# REGISTER REWYORK STATE

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- State Aid to Districts
- Use of Ultra Low Sulfur Diesel Fuel and Best Available Retrofit Technology for Heavy Duty Vehicles
- Wireline and Wireless Pole Attachment Rates

# Regulatory Agenda Notice of Availability of State and Federal Funds

State agencies must specify in each notice which proposes a rule the last date on which they will accept public comment. Agencies must always accept public comment: for a minimum of 60 days following publication in the *Register* of a Notice of Proposed Rule Making, or a Notice of Emergency Adoption and Proposed Rule Making; and for 45 days after publication of a Notice of Revised Rule Making, or a Notice of Emergency Adoption and Revised Rule Making in the *Register*. When a public hearing is required by statute, the hearing cannot be held until 60 days after publication of the notice, and comments must be accepted for at least 5 days after the last required hearing. When the public comment period ends on a Saturday, Sunday or legal holiday, agencies must accept comment through the close of business on the next succeeding workday.

## For notices published in this issue:

- the 60-day period expires on April 5, 2020
- the 45-day period expires on March 21, 2020
- the 30-day period expires on March 6, 2020

# ANDREW M. CUOMO **GOVERNOR**

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#### NEW YORK STATE DEPARTMENT OF STATE

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# Be a part of the rule making process!

The public is encouraged to comment on any of the proposed rules appearing in this issue. Comments must be made in writing and must be submitted to the agency that is proposing the rule. Address your comments to the agency representative whose name and address are printed in the notice of rule making. No special form is required; a handwritten letter will do. Individuals who access the online *Register* (www.dos.ny.gov) may send public comment via electronic mail to those recipients who provide an e-mail address in Notices of Proposed Rule Making. This includes Proposed, Emergency Proposed, Revised Proposed and Emergency Revised Proposed rule makings.

To be considered, comments should reach the agency before expiration of the public comment period. The law provides for a minimum 60-day public comment period after publication in the *Register* of every Notice of Proposed Rule Making, and a 45-day public comment period for every Notice of Revised Rule Making. If a public hearing is required by statute, public comments are accepted for at least five days after the last such hearing. Agencies are also required to specify in each notice the last date on which they will accept public comment.

When a time frame calculation ends on a Saturday or Sunday, the agency accepts public comment through the following Monday; when calculation ends on a holiday, public comment will be accepted through the following workday. Agencies cannot take action to adopt until the day after expiration of the public comment period.

The Administrative Regulations Review Commission (ARRC) reviews newly proposed regulations to examine issues of compliance with legislative intent, impact on the economy, and impact on affected parties. In addition to sending comments or recommendations to the agency, please do not hesitate to transmit your views to ARRC:

Administrative Regulations Review Commission State Capitol Albany, NY 12247 Telephone: (518) 455-5091 or 455-2731

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KEY: (P) Proposal; (RP) Revised Proposal; (E) Emergency; (EP) Emergency and Proposal; (A) Adoption; (AA) Amended Adoption; (W) Withdrawal

Individuals may send public comment via electronic mail to those recipients who provided an e-mail address in Notices of Proposed Rule Making. This includes Proposed, Emergency Proposed, Revised Proposed and Emergency Revised Proposed rule makings. Choose pertinent issue of the *Register* and follow the procedures on the website (www.dos.ny.gov)

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# RULE MAKING **ACTIVITIES**

Each rule making is identified by an I.D. No., which consists of 13 characters. For example, the I.D. No. AAM-01-96-00001-E indicates the following:

AAM -the abbreviation to identify the adopting agency

-the State Register issue number 01

96 -the year

00001 -the Department of State number, assigned upon

receipt of notice.

E -Emergency Rule Making—permanent action

> not intended (This character could also be: A for Adoption; P for Proposed Rule Making; RP for Revised Rule Making; EP for a combined Emergency and Proposed Rule Making; EA for an Emergency Rule Making that is permanent and does not expire 90 days after filing.)

Italics contained in text denote new material. Brackets indicate material to be deleted.

# Department of Agriculture and **Markets**

#### NOTICE OF ADOPTION

State Aid to Districts

I.D. No. AAM-33-19-00003-A

Filing No. 37

Filing Date: 2020-01-17 **Effective Date: 2020-02-05** 

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following action:

Action taken: Amendment of Part 363 of Title 1 NYCRR.

Statutory authority: Soil and Water Conservation Districts Law, section

Subject: State aid to districts.

Purpose: To conform Part 363 to Soil and Water Conservation Districts Law, section 11-a statutory amendments and to make technical

Text or summary was published in the August 14, 2019 issue of the Register, I.D. No. AAM-33-19-00003-P.

Final rule as compared with last published rule: No changes.

Text of rule and any required statements and analyses may be obtained from: Jennifer Clifford, Associate Environmental Analyst, Department of Agriculture and Markets, 10B Airline Drive, Albany, New York 12235, (518) 457-3844, email: Jennifer.Clifford@agriculture.ny.gov

#### Initial Review of Rule

As a rule that does not require a RFA, RAFA or JIS, this rule will be initially reviewed in the calendar year 2025, which is no later than the 5th year after the year in which this rule is being adopted.

Assessment of Public Comment

The agency received no public comment.

# **Department of Environmental** Conservation

#### PROPOSED RULE MAKING HEARING(S) SCHEDULED

Use of Ultra Low Sulfur Diesel Fuel and Best Available Retrofit **Technology for Heavy Duty Vehicles** 

I.D. No. ENV-05-20-00001-P

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following proposed rule:

Proposed Action: Amendment of Part 248 of Title 6 NYCRR.

Statutory authority: Environmental Conservation Law, sections 1-0101, 3-0301, 19-0103, 19-0105, 19-0301, 19-0303, 19-0305, 19-0323, 71-2103

Subject: Use of Ultra Low Sulfur Diesel Fuel and Best Available Retrofit Technology for Heavy Duty Vehicles.

Purpose: Updating to meet with statutory deadline.

Public hearing(s) will be held at: 11:00 a.m., April 10, 2020 at Department of Environmental Conservation, 625 Broadway, Public Assembly Rm. 129 A/B, Albany, NY.

Interpreter Service: Interpreter services will be made available to hearing impaired persons, at no charge, upon written request submitted within reasonable time prior to the scheduled public hearing. The written request must be addressed to the agency representative designated in the paragraph

Accessibility: All public hearings have been scheduled at places reasonably accessible to persons with a mobility impairment.

Text of proposed rule: 6 NYCRR Part 248 is amended to read as follows: Subdivision 248-1.1(a) through Paragraph 248-1.1(b)(13) remains unchanged.

Paragraph 248-1.1(b)(14) is amended to read as follows:

(14) 'Heavy duty vehicle' or 'HDV' means any on and off-road vehicle powered by a diesel engine and having a gross vehicle weight of greater than 8,500 pounds, except: vehicles used exclusively as snowplows under contract with a regulated entity; authorized emergency vehicles; trucks owned by a person engaged in production by means of:

(i) the planting, cultivation and harvesting of agricultural, vegetable and food products of the soil, including horticultural specialties such as nursery stock, ornamental shrubs, ornamental trees and flowers;

(ii) the raising, feeding and care of livestock, bees and poultry; or (iii) dairy farming where such agricultural truck is only used for the transportation of such person's own agricultural or dairy commodities or supplies or for personal passenger use, or in conjunction with lumbering operations connected with but only incidental to the operation of a farm; road rollers, tractor cranes, truck cranes, power shovels, road building machines, snow plows, road sweepers, sand spreaders, well drillers, well servicing rigs, feed processing machines, mobile car crushers (whether self-propelled or a combination used exclusively as one unit), earth movers, which shall mean motor-driven vehicles in excess of eight feet in width equipped with pneumatic tires designed and constructed for moving or transporting earth and rock in connection with excavation and

grading work, and truck with small wheels used in a factory, warehouse or railroad station, spreaders and sprayers (generally meaning an agricultural vehicle used to spread or spray agricultural chemicals, agricultural line and/or agricultural fertilizers), fire vehicles and tractor-trailer combinations designed and used as a unit exclusively for the same purposes as the above named vehicles; motor vehicles, other than motor vehicles manufactured and equipped primarily for the transportation of passengers, trailers and semitrailers, to be operated by any person, upon a public highway for the purpose of traveling by the most direct route, but in no event further than 25 miles one-way from a point on the farms and designated by the vehicle owner and set forth in an attachment to the vehicle registration;

('a') between fields, buildings, and facilities managed or operated as part of a single farm enterprise in connection with the production, harvesting, processing or marketing on that farm of crops, livestock, or

livestock products produced on that farm; or

('b') for the purpose of transporting materials from a farm to the nearest available municipal sanitary landfill, or for the purpose of transporting the motor vehicles, trailer or semitrailer to a motor vehicles repair shop licensed pursuant to chapter 71 of the Consolidated Laws for the repair or adjustment of equipment provided that, in addition to the route restrictions set for in this subdivision, no such transport shall be authorized if such vehicle has an out-of-service defect relating to load securement, brake systems, steering components and/or coupling devices, or after it has been placed out-of-service or on any limited access highway, or for the purpose of transporting the motor vehicle, trailer or semitrailer, at the time of first receipt, from its point of sale to a farm, and during the period of one hour before sunset to one hour after sunrise except for vehicles owned by farmers with an average gross sales value of less than \$1,000 per year of crops, livestock and livestock provisions; and farm type tractors and all terrain type vehicles used exclusively for agriculture or mowing purposes, or for snow plowing, other than for hire, farm equipment, including self-propelled machines used exclusively in growing, harvesting or handling farm produce, and self-propelled caterpillar or crawler-type equipment while being operated on the contract site, and timber harvesting equipment such as harvesters, wood chippers, forwarders, log skidders, and other processing equipment used exclusively off highway for timber harvesting and logging purposes.

This term shall not include vehicles that are specially equipped for emergency response by the department, office of emergency management, sheriff's office of the department of finance, police department or fire

department.

Paragraph 248-1.1(b)(15) through Subdivision 248-3.1(c) remain unchanged.

Subdivision248-3.1(d) through Subdivision 248 3.1(e) is amended to read as follows:

(d) All covered vehicles owned by, operated by *or on behalf of*, or leased by a regulated entity or contractor shall be powered by ULSD fuel. The regulated entity or contractor may apply for a waiver from this requirement per the waiver application process specified in section 248-4.1(a) of this Part if the commissioner makes a written finding that a sufficient quantity of ULSD is not available to meet the requirements of this Part.

(e) [On or after] As of December 31, [2013] 2019, all diesel powered heavy duty vehicles owned by, operated by or on behalf of, or leased by each BART regulated entity or which are owned by, operated by or leased by a contractor and used to provide labor, services, materials and/or equipment on behalf of a BART regulated entity to perform regulated entity

work shall utilize and maintain BART.

Subdivision 248-3.1(f) through Subdivision 248-4.1(b) remains unchanged.

Subdivision 248-4.1(c) is amended to read as follows:

(c) 'Heavy duty vehicle/engine useful life waiver'.

(1) The department shall issue a waiver of the requirements of this Part to a BART regulated entity or contractor upon receipt of request from such entity or contractor provided that such vehicle will be permanently taken out of service in New York State on or before December 31, [2013] 2020. The waiver form will be prescribed by the department. A copy of a department issued waiver for a vehicle shall be kept with the vehicle and provided to the department upon request.

Subdivision 248-4.1(d) through Subdivision 248-5.1(b) remain unchanged

Subdivision 248-6.1(a) is amended to read as follows:

(a) On or before November 1, 2008 and every year thereafter, regulated entities subject to the requirements of this Part shall report to the department on the use of ULSD and BART as described in subdivision (b) of this section for all vehicles, including covered vehicles operated on behalf of regulated entities. *The report shall be based on the period of October 1 through September 30*. Contractors shall report required information as described in subdivision (b) of this section to the regulated entity on a schedule to be determined by the regulated entity.

(b)

Subdivision 248-6.1(b) through Section 248-11.1 remain unchanged.

Text of proposed rule and any required statements and analyses may be obtained from: James Bologna, NYSEDC, Division of Air Resources, 625 Broadway, Albany, NY 12233-3255, (518) 402-8292, email: air.regs@dec.ny.gov

Data, views or arguments may be submitted to: Same as above.

Public comment will be received until: April 15, 2020.

Additional matter required by statute: Pursuant to Article 8 of the State Environmental Quality Review Act, a Short Environmental Assessment Form and a Coastal Assessment Form have been prepared and are on file. Summary of Regulatory Impact Statement (Full text is posted at the following State website: http://www.dec.ny.gov/regulations/propregulations.html#public):

1. Statutory authority:

The statutory authority for this revision to 6 NYCRR Part 248 is the Environmental Conservation Law (ECL) sections 1-0101, 3-0301, 19-0103, 19-0105, 19-0301, 19-0303, 19-0305, 19-0323, 71-2103 and 71-2105.

2. Legislative objectives:

In 2006, the Legislature passed, and the Governor signed the "Diesel Emissions Reduction Act of 2006" (DERA). The legislation charged the Department with implementing a regulatory program that would require the use of ULSD fuel and BART for any heavy duty diesel vehicle (HDDV) that is owned by, operated by or on behalf of, or leased by a state agency and state and regional public authority. The Department subsequently promulgated Part 248, effective as of July 30, 2009, to implement DERA.

Additionally, the Legislature amended ECL section 19-0323 annually, beginning with calendar year 2010 and ending with calendar year 2018, to extend DERA compliance dates. The final extension established compliance dates of December 31, 2019 for BART compliance and December 31, 2020 for the end of useful life waivers. This proposed rulemaking updates and clarifies the compliance dates for BART and useful life waivers; updates the definition of heavy duty vehicle to incorporate changes made to the New York State Vehicle and Traffic Law that add additional exemptions; adds "on behalf of" to Section 248-3.1(d); clarifies the annual reporting requirement period under 248-6.1(a); and, corrects a typographical spacing error.

3. Needs and benefits:

The proposed regulation amends Part 248 to meet statutory requirements and the overall goal of protecting the health of the residents of New York by reducing the emissions of air pollution from certain on- and offroad diesel-powered vehicles and equipment. These revisions to the Part 248 rulemaking make it consistent with the amendments to ECL section 19-0323 by updating compliance dates. In addition, this updates the definition of heavy duty vehicle to incorporate changes made to the New York State Vehicle and Traffic Law that add additional exceptions, revises Section 248-3.1(d) and (e) to add "on behalf of" and corrects one typographical spacing error. These clarifications should assist the regulated entity and contractor in complying with the Part 248 requirements.

Diesel powered vehicles (including on-road and off-road) with engine models years older than 2007 are subject to BART and ULSD requirements. Engine model year 2007 and newer vehicles are deemed to be BART compliant. This regulation includes some exemptions for certain vehicles used in certain situations (emergency, agriculture, non-road construction, timber).

A Costs:

The Department anticipates BART compliance costs for regulated entities and prime contractors will vary significantly. Regulated entities and prime contractors have known about the BART requirements for over a decade. Some have systematically replaced or retrofitted their applicable heavy-duty vehicles and are not expected to have significant additional costs. Others have become accustomed to the annual postponement of the BART compliance dates and have not replaced or retrofitted their applicable vehicles over time. These regulated entities and prime contractors may now face significant costs.

HDDV replacement through attrition, fleet turn over and grant funding opportunities appears to be the option currently favored as a means of compliance. Replacement HDDV costs can vary greatly depending on vehicle size and functionality. In 2019, the Department completed a diesel truck replacement program for Class 5 to Class 8 HDDVs with replacement costs ranging from approximately \$61,000 to \$198,000. The costs associated with larger and more complex HDDVs can be much higher. Used engine model year 2007 and newer vehicles can be purchased at lower costs compared to new vehicles.

Diesel retrofit costs, including installation and maintenance, may exceed the market value of the BART non compliant HDDV. The Manufacturers of Emission Controls Association has published estimated costs of \$10,000 to \$20,000 for HDDV diesel particulate filter retrofits. Costs

associated with retrofit projects on large diesel engines, such as in marine vessels or locomotives, can be much higher.

Cost-Effectiveness

Retrofit projects remain a cost effective method to reduce diesel emissions. As stated in the EPA report entitled "The Cost Effectiveness of Heavy-Duty Diesel Retrofits and Other Mobile Source Emission Reduction Projects and Programs", dated May 2007, retrofitting diesel engines is "one of the most cost-effective ways to reduce diesel emissions".

Potential Impact on Businesses and Employment.

The regulatory requirements will continue to affect several categories of businesses and employment including BART device (DPF and DOC) manufacturers, device substrate manufacturers, authorized installers/distributors of verified BART devices, new engine/vehicle manufacturers, and prime contractors of state agencies/public authorities.

Regulated entities and prime contractors that have postponed replacing or retrofitting older diesel vehicles with BART compliant vehicles may be facing significant costs. Prime contractors operating BART non compliant vehicles on behalf of the state may elect to reduce the number of employees to cover the costs associated with purchasing BART compliant vehicles or installing emission retrofit devices. Some prime contractors may chose to not bid on state contracts due to the lack of owning BART compliant vehicles. Some prime contractors may need to rent BART compliant vehicles to comply with the DERA requirements.

As noted in the previous rulemaking, some businesses and employment may be positively impacted as a result of the existing regulation include BART device manufacturers, device substrate manufacturers, authorized installers/distributors of verified BART devices, new engine/vehicle manufacturers and alternative fuel engine/vehicle manufacturers. Additionally, rental companies may benefit from the rulemaking as some prime contractors with HDDVs not equipped with BART may elect to rent newer and compliant vehicles.

5. Local government mandates:

ECL sections 19-0323(2) and 19-0323(3) require state agencies and affected state and regional public authorities to use ULSD and BART and prime contractors to those entities. It does not directly apply to local governments unless they are prime contractors to regulated entities.

6. Paperwork:

Recordkeeping, vehicle labeling, and reporting requirements continue for affected HDDV owners/operators and include documentation to support the selection of a specific BART product for a specific, inventoried HDDV and related HDDV chassis and engine information. Completion of the vehicle inventory form also serves to meet the record keeping requirements.

7. Duplication:

There is no duplication except for the federally mandated availability of ULSD for on road and off-road engines.

8. Alternatives:

Make no revisions to existing Part 248. This would create a disconnect between the regulation and the underlying statute and therefore we did not choose this option.

9. Federal standards:

The United States Environmental Protection Agency (USEPA) does not require in-use heavy duty diesel vehicles be retrofitted with BART.

10. Compliance schedule:

Affected regulated entities and their prime contractors will be required to comply with the regulations on or before December 31, 2019. Any vehicles issued useful life waivers must be taken out of service in New York by December 31, 2020.

#### Regulatory Flexibility Analysis

1. Effect of rule:

The New York State Department of Environmental Conservation (Department) is proposing to amend 6 NYCRR Part 248, to make it consistent with the amendments to ECL section 19-0323 by updating compliance dates. In addition, this proposed rulemaking updates the definition of heavy duty vehicle to incorporate changes made to the New York State Vehicle and Traffic Law that add additional exceptions; revises Section 248-3.1(d) and (e) to add "on behalf of"; clarifies the reporting period for regulated entities and their prime contractors; and corrects one typographical spacing error. These clarifications should assist regulated entities and contractors in complying with the Part 248 requirements.

These changes apply to state agencies and public authorities (regulated entities) and their prime contractors statewide and require Best Available Retrofit Technology (BART) and the use of Ultra Low Sulfur Diesel (ULSD) fuel on all covered vehicles. Local governments and small businesses who own or operate heavy duty vehicles in New York State are not subject to the proposed regulation except if they are a prime contractor to New York State. BART is the installation of various emission control technologies to reduce exhaust emissions from older diesel engines. The Diesel Emission Reduction Act was enacted in 2006 and 6 NYCRR Part 248 have been in effect since 2009, but the Legislature has repeatedly

postponed BART compliance dates. With these revisions and the resulting compliance dates change, local governments and small businesses who are prime contractors to regulated entities may be impacted if their vehicles do not comply by December 31, 2019. Consequently, these local governments and small businesses may not be eligible to bid on state contracts which require the use of BART compliant vehicles. Other small businesses, specifically the vendors, manufacturers, and installers of BART equipment may see an increase in business.

2. Compliance requirements:

The specific requirements in the proposed rule do not apply to local governments or small businesses except if they are a prime contractor to New York State. That is in the existing regulation and is not being changed. There are no specific requirements in the proposed regulation which apply exclusively to small businesses or local governments. The proposed regulations require diesel-powered vehicles operated by, or on behalf of, the State of New York to be equipped with BART, repowered, or be taken out of service according to a specified schedule. Engine model year 2007 and newer vehicles are deemed to be BART compliant.

Reporting, record keeping, and compliance requirements will be effective statewide. Regulated entities and their prime contractors (some of which may be small businesses or local governments) will be required to have BART installed on all applicable vehicles on or before December 31, 2019. These proposed revisions will not result in any additional reporting requirements other than those currently in effect, although certain requirements have been clarified. These requirements include the submittal of an annual report and inventory form to the Department. This annual report and inventory form are the same documentation already required by the Department since Part 248 has been in effect since 2009.

Professional services:

The proposed rule adds updated statutory deadlines to the existing regulation and clarifies a number of provisions. The proposed rule would not impose any specific requirements on local governments or small businesses except as noted above. The Department believes that no different or additional professional services would likely be needed by small businesses or local governments when compared to those professional services required by other regulated entities. The Department anticipates that regulated entities and prime contractors (which may be small businesses and local government), may require professional services for the technical evaluation of diesel vehicles or equipment prior to retrofit installations.

4. Compliance costs:

BART compliance costs for small businesses and local government will not be any different than those costs incurred by other entities subject to the rule. The Department estimates that costs will vary significantly. Regulated entities, and some prime contractors, have known about the BART requirements for over a decade. Some regulated entities and prime contractors have likely systematically replaced or retrofitted their applicable heavy-duty vehicles and are not expected to have significant costs. In contrast, other regulated entities and prime contractors may have become accustomed to the annual postponement of the BART compliance dates and have not replaced or retrofitted their applicable vehicles over time. Small businesses and local governments that have delayed applicable HDDV replacement or retrofitting may now face significant costs.

In 2019, the Department completed a truck replacement grant program with Class 5 to Class 8 HDDVs with replacement costs ranging from approximately \$61,000 to \$198,000. A used compliant HDDV engine model year of 2007 and newer can be purchased at lower costs compared to new vehicles. The Emission Manufacturers Association is estimating diesel particulate filter retrofit costs of \$10,000 to \$20,000. These retrofit costs can often exceed the market value of an older heavy duty vehicle.

New York State currently maintains personnel and equipment to administer the Part 248 program. Therefore, no significant additional costs will be incurred by the State of New York for the administration of this proposed revision.

5. Minimizing adverse impact:

Part 248 regulations have been in effect since 2009. During this time the Department has continued to reach out to small businesses and local government in an effort to minimize adverse impacts. Normal fleet attrition has reduced the number of BART non-compliant vehicles.

Some small businesses and local governments are likely to have systematically replaced or retrofitted their applicable heavy-duty vehicles. Other small businesses and local governments, however, have become accustomed to the annual postponement of the BART compliance dates and have not replaced or retrofitted their applicable vehicles over time. These small businesses and local governments may object to the relatively short time frame to comply with BART and the associated costs required to achieve compliance. Small businesses and local governments that have delayed BART compliance preparations may face significant costs.

6. Small business and local government participation:

The Department posts relevant information on its website relevant to Part 248 BART regulations. The website provides a contact with informa-

tion regarding implementation of the existing rule for DERA and copies of the proposed rules and explanatory material. The Department will also hold a public hearing on this rulemaking. There will be a public comment period in which interested parties can submit written comments.

7. Economic and technological feasibility:

The Department has concluded that compliance by regulated entities and their prime contractors is likely to be economically and technically feasible. Some small businesses and local governments have shown BART compliance is likely to be economically and technologically feasible by systematically replacing or retrofitting their applicable HDDV fleet. Other small businesses and local governments have delayed replacing or retrofitting there applicable HDDV fleet. Also, small businesses may chose not to bid on or participate in state contracts.

Implementation of the proposed regulation is not expected to be burdensome in terms of additional reporting requirements for regulated entities and their prime contractors. As stated previously, these requirements include the submittal of an annual report and inventory form to the Department. This documentation is the same documentation currently

required by the Department.

Additionally, regulated entities have four Part 248 BART compliance options, which allows flexibility in ways to become compliant. Regulated entities can replace an applicable heavy-duty diesel vehicle by December 31, 2019; or install BART on an applicable heavy-duty diesel vehicle by December 31, 2019; or request a vehicle specific technology waiver under section 248-4.1(b); or request a useful life waiver under section 248-4.1(c). If approved by the Department, these useful life waivers will expire by December 31, 2020 and the subject vehicle may no longer operate in New York State.

#### Rural Area Flexibility Analysis

Types and Estimated Numbers of Rural Areas:

The New York State Department of Environmental Conservation (Department) is proposing to amend 6 NYCRR Part 248, to make it consistent with the amendments to ECL section 19-0323 by updating compliance dates. In addition, this proposed rulemaking updates the definition of heavy duty vehicle to incorporate changes made to the New York State Vehicle and Traffic Law that add additional exceptions; revises Section 248-3.1(d) and (e) to add "on behalf of"; clarifies the reporting period for regulated entities and their prime contractors; and, corrects one typographical spacing error. These clarifications should assist the regulated entities and prime contractors in complying with the Part 248 requirements.

There are no requirements in the proposed regulation which apply only to rural areas. These changes apply to State Agencies and certain Public Authorities (regulated entities), and their prime contractors statewide requiring the use of Ultra Low Sulfur Diesel (ULSD) fuel and Best Available Retrofit Technology (BART) on all applicable vehicles. ULSD is now the most commonly available diesel fuel. BART is the installation of emission control technologies to reduce exhaust emissions from older diesel engines. The Diesel Emission Reduction Act was enacted in 2006 and 6 NYCRR Part 248 has been in effect since 2009, but the NYS Legislature repeatedly postponed BART compliance dates. The proposed revisions and the compliance date change should not single out or significantly impact rural areas.

Reporting, Recordkeeping and Other Compliance Requirements, and Professional Services:

The proposed rule adds statutory deadlines to the existing regulation and clarifies a number of provisions. The proposed rule would not impose requirements on regulated entities or their prime contractors located in rural areas in a manner different from those imposed on regulated entities and prime contractors in non-rural areas. No different or additional professional services would likely be needed by regulated entities or prime contractors in rural areas due to their rural location. The Department does not anticipate the regulated entities or prime contractors requiring or needing significant professional help in carrying out the proposed regulation. Professional services may be required in rural and non-rural areas for the technical evaluation of diesel vehicles or equipment prior to retrofit installations.

Costs:

The Department anticipates BART compliance costs for regulated entities and their prime contractors will vary significantly. Regulated entities and prime contractors have known about the BART requirements for over a decade. Some regulated entities and prime contractors have systematically replaced or retrofitted their applicable heavy-duty vehicles and are not expected to have significant costs. Others have become accustomed to the annual postponement of the BART compliance dates and have not replaced or retrofitted their applicable vehicles over time. These regulated entities and prime contractors may now face significant costs.

Heavy duty diesel truck costs can vary greatly based on vehicle size and complexity. A new Class 3 diesel truck with limited options can currently cost approximately \$34,000. In 2019, the Department completed a truck replacement program with Class 5 to Class 8 HDDVs with replacement

costs ranging from approximately \$61,000 to \$198,000. Large trucks with complex functionality can be much more expensive.

Retrofit devices have become a less likely chosen emission control option due to the attrition of applicable vehicles and to the cost of the retrofit device may exceed the market value of an older heavy duty vehicle. Information from the Manufacturers of Emission Controls Association estimates diesel particulate filter retrofit costs of \$10,000 to \$20,000. The costs associated with longer distances traveled for retrofit installations may be greater in rural areas when compared to non-rural areas.

The proposed changes to 6 NYCRR Part 248 would not impose

The proposed changes to 6 NYCRR Part 248 would not impose significantly greater costs on regulated entities and prime contractors in rural areas that are different or additional to those incurred by regulated entities and prime contractors in non-rural areas. There would be likely be no or minimal variation in costs incurred by public and private entities in rural areas. Please see the Regulatory Impact Statement for additional information on potential cost impacts.

Minimizing Adverse Impact:

Since the purposes of this rule is to add statutory deadlines to the existing regulation and to clarify a number of provisions, the Department believes that the proposed rule would not cause a significant impact on any rural area different from non-rural areas. Additionally, the regulated entities and prime contractors have known about the requirements for over ten years.

Rural Area Participation:

The Department provides statewide outreach to persons who are be subject to the proposed rules, including persons residing or working in rural areas of the State, by posting relevant information on the Department's website. The website provides a contact with information regarding implementation of the existing rule for DERA and copies of the proposed rules and explanatory material. The Department also maintains a website to which persons may subscribe so that they can receive information about new developments regarding the Part 248 DERA program. The Department will hold a public hearing on this rulemaking and there will be a public comment period in which interested parties can submit written comments

#### Job Impact Statement

1. Nature of impact:

The proposed regulation amends Part 248 to meet statutory requirements and the overall goal of protecting the health of the residents of New York by reducing the emissions of air pollution from certain on- and offroad diesel powered heavy duty vehicles and equipment. ECL section 19-0323 mandates that any diesel powered heavy duty vehicle that is owned by, operated by or on behalf of, or leased by a state agency or state/regional public authority (those with more than half of its governing body appointed by the Governor) is Best Available Retrofit Technology (BART) compliant. For purposes of the regulation, state agencies, state public authorities and regional public authorities have been designated regulated entities. State agencies and affected state/regional public authorities (those with more than half of its governing body appointed by the Governor) are designated BART regulated entities.

Those regulated entities and prime contractors that have postponed replacing or retrofitting older diesel vehicles with BART compliant vehicles may be facing significant costs. Prime contractors operating applicable diesel powered vehicles on behalf of the state may elect to reduce the number of employees to cover the costs of purchasing replacement HDDVs or installing BART devices on their affected HDDVs. Some prime contractors may need to acquire BART compliant vehicles to perform state contracts.

2. Categories and numbers affected:

Applicable heavy duty diesel powered vehicles with engine model years older than 2007 are subject to BART requirements. There are some exemptions for certain vehicles (e.g. emergency, agriculture, non-road construction, timber). The proposed regulation requires heavy duty diesel-powered vehicles operated by, or on behalf of, the State of New York to be equipped with BART, repowered or be taken out of service according to a specified schedule, or obtain a waiver.

Normal fleet attrition, turnover, and retirement have reduced the number of non-compliant HDDVs since Part 248 regulations went into effect in 2009. Some regulated entities have systematically replaced or retrofitted their applicable fleet which may minimize the impact on jobs in New York State. Other regulated entities have postponed replacing or retrofitting their HDDV fleet and may be facing significant costs.

The Department does not have a comprehensive list of regulated prime contractors operating heavy duty vehicles on behalf of the State. The list of prime contractors to the state is constantly changing as contracts are bid and awarded. The Department will continue to reach out to stakeholders, including prime contractors, in an effort to increase the number of entities reporting BART compliance to DEC.

3. Regions of adverse impact:

None

4. Minimizing adverse impact: Part 248 BART regulations have been in effect since 2009. During this time the Department has continued to reach out to stakeholders in an effort to minimize any adverse impacts on jobs in New York State. Over the past ten years, normal fleet attrition has reduced the number of non-compliant

Regulated entities and prime contractors have some flexibility when complying with Part 248 BART regulations in that they have four compliance options: replace an applicable heavy-duty diesel vehicle by December 31, 2019; or install BART on an applicable heavy-duty diesel vehicle by December 31, 2019; or request a vehicle specific technology waiver under section 248-4.1(b); or request a useful life waiver under section 248-4.1(c). If approved by the Department, these useful life waivers will expire by December 31, 2020, after which the vehicle/equipment may no longer operate in New York State.

Some regulated entities and their prime contractors have systematically replaced or retrofitted their applicable heavy-duty vehicles over the last ten years and are not expected to have significant impact. In contrast, other regulated entities and their prime contractors have become accustomed to the annual postponement of the BART compliance dates and have not replaced or retrofitted their applicable vehicles over time. As a result, the regulated entities and prime contractors who have chosen to wait may face significant vehicle replacement or retrofit costs.

The Department continues to expect the impact to those affected contractors to be similar to the impacts on government entities which, in turn, may result in higher bids proposed by prime contractors on state and public authority contract work to compensate for increased costs due to these regulatory requirements. Also, some prime contractors may not be able to bid on certain state contracts if they have no BART compliant vehicles. Prime contractors may elect to rent BART compliant vehicles for state contract work. Some prime contractors may elect to reduce the number of employees to offset the costs of purchasing replacement HDDVS or installing retrofit devices on affected HDDVs.

Vendors and installers of emission control devices, (i.e., diesel particulate filters and diesel oxidation catalysts), may see a positive impact on sales and employment.

5. Self-employment opportunities:

None the Department is aware of at this time.

#### PROPOSED RULE MAKING **HEARING(S) SCHEDULED**

#### **Sulfur-In Fuel Limitations**

I.D. No. ENV-05-20-00002-P

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following proposed rule:

Proposed Action: Amendment of Subpart 225-1 of Title 6 NYCRR. This rule is proposed pursuant to SAPA § 207(3), 5-Year Review of Existing

Statutory authority: Environmental Conservation Law, sections 1-0101, 3-0301, 19-0103, 19-0105, 19-0301, 19-0303, 19-0305, 19-0325, 19-0907, 71-2103 and 71-2105

Subject: Sulfur-In Fuel Limitations.

*Purpose:* Limit sulfur in liquid and solid fuels throughout NYS.

Public hearing(s) will be held at: 11:00 a.m., April 10, 2020 at Department of Environmental Conservation, 625 Broadway, Public Assembly Rm. 129 A/B, Albany, NY.

Interpreter Service: Interpreter services will be made available to hearing impaired persons, at no charge, upon written request submitted within reasonable time prior to the scheduled public hearing. The written request must be addressed to the agency representative designated in the paragraph

Accessibility: All public hearings have been scheduled at places reasonably accessible to persons with a mobility impairment.

Substance of proposed rule (Full text is posted at the following State http://www.dec.ny.gov/regulations/propregulations.html #public): The Department of Environmental Conservation (Department) is proposing to revise 6 NYCRR Subpart 225-1, "Fuel Composition and Use - Sulfur Limitations". Subpart 225-1 imposes limits on the sulfur content of distillate oil, residual oil, and coal fired in stationary sources. The revisions to Subpart 225-1 will add process sources and incinerators as stationary emission sources to which these revisions will apply throughout New York State. The revisions will also lower the sulfur-infuel limit for waste oil and correct minor typographical errors. The revisions will remove 6 NYCRR subdivision 225-1.3(e) which cites section 117 of article 5 of the Energy Law. This provision states that the Governor may pre-empt the requirements of 6 NYCRR Subpart 225-1 if an energy or fuel supply emergency is declared. Finally, the revisions will remove paragraph 225-1.4(c)(2) which has been deemed contradictory and less stringent than the sulfur-in-fuel requirements of the table in subdivision 225-1.2(b) of this Subpart.

Text of proposed rule and any required statements and analyses may be obtained from: Mike Jennings, NYSDEC, Division of Air Resources, 625 Broadway, Albany, NY 12233-3254, (518) 402-8403, email: air.regs@dec.ny.gov

Data, views or arguments may be submitted to: Same as above.

Public comment will be received until: April 15, 2020.

Additional matter required by statute: Pursuant to Article 8 of the State Environmental Quality Review Act, a Short Environmental Assessment Form, a Negative Declaration and a Coastal Assessment Form have been prepared and are on file.

#### Reasoned Justification for Modification of the Rule

The revisions to Subpart 225-1 entail the inclusion of process sources and incinerators as stationary emission sources to which these revisions apply in NYS. These revisions will also include the lowering of the sulfurin-fuel limit for waste oil, and the correction of minor typographical errors. These proposed changes to Subpart 225-1 are intended to reduce the emission of SOx that are the precursors of PM below the present levels, and to comply with the mandates specified under ECL Section 19-0325. The proposed sulfur-in-fuel limits in this rule are expected to further reduce monitored values of SOx, and to enable and maintain attainment of the NAAQS.

Applicability of process and incineration sources

As noted above, reducing sulfur in oil in NYS will reduce SO2, PM-10, and PM-2.5 emissions. Such reductions will provide both health and visibility improvements and help New York meet its obligations under the Clean Air Act. Under the current regulation process and incineration sources are required to purchase compliant fuels when buying from distributors in NYS. This rulemaking will require that process and incineration sources fire fuel compliant with the sulfur-in-fuel requirements of this regulation as well as purchase compliant fuel. The loophole that currently allows these types of emissions source to purchase higher sulfur content fuel from out-of-state distributors will be closed under this

Over the past several years more and more engine lubricating oils have been manufactured from natural gas. These "synthetic" oils have virtually no sulfur content. The increased use of these synthetic oils has caused the overall sulfur content of waste oil to drop. Based on data collected from several sources<sup>1</sup> (several million gallons of waste oil were tested for sulfur content) the waste oil sulfur content between 2016 and 2017 averaged at or below 0.25 percent by weight. The Department believes the sulfur content of waste oil will continue to decrease slightly over the next several years. Therefore, the Department has determined that the current waste oil sulfur content limit should be reduced from 0.75 percent by weight to 0.25 percent by weight.

The Department does not anticipate that this proposed change will increase fuel costs to process and incineration sources. The distillate oil pipeline changed over to 15 ppm sulfur distillate oil in 2011 in anticipation of the 2013 Subpart 225-1 changes requiring home heating oil and stationary combustion sources to fire 15 ppm oil. Also, based on the Department's permitting data, no state nor local government facilities will be affected by these proposed regulatory changes. Therefore, the Department does not anticipate any additional costs will be incurred by state and local governments associated with this proposed regulation. Finally, the Department will face some initial administrative costs associated with the application review and permitting of the new sulfur-in-fuel limits for process sources, incinerators, and the new waste oil sulfur content limit. However, no additional monitoring, recordkeeping, or reporting requirements are being proposed under this rule-making. Therefore, no additional costs will be incurred by the regulating agency based on these factors.

Summary of Regulatory Impact Statement (Full text is posted at the fol-State website: http://www.dec.ny.gov/regulations/ propregulations.html#public):

INTRODUCTION

The New York State Department of Environmental Conservation (Department) is proposing to revise 6 NYCRR Subpart 225-1, "Fuel Composition and Use - Sulfur Limitations". Subpart 225-1 imposes limits on the sulfur content of distillate oil, residual oil, and coal fired in stationary sources. The Department is proposing these revisions to both implement a

Sulfur in waste oil records received from the following companies: Sheldon Oil Services 5/16 – 7/17, Safety Kleen 2/17 – 12/17, and Norlite 1/17 - 12/17

statutory requirement and meet our obligations to reduce air pollution. The revisions to Subpart 225-1 will be a component of the State Implementation Plan (SIP) for New York State (NYS) directed at attainment of the particulate matter less than or equal to 2.5 microns in diameter (PM-2.5) national ambient air quality standard (NAAQS), the sulfur dioxide (SO2) NAAQS and the Department's obligations under the regional haze SIP submitted to U.S. Environmental Protection Agency (EPA) on March 15, 2010. This is a requirement flowing from the State's obligations under the Clean Air Act. This is not a mandate on local governments. It applies to any entity that owns or operates a subject stationary source. This proposal will not regulate transportation fuel.

The revisions to Subpart 225-1 will add process sources and incinerators as stationary emission sources to which these revisions apply in NYS. The revisions will also lower the sulfur-in-fuel limit for waste oil and correct minor typographical errors. Finally, the revisions will remove 6 NYCRR subdivision 225-1.3(e) which cites section 117 of article 5 of the

#### STĂTUTORY AUTHORITY

The following Sections of the Environmental Conservation Law (ECL) allow the Department to promulgate and implement the proposed regulation: Section 1-0101, Section 3-0301, Section 19-0103, Section 19-0105, Section 19-0301, Section 19-0303, Section 19-0305, Section 19-0325, Section 19-0907, Section 71-2103, and Section 71-2105.

#### LEGISLATIVE OBJECTIVES

Article 19 of the ECL was adopted to safeguard the air resources of NYS from pollution. To facilitate this purpose, the Legislature bestowed specific powers and duties on the Department including the power to formulate, adopt, promulgate, amend, and repeal regulations for preventing, controlling or prohibiting air pollution. This authority also specifically includes promulgating rules and regulations for preventing, controlling or prohibiting air pollution in such areas of the State as shall or may be affected by air pollution, and provisions establishing areas of the State and prescribing for such areas (1) the degree of air pollution or air contamination that may be permitted therein, and (2) the extent to which air contaminants may be emitted to the air by any air contamination source. In addition, this authority also includes the preparation of a general comprehensive plan for the control or abatement of existing air pollution and for the control or prevention of any new air pollution recognizing various requirements for different areas of the State. The legislative objectives underlying the above statutes are directed toward protection of the environment and public health.

#### NEEDS AND BENEFITS

Elevated PM-2.5 and PM-10 levels are of concern for the New York City metropolitan area. PM-2.5 and PM-10 consist of microscopic solid or liquid particles and is the major cause of the regional haze issue. PM-2.5 and PM-10 can be emitted directly from stationary sources or comprised of nitrate and sulfate particles formed through reactions involving NOx and SO2 in the atmosphere. These particles are small enough to be inhaled into the lungs and can even enter the bloodstream. Ongoing scientific studies show that particulate inhalation, similarly to ozone, leads to health problems such as coughing, difficulty breathing, aggravated asthma, and a higher likeliness for other respiratory disorders. Studies have also shown that elevations in PM-2.5 and PM-10 concentrations are associated with such cardiovascular threats as irregular heartbeat and non-fatal heart attacks. Increased PM-2.5 and PM-10 exposure may even cause premature death in those with existing heart or lung disease.

The proposed changes to Subpart 225-1 are intended to reduce the emis-

sion of SO2 that are the precursors of PM below the present levels and to comply with the mandates specified under ECL Section 19-0325. Existing regulations and emission control programs have been successful in the past at reducing these emissions. Regulatory efforts such as the Acid Rain program, past state and federal fuel sulfur limitations for stationary and mobile sources, and efforts like the Clean Air Interstate Rule and the Cross State Air Pollution Rule have had a significant effect on air quality and health. The proposed sulfur-in-fuel limits in this rule are expected to further reduce monitored values of SO2 and to enable and maintain attainment of the NAAQS.

Regional haze refers to the presence of light-inhibiting pollutants in the atmosphere. These particles and gases scatter or absorb light to cause a net effect referred to as "light extinction." This scattering and absorbing occurs across the sight path of an observer, thus leading to a hazy condition. Emissions of pollutants such as PM-2.5, PM-10, and SO2 are also primary contributors to visibility problems. These pollutants lend themselves to being transported great distances once they enter the atmosphere. Accordingly, sources contribute to visibility impairment in Class I areas far downwind of their locations, thereby necessitating a regional approach to solving the haze issue.

#### Applicability to process and incineration sources

As noted above, reducing sulfur in oil in NYS will reduce PM-2.5, PM-10, and SO2, emissions. Such reductions will provide both health and vis-

ibility improvements and help New York meet its obligations under the Clean Air Act. Under the current regulation process and incineration sources are required to purchase compliant fuels when buying from distributors in NYS. However, these process and incineration sources may purchase higher sulfur content fuel from out-of-state distributors. This rulemaking will require that process and incineration sources fire fuel compliant with the sulfur-in-fuel requirements. Thus, process and incineration sources may only purchase compliant fuels upon promulgation of these revisions.

Lowering sulfur content requirement of waste oil

Over the past several years more and more engine lubricating oils have been manufactured from natural gas. These "synthetic" oils have virtually no sulfur content. The increased use of these synthetic oils has caused the overall sulfur content of waste oil to drop. Based on data collected from several sources<sup>1</sup> (several million gallons of waste oil were tested for sulfur content) the waste oil sulfur content between 2016 and 2017 averaged at or below 0.25 percent by weight. The Department believes the sulfur content of waste oil will continue to decrease slightly over the next several years. Therefore, the Department has determined that the current waste oil sulfur content limit should be reduced from 0.75 percent by weight to 0.25 percent by weight.

Removal of subdivision 225-1.3(e) from the regulation

This subdivision cites section 117 of article 5 of the Energy Law. This section of the Energy Law allows the Governor to pre-empt the requirements of Subpart 225-1 if an energy or fuel supply emergency is declared. The Department has determined that authority to declare an emergency lies within the Energy Law and not this regulation and therefore is redundant in this regulation.

Removal of paragraph 225-1.4(c)(2) from this regulation

This paragraph is outdated and less stringent than the sulfur-in-fuel requirements of the table in subdivision 225-1.2(b) of this Subpart. Therefore, the paragraph is being removed from the regulation.

Stakeholder meeting
The Department held a stakeholder webinar on January 29th, 2019 to discuss its proposed revisions to Subpart 225-1. The Department solicited comments on the proposed rule from the stakeholders. The stakeholder webinar consisted of attendees from the regulated community affected by the proposed regulation, consultants (both technical and legal), interested environmental groups, and the environmental justice communities.

#### COSTS

Costs to Regulated Parties and Consumers:

The Department does not anticipate that this proposed change will increase fuel costs to process and incineration sources. The distillate oil pipeline changed over to 15 ppm sulfur distillate oil in 2011 in anticipation of the 2013 Subpart 225-1 changes requiring home heating oil and stationary combustion sources to fire 15 ppm oil.

The NYSERDA report also indicates that the oil refining industry has almost completely changed over to the production of 15 ppm distillate oil. Using data from the 2015 emissions inventory forward, the Department has confirmed that process and incineration sources subject to Title V are burning 15 ppm sulfur content fuels. Accordingly, the Department does not anticipate any increase in fuel costs for these sources.

The Department does not anticipate an increase in cost for purchasers/ end users of waste oil based on the proposed reduction in the waste oil sulfur content limit from 0.75% to 0.25%. The waste oil sulfur content is reducing based on the increased use of synthetic oils that contain minimal to zero sulfur compounds. Thus, the actual surveyed data shows that the sulfur content of waste oil has been steadily decreasing over the past several years.

#### Costs to State and Local Governments:

Based on the Department's permitting data, no state nor local government facilities will be affected by these proposed regulatory changes. Therefore, the Department does not anticipate any additional costs will be incurred by state and local governments associated with this proposed regulation. Also, no new recordkeeping, reporting, or other requirements will be imposed on state and local governments based on this proposed rule-making.

Costs to the Regulating Agency:

The Department will face some initial administrative costs associated with the application review and permitting of the new sulfur-in-fuel limits for process sources, incinerators, and the new waste oil sulfur content limit. No additional monitoring, recordkeeping, or reporting requirements are being proposed under this rule-making. Therefore, no additional costs will be incurred by the regulating agency based on these factors.

#### LOCAL GOVÉRNMENT MANDATES

This is not a mandate on local governments. Local governments have no additional compliance obligations as compared to other subject entities. Also, no additional monitoring, recordkeeping, reporting, or other requirements will be imposed on local governments under this rulemaking.

**PAPERWORK** 

The proposed changes to Subpart 225-1 will create no additional paperwork for facilities currently subject to the requirements of this rule. The facilities that will become subject to this rule will be required to maintain fuel receipts.

DUPLICATION

The proposed revisions to Subpart 225-1 do not duplicate, overlap, or conflict with any other State or federal requirements.

#### ALTERNATÍVES

The Department evaluated the following alternatives:
(1) Take no action: This alternative could prevent NYS from complying with its obligations under the CAA. If the Department does not implement this regulation, it would not be able to meet its obligations to maintain attainment of the PM-2.5 NAAQS in NYS. Also, without the promulgation of Subpart 225-1, the State would not be reducing its regional haze impacts in the northeast. The reduction in sulfur-in-fuel waste oil limitation and inclusion of process sources and incinerators will directly result in reductions of SO2, PM-10, and PM-2.5. Reductions of these air contaminates will definitively aid NYS in meeting both its attainment goals for PM-2.5 and reduce the State's regional haze impact. This alternative will also not

allow the correction of any existing typographical errors.

(2) Partial implementation: This alternative would allow process and incineration sources and or waste oil firing sources to purchase higher sulfur content fuels or waste oils from out-of-State retailers. In either case, this alternative could prevent NYS from complying with its obligations under the CAA and prevent reductions of SO2, PM-10, and PM-2.5

#### FEDERAL STANDARDS

The proposed revisions to Subpart 225-1 do not exceed any minimum federal standards. The proposed reductions will lower the standards to the point where they would be equivalent to the sulfur-in-fuel standards of both 40 CFR 60 NSPS and 40 CFR 63 National Emission Standards for Hazardous Air Pollutants.

#### COMPLIANCE SCHEDULE

The Department proposes to promulgate the revisions to Subpart 225-1 by spring 2020. The provisions of this rule will take effect based on the promulgation date of the regulation.

Sulfur in waste oil records received from the following companies: Sheldon Oil Services 5/16 – 7/17, Safety Kleen 2/17 – 12/17, and Norlite 1/17 - 12/17

#### Regulatory Flexibility Analysis

EFFECT OF RULE ON SMALL BUSINESSES AND LOCAL GOV-

The Department proposes to revise Subpart 225-1. The proposed rulemaking will apply statewide. Small businesses are those that are independently owned, located within New York State (NYS), and that employ 100 or fewer persons. The proposed changes to the subpart 225-1 requirements flow from the State's obligations under the federal Clean Air Act and State Laws. The proposed revisions do not constitute a mandate on local governments. The Subpart 225-1 requirements apply equally to every stationary source that fires oil in NYS. The proposed changes to Subpart 225-1 will not affect small businesses or local governments differently from any other source subject to this rule.

#### OMPLIANCE REQUIREMENTS

The proposed rule will entail the inclusion of process sources and incinerators as stationary emission sources to which these revisions will apply in NYS and lower sulfur-in-fuel limits for waste oil. Minimal changes will be made in the monitoring, recordkeeping, or reporting requirements in the current version of Subpart 225-1. The revisions will include the allowance of vendor fuel receipts as an acceptable monitoring practice for sources not required to monitor with a continuous emissions monitor. Applicable sources will be required to keep the vendor receipts and make them available for Department inspection upon request. Therefore, minimal new compliance requirements will be incurred by stationary sources subject to the provisions of the proposed rule.

#### PROFESSIONAL ŠERVICES

The proposed rule will now specifically include process sources and incinerators as stationary emission sources to which these revisions apply in NYS and lower sulfur-in-fuel limits for distillate oil, residual oil, and waste oil. Facilities subject to this rule are simply required to purchase compliant fuels and keep the purchase receipts. Therefore, the Department does not expect small businesses or local governments will need to hire additional professional services to comply with the provisions of the proposed rule.

Stationary sources subject to the Subpart 225-1 provisions are not expected to incur any increases in operating costs associated with this proposed regulation.

Local governments are not expected to incur any increases in operating costs associated with this proposed regulation.

#### MINIMIZING ADVERSE IMPACT

The Department does not expect any adverse impacts on small businesses and local governments throughout NYS. Subpart 225-1 is a statewide regulation. Its requirements are the same for all facilities. The Department does not anticipate small businesses or local governments to be impacted differently than other sources subject to the proposed changes to Subpart 225-1.

SMALL BUSINESS AND LOCAL GOVERNMENT PARTICIPA-

During the drafting of Subpart 225-1, the Department held a stakeholder webinar on January 29th, 2019. The webinar was held to give representatives from the end users, which included the small business and local government stakeholders, an opportunity to meet with Department staff and discuss various issues during the rulemaking process. Finally, the Department will hold a public hearing on Subpart 225-1 and will notify small business and local government representatives of this proposed rulemaking.
ECONOMIC AND TECHNOLOGICAL FEASIBILITY

The Department collected data from several sources that handle or fire waste oil throughout NYS. The data was based on several million gallons of waste oil. The Department determined that the sulfur content of waste oil has been steadily declining from 2016 through 2017. This trend is based upon the growing use of synthetic motors oils in vehicles. Synthetic motor oils are made from natural gas and contain very minimal levels of sulfur. The synthetic motor oils created from natural gas also have a nearly zero sulfur content. Thus, the overall mixture of the synthetic motor oil and traditional motor oil in the waste oil stream is consistently at or below the proposed waste oil sulfur content limitation of 0.25 percent by weight and has been deemed a technically feasible limit. Finally, the Department does not anticipate any economic impacts associated with these proposed changes

#### CURE PERIOD OR AMELIORATIVE ACTION

The Department is not including a cure period in this rulemaking. The purpose of this regulation is to provide timely emissions reductions, delaying enforcement of the regulation adversely affects such emissions reductions.

#### INITIAL REVIEW

The initial review of this rule shall occur within the third year after this rule is adopted.

#### Rural Area Flexibility Analysis

#### TYPES AND ESTÍMATÉD NUMBERS OF RURAL AREAS

The proposed rule (6 NYCRR Subpart 225-1) is not expected to have a substantial adverse impact on rural areas in New York State (NYS). The proposed rulemaking will apply statewide and thus all stationary sources that fire oil in NYS will be equally affected.

Rural areas are defined as rural counties in NYS that have populations of less than 200,000 people, towns in non-rural counties where the population densities are less than 150 people per square mile, and villages within those towns

#### REPORTING, RECORDKEEPING, AND OTHER COMPLIANCE REQUIREMENTS; AND PROFESSIONAL SERVICES

The proposed rule will entail the inclusion of process sources and incinerators as stationary emission sources to which these revisions apply in NYS and lower sulfur-in-fuel limits for waste oil. Minimal changes will be made in the monitoring, recordkeeping, or reporting requirements in the current version of Subpart 225-1. The revisions will include the allowance of vendor fuel receipts as an acceptable monitoring practice for sources not required to monitor with a continuous emissions monitor. Applicable sources will be required to keep the vendor receipts and make them available for Department inspection upon request. Therefore, minimal new compliance requirements will be incurred by stationary sources subject to the provisions of the proposed rule.

#### COSTS

Stationary sources subject to the Subpart 225-1 provisions are not expected to incur any increases in operating costs associated with this proposed regulation.

#### MINIMIZING ADVERSE IMPACT

The Department does not expect any adverse impacts on rural areas. There will be positive environmental impacts from the regulation in rural areas. Rural areas should witness improved visibility with an associated decrease in airborne particulate matter and acid deposition.

Subpart 225-1 is a statewide regulation. Its requirements are the same for all facilities, and rural areas are impacted no differently than other areas in the state.

#### RURAL AREA PARTICIPATION

During the drafting of Subpart 225-1, the Department held a stakeholder webinar on January 29th, 2019. The webinar was held to give representatives from end users, which include rural-area stakeholders as well as industry, an opportunity to meet with Department staff and discuss various issues during the rulemaking process. Finally, the Department will hold a

public hearing on Subpart 225-1 and will notify interested parties of this proposed rulemaking.

INITIAL REVIEW

The initial review of this rule shall occur within the third year after this rule is adopted.

#### Job Impact Statement

NATURE OF IMPACT

The revisions to Subpart 225-1 entail the inclusion of process sources and incinerators as stationary emission sources to which these revisions apply in New York State (NYS). These revisions will also include the lowering of the sulfur-in-fuel limit for waste oil, and the correction of typographical errors. These proposed changes to Subpart 225-1 are not anticipated to have an adverse impact on employment opportunities in the

CATEGORIES AND NUMBERS OF JOBS OR EMPLOYMENT OP-PORTUNITIES AFFECTED

The promulgation of Subpart 225-1 is not anticipated to have any effects on the number of current jobs or future employment opportunities throughout NYS.

The reductions in visibility-impairing pollutants resulting from the implementation of Subpart 225-1 could result in a positive impact on the tourism industry, particularly for the Adirondack and Catskill Parks. Aside from the mitigation of haze in these areas and across NYS, improvements in acid deposition will be seen, keeping trees and waterways in good condition, thus allowing state parks to remain healthy and attractive places to visit. Increased tourism could create additional job opportunities throughout NYS

REGIONS OF ADVERSE IMPACT

The proposed Subpart 225-1 is a statewide regulation. This regulation is not expected to have an adverse impact on jobs or employment opportunities in NYS. It does not impact any region or area of the state disproportionately in terms of jobs or employment opportunities.

MINIMIZING ADVERSE IMPACT

The Department does not expect any adverse impacts on jobs in NYS based on the proposed changes to Subpart 225-1. Subpart 225-1 is a statewide regulation. Its requirements are the same for all facilities and will not impact job opportunities in NYS

SELF-EMPLOYMENT OPPORTUNITIES

There are no anticipated adverse impacts towards self-employment op-portunities associated with the proposed Subpart 225-1 regulation.

INITIAL REVIEW

The initial review of this rule shall occur within the third year after this rule is adopted.

# **Department of Health**

#### NOTICE OF ADOPTION

Required Signage Warning Against the Dangers of Illegal **Products** 

I.D. No. HLT-40-19-00002-A

Filing No. 38

Filing Date: 2020-01-21 **Effective Date: 2020-02-05** 

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following action:

Action taken: Addition of Subpart 9-2 to Title 10 NYCRR.

Statutory authority: Public Health Law, section 225

Subject: Required Signage Warning Against the Dangers of Illegal

Purpose: To require sellers of legal e-liquids and e-cigarette products to post warning signs regarding illegal products.

Text or summary was published in the October 2, 2019 issue of the Register, I.D. No. HLT-40-19-00002-P.

Final rule as compared with last published rule: No changes.

Text of rule and any required statements and analyses may be obtained from: Katherine Ceroalo, DOH, Bureau of Program Counsel, Reg. Affairs Unit, Room 2438, ESP Tower Building, Albany, NY 12237, (518) 473-7488, email: regsqna@health.ny.gov

#### Initial Review of Rule

As a rule that requires a RFA, RAFA or JIS, this rule will be initially reviewed in the calendar year 2023, which is no later than the 3rd year after the year in which this rule is being adopted.

#### Assessment of Public Comment

The Department of Health (Department) received one comment regarding the proposed addition of Subpart 9-2, Required Signage, to Title 10 of the Official Compilation of Codes, Rules and Regulations of the State of

The comment, from the New York City Department of Health and Mental Hygiene, supported the proposed regulations, and suggested modifications to the sign currently published by the Department to include more information and graphic images related to the specific risks of e-cigarettes.

The Department acknowledges the New York City Department of Health and Mental Hygiene's support for this regulation and will take under advisement comments relating to the content of the required signage.

# **Public Service Commission**

#### NOTICE OF ADOPTION

#### Joint Proposal and Electric Rate Plan

I.D. No. PSC-16-19-00005-A Filing Date: 2020-01-16 **Effective Date: 2020-01-16** 

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following action:

Action taken: On 1/16/20, the PSC adopted an order adopting the terms of a Joint Proposal executed by Consolidated Edison Company of New York, Inc., et al. (Con Edison) and established a three-year electric rate plan, effective January 1, 2020 to December 31, 2022.

Statutory authority: Public Service Law, sections 5, 65 and 66

Subject: Joint Proposal and electric rate plan.

Purpose: To adopt the terms of a Joint Proposal and establish a three-year electric rate plan for Con Edison.

Substance of final rule: The Commission, on January 16, 2020, adopted an order adopting the terms of a Joint Proposal, executed by Consolidated Edison Company of New York, Inc. (Con Edison), Department of Public Service trial staff (Staff), New York City (NYC), Association for Energy Affordability, Blueprint Power, CALSTART, ChargePoint, Inc., Consumer Power Advocates, Direct Energy Services, Environmental Defense Fund (EDF), Metropolitan Transportation Authority, Natural Resources Defense Council, New York Energy Consumers Council, New York Geothermal Energy Organization, New York State Office of General Services, New York Power Authority (NYPA), New York Retail Choice Coalition, the Sabin Center for Climate Change Law at Columbia Law School, and Bob Wyman, with the exception of Section Q, paragraphs 5 through 11 and footnote 73 pertaining to agreements between parties not necessitating Commission approval or adoption to be effective therewith, and established a three-year electric rate plan, effective January 1, 2020 to December 31, 2022. Con Edison is directed to file cancellation supplements, effective on not less than one day's notice, on or before January 24, 2020, cancelling the tariff amendments and supplements listed in Attachment B to the Order. Con Edison is directed to file, on not less than three days' notice, to become effective on February 1, 2020, on a temporary basis, such further tariff amendments as are necessary to effectuate the terms of the order. Con Edison is also directed to file such tariff changes as are necessary to effectuate the terms of the order for Rate Year 2 ending December 31, 2021, and for Rate Year 3 ending December 31, 2022 on not less than 30 days' notice. Such tariff changes shall be effective only on a temporary basis until approved by the Commission, subject to the terms and conditions set forth in the order.

#### Final rule as compared with last published rule: No changes.

Text of rule may be obtained from: John Pitucci, Public Service Commission, 3 Empire State Plaza, Albany, New York 12223-1350, (518) 486-2655, email: john.pitucci@dps.ny.gov An IRS employer ID no. or social security no. is required from firms or persons to be billed 25 cents per page. Please use tracking number found on last line of notice in requests.

#### Assessment of Public Comment

An assessment of public comment is not submitted with this notice because the rule is within the definition contained in section 102(2)(a)(ii) of the State Administrative Procedure Act.

(19-E-0065SA1)

#### NOTICE OF ADOPTION

#### Joint Proposal and Gas Rate Plan

I.D. No. PSC-16-19-00008-A Filing Date: 2020-01-16 Effective Date: 2020-01-16

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following action:

Action taken: On 1/16/20, the PSC adopted an order adopting the terms of a Joint Proposal executed by Consolidated Edison Company of New York, Inc., et al. (Con Edison) and established a three-year gas rate plan, effective January 1, 2020 to December 31, 2022.

Statutory authority: Public Service Law, sections 5, 65 and 66

Subject: Joint Proposal and gas rate plan.

**Purpose:** To adopt the terms of a Joint Proposal and establish a three-year gas rate plan for Con Edison.

Substance of final rule: The Commission, on January 16, 2020, adopted an order adopting the terms of a Joint Proposal, executed by Consolidated Edison Company of New York, Inc. (Con Edison), Department of Public Service trial staff (Staff), New York City (NYC), Association for Energy Affordability, Blueprint Power, CALSTART, ChargePoint, Inc., Consumer Power Advocates, Direct Energy Services, Metropolitan Transportation Authority, New York Energy Consumers Council, New York Geothermal Energy Organization, New York State Office of General Services, New York Power Authority (NYPA), New York Retail Choice Coalition, the Sabin Center for Climate Change Law at Columbia Law School, and Bob Wyman, with the exception of Section Q, paragraphs 5 through 11 and footnote 73 pertaining to agreements between parties not necessitating Commission approval or adoption to be effective therewith, and established a three-year gas rate plan, effective January 1, 2020 to December 31, 2022. Con Edison is directed to file cancellation supplements, effective on not less than one day's notice, on or before January 24, 2020, cancelling the tariff amendments and supplements listed in Attachment B to the Order. Con Edison is directed to file, on not less than three days' notice, to become effective on February 1, 2020, on a temporary basis, such further tariff amendments as are necessary to effectuate the terms of the order. Con Edison is also directed to file such tariff changes as are necessary to effectuate the terms of the order for Rate Year 2 ending December 31, 2021, and for Rate Year 3 ending December 31, 2022 on not less than 30 days' notice. Such tariff changes shall be effective only on a temporary basis until approved by the Commission, subject to the terms and conditions set forth in the order.

#### Final rule as compared with last published rule: No changes.

Text of rule may be obtained from: John Pitucci, Public Service Commission, 3 Empire State Plaza, Albany, New York 12223-1350, (518) 486-2655, email: john.pitucci@dps.ny.gov An IRS employer ID no. or social security no. is required from firms or persons to be billed 25 cents per page. Please use tracking number found on last line of notice in requests.

#### Assessment of Public Comment

An assessment of public comment is not submitted with this notice because the rule is within the definition contained in section 102(2)(a)(ii) of the State Administrative Procedure Act.

(19-G-0066SA1)

#### NOTICE OF ADOPTION

#### **NY Utilities Report**

I.D. No. PSC-18-19-00010-A Filing Date: 2020-01-16 Effective Date: 2020-01-16

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following action:

Action taken: On 1/16/20, the PSC adopted an order approving, with modifications, the recommendations and proposals in the NY Utilities Report filed on April 5, 2019.

Statutory authority: Public Service Law, sections 5(1)(b), (2), 65(1), 66(1) and (2)

Subject: NY Utilities Report.

*Purpose:* To approve, with modifications, the recommendations and proposals in the NY Utilities Report.

Substance of final rule: The Commission, on January 16, 2020, adopted

an order approving, with modifications, the recommendations and proposals in the NY Utilities Report Regarding Energy Efficiency Budgets and Targets, Collaboration, Heat Pump Technology, and Low- And Moderate-Income Customers and Requests for Approval, filed on April 5, 2019 by Central Hudson Gas & Electric Corporation, Consolidated Edison Company of New York, Inc., KeySpan Gas East Corporation d/b/a National Grid, The Brooklyn Union Gas Company d/b/a National Grid NY, Niagara Mohawk Power Corporation d/b/a National Grid, National Fuel Gas Distribution Corporation, New York State Electric & Gas Corporation, Orange and Rockland Utilities, Inc., and Rochester Gas and Electric Corporation (collectively, the Utilities), and directed the Utilities to conduct energy efficiency programs, subject to the terms and conditions set forth in the order.

#### Final rule as compared with last published rule: No changes.

Text of rule may be obtained from: John Pitucci, Public Service Commission, 3 Empire State Plaza, Albany, New York 12223-1350, (518) 486-2655, email: john.pitucci@dps.ny.gov An IRS employer ID no. or social security no. is required from firms or persons to be billed 25 cents per page. Please use tracking number found on last line of notice in requests.

#### Assessment of Public Comment

An assessment of public comment is not submitted with this notice because the rule is within the definition contained in section 102(2)(a)(ii) of the State Administrative Procedure Act.

(18-M-0084SA2)

#### NOTICE OF ADOPTION

#### **Heat Pump Deployment**

I.D. No. PSC-18-19-00011-A Filing Date: 2020-01-16 Effective Date: 2020-01-16

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following action:

Action taken: On 1/16/20, the PSC adopted an order approving, with modifications, the recommendations and proposals related to heat pump deployment in the NY Utilities Report.

Statutory authority: Public Service Law, sections 5(1)(b), (2), 65(1), 66(1) and (2)

Subject: Heat pump deployment.

**Purpose:** To approve, with modifications, the recommendations and proposals related to heat pump deployment in the NY Utilities Report.

Substance of final rule: The Commission, on January 16, 2020, adopted an order approving, with modifications, the recommendations and proposals related to heat pump deployment in the NY Utilities Report Regarding Energy Efficiency Budgets and Targets, Collaboration, Heat Pump Technology, and Low- And Moderate-Income Customers and Requests for Approval, filed on April 5, 2019 by Central Hudson Gas & Electric Corporation, Consolidated Edison Company of New York, Inc., KeySpan Gas East Corporation d/b/a National Grid, The Brooklyn Union Gas Company d/b/a National Grid NY, Niagara Mohawk Power Corporation d/b/a National Grid, National Fuel Gas Distribution Corporation, New York State Electric & Gas Corporation, Orange and Rockland Utilities, Inc., and Rochester Gas and Electric Corporation (collectively, the Utilities), and directed the Utilities to conduct energy efficiency programs, subject to the terms and conditions set forth in the order.

#### Final rule as compared with last published rule: No changes.

Text of rule may be obtained from: John Pitucci, Public Service Commission, 3 Empire State Plaza, Albany, New York 12223-1350, (518) 486-2655, email: john.pitucci@dps.ny.gov An IRS employer ID no. or social security no. is required from firms or persons to be billed 25 cents per page. Please use tracking number found on last line of notice in requests.

#### Assessment of Public Comment

An assessment of public comment is not submitted with this notice because the rule is within the definition contained in section 102(2)(a)(ii) of the State Administrative Procedure Act.

(18-M-0084SA3)

#### NOTICE OF ADOPTION

**Energy Efficiency Program Proposal** 

I.D. No. PSC-18-19-00012-A Filing Date: 2020-01-16 Effective Date: 2020-01-16

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following action:

Action taken: On 1/16/20, the PSC adopted an order approving, with modifications, the recommendations and proposal related to energy efficiency programs targeted at low- and moderate-income ratepayers in the NY Utilities Report.

Statutory authority: Public Service Law, sections 5(1)(b), (2), 65(1), 66(1) and (2)

Subject: Energy efficiency program proposal.

**Purpose:** To approve, with modifications, the recommendations and proposal related to energy efficiency in the NY Utilities Report.

Substance of final rule: The Commission, on January 16, 2020, adopted an order approving, with modifications, the recommendations and proposal related to energy efficiency programs targeted at low- and moderate-income ratepayers in the NY Utilities Report Regarding Energy Efficiency Budgets and Targets, Collaboration, Heat Pump Technology, and Low-And Moderate-Income Customers and Requests for Approval, filed on April 5, 2019 by Central Hudson Gas & Electric Corporation, Consolidated Edison Company of New York, Inc., KeySpan Gas East Corporation d/b/a National Grid, The Brooklyn Union Gas Company d/b/a National Grid NY, Niagara Mohawk Power Corporation d/b/a National Grid, National Fuel Gas Distribution Corporation, New York State Electric & Gas Corporation, Orange and Rockland Utilities, Inc., and Rochester Gas and Electric Corporation (collectively, the Utilities), and directed the Utilities to conduct energy efficiency programs, subject to the terms and conditions set forth in the order.

Final rule as compared with last published rule: No changes.

Text of rule may be obtained from: John Pitucci, Public Service Commission, 3 Empire State Plaza, Albany, New York 12223-1350, (518) 486-2655, email: john.pitucci@dps.ny.gov An IRS employer ID no. or social security no. is required from firms or persons to be billed 25 cents per page. Please use tracking number found on last line of notice in requests.

#### Assessment of Public Comment

An assessment of public comment is not submitted with this notice because the rule is within the definition contained in section 102(2)(a)(ii) of the State Administrative Procedure Act.

(18-M-0084SA4)

#### NOTICE OF ADOPTION

#### Revenue Requirement, Rate Increase and Surcharge

I.D. No. PSC-18-19-00015-A Filing Date: 2020-01-17 Effective Date: 2020-01-17

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following action:

**Action taken:** On 1/16/20, the PSC adopted an order determining revenue requirement, denying a rate increase and approving a surcharge for Southside Water Inc. (Southside).

Statutory authority: Public Service Law, sections 4(1), 5(1)(f), (1), (3), (10)(a), (b) and (f)

Subject: Revenue requirement, rate increase and surcharge.

**Purpose:** To determine revenue requirement, deny a rate increase and approve a surcharge for Southside.

Substance of final rule: The Commission, on January 16, 2020, adopted an order denying Southside Water Inc.'s (Southside) request to increase its annual revenues and authorized Southside to increase the maximum balance in its escrow account from \$5,520 to \$20,000. Southside's request to increase Restoration of Service (ROS) charges and temporary discontinuance of service charges is denied. Southside is directed to revise the language regarding ROS charges in Leaf No. 10 of its tariff. Southside's requested increase to the Final Read charge is denied and Southside is authorized to file an updated Purchased Water Adjustment Surcharge (PWAS) statement, all effective February 1, 2020. Southside is directed to file, on not less than five days' notice to become effective February 1,

2020, a cancellation supplement cancelling the pending Leaf No. 12, Escrow Account Statement No. 2, and Purchased Water Adjustment Surcharge Statement No. 6. Southside is directed to file, on not less than five days' notice to become effective February 1, 2020, Escrow Account Statement No. 3 and Purchased Water Adjustment Surcharge Statement No. 7, as shown in Appendices E and G, respectively. Southside is also directed to file, on not less than five days' notice to become effective February 1, 2020, a further revision to Leaf No. 10, as shown in Appendix F, subject to the terms and conditions set forth in the order.

Final rule as compared with last published rule: No changes.

Text of rule may be obtained from: John Pitucci, Public Service Commission, 3 Empire State Plaza, Albany, New York 12223-1350, (518) 486-2655, email: john.pitucci@dps.ny.gov An IRS employer ID no. or social security no. is required from firms or persons to be billed 25 cents per page. Please use tracking number found on last line of notice in requests.

#### Assessment of Public Comment

An assessment of public comment is not submitted with this notice because the rule is within the definition contained in section 102(2)(a)(ii) of the State Administrative Procedure Act.

(19-W-0253SA1)

#### NOTICE OF ADOPTION

#### Modifications to Tier 1 Renewable Procurements

I.D. No. PSC-30-19-00009-A Filing Date: 2020-01-16 Effective Date: 2020-01-16

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following action:

*Action taken:* On 1/16/20, the PSC adopted an order directing New York State Energy Research and Development Authority (NYSERDA) to modify Tier 1 Renewable Procurements.

Statutory authority: Public Service Law, sections 4(1), 5(1), (2), 66(2); Energy Law, section 6-104(5)(b)

Subject: Modifications to Tier 1 Renewable Procurements.

Purpose: To direct NYSERDA to modify Tier 1 Renewable Procurements. Substance of final rule: The Commission, on January 16, 2020, adopted an order approving the American Wind Energy Association and the Alliance for Clean Energy New York's petition and directed New York State Energy Research and Development Authority (NYSERDA) to modify Tier 1 Renewable Procurements. NYSERDA shall include an additional option for bidders to offer an Index Renewable Energy Credit (REC) price in future Tier 1 Renewable Energy Standard (RES) solicitations, beginning with the 2020 RES solicitation. NYSERDA and Department of Public Service Staff shall file a proposed Implementation Plan no later than 90 days from the issuance of the order, making the necessary revisions discussed in the body of the order and proposing the other necessary implementation details for Commission action, subject to the terms and conditions set forth in the order.

#### Final rule as compared with last published rule: No changes.

Text of rule may be obtained from: John Pitucci, Public Service Commission, 3 Empire State Plaza, Albany, New York 12223-1350, (518) 486-2655, email: john.pitucci@dps.ny.gov An IRS employer ID no. or social security no. is required from firms or persons to be billed 25 cents per page. Please use tracking number found on last line of notice in requests.

#### Assessment of Public Comment

An assessment of public comment is not submitted with this notice because the rule is within the definition contained in section 102(2)(a)(ii) of the State Administrative Procedure Act.

(15-E-0302SA39)

#### NOTICE OF ADOPTION

#### **Tariff Amendments**

**I.D. No.** PSC-40-19-00006-A **Filing Date:** 2020-01-17 **Effective Date:** 2020-01-17

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following action:

Action taken: On 1/16/20, the PSC adopted an order approving, with modifications, Niagara Mohawk Power Corporation d/b/a National Grid's

(National Grid) tariff amendments to P.S.C. No. 220—Electricity, to become effective on February 1, 2020.

**Statutory authority:** Public Service Law, sections 5(1)(b), (2), 65(1), (2), (3), 66(2) and (5)

**Subject:** Tariff amendments.

**Purpose:** To approve National Grid's tariff amendments to P.S.C. No. 220—Electricity.

Substance of final rule: The Commission, on January 16, 2020, adopted an order approving, with modifications, Niagara Mohawk Power Corporation d/b/a National Grid's (National Grid) tariff amendments to P.S.C. No. 220 – Electricity, to allow the participation of certain New York Power Authority (NYPA) customers, including Recharge New York (RNY) Power Program load, to be deemed eligible to receive Net Energy Metering (NEM) and Value Stack compensation. The tariff amendments filed by National Grid shall become effective on February 1, 2020, provided further revisions as described in the body of the order are filed on not less than five days' notice to become effective on February 1, 2020, subject to the terms and conditions set forth in the order.

Final rule as compared with last published rule: No changes.

Text of rule may be obtained from: John Pitucci, Public Service Commission, 3 Empire State Plaza, Albany, New York 12223-1350, (518) 486-2655, email: john.pitucci@dps.ny.gov An IRS employer ID no. or social security no. is required from firms or persons to be billed 25 cents per page. Please use tracking number found on last line of notice in requests.

Assessment of Public Comment

An assessment of public comment is not submitted with this notice because the rule is within the definition contained in section 102(2)(a)(ii) of the State Administrative Procedure Act. (19-E-0559SA1)

#### NOTICE OF ADOPTION

#### **Tariff Amendment**

I.D. No. PSC-41-19-00005-A Filing Date: 2020-01-17 Effective Date: 2020-01-17

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following action:

Action taken: On 1/16/20, the PSC adopted an order approving Niagara Mohawk Power Corporation d/b/a National Grid's (National Grid) tariff amendment to P.S.C. No. 220 – Electricity, to become effective January 22, 2020.

**Statutory authority:** Public Service Law, sections 5(1)(b), (2), 65(1), (2), (3), 66(2) and (5)

Subject: Tariff amendment.

Purpose: To approve National Grid's tariff amendment.

Substance of final rule: The Commission, on January 16, 2020, adopted an order approving Niagara Mohawk Power Corporation d/b/a National Grid's (National Grid) tariff amendment to P.S.C. No. 220 – Electricity, modifying the calculation used for the Value of Distributed Energy Resources Value Stack Demand Reduction Value, to become effective January 22, 2020, subject to the terms and conditions set forth in the order.

Final rule as compared with last published rule: No changes.

Text of rule may be obtained from: John Pitucci, Public Service Commission, 3 Empire State Plaza, Albany, New York 12223-1350, (518) 486-2655, email: john.pitucci@dps.ny.gov An IRS employer ID no. or social security no. is required from firms or persons to be billed 25 cents per page. Please use tracking number found on last line of notice in requests.

#### Assessment of Public Comment

An assessment of public comment is not submitted with this notice because the rule is within the definition contained in section 102(2)(a)(ii) of the State Administrative Procedure Act.

(19-E-0585SA1)

#### NOTICE OF ADOPTION

#### Minor Rate Filing for Rate Increase and New Tariff

I.D. No. PSC-43-19-00016-A Filing Date: 2020-01-17 Effective Date: 2020-01-17

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following action:

Action taken: On 1/16/20, the PSC adopted an order authorizing River Road Water District (River Road) to increase its semi-annual flat rate by 22% and approved a new electronic tariff schedule, P.S.C. No. 2 – Water, effective February 1, 2020.

Statutory authority: Public Service Law, sections 4(1), 5(1)(f), 89-c(1), (3), (10)(a), (b) and (f)

Subject: Minor rate filing for rate increase and new tariff.

**Purpose:** To authorize River Road for a rate increase and approve a new electronic tariff.

Substance of final rule: The Commission, on January 16, 2020, adopted an order authorizing River Road Water District (River Road) to increase its semi-annual flat rate by 22%, from \$75.00 to \$91.25, to reflect the current minimum cost of service and approved a new electronic tariff schedule, P.S.C. No. 2 – Water, effective February 1, 2020. River Road is directed to file, on not less than five days' notice to become effective February 1, 2020, a cancellation supplement, cancelling its paper tariff P.S.C. No. 1 – Water in its entirety, statements, and supplements, subject to the terms and conditions set forth in the order.

Final rule as compared with last published rule: No changes.

Text of rule may be obtained from: John Pitucci, Public Service Commission, 3 Empire State Plaza, Albany, New York 12223-1350, (518) 486-2655, email: john.pitucci@dps.ny.gov An IRS employer ID no. or social security no. is required from firms or persons to be billed 25 cents per page. Please use tracking number found on last line of notice in requests.

Assessment of Public Comment

An assessment of public comment is not submitted with this notice because the rule is within the definition contained in section 102(2)(a)(ii) of the State Administrative Procedure Act. (19-W-0584SA1)

#### PROPOSED RULE MAKING NO HEARING(S) SCHEDULED

#### **Notice of Intent to Submeter Electricity**

I.D. No. PSC-05-20-00003-P

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following proposed rule:

**Proposed Action:** The Commission is considering the notice of intent of 11 Hoyt Owner, LLC to submeter electricity at 11 Hoyt Street, Brooklyn, New York.

*Statutory authority:* Public Service Law, sections 2, 4(1), 30, 32-48, 52, 53, 65(1), 66(1), (2), (3), (4), (12) and (14)

Subject: Notice of intent to submeter electricity.

*Purpose:* To ensure adequate submetering equipment and consumer protections are in place.

Substance of proposed rule: The Commission is considering the notice of intent, filed by 11 Hoyt Owner LLC, on December 18, 2019, to submeter electricity at 11 Hoyt Street, Brooklyn, New York, 11201, located in the service territory of Consolidated Edison Company of New York, Inc. (Con Edison).

By stating its intent to submeter electricity, 11 Hoyt Owner LLC, requests authorization to take electric service from National Grid and then distribute and meter that electricity to its tenants. Submetering of electricity to residential tenants is allowed so long as it complies with the protections and requirements of the Commission's regulations in 16 NYCRR Part 96.

The full text of the notice of intent and the full record of the proceeding may be reviewed online at the Department of Public Service web page: www.dps.ny.gov. The Commission may adopt, reject or modify, in whole or in part, the action proposed and may resolve related matters.

Text of proposed rule and any required statements and analyses may be obtained by filing a Document Request Form (F-96) located on our website http://www.dps.ny.gov/f96dir.htm. For questions, contact: John Pitucci, Public Service Commission, 3 Empire State Plaza, Albany, New York 12223-1350, (518) 486-2655, email: john.pitucci@dps.ny.gov

*Data, views or arguments may be submitted to:* Michelle L. Phillips, Secretary, Public Service Commission, 3 Empire State Plaza, Albany, New York 12223-1350, (518) 474-6530, email: secretary@dps.ny.gov

Public comment will be received until: 60 days after publication of this notice.

# Regulatory Impact Statement, Regulatory Flexibility Analysis, Rural Area Flexibility Analysis and Job Impact Statement

Statements and analyses are not submitted with this notice because the proposed rule is within the definition contained in section 102(2)(a)(ii) of the State Administrative Procedure Act.

(19-E-0772SP1)

#### PROPOSED RULE MAKING NO HEARING(S) SCHEDULED

A Statewide Make-Ready Program That Would Provide Incentives to Deploy EVSE&I to Charge Light Duty Electric Vehicles (EV)

I.D. No. PSC-05-20-00004-P

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following proposed rule:

**Proposed Action:** The Commission is considering the Department of Public Service Staff (DPS Staff) Whitepaper Regarding Electric Vehicle Supply Equipment and Infrastructure (EVSE&I) Deployment (EVSE&I Whitepaper) filed on January 13, 2020.

Statutory authority: Public Service Law, sections 5, 65 and 66

**Subject:** A statewide Make-Ready Program that would provide incentives to deploy EVSE&I to charge light duty electric vehicles (EV).

*Purpose:* To deploy the infrastructure needed to meet the State's goals of 850,000 EVs by 2025 and recommend appropriate utility roles.

Substance of proposed rule: The Public Service Commission (Commission) is considering the Department of Public Service Staff (DPS Staff) Whitepaper Regarding Electric Vehicle Supply Equipment and Infrastructure (EVSE&I) Deployment (EVSE&I Whitepaper) filed on January 13, 2020.

The EVSE&I Whitepaper recommends that the Commission approve a time- and value-limited "Make-Ready Program" with utility-specific budgets to support the nascent third-party competitive market for light duty electric vehicle (EV) charging stations. The proposed Make-Ready Program would fund 90% of the utility-service territory average of the distribution system upgrades needed to accommodate eligible publicly accessible Level 2 and direct current fast charger (DCFC) stations. Additionally, Level 2 stations that are not publicly accessible may be eligible for 50% of the utility-service territory average of the distribution system upgrades needed, and DCFC stations that do not use a commonly accepted standardized plug type and/or are not publicly accessible may be eligible for 50% of the utility-service territory average of the distribution system upgrades needed.

The EVSE&I whitepaper also details other electric utility roles to support the nascent electric vehicle (EV) market that are appropriate and would leverage utility core competencies. Additional electric utility roles include (i) developing tools such as load serving capacity maps, (ii) integrating charging station planning and site prioritization into the capital planning process, (iii) expanding the interconnection online application portal to EV charging station interconnection requests, (iv) developing strategies for managed charging and vehicle-to-grid activity, (v) adopting appropriate metering and technology standards, (vi) conducting developer outreach and education, (vii) requiring that environmental justice communities benefit from ratepayer-funded EV initiatives, and (viii) offering a new commercial fleet assessment service to customers interested in fleet electrification.

The full text of the EVSE&I Whitepaper and the full record of the proceeding may be reviewed online at the Department of Public Service web page: www.dps.ny.gov. The Commission may adopt, reject, or modify, in whole or in part, the action proposed and may resolve related matters.

Text of proposed rule and any required statements and analyses may be obtained by filing a Document Request Form (F-96) located on our website http://www.dps.ny.gov/f96dir.htm. For questions, contact: John Pitucci, Public Service Commission, 3 Empire State Plaza, Albany, New York 12223-1350, (518) 486-2655, email: john.pitucci@dps.ny.gov

*Data, views or arguments may be submitted to:* Michelle Phillips, Secretary, Public Service Commission, 3 Empire State Plaza, Albany, New York 12223-1350, (518) 474-6530, email: secretary@dps.ny.gov

Public comment will be received until: 60 days after publication of this notice

Regulatory Impact Statement, Regulatory Flexibility Analysis, Rural Area Flexibility Analysis and Job Impact Statement

Statements and analyses are not submitted with this notice because the proposed rule is within the definition contained in section 102(2)(a)(ii) of the State Administrative Procedure Act.

(18-E-0138SP4)

#### PROPOSED RULE MAKING NO HEARING(S) SCHEDULED

#### Wireline and Wireless Pole Attachment Rates

I.D. No. PSC-05-20-00005-P

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following proposed rule:

**Proposed Action:** The Commission is considering a proposal filed by the Village of Rockville Centre to modify its electric tariff schedule, P.S.C. No. 3, to amend its wireline rate and add a wireless pole attachment rate.

**Statutory authority:** Public Service Law, sections 65 and 66(12)

Subject: Wireline and wireless pole attachment rates.

**Purpose:** To ensure safe and adequate service at just and reasonable rates charged to customers without undue preferences.

Substance of proposed rule: The Commission is considering a proposal filed by the Village of Rockville Centre (Rockville Centre or Village) on January 10, 2020, to amend its electric tariff schedule, P.S.C. No. 3 – Electricity, to modify its wireline pole attachment rate and add a wireless pole attachment rate consistent with the Commission's Order Establishing Updated Pole Attachment Rates with Modifications, issued and effective November 18, 2019.

Rockville Centre proposes a wireline and wireless pole attachment rate of \$13.62 per foot of occupied space. Rockville Centre states that the proposed rate is consistent with the Commission's November 2019 Order issued in Case 16-M-0330. The wireless pole attachment rate is intended to establish a standard and non-discriminatory approach for calculating wireless pole attachment rates in New York. The proposed amendment has an effective date of May 1, 2020. Rockville Centre contends that no customers will be affected by the filing and requests a waiver of the newspaper publication requirements of Public Service Law § 66(12)(b).

The full text of the proposal and the full record of the proceeding may be reviewed online at the Department of Public Service web page: www.dps.ny.gov. The Commission may adopt, reject or modify, in whole or in part, the action proposed and may resolve related matters.

Text of proposed rule and any required statements and analyses may be obtained by filing a Document Request Form (F-96) located on our website http://www.dps.ny.gov/f96dir.htm. For questions, contact: John Pitucci, Public Service Commission, 3 Empire State Plaza, Albany, New York 12223-1350, (518) 486-2655, email: john.pitucci@dps.ny.gov

*Data, views or arguments may be submitted to:* Michelle L. Phillips, Secretary, Public Service Commission, 3 Empire State Plaza, Albany, New York 12223-1350, (518) 474-6530, email: secretary@dps.ny.gov

Public comment will be received until: 60 days after publication of this notice.

Regulatory Impact Statement, Regulatory Flexibility Analysis, Rural Area Flexibility Analysis and Job Impact Statement

Statements and analyses are not submitted with this notice because the proposed rule is within the definition contained in section 102(2)(a)(ii) of the State Administrative Procedure Act. (16-M-0330SP4)

#### PROPOSED RULE MAKING NO HEARING(S) SCHEDULED

Waiver of Pipeline Reassessment Completion Deadline While Adequate Tools to Conduct Inspection Are Found

I.D. No. PSC-05-20-00006-P

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following proposed rule:

**Proposed Action:** The Commission is considering a petition filed by Brooklyn Union Gas Company d/b/a National Grid NY seeking an extension to conduct the next safety inspection of its Clove Lake Segment pipeline in New York City.

Statutory authority: Public Service Law, sections 65 and 66

**Subject:** Waiver of pipeline reassessment completion deadline while adequate tools to conduct inspection are found.

*Purpose:* To ensure the safety of the Clove Lake Segment Pipeline with the use of adequate reassessment tools.

Substance of proposed rule: The Public Service Commission (Commission) is considering a petition submitted by The Brooklyn Union Gas

Company d/b/a National Grid NY (National Grid or the Company) seeking a waiver of the most-recent inspection deadline for National Grid's Clove Lake Pipeline Segment (Clove Lake Segment or Segment).

Clove Lake Pipeline Segment (Clove Lake Segment or Segment).

Pursuant to 16 NYCRR § 255.939, National Grid was required to undertake the reassessment inspection by December 31, 2019; however, National Grid states that when it attempted to complete the inspection, the Company discovered that the tools needed to complete the reassessment were no longer available. National Grid asserts that with the onset of winter and the fact that the Segment is used to delivery natural gas for home heating customers, it will be unable to take the Segment out of service to conduct the reassessment until Spring 2020. Until the Segment can be taken out of service and inspected, National Grid states it is conducting weekly walking patrols of the Segment and aerial surveys at four-month intervals to ensure the Segment's continued safety. National Grid seeks an extension to July 2020 to complete the reassessment.

The Clove Lake Segment is an underwater crossing in the Narrows in New York Harbor near the Verrazano-Narrows Bridge (IMP Segment 5.0.0.0.5). The Segment consists of 24-inch twin pipeline segments, which were installed in 1958 with API 5L X42 0.500-inch wall thickness seamless steel pipe. It operates at a pressure resulting in a hoop stress of 20 percent SMYS (i.e., a low stress segment). The Segment supplies gas from Staten Island to Brooklyn and also supplies approximately sixty-five percent of the supply into KEDNY's service territory.

The full text of the petition and the full record of the proceeding may be reviewed online under the Department of Public Service web page: www.dps.ny.gov. The Commission may adopt, reject, or modify, in whole or in part, the action proposed and may resolve related matters.

Text of proposed rule and any required statements and analyses may be obtained by filing a Document Request Form (F-96) located on our website http://www.dps.ny.gov/f96dir.htm. For questions, contact: John Pitucci, Public Service Commission, 3 Empire State Plaza, Albany, New York 12223-1350, (518) 486-2655, email: John.Pitucci@dps.ny.gov

*Data, views or arguments may be submitted to:* Michelle L. Phillips, Public Service Commission, 3 Empire State Plaza, Albany, New York 12223-1350, (518) 474-6517, email: secretary@dps.ny.gov

Public comment will be received until: 60 days after publication of this notice.

# Regulatory Impact Statement, Regulatory Flexibility Analysis, Rural Area Flexibility Analysis and Job Impact Statement

Statements and analyses are not submitted with this notice because the proposed rule is within the definition contained in section 102(2)(a)(ii) of the State Administrative Procedure Act.

(19-G-0771SP1)

#### PROPOSED RULE MAKING NO HEARING(S) SCHEDULED

#### **Petition to Submeter Electricity**

I.D. No. PSC-05-20-00007-P

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following proposed rule:

**Proposed Action:** The Commission is considering the petition of 304 Mulberry Street Operating Company, L.L.C. to submeter electricity at 40 Bleecker Street, New York, New York.

**Statutory authority:** Public Service Law, sections 2, 4(1), 30, 32-48, 52, 53, 65(1), 66(1), (2), (3), (4), (12) and (14)

Subject: Petition to submeter electricity.

*Purpose:* To ensure adequate submetering equipment and consumer protections are in place.

Substance of proposed rule: The Commission is considering the petition filed by 304 Mulberry Street Operating Company, L.L.C., on January 3, 2020, to submeter electricity at 40 Bleecker Street, New York, New York, 10012, located in the service territory of Consolidated Edison Company of New York, Inc. (Con Edison).

The buildings primary heat source for the penthouse units is electric heat, which dictates the type of filing as a petition. The standard units in the building will not be electrically heated.

By stating its intent to submeter electricity, 304 Mulberry Street Operating company, L.L.C. requests authorization to take electric service from Con Edison and then distribute and meter that electricity to its tenants. Submetering of electricity to residential tenants is allowed so long as it complies with the protections and requirements of the Commission's regulations in 16 NYCRR Part 96.

The full text of the petition and the full record of the proceeding may be reviewed online at the Department of Public Service web page:

www.dps.ny.gov. The Commission may adopt, reject or modify, in whole or in part, the action proposed and may resolve related matters.

Text of proposed rule and any required statements and analyses may be obtained by filing a Document Request Form (F-96) located on our website http://www.dps.ny.gov/f96dir.htm. For questions, contact: John Pitucci, Public Service Commission, 3 Empire State Plaza, Albany, New York 12223-1350, (518) 486-2655, email: john.pitucci@dps.ny.gov

*Data, views or arguments may be submitted to:* Michelle L. Phillips, Secretary, Public Service Commission, 3 Empire State Plaza, Albany, New York 12223-1350, (518) 474-6530, email: secretary@dps.ny.gov

Public comment will be received until: 60 days after publication of this notice.

# Regulatory Impact Statement, Regulatory Flexibility Analysis, Rural Area Flexibility Analysis and Job Impact Statement

Statements and analyses are not submitted with this notice because the proposed rule is within the definition contained in section 102(2)(a)(ii) of the State Administrative Procedure Act. (20-E-0005SP1)

# HEARINGS SCHEDULED FOR PROPOSED RULE MAKINGS

Agency I.D. No.	Subject Matter	Location—Date—Time
Bridge Authority, New York State		
SBA-01-20-00004-P	A proposal to amend the NYS Bridge Authority toll schedule	Poughkeepsie Grand Hotel, 40 Civic Center Plaza, Palm Court Rm., Poughkeepsie, NY— March 9, 2020, 7:00 p.m.
SBA-01-20-00005-P	Toll collection and violations	Poughkeepsie Grand Hotel, 40 Civic Center Plaza, Palm Court Rm., Poughkeepsie, NY— March 9, 2020, 7:00 p.m.
<b>Environmental Conservation, Departmen</b>	nt of	
ENV-53-19-00016-P	Certain substances that contain hydrofluorocarbons, highly-potent greenhouse gases	Department of Environmental Conservation, 625 Broadway, Public Assembly Rm. 129A/B, Albany, NY—March 4, 2020, 12:30 p.m.
		Henrietta Public Library, 625 Calkins Rd., Community Rm., Rochester, NY—March 6, 2020, 12:30 p.m.
		Department of Environmental Conservation, Region 2 Office, 47-40 21st St., 8th Fl., Rm. 834A/834B, Long Island City, NY—March 9, 2020, 12:30 p.m.
ENV-05-20-00001-P	Use of ultra low sulfur diesel fuel and best available retrofit technology for heavy duty vehicles	Department of Environmental Conservation, 625 Broadway, Public Assembly Rm. 129A/B, Albany, NY—April 10, 2020, 11:00 a.m.
ENV-05-20-00002-P	Sulfur-in fuel limitations	Department of Environmental Conservation, 625 Broadway, Public Assembly Rm. 129A/B, Albany, NY—April 10, 2020, 11:00 a.m.

# ACTION PENDING INDEX

The action pending index is a list of all proposed rules which are currently being considered for adoption. A proposed rule is added to the index when the notice of proposed rule making is first published in the *Register*. A proposed rule is removed from the index when any of the following occur: (1) the proposal is adopted as a permanent rule; (2) the proposal is rejected and withdrawn from consideration; or (3) the proposal's notice expires.

Most notices expire in approximately 12 months if the agency does not adopt or reject the proposal within that time. The expiration date is printed in the second column of the action pending index. Some notices, however, never expire. Those notices are identified by the word "exempt" in the second column. Actions pending for one year or more are preceded by an asterisk(\*).

For additional information concerning any of the proposals

listed in the action pending index, use the identification number to locate the text of the original notice of proposed rule making. The identification number contains a code which identifies the agency, the issue of the *Register* in which the notice was printed, the year in which the notice was printed and the notice's serial number. The following diagram shows how to read identification number codes.

Agency code	Issue	Year	Serial	Action
	number	published	number	Code
AAM	01	12	00001	Р

Action codes: P — proposed rule making; EP — emergency and proposed rule making (expiration date refers to proposed rule); RP — revised rule making

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
AGING, OFFICE F	OR THE		
AGE-34-19-00014-P	08/20/20	Limits on Administrative Expenses and Executive Compensation	To bring this rule into compliance with current law in New York State
AGRICULTURE AN	ID MARKETS, DEP	PARTMENT OF	
AAM-47-19-00002-P	11/19/20	Golden Nematode (Globodera Rostochiensis) Quarantine	To lift the Golden Nematode quarantine in portions of the Towns of East Hampton and Riverhead in Suffolk County.
AUDIT AND CONT	ROL, DEPARTMEN	IT OF	
AAC-49-19-00002-P		Relates to interest rate of estimated earnings; filing of documents; maximum loan amount; and electronic signatures	Update and conform regulations relating to interest; filing of documents; maximum loan amount; and electronic signatures
BRIDGE AUTHORI	TY, NEW YORK ST	TATE	
SBA-01-20-00004-P	exempt	A proposal to amend the NYS Bridge Authority Toll Schedule.	To amend toll schedule for vehicular bridges controlled by the NYS Bridge Authority in order to provide additional revenue.
SBA-01-20-00005-P	03/09/21	Toll collection and violations.	To amend toll collection procedures and implement toll violation enforcement on NYSBA bridges.
CHILDREN AND F	AMILY SERVICES,	OFFICE OF	
*CFS-51-18-00010-RP	03/18/20	Residential and non-residential services to victims of domestic violence	To conform the existing regulations to comply with state and federal laws regarding services to victims of domestic violence
CFS-36-19-00004-ERP	09/03/20	Removal of non-medical exemption from vaccination regulations for child day care programs	To remove the non-medical exemption from vaccination regulations for child day care programs

Agency I.D. No. Expires Subject Matter Purpose of Action

CFS-39-19-00005-EP	09/24/20	Implement federal statutory requirements to include enhanced background checks, annual inspections, annual training and safety.	Implement federal statutory requirements to include enhanced background checks, annual inspections, annual training and safety.
CFS-39-19-00007-EP	09/24/20	Implement statutory requirements to include enhanced background checks, annual inspections, annual training and safety.	Implement statutory requirements to include enhanced background checks, annual inspections, annual training and safety.
CFS-42-19-00002-P	10/15/20	Permissible disclosure of records maintained by OCFS.	To amend existing regulations regarding the permissible disclosure of records by OCFS.
CFS-46-19-00002-P	11/12/20	Behavioral health services, elimination of room isolation and authority to operate deescalation rooms	To implement standards for behavioral health services and the operation of de-escalation rooms and to eliminate room isolation
CFS-49-19-00001-P	12/03/20	Limits on executive compensation	To remove the soft cap limit on executive compensation
CFS-04-20-00009-P	01/28/21	Host Family Homes	The proposed regulations would establish standards for the approval and administration of host family homes.

# **CIVIL SERVICE, DEPARTMENT OF**

CVS-06-19-00001-P	02/06/20	Jurisdictional Classification	To classify a position in the exempt class
CVS-25-19-00006-P	06/18/20	Jurisdictional Classification	To classify positions in the non-competitive class.
CVS-34-19-00011-P	08/20/20	Jurisdictional Classification	To delete positions from and classify positions in the non-competitive class
CVS-42-19-00010-P	10/15/20	Jurisdictional Classification	To classify positions in the exempt class
CVS-42-19-00014-P	10/15/20	Jurisdictional Classification	To classify a position in the exempt class
CVS-42-19-00020-P	10/15/20	Jurisdictional Classification	To classify positions in the non-competitive class
CVS-42-19-00021-P	10/15/20	Jurisdictional Classification	To classify positions in the non-competitive class
CVS-42-19-00023-P	10/15/20	Jurisdictional Classification	To classify positions in the non-competitive class
CVS-42-19-00024-P	10/15/20	Jurisdictional Classification	To delete positions from and classify positions in the non-competitive class
CVS-45-19-00003-P	11/05/20	Jurisdictional Classification	To classify a position in the non-competitive class
CVS-45-19-00004-P	11/05/20	Jurisdictional Classification	To classify a position in the exempt class
CVS-45-19-00005-P	11/05/20	Jurisdictional Classification	To delete positions from and classify a position in the non-competitive class
CVS-45-19-00006-P	11/05/20	Jurisdictional Classification	To delete positions from the non-competitive class

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
CIVIL SERVICE, I	DEPARTMENT OF		
CVS-45-19-00007-P		Jurisdictional Classification	To delete a position from and classify a position in the exempt class and to delete positions from the non-competitive class
CVS-45-19-00009-P	11/05/20	Jurisdictional Classification	To delete a position from and classify a position in the exempt class
CVS-51-19-00002-P	12/17/20	Jurisdictional Classification	To delete positions from and classify positions in the non-competitive class
CVS-51-19-00003-P	12/17/20	Jurisdictional Classification	To classify a position in the exempt class
CVS-51-19-00004-P	12/17/20	Jurisdictional Classification	To classify positions in the exempt class
CVS-51-19-00005-P	12/17/20	Jurisdictional Classification	To classify positions in the exempt class
CVS-51-19-00006-P	12/17/20	Jurisdictional Classification	To classify positions in the exempt class
CVS-51-19-00007-P	12/17/20	Jurisdictional Classification	To classify positions in the non-competitive class
CVS-51-19-00008-P	12/17/20	Jurisdictional Classification	To classify positions in the non-competitive class
CVS-51-19-00009-P	12/17/20	Jurisdictional Classification	To classify positions in the non-competitive class
CVS-51-19-00010-P	12/17/20	Jurisdictional Classification	To delete positions from the non-competitive class
CVS-51-19-00011-P	12/17/20	Jurisdictional Classification	To delete a position from and classify a position in the exempt class
CVS-51-19-00012-P	12/17/20	Jurisdictional Classification	To classify positions in the non-competitive class
CVS-51-19-00013-P	12/17/20	Jurisdictional Classification	To classify positions in the non-competitive class
CVS-51-19-00014-P	12/17/20	Jurisdictional Classification	To classify positions in the non-competitive class
CVS-03-20-00003-P	01/21/21	Jurisdictional Classification	To classify positions in the non-competitive class
CVS-03-20-00004-P	01/21/21	Jurisdictional Classification	To classify a position in the exempt class
CVS-03-20-00005-P	01/21/21	Jurisdictional Classification	To delete positions from and classify positions in the non-competitive class
CVS-03-20-00006-P	01/21/21	Jurisdictional Classification	To classify a position in the non-competitive class
CVS-03-20-00007-P	01/21/21	Jurisdictional Classification	To delete positions from and classify positions in the exempt class

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Agency I.D. No.	Expires	Subject Matter	Purpose of Action
CORRECTION, ST	ATE COMMISSION	OF	
CMC-35-19-00002-P	08/27/20	Disciplinary and administrative segregation of inmates in special housing.	Prohibit the segregation of vulnerable inmates, and to standardize allowable uses and duration of special housing segregation.
CORRECTIONS AN	ND COMMUNITY SI	JPERVISION, DEPARTMENT OF	
CCS-21-19-00014-P	05/21/20	Adolescent Offender Facilities	To reclassify two existing correctional facilities to adolescent offender facilities.
CCS-35-19-00001-P	08/27/20	Special Housing Units	Revisions have been made in order to be in compliance with new laws regarding special housing units and solitary confinement use
CCS-50-19-00002-P	12/10/20	Raise the Age	To update each correctional facility's regulation as a direct result of the Raise the Age legislation
CRIMINAL JUSTIC	E SERVICES, DIVIS	SION OF	
CJS-20-19-00003-P	05/14/20	Certified Instructors and Course Directors	Establish/maintain effective procedures governing certified instructors and course directors who deliver MPTC-approved courses
CJS-30-19-00010-ERP	07/23/20	Use of Force	Set forth use of force reporting and recordkeeping procedures
EDUCATION DEPA	RTMENT		
EDU-17-19-00008-P	04/23/20	To require study in language acquisition and literacy development of English language learners in certain teacher preparation	To ensure that newly certified teachers enter the workforce fully prepared to serve our ELL population
EDU-27-19-00010-P	07/02/20	Substantially Equivalent Instruction for Nonpublic School Students	Provide guidance to local school authorities to assist them in fulfilling their responsibilities under the Compulsory Ed Law
EDU-39-19-00008-ERP	09/24/20	The Education, Experience, Examination and Endorsement Requirements for Licensure as an Architect	To more closely align New York's requirements for architects with national standards and to streamline the endorsement process.
EDU-39-19-00009-RP	09/24/20	Requirements for Licensure as an Architect	To more closely align the Commissioner's Regulations with national standards for licensure as an architect.
EDU-43-19-00012-EP	10/22/20	Annual Professional Performance Reviews of Classroom Teachers and Building Principals	Necessary to implement part YYY of chapter 59 of the Laws of 2019
EDU-43-19-00013-P	10/22/20	Requirements for Chiropractic Education Programs and Education Requirements for Licensure as a Chiropractor	To conform educational requirements for the profession of chiropractic to the national preprofessional education standards
EDU-47-19-00005-P	11/19/20	Physical Education Requirements for a Diploma and Transfer Credits for Students Earning Credit in an Educational Program.	To implement Raise the Age legislation pursuant to Part WWW of Chapter 59 of the Laws of 2017.
EDU-47-19-00006-EP	11/19/20	Permit physicians licensed in another state or territory to provide medical services to athletes and team personnel in New York	To align the Regulations of the Commissioner with chapter 519 of the Laws of 2018 and chapter 199 of the Laws of 2019

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
EDUCATION DEP	ARTMENT		
EDU-47-19-00007-EP		Profession of Registered Dental Assisting.	To conform the Regulations of the Commissioner with Chapter 390 of the laws of 2019.
EDU-52-19-00007-P	12/23/20	Update Provisions Relating to Pupil Transportation	To update provisions of the Commissioner's Regulations relating to pupil transportation
EDU-52-19-00008-EP		Restricted License for Clinical Laboratory Technologist	Implement chapter 227 of 2019 by adding toxicology to the category of restricted licenses for clinical laboratory technologists
EDU-52-19-00009-EP	12/23/20	Continuing Education in the Profession of Public Accountancy	Implement the provisions of chapter 413 of the Laws of 2018
EDU-52-19-00010-EP	12/23/20	Duties and responsibilities of the counsel of the State Education Department	To designate counsel as the deputy commissioner of education as specified in Education Law, section 101
EDU-04-20-00005-P	01/28/21	Residency Program Requirement for Dental Licensure	Adds dental anesthesiology to the list of accredited residency programs in a specialty of dentistry.
EDU-04-20-00006-P	01/28/21	Financial Transparency and Data Reporting Requirements for Charter Schools	To establish criteria and procedures relating to charter financial transparency reporting to ensure compliance with ESSA.
EDU-04-20-00007-P	01/28/21	Time Extension of Initial, Transitional and Provisional Certificates	To provide educators with a Time Extension after the issuance of their first Initial or Provisional certificate.
EDU-04-20-00008-EP	01/28/21	ESSA Financial Transparency Reporting Requirements.	To implement financial transparency reporting requirements of ESSA.
ELECTIONS, STA	TE BOARD OF		
SBE-22-19-00003-EP	05/28/20	Process for Early Voting	Establishing Process for Early Voting
SBE-02-20-00002-P	01/14/21	Combine the 10 Day Post Primary Filing with the July 15th disclosure statement	This amendment combines the 10 Day Post Primary Filing with the July 15th disclosure statement that political committees file
ENVIRONMENTAL	_ CONSERVATION,	DEPARTMENT OF	
ENV-18-19-00006-EP	04/30/20	Regulations governing commercial fishing and harvest of scup.	To revise regulations concerning the commercial harvest of scup in New York State waters.
ENV-24-19-00002-P	08/18/20	Hazardous Waste Management Regulations (FedReg5)	To amend regulations pertaining to hazardous waste management
ENV-27-19-00003-P	07/02/20	Black Bear hunting.	Expand bear hunting opportunities in Wildlife Management Unit 4W to reduce bear abundance.
ENV-36-19-00001-P	11/07/20	Waste Fuels	Update permit references, rule citations, monitoring, record keeping, reporting requirements, and incorporate federal standards.

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
ENVIRONMENTAL	CONSERVATION,	DEPARTMENT OF	
ENV-36-19-00002-P	11/07/20	New Aftermarket Catalytic Converter (AMCC) standards	Prohibit sale of federal AMCCs and update existing AMCC record keeping and reporting requirements
ENV-36-19-00003-P		Stationary Combustion Installations	Update permit references, rule citations, monitoring, record keeping, reporting requirements, and lower emission standards.
ENV-36-19-00014-P	11/19/20	Distributed generation sources located in New York City, Long Island and Westchester and Rockland counties	Establish emission control requirements for sources used in demand response programs o as price-responsive generation sources
ENV-37-19-00003-P	09/10/20	Clarifying determination of jurisdiction under the Endangered and Threatened Fish and Wildlife regulations	To improve the review of projects by removing some project types that are known not to cause harm from the review stream
ENV-38-19-00001-P	09/17/20	Animals dangerous to health or welfare	To expand the list of animals which pose a risk to health or welfare of the people of the state or indigenous fish and wildlife
ENV-39-19-00003-P	12/05/20	Part 219 applies to various types of incinerators and crematories operated in New York State.	This rule establishes emission limits and operating requirements for various types of incinerators.
ENV-42-19-00003-P	10/15/20	Amendments to Great Lakes sportfishery regulations in 6NYCRR Part 10	Proposed amendments are intended to improve high quality sportfisheries and assciated economic benefits
ENV-43-19-00006-P	01/07/21	Class I and Class SD waters	To clarify best usages of Class I and SD waters were/are "secondary contact recreation and fishing" and "fishing," respectively
ENV-43-19-00010-P	01/06/21	Repeal and replace 6 NYCRR Part 622 and amend 6 NYCRR Part 624, Part 621 and Part 620	To incorporate procedural and legal developments, develop consistency & reflect current practice in DEC hearings
ENV-48-19-00008-P	01/26/21	Plastic Bag Reduction, Reuse and Recycling	The objectives of this rulemaking are to provide clarity to Titles 27 and 28 so that they can both be effectively implemented
ENV-53-19-00016-P	03/09/21	Certain substances that contain hydrofluorocarbons, highly-potent greenhouse gases	Remove greenhouse gas emission sources that endanger public health and the environment
ENV-04-20-00004-EP	01/28/21	Regulations governing commercial fishing of quota managed species.	To improve efficiency, reduce waste, and increase safety in marine commercial fisheries.
ENV-05-20-00001-P	04/10/21	Use of Ultra Low Sulfur Diesel Fuel and Best Available Retrofit Technology for Heavy Duty Vehicles	Updating to meet with statutory deadline
ENV-05-20-00002-P	04/10/21	Sulfur-in Fuel Limitations	Limit sulfur in liquid and solid fuels throughout NYS
FINANCIAL SERV	ICES, DEPARTMEN	T OF	
*DFS-17-16-00003-P	exempt	Plan of Conversion by Commercial Travelers	To convert a mutual accident and health
		Mutual Insurance Company	insurance company to a stock accident and health insurance company

Agency I.D. No.	Expires	Subject Matter	Purpose of Action	
FINANCIAL SERV	ICES, DEPARTMEN	T OF		
*DFS-25-18-00006-P	exempt	Plan of Conversion by Medical Liabilty Mutual Insurance Company	To convert a mutual property and casualty insurance company to a stock property and casualty insurance company	
DFS-33-19-00004-P	08/13/20	Minimum Standards for Form, Content, and Sale of Health Insurance, Including Standards for Full and Fair Disclosure	To set forth minimum standards for the content of health insurance identification cards.	
DFS-43-19-00017-P	10/22/20	INDEPENDENT DISPUTE RESOLUTION FOR EMERGENCY SERVICES AND SURPRISE BILLS	To require notices and consumer disclosure information related to surprise bills and bills for emergency service to be provided	
DFS-44-19-00011-P	10/29/20	Principle-Based Reserving	To prescribe minimum principle-based valuation standards	
DFS-47-19-00003-P	11/19/20	Banking Division Asssessments	Set forth the basis for allocating costs and expenses attributable to the operation of the Banking Division for FSL assessments	
DFS-48-19-00002-P	11/26/20	SUPERINTENDENT'S REGULATIONS: INFORMATION SUBJECT TO CONFIDENTIAL TREATMENT	Provide rules concerning publication or disclosure of information subject to confidential treatment	
DFS-51-19-00015-P	12/17/20	Minimum Standards for Form, Content and Sale of Health Insurance, Including Standards of Full and Fair Disclosure	Clarifying discriminatory activities prohibited by and coverages included within preventive care and screenings under the IL	
DFS-53-19-00013-EP	12/30/20	Rules Governing the Procedures for Adjudicatory Proceedings Before the Department of Financial Services	To unify and clarify the procedures for adjudicatory proceedings before the Department of Financial Services	
DFS-53-19-00014-EP	12/30/20	Charges for Professional Health Services	To delay the effective date of the workers' compensation fee schedules for no-fault reimbursement.	
DFS-53-19-00015-EP	12/30/20	Supplementary Uninsured/Underinsured Motorist Coverage	To comport with statutory amendments to Chapter 59, Part III of the Laws of 2019 and Insurance Law Section 3420(f)	
DFS-01-20-00002-P	01/07/21	Certification of Compliance, Due Date	To amend the date by which Covered Entities must submit a certification of compliance, from February to April.	
DFS-03-20-00011-EP	01/21/21	Valuation of Life Insurance Reserves; Recognition of the 2001 CSO Mortality Table and the 2017 CSO Mortality Table, et al	Prescribes 2001 CSO ultimate mortality for guaranteed issue policies issued on or after 1/1/20 and extends 2015 reserve relief	
GAMING COMMIS	SION, NEW YORK	STATE		
SGC-01-20-00006-P	01/07/21	Permit Thoroughbred horses with digital tattoos to race in New York	To promote the integrity of racing and derive a reasonable return for government	
GENERAL SERVICES, OFFICE OF				
GNS-40-19-00005-P	10/01/20	Facility Use	To add "plastic knuckles" and remove "gravity knife" from the definition of "deadly weapon"	

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Agency I.D. No.	Expires	Subject Matter	Purpose of Action
HEALTH, DEPART	MENT OF		
*HLT-14-94-00006-P	exempt	Payment methodology for HIV/AIDS outpatient services	To expand the current payment to incorporate pricing for services
HLT-30-19-00006-RP	07/23/20	Maximum Contaminant Levels (MCLs)	Incorporating MCLs for perfluorooctanoic acid (PFOA), perfluorooctanesulfonic acid (PFOS) and 1,4-dioxane.
HLT-36-19-00006-P	09/03/20	Limits on Executive Compensation	Removes "Soft Cap" prohibition on covered executive salaries.
HLT-40-19-00004-P	10/01/20	Drug Take Back	To implement the State's drug take back program to provide for the safe disposal of drugs
HLT-43-19-00005-P	10/22/20	Transitional Adult Home Admission Standards for Individuals with Serious Mental Illness	Delineate a clear pre-admissions process for determining whether a prospective resident is a person with serious mental illness
HLT-45-19-00001-P		Medical Use of Marihuana	To allow NYS hemp growers to sell hemp- derived cannabidiol to the Registered Organizations to reduce the cost of manufacturing.
HLT-45-19-00002-P	11/05/20	Licensed Home Care Services Agencies (LHCSAs)	To implement changes to public need & financial feasibility review for applications for HCSAs licensure & change of ownership.
HLT-46-19-00003-P	11/12/20	Tanning Facilities	To prohibit the use of indoor tanning facilities by individuals less than 18 years of age
HLT-47-19-00008-P	11/19/20	Hospital Medical Staff - Limited Permit Holders	To repeal extra years of training required for limited permit holders to work in New York State hospitals.
HLT-47-19-00009-P	11/19/20	Empire Clinical Research Investigator Program (ECRIP)	To expand the types of & change the time frames for past research grants that qualify staff to supervise the ECRIP project.
HLT-48-19-00003-EP	11/26/20	Secondary Syringe Exchange in New York State	To reduce the spread of blood-borne pathogens, to reduce or eliminate other harms associated with contaminated syringes
HLT-51-19-00001-P	12/17/20	Women, Infants and Children (WIC) Program	To support implementation of eWIC; clarify rules for violations, penalties & hearings & conform vendor authorization criteria.
HLT-53-19-00001-P	12/30/20	Prohibition on the Sale of Electronic Liquids with Characterizing Flavors	To prohibit the sale of electronic liquids with characterizing flavors
HLT-53-19-00011-P	12/30/20	Cardiac Services	To amend existing Certificate of Need requirements for approval of adult cardiac surgery centers.
HLT-53-19-00012-P	12/30/20	Consumer Directed Personal Assistance Program Reimbursement	To establish a program to pay home care services & establish a methodology framework for the payment of FI administrative costs.
HLT-04-20-00002-P	01/28/21	Reducing Annual Tuberculosis Testing of Health Care Workers	To replace annual tuberculosis testing of health care workers.

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Agency I.D. No.	Expires	Subject Matter	Purpose of Action
HEALTH, DEPAR	TMENT OF		
HLT-04-20-00003-P	01/28/21	Applied Behavior Analysis	To include Applied Behavior Analysis in the Early and Periodic Screening, Diagnosis, and Treatment (EPSDT) benefit.
HLT-04-20-00011-P	01/28/21	Nursing Home Case Mix Rationalization	To authorize the Department of Health to change the case mix acuity process for all nursing homes.
HLT-04-20-00012-P	01/28/21	State Aid for Public Health Services: Counties and Cities	Clarifying State Aid payments for maintaining a cooling tower program.
HOUSING AND C	OMMUNITY RENEW	AL, DIVISION OF	
HCR-21-19-00019-P	07/21/20	Low-Income Housing Qualified Allocation Plan	To amend definitions, threshold criteria and application scoring for the allocation o flow-income housing tax credits.
HCR-48-19-00001-P	11/26/20	The subject of these amendments is the change in the location of DHCR's Office Of Legal Affairs (OLA).	The purpose is to inform the public of the change in DHCR's Office of Legal Affairs' location.
HOUSING FINAN	CE AGENCY		
HFA-21-19-00020-P	07/21/20	Low-Income Housing Qualified Allocation Plan	To amend definitions, threshold criteria and application scoring for the allocation of low-income housing tax credits
HUMAN RIGHTS,	DIVISION OF		
HRT-27-19-00002-P	07/02/20	Gender Identity or Expression Discrimination	To conform the Division's regulations with Executive Law as amended by Chapter 8 of the Laws of New York 2019.
LABOR, DEPART	MENT OF		
LAB-46-19-00004-P	11/12/20	NY State Public Employees Occupational Safety and Health Standards	To incorporate by reference updates to OSHA standards into the NY State Public Employee Occupational Safety and Health Standards
LAB-03-20-00012-P	01/21/21	Minimum Wage Tip Allowances	Amendment of regulations governing tip allowances in the Miscellaneous Industries Wage Order
LONG ISLAND PO	OWER AUTHORITY		
*LPA-08-01-00003-P	exempt	Pole attachments and related matters	To approve revisions to the authority's tariff
*LPA-41-02-00005-P	exempt	Tariff for electric service	To revise the tariff for electric service
*LPA-04-06-00007-P	exempt	Tariff for electric service	To adopt provisions of a ratepayer protection plan
*LPA-03-10-00004-P	exempt	Residential late payment charges	To extend the application of late payment charges to residential customers
*LPA-15-18-00013-P	exempt	Outdoor area lighting	To add an option and pricing for efficient LED lamps to the Authority's outdoor area lighting

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
LONG ISLAND PO	WER AUTHORITY		
*LPA-37-18-00013-P	exempt	The net energy metering provisions of the Authority's Tariff for Electric Service	To implement PSC guidance increasing eligibility for value stack compensation to larger projects
*LPA-37-18-00017-P	exempt	The treatment of electric vehicle charging in the Authority's Tariff for Electric Service.	To effectuate the outcome of the Public Service Commission's proceeding on electric vehicle supply equipment.
*LPA-37-18-00018-P	exempt	The treatment of energy storage in the Authority's Tariff for Electric Service.	To effectuate the outcome of the Public Service Commission's proceeding on the NY Energy Storage Roadmap.
LPA-47-19-00017-P	exempt	VDER, net metering, and community distributed generation	To update the Authority's tariff for consistency with the Public Service Commission, Department of Public Service, and CLCPA.
MENTAL HEALTH,	OFFICE OF		
OMH-46-19-00005-P	11/12/20	Definition of "Case record, clinical record, medical record or patient record"	To clarify that the agency does not consider the provision to apply to the definition of "record" as set forth in MHL 9.01
OMH-47-19-00001-P	11/19/20	Limits on Executive Compensation	To eliminate "soft cap" restrictions on compensation.
METROPOLITAN 1	TRANSPORTATION	AGENCY	
MTA-23-19-00006-EP	06/04/20	Debarment of contractors	To comply with Public Authorities Law, section 1279-h, which requires the MTA to establish a debarment process for contractors
MOTOR VEHICLES	S, DEPARTMENT O	F	
MTV-04-20-00001-P	01/28/21	Alcohol & Drug Rehabilitation Programs - Restricted Use License eligibility provision	conforms regulation with statute
NIAGARA FALLS	WATER BOARD		
*NFW-04-13-00004-EP	exempt	Adoption of Rates, Fees and Charges	To pay for the increased costs necessary to operate, maintain and manage the system, and to achieve covenants with bondholders
*NFW-13-14-00006-EP	exempt	Adoption of Rates, Fees and Charges	To pay for increased costs necessary to operate, maintain and manage the system and to achieve covenants with the bondholders
*NFW-01-19-00019-EP	exempt	Adoption of Rates, Fees, and Charges	To pay for increased costs necessary to operate, maintain, and manage the system, and to meet covenants with the bondholders
OGDENSBURG BE	RIDGE AND PORT	AUTHORITY	
*OBA-33-18-00019-P	exempt	Increase in Bridge Toll Structure	To increase bridge toll revenue in order to become financially self-supporting. Our bridge operations are resulting in deficit.
OBA-07-19-00019-P	exempt	Increase in Bridge Toll Structure	To increase bridge toll revenue in order to become financially self-supporting. Our bridge operations are resulting in deficit

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
POWER AUTHOR	ITY OF THE STATE	OF NEW YORK	
*PAS-01-10-00010-P	exempt	Rates for the sale of power and energy	Update ECSB Programs customers' service tariffs to streamline them/include additional required information
PAS-42-19-00008-P	exempt	Rates for the Sale of Power and Energy	To align rates and costs
PUBLIC SERVICE	COMMISSION		
*PSC-09-99-00012-P	exempt	Transfer of books and records by Citizens Utilities Company	To relocate Ogden Telephone Company's books and records out-of-state
*PSC-15-99-00011-P	exempt	Electronic tariff by Woodcliff Park Corp.	To replace the company's current tariff with an electronic tariff
*PSC-12-00-00001-P	exempt	Winter bundled sales service election date by Central Hudson Gas & Electric Corporation	To revise the date
*PSC-44-01-00005-P	exempt	Annual reconciliation of gas costs by Corning Natural Gas Corporation	To authorize the company to include certain gas costs
*PSC-07-02-00032-P	exempt	Uniform business practices	To consider modification
*PSC-36-03-00010-P	exempt	Performance assurance plan by Verizon New York	To consider changes
*PSC-40-03-00015-P	exempt	Receipt of payment of bills by St. Lawrence Gas Company	To revise the process
*PSC-41-03-00010-P	exempt	Annual reconciliation of gas expenses and gas cost recoveries	To consider filings of various LDCs and municipalities
*PSC-41-03-00011-P	exempt	Annual reconciliation of gas expenses and gas cost recoveries	To consider filings of various LDCs and municipalities
*PSC-44-03-00009-P	exempt	Retail access data between jurisdictional utilities	To accommodate changes in retail access market structure or commission mandates
*PSC-02-04-00008-P	exempt	Delivery rates for Con Edison's customers in New York City and Westchester County by the City of New York	To rehear the Nov. 25, 2003 order
*PSC-06-04-00009-P	exempt	Transfer of ownership interest by SCS Energy LLC and AE Investors LLC	To transfer interest in Steinway Creek Electric Generating Company LLC to AE Investors LLC
*PSC-10-04-00005-P	exempt	Temporary protective order	To consider adopting a protective order
*PSC-10-04-00008-P	exempt	Interconnection agreement between Verizon New York Inc. and VIC-RMTS-DC, L.L.C. d/b/a Verizon Avenue	To amend the agreement
*PSC-14-04-00008-P	exempt	Submetering of natural gas service to industrial and commercial customers by Hamburg Fairgrounds	To submeter gas service to commercial customers located at the Buffalo Speedway
*PSC-15-04-00022-P	exempt	Submetering of electricity by Glenn Gardens Associates, L.P.	To permit submetering at 175 W. 87th St., New York, NY
*PSC-21-04-00013-P	exempt	Verizon performance assurance plan by Metropolitan Telecommunications	To clarify the appropriate performance level

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Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE	COMMISSION		
*PSC-22-04-00010-P	exempt	Approval of new types of electricity meters by Powell Power Electric Company	To permit the use of the PE-1250 electronic meter
*PSC-22-04-00013-P	exempt	Major gas rate increase by Consolidated Edison Company of New York, Inc.	To increase annual gas revenues
*PSC-22-04-00016-P	exempt	Master metering of water by South Liberty Corporation	To waive the requirement for installation of separate water meters
*PSC-25-04-00012-P	exempt	Interconnection agreement between Frontier Communications of Ausable Valley, Inc., et al. and Sprint Communications Company, L.P.	To amend the agreement
*PSC-27-04-00008-P	exempt	Interconnection agreement between Verizon New York Inc. and various Verizon wireless affiliates	To amend the agreement
*PSC-27-04-00009-P	exempt	Interconnection agreement between Verizon New York Inc. and various Verizon wireless affiliates	To amend the agreement
*PSC-28-04-00006-P	exempt	Approval of loans by Dunkirk & Fredonia Telephone Company and Cassadaga Telephone Corporation	To authorize participation in the parent corporation's line of credit
*PSC-31-04-00023-P	exempt	Distributed generation service by Consolidated Edison Company of New York, Inc.	To provide an application form
*PSC-34-04-00031-P	exempt	Flat rate residential service by Emerald Green Lake Louise Marie Water Company, Inc.	To set appropriate level of permanent rates
*PSC-35-04-00017-P	exempt	Application form for distributed generation by Orange and Rockland Utilities, Inc.	To establish a new supplementary application form for customers
*PSC-43-04-00016-P	exempt	Accounts recievable by Rochester Gas and Electric Corporation	To include in its tariff provisions for the purchase of ESCO accounts recievable
*PSC-46-04-00012-P	exempt	Service application form by Consolidated Edison Company of New York, Inc.	To revise the form and make housekeeping changes
*PSC-46-04-00013-P	exempt	Rules and guidelines governing installation of metering equipment	To establish uniform statewide business practices
*PSC-02-05-00006-P	exempt	Violation of the July 22, 2004 order by Dutchess Estates Water Company, Inc.	To consider imposing remedial actions against the company and its owners, officers and directors
*PSC-09-05-00009-P	exempt	Submetering of natural gas service by Hamlet on Olde Oyster Bay	To consider submetering of natural gas to a commercial customer
*PSC-14-05-00006-P	exempt	Request for deferred accounting authorization by Freeport Electric Inc.	To defer expenses beyond the end of the fiscal year
*PSC-18-05-00009-P	exempt	Marketer Assignment Program by Consolidated Edison Company of New York, Inc.	To implement the program
*PSC-20-05-00028-P	exempt	Delivery point aggregation fee by Allied Frozen Storage, Inc.	To review the calculation of the fee

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Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE	COMMISSION		
*PSC-25-05-00011-P	exempt	Metering, balancing and cashout provisions by Central Hudson Gas & Electric Corporation	To establish provisions for gas customers taking service under Service Classification Nos. 8, 9 and 11
*PSC-27-05-00018-P	exempt	Annual reconciliation of gas costs by New York State Electric & Gas Corporation	To consider the manner in which the gas cost incentive mechanism has been applied
*PSC-41-05-00013-P	exempt	Annual reconciliation of gas expenses and gas cost recoveries by local distribution companies and municipalities	To consider the filings
*PSC-45-05-00011-P	exempt	Treatment of lost and unaccounted gas costs by Corning Natural Gas Corporation	To defer certain costs
*PSC-46-05-00015-P	exempt	Sale of real and personal property by the Brooklyn Union Gas Company d/b/a KeySpan Energy Delivery New York and Steel Arrow, LLC	To consider the sale
*PSC-47-05-00009-P	exempt	Transferral of gas supplies by Corning Natural Gas Corporation	To approve the transfer
*PSC-50-05-00008-P	exempt	Long-term debt by Saratoga Glen Hollow Water Supply Corp.	To obtain long-term debt
*PSC-04-06-00024-P	exempt	Transfer of ownership interests by Mirant NY-Gen LLC and Orange and Rockland Utilities, Inc.	To approve of the transfer
*PSC-06-06-00015-P	exempt	Gas curtailment policies and procedures	To examine the manner and extent to which gas curtailment policies and procedures should be modified and/or established
*PSC-07-06-00009-P	exempt	Modification of the current Environmental Disclosure Program	To include an attributes accounting system
*PSC-22-06-00019-P	exempt	Hourly pricing by National Grid	To assess the impacts
*PSC-22-06-00020-P	exempt	Hourly pricing by New York State Electric & Gas Corporation	To assess the impacts
*PSC-22-06-00021-P	exempt	Hourly pricing by Rochester Gas & Electric Corporation	To assess the impacts
*PSC-22-06-00022-P	exempt	Hourly pricing by Consolidated Edison Company of New York, Inc.	To assess the impacts
*PSC-22-06-00023-P	exempt	Hourly pricing by Orange and Rockland Utilities, Inc.	To assess the impacts
*PSC-24-06-00005-EP	exempt	Supplemental home energy assistance benefits	To extend the deadline to Central Hudson's low-income customers
*PSC-25-06-00017-P	exempt	Purchased power adjustment by Massena Electric Department	To revise the method of calculating the purchased power adjustment and update the factor of adjustment
*PSC-34-06-00009-P	exempt	Inter-carrier telephone service quality standards and metrics by the Carrier Working Group	To incorporate appropriate modifications

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Agency I.D. No.	Ехрігез	oubject Matter	1 dipose of Action	
PUBLIC SERVICE COMMISSION				
*PSC-37-06-00015-P	exempt	Procedures for estimation of customer bills by Rochester Gas and Electric Corporation	To consider estimation procedures	
*PSC-37-06-00017-P	exempt	Procedures for estimation of customer bills by Rochester Gas and Electric Corporation	To consider estimation procedures	
*PSC-43-06-00014-P	exempt	Electric delivery services by Strategic Power Management, Inc.	To determine the proper mechanism for the rate-recovery of costs	
*PSC-04-07-00012-P	exempt	Petition for rehearing by Orange and Rockland Utilities, Inc.	To clarify the order	
*PSC-06-07-00015-P	exempt	Meter reading and billing practices by Central Hudson Gas & Electric Corporation	To continue current meter reading and billing practices for electric service	
*PSC-06-07-00020-P	exempt	Meter reading and billing practices by Central Hudson Gas & Electric Corporation	To continue current meter reading and billing practices for gas service	
*PSC-11-07-00010-P	exempt	Investigation of the electric power outages by the Consolidated Edison Company of New York, Inc.	To implement the recommendations in the staff's investigation	
*PSC-11-07-00011-P	exempt	Storm-related power outages by Consolidated Edison Company of New York, Inc.	To modify the company's response to power outages, the timing for any such changes and other related matters	
*PSC-17-07-00008-P	exempt	Interconnection agreement between Verizon New York Inc. and BridgeCom International, Inc.	To amend the agreement	
*PSC-18-07-00010-P	exempt	Existing electric generating stations by Independent Power Producers of New York, Inc.	To repower and upgrade existing electric generating stations owned by Rochester Gas and Electric Corporation	
*PSC-20-07-00016-P	exempt	Tariff revisions and making rates permanent by New York State Electric & Gas Corporation	To seek rehearing	
*PSC-21-07-00007-P	exempt	Natural Gas Supply and Acquisition Plan by Corning Natural Gas Corporation	To revise the rates, charges, rules and regulations for gas service	
*PSC-22-07-00015-P	exempt	Demand Side Management Program by Consolidated Edison Company of New York, Inc.	To recover incremental program costs and lost revenue	
*PSC-23-07-00022-P	exempt	Supplier, transportation, balancing and aggregation service by National Fuel Gas Distribution Corporation	To explicitly state in the company's tariff that the threshold level of elective upstream transmission capacity is a maximum of 112,600 Dth/day of marketer-provided upstream capacity	
*PSC-24-07-00012-P	exempt	Gas Efficiency Program by the City of New York	To consider rehearing a decision establishing a Gas Efficiency Program	
*PSC-39-07-00017-P	exempt	Gas bill issuance charge by New York State Electric & Gas Corporation	To create a gas bill issuance charge unbundled from delivery rates	
*PSC-41-07-00009-P	exempt	Submetering of electricity rehearing	To seek reversal	
*PSC-42-07-00012-P	exempt	Energy efficiency program by Orange and Rockland Utilities, Inc.	To consider any energy efficiency program for Orange and Rockland Utilities, Inc.'s electric service	

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Agency I.D. No.	Expires	Subject Matter	Purpose of Action		
PUBLIC SERVICE COMMISSION					
*PSC-42-07-00013-P	exempt	Revenue decoupling by Orange and Rockland Utilities, Inc.	To consider a revenue decoupling mechanism for Orange and Rockland Utilities, Inc.		
*PSC-45-07-00005-P	exempt	Customer incentive programs by Orange and Rockland Utilities, Inc.	To establish a tariff provision		
*PSC-02-08-00006-P	exempt	Additional central office codes in the 315 area code region	To consider options for making additional codes		
*PSC-03-08-00006-P	exempt	Rehearing of the accounting determinations	To grant or deny a petition for rehearing of the accounting determinations		
*PSC-04-08-00010-P	exempt	Granting of easement rights on utility property by Central Hudson Gas & Electric Corporation	To grant easement rights to Millennium Pipeline Company, L.L.C.		
*PSC-04-08-00012-P	exempt	Marketing practices of energy service companies by the Consumer Protection Board and New York City Department of Consumer Affairs	To consider modifying the commission's regulation over marketing practices of energy service companies		
*PSC-08-08-00016-P	exempt	Transfer of ownership by Entergy Nuclear Fitzpatrick LLC, et al.	To consider the transfer		
*PSC-12-08-00019-P	exempt	Extend the provisions of the existing electric rate plan by Rochester Gas and Electric Corporation	To consider the request		
*PSC-12-08-00021-P	exempt	Extend the provisions of the existing gas rate plan by Rochester Gas and Electric Corporation	To consider the request		
*PSC-13-08-00011-P	exempt	Waiver of commission policy and NYSEG tariff by Turner Engineering, PC	To grant or deny Turner's petition		
*PSC-13-08-00012-P	exempt	Voltage drops by New York State Electric & Gas Corporation	To grant or deny the petition		
*PSC-23-08-00008-P	exempt	Petition requesting rehearing and clarification of the commission's April 25, 2008 order denying petition of public utility law project	To consider whether to grant or deny, in whole or in part, the May 7, 2008 Public Utility Law Project (PULP) petition for rehearing and clarification of the commission's April 25, 2008 order denying petition of Public Utility Law Project		
*PSC-25-08-00007-P	exempt	Policies and procedures regarding the selection of regulatory proposals to meet reliability needs	To establish policies and procedures regarding the selection of regulatory proposals to meet reliability needs		
*PSC-25-08-00008-P	exempt	Report on Callable Load Opportunities	Rider U report assessing callable load opportunities in New York City and Westchester County during the next 10 years		
*PSC-28-08-00004-P	exempt	Con Edison's procedure for providing customers access to their account information	To consider Con Edison's implementation plan and timetable for providing customers access to their account information		
*PSC-31-08-00025-P	exempt	Recovery of reasonable DRS costs from the cost mitigation reserve (CMR)	To authorize recovery of the DRS costs from the CMR		
*PSC-32-08-00009-P	exempt	The ESCO referral program for KEDNY to be implemented by October 1, 2008	To approve, reject or modify, in whole or in part, KEDNY's recommended ESCO referral program		

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
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PUBLIC SERVICE	COMMISSION		
*PSC-33-08-00008-P	exempt	Noble Allegany's request for lightened regulation	To consider Noble Allegany's request for lightened regulation as an electric corporation
*PSC-36-08-00019-P	exempt	Land Transfer in the Borough of Manhattan, New York	To consider petition for transfer of real property to NYPH
*PSC-39-08-00010-P	exempt	RG&E's economic development plan and tariffs	Consideration of the approval of RG&E's economic development plan and tariffs
*PSC-40-08-00010-P	exempt	Loans from regulated company to its parent	To determine if the cash management program resulting in loans to the parent should be approved
*PSC-41-08-00009-P	exempt	Transfer of control of cable TV franchise	To determine if the transfer of control of Margaretville's cable TV subsidiary should be approved
*PSC-43-08-00014-P	exempt	Annual Reconcilliation of Gas Expenses and Gas Cost Recoveries	The filings of various LDCs and municipalities regarding their Annual Reconciliation of Gas Expenses and Gas Cost Recoveries
*PSC-46-08-00008-P	exempt	Property transfer in the Village of Avon, New York	To consider a petition for the transfer of street lighting and attached equipment to the Village of Avon, New York
*PSC-46-08-00010-P	exempt	A transfer of indirect ownership interests in nuclear generation facilities	Consideration of approval of a transfer of indirect ownership interests in nuclear generation facilities
*PSC-46-08-00014-P	exempt	The attachment of cellular antennae to an electric transmission tower	To approve, reject or modify the request for permission to attach cellular antennae to an electric transmission tower
*PSC-48-08-00005-P	exempt	A National Grid high efficiency gas heating equipment rebate program	To expand eligibility to customers converting from oil to natural gas
*PSC-48-08-00008-P	exempt	Petition for the master metering and submetering of electricity	To consider the request of Bay City Metering, to master meter & submeter electricity at 345 E. 81st St., New York, New York
*PSC-48-08-00009-P	exempt	Petition for the submetering of electricity	To consider the request of PCV/ST to submeter electricity at Peter Cooper Village & Stuyvesant Town, New York, New York
*PSC-50-08-00018-P	exempt	Market Supply Charge	A study on the implementation of a revised Market Supply Charge
*PSC-51-08-00006-P	exempt	Commission's October 27, 2008 Order on Future of Retail Access Programs in Case 07-M-0458	To consider a Petition for rehearing of the Commission's October 27, 2008 Order in Case 07-M-0458
*PSC-51-08-00007-P	exempt	Commission's October 27, 2008 Order in Cases 98-M-1343, 07-M-1514 and 08-G-0078	To consider Petitions for rehearing of the Commission's October 27, 2008 Order in Cases 98-M-1343, 07-M-1514 and 08-G-0078
*PSC-53-08-00011-P	exempt	Use of deferred Rural Telephone Bank funds	To determine if the purchase of a softswitch by Hancock is an appropriate use of deferred Rural Telephone Bank funds
*PSC-53-08-00012-P	exempt	Transfer of permanent and temporary easements at 549-555 North Little Tor Road, New City, NY	Transfer of permanent and temporary easements at 549-555 North Little Tor Road, New City, NY

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Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE	COMMISSION		
*PSC-53-08-00013-P	exempt	To transfer common stock and ownership	To consider transfer of common stock and ownership
*PSC-01-09-00015-P	exempt	FCC decision to redefine service area of Citizens/Frontier	Review and consider FCC proposed redefinition of Citizens/Frontier service area
*PSC-02-09-00010-P	exempt	Competitive classification of independent local exchange company, and regulatory relief appropriate thereto	To determine if Chazy & Westport Telephone Corporation more appropriately belongs in scenario 1 rather than scenario 2
*PSC-05-09-00008-P	exempt	Revenue allocation, rate design, performance metrics, and other non-revenue requirement issues	To consider any remaining non-revenue requirement issues related to the Company's May 9, 2008 tariff filing
*PSC-05-09-00009-P	exempt	Numerous decisions involving the steam system including cost allocation, energy efficiency and capital projects	To consider the long term impacts on steam rates and on public policy of various options concerning the steam system
*PSC-06-09-00007-P	exempt	Interconnection of the networks between Frontier Comm. and WVT Communications for local exchange service and exchange access	To review the terms and conditions of the negotiated agreement between Frontier Comm. and WVT Comm.
*PSC-07-09-00015-P	exempt	Transfer certain utility assets located in the Town of Montgomery from plant held for future use to non-utility property	To consider the request to transfer certain utility assets located in the Town of Montgomery to non-utility assets
*PSC-07-09-00017-P	exempt	Request for authorization to defer the incremental costs incurred in the restoration work resulting from the ice storm	To allow the company to defer the incremental costs incurred in the restoration work resulting from the ice storm
*PSC-07-09-00018-P	exempt	Whether to permit the submetering of natural gas service to an industrial and commercial customer at Cooper Union, New York, NY	To consider the request of Cooper Union, to submeter natural gas at 41 Cooper Square, New York, New York
*PSC-12-09-00010-P	exempt	Charges for commodity	To charge customers for commodity costs
*PSC-12-09-00012-P	exempt	Charges for commodity	To charge customers for commodity costs
*PSC-13-09-00008-P	exempt	Options for making additional central office codes available in the 718/347 numbering plan area	To consider options for making additional central office codes available in the 718/347 numbering plan area
*PSC-14-09-00014-P	exempt	The regulation of revenue requirements for municipal utilities by the Public Service Commission	To determine whether the regulation of revenue requirements for municipal utilities should be modified
*PSC-16-09-00010-P	exempt	Petition for the submetering of electricity	To consider the request of AMPS on behalf of Park Imperial to submeter electricity at 230 W. 56th Street, in New York, New York
*PSC-16-09-00020-P	exempt	Whether SUNY's core accounts should be exempt from the mandatory assignment of local distribution company (LDC) capacity	Whether SUNY's core accounts should be exempt from the mandatory assignment of local distribution company (LDC) capacity
*PSC-17-09-00010-P	exempt	Whether to permit the use of Elster REX2 solid state electric meter for use in residential and commerical accounts	To permit electric utilities in New York State to use the Elster REX2
*PSC-17-09-00011-P	exempt	Whether Brooklyn Navy Yard Cogeneration Partners, L.P. should be reimbursed by Con Edison for past and future use taxes	Whether Brooklyn Navy Yard Cogeneration Partners, L.P. should be reimbursed by Con Edison for past and future use taxes

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Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE	COMMISSION		
*PSC-17-09-00012-P	exempt	Petition for the submetering of gas at commercial property	To consider the request of Turner Construction, to submeter natural gas at 550 Short Ave., & 10 South St., Governors Island, NY
*PSC-17-09-00014-P	exempt	Benefit-cost framework for evaluating AMI programs prepared by the DPS Staff	To consider a benefit-cost framework for evaluating AMI programs prepared by the DPS Staff
*PSC-17-09-00015-P	exempt	The construction of a tower for wireless antennas on land owned by National Grid	To approve, reject or modify the petition to build a tower for wireless antennas in the Town of Onondaga
*PSC-18-09-00012-P	exempt	Petition for rehearing of Order approving the submetering of electricity	To consider the request of Frank Signore to rehear petition to submeter electricity at One City Place in White Plains, New York
*PSC-18-09-00013-P	exempt	Petition for the submetering of electricity	To consider the request of Living Opportunities of DePaul to submeter electricity at E. Main St. located in Batavia, New York
*PSC-18-09-00017-P	exempt	Approval of an arrangement for attachment of wireless antennas to the utility's transmission facilities in the City of Yonkers	To approve, reject or modify the petition for the existing wireless antenna attachment to the utility's transmission tower
*PSC-20-09-00016-P	exempt	The recovery of, and accounting for, costs associated with the Companies' advanced metering infrastructure (AMI) pilots etc	To consider a filing of the Companies as to the recovery of, and accounting for, costs associated with it's AMI pilots etc
*PSC-20-09-00017-P	exempt	The recovery of, and accounting for, costs associated with CHG&E's AMI pilot program	To consider a filing of CHG&E as to the recovery of, and accounting for, costs associated with it's AMI pilot program
*PSC-22-09-00011-P	exempt	Cost allocation for Consolidated Edison's East River Repowering Project	To determine whether any changes are warranted in the cost allocation of Consolidated Edison's East River Repowering Project
*PSC-25-09-00005-P	exempt	Whether to grant, deny, or modify, in whole or in part, the petition	Whether to grant, deny, or modify, in whole or in part, the petition
*PSC-25-09-00006-P	exempt	Electric utility implementation plans for proposed web based SIR application process and project status database	To determine if the proposed web based SIR systems are adequate and meet requirements needed for implementation
*PSC-25-09-00007-P	exempt	Electric rates for Consolidated Edison Company of New York, Inc	Consider a Petition for Rehearing filed by Consolidated Edison Company of New York, Inc
*PSC-27-09-00011-P	exempt	Interconnection of the networks between Vernon and tw telecom of new york I.p. for local exchange service and exchange access.	To review the terms and conditions of the negotiated agreement between Vernon and tw telecom of new york l.p.
*PSC-27-09-00014-P	exempt	Billing and payment for energy efficiency measures through utility bill	To promote energy conservation
*PSC-27-09-00015-P	exempt	Interconnection of the networks between Oriskany and tw telecom of new york l.p. for local exchange service and exchange access	To review the terms and conditions of the negotiated agreement between Oriskany and tw telecom of new york l.p
*PSC-29-09-00011-P	exempt	Consideration of utility compliance filings	Consideration of utility compliance filings

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE	COMMISSION		
*PSC-32-09-00009-P	exempt	Cost allocation for Consolidated Edison's East River Repowering Project	To determine whether any changes are warranted in the cost allocation of Consolidated Edison's East River Repowering Project
*PSC-34-09-00016-P	exempt	Recommendations made in the Management Audit Final Report	To consider whether to take action or recommendations contained in the Management Audit Final Report
*PSC-34-09-00017-P	exempt	To consider the transfer of control of Plattsburgh Cablevision, Inc. d/b/a Charter Communications to CH Communications, LLC	To allow the Plattsburgh Cablevision, Inc. to distribute its equity interest in CH Communications, LLC
*PSC-36-09-00008-P	exempt	The increase in the non-bypassable charge implemented by RG&E on June 1, 2009	Considering exemptions from the increase in the non-bypassable charge implemented by RG&E on June 1, 2009
*PSC-37-09-00015-P	exempt	Sale of customer-generated steam to the Con Edison steam system	To establish a mechanism for sale of customer- generated steam to the Con Edison steam system
*PSC-37-09-00016-P	exempt	Applicability of electronic signatures to Deferred Payment Agreements	To determine whether electronic signatures can be accepted for Deferred Payment Agreements
*PSC-39-09-00015-P	exempt	Modifications to the \$5 Bill Credit Program	Consideration of petition of National Grid to modify the Low Income \$5 Bill Credit Program
*PSC-39-09-00018-P	exempt	The offset of deferral balances with Positive Benefit Adjustments	To consider a petition to offset deferral balances with Positive Benefit Adjustments
*PSC-40-09-00013-P	exempt	Uniform System of Accounts - request for deferral and amortization of costs	To consider a petition to defer and amortize costs
*PSC-51-09-00029-P	exempt	Rules and guidelines for the exchange of retail access data between jurisdictional utilities and eligible ESCOs	To revise the uniform Electronic Data Interchange Standards and business practices to incorporate a contest period
*PSC-51-09-00030-P	exempt	Waiver or modification of Capital Expenditure condition of merger	To allow the companies to expend less funds for capital improvement than required by the merger
*PSC-52-09-00006-P	exempt	ACE's petition for rehearing for an order regarding generator-specific energy deliverability study methodology	To consider whether to change the Order Prescribing Study Methodology
*PSC-52-09-00008-P	exempt	Approval for the New York Independent System Operator, Inc. to incur indebtedness and borrow up to \$50,000,000	To finance the renovation and construction of the New York Independent System Operator, Inc.'s power control center facilities
*PSC-05-10-00008-P	exempt	Petition for the submetering of electricity	To consider the request of University Residences - Rochester, LLC to submeter electricity at 220 John Street, Henrietta, NY
*PSC-05-10-00015-P	exempt	Petition for the submetering of electricity	To consider the request of 243 West End Avenue Owners Corp. to submeter electricity at 243 West End Avenue, New York, NY
*PSC-06-10-00022-P	exempt	The Commission's Order of December 17, 2009 related to redevelopment of Consolidated Edison's Hudson Avenue generating facility	To reconsider the Commission's Order of December 17, 2009 related to redevelopment of the Hudson Avenue generating facility

Agency I.D. No. **Expires** Subject Matter Purpose of Action **PUBLIC SERVICE COMMISSION** \*PSC-07-10-00009-P Petition to revise the Uniform Business To consider the RESA petition to allow . . . . . . . . . . . . exempt **Practices** rescission of a customer request to return to full utility service \*PSC-08-10-00007-P Whether to grant, deny, or modify, in whole Whether to grant, deny, or modify, in whole or .... exempt or in part, the rehearing petition filed in Case in part, the rehearing petition filed in Case 06-E-0847 06-E-0847 Consolidated Edison of New York, Inc. energy \*PSC-08-10-00009-P ..... exempt To modify approved energy efficiency programs efficiency programs \*PSC-12-10-00015-P .... exempt Recommendations made by Staff intended to To require that Con Edison implement the Staff enhance the safety of Con Edison's gas recommendations intended to enhance the safety of Con Edison's gas operations operations \*PSC-14-10-00010-P .... exempt Petition for the submetering of electricity To consider the request of 61 Jane Street Owners Corporation to submeter Electricity at 61 Jane Street, Manhattan, NY Adopt additional mobile stray voltage testing \*PSC-16-10-00005-P . . . . . . . . . . . . exempt To consider adopting and expanding mobile stray voltage testing requirements requirements Interconnection of the networks between TDS To review the terms and conditions of the \*PSC-16-10-00007-P ..... exempt Telecom and PAETEC Communications for negotiated agreement between TDS Telecom local exchange service and exchange access and PAETEC Communications \*PSC-16-10-00015-P Interconnection of the networks between To review the terms and conditions of the .... exempt Frontier and Choice One Communications for negotiated agreement between Frontier and local exchange service and exchange access Choice One Communications \*PSC-18-10-00009-P ..... exempt Electric utility transmission right-of-way To consider electric utility transmission right-ofmanagement practices way management practices \*PSC-19-10-00022-P ..... exempt Whether National Grid should be permitted to To decide whether to approve National Grid's request to transfer a parcel of vacant property transfer a parcel of property located at 1 Eddy in Fort Edward, New York Street, Fort Edward, New York Requirement that Noble demonstrate that its Consider requiring that Noble demonstrate that \*PSC-22-10-00006-P ..... exempt affiliated electric corporations operating in its affiliated electric corporations in New York New York are providing safe service are providing safe service To consider the request of 48-52 Franklin \*PSC-22-10-00008-P ..... exempt Petition for the submetering of electricity Street to submeter electricity at 50 Franklin Street, New York, New York \*PSC-24-10-00009-P ..... exempt Verizon New York Inc. tariff regulations To remove tariff regulations relating to retail voice messaging service from Verizon New relating to voice messaging service York Inc.'s tariff Consideration of petition to reassign the 2-1-1 \*PSC-25-10-00012-P ..... exempt Reassignment of the 2-1-1 abbreviated dialing abbreviated dialing code code \*PSC-27-10-00016-P ..... exempt Petition for the submetering of electricity To consider the request of 9271 Group, LLC to submeter electricity at 960 Busti Avenue, Buffalo, New York The modification of Central Hudson Gas & \*PSC-34-10-00003-P The modification of Central Hudson Gas & .... exempt Electric Corporation's Enhanced Powerful Electric Corporation's Enhanced Powerful Opportunities Program Opportunities Program

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE	COMMISSION		
*PSC-34-10-00005-P	exempt	Approval of a contract for \$250,000 in tank repairs that may be a financing	To decide whether to approve a contract between the parties that may be a financing of \$250,000 for tank repairs
*PSC-34-10-00006-P	exempt	The modification of Central Hudson Gas & Electric Corporation's Enhanced Powerful Opportunities Program	The modification of Central Hudson Gas & Electric Corporation's Enhanced Powerful Opportunities Program
*PSC-36-10-00010-P	exempt	Central Hudson's procedures, terms and conditions for an economic development plan	Consideration of Central Hudson's procedures, terms and conditions for an economic development plan
*PSC-40-10-00014-P	exempt	Disposition of a state sales tax refund	To determine how much of a state sales tax refund should be retained by National Grid
*PSC-40-10-00021-P	exempt	Whether to permit the submetering of natural gas service to a commercial customer at Quaker Crossing Mall	To permit the submetering of natural gas service to a commercial customer at Quaker Crossing Mall
*PSC-41-10-00018-P	exempt	Amount of hourly interval data provided to Hourly Pricing customers who have not installed a phone line to read meter	Allow Central Hudson to provide less than a years worth of interval data and charge for manual meter reading for some customers
*PSC-41-10-00022-P	exempt	Request for waiver of the individual living unit metering requirements at 5742 Route 5, Vernon, NY	Request for waiver of the individual living unit metering requirements at 5742 Route 5, Vernon, NY
*PSC-42-10-00011-P	exempt	Petition for the submetering of electricity	To consider the request of 4858 Group, LLC to submeter electricity at 456 Main Street, Buffalo New York
*PSC-43-10-00016-P	exempt	Utility Access to Ducts, Conduit Facilities and Utility Poles	To review the complaint from Optical Communications Group
*PSC-44-10-00003-P	exempt	Third and fourth stage gas rate increase by Corning Natural Gas Corporation	To consider Corning Natural Gas Corporation's request for a third and fourth stage gas rate increase
*PSC-51-10-00018-P	exempt	Commission proceeding concerning three- phase electric service by all major electric utilities	Investigate the consistency of the tariff provisions for three-phase electric service for all major electric utilities
*PSC-11-11-00003-P	exempt	The proposed transfer of 55.42 acres of land and \$1.4 million of revenues derived from the rendition of public service	The proposed transfer of 55.42 acres of land and \$1.4 million of revenues derived from the rendition of public service
*PSC-13-11-00005-P	exempt	Exclude the minimum monthly bill component from the earnings test calculation	Exclude the minimum monthly bill component from the earnings test calculation
*PSC-14-11-00009-P	exempt	Petition for the submetering of electricity	To consider the request of 83-30 118th Street to submeter electricity at 83-30 118th Street, Kew Gardens, New York
*PSC-19-11-00007-P	exempt	Utility price reporting requirements related to the Commission's "Power to Choose" website	Modify the Commission's utility electric commodity price reporting requirements related to the "Power to Choose" website
*PSC-20-11-00012-P	exempt	Petition for the submetering of electricity	To consider the request of KMW Group LLC to submeter electricity at 122 West Street, Brooklyn, New York

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Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE	COMMISSION		
*PSC-20-11-00013-P	exempt	Determining the reasonableness of Niagara Mohawk Power Corporation d/b/a National Grid 's make ready charges	To determine if the make ready charges of Niagara Mohawk Power Corporation d/b/a National Grid are reasonable
*PSC-22-11-00004-P	exempt	Whether to permit the use of the Sensus accWAVE for use in residential gas meter applications	To permit gas utilities in New York State to use the Sensus accWAVE diaphragm gas meter
*PSC-26-11-00007-P	exempt	Water rates and charges	To approve an increase in annual revenues by about \$25,266 or 50%
*PSC-26-11-00009-P	exempt	Petition for the submetering of electricity at commercial property	To consider the request of by Hoosick River Hardwoods, LLC to submeter electricity at 28 Taylor Avenue, in Berlin, New York
*PSC-26-11-00012-P	exempt	Waiver of generation retirement notice requirements	Consideration of waiver of generation retirement notice requirements
*PSC-29-11-00011-P	exempt	Petition requesting the Commssion reconsider its May 19, 2011 Order and conduct a hearing, and petition to stay said Order.	To consider whether to grant or deny, in whole or in part, Windstream New York's Petition For Reconsideration and Rehearing.
*PSC-35-11-00011-P	exempt	Whether to permit Consolidated Edison a waiver to commission regulations Part 226.8	Permit Consolidated Edison to conduct a inspection program in lieu of testing the accuracy of Category C meters
*PSC-36-11-00006-P	exempt	To consider expanding mobile stray voltage testing requirements	Adopt additional mobile stray voltage testing requirements
*PSC-38-11-00002-P	exempt	Operation and maintenance procedures pertaining to steam trap caps	Adopt modified steam operation and maintenance procedures
*PSC-38-11-00003-P	exempt	Waiver of certain provisions of the electric service tariffs of Con Edison	Consideration of waiver of certain provisions of the electric service tariffs of Con Edison
*PSC-40-11-00010-P	exempt	Participation of regulated local exchange carriers in the New York Data Exchange, Inc. (NYDE)	Whether to partially modify its order requiring regulated local exchange carriers' participation NYDE
*PSC-40-11-00012-P	exempt	Granting of transfer of plant in-service to a regulatory asset	To approve transfer and recovery of unamortized plant investment
*PSC-42-11-00018-P	exempt	Availability of telecommunications services in New York State at just and reasonable rates	Providing funding support to help ensure availability of affordable telecommunications service throughout New York
*PSC-43-11-00012-P	exempt	Transfer of outstanding shares of stock	Transfer the issued outstanding shares of stock of The Meadows at Hyde Park Water-Works Corporation to HPWS, LLC
*PSC-47-11-00007-P	exempt	Remedying miscalculations of delivered gas as between two customer classes	Consideration of Con Edison's proposal to address inter-class delivery imbalances resulting from past Company miscalculations
*PSC-48-11-00007-P	exempt	Transfer of controlling interests in generation facilities from Dynegy to PSEG	Consideration of the transfer of controlling interests in electric generation facilities from Dynegy to PSEG
*PSC-48-11-00008-P	exempt	Petition for the submetering of electricity	To consider the request of To Better Days, LLC to submeter electricity at 37 East 4th Street, New York, New York

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE	COMMISSION		
*PSC-01-12-00007-P	exempt	The New York State Reliability Council's revisions to its rules and measurements	To adopt revisions to various rules and measurements of the New York State Reliability Council
*PSC-01-12-00008-P	exempt	Transfer of real property and easements from NMPNS to NMP3	Consideration of the transfer of real property and easements from NMPNS to NMP3
*PSC-01-12-00009-P	exempt	Recovery of expenses related to the expansion of Con Edison's ESCO referral program, PowerMove	To determine how and to what extent expenses related to the Expansion of Con Edison's ESCO referral program should be recovered
*PSC-11-12-00002-P	exempt	Whether to grant, deny or modify, in whole or part, Hegeman's petition for a waiver of Commission policy and Con Edison tariff	Whether to grant, deny or modify, in whole or part, Hegeman's petition for a waiver of Commission policy and Con Edison tariff
*PSC-11-12-00005-P	exempt	Transfer of land and water supply assets	Transfer the land and associated water supply assets of Groman Shores, LLC to Robert Groman
*PSC-13-12-00005-P	exempt	Authorization to transfer certain real property	To decide whether to approve the transfer of certain real property
*PSC-19-12-00023-P	exempt	Petition for approval pursuant to Section 70 for the sale of goods with an original cost of less than \$100,000	To consider whether to grant, deny or modify, in whole or in part, the petition filed by Orange and Rockland Utilities, Inc.
*PSC-21-12-00006-P	exempt	Tariff filing requirements and refunds	To determine if certain agreements should be filed pursuant to the Public Service Law and if refunds are warranted
*PSC-21-12-00011-P	exempt	Whether to grant, deny or modify, in whole or part, the petition for waiver of tariff Rules 8.6 and 47	Whether to grant, deny or modify, in whole or part, the petition for waiver of tariff Rules 8.6 and 47
*PSC-23-12-00007-P	exempt	The approval of a financing upon a transfer to Alliance of upstream ownership interests in a generation facility	To consider the approval of a financing upon a transfer to Alliance of upstream ownership interests in a generation facility
*PSC-23-12-00009-P	exempt	Over earnings sharing between rate payers and shareholders	To establish an Earnings Sharing Mechanism to be applied following the conclusion of Corning's rate plan
*PSC-27-12-00012-P	exempt	Implementation of recommendations made in a Management Audit Report	To consider implementation of recommendations made in a Management Audit Report
*PSC-28-12-00013-P	exempt	Exemption of reliability reporting statistics for the purpose of the 2012 Reliability Performance Mechanism	Consideration of Orange and Rockland Utilities request for exemption of the 2012 reliability reporting statistics
*PSC-29-12-00019-P	exempt	Waiver of 16 NYCRR 894.1 through 894.4	To allow the Town of Hamden to waive certain preliminary franchising procedures to expedite the franchising process.
*PSC-30-12-00010-P	exempt	Waiver of 16 NYCRR 894.1 through 894.4	To allow the Town of Andes to waive certain preliminary franchising procedures to expedite the franchising process
*PSC-33-12-00009-P	exempt	Telecommunications companies ability to attach to utility company poles	Consideration of Tech Valley's ability to attach to Central Hudson poles

Agency I.D. No. **Expires** Subject Matter Purpose of Action **PUBLIC SERVICE COMMISSION** \*PSC-37-12-00009-P Proposed modification by Con Edison of its Proposed modification by Con Edison of its . . . . . . . . . . . . exempt procedures to calculate estimated bills to its procedures to calculate estimated bills to its customers customers Regulation of Gipsy Trail Club, Inc.'s long-To exempt Gipsy Trail Club, Inc. from \*PSC-42-12-00009-P .... exempt term financing agreements Commission regulation of its financing agreements Whether to grant, deny or modify, in whole or Whether to grant, deny or modify, in whole or \*PSC-45-12-00008-P ..... exempt part, ESHG's petition for a waiver of part, ESHG's petition for a waiver of Commission policy and RG&E tariff Commission policy and RG&E tariff \*PSC-45-12-00010-P Whether to grant, deny or modify, in whole or Whether to grant, deny or modify, in whole or .... exempt in part the petition of Con Edison to grant in part the petition of Con Edison to grant easements to Millwood Fire District easements to Millwood Fire District Affiliate standards for Corning Natural Gas To resolve issues raised by Corning Natural \*PSC-50-12-00003-P exempt . . . . . . . . . . . . Corporation Gas Corporation in its petition for rehearing Expansion of mandatory day ahead hourly To consider the expansion of mandatory day \*PSC-04-13-00006-P . . . . . . . . . . . . exempt pricing for customers of Orange and Rockland ahead hourly pricing for customers with Utilities with demands above 100 kW demands above 100 kW \*PSC-04-13-00007-P Authorization to transfer certain real property. To decide whether to approve the transfer of ..... exempt certain real property. \*PSC-06-13-00008-P Verizon New York Inc.'s retail service quality To investigate Verizon New York Inc.'s retail .... exempt service quality Filing requirements for certain Article VII To ensure that applications for certain electric \*PSC-08-13-00012-P ..... exempt transmission facilities contain pertinent electric facilities information \*PSC-08-13-00014-P ..... exempt Uniform System of Accounts - Request for To allow the company to defer an item of Accounting Authorization expense or capital beyond the end of the year in which it was incurred \*PSC-12-13-00007-P To allow the company to require certain ..... exempt Protecting company water mains customers to make changes to the electrical grounding system at their homes The potential waiver of 16 NYCRR To determine whether a waiver of the timely \*PSC-13-13-00008-P .... exempt 255.9221(d) completion of integrity completion of certain gas transmission line assessments for certain gas transmission integrity assessments should be granted. lines Whether Demand Energy Networks energy Whether Demand Energy Networks energy \*PSC-18-13-00007-P ..... exempt storage systems should be designated storage systems should be designated technologies for standby rate eligibility technologies for standby rate eligibility purposes purposes \*PSC-21-13-00003-P To consider policies that may impact To consider and further develop policies that ..... exempt consumer acceptance and use of electric may impact consumer acceptance and use of electric vehicles vehicles \*PSC-21-13-00005-P To implement an abandonment of Windover's To approve the implementation of .... exempt abandonment of Windover's water system water system \*PSC-21-13-00008-P Rates of National Fuel Gas Distribution To make the rates of National Fuel Gas .... exempt Distribution Corporation temporary, subject to Corporation refund, if they are found to be excessive

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Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE	COMMISSION		
*PSC-21-13-00009-P	exempt	Reporting requirements for natural gas local distribution companies	To help ensure efficient and economic expansion of the natural gas system as appropriate
*PSC-22-13-00009-P	exempt	On remand from New York State court litigation, determine the recovery of certain deferred amounts owed NFG by ratepayers	On remand, to determine the recovery of certain deferral amounts owed NFG from ratepayers
*PSC-23-13-00005-P	exempt	Waiver of partial payment, directory database distribution, service quality reporting, and service termination regulations	Equalize regulatory treatment based on level of competition and practical considerations
*PSC-25-13-00008-P	exempt	To deny, grant or modify, in whole or in part, Central Hudson's rehearing request.	To deny, grant or modify, in whole or in part, Central Hudson's rehearing request.
*PSC-25-13-00009-P	exempt	Provision by utilities of natural gas main and service lines.	To help ensure efficient and economic expansion of the natural gas system as appropriate.
*PSC-25-13-00012-P	exempt	To deny, grant or modify, in whole or in part, Central Hudson's rehearing request.	To deny, grant or modify, in whole or in part, Central Hudson's rehearing request.
*PSC-27-13-00014-P	exempt	Columbia Gas Transmission Corporation Cost Refund	For approval for temporary waiver of tariff provisions regarding its Columbia Gas Transmission Corporation cost refund.
*PSC-28-13-00014-P	exempt	Provision for the recovery and allocation of costs of transmission projects that reduce congestion on certain interfaces	To consider the recovery and allocation of costs of transmission projects that reduce congestion on certain interfaces
*PSC-28-13-00016-P	exempt	The request of NGT for lightened regulation as a gas corporation.	To consider whether to approve, reject, or modify the request of Niagara gas transport of Lockport, NY LLC.
*PSC-28-13-00017-P	exempt	The request by TE for waiver of regulations requiring that natural gas be odorized in certain gathering line segments	Consider the request by TE for waiver of regulations that gas be odorized in certain lines
*PSC-32-13-00009-P	exempt	To consider the definition of "misleading or deceptive conduct" in the Commission's Uniform Business Practices	To consider the definition of "misleading or deceptive conduct" in the Commission's Uniform Business Practices
*PSC-32-13-00012-P	exempt	To consider whether NYSEG should be required to undertake actions to protect its name and to minimize customer confusion	To consider whether NYSEG should be required to undertake actions to protect its name and to minimize customer confusion
*PSC-33-13-00027-P	exempt	Waive underground facility requirements for new construction in residential subdivisions to allow for overhead electric lines.	Determine whether Chapin Lumberland, LLC subdivision will be allowed overhead electric distribution and service lines.
*PSC-33-13-00029-P	exempt	Deferral of incremental costs associated with the restoration of steam service following Superstorm Sandy.	To consider a petition by Con Edison to defer certain incremental steam system restoration costs relating to Superstorm Sandy.
*PSC-34-13-00004-P	exempt	Escrow account and surcharge to fund extraordinary repairs	To approve the establishment of an escrow account and surcharge
*PSC-42-13-00013-P	exempt	Failure to Provide Escrow Information	The closure of the Escrow Account
*PSC-42-13-00015-P	exempt	Failure to Provide Escrow Information	The closure of the Escrow Account

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Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE	COMMISSION		
*PSC-43-13-00015-P	exempt	Petition for submetering of electricity	To consider the request of 2701 Kingsbridge Terrace L.P. to submeter electricity at 2701 Kingsbridge Terrace, Bronx, N.Y.
*PSC-45-13-00021-P	exempt	Investigation into effect of bifurcation of gas and electric utility service on Long Island.	To consider a Petition for an investigation into effect of bifurcation of gas and electric utility service on Long Island.
*PSC-45-13-00022-P	exempt	Waiver of PSC regulations, 16 NYCRR section 88.4(a)(4)	To consider a waiver of certain regulations relating to the content of an application for transmission line siting
*PSC-45-13-00023-P	exempt	Waiver of PSC regulations, 16 NYCRR section 88.4(a)(4).	To consider a waiver of certain regulations relating to the content of an application for transmission line siting
*PSC-45-13-00024-P	exempt	Waiver of PSC regulations, 16 NYCRR section 88.4(a)(4); waiver of filing deadlines.	To consider a waiver of certain regulations relating to the content of an application for transmission line siting
*PSC-45-13-00025-P	exempt	Waiver of PSC regulations, 16 NYCRR section 88.4(a)(4).	To consider a waiver of certain regulations relating to the content of an application for transmission line siting
*PSC-47-13-00009-P	exempt	Petition for submetering of electricity.	To consider the request of Hegeman Avenue Housing L.P. to submeter electricity at 39 Hegeman Avenue, Brooklyn, N.Y.
*PSC-47-13-00012-P	exempt	Conditioning,restricting or prohibiting the purchase of services by NYSEG and RG&E from certain affiliates.	Consideration of conditioning,restricting or prohibiting the purchase of services by NYSEG and RG&E from certain affiliates.
*PSC-49-13-00008-P	exempt	Authorization to transfer all of Crystal Water Supply Company, Inc. stocks to Essel Infra West Inc.	To allow Crystal Water Supply Company, Inc to transfer all of its issued and outstanding stocks to Essel Infra West Inc.
*PSC-51-13-00009-P	exempt	Consolidated Edison proposing to use data from a test period ending September 30, 2013 to support its next rate filing.	To ensure there is a reasonable basis for data submitted in support of a request for a change in rates.
*PSC-51-13-00010-P	exempt	Consolidated Edison proposing to use data from a test period ending September 30, 2013 to support its next rate filing.	To ensure there is a reasonable basis for data submitted in support of a request for a change in rates.
*PSC-51-13-00011-P	exempt	Consolidated Edison proposing to use data from a test period ending September 30, 2013 to support its next rate filing.	To ensure there is a reasonable basis for data submitted in support of a request for a change in rates.
*PSC-52-13-00012-P	exempt	The development of reliability contingency plan(s) to address the potential retirement of Indian Point Energy Center (IPEC).	To address the petition for rehearing and reconsideration/motion for clarification of the IPEC reliability contingency plan(s).
*PSC-52-13-00015-P	exempt	To enter into a loan agreement with the banks for up to an amount of \$94,000.	To consider allowing Knolls Water Company to enter into a long-term loan agreement.
*PSC-05-14-00010-P	exempt	The New York State Reliability Council's revisions to its rules and measurements	To adopt revisions to various rules and measurements of the New York State Reliability Council
*PSC-07-14-00008-P	exempt	Petition for submetering of electricity	To consider the request of Greater Centennial Homes HDFC, Inc. to submeter electricity at 102, 103 and 106 W 5th Street, et al.

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Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE	COMMISSION		
*PSC-07-14-00012-P	exempt	Water rates and charges	Implementation of Long-Term Water Supply Surcharge to recover costs associated with the Haverstraw Water Supply Project
*PSC-08-14-00015-P	exempt	Verizon New York Inc.'s service quality and Customer Trouble Report Rate (CTRR) levels at certain central office entities	To improve Verizon New York Inc.'s service quality andthe Customer Trouble Report Rate levels at certain central office entities
*PSC-10-14-00006-P	exempt	Actions to facilitate the availability of ESCO value-added offerings, ESCO eligibility and ESCO compliance	To facilitate ESCO value-added offerings and to make changes to ESCO eligibility and to ensure ESCO compliance
*PSC-11-14-00003-P	exempt	Provision for the recovery and allocation of costs of transmission projects that reduce congestion on certain interfaces	To consider the recovery and allocation of costs of transmission projects that reduce congestion on certain interfaces
*PSC-16-14-00014-P	exempt	Whether to order NYSEG to provide gas service to customers when an expanded CPCN is approved and impose PSL 25-a penalties.	To order gas service to customers in the Town of Plattsburgh after approval of a town wide CPCN and to impose penalties.
*PSC-16-14-00015-P	exempt	Whether Central Hudson should be permitted to defer obligations of the Order issued on October 18, 2013 in Case 13-G-0336.	Consideration of the petition by Central Hudson to defer reporting obligations of the October 18, 2013 Order in Case 13-G-0336
*PSC-17-14-00003-P	exempt	Con Edison's Report on its 2013 performance under the Electric Service Reliability Performance Mechanism	Con Edison's Report on its 2013 performance under the Electric Service Reliability Performance Mechanism
*PSC-17-14-00004-P	exempt	To consider certain portions of petitions for rehearing, reconsideration and/or clarification	To consider certain portions of petitions for rehearing, reconsideration and/or clarification
*PSC-17-14-00007-P	exempt	To consider petitions for rehearing, reconsideration and/or clarification	To consider petitions for rehearing, reconsideration and/or clarification
*PSC-17-14-00008-P	exempt	To consider certain portions of petitions for rehearing, reconsideration and/or clarification	To consider certain portions of petitions for rehearing, reconsideration and/or clarification
*PSC-19-14-00014-P	exempt	Market Supply Charge	To make tariff revisions to the Market Supply Charge for capacity related costs
*PSC-19-14-00015-P	exempt	Whether to permit the use of the Sensus accuWAVE for use in residential and commercial gas meter applications	To permit gas utilities in New York State to use the Sensus accuWAVE 415TC gas meter
*PSC-22-14-00013-P	exempt	Petition to transfer and merge systems, franchises and assets.	To consider the Comcast and Time Warner Cable merger and transfer of systems, franchises and assets.
*PSC-23-14-00010-P	exempt	Whether to permit the use of the GE Dresser Series B3-HPC 11M-1480 rotary gas met for use in industrial gas meter applications	To permit gas utilities in New York State to use the GE Dresser Series B3-HPC 11M-1480 rotary gas meter
*PSC-23-14-00014-P	exempt	Waiver of the negative revenue adjustment associated with KEDLI's 2013 Customer Satisfaction Performance Metric	Consideration of KEDLI's waiver request pertaining to its 2013 performance under its Customer Satisfaction Metric
*PSC-24-14-00005-P	exempt	To examine LDC's performance and performance measures.	To improve gas safety performance.
*PSC-26-14-00013-P	exempt	Waiver of RG&E's tariffed definition of emergency generator.	To consider waiver of RG&E's tariffed definition of emergency generator.

Purpose of Action

**Expires** 

Agency I.D. No. **PUBLIC SERVICE COMMISSION** \*PSC-26-14-00020-P New electric utility backup service tariffs and To encourage development of microgrids that ..... exempt standards for interconnection may be enhance the efficiency, safety, reliability and adopted. resiliency of the electric grid. Consumer protections, standards and To balance the need for the information \*PSC-26-14-00021-P .... exempt protocols pertaining to access to customer necessary to support a robust market with data may be established. customer privacy concerns. Petition to transfer systems, franchises and To consider the Comcast and Charter transfer \*PSC-28-14-00014-P ..... exempt of systems, franchise and assets. Pursuant to 16 NYCRR Part 500.3, it is \*PSC-30-14-00023-P .... exempt Whether to permit the use of the Sensus iPERL Fire Flow Meter. necessary to permit the use of the Sensus iPERL Fire Flow Meter. \*PSC-30-14-00026-P ..... exempt Petition for a waiver to master meter Considering the request of Renaissance Corporation of to master meter electricity at electricity. 100 Union Drive, Albany, NY. To transfer 100% of the issued and To transfer 100% of the issued and outstanding \*PSC-31-14-00004-P . . . . . . . . . . . . exempt outstanding stock from Vincent Cross to stock from Vincent Cross to Bonnie and Bonnie and Michael Cross Michael Cross \*PSC-32-14-00012-P ..... exempt Whether to grant or deny, in whole or in part, To consider the Connect New York Coalition's the Connect New York Coalition's petition petition seeking a formal investigation and hearings Regulation of a proposed electricity To consider regulation of a proposed electricity \*PSC-35-14-00004-P ..... exempt generation facility located in the Town of generation facility located in the Town of Brookhaven, NY Brookhaven, NY Whether to permit the use of the Sensus Pursuant to 16 NYCRR Parts 92 and 93, \*PSC-35-14-00005-P ..... exempt Commission approval is necessary to permit iConA electric meter the use of the Sensus iConA electric meter Modification to the Commission's Electric To consider revisions to the Commission's \*PSC-36-14-00009-P ..... exempt Safety Standards. Electric Safety Standards. \*PSC-38-14-00003-P ..... exempt Whether to approve, reject or modify, in whole Whether to approve, reject or modify, in whole or in part a time-sensitive rate pilot program. or in part a time-sensitive rate pilot program. The study and petition of Con Edison The study and petition of Con Edison regarding \*PSC-38-14-00004-P ..... exempt use, accounting and ratemaking treatment for regarding use, accounting and ratemaking treatment for 11-23 and 2-28 Hudson Ave. 11-23 and 2-28 Hudson Ave. Brooklyn. Brooklyn. Action on the report and petition of Con Action on the report and petition of Con Edison \*PSC-38-14-00005-P ..... exempt Edison regarding the Storm Hardening and regarding the Storm Hardening and Resiliency Resiliency Collaborative, Phase 2. Collaborative, Phase 2. Whether to expand Con Edison's low income Whether to expand Con Edison's low income \*PSC-38-14-00007-P ..... exempt program to include Medicaid recipients. program to include Medicaid recipients. \*PSC-38-14-00008-P The study and petition of Con Edison The study and petition of Con Edison regarding ..... exempt regarding use, accounting and ratemaking use, accounting and ratemaking treatment for treatment for 11-23 and 2-28 Hudson Ave. 11-23 and 2-28 Hudson Ave. Brooklyn. Brooklyn. \*PSC-38-14-00010-P Inter-carrier telephone service quality ..... exempt To review recommendations from the Carrier standard and metrics and administrative Working Group and incorporate appropriate modifications to the existing Guidelines. changes.

Subject Matter

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Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE	COMMISSION		
*PSC-38-14-00012-P	exempt	Action on the report and petition of Con Edison regarding the Storm Hardening and Resiliency Collaborative, Phase 2.	Action on the report and petition of Con Edison regarding the Storm Hardening and Resiliency Collaborative, Phase 2.
*PSC-39-14-00020-P	exempt	Whether to permit the use of the Mueller Systems 400 Series and 500 Series of water meters	Pursuant to 16 NYCRR section 500.3, whether to permit the use of the Mueller Systems 400, and 500 Series of water meters
*PSC-40-14-00008-P	exempt	To consider granting authorization for Buy Energy Direct to resume marketing to residential customers.	To consider granting authorization for Buy Energy Direct to resume marketing to residential customers.
*PSC-40-14-00009-P	exempt	Whether to permit the use of the Itron Open Way Centron Meter with Hardware 3.1 for AMR and AMI functionality.	Pursuant to 16 NYCRR Parts 93, is necessary to permit the use of the Itron Open Way Centron Meter with Hardware 3.1.
*PSC-40-14-00011-P	exempt	Late Payment Charge.	To modify Section 7.6 - Late Payment Charge to designate a specific time for when a late payment charge is due.
*PSC-40-14-00013-P	exempt	Regulation of a proposed natural gas pipeline and related facilities located in the Town of Ticonderoga, NY.	To consider regulation of a proposed natural gas pipeline and related facilities located in the Town of Ticonderoga, NY.
*PSC-40-14-00014-P	exempt	Waiver of 16 NYCRR Sections 894.1 through 894.4(b)(2)	To allow the Town of Goshen, NY, to waive certain preliminary franchising procedures to expedite the franchising process.
*PSC-40-14-00015-P	exempt	Late Payment Charge.	To modify Section 6.6 - Late Payment Charge to designate a specific time for when a late payment charge is due.
*PSC-42-14-00003-P	exempt	Annual Reconciliation of Gas Expenses and Gas Cost Recoveries	The filings of various LDCs and municipalities regarding their Annual Reconciliation of Gas Expenses and Gas Cost Recoveries
*PSC-42-14-00004-P	exempt	Winter Bundled Sales Service Option	To modify SC-11 to remove language relating to fixed storage charges in the determination of the Winter Bundled Sales charge
*PSC-48-14-00014-P	exempt	Considering the recommendations contained in Staff's electric outage investigation report for MNRR, New Haven Line.	To consider the recommendations contained in Staff's electric outage investigation report for MNRR, New Haven Line.
*PSC-52-14-00019-P	exempt	Petition for a waiver to master meter electricity.	Considering the request of 614 South Crouse Avenue, LLC to master meter electricity at 614 South Crouse Avenue, Syracuse, NY
*PSC-01-15-00014-P	exempt	State Universal Service Fund Disbursements	To consider Edwards Telephone Company's request for State Universal Service Fund disbursements
*PSC-08-15-00010-P	exempt	Request pertaining to the lawfulness of National Grid USA continuing its summary billing program.	To grant, deny, or modify URAC Rate Consultants' request that National Grid cease its summary billing program.
*PSC-10-15-00007-P	exempt	Notification concerning tax refunds	To consider Verizon New York Inc.'s partial rehearing or reconsideration request regarding retention of property tax refunds

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Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE	COMMISSION		
*PSC-10-15-00008-P	exempt	Whether to waive Policy on Test Periods in Major Rate Proceedings and provide authority to file tariff changes	Whether to waive Policy on Test Periods in Major Rate Proceedings and provide authority to file tariff changes
*PSC-13-15-00024-P	exempt	Whether Leatherstocking should be permitted to recover a shortfall in earnings	To decide whether to approve Leatherstocking's request to recover a shortfall in earnings
*PSC-13-15-00026-P	exempt	Whether to permit the use of the Sensus Smart Point Gas AMR/AMI product	To permit the use of the Sensus Smart Point Gas AMR/AMI product
*PSC-13-15-00027-P	exempt	Whether to permit the use of the Measurlogic DTS 310 electric submeter	To permit the use of the Measurlogic DTS 310 submeter
*PSC-13-15-00028-P	exempt	Whether to permit the use of the SATEC EM920 electric meter	To permit necessary to permit the use of the SATEC EM920 electric meter
*PSC-13-15-00029-P	exempt	Whether to permit the use the Triacta Power Technologies 6103, 6112, 6303, and 6312 electric submeters	To permit the use of the Triacta submeters
*PSC-17-15-00007-P	exempt	To consider the petition of Leatherstocking Gas Company, LLC seeking authority to issue long-term debt of \$2.75 million	To consider the petition of Leatherstocking Gas Company, LLC seeking authority to issue long-term debt of \$2.75 million
*PSC-18-15-00005-P	exempt	Con Edison's Report on its 2014 performance under the Electric Service Reliability Performance Mechanism	Con Edison's Report on its 2014 performance under the Electric Service Reliability Performance Mechanism
*PSC-19-15-00011-P	exempt	Gas Safety Performance Measures and associated negative revenue adjustments	To update the performance measures applicable to KeySpan Gas East Corporation d/b/a National Grid
*PSC-22-15-00015-P	exempt	To consider the request for waiver of the individual residential unit meter requirements and 16 NYCRR 96.1(a)	To consider the request for waiver of the individual residential unit meter requirements and 16 NYCRR 96.1(a)
*PSC-23-15-00005-P	exempt	The modification of New York American Water's current rate plan	Whether to adopt the terms of the Joint Proposal submitted by NYAW and DPS Staff
*PSC-23-15-00006-P	exempt	The modification of New York American Water's current rate plan	Whether to adopt the terms of the Joint Proposal submitted by NYAW and DPS Staff
*PSC-25-15-00008-P	exempt	Notice of Intent to Submeter electricity.	To consider the request of 165 E 66 Residences, LLC to submeter electricity at 165 East 66th Street, New York, New York.
*PSC-29-15-00025-P	exempt	Joint Petition for authority to transfer real property located at 624 West 132nd Street, New York, NY	Whether to authorize the proposed transfer of real property located at 624 West 132nd Street, New York, NY
*PSC-32-15-00006-P	exempt	Development of a Community Solar Demonstration Project.	To approve the development of a Community Solar Demonstration Project.
*PSC-33-15-00009-P	exempt	Remote net metering of a demonstration community net metering program.	To consider approval of remote net metering of a demonstration community net metering program.
*PSC-33-15-00012-P	exempt	Remote net metering of a Community Solar Demonstration Project.	To consider approval of remote net metering of a Community Solar Demonstration Project.

Agency I.D. No.	Expires	Subject Matter	Purpose of Action			
PUBLIC SERVICE	PUBLIC SERVICE COMMISSION					
*PSC-34-15-00021-P	exempt	Petition by NYCOM requesting assistance with obtaining information on CLECs and ESCOs	To consider the petition by NYCOM requesting assistance with obtaining information on CLECs and ESCOs			
*PSC-35-15-00014-P	exempt	Consideration of consequences against Light Power & Gas, LLC for violations of the UBP	To consider consequences against Light Power & Gas, LLC for violations of the UBP			
*PSC-37-15-00007-P	exempt	Submetered electricity	To consider the request of 89 Murray Street Ass. LLC, for clarification of the submetering order issued December 20, 2007			
*PSC-40-15-00014-P	exempt	Whether to permit the use of the Open Way 3.5 with cellular communications	To consider the use of the Open Way 3.5 electric meter, pursuant to 16 NYCRR Parts 92 and 93			
*PSC-42-15-00006-P	exempt	Deferral of incremental expenses associated with NERC's new Bulk Electric System (BES) compliance requirements approved by FERC.	Consideration of Central Hudson's request to defer incremental expenses associated with new BES compliance requirements.			
*PSC-44-15-00028-P	exempt	Deferral of incremental expenses associated with new compliance requirements	Consideration of Central Hudson's request to defer incremental expenses associated with new compliance requirements			
*PSC-47-15-00013-P	exempt	Whitepaper on Implementing Lightened Ratemaking Regulation.	Consider Whitepaper on Implementing Lightened Ratemaking Regulation.			
*PSC-48-15-00011-P	exempt	Proposal to retire Huntley Units 67 and 68 on March 1, 2016.	Consider the proposed retirement of Huntley Units 67 and 68.			
*PSC-50-15-00006-P	exempt	The reduction of rates.	To consider the reduction of rates charged by Independent Water Works, Inc.			
*PSC-50-15-00009-P	exempt	Notice of Intent to submeter electricity.	To consider the request to submeter electricity at 31-33 Lincoln Road and 510 Flatbush Avenue, Brooklyn, New York.			
*PSC-51-15-00010-P	exempt	Modification of the EDP	To consider modifying the EDP			
*PSC-01-16-00005-P	exempt	Proposed amendment to Section 5, Attachment 1.A of the Uniform Business Practices	To consider amendment to Section 5, Attachment 1.A of the Uniform Business Practices			
*PSC-04-16-00007-P	exempt	Whether Hamilton Municipal Utilities should be permitted to construct and operate a municipal gas distribution facility.	Consideration of the petition by Hamilton Municipal Utilities to construct and operate a municipal gas distribution facility.			
*PSC-04-16-00012-P	exempt	Proposal to mothball three gas turbines located at the Astoria Gas Turbine Generating Station.	Consider the proposed mothball of three gas turbines located at the Astoria Gas Turbine Generating Station.			
*PSC-04-16-00013-P	exempt	Proposal to find that three gas turbines located at the Astoria Gas Turbine Generating Station are uneconomic.	Consider whether three gas turbines located at the Astoria Gas Turbine Generating Station are uneconomic.			
*PSC-06-16-00013-P	exempt	Continued deferral of approximately \$16,000,000 in site investigation and remediation costs.	To consider the continued deferral of approximately \$16,000,000 in site investigation and remediation costs.			
*PSC-06-16-00014-P	exempt	MEGA's proposed demonstration CCA program.	To consider MEGA's proposed demonstration CCA program.			

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE	COMMISSION		
*PSC-14-16-00008-P	exempt	Resetting retail markets for ESCO mass market customers.	To ensure consumer protections with respect to residential and small non-residential ESCO customers.
*PSC-18-16-00013-P	exempt	Amendments to the Uniform Business Practices of ESCOs.	To ensure consumer protection for ESCO customers.
*PSC-18-16-00014-P	exempt	Amendments to the Uniform Business Practices of ESCOs.	To ensure consumer protection for ESCO customers.
*PSC-18-16-00015-P	exempt	Petitions for rehearing of the Order Resetting Retail Energy Markets and Establishing Further Process.	To ensure consumer protections for ESCO customers.
*PSC-18-16-00016-P	exempt	Amendments to the Uniform Business Practices of ESCOs.	To ensure consumer protection for ESCO customers.
*PSC-18-16-00018-P	exempt	Amendments to the Uniform Business Practices of ESCOs.	To ensure consumer protection for ESCO customers.
*PSC-20-16-00008-P	exempt	Consideration of consequences against Global Energy Group, LLC for violations of the Uniform Business Practices (UBP).	To consider consequences against Global Energy Group, LLC for violations of the Uniform Business Practices (UBP).
*PSC-20-16-00010-P	exempt	Deferral and recovery of incremental expense.	To consider deferring costs of conducting leak survey and repairs for subsequent recovery.
*PSC-20-16-00011-P	exempt	Enetics LD-1120 Non-Intrusive Load Monitoring Device in the Statewide Residential Appliance Metering Study.	To consider the use of the Enetics LD-1120 Non-Intrusive Load Monitoring Device.
*PSC-24-16-00009-P	exempt	Petition to submeter gas service.	To consider the Petition of New York City Economic Development Corp. to submeter gas at Pier 17, 89 South Street, New York, NY.
*PSC-25-16-00009-P	exempt	To delay Companies' third-party assessments of customer personally identifiable information until 2018.	To extend the time period between the Companies' third-party assessments of customer personally identifiable information.
*PSC-25-16-00025-P	exempt	Acquisition of all water supply assets of Woodbury Heights Estates Water Co., Inc. by the Village of Kiryas Joel.	To consider acquisition of all water supply assets of Woodbury Heights Estates Water Co., Inc. by the Village of Kiryas Joel.
*PSC-25-16-00026-P	exempt	Use of the Badger E Series Ultrasonic Cold Water Stainless Steel Meter, in residential fire service applications.	To consider the use of the Badger E Series Ultrasonic Cold Water Stainless Steel Meter in fire service applications.
*PSC-28-16-00017-P	exempt	A petition for rehearing of the Order Adopting a Ratemaking and Utility Revenue Model Policy Framework.	To determine appropriate rules for and calculation of the distributed generation reliability credit.
*PSC-29-16-00024-P	exempt	Participation of NYPA customers in surcharge-funded clean energy programs.	To consider participation of NYPA customers in surcharge-funded clean energy programs.
*PSC-32-16-00012-P	exempt	Benefit-Cost Analysis Handbooks.	To evaluate proposed methodologies of benefit- cost evaluation.
*PSC-33-16-00001-EP	exempt	Use of escrow funds for repairs.	To authorize the use of escrow account funds for repairs.

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE	COMMISSION		
*PSC-33-16-00005-P	exempt	Exemption from certain charges for delivery of electricity to its Niagara Falls, New York facility.	Application of System Benefits Charges, Renewable Portfolio Standard charges and Clean Energy Fund surcharges.
*PSC-35-16-00015-P	exempt	NYSRC's revisions to its rules and measurements	To consider revisions to various rules and measurements of the NYSRC
*PSC-36-16-00004-P	exempt	Recovery of costs for installation of electric service.	To consider the recovery of costs for installation of electric service.
*PSC-40-16-00025-P	exempt	Consequences pursuant to the Commission's Uniform Business Practices (UBP).	To consider whether to impose consequences on Smart One for its apparent non-compliance with Commission requirements.
*PSC-47-16-00009-P	exempt	Petition to use commercial electric meters	To consider the petition of Itron, Inc. to use the Itron CP2SO and CP2SOA in commercial electric meter applications
*PSC-47-16-00010-P	exempt	Standby Service rate design	To consider the report filed and the recommendations therein
*PSC-47-16-00013-P	exempt	Standby Service rate design	To consider the report filed and the recommendations therein
*PSC-47-16-00014-P	exempt	Standby Service rate design	To consider the report filed and the recommendations therein
*PSC-47-16-00016-P	exempt	Standby Service rate design	To consider the report filed and the recommendations therein
*PSC-02-17-00010-P	exempt	Implementation of the four EAMs.	To consider the implementation of EAMs for RG&E.
*PSC-02-17-00012-P	exempt	Implementation of the four EAMs.	To consider the implementation of EAMs for NYSEG.
*PSC-14-17-00017-P	exempt	Petition for Full-Scale Deployment of AMI and to Establish an AMI Surcharge.	To consider the petition for Full-Scale Deployment of AMI and to Establish an AMI Surcharge.
*PSC-18-17-00024-P	exempt	A petition for rehearing or reconsideration of the Order Addressing Public Policy Transmission Need for AC Transmission Upgrades	To determine whether Public Policy Transmission Need/Public Policy Requirements continue to exist.
*PSC-18-17-00026-P	exempt	Revisions to the Dynamic Load Management surcharge.	To consider revisions to the Dynamic Load Management surcharge.
*PSC-19-17-00004-P	exempt	NYAW's request to defer and amortize, for future rate recognition, pension settlement payout losses incurred in 2016.	Consideration of NYAW's petition to defer and amortize, for future rate recognition, pension payour losses incurred in 2016.
*PSC-20-17-00008-P	exempt	Compressed natural gas as a motor fuel for diesel fueled vehicles.	To consider a report filed by National Grid NY regarding the potential for adoption of compressed natural gas as a motor fuel.
*PSC-20-17-00010-P	exempt	Compressed natural gas as a motor fuel for diesel fueled vehicles.	To consider a report filed by National Grid regarding the potential for adoption of compressed natural gas as a motor fuel.

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Agency I.D. No.	Expires	Subject Matter	Purpose of Action	
PUBLIC SERVICE	COMMISSION			
*PSC-21-17-00013-P	exempt	The establishment and implementation of Earnings Adjustment Mechanisms.	To consider the establishment and implementation of Earnings Adjustment Mechanisms.	
*PSC-21-17-00018-P	exempt	Proposed agreement for the provision of water service by Saratoga Water Services, Inc.	To consider a waiver and approval of terms of a service agreement.	
*PSC-22-17-00004-P	exempt	Financial incentives to create customer savings and develop market-enabling tools, with a focus on outcomes and incentives	To consider the proposed Interconnection Survey Process and Earnings Adjustment Mechanisms	
*PSC-24-17-00006-P	exempt	Development of the Utility Energy Registry.	Improved data access.	
*PSC-26-17-00005-P	exempt	Notice of Intent to submeter electricity.	To consider the Notice of Intent to submeter electricity at 125 Waverly Street, Yonkers, New York.	
*PSC-34-17-00011-P	exempt	Waiver to permit Energy Cooperative of America to serve low-income customers	To consider the petition for a waiver	
*PSC-37-17-00005-P	exempt	Financial incentives to create customer savings and develop market-enabling tools, with a focus on outcomes and incentives.	To consider the revised Interconnection Survey Process and Earnings Adjustment Mechanisms.	
*PSC-37-17-00006-P	exempt	Petition to submeter electricity.	To consider the petition of ACC OP (Park Point SU) LLC to submeter electricity at 417 Comstock Avenue, Syracuse, New York.	
*PSC-39-17-00011-P	exempt	Whether to direct New York State Electric & Gas to complete electric facility upgrades at no charge to Hanehan.	To determine financial responsibility between NYSEG and Hanehan for the electric service upgrades to Hanehan.	
*PSC-40-17-00006-P	exempt	The aggregation of electric service for the Empire State Plaza and the Sheridan Avenue Steam Plant	To consider a waiver of National Grid's tariff provision requiring all electric delivery points to be on the same premises	
*PSC-42-17-00010-P	exempt	Petition for rehearing of negative revenue adjustment and contents of annual Performance Report.	To consider NFGD's petition for rehearing.	
*PSC-48-17-00015-P	exempt	Low Income customer options for affordable water bills.	To consider the Low Income Bill Discount and/or Energy Efficiency Rebate Programs.	
*PSC-50-17-00017-P	exempt	New Wave Energy Corp.'s petition for rehearing.	To consider the petition for rehearing filed by New Wave Energy Corp.	
*PSC-50-17-00018-P	exempt	Application of the Public Service Law to DER suppliers.	To determine the appropriate regulatory framework for DER suppliers.	
*PSC-50-17-00019-P	exempt	Transfer of utility property.	To consider the transfer of utility property.	
*PSC-50-17-00021-P	exempt	Disposition of tax refunds and other related matters.	To consider the disposition of tax refunds and other related matters.	
*PSC-50-17-00022-P	exempt	Data protection rules for DER suppliers.	To determine the appropriate regulatory framework for DER suppliers.	
*PSC-51-17-00011-P	exempt	Petition for recovery of certain costs related to the implementation of a Non-Wires Alternative Project.	To consider Con Edison's petition for the recovery of costs for implementing the JFK Project.	

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Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE	COMMISSION		
*PSC-04-18-00005-P	exempt	Notice of intent to submeter electricity.	To consider the notice of intent of Montante/ Morgan Gates Circle LLC to submeter electricity.
*PSC-05-18-00004-P	exempt	Lexington Power's ZEC compliance obligation.	To promote and maintain renewable and zero- emission electric energy resources.
*PSC-06-18-00012-P	exempt	To consider further proposed amendments to the original criteria to grandfathering established in the Transition Plan	To modify grandfathering criteria
*PSC-06-18-00017-P	exempt	Merger of NYAW and Whitlock Farms Water Corp.	To consider the merger of NYAW and Whitlock Farms Water Company into a single corporate entity
*PSC-07-18-00015-P	exempt	The accuracy and reasonableness of National Grid's billing for certain interconnection upgrades.	To consider AEC's petition requesting resolution of their billing dispute with National Grid.
*PSC-11-18-00004-P	exempt	New York State Lifeline Program.	To consider TracFone's petition seeking approval to participate in Lifeline.
*PSC-13-18-00015-P	exempt	Eligibility of an ESCO to market to and enroll residential customers.	To consider whether Astral should be allowed to market to and enroll residential customers following a suspension.
*PSC-13-18-00023-P	exempt	Reconciliation of property taxes.	To consider NYAW's request to reconcile property taxes.
*PSC-14-18-00006-P	exempt	Petition for abandonment	To consider the abandonment of Willsboro Bay Water Company's water system
*PSC-17-18-00010-P	exempt	Petition for use of gas metering equipment.	To ensure that consumer bills are based on accurate measurements of gas usage.
*PSC-18-18-00009-P	exempt	Transfer of control of Keene Valley Video Inc.	To ensure performance in accordance with applicable cable laws, regulations and standards and the public interest
*PSC-23-18-00006-P	exempt	Whether to impose consequences on Aspirity for its non-compliance with Commission requirements.	To ensure the provision of safe and adequate energy service at just and reasonable rates.
*PSC-24-18-00013-P	exempt	Implementation of program rules for Renewable Energy Standard and ZEC requirements.	To promote and maintain renewable and zero- emission electric energy resources.
*PSC-28-18-00011-P	exempt	Storm Hardening Collaborative Report.	To ensure safe and adequate gas service.
*PSC-29-18-00008-P	exempt	Participation in Targeted Accessibility Fund	To encourage enhanced services for low-income consumers
*PSC-29-18-00009-P	exempt	Overvaluing real property tax expense recovery in water rates	To prevent unjust and unreasonable water rates
*PSC-34-18-00011-P	exempt	Compensation of distributed energy resources.	To ensure just and reasonable rates, including compensation, for distributed energy resources.
*PSC-34-18-00015-P	exempt	Petition to submeter electricity.	To ensure adequate submetering equipment and energy efficiency protections are in place.

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Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE	COMMISSION		
*PSC-34-18-00016-P	exempt	Deferral of pre-staging and mobilization storm costs.	To ensure just and reasonable rates for ratepayers and utility recovery of unexpected, prudently incurred costs.
*PSC-35-18-00003-P	exempt	Con Edison's 2018 DSIP and BCA Handbook Update.	To continue Con Edison's transition to a modern utility serving as a Distributed System Platform Provider.
*PSC-35-18-00005-P	exempt	NYSEG and RG&E's 2018 DSIP and BCA Handbook Update.	To continue NYSEG and RG&E's transition to modern utilities acting as Distributed System Platform Providers.
*PSC-35-18-00006-P	exempt	National Grid's 2018 DSIP and BCA Handbook Update.	To continue National Grid's transition to a modern utility serving as a Distributed System Platform Provider.
*PSC-35-18-00008-P	exempt	Central Hudson's 2018 DSIP and BCA Handbook Update.	To continue Central Hudson's transition to a modern utility serving as a Distributed System Platform Provider.
*PSC-35-18-00010-P	exempt	O&R's 2018 DSIP and BCA Handbook Update.	To continue O&R's transition to a modern utility acting as a Distributed System Platform Provider.
*PSC-39-18-00005-P	exempt	Participation in New York State Lifeline Program.	To encourage enhanced services for low-income customers.
*PSC-40-18-00014-P	exempt	Annual Reconciliation of Gas Expenses and Gas Cost Recoveries.	To review the gas utilities' reconciliation of Gas Expenses and Gas Cost Recoveries for 2018.
*PSC-42-18-00011-P	exempt	Voluntary residential beneficial electrification rate design.	To provide efficient rate design for beneficial technologies in New York State that is equitable for all residential customers.
*PSC-42-18-00013-P	exempt	Petition for clarification and rehearing of the Smart Solutions Program Order.	To address the increased demand for natural gas in the Con Edison's service territory and the limited pipeline capacity.
*PSC-44-18-00016-P	exempt	Petition for approval of gas metering equipment.	To ensure that customer bills are based on accurate measurements of gas usage.
*PSC-45-18-00004-P	exempt	Proposed transfer of two natural gas pipeline operating companies, and for lightened and incidental regulation	To consider transfer if there is no market powe or ratepayer harm, incidental regulation, and continuing lightened regulation
*PSC-45-18-00005-P	exempt	Notice of intent to submeter electricity and waiver of energy audit	To ensure adequate submetering equipment, consumer protections and energy efficiency protections are in place
*PSC-47-18-00008-P	exempt	Proposed Public Policy Transmission Needs/ Public Policy Requirements, as defined under the NYISO tariff.	To identify any proposed Public Policy Transmission Needs/Public Policy Requirements for referral to the NYISO.
*PSC-01-19-00004-P	exempt	Advanced Metering Infrastructure.	To determine whether Niagara Mohawk Power Corporation d/b/a National Grid should implement advanced metering infrastructure.
*PSC-01-19-00013-P	exempt	Order of the Commission related to caller ID unblocking.	To require telephone companies to unblock caller ID on calls placed to the 311 municipal call center in Suffolk County.

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE	COMMISSION		
*PSC-01-19-00014-P	exempt	To modify provisions for accepting new or additional gas service applications when there is inadequate supply or capacity.	To continue to provide safe and reliable service to existing customers.
*PSC-01-19-00015-P	exempt	To modify provisions for accepting new or additional gas service applications when there is inadequate supply or capacity.	To continue to provide safe and reliable service to existing customers.
*PSC-01-19-00016-P	exempt	To modify provisions for accepting new or additional gas service applications when there is inadequate supply or capacity.	To continue to provide safe and reliable service to existing customers.
*PSC-02-19-00014-P	exempt	Petition for use of electric metering equipment.	To ensure that consumer bills are based on accurate measurements of electric usage.
*PSC-03-19-00002-P	exempt	DPS Staff White Paper for who must be trained in 16 NYCRR Part 753 requirements and how the Commission will approve trainings.	To reduce damage to underground utility facilities by requiring certain training and approving training curricula.
*PSC-04-19-00004-P	exempt	Con Edison's petition for the Gas Innovation Program and associated budget.	To pursue programs that continue service reliability and meet customer energy needs while aiding greenhouse gas reduction goals.
*PSC-04-19-00011-P	exempt	Update of revenue targets.	To ensure NYAW's rates are just and reasonable and accurately reflect the needed revenues.
PSC-06-19-00005-P	exempt	Consideration of the Joint Utilities' proposed BDP Program.	To to expand opportunities for low-income households to participate in Community Distributed Generation (CDG) projects.
PSC-07-19-00009-P	exempt	Whether to impose consequences on AAA for its non-compliance with Commission requirements.	To insure the provision of safe and adequate energy service at just and reasonable rates.
PSC-07-19-00016-P	exempt	Participation in New York State Lifeline Program.	To encourage enhanced services for low-income customers.
PSC-09-19-00009-P	exempt	Amendments to the tariff of Con Edison pertaining to interruptible gas service customers.	To consider the appropriate tariff provisions for Con Edison interruptible gas service customers.
PSC-09-19-00010-P	exempt	Non-pipeline alternatives report recommendations.	To consider the terms and conditions applicable to gas service.
PSC-10-19-00006-P	exempt	Notice of intent to submeter electricity.	To ensure adequate submetering equipment and consumer protections are in place.
PSC-12-19-00004-P	exempt	To test innovative pricing proposals on an optout basis.	To provide pricing structures that deliver benefits to customers and promote beneficial electrification technologies.
PSC-13-19-00010-P	exempt	New Commission requirements for gas company operator qualification programs.	To make pipelines safer with improved training of workers who perform construction and repairs on natural gas facilities.
PSC-13-19-00012-P	exempt	Paperless billing credit.	To provide just and reasonable rates.

Agency I.D. No.  PUBLIC SERVICE PSC-16-19-00007-P	Expires  COMMISSION exempt	Subject Matter  Recommencement of the levelization surcharge, changes to the System	Purpose of Action  To address the issues in NYAW's petition dated
		surcharge, changes to the System	
PSC-16-19-00007-P	exempt	surcharge, changes to the System	
		Improvement Charge, and a one-year stay-out.	February 25, 2019.
PSC-18-19-00013-P	exempt	Notice of intent to submeter electricity.	To ensure adequate submetering equipment and consumer protections are in place.
PSC-19-19-00013-P	exempt	Proposed merger of three water utilities into one corporation.	To determine if the proposed merger is in the public interest.
PSC-19-19-00014-P	exempt	Establishment of the regulatory regime applicable to an approximately 124 MW electric generating facility.	Consideration of a lightened regulatory regime for an approximately 124 MW electric generating facility.
PSC-19-19-00016-P	exempt	Establishment of the regulatory regime applicable to an approximately 242 MW electric generating facility.	Consideration of a lightened regulatory regime for an approximately 242 MW electric generating facility.
PSC-20-19-00008-P	exempt	Reporting on energy sources	To ensure accurate reporting and encourage clean energy purchases
PSC-20-19-00010-P	exempt	Compensation policies for certain CHP projects	To consider appropriate rules for compensation of certain CHP resources
PSC-20-19-00015-P	exempt	Establishment of the regulatory regime applicable to an approximately 105.8 MW electric generating facility	Consideration of a lightened regulatory regime for an approximately 105.8 MW electric generating facility
PSC-22-19-00013-P	exempt	Notice of intent to submeter electricity.	To ensure adequate submetering equipment and consumer protections are in place.
PSC-23-19-00005-P	exempt	Proposed major rate increase in SWNY's annual base revenues of approximately \$31.5 million (or 19.8% in total revenues).	To ensure safe and adequate service at just and reasonable rates charged to customers without undue preferences.
PSC-30-19-00007-P	exempt	Use of electric metering equipment.	To ensure that consumer bills are based on accurate measurements of electric usage.
PSC-31-19-00011-P	exempt	Electric metering equipment.	To ensure that consumer bills are based on accurate measurements of electric usage.
PSC-31-19-00013-P	exempt	Implementation of Statewide Energy Benchmarking.	To ensure safe and adequate service at just and reasonable rates charged to customers without undue preferences.
PSC-31-19-00014-P	exempt	Consideration of NYAW's Interim Implementation Plan.	To ensure NYAW improves its service to ratepayers.
PSC-31-19-00015-P	exempt	Proposed major rate increase in KEDNY's gas delivery revenues by \$236.8 million (13.6% increase in total revenues).	To ensure safe and adequate service at just and reasonable rates charged to customers without undue preferences.
PSC-31-19-00016-P	exempt	Proposed major rate increase in KEDLI's gas delivery revenues of approximately \$49.4 million (or 4.1% in total revenues).	To ensure safe and adequate service at just and reasonable rates charged to customers without undue preferences.
PSC-32-19-00008-P	exempt	Compensation of distributed energy resources	To ensure just and reasonable rates, including compensation, for distributed energy resources

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
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PUBLIC SERVICE	COMMISSION		
PSC-32-19-00009-P	exempt	Petition to submeter electricity and waiver request	To ensure adequate submetering equipment, consumer protections and energy efficiency protections are in place
PSC-32-19-00010-P	exempt	Notice of intent to submeter electricity	To ensure adequate submetering equipment and consumer protections are in place
PSC-32-19-00012-P	exempt	Standby Service Rates and Buyback Service Rates	To ensure just and reasonable rates, including compensation, for distributed energy resources
PSC-32-19-00013-P	exempt	Disposition of tax refunds received by New York American Water Company, Inc.	To determine the disposition of tax refunds and other related matters
PSC-33-19-00014-P	exempt	Electric metering equipment.	To ensure that consumer bills are based on accurate measurements of electric usage.
PSC-34-19-00015-P	exempt	Major electric rate filing.	To consider a proposed increase in RG&E's electric delivery revenues of approximately \$31.7 million (or 4.1% in total revenues).
PSC-34-19-00016-P	exempt	Major gas rate filing.	To consider a proposed increase in RG&E's gas delivery revenues of approximately \$5.8 million (or 1.4% in total revenues).
PSC-34-19-00017-P	exempt	Notice of intent to submeter electricity.	To ensure adequate submetering equipment and consumer protections are in place.
PSC-34-19-00018-P	exempt	Major electric rate filing.	To consider a proposed increase in NYSEG's electric delivery revenues of approximately \$156.7 million (10.4% in total revenues).
PSC-34-19-00020-P	exempt	Major gas rate filing.	To consider a proposed increase in NYSEG's gas delivery revenues of approximately \$6.3 million (or 1.4% in total revenues).
PSC-36-19-00009-P	exempt	Minor rate filing to increase annual electric revenues.	To ensure safe and adequate service at just and reasonable rates charged to customers without undue preferences.
PSC-36-19-00010-P	exempt	Notice of intent to submeter electricity and waiver of energy audit.	To ensure adequate submetering equipment, consumer protections and energy efficiency protections are in place.
PSC-36-19-00011-P	exempt	Minor electric rate filing to increase annual electric revenues.	To ensure safe and adequate service at just and reasonable rates charged to customers without undue preferences.
PSC-37-19-00004-P	exempt	Proposed transfer of Hopewell's assets to the Town and dissolution of the company.	To determine if transfer of the water system to the Town of East Fishkill is in the public interest.
PSC-38-19-00002-P	exempt	Petition to submeter electricity	To ensure adequate submetering equipment and consumer protections are in place
PSC-39-19-00013-P	exempt	Proposed revisions to Consolidated Edison's Commercial Demand Response Programs.	To consider appropriate rules regarding Commercial Demand Response Programs.
PSC-39-19-00014-P	exempt	Annual Reconciliation of Gas Expenses and Gas Cost Recoveries.	To review the gas utilities' reconciliation of Gas Expenses and Gas Cost Recoveries for the period ending August 31, 2019.

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE	COMMISSION		
PSC-39-19-00017-P	exempt	Notice of intent to submeter electricity.	To ensure adequate submetering equipment and consumer protections are in place.
PSC-39-19-00018-P	exempt	Petition to submeter electricity.	To ensure adequate submetering equipment and consumer protections are in place.
PSC-39-19-00019-P	exempt	Petition for the use of gas metering equipment.	To ensure that consumer bills are based on accurate measurements of gas usage.
PSC-39-19-00020-P	exempt	Initial Tariff Schedule, P.S.C. No. 1 - Water.	To ensure safe and adequate service at just and reasonable rates charged to customers without preferences.
PSC-40-19-00007-P	exempt	The sharing of ratepayer consumption data.	To allow for consumption based sewer billing and protect ratepayers' consumption data.
PSC-41-19-00003-P	exempt	A voluntary residential three-part rate that would include fixed, usage and demand charges.	To provide qualifying residential customers with an optional three-part rate.
PSC-42-19-00006-P	exempt	Waiver of the prohibition on service to low-income customers by ESCOs.	To consider the petition for an extension of the waiver of the prohibition on service to low-income customers by ESCOs.
PSC-42-19-00007-P	exempt	Waiver of the prohibition on service to low-income customers by ESCOs.	To consider the petition for an extension of the waiver of the prohibition on service to low-income customers by ESCOs.
PSC-43-19-00014-P	exempt	Petition for the use of electric metering equipment.	To ensure that consumer bills are based on accurate measurements of electric usage.
PSC-43-19-00015-P	exempt	Modifications to the Gas Cost Factor and Daily Delivery Service Programs.	To consider a rehearing petition filed by Consolidated Edison Company of New York, Inc. and Orange and Rockland Utilities, Inc.
PSC-44-19-00003-P	exempt	Proposed revisions to Standby Service Rates and Buyback Service Rates.	To ensure just and reasonable rates, including compensation, for distributed energy resources.
PSC-44-19-00004-P	exempt	Notice of intent to submeter electricity.	To ensure adequate submetering equipment and consumer protections are in place.
PSC-44-19-00005-P	exempt	Proposed revisions to Standby Service Rates and Buyback Service Rates.	To ensure just and reasonable rates, including compensation, for distributed energy resources.
PSC-44-19-00006-P	exempt	Proposed revisions to Standby Service Rates and Buyback Service Rates.	To ensure just and reasonable rates, including compensation, for distributed energy resources.
PSC-44-19-00007-P	exempt	Proposed revisions to Standby Service Rates and Buyback Service Rates.	To ensure just and reasonable rates, including compensation, for distributed energy resources.
PSC-44-19-00008-P	exempt	Notice of intent to submeter electricity.	To ensure adequate submetering equipment and consumer protections are in place.
PSC-44-19-00009-P	exempt	Proposed revisions to Standby Service Rates and Buyback Service Rates.	To ensure just and reasonable rates, including compensation, for distributed energy resources.
PSC-45-19-00011-P	exempt	Notice of intent to submeter electricity.	To ensure adequate submetering equipment and consumer protections are in place.
PSC-45-19-00012-P	exempt	Notice of intent to submeter electricity.	To ensure adequate submetering equipment and consumer protections are in place.

Agency I.D. No.	Expires	Subject Matter	Purpose of Action		
PUBLIC SERVICE COMMISSION					
PSC-45-19-00013-P	exempt	Notice of intent to submeter electricity.	To ensure adequate submetering equipment and consumer protections are in place.		
PSC-46-19-00007-P	exempt	PSC regulation 16 NYCRR 86.3(a)(1), (a)(2) and 88.4(a)(4).	To consider a waiver of certain regulations relating to the content of an application for transmission line siting.		
PSC-46-19-00008-P	exempt	Wappingers Falls Hydroelectric LLC's facility located in Wappingers Falls, New York.	To promote and maintain renewable electric energy resources.		
PSC-46-19-00009-P	exempt	Proposed transfer of certain company property to the Incorporated Village of Sea Cliff.	To determine if the transfer of certain Company property to the Incorporated Village of Sea Cliff is in the public interest.		
PSC-46-19-00010-P	exempt	To test innovative rate designs on an opt-out basis.	To implement alternative innovative rate designs intended to assess customer behaviors in response to price signals		
PSC-47-19-00011-P	exempt	Waiver of National Grid's code of conduct to allow for use of its name.	To determine if it is in the public interest to allow for the use of National Grid's name in the weatherization program.		
PSC-47-19-00012-P	exempt	Transfer of street lighting facilities to the Village of Cayuga Heights.	To consider whether the transfer of street lighting facilities to the Village of Cayuga Heights is in the public interest.		
PSC-47-19-00013-P	exempt	Compensation of distributed energy resources.	To ensure just and reasonable rates, including compensation, for distributed energy resources.		
PSC-47-19-00014-P	exempt	Whether a proposed agreement for the provision of water service by Saratoga Water Services, Inc. is in the public interest.	To consider whether a tariff waiver and the proposed terms of a service agreement are in the public interest.		
PSC-47-19-00015-P	exempt	Customer Consent to Contact.	To include a new provision establishing customer consent for the utility to contact them electronically about utility service.		
PSC-47-19-00016-P	exempt	Notice of intent to submeter electricity.	To ensure adequate submetering equipment and consumer protections are in place.		
PSC-48-19-00004-P	exempt	Recommencement of the levelization surcharge, changes to the System Improvement Charge, including AMI and a one-year stay-out.	To address the issues in the November 6, 2019 petition filed by NYAW.		
PSC-48-19-00005-P	exempt	Notice of intent to submeter electricity.	To ensure adequate submetering equipment and consumer protections are in place.		
PSC-48-19-00006-P	exempt	Notice of intent to submeter electricity.	To ensure adequate submetering equipment and consumer protections are in place.		
PSC-48-19-00007-P	exempt	Extension of the State Universal Service Fund.	To continue to provide universal service at a reasonable rate in certain service territories.		
PSC-49-19-00004-P	exempt	Transfer of street lighting facilities.	To determine whether to authorize the transfer street of lighting facilities and the proper accounting for the transaction.		
PSC-49-19-00005-P	exempt	Sale of Street Lighting Facilities to the Town of Farmington.	To consider the transfer of street lighting facilities to the Town of Farmington.		

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE	COMMISSION		
PSC-49-19-00006-P	exempt	Waiver of the prohibition on service to low-income customers by ESCOs.	To consider the petition for an extension of the waiver of the prohibition on service to low-income customers by ESCOs.
PSC-50-19-00004-P	exempt	Petition to submeter electricity and waiver of energy audit.	To ensure adequate submetering equipment, consumer protections and energy efficiency protections are in place.
PSC-50-19-00005-P	exempt	Modifications and clarifications to the DCFC Per-Plug Incentive Program.	To clarify certain elements of the DCFC Per- Plug Incentive Program and consider modifications to the Program.
PSC-50-19-00006-P	exempt	Compensation of and incentives for distributed energy resources.	To encourage the development of and ensure just and reasonable rates for distributed energy resources.
PSC-50-19-00007-P	exempt	Participation of customers served under P.S.C. No. 12 (PASNY) in CDG projects receiving Value Stack compensation.	To encourage the development of and ensure just and reasonable rates for distributed energy resources.
PSC-52-19-00001-P	exempt	SUEZ Water New York Inc.'s acquisition of 100% of Heritage Hills Water Works Corporation's assets.	To determine if the proposed acquisition is in the public interest.
PSC-52-19-00002-P	exempt	The New York State Reliability Council's establishment of an Installed Reserve Margin of 18.9%	To ensure adequate levels of Installed Capacity.
PSC-52-19-00003-P	exempt	Notice of intent to submeter electricity and waiver of energy audit.	To ensure adequate submetering equipment, consumer protections and energy efficiency protections are in place.
PSC-52-19-00004-P	exempt	Notice of intent to submeter electricity.	To ensure adequate submetering equipment and consumer protections are in place.
PSC-52-19-00005-P	exempt	Compensation of and rates for distributed energy resources.	To encourage the development of and ensure just and reasonable rates for distributed energy resources.
PSC-52-19-00006-P	exempt	Authorization to defer pension settlement losses.	To address the ratemaking related to the pension settlement losses.
PSC-53-19-00003-P	12/30/20	Technical Amendments of State regulations and Administrative Corrections	To make the provision of natural gas service safer in New York State
PSC-53-19-00004-P	12/30/20	Technical Amendments of State regulations and Administrative Corrections	To make the provision of natural gas service safer in New York State.
PSC-53-19-00006-P	exempt	To amend the terms to which the customer must abide when discontinuing gas service.	To ensure safe and adequate service at just and reasonable rates charged to customers without preferences.
PSC-53-19-00007-P	exempt	Notice of intent to submeter electricity.	To ensure adequate submetering equipment and consumer protections are in place.
PSC-53-19-00008-P	exempt	Extension of time for issuance of securities and other forms of indebtedness.	To consider an additional twelve months for the issuance of securities and other forms of indebtedness.
PSC-53-19-00009-P	exempt	Transfer of street lighting facilities.	To consider whether the transfer of certain street lighting facilities is in the public interest.

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Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE	COMMISSION		
PSC-53-19-00010-P	exempt	To clarify the term "customer" under Rule 28 - Special Services Performed by Company for Customer at a Charge.	To ensure safe and adequate service at just and reasonable rates charged to customers without preferences.
PSC-01-20-00007-P	exempt	Proposed tariff revisions and clarifications for the summer 2020 capability period.	To have more efficient demand response programs to gain operational efficiency and shave peak demand.
PSC-01-20-00008-P	exempt	Request for waiver of 16 NYCRR § 96.5(k)(3).	To consider the request for waiver of the energy audit requirement requirement per 16 NYCRR 96.5(k)(3).
PSC-01-20-00009-P	exempt	Modifying RG&E's DLM dispatch threshold to improve the Commercial System Relief Program.	To have more efficient demand response programs to gain operational efficiency and shave peak demand.
PSC-01-20-00010-P	exempt	Proposed transfer of water supply assets and dissolution of the Company.	To determine whether the transfer of water supply assets to the Town of North Collins is in the public interest.
PSC-02-20-00005-P	exempt	The 2020 Electric Emergency Response Plans for electric utilities subject to the provisions of PSL § 25-a	To consider the adequacy of the 2020 Electric Emergency Response Plans
PSC-02-20-00006-P	exempt	The application of the earnings sharing mechanism related to a partial year period.	To consider O&R's petition to modify the application of the earnings sharing mechanism.
PSC-03-20-00008-P	exempt	Authority to issue and sell unsecured debt obligations	To consider the Company's request for authority to issue and sell unsecured debt obligations
PSC-03-20-00009-P	exempt	Changes to the Utility Energy Registry	To determine appropriate rules for data availability
PSC-03-20-00010-P	exempt	Waivers of certain tariff provisions and terms of an agreement for the provision of service	Whether a proposed agreement for provision of service by Saratoga Water Services, Inc. is in the public interest
PSC-04-20-00010-P	exempt	Petition for waiver of the requirements of Opinion No. 76-17 and 16 NYCRR Part 96 regarding individual metering of living units.	To consider the petition of Comunilife Woodhull HDFC for waiver of Opinion No. 76-17 and 16 NYCRR Part 96.
PSC-04-20-00014-P	exempt	Transfer of the Indian Point site, nuclear waste, and decommissioning and site restoration funds from Entergy to Holtec.	To protect the public interest.
PSC-05-20-00003-P	exempt	Notice of intent to submeter electricity.	To ensure adequate submetering equipment and consumer protections are in place.
PSC-05-20-00004-P	exempt	A statewide Make-Ready Program that that would provide incentives to deploy EVSE&I to charge light duty electric vehicles (EV).	To deploy the infrastructure needed to meet the State's goals of 850,000 EVs by 2025 and recommend appropriate utility roles.
PSC-05-20-00005-P	exempt	Wireline and wireless pole attachment rates.	To ensure safe and adequate service at just and reasonable rates charged to customers without undue preferences.
PSC-05-20-00006-P	exempt	Waiver of pipeline reassessment completion deadline while adequate tools to conduct inspection are found.	To ensure the safety of the Clove Lake Segment Pipeline with the use of adequate reassessment tools.

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Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE	COMMISSION		
PSC-05-20-00007-P	exempt	Petition to submeter electricity.	To ensure adequate submetering equipment and consumer protections are in place.
STATE, DEPARTM	IENT OF		
DOS-27-19-00014-P	09/04/20	New York State Uniform Fire Prevention and Building Code (the Uniform Code)	To repeal the existing Uniform Code and adopt a new Uniform Code and to make conforming changes to 19 NYCRR Parts 1264 and 1265.
DOS-27-19-00015-P	09/04/20	The State Energy Conservation Construction Code (the "Energy Code").	To repeal the existing Energy Code and to adopt a new, updated Energy Code.
DOS-42-19-00001-P	10/15/20	Real estate advertisements	To update current regulations concerning real estate advertisements
DOS-02-20-00003-P	01/14/21	Enhanced fair housing provisions	To provide additional notices and other enhancements relating to fair housing and the Human Rights Law
STATE UNIVERSIT	TY OF NEW YORK		
SUN-50-19-00001-EP		Tuition, Fees and Charges	To authorize the waiver of admission application fees for military veterans and their spouses
SUN-53-19-00002-P		Proposed amendments to the traffic and parking regulations at State University of New York College at Old Westbury	Amend existing regulations to update traffic and parking regulations
SUN-53-19-00005-P	12/30/20	Proposed amendments to the traffic and parking regulations at State University Agricultural and Technical College at Morrisville	Amend existing regulations to update traffic and parking regulations
SUN-01-20-00001-P	01/07/21	Limitations on operating costs for purposes of State financial assistance.	To determine how state appropriated reimbursement for rental costs for physical space will be distributed to community colleges.
TAXATION AND F	INANCE, DEPARTM	ENT OF	
TAF-47-19-00010-P	exempt	Fuel use tax on motor fuel and diesel motor fuel and the art. 13-A carrier tax jointly administered therewith.	To set the sales tax component and the composite rate per gallon for the period January 1, 2020 through March 31, 2020.
TAF-50-19-00003-EP	12/10/20	Metropolitan Transportation Business Tax Surcharge	To provide metropolitan transportation business tax rate for tax year 2020
TAF-02-20-00001-EP	01/14/21	Property tax levy limits for school districts in relation to certain costs resulting from capital local expenditures	To implement Education Law 2023-a relating to certain costs resulting from capital local expenditures of school districts
TEMPORARY AND	D DISABILITY ASSIS	STANCE, OFFICE OF	
TDA-14-19-00007-P	04/02/20	Abandonment of requests for fair hearings	To require the issuance of letters to appellants who fail to appear at scheduled fair hearings involving Medical Assistance, also known as Medicaid, advising them how to request the rescheduling of such fair hearings

Agency I.D. No. **Expires** Subject Matter Purpose of Action TEMPORARY AND DISABILITY ASSISTANCE, OFFICE OF TDA-46-19-00006-P ...... 11/12/20 Limits on executive compensation To remove requirements related to private funding from the \$199, 000 per annum limit on executive compensation and to make corresponding technical updates ..... 12/03/20 To amend the existing State regulatory TDA-49-19-00003-P Annual service fee on persons receiving child support services and minimum annual provisions regarding the annual service fee collection requirement to impose such fee imposed on persons who receive child support services THRUWAY AUTHORITY, NEW YORK STATE ..... 01/07/21 THR-01-20-00003-P Toll rate adjustments on the New York State To provide for toll rate adjustments necessary to support the Authority's financial obligations. Thruway system. **VICTIM SERVICES, OFFICE OF** OVS-24-19-00001-ERP ...... 06/11/20 Conduct contributing related to burial awards Adopt rules necessary as the result of chapter 494 of the Laws of 2018, when considering the victim's own conduct WORKERS' COMPENSATION BOARD WCB-31-19-00018-P Medical Treatment Guidelines ..... 07/30/20 Add guidelines for treatment of hip and groin, foot and ankle, elbow and occupational interstitial lung disease ..... 08/06/20 WCB-32-19-00001-P Updating the prescription drug formulary To add drugs to the prescription drug formulary in response to continuous feedback WCB-37-19-00002-P ..... 09/10/20 Clarify the process for reopening a case that Applications for Reopenings has been previously closed To update formulary and add special WCB-47-19-00004-EP ........... 11/19/20 Updating the prescription drug formulary consideration guidance for drugs not included in the MTGs

### REGULATORY AGENDA

### Department of Motor Vehicles

Pursuant to section 202-d of the State Administrative Procedure Act, the Department of Motor Vehicles presents its regulatory agenda for 2020. All references are to Title 15 of the New York Code of Rules and Regulations. The Department reserves the right to add, delete or modify any item presented in this agenda.

1. Part 142 – Add a new Part 142 governing the internet prelicensing course pilot program established under Article 12-D of the Vehicle and Traffic Law (VTL). These regulations are statutorily mandated by Section 399-r of the VTL and relate to the implementation of this new article.

# SECURITIES OFFERINGS

### STATE NOTICES

Published pursuant to provisions of General Business Law [Art. 23-A, § 359-e(2)]

DEALERS; BROKERS

4C Health Solutions, Inc.

1201 Sycamore Square Dr., Suite 623, Midlothian, VA 23113 State or country in which incorporated — Delaware

AG IC Realty Fund, L.P.

c/o Angelo, Gordon & Co., L.P., 245 Park Ave., New York, NY 10167 Partnership — AGR IC LLC

ALPS Distributors, Inc.

520 Madison Ave., 42nd Fl., New York, NY 10022 State or country in which incorporated — New York

BBR Absolute Return Long Duration III, LP 225 Broadhollow Rd., Suite 306, Melville, NY 11747 Partnership — BBR General Partner, LLC

Biller Genie Software, LLC 3550 Biscayne Blvd., #704, Miami, FL 33137 State or country in which incorporated — Delaware

Bison Metals Technologies LLC 41600 Wolverine Rd., Shawnee, OK 74804 State or country in which incorporated — Delaware limited liability company

Cambium Capital Partners SPV II LP 1424 11th Ave., Suite 400, Seattle, WA 98122 Partnership — Cambium Capital Partners SPV II GP LP

Corten Real Estate 1213 Walnut Investors LP 1000 N. West St., Suite 900, Wilmington, DE 19801 Partnership — Corten Real Estate 1213 Walnut Investors LP

CPA Barrington Apartments DST 1600 Dove St., Suite 450, Newport Beach, CA 92660 State or country in which incorporated — Delaware

CrowdStreet Opportunity Zone Portfolio, LLC 621 SW Morrison, Suite 400, Portland, OR 97205 State or country in which incorporated — Delaware

Dantai US Feeder Fund Unit 1307, Level 13, Cyberport 2, 100 Cyberport Rd., Hong Kong State or country in which incorporated — Cayman Islands

Diopsys, Inc. 16 Chapin Rd., Suite 912, Pine Brook, NJ 07058 State or country in which incorporated — Delaware Hopewell Production Group, LLC 189 N. Water St., Suite 200, Rochester, NY 14604 State or country in which incorporated — New York

JLLX Johns Creek, DST 333 W. Wacker Dr., Suite 2300, Chicago, IL 60606 State or country in which incorporated — Delaware

Mainline Securities LLC 308 E. Lancaster Ave., Suite 302, Wynnewood, PA 19096 State or country in which incorporated — Delaware

MY Development Fund, LP 600 Walnut St., Mckeesport, PA 15132 Partnership — MB Capital Strategies, LLC

New Standard Ventures, L.P. Walkers Corporate Limited, Cayman Corporate Centre, 27 Hospital Rd., George Town, Grand Cayman, KY1-9008 Cayman Islands *Partnership* — GB New Standard Ltd.

Odonata Health, Inc. 2039 Morgan Ave. N, Stillwater, MN 55082 State or country in which incorporated — Delaware

ParaFi Private Opportunities LLC - Series D 600 California St., Fl. 11, San Francisco, CA 94108

Redcar REIT B LLC 2341 Michigan Ave., Santa Monica, CA 90404 Partnership — Redcar Fund I GP LP

Redstone Living, LLC One Bradford St., Bristol, RI 02809 State or country in which incorporated — Delaware

SC/CA GP Investor I, LLC 1006 Davis St., Evanston, IL 60201

SREF III REIT Holdings, LLC 725 Conshohocken State Rd., Bala Cynwyd, PA 19004 State or country in which incorporated — Delaware

Taxi Revival Alliance, LP c/o Taxi Revival Alliance GP, LLC, 250 W. 55th St., 15th Fl., New York, NY 10019

Partnership — Taxi Revival Alliance GP, LLC

Thoma Bravo Discover Fund III-A, L.P. 150 N. Riverside Plaza, Suite 2800, Chicago, IL 60606 Partnership — Thoma Bravo Discover Partners III, L.P.

TPG Growth II Cayman AIV Gator, L.P. 301 Commerce St., Suite 3300, Fort Worth, TX 76102 *Partnership* — TPG Growth II AIV Gator GenPar, L.P.

Tradition Summerville Associates, L.P. 910 Harvest Dr., Suite 105, Blue Bell, PA 19422 Partnership — Tradition Summerville General, LLC

Upriver Studios LLC 25 Rock City Rd., Red Hook, NY 12571

Wash Group, LLC Four Jabez Jones Lane, Sandwich, MA 02563 State or country in which incorporated — Massachusetts

Woodglen Investor LLC 14 E. 33rd St., #7s, New York, NY 10016 State or country in which incorporated — Missouri limited liability company

## ADVERTISEMENTS FOR BIDDERS/CONTRACTORS

### SEALED BIDS

### **PROVIDE**

GENERATOR RADIATOR SHROUD Green Haven Correctional Facility Stormville, Dutchess County

Sealed bids for Project No. Q1758-C, for Construction Work, Provide Generator Radiator Shroud, Green Haven Correctional Facility, Route 216, Stormville (Dutchess County), NY, will be received by the Office of General Services (OGS), Design & Construction Group (D&C), Division of Contract Management, 35th Fl., Corning Tower, Empire State Plaza, Albany, NY 12242, on behalf of the Office of Mental Health, until 2:00 p.m. on Wednesday, February 5th, 2020 when they will be publicly opened and read. Each bid must be prepared and submitted in accordance with the Instructions to Bidders and must be accompanied by a certified check, bank check, or bid bond in the amount of \$11,000 for C.

All successful bidders will be required to furnish a Performance Bond and a Labor and Material Bond in the statutory form of public bonds required by Sections 136 and 137 of the State Finance Law, each for 100% of the amount of the Contract estimated to be between \$100,000 and \$250,000 for C.

Pursuant to State Finance Law §§ 139-j and 139-k, this solicitation includes and imposes certain restrictions on communications between OGS D&C and a bidder during the procurement process. A bidder is restricted from making contacts from the earliest posting, on the OGS website, in a newspaper of general circulation, or in the Contract Reporter of written notice, advertisement or solicitation of offers through final award and approval of the contract by OGS D&C and the Office of the State Comptroller ("Restricted Period") to other than designated staff unless it is a contact that is included among certain statutory exceptions set forth in State Finance Law § 139-j(3)(a). Designated staff are Jessica Hoffman, Carl Ruppert and Pierre Alric in the Division of Contract Management, telephone (518) 474-0203, fax (518) 473-7862 and John Lewyckyj, Deputy Director, Design & Construction Group, telephone (518) 474-0201, fax (518) 486-1650. OGS D&C employees are also required to obtain certain information when contacted during the restricted period and make a determination of the responsibility of the bidder pursuant to these two statutes. Certain findings of non-responsibility can result in rejection for contract award and in the event of two findings within a four-year period, the bidder is debarred from obtaining governmental Procurement Contracts. Bidders responding to this Advertisement must familiarize themselves with the State Finance Law requirements and will be expected to affirm that they understand and agree to comply on the bid form. Further information about these requirements can be found within the project manual or at: http://www.ogs.ny.gov/aboutOGS/ regulations/defaultAdvisoryCouncil.html

The substantial completion date for this project is 206 days after the Agreement is approved by the Comptroller.

The only time prospective bidders will be allowed to visit the job site to take field measurements and examine existing conditions of the project area will be at 10:00 a.m. on January 24, 2020 at the Green Haven Correctional Facility, OGS Field Trailer (East side of Facility), Route 216, Stormville NY. Prospective bidders are urged to visit the site at this time. Prospective bidders or their representatives attending

the pre-bid site visit will not be admitted on facility grounds without proper photo identification. Note that parking restrictions and security provisions will apply and all vehicles will be subject to search.

Phone the office of Shannon Landolfa (845-431-5935) a minimum of 72 hours in advance of the date to provide the names of those who will attend the pre-bid site visit.

The Office of General Services reserves the right to reject any or all bids

The Bidding and Contract Documents for this Project are available on compact disc (CD) only, and may be obtained for an \$8.00 deposit per set, plus a \$2.00 per set shipping and handling fee. Contractors and other interested parties can order CD's on-line through a secure web interface available 24 hours a day, 7 days a week. Please use the following link at the OGS website for ordering and payment instructions: https://online.ogs.ny.gov/dnc/contractorConsultant/esb/ESBPlansAvailableIndex.asp

For questions about purchase of bid documents, please send an e-mail to DCPlans@ogs.ny.gov, or call (518) 474-0203.

For additional information on this project, please use the link below and then click on the project number: https://online.ogs.ny.gov/dnc/contractorConsultant/esb/ESBPlansAvailableIndex.asp

By John D. Lewyckyj, Deputy Director OGS - Design & Construction Group

### PROVIDE NATURAL GAS

Manhattan Psychiatric Center New York, New York County

Sealed bids for Project No. 45334-H, comprising a contract for HVAC Work, Provide Natural Gas, Building 134, Manhattan Psychiatric Center, 600 E 125th St, New York (New York County), NY, will be received by the Office of General Services (OGS), Design & Construction Group (D&C), Division of Contract Management, 35th Fl., Corning Tower, Empire State Plaza, Albany, NY 12242, on behalf of the Office of Mental Health, until 2:00 p.m. on Wednesday, February 19th, 2020 when they will be publicly opened and read. Each bid must be prepared and submitted in accordance with the Instructions to Bidders and must be accompanied by a certified check, bank check, or bid bond in the amount of \$31,000 for H.

Further, Wicks Exempt Projects require a completed form BDC 59 (Wicks Exempt List of Contractors) be filled out and submitted (included in a separate, sealed envelope) in accordance with Document 002220, Supplemental Instructions to Bidders – Wicks Exempt. Failure to submit this form correctly will result in a disqualification of the bid.

All successful bidders will be required to furnish a Performance Bond and a Labor and Material Bond in the statutory form of public bonds required by Sections 136 and 137 of the State Finance Law, each for 100% of the amount of the Contract estimated to be between \$500,000 and \$1,000,000 for H.

Pursuant to State Finance Law §§ 139-j and 139-k, this solicitation includes and imposes certain restrictions on communications between OGS D&C and a bidder during the procurement process. A bidder is restricted from making contacts from the earliest posting, on the OGS

website, in a newspaper of general circulation, or in the Contract Reporter of written notice, advertisement or solicitation of offers through final award and approval of the contract by OGS D&C and the Office of the State Comptroller ("Restricted Period") to other than designated staff unless it is a contact that is included among certain statutory exceptions set forth in State Finance Law § 139-j(3)(a). Designated staff are Jessica Hoffman, Carl Ruppert, and Pierre Alric in the Division of Contract Management, telephone (518) 474-0203, fax (518) 473-7862 and John Lewyckyj, Deputy Director, Design & Construction Group, telephone (518) 474-0201, fax (518) 486-1650. OGS D&C employees are also required to obtain certain information when contacted during the restricted period and make a determination of the responsibility of the bidder pursuant to these two statutes. Certain findings of non-responsibility can result in rejection for contract award and in the event of two findings within a four-year period, the bidder is debarred from obtaining governmental Procurement Contracts. Bidders responding to this Advertisement must familiarize themselves with the State Finance Law requirements and will be expected to affirm that they understand and agree to comply on the bid form. Further information about these requirements can be found within the project manual or at: http://www.ogs.ny.gov/aboutOGS/ regulations/defaultAdvisoryCouncil.html

The substantial completion date for this project is 309 days after the Agreement is approved by the Comptroller.

The only time prospective bidders will be allowed to visit the job site to take field measurements and examine existing conditions of the project area will be at 10:00 a.m. on February 7th, 2020 at Manhattan Psychiatric Center, 600 East 125th Street, Building #106, New York, NY. Prospective bidders are urged to visit the site at this time. Prospective bidders or their representatives attending the pre-bid site visit will not be admitted on facility grounds without proper photo identification. Note that parking restrictions and security provisions will apply and all vehicles will be subject to search.

Phone the office of Robin Griffiths (845-365-0730) a minimum of 48 hours in advance of the date to provide the names of those who will attend the pre-bid site visit.

Pursuant to New York State Executive Law Article 15-A and the rules and regulations promulgated thereunder, OGS is required to promote opportunities for the maximum feasible participation of New York State-certified Minority- and Women-owned Business Enterprises ("MWBEs") and the employment of minority group members and women in the performance of OGS contracts. All bidders are expected to cooperate in implementing this policy. OGS hereby establishes an overall goal of 6% for MWBE participation, 3% for Minority-Owned Business Enterprises ("MBE") participation and 3% for Women-Owned Business Enterprises ("WBE") participation (based on the current availability of qualified MBEs and WBEs). The total contract goal can be obtained by utilizing any combination of MBE and /or WBE participation for subcontracting and supplies acquired under this Contract.

The Office of General Services reserves the right to reject any or all bids.

The Bidding and Contract Documents for this Project are available on compact disc (CD) only, and may be obtained for an \$8.00 deposit per set, plus a \$2.00 per set shipping and handling fee. Contractors and other interested parties can order CD's on-line through a secure web interface available 24 hours a day, 7 days a week. Please use the following link for ordering and payment instructions: https://online.ogs.ny.gov/dnc/contractorConsultant/esb/ESBPlansAvailableIndex.asp

For questions about purchase of bid documents, please send an e-mail to DCPlans@ogs.ny.gov, or call (518) 474-0203.

For additional information on this project, please use the link below and then click on the project number: https://online.ogs.ny.gov/dnc/contractorConsultant/esb/ESBPlansAvailableIndex.asp

By John D. Lewyckyj, Deputy Director OGS - Design & Construction Group

### REPLACE AIR HANDLING UNITS Sagamore Children's Psychiatric Center Dix Hills, Suffolk County

Sealed bids for Project No. 45889-H, comprising a contract for HVAC Work, Replace Air Handling Units, Building 80, Sagamore Children's Psychiatric Center, 197 Half Hollow Rd, Dix Hills (Suffolk County), NY, will be received by the Office of General Services (OGS), Design & Construction Group (D&C), Division of Contract Management, 35th Fl., Corning Tower, Empire State Plaza, Albany, NY 12242, on behalf of the Office of Mental Health, until 2:00 p.m. on Wednesday, February 5th, 2020, when they will be publicly opened and read. Each bid must be prepared and submitted in accordance with the Instructions to Bidders and must be accompanied by a certified check, bank check, or bid bond in the amount of \$38,600 for H.

Further, Wicks Exempt Projects require a completed form BDC 59 (Wicks Exempt List of Contractors) be filled out and submitted (included in a separate, sealed envelope) in accordance with Document 002220, Supplemental Instructions to Bidders – Wicks Exempt. Failure to submit this form correctly will result in a disqualification of the bid.

All successful bidders will be required to furnish a Performance Bond and a Labor and Material Bond in the statutory form of public bonds required by Sections 136 and 137 of the State Finance Law, each for 100% of the amount of the Contract estimated to be between \$500,000 and \$1,000,000 for C.

Pursuant to State Finance Law §§ 139-j and 139-k, this solicitation includes and imposes certain restrictions on communications between OGS D&C and a bidder during the procurement process. A bidder is restricted from making contacts from the earliest posting, on the OGS website, in a newspaper of general circulation, or in the Contract Reporter of written notice, advertisement or solicitation of offers through final award and approval of the contract by OGS D&C and the Office of the State Comptroller ("Restricted Period") to other than designated staff unless it is a contact that is included among certain statutory exceptions set forth in State Finance Law § 139-j(3)(a). Designated staff are Jessica Hoffman, Carl Ruppert, and Pierre Alric in the Division of Contract Management, telephone (518) 474-0203, fax (518) 473-7862 and John Lewyckyj, Deputy Director, Design & Construction Group, telephone (518) 474-0201, fax (518) 486-1650. OGS D&C employees are also required to obtain certain information when contacted during the restricted period and make a determination of the responsibility of the bidder pursuant to these two statutes. Certain findings of non-responsibility can result in rejection for contract award and in the event of two findings within a four-year period, the bidder is debarred from obtaining governmental Procurement Contracts. Bidders responding to this Advertisement must familiarize themselves with the State Finance Law requirements and will be expected to affirm that they understand and agree to comply on the bid form. Further information about these requirements can be found within the project manual or at: http://www.ogs.ny.gov/aboutOGS/ regulations/defaultAdvisoryCouncil.html

The substantial completion date for this project is 413 days after the Agreement is approved by the Comptroller.

The only time prospective bidders will be allowed to visit the job site to take field measurements and examine existing conditions of the project area will be at 10:00 a.m. on January 28th, 2020, at the Sagamore Children's Psychiatric Center, 197 Half Hollow Rd, Dix Hills, NY. Prospective bidders are urged to visit the site at this time. Prospective bidders or their representatives attending the pre-bid site visit will not be admitted on facility grounds without proper photo identification. Note that parking restrictions and security provisions will apply and all vehicles will be subject to search.

Phone the office of Bibi Bacchus, (718-776-4441) a minimum of 48 hours in advance of the date to provide the names of those who will attend the pre-bid site visit.

Pursuant to New York State Executive Law Article 15-A and the rules and regulations promulgated thereunder, OGS is required to promote opportunities for the maximum feasible participation of New

York State-certified Minority- and Women-owned Business Enterprises ("MWBEs") and the employment of minority group members and women in the performance of OGS contracts. All bidders are expected to cooperate in implementing this policy. OGS hereby establishes an overall goal of 30% for MWBE participation, 15% for Minority-Owned Business Enterprises ("MBE") participation and 15% for Women-Owned Business Enterprises ("WBE") participation dbased on the current availability of qualified MBEs and WBEs). The total contract goal can be obtained by utilizing any combination of MBE and /or WBE participation for subcontracting and supplies acquired under this Contract.

The Office of General Services reserves the right to reject any or all bids

The Bidding and Contract Documents for this Project are available on compact disc (CD) only, and may be obtained for an \$8.00 deposit per set, plus a \$2.00 per set shipping and handling fee. Contractors and other interested parties can order CD's on-line through a secure web interface available 24 hours a day, 7 days a week. Please use the following link for ordering and payment instructions: https://online.ogs.ny.gov/dnc/contractorConsultant/esb/ESBPlansAvailableIndex.asp

For questions about purchase of bid documents, please send an e-mail to DCPlans@ogs.ny.gov, or call (518) 474-0203.

For additional information on this project, please use the link below and then click on the project number: https://online.ogs.ny.gov/dnc/contractorConsultant/esb/ESBPlansAvailableIndex.asp

By John D. Lewyckyj, Deputy Director OGS - Design & Construction Group

## NOTICE OF AVAILABILITY OF STATE AND FEDERAL FUNDS

Division of Homeland Security and Emergency Services

1220 Washington Ave. State Office Bldg. Campus, Bldg. 7A Albany, NY 12242

NONPUBLIC NONPROFIT SCHOOLS, NONPROFIT DAY CARE CENTERS, NONPROFIT COMMUNITY CENTERS, NONPROFIT CULTURAL MUSEUMS AND NONPROFIT RESIDENTIAL CAMPS

State Fiscal Year 2019-2020 Securing Communities Against Hate Crimes (SCAHC)

Description:

Governor Andrew M. Cuomo is committed to ensuring the safety and equal treatment of all New Yorkers and as such has continued support of the Securing Communities Against Hate Crimes Program. This program is designed to boost safety and security at New York's non-profit organizations at risk of hate crimes or attacks because of their ideology, beliefs, or mission. In support of this effort, \$25 million has been made available through this competitive grant program to eligible nonpublic nonprofit schools, nonprofit day care centers, nonprofit community centers, nonprofit cultural museums and nonprofit residential camps as described under section 501(c)(3) of the Internal Revenue Code of 1986 and exempt from tax under section 501(a) of such Code which demonstrate a risk of a hate crime due to their ideology, beliefs or mission. Applications will be accepted for up to \$50,000 per facility. Eligible organizations may submit up to five applications for a maximum total request of \$250,000.

Applications will be accepted until February 27, 2020 at 5:00 p.m. through the New York State Division of Homeland Security and Emergency Services' (DHSES) electronic grants management system (E-Grants).

The RFA and other required documents can be found at: http://www.dhses.ny.gov/grants/nonprofit/hate-crimes.cfm

Division of Homeland Security and Emergency Services

1220 Washington Ave. State Office Bldg. Campus, Bldg. 7A Albany, NY 12242

NONPUBLIC NONPROFIT SCHOOLS AND NONPROFIT DAY CAMPS

State Fiscal Year 2019-20 Securing Communities Against Hate Crimes with Local Matching Funds (SCAHC Match Program)

Description:

Governor Andrew M. Cuomo is committed to ensuring the safety and equal treatment of all New Yorkers and as such has continued support of the Securing Communities Against Hate Crimes Program. This program is designed to boost safety and security at New York's non-profit organizations at risk of hate crimes or attacks because of their ideology, beliefs, or mission. In support of this effort, \$20 million in grant funding has been made available through this competitive grant

program to eligible nonpublic nonprofit schools, and nonprofit day camps as described under section 501(c)(3) of the Internal Revenue Code of 1986 and exempt from tax under section 501(a) of such Code which demonstrate a risk of a hate crime due to their ideology, beliefs or mission. This program includes a cost match requirement. The applicant must coordinate and partner with local governments (e.g. counties, towns, villages, cities, etc.) to meet the cost match requirement. All applications must have a 3:1 ratio of State to local funding for total project costs. Cost match contributions may be in-kind contributions, cash contributions or a combination of both.

Applications will be accepted for up to \$50,000 per facility (with a local cost match per application). Eligible organizations may submit up to five applications for a maximum total request of \$250,000 (including local cost match).

Applications will be accepted until February 27, 2020 at 5:00 p.m. through the New York State Division of Homeland Security and Emergency Services' (DHSES) electronic grants management system (E-Grants).

The RFA and other required documents can be found at: http://www.dhses.ny.gov/grants/nonprofit/hate-crimes.cfm

# MISCELLANEOUS NOTICES/HEARINGS

### Notice of Abandoned Property Received by the State Comptroller

Pursuant to provisions of the Abandoned Property Law and related laws, the Office of the State Comptroller receives unclaimed monies and other property deemed abandoned. A list of the names and last known addresses of the entitled owners of this abandoned property is maintained by the office in accordance with Section 1401 of the Abandoned Property Law. Interested parties may inquire if they appear on the Abandoned Property Listing by contacting the Office of Unclaimed Funds, Monday through Friday from 8:00 a.m. to 4:30 p.m., at:

1-800-221-9311 or visit our web site at: www.osc.state.ny.us

Claims for abandoned property must be filed with the New York State Comptroller's Office of Unclaimed Funds as provided in Section 1406 of the Abandoned Property Law. For further information contact: Office of the State Comptroller, Office of Unclaimed Funds, 110 State St., Albany, NY 12236.

### PUBLIC NOTICE

### Division of Criminal Justice Services DNA Subcommittee

Pursuant to Public Officers Law section 104, the Division of Criminal Justice Services gives notice of a meeting of the New York State DNA Subcommittee to be held on:

Date: Friday, February 7, 2020 Time: 9:00 a.m. to 11:00 a.m.

Place: Empire State Development Corporation (ESDC)

633 3rd Ave.

37th Fl./Conference Rm. New York, NY 10017

Identification and sign-in is required at this location.

Live Webcast will be available as soon as the meeting commences at: http://www.criminaljustice.ny.gov/pio/openmeetings.htm

For further information, or if you need a reasonable accommodation to attend this meeting, please contact: Division of Criminal Justice Services, Office of Forensic Services, 80 S. Swan St., Albany, NY, (518) 457-1901

### PUBLIC NOTICE

### Department of Health

Pursuant to 42 CFR Section 447.205, the Department of Health hereby gives public notice of the following:

The Department of Health proposes to amend the Title XIX (Medicaid) State Plan for non-institutional services to comply with enacted statutory provisions. The following changes are proposed:

Non-Institutional Services

Effective on or after February 6, 2020, this notice proposes to revise provisions of Early and Periodic Screening, Diagnostic and Treatment (EPSDT) services related to the expansion of behavioral health services provided to individuals under the age of 21 years.

With the approval of the proposal, Licensed Creative Arts Therapist will be included as a non-physician licensed behavioral health practitioner (Other Licensed Practitioners) (OLP), and practitioner and supervisor qualifications will be updated for Community Psychiatric Supports and Treatment (CPST), Psychosocial Rehabilitation (PSR), and Family Peer Support Services (FPSS).

There is no additional estimated annual change to gross Medicaid expenditures as a result of the clarifying proposed amendments.

The public is invited to review and comment on this proposed State Plan Amendment, a copy of which will be available for public review on the Department's website at http://www.health.ny.gov/regulations/state\_plans/status. Individuals without Internet access may view the State Plan Amendments at any local (county) social services district.

For the New York City district, copies will be available at the following places:

New York County 250 Church Street New York, New York 10018

Queens County, Queens Center 3220 Northern Boulevard Long Island City, New York 11101

Kings County, Fulton Center 114 Willoughby Street Brooklyn, New York 11201

Bronx County, Tremont Center 1916 Monterey Avenue Bronx, New York 10457

Richmond County, Richmond Center 95 Central Avenue, St. George Staten Island, New York 10301

For further information and to review and comment, please contact: Department of Health, Division of Finance and Rate Setting, 99 Washington Ave., One Commerce Plaza, Suite 1432, Albany, NY 12210, spa\_inquiries@health.ny.gov

### **PUBLIC NOTICE**

### New York City Deferred Compensation Plan

The New York City Deferred Compensation Plan (the "Plan") is seeking qualified vendors to provide international growth equity investment management services for the International Equity Fund (the "Fund") investment option of the Plan. The objective of the Fund is to provide exposure to the broad international equity market. Qualified vendors that do not currently provide product capabilities to eVestment must submit product information to Milliman Investment Consulting at the following e-mail address: sanf.investment.search@milliman.com. Please complete the submission of product information no later than 4:30 P.M. Eastern Time on January 22, 2020.

Consistent with the policies expressed by the City, proposals from certified minority-owned and/or women-owned businesses or proposals that include partnering arrangements with certified minority-owned and/or women-owned firms are encouraged. Additionally, proposals from small and New York City-based businesses are also encouraged.

### PUBLIC NOTICE

Department of State F-2019-0908

Date of Issuance – February 5, 2020

The New York State Department of State (DOS) is required by Federal regulations to provide timely public notice for the activities described below, which are subject to the consistency provisions of the Federal Coastal Zone Management Act of 1972, as amended.

The applicant has certified that the proposed activity complies with and will be conducted in a manner consistent with the approved New York State Coastal Management Program.

In F-2019-0908 or the "Ronson Dock", the applicant George Ronson, is proposing to install 3 piles along the existing dock to create a boat slip with a cover over top. Installation of a 4' x 12' ramp and a 6' by 30' floating dock with piles to secure it. The project is located at 308 Rivershore Drive, Town of Clayton, Jefferson County, St Lawrence River.

The applicant's consistency certification and supporting information are available for review at: http://www.dos.ny.gov/opd/programs/pdfs/Consistency/F-2019-0908\_Ronson\_App.pdf

Original copies of public information and data submitted by the applicant are available for inspection at the New York State Department of State offices located at One Commerce Plaza, 99 Washington Avenue, in Albany, New York.

Any interested parties and/or agencies desiring to express their views concerning any of the above proposed activities may do so by filing their comments, in writing, no later than 4:30 p.m., 15 days from the date of publication of this notice, or, February 20, 2020.

Comments should be addressed to: Consistency Review Unit, Department of State, Office of Planning, Development & Community Infrastructure, One Commerce Plaza, 99 Washington Ave., Albany, NY 12231, (518) 474-6000, Fax (518) 473-2464. Electronic submissions can be made by email at: CR@dos.ny.gov

This notice is promulgated in accordance with Title 15, Code of Federal Regulations, Part 930.

### PUBLIC NOTICE

Department of State F-2019-1123

Date of Issuance – February 5, 2020

The New York State Department of State (DOS) is required by Federal regulations to provide timely public notice for the activities described below, which are subject to the consistency provisions of the Federal Coastal Zone Management Act (CZMA) of 1972, as amended.

The applicant has certified that the proposed activities comply with and will be conducted in a manner consistent with the federally approved New York State Coastal Management Program (NYSCMP). The applicant's consistency certification and accompanying public information and data are available for inspection at the New York State Department of State offices located at One Commerce Plaza, 99 Washington Avenue, in Albany, New York and are available for review at: http://www.dos.ny.gov/opd/programs/pdfs/Consistency/F-2019-1123\_Application.pdf

In F-2019-1123, PeroxyChem is proposing the PeroxyChem Tonawanda Plant River Intake Relocation project at 3500 River Road, Tonawanda, Erie County. The stated purpose of the project is to relocate a cooling water intake facility. The new intake and pump station would be relocated to the northwest corner of Huntley Generating Station on the eastern shore of the Niagara River. The pump station building would be rebuilt on an existing paved lot. No new shoreline

stabilization and no dredging is proposed as part of the activity. A temporary cofferdam would be installed during construction using approximately 3,700 square feet of steel sheetpiling to temporarily dewater a small portion of the Niagara River and facilitate construction of the new intake facility. The intake facility would be constructed within the cofferdam and would be comprised of: a 36-inch diameter intake pipe extending approximately 80 feet into the Niagara River and protected with riprap and a small amount of concrete; a concrete river water intake structure at the terminus of the intake pipe to house 4, 24-inch diameter intake pipes each equipped 0.75-mm wedgewire screens and an airburst system; a bedload deflector located immediately upstream of the intake structure; and 5 timber dolphins piles surrounding the intake structure. Fill below ordinary high water includes approximately 7.5 cubic yards (cy) of concrete, 146 cy of crushed stone bedding, and 88 cy of riprap.

The proposed activity would be located within or has the potential to affect the following Special Management or Regulated Area:

• Town of Tonawanda Local Waterfront Revitalization Program: https://www.dos.ny.gov/opd/programs/WFRevitalization/LWRP\_status.html

Any interested parties and/or agencies desiring to express their views concerning any of the above proposed activities may do so by filing their comments, in writing, no later than 4:30 p.m., 30 days from the date of publication of this notice or March 6, 2020.

Comments should be addressed to: Department of State, Office of Planning and Development and Community Infrastructure, Consistency Review Unit, One Commerce Plaza, Suite 1010, 99 Washington Ave., Albany, NY 12231, (518) 474-6000. Electronic submissions can be made by email at: CR@dos.ny.gov

This notice is promulgated in accordance with Title 15, Code of Federal Regulations, Part 930.

### PUBLIC NOTICE

Department of State F-2019-1224

Date of Issuance – February 5, 2020

The New York State Department of State (DOS) is required by Federal regulations to provide timely public notice for the activities described below, which are subject to the consistency provisions of the Federal Coastal Zone Management Act (CZMA) of 1972, as amended.

The applicant has certified that the proposed activities comply with and will be conducted in a manner consistent with the federally approved New York State Coastal Management Program (NYSCMP). The applicant's consistency certification and accompanying public information and data are available for inspection at the New York State Department of State offices located at One Commerce Plaza, 99 Washington Avenue, in Albany, New York.

In F-2019-1224, Ducks Unlimited, Inc. is proposing Cranberry Pond Habitat Enhancement Project, located within the Braddock Bay FWMA of the Braddock Bay State Park in the Town of Greece in Monroe County, in the Cranberry Pond Wetland Complex.

The project area will include 100 acres in the southern portion of marsh and will construct:

- 1. 4406 linear feet of connecting channels
- 2. 7 potholes totaling 3.21 acres of open water wetland habitat
- 3. 4.2 acres of habitat mounds to improve microtopography

The applicant's consistency certification and supporting information are available for review at: http://www.dos.ny.gov/opd/programs/pdfs/Consistency/F-2019-1224consistencycertification.pdf

The proposed activity would be located within or has the potential to affect the following Special Management or Regulated Area(s):

• Braddock Bay and Salmon Creek, Significant Coastal Fish and Wildlife Habitat: https://www.dos.ny.gov/opd/programs/consistency/scfwhabitats.html

Any interested parties and/or agencies desiring to express their views concerning any of the above proposed activities may do so by filing their comments, in writing, no later than 4:30 p.m., February 20, 2020, 15 days from the date of publication of this notice.

Comments should be addressed to: Department of State, Office of Planning and Development and Community Infrastructure, Consistency Review Unit, One Commerce Plaza, Suite 1010, 99 Washington Ave., Albany, NY 12231, (518) 474-6000. Electronic submissions can be made by email at: CR@dos.ny.gov

This notice is promulgated in accordance with Title 15, Code of Federal Regulations, Part 930.

### PUBLIC NOTICE

### Department of State Uniform Code Variance / Appeal Petitions

Pursuant to 19 NYCRR Part 1205, the variance and appeal petitions below have been received by the Department of State. Unless otherwise indicated, they involve requests for relief from provisions of the New York State Uniform Fire Prevention and Building Code. Persons wishing to review any petitions, provide comments, or receive actual notices of any subsequent proceeding may contact Brian Tollisen or Neil Collier, Building Standards and Codes, Department of State, One Commerce Plaza, 99 Washington Ave., Albany, NY 12231, (518) 474-4073 to make appropriate arrangements.

2020-0002 Matter of Henry M Monteverde, 230 Kingston Boulevard, Island Park, NY 11558, for a variance concerning plumbing requirements, including the number of toilet room facilities. Involved is a resturant located at 817 Carmen Avenue, Town of Hempstead, County of Nassau, State of New York.

2020-0020 Matter of Woodhull Expediting, Amy M. Devito, 332 Woodhull Avenue, Port Jefferson Station, NY 11776, for a variance concerning safety requirements, including the required heights under a girder/soffit. Involved is an existing one family dwelling located at 31 Barbara Road; Town of Brookhaven, NY 11779 County of Suffolk, State of New York.

### PUBLIC NOTICE

### Department of State

### Uniform Code Variance / Appeal Petitions

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2020-0021 In the matter of David Sonn, Attorney, 2498 State Route 12B, Hamilton, NY 13332, for Adam Hershberger concerning building code and fire safety requirements including a variance from the minimum net clear area for emergency and escape rescue openings.

Involved is an addition to an existing one-family residential occupancy, two stories in height, located at 4557 South Lebanon Road, Town of Lebanon, County of Madison, New York.

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2020-0032 In the matter of David Tucker, Architect, 19 South Street, Marcellus, NY 13108, for Ravi Saluja concerning building code and fire safety requirements including a variance to exceed the allowable area of openings in an exterior wall based on fire separation distance

Involved is a conversion of occupancy from an assembly to residential occupancy, located at 205½ Cherry Street, City of Syracuse, County of Onondaga, New York.

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2020-0033 In the matter of MTA-New York City Transit, Two Broadway, B6.14, New York, NY 10004, for a variance for multiple life safety design issues concerning alterations and additions to 170TH Street Station, 4 Line (IRT), an existing underground transportation station, throughout the Bronx borough, City of New York, County of the Bronx, State of New York.

2020-0034 In the matter of MTA-New York City Transit, Two Broadway, B6.14, New York, NY 10004, for a variance for multiple life safety design issues concerning alterations and additions to the Tremont Avenue Station, Grand Concourse Line (IND), an existing underground transportation station, the Bronx borough, City of New York, County of the Bronx, State of New York.

### PUBLIC NOTICE

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2020-0036 In the matter of David Warden, 115 Campbell Avenue, Ithaca, NY 14850, concerning safety requirements including a variance for a reduction in required height of an existing interior stair guardrail.

Involved is the certificate of compliance inspection of an existing residential occupancy, three stories in height, located at 408 North Geneva Street, City of Ithaca, County of Tompkins, New York.