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Issue 8

DEPARTMENT OF STATE
Division of Administrative Rules

NEW YORK STATE REGISTER

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State agencies must specify in each notice which proposes a rule the last date on which they will accept public comment. Agencies must always accept public comment: for a minimum of 60 days following publication in the *Register* of a Notice of Proposed Rule Making, or a Notice of Emergency Adoption and Proposed Rule Making; and for 45 days after publication of a Notice of Revised Rule Making, or a Notice of Emergency Adoption and Revised Rule Making in the *Register*. When a public hearing is required by statute, the hearing cannot be held until 60 days after publication of the notice, and comments must be accepted for at least 5 days after the last required hearing. When the public comment period ends on a Saturday, Sunday or legal holiday, agencies must accept comment through the close of business on the next succeeding workday.

For notices published in this issue:

– the 60-day period expires on April 25, 2021
– the 45-day period expires on April 10, 2021
– the 30-day period expires on March 26, 2021

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NEW YORK STATE
REGISTER

Be a part of the rule making process!

The public is encouraged to comment on any of the proposed rules appearing in this issue. Comments must be made in writing and must be submitted to the agency that is proposing the rule. Address your comments to the agency representative whose name and address are printed in the notice of rule making. No special form is required; a handwritten letter will do. Individuals who access the online *Register* (www.dos.ny.gov) may send public comment via electronic mail to those recipients who provide an e-mail address in Notices of Proposed Rule Making. This includes Proposed, Emergency Proposed, Revised Proposed and Emergency Revised Proposed rule makings.

To be considered, comments should reach the agency before expiration of the public comment period. The law provides for a minimum 60-day public comment period after publication in the *Register* of every Notice of Proposed Rule Making, and a 45-day public comment period for every Notice of Revised Rule Making. If a public hearing is required by statute, public comments are accepted for at least five days after the last such hearing. Agencies are also required to specify in each notice the last date on which they will accept public comment.

When a time frame calculation ends on a Saturday or Sunday, the agency accepts public comment through the following Monday; when calculation ends on a holiday, public comment will be accepted through the following workday. Agencies cannot take action to adopt until the day after expiration of the public comment period.

The Administrative Regulations Review Commission (ARRC) reviews newly proposed regulations to examine issues of compliance with legislative intent, impact on the economy, and impact on affected parties. In addition to sending comments or recommendations to the agency, please do not hesitate to transmit your views to ARRC:

Administrative Regulations Review Commission
State Capitol
Albany, NY 12247
Telephone: (518) 455-5091 or 455-2731

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KEY: (P) Proposal; (RP) Revised Proposal; (E) Emergency; (EP) Emergency and Proposal; (A) Adoption; (AA) Amended Adoption; (W) Withdrawal

Individuals may send public comment via electronic mail to those recipients who provided an e-mail address in Notices of Proposed Rule Making. This includes Proposed, Emergency Proposed, Revised Proposed and Emergency Revised Proposed rule makings. Choose pertinent issue of the *Register* and follow the procedures on the website (www.dos.ny.gov)

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RULE MAKING ACTIVITIES

Each rule making is identified by an I.D. No., which consists of 13 characters. For example, the I.D. No. AAM-01-96-00001-E indicates the following:

AAM	-the abbreviation to identify the adopting agency
01	-the *State Register* issue number
96	-the year
00001	-the Department of State number, assigned upon receipt of notice.
E	-Emergency Rule Making—permanent action not intended (This character could also be: A for Adoption; P for Proposed Rule Making; RP for Revised Rule Making; EP for a combined Emergency and Proposed Rule Making; EA for an Emergency Rule Making that is permanent and does not expire 90 days after filing.)

Italics contained in text denote new material. Brackets indicate material to be deleted.

Education Department

EMERGENCY/PROPOSED RULE MAKING NO HEARING(S) SCHEDULED

Providing Flexibility Relating to Higher Education Regulatory Requirements in Response to the COVID-19 Crisis

I.D. No. EDU-08-21-00001-EP
Filing No. 133
Filing Date: 2021-02-09
Effective Date: 2021-02-09

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following action:

Proposed Action: Amendment of sections 30-1.2, 52.21, 80-3.7 and 80-3.14 of Title 8 NYCRR.

Statutory authority: Education Law, sections 101, 207, 215, 305, 3001, 3004 and 3009

Finding of necessity for emergency rule: Preservation of public health and general welfare.

Specific reasons underlying the finding of necessity: On January 30, 2020, the World Health Organization designated the novel coronavirus, COVID-19, outbreak as a Public Health Emergency of International Concern. On March 7, 2020, the Governor of New York State declared a State disaster emergency for the entire State of New York pursuant to Executive Order 202. In response, the Department adopted emergency regulations at the April, May, June, July, September, October, November, and December 2020 Board of Regents meetings to address numerous issues resulting from interruptions caused by the COVID-19 crisis, including numerous regulations providing flexibility for institutions of higher education, certificate holders, applicants for certification, and candidates in educator preparation programs. To address additional issues resulting from the interruptions caused by the COVID-19 crisis, it is necessary for the Department to adopt further higher education regulatory amendments related to the following:

o Student Teaching;
o Individual Evaluation Pathway to Certification;
o Accreditation; and
o Computer Science Tenure Area and Statement of Continued Eligibility.

Because the Board of Regents meets at scheduled intervals, the earliest the proposed amendment could be presented for regular (non-emergency) adoption, after publication in the State Register and expiration of the 60-day public comment period required in the State Administrative Procedure Act (SAPA) sections 201(1) and (5) , is the June 2021 Regents meeting. However, because the COVID-19 crisis is presently affecting the State of New York, emergency action is necessary for the preservation of public health and the general welfare in order to immediately address issues arising from the COVID-19 crisis and to provide flexibility for certain higher education regulatory requirements in response to the COVID-19 crisis.

It is anticipated that the proposed rule will be presented for adoption as a permanent rule at the June 2021 Regents meeting, which is the first scheduled meeting after the 60-day public comment period prescribed by SAPA for State agency rule makings. However, since the emergency regulation will expire before the June meeting, it is anticipated that an additional emergency action will be presented for adoption at the April 2021 Regents meeting.

Subject: Providing Flexibility Relating to Higher Education Regulatory Requirements in Response to the COVID-19 Crisis.

Purpose: To provide flexibility for certain higher education related regulatory requirements in response to the COVID-19 crisis.

Substance of emergency/proposed rule (Full text is posted at the following State website: http://www.counsel.nysed.gov/rules/full-text-indices): On January 30, 2020, the World Health Organization designated the novel coronavirus, COVID-19, outbreak as a Public Health Emergency of International Concern. On March 7, 2020, the Governor of New York State declared a State disaster emergency for the entire State of New York pursuant to Executive Order 202. In response, the Department adopted emergency regulations at the April, May, June, July, September, October, November, and December 2020 Board of Regents meetings to address numerous issues resulting from interruptions caused by the COVID-19 crisis, including numerous amendments providing flexibility for institutions of higher education, certificate holders, applicants for certification, and candidates in educator preparation programs. To address additional issues resulting from the interruptions caused by the COVID-19 crisis, the Department is proposing further emergency regulatory amendments relating to higher education as follows:

• Accreditation

o The Department proposes to amend section 52.21 of the Commissioner's regulations to provide additional time for institutions of higher education with both initial level and advanced level educator preparation programs, whose initial level programs have been reviewed and accredited by the Council for the Accreditation of Educator Preparation (CAEP) but whose advanced level programs have not yet been reviewed and accredited by CAEP, to complete the process for accreditation of their advanced level programs. These institutions may wait for the next accreditation review of their initial level programs to have their advanced level programs reviewed and accredited by CAEP, and those programs will be considered continuously accredited during that time period.

• Computer Science Tenure Area and Statement of Continued Eligibility (SOCE)

o The Department proposes to amend section 30-1.2 of the Rules of the Board of Regents to extend the dates listed in the computer science tenure regulations, which are related to the Computer Science certificate,

from September 1, 2022 to September 1, 2023. This one-year extension is consistent with the amendments to section 80-3.14 of the Commissioner's regulations providing a one-year extension for the Computer Science SOCE, signaling that teachers of computer science courses do not need to hold the Computer Science certificate or SOCE until September 1, 2023 described directly below.

o Currently, for the Computer Science SOCE, teachers must be employed as teachers of computer science courses within the five years immediately preceding September 1, 2022 in a New York State public school, BOCES, or other school where teacher certification is required. The Department proposes to amend section 80-3.14 of the Commissioner's regulations to extend the application deadline for the Computer Science SOCE from September 1, 2022 to September 1, 2023. Additionally, the proposed amendment provides that teachers must be employed as teachers of computer science courses within six years immediately preceding September 1, 2023, rather than five. The proposed amendments are in response to schools offering fewer, if any, computer science courses during the COVID-19 pandemic, which may impact teachers' eligibility for the SOCE. The extensions provide school districts with more time to plan and offer needed computer science courses, which teachers must teach to receive the SOCE.

• Individual Evaluation Pathway to Certification

o The Department proposes to amend section 80-3.7 to extend the date by which candidates who apply for certification through the Individual Evaluation pathway must satisfy a longer teaching experience requirement (e.g., 14 weeks instead of 40 days) from September 1, 2026 to September 1, 2027. This one-year extension is consistent with the proposed amendments to section 52.21 providing a one-year extension for registered teacher preparation programs to revise their student teaching requirements described immediately below.

• Student Teaching

o The Department proposes to amend section 52.21 to provide a one-year extension for registered teacher preparation programs to revise their field experience and student teaching requirements in accordance with the Commissioner's regulations, establish memoranda of understanding or similar collaborative agreements related to clinical experiences, and ensure clinical experiences during community college programs, where applicable. With the extension, the required program changes would apply to candidates who first enroll in a registered program in the fall 2023 semester, instead of the fall 2022 semester and thereafter, to provide programs with additional time to make the required changes, if needed, because they have not been able to focus on making significant program changes during the COVID-19 pandemic.

This notice is intended: to serve as both a notice of emergency adoption and a notice of proposed rule making. The emergency rule will expire May 9, 2021.

Text of rule and any required statements and analyses may be obtained from: Kirti Goswami, NYS Education Department, Office of Counsel, 89 Washington Avenue, Room 112EB, Albany, NY 12234, (518) 474-6400, email: legal@nysed.gov

Data, views or arguments may be submitted to: Petra Maxwell, NYS Education Department, Office of Counsel, 89 Washington Avenue, Room 112EB, Albany, NY 12234, (518) 474-6400, email: legal@nysed.gov

Public comment will be received until: 60 days after publication of this notice.

Regulatory Impact Statement

1. STATUTORY AUTHORITY:

Education Law § 101 (not subdivided) charges the Department with the general management and supervision of all public schools and all of the educational work of the state.

Education Law § 207 (not subdivided) grants general rule-making authority to the Regents to carry into effect State educational laws and policies.

Education Law § 210 empowers the Regents to register domestic and foreign institutions in terms of New York standards, and fix the value of degrees, diplomas and certificates issued by institutions of other states or countries and presented to entrance to schools, colleges and the professions in New York.

Education Law § 215 empowers the Regents and the Commissioner to visit, examine into and inspect, any institution in the University and any school or institution under the educational supervision of the State and may require reports therefrom giving information as the Regents or the Commissioner prescribe.

Education Law § 305 authorizes the Commissioner to enforce the educational policies of this State and execute all educational policies determined by the Regents and shall prescribe the licensing of teachers employed in this State.

Education Law § 3001 establishes the qualifications of teachers in the classroom.

Education Law § 3004(1) authorizes the Commissioner to promulgate regulations governing the certification requirements for teachers employed in public schools.

Education Law § 3009 prohibits school districts from paying the salary of an unqualified teacher.

2. LEGISLATIVE OBJECTIVES:

The proposed amendment is consistent with the above statutory authority and is necessary to provide flexibility for certain higher education related regulatory requirements in response to the COVID-19 crisis.

3. NEEDS AND BENEFITS:

On January 30, 2020, the World Health Organization designated the novel coronavirus, COVID-19, outbreak as a Public Health Emergency of International Concern. On March 7, 2020, the Governor of New York State declared a State disaster emergency for the entire State of New York pursuant to Executive Order 202. In response, the Department adopted emergency regulations at the April, May, June, July, September, October, November, and December 2020 Board of Regents meetings to address numerous issues resulting from interruptions caused by the COVID-19 crisis, including numerous amendments providing flexibility for institutions of higher education, certificate holders, applicants for certification, and candidates in educator preparation programs. To address additional issues resulting from the interruptions caused by the COVID-19 crisis, the Department is proposing further emergency regulatory amendments relating to higher education as follows:

• Accreditation

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• Computer Science Tenure Area and Statement of Continued Eligibility (SOCE)

o The Department proposes to amend section 30-1.2 of the Rules of the Board of Regents to extend the dates listed in the computer science tenure regulations, which are related to the Computer Science certificate, from September 1, 2022 to September 1, 2023. This one-year extension is consistent with the amendments to section 80-3.14 of the Commissioner's regulations providing a one-year extension for the Computer Science SOCE, signaling that teachers of computer science courses do not need to hold the Computer Science certificate or SOCE until September 1, 2023 described directly below.

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• Individual Evaluation Pathway to Certification

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• Student Teaching

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mester, instead of the fall 2022 semester and thereafter, to provide programs with additional time to make the required changes, if needed, because they have not been able to focus on making significant program changes during the COVID-19 pandemic.

4. COSTS:

a. Costs to State government: The amendments do not impose any costs on State government.

b. Costs to local government: The amendments do not impose any costs on local government.

c. Costs to private regulated parties: The amendments do not impose any costs on private regulated parties.

d. Costs to regulatory agency: There are no additional costs to the State Education Department.

5. LOCAL GOVERNMENT MANDATES:

The proposed amendments do not impose any additional program, service, duty or responsibility upon any local government.

6. PAPERWORK:

The proposed amendments do not impose any additional paperwork requirements.

7. DUPLICATION:

The proposed amendment does not duplicate existing State or Federal requirements.

8. ALTERNATIVES:

The proposed amendments are necessary to provide flexibility for certain higher education related regulatory requirement in response to the COVID-19 crisis. There are no significant alternatives to the proposed amendment and none were considered.

9. FEDERAL STANDARDS:

There are no applicable Federal standards.

10. COMPLIANCE SCHEDULE:

The emergency rule will become effective February 9, 2021. It is anticipated that the proposed amendment will be presented to the Board of Regents for adoption at its June 2021 meeting. If adopted at the June 2021 meeting, the proposed amendment will become effective on June 23, 2021. It is anticipated that regulated parties will be able to comply with the proposed amendment by the effective date.

Regulatory Flexibility Analysis

The proposed amendment applies to all higher education institutions including those located in the 44 rural counties with fewer than 200,000 inhabitants and the 71 towns and urban counties with a population density of 150 square miles or less.

On January 30, 2020, the World Health Organization designated the novel coronavirus, COVID-19, outbreak as a Public Health Emergency of International Concern. On March 7, 2020, the Governor of New York State declared a State disaster emergency for the entire State of New York pursuant to Executive Order 202. In response, the Department adopted emergency regulations at the April, May, June, July, September, October, November, and December 2020 Board of Regents meetings to address numerous issues resulting from interruptions caused by the COVID-19 crisis, including numerous amendments providing flexibility for institutions of higher education, certificate holders, applicants for certification, and candidates in educator preparation programs. To address additional issues resulting from the interruptions caused by the COVID-19 crisis, the Department is proposing further emergency regulatory amendments relating to higher education as follows:

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The amendment does not impose any new recordkeeping or other compliance requirements and will not have an adverse economic impact on small businesses or local governments. Because it is evident from the nature of the proposed technical amendment that it will not affect small businesses or local governments, no further steps were needed to ascertain that fact and none were taken. Accordingly, a regulatory flexibility analysis for small businesses is not required and one has not been prepared.

Rural Area Flexibility Analysis

The purpose of the proposed amendments to the Regulations of the Commissioner of Education is to provide flexibility for certain higher education related regulatory requirements in response to the COVID-19 crisis. On January 30, 2020, the World Health Organization designated the novel coronavirus, COVID-19, outbreak as a Public Health Emergency of International Concern. On March 7, 2020, the Governor of New York State declared a State disaster emergency for the entire State of New York pursuant to Executive Order 202. In response, the Department adopted emergency regulations at the April, May, June, July, September, October, November, and December 2020 Board of Regents meetings to address numerous issues resulting from interruptions caused by the COVID-19 crisis, including numerous amendments providing flexibility for institutions of higher education, certificate holders, applicants for certification, and candidates in educator preparation programs. To address additional issues resulting from the interruptions caused by the COVID-19 crisis, the Department is proposing further emergency regulatory amendments relating to higher education as follows:

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o The Department proposes to amend section 52.21 of the Commissioner's regulations to provide additional time for institutions of higher education with both initial level and advanced level educator preparation programs, whose initial level programs have been reviewed and accredited by the Council for the Accreditation of Educator Preparation (CAEP) but whose advanced level programs have not yet been reviewed and accredited by CAEP, to complete the process for accreditation of their advanced level programs. These institutions may wait for the next accreditation review of their initial level programs to have their advanced level programs reviewed and accredited by CAEP, and those programs will be considered continuously accredited during that time period.

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SOCE, signaling that teachers of computer science courses do not need to hold the Computer Science certificate or SOCE until September 1, 2023 described directly below.

o Currently, for the Computer Science SOCE, teachers must be employed as teachers of computer science courses within the five years immediately preceding September 1, 2022 in a New York State public school, BOCES, or other school where teacher certification is required. The Department proposes to amend section 80-3.14 of the Commissioner's regulations to extend the application deadline for the Computer Science SOCE from September 1, 2022 to September 1, 2023. Additionally, the proposed amendment provides that teachers must be employed as teachers of computer science courses within six years immediately preceding September 1, 2023, rather than five. The proposed amendments are in response to schools offering fewer, if any, computer science courses during the COVID-19 pandemic, which may impact teachers' eligibility for the SOCE. The extensions provide school districts with more time to plan and offer needed computer science courses, which teachers must teach to receive the SOCE.

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The proposed amendment provides flexibility for certain higher education regulatory requirements during the COVID-19 crisis. Thus, the proposed amendment does not adversely impact entities in rural areas of New York State. Accordingly, no further steps were needed to ascertain the impact of the proposed amendment on entities in rural areas and none were taken. Thus, a rural flexibility analysis is not required and one has not been prepared.

Job Impact Statement

On January 30, 2020, the World Health Organization designated the novel coronavirus, COVID-19, outbreak as a Public Health Emergency of International Concern. On March 7, 2020, the Governor of New York State declared a State disaster emergency for the entire State of New York pursuant to Executive Order 202. In response, the Department adopted emergency regulations at the April, May, June, July, September, October, November, and December 2020 Board of Regents meetings to address numerous issues resulting from interruptions caused by the COVID-19 crisis, including numerous amendments providing flexibility for institutions of higher education, certificate holders, applicants for certification, and candidates in educator preparation programs. To address additional issues resulting from the interruptions caused by the COVID-19 crisis, the Department is proposing further emergency regulatory amendments relating to higher education as follows:

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o Currently, for the Computer Science SOCE, teachers must be employed as teachers of computer science courses within the five years immediately preceding September 1, 2022 in a New York State public school, BOCES, or other school where teacher certification is required. The Department proposes to amend section 80-3.14 of the Commissioner's regulations to extend the application deadline for the Computer Science SOCE from September 1, 2022 to September 1, 2023. Additionally, the proposed amendment provides that teachers must be employed as teachers of computer science courses within six years immediately preceding September 1, 2023, rather than five. The proposed amendments are in response to schools offering fewer, if any, computer science courses during the COVID-19 pandemic, which may impact teachers' eligibility for the SOCE. The extensions provide school districts with more time to plan and offer needed computer science courses, which teachers must teach to receive the SOCE.

• Individual Evaluation Pathway to Certification

o The Department proposes to amend section 80-3.7 to extend the date by which candidates who apply for certification through the Individual Evaluation pathway must satisfy a longer teaching experience requirement (e.g., 14 weeks instead of 40 days) from September 1, 2026 to September 1, 2027. This one-year extension is consistent with the proposed amendments to section 52.21 providing a one-year extension for registered teacher preparation programs to revise their student teaching requirements described immediately below.

• Student Teaching

o The Department proposes to amend section 52.21 to provide a one-year extension for registered teacher preparation programs to revise their field experience and student teaching requirements in accordance with the Commissioner's regulations, establish memoranda of understanding or similar collaborative agreements related to clinical experiences, and ensure clinical experiences during community college programs, where applicable. With the extension, the required program changes would apply to candidates who first enroll in a registered program in the fall 2023 semester, instead of the fall 2022 semester and thereafter, to provide programs with additional time to make the required changes, if needed, because they have not been able to focus on making significant program changes during the COVID-19 pandemic.

Because it is evident from the nature of the proposed amendment that it will have no impact on the number of jobs or employment opportunities in New York State, no further steps were needed to ascertain that fact and none were taken.

NOTICE OF ADOPTION

Addressing the COVID-19 Crisis

I.D. No. EDU-16-20-00002-A
Filing No. 132
Filing Date: 2021-02-09
Effective Date: 2021-02-24

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following action:

Action taken: Amendment of sections 52.3, 52.21, 57-4.5, 70.4, 74.6, 75.2, 76.2, 79-9.3, 79-10.3, 79-11.3, 79-12.3, 80-1.5, 80-1.13, 80-3.15, 80-4.3, 83.5, 87.2, 87.5, 100.2, 100.4, 100.5, 100.6, 100.10, 100.21, 119.1, 119.5, 125.1, 151-1.4, 154-2.3, 175.5, 200.4, 200.5, 200.7, 200.20, 275.8; addition of 279.5 to Title 8 NYCRR.

Statutory authority: Education Law, sections 101, 112, 207, 208, 215, 301, 305, 310, 311, 1704, 1709, 2117, 2651, 2852, 2854, 2856, 3001, 3001-d, 3003, 3004, 3004-c, 3009, 3035, 3204, 3205, 3210, 3212, 3214, 3602, 3602-c, 3602-e, 3604, 3713, 4402, 4403, 4404, 4410, 6501, 6504, 6506, 6507, 7404, 7904, 7904-a and 8206

Subject: Addressing the COVID-19 Crisis.

Purpose: To provide flexibility for certain regulatory requirements in response to the COVID-19 crisis.

Text or summary was published in the April 22, 2020 issue of the Register, I.D. No. EDU-16-20-00002-EP.

Final rule as compared with last published rule: No changes.

Revised rule making(s) were previously published in the State Register on September 30, 2020 and December 2, 2020.

Text of rule and any required statements and analyses may be obtained from: Kirti Goswami, NYS Education Department, Office of Counsel, 89 Washington Avenue, Room 112EB, Albany, NY, (518) 474-6400, email: legal@nysed.gov

Initial Review of Rule
As a rule that requires a RFA, RAFA or JIS, this rule will be initially reviewed in the calendar year 2024, which is no later than the 3rd year after the year in which this rule is being adopted.

Assessment of Public Comment
The agency received no public comment.

PROPOSED RULE MAKING NO HEARING(S) SCHEDULED

Definition of the Term "University"

I.D. No. EDU-08-21-00002-P

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following proposed rule:

Proposed Action: Amendment of section 50.1(l) of Title 8 NYCRR.

Statutory authority: Education Law, sections 101, 201, 205, 207, 224, 250, 211, 305 and 6506

Subject: Definition of the Term "University".

Purpose: To clarify and broaden the definition of the term "university".

Text of proposed rule: Paragraph (l) of section 50.1 of the Regulations of the Commissioner of Education shall be amended to read as follows:

(l) University means a higher educational institution offering a range of registered undergraduate and graduate curricula in the liberal arts and sciences[, degrees in two or more professional fields,] and doctoral programs *registered* in at least three [academic fields] *of the following discipline areas: agriculture, biological sciences, business, education, engineering, fine arts, health professions, humanities, physical sciences, and social sciences.*

Text of proposed rule and any required statements and analyses may be obtained from: Kirti Goswami, NYS Education Department, Office of Counsel, 89 Washington Avenue, Room 112EB, Albany, NY 12234, (518) 474-6400, email: legal@nysed.gov

Data, views or arguments may be submitted to: Petra Maxwell, NYS Education Department, Office of Higher Education, 89 Washington Avenue, Room 975 EBA, Albany, NY 12234, (518) 474-2238, email: OHEREGComments@nysed.gov

Public comment will be received until: 60 days after publication of this notice.

Regulatory Impact Statement

1. STATUTORY AUTHORITY:

Education Law § 101 (not subdivided) charges the Department with the general management and supervision of all public schools and all of the educational work of the state.

Education Law § 201 grants the Regents general authority over the corporation, including the name, of the University of the State of New York.

Education Law § 205 grants authority to the Commissioner to enforce all general and special laws relating to the educational system of the state and execute all educational policies determined by the Board of Regents.

Education Law § 207 (not subdivided) grants general rule-making authority to the Regents to carry into effect State educational laws and policies.

Education Law § 211 gives the Regents the authority to periodically review and evaluate State learning standards.

Education Law § 215 authorizes the Regents and/or the Commissioner to visit, examine and inspect any institution in the university and any school or institution under the educational supervision of the state.

Education Law § 224 Education Law grants the Regents the authority to confer by special charter to a university, college or other degree granting institution the ability to confer any degree or use, advertise or transact business under the name university or college.

Education Law § 250 authorizes the Regents to prepare, publish and distribute, the colonial history, natural history and all other state publications not otherwise assigned by law.

Education Law § 305 authorizes the Commissioner to enforce the educational policies of this State and execute all educational policies determined by the Regents and shall prescribe the licensing of teachers employed in this State.

Education Law § 6506 authorizes the Regents to supervise the admission to and the practice of the professions.

2. LEGISLATIVE OBJECTIVES:

The proposed amendment is consistent with the above statutory authority and the purpose of the proposed amendment to section 50.1(l) of the Commissioner's regulations relating to the definition of the term "university" is to address the concerns presented by the New York State college community. Over the last few years, several New York State colleges, have requested that the Board of Regents consider revising the definition of "university" in New York State. Suggestions have ranged from broadening and clarifying which doctoral programs meet the current definition of "doctoral programs in at least three academic fields," to eliminating the requirement for any doctoral programs, to revising the definition to state that any institution that offers at least one master's degree program can use "university" it its name, etc. Colleges have raised several issues to support their request for a regulatory revision, including confusion caused by the word "college" when recruiting international students, and the fact that other states have broader definitions of "university" and, in some cases, draw no distinction between "colleges" and "universities."

3. NEEDS AND BENEFITS:

At the November 2020 meeting of the Board of Regents, members of the Board expressed interest in considering a revision to the regulatory definition of "university" to address the issues raised by some colleges while, at the same time, maintaining the Board's high standards for institutions of higher education. Members also expressed interest in receiving input on this matter from New York State institutions of higher education. The Department has received letters from several institutions that outline their continued interest in revising the current definition. Those letters are available upon request from the Secretary to the Board of Regents.

In developing its recommendation on this matter, the Department balanced the interests of institutions of higher education with the Regents' interest in maintaining high standards and ensuring breadth and depth of academic offerings by institutions that use the word "university" in their names.

The Department recommends that the definition of "university" be amended to read as follows:

"University means a higher educational institution offering a range of registered undergraduate and graduate curricula in the liberal arts and sciences and doctoral programs registered in at least three of the following discipline areas: agriculture, biological sciences, business, education, engineering, fine arts, health professions, humanities, physical sciences, and social sciences."

To assure depth and breadth of academic offerings by a "university" in New York State, this proposed definition maintains the requirement for a range of undergraduate and graduate programs in the liberal arts and sciences as well as a requirement for doctoral-level programs in at least three of the 10 discipline areas used in the NYS Taxonomy of Academic Programs: agriculture, biological sciences, business, education, engineering, fine arts, health professions, humanities, physical sciences, and social sciences. In response to requests from institutions, the revised definition clarifies that all doctoral degrees (e.g., D.N.P., Ed.D., Pharm.D., etc.), not just the Ph.D., could satisfy this definition. Consistent with the intent to broaden the definition, this proposal also eliminates the requirement for "degrees in two or more professional fields."

This proposed definition provides an opportunity for those institutions that offer a broad range of academic programs – determined by both degree-level and discipline area – to petition the Board of Regents to amend their charters to use the word "university" in their names.

4. COSTS:

a. Costs to State government: The amendment does not impose any costs on State government, including the State Education Department.

b. Costs to local government: The amendment does not impose any costs on local government.

c. Costs to private regulated parties: The amendment does not impose any costs on private regulated parties.

d. Costs to regulating agency for implementation and continued administration: See above.

5. LOCAL GOVERNMENT MANDATES:

The proposed amendment does not impose any additional program, service, duty or responsibility upon any local government.

6. PAPERWORK:

The proposed amendment does not impose any additional paperwork requirements.

7. DUPLICATION:

The proposed amendment does not duplicate existing State or Federal requirements.

8. ALTERNATIVES:

Because the State believes that the amendment is necessary to bring existing regulations into alignment with current public opinion and policy, no alternatives were considered.

9. FEDERAL STANDARDS:

There are no applicable Federal standards.

10. COMPLIANCE SCHEDULE:

It is anticipated that the proposed amendment will be presented to the Board of Regents for adoption at its June 2021 meeting. If adopted at the June 2021 meeting, the proposed amendment will become effective on June 23, 2021.

Regulatory Flexibility Analysis

The proposed amendment applies to all higher education institutions including those located in the 44 rural counties with fewer than 200,000 inhabitants and the 71 towns and urban counties with a population density of 150 square miles or less.

The purpose of the proposed amendment to section 50.1(l) of the Commissioner's regulations relating to the definition of the term "university" is to address the concerns presented by the New York State college community. Over the last few years, several New York State colleges, have requested that the Board of Regents consider revising the definition of "university" in New York State. Suggestions have ranged from broadening and clarifying which doctoral programs meet the current definition of "doctoral programs in at least three academic fields," to eliminating the requirement for any doctoral programs, to revising the definition to state that any institution that offers at least one master's degree program can use "university" it its name, etc. Colleges have raised several issues to support their request for a regulatory revision, including confusion caused by the word "college" when recruiting international students, and the fact that other states have broader definitions of "university" and, in some cases, draw no distinction between "colleges" and "universities."

At the November 2020 meeting of the Board of Regents, members of the Board expressed interest in considering a revision to the regulatory definition of "university" to address the issues raised by some colleges while, at the same time, maintaining the Board's high standards for institutions of higher education. Members also expressed interest in receiving input on this matter from New York State institutions of higher education. The Department has received letters from several institutions that outline their continued interest in revising the current definition. Those letters are available upon request from the Secretary to the Board of Regents.

In developing its recommendation on this matter, the Department balanced the interests of institutions of higher education with the Regents' interest in maintaining high standards and ensuring breadth and depth of academic offerings by institutions that use the word "university" in their names.

The Department recommends that the definition of "university" be amended to read as follows:

"University means a higher educational institution offering a range of registered undergraduate and graduate curricula in the liberal arts and sciences and doctoral programs registered in at least three of the following discipline areas: agriculture, biological sciences, business, education, engineering, fine arts, health professions, humanities, physical sciences, and social sciences."

To assure depth and breadth of academic offerings by a "university" in New York State, this proposed definition maintains the requirement for a range of undergraduate and graduate programs in the liberal arts and sciences as well as a requirement for doctoral-level programs in at least three of the 10 discipline areas used in the NYS Taxonomy of Academic Programs: agriculture, biological sciences, business, education, engineering, fine arts, health professions, humanities, physical sciences, and social sciences. In response to requests from institutions, the revised definition clarifies that all doctoral degrees (e.g., D.N.P., Ed.D., Pharm.D., etc.), not just the Ph.D., could satisfy this definition. Consistent with the intent to broaden the definition, this proposal also eliminates the requirement for "degrees in two or more professional fields."

This proposed definition provides an opportunity for those institutions that offer a broad range of academic programs – determined by both degree-level and discipline area – to petition the Board of Regents to amend their charters to use the word "university" in their names.

The amendment does not impose any new recordkeeping or other compliance requirements and will not have an adverse economic impact on small businesses or local governments. Because it is evident from the nature of the proposed technical amendment that it will not affect small businesses or local governments, no further steps were needed to ascertain that fact and none were taken. Accordingly, a regulatory flexibility analysis for small businesses is not required and one has not been prepared.

Rural Area Flexibility Analysis

1. TYPES AND ESTIMATED NUMBERS OF RURAL AREAS:

The proposed amendment applies to all higher education institutions including those located in the 44 rural counties with fewer than 200,000 inhabitants and the 71 towns and urban counties with a population density of 150 square miles or less.

2. REPORTING, RECORDKEEPING, AND OTHER COMPLIANCE REQUIREMENTS; AND PROFESSIONAL SERVICES:

The purpose of the proposed amendment to section 50.1(l) of the Commissioner's regulations relating to the definition of the term "university" is to address the concerns presented by the New York State college community. Over the last few years, several New York State colleges, have requested that the Board of Regents consider revising the definition of "university" in New York State. Suggestions have ranged from broadening and clarifying which doctoral programs meet the current definition of "doctoral programs in at least three academic fields," to eliminating the requirement for any doctoral programs, to revising the definition to state that any institution that offers at least one master's degree program can use "university" it its name, etc. Colleges have raised several issues to support their request for a regulatory revision, including confusion caused by the word "college" when recruiting international students, and the fact that other states have broader definitions of "university" and, in some cases, draw no distinction between "colleges" and "universities."

At the November 2020 meeting of the Board of Regents, members of the Board expressed interest in considering a revision to the regulatory definition of "university" to address the issues raised by some colleges while, at the same time, maintaining the Board's high standards for institutions of higher education. Members also expressed interest in receiving input on this matter from New York State institutions of higher education. The Department has received letters from several institutions that outline their continued interest in revising the current definition. Those letters are available upon request from the Secretary to the Board of Regents.

In developing its recommendation on this matter, the Department balanced the interests of institutions of higher education with the Regents' interest in maintaining high standards and ensuring breadth and depth of academic offerings by institutions that use the word "university" in their names.

The Department recommends that the definition of "university" be amended to read as follows:

"University means a higher educational institution offering a range of registered undergraduate and graduate curricula in the liberal arts and sciences and doctoral programs registered in at least three of the following discipline areas: agriculture, biological sciences, business, education, engineering, fine arts, health professions, humanities, physical sciences, and social sciences."

To assure depth and breadth of academic offerings by a "university" in New York State, this proposed definition maintains the requirement for a range of undergraduate and graduate programs in the liberal arts and sciences as well as a requirement for doctoral-level programs in at least three of the 10 discipline areas used in the NYS Taxonomy of Academic Programs: agriculture, biological sciences, business, education, engineering, fine arts, health professions, humanities, physical sciences, and social sciences. In response to requests from institutions, the revised definition clarifies that all doctoral degrees (e.g., D.N.P., Ed.D., Pharm.D., etc.), not just the Ph.D., could satisfy this definition. Consistent with the intent to broaden the definition, this proposal also eliminates the requirement for "degrees in two or more professional fields."

This proposed definition provides an opportunity for those institutions that offer a broad range of academic programs – determined by both degree-level and discipline area – to petition the Board of Regents to amend their charters to use the word "university" in their names.

3. COSTS:

The proposed amendments do not impose any costs on institutional candidates and/or the New York State school districts or the BOCES.

4. MINIMIZING ADVERSE IMPACT:

The proposed amendments are proposed to correct and clarify language, thereby aligning the regulation with its intent. Therefore, no alternatives were considered for those institutions located in rural areas of the State.

5. RURAL AREA PARTICIPATION:

Copies of the proposed amendments have been provided to the State University of New York and the Commission on Independent Colleges and Universities in New York for review and comment.

Job Impact Statement

The purpose of the proposed amendment to section 50.1(l) of the Commissioner's regulations relating to the definition of the term "university" is to address the concerns presented by the New York State college community. Over the last few years, several New York State colleges, have requested that the Board of Regents consider revising the definition of "university" in New York State. Suggestions have ranged from broadening and clarifying which doctoral programs meet the current definition of "doctoral programs in at least three academic fields," to eliminating the requirement for any doctoral programs, to revising the definition to state that any institution that offers at least one master's degree program can use "university" it its name, etc. Colleges have raised several issues to support their request for a regulatory revision, including confusion caused by the word "college" when recruiting international students, and the fact that other states have broader definitions of "university" and, in some cases, draw no distinction between "colleges" and "universities."

At the November 2020 meeting of the Board of Regents, members of the Board expressed interest in considering a revision to the regulatory definition of "university" to address the issues raised by some colleges while, at the same time, maintaining the Board's high standards for institutions of higher education. Members also expressed interest in receiving input on this matter from New York State institutions of higher education. The Department has received letters from several institutions that outline

their continued interest in revising the current definition. Those letters are available upon request from the Secretary to the Board of Regents.

In developing its recommendation on this matter, the Department balanced the interests of institutions of higher education with the Regents' interest in maintaining high standards and ensuring breadth and depth of academic offerings by institutions that use the word "university" in their names.

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To assure depth and breadth of academic offerings by a "university" in New York State, this proposed definition maintains the requirement for a range of undergraduate and graduate programs in the liberal arts and sciences as well as a requirement for doctoral-level programs in at least three of the 10 discipline areas used in the NYS Taxonomy of Academic Programs: agriculture, biological sciences, business, education, engineering, fine arts, health professions, humanities, physical sciences, and social sciences. In response to requests from institutions, the revised definition clarifies that all doctoral degrees (e.g., D.N.P., Ed.D., Pharm.D., etc.), not just the Ph.D., could satisfy this definition. Consistent with the intent to broaden the definition, this proposal also eliminates the requirement for "degrees in two or more professional fields."

This proposed definition provides an opportunity for those institutions that offer a broad range of academic programs – determined by both degree-level and discipline area – to petition the Board of Regents to amend their charters to use the word "university" in their names.

Because it is evident from the nature of the proposed amendment that it will have no impact on the number of jobs or employment opportunities in New York State, no further steps were needed to ascertain that fact and none were taken.

Department of Environmental Conservation

NOTICE OF ADOPTION

Sanitary Condition of Shellfish Lands

I.D. No. ENV-42-20-00003-A
Filing No. 134
Filing Date: 2021-02-09
Effective Date: 2021-02-24

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following action:

Action taken: Amendment of Part 41 of Title 6 NYCRR.

Statutory authority: Environmental Conservation Law, sections 11-0303, 13-0307 and 13-0319

Subject: Sanitary Condition of Shellfish Lands.

Purpose: To reclassify underwater shellfish lands to protect public health.

Text or summary was published in the October 21, 2020 issue of the Register, I.D. No. ENV-42-20-00003-EP.

Final rule as compared with last published rule: No changes.

Text of rule and any required statements and analyses may be obtained from: Matt Richards, Department of Environmental Conservation, 205 N. Belle Mead Rd., Suite 1, East Setauket, NY 11733, (631) 444-0491, email: matt.richards@dec.ny.gov

Additional matter required by statute: Pursuant to Article 8 of the ECL, the State Environmental Quality Review Act, a Coastal Assessment Form and a Short Environmental Assessment Form with a negative declaration have been prepared, and are on file with the Department.

Initial Review of Rule

As a rule that requires a RFA, RAFA or JIS, this rule will be initially reviewed in the calendar year 2024, which is no later than the 3rd year after the year in which this rule is being adopted.

Assessment of Public Comment

The agency received no public comment.

Department of Health

NOTICE OF EMERGENCY ADOPTION AND REVISED RULE MAKING NO HEARING(S) SCHEDULED

Revise Requirements for Collection of Blood Components

I.D. No. HLT-38-20-00008-ERP
Filing No. 127
Filing Date: 2021-02-05
Effective Date: 2021-02-05

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following action:

Action Taken: Amendment of Subpart 58-2 of Title 10 NYCRR.

Statutory authority: Public Health Law, section 3121(5)

Finding of necessity for emergency rule: Preservation of public health.

Specific reasons underlying the finding of necessity: The 2019 Coronavirus (COVID-19) is a disease that causes mild to severe respiratory symptoms, including fever, cough, and difficulty breathing. People infected with Severe Acute Respiratory Syndrome Coronavirus 2 (SARS-CoV-2), the virus that causes COVID-19, have had symptoms ranging from those that are mild (like a common cold) to severe pneumonia that requires medical care in a hospital and can be fatal. Older adults and those with certain pre-existing health conditions are at greater risk for severe illness.

There have been nearly 400,000 confirmed cases of COVID-19 and nearly 25,000 deaths reported in New York State. There is an urgent need for treatments. One potential treatment involves transfusing ill COVID-19 patients with plasma (the liquid part of blood) from a recovered COVID-19 patient. The recovered patient's plasma (known as "convalescent plasma") contains immune factors thought to help the recipient fight the SARS-CoV-2 infection. Initial clinical trials suggest that convalescent plasma may benefit some patients. However, larger trials are needed to determine how effective this treatment is and the circumstances under which it should be used. These trials require large amounts of convalescent plasma. If shown to be effective, even more plasma will be needed to treat patients.

Simplifying the regulatory landscape and harmonizing NYS requirements with national standards will enhance plasma availability, while maintaining high blood safety standards. In particular, there will be fewer hurdles for companies currently providing these services in other states to do so in New York State. Given the emergent nature of the COVID-19 outbreak, these emergency regulations are necessary to help advance treatments for severely ill patients.

Subject: Revise Requirements for Collection of Blood Components.

Purpose: To facilitate the availability of human blood components while maintaining safety.

Substance of emergency/revised rule (Full text is posted at the following State website: https://regs.health.ny.gov/regulations/proposed-rule-making): Subpart 58-2 establishes regulatory requirements for all aspects of blood banking, including personnel qualifications, donor screening and care, record keeping, and certain technical specifications. In particular, section 58-2.14 addresses these aspects for blood banks conducting serial plasmapheresis, meaning the collection of "source plasma" that is intended to manufacture blood derivatives such as anti-SARS Cov-2 immunoglobulin. Section 58-2.15 addresses the requirements for blood banks collecting plasma and other blood components intended for transfusion. The requirements in 58-2.15 are similar to those in 58-2.14, but include technical requirements for collecting both cells and plasma.

Recent federal regulations at Title 21 of the Code of Federal Regulations ("CFR") Parts 630 and 640 comprehensively address the same subjects as 58-2.14 and 58-2.15. Accordingly, the proposed amendments to state regulation adopt relevant federal requirements by reference while retaining or adding certain New York State ("NYS") requirements to maintain consistency with other regulations and Public Health Law, and to provide clarifying details. This approach simplifies state requirements and harmonizes them with national standards, while maintaining a high level of safety. By more closely tracking federal regulations, the proposed amendments will make it easier for industry, hospitals, and other entities to contribute to the NYS supply of blood and blood components, such as COVID-19 convalescent plasma.

This notice is intended to serve as both a notice of emergency adoption and a notice of revised rule making. The notice of proposed rule making was published in the *State Register* on September 23, 2020, I.D. No. HLT-38-20-00008-P. The emergency rule will expire April 5, 2021.

Emergency rule compared with proposed rule: Substantive revisions were made in sections 58-2.14(b), (c), (d), 58-2.15(b), (c), (d) and (e).

Text of rule and any required statements and analyses may be obtained from: Katherine Ceroalo, DOH, Bureau of Program Counsel, Reg. Affairs Unit, Room 2438, ESP Tower Building, Albany, NY 12237, (518) 473-7488, email: regsqna@health.ny.gov

Data, views or arguments may be submitted to: Same as above.

Public comment will be received until: 45 days after publication of this notice.

Revised Regulatory Impact Statement

Statutory Authority:

Paragraph (1) of section 3121of the New York State Public Health Law ("PHL") establishes the Council on Human Blood and Transfusion Services (hereafter "Council"). Section 3121 of the PHL empowers the Council to enact, and from time to time, amend and repeal, rules and regulations regarding the collection, processing, fractionation, storage, distribution and supply of blood, blood components, and blood derivatives, subject to the approval of the Commissioner of Health ("Commissioner"). Section 576 of the PHL further authorizes the New York State Department of Health ("Department") to "prescribe standards for the proper operation of clinical laboratories and blood banks."

Legislative Objectives:

Through Section 3121 of PHL, the Legislature authorized the Department and the Council to protect the safety and supply of blood, blood components, and blood derivatives for transfusion. Subpart 58-2 of Title 10 of the Official Compilation of Codes, Rules and Regulations of the State of New York (NYCRR) regulates the laboratories that perform blood typing as well as the blood banks that handle blood, blood components, and blood derivatives. In order to ensure a safe blood supply, Subpart 58-2, in its original adoption and all subsequent revisions, requires blood banks to be: directed by individuals with experience and training in blood banking; staffed by individuals trained in the activities of the blood bank; exclude donors who cannot safely donate blood; provide for donor safety; maintain specific records; and comply with certain technical requirements.

Needs and Benefits:

Blood collection must have appropriate oversight to ensure both the safety and the availability of blood and its components. There is an urgent need for COVID convalescent plasma and biologics derived from plasma to treat severely ill COVID patients. Simplifying the regulatory landscape and harmonizing New York State requirements with national standards will enhance availability, while maintaining high blood safety standards.

A review of applicable federal requirements determined that 21 CFR Parts 630 and 640 address the same areas as sections 58-2.14 and 58-2.15 of Title 10 of the NYCRR, and are at least as stringent as current New York State requirements. Accordingly, the proposed amendments adopt the federal requirements by reference and add certain New York State requirements to ensure consistency with Part 58 of Title 10 of the NYCRR and the PHL, while providing clarity to regulated entities. The regulatory amendments will align New York State with the rest of the country, thereby simplifying the collection of these important materials.

Costs:

Costs to Regulated Parties:

The proposed amendment will not impact costs to regulated parties.

Costs to the Agency, State and Local Governments:

The proposed amendment will not impose additional costs to the Department, the program responsible for oversight of clinical laboratories, or to local governments. The program responsible for the oversight of blood banks and serial plasmapheresis facilities is a well-established program operated at the State level and the new language does not impact the costs of the oversight program.

Local Government Mandates:

The proposed regulations impose no new mandates on any county, city, town or village government; or school, fire or other special district.

Paperwork:

The proposed revisions to Subpart 58 do not require any additional forms or paperwork from applicants.

Duplication:

As mentioned above, the federal government has regulations at 21 CFR Parts 630 and 640, governing certain aspects of plasmapheresis sites. These amendments will align New York State regulations with federal requirements.

Alternatives:

The alternative to this amendment would be to maintain the current New York State requirements. However, these requirements are generally similar but not identical to federal requirements without substantive additional benefit to donors or patients. These regulatory amendments will also provide greater regulatory clarity. Without amending the regulations, it will be more difficult for industry, hospitals, and other entities to contribute to the NYS supply of blood and blood components such as COVID-19 convalescent plasma.

In response to public comments, the Department made revisions to the originally proposed rule. These revisions include, but are not limited to, removing references to specific healthcare personnel being on-site, allowing blood bank directors to delegate review and approval of certain procedures, and removing the requirement that responsible physicians hold a Certificate of Qualification in transfusion or be board certified. The Department could have adopted the regulation as originally proposed; however, these amendments are necessary to simplify the regulatory landscape and harmonize New York State requirements with national standards, while maintaining high blood safety standards.

Federal Standards:

As discussed above, the federal government has standards at 21 CFR Parts 630 and 640 and which govern aspects of apheresis and plasmapheresis, and, with several exceptions listed below, these amendments are designed to align New York State public health regulations with the federal standards. The exceptions include the New York State requirement for a blood bank director, certain consent requirements, and certain details regarding the frequency and volume of blood or blood components that can be collected. These exceptions are necessary to clarify broad, general federal requirements and to formally incorporate details provided in F.D.A. guidance documents that would be unenforceable otherwise.

Compliance Schedule:

The Department expects that regulated parties should be able to comply with the proposed regulation as of its effective date.

Revised Regulatory Flexibility Analysis, Rural Area Flexibility Analysis and Job Impact Statement

Changes made to the last published rule do not necessitate revision to the previously published Regulatory Flexibility Analysis, Rural Area Flexibility Analysis and Job Impact Statement.

Assessment of Public Comment

The New York State Department of Health ("Department") received public comments in response to the proposed rulemaking relating to the regulatory requirements of blood banks conducting serial plasmapheresis and collecting plasma and other blood components for transfusion. The comments and the Department's response are summarized below.

Comment: Several commenters were concerned that amendments to Sections 58-2.14(d) and 58-2.15(d)(3), requiring certain licensed healthcare personnel to be on-site during procedures, were not necessary, more stringent than federal requirements, and might draw healthcare personnel away from other responsibilities.

Response: The Department is revising the proposed regulation to remove any reference to specific healthcare personnel being on-site. The revised rule instead requires on-site personnel to be trained to recognize and respond to health issues related to the procedure, consistent with federal requirements.

Comment: Several commenters were concerned that amendments to Sections 58-2.14(c) and 58-2.15(c) requiring certain licensed healthcare personnel to explain the hazards of the procedure to prospective donors as part of the informed consent process, were not necessary, more stringent than federal requirements, and might draw healthcare personnel away from other responsibilities.

Response: The Department revised this requirement in response to these comments. The revised rule requires the information provided to the prospective donor be approved by the blood bank director or responsible physician and that the approval cannot be delegated to other staff. In addition, certain provisions of the proposed rule were deleted to minimize redundancy with federal regulations.

Comment: One commenter was concerned that section 58-2.14 of the proposed rule did not explicitly address infrequent plasma donation.

Response: The proposed rulemaking is intended to include infrequent plasma donation. Therefore, the Department did not revise the proposed rule in response to this comment.

Comment: One commenter was concerned that section 58-2.15(e)(3)(i) did not take into consideration a federal requirement for first time platelet donors.

Response: In response to this comment the Department has revised the regulation to account for first time platelet donors, amending the regulation to limit the number of platelets that can be collected from such donors.

Comment: One commenter was concerned that the requirement in Section 58-2.14(b), prohibiting a blood bank director from delegating approval of new or revised standard operating procedure manuals or other procedural guides, was inconsistent with other New York State requirements related to blood banks, clinical laboratories and the delegation of authority.

Response: The Department has revised this requirement, and the equivalent requirement in Section 58-2.15(b), in response to this comment. The revised rule allows the blood bank director to delegate the review and approval of most procedures and is now consistent with other New York State requirements.

Comment: Several commenters were concerned about the requirement in Sections 58-2.14(b) and 58-2.15(b), that the responsible physician be licensed in the state or jurisdiction where the blood bank is located and either hold a Certificate of Qualification (CQ) in transfusion, or be board certified in clinical pathology or in clinical pathology/laboratory medicine. Commenters suggested that this requirement was not necessary and was more stringent than federal requirements.

Response: The Department has revised this requirement in response to these comments. The revised rule no longer requires a CQ or board certification. The regulation has also been revised to clarify that the responsible physician must be licensed as a physician in New York State.

Comment: Several commenters were concerned that the requirements in Sections 58-2.14(b) and 58-2.15(b) would require blood bank directors to hold a CQ in Blood Banking Collection – Comprehensive. Commenters stated that such a requirement was not necessary and was more stringent than federal requirements.

Response: New York State Public Health Law § 572 requires all individuals acting as a director in a blood bank located in or collecting, processing, storing or distributing blood products in New York state, to hold a valid Certificate of Qualification. No changes to the regulation were made as a result of these comments.

Division of Homeland Security and Emergency Services

PROPOSED RULE MAKING
NO HEARING(S) SCHEDULED

Minimum Qualifications for Certain Fire Chiefs

I.D. No. HES-08-21-00007-P

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following proposed rule:

Proposed Action: Amendment of Part 426.9(h); addition of Part 227 to Title 9 NYCRR.

Statutory authority: Executive Law, section 158; Civil Service Law, section 58-a; General Municipal Law, section 204-dd

Subject: Minimum qualifications for certain fire chiefs.

Purpose: Minimum qualifications for fire chiefs subject to Civil Service Law, section 58-a and General Municipal Law, section 204-dd.

Text of proposed rule: 19 NYCRR Part 426.9(h) is amended to read as follows:

(h) *For* Supervisory Level V (chief of department level responsibilities) [under development.] *a person shall:*

1. possess Fire Officer 3 certification, pursuant to National Fire Protection Association (NFPA) 1021 Standard for Fire Officer Professional Qualifications, 2014 Edition, or possess the training, education, experience, or combination thereof, determined by the State Fire Administrator to meet the requirements of Civil Service Law section 58-a; and

2. meet the minimum qualifications established by the local civil service agency having jurisdiction; and

3. meet the core competencies for incident commander established by the State Fire Administrator, pursuant to General Municipal Law section 204-dd and 9 NYCRR Part 227.

A new Part 227 is added to title 9 of the New York Code of Rules and Regulations to read as follows:

Qualifications of a Fire Chief.

227.1 *Authority, intent and purpose.*

(a) Statutory authority: General Municipal Law Section 204-dd;

(b) The intent and purpose of this part is to establish minimum qualifications for a fire chief in any fire department or fire company that employs six (6) or more paid fire fighters in the State of New York.

227.2 *Definitions.*

(a) The term "fire chief" mean the person who is head of the department or any title or rank that includes the duties of fire chief in any fire department or fire company with six (6) or more paid fire fighters.

(b) The term "fire fighter" means a member of a fire department whose duties include fire service as the phrase fire service is defined in paragraph d of subdivision eleven of section three hundred two of the retirement and social security law.

227.3 *Qualifications of a fire chief.*

(a) To eligible for appointment or election as a fire chief he or she must meet the core competencies for incident commander established by the State Fire Administrator.

(b) The Office of Fire Prevention and Control shall post and keep current the core competencies for incident commander on its official website.

Text of proposed rule and any required statements and analyses may be obtained from: Kenneth Bruno, Deputy Counsel, Division of Homeland Security and Emergency Services, 1220 Washington Avenue, Building 7A, Albany, New York 12242, (518) 242-5000, email: Kenneth.Bruno@dhses.ny.gov

Data, views or arguments may be submitted to: Same as above.

Public comment will be received until: 60 days after publication of this notice.

Additional matter required by statute: Incorporation By Reference Certification for National Fire Protection Association (NFPA) 1021 Standard for Fire Officer Professional Qualifications, 2014 Edition.

Regulatory Impact Statement

1. Statutory authority:

Civil Service Section 58-a, Executive Law Sections 158 and 159-d and General Municipal Law Sections 204-dd, authorize the New York State Division of Homeland Security and Emergency Services, Office of Fire Prevention and Control (OFPC), to adopt the rules and regulations to establish minimum requirements for education, health and safety for paid fire chiefs. In addition, General Municipal Law Section 204-dd requires that all fire chiefs in departments with six (6) or more paid (civil service) firefighters (also commonly known as combination fire departments) in New York State, meet the training standards for incident commanders as established by the most recently adopted recommended best practices for fire training programs by the Division of Homeland Security and Emergency Services, Office of Fire Prevention and Control.

2. Legislative objectives:

The legislative objectives of Chapter 406 of the Laws of 2018 and Chapter 678 of the Laws of 2019 are to establish basic, educational, health and physical fitness training standards for career (civil service) fire chiefs (outside New York City) and fire chiefs in a department with six or more paid (civil service) firefighters in New York State.

3. Needs and benefits:

Prior to Chapter 406 of the Laws of 2018 and Chapter 678 of the Laws of 2019, New York State did not have minimum training qualification for fire chiefs. This potential lack of training and/or understanding of fire situations could seriously jeopardize the safety of the public and the brave firefighters on the scene of a fire under the direction of the chief. These required standards ensure eligible individuals have learned the necessary strategic and tactical skills to lead the fire department. The addition of 9 NYCRR Part 227 and amendment to 19 NYCRR Section 426.9(h) are necessary to implement the statutory changes relating to minimum training and qualifications for paid (civil service) fire chiefs and fire chiefs in combination fire departments. No scientific or statistical study, report, or analysis served as a basis for the proposed rule.

4. Costs:

Costs to fire districts, departments, and companies will directly correlate to any training needed for an individual to be eligible for appointment or election to fire chief in order to meet the job responsibility requirements. All necessary fire chief training can be conducted at the fire department, at the State Academy of Fire Science and at other locations regionally around the state. The costs to municipalities, fire department and fire districts will be nominal and may include paid time for the individual to attend the training and other minor training expenses.

5. Local government mandates:

This rule will impose new minimum requirements for education, health and safety for fire chiefs of department with six or more paid firefighters. It is not anticipated that the rule will impact localities in any significant manner. Since Chapter 406 of the Laws of 2018 was effective in January 2019, it only applied to fire chiefs appointed on or after that date. Therefore, the law only impacts a small number of jurisdictions in the State. At the time of these proposed rules, there has been almost two years for candidates for fire chief of a career fire department to obtain the training necessary to meet the minimum qualifications for fire chief as required by the regulations.

6. Paperwork:

The proposed rule would not require municipalities to complete any additional paperwork or maintain additional documentation.

7. Duplication:

There are no rules or other legal requirements of either the State or federal government which duplicate, overlap, or conflict with the proposed rule.

8. Alternatives:

The provisions of the proposed rule implement statutory mandates of Chapter 406 of the Laws of 2018 and Chapter 678 of the Laws of 2019. There were no significant alternatives considered.

9. Federal standards:

No standards have been set by the federal government for the same or similar subject areas.

10. Compliance schedule:

Chapter 406 of the Laws of 2018 was enacted on December 21, 2018 and effective on January 20, 2019 and subsequently amended by Chapter 678 of the Laws of 2019 which was enacted on December 16, 2019 and became effective June 13, 2020. As of January 20, 2019, to be eligible for appointment to fire chief of a career fire department subject to Civil Service Law Section 58-a, individuals must possess Fire Officer III certification pursuant to the National Fire Protection Association's Standard 1021 for Fire Officer Professional Qualifications or possess the training, education, experience or a combination thereof as determined by the State Fire Administrator. Guidance regarding fire officer training, education and experience will be posted on OFPC's official website at: WWW.DHSES.NY.GOV/OFPC. In addition, as of June 13, 2020, to be eligible for appointment or election as a fire chief in departments that employ six (6) or more paid firefighters, (e.g. career fire departments or combination fire departments), individuals must meet the current core competencies for incident commander established by the Office of Fire Prevention and Control. These core competencies will be posted and maintained on OFPC's official website at: WWW.DHSES.NY.GOV/OFPC. These core competencies are derived from the most recently developed "Recommended Best Practices for Fire Department Training Programs" Bulletin which is currently posted on OFPC's official website.

Regulatory Flexibility Analysis

1. Effect of rule:

Fire departments in cities, towns, villages or fire districts that employ a paid (civil service) fire chief or any fire department that employs six (6) or more paid fire fighters (civil service) are considered local governments affected by the proposed rule.

2. Compliance requirements:

It is not anticipated that small businesses or local governments will not have to perform any new reporting, record keeping or other affirmative acts in order to comply with the rule.

3. Professional services:

None known.

4. Compliance costs:

It is not anticipated that fire districts, departments, and companies will incur significant costs as a result of the regulations. Any costs incurred will relate to minimal training expenses which may include time paid to individuals who need additional training. Any training costs incurred by individuals is minimal. Depending on the training, it can be taught in-house, by state certified instructors at the fire department or by state fire instructors at low to no cost at the NYS Academy of Fire Science or regionally at local fire departments or training academies.

5. Economic and technological feasibility:

It is not anticipated that the proposed rule will have any significant financial impact on small businesses and local governments. It is not anticipated that the rule will impose any technological burdens on local governments.

6. Minimizing adverse impact:

The purpose of the proposed rule is to ensure that paid fire chiefs (civil service) and fire chiefs of departments which employ six (6) or more paid (civil service) firefighters in New York State meet minimum qualifications related to education, health and physical fitness as well as nationally recognized fire officer professional qualifications. The intent is to provide the rules and regulations reasonably necessary to establish those minimum qualifications statewide. As such, the proposed rule does not distinguish between small or large businesses or local governments which may be affected by this rule. Therefore, the approaches for minimizing adverse economic impact on small businesses or local governments suggested by section 202-b (1) of SAPA were not considered in the development of this rule. In addition, statewide fire service associations and municipal associations which represent rural areas statewide have had the opportunity to advise and provide guidance regarding the rule.

7. Small business and local government participation:

Both small businesses and local governments will have an opportunity to participate in the rule making process by means of submissions during the public comment period according to SAPA guidelines.

Rural Area Flexibility Analysis

1. Types and estimated numbers of rural areas:

There are approximately 105 career and combination fire departments and companies in New York State. Career fire chiefs subject to Civil Service Law Section 58-a, except those in New York City, and fire chiefs in New York State of fire departments or companies that employ six (6) or more paid firefighters (civil service) will be subject to the rule, including those departments in rural areas.

2. Reporting, recordkeeping, and other compliance requirements; and professional services:

The proposed rule would not require fire districts, departments, or companies to report or submit any new forms to the New York State Division of Homeland Security and Emergency Services, Office of Fire Prevention and Control. It is not anticipated that fire districts, departments, and companies in rural areas will need to engage professional services in order to comply with the proposed rule.

3. Costs:

Costs to fire departments and companies will be nominal given that all necessary training is available and provided by the State at low to no cost. The costs incurred may include time paid to individuals to attend the training and other minimal training expenses.

4. Minimizing adverse impact:

The purpose of the proposed rule is to provide the training standards and minimum qualifications reasonably necessary to implement the provisions of Chapter 406 of the Laws of 2018 and Chapter 678 of the Laws of 2019. The rules do not distinguish between rural areas and non-rural areas of New York State. The proposed rules are not expected to have any substantial adverse impact on rural areas and therefore no other approaches or exemptions were considered to minimize impact in such areas.

5. Rural area participation:

Public and private interests in rural areas will have an opportunity to participate in the rule making process by means of submissions during the public comment period according to SAPA guidelines. In addition, the statewide fire service associations and municipal associations which represent rural areas statewide have had the opportunity to advise and provide guidance regarding the rule. OFPC will have a designated point of contact to assist the various New York State fire services including those in rural areas with the complexities of implementation and requirements established by the rule.

Job Impact Statement

A Job Impact Statement is not required as the Division of Homeland Security and Emergency Services, Office of Fire Prevention and Control finds that the rule will not have a substantial adverse impact on jobs or employment opportunities. The nature and purpose of the rule is to implement the provisions of Chapter 406 of the Laws of 2018 and Chapter 678 of the Laws of 2019 statewide to establish minimum qualification for fire chiefs subject to the New York State Civil Service Law Section 58-a and General Municipal Law Section 204-dd.

Long Island Railroad Company

EMERGENCY RULE MAKING

Requiring Mask Wearing Covering the Nose and Mouth When Using Terminals, Stations, and Trains Operated by LIRR

I.D. No. LIR-39-20-00005-E

Filing No. 126

Filing Date: 2021-02-05

Effective Date: 2021-02-05

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following action:

Action taken: Amendment of Part 1097 of Title 21 NYCRR.

Statutory authority: Public Authorities Law, section 1266(4) and (5)

Finding of necessity for emergency rule: Preservation of public health, public safety and general welfare.

Specific reasons underlying the finding of necessity: The emergency amendment is necessary to safeguard public health and safety and to ensure through mandated mask wearing covering the nose and mouth that the public, health care providers, first responders, and other essential workers who rely on subways and trains to get to and from work and also our employees are protected during the COVID-19 outbreak.

Subject: Requiring mask wearing covering the nose and mouth when using terminals, stations, and trains operated by LIRR.

Purpose: To safeguard the public health and safety by amending the rules to require use of masks when using LIRR facilities.

Text of emergency rule: A new subdivision (g) is added to section 1097.4 to read as follows:

(g) All persons in a terminal, station or train shall comply with all lawful orders and directives of any police officer, peace officer or any Long Island Rail Road or Authority employee acting within the scope of their employment, including one pursuant to an order or directive issued by the Governor of the State of New York pursuant to a state disaster emergency relating to public health or an order or directive issued by the Authority that includes requiring the wearing of masks or face coverings by any individual over the age of two and is able to medically tolerate a face covering.. Masks or face coverings must be worn in a proper manner covering the nose and mouth. Any person who does not comply with such an order or directive requiring wearing of masks or face coverings in a proper manner covering the nose and mouth may be barred from entering, or be ejected from, any terminal, station, or train, in addition to a fine of $50.

This notice is intended to serve only as a notice of emergency adoption. This agency intends to adopt the provisions of this emergency rule as a permanent rule, having previously submitted to the Department of State a notice of proposed rule making, I.D. No. LIR-39-20-00005-EP, Issue of September 30, 2020. The emergency rule will expire April 5, 2021.

Text of rule and any required statements and analyses may be obtained from: Peter Sistrom, Metropolitan Transportation Authority, 2 Broadway, New York, NY 11803, (212) 878-7176, email: psistrom@mtahq.org

Regulatory Impact Statement

Statutory authority: Section 1204(5-a) provides that the New York City Transit Authority may adopt rules and regulations governing the conduct and safety of the public as it may deem necessary, convenient or desirable for the use and operation of the transit facilities under its jurisdiction. Under the Executive Law, the Governor has declared a state disaster emergency and has issued Executive Order 202.18, which requires "any person utilizing public or private transportation carriers or other for-hire vehicles, who is over age two and able to medically tolerate a face covering, [to] wear a mask or face covering over the nose and mouth during any such trip."

Legislative objectives: The Legislature has conferred on the New York City Transit Authority the authority to ensure the safety of their passengers and employees. It has exercised that authority to adopt rules of conduct governing the conduct and safety of the public in the use of their facilities; this amended rule furthers the Legislature's objective by safeguarding public health and safety during the State disaster emergency related to the COVID-19 outbreak and after.

Needs and benefits: The proposed amendment is necessary to safeguard public health and safety to ensure that persons who rely on subways to get to and from work comply with the public health guidance to wear a mask or face covering to try to limit the transmission of COVID-19.

Costs:

(a) Regulated parties. The proposed amendments to the rules governing conduct and safety do not impose new costs on passengers or others.

(b) State and local government. The proposed amendments to the rules governing conduct and safety will not impose any new costs on State or local governments.

Local government mandates: The proposed amended rules do not impose any new programs, services, duties or responsibilities on local government. The New York City Police Department's Transit Bureau is already responsible with others in enforcing the New York City Transit Authority's Rules Governing the Conduct and Safety of the Public.

Paperwork: The proposed amended rules do not impose any new reporting requirements.

Duplication: The proposed amended rules do not duplicate, overlap, or conflict with any State or Federal rule.

Alternatives: No significant alternatives to these amendments were considered.

Federal standards: The proposed amended rule does not exceed any Federal minimum standards.

Compliance schedule: There is no compliance schedule imposed by these proposed amended rules. Once adopted, the emergency amendment to the existing rules governing the conduct and safety of the public will be effective immediately.

Regulatory Flexibility Analysis, Rural Area Flexibility Analysis and Job Impact Statement

A regulatory flexibility analysis for small businesses and local governments, a rural area flexibility analysis, and a job impact statement are not required for this rulemaking because it will not adversely affect small businesses, local governments, rural areas, or jobs.

This rulemaking will authorize law enforcement officers and designated employees of the Metropolitan Transportation Authority and LIRR to enforce compliance with orders and directives issued by the Governor of the State of New York pursuant to a state disaster emergency relating to public health or the Metropolitan Transportation Authority requiring persons in a terminal, station or train to wear masks or face coverings in a manner covering the nose and mouth. Due to its narrow focus, this proposed emergency rule will not impose an adverse economic impact or reporting, record keeping, or other compliance requirements on small businesses or local governments in rural or urban areas or on jobs and employment opportunities.

Assessment of Public Comment

In the December 9, 2020 emergency rule adoption, LIRR summarized all of the public comments that MTA received during the time permitted for public comment following publication of the proposed mask rules in the New York State Register on September 11, 2020, most of which did not refer specifically to the LIRR's proposed rule. No further comments on the proposed mask rules have been received.

Manhattan and Bronx Surface Transit Operating Authority

EMERGENCY RULE MAKING

Requiring Mask Wearing Covering the Nose and Mouth When Using the Facilities and Conveyances Operated by MaBSTOA

I.D. No. MBA-39-20-00007-E

Filing No. 128

Filing Date: 2021-02-05

Effective Date: 2021-02-05

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following action:

Action taken: Amendment of Part 1050 of Title 21 NYCRR.

Statutory authority: Public Authorities Law, section 1266(4) and (5)

Finding of necessity for emergency rule: Preservation of public health, public safety and general welfare.

Specific reasons underlying the finding of necessity: The emergency amendments are necessary to safeguard public health and safety and to ensure through mandated mask wearing that the public, health care providers, first responders, and other essential workers who rely on subways and trains to get to and from work and also our employees are protected during the COVID-19 outbreak.

Subject: Requiring mask wearing covering the nose and mouth when using the facilities and conveyances operated by MaBSTOA.

Purpose: To safeguard the public health and safety by amending existing rules to require use of masks when using the transit system.

Text of emergency rule: Subdivision (d)(1) of section 1050.6 is amended to read as follows:

(d) All persons on or in any facility or conveyance of the authority shall:

(1) comply with all lawful orders and directives of any police officer*, peace officer* or any employee of the authority or the MTA acting within the scope of their employment[;]*, including one pursuant to an order or directive issued by the Governor of the State of New York pursuant to a state disaster emergency relating to public health or by the MTA that includes requiring the wearing of masks or face coverings by an individual who is over the age of two and is able to medically tolerate a face-covering. Masks or face coverings must be worn in a manner covering the nose and mouth. Any person who does not comply with such an order or directive requiring wearing of masks or face coverings in a manner covering the nose and mouth may be barred from entering or remaining on or in any facility or conveyance of the authority, in addition to a fine of $50.*

This notice is intended to serve only as a notice of emergency adoption. This agency intends to adopt the provisions of this emergency rule as a permanent rule, having previously submitted to the Department of State a notice of proposed rule making, I.D. No. MBA-39-20-00007-EP, Issue of September 30, 2020. The emergency rule will expire April 5, 2021.

Text of rule and any required statements and analyses may be obtained from: Peter Sistrom, Metropolitan Transportation Authority, 2 Broadway, New York, NY 10004, (212) 878-7176, email: psistrom@mtahq.org

Regulatory Impact Statement

Statutory authority: Section 1204(5-a) provides that the New York City Transit Authority may adopt rules and regulations governing the conduct

and safety of the public as it may deem necessary, convenient or desirable for the use and operation of the transit facilities under its jurisdiction; Sections 1266(4) and (5) of the Public Authorities Law provide that the Metropolitan Transportation Authority and its subsidiary public benefit corporations may adopt rules and regulations governing the conduct and safety of the public as they may deem necessary, convenient or desirable for the use of any transportation facility and related services operated by it or its subsidiaries. Under the Executive Law, the Governor has declared a state disaster emergency and has issued Executive Order 202.18, which requires "any person utilizing public or private transportation carriers or other for-hire vehicles, who is over age two and able to medically tolerate a face covering, [to] wear a mask or face covering over the nose and mouth during any such trip."

Legislative objectives: The Legislature has conferred on the New York City Transit Authority and the Metropolitan Transportation Authority and their subsidiaries the authority to ensure the safety of their passengers and employees. Both have exercised that authority to adopt rules of conduct governing the conduct and safety of the public in the use of their facilities; this amended rule furthers the Legislature's objective by safeguarding public health and safety during the State disaster emergency related to the COVID-19 outbreak and after.

Needs and benefits: The proposed amendment is necessary to safeguard public health and safety to ensure that persons who rely on subways to get to and from work comply with the public health guidance to wear a mask or face covering to try to limit the transmission of COVID-19.

Costs:

(a) Regulated parties. The proposed amendments to the rules governing conduct and safety do not impose new costs on passengers or others.

(b) State and local government. The proposed amendments to the rules governing conduct and safety will not impose any new costs on State or local governments.

Local government mandates: The proposed amended rules do not impose any new programs, services, duties or responsibilities on local government. The New York City Police Department's Transit Bureau is already responsible with others in enforcing the New York City Transit Authority's Rules Governing the Conduct and Safety of the Public, and the MTA Police Department is responsible for enforcing the comparable rules and regulations governing the conduct and safety of the public on trains and stations operated by the Metropolitan Transportation Authority's two commuter railroads.

Paperwork: The proposed amended rules do not impose any new reporting requirements.

Duplication: The proposed amended rules do not duplicate, overlap, or conflict with any State or Federal rule.

Alternatives: No significant alternatives to these amendments were considered.

Federal standards: The proposed amended rule does not exceed any Federal minimum standards.

Compliance schedule: There is no compliance schedule imposed by these proposed amended rules. Once adopted, the emergency amendment to the existing rules governing the conduct and safety of the public will be effective immediately.

Regulatory Flexibility Analysis, Rural Area Flexibility Analysis and Job Impact Statement

A regulatory flexibility statement for small business and local governments, a rural flexibility analysis, and a job impact statement are not required for this rulemaking proposal because it will not adversely affect small businesses, local governments, rural areas, or jobs.

This rulemaking will authorize law enforcement officers and designated employees of the Metropolitan Transportation Authority, New York City Transit Authority, and Manhattan and Bronx Surface Transit Authority to enforce compliance with orders and directives issued by the Governor of the State of New York pursuant to a state disaster emergency relating to public health or the Metropolitan Transportation Authority requiring persons in their facilities and conveyances to wear masks or face coverings in a manner covering the nose and mouth. Due to its narrow focus, this proposed emergency rule will not impose an adverse economic impact or reporting, record keeping, or other compliance requirements on small businesses or local governments in rural or urban areas or on jobs and employment opportunities.

Assessment of Public Comment

On September 11, 2020, New York City Transit ("NYCT"), the Manhattan and Bronx Surface Transit Authority ("MABSTOA"), Staten Island Rapid Transit Operating Authority ("SIRTOA") and the Metropolitan Transportation Authority Bus Company ("MTABUS") submitted to the Secretary of State Notices of Emergency Adoption and Proposed Rule Making; they were published in the New York State Register on September 30, 2020. The NYCT, MABSTOA, SIRTOA and MTABUS emergency rules, which were identical to the proposed rule, became effective upon filing. The emergency rules were filed to ensure compliance with Executive Order 202.18, issued April 16, 2020, which requires all persons using public or private transportation carriers over the age of two and able to medically tolerate a face covering to wear a mask or face covering over the nose and mouth, and also with Executive Order 202.62, issued September 11, 2020, in which Governor Cuomo directed the MTA and its operating agencies to "promulgate an enforcement plan to ensure compliance with all EOs and guidance applicable to MTA's operations, including enforcement of face-covering requirements and ensuring availability of face-coverings for riders" and directed the MTA and its operating agencies to issue any emergency rules needed to effectuate the Executive Order.

The MTA has received over 40 comments on the proposed rules requiring the wearing of masks or face coverings while in terminals, subway and commuter train stations, subway cars, buses, and commuter trains, divided almost evenly between those in favor of the proposed rules and those who object to them.

Seventeen commentators supported the proposed rules that require the wearing of masks covering the nose and mouth and urged more vigorous enforcement or penalties more severe than the $50 fine. One commentator suggested that the MTA operating agencies hand out masks to all customers upon request, including those already wearing masks. One commentator supported the proposed rules but objected to the exception for very young children. And another commentator who supports adoption of the proposed rule urged the MTA operating agencies to use his own mask design.

Several commentators asserted that the scientific and medical evidence does not establish the efficacy of wearing face masks while using public transportation to prevent or limit the spread of COVID-19. Others suggested that since COVID-19 is only a "minor" illness, the proposed rules are unnecessary. Six commentators oppose the proposed rules because they contend that masks unduly restrict breathing, may cause hypoxia, or may increase the risk of infection if the mask becomes contaminated. And others raised concerns that some people are not medically able to tolerate masks and assert that the proposed rule discriminates against the disabled. We note that the proposed rules expressly provide that only those who are "able to medically tolerate a face covering" are obliged to wear one. Five commentators asserted that having to wear masks impinges on religious rights.

MTA continues to evaluate all the public comments but has not yet decided whether to adopt the proposed mask rules or to revise them and therefore is not yet ready—and is not required—to fully assess and respond to all the comments.

Metropolitan Transportation Agency

EMERGENCY RULE MAKING

Requiring Mask Wearing Covering the Nose and Mouth When Using the Facilities and Conveyances Operated by MTA Bus Company

I.D. No. MTA-39-20-00009-E

Filing No. 129

Filing Date: 2021-02-05

Effective Date: 2021-02-05

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following action:

Action taken: Amendment of Part 1044 of Title 21 NYCRR.

Statutory authority: Public Authorities Law, section 1266(4) and (5)

Finding of necessity for emergency rule: Preservation of public health, public safety and general welfare.

Specific reasons underlying the finding of necessity: The emergency amendments are necessary to safeguard public health and safety and to ensure through mandated mask wearing that the public, health care providers, first responders, and other essential workers who rely on subways and trains to get to and from work and also our employees are protected during the COVID-19 outbreak.

Subject: Requiring mask wearing covering the nose and mouth when using the facilities and conveyances operated by MTA Bus Company.

Purpose: To safeguard the public health and safety by amending rules to require use of masks when using MTA Bus facilities and conveyance.

Text of emergency rule: A new subdivision (f) is added to Section 1044.4 to read as follows:

(f) All persons on or in any facility or conveyance shall comply with all lawful orders and directives of any police officer, peace officer or any MTABC or Authority employee acting within the scope of their employment, including one pursuant to an order or directive issued by the Governor of the State of New York pursuant to a state disaster emergency relating to public health or an order or directive issued by the Authority that includes requiring the wearing of masks or face coverings by any individual who is over the age of two and is able to medically tolerate a face-covering. Masks or face coverings must be worn in a manner covering the nose and mouth. Any person who does not comply with such an order or directive requiring wearing of masks or face coverings in a manner covering the nose and mouth may be barred from entering, or be ejected from any facility or conveyance, in addition to a fine of $50.

This notice is intended to serve only as a notice of emergency adoption. This agency intends to adopt the provisions of this emergency rule as a permanent rule, having previously submitted to the Department of State a notice of proposed rule making, I.D. No. MTA-39-20-00009-EP, Issue of September 30, 2020. The emergency rule will expire April 5, 2021.

Text of rule and any required statements and analyses may be obtained from: Peter Sistrom, Metropolitan Transportation Authority, 2 Broadway, New York, NY, (212) 878-7176, email: psistrom@mtahq.org

Regulatory Impact Statement

Statutory authority: Section 1204(5-a) provides that the New York City Transit Authority may adopt rules and regulations governing the conduct and safety of the public as it may deem necessary, convenient or desirable for the use and operation of the transit facilities under its jurisdiction; Sections 1266(4) and (5) of the Public Authorities Law provide that the Metropolitan Transportation Authority and its subsidiary public benefit corporations may adopt rules and regulations governing the conduct and safety of the public as they may deem necessary, convenient or desirable for the use of any transportation facility and related services operated by it or its subsidiaries. Under the Executive Law, the Governor has declared a state disaster emergency and has issued Executive Order 202.18, which requires "any person utilizing public or private transportation carriers or other for-hire vehicles, who is over age two and able to medically tolerate a face covering, [to] wear a mask or face covering over the nose and mouth during any such trip."

Legislative objectives: The Legislature has conferred on the New York City Transit Authority and the Metropolitan Transportation Authority and their subsidiaries the authority to ensure the safety of their passengers and employees. Both have exercised that authority to adopt rules of conduct governing the conduct and safety of the public in the use of their facilities; this amended rule furthers the Legislature's objective by safeguarding public health and safety during the State disaster emergency related to the COVID-19 outbreak and after.

Needs and benefits: The proposed amendment is necessary to safeguard public health and safety to ensure that persons who rely on subways to get to and from work comply with the public health guidance to wear a mask or face covering to try to limit the transmission of COVID-19.

Costs:

(a) Regulated parties: The proposed amendments to the rules governing conduct and safety do not impose new costs on passengers or others.

(b) State and local government: The proposed amendments to the rules governing conduct and safety will not impose any new costs on State or local governments.

Local government mandates: The proposed amended rules do not impose any new programs, services, duties or responsibilities on local government. The New York City Police Department's Transit Bureau is already responsible with others in enforcing the New York City Transit Authority's Rules Governing the Conduct and Safety of the Public, and the MTA Police Department is responsible for enforcing the comparable rules and regulations governing the conduct and safety of the public on trains and stations operated by the Metropolitan Transportation Authority's two commuter railroads.

Paperwork: The proposed amended rules do not impose any new reporting requirements.

Duplication: The proposed amended rules do not duplicate, overlap, or conflict with any State or Federal rule.

Alternatives: No significant alternatives to these amendments were considered.

Federal standards: The proposed amended rule does not exceed any Federal minimum standards.

Compliance schedule: There is no compliance schedule imposed by these proposed amended rules. Once adopted, the emergency amendment to the existing rules governing the conduct and safety of the public will be effective immediately.

Regulatory Flexibility Analysis, Rural Area Flexibility Analysis and Job Impact Statement

A regulatory flexibility analysis for small business and local governments, a rural area flexibility analysis, and a job impact statement are not required for this rulemaking proposal because it will not adversely affect small businesses, local governments, rural areas, or jobs.

This proposed rulemaking will authorize law enforcement officers and designated employees of the Metropolitan Transportation Authority and Metropolitan Transit Authority Bus Company to enforce compliance with orders and directives issued by the Governor of the State of New York pursuant to a state disaster emergency relating to public health or the Metropolitan Transportation Authority requiring persons in its facilities and conveyances to wear masks or face coverings in a manner covering the nose and mouth. Due to its narrow focus, this proposed emergency rule will not impose an adverse economic impact or reporting, record keeping, or other compliance requirements on small businesses or local governments in rural or urban areas or on jobs and employment opportunities.

Assessment of Public Comment

On September 11, 2020, New York City Transit ("NYCT"), the Manhattan and Bronx Surface Transit Authority ("MABSTOA"), Staten Island Rapid Transit Operating Authority ("SIRTOA") and the Metropolitan Transportation Authority Bus Company ("MTABUS") submitted to the Secretary of State Notices of Emergency Adoption and Proposed Rule Making; they were published in the New York State Register on September 30, 2020. The NYCT, MABSTOA, SIRTOA and MTABUS emergency rules, which were identical to the proposed rule, became effective upon filing. The emergency rules were filed to ensure compliance with Executive Order 202.18, issued April 16, 2020, which requires all persons using public or private transportation carriers over the age of two and able to medically tolerate a face covering to wear a mask or face covering over the nose and mouth, and also with Executive Order 202.62, issued September 11, 2020, in which Governor Cuomo directed the MTA and its operating agencies to "promulgate an enforcement plan to ensure compliance with all EOs and guidance applicable to MTA's operations, including enforcement of face-covering requirements and ensuring availability of face-coverings for riders" and directed the MTA and its operating agencies to issue any emergency rules needed to effectuate the Executive Order.

The MTA has received over 40 comments on the proposed rules requiring the wearing of masks or face coverings while in terminals, subway and commuter train stations, subway cars, buses, and commuter trains, divided almost evenly between those in favor of the proposed rules and those who object to them.

Seventeen commentators supported the proposed rules that require the wearing of masks covering the nose and mouth and urged more vigorous enforcement or penalties more severe than the $50 fine. One commentator suggested that the MTA operating agencies hand out masks to all customers upon request, including those already wearing masks. One commentator supported the proposed rules but objected to the exception for very young children. And another commentator who supports adoption of the proposed rule urged the MTA operating agencies to use his own mask design.

Several commentators asserted that the scientific and medical evidence does not establish the efficacy of wearing face masks while using public transportation to prevent or limit the spread of COVID-19. Others suggested that since COVID-19 is only a "minor" illness, the proposed rules are unnecessary. Six commentators oppose the proposed rules because they contend that masks unduly restrict breathing, may cause hypoxia, or may increase the risk of infection if the mask becomes contaminated. And others raised concerns that some people are not medically able to tolerate masks and assert that the proposed rule discriminates against the disabled. We note that the proposed rules expressly provide that only those who are "able to medically tolerate a face covering" are obliged to wear one. Five commentators asserted that having to wear masks impinges on religious rights.

MTA continues to evaluate all the public comments but has not yet decided whether to adopt the proposed mask rules or to revise them and therefore is not yet ready—and is not required—to fully assess and respond to all the comments.

New York City Transit Authority

EMERGENCY RULE MAKING

Requiring Mask Wearing Covering the Nose and Mouth When Using the Facilities and Conveyances Operated by NYC Transit Authority

I.D. No. NTA-39-20-00006-E
Filing No. 130
Filing Date: 2021-02-05
Effective Date: 2021-02-05

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following action:

Action taken: Amendment of Part 1050 of Title 21 NYCRR.

Statutory authority: Public Authorities Law, sections 1204(5-a), 1266 (4) and (5)

Finding of necessity for emergency rule: Preservation of public health, public safety and general welfare.

Specific reasons underlying the finding of necessity: The emergency amendments are necessary to safeguard public health and safety and to ensure through mandated mask wearing that the public, health care providers, first responders, and other essential workers who rely on subways and trains to get to and from work and also our employees are protected during the COVID-19 outbreak.

Subject: Requiring mask wearing covering the nose and mouth when using the facilities and conveyances operated by NYC Transit Authority.

Purpose: To safeguard the public health and safety by amending existing rules to require use of masks when using the transit system.

Text of emergency rule: Section 1050.6(d)(1) is amended to read as follows:

(d) All persons on or in any facility or conveyance of the authority shall:

(1) comply with all lawful orders and directives of any police officer*, peace officer* or any employee of the authority or the MTA acting within the scope of their employment[;]*, including one pursuant to an order or directive issued by the Governor of the State of New York pursuant to a state disaster emergency relating to public health or by the MTA that includes requiring the wearing of masks or face coverings by an individual who is over the age of two and is able to medically tolerate a face-covering. Masks or face coverings must be worn in a manner covering the nose and mouth. Any person who does not comply with such an order or directive requiring wearing of masks or face coverings in a manner covering the nose and mouth may be barred from entering or remaining on or in any facility or conveyance of the authority, in addition to a fine of $50.*

This notice is intended to serve only as a notice of emergency adoption. This agency intends to adopt the provisions of this emergency rule as a permanent rule, having previously submitted to the Department of State a notice of proposed rule making, I.D. No. NTA-39-20-00006-EP, Issue of September 30, 2020. The emergency rule will expire April 5, 2021.

Text of rule and any required statements and analyses may be obtained from: Peter Sistrom, Metropolitan Transportation Authority, 2 Broadway, New York, NY 10004, (212) 878-7176, email: psistrom@mtahq.com

Regulatory Impact Statement

Statutory authority: Section 1204(5-a) provides that the New York City Transit Authority may adopt rules and regulations governing the conduct and safety of the public as it may deem necessary, convenient or desirable for the use and operation of the transit facilities under its jurisdiction; Sections 1266(4) and (5) of the Public Authorities Law provide that the Metropolitan Transportation Authority and its subsidiary public benefit corporations may adopt rules and regulations governing the conduct and safety of the public as they may deem necessary, convenient or desirable for the use of any transportation facility and related services operated by it or its subsidiaries. Under the Executive Law, the Governor has declared a state disaster emergency and has issued Executive Order 202.18, which requires "any person utilizing public or private transportation carriers or other for-hire vehicles, who is over age two and able to medically tolerate a face covering, [to] wear a mask or face covering over the nose and mouth during any such trip."

Legislative objectives: The Legislature has conferred on the New York City Transit Authority and the Metropolitan Transportation Authority and their subsidiaries the authority to ensure the safety of their passengers and employees. Both have exercised that authority to adopt rules of conduct governing the conduct and safety of the public in the use of their facilities; this amended rule furthers the Legislature's objective by safeguarding public health and safety during the State disaster emergency related to the COVID-19 outbreak and after.

Needs and benefits: The proposed amendment is necessary to safeguard public health and safety to ensure that persons who rely on subways to get to and from work comply with the public health guidance to wear a mask or face covering to try to limit the transmission of COVID-19.

Costs:

(a) Regulated parties: The proposed amendments to the rules governing conduct and safety do not impose new costs on passengers or others.

(b) State and local government: The proposed amendments to the rules governing conduct and safety will not impose any new costs on State or local governments.

Local government mandates: The proposed amended rules do not impose any new programs, services, duties or responsibilities on local government. The New York City Police Department's Transit Bureau is already responsible with others in enforcing the New York City Transit Authority's Rules Governing the Conduct and Safety of the Public, and the MTA Police Department is responsible for enforcing the comparable rules and regulations governing the conduct and safety of the public on trains and stations operated by the Metropolitan Transportation Authority's two commuter railroads.

Paperwork: The proposed amended rules do not impose any new reporting requirements.

Duplication: The proposed amended rules do not duplicate, overlap, or conflict with any State or Federal rule.

Alternatives: No significant alternatives to these amendments were considered.

Federal standards: The proposed amended rule does not exceed any Federal minimum standards.

Compliance schedule: There is no compliance schedule imposed by these proposed amended rules. Once adopted, the emergency amendment to the existing rules governing the conduct and safety of the public will be effective immediately.

Regulatory Flexibility Analysis, Rural Area Flexibility Analysis and Job Impact Statement

A regulatory flexibility analysis for small business and local governments, a rural area flexibility analysis, and a job impact statement are not required for this rulemaking proposal because it will not adversely affect small businesses, local governments, rural areas, or jobs.

This rulemaking will authorize law enforcement officers and designated employees of the Metropolitan Transportation Authority, New York City Transit Authority, and Manhattan and Bronx Surface Transit Authority to enforce compliance with orders and directives issued by the Governor of the State of New York pursuant to a state disaster emergency relating to public health or the Metropolitan Transportation Authority requiring persons in their facilities and conveyances to wear masks or face coverings in a manner covering the nose and mouth. Due to its narrow focus, this proposed emergency rule will not impose an adverse economic impact or reporting, record keeping, or other compliance requirements on small businesses or local governments in rural or urban areas or on jobs and employment opportunities.

Assessment of Public Comment

On September 11, 2020, New York City Transit ("NYCT"), the Manhattan and Bronx Surface Transit Authority ("MABSTOA"), Staten Island Rapid Transit Operating Authority ("SIRTOA") and the Metropolitan Transportation Authority Bus Company ("MTABUS") submitted to the Secretary of State Notices of Emergency Adoption and Proposed Rule Making; they were published in the New York State Register on September 30, 2020. The NYCT, MABSTOA, SIRTOA and MTABUS emergency rules, which were identical to the proposed rule, became effective upon filing. The emergency rules were filed to ensure compliance with Executive Order 202.18, issued April 16, 2020, which requires all persons using public or private transportation carriers over the age of two and able to medically tolerate a face covering to wear a mask or face covering over the nose and mouth, and also with Executive Order 202.62, issued September 11, 2020, in which Governor Cuomo directed the MTA and its operating agencies to "promulgate an enforcement plan to ensure compliance with all EOs and guidance applicable to MTA's operations, including enforcement of face-covering requirements and ensuring availability of face-coverings for riders" and directed the MTA and its operating agencies to issue any emergency rules needed to effectuate the Executive Order.

The MTA has received over 40 comments on the proposed rules requiring the wearing of masks or face coverings while in terminals, subway and

commuter train stations, subway cars, buses, and commuter trains, divided almost evenly between those in favor of the proposed rules and those who object to them.

Seventeen commentators supported the proposed rules that require the wearing of masks covering the nose and mouth and urged more vigorous enforcement or penalties more severe than the $50 fine. One commentator suggested that the MTA operating agencies hand out masks to all customers upon request, including those already wearing masks. One commentator supported the proposed rules but objected to the exception for very young children. And another commentator who supports adoption of the proposed rule urged the MTA operating agencies to use his own mask design.

Several commentators asserted that the scientific and medical evidence does not establish the efficacy of wearing face masks while using public transportation to prevent or limit the spread of COVID-19. Others suggested that since COVID-19 is only a "minor" illness, the proposed rules are unnecessary. Six commentators oppose the proposed rules because they contend that masks unduly restrict breathing, may cause hypoxia, or may increase the risk of infection if the mask becomes contaminated. And others raised concerns that some people are not medically able to tolerate masks and assert that the proposed rule discriminates against the disabled. We note that the proposed rules expressly provide that only those who are "able to medically tolerate a face covering" are obliged to wear one. Five commentators asserted that having to wear masks impinges on religious rights.

MTA continues to evaluate all the public comments but has not yet decided whether to adopt the proposed mask rules or to revise them and therefore is not yet ready—and is not required—to fully assess and respond to all the comments.

Public Service Commission

PROPOSED RULE MAKING
NO HEARING(S) SCHEDULED

Utility-Owned ESR Participation in the New York Independent System Operator, Inc. (NYISO) Administered Wholesale Markets

I.D. No. PSC-08-21-00003-P

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following proposed rule:

Proposed Action: The Public Service Commission is considering a petition filed on January 12, 2021 by Niagara Mohawk Power Corporation d/b/a National Grid to use an energy storage resource (ESR) for wholesale market participation.

Statutory authority: Public Service Law, sections 5, 65, 66 and 74

Subject: Utility-owned ESR participation in the New York Independent System Operator, Inc. (NYISO) administered wholesale markets.

Purpose: To consider if National Grid should use a ESR in NYISO markets, and whether any conditions are appropriate for such use.

Substance of proposed rule: The Commission is considering a January 12, 2021 petition, filed by Niagara Mohawk Power Corporation d/b/a National Grid (National Grid or the Company) seeking to bid the output from a Company-owned energy storage system (ESS) project located at National Grid's East Pulaski Substation (the Project) into the wholesale markets administered by the New York Independent System Operator, Inc. (NYISO).

National Grid explains that the Project was installed pursuant to the March 9, 2017, Order on Distributed System Implementation Plans directive to deploy at least two separate energy storage projects by no later than December 31, 2018. In compliance with the DSIP Order, National Grid states that the Project was installed to meet peak load reduction needs during the summer months to mitigate at-risk load, and consequently, is idle most of the year. The petition proposes that any financial gains from NYISO market transactions would accrue to the benefit of National Grid's customers and allow the Company to more effectively utilize the capabilities of the Project during those times of the year when the Project is not needed for local liability. Specifically, the Project is expected to continue to support local reliability in the summer months of June through September and National Grid proposes to use the Project for wholesale market participation from October through May.

The petition requests that the Public Service Commission (Commission) approve the Company's plan to bid energy, capacity, and/or ancillary services available from the Project into the NYISO markets. The Company maintains that the plan to bid the services from this existing Project would provide valuable experience in advance of the December 31, 2022, in-service deadline of the two bulk ESS projects directed by the Commission's December 2018 Energy Storage Deployment Order in Case 18-E-0130. Furthermore, National Grid expects ancillary benefits to having the Project participate in NYISO wholesale markets. The Company explains that it intends to hire the same power marketer company with respect to the Project as it selected for the two bulk ESS projects to provide technical advisory services regarding participation in the wholesale market. Additionally, the early engagement of the power marketer would facilitate key working relationships ahead of the power marketer's responsibilities to bid the two larger bulk ESS projects into the wholesale market on behalf of the Company.

According to the petition, National Grid plans to use existing personnel and develop internal processes and resources to bid the Project into the NYISO markets. National Grid explains that it would generally be responsible for submitting: 1) day-ahead (DA) and teal-time (RT) energy/ancillary bids; 2) updated maintenance schedules; 3) monthly available Generating Availability Data System (GADS) information; 4) annual capability testing data; and, 5) Installed Capacity (ICAP) market participation. The Company's Wholesale Electric Supply (WES) group would receive a weekly/daily forecast from the Company's control center operation with the Project's availability, and the WES group will electronically submit generation offers for the Project into the DA Energy/Ancillary Market for the next dispatch day. The petition states that initially the Company would focus on Project participation in the energy and ancillary services markets but does not preclude ICAP market participation. Noting that ICAP market participation introduces additional complexity, National Grid asserts that it may pursue participating in that market, to the extent it determines the pros outweigh the cons of future ICAP market participation.

National Grid states that the risks to the Company in bidding the Project into the NYISO wholesale market are minimal, since the Company would maintain operational control over the Project, and can be appropriately managed through planned and careful operation. National Grid's proposal would allocate 100 percent of the net revenues from the dispatch and wholesale marketing of the Project to the Company's customers through the Legacy Transition Charge (LTC) mechanism in their tariff, which is applied to all delivery customers.

The full text of the petition and the full record of the proceeding may be reviewed online at the Department of Public Service web page: www.dps.ny.gov. The Commission may adopt, reject, or modify, in whole or in part, the action proposed and may resolve related matters.

Text of proposed rule and any required statements and analyses may be obtained by filing a Document Request Form (F-96) located on our website http://www.dps.ny.gov/f96dir.htm. For questions, contact: John Pitucci, Public Service Commission, 3 Empire State Plaza, Albany, New York 12223-1350, (518) 486-2655, email: john.pitucci@dps.ny.gov

Data, views or arguments may be submitted to: Michelle Phillips, Secretary, Public Service Commission, 3 Empire State Plaza, Albany, New York 12223-1350, (518) 474-6530, email: secretary@dps.ny.gov

Public comment will be received until: 60 days after publication of this notice.

Regulatory Impact Statement, Regulatory Flexibility Analysis, Rural Area Flexibility Analysis and Job Impact Statement

Statements and analyses are not submitted with this notice because the proposed rule is within the definition contained in section 102(2)(a)(ii) of the State Administrative Procedure Act.

(16-M-0411SP10)

PROPOSED RULE MAKING
NO HEARING(S) SCHEDULED

Proposed Transfer of Water Supply Assets

I.D. No. PSC-08-21-00004-P

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following proposed rule:

Proposed Action: The Commission is considering a petition filed by Twin Lakes Water Works (TLWW) and the Twin Lakes Water Co., Inc. (TLWCI) for the transfer of all TLWW's water supply assets to TLWCI.

Statutory authority: Public Service Law, sections 4(1), 5(1)(f), 89-c(1), (10) and 89-h(1)

Subject: Proposed transfer of water supply assets.

Purpose: To determine if transfer of all water supply assets is in the public interest.

Substance of proposed rule: The Public Service Commission is considering a joint petition, filed on February 2, 2021 by Twin Lakes Water Works (TLWW) and the Twin Lakes Water Co., Inc. (TLWCI), for the transfer of all the TLWW's water supply assets to TLWCI.

TLWW serves approximately 91 customers in the real estate subdivision known as Twin Lakes Village, in the Town of Lewisboro in Westchester County, New York. The petition states that TLWW is currently being run by a group of volunteers who are responsible for the operation and maintenance of the company. The officers and directors have recognized the need for long-term professional management and thus hired TLWCI to begin operating the system in their stead as of January 1, 2020.

TLWCI has assumed operational management, including all daily inspections, water testing, filings, readings, sanitary surveys, compliance updates, updating of comprehensive monitoring plans, and maintaining grounds and accessways. As TLWCI is already handling the day to day operations of the water company, TLWW asserts it is in the customers best interest to fully transfer the water company to TLWCI.

The full text of the petition and the full record of the proceeding may be reviewed online at the Department of Public Service web page at www.dps.ny.gov. The Commission may adopt, reject or modify, in whole or in part, the action proposed and may resolve related matters.

Text of proposed rule and any required statements and analyses may be obtained by filing a Document Request Form (F-96) located on our website http://www.dps.ny.gov/f96dir.htm. For questions, contact: John Pitucci, Public Service Commission, 3 Empire State Plaza, Albany, New York 12223-1350, (518) 486-2655, email: john.pitucci@dps.ny.gov

Data, views or arguments may be submitted to: Michelle L. Phillips, Secretary, Public Service Commission, 3 Empire State Plaza, Albany, New York 12223-1350, (518) 474-6530, email: secretary@dps.ny.gov

Public comment will be received until: 60 days after publication of this notice.

Regulatory Impact Statement, Regulatory Flexibility Analysis, Rural Area Flexibility Analysis and Job Impact Statement

Statements and analyses are not submitted with this notice because the proposed rule is within the definition contained in section 102(2)(a)(ii) of the State Administrative Procedure Act.

(21-W-0077SP1)

PROPOSED RULE MAKING
NO HEARING(S) SCHEDULED

Transfer of Street Lighting Facilities

I.D. No. PSC-08-21-00005-P

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following proposed rule:

Proposed Action: The Commission is considering a petition filed by Niagara Mohawk Power Corporation d/b/a National Grid to transfer certain street lighting facilities in the Town of Grand Island to the Town of Grand Island.

Statutory authority: Public Service Law, sections 5, 65, 66 and 70(1)

Subject: Transfer of street lighting facilities.

Purpose: To determine whether to authorize the transfer street of lighting facilities and the proper accounting for the transaction.

Substance of proposed rule: The Public Service Commission (Commission) is considering a petition filed on February 1, 2021 by Niagara Mohawk Power Corporation d/b/a National Grid (National Grid), requesting authorization to transfer to the Town of Grand Island (Town) certain street lighting facilities located in the Town.

The original cost of the facilities was approximately $1,723,687 and the net book value of the assets is $1,117,575, as of December 31, 2020. National Grid proposes to transfer the street lighting facilities to the Town for approximately $1,149,278.88, which includes the net book value of the assets as well as transition and transaction costs. National Grid explains that the agreement between it and the Town provides that the purchase price will be adjusted (up or down) to reflect the actual net book value at the date of the closing.

The full text of the petition and the full record of the proceeding may be viewed online at the Department of Public Service web page: www.dps.ny.gov. The Commission may adopt, reject, or modify, in whole or in part, the action proposed and may resolve related matters.

Text of proposed rule and any required statements and analyses may be obtained by filing a Document Request Form (F-96) located on our website http://www.dps.ny.gov/f96dir.htm. For questions, contact: John Pitucci, Public Service Commission, 3 Empire State Plaza, Albany, New York 12223-1350, (518) 486-2655, email: john.pitucci@dps.ny.gov

Data, views or arguments may be submitted to: Michelle L. Phillips, Secretary, Public Service Commission, 3 Empire State Plaza, Albany, New York 12223-1350, (518) 474-6530, email: secretary@dps.ny.gov

Public comment will be received until: 60 days after publication of this notice.

Regulatory Impact Statement, Regulatory Flexibility Analysis, Rural Area Flexibility Analysis and Job Impact Statement

Statements and analyses are not submitted with this notice because the proposed rule is within the definition contained in section 102(2)(a)(ii) of the State Administrative Procedure Act.

(21-E-0076SP1)

PROPOSED RULE MAKING
NO HEARING(S) SCHEDULED

Transfer of Street Lighting Facilities

I.D. No. PSC-08-21-00006-P

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following proposed rule:

Proposed Action: The Commission is considering a petition filed by Central Hudson Gas & Electric Corporation to transfer company-owned street lighting facilities to the Village of Rhinebeck.

Statutory authority: Public Service Law, section 70(1)

Subject: Transfer of street lighting facilities.

Purpose: To determine whether to transfer street lighting facilities and the proper accounting for the transaction.

Substance of proposed rule: The Public Service Commission (Commission) is considering a petition filed by Central Hudson Gas & Electric Corporation (Central Hudson or Company) on October 8, 2020, to transfer ownership of its system of street lighting poles, luminaires, lamps, mast arms, electrical connections, and wiring for street lighting installed throughout the Village of Rhinebeck (Village), a municipal corporation and political subdivision of the State of New York.

Based on plant records, the original book cost of the street lighting facilities is approximately $122,808. According to the Company's petition, these street lighting facilities had an accrued depreciation balance of $35,165 resulting in a municipal specific net book value (NBV) of $87,643. Central Hudson proposes to transfer the street lighting facilities to the Village at the cost of the NBV at the date of closing, plus any additional costs the Company accrues in relation to the sale. Upon the closing date of the sale, the Village will become solely responsible and liable for the operation, maintenance, and condition of the street lighting facilities.

The full text of the petition and the full record of the proceeding may be viewed online at the Department of Public Service web page: www.dps.ny.gov. The Commission may adopt, reject, or modify, in whole or in part, the action proposed and may resolve related matters.

Text of proposed rule and any required statements and analyses may be obtained by filing a Document Request Form (F-96) located on our website http://www.dps.ny.gov/f96dir.htm. For questions, contact: John Pitucci, Public Service Commission, 3 Empire State Plaza, Albany, New York 12223-1350, (518) 486-2655, email: john.pitucci@dps.ny.gov

Data, views or arguments may be submitted to: Michelle Phillips, Secretary, Public Service Commission, 3 Empire State Plaza, Albany, New York 12223-1350, (518) 474-6517, email: secretary@dps.ny.gov

Public comment will be received until: 60 days after publication of this notice.

Regulatory Impact Statement, Regulatory Flexibility Analysis, Rural Area Flexibility Analysis and Job Impact Statement

Statements and analyses are not submitted with this notice because the proposed rule is within the definition contained in section 102(2)(a)(ii) of the State Administrative Procedure Act.

(21-E-0081SP1)

Staten Island Rapid Transit Operating Authority

EMERGENCY RULE MAKING

Requiring Mask Wearing Covering the Nose and Mouth When Using Terminals, Stations and Trains Operated by SIRTOA

I.D. No. SIR-39-20-00008-E
Filing No. 131
Filing Date: 2021-02-05
Effective Date: 2021-02-05

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following action:

Action taken: Amendment of Part 1040 of Title 21 NYCRR.

Statutory authority: Public Authorities Law, section 1266(4) and (5)

Finding of necessity for emergency rule: Preservation of public health, public safety and general welfare.

Specific reasons underlying the finding of necessity: The emergency amendments are necessary to safeguard public health and safety and to ensure through mandated mask wearing that the public, health care providers, first responders, and other essential workers who rely on subways and trains to get to and from work and also our employees are protected during the COVID-19 outbreak.

Subject: Requiring mask wearing covering the nose and mouth when using terminals, stations and trains operated by SIRTOA.

Purpose: To safeguard the public health and safety by amending rules to require the use of masks when using terminals and stations.

Text of emergency rule: A new subdivision (g) is added to Section 1040.4 to read as follows:

(g) All persons in a terminal, station or train shall comply with all lawful orders and directives of any police officer, peace officer or any SIRTOA or Authority employee acting within the scope of their employment, including one pursuant to an order or directive issued by the Governor of the State of New York pursuant to a state disaster emergency relating to public health or an order or directive issued by the Authority that includes requiring the wearing of masks or face coverings by any individual who is over the age of two and is able to medically tolerate a face-covering. Masks or face coverings must be worn in a manner covering the nose and mouth. Any person who does not comply with such an order or directive requiring wearing of masks or face coverings in a manner covering the nose and mouth may be barred from entering, or remaining on or in any terminal, station, or train, in addition to a fine of $50.

This notice is intended to serve only as a notice of emergency adoption. This agency intends to adopt the provisions of this emergency rule as a permanent rule, having previously submitted to the Department of State a notice of proposed rule making, I.D. No. SIR-39-20-00008-EP, Issue of September 30, 2020. The emergency rule will expire April 5, 2021.

Text of rule and any required statements and analyses may be obtained from: Peter Sistrom, Metropolitan Transportation Authority, 2 Broadway, New York, NY 10004, (212) 878-7176, email: psistrom@mtahq.org

Regulatory Impact Statement

Statutory authority: Section 1204(5-a) provides that the New York City Transit Authority may adopt rules and regulations governing the conduct and safety of the public as it may deem necessary, convenient or desirable for the use and operation of the transit facilities under its jurisdiction; Sections 1266(4) and (5) of the Public Authorities Law provide that the Metropolitan Transportation Authority and its subsidiary public benefit corporations may adopt rules and regulations governing the conduct and safety of the public as they may deem necessary, convenient or desirable for the use of any transportation facility and related services operated by it or its subsidiaries. Under the Executive Law, the Governor has declared a state disaster emergency and has issued Executive Order 202.18, which requires "any person utilizing public or private transportation carriers or other for-hire vehicles, who is over age two and able to medically tolerate a face covering, [to] wear a mask or face covering over the nose and mouth during any such trip."

Legislative objectives: The Legislature has conferred on the New York City Transit Authority and the Metropolitan Transportation Authority and their subsidiaries the authority to ensure the safety of their passengers and employees. Both have exercised that authority to adopt rules of conduct governing the conduct and safety of the public in the use of their facilities; this amended rule furthers the Legislature's objective by safeguarding public health and safety during the State disaster emergency related to the COVID-19 outbreak and after.

Needs and benefits: The proposed amendment is necessary to safeguard public health and safety to ensure that persons who rely on subways to get to and from work comply with the public health guidance to wear a mask or face covering to try to limit the transmission of COVID-19.

Costs:

(a) Regulated parties. The proposed amendments to the rules governing conduct and safety do not impose new costs on passengers or others.

(b) State and local government. The proposed amendments to the rules governing conduct and safety will not impose any new costs on State or local governments.

Local government mandates: The proposed amended rules do not impose any new programs, services, duties or responsibilities on local government. The New York City Police Department's Transit Bureau is already responsible with others in enforcing the New York City Transit Authority's Rules Governing the Conduct and Safety of the Public, and the MTA Police Department is responsible for enforcing the comparable rules and regulations governing the conduct and safety of the public on trains and stations operated by the Metropolitan Transportation Authority's two commuter railroads.

Paperwork: The proposed amended rules do not impose any new reporting requirements.

Duplication: The proposed amended rules do not duplicate, overlap, or conflict with any State or Federal rule.

Alternatives: No significant alternatives to these amendments were considered.

Federal standards: The proposed amended rule does not exceed any Federal minimum standards.

Compliance schedule: There is no compliance schedule imposed by these proposed amended rules. Once adopted, the emergency amendment to the existing rules governing the conduct and safety of the public will be effective immediately.

Regulatory Flexibility Analysis, Rural Area Flexibility Analysis and Job Impact Statement

A regulatory flexibility analysis for small business and local governments, a rural area flexibility analysis, and a job impact statement are not required for this rulemaking proposal because it will not adversely affect small businesses, local governments, rural areas, or jobs.

This rulemaking will authorize law enforcement officers and designated employees of the Metropolitan Transportation Authority and Staten Island Rapid Transit Operating Authority to enforce compliance with orders and directives issued by the Governor of the State of New York pursuant to a state disaster emergency relating to public health or the Metropolitan Transportation Authority requiring persons in a terminal, station or train to wear masks or face coverings in a manner covering the nose and mouth. Due to its narrow focus, this proposed emergency rule will not impose an adverse economic impact or reporting, recordkeeping, or other compliance requirements on small businesses or local governments in rural or urban areas or on jobs and employment opportunities.

Assessment of Public Comment

On September 11, 2020, New York City Transit ("NYCT"), the Manhattan and Bronx Surface Transit Authority ("MABSTOA"), Staten Island Rapid Transit Operating Authority ("SIRTOA") and the Metropolitan Transportation Authority Bus Company ("MTABUS") submitted to the Secretary of State Notices of Emergency Adoption and Proposed Rule Making; they were published in the New York State Register on September 30, 2020. The NYCT, MABSTOA, SIRTOA and MTABUS emergency rules, which were identical to the proposed rule, became effective upon filing. The emergency rules were filed to ensure compliance with Executive Order 202.18, issued April 16, 2020, which requires all persons using public or private transportation carriers over the age of two and able to medically tolerate a face covering to wear a mask or face covering over the nose and mouth, and also with Executive Order 202.62, issued September 11, 2020, in which Governor Cuomo directed the MTA and its operating agencies to "promulgate an enforcement plan to ensure compliance with all EOs and guidance applicable to MTA's operations, including enforcement of face-covering requirements and ensuring availability of face-coverings for riders" and directed the MTA and its operating agencies to issue any emergency rules needed to effectuate the Executive Order.

The MTA has received over 40 comments on the proposed rules requiring the wearing of masks or face coverings while in terminals, subway and commuter train stations, subway cars, buses, and commuter trains, divided almost evenly between those in favor of the proposed rules and those who object to them.

Seventeen commentators supported the proposed rules that require the

wearing of masks covering the nose and mouth and urged more vigorous enforcement or penalties more severe than the $50 fine. One commentator suggested that the MTA operating agencies hand out masks to all customers upon request, including those already wearing masks. One commentator supported the proposed rules but objected to the exception for very young children. And another commentator who supports adoption of the proposed rule urged the MTA operating agencies to use his own mask design.

Several commentators asserted that the scientific and medical evidence does not establish the efficacy of wearing face masks while using public transportation to prevent or limit the spread of COVID-19. Others suggested that since COVID-19 is only a "minor" illness, the proposed rules are unnecessary. Six commentators oppose the proposed rules because they contend that masks unduly restrict breathing, may cause hypoxia, or may increase the risk of infection if the mask becomes contaminated. And others raised concerns that some people are not medically able to tolerate masks and assert that the proposed rule discriminates against the disabled. We note that the proposed rules expressly provide that only those who are "able to medically tolerate a face covering" are obliged to wear one. Five commentators asserted that having to wear masks impinges on religious rights.

MTA continues to evaluate all the public comments but has not yet decided whether to adopt the proposed mask rules or to revise them and therefore is not yet ready—and is not required—to fully assess and respond to all the comments.

Office of Temporary and Disability Assistance

NOTICE OF ADOPTION

New York State Combined Application Project (NYSCAP)

I.D. No. TDA-16-20-00012-A
Filing No. 122
Filing Date: 2021-02-03
Effective Date: 2021-02-24

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following action:

Action taken: Amendment of section 387.1; addition of new section 387.24 to Title 18 NYCRR.

Statutory authority: United States Code, sections 2011, 2013, 2026, Title 7, ch. 51; Social Services Law, sections 17(a)-(b), (k), 20(3)(d) and 95

Subject: New York State Combined Application Project (NYSCAP).

Purpose: The regulatory amendments would implement the New York State Combined Application Project (NYSCAP), a new combined application project for recipients of Supplemental Security Income (SSI) benefits, who have been designated as "Live-Alone" by the Social Security Administration and the State-funded SSI State Supplement Program.

Text or summary was published in the April 22, 2020 issue of the Register, I.D. No. TDA-16-20-00012-P.

Final rule as compared with last published rule: No changes.

Text of rule and any required statements and analyses may be obtained from: Richard P. Rhodes, Jr., New York State Office of Temporary and Disability Assistance, 40 North Pearl Street, 16-C, Albany, NY 12243-0001, (518) 486-7503, email: richard.rhodesjr@otda.ny.gov

Initial Review of Rule

As a rule that requires a RFA, RAFA or JIS, this rule will be initially reviewed in the calendar year 2024, which is no later than the 3rd year after the year in which this rule is being adopted.

Assessment of Public Comment

The comments received have been summarized below and all comments have been duly considered in an assessment of public comments which is posted at the following Office of Temporary and Disability Assistance (OTDA) website: http://otda.ny.gov/legal/regulatory-activities.asp.

OTDA received comments asserting that the proposed rulemaking, if adopted, "may actually result in a benefit cut for some [Supplemental Security Income (SSI) Live Alone] households …". While some former New York State Nutrition Improvement Project (NYSNIP) households may see a monthly benefit reduction upon conversion to the New York State Combined Application Project (NYSCAP), others will see a monthly benefit increase. NYSCAP participants will have budgets calculated in exactly the same fashion as all other Supplemental Nutrition Assistance Program (SNAP) participants. There is no option to maintain the current benefit calculation structure and continue to operate a combined application demonstration project in New York State (NYS). Choosing not to adopt this regulation would likely result in the cessation of NYS's authority to operate a Combined Application Process (CAP) and the eventual loss of access to SNAP benefits for thousands of elderly people and people with disabilities across the State, as the United States Department of Agriculture (USDA) would no longer grant that NYS may operate such a project under its own authority at 7 United States Code (USC) § 2026.

OTDA received comments asserting that responses ## 4 and 6 of the Regulatory Impact Statement (RIS) supporting the proposed regulatory amendments are inaccurate. Response #4 states that the proposed regulatory amendments "would have no fiscal impact for households [participating in the NYSCAP]." OTDA reiterates its response to the preceding comment above. Response #6 states that the proposed regulatory amendments would result in only a "slight increase" in paperwork for participating households relative to the expiring NYSNIP. There is no significant difference in the type or amount of paperwork a household is required to complete in comparison to the NYSNIP in order to maintain eligibility for NYSCAP. The only difference is that the interim contact and eventual recertification will occur over a 36-month certification period instead of a 48-month certification period.

OTDA received comments asserting that proposed 18 NYCRR § 387.24(c)(1) would adversely impact newly-enrolled NYSCAP participants classified as "Shelter Type 98" ("Code 98 – SSI Shelter Cost and SUA [standard utility allowance] Unknown") by relegating such individuals to receiving the minimum monthly SNAP benefit. OTDA asserts that there would be no adverse impact because the NYSCAP auto-enrollment process and receiving a SNAP benefit is preferable to not being auto-enrolled and receiving no SNAP at all.

OTDA received comments suggesting amendments to proposed 18 NYCRR § 387.24(a)(2)(ii)(a)(4) to remove "or sex validation". The case exception reasons as described in proposed 18 NYCRR § 387.24(a)(2)(ii)(a) do not represent a change from the current NYSNIP procedure, and have been in place since the demonstration project was first implemented in 2004. This validation test is a component of a data-matching process used to verify an individual's identity and to see if they have a currently-existing SNAP case that would be eligible for conversion to NYSCAP or should have a new SNAP case auto-opened into NYSCAP. A verified identity is a requirement for participation in the SNAP, as set forth in 18 NYCRR § 387.8(b)(1)(i)(f) and this data exchange is the only means to automatically verify an individual's identity.

OTDA received comments suggesting amendments to proposed 18 NYCRR § 387.24(c)(1) to add a provision stating that "in any automatic NYSCAP enrollment, the eligible NYSCAP household also be notified that SNAP benefits must be used within three months from the initial issuance, otherwise the NYSCAP case would be closed." Proposed 18 NYCRR § 387.24(c)(1)(iii) indicates that upon enrollment, households are sent a notice which includes "Explanation of the individual's rights and responsibilities under the NYSCAP." These rights and responsibilities are indicated in greater detail in 18 NYCRR § 387.24(c)(3)(iii): "A NYSCAP enrollment is closed automatically if a household has not redeemed any SNAP benefits within 90 days following automatic NYSCAP case enrollment. No further notices are required."

OTDA received comments suggesting adding language to proposed 18 NYCRR §§ 387.24(c)(1) and 387.24(e) to clarify as to what the initial SNAP calculation referenced in the amended regulatory section is based on. The RIS explains that implementing NYSCAP as a replacement to the expiring NYSNIP serves the purpose of converting the budgeting methodology in the project from standardized benefit levels to benefits calculated using regular SNAP budgeting methodology. This is not detailed in the amended regulatory text because there is no intentional deviation from standard budget calculation methodology as described in 18 NYCRR § 387.15.

OTDA received comments suggesting adding language to proposed 18 NYCRR § 387.24(e) to allow for retroactive adjustment of a SNAP benefit amount back to the date of issuance when a SNAP recipient submits documentation to show that they qualify for a greater SNAP benefit amount. Consistent with NYSNIP, there is no entitlement under NYSCAP to retroactive adjustment of a SNAP benefit amount back to initial date of issuance. This is a benefit automatically granted to a household with no requirement to apply for benefits and, as indicated in 18 NYCRR § 387.24(c)(3)(i), "A NYSCAP case is not considered open, and a household is not considered a SNAP recipient or to have been an applicant, until the household has redeemed a portion of any SNAP benefit within 90 days following automatic NYSCAP case enrollment."

OTDA received comments suggesting that an "information collection sheet", referenced in proposed 18 NYCRR § 387.24(j)(1)(iii), be devel-

oped specifically for use in the NYSCAP. The information collection sheet has, in fact, been developed specifically for NYSCAP use. Details pertaining to NYSCAP forms and notices will be available through future policy directive releases.

OTDA received comments asserting that the proposed regulatory amendments would adversely impact the rights of NYSCAP participants by treating them differently than other SNAP recipients. For any individual who files an application for SNAP and is found to be eligible for SNAP and participation in NYSCAP, normal SNAP application processing requirements, including expedited processing, apply. There is no potential adverse impact to households who are eligible for NYSCAP and wish to participate in SNAP but are required to participate via NYSCAP as set forth in 18 NYCRR § 387.24(a)(3). Compared to regular SNAP rules, the NYSCAP certification periods are longer, reporting requirements are fewer, and benefits are calculated the same way.

OTDA received comments asserting that under the proposed regulatory amendments, NYSCAP participants may not receive a timely-processed SNAP application, depending on the case transfer date, which would obstruct their ability to access benefits. OTDA refutes this comment. Insofar as there is no application required for participation in the NYSCAP, there can be no filing requirement or untimely application processing. If an individual wishes to participate in the SNAP and has not been automatically enrolled in the NYSCAP, as may occur while waiting for a determination on SSI eligibility, the individual may file a SNAP application and complete the eligibility process under the standards required by 7 Code of Federal Regulations (CFR) § 273.2. If the individual is found to be eligible for NYSCAP after already participating in SNAP, the individual will have their case converted to the NYSCAP under the conversion process detailed in the proposed 18 NYCRR § 387.24(d).

OTDA received comments asserting that under the proposed regulatory amendments, NYSCAP participants would be afforded less time than other SNAP recipients to access benefits. This process for accessing benefits under NYSCAP is consistent with that under NYSNIP.

OTDA received comments asserting that the proposed regulatory amendments would limit fair hearing rights – specifically, that proposed 18 NYCRR § 387.24(c)(3)(i) would deny the right to an administrative hearing to an enrolled NYSCAP participant who has not yet redeemed benefits, and would effectively eliminate administrative due process rights of NYSCAP participants whose Electronic Benefits Transfer (EBT) card may not have reached them by virtue of homelessness or periods of hospitalization, or whose applications have been untimely processed. Per 18 NYCRR § 387.24(c)(3)(i), "[a] NYSCAP case is not considered open, and a household is not considered a SNAP recipient or to have been an applicant, until the household has redeemed a portion of any SNAP benefit within 90 days following automatic NYSCAP case enrollment." This is consistent with NYSNIP. Further, a failure to redeem benefits resulting in the closure of the automatically opened case does not preclude the individual from applying for SNAP benefits or participating in the NYSCAP in the future if they remain eligible.

OTDA received comments suggesting adding language to the proposed regulatory amendments clarifying that procedures and notices for NYSCAP participants are subject to the same requirements as those for other SNAP applicants and recipients. As the NYSCAP is a demonstration project authorized by the USDA, there are some procedural differences from regular SNAP processes by virtue of waiving or amending certain federal requirements. The purpose of the regulatory amendment 18 NYCRR § 387.24 is to elucidate those procedural and administrative differences from those that apply to "standard" SNAP applicants and recipients. If the NYSCAP does not make reference to a specific procedural, notice or regulatory requirement, then it is inferred that no change has been made to "standard" SNAP procedures and requirements.

OTDA received comments asserting that the proposed regulatory amendments' verification procedures – specifically §§ 18 NYCRR 387.24(c)(2)(ii) and 387.24(d)(2) –would prove burdensome for a vulnerable population. Regarding the former, 18 NYCRR § 387.24(c)(2)(i) constitutes a significant reduction in burden, insofar as all of the mandatory eligibility factors are verified automatically without any requirement for a participant to produce verification prior to an initial eligibility determination as would be necessary for any other SNAP applicant. Additionally, the automatic nature of the enrollment process and the subsequent verification requirements for receiving increased monthly benefits are consistent with NYSNIP. Regarding the latter, the verification of the eligibility requirements in 18 NYCRR § 387.24(c)(2)(i) is conducted through "the use of SSI, SSA and the State-funded SSI State Supplement Program (SSP) data." This task is accomplished essentially automatically, without required action by the participant, and for the purpose of determining eligibility for participation in the NYSCAP.

OTDA received comments suggesting adding language to proposed 18 NYCRR § 387.24(h)(3)(i) clarifying the specific verification procedures to be followed in cases of a NYSCAP participant's move from one social services district (district) to another, to ensure that there is no undue delay or unnecessary duplication of verification for those participants with currently open cases. For any SNAP household, including those participating in the NYSCAP, 7 CFR § 273.12(c) details the requirements for district action to determine the case impact of the discovered change. For a NYSCAP participant moving from one district to another, a policy safeguard exists to prevent the closing and potential interruption of SNAP benefits. "From" district staff are able to complete a case transfer in collaboration with the "To" district. This procedural option is unavailable to normal SNAP cases and is unchanged from NYSNIP.

OTDA received comments suggesting amending proposed 18 NYCRR § 387.24(c)(2)(i)(d) to align with the standard for self-identification of gender set forth in OTDA Administrative Directive 20-ADM-03 (Providing Services to Transgender, Gender Nonconforming, and Non-Binary People Experiencing Homelessness). Relative to the NYSCAP automatic verification process, the verification item "Sex" at 18 NYCRR § 387.24(c)(2)(i)(d) is included to indicate that this information is captured in the data-sharing process from SSA to OTDA in order to confirm an individual's Identity, as required for participation in SNAP pursuant to 18 NYCRR § 387.8(b)(1)(i)(f). If this information was not captured in the data-sharing process, or it was otherwise altered to capture "gender expression" rather than "sex," there would be no means to systematically verify an individual's identity. Moreover, this process is consistent with that of the NYSNIP, in place since 2004.

HEARINGS SCHEDULED FOR PROPOSED RULE MAKINGS

Agency I.D. No.	Subject Matter	Location—Date—Time
Environmental Conservation, Department of		
ENV-03-21-00010-P	Application of Site-Specific Criteria to Class I and Class SD Waters	Electronic webinar—March 23, 2021, 2:00 p.m. Instructions on how to "join" the hearing webinar and provide an oral statement will be posted on the Department's events calendar and proposed regulations webpage by Wednesday, January 20, 2021. Department's events calendar may be accessed at: https://www.dec.ny.gov/calendar/. The proposed regulations webpage for 6 NYCRR § 703.4 may be accessed at: https://www.dec.ny.gov/regulations/121933.html Persons who wish to receive the instructions by mail or telephone may call the Department at (518) 402-9003. Please provide your first and last name, address, and telephone number and reference the 703.4 public comment hearing. The Department will provide interpreter services for hearing impaired persons at no charge upon written request submitted no later than March 9, 2021. The written request must be addressed to ALJ McBride, NYS DEC Office of Hearings and Mediation Services, 625 Broadway, 1st Floor, Albany, NY 12233-1550 or emailed to ALJ McBride at ohms@dec.ny.gov.
ENV-04-21-00007-P	Chlorpyrifos Prohibition	Electronic webinar—March 30, 2021, 6:00 p.m. Instructions on how to "join" the hearing webinar and how to provide an oral statement may be accessed at the proposed regulations webpage for Part 326, available at: https://www.dec.ny.gov/chemical/121988.html. Instructions will also be available on the Department's events calendar at: https://www.dec.ny.gov/calendar/ Persons who wish to receive the instructions by mail or telephone may call DEC at (518) 402-9003. Please provide your first and last name, address, and telephone number and reference the Part 326 public comment hearing. Interpreter services for hearing impaired persons or persons with limited English proficiency will be provided at no charge upon written request submitted no later than March 16, 2021. The written request must be addressed to ALJ Sherman, NYS DEC Office of Hearings and Mediation Services, 625 Broadway, 1st Floor, Albany, NY 12233-1550 or emailed to ALJ Sherman at ohms@dec.ny.gov.
ENV-04-21-00008-P	Food Donation and Food Scraps Recycling	Electronic webinar—April 7, 2021, 1:00 p.m. and 6:00 p.m. Instructions on how to "join" the hearing webinar and how to provide an oral statement may be accessed at the proposed regulations webpage for the Department, available at: https://www.dec.ny.gov/regulations/propregulations.html#public. Instructions will also be available on the Department's events

		calendar at: https://www.dec.ny.gov/calendar/ Persons who wish to receive the instructions by mail or telephone may call DEC at (518) 402-9003. Please provide your first and last name, address, and telephone number and reference the Part 350 public comment hearing. Interpreter services for hearing impaired persons or persons with limited English proficiency will be provided at no charge upon written request submitted no later than March 24, 2021. The written request must be addressed to ALJ Caruso, NYS DEC Office of Hearings and Mediation Services, 625 Broadway, 1st Floor, Albany, NY 12233-1550 or emailed to ALJ Caruso at ohms@dec.ny.gov.
Public Service Commission		
PSC-02-21-00006-P	Disposition of a Sales Tax Refund Received by New York American Water, Inc.	The Public Hearing will be held online and by telephone. Details for access will be published via Notice at the DPS website (www.dps.ny.gov) under Case 20-W-0654—April 19, 2021, 10:00 a.m. (Evidentiary Hearing)* *On occasion, there are requests to reschedule or postpone hearing dates. If such a request is granted, notification of any subsequent scheduling changes will be available at the DPS website (www.dps.ny.gov) under Case 20-W-0654.
PSC-06-21-00009-P	Disposition of a Property Tax Refund Received by New York American Water, Inc.	The Public Hearing will be held online and by telephone. Details for access will be published via Notice at the DPS website (www.dps.ny.gov) under Case 21-W-0060—April 19, 2021, 10:00 a.m.* *On occasion, there are requests to reschedule or postpone hearing dates. If such a request is granted, notification of any subsequent scheduling changes will be available at the DPS website (www.dps.ny.gov) under Case 21-W-0060.

ACTION PENDING INDEX

The action pending index is a list of all proposed rules which are currently being considered for adoption. A proposed rule is added to the index when the notice of proposed rule making is first published in the *Register*. A proposed rule is removed from the index when any of the following occur: (1) the proposal is adopted as a permanent rule; (2) the proposal is rejected and withdrawn from consideration; or (3) the proposal's notice expires.

Most notices expire in approximately 12 months if the agency does not adopt or reject the proposal within that time. The expiration date is printed in the second column of the action pending index. Some notices, however, never expire. Those notices are identified by the word "exempt" in the second column. Actions pending for one year or more are preceded by an asterisk(*).

For additional information concerning any of the proposals listed in the action pending index, use the identification number to locate the text of the original notice of proposed rule making. The identification number contains a code which identifies the agency, the issue of the *Register* in which the notice was printed, the year in which the notice was printed and the notice's serial number. The following diagram shows how to read identification number codes.

Agency code	Issue number	Year published	Serial number	Action Code
AAM	**01**	**12**	**00001**	**P**

Action codes: P — proposed rule making; EP — emergency and proposed rule making (expiration date refers to proposed rule); RP — revised rule making

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
AGRICULTURE AND MARKETS, DEPARTMENT OF			
AAM-12-20-00006-P	04/29/21	Calibrating and testing of certain weights and measures standards and devices.	To allow the Dept. to increase the fees it charges in calibrating and testing certain weights & measures standards and devices.
ALCOHOLISM AND SUBSTANCE ABUSE SERVICES, OFFICE OF			
ASA-28-20-00013-P	07/15/21	Patient Rights	To set-forth the minimum regulatory requirements for patient rights in OASAS certified, funded or otherwise authorized programs
ASA-28-20-00016-P	07/15/21	Designated Services	To set-forth the minimum regulatory requirements for certified programs to seek an Office designation
CHILDREN AND FAMILY SERVICES, OFFICE OF			
*CFS-46-19-00002-RP	04/29/21	Behavioral health services, elimination of room isolation and authority to operate de-escalation rooms	To implement standards for behavioral health services and the operation of de-escalation rooms and to eliminate room isolation
*CFS-04-20-00009-P	04/29/21	Host Family Homes	The proposed regulations would establish standards for the approval and administration of host family homes.
CFS-46-20-00001-P	11/18/21	Amendment to community guardian program regarding who can complete the annual evaluation or examination	Amendment to community guardian program regarding who can complete the annual evaluation or examination
CFS-49-20-00006-EP	12/09/21	Maintenance reimbursement for residential CSE programs when a student has been absent from the program for more than 15 days.	Remove an existing regulatory barrier that precludes maintenance reimbursement for residential CSE programs.
CFS-51-20-00003-P	12/23/21	Outlining the procedures for requesting and conducting criminal history disqualification reviews	Outlining the procedures for requesting and conducting criminal history disqualification reviews

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
CHILDREN AND FAMILY SERVICES, OFFICE OF			
CFS-03-21-00004-P	01/20/22	Implement rules re: release of original birth certificate or related identifying info. to adult adopted & other specific persons	Implement rules re: release of original birth certificate or related identifying info. to adult adopted & other specific persons
CIVIL SERVICE, DEPARTMENT OF			
*CVS-03-20-00003-P	04/29/21	Jurisdictional Classification	To classify positions in the non-competitive class
*CVS-03-20-00004-P	04/29/21	Jurisdictional Classification	To classify a position in the exempt class
*CVS-03-20-00005-P	04/29/21	Jurisdictional Classification	To delete positions from and classify positions in the non-competitive class
*CVS-03-20-00006-P	04/29/21	Jurisdictional Classification	To classify a position in the non-competitive class
*CVS-03-20-00007-P	04/29/21	Jurisdictional Classification	To delete positions from and classify positions in the exempt class
*CVS-06-20-00001-P	04/29/21	Jurisdictional Classification	To classify a position in the non-competitive class
*CVS-06-20-00002-P	04/29/21	Jurisdictional Classification	To classify a position in the exempt class
*CVS-06-20-00003-P	04/29/21	Jurisdictional Classification	To classify positions in the non-competitive class
*CVS-06-20-00004-P	04/29/21	Jurisdictional Classification	To classify positions in the non-competitive class
*CVS-06-20-00005-P	04/29/21	Jurisdictional Classification	To delete positions from the non-competitive class
*CVS-06-20-00006-P	04/29/21	Jurisdictional Classification	To delete positions from and classify positions in the non-competitive class
*CVS-06-20-00007-P	04/29/21	Jurisdictional Classification	To delete a position from and classify a position in the exempt class and to delete positions from the non-competitive class
*CVS-06-20-00008-P	04/29/21	Jurisdictional Classification	To delete a subheading and positions from and to classify a subheading and positions in the exempt and non-competitive classes
CVS-13-20-00002-P	04/29/21	Supplemental military leave benefits	To extend the availability of supplemental military leave benefits for certain New York State employees until December 31, 2020
CVS-13-20-00009-P	04/29/21	Jurisdictional Classification	To classify positions in the exempt class
CVS-13-20-00010-P	04/29/21	Jurisdictional Classification	To classify positions in the non-competitive class
CVS-13-20-00011-P	04/29/21	Jurisdictional Classification	To delete positions from the exempt class
CVS-13-20-00012-P	04/29/21	Jurisdictional Classification	To delete positions from the non-competitive class

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
CIVIL SERVICE, DEPARTMENT OF			
CVS-13-20-00013-P	04/29/21	Jurisdictional Classification	To classify a position in the non-competitive class
CVS-13-20-00014-P	04/29/21	Jurisdictional Classification	To classify positions in the exempt class
CVS-13-20-00015-P	04/29/21	Jurisdictional Classification	To delete positions from and classify positions in the non-competitive class
CVS-13-20-00016-P	04/29/21	Jurisdictional Classification	To classify positions in the exempt class
CVS-13-20-00017-P	04/29/21	Jurisdictional Classification	To classify positions in the exempt class
CVS-13-20-00018-P	04/29/21	Jurisdictional Classification	To classify a position in the exempt class
CVS-13-20-00019-P	04/29/21	Jurisdictional Classification	To classify positions in the exempt class
CVS-13-20-00020-P	04/29/21	Jurisdictional Classification	To delete positions in the non-competitive class
CVS-13-20-00021-P	04/29/21	Jurisdictional Classification	To classify positions in the non-competitive class
CVS-13-20-00022-P	04/29/21	Jurisdictional Classification	To classify a position in the non-competitive class
CVS-13-20-00023-P	04/29/21	Jurisdictional Classification	To delete positions from the non-competitive class
CVS-13-20-00024-P	04/29/21	Jurisdictional Classification	To classify positions in the exempt and the non-competitive classes.
CVS-13-20-00025-P	04/29/21	Jurisdictional Classification	To delete a position from and classify positions in the non-competitive class
CVS-18-20-00004-P	05/06/21	Jurisdictional Classification	To classify a position in the exempt class
CVS-18-20-00005-P	05/06/21	Jurisdictional Classification	To classify positions in the exempt class
CVS-18-20-00006-P	05/06/21	Jurisdictional Classification	To classify a position in the exempt class
CVS-18-20-00007-P	05/06/21	Jurisdictional Classification	To delete a position from and classify a position in the exempt class
CVS-18-20-00008-P	05/06/21	Jurisdictional Classification	To delete positions from and classify positions in the non-competitive class
CVS-18-20-00009-P	05/06/21	Jurisdictional Classification	To classify positions in the exempt class
CVS-18-20-00010-P	05/06/21	Jurisdictional Classification	To delete positions from the non-competitive class
CVS-24-20-00002-P	06/17/21	Jurisdictional Classification	To delete a position from and classify a position in the exempt class
CVS-24-20-00003-P	06/17/21	Jurisdictional Classification	To classify positions in the non-competitive class
CVS-24-20-00004-P	06/17/21	Jurisdictional Classification	To classify positions in the non-competitive class

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
CIVIL SERVICE, DEPARTMENT OF			
CVS-24-20-00005-P	06/17/21	Jurisdictional Classification	To classify a position in the non-competitive class
CVS-24-20-00006-P	06/17/21	Jurisdictional Classification	To classify positions in the exempt class
CVS-24-20-00007-P	06/17/21	Jurisdictional Classification	To classify positions in the non-competitive class
CVS-24-20-00008-P	06/17/21	Jurisdictional Classification	To classify positions in the non-competitive class
CVS-24-20-00009-P	06/17/21	Jurisdictional Classification	To classify positions in the non-competitive class
CVS-24-20-00010-P	06/17/21	Jurisdictional Classification	To classify positions in the non-competitive class
CVS-28-20-00004-P	07/15/21	Jurisdictional Classification	To classify positions in the exempt class
CVS-28-20-00005-P	07/15/21	Jurisdictional Classification	To classify a position in the exempt class
CVS-28-20-00006-P	07/15/21	Jurisdictional Classification	To classify a position in the exempt class
CVS-28-20-00007-P	07/15/21	Jurisdictional Classification	To delete a position from the exempt class
CVS-28-20-00008-P	07/15/21	Jurisdictional Classification	To delete positions from and classify positions in the non-competitive class
CVS-28-20-00009-P	07/15/21	Jurisdictional Classification	To classify a position in the non-competitive class
CVS-28-20-00010-P	07/15/21	Jurisdictional Classification	To classify positions in the non-competitive class.
CVS-28-20-00011-P	07/15/21	Jurisdictional Classification	To delete positions from and classify positions in the non-competitive class
CVS-28-20-00012-P	07/15/21	Jurisdictional Classification	To classify positions in the non-competitive class
CVS-32-20-00003-P	08/12/21	Jurisdictional Classification	To classify a position in the exempt class
CVS-32-20-00004-P	08/12/21	Jurisdictional Classification	To classify positions in the exempt and the non-competitive classes
CVS-32-20-00005-P	08/12/21	Jurisdictional Classification	To classify a position in the exempt class
CVS-32-20-00006-P	08/12/21	Jurisdictional Classification	To classify a position in the exempt class
CVS-41-20-00002-P	10/14/21	Jurisdictional Classification	To delete positions from and to classify a subheading and positions in the exempt class
CVS-41-20-00003-P	10/14/21	Jurisdictional Classification	To classify a position in the non-competitive class
CVS-41-20-00004-P	10/14/21	Jurisdictional Classification	To classify a position in the non-competitive class

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CIVIL SERVICE, DEPARTMENT OF			
CVS-41-20-00005-P	10/14/21	Jurisdictional Classification	To classify positions in the non-competitive class
CVS-41-20-00006-P	10/14/21	Jurisdictional Classification	To delete a position from and classify a position in the exempt class
CVS-41-20-00007-P	10/14/21	Jurisdictional Classification	To classify positions in the non-competitive class
CVS-49-20-00002-P	12/09/21	Jurisdictional Classification	To classify a position in the non-competitive class
CVS-49-20-00003-P	12/09/21	Jurisdictional Classification	To delete positions from and classify positions in the exempt class.
CVS-49-20-00004-P	12/09/21	Jurisdictional Classification	To classify positions in the exempt class.
CVS-49-20-00005-P	12/09/21	Jurisdictional Classification	To classify a position in the non-competitive class
CVS-02-21-00001-P	01/13/22	Jurisdictional Classification	To classify positions in the exempt class
CVS-02-21-00002-P	01/13/22	Jurisdictional Classification	To classify positions in the exempt class
CVS-02-21-00003-P	01/13/22	Jurisdictional Classification	To classify a position in the exempt class
CVS-06-21-00001-P	02/10/22	Jurisdictional Classification	To delete positions from and classify positions in the exempt class and to classify positions in the non-competitive class
CVS-06-21-00002-P	02/10/22	Jurisdictional Classification	To classify positions in the exempt class
CVS-06-21-00003-P	02/10/22	Jurisdictional Classification	To delete a position from and classify a position in the exempt class
CVS-06-21-00004-P	02/10/22	Jurisdictional Classification	To delete positions from the non-competitive class
CVS-06-21-00005-P	02/10/22	Jurisdictional Classification	To classify a position in the non-competitive class
CORRECTION, STATE COMMISSION OF			
CMC-04-21-00002-P	01/27/22	Ratio of toilet, sink, shower per youth in Specialized Secured Detention Facility for Older Youth	Amend the ratio of toilet, sink, and shower to coincide with Office of Children and Family Services regulations
CORRECTIONS AND COMMUNITY SUPERVISION, DEPARTMENT OF			
CCS-34-20-00001-P	08/26/21	Family Reunion Program	To clarify for logic and consistency, and make additional changes to the current Family Reunion Program
CRIMINAL JUSTICE SERVICES, DIVISION OF			
*CJS-30-19-00010-ERP	04/29/21	Use of Force	Set forth use of force reporting and recordkeeping procedures

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CRIMINAL JUSTICE SERVICES, DIVISION OF			
CJS-44-20-00002-P	11/04/21	Intake for Article 7 (PINS)	Update existing Rule to reflect services which will be performed by Probation departments
CJS-03-21-00005-P	01/20/22	Familial Search Policy and Unidentified Human Remains	Add unidentified human remains to familial searching for identification where the remains are those of a victim of a crime
ECONOMIC DEVELOPMENT, DEPARTMENT OF			
EDV-48-20-00001-P	12/02/21	Employee Training Incentive Program	To update the administrative processes for the ETIP program
EDUCATION DEPARTMENT			
*EDU-17-19-00008-P	04/29/21	To require study in language acquisition and literacy development of English language learners in certain teacher preparation	To ensure that newly certified teachers enter the workforce fully prepared to serve our ELL population
*EDU-27-19-00010-P	04/29/21	Substantially Equivalent Instruction for Nonpublic School Students	Provide guidance to local school authorities to assist them in fulfilling their responsibilities under the Compulsory Ed Law
EDU-11-20-00013-RP	04/29/21	Special Education Impartial Hearing Officers and the Special Education Due Process System Procedures	To address volume of special education due process complaints in the New York City due process system
EDU-20-20-00008-ERP	05/20/21	Addressing the COVID-19 Crisis	To provide flexibility for certain regulatory requirements in response to the COVID-19 crisis
EDU-30-20-00005-RP	07/29/21	Creating a Safety Net for the School Building Leader Assessment	To create a safety net for the School Building Leader Assessment
EDU-48-20-00003-P	12/02/21	Regional Bibliographic Data Bases and Interlibrary Resources Sharing Program	Update and clarify certain terminology related to the use of technology in libraries and to reflect new technologies.
EDU-52-20-00018-EP	12/30/21	Addressing the COVID-19 crisis	To address issues resulting from the COVID-19 crisis and to provide regulatory flexibility due to the COVID-19 crisis
EDU-52-20-00019-P	12/30/21	Requirements for Awarding the NYS Seal of Biliteracy	To update the requirements for awarding the NYS Seal of Biliteracy
EDU-52-20-00020-P	12/30/21	Content Core Requirement in Computer Science Teacher Preparation Programs	To make the content core requirement for the Computer Science certificate aligned with the NYS Computer Science Standards
EDU-52-20-00021-P	12/30/21	Conferral of the Degree of Doctor of Medicine (M.D.) by the Board of Regents	To conform the law with the statutory requirements for issuance of an M.D. to foreign-educated applicants
EDU-52-20-00022-P	12/30/21	Implementation of the Crown Act	To implement Chapter 95 of the Laws of 2019, known as the Crown Act
EDU-52-20-00023-EP	12/30/21	Instruction to prevent child sexual exploitation and child sexual abuse in grades K-8	Implementation of chapter 187 of the Laws of 2019

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
EDUCATION DEPARTMENT			
EDU-52-20-00024-P	12/30/21	Uniform Violent or Disruptive Incident Reporting (VADIR) System, Also Known as the School Safety and Educational Climate Report	Update the definitions of violent and disruptive incidents for purposes of the VADIR and update the School Violence Index
EDU-01-21-00002-EP	01/06/22	Addressing the COVID-19 crisis and planning for the reopening of schools	To provide regulatory flexibility due to the COVID-19 crisis and to plan for the reopening of schools
EDU-04-21-00009-EP	01/27/22	Designation of the Executive Deputy Commissioner as the Deputy Commissioner of Education as specified in Education Law § 101	To conform the Regents Rules to changes in the internal organization of the State Education Department
EDU-04-21-00010-P	01/27/22	The licensure of registered pharmacy technicians	To implement the provisions of Chapter 414 of the Laws of 2019 relating to the licensure of registered pharmacy technicians
EDU-08-21-00001-EP	02/24/22	Providing Flexibility Relating to Higher Education Regulatory Requirements in Response to the COVID-19 Crisis	To provide flexibility for certain higher education related regulatory requirements in response to the COVID-19 crisis
EDU-08-21-00002-P	02/24/22	The Definition of the Term “University”	To clarify and broaden the definition of the term “university”
ELECTIONS, STATE BOARD OF			
SBE-06-21-00015-EP	02/10/22	Related to establishing a cure process for absentee ballots	Establishes a cure process for absentee ballots
ENVIRONMENTAL CONSERVATION, DEPARTMENT OF			
*ENV-37-19-00003-RP	04/01/21	Clarifying determination of jurisdiction under the Endangered and Threatened Fish and Wildlife regulations	To improve the review of projects by removing some project types that are known not to cause harm from the review stream
ENV-33-20-00005-P	08/19/21	Repeal of Section 485.1	To remove outdated and redundant references in the Department’s regulations
ENV-47-20-00004-P	11/25/21	Inland trout stream fishing regulations	To revise and standardize inland trout stream fishing regulations
ENV-47-20-00005-P	11/25/21	Sportfishing (freshwater) and associated activities	To revise and simplify sportfishing regulations and associated activities
ENV-01-21-00003-P	01/06/22	Regulations governing recreational fishing for striped bass	To require circle hooks when fishing recreationally for striped bass using bait
ENV-03-21-00010-P	03/23/22	Application of Site-Specific Criteria to Class I and Class SD Waters	Add site-specific criteria to Class I and SD waters to provide additional water quality protection of the existing best uses
ENV-04-21-00007-P	03/30/22	Chlorpyrifos prohibition	Prohibit distribution, sale, purchase, possession, or use of pesticides that contain the active ingredient chlorpyrifos
ENV-04-21-00008-P	04/07/22	Food Donation and Food Scraps Recycling	Required by Title 22 of Article 27, the rule increases food donation and the recycling of food scraps through composting

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FINANCIAL SERVICES, DEPARTMENT OF			
*DFS-17-16-00003-P	exempt	Plan of Conversion by Commercial Travelers Mutual Insurance Company	To convert a mutual accident and health insurance company to a stock accident and health insurance company
*DFS-25-18-00006-P	exempt	Plan of Conversion by Medical Liabilty Mutual Insurance Company	To convert a mutual property and casualty insurance company to a stock property and casualty insurance company
*DFS-43-19-00017-P	04/29/21	Independent Dispute Resolution for Emergency Services and Surpsise Bills	To require notices and consumer disclosure information related to surprise bills and bills for emergency service to be provided
DFS-36-20-00007-P	09/09/21	Superintendent's Regulations: Information Subject to Confidential Treatment	Provide rules concerning publication or disclosure of information subject to confidential treatment
DFS-45-20-00007-P	11/10/21	Office of Pharmacy Benefits	To establish the Office of Pharmacy Benefits and rules for the Drug Accountability Board
DFS-49-20-00011-P	12/09/21	Credit for Reinsurance	To conform to covered agreements entered into between the US and EU and the US and UK, and implement NAIC models.
DFS-52-20-00001-P	12/30/21	Principle-Based Reserving	To prescribe minimum principle-based valuation standards
GAMING COMMISSION, NEW YORK STATE			
SGC-34-20-00009-P	08/26/21	Qualification time in harness racing	To improve harness pari-mutuel wagering and generate reasonable revenue for the support of government
SGC-50-20-00006-P	12/16/21	Participation in the management and operation of charitable games of chance	To maintain integrity and accountability in the management and operation of games of chance
SGC-50-20-00007-P	12/16/21	Contactless payment methods for chances in charitable gaming	To promote public health and support of organizations authorized to operate games of chance
HEALTH, DEPARTMENT OF			
*HLT-14-94-00006-P	exempt	Payment methodology for HIV/AIDS outpatient services	To expand the current payment to incorporate pricing for services
*HLT-36-19-00006-P	04/29/21	Limits on Executive Compensation	Removes "Soft Cap" prohibition on covered executive salaries.
*HLT-40-19-00004-P	04/29/21	Drug Take Back	To implement the State's drug take back program to provide for the safe disposal of drugs
*HLT-46-19-00003-P	04/29/21	Tanning Facilities	To prohibit the use of indoor tanning facilities by individuals less than 18 years of age
*HLT-47-19-00008-P	04/29/21	Hospital Medical Staff - Limited Permit Holders	To repeal extra years of training required for limited permit holders to work in New York State hospitals.

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HEALTH, DEPARTMENT OF			
*HLT-51-19-00001-P	04/29/21	Women, Infants and Children (WIC) Program	To support implementation of eWIC; clarify rules for violations, penalties & hearings & conform vendor authorization criteria.
*HLT-04-20-00003-P	04/29/21	Applied Behavior Analysis	To include Applied Behavior Analysis in the Early and Periodic Screening, Diagnosis, and Treatment (EPSDT) benefit.
*HLT-04-20-00011-P	04/29/21	Nursing Home Case Mix Rationalization	To authorize the Department of Health to change the case mix acuity process for all nursing homes.
HLT-11-20-00003-P	04/29/21	Adult Day Health Care (ADHC)	To allow for reimbursement of real property leases in certain situations when used for operations of an ADHC program
HLT-27-20-00006-P	07/08/21	Medicaid Managed Care State Fair Hearings and External Appeals Processes and Standards	To address & clarify rules of procedure & presentation of evidence for Medicaid managed care fair hearings & external appeals
HLT-28-20-00019-RP	07/15/21	Personal Care Services (PCS) and Consumer Directed Personal Assistance Program (CDPAP)	To implement a revised assessment process and eligibility criteria for PCS and CDPAP
HLT-31-20-00012-EP	exempt	Hospital Non-comparable Ambulance Acute Rate Add-on	Prevents duplicate claiming by Article 28 hospitals for the ambulance add-on regarding participation in the program
HLT-38-20-00006-P	09/23/21	Medicaid Transportation Program	Medicaid payment standards for emergency ambulance providers participating in an Emergency Triage, Treat & Transport (ET3) model
HLT-38-20-00008-ERP	09/23/21	Revise Requirements for Collection of Blood Components	To facilitate the availability of human blood components while maintaining safety
HLT-45-20-00002-P	11/10/21	Cannabinoid Hemp	To create a licensing framework for cannabinoid hemp processors and cannabinoid hemp retailers
HLT-05-21-00011-P	02/03/22	Ingredient Disclosures for Vapor Products and E-Cigarettes	To provide for enhanced public awareness of the chemicals used in vapor products and electronic cigarettes
HLT-06-21-00006-P	02/10/22	Name Change for the Physically Handicapped Children's Program (PHCP)	To change the name of the PHCP to Children and Youth with Special Health Care Needs Support Services Programs
HLT-07-21-00012-P	02/17/22	Rate Setting for Residential Habilitation in Community Residences and for Non-State Providers of Day Habilitation	To amend rate methodologies limiting payments to IRA providers to conform to provisions in approved waiver
HOMELAND SECURITY AND EMERGENCY SERVICES, DIVISION OF			
HES-08-21-00007-P	02/24/22	Minimum qualifications for certain fire chiefs	Minimum qualifications for fire chiefs subject to Civil Service Law Section 58-a and General Municipal Law section 204-dd

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HOUSING AND COMMUNITY RENEWAL, DIVISION OF			
*HCR-21-19-00019-P	04/29/21	Low-Income Housing Qualified Allocation Plan	To amend definitions, threshold criteria and application scoring for the allocation o flow-income housing tax credits.
HOUSING FINANCE AGENCY			
*HFA-21-19-00020-P	04/29/21	Low-Income Housing Qualified Allocation Plan	To amend definitions, threshold criteria and application scoring for the allocation of low-income housing tax credits
LABOR, DEPARTMENT OF			
*LAB-46-19-00004-P	04/01/21	NY State Public Employees Occupational Safety and Health Standards	To incorporate by reference updates to OSHA standards into the NY State Public Employee Occupational Safety and Health Standards
LAB-49-20-00012-P	12/09/21	Sick Leave Requirements	To provide definitions and standards for the sick leave requirements contained in Section 196-b of the Labor Law
LAB-05-21-00003-EP	02/03/22	Unemployment Insurance (UI) definition of “day of total unemployment”	To prevent an additional financial burden on UI claimants seeking part-time work opportunities and help employers obtain talent
LAKE GEORGE PARK COMMISSION			
LGP-29-20-00006-P	09/22/21	Amendment of Stormwater Regulations within the Lake George Park	To more adequately control and minimize the pollutants found in stormwater runoff from going into Lake George
LGP-43-20-00005-P	01/12/22	Stream corridor protection regulations for the Lake George Park	To establish permit requirements and standards for the protection of stream corridors in the Lake George Park
LAW, DEPARTMENT OF			
LAW-18-20-00002-P	05/06/21	Designation of a Privacy Officer	Removal of a named Privacy Officer., along with their contact information
LONG ISLAND POWER AUTHORITY			
*LPA-08-01-00003-P	exempt	Pole attachments and related matters	To approve revisions to the authority’s tariff
*LPA-41-02-00005-P	exempt	Tariff for electric service	To revise the tariff for electric service
*LPA-04-06-00007-P	exempt	Tariff for electric service	To adopt provisions of a ratepayer protection plan
*LPA-03-10-00004-P	exempt	Residential late payment charges	To extend the application of late payment charges to residential customers
*LPA-15-18-00013-P	exempt	Outdoor area lighting	To add an option and pricing for efficient LED lamps to the Authority’s outdoor area lighting
*LPA-37-18-00013-P	exempt	The net energy metering provisions of the Authority’s Tariff for Electric Service	To implement PSC guidance increasing eligibility for value stack compensation to larger projects

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LONG ISLAND POWER AUTHORITY			
*LPA-37-18-00017-P	exempt	The treatment of electric vehicle charging in the Authority's Tariff for Electric Service.	To effectuate the outcome of the Public Service Commission's proceeding on electric vehicle supply equipment.
*LPA-37-18-00018-P	exempt	The treatment of energy storage in the Authority's Tariff for Electric Service.	To effectuate the outcome of the Public Service Commission's proceeding on the NY Energy Storage Roadmap.
LPA-09-20-00010-P	exempt	To update and implement latest requirements for ESCOs proposing to do business within the Authority's service territory.	To strengthen customer protections and be consistent with Public Service Commission orders on retail energy markets.
LPA-28-20-00033-EP	exempt	LIPA's late payment charges, reconnection charges, and low-income customer discount enrollment	To allow waiver of late payment and reconnection charges and extend the grace period for re-enrolling in customer bill discounts
LPA-37-20-00013-EP	exempt	The terms of deferred payment agreements available to LIPA's commercial customers	To expand eligibility for and ease the terms of deferred payment agreements for LIPA's commercial customers
LONG ISLAND RAILROAD COMPANY			
LIR-39-20-00005-ERP	09/30/21	Requiring wearing masks over the nose and mouth when using terminals, stations, and trains operated by Long Island Rail Road	To safeguard the public health and safety on terminals, stations and trains operated by Long Island Rail Road
MANHATTAN AND BRONX SURFACE TRANSIT OPERATING AUTHORITY			
MBA-39-20-00007-EP	09/30/21	Requiring mask wearing covering the nose and mouth when using facilities and conveyances operated by the MaBSTOA	To safeguard the public health and safety by amending existing rules to require use of masks when using the transit system
MENTAL HEALTH, OFFICE OF			
OMH-42-20-00011-EP	10/21/21	Comprehensive Psychiatric Emergency Programs	To provide clarify and provide uniformity relating to CPEPs and to implement Chapter 58 of the Laws of 2020
METRO-NORTH COMMUTER RAILROAD			
MCR-39-20-00004-EP	09/30/21	Requiring mask wearing covering the nose and mouth when using terminals, stations, and trains operated by Metro-North Railroad	To safeguard the public health and safety by amending the rules to require use of masks when using Metro-North facilities
METROPOLITAN TRANSPORTATION AGENCY			
MTA-39-20-00009-EP	09/30/21	Requiring mask wearing covering the nose and mouth when using the facilities and conveyances operated by MTA Bus Company	To safeguard the public health and safety by amending rules to require use of masks when using MTA Bus facilities and conveyance
NEW YORK CITY TRANSIT AUTHORITY			
NTA-39-20-00006-EP	09/30/21	Requiring mask wearing covering the nose and mouth when using facilities and conveyances operated by NYC Transit Authority	To safeguard the public health and safety by amending existing rules to require use of masks when using the transit system

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NIAGARA FALLS WATER BOARD			
*NFW-04-13-00004-EP	exempt	Adoption of Rates, Fees and Charges	To pay for the increased costs necessary to operate, maintain and manage the system, and to achieve covenants with bondholders
*NFW-13-14-00006-EP	exempt	Adoption of Rates, Fees and Charges	To pay for increased costs necessary to operate, maintain and manage the system and to achieve covenants with the bondholders
NFW-03-21-00003-EP	exempt	Adoption of Rates, Fees and Charges	To pay for increased costs necessary to operate, maintain, and manage the system, and to meet covenants with the bondholders
NIAGARA FRONTIER TRANSPORTATION AUTHORITY			
NFT-39-20-00023-P	09/30/21	Procurement Guidelines of the Niagara Frontier Transportation Authority and Niagara Frontier Transit Metro System, Inc	To amend procurement guidelines to reflect changes in law and clarifying language
OGDENSBURG BRIDGE AND PORT AUTHORITY			
*OBA-33-18-00019-P	exempt	Increase in Bridge Toll Structure	To increase bridge toll revenue in order to become financially self-supporting. Our bridge operations are resulting in deficit.
*OBA-07-19-00019-P	exempt	Increase in Bridge Toll Structure	To increase bridge toll revenue in order to become financially self-supporting. Our bridge operations are resulting in deficit
PARKS, RECREATION AND HISTORIC PRESERVATION, OFFICE OF			
PKR-04-21-00005-P	01/27/22	Fees and charges for the use of State parks, parkways, historic sites and recreational facilities	To repeal outdated fees and charges that are not required to be posted in regulation
PEOPLE WITH DEVELOPMENTAL DISABILITIES, OFFICE FOR			
PDD-37-20-00004-ERP	09/16/21	Day Habilitation Duration	to help providers maintain capacity to operate during the public health emergency
PDD-02-21-00005-EP	01/13/22	Medical Consent	To assist providers in administering the COVID-19 vaccine
PDD-04-21-00001-P	01/27/22	Annual Prevocational Assessment	To allow such assessments to be conducted at a location specified by OPWDD
PDD-04-21-00006-P	01/27/22	Medication regimen review	Make technical corrections to align with current regulation allowing for an annual medication regimen review or more frequently
PDD-07-21-00003-P	02/17/22	Reimbursement of waiver services	To conform OPWDD waiver services to the federally approved waiver agreement
POWER AUTHORITY OF THE STATE OF NEW YORK			
*PAS-01-10-00010-P	exempt	Rates for the sale of power and energy	Update ECSB Programs customers' service tariffs to streamline them/include additional required information

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PUBLIC SERVICE COMMISSION			
*PSC-09-99-00012-P	..... exempt	Transfer of books and records by Citizens Utilities Company	To relocate Ogden Telephone Company's books and records out-of-state
*PSC-15-99-00011-P	..... exempt	Electronic tariff by Woodcliff Park Corp.	To replace the company's current tariff with an electronic tariff
*PSC-12-00-00001-P	..... exempt	Winter bundled sales service election date by Central Hudson Gas & Electric Corporation	To revise the date
*PSC-44-01-00005-P	..... exempt	Annual reconciliation of gas costs by Corning Natural Gas Corporation	To authorize the company to include certain gas costs
*PSC-07-02-00032-P	..... exempt	Uniform business practices	To consider modification
*PSC-36-03-00010-P	..... exempt	Performance assurance plan by Verizon New York	To consider changes
*PSC-40-03-00015-P	..... exempt	Receipt of payment of bills by St. Lawrence Gas Company	To revise the process
*PSC-41-03-00010-P	..... exempt	Annual reconciliation of gas expenses and gas cost recoveries	To consider filings of various LDCs and municipalities
*PSC-41-03-00011-P	..... exempt	Annual reconciliation of gas expenses and gas cost recoveries	To consider filings of various LDCs and municipalities
*PSC-44-03-00009-P	..... exempt	Retail access data between jurisdictional utilities	To accommodate changes in retail access market structure or commission mandates
*PSC-02-04-00008-P	..... exempt	Delivery rates for Con Edison's customers in New York City and Westchester County by the City of New York	To rehear the Nov. 25, 2003 order
*PSC-06-04-00009-P	..... exempt	Transfer of ownership interest by SCS Energy LLC and AE Investors LLC	To transfer interest in Steinway Creek Electric Generating Company LLC to AE Investors LLC
*PSC-10-04-00005-P	..... exempt	Temporary protective order	To consider adopting a protective order
*PSC-10-04-00008-P	..... exempt	Interconnection agreement between Verizon New York Inc. and VIC-RMTS-DC, L.L.C. d/b/a Verizon Avenue	To amend the agreement
*PSC-14-04-00008-P	..... exempt	Submetering of natural gas service to industrial and commercial customers by Hamburg Fairgrounds	To submeter gas service to commercial customers located at the Buffalo Speedway
*PSC-15-04-00022-P	..... exempt	Submetering of electricity by Glenn Gardens Associates, L.P.	To permit submetering at 175 W. 87th St., New York, NY
*PSC-21-04-00013-P	..... exempt	Verizon performance assurance plan by Metropolitan Telecommunications	To clarify the appropriate performance level
*PSC-22-04-00010-P	..... exempt	Approval of new types of electricity meters by Powell Power Electric Company	To permit the use of the PE-1250 electronic meter
*PSC-22-04-00013-P	..... exempt	Major gas rate increase by Consolidated Edison Company of New York, Inc.	To increase annual gas revenues
*PSC-22-04-00016-P	..... exempt	Master metering of water by South Liberty Corporation	To waive the requirement for installation of separate water meters

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PUBLIC SERVICE COMMISSION			
*PSC-25-04-00012-P	exempt	Interconnection agreement between Frontier Communications of Ausable Valley, Inc., et al. and Sprint Communications Company, L.P.	To amend the agreement
*PSC-27-04-00008-P	exempt	Interconnection agreement between Verizon New York Inc. and various Verizon wireless affiliates	To amend the agreement
*PSC-27-04-00009-P	exempt	Interconnection agreement between Verizon New York Inc. and various Verizon wireless affiliates	To amend the agreement
*PSC-28-04-00006-P	exempt	Approval of loans by Dunkirk & Fredonia Telephone Company and Cassadaga Telephone Corporation	To authorize participation in the parent corporation's line of credit
*PSC-31-04-00023-P	exempt	Distributed generation service by Consolidated Edison Company of New York, Inc.	To provide an application form
*PSC-34-04-00031-P	exempt	Flat rate residential service by Emerald Green Lake Louise Marie Water Company, Inc.	To set appropriate level of permanent rates
*PSC-35-04-00017-P	exempt	Application form for distributed generation by Orange and Rockland Utilities, Inc.	To establish a new supplementary application form for customers
*PSC-43-04-00016-P	exempt	Accounts recievable by Rochester Gas and Electric Corporation	To include in its tariff provisions for the purchase of ESCO accounts recievable
*PSC-46-04-00012-P	exempt	Service application form by Consolidated Edison Company of New York, Inc.	To revise the form and make housekeeping changes
*PSC-46-04-00013-P	exempt	Rules and guidelines governing installation of metering equipment	To establish uniform statewide business practices
*PSC-02-05-00006-P	exempt	Violation of the July 22, 2004 order by Dutchess Estates Water Company, Inc.	To consider imposing remedial actions against the company and its owners, officers and directors
*PSC-09-05-00009-P	exempt	Submetering of natural gas service by Hamlet on Olde Oyster Bay	To consider submetering of natural gas to a commercial customer
*PSC-14-05-00006-P	exempt	Request for deferred accounting authorization by Freeport Electric Inc.	To defer expenses beyond the end of the fiscal year
*PSC-18-05-00009-P	exempt	Marketer Assignment Program by Consolidated Edison Company of New York, Inc.	To implement the program
*PSC-20-05-00028-P	exempt	Delivery point aggregation fee by Allied Frozen Storage, Inc.	To review the calculation of the fee
*PSC-25-05-00011-P	exempt	Metering, balancing and cashout provisions by Central Hudson Gas & Electric Corporation	To establish provisions for gas customers taking service under Service Classification Nos. 8, 9 and 11
*PSC-27-05-00018-P	exempt	Annual reconciliation of gas costs by New York State Electric & Gas Corporation	To consider the manner in which the gas cost incentive mechanism has been applied

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
*PSC-41-05-00013-P	exempt	Annual reconciliation of gas expenses and gas cost recoveries by local distribution companies and municipalities	To consider the filings
*PSC-45-05-00011-P	exempt	Treatment of lost and unaccounted gas costs by Corning Natural Gas Corporation	To defer certain costs
*PSC-46-05-00015-P	exempt	Sale of real and personal property by the Brooklyn Union Gas Company d/b/a KeySpan Energy Delivery New York and Steel Arrow, LLC	To consider the sale
*PSC-47-05-00009-P	exempt	Transferral of gas supplies by Corning Natural Gas Corporation	To approve the transfer
*PSC-50-05-00008-P	exempt	Long-term debt by Saratoga Glen Hollow Water Supply Corp.	To obtain long-term debt
*PSC-04-06-00024-P	exempt	Transfer of ownership interests by Mirant NY-Gen LLC and Orange and Rockland Utilities, Inc.	To approve of the transfer
*PSC-06-06-00015-P	exempt	Gas curtailment policies and procedures	To examine the manner and extent to which gas curtailment policies and procedures should be modified and/or established
*PSC-07-06-00009-P	exempt	Modification of the current Environmental Disclosure Program	To include an attributes accounting system
*PSC-22-06-00019-P	exempt	Hourly pricing by National Grid	To assess the impacts
*PSC-22-06-00020-P	exempt	Hourly pricing by New York State Electric & Gas Corporation	To assess the impacts
*PSC-22-06-00021-P	exempt	Hourly pricing by Rochester Gas & Electric Corporation	To assess the impacts
*PSC-22-06-00022-P	exempt	Hourly pricing by Consolidated Edison Company of New York, Inc.	To assess the impacts
*PSC-22-06-00023-P	exempt	Hourly pricing by Orange and Rockland Utilities, Inc.	To assess the impacts
*PSC-24-06-00005-EP	exempt	Supplemental home energy assistance benefits	To extend the deadline to Central Hudson's low-income customers
*PSC-25-06-00017-P	exempt	Purchased power adjustment by Massena Electric Department	To revise the method of calculating the purchased power adjustment and update the factor of adjustment
*PSC-34-06-00009-P	exempt	Inter-carrier telephone service quality standards and metrics by the Carrier Working Group	To incorporate appropriate modifications
*PSC-37-06-00015-P	exempt	Procedures for estimation of customer bills by Rochester Gas and Electric Corporation	To consider estimation procedures
*PSC-37-06-00017-P	exempt	Procedures for estimation of customer bills by Rochester Gas and Electric Corporation	To consider estimation procedures

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
*PSC-43-06-00014-P	exempt	Electric delivery services by Strategic Power Management, Inc.	To determine the proper mechanism for the rate-recovery of costs
*PSC-04-07-00012-P	exempt	Petition for rehearing by Orange and Rockland Utilities, Inc.	To clarify the order
*PSC-06-07-00015-P	exempt	Meter reading and billing practices by Central Hudson Gas & Electric Corporation	To continue current meter reading and billing practices for electric service
*PSC-06-07-00020-P	exempt	Meter reading and billing practices by Central Hudson Gas & Electric Corporation	To continue current meter reading and billing practices for gas service
*PSC-11-07-00010-P	exempt	Investigation of the electric power outages by the Consolidated Edison Company of New York, Inc.	To implement the recommendations in the staff's investigation
*PSC-11-07-00011-P	exempt	Storm-related power outages by Consolidated Edison Company of New York, Inc.	To modify the company's response to power outages, the timing for any such changes and other related matters
*PSC-17-07-00008-P	exempt	Interconnection agreement between Verizon New York Inc. and BridgeCom International, Inc.	To amend the agreement
*PSC-18-07-00010-P	exempt	Existing electric generating stations by Independent Power Producers of New York, Inc.	To repower and upgrade existing electric generating stations owned by Rochester Gas and Electric Corporation
*PSC-20-07-00016-P	exempt	Tariff revisions and making rates permanent by New York State Electric & Gas Corporation	To seek rehearing
*PSC-21-07-00007-P	exempt	Natural Gas Supply and Acquisition Plan by Corning Natural Gas Corporation	To revise the rates, charges, rules and regulations for gas service
*PSC-22-07-00015-P	exempt	Demand Side Management Program by Consolidated Edison Company of New York, Inc.	To recover incremental program costs and lost revenue
*PSC-23-07-00022-P	exempt	Supplier, transportation, balancing and aggregation service by National Fuel Gas Distribution Corporation	To explicitly state in the company's tariff that the threshold level of elective upstream transmission capacity is a maximum of 112,600 Dth/day of marketer-provided upstream capacity
*PSC-24-07-00012-P	exempt	Gas Efficiency Program by the City of New York	To consider rehearing a decision establishing a Gas Efficiency Program
*PSC-39-07-00017-P	exempt	Gas bill issuance charge by New York State Electric & Gas Corporation	To create a gas bill issuance charge unbundled from delivery rates
*PSC-41-07-00009-P	exempt	Submetering of electricity rehearing	To seek reversal
*PSC-42-07-00012-P	exempt	Energy efficiency program by Orange and Rockland Utilities, Inc.	To consider any energy efficiency program for Orange and Rockland Utilities, Inc.'s electric service
*PSC-42-07-00013-P	exempt	Revenue decoupling by Orange and Rockland Utilities, Inc.	To consider a revenue decoupling mechanism for Orange and Rockland Utilities, Inc.
*PSC-45-07-00005-P	exempt	Customer incentive programs by Orange and Rockland Utilities, Inc.	To establish a tariff provision

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
*PSC-02-08-00006-P	exempt	Additional central office codes in the 315 area code region	To consider options for making additional codes
*PSC-03-08-00006-P	exempt	Rehearing of the accounting determinations	To grant or deny a petition for rehearing of the accounting determinations
*PSC-04-08-00010-P	exempt	Granting of easement rights on utility property by Central Hudson Gas & Electric Corporation	To grant easement rights to Millennium Pipeline Company, L.L.C.
*PSC-04-08-00012-P	exempt	Marketing practices of energy service companies by the Consumer Protection Board and New York City Department of Consumer Affairs	To consider modifying the commission's regulation over marketing practices of energy service companies
*PSC-08-08-00016-P	exempt	Transfer of ownership by Entergy Nuclear Fitzpatrick LLC, et al.	To consider the transfer
*PSC-12-08-00019-P	exempt	Extend the provisions of the existing electric rate plan by Rochester Gas and Electric Corporation	To consider the request
*PSC-12-08-00021-P	exempt	Extend the provisions of the existing gas rate plan by Rochester Gas and Electric Corporation	To consider the request
*PSC-13-08-00011-P	exempt	Waiver of commission policy and NYSEG tariff by Turner Engineering, PC	To grant or deny Turner's petition
*PSC-13-08-00012-P	exempt	Voltage drops by New York State Electric & Gas Corporation	To grant or deny the petition
*PSC-23-08-00008-P	exempt	Petition requesting rehearing and clarification of the commission's April 25, 2008 order denying petition of public utility law project	To consider whether to grant or deny, in whole or in part, the May 7, 2008 Public Utility Law Project (PULP) petition for rehearing and clarification of the commission's April 25, 2008 order denying petition of Public Utility Law Project
*PSC-25-08-00007-P	exempt	Policies and procedures regarding the selection of regulatory proposals to meet reliability needs	To establish policies and procedures regarding the selection of regulatory proposals to meet reliability needs
*PSC-25-08-00008-P	exempt	Report on Callable Load Opportunities	Rider U report assessing callable load opportunities in New York City and Westchester County during the next 10 years
*PSC-28-08-00004-P	exempt	Con Edison's procedure for providing customers access to their account information	To consider Con Edison's implementation plan and timetable for providing customers access to their account information
*PSC-31-08-00025-P	exempt	Recovery of reasonable DRS costs from the cost mitigation reserve (CMR)	To authorize recovery of the DRS costs from the CMR
*PSC-32-08-00009-P	exempt	The ESCO referral program for KEDNY to be implemented by October 1, 2008	To approve, reject or modify, in whole or in part, KEDNY's recommended ESCO referral program
*PSC-33-08-00008-P	exempt	Noble Allegany's request for lightened regulation	To consider Noble Allegany's request for lightened regulation as an electric corporation
*PSC-36-08-00019-P	exempt	Land Transfer in the Borough of Manhattan, New York	To consider petition for transfer of real property to NYPH

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
*PSC-39-08-00010-P	exempt	RG&E's economic development plan and tariffs	Consideration of the approval of RG&E's economic development plan and tariffs
*PSC-40-08-00010-P	exempt	Loans from regulated company to its parent	To determine if the cash management program resulting in loans to the parent should be approved
*PSC-41-08-00009-P	exempt	Transfer of control of cable TV franchise	To determine if the transfer of control of Margaretville's cable TV subsidiary should be approved
*PSC-43-08-00014-P	exempt	Annual Reconcilliation of Gas Expenses and Gas Cost Recoveries	The filings of various LDCs and municipalities regarding their Annual Reconciliation of Gas Expenses and Gas Cost Recoveries
*PSC-46-08-00008-P	exempt	Property transfer in the Village of Avon, New York	To consider a petition for the transfer of street lighting and attached equipment to the Village of Avon, New York
*PSC-46-08-00010-P	exempt	A transfer of indirect ownership interests in nuclear generation facilities	Consideration of approval of a transfer of indirect ownership interests in nuclear generation facilities
*PSC-46-08-00014-P	exempt	The attachment of cellular antennae to an electric transmission tower	To approve, reject or modify the request for permission to attach cellular antennae to an electric transmission tower
*PSC-48-08-00005-P	exempt	A National Grid high efficiency gas heating equipment rebate program	To expand eligibility to customers converting from oil to natural gas
*PSC-48-08-00008-P	exempt	Petition for the master metering and submetering of electricity	To consider the request of Bay City Metering, to master meter & submeter electricity at 345 E. 81st St., New York, New York
*PSC-48-08-00009-P	exempt	Petition for the submetering of electricity	To consider the request of PCV/ST to submeter electricity at Peter Cooper Village & Stuyvesant Town, New York, New York
*PSC-50-08-00018-P	exempt	Market Supply Charge	A study on the implementation of a revised Market Supply Charge
*PSC-51-08-00006-P	exempt	Commission's October 27, 2008 Order on Future of Retail Access Programs in Case 07-M-0458	To consider a Petition for rehearing of the Commission's October 27, 2008 Order in Case 07-M-0458
*PSC-51-08-00007-P	exempt	Commission's October 27, 2008 Order in Cases 98-M-1343, 07-M-1514 and 08-G-0078	To consider Petitions for rehearing of the Commission's October 27, 2008 Order in Cases 98-M-1343, 07-M-1514 and 08-G-0078
*PSC-53-08-00011-P	exempt	Use of deferred Rural Telephone Bank funds	To determine if the purchase of a softswitch by Hancock is an appropriate use of deferred Rural Telephone Bank funds
*PSC-53-08-00012-P	exempt	Transfer of permanent and temporary easements at 549-555 North Little Tor Road, New City, NY	Transfer of permanent and temporary easements at 549-555 North Little Tor Road, New City, NY
*PSC-53-08-00013-P	exempt	To transfer common stock and ownership	To consider transfer of common stock and ownership
*PSC-01-09-00015-P	exempt	FCC decision to redefine service area of Citizens/Frontier	Review and consider FCC proposed redefinition of Citizens/Frontier service area

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PUBLIC SERVICE COMMISSION			
*PSC-02-09-00010-P	exempt	Competitive classification of independent local exchange company, and regulatory relief appropriate thereto	To determine if Chazy & Westport Telephone Corporation more appropriately belongs in scenario 1 rather than scenario 2
*PSC-05-09-00008-P	exempt	Revenue allocation, rate design, performance metrics, and other non-revenue requirement issues	To consider any remaining non-revenue requirement issues related to the Company's May 9, 2008 tariff filing
*PSC-05-09-00009-P	exempt	Numerous decisions involving the steam system including cost allocation, energy efficiency and capital projects	To consider the long term impacts on steam rates and on public policy of various options concerning the steam system
*PSC-06-09-00007-P	exempt	Interconnection of the networks between Frontier Comm. and WVT Communications for local exchange service and exchange access	To review the terms and conditions of the negotiated agreement between Frontier Comm. and WVT Comm.
*PSC-07-09-00015-P	exempt	Transfer certain utility assets located in the Town of Montgomery from plant held for future use to non-utility property	To consider the request to transfer certain utility assets located in the Town of Montgomery to non-utility assets
*PSC-07-09-00017-P	exempt	Request for authorization to defer the incremental costs incurred in the restoration work resulting from the ice storm	To allow the company to defer the incremental costs incurred in the restoration work resulting from the ice storm
*PSC-07-09-00018-P	exempt	Whether to permit the submetering of natural gas service to an industrial and commercial customer at Cooper Union, New York, NY	To consider the request of Cooper Union, to submeter natural gas at 41 Cooper Square, New York, New York
*PSC-12-09-00010-P	exempt	Charges for commodity	To charge customers for commodity costs
*PSC-12-09-00012-P	exempt	Charges for commodity	To charge customers for commodity costs
*PSC-13-09-00008-P	exempt	Options for making additional central office codes available in the 718/347 numbering plan area	To consider options for making additional central office codes available in the 718/347 numbering plan area
*PSC-14-09-00014-P	exempt	The regulation of revenue requirements for municipal utilities by the Public Service Commission	To determine whether the regulation of revenue requirements for municipal utilities should be modified
*PSC-16-09-00010-P	exempt	Petition for the submetering of electricity	To consider the request of AMPS on behalf of Park Imperial to submeter electricity at 230 W. 56th Street, in New York, New York
*PSC-16-09-00020-P	exempt	Whether SUNY's core accounts should be exempt from the mandatory assignment of local distribution company (LDC) capacity	Whether SUNY's core accounts should be exempt from the mandatory assignment of local distribution company (LDC) capacity
*PSC-17-09-00010-P	exempt	Whether to permit the use of Elster REX2 solid state electric meter for use in residential and commerical accounts	To permit electric utilities in New York State to use the Elster REX2
*PSC-17-09-00011-P	exempt	Whether Brooklyn Navy Yard Cogeneration Partners, L.P. should be reimbursed by Con Edison for past and future use taxes	Whether Brooklyn Navy Yard Cogeneration Partners, L.P. should be reimbursed by Con Edison for past and future use taxes
*PSC-17-09-00012-P	exempt	Petition for the submetering of gas at commercial property	To consider the request of Turner Construction, to submeter natural gas at 550 Short Ave., & 10 South St., Governors Island, NY

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
*PSC-17-09-00014-P	exempt	Benefit-cost framework for evaluating AMI programs prepared by the DPS Staff	To consider a benefit-cost framework for evaluating AMI programs prepared by the DPS Staff
*PSC-17-09-00015-P	exempt	The construction of a tower for wireless antennas on land owned by National Grid	To approve, reject or modify the petition to build a tower for wireless antennas in the Town of Onondaga
*PSC-18-09-00012-P	exempt	Petition for rehearing of Order approving the submetering of electricity	To consider the request of Frank Signore to rehear petition to submeter electricity at One City Place in White Plains, New York
*PSC-18-09-00013-P	exempt	Petition for the submetering of electricity	To consider the request of Living Opportunities of DePaul to submeter electricity at E. Main St. located in Batavia, New York
*PSC-18-09-00017-P	exempt	Approval of an arrangement for attachment of wireless antennas to the utility's transmission facilities in the City of Yonkers	To approve, reject or modify the petition for the existing wireless antenna attachment to the utility's transmission tower
*PSC-20-09-00016-P	exempt	The recovery of, and accounting for, costs associated with the Companies' advanced metering infrastructure (AMI) pilots etc	To consider a filing of the Companies as to the recovery of, and accounting for, costs associated with it's AMI pilots etc
*PSC-20-09-00017-P	exempt	The recovery of, and accounting for, costs associated with CHG&E's AMI pilot program	To consider a filing of CHG&E as to the recovery of, and accounting for, costs associated with it's AMI pilot program
*PSC-22-09-00011-P	exempt	Cost allocation for Consolidated Edison's East River Repowering Project	To determine whether any changes are warranted in the cost allocation of Consolidated Edison's East River Repowering Project
*PSC-25-09-00005-P	exempt	Whether to grant, deny, or modify, in whole or in part, the petition	Whether to grant, deny, or modify, in whole or in part, the petition
*PSC-25-09-00006-P	exempt	Electric utility implementation plans for proposed web based SIR application process and project status database	To determine if the proposed web based SIR systems are adequate and meet requirements needed for implementation
*PSC-25-09-00007-P	exempt	Electric rates for Consolidated Edison Company of New York, Inc	Consider a Petition for Rehearing filed by Consolidated Edison Company of New York, Inc
*PSC-27-09-00011-P	exempt	Interconnection of the networks between Vernon and tw telecom of new york l.p. for local exchange service and exchange access.	To review the terms and conditions of the negotiated agreement between Vernon and tw telecom of new york l.p.
*PSC-27-09-00014-P	exempt	Billing and payment for energy efficiency measures through utility bill	To promote energy conservation
*PSC-27-09-00015-P	exempt	Interconnection of the networks between Oriskany and tw telecom of new york l.p. for local exchange service and exchange access	To review the terms and conditions of the negotiated agreement between Oriskany and tw telecom of new york l.p
*PSC-29-09-00011-P	exempt	Consideration of utility compliance filings	Consideration of utility compliance filings
*PSC-32-09-00009-P	exempt	Cost allocation for Consolidated Edison's East River Repowering Project	To determine whether any changes are warranted in the cost allocation of Consolidated Edison's East River Repowering Project

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
*PSC-34-09-00016-P	exempt	Recommendations made in the Management Audit Final Report	To consider whether to take action or recommendations contained in the Management Audit Final Report
*PSC-34-09-00017-P	exempt	To consider the transfer of control of Plattsburgh Cablevision, Inc. d/b/a Charter Communications to CH Communications, LLC	To allow the Plattsburgh Cablevision, Inc. to distribute its equity interest in CH Communications, LLC
*PSC-36-09-00008-P	exempt	The increase in the non-bypassable charge implemented by RG&E on June 1, 2009	Considering exemptions from the increase in the non-bypassable charge implemented by RG&E on June 1, 2009
*PSC-37-09-00015-P	exempt	Sale of customer-generated steam to the Con Edison steam system	To establish a mechanism for sale of customer-generated steam to the Con Edison steam system
*PSC-37-09-00016-P	exempt	Applicability of electronic signatures to Deferred Payment Agreements	To determine whether electronic signatures can be accepted for Deferred Payment Agreements
*PSC-39-09-00015-P	exempt	Modifications to the $5 Bill Credit Program	Consideration of petition of National Grid to modify the Low Income $5 Bill Credit Program
*PSC-39-09-00018-P	exempt	The offset of deferral balances with Positive Benefit Adjustments	To consider a petition to offset deferral balances with Positive Benefit Adjustments
*PSC-40-09-00013-P	exempt	Uniform System of Accounts - request for deferral and amortization of costs	To consider a petition to defer and amortize costs
*PSC-51-09-00029-P	exempt	Rules and guidelines for the exchange of retail access data between jurisdictional utilities and eligible ESCOs	To revise the uniform Electronic Data Interchange Standards and business practices to incorporate a contest period
*PSC-51-09-00030-P	exempt	Waiver or modification of Capital Expenditure condition of merger	To allow the companies to expend less funds for capital improvement than required by the merger
*PSC-52-09-00006-P	exempt	ACE's petition for rehearing for an order regarding generator-specific energy deliverability study methodology	To consider whether to change the Order Prescribing Study Methodology
*PSC-52-09-00008-P	exempt	Approval for the New York Independent System Operator, Inc. to incur indebtedness and borrow up to $50,000,000	To finance the renovation and construction of the New York Independent System Operator, Inc.'s power control center facilities
*PSC-05-10-00008-P	exempt	Petition for the submetering of electricity	To consider the request of University Residences - Rochester, LLC to submeter electricity at 220 John Street, Henrietta, NY
*PSC-05-10-00015-P	exempt	Petition for the submetering of electricity	To consider the request of 243 West End Avenue Owners Corp. to submeter electricity at 243 West End Avenue, New York, NY
*PSC-06-10-00022-P	exempt	The Commission's Order of December 17, 2009 related to redevelopment of Consolidated Edison's Hudson Avenue generating facility	To reconsider the Commission's Order of December 17, 2009 related to redevelopment of the Hudson Avenue generating facility
*PSC-07-10-00009-P	exempt	Petition to revise the Uniform Business Practices	To consider the RESA petition to allow rescission of a customer request to return to full utility service

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
*PSC-08-10-00007-P	exempt	Whether to grant, deny, or modify , in whole or in part, the rehearing petition filed in Case 06-E-0847	Whether to grant, deny, or modify , in whole or in part, the rehearing petition filed in Case 06-E-0847
*PSC-08-10-00009-P	exempt	Consolidated Edison of New York, Inc. energy efficiency programs	To modify approved energy efficiency programs
*PSC-12-10-00015-P	exempt	Recommendations made by Staff intended to enhance the safety of Con Edison's gas operations	To require that Con Edison implement the Staff recommendations intended to enhance the safety of Con Edison's gas operations
*PSC-14-10-00010-P	exempt	Petition for the submetering of electricity	To consider the request of 61 Jane Street Owners Corporation to submeter Electricity at 61 Jane Street, Manhattan, NY
*PSC-16-10-00005-P	exempt	To consider adopting and expanding mobile stray voltage testing requirements	Adopt additional mobile stray voltage testing requirements
*PSC-16-10-00007-P	exempt	Interconnection of the networks between TDS Telecom and PAETEC Communications for local exchange service and exchange access	To review the terms and conditions of the negotiated agreement between TDS Telecom and PAETEC Communications
*PSC-16-10-00015-P	exempt	Interconnection of the networks between Frontier and Choice One Communications for local exchange service and exchange access	To review the terms and conditions of the negotiated agreement between Frontier and Choice One Communications
*PSC-18-10-00009-P	exempt	Electric utility transmission right-of-way management practices	To consider electric utility transmission right-of-way management practices
*PSC-19-10-00022-P	exempt	Whether National Grid should be permitted to transfer a parcel of property located at 1 Eddy Street, Fort Edward, New York	To decide whether to approve National Grid's request to transfer a parcel of vacant property in Fort Edward, New York
*PSC-22-10-00006-P	exempt	Requirement that Noble demonstrate that its affiliated electric corporations operating in New York are providing safe service	Consider requiring that Noble demonstrate that its affiliated electric corporations in New York are providing safe service
*PSC-22-10-00008-P	exempt	Petition for the submetering of electricity	To consider the request of 48-52 Franklin Street to submeter electricity at 50 Franklin Street, New York, New York
*PSC-24-10-00009-P	exempt	Verizon New York Inc. tariff regulations relating to voice messaging service	To remove tariff regulations relating to retail voice messaging service from Verizon New York Inc.'s tariff
*PSC-25-10-00012-P	exempt	Reassignment of the 2-1-1 abbreviated dialing code	Consideration of petition to reassign the 2-1-1 abbreviated dialing code
*PSC-27-10-00016-P	exempt	Petition for the submetering of electricity	To consider the request of 9271 Group, LLC to submeter electricity at 960 Busti Avenue, Buffalo, New York
*PSC-34-10-00003-P	exempt	The modification of Central Hudson Gas & Electric Corporation's Enhanced Powerful Opportunities Program	The modification of Central Hudson Gas & Electric Corporation's Enhanced Powerful Opportunities Program
*PSC-34-10-00005-P	exempt	Approval of a contract for $250,000 in tank repairs that may be a financing	To decide whether to approve a contract between the parties that may be a financing of $250,000 for tank repairs

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
*PSC-34-10-00006-P	exempt	The modification of Central Hudson Gas & Electric Corporation's Enhanced Powerful Opportunities Program	The modification of Central Hudson Gas & Electric Corporation's Enhanced Powerful Opportunities Program
*PSC-36-10-00010-P	exempt	Central Hudson's procedures, terms and conditions for an economic development plan	Consideration of Central Hudson's procedures, terms and conditions for an economic development plan
*PSC-40-10-00014-P	exempt	Disposition of a state sales tax refund	To determine how much of a state sales tax refund should be retained by National Grid
*PSC-40-10-00021-P	exempt	Whether to permit the submetering of natural gas service to a commercial customer at Quaker Crossing Mall	To permit the submetering of natural gas service to a commercial customer at Quaker Crossing Mall
*PSC-41-10-00018-P	exempt	Amount of hourly interval data provided to Hourly Pricing customers who have not installed a phone line to read meter	Allow Central Hudson to provide less than a years worth of interval data and charge for manual meter reading for some customers
*PSC-41-10-00022-P	exempt	Request for waiver of the individual living unit metering requirements at 5742 Route 5, Vernon, NY	Request for waiver of the individual living unit metering requirements at 5742 Route 5, Vernon, NY
*PSC-42-10-00011-P	exempt	Petition for the submetering of electricity	To consider the request of 4858 Group, LLC to submeter electricity at 456 Main Street, Buffalo, New York
*PSC-43-10-00016-P	exempt	Utility Access to Ducts, Conduit Facilities and Utility Poles	To review the complaint from Optical Communications Group
*PSC-44-10-00003-P	exempt	Third and fourth stage gas rate increase by Corning Natural Gas Corporation	To consider Corning Natural Gas Corporation's request for a third and fourth stage gas rate increase
*PSC-51-10-00018-P	exempt	Commission proceeding concerning three-phase electric service by all major electric utilities	Investigate the consistency of the tariff provisions for three-phase electric service for all major electric utilities
*PSC-11-11-00003-P	exempt	The proposed transfer of 55.42 acres of land and $1.4 million of revenues derived from the rendition of public service	The proposed transfer of 55.42 acres of land and $1.4 million of revenues derived from the rendition of public service
*PSC-13-11-00005-P	exempt	Exclude the minimum monthly bill component from the earnings test calculation	Exclude the minimum monthly bill component from the earnings test calculation
*PSC-14-11-00009-P	exempt	Petition for the submetering of electricity	To consider the request of 83-30 118th Street to submeter electricity at 83-30 118th Street, Kew Gardens, New York
*PSC-19-11-00007-P	exempt	Utility price reporting requirements related to the Commission's "Power to Choose" website	Modify the Commission's utility electric commodity price reporting requirements related to the "Power to Choose" website
*PSC-20-11-00012-P	exempt	Petition for the submetering of electricity	To consider the request of KMW Group LLC to submeter electricity at 122 West Street, Brooklyn, New York
*PSC-20-11-00013-P	exempt	Determining the reasonableness of Niagara Mohawk Power Corporation d/b/a National Grid 's make ready charges	To determine if the make ready charges of Niagara Mohawk Power Corporation d/b/a National Grid are reasonable

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
*PSC-22-11-00004-P	exempt	Whether to permit the use of the Sensus accWAVE for use in residential gas meter applications	To permit gas utilities in New York State to use the Sensus accWAVE diaphragm gas meter
*PSC-26-11-00007-P	exempt	Water rates and charges	To approve an increase in annual revenues by about $25,266 or 50%
*PSC-26-11-00009-P	exempt	Petition for the submetering of electricity at commercial property	To consider the request of by Hoosick River Hardwoods, LLC to submeter electricity at 28 Taylor Avenue, in Berlin, New York
*PSC-26-11-00012-P	exempt	Waiver of generation retirement notice requirements	Consideration of waiver of generation retirement notice requirements
*PSC-29-11-00011-P	exempt	Petition requesting the Commssion reconsider its May 19, 2011 Order and conduct a hearing, and petition to stay said Order.	To consider whether to grant or deny, in whole or in part, Windstream New York's Petition For Reconsideration and Rehearing.
*PSC-35-11-00011-P	exempt	Whether to permit Consolidated Edison a waiver to commission regulations Part 226.8	Permit Consolidated Edison to conduct a inspection program in lieu of testing the accuracy of Category C meters
*PSC-36-11-00006-P	exempt	To consider expanding mobile stray voltage testing requirements	Adopt additional mobile stray voltage testing requirements
*PSC-38-11-00002-P	exempt	Operation and maintenance procedures pertaining to steam trap caps	Adopt modified steam operation and maintenance procedures
*PSC-38-11-00003-P	exempt	Waiver of certain provisions of the electric service tariffs of Con Edison	Consideration of waiver of certain provisions of the electric service tariffs of Con Edison
*PSC-40-11-00010-P	exempt	Participation of regulated local exchange carriers in the New York Data Exchange, Inc. (NYDE)	Whether to partially modify its order requiring regulated local exchange carriers' participation NYDE
*PSC-40-11-00012-P	exempt	Granting of transfer of plant in-service to a regulatory asset	To approve transfer and recovery of unamortized plant investment
*PSC-42-11-00018-P	exempt	Availability of telecommunications services in New York State at just and reasonable rates	Providing funding support to help ensure availability of affordable telecommunications service throughout New York
*PSC-43-11-00012-P	exempt	Transfer of outstanding shares of stock	Transfer the issued outstanding shares of stock of The Meadows at Hyde Park Water-Works Corporation to HPWS, LLC
*PSC-47-11-00007-P	exempt	Remedying miscalculations of delivered gas as between two customer classes	Consideration of Con Edison's proposal to address inter-class delivery imbalances resulting from past Company miscalculations
*PSC-48-11-00007-P	exempt	Transfer of controlling interests in generation facilities from Dynegy to PSEG	Consideration of the transfer of controlling interests in electric generation facilities from Dynegy to PSEG
*PSC-48-11-00008-P	exempt	Petition for the submetering of electricity	To consider the request of To Better Days, LLC to submeter electricity at 37 East 4th Street, New York, New York
*PSC-01-12-00007-P	exempt	The New York State Reliability Council's revisions to its rules and measurements	To adopt revisions to various rules and measurements of the New York State Reliability Council

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
*PSC-01-12-00008-P	exempt	Transfer of real property and easements from NMPNS to NMP3	Consideration of the transfer of real property and easements from NMPNS to NMP3
*PSC-01-12-00009-P	exempt	Recovery of expenses related to the expansion of Con Edison's ESCO referral program, PowerMove	To determine how and to what extent expenses related to the Expansion of Con Edison's ESCO referral program should be recovered
*PSC-11-12-00002-P	exempt	Whether to grant, deny or modify, in whole or part, Hegeman's petition for a waiver of Commission policy and Con Edison tariff	Whether to grant, deny or modify, in whole or part, Hegeman's petition for a waiver of Commission policy and Con Edison tariff
*PSC-11-12-00005-P	exempt	Transfer of land and water supply assets	Transfer the land and associated water supply assets of Groman Shores, LLC to Robert Groman
*PSC-13-12-00005-P	exempt	Authorization to transfer certain real property	To decide whether to approve the transfer of certain real property
*PSC-19-12-00023-P	exempt	Petition for approval pursuant to Section 70 for the sale of goods with an original cost of less than $100,000	To consider whether to grant, deny or modify, in whole or in part, the petition filed by Orange and Rockland Utilities, Inc.
*PSC-21-12-00006-P	exempt	Tariff filing requirements and refunds	To determine if certain agreements should be filed pursuant to the Public Service Law and if refunds are warranted
*PSC-21-12-00011-P	exempt	Whether to grant, deny or modify, in whole or part, the petition for waiver of tariff Rules 8.6 and 47	Whether to grant, deny or modify, in whole or part, the petition for waiver of tariff Rules 8.6 and 47
*PSC-23-12-00007-P	exempt	The approval of a financing upon a transfer to Alliance of upstream ownership interests in a generation facility	To consider the approval of a financing upon a transfer to Alliance of upstream ownership interests in a generation facility
*PSC-23-12-00009-P	exempt	Over earnings sharing between rate payers and shareholders	To establish an Earnings Sharing Mechanism to be applied following the conclusion of Corning's rate plan
*PSC-27-12-00012-P	exempt	Implementation of recommendations made in a Management Audit Report	To consider implementation of recommendations made in a Management Audit Report
*PSC-28-12-00013-P	exempt	Exemption of reliability reporting statistics for the purpose of the 2012 Reliability Performance Mechanism	Consideration of Orange and Rockland Utilities request for exemption of the 2012 reliability reporting statistics
*PSC-29-12-00019-P	exempt	Waiver of 16 NYCRR 894.1 through 894.4	To allow the Town of Hamden to waive certain preliminary franchising procedures to expedite the franchising process.
*PSC-30-12-00010-P	exempt	Waiver of 16 NYCRR 894.1 through 894.4	To allow the Town of Andes to waive certain preliminary franchising procedures to expedite the franchising process
*PSC-33-12-00009-P	exempt	Telecommunications companies ability to attach to utility company poles	Consideration of Tech Valley's ability to attach to Central Hudson poles
*PSC-37-12-00009-P	exempt	Proposed modification by Con Edison of its procedures to calculate estimated bills to its customers	Proposed modification by Con Edison of its procedures to calculate estimated bills to its customers

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
*PSC-42-12-00009-P	exempt	Regulation of Gipsy Trail Club, Inc.'s long-term financing agreements	To exempt Gipsy Trail Club, Inc. from Commission regulation of its financing agreements
*PSC-45-12-00008-P	exempt	Whether to grant, deny or modify, in whole or part, ESHG's petition for a waiver of Commission policy and RG&E tariff	Whether to grant, deny or modify, in whole or part, ESHG's petition for a waiver of Commission policy and RG&E tariff
*PSC-45-12-00010-P	exempt	Whether to grant, deny or modify, in whole or in part the petition of Con Edison to grant easements to Millwood Fire District	Whether to grant, deny or modify, in whole or in part the petition of Con Edison to grant easements to Millwood Fire District
*PSC-50-12-00003-P	exempt	Affiliate standards for Corning Natural Gas Corporation	To resolve issues raised by Corning Natural Gas Corporation in its petition for rehearing
*PSC-04-13-00006-P	exempt	Expansion of mandatory day ahead hourly pricing for customers of Orange and Rockland Utilities with demands above 100 kW	To consider the expansion of mandatory day ahead hourly pricing for customers with demands above 100 kW
*PSC-04-13-00007-P	exempt	Authorization to transfer certain real property.	To decide whether to approve the transfer of certain real property.
*PSC-06-13-00008-P	exempt	Verizon New York Inc.'s retail service quality	To investigate Verizon New York Inc.'s retail service quality
*PSC-08-13-00012-P	exempt	Filing requirements for certain Article VII electric facilities	To ensure that applications for certain electric transmission facilities contain pertinent information
*PSC-08-13-00014-P	exempt	Uniform System of Accounts - Request for Accounting Authorization	To allow the company to defer an item of expense or capital beyond the end of the year in which it was incurred
*PSC-12-13-00007-P	exempt	Protecting company water mains	To allow the company to require certain customers to make changes to the electrical grounding system at their homes
*PSC-13-13-00008-P	exempt	The potential waiver of 16 NYCRR 255.9221(d) completion of integrity assessments for certain gas transmission lines.	To determine whether a waiver of the timely completion of certain gas transmission line integrity assessments should be granted.
*PSC-18-13-00007-P	exempt	Whether Demand Energy Networks energy storage systems should be designated technologies for standby rate eligibility purposes	Whether Demand Energy Networks energy storage systems should be designated technologies for standby rate eligibility purposes
*PSC-21-13-00003-P	exempt	To consider policies that may impact consumer acceptance and use of electric vehicles	To consider and further develop policies that may impact consumer acceptance and use of electric vehicles
*PSC-21-13-00005-P	exempt	To implement an abandonment of Windover's water system	To approve the implementation of abandonment of Windover's water system
*PSC-21-13-00008-P	exempt	Rates of National Fuel Gas Distribution Corporation	To make the rates of National Fuel Gas Distribution Corporation temporary, subject to refund, if they are found to be excessive
*PSC-21-13-00009-P	exempt	Reporting requirements for natural gas local distribution companies	To help ensure efficient and economic expansion of the natural gas system as appropriate

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
*PSC-22-13-00009-P	exempt	On remand from New York State court litigation, determine the recovery of certain deferred amounts owed NFG by ratepayers	On remand, to determine the recovery of certain deferral amounts owed NFG from ratepayers
*PSC-23-13-00005-P	exempt	Waiver of partial payment, directory database distribution, service quality reporting, and service termination regulations	Equalize regulatory treatment based on level of competition and practical considerations
*PSC-25-13-00008-P	exempt	To deny, grant or modify, in whole or in part, Central Hudson's rehearing request.	To deny, grant or modify, in whole or in part, Central Hudson's rehearing request.
*PSC-25-13-00009-P	exempt	Provision by utilities of natural gas main and service lines.	To help ensure efficient and economic expansion of the natural gas system as appropriate.
*PSC-25-13-00012-P	exempt	To deny, grant or modify, in whole or in part, Central Hudson's rehearing request.	To deny, grant or modify, in whole or in part, Central Hudson's rehearing request.
*PSC-27-13-00014-P	exempt	Columbia Gas Transmission Corporation Cost Refund	For approval for temporary waiver of tariff provisions regarding its Columbia Gas Transmission Corporation cost refund.
*PSC-28-13-00014-P	exempt	Provision for the recovery and allocation of costs of transmission projects that reduce congestion on certain interfaces	To consider the recovery and allocation of costs of transmission projects that reduce congestion on certain interfaces
*PSC-28-13-00016-P	exempt	The request of NGT for lightened regulation as a gas corporation.	To consider whether to approve, reject, or modify the request of Niagara gas transport of Lockport, NY LLC.
*PSC-28-13-00017-P	exempt	The request by TE for waiver of regulations requiring that natural gas be odorized in certain gathering line segments	Consider the request by TE for waiver of regulations that gas be odorized in certain lines
*PSC-32-13-00009-P	exempt	To consider the definition of "misleading or deceptive conduct" in the Commission's Uniform Business Practices	To consider the definition of "misleading or deceptive conduct" in the Commission's Uniform Business Practices
*PSC-32-13-00012-P	exempt	To consider whether NYSEG should be required to undertake actions to protect its name and to minimize customer confusion	To consider whether NYSEG should be required to undertake actions to protect its name and to minimize customer confusion
*PSC-33-13-00027-P	exempt	Waive underground facility requirements for new construction in residential subdivisions to allow for overhead electric lines.	Determine whether Chapin Lumberland, LLC subdivision will be allowed overhead electric distribution and service lines.
*PSC-33-13-00029-P	exempt	Deferral of incremental costs associated with the restoration of steam service following Superstorm Sandy.	To consider a petition by Con Edison to defer certain incremental steam system restoration costs relating to Superstorm Sandy.
*PSC-34-13-00004-P	exempt	Escrow account and surcharge to fund extraordinary repairs	To approve the establishment of an escrow account and surcharge
*PSC-42-13-00013-P	exempt	Failure to Provide Escrow Information	The closure of the Escrow Account
*PSC-42-13-00015-P	exempt	Failure to Provide Escrow Information	The closure of the Escrow Account
*PSC-43-13-00015-P	exempt	Petition for submetering of electricity	To consider the request of 2701 Kingsbridge Terrace L.P. to submeter electricity at 2701 Kingsbridge Terrace, Bronx, N.Y.

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
*PSC-45-13-00021-P	exempt	Investigation into effect of bifurcation of gas and electric utility service on Long Island.	To consider a Petition for an investigation into effect of bifurcation of gas and electric utility service on Long Island.
*PSC-45-13-00022-P	exempt	Waiver of PSC regulations, 16 NYCRR section 88.4(a)(4)	To consider a waiver of certain regulations relating to the content of an application for transmission line siting
*PSC-45-13-00023-P	exempt	Waiver of PSC regulations, 16 NYCRR section 88.4(a)(4).	To consider a waiver of certain regulations relating to the content of an application for transmission line siting
*PSC-45-13-00024-P	exempt	Waiver of PSC regulations, 16 NYCRR section 88.4(a)(4); waiver of filing deadlines.	To consider a waiver of certain regulations relating to the content of an application for transmission line siting
*PSC-45-13-00025-P	exempt	Waiver of PSC regulations, 16 NYCRR section 88.4(a)(4).	To consider a waiver of certain regulations relating to the content of an application for transmission line siting
*PSC-47-13-00009-P	exempt	Petition for submetering of electricity.	To consider the request of Hegeman Avenue Housing L.P. to submeter electricity at 39 Hegeman Avenue, Brooklyn, N.Y.
*PSC-47-13-00012-P	exempt	Conditioning,restricting or prohibiting the purchase of services by NYSEG and RG&E from certain affiliates.	Consideration of conditioning,restricting or prohibiting the purchase of services by NYSEG and RG&E from certain affiliates.
*PSC-49-13-00008-P	exempt	Authorization to transfer all of Crystal Water Supply Company, Inc. stocks to Essel Infra West Inc.	To allow Crystal Water Supply Company, Inc to transfer all of its issued and outstanding stocks to Essel Infra West Inc.
*PSC-51-13-00009-P	exempt	Consolidated Edison proposing to use data from a test period ending September 30, 2013 to support its next rate filing.	To ensure there is a reasonable basis for data submitted in support of a request for a change in rates.
*PSC-51-13-00010-P	exempt	Consolidated Edison proposing to use data from a test period ending September 30, 2013 to support its next rate filing.	To ensure there is a reasonable basis for data submitted in support of a request for a change in rates.
*PSC-51-13-00011-P	exempt	Consolidated Edison proposing to use data from a test period ending September 30, 2013 to support its next rate filing.	To ensure there is a reasonable basis for data submitted in support of a request for a change in rates.
*PSC-52-13-00012-P	exempt	The development of reliability contingency plan(s) to address the potential retirement of Indian Point Energy Center (IPEC).	To address the petition for rehearing and reconsideration/motion for clarification of the IPEC reliability contingency plan(s).
*PSC-52-13-00015-P	exempt	To enter into a loan agreement with the banks for up to an amount of $94,000.	To consider allowing Knolls Water Company to enter into a long-term loan agreement.
*PSC-05-14-00010-P	exempt	The New York State Reliability Council's revisions to its rules and measurements	To adopt revisions to various rules and measurements of the New York State Reliability Council
*PSC-07-14-00008-P	exempt	Petition for submetering of electricity	To consider the request of Greater Centennial Homes HDFC, Inc. to submeter electricity at 102, 103 and 106 W 5th Street, et al.
*PSC-07-14-00012-P	exempt	Water rates and charges	Implementation of Long-Term Water Supply Surcharge to recover costs associated with the Haverstraw Water Supply Project

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PUBLIC SERVICE COMMISSION			
*PSC-08-14-00015-P	exempt	Verizon New York Inc.'s service quality and Customer Trouble Report Rate (CTRR) levels at certain central office entities	To improve Verizon New York Inc.'s service quality andthe Customer Trouble Report Rate levels at certain central office entities
*PSC-10-14-00006-P	exempt	Actions to facilitate the availability of ESCO value-added offerings, ESCO eligibility and ESCO compliance	To facilitate ESCO value-added offerings and to make changes to ESCO eligibility and to ensure ESCO compliance
*PSC-11-14-00003-P	exempt	Provision for the recovery and allocation of costs of transmission projects that reduce congestion on certain interfaces	To consider the recovery and allocation of costs of transmission projects that reduce congestion on certain interfaces
*PSC-16-14-00014-P	exempt	Whether to order NYSEG to provide gas service to customers when an expanded CPCN is approved and impose PSL 25-a penalties.	To order gas service to customers in the Town of Plattsburgh after approval of a town wide CPCN and to impose penalties.
*PSC-16-14-00015-P	exempt	Whether Central Hudson should be permitted to defer obligations of the Order issued on October 18, 2013 in Case 13-G-0336.	Consideration of the petition by Central Hudson to defer reporting obligations of the October 18, 2013 Order in Case 13-G-0336
*PSC-17-14-00003-P	exempt	Con Edison's Report on its 2013 performance under the Electric Service Reliability Performance Mechanism	Con Edison's Report on its 2013 performance under the Electric Service Reliability Performance Mechanism
*PSC-17-14-00004-P	exempt	To consider certain portions of petitions for rehearing, reconsideration and/or clarification	To consider certain portions of petitions for rehearing, reconsideration and/or clarification
*PSC-17-14-00007-P	exempt	To consider petitions for rehearing, reconsideration and/or clarification	To consider petitions for rehearing, reconsideration and/or clarification
*PSC-17-14-00008-P	exempt	To consider certain portions of petitions for rehearing, reconsideration and/or clarification	To consider certain portions of petitions for rehearing, reconsideration and/or clarification
*PSC-19-14-00014-P	exempt	Market Supply Charge	To make tariff revisions to the Market Supply Charge for capacity related costs
*PSC-19-14-00015-P	exempt	Whether to permit the use of the Sensus accuWAVE for use in residential and commercial gas meter applications	To permit gas utilities in New York State to use the Sensus accuWAVE 415TC gas meter
*PSC-22-14-00013-P	exempt	Petition to transfer and merge systems, franchises and assets.	To consider the Comcast and Time Warner Cable merger and transfer of systems, franchises and assets.
*PSC-23-14-00010-P	exempt	Whether to permit the use of the GE Dresser Series B3-HPC 11M-1480 rotary gas met for use in industrial gas meter applications	To permit gas utilities in New York State to use the GE Dresser Series B3-HPC 11M-1480 rotary gas meter
*PSC-23-14-00014-P	exempt	Waiver of the negative revenue adjustment associated with KEDLI's 2013 Customer Satisfaction Performance Metric	Consideration of KEDLI's waiver request pertaining to its 2013 performance under its Customer Satisfaction Metric
*PSC-24-14-00005-P	exempt	To examine LDC's performance and performance measures.	To improve gas safety performance.
*PSC-26-14-00013-P	exempt	Waiver of RG&E's tariffed definition of emergency generator.	To consider waiver of RG&E's tariffed definition of emergency generator.
*PSC-26-14-00020-P	exempt	New electric utility backup service tariffs and standards for interconnection may be adopted.	To encourage development of microgrids that enhance the efficiency, safety, reliability and resiliency of the electric grid.

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
*PSC-26-14-00021-P	exempt	Consumer protections, standards and protocols pertaining to access to customer data may be established.	To balance the need for the information necessary to support a robust market with customer privacy concerns.
*PSC-28-14-00014-P	exempt	Petition to transfer systems, franchises and assets.	To consider the Comcast and Charter transfer of systems, franchise and assets.
*PSC-30-14-00023-P	exempt	Whether to permit the use of the Sensus iPERL Fire Flow Meter.	Pursuant to 16 NYCRR Part 500.3 , it is necessary to permit the use of the Sensus iPERL Fire Flow Meter.
*PSC-30-14-00026-P	exempt	Petition for a waiver to master meter electricity.	Considering the request of Renaissance Corporation of to master meter electricity at 100 Union Drive,Albany, NY.
*PSC-31-14-00004-P	exempt	To transfer 100% of the issued and outstanding stock from Vincent Cross to Bonnie and Michael Cross	To transfer 100% of the issued and outstanding stock from Vincent Cross to Bonnie and Michael Cross
*PSC-32-14-00012-P	exempt	Whether to grant or deny, in whole or in part, the Connect New York Coalition's petition	To consider the Connect New York Coalition's petition seeking a formal investigation and hearings
*PSC-35-14-00004-P	exempt	Regulation of a proposed electricity generation facility located in the Town of Brookhaven, NY	To consider regulation of a proposed electricity generation facility located in the Town of Brookhaven, NY
*PSC-35-14-00005-P	exempt	Whether to permit the use of the Sensus iConA electric meter	Pursuant to 16 NYCRR Parts 92 and 93, Commission approval is necessary to permit the use of the Sensus iConA electric meter
*PSC-36-14-00009-P	exempt	Modification to the Commission's Electric Safety Standards.	To consider revisions to the Commission's Electric Safety Standards.
*PSC-38-14-00003-P	exempt	Whether to approve, reject or modify, in whole or in part a time-sensitive rate pilot program.	Whether to approve, reject or modify, in whole or in part a time-sensitive rate pilot program.
*PSC-38-14-00004-P	exempt	The study and petition of Con Edison regarding use, accounting and ratemaking treatment for 11-23 and 2-28 Hudson Ave. Brooklyn.	The study and petition of Con Edison regarding use, accounting and ratemaking treatment for 11-23 and 2-28 Hudson Ave. Brooklyn.
*PSC-38-14-00005-P	exempt	Action on the report and petition of Con Edison regarding the Storm Hardening and Resiliency Collaborative, Phase 2.	Action on the report and petition of Con Edison regarding the Storm Hardening and Resiliency Collaborative, Phase 2.
*PSC-38-14-00007-P	exempt	Whether to expand Con Edison's low income program to include Medicaid recipients.	Whether to expand Con Edison's low income program to include Medicaid recipients.
*PSC-38-14-00008-P	exempt	The study and petition of Con Edison regarding use, accounting and ratemaking treatment for 11-23 and 2-28 Hudson Ave. Brooklyn.	The study and petition of Con Edison regarding use, accounting and ratemaking treatment for 11-23 and 2-28 Hudson Ave. Brooklyn.
*PSC-38-14-00010-P	exempt	Inter-carrier telephone service quality standard and metrics and administrative changes.	To review recommendations from the Carrier Working Group and incorporate appropriate modifications to the existing Guidelines.
*PSC-38-14-00012-P	exempt	Action on the report and petition of Con Edison regarding the Storm Hardening and Resiliency Collaborative, Phase 2.	Action on the report and petition of Con Edison regarding the Storm Hardening and Resiliency Collaborative, Phase 2.

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
*PSC-39-14-00020-P	exempt	Whether to permit the use of the Mueller Systems 400 Series and 500 Series of water meters	Pursuant to 16 NYCRR section 500.3, whether to permit the use of the Mueller Systems 400, and 500 Series of water meters
*PSC-40-14-00008-P	exempt	To consider granting authorization for Buy Energy Direct to resume marketing to residential customers.	To consider granting authorization for Buy Energy Direct to resume marketing to residential customers.
*PSC-40-14-00009-P	exempt	Whether to permit the use of the Itron Open Way Centron Meter with Hardware 3.1 for AMR and AMI functionality.	Pursuant to 16 NYCRR Parts 93, is necessary to permit the use of the Itron Open Way Centron Meter with Hardware 3.1.
*PSC-40-14-00011-P	exempt	Late Payment Charge.	To modify Section 7.6 - Late Payment Charge to designate a specific time for when a late payment charge is due.
*PSC-40-14-00013-P	exempt	Regulation of a proposed natural gas pipeline and related facilities located in the Town of Ticonderoga, NY.	To consider regulation of a proposed natural gas pipeline and related facilities located in the Town of Ticonderoga, NY.
*PSC-40-14-00014-P	exempt	Waiver of 16 NYCRR Sections 894.1 through 894.4(b)(2)	To allow the Town of Goshen, NY, to waive certain preliminary franchising procedures to expedite the franchising process.
*PSC-40-14-00015-P	exempt	Late Payment Charge.	To modify Section 6.6 - Late Payment Charge to designate a specific time for when a late payment charge is due.
*PSC-42-14-00003-P	exempt	Annual Reconciliation of Gas Expenses and Gas Cost Recoveries	The filings of various LDCs and municipalities regarding their Annual Reconciliation of Gas Expenses and Gas Cost Recoveries
*PSC-42-14-00004-P	exempt	Winter Bundled Sales Service Option	To modify SC-11 to remove language relating to fixed storage charges in the determination of the Winter Bundled Sales charge
*PSC-48-14-00014-P	exempt	Considering the recommendations contained in Staff' s electric outage investigation report for MNRR, New Haven Line.	To consider the recommendations contained in Staff's electric outage investigation report for MNRR, New Haven Line.
*PSC-52-14-00019-P	exempt	Petition for a waiver to master meter electricity.	Considering the request of 614 South Crouse Avenue, LLC to master meter electricity at 614 South Crouse Avenue, Syracuse, NY..
*PSC-01-15-00014-P	exempt	State Universal Service Fund Disbursements	To consider Edwards Telephone Company's request for State Universal Service Fund disbursements
*PSC-08-15-00010-P	exempt	Request pertaining to the lawfulness of National Grid USA continuing its summary billing program.	To grant, deny, or modify URAC Rate Consultants' request that National Grid cease its summary billing program.
*PSC-10-15-00007-P	exempt	Notification concerning tax refunds	To consider Verizon New York Inc.'s partial rehearing or reconsideration request regarding retention of property tax refunds
*PSC-10-15-00008-P	exempt	Whether to waive Policy on Test Periods in Major Rate Proceedings and provide authority to file tariff changes	Whether to waive Policy on Test Periods in Major Rate Proceedings and provide authority to file tariff changes

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
*PSC-13-15-00024-P	..... exempt	Whether Leatherstocking should be permitted to recover a shortfall in earnings	To decide whether to approve Leatherstocking's request to recover a shortfall in earnings
*PSC-13-15-00026-P	..... exempt	Whether to permit the use of the Sensus Smart Point Gas AMR/AMI product	To permit the use of the Sensus Smart Point Gas AMR/AMI product
*PSC-13-15-00027-P	..... exempt	Whether to permit the use of the Measurlogic DTS 310 electric submeter	To permit the use of the Measurlogic DTS 310 submeter
*PSC-13-15-00028-P	..... exempt	Whether to permit the use of the SATEC EM920 electric meter	To permit necessary to permit the use of the SATEC EM920 electric meter
*PSC-13-15-00029-P	..... exempt	Whether to permit the use the Triacta Power Technologies 6103, 6112, 6303, and 6312 electric submeters	To permit the use of the Triacta submeters
*PSC-17-15-00007-P	..... exempt	To consider the petition of Leatherstocking Gas Company, LLC seeking authority to issue long-term debt of $2.75 million	To consider the petition of Leatherstocking Gas Company, LLC seeking authority to issue long-term debt of $2.75 million
*PSC-18-15-00005-P	..... exempt	Con Edison's Report on its 2014 performance under the Electric Service Reliability Performance Mechanism	Con Edison's Report on its 2014 performance under the Electric Service Reliability Performance Mechanism
*PSC-19-15-00011-P	..... exempt	Gas Safety Performance Measures and associated negative revenue adjustments	To update the performance measures applicable to KeySpan Gas East Corporation d/b/a National Grid
*PSC-22-15-00015-P	..... exempt	To consider the request for waiver of the individual residential unit meter requirements and 16 NYCRR 96.1(a)	To consider the request for waiver of the individual residential unit meter requirements and 16 NYCRR 96.1(a)
*PSC-23-15-00005-P	..... exempt	The modification of New York American Water's current rate plan	Whether to adopt the terms of the Joint Proposal submitted by NYAW and DPS Staff
*PSC-23-15-00006-P	..... exempt	The modification of New York American Water's current rate plan	Whether to adopt the terms of the Joint Proposal submitted by NYAW and DPS Staff
*PSC-25-15-00008-P	..... exempt	Notice of Intent to Submeter electricity.	To consider the request of 165 E 66 Residences, LLC to submeter electricity at 165 East 66th Street, New York, New York.
*PSC-29-15-00025-P	..... exempt	Joint Petition for authority to transfer real property located at 624 West 132nd Street, New York, NY	Whether to authorize the proposed transfer of real property located at 624 West 132nd Street, New York, NY
*PSC-32-15-00006-P	..... exempt	Development of a Community Solar Demonstration Project.	To approve the development of a Community Solar Demonstration Project.
*PSC-33-15-00009-P	..... exempt	Remote net metering of a demonstration community net metering program.	To consider approval of remote net metering of a demonstration community net metering program.
*PSC-33-15-00012-P	..... exempt	Remote net metering of a Community Solar Demonstration Project.	To consider approval of remote net metering of a Community Solar Demonstration Project.
*PSC-34-15-00021-P	..... exempt	Petition by NYCOM requesting assistance with obtaining information on CLECs and ESCOs	To consider the petition by NYCOM requesting assistance with obtaining information on CLECs and ESCOs

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
*PSC-35-15-00014-P	exempt	Consideration of consequences against Light Power & Gas, LLC for violations of the UBP	To consider consequences against Light Power & Gas, LLC for violations of the UBP
*PSC-37-15-00007-P	exempt	Submetered electricity	To consider the request of 89 Murray Street Ass. LLC, for clarification of the submetering order issued December 20, 2007
*PSC-40-15-00014-P	exempt	Whether to permit the use of the Open Way 3.5 with cellular communications	To consider the use of the Open Way 3.5 electric meter, pursuant to 16 NYCRR Parts 92 and 93
*PSC-42-15-00006-P	exempt	Deferral of incremental expenses associated with NERC's new Bulk Electric System (BES) compliance requirements approved by FERC.	Consideration of Central Hudson's request to defer incremental expenses associated with new BES compliance requirements.
*PSC-44-15-00028-P	exempt	Deferral of incremental expenses associated with new compliance requirements	Consideration of Central Hudson's request to defer incremental expenses associated with new compliance requirements
*PSC-47-15-00013-P	exempt	Whitepaper on Implementing Lightened Ratemaking Regulation.	Consider Whitepaper on Implementing Lightened Ratemaking Regulation.
*PSC-48-15-00011-P	exempt	Proposal to retire Huntley Units 67 and 68 on March 1, 2016.	Consider the proposed retirement of Huntley Units 67 and 68.
*PSC-50-15-00006-P	exempt	The reduction of rates.	To consider the reduction of rates charged by Independent Water Works, Inc.
*PSC-50-15-00009-P	exempt	Notice of Intent to submeter electricity.	To consider the request to submeter electricity at 31-33 Lincoln Road and 510 Flatbush Avenue, Brooklyn, New York.
*PSC-51-15-00010-P	exempt	Modification of the EDP	To consider modifying the EDP
*PSC-01-16-00005-P	exempt	Proposed amendment to Section 5, Attachment 1.A of the Uniform Business Practices	To consider amendment to Section 5, Attachment 1.A of the Uniform Business Practices
*PSC-04-16-00007-P	exempt	Whether Hamilton Municipal Utilities should be permitted to construct and operate a municipal gas distribution facility.	Consideration of the petition by Hamilton Municipal Utilities to construct and operate a municipal gas distribution facility.
*PSC-04-16-00012-P	exempt	Proposal to mothball three gas turbines located at the Astoria Gas Turbine Generating Station.	Consider the proposed mothball of three gas turbines located at the Astoria Gas Turbine Generating Station.
*PSC-04-16-00013-P	exempt	Proposal to find that three gas turbines located at the Astoria Gas Turbine Generating Station are uneconomic.	Consider whether three gas turbines located at the Astoria Gas Turbine Generating Station are uneconomic.
*PSC-06-16-00013-P	exempt	Continued deferral of approximately $16,000,000 in site investigation and remediation costs.	To consider the continued deferral of approximately $16,000,000 in site investigation and remediation costs.
*PSC-06-16-00014-P	exempt	MEGA's proposed demonstration CCA program.	To consider MEGA's proposed demonstration CCA program.
*PSC-14-16-00008-P	exempt	Resetting retail markets for ESCO mass market customers.	To ensure consumer protections with respect to residential and small non-residential ESCO customers.

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
*PSC-18-16-00013-P	exempt	Amendments to the Uniform Business Practices of ESCOs.	To ensure consumer protection for ESCO customers.
*PSC-18-16-00014-P	exempt	Amendments to the Uniform Business Practices of ESCOs.	To ensure consumer protection for ESCO customers.
*PSC-18-16-00015-P	exempt	Petitions for rehearing of the Order Resetting Retail Energy Markets and Establishing Further Process.	To ensure consumer protections for ESCO customers.
*PSC-18-16-00016-P	exempt	Amendments to the Uniform Business Practices of ESCOs.	To ensure consumer protection for ESCO customers.
*PSC-18-16-00018-P	exempt	Amendments to the Uniform Business Practices of ESCOs.	To ensure consumer protection for ESCO customers.
*PSC-20-16-00008-P	exempt	Consideration of consequences against Global Energy Group, LLC for violations of the Uniform Business Practices (UBP).	To consider consequences against Global Energy Group, LLC for violations of the Uniform Business Practices (UBP).
*PSC-20-16-00010-P	exempt	Deferral and recovery of incremental expense.	To consider deferring costs of conducting leak survey and repairs for subsequent recovery.
*PSC-20-16-00011-P	exempt	Enetics LD-1120 Non-Intrusive Load Monitoring Device in the Statewide Residential Appliance Metering Study.	To consider the use of the Enetics LD-1120 Non-Intrusive Load Monitoring Device.
*PSC-24-16-00009-P	exempt	Petition to submeter gas service.	To consider the Petition of New York City Economic Development Corp. to submeter gas at Pier 17, 89 South Street, New York, NY.
*PSC-25-16-00009-P	exempt	To delay Companies' third-party assessments of customer personally identifiable information until 2018.	To extend the time period between the Companies' third-party assessments of customer personally identifiable information.
*PSC-25-16-00025-P	exempt	Acquisition of all water supply assets of Woodbury Heights Estates Water Co., Inc. by the Village of Kiryas Joel.	To consider acquisition of all water supply assets of Woodbury Heights Estates Water Co., Inc. by the Village of Kiryas Joel.
*PSC-25-16-00026-P	exempt	Use of the Badger E Series Ultrasonic Cold Water Stainless Steel Meter, in residential fire service applications.	To consider the use of the Badger E Series Ultrasonic Cold Water Stainless Steel Meter in fire service applications.
*PSC-28-16-00017-P	exempt	A petition for rehearing of the Order Adopting a Ratemaking and Utility Revenue Model Policy Framework.	To determine appropriate rules for and calculation of the distributed generation reliability credit.
*PSC-29-16-00024-P	exempt	Participation of NYPA customers in surcharge-funded clean energy programs.	To consider participation of NYPA customers in surcharge-funded clean energy programs.
*PSC-32-16-00012-P	exempt	Benefit-Cost Analysis Handbooks.	To evaluate proposed methodologies of benefit-cost evaluation.
*PSC-33-16-00001-EP	exempt	Use of escrow funds for repairs.	To authorize the use of escrow account funds for repairs.
*PSC-33-16-00005-P	exempt	Exemption from certain charges for delivery of electricity to its Niagara Falls, New York facility.	Application of System Benefits Charges, Renewable Portfolio Standard charges and Clean Energy Fund surcharges.

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
*PSC-35-16-00015-P	exempt	NYSRC's revisions to its rules and measurements	To consider revisions to various rules and measurements of the NYSRC
*PSC-36-16-00004-P	exempt	Recovery of costs for installation of electric service.	To consider the recovery of costs for installation of electric service.
*PSC-40-16-00025-P	exempt	Consequences pursuant to the Commission's Uniform Business Practices (UBP).	To consider whether to impose consequences on Smart One for its apparent non-compliance with Commission requirements.
*PSC-47-16-00009-P	exempt	Petition to use commercial electric meters	To consider the petition of Itron, Inc. to use the Itron CP2SO and CP2SOA in commercial electric meter applications
*PSC-47-16-00010-P	exempt	Standby Service rate design	To consider the report filed and the recommendations therein
*PSC-47-16-00013-P	exempt	Standby Service rate design	To consider the report filed and the recommendations therein
*PSC-47-16-00014-P	exempt	Standby Service rate design	To consider the report filed and the recommendations therein
*PSC-47-16-00016-P	exempt	Standby Service rate design	To consider the report filed and the recommendations therein
*PSC-02-17-00010-P	exempt	Implementation of the four EAMs.	To consider the implementation of EAMs for RG&E.
*PSC-02-17-00012-P	exempt	Implementation of the four EAMs.	To consider the implementation of EAMs for NYSEG.
*PSC-18-17-00024-P	exempt	A petition for rehearing or reconsideration of the Order Addressing Public Policy Transmission Need for AC Transmission Upgrades	To determine whether Public Policy Transmission Need/Public Policy Requirements continue to exist.
*PSC-18-17-00026-P	exempt	Revisions to the Dynamic Load Management surcharge.	To consider revisions to the Dynamic Load Management surcharge.
*PSC-19-17-00004-P	exempt	NYAW's request to defer and amortize, for future rate recognition, pension settlement payout losses incurred in 2016.	Consideration of NYAW's petition to defer and amortize, for future rate recognition, pension payour losses incurred in 2016.
*PSC-20-17-00008-P	exempt	Compressed natural gas as a motor fuel for diesel fueled vehicles.	To consider a report filed by National Grid NY regarding the potential for adoption of compressed natural gas as a motor fuel.
*PSC-20-17-00010-P	exempt	Compressed natural gas as a motor fuel for diesel fueled vehicles.	To consider a report filed by National Grid regarding the potential for adoption of compressed natural gas as a motor fuel.
*PSC-21-17-00013-P	exempt	The establishment and implementation of Earnings Adjustment Mechanisms.	To consider the establishment and implementation of Earnings Adjustment Mechanisms.
*PSC-21-17-00018-P	exempt	Proposed agreement for the provision of water service by Saratoga Water Services, Inc.	To consider a waiver and approval of terms of a service agreement.

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
*PSC-22-17-00004-P	exempt	Financial incentives to create customer savings and develop market-enabling tools, with a focus on outcomes and incentives	To consider the proposed Interconnection Survey Process and Earnings Adjustment Mechanisms
*PSC-24-17-00006-P	exempt	Development of the Utility Energy Registry.	Improved data access.
*PSC-26-17-00005-P	exempt	Notice of Intent to submeter electricity.	To consider the Notice of Intent to submeter electricity at 125 Waverly Street, Yonkers, New York.
*PSC-34-17-00011-P	exempt	Waiver to permit Energy Cooperative of America to serve low-income customers	To consider the petition for a waiver
*PSC-37-17-00005-P	exempt	Financial incentives to create customer savings and develop market-enabling tools, with a focus on outcomes and incentives.	To consider the revised Interconnection Survey Process and Earnings Adjustment Mechanisms.
*PSC-39-17-00011-P	exempt	Whether to direct New York State Electric & Gas to complete electric facility upgrades at no charge to Hanehan.	To determine financial responsibility between NYSEG and Hanehan for the electric service upgrades to Hanehan.
*PSC-42-17-00010-P	exempt	Petition for rehearing of negative revenue adjustment and contents of annual Performance Report.	To consider NFGD's petition for rehearing.
*PSC-48-17-00015-P	exempt	Low Income customer options for affordable water bills.	To consider the Low Income Bill Discount and/or Energy Efficiency Rebate Programs.
*PSC-50-17-00017-P	exempt	New Wave Energy Corp.'s petition for rehearing.	To consider the petition for rehearing filed by New Wave Energy Corp.
*PSC-50-17-00018-P	exempt	Application of the Public Service Law to DER suppliers.	To determine the appropriate regulatory framework for DER suppliers.
*PSC-50-17-00019-P	exempt	Transfer of utility property.	To consider the transfer of utility property.
*PSC-50-17-00021-P	exempt	Disposition of tax refunds and other related matters.	To consider the disposition of tax refunds and other related matters.
*PSC-51-17-00011-P	exempt	Petition for recovery of certain costs related to the implementation of a Non-Wires Alternative Project.	To consider Con Edison's petition for the recovery of costs for implementing the JFK Project.
*PSC-04-18-00005-P	exempt	Notice of intent to submeter electricity.	To consider the notice of intent of Montante/ Morgan Gates Circle LLC to submeter electricity.
*PSC-05-18-00004-P	exempt	Lexington Power's ZEC compliance obligation.	To promote and maintain renewable and zero-emission electric energy resources.
*PSC-06-18-00012-P	exempt	To consider further proposed amendments to the original criteria to grandfathering established in the Transition Plan	To modify grandfathering criteria
*PSC-06-18-00017-P	exempt	Merger of NYAW and Whitlock Farms Water Corp.	To consider the merger of NYAW and Whitlock Farms Water Company into a single corporate entity
*PSC-07-18-00015-P	exempt	The accuracy and reasonableness of National Grid's billing for certain interconnection upgrades.	To consider AEC's petition requesting resolution of their billing dispute with National Grid.

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
*PSC-11-18-00004-P	exempt	New York State Lifeline Program.	To consider TracFone's petition seeking approval to participate in Lifeline.
*PSC-13-18-00015-P	exempt	Eligibility of an ESCO to market to and enroll residential customers.	To consider whether Astral should be allowed to market to and enroll residential customers following a suspension.
*PSC-13-18-00023-P	exempt	Reconciliation of property taxes.	To consider NYAW's request to reconcile property taxes.
*PSC-14-18-00006-P	exempt	Petition for abandonment	To consider the abandonment of Willsboro Bay Water Company's water system
*PSC-17-18-00010-P	exempt	Petition for use of gas metering equipment.	To ensure that consumer bills are based on accurate measurements of gas usage.
*PSC-18-18-00009-P	exempt	Transfer of control of Keene Valley Video Inc.	To ensure performance in accordance with applicable cable laws, regulations and standards and the public interest
*PSC-23-18-00006-P	exempt	Whether to impose consequences on Aspirity for its non-compliance with Commission requirements.	To ensure the provision of safe and adequate energy service at just and reasonable rates.
*PSC-24-18-00013-P	exempt	Implementation of program rules for Renewable Energy Standard and ZEC requirements.	To promote and maintain renewable and zero-emission electric energy resources.
*PSC-28-18-00011-P	exempt	Storm Hardening Collaborative Report.	To ensure safe and adequate gas service.
*PSC-29-18-00008-P	exempt	Participation in Targeted Accessibility Fund	To encourage enhanced services for low-income consumers
*PSC-29-18-00009-P	exempt	Overvaluing real property tax expense recovery in water rates	To prevent unjust and unreasonable water rates
*PSC-34-18-00015-P	exempt	Petition to submeter electricity.	To ensure adequate submetering equipment and energy efficiency protections are in place.
*PSC-34-18-00016-P	exempt	Deferral of pre-staging and mobilization storm costs.	To ensure just and reasonable rates for ratepayers and utility recovery of unexpected, prudently incurred costs.
*PSC-35-18-00003-P	exempt	Con Edison's 2018 DSIP and BCA Handbook Update.	To continue Con Edison's transition to a modern utility serving as a Distributed System Platform Provider.
*PSC-35-18-00005-P	exempt	NYSEG and RG&E's 2018 DSIP and BCA Handbook Update.	To continue NYSEG and RG&E's transition to modern utilities acting as Distributed System Platform Providers.
*PSC-35-18-00006-P	exempt	National Grid's 2018 DSIP and BCA Handbook Update.	To continue National Grid's transition to a modern utility serving as a Distributed System Platform Provider.
*PSC-35-18-00008-P	exempt	Central Hudson's 2018 DSIP and BCA Handbook Update.	To continue Central Hudson's transition to a modern utility serving as a Distributed System Platform Provider.

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
*PSC-35-18-00010-P	..... exempt	O&R's 2018 DSIP and BCA Handbook Update.	To continue O&R's transition to a modern utility acting as a Distributed System Platform Provider.
*PSC-39-18-00005-P	..... exempt	Participation in New York State Lifeline Program.	To encourage enhanced services for low-income customers.
*PSC-40-18-00014-P	..... exempt	Annual Reconciliation of Gas Expenses and Gas Cost Recoveries.	To review the gas utilities' reconciliation of Gas Expenses and Gas Cost Recoveries for 2018.
*PSC-42-18-00011-P	..... exempt	Voluntary residential beneficial electrification rate design.	To provide efficient rate design for beneficial technologies in New York State that is equitable for all residential customers.
*PSC-42-18-00013-P	..... exempt	Petition for clarification and rehearing of the Smart Solutions Program Order.	To address the increased demand for natural gas in the Con Edison's service territory and the limited pipeline capacity.
*PSC-44-18-00016-P	..... exempt	Petition for approval of gas metering equipment.	To ensure that customer bills are based on accurate measurements of gas usage.
*PSC-45-18-00005-P	..... exempt	Notice of intent to submeter electricity and waiver of energy audit	To ensure adequate submetering equipment, consumer protections and energy efficiency protections are in place
*PSC-47-18-00008-P	..... exempt	Proposed Public Policy Transmission Needs/ Public Policy Requirements, as defined under the NYISO tariff.	To identify any proposed Public Policy Transmission Needs/Public Policy Requirements for referral to the NYISO.
*PSC-01-19-00013-P	..... exempt	Order of the Commission related to caller ID unblocking.	To require telephone companies to unblock caller ID on calls placed to the 311 municipal call center in Suffolk County.
*PSC-03-19-00002-P	..... exempt	DPS Staff White Paper for who must be trained in 16 NYCRR Part 753 requirements and how the Commission will approve trainings.	To reduce damage to underground utility facilities by requiring certain training and approving training curricula.
*PSC-04-19-00004-P	..... exempt	Con Edison's petition for the Gas Innovation Program and associated budget.	To pursue programs that continue service reliability and meet customer energy needs while aiding greenhouse gas reduction goals.
*PSC-04-19-00011-P	..... exempt	Update of revenue targets.	To ensure NYAW's rates are just and reasonable and accurately reflect the needed revenues.
*PSC-06-19-00005-P	..... exempt	Consideration of the Joint Utilities' proposed BDP Program.	To to expand opportunities for low-income households to participate in Community Distributed Generation (CDG) projects.
*PSC-07-19-00009-P	..... exempt	Whether to impose consequences on AAA for its non-compliance with Commission requirements.	To insure the provision of safe and adequate energy service at just and reasonable rates.
*PSC-07-19-00016-P	..... exempt	Participation in New York State Lifeline Program.	To encourage enhanced services for low-income customers.
*PSC-09-19-00010-P	..... exempt	Non-pipeline alternatives report recommendations.	To consider the terms and conditions applicable to gas service.

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
*PSC-12-19-00004-P	exempt	To test innovative pricing proposals on an opt-out basis.	To provide pricing structures that deliver benefits to customers and promote beneficial electrification technologies.
*PSC-13-19-00010-P	exempt	New Commission requirements for gas company operator qualification programs.	To make pipelines safer with improved training of workers who perform construction and repairs on natural gas facilities.
*PSC-19-19-00013-P	exempt	Proposed merger of three water utilities into one corporation.	To determine if the proposed merger is in the public interest.
*PSC-20-19-00008-P	exempt	Reporting on energy sources	To ensure accurate reporting and encourage clean energy purchases
*PSC-20-19-00010-P	exempt	Compensation policies for certain CHP projects	To consider appropriate rules for compensation of certain CHP resources
*PSC-20-19-00015-P	exempt	Establishment of the regulatory regime applicable to an approximately 105.8 MW electric generating facility	Consideration of a lightened regulatory regime for an approximately 105.8 MW electric generating facility
*PSC-31-19-00013-P	exempt	Implementation of Statewide Energy Benchmarking.	To ensure safe and adequate service at just and reasonable rates charged to customers without undue preferences.
*PSC-31-19-00015-P	exempt	Proposed major rate increase in KEDNY's gas delivery revenues by $236.8 million (13.6% increase in total revenues).	To ensure safe and adequate service at just and reasonable rates charged to customers without undue preferences.
*PSC-31-19-00016-P	exempt	Proposed major rate increase in KEDLI's gas delivery revenues of approximately $49.4 million (or 4.1% in total revenues).	To ensure safe and adequate service at just and reasonable rates charged to customers without undue preferences.
*PSC-32-19-00012-P	exempt	Standby Service Rates and Buyback Service Rates	To ensure just and reasonable rates, including compensation, for distributed energy resources
*PSC-38-19-00002-P	exempt	Petition to submeter electricity	To ensure adequate submetering equipment and consumer protections are in place
*PSC-39-19-00018-P	exempt	Petition to submeter electricity.	To ensure adequate submetering equipment and consumer protections are in place.
*PSC-41-19-00003-P	exempt	A voluntary residential three-part rate that would include fixed, usage and demand charges.	To provide qualifying residential customers with an optional three-part rate.
*PSC-44-19-00003-P	exempt	Proposed revisions to Standby Service Rates and Buyback Service Rates.	To ensure just and reasonable rates, including compensation, for distributed energy resources.
*PSC-44-19-00005-P	exempt	Proposed revisions to Standby Service Rates and Buyback Service Rates.	To ensure just and reasonable rates, including compensation, for distributed energy resources.
*PSC-44-19-00006-P	exempt	Proposed revisions to Standby Service Rates and Buyback Service Rates.	To ensure just and reasonable rates, including compensation, for distributed energy resources.
*PSC-44-19-00007-P	exempt	Proposed revisions to Standby Service Rates and Buyback Service Rates.	To ensure just and reasonable rates, including compensation, for distributed energy resources.
*PSC-44-19-00008-P	exempt	Notice of intent to submeter electricity.	To ensure adequate submetering equipment and consumer protections are in place.

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
*PSC-44-19-00009-P	exempt	Proposed revisions to Standby Service Rates and Buyback Service Rates.	To ensure just and reasonable rates, including compensation, for distributed energy resources.
*PSC-46-19-00008-P	exempt	Wappingers Falls Hydroelectric LLC's facility located in Wappingers Falls, New York.	To promote and maintain renewable electric energy resources.
*PSC-46-19-00010-P	exempt	To test innovative rate designs on an opt-out basis.	To implement alternative innovative rate designs intended to assess customer behaviors in response to price signals
*PSC-50-19-00004-P	exempt	Petition to submeter electricity and waiver of energy audit.	To ensure adequate submetering equipment, consumer protections and energy efficiency protections are in place.
*PSC-52-19-00006-P	exempt	Authorization to defer pension settlement losses.	To address the ratemaking related to the pension settlement losses.
*PSC-03-20-00009-P	exempt	Changes to the Utility Energy Registry	To determine appropriate rules for data availability
*PSC-04-20-00014-P	exempt	Transfer of the Indian Point site, nuclear waste, and decommissioning and site restoration funds from Entergy to Holtec.	To protect the public interest.
*PSC-07-20-00008-P	exempt	Notice of intent to submeter electricity.	To ensure adequate submetering equipment and consumer protections are in place.
PSC-08-20-00003-P	exempt	PSC regulation 16 NYCRR § § 86.3(a)(2) and 86.3(b)(2).	To consider a waiver of certain regulations relating to the content of an application for transmission line siting.
PSC-10-20-00003-P	exempt	The Commission's statewide low-income discount policy.	To consider modifications to certain conditions regarding utility low-income discount programs.
PSC-12-20-00008-P	exempt	Delivery rates of Corning Natural Gas Corporation.	Whether to postpone the implementation of a change in rates that would otherwise become effective on June 1, 2020.
PSC-15-20-00011-P	exempt	To modify the terms and conditions under which gas utilities provide service to electric generators.	To provide clarity and uniformity to the provision of gas service to electric generators.
PSC-15-20-00013-P	exempt	Ownership of New York American Water Company, Inc.	To consider whether a proposed transfer of ownership of New York American Water Company, Inc. is in the public interest.
PSC-16-20-00004-P	exempt	Disposition of a state sales tax refund.	To determine how much of a state sales tax refund should be retained by Central Hudson.
PSC-18-20-00012-P	exempt	The purchase price of electric energy and capacity from customers with qualifying on-site generation facilities.	To revise the price to be paid by the Company under Service Classification No. 10. for qualifying purchases of unforced capacity
PSC-18-20-00015-P	exempt	Participation of Eligible Telecommunications Carriers (ETCs) in New York State Lifeline Program.	Commission will consider each petition filed by an ETCs seeking approval to participate in the NYS Lifeline program.
PSC-19-20-00004-P	exempt	Clarification of the Order Adopting Changes to the Retail Access Energy Market and Establishing Further Process.	To consider whether energy service companies should be permitted to bank RECs to satisfy their renewable energy requirements.

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
PSC-19-20-00005-P	exempt	Cost recovery associated with Day-Ahead-DLM and Auto-DLM programs, and elimination of double compensation.	To provide cost recovery for new DLM programs and prevent double compensation to participating customers.
PSC-19-20-00009-P	exempt	Cost recovery associated with Day-Ahead-DLM and Auto-DLM programs, and elimination of double compensation.	To consider revisions to P.S.C. No. 10 - Electricity, and P.S.C. No. 12 - Electricity.
PSC-21-20-00008-P	exempt	Waiver of tariff rules and a related Commission regulation.	To consider whether a waiver of tariff rules and a Commission regulation are just and reasonable and in the public interest.
PSC-23-20-00008-P	exempt	Disposition of sales tax refund and other related matters.	To consider the appropriate allocation of the sales tax refund proceeds while balancing ratepayer and shareholder interests.
PSC-25-20-00009-P	exempt	Petition for the use of electric metering equipment.	To ensure that consumer bills are based on accurate measurements of electric usage.
PSC-25-20-00010-P	exempt	Whitepaper regarding energy service company financial assurance requirements.	To consider the form and amount of financial assurances to be included in the eligibility criteria for energy service companies.
PSC-25-20-00011-P	exempt	Notice of intent to submeter electricity.	To ensure adequate submetering equipment and consumer protections are in place.
PSC-25-20-00012-P	exempt	Petition to submeter electricity.	To ensure adequate submetering equipment, consumer protections and energy efficiency protections are in place.
PSC-25-20-00015-P	exempt	Staff whitepaper on a Data Access Framework.	To standardize the necessary privacy and cybersecurity requirements for access to energy-related data.
PSC-25-20-00016-P	exempt	Modifications to the Low-Income Affordability program.	To address the economic impacts of the COVID-19 pandemic.
PSC-25-20-00018-P	exempt	Staff's whitepaper proposing an IEDR.	To collect and integrate a large and diverse set of energy-related information and data on one statewide platform.
PSC-27-20-00003-P	exempt	To make the uniform statewide customer satisfaction survey permanent.	To encourage consumer protections and safe and adequate service.
PSC-28-20-00020-P	exempt	Compensation of distributed energy resources.	To ensure just and reasonable rates, including compensation, for distributed energy resources.
PSC-28-20-00022-P	exempt	Compensation of distributed energy resources.	To ensure just and reasonable rates, including compensation, for distributed energy resources.
PSC-28-20-00034-P	exempt	Petition to implement Section 7(5) of the Accelerated Renewable Energy Growth and Community Benefit Act	To develop the bulk transmission investments necessary to achieve the Climate Leadership and Community Protection Act goals
PSC-29-20-00011-P	exempt	Petition for waiver of the requirements of Opinion No. 76-17 and 16 NYCRR Part 96 regarding individual metering of living units.	To consider the petition of Opportunities for Broome, Inc for waiver of Opinion No. 76-17 and 16 NYCRR Part 96.
PSC-31-20-00004-P	exempt	Submetering of electricity.	To ensure adequate submetering equipment and consumer protections are in place.

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
PSC-31-20-00008-P	exempt	Submetering of electricity.	To ensure adequate submetering equipment and consumer protections are in place.
PSC-31-20-00010-P	exempt	Submetering of electricity and waiver of energy audit.	To ensure adequate submetering equipment, consumer protections and energy efficiency protections are in place.
PSC-32-20-00014-P	exempt	The term for retention of a monetary crediting methodology.	To provide sufficient revenues to support financing, realize promised benefits from the project, and repay necessary re-work.
PSC-34-20-00004-P	exempt	Notice of intent to submeter electricity and waiver of energy audit requirement.	To ensure adequate submetering equipment, consumer protections and energy efficiency protections are in place.
PSC-34-20-00005-P	exempt	Petition to provide a renewable, carbon-free energy option to residential and small commercial full-service customers.	To increase customer access to renewable energy in the Consolidated Edison Company of New York, Inc. service territory.
PSC-37-20-00006-P	exempt	Con Edison's petition for a proposed Non-Pipeline Solutions portfolio and associated budget.	To provide for continued service reliability and to meet customer energy needs while addressing greenhouse gas reduction goals.
PSC-38-20-00004-P	exempt	The annual Reconciliation of Gas Expenses and Gas Cost Recoveries.	To consider filings of LDCs and municipalities regarding their Annual Reconciliation of Gas Expenses and Gas Cost Recoveries.
PSC-39-20-00015-P	exempt	Compensation of distributed energy resources.	To ensure just and reasonable rates, including compensation, for distributed energy resources.
PSC-39-20-00021-P	exempt	Authority to issue to long-term debt.	To consider Corning's request for authority to issue long-term debt.
PSC-40-20-00003-P	exempt	NYSEG's petition for a proposed Non-Pipeline Alternatives portfolio of projects and associated budget.	To provide for continued service reliability and to meet customer energy needs while addressing greenhouse gas reduction goals.
PSC-40-20-00004-P	exempt	Minor rate filing.	To ensure safe and adequate service at just and reasonable rates charged to customers without undue preferences.
PSC-40-20-00005-P	exempt	Electric Generation Facility Cessation Mitigation Program Funding	To develop a funding mechanism for the Electric Generation Facility Cessation Mitigation Program.
PSC-40-20-00006-P	exempt	Waiver of tariff rules and a related Commission regulation.	To consider whether a waiver of tariff rules and a Commission regulation are just and reasonable and in the public interest.
PSC-40-20-00008-P	exempt	A benefit for electric utility customers in communities that host a major renewable energy facility.	To consider a just and reasonable benefit for electric utility customers in renewable host communities.
PSC-41-20-00010-P	exempt	Disposition of a $50 million municipal tax refund	To consider a disposition of a municipal tax refund for customer and company benefit
PSC-41-20-00011-P	exempt	Major gas rate filing.	To consider a proposed increase in Corning's gas delivery revenues of approximately $6.3 million (23.4% in total revenues).
PSC-41-20-00013-P	exempt	The proposed transfer of a Certificate of Environmental Compatibility and Public Need.	Consideration of whether the proposed transfer is in the public interest.

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
PSC-42-20-00006-P	exempt	Proposed major rate increase in National Grid's delivery revenues of approximately $41.8 million (or 9.8% in total revenues).	To ensure safe and adequate service at just and reasonable rates charged to customers without undue preferences.
PSC-42-20-00007-P	exempt	Transfer of ownership interests and facilities associated with three nuclear generating units, funds, and storage facilities.	To ensure appropriate regulatory review, oversight, and action concerning the proposed transfer to serve the public interest.
PSC-42-20-00008-P	exempt	Availability of gas leak information to the public safety officials.	Facilitate availability of gas leak information to public safety officials by gas corporations.
PSC-42-20-00009-P	exempt	Proposed major rate increase in National Grid's delivery revenues of approximately $100.4 million (or 3.2% in total revenues).	To ensure safe and adequate service at just and reasonable rates charged to customers without undue preferences.
PSC-43-20-00003-P	exempt	The use of $50 million to support residential and commercial customers experiencing financial hardship	To consider whether the proposed support of ratepayers is in the public interest
PSC-44-20-00006-P	exempt	Transfer of property interests in the Union Falls Hydroelectric Facility.	To determine whether to authorize the transfer of the Union Falls Hydroelectric Facility and the proper accounting treatment.
PSC-44-20-00007-P	exempt	Establishment of the regulatory regime applicable to an approximately 90.5 MW electric generating facility.	Consideration of a lightened regulatory regime for an approximately 90.5 MW electric generating facility.
PSC-44-20-00009-P	exempt	Notice of intent to submeter electricity and waiver of energy audit requirement.	To ensure adequate submetering equipment, consumer protections and energy efficiency protections are in place.
PSC-44-20-00010-P	exempt	Transfer of natural gas pipeline facilities and ownership interests in those facilities, and an applicable regulatory regime.	To ensure appropriate regulatory review, oversight, and action concerning the proposed transfers and the facility owners.
PSC-45-20-00003-P	exempt	Petition to submeter electricity	To ensure adequate submetering equipment and consumer protections are in place
PSC-45-20-00004-P	exempt	Major gas rate filing	To consider an increase in Central Hudson's gas delivery revenues
PSC-45-20-00005-P	exempt	Major electric rate filing	To consider an increase in Central Hudson's electric delivery revenues
PSC-45-20-00006-P	exempt	Petition to submeter electricity and waiver request	To ensure adequate submetering equipment, consumer protections and energy efficiency protections are in place
PSC-46-20-00004-P	exempt	Notice of intent to submeter electricity.	To ensure adequate submetering equipment and consumer protections are in place.
PSC-46-20-00005-P	exempt	The recommendations of the DPS Staff report to improve Hudson Valley Water's service.	To determine if approving the DPS Staff's recommendations is in the public interest.
PSC-46-20-00006-P	exempt	Amendments to the SIR.	To more effectively interconnect distributed generation and energy storage Systems 5 MW or less to the distribution system.
PSC-46-20-00007-P	exempt	Compliance of New York Transco LLC with the applicable portions of the Electric Safety Standards.	To consider the petition of New York Transco LLC for clarification of its responsibilities under the Electric Safety Standards.

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
PSC-46-20-00008-P	exempt	Compliance report by electric utilities on developing distribution and local transmission in accordance with the AREGCB Act.	To support distribution and local transmission investments necessary to achieve the the State's climate goals.
PSC-46-20-00009-P	exempt	Proposed Public Policy Transmission Needs/ Public Policy Requirements, as defined under the NYISO tariff.	To identify any proposed Public Policy Transmission Needs/Public Policy Requirements for referral to the NYISO.
PSC-47-20-00006-P	exempt	Notice of intent to submeter electricity and request for waiver of 16 NYCRR § 96.5(k)(3).	To ensure adequate submetering equipment, consumer protections and energy efficiency protections are in place.
PSC-47-20-00007-P	exempt	Petition to submeter electricity.	To ensure adequate submetering equipment and consumer protections are in place.
PSC-47-20-00008-P	exempt	Notice of intent to submeter electricity.	To ensure adequate submetering equipment and consumer protections are in place.
PSC-48-20-00004-P	exempt	Petition to submeter electricity.	To ensure adequate submetering equipment and consumer protections are in place.
PSC-48-20-00005-P	exempt	Partial waiver of the Order Adopting Changes to the Retail Access Energy Market and Establishing Further Process.	To consider whether Chief Energy Power, LLC should be permitted to offer green gas products to mass market customers.
PSC-48-20-00006-P	exempt	PSC regulations 16 NYCRR 86.3(a)(2); 86.3(a)(2)(iv) and 88.4(a)(4).	To consider a waiver of certain regulations relating to the content of an application for transmission line siting.
PSC-48-20-00007-P	exempt	Tariff modifications to change National Fuel Gas Distribution Corporation's Monthly Gas Supply Charge provisions.	To ensure safe and adequate service at just and reasonable rates charged to customers without undue preferences.
PSC-48-20-00008-P	exempt	Proposed modifications to Rider T - Commercial Demand Response Program.	To consider revisions to Rider T - CDRP for the 2021 Capability Period.
PSC-48-20-00009-P	12/02/21	Siting of major transmission facilities in new or existing rights of way that qualify for expedited process.	To establish expedited requirements for the siting, construction and operation of major transmission facilities.
PSC-49-20-00007-P	exempt	Petition to submeter electricity.	To ensure adequate submetering equipment and consumer protections are in place.
PSC-49-20-00008-P	exempt	Amendments to modify provisions related to Emergency Electric Generators under General Information Section III (H).	To ensure safe and adequate service at just and reasonable rates charged to customers without undue preferences.
PSC-49-20-00009-P	exempt	Transfer of certain electric transmission facilities and easements.	To determine whether to authorize the proposed transfers and the proper accounting treatment.
PSC-49-20-00010-P	exempt	Notice of intent to submeter electricity.	To ensure adequate submetering equipment and consumer protections are in place.
PSC-50-20-00004-P	exempt	Proposed transfer of the Company's assets to the Purchasers.	To determine if transfer of the water system to the Purchasers is in the public interest.
PSC-51-20-00006-P	exempt	Notice of intent to submeter electricity and waiver of energy audit requirement.	To ensure adequate submetering equipment, consumer protections and energy efficiency protections are in place.

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
PSC-51-20-00007-P	exempt	Whitepaper on the ACOS method used by utilities in developing Standby and Buyback Service rates.	To standardize the utility ACOS methods and resulting rates, and to enable stand-alone energy storage systems.
PSC-51-20-00008-P	exempt	The New York State Reliability Council's establishment of an Installed Reserve Margin of 20.7%	To ensure adequate levels of Installed Capacity.
PSC-51-20-00009-P	exempt	Partial waiver of the Order Adopting Changes to the Retail Access Energy Market and Establishing Further Process.	To consider whether petitioner should be permitted to offer its "Energy Savings Program" to mass market customers.
PSC-51-20-00010-P	exempt	Petition to submeter electricity and request for waiver.	To ensure adequate submetering equipment, consumer protections and energy efficiency protections are in place.
PSC-51-20-00011-P	exempt	Lease of right-of-way and transfer of facilities.	To determine whether to authorize lease of right-of-way, and transfer of facilities and the proper accounting treatment.
PSC-51-20-00012-P	exempt	Notice of intent to submeter electricity and request for waiver.	To ensure adequate submetering equipment, consumer protections and energy efficiency protections are in place.
PSC-51-20-00013-P	exempt	Competitive solicitations to procure 350mw of energy storage systems directed by the Commission's 2018 Energy Storage Order.	To ensure compliance with Public Service Law Section 74 and achieve state goals to install energy storage systems.
PSC-51-20-00014-P	exempt	Electric system needs and compensation for distributed energy resources.	To ensure safe and adequate service and just and reasonable rates, including compensation, for distributed energy resources.
PSC-52-20-00002-P	exempt	Petition for the use of gas metering equipment.	To ensure that consumer bills are based on accurate measurements of gas usage.
PSC-52-20-00003-P	exempt	Notice of intent to submeter electricity and waiver request.	To ensure adequate submetering equipment, consumer protections and energy efficiency protections are in place.
PSC-52-20-00004-P	exempt	Use of pipeline refund.	To consider how a pipeline refund of $2.26 million will be utilized by National Fuel.
PSC-52-20-00005-P	exempt	Clarification or reconsideration of a provision in a prior order.	To determine whether to clarify or reconsider a provision of a prior order regarding the implementation of Green Button Connect.
PSC-52-20-00006-P	exempt	Banked credit distribution rules and processes.	To ensure just and consistent banked credit distribution rules and processes.
PSC-52-20-00007-P	exempt	Negative performance factor adjustments applied to auto-dynamic load management and term-dynamic load management participants.	To improve multi-year and auto-DLM programs that will improve demand response program offerings.
PSC-52-20-00008-P	exempt	Transfer of a natural gas pipeline and the associated certificate, and application of lightened and incidental regulation.	To determine whether the requested transfers and regulatory treatment are consistent with the law and the public interest.
PSC-52-20-00009-P	exempt	Notice of intent to submeter electricity.	To ensure adequate submetering equipment and consumer protections are in place.

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
PSC-52-20-00010-P	exempt	Proposed filing regarding capacity surcharge for ESCO transportation customers.	To ensure safe and reliable service for customer at just and reasonable rates.
PSC-52-20-00011-P	exempt	Petition for the use of gas metering equipment.	To ensure that consumer bills are based on accurate measurements of gas usage.
PSC-52-20-00012-P	exempt	The upgrading of cellular antennas on an electric transmission tower.	To determine whether the upgrading of cellular antennas on an electric transmission tower is in the public interest.
PSC-52-20-00013-P	exempt	Changes to PSL Section 66-p relating to billing information for residential rental premises.	To establish provisions as necessary to effectuate PSL Section 66-p.
PSC-52-20-00014-P	exempt	The upgrading of cellular antennas on an electric transmission tower.	To determine whether the upgrading of cellular antennas on an electric transmission tower is in the public interest.
PSC-52-20-00015-P	exempt	The upgrading of cellular antennas on an electric transmission tower.	To determine whether the upgrading of cellular antennas on an electric transmission tower is in the public interest.
PSC-52-20-00016-P	exempt	Waiver of 16 NYCRR Sections 86.3(a)(1), 86.3(a)(2), and 86.3(b)(2).	To consider a waiver of certain regulations relating to the content of an application for transmission line siting.
PSC-52-20-00017-P	exempt	Enwave Syracuse LLC and Syracuse Energy Concessionaire LLC's proposed financing.	To review the proposed financing and consider whether it is within the public interest.
PSC-01-21-00004-P	exempt	Partial waiver of the Order Adopting Changes to the Retail Access Energy Market and Establishing Further Process.	To consider whether petitioner should be permitted to offer its Home Warranty product to mass market customers.
PSC-01-21-00005-P	exempt	Changes to PSL Section 66-p relating to billing information for residential rental premises.	To establish provisions as necessary to effectuate PSL Section 66-p.
PSC-01-21-00006-P	exempt	A debt financing arrangement with respect to an electric transmission line under development.	To review the proposed financing and consider whether it is within the public interest.
PSC-01-21-00007-P	exempt	Notice of intent to submeter electricity.	To ensure adequate submetering equipment and consumer protections are in place.
PSC-01-21-00008-P	exempt	The revision of certain delivery rates for electric service.	To ensure safe and reliable service for customers at just and reasonable rates.
PSC-02-21-00006-P	exempt	Disposition of a sales tax refund received by New York American Water, Inc.	To determine the disposition of tax refunds and other related matters.
PSC-03-21-00002-EP	exempt	Postponement of delivery rate, System Improvement Charge (SIC) and RAC/PTR surcharge with make whole starting on May 1, 2021.	To assist customers in a time of hardship by delaying a rate increase and SIC increase and RAC/PTR surcharge implementation.
PSC-03-21-00006-P	exempt	Comprehensive study to identify distribution and transmission investments in accordance with the AREGCB Act.	To support distribution and local transmission investments necessary to achieve the State's climate goals.
PSC-03-21-00007-P	exempt	Waiver of certain rules, i.e., 7-day installation requirements pertaining to cable television franchise.	To determine whether to waive any rules and regulations.

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
PSC-03-21-00008-P	exempt	Authorization to recover costs for three transmission projects and related mechanisms.	To ensure safe and adequate service at just and reasonable rates charged to customers without undue preferences.
PSC-03-21-00009-P	exempt	The waiver of regulation and tariff provisions to allow for more time to recover the deposit for electric infrastructure.	Whether the extension of the deadline is in the public interest.
PSC-04-21-00011-P	exempt	Proposed tariff revisions for the DLM Program summer 2021 capability period.	More efficient demand response programs to gain operational efficiency and shave peak demand.
PSC-04-21-00012-P	exempt	Proposed tariff revisions and clarifications for the DLM Program summer 2021 capability period.	More efficient demand response programs to gain operational efficiency and shave peak demand.
PSC-04-21-00013-P	exempt	Proposed tariff revisions for the DLM Program summer 2021 capability period.	More efficient demand response programs to gain operational efficiency and shave peak demand.
PSC-04-21-00014-P	exempt	Proposed DLM Program and tariff revisions for the summer 2021 capability period.	More efficient demand response programs to gain operational efficiency and shave peak demand.
PSC-04-21-00015-P	exempt	Proposed tariff revisions for the DLM Program summer 2021 capability period.	More efficient demand response programs to gain operational efficiency and shave peak demand.
PSC-04-21-00016-P	exempt	Request for a waiver.	To consider whether good cause exists to support a waiver of the Commission's Test Period Policy Statement.
PSC-04-21-00017-P	exempt	Funding and management of the the Clean Energy Fund portfolio.	To review NYSERDA's proposed modifications to the Clean Energy Fund portfolio and determine whether the changes are acceptable.
PSC-04-21-00018-P	exempt	Minor electric rate filing to increase annual electric revenues.	To ensure safe and adequate service at just and reasonable rates charged to customers without undue preferences.
PSC-04-21-00019-P	exempt	Consideration of National Grid USA's New York electric and gas utilities' Implementation Plan and audit recommendations.	To ensure that recommendations issued in a comprehensive management audit are appropriately addressed and implemented.
PSC-04-21-00020-P	exempt	NFG's Implementation Plan and audit recommendations.	To consider to implement the management audit recommendations.
PSC-05-21-00004-P	exempt	Alternative proposal for net crediting billing.	To facilitate development of and participation in Community Distributed Generation projects.
PSC-05-21-00005-P	exempt	The applicable regulatory regime under the Public Service Law for the owner of a merchant electric generating facility.	Consideration of a lightened regulatory regime for the owner of an approximately 100 MW electric generating facility.
PSC-05-21-00006-P	exempt	Petition to submeter electricity.	To ensure adequate submetering equipment and consumer protections are in place.
PSC-05-21-00007-P	exempt	Petition to amend bill estimation procedures.	To consider the petition of Central Hudson Gas & Electric Corporation to amend its current bill estimation procedures.

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
PSC-05-21-00008-P	exempt	Petition to submeter electricity.	To ensure adequate submetering equipment and consumer protections are in place.
PSC-05-21-00009-P	exempt	Proposed rate increase in annual revenues.	To ensure safe and adequate service at just and reasonable rates charged to customers without undue preferences.
PSC-05-21-00012-P	exempt	The electric utilities' 2021 Electric Emergency Response Plans.	To consider the adequacy of the proposed 2021 Electric Emergency Response Plans.
PSC-06-21-00008-P	exempt	Changes to PSL Section 66-p relating to billing information for residential rental premises.	To establish provisions as necessary to effectuate PSL Section 66-p.
PSC-06-21-00009-P	exempt	Disposition of a property tax refund received by New York American Water, Inc.	To determine the disposition of tax refunds and other related matters.
PSC-06-21-00010-P	exempt	Exemptions from utility standby rates for distributed energy resources and efficient combined heat and power projects.	To determine whether utility standby rate exemptions should be continued.
PSC-06-21-00011-P	exempt	Petiton to submeter electricity.	To ensure adequate submetering equipment and consumer protections are in place.
PSC-07-21-00005-P	exempt	Staff Whitepaper recommending modifications to the utility's energy affordability program.	To consider updates and enhancements to the utility's low-income energy affordability program.
PSC-07-21-00006-P	exempt	Transfer of street lighting facilities.	To determine whether to authorize the transfer street of lighting facilities and the proper accounting for the transaction.
PSC-07-21-00007-P	exempt	Conditioned pre-approval of stock transactions of regulated entities.	To consider allowing stock transactions within statutory parameters without Commission approval for individual transactions.
PSC-07-21-00008-P	exempt	Waiver of certain Commission requirements related to the distribution of telephone directories.	To reduce unnecessary waste and disposal of hard copy directories.
PSC-07-21-00009-P	exempt	PSC regulations 16 NYCRR 86.3(a)(1); 86.3(a)(2); 86.4(b).	To consider a waiver of certain regulations relating to the content of an application for transmission line siting.
PSC-07-21-00010-P	exempt	Transfer of street lighting facilities	To determine whether to authorize the transfer street of lighting facilities and the proper accounting for the transaction
PSC-07-21-00011-P	exempt	Transfer of street lighting facilities	To determine whether to authorize the transfer street of lighting facilities and the proper accounting for the transaction
PSC-08-21-00003-P	exempt	Utility-owned ESR participation in the New York Independent System Operator, Inc. (NYISO) administered wholesale markets.	To consider if National Grid should use a ESR in NYISO markets, and whether any conditions are appropriate for such use.
PSC-08-21-00004-P	exempt	Proposed transfer of water supply assets.	To determine if transfer of all water supply assets is in the public interest.
PSC-08-21-00005-P	exempt	Transfer of street lighting facilities.	To determine whether to authorize the transfer street of lighting facilities and the proper accounting for the transaction.

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
PSC-08-21-00006-P	exempt	Transfer of street lighting facilities.	To determine whether to transfer street lighting facilities and the proper accounting for the transaction.
STATE, DEPARTMENT OF			
DOS-37-20-00015-P	09/16/21	Siting of major renewable energy facilities	To establish procedural requirements for permits for siting, construction and operation of major renewable energy facilities
DOS-37-20-00016-P	11/29/21	Siting permits for major renewable energy facilities	To establish uniform standards and conditions for siting, design, construction & operation of major renewable energy facilities
DOS-48-20-00010-P	12/02/21	Procedures and requirements related to the filing of certificates by the Department of State's Division of Corporations	To clarify and update procedures related to the filing of certificates with the Division of Corporations
DOS-51-20-00004-P	12/23/21	Fair Housing Requirements for Appraisers and Assistant Appraisers	To Mandate Fair Housing Education as a Condition of Renewal
DOS-05-21-00013-P	02/03/22	Requirements and procedures related to filing, review and publication of financial reports filed with the Department of State	To provide procedures related to the filing, review and publication of financial reports filed with the Department of State
STATE UNIVERSITY OF NEW YORK			
*SUN-53-19-00005-P	04/29/21	Proposed amendments to the traffic and parking regulations at State University Agricultural and Technical College at Morrisville	Amend existing regulations to update traffic and parking regulations
SUN-29-20-00004-EP	07/22/21	State basic financial assistance for the operating expenses of community colleges under the program of SUNY and CUNY	To modify limitations formula for basic State financial assistance and remove an operating support "floor"
SUN-29-20-00005-EP	07/22/21	Student Assembly Elections, Student Assembly Officers, Campus Government Elections, Student Activity Fees	To postpone voting on student activity fees and elections of Student Assembly representatives and officers until Fall 2020
SUN-05-21-00010-P	02/03/22	Proposed amendments to the traffic and parking regulations at State University of New York at Potsdam	Amend existing regulations to update traffic and parking regulations
STATEN ISLAND RAPID TRANSIT OPERATING AUTHORITY			
SIR-39-20-00008-EP	09/30/21	Requiring mask wearing covering the nose and mouth when using terminals, stations and trains operated by SIRTOA.	To safeguard the public health and safety by amending rules to require the use of masks when using terminals and stations.
TAXATION AND FINANCE, DEPARTMENT OF			
TAF-46-20-00003-P	exempt	Fuel use tax on motor fuel and diesel motor fuel and the art. 13-A carrier tax jointly administered therewith	To set the sales tax component and the composite rate per gallon for the period January 1, 2021 through March 31, 2021
TAF-51-20-00002-EP	12/23/21	Metropolitan Transportation Business Tax Surcharge	To provide metropolitan transportation business tax rate for tax year 2021

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
TAXATION AND FINANCE, DEPARTMENT OF			
TAF-07-21-00001-EP	exempt	Fuel use tax on motor fuel and diesel motor fuel and the art. 13-A carrier tax jointly administered therewith	To set the sales tax component and the composite rate per gallon for the period January 1, 2021 through March 31, 2021
TAF-07-21-00002-P	exempt	Fuel use tax on motor fuel and diesel motor fuel and the art. 13-A carrier tax jointly administered therewith	To set the sales tax component and the composite rate per gallon for the period April 1, 2021 through June 30, 2021
TEMPORARY AND DISABILITY ASSISTANCE, OFFICE OF			
TDA-46-20-00002-P	11/18/21	Payment access cards	To update State regulations pertaining to payment access cards to align with Part V of Chapter 56 of the Laws of 2020
TRIBOROUGH BRIDGE AND TUNNEL AUTHORITY			
TBA-50-20-00005-P	exempt	A proposal to establish a new crossing charge schedule for use of bridges and tunnels operated by TBTA	A proposal to raise additional revenue
WORKERS' COMPENSATION BOARD			
WCB-23-20-00004-P	06/10/21	EDI system updates	To require carriers to report certain credits taken for payments to claimants; biannual reports; EDI 3.1 updates
WCB-28-20-00003-EP	07/15/21	Adding COVID-19 diagnosis by a health care provider as a serious health condition for purposes of Paid Family Leave	To clarify that employees may take PFL to care for a family member with COVID-19
WCB-42-20-00004-P	10/21/21	Medical Treatment Guidelines	To add PTSD and acute stress disorder, and major depressive disorder MTGs
WCB-42-20-00005-P	10/21/21	Medical Treatment Guidelines	To add PTSD and acute stress disorder, and major depressive disorder MTGs
WCB-42-20-00012-P	10/21/21	DME Fee Schedule	To replace DME fee schedule, update fees; create prior authorization process
WCB-48-20-00002-EP	12/02/21	Reimbursement for COVID-19 testing	To allow reimbursement for COVID-19 testing when benefits are sought due to workplace exposure to COVID-19
WCB-06-21-00013-P	02/10/22	Medical Treatment Guidelines	To update back, neck, shoulder, knee, and NAP MTGs

SECURITIES OFFERINGS

STATE NOTICES

Published pursuant to provisions of General Business Law
[Art. 23-A, § 359-e(2)]

DEALERS; BROKERS

2133 Vandivere LLC
414 41st Ave. N, Myrtle Beach, SC 29577
State or country in which incorporated — Colorado

AG Gemmy Realty, L.P.
c/o Angelo, Gordon & Co., L.P. 245 Park Ave., New York, NY 10167
Partnership — AG Gemmy LLC

Copper Chase LLC
12257 Levi Circle, Henderson, CO 80640
State or country in which incorporated — Colorado

Flower Turbines, Inc.
240 Central Ave., 1J, Lawrence, NY 11559
State or country in which incorporated — Delaware

Fundrlse Real Estate Interval Fund, LLC
11 Dupont Circle NW, 9th Fl., Washington, DC 20036
State or country in which incorporated — Delaware

Gravitas Capital LP
212 Maple Ave., Red Bank, NJ 07701
Partnership — Gravitas Capital Partners LLC

Greer Road Investors, LLC
8014 Cumming Hwy., Suite 403369, Canton, GA 30115
State or country in which incorporated — Georgia

High Signal, Inc.
231 13th St., Suite 1, Brooklyn, NY 11215
State or country in which incorporated — Delaware

IZEA, Inc.
501 N. Orlando Ave., Suite 313, PMB 247, Winter Park, FL 32789
State or country in which incorporated — Nevada

MLG Dividend Fund V LLC
Attn: Timothy J. Wallen, 19000 W. Bluemound Rd., Brookfield, WI 53045
State or country in which incorporated — Wisconsin

MLG Private Fund V LLC
Attn: Timothy J. Wallen, 19000 W. Bluemound Rd., Brookfield, WI 53045
State or country in which incorporated — Wisconsin

Monarch Mining Corporation
68 Ave. de la Gare, Saint-Sauveur, Quebe fJoR 1J\O
State or country in which incorporated — Canada

National Rural Utilities Cooperative Finance Corporation
20701 Cooperative Way, Dulles, VA 20166
State or country in which incorporated — District of Columbia

Nevils Bluff Investments, LLC
350 Jericho Tpke., Suite 302, Jericho, NY 11753
State or country in which incorporated — Delaware

Newport Square LLC
12257 Levi Circle, Henderson, CO 80640
State or country in which incorporated — Colorado

NexPoint Gamma DST
300 Crescent Court, Suite 700, Dallas TX 75201
State or country in which incorporated — Delaware

Revolution Global, LLC
1200 N. North Branch, 2nd Fl., Chicago, IL 60642

Rockfarmer Fund V, LLC
42-15 235th St., Douglaston, NY 11363

Seven Oaks Real Estate Fund, LLC
350 Jericho Tpke., Suite 302, Jericho, NY 11753
State or country in which incorporated — Delaware

Shakti Capital Overland Apartments, LLC
6673 Doonbeg Dr., Frisco, TX 75035
State or country in which incorporated — Texas

Sugarfina Corporation
1700 E. Walnut Ave., Suite 500, El Segundo, CA 90245
State or country in which incorporated — Delaware

Superfly Experiential Ventures, LLC
381 Park Ave. S, 16th Fl., New York, NY 10016
State or country in which incorporated — Delaware limited liability company

Vivo Apartments Owner New Braunfels, LLC
16150 SW. Upper Boones Ferry Rd., Portland, OR 97224
State or country in which incorporated — Delaware

Worthy Community Bonds U, Inc.
One Boca Commerce Center, 551 NW. 77 St., Suite 212, Boca Raton, FL 33487
State or country in which incorporated — Florida

ADVERTISEMENTS FOR BIDDERS/CONTRACTORS

SEALED BIDS

PROVIDE
SECURITY SYSTEM
Central New York Psychiatric Center
Marcy, Oneida County

Sealed bids for Project No. 45820-C, comprising a contract for Construction Work, Provide Security System, Building 39, Central New York Psychiatric Center, 9005 Old River Road, Marcy (Oneida County), NY, will be received by the Office of General Services (OGS), Design & Construction Group (D&C), Division of Contract Management, 35th Fl., Corning Tower, Empire State Plaza, Albany, NY 12242, on behalf of the Office of Mental Health, until 2:00 p.m. on Wednesday, March 10th, 2021, when they will be publicly opened and read. Each bid must be prepared and submitted in accordance with the Instructions to Bidders and must be accompanied by a bid security (i.e. certified check, bank check, or bid bond in the amount of $8,700 for C).

Further, Wicks Exempt Projects require a completed form BDC 59 (Wicks Exempt List of Contractors) be filled out and submitted (included in a separate, sealed envelope) in accordance with Document 002220, Supplemental Instructions to Bidders – Wicks Exempt. Failure to submit this form correctly will result in a disqualification of the bid.

All successful bidders will be required to furnish a Performance Bond and a Labor and Material Bond pursuant to Sections 136 and 137 of the State Finance Law, each for 100% of the amount of the Contract estimated to be between $100,000 and $250,000 for C.

Pursuant to State Finance Law §§ 139-j and 139-k, this solicitation includes and imposes certain restrictions on communications between OGS D&C and a bidder during the procurement process. A bidder is restricted from making contacts from the earliest posting, on the OGS website, in a newspaper of general circulation, or in the Contract Reporter of written notice, advertisement or solicitation of offers through final award and approval of the contract by OGS D&C and the Office of the State Comptroller ("Restricted Period") to other than designated staff unless it is a contact that is included among certain statutory exceptions set forth in State Finance Law § 139-j(3)(a). Designated staff are John Pupons, Jessica Hoffman and Pierre Alric in the Division of Contract Management, telephone (518) 474-0203, fax (518) 473-7862 and John Lewyckyj, Deputy Director, Design & Construction Group, telephone (518) 474-0201, fax (518) 486-1650. OGS D&C employees are also required to obtain certain information when contacted during the restricted period and make a determination of the responsibility of the bidder pursuant to these two statutes. Certain findings of non-responsibility can result in rejection for contract award and in the event of two findings within a four-year period, the bidder is debarred from obtaining governmental Procurement Contracts. Bidders responding to this Advertisement must familiarize themselves with the State Finance Law requirements and will be expected to affirm that they understand and agree to comply on the bid form. Further information about these requirements can be found within the project manual or at: http://www.ogs.ny.gov/aboutOGS/regulations/defaultAdvisoryCouncil.html

The substantial completion date for this project is 238 days after the Agreement is approved by the Comptroller.

Pursuant to Public Buildings Law § 8(6), effective January 11, 2020, for any projects where the project design commenced on or after January 1, 2020 and for any contracts over $5,000 for the work of construction, reconstruction, alteration, repair, or improvement of any State building, a responsible and reliable NYS-certified Minority or Women-Owned Business Enterprise that submits a bid within ten percent of the lowest bid will be deemed the apparent low bidder provided that the bid is $1,400,000 or less, as adjusted annually for inflation beginning January 1, 2020. If more than one responsible and reliable MWBE firm meets these requirements, the MWBE firm with the lowest bid will be deemed the apparent low bidder.

__X__Project commenced design before January 1, 2020. Not subject to provision.

_____Project commenced design on or after January 1, 2020. Subject to provision.

The Office of General Services reserves the right to reject any or all bids.

The Bidding and Contract Documents for this Project are available on compact disc (CD) only, and may be obtained for an $8.00 deposit per set, plus a $2.00 per set shipping and handling fee. Pursuant to State Finance Law § 143(1), effective January 11, 2020, the required deposit will be waived upon request by any Minority- and Women-Owned Business Enterprise certified pursuant to Article 15-A of the Executive Law or any Service-Disabled Veteran-Owned Business Enterprise certified pursuant to Article 17-B of the Executive Law. Contractors and other interested parties can order CD's on-line through a secure web interface available 24 hours a day, 7 days a week. Please use the following link for ordering and payment instructions: https://ogs.ny.gov/design-construction/construction-contractors

For questions about purchase of bid documents, please send an e-mail to DCPlans@ogs.ny.gov, or call (518) 474-0203.

For additional information on this project, please use the link below and then click on the project number: https://online.ogs.ny.gov/dnc/contractorConsultant/esb/ESBPlansAvailableIndex.asp

By *John D. Lewyckyj, Deputy Director*
OGS - Design & Construction Group

PROVIDE
3000 TON SALT BUILDING
Department of Transportation Region 3
Camillus, Onondaga County

Sealed bids for Project Nos. 46094-C and 46094-E, comprising separate contracts for Construction Work, and Electrical Work, Provide 3000 Ton Salt Building, DOT Region 3, Onondaga County, 5700 Devoe Rd, Camillus (Onondaga County) NY, will be received by the Office of General Services (OGS), Design & Construction Group (D&C), Division of Contract Management, 35th Fl., Corning Tower, Empire State Plaza, Albany, NY 12242, on behalf of the Department of Transportation, until 2:00 p.m. on Wednesday, March 10, 2021, when they will be publicly opened and read. Each bid must be prepared and submitted in accordance with the Instructions to Bidders and must be accompanied by a bid security (i.e. certified check, bank check, or bid bond in the amount of $53,400 for C, and $10,300 for E).

All successful bidders will be required to furnish a Performance Bond and a Labor and Material Bond pursuant to Sections 136 and 137 of the State Finance Law, each for 100% of the amount of the Contract estimated to be between $1,000,000 and $2,000,000 for C, and between $100,000 and 250,000 for E.

Pursuant to State Finance Law §§ 139-j and 139-k, this solicitation includes and imposes certain restrictions on communications between OGS D&C and a bidder during the procurement process. A bidder is restricted from making contacts from the earliest posting, on the OGS website, in a newspaper of general circulation, or in the Contract Reporter of written notice, advertisement or solicitation of offers through final award and approval of the contract by OGS D&C and the Office of the State Comptroller ("Restricted Period") to other than designated staff unless it is a contact that is included among certain statutory exceptions set forth in State Finance Law § 139-j(3)(a). Designated staff are John Pupons, Jessica Hoffman and Pierre Alric in the Division of Contract Management, telephone (518) 474-0203, fax (518) 473-7862 and John Lewyckyj, Deputy Director, Design & Construction Group, telephone (518) 474-0201, fax (518) 486-1650. OGS D&C employees are also required to obtain certain information when contacted during the restricted period and make a determination of the responsibility of the bidder pursuant to these two statutes. Certain findings of non-responsibility can result in rejection for contract award and in the event of two findings within a four-year period, the bidder is debarred from obtaining governmental Procurement Contracts. Bidders responding to this Advertisement must familiarize themselves with the State Finance Law requirements and will be expected to affirm that they understand and agree to comply on the bid form. Further information about these requirements can be found within the project manual or at: http://www.ogs.ny.gov/aboutOGS/regulations/defaultAdvisoryCouncil.html

Pursuant to Public Buildings Law § 8(6), effective January 11, 2020, for any projects where the project design commenced on or after January 1, 2020 and for any contracts over $5,000 for the work of construction, reconstruction, alteration, repair, or improvement of any State building, a responsible and reliable NYS-certified Minority or Women-Owned Business Enterprise that submits a bid within ten percent of the lowest bid will be deemed the apparent low bidder provided that the bid is $1,400,000 or less, as adjusted annually for inflation beginning January 1, 2020. If more than one responsible and reliable MWBE firm meets these requirements, the MWBE firm with the lowest bid will be deemed the apparent low bidder.

__X__Project commenced design before January 1, 2020. Not subject to provision.

_____Project commenced design on or after January 1, 2020. Subject to provision.

As a condition of award, within 48 hours of receipt of the proposed Contract Agreement from the State, the apparent low bidder shall return the Contract Agreement to the State, properly executed, along with the Bonds if required by said Agreement. Low bidders who cannot meet these provisions may be subject to disqualification and forfeiture of the bid security.

The State intends to expedite award of this Contract and the Contractor shall be prepared to proceed with the Work accordingly. Bidders are warned that time is of the essence of the Contract and substantial completion of the Work must be within 135 days after the Agreement is approved by the Comptroller. Due to the tightness of the construction schedule, bidders should consider the necessity for an increased work force and shift operations.

Pursuant to New York State Executive Law Article 15-A and the rules and regulations promulgated thereunder, OGS is required to promote opportunities for the maximum feasible participation of New York State-certified Minority- and Women-owned Business Enterprises ("MWBEs") and the employment of minority group members and women in the performance of OGS contracts. All bidders are expected to cooperate in implementing this policy. OGS hereby establishes an overall goal of 30% for MWBE participation, 15% for Minority-Owned Business Enterprises ("MBE") participation and 15% for Women-Owned Business Enterprises ("WBE") participation (based on the current availability of qualified MBEs and WBEs) for Construction Work. The total contract goal can be obtained by utilizing any combination of MBE and /or WBE participation for subcontracting and supplies acquired under this Contract.

Pursuant to State Finance Law § 143(1), effective January 11, 2020, the required deposit will be waived upon request by any Minority- and Women-Owned Business Enterprise certified pursuant to Article 15-A of the Executive Law or any Service-Disabled Veteran-Owned Business Enterprise certified pursuant to Article 17-B of the Executive Law. Contractors and other interested parties can order CD's on-line through a secure web interface available 24 hours a day, 7 days a week. Please use the following link at the OGS website for ordering and payment instructions: https://online.ogs.ny.gov/dnc/contractorConsultant/esb/ESBPlansAvailableIndex.asp

For questions about purchase of bid documents, please send an e-mail to DCPlans@ogs.ny.gov, or call (518) 474-0203.

The Office of General Services reserves the right to reject any or all bids.

The Bidding and Contract Documents for this Project are available on compact disc (CD) only, and may be obtained for an $8.00 deposit per set, plus a $2.00 per set shipping and handling fee.

For additional information on this project, please use the link below and then click on the project number: https://online.ogs.ny.gov/dnc/contractorConsultant/esb/ESBPlansAvailableIndex.asp

By *John D. Lewyckyj, Deputy Director*
OGS - Design & Construction Group

MISCELLANEOUS NOTICES/HEARINGS

Notice of Abandoned Property Received by the State Comptroller

Pursuant to provisions of the Abandoned Property Law and related laws, the Office of the State Comptroller receives unclaimed monies and other property deemed abandoned. A list of the names and last known addresses of the entitled owners of this abandoned property is maintained by the office in accordance with Section 1401 of the Abandoned Property Law. Interested parties may inquire if they appear on the Abandoned Property Listing by contacting the Office of Unclaimed Funds, Monday through Friday from 8:00 a.m. to 4:30 p.m., at:

1-800-221-9311
or visit our web site at:
www.osc.state.ny.us

Claims for abandoned property must be filed with the New York State Comptroller's Office of Unclaimed Funds as provided in Section 1406 of the Abandoned Property Law. For further information contact: Office of the State Comptroller, Office of Unclaimed Funds, 110 State St., Albany, NY 12236.

PUBLIC NOTICE

Civil Service

PURSUANT to the Open Meetings Law, the New York State Civil Service Commission hereby gives public notice of the following:

Please take notice that the regular monthly meeting of the State Civil Service Commission for March 2021 will be conducted on March 10 and March 11 commencing at 10:00 a.m. This meeting will be conducted at NYS Media Services Center, Suite 146, South Concourse, Empire State Plaza, Albany, NY with live coverage available at https://www.cs.ny.gov/commission/.

For further information, contact: Office of Commission Operations, Department of Civil Service, Empire State Plaza, Agency Bldg. One, Albany, NY 12239, (518) 473-6598

PUBLIC NOTICE

Department of Health

Pursuant to 42 CFR Section 447.205, the Department of Health hereby gives public notice of the following:

The Department of Health proposes to amend the Title XIX (Medicaid) State Plan for non-institutional services to comply with enacted statutory provisions. The following changes are proposed:

Non-Institutional Services

Effective on or after February 24, 2021, the Department of Health will establish outpatient mental health services under the Medicaid State Plan rehabilitative option, including services currently authorized under the Medicaid State Plan clinic option. This will allow Medicaid to reimburse outpatient mental health services when provided in a site-based clinic, community setting or in the individual's place of residence when permitted under State practice laws. Rehabilitative outpatient mental health services will also include peer support services provided by certified peers.

The estimated annual net aggregate increase in gross Medicaid expenditures attributable to this initiative contained in the budget for State Fiscal Year 2020/2021 is $1.1 million and $4.2 million for State Fiscal Year 2021/2022.

The public is invited to review and comment on this proposed State Plan Amendment, a copy of which will be available for public review on the Department's website at http://www.health.ny.gov/regulations/state_plans/status. Individuals without Internet access may view the State Plan Amendments at any local (county) social services district.

For the New York City district, copies will be available at the following places:

New York County
250 Church Street
New York, New York 10018

Queens County, Queens Center
3220 Northern Boulevard
Long Island City, New York 11101

Kings County, Fulton Center
114 Willoughby Street
Brooklyn, New York 11201

Bronx County, Tremont Center
1916 Monterey Avenue
Bronx, New York 10457

Richmond County, Richmond Center
95 Central Avenue, St. George
Staten Island, New York 10301

For further information and to review and comment, please contact: Department of Health, Division of Finance and Rate Setting, 99 Washington Ave., One Commerce Plaza, Suite 1432, Albany, NY 12210, spa_inquiries@health.ny.gov

PUBLIC NOTICE

Department of State

A virtual board meeting of the NYS Board of Real Estate will be held on Thursday, March 11, 2021, at 100 p.m. For WebEx conferencing information, please visit the Department of State's website at www.dos.ny.gov.

Should you require further information, please contact: Denise Tidings at Denise.Tidings@dos.ny.gov or (518) 402-4921

PUBLIC NOTICE

Department of State
F-2020-0849
Date of Issuance – February 24, 2021

The New York State Department of State (DOS) is required by Federal regulations to provide timely public notice for the activities described below, which are subject to the consistency provisions of the Federal Coastal Zone Management Act (CZMA) of 1972, as amended.

The applicant has certified that the proposed activities comply with and will be conducted in a manner consistent with the federally approved New York State Coastal Management Program (NYSCMP). The applicant's consistency certification and accompanying public information and data are available for inspection at the New York State Department of State offices located at One Commerce Plaza, 99 Washington Avenue, in Albany, New York.

In F-2020-0849, Mary Jane Dreher proposes to install a 8' x 100' steel pile dock with T shape at waters end that makes the dock flare out to 15' wide. The last 30' waters end of the dock will be steel constructed frame, concrete top with two ShoreStation hydraulic lifts (one 6k and one 10k) installed. The site is currently a residentially maintained property with a stone shoreline. This project is located at 6676 Greenwood Parkway, Hamlin, Monroe County, on Lake Ontario.

The applicant's consistency certification and supporting information are available for review at: http://www.dos.ny.gov/opd/programs/pdfs/Consistency/F-2020-0849.pdf

The proposed activity would be located within or has the potential to affect the following Special Management or Regulated Area(s):

- Town of Hamlin, Local Waterfront Revitalization Program Communities

Any interested parties and/or agencies desiring to express their views concerning any of the above proposed activities may do so by filing their comments, in writing, no later than 4:30 p.m., 15 days from the date of publication of this notice or on March 11, 2021.

Comments should be addressed to: Department of State, Office of Planning and Development and Community Infrastructure, ATTN: Consistency Review Unit, One Commerce Plaza, Suite 1010, 99 Washington Ave., Albany, NY 12231, (518) 474-6000. Electronic submissions can be made by email at: CR@dos.ny.gov

This notice is promulgated in accordance with Title 15, Code of Federal Regulations, Part 930.

PUBLIC NOTICE

Department of State
F-2020-0984
Date of Issuance – February 24, 2021

The New York State Department of State (DOS) is required by Federal regulations to provide timely public notice for the activities described below, which are subject to the consistency provisions of the Federal Coastal Zone Management Act (CZMA) of 1972, as amended.

The applicant has certified that the proposed activities comply with and will be conducted in a manner consistent with the federally approved New York State Coastal Management Program (NYSCMP). The applicant's consistency certification and accompanying public information and data are available for inspection at the New York State Department of State offices located at One Commerce Plaza, 99 Washington Avenue, in Albany, New York.

In F-2020-0984 the applicant, Daniel Kunstenaar, is proposing to replace the wooden bulkhead with vinyl bulkhead; relocate the existing 12'x12' boat lift; and install a pile supported 10'x10' overhang deck, a new 3'x12' ramp, and a new 8'x30' float with a 5'x12' finger float to form an L. This project is located at 3116 Ann Street, Town of Hempstead, Nassau County, Parsonage Creek.

The applicant's consistency certification and supporting information are available for review at: http://www.dos.ny.gov/opd/programs/pdfs/Consistency/F-2020-0984.pdf

Any interested parties and/or agencies desiring to express their views concerning any of the above proposed activities may do so by filing their comments, in writing, no later than 4:30 p.m., 30 days from the date of publication of this notice or March 26, 2021.

Comments should be addressed to: Department of State, Office of Planning and Development and Community Infrastructure, Consistency Review Unit, One Commerce Plaza, Suite 1010, 99 Washington Ave., Albany, NY 12231, (518) 474-6000. Electronic submissions can be made by email at: CR@dos.ny.gov

This notice is promulgated in accordance with Title 15, Code of Federal Regulations, Part 930.

PUBLIC NOTICE

Department of State
F-2021-0052
Date of Issuance – February 24, 2021

The New York State Department of State (DOS) is required by Federal regulations to provide timely public notice for the activities described below, which are subject to the consistency provisions of the Federal Coastal Zone Management Act of 1972, as amended.

The applicant has certified that the proposed activity complies with and will be conducted in a manner consistent with the approved New York State Coastal Management Program.

In F-2021-0052, The Town of Webster is proposing to realign 2000 feet of Lake Road to run through the Sandbar Park Right-of-Way and in tandem with Sandbar Park Floodwall Protection project REDI 59 & 78. Install Sidewalks and drainage improvements to provide attractive space to the public.

Lake Road, Town of Webster, Monroe County

The applicant's consistency certification and supporting information are available for review at: http://www.dos.ny.gov/opd/programs/pdfs/Consistency/F-2021-0052ConsistencyCert.pdf

Original copies of public information and data submitted by the applicant are available for inspection at the New York State Department of State offices located at One Commerce Plaza, 99 Washington Avenue, in Albany, New York.

Any interested parties and/or agencies desiring to express their views concerning any of the above proposed activities may do so by filing their comments, in writing, no later than 4:30 p.m., 15 days from the date of publication of this notice, or, March 11, 2021.

Comments should be addressed to: Consistency Review Unit, Department of State, Office of Planning, Development & Community Infrastructure, One Commerce Plaza, 99 Washington Ave., Albany, NY 12231, (518) 474-6000, Fax (518) 473-2464. Electronic submissions can be made by email at: CR@dos.ny.gov

This notice is promulgated in accordance with Title 15, Code of Federal Regulations, Part 930.

PUBLIC NOTICE

Department of State
F-2021-0053
Date of Issuance – February 24, 2021

The New York State Department of State (DOS) is required by Federal regulations to provide timely public notice for the activities described below, which are subject to the consistency provisions of the Federal Coastal Zone Management Act of 1972, as amended.

The applicant has certified that the proposed activity complies with and will be conducted in a manner consistent with the approved New York State Coastal Management Program.

In F-2021-0053, The Town of Webster is proposing to replace aging dock, restaurant and install ±481 LF of new concrete flood wall & living shoreline protection to protect the area. Install new parking lot and concrete sidewalks for public use. Project being done in conjunction with F-2021-0053-Realignment of Lake Road.

279 Lake Road, Town of Webster, Monroe County

The applicant's consistency certification and supporting information are available for review at: http://www.dos.ny.gov/opd/programs/pdfs/Consistency/F-2021-0053ConsistencyCert.pdf

Original copies of public information and data submitted by the applicant are available for inspection at the New York State Department of State offices located at One Commerce Plaza, 99 Washington Avenue, in Albany, New York.

Any interested parties and/or agencies desiring to express their views concerning any of the above proposed activities may do so by filing their comments, in writing, no later than 4:30 p.m., 15 days from the date of publication of this notice, or, March 11, 2021.

Comments should be addressed to: Consistency Review Unit, Department of State, Office of Planning, Development & Community

Infrastructure, One Commerce Plaza, 99 Washington Ave., Albany, NY 12231, (518) 474-6000, Fax (518) 473-2464. Electronic submissions can be made by email at: CR@dos.ny.gov

This notice is promulgated in accordance with Title 15, Code of Federal Regulations, Part 930.

PUBLIC NOTICE

Department of State
F-2021-0055
Date of Issuance – February 24, 2021

The New York State Department of State (DOS) is required by Federal regulations to provide timely public notice for the activities described below, which are subject to the consistency provisions of the Federal Coastal Zone Management Act of 1972, as amended.

The applicant has certified that the proposed activity complies with and will be conducted in a manner consistent with the approved New York State Coastal Management Program.

In F-2021-0055 or the "Seagirt Avenue Wetlands Restoration", the applicant NYC Parks and Recreation is proposing to restore approximately 2,075 square feet of tidal wetland along the north shore of the Seagirt Avenue Wetlands. Proposed restoration will include the removal of artificial fill, regrading, clean sand placement, and native salt marsh vegetation plantings. Following the completion of these activities, the proposed project area will be monitored for encroaching invasive plants and treated as needed. Coir logs will be installed along the seaward edge of the concrete removal area to provide additional stabilization. The proposed location of the project is at Seagirt Boulevard, Beach 9th Street, and Atlantic Beach, Far Rockaway, Queens County, Bridge Creek/Bannister Bay.

The applicant's consistency certification and supporting information are available for review at: http://www.dos.ny.gov/opd/programs/pdfs/Consistency/F-2021-0055_NYCParks_Seagirt

The proposed activity would be located within or has the potential to affect the following Special Management or Regulated Area(s):

- New York City Local Waterfront Revitalization Program:

https://www.dos.ny.gov/opd/programs/WFRevitalization/LWRP_status.html

Original copies of public information and data submitted by the applicant are available for inspection at the New York State Department of State offices located at One Commerce Plaza, 99 Washington Avenue, in Albany, New York.

Any interested parties and/or agencies desiring to express their views concerning any of the above proposed activities may do so by filing their comments, in writing, no later than 4:30 p.m., 30 days from the date of publication of this notice, or, March 26, 2021.

Comments should be addressed to: Consistency Review Unit, Department of State, Office of Planning, Development & Community Infrastructure, One Commerce Plaza, 99 Washington Ave., Albany, NY 12231, (518) 474-6000, Fax (518) 473-2464. Electronic submissions can be made by email at: CR@dos.ny.gov

This notice is promulgated in accordance with Title 15, Code of Federal Regulations, Part 930.

PUBLIC NOTICE

Department of State
Uniform Code Variance/Appeal Petitions

Pursuant to 19 NYCRR Part 1205, the variance and appeal petitions below have been received by the Department of State. Unless otherwise indicated, they involve requests for relief from provisions of the New York State Uniform Fire Prevention and Building Code. Persons wishing to review any petitions, provide comments, or receive actual notices of any subsequent proceeding may contact Brian Tollisen or Neil Collier, Building Standards and Codes, Department of State, One Commerce Plaza, 99 Washington Ave., Albany, NY 12231, (518) 474-4073 to make appropriate arrangements.

2020-0580 Matter of Tetra Tech Architects & Engineers, 500 Bi-County Boulevard, Suite 104, Farmingdale, NY 11735 for variances concerning, building area. Involved is the addition to an existing building known as West Hepmstead Middle School located at 450 Nassau Boulevard, Town of Hempstead, County of Nassau, State of New York.

2021-0004 Matter of Joseph Freely for a variance concerning, flood hazard requirements. involved is an existing building located at 747 South 8th Street, Incorporated Village of Lindenhurst, County of Suffolk, State of New York.

2020-0470 Matter of Sofia Liapakis for a variance concerning indoor playstructure size. Involved is an existing building located at 28 Atlantic Avenue, Incorporated Village of Lynbrook, County of Nassau, State of New York.

2020-0187 Matter of Chris Ross, 124 Front Street, Suite 205, Massapequea Park, NY 11762 for a variance concerning, ceiling height requirements. Involved is an existing building located at 31 Boone Street, Town of Hempstead, County of Nassau, State of New York.

2020-0485 Matter of Mark Searage, 159 Broadway, Amityville, NY 11701 for a variance concerning, plumbing fixture spacing requirements. Involved is an existing building located at 875 Willis Avenue, Albertson, Town of North Hempstead, County of Nassau, State of New York.

2020-0513 Matter of Raymond M. Willhoft, 304 Cinnabar Lane, Yardley, PA 19067 for a variance concerning, ceiling height requirements. Involved is an existing building located at 1112 Merillon Street, Town of Hempstead, County of Nassau, State of New York.

2020-0544 Matter of Urbahn Architects, 306 West 37 Street, New York, NY 10018 for a variance concerning, egress and construction requirements. Involved is an existing elevated passenger rail train station. The station is known as the Babylon Station and is located North of Railroad Avenue, between Carl Avenue North and Deer Park Avenue, Town of Babylon, County of Suffolk, State of New York.

2020-0528 Matter of AECOM, 125 Broad Street, New York, NY 10004 for a variance concerning, egress and construction requirements. Involved is an existing underground passenger rail train station. The station is known as Penn Station and is located between 7th and 8th Avenues and 34th and 31st Streets, City of New York, County of New York, State of New York.

PUBLIC NOTICE

Department of State
Uniform Code Variance/Appeal Petitions

Pursuant to 19 NYCRR Part 1205, the variance and appeal petitions below have been received by the Department of State. Unless otherwise indicated, they involve requests for relief from provisions of the New York State Uniform Fire Prevention and Building Code. Persons wishing to review any petitions, provide comments, or receive actual notices of any subsequent proceeding may contact Brian Tollisen or Neil Collier, Building Standards and Codes, Department of State, One Commerce Plaza, 99 Washington Ave., Albany, NY 12231, (518) 474-4073 to make appropriate arrangements.

2021-0062 Matter of Mitchell H. Pally, Two Mare Court, Stony Brook, NY 11790, for a variance concerning safety requirements, including the required height under a girder/soffit. Involved is an existing one-family dwelling located at Two Mare Court, Stony Brook, Town of Brookhaven, NY 11790, County of Suffolk, State of New York.

2021-0063 Matter of Christina Hillman, 10 Marie Crescent, Commack, NY 11725, for a variance concerning safety requirements, including the required height under a girder/soffit. Involved is an existing one-family dwelling located at 95 Pennsylvania Avenue, Medford, Town of Brookhaven, NY 11763, County of Suffolk, State of New York.

2021-0064 Matter of Moises Cabrera, 100 Rabbit Run, Riverhead, NY 11901, for a variance concerning safety requirements, including the required height under a girder/soffit. Involved is an existing one-family dwelling located at 100 Rabbit Run, Riverhead, Town of Riverhead, NY 11901, County of Suffolk, State of New York.

PUBLIC NOTICE

Department of State
Uniform Code Variance/Appeal Petitions

Pursuant to 19 NYCRR Part 1205, the variance and appeal petitions below have been received by the Department of State. Unless otherwise indicated, they involve requests for relief from provisions of the New York State Uniform Fire Prevention and Building Code. Persons wishing to review any petitions, provide comments, or receive actual notices of any subsequent proceeding may contact Brian Tollisen or Neil Collier, Building Standards and Codes, Department of State, One Commerce Plaza, 99 Washington Ave., Albany, NY 12231, (518) 474-4073 to make appropriate arrangements.

2021-0065 In the matter of Robert Stayton of Batchwood, 1998 LLC, 52 Riley Road, Number 426, Celebration, Florida, 34747, for an appeal and variance for code issues concerning the State route storage, Six total building units in the Town of Lee.

Involved is a lot with six buildings of 140 units of self-storage, S-2 occupancy, one story in height, located at 9155a State route 26, Town of Lee, County of Oneida, New York.

2021-0066 In the matter of Eric Ingerson of Shipwreck Eatery, 833 Rees Street, Clayton NY 13624 for a variance concerning requirements for a toilet room fixtures requirements.

Involved is a proposed takeout restaurant of B occupancy, on the first floor of a two story building, located at 530 Riverside Drive, Village of Clayton, Jefferson County, State of New York.

PUBLIC NOTICE

Susquehanna River Basin Commission

SUMMARY: The Susquehanna River Basin Commission will conduct its regular business meeting on March 12, 2021, from Harrisburg, Pennsylvania. Details concerning the matters to be addressed at the business meeting are contained in the Supplementary Information section of this notice. Also the Commission published a document in the Federal Register on January 11, 2021, concerning its public hearing on February 4, 2021, in Harrisburg, Pennsylvania.

DATES: The meeting will be held on Friday, March 12, 2021, at 9 a.m.

ADDRESSES: The meeting will be conducted telephonically from the Susquehanna River Basin Commission, 4423 N. Front Street, Harrisburg, PA 17110.

FOR FURTHER INFORMATION CONTACT: Jason E. Oyler, General Counsel and Secretary to the Commission, telephone: 717-238-0423; fax: 717-238-2436.

SUPPLEMENTARY INFORMATION: The business meeting will include actions or presentations on the following items: (1) recognition of SRBC's 50th anniversary; (2) release of proposed rulemaking for public comment; (3) ratification/approval of contracts/grants; and (4) Regulatory Program projects.

This agenda is complete at the time of issuance, but other items may be added, and some stricken without further notice. The listing of an item on the agenda does not necessarily mean that the Commission will take final action on it at this meeting. When the Commission does take final action, notice of these actions will be published in the Federal Register after the meeting. Any actions specific to projects will also be provided in writing directly to project sponsors.

Due to the COVID-19 orders, the meeting will be conducted telephonically and there will be no physical public attendance. The public is invited to attend the Commission's business meeting by telephone conference and may do so by dialing Conference Call # 1-888-387-8686, the Conference Room Code # 9179686050. Written comments pertaining to items on the agenda at the business meeting may be mailed to the Susquehanna River Basin Commission, 4423 North Front Street, Harrisburg, Pennsylvania 17110-1788, or submitted electronically through www.srbc.net/about/meetings-events/business-meeting.html. Such comments are due to the Commission on or before March 10, 2021. Comments will not be accepted at the business meeting noticed herein.

Authority: Pub. L. 91-575, 84 Stat. 1509 et seq., 18 CFR Parts 806, 807, and 808.

Dated: February 5, 2021

Jason E. Oyler,

General Counsel and Secretary to the Commission

PUBLIC NOTICE

Susquehanna River Basin Commission
Grandfathering (GF) Registration Notice

SUMMARY: This notice lists Grandfathering Registration for projects by the Susquehanna River Basin Commission during the period set forth in DATES.

DATES: January 1-31, 2021.

ADDRESSES: Susquehanna River Basin Commission, 4423 North Front Street, Harrisburg, PA 17110-1788.

FOR FURTHER INFORMATION CONTACT: Jason E. Oyler, General Counsel and Secretary to the Commission, telephone: (717) 238-0423, ext. 1312; fax: (717) 238-2436; e-mail: joyler@srbc.net. Regular mail inquiries May be sent to the above address.

SUPPLEMENTARY INFORMATION: This notice lists GF Registration for projects, described below, pursuant to 18 CFR 806, Subpart E for the time period specified above:

Grandfathering Registration Under 18 CFR part 806, Subpart E:

1. Borough of Tyrone – Public Water Supply System, GF Certificate No. GF-202101147, Snyder Township, Blair County, Pa.; Sink Run; Issue Date: January 14, 2021.

2. Borough of Millheim – Millheim Borough Water Company, GF Certificate No. GF 202101148, Millheim Borough and Penn Township, Centre County, Pa.; Phillips Creek; Issue Date: January 14, 2021.

3. South Renovo Borough – Public Water Supply System, GF Certificate No. GF-202101149, Noyes Township, Clinton County, Pa.; Halls Run Reservoir and Well 1; Issue Date: January 14, 2021.

4. Geneva Farm Golf Course, Inc. – Geneva Farm Golf Club, GF Certificate No. GF 202101150, Street, Harford County, Md.; combined withdrawal from Wells 1, 2, and 3 and Irrigation Pond; Issue Date: January 22, 2021.

5. Kreamer Municipal Authority – Public Water Supply System, GF Certificate No. GF 202101151, Middlecreek Township, Snyder County, Pa.; Wells 4 and 9; Issue Date: January 22, 2021.

6. Saint Francis University, GF Certificate No. GF-202101152, Allegheny Township and Loretto Borough, Cambria County, Pa.; Wells 1 and 2; Issue Date: January 26, 2021.

7. McClure Municipal Authority – Public Water Supply System, GF Certificate No. GF 202101153, McClure Borough, Snyder County, Pa.; Wells 1, 3, and 4; Issue Date: January 26, 2021.Authority: Pub. L. 91-575, 84 Stat. 1509 et seq., 18 CFR parts 806 and 808.

8. SUEZ Water Pennsylvania Inc. – Dallas Operation, GF Certificate No. GF-202101154, Dallas Township, Luzerne County, Pa.; Country Club Well, Bunn Well, Haddonfield Well, and Snyder Well; Issue Date: January 26, 2021.

Dated: February 4, 2021

Jason E. Oyler, General Counsel and Secretary to the Commission.

COURT NOTICES

AMENDMENT OF RULE

New York State Supreme Court

ERRATUM

Certain rule revisions and new rules derived from the Rules of the Commercial Division were incorporated into the Uniform Rules for the Supreme and County Courts, effective February 1, 2021, as published in the February 10, 2021 issue of the Register. Numbering errors pertaining to section numbers appeared in two rules. Correction of the numbering errors does not impact the substance of any rule change. The following re-publication of the table of contents and pertinent rules contains correct section numbers.

Exhibit A:	Commercial Division Rule 1-Appearance by Counsel with Knowledge and Authority amending Uniform Civil Rules Section 202.1- Application of Part; Waiver; Additional rules	
Exhibit B:	Commercial Division Rule 2- Settlements and Discontinuances amending Uniform Civil Rules Section 202.28- Discontinuance of Actions	
Exhibit C:	Commercial Division Rule 3- Alternative Dispute Resolution (ADR); Settlement Conference Before a Justice Other Than the Justice Assigned to the Case as new Uniform Civil Rules Section 202.29	
Exhibit D:	Commercial Division Rule 4- Electronic Submission of Papers amending Uniform Civil Rules Section 202.5-a- Filing by facsimile transmission	
Exhibit E:	Commercial Division Rule 6- Form of Papers amending Uniform Civil Rules Section 202.5- Papers Filed in Court	
Exhibit F:	Commercial Division Rule 8- Consultation Prior to Preliminary and Compliance Conferences as new Uniform Civil Rules Section 202.11	
Exhibit G:	Commercial Division Rule 11-a- Interrogatories as new Uniform Civil Rules Section 202.20	New Uniform Rule Section[1]
Exhibit H:	Commercial Division Rule 11-b- Privilege Logs as new Uniform Civil Rules Section 202.20-a	New Uniform Rule Section
Exhibit I:	Commercial Division Rule 11-c- Discovery of Electronically Stored Information from Nonparties as new Uniform Civil Rules Section 202.20-j	New Uniform Rule Section
Exhibit J:	Commercial Division Rule 11-d- Limitation on Depositions as new Uniform Civil Rules Section 202.20-b	New Uniform Rule Section
Exhibit K:	Commercial Division Rule 11-e- Responses to Objections to Document Requests as new Uniform Civil Rules Section 202.20-c	New Uniform Rule Section
Exhibit L:	Commercial Division Rule 11-f- Depositions of Entities; Identification of Matters as new Uniform Civil Rules Section 202.20-d	New Uniform Rule Section
Exhibit M:	Commercial Division Rule 13- Adherence to Discovery Schedule, Expert Disclosure as new Uniform Civil Rules Section 202.20-e	New Uniform Rule Section
Exhibit N:	Commercial Division Rule 14- Disclosure Disputes as new Uniform Civil Rules Section 202.20-f	New Uniform Rule Section

Exhibit O:	Commercial Division Rule 14-a- Rulings at Disclosure Conferences as new Uniform Civil Rules Section 202.20-g	New Uniform Rule Section
Exhibit P:	Commercial Division Rule 15- Adjournments of Conferences amending Uniform Civil Rules Section 202.10 Appearances at Conferences	
Exhibit Q:	Commercial Division Rule 16- Motions in General as new Uniform Civil Rules Section 202.8-a	
Exhibit R:	Commercial Division Rule 17- Length of Papers as new Uniform Civil Rules Section 202.8-b	
Exhibit S:	Commercial Division Rule 18- Sur-Reply and Post- Submission Papers as new Uniform Civil Rules Section 202.8- c	
Exhibit T:	Commercial Division Rule 19- Orders to Show Cause as new Uniform Civil Rules Section 202.8-d	
Exhibit U:	Commercial Division Rule 19-a- Motions for Summary Judgment; Statements of Material Facts as new Uniform Civil Rules Section as 202.8-g	New Uniform Rule Section
Exhibit V:	Commercial Division Rule 20- Temporary Restraining Orders as new Uniform Civil Rules Section 202.8-e	
Exhibit W:	Commercial Division Rule 22- Oral Argument as new Uniform Civil Rules Section 202.8-f	
Exhibit X:	Commercial Division Rule 28- Pre-Marking of Exhibits as new Uniform Civil Rules Section 202.34	
Exhibit Y:	Commercial Division Rule 30- Settlement and Pretrial Conferences amending Uniform Civil Rules Section 202.26- Pretrial Conferences	
Exhibit Z:	Commercial Division Rule 31- Pre-Trial Memoranda, Exhibit Book and Requests for Jury Instructions as new Uniform Civil Rules Section 202.20-h	New Uniform Rule Section
Exhibit AA:	Commercial Division Rule 32- Scheduling Witnesses as new Uniform Civil Rules Section 202.37	
Exhibit BB:	Commercial Division Rule 32-a- Direct Testimony by Affidavit as new Uniform Civil Rules Section 202.20-i	New Uniform Rule Section
Exhibit CC:	Rule 34- Staggered Court Appearances as new Uniform Civil Rules Section 202.23	

[1]Please note "New Uniform Rule Section" indicates new Rule placement as recommended by Counsel's office. Other new Rules were previously assigned by the Commercial Division Working Group in their recommendations.

Regarding Rule 8: Consultation prior to Preliminary and Compliance Conferences.

The Uniform Civil Rules for the Supreme Court and the County Court are amended by adding new section 202.11 as follows:

202.11 Consultation prior to Preliminary and Compliance Conference

Counsel for all parties shall consult prior to a preliminary or compliance conference about (i) resolution of the case, in whole or in part; (ii) discovery, including discovery of electronically stored information, and any other issues to be discussed at the conference, (iii) the use of alternate dispute resolution to resolve all or some issues in the litigation; and (iv) any voluntary and informal exchange of information that the parties agree would help aid early settlement of the case. Counsel shall make a good faith effort to reach agreement on these matters in advance of the conference.

Regarding Rule 11-c: Discovery of Electronically Stored Information from Nonparties.

The Uniform Civil Rules for the Supreme Court and the County Court are amended by adding new section 202.20-j as follows:

Section 202.20-j. Parties and nonparties should adhere to the Electronically Stored Information ("ESI") guidelines set forth in Appendix hereto.

Section V of Appendix A of the Uniform Civil Rules for the Supreme Court and the County Courts is hereby amended as follows:

V. The requesting party shall defray the nonparty's reasonable production expenses in accordance with Rules 3111 and 3122(d) of the CPLR. [Such reasonable production expenses may include the following:]

[A. Fees charged by outside counsel and e-discovery consultants;]

[B. The costs incurred in connection with the identification, preservation, collection, processing, hosting, use of advanced analytical software applications and other technologies, review for relevance and privilege, preparation of a privilege log (to the extent one is requested), and production;]

[C. The cost of disruption to the nonparty's normal business operations to the extent such cost is quantifiable and warranted by the facts and circumstances; and]

[Other costs as may be identified by the nonparty.]

FINANCIAL REPORTS

Depositories for the Funds of the State of New York

Month End: January 31, 2021

Prepared by the Division of the Treasury
Investments, Cash Management and Accounting Operations

Michael R Schmidt
Commissioner

ACCOUNT DESCRIPTION	DEPOSITORY	BALANCE AS OF 01/31/2021
ACCOUNTS HELD IN JOINT CUSTODY BY THE COMMISSIONER OF TAXATION FINANCE AND THE NEW YORK STATE COMPTROLLER		
Unemployment Insurance Funding Account	Key Bank	10,332,111.39
Occupational Training Act Funding Account	Key Bank	142,649.40
Unemployment Insurance Exchange Account	Key Bank	0.00
PIT Special Refund Account	JPMorgan Chase Bank, N.A.	(192,878,492.12)
General Checking	Key Bank	(806,543,751.05)
Direct Deposit Account	Wells Fargo	65,209.10
TOTAL		(212,206,363.32)
01000 - EXECUTIVE CHAMBER		
Executive Chamber Advance Account	Key Bank	No report received
01010 - DIVISION OF BUDGET		
Advance Account	Bank of America, N.A.	5,000.00
01030 - DIVISION OF ALCOHOLIC BEVERAGE CONTROL		
Albany		
SLA Investigations Account	Key Bank	1,845.74
01050 - OFFICE OF GENERAL SERVICES		
Exec Mansion Official Function	Key Bank	26,631.23
NY ISO Account	Key Bank	8,532,925.36
OGS Binghamton Office Bldg	Key Bank	0.00
SNY Office of General Services	JPMorgan Chase Bank, N.A.	0.00
State of New York OGS Escrow II	Key Bank	2,817,894.73
State of New York OGS Petty Cash	Key Bank	299,574.70
State of New Your OGS Escrow	Key Bank	349,840.12
State of NY Office Of General Services	Key Bank	1,840.00
01060 - DIVISION OF STATE POLICE		
CNET Confidential Account	Key Bank	0.00
Div Headquarters - Petty Cash	Key Bank	1,831.85
Key Advantage Account	Key Bank	92,546.01
Manhattan Office-confidential	JPMorgan Chase Bank, N.A.	500.00
NYS Police Special Account	Key Bank	2,765,451.31
NYSP CTIU Confidential Fund	Key Bank	3,950.00
SIU Confidential Fund Account	Key Bank	2,823.96
Special Fund	Key Bank	50,107.83
State Police Receipts Account	Bank of America, N.A.	174,339.00
Troop A Batavia - Petty Cash	Bank of America, N.A.	1,000.00
Troop A Batavia-Confidential	Bank of America, N.A.	3,400.00
Troop B Confidential	Key Bank	6,400.00
Troop B Petty Cash	Community Bank	1,000.00
Troop C Confidential Fund	NBT Bank	1,800.00
Troop C Petty Cash	NBT Bank	1,000.00
Troop D Oneida - Confidential	Alliance Bank	3,000.00
Troop D Oneida Petty Cash	Alliance Bank	1,000.00
Troop E Canandaigua Confidential	Canandaigua National Bank	2,000.00
Troop E Petty Cash	Canandaigua National Bank	1,000.00
Troop F Confidential	JPMorgan Chase Bank, N.A.	2,500.00
Troop F Petty Cash	JPMorgan Chase Bank, N.A.	1,000.00
Troop G Loudonville Conf	Bank of America, N.A.	7,450.00
Troop G Petty Cash	Bank of America, N.A.	1,000.00
Troop K Petty Cash	Bank of Millbrook	1,000.00
Troop K Poughkeepsie-Confidential	Bank of Millbrook	1,756.77
Troop L Confidential Fund	Bank of America, N.A.	4,600.00
01070 - DIVISION OF MILITARY & NAVAL AFFAIRS		
Advance For Travel	Key Bank	12,621.82
SNY Camp Smith Billeting Fund	JPMorgan Chase Bank, N.A.	9,451.33
01077 - OFFICE OF HOMELAND SECURITY		
Academy Of Fire Science	Chemung Canal Trust	123,808.02
01080 - DIVISION OF HOUSING & COMMUNITY RENEWAL		
Albany Office Of Financial Administration		
Maximum Base Rent Fee Account	JPMorgan Chase Bank, N.A.	20,594.53
Revenue Account	JPMorgan Chase Bank, N.A.	973,524.08
01090 - DIVISION OF HUMAN RIGHTS		
Petty Cash Fund Account	JPMorgan Chase Bank, N.A.	No report received
01150 - OFFICE OF EMPLOYEE RELATIONS		
GOER Panel Administration Escrow Account	Key Bank	7,630.07
NYS Flex Spending	Key Bank	3,811,600.95
State of New York LMC Petty Cash Account	Key Bank	1,940.00
01160 - JUSTICE CENTER FOR THE PROTECTION OF PEOPLE WITH SPECIAL NEEDS		
Agency Advance Account	Key Bank	1,822.50
01300 - ADIRONDACK PARK AGENCY		

General Fund	Community Bank	11,779.78
Petty Cash	Community Bank	3,975.00
01400 - CRIME VICTIMS COMPENSATION BOARD		
Crime Victims	JPMorgan Chase Bank, N.A.	145,890.00
Emergency Award Account	M&T Bank	0.00
Emergency Claims	Key Bank	3,949.00
Petty Cash Account	Key Bank	1,550.00
REST/SUBROG Escrow Account	Key Bank	389.66
01490 - DIVISION OF CRIMINAL JUSTICE SERVICES		
Advance Account	Bank of America, N.A.	2,190.62
FBI Fee Account	Bank of America, N.A.	0.00
Fingerprint Fee Account	Bank of America, N.A.	4,498,636.95
01530 - STATE COMMISSION OF CORRECTION		
Advance Account	Bank of America, N.A.	2,000.00
01540 - STATE BOARD OF ELECTIONS		
Revenue Account	Key Bank	No report received
01620 - OFFICE FOR PREVENTION OF DOMESTIC VIOLENCE		
NYS Prevention Domestic Violence	Bank of America, N.A.	No report received
02000 - OFFICE OF THE STATE COMPTROLLER		
Admissions	Bank of America, N.A.	3,459.75
Advance for Travel Account	Key Bank	No report received
Alcohol Beverage	Bank of America, N.A.	458,271.72
Alcoholic Bev Control License	Wells Fargo Bank	42,757.72
Alcoholic Beverage Control License	M&T Bank	245,485.38
Alcoholic Beverage Tax Collections	Wells Fargo Bank	33,974.03
Assessments Bulk	JPMorgan Chase Bank, N.A.	100,074.40
Assessments Receivable	JPMorgan Chase Bank, N.A.	3,017,064.94
Assessments Receivable (EFT)	Wells Fargo Bank	242,144.52
Boxing And Wrestling Tax	Bank of America, N.A.	68,469.88
Check 21 Corporation Tax	JPMorgan Chase Bank, N.A.	300,694.92
Check 21 Estate Tax	JPMorgan Chase Bank, N.A.	154,046.68
Check 21 Highway Use	JPMorgan Chase Bank, N.A.	228,862.55
Check 21 PIT	JPMorgan Chase Bank, N.A.	620,651.25
Check 21 Real Estate Transfer	JPMorgan Chase Bank, N.A.	744,860.11
Check Sales Tax	JPMorgan Chase Bank, N.A.	2,003,522.15
Cigarette Stamp Tax	JPMorgan Chase Bank, N.A.	0.00
Cigarette Stamp Tax (EFT)	Wells Fargo Bank	3,033,766.63
Cigarette Stamp Tax Split	JPMorgan Chase Bank, N.A.	62,844.03
Cigarette Tax Tobacco Products	Bank of America, N.A.	105,468.03
Congestion Surcharge	Wells Fargo Bank	121,381.95
Corporation Tax	Wells Fargo Bank	15,535.49
Corporation Tax - Coupon Acct.	JPMorgan Chase Bank, N.A.	51,803.96
Educational Chartable Account	Wells Fargo Bank	0.00
Employer Compensation Expense	Wells Fargo Bank	31,875.37
Encon Beverage Container Deposit/Bottle Bill (EFT)	Wells Fargo Bank	113,048.20
ERS Petty Cash Acct	Key Bank	No report received
Estimated Tax	JPMorgan Chase Bank, N.A.	18,451,970.28
Gift Tax	Bank of America, N.A.	0.00
Hazardous Waste	Key Bank	29,624.23
Highway Use - Permits & Reg.	Bank of America, N.A.	54,975.31
Highway Use Truck Mileage Tax (EFT)	Wells Fargo Bank	710,208.82
Hudson River-Black River	Bank of America, N.A.	496,674.47
Hudson River-Black River	Community Bank	61,667.15
Hut/Oscar Registrations & Renewals (EFT)	Wells Fargo Bank	81,974.50
IFTA Fuel Use Tax (EFT)	Wells Fargo Bank	250,816.62
IFTA/Oscar Renewals (EFT)	Wells Fargo Bank	97,176.00
IFTA-Decal/Permit Fee Acct.	Bank of America, N.A.	89,293.00
IFTA-Fuel Use	Bank of America, N.A.	39,196.37
Income Tax - LLC	JPMorgan Chase Bank, N.A.	189,486.99
Justice Court	Key Bank	300,405.85
Mac #847 NYS T&F Pari Mutuel	Key Bank	954.42
Mac #848 NYS T&F Off Track	Key Bank	2,622,025.99
MCTD Medallion Taxicab Trip	JPMorgan Chase Bank, N.A.	876.50
Medallion Taxicab Trip Tax (EFT)	Wells Fargo Bank	2,310.00
Medical Marijuana Tax Collections	Bank of America, N.A.	1,093.14
Metro Commuter Trans. Mobility	JPMorgan Chase Bank, N.A.	324,050.95
Ogdensburg Bridge & Port	Community Bank	161,250.38
Opioid Excise Tax	Wells Fargo Bank	50,634.54
Personal Income Tax	Wells Fargo Bank	35,133.00
Petroleum Business Tax (EFT)	Wells Fargo Bank	303,324.23
Petroleum Products Tax	Bank of America, N.A.	3,096,254.18
Petty Cash Account	Key Bank	No report received
PIT Bulk	JPMorgan Chase Bank, N.A.	2,670.00
Port Of Oswego	Key Bank	15,541.51

Promptax - MCTMT	Wells Fargo Bank	11,049,293.68
Promptax - Petroleum Business Tax	Wells Fargo Bank	767.77
Promptax - Sales Tax/Sales Tax Prepaid Fuel	Wells Fargo Bank	244.19
Promptax - Withholding	Wells Fargo Bank	1,865,602.86
Promptax - Withholding Checks	JPMorgan Chase Bank, N.A.	2,173.83
Real Estate Transfer Tax	Key Bank	409,742.63
Revenue Holding	First Niagara Bank	2,359,000.00
Sales Tax	JPMorgan Chase Bank, N.A.	0.00
Sales Tax	Wells Fargo Bank	574,201.35
SUNY Concentration	First Niagara Bank	1,100,000.00
TNC Assessment (EFT)	Wells Fargo Bank	2,825,236.33
Troy Debt Service Reserve Fund	Bank of America, N.A.	757,740.26
Uncashed Winning Tickets	Bank of America, N.A.	25,278.06
Unclaimed Funds	JPMorgan Chase Bank, N.A.	663,034.25
Unclaimed Funds - 2	JPMorgan Chase Bank, N.A.	11,287.20
Withholding	Wells Fargo Bank	439.36
Withholding Tax	JPMorgan Chase Bank, N.A.	15,331,535.64
Cash Advance Accounts		
Advance For Travel Account	Key Bank	1,750.00
ERS Petty Cash Acct	Key Bank	5,100.00
Petty Cash Account	Key Bank	3,700.00
Common Retirement Fund		
Common Retirement Fund - Depository	JPMorgan Chase Bank, N.A.	(1,775.37)
NYS Common Retirement Fund	JPMorgan Chase Bank, N.A.	15,714,279.71
Employees Retirement System		
Employees Retirement System - EFT	JPMorgan Chase Bank, N.A.	10.67
Employees Retirement System - General	JPMorgan Chase Bank, N.A.	0.00
Employees Retirement System - Pension	JPMorgan Chase Bank, N.A.	0.00
Group Term Life		
Group Term Life - General	JPMorgan Chase Bank, N.A.	0.00
Municipal Assistance Corporation Accounts		
City Of Troy - MAC	JPMorgan Chase Bank, N.A.	0.00
Police and Fire		
Retirement Police & Firemen's - EFT	JPMorgan Chase Bank, N.A.	1.63
Retirement Police & Firemen's - General	JPMorgan Chase Bank, N.A.	0.00
Retirement Police & Firemen's - Pension	JPMorgan Chase Bank, N.A.	0.00
03000 - DEPARTMENT OF LAW		
Albany Filing Fees Account	Key Bank	No report received
Albany Petty Cash	Bank of America, N.A.	No report received
Albany Revenue Account	Key Bank	No report received
Assessment Account	JPMorgan Chase Bank, N.A.	No report received
Attorney General Account	Key Bank	No report received
Civil Recoveries Account	Key Bank	No report received
Dept Of Law Controlled Disb	M&T Bank	No report received
Marie Roberts	JPMorgan Chase Bank, N.A.	No report received
NYC Filing Fees Account	JPMorgan Chase Bank, N.A.	No report received
NYC Petty Cash Account	JPMorgan Chase Bank, N.A.	No report received
NYC Revenue Account	JPMorgan Chase Bank, N.A.	No report received
Restitution Account	M&T Bank	No report received
Special Account	Key Bank	No report received
US Justice Dept - Shared Forfeiture	Key Bank	No report received
US Treas Dept - Shared Forfeiture	Key Bank	No report received
03010 - OFFICE OF THE ATTORNEY GENERAL		
OCTF - Confidential Fund Checking	JPMorgan Chase Bank, N.A.	No report received
OCTF - Confidential Fund Checking	JPMorgan Chase Bank, N.A.	No report received
03020 - MEDICAID FRAUD CONTROL		
dept atty gen vs john doe	Key Bank	13,280,399.68
National Global Settlement	JPMorgan Chase Bank, N.A.	15,884,071.06
NYS Department Of Law Confidential Account	JPMorgan Chase Bank, N.A.	39,485.00
NYS Department of Law Petty Cash Account	JPMorgan Chase Bank, N.A.	3,745.54
04020 - NYS ASSEMBLY		
Advance For Travel	Bank of America, N.A.	761.88
Petty Cash Account Dist Off	Bank of America, N.A.	20,863.10
Petty Cash New York City	JPMorgan Chase Bank, N.A.	722.56
Public Information Office	Key Bank	0.25
04030 - ASSEMBLY WAYS & MEANS COMMITTEE		
Advance For Travel	Key Bank	No report received
Petty Cash	Key Bank	No report received
04040 - LEGISLATIVE BILL DRAFTING COMMISSION		
NY LBDC - Legislative Computer Services Fund	Key Bank	27,346.65
NYS Leg Bill Drafting Comm-Petty Cash Acct	Key Bank	1,920.30
04250 - REAPPORTIONMENT		
NYS Taskforce On Demo Res & Reapp	JPMorgan Chase Bank, N.A.	125.00
05000 - OFFICE OF COURT ADMINISTRATION		

Attorney Registration Fees - Revenue	JPMorgan Chase Bank, N.A.	1,147,870.00
Criminal Records Search Acct - Revenue	JPMorgan Chase Bank, N.A.	6,887,765.00
Finger Print Account	JPMorgan Chase Bank, N.A.	75.00
05005 - OCA OFFICE OF BUDGET & FINANCE		
Petty Cash Account	Key Bank	2,500.00
05008 - LAWYERS FUND FOR CLIENT PROTECTION		
Client Security Fund - Bail	Key Bank	1,246,911.73
Lawyers Fund For Client Protection - Bail	Key Bank	702.11
Petty Cash	Key Bank	4,072.17
05071 - COURT OF APPEALS		
Chief Judge Advance	Key Bank	15.07
Clerk Of The Court Of Appeals	Key Bank	3,330.00
05072 - STATE BOARD OF LAW EXAMINERS		
State Board Of Law Examiners Fee	Key Bank	No report received
05081 - APPELLATE DIVISION - 1ST JUDICIAL DEPARTMENT		
1st Appellate Division Supreme Ct	JPMorgan Chase Bank, N.A.	36,090.00
05082 - APPELLATE DIVISION - 2ND JUDICIAL DEPARTMENT		
Appellate Div 2nd Dept Revenue	JPMorgan Chase Bank, N.A.	77,367.00
05083 - APPELLATE DIVISION - 3RD JUDICIAL DEPARTMENT		
Third Dept Civil Fees Acct - Revenue	Key Bank	23,005.00
05084 - APPELLATE DIVISION - 4TH JUDICIAL DEPARTMENT		
4th Dept Appellate Div Civil Fees - Revenue	JPMorgan Chase Bank, N.A.	10,800.00
05090 - COURT OF CLAIMS		
Court Of Claims Revenue Account	Key Bank	4,318.00
05111 - 10TH JUDICIAL DISTRICT NASSAU COUNTY ADMINISTRATION		
Glen Cove City Court		
Glen Cove City Court Bail	Wells Fargo Bank	4,000.54
Glen Cove City Court Revenue	Wells Fargo Bank	13,423.00
Long Beach City Court		
Long Beach City Court Revenue	Wells Fargo Bank	38,274.00
Long Beach Court Bail	Wells Fargo Bank	39,175.19
Nassau County Court		
Nassau County Assessment	Wells Fargo Bank	43,598.45
Nassau District Court - Criminal		
Nassau Dist Ct Criminal Revenue	Wells Fargo Bank	85,838.11
Nassau District Court-Civil		
Nassau County Dist Ct- Civil Revenue	Wells Fargo Bank	65,418.77
Nassau Surrogate		
Nassau County Surrogate Court-Revenue	Wells Fargo Bank	128,988.25
05112 - 10TH JUDICIAL DISTRICT SUFFOLK COUNTY ADMINISTRATION		
10th Judicial District Suffolk County Admin		
Suffolk County Court -Court Fund	People's United Bank	45,153.00
Suffolk County Surrogate		
Surrogate Court Of Suffolk County	People's United Bank	182,459.50
Suffolk District Court Civil Fees		
Suffolk County District Court Civil Fees	Citibank	227,783.33
Suffolk District Court Criminal Fines		
Suffolk County District Court Criminal Fines	Citibank	206,229.98
Suffolk District Court Trust Acct		
Suffolk County District Court Trust Account	Citibank	13,002.00
05210 - NYC-CIVIL COURT		
Bronx Civil Court - Civil		
Bronx Civil Court - Revenue	JPMorgan Chase Bank, N.A.	633,905.01
Harlem Community Justice Court		
Harlem Community Justice - Revenue Account	JPMorgan Chase Bank, N.A.	16,722.40
Kings Civil Court- Civil		
Kings Civil Court- Civil Revenue	JPMorgan Chase Bank, N.A.	897,886.59
New York Civil Court - Civil		
New York Civil Court Revenue Acct	JPMorgan Chase Bank, N.A.	212,930.33
Queens Civil Court - Civil		
Queens Civil - Revenue	JPMorgan Chase Bank, N.A.	384,113.73
Richmond Civil Court - Civil		
Richmond Civil Ct Revenue Acct	JPMorgan Chase Bank, N.A.	59,450.59
05215 - NYC-CRIMINAL COURT		
Bronx Criminal Court- Criminal Court		
Bronx Criminal Division- Criminal Bail	JPMorgan Chase Bank, N.A.	103,857.00
Bronx Criminal Court- Criminal Court		
Bronx Criminal Division- Criminal Revenue	JPMorgan Chase Bank, N.A.	16,590.00
Kings County Criminal Court		
Kings Criminal Court	Citibank	210,873.55
New York County Criminal Court		
New York Criminal Court	JPMorgan Chase Bank, N.A.	8,590.00
New York Criminal Court- State Funds	JPMorgan Chase Bank, N.A.	12,987.00
Queens County Criminal Court		

Queens Criminal Court	JPMorgan Chase Bank, N.A.	29,828.00
Queens Criminal Court - State Funds	JPMorgan Chase Bank, N.A.	14,318.00
Richmond County Criminal Court		
Richard Criminal Court-City Funds	JPMorgan Chase Bank, N.A.	51,118.00
Richmond Criminal Court-State Funds	JPMorgan Chase Bank, N.A.	9,448.00
05231 - SUPREME COURT - BRONX COUNTY		
Bronx County Supreme - NYS OCA	JPMorgan Chase Bank, N.A.	849.00
05235 - SUPREME COURT - KINGS COUNTY		
Kings Co Supreme		
Supreme Court Kings County-Revenue	JPMorgan Chase Bank, N.A.	6,705.00
05240 - SUPREME COURT - QUEENS COUNTY		
Queens Co Supreme		
Queens County Supreme Court	JPMorgan Chase Bank, N.A.	12,484.60
Queens County Supreme Court	JPMorgan Chase Bank, N.A.	0.00
05250 - NEW YORK COUNTY CLERK		
New York Co Clerk Revenue Account	JPMorgan Chase Bank, N.A.	No report received
05255 - BRONX COUNTY CLERK		
Bronx County Clerk		
Bronx Cnty Clerk Revenue Acct	JPMorgan Chase Bank, N.A.	656,136.10
05260 - KINGS COUNTY CLERK		
Kings County Clerk		
Kings County Clerk Revenue Account	Flushing Commercial Bank	1,098,513.01
05265 - QUEENS COUNTY CLERK		
Queens County Clerk-Revenue Acct	Sterling Bank	1,558,603.73
05270 - RICHMOND COUNTY CLERK		
Richmond Co Clerk State Fees Account	JPMorgan Chase Bank, N.A.	10,610,481.08
Richmond County Clerk DEC	JPMorgan Chase Bank, N.A.	78.93
05275 - NEW YORK COUNTY SURROGATES COURT		
New York Surrogate		
New York Surrogate Court	JPMorgan Chase Bank, N.A.	151,894.06
05280 - BRONX COUNTY SURROGATES COURT		
Bronx Surrogate		
Bronx Surrogate Court Revenue Acct	JPMorgan Chase Bank, N.A.	103,106.25
05285 - KINGS COUNTY SURROGATES COURT		
Kings County Surrogate		
Kings Co. Surrogate Revenue Acct	Bank of America, N.A.	487,538.00
05290 - QUEENS COUNTY SURROGATES COURT		
Queens surrogate		
Queens Co Revenue Acct Surrogate	Signature Bank	140,768.00
05295 - RICHMOND COUNTY SURROGATES COURT		
Richmond County Surrogate Court Revenue Account	Victory State Bank	24,211.50
05360 - 3RD JUDICIAL DISTRICT ADMINISTRATION		
Albany City Court - (Civil)		
Albany City Civil - Revenue	Wells Fargo Bank	3,519.47
Albany City Court - (Crim-Bail)		
Albany City Criminal - Bail	Wells Fargo Bank	11,148.12
Albany City Court - (Traffic)		
Albany City Traffic-Revenue	Wells Fargo Bank	51,836.00
Albany Traffic Court - Bail	Bank of America, N.A.	0.00
Albany City Court - Civil Part		
Albany City Court Civil - Revenue	Trustco Bank	0.00
Albany City Court - Crim		
Albany City Court-Crim	Wells Fargo Bank	7,839.00
Albany City Court - Traffic-Bail		
Albany City Traffic - Bail	Wells Fargo Bank	1,300.18
Albany County Surrogate		
Albany Cty Surrogates Court - Revenue	Bank of America, N.A.	11,287.50
Albany Police Court		
Albany Police Court Bail Account	Key Bank	0.00
Cohoes City Court		
Cohoes City Court Bail	Key Bank	1,651.80
Cohoes City Court Fees/Fines Account	Key Bank	5,072.00
Columbia County Surrogate		
Columbia Co Surrogate Ct Fees - Revenue	Key Bank	233.00
Greene Surrogate		
Greene Surrogate-Revenue	Wells Fargo Bank	4,361.00
Hudson City Court		
Hudson City Bail	Wells Fargo Bank	30,166.52
Hudson City Revenue	Wells Fargo Bank	5,379.00
Kingston City Court		
Kingston City Court Bail	Wells Fargo Bank	6,500.00
Kingston City Court Revenue	Wells Fargo Bank	25,178.39
Rensselaer City Court		
Rensselaer City Court - Bail	Wells Fargo Bank	5,295.65

Rensselaer City Court - Revenue	Wells Fargo Bank	2,257.50
Rensselaer City Court Bail Acct	Key Bank	0.00
Rensselaer County Surrogate		
Rensselaer Co Surrogate Ct Fees - Revenue	Key Bank	17,396.00
Schoharie County Surrogate		
Schoharie Co Surrogates Court - Revenue	Bank of America, N.A.	1,746.00
Sullivan Surrogate		
Sullivan Surrogate-Revenue	Wells Fargo Bank	1,877.50
Troy City Court		
Troy City Court- Revenue Acct - Revenue	Bank of America, N.A.	27,032.21
Troy Police Court Bail Account	Bank of America, N.A.	9,639.28
Ulster County Surrogate		
Ulster County Surrogate Court - Revenue	Key Bank	16,365.75
Watervliet City Court		
Watervliet City Court - Bail	Wells Fargo Bank	41,870.00
Watervliet City Court - Revenue	Wells Fargo Bank	22,570.67
05460 - 4TH JUDICIAL DISTRICT ADMINISTRATION		
Amsterdam City Court		
Amsterdam City Court - Bail	Key Bank	23,876.27
Amsterdam City Court - Revenue	Key Bank	5,350.00
Clinton County Surrogates		
Clinton County Surrogates - Revenue	NBT Bank	4,539.25
Essex County Surrogate		
Essex Co Surrogate Clerk - Revenue	Champlain National	3,006.75
Franklin County Surrogate		
Franklin Co Surrogate Court - Revenue	Key Bank	168.00
Fulton County Surrogate		
Fulton County Surrogate's Court	Key Bank	671.75
Glens Falls City Court		
Glens Falls City Court Account - Revenue	Glens Falls National	7,650.00
Glens Falls City Court Bail Acct	Glens Falls National	6,862.39
Gloversville City Court		
Gloversville City Court Bail	NBT Bank	22,702.75
Gloversville City Court Revenue	NBT Bank	6,457.25
Hamilton Surrogate		
Hamilton Surrogate - Revenue	Community Bank	421.00
Johnstown City Court		
City Of Johnstown Bail Account - Bail	Key Bank	3,102.04
Johnstown City Court Fines/Fees - Revenue	Key Bank	6,490.00
Mechanicville City Court		
Mechanicville City Court Bail	TD Bank	3,150.76
Mechanicville City Ct Revenue Acct	TD Bank	3,972.29
Montgomery County Surrogate		
Montgomery County Surrogates Court - Revenue	NBT Bank	786.00
Ogdensburg City Court		
Ogdensburg City Court Int Bail	Community Bank	3,073.00
Ogdensburg City Court Revenue	Community Bank	2,413.48
Plattsburgh City Court		
Plattsburgh City Court - Bail	Glens Falls National	6,824.48
State Of NY Plattsburgh City Court - Revenue	Glens Falls National	13,982.14
Saratoga County Surrogate		
Saratoga County Surrogate - Revenue	Ballston Spa National Bank	4,136.00
Saratoga Springs City Court		
Saratoga Springs Bail Account	The Adirondack Trust Company	14,630.41
Saratoga Springs City Revenue Acct	The Adirondack Trust Company	12,066.98
Schenectady City Court		
Schenectady City Court- Bail	Bank of America, N.A.	50,261.38
Schenectady City Court Revenue	Bank of America, N.A.	25,541.56
Schenectady Surrogate		
Schenectady Surrogate Court - Revenue	Key Bank	3,325.00
St. Lawrence Co Surrogate		
St. Lawrence County Surrogate - Revenue	Community Bank	5,087.00
Warren County Surrogate		
Warren County Surrogate Court - Revenue	TD Bank	3,107.00
Washington Surrogates		
Washington Surrogate Revenue	TD Bank	2,650.00
05560 - 5TH JUDICIAL DISTRICT ADMINISTRATION		
Fulton City Court		
Fulton City Court Bail Acct	Key Bank	No report received
Fulton City Court Revenue	Key Bank	No report received
Herkimer Surrogate		
Herkimer Surrogate - Revenue	Partners Trust	No report received
Jefferson Surrogates		
Jefferson Co Surrogate Revenue	Key Bank	No report received

Lewis County		
Lewis County Clerk	Community Bank	No report received
Lewis County Surrogates		
Lewis County Surrogate Court - Revenue	Key Bank	No report received
Little Falls City Court		
Little Falls City Court Bail	Bank of America, N.A.	No report received
Little Falls City Court Revenue	M&T Bank	No report received
Oneida County Combined		
Oneida County Combined Court	Adirondack Bank	No report received
Oneida County Surrogates		
Oneida County Surrogate Court Revenue	The Adirondack Trust Company	No report received
Onondaga County Surrogates		
Onondaga Surrogate Court - Revenue	Alliance Bank	No report received
Oswego City Court		
Oswego City Court Bail Acct	JPMorgan Chase Bank, N.A.	No report received
Oswego City Court Revenue	JPMorgan Chase Bank, N.A.	No report received
Oswego Surrogate Court		
Oswego County Surrogate Court - Revenue	Key Bank	No report received
Rome City Court		
City Court Of Rome Bail Account - Bail	Bank of America, N.A.	No report received
Rome City Court - Revenue	Bank of America, N.A.	No report received
Sherrill City Court		
Sherrill City Court 5th Jud Dist - Bail	Alliance Bank	No report received
Sherrill City Court Fees - Revenue	Alliance Bank	No report received
Syracuse City Court		
Syracuse City Court - Bail	Alliance Bank	No report received
Syracuse City Court - Fees - Revenue	Alliance Bank	No report received
Utica City Court		
Utica City Court Criminal Bail	Bank of Utica	No report received
Utica City Court Revenue Account	Key Bank	No report received
Watertown City Court		
Watertown City Court Bail	Key Bank	No report received
Watertown City Court Fees & Fines - Revenue	Key Bank	No report received
05661 - 6TH JUDICIAL DISTRICT ADMINISTRATION		
Binghamton City Court		
Binghamton City Court Bail	M&T Bank	45,142.00
Binghamton City Court Revenue	M&T Bank	26,691.50
Broome Surrogates		
SNY UCS Broome County Surrogates Court	Wells Fargo Bank	5,035.75
Chemung County Surrogates		
SNY UCS Chemung County Surrogates Court	Wells Fargo Bank	4,280.75
Chenango County Surrogates		
SNY UCS Chenango County Surrogates Court	Wells Fargo Bank	2,423.00
Cortland City Court		
Court City Court Bail	NBT Bank	14,969.26
Court City Court- Revenue	NBT Bank	6,052.00
Cortland County Surrogates		
SNY UCS Cortland County Surrogates Court	Wells Fargo Bank	3,182.00
Delaware County Surrogates		
Delaware County Surrogate - Revenue	Delaware National Bank	1,843.00
Elmira City Court		
Elmira City Court - Revenue Account	Chemung Canal Trust	13,499.51
Elmira City Court Bail	Chemung Canal Trust	32,144.54
Ithaca City Court		
Ithaca City Court	Tompkins County Trust	8,643.00
Ithaca City Court Revenue	Tompkins County Trust	5,677.00
Madison County Surrogates		
SNY UCS Madison County Surrogates Court	Wells Fargo Bank	492.00
Norwich City Court		
Norwich City Court Bail Acct	NBT Bank	9,201.00
Norwich City Court Revenue Acct	NBT Bank	469.00
Oneida City Court		
Oneida City Court Bail Account	JPMorgan Chase Bank, N.A.	5,900.00
Oneida City Court Fee & Fine - Revenue	JPMorgan Chase Bank, N.A.	11,497.00
Oneonta City Court		
Oneonta City Court - Revenue	Community Bank	5,129.00
Oneonta City Court Bail Account	Community Bank	3,022.00
Otsego County Surrogates		
Otsego County Surrogates Court - Revenue	Key Bank	5,778.50
Schuyler County Surrogates		
Schuyler County Surrogates Court	Community Bank	2,300.50
Tioga County Surrogates		
Tioga Surrogates Court - Revenue	M&T Bank	2,197.50
Tompkins County Surrogates		

SNY UCS Tompkins County Surrogates Court	Wells Fargo Bank	7,078.00
05761 - 7TH JUDICIAL DISTRICT ADMINISTRATION		
Auburn City Court		
Auburn City Court Bail Acct	Key Bank	30,112.55
Auburn City Court Fees & Fines - Revenue	Key Bank	11,772.00
Canandaigua City Court		
Canandaigua City Court Bail Acct	Canandaigua National Bank	21,002.51
Canandaigua City Court Revenue	Canandaigua National Bank	9,086.18
Cayuga County Surrogates		
Cayuga Surrogate Court	Wells Fargo Bank	3,809.00
Corning City Court		
Corning City Court - Bail	Wells Fargo Bank	8,314.08
Corning City Court - Revenue	Wells Fargo Bank	8,055.00
Geneva City Court		
Geneva City Court Bail Account	Wells Fargo Bank	17,442.25
Geneva City Court Revenue Account	Wells Fargo Bank	6,428.50
Hornell City Court		
Hornell City Court Bail Account	Community Bank	9,915.08
Hornell City Court Revenue	Community Bank	2,351.08
Livingston County Surrogates		
Livingston Surrogate Court	Wells Fargo Bank	18.00
Monroe County Surrogates		
7th District Monroe Surrogate	Wells Fargo Bank	30,696.00
Ontario County Surrogates		
Ontario Surrogate Court	Wells Fargo Bank	8,148.00
Rochester City Court		
Rochester City Court Bail Account	M&T Bank	489,747.60
Rochester City Revenue	M&T Bank	12,139.25
Seneca County Surrogates		
Seneca Surrogate Court	Wells Fargo Bank	3,013.00
Steuben County Surrogates		
7th District Steuben Surrogate	Wells Fargo Bank	7,548.00
Wayne County Surrogates		
Wayne Surrogate Court	Wells Fargo Bank	2,003.75
Yates County Surrogates		
Yates Surrogate Court	Wells Fargo Bank	2,579.25
05860 - 8TH JUDICIAL DISTRICT ADMINISTRATION		
ALLEGANY COUNTY SURROGATES COURT		
ST of NY Office of The State Comptroller State of New York Unified Courts Allegany Surrogate Court	Wells Fargo Bank	No report received
Batavia City Court		
ST of NY Office of The State Comptroller Batavia City Court Bail	Wells Fargo Bank	No report received
ST of NY Office of The State Comptroller Batavia City Court REVENUE	Wells Fargo Bank	No report received
BUFFALO CITY COURT		
ST of NY Office of The State Comptroller Buffalo City Court Bail Account	Wells Fargo Bank	No report received
ST of NY OFFICE OF THE STATE COMPTROLLER BUFFALO CITY COURT REVENUE ACCOUNT	Wells Fargo Bank	No report received
Cattaraugus County Surrogates		
ST of NY Office of the State Comptroller State of New York Unified Courts Cattaraugus Surrogate Court	Wells Fargo Bank	No report received
Chautauqua County Surrogates Court		
ST of NY Office of the State Comptroller State of New York Unified Courts Chautauqua Surrogate Court	Wells Fargo Bank	No report received
Dunkirk City Court		
ST of NY Office of The State Comptroller Dunkirk Bail Account	Wells Fargo Bank	No report received
ST of NY Office of The State Comptroller Dunkirk Revenue Account	Wells Fargo Bank	No report received
Erie - Buffalo County Law Library		
Sur Ct Lib At Buffalo - Revenue	M&T Bank	No report received
Erie County Surrogates		
ST of NY Office of the State Comptroller State of New York Unified Courts Erie Surrogate Court	Wells Fargo Bank	No report received
Genesee County Surrogates		
ST of NY Office of the State Comptroller State of New York Unified Courts Genesee Surrogate Court	Wells Fargo Bank	No report received
Jamestown City Court		
St of NY Office of the State Comptroller Jamestown City Court Bail Account	Wells Fargo Bank	No report received
St of NY Office of the State Comptroller Jamestown City Court Revenue Account	Wells Fargo Bank	No report received
State of New York Office of Court Administration Jamestown City Court Bail	Key Bank	No report received
State of New York Office of Court Administration Jamestown City Court Revenue	Key Bank	No report received
Lackawanna City Court		
Lackawanna City Court Bail Account	Key Bank	No report received
Lackawanna City Court Revenue Account	Key Bank	No report received
Lockport City Court		
Lockport City - Bail	Key Bank	No report received
Lockport City HESC EFT Account - Revenue	Key Bank	No report received
St of NY Office of the State Comptroller Lockport City Court Bail Account	Wells Fargo Bank	No report received
St of NY Office of the State Comptroller Lockport City Court Revenue Account	Wells Fargo Bank	No report received
Niagara City Court		
Niagara Falls Bail Bond Account	M&T Bank	No report received
Niagara County Surrogates		

ST of NY Office of the State Comptroller State of New York Unified Courts Niagara Surrogate Court	Wells Fargo Bank	No report received
Niagara Falls City Court		
ST of NY OFFICE OF THE STATE COMPTROLLER NIAGARA FALLS BAIL ACCOUNT	Wells Fargo Bank	No report received
ST of NY OFFICE OF THE STATE COMPTROLLER NIAGARA FALLS REVENUE ACCOUNT	Wells Fargo Bank	No report received
No. Tonawanda City Court		
ST of NY Office of The State Comptroller No. Tonawanda City Court Bail	Wells Fargo Bank	No report received
ST of NY Office of The State Comptroller No. Tonawanda Revenue	Wells Fargo Bank	No report received
Olean City Court		
ST of NY Office of The State Comptroller Olean City Court Bail	Wells Fargo Bank	No report received
ST of NY Office of The State Comptroller Olean City Court Revenue	Wells Fargo Bank	No report received
Orleans County Surrogates		
ST of NY Office of The State Comptroller State of New York Unified Courts Orleans Surrogate Court	Wells Fargo Bank	No report received
Salamanca City Court		
Salamanca City Court Bail	Community Bank	No report received
Salamanca City Court City Judge - Revenue	Community Bank	No report received
St of NY Office of the State Comptroller Salamanca City Court Bail Account	Wells Fargo Bank	No report received
St of NY Office of the State Comptroller Salamanca City Court Revenue Account	Wells Fargo Bank	No report received
Tonawanda City Court		
ST of NY Office of The State Comptroller Tonawanda City Court Bail	Wells Fargo Bank	No report received
ST of NY Office of The State Comptroller Tonawanda City Court Revenue	Wells Fargo Bank	No report received
Wyoming County Surrogates		
ST of NY Office of the State Comptroller State of New York Unified Courts Wyoming Surrogate Court	Wells Fargo Bank	No report received
05960 - 9TH JUDICIAL DISTRICT ADMINISTRATION		
Beacon City Court		
Beacon City Court Bail Account - Bail	JPMorgan Chase Bank, N.A.	38,205.61
Beacon City Fines Account - Revenue	JPMorgan Chase Bank, N.A.	3,370.00
Dutchess County Surrogates Court		
Dutchess County Surrogate Court - Revenue	JPMorgan Chase Bank, N.A.	46,633.25
Middletown City Court		
Middletown City Bail Escrow - Bail	Wells Fargo Bank	81,518.33
Middletown City Court Revenue	JPMorgan Chase Bank, N.A.	0.00
Middletown City Court Revenue	Wells Fargo Bank	25,939.62
Mt Vernon City Court		
Mt Vernon City Court State Bail	Wells Fargo Bank	125,015.88
Mt Vernon City Court State Revenue	Wells Fargo Bank	15,416.25
New Rochelle City Court		
New Rochelle City Court Bail	JPMorgan Chase Bank, N.A.	277,315.55
New Rochelle City Court Revenue	JPMorgan Chase Bank, N.A.	43,571.94
Newburgh City Court		
Newburgh Bail Account	Wells Fargo Bank	13,726.97
Newburgh City Court Revenue	Wells Fargo Bank	24,064.15
Orange County Surrogates Court		
Orange Co Surrogates Court - Revenue	JPMorgan Chase Bank, N.A.	18,891.25
Peekskill City Court		
Peekskill City Court Revenue	JPMorgan Chase Bank, N.A.	4,321.69
Peekskill City Court		
Peekskill City Court - Bail	JPMorgan Chase Bank, N.A.	37,047.58
Port Jervis City Court		
Port Jervis Bail Account - Bail	JPMorgan Chase Bank, N.A.	10,210.45
Port Jervis Revenue Account - Revenue	JPMorgan Chase Bank, N.A.	5,811.00
Poughkeepsie		
Poughkeepsie City Court -Bail	Wells Fargo Bank	20,129.65
Poughkeepsie City Court -Revenue	Wells Fargo Bank	26,256.12
Putnam Co Surrogate's Court		
Putnam Co Surrogates Court	Putnam County National Bank	7,936.50
Rockland County Surrogates Court		
Rockland Co Surrogates Court - Revenue	JPMorgan Chase Bank, N.A.	15,555.75
Rye City Court		
City Of Rye Bail Account	JPMorgan Chase Bank, N.A.	26.40
City Of Rye Fines And Fees - Revenue	JPMorgan Chase Bank, N.A.	14,367.81
Westchester County Surrogates Court		
Westchester Co Surrogates Fees - Revenue	Wells Fargo Bank	91,424.69
White Plains City Court		
White Plains City Court Bail Account	Sterling Bank	0.00
White Plains City Court Bail Account	Wells Fargo Bank	46,368.06
White Plains City Court Vehicle And Traffic Acct - Revenue	Sterling Bank	0.00
White Plains City Court Vehicle And Traffic Acct - Revenue	Wells Fargo Bank	37,883.48
Yonkers City Court		
Yonkers City Bail Account - Bail	Wells Fargo Bank	389,025.91
Yonkers City Revenue Account - Revenue	Wells Fargo Bank	37,702.26
06000 - AGRICULTURE & MARKETS		
Administration Account	Key Bank	42,473.81
Agency Advance Account	Key Bank	10,000.00
Agriculture Producers Sec Fund	Key Bank	20,512.68

Animal Population Control Account	Key Bank	21,642.51
Apple Marketing Order Fund	Key Bank	0.00
Consumer Food Industry Account	Key Bank	1,814.00
Dairy Industry Services Account	Key Bank	2,985.80
Dairy Promotion Order Fund	Key Bank	0.00
Milk Producers Security Fund	Key Bank	45,858.94
NYS Farmers Market Program	Key Bank	432,692.24
NYS WNY Milk Mktg Area Administration Fund	M&T Bank	98.15
NYS WNY Milk Mktg Area Equalization Fund	M&T Bank	11,497.12
NYS WNY Milk Mktg Area Equalization Fund Savings	M&T Bank	319.39
Onion Marketing Order	Key Bank	0.00
Plants Industry Account	Key Bank	44,277.16
Pride of NY	Key Bank	6,266.98
Sour Cherry Marketing Fund	Key Bank	0.00
Weights & Measures Account	Key Bank	8,488.93
NYS Dept Agriculture & Markets		
Apple Marketing Order Fund	Key Bank	0.00
Dairy Promotion Order Fund	Key Bank	0.00
Farm Products	Key Bank	11,317.55
State Fair		
NYS Fair Operating Account	Solvay Bank	10,865.04
NYS Fair Special Account	Solvay Bank	231.20
08000 - DEPARTMENT OF CIVIL SERVICE		
Agency Advance Account	Bank of America, N.A.	3,000.00
Examination Application Fees Account	Bank of America, N.A.	663.00
Examination Application Fees Account	Key Bank	2,627.00
NYS Affirmative Action Advisory Account	Bank of America, N.A.	5,141.90
NYS Department of Civil Service	US Bank	6,850,218.83
08010 - PUBLIC EMPLOYEE RELATIONS BOARD		
Petty Cash And Travel Advance Account	Key Bank	1,462.50
09000 - DEPARTMENT OF ENVIRONMENTAL CONSERVATION		
Albany		
Bayville Feasibility Study	JPMorgan Chase Bank, N.A.	494,068.34
Conservation Petty Cash Account	M&T Bank	29,916.75
DEC/Exchange Account	M&T Bank	15,037.98
ENCON License Issuing Office	M&T Bank	22,781.22
ENCON/Montauk Point Feasibility Study	JPMorgan Chase Bank, N.A.	7,205.44
ENCON/South Shore Of Staten Island	JPMorgan Chase Bank, N.A.	22,921.03
Hunting Trapping & Fishing Account	M&T Bank	82,592.64
Lake Montauk Harbor	JPMorgan Chase Bank, N.A.	156,254.69
Lockbox Account	Wells Fargo Bank	111,373.22
Mattituck Inlet	JPMorgan Chase Bank, N.A.	1,329.15
NY Conservationist	Bank of America, N.A.	31,587.38
Program Fee	JPMorgan Chase Bank, N.A.	65,463.31
Revenue Account	Bank of America, N.A.	708,350.15
Rockaway Beach Study & Project	JPMorgan Chase Bank, N.A.	1,265,845.79
State of New York	Key Bank	58,358.89
Region 1		
Marine Permit Account	People's United Bank	167,035.21
Region 3		
Beaverkill & Mongaup Pond	Jeff Bank	0.00
Revenue Region 3 Account	Bank of America, N.A.	0.00
Region 4		
Bear Spring Revenue Account	Wayne Bank	0.00
Region 4	Greene County Commercial Bank	0.00
Region 4 Camping	NBT Bank	0.00
Region 5		
Campsite Revenue Account	Glens Falls National	792.65
Land & Forest Region 5W	TD Bank	26,204.54
NYS Conservation	Glens Falls National	518.09
Recreation (Warrensburg)	City National Bank & Trust	313.91
Region 5	Citizens Bank	38.03
Region 5	NBT Bank	105,552.62
Tree Nursery	Bank of America, N.A.	73,345.00
Region 6		
Fish & Wildlife Watertown	Key Bank	126.92
Lands & Forest District #7	Community Bank	0.00
Lands & Forests District #6	Community Bank	0.00
Lands And Forests District 10	M&T Bank	21.20
SNY Dept Of Environmental Conserv	Community Bank	14.04
10000 - ATTICA CORRECTIONAL FACILITY		
Agency Advance Account	Five Star Bank	1,700.00
CD Spendable	Five Star Bank	220,000.00
Employee Benefit Fund	Five Star Bank	8,222.75

General Cash Fund	Five Star Bank	572.64
Inmate Occupational Therapy Fund	Five Star Bank	77,317.42
Inmate Savings Account	Five Star Bank	255,312.55
Spendable Fund	Five Star Bank	569,501.46
10010 - AUBURN CORRECTIONAL FACILITY		
Advance Account	Key Bank	3,807.37
Certificate of Deposit	Bank of America, N.A.	90,000.00
Certificate of Deposit	Bank of America, N.A.	15,000.00
Inmate Occupational Therapy Fund	Key Bank	22,907.59
Inmate Spendable Account	Key Bank	320,269.50
Inmate Spendable Savings CD	Key Bank	102,650.04
Misc Revenue	Key Bank	61,917.73
10020 - CLINTON CORRECTIONAL FACILITY		
Advance Account	Key Bank	No report received
Employee Benefit Fund	Key Bank	No report received
General Fund	Key Bank	No report received
Inmate Funds	Key Bank	No report received
Inmate Funds Money Market Account	Key Bank	No report received
Inmate Occupational Therapy Acct	Key Bank	No report received
10030 - WATERTOWN CORRECTIONAL FACILITY		
Agency Advance Account	Key Bank	3,039.96
Inmate Occupational Therapy Fund	Key Bank	14,674.17
Inmate Spendable Funds	Key Bank	49,085.92
Inmate Spendable Savings Account	Key Bank	60,675.26
Miscellaneous Receipts	Key Bank	11,735.65
10040 - GREAT MEADOW CORRECTIONAL FACILITY		
Certificate of Deposit	Glens Falls National	No report received
Certificate of Deposit	Glens Falls National	No report received
Certificate of Deposit	Glens Falls National	No report received
Facility Advance	Key Bank	No report received
General Fund	Key Bank	No report received
Inmate Fund	Key Bank	No report received
Inmate Fund Savings Account	Glens Falls National	No report received
Miscellaneous Account	Key Bank	No report received
Occupational Therapy	Key Bank	No report received
10050 - FISHKILL CORRECTIONAL FACILITY		
Agency Advance	M&T Bank	3,540.97
Employee Benefits	M&T Bank	9,197.51
Inmate Spending Account	M&T Bank	491,160.63
Inmates Account	M&T Bank	463,111.15
Misc Receipts	M&T Bank	14,188.57
Occupational Therapy Account	M&T Bank	41,162.42
10060 - WALLKILL CORRECTIONAL FACILITY		
Advance Account	Key Bank	1,550.00
Employee Benefit	Key Bank	1,938.67
Inmate Occupational Therapy Fund	Key Bank	29,061.60
Inmate Savings Account	Key Bank	88,192.04
Inmates Fund Account	Key Bank	82,637.34
Misc. Receipts	Key Bank	19,203.54
10070 - SING SING CORRECTIONAL FACILITY		
Cash Advance	JPMorgan Chase Bank, N.A.	No report received
Inmate Funds	JPMorgan Chase Bank, N.A.	No report received
Inmate Interest Funds	JPMorgan Chase Bank, N.A.	No report received
Misc Receipts	JPMorgan Chase Bank, N.A.	No report received
Occupational Therapy	JPMorgan Chase Bank, N.A.	No report received
Quality Work Life	JPMorgan Chase Bank, N.A.	No report received
10080 - GREEN HAVEN CORRECTIONAL FACILITY		
Advance Account	Key Bank	1,100.00
General Fund	Key Bank	17,454.73
Inmates Money Market	Key Bank	287,833.62
Inmates Now Checking	Key Bank	754,177.69
Occupational Therapy Fund	Key Bank	151,020.54
10090 - ALBION CORRECTIONAL FACILITY		
Albion Advance Account	Bank of America, N.A.	2,206.52
Employee Benefit Fund	Bank of America, N.A.	11,658.35
Inmate Funds	Bank of America, N.A.	106,472.00
Inmate Funds Savings	Bank of America, N.A.	154,354.56
Misc Receipts	Bank of America, N.A.	7,699.17
Occupational Therapy	Bank of America, N.A.	23,122.80
10100 - EASTERN NEW YORK CORRECTIONAL FACILITY		
Agency Advance Account	Sterling Bank	No report received
Employee Benefit Fund	Sterling Bank	No report received
ID Now 3 Month CD	M&T Bank	No report received
Inmate Deposit Now Account	Sterling Bank	No report received

Inmate Occupational Therapy Account	Sterling Bank	No report received
Misc. Receipts	Sterling Bank	No report received
10110 - ELMIRA CORRECTIONAL & RECEPTION CENTER		
Agency Advance Account	Chemung Canal Trust	2,516.57
Employee Benefit Fund	Chemung Canal Trust	29,344.67
Inmate CD Account	M&T Bank	213,891.26
Inmates Fund	Chemung Canal Trust	742,767.82
Miscellaneous Receipts	Chemung Canal Trust	278.03
Occupational Therapy Fund	Chemung Canal Trust	31,318.73
10120 - BEDFORD HILLS CORRECTIONAL FACILITY		
Advance Account	JPMorgan Chase Bank, N.A.	5,078.08
Employee Benefit Fund	JPMorgan Chase Bank, N.A.	8,782.86
Inmate Funds	JPMorgan Chase Bank, N.A.	170,990.32
Inmate Funds CD	JPMorgan Chase Bank, N.A.	90,000.00
Inmate Funds Money Market	JPMorgan Chase Bank, N.A.	23,288.33
Misc. Receipts	JPMorgan Chase Bank, N.A.	161.00
Occupational Therapy	JPMorgan Chase Bank, N.A.	67,822.80
10130 - COXSACKIE CORRECTIONAL FACILITY		
Agency Advance Account	National Bank of Coxsackie	941.20
Employee Benefits Fund	National Bank of Coxsackie	29,835.86
Inmates Fund	National Bank of Coxsackie	163,439.22
Inmates Fund Savings Acct	National Bank of Coxsackie	186,887.54
Misc. Revenue	National Bank of Coxsackie	7,732.48
Money Market Acct	National Bank of Coxsackie	No report received
Occupational Therapy Acct	National Bank of Coxsackie	56,780.58
10140 - WOODBOURNE CORRECTIONAL FACILITY		
CD - Inmate Funds	First National Bank of Jeffersonville	50,000.00
CD - Inmate Funds	First National Bank of Jeffersonville	190,000.00
WCF Agency Advance	Jeff Bank	1,298.20
WCF General Fund	Jeff Bank	17,421.57
WCF Inmate Fund	Jeff Bank	239,668.89
WCF Occupational Therapy Fund	Jeff Bank	40,742.35
10160 - DEPARTMENT OF CORRECTIONS AND COMMUNITY SUPERVISION		
Agency Advance Account	Key Bank	No report received
Employee Benefit Fund	Key Bank	No report received
Inmate Escrow Account	Key Bank	No report received
Misc. Receipts Account	Key Bank	No report received
Special Account	Key Bank	No report received
10170 - QUEENSBORO CORRECTIONAL FACILITY		
Agency Advance Account	JPMorgan Chase Bank, N.A.	7,904.67
Employee Benefit Fund	JPMorgan Chase Bank, N.A.	8,383.26
Inmate Funds Account	JPMorgan Chase Bank, N.A.	217,603.65
Miscellaneous Receipts Account	JPMorgan Chase Bank, N.A.	1,175.64
Occupational Therapy Fund	JPMorgan Chase Bank, N.A.	1,529.28
Savings Account	JPMorgan Chase Bank, N.A.	15,648.12
10230 - ADIRONDACK CORRECTIONAL FACILITY		
ADK QWL	Community Bank	7,581.62
Agency Advance	Community Bank	790.00
Employee Benefit Fund	Community Bank	4,595.65
General Fund	Community Bank	527.75
Inmate Funds	Community Bank	57,763.77
Inmate Occupational Therapy Fund	Community Bank	971.22
10240 - DOWNSTATE CORRECTIONAL FACILITY		
Agency Advance	JPMorgan Chase Bank, N.A.	2,213.03
Employee Recreational Funds	JPMorgan Chase Bank, N.A.	16,706.55
Inmate Fund	JPMorgan Chase Bank, N.A.	514,032.05
Inmates Funds Savings	JPMorgan Chase Bank, N.A.	80,471.39
Misc. Receipts	JPMorgan Chase Bank, N.A.	14,899.29
Occupational Therapy	JPMorgan Chase Bank, N.A.	72,465.53
10250 - TACONIC CORRECTIONAL FACILITY		
Employee Benefit Fund	JPMorgan Chase Bank, N.A.	1,945.80
Inmate Funds	JPMorgan Chase Bank, N.A.	88,583.77
Misc. Revenue	JPMorgan Chase Bank, N.A.	960.98
Money Market	JPMorgan Chase Bank, N.A.	30,991.02
Occupational Therapy Fund	JPMorgan Chase Bank, N.A.	16,000.58
Taconic Advance Account	JPMorgan Chase Bank, N.A.	3,200.00
10270 - HUDSON CORRECTIONAL FACILITY		
Advance Account	Key Bank	4,184.56
Employee Benefit Fund Account	Key Bank	3,860.90
Inmate Funds Account	Key Bank	30,386.09
Inmate Key Advantage Account	Key Bank	50,360.04
Inmate Occupational Therapy Account	Key Bank	6,758.76
Miscellaneous Receipts Account	Key Bank	4,525.39
10290 - OTISVILLE CORRECTIONAL FACILITY		

Cash Advance	Jeff Bank	659.16
General Fund	Jeff Bank	3,378.77
Inmate Funds	Jeff Bank	224,089.47
Inmate Occupational Therapy	Jeff Bank	44,118.89
Inmate Savings	Jeff Bank	51,371.96
Inmate Savings CD	Hometown Bank	78,756.92
10300 - ROCHESTER CORRECTIONAL FACILITY		
Consolidated Advance Account	M&T Bank	1,623.45
Employee Recreation Fund	M&T Bank	1,333.45
Inmate Deposit Account	M&T Bank	24,124.21
Misc Fees	M&T Bank	2,508.51
Work Release Advance Account	M&T Bank	7,183.69
10320 - EDGECOMBE CORRECTIONAL FACILITY		
Agency Advance	JPMorgan Chase Bank, N.A.	596.79
Employee Benefit Account	JPMorgan Chase Bank, N.A.	2,729.77
Inmate Cash Account	JPMorgan Chase Bank, N.A.	23,420.09
Misc. Receipts Account	JPMorgan Chase Bank, N.A.	0.00
Occupational Therapy Acct	JPMorgan Chase Bank, N.A.	1,606.51
Work Release Account	JPMorgan Chase Bank, N.A.	No report received
10350 - OGDENSBURG CORRECTIONAL FACILITY		
Agency Advance Account	Community Bank	3,000.00
EBF	Community Bank	4,836.87
Inmate Fund	Community Bank	48,918.76
Inmate Savings	Community Bank	45,243.29
Misc. Receipts	Community Bank	9.21
Occupational Therapy	Community Bank	12,729.47
10370 - FIVE POINTS CORRECTIONAL FACILITY		
Consolidated Advance	Five Star Bank	1,063.01
EBF Checking	Five Star Bank	42,242.06
EBF Savings	Five Star Bank	30,845.44
Inmate Savings	Five Star Bank	257,957.15
Inmate Spendable	Five Star Bank	208,761.02
Misc Receipts	Five Star Bank	807.64
Occupational Therapy	Five Star Bank	42,340.69
10390 - MOHAWK CORRECTIONAL FACILITY		
Agency Advance	Key Bank	2,749.00
Employee Benefit Fund Checking	Bank of America, N.A.	32,283.05
Inmate Funds Checking	Key Bank	227,221.50
Inmate Funds Savings	Key Bank	314,491.15
Miscellaneous Revenue	Key Bank	1,134.90
Occupational Therapy	Key Bank	22,098.70
10430 - WENDE CORRECTIONAL FACILITY		
Consolidated Advance	Alden State Bank	3,682.78
Employee Benefit Account	Alden State Bank	21,546.35
Inmate Savings	Alden State Bank	197,321.57
Inmates Funds	Alden State Bank	217,435.55
Misc. Receipts	Alden State Bank	5,841.47
Occupational Therapy	Alden State Bank	35,319.62
10441 - DEPARTMENT OF CORRECTIONAL SERVICES-FOOD PRODUCTION CENTER		
Office of Nutritional Services		
Miscellaneous Receipts	Key Bank	14,155.82
10450 - GOWANDA CORRECTIONAL FACILITY		
Advance Account	Community Bank	783.37
Employee Benefit Fund	Community Bank	10,839.11
Inmate Funds	Community Bank	292,791.43
Inmate Funds Savings	Community Bank	0.00
Miscellaneous Revenue	Community Bank	3,050.62
Occupational Therapy	Community Bank	14,431.36
10460 - GROVELAND CORRECTIONAL FACILITY		
Agency Advance Account	Five Star Bank	No report received
Employee Commission Account	Five Star Bank	No report received
Inmate Funds Account	Five Star Bank	No report received
Inmate Funds Account - Savings	Five Star Bank	No report received
Miscellaneous Receipts Account	Five Star Bank	No report received
Occupational Therapy Account	Five Star Bank	No report received
10470 - COLLINS CORRECTIONAL FACILITY		
Agency Advance	Community Bank	4,833.07
Employee Activities	Community Bank	38,653.13
Inmate Fund Checking	Community Bank	187,696.73
Inmate Savings	Community Bank	130,565.19
Miscellaneous Revenue	Community Bank	8,725.26
Occupational Therapy	Community Bank	10,804.30
10480 - MID-STATE CORRECTIONAL FACILITY		
Agency Advance	Key Bank	1,543.56

Employee Benefit Fund	Bank of America, N.A.	37,839.96
Inmate Funds	Key Bank	316,722.79
Inmate Savings	Key Bank	198,758.12
Misc. Revenue	Key Bank	1,520.85
Occupational Therapy	Key Bank	34,398.13
10490 - MARCY CORRECTIONAL FACILITY		
Agency Advance Account	Key Bank	3,843.25
Employee Benefit Fund Account	Bank of America, N.A.	18,253.68
Inmate Fund Account	Key Bank	85,652.18
Misc receipts Acct	Key Bank	294.50
NYS DOCS Marcy CORR Facility	Key Bank	206,820.80
Occupational Therapy fund Acct	Key Bank	39,476.74
10501 - CENTRAL PHARMACY		
NYS Docs Central Pharmacy Advance Acct	Bank of America, N.A.	1,000.00
10510 - MORIAH SHOCK INCARCERATION CORRECTIONAL FACILITY		
Moriah Shock Incarceration Advance Acct	Glens Falls National	1,800.00
Moriah Shock Incarceration Employee Benefit Fund	Glens Falls National	3,380.32
Moriah Shock Incarceration Occ Therapy	Glens Falls National	2,816.85
Moriah Shock Misc Receipts	Glens Falls National	0.00
NYS Moriah Shock Incarceration Inmate Checking	Glens Falls National	27,469.75
10530 - FRANKLIN CORRECTIONAL FACILITY		
Advance Account	Key Bank	4,214.23
Employee Benefit Account	Key Bank	17,275.58
Inmate Funds	Key Bank	151,233.91
Inmate Occupational Therapy	Key Bank	16,770.73
Misc. Receipts	Key Bank	1,739.33
10540 - ALTONA CORRECTIONAL FACILITY		
Cons Adv Travel Petty Cash	NBT Bank	No report received
Employees Vending Benefit	NBT Bank	No report received
Inmates Funds	NBT Bank	No report received
Misc Revenues General Fund	NBT Bank	No report received
Occupational Therapy	NBT Bank	No report received
10550 - CAYUGA CORRECTIONAL FACILITY		
Agency Advance	First National Bank of Groton	1,617.78
Cert Of Deposit	First National Bank of Groton	87,503.23
Employee Benefit Fund	First National Bank of Groton	5,713.13
Inmate Occupational Therapy Account	First National Bank of Groton	32,113.83
Inmate Spendable	First National Bank of Groton	216,157.87
Misc Receipts	First National Bank of Groton	423.13
10560 - BARE HILL CORRECTIONAL FACILITY		
Agency Advance	Key Bank	1,870.26
Employee Benefit Fund	Key Bank	8,703.76
Inmate Spendable Funds	Key Bank	74,093.01
Miscellaneous Receipts	Key Bank	1,236.65
Occupational Therapy	Key Bank	14,308.87
10570 - RIVERVIEW CORRECTIONAL FACILITY		
Agency Advance Account	Key Bank	1,304.73
Inmate Accounts	Key Bank	68,423.13
Inmate Savings Account	Key Bank	105,648.36
Miscellaneous Receipts Account	Key Bank	14,921.66
Occupational Therapy	Key Bank	12,980.66
10580 - CAPE VINCENT CORRECTIONAL FACILITY		
Advance Account	Community Bank	1,941.60
Employee Benefit Fund	Community Bank	41,817.68
Inmate Occupation Therapy Acct	Community Bank	31,961.20
Inmate Savings	Community Bank	101,748.45
Inmate Spendable Account	Community Bank	124,364.21
Miscellaneous Receipts Account	Community Bank	5,811.16
10600 - LAKEVIEW SHOCK INCARCERATION CORRECTIONAL FACILITY		
Agency Advance	Community Bank	No report received
Employee Benefit Fund	Community Bank	No report received
Inmate Funds	Community Bank	No report received
Inmate Funds - Sav	Community Bank	No report received
Miscellaneous Revenue	Community Bank	No report received
Occupational Therapy	Community Bank	No report received
10610 - ULSTER CORRECTIONAL FACILITY		
Agency Advance	Bank of America, N.A.	0.00
Agency Advance	M&T Bank	1,326.00
Employee Benefit Fund	Bank of America, N.A.	0.00
Employee Benefit Fund	M&T Bank	7,096.32
Inmate Fund	Bank of America, N.A.	0.00
Inmate Fund	M&T Bank	162,703.46
Inmate Funds Savings	Bank of America, N.A.	0.00
Inmate Funds Savings	M&T Bank	12,307.24

Misc Receipts	M&T Bank	880.11
Misc. Receipts	Bank of America, N.A.	0.00
Occupational Therapy	Bank of America, N.A.	0.00
Occupational Therapy	M&T Bank	2,581.30
10630 - SOUTHPORT CORRECTIONAL FACILITY		
Advance Account	Chemung Canal Trust	No report received
Employee Benefit Fund	Chemung Canal Trust	No report received
Inmate Funds	Chemung Canal Trust	No report received
Inmate Funds Account	Chemung Canal Trust	No report received
Misc. Receipts Account	Chemung Canal Trust	No report received
Occupational Therapy Account	Chemung Canal Trust	No report received
10640 - ORLEANS CORRECTIONAL FACILITY		
Agency Advance	Bank of America, N.A.	2,250.00
Employee Benefit Fund	Bank of America, N.A.	10,930.16
Inmate Funds	Bank of America, N.A.	129,413.22
Inmate Savings	Bank of America, N.A.	108,289.08
Miscellaneous Receipts	Bank of America, N.A.	13,641.36
Occupational Therapy	Bank of America, N.A.	17,053.84
10650 - WASHINGTON CORRECTIONAL FACILITY		
Advance Account	Key Bank	2,450.00
EBF Account	Key Bank	8,547.84
General Account	Key Bank	0.00
Inmate Account	Key Bank	103,703.71
Inmate Funds Account Certificate Of Deposit	Glens Falls National	79,168.65
Inmate Savings Account	Key Bank	14,369.75
Occupational Therapy Account	Key Bank	15,391.64
10660 - WYOMING CORRECTIONAL FACILITY		
Agency Advance	Five Star Bank	6,300.00
Employee Benefit Fund	Five Star Bank	13,107.58
Inmate Occupational Therapy	Five Star Bank	27,646.40
Inmate Savings - Certificate of Deposit	Five Star Bank	25,000.00
Inmate Savings - Certificate of Deposit	Five Star Bank	25,000.00
Inmate Savings - Certificate of Deposit	Five Star Bank	25,000.00
Inmate Savings Account	Five Star Bank	52,073.63
Inmate Spendable	Five Star Bank	246,884.85
Misc. Receipts Account	Five Star Bank	7,299.84
10670 - GREENE CORRECTIONAL FACILITY		
Consolidated Advance	National Bank of Coxsackie	1,935.00
Employee Benefit Fund	National Bank of Coxsackie	21,998.98
Inmate Accounts	National Bank of Coxsackie	158,349.16
Inmate Savings	National Bank of Coxsackie	248,837.11
Misc. Receipts	National Bank of Coxsackie	0.00
Occupational Therapy	National Bank of Coxsackie	52,145.91
10680 - SHAWANGUNK CORRECTIONAL FACILITY		
Consolidated Advance Account	Key Bank	1,300.00
Inmate Funds	Key Bank	150,389.08
Inmates Funds Account	Key Bank	137,888.64
Misc. Receipts Account	Key Bank	4,939.92
Occupational Therapy Acct	Key Bank	33,562.44
10690 - SULLIVAN CORRECTIONAL FACILITY		
Consolidated Advance	Key Bank	2,309.00
Inmate Checking	Key Bank	156,333.18
Inmate Savings	Key Bank	100,503.55
Miscellaneous	Key Bank	7,118.36
Occupational Therapy	Key Bank	33,937.49
10810 - GOUVERNEUR CORRECTIONAL FACILITY		
Agency Advance	Community Bank	1,427.68
Inmate Occupational Therapy	Community Bank	19,460.92
Inmate Savings	Community Bank	175,008.27
Inmate Spendable Fund	Community Bank	133,562.56
Misc Receipts	Community Bank	13,956.14
10820 - WILLARD DRUG TREATMENT CENTER		
Consolidated Advance	Community Bank	922.46
Employee Benefit Fund	Community Bank	16,357.20
Inmate Funds	Community Bank	80,778.24
Inmate Occupational Therapy	Community Bank	10,461.30
Misc Receipts	Community Bank	261.00
10840 - UPSTATE CORRECTIONAL FACILITY-AUDIT 1		
Advance Account	Key Bank	1,900.00
Facility Committees	Key Bank	19,497.42
Inmate Fund	Key Bank	169,449.41
Inmate Occupational Therapy Fund	Key Bank	7,598.94
Miscellaneous Account	Key Bank	480.45
10850 - HALE CREEK ASACTC		

Consolidated Advance	Key Bank	635.00
Employee Benefit Fund	Bank of America, N.A.	8,595.54
Inmate Funds	Key Bank	151,181.70
Inmate Interest Bearing Account	Key Bank	15,000.12
Misc Receipts	Key Bank	182.00
Occupational Therapy	Key Bank	27,222.31
10890 - CORRECTIONS AND COMMUNITY SUPERVISION		
Asset Forfeiture Special Rev Acct	Bank of America, N.A.	307,462.76
Parole Supervision Fee	Wells Fargo Bank	14,412.79
10916 - CENTRAL OFFICE - INDUSTRIES		
Div of Ind Petty Cash Acct	Key Bank	4,358.59
Div of Ind Revenue Acct	Key Bank	256,953.73
11000 - EDUCATION DEPARTMENT		
Consolidated Advance Account	Key Bank	No report received
Consolidated Advance Account (Control Disbursement)	Key Bank	No report received
Revenue Account	Key Bank	No report received
11100 - NYS HIGHER EDUCATION SERVICES CORPORATION		
Federal Student Loan Suspense	US Bank	105,614.82
NYS HESC-Federal Student Loan Suspense	Key Bank	53,471.67
Operating	Key Bank	472,559.80
Operating	US Bank	783,285.23
Retail Lockbox	US Bank	156,422.58
TAP	Key Bank	2,592.79
Wholesale Lockbox	US Bank	1,639,391.70
11260 - BATAVIA SCHOOL FOR THE BLIND		
Misc. Receipts	M&T Bank	1,116.29
Petty Cash	M&T Bank	3,726.99
Student Spending Account	Bank of America, N.A.	8,488.23
11270 - ROME SCHOOL FOR THE DEAF		
Miscellaneous Receipts	NBT Bank	23,285.99
Petty Cash	NBT Bank	2,000.00
Student Activity Fund	NBT Bank	15,035.89
11280 - ARCHIVES PARTNERSHIP TRUST		
Endowment	Janney Montgomery Scott LLC	5,235,316.48
Endowment - Special Account	Janney Montgomery Scott LLC	No report received
Trust's Board Project Account	Key Bank	369,350.07
12000 - DEPARTMENT OF HEALTH CENTRAL ADMINISTRATION		
CSA Rebate Account	Bank of America, N.A.	404,867.39
DOH EPIC Lockbox Acct	Wells Fargo Bank	42,502.08
DOH Main Cash Advance	Key Bank	17,315.11
Early Intervention - Municipal Deposits for Provider Pymts	Key Bank	0.00
Early Intervention - Provider Payments Escrow	Key Bank	152,708.37
Early Intervention - State Funds	Key Bank	28,117.46
EPIC Co Pay Account	Bank of America, N.A.	0.00
Epic Drug Manufacturer Rebate Account	Bank of America, N.A.	1,715.24
EPIC EFT Acct	Bank of America, N.A.	0.00
EPIC Master Funding Acct	Bank of America, N.A.	391,575.06
EPIC Provider Receipt Account	Bank of America, N.A.	0.00
EPIC Refund Acct	Bank of America, N.A.	0.00
eWIC	Wells Fargo Bank	37,347.23
General Account	M&T Bank	119,609.70
ICR Audit Fees Account	Bank of America, N.A.	33,480.08
Indian Health Disbursement Account	Bank of America, N.A.	0.00
Indian Health-Master Acct	Bank of America, N.A.	23,566.07
Medicaid	Key Bank	96,271.13
Medicaid Audit Recoveries Acct	Key Bank	0.00
Medicaid Insurance Recoveries Acct	Bank of America, N.A.	7,197,929.99
Nurses Aide Fees (Prometric)	Bank of America, N.A.	47,512.10
Nursing Home Fees Account	Bank of America, N.A.	50,966.25
NYS DOH CLEP Revenue	Key Bank	90,513.40
OBRA Drug Rebate Program Acct	Bank of America, N.A.	230,302.85
SPARCS	Key Bank	39,832.01
12010 - ROSWELL PARK MEMORIAL INSTITUTE		
Office Of Patient Accounts	M&T Bank	No report received
12030 - HELEN HAYES HOSPITAL		
Misc. Receipts	JPMorgan Chase Bank, N.A.	281,881.26
Petty Cash Account	JPMorgan Chase Bank, N.A.	11,583.55
Rental Deposit Acct	JPMorgan Chase Bank, N.A.	5,977.17
12120 - NYS VETERANS HOME-OXFORD		
Agency Advance	NBT Bank	6,679.78
Exchange Account	NBT Bank	28,613.18
Maintenance Fund	NBT Bank	667,537.50
NYS Veterans Home-Oxford (Resident Account, Custodial Account)	NBT Bank	297,245.23
Resident Custodial Account	NBT Bank	8,325.07

Resident Custodial Account	NBT Bank	5,156.81
Resident Custodial Account	NBT Bank	3,402.33
Resident Custodial Account	NBT Bank	48,662.02
Resident Custodial Account	NBT Bank	3,856.84
Resident Custodial Account	NBT Bank	1,140.80
Resident Custodial Account	NBT Bank	1,941.31
Resident Custodial Account	NBT Bank	1,040.24
Resident Custodial Account	NBT Bank	119.85
Resident Custodial Account	NBT Bank	9,939.45
Resident Custodial Account	NBT Bank	5,073.53
12150 - NYS VETERANS HOME-ST ALBANS		
NYC Veteran Home Agency Advance	JPMorgan Chase Bank, N.A.	96,053.08
St Albans NYC Vet Home Resid Funds	JPMorgan Chase Bank, N.A.	738,991.66
St Albans Vet Home Maintenance Acct	NBT Bank	616,081.42
12180 - WESTERN NEW YORK VETERANS HOME		
Advance Account	Bank of America, N.A.	5,420.00
Exchange Account	Bank of America, N.A.	5,905.94
Maintenance Account	NBT Bank	295,657.45
Resident Funds	Bank of America, N.A.	71,041.56
12190 - VETERANS HOME AT MONTROSE		
Agency Advance Account	Bank of America, N.A.	No report received
Maintenance Acct	NBT Bank	592,550.48
Residence Account	Bank of America, N.A.	No report received
12200 - OFFICE OF MEDICAID INSPECTOR GENERAL		
Albany Confidential Account	Key Bank	229.59
Albany Petty Cash Account	Key Bank	442.00
NYC Confidential Account	JPMorgan Chase Bank, N.A.	166.00
14000 - DEPARTMENT OF LABOR		
Agency Advance Account	Key Bank	27,560.00
Exchange Account	Bank of America, N.A.	78,355.95
Fee And Permit Account	Key Bank	574,887.79
Min Wage & Claim Funding Acct	Key Bank	254,726.84
Minimum Wage & Wage Claim Acct	Key Bank	706,212.28
Misc Receipts	Bank of America, N.A.	235,767.59
U.I. Fund Clearing Account	JPMorgan Chase Bank, N.A.	8,323,495.73
UI Fund ACH Transactions	Wells Fargo Bank	1,000,000.00
14010 - WORKERS COMPENSATION BOARD		
DTF/WCB MAC 14	JPMorgan Chase Bank, N.A.	183,572,845.38
16000 - PUBLIC SERVICE COMMISSION		
Cable Account	Key Bank	5,809.49
Petty Cash Account	Key Bank	41,223.92
Special Fee Account	Key Bank	3,990.80
17000 - NYS DEPARTMENT OF TRANSPORTATION		
Contractors Bid And Guarantee	Key Bank	1,574,118.44
Main Office Advance For Travel	Key Bank	52,901.30
PARTNERS DOT -HOOCS	Key Bank	3,915,404.38
Revenue Unit	Key Bank	756,487.01
Republic Airport, Long Island		
Republic Airport Revenue Acct	JPMorgan Chase Bank, N.A.	148,142.02
19000 - DEPARTMENT OF STATE		
Atheltic	M&T Bank	9,060.00
Licensing Revenue Account	JPMorgan Chase Bank, N.A.	534,973.60
Main	M&T Bank	74,065.63
Petty Cash Account	Key Bank	19,100.52
Summons	M&T Bank	384,732.05
19001 - TUG HILL COMMISSION		
Agency Advance Account	Key Bank	No report received
19002 - LAKE GEORGE PARK COMMISSION		
Petty Cash Account	Glens Falls National	No report received
Revenue Transfer Account	Glens Falls National	No report received
19005 - COMMISSION ON PUBLIC INTEGRITY		
JCOPE Petty Cash Account	Bank of America, N.A.	500.00
JCOPE Revenue Account	Bank of America, N.A.	117,195.07
20000 - DEPARTMENT OF TAXATION & FINANCE		
IFTA Funding	JPMorgan Chase Bank, N.A.	3,007.14
Misc Tax Account - Exchange	Bank of America, N.A.	135,861.62
Off Track Betting Tax (MAC 848)	Key Bank	2,622,025.99
Pari Mutuel Betting Tax (MAC 847)	Key Bank	954.42
Petty Cash	Bank of America, N.A.	15,000.00
Tax Preparer Registration Fee (EFT)	Wells Fargo Bank	45,000.00
Waste Tire Fee (EFT)	Wells Fargo Bank	11,972.14
Waste Tire Tax	JPMorgan Chase Bank, N.A.	17,402.86
20050 - NEW YORK STATE GAMING COMMISSION		
Charitable Gaming Account	Key Bank	53,493.28

Commercial Gaming Revenue Account	Key Bank	0.00
Custody Account	US Bank	12,688.74
Fingerprint Concentration Account	Key Bank	15,675.86
License Revenue Account	Bank of America, N.A.	48,034.81
Lottery Concentration Account	Key Bank	0.00
Lottery Prize Payment Account	Key Bank	0.00
Lottery Subscriptions Account	Key Bank	696,293.90
Petty Cash Account	Key Bank	897.42
Racing Refund Account	Key Bank	61,983.08
Video Gaming Revenue Account	Key Bank	9,466,578.71
21012 - WELFARE INSPECTOR GENERAL		
Confidential Fund	Bank of America, N.A.	15,000.00
Confidential Fund	JPMorgan Chase Bank, N.A.	No report received
Petty Cash	JPMorgan Chase Bank, N.A.	No report received
21110 - OFFICE OF REGULATORY REFORM		
Petty Cash	Key Bank	No report received
21190 - NYS ENERGY RESEARCH & DEVELOPMENT AUTHORITY		
NYSERDA Greenbank MAC 26	JPMorgan Chase Bank, N.A.	No report received
NYSERDA Main Checking MAC 30	JPMorgan Chase Bank, N.A.	(7,843,069.92)
21290 - HUDSON RIVER-BLACK RIVER REGULATING DISTRICT		
Checking- General Fund Acct.	Community Bank	61,667.15
Checking- Petty Cash Fund	Community Bank	5,000.00
Hudson River General Acct	Bank of America, N.A.	689,103.85
Money Market	Bank of America, N.A.	6,500.00
Petty Cash Fund	Bank of America, N.A.	0.38
21700 - OFFICE OF THE STATE INSPECTOR GENERAL		
Office Of The State Inspector General Pass Thru Account	Key Bank	0.00
OSIG Petty Cash Account	Key Bank	1,474.13
Albany		
Office of the Inspector General Confidential	Bank of America, N.A.	27,700.00
21820 - STATE COMMISSION ON JUDICIAL CONDUCT		
Petty Cash Account	JPMorgan Chase Bank, N.A.	578.62
Petty Cash Account	Key Bank	902.00
Petty Cash Fund	JPMorgan Chase Bank, N.A.	1,544.90
21940 - NYS FINANCIAL CONTROL BOARD		
Agency Advance Acct	JPMorgan Chase Bank, N.A.	No report received
23000 - DEPARTMENT OF MOTOR VEHICLES		
Abany Central Main Acct		
Albany Central Main Acct	Wells Fargo Bank	325,113.26
Albany Central Main Exchange		
Exchange	Wells Fargo Bank	75,298.24
Albany Central Office		
Title Escrow Exchange (Albany Central Office)	Wells Fargo Bank	25,657.45
Albany TVB Sub (Albany Central Office)		
Adjudication Account	Wells Fargo Bank	11,060.79
Administrative Adj	M&T Bank	No report received
Albany-Region 3		
Confidential Inv Subpoena - Albany	Key Bank	1,500.00
Allegany-Belmont		
County Clerk Fee Allegany	Steuben Trust Co.	No report received
Andirondack Mountains		
County Fee Account	JPMorgan Chase Bank, N.A.	123,965.61
Buffalo-Region 5		
MV- Buffalo Investigator & Subpoena	M&T Bank	1,500.00
Capital Saratoga Revenue		
County Fee Account	JPMorgan Chase Bank, N.A.	570,517.84
Catskill Mountains		
County Fee Account	JPMorgan Chase Bank, N.A.	154,294.53
Central Leatherstocking		
County Fee Account	JPMorgan Chase Bank, N.A.	90,386.50
Chautaqua-Steuben		
County Fee Acct	JPMorgan Chase Bank, N.A.	66,710.05
Chautauqua County		
Holding Acct-Chautauqua County	Community Bank	114,407.91
Holding Acct-Chautauqua County	Key Bank	160,963.33
Holding Acct-Chautauqua County	M&T Bank	261,172.33
Concentration (CTY)(OSC)		
Concentration (CTY)(OSC)	Key Bank	763,330.89
Concentration (DO)(OSC)		
Concentration (DO)(OSC)	Key Bank	2,353,971.50
Confidential Fund (Albany Central Office)		
Confidential Fund	Bank of America, N.A.	8,612.00
CTY Credit Card (Albany Central Office)		
County Office Credit Card Account	JPMorgan Chase Bank, N.A.	No report received

Customer Service Counter (Albany)		
NYS DMV CSC	Wells Fargo Bank	50,738.12
D.O. Credit Card (Albany Central Office)		
District Office Credit Card Account	JPMorgan Chase Bank, N.A.	No report received
DMV Division Of Field Investigations - Albany Central Office		
Field Investigation	M&T Bank	No report received
Downstate		
Revenue Account - Downstate	Wells Fargo Bank	474,811.50
Eric County Revenue		
County Fee Account	JPMorgan Chase Bank, N.A.	50,000.00
Finger Lakes First		
County Fee Acct	JPMorgan Chase Bank, N.A.	50,688.53
Finger Lakes Second		
County Fee Acct	JPMorgan Chase Bank, N.A.	98,632.81
Genesee County		
Genesee County Clerk - DMV	Bank of Castile	No report received
Greene County		
Fee Account - Greene	Greene County Commercial Bank	No report received
Hudson Valley		
County Fee Acct	JPMorgan Chase Bank, N.A.	88,887.51
IRP (Albany Central Office)		
International Registration	M&T Bank	1,389,199.73
International Registration	Wells Fargo Bank	1,023,723.29
IRP Exchange (Albany Central Office)		
Irp Internet Office - Dept. MV	M&T Bank	288,841.48
Kiosk		
Kiosk Account	JPMorgan Chase Bank, N.A.	75,047.00
Long Island/Staten Island DO		
Long Island/Staten Island DO	Wells Fargo Bank	982,523.93
Long Island/Staten Island JP		
Long Island/Staten Island (Mass/Med)	JPMorgan Chase Bank, N.A.	50,000.00
Nassau Region 1		
Div. of Vehicle Safety	Citibank	No report received
Niagara Frontier		
County Fee Acct	JPMorgan Chase Bank, N.A.	70,273.29
Oneida County		
DMV Oneida County Fee Account	Bank of Utica	162,720.52
Oneida County Fee Account	NBT Bank	60,966.90
Petty Cash (Albany Central Office)		
Petty Cash	Bank of America, N.A.	18,868.59
Queens-Region 6		
Confidential - Queens	JPMorgan Chase Bank, N.A.	4,500.00
Rockland/Westchester DO		
Rockland/Westchester DO	Wells Fargo Bank	52,660.54
Search Exchange (Albany Central Office)		
MV Search	Key Bank	92,207.61
Search Exchange (Albany Central Office)		
MV Search	Wells Fargo Bank	5,506.32
Syracuse-Region 4		
Confidential - Syracuse	Key Bank	1,500.00
Thousand Island Seaway		
County Fee Acct	JPMorgan Chase Bank, N.A.	417,626.81
TLC/DOCCS		
TLC/DOCCS	JPMorgan Chase Bank, N.A.	36,952.38
Travel Advance (Albany Central Office)		
Travel Advance	Bank of America, N.A.	1,000.00
TVB Acct		
TVB Acct	Wells Fargo Bank	118,643.42
TVB Credit Card (Albany Central Office)		
TVB Credit Card Receipts	JPMorgan Chase Bank, N.A.	561,979.58
Upstate DO		
Upstate District Offices (ALB, SYD, SYS, UTD)	Wells Fargo Bank	117,752.90
Utica D.O.		
Exchange Account	Bank of Utica	No report received
Revenue Utica	Bank of Utica	No report received
Yonkers-Region 2		
Safety Sup Automotive FAC INSP	JPMorgan Chase Bank, N.A.	No report received
25000 - OFFICE OF CHILDREN & FAMILY SERVICES		
Brentwood Resid Center Cash Advance	JPMorgan Chase Bank, N.A.	950.00
Brentwood Residents' Account	JPMorgan Chase Bank, N.A.	236.75
Brookwood Cash Advance	Key Bank	1,702.58
Brookwood Resid Residential Cash	Key Bank	18,753.37
Check Exchange	Bank of America, N.A.	64.95
CO Independent Living Acct	Bank of America, N.A.	1,140.00

Co. Training Employment Dev (Youth Stipend)	Bank of America, N.A.	25,000.00
Columbia Girls Secure Center-Advance Acct	Key Bank	700.00
Columbia Girls Secure Center-Youth Savings	Key Bank	957.21
Finger Lakes Res Ctr Residents Cash	Tompkins County Trust	3,480.21
Fingerlakes Res Ctr Cash Advance	Tompkins County Trust	3,300.00
Goshen Cash Advance	Bank of America, N.A.	2,600.00
Goshen Residents Account	Bank of America, N.A.	14,061.33
Harriet Tubman Advance Account	Key Bank	500.00
Harriet Tubman Residents' Account	Key Bank	469.76
Highland Res Ctr Petty Cash Account	Bank of America, N.A.	2,736.18
Highland Res Ctr Residents Acct	Bank of America, N.A.	5,584.10
Home Office Care & Maintenance Account	Bank of America, N.A.	0.00
Industry Advance Account	JPMorgan Chase Bank, N.A.	2,099.89
Industry Res Account	JPMorgan Chase Bank, N.A.	3,403.37
Industry School Dug-Out	JPMorgan Chase Bank, N.A.	0.00
MacCormick Cash Advance	Tompkins County Trust	1,931.00
MacCormick Residents' Account	Tompkins County Trust	14,186.66
Medicaid Reimbursement Exchange	Bank of America, N.A.	81,142.42
NYS OCFS Advance Acct (Travel & Misc P.C.)	Bank of America, N.A.	15,400.00
NYS OCFS Salary Advance Account	Bank of America, N.A.	17,221.83
Queens-Long Island Aftercare	JPMorgan Chase Bank, N.A.	500.00
Red Hook Res Ctr Resident Cash	Key Bank	128.64
Red Hook Resid Ctr Cash Advance	Key Bank	300.00
SCR Credit Card Revenue Account	Bank of America, N.A.	19,100.00
State Central Register	Bank of America, N.A.	34,662.60
Taberg Cash Advance	NBT Bank	758.85
Taberg Residents Account	NBT Bank	1,142.08
Youth Leadership Academy	NBT Bank	0.00
Youth Leadership Cash Advance	NBT Bank	0.00
27000 - OFFICE OF TEMPORARY & DISABILITY ASSISTANCE		
Exchange Account	Key Bank	188.70
Title IV D Of Social Security	Key Bank	366,431.36
Travel Advance	Key Bank	10,001.14
28010 - SUNY ALBANY		
Fee Account	Key Bank	1,019,237.41
Loan Services Center Account	Key Bank	90,622.33
Petty Cash/Travel Advance	Key Bank	No report received
28020 - SUNY BINGHAMTON		
SUNY Binghamton	M&T Bank	1,654,877.74
SUNY Binghamton - Controlled Disb	M&T Bank	No report received
28030 - SUNY BUFFALO		
Controlled Disbursement Account	Bank of America, N.A.	No report received
General Revenue Account	Bank of America, N.A.	No report received
General Revenue Account	Key Bank	3,856,276.91
Imprest Account	Key Bank	No report received
28050 - SUNY STONY BROOK		
Central Funding	JPMorgan Chase Bank, N.A.	1,597,536.52
Controlled Disbursement	JPMorgan Chase Bank, N.A.	No report received
Fees Depository	JPMorgan Chase Bank, N.A.	11,802,449.20
LISVH Fees Depository	JPMorgan Chase Bank, N.A.	269,660.39
LISVH Fees Depository	Sterling Bank	257,715.63
LISVH Residence Fund	Sterling Bank	391,258.64
Payroll Advance	JPMorgan Chase Bank, N.A.	6,552.37
SBU Student Refunds Cont Disb Acct	JPMorgan Chase Bank, N.A.	No report received
Student ACH Refunds Account	JPMorgan Chase Bank, N.A.	No report received
SUNY Eastern Long Island Hospital Depository	JPMorgan Chase Bank, N.A.	660,901.38
SUNY Southampton Depository	JPMorgan Chase Bank, N.A.	817,236.59
University Hosp Fees Depository	JPMorgan Chase Bank, N.A.	5,282,514.17
University Hospital Petty Cash	JPMorgan Chase Bank, N.A.	1,909.35
28100 - SUNY HEALTH SCIENCE CENTER AT BROOKLYN		
Center Revenue	JPMorgan Chase Bank, N.A.	3,235,453.15
EFT Federal Deposits Acct	JPMorgan Chase Bank, N.A.	No report received
Hospital Controlled Disbursement	JPMorgan Chase Bank, N.A.	No report received
Hospital Revenue	JPMorgan Chase Bank, N.A.	1,642,708.15
LICH Controlled Disbursement	JPMorgan Chase Bank, N.A.	43,466.79
LICH Depository	JPMorgan Chase Bank, N.A.	No report received
Petty Cash	JPMorgan Chase Bank, N.A.	No report received
Student Refunds	JPMorgan Chase Bank, N.A.	No report received
28110 - SUNY HEALTH SCIENCE CENTER AT SYRACUSE		
College Revenue	Key Bank	51,998.09
Controlled Disbursement	Key Bank	No report received
Hospital Revenue	Key Bank	3,388,832.51
Parking	Key Bank	83,369.28
28150 - SUNY BROCKPORT		

Brockport-REOC Account	Key Bank	149.96
Concentration Acct	M&T Bank	430,650.12
Controlled Disb	M&T Bank	No report received
28160 - SUNY BUFFALO STATE COLLEGE		
Controlled Disb	M&T Bank	No report received
Dept Public Safety	M&T Bank	No report received
Special Grant Account	M&T Bank	3,773.14
Students Acct Office	M&T Bank	90,915.18
28170 - SUNY CORTLAND		
General Checking Account	Key Bank	394,576.73
28180 - SUNY FREDONIA		
Controlled Disb	M&T Bank	No report received
Depository Account	M&T Bank	167,541.17
28190 - SUNY GENESEO		
Controlled Disbursement Account	Key Bank	No report received
State Fees	Key Bank	528,066.39
28200 - SUNY OLD WESTBURY		
Local Depository	JPMorgan Chase Bank, N.A.	897,757.77
28210 - SUNY NEW PALTZ		
Disbursement Account	Key Bank	No report received
State Revenue	Key Bank	342,670.72
28220 - SUNY ONEONTA		
Petty Cash Advance Account	NBT Bank	No report received
Revenue Account	NBT Bank	4,010,526.39
28230 - SUNY OSWEGO		
Controlled Disbursement	Key Bank	0.00
General Revenue	Key Bank	6,118,430.01
Imprest Account	Key Bank	0.00
28240 - SUNY PLATTSBURGH		
General Revenue	TD Bank	2,818,622.10
28250 - SUNY POTSDAM		
Control Disbursement Account	Key Bank	No report received
State Fee Reconciliation Account	Key Bank	556,725.58
28260 - SUNY PURCHASE		
General Income Fund	Key Bank	383,688.78
28270 - SUNY INSTITUTE OF TECHNOLOGY UTICA/ROME		
Advance Account	Bank of America, N.A.	No report received
Controlled Disbursement Account	Bank of America, N.A.	No report received
Revenue	Bank of America, N.A.	197,895.28
28280 - SUNY EMPIRE STATE COLLEGE		
Concentration Account	Key Bank	118,115.85
Distribution Center Account	Key Bank	123,936.72
International Wire Account	Key Bank	2,744.00
Zero Balance Controlled Disbursement Account	Key Bank	No report received
28350 - SUNY COLLEGE OF TECHNOLOGY AT ALFRED		
Fees Account	Community Bank	907,517.99
28360 - SUNY COLLEGE OF TECHNOLOGY AT CANTON		
Community Cash Deposits	NBT Bank	309,491.76
Income Fund	Key Bank	270,002.62
International Program Account	Key Bank	37,521.36
28370 - SUNY AGRICULTURAL & TECHNICAL COLLEGE AT COBLESKILL		
Income Fund	Key Bank	230,087.40
28380 - SUNY COLLEGE OF TECHNOLOGY AT DELHI		
General Revenue	Delaware National Bank	442,385.59
Petty Cash Fund	Delaware National Bank	No report received
28390 - SUNY COLLEGE OF TECHNOLOGY AT FARMINGDALE		
Income Fund	Citibank	2,335,558.47
28400 - SUNY AGRICULTURAL & TECHNICAL COLLEGE AT MORRISVILLE		
Income Fund	Key Bank	2,762,692.55
Revenue Account	NBT Bank	11,007.67
28550 - SUNY COLLEGE OF ENVIRONMENTAL SCIENCE & FORESTRY		
Agency Advance	Key Bank	No report received
Controlled Disb	Key Bank	No report received
ESF/GSA	Key Bank	No report received
Forestry	Community Bank	180.78
Regular Account	Key Bank	236,544.29
Student Government	Key Bank	No report received
28570 - SUNY MARITIME COLLEGE		
Controlled Disbursement Account	JPMorgan Chase Bank, N.A.	No report received
Cruise Account	JPMorgan Chase Bank, N.A.	No report received
Revenue Deposit Account	JPMorgan Chase Bank, N.A.	153,090.56
Revenue EFT Account	JPMorgan Chase Bank, N.A.	51,079.01
28580 - SUNY COLLEGE OF OPTOMETRY		
General Revenue	JPMorgan Chase Bank, N.A.	126,101.68

Medical Transportation	JPMorgan Chase Bank, N.A.	221.88
28650 - SUNY CENTRAL SYSTEM ADMINISTRATION		
ASC	Key Bank	10,000.00
NYS Iso	Key Bank	4,348,716.30
Revenue	Key Bank	69,663.19
37000 - DEPARTMENT OF FINANCIAL SERVICES		
Confidential Investigations	JPMorgan Chase Bank, N.A.	8,689.78
Confidential Investigations	JPMorgan Chase Bank, N.A.	11,202.85
Fire Tax Account (Main)	Key Bank	62,605.07
Fire Tax Payment	Key Bank	0.00
General Assessment Account	JPMorgan Chase Bank, N.A.	1,276,595.89
General Fund	Key Bank	1,750,003.88
Market Stabilization Pool Account	JPMorgan Chase Bank, N.A.	3,848,709.66
Miscellaneous Account	JPMorgan Chase Bank, N.A.	125,035.65
Paid Family Leave	JPMorgan Chase Bank, N.A.	0.00
Petty Cash	Key Bank	9,737.18
Workers Comp Insurance Sec Fund Pymnt	JPMorgan Chase Bank, N.A.	100,001.86
49010 - SARATOGA-CAPITAL DISTRICT STATE PARK COMMISSION		
Revenue (SA)	Glens Falls National	47,026.41
SARATOGA REGION CONTRACTORS BID ACCOUNT	Key Bank	88,231.68
49020 - LONG ISLAND STATE PARK COMMISSION		
Contractors Bid (LI)	JPMorgan Chase Bank, N.A.	76,862.93
Regional Account (LI)	Bank of America, N.A.	1.00
Regional Account 2 (LI)	JPMorgan Chase Bank, N.A.	239,938.25
Revenue (LI)	People's United Bank	25,061.60
49030 - GENESEE STATE PARK COMMISSION		
Contractors Bid (GE)	Bank of Castile	51,489.55
Revenue (GE)	Bank of Castile	53,234.00
49040 - NIAGARA FRONTIER STATE PARK COMMISSION		
Contractors Bid (NIA)	Key Bank	833.47
Revenue (NIA)	Evans National Bank	35,082.47
49050 - PALISADES INTERSTATE STATE PARK COMMISSION		
Contractors Bid (PA)	JPMorgan Chase Bank, N.A.	3,214.69
49070 - OFFICE OF PARKS & RECREATION		
Main Office - Change Fund	Key Bank	191,835.00
Main Office Account (ALB)	Key Bank	1,500.00
OPRHP Concentration Account	Key Bank	98,348.48
Petty Cash (ALB)	Key Bank	27,803.20
Revenue (NI, GE, AL, CE, TA)	M&T Bank	44,466.22
Revenue (NYC, CE, LI, PA, TA)	JPMorgan Chase Bank, N.A.	28,958.52
Revenue (SA, LI, GE, NI, CE, TA)	Bank of America, N.A.	15,756.89
Revenue (SA, NI, PA, CE, TA, TI)	Key Bank	2,828.46
Revenue (various)	Wells Fargo Bank	78,277.70
Statewide Campsite/Cabin Revenue	JPMorgan Chase Bank, N.A.	629,685.19
Statewide Credit Card Revenue, Revenue (ALB, FL, LI, PA, TI)	Key Bank	168,748.93
Statewide Housing Security Deposits	Key Bank	140,527.68
49090 - FINGER LAKES STATE PARK COMMISSION		
Contractors Bid (FL)	Tompkins County Trust	20,517.78
Revenue (FL)	Savannah Bank	34,243.51
Revenue (FL-Multi)	Community Bank	984.17
Revenue (FL-Multi-Facilities)	Tompkins County Trust	42,950.04
49100 - ALLEGANY STATE PARK COMMISSION		
Contractors Bid (AL)	Five Star Bank	1,574.00
Regional Account (AL)	Five Star Bank	137,647.71
Revenue (AL)	Five Star Bank	75,511.47
49120 - CENTRAL NEW YORK STATE PARK COMMISSION		
Contractors Bid (CE)	JPMorgan Chase Bank, N.A.	21,065.27
Revenue (CE, SA, TI)	NBT Bank	35,121.14
49130 - TACONIC STATE PARK COMMISSION		
Contractors Bid (TA)	M&T Bank	898.70
49140 - THOUSAND ISLANDS STATE PARK COMMISSION		
Revenue (TI)	Citizens Bank	35,740.35
Revenue (TI-Multi)	Community Bank	138.63
50000 - OFFICE OF MENTAL HEALTH		
Consolidated Advance	Bank of America, N.A.	28,992.53
Iterim Assistance Agreement	Bank of America, N.A.	No report received
OMH Medication Grant Program Acct	Bank of America, N.A.	1,060.46
Reimbursement Account	Bank of America, N.A.	No report received
50010 - GREATER BINGHAMTON HEALTH CENTER		
Agency Advance Account	JPMorgan Chase Bank, N.A.	9,414.88
Facility Holding Account	JPMorgan Chase Bank, N.A.	12,157.08
Patient Cash Funds	JPMorgan Chase Bank, N.A.	450,953.04
Patients Cash Account	JPMorgan Chase Bank, N.A.	436,458.90
Security Deposit	JPMorgan Chase Bank, N.A.	4,189.46

50020 - KINGSBORO PSYCHIATRIC CENTER		
Advance Account	Banco Popular	14,074.86
Family Care	Banco Popular	14,290.87
Holding Account	Banco Popular	229,787.42
Medicaid Outpatient Travel	Banco Popular	236.86
Patient Cash Acct (MM)	Banco Popular	378,010.33
Patient Checking Account	Citibank	30,055.49
Patient Savings Account	Banco Popular	334,980.34
Security Deposit	Banco Popular	2,489.30
Urban Oasis/EBT	Banco Popular	56,395.32
50030 - BUFFALO PSYCHIATRIC CENTER		
Advance Account	Key Bank	23,482.27
Faciity Holding	Key Bank	7,158.59
Patient Cash Checking	Key Bank	354,330.66
50080 - MANHATTAN PSYCHIATRIC CENTER		
Advance Account	Sterling Bank	8,466.32
CD	Hudson Valley National Bank	425,038.94
General Fund Checking	Hudson Valley National Bank	3,020.95
Patient Cash Checking	Hudson Valley National Bank	987,705.36
Patients Money Market	Hudson Valley National Bank	72,896.08
Social Service Tokens	Hudson Valley National Bank	33,967.35
50110 - ROCHESTER PSYCHIATRIC CENTER		
Agency Advance	Key Bank	31,777.95
Facility Holding	Key Bank	10,477.54
Patients Cash Account	Key Bank	216,097.59
Patients Fund Savings	Key Bank	231,390.03
50120 - ST LAWRENCE PSYCHIATRIC CENTER		
Facility Advance Account	Community Bank	17,357.14
Facility Holding Account	Community Bank	13,480.48
Patients Cash Account	Community Bank	39,967.96
Patients Cash Savings	Community Bank	272,689.34
50150 - CREEDMOOR PSYCHIATRIC CENTER		
Advance Account	HSBC	No report received
Certificate Of Deposit	HSBC	No report received
Certificate Of Deposit	HSBC	No report received
Holding Account	HSBC	No report received
Medicaid Travel Account	HSBC	No report received
Money Management Account	HSBC	No report received
Patient Cash Account	HSBC	No report received
Rent Holding Account	HSBC	No report received
50170 - ROCKLAND PSYCHIATRIC CENTER		
Exchange	JPMorgan Chase Bank, N.A.	124,501.09
Holding Account	JPMorgan Chase Bank, N.A.	364,281.46
Investment CD A	Sterling Bank	100,000.00
INVESTMENT CD B	Sterling Bank	100,000.00
Investment CD C	Sterling Bank	100,000.00
Investment CD D	Sterling Bank	100,000.00
Investment CD G	Sterling Bank	100,000.00
Investment CD I	Sterling Bank	100,000.00
Patient Cash Account	JPMorgan Chase Bank, N.A.	101,180.94
Patient Cash Checking Account	JPMorgan Chase Bank, N.A.	1,084,666.31
Patient Cash Savings Account	JPMorgan Chase Bank, N.A.	374,370.58
50180 - NYS PSYCHIATRIC INSTITUTE		
Donation & Gift Acct/Patient Fund Acct	JPMorgan Chase Bank, N.A.	4,559.21
General	JPMorgan Chase Bank, N.A.	47,891.99
Petty Cash	JPMorgan Chase Bank, N.A.	1,003.84
50190 - RICHARD H HUTCHINGS PSYCHIATRIC CENTER		
Advance Account	Key Bank	15,552.98
Clients Count	Key Bank	131,264.69
Holding Account	Key Bank	14,084.69
50200 - PILGRIM PSYCHIATRIC CENTER		
Facility Advance Account	JPMorgan Chase Bank, N.A.	61,070.55
Facility Holding Acct	JPMorgan Chase Bank, N.A.	233,774.93
Patient Cash	JPMorgan Chase Bank, N.A.	1,130,755.18
50210 - MOHAWK VALLEY PSYCHIATRIC CENTER		
Advance Fund	Key Bank	12,599.94
Facility Holding	Key Bank	3,214.42
Patient Cash Checking	Key Bank	44,378.00
Patient Cash Savings	Key Bank	28,931.30
Security Deposit	Key Bank	7,523.22
50310 - BRONX PSYCHIATRIC CENTER		
CD	JPMorgan Chase Bank, N.A.	250,000.00
Consolidated Advance	JPMorgan Chase Bank, N.A.	32,218.88
Misc. Receipts	JPMorgan Chase Bank, N.A.	27,151.38

Patients Cash MM	JPMorgan Chase Bank, N.A.	88,216.27
Patients Checking Acct	JPMorgan Chase Bank, N.A.	460,871.08
50340 - NATHAN KLINE INSTITUTE		
Petty Cash Account	JPMorgan Chase Bank, N.A.	1,300.00
50350 - KIRBY FORENSIC PSYCHIATRIC CENTER		
Consolidated Advance Account	Hudson Valley National Bank	12,392.70
Holding Account	Hudson Valley National Bank	30,712.75
Patient Cash Account	Hudson Valley National Bank	106,716.86
50390 - CENTRAL NY PSYCHIATRIC CENTER		
Agency Advance Account	Key Bank	9,520.00
General Fund	Key Bank	6,130.32
Patient Checking	Key Bank	88,681.71
Patient Savings	Key Bank	246,511.49
Security Deposit	Key Bank	2,743.23
50440 - MID-HUDSON FORENSIC PSYCHIATRIC CENTER		
Facility Advance Account	Key Bank	14,859.00
Facility Holding Account	Key Bank	1,909.75
Patients Account	Key Bank	176,390.28
50520 - BROOKLYN CHILDRENS PSYCHIATRIC CENTER		
Petty Cash Advance Account	Banco Popular	4,965.00
50790 - SOUTH BEACH PSYCHIATRIC CENTER		
Agency Advance Checking	JPMorgan Chase Bank, N.A.	16,598.84
Facility Holding Checking	JPMorgan Chase Bank, N.A.	12,959.89
Family Care Checking	JPMorgan Chase Bank, N.A.	0.00
Patients Cash Checking	JPMorgan Chase Bank, N.A.	595,147.58
50800 - BRONX CHILDRENS PSYCHIATRIC CENTER		
General Fund	JPMorgan Chase Bank, N.A.	11,230.06
50810 - WESTERN NY CHILDRENS PSYCHIATRIC CENTER		
Agency Advance	Key Bank	2,070.10
Exchange Account	Key Bank	0.00
Patient Cash	Key Bank	0.00
50850 - SAGAMORE CHILDRENS PSYCHIATRIC CENTER		
Sagamore Patient Personal Acct	JPMorgan Chase Bank, N.A.	44,627.48
Sagamore Petty Cash Account	JPMorgan Chase Bank, N.A.	4,850.20
50860 - ROCKLAND CHILDRENS PSYCHIATRIC CENTER		
Advance Account	JPMorgan Chase Bank, N.A.	No report received
50870 - QUEENS CHILDRENS PSYCHIATRIC CENTER		
Queens Adv Acct	HSBC	10,870.00
Queens Childrens Account	HSBC	7,794.58
50920 - ELMIRA PSYCHIATRIC CENTER		
Certificate of Deposit	Chemung Canal Trust	100,000.00
Certificate of Deposit	Chemung Canal Trust	100,000.00
Facility Advance Account	Chemung Canal Trust	13,350.00
General Fund Account	Chemung Canal Trust	3,167.75
IMMA	Chemung Canal Trust	No report received
Patients Fund Account	Chemung Canal Trust	No report received
50980 - CAPITAL DISTRICT PSYCHIATRIC CENTER		
Facility Advance Account	Key Bank	No report received
Facility Holding Account	Key Bank	No report received
Parking Garage Account	Key Bank	No report received
Patients Cash Checking Account	Key Bank	No report received
Patients Cash Savings Account	Key Bank	No report received
51000 - NYS OFFICE FOR PEOPLE WITH DEVELOPMENTAL DISABILITIES		
Petty Cash Acct	Bank of America, N.A.	No report received
Revenue Account	Bank of America, N.A.	No report received
51210 - HUDSON VALLEY DDSO		
EBT Checking	JPMorgan Chase Bank, N.A.	No report received
Exchange Account	JPMorgan Chase Bank, N.A.	No report received
General Fund	JPMorgan Chase Bank, N.A.	No report received
Investor's Choice Savings	JPMorgan Chase Bank, N.A.	No report received
Petty Cash	JPMorgan Chase Bank, N.A.	No report received
PTS Cash Checking	JPMorgan Chase Bank, N.A.	No report received
51240 - CENTRAL NY DDSO		
Advance Accounts	Key Bank	32,459.49
Clients Account	Key Bank	212,441.26
Consumer Cash - Savings	Adirondack Bank	1,972,967.82
Consumer Cash - Savings	Key Bank	3,416,964.43
51250 - TACONIC DDSO		
Agency Advance Account	M&T Bank	62,245.82
General Fund	M&T Bank	630.52
Patients Cash Checking	M&T Bank	2,354,404.52
500 Balltown Rd Schenectady, NY		
TDDSO Representative Payee Savings Acct	The Adirondack Trust Company	1,340,362.33
51270 - STATEN ISLAND DDSO		

Clients Cash Account	JPMorgan Chase Bank, N.A.	No report received
General Fund Account	JPMorgan Chase Bank, N.A.	No report received
Money Market Account	JPMorgan Chase Bank, N.A.	No report received
Petty Cash Account	JPMorgan Chase Bank, N.A.	No report received
51290 - CAPITAL DISTRICT DDSO		
Agency Advance Account	Key Bank	No report received
Agency Advance Account	The Adirondack Trust Company	No report received
Consumer EBT Fund / Fiduciary Account	Key Bank	No report received
Consumers Fund / Fiduciary Account	Key Bank	No report received
Patients Account Direct Deposit / Fiduciary Acct	The Adirondack Trust Company	No report received
Patients Fund Operating Acct / Fiduciary Acct	The Adirondack Trust Company	No report received
Summer Camp - Fiduciary Acct	The Adirondack Trust Company	No report received
51330 - WESTERN NY DDSO		
Agency Advance Account	M&T Bank	No report received
CD-Patient Property Funds	Key Bank	No report received
Exchange Account	M&T Bank	No report received
General Account	Community Bank	No report received
Patient Property Funds	Adirondack Bank	No report received
Patient Property Funds	M&T Bank	No report received
51350 - LONG ISLAND DDSO		
Consolidated Advance	JPMorgan Chase Bank, N.A.	No report received
EBT Checking Account	JPMorgan Chase Bank, N.A.	No report received
General Fund	JPMorgan Chase Bank, N.A.	No report received
Patient Cash	JPMorgan Chase Bank, N.A.	No report received
51380 - BROOKLYN DDSO		
CD	Banco Popular	No report received
Consumers Fund	JPMorgan Chase Bank, N.A.	306,862.22
Consumers Money Management	JPMorgan Chase Bank, N.A.	687,295.75
Miscellaneous Receipts	JPMorgan Chase Bank, N.A.	222,719.72
Petty Cash - Mental Hygiene Brooklyn	JPMorgan Chase Bank, N.A.	22,307.11
Thomas Shirtz Community Service	JPMorgan Chase Bank, N.A.	6,883.22
51420 - SUNMOUNT DDSO		
Certificate of Deposit	Community Bank	No report received
Residents Fund	Community Bank	No report received
Sunmount Advance Account	Community Bank	No report received
51430 - INSTITUTE FOR BASIC RESEARCH IN DEVELOPMENTAL DISABILITIES		
Petty Cash	JPMorgan Chase Bank, N.A.	No report received
51450 - METRO NY DDSO		
Consolidated Acct	JPMorgan Chase Bank, N.A.	37,942.97
Manhattan DDSO Client Cash	JPMorgan Chase Bank, N.A.	27,542.44
Metro NY DDSO Food Stamp Acct	JPMorgan Chase Bank, N.A.	0.00
Metro NY DDSOO REP PAYEE ACCOUNT	JPMorgan Chase Bank, N.A.	345,359.58
Patients Cash Account	JPMorgan Chase Bank, N.A.	18,798.32
Patients Money Market Account	JPMorgan Chase Bank, N.A.	0.10
Petty Cash	JPMorgan Chase Bank, N.A.	0.00
51470 - BERNARD M FINESON DDSO		
Money Market Account	JPMorgan Chase Bank, N.A.	307,102.47
Patient Fund Account	JPMorgan Chase Bank, N.A.	212,735.65
Petty Cash Fund	JPMorgan Chase Bank, N.A.	13,210.57
51780 - FINGER LAKES DDSO		
Advance Account	JPMorgan Chase Bank, N.A.	No report received
F.L. Newark Resident Checking	Community Bank	No report received
Finger Lakes Resident Checking	JPMorgan Chase Bank, N.A.	No report received
FL Vending Machine Account	Community Bank	No report received
General Account	JPMorgan Chase Bank, N.A.	No report received
Geneseo Client Cash	Bank of America, N.A.	No report received
Kelsey Trust Fund	Bank of America, N.A.	No report received
Mary Moore Trust Fund	Bank of America, N.A.	No report received
Monroe Resident Checking	JPMorgan Chase Bank, N.A.	No report received
Monroe Resident Savings	JPMorgan Chase Bank, N.A.	No report received
Patient Food Stamp Account	JPMorgan Chase Bank, N.A.	No report received
51940 - BROOME DDSO		
Broome DDSO	M&T Bank	No report received
Broome DDSO - Advance Account	M&T Bank	No report received
Broome DDSO - General Fund	M&T Bank	No report received
Disabled Individuals Savings	Key Bank	No report received
Disabled Individuals Savings	M&T Bank	No report received
OMRDD Broome DDSO EBT Checking	M&T Bank	No report received
53000 - OFFICE OF ALCOHOLISM & SUBSTANCE ABUSE SERVICES		
Agency Advance Acct	Key Bank	2,800.00
Creedmoor PNA Account	JPMorgan Chase Bank, N.A.	0.00
Patient Fees	Bank of America, N.A.	243,452.93
Revenue Account	Bank of America, N.A.	21,143.06
53020 - KINGSBORO ALCOHOLISM TREATMENT CENTER		

Petty Cash Advance Account	Bank of America, N.A.	No report received
70000 - CUNY UNIVERSITY MANAGEMENT & PROGRAM BOARD OF HIGHER EDUCATION		
CUNY Admin Imprest Cash Account	Citibank	11,006.25
70030 - CUNY HUNTER COLLEGE		
Travel Petty Cash Account	Citibank	50,185.70
70060 - CUNY JOHN JAY COLLEGE		
CUNY JOHN JAY COLLEGE IMPREST FUND	Citibank	16,681.60
70070 - CUNY LEHMAN COLLEGE		
Lehman College	Citibank	4,345.50
70080 - CUNY YORK COLLEGE		
York College Imprest Funds	Citibank	11,288.64
York College Travel Advance Fund	Citibank	817.00
70100 - CUNY COLLEGE OF STATEN ISLAND		
CSI Imprest Cash	TD Bank	4,914.01
70120 - CUNY NYC COLLEGE OF TECHNOLOGY		
NY City College Of Technology Technical College Imprest Fund	Popular Community Bank	5,000.00
70150 - CUNY SCHOOL OF LAW		
CUNY School Of Law	TD Bank	24,459.45

The above balances represent funds deposited in various banking institutions as reported by the State department and agencies, and published in accordance with Section 107 of the State Finance Law.

Division of the Treasury, Department of Taxation and Finance
Christopher Curtis *Deputy Commissioner and State Treasurer*

FUNDS OF THE DIVISION OF THE TREASURY OF WHICH THE COMMISSIONER OF TAXATION AND FINANCE IS THE SOLE CUSTODIAN WITH BALANCES AS OF 01/31/2021

ACCOUNT DESCRIPTION		DEPOSITORY	BALANCE AS OF 01/31/2021
COMMUNITY COLLEGE TUITION AND INSTRUCTIONAL INCOME FUND			
0232	Upstate Community Colleges, Series 2005B	Key Bank	9,275.75
DEPARTMENT OF FINANCIAL SERVICES			
0001	Property/Casualty Insurance Security Fund	Key Bank	89,290.42
0002	Public Motor Vehicle Security Fund	JPMorgan Chase Bank, N.A.	443,487.77
0003	Workers' Compensation Security Fund	JPMorgan Chase Bank, N.A.	583,711.25
DORMITORY AUTHORITY OF THE STATE OF NEW YORK			
0039	Mental Hygiene Facilities Improvement Fund Income Account	Bank of America, N.A.	37.88
0104	Lincoln Medical and Mental Health Center Project Construction Account	Key Bank	10,859.45
0105	Greenpoint Medical and Mental Health Center Project Construction Account	Key Bank	303,345.14
0149	State Advances Repayment Account	Bank of America, N.A.	0.00
HOMELESS HOUSING ASSISTANCE CORPORATION			
0320	Social Services Homeless Housing and Assistance Corporation Operating Account	Key Bank	9,915,727.49
NELSON A. ROCKEFELLER EMPIRE STATE PLAZA PERFORMING ARTS CENTER CORPORATION			
0315	The Egg	Key Bank	2,296.57
NEW YORK CONVENTION CENTER			
0300	Operating Fund	JPMorgan Chase Bank, N.A.	22,995,430.29
NEW YORK JOB DEVELOPMENT AUTHORITY			
0036	Special Purpose Fund	Bank of America, N.A.	952,633.01
0371	Series H Commercial Paper	Bank of America, N.A.	1,425,678.78
0389	Daily Demand Special Purpose Bonds Series 1992A-B	JPMorgan Chase Bank, N.A.	339,800.79
0423	Escrow Account for USA Industries Inc.	Key Bank	37,274.67
0424	Escrow Account for Pluritec USA Inc.	Key Bank	19,782.38
0426	Agriculture Loan Program	Key Bank	370,388.91
NYS AFFORDABLE HOUSING CORPORATION			
0491	Disbursement Account	JPMorgan Chase Bank, N.A.	641,244.41
0520	Development Account	Key Bank	3,288,104.60
0522	Repayment Account	Key Bank	364,918.18
0523	Recapture Account	Key Bank	192,034.09
0880	Payroll Account	JPMorgan Chase Bank, N.A.	0.00
NYS DEPARTMENT OF HEALTH			
0004	Medical Indemnity Fund	JPMorgan Chase Bank, N.A.	(3,551,494.23)
NYS DEPARTMENT OF TAXATION AND FINANCE			
0510	Excelsior Linked Deposit Fund	Key Bank	0.27
0600	World Trade Center Memorial Foundation Fund Account	Bank of America, N.A.	97,278.90
0625	Advance Acct/Imprest Confidential Fund	Bank of America, N.A.	29,906.00
0626	Criminal Investigation Division	Key Bank	260,094.25
0778	PIT/STAR Rebate Exchange Account	JPMorgan Chase Bank, N.A.	0.00
0800	NYS IRS PIT offset account	Key Bank	0.00
0827	Stock Transfer Incentive Fund	Key Bank	1,157,238.90
0847	Pari-Mutuel Revenue Transfer Account	Key Bank	0.00
0848	Off-Track Bet Tax Revenue Transfer Account	Key Bank	0.00
NYS HOUSING FINANCE AGENCY			
0252	Energy Conservation/Tenant Health & Safety Improvement Account	JPMorgan Chase Bank, N.A.	205,927.29
0254	Agency Assisted Housing Operation Fund	JPMorgan Chase Bank, N.A.	4,981,958.20
0267	Neighborhood Stabilization Program - Round 1	JPMorgan Chase Bank, N.A.	87,646.12
0274	NYSHFA Special Reserve Fund	JPMorgan Chase Bank, N.A.	125,463.70
0283	Small Owner's Assistance Program Account	Key Bank	75,277.71
0285	Public Purpose Account	Key Bank	8,425,293.78
0286	Disbursement Account	Key Bank	2,412,594.77
0287	Infrastructure Development Fund	Key Bank	197,817.52
0288	Mobile Home Cooperative Fund	Key Bank	23,793.33
0292	Homeless Housing Initiatives	Key Bank	199,854.21
0294	Housing Plan Fund	Key Bank	3,815,618.20
0301	HFA - Subsidy Repayment Account	Key Bank	3,451,905.53
0305	HPD Disbursement Fund	Key Bank	1,227,149.59
0879	Payroll Account	JPMorgan Chase Bank, N.A.	152,606.92
NYS HOUSING TRUST FUND CORPORATION			
0458	Section 8 Housing Assistance Payment Account	JPMorgan Chase Bank, N.A.	1,069,334.60
0460	Escrow Account	M&T Bank	1,059,760.61
0461	Housing Modernization Account	M&T Bank	791,445.94

0462	General Custodial Account	M&T Bank	74,478,383.51
0465	Home Program Account	M&T Bank	10,219,236.26
0466	Homes for Working Families Account	M&T Bank	9,033,379.78
0467	Section 8 Administrative Account	M&T Bank	8,712,111.06
0469	OCR Community Miscellaneous Programs Account	M&T Bank	10,082,322.54
0470	HCV Main Account	Bank of America, N.A.	20,468,922.36
0471	Empire State Relief Fund	M&T Bank	474,693.31
0472	Master Escrow Account	Bank of America, N.A.	0.00
0473	Family Self-Sufficiency Account	Bank of America, N.A.	4,152,759.79
0475	Reserve Account	Bank of America, N.A.	107,428.42
0480	OHP Miscellaneous Programs Account	Bank of America, N.A.	14,779,803.18
0891	Small Cities Community Development Block Grant Program	M&T Bank	882.86
0892	Disaster Recovery Initiative Account	M&T Bank	137,339.11
0893	Payroll Account for Small Cities CDBG Program	M&T Bank	158.69
0895	HTFC Storm Recovery Payment	M&T Bank	1,227,495.97
0899	HTFC Storm Recovery Lockbox	US Bank	41,630.00
NYS TEACHERS RETIREMENT SYSTEM			
0052	Main Account	JPMorgan Chase Bank, N.A.	1,640,144.17
0052	Master Funding Account	State Street Bank & Trust Co.	0.00
0853	Excess Benefit Fund	JPMorgan Chase Bank, N.A.	2,982,550.26
STATE INSURANCE FUND			
0053	State Insurance Fund	Bank of America, N.A.	98,024,773.73
0054	State Insurance Fund	Bank of America, N.A.	11,997,184.93
0055	State Insurance Fund	Bank of America, N.A.	14,911,456.13
0861	Disability Benefits Fund Tax Escrow Account	Bank of America, N.A.	27,271.08
STATE UNIVERSITY CONSTRUCTION FUND			
0034	Income Fund	Key Bank	1,053,115.11
0075	Educational Facilities Revenue Bonds Debt Service Account	Key Bank	31,806.05
0870	Deductions Account	Key Bank	131,057.13

The above balances represent funds deposited in various banking institutions per the records of the Department of Taxation and Finance, Division of Treasury, and published in accordance with Section 107 of the State Finance Law.

Division of the Treasury, Department of Taxation and Finance
Christopher Curtis *Deputy Commissioner and State Treasurer*

Office of the NEW YORK STATE
COMPTROLLER
STATE OF NEW YORK
COMPTROLLER
THOMAS P. DiNAPOLI
Comptroller's Monthly Report on
State Funds Cash Basis of Accounting
JANUARY 2021
Office of Operations
Division of Payroll, Accounting and Revenue Services
Bureau of Financial Reporting and Oil Spill Remediation
NYS Comptroller
THOMAS P. DiNAPOLI

STATE OF NEW YORK
OFFICE OF OPERATIONS
DIVISION OF PAYROLL, ACCOUNTING AND REVENUE SERVICES
BUREAU OF FINANCIAL REPORTING AND OIL SPILL REMEDIATION

THOMAS P. DiNAPOLI
STATE COMPTROLLER

COMPTROLLER'S MONTHLY REPORT TO THE LEGISLATURE ON STATE FUNDS - CASH BASIS OF ACCOUNTING
January 31, 2021

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STATE OF NEW YORK
GOVERNMENTAL FUNDS
COMBINED STATEMENT OF CASH RECEIPTS, DISBURSEMENTS AND CHANGES IN FUND BALANCES
(amounts in millions)

EXHIBIT A

		GENERAL		SPECIAL REVENUE		DEBT SERVICE		CAPITAL PROJECTS		TOTAL GOVERNMENTAL FUNDS				YEAR OVER YEAR	
		MONTH OF JAN. 2021	10 MOS. ENDED JAN. 31, 2021	MONTH OF JAN. 2021	10 MOS. ENDED JAN. 31, 2021	MONTH OF JAN. 2021	10 MOS. ENDED JAN. 31, 2021	MONTH OF JAN. 2021	10 MOS. ENDED JAN. 31, 2021	MONTH OF JAN. 2021	10 MOS. ENDED JAN. 31, 2021	MONTH OF JAN. 2020	10 MOS. ENDED JAN. 31, 2020	$ Increase/ (Decrease)	% Increase/ Decrease
RECEIPTS:															
Personal Income Tax	(3)	$ 2,754.5	$ 21,117.0	$ 1,972.0	$ 2,008.6	$ 4,726.5	$ 23,125.6	$ -	$ -	$ 9,453.0	$ 46,251.2	$ 8,899.2	$ 46,216.4	$ 34.8	0.1%
Consumption/Use Taxes		663.1	6,055.6	153.4	1,489.0	597.8	5,510.1	35.1	438.4	1,449.4	13,493.1	1,546.8	15,314.2	(1,821.1)	-11.9%
Business Taxes		(29.7)	4,440.4	104.2	1,355.1	-	-	42.9	452.8	117.4	6,248.3	251.5	6,595.2	(346.9)	-5.3%
Other Taxes		294.5	1,260.2	-	-	111.2	675.4	11.9	95.3	417.6	2,030.9	189.4	1,875.7	155.2	8.3%
Miscellaneous Receipts		127.2	6,706.9	1,528.3	13,842.9	18.5	344.1	78.7	4,838.8	1,752.7	25,732.7	2,945.7	23,490.1	2,242.6	9.5%
Federal Receipts		-	0.2	5,782.7	66,364.6	-	36.9	125.9	1,673.0	5,908.6	68,074.7	5,728.8	55,464.2	12,610.5	22.7%
Total Receipts		**3,809.6**	**39,580.3**	**9,540.6**	**85,060.2**	**5,454.0**	**29,692.1**	**294.5**	**7,498.3**	**19,098.7**	**161,830.9**	**19,561.4**	**148,955.8**	**12,875.1**	**8.6%**
DISBURSEMENTS:															
Local Assistance Grants:															
Education		480.6	16,239.1	2,492.2	7,737.7	-	-	1.3	91.7	2,974.1	24,068.5	3,365.8	26,308.9	(2,240.4)	-8.5%
Environment and Recreation		0.2	0.5	0.1	2.4	-	-	36.3	143.5	36.6	146.4	233.3	385.3	(238.9)	-62.0%
General Government		10.4	815.9	22.8	4,352.4	-	-	60.4	464.1	93.6	5,632.4	87.9	1,945.8	3,686.6	189.5%
Public Health:															
Medicaid		1,007.1	12,928.1	4,408.4	42,542.8	-	-	-	-	5,415.5	55,470.9	6,153.4	55,670.9	(200.0)	-0.4%
Other Public Health		225.7	1,874.0	761.8	6,201.3	-	-	41.0	437.5	1,028.5	8,512.8	825.6	8,376.6	136.2	1.6%
Public Safety		7.6	93.5	84.8	1,638.6	-	-	3.3	45.4	95.7	1,777.5	239.2	1,455.8	321.7	22.1%
Public Welfare		154.1	1,971.6	265.8	2,797.6	-	-	16.8	488.2	436.7	5,257.4	672.8	5,995.7	(738.3)	-12.3%
Support and Regulate Business		9.0	66.2	1.6	45.4	-	-	20.8	415.7	31.4	527.3	36.4	898.9	(371.6)	-41.3%
Transportation		-	80.4	74.5	2,974.9	-	-	200.6	2,137.0	275.1	5,192.3	120.7	4,801.6	390.7	8.1%
Total Local Assistance Grants		**1,894.7**	**34,069.3**	**8,112.0**	**68,293.1**	**-**	**-**	**380.5**	**4,223.1**	**10,387.2**	**106,585.5**	**11,735.1**	**105,839.5**	**746.0**	**0.7%**
Departmental Operations:															
Personal Service		594.6	5,709.8	484.2	6,558.4	-	-	-	-	1,078.8	12,268.2	1,241.7	12,501.3	(233.1)	-1.9%
Non-Personal Service		219.1	1,486.3	418.5	4,327.1	0.1	24.0	-	-	637.7	5,837.4	683.3	5,626.8	210.6	3.7%
General State Charges		(277.2)	5,100.5	845.0	2,007.3	-	-	-	-	567.8	7,107.8	566.5	7,680.9	(573.1)	-7.5%
Debt Service, Including Payments on Financing Agreements		-	-	-	102.2	10.6	2,589.6	-	-	10.6	2,691.8	44.6	1,556.8	1,135.0	72.9%
Capital Projects	(1)	-	-	-	2.3	-	-	453.5	5,838.5	453.5	5,840.8	551.8	5,976.8	(136.0)	-2.3%
Total Disbursements		**2,431.2**	**46,365.9**	**9,859.7**	**81,290.4**	**10.7**	**2,613.6**	**834.0**	**10,061.6**	**13,135.6**	**140,331.5**	**14,823.0**	**139,182.1**	**1,149.4**	**0.8%**
Excess (Deficiency) of Receipts over Disbursements		**1,378.4**	**(6,785.6)**	**(319.1)**	**3,769.8**	**5,443.3**	**27,078.5**	**(539.5)**	**(2,563.3)**	**5,963.1**	**21,499.4**	**4,738.4**	**9,773.7**	**11,725.7**	**120.0%**
OTHER FINANCING SOURCES (USES):															
Bond and Note Proceeds (net)		-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Transfers from Other Funds	(2)	2,103.8	22,066.6	45.0	1,889.3	367.2	1,968.7	481.9	2,698.8	2,997.9	28,623.4	4,969.2	37,629.6	(9,006.2)	-23.9%
Transfers to Other Funds	(2)	(701.9)	(4,892.5)	(210.6)	(1,677.3)	(2,075.1)	(21,901.4)	(11.6)	(364.5)	(2,999.2)	(28,835.7)	(4,979.9)	(37,750.3)	(8,914.6)	-23.6%
Total Other Financing Sources (Uses)		**1,401.9**	**17,174.1**	**(165.6)**	**212.0**	**(1,707.9)**	**(19,932.7)**	**470.3**	**2,334.3**	**(1.3)**	**(212.3)**	**(10.7)**	**(120.7)**	**(91.6)**	**-75.9%**
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses		**2,780.3**	**10,388.5**	**(484.7)**	**3,981.8**	**3,735.4**	**7,145.8**	**(69.2)**	**(229.0)**	**5,961.8**	**21,287.1**	**4,727.7**	**9,653.0**	**11,634.1**	**120.5%**
Beginning Fund Balances (Deficits)		**16,552.4**	**8,944.2**	**10,778.6**	**6,312.1**	**3,473.8**	**63.4**	**(1,194.7)**	**(1,034.9)**	**29,610.1**	**14,284.8**	**14,900.3**	**9,975.0**	**4,309.8**	**43.2%**
Ending Fund Balances (Deficits)		**$ 19,332.7**	**$ 19,332.7**	**$ 10,293.9**	**$ 10,293.9**	**$ 7,209.2**	**$ 7,209.2**	**$ (1,263.9)**	**$ (1,263.9)**	**$ 35,571.9**	**$ 35,571.9**	**$ 19,628.0**	**$ 19,628.0**	**$ 15,943.9**	**81.2%**

STATE OF NEW YORK
GOVERNMENTAL FUNDS-STATE OPERATING (*)
COMBINED STATEMENT OF CASH RECEIPTS, DISBURSEMENTS AND CHANGES IN FUND BALANCES
(amounts in millions)

EXHIBIT A
SUPPLEMENTAL

		GENERAL		STATE SPECIAL REVENUE (**)		DEBT SERVICE		TOTAL STATE OPERATING FUNDS					
		MONTH OF JAN. 2021	10 MOS. ENDED JAN. 31, 2021	MONTH OF JAN. 2021	10 MOS. ENDED JAN. 31, 2021	MONTH OF JAN. 2021	10 MOS. ENDED JAN. 31, 2021	MONTH OF JAN. 2021	10 MOS. ENDED JAN. 31, 2021	MONTH OF JAN. 2020	10 MOS. ENDED JAN. 31, 2020	$ Increase/ (Decrease)	% Increase/ Decrease
RECEIPTS:													
Personal Income Tax	(3)	$ 2,754.5	$ 21,117.0	$ 1,972.0	$ 2,008.6	$ 4,726.5	$ 23,125.6	$ 9,453.0	$ 46,251.2	$ 8,899.2	$ 46,216.4	$ 34.8	0.1%
Consumption/Use Taxes		663.1	6,055.6	153.4	1,489.0	597.8	5,510.1	1,414.3	13,054.7	1,501.1	14,776.4	(1,721.7)	-11.7%
Business Taxes		(29.7)	4,440.4	104.2	1,355.1	-	-	74.5	5,795.5	196.6	6,025.5	(230.0)	-3.8%
Other Taxes		294.5	1,260.2	-	-	111.2	675.4	405.7	1,935.6	177.5	1,780.4	155.2	8.7%
Miscellaneous Receipts		127.2	6,706.9	1,518.5	13,684.3	18.5	344.1	1,664.2	20,735.3	2,313.6	18,793.8	1,941.5	10.3%
Federal Receipts		-	0.2	-	7.2	-	36.9	-	44.3	(25.3)	29.7	14.6	49.2%
Total Receipts		**3,809.6**	**39,580.3**	**3,748.1**	**18,544.2**	**5,454.0**	**29,692.1**	**13,011.7**	**87,816.6**	**13,062.7**	**87,622.2**	**194.4**	**0.2%**
DISBURSEMENTS:													
Local Assistance Grants:													
Education		480.6	16,239.1	2,128.4	5,222.8	-	-	2,609.0	21,461.9	3,045.8	23,183.9	(1,722.0)	-7.4%
Environment and Recreation		0.2	0.5	-	1.8	-	-	0.2	2.3	0.7	4.5	(2.2)	-48.9%
General Government		10.4	815.9	21.6	133.2	-	-	32.0	949.1	30.8	1,137.3	(188.2)	-16.5%
Public Health:													
Medicaid		1,007.1	12,928.1	462.0	4,632.7	-	-	1,469.1	17,560.8	2,245.7	21,545.3	(3,984.5)	-18.5%
Other Public Health		225.7	1,874.0	114.5	729.0	-	-	340.2	2,603.0	223.6	2,636.1	(33.1)	-1.3%
Public Safety		7.6	93.5	9.3	117.4	-	-	16.9	210.9	(19.8)	255.3	(44.4)	-17.4%
Public Welfare		154.1	1,971.6	(1.3)	0.6	-	-	152.8	1,972.2	171.1	2,011.0	(38.8)	-1.9%
Support and Regulate Business		9.0	66.2	1.0	38.6	-	-	10.0	104.8	4.7	159.6	(54.8)	-34.3%
Transportation		-	80.4	70.4	2,923.0	-	-	70.4	3,003.4	62.5	3,344.8	(341.4)	-10.2%
Total Local Assistance Grants		**1,894.7**	**34,069.3**	**2,805.9**	**13,799.1**	**-**	**-**	**4,700.6**	**47,868.4**	**5,765.1**	**54,277.8**	**(6,409.4)**	**-11.8%**
Departmental Operations:													
Personal Service		594.6	5,709.8	369.3	4,202.3	-	-	963.9	9,912.1	1,193.1	11,964.2	(2,052.1)	-17.2%
Non-Personal Service		219.1	1,486.3	237.3	2,087.6	0.1	24.0	456.5	3,597.9	540.4	4,526.2	(928.3)	-20.5%
General State Charges		(277.2)	5,100.5	59.7	738.4	-	-	(217.5)	5,838.9	529.8	7,402.4	(1,563.5)	-21.1%
Debt Service, Including Payments on Financing Agreements		-	-	-	-	10.6	2,589.6	10.6	2,589.6	44.6	1,556.8	1,032.8	66.3%
Capital Projects		-	-	-	-	-	-	-	-	-	-	-	0.0%
Total Disbursements		**2,431.2**	**46,365.9**	**3,472.2**	**20,827.4**	**10.7**	**2,613.6**	**5,914.1**	**69,806.9**	**8,073.0**	**79,727.4**	**(9,920.5)**	**-12.4%**
Excess (Deficiency) of Receipts over Disbursements		**1,378.4**	**(6,785.6)**	**275.9**	**(2,283.2)**	**5,443.3**	**27,078.5**	**7,097.6**	**18,009.7**	**4,989.7**	**7,894.8**	**10,114.9**	**128.1%**
OTHER FINANCING SOURCES (USES):													
Transfers from Other Funds	(2)	2,103.8	22,066.6	63.9	2,458.7	367.2	1,968.7	2,534.9	26,494.0	4,658.8	34,398.6	(7,904.6)	-23.0%
Transfers to Other Funds	(2)	(701.9)	(4,892.5)	(38.5)	(271.6)	(2,075.1)	(21,901.4)	(2,815.5)	(27,065.5)	(4,662.6)	(35,645.6)	(8,580.1)	-24.1%
Total Other Financing Sources (Uses)		**1,401.9**	**17,174.1**	**25.4**	**2,187.1**	**(1,707.9)**	**(19,932.7)**	**(280.6)**	**(571.5)**	**(3.8)**	**(1,247.0)**	**675.5**	**54.2%**
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses		**2,780.3**	**10,388.5**	**301.3**	**(96.1)**	**3,735.4**	**7,145.8**	**6,817.0**	**17,438.2**	**4,985.9**	**6,647.8**	**10,790.4**	**162.3%**
Beginning Fund Balances (Deficits)		**16,552.4**	**8,944.2**	**5,003.3**	**5,400.7**	**3,473.8**	**63.4**	**25,029.5**	**14,408.3**	**14,023.2**	**12,361.3**	**2,047.0**	**16.6%**
Ending Fund Balances (Deficits)		**$ 19,332.7**	**$ 19,332.7**	**$ 5,304.6**	**$ 5,304.6**	**$ 7,209.2**	**$ 7,209.2**	**$ 31,846.5**	**$ 31,846.5**	**$ 19,009.1**	**$ 19,009.1**	**$ 12,837.4**	**67.5%**

(*) State Operating Funds are comprised of the General Fund, State Special Revenue Funds supported by activities from dedicated revenue sources (including operating transfers from Federal Funds) and Debt Service Funds.
(**) Eliminations between Special Revenue - State and Federal Funds are not included.

GOVERNMENTAL FUNDS FOOTNOTES

EXHIBIT A NOTES
JANUARY 2021

1. Certain disbursements from Capital Projects funds are financed by operating transfers from other funds, proceeds of State bonds and notes, and reimbursements received from Public Authorities and the Federal Government. The amounts shown below represent disbursements to be reimbursed in future months from the sources indicated:

Urban Development Corporation (Correctional Facilities)	$215.0	million
Urban Development Corporation (Youth Facilities)	13.0	
Housing Finance Agency (HFA)	270.1	
Housing Assistance Fund	12.9	
Dormitory Authority (Mental Hygiene)	470.1	
Dormitory Authority and State University Income Fund	433.3	
Federal Capital Projects	339.2	
State bond and note proceeds	203.0	

2. Operating Transfers constitute legally authorized transfers from a fund receiving revenues to a fund through which disbursements will ultimately be made. The more significant transfers include:

General Fund "Transfers to Other Funds" are as follows:

State Capital Projects Fund	$2,232.7	million
General Debt Service Fund	339.2	
Banking Services Account	26.6	
Building Administration Account	8.0	
Business Services Center	26.9	
Centralized Tech Services	11.5	
Court Facilities Incentive Aid Fund	90.6	
Dedicated Highway & Bridge Trust Fund	49.5	
Dedicated Infrastructure Investment Fund	363.0	
Dedicated Mass Transportation - Railroad Account	6.6	
Dedicated Mass Transportation - Transit Authority Account	36.7	
Dedicated Mass Transportation - (Non MTA)	3.8	
Entertainment Diversity Job Training and Development	1.5	
Environmental Protection Fund	19.8	
Health Insurance Revolving Fund	12.0	
Indigent Legal Services	1.2	
Mass Transportation Operating Assistance Fund	27.8	
Mass Transportation Financial Assistance	244.3	
New York Central Business District Trust Fund	125.0	
New York City County Clerks' Operations Offset	2.8	
Recruitment Incentive Account	2.1	
State Fair Receipts	3.0	
State University Income Fund	994.1	

Also included in the General Fund are transfers representing payments for patients residing in State-operated health, mental hygiene and State University facilities to Debt Service funds ($7.1m), and the State University Income Fund ($256.5.m).

§72(4)(b) was added to the State Finance Law in 2010 to permit the State's General Debt Service Fund to maintain a cash reserve for the payment of debt service, and related expenses, during the current fiscal quarter. As of January 31, 2021 - pursuant to a certification of the Budget Director - the reserve amount is ($185.8m), which was funded by a transfer from the General Fund.

Special Revenue Funds "Transfers To Other Funds" includes transfers to Mental Health Services Fund and Department of Health Income Fund ($1,311.2m) representing the federal share of Medicaid payments for patients residing in State-operated Health and Mental Hygiene facilities, the General Debt Service Fund ($4.9m), Medicaid Management Information System Escrow Fund ($122.3m), SUNY Capital Projects Fund ($1.8m), and All Other Capital Projects ($32.0m).

Also included in Special Revenue funds are transfers to the General Fund from the following:

Business & Licensing Services Account	$3.0	million
Clean Air	2.1	
Encon Special Revenue	4.7	
Federal Department of Health Services Fund	57.7	
Federal Education Fund	1.0	
Federal Operating Grants Fund	5.3	
Federal USDA/Food and Nutrition Services Fund	8.7	
HESC Insurance Premium Account	5.3	
Mass Transportation Operating Assistance Fund	1.3	
Miscellaneous State Special Revenue Fund	2.0	
Patron Services Account	1.6	
Public Service Account	3.6	
State Lottery Fund	4.6	
State Police Motor Vehicle Law Enforcement Fund	37.5	
SUNY Income Fund	22.4	
System and Technology Account	2.6	
Unemployment Insurance Administration Fund	16.2	
Unemployment Insurance Interest & Penalty Account	11.4	
Workers' Compensation Board Account	7.3	

Debt Service Funds "Transfers To Other Funds" includes transfers to the General Fund from the following:

Revenue Bond Tax Fund	$15,595.7	million
Local Government Assistance Tax Fund	2,755.0	
Sales Tax Revenue Bond Tax Fund	1,716.2	
Clean Water/Clean Air Fund	633.2	
Mental Health Services Fund	1,105.1	

Also included in Debt Service funds are transfers to Special Revenue funds representing receipts in excess of lease-purchase obligations that are used to finance a portion of the operating expenses for the Department of Health ($96.2m).

Capital Projects Funds "Transfers To Other Funds" includes transfers to the General Fund ($58.4m), the General Debt Service Fund - Lease Purchase ($104.2m), and the Revenue Bond Tax Fund ($201.9m).

3. A portion of Personal Income Tax receipts is transferred to the State Special Revenue School Tax Relief (STAR) Fund to be used to reimburse school districts for the STAR property tax exemptions for homeowners and payments to homeowners for the STAR Property Rebate Program. School Tax Relief payments were ($2,008.6m) as of January 31, 2021.

STATE OF NEW YORK
PROPRIETARY FUNDS
COMBINED STATEMENT OF CASH RECEIPTS, DISBURSEMENTS AND CHANGES IN FUND BALANCES
(amounts in millions)

EXHIBIT B

	ENTERPRISE		INTERNAL SERVICE		TOTAL PROPRIETARY FUNDS				YEAR OVER YEAR	
	MONTH OF JAN. 2021	10 MOS. ENDED JAN. 31, 2021	MONTH OF JAN. 2021	10 MOS. ENDED JAN. 31, 2021	MONTH OF JAN. 2021	10 MOS. ENDED JAN. 31, 2021	MONTH OF JAN. 2020	10 MOS. ENDED JAN. 31, 2020	$ Increase/ (Decrease)	% Increase/ Decrease
RECEIPTS:										
Miscellaneous Receipts	$ 9.6	$ 58.0	$ 33.0	$ 329.7	$ 42.6	$ 387.7	$ 68.3	$ 540.4	$ (152.7)	-28.3%
Federal Receipts	4,910.9	45,943.8	-	-	4,910.9	45,943.8	1.0	14.7	45,929.1	312,442.9%
Unemployment Taxes	499.8	14,144.6	-	-	499.8	14,144.6	227.7	1,704.7	12,439.9	729.7%
Total Receipts	**5,420.3**	**60,146.4**	**33.0**	**329.7**	**5,453.3**	**60,476.1**	**297.0**	**2,259.8**	**58,216.3**	**2,576.2%**
DISBURSEMENTS:										
Departmental Operations:										
Personal Service	0.7	11.1	9.4	113.6	10.1	124.7	11.7	121.6	3.1	2.5%
Non-Personal Service	3.6	44.0	6.5	366.7	10.1	410.7	31.8	389.5	21.2	5.4%
General State Charges	-	1.4	4.5	52.0	4.5	53.4	7.4	49.5	3.9	7.9%
Unemployment Benefits	5,411.4	60,079.6	-	-	5,411.4	60,079.6	228.4	1,720.5	58,359.1	3,392.0%
Total Disbursements	**5,415.7**	**60,136.1**	**20.4**	**532.3**	**5,436.1**	**60,668.4**	**279.3**	**2,281.1**	**58,387.3**	**2,559.6%**
Excess (Deficiency) of Receipts Over Disbursements	**4.6**	**10.3**	**12.6**	**(202.6)**	**17.2**	**(192.3)**	**17.7**	**(21.3)**	**(171.0)**	**-802.8%**
OTHER FINANCING SOURCES (USES):										
Transfers from Other Funds	-	3.0	1.4	89.9	1.4	92.9	10.7	67.7	25.2	37.2%
Transfers to Other Funds	-	-	-	(3.3)	-	(3.3)	-	(4.3)	(1.0)	-23.3%
Total Other Financing Sources (Uses)	**-**	**3.0**	**1.4**	**86.6**	**1.4**	**89.6**	**10.7**	**63.4**	**26.2**	**41.3%**
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	**4.6**	**13.3**	**14.0**	**(116.0)**	**18.6**	**(102.7)**	**28.4**	**42.1**	**(144.8)**	**-343.9%**
Beginning Fund Balances (Deficits)	**38.4**	**29.7**	**(427.5)**	**(297.5)**	**(389.1)**	**(267.8)**	**(262.4)**	**(276.1)**	**8.3**	**3.0%**
Ending Fund Balances (Deficits)	**$ 43.0**	**$ 43.0**	**$ (413.5)**	**$ (413.5)**	**$ (370.5)**	**$ (370.5)**	**$ (234.0)**	**$ (234.0)**	**$ (136.5)**	**-58.3%**

STATE OF NEW YORK
TRUST FUNDS
COMBINED STATEMENT OF CASH RECEIPTS, DISBURSEMENTS AND CHANGES IN FUND BALANCES
(amounts in millions)

EXHIBIT C

	PENSION		PRIVATE PURPOSE		TOTAL TRUST FUNDS				YEAR OVER YEAR	
	MONTH OF JAN. 2021	10 MOS. ENDED JAN. 31, 2021	MONTH OF JAN. 2021	10 MOS. ENDED JAN. 31, 2021	MONTH OF JAN. 2021	10 MOS. ENDED JAN. 31, 2021	MONTH OF JAN. 2020	10 MOS. ENDED JAN. 31, 2020	$ Increase/ (Decrease)	% Increase/ Decrease
RECEIPTS:										
Miscellaneous Receipts	$ 5.6	$ 100.6	$ 0.1	$ 0.3	$ 5.7	$ 100.9	$ 5.3	$ 119.3	$ (18.4)	-15.4%
Total Receipts	5.6	100.6	0.1	0.3	5.7	100.9	5.3	119.3	(18.4)	-15.4%
DISBURSEMENTS:										
Departmental Operations:										
Personal Service	5.5	60.9	-	0.2	5.5	61.1	5.1	57.4	3.7	6.4%
Non-Personal Service	2.2	10.9	-	-	2.2	10.9	1.4	30.7	(19.8)	-64.5%
General State Charges	3.4	34.5	-	0.1	3.4	34.6	5.0	33.4	1.2	3.6%
Total Disbursements	11.1	106.3	-	0.3	11.1	106.6	11.5	121.5	(14.9)	-12.3%
Excess (Deficiency) of Receipts Over Disbursements	(5.5)	(5.7)	0.1	-	(5.4)	(5.7)	(6.2)	(2.2)	(3.5)	-159.1%
OTHER FINANCING SOURCES (USES):										
Transfers from Other Funds	-	-	-	-	-	-	-	-	-	0.0%
Transfers to Other Funds	-	-	-	-	-	-	-	-	-	0.0%
Total Other Financing Sources (Uses)	-	-	-	-	-	-	-	-	-	0.0%
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	(5.5)	(5.7)	0.1	-	(5.4)	(5.7)	(6.2)	(2.2)	(3.5)	-159.1%
Beginning Fund Balances (Deficits)	(1.3)	(1.1)	14.2	14.3	12.9	13.2	14.2	10.2	3.0	29.4%
Ending Fund Balances (Deficits)	$ (6.8)	$ (6.8)	$ 14.3	$ 14.3	$ 7.5	$ 7.5	$ 8.0	$ 8.0	$ (0.5)	-6.3%

STATE OF NEW YORK
BUDGETARY BASIS - FINANCIAL PLAN AND ACTUAL
FISCAL YEAR 2020-2021
FOR TEN MONTHS ENDED JANUARY 31, 2021
(amounts in millions)

EXHIBIT D

	ALL GOVERNMENTAL FUNDS				
	Enacted Financial Plan (*)	Updated Financial Plan (**)	Actual	Actual Over/ (Under) Enacted Financial Plan	Actual Over/ (Under) Updated Financial Plan
RECEIPTS:					
Taxes:					
Personal Income	$ 42,554.0	$ 44,830.0	$ 46,251.2	$ 3,697.2	$ 1,421.2
Consumption/Use	12,937.0	13,455.0	13,493.1	556.1	38.1
Business	6,436.0	6,117.0	6,248.3	(187.7)	131.3
Other	1,730.0	1,954.0	2,030.9	300.9	76.9
Miscellaneous Receipts	26,178.0	25,912.0	25,732.7	(445.3)	(179.3)
Federal Receipts	57,239.0	68,291.0	68,074.7	10,835.7	(216.3)
Total Receipts	**147,074.0**	**160,559.0**	**161,830.9**	**14,756.9**	**1,271.9**
DISBURSEMENTS:					
Local Assistance Grants	103,057.0	107,320.0	106,585.5	3,528.5	(734.5)
Departmental Operations	17,624.0	18,097.0	18,105.6	481.6	8.6
General State Charges	7,321.0	6,962.0	7,107.8	(213.2)	145.8
Debt Service	1,742.0	2,692.0	2,691.8	949.8	(0.2)
Capital Projects	8,067.0	6,272.0	5,840.8	(2,226.2)	(431.2)
Total Disbursements	**137,811.0**	**141,343.0**	**140,331.5**	**2,520.5**	**(1,011.5)**
Excess (Deficiency) of Receipts over Disbursements	**9,263.0**	**19,216.0**	**21,499.4**	**12,236.4**	**2,283.4**
OTHER FINANCING SOURCES (USES):					
Bond and Note Proceeds, net	-	-	-	-	-
Transfers from Other Funds	28,344.0	28,843.0	28,623.4	279.4	(219.6)
Transfers to Other Funds	(28,445.0)	(29,060.0)	(28,835.7)	390.7	(224.3)
Total Other Financing Sources (Uses)	**(101.0)**	**(217.0)**	**(212.3)**	**(111.3)**	**4.7**
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	**9,162.0**	**18,999.0**	**21,287.1**	**12,125.1**	**2,288.1**
Fund Balances (Deficits) at April 1	**14,284.0**	**14,283.0**	**14,284.8**	**0.8**	**1.8**
Fund Balances (Deficits) at January 31, 2021	**$ 23,446.0**	**$ 33,282.0**	**$ 35,571.9**	**$ 12,125.9**	**$ 2,289.9**

(*) Source: 2020-21 Enacted Financial Plan dated April 25, 2020.
(**) Source: 2021-22 Executive Budget dated January 19, 2021.

STATE OF NEW YORK
BUDGETARY BASIS - FINANCIAL PLAN AND ACTUAL
FISCAL YEAR 2020-2021
FOR TEN MONTHS ENDED JANUARY 31, 2021
(amounts in millions)

EXHIBIT D

	STATE OPERATING FUNDS (***)				
	Enacted Financial Plan (*)	Updated Financial Plan (**)	Actual	Actual Over/ (Under) Enacted Financial Plan	Actual Over/ (Under) Updated Financial Plan
RECEIPTS:					
Taxes:					
Personal Income	$ 42,554.0	$ 44,830.0	$ 46,251.2	$ 3,697.2	$ 1,421.2
Consumption/Use	12,479.0	13,014.0	13,054.7	575.7	40.7
Business	5,958.0	5,656.0	5,795.5	(162.5)	139.5
Other	1,634.0	1,859.0	1,935.6	301.6	76.6
Miscellaneous Receipts	19,574.0	20,751.0	20,735.3	1,161.3	(15.7)
Federal Receipts	12.0	20.0	44.3	32.3	24.3
Total Receipts	**82,211.0**	**86,130.0**	**87,816.6**	**5,605.6**	**1,686.6**
DISBURSEMENTS:					
Local Assistance Grants	49,399.0	48,576.0	47,868.4	(1,530.6)	(707.6)
Departmental Operations	15,878.0	13,625.0	13,510.0	(2,368.0)	(115.0)
General State Charges	7,035.0	5,719.0	5,838.9	(1,196.1)	119.9
Debt Service	1,609.0	2,590.0	2,589.6	980.6	(0.4)
Capital Projects	-	-	-	-	-
Total Disbursements	**73,921.0**	**70,510.0**	**69,806.9**	**(4,114.1)**	**(703.1)**
Excess (Deficiency) of Receipts over Disbursements	**8,290.0**	**15,620.0**	**18,009.7**	**9,719.7**	**2,389.7**
OTHER FINANCING SOURCES (USES):					
Transfers from Other Funds	26,211.0	25,957.0	26,494.0 (****)	283.0	537.0
Transfers to Other Funds	(26,585.0)	(26,770.0)	(27,065.5) (****)	480.5	295.5
Total Other Financing Sources (Uses)	**(374.0)**	**(813.0)**	**(571.5)**	**(197.5)**	**241.5**
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	**7,916.0**	**14,807.0**	**17,438.2**	**9,522.2**	**2,631.2**
Fund Balances (Deficits) at April 1	**14,408.0**	**14,407.0**	**14,408.3**	**0.3**	**1.3**
Fund Balances (Deficits) at January 31, 2021	**$ 22,324.0**	**$ 29,214.0**	**$ 31,846.5**	**$ 9,522.5**	**$ 2,632.5**

(*) Source: 2020-21 Enacted Financial Plan dated April 25, 2020.
(**) Source: 2021-22 Executive Budget dated January 19, 2021.
(***) State Operating Funds are comprised of the General Fund, State Special Revenue Funds supported by activities from dedicated revenue sources (including operating transfers from Federal Funds) and Debt Service Funds.
(****) Eliminations between Special Revenue - State and Federal Funds are not included.

STATE OF NEW YORK
BUDGETARY BASIS - FINANCIAL PLAN AND ACTUAL
FISCAL YEAR 2020-2021
FOR TEN MONTHS ENDED JANUARY 31, 2021
(amounts in millions)

EXHIBIT D

	GENERAL FUND					
	Enacted Financial Plan (*)	Updated Financial Plan (**)	Actual		Actual Over/ (Under) Enacted Financial Plan	Actual Over/ (Under) Updated Financial Plan
RECEIPTS:						
Taxes:						
Personal Income	$ 19,214.0	$ 20,406.0	$ 21,117.0		$ 1,903.0	$ 711.0
Consumption/Use	5,795.0	6,038.0	6,055.6		260.6	17.6
Business	4,566.0	4,358.0	4,440.4		(125.6)	82.4
Other	964.0	1,217.0	1,260.2		296.2	43.2
Miscellaneous Receipts	5,925.0	6,656.0	6,706.9		781.9	50.9
Federal Receipts	-	-	0.2		0.2	0.2
Transfers From:						
PIT / ECET in excess of Revenue Bond Debt Service	14,506.0	14,795.0	15,595.7		1,089.7	800.7
Sales Tax in excess of LGAC / STRBF Debt Service	4,170.0	4,452.0	4,471.2		301.2	19.2
Real Estate Taxes in excess of CW/CA Debt Service	630.0	600.0	633.2		3.2	33.2
All Other	1,163.0	1,721.0	1,366.5		203.5	(354.5)
Total Receipts and Other Financing Sources	**56,933.0**	**60,243.0**	**61,646.9**		**4,713.9**	**1,403.9**
DISBURSEMENTS:						
Local Assistance Grants	35,563.0	34,798.0	34,069.3		(1,493.7)	(728.7)
Departmental Operations	9,587.0	7,256.0	7,196.1		(2,390.9)	(59.9)
General State Charges	6,147.0	4,951.0	5,100.5		(1,046.5)	149.5
Transfers To:						
Debt Service	1,840.0	341.0	339.2		(1,500.8)	(1.8)
Capital Projects	2,057.0	2,850.0	2,665.0		608.0	(185.0)
State Share Medicaid	-	-	263.6	(***)	263.6	263.6
SUNY Operations	1,223.0	1,004.0	994.1		(228.9)	(9.9)
Other Purposes	896.0	892.0	630.6		(265.4)	(261.4)
Total Disbursements and Other Financing Uses	**57,313.0**	**52,092.0**	**51,258.4**		**(6,054.6)**	**(833.6)**
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	**(380.0)**	**8,151.0**	**10,388.5**		**10,768.5**	**2,237.5**
Fund Balances (Deficits) at April 1	**8,944.0**	**8,944.0**	**8,944.2**		**0.2**	**0.2**
Fund Balances (Deficits) at January 31, 2021	**$ 8,564.0**	**$ 17,095.0**	**$ 19,332.7**		**$ 10,768.7**	**$ 2,237.7**

(*) Source: 2020-21 Enacted Financial Plan dated April 25, 2020.
(**) Source: 2021-22 Executive Budget dated January 19, 2021.
(***) Includes transfers to the Department of Health Income Fund and the State University Income Fund representing payments for patients residing in State-Operated Health and State University facilities.

STATE OF NEW YORK
BUDGETARY BASIS - FINANCIAL PLAN AND ACTUAL
FISCAL YEAR 2020-2021
FOR TEN MONTHS ENDED JANUARY 31, 2021
(amounts in millions)

EXHIBIT D

	SPECIAL REVENUE FUNDS						
	Enacted Financial Plan (*)	Updated Financial Plan (**)	Actual	Eliminations	Total	Actual Over/ (Under) Enacted Financial Plan	Actual Over/ (Under) Updated Financial Plan
RECEIPTS:							
Taxes:							
Personal Income	$ 2,064.0	$ 2,009.0	$ 2,008.6	$ -	$ 2,008.6	$ (55.4)	$ (0.4)
Consumption/Use	1,459.0	1,482.0	1,489.0	-	1,489.0	30.0	7.0
Business	1,392.0	1,298.0	1,355.1	-	1,355.1	(36.9)	57.1
Miscellaneous Receipts	13,470.0	13,912.0	13,842.9	-	13,842.9	372.9	(69.1)
Federal Receipts	55,438.0	66,538.0	66,364.6	-	66,364.6	10,926.6	(173.4)
Transfers from Other Funds (***)	2,514.0	2,463.0	2,458.7	(569.4)	1,889.3	(624.7)	(573.7)
Total Receipts and Other Financing Sources	**76,337.0**	**87,702.0**	**87,518.9**	**(569.4)**	**86,949.5**	**10,612.5**	**(752.5)**
DISBURSEMENTS:							
Local Assistance Grants	63,500.0	68,162.0	68,293.1	-	68,293.1	4,793.1	131.1
Departmental Operations	8,015.0	10,817.0	10,885.5	-	10,885.5	2,870.5	68.5
General State Charges	1,174.0	2,011.0	2,007.3	-	2,007.3	833.3	(3.7)
Debt Service	133.0	102.0	102.2	-	102.2	(30.8)	0.2
Capital Projects	-	2.0	2.3	-	2.3	2.3	0.3
Transfers to Other Funds (***)	1,720.0	2,207.0	2,246.7	(569.4)	1,677.3	(42.7)	(529.7)
Total Disbursements and Other Financing Uses	**74,542.0**	**83,301.0**	**83,537.1**	**(569.4)**	**82,967.7**	**8,425.7**	**(333.3)**
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	**1,795.0**	**4,401.0**	**3,981.8**	**-**	**3,981.8**	**2,186.8**	**(419.2)**
Fund Balances (Deficits) at April 1	**6,312.0**	**6,311.0**	**6,312.1**	**-**	**6,312.1**	**0.1**	**1.1**
Fund Balances (Deficits) at January 31, 2021	**$ 8,107.0**	**$ 10,712.0**	**$ 10,293.9**	**$ -**	**$ 10,293.9**	**$ 2,186.9**	**$ (418.1)**

(*) Source: 2020-21 Enacted Financial Plan dated April 25, 2020.
(**) Source: 2021-22 Executive Budget dated January 19, 2021.
(***) Actual reported transfer amounts include eliminations between Special Revenue - State and Federal Funds.

STATE OF NEW YORK
BUDGETARY BASIS - FINANCIAL PLAN AND ACTUAL
FISCAL YEAR 2020-2021
FOR TEN MONTHS ENDED JANUARY 31, 2021
(amounts in millions)

EXHIBIT D

	STATE SPECIAL REVENUE FUNDS					FEDERAL SPECIAL REVENUE FUNDS				
	Enacted Financial Plan (*)	Updated Financial Plan (**)	Actual	Actual Over/ (Under) Enacted Financial Plan	Actual Over/ (Under) Updated Financial Plan	Enacted Financial Plan (*)	Updated Financial Plan (**)	Actual	Actual Over/ (Under) Enacted Financial Plan	Actual Over/ (Under) Updated Financial Plan
RECEIPTS:										
Taxes:										
Personal Income	$ 2,064.0	$ 2,009.0	$ 2,008.6	$ (55.4)	$ (0.4)	$ -	$ -	$ -	$ -	$ -
Consumption/Use	1,459.0	1,482.0	1,489.0	30.0	7.0	-	-	-	-	-
Business	1,392.0	1,298.0	1,355.1	(36.9)	57.1	-	-	-	-	-
Miscellaneous Receipts	13,332.0	13,757.0	13,684.3	352.3	(72.7)	138.0	155.0	158.6	20.6	3.6
Federal Receipts	(24.0)	(17.0)	7.2	31.2	24.2	55,462.0	66,555.0	66,357.4	10,895.4	(197.6)
Transfers from Other Funds	2,514.0	2,463.0	2,458.7	(55.3)	(4.3)	-	-	-	-	-
Total Receipts and Other Financing Sources	**20,737.0**	**20,992.0**	**21,002.9**	**265.9**	**10.9**	**55,600.0**	**66,710.0**	**66,516.0**	**10,916.0**	**(194.0)**
DISBURSEMENTS:										
Local Assistance Grants	13,836.0	13,778.0	13,799.1	(36.9)	21.1	49,664.0	54,384.0	54,494.0	4,830.0	110.0
Departmental Operations	6,269.0	6,345.0	6,289.9	20.9	(55.1)	1,746.0	4,472.0	4,595.6	2,849.6	123.6
General State Charges	888.0	768.0	738.4	(149.6)	(29.6)	286.0	1,243.0	1,268.9	982.9	25.9
Debt Service	-	-	-	-	-	133.0	102.0	102.2	(30.8)	0.2
Capital Projects	-	-	-	-	-	-	2.0	2.3	2.3	0.3
Transfers to Other Funds	189.0	281.0	271.6	82.6	(9.4)	1,531.0	1,926.0	1,975.1	444.1	49.1
Total Disbursements and Other Financing Uses	**21,182.0**	**21,172.0**	**21,099.0**	**(83.0)**	**(73.0)**	**53,360.0**	**62,129.0**	**62,438.1**	**9,078.1**	**309.1**
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	**(445.0)**	**(180.0)**	**(96.1)**	**348.9**	**83.9**	**2,240.0**	**4,581.0**	**4,077.9**	**1,837.9**	**(503.1)**
Fund Balances (Deficits) at April 1	**5,401.0**	**5,400.0**	**5,400.7**	**(0.3)**	**0.7**	**911.0**	**911.0**	**911.4**	**0.4**	**0.4**
Fund Balances (Deficits) at January 31, 2021	**$ 4,956.0**	**$ 5,220.0**	**$ 5,304.6**	**$ 348.6**	**$ 84.6**	**$ 3,151.0**	**$ 5,492.0**	**$ 4,989.3**	**$ 1,838.3**	**$ (502.7)**

(*) Source: 2020-21 Enacted Financial Plan dated April 25, 2020.
(**) Source: 2021-22 Executive Budget dated January 19, 2021.

STATE OF NEW YORK
BUDGETARY BASIS - FINANCIAL PLAN AND ACTUAL
FISCAL YEAR 2020-2021
FOR TEN MONTHS ENDED JANUARY 31, 2021
(amounts in millions)

EXHIBIT D

	DEBT SERVICE FUNDS				
	Enacted Financial Plan (*)	Updated Financial Plan (**)	Actual	Actual Over/ (Under) Enacted Financial Plan	Actual Over/ (Under) Updated Financial Plan
RECEIPTS:					
Taxes:					
Personal Income	$ 21,276.0	$ 22,415.0	$ 23,125.6	$ 1,849.6	$ 710.6
Consumption/Use	5,225.0	5,494.0	5,510.1	285.1	16.1
Other	670.0	642.0	675.4	5.4	33.4
Miscellaneous Receipts	317.0	338.0	344.1	27.1	6.1
Federal Receipts	36.0	37.0	36.9	0.9	(0.1)
Transfers from Other Funds	3,228.0	1,926.0	1,968.7	(1,259.3)	42.7
Total Receipts and Other Financing Sources	**30,752.0**	**30,852.0**	**31,660.8**	**908.8**	**808.8**
DISBURSEMENTS:					
Departmental Operations	22.0	24.0	24.0	2.0	-
Debt Service	1,609.0	2,590.0	2,589.6	980.6	(0.4)
Transfers to Other Funds	20,380.0	21,402.0	21,901.4	1,521.4	499.4
Total Disbursements and Other Financing Uses	**22,011.0**	**24,016.0**	**24,515.0**	**2,504.0**	**499.0**
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	**8,741.0**	**6,836.0**	**7,145.8**	**(1,595.2)**	**309.8**
Fund Balances (Deficits) at April 1	**63.0**	**63.0**	**63.4**	**0.4**	**0.4**
Fund Balances (Deficits) at January 31, 2021	**$ 8,804.0**	**$ 6,899.0**	**$ 7,209.2**	**$ (1,594.8)**	**$ 310.2**

(*) Source: 2020-21 Enacted Financial Plan dated April 25, 2020.
(**) Source: 2021-22 Executive Budget dated January 19, 2021.

STATE OF NEW YORK
BUDGETARY BASIS - FINANCIAL PLAN AND ACTUAL
FISCAL YEAR 2020-2021
FOR TEN MONTHS ENDED JANUARY 31, 2021
(amounts in millions)

EXHIBIT D

	CAPITAL PROJECTS FUNDS						
	Enacted Financial Plan (*)	Updated Financial Plan (**)	Actual	Eliminations	Total	Actual Over/ (Under) Enacted Financial Plan	Actual Over/ (Under) Updated Financial Plan
RECEIPTS:							
Taxes:							
Consumption/Use	$ 458.0	$ 441.0	$ 438.4	$ -	$ 438.4	$ (19.6)	$ (2.6)
Business	478.0	461.0	452.8	-	452.8	(25.2)	(8.2)
Other	96.0	95.0	95.3	-	95.3	(0.7)	0.3
Miscellaneous Receipts	6,466.0	5,006.0	4,838.8	-	4,838.8	(1,627.2)	(167.2)
Federal Receipts	1,765.0	1,716.0	1,673.0	-	1,673.0	(92.0)	(43.0)
Bond and Note Proceeds, net	-	-	-	-	-	-	-
Transfers from Other Funds	2,133.0	2,886.0	2,698.8	-	2,698.8	565.8	(187.2)
Total Receipts and Other Financing Sources	**11,396.0**	**10,605.0**	**10,197.1**	**-**	**10,197.1**	**(1,198.9)**	**(407.9)**
DISBURSEMENTS:							
Local Assistance Grants	3,994.0	4,360.0	4,223.1	-	4,223.1	229.1	(136.9)
Capital Projects	8,067.0	6,270.0	5,838.5	-	5,838.5	(2,228.5)	(431.5)
Transfers to Other Funds	329.0	364.0	364.5	-	364.5	35.5	0.5
Total Disbursements and Other Financing Uses	**12,390.0**	**10,994.0**	**10,426.1**	**-**	**10,426.1**	**(1,963.9)**	**(567.9)**
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	**(994.0)**	**(389.0)**	**(229.0)**	**-**	**(229.0)**	**765.0**	**160.0**
Fund Balances (Deficits) at April 1	**(1,035.0)**	**(1,035.0)**	**(1,034.9)**	**-**	**(1,034.9)**	**0.1**	**0.1**
Fund Balances (Deficits) at January 31, 2021	**$ (2,029.0)**	**$ (1,424.0)**	**$ (1,263.9)**	**$ -**	**$ (1,263.9)**	**$ 765.1**	**$ 160.1**

(*) Source: 2020-21 Enacted Financial Plan dated April 25, 2020.
(**) Source: 2021-22 Executive Budget dated January 19, 2021.

STATE OF NEW YORK
BUDGETARY BASIS - FINANCIAL PLAN AND ACTUAL
FISCAL YEAR 2020-2021
FOR TEN MONTHS ENDED JANUARY 31, 2021
(amounts in millions)

EXHIBIT D

	STATE CAPITAL PROJECTS FUNDS					FEDERAL CAPITAL PROJECTS FUNDS				
	Enacted Financial Plan (*)	Updated Financial Plan (**)	Actual	Actual Over/ (Under) Enacted Financial Plan	Actual Over/ (Under) Updated Financial Plan	Enacted Financial Plan (*)	Updated Financial Plan (**)	Actual	Actual Over/ (Under) Enacted Financial Plan	Actual Over/ (Under) Updated Financial Plan
RECEIPTS:										
Taxes:										
Consumption/Use	$ 458.0	$ 441.0	$ 438.4	$ (19.6)	$ (2.6)	$ -	$ -	$ -	$ -	$ -
Business	478.0	461.0	452.8	(25.2)	(8.2)	-	-	-	-	-
Other	96.0	95.0	95.3	(0.7)	0.3	-	-	-	-	-
Miscellaneous Receipts	6,466.0	5,005.0	4,838.0	(1,628.0)	(167.0)	-	1.0	0.8	0.8	(0.2)
Federal Receipts	2.0	2.0	2.1	0.1	0.1	1,763.0	1,714.0	1,670.9	(92.1)	(43.1)
Bond and Note Proceeds, net	-	-	-	-	-	-	-	-	-	-
Transfers from Other Funds	2,302.0	2,886.0	2,698.8	396.8	(187.2)	(169.0)	-	-	169.0	-
Total Receipts and Other Financing Sources	**9,802.0**	**8,890.0**	**8,525.4**	**(1,276.6)**	**(364.6)**	**1,594.0**	**1,715.0**	**1,671.7**	**77.7**	**(43.3)**
DISBURSEMENTS:										
Local Assistance Grants	3,421.0	3,882.0	3,771.9	350.9	(110.1)	573.0	478.0	451.2	(121.8)	(26.8)
Capital Projects	7,075.0	5,302.0	4,841.5	(2,233.5)	(460.5)	992.0	968.0	997.0	5.0	29.0
Transfers to Other Funds	330.0	364.0	364.5	34.5	0.5	(1.0)	-	-	1.0	-
Total Disbursements and Other Financing Uses	**10,826.0**	**9,548.0**	**8,977.9**	**(1,848.1)**	**(570.1)**	**1,564.0**	**1,446.0**	**1,448.2**	**(115.8)**	**2.2**
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	**(1,024.0)**	**(658.0)**	**(452.5)**	**571.5**	**205.5**	**30.0**	**269.0**	**223.5**	**193.5**	**(45.5)**
Fund Balances (Deficits) at April 1	**(471.0)**	**(471.0)**	**(472.2)**	**(1.2)**	**(1.2)**	**(564.0)**	**(564.0)**	**(562.7)**	**1.3**	**1.3**
Fund Balances (Deficits) at January 31, 2021	**$ (1,495.0)**	**$ (1,129.0)**	**$ (924.7)**	**$ 570.3**	**$ 204.3**	**$ (534.0)**	**$ (295.0)**	**$ (339.2)**	**$ 194.8**	**$ (44.2)**

(*) Source: 2020-21 Enacted Financial Plan dated April 25, 2020.
(**) Source: 2021-22 Executive Budget dated January 19, 2021.

STATE OF NEW YORK
GOVERNMENTAL FUNDS
COMPARATIVE SCHEDULE OF TAX RECEIPTS
(amounts in millions)

EXHIBIT E

	GENERAL		SPECIAL REVENUE		DEBT SERVICE		CAPITAL PROJECTS		TOTAL GOVERNMENTAL FUNDS				YEAR OVER YEAR	
	MONTH OF JAN. 2021	10 MOS. ENDED JAN. 31, 2021	MONTH OF JAN. 2021	10 MOS. ENDED JAN. 31, 2021	MONTH OF JAN. 2021	10 MOS. ENDED JAN. 31, 2021	MONTH OF JAN. 2021	10 MOS. ENDED JAN. 31, 2021	MONTH OF JAN. 2021	10 MOS. ENDED JAN. 31, 2021	MONTH OF JAN. 2020	10 MOS. ENDED JAN. 31, 2020	$ Increase/ (Decrease)	% Increase/ Decrease
PERSONAL INCOME TAX														
Withholding	$ 4,626.5	$ 33,862.3	$ -	$ -	$ -	$ -	$ -	$ -	$ 4,626.5	$ 33,862.3	$ 5,045.6	$ 33,882.4	$ (20.1)	-0.1%
Estimated Payments	4,810.2	16,157.9	-	-	-	-	-	-	4,810.2	16,157.9	3,842.2	16,798.0	(640.1)	-3.8%
Returns	22.5	3,276.7	-	-	-	-	-	-	22.5	3,276.7	25.7	3,224.5	52.2	1.6%
State/City Offsets	(3.5)	(1,027.4)	-	-	-	-	-	-	(3.5)	(1,027.4)	(9.6)	(1,005.2)	22.2	2.2%
Other (Assessments/LLC)	116.1	966.1	-	-	-	-	-	-	116.1	966.1	87.2	1,096.1	(130.0)	-11.9%
Gross Receipts	**9,571.8**	**53,235.6**	**-**	**-**	**-**	**-**	**-**	**-**	**9,571.8**	**53,235.6**	**8,991.1**	**53,995.8**	**(760.2)**	**-1.4%**
Transfers to School Tax Relief Fund	(1,972.0)	(2,008.6)	1,972.0	2,008.6	-	-	-	-	-	-	-	-	-	0.0%
Transfers to Revenue Bond Tax Fund	(4,726.5)	(23,125.6)	-	-	4,726.5	23,125.6	-	-	-	-	-	-	-	0.0%
Less: Refunds Issued	(118.8)	(6,984.4)	-	-	-	-	-	-	(118.8)	(6,984.4)	(91.9)	(7,779.4)	(795.0)	-10.2%
Total	**2,754.5**	**21,117.0**	**1,972.0**	**2,008.6**	**4,726.5**	**23,125.6**	**-**	**-**	**9,453.0**	**46,251.2**	**8,899.2**	**46,216.4**	**34.8**	**0.1%**
CONSUMPTION/USE TAXES														
Sales and Use	598.3	5,514.2	84.6	753.2	597.8	5,510.1	-	-	1,280.7	11,777.5	1,360.9	13,516.5	(1,739.0)	-12.9%
Auto Rental	-	-	-	8.7	-	-	-	41.8	-	50.5	-	83.5	(33.0)	-39.5%
Cigarette/Tobacco Products	27.8	273.1	61.3	617.6	-	-	-	-	89.1	890.7	79.3	898.3	(7.6)	-0.8%
Medical Marihuana	-	-	1.0	7.2	-	-	-	-	1.0	7.2	0.5	4.8	2.4	50.0%
Motor Fuel	-	-	6.5	76.4	-	-	23.5	282.3	30.0	358.7	42.4	437.7	(79.0)	-18.0%
Alcoholic Beverage	29.9	238.4	-	-	-	-	-	-	29.9	238.4	34.6	234.1	4.3	1.8%
Highway Use	-	-	-	0.4	-	-	11.6	114.3	11.6	114.7	12.2	122.4	(7.7)	-6.3%
Vapor Excise	-	-	-	25.5	-	-	-	-	-	25.5	-	-	25.5	100.0%
Opioid Excise	7.1	29.9	-	-	-	-	-	-	7.1	29.9	16.9	16.9	13.0	76.9%
Total	**663.1**	**6,055.6**	**153.4**	**1,489.0**	**597.8**	**5,510.1**	**35.1**	**438.4**	**1,449.4**	**13,493.1**	**1,546.8**	**15,314.2**	**(1,821.1)**	**-11.9%**
BUSINESS TAXES														
Corporation Franchise	(21.9)	2,841.6	67.9	759.4	-	-	-	-	46.0	3,601.0	153.8	3,688.6	(87.6)	-2.4%
Corporation and Utilities	4.9	266.0	4.9	89.8	-	-	0.2	8.3	10.0	364.1	(1.3)	413.8	(49.7)	-12.0%
Insurance	(4.4)	1,194.1	(0.5)	136.6	-	-	-	-	(4.9)	1,330.7	1.4	1,528.0	(197.3)	-12.9%
Bank	(8.3)	138.7	(1.8)	16.8	-	-	-	-	(10.1)	155.5	(0.4)	(30.3)	185.8	613.2%
Petroleum Business	-	-	33.7	352.5	-	-	42.7	444.5	76.4	797.0	98.0	995.1	(198.1)	-19.9%
Total	**(29.7)**	**4,440.4**	**104.2**	**1,355.1**	**-**	**-**	**42.9**	**452.8**	**117.4**	**6,248.3**	**251.5**	**6,595.2**	**(346.9)**	**-5.3%**
OTHER TAXES														
Real Property Gains	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Estate and Gift	293.5	1,250.0	-	-	-	-	-	-	293.5	1,250.0	93.5	907.0	343.0	37.8%
Pari-Mutuel	0.6	8.7	-	-	-	-	-	-	0.6	8.7	0.7	12.9	(4.2)	-32.6%
Real Estate Transfer	-	-	-	-	110.9	674.0	11.9	95.3	122.8	769.3	94.8	952.0	(182.7)	-19.2%
Racing and Exhibitions	-	0.1	-	-	-	-	-	-	-	0.1	(0.1)	1.9	(1.8)	-94.7%
Employer Compensation Expense Tax	0.4	1.4	-	-	0.3	1.4	-	-	0.7	2.8	0.5	1.9	0.9	47.4%
Total	**294.5**	**1,260.2**	**-**	**-**	**111.2**	**675.4**	**11.9**	**95.3**	**417.6**	**2,030.9**	**189.4**	**1,875.7**	**155.2**	**8.3%**
Total Tax Receipts	**$ 3,682.4**	**$ 32,873.2**	**$ 2,229.6**	**$ 4,852.7**	**$ 5,435.5**	**$ 29,311.1**	**$ 89.9**	**$ 986.5**	**$ 11,437.4**	**$ 68,023.5**	**$ 10,886.9**	**$ 70,001.5**	**$ (1,978.0)**	**-2.8%**

STATE OF NEW YORK
GOVERNMENTAL FUNDS (*)
STATEMENT OF CASH FLOW
FISCAL YEAR 2020-2021
(amounts in millions)

	2020 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2021 JANUARY	FEBRUARY	MARCH	10 Months Ended January 31 2021	2020	$ Increase/ (Decrease)	% Increase/ Decrease
Beginning Fund Balance	**$ 14,284.8**	**$ 20,544.4**	**$ 17,650.3**	**$ 20,623.6**	**$ 28,288.0**	**$ 27,457.2**	**$ 25,800.5**	**$ 28,991.8**	**$ 27,525.0**	**$ 29,610.1**			**$ 14,284.8**	**$ 9,975.0**	**$ 4,309.8**	**43.2%**
RECEIPTS:																
Taxes:																
Personal Income Tax:																
Withholdings	3,187.3	2,928.3	3,096.3	3,400.3	2,876.6	3,147.2	2,919.5	3,032.3	4,648.0	4,626.5			33,862.3	33,882.4	(20.1)	-0.1%
Estimated Payments	211.6	70.9	1,493.0	6,329.0	121.3	2,510.0	176.8	99.6	335.5	4,810.2			16,157.9	16,798.0	(640.1)	-3.8%
Returns	339.1	124.7	260.9	1,765.1	69.3	83.4	528.0	51.4	32.3	22.5			3,276.7	3,224.5	52.2	1.6%
State/City Offsets	(69.8)	(39.8)	(58.4)	(187.0)	(28.1)	(71.7)	(444.9)	(65.9)	(58.3)	(3.5)			(1,027.4)	(1,005.2)	22.2	2.2%
Other (Assessments/LLC)	107.4	60.1	63.0	103.1	75.0	97.9	133.3	90.9	119.3	116.1			966.1	1,096.1	(130.0)	-11.9%
Gross Receipts	**3,775.6**	**3,144.2**	**4,854.8**	**11,410.5**	**3,114.1**	**5,766.8**	**3,312.7**	**3,208.3**	**5,076.8**	**9,571.8**	**-**	**-**	**53,235.6**	**53,995.8**	**(760.2)**	**-1.4%**
Transfers to School Tax Relief Fund	-	-	-	-	-	-	-	-	-	-			-	-	-	0.0%
Transfers to Revenue Bond Tax Fund	-	-	-	-	-	-	-	-	-	-			-	-	-	0.0%
Refunds Issued	(1,709.4)	(945.0)	(486.3)	(1,179.8)	(391.1)	(495.4)	(781.1)	(633.5)	(244.0)	(118.8)			(6,984.4)	(7,779.4)	(795.0)	-10.2%
Total Personal Income Tax	**2,066.2**	**2,199.2**	**4,368.5**	**10,230.7**	**2,723.0**	**5,271.4**	**2,531.6**	**2,574.8**	**4,832.8**	**9,453.0**	**-**	**-**	**46,251.2**	**46,216.4**	**34.8**	**0.1%**
Consumption/Use Taxes:																
Sales and Use	869.4	790.8	1,210.0	1,132.4	1,146.4	1,540.2	1,140.6	1,166.8	1,500.2	1,280.7			11,777.5	13,516.5	(1,739.0)	-12.9%
Auto Rental	0.5	(1.4)	13.5	2.1	2.1	14.5	0.1	-	19.1	-			50.5	83.5	(33.0)	-39.5%
Cigarette/Tobacco Products	98.8	74.0	86.1	97.8	87.0	103.4	81.0	86.0	87.5	89.1			890.7	898.3	(7.6)	-0.8%
Medical Marijuana	0.5	0.6	0.7	0.6	0.8	0.7	0.8	0.7	0.8	1.0			7.2	4.8	2.4	50.0%
Motor Fuel	30.3	21.4	31.5	39.7	42.5	41.6	39.6	39.7	42.4	30.0			358.7	437.7	(79.0)	-18.0%
Alcoholic Beverage	26.7	21.4	22.8	26.0	23.1	23.3	23.7	22.9	18.6	29.9			238.4	234.1	4.3	1.8%
Highway Use	11.6	8.9	12.4	12.4	10.3	12.7	10.6	11.5	12.7	11.6			114.7	122.4	(7.7)	-6.3%
Vapor Excise	-	0.1	11.7	(0.4)	(0.1)	7.4	-	-	6.8	-			25.5	-	25.5	100.0%
Opioid Excise	7.2	-	-	8.9	-	0.3	6.1	0.1	0.2	7.1			29.9	16.9	13.0	76.9%
Total Consumption/Use Taxes	**1,045.0**	**915.8**	**1,388.7**	**1,319.5**	**1,312.1**	**1,744.1**	**1,302.5**	**1,327.7**	**1,688.3**	**1,449.4**	**-**	**-**	**13,493.1**	**15,314.2**	**(1,821.1)**	**-11.9%**
Business Taxes:																
Corporation Franchise	254.4	(134.7)	557.5	563.2	29.7	1,000.7	115.0	90.1	1,079.1	46.0			3,601.0	3,688.6	(87.6)	-2.4%
Corporation and Utilities	15.6	(11.0)	94.9	33.3	2.3	106.3	10.2	0.5	102.0	10.0			364.1	413.8	(49.7)	-12.0%
Insurance	70.2	6.5	364.0	33.3	16.0	391.7	23.1	9.2	421.6	(4.9)			1,330.7	1,528.0	(197.3)	-12.9%
Bank	7.4	2.6	91.8	0.7	46.5	15.3	0.4	(0.7)	1.6	(10.1)			155.5	(30.3)	185.8	613.2%
Petroleum Business	68.3	39.8	85.7	87.9	87.0	98.4	89.5	82.8	81.2	76.4			797.0	995.1	(198.1)	-19.9%
Total Business Taxes	**415.9**	**(96.8)**	**1,193.9**	**718.4**	**181.5**	**1,612.4**	**238.2**	**181.9**	**1,685.5**	**117.4**	**-**	**-**	**6,248.3**	**6,595.2**	**(346.9)**	**-5.3%**
Other Taxes:																
Real Property Gains	-	-	-	-	-	-	-	-	-	-			-	-	-	0.0%
Estate and Gift	72.7	52.0	147.3	147.7	55.8	91.3	135.1	131.5	123.1	293.5			1,250.0	907.0	343.0	37.8%
Pari-Mutuel	0.7	0.2	0.8	1.0	1.4	0.7	1.6	0.8	0.9	0.6			8.7	12.9	(4.2)	-32.6%
Real Estate Transfer	57.2	48.4	49.8	64.9	68.3	82.2	77.8	90.8	107.1	122.8			769.3	952.0	(182.7)	-19.2%
Racing and Exhibitions	0.1	-	-	-	-	-	-	-	-	-			0.1	1.9	(1.8)	-94.7%
Employer Compensation Expense Tax	0.2	(0.2)	0.2	0.2	0.2	0.2	0.3	0.2	0.8	0.7			2.8	1.9	0.9	47.4%
Total Other Taxes	**130.9**	**100.4**	**198.1**	**213.8**	**125.7**	**174.4**	**214.8**	**223.3**	**231.9**	**417.6**	**-**	**-**	**2,030.9**	**1,875.7**	**155.2**	**8.3%**
Total Taxes	**3,658.0**	**3,118.6**	**7,149.2**	**12,482.4**	**4,342.3**	**8,802.3**	**4,287.1**	**4,307.7**	**8,438.5**	**11,437.4**	**-**	**-**	**68,023.5**	**70,001.5**	**(1,978.0)**	**-2.8%**
Miscellaneous Receipts:																
Abandoned Property:																
Abandoned Property	1.6	0.7	0.8	0.9	25.9	86.0	11.4	215.9	31.4	6.0			380.6	296.0	84.6	28.6%
Bottle Bill	0.7	0.3	20.6	18.4	0.1	43.2	2.1	0.7	21.4	0.8			108.3	95.6	12.7	13.3%
Assessments:																
Business	54.6	66.0	88.0	78.5	48.6	72.7	97.6	19.0	97.4	63.6			686.0	770.3	(84.3)	-10.9%
Medical Care	571.2	466.8	506.1	442.6	463.6	520.0	509.0	477.1	507.2	461.0			4,924.6	5,403.6	(479.0)	-8.9%
Public Utilities	0.1	-	0.4	4.4	0.4	45.4	(10.1)	(1.6)	0.7	-			39.7	44.0	(4.3)	-9.8%
Other	-	0.1	-	-	-	-	-	0.1	-	-			0.2	0.9	(0.7)	-77.8%
Fees, Licenses and Permits:																
Alcohol Beverage Control Licensing	2.2	2.9	2.9	4.9	4.8	5.4	5.0	3.8	3.0	4.9			39.8	62.8	(23.0)	-36.6%
Audit Fees	-	-	0.1	0.2	0.7	0.7	0.1	0.3	0.1	-			2.2	2.6	(0.4)	-15.4%
Business/Professional:	69.0	71.9	116.0	55.6	55.3	128.6	66.5	47.1	114.5	79.7			804.2	846.5	(42.3)	-5.0%
Civil	4.3	3.3	5.5	3.1	88.8	(9.8)	14.6	33.4	28.5	16.7			188.4	236.5	(48.1)	-20.3%
Criminal	0.6	0.4	0.2	1.6	-	0.2	0.5	0.1	0.2	0.7			4.5	7.4	(2.9)	-39.2%
Motor Vehicle	(29.8)	-	187.3	200.9	103.6	128.9	105.0	114.2	114.4	110.7			1,035.2	1,143.7	(108.5)	-9.5%
Recreational/Consumer	43.0	-	36.6	34.2	43.3	155.0	85.3	60.7	57.8	46.3			562.2	737.7	(175.5)	-23.8%
Fines, Penalties and Forfeitures	100.9	233.7	18.3	201.3	6.9	16.3	80.1	154.8	11.0	40.3			863.6	1,318.3	(454.7)	-34.5%
Gaming:																
Casino	-	-	-	20.8	-	8.0	33.4	10.0	10.2	27.1			109.5	246.3	(136.8)	-55.5%
Lottery	157.0	142.1	173.8	202.2	195.9	199.3	168.3	171.0	215.6	256.5			1,881.7	2,054.3	(172.6)	-8.4%
Video Lottery	-	0.6	-	(0.4)	-	33.8	59.6	54.2	58.0	58.9			264.7	808.7	(544.0)	-67.3%
Interest Earnings	32.9	18.2	9.2	5.2	6.7	6.2	5.8	5.9	5.9	6.4			102.4	365.1	(262.7)	-72.0%
Receipts from Public Authorities:																
Bond Proceeds	1,122.1	1,019.1	3,842.4	269.9	32.4	384.7	895.8	1.0	982.3	3.9			8,553.6	3,543.1	5,010.5	141.4%
Cost Recovery Assessments	-	-	-	-	-	-	8.9	-	-	-			8.9	40.5	(31.6)	-78.0%
Issuance Fees	0.5	1.4	25.5	24.7	-	1.8	30.2	0.5	21.6	4.3			110.5	83.5	27.0	32.3%
Non Bond Related	9.0	0.3	0.5	4.3	2.3	0.1	0.8	-	28.6	17.7			63.6	132.4	(68.8)	-52.0%
Receipts from Municipalities	9.3	2.5	3.9	3.8	2.0	4.7	3.5	3.5	5.1	4.1			42.4	65.6	(23.2)	-35.4%
Rentals	(4.6)	(41.7)	1.8	4.2	7.8	35.9	61.8	12.5	8.5	31.0			117.2	276.7	(159.5)	-57.6%

STATE OF NEW YORK
GOVERNMENTAL FUNDS (*)
STATEMENT OF CASH FLOW
FISCAL YEAR 2020-2021
(amounts in millions)

	2020 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2021 JANUARY	FEBRUARY	MARCH	10 Months Ended January 31 2021	2020	$ Increase/ (Decrease)	% Increase/ Decrease
Revenues of State Departments:																
Administrative Recoveries	25.0	8.8	25.4	9.1	8.9	25.6	24.5	8.8	22.6	8.3			167.0	175.8	(8.8)	-5.0%
Commissions	0.6	(0.3)	0.2	0.2	-	1.3	0.2	0.9	14.2	1.2			18.5	20.3	(1.8)	-8.9%
Commissions - Asset Conversion	-	-	-	-	-	-	-	-	68.0	50.0			118.0	468.0	(350.0)	-74.8%
Gifts, Grants and Donations	0.6	2.2	27.9	0.7	1.9	2.1	5.9	0.7	3.4	0.3			45.7	27.3	18.4	67.4%
Indirect Cost Recoveries	5.5	5.4	7.4	5.9	6.1	5.7	5.0	5.5	8.7	6.3			61.5	70.5	(9.0)	-12.8%
Patient/Client Care Reimbursement	526.5	372.8	350.6	227.5	221.8	79.0	291.4	187.1	371.4	208.1			2,836.2	2,120.1	716.1	33.8%
Rebates	7.8	15.3	13.2	17.7	12.7	12.7	9.8	13.8	12.7	10.9			126.6	141.4	(14.8)	-10.5%
Restitution and Settlements	7.0	0.6	0.6	0.3	42.7	5.3	3.6	1.3	2.0	22.6			86.0	63.4	22.6	35.6%
Student Loans	6.1	1.4	3.2	6.4	6.8	3.7	6.0	3.6	4.9	2.2			44.3	57.0	(12.7)	-22.3%
All Other	(19.5)	10.7	30.0	75.6	46.8	65.5	75.7	42.8	41.3	50.6			419.5	563.8	(144.3)	-25.6%
Sales	0.5	0.6	2.6	3.0	0.6	0.7	1.8	0.8	0.6	1.2			12.4	19.6	(7.2)	-36.7%
Tuition	(67.5)	33.6	56.9	50.9	113.3	375.7	172.8	49.7	(32.8)	150.4			903.0	1,180.8	(277.8)	-23.5%
Total Miscellaneous Receipts	**2,637.2**	**2,439.7**	**5,557.9**	**1,978.6**	**1,550.7**	**2,444.4**	**2,831.9**	**1,699.2**	**2,840.4**	**1,752.7**	**-**	**-**	**25,732.7**	**23,490.1**	**2,242.6**	**9.5%**
Federal Receipts	10,863.1	4,207.0	7,520.2	5,423.2	4,591.2	10,136.1	7,609.2	4,274.8	7,541.3	5,908.6			68,074.7	55,464.2	12,610.5	22.7%
Total Receipts	**17,158.3**	**9,765.3**	**20,227.3**	**19,884.2**	**10,484.2**	**21,382.8**	**14,728.2**	**10,281.7**	**18,820.2**	**19,098.7**	**-**	**-**	**161,830.9**	**148,955.8**	**12,875.1**	**8.6%**
DISBURSEMENTS:																
Local Assistance Grants:																
Education	1,149.2	4,132.7	3,964.9	799.2	1,070.5	4,157.3	1,350.8	1,804.3	2,665.5	2,974.1			24,068.5	26,308.9	(2,240.4)	-8.5%
Environment and Recreation	4.5	3.7	14.0	12.9	20.6	15.6	7.7	10.0	20.8	36.6			146.4	385.3	(238.9)	-62.0%
General Government	48.5	17.7	543.7	67.4	109.0	3,937.2	322.4	159.0	333.9	93.6			5,632.4	1,945.8	3,686.6	189.5%
Public Health:																
Medicaid	5,410.3	5,099.6	6,082.5	5,357.6	5,292.8	7,004.6	4,286.1	4,812.6	6,709.3	5,415.5			55,470.9	55,670.9	(200.0)	-0.4%
Other Public Health	602.5	638.5	980.4	1,028.7	701.7	1,078.5	815.2	774.3	864.5	1,028.5			8,512.8	8,376.6	136.2	1.6%
Public Safety	95.3	63.6	163.3	265.2	71.2	151.0	634.7	56.8	180.7	95.7			1,777.5	1,455.8	321.7	22.1%
Public Welfare	211.8	217.7	388.7	850.8	364.1	1,487.5	479.0	394.6	426.5	436.7			5,257.4	5,995.7	(738.3)	-12.3%
Support and Regulate Business	48.1	15.1	35.9	26.7	84.5	22.3	64.2	54.2	144.9	31.4			527.3	898.9	(371.6)	-41.3%
Transportation	90.0	90.9	72.6	825.5	509.6	618.1	745.0	956.3	1,009.2	275.1			5,192.3	4,801.6	390.7	8.1%
Total Local Assistance Grants	**7,660.2**	**10,279.5**	**12,246.0**	**9,234.0**	**8,224.0**	**18,472.1**	**8,705.1**	**9,022.1**	**12,355.3**	**10,387.2**	**-**	**-**	**106,585.5**	**105,839.5**	**746.0**	**0.7%**
Departmental Operations:																
Personal Service	1,569.5	1,135.9	1,116.4	1,278.8	1,053.9	1,527.0	1,119.2	1,090.2	1,298.5	1,078.8			12,268.2	12,501.3	(233.1)	-1.9%
Non-Personal Service	584.1	417.0	504.4	577.1	605.9	756.7	620.6	492.9	641.0	637.7			5,837.4	5,626.8	210.6	3.7%
General State Charges	535.2	395.0	2,621.5	471.9	414.0	616.1	511.7	500.1	474.5	567.8			7,107.8	7,680.9	(573.1)	-7.5%
Debt Service, Including Payments on Financing Agreements	36.5	23.5	28.9	10.7	337.6	841.8	39.8	30.4	1,332.0	10.6			2,691.8	1,556.8	1,135.0	72.9%
Capital Projects	509.8	406.0	681.8	641.4	667.7	706.7	533.6	609.8	630.5	453.5			5,840.8	5,976.8	(136.0)	-2.3%
Total Disbursements	**10,895.3**	**12,656.9**	**17,199.0**	**12,213.9**	**11,303.1**	**22,920.4**	**11,530.0**	**11,745.5**	**16,731.8**	**13,135.6**	**-**	**-**	**140,331.5**	**139,182.1**	**1,149.4**	**0.8%**
Excess (Deficiency) of Receipts over Disbursements	**6,263.0**	**(2,891.6)**	**3,028.3**	**7,670.3**	**(818.9)**	**(1,537.6)**	**3,198.2**	**(1,463.8)**	**2,088.4**	**5,963.1**	**-**	**-**	**21,499.4**	**9,773.7**	**11,725.7**	**120.0%**
OTHER FINANCING SOURCES (USES):																
Bond and Note Proceeds (net)	-	-	-	-	-	-	-	-	-	-			-	-	-	0.0%
Transfers from Other Funds	1,063.1	1,858.4	4,185.9	5,857.2	1,882.7	3,319.7	1,882.5	2,244.8	3,331.2	2,997.9			28,623.4	37,629.6	(9,006.2)	-23.9%
Transfers to Other Funds	(1,066.5)	(1,860.9)	(4,240.9)	(5,863.1)	(1,894.6)	(3,438.8)	(1,889.4)	(2,247.8)	(3,334.5)	(2,999.2)			(28,835.7)	(37,750.3)	(8,914.6)	-23.6%
Total Other Financing Sources (Uses)	**(3.4)**	**(2.5)**	**(55.0)**	**(5.9)**	**(11.9)**	**(119.1)**	**(6.9)**	**(3.0)**	**(3.3)**	**(1.3)**	**-**	**-**	**(212.3)**	**(120.7)**	**(91.6)**	**-75.9%**
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	**6,259.6**	**(2,894.1)**	**2,973.3**	**7,664.4**	**(830.8)**	**(1,656.7)**	**3,191.3**	**(1,466.8)**	**2,085.1**	**5,961.8**	**-**	**-**	**21,287.1**	**9,653.0**	**11,634.1**	**120.5%**
Ending Fund Balance	**$ 20,544.4**	**$ 17,650.3**	**$ 20,623.6**	**$ 28,288.0**	**$ 27,457.2**	**$ 25,800.5**	**$ 28,991.8**	**$ 27,525.0**	**$ 29,610.1**	**$ 35,571.9**	**$ -**	**$ -**	**$ 35,571.9**	**$ 19,628.0**	**$ 15,943.9**	**81.2%**

(*) Governmental Funds includes General, Special Revenue, Debt Service and Capital Projects Funds combined.

STATE OF NEW YORK
GOVERNMENTAL FUNDS
STATEMENT OF CASH FLOW - STATE OPERATING (*)
FISCAL YEAR 2020-2021
(amounts in millions)

	2020 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2021 JANUARY	FEBRUARY	MARCH	10 Months Ended January 31 2021	2020	$ Increase/ (Decrease)	% Increase/ Decrease
Beginning Fund Balance	**$ 14,408.3**	**$ 16,171.6**	**$ 13,542.7**	**$ 14,605.2**	**$ 22,665.9**	**$ 22,416.0**	**$ 22,583.0**	**$ 23,825.2**	**$ 22,940.5**	**$ 25,029.5**			**$ 14,408.3**	**$ 12,361.3**	**$ 2,047.0**	**16.6%**
RECEIPTS:																
Taxes:																
Personal Income Tax:																
Withholdings	3,187.3	2,928.3	3,096.3	3,400.3	2,876.6	3,147.2	2,919.5	3,032.3	4,648.0	4,626.5			33,862.3	33,882.4	(20.1)	-0.1%
Estimated Payments	211.6	70.9	1,493.0	6,329.0	121.3	2,510.0	176.8	99.6	335.5	4,810.2			16,157.9	16,798.0	(640.1)	-3.8%
Returns	339.1	124.7	260.9	1,765.1	69.3	83.4	528.0	51.4	32.3	22.5			3,276.7	3,224.5	52.2	1.6%
State/City Offsets	(69.8)	(39.8)	(58.4)	(187.0)	(28.1)	(71.7)	(444.9)	(65.9)	(58.3)	(3.5)			(1,027.4)	(1,005.2)	22.2	2.2%
Other (Assessments/LLC)	107.4	60.1	63.0	103.1	75.0	97.9	133.3	90.9	119.3	116.1			966.1	1,096.1	(130.0)	-11.9%
Gross Receipts	**3,775.6**	**3,144.2**	**4,854.8**	**11,410.5**	**3,114.1**	**5,766.8**	**3,312.7**	**3,208.3**	**5,076.8**	**9,571.8**	**-**	**-**	**53,235.6**	**53,995.8**	**(760.2)**	**-1.4%**
Transfers to School Tax Relief Fund	-	-	-	-	-	-	-	-	-	-			-	-	-	0.0%
Transfers to Revenue Bond Tax Fund	-	-	-	-	-	-	-	-	-	-			-	-	-	0.0%
Refunds Issued	(1,709.4)	(945.0)	(486.3)	(1,179.8)	(391.1)	(495.4)	(781.1)	(633.5)	(244.0)	(118.8)			(6,984.4)	(7,779.4)	(795.0)	-10.2%
Total Personal Income Tax	**2,066.2**	**2,199.2**	**4,368.5**	**10,230.7**	**2,723.0**	**5,271.4**	**2,531.6**	**2,574.8**	**4,832.8**	**9,453.0**	**-**	**-**	**46,251.2**	**46,216.4**	**34.8**	**0.1%**
Consumption/Use Taxes:																
Sales and Use	869.4	790.8	1,210.0	1,132.4	1,146.4	1,540.2	1,140.6	1,166.8	1,500.2	1,280.7			11,777.5	13,516.5	(1,739.0)	-12.9%
Auto Rental	(0.1)	(1.5)	3.4	2.1	2.1	(1.1)	-	-	3.8	-			8.7	12.9	(4.2)	-32.6%
Cigarette/Tobacco Products	98.8	74.0	86.1	97.8	87.0	103.4	81.0	86.0	87.5	89.1			890.7	898.3	(7.6)	-0.8%
Medical Marijuana	0.5	0.6	0.7	0.6	0.8	0.7	0.8	0.7	0.8	1.0			7.2	4.8	2.4	50.0%
Motor Fuel	6.5	4.7	6.6	8.5	9.1	8.9	8.3	8.6	8.7	6.5			76.4	92.5	(16.1)	-17.4%
Alcoholic Beverage	26.7	21.4	22.8	26.0	23.1	23.3	23.7	22.9	18.6	29.9			238.4	234.1	4.3	1.8%
Highway Use	-	0.1	-	-	0.1	-	-	0.1	0.1	-			0.4	0.4	-	0.0%
Vapor Excise	-	0.1	11.7	(0.4)	(0.1)	7.4	-	-	6.8	-			25.5	-	25.5	100.0%
Opioid Excise	7.2	-	-	8.9	-	0.3	6.1	0.1	0.2	7.1			29.9	16.9	13.0	76.9%
Total Consumption/Use Taxes	**1,009.0**	**890.2**	**1,341.3**	**1,275.9**	**1,268.5**	**1,683.1**	**1,260.5**	**1,285.2**	**1,626.7**	**1,414.3**	**-**	**-**	**13,054.7**	**14,776.4**	**(1,721.7)**	**-11.7%**
Business Taxes:																
Corporation Franchise	254.4	(134.7)	557.5	563.2	29.7	1,000.7	115.0	90.1	1,079.1	46.0			3,601.0	3,688.6	(87.6)	-2.4%
Corporation and Utilities	15.5	(9.5)	94.3	29.7	2.2	104.1	9.5	0.5	99.7	9.8			355.8	402.7	(46.9)	-11.6%
Insurance	70.2	6.5	364.0	33.3	16.0	391.7	23.1	9.2	421.6	(4.9)			1,330.7	1,528.0	(197.3)	-12.9%
Bank	7.4	2.6	91.8	0.7	46.5	15.3	0.4	(0.7)	1.6	(10.1)			155.5	(30.3)	185.8	613.2%
Petroleum Business	30.3	17.6	37.7	38.8	38.7	43.2	39.6	36.5	36.4	33.7			352.5	436.5	(84.0)	-19.2%
Total Business Taxes	**377.8**	**(117.5)**	**1,145.3**	**665.7**	**133.1**	**1,555.0**	**187.6**	**135.6**	**1,638.4**	**74.5**	**-**	**-**	**5,795.5**	**6,025.5**	**(230.0)**	**-3.8%**
Other Taxes:																
Real Property Gains	-	-	-	-	-	-	-	-	-	-			-	-	-	0.0%
Estate and Gift	72.7	52.0	147.3	147.7	55.8	91.3	135.1	131.5	123.1	293.5			1,250.0	907.0	343.0	37.8%
Pari-Mutuel	0.7	0.2	0.8	1.0	1.4	0.7	1.6	0.8	0.9	0.6			8.7	12.9	(4.2)	-32.6%
Real Estate Transfer	57.2	48.4	37.9	53.0	56.4	70.3	65.8	78.9	95.2	110.9			674.0	856.7	(182.7)	-21.3%
Racing and Exhibitions	0.1	-	-	-	-	-	-	-	-	-			0.1	1.9	(1.8)	-94.7%
Employer Compensation Expense Tax	0.2	(0.2)	0.2	0.2	0.2	0.2	0.3	0.2	0.8	0.7			2.8	1.9	0.9	47.4%
Total Other Taxes	**130.9**	**100.4**	**186.2**	**201.9**	**113.8**	**162.5**	**202.8**	**211.4**	**220.0**	**405.7**	**-**	**-**	**1,935.6**	**1,780.4**	**155.2**	**8.7%**
Total Taxes	**3,583.9**	**3,072.3**	**7,041.3**	**12,374.2**	**4,238.4**	**8,672.0**	**4,182.5**	**4,207.0**	**8,317.9**	**11,347.5**	**-**	**-**	**67,037.0**	**68,798.7**	**(1,761.7)**	**-2.6%**
Miscellaneous Receipts:																
Abandoned Property:																
Abandoned Property	1.6	0.7	0.8	0.9	25.9	86.0	11.4	215.9	31.4	6.0			380.6	296.0	84.6	28.6%
Bottle Bill	0.7	0.3	20.6	(4.6)	0.1	43.2	2.1	0.7	21.4	0.8			85.3	72.6	12.7	17.5%
Assessments:																
Business	42.7	21.8	82.4	69.8	33.4	65.5	88.9	6.0	90.8	55.8			557.1	616.9	(59.8)	-9.7%
Medical Care	571.2	466.8	506.1	442.6	463.6	520.0	509.0	477.1	507.2	461.0			4,924.6	5,403.6	(479.0)	-8.9%
Public Utilities	0.1	-	0.4	4.4	0.4	45.4	(10.1)	(1.6)	0.7	-			39.7	44.0	(4.3)	-9.8%
Other	-	0.1	-	-	-	-	-	0.1	-	-			0.2	0.9	(0.7)	-77.8%
Fees, Licenses and Permits:																
Alcohol Beverage Control Licensing	2.2	2.9	2.9	4.9	4.8	5.4	5.0	3.8	3.0	4.9			39.8	62.8	(23.0)	-36.6%
Audit Fees	-	-	0.1	0.2	0.7	0.7	0.1	0.3	0.1	-			2.2	2.6	(0.4)	-15.4%
Business/Professional	67.2	69.8	114.4	54.3	46.0	125.5	64.0	44.8	113.9	79.3			779.2	817.5	(38.3)	-4.7%
Civil	4.3	3.3	5.5	3.1	88.8	(9.8)	14.6	33.4	28.5	16.7			188.4	236.5	(48.1)	-20.3%
Criminal	0.6	0.4	0.2	1.6	-	0.2	0.5	0.1	0.2	0.7			4.5	7.4	(2.9)	-39.2%
Motor Vehicle	(82.0)	(33.2)	144.2	140.3	40.2	71.5	44.3	53.9	54.8	50.8			494.8	529.1	(44.3)	-8.4%
Recreational/Consumer	43.0	-	36.1	34.2	32.3	147.3	85.2	60.7	57.0	46.2			542.0	706.9	(164.9)	-23.3%
Fines, Penalties and Forfeitures	98.6	231.6	16.1	199.9	3.5	13.8	78.1	153.2	9.6	37.5			841.9	1,291.0	(449.1)	-34.8%
Gaming:																
Casino	-	-	-	20.8	-	8.0	33.4	10.0	10.2	27.1			109.5	246.3	(136.8)	-55.5%
Lottery	157.0	142.1	173.8	202.2	195.9	199.3	168.3	171.0	215.6	256.5			1,881.7	2,054.3	(172.6)	-8.4%
Video Lottery	-	0.6	-	(0.4)	-	33.8	59.6	54.2	58.0	58.9			264.7	808.7	(544.0)	-67.3%
Interest Earnings	29.2	13.8	7.7	4.3	5.4	5.3	5.0	5.0	5.1	5.6			86.4	331.7	(245.3)	-74.0%
Receipts from Public Authorities:																
Bond Proceeds	-	1,000.0	3,500.0	-	-	-	-	-	0.4	-			4,500.4	-	4,500.4	100.0%
Cost Recovery Assessments	-	-	-	-	-	-	8.9	-	-	-			8.9	40.5	(31.6)	-78.0%

STATE OF NEW YORK
GOVERNMENTAL FUNDS
STATEMENT OF CASH FLOW - STATE OPERATING (*)
FISCAL YEAR 2020-2021
(amounts in millions)

	2020 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2021 JANUARY	FEBRUARY	MARCH	10 Months Ended January 31 2021	2020	$ Increase/ (Decrease)	% Increase/ Decrease
Issuance Fees	0.5	1.4	25.5	24.7	-	1.8	30.2	0.5	21.6	4.3			110.5	83.5	27.0	32.3%
Non Bond Related	8.9	0.3	-	4.2	1.8	-	0.4	-	28.2	2.5			46.3	80.1	(33.8)	-42.2%
Receipts from Municipalities	9.3	2.5	3.8	3.8	1.7	4.7	3.4	3.5	5.1	4.0			41.8	62.5	(20.7)	-33.1%
Rentals	(5.1)	(42.8)	(0.3)	2.0	4.0	34.7	61.0	11.6	7.6	29.4			102.1	268.6	(166.5)	-62.0%
Revenues of State Departments:																
Administrative Recoveries	25.0	8.8	25.4	9.1	8.9	25.6	24.5	8.8	22.6	8.3			167.0	175.8	(8.8)	-5.0%
Commissions	0.6	(0.3)	0.2	0.2	-	1.3	0.2	0.9	14.2	1.2			18.5	20.3	(1.8)	-8.9%
Commissions - Asset Conversion	-	-	-	-	-	-	-	-	68.0	50.0			118.0	468.0	(350.0)	-74.8%
Gifts, Grants and Donations	0.6	1.5	22.1	0.7	0.6	0.5	5.1	0.3	1.7	0.1			33.2	6.9	26.3	381.2%
Indirect Cost Recoveries	5.5	5.4	7.4	5.9	6.1	5.7	5.0	5.5	8.7	6.3			61.5	71.4	(9.9)	-13.9%
Patient/Client Care Reimbursement	526.5	372.8	350.6	227.5	221.8	79.0	291.4	187.1	371.4	208.1			2,836.2	2,120.1	716.1	33.8%
Rebates	0.1	6.6	5.7	10.2	5.3	5.0	2.3	6.4	4.8	3.7			50.1	58.7	(8.6)	-14.7%
Restitution and Settlements	3.9	0.4	0.6	0.2	41.8	3.9	0.3	0.9	1.2	21.7			74.9	51.4	23.5	45.7%
Student Loans	6.1	1.4	3.2	6.4	6.8	3.7	6.0	3.6	4.9	2.2			44.3	57.0	(12.7)	-22.3%
All Other	(20.1)	8.6	18.0	75.3	45.5	51.9	70.9	41.5	39.2	63.1			393.9	504.5	(110.6)	-21.9%
Sales	0.5	0.6	2.6	3.0	0.5	0.6	1.8	0.8	0.6	1.1			12.1	14.9	(2.8)	-18.8%
Tuition	(67.5)	33.6	56.9	50.9	113.3	375.7	172.8	49.7	(32.8)	150.4			903.0	1,180.8	(277.8)	-23.5%
Total Miscellaneous Receipts	**1,431.2**	**2,321.8**	**5,133.0**	**1,602.6**	**1,399.1**	**1,955.2**	**1,843.6**	**1,609.7**	**1,774.9**	**1,664.2**	**-**	**-**	**20,735.3**	**18,793.8**	**1,941.5**	**10.3%**
Federal Receipts	-	-	4.1	(4.1)	2.5	49.9	12.9	(33.5)	12.5	-			44.3	29.7	14.6	49.2%
Total Receipts	**5,015.1**	**5,394.1**	**12,178.4**	**13,972.7**	**5,640.0**	**10,677.1**	**6,039.0**	**5,783.2**	**10,105.3**	**13,011.7**	**-**	**-**	**87,816.6**	**87,622.2**	**194.4**	**0.2%**
DISBURSEMENTS:																
Local Assistance Grants:																
Education	754.3	4,065.1	3,409.1	563.6	856.2	3,861.8	1,200.2	1,559.5	2,583.1	2,609.0			21,461.9	23,183.9	(1,722.0)	-7.4%
Environment and Recreation	0.1	0.3	-	0.2	(0.1)	0.4	0.7	0.2	0.3	0.2			2.3	4.5	(2.2)	-48.9%
General Government	16.1	4.6	500.0	20.4	33.6	62.5	77.7	18.1	184.1	32.0			949.1	1,137.3	(188.2)	-16.5%
Public Health:																
Medicaid	757.7	1,757.8	2,497.4	2,043.9	1,856.5	2,135.5	1,416.5	1,503.7	2,122.7	1,469.1			17,560.8	21,545.3	(3,984.5)	-18.5%
Other Public Health	93.5	72.8	307.2	486.1	118.0	438.1	249.0	234.8	263.3	340.2			2,603.0	2,636.1	(33.1)	-1.3%
Public Safety	20.9	11.1	4.5	16.9	29.4	34.6	17.3	(6.7)	66.0	16.9			210.9	255.3	(44.4)	-17.4%
Public Welfare	77.0	158.2	61.4	578.1	57.1	426.8	70.3	222.3	168.2	152.8			1,972.2	2,011.0	(38.8)	-1.9%
Support and Regulate Business	4.6	7.1	9.0	5.4	11.0	10.9	9.7	26.2	10.9	10.0			104.8	159.6	(54.8)	-34.3%
Transportation	61.7	41.7	18.5	726.3	357.9	243.1	256.4	446.1	781.3	70.4			3,003.4	3,344.8	(341.4)	-10.2%
Total Local Assistance Grants	**1,785.9**	**6,118.7**	**6,807.1**	**4,440.9**	**3,319.6**	**7,213.7**	**3,297.8**	**4,004.2**	**6,179.9**	**4,700.6**	**-**	**-**	**47,868.4**	**54,277.8**	**(6,409.4)**	**-11.8%**
Departmental Operations:																
Personal Service	1,494.8	1,084.9	955.5	1,114.0	990.1	1,443.0	987.4	976.5	(98.0)	963.9			9,912.1	11,964.2	(2,052.1)	-17.2%
Non-Personal Service	543.3	372.9	335.8	(308.2)	384.5	528.7	432.0	417.2	435.2	456.5			3,597.9	4,526.2	(928.3)	-20.5%
General State Charges	512.5	370.3	2,582.6	388.2	343.3	592.6	434.0	470.8	362.1	(217.5)			5,838.9	7,402.4	(1,563.5)	-21.1%
Debt Service, Including Payments on Financing Agreements	36.5	23.5	28.9	10.7	337.6	841.8	39.8	30.4	1,229.8	10.6			2,589.6	1,556.8	1,032.8	66.3%
Capital Projects	-	-	-	-	-	-	-	-	-	-			-	-	-	0.0%
Total Disbursements	**4,373.0**	**7,970.3**	**10,709.9**	**5,645.6**	**5,375.1**	**10,619.8**	**5,191.0**	**5,899.1**	**8,109.0**	**5,914.1**	**-**	**-**	**69,806.9**	**79,727.4**	**(9,920.5)**	**-12.4%**
Excess (Deficiency) of Receipts over Disbursements	**642.1**	**(2,576.2)**	**1,468.5**	**8,327.1**	**264.9**	**57.3**	**848.0**	**(115.9)**	**1,996.3**	**7,097.6**	**-**	**-**	**18,009.7**	**7,894.8**	**10,114.9**	**128.1%**
OTHER FINANCING SOURCES (USES):																
Transfers from Other Funds (**)	1,939.1	1,674.9	3,796.1	5,367.5	1,326.8	3,217.1	2,037.9	1,382.5	3,217.2	2,534.9			26,494.0	34,398.6	(7,904.6)	-23.0%
Transfers to Other Funds (**)	(817.9)	(1,727.6)	(4,202.1)	(5,633.9)	(1,841.6)	(3,107.4)	(1,643.7)	(2,151.3)	(3,124.5)	(2,815.5)			(27,065.5)	(35,645.6)	(8,580.1)	-24.1%
Total Other Financing Sources (Uses)	**1,121.2**	**(52.7)**	**(406.0)**	**(266.4)**	**(514.8)**	**109.7**	**394.2**	**(768.8)**	**92.7**	**(280.6)**	**-**	**-**	**(571.5)**	**(1,247.0)**	**675.5**	**54.2%**
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	**1,763.3**	**(2,628.9)**	**1,062.5**	**8,060.7**	**(249.9)**	**167.0**	**1,242.2**	**(884.7)**	**2,089.0**	**6,817.0**	**-**	**-**	**17,438.2**	**6,647.8**	**10,790.4**	**162.3%**
Ending Fund Balance	**$ 16,171.6**	**$ 13,542.7**	**$ 14,605.2**	**$ 22,665.9**	**$ 22,416.0**	**$ 22,583.0**	**$ 23,825.2**	**$ 22,940.5**	**$ 25,029.5**	**$ 31,846.5**	**$ -**	**$ -**	**$ 31,846.5**	**$ 19,009.1**	**$ 12,837.4**	**67.5%**

(*) State Operating Funds are comprised of the General Fund, State Special Revenue Funds supported by activities from dedicated revenue sources (including operating transfers from Federal funds) and Debt Service Funds.
(**) Eliminations between State and Federal Special Revenue Funds are not included.

STATE OF NEW YORK
GENERAL FUND
STATEMENT OF CASH FLOW
FISCAL YEAR 2020-2021
(amounts in millions)

EXHIBIT F

	2020 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2021 JANUARY	FEBRUARY	MARCH	10 Months Ended January 31 2021	2020	$ Increase/ (Decrease)	% Increase/ Decrease
Beginning Fund Balance	$ 8,944.2	$ 10,082.5	$ 7,310.2	$ 6,863.6	$ 14,383.0	$ 13,522.7	$ 15,441.8	$ 14,930.8	$ 13,602.7	$ 16,552.4			$ 8,944.2	$ 7,205.7	$ 1,738.5	24.1%
RECEIPTS:																
Taxes:																
Personal Income Tax:																
Withholdings	3,187.3	2,928.3	3,096.3	3,400.3	2,876.6	3,147.2	2,919.5	3,032.3	4,648.0	4,626.5			33,862.3	33,882.4	(20.1)	-0.1%
Estimated Payments	211.6	70.9	1,493.0	6,329.0	121.3	2,510.0	176.8	99.6	335.5	4,810.2			16,157.9	16,798.0	(640.1)	-3.8%
Returns	339.1	124.7	260.9	1,765.1	69.3	83.4	528.0	51.4	32.3	22.5			3,276.7	3,224.5	52.2	1.6%
State/City Offsets	(69.8)	(39.8)	(58.4)	(187.0)	(28.1)	(71.7)	(444.9)	(65.9)	(58.3)	(3.5)			(1,027.4)	(1,005.2)	22.2	2.2%
Other (Assessments/LLC)	107.4	60.1	63.0	103.1	75.0	97.9	133.3	90.9	119.3	116.1			966.1	1,096.1	(130.0)	-11.9%
Gross Receipts	**3,775.6**	**3,144.2**	**4,854.8**	**11,410.5**	**3,114.1**	**5,766.8**	**3,312.7**	**3,208.3**	**5,076.8**	**9,571.8**	**-**	**-**	**53,235.6**	**53,995.8**	**(760.2)**	**-1.4%**
Transfers to School Tax Relief Fund	-	-	-	-	-	(0.1)	(0.1)	(1.4)	(35.0)	(1,972.0)			(2,008.6)	(2,149.1)	(140.5)	-6.5%
Transfers to Revenue Bond Tax Fund	(1,033.1)	(1,099.6)	(2,184.2)	(5,115.4)	(1,361.5)	(2,635.7)	(1,265.8)	(1,287.4)	(2,416.4)	(4,726.5)			(23,125.6)	(23,108.2)	17.4	0.1%
Refunds Issued	(1,709.4)	(945.0)	(486.3)	(1,179.8)	(391.1)	(495.4)	(781.1)	(633.5)	(244.0)	(118.8)			(6,984.4)	(7,779.4)	(795.0)	-10.2%
Total Personal Income Tax	**1,033.1**	**1,099.6**	**2,184.3**	**5,115.3**	**1,361.5**	**2,635.6**	**1,265.7**	**1,286.0**	**2,381.4**	**2,754.5**	**-**	**-**	**21,117.0**	**20,959.1**	**157.9**	**0.8%**
Consumption/Use Taxes:																
Sales and Use	394.9	369.9	572.4	530.0	536.5	748.0	511.5	545.5	707.2	598.3			5,514.2	6,298.8	(784.6)	-12.5%
Auto Rental	-	-	-	-	-	-	-	-	-	-			-	-	-	0.0%
Cigarette/Tobacco Products	30.0	22.7	25.9	29.0	26.6	32.4	25.3	26.9	26.5	27.8			273.1	272.2	0.9	0.3%
Motor Fuel	-	-	-	-	-	-	-	-	-	-			-	-	-	0.0%
Alcoholic Beverage	26.7	21.4	22.8	26.0	23.1	23.3	23.7	22.9	18.6	29.9			238.4	234.1	4.3	1.8%
Highway Use	-	-	-	-	-	-	-	-	-	-			-	-	-	0.0%
Vapor Excise	-	-	-	-	-	-	-	-	-	-			-	-	-	0.0%
Opioid Excise	7.2	-	-	8.9	-	0.3	6.1	0.1	0.2	7.1			29.9	16.9	13.0	76.9%
Total Consumption/Use Taxes	**458.8**	**414.0**	**621.1**	**593.9**	**586.2**	**804.0**	**566.6**	**595.4**	**752.5**	**663.1**	**-**	**-**	**6,055.6**	**6,822.0**	**(766.4)**	**-11.2%**
Business Taxes:																
Corporation Franchise	197.4	(131.5)	447.4	449.2	18.0	835.0	74.3	65.6	908.1	(21.9)			2,841.6	2,923.8	(82.2)	-2.8%
Corporation and Utilities	13.3	(2.6)	73.8	12.7	3.6	79.7	5.4	0.4	74.8	4.9			266.0	293.3	(27.3)	-9.3%
Insurance	63.0	6.9	325.4	28.9	15.6	348.4	21.9	8.9	379.5	(4.4)			1,194.1	1,345.2	(151.1)	-11.2%
Bank	6.1	2.0	78.9	0.7	40.4	18.4	(0.1)	(0.5)	1.1	(8.3)			138.7	(28.4)	167.1	588.4%
Petroleum Business	-	-	-	-	-	-	-	-	-	-			-	-	-	0.0%
Total Business Taxes	**279.8**	**(125.2)**	**925.5**	**491.5**	**77.6**	**1,281.5**	**101.5**	**74.4**	**1,363.5**	**(29.7)**	**-**	**-**	**4,440.4**	**4,533.9**	**(93.5)**	**-2.1%**
Other Taxes:																
Real Property Gains	-	-	-	-	-	-	-	-	-	-			-	-	-	0.0%
Estate and Gift	72.7	52.0	147.3	147.7	55.8	91.3	135.1	131.5	123.1	293.5			1,250.0	907.0	343.0	37.8%
Pari-Mutuel	0.7	0.2	0.8	1.0	1.4	0.7	1.6	0.8	0.9	0.6			8.7	12.9	(4.2)	-32.6%
Real Estate Transfer	-	-	-	-	-	-	-	-	-	-			-	-	-	0.0%
Racing and Exhibitions	0.1	-	-	-	-	-	-	-	-	-			0.1	1.9	(1.8)	-94.7%
Employer Compensation Expense Tax	0.1	(0.1)	0.1	0.1	0.1	0.1	0.1	0.1	0.4	0.4			1.4	0.9	0.5	55.6%
Total Other Taxes	**73.6**	**52.1**	**148.2**	**148.8**	**57.3**	**92.1**	**136.8**	**132.4**	**124.4**	**294.5**	**-**	**-**	**1,260.2**	**922.7**	**337.5**	**36.6%**
Total Taxes	**1,845.3**	**1,440.5**	**3,879.1**	**6,349.5**	**2,082.6**	**4,813.2**	**2,070.6**	**2,088.2**	**4,621.8**	**3,682.4**	**-**	**-**	**32,873.2**	**33,237.7**	**(364.5)**	**-1.1%**
Miscellaneous Receipts:																
Abandoned Property:																
Abandoned Property	0.4	-	-	-	25.1	84.8	10.6	215.0	30.1	5.1			371.1	286.3	84.8	29.6%
Bottle Bill	0.7	0.3	20.6	(4.6)	0.1	43.2	2.1	0.7	21.4	0.8			85.3	72.6	12.7	17.5%
Assessments:																
Business	-	-	-	-	-	-	-	-	-	-			-	-	-	0.0%
Medical Care	1.9	2.1	1.7	1.8	2.1	2.7	3.3	6.3	-	12.7			34.6	34.2	0.4	1.2%
Public Utilities	-	-	-	-	-	-	-	-	-	-			-	-	-	0.0%
Other	-	0.1	-	-	-	-	-	0.1	-	-			0.2	0.5	(0.3)	-60.0%
Fees, Licenses and Permits:																
Alcohol Beverage Control Licensing	2.2	2.9	2.9	4.9	4.8	5.4	5.0	3.8	3.0	4.9			39.8	62.8	(23.0)	-36.6%
Audit Fees	-	-	-	-	-	-	-	-	-	-			-	-	-	0.0%
Business/Professional	19.0	(0.6)	27.9	13.5	9.7	30.7	17.9	4.1	40.6	31.3			194.1	231.2	(37.1)	-16.0%
Civil	1.1	0.9	2.9	1.7	82.7	(14.5)	9.5	29.2	23.6	11.9			149.0	188.8	(39.8)	-21.1%
Criminal	0.1	0.1	0.1	0.1	-	0.2	0.1	0.1	0.1	0.1			1.0	1.4	(0.4)	-28.6%
Motor Vehicle	(100.4)	(49.0)	127.2	109.7	13.2	43.2	28.5	19.0	39.4	19.3			250.1	276.9	(26.8)	-9.7%
Recreational/Consumer	-	-	0.1	(0.1)	0.5	3.0	1.9	1.1	1.2	1.5			9.2	15.1	(5.9)	-39.1%
Fines, Penalties and Forfeitures	88.9	226.0	14.7	186.1	3.3	8.2	12.8	150.4	7.1	31.9			729.4	1,040.6	(311.2)	-29.9%
Interest Earnings	15.6	5.9	1.6	0.9	1.4	1.9	1.7	1.6	1.4	1.7			33.7	145.3	(111.6)	-76.8%
Receipts from Public Authorities:																
Bond Proceeds	-	1,000.0	3,500.0	-	-	-	-	-	-	-			4,500.0	-	4,500.0	100.0%
Cost Recovery Assessments	-	-	-	-	-	-	-	-	-	-			-	20.1	(20.1)	-100.0%
Issuance Fees	-	-	20.2	24.7	-	1.8	30.2	0.5	21.6	4.3			103.3	76.3	27.0	35.4%
Non Bond Related	-	-	-	-	-	-	-	-	28.2	2.2			30.4	25.2	5.2	20.6%
Receipts from Municipalities	-	-	-	-	0.1	-	-	-	-	-			0.1	16.8	(16.7)	-99.4%
Rentals	0.2	0.1	0.1	0.1	0.2	0.1	-	0.3	0.2	0.1			1.4	1.8	(0.4)	-22.2%
Revenues of State Departments:																
Administrative Recoveries	0.4	0.3	16.7	0.4	0.1	15.5	0.3	0.1	14.0	0.2			48.0	57.2	(9.2)	-16.1%
Commissions	0.4	(0.4)	0.1	-	(0.3)	0.5	(0.5)	0.1	-	0.4			0.3	0.9	(0.6)	-66.7%
Gifts, Grants and Donations	-	-	-	-	-	-	-	-	-	-			-	-	-	0.0%
Indirect Cost Recoveries	5.5	5.4	7.4	5.9	6.1	5.7	5.0	5.5	8.7	6.3			61.5	70.5	(9.0)	-12.8%
Patient/Client Care Reimbursement	(3.7)	53.7	2.2	(17.1)	7.7	(107.3)	49.3	1.2	7.2	(15.6)			(22.4)	(112.9)	90.5	80.2%
Rebates	-	1.7	(0.9)	-	3.2	-	(0.7)	2.6	-	-			5.9	4.4	1.5	34.1%
Restitution and Settlements	0.2	-	-	0.1	-	-	-	0.2	0.7	-			1.2	6.0	(4.8)	-80.0%

STATE OF NEW YORK
GENERAL FUND
STATEMENT OF CASH FLOW
FISCAL YEAR 2020-2021
(amounts in millions)

EXHIBIT F

	2020 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2021 JANUARY	FEBRUARY	MARCH	10 Months Ended January 31 2021	2020	$ Increase/ (Decrease)	% Increase/ Decrease
Student Loans	-	-	-	-	-	-	-	-	-	-			-	-	-	0.0%
All Other	4.8	4.5	4.9	11.9	6.1	3.2	12.7	6.3	12.6	8.1			75.1	71.8	3.3	4.6%
Sales	-	-	1.8	2.3	-	-	0.5	-	-	-			4.6	-	4.6	100.0%
Total Miscellaneous Receipts	**37.3**	**1,254.0**	**3,752.2**	**342.3**	**166.1**	**128.3**	**190.2**	**448.2**	**261.1**	**127.2**	**-**	**-**	**6,706.9**	**2,593.8**	**4,113.1**	**158.6%**
Federal Receipts	-	-	-	-	-	0.1	-	0.1	-	-			0.2	0.3	(0.1)	-33.3%
Total Receipts	**1,882.6**	**2,694.5**	**7,631.3**	**6,691.8**	**2,248.7**	**4,941.6**	**2,260.8**	**2,536.5**	**4,882.9**	**3,809.6**	**-**	**-**	**39,580.3**	**35,831.8**	**3,748.5**	**10.5%**
DISBURSEMENTS:																
Local Assistance Grants:																
Education	754.2	4,030.1	3,112.1	563.3	856.3	1,571.5	1,051.2	1,411.4	2,408.4	480.6			16,239.1	17,584.9	(1,345.8)	-7.7%
Environment and Recreation	0.1	-	-	-	(0.1)	-	-	-	0.3	0.2			0.5	2.5	(2.0)	-80.0%
General Government	6.8	0.7	493.7	12.4	0.6	51.7	62.4	8.8	168.4	10.4			815.9	954.0	(138.1)	-14.5%
Public Health:																
Medicaid	229.4	1,283.6	2,466.9	1,220.8	1,431.8	1,614.5	999.5	1,041.9	1,632.6	1,007.1			12,928.1	16,526.9	(3,598.8)	-21.8%
Other Public Health	63.9	47.3	239.8	433.9	72.7	247.3	193.6	181.5	168.3	225.7			1,874.0	1,873.8	0.2	0.0%
Public Safety	2.9	1.4	0.3	2.7	7.9	14.1	7.3	3.7	45.6	7.6			93.5	143.1	(49.6)	-34.7%
Public Welfare	76.9	158.0	61.4	577.5	57.1	426.7	70.3	222.3	167.3	154.1			1,971.6	2,007.3	(35.7)	-1.8%
Support and Regulate Business	4.6	6.7	4.6	4.8	4.3	5.6	9.0	7.5	10.1	9.0			66.2	114.3	(48.1)	-42.1%
Transportation	0.1	-	-	24.5	12.7	2.0	2.9	13.9	24.3	-			80.4	97.5	(17.1)	-17.5%
Total Local Assistance Grants	**1,138.9**	**5,527.8**	**6,378.8**	**2,839.9**	**2,443.3**	**3,933.4**	**2,396.2**	**2,891.0**	**4,625.3**	**1,894.7**	**-**	**-**	**34,069.3**	**39,304.3**	**(5,235.0)**	**-13.3%**
Departmental Operations:																
Personal Service	893.7	691.3	564.6	738.8	663.2	835.8	598.0	615.3	(485.5)	594.6			5,709.8	7,634.1	(1,924.3)	-25.2%
Non-Personal Service	313.2	195.2	165.0	(506.7)	222.4	260.7	183.6	192.7	241.1	219.1			1,486.3	2,044.7	(558.4)	-27.3%
General State Charges	460.2	330.5	2,511.9	335.9	271.1	476.3	387.4	342.1	262.3	(277.2)			5,100.5	6,566.1	(1,465.6)	-22.3%
Total Disbursements	**2,806.0**	**6,744.8**	**9,620.3**	**3,407.9**	**3,600.0**	**5,506.2**	**3,565.2**	**4,041.1**	**4,643.2**	**2,431.2**	**-**	**-**	**46,365.9**	**55,549.2**	**(9,183.3)**	**-16.5%**
Excess (Deficiency) of Receipts over Disbursements	**(923.4)**	**(4,050.3)**	**(1,989.0)**	**3,283.9**	**(1,351.3)**	**(564.6)**	**(1,304.4)**	**(1,504.6)**	**239.7**	**1,378.4**	**-**	**-**	**(6,785.6)**	**(19,717.4)**	**12,931.8**	**65.6%**
OTHER FINANCING SOURCES (USES):																
Transfers from Revenue Bond Tax Fund	1,032.9	1,098.9	2,178.3	4,323.6	487.1	1,889.6	665.9	518.5	2,027.4	1,373.5			15,595.7	21,503.6	(5,907.9)	-27.5%
Transfers from LGAC / STRBTF	284.6	162.2	560.4	420.1	426.7	656.4	440.2	435.4	597.1	488.1			4,471.2	5,544.4	(1,073.2)	-19.4%
Transfers from CW/CA Fund	43.8	48.4	37.5	50.5	52.3	66.1	61.8	74.8	91.1	106.9			633.2	810.3	(177.1)	-21.9%
Transfers from Other Funds	74.9	193.6	83.5	126.5	195.3	151.5	45.0	133.3	227.6	135.3			1,366.5	1,541.5	(175.0)	-11.4%
Transfers to State Capital Projects	800.3	(203.8)	(312.4)	(306.1)	(565.6)	(229.0)	(90.8)	(860.0)	(34.2)	(431.1)			(2,232.7)	(2,317.1)	(84.4)	-3.6%
Transfers to All Other Capital Projects	-	-	(30.5)	(204.0)	-	(16.5)	-	(45.8)	(85.5)	(50.0)			(432.3)	(1,187.5)	(755.2)	-63.6%
Transfers to General Debt Service	(32.0)	1.7	(3.7)	(82.7)	4.0	21.6	(75.6)	1.4	11.2	(185.1)			(339.2)	(554.0)	(214.8)	-38.8%
Transfers to All Other State Funds	(142.8)	(23.0)	(970.7)	(92.4)	(108.8)	(56.0)	(253.1)	(81.1)	(124.7)	(35.7)			(1,888.3)	(2,011.5)	(123.2)	-6.1%
Total Other Financing Sources (Uses)	**2,061.7**	**1,278.0**	**1,542.4**	**4,235.5**	**491.0**	**2,483.7**	**793.4**	**176.5**	**2,710.0**	**1,401.9**	**-**	**-**	**17,174.1**	**23,329.7**	**(6,155.6)**	**-26.4%**
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	**1,138.3**	**(2,772.3)**	**(446.6)**	**7,519.4**	**(860.3)**	**1,919.1**	**(511.0)**	**(1,328.1)**	**2,949.7**	**2,780.3**	**-**	**-**	**10,388.5**	**3,612.3**	**6,776.2**	**187.6%**
Ending Fund Balance	**$ 10,082.5**	**$ 7,310.2**	**$ 6,863.6**	**$ 14,383.0**	**$ 13,522.7**	**$ 15,441.8**	**$ 14,930.8**	**$ 13,602.7**	**$ 16,552.4**	**$ 19,332.7**	**$ -**	**$ -**	**$ 19,332.7**	**$ 10,818.0**	**$ 8,514.7**	**78.7%**

STATE OF NEW YORK
SPECIAL REVENUE FUNDS - COMBINED
STATEMENT OF CASH FLOW
FISCAL YEAR 2020-2021
(amounts in millions)

EXHIBIT G

	2020 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2021 JANUARY	FEBRUARY	MARCH	Intra-Fund Transfer Eliminations (*)	10 Months Ended January 31 2021	2020	$ Increase/ (Decrease)	% Increase/ Decrease
Beginning Fund Balance	$ 6,312.1	$ 11,224.0	$ 11,140.2	$ 14,472.7	$ 13,232.7	$ 12,870.2	9,510.3	$ 12,183.8	$ 11,260.9	$ 10,778.6			$ -	$ 6,312.1	$ 3,842.4	$ 2,469.7	64.3%
RECEIPTS:																	
Taxes:																	
Personal Income Tax	-	-	-	-	-	0.1	0.1	1.4	35.0	1,972.0			-	2,008.6	2,149.1	(140.5)	-6.5%
Consumption/Use Taxes:																	
Sales and Use	80.3	51.2	65.6	72.7	73.6	83.3	79.2	76.4	86.3	84.6			-	753.2	923.9	(170.7)	-18.5%
Auto Rental	(0.1)	(1.5)	3.4	2.1	2.1	(1.1)	-	-	3.8	-			-	8.7	12.9	(4.2)	-32.6%
Cigarette/Tobacco Products	68.8	51.3	60.2	68.8	60.4	71.0	55.7	59.1	61.0	61.3			-	617.6	626.1	(8.5)	-1.4%
Medical Marijuana	0.5	0.6	0.7	0.6	0.8	0.7	0.8	0.7	0.8	1.0			-	7.2	4.8	2.4	50.0%
Motor Fuel	6.5	4.7	6.6	8.5	9.1	8.9	8.3	8.6	8.7	6.5			-	76.4	92.5	(16.1)	-17.4%
Alcoholic Beverage	-	-	-	-	-	-	-	-	-	-			-	-	-	-	0.0%
Highway Use	-	0.1	-	-	0.1	-	-	0.1	0.1	-			-	0.4	0.4	-	0.0%
Vapor Excise	-	0.1	11.7	(0.4)	(0.1)	7.4	-	-	6.8	-			-	25.5	-	25.5	100.0%
Total Consumption/Use Taxes	**156.0**	**106.5**	**148.2**	**152.3**	**146.0**	**170.2**	**144.0**	**144.9**	**167.5**	**153.4**	**-**	**-**	**-**	**1,489.0**	**1,660.6**	**(171.6)**	**-10.3%**
Business Taxes:																	
Corporation Franchise	57.0	(3.2)	110.1	114.0	11.7	165.7	40.7	24.5	171.0	67.9			-	759.4	764.8	(5.4)	-0.7%
Corporation and Utilities	2.2	(6.9)	20.5	17.0	(1.4)	24.4	4.1	0.1	24.9	4.9			-	89.8	109.4	(19.6)	-17.9%
Insurance	7.2	(0.4)	38.6	4.4	0.4	43.3	1.2	0.3	42.1	(0.5)			-	136.6	182.8	(46.2)	-25.3%
Bank	1.3	0.6	12.9	-	6.1	(3.1)	0.5	(0.2)	0.5	(1.8)			-	16.8	(1.9)	18.7	984.2%
Petroleum Business	30.3	17.6	37.7	38.8	38.7	43.2	39.6	36.5	36.4	33.7			-	352.5	436.5	(84.0)	-19.2%
Total Business Taxes	**98.0**	**7.7**	**219.8**	**174.2**	**55.5**	**273.5**	**86.1**	**61.2**	**274.9**	**104.2**	**-**	**-**	**-**	**1,355.1**	**1,491.6**	**(136.5)**	**-9.2%**
Total Taxes	**254.0**	**114.2**	**368.0**	**326.5**	**201.5**	**443.8**	**230.2**	**207.5**	**477.4**	**2,229.6**	**-**	**-**	**-**	**4,852.7**	**5,301.3**	**(448.6)**	**-8.5%**
Miscellaneous Receipts:																	
Abandoned Property:																	
Abandoned Property	1.2	0.7	0.8	0.9	0.8	1.2	0.8	0.9	1.3	0.9			-	9.5	9.7	(0.2)	-2.1%
Assessments:																	
Business	46.7	62.4	82.6	71.5	41.0	65.6	90.3	11.7	90.9	56.9			-	619.6	684.9	(65.3)	-9.5%
Medical Care	569.3	464.7	504.4	440.8	461.5	517.3	505.7	470.8	507.2	448.3			-	4,890.0	5,369.4	(479.4)	-8.9%
Public Utilities	0.1	-	0.4	4.4	0.4	45.4	(10.1)	(1.6)	0.7	-			-	39.7	44.0	(4.3)	-9.8%
Other	-	-	-	-	-	-	-	-	-	-			-	-	0.4	(0.4)	-100.0%
Fees, Licenses and Permits:																	
Audit Fees	-	-	0.1	0.2	0.7	0.7	0.1	0.3	0.1	-			-	2.2	2.6	(0.4)	-15.4%
Business/Professional	48.2	70.4	86.5	40.8	36.3	94.8	46.1	40.7	73.3	48.0			-	585.1	586.3	(1.2)	-0.2%
Civil	3.2	2.4	2.6	1.4	6.1	4.7	5.1	4.2	4.9	4.8			-	39.4	47.7	(8.3)	-17.4%
Criminal	0.5	0.3	0.1	1.5	-	-	0.4	-	0.1	0.6			-	3.5	6.0	(2.5)	-41.7%
Motor Vehicle	18.4	15.8	17.0	30.6	27.0	28.3	15.8	34.9	15.4	31.5			-	234.7	252.2	(17.5)	-6.9%
Recreational/Consumer	43.0	-	36.0	34.3	31.8	144.3	83.3	59.6	55.8	44.7			-	532.8	691.8	(159.0)	-23.0%
Fines, Penalties and Forfeitures	10.0	5.8	1.6	14.0	0.4	5.9	65.4	3.0	2.8	5.9			-	114.8	255.5	(140.7)	-55.1%
Gaming:																	
Casino	-	-	-	20.8	-	8.0	33.4	10.0	10.2	27.1			-	109.5	246.3	(136.8)	-55.5%
Lottery	157.0	142.1	173.8	202.2	195.9	199.3	168.3	171.0	215.6	256.5			-	1,881.7	2,054.3	(172.6)	-8.4%
Video Lottery	-	0.6	-	(0.4)	-	33.8	59.6	54.2	58.0	58.9			-	264.7	808.7	(544.0)	-67.3%
Interest Earnings	16.5	11.7	7.5	4.3	5.2	4.2	4.1	4.1	4.4	4.7			-	66.7	208.6	(141.9)	-68.0%
Receipts from Public Authorities:																	
Bond Proceeds	-	-	-	-	-	-	-	-	-	-			-	-	-	-	0.0%
Cost Recovery Assessments	-	-	-	-	-	-	8.9	-	-	-			-	8.9	20.4	(11.5)	-56.4%
Issuance Fees	0.5	1.4	5.3	-	-	-	-	-	-	-			-	7.2	7.2	-	0.0%
Non Bond Related	8.9	0.3	-	4.2	1.8	-	0.4	-	-	0.3			-	15.9	54.9	(39.0)	-71.0%
Receipts from Municipalities	9.3	2.2	3.7	3.7	1.6	4.7	3.4	1.6	5.1	3.3			-	38.6	43.9	(5.3)	-12.1%
Rentals	(5.3)	(42.9)	(0.4)	1.9	3.8	34.6	61.0	11.3	7.4	29.3			-	100.7	266.8	(166.1)	-62.3%
Revenues of State Departments:																	
Administrative Recoveries	24.6	8.5	8.7	8.7	8.8	10.1	24.2	8.7	8.6	8.1			-	119.0	118.6	0.4	0.3%
Commissions	0.2	0.1	0.1	0.2	0.3	0.8	0.7	0.8	14.2	0.8			-	18.2	19.4	(1.2)	-6.2%
Commissions - Asset Conversion	-	-	-	-	-	-	-	-	68.0	50.0			-	118.0	468.0	(350.0)	-74.8%
Gifts, Grants and Donations	0.6	1.5	22.1	0.7	0.6	0.5	5.1	0.3	1.7	0.2			-	33.3	6.9	26.4	382.6%
Indirect Cost Recoveries	-	-	-	-	-	-	-	-	-	-			-	-	0.9	(0.9)	-100.0%
Patient/Client Care Reimbursement	483.0	305.7	305.8	198.8	167.9	150.1	221.2	165.9	314.0	205.9			-	2,518.3	1,824.7	693.6	38.0%
Rebates	7.8	13.6	14.1	17.7	9.5	12.7	10.5	11.2	12.7	10.9			-	120.7	136.8	(16.1)	-11.8%
Restitution and Settlements	3.7	0.4	0.6	0.1	41.8	3.9	0.3	0.7	0.5	21.7			-	73.7	45.4	28.3	62.3%
Student Loans	6.1	1.4	3.2	6.4	6.8	3.7	6.0	3.6	4.9	2.2			-	44.3	57.0	(12.7)	-22.3%
All Other	(24.6)	4.4	13.1	63.5	40.0	48.6	58.3	35.2	27.9	55.3			-	321.7	437.5	(115.8)	-26.5%
Sales	0.5	0.6	0.8	0.7	0.5	0.6	1.3	0.8	0.6	1.1			-	7.5	15.0	(7.5)	-50.0%
Tuition	(67.5)	33.6	56.9	50.9	113.3	375.7	172.8	49.7	(32.8)	150.4			-	903.0	1,180.8	(277.8)	-23.5%
Total Miscellaneous Receipts	**1,361.9**	**1,107.7**	**1,347.4**	**1,224.8**	**1,203.8**	**1,799.5**	**1,642.4**	**1,153.6**	**1,473.5**	**1,528.3**	**-**	**-**	**-**	**13,842.9**	**15,972.6**	**(2,129.7)**	**-13.3%**
Federal Receipts	10,777.4	4,104.3	7,352.3	5,214.1	4,404.5	9,934.4	7,441.5	4,052.8	7,300.6	5,782.7			-	66,364.6	53,575.0	12,789.6	23.9%
Total Receipts	**12,393.3**	**5,326.2**	**9,067.7**	**6,765.4**	**5,809.8**	**12,177.7**	**9,314.1**	**5,413.9**	**9,251.5**	**9,540.6**	**-**	**-**	**-**	**85,060.2**	**74,848.9**	**10,211.3**	**13.6%**

STATE OF NEW YORK
SPECIAL REVENUE FUNDS - COMBINED
STATEMENT OF CASH FLOW
FISCAL YEAR 2020-2021
(amounts in millions)

EXHIBIT G

	2020 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2021 JANUARY	FEBRUARY	MARCH	Intra-Fund Transfer Eliminations (*)	10 Months Ended January 31 2021	2020	$ Increase/ (Decrease)	% Increase/ Decrease
DISBURSEMENTS:																	
Local Assistance Grants:																	
Education	383.0	102.6	847.9	234.9	213.2	2,584.4	279.3	347.3	252.9	2,492.2			-	7,737.7	8,558.8	(821.1)	-9.6%
Environment and Recreation	-	0.5	-	0.3	0.1	0.3	0.8	0.2	0.1	0.1			-	2.4	2.9	(0.5)	-17.2%
General Government	11.3	6.1	8.5	19.0	39.4	3,866.8	169.6	94.6	114.3	22.8			-	4,352.4	230.6	4,121.8	1,787.4%
Public Health:																	
Medicaid	5,180.9	3,816.0	3,615.6	4,136.8	3,861.0	5,390.1	3,286.6	3,770.7	5,076.7	4,408.4			-	42,542.8	39,144.0	3,398.8	8.7%
Other Public Health	509.6	533.0	709.7	556.1	552.9	805.5	570.7	551.3	650.7	761.8			-	6,201.3	6,056.1	145.2	2.4%
Public Safety	92.4	62.2	159.2	261.6	62.2	136.2	627.1	19.1	133.8	84.8			-	1,638.6	1,228.6	410.0	33.4%
Public Welfare	134.9	25.9	253.6	201.6	253.9	950.4	384.1	164.5	162.9	265.8			-	2,797.6	3,635.0	(837.4)	-23.0%
Support and Regulate Business	0.3	0.7	6.5	1.0	8.6	5.3	1.7	18.7	1.0	1.6			-	45.4	53.1	(7.7)	-14.5%
Transportation	65.5	44.7	22.6	709.1	350.2	246.8	258.5	438.5	764.5	74.5			-	2,974.9	3,297.1	(322.2)	-9.8%
Total Local Assistance Grants	**6,377.9**	**4,591.7**	**5,623.6**	**6,120.4**	**5,341.5**	**13,985.8**	**5,578.4**	**5,404.9**	**7,156.9**	**8,112.0**	**-**	**-**	**-**	**68,293.1**	**62,206.2**	**6,086.9**	**9.8%**
Departmental Operations:																	
Personal Service	675.8	444.6	551.8	540.0	390.7	691.2	521.2	474.9	1,784.0	484.2			-	6,558.4	4,867.2	1,691.2	34.7%
Non-Personal Service	270.9	220.9	327.3	1,078.0	380.6	488.1	437.0	297.0	408.8	418.5			-	4,327.1	3,555.1	772.0	21.7%
General State Charges	75.0	64.5	109.6	136.0	142.9	139.8	124.3	158.0	212.2	845.0			-	2,007.3	1,114.8	892.5	80.1%
Debt Service, Including Payments on Financing Agreements	-	-	-	-	-	-	-	-	102.2	-			-	102.2	-	102.2	100.0%
Capital Projects	-	-	-	-	2.3	-	-	-	-	-			-	2.3	-	2.3	100.0%
Total Disbursements	**7,399.6**	**5,321.7**	**6,612.3**	**7,874.4**	**6,258.0**	**15,304.9**	**6,660.9**	**6,334.8**	**9,664.1**	**9,859.7**	**-**	**-**	**-**	**81,290.4**	**71,743.3**	**9,547.1**	**13.3%**
Excess (Deficiency) of Receipts over Disbursements	**4,993.7**	**4.5**	**2,455.4**	**(1,109.0)**	**(448.2)**	**(3,127.2)**	**2,653.2**	**(920.9)**	**(412.6)**	**(319.1)**	**-**	**-**	**-**	**3,769.8**	**3,105.6**	**664.2**	**21.4%**
OTHER FINANCING SOURCES (USES):																	
Transfers from Other Funds	222.7	41.5	897.4	135.6	116.0	206.1	505.9	131.0	138.6	63.9			(569.4)	1,889.3	2,064.7	(175.4)	-8.5%
Transfers to Other Funds	(304.5)	(129.8)	(20.3)	(266.6)	(30.3)	(438.8)	(485.6)	(133.0)	(208.3)	(229.5)			569.4	(1,677.3)	(1,837.3)	(160.0)	-8.7%
Total Other Financing Sources (Uses)	**(81.8)**	**(88.3)**	**877.1**	**(131.0)**	**85.7**	**(232.7)**	**20.3**	**(2.0)**	**(69.7)**	**(165.6)**	**-**	**-**	**-**	**212.0**	**227.4**	**(15.4)**	**-6.8%**
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	**4,911.9**	**(83.8)**	**3,332.5**	**(1,240.0)**	**(362.5)**	**(3,359.9)**	**2,673.5**	**(922.9)**	**(482.3)**	**(484.7)**	**-**	**-**	**-**	**3,981.8**	**3,333.0**	**648.8**	**19.5%**
Ending Fund Balance	**$ 11,224.0**	**$ 11,140.2**	**$ 14,472.7**	**$ 13,232.7**	**$ 12,870.2**	**$ 9,510.3**	**$ 12,183.8**	**$ 11,260.9**	**$ 10,778.6**	**$ 10,293.9**	**$ -**	**$ -**	**$ -**	**$ 10,293.9**	**$ 7,175.4**	**$ 3,118.5**	**43.5%**

(*) Intra-Fund transfer eliminations represent transfers between Special Revenue-State and Federal Funds.

STATE OF NEW YORK
SPECIAL REVENUE FUNDS - STATE
STATEMENT OF CASH FLOW
FISCAL YEAR 2020-2021
(amounts in millions)

EXHIBIT G

	2020 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2021 JANUARY	FEBRUARY	MARCH	10 Months Ended January 31 2021	2020	$ Increase/ (Decrease)	% Increase/ Decrease
Beginning Fund Balance	**$ 5,400.7**	**$ 5,696.2**	**$ 5,710.4**	**$ 7,246.4**	**$ 6,679.9**	**$ 6,748.8**	**$ 4,803.9**	**$ 5,594.1**	**$ 5,211.5**	**$ 5,003.3**			**$ 5,400.7**	**$ 5,090.8**	**$ 309.9**	**6.1%**
RECEIPTS:																
Taxes:																
Personal Income Tax	-	-	-	-	-	0.1	0.1	1.4	35.0	1,972.0			2,008.6	2,149.1	(140.5)	-6.5%
Consumption/Use Taxes:																
Sales and Use	80.3	51.2	65.6	72.7	73.6	83.3	79.2	76.4	86.3	84.6			753.2	923.9	(170.7)	-18.5%
Auto Rental	(0.1)	(1.5)	3.4	2.1	2.1	(1.1)	-	-	3.8	-			8.7	12.9	(4.2)	-32.6%
Cigarette/Tobacco Products	68.8	51.3	60.2	68.8	60.4	71.0	55.7	59.1	61.0	61.3			617.6	626.1	(8.5)	-1.4%
Medical Marijuana	0.5	0.6	0.7	0.6	0.8	0.7	0.8	0.7	0.8	1.0			7.2	4.8	2.4	50.0%
Motor Fuel	6.5	4.7	6.6	8.5	9.1	8.9	8.3	8.6	8.7	6.5			76.4	92.5	(16.1)	-17.4%
Alcoholic Beverage	-	-	-	-	-	-	-	-	-	-			-	-	-	0.0%
Highway Use	-	0.1	-	-	0.1	-	-	0.1	0.1	-			0.4	0.4	-	0.0%
Vapor Excise	-	0.1	11.7	(0.4)	(0.1)	7.4	-	-	6.8	-			25.5	-	25.5	100.0%
Total Consumption/Use Taxes	**156.0**	**106.5**	**148.2**	**152.3**	**146.0**	**170.2**	**144.0**	**144.9**	**167.5**	**153.4**	**-**	**-**	**1,489.0**	**1,660.6**	**(171.6)**	**-10.3%**
Business Taxes																
Corporation Franchise	57.0	(3.2)	110.1	114.0	11.7	165.7	40.7	24.5	171.0	67.9			759.4	764.8	(5.4)	-0.7%
Corporation and Utilities	2.2	(6.9)	20.5	17.0	(1.4)	24.4	4.1	0.1	24.9	4.9			89.8	109.4	(19.6)	-17.9%
Insurance	7.2	(0.4)	38.6	4.4	0.4	43.3	1.2	0.3	42.1	(0.5)			136.6	182.8	(46.2)	-25.3%
Bank	1.3	0.6	12.9	-	6.1	(3.1)	0.5	(0.2)	0.5	(1.8)			16.8	(1.9)	18.7	984.2%
Petroleum Business	30.3	17.6	37.7	38.8	38.7	43.2	39.6	36.5	36.4	33.7			352.5	436.5	(84.0)	-19.2%
Total Business Taxes	**98.0**	**7.7**	**219.8**	**174.2**	**55.5**	**273.5**	**86.1**	**61.2**	**274.9**	**104.2**	**-**	**-**	**1,355.1**	**1,491.6**	**(136.5)**	**-9.2%**
Total Taxes	**254.0**	**114.2**	**368.0**	**326.5**	**201.5**	**443.8**	**230.2**	**207.5**	**477.4**	**2,229.6**	**-**	**-**	**4,852.7**	**5,301.3**	**(448.6)**	**-8.5%**
Miscellaneous Receipts:																
Abandoned Property:																
Abandoned Property	1.2	0.7	0.8	0.9	0.8	1.2	0.8	0.9	1.3	0.9			9.5	9.7	(0.2)	-2.1%
Assessments:																
Business	42.7	21.8	82.4	69.8	33.4	65.5	88.9	6.0	90.8	55.8			557.1	616.9	(59.8)	-9.7%
Medical Care	569.3	464.7	504.4	440.8	461.5	517.3	505.7	470.8	507.2	448.3			4,890.0	5,369.4	(479.4)	-8.9%
Public Utilities	0.1	-	0.4	4.4	0.4	45.4	(10.1)	(1.6)	0.7	-			39.7	44.0	(4.3)	-9.8%
Other	-	-	-	-	-	-	-	-	-	-			-	0.4	(0.4)	-100.0%
Fees, Licenses and Permits:																
Audit Fees	-	-	0.1	0.2	0.7	0.7	0.1	0.3	0.1	-			2.2	2.6	(0.4)	-15.4%
Business/Professional	48.2	70.4	86.5	40.8	36.3	94.8	46.1	40.7	73.3	48.0			585.1	586.3	(1.2)	-0.2%
Civil	3.2	2.4	2.6	1.4	6.1	4.7	5.1	4.2	4.9	4.8			39.4	47.7	(8.3)	-17.4%
Criminal	0.5	0.3	0.1	1.5	-	-	0.4	-	0.1	0.6			3.5	6.0	(2.5)	-41.7%
Motor Vehicle	18.4	15.8	17.0	30.6	27.0	28.3	15.8	34.9	15.4	31.5			234.7	252.2	(17.5)	-6.9%
Recreational/Consumer	43.0	-	36.0	34.3	31.8	144.3	83.3	59.6	55.8	44.7			532.8	691.8	(159.0)	-23.0%
Fines, Penalties and Forfeitures	9.7	5.6	1.4	13.8	0.2	5.6	65.3	2.8	2.5	5.6			112.5	250.4	(137.9)	-55.1%
Gaming:																
Casino	-	-	-	20.8	-	8.0	33.4	10.0	10.2	27.1			109.5	246.3	(136.8)	-55.5%
Lottery	157.0	142.1	173.8	202.2	195.9	199.3	168.3	171.0	215.6	256.5			1,881.7	2,054.3	(172.6)	-8.4%
Video Lottery	-	0.6	-	(0.4)	-	33.8	59.6	54.2	58.0	58.9			264.7	808.7	(544.0)	-67.3%
Interest Earnings	13.5	7.8	6.1	3.4	4.0	3.4	3.3	3.3	3.7	3.9			52.4	184.9	(132.5)	-71.7%
Receipts from Public Authorities:																
Bond Proceeds	-	-	-	-	-	-	-	-	-	-			-	-	-	0.0%
Cost Recovery Assessments	-	-	-	-	-	-	8.9	-	-	-			8.9	20.4	(11.5)	-56.4%
Issuance Fees	0.5	1.4	5.3	-	-	-	-	-	-	-			7.2	7.2	-	0.0%
Non Bond Related	8.9	0.3	-	4.2	1.8	-	0.4	-	-	0.3			15.9	54.9	(39.0)	-71.0%
Receipts from Municipalities	9.3	2.2	3.7	3.7	1.6	4.7	3.4	1.6	5.1	3.3			38.6	43.9	(5.3)	-12.1%
Rentals	(5.3)	(42.9)	(0.4)	1.9	3.8	34.6	61.0	11.3	7.4	29.3			100.7	266.8	(166.1)	-62.3%
Revenues of State Departments:																
Administrative Recoveries	24.6	8.5	8.7	8.7	8.8	10.1	24.2	8.7	8.6	8.1			119.0	118.6	0.4	0.3%
Commissions	0.2	0.1	0.1	0.2	0.3	0.8	0.7	0.8	14.2	0.8			18.2	19.4	(1.2)	-6.2%
Commissions - Asset Conversion	-	-	-	-	-	-	-	-	68.0	50.0			118.0	468.0	(350.0)	-74.8%
Gifts, Grants and Donations	0.6	1.5	22.1	0.7	0.6	0.5	5.1	0.3	1.7	0.1			33.2	6.9	26.3	381.2%
Indirect Cost Recoveries	-	-	-	-	-	-	-	-	-	-			-	0.9	(0.9)	-100.0%
Patient/Client Care Reimbursement	483.0	305.7	305.8	198.8	167.9	150.1	221.2	165.9	314.0	205.9			2,518.3	1,824.7	693.6	38.0%
Rebates	0.1	4.9	6.6	10.2	2.1	5.0	3.0	3.8	4.8	3.7			44.2	54.3	(10.1)	-18.6%
Restitution and Settlements	3.7	0.4	0.6	0.1	41.8	3.9	0.3	0.7	0.5	21.7			73.7	45.4	28.3	62.3%
Student Loans	6.1	1.4	3.2	6.4	6.8	3.7	6.0	3.6	4.9	2.2			44.3	57.0	(12.7)	-22.3%
All Other	(24.9)	4.1	13.1	63.4	39.4	48.7	58.2	35.2	26.6	55.0			318.8	432.6	(113.8)	-26.3%
Sales	0.5	0.6	0.8	0.7	0.5	0.6	1.3	0.8	0.6	1.1			7.5	14.9	(7.4)	-49.7%
Tuition	(67.5)	33.6	56.9	50.9	113.3	375.7	172.8	49.7	(32.8)	150.4			903.0	1,180.8	(277.8)	-23.5%
Total Miscellaneous Receipts	**1,346.6**	**1,054.0**	**1,338.1**	**1,214.4**	**1,186.8**	**1,790.7**	**1,632.5**	**1,139.5**	**1,463.2**	**1,518.5**	**-**	**-**	**13,684.3**	**15,788.3**	**(2,104.0)**	**-13.3%**
Federal Receipts	-	-	4.1	(4.1)	2.5	25.4	12.9	(33.6)	-	-			7.2	(9.0)	16.2	180.0%
Total Receipts	**1,600.6**	**1,168.2**	**1,710.2**	**1,536.8**	**1,390.8**	**2,259.9**	**1,875.6**	**1,313.4**	**1,940.6**	**3,748.1**	**-**	**-**	**18,544.2**	**21,080.6**	**(2,536.4)**	**-12.0%**

STATE OF NEW YORK
SPECIAL REVENUE FUNDS - STATE
STATEMENT OF CASH FLOW
FISCAL YEAR 2020-2021
(amounts in millions)

EXHIBIT G

	2020 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2021 JANUARY	FEBRUARY	MARCH	10 Months Ended January 31 2021	2020	$ Increase/ (Decrease)	% Increase/ Decrease
DISBURSEMENTS:																
Local Assistance Grants:																
Education	0.1	35.0	297.0	0.3	(0.1)	2,290.3	149.0	148.1	174.7	2,128.4			5,222.8	5,599.0	(376.2)	-6.7%
Environment and Recreation	-	0.3	-	0.2	-	0.4	0.7	0.2	-	-			1.8	2.0	(0.2)	-10.0%
General Government	9.3	3.9	6.3	8.0	33.0	10.8	15.3	9.3	15.7	21.6			133.2	183.3	(50.1)	-27.3%
Public Health:																
Medicaid	528.3	474.2	30.5	823.1	424.7	521.0	417.0	461.8	490.1	462.0			4,632.7	5,018.4	(385.7)	-7.7%
Other Public Health	29.6	25.5	67.4	52.2	45.3	190.8	55.4	53.3	95.0	114.5			729.0	762.3	(33.3)	-4.4%
Public Safety	18.0	9.7	4.2	14.2	21.5	20.5	10.0	(10.4)	20.4	9.3			117.4	112.2	5.2	4.6%
Public Welfare	0.1	0.2	-	0.6	-	0.1	-	-	0.9	(1.3)			0.6	3.7	(3.1)	-83.8%
Support and Regulate Business	-	0.4	4.4	0.6	6.7	5.3	0.7	18.7	0.8	1.0			38.6	45.3	(6.7)	-14.8%
Transportation	61.6	41.7	18.5	701.8	345.2	241.1	253.5	432.2	757.0	70.4			2,923.0	3,247.3	(324.3)	-10.0%
Total Local Assistance Grants	**647.0**	**590.9**	**428.3**	**1,601.0**	**876.3**	**3,280.3**	**901.6**	**1,113.2**	**1,554.6**	**2,805.9**	**-**	**-**	**13,799.1**	**14,973.5**	**(1,174.4)**	**-7.8%**
Departmental Operations:																
Personal Service	601.1	393.6	390.9	375.2	326.9	607.2	389.4	361.2	387.5	369.3			4,202.3	4,330.1	(127.8)	-3.0%
Non-Personal Service	230.1	176.8	158.7	192.7	159.2	260.1	248.4	221.3	203.0	237.3			2,087.6	2,454.5	(366.9)	-14.9%
General State Charges	52.3	39.8	70.7	52.3	72.2	116.3	46.6	128.7	99.8	59.7			738.4	836.3	(97.9)	-11.7%
Capital Projects	-	-	-	-	-	-	-	-	-	-			-	-	-	0.0%
Total Disbursements	**1,530.5**	**1,201.1**	**1,048.6**	**2,221.2**	**1,434.6**	**4,263.9**	**1,586.0**	**1,824.4**	**2,244.9**	**3,472.2**	**-**	**-**	**20,827.4**	**22,594.4**	**(1,767.0)**	**-7.8%**
Excess (Deficiency) of Receipts over Disbursements	**70.1**	**(32.9)**	**661.6**	**(684.4)**	**(43.8)**	**(2,004.0)**	**289.6**	**(511.0)**	**(304.3)**	**275.9**	**-**	**-**	**(2,283.2)**	**(1,513.8)**	**(769.4)**	**-50.8%**
OTHER FINANCING SOURCES (USES):																
Transfers from Other Funds	222.7	41.5	897.4	135.6	116.0	206.1	505.9	131.0	138.6	63.9			2,458.7	2,505.5	(46.8)	-1.9%
Transfers to Other Funds	2.7	5.6	(23.0)	(17.7)	(3.3)	(147.0)	(5.3)	(2.6)	(42.5)	(38.5)			(271.6)	(472.9)	(201.3)	-42.6%
Total Other Financing Sources (Uses)	**225.4**	**47.1**	**874.4**	**117.9**	**112.7**	**59.1**	**500.6**	**128.4**	**96.1**	**25.4**	**-**	**-**	**2,187.1**	**2,032.6**	**154.5**	**7.6%**
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	**295.5**	**14.2**	**1,536.0**	**(566.5)**	**68.9**	**(1,944.9)**	**790.2**	**(382.6)**	**(208.2)**	**301.3**	**-**	**-**	**(96.1)**	**518.8**	**(614.9)**	**-118.5%**
Ending Fund Balance	**$ 5,696.2**	**$ 5,710.4**	**$ 7,246.4**	**$ 6,679.9**	**$ 6,748.8**	**$ 4,803.9**	**$ 5,594.1**	**$ 5,211.5**	**$ 5,003.3**	**$ 5,304.6**	**$ -**	**$ -**	**$ 5,304.6**	**$ 5,609.6**	**$ (305.0)**	**-5.4%**

STATE OF NEW YORK
SPECIAL REVENUE FUNDS - FEDERAL
STATEMENT OF CASH FLOW
FISCAL YEAR 2020-2021
(amounts in millions)

EXHIBIT G

	2020 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2021 JANUARY	FEBRUARY	MARCH	10 Months Ended January 31 2021	2020	$ Increase/ (Decrease)	% Increase/ Decrease
Beginning Fund Balance	$ 911.4	$ 5,527.8	$ 5,429.8	$ 7,226.3	$ 6,552.8	$ 6,121.4	$ 4,706.4	$ 6,589.7	$ 6,049.4	$ 5,775.3			$ 911.4	$ (1,248.4)	$ 2,159.8	173.0%
RECEIPTS:																
Miscellaneous Receipts:																
Abandoned Property:																
Abandoned Property	-	-	-	-	-	-	-	-	-	-			-	-	-	0.0%
Assessments:																
Business	4.0	40.6	0.2	1.7	7.6	0.1	1.4	5.7	0.1	1.1			62.5	68.0	(5.5)	-8.1%
Medical Care	-	-	-	-	-	-	-	-	-	-			-	-	-	0.0%
Public Utilities	-	-	-	-	-	-	-	-	-	-			-	-	-	0.0%
Other	-	-	-	-	-	-	-	-	-	-			-	-	-	0.0%
Fees, Licenses and Permits:																
Business/Professional	-	-	-	-	-	-	-	-	-	-			-	-	-	0.0%
Civil	-	-	-	-	-	-	-	-	-	-			-	-	-	0.0%
Criminal	-	-	-	-	-	-	-	-	-	-			-	-	-	0.0%
Motor Vehicle	-	-	-	-	-	-	-	-	-	-			-	-	-	0.0%
Recreational/Consumer	-	-	-	-	-	-	-	-	-	-			-	-	-	0.0%
Fines, Penalties and Forfeitures	0.3	0.2	0.2	0.2	0.2	0.3	0.1	0.2	0.3	0.3			2.3	5.1	(2.8)	-54.9%
Interest Earnings	3.0	3.9	1.4	0.9	1.2	0.8	0.8	0.8	0.7	0.8			14.3	23.7	(9.4)	-39.7%
Receipts from Public Authorities:																
Bond Proceeds	-	-	-	-	-	-	-	-	-	-			-	-	-	0.0%
Cost Recovery Assessments	-	-	-	-	-	-	-	-	-	-			-	-	-	0.0%
Issuance Fees	-	-	-	-	-	-	-	-	-	-			-	-	-	0.0%
Non Bond Related	-	-	-	-	-	-	-	-	-	-			-	-	-	0.0%
Receipts from Municipalities	-	-	-	-	-	-	-	-	-	-			-	-	-	0.0%
Rentals	-	-	-	-	-	-	-	-	-	-			-	-	-	0.0%
Revenues of State Departments:																
Administrative Recoveries	-	-	-	-	-	-	-	-	-	-			-	-	-	0.0%
Commissions	-	-	-	-	-	-	-	-	-	-			-	-	-	0.0%
Gifts, Grants and Donations	-	-	-	-	-	-	-	-	-	0.1			0.1	-	0.1	100.0%
Indirect Cost Recoveries	-	-	-	-	-	-	-	-	-	-			-	-	-	0.0%
Patient/Client Care Reimbursement	-	-	-	-	-	-	-	-	-	-			-	-	-	0.0%
Rebates	7.7	8.7	7.5	7.5	7.4	7.7	7.5	7.4	7.9	7.2			76.5	82.5	(6.0)	-7.3%
Restitution and Settlements	-	-	-	-	-	-	-	-	-	-			-	-	-	0.0%
Student Loans	-	-	-	-	-	-	-	-	-	-			-	-	-	0.0%
All Other	0.3	0.3	-	0.1	0.6	(0.1)	0.1	-	1.3	0.3			2.9	4.9	(2.0)	-40.8%
Sales	-	-	-	-	-	-	-	-	-	-			-	0.1	(0.1)	-100.0%
Tuition	-	-	-	-	-	-	-	-	-	-			-	-	-	0.0%
Total Miscellaneous Receipts	**15.3**	**53.7**	**9.3**	**10.4**	**17.0**	**8.8**	**9.9**	**14.1**	**10.3**	**9.8**	**-**	**-**	**158.6**	**184.3**	**(25.7)**	**-13.9%**
Federal Receipts	10,777.4	4,104.3	7,348.2	5,218.2	4,402.0	9,909.0	7,428.6	4,086.4	7,300.6	5,782.7			66,357.4	53,584.0	12,773.4	23.8%
Total Receipts	**10,792.7**	**4,158.0**	**7,357.5**	**5,228.6**	**4,419.0**	**9,917.8**	**7,438.5**	**4,100.5**	**7,310.9**	**5,792.5**	**-**	**-**	**66,516.0**	**53,768.3**	**12,747.7**	**23.7%**

STATE OF NEW YORK
SPECIAL REVENUE FUNDS - FEDERAL
STATEMENT OF CASH FLOW
FISCAL YEAR 2020-2021
(amounts in millions)

EXHIBIT G

	2020 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2021 JANUARY	FEBRUARY	MARCH	10 Months Ended January 31 2021	2020	$ Increase/ (Decrease)	% Increase/ Decrease
DISBURSEMENTS:																
Local Assistance Grants:																
Education	382.9	67.6	550.9	234.6	213.3	294.1	130.3	199.2	78.2	363.8			2,514.9	2,959.8	(444.9)	-15.0%
Environment and Recreation	-	0.2	-	0.1	0.1	(0.1)	0.1	-	0.1	0.1			0.6	0.9	(0.3)	-33.3%
General Government	2.0	2.2	2.2	11.0	6.4	3,856.0	154.3	85.3	98.6	1.2			4,219.2	47.3	4,171.9	8,820.1%
Public Health:																
Medicaid	4,652.6	3,341.8	3,585.1	3,313.7	3,436.3	4,869.1	2,869.6	3,308.9	4,586.6	3,946.4			37,910.1	34,125.6	3,784.5	11.1%
Other Public Health	480.0	507.5	642.3	503.9	507.6	614.7	515.3	498.0	555.7	647.3			5,472.3	5,293.8	178.5	3.4%
Public Safety	74.4	52.5	155.0	247.4	40.7	115.7	617.1	29.5	113.4	75.5			1,521.2	1,116.4	404.8	36.3%
Public Welfare	134.8	25.7	253.6	201.0	253.9	950.3	384.1	164.5	162.0	267.1			2,797.0	3,631.3	(834.3)	-23.0%
Support and Regulate Business	0.3	0.3	2.1	0.4	1.9	-	1.0	-	0.2	0.6			6.8	7.8	(1.0)	-12.8%
Transportation	3.9	3.0	4.1	7.3	5.0	5.7	5.0	6.3	7.5	4.1			51.9	49.8	2.1	4.2%
Total Local Assistance Grants	**5,730.9**	**4,000.8**	**5,195.3**	**4,519.4**	**4,465.2**	**10,705.5**	**4,676.8**	**4,291.7**	**5,602.3**	**5,306.1**	**-**	**-**	**54,494.0**	**47,232.7**	**7,261.3**	**15.4%**
Departmental Operations:																
Personal Service	74.7	51.0	160.9	164.8	63.8	84.0	131.8	113.7	1,396.5	114.9			2,356.1	537.1	1,819.0	338.7%
Non-Personal Service	40.8	44.1	168.6	885.3	221.4	228.0	188.6	75.7	205.8	181.2			2,239.5	1,100.6	1,138.9	103.5%
General State Charges	22.7	24.7	38.9	83.7	70.7	23.5	77.7	29.3	112.4	785.3			1,268.9	278.5	990.4	355.6%
Debt Service, Including Payments on Financing Agreements	-	-	-	-	-	-	-	-	102.2	-			102.2	-	102.2	100.0%
Capital Projects	-	-	-	-	2.3	-	-	-	-	-			2.3	-	2.3	100.0%
Total Disbursements	**5,869.1**	**4,120.6**	**5,563.7**	**5,653.2**	**4,823.4**	**11,041.0**	**5,074.9**	**4,510.4**	**7,419.2**	**6,387.5**	**-**	**-**	**60,463.0**	**49,148.9**	**11,314.1**	**23.0%**
Excess (Deficiency) of Receipts over Disbursements	**4,923.6**	**37.4**	**1,793.8**	**(424.6)**	**(404.4)**	**(1,123.2)**	**2,363.6**	**(409.9)**	**(108.3)**	**(595.0)**	**-**	**-**	**6,053.0**	**4,619.4**	**1,433.6**	**31.0%**
OTHER FINANCING SOURCES (USES):																
Transfers from Other Funds	-	-	-	-	-	-	-	-	-	-			-	-	-	0.0%
Transfers to Other Funds	(307.2)	(135.4)	2.7	(248.9)	(27.0)	(291.8)	(480.3)	(130.4)	(165.8)	(191.0)			(1,975.1)	(1,805.2)	169.9	9.4%
Total Other Financing Sources (Uses)	**(307.2)**	**(135.4)**	**2.7**	**(248.9)**	**(27.0)**	**(291.8)**	**(480.3)**	**(130.4)**	**(165.8)**	**(191.0)**	**-**	**-**	**(1,975.1)**	**(1,805.2)**	**169.9**	**9.4%**
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	**4,616.4**	**(98.0)**	**1,796.5**	**(673.5)**	**(431.4)**	**(1,415.0)**	**1,883.3**	**(540.3)**	**(274.1)**	**(786.0)**	**-**	**-**	**4,077.9**	**2,814.2**	**1,263.7**	**44.9%**
Ending Fund Balance	**$ 5,527.8**	**$ 5,429.8**	**$ 7,226.3**	**$ 6,552.8**	**$ 6,121.4**	**$ 4,706.4**	**$ 6,589.7**	**$ 6,049.4**	**$ 5,775.3**	**$ 4,989.3**	**$ -**	**$ -**	**$ 4,989.3**	**$ 1,565.8**	**$ 3,423.5**	**218.6%**

STATE OF NEW YORK
DEBT SERVICE FUNDS
STATEMENT OF CASH FLOW
FISCAL YEAR 2020-2021
(amounts in millions)

EXHIBIT H

	2020 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2021 JANUARY	FEBRUARY	MARCH	10 Months Ended January 31 2021	2020	$ Increase/ (Decrease)	% Increase/ Decrease
Beginning Fund Balance	**$ 63.4**	**$ 392.9**	**$ 522.1**	**$ 495.2**	**$ 1,603.0**	**$ 2,144.5**	**$ 2,337.3**	**$ 3,300.3**	**$ 4,126.3**	**$ 3,473.8**			**$ 63.4**	**$ 64.8**	**$ (1.4)**	**-2.2%**
RECEIPTS:																
Taxes:																
Personal Income Tax	1,033.1	1,099.6	2,184.2	5,115.4	1,361.5	2,635.7	1,265.8	1,287.4	2,416.4	4,726.5			23,125.6	23,108.2	17.4	0.1%
Consumption/Use Taxes:																
Sales and Use	394.2	369.7	572.0	529.7	536.3	708.9	549.9	544.9	706.7	597.8			5,510.1	6,293.8	(783.7)	-12.5%
Total Consumption/Use Taxes	**394.2**	**369.7**	**572.0**	**529.7**	**536.3**	**708.9**	**549.9**	**544.9**	**706.7**	**597.8**	**-**	**-**	**5,510.1**	**6,293.8**	**(783.7)**	**-12.5%**
Other Taxes:																
Real Estate Transfer	57.2	48.4	37.9	53.0	56.4	70.3	65.8	78.9	95.2	110.9			674.0	856.7	(182.7)	-21.3%
Employer Compensation Expense Tax	0.1	(0.1)	0.1	0.1	0.1	0.1	0.2	0.1	0.4	0.3			1.4	1.0	0.4	40.0%
Total Other Taxes	**57.3**	**48.3**	**38.0**	**53.1**	**56.5**	**70.4**	**66.0**	**79.0**	**95.6**	**111.2**	**-**	**-**	**675.4**	**857.7**	**(182.3)**	**-21.3%**
Total Taxes	**1,484.6**	**1,517.6**	**2,794.2**	**5,698.2**	**1,954.3**	**3,415.0**	**1,881.7**	**1,911.3**	**3,218.7**	**5,435.5**	**-**	**-**	**29,311.1**	**30,259.7**	**(948.6)**	**-3.1%**
Miscellaneous Receipts:																
Assessments:																
Medical Care	-	-	-	-	-	-	-	-	-	-			-	-	-	0.0%
Fees, Licenses and Permits:																
Alcohol Beverage Control Licensing	-	-	-	-	-	-	-	-	-	-			-	-	-	0.0%
Business/Professional	-	-	-	-	-	-	-	-	-	-			-	-	-	0.0%
Civil	-	-	-	-	-	-	-	-	-	-			-	-	-	0.0%
Criminal	-	-	-	-	-	-	-	-	-	-			-	-	-	0.0%
Motor Vehicle	-	-	-	-	-	-	-	-	-	-			-	-	-	0.0%
Recreational/Consumer	-	-	-	-	-	-	-	-	-	-			-	-	-	0.0%
Interest Earnings	0.1	0.1	-	-	-	-	-	0.1	-	-			0.3	1.5	(1.2)	-80.0%
Receipts from Public Authorities:																
Bond Proceeds	-	-	-	-	-	-	-	-	0.4	-			0.4	-	0.4	100.0%
Receipts from Municipalities	-	0.3	0.1	0.1	-	-	-	1.9	-	0.7			3.1	1.8	1.3	72.2%
Rentals	-	-	-	-	-	-	-	-	-	-			-	-	-	0.0%
Revenues of State Departments:																
Patient/Client Care Reimbursement	47.2	13.4	42.6	45.8	46.2	36.2	20.9	20.0	50.2	17.8			340.3	408.3	(68.0)	-16.7%
All Other	-	-	-	-	-	-	-	-	-	-			-	0.1	(0.1)	-100.0%
Sales	-	-	-	-	-	-	-	-	-	-			-	-	-	0.0%
Total Miscellaneous Receipts	**47.3**	**13.8**	**42.7**	**45.9**	**46.2**	**36.2**	**20.9**	**22.0**	**50.6**	**18.5**	**-**	**-**	**344.1**	**411.7**	**(67.6)**	**-16.4%**
Federal Receipts	-	-	-	-	-	24.4	-	-	12.5	-			36.9	38.4	(1.5)	-3.9%
Total Receipts	**1,531.9**	**1,531.4**	**2,836.9**	**5,744.1**	**2,000.5**	**3,475.6**	**1,902.6**	**1,933.3**	**3,281.8**	**5,454.0**	**-**	**-**	**29,692.1**	**30,709.8**	**(1,017.7)**	**-3.3%**
DISBURSEMENTS:																
Departmental Operations:																
Non-Personal Service	-	0.9	12.1	5.8	2.9	7.9	-	3.2	(8.9)	0.1			24.0	27.0	(3.0)	-11.1%
Debt Service, Including Payments on Financing Agreements	36.5	23.5	28.9	10.7	337.6	841.8	39.8	30.4	1,229.8	10.6			2,589.6	1,556.8	1,032.8	66.3%
Total Disbursements	**36.5**	**24.4**	**41.0**	**16.5**	**340.5**	**849.7**	**39.8**	**33.6**	**1,220.9**	**10.7**	**-**	**-**	**2,613.6**	**1,583.8**	**1,029.8**	**65.0%**
Excess (Deficiency) of Receipts over Disbursements	**1,495.4**	**1,507.0**	**2,795.9**	**5,727.6**	**1,660.0**	**2,625.9**	**1,862.8**	**1,899.7**	**2,060.9**	**5,443.3**	**-**	**-**	**27,078.5**	**29,126.0**	**(2,047.5)**	**-7.0%**
OTHER FINANCING SOURCES (USES):																
Transfers from Other Funds	280.2	130.3	39.0	311.2	49.4	247.4	319.1	89.5	135.4	367.2			1,968.7	2,493.3	(524.6)	-21.0%
Transfers to Other Funds	(1,446.1)	(1,508.1)	(2,861.8)	(4,931.0)	(1,167.9)	(2,680.5)	(1,218.9)	(1,163.2)	(2,848.8)	(2,075.1)			(21,901.4)	(29,102.6)	(7,201.2)	-24.7%
Total Other Financing Sources (Uses)	**(1,165.9)**	**(1,377.8)**	**(2,822.8)**	**(4,619.8)**	**(1,118.5)**	**(2,433.1)**	**(899.8)**	**(1,073.7)**	**(2,713.4)**	**(1,707.9)**	**-**	**-**	**(19,932.7)**	**(26,609.3)**	**6,676.6**	**25.1%**
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	**329.5**	**129.2**	**(26.9)**	**1,107.8**	**541.5**	**192.8**	**963.0**	**826.0**	**(652.5)**	**3,735.4**	**-**	**-**	**7,145.8**	**2,516.7**	**4,629.1**	**183.9%**
Ending Fund Balance	**$ 392.9**	**$ 522.1**	**$ 495.2**	**$ 1,603.0**	**$ 2,144.5**	**$ 2,337.3**	**$ 3,300.3**	**$ 4,126.3**	**$ 3,473.8**	**$ 7,209.2**	**$ -**	**$ -**	**$ 7,209.2**	**$ 2,581.5**	**$ 4,627.7**	**179.3%**

STATE OF NEW YORK
CAPITAL PROJECTS FUNDS - COMBINED
STATEMENT OF CASH FLOW
FISCAL YEAR 2020-2021
(amounts in millions)

EXHIBIT I

	2020 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2021 JANUARY	FEBRUARY	MARCH	Intra-Fund Transfer Eliminations (*)	10 Months Ended January 31 2021	2020	$ Increase/ (Decrease)	% Increase/ Decrease
Beginning Fund Balance	$ (1,034.9)	$ (1,155.0)	$ (1,322.2)	$ (1,207.9)	$ (930.7)	$ (1,080.2)	$ (1,488.9)	$ (1,423.1)	$ (1,464.9)	$ (1,194.7)				$ (1,034.9)	$ (1,137.9)	$ 103.0	9.1%
RECEIPTS:																	
Taxes:																	
Consumption/Use Taxes:																	
Auto Rental	0.6	0.1	10.1	-	-	15.6	0.1	-	15.3	-			-	41.8	70.6	(28.8)	-40.8%
Motor Fuel	23.8	16.7	24.9	31.2	33.4	32.7	31.3	31.1	33.7	23.5			-	282.3	345.2	(62.9)	-18.2%
Highway Use	11.6	8.8	12.4	12.4	10.2	12.7	10.6	11.4	12.6	11.6			-	114.3	122.0	(7.7)	-6.3%
Total Consumption/Use Taxes	**36.0**	**25.6**	**47.4**	**43.6**	**43.6**	**61.0**	**42.0**	**42.5**	**61.6**	**35.1**	**-**	**-**	**-**	**438.4**	**537.8**	**(99.4)**	**-18.5%**
Business Taxes:																	
Corporation Franchise	-	-	-	-	-	-	-	-	-	-			-	-	-	-	0.0%
Corporation and Utilities	0.1	(1.5)	0.6	3.6	0.1	2.2	0.7	-	2.3	0.2			-	8.3	11.1	(2.8)	-25.2%
Petroleum Business	38.0	22.2	48.0	49.1	48.3	55.2	49.9	46.3	44.8	42.7			-	444.5	558.6	(114.1)	-20.4%
Total Business Taxes	**38.1**	**20.7**	**48.6**	**52.7**	**48.4**	**57.4**	**50.6**	**46.3**	**47.1**	**42.9**	**-**	**-**	**-**	**452.8**	**569.7**	**(116.9)**	**-20.5%**
Other Taxes:																	
Real Estate Transfer	-	-	11.9	11.9	11.9	11.9	12.0	11.9	11.9	11.9			-	95.3	95.3	-	0.0%
Total Other Taxes	**-**	**-**	**11.9**	**11.9**	**11.9**	**11.9**	**12.0**	**11.9**	**11.9**	**11.9**	**-**	**-**	**-**	**95.3**	**95.3**	**-**	**0.0%**
Total Taxes	**74.1**	**46.3**	**107.9**	**108.2**	**103.9**	**130.3**	**104.6**	**100.7**	**120.6**	**89.9**	**-**	**-**	**-**	**986.5**	**1,202.8**	**(216.3)**	**-18.0%**
Miscellaneous Receipts:																	
Abandoned Property:																	
Bottle Bill	-	-	-	23.0	-	-	-	-	-	-			-	23.0	23.0	-	0.0%
Assessments:																	
Business	7.9	3.6	5.4	7.0	7.6	7.1	7.3	7.3	6.5	6.7			-	66.4	85.4	(19.0)	-22.2%
Fees, Licenses and Permits:																	
Business/Professional	1.8	2.1	1.6	1.3	9.3	3.1	2.5	2.3	0.6	0.4			-	25.0	29.0	(4.0)	-13.8%
Civil	-	-	-	-	-	-	-	-	-	-			-	-	-	-	0.0%
Motor Vehicle	52.2	33.2	43.1	60.6	63.4	57.4	60.7	60.3	59.6	59.9			-	550.4	614.6	(64.2)	-10.4%
Recreational/Consumer	-	-	0.5	-	11.0	7.7	0.1	-	0.8	0.1			-	20.2	30.8	(10.6)	-34.4%
Fines, Penalties and Forfeitures	2.0	1.9	2.0	1.2	3.2	2.2	1.9	1.4	1.1	2.5			-	19.4	22.2	(2.8)	-12.6%
Interest Earnings	0.7	0.5	0.1	-	0.1	0.1	-	0.1	0.1	-			-	1.7	9.7	(8.0)	-82.5%
Receipts from Public Authorities:																	
Bond Proceeds	1,122.1	19.1	342.4	269.9	32.4	384.7	895.8	1.0	981.9	3.9			-	4,053.2	3,543.1	510.1	14.4%
Issuance Fees	-	-	-	-	-	-	-	-	-	-			-	-	-	-	0.0%
Non Bond Related	0.1	-	0.5	0.1	0.5	0.1	0.4	-	0.4	15.2			-	17.3	52.3	(35.0)	-66.9%
Receipts from Municipalities	-	-	0.1	-	0.3	-	0.1	-	-	0.1			-	0.6	3.1	(2.5)	-80.6%
Rentals	0.5	1.1	2.1	2.2	3.8	1.2	0.8	0.9	0.9	1.6			-	15.1	8.1	7.0	86.4%
Revenues of State Departments:																	
Administrative Recoveries	-	-	-	-	-	-	-	-	-	-			-	-	-	-	0.0%
Gifts, Grants and Donations	-	0.7	5.8	-	1.3	1.6	0.8	0.4	1.7	0.1			-	12.4	20.4	(8.0)	-39.2%
Indirect Cost Recoveries	-	-	-	-	-	-	-	-	-	-			-	-	(0.9)	0.9	100.0%
Rebates	-	-	-	-	-	-	-	-	-	-			-	-	0.2	(0.2)	-100.0%
Restitution and Settlements	3.1	0.2	-	0.1	0.9	1.4	3.3	0.4	0.8	0.9			-	11.1	12.0	(0.9)	-7.5%
All Other	0.3	1.8	12.0	0.2	0.7	13.7	4.7	1.3	0.8	(12.8)			-	22.7	54.4	(31.7)	-58.3%
Sales	-	-	-	-	0.1	0.1	-	-	-	0.1			-	0.3	4.6	(4.3)	-93.5%
Total Miscellaneous Receipts	**1,190.7**	**64.2**	**415.6**	**365.6**	**134.6**	**480.4**	**978.4**	**75.4**	**1,055.2**	**78.7**	**-**	**-**	**-**	**4,838.8**	**4,512.0**	**326.8**	**7.2%**
Federal Receipts	85.7	102.7	167.9	209.1	186.7	177.2	167.7	221.9	228.2	125.9			-	1,673.0	1,850.5	(177.5)	-9.6%
Total Receipts	**1,350.5**	**213.2**	**691.4**	**682.9**	**425.2**	**787.9**	**1,250.7**	**398.0**	**1,404.0**	**294.5**	**-**	**-**	**-**	**7,498.3**	**7,565.3**	**(67.0)**	**-0.9%**

STATE OF NEW YORK
CAPITAL PROJECTS FUNDS - COMBINED
STATEMENT OF CASH FLOW
FISCAL YEAR 2020-2021
(amounts in millions)

EXHIBIT I

	2020 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2021 JANUARY	FEBRUARY	MARCH	Intra-Fund Transfer Eliminations (*)	10 Months Ended January 31 2021	2020	$ Increase/ (Decrease)	% Increase/ Decrease
DISBURSEMENTS:																	
Local Assistance Grants:																	
Education	12.0	-	4.9	1.0	1.0	1.4	20.3	45.6	4.2	1.3			-	91.7	165.2	(73.5)	-44.5%
Environment and Recreation	4.4	3.2	14.0	12.6	20.6	15.3	6.9	9.8	20.4	36.3			-	143.5	379.9	(236.4)	-62.2%
General Government	30.4	10.9	41.5	36.0	69.0	18.7	90.4	55.6	51.2	60.4			-	464.1	761.2	(297.1)	-39.0%
Public Health:																	
Medicaid	-	-	-	-	-	-	-	-	-	-			-	-	-	-	0.0%
Other Public Health	29.0	58.2	30.9	38.7	76.1	25.7	50.9	41.5	45.5	41.0			-	437.5	446.7	(9.2)	-2.1%
Public Safety	-	-	3.8	0.9	1.1	0.7	0.3	34.0	1.3	3.3			-	45.4	84.1	(38.7)	-46.0%
Public Welfare	-	33.8	73.7	71.7	53.1	110.4	24.6	7.8	96.3	16.8			-	488.2	353.4	134.8	38.1%
Support and Regulate Business	43.2	7.7	24.8	20.9	71.6	11.4	53.5	28.0	133.8	20.8			-	415.7	731.5	(315.8)	-43.2%
Transportation	24.4	46.2	50.0	91.9	146.7	369.3	483.6	503.9	220.4	200.6			-	2,137.0	1,407.0	730.0	51.9%
Total Local Assistance Grants	**143.4**	**160.0**	**243.6**	**273.7**	**439.2**	**552.9**	**730.5**	**726.2**	**573.1**	**380.5**	**-**	**-**	**-**	**4,223.1**	**4,329.0**	**(105.9)**	**-2.4%**
Departmental Operations:																	
Personal Service	-	-	-	-	-	-	-	-	-	-			-	-	-	-	0.0%
Non-Personal Service	-	-	-	-	-	-	-	-	-	-			-	-	-	-	0.0%
General State Charges	-	-	-	-	-	-	-	-	-	-			-	-	-	-	0.0%
Capital Projects	509.8	406.0	681.8	641.4	665.4	706.7	533.6	609.8	630.5	453.5			-	5,838.5	5,976.8	(138.3)	-2.3%
Total Disbursements	**653.2**	**566.0**	**925.4**	**915.1**	**1,104.6**	**1,259.6**	**1,264.1**	**1,336.0**	**1,203.6**	**834.0**	**-**	**-**	**-**	**10,061.6**	**10,305.8**	**(244.2)**	**-2.4%**
Excess (Deficiency) of Receipts over Disbursements	**697.3**	**(352.8)**	**(234.0)**	**(232.2)**	**(679.4)**	**(471.7)**	**(13.4)**	**(938.0)**	**200.4**	**(539.5)**	**-**	**-**	**-**	**(2,563.3)**	**(2,740.5)**	**177.2**	**6.5%**
OTHER FINANCING SOURCES (USES):																	
Bond and Note Proceeds (net)	-	-	-	-	-	-	-	-	-	-			-	-	-	-	0.0%
Transfers from Other Funds	(805.1)	198.0	360.6	523.4	566.3	250.0	91.3	908.3	124.1	481.9			-	2,698.8	3,671.8	(973.0)	-26.5%
Transfers to Other Funds	(12.3)	(12.4)	(12.3)	(14.0)	(36.4)	(187.0)	(12.1)	(12.1)	(54.3)	(11.6)			-	(364.5)	(740.3)	(375.8)	-50.8%
Total Other Financing Sources (Uses)	**(817.4)**	**185.6**	**348.3**	**509.4**	**529.9**	**63.0**	**79.2**	**896.2**	**69.8**	**470.3**	**-**	**-**	**-**	**2,334.3**	**2,931.5**	**(597.2)**	**-20.4%**
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	**(120.1)**	**(167.2)**	**114.3**	**277.2**	**(149.5)**	**(408.7)**	**65.8**	**(41.8)**	**270.2**	**(69.2)**	**-**	**-**	**-**	**(229.0)**	**191.0**	**(420.0)**	**-219.9%**
Ending Fund Balance	**$ (1,155.0)**	**$ (1,322.2)**	**$ (1,207.9)**	**$ (930.7)**	**$ (1,080.2)**	**$ (1,488.9)**	**$ (1,423.1)**	**$ (1,464.9)**	**$ (1,194.7)**	**$ (1,263.9)**	**$ -**	**$ -**	**$ -**	**$ (1,263.9)**	**$ (946.9)**	**$ (317.0)**	**-33.5%**

(*) Intra-Fund transfer eliminations represent transfers from Capital Projects-State and Federal Funds.

STATE OF NEW YORK
CAPITAL PROJECTS FUNDS - STATE
STATEMENT OF CASH FLOW
FISCAL YEAR 2020-2021
(amounts in millions)

EXHIBIT I

	2020 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2021 JANUARY	FEBRUARY	MARCH	10 Months Ended January 31 2021	2020	$ Increase/ (Decrease)	% Increase/ Decrease
Beginning Fund Balance	$ (472.2)	$ (598.4)	$ (754.3)	$ (629.3)	$ (389.5)	$ (564.6)	$ (935.6)	$ (883.5)	$ (1,005.9)	$ (843.0)			$ (472.2)	$ (633.2)	$ 161.0	25.4%
RECEIPTS:																
Taxes:																
Consumption/Use Taxes																
Auto Rental	0.6	0.1	10.1	-	-	15.6	0.1	-	15.3	-			41.8	70.6	(28.8)	-40.8%
Motor Fuel	23.8	16.7	24.9	31.2	33.4	32.7	31.3	31.1	33.7	23.5			282.3	345.2	(62.9)	-18.2%
Highway Use	11.6	8.8	12.4	12.4	10.2	12.7	10.6	11.4	12.6	11.6			114.3	122.0	(7.7)	-6.3%
Total Consumption/Use Taxes	36.0	25.6	47.4	43.6	43.6	61.0	42.0	42.5	61.6	35.1	-	-	438.4	537.8	(99.4)	-18.5%
Business Taxes																
Corporation Franchise	-	-	-	-	-	-	-	-	-	-			-	-	-	0.0%
Corporation and Utilities	0.1	(1.5)	0.6	3.6	0.1	2.2	0.7	-	2.3	0.2			8.3	11.1	(2.8)	-25.2%
Petroleum Business	38.0	22.2	48.0	49.1	48.3	55.2	49.9	46.3	44.8	42.7			444.5	558.6	(114.1)	-20.4%
Total Business Taxes	38.1	20.7	48.6	52.7	48.4	57.4	50.6	46.3	47.1	42.9	-	-	452.8	569.7	(116.9)	-20.5%
Other Taxes																
Real Estate Transfer	-	-	11.9	11.9	11.9	11.9	12.0	11.9	11.9	11.9			95.3	95.3	-	0.0%
Total Other Taxes	-	-	11.9	11.9	11.9	11.9	12.0	11.9	11.9	11.9	-	-	95.3	95.3	-	0.0%
Total Taxes	74.1	46.3	107.9	108.2	103.9	130.3	104.6	100.7	120.6	89.9	-	-	986.5	1,202.8	(216.3)	-18.0%
Miscellaneous Receipts:																
Abandoned Property:																
Bottle Bill	-	-	-	23.0	-	-	-	-	-	-			23.0	23.0	-	0.0%
Assessments:																
Business	7.9	3.6	5.4	7.0	7.6	7.1	7.3	7.3	6.5	6.7			66.4	85.4	(19.0)	-22.2%
Fees, Licenses and Permits:																
Business/Professional	1.8	2.1	1.6	1.3	9.3	3.1	2.5	2.3	0.6	0.4			25.0	29.0	(4.0)	-13.8%
Civil	-	-	-	-	-	-	-	-	-	-			-	-	-	0.0%
Motor Vehicle	52.2	33.2	43.1	60.6	63.4	57.4	60.7	60.3	59.6	59.9			550.4	614.6	(64.2)	-10.4%
Recreational/Consumer	-	-	0.5	-	11.0	7.7	0.1	-	0.8	0.1			20.2	30.8	(10.6)	-34.4%
Fines, Penalties and Forfeitures	2.0	1.9	2.0	1.2	3.2	2.2	1.9	1.4	1.1	2.5			19.4	22.2	(2.8)	-12.6%
Interest Earnings	0.7	0.5	0.1	-	0.1	0.1	-	0.1	0.1	-			1.7	9.7	(8.0)	-82.5%
Receipts from Public Authorities:																
Bond Proceeds	1,122.1	19.1	342.4	269.9	32.4	384.7	895.8	1.0	981.9	3.9			4,053.2	3,543.1	510.1	14.4%
Issuance Fees	-	-	-	-	-	-	-	-	-	-			-	-	-	0.0%
Non Bond Related	0.1	-	0.5	0.1	0.5	0.1	0.4	-	0.4	15.2			17.3	52.3	(35.0)	-66.9%
Receipts from Municipalities	-	-	0.1	-	0.3	-	0.1	-	-	0.1			0.6	3.1	(2.5)	-80.6%
Rentals	0.4	1.1	2.0	2.2	3.7	1.1	0.7	0.8	0.8	1.6			14.4	7.4	7.0	94.6%
Revenues of State Departments:																
Administrative Recoveries	-	-	-	-	-	-	-	-	-	-			-	-	-	0.0%
Gifts, Grants and Donations	-	0.7	5.8	-	1.3	1.6	0.8	0.4	1.7	0.1			12.4	20.4	(8.0)	-39.2%
Indirect Cost Recoveries	-	-	-	-	-	-	-	-	-	-			-	(0.9)	0.9	100.0%
Rebates	-	-	-	-	-	-	-	-	-	-			-	0.2	(0.2)	-100.0%
Restitution and Settlements	3.1	0.2	-	0.1	0.9	1.4	3.3	0.4	0.8	0.9			11.1	12.0	(0.9)	-7.5%
All Other	0.3	1.8	12.0	0.2	0.7	13.7	4.7	1.3	0.8	(12.8)			22.7	54.4	(31.7)	-58.3%
Sales	-	-	-	-	0.1	-	-	-	-	0.1			0.2	4.6	(4.4)	-95.7%
Total Miscellaneous Receipts	1,190.6	64.2	415.5	365.6	134.5	480.2	978.3	75.3	1,055.1	78.7	-	-	4,838.0	4,511.3	326.7	7.2%
Federal Receipts	-	-	-	-	-	2.1	-	-	-	-			2.1	2.3	(0.2)	-8.7%
Total Receipts	1,264.7	110.5	523.4	473.8	238.4	612.6	1,082.9	176.0	1,175.7	168.6	-	-	5,826.6	5,716.4	110.2	1.9%

STATE OF NEW YORK
CAPITAL PROJECTS FUNDS - STATE
STATEMENT OF CASH FLOW
FISCAL YEAR 2020-2021
(amounts in millions)

EXHIBIT I

	2020 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2021 JANUARY	FEBRUARY	MARCH	10 Months Ended January 31 2021	2020	$ Increase/ (Decrease)	% Increase/ Decrease
DISBURSEMENTS:																
Local Assistance Grants:																
Education	12.0	-	4.9	1.0	1.0	1.4	20.3	45.6	4.2	1.3			91.7	165.2	(73.5)	-44.5%
Environment and Recreation	4.4	3.2	14.0	12.6	20.6	15.3	6.9	9.8	20.4	36.3			143.5	208.9	(65.4)	-31.3%
General Government	30.4	10.9	41.5	36.0	69.0	18.7	90.4	55.6	51.2	60.4			464.1	761.2	(297.1)	-39.0%
Public Health:																
Medicaid	-	-	-	-	-	-	-	-	-	-			-	-	-	0.0%
Other Public Health	29.0	58.2	30.9	37.5	76.1	25.1	50.9	41.5	45.2	41.0			435.4	407.6	27.8	6.8%
Public Safety	-	-	0.7	0.9	1.1	0.3	0.3	34.0	0.4	3.3			41.0	55.5	(14.5)	-26.1%
Public Welfare	-	33.8	73.7	71.7	53.1	110.4	24.6	7.8	96.3	16.8			488.2	353.4	134.8	38.1%
Support and Regulate Business	43.2	7.7	24.8	20.9	71.6	11.4	53.5	28.0	133.8	20.8			415.7	731.5	(315.8)	-43.2%
Transportation	2.4	4.7	14.4	50.4	104.0	316.6	432.9	456.5	155.5	154.9			1,692.3	991.2	701.1	70.7%
Total Local Assistance Grants	**121.4**	**118.5**	**204.9**	**231.0**	**396.5**	**499.2**	**679.8**	**678.8**	**507.0**	**334.8**	**-**	**-**	**3,771.9**	**3,674.5**	**97.4**	**2.7%**
Departmental Operations:																
Personal Service	-	-	-	-	-	-	-	-	-	-			-	-	-	0.0%
Non-Personal Service	-	-	-	-	-	-	-	-	-	-			-	-	-	0.0%
General State Charges	-	-	-	-	-	-	-	-	-	-			-	-	-	0.0%
Capital Projects	452.1	333.5	541.8	512.4	546.9	547.4	430.2	515.8	575.6	385.8			4,841.5	4,998.0	(156.5)	-3.1%
Total Disbursements	**573.5**	**452.0**	**746.7**	**743.4**	**943.4**	**1,046.6**	**1,110.0**	**1,194.6**	**1,082.6**	**720.6**	**-**	**-**	**8,613.4**	**8,672.5**	**(59.1)**	**-0.7%**
Excess (Deficiency) of Receipts over Disbursements	**691.2**	**(341.5)**	**(223.3)**	**(269.6)**	**(705.0)**	**(434.0)**	**(27.1)**	**(1,018.6)**	**93.1**	**(552.0)**	**-**	**-**	**(2,786.8)**	**(2,956.1)**	**169.3**	**5.7%**
OTHER FINANCING SOURCES (USES):																
Bond and Note Proceeds (net)	-	-	-	-	-	-	-	-	-	-			-	-	-	0.0%
Transfers from Other Funds	(805.1)	198.0	360.6	523.4	566.3	250.0	91.3	908.3	124.1	481.9			2,698.8	3,847.7	(1,148.9)	-29.9%
Transfers to Other Funds	(12.3)	(12.4)	(12.3)	(14.0)	(36.4)	(187.0)	(12.1)	(12.1)	(54.3)	(11.6)			(364.5)	(740.1)	(375.6)	-50.7%
Total Other Financing Sources (Uses)	**(817.4)**	**185.6**	**348.3**	**509.4**	**529.9**	**63.0**	**79.2**	**896.2**	**69.8**	**470.3**	**-**	**-**	**2,334.3**	**3,107.6**	**(773.3)**	**-24.9%**
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	**(126.2)**	**(155.9)**	**125.0**	**239.8**	**(175.1)**	**(371.0)**	**52.1**	**(122.4)**	**162.9**	**(81.7)**	**-**	**-**	**(452.5)**	**151.5**	**(604.0)**	**-398.7%**
Ending Fund Balance	**$ (598.4)**	**$ (754.3)**	**$ (629.3)**	**$ (389.5)**	**$ (564.6)**	**$ (935.6)**	**$ (883.5)**	**$ (1,005.9)**	**$ (843.0)**	**$ (924.7)**	**$ -**	**$ -**	**$ (924.7)**	**$ (481.7)**	**$ (443.0)**	**-92.0%**

STATE OF NEW YORK
CAPITAL PROJECTS FUNDS - FEDERAL
STATEMENT OF CASH FLOW
FISCAL YEAR 2020-2021
(amounts in millions)

EXHIBIT I

	2020 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2021 JANUARY	FEBRUARY	MARCH	10 Months Ended January 31 2021	2020	$ Increase/ (Decrease)	% Increase/ Decrease
Beginning Fund Balance	$ (562.7)	$ (556.6)	$ (567.9)	$ (578.6)	$ (541.2)	$ (515.6)	$ (553.3)	$ (539.6)	$ (459.0)	$ (351.7)			$ (562.7)	$ (504.7)	$ (58.0)	-11.5%
RECEIPTS:																
Miscellaneous Receipts:																
Abandoned Property:																
Bottle Bill	-	-	-	-	-	-	-	-	-	-			-	-	-	0.0%
Assessments:																
Business	-	-	-	-	-	-	-	-	-	-			-	-	-	0.0%
Fees, Licenses and Permits:																
Business/Professional	-	-	-	-	-	-	-	-	-	-			-	-	-	0.0%
Civil	-	-	-	-	-	-	-	-	-	-			-	-	-	0.0%
Motor Vehicle	-	-	-	-	-	-	-	-	-	-			-	-	-	0.0%
Recreational/Consumer	-	-	-	-	-	-	-	-	-	-			-	-	-	0.0%
Fines, Penalties and Forfeitures	-	-	-	-	-	-	-	-	-	-			-	-	-	0.0%
Interest Earnings	-	-	-	-	-	-	-	-	-	-			-	-	-	0.0%
Receipts from Public Authorities:																
Bond Proceeds	-	-	-	-	-	-	-	-	-	-			-	-	-	0.0%
Issuance Fees	-	-	-	-	-	-	-	-	-	-			-	-	-	0.0%
Non Bond Related	-	-	-	-	-	-	-	-	-	-			-	-	-	0.0%
Receipts from Municipalities	-	-	-	-	-	-	-	-	-	-			-	-	-	0.0%
Rentals	0.1	-	0.1	-	0.1	0.1	0.1	0.1	0.1	-			0.7	0.7	-	0.0%
Revenues of State Departments:																
Administrative Recoveries	-	-	-	-	-	-	-	-	-	-			-	-	-	0.0%
Gifts, Grants and Donations	-	-	-	-	-	-	-	-	-	-			-	-	-	0.0%
Indirect Cost Recoveries	-	-	-	-	-	-	-	-	-	-			-	-	-	0.0%
Restitution and Settlements	-	-	-	-	-	-	-	-	-	-			-	-	-	0.0%
All Other	-	-	-	-	-	-	-	-	-	-			-	-	-	0.0%
Sales	-	-	-	-	-	0.1	-	-	-	-			0.1	-	0.1	100.0%
Total Miscellaneous Receipts	**0.1**	**-**	**0.1**	**-**	**0.1**	**0.2**	**0.1**	**0.1**	**0.1**	**-**	**-**	**-**	**0.8**	**0.7**	**0.1**	**14.3%**
Federal Receipts	85.7	102.7	167.9	209.1	186.7	175.1	167.7	221.9	228.2	125.9			1,670.9	1,848.2	(177.3)	-9.6%
Total Receipts	**85.8**	**102.7**	**168.0**	**209.1**	**186.8**	**175.3**	**167.8**	**222.0**	**228.3**	**125.9**	**-**	**-**	**1,671.7**	**1,848.9**	**(177.2)**	**-9.6%**
DISBURSEMENTS:																
Local Assistance Grants:																
Education	-	-	-	-	-	-	-	-	-	-			-	-	-	0.0%
Environment and Recreation	-	-	-	-	-	-	-	-	-	-			-	171.0	(171.0)	-100.0%
General Government	-	-	-	-	-	-	-	-	-	-			-	-	-	0.0%
Public Health:																
Medicaid	-	-	-	-	-	-	-	-	-	-			-	-	-	0.0%
Other Public Health	-	-	-	1.2	-	0.6	-	-	0.3	-			2.1	39.1	(37.0)	-94.6%
Public Safety	-	-	3.1	-	-	0.4	-	-	0.9	-			4.4	28.6	(24.2)	-84.6%
Public Welfare	-	-	-	-	-	-	-	-	-	-			-	-	-	0.0%
Support and Regulate Business	-	-	-	-	-	-	-	-	-	-			-	-	-	0.0%
Transportation	22.0	41.5	35.6	41.5	42.7	52.7	50.7	47.4	64.9	45.7			444.7	415.8	28.9	7.0%
Total Local Assistance Grants	**22.0**	**41.5**	**38.7**	**42.7**	**42.7**	**53.7**	**50.7**	**47.4**	**66.1**	**45.7**	**-**	**-**	**451.2**	**654.5**	**(203.3)**	**-31.1%**
Departmental Operations:																
Personal Service	-	-	-	-	-	-	-	-	-	-			-	-	-	0.0%
Non-Personal Service	-	-	-	-	-	-	-	-	-	-			-	-	-	0.0%
General State Charges	-	-	-	-	-	-	-	-	-	-			-	-	-	0.0%
Capital Projects	57.7	72.5	140.0	129.0	118.5	159.3	103.4	94.0	54.9	67.7			997.0	978.8	18.2	1.9%
Total Disbursements	**79.7**	**114.0**	**178.7**	**171.7**	**161.2**	**213.0**	**154.1**	**141.4**	**121.0**	**113.4**	**-**	**-**	**1,448.2**	**1,633.3**	**(185.1)**	**-11.3%**
Excess (Deficiency) of Receipts over Disbursements	**6.1**	**(11.3)**	**(10.7)**	**37.4**	**25.6**	**(37.7)**	**13.7**	**80.6**	**107.3**	**12.5**	**-**	**-**	**223.5**	**215.6**	**7.9**	**3.7%**
OTHER FINANCING SOURCES (USES):																
Transfers from Other Funds	-	-	-	-	-	-	-	-	-	-			-	-	-	0.0%
Transfers to Other Funds	-	-	-	-	-	-	-	-	-	-			-	(176.1)	(176.1)	-100.0%
Total Other Financing Sources (Uses)	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**(176.1)**	**(176.1)**	**-100.0%**
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	**6.1**	**(11.3)**	**(10.7)**	**37.4**	**25.6**	**(37.7)**	**13.7**	**80.6**	**107.3**	**12.5**	**-**	**-**	**223.5**	**39.5**	**184.0**	**465.8%**
Ending Fund Balance	$ (556.6)	$ (567.9)	$ (578.6)	$ (541.2)	$ (515.6)	$ (553.3)	$ (539.6)	$ (459.0)	$ (351.7)	$ (339.2)	$ -	$ -	$ (339.2)	$ (465.2)	$ 126.0	27.1%

STATE OF NEW YORK
ENTERPRISE FUNDS
STATEMENT OF CASH FLOW
FISCAL YEAR 2020-2021
(amounts in millions)

EXHIBIT J

	2020 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2021 JANUARY	FEBRUARY	MARCH	10 Months Ended January 31 2021	2020	$ Increase/ (Decrease)	% Increase/ Decrease
Beginning Fund Balance	**$ 29.7**	**$ 45.9**	**$ 35.9**	**$ 35.3**	**$ 40.4**	**$ 42.2**	**$ 42.1**	**$ 41.3**	**$ 41.0**	**$ 38.4**			**$ 29.7**	**$ 26.6**	**$ 3.1**	**11.7%**
RECEIPTS:																
Miscellaneous Receipts	4.2	4.8	6.4	6.9	7.7	7.0	4.4	3.9	3.1	9.6			58.0	71.6	(13.6)	-19.0%
Federal Receipts	2,584.0	5,993.3	10,834.5	8,949.5	3,020.9	2,491.7	2,489.5	2,484.9	2,184.6	4,910.9			45,943.8	14.7	45,929.1	312,442.9%
Unemployment Taxes	1,823.9	2,261.7	2,631.7	1,980.9	1,831.3	1,396.4	613.4	544.2	561.3	499.8			14,144.6	1,704.7	12,439.9	729.7%
Total Receipts	**4,412.1**	**8,259.8**	**13,472.6**	**10,937.3**	**4,859.9**	**3,895.1**	**3,107.3**	**3,033.0**	**2,749.0**	**5,420.3**	**-**	**-**	**60,146.4**	**1,791.0**	**58,355.4**	**3,258.3%**
DISBURSEMENTS:																
Departmental Operations:																
Personal Service	1.4	0.9	1.1	1.1	1.3	1.7	1.1	0.9	0.9	0.7			11.1	15.3	(4.2)	-27.5%
Non-Personal Service	3.6	4.9	5.4	4.9	4.6	4.4	4.1	3.9	4.6	3.6			44.0	50.0	(6.0)	-12.0%
General State Charges	0.2	0.1	0.2	0.1	0.1	0.2	0.1	0.2	0.2	-			1.4	1.4	-	0.0%
Unemployment Benefits	4,390.7	8,263.9	13,469.5	10,926.1	4,852.1	3,888.9	3,102.8	3,028.3	2,745.9	5,411.4			60,079.6	1,720.5	58,359.1	3,392.0%
Total Disbursements	**4,395.9**	**8,269.8**	**13,476.2**	**10,932.2**	**4,858.1**	**3,895.2**	**3,108.1**	**3,033.3**	**2,751.6**	**5,415.7**	**-**	**-**	**60,136.1**	**1,787.2**	**58,348.9**	**3,264.8%**
Excess (Deficiency) of Receipts over Disbursements	**16.2**	**(10.0)**	**(3.6)**	**5.1**	**1.8**	**(0.1)**	**(0.8)**	**(0.3)**	**(2.6)**	**4.6**	**-**	**-**	**10.3**	**3.8**	**6.5**	**171.1%**
OTHER FINANCING SOURCES (USES):																
Transfers from Other Funds	-	-	3.0	-	-	-	-	-	-	-			3.0	-	3.0	100.0%
Transfers to Other Funds	-	-	-	-	-	-	-	-	-	-			-	-	-	0.0%
Total Other Financing Sources (Uses)	**-**	**-**	**3.0**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**3.0**	**-**	**3.0**	**100.0%**
Excess (Deficiency) of Receipts and Other Financing Sources Over Disbursements and Other Financing Uses	**16.2**	**(10.0)**	**(0.6)**	**5.1**	**1.8**	**(0.1)**	**(0.8)**	**(0.3)**	**(2.6)**	**4.6**	**-**	**-**	**13.3**	**3.8**	**9.5**	**250.0%**
Ending Fund Balance	**$ 45.9**	**$ 35.9**	**$ 35.3**	**$ 40.4**	**$ 42.2**	**$ 42.1**	**$ 41.3**	**$ 41.0**	**$ 38.4**	**$ 43.0**	**$ -**	**$ -**	**$ 43.0**	**$ 30.4**	**$ 12.6**	**41.4%**

STATE OF NEW YORK
INTERNAL SERVICE FUNDS
STATEMENT OF CASH FLOW
FISCAL YEAR 2020-2021
(amounts in millions)

EXHIBIT K

	2020 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2021 JANUARY	FEBRUARY	MARCH	10 Months Ended January 31 2021	2020	$ Increase/ (Decrease)	% Increase/ Decrease
Beginning Fund Balance	$ (297.5)	$ (281.0)	$ (299.2)	$ (315.2)	$ (342.3)	$ (355.2)	$ (372.7)	$ (389.1)	$ (416.4)	$ (427.5)			$ (297.5)	$ (302.7)	$ 5.2	1.7%
RECEIPTS:																
Miscellaneous Receipts	25.2	22.3	34.9	19.8	32.4	34.1	53.0	29.0	46.0	33.0			329.7	468.8	(139.1)	-29.7%
Total Receipts	25.2	22.3	34.9	19.8	32.4	34.1	53.0	29.0	46.0	33.0	-	-	329.7	468.8	(139.1)	-29.7%
DISBURSEMENTS:																
Departmental Operations:																
Personal Service	14.5	11.1	11.0	12.3	9.9	14.6	10.2	9.9	10.7	9.4			113.6	106.3	7.3	6.9%
Non-Personal Service	(9.3)	26.6	83.3	31.7	41.6	35.2	61.3	44.8	45.0	6.5			366.7	339.5	27.2	8.0%
General State Charges	4.8	5.3	8.6	4.6	5.7	4.8	4.8	4.6	4.3	4.5			52.0	48.1	3.9	8.1%
Total Disbursements	10.0	43.0	102.9	48.6	57.2	54.6	76.3	59.3	60.0	20.4	-	-	532.3	493.9	38.4	7.8%
Excess (Deficiency) of Receipts over Disbursements	15.2	(20.7)	(68.0)	(28.8)	(24.8)	(20.5)	(23.3)	(30.3)	(14.0)	12.6	-	-	(202.6)	(25.1)	(177.5)	-707.2%
OTHER FINANCING SOURCES (USES):																
Transfers from Other Funds	1.3	2.5	52.0	1.7	12.1	3.1	6.9	3.1	5.8	1.4			89.9	67.7	22.2	32.8%
Transfers to Other Funds	-	-	-	-	(0.2)	(0.1)	-	(0.1)	(2.9)	-			(3.3)	(4.3)	(1.0)	-23.3%
Total Other Financing Sources (Uses)	1.3	2.5	52.0	1.7	11.9	3.0	6.9	3.0	2.9	1.4	-	-	86.6	63.4	23.2	36.6%
Excess (Deficiency) of Receipts and Other Financing Sources Over Disbursements and Other Financing Uses	16.5	(18.2)	(16.0)	(27.1)	(12.9)	(17.5)	(16.4)	(27.3)	(11.1)	14.0	-	-	(116.0)	38.3	(154.3)	-402.9%
Ending Fund Balance	$ (281.0)	$ (299.2)	$ (315.2)	$ (342.3)	$ (355.2)	$ (372.7)	$ (389.1)	$ (416.4)	$ (427.5)	$ (413.5)	$ -	$ -	$ (413.5)	$ (264.4)	$ (149.1)	-56.4%

STATE OF NEW YORK
PENSION TRUST FUNDS
STATEMENT OF CASH FLOW
FISCAL YEAR 2020-2021
(amounts in millions)

EXHIBIT L

	2020 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2021 JANUARY	FEBRUARY	MARCH	10 Months Ended January 31 2021	2020	$ Increase/ (Decrease)	% Increase/ Decrease
Beginning Fund Balance	$ (1.1)	$ (5.3)	$ (9.3)	$ (15.3)	$ (1.7)	$ (5.9)	$ (4.2)	$ (2.9)	$ (3.6)	$ (1.3)			$ (1.1)	$ (3.0)	$ 1.9	63.3%
RECEIPTS:																
Miscellaneous Receipts	8.3	5.5	5.4	23.5	5.6	14.3	11.0	5.6	15.8	5.6			100.6	118.1	(17.5)	-14.8%
Total Receipts	**8.3**	**5.5**	**5.4**	**23.5**	**5.6**	**14.3**	**11.0**	**5.6**	**15.8**	**5.6**	**-**	**-**	**100.6**	**118.1**	**(17.5)**	**-14.8%**
DISBURSEMENTS:																
Departmental Operations:																
Personal Service	8.3	5.5	5.6	5.5	5.5	8.3	5.6	5.5	5.6	5.5			60.9	57.2	3.7	6.5%
Non-Personal Service	0.7	0.6	0.6	1.0	0.9	0.8	0.7	0.7	2.7	2.2			10.9	30.7	(19.8)	-64.5%
General State Charges	3.5	3.4	5.2	3.4	3.4	3.5	3.4	0.1	5.2	3.4			34.5	33.3	1.2	3.6%
Total Disbursements	**12.5**	**9.5**	**11.4**	**9.9**	**9.8**	**12.6**	**9.7**	**6.3**	**13.5**	**11.1**	**-**	**-**	**106.3**	**121.2**	**(14.9)**	**-12.3%**
Excess (Deficiency) of Receipts over Disbursements	**(4.2)**	**(4.0)**	**(6.0)**	**13.6**	**(4.2)**	**1.7**	**1.3**	**(0.7)**	**2.3**	**(5.5)**	**-**	**-**	**(5.7)**	**(3.1)**	**(2.6)**	**-83.9%**
OTHER FINANCING SOURCES (USES):																
Transfers from Other Funds	-	-	-	-	-	-	-	-	-	-			-	-	-	0.0%
Transfers to Other Funds	-	-	-	-	-	-	-	-	-	-			-	-	-	0.0%
Total Other Financing Sources (Uses)	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**0.0%**
Excess (Deficiency) of Receipts and Other Financing Sources Over Disbursements and Other Financing Uses	**(4.2)**	**(4.0)**	**(6.0)**	**13.6**	**(4.2)**	**1.7**	**1.3**	**(0.7)**	**2.3**	**(5.5)**	**-**	**-**	**(5.7)**	**(3.1)**	**(2.6)**	**-83.9%**
Ending Fund Balance	$ (5.3)	$ (9.3)	$ (15.3)	$ (1.7)	$ (5.9)	$ (4.2)	$ (2.9)	$ (3.6)	$ (1.3)	$ (6.8)	$ -	$ -	$ (6.8)	$ (6.1)	$ (0.7)	-11.5%

STATE OF NEW YORK
PRIVATE PURPOSE TRUST FUNDS
STATEMENT OF CASH FLOW
FISCAL YEAR 2020-2021
(amounts in millions)

EXHIBIT M

	2020 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2021 JANUARY	FEBRUARY	MARCH	10 Months Ended January 31 2021	2020	$ Increase/ (Decrease)	% Increase/ Decrease
Beginning Fund Balance	$ 14.3	$ 14.4	$ 14.5	$ 14.6	$ 14.7	$ 14.1	$ 14.1	$ 14.2	$ 14.2	$ 14.2			$ 14.3	$ 13.2	$ 1.1	8.3%
RECEIPTS:																
Miscellaneous Receipts	0.2	0.1	0.1	0.2	(0.6)	-	0.2	-	-	0.1			0.3	1.2	(0.9)	-75.0%
Total Receipts	0.2	0.1	0.1	0.2	(0.6)	-	0.2	-	-	0.1	-	-	0.3	1.2	(0.9)	-75.0%
DISBURSEMENTS:																
Departmental Operations:																
Personal Service	-	-	-	0.1	-	-	0.1	-	-	-			0.2	0.2	-	0.0%
Non-Personal Service	-	-	-	-	-	-	-	-	-	-			-	-	-	0.0%
General State Charges	0.1	-	-	-	-	-	-	-	-	-			0.1	0.1	-	0.0%
Total Disbursements	0.1	-	-	0.1	-	-	0.1	-	-	-	-	-	0.3	0.3	-	0.0%
Excess (Deficiency) of Receipts over Disbursements	0.1	0.1	0.1	0.1	(0.6)	-	0.1	-	-	0.1	-	-	-	0.9	(0.9)	-100.0%
OTHER FINANCING SOURCES (USES):																
Transfers from Other Funds	-	-	-	-	-	-	-	-	-	-			-	-	-	0.0%
Transfers to Other Funds	-	-	-	-	-	-	-	-	-	-			-	-	-	0.0%
Total Other Financing Sources (Uses)	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Excess (Deficiency) of Receipts and Other Financing Sources Over Disbursements and Other Financing Uses	0.1	0.1	0.1	0.1	(0.6)	-	0.1	-	-	0.1	-	-	-	0.9	(0.9)	-100.0%
Ending Fund Balance	$ 14.4	$ 14.5	$ 14.6	$ 14.7	$ 14.1	$ 14.1	$ 14.2	$ 14.2	$ 14.2	$ 14.3	$ -	$ -	$ 14.3	$ 14.1	$ 0.2	1.4%

STATE OF NEW YORK
GOVERNMENTAL FUNDS
SUMMARY OF CASH RECEIPTS, DISBURSEMENTS AND CHANGES IN FUND BALANCES
FISCAL YEAR 2020-2021
FOR THE MONTH OF JANUARY 2021
(amounts in millions)

SCHEDULE 1

	BALANCE JANUARY 1, 2021	RECEIPTS	DISBURSEMENTS	OTHER FINANCING SOURCES (USES)	BALANCE JANUARY 31, 2021
GENERAL FUND					
10000-10049-Local Assistance Account	$ -	$ 0.061	$ 1,894.707	$ 1,894.646	$ -
10050-10099-State Operations Account	16,522.622	3,809.483	536.541	(492.653)	19,302.911
10100-10149-Tax Stabilization Reserve	-	-	-	-	-
10150-10199-Contingency Reserve	-	-	-	-	-
10200-10249-Universal Pre-K Reserve	-	-	-	-	-
10250-10299-Community Projects	29.774	-	0.014	-	29.760
10300-10349-Rainy Day Reserve Fund	-	-	-	-	-
10400-10449-Refund Reserve Account	-	-	-	-	-
10500-10549-Fringe Benefits Escrow	-	-	-	-	-
10550-10599-Tobacco Revenue Guarantee	-	-	-	-	-
TOTAL GENERAL FUND	**16,552.396**	**3,809.544**	**2,431.262**	**1,401.993**	**19,332.671**
SPECIAL REVENUE FUNDS-STATE					
20000-20099-Mental Health Gifts and Donations	0.831	-	0.011	-	0.820
20100-20299-Combined Expendable Trust	69.851	0.211	0.268	-	69.794
20300-20349-New York Interest on Lawyer Account	109.087	2.223	2.698	-	108.612
20350-20399-NYS Archives Partnership Trust	0.030	-	0.032	-	(0.002)
20400-20449-Child Performer's Protection	0.344	-	0.039	-	0.305
20450-20499-Tuition Reimbursement	8.546	0.067	0.216	-	8.397
20500-20549-New York State Local Government Records Management Improvement	5.985	0.940	0.264	-	6.661
20550-20599-School Tax Relief	12.573	1,972.000	1,981.693	-	2.880
20600-20649-Charter Schools Stimulus	0.578	-	-	-	0.578
20650-20699-Not-For-Profit Short Term Revolving Loan	-	-	-	-	-
20800-20849-HCRA Resources	204.855	444.110	505.138	(0.680)	143.147
20850-20899-Dedicated Mass Transportation Trust	84.169	42.881	58.900	-	68.150
20900-20949-State Lottery	(1,141.056)	315.332	149.004	-	(974.728)
20950-20999-Combined Student Loan	32.369	1.788	0.865	-	33.292
21000-21049-Sewage Treatment Program Mgmt. & Administration	(3.913)	-	0.052	-	(3.965)
21050-21149-Encon Special Revenue	5.560	6.208	8.209	-	3.559
21150-21199-Conservation	104.758	1.076	3.046	-	102.788
21200-21249-Environmental Protection and Oil Spill Compensation	15.468	1.848	1.546	(1.937)	13.833
21250-21299-Training and Education Program on OSHA	3.212	7.001	1.759	-	8.454
21300-21349-Lawyers' Fund for Client Protection	10.128	0.663	2.072	-	8.719
21350-21399-Equipment Loan for the Disabled	0.535	0.002	-	-	0.537
21400-21449-Mass Transportation Operating Assistance	27.432	164.193	1.831	(0.107)	189.687
21450-21499-Clean Air	(32.524)	3.264	2.501	-	(31.761)
21500-21549-New York State Infrastructure Trust	0.071	-	-	-	0.071
21550-21599-Legislative Computer Services	12.274	0.205	0.139	-	12.340
21600-21649-Biodiversity Stewardship and Research	-	-	-	-	-
21650-21699-Combined Non-Expendable Trust	0.469	-	-	-	0.469
21700-21749-Winter Sports Education Trust	-	-	-	-	-
21750-21799-Musical Instrument Revolving	-	-	-	-	-
21850-21899-Arts Capital Grants	0.836	0.001	-	-	0.837
21900-22499-Miscellaneous State Special Revenue	1,743.461	222.361	212.087	22.608	1,776.343
22500-22549-Court Facilities Incentive Aid	31.338	0.002	15.291	-	16.049

STATE OF NEW YORK
GOVERNMENTAL FUNDS
SUMMARY OF CASH RECEIPTS, DISBURSEMENTS AND CHANGES IN FUND BALANCES
FISCAL YEAR 2020-2021
FOR THE MONTH OF JANUARY 2021
(amounts in millions)

SCHEDULE 1

	BALANCE JANUARY 1, 2021	RECEIPTS	DISBURSEMENTS	OTHER FINANCING SOURCES (USES)	BALANCE JANUARY 31, 2021
SPECIAL REVENUE FUNDS-STATE (CONTINUED)					
22550-22599-Employment Training	0.053	-	-	-	0.053
22650-22699-State University Income	1,933.607	434.949	493.585	39.910	1,914.881
22700-22749-Chemical Dependence Service	11.176	0.204	0.708	-	10.672
22750-22799-Lake George Park Trust	0.058	-	0.081	-	(0.023)
22800-22849-State Police Motor Vehicle Law Enforcement and Motor Vehicle Theft and Insurance Fraud Prevention	93.664	15.425	0.480	(37.473)	71.136
22850-22899-New York Great Lakes Protection	0.447	-	0.011	-	0.436
22900-22949-Federal Revenue Maximization	0.024	-	-	-	0.024
22950-22999-Housing Development	9.899	0.002	(1.378)	-	11.279
23000-23049-NYS/DOT Highway Safety Program	(16.693)	0.109	0.256	-	(16.840)
23050-23099-Vocational Rehabilitation	0.071	-	-	-	0.071
23100-23149-Drinking Water Program Management and Administration	(5.351)	-	-	-	(5.351)
23150-23199-NYC County Clerks' Operations Offset	(50.731)	-	2.361	-	(53.092)
23200-23249-Judiciary Data Processing Offset	59.295	4.655	2.722	-	61.228
23250-23449-IFR/CUTRA	166.906	1.662	5.000	-	163.568
23500-23549-USOC Lake Placid Training	0.236	0.001	-	-	0.237
23550-23599-Indigent Legal Services	524.737	20.951	2.505	1.190	544.373
23600-23649-Unemployment Insurance Interest and Penalty	22.247	0.937	0.090	-	23.094
23650-23699-MTA Financial Assistance Fund	186.602	0.035	10.000	12.500	189.137
23700-23749-New York State Commercial Gaming Fund	43.151	11.007	7.644	-	46.514
23750-23799-Medical Marihuana Trust Fund	12.934	0.974	0.400	-	13.508
23800-23899-Dedicated Miscellaneous State Special Revenue	4.266	0.150	0.032	-	4.384
24850-24899-Health Care Transformation	397.852	50.037	-	-	447.889
24900-24949-Charitable Gifts Trust Fund	95.930	0.011	-	-	95.941
24950-24999-Interactive Fantasy Sports	22.998	0.738	-	-	23.736
40350-40399-State University Dormitory Income	182.637	19.925	-	(10.659)	191.903
TOTAL SPECIAL REVENUE FUNDS-STATE	**5,003.282**	**3,748.148**	**3,472.158**	**25.352**	**5,304.624**
SPECIAL REVENUE FUNDS-FEDERAL					
25000-25099-Federal USDA/Food and Consumer Services	(9.723)	422.166	402.514	(0.998)	8.931
25100-25199-Federal Health and Human Services	3,832.617	4,300.921	4,742.992	(189.701)	3,200.845
25200-25249-Federal Education	(11.860)	149.589	164.294	(0.324)	(26.889)
25300-25899-Federal Miscellaneous Operating Grants	1,966.695	906.085	973.240	-	1,899.540
25900-25949-Unemployment Insurance Administration	2.194	5.399	87.347	-	(79.754)
25950-25999-Unemployment Insurance Occupational Training	(0.523)	0.117	0.058	-	(0.464)
26000-26049-Federal Employment and Training Grants	(4.109)	8.191	17.004	-	(12.922)
TOTAL SPECIAL REVENUE FUNDS-FEDERAL	**5,775.291**	**5,792.468**	**6,387.449**	**(191.023)**	**4,989.287**
TOTAL SPECIAL REVENUE FUNDS	**10,778.573**	**9,540.616**	**9,859.607**	**(165.671)**	**10,293.911**
DEBT SERVICE FUNDS					
40000-40049-Debt Reduction Reserve	-	-	-	-	-
40100-40149-Mental Health Services	280.375	20.580	-	73.695	374.650
40150-40199-General Debt Service	3,144.695	5,025.745	10.660	(1,367.017)	6,792.763
40250-40299-State Housing Debt Service	-	0.631	-	(0.631)	-
40300-40349-Department of Health Income	31.643	(2.743)	-	(8.270)	20.630
40400-40449-Clean Water/Clean Air	17.127	110.922	-	(106.927)	21.122
40450-40499-Local Government Assistance Tax	-	298.870	-	(298.870)	-
TOTAL DEBT SERVICE FUNDS	**3,473.840**	**5,454.005**	**10.660**	**(1,708.020)**	**7,209.165**

STATE OF NEW YORK
GOVERNMENTAL FUNDS
SUMMARY OF CASH RECEIPTS, DISBURSEMENTS AND CHANGES IN FUND BALANCES
FISCAL YEAR 2020-2021
FOR THE MONTH OF JANUARY 2021
(amounts in millions)

SCHEDULE 1

	BALANCE JANUARY 1, 2021	RECEIPTS	DISBURSEMENTS	OTHER FINANCING SOURCES (USES)	BALANCE JANUARY 31, 2021
CAPITAL PROJECTS FUNDS					
30000-30049-State Capital Projects	-	5.615	436.696	431.081	-
30050-30099-Dedicated Highway and Bridge Trust	(308.838)	146.749	140.782	(10.649)	(313.520)
30100-30299-SUNY Residence Halls Rehabilitation and Repair	108.923	0.012	0.527	-	108.408
30300-30349-New York State Canal System Development	14.100	0.001	-	-	14.101
30350-30399-Parks Infrastructure	(55.768)	1.959	(0.679)	-	(53.130)
30400-30449-Passenger Facility Charge	0.015	-	-	-	0.015
30450-30499-Environmental Protection	102.300	12.277	27.249	-	87.328
30500-30549-Clean Water/Clean Air Implementation	-	-	-	-	-
30600-30609-Energy Conservation Thru Improved Transportation Bond	0.164	-	-	-	0.164
30610-30619-Park and Recreation Land Acquisition Bond	-	-	-	-	-
30620-30629-Pure Waters Bond	0.668	-	-	-	0.668
30630-30639-Transportation Capital Facilities Bond	3.328	-	-	-	3.328
30640-30649-Environmental Quality Protection Bond	1.419	-	-	-	1.419
30650-30659-Rebuild and Renew New York Transportation Bond	17.200	-	-	-	17.200
30660-30669-Transportation Infrastructure Renewal Bond	4.255	-	-	-	4.255
30670-30679-1986 Environmental Quality Bond Act	5.551	-	-	-	5.551
30680-30689-Accelerated Capacity and Transportation Improvement Bond	2.778	-	-	-	2.778
30690-30699-Clean Water/Clean Air Bond	1.428	-	-	-	1.428
30700-30709-State Housing Bond	-	-	-	-	-
30710-30719-Smart Schools Bond	-	-	-	-	-
30750-30799-Outdoor Recreation Development Bond	-	-	-	-	-
30900-30949-Rail Preservation and Development Bond	-	-	-	-	-
31350-31449-Federal Capital Projects	(351.726)	125.928	113.410	-	(339.208)
31450-31499-Forest Preserve Expansion	1.082	-	-	-	1.082
31500-31549-Hazardous Waste Remedial	(66.088)	(6.420)	8.808	(0.368)	(81.684)
31650-31699-Suburban Transportation	0.540	-	-	-	0.540
31700-31749-Division for Youth Facilities Improvement	(12.388)	0.517	1.140	-	(13.011)
31800-31849-Housing Assistance	(12.942)	-	-	-	(12.942)
31850-31899-Housing Program	(254.604)	-	15.512	-	(270.116)
31900-31949-Natural Resource Damage	16.874	1.428	0.085	-	18.217
31950-31999-DOT Engineering Services	(11.956)	-	-	-	(11.956)
32200-32249-Miscellaneous Capital Projects	101.420	2.391	8.484	1.391	96.718
32250-32299-CUNY Capital Projects	0.037	-	-	-	0.037
32300-32349-Mental Hygiene Facilities Capital Improvement	(457.701)	3.948	16.328	-	(470.081)
32350-32399-Correction Facilities Capital Improvement	(194.382)	0.003	20.609	-	(214.988)
32400-32999-State University Capital Projects	140.119	0.163	5.601	(1.144)	133.537
33000-33049-NYS Storm Recovery Fund	(55.576)	-	0.224	-	(55.800)
33050-33099 Dedicated Infrastructure Investment Fund	65.037	-	39.273	50.000	75.764
TOTAL CAPITAL PROJECTS FUNDS	**(1,194.731)**	**294.571**	**834.049**	**470.311**	**(1,263.898)**
TOTAL GOVERNMENTAL FUNDS	**$ 29,610.078**	**$ 19,098.736**	**$ 13,135.578**	**$ (1.387)**	**$ 35,571.849**

STATE OF NEW YORK
PROPRIETARY FUNDS
SUMMARY OF CASH RECEIPTS, DISBURSEMENTS AND
CHANGES IN FUND BALANCES
FISCAL YEAR 2020-2021
FOR THE MONTH OF JANUARY 2021
(amounts in millions)

SCHEDULE 2

FUND TYPE	BALANCE JANUARY 1, 2021	RECEIPTS	DISBURSEMENTS	OTHER FINANCING SOURCES (USES)	BALANCE JANUARY 31, 2021
ENTERPRISE FUNDS					
50000-50049-Youth Commissary	$ 0.119	$ 0.002	$ -	$ -	$ 0.121
50050-50099-State Exposition Special	1.104	0.058	0.396	-	0.766
50100-50299-Correctional Services Commissary	3.103	3.715	2.985	-	3.833
50300-50399-Agencies Enterprise	7.807	5.716	0.881	-	12.642
50400-50449-Sheltered Workshop	2.252	0.016	0.017	-	2.251
50450-50499-Patient Workshop	2.132	-	0.008	-	2.124
50500-50599-Mental Hygiene Community Stores	4.883	0.072	0.087	-	4.868
50650-50699-Unemployment Insurance	16.993	5,410.704	5,411.349	-	16.348
TOTAL ENTERPRISE FUNDS	**38.393**	**5,420.283**	**5,415.723**	**-**	**42.953**
INTERNAL SERVICE FUNDS					
55000-55049-Centralized Services	(133.669)	18.371	32.423	0.751	(146.970)
55050-55099-Agency Internal Service	(180.275)	7.383	(21.048)	0.669	(151.175)
55100-55149-Mental Hygiene Revolving	(0.190)	0.025	0.054	-	(0.219)
55150-55199-Youth Vocational Education	0.081	-	-	-	0.081
55200-55249-Joint Labor and Management Administration	1.019	-	0.060	-	0.959
55250-55299-Audit and Control Revolving	(55.699)	3.007	3.873	(0.033)	(56.598)
55300-55349-Health Insurance Revolving	(7.992)	0.003	0.886	-	(8.875)
55350-55399-Correctional Industries Revolving	(50.777)	4.138	4.104	-	(50.743)
TOTAL INTERNAL SERVICE FUNDS	**(427.502)**	**32.927**	**20.352**	**1.387**	**(413.540)**
TOTAL PROPRIETARY FUNDS	**$ (389.109)**	**$ 5,453.210**	**$ 5,436.075**	**$ 1.387**	**$ (370.587)**

STATE OF NEW YORK
FIDUCIARY FUNDS
SUMMARY OF CASH RECEIPTS, DISBURSEMENTS AND CHANGES IN FUND BALANCES
FISCAL YEAR 2020-2021
FOR THE MONTH OF JANUARY 2021
(amounts in millions)

SCHEDULE 3

FUND TYPE	BALANCE JANUARY 1, 2021	RECEIPTS	DISBURSEMENTS	OTHER FINANCING SOURCES (USES)	BALANCE JANUARY 31, 2021
PENSION TRUST FUNDS					
65000-65049-Common Retirement Administratior	$ (1.319)	$ 5.640	$ 11.139	$ -	$ (6.818)
TOTAL PENSION TRUST FUNDS	**(1.319)**	**5.640**	**11.139**	**-**	**(6.818)**
PRIVATE PURPOSE TRUST FUNDS					
66000-66049-Agriculture Producers' Security	3.006	0.001	0.017	-	2.990
66050-66099-Milk Producers' Security	11.229	0.074	0.020	-	11.283
TOTAL PRIVATE PURPOSE TRUST FUNDS	**14.235**	**0.075**	**0.037**	**-**	**14.273**
AGENCY FUNDS					
60050-60149-School Capital Facilities Financing Reserve	17.973	(0.158)	-	-	17.815
60150-60199-Child Performer's Holding	0.538	-	-	-	0.538
60200-60249-Employees Health Insurance	1,145.641	841.648	904.920	-	1,082.369
60250-60299-Social Security Contribution	14.998	97.182	96.878	-	15.302
60300-60399-Employee Payroll Withholding	36.944	354.534	351.414	-	40.064
60400-60449-Employees Dental Insurance	16.921	5.049	5.717	-	16.253
60450-60499-Management Confidential Group Insurance	0.679	0.767	0.766	-	0.680
60500-60549-Lottery Prize	695.723	121.942	101.797	-	715.868
60550-60599-Health Insurance Reserve Receipts	0.146	-	-	-	0.146
60600-60799-Miscellaneous New York State Agency	869.140	740.076	467.254	-	1,141.962
60800-60849-Elderly Pharmaceutical Insurance Coverage (EPIC) Escrow	28.398	7.401	7.455	-	28.344
60850-60899-CUNY Senior College Operating	58.588	180.489	190.413	-	48.664
60900-60949-Medicaid Management Information System (MMIS) Escrow	445.767	6,156.507	6,354.848	-	247.426
60950-60999-Special Education	-	-	-	-	-
61000-61099-State University of New York Revenue Collectior	116.658	213.850	-	-	330.508
61100-61999-State University Federal Direct Lending Program	(2.469)	143.730	263.908	-	(122.647)
62000-62049-SSI SSP Payment Escrow	-	-	-	-	-
TOTAL AGENCY FUNDS	**3,445.645**	**8,863.017**	**8,745.370**	**-**	**3,563.292**
TOTAL FIDUCIARY FUNDS	**$ 3,458.561**	**$ 8,868.732**	**$ 8,756.546**	**$ -**	**$ 3,570.747**

STATE OF NEW YORK
SOLE CUSTODY AND INVESTMENT ACCOUNTS
STATEMENT OF CASH RECEIPTS AND DISBURSEMENTS
FISCAL YEAR 2020-2021
FOR THE MONTH OF JANUARY 2021
(amounts in millions)

SCHEDULE 4

FUND TYPE	BALANCE JANUARY 1, 2021	RECEIPTS	DISBURSEMENTS	BALANCE JANUARY 31, 2021
ACCOUNTS				
70000-70049-Tobacco Settlement	$ 2.888	$ 0.001	$ -	$ 2.889
70093, 70095, 70300-70301-MTA State Assistance	86.193	260.535	72.606	274.122
70050-70149-Sole Custody Investment (*)	2,036.872	1,651.276	1,438.290	2,249.858
70200-Comptroller's Refund Account	-	283.213	283.213	-
TOTAL ACCOUNTS	$ 2,125.953	$ 2,195.025	$ 1,794.109	$ 2,526.869

(*) Includes Public Asset Fund resources:

Chapter 1 of the Laws of 2002 authorized the conversion of Empire Health Choice, d/b/a Empire Blue Cross and Blue Shield from a not-for-profit corporation to a for-profit corporation. Chapter 1 requires, in part, that upon such conversion, assets representing 95 percent of the fair market value of the not-for-profit corporation be transferred to a fund designated as the "Public Asset Fund" and 5 percent transferred to a Charitable Foundation - as set forth in Section 7317 of the Insurance Law. On December 28, 2005, WellChoice, Inc. (previously known as Empire Blue Cross, Blue Shield) approved a takeover by WellPoint, Inc. This conversion was also subject to the same Chapter 1 requirements of assigning assets representing 95 percent of the fair market value of the not-for-profit corporation be transferred to the "Public Asset Fund".

As of January 31, 2021, $9,544,127.83 (representing the remaining balance of the State's 95 percent share of the fair market value of the not-for-profit corporation plus interest) is on deposit in the sole custody account titled Public Asset Fund. In accordance with Section 4301(j)(4)(F) and (O) of the Insurance Law and at the direction of the Director of the Budget, these funds are available for transfer to HCRA Resources Fund (20800-20849).

STATE OF NEW YORK
DEBT SERVICE FUNDS
STATEMENT OF DIRECT STATE DEBT ACTIVITY
FISCAL YEAR 2020-2021

SCHEDULE 5

PURPOSE	DEBT OUTSTANDING APRIL 1, 2020	DEBT ISSUED		DEBT MATURED		DEBT OUTSTANDING JANUARY 31, 2021	INTEREST DISBURSED	
		MONTH OF JANUARY	10 MONTHS ENDED JANUARY 31, 2021	MONTH OF JANUARY	10 MONTHS ENDED JANUARY 31, 2021		MONTH OF JANUARY	10 MONTHS ENDED JANUARY 31, 2021
GENERAL OBLIGATION BONDED DEBT:								
Accelerated Capacity and Transportation Improvements	$ 11,445,463	$ -	$ -	$ -	$ 753,209	$ 10,692,254	$ -	$ 266,791
Clean Water/Clean Air:								
Air Quality	1,795,354	-	-	-	33,020	1,762,334	-	26,899
Safe Drinking Water	-	-	-	-	-	-	-	-
Clean Water	298,595,491	-	-	-	10,401,850	288,193,641	-	6,665,835
Solid Waste	16,287,590	-	-	-	1,660,096	14,627,494	-	356,253
Environmental Restoration	40,070,447	-	-	-	1,272,879	38,797,568	-	934,506
Energy Conservation Through Improved Transportation:								
Rapid Transit and Rail Freight	1,198,754	-	-	-	176,298	1,022,456	-	48,908
Environmental Quality (1972):								
Air	3,184	-	-	-	-	3,184	-	64
Land and Wetlands	4,939,861	-	-	-	58,254	4,881,607	-	123,345
Water	6,370,803	-	-	-	715,000	5,655,803	-	168,874
Environmental Quality (1986):								
Land Acquisition/Development/Restoration/Forests	5,309,545	-	-	-	489,935	4,819,610	-	127,464
Solid Waste Management	91,992,747	-	-	-	7,648,053	84,344,694	-	2,522,780
Housing:								
Low Income	5,840,000	-	-	-	1,060,000	4,780,000	-	87,600
Middle Income	4,035,000	-	-	-	2,240,000	1,795,000	-	80,718
Park and Recreation Land Acquisition	-	-	-	-	-	-	-	-
Pure Waters	15,498,329	-	-	-	1,892,879	13,605,450	-	422,592
Rail Preservation Development	-	-	-	-	-	-	-	-
Rebuild and Renew New York Transportation:								
Highway Facilities	600,658,226	-	-	-	6,160,202	594,498,024	-	11,688,489
Canals and Waterways	9,419,680	-	-	-	507,158	8,912,522	-	215,317
Aviation	41,089,448	-	-	-	-	41,089,448	-	643,951
Rail and Port	92,824,245	-	-	-	-	92,824,245	-	1,413,133
Mass Transit - Dept. of Transportation	12,168,734	-	-	-	-	12,168,734	-	286,617
Mass Transit - Metropolitan Transportation Authority	705,163,311	-	-	-	6,598,903	698,564,408	-	15,230,696
Rebuild New York-Transportation Infrastructure Renewal:								
Highways, Parkways, and Bridges	553,992	-	-	-	16,615	537,377	-	50,864
Rapid Transit, Rail and Aviation	2,042,563	-	-	-	479,171	1,563,392	-	49,370
Smart Schools Bond Act	161,307,133	-	-	-	-	161,307,133	-	4,031,427
Transportation Capital Facilities:								
Aviation	2,090,099	-	-	-	441,478	1,648,621	-	90,412
Mass Transportation	-	-	-	-	-	-	-	-
Total General Obligation Bonded Debt	**$ 2,130,699,999**	**$ -**	**$ -**	**$ -**	**$ 42,605,000**	**$ 2,088,094,999**	**$ -**	**$ 45,532,905**

STATE OF NEW YORK
DEBT SERVICE FUNDS
FINANCING AGREEMENTS
FOR THE TEN MONTHS ENDED JANUARY 31, 2021

SCHEDULE 5a

Special Contractual Financing Obligations:	DEBT REDUCTION RESERVE (40000-40049)	GENERAL DEBT SERVICE (40151)	DEPARTMENT OF HEALTH INCOME (40300-40349)	LOCAL GOVERNMENT ASSISTANCE TAX (40450-40499)	MENTAL HEALTH SERVICES (40100-40149)	REVENUE BOND TAX(*) (40152)	SALES TAX REVENUE BOND TAX (40154)	COMBINED TOTALS 10 MONTHS ENDED JANUARY 31 2021	2020	$ INCREASE/ (DECREASE)
Payments to Public Authorities:										
City University Construction	$ -	$ 7,115,904	$ -	$ -	$ -	$ -	$ -	$ 7,115,904	$ 71,889,337	$ (64,773,433)
Dormitory Authority:										
Consolidated Service Contract Refunding	-	-	-	-	-	-	-	-	54,551,063	(54,551,063)
DASNY Revenue Bond	-	-	-	-	-	1,737,463,049	223,441,555	1,960,904,604	542,837,785	1,418,066,819
Department of Health Facilities	-	-	25,467,940	-	-	-	-	25,467,940	26,157,902	(689,962)
Mental Health Facilities	-	-	-	-	8,334,210	-	-	8,334,210	7,095,959	1,238,251
Secured Hospital Program	-	2,495,165	-	-	-	-	-	2,495,165	3,829,093	(1,333,928)
SUNY Community Colleges	-	8,347,200	-	-	-	-	-	8,347,200	5,928,700	2,418,500
SUNY Educational Facilities	-	25,819,812	-	-	-	-	-	25,819,812	18,022,938	7,796,874
Environmental Facilities Corporation	-	-	-	-	-	17,266,263	-	17,266,263	17,269,722	(3,459)
Housing Finance Agency	-	15,828,552	-	-	-	-	-	15,828,552	17,642,733	(1,814,181)
Local Government Assistance Corporation	-	-	-	-	-	-	-	-	21,302,971	(21,302,971)
Metropolitan Transportation Authority:										
Transit and Commuter Rail Projects	-	-	-	-	-	-	-	-	-	-
Thruway Authority:										
Dedicated Highway and Bridge	-	102,143,862	-	-	-	-	-	102,143,862	442,238,607	(340,094,745)
Local Highway and Bridge	-	-	-	-	-	-	-	-	21,772,000	(21,772,000)
Transportation	-	-	-	-	-	17,821,175	-	17,821,175	27,320,113	(9,498,938)
Urban Development Corporation:										
Clarkson University	-	-	-	-	-	-	-	-	448,350	(448,350)
Columbia Univer. Telecommunications Center	-	-	-	-	-	-	-	-	-	-
Consolidated Service Contract Refunding	-	23,405,116	-	-	-	-	-	23,405,116	78,840,807	(55,435,691)
Cornell Univer. Supercomputer Center	-	-	-	-	-	-	-	-	-	-
Correctional Facilities	-	-	-	-	-	-	-	-	555,750	(555,750)
Debt Reduction Reserve	-	-	-	-	-	-	-	-	-	-
UDC Revenue Bond	-	-	-	-	-	286,525,473	-	286,525,473	92,438,900	194,086,573
University Facilities Grant 95 Refunding	-	11,603	-	-	-	-	-	11,603	1,770,144	(1,758,541)
Total Disbursements for Special Contractual Financing Obligations	**$ -**	**$ 185,167,214**	**$ 25,467,940**	**$ -**	**$ 8,334,210**	**$ 2,059,075,960**	**$ 223,441,555**	**$ 2,501,486,879**	**$ 1,451,912,874**	**$ 1,049,574,005**

(*) Debt Service does not include interest paid on Revenue Anticipation Notes (General Purpose), Series 2020A and 2020B that were reimbursed from the Coronavirus Relief Fund within the Special Revenue Federal Funds.

STATE OF NEW YORK
SUMMARY OF THE OPERATING FUND INVESTMENTS
FOR THE MONTH OF JANUARY 2021
AS REQUIRED OF THE STATE COMPTROLLER
(amounts in millions)

SCHEDULE 6

	MONTH OF JANUARY 2021	FISCAL YEAR TO DATE	PRIOR FISCAL YEAR TO DATE
SHORT TERM INVESTMENT POOL (*)			
AVERAGE DAILY INVESTMENT BALANCE (**)	$ 37,077.0	$ 29,554.3	$ 19,276.8
AVERAGE YIELD (**)	0.126%	0.201%	2.146%
TOTAL INVESTMENT EARNINGS	$ 3.887	$ 51.808	$ 352.795

Month-End Portfolio Balances

DESCRIPTION	JANUARY 2021 PAR AMOUNT	JANUARY 2020 PAR AMOUNT
GOVT. AGENCY BILLS/NOTES	$ 18,898.5	$ 6,624.5
REPURCHASE AGREEMENTS	119.3	307.4
GOVT. SPONSORED AGENCIES	700.0	-
COMMERCIAL PAPER	19,113.6	16,197.5
CERTIFICATES OF DEPOSIT/SAVINGS	1,991.1	2,939.7
0% COMPENSATING BALANCE CDs	723.0	78.0
	$ 41,545.5	**$ 26,147.1**

(*) Pursuant to §98 of the State Finance Law, the State Comptroller is authorized to invest and keep invested all moneys, in any fund, held by the State. The Short Term investment Pool (STIP) represents an accounting mechanism that allows for the separate accounting of individual funds (on deposit in the State's General Checking account) for the purpose of making short term investments. Pursuant to State Finance Law §4(5) the STIP is authorized to temporarily loan to the General Fund-State Operations Account (10050) funds for a period not to exceed the end of the fiscal year. However, it must be noted that certain funds are invested as part of STIP, but are held by the State Comptroller in a fiduciary capacity. Fiduciary fund balances are restricted and may not be used for any State purposes since moneys in such funds are held by the State in a trustee (or fiduciary) capacity or as an agent for individuals, private organizations, or non-State governmental units (e.g. local governments and public authorities). Therefore, Fiduciary fund balances are not available to be temporarily loaned to the General Fund-State Operations Account. Fiduciary fund balances are presented in Schedules 3 and 4 of this report.

(**) Does not include 0% Compensating Balance CDs.

STATE OF NEW YORK
HCRA RESOURCES FUND
STATEMENT OF RECEIPTS AND DISBURSEMENTS BY ACCOUNT
FISCAL YEAR 2020-2021

APPENDIX A

	2020 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2021 JANUARY	FEBRUARY	MARCH	10 Months Ended January 31, 2021
OPENING CASH BALANCE	$ 15,704,540	$ 95,764,658	$ 80,082,746	$ 490,512,199	$ 186,132,186	$ 217,721,195	$ 104,334,501	$ 181,581,146	$ 200,742,306	$ 204,855,179			$ 15,704,540
RECEIPTS:													
Cigarette Tax	68,786,104	51,352,065	60,187,824	68,798,997	60,405,723	70,952,125	55,756,250	59,065,187	60,999,901	61,273,605			617,577,781
State Share of NYC Cigarette Tax	2,160,000	1,133,000	1,346,000	1,945,000	1,308,000	2,075,000	1,665,000	1,555,000	1,749,000	1,868,000			16,804,000
Vapor Excise Tax	25,877	69,976	11,670,725	(348,272)	(123,922)	7,357,137	6,765	27,573	6,801,844	9,407			25,497,110
STIP Interest	382,848	258,771	58,513	43,854	53,717	52,869	41,598	38,448	48,760	55,912			1,035,290
Public Asset Transfers	-	-	-	-	-	-	-	-	-	-			-
Assessments	478,443,458	390,720,867	437,012,587	376,078,217	389,691,001	454,153,792	435,070,365	401,657,632	444,521,644	376,057,991			4,183,407,554
Fees	327,000	46,000	561,000	930,000	776,000	1,404,000	199,000	390,000	2,067,000	1,103,000			7,803,000
Rebates	12,000	4,545,140	5,975,618	9,916,208	2,000,366	4,881,029	2,789,602	3,632,553	4,734,992	3,713,400			42,200,908
Restitution and Settlements	-	-	-	-	-	-	-	-	-	-			-
Miscellaneous	-	-	297,248	-	1,148	-	483	-	22,682	28,874			350,435
Total Receipts	550,137,287	448,125,819	517,109,515	457,364,004	454,112,033	540,875,952	495,529,063	466,366,393	520,945,823	444,110,189	-	-	4,894,676,078
DISBURSEMENTS:													
Grants	466,021,724	462,778,011	97,733,246	756,144,082	414,416,738	642,214,484	404,418,743	442,607,097	510,453,711	501,400,673			4,698,188,509
Interest - Late Payments	36	22	26,224	11,452	(5,834)	(3,803)	610	203	(642)	84			28,352
Personal Service	1,509,162	534,992	1,290,941	270,686	1,523,044	750,054	1,609,108	983,288	345,679	1,628,961			10,445,915
Non-Personal Service	55,956	(291,867)	5,284,609	4,077,923	3,349,084	7,839,793	3,878,285	2,812,150	5,182,277	1,084,498			33,272,708
Employee Benefits/Indirect Costs	612,447	299,051	1,164,130	638,546	568,694	194,836	891,073	593,884	511,315	1,024,088			6,498,064
Total Disbursements	468,199,325	463,320,209	105,499,150	761,142,689	419,851,726	650,995,364	410,797,819	446,996,622	516,492,340	505,138,304	-	-	4,748,433,548
OPERATING TRANSFERS:													
Transfers to Capital Projects Fund	-	-	-	-	-	-	-	-	-	-			-
Transfers to General Fund	-	-	297,248	-	1,147	-	484	-	132,000	1,607			432,486
Transfers to Revenue Bond Tax Fund	-	-	-	-	2,276,000	2,650,324	-	-	-	-			4,926,324
Transfers to Miscellaneous Special Revenue Fund:													
Administration Program Account	989,254	-	-	-	-	222,807	(1)	1	-	-			1,212,061
Empire State Stem Cell Trust Account	-	-	-	-	-	-	7,100,000	-	-	-			7,100,000
Transfers to SUNY Income Fund	888,590	487,522	883,664	601,328	394,151	394,151	384,116	208,610	208,610	678,612			5,129,354
Total Operating Transfers	1,877,844	487,522	1,180,912	601,328	2,671,298	3,267,282	7,484,599	208,611	340,610	680,219	-	-	18,800,225
Total Disbursements and Transfers	470,077,169	463,807,731	106,680,062	761,744,017	422,523,024	654,262,646	418,282,418	447,205,233	516,832,950	505,818,523	-	-	4,767,233,773
CLOSING CASH BALANCE	$ 95,764,658	$ 80,082,746	$ 490,512,199	$ 186,132,186	$ 217,721,195	$ 104,334,501	$ 181,581,146	$ 200,742,306	$ 204,855,179	$ 143,146,845	$ -	$ -	$ 143,146,845

STATE OF NEW YORK
HCRA RESOURCES FUND
STATEMENT OF PROGRAM DISBURSEMENTS
FISCAL YEAR 2020-21

APPENDIX B

Program/Purpose	Appropriation Amount (*)	January	10 Months Ended January 31, 2021 (**)
CENTER FOR COMMUNITY HEALTH PROGRAM	**$ 8,752,000.00**	**$ 207,592.67**	**$ 2,212,758.09**
CENTER FOR COMMUNITY HLTH	8,752,000.00	207,592.67	2,212,758.09
CHILD HEALTH INSURANCE PROGRAM	**2,134,768,000.00**	**58,543,431.60**	**456,311,646.07**
CHILD HEALTH INSURANCE	2,134,768,000.00	58,543,431.60	456,311,646.07
COMMUNITY SUPPORT PROGRAM	**120,000.00**	**-**	**-**
COMMUNITY SUPPORT	120,000.00	-	-
ELDERLY PHARMACEUTICAL INS COVERAGE PRG	**384,850,000.00**	**9,354,126.71**	**87,168,145.38**
ELDERLY PHARMACEUTICAL INSURANCE COVERAGE	384,850,000.00	9,354,126.71	87,168,145.38
HEALTH CARE REFORM ACT PROGRAM	**1,490,325,059.03**	**45,962,295.94**	**188,543,520.23**
AIDS DRUG ASSISTANCE	123,150,000.00	20,000,000.00	20,000,000.00
AMBULATORY CARE TRAINING	3,537,000.00	-	448,825.77
AREA HEALTH EDUCATION CENTER	3,387,000.00	-	583,185.44
COMMISSIONER EMERGENCY DISTRIBUTIONS	24,700,000.00	-	(0.01)
DIAGNOSTIC AND TREATMENT CTR UNCOMPENSATED CARE	163,200,000.00	-	-
DIVERSITY IN MEDICINE	4,732,000.00	-	-
EMPIRE CLINIC RESEARCH INVESTMENT (ECRIP)	10,335,000.00	-	2,400,000.00
HCRA PAYOR / PROVIDER AUDITS	9,440,000.00	343,000.00	1,986,213.15
HEALTH FACILITY RESTRUCTURING DASNY	39,200,000.00	15,680,000.00	15,680,000.00
HEALTH WORKFORCE RETRAINING	18,320,000.00	2,419.75	3,967,272.23
INFERTILITY SERVICES GRANTS	5,733,000.00	67,350.31	370,720.57
MEDICAL INDEMNITY FUND	52,000,000.00	-	-
PART 405.4 HOSPITAL AUDITS NYCRR	2,200,000.00	-	-
PHYSICIAN EXCESS MEDICAL MALPRACTICE	359,900,000.00	-	102,100,000.00
PHYSICIAN LOAN REPAYMENT	27,195,000.00	1,426,891.73	2,943,655.98
PHYSICIAN WORKFORCE STUDIES	974,000.00	-	240,000.00
POISON CONTROL CENTERS	6,320,000.00	(163,279.33)	1,756,720.67
POOL ADMINISTRATION	5,300,000.00	-	966,827.13
ROSWELL PARK CANCER INSTITUTE	89,266,000.00	7,592,600.00	30,370,400.00
ROSWELL PARK COMPREHENSIVE CANCER CENTER	50,000.00	-	-
RURAL HEALTH CARE ACCESS	17,050,000.00	-	397,985.62
RURAL HEALTH CARE ACCESS & NETWORK DEVELOPMENT	9,410,000.00	1,013,313.48	3,051,858.08
RURAL HEALTH CARE GRANTS	1,100,000.00	-	-
RURAL HEALTH NETWORK	11,610,000.00	-	1,279,855.60
SCHOOL BASED HEALTH CENTERS	4,230,000.00	-	-
SCHOOL BASED HEALTH CLINICS-POOL ADMN	8,460,000.00	-	-
TRANSITION ACCT - PRIOR YEAR ALLOCATION	489,526,059.03	-	-
MEDICAL ASSISTANCE PROGRAM	**28,631,301,000.00**	**387,900,449.84**	**3,986,039,712.47**
HOME HEALTH RATE INCREASE	300,000,000.00	-	-
MEDICAID INDIGENT CARE	4,999,000,000.00	37,900,449.84	621,039,712.47
MEDICAL ASSISTANCE	22,349,101,000.00	350,000,000.00	3,365,000,000.00
PSNL CRE WRKR RECR & RETEN NYC (***)	916,000,000.00	-	-
PSNL CRE WRKR RECR & RETEN ROS (****)	67,200,000.00	-	-
NEW YORK STATE OF HEALTH	**102,431,000.00**	**1,714,827.30**	**22,578,266.09**
NEW YORK STATE OF HEALTH ADMINISTRATION	102,431,000.00	1,714,827.30	22,578,266.09
OFFICE OF HEALTH INSURANCE PROGRAM	**1,834,000.00**	**-**	**-**
OFFICE OF HEALTH INSURANCE	1,834,000.00	-	-
OFFICE OF HEALTH SYSTEMS MANAGEMENT	**68,317,000.00**	**1,131,253.45**	**7,031,853.46**
OFFICE HEALTH SYSTEMS MANAGEMENT	68,317,000.00	1,131,253.45	7,031,853.46
OFFICE OF LONG TERM CARE	**2,477,800.00**	**-**	**-**
ADULT HOME INITIATIVE	2,477,800.00	-	-
REVENUE, PROCESSING & RECONCILIATION	**8,190,000.00**	**1,002,707.48**	**3,677,000.55**
REVENUE, PROCESSING & RECONCILIATION	8,190,000.00	1,002,707.48	3,677,000.55
TOTAL	**32,833,365,859.03**	**505,816,684.99**	**4,753,562,902.34**
Reclass of SUNY Hospital Disprop Share to Transfer		240,488.95	(4,210,253.80)
Reclass of SUNY Hospital Poison Control Centers to Transfer		(919,100.00)	(919,100.00)
Reclass of SUNY Empire Clinical Research Investigator Program to Transfer		-	-
Reconciling Adjustment (P-Card and T-Card)		230.84	-
TOTAL REPORTED AMOUNT	**$ 32,833,365,859.03**	**$ 505,138,304.78**	**$ 4,748,433,548.54**

(*) Includes amounts appropriated in SFY 2020-21, as well as prior year appropriations that were reappropriated.
(**) Disbursements from the HCRA Resources Fund includes direct grant payments to program beneficiaries, services and expenses for administration of grant programs, and transfers to the Public Goods Pool to finance payments made by the State's fiscal agent.
(***) Full title is: NYC Personal Care Workforce Recruitment and Retention Rates Grants.
(****) Full title is: Personal Care Workforce Recruitment and Retention Rates Grants.

APPENDIX C

STATE OF NEW YORK
STATEMENT OF CASH FLOW - PUBLIC GOODS POOL
FISCAL YEAR 2020-21

	1st Quarter APRIL - JUNE	2nd Quarter JULY - SEPTEMBER	3rd Quarter OCTOBER - DECEMBER	2021 JANUARY	2020-21
OPENING CASH BALANCE	**$ 350,947,309.06**	**$ 126,897,506.61**	**$ 318,804,091.76**	**$ 299,674,693.96**	**$ 350,947,309.06**
RECEIPTS:					
Patient Services	722,415,689.44	975,374,899.18	859,864,830.97	175,709,345.39	2,733,364,764.98
Covered Lives	224,564,997.99	294,913,084.03	252,919,950.64	43,950,805.01	816,348,837.67
Provider Assessments	19,621,242.87	22,021,897.80	24,084,713.16	4,939,880.39	70,667,734.22
1% Assessments	103,739,180.00	107,280,064.00	105,841,411.19	29,576,999.00	346,437,654.19
DASNY- MOE/Recast receivables	-	-	-	-	-
Interest Income	13,893.95	16,145.63	8,568.77	3,593.43	42,201.78
Unassigned	(1,563,049.32)	(978,886.85)	6,783,770.57	(5,637,858.63)	(1,396,024.23)
Total Receipts	**1,068,791,954.93**	**1,398,627,203.79**	**1,249,503,245.30**	**248,542,764.59**	**3,965,465,168.61**
PROGRAM DISBURSEMENTS:					
Poison Control Centers	-	-	(1,920,000.00)	(480,000.00)	(2,400,000.00)
School Based Health Center Grants	-	-	-	-	-
ECRIP Distributions	-	-	(2,400,000.00)	-	(2,400,000.00)
Total Program Disbursements	**-**	**-**	**(4,320,000.00)**	**(480,000.00)**	**(4,800,000.00)**
Excess (Deficiency) of Receipts over Disbursements	**1,068,791,954.93**	**1,398,627,203.79**	**1,245,183,245.30**	**248,062,764.59**	**3,960,665,168.61**
OTHER FINANCING SOURCES (USES):					
Transfers From Other Pools:					
Medicaid Disproportionate Share	-	-	-	-	-
Health Facility Assessment Fund - Hospital Quality Contribution	13,334,232.00	13,201,960.00	12,615,514.00	4,274,213.00	43,425,919.00
Transfers From State Funds:					
HCRA Resources Fund	-	-	4,320,000.00	480,000.00	4,800,000.00
Total Other Financing Sources	**13,334,232.00**	**13,201,960.00**	**16,935,514.00**	**4,754,213.00**	**48,225,919.00**
Transfers To Other Pools:					
Medicaid Disproportionate Share	-	-	-	-	-
Health Facility Assessment Fund	-	-	-	-	-
Transfers To State Funds:					
HCRA Resources Fund	(1,306,175,989.38)	(1,219,922,578.64)	(1,281,248,157.10)	(376,478,714.83)	(4,183,825,439.95)
Indigent Care Fund - Matched	-	-	-	-	-
Indigent Care Fund - Unmatched	-	-	-	-	-
Total Other Financing Uses	**(1,306,175,989.38)**	**(1,219,922,578.64)**	**(1,281,248,157.10)**	**(376,478,714.83)**	**(4,183,825,439.95)**
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	**(224,049,802.45)**	**191,906,585.15**	**(19,129,397.80)**	**(123,661,737.24)**	**(174,934,352.34)**
CLOSING CASH BALANCE	**$ 126,897,506.61**	**$ 318,804,091.76**	**$ 299,674,693.96**	**$ 176,012,956.72**	**$ 176,012,956.72**

Source: HCRA - Office of Pool Administration

APPENDIX D

STATE OF NEW YORK
STATEMENT OF CASH FLOW - MEDICAID DISPROPORTIONATE SHARE
FISCAL YEAR 2020-21

	1st Quarter APRIL - JUNE	2nd Quarter JULY - SEPTEMBER	3rd Quarter OCTOBER - DECEMBER	2021 JANUARY	2020-21
OPENING CASH BALANCE	**$ 668.63**	**$ 169.31**	**$ 333,127.45**	**$ 6,834,706.32**	**$ 668.63**
RECEIPTS:					
Interest Income	422.98	1,460.78	420.06	155.33	2,459.15
Total Receipts	**422.98**	**1,460.78**	**420.06**	**155.33**	**2,459.15**
PROGRAM DISBURSEMENTS:					
Indigent Care	(188,629,665.12)	(150,799,778.96)	(183,599,073.14)	(44,735,209.09)	(567,763,726.31)
High Need Indigent Care	-	-	-	-	-
Other	506,867.55	(90,700,038.60)	3,733,616.64	-	(86,459,554.41)
Total Program Disbursements	**(188,122,797.57)**	**(241,499,817.56)**	**(179,865,456.50)**	**(44,735,209.09)**	**(654,223,280.72)**
Excess (Deficiency) of Receipts over Disbursements	**(188,122,374.59)**	**(241,498,356.78)**	**(179,865,036.44)**	**(44,735,053.76)**	**(654,220,821.57)**
OTHER FINANCING SOURCES (USES):					
Transfers From Other Pools:					
Public Goods Pool	-	-	-	-	-
Health Facility Assessment Fund	-	-	-	-	-
Transfers From State Funds:					
HCRA Resources Indigent Care - Matched	94,314,832.56	113,950,116.17	80,001,388.03	19,594,021.59	307,860,358.35
HCRA Resources Indigent Care - Unmatched	(506,129.55)	16,528,236.56	3,716,890.61	(3,252,508.75)	16,486,488.87
HCRA Resources Indigent Care - ATB	-	-	-	-	-
Federal DHHS Fund	94,314,832.56	113,950,116.15	102,650,182.79	25,141,187.50	336,056,319.00
Other	-	-	-	-	-
Total Other Financing Sources	**188,123,535.57**	**244,428,468.88**	**186,368,461.43**	**41,482,700.34**	**660,403,166.22**
Transfers To Other Pools:					
Public Goods Pool	-	-	-	-	-
Health Facility Assessment Fund	-	-	-	-	-
Transfers To State Funds:					
HCRA Resources Fund Indigent Care Acct	(922.30)	(431.55)	(1,484.03)	(134.57)	(2,972.45)
CSRA Inc (eMedNY) General Fund	(738.00)	(2,596,722.41)	(362.09)	-	(2,597,822.50)
Total Other Financing Uses	**(1,660.30)**	**(2,597,153.96)**	**(1,846.12)**	**(134.57)**	**(2,600,794.95)**
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	**(499.32)**	**332,958.14**	**6,501,578.87**	**(3,252,487.99)**	**3,581,549.70**
CLOSING CASH BALANCE	**$ 169.31**	**$ 333,127.45**	**$ 6,834,706.32**	**$ 3,582,218.33**	**$ 3,582,218.33**

Source: HCRA - Office of Pool Administration

STATE OF NEW YORK
SUMMARY OF OFF-BUDGET SPENDING REPORT
FISCAL YEAR 2020-2021
(amounts in thousands)

APPENDIX E

	2020 APRIL	2020 MAY	2020 JUNE	2020 JULY	2020 AUGUST	2020 SEPTEMBER	2020 OCTOBER	2020 NOVEMBER	2020 DECEMBER	2021 JANUARY	2021 FEBRUARY	2021 MARCH	2020-2021 TOTAL
DORMITORY AUTHORITY:													
Education - All Other	$ -	$ -	$ -	$ -	$ 15	$ -	$ -	$ -	$ -	$ -			$ 15
Education - EXCEL	427	2,157	1,567	17	-	649	-	829	90	161			5,897
Department of Health - All Other	(1)	-	59	-	-	-	-	-	-	-			58
Community Enhancement Facilities Assistance Program (CEFAP)	-	-	-	-	-	-	-	-	-	-			-
Regional Development:													
Community Capital Assistance Program (CCAP)/RESTORE	525	-	454	444	323	148	14	383	94	125			2,510
Multi-modal	-	-	24	-	-	20	-	-	-	-			44
GenNYsis	-	-	-	-	-	-	-	-	-	-			-
CUNY Senior Colleges	24,128	11,443	24,631	18,581	19,061	18,031	10,339	32,044	15,125	25,329			198,712
CUNY Community Colleges	4,766	1,358	5,403	2,217	1,052	2,094	83	4,094	2,211	690			23,968
Brooklyn Court Officer Training Academy	26	-	-	1,153	-	-	-	-	-	-			1,179
TOTAL DORMITORY AUTHORITY	**29,871**	**14,958**	**32,138**	**22,412**	**20,451**	**20,942**	**10,436**	**37,350**	**17,520**	**26,305**	**-**	**-**	**232,383**
EMPIRE STATE DEVELOPMENT CORP:													
Regional Development:													
Centers of Excellence	-	-	-	-	-	-	-	-	-	-			-
Community Capital Assistance Program (CCAP)	-	-	-	-	-	-	-	-	-	-			-
Empire Opportunity	-	-	-	-	-	-	-	-	-	-			-
Community Enhancement Facilities Assistance Program (CEFAP)	-	-	-	-	-	-	-	-	-	-			-
State Facilities and Equipment	-	-	-	-	-	-	-	-	-	-			-
TOTAL EMPIRE STATE DEVELOPMENT CORP	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**
TOTAL OFF-BUDGET	**$ 29,871**	**$ 14,958**	**$ 32,138**	**$ 22,412**	**$ 20,451**	**$ 20,942**	**$ 10,436**	**$ 37,350**	**$ 17,520**	**$ 26,305**	**$ -**	**$ -**	**$ 232,383**

The Division of the Budget (DOB) is responsible for organizing and presenting the above schedule of 'Off Budget Spending'. Such reported disbursements are drawn from unaudited financial data provided by public authorities. Although the Office of the State Comptroller (OSC) has no reason to believe this information to be unreliable, it is important to note that these program disbursements are financed with public authority bond proceeds deposited directly into public authority accounts and all disbursements are made without any oversight by the OSC. Therefore, and pursuant to the provisions of Chapter 60, §16, of the Laws of 2006; this schedule is provided for information only.

APPENDIX F

STATE OF NEW YORK
SCHEDULE OF MONTH-END TEMPORARY LOANS OUTSTANDING(*)

SFS Fund	ACCOUNT TITLE	October 31, 2020	November 30, 2020	December 31, 2020	Change	January 31, 2021	
	GENERAL FUND						
10050	STATE OPERATIONS AND LOCAL ASSISTANCE	$ -	$ -	$ -	$ -	$ -	(***)
	TOTAL GENERAL FUND	-	-	-	-	-	
	CAPITAL PROJECT AND BOND REIMBURSABLE FUNDS						
30051	HIGHWAY AND BRIDGE CAPITAL	229,640,531.67	251,120,260.33	348,649,284.21	(19,783,687.03)	328,865,597.18	
30053	AVIATION PURPOSE ACCOUNT	-	-	-	-	-	
30101	REHAB/REPAIR MARITIME	-	-	-	-	-	
30102	D21RVE- MARITIME	-	-	-	-	-	
30103	D36RVE- CENTRAL ADMIN	-	-	-	-	-	
30104	RESIDENCE HALL CAMPUS LET BOND PROCEEDS	-	-	-	-	-	
30105	REHAB/REPAIR ALBANY	-	-	-	-	-	
30106	D01RVE- ALBANY	-	-	-	-	-	
30107	REHAB/REPAIR BINGHAMTON	-	-	-	-	-	
30108	D07RVE- BINGHAMTON	-	-	-	-	-	
30109	REHAB/REPAIR BUFFALO UNIVERSITY	-	-	-	-	-	
30110	D28RVE- SUNY BUFFALO	-	-	-	-	-	
30111	REHAB/REPAIR STONYBROOK	-	-	-	-	-	
30112	D13RVE- STONYBROOK	-	-	-	-	-	
30113	REHAB/REPAIR BROOKLYN	-	-	-	-	-	
30114	D14RVE - HSC BROOKLYN	-	-	-	-	-	
30115	REHAB/REPAIR SYRACUSE	-	-	-	-	-	
30116	D15RVE- HSC SYRACUSE	-	-	-	-	-	
30117	REHAB/REPAIR BROCKPORT	-	-	-	-	-	
30118	D02RVE- BROCKPORT	-	-	-	-	-	
30119	REHAB/REPAIR BUFFALO COLLEGE	-	-	-	-	-	
30120	D03RVE -SUB BUFFALO	-	-	-	-	-	
30121	REHAB/REPAIR CORTLAND	-	-	-	-	-	
30122	D04RVE- CORTLAND	-	-	-	-	-	
30123	REHAB/REPAIR FREDONIA	-	-	-	-	-	
30124	D05RVE- FREDONIA	-	-	-	-	-	
30125	REHAB/REPAIR GENESEO	-	-	-	-	-	
30126	D06RVE- GENESEO	-	-	-	-	-	
30127	REHAB/REPAIR OLD WESTBURY	-	-	-	-	-	
30128	D31RVE- OLD WESTBURY	-	-	-	-	-	
30129	REHAB/REPAIR NEW PALTZ	-	-	-	-	-	
30130	D08RVE- NEW PALTZ	-	-	-	-	-	
30131	REHAB/REPAIR ONEONTA	-	-	-	-	-	
30132	D09RVE- ONEONTA	-	-	-	-	-	
30133	REHAB/REPAIR OSWEGO	-	-	-	-	-	
30134	D10RVE- OSWEGO	-	-	-	-	-	
30135	REHAB/REPAIR PLATTSBURGH	-	-	-	-	-	
30136	D11RVE- PLATTSBURGH	-	-	-	-	-	
30137	REHAB/REPAIR POTSDAM	-	-	-	-	-	
30138	D12RVE- POTSDAM	-	-	-	-	-	
30139	REHAB/REPAIR PURCHASE	-	-	-	-	-	
30140	D29RVE- PURCHASE	-	-	-	-	-	
30141	REHAB/REPAIR FOR UTICA/ROME	-	-	-	-	-	
30142	D27RVE- CAMPUS RESERVE	-	-	-	-	-	
30143	REHAB/REPAIR ALFRED	-	-	-	-	-	
30144	D22RVE- ALFRED	-	-	-	-	-	
30145	REHAB/REPAIR CANTON	-	-	-	-	-	
30146	D23RVE- CANTON	-	-	-	-	-	
30147	REHAB/REPAIR COBLESKILL	801,040.11	839,392.34	953,069.38	182,135.45	1,135,204.83	
30148	D24RVE- COBLESKILL	-	-	-	-	-	
30149	REHAB/REPAIR DELHI	-	-	-	-	-	
30150	D25RVE- DELHI	-	-	-	-	-	
30151	REHAB/REPAIR FARMINGDALE	-	-	-	-	-	
30152	D26RVE- FARMINGDALE	-	-	-	-	-	
30153	REHAB/REPAIR MORRISVILLE	-	-	-	-	-	
30154	D27RVE- MORRISVILLE	-	-	-	-	-	
30351	STATE PARK INFRASTRUCTURE	34,853,969.61	48,651,826.34	55,768,416.64	(2,638,133.82)	53,130,282.82	
30501	CW/CA IMPLEMENTATION DEC	-	-	-	-	-	
30502	CW/CA IMPLEMENTATION STATE	-	-	-	-	-	
30503	CW/CA IMPLEMENTATION ERDA	-	-	-	-	-	
30504	CW/CA IMPLEMENTATION EFC	-	-	-	-	-	
31506	HAZARDOUS WASTE CLEAN UP	90,789,823.06	99,450,386.33	106,962,837.42	13,261,925.37	120,224,762.79	
31701	YOUTH FACILITIES IMPROVEMENT	17,191,103.28	17,665,916.53	12,387,821.98	623,536.42	13,011,358.40	
31801	HOUSING ASSISTANCE	12,941,967.06	12,941,967.06	12,941,967.06	-	12,941,967.06	
31851	HOUSING PROG FD-HSG TR FD CORP	299,615,002.56	302,876,002.56	116,954,523.32	12,312,413.13	129,266,936.45	
31852	HOUSING PROG FD AFFORD HSG CORP	44,736,658.81	44,736,658.81	38,695,121.72	-	38,695,121.72	
31853	HOUSING PROG FD-DEPT OF SOCIAL SERVICES	104,339,684.37	108,739,684.37	99,246,517.14	3,200,000.00	102,446,517.14	
31854	HOUSING PROG FD-HFA	-	-	-	-	-	
31951	HIGHWAY FAC PURPOSE	11,956,479.77	11,956,479.77	11,956,479.77	-	11,956,479.77	

APPENDIX F

STATE OF NEW YORK
SCHEDULE OF MONTH-END TEMPORARY LOANS OUTSTANDING(*)

SFS Fund	ACCOUNT TITLE	October 31, 2020	November 30, 2020	December 31, 2020	Change	January 31, 2021
32213	NY RACING ACCOUNT	153,750.00	153,750.00	153,750.00	-	153,750.00
32214	CAPITAL PROJECT MISC GIFTS	-	-	-	-	-
32215	IT CAPITAL FINANCING ACCT	3,394,250.29	3,825,768.96	4,765,153.90	460,950.11	5,226,104.01
32219	NY ENVIRONMENTAL PROTECTION & SPILL REMEDIATION	-	-	180.00	(180.00)	-
32301	OPWDD-STATE FACILITIES PRE 12/99	-	-	-	-	-
32302	DSAS-COMMUINTY FACILITIES	-	-	-	-	-
32303	OMH-COMMUNITY FACILITIES	70,034,608.01	70,371,273.02	71,862,321.37	409,060.39	72,271,381.76
32304	OPWDD-COMMUNITY FACILITIES	-	-	-	-	-
32305	OASAS-COMMUNITY FACILITIES	181,628,539.69	182,617,289.69	185,432,642.59	(1,027,075.62)	184,405,566.97
32306	DASNY - OMH ADMIN	-	-	-	-	-
32307	DASNY - OPWDD ADMIN	7,828,273.39	7,828,273.39	11,650,968.39	-	11,650,968.39
32308	DASNY - OASAS ADMIN	2,581,221.20	2,581,221.20	2,581,221.20	-	2,581,221.20
32309	OMH -STATE FACILITIES	125,053,038.62	155,276,393.23	165,417,218.76	12,923,540.51	178,340,759.27
32310	OPWDD -STATE FACILITIES	19,371,024.28	29,377,388.35	31,697,903.16	-	31,697,903.16
32311	OASAS -STATE FACILITIES	2,484,056.85	3,400,362.44	3,203,257.72	78,766.53	3,282,024.25
32351	CORR. FACILITIES CAPITAL IMPROVEMENT	-	-	-	-	-
32352	DOCS-REHABILITATION PROJECTS	232,977,446.82	251,945,041.28	194,435,239.85	20,552,418.56	214,987,658.41
32353	CORR. FACILITIES CAPITAL CLOSURE	0.55	-	-	-	-
33001	STORM RECOVERY ACCOUNT	53,597,768.11	54,495,130.81	55,576,380.02	224,188.95	55,800,568.97
	TOTAL CAPITAL AND BOND REIMBURSABLE FUNDS	**1,545,970,238.11**	**1,660,850,466.81**	**1,531,292,275.60**	**40,779,858.95**	**1,572,072,134.55**
	STATE SPECIAL REVENUE FUNDS					
20401	DOL-CHILD PERFORMER PROTECTION ACCOUNT	-	-	-	-	-
20452	VOCATIONAL SCHOOL SUPERVISION	-	-	-	-	-
20501	LOCAL GOVERNMENT RECORDS MGMT	-	-	-	-	-
20810	CHILD HEALTH INSURANCE	-	23,122,890.06	-	43,991,008.24	43,991,008.24
20818	EPIC PREMIUM ACCOUNT	10,282,047.95	15,622,758.03	-	-	-
20901	LOTTERY-EDUCATION	1,412,369,107.33	1,260,608,694.01	1,068,708,130.42	(215,146,242.73)	853,561,887.69
20904	VLT EDUCATION	156,105,093.55	252,710,310.93	345,538,604.22	91,869,997.97	437,408,602.19
21001	ENVIR FAC CORP ADM ACCT	-	-	-	-	-
21002	ENCON ADMIN ACCT	3,799,431.43	3,851,203.21	3,912,591.70	51,771.78	3,964,363.48
21061	HAZARDOUS BULK STORAGE	-	-	-	-	-
21064	UTILITY ENVIRONMENTAL REGULATORY ACCOUNT	1,672,099.99	1,672,099.99	1,672,099.99	1,652,100.00	3,324,199.99
21065	FEDERAL GRANTS INDIRECT COST RECOVERY ACCOUNT	1,669,475.98	2,337,334.86	762,637.76	659,336.17	1,421,973.93
21066	ENCON-LOW LEVEL RADIOACTIVE WASTE SITING	3,943,450.99	4,118,797.02	3,945,486.63	170,762.55	4,116,249.18
21067	ENCON-RECREATION	-	-	-	-	-
21077	PUBLIC SAFETY RECOVERY ACCOUNT	-	-	-	-	-
21081	ENVIRONMENTAL REGULATORY	60,296,707.17	59,172,374.86	60,694,009.62	(10,788.88)	60,683,220.74
21082	NATURAL RESOURCES ACCOUNT	14,265,932.36	14,337,266.95	14,690,320.20	(208,307.74)	14,482,012.46
21084	MINED LAND RECLAMATION ACCT	-	-	-	-	-
21087	GREAT LAKES RESTORATION INITIATIVE	-	-	-	-	-
21201	AUDIT AND CONTROL OIL SPILL	-	-	11,957.03	(11,931.63)	25.40
21202	HEALTH DEPT OIL SPILL	-	-	2,318.43	(2,318.43)	-
21203	DEPT OF ENVIRONMENTAL CONSERVATION OIL SPILL	-	-	270,435.85	(183,143.97)	87,291.88
21204	OIL SPILL COMPENSATION	-	-	-	-	-
21205	LICENSE FEE SURCHARGES	-	-	-	-	-
21401	PUBLIC TRANSPORTATION SYSTEMS	-	-	-	-	-
21402	METROPOLITAN MASS TRANSPORTATION	-	-	99,446,088.44	(99,446,088.44)	-
21451	OPERATING PERMIT PROGRAM	31,987,548.53	32,206,812.95	32,937,539.50	448,757.34	33,386,296.84
21452	MOBILE SOURCE	-	-	-	-	-
21902	HEALTH-SPARC'S	-	-	-	-	-
21905	THRUWAY AUTHORITY ACCT	6,628,040.93	4,385,613.50	2,912,861.50	4,136,198.61	7,049,060.11
21907	MENTAL HYGIENE PROGRAM	-	-	-	-	-
21909	MENTAL HYGIENE PATIENT INCOME ACCOUNT	-	-	-	-	-
21911	FINANCIAL CONTROL BOARD	227,316.04	392,548.02	579,539.40	(378,553.27)	200,986.13
21912	RACING REGULATION ACCOUNT	2,327,842.19	2,676,642.20	3,294,263.41	18,733.93	3,312,997.34
21937	SU DORM INCOME REIMBURSE	841,883.38	176,978.44	119,644.65	256,303.08	375,947.73
21945	CRIMINAL JUSTICE IMPROVEMENT	-	-	-	-	-
21959	ENV LAB REF FEE	-	-	-	-	-
21961	TRAINING, MANAGEMENT AND EVALUATION ACCOUNT	587,324.51	628,138.35	657,890.69	(109,761.93)	548,128.76
21962	CLINICAL LAB FEE	12,262,552.03	11,089,563.46	11,548,187.44	(2,254,463.30)	9,293,724.14
21978	INDIRECT COST RECOVERY	-	-	-	-	-
21979	HIGH SCHOOL EQUIVALENCY PROGRAM	-	-	-	-	-
21989	MULTI - AGENCY TRAINING ACCOUNT	-	-	-	-	-
22003	BELL JAR COLLECTION ACCOUNT	-	-	-	-	-
22004	INDUSTRY AND UTILITY SERVICE	-	-	-	-	-
22006	REAL PROPERTY DISPOSITION	-	-	-	-	-
22007	PARKING ACCOUNT	-	-	-	-	-
22008	COURTS SPECIAL GRANTS	-	-	-	-	-
22009	ASBESTOS SAFETY TRAINING	38,751.38	22,724.52	24,304.44	7,770.14	32,074.58
22017	CAMP SMITH BILLETING ACCOUNT	-	-	-	-	-
22032	BATAVIA SCHOOL FOR THE BLIND	8,568,731.83	9,514,632.17	10,602,800.05	717,707.76	11,320,507.81
22034	INVESTMENT SERVICES	-	-	-	-	-
22036	SURPLUS PROPERTY ACCOUNT	-	-	-	-	-

APPENDIX F

STATE OF NEW YORK
SCHEDULE OF MONTH-END TEMPORARY LOANS OUTSTANDING(*)

SFS Fund	ACCOUNT TITLE	October 31, 2020	November 30, 2020	December 31, 2020	Change	January 31, 2021	
22039	FINANCIAL OVERSIGHT	259,686.11	517,309.49	827,618.02	(552,612.95)	275,005.07	
22046	REGULATION INDIAN GAMING	94,761,447.78	95,821,480.92	95,993,465.56	979,765.51	96,973,231.07	
22053	ROME SCHOOL FOR THE DEAF	3,717,957.37	4,429,589.30	5,586,537.02	528,284.95	6,114,821.97	
22054	DSP-SEIZED ASSETS	902,838.61	859,008.57	808,753.32	(149,620.40)	659,132.92	
22055	ADMINISTRATIVE ADJUDICATION	31,016,774.62	34,239,897.64	37,896,896.77	1,514,596.67	39,411,493.44	
22056	FEDERAL SALARY SHARING	1,120,954.74	1,343,372.58	1,484,621.43	113,981.03	1,598,602.46	
22062	NYC ASSESSMENT ACCT	-	-	-	-	-	
22063	CULTURAL EDUCATION ACCOUNT	5,490,643.04	5,432,522.42	4,786,470.03	(1,395,247.24)	3,391,222.79	
22078	LOCAL SERVICE ACCOUNT	-	-	-	-	-	
22085	DHCR MORTGAGE SERVICES	1,581,265.97	1,722,996.43	1,929,393.99	201,909.20	2,131,303.19	
22090	HOUSING INDIRECT COST RECOVERY	-	-	-	-	-	
22100	DHCR-HOUSING CREDIT AGENCY APPLY FEE	10,474,355.28	11,155,914.06	11,818,808.10	559,780.71	12,378,588.81	
22130	LOW INCOME HOUSING CREDIT MONITORING	-	-	-	-	-	
22135	EFC-CORPORATION ADMINISTRATION	-	-	-	-	-	
22144	MONTROSE VETERAN'S HOME	264,654.69	-	-	-	-	
22151	DEFERRED COMPENSATION ADMIN	176,103.57	56,057.78	119,092.21	51,953.40	171,045.61	
22156	RENT REVENUE OTHER - NYC	4,253,279.07	7,970,306.51	12,415,682.77	3,879,758.13	16,295,440.90	
22158	RENT REVENUE	-	-	-	-	-	
22168	TAX REVENUE ARREARAGE ACCOUNT	-	-	-	-	-	
22240	NYS MEDICAL INDEMNITY FUND ACCOUNT	1,269,835.22	1,371,046.36	1,502,286.60	103,897.06	1,606,183.66	
22654	S.U. NON-RESIDENT REV. OFFSET	20,667,047.29	20,669,171.39	20,671,321.96	2,288.51	20,673,610.47	
22751	LAKE GEORGE PARK TRUST FUND	-	-	-	23,185.21	23,185.21	
22802	STATE POLICE MV ENFORCE	-	-	-	-	-	
23001	DOT - HIGHWAY SAFETY PRGM	16,041,242.69	16,319,265.62	16,693,271.87	146,725.11	16,839,996.98	
23102	DOH DRINKING WATER PROGRAM	5,350,949.70	5,350,949.70	5,350,949.70	-	5,350,949.70	
23151	NYCCC OPERATING OFFSET	46,094,230.01	48,390,644.22	50,730,785.31	2,360,973.22	53,091,758.53	
23701	COMMERCIAL GAMING REVENUE	-	-	-	-	-	
23702	COMMERCIAL GAMING REGULATION	20,509,123.97	20,807,346.97	21,181,241.86	314,170.48	21,495,412.34	
23801	HIGHWAY USE TAX ADMIN	-	-	-	-	-	
23806	NYS SECURE CHOICE ADMIN	-	-	-	-	-	
24951	FANTASY SPORTS ADMINISTRATION	60,094.79	4,356.79	37,509.74	-	37,509.74	
	TOTAL STATE SPECIAL REVENUE FUNDS	**1,991,885,822.09**	**1,975,108,620.28**	**1,952,166,417.63**	**(165,087,364.15)**	**1,787,079,053.48**	
	FEDERAL FUNDS						
25000-25099	FEDERAL USDA/FOOD AND NUTRITION SERVICES FUND	4,118,961.38	35,147,356.38	14,138,263.58	(8,217,052.00)	5,921,211.58	
25100-25199	FEDERAL HEALTH AND HUMAN SERVICES FUND	231,401,978.90	380,493,084.52	244,159,117.03	219,816,731.16	463,975,848.19	
25200-25249	FEDERAL EDUCATION GRANTS FUND	35,344,470.68	43,743,707.94	14,673,179.58	15,089,509.13	29,762,688.71	
25250-25299	FEDERAL DHHS BLOCK GRANTS	-	-	-	-	-	
25300-25899	FEDERAL OPERATING GRANTS FUND	552,029,917.32	473,639,410.90	476,592,572.12	(5,342,971.96)	471,249,600.16	
31351	MILITARY AND NAVAL AFFAIRS	8,753,932.66	8,753,932.66	8,753,932.66	-	8,753,932.66	
31354	DEPARTMENT OF TRANSPORTATION	432,424,080.01	369,051,296.52	342,775,368.18	(48,490,473.39)	294,284,894.79	
31350-31449	FEDERAL CAPITAL PROJECTS FUND (ALL OTHER)	127,990,063.01	110,758,696.75	112,446,385.15	(894,313.21)	111,552,071.94	
25900-25949	UNEMPLOYMENT INSURANCE ADMINISTRATION	76,343,537.67	95,365,817.35	164,587,973.83	82,408,122.10	246,996,095.93	
25950	FEDERAL UNEMPLOYMENT INS OCCUPATIONAL TRAINING	377,092.00	389,236.50	482,839.00	(20,275.50)	462,563.50	
26001-26049	DOL EMPLOYMENT AND TRAINING GRANTS	6,283,786.45	1,052,527.92	4,109,419.63	8,813,001.27	12,922,420.90	
	TOTAL FEDERAL FUNDS	**1,475,067,820.08**	**1,518,395,067.44**	**1,382,719,050.76**	**263,162,277.60**	**1,645,881,328.36**	(**)
	AGENCY FUNDS						
60201	EMPLOYEES HEALTH INSURANCE ACCT	-	-	-	-	-	
60901	MMIS - STATE AND FEDERAL	-	-	-	-	-	
	TOTAL AGENCY FUNDS	**-**	**-**	**-**	**-**	**-**	
	ENTERPRISE FUND						
50318	OGS CONVENTION CENTER ACCOUNT	754,811.15	796,325.29	858,561.11	51,387.83	909,948.94	
50327	EMPIRE PLAZA GIFT SHOP	256,470.11	271,994.00	277,839.74	801.98	278,641.72	
	TOTAL ENTERPRISE FUND	**1,011,281.26**	**1,068,319.29**	**1,136,400.85**	**52,189.81**	**1,188,590.66**	
	INTERNAL SERVICE FUNDS						
55001	CENTRALIZED SERVICES-FLEET MGMT	-	-	-	-	-	
55002	CENTRALIZED SERVICES-DATA PROCESSING	-	-	-	-	-	
55003	CENTRALIZED SERVICES-PRINTING	1,310,719.52	1,345,908.54	1,422,908.23	(7,351.76)	1,415,556.47	
55004	CENTRALIZED SERVICES-REAL PROPERTY-LABOR	-	-	-	134,821.27	134,821.27	
55005	CENTRALIZED SERVICES-DONATED FOODS	-	-	-	-	-	
55006	CENTRALIZED SERVICES-PERSONAL PROPERTY	-	-	14,991.22	9,484.22	24,475.44	
55007	CENTRALIZED SERVICES-CONSTRUCTION SERVICES	2,426,477.80	2,456,121.37	2,452,775.52	225,824.65	2,678,600.17	
55008	CENTRALIZED SERVICES-PASNY	15,954,413.12	18,472,982.82	20,803,539.76	248,366.06	21,051,905.82	
55009	CENTRALIZED SERVICES-ADMIN SUPPORT	-	-	-	-	-	
55010	CENTRALIZED SERVICES-DESIGN AND CONSTR	16,660,902.08	18,577,496.64	18,107,950.66	(28,949.43)	18,079,001.23	
55011	CENTRALIZED SERVICES-INSURANCE	6,350,431.78	-	1,164,558.81	4,672,547.67	5,837,106.48	
55012	CENTRALIZED SERVICES-SECURITY CARD ACCESS	168,839.30	163,954.30	158,832.30	7,332.00	166,164.30	
55013	CENTRALIZED SERVICES-COP'S	-	-	-	-	-	
55014	CENTRALIZED SERVICES-FOOD SERVICES	-	-	-	-	-	
55015	CENTRALIZED SERVICES-HOMER FOLKS	-	-	-	-	-	

APPENDIX F

STATE OF NEW YORK
SCHEDULE OF MONTH-END TEMPORARY LOANS OUTSTANDING(*)

SFS Fund	ACCOUNT TITLE	October 31, 2020	November 30, 2020	December 31, 2020	Change	January 31, 2021
55016	CENTRALIZED SERVICES-IMMICS	1,511,168.30	1,447,566.51	1,840,583.09	79,381.22	1,919,964.31
55017	DOWNSTATE WAREHOUSE	483,425.53	356,668.03	149,898.27	(111,014.16)	38,884.11
55018	BUILDING ADMINISTRATION	-	-	-	-	-
55019	LEASE SPACE INITIATIVE	-	-	-	-	-
55020	OGS ENTERPRISE CONTRACTING ACCT	82,067,941.28	87,825,851.34	88,195,248.20	3,469,691.09	91,664,939.29
55021	NYS MEDIA CENTER	10,348,439.37	10,652,731.63	11,155,297.64	402,993.00	11,558,290.64
55022	BUSINESS SERVICES CENTER	20,014,612.54	22,199,281.87	24,238,440.67	1,992,396.54	26,230,837.21
55052	ARCHIVES RECORD MGMT I.S.	-	37,676.44	-	65,304.11	65,304.11
55053	FEDERAL SINGLE AUDIT	-	-	-	-	-
55056	CIVIL SERVICE EHS OCCUP HEALTH PROG	-	-	-	-	-
55057	BANKING SERVICES ACCOUNT	133,693.35	108,593.51	30,802.29	7,503.16	38,305.45
55058	CULTURAL RESOURCE SURVEY	2,612,513.96	2,932,271.19	2,725,647.37	237,511.94	2,963,159.31
55059	NEIGHBOR WORK PROJECT	11,074,396.76	11,602,383.89	11,585,502.98	2,498.35	11,588,001.33
55060	AUTOMATIC/PRINT CHARGBACKS	4,535,498.04	4,453,300.14	2,734,309.87	988,005.84	3,722,315.71
55061	OFT NYT ACCT	1,246,980.34	-	-	-	-
55062	DATA CENTER ACCOUNT	48,830,817.34	48,830,817.34	52,824,404.62	(2,765,711.67)	50,058,692.95
55066	CYBER SECURITY INTRUSION ACCT	1,261,584.27	1,261,584.27	1,261,584.27	-	1,261,584.27
55067	DOMESTIC VIOLENCE GRANT	207,012.97	200,856.41	225,548.29	24,238.74	249,787.03
55069	CENTRALIZED TECHNOLOGY SERVICES	89,155,482.60	99,463,734.84	103,800,648.53	(27,236,317.03)	76,564,331.50
55071	LABOR CONTACT CENTER ACCT	5,701,252.80	6,466,266.70	4,203,491.07	73,836.16	4,277,327.23
55072	HUMAN SERVICES CONTACT CNTR ACCT	2,651,094.45	3,393,732.41	3,170,869.49	951,715.35	4,122,584.84
55073	TAX CONTACT CENTER ACCT	-	-	-	-	-
55074	CIVIL RECOVERIES ACCT	1,937,226.53	2,838,791.78	4,035,844.07	(1,367,562.62)	2,668,281.45
55251	EXECUTIVE DIRECTION INTERNAL AUDIT	10,531,322.97	10,766,740.89	11,038,001.16	230,753.53	11,268,754.69
55252	CIO INFORMATION TECHNOLOGY CENTRALIZED SERVICES	50,042,467.44	52,214,425.66	44,661,267.15	668,339.07	45,329,606.22
55300	HEALTH INSURANCE INTERNAL SERVICE	-	-	2,988,283.21	809,548.74	3,797,831.95
55301	CIVIL SERVICE EMPLOYEE BENEFITS DIV ADM	4,818,733.51	4,916,106.36	5,003,507.81	72,995.44	5,076,503.25
55350	CORR INDUSTRIES INTERNAL SERVICE	46,624,140.17	48,225,119.94	50,777,391.54	(34,368.82)	50,743,022.72
	TOTAL INTERNAL SERVICE FUNDS	**438,661,588.12**	**461,210,964.82**	**470,772,128.09**	**(16,176,187.34)**	**454,595,940.75**
	GRAND TOTAL - TEMPORARY LOANS OUTSTANDING	**$ 5,452,596,749.66**	**$ 5,616,633,438.64**	**$ 5,338,086,272.93**	**$ 122,730,774.87**	**$ 5,460,817,047.80**

(*) Temporary Loans are authorized pursuant to Subdivision 5 of Section 4 of the State Finance Law and Chapter 56, Part JJ, Section 1, of the Laws of 2020-21. The loans represent authorizations made by the Legislature to allow certain funds/accounts to make appropriated payments regardless of the fund (cash) balance. Such loans are made from the State's Short-Term Investment Pool (STIP) and are intended to satisfy temporary cash shortfalls whenever scheduled disbursements exceed available revenues during the fiscal year. Generally, temporary loans are repaid from the first cash receipts of the fund or account; however, in some cases actual revenues are not sufficient to repay all loans made to the fund or account and a transfer from the General Fund "Repayment of Receivables" appropriation is approved by the Budget Director. The balances reported here in Appendix F are the actual fund balances as of the close of business on the last day of the reporting month and do not include post-closing adjustments. Please refer to Schedule 1 for a detailed analysis of the 'reported' cash balances of the fund group.

(**) Temporary loans to federal funds are typically reimbursed within 2-3 days. Such loans are made pursuant to federal regulations which require the State to disburse funds prior to making a reimbursement claim from the U.S. Treasury.

(***) Per Section 72 of the State Finance Law, the General Fund includes the Local Assistance Fund (10000) and State Purpose Fund (10050).

APPENDIX G

STATE OF NEW YORK
DEDICATED INFRASTRUCTURE INVESTMENT FUND(*)
STATEMENT OF RECEIPTS AND DISBURSEMENTS
FISCAL YEAR 2020-2021

	2020 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2021 JANUARY	FEBRUARY	MARCH	10 Months Ended January 31, 2021
OPENING CASH BALANCE	$ 86,513,214	$ 49,126,483	$ 42,662,065	$ 7,636,110	$ 165,822,096	$ 101,117,004	$ 90,519,037	$ 33,132,009	$ 34,311,372	$ 65,037,383			$ 86,513,214
RECEIPTS:													
Transfers from General Fund (**)	-	-	-	204,000,000	-	-	-	40,000,000	68,967,000	50,000,000			362,967,000
Other	-	-	-	-	-	-	-	-	-	-			-
Total Receipts	-	-	-	204,000,000	-	-	-	40,000,000	68,967,000	50,000,000	-	-	362,967,000
DISBURSEMENTS:													
Affordable and Homeless Housing	-	-	9,481	533,024	-	415,671	516,927	83,421	-	1,251,346			2,809,870
Broadband Initiative	1,735,855	1,420,080	-	6,989,621	-	47,334	7,596,310	707,298	5,896,536	-			24,393,033
Downtown Revitalization	-	-	-	-	-	250,000	455,489	415,518	239,112	-			1,360,118
Empire State Poverty Reduction Initiatives	2,457,343	88,175	-	1,071,138	565,275	2,517,997	1,963,105	329,081	444,698	947,002			10,383,813
Health Care / Hospital Initiatives	2,586,638	3,634,367	1,781,021	298,010	2,275,903	643,795	468,438	105,191	736,003	-			12,529,366
Information Technology/Infrastructure for Behavioral Sciences	-	-	-	-	-	-	-	-	-	-			-
Infrastructure Improvements	-	-	5,540,794	1,292,017	2,270,353	144,374	4,447,962	792,375	6,319,850	469			20,808,195
Jacob Javits Center Expansion	-	-	-	-	55,700,000	-	22,620,551	28,198,264	-	32,443,444			138,962,259
Life Sciences Initiative	2,500,000	1,500,000	-	-	-	-	5,811,363	-	-	-			9,811,363
Municipal Restructuring / Consolidation Competition	3,054,840	(2,778,292)	-	562,372	-	830,000	2,063,090	1,237,295	503,423	701,627			6,174,356
Penn Station Access	-	-	-	-	-	-	-	-	-	-			-
Resiliency, Mitigation, Security and Emergency Response	-	-	-	-	(6,035)	-	(10,425)	-	-	414,460			398,001
Southern Tier / Hudson Valley Farm Initiative	-	-	-	30,000	55,274	(14,282)	20,642	24,656	(4,552)	-			111,738
Thruway Stabilization Program	-	-	22,587,449	24,055,020	2,944,322	4,284,912	-	-	-	-			53,871,704
Transformative Economic Development Projects	10,440,876	79,325	4,746,161	282,274	-	1,478,166	5,814,301	4,625,918	11,485,752	70,474			39,023,246
Transporation Capital Plan	-	-	-	-	-	-	-	-	-	-			-
Upstate Revitalization Program	14,611,179	2,520,763	361,049	10,700,538	900,000	-	5,619,275	2,301,620	12,620,169	3,444,591			53,079,183
Total Disbursements	37,386,731	6,464,418	35,025,955	45,814,014	64,705,092	10,597,967	57,387,028	38,820,637	38,240,989	39,273,414	-	-	373,716,245
OPERATING TRANSFERS:													
Transfers to General Fund	-	-	-	-	-	-	-	-	-	-			-
Total Operating Transfers	-	-	-	-	-	-	-	-	-	-	-	-	-
Total Disbursements and Transfers	37,386,731	6,464,418	35,025,955	45,814,014	64,705,092	10,597,967	57,387,028	38,820,637	38,240,989	39,273,414	-	-	373,716,245
CLOSING CASH BALANCE	$ 49,126,483	$ 42,662,065	$ 7,636,110	$ 165,822,096	$ 101,117,004	$ 90,519,037	$ 33,132,009	$ 34,311,372	$ 65,037,383	$ 75,763,969	$ -	$ -	$ 75,763,969

(*) Fund created pursuant to Chapter 60, Laws of 2015-16, Part H and SFL § 93-b

(**) Pursuant to Section 93(b) of the State Finance Law

STATE OF NEW YORK **APPENDIX H**

MEDICAL ASSISTANCE DISBURSEMENTS - STATE FUNDS(*)

FISCAL YEAR 2020-2021

	JANUARY 2021			10 MONTHS ENDED JANUARY 31		
	Department of Health	Other State Agencies	January	Department of Health	Other State Agencies	Year to Date
Adult State Share Medicaid	$ -	$ -	$ -	$ -	$ 168,444,308.00	$ 168,444,308.00
State Share Medicaid	22,178,781.00	(4,453,701.82)	17,725,079.18	93,727,744.00	19,425,061.55	113,152,805.55
Medical Assistance (OPWDD)	-	287,779.97	287,779.97	-	546,073,761.97	546,073,761.97
Medical Assistance Administration	4,226,095.42	135,062,010.00	139,288,105.42	42,850,270.17	280,124,874.00	322,975,144.17
Population Health Improvement	-	-	-	2,134,333.54	-	2,134,333.54
Traumatic Brain Injury Services	1,828,050.33	-	1,828,050.33	10,223,915.93	-	10,223,915.93
Nursing Home Transition & Diversion	968,126.08	-	968,126.08	968,126.08	-	968,126.08
Reducing Maternal Mortality	-	-	-	657,322.04	-	657,322.04
New York Connects	-	2,232,355.05	2,232,355.05	-	11,012,946.31	11,012,946.31
Facilitated Enrollment	117,604.78	-	117,604.78	2,501,443.01	-	2,501,443.01
Emergency Medical Transportation	-	-	-	750,000.00	-	750,000.00
Managed Long-Term Care Ombudsman	389,963.86	-	389,963.86	4,282,451.81	-	4,282,451.81
Major Academic Pool	-	-	-	-	-	-
Women's Health & Multiple Births	-	-	-	-	-	-
Vital Access Program (OASAS)	-	-	-	-	-	-
Vital Access Program (OMH)	-	-	-	-	-	-
Vital Access Provider Services	1,600,000.00	-	1,600,000.00	1,600,000.00	-	1,600,000.00
General Hospitals Safety-Net Providers	-	-	-	35,239,490.00	-	35,239,490.00
Rural Transportation	-	-	-	-	-	-
AIDS Epidemic	741,563.41	-	741,563.41	7,801,218.36	-	7,801,218.36
Fluoridation Systems	-	-	-	750,709.02	-	750,709.02
Expanding Caregiver Support Services	1,863,977.60	-	1,863,977.60	20,806,869.24	-	20,806,869.24
Provide Affordable Housing	875,744.96	6,907,071.00	7,782,815.96	19,011,122.69	14,230,665.38	33,241,788.07
Health Homes Establishment	-	-	-	376,446.03	-	376,446.03
Community Provider Network	2,143,687.50	-	2,143,687.50	18,531,501.45	-	18,531,501.45
Inpatient Services	14,853,755.33	-	14,853,755.33	299,128,986.71	-	299,128,986.71
Patient Centered Medical Homes	-	-	-	46,974,452.85	-	46,974,452.85
Outpatient & Emergency Room Services	9,574,386.71	-	9,574,386.71	161,624,965.41	-	161,624,965.41
Clinic Services	12,194,988.94	-	12,194,988.94	145,412,531.59	-	145,412,531.59
Nursing Home Services	146,095,173.92	-	146,095,173.92	878,382,976.91	-	878,382,976.91
Other Long Term Care Services	491,451,544.24	-	491,451,544.24	5,356,169,355.06	-	5,356,169,355.06
Managed Care Services	164,018,545.58	-	164,018,545.58	4,228,398,745.22	-	4,228,398,745.22
Pharmacy Services	10,817,946.66	-	10,817,946.66	128,976,065.15	-	128,976,065.15
Transportation Services	6,289,535.85	-	6,289,535.85	87,356,562.29	-	87,356,562.29
Dental Services	221,518.40	-	221,518.40	2,565,950.41	-	2,565,950.41
Non-Institutional & Other	(11,611,751.48)	(37,201.00)	(11,648,952.48)	430,374,926.64	11,571,643.00	441,946,569.64
Medical Services State Facilities	183,101,467.20	-	183,101,467.20	1,206,937,116.69	-	1,206,937,116.69
CSEA Family Health Plus Buy In	-	-	-	1,437,931.96	-	1,437,931.96
DC37 & Teamster Local 858	-	-	-	-	-	-
Medical Assistance (HCRA)	350,000,000.00	-	350,000,000.00	3,365,000,000.00	-	3,365,000,000.00
Indigent Care	37,900,449.84	-	37,900,449.84	621,039,712.47	-	621,039,712.47
Provider Assessments	73,845,000.00	-	73,845,000.00	650,852,000.00	-	650,852,000.00
NYC Personal Care Workforce Recruitment and Retention Rates (HCRA)	-	-	-	-	-	-
Personal Care Workforce Recruitment and Retention Rates (HCRA)	-	-	-	-	-	-
Home Health Rate Increase (HCRA)	-	-	-	-	-	-
Additional DSH Payments SUNY		-	-	169,029,951.20	-	169,029,951.20
TOTAL(**)	**1,525,686,156.13**	**139,998,313.20**	**1,665,684,469.33**	**18,041,875,193.93**	**1,050,883,260.21**	**19,092,758,454.14**
Reclassification of Medical Assistance payments for care and treatment of patients at State-operated health, mental hygiene and State University facilities to Transfers.	(196,493,564.94)	-	(196,493,564.94)	($1,531,918,178.35)	-	(1,531,918,178.35)
TOTAL REPORTED MEDICAID	**$ 1,329,192,591.19**	**$ 139,998,313.20**	**$ 1,469,190,904.39**	**$ 16,509,957,015.58**	**$ 1,050,883,260.21**	**$ 17,560,840,275.79**

(*) General Fund and State Special Revenue Funds only.
These amounts do not include Medical Assistance spending for State Operations.
These amounts are not comparable to Medicaid Global Cap spending.
Department of Health regularly reclassifies spending between programs,
and therefore amounts for any individual program may be restated by DOH.

(**)Source: Statewide Financial System

STATE OF NEW YORK

APPENDIX I

MEDICAL ASSISTANCE DISBURSEMENTS - FEDERAL FUNDS(*)

FISCAL YEAR 2020-2021

	JANUARY 2021			10 MONTHS ENDED JANUARY 31		
	Department of Health	Other State Agencies	January	Department of Health	Other State Agencies	Year to Date
Medical Assistance & Survey Certification Program	$ 8,018,030.46	$ -	$ **8,018,030.46**	$ 101,033,831.67	$ -	$ **101,033,831.67**
Medical Assistance Administration	3,127,639.40	116,001,903.00	**119,129,542.40**	81,717,999.52	262,473,510.00	**344,191,509.52**
Partnership Plan	-	-	**-**	746,230,284.57	-	**746,230,284.57**
Inpatient Services	457,732,325.69	-	**457,732,325.69**	3,689,886,227.27	-	**3,689,886,227.27**
Outpatient & Emergency Room Services	25,399,098.55	-	**25,399,098.55**	495,006,225.31	-	**495,006,225.31**
Clinic Services	46,319,447.65	-	**46,319,447.65**	529,035,104.33	-	**529,035,104.33**
Nursing Home Services	220,549,433.22	-	**220,549,433.22**	1,301,404,517.31	-	**1,301,404,517.31**
Other Long Term Care Services	1,359,628,618.26	-	**1,359,628,618.26**	12,197,879,405.36	-	**12,197,879,405.36**
Managed Care Services	1,746,272,018.58	-	**1,746,272,018.58**	17,405,712,803.42	-	**17,405,712,803.42**
Pharmacy Services	29,568,084.48	-	**29,568,084.48**	340,816,204.89	-	**340,816,204.89**
Transportation Services	34,406,967.57	-	**34,406,967.57**	397,259,909.62	-	**397,259,909.62**
Dental Services	749,517.55	-	**749,517.55**	8,695,169.06	-	**8,695,169.06**
Non-Institutional & Other	7,469,001.99	24,685,707.00	**32,154,708.99**	21,178,557.34	24,103,605.00	**45,282,162.34**
Medical Services State Facilities	56,110,138.01	-	**56,110,138.01**	1,073,973,623.08	-	**1,073,973,623.08**
Additional DSH Payments SUNY	-	-	**-**	221,268,617.80	-	**221,268,617.80**
TOTAL(**)	**3,995,350,321.41**	**140,687,610.00**	**4,136,037,931.41**	**38,611,098,480.55**	**286,577,115.00**	**38,897,675,595.55**
Reclassification of Medical Assistance payments for care and treatment of patients at State-operated health, mental hygiene and State University facilities to Transfers and adjustments for timing of payments at month end.	(189,668,398.52)	-	(189,668,398.52)	(987,595,200.70)	-	(987,595,200.70)
TOTAL REPORTED MEDICAID(***)	**$ 3,805,681,922.89**	**$ 140,687,610.00**	**$ 3,946,369,532.89**	**$ 37,623,503,279.85**	**$ 286,577,115.00**	**$ 37,910,080,394.85**

(*) Special Revenue Federal Funds only.
These amounts do not include Medical Assistance spending for State Operations.
These amounts are not comparable to Medicaid Global Cap spending.

(**) Source: Statewide Financial System

(***) Reported Medicaid spending does not include the Basic Health Plan.