEUTICAL INS COVERAGE PRG	234,330,000.00	9,831,527.13	
ELDERLY PHARMACEUTICAL INSURANCE COVERAGE	234,330,000.00	9,831,527.13	
HEALTH CARE REFORM ACT PROGRAM	1,240,915,059.03	46,061,434.51	N
AIDS DRUG ASSISTANCE	82,100,000.00	20,000,000,00	20,000,000,00 3,405,42
AMBOLATORI CARE TRAINING	3 387 000 00	1	(7,109.47)
COMMISSIONER EMERGENICY DISTRIBUTIONS	5,387,000,00		• 1
DIAGNOSTIC AND TREATMENT CIRCUMDENSATED CARE	108 800 000 00	22 536 850 50	22 536 850 50
DIVERSITY IN MEDICINE	4.782.000.00	-	
EMPIRE CLINIC RESEARCH INVESTMENT (ECRIP)	00.000,008,9	•	
HCRA PAYOR / PROVIDER AUDITS	9,440,000.00	568,059.44	2,947,354.44
HEALTH FACILITY RESTRUCTURING DASNY	39,200,000.00		00'000'009'6
HEALTH WORKFORCE RETRAINING	18,320,000.00	206,132.00	
INFERTILITY SERVICES GRANTS	5,733,000.00	26,926.18	
MEDICAL INDEMNITY FUND	52,000,000.00		52
PART 405.4 HOSPITAL AUDITS NYCRR	2,200,000.00	180,665.65	
PHYSICIAN EXCESS MEDICAL MALPRACTICE	36.260,000.00	1 005 101 13	3 072 716 59
PHI SICIAN LOAN AFFAHIMENI	36,280,000.00	1,080,184.15	
POINCONTROL CENTERS	8 720 000 00		00:008:781
POOL ADMINISTRATION	5 300 000 00	454 717 77	2 107 544 70
ROSWELL PARK CANCER INSTITUTE	89,426,000.00		38,598,000.00
ROSWELL PARK COMPREHENSIVE CANCER CENTER	20,000.00	1	1
RURAL HEALTH CARE ACCESS	15,950,000.00	1	
RURAL HEALTH CARE ACCESS & NETWORK DEVELOPMENT	18,820,000.00	992,888.84	3,545,148.84
RURAL HEALTH CARE GRANTS	2,200,000.00	1	
RURAL HEALTH NETWORK	11,610,000.00	1	1
SCHOOL BASED HEALTH CENTERS	4,230,000.00	1	•
JOHOOL BASED HEALT CLINCS-POOL ADMINITION ACCT - PRIOR YEAR ALL OCATION	0,400,000.00 489 526 059 03		
MEDICAL ASSISTANCE PROGRAM	28.799.805.000.00	568.358.845.54	3.307.626.655.61
HOME HEALTH RATE INCREASE	300,000,000		-
MEDICAID INDIGENT CARE	4,387,400,000.00	168,358,845.54	507,626,655.61
MEDICAL ASSISTANCE	23,129,205,000.00	400,000,000.00	2,800,000,000.00
PSNL CRE WRKR RECR & RETEN NYC (***)	916,000,000.00	•	
NEW YORK STATE OF HEALTH	85 091 000 00	E 142 904 25	79 763 389 04
NEW YORK STATE OF HEALTH ADMINISTRATION	85.091.000.00	6,142,904.25	
OFFICE OF HEALTH INSURANCE PROGRAM	1,834,000.00	•	•
OFFICE OF HEALTH INSURANCE	1,834,000.00	1	
OFFICE OF HEALTH SYSTEMS MANAGEMENT	35,795,000.00	1,149,255.86	
OFFICE HEALTH SYSTEMS MANAGEMENT	35,795,000.00	1,149,255.86	
REVENUE, PROCESSING & RECONCILIATION REVENUE PROCESSING & RECONCILIATION	8,190,000.00 8,190,000,00		1,466,093.40 1,466,093.40
TOTAL	32,316,313,059.03	664,109,777.38	4,080,147,583.92
Redass of SUNY Hospital Disprop Share to Transfer		(346,619.85)	
Redass of SUNY Hospital Poison Control Centers to Transfer			
Redass of SUNY Empire Clinical Research Investigator Program to Transfer			
Reconciling Adjustment (P-Card and 1-Card)	\$ 32 316 313 059 03 \$	(44.52) 563 763 113 01	(44.52)
	* 00:00:00:00:00	10011001000	

(*) Includes amounts appropriated in SFY 2021-22, as well as prior year appropriations that were reappropriated.

(*) Includes amounts the HCRA Resources Fund includes direct grant payments to program beneficiaries, services and expenses for administration of grant programs, and transfers to the Public Goods Pool to finance payments made by the State's fiscal agent.

(***) Full title is: NYC Personal Care Workforce Recruitment and Retention Rates Grants.

(***) Full title is: Personal Care Workforce Recruitment and Retention Rates Grants.

STATE OF NEW YORK
HCRA RESOURCES FUND
STATEMENT OF PROGRAM DISBURSEMENTS
FISCAL YEAR 2021-2022

APPENDIX C

STATE OF NEW YORK STATEMENT OF CASH FLOW - PUBLIC GOODS POOL FISCAL YEAR 2021-2022

		1st Quarter APRIL - JUNE	JUL	2nd Quarter JULY - SEPTEMBER		2021 OCTOBER	_	2021 NOVEMBER		2021-2022
OPENING CASH BALANCE	€9	293,876,869.61	s	340,535,703.17	s	347,835,282.30	s	204,380,940.06	s	293,876,869.61
RECEIPTS: Patient Services		989,188,683.61		989,460,559.24		197,874,372.11		433,200,051.24		2,609,723,666.20
Covered Lives Drovider Acceements		249,351,336.48		245,107,517.30		48,817,157.43		115,812,293.32		659,088,304.53 70.471.417.35
1% Assessments		119,091,702.00		112,444,913.00		34,757,792.00		44,167,155.00		310,461,562.00
DASNY- MOE/Recast receivables		' 66		' 100		' '		- 0		' (;
Interest Income Unassigned		3,690.30		3,685.63 (876,908.00)		1,098.92 2,210,072.21		1,473.87 (2,260,160.21)		9,948.72 (1,284,583.66)
Total Receipts		1,384,079,165.96		1,371,300,126.77		292,662,050.24		600,428,672.17		3,648,470,015.14
PROGRAM DISBURSEMENTS: Poison Control Centers School Based Health Center Grants								1 1		1 1
ECKIP Distributions Total Program Disbursements										
Excess (Deficiency) of Receipts over Disbursements		1,384,079,165.96		1,371,300,126.77		292,662,050.24		600,428,672.17		3,648,470,015.14
OTHER FINANCING SOURCES (USES): Transfers From Other Pools: Medicaid Disproportionate Share Health Facility Assessment Fund - Hospital Quality Contribution		12,373,155.00		14,145,926.00		4,300,000.00		4,865,465.00		35,684,546.00
I ransfers From State Funds: HCRA Resources Fund Total Other Financing Sources		12,373,155.00		14,145,926.00		4,300,000.00		4,865,465.00		35,684,546.00
Transfers To Other Pools: Medicaid Disproportionate Share Health Facility Assessment Fund								1 1		1 1
HCRA Resources Fund Indigent Care Fund Abrehed		(1,349,793,487.40)		(1,378,146,473.64) -		(440,416,392.48) -		(460,846,426.71)		(3,629,202,780.23)
Indigent Care Fund - Unmatched Total Other Financing Uses		(1,349,793,487.40)		(1,378,146,473.64)		(440,416,392.48)		(460,846,426.71)		(3,629,202,780.23)
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses		46,658,833.56		7,299,579.13		(143,454,342.24)		144,447,710.46		54,951,780.91
CLOSING CASH BALANCE	φ	340,535,703.17	\$	347,835,282.30	es.	204,380,940.06	ss.	348,828,650.52	\$	348,828,650.52

Source: HCRA - Office of Pool Administration

APPENDIX D

STATE OF NEW YORK STATEMENT OF CASH FLOW - MEDICAID DISPROPORTIONATE SHARE FISCAL YEAR 2021-2022

		1st Quarter APRIL - JUNE	JULY	2nd Quarter JULY - SEPTEMBER		2021 OCTOBER	2	2021 NOVEMBER	5	2021-2022
OPENING CASH BALANCE	ø	55,655.52	↔	33,659.27	€ >	41,266.86	6 >	41,253.34	€\$	55,655.52
RECEIPTS: Interest Income Total Receipts		63.25		79.56 79.56				105.87 105.87		248.68 248.68
PROGRAM DISBURSEMENTS: Indigent Care High Need Indigent Care Other Total Program Disbursements		(159,709,275.51) - (162,011.93) (159,871,287.44)		(160,215,655.89) - 9,467,931.41 (150,747,724.48)				(131,539,614.44) (54,388,370,53) (185,927,984.97)	4)) 4	(451,464,545.84) (45,082,451.05) (496,546,996.89)
Excess (Deficiency) of Receipts over Disbursements		(159,871,224.19)		(150,747,644.92)				(185,927,879.10)	2	(496,546,748.21)
OTHER FINANCING SOURCES (USES): Transfers From Other Pools: Public Goods Pool Health Facility Assessment Fund Transfers From State Finde:										1 1
HCRA Resources Indigent Care - Matched HCRA Resources Indigent Care - Unmatched Federal DHHS Fund		69,945,871.37 161,543.16 89,747,898.87		70,174,457.28 (9,460,308.71) 90,041,198.61				70,351,724.52 49,852,553.97 90,268,651.09	0 0	210,472,053.17 40,553,788.42 270,057,748.57
Other Total Other Financing Sources		159,855,313.40		150,755,347.18				210,472,929.58	2	521,083,590.16
Transfers To Other Pools: Public Goods Pool Health Facility Assessment Fund Transfers To State Funds:				1 1		1 1				
HCRA Resources Fund Indigent Care Acot CSRA Inc (eMedNY) General Fund Total Other Financing Uses		(116.96) (5,968.50) (6,085.46)		(94.67)		(13.52)		(24,563,765.00) (24,563,765.00)		(225.15) (24,569,733.50) (24,569,958.65)
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses		(21,996.25)		7,607.59		(13.52)		(18,714.52)		(33,116.70)
CLOSING CASH BALANCE	ss	33,659.27	s,	41,266.86	↔	41,253.34	↔	22,538.82	₩	22,538.82
Source: HCRA - Office of Pool Administration										

APPENDIX E

### State of the Program (CEAP) 34 2,066 1,281 5 5 7 5 17 5 5 5 5 5 5 5 5 5		2021 APRIL	2021 MAY	2021 JUNE	2021 JULY	2021 AUGUST	2021 SEPTEMBER	2021 OCTOBER	2021 NOVEMBER	2021 DECEMBER	2022 JANUARY	2022 FEBRUARY	2022 MARCH	2021-2022 TOTAL
\$ 34 \$ 2,086 \$ 1,281 \$ 5 \$ 7 \$ \$ 7 \$ \$ 7 \$ \$ 9 \$ \$ 3 \$ \$ 3 \$ \$ 3 \$ \$ 3 \$ \$ 3 \$ \$ 3 \$ \$ 3 \$ \$ 3 \$ \$ 3 \$ \$ 3 \$ \$ 3 \$ \$ 3 \$ \$ 3 \$ \$ 3 \$ \$ 3 \$ \$ 3 \$ \$ 3 \$ \$ 3 \$ \$ 3 \$ \$ 3 \$ \$ 3 \$ \$ 3 \$ \$ 3 \$ \$ 3 \$ \$ 3 \$ \$ 3 \$ \$ 3 \$ \$ 3 \$ \$ 3 \$ \$ 3 \$ \$ 3 \$ \$ 3 \$ \$ 3 \$ \$ 3 \$ \$ 3 \$ \$ 3 \$ \$ 3 \$ \$ 3 \$ \$ 3 \$ \$ 3 \$ \$ 3 \$ \$ 3 \$ \$ 3 \$ \$ 3 \$ \$ 3 \$ \$ 3 \$ \$ 3 \$ \$ 3 \$ \$ 3 \$ \$ 3 \$ \$ 3 \$ \$ 3 \$ \$ 3 \$ \$ 3 \$ \$ 3 \$ \$ 3 \$ \$ 3 \$ \$ 3 \$ \$ 3 \$ \$ 3 \$ \$ 3 \$ \$ 3 \$ \$ 3 \$ \$ 3 \$ \$ 3 \$ \$ 3 \$ \$ 3 \$ \$ 3 \$ \$ 3 \$ \$ 3 \$ \$ 3 \$ \$ 3 \$ \$ 3 \$ \$ 3 \$ \$ 3 \$ \$ 3 \$ \$ 3 \$ \$ 3 \$ \$ 3 \$ \$ 3 \$ \$ 3 \$ \$ 3 \$ \$ 3 \$ \$ 3 \$ \$ 3 \$ \$ 3 \$ \$ 3 \$ \$ 3 \$ \$ 3 \$ \$ 3 \$ \$ 3 \$ \$ 3 \$ \$ 3 \$ \$ 3 \$ \$ 3 \$ \$ 3 \$ \$ 3 \$ \$ 3 \$ \$ 3 \$ \$ 3 \$ \$ 3 \$ \$ 3 \$ \$ 3 \$ \$ 3 \$ \$ 3 \$ \$ 3 \$ \$ 3 \$ \$ 3 \$ \$ 3 \$ \$ 3 \$ \$ 3 \$ \$ 3 \$ \$ 3 \$ \$ 3 \$ \$ 3 \$ \$ 3 \$ \$ 3 \$ \$ 3 \$ \$ 3 \$ \$ 3 \$ \$ 3 \$ \$ 3 \$ \$ 3 \$ \$ 3 \$ \$ 3 \$ \$ 3 \$ \$ 3 \$ \$ 3 \$ \$ 3 \$ \$ 3 \$ \$ 3 \$ \$ 3 \$ \$ 3 \$ \$ 3 \$ \$ 3 \$ \$ 3 \$ \$ 3 \$ \$ 3 \$ \$ 3 \$ \$ 3 \$ \$ 3 \$ \$ 3 \$ \$ 3 \$ \$ 3 \$ \$ 3 \$ \$ 3 \$ \$ 3 \$ \$ 3 \$ \$ 3 \$ \$ 3 \$ \$ 3 \$ \$ 3 \$ \$ 3 \$ \$ 3 \$ \$ 3 \$ \$ 3 \$ \$ 3 \$ \$ 3 \$ \$ 3 \$ \$ 3 \$ \$ 3 \$ \$ 3 \$ \$ 3 \$ \$ 3 \$ \$ 3 \$ \$ 3 \$ \$ 3 \$ \$ 3 \$ \$ 3 \$ \$ 3 \$ \$ 3 \$ \$ 3 \$ \$ 3 \$ \$ 3 \$ \$ 3 \$ \$ 3 \$ \$ 3 \$ \$ 3 \$ \$ 3 \$ \$ 3 \$ \$ 3 \$ \$ 3 \$ \$ 3 \$ \$ 3 \$ \$ 3 \$ \$ 3 \$ \$ 3 \$ \$ 3 \$ \$ 3 \$ \$ 3 \$ \$ 3 \$ \$ 3 \$ \$ 3 \$ \$ 3 \$ \$ 3 \$ \$ 3 \$ \$ 3 \$ \$ 3 \$ \$ 3 \$ \$ 3 \$ \$ 3 \$ \$ 3 \$ \$ 3 \$ \$ 3 \$ \$ 3 \$ \$ 3 \$ \$ 3 \$ \$ 3 \$ \$ 3 \$ \$ 3 \$ \$ 3 \$ \$ 3 \$ \$ 3 \$ \$ 3 \$ \$ 3 \$ \$ 3 \$ \$ 3 \$ \$ 3 \$ \$ 3 \$ \$ 3 \$ \$ 3 \$ \$ 3 \$ \$ 3 \$ \$ 3 \$ \$ 3 \$ \$ 3 \$ 3 \$ \$ 3 \$ \$ 3 \$ \$ 3 \$ \$ 3 \$ \$ 3 \$ \$ 3 \$ \$ 3 \$ \$ 3 \$ \$ 3 \$ \$ 3 \$ 3 \$ \$ 3 \$ \$ 3 \$ \$ 3 \$ \$ 3 \$ \$ 3 \$ \$ 3 \$ \$ 3 \$ \$ 3 \$ \$ 3 \$ \$ 3 \$ 3 \$ \$ 3 \$ \$ 3 \$ \$ 3 \$ \$ 3 \$ \$ 3 \$ \$ 3 \$ \$ 3 \$ \$ 3 \$ \$ 3 \$ \$ 3 \$ 3 \$ \$ 3 \$ \$ 3 \$ \$ 3 \$ \$ 3 \$ \$ 3 \$ \$ 3 \$ \$ 3 \$ \$ 3 \$ \$ 3 \$ \$ 3 \$ 3 \$ \$ 3 \$ \$ 3 \$ \$ 3 \$ \$ 3 \$ \$ 3 \$ \$ 3 \$ \$ 3 \$ \$ 3 \$ \$ 3 \$ \$ 3 \$ 3 \$ \$ 3 \$ \$ 3 \$ \$ 3 \$ \$ 3 \$ \$ 3 \$ \$ 3 \$ \$ 3 \$ \$ 3 \$ \$ 3 \$ \$ 3 \$ 3 \$ \$ 3 \$ \$ 3 \$ \$ 3 \$ \$ 3 \$ \$ 3 \$ \$ 3 \$ \$ 3 \$ \$ 3 \$ \$ 3 \$ \$ 3 \$ 3 \$ \$ 3 \$ \$ 3 \$ \$ 3 \$ \$ 3 \$ \$ 3 \$ \$ 3 \$ \$ 3 \$ \$ 3 \$ \$ 3 \$ \$ 3 \$ 3 \$ \$ 3 \$ \$ 3 \$ \$ 3 \$ \$ 3 \$ \$ 3 \$ \$ 3 \$ \$ 3 \$ \$ 3 \$ \$ 3 \$ \$ 3 \$ 3 \$ \$ 3 \$ \$ 3 \$ \$ 3 \$ \$ 3 \$ \$ 3 \$ \$ 3 \$ \$ 3 \$ \$ 3 \$ \$ 3 \$ \$ 3 \$ \$	DORMITORY AUTHORITY:													
34 2,068 1,281 - 192 - 172 70 181 564 125 411 643 - - - - - - - - - - - - - - - - - - - - - - - - - - - - - - - - - - - - - - - - - - - - - - - - - - - - - - - - - - - - - - - - - - - - - - - - - - - - - - - - - - - - - - - - - - - - - - - - - - - - - - - - - - - - - - - - - - - - - - - -	Education - All Other	·	<i>\\</i>	4	4	¥	-	4	<i>\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\</i>					6
70 181 664 125 411 643 - 213	Education - EXCEL		2		,		· '		,					3.737
70 181 564 125 411 564 213	Department of Health - All Other		,		•		•		•					
70 181 564 125 411 643 - 213	Community Enhancement Facilities Assistance Program (CEFAP)	1	٠	•	20	•	•	1	•					70
70 181 554 125 411 543 - 213 21	Regional Development								•					
21	Community Capital Assistance Program (CCAP)/RESTORE	20	181	554	125	411	543	•	213					2,097
21	Multi-modal	•	•	,	•	1	•	•	•					•
21 42 126 2,239 1,877 126 2,239 126 2,239 127 2,239 128 2,239 129 603 120 1,67 120 1,67 120 1,67 120 1,67 120 1,67 120 1,75 120 1,75 120 1,75 120 1,75 120 1,75 120 1,75 120 1,75 120 1,75 120 1,75 120 1,75 120 1,75 120 1,75 120 1,75 120 1,75 120 1,75 120 1,75 120 1,75 120 1,75 120 1,75 120 1,75 120 1,75 120 1,75 120 1,75 120 1,75 120 1,75 120 1,75 120 1,75 120 1,75 120	GenNYsis	•	,	•	•	•	•	•	•					
21 -42 126 -2,239 1,877 1,877 1,877 1,877 1,877 1,877 1,877 1,877 1,877 1,877 1,877 1,870 1,870 1,870 1,870 1,970 1,870 1,970 1,870 1,970 1,870 1,970 1,970 1,970 1,970 1,970 1,970 1,970 1,970 1,970 1,970 1,970 1,970 1,970 1,970 1,970 1,970 1,970 1,970 1,970 1,970 1,970 1,970 1,970 1,970 1,970 1,970 1,970 1,970 1,970 1,970 1,970 1,970 1,970 1,970 1,970 1,970 1,970 1,970 1,970 1,970 1,970 1,970 1,970 1,970 1,970 1,970 1,970 1,970 1,970 1,970 1,970 1,970 1,	CUNY Senior Colleges	•	1	1	1	•	•	i	1					•
21	CUNY Community Colleges	•	•	٠	•	,	•	,	,					
126 2,239	Brooklyn Court Officer Training Academy	21	,	42	•	,	•	•	•					63
1	TOTAL DORMITORY AUTHORITY	125	2,239	1,877	195	603	550	172	213					5,974
1	EMPIRE STATE DEVELOPMENT CORP:													
1	Regional Development													
	Centers of Excellence	•	,	•	•	•	•	•	•					
	Community Capital Assistance Program (CCAP)	•	•	•	•	•	•	•	•					
10 10 10 10 10 10 10 10 10 10 10 10 10 1	Empire Opportunity	,	,	•	•	,	•	•	•					
EVELOPMENT CORP	Community Enhancement Facilities Assistance Program (CEFAP)	•	•	•	•	•	•	•	•					•
SVELOPMENT CORP	State Facilities and Equipment	•	•	•	1	•	•	•	•					•
3 210 6 1024 6 200 6 200 6 200 6 200 6 200 6 200 6 200 6 200 6 200 6 200 6 200 6 200 6 200 6 200 6 200 6 200 6 200 6 200 6 200 6 200 6 200 6 200 6 200 6 200 6 200 6 200 6 200 6 200 6 200 6 200 6 200 6 200 6 200 6 200 6 200 6 200 6 200 6 200 6 200 6 200 6 200 6 200 6 200 6 200 6 200 6 200 6 200 6 200 6 200 6 200 6 200 6 200 6 200 6 200 6 200 6 200 6 200 6 200 6 200 6 200 6 200 6 200 6 200 6 200 6 200 6 200 6 200 6 200 6 200 6 200 6 200 6 200 6 200 6 200 6 200 6 200 6 200 6 200 6 200 6 200 6 200 6 200 6 200 6 200 6 200 6 200 6 200 6 200 6 200 6 200 6 200 6 200 6 200 6 200 6 200 6 200 6 200 6 200 6 200 6 200 6 200 6 200 6 200 6 200 6 200 6 200 6 200 6 200 6 200 6 200 6 200 6 200 6 200 6 200 6 200 6 200 6 200 6 200 6 200 6 200 6 200 6 200 6 200 6 200 6 200 6 200 6 200 6 200 6 200 6 200 6 200 6 200 6 200 6 200 6 200 6 200 6 200 6 200 6 200 6 200 6 200 6 200 6 200 6 200 6 200 6 200 6 200 6 200 6 200 6 200 6 200 6 200 6 200 6 200 6 200 6 200 6 200 6 200 6 200 6 200 6 200 6 200 6 200 6 200 6 200 6 200 6 200 6 200 6 200 6 200 6 200 6 200 6 200 6 200 6 200 6 200 6 200 6 200 6 200 6 200 6 200 6 200 6 200 6 200 6 200 6 200 6 200 6 200 6 200 6 200 6 200 6 200 6 200 6 200 6 200 6 200 6 200 6 200 6 200 6 200 6 200 6 200 6 200 6 200 6 200 6 200 6 200 6 200 6 200 6 200 6 200 6 200 6 200 6 200 6 200 6 200 6 200 6 200 6 200 6 200 6 200 6 200 6 200 6 200 6 200 6 200 6 200 6 200 6 200 6 200 6 200 6 200 6 200 6 200 6 200 6 200 6 200 6 200 6 200 6 200 6 200 6 200 6 200 6 200 6 200 6 200 6 200 6 200 6 200 6 200 6 200 6 200 6 200 6 200 6 200 6 200 6 200 6 200 6 200 6 200 6 200 6 200 6 200 6 200 6 200 6 200 6 200 6 200 6 200 6 200 6 200 6 200 6 200 6 200 6 200 6 200 6 200 6 200 6 200 6 200 6 200 6 200 6 200 6 200 6 200 6 200 6 200 6 200 6 200 6 200 6 200 6 200 6 200 6 200 6 200 6 200 6 200 6 200 6 200 6 200 6 200 6 200 6 200 6 200 6 200 6 200 6 200 6 200 6 200 6 200 6 200 6 200 6 200 6 200 6 200 6 200 6 200 6 200 6 200 6 200 6 200 6 200 6 200 6 200 6 200 6 200 6 200 6 200 6 200 6 200 6 200 6 200 6 200 6 200 6 200 6 200 6 200 6 200 6 200	TOTAL EMPIRE STATE DEVELOPMENT CORP				•		•			•				
2 10K 6 7030 6 1077 6 10K 6 207 6 177 6 715 6 715 6 715 6 715 6 715 6 715 6 715 6 715 6 715 6 715 6 715 6 715 6 715 6 715 6 715 6 715 6 715 6 715 6 715 6 715 6 715 6 715 6 715 6 715 6 715 6 715 6 715 6 715 6 715 6 715 6 715 6 715 6 715 6 715 6 715 6 715 6 715 6 715 6 715 6 715 6 715 6 715 6 715 6 715 6 715 6 715 6 715 6 715 6 715 6 715 6 715 6 715 6 715 6 715 6 715 6 715 6 715 6 715 6 715 6 715 6 715 6 715 6 715 6 715 6 715 6 715 6 715 6 715 6 715 6 715 6 715 6 715 6 715 6 715 6 715 6 715 6 715 6 715 6 715 6 715 6 715 6 715 6 715 6 715 6 715 6 715 6 715 6 715 6 715 6 715 6 715 6 715 6 715 6 715 6 715 6 715 6 715 6 715 6 715 6 715 6 715 6 715 6 715 6 715 6 715 6 715 6 715 6 715 6 715 6 715 6 715 6 715 6 715 6 715 6 715 6 715 6 715 6 715 6 715 6 715 6 715 6 715 6 715 6 715 6 715 6 715 6 715 6 715 6 715 6 715 6 715 6 715 6 715 6 715 6 715 6 715 6 715 6 715 6 715 6 715 6 715 6 715 6 715 6 715 6 715 6 715 6 715 6 715 6 715 6 715 6 715 6 715 6 715 6 715 6 715 6 715 6 715 6 715 6 715 6 715 6 715 6 715 6 715 6 715 6 715 6 715 6 715 6 715 6 715 6 715 6 715 6 715 6 715 6 715 6 715 6 715 6 715 6 715 6 715 6 715 6 715 6 715 6 715 6 715 6 715 6 715 6 715 6 715 6 715 6 715 6 715 6 715 6 715 6 715 6 715 6 715 6 715 6 715 6 715 6 715 6 715 6 715 6 715 6 715 6 715 6 715 6 715 6 715 6 715 6 715 6 715 6 715 6 715 6 715 6 715 6 715 6 715 6 715 6 715 6 715 6 715 6 715 6 715 6 715 6 715 6 715 6 715 6 715 6 715 6 715 6 715 6 715 6 715 6 715 6 715 6 715 6 715 6 715 6 715 6 715 6 715 6 715 6 715 6 715 6 715 6 715 6 715 6 715 6 715 6 715 6 715 6 715 6 715 6 715 6 715 6 715 6 715 6 715 6 715 6 715 6 715 6 715 6 715 6 715 6 715 6 715 6 715 6 715 6 715 6 715 6 715 6 715 6 715 6 715 6 715 6 715 6 715 6 715 6 715 6 715 6 715 6 715 6 715 6 715 6 715 6 715 6 715 6 715 6 715 6 715 6 715 6 715 6 715 6 715 6 715 6 715 6 715 6 715 6 715 6 715 6 715 6 715 6 715 6 715 6 715 6 715 6 715 6 715 6 715 6 715 6 715 6 715 6 715 6 715 6 715 6 715 6 715 6 715 6 715 6 715 6 715 6 715 6 715 6 715 6 715 6 715 6 715 6 715 6 715 6 715 6 715 6 715 6 715 6 715 6 71														
	TOTAL OFF-BLIDGET	125	0000	1 077	105	803	9	4420	25		e	e		

The Division of the Budget (DOB) is responsible for organizing and presenting the above schedule of 'Off Budget Spending'. Such reported disbursements are drawn from unaudited financial data provided by public authorities. Although the Office of the State Comptroller (OSC) has no reason to believe this information to be unreliable, it is important to note that these program disbursements are financed with public authority bond proceeds deposited directly into public authority accounts and all disbursements are made without any oversight by the OSC. Therefore, and pursuant to the provisions of Chapter 60, §16, of the Laws of 2006; this schedule is provided for information only.

APPENDI

STATE OF NEW YORK SCHEDULE OF MONTH-END TEMPORARY LOANS OUTSTANDING(")

SFS Fund	ACCOUNT TITLE GENERAL FUND	August 31, 2021	September 30, 2021	October 31, 2021	Change	November 30, 2021	
10050	STATE OPERATIONS AND LOCAL ASSISTANCE TOTAL GENERAL FUND		· · ·	69 		****	
13006	CAPITAL PROJECT AND BOND REIMBURSABLE FUNDS		44 706 504 20				
30053	AVIATION PURPOSE ACCOUNT						
30101	REHAB/REPAIR MARITIME	•	•		•		
30102	DZTRVE- WARTIIME D36RVF- CENTRAL ADMIN						
30104	RESIDENCE HALL CAMPUS LET BOND PROCEEDS						
	REHAB/REPAIR ALBANY	•	•	•			
	DOTRVE: ALBANT REHAB/REPAIR BINGHAMTON						
	D07RVE- BINGHAMTON	•	•	•	•	•	
	REHAB/REPAIR BUFFALO UNIVERSITY	•		•			
	DZSKVE- SUN I BOTFALO REHAB/REPAIR STONYBROOK				10,846.13	10,846.13	
	D13RVE- STONYBROOK	•	•		•		
	REHAB/REPAIR BROOKLYN						
	DIATIVE - HOU BROOKLIN REHAB/REPAIR SYRACUSE						
	D15RVE- HSC SYRACUSE	•	•		i	•	
	REHAB/REPAIR BROCKPORT					1	
	DOZAVE- BROCKPOR I REHAB/REPAIR BUFFALO COLLEGE						
	D03RVE -SUB BUFFALO						
30121	REHAB/REPAIR CORTLAND D04RVF- CORTI AND						
30123	REHAB/REPAIR FREDONIA				•	1	
30124	D05RVE- FREDONIA	•	•				
30125	REHAB/REPAIR GENESEO						
30126	DUBKVE- GENESEO REHAB/REPAIR OLD WESTBURY						
30128	D31RVE- OLD WESTBURY					•	
30129	REHAB/REPAIR NEW PALTZ	•	•	•		1	
30130	DOSRVE- NEW PALTZ REHAB/REPAIR ONFONTA						
30132	D09RVE- ONEONTA				•	1	
	REHAB/REPAIR OSWEGO		•	•			
	D10RVE- OSWEGO					1	
	KEHABIKEPAIK PLATTOBORGH D11RVF- PLATTSRURGH						
	REHAB/REPAIR POTSDAM	•	•			•	
	D12RVE- POTSDAM			•		ı	
	REHABIKEPAIK PURCHASE DOSDVE, DI DCHASE			• •			
	REHAB/REPAIR FOR UTICA/ROME						
	D27RVE- CAMPUS RESERVE					•	
30143	REHAB/REPAIR ALFRED	•	•				
	REHAB/REPAIR CANTON						
30146	D23RVE- CANTON	i	•	•			
30147	REHAB/REPAIR COBLESKILL	•	•	1	•	•	
30149	DZ4KVE- COBLESNILL REHAB/REPAIR DELHI						
30150	D25RVE- DELHI	•	•				
30151	REHAB/REPAIR FARMINGDALE		•				
30153	DZBRVE- PARMINGDALE REHAB/REPAIR MORRISVILLE						
30154	D27RVE- MORRISVILLE						
30351	STATE PARK INFRASTRUCTURE	87,842,261.82	89,120,771.07	92,721,949.38	5,575,061.28	98,297,010.66	
30502							
30503	8		•	•		•	
30504	CW/CA IMPLEMENTATION EFC		- 420 005 420 42			- 000 000 077	
31701	YOUTH FACILITIES IMPROVEMENT	18,475,632.28	18,884,763.37	11,606,139.22	1,538,119.87	13,144,259.09	
31801	HOUSING ASSISTANCE	12,941,967.06	12,941,967.06	12,941,967.06		12,941,967.06	
31852	HOUSING PROG FD AFFORD HSG CORP	452,422,178.67 46,999,719.02	486,386,492.87	34,235,540.85	1,512,061.00	35,747,601.85	
31853	HOUSING PROG FD-DEPT OF SOCIAL SERVICES HOUSING PROG FD-HFA	145,746,517.14	145,746,517.14	104,730,710.25		104,730,710.25	
31951	HIGHWAY FAC PURPOSE	11,951,595.39	11,951,597.85	11,951,597.85	•	11,951,597.85	

APPENDIX F

STATE OF NEW YORK SCHEDULE OF MONTH-END TEMPORARY LOANS OUTSTANDING(")

November 30, 2021	153,750.00	8.203.064.74		,		77,796,107.43	189.059.165.24		10,359,802.32	2,694,963.09	161,899,042.03	3 707 368 73		219 417 630 81	'	62,049,446.20	1,363,340,664.90			1 1	91,899,347.52	984,870,301.83	i	i	3,622,883.61	- 00	199.99	3.814.428.48		1	68,694,225.88	16,220,707.06		21.27		241.99				36,038,137.69			5,860,760.75		330.164.19	4,378,390.34	159,688.77		250 429 56	10,985,774.82					209,659.58		60,302.00	9 045 115 63			728,310.88 104,482,744.65	
Change		295,689,34		,	,	1,046,818.29	1.104.665.47	'	•	1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1	12,664,674.35			30 654 825 75	1	1,409,188.95	82,450,737.23				32,214,953.65	(326,084,838.68)		•	90,681.85		- 000	383.809.53	•	,	260,096.47	754,208.10		21.27		241.99				485,539.85			(6,527,801.00)		162,873,99	963,398.96	(33,180.52)		(3.258.88)	3,687,487.10	(4,686,143.81)		•		(87,094.37)	•	23,575.93	1 182 057 99		,	448,606.10 985,768.93	1
October 31, 2021	153,750.00	7,907,375,40		•		76,749,289.14	187.954.499.77		10,359,802.32	2,694,963.09	149,234,367,68	3 707 368 73	2.000, 10.10	188 762 805 06		60,640,257.25	1,280,878,867.67			1 6 6 6 6 6 6 6 6 6 6 6 6 6 6 6 6 6 6 6	59,684,393.87	1,310,955,140.51		•	3,532,201.76	. 00	199.99	3.430.618.95	•		68,434,129.41	15,466,498.96			•	•				35,552,597.84	•	. !	12,388,561.75		167.290.20	3,414,991.38	192,869.29		253 688 44	7.298.287.72	4,686,143.81		•		296,753.95		36,726.07	7 863 057 64		ı	279,704.78 103,496,975.72	
September 30, 2021	153,750.00	7.539.830.85	281.25	ı		78,792,502.24	187.954.499.77		7,893,352.32	2,089,088.09	143,124,452.46	3 672 543 93	20:25:00:0	337,009,058,52	1	57,403,556.10	1,855,565,585.02			1	6,964,294.68	1,475,516,971.83		•	3,501,081.95	, 00	199.99	3.908.292.89	•		74,354,875.61	15,591,711.03	1		•	574.00	ı			37,719,594.40	•		7,759,678.92		506,302.37	4,409,050.41	291,958.93	•	231 230 21	7.942.535.53	2,870,703.12		•		381,779.64		34,392.98	7 310 489 56		ı	1,123,031.79 102,533,454.74	
August 31, 2021	153,750.00	6.715.162.90	1	ı		76,919,643.68	187.354.499.77		7,893,352.32	2,089,088.09	124,880,253.74	2 604 621 98	00:120:20:2	300.095.112.77		63,092,260.56	1,702,502,378.05			1 6 6 6 6 6 6 6 6 6 6 6 6 6 6 6 6 6 6 6	40,292,806.60				3,438,880.72	, 00	199.99	3.623.489.57	1		72,218,494.08	15,331,378.94	1	64 25		i				37,068,640.45	1		6,242,917.66		313,183,21	4,649,675.16	189,699.51	ı	223 940 20	8,340,403,85					520,924.14		29,810.04	- 6 510 690 94		ı	675,928.05 101,769,828.62	
ACCOUNT TITLE	NY RACING ACCOUNT	IT CAPITAL FINANCING ACCT	NY ENVIRONMENTAL PROTECTION & SPILL REMEDIATION	OPWDD-STATE FACILITIES PRE 12/99	DSAS-COMMUINTY FACILITIES	OMH-COMMUNITY FACILITIES	OPWDD-COMMONITY FACILITIES OASA9/COMMUNITY FACILITIES	DASNY - OMH ADMIN	DASNY - OPWDD ADMIN	DASNY - OASAS ADMIN	OMM-SIAIE FACILIIES	OFWED STATE FACILITIES	CORR. FACILITIES CAPITAL IMPROVEMENT		CORR FACILITIES CAPITAL CLOSURE	STORM RECOVERY ACCOUNT	IOIAL CAPITAL AND BOND REIMBORSABLE FUNDS	STATE SPECIAL REVENUE FUNDS	DOL-CHILD PERFORMER PROTECTION ACCOUNT	LOCAL GOVERNMENT RECORDS MGMT	CHILD HEAL IH INSURANCE	LOTTERY-EDUCATION	VLT EDUCATION	ENVIR FAC CORP ADM ACCT	ENCON ADMIN ACCT	HAZARDOUS BULK STORAGE	UTILITY ENVIRONMENTAL REGULATORY ACCOUNT	ENCON-LOW LEVEL RADIOACTIVE WASTE SITING	ENCON-RECREATION	PUBLIC SAFETY RECOVERY ACCOUNT	ENVIRONMENTAL REGULATORY	NATURAL RESOURCES ACCOUNT	MINED LAND RECLAMATION ACCT	AUDIT AND CONTROL OIL SPILL	HEALTH DEPT OIL SPILL	DEPT OF ENVIRONMENTAL CONSERVATION OIL SPILL	OIL SPILL COMPENSATION	CICENSE PEE SORCHARGES DIELIO TEANGEDETATION SYSTEMS	METROPOLITAN MASS TRANSPORTATION	OPERATING PERMIT PROGRAM		HEALTH-SPARC'S	THRUWAY AUTHORITY ACCT	MENTAL HYGIENE PROGRAM MENTAL HYGIENE PATIENT INCOME ACCOLINT	FINANCIAL CONTROL BOARD	RACING REGULATION ACCOUNT	SU DORM INCOME REIMBURSE	CKIMINAL JUSTICE IMPROVEMENT	ENVILAB REFIEE TRAINING MANAGEMENT AND EVALUATION ACCOUNT	CLINICAL LAB FEE	INDIRECT COST RECOVERY	MULTI - AGENCY TRAINING ACCOUNT	BELL JAR COLLECTION ACCOUNT	INDUSTRIAMU OTILITASERVICE REAL PROPERTY DISPOSITION	PARKING ACCOUNT	COURTS SPECIAL GRANTS		CAMP SMITH BILLETING ACCOON! RATAMA SCHOOL FOR THE BLIND	INVESTMENT SERVICES	SURPLUS PROPERTY ACCOUNT	FINANCIAL OVERSIGHT REGULATION INDIAN GAMING	
FS Fund	32213	32215	32219	32301	32302	32303	32305	32306	32307	32308	32309	32310	32351	32352	32353	33001			20401	20501	20810	20901	20904	21001	21002	21061	21054	21066	21067	21077	21081	21082	21084	21201	21202	21203	21204	21401	21402	21451	21452	21902	21905	21907	21911	21912	21937	21945	21959	21962	21978	21989	22003	22004 22006	22007	22008	22009	22017	22034	22036	22039 22046	

STATE OF NEW YORK SCHEDULE OF MONTH-END TEMPORARY LOANS OUTSTANDING(*)

November 30, 2021	20.702,1 11.0	38,098,201.83 1,315,723.85		. !	3,858,546.65	15,412,821.99		, ;	60,184.78		2,367,696.62	- 20 383 00	20,000,300,00	. :	18,852,773.45	51,105,703.36	21,367,022.54		98,229.26		152,301,080.24 838,385,718.19	61,286,986.76	8,753,932.66	872,994,269.18	39,830,610.20	481,531.64	2,619,565,970.04 (**)				1 244 524 80	351,011.44			815,942.58		29,327.74	24,141,173.54	13,907,262.14	168.320.44	'		946,556.87 172,020.32
Change	-	(1,204,543.15) 240,155.86			329,308.18	1,255,758.41		,	(136,543.42) 3,616,392.48	•	217,555.09	- 220	913.17	. !	414,569.11	2,279,775.17	(3,461,064.72)		(25,026.17) (290,254,554.80)		43,197,243.62 541,896,567.49	(70,555,779.21)	(20:010:026)	(155,544,336.47)	22,577,549.77	42,431.50 5.248.051.87	348,768,339.29				54 700 58	71,952.89			40,875.86		12,661.33	6,483,105.80	2,130,743.50	(7.266.62)	,		149,762,53 (93,661.22) -
October 31, 2021	70.00	39,302,744.98 1,075,567.99		. !	3,529,238.47	14,157,063.58		. !	196,728.20 10,762,830.31		2,150,141.53	- 000 800 00	20,086,4990,02		18,438,204.34	48,825,928.19	24,828,087.26		1,832,917,392.61		109,103,836.62 296,489,150.70	131,842,765.97	8,753,932.66	1,028,538,605.65	17,253,060.43	439,100.14	2,270,797,630.75				1 256 831 31	333,759.13 1,590,590.44			775,066.72		16,666.41	17,658,067.74	11,776,518.64	175.587.06	'		796,794,34 265,681,54 -
September 30, 2021		43,091,872.83 858,793.21		, !	3,336,131.73	13,778,218.28		, ;	143,637.46 7,101,412.96	•	2,038,561.32	. 000 800 00	- 20,004,030,11	. :	18,205,212.08	46,339,730.57	24,516,583.98		1,943,481,235.85		35,359,449.61 670,316,310.59	53,266,552.04	8,753,932.66	955,254,448.38	18,912,272.35	546,078.14	2,426,625,190.29				7 24 7 28 44	326,263.52 1,541,691.63			816,295.43		30,913.40	17,225,131.31	10,297,487.56	181 905 06		, ,	1,107,209.37 257,249.56 -
August 31, 2021	0.11.10.200,0	41,923,286.23 714,393.92		. !	3,087,052.87	13,565,918.50		. :	241,356.09 1,154,510.86	•	1,873,300.98	- 200 00	00.002,200,02		17,859,634.90	42,068,515.76	24,107,595.16		123,255.43 479,095,636.07		33,589,018.05	40,461,589.06	8,753,932.66	862,165,930.94	53,685,610.90	490,961.14	2,442,450,781.87				1 200 202 63	322,747.92 1,532,051.55			768,506.35		31,016.05	20,098,744,63	11,830,107.48	5,326,626,44	'		1,142,959.02 333,864.57
ACCOUNT TITLE POWE SCHOOL FOR THE DEAF	DSP-SEIZED ASSETS	ADMINISTRATIVE ADJUDICATION FEDERAL SALARY SHARING	NYC ASSESSMENT ACCT	LOCAL SERVICE ACCOUNT	DHCR MORTGAGE SERVICES HOUSING INDIRECT COST RECOVERY	DHCR-HOUSING CREDIT AGENCY APPLY FEE	LOW INCOME HOUSING CREDIT MONITORING EFC-CORPORATION ADMINISTRATION	MONTROSE VETERAN'S HOME	DEFEKKED COMPENSATION ADMIN RENT REVENUE OTHER - NYC	RENT REVENUE	I AX KEVENUE AKKEAKAGE ACCOUN! NYS MEDICAL INDEMNITY FUND ACCOUNT	BEHAVIORAL HEALTH PARITY COMPLIANCE FUND	S.C. NOINFRESIDENT REV. OFFSET LAKE GEORGE PARK TRUST FUND		DOT - HIGHWAY SAFETY PRGM DOH DRINKING WATER PROGRAM	NYCCC OPERATING OFFSET	COMMERCIAL GAMING REGULATION HIGHWAY LISE TAX ADMIN	NYS SECURE CHOICE ADMIN	FANTASY SPORTS ADMINISTRATION TOTAL STATE SPECIAL REVENUE FUNDS	_	FEDERAL USDA/FOOD AND NUTRITION SERVICES FUND FEDERAL HEALTH AND HUMAN SERVICES FUND					FEDERAL UNEMPLOYMENT INS OCCUPATIONAL TRAINING	12.	AGENCY FUNDS	EMPLOYEES HEALTH INSURANCE ACCT MMIS - STATE AND FEDERAL	TOTAL AGENCY FUNDS	ENTERPRISE FUND	EMPIRE PLACE OF THE PRICE FUND	INTERNAL SERVICE FUNDS	CENTRALIZED SERVICES FLEET MGMT CENTRALIZED SERVICES DATA PROCESSING	CENTRALIZED SERVICES-PRINTING	CENTRALIZED SERVICES REAL PROPERTY-LABOR CENTRALIZED SERVICES-DONATED FOODS	CENTRALIZED SERVICES-PERSONAL PROPERTY CENTRALIZED SERVICES CONSTRUCTION SERVICES	CENTRALIZED SERVICES-PASNY	CENTRALIZED SERVICES-ADMIN SUPPORT CENTRALIZED SERVICES-DESIGN AND CONSTR	CENTRALIZED SERVICES-INSURANCE CENTRALIZED SERVICES-SECURITY CARD ACCESS	CENTRALIZED SERVICES-COPS	CENTRALIZED SERVICES - CENTRALIZED SERVICES	CENTRALIZED SERVICES-IMMICS DOWNSTATE WAREHOUSE BUILDING ADMINISTRATION
SFS Fund	22054	22055 22056	22062	22078	22085 22090						22240	22246	22751	22802	23001	23151	23/02	23806	24951	_		25200-25249			25900-25949	25950	_		60201	_		50327											55016 55017 55018

STATE OF NEW YORK SCHEDULE OF MONTH-END TEMPORARY LOANS OUTSTANDING(*)

SFS Fund	ACCOUNT TITLE	August 31, 2021	September 30, 2021	October 31, 2021	Change	November 30, 2021
55019	LEASE SPACE INITIATIVE					
55020	OGS ENTERPRISE CONTRACTING ACCT	79,200,309.39	79,916,608.91	80,395,018.37	(5,327,313.05)	75,067,705.32
55021	NYS MEDIA CENTER	12,037,834.53	12,463,341.74	12,390,176.90	630,087.90	13,020,264.80
55022	BUSINESS SERVICES CENTER	11,525,013.03	14,832,519.99	17,097,947.74	2,687,983.15	19,785,930.89
55052	ARCHIVES RECORD MGMT I.S.	•		•	,	•
55053	FEDERAL SINGLE AUDIT					
55055	CIVIL SERVICE ADMINISTRATION ACCOUNT					
55056	CIVIL SERVICE EHS OCCUP HEALTH PROG					•
55057	BANKING SERVICES ACCOUNT	1,336,235.15	71,422.93	519.81	2,646.99	3,166.80
55058		4,928,906.95	5,289,172.74	5,607,446.92	528,200.16	6,135,647.08
55059	NEIGHBOR WORK PROJECT	11,314,556.85	11,464,916.62	11,192,956.04	(340,001.65)	10,852,954.39
25060	AUTOMATIC/PRINT CHARGBACKS	2,175,502.42	1	334,376.30	(334,376.30)	1
55061	OFT NYT ACCT		1		,	1
55062	DATA CENTER ACCOUNT	89,972,432.05	89,571,963.74	89,571,963.74	(4,698,563.60)	84,873,400.14
55066	CYBER SECURITY INTRUSION ACCT	1,261,584.27	1,261,584.27	1,261,584.27		1,261,584.27
55067	DOMESTIC VIOLENCE GRANT	50,953.68	65,176.03	83,834.27	48,687.90	132,522.17
55069	CENTRALIZED TECHNOLOGY SERVICES	87,233,540.49	97,573,009.10	100,700,012.82	(963,094.26)	99,736,918.56
55071	LABOR CONTACT CENTER ACCT	1,589,170.54	1,869,792.66	592,541.29	378,250.78	970,792.07
55072	HUMAN SERVICES CONTACT CNTR ACCT	133,104.21	1,861,351.33	2,844,937.70	(1,562,189.55)	1,282,748.15
55073	TAX CONTACT CENTER ACCT					
55074	CIVIL RECOVERIES ACCT					
55251	EXECUTIVE DIRECTION INTERNAL AUDIT	9,709,257.68	9,655,883.20	9,881,084.06	242,750.24	10,123,834.30
55252	CIO INFORMATION TECHNOLOGY CENTRALIZED SERVICES	46,107,414.03	50,255,278.18	53,164,975.66	6,610,127.27	59,775,102.93
55300	HEALTH INSURANCE INTERNAL SERVICE	1	ı		1	1
55301	CIVIL SERVICE EMPLOYEE BENEFITS DIV ADM	9,385,117.64	9,549,814.03	7,642,119.41	83,241.28	7,725,360.69
55350	CORR INDUSTRIES INTERNAL SERVICE	28,734,740.91	29,430,384.98	30,756,121.20	2,190,098.63	32,946,219.83
	TOTAL INTERNAL SERVICE FUNDS	438,046,493.98	446,491,562.82	456,453,599.89	8,546,148.90	464,999,748.79
	GRAND TOTAL - TEMPORARY LOANS OUTSTANDING	\$ 5,063,727,341.53	\$ 6,673,703,263.61	\$ 5,842,639,081.36	\$ 149,592,683.51	\$ 5,992,231,764.87

exceed available revenues during the fiscal year. Generally, temporary loans are repaid from the first cash receipts of the fund or account; however, in some cases actual revenues are not sufficient to repay all loans made to the fund or account and a transfer from the General Fund "Repayment of Receivables" appropriation is approved by the Budget Director. The blances reported here in Appendix Fare the actual fund balances as of the close of business on the last day of the reporting month and do not include post-closing adjustments. Please refer to Schedule 1 for a detailed analysis of the 'reported' cash balances of the fund group.

(**) Impropriaty loans to loaded funds are by einbursed within 2-3 days. Such loans are made pursuant to federal regulations which require the State to disburse funds prior to making a reimbursement claim from the U.S. Treasury.

(***) Per Section 72 of the State Finance Law, the General Fund includes the Local Assistance Fund (10000) and State Purpose Fund (10050). (*) Temporary Loans are authorized pursuant to Subdivision 5 of Section 4 of the State Finance Law and Chapter 59, Part JJJ, Section 1, of the Laws of 2021-22.
The loans represent authorizations made by the Legislature to allow certain funds/accounts to make appropriated payments regardless of the fund (cash) balance. Such loans are made from the State's Short-Term Investment Pool (STIP) and are intended to satisfy temporary cash shortfalls whenever scheduled disbursements

APPENDIX G

STATE OF NEW YORK	DEDICATED INFRASTRUCTURE INVESTMENT FUND(*)	STATEMENT OF RECEIPTS AND DISBURSEMENTS	FISCAL YEAR 2021-2022
STATE	DEDIC	STATE	FISCA

	2021 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2022 JANUARY	FEBRUARY	MARCH	8 Months Ended November 30, 2021	inded), 2021
OPENING CASH BALANCE	\$ 96,722,524	\$ 83,609,252	\$ 39,931,404	\$ 84,394,170	\$ 74,940,119	\$ 56,499,178	\$ 39,300,502	860'699'56 \$					2,96 \$	96,722,524
RECEIPTS: Transfers from General Fund (**) Other	1,407	1 1	70,000,000	1 1	1 1		65,000,000						135,00	135,000,000
Total Receipts	1,407		70,000,000	.	•		65,000,000						135,00	135,001,407
DISBURSEMENTS:														
Affordable and Homeless Housing	731,174	1,659,409	2,836,458	1,124,218	1,913,433	2,199,742	1,589,959	1,685,270					13,7;	13,739,663
Broadband Initiative Downtown Revitalization	4,076,555	1,452,326	8,629,425	1,424,023	6,832,125	490.0424	216.715	1,170,562					3.47	3.425.334
Empire State Poverty Reduction Initiatives		1,446,891	415,672	632,562	514,408	240,587	683,280	189,071					4,1	4,122,471
Health Care / Hospital Initiatives	192,187	415,645	•	672,776		665,222	298,056	186,259					2,4	30,145
Information Technology/Infrastructure for Behavioral Sciences		- 250 370	1 040 4	. 000	1 640 744	, 400 400	, 00.08	- 7775					9	- 000 004 0
Intrastructure improvements Jacob Javits Center Expansion		710,012	091,016,1	60,02	117'0#9'1	2,409,120	49,200	2,773,440					ž n	906,00
Life Sciences Initiative			994.530	1.437.634	49.999	2.500.000	•						96.4	4.982.163
Municipal Restructuring / Consolidation Competition	606,923	2,912,068	843,903	12,017	1,256,401	364,844	1,120,551	347,292					7.40	7,463,999
Penn Station Access			•			•		•						٠
Resiliency, Mitigation, Security and Emergency Response	•	•	•	•	(338)	1	•	(2,693)						(3,031)
Southern Tier / Hudson Valley Farm Initiative	(101,562)	•	338,083	•	(149,466)	•	11,260	•						98,315
Thruway Stabilization Program	•	•	•	•	•	•	•							•
Transformative Economic Development Projects	866,444	1,948,402	2,911,422	1,491,953	283,784	260,226	2,639,993	3,021,771					13,4,	13,423,995
Iransporation Capital Man Upstate Revitalization Program	6.363.584	8.182.744	6.250.000	2.601.624	5.649.665	7.719.461	1.913.159	11.952.235					50.6	50.632.472
Total Disbursements	13,114,679	43,677,848	25,537,234	9,454,051	18,440,941	17,198,676	8,631,404	21,325,207					157,38	157,380,040
OPERATING TRANSFERS: Transfers to General Fund			,					•						
Total Operating Transfers	'													
Total Disbursements and Transfers	13,114,679	43,677,848	25,537,234	9,454,051	18,440,941	17,198,676	8,631,404	21,325,207		•			157,38	157,380,040
CLOSING CASH BALANCE	\$ 83,609,252	\$ 39,931,404	\$ 84,394,170	\$ 74,940,119	\$ 56,499,178	\$ 39,300,502	\$ 95,669,098	\$ 74,343,891	s		\$4	\$	\$ 74,34	74,343,891

^{(&#}x27;) Fund created pursuant to Chapter 60, Lavs of 2015-16, Part H and SFL § 93-b (**) Pursuant to Section 93(b) of the State Finance Law

APPENDIX H

STATE OF NEW YORK MEDICAL ASSISTANCE DISBURSEMENTS - STATE FUNDS" FISCAL YEAR 2021-2022

		NOVEMBER 2021		8	8 MONTHS ENDED NOVEMBER 30	
	Department of Health	Other State Agencies	November	Department of Health	Other State Agencies	Year to Date
Adult State Share Medicaid	G	⇔			\$ 126,273,514.00 \$	126,273,514.00
State Share Medicaid	•	684,724.00	684,724.00	74,550,190.00	11,040,945.24	85,591,135.24
Medical Assistance (OPWDD)	1		•	1	990,381,484.79	990,381,484.79
Medical Assistance Administration	4,331,851.70	49,799,354.00	54,131,205.70	40,034,240.69	274,576,462.00	314,610,702.69
Traumatic Brain Injury Services	865,761.86		865,761.86	7,494,762.73		7,494,762.73
Reducing Maternal Mortality	ı	1		110,623.27	1	110,623.27
New York Connects	ı	2,223,767.63	2,223,767.63	1	9,031,029.70	9,031,029.70
Facilitated Enrollment	577,780.92	ı	577,780.92	2,604,733.97		2,604,733.97
Managed Long-Term Care Ombudsman	1,051,227.74		1,051,227.74	3,391,479.02	•	3,391,479.02
General Hospitals Safety-Net Providers	161,649,800.00	1	161,649,800.00	183,549,800.00	•	183,549,800.00
AIDS Epidemic	934,614.03	1	934,614.03	7,311,623.46	•	7,311,623.46
Expanding Caregiver Support Services	1,279,929.56		1,279,929.56	13,887,036.95		13,887,036.95
Provide Affordable Housing	2,644,631.36	817,764.81	3,462,396.17	16,560,867.38	8,289,039.14	24,849,906.52
Community Provider Network	6,152,356.00	1	6,152,356.00	13,490,550.00		13,490,550.00
Inpatient Services	16,061,387.88		16,061,387.88	389,534,019.44		389,534,019.44
Patient Centered Medical Homes	1		•	578,400.75	1	578,400.75
Outpatient & Emergency Room Services	20,439,300.22		20,439,300.22	103,656,849.00		103,656,849.00
Clinic Services	17,321,481.95		17,321,481.95	140,096,376.68		140,096,376.68
Nursing Home Services	90,268,905.04	1	90,268,905.04	765,551,721.53		765,551,721.53
Other Long Term Care Services	280,666,690.73		280,666,690.73	2,272,415,575.03		2,272,415,575.03
Managed Care Services	291,673,626.34	1	291,673,626.34	3,545,001,364.00	1	3,545,001,364.00
Pharmacy Services	13,375,867.59	1	13,375,867.59	109,776,988.01		109,776,988.01
Transportation Services	12,373,524.62	1	12,373,524.62	87,525,921.79	ı	87,525,921.79
Dental Services	280,419.56		280,419.56	2,283,608.59		2,283,608.59
Non-Institutional & Other	566, 462, 524. 96	468,755.00	566,931,279.96	3,959,167,283.95	18,611,545.00	3,977,778,828.95
Medical Services State Facilities	49, 181, 927.03	1	49,181,927.03	720,721,140.22		720,721,140.22
CSEA Family Health Plus Buy In	163,350.75	•	163,350.75	1,132,108.00		1,132,108.00
Medical Assistance (HCRA)	400,000,000,000.00		400,000,000.00	2,800,000,000.00		2,800,000,000.00
Indigent Care	168,358,845.54		168,358,845.54	507,626,655.61		507,626,655.61
Provider Assessments	74,317,000.00	,	74,317,000.00	480,262,000.00		480,262,000.00
Additional DSH Payments SUNY				165,561,662.36		165,561,662.36
TOTAL(**)	2,180,432,805.38	53,994,365.44	2,234,427,170.82	16,413,877,582.43	1,438,204,019.87	17,852,081,602.30
Reclassification of Medical Assistance payments for care and treatment of patients at State-operated health, mental hygiene and State University facilities to Transfers.	(40,212,897.49)	,	(40,212,897.49)	(949,054,268.44)		(949,054,268.44)
TOTAL REPORTED MEDICAID	\$ 2,140,219,907.89	\$ 53,994,365.44 \$	2,194,214,273.33	\$ 15,464,823,313.99	\$ 1,438,204,019.87 \$	16,903,027,333.86

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(°) General Fund and State Special Revenue Funds only.
These amounts do not include Medical Assistance spending for State Operations.
These amounts are not comparable to Medicald Global Cap spending.
Department of Health regularly redassifies spending between programs, and therefore amounts for any individual program may be restated by DOH.
[*] Source: Statewide Financial System

APPENDIX I

MEDICAL ASSISTANCE DISBURSEMENTS - FEDERAL FUNDS $^{(1)}$ **FISCAL YEAR 2021-2022** STATE OF NEW YORK

		NOVEMBER 2021		8 MO	8 MONTHS ENDED NOVEMBER 30	
	Department of Health	Other State Agencies	November	Department of Health	Other State Agencies	Year to Date
Medical Assistance & Survey Certification Program	\$ 15,608,835.00	· ·	15,608,835.00	\$ 103,068,429.92	γ	103,068,429.92
Medical Assistance Administration	135,633.45	54,189,570.00	54,325,203.45	9,584,648.24	232,727,599.00	242,312,247.24
Inpatient Services	355,315,622.39		355,315,622.39	2,953,991,243.09		2,953,991,243.09
Outpatient & Emergency Room Services	35,215,064.28	•	35,215,064.28	267,378,685.21	1	267,378,685.21
Clinic Services	89,232,383.45	•	89,232,383.45	473,727,507.68	1	473,727,507.68
Nursing Home Services	181,485,400.26		181,485,400.26	1,111,839,077.53		1,111,839,077.53
Other Long Term Care Services	1,630,262,594.91	•	1,630,262,594.91	11,895,754,848.69		11,895,754,848.69
Managed Care Services	1,715,414,432.45	•	1,715,414,432.45	13,668,939,863.56		13,668,939,863.56
Pharmacy Services	39,544,380.52	•	39,544,380.52	285,878,347.71	1	285,878,347.71
Transportation Services	52,755,826.09		52,755,826.09	350,320,943.11		350,320,943.11
Dental Services	917,969.35	•	917,969.35	6,789,643.53		6,789,643.53
Non-Institutional & Other	136,330,688.14	5,576,747.00	141,907,435.14	(116,713,244.11)	30,342,823.00	(86,370,421.11)
Medical Services State Facilities	35,453,667.00		35,453,667.00	495,933,741.40		495,933,741.40
Additional DSH Payments SUNY	•	•	•	212,433,000.64	•	212,433,000.64
TOTAL(")	4,287,672,497.29	59,766,317.00	4,347,438,814.29	31,718,926,736.20	263,070,422.00	31,981,997,158.20
Reclassification of Medical Assistance payments for care and treatment of patients at State-operated health, mental hygiene and State University facilities to Transfers and adjustments for timing of payments at month end.	(672,743,757.32)		(672,743,757.32)	(1,652,606,176.72)	•	(1,652,606,176.72)
TOTAL REPORTED MEDICAID("")	\$ 3,614,928,739.97	\$ 59,766,317.00 \$	3,674,695,056.97	\$ 30,066,320,559.48	\$ 263,070,422.00 \$	30,329,390,981.48

These amounts do not include Medical Assistance spending for State Operations. These amounts are not comparable to Medicaid Global Cap spending. ("") Reported Medicald spending does not include the Basic Health Plan.

("') Source: Statewide Financial System

²³

APPENDIX

The following Appendix was filed with a Court Notice pertaining to Uniform Rules for the Supreme and County Courts published in this issue of the State Register.

FORM REMOTE DEPOSITION PROTOCOL

SUPREME COURT OF THE STATE OF NEW YORK COUNTY OF NEW YORK

XXXX,			Index No/
		Plaintiff(s),	
	- against –		
XXXX,			
		Defendant(s).	

STIPULATION AND [PROPOSED] ORDER CONCERNING PROTOCOL FOR CONDUCTING REMOTE DEPOSITIONS

The Plaintiff(s) and Defendant(s) (collectively, the "Parties") jointly stipulate to the following protocol for conducting depositions via remote means in the above-captioned manner:

- All depositions shall be conducted remotely using videoconference technology, and each deposition shall be recorded, either by stenographic or video means.
- Insofar as practicable, the remote deposition shall be similar to an in-person deposition.
- 3. The Party that notices the deposition shall contract with a court reporting service for court reporting, videoconference, and remote depositions services. An employee or employees of the service provider shall attend or be available at each remote deposition to record the deposition, troubleshoot any technological issues that may arise, and administer the virtual breakout rooms.
- 4. The Parties agree that these recorded remote depositions may be used at a trial or hearing to the same extent that an in-person deposition may be used at trial or hearing, and the Parties agree not to object to the use of these recordings on the basis that the deposition was taken remotely. The Parties reserve all other objections to the use of any deposition testimony at trial.

- 5. The deponent, court reporter, and counsel for the Parties may each participate in the videoconference deposition remotely and separately. Each person attending a deposition shall be clearly visible to all other participants, their statements shall be audible to all participants, and they should each use best efforts to ensure their environment is free from noise and distractions.
- 6. No counsel shall privately communicate with any deponent during questioning on the record, except for the purpose of determining whether a privilege should be asserted, and only after the witness has stated on the record that he or she needs to consult counsel regarding a question of privilege. Deponents shall shut off electronic devices, other than the devices that the deponent is using for the videoconferencing software and to display and access the exhibits, and shall refrain from all private communication during questioning on the record.
- 7. During breaks in the deposition, the Parties may use a breakout-room feature, which simulates a live breakout room through videoconference. Conversations in the breakout rooms shall not be recorded. The breakout rooms shall be established by the court reporting service prior to the deposition and controlled by the remote deposition or relevant service provider.
- 8. Remote depositions shall be recorded by stenographic means, and may also be video-recorded; but, the court reporter might not be physically present with the witness whose deposition is being taken. The Parties agree not to challenge the validity of any oath administered by the court reporter, even if the court reporter is not a notary public in the state where the deponent resides.
- 9. The court reporter will stenographically record the testimony, and the court reporter's transcript shall constitute the official record. If the deposition is to be video recorded,

the videographer will record the audio and video of the deposition and preserve the recording.

The court reporter may be given a copy of the video recording and may review the recording to improve the accuracy of any written transcript. The court reporter shall mark and preserve exhibits used at the deposition.

- The Parties agree that the court reporter is an "Officer" as defined by CPLR 3113(b) and shall be permitted to administer the oath to the witness via the videoconference.

 The deponent will be required to provide government-issued identification satisfactory to the court reporter and this identification must be legible on the video record, if the deposition is to be video recorded.
- 11. The Party that noticed the deposition shall be responsible for procuring a written transcript and any video record of the remote deposition. The Parties shall bear their own costs in obtaining a transcript and/or video record of the deposition or any real-time transcript functionality.
- 12. The Party that noticed the deposition shall provide the remote deposition or relevant service provider with a copy of this Stipulation and Order at least twenty-four hours in advance of the deposition.
- 13. At the beginning of each deposition, consistent with CPLR 3113(b), the videographer or stenographer shall "put the witness on oath" (CPLR 3113(b)) and begin the deposition with a statement on the record, consistent with 22 NYCRR 202.15(d), that shall include: (i) the officer's name and address; (ii) the name and address of the officer's employer; (iii) the date, time, and place (or method) of the deposition; (iv) the party on whose behalf the deposition is being taken; and (v) the identity of all persons present.

- 14. At the beginning of each segment of the deposition, consistent with 22 NYCRR 202.15(d), the videographer or stenographer shall begin that segment of the remote deposition by announcing the beginning and end of each segment of the remote deposition.
- 15. If the deposition is being video recorded, the videographer shall monitor the audio and video transmission and shall stop the record if he or she determines that any participant has been dropped from the remote deposition or is otherwise incapable of participating by reason of technical problems. If a videographer is not present, the monitor and/or court reporter shall stop the record as soon as he or she becomes aware that a participant has been dropped from the remote deposition or cannot participate by reason of technical problems.
- 16. The defending attorney shall make objections and interpose instructions not to answer in substantially the same manner as he or she would at an in-person deposition. If the defending attorney is unable to make objections and interpose instructions not to answer by reason of technical difficulties, such a failure to object or to instruct shall not be construed as waiver and the defending attorney shall have an opportunity to object or to instruct as soon as the technical problem has been remedied. Objections and instructions not to answer shall be regarded as timely if made as soon as practicable.
- 17. The Parties agree to work collaboratively and in good faith with the court reporting agency to assess each deponent's technological abilities and to troubleshoot any issues at least 48 hours in advance of the deposition so any adjustments can be made. Counsel and deponents may test remote deposition software before any remote deposition. The Parties also agree to work collaboratively to address and troubleshoot technological issues that arise during a deposition and make such provisions as are reasonable under the circumstances to address such issues. This provision shall not be interpreted to compel any Party to proceed with a deposition

where the deponent cannot hear or understand the other participants or where the participants cannot hear or understand the deponent. Any period on the record during which a deponent or questioner could not hear or understand the questions or answers due to technical difficulties shall not count toward time limitation under CPLR 3113(b).

- 18. Counsel shall use best efforts to ensure that they have sufficient technology to participate in a videoconference deposition (e.g., a webcam and computer or telephone audio and sufficient internet bandwidth to sustain the remote deposition). Counsel for the deponent shall likewise use best efforts to ensure that the deponent has such sufficient technology. In the case of non-party witnesses, counsel noticing the deposition shall supply any necessary technology that the deponent does not have.
- 19. The Parties agree that this Stipulation and Order applies to remote depositions of non-parties under CPLR 3101 and shall work in a collaborative manner in attempting to schedule remote depositions of non-parties. The Party noticing any non-party deposition shall provide this Stipulation and Order to counsel for any non-party under CPLR 3101 a reasonable time before the date of the deposition.
- 20. The Parties agree that any of the following methods for administering exhibits may be employed during a remote deposition, or a combination of one or more methods:
 - (i) Counsel noticing the deposition may choose to mail printed copies of documents that may be used during the deposition to the deponent, the deponent's counsel, counsel for other parties that will appear on the record, and the court reporter. In that event, noticing counsel shall so inform the recipients prior to mailing the documents and shall provide tracking information for the package. Such documents shall be delivered

by noon (local-time) the day before the deposition. Recipients shall confirm receipt of the package by electronic mail to Counsel noticing the deposition. If printed copies are mailed, every recipient of a mailed package shall keep the package sealed until the deposition begins and shall only unseal the package on the record, on video, and during the deposition when directed to do so by the counsel taking the deposition. Recipients shall proceed to open the documents and review the documents only upon the instruction of the noticing attorney. This same procedure shall apply to any physical copies of documents any other counsel intends to use for examining the witness.

- (ii) Counsel noticing the deposition may share exhibits digitally, such as by emailing a compressed zip folder or sharing a link. The exhibits shall be shared to the deponent, the deponent's counsel, the other Party's counsel, and the court reporter, and any other attorneys who have appeared on the record at the deposition. Every recipient of a digital exhibit shall not open the digital exhibit until directed to do so by the counsel taking the deposition. If sending documents digitally, counsel will be mindful of file size limitations, which presumptively should be less than __ MB. Such file transfers shall be password-protected.
- (iii) If the software for the videoconference supports uploading and sharing digital files in real time (e.g., such as the Chat feature on Zoom), then such function may be equivalently used to distribute exhibits to the deponent and participants in real time. Counsel appearing on the record at the

Dated:

FORM REMOTE DEPOSITION PROTOCOL

deposition and the court reporter shall confirm receipt of the documents to Counsel noticing the deposition. The method of transferring the documents shall be password-protected, and counsel taking the deposition shall supply the password immediately prior to the commencement of the deposition.

(iii) Regardless which method of document-sharing is used, the witness and the defending counsel shall have the right to private copies of the exhibits that allow the witness and defending counsel to independently and fully navigate the exhibit while the deposition is on the record.

Dutou.
SO ORDERED:
[, J.]
Justice of the Supreme Court of the State of New York
Stipulated to:
[ATTORNEY SIGNATURE BLOCKS]