
NEW YORK STATE **REGISTER**

INSIDE THIS ISSUE:

- Transitional Adult Home Admission Standards for Individuals with Serious Mental Illness
- Change in Location for DHCR's Office of Legal Affairs (OLA)
- Minimum Wage Tip Allowances

Regulatory Agenda

Rule Review

Notice of Availability of State and Federal Funds

State agencies must specify in each notice which proposes a rule the last date on which they will accept public comment. Agencies must always accept public comment: for a minimum of 60 days following publication in the *Register* of a Notice of Proposed Rule Making, or a Notice of Emergency Adoption and Proposed Rule Making; and for 45 days after publication of a Notice of Revised Rule Making, or a Notice of Emergency Adoption and Revised Rule Making in the *Register*. When a public hearing is required by statute, the hearing cannot be held until 60 days after publication of the notice, and comments must be accepted for at least 5 days after the last required hearing. When the public comment period ends on a Saturday, Sunday or legal holiday, agencies must accept comment through the close of business on the next succeeding workday.

For notices published in this issue:

- the 60-day period expires on March 22, 2020
- the 45-day period expires on March 7, 2020
- the 30-day period expires on February 21, 2020

**ANDREW M. CUOMO
GOVERNOR**

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SECRETARY OF STATE**

NEW YORK STATE DEPARTMENT OF STATE

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The *New York State Register* (ISSN 0197 2472) is published weekly. Subscriptions are \$80 per year for first class mailing and \$40 per year for periodical mailing. The *New York State Register* is published by the New York State Department of State, One Commerce Plaza, 99 Washington Avenue, Albany, NY 12231-0001. Periodical postage is paid at Albany, New York and at additional mailing offices.

POSTMASTER: Send address changes to NY STATE REGISTER, the Department of State, Division of Administrative Rules, One Commerce Plaza, 99 Washington Avenue, Albany, NY 12231-0001

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NEW YORK STATE REGISTER

Be a part of the rule making process!

The public is encouraged to comment on any of the proposed rules appearing in this issue. Comments must be made in writing and must be submitted to the agency that is proposing the rule. Address your comments to the agency representative whose name and address are printed in the notice of rule making. No special form is required; a handwritten letter will do. Individuals who access the online *Register* (www.dos.ny.gov) may send public comment via electronic mail to those recipients who provide an e-mail address in Notices of Proposed Rule Making. This includes Proposed, Emergency Proposed, Revised Proposed and Emergency Revised Proposed rule makings.

To be considered, comments should reach the agency before expiration of the public comment period. The law provides for a minimum 60-day public comment period after publication in the *Register* of every Notice of Proposed Rule Making, and a 45-day public comment period for every Notice of Revised Rule Making. If a public hearing is required by statute, public comments are accepted for at least five days after the last such hearing. Agencies are also required to specify in each notice the last date on which they will accept public comment.

When a time frame calculation ends on a Saturday or Sunday, the agency accepts public comment through the following Monday; when calculation ends on a holiday, public comment will be accepted through the following workday. Agencies cannot take action to adopt until the day after expiration of the public comment period.

The Administrative Regulations Review Commission (ARRC) reviews newly proposed regulations to examine issues of compliance with legislative intent, impact on the economy, and impact on affected parties. In addition to sending comments or recommendations to the agency, please do not hesitate to transmit your views to ARRC:

Administrative Regulations Review Commission
State Capitol
Albany, NY 12247
Telephone: (518) 455-5091 or 455-2731

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KEY: (P) Proposal; (RP) Revised Proposal; (E) Emergency; (EP) Emergency and Proposal; (A) Adoption; (AA) Amended Adoption; (W) Withdrawal

Individuals may send public comment via electronic mail to those recipients who provided an e-mail address in Notices of Proposed Rule Making. This includes Proposed, Emergency Proposed, Revised Proposed and Emergency Revised Proposed rule makings. Choose pertinent issue of the *Register* and follow the procedures on the website (www.dos.ny.gov)

Rule Making Activities

Agriculture and Markets, Department of

1 / Control of the Asian Long Horned Beetle (ALB) (A)

Civil Service, Department of

1 / Jurisdictional Classification (A)

4 / Jurisdictional Classification (P)

Economic Development, Department of

8 / Empire State Commercial Production Credit Program (A)

Financial Services, Department of

8 / Valuation of Life Insurance Reserves; Recognition of the 2001 CSO Mortality Table and the 2017 CSO Mortality Table, et al (EP)

10 / Superintendent's Regulations: Basic Banking Accounts (A)

Health, Department of

11 / Transitional Adult Home Admission Standards for Individuals with Serious Mental Illness (E)

13 / Maximum Contaminant Levels (MCLs) (RP)

Labor, Department of

16 / Minimum Wage Tip Allowances (P)

New York Homes and Community Renewal

18 / Change in Location for DHCR's Office of Legal Affairs (OLA) (E)

Public Service Commission

19 / Authority to Issue and Sell Unsecured Debt Obligations (P)

19 / Changes to the Utility Energy Registry (P)

20 / Waivers of Certain Tariff Provisions and Terms of an Agreement for the Provision of Service (P)

Hearings Scheduled for Proposed Rule Makings / 21

Action Pending Index / 23

Regulatory Agenda

69 / Agriculture and Markets, Department of

70 / Temporary and Disability Assistance, Office of

Rule Review

Advertisements for Bidders/Contractors

75 / Sealed Bids

Notice of Availability of State and Federal Funds

79 / Homeland Security and Emergency Services, Division of

Miscellaneous Notices/Hearings

81 / Notice of Abandoned Property Received by the State Comptroller

81 / Notice of Annulment Of Dissolution of Certain Business Corporations

87 / Notice of Annulment Of Dissolution of Certain Membership Corporation(s)

87 / Notice of Erroneous Inclusion In Dissolution by Proclamation of Certain Business Corporations
87 / Notice of Erroneous Inclusion In Annulment of Authority of Certain Foreign Corporations
88 / Notice of Cancellation Of Annulment of Authority of Certain Foreign Corporations
89 / Notice of Public Hearing
89 / Public Notice

RULE MAKING ACTIVITIES

Each rule making is identified by an I.D. No., which consists of 13 characters. For example, the I.D. No. AAM-01-96-00001-E indicates the following:

AAM -the abbreviation to identify the adopting agency
01 -the *State Register* issue number
96 -the year
00001 -the Department of State number, assigned upon receipt of notice.
E -Emergency Rule Making—permanent action not intended (This character could also be: A for Adoption; P for Proposed Rule Making; RP for Revised Rule Making; EP for a combined Emergency and Proposed Rule Making; EA for an Emergency Rule Making that is permanent and does not expire 90 days after filing.)

Italics contained in text denote new material. Brackets indicate material to be deleted.

Department of Agriculture and Markets

NOTICE OF ADOPTION

Control of the Asian Long Horned Beetle (ALB)

I.D. No. AAM-43-19-00009-A

Filing No. 2

Filing Date: 2020-01-02

Effective Date: 2020-01-22

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following action:

Action taken: Amendment of section 139.2 of Title 1 NYCRR.

Statutory authority: Agriculture and Markets Law, sections 18, 163 and 167

Subject: Control of the Asian Long Horned Beetle (ALB).

Purpose: To lift approximately 58 square miles of Asian long horned beetle quarantine in Brooklyn and western Queens.

Text or summary was published in the October 23, 2019 issue of the Register, I.D. No. AAM-43-19-00009-P.

Final rule as compared with last published rule: No changes.

Text of rule and any required statements and analyses may be obtained from: Christopher Logue, Director, Division of Plant Industry, Department of Agriculture & Markets, 10B Airline Drive, Albany, New York 12235, (518) 457-2087, email: christopher.logue@agriculture.ny.gov

Assessment of Public Comment

The agency received no public comment.

Department of Civil Service

NOTICE OF ADOPTION

Jurisdictional Classification

I.D. No. CVS-29-19-00013-A

Filing No. 5

Filing Date: 2020-01-03

Effective Date: 2020-01-22

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following action:

Action taken: Amendment of Appendices 1 and 2 of Title 4 NYCRR.

Statutory authority: Civil Service Law, section 6(1)

Subject: Jurisdictional Classification.

Purpose: To classify positions in the exempt class and to delete positions from the non-competitive class.

Text or summary was published in the July 17, 2019 issue of the Register, I.D. No. CVS-29-19-00013-P.

Final rule as compared with last published rule: No changes.

Text of rule and any required statements and analyses may be obtained from: Jennifer Paul, NYS Department of Civil Service, Empire State Plaza, Agency Building 1, Albany, NY 12239, (518) 473-6598, email: commops@cs.ny.gov

Assessment of Public Comment

The agency received no public comment.

NOTICE OF ADOPTION

Jurisdictional Classification

I.D. No. CVS-42-19-00009-A

Filing No. 8

Filing Date: 2020-01-03

Effective Date: 2020-01-22

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following action:

Action taken: Amendment of Appendix 1 of Title 4 NYCRR.

Statutory authority: Civil Service Law, section 6(1)

Subject: Jurisdictional Classification.

Purpose: To classify positions in the exempt class.

Text or summary was published in the October 16, 2019 issue of the Register, I.D. No. CVS-42-19-00009-P.

Final rule as compared with last published rule: No changes.

Text of rule and any required statements and analyses may be obtained from: Jennifer Paul, NYS Department of Civil Service, Empire State Plaza, Agency Building 1, Albany, NY 12239, (518) 473-6598, email: commops@cs.ny.gov

Assessment of Public Comment

The agency received no public comment.

NOTICE OF ADOPTION

Jurisdictional Classification

I.D. No. CVS-42-19-00011-A

Filing No. 11

Filing Date: 2020-01-03

Effective Date: 2020-01-22

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following action:

Action taken: Amendment of Appendix 1 of Title 4 NYCRR.

Statutory authority: Civil Service Law, section 6(1)

Subject: Jurisdictional Classification.

Purpose: To classify a position in the exempt class.

Text or summary was published in the October 16, 2019 issue of the Register, I.D. No. CVS-42-19-00011-P.

Final rule as compared with last published rule: No changes.

Text of rule and any required statements and analyses may be obtained from: Jennifer Paul, NYS Department of Civil Service, Empire State Plaza, Agency Building 1, Albany, NY 12239, (518) 473-6598, email: commops@cs.ny.gov

Assessment of Public Comment

The agency received no public comment.

NOTICE OF ADOPTION

Jurisdictional Classification

I.D. No. CVS-42-19-00012-A

Filing No. 7

Filing Date: 2020-01-03

Effective Date: 2020-01-22

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following action:

Action taken: Amendment of Appendix 2 of Title 4 NYCRR.

Statutory authority: Civil Service Law, section 6(1)

Subject: Jurisdictional Classification.

Purpose: To delete positions from and classify positions in the non-competitive class.

Text or summary was published in the October 16, 2019 issue of the Register, I.D. No. CVS-42-19-00012-P.

Final rule as compared with last published rule: No changes.

Text of rule and any required statements and analyses may be obtained from: Jennifer Paul, NYS Department of Civil Service, Empire State Plaza, Agency Building 1, Albany, NY 12239, (518) 473-6598, email: commops@cs.ny.gov

Assessment of Public Comment

The agency received no public comment.

NOTICE OF ADOPTION

Jurisdictional Classification

I.D. No. CVS-42-19-00013-A

Filing No. 12

Filing Date: 2020-01-03

Effective Date: 2020-01-22

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following action:

Action taken: Amendment of Appendix 2 of Title 4 NYCRR.

Statutory authority: Civil Service Law, section 6(1)

Subject: Jurisdictional Classification.

Purpose: To delete positions from the non-competitive class.

Text or summary was published in the October 16, 2019 issue of the Register, I.D. No. CVS-42-19-00013-P.

Final rule as compared with last published rule: No changes.

Text of rule and any required statements and analyses may be obtained from: Jennifer Paul, NYS Department of Civil Service, Empire State Plaza, Agency Building 1, Albany, NY 12239, (518) 473-6598, email: commops@cs.ny.gov

Assessment of Public Comment

The agency received no public comment.

NOTICE OF ADOPTION

Jurisdictional Classification

I.D. No. CVS-42-19-00015-A

Filing No. 9

Filing Date: 2020-01-03

Effective Date: 2020-01-22

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following action:

Action taken: Amendment of Appendix 1 of Title 4 NYCRR.

Statutory authority: Civil Service Law, section 6(1)

Subject: Jurisdictional Classification.

Purpose: To classify a position in the exempt class.

Text or summary was published in the October 16, 2019 issue of the Register, I.D. No. CVS-42-19-00015-P.

Final rule as compared with last published rule: No changes.

Text of rule and any required statements and analyses may be obtained from: Jennifer Paul, NYS Department of Civil Service, Empire State Plaza, Agency Building 1, Albany, NY 12239, (518) 473-6598, email: commops@cs.ny.gov

Assessment of Public Comment

The agency received no public comment.

NOTICE OF ADOPTION

Jurisdictional Classification

I.D. No. CVS-42-19-00016-A

Filing No. 13

Filing Date: 2020-01-03

Effective Date: 2020-01-22

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following action:

Action taken: Amendment of Appendix 1 of Title 4 NYCRR.

Statutory authority: Civil Service Law, section 6(1)

Subject: Jurisdictional Classification.

Purpose: To classify a position in the exempt class.

Text or summary was published in the October 16, 2019 issue of the Register, I.D. No. CVS-42-19-00016-P.

Final rule as compared with last published rule: No changes.

Text of rule and any required statements and analyses may be obtained from: Jennifer Paul, NYS Department of Civil Service, Empire State Plaza, Agency Building 1, Albany, NY 12239, (518) 473-6598, email: commops@cs.ny.gov

Assessment of Public Comment

The agency received no public comment.

NOTICE OF ADOPTION

Jurisdictional Classification

I.D. No. CVS-42-19-00017-A

Filing No. 4

Filing Date: 2020-01-03

Effective Date: 2020-01-22

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following action:

Action taken: Amendment of Appendix 1 of Title 4 NYCRR.

Statutory authority: Civil Service Law, section 6(1)

Subject: Jurisdictional Classification.

Purpose: To delete a position from and classify a position in the exempt class.

Text or summary was published in the October 16, 2019 issue of the Register, I.D. No. CVS-42-19-00017-P.

Final rule as compared with last published rule: No changes.

Text of rule and any required statements and analyses may be obtained from: Jennifer Paul, NYS Department of Civil Service, Empire State Plaza, Agency Building 1, Albany, NY 12239, (518) 473-6598, email: commops@cs.ny.gov

Assessment of Public Comment

The agency received no public comment.

NOTICE OF ADOPTION

Jurisdictional Classification

I.D. No. CVS-42-19-00018-A

Filing No. 6

Filing Date: 2020-01-03

Effective Date: 2020-01-22

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following action:

Action taken: Amendment of Appendix 2 of Title 4 NYCRR.

Statutory authority: Civil Service Law, section 6(1)

Subject: Jurisdictional Classification.

Purpose: To classify a position in the non-competitive class.

Text or summary was published in the October 16, 2019 issue of the Register, I.D. No. CVS-42-19-00018-P.

Final rule as compared with last published rule: No changes.

Text of rule and any required statements and analyses may be obtained from: Jennifer Paul, NYS Department of Civil Service, Empire State Plaza, Agency Building 1, Albany, NY 12239, (518) 473-6598, email: commops@cs.ny.gov

Assessment of Public Comment

The agency received no public comment.

NOTICE OF ADOPTION

Jurisdictional Classification

I.D. No. CVS-42-19-00019-A

Filing No. 15

Filing Date: 2020-01-03

Effective Date: 2020-01-22

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following action:

Action taken: Amendment of Appendix 2 of Title 4 NYCRR.

Statutory authority: Civil Service Law, section 6(1)

Subject: Jurisdictional Classification.

Purpose: To classify positions in the non-competitive class.

Text or summary was published in the October 16, 2019 issue of the Register, I.D. No. CVS-42-19-00019-P.

Final rule as compared with last published rule: No changes.

Text of rule and any required statements and analyses may be obtained from: Jennifer Paul, NYS Department of Civil Service, Empire State Plaza, Agency Building 1, Albany, NY 12239, (518) 473-6598, email: commops@cs.ny.gov

Assessment of Public Comment

The agency received no public comment.

NOTICE OF ADOPTION

Jurisdictional Classification

I.D. No. CVS-42-19-00022-A

Filing No. 10

Filing Date: 2020-01-03

Effective Date: 2020-01-22

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following action:

Action taken: Amendment of Appendix 2 of Title 4 NYCRR.

Statutory authority: Civil Service Law, section 6(1)

Subject: Jurisdictional Classification.

Purpose: To classify positions in the non-competitive class.

Text or summary was published in the October 16, 2019 issue of the Register, I.D. No. CVS-42-19-00022-P.

Final rule as compared with last published rule: No changes.

Text of rule and any required statements and analyses may be obtained from: Jennifer Paul, NYS Department of Civil Service, Empire State Plaza, Agency Building 1, Albany, NY 12239, (518) 473-6598, email: commops@cs.ny.gov

Assessment of Public Comment

The agency received no public comment.

NOTICE OF ADOPTION

Jurisdictional Classification

I.D. No. CVS-42-19-00025-A

Filing No. 17

Filing Date: 2020-01-03

Effective Date: 2020-01-22

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following action:

Action taken: Amendment of Appendices 1 and 2 of Title 4 NYCRR.

Statutory authority: Civil Service Law, section 6(1)

Subject: Jurisdictional Classification.

Purpose: To delete positions from and classify positions in the exempt and non-competitive classes.

Text or summary was published in the October 16, 2019 issue of the Register, I.D. No. CVS-42-19-00025-P.

Final rule as compared with last published rule: No changes.

Text of rule and any required statements and analyses may be obtained from: Jennifer Paul, NYS Department of Civil Service, Empire State Plaza, Agency Building 1, Albany, NY 12239, (518) 473-6598, email: commops@cs.ny.gov

Assessment of Public Comment

The agency received no public comment.

NOTICE OF ADOPTION

Jurisdictional Classification

I.D. No. CVS-42-19-00026-A

Filing No. 16

Filing Date: 2020-01-03

Effective Date: 2020-01-22

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following action:

Action taken: Amendment of Appendices 1 and 2 of Title 4 NYCRR.

Statutory authority: Civil Service Law, section 6(1)

Subject: Jurisdictional Classification.

Purpose: To classify positions in the exempt class and to delete a position from and classify positions in the non-competitive class.

Text or summary was published in the October 16, 2019 issue of the Register, I.D. No. CVS-42-19-00026-P.

Final rule as compared with last published rule: No changes.

Text of rule and any required statements and analyses may be obtained from: Jennifer Paul, NYS Department of Civil Service, Empire State Plaza, Agency Building 1, Albany, NY 12239, (518) 473-6598, email: commops@cs.ny.gov

Assessment of Public Comment

The agency received no public comment.

NOTICE OF ADOPTION

Jurisdictional Classification

I.D. No. CVS-42-19-00027-A

Filing No. 14

Filing Date: 2020-01-03

Effective Date: 2020-01-22

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following action:

Action taken: Amendment of Appendices 1 and 2 of Title 4 NYCRR.

Statutory authority: Civil Service Law, section 6(1)

Subject: Jurisdictional Classification.

Purpose: To classify positions in the exempt and non-competitive classes. **Text or summary was published** in the October 16, 2019 issue of the Register, I.D. No. CVS-42-19-00027-P.

Final rule as compared with last published rule: No changes.

Text of rule and any required statements and analyses may be obtained from: Jennifer Paul, NYS Department of Civil Service, Empire State Plaza, Agency Building 1, Albany, NY 12239, (518) 473-6598, email: commops@cs.ny.gov

Assessment of Public Comment

The agency received no public comment.

PROPOSED RULE MAKING NO HEARING(S) SCHEDULED

Jurisdictional Classification

I.D. No. CVS-03-20-00003-P

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following proposed rule:

Proposed Action: Amendment of Appendix 2 of Title 4 NYCRR.

Statutory authority: Civil Service Law, section 6(1)

Subject: Jurisdictional Classification.

Purpose: To classify positions in the non-competitive class.

Text of proposed rule: Amend Appendix 2 of the Rules for the Classified Service, listing positions in the non-competitive class, in the Department of Mental Hygiene under the subheading "Office for People with Developmental Disabilities," by increasing the number of positions of Internal Investigator 2 (OPWDD) from 13 to 20.

Text of proposed rule and any required statements and analyses may be obtained from: Jennifer Paul, NYS Department of Civil Service, Empire State Plaza, Agency Building 1, Albany, NY 12239, (518) 473-6598, email: commops@cs.ny.gov

Data, views or arguments may be submitted to: Marc Hannibal, Counsel, NYS Department of Civil Service, Empire State Plaza, Agency Building 1, Albany, NY 12239, (518) 473-2624, email: public.comments@cs.ny.gov

Public comment will be received until: 60 days after publication of this notice.

Regulatory Impact Statement

A regulatory impact statement is not submitted with this notice because this rule is subject to a consolidated regulatory impact statement that was previously printed under a notice of proposed rule making, I.D. No. CVS-02-19-00001-P, Issue of January 9, 2019.

Regulatory Flexibility Analysis

A regulatory flexibility analysis is not submitted with this notice because this rule is subject to a consolidated regulatory flexibility analysis that was previously printed under a notice of proposed rule making, I.D. No. CVS-02-19-00001-P, Issue of January 9, 2019.

Rural Area Flexibility Analysis

A rural area flexibility analysis is not submitted with this notice because this rule is subject to a consolidated rural area flexibility analysis that was previously printed under a notice of proposed rule making, I.D. No. CVS-02-19-00001-P, Issue of January 9, 2019.

Job Impact Statement

A job impact statement is not submitted with this notice because this rule is subject to a consolidated job impact statement that was previously printed under a notice of proposed rule making, I.D. No. CVS-02-19-00001-P, Issue of January 9, 2019.

PROPOSED RULE MAKING NO HEARING(S) SCHEDULED

Jurisdictional Classification

I.D. No. CVS-03-20-00004-P

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following proposed rule:

Proposed Action: Amendment of Appendix 1 of Title 4 NYCRR.

Statutory authority: Civil Service Law, section 6(1)

Subject: Jurisdictional Classification.

Purpose: To classify a position in the exempt class.

Text of proposed rule: Amend Appendix 1 of the Rules for the Classified Service, listing positions in the exempt class, in the Executive Department under the subheading "Justice Center for the Protection of People with Special Needs," by adding thereto the position of Internal Control Officer.

Text of proposed rule and any required statements and analyses may be obtained from: Jennifer Paul, NYS Department of Civil Service, Empire State Plaza, Agency Building 1, Albany, NY 12239, (518) 473-6598, email: commops@cs.ny.gov

Data, views or arguments may be submitted to: Marc Hannibal, Counsel, NYS Department of Civil Service, Empire State Plaza, Agency Building 1, Albany, NY 12239, (518) 473-2624, email: public.comments@cs.ny.gov

Public comment will be received until: 60 days after publication of this notice.

Consolidated Regulatory Impact Statement

1. **Statutory Authority:** The New York State Civil Service Commission is authorized to promulgate rules for the jurisdictional classification of offices within the classified service of the State by Section 6 of the Civil Service Law. In so doing, it is guided by the requirements of Sections 41, 42 and 43 of this same law.

2. **Legislative Objectives:** These rule changes are in accord with the statutory authority delegated to the Civil Service Commission to prescribe rules for the jurisdictional classification of the offices and positions in the classified service of the State.

3. **Needs and Benefits:** Article V, Section 6, of the New York State Constitution requires that, wherever practicable, appointments and promotions in the civil service of the State, including all its civil divisions, are to be made according to merit and fitness. It also requires that competitive examinations be used, as far as practicable, as a basis for establishing this eligibility. This requirement is intended to provide protection for those individuals appointed or seeking appointment to civil service positions while, at the same time, protecting the public by securing for it the services of employees with greater merit and ability. However, as the language suggests, the framers of the Constitution realized it would not always be possible, nor indeed feasible, to fill every position through the competitive process. This point was also recognized by the Legislature for, when it enacted the Civil Service Law to implement this constitutional mandate, it provided basic guidelines for determining which positions were to be outside of the competitive class. These guidelines are contained in Section 41, which provides for the exempt class; 42, the non-competitive class and 43, the labor class. Thus, there are four jurisdictional classes within the classified service of the civil service and any movement between them is termed a jurisdictional reclassification.

The Legislature further established a Civil Service Department to administer this Law and a Civil Service Commission to serve primarily as an appellant body. The Commission has also been given rulemaking responsibility in such areas as the jurisdictional classification of offices within the classified service of the State (Civil Service Law Section 6). In exercising this rule-making responsibility, the Commission has chosen to provide appendices to its rules, known as Rules for the Classified Service, to list those positions in the classified service which are in the exempt class (Appendix 1), non-competitive class (Appendix 2), and labor class (Appendix 3).

In effect, all positions, upon creation at least, are, by constitutional mandate, a part of the competitive class and remain so until removed by the Civil Service Commission, through an amendment of its rules upon showing of impracticability in accordance with the guidelines provided by the Legislature. The guidelines are as follows. The exempt class is to include those positions specifically placed there by the Legislature, together with all other subordinate positions for which there is no requirement that the person appointed pass a civil service examination. Instead, appointments rest in the discretion of the person who, by law, has determined the position's qualifications and whether the persons to be appointed possess those qualifications. The non-competitive class is to be comprised of those positions which are not in the exempt or labor classes and for which the Civil Service Commission has found it impracticable to determine an applicant's merit and fitness through a competitive examination. The qualifications of those candidates selected are to be determined by an examination which is sufficient to insure selection of proper and competent employees. The labor class is to be made up of all unskilled laborers in the service of the State and its civil divisions, except those which can be examined for competitively.

4. **Costs:** The removal of a position from one jurisdictional class and placement in another is descriptive of the proper placement of the position in question in the classified service, and has no appreciable economic impact for the State or local governments.

5. Local Government Mandates: These amendments have no impact on local governments. They pertain only to the jurisdictional classification of positions in the State service.

6. Paperwork: There are no new reporting requirements imposed on applicants by these rules.

7. Duplication: These rules are not duplicative of State or Federal requirements.

8. Alternatives: Within the statutory constraints of the New York State Civil Service Commission, it is not believed there is a viable alternative to the jurisdictional classification chosen.

9. Federal Standards: There are no parallel Federal standards and, therefore, this is not applicable.

10. Compliance Schedule: No action is required by the subject State agencies and, therefore, no estimated time period is required.

Regulatory Flexibility Analysis

The proposal does not affect or impact upon small businesses or local governments, as defined by Section 102(8) of the State Administrative Procedure Act, and, therefore, a regulatory flexibility analysis for small businesses is not required by Section 202-b of such act. In light of the fact that this proposal only affects jurisdictional classifications of State employees, it will not have any adverse impact on small businesses or local governments.

Rural Area Flexibility Analysis

The proposal does not affect or impact upon rural areas as defined by Section 102(13) of the State Administrative Procedure Act and Section 481(7) of the Executive Law, and, therefore, a rural area flexibility analysis is not required by Section 202-bb of such act. In light of the fact that this proposal only affects jurisdictional classifications of State employees, it will not have any adverse impact on rural areas.

Job Impact Statement

The proposal has no impact on jobs and employment opportunities. This proposal only affects the jurisdictional classification of positions in the Classified Civil Service.

PROPOSED RULE MAKING NO HEARING(S) SCHEDULED

Jurisdictional Classification

I.D. No. CVS-03-20-00005-P

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following proposed rule:

Proposed Action: Amendment of Appendix 2 of Title 4 NYCRR.

Statutory authority: Civil Service Law, section 6(1)

Subject: Jurisdictional Classification.

Purpose: To delete positions from and classify positions in the non-competitive class.

Text of proposed rule: Amend Appendix 2 of the Rules for the Classified Service, listing positions in the non-competitive class, in the Department of Agriculture and Markets, by deleting therefrom the position of øSecretary 2 (1) and by adding thereto the position of øAdministrative Assistant 2 (1); in the Department of Audit and Control, by deleting therefrom the positions of øSecretary 2 (20) and by adding thereto the positions of øAdministrative Assistant 2 (20); in the Department of Civil Service, by deleting therefrom the positions of Secretary 1 (Spanish Language) (1), Secretary 2 (2) and øSecretary 2 (3) and by adding thereto the positions of Administrative Assistant 1 (Spanish Language) (1), Administrative Assistant 2 (2) and øAdministrative Assistant 2 (3); in the Department of Civil Service under the subheading "Public Employment Relations Board," by deleting therefrom the position of øSecretary 2 (1) and by adding thereto the position of øAdministrative Assistant 2 (1); in the Department of Corrections and Community Supervision, by deleting therefrom the positions of øSecretary 2 (2) and by adding thereto the positions of øAdministrative Assistant 2 (2); in the Department of Economic Development, by deleting therefrom the positions of Secretary 1 (1), øSecretary 1 (1), Secretary 2 (2) and øSecretary 2 (1) and by adding thereto the positions of Administrative Assistant 1 (1), øAdministrative Assistant 1 (1), Administrative Assistant 2 (2) and øAdministrative Assistant 2 (1); in the Education Department, by deleting therefrom the positions of øSecretary 2 (6) and by adding thereto the positions of øAdministrative Assistant 2 (6); in the Education Department under the subheading "New York State Higher Education Services Corporation," by deleting therefrom the position of øSecretary 2 (1) and by adding thereto the position of øAdministrative Assistant 2 (1); in the State University of New York under the subheading "Central Administration," by deleting therefrom the positions of Secretary 1 (Spanish

Language) (1), øSecretary 1 (1) and øSecretary 2 (13) and by adding thereto the positions of øAdministrative Assistant 1 (1) and øAdministrative Assistant 2 (13); in the State University of New York under the subheading "State University Agricultural and Technical Colleges," by deleting therefrom the positions of øSecretary 2 (1) at Canton, øSecretary 2 (3) at Cobleskill, øSecretary 2 (4) at Delhi and øSecretary 2 (1) at Farmingdale and by adding thereto the positions of øAdministrative Assistant 2 (1) at Canton, øAdministrative Assistant 2 (2) at Cobleskill, øAdministrative Assistant 2 (4) at Delhi and øAdministrative Assistant 2 (1) at Farmingdale; in the State University of New York under the subheading "State University Colleges," by deleting therefrom the positions of øSecretary 1 (Spanish Language) (1) at Empire State College, øSecretary 1 (1) at SUC at New Paltz, øSecretary 2 (4) at Empire State College, øSecretary 2 (1) at Empire State College, øSecretary 2 (5) at SUC at Brockport, øSecretary 2 (4) at SUC at Buffalo, øSecretary 2 (1) at SUC at Cortland, øSecretary 2 (4) at SUC at Geneseo, øSecretary 2 (3) at SUC at New Paltz, øSecretary 2 (1) at SUC at Old Westbury, øSecretary 2 (3) at SUC at Oneonta, øSecretary 2 (7) at SUC at Oswego, øSecretary 2 (4) at SUC at Plattsburgh, øSecretary 2 (1) at SUC at Potsdam, øSecretary 2 (2) at SUC at Purchase and øSecretary 2 (2) at SUC at Utica/Rome and by adding thereto the positions of øAdministrative Assistant 1 (Spanish Language) (1) at Empire State College, øAdministrative Assistant 1 (1) at SUC at New Paltz, øAdministrative Assistant 2 (4) at Empire State College, øAdministrative Assistant 2 (1) at Empire State College, øAdministrative Assistant 2 (4) at SUC at Brockport, øAdministrative Assistant 2 (4) at SUC at Buffalo, øAdministrative Assistant 2 (1) at SUC at Cortland, øAdministrative Assistant 2 (4) at SUC at Geneseo, øAdministrative Assistant 2 (3) at SUC at New Paltz, øAdministrative Assistant 2 (1) at SUC at Old Westbury, øAdministrative Assistant 2 (3) at SUC at Oneonta, øAdministrative Assistant 2 (7) at SUC at Oswego, øAdministrative Assistant 2 (4) at SUC at Plattsburgh, øAdministrative Assistant 2 (1) at SUC at Potsdam, øAdministrative Assistant 2 (2) at SUC at Purchase and øAdministrative Assistant 2 (2) at SUC at Utica/Rome; in the State University of New York under the subheading "SUNY College of Environmental Science and Forestry," by deleting therefrom the positions of øSecretary 2 (3) and by adding thereto the positions of øAdministrative Assistant 2 (2); in the State University of New York under the subheading "SUNY at Albany," by deleting therefrom the positions of Secretary 1 (French Language) (1), Secretary 1 (Russian Language) (1), Secretary 1 (Spanish Language) (2) and øSecretary 2 (7) and by adding thereto the positions of Administrative Assistant 1 (French Language) (1), Administrative Assistant 1 (Russian Language) (1), Administrative Assistant 1 (Spanish Language) (1) and øAdministrative Assistant 2 (7); in the State University of New York under the subheading "SUNY at Binghamton," by deleting therefrom the positions of Secretary 1 (French Language) (1) and øSecretary 2 (7) and by adding thereto the positions of Administrative Assistant 1 (French Language) (1) and øAdministrative Assistant 2 (7); in the State University of New York under the subheading "SUNY at Buffalo," by deleting therefrom the positions of øSecretary 1 (1) and øSecretary 2 (5) and by adding thereto the positions of øAdministrative Assistant 1 (1) and øAdministrative Assistant 2 (1); in the State University of New York under the subheading "State University Maritime College," by deleting therefrom the position of øSecretary 2 (1) and by adding thereto the position of øAdministrative Assistant 2 (1); in the State University of New York under the subheading "SUNY at Stony Brook," by deleting therefrom the positions of øSecretary 2 (2) and by adding thereto the positions of øAdministrative Assistant 2 (2); in the Department of Environmental Conservation, by deleting therefrom the positions of Secretary 1 (until first vacated) (2), øSecretary 2 (11) and øSecretary 2 (1) (New York City only) and by adding thereto the positions of Administrative Assistant 1 (until first vacated) (2), øAdministrative Assistant 2 (11) and øAdministrative Assistant 2 (1) (New York City only); in the Executive Department under the subheading "Office of the Governor," by deleting therefrom the position of øSecretary 2 (1) and by adding thereto the position of øAdministrative Assistant 2 (1); in the Executive Department under the subheading "Office for the Aging," by deleting therefrom the position of øSecretary 2 (1) and by adding thereto the position of øAdministrative Assistant 2 (1); in the Executive Department under the subheading "Division of Alcoholic Beverage Control," by deleting therefrom the positions of øSecretary 1 (2) and øSecretary 2 (2) and by adding thereto the positions of øAdministrative Assistant 1 (2) and øAdministrative Assistant 2 (2); in the Executive Department under the subheading "Commission of Correction," by deleting therefrom the position of øSecretary 2 (1) (retroactive to May 27, 1998) and by adding thereto the position of øAdministrative Assistant 2 (1); in the Executive Department under the subheading "Division of Criminal Justice Services," by deleting therefrom the positions of øSecretary 2 (2) and by adding thereto the positions of øAdministrative Assistant 2 (2); in the Executive Department under the subheading "Office of General Services," by deleting therefrom the positions of øSecretary 2 (4) and by adding thereto the positions of

Administrative Assistant 2 (4); in the Executive Department under the subheading "Division of Housing and Community Renewal," by deleting therefrom the positions of Administrative Assistant 2 (4) and by adding thereto the positions of Administrative Assistant 2 (4); in the Executive Department under the subheading "Division of Human Rights," by deleting therefrom the positions of Administrative Assistant 2 (2) and by adding thereto the positions of Administrative Assistant 2 (2); in the Executive Department under the subheading "Office of Information Technology Services," by deleting therefrom the positions of Administrative Assistant 2 (3) and by adding thereto the positions of Administrative Assistant 2 (3); in the Executive Department under the subheading "Office of Parks, Recreation and Historic Preservation," by deleting therefrom the positions of Administrative Assistant 1 (1) and Administrative Assistant 2 (5) and by adding thereto the positions of Administrative Assistant 1 (1) and Administrative Assistant 2 (5); in the Executive Department under the subheading "Office for the Prevention of Domestic Violence," by deleting therefrom the position of Administrative Assistant 2 (1) and by adding thereto the position of Administrative Assistant 2 (1); in the Executive Department under the subheading "Office of Victim Services," by deleting therefrom the positions of Administrative Assistant 2 (2) and by adding thereto the positions of Administrative Assistant 2 (2); in the Department of Family Assistance under the subheading "Office of Children and Family Services," by deleting therefrom the positions of Administrative Assistant 2 (6) and by adding thereto the positions of Administrative Assistant 2 (6); in the Department of Family Assistance under the subheading "Office of Temporary and Disability Assistance," by deleting therefrom the positions of Administrative Assistant 2 (7) and by adding thereto the positions of Administrative Assistant 2 (7); in the Department of Financial Services, by deleting therefrom the positions of Administrative Assistant 2 (2) and by adding thereto the positions of Administrative Assistant 2 (2); in the Department of Health, by deleting therefrom the positions of Administrative Assistant 1 (1) and Administrative Assistant 2 (8) and by adding thereto the positions of Administrative Assistant 1 (1) and Administrative Assistant 2 (7); in the Department of Labor, by deleting therefrom the positions of Administrative Assistant 1 (1) and Administrative Assistant 2 (7) and by adding thereto the positions of Administrative Assistant 1 (1) and Administrative Assistant 2 (6); in the Department of Labor under the subheading "State Employment Relations Board," by deleting therefrom the position of Administrative Assistant 1 (1) and by adding thereto the position of Administrative Assistant 1 (1); in the Department of Labor under the subheading "State Insurance Fund," by deleting therefrom the positions of Administrative Assistant 2 (3) and by adding thereto the positions of Administrative Assistant 2 (3); in the Department of Labor under the subheading "Unemployment Insurance Appeal Board," by deleting therefrom the position of Administrative Assistant 2 (1); in the Department of Labor under the subheading "Workers' Compensation Board," by deleting therefrom the positions of Administrative Assistant 2 (3) and by adding thereto the positions of Administrative Assistant 2 (3); in the Department of Law, by deleting therefrom the position of Administrative Assistant 2 (1) and by adding thereto the position of Administrative Assistant 2 (1); in the Department of Mental Hygiene under the subheading "Office of Alcoholism and Substance Abuse Services," by deleting therefrom the positions of Administrative Assistant 1 (1) and Administrative Assistant 2 (6) and by adding thereto the positions of Administrative Assistant 1 (1) and Administrative Assistant 2 (5); in the Department of Motor Vehicles, by deleting therefrom the positions of Administrative Assistant 1 (2) and by adding thereto the positions of Administrative Assistant 1 (2); in the Department of Public Service, by deleting therefrom the positions of Administrative Assistant 1 (1) and Administrative Assistant 2 (4) and by adding thereto the positions of Administrative Assistant 1 (1) and Administrative Assistant 2 (4); in the Department of State, by deleting therefrom the positions of Administrative Assistant 1 (1) and Administrative Assistant 2 (5) and by adding thereto the positions of Administrative Assistant 1 (1) and Administrative Assistant 2 (5); in the Department of Taxation and Finance, by deleting therefrom the position of Administrative Assistant 2 (1) and by adding thereto the position of Administrative Assistant 2 (1); in the Department of Transportation, by deleting therefrom the positions of Administrative Assistant 2 (8) and by adding thereto the positions of Administrative Assistant 2 (8); in the Lake George Park Commission, by deleting therefrom the position of Administrative Assistant 2 (1) and by adding thereto the position of Administrative Assistant 2 (1); in the New York State Thruway Authority, by deleting therefrom the positions of Administrative Assistant 1 (1) and Administrative Assistant 2 (1) and by adding thereto the positions of Administrative Assistant 1 (1) and Administrative Assistant 2 (1); and, in the New York State Power Authority under the subheading "New York State Canal Corporation," by deleting therefrom the position of Confidential Assistant (1) and by adding thereto the position of Administrative Assistant (1).

Text of proposed rule and any required statements and analyses may be obtained from: Jennifer Paul, NYS Department of Civil Service, Empire State Plaza, Agency Building 1, Albany, NY 12239, (518) 473-6598, email: commops@cs.ny.gov

Data, views or arguments may be submitted to: Marc Hannibal, Counsel,

NYS Department of Civil Service, Empire State Plaza, Agency Building 1, Albany, NY 12239, (518) 473-2624, email: public.comments@cs.ny.gov
Public comment will be received until: 60 days after publication of this notice.

Regulatory Impact Statement

A regulatory impact statement is not submitted with this notice because this rule is subject to a consolidated regulatory impact statement that was previously printed under a notice of proposed rule making, I.D. No. CVS-02-19-00001-P, Issue of January 9, 2019.

Regulatory Flexibility Analysis

A regulatory flexibility analysis is not submitted with this notice because this rule is subject to a consolidated regulatory flexibility analysis that was previously printed under a notice of proposed rule making, I.D. No. CVS-02-19-00001-P, Issue of January 9, 2019.

Rural Area Flexibility Analysis

A rural area flexibility analysis is not submitted with this notice because this rule is subject to a consolidated rural area flexibility analysis that was previously printed under a notice of proposed rule making, I.D. No. CVS-02-19-00001-P, Issue of January 9, 2019.

Job Impact Statement

A job impact statement is not submitted with this notice because this rule is subject to a consolidated job impact statement that was previously printed under a notice of proposed rule making, I.D. No. CVS-02-19-00001-P, Issue of January 9, 2019.

PROPOSED RULE MAKING NO HEARING(S) SCHEDULED

Jurisdictional Classification

I.D. No. CVS-03-20-00006-P

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following proposed rule:

Proposed Action: Amendment of Appendix 2 of Title 4 NYCRR.

Statutory authority: Civil Service Law, section 6(1)

Subject: Jurisdictional Classification.

Purpose: To classify a position in the non-competitive class.

Text of proposed rule: Amend Appendix 2 of the Rules for the Classified Service, listing positions in the non-competitive class, in the Executive Department under the subheading "Division of Criminal Justice Services," by adding thereto the position of Laboratory Accreditation Specialist 3 (1).

Text of proposed rule and any required statements and analyses may be obtained from: Jennifer Paul, NYS Department of Civil Service, Empire State Plaza, Agency Building 1, Albany, NY 12239, (518) 473-6598, email: commops@cs.ny.gov

Data, views or arguments may be submitted to: Marc Hannibal, Counsel, NYS Department of Civil Service, Empire State Plaza, Agency Building 1, Albany, NY 12239, (518) 473-2624, email: public.comments@cs.ny.gov

Public comment will be received until: 60 days after publication of this notice.

Regulatory Impact Statement

A regulatory impact statement is not submitted with this notice because this rule is subject to a consolidated regulatory impact statement that was previously printed under a notice of proposed rule making, I.D. No. CVS-02-19-00001-P, Issue of January 9, 2019.

Regulatory Flexibility Analysis

A regulatory flexibility analysis is not submitted with this notice because this rule is subject to a consolidated regulatory flexibility analysis that was previously printed under a notice of proposed rule making, I.D. No. CVS-02-19-00001-P, Issue of January 9, 2019.

Rural Area Flexibility Analysis

A rural area flexibility analysis is not submitted with this notice because this rule is subject to a consolidated rural area flexibility analysis that was previously printed under a notice of proposed rule making, I.D. No. CVS-02-19-00001-P, Issue of January 9, 2019.

Job Impact Statement

A job impact statement is not submitted with this notice because this rule is subject to a consolidated job impact statement that was previously printed under a notice of proposed rule making, I.D. No. CVS-02-19-00001-P, Issue of January 9, 2019.

PROPOSED RULE MAKING NO HEARING(S) SCHEDULED

Jurisdictional Classification

I.D. No. CVS-03-20-00007-P

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following proposed rule:

Proposed Action: Amendment of Appendix 1 of Title 4 NYCRR.

Statutory authority: Civil Service Law, section 6(1)

Subject: Jurisdictional Classification.

Purpose: To delete positions from and classify positions in the exempt class.

Text of proposed rule: Amend Appendix 1 of the Rules for the Classified Service, listing positions in the exempt class, in the Department of Agriculture and Markets, by deleting therefrom the positions of Secretary (3) and by adding thereto the positions of Administrative Assistant (3); in the Department of Audit and Control, by deleting therefrom the positions of Confidential Assistant, Executive Secretary (6) and Secretary (16) and by adding thereto the positions of Administrative Assistant (23); in the Department of Civil Service, by deleting therefrom the position of Secretary and by adding thereto the position of Administrative Assistant; in the Department of Corrections and Community Supervision under the subheading "State Board of Parole," by deleting therefrom the position of Secretary and by adding thereto the position of Administrative Assistant; in the Department of Economic Development, by deleting therefrom the positions of Executive Secretary and Secretary (3) and by adding thereto the positions of Administrative Assistant (4); in the Department of Economic Development under the subheading "Governor's Office of Minority and Women's Business Development," by deleting therefrom the positions of Confidential Stenographer (5) and by adding thereto the positions of Administrative Assistant (5); in the Education Department, by deleting therefrom the position of Secretary and by adding thereto the position of Administrative Assistant; in the State University of New York under the subheading "Central Administration," by deleting therefrom the positions of Secretary (7) and by adding thereto the positions of Administrative Assistant (7); in the State University of New York under the subheading "Each State University Agricultural and Technical College," by deleting therefrom the position of Secretary and by adding thereto the position of Administrative Assistant; in the State University of New York under the subheading "Each State University College," by deleting therefrom the positions of Secretary (2 at Oswego and 1 at each other State University College) and by adding thereto the positions of Administrative Assistant (2 at Oswego and 1 at each other State University College); in the State University of New York under the subheading "State University Centers," by deleting therefrom the positions of Secretary (2 at Albany and 1 at each other Center) and by adding thereto the positions of Administrative Assistant (2 at Albany and 1 at each other Center); in the State University of New York under the subheading "SUNY College of Environmental Science and Forestry," by deleting therefrom the position of Secretary and by adding thereto the position of Administrative Assistant; in the State University of New York under the subheading "State University Maritime College," by deleting therefrom the position of Secretary and by adding thereto the position of Administrative Assistant; in the Department of Environmental Conservation, by deleting therefrom the positions of Confidential Assistant and Secretary (5) and by adding thereto the positions of Administrative Assistant (6); in the Executive Department under the subheading "Office of the Governor," by deleting therefrom the positions of Confidential Assistant (28), Confidential Secretary (4), Confidential Stenographer (52) and Special Office Assistant (20) and by adding thereto the positions of Administrative Assistant (91); in the Executive Department under the subheading "Adirondack Park Agency," by deleting therefrom the position of Secretary and by adding thereto the position of Administrative Assistant; in the Executive Department under the subheading "Office for the Aging," by deleting therefrom the positions of Secretary (3) and by adding thereto the positions of Administrative Assistant (3); in the Executive Department under the subheading "Division of the Budget," by deleting therefrom the positions of Confidential Assistant (5), Confidential Secretary (6), Confidential Stenographer (5), Secretary (2) and Special Office Assistant (6) and by adding thereto the positions of Administrative Assistant (22); in the Executive Department under the subheading "Commission of Correction," by deleting therefrom the position of Secretary and by adding thereto the position of Administrative Assistant; in the Executive Department under the subheading "Division of Criminal Justice Services," by deleting therefrom the positions of Secretary (3) and by adding thereto the positions of Administrative Assistant (3); in the Executive Department under the subheading "State Board of

Elections," by deleting therefrom the positions of Confidential Clerk (2) and Secretary (5) and by adding thereto the positions of Administrative Assistant (7); in the Executive Department under the subheading "Office of Employee Relations," by deleting therefrom the positions of Confidential Assistant (2), Confidential Stenographer (7) and Secretary and by adding thereto the positions of Administrative Assistant (10); in the Executive Department under the subheading "Gaming Commission," by deleting therefrom the positions of Confidential Assistant and Secretary (5) and by adding thereto the positions of Administrative Assistant (6); in the Executive Department under the subheading "Office of General Services," by deleting therefrom the positions of Confidential Assistant (2), Confidential Stenographer (4), Secretary (2) and Special Office Assistant and by adding thereto the positions of Administrative Assistant (9); in the Executive Department under the subheading "Division of Homeland Security and Emergency Services," by deleting therefrom the positions of Secretary (2) and by adding thereto the positions of Administrative Assistant (2); in the Executive Department under the subheading "Division of Housing and Community Renewal," by deleting therefrom the positions of Secretary (3) and by adding thereto the positions of Administrative Assistant (3); in the Executive Department under the subheading "Division of Human Rights," by deleting therefrom the position of Secretary and by adding thereto the position of Administrative Assistant; in the Executive Department under the subheading "Office of Indigent Legal Services," by deleting therefrom the position of Confidential Assistant and by adding thereto the position of Administrative Assistant; in the Executive Department under the subheading "Office of Information Technology Services," by deleting therefrom the positions of Confidential Stenographer and Secretary (4) and by adding thereto the positions of Administrative Assistant (5); in the Executive Department under the subheading "Justice Center for the Protection of People with Special Needs," by deleting therefrom the positions of Executive Secretary and Secretary and by adding thereto the positions of Administrative Assistant (2); in the Executive Department under the subheading "Statewide Financial System," by deleting therefrom the position of Secretary and by adding thereto the position of Administrative Assistant; in the Executive Department under the subheading "Division of Veterans' Affairs," by deleting therefrom the position of Secretary and by adding thereto the position of Administrative Assistant; in the Executive Department under the subheading "Office of Victim Services," by deleting therefrom the position of Secretary and by adding thereto the position of Administrative Assistant; in the Department of Family Assistance under the subheading "Office of Children and Family Services," by deleting therefrom the positions of Secretary (4) and by adding thereto the positions of Administrative Assistant (4); in the Department of Family Assistance under the subheading "Office of Temporary and Disability Assistance," by deleting therefrom the position of Secretary and by adding thereto the position of Administrative Assistant; in the Department of Financial Services, by deleting therefrom the positions of Secretary (3) and by adding thereto the positions of Administrative Assistant (3); in the Department of Health, by deleting therefrom the positions of Executive Secretary and Secretary (5) and by adding thereto the positions of Administrative Assistant (6); in the Department of Health under the subheading "Office of the Medicaid Inspector General," by deleting therefrom the positions of Secretary (2) and by adding thereto the positions of Administrative Assistant (2); in the Department of Labor under the subheading "Administration - General," by deleting therefrom the positions of Confidential Assistant (2), Executive Secretary and Secretary (7) and by adding thereto the positions of Administrative Assistant (10); in the Department of Labor under the subheading "State Employment Relations Board," by deleting therefrom the positions of Executive Secretary and Secretary and by adding thereto the positions of Administrative Assistant (2); in the Department of Labor under the subheading "Industrial Board of Appeals," by deleting therefrom the positions of Secretary (2) and by adding thereto the positions of Administrative Assistant (2); in the Department of Labor under the subheading "State Insurance Fund," by deleting therefrom the positions of Executive Secretary and Secretary (2) and by adding thereto the positions of Administrative Assistant (3); in the Department of Labor under the subheading "Workers' Compensation Board," by deleting therefrom the positions of Secretary (3) and by adding thereto the positions of Administrative Assistant (3); in the Department of Law, by deleting therefrom the positions of Confidential Administrative Assistant (3), Confidential Assistant (21), Confidential Clerk (27), Confidential Stenographer (3) and Secretary (32) and by adding thereto the positions of Administrative Assistant (85); in the Department of Mental Hygiene under the subheading "Office of Alcoholism and Substance Abuse Services," by deleting therefrom the positions of Secretary (2) and by adding thereto the positions of Administrative Assistant (2); in the Department of Mental Hygiene under the subheading "Office of Mental Health," by deleting therefrom the positions of Secretary (2) and by adding thereto the positions of Administrative Assistant (2); in the Department of Mental Hygiene under the subheading "Office for People with Developmental

Disabilities,” by deleting therefrom the positions of Secretary (3) and by adding thereto the positions of Administrative Assistant (3); in the Department of Motor Vehicles, by deleting therefrom the positions of Confidential Secretary and Secretary (2) and by adding thereto the positions of Administrative Assistant (3); in the Department of Public Service, by deleting therefrom the positions of Confidential Assistant and Secretary (4) and by adding thereto the positions of Administrative Assistant (5); in the Department of State, by deleting therefrom the positions of Secretary (6) and by adding thereto the positions of Administrative Assistant (6); in the Department of State under the subheading “Authorities Budget Office,” by deleting therefrom the position of Secretary and by adding thereto the position of Administrative Assistant; in the Department of State under the subheading “Joint Commission on Public Ethics,” by deleting therefrom the positions of Confidential Assistant (4), Confidential Clerk (1) and Secretary (2) and by adding thereto the positions of Administrative Assistant (7); in the Department of Taxation and Finance, by deleting therefrom the positions of Secretary (3) and by adding thereto the positions of Administrative Assistant (3); in the Department of Taxation and Finance under the subheading “Division of Tax Appeals,” by deleting therefrom the positions of Executive Secretary and Secretary and by adding thereto the positions of Administrative Assistant (2); in the Council on the Arts, by deleting therefrom the position of Secretary and by adding thereto the position of Administrative Assistant; in the Financial Control Board, by deleting therefrom the positions of Secretary (2) and by adding thereto the positions of Administrative Assistant (2); in the New York State Housing Finance Agency, by deleting therefrom the position of Secretary and by adding thereto the position of Administrative Assistant; in the Labor Management Committees, by deleting therefrom the positions of Confidential Assistant and Confidential Stenographer (14) and by adding thereto the positions of Administrative Assistant (14); in the Office of the Lieutenant Governor, by deleting therefrom the positions of Confidential Stenographer, Executive Secretary and Special Office Assistant and by adding thereto the positions of Administrative Assistant (2); and, in the New York State Thruway Authority, by deleting therefrom the positions of Secretary (3) and by adding thereto the positions of Administrative Assistant (3).

Text of proposed rule and any required statements and analyses may be obtained from: Jennifer Paul, NYS Department of Civil Service, Empire State Plaza, Agency Building 1, Albany, NY 12239, (518) 473-6598, email: commops@cs.ny.gov

Data, views or arguments may be submitted to: Marc Hannibal, Counsel, NYS Department of Civil Service, Empire State Plaza, Agency Building 1, Albany, NY 12239, (518) 473-2624, email: public.comments@cs.ny.gov

Public comment will be received until: 60 days after publication of this notice.

Regulatory Impact Statement

A regulatory impact statement is not submitted with this notice because this rule is subject to a consolidated regulatory impact statement that was previously printed under a notice of proposed rule making, I.D. No. CVS-02-19-00001-P, Issue of January 9, 2019.

Regulatory Flexibility Analysis

A regulatory flexibility analysis is not submitted with this notice because this rule is subject to a consolidated regulatory flexibility analysis that was previously printed under a notice of proposed rule making, I.D. No. CVS-02-19-00001-P, Issue of January 9, 2019.

Rural Area Flexibility Analysis

A rural area flexibility analysis is not submitted with this notice because this rule is subject to a consolidated rural area flexibility analysis that was previously printed under a notice of proposed rule making, I.D. No. CVS-02-19-00001-P, Issue of January 9, 2019.

Job Impact Statement

A job impact statement is not submitted with this notice because this rule is subject to a consolidated job impact statement that was previously printed under a notice of proposed rule making, I.D. No. CVS-02-19-00001-P, Issue of January 9, 2019.

Department of Economic Development

NOTICE OF ADOPTION

Empire State Commercial Production Credit Program

I.D. No. EDV-43-19-00001-A

Filing No. 20

Filing Date: 2020-01-07

Effective Date: 2020-01-22

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following action:

Action taken: Amendment of Part 180 of Title 5 NYCRR.

Statutory authority: L. 2006, ch. 62, as amended

Subject: Empire State Commercial Production Credit Program.

Purpose: Create administrative procedures for all components of the Empire State Commercial Production Credit Program.

Text or summary was published in the October 23, 2019 issue of the Register, I.D. No. EDV-43-19-00001-P.

Final rule as compared with last published rule: No changes.

Text of rule and any required statements and analyses may be obtained from: Craig Alfred, Department of Economic Development, 625 Broadway, Albany, NY 12245, (518) 292-5110, email: craig.alfred@esd.ny.gov

Initial Review of Rule

As a rule that does not require a RFA, RAFA or JIS, this rule will be initially reviewed in the calendar year 2025, which is no later than the 5th year after the year in which this rule is being adopted.

Assessment of Public Comment

The agency received no public comment.

Department of Financial Services

EMERGENCY/PROPOSED

RULE MAKING

NO HEARING(S) SCHEDULED

Valuation of Life Insurance Reserves; Recognition of the 2001 CSO Mortality Table and the 2017 CSO Mortality Table, et al

I.D. No. DFS-03-20-00011-EP

Filing No. 22

Filing Date: 2020-01-07

Effective Date: 2020-01-07

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following action:

Proposed Action: Amendment of Parts 98 (Regulation 147) and 100 (Regulation 179) of Title 11 NYCRR.

Statutory authority: Financial Services Law, sections 202, 302; Insurance Law, sections 301, 1304, 1308, 4217, 4218, 4221, 4224, 4240 and 4517

Finding of necessity for emergency rule: Preservation of general welfare.

Specific reasons underlying the finding of necessity: The current versions of Insurance Regulation 147 and Insurance Regulation 179 specify that the Fifth and Sixth Amendments to Insurance Regulation 147 and the Third and Fourth Amendments to Insurance Regulation 179 shall only apply to policies issued on or after January 1, 2015 and prior to January 1, 2017, or on or after January 1, 2015 and prior to January 1, 2019 if optionally elected. This proposed consolidated rulemaking extends the sunset provision further to policies issued prior to January 1, 2020.

The Fifth Amendment to Insurance Regulation 179 prescribed the 2017 CSO Mortality Table as the minimum valuation standard for individual life insurance policies issued on or after January 1, 2020. However, such table should not apply to guaranteed issue life insurance policies. This

proposed consolidated rulemaking prescribes the ultimate form of the 2001 CSO Mortality Table as the minimum valuation standard for guaranteed issue life insurance policies issued on or after January 1, 2020.

Insurers subject to these regulations must file quarterly financial statements based upon minimum reserve standards in effect on the date of filings. The filing date for the December 31, 2019 annual statement is March 1, 2020. The insurers must be given advance notice of the applicable standards in order to file their reports in an accurate and timely manner. It is essential that these amendments be adopted on an emergency basis until such time as they can be adopted on a permanent basis.

For the reasons stated above, an emergency action is necessary for the preservation of the general welfare.

Subject: Valuation of Life Insurance Reserves; Recognition of the 2001 CSO Mortality Table and the 2017 CSO Mortality Table, et al.

Purpose: Prescribes 2001 CSO ultimate mortality for guaranteed issue policies issued on or after 1/1/20 and extends 2015 reserve relief.

Substance of emergency/proposed rule (Full text is posted at the following State website: <http://www.dfs.ny.gov>): Section 98.4(b)(5)(ii), (iii) and (vii)(b)(2) are amended to specify that mortality improvement for varying premium term life insurance policies and universal life insurance policies that guarantee coverage will remain in force as long as the accumulation of premiums paid satisfies the secondary guarantee requirement may only be recognized for policies issued on or after January 1, 2015 and prior to January 1, 2017, or on or after January 1, 2015 and prior to January 1, 2020 if optionally elected.

Section 98.6(a)(1), (a)(7), (b)(1)(ii), and (b)(2) are amended to specify that the reserve methodology specific to varying premium term life insurance policies may only be applied for policies issued on or after January 1, 2015 and prior to January 1, 2017, or on or after January 1, 2015 and prior to January 1, 2020 if optionally elected subject to the conditions set forth in section 98.6(a)(1)(iii).

Section 98.7(b)(1)(iv) and (v) are amended to specify that mortality improvement for universal life insurance policies that guarantee coverage will remain in force as long as the accumulation of premiums paid satisfies the secondary guarantee requirement may only be recognized for policies issued on or after January 1, 2015 and prior to January 1, 2017, or on or after January 1, 2015 and prior to January 1, 2020 if optionally elected.

Section 98.9(c)(2)(viii)(b)(2) and (e) are amended to specify that the lapse rate assumption of no more than two percent for the first five years, followed by a rate of no more than one percent for the remaining life of the contract for universal life insurance policies that guarantee coverage will remain in force as long as the accumulation of premiums paid satisfies the secondary guarantee requirement may only be assumed for policies issued on or after January 1, 2015 and prior to January 1, 2017, or on or after January 1, 2015 and prior to January 1, 2020 if optionally elected subject to the conditions set forth in section 98.9(c)(2)(viii)(b)(2)(iii).

Section 100.1(c) is amended and a new section 100.1(d) is added to prescribe the use of the ultimate form of the 2001 CSO Mortality Table for guaranteed issue life insurance.

Section 100.3(s) through (ab) are renumbered as section 100.3(t) through (ac); and a new section 100.3(s) is added to define "guaranteed issue life insurance policy".

Section 100.4(e) is amended and a new section 100.4(f) is added to prescribe the ultimate form of the 2001 CSO Mortality Table as the minimum valuation standard for guaranteed issue life insurance policies issued on or after January 1, 2020.

Section 100.6(a)(2), (3), (7), and (8) are amended to specify that mortality improvement for varying premium term life insurance policies may only be recognized for policies issued on or after January 1, 2015 and prior to January 1, 2017, or on or after January 1, 2015 and prior to January 1, 2020 if optionally elected.

Section 100.6(c) is renumbered as 100.6(d) and a new section 100.6(c) is added to state the manner in which the ultimate form of the 2001 CSO Mortality Table must be used in applying 11 NYCRR Part 98 for guaranteed issue life insurance policies.

Section 100.7(e) is renumbered as 100.7(g) and new section 100.7(e) and (f) are added to set forth the general requirements for use of the 2001 CSO ultimate gender-blended mortality tables. Such tables may be substituted for the ultimate form of the 2001 CSO Mortality Table for use in determining minimum cash surrender values and amounts of paid-up nonforfeiture benefits for policies issued on or after January 1, 2020.

Section 100.11(a), (b), and (c) are amended to specify that mortality improvement for varying premium term life insurance policies may only be recognized for policies issued on or after January 1, 2015 and prior to January 1, 2017, or on or after January 1, 2015 and prior to January 1, 2020 if optionally elected subject to the conditions set forth in section 100.11(b).

Section 100.12(a), (b), and (c) are amended to specify that mortality improvement for universal life insurance policies that guarantee coverage

will remain in force as long as the accumulation of premiums paid satisfies the secondary guarantee requirement may only be recognized for policies issued on or after January 1, 2015 and prior to January 1, 2017, or on or after January 1, 2015 and prior to January 1, 2020 if optionally elected subject to the conditions set forth in section 100.12(b).

This notice is intended: to serve as both a notice of emergency adoption and a notice of proposed rule making. The emergency rule will expire April 5, 2020.

Text of rule and any required statements and analyses may be obtained from: Amanda Fenwick, Department of Financial Services, One Commerce Plaza, Albany, NY 12257, (518) 474-7929, email: amanda.fenwick@dfs.ny.gov

Data, views or arguments may be submitted to: Same as above.

Public comment will be received until: 60 days after publication of this notice.

Regulatory Impact Statement

1. Statutory authority: The authority of the Superintendent of Financial Services ("Superintendent") to promulgate the Ninth Amendment to Insurance Regulation 147 (11 NYCRR 98) and Seventh Amendment to Insurance Regulation 179 (11 NYCRR 100) derives from sections 202 and 302 of the Financial Services Law ("FSL") and sections 301, 1304, 1308, 4217, 4218, 4221, 4224, 4240, and 4517 of the Insurance Law.

FSL section 202 establishes the office of the Superintendent and designates the Superintendent as the head of the Department of Financial Services ("Department").

FSL section 302 and Insurance Law section 301 authorize the Superintendent to effectuate any power accorded to the Superintendent by the Insurance Law, the Banking Law, the Financial Services Law, or any other law of this state and to prescribe regulations interpreting the Insurance Law, among other things.

Insurance Law section 1304 requires insurers to maintain reserve liabilities ("reserves") for life insurance policies and certificates according to prescribed tables of mortality and rates of interest.

Insurance Law section 1308 sets forth the parameters for reinsuring risks and policy liabilities, and the effect that reinsurance has on an insurer's reserves.

Insurance Law section 4217 requires the Superintendent to annually value, or cause to be valued, the reserve liabilities ("reserves") for all outstanding policies and contracts of every life insurer doing business in New York State. Insurance Law section 4217(a)(1) specifies that the Superintendent may certify the amount of any such reserves, specifying the mortality table or tables, rate or rates of interest and methods used in the calculation of reserves.

Insurance Law section 4217(c)(2)(A)(iii) permits, as a minimum standard of valuation for life insurance policies, any ordinary mortality table adopted by the National Association of Insurance Commissioners ("NAIC") after 1980 and approved by the Superintendent.

Insurance Law section 4217(c)(2)(A)(iv) authorizes the Superintendent to adopt any mortality table or modifications of any table for any specific class of risk.

Section 4217(c)(6)(D) permits the Superintendent to issue, by regulation, guidelines for the application of the reserve valuation provisions of section 4217 to such policies and contracts as the Superintendent deems appropriate.

Section 4217(c)(9) requires that, in the case of any plan of life insurance that provides for future premium determination, the amounts of which are to be determined by the insurance company based on then estimates of future experience, or in the case of any plan of life insurance or annuity that is of such a nature that the minimum reserves cannot be determined by the methods described in section 4217(c)(6) and section 4218, the reserves that are held under the plan must be appropriate in relation to the benefits and the pattern of premiums for that plan, and be computed by a method that is consistent with the principles of Insurance Law sections 4217 and 4218, as determined by the Superintendent.

Insurance Law section 4218 requires that when the actual premium charged for life insurance under any life insurance policy is less than the modified net premium calculated on the basis of the commissioner's reserve valuation method, the minimum reserve required for such policy shall be the greater of either the reserve calculated according to the mortality table, rate of interest, and method actually used for such policy, or the reserve calculated by the commissioner's reserve valuation method replacing the modified net premium by the actual premium charged for the policy in each contract year for which such modified net premium exceeds the actual premium.

Insurance Law section 4221(k)(9)(B)(vi) permits, for policies of ordinary insurance, the use of any ordinary mortality table adopted by the NAIC after 1980 and approved by the Superintendent for use in determining the minimum nonforfeiture standard.

Insurance Law section 4224(a)(1) prohibits unfair discrimination be-

tween individuals of the same class and of equal expectation of life, in the amount or payment or return of premiums, or rates charged for life insurance policies.

Insurance Law section 4240(d)(6) provides that the reserves for variable contracts shall be established in accordance with actuarial procedures that recognize the variable nature of the benefits provided and any mortality guarantees provided in the contract. Section 4240(d)(7) authorizes the Superintendent to promulgate regulations, as may be appropriate, to carry out the provisions of this section.

Insurance Law section 4517(b)(2) provides, with respect to fraternal benefit societies, that reserves according to the commissioner's reserve valuation method for life insurance certificates that provide for a varying amount of benefits, or requiring the payment of varying premiums, shall be calculated by a method consistent with the principles of subsection (b). Section 4517(c)(2) requires fraternal benefit societies to comply with the minimum valuation standards of Section 4217 of the Insurance Law for life insurance certificates issued on or after January 1, 1980.

2. Legislative objectives: Maintaining solvency of insurers doing business in New York State is a principal focus of the Insurance Law. One fundamental way the Insurance Law seeks to ensure solvency is by requiring all insurers and fraternal benefit societies authorized to do business in New York State to hold reserve funds in an amount sufficient to meet the obligations made to policyholders. The Insurance Law prescribes the mortality tables and interest rates to be used for calculating such reserves. At the same time, an insurer benefits when it has adequate capital to use for company expansion, product innovation, and other forms of business development.

3. Needs and benefits: The Fifth Amendment to Insurance Regulation 147 and Third Amendment to Insurance Regulation 179 to modernize the regulatory scheme with respect to term life insurance and the Sixth Amendment to Insurance Regulation 147 and Fourth Amendment to Insurance Regulation 179 to modernize the regulatory schedule with respect to universal life with secondary guarantee insurance reserves previously applied to such policies issued on or after January 1, 2015. The Seventh Amendment to Insurance Regulation 147 and Fifth Amendment to Insurance Regulation 179 added a sunset such that such standards would not apply to policies issued on or after January 1, 2018. The Eighth Amendment to Insurance Regulation 147 and Sixth Amendment to Insurance Regulation 179 further extended such sunset to policies issued prior to January 1, 2019. These concurrent amendments to Insurance Regulations 147 and 179 extend this sunset further to policies issued during 2019. The Department estimates that these concurrent amendments will result in up to a 30 percent reduction in reserves for universal life with secondary guarantee insurance and term life insurance on a prospective basis for policies issued during 2019.

The Fifth Amendment to Insurance Regulation 179 also prescribed the 2017 CSO Mortality Table as the minimum valuation standard for individual life insurance policies issued on or after January 1, 2020. However, such table should not apply to guaranteed issue life insurance policies. This proposed consolidated rulemaking prescribes the ultimate form of the 2001 CSO Mortality Table as the minimum valuation standard for guaranteed issue life insurance policies issued on or after January 1, 2020. There should be no impact on the current reserves because the 2001 CSO Mortality Table is the minimum valuation standard for policies issued prior to January 1, 2020.

4. Costs: The amendments should not impose any additional compliance costs on life insurers or the Department because the amendments merely continue the standards currently in place.

This rule does not impose compliance costs on any local government because no local government is affected by this rule.

5. Local government mandates: This rule does not impose any program, service, duty, or responsibility upon a county, city, town, village, school district, fire district, or other special district.

6. Paperwork: This rule imposes reporting requirements related to the insurance policies and contracts subject to the minimum valuation standards prescribed by this rule.

7. Duplication: This rule does not duplicate, overlap, or conflict with any existing state or federal rules or other legal requirements.

8. Alternatives: The Department considered adopting the 2017 Commissions Guaranteed Issue Mortality Table; however, there were some concerns that not all experience collected to develop such table met the definition of guaranteed issue.

9. Federal standards: The rule does not exceed any minimum standards of the federal government for the same or similar subject areas.

10. Compliance schedule: The amendments to the regulation apply to 2019 annual statements due March 1, 2020 and statements filed thereafter, which should give insurers ample time to comply. The amendments allow insurers to continue to utilize the minimum standards currently in effect and specify the mortality table to determine an insurer's reserves for guaranteed issue life insurance universal life with secondary guarantee

policies. The Department engaged in discussions with the affected insurers' trade association, the Life Insurance Council of New York, who support these amendments.

Regulatory Flexibility Analysis

The Department of Financial Services ("Department") finds that this proposed rulemaking will not impose any adverse economic impact or compliance requirements on small businesses or local governments. The basis for this finding is that this rule is directed at life insurance companies and fraternal benefit societies (collectively, "life insurers"), none of which are local governments or come within the definition of a "small business" as defined in State Administrative Procedure Act section 102(8). The Department reviewed filed reports on examination and annual statements of such life insurers and concluded that none of these life insurers come within the definition of "small business" because there are none that are both independently owned and have fewer than 100 employees.

Rural Area Flexibility Analysis

1. Types and estimated numbers of rural areas: Life insurance companies and fraternal benefit societies (collectively, "life insurers") affected by this rule operate in every county in this state, including rural areas as defined by State Administrative Procedure Act section 102(10).

2. Reporting, recordkeeping and other compliance requirements; and professional services: This rule imposes reporting requirements related to the insurance policies and contracts subject to the minimum valuation standards prescribed by this rule. The amendments do not impose any additional requirements for professional services.

3. Costs: The amendments will not impose compliance costs on life insurers, including life insurers in rural areas, because the amendments permit the continued use of current standards.

4. Minimizing adverse impact: The amendments uniformly affect life insurers that are located in both rural and non-rural areas of New York State. The amendments should not have an adverse impact on rural areas.

5. Rural area participation: Life insurers in rural areas will have an opportunity to participate in the rulemaking process when the notice of proposed rulemaking is published in the State Register and posted on the Department of Financial Services' website.

Job Impact Statement

The proposed amendments to Insurance Regulations 147 and 179 should have no impact on jobs and employment opportunities. The amendments modify current Insurance Regulations 147 and 179 to specify that the Fifth and Sixth Amendments to Regulation 147 and the Third and Fourth Amendments to Regulation 179 only will apply to policies issued on or after January 1, 2015 and prior to January 1, 2017, or on or after January 1, 2015 and prior to January 1, 2020 with written notification provided to the Superintendent of Financial Services by February 28, 2020. The proposed consolidated amendments to Insurance Regulations 147 and 179 allow insurers to apply these two prior amendments, if optionally elected, for one additional year of policy issues. This proposed consolidated rulemaking also prescribes the ultimate form of the 2001 CSO Mortality Table as the minimum valuation standard for guaranteed issue life insurance policies issued on or after January 1, 2020. Insurers should not need to hire additional employees or independent contractors to comply with these amendments.

NOTICE OF ADOPTION

Superintendent's Regulations: Basic Banking Accounts

I.D. No. DFS-44-19-00010-A

Filing No. 21

Filing Date: 2020-01-07

Effective Date: 2020-01-22

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following action:

Action taken: Amendment of section 9.3(a)(5) of Title 3 NYCRR.

Statutory authority: Banking Law, sections 10, 14, 14-f; Financial Services Law, sections 102, 201, 202, 301, 302; and L. 2019, ch. 260

Subject: Superintendent's Regulations: Basic Banking Accounts.

Purpose: To amend the requirements of basic banking accounts in conformity with chapter 260 of the Laws of 2019.

Text or summary was published in the October 30, 2019 issue of the Register, I.D. No. DFS-44-19-00010-P.

Final rule as compared with last published rule: No changes.

Text of rule and any required statements and analyses may be obtained from: Eamon Rock, Department of Financial Services, One Commerce Plaza, Albany, NY 12257, (518) 474-4567, email: Eamon.Rock@dfs.ny.gov

Assessment of Public Comment

The agency received no public comment.

Department of Health

EMERGENCY RULE MAKING

Transitional Adult Home Admission Standards for Individuals with Serious Mental Illness

I.D. No. HLT-03-20-00002-E

Filing No. 1

Filing Date: 2020-01-02

Effective Date: 2020-01-02

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following action:

Action taken: Amendment of Part 487 of Title 18 NYCRR.

Statutory authority: Social Services Law, sections 461 and 461-e

Finding of necessity for emergency rule: Preservation of public health, public safety and general welfare.

Specific reasons underlying the finding of necessity: The Department of Health (Department) has promulgated regulations governing Transitional Adult Homes at section 487.13 of Title 18 of the New York Codes, Rules and Regulations (NYCRR). Section 487.13(b)(1) defines a Transitional Adult Home as an adult home with a certified bed capacity of 80 beds or more in which 25 percent or more of the resident population are persons with serious mental illness, as defined in 18 NYCRR section 487.2(c). Section 487.13(c) required each Transitional Adult Home operator to submit to the Department "a compliance plan that is designed to bring the facility's census of persons with serious mental illness to a level that is under 25 percent of the resident population over a reasonable period of time, through the lawful discharge of residents with appropriate community services to alternative community settings." Under 18 NYCRR section 487.4(d), Transitional Adult Home operators may not admit any person whose admission will increase the mental health census of the facility.

Although the number of admissions of persons with serious mental illness to Transitional Adult Homes appear to have decreased as a result of the existing regulations, ongoing enforcement activities indicate that admissions to Transitional Adult Homes are still occurring in violation of those provisions. Accordingly, the Department finds it necessary to adopt these amendments to 18 NYCRR Part 487 on an emergency basis in order to provide greater clarity to Transitional Adult Home operators in avoiding admissions that would increase the census of persons with serious mental illness in their facilities, thereby protecting resident health and safety.

The proposed amendments delineate a clear process for determining whether a prospective resident is a person with serious mental illness and thus not eligible for admission without a waiver. As amended, section 487.4(e) would prohibit Transitional Adult Home operators from admitting a prospective resident without first requesting pre-admission screening from the Department. The Department shall conduct the pre-admission screening in consultation with the Office of Mental Health. The Department will notify the Transitional Adult Home of the screening results within three business days of receipt of the required information.

If the screening results in an indication that the individual may be a person with serious mental illness, the prospective resident will not be eligible for admission unless a mental health evaluation, conducted within the 30-day period preceding admission, indicates that the individual is not a person with serious mental illness and the individual is appropriate for care and services provided by the Transitional Adult Home. The mental health evaluation must be conducted by a qualified practitioner, as defined by existing regulations.

The two-pronged pre-admissions approach will provide greater clarity to Transitional Adult Home operators and help them avoid impermissible admissions. This proposal thus will strengthen compliance with the existing regulations, which were based on a clinical determination by the Office of Mental Health that large adult homes with a significant number of individuals with serious mental illness are not settings that are conducive to the recovery or rehabilitation of the residents. Accordingly, this proposal will protect resident health and safety, consistent with the legislative objectives of SSL section 461(2) and with the State's overall efforts to

ensure that care is provided in the most integrated settings as required by *Olmstead v. L.C.*, 527 U.S. 581 (1999) and as emphasized in Governor Cuomo's Executive Order No. 84. Given the significance of these objectives, it is necessary to adopt the proposed changes on an emergency basis.

Subject: Transitional Adult Home Admission Standards for Individuals with Serious Mental Illness.

Purpose: Delineate a clear pre-admissions process for determining whether a prospective resident is a person with serious mental illness.

Text of emergency rule: Subdivisions (e)-(r) of section 487.4 are re-lettered (f)-(s), and a new subdivision (e) of section 487.4 is added to read as follows:

Reference to subdivision (j) is re-lettered to subdivision (k) in new subdivision (n).

Reference to subdivision (g) is re-lettered to subdivision (h) in new subdivision (o).

Reference to subdivision (h) is re-lettered to subdivision (i) in new subdivision (o).

(e) Prior to any prospective resident's admission to a transitional adult home, as defined in subdivision (b) of section 487.13 of this Part, the operator shall contact the Department, in a manner prescribed by the Department, to obtain a pre-admission screening as to whether the prospective resident may be a person with serious mental illness, as defined in subdivision (c) of section 487.2 of this Part.

(1) To obtain such pre-admission screening, the operator shall, in a manner prescribed by the Department, provide the Department with the prospective resident's full name, date of birth, guardianship information if applicable, and Medicaid identification number if applicable. For individuals who are not currently enrolled in Medicaid, the operator shall state whether an interview and/or medical evaluation indicate the need for a mental health evaluation pursuant to paragraph (3) of subdivision (g) of this section. The results of the Department's pre-admission screening shall be provided to the operator within three business days of receipt of a complete request. A complete request is one that contains all of the information required by this paragraph.

(2) The Department shall conduct its pre-admission screening in consultation with the Office of Mental Health by reviewing the prospective resident's Medicaid claims data for relevant Health and Recovery Plan eligibility criteria, which may indicate that the prospective resident is a person with serious mental illness.

(3) Possible pre-admission screening results:

(i) Where the Department's pre-admission screening of the prospective resident does not indicate that the prospective resident may be a person with serious mental illness or, in the case of a prospective resident for whom recent Medicaid claims data is not available, the operator has advised that an interview and/or medical evaluation do not indicate the need for a mental health evaluation pursuant to paragraph (3) of subdivision (g) of this section, the Department shall advise the operator that it may admit the prospective resident within 30 days of receipt of the results, provided that all other relevant admission criteria are met. In the event an operator does not admit the prospective resident within 30 days of receipt of the results of the Department's pre-admission screening, the operator must obtain a new pre-admission screening pursuant to this subdivision.

(ii) Where the Department's pre-admission screening indicates that the prospective resident may be a person with serious mental illness or, in the case of a prospective resident for whom recent Medicaid claims data is not available, the operator has advised that an interview and/or medical evaluation indicate the need for a mental health evaluation pursuant to paragraph (3) of subdivision (g) of this section, the operator shall not admit the prospective resident without conducting or obtaining a mental health evaluation, documented on a form prescribed by the Department and developed in consultation with the Office of Mental Health, within 30 days prior to the date of admission, pursuant to paragraph (1) of subdivision (k) of this section. The operator may admit the prospective resident only when the mental health evaluation concludes the individual: (a) is not a person with serious mental illness; or (b) is a person with serious mental illness, but the individual is a former resident of a transitional adult home and the operator obtains a waiver approved by the Department pursuant to subdivision (g) of section 487.3 of this Part.

Subparagraph (xix) of paragraph (6) of subdivision (d) of section 487.5 is amended to read as follows:

(xix) state that the resident agrees to provide the operator, prior to admission and at least every 12 months thereafter, a dated and signed medical evaluation which conforms to the requirements of section 487.4[(f)](h) of this Part;

A new paragraph (4) is added to subdivision (e) of section 487.10 to read as follows:

(4) For facilities with a certified capacity of 80 beds or more in which twenty percent or more of the resident population are persons with serious mental illness as defined in section 487.2(c) of this Part, a monthly admis-

sions report identifying all persons admitted to the facility during the prior calendar month.

This notice is intended to serve only as an emergency adoption, to be valid for 90 days or less. This rule expires March 31, 2020.

Text of rule and any required statements and analyses may be obtained from: Katherine Ceroalo, DOH, Bureau of Program Counsel, Reg. Affairs Unit, Room 2438, ESP Tower Building, Albany, NY 12237, (518) 473-7488, email: regsqa@health.ny.gov

Regulatory Impact Statement

Statutory Authority:

Social Services Law (SSL) section 461(1) requires the Department of Health (Department) to promulgate regulations establishing general standards applicable to adult care facilities. SSL section 461-e(5) authorizes the Department to promulgate regulations to require an adult care facility to maintain certain written records with respect to the facility's residents and the operation of the facility.

Legislative Objectives:

The legislative objective of SSL section 461 is to promote the health and well-being of adults residing in adult care facilities. SSL section 461-e is intended to ensure that adult care facilities maintain sufficient records to enable the Department to monitor facilities serving residents.

Needs and Benefits:

The proposed regulatory changes will strengthen compliance with current regulations at Title 18 of the New York Codes, Rules and Regulations (NYCRR), Part 487, pertaining to Transitional Adult Homes. A Transitional Adult Home is defined by 18 NYCRR § 487.13(b)(1) as an adult home with a certified capacity of 80 or more beds in which 25 percent or more of the resident population are persons with serious mental illness. Persons with serious mental illness, as defined in 18 NYCRR section 487.2(c), are "individuals who meet criteria established by the commissioner of mental health, which shall be persons who have a designated diagnosis of mental illness" and "whose severity and duration of mental illness results in substantial functional disability."

Section 487.13(c) requires each Transitional Adult Home operator to submit to the Department "a compliance plan that is designed to bring the facility's census of persons with serious mental illness to a level that is under 25 percent of the resident population over a reasonable period of time, through the lawful discharge of residents with appropriate community services to alternative community settings." Pursuant to 18 NYCRR section 487.4(d), a Transitional Adult Home operator may not admit any person whose admission will increase the census of persons with serious mental illness in the facility.

Although the number of admissions of persons with serious mental illness to Transitional Adult Homes appears to have decreased as a result of these existing regulations, ongoing enforcement activities indicate that admissions of such persons to Transitional Adult Homes are still occurring in violation of those provisions. Accordingly, this proposal delineates a clear pre-admissions process for determining whether a prospective resident is a person with serious mental illness and thus not eligible for admission without a waiver. The admissions process set forth in section 487.4(e) will assist Transitional Adult Home operators in determining whether a prospective resident is a person with serious mental illness and thus will improve compliance with the existing provisions limiting admissions that would increase the facility's census of persons with serious mental illness.

The Department shall conduct the pre-admission screening in consultation with the Office of Mental Health by reviewing the prospective resident's Medicaid claims data using Health and Recovery Plan (HARP) eligibility criteria. The HARP is a managed care product that manages physical health, mental health, and substance use services in an integrated way for adults with significant behavioral health needs. The New York State Office of Mental Health recognizes the HARP criteria as a method of identifying individuals with the most serious needs. In the case of an individual for whom there is no recent Medicaid claims data, the Transitional Adult Home shall be required to identify whether an interview and/or medical evaluation of the prospective resident indicate that a mental health evaluation is required, consistent with existing regulations at 18 NYCRR section 487.4(f)(3).

The Department will notify a Transitional Adult Home of the screening results within three business days of receipt of the required information. If the screening results in an indication that the individual may be a person with serious mental illness, the prospective resident will not be eligible for admission unless a mental health evaluation, conducted within the 30-day period preceding admission, concludes that the individual: (1) is not a person with serious mental illness; or (2) the individual is a person with serious mental illness, but the individual is a former resident of a Transitional Adult Home and the operator of the admitting facility obtains a waiver from the Department permitting such admission pursuant to 18 NYCRR section 487.3(g). The mental health evaluation must be conducted by a qualified practitioner, as defined by existing regulations.

The two-pronged pre-admissions approach outlined above will provide greater clarity to Transitional Adult Home operators and help them avoid impermissible admissions. This proposal thus will strengthen compliance with the existing regulations, which were based on a clinical determination by the Office of Mental Health that large adult homes with a significant number of individuals with serious mental illness are not settings that are conducive to the recovery or rehabilitation of the residents. Accordingly, this proposal will protect resident health and safety, consistent with the legislative objectives of SSL section 461(2) and with the State's overall efforts to ensure that care is provided in the most integrated settings as required by *Olmstead v. L.C.*, 527 U.S. 581 (1999) and as emphasized in Governor Cuomo's Executive Order No. 84.

Costs:

Costs to Private Regulated Parties:

Transitional Adult Homes may incur costs to provide information to the Department for purposes of conducting the pre-admission screening. However, these costs should be minimal. The only information that must be submitted to the Department is the prospective resident's full name, date of birth, applicable guardianship information, and Medicaid identification number – information that the facility would be required to obtain as part of its usual admission screening.

Transitional Adult Homes may also incur costs for conducting or obtaining a higher volume of mental health evaluations under this proposal. Pursuant to 18 NYCRR section 487.4, applicable to all adult homes, a prospective resident cannot be admitted until the resident is interviewed and a medical evaluation takes place. If the individual "has a known history of chronic mental disability, or the medical evaluation or resident interview suggests such disability," then a mental health evaluation is required. Thus, Transitional Adult Homes are already required to conduct or obtain mental health evaluations when warranted by an interview or the medical evaluation.

Under the proposal, Transitional Adult Homes will be required to conduct or obtain mental health evaluations in each case where they are interested in admitting an individual who, pursuant to the Department's pre-admission screening, may be a person with serious mental illness. However, incurrence of these costs will assist Transitional Adult Home operators in avoiding admissions that would increase the census of persons with serious mental illness in their facilities in violation of the existing regulations.

This proposal also includes a requirement that Transitional Adult Homes submit monthly admissions lists to the Department for the purpose of monitoring compliance. The Department previously requested that these lists be provided by some of the Transitional Adult Homes and so no new costs are associated with the inclusion of the requirement in a regulation for those facilities. For the remaining Transitional Adult Homes, while this is a new requirement, the provision of such information should not be burdensome since facilities are expected to track admissions.

Costs to Local Government:

This proposal will not impact local governments unless they operate Transitional Adult Homes, in which case the impact would be the same as outlined above for private parties.

Costs to the Department of Health:

The Department will utilize existing resources to conduct the pre-admission screening, in consultation with the Office of Mental Health, and monitor compliance with these regulations.

Costs to Other State Agencies:

The proposed regulatory changes will not result in any additional costs to other state agencies. The Office of Mental Health will consult with the Department for the purposes of the pre-admission screening, which will be managed within existing resources.

Local Government Mandates:

Local governments that operate Transitional Adult Homes must comply with this regulation. No new local government program, project or activity is required by the proposed regulations.

Paperwork:

The regulations will impose minimal paperwork requirements on Transitional Adult Home operators. Transitional Adult Home operators will be required to submit information about a prospective resident to the Department so it can conduct the pre-admission screening. The only information that must be submitted to the Department is the prospective resident's full name, date of birth, applicable guardianship information, and Medicaid identification number – information that the facility would be required to obtain as part of its usual admission screening.

As noted above, Transitional Adult Homes are already required to conduct or obtain mental health evaluations when warranted by an interview or the medical evaluation. Under the new proposal, they will be required to conduct or obtain mental health evaluations when they want to admit an individual who, pursuant to the Department's pre-admission screening, may be a person with serious mental illness.

This proposal also includes a requirement that Transitional Adult Homes

submit monthly admissions lists to the Department for purpose of monitoring compliance. The Department previously requested that these lists be provided by some of the Transitional Adult Homes and so no new paperwork is associated with the inclusion of the requirement in regulation for those facilities. For the remaining Transitional Adult Homes, while this is a new requirement, the provision of such information should not be burdensome since facilities are expected to track admissions.

Duplication:
These regulatory amendments do not duplicate existing State or federal requirements.

Alternatives:
There are no viable alternatives to the proposed regulation. The proposed changes are necessary to avoid what appears to have been continued admissions of persons with serious mental illness to Transitional Adult Homes in violation of 18 NYCRR section 487.13. Further, the new pre-admissions process offers Transitional Adult Homes more certainty in determining which prospective residents may not be admitted under the existing regulations, particularly since the initial screening will be conducted by the Department using resources that are not generally available to Transitional Adult Home operators (i.e., HARP criteria in Medicaid claims data). This will allow Transitional Adult Homes to more effectively comply with the existing requirement, which will minimize the risk of regulatory enforcement.

Federal Standards:
The proposed regulations do not duplicate or conflict with any federal regulations.

Compliance Schedule:
The regulations will be effective on an emergency basis upon filing with the Secretary of State.

Regulatory Flexibility Analysis

No regulatory flexibility analysis is required pursuant to section 202-(b)(3)(a) of the State Administrative Procedure Act. The proposed amendments do not impose an adverse economic impact on small businesses or local governments and do not impose any significant reporting, record keeping or other compliance requirements on small businesses or local governments.

Rural Area Flexibility Analysis

A Rural Area Flexibility Analysis for these amendments is not being submitted because the amendments will not impose any adverse impact or significant reporting, record keeping or other compliance requirements on public or private entities in rural areas. There are no professional services, capital, or other compliance costs imposed on public or private entities in rural areas as a result of the proposed amendments.

Job Impact Statement

A Job Impact Statement for the proposed regulatory amendments is not being submitted because it is apparent from the nature and purposes of the amendments that they will not have a substantial adverse impact on jobs and/or employment opportunities.

REVISED RULE MAKING NO HEARING(S) SCHEDULED

Maximum Contaminant Levels (MCLs)

I.D. No. HLT-30-19-00006-RP

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following revised rule:

Proposed Action: Amendment of Subpart 5-1 of Title 10 NYCRR.

Statutory authority: Public Health Law, section 225

Subject: Maximum Contaminant Levels (MCLs).

Purpose: Incorporating MCLs for perfluorooctanoic acid (PFOA), perfluorooctanesulfonic acid (PFOS) and 1,4-dioxane.

Substance of revised rule (Full text is posted at the following State website: <https://regs.health.ny.gov/regulations/proposed-rule-making>): This notice of proposed rulemaking amends 10 NYCRR Subpart 5-1 to include maximum contaminant levels (MCL) of 10 parts per trillion (ppt) of Perfluorooctanesulfonic acid (PFOS), 10 ppt for Perfluorooctanoic acid (PFOA) and 1 part per billion (ppb) for 1,4-dioxane. Additionally, a new subdivision was added to allow water systems to request a deferral from the MCL for PFOS, PFOA and 1,4-dioxane and updates to additional tables and Appendix 5-C to ensure clarity with implementation of the MCLs.

Revised rule compared with proposed rule: Substantial revisions were made in sections 5-1.51(p), 5-1.52, Tbls. 3, 9C4, 9C7, 13, 5-1.91(d) and App. 5-C (B) II.

Text of revised proposed rule and any required statements and analyses may be obtained from Katherine Ceroalo, DOH, Bureau of Program Counsel, Reg. Affairs Unit, Room 2438, ESP Tower Building, Albany, NY 12237, (518) 473-7488, email: regsqa@health.ny.gov

Data, views or arguments may be submitted to: Same as above.

Public comment will be received until: 45 days after publication of this notice.

Revised Regulatory Impact Statement (Full text is posted at the following State website: <https://regs.health.ny.gov/regulations/proposed-rule-making>):

Statutory Authority:

The statutory authority for the proposed revisions is set forth in Public Health Law (PHL) sections 201 and 225. Section 201(1)(l) of the PHL establishes the powers and duties of the New York State Department of Health (Department), which include the supervision and regulation of the sanitary aspects of public water systems. Section 225 of the PHL sets forth the powers and duties of the Public Health and Health Planning Council (PHHPC), which include the authority to establish, amend and repeal sanitary regulations to be known as the State Sanitary Code (SSC), subject to the approval of the Commissioner of Health. Further, section 225(5)(a) of the PHL allows the SSC to deal with any matter affecting the security of life or health, or the preservation or improvement of public health, in New York State.

Legislative Objectives:

The legislative objective of sections 201 and 225 of the PHL is to ensure that PHHPC, in conjunction with the Commissioner of Health, protect public health by adopting drinking water sanitary standards. In accordance with that objective, this regulation amends the SSC by revising Part 5 to enhance current protections governing public water systems. Furthermore, this amendment will update the SSC in accordance with the recommendations of the Drinking Water Quality Council, by establishing specific maximum contaminant levels (MCLs) for perfluorooctanoic acid (PFOA), perfluorooctanesulfonic acid (PFOS) and 1,4-dioxane.

Needs and Benefits:

In 2017, New York State (NYS) identified PFOA, PFOS and 1,4-dioxane as emerging contaminants in drinking water. That same year, the Drinking Water Quality Council (DWQC) was created, with direction to recommend MCLs for these emerging contaminants. After discussions and deliberations, the DWQC recommended MCLs to the Department for PFOA, PFOS and 1,4-dioxane. Specifically, the DWQC recommended: an MCL of 10.0 parts per trillion (ppt) (or, expressed in different units, 0.0000100 milligrams per liter (mg/L)) for PFOA; 10.0 ppt (or 0.0000100 mg/L) for PFOS; and 1.0 part per billion (ppb) (or 0.0010 mg/L) for 1,4-dioxane.

From 2015 through 2018, the Department coordinated targeted sampling of 278 public water systems for PFOA and PFOS. The 278 public water systems were mainly medium (serving 3,300 to 10,000 persons) to small (serving less than 3,300 persons) community water systems and non-transient noncommunity systems typically with a groundwater source located near a potential source of PFOA and/or PFOS. The results of this testing are shown in Figures 1A and 1B.

Figure 1A.

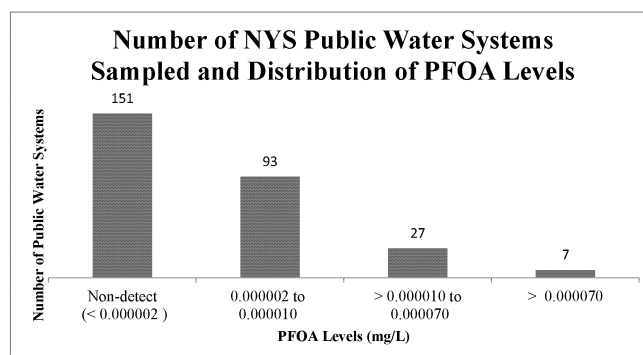
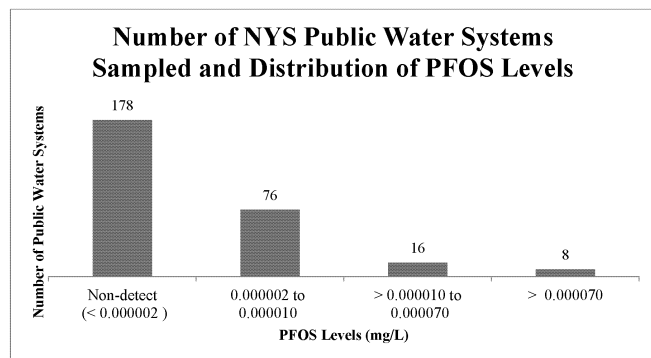
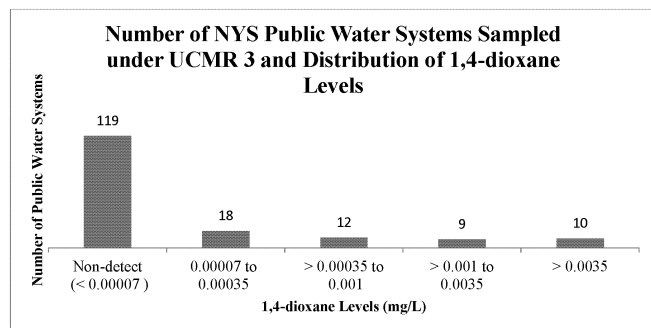


Figure 1B.



From 2013 through 2015 public water systems across NYS, under the United States Environmental Protection Agency (US EPA) Unregulated Contaminant Monitoring Rule 3 (UCMR 3), tested for 1,4-dioxane. All large public water systems (serving 10,000 persons or more) and 32 randomly selected medium and small water systems (serving less than 10,000 persons) in NYS conducted testing. Figure 2 shows that 11 percent (%) of the water systems tested had 1,4-dioxane levels above the DWQC's recommended MCL of 0.0010 mg/L.

Figure 2.



Based on the UCMR3 data, 51% of the samples from Long Island public water systems had levels of 1,4-dioxane above the reporting level of 0.00007 mg/L compared to 6% for NYS excluding Long Island.

The Department provided the DWQC with technical information on a range of health-based drinking water values for PFOA, PFOS and 1,4-dioxane after an evaluation of the available health effects information on the chemicals from toxicological studies. These values included current national and state guidelines and advisory levels, as well as potential health based values developed by the Department. Based on their review of this information, the DWQC recommended an MCL of 0.0000100 mg/L for PFOA and PFOS as individual compounds, which is within the range of the potential health based water values presented to the DWQC by the Department (0.000006 to 0.000070 mg/L for PFOA and 0.000008 to 0.000070 mg/L for PFOS). The DWQC recommended an MCL of 0.0010 mg/L for 1,4-dioxane, which is within the range of current national and state guidelines and advisory levels presented by the Department (0.00035 to 0.2 mg/L).

In the absence of federal regulations governing PFOA, PFOS and 1,4-dioxane in drinking water, and after consideration of the recommendations provided by the DWQC, the Department is proposing to amend 10 NYCRR Part 5 to establish MCLs for these contaminants. The Department is proposing an MCL of 0.0000100 mg/L for PFOA and PFOS as individual contaminants, and 0.0010 mg/L for 1,4-dioxane. These MCLs will apply to all public water supplies regulated by the Department and provide a sufficient margin of protection against adverse health effects in the most sensitive populations, including fetuses during pregnancy, breastfed infants, and infants bottle fed with formula reconstituted using tap water. In addition, the MCLs provide a sufficient margin of protection for lifetime exposure through drinking water for the general population.

Costs:

Cost to Private Regulated Parties:

There are approximately 7,200 privately owned public water systems in NYS. Of these, an estimated 2,100 systems serve residential suburban areas, manufactured housing communities and apartment buildings, residential and non-residential health care facilities, industrial and commercial buildings, private schools and colleges, and other facilities. The remaining

5,100 privately owned public water systems serve restaurants, convenient stores, motels, campsites and other transient systems. Costs will include initial monitoring, continued routine monitoring and treatment in the event of a MCL exceedance for PFOS, PFOA and/or 1,4-dioxane.

Monitoring and treatment costs for privately-owned public water systems is dependent upon the system size, the number of affected entry points/sources and the concentration of each contaminant. The exact costs for monitoring and treatment of PFOS, PFOA and 1,4-dioxane for public water systems, including privately-owned public water systems, cannot be determined due to several variables. The cost for a single PFOA/PFOS analysis is between \$200-\$300 per sample. The cost of a single 1,4-dioxane analysis is between \$100-\$250.

It is estimated that approximately 21% of all public water systems, including privately-owned public water systems, will have levels of PFOA or PFOS above the proposed MCLs of 0.0000100 mg/L. For small systems serving less than 3,300 persons, capital and annual maintenance costs are estimated to be approximately \$400,000 and \$25,000, respectively. For medium systems (serving 3,300 or more persons but less than 10,000 persons), capital and annual maintenance costs are estimated to be approximately \$2,400,000 and \$125,000, respectively. For large systems (serving 10,000 persons or more), capital and annual maintenance costs are estimated to be approximately \$15,000,000 and \$725,000, respectively.

It is estimated that eighty-nine (89) public water facilities, (a single public water system may be comprised of multiple public water facilities), will have a detection of 1,4-dioxane above the proposed MCL of 0.0010 mg/L. The average cost of treatment for 1,4-dioxane is estimated to be \$3,570,000 per system, with an estimated average annual operation and maintenance cost of approximately \$150,000 per system.

Public water systems will likely make rate adjustments to accommodate these additional capital and operational costs.

Cost to State Government:

State agencies that operate public water systems will be required to comply with the proposed amendments. There are approximately 250 State-owned or operated facilities with a public water system. Examples of such facilities are State-owned schools, buildings, correctional facilities, Thruway services areas, and any other State-owned structure or property that serves an average of at least 25 individuals daily at least 60 days out of the year.

Costs will include initial monitoring for PFOA, PFOS and/or 1,4-dioxane, continued routine monitoring, and treatment in the event of a MCL exceedance. These potential costs will be the same as the costs to private regulated parties.

The proposed regulation will also impose administrative costs to the Department relating to implementation and oversight of the drinking water monitoring requirements including review and approval of sampling schedules; review and reporting of sample results; providing technical assistance to the public water suppliers; review and approval of plans (i.e., treatment plans); and activities associated with enforcement and public notification of MCL exceedances.

Additionally, the Department and NYS Department of Environmental Conservation (NYSDEC) will incur costs associated with the investigation, remediation, and long-term monitoring associated with the release of these contaminants.

Although the proposed regulations do not apply to private wells, costs will be incurred by NYSDEC, as the lead agency for investigating, remediating, and monitoring of contaminated sites, as the MCLs will be used by the NYSDEC as guidance to determine whether a private well in NYS is contaminated by PFOA, PFOS and/or 1,4-dioxane. There are an estimated 800,000 private water supply wells in NYS. At this time, it is not possible to estimate the number of private wells that might be affected by contamination and, therefore, associated costs to NYSDEC cannot be determined.

Cost to Local Government:

The regulations will apply to local governments—including towns, villages, counties, cities, and authorities or area wide improvement districts—which own or operate a public water system subject to this regulation. There are approximately 1,500 public water systems that are owned or operated by local governments.

Costs will include initial monitoring for PFOA, PFOS and/or 1,4-dioxane, continued routine monitoring, and treatment in the event of a MCL exceedance. These potential costs will be the same as the costs to private regulated parties.

Local health departments that regulate drinking water will also incur administrative costs related to local implementation and oversight of the drinking water monitoring requirements including review and approval of sampling schedules; review and reporting of sample results; providing technical assistance to the public water suppliers; review and approval of plans (i.e., treatment plans); review and approval of MCL deferrals; and activities associated with enforcement and public notification.

Local Government Mandates:

Local governments will be required to comply with this regulation as noted above.

Paperwork:

The additional monitoring, reporting, recordkeeping and paperwork needed for PFOA, PFOS and 1,4-dioxane is expected to be minimal because operators of public water supplies are currently required to keep such records for existing MCLs, and these regulations only add three additional chemicals. The reporting and recordkeeping requirements will increase if MCLs are exceeded and/or treatment is required.

Duplication:

There will be no duplication of existing State or federal regulations.

Alternatives:

One alternative is to maintain the existing MCL of 0.05 mg/L that applies to all unspecified organic chemicals when no chemical-specific MCL exists. Another alternative is to wait for the US EPA to issue a federal MCL. Based on DWQC deliberations and the additional analysis done by the Department it was determined that the current MCL of 0.05 mg/L, which is a generic standard for a broad class of organic chemicals is not protective of public health for these three specific chemicals. Waiting for the US EPA to set a new MCL was impractical due to the prevalence and concerns surrounding PFOA, PFOS and 1,4-dioxane. Therefore, the Department determined that adoption of the DWQC MCL recommendations for PFOA, PFOS and 1,4-dioxane is in the best interest of protecting the public health of NYS residents.

Federal Standards:

There is no federal MCL for PFOA, PFOS or 1,4-dioxane.

Compliance Schedule:

The MCLs will be immediately effective upon publication of a Notice of Adoption in the New York State Register. Public water systems serving 10,000 persons or more must begin monitoring within 60 days of adoption. Water systems serving 3,300 to 9,999 people must begin monitoring within 90 days of adoption and water systems serving less than 3,300 must begin monitoring within 6 months of adoption.

Based on public comments received, the Department has included a provision for a public water system to defer an MCL violation. A public water system can request, from the State, a deferral within 90 days of the effective date of the MCL if the public water system has sample results that exceed the MCL for PFOA, PFOS or 1,4-dioxane, and they have a plan in place to achieve compliance with the MCL; the deferral may be issued for up to two years with the potential for a one-year extension based on a demonstrated need.

Revised Regulatory Flexibility Analysis

Effect of Rule:

Many of the public water systems affected by the new regulations are owned or operated by either small businesses or local governments. The Department does not maintain information on the exact number of the public water systems owned by small businesses. There are approximately 1500 water systems owned by local governments.

Compliance Requirements:

The obligations on small businesses and local governments are the same as for all owners or operators of public water systems. The regulations require additional monitoring, reporting, recordkeeping and public notification requirements for three additional contaminants, PFOA, PFOS and 1,4-dioxane. These requirements will increase if MCLs are exceeded and/or treatment is required.

Local health departments that regulate drinking water will also incur administrative costs related to local implementation and oversight of the drinking water monitoring requirements including review and approval of sampling schedules; review and reporting of sample results; providing technical assistance to the public water suppliers; review and approval of plans (i.e., treatment plans); and activities associated with enforcement and public notification of MCL exceedances and deferrals.

Professional Services:

Public water systems impacted by the amended regulations will require the services of a laboratory to analyze samples for PFOA, PFOS and 1,4-dioxane. The laboratory must be approved by the Department under its Environmental Laboratory Approval Program (ELAP). Sufficient laboratory capability and capacity is anticipated to be available to process the initial staggered testing demands and future testing. If an MCL is exceeded, a licensed professional will be required to design changes to the public water system to meet the MCL.

Compliance Costs:

Cost to Private Regulated Parties and Local Governments:

A small business or local government will incur the same costs as other regulated parties. Costs will include initial monitoring, continued routine monitoring, and treatment in the event of a MCL exceedance for PFOS, PFOA and 1,4-dioxane.

Monitoring and treatment costs for small businesses and local government owned public water systems is dependent upon the system size, the number of affected entry points/sources and the concentration of each

contaminant. The exact costs for monitoring and treatment of PFOS, PFOA and 1,4-dioxane for public water systems, including privately-owned public water systems, cannot be determined due to several variables. The cost for a single PFOA/PFOS analysis is between \$200-\$300 per sample. The cost of a single 1,4-dioxane analysis is between \$100-\$250. For small systems serving less than 3,300 persons, capital and annual maintenance costs are estimated to be approximately \$400,000 and \$25,000, respectively. For medium systems (serving 3,300 or more persons but less than 10,000 persons), capital and annual maintenance costs are estimated to be approximately \$2,400,000 and \$125,000, respectively. For large systems (serving 10,000 persons or more), capital and annual maintenance costs are estimated to be approximately \$15,000,000 and \$725,000, respectively.

It is estimated that eighty-nine (89) public water facilities, (a single public water system may be comprised of multiple public water facilities), will detect 1,4-dioxane above the MCL of 0.0010 mg/L. The average cost of treatment for 1,4-dioxane is estimated to be \$3,570,000 per system, with an estimated average annual operation and maintenance cost of approximately \$150,000 per system.

Public water systems will likely make rate adjustments to accommodate these additional capital and operational costs.

Local health departments that regulate drinking water will also incur administrative costs related to local implementation and oversight of the drinking water monitoring requirements including review and approval of sampling schedules; review and reporting of sample results; providing technical assistance to the public water suppliers; review and approval of plans (i.e., treatment plans), and activities associated with enforcement, including public notification of MCL exceedances and deferrals.

Economic and Technological Feasibility:

These regulations are economically and technologically feasible for small businesses and local governments. Analytical methods exist for accurate sample analysis to detect PFOA, PFOS and 1,4-dioxane. There are also technologically feasible treatment solutions for all three contaminants. Treatment may present a greater challenge to smaller systems that typically have less resources including financial and technical expertise than larger systems.

Minimizing Adverse Impact:

The Department has included several provisions that minimize the impacts on regulated parties. Previous testing conducted using an ELAP approved method and laboratory may satisfy some or all of the initial monitoring requirements at the Department's discretion, or the local health department's discretion in consultation with the Department; sampling frequency will decrease after the first year if a contaminant (or the contaminants) is/are not detected at a public water system; the start of initial sampling is proposed to be staggered, requiring large systems to test first (within 60 days of adoption) and providing more time for smaller systems such that water systems serving between 3,300 to 10,000 persons should sample within 90 days of adoption and water systems serving less than 3,300 persons must begin sampling within 6 months of adoption.

In addition, New York State offers programs to support public water systems with infrastructure investments including but not limited to treatment and development/connection to alternate sources of water. Programs include the Drinking Water State Revolving Fund which provides market rate, low to no interest loans and grants available to many municipally and privately-owned public water systems based on need and financial hardship. In addition, the New York State Clean Water Infrastructure Act of 2017 invests \$2.5 billion in clean and drinking water infrastructure projects and water quality protection across the State. It provides funding to the New York State Water Infrastructure Improvement Act of 2017 (WIIA) for grants to assist municipalities with water quality infrastructure. A separate \$200 million has been provided to support grants for drinking water projects that will address emerging contaminants such as PFOA, PFOS or 1,4-dioxane.

Small Business and Local Government Participation:

Small business and local governments were not specifically consulted on this proposal, however the MCLs set forth in this proposed rule were recommendations from the Drinking Water Quality Council (DWQC) which met numerous times in a public forum and were also recorded. The recordings are publicly available on the Department's web-site. During each DWQC meeting, members of the public were allowed to comment, and comments were provided to the Department outside of the meetings. Based on the information available it is not possible to determine the number of small businesses that participated during the meetings or provided comments, but from sign in sheets at the meetings some businesses did participate in the meetings. All comments provided by the public were made available to the DWQC for their consideration.

Revised Rural Area Flexibility Analysis and Job Impact Statement

Changes made to the last published rule do not necessitate revision to the previously published RAFA and JIS.

Assessment of Public Comment

The New York State Department of Health (Department) received over 5,000 comments from public water suppliers, local health departments,

chemical manufacturers, local and State elected officials, environmental advocacy groups, the New York Section of the American Water Works Association (AWWA), the New York Association of State and County Health Officials (NYSACHO) and members of the public, on the proposed rulemaking amending Subpart 5-1 of Title 10 of the New York State Codes, Rules and Regulations (NYCRR).

A large number of comments requested a lowering of the proposed PFOA and PFOS maximum contaminant levels (MCLs) to less than 2 parts per trillion (ppt) combined for PFOA and PFOS. In addition, these commenters requested that the MCL for 1,4-dioxane be lowered to 0.3 or 0.35 parts per billion (ppb). Additional commenters questioned the science used to develop the MCLs. Several commenters requested that the Department provide up to five years for public water systems to comply with all MCLs or a phased in approach.

Based on the comments received, the Department has made revisions to the proposed rulemaking and will issue a notice of revised rulemaking.

Department of Labor

PROPOSED RULE MAKING NO HEARING(S) SCHEDULED

Minimum Wage Tip Allowances

I.D. No. LAB-03-20-00012-P

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following proposed rule:

Proposed Action: Amendment of section 142-2.21 of Title 12 NYCRR.

Statutory authority: Labor Law, sections 21(11) and 659

Subject: Minimum Wage Tip Allowances.

Purpose: Amendment of regulations governing tip allowances in the Miscellaneous Industries Wage Order.

Text of proposed rule: Section 142-2.21 of Title 12 of the New York Code of Rules and Regulations is amended to read as follows:

§ 142-2.21 Tips. Tips, or gratuities, shall mean voluntary contributions received by the employee from a guest, patron, customer or other person for services rendered. No gratuities or tips shall be deemed received for the purpose of this Part if their acceptance is prohibited by the employer or prohibited by law. *Notwithstanding any other provision contained in this part, tips, or gratuities, shall not be considered a part of the minimum wage on or after December 31, 2020, provided, however, that no employer shall claim a tip allowance in excess of fifty percent of the applicable allowances listed in this part and rounded to the nearest five cents on or after June 30, 2020.*

Text of proposed rule and any required statements and analyses may be obtained from: Michael Paglialonga, Department of Labor, State Office Campus Building 12, Room 509, Albany, NY 12240, (518) 485-2191, email: comments@labor.ny.gov

Data, views or arguments may be submitted to: Same as above.

Public comment will be received until: 60 days after publication of this notice.

This rule was not under consideration at the time this agency submitted its Regulatory Agenda for publication in the Register.

Regulatory Impact Statement

1. Statutory Authority: The statutory authority for the promulgation of this rule is based on the Commissioner's general rulemaking authority under Labor Law § 21(11) and specific authority under Labor Law § 659(2) to modify regulations to effectuate the purposes of Article 19 of the Labor Law ("Minimum Wage Law").

2. Legislative Objectives: This rule implements the legislature's public policy objectives that look to the Commissioner to establish minimum wage orders and to promulgate regulations to effectuate and carry out the purposes of the Minimum Wage Law and to safeguard minimum wages. In enacting the Minimum Wage Law, the Legislature found that employment of workers "at wages that are insufficient to provide adequate maintenance for themselves and their families ... threatens the health and well-being of the people of this state and injures the overall economy" and that "it is the declared policy of the state of New York that such conditions be eliminated as rapidly as practicable without substantially curtailing opportunities for employment or earning power." Labor Law § 650.

The legislature provided a statutory scheme that delegated to the Commissioner, without a wage board, the sole authority to establish the wage

order that is currently codified at 12 NYCRR Part 142 and identified as the Minimum Wage Order for Miscellaneous Industries and Occupations ("Miscellaneous Wage Order"). Laws of 1960, Chapter 619. In delegating that authority to the Commissioner, the legislature directed the Commissioner to provide for the minimum wage rate enacted by the legislature but left the Commissioner free to promulgate regulations as the Commissioner deems necessary or appropriate to carry out the purposes of the Minimum Wage Law and to safeguard such minimum rate and, more specifically, to determine the amount of allowances, if any. Id. More generally, the legislature expressly authorized the Commissioner, without a wage board, to hold public hearings and issue orders proposing "such modifications of or additions to any regulations as he may deem appropriate to effectuate the purposes of the Minimum Wage Law." Labor Law § 659(2).

Under the Miscellaneous Wage Order, from 1960 to the present, the Commissioner adopted regulations which imposed conditions and monetary caps establishing the circumstances under which tip allowances could be used to reduce wages below the minimum wage rates established by the legislature. In other industries and occupations, wage boards had recommended, and the Commissioner had accepted, regulations that prohibited any tip allowances, including in industries and occupations such as beauty service, where tipping was an established custom in the industry, but varied in amounts depending by worker and depended on prices, attitudes of employers in encouraging or discouraging tips, and local custom and habit. Over the years, as wage orders for such specialized industries and occupations were eliminated, the scope of Miscellaneous Wage Order expanded to incorporate such industries and occupations, and tip allowances went from being prohibited to being subject to conditions and caps imposed by the Commissioner in establishing that wage order. Such industries, where specific wage orders prohibited tip allowances, included laundry, beauty service, cleaning and drying, retail, and amusement and recreation. The separate wage order for building service industries and occupations still exists, and continues to prohibit any tip allowance. Similarly, for nonprofit employers in any industry, and for fast food workers, including delivery workers, no provision is made for any tip allowance.

Over the years, the legislature has enacted minimum wage rates and increases from one dollar an hour, in 1960, to \$15 an hour, phased in to reach that amount as early as December 31, 2018, for large employers in New York City, followed by small employers in New York City on December 31, 2019, all employers in the counties of Nassau, Suffolk, and Westchester, on December 31, 2021, and other employers in the rest of the state on dates to be determined administratively. The \$15 rate enacted by the legislature had previously been recommended by a wage board, and adopted by the Commissioner, for the fast food industry, where the \$15 rate went into effect on December 31, 2018, for all fast food workers in New York City, and will reach \$15 on December 31, 2021, for all other fast food workers throughout the state.

In proposing this rulemaking to modify the tip allowance regulations promulgated by the Commissioner in the Miscellaneous Wage Order, as summarized above, the Commissioner has considered the \$15 minimum wage rate enacted by the legislature (and previously established through a wage board for the fast food industry) to be the amount that is sufficient to provide adequate maintenance and to protect health, and as the amount reflecting the value of work performed, and the wages paid in the state, including for fast food workers, whose minimum wage rate is \$13.75 outside of New York City, as of December 31, 2019.

In proposing this rulemaking to modify the existing tip regulation at 12 NYCRR § 142-2.21 to reduce the existing tip credits or gratuity allowances by 50%, effective June 30, 2020, and to eliminate them completely by December 31, 2020, the Commissioner is acting pursuant to her lawfully delegated authority to implement the legislative objectives referenced above and to address the needs and benefits found and identified below, and in the Commissioner's order and in the Department's report and recommendations, dated December 31, 2019.

3. Needs and Benefits: The purpose of this rule is to codify the changes to regulation that were ordered by the Commissioner on December 31, 2019, to effectuate the legislative objectives identified above by ensuring adequate protections for employees covered by the Miscellaneous Wage Order, as further set forth below, and in the Commissioner's order, and in the Department's report and recommendation, dated December 31, 2019. The Commissioner held seven public hearings and received nearly 40 hours of testimony. Those hearings revealed that (1) there are at least 70,000 workers in New York State within the coverage of the Miscellaneous Wage Order who are likely to be tipped; (2) in miscellaneous industries, the tipping and pay structure is considerably more complex and there are more cases of wage theft; (3) tipped workers are identified demographically as 62% female (higher than 49% in the broader workforce), 41% non-white (higher than 35% for the broader workforce) and 27% Hispanic or Latino (higher than the 18% for the broader workforce); (4) tipped workers are twice as likely to be in poverty, with a below-

poverty status (13%) more than two times that of the broader workforce (6%), and as such, they are more likely to rely on public assistance; (5) miscellaneous workers are often confused about whether they are entitled to earn minimum wage, leading to wage theft; and (6) testimony cited lower tipping rates in miscellaneous industries due to tip pooling and a lack of broad public awareness of tipping in these types of businesses.

Based upon the information gathered throughout the hearing process, and the expertise of the Department in enforcing the regulations adopted pursuant to the Minimum Wage Law, the Commissioner ordered that the purposes of the Minimum Wage Law necessitate eliminating the tip allowance for all miscellaneous industry workers and phasing in implementation over the next year.

4. Costs: (a) The costs to the regulated community to phase out tip allowances can be offset by modest price increases with no reduction in employment or profits. The proposed rule would reduce the current tip allowances by fifty percent on June 30, 2020, and eliminate the allowances entirely by December 31, 2020. When fully implemented, the proposed rule will cost employers who currently claim a tip allowance between \$1.75 and \$3.65 per hour, or between approximately 15 and 24 percent of the minimum wage. Additionally, it is worth noting that the New York State Division of Budget recently published a report which concludes that minimum wage increases that have been implemented since their passage in the 2016 budget have not yielded evidence of any significant loss of jobs.

This shift benefits affected businesses by simplifying what is now a complex matrix of pay rates so they avoid massive back payments, fines and legal fees, even if they inadvertently break the law. The elimination of the tip allowances will be phased in, providing businesses with an opportunity to plan.

(b) The costs to the Department, the State, and local governments for implementation and continuation of the rule will be de minimis and may result in reduced costs associated with a decrease of enforcement of tip allowance violations. The Department currently works with employers and employees on outreach and enforcement for the current Miscellaneous Wage Order, and the proposed rule is not expected to increase the costs for such outreach and enforcement.

(c) N/A.

(d) The Department is unable to fully provide a statement of employer costs associated with the proposed rule as the exact number of employees and the anticipated amount of tip allowance claimed is unknown. Statistics related to tip allowances are often skewed, or include strategic omissions, to benefit the perspective of the provider. It should be noted that during the hearing process several businesses submitted copies of their pay records as part of their written comments and others further offered to open their books to Department representatives. The reality is that there is little representative data on exactly how many workers receive tips, how much and how frequently they are tipped and how much of what they earn is actually reported. There is anecdotal evidence supporting many arguments, but limited information available with statistical accuracy. As such, an estimate is not viable at this time.

5. Local Government Mandates: None. Federal, state, and municipal governments and political subdivisions thereof are excluded from coverage under Part 142 by Labor Law §§ 651(5)(n) and 651(5) (last paragraph).

6. Paperwork: This rule does not impact any reporting requirements currently required in either statute or regulation.

7. Duplication: This rule exceeds the federal minimum wage requirements but follows the requirements set by the New York State Legislature.

8. Alternatives: There were no significant alternatives considered.

9. Federal Standards: This rule implements the minimum wage and requirements set forth in New York law that exceeds the federal minimum wage. There are no other federal standards relating to this rule.

10. Compliance Schedule: The regulated community will be required to comply with this regulation on and after June 30, 2020 and December 31, 2020.

Regulatory Flexibility Analysis

1. Effect of rule:

This rule applies to workers covered by the Minimum Wage Order for Miscellaneous Industries and Occupations (12 NYCRR Part 142). Miscellaneous industry workers include a wide variety of non-hospitality positions. Examples include: car wash attendants, nail salon workers, tow truck drivers, dog groomers, wedding planners, tour guides, tennis instructors, valet parking attendants, hairdressers, aestheticians, golf and tennis instructors, and door-persons.

There is little representative data on exactly how many workers receive tips, how much and how frequently they are tipped, and how much of what they earn is actually reported. There is anecdotal evidence supporting many arguments, but limited information available with statistical accuracy. An analysis of demographic data shows there are at least 70,000 workers in the Miscellaneous Wage Order who are likely to be tipped. For these reasons, the Department of Labor does not have a specific estimate of the number of small businesses that will be affected by this rule.

2. Compliance requirements:

There are no changes in the reporting or record-keeping requirements. Affected businesses must review their payrolls in light of this rule to determine whether they will need to increase the amount that they pay their workers.

3. Professional services:

No professional services would be required to comply with this rule.

4. Compliance costs:

These rules do not impose any additional compliance costs separate and apart from the costs associated with employers no longer being able to take an allowance toward the minimum wage. This change benefits small-businesses by simplifying what is now a complex matrix of pay rates so they avoid massive back payments, fines and legal fees, even if they inadvertently break the law. The elimination of tip allowances will be phased in, providing businesses with an opportunity to plan.

Finally, there are a number of factors that contribute to price fluctuations, and while prices may increase slightly, most of the increased wage income received by workers will be re-spent in their local economy.

5. Economic and technological feasibility:

Compliance with these regulations will be economically and technologically feasible because this rule simply adjusts the existing tip allowance applicable to miscellaneous industry workers without imposing new requirements or procedures for complying with minimum wage requirements.

6. Minimizing adverse impact:

This rule is based on the extensive testimony and comments from the regulated community. To help minimize any adverse impact, the proposed rule will be implemented in a phased-in approach to allow businesses to adjust to the changes. Alternative compliance schedules or rules were not proposed so as to provide workers with equal protections regardless of the size of employer they work for since customers are not believed to differentiate in tipping practices between small and large employers.

7. Small business and local government participation:

In 2018, the Department of Labor held seven hearings across the state, which lasted approximately 40 hours, included more than 700 speakers and were attended by an estimated 3,100 individuals. The hearings focused on how the subminimum wage impacts workers' ability to earn a fair wage as it relates to tips and raising a family and how it impacts business operations and managers' interactions with workers. Specific attention was paid to ensure attendees were afforded a reasonable opportunity to speak and an opportunity to hear from those testifying. This included hiring dozens of interpreters throughout the hearing process and providing real-time translation in all languages requested, including Spanish, Korean, Chinese and Nepalese.

The Department of Labor also received and reviewed written comments from more than 3,000 individuals and groups through emails, letters and postcards, and petitions.

8. For rules that either establish or modify a violation or penalties associated with a violation:

Not applicable.

9. Initial review of the rule, pursuant to SAPA § 207 as amended by L. 2012, ch. 462:

Not applicable.

Rural Area Flexibility Analysis

1. Types and estimated numbers of rural areas:

This rule applies to miscellaneous industry workers covered by the Minimum Wage Order for Miscellaneous Industries and Occupations (12 NYCRR Part 142). Miscellaneous industry workers include a wide variety of non-hospitality positions. Examples include: car wash attendants, nail salon workers, tow truck drivers, dog groomers, wedding planners, tour guides, tennis instructors, valet parking attendants, hairdressers, aestheticians, golf and tennis instructors, and door-persons.

There is little representative data on exactly how many workers receive tips, how much and how frequently they are tipped, and how much of what they earn is actually reported. There is anecdotal evidence supporting many arguments, but limited information available with statistical accuracy. Analysis of demographic data shows there are at least 70,000 workers within the coverage of the Miscellaneous Wage Order that are likely to be tipped. For these reasons, the Department of Labor does not have a specific estimate of the number of rural areas that will be affected by this rule.

2. Reporting, recordkeeping and other compliance requirements; and professional services:

There are no changes in the reporting or record-keeping requirements regarding the minimum wage. No professional services would be required to comply with this rule. Affected businesses must review their payrolls in light of this new rule to determine whether they will need to increase the amount that they pay their workers.

3. Costs:

These rules do not impose any additional compliance costs separate and

apart from the costs associated with eliminating the tip allowance. No capital or annual costs are required by this rule. This shift benefits affected businesses by simplifying what is now a complex matrix of pay rates so they avoid massive back payments, fines and legal fees, even if they inadvertently break the law. The elimination of tip allowances will be phased in, providing businesses with an opportunity to plan.

Finally, there are a number of factors that contribute to price fluctuations, and while prices may increase slightly, most of the increased wage income received by workers will be re-spent in their local economy.

4. Minimizing adverse impact:

This rule is based on the extensive testimony and comments from the regulated community. To help minimize any adverse impact, the proposed rule will be implemented in a phased-in approach to allow businesses to adjust to the changes. Alternative compliance schedules or rules were not proposed so as to provide workers with equal protections regardless of the location of employer they work for since customers are not believed to differentiate in tipping practices between urban and rural areas.

5. Rural area participation:

In 2018, the Department of Labor held seven hearings across the state, which lasted approximately 40 hours, included more than 700 speakers and were attended by an estimated 3,100 individuals. The hearings focused on how the subminimum wage impacts workers' ability to earn a fair wage as it relates to tips and raising a family and how it impacts business operations and managers' interactions with workers. Specific attention was paid to ensure attendees were afforded a reasonable opportunity to speak and an opportunity to hear from those testifying. This included hiring dozens of interpreters throughout the hearing process and providing real-time translation in all languages requested, including Spanish, Korean, Chinese and Nepalese.

The Department of Labor also received and reviewed written comments from more than 3,000 individuals and groups through emails, letters and postcards, and petitions.

6. Initial review of the rule, pursuant to SAPA § 207 as amended by L. 2012, ch. 462:

Not applicable.

Job Impact Statement

1. Nature of impact:

This rule eliminates the tip allowance for workers covered by the Minimum Wage Order for Miscellaneous Industries and Occupations (12 NYCRR Part 142) by phasing-out the tip allowance in two increments: reducing the allowance by half (50%) on June 30, 2020, and entirely on December 31, 2020. This change is not expected to have a substantial impact on jobs or on employment opportunities; however, this phased-in implementation should help to alleviate any potential negative impact on jobs.

This change should be positive for workers who currently earn less in tips than their counterparts in the hospitality industry and experience widespread confusion about whether they are entitled to earn minimum wage.

2. Categories and numbers affected:

This rule applies to miscellaneous industry workers covered by the Miscellaneous Wage Order. Miscellaneous industry workers include a wide variety of non-hospitality positions. Examples include: car wash attendants, nail salon workers, tow truck drivers, dog groomers, wedding planners, tour guides, tennis instructors, valet parking attendants, hairdressers, aestheticians, golf and tennis instructors, and door-persons.

There is little representative data on exactly how many workers receive tips, how much and how frequently they are tipped, and how much of what they earn is actually reported. There is anecdotal evidence supporting many arguments, but limited information available with statistical accuracy. Analysis of demographic data shows there are at least 70,000 workers within the coverage of the Miscellaneous Wage Order that are likely to be tipped.

3. Regions of adverse impact:

These regulations are not anticipated to have a disproportionate impact upon any area of the State.

4. Minimizing adverse impact:

This change is not expected to have a substantial impact on jobs or on employment opportunities, but it is anticipated that phased-in implementation will alleviate any potential negative impact on jobs.

5. Self-employment opportunities:

Not applicable.

6. Initial review of the rule, pursuant to SAPA § 207 as amended by L. 2012, ch. 462:

Not applicable.

New York Homes and Community Renewal

EMERGENCY RULE MAKING

Change in Location for DHCR's Office of Legal Affairs (OLA)

I.D. No. HCR-48-19-00001-E

Filing No. 3

Filing Date: 2020-01-02

Effective Date: 2020-01-02

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following action:

Action taken: Amendment of sections 1727-8.4, 2108.13, 2208.12, 2520.12, 2530.1, 2650.3, 2650.7 and 2657.9 of Title 9 NYCRR.

Statutory authority: L. 1974, ch. 576, section 10a; NYC Admin. Code, section 26-511(b), as recodified by L. 1985, ch. 907, section 1 as added by L. 1985, ch. 888, section 8; L. 2011, ch. 97, section 44; Rent Laws of 2015, part A, ch. 20, section 17

Finding of necessity for emergency rule: Preservation of general welfare.

Specific reasons underlying the finding of necessity: As of October 15, 2019, DHCR's Office of Legal Affairs will have moved to 641 Lexington Avenue, New York, N.Y. 10022. Time is of the essence to notify the public of an address change at DHCR so that they can direct service of legal papers and communications there.

Subject: Change in location for DHCR's Office of Legal Affairs (OLA).

Purpose: The purpose is to inform the public of the change in location to direct communications and service of legal papers.

Text of emergency rule: Miscellaneous Provisions

Part 2650 PUBLIC ACCESS TO DIVISION RECORDS

Subdivision (b) of Section 2650.7 of this Part is amended to read as follows:

(b) Denial of access to all other records maintained by the Division shall be in writing, stating the reason therefore and advising the requestor of the right to appeal to:

Records Appeals Officer

Office of the General Counsel

Division of Housing and Community Renewal

[25 Beaver Street - 7th Floor] 641 Lexington Avenue

New York, New York [10004] 10022

Miscellaneous Provisions

Part 2657 ACCESS TO PERSONAL INFORMATION

Subdivision (a) of Section 2657.9 of this Part is amended to read as follows:

(a) Any person denied access to a record or denied a request to amend or correct a record or personal information, pursuant to section 2657.8 of this Part may, within thirty business days of such denial, appeal to the:

Privacy Compliance Appeals Officer

Office of the General Counsel

Division of Housing and Community Renewal

[25 Beaver Street - 7th Floor] 641 Lexington Avenue

New York, New York [10004] 10022

Rent and Eviction Regulations - New York City

PART 2208 ADMINISTRATIVE REVIEW

Subdivision (a) of Section 2208.12 of this Part is amended to read as follows:

(a) personal delivery of the notice of petition and petition to counsel's office at the division's office, [25 Beaver Street, New York, New York 10004] 641 Lexington Avenue, New York, N.Y. 10022, or such other address as may be designated by the administrator, and delivering a copy thereof to an Assistant Attorney General at an office of the New York State Attorney General within the State; or

Rent Stabilization Code

Part 2530 JUDICIAL REVIEW

Subdivision (a) of Section 2530.1 of this Part is amended to read as follows:

(a) personal delivery of the notice of petition and petition to Counsel's Office at the DHCR's office, [25 Beaver Street, New York, NY 10004] 641 Lexington Avenue, New York, N.Y. 10022, or such other address as may be designated by the commissioner, and delivering a copy thereof to

an Assistant Attorney General at an office of the New York State Attorney General within the State; or

Emergency Tenant Protection Regulations

Part 2510 ADMINISTRATIVE REVIEW

Paragraph 1 in subdivision (c) of Section 2520.12 is amended to read as follows:

(1) personal delivery of the notice of petition and petition to Counsel's Office at the division's office, [25 Beaver Street, New York, New York 10004] 641 Lexington Avenue, New York, N.Y., 10022 or such other address as may be designated by the commissioner, and delivering a copy thereof to an Assistant Attorney General at an office of the New York State Attorney General within the State; or

Housing Company Management

Subpart 1727-8 SUCCESSION REQUIREMENTS

Subdivision (c) of Section 1727-8.4 of this Subpart is amended to read as follows:

(c) A family member applying to succeed to a lease, within 30 days of receipt of the written denial notice, may appeal to the Office of Legal Affairs of the division from such denial by the housing company, by sending the appeal to: Division of Housing and Community Renewal [25 Beaver Street, New York, New York 10004] 641 Lexington Avenue, New York, N.Y. 10022, Attention: Office of Legal Affairs together with proof of service of a copy of such appeal upon the housing company. The appeal shall briefly set forth the reasons why the family member believes he or she is entitled to occupy the apartment and any errors or erroneous findings he or she believes are contained in the housing company's determination.

Rent and Eviction Regulations-State

Part 2108 ADMINISTRATIVE REVIEW

Subdivision (a) of Section 2108.13 of this Part is amended to read as follows:

(a) personal delivery of the notice of petition and petition to counsel's office at the commission's office, [25 Beaver Street, New York, New York 10004] 641 Lexington Avenue, New York, N.Y. 10022, or such other address as may be designated by the administrator, and delivering a copy thereof to an Assistant Attorney General at an office of the New York State Attorney General within the State; or

Miscellaneous Provisions

Part 2650 PUBLIC ACCESS TO DIVISION RECORDS

Subdivision (c) of Section 2650.3 of this Part is amended to read as follows:

(c) All other records maintained by the Division, including housing operation records, are available for public inspection and copying, upon request and appointment, at the Division office located at [25 Beaver Street, New York, New York.] 641 Lexington Avenue, New York, N.Y. 10022. Requests for information and records can be made to that office by writing to:

Records Access Officer

Division of Housing and Community Renewal

[25 Beaver Street - 7th Floor] 641 Lexington Avenue

New York, New York [10004] 10022

e-mail: FOIL@nysdhr.gov

This notice is intended to serve only as a notice of emergency adoption. This agency intends to adopt the provisions of this emergency rule as a permanent rule, having previously submitted to the Department of State a notice of proposed rule making, I.D. No. HCR-48-19-00001-P, Issue of November 27, 2019. The emergency rule will expire March 1, 2020.

Text of rule and any required statements and analyses may be obtained from: Francis DeMartini, New York State Division of Housing and Community Renewal, 641 Lexington Avenue, New York, N.Y. 10022, (212) 872-0716, email: Francis.Demartini@nysdhr.org

Regulatory Impact Statement

DHCR is claiming an exemption for a technical amendment under SAPA 202(a)(5) as the proposed amendments involve solely a change of address which is a technical change of the information in the existing regulations relating to DHCR's address.

Regulatory Flexibility Analysis, Rural Area Flexibility Analysis and Job Impact Statement

The above statements are not required as the amendments solely notify the public of an address change at DHCR which does not appear to impose any adverse impact or require any additional reporting, recordkeeping or other compliance requirements. Additionally, it is apparent from the text of the rules that there will be no adverse impact on jobs and employment opportunities as a result of the amendments.

Assessment of Public Comment

The agency received no public comment.

Public Service Commission

PROPOSED RULE MAKING NO HEARING(S) SCHEDULED

Authority to Issue and Sell Unsecured Debt Obligations

I.D. No. PSC-03-20-00008-P

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following proposed rule:

Proposed Action: The Commission is considering a petition filed by Orange and Rockland Utilities, Inc. (the Company) requesting authority to issue and sell unsecured debt obligations.

Statutory authority: Public Service Law, section 69

Subject: Authority to issue and sell unsecured debt obligations.

Purpose: To consider the Company's request for authority to issue and sell unsecured debt obligations.

Substance of proposed rule: The Public Service Commission is considering a petition filed by Orange and Rockland Utilities, Inc. (the Company) on December 23, 2019, seeking authority to issue and sell unsecured debt obligations having a maturity of more than one year.

The requested authority would permit the Company to: (i) issue and sell up to \$165 million of debt (New Debt), the net proceeds of which will be used toward reimbursement of the Company's treasury for moneys expended for capital purposes through December 31, 2023; (ii) enter into or continue one or more revolving credit agreements (Revolver) that the Company may use to provide letters of credit in connection with its operations through December 31, 2023; (iii) issue and sell up to \$200 million aggregate principal amount at any time outstanding of unsecured debt obligations having a maturity of more than one year pursuant to the Revolver; and, (iv) to issue and sell up to \$125 million aggregate principle amount of current outstanding debt securities of the Company inclusive of the costs of such refunding (including any premium) (collectively, Refunding Debt), the net proceeds from the sale of which are to be applied solely and exclusively to refund existing debt through December 31, 2023. The Company also requested authority to defer and amortize the issuance expenses associated with its New Debt and Refunding Debt.

The full text of the petition and the full record of the proceeding may be reviewed online at the Department of Public Service web page: www.dps.ny.gov. The Commission may adopt, modify, or reject, in whole or in part, the action proposed and may resolve related matters.

Text of proposed rule and any required statements and analyses may be obtained by filing a Document Request Form (F-96) located on our website <http://www.dps.ny.gov/f96dir.htm> For questions, contact: John Pitucci, Public Service Commission, 3 Empire State Plaza, Albany, New York 12223-1350, (518) 486-2655, email: john.pitucci@dps.ny.gov

Data, views or arguments may be submitted to: Michelle L. Phillips, Secretary, Public Service Commission, 3 Empire State Plaza, Albany, New York 12223-1350, (518) 474-6530, email: secretary@dps.ny.gov

Public comment will be received until: 60 days after publication of this notice.

Regulatory Impact Statement, Regulatory Flexibility Analysis, Rural Area Flexibility Analysis and Job Impact Statement

Statements and analyses are not submitted with this notice because the proposed rule is within the definition contained in section 102(2)(a)(ii) of the State Administrative Procedure Act.

(19-M-0779SP1)

PROPOSED RULE MAKING NO HEARING(S) SCHEDULED

Changes to the Utility Energy Registry

I.D. No. PSC-03-20-00009-P

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following proposed rule:

Proposed Action: The Commission is considering the changes to the Utility Energy Registry (UER) recommended in the UER Status Report filed by the New York State Energy Research and Development Authority (NYSERDA) on December 30, 2019.

Statutory authority: Public Service Law, sections 5(1), (2), 65(1), (2), (3), 66(1), (2), (3), (4) and (5)

Subject: Changes to the Utility Energy Registry.

Purpose: To determine appropriate rules for data availability.

Substance of proposed rule: The Public Service Commission is considering the Utility Energy Registry (UER) Status Report filed by the New York Energy Research and Development Authority (NYSERDA) on December 30, 2019.

The UER is an online platform developed and maintained by the NYSERDA, with the support of the investor-owned gas and electric distribution utilities, to provide streamlined public access to aggregated community-scale utility energy data. The UER was authorized by the Commission's April 20, 2018 Order Adopting Utility Energy Registry. The UER Status Report describes progress of the UER's implementation and operation, including the demand for, uses of, and benefits of UER data, as well as the need for refinements. The report recommends certain modifications to improve the UER, including modifications related to refining and improving document methodologies, restructuring UER data fields, and increasing access to data.

The full text of the UER Status Report and the full record of the proceeding may be reviewed online at the Department of Public Service web page: www.dps.ny.gov. The Commission may adopt, reject, or modify, in whole or in part, the action proposed and may resolve related matters.

Text of proposed rule and any required statements and analyses may be obtained by filing a Document Request Form (F-96) located on our website <http://www.dps.ny.gov/f96dir.htm>. For questions, contact: John Pitucci, Public Service Commission, 3 Empire State Plaza, Albany, New York 12223-1350, (518) 486-2655, email: john.pitucci@dps.ny.gov

Data, views or arguments may be submitted to: Michelle L. Phillips, Secretary, Public Service Commission, 3 Empire State Plaza, Albany, New York 12223-1350, (518) 474-6530, email: secretary@dps.ny.gov

Public comment will be received until: 60 days after publication of this notice.

Regulatory Impact Statement, Regulatory Flexibility Analysis, Rural Area Flexibility Analysis and Job Impact Statement

Statements and analyses are not submitted with this notice because the proposed rule is within the definition contained in section 102(2)(a)(ii) of the State Administrative Procedure Act.

(17-M-0315SP2)

PROPOSED RULE MAKING NO HEARING(S) SCHEDULED

Waivers of Certain Tariff Provisions and Terms of an Agreement for the Provision of Service

I.D. No. PSC-03-20-00010-P

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following proposed rule:

Proposed Action: The Commission is considering a petition filed by Saratoga Water Services, Inc. requesting waivers of certain provisions of its tariff and Commission rules and the terms of an agreement for the provision of service.

Statutory authority: Public Service Law, sections 4(1), 20(1) and 89-b

Subject: Waivers of certain tariff provisions and terms of an agreement for the provision of service.

Purpose: Whether a proposed agreement for provision of service by Saratoga Water Services, Inc. is in the public interest.

Substance of proposed rule: The Commission is considering a petition filed by Saratoga Water Services, Inc. (Saratoga) requesting waivers of certain provisions of its tariff and Commission rules, and the approval of the terms of an agreement for the provision of service.

Saratoga has entered into an "Agreement For The Provision of Water Service" (Agreement), dated October 23, 2019, with ER Design Build, LLC, to provide water to a five lot development known as the 291 Plains Road Subdivision, Town of Malta, Saratoga County, which is outside Saratoga's service territory. Since some terms of the Agreement are not consistent with Saratoga's tariff and Commission regulations, the Commission must authorize the Agreement's terms before it can go into effect.

Saratoga seeks issuance of an Order: (a) approving the terms and conditions of a certain "Agreement For The Provision of Water Service," dated October 23, 2019 (Agreement) between Saratoga and ER Design Build, LLC as being in the public interest; (b) determining that the provision of water service by Saratoga in accordance with the terms set forth in the

Agreement is in the public interest; (c) waiving Saratoga's tariff provisions to the extent they are inconsistent with the Agreement; and (d) waiving the applicability of the provisions of 16 NYCRR Parts 501 and 502 concerning the extension of main and service pipes, to the extent such regulations are inconsistent with the Agreement.

The full text of the petition and the full record of the proceeding may be reviewed online at the Department of Public Service web page: www.dps.ny.gov. The Commission may adopt, reject or modify, in whole or in part, the action proposed and may resolve related matters.

Text of proposed rule and any required statements and analyses may be obtained by filing a Document Request Form (F-96) located on our website <http://www.dps.ny.gov/f96dir.htm>. For questions, contact: John Pitucci, Public Service Commission, 3 Empire State Plaza, Albany, New York 12223-1350, (518) 486-2655, email: john.pitucci@dps.ny.gov

Data, views or arguments may be submitted to: Michelle L. Phillips, Secretary, Public Service Commission, 3 Empire State Plaza, Albany, New York 12223-1350, (518) 474-6530, email: secretary@dps.ny.gov

Public comment will be received until: 60 days after publication of this notice.

Regulatory Impact Statement, Regulatory Flexibility Analysis, Rural Area Flexibility Analysis and Job Impact Statement

Statements and analyses are not submitted with this notice because the proposed rule is within the definition contained in section 102(2)(a)(ii) of the State Administrative Procedure Act.

(19-W-0745SP1)

HEARINGS SCHEDULED FOR PROPOSED RULE MAKINGS

Agency I.D. No.	Subject Matter	Location—Date—Time
Bridge Authority, New York State		
SBA-01-20-00004-P	A proposal to amend the NYS Bridge Authority toll schedule	Poughkeepsie Grand Hotel, 40 Civic Center Plaza, Palm Court Rm., Poughkeepsie, NY—March 9, 2020, 7:00 p.m.
SBA-01-20-00005-P	Toll collection and violations	Poughkeepsie Grand Hotel, 40 Civic Center Plaza, Palm Court Rm., Poughkeepsie, NY—March 9, 2020, 7:00 p.m.
Environmental Conservation, Department of		
ENV-48-19-00008-P	Plastic bag reduction, reuse and recycling	Department of Environmental Conservation, 625 Broadway, Public Assembly Rm. 129A/B, Albany, NY—January 27, 2020, 1:00 p.m.
ENV-53-19-00016-P	Certain substances that contain hydrofluorocarbons, highly-potent greenhouse gases	Department of Environmental Conservation, 625 Broadway, Public Assembly Rm. 129A/B, Albany, NY—March 4, 2020, 12:30 p.m. Henrietta Public Library, 625 Calkins Rd., Community Rm., Rochester, NY—March 6, 2020, 12:30 p.m. Department of Environmental Conservation, Region 2 Office, 47-40 21st St., 8th Fl., Rm. 834A/834B, Long Island City, NY—March 9, 2020, 12:30 p.m.

ACTION PENDING INDEX

The action pending index is a list of all proposed rules which are currently being considered for adoption. A proposed rule is added to the index when the notice of proposed rule making is first published in the *Register*. A proposed rule is removed from the index when any of the following occur: (1) the proposal is adopted as a permanent rule; (2) the proposal is rejected and withdrawn from consideration; or (3) the proposal's notice expires.

Most notices expire in approximately 12 months if the agency does not adopt or reject the proposal within that time. The expiration date is printed in the second column of the action pending index. Some notices, however, never expire. Those notices are identified by the word "exempt" in the second column. Actions pending for one year or more are preceded by an asterisk(*).

For additional information concerning any of the proposals

listed in the action pending index, use the identification number to locate the text of the original notice of proposed rule making. The identification number contains a code which identifies the agency, the issue of the *Register* in which the notice was printed, the year in which the notice was printed and the notice's serial number. The following diagram shows how to read identification number codes.

Agency code	Issue number	Year published	Serial number	Action Code
AAM	01	12	00001	P

Action codes: P — proposed rule making; EP — emergency and proposed rule making (expiration date refers to proposed rule); RP — revised rule making

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
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AGING, OFFICE FOR THE

AGE-34-19-00014-P	08/20/20	Limits on Administrative Expenses and Executive Compensation	To bring this rule into compliance with current law in New York State
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AGRICULTURE AND MARKETS, DEPARTMENT OF

AAM-33-19-00003-P	08/13/20	State aid to districts	To conform Part 363 to S&WCL Sec. 11-a statutory amendments and to make technical amendments.
AAM-47-19-00002-P	11/19/20	Golden Nematode (<i>Globodera Rostochiensis</i>) Quarantine	To lift the Golden Nematode quarantine in portions of the Towns of East Hampton and Riverhead in Suffolk County.

AUDIT AND CONTROL, DEPARTMENT OF

AAC-49-19-00002-P	12/03/20	Relates to interest rate of estimated earnings; filing of documents; maximum loan amount; and electronic signatures	Update and conform regulations relating to interest; filing of documents; maximum loan amount; and electronic signatures
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BRIDGE AUTHORITY, NEW YORK STATE

SBA-01-20-00004-P	exempt	A proposal to amend the NYS Bridge Authority Toll Schedule.	To amend toll schedule for vehicular bridges controlled by the NYS Bridge Authority in order to provide additional revenue.
SBA-01-20-00005-P	03/09/21	Toll collection and violations.	To amend toll collection procedures and implement toll violation enforcement on NYSBA bridges.

CHILDREN AND FAMILY SERVICES, OFFICE OF

*CFS-51-18-00010-RP	03/18/20	Residential and non-residential services to victims of domestic violence	To conform the existing regulations to comply with state and federal laws regarding services to victims of domestic violence
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Agency I.D. No.	Expires	Subject Matter	Purpose of Action
CHILDREN AND FAMILY SERVICES, OFFICE OF			
CFS-36-19-00004-ERP	09/03/20	Removal of non-medical exemption from vaccination regulations for child day care programs	To remove the non-medical exemption from vaccination regulations for child day care programs
CFS-39-19-00005-EP	09/24/20	Implement federal statutory requirements to include enhanced background checks, annual inspections, annual training and safety.	Implement federal statutory requirements to include enhanced background checks, annual inspections, annual training and safety.
CFS-39-19-00007-EP	09/24/20	Implement statutory requirements to include enhanced background checks, annual inspections, annual training and safety.	Implement statutory requirements to include enhanced background checks, annual inspections, annual training and safety.
CFS-42-19-00002-P	10/15/20	Permissible disclosure of records maintained by OCFS.	To amend existing regulations regarding the permissible disclosure of records by OCFS.
CFS-46-19-00002-P	11/12/20	Behavioral health services, elimination of room isolation and authority to operate de-escalation rooms	To implement standards for behavioral health services and the operation of de-escalation rooms and to eliminate room isolation
CFS-49-19-00001-P	12/03/20	Limits on executive compensation	To remove the soft cap limit on executive compensation
CIVIL SERVICE, DEPARTMENT OF			
CVS-06-19-00001-P	02/06/20	Jurisdictional Classification	To classify a position in the exempt class
CVS-25-19-00006-P	06/18/20	Jurisdictional Classification	To classify positions in the non-competitive class.
CVS-34-19-00011-P	08/20/20	Jurisdictional Classification	To delete positions from and classify positions in the non-competitive class
CVS-42-19-00010-P	10/15/20	Jurisdictional Classification	To classify positions in the exempt class
CVS-42-19-00014-P	10/15/20	Jurisdictional Classification	To classify a position in the exempt class
CVS-42-19-00020-P	10/15/20	Jurisdictional Classification	To classify positions in the non-competitive class
CVS-42-19-00021-P	10/15/20	Jurisdictional Classification	To classify positions in the non-competitive class
CVS-42-19-00023-P	10/15/20	Jurisdictional Classification	To classify positions in the non-competitive class
CVS-42-19-00024-P	10/15/20	Jurisdictional Classification	To delete positions from and classify positions in the non-competitive class
CVS-45-19-00003-P	11/05/20	Jurisdictional Classification	To classify a position in the non-competitive class
CVS-45-19-00004-P	11/05/20	Jurisdictional Classification	To classify a position in the exempt class
CVS-45-19-00005-P	11/05/20	Jurisdictional Classification	To delete positions from and classify a position in the non-competitive class
CVS-45-19-00006-P	11/05/20	Jurisdictional Classification	To delete positions from the non-competitive class

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
CIVIL SERVICE, DEPARTMENT OF			
CVS-45-19-00007-P	11/05/20	Jurisdictional Classification	To delete a position from and classify a position in the exempt class and to delete positions from the non-competitive class
CVS-45-19-00008-P	11/05/20	Jurisdictional Classification	To delete positions from and classify a position in the labor class
CVS-45-19-00009-P	11/05/20	Jurisdictional Classification	To delete a position from and classify a position in the exempt class
CVS-51-19-00002-P	12/17/20	Jurisdictional Classification	To delete positions from and classify positions in the non-competitive class
CVS-51-19-00003-P	12/17/20	Jurisdictional Classification	To classify a position in the exempt class
CVS-51-19-00004-P	12/17/20	Jurisdictional Classification	To classify positions in the exempt class
CVS-51-19-00005-P	12/17/20	Jurisdictional Classification	To classify positions in the exempt class
CVS-51-19-00006-P	12/17/20	Jurisdictional Classification	To classify positions in the exempt class
CVS-51-19-00007-P	12/17/20	Jurisdictional Classification	To classify positions in the non-competitive class
CVS-51-19-00008-P	12/17/20	Jurisdictional Classification	To classify positions in the non-competitive class
CVS-51-19-00009-P	12/17/20	Jurisdictional Classification	To classify positions in the non-competitive class
CVS-51-19-00010-P	12/17/20	Jurisdictional Classification	To delete positions from the non-competitive class
CVS-51-19-00011-P	12/17/20	Jurisdictional Classification	To delete a position from and classify a position in the exempt class
CVS-51-19-00012-P	12/17/20	Jurisdictional Classification	To classify positions in the non-competitive class
CVS-51-19-00013-P	12/17/20	Jurisdictional Classification	To classify positions in the non-competitive class
CVS-51-19-00014-P	12/17/20	Jurisdictional Classification	To classify positions in the non-competitive class
CVS-03-20-00003-P	01/21/21	Jurisdictional Classification	To classify positions in the non-competitive class
CVS-03-20-00004-P	01/21/21	Jurisdictional Classification	To classify a position in the exempt class
CVS-03-20-00005-P	01/21/21	Jurisdictional Classification	To delete positions from and classify positions in the non-competitive class
CVS-03-20-00006-P	01/21/21	Jurisdictional Classification	To classify a position in the non-competitive class
CVS-03-20-00007-P	01/21/21	Jurisdictional Classification	To delete positions from and classify positions in the exempt class

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
CORRECTION, STATE COMMISSION OF			
CMC-35-19-00002-P	08/27/20	Disciplinary and administrative segregation of inmates in special housing.	Prohibit the segregation of vulnerable inmates, and to standardize allowable uses and duration of special housing segregation.
CORRECTIONS AND COMMUNITY SUPERVISION, DEPARTMENT OF			
CCS-21-19-00014-P	05/21/20	Adolescent Offender Facilities	To reclassify two existing correctional facilities to adolescent offender facilities.
CCS-35-19-00001-P	08/27/20	Special Housing Units	Revisions have been made in order to be in compliance with new laws regarding special housing units and solitary confinement use
CCS-50-19-00002-P	12/10/20	Raise the Age	To update each correctional facility's regulation as a direct result of the Raise the Age legislation
CRIMINAL JUSTICE SERVICES, DIVISION OF			
CJS-20-19-00003-P	05/14/20	Certified Instructors and Course Directors	Establish/maintain effective procedures governing certified instructors and course directors who deliver MPTC-approved courses
CJS-30-19-00010-ERP	07/23/20	Use of Force	Set forth use of force reporting and recordkeeping procedures
EDUCATION DEPARTMENT			
EDU-05-19-00008-RP	01/30/20	Protecting Personally Identifiable Information	To implement the provisions of Education Law section 2-d
EDU-17-19-00008-P	04/23/20	To require study in language acquisition and literacy development of English language learners in certain teacher preparation	To ensure that newly certified teachers enter the workforce fully prepared to serve our ELL population
EDU-27-19-00010-P	07/02/20	Substantially Equivalent Instruction for Nonpublic School Students	Provide guidance to local school authorities to assist them in fulfilling their responsibilities under the Compulsory Ed Law
EDU-31-19-00009-EP	07/30/20	Instructional Time for State Aid purposes	To provide school districts with additional flexibility when establishing their school calendars
EDU-39-19-00008-ERP	09/24/20	The Education, Experience, Examination and Endorsement Requirements for Licensure as an Architect	To more closely align New York's requirements for architects with national standards and to streamline the endorsement process.
EDU-39-19-00009-P	09/24/20	Requirements for Licensure as an Architect	To more closely align the Commissioner's Regulations with national standards for licensure as an architect.
EDU-39-19-00012-P	09/24/20	Building Condition Surveys and Visual and Periodic Inspections of Public School Buildings	To align the Commissioner's Regulations with amendments made to Education Law sections 409-9d, 409-e, and 3641.
EDU-43-19-00011-P	10/22/20	Addition of Subject Ares to the Limited Extension and SOCE for Certain Teachers of Students with Disabilities	To enable more qualified teachers of students with disabilities to seek the limited extension and SOCE

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
EDUCATION DEPARTMENT			
EDU-43-19-00012-EP	10/22/20	Annual Professional Performance Reviews of Classroom Teachers and Building Principals	Necessary to implement part YYY of chapter 59 of the Laws of 2019
EDU-43-19-00013-P	10/22/20	Requirements for Chiropractic Education Programs and Education Requirements for Licensure as a Chiropractor	To conform educational requirements for the profession of chiropractic to the national preprofessional education standards
EDU-47-19-00005-P	11/19/20	Physical Education Requirements for a Diploma and Transfer Credits for Students Earning Credit in an Educational Program.	To implement Raise the Age legislation pursuant to Part WWW of Chapter 59 of the Laws of 2017.
EDU-47-19-00006-EP	11/19/20	Permit physicians licensed in another state or territory to provide medical services to athletes and team personnel in New York	To align the Regulations of the Commissioner with chapter 519 of the Laws of 2018 and chapter 199 of the Laws of 2019
EDU-47-19-00007-EP	11/19/20	Profession of Registered Dental Assisting.	To conform the Regulations of the Commissioner with Chapter 390 of the laws of 2019.
EDU-52-19-00007-P	12/23/20	Update Provisions Relating to Pupil Transportation	To update provisions of the Commissioner's Regulations relating to pupil transportation
EDU-52-19-00008-EP	12/23/20	Restricted License for Clinical Laboratory Technologist	Implement Chapter 227 of 2019 by adding toxicology to the category of restricted licenses for clinical laboratory technologists
EDU-52-19-00009-EP	12/23/20	Continuing Education in the Profession of Public Accountancy	Implement the provisions of chapter 413 of the Laws of 2018
EDU-52-19-00010-EP	12/23/20	Duties and responsibilities of the counsel of the State Education Department	To designate counsel as the deputy commissioner of education as specified in Education Law, section 101
ELECTIONS, STATE BOARD OF			
SBE-22-19-00003-EP	05/28/20	Process for Early Voting	Establishing Process for Early Voting
SBE-02-20-00002-P	01/14/21	Combine the 10 Day Post Primary Filing with the July 15th disclosure statement	This amendment combines the 10 Day Post Primary Filing with the July 15th disclosure statement that political committees file
ENVIRONMENTAL CONSERVATION, DEPARTMENT OF			
ENV-18-19-00006-EP	04/30/20	Regulations governing commercial fishing and harvest of scup.	To revise regulations concerning the commercial harvest of scup in New York State waters.
ENV-24-19-00002-P	08/18/20	Hazardous Waste Management Regulations (FedReg5)	To amend regulations pertaining to hazardous waste management
ENV-27-19-00003-P	07/02/20	Black Bear hunting.	Expand bear hunting opportunities in Wildlife Management Unit 4W to reduce bear abundance.
ENV-36-19-00001-P	11/07/20	Waste Fuels	Update permit references, rule citations, monitoring, record keeping, reporting requirements, and incorporate federal standards.

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
ENVIRONMENTAL CONSERVATION, DEPARTMENT OF			
ENV-36-19-00002-P	11/07/20	New Aftermarket Catalytic Converter (AMCC) standards	Prohibit sale of federal AMCCs and update existing AMCC record keeping and reporting requirements
ENV-36-19-00003-P	11/07/20	Stationary Combustion Installations	Update permit references, rule citations, monitoring, record keeping, reporting requirements, and lower emission standards.
ENV-36-19-00014-P	11/19/20	Distributed generation sources located in New York City, Long Island and Westchester and Rockland counties	Establish emission control requirements for sources used in demand response programs or as price-responsive generation sources
ENV-37-19-00003-P	09/10/20	Clarifying determination of jurisdiction under the Endangered and Threatened Fish and Wildlife regulations	To improve the review of projects by removing some project types that are known not to cause harm from the review stream
ENV-38-19-00001-P	09/17/20	Animals dangerous to health or welfare	To expand the list of animals which pose a risk to health or welfare of the people of the state or indigenous fish and wildlife
ENV-39-19-00003-P	12/05/20	Part 219 applies to various types of incinerators and crematories operated in New York State.	This rule establishes emission limits and operating requirements for various types of incinerators.
ENV-42-19-00003-P	10/15/20	Amendments to Great Lakes sportfishery regulations in 6NYCRR Part 10	Proposed amendments are intended to improve high quality sportfisheries and associated economic benefits
ENV-43-19-00006-P	01/07/21	Class I and Class SD waters	To clarify best usages of Class I and SD waters were/are "secondary contact recreation and fishing" and "fishing," respectively
ENV-43-19-00010-P	01/06/21	Repeal and replace 6 NYCRR Part 622 and amend 6 NYCRR Part 624, Part 621 and Part 620	To incorporate procedural and legal developments, develop consistency & reflect current practice in DEC hearings
ENV-48-19-00008-P	01/26/21	Plastic Bag Reduction, Reuse and Recycling	The objectives of this rulemaking are to provide clarity to Titles 27 and 28 so that they can both be effectively implemented
ENV-53-19-00016-P	03/09/21	Certain substances that contain hydrofluorocarbons, highly-potent greenhouse gases	Remove greenhouse gas emission sources that endanger public health and the environment
FINANCIAL SERVICES, DEPARTMENT OF			
*DFS-17-16-00003-P	exempt	Plan of Conversion by Commercial Travelers Mutual Insurance Company	To convert a mutual accident and health insurance company to a stock accident and health insurance company
*DFS-25-18-00006-P	exempt	Plan of Conversion by Medical Liability Mutual Insurance Company	To convert a mutual property and casualty insurance company to a stock property and casualty insurance company
DFS-33-19-00004-P	08/13/20	Minimum Standards for Form, Content, and Sale of Health Insurance, Including Standards for Full and Fair Disclosure	To set forth minimum standards for the content of health insurance identification cards.
DFS-43-19-00017-P	10/22/20	INDEPENDENT DISPUTE RESOLUTION FOR EMERGENCY SERVICES AND SURPRISE BILLS	To require notices and consumer disclosure information related to surprise bills and bills for emergency service to be provided

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
FINANCIAL SERVICES, DEPARTMENT OF			
DFS-44-19-00011-P	10/29/20	Principle-Based Reserving	To prescribe minimum principle-based valuation standards
DFS-47-19-00003-P	11/19/20	Banking Division Assessments	Set forth the basis for allocating costs and expenses attributable to the operation of the Banking Division for FSL assessments
DFS-48-19-00002-P	11/26/20	SUPERINTENDENT'S REGULATIONS: INFORMATION SUBJECT TO CONFIDENTIAL TREATMENT	Provide rules concerning publication or disclosure of information subject to confidential treatment
DFS-51-19-00015-P	12/17/20	Minimum Standards for Form, Content and Sale of Health Insurance, Including Standards of Full and Fair Disclosure	Clarifying discriminatory activities prohibited by and coverages included within preventive care and screenings under the IL
DFS-53-19-00013-EP	12/30/20	Rules Governing the Procedures for Adjudicatory Proceedings Before the Department of Financial Services	To unify and clarify the procedures for adjudicatory proceedings before the Department of Financial Services
DFS-53-19-00014-EP	12/30/20	Charges for Professional Health Services	To delay the effective date of the workers' compensation fee schedules for no-fault reimbursement.
DFS-53-19-00015-EP	12/30/20	Supplementary Uninsured/Underinsured Motorist Coverage	To comport with statutory amendments to Chapter 59, Part III of the Laws of 2019 and Insurance Law Section 3420(f)
DFS-01-20-00002-P	01/07/21	Certification of Compliance, Due Date	To amend the date by which Covered Entities must submit a certification of compliance, from February to April.
DFS-03-20-00011-EP	01/21/21	Valuation of Life Insurance Reserves; Recognition of the 2001 CSO Mortality Table and the 2017 CSO Mortality Table, et al	Prescribes 2001 CSO ultimate mortality for guaranteed issue policies issued on or after 1/1/20 and extends 2015 reserve relief
GAMING COMMISSION, NEW YORK STATE			
SGC-01-20-00006-P	01/07/21	Permit Thoroughbred horses with digital tattoos to race in New York	To promote the integrity of racing and derive a reasonable return for government
GENERAL SERVICES, OFFICE OF			
GNS-40-19-00005-P	10/01/20	Facility Use	To add "plastic knuckles" and remove "gravity knife" from the definition of "deadly weapon"
HEALTH, DEPARTMENT OF			
*HLT-14-94-00006-P	exempt	Payment methodology for HIV/AIDS outpatient services	To expand the current payment to incorporate pricing for services
HLT-30-19-00006-P	07/23/20	Maximum Contaminant Levels (MCLs)	Incorporating MCLs for perfluorooctanoic acid (PFOA), perfluorooctanesulfonic acid (PFOS) and 1,4-dioxane.
HLT-36-19-00006-P	09/03/20	Limits on Executive Compensation	Removes "Soft Cap" prohibition on covered executive salaries.
HLT-40-19-00002-EP	10/01/20	Required Signage Warning Against the Dangers of Illegal Products	To require sellers of legal e-liquids and e-cigarette products to post warning signs regarding illegal products

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
HEALTH, DEPARTMENT OF			
HLT-40-19-00004-P	10/01/20	Drug Take Back	To implement the State's drug take back program to provide for the safe disposal of drugs
HLT-43-19-00005-P	10/22/20	Transitional Adult Home Admission Standards for Individuals with Serious Mental Illness	Delineate a clear pre-admissions process for determining whether a prospective resident is a person with serious mental illness
HLT-45-19-00001-P	11/05/20	Medical Use of Marijuana	To allow NYS hemp growers to sell hemp-derived cannabidiol to the Registered Organizations to reduce the cost of manufacturing.
HLT-45-19-00002-P	11/05/20	Licensed Home Care Services Agencies (LHCSAs)	To implement changes to public need & financial feasibility review for applications for HCSAs licensure & change of ownership.
HLT-46-19-00003-P	11/12/20	Tanning Facilities	To prohibit the use of indoor tanning facilities by individuals less than 18 years of age
HLT-47-19-00008-P	11/19/20	Hospital Medical Staff - Limited Permit Holders	To repeal extra years of training required for limited permit holders to work in New York State hospitals.
HLT-47-19-00009-P	11/19/20	Empire Clinical Research Investigator Program (ECRIP)	To expand the types of & change the time frames for past research grants that qualify staff to supervise the ECRIP project.
HLT-48-19-00003-EP	11/26/20	Secondary Syringe Exchange in New York State	To reduce the spread of blood-borne pathogens, to reduce or eliminate other harms associated with contaminated syringes
HLT-51-19-00001-P	12/17/20	Women, Infants and Children (WIC) Program	To support implementation of eWIC; clarify rules for violations, penalties & hearings & conform vendor authorization criteria.
HLT-53-19-00001-P	12/30/20	Prohibition on the Sale of Electronic Liquids with Characterizing Flavors	To prohibit the sale of electronic liquids with characterizing flavors
HLT-53-19-00011-P	12/30/20	Cardiac Services	To amend existing Certificate of Need requirements for approval of adult cardiac surgery centers.
HLT-53-19-00012-P	12/30/20	Consumer Directed Personal Assistance Program Reimbursement	To establish a program to pay home care services & establish a methodology framework for the payment of FI administrative costs.

HOUSING AND COMMUNITY RENEWAL, DIVISION OF

HCR-21-19-00019-P	07/21/20	Low-Income Housing Qualified Allocation Plan	To amend definitions, threshold criteria and application scoring for the allocation of flow-income housing tax credits.
HCR-48-19-00001-P	11/26/20	The subject of these amendments is the change in the location of DHCR's Office Of Legal Affairs (OLA).	The purpose is to inform the public of the change in DHCR's Office of Legal Affairs' location.

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
HOUSING FINANCE AGENCY			
HFA-21-19-00020-P	07/21/20	Low-Income Housing Qualified Allocation Plan	To amend definitions, threshold criteria and application scoring for the allocation of low-income housing tax credits
HUMAN RIGHTS, DIVISION OF			
HRT-27-19-00002-P	07/02/20	Gender Identity or Expression Discrimination	To conform the Division's regulations with Executive Law as amended by Chapter 8 of the Laws of New York 2019.
LABOR, DEPARTMENT OF			
LAB-46-19-00004-P	11/12/20	NY State Public Employees Occupational Safety and Health Standards	To incorporate by reference updates to OSHA standards into the NY State Public Employee Occupational Safety and Health Standards
LAB-03-20-00012-P	01/21/21	Minimum Wage Tip Allowances	Amendment of regulations governing tip allowances in the Miscellaneous Industries Wage Order
LONG ISLAND POWER AUTHORITY			
*LPA-08-01-00003-P	exempt	Pole attachments and related matters	To approve revisions to the authority's tariff
*LPA-41-02-00005-P	exempt	Tariff for electric service	To revise the tariff for electric service
*LPA-04-06-00007-P	exempt	Tariff for electric service	To adopt provisions of a ratepayer protection plan
*LPA-03-10-00004-P	exempt	Residential late payment charges	To extend the application of late payment charges to residential customers
*LPA-15-18-00013-P	exempt	Outdoor area lighting	To add an option and pricing for efficient LED lamps to the Authority's outdoor area lighting
*LPA-37-18-00013-P	exempt	The net energy metering provisions of the Authority's Tariff for Electric Service	To implement PSC guidance increasing eligibility for value stack compensation to larger projects
*LPA-37-18-00017-P	exempt	The treatment of electric vehicle charging in the Authority's Tariff for Electric Service.	To effectuate the outcome of the Public Service Commission's proceeding on electric vehicle supply equipment.
*LPA-37-18-00018-P	exempt	The treatment of energy storage in the Authority's Tariff for Electric Service.	To effectuate the outcome of the Public Service Commission's proceeding on the NY Energy Storage Roadmap.
LPA-47-19-00017-P	exempt	VDER, net metering, and community distributed generation	To update the Authority's tariff for consistency with the Public Service Commission, Department of Public Service, and CLCPA.
MENTAL HEALTH, OFFICE OF			
OMH-43-19-00008-P	10/22/20	Personalized Recovery Oriented Services (PROS)	To Allow PROS participants to receive Clinic Treatment from an Article 31 Clinic operated by the same agency

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
MENTAL HEALTH, OFFICE OF			
OMH-46-19-00005-P	11/12/20	Definition of "Case record, clinical record, medical record or patient record"	To clarify that the agency does not consider the provision to apply to the definition of "record" as set forth in MHL 9.01
OMH-47-19-00001-P	11/19/20	Limits on Executive Compensation	To eliminate "soft cap" restrictions on compensation.
METROPOLITAN TRANSPORTATION AGENCY			
MTA-23-19-00006-EP	06/04/20	Debarment of contractors	To comply with Public Authorities Law, section 1279-h, which requires the MTA to establish a debarment process for contractors
NIAGARA FALLS WATER BOARD			
*NFW-04-13-00004-EP	exempt	Adoption of Rates, Fees and Charges	To pay for the increased costs necessary to operate, maintain and manage the system, and to achieve covenants with bondholders
*NFW-13-14-00006-EP	exempt	Adoption of Rates, Fees and Charges	To pay for increased costs necessary to operate, maintain and manage the system and to achieve covenants with the bondholders
*NFW-01-19-00019-EP	exempt	Adoption of Rates, Fees, and Charges	To pay for increased costs necessary to operate, maintain, and manage the system, and to meet covenants with the bondholders
OGDENSBURG BRIDGE AND PORT AUTHORITY			
*OBA-33-18-00019-P	exempt	Increase in Bridge Toll Structure	To increase bridge toll revenue in order to become financially self-supporting. Our bridge operations are resulting in deficit.
OBA-07-19-00019-P	exempt	Increase in Bridge Toll Structure	To increase bridge toll revenue in order to become financially self-supporting. Our bridge operations are resulting in deficit
POWER AUTHORITY OF THE STATE OF NEW YORK			
*PAS-01-10-00010-P	exempt	Rates for the sale of power and energy	Update ECSB Programs customers' service tariffs to streamline them/include additional required information
PAS-42-19-00008-P	exempt	Rates for the Sale of Power and Energy	To align rates and costs
PUBLIC SERVICE COMMISSION			
*PSC-09-99-00012-P	exempt	Transfer of books and records by Citizens Utilities Company	To relocate Ogden Telephone Company's books and records out-of-state
*PSC-15-99-00011-P	exempt	Electronic tariff by Woodcliff Park Corp.	To replace the company's current tariff with an electronic tariff
*PSC-12-00-00001-P	exempt	Winter bundled sales service election date by Central Hudson Gas & Electric Corporation	To revise the date
*PSC-44-01-00005-P	exempt	Annual reconciliation of gas costs by Corning Natural Gas Corporation	To authorize the company to include certain gas costs

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
*PSC-07-02-00032-P exempt	Uniform business practices	To consider modification
*PSC-36-03-00010-P exempt	Performance assurance plan by Verizon New York	To consider changes
*PSC-40-03-00015-P exempt	Receipt of payment of bills by St. Lawrence Gas Company	To revise the process
*PSC-41-03-00010-P exempt	Annual reconciliation of gas expenses and gas cost recoveries	To consider filings of various LDCs and municipalities
*PSC-41-03-00011-P exempt	Annual reconciliation of gas expenses and gas cost recoveries	To consider filings of various LDCs and municipalities
*PSC-44-03-00009-P exempt	Retail access data between jurisdictional utilities	To accommodate changes in retail access market structure or commission mandates
*PSC-02-04-00008-P exempt	Delivery rates for Con Edison's customers in New York City and Westchester County by the City of New York	To rehear the Nov. 25, 2003 order
*PSC-06-04-00009-P exempt	Transfer of ownership interest by SCS Energy LLC and AE Investors LLC	To transfer interest in Steinway Creek Electric Generating Company LLC to AE Investors LLC
*PSC-10-04-00005-P exempt	Temporary protective order	To consider adopting a protective order
*PSC-10-04-00008-P exempt	Interconnection agreement between Verizon New York Inc. and VIC-RMTS-DC, L.L.C. d/b/a Verizon Avenue	To amend the agreement
*PSC-14-04-00008-P exempt	Submetering of natural gas service to industrial and commercial customers by Hamburg Fairgrounds	To submeter gas service to commercial customers located at the Buffalo Speedway
*PSC-15-04-00022-P exempt	Submetering of electricity by Glenn Gardens Associates, L.P.	To permit submetering at 175 W. 87th St., New York, NY
*PSC-21-04-00013-P exempt	Verizon performance assurance plan by Metropolitan Telecommunications	To clarify the appropriate performance level
*PSC-22-04-00010-P exempt	Approval of new types of electricity meters by Powell Power Electric Company	To permit the use of the PE-1250 electronic meter
*PSC-22-04-00013-P exempt	Major gas rate increase by Consolidated Edison Company of New York, Inc.	To increase annual gas revenues
*PSC-22-04-00016-P exempt	Master metering of water by South Liberty Corporation	To waive the requirement for installation of separate water meters
*PSC-25-04-00012-P exempt	Interconnection agreement between Frontier Communications of Ausable Valley, Inc., et al. and Sprint Communications Company, L.P.	To amend the agreement
*PSC-27-04-00008-P exempt	Interconnection agreement between Verizon New York Inc. and various Verizon wireless affiliates	To amend the agreement
*PSC-27-04-00009-P exempt	Interconnection agreement between Verizon New York Inc. and various Verizon wireless affiliates	To amend the agreement

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
*PSC-28-04-00006-P exempt	Approval of loans by Dunkirk & Fredonia Telephone Company and Cassadaga Telephone Corporation	To authorize participation in the parent corporation's line of credit
*PSC-31-04-00023-P exempt	Distributed generation service by Consolidated Edison Company of New York, Inc.	To provide an application form
*PSC-34-04-00031-P exempt	Flat rate residential service by Emerald Green Lake Louise Marie Water Company, Inc.	To set appropriate level of permanent rates
*PSC-35-04-00017-P exempt	Application form for distributed generation by Orange and Rockland Utilities, Inc.	To establish a new supplementary application form for customers
*PSC-43-04-00016-P exempt	Accounts receivable by Rochester Gas and Electric Corporation	To include in its tariff provisions for the purchase of ESCO accounts receivable
*PSC-46-04-00012-P exempt	Service application form by Consolidated Edison Company of New York, Inc.	To revise the form and make housekeeping changes
*PSC-46-04-00013-P exempt	Rules and guidelines governing installation of metering equipment	To establish uniform statewide business practices
*PSC-02-05-00006-P exempt	Violation of the July 22, 2004 order by Dutchess Estates Water Company, Inc.	To consider imposing remedial actions against the company and its owners, officers and directors
*PSC-09-05-00009-P exempt	Submetering of natural gas service by Hamlet on Olde Oyster Bay	To consider submetering of natural gas to a commercial customer
*PSC-14-05-00006-P exempt	Request for deferred accounting authorization by Freeport Electric Inc.	To defer expenses beyond the end of the fiscal year
*PSC-18-05-00009-P exempt	Marketer Assignment Program by Consolidated Edison Company of New York, Inc.	To implement the program
*PSC-20-05-00028-P exempt	Delivery point aggregation fee by Allied Frozen Storage, Inc.	To review the calculation of the fee
*PSC-25-05-00011-P exempt	Metering, balancing and cashout provisions by Central Hudson Gas & Electric Corporation	To establish provisions for gas customers taking service under Service Classification Nos. 8, 9 and 11
*PSC-27-05-00018-P exempt	Annual reconciliation of gas costs by New York State Electric & Gas Corporation	To consider the manner in which the gas cost incentive mechanism has been applied
*PSC-41-05-00013-P exempt	Annual reconciliation of gas expenses and gas cost recoveries by local distribution companies and municipalities	To consider the filings
*PSC-45-05-00011-P exempt	Treatment of lost and unaccounted gas costs by Corning Natural Gas Corporation	To defer certain costs
*PSC-46-05-00015-P exempt	Sale of real and personal property by the Brooklyn Union Gas Company d/b/a KeySpan Energy Delivery New York and Steel Arrow, LLC	To consider the sale
*PSC-47-05-00009-P exempt	Transferral of gas supplies by Corning Natural Gas Corporation	To approve the transfer

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PUBLIC SERVICE COMMISSION			
*PSC-50-05-00008-P exempt	Long-term debt by Saratoga Glen Hollow Water Supply Corp.	To obtain long-term debt
*PSC-04-06-00024-P exempt	Transfer of ownership interests by Mirant NY-Gen LLC and Orange and Rockland Utilities, Inc.	To approve of the transfer
*PSC-06-06-00015-P exempt	Gas curtailment policies and procedures	To examine the manner and extent to which gas curtailment policies and procedures should be modified and/or established
*PSC-07-06-00009-P exempt	Modification of the current Environmental Disclosure Program	To include an attributes accounting system
*PSC-22-06-00019-P exempt	Hourly pricing by National Grid	To assess the impacts
*PSC-22-06-00020-P exempt	Hourly pricing by New York State Electric & Gas Corporation	To assess the impacts
*PSC-22-06-00021-P exempt	Hourly pricing by Rochester Gas & Electric Corporation	To assess the impacts
*PSC-22-06-00022-P exempt	Hourly pricing by Consolidated Edison Company of New York, Inc.	To assess the impacts
*PSC-22-06-00023-P exempt	Hourly pricing by Orange and Rockland Utilities, Inc.	To assess the impacts
*PSC-24-06-00005-EP exempt	Supplemental home energy assistance benefits	To extend the deadline to Central Hudson's low-income customers
*PSC-25-06-00017-P exempt	Purchased power adjustment by Massena Electric Department	To revise the method of calculating the purchased power adjustment and update the factor of adjustment
*PSC-34-06-00009-P exempt	Inter-carrier telephone service quality standards and metrics by the Carrier Working Group	To incorporate appropriate modifications
*PSC-37-06-00015-P exempt	Procedures for estimation of customer bills by Rochester Gas and Electric Corporation	To consider estimation procedures
*PSC-37-06-00017-P exempt	Procedures for estimation of customer bills by Rochester Gas and Electric Corporation	To consider estimation procedures
*PSC-43-06-00014-P exempt	Electric delivery services by Strategic Power Management, Inc.	To determine the proper mechanism for the rate-recovery of costs
*PSC-04-07-00012-P exempt	Petition for rehearing by Orange and Rockland Utilities, Inc.	To clarify the order
*PSC-06-07-00015-P exempt	Meter reading and billing practices by Central Hudson Gas & Electric Corporation	To continue current meter reading and billing practices for electric service
*PSC-06-07-00020-P exempt	Meter reading and billing practices by Central Hudson Gas & Electric Corporation	To continue current meter reading and billing practices for gas service
*PSC-11-07-00010-P exempt	Investigation of the electric power outages by the Consolidated Edison Company of New York, Inc.	To implement the recommendations in the staff's investigation

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
*PSC-11-07-00011-P exempt	Storm-related power outages by Consolidated Edison Company of New York, Inc.	To modify the company's response to power outages, the timing for any such changes and other related matters
*PSC-17-07-00008-P exempt	Interconnection agreement between Verizon New York Inc. and BridgeCom International, Inc.	To amend the agreement
*PSC-18-07-00010-P exempt	Existing electric generating stations by Independent Power Producers of New York, Inc.	To repower and upgrade existing electric generating stations owned by Rochester Gas and Electric Corporation
*PSC-20-07-00016-P exempt	Tariff revisions and making rates permanent by New York State Electric & Gas Corporation	To seek rehearing
*PSC-21-07-00007-P exempt	Natural Gas Supply and Acquisition Plan by Corning Natural Gas Corporation	To revise the rates, charges, rules and regulations for gas service
*PSC-22-07-00015-P exempt	Demand Side Management Program by Consolidated Edison Company of New York, Inc.	To recover incremental program costs and lost revenue
*PSC-23-07-00022-P exempt	Supplier, transportation, balancing and aggregation service by National Fuel Gas Distribution Corporation	To explicitly state in the company's tariff that the threshold level of elective upstream transmission capacity is a maximum of 112,600 Dth/day of marketer-provided upstream capacity
*PSC-24-07-00012-P exempt	Gas Efficiency Program by the City of New York	To consider rehearing a decision establishing a Gas Efficiency Program
*PSC-39-07-00017-P exempt	Gas bill issuance charge by New York State Electric & Gas Corporation	To create a gas bill issuance charge unbundled from delivery rates
*PSC-41-07-00009-P exempt	Submetering of electricity rehearing	To seek reversal
*PSC-42-07-00012-P exempt	Energy efficiency program by Orange and Rockland Utilities, Inc.	To consider any energy efficiency program for Orange and Rockland Utilities, Inc.'s electric service
*PSC-42-07-00013-P exempt	Revenue decoupling by Orange and Rockland Utilities, Inc.	To consider a revenue decoupling mechanism for Orange and Rockland Utilities, Inc.
*PSC-45-07-00005-P exempt	Customer incentive programs by Orange and Rockland Utilities, Inc.	To establish a tariff provision
*PSC-02-08-00006-P exempt	Additional central office codes in the 315 area code region	To consider options for making additional codes
*PSC-03-08-00006-P exempt	Rehearing of the accounting determinations	To grant or deny a petition for rehearing of the accounting determinations
*PSC-04-08-00010-P exempt	Granting of easement rights on utility property by Central Hudson Gas & Electric Corporation	To grant easement rights to Millennium Pipeline Company, L.L.C.
*PSC-04-08-00012-P exempt	Marketing practices of energy service companies by the Consumer Protection Board and New York City Department of Consumer Affairs	To consider modifying the commission's regulation over marketing practices of energy service companies

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
*PSC-08-08-00016-P exempt	Transfer of ownership by Entergy Nuclear Fitzpatrick LLC, et al.	To consider the transfer
*PSC-12-08-00019-P exempt	Extend the provisions of the existing electric rate plan by Rochester Gas and Electric Corporation	To consider the request
*PSC-12-08-00021-P exempt	Extend the provisions of the existing gas rate plan by Rochester Gas and Electric Corporation	To consider the request
*PSC-13-08-00011-P exempt	Waiver of commission policy and NYSEG tariff by Turner Engineering, PC	To grant or deny Turner's petition
*PSC-13-08-00012-P exempt	Voltage drops by New York State Electric & Gas Corporation	To grant or deny the petition
*PSC-23-08-00008-P exempt	Petition requesting rehearing and clarification of the commission's April 25, 2008 order denying petition of public utility law project	To consider whether to grant or deny, in whole or in part, the May 7, 2008 Public Utility Law Project (PULP) petition for rehearing and clarification of the commission's April 25, 2008 order denying petition of Public Utility Law Project
*PSC-25-08-00007-P exempt	Policies and procedures regarding the selection of regulatory proposals to meet reliability needs	To establish policies and procedures regarding the selection of regulatory proposals to meet reliability needs
*PSC-25-08-00008-P exempt	Report on Callable Load Opportunities	Rider U report assessing callable load opportunities in New York City and Westchester County during the next 10 years
*PSC-28-08-00004-P exempt	Con Edison's procedure for providing customers access to their account information	To consider Con Edison's implementation plan and timetable for providing customers access to their account information
*PSC-31-08-00025-P exempt	Recovery of reasonable DRS costs from the cost mitigation reserve (CMR)	To authorize recovery of the DRS costs from the CMR
*PSC-32-08-00009-P exempt	The ESCO referral program for KEDNY to be implemented by October 1, 2008	To approve, reject or modify, in whole or in part, KEDNY's recommended ESCO referral program
*PSC-33-08-00008-P exempt	Noble Allegany's request for lightened regulation	To consider Noble Allegany's request for lightened regulation as an electric corporation
*PSC-36-08-00019-P exempt	Land Transfer in the Borough of Manhattan, New York	To consider petition for transfer of real property to NYPH
*PSC-39-08-00010-P exempt	RG&E's economic development plan and tariffs	Consideration of the approval of RG&E's economic development plan and tariffs
*PSC-40-08-00010-P exempt	Loans from regulated company to its parent	To determine if the cash management program resulting in loans to the parent should be approved
*PSC-41-08-00009-P exempt	Transfer of control of cable TV franchise	To determine if the transfer of control of Margaretville's cable TV subsidiary should be approved

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
*PSC-43-08-00014-P exempt	Annual Reconciliation of Gas Expenses and Gas Cost Recoveries	The filings of various LDCs and municipalities regarding their Annual Reconciliation of Gas Expenses and Gas Cost Recoveries
*PSC-46-08-00008-P exempt	Property transfer in the Village of Avon, New York	To consider a petition for the transfer of street lighting and attached equipment to the Village of Avon, New York
*PSC-46-08-00010-P exempt	A transfer of indirect ownership interests in nuclear generation facilities	Consideration of approval of a transfer of indirect ownership interests in nuclear generation facilities
*PSC-46-08-00014-P exempt	The attachment of cellular antennae to an electric transmission tower	To approve, reject or modify the request for permission to attach cellular antennae to an electric transmission tower
*PSC-48-08-00005-P exempt	A National Grid high efficiency gas heating equipment rebate program	To expand eligibility to customers converting from oil to natural gas
*PSC-48-08-00008-P exempt	Petition for the master metering and submetering of electricity	To consider the request of Bay City Metering, to master meter & submeter electricity at 345 E. 81st St., New York, New York
*PSC-48-08-00009-P exempt	Petition for the submetering of electricity	To consider the request of PCV/ST to submeter electricity at Peter Cooper Village & Stuyvesant Town, New York, New York
*PSC-50-08-00018-P exempt	Market Supply Charge	A study on the implementation of a revised Market Supply Charge
*PSC-51-08-00006-P exempt	Commission's October 27, 2008 Order on Future of Retail Access Programs in Case 07-M-0458	To consider a Petition for rehearing of the Commission's October 27, 2008 Order in Case 07-M-0458
*PSC-51-08-00007-P exempt	Commission's October 27, 2008 Order in Cases 98-M-1343, 07-M-1514 and 08-G-0078	To consider Petitions for rehearing of the Commission's October 27, 2008 Order in Cases 98-M-1343, 07-M-1514 and 08-G-0078
*PSC-53-08-00011-P exempt	Use of deferred Rural Telephone Bank funds	To determine if the purchase of a softswitch by Hancock is an appropriate use of deferred Rural Telephone Bank funds
*PSC-53-08-00012-P exempt	Transfer of permanent and temporary easements at 549-555 North Little Tor Road, New City, NY	Transfer of permanent and temporary easements at 549-555 North Little Tor Road, New City, NY
*PSC-53-08-00013-P exempt	To transfer common stock and ownership	To consider transfer of common stock and ownership
*PSC-01-09-00015-P exempt	FCC decision to redefine service area of Citizens/Frontier	Review and consider FCC proposed redefinition of Citizens/Frontier service area
*PSC-02-09-00010-P exempt	Competitive classification of independent local exchange company, and regulatory relief appropriate thereto	To determine if Chazy & Westport Telephone Corporation more appropriately belongs in scenario 1 rather than scenario 2
*PSC-05-09-00008-P exempt	Revenue allocation, rate design, performance metrics, and other non-revenue requirement issues	To consider any remaining non-revenue requirement issues related to the Company's May 9, 2008 tariff filing

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
*PSC-05-09-00009-P exempt	Numerous decisions involving the steam system including cost allocation, energy efficiency and capital projects	To consider the long term impacts on steam rates and on public policy of various options concerning the steam system
*PSC-06-09-00007-P exempt	Interconnection of the networks between Frontier Comm. and WVT Communications for local exchange service and exchange access	To review the terms and conditions of the negotiated agreement between Frontier Comm. and WVT Comm.
*PSC-07-09-00015-P exempt	Transfer certain utility assets located in the Town of Montgomery from plant held for future use to non-utility property	To consider the request to transfer certain utility assets located in the Town of Montgomery to non-utility assets
*PSC-07-09-00017-P exempt	Request for authorization to defer the incremental costs incurred in the restoration work resulting from the ice storm	To allow the company to defer the incremental costs incurred in the restoration work resulting from the ice storm
*PSC-07-09-00018-P exempt	Whether to permit the submetering of natural gas service to an industrial and commercial customer at Cooper Union, New York, NY	To consider the request of Cooper Union, to submeter natural gas at 41 Cooper Square, New York, New York
*PSC-12-09-00010-P exempt	Charges for commodity	To charge customers for commodity costs
*PSC-12-09-00012-P exempt	Charges for commodity	To charge customers for commodity costs
*PSC-13-09-00008-P exempt	Options for making additional central office codes available in the 718/347 numbering plan area	To consider options for making additional central office codes available in the 718/347 numbering plan area
*PSC-14-09-00014-P exempt	The regulation of revenue requirements for municipal utilities by the Public Service Commission	To determine whether the regulation of revenue requirements for municipal utilities should be modified
*PSC-16-09-00010-P exempt	Petition for the submetering of electricity	To consider the request of AMPS on behalf of Park Imperial to submeter electricity at 230 W. 56th Street, in New York, New York
*PSC-16-09-00020-P exempt	Whether SUNY's core accounts should be exempt from the mandatory assignment of local distribution company (LDC) capacity	Whether SUNY's core accounts should be exempt from the mandatory assignment of local distribution company (LDC) capacity
*PSC-17-09-00010-P exempt	Whether to permit the use of Elster REX2 solid state electric meter for use in residential and commercial accounts	To permit electric utilities in New York State to use the Elster REX2
*PSC-17-09-00011-P exempt	Whether Brooklyn Navy Yard Cogeneration Partners, L.P. should be reimbursed by Con Edison for past and future use taxes	Whether Brooklyn Navy Yard Cogeneration Partners, L.P. should be reimbursed by Con Edison for past and future use taxes
*PSC-17-09-00012-P exempt	Petition for the submetering of gas at commercial property	To consider the request of Turner Construction, to submeter natural gas at 550 Short Ave., & 10 South St., Governors Island, NY
*PSC-17-09-00014-P exempt	Benefit-cost framework for evaluating AMI programs prepared by the DPS Staff	To consider a benefit-cost framework for evaluating AMI programs prepared by the DPS Staff
*PSC-17-09-00015-P exempt	The construction of a tower for wireless antennas on land owned by National Grid	To approve, reject or modify the petition to build a tower for wireless antennas in the Town of Onondaga

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
*PSC-18-09-00012-P exempt	Petition for rehearing of Order approving the submetering of electricity	To consider the request of Frank Signore to rehear petition to submeter electricity at One City Place in White Plains, New York
*PSC-18-09-00013-P exempt	Petition for the submetering of electricity	To consider the request of Living Opportunities of DePaul to submeter electricity at E. Main St. located in Batavia, New York
*PSC-18-09-00017-P exempt	Approval of an arrangement for attachment of wireless antennas to the utility's transmission facilities in the City of Yonkers	To approve, reject or modify the petition for the existing wireless antenna attachment to the utility's transmission tower
*PSC-20-09-00016-P exempt	The recovery of, and accounting for, costs associated with the Companies' advanced metering infrastructure (AMI) pilots etc	To consider a filing of the Companies as to the recovery of, and accounting for, costs associated with it's AMI pilots etc
*PSC-20-09-00017-P exempt	The recovery of, and accounting for, costs associated with CHG&E's AMI pilot program	To consider a filing of CHG&E as to the recovery of, and accounting for, costs associated with it's AMI pilot program
*PSC-22-09-00011-P exempt	Cost allocation for Consolidated Edison's East River Repowering Project	To determine whether any changes are warranted in the cost allocation of Consolidated Edison's East River Repowering Project
*PSC-25-09-00005-P exempt	Whether to grant, deny, or modify, in whole or in part, the petition	Whether to grant, deny, or modify, in whole or in part, the petition
*PSC-25-09-00006-P exempt	Electric utility implementation plans for proposed web based SIR application process and project status database	To determine if the proposed web based SIR systems are adequate and meet requirements needed for implementation
*PSC-25-09-00007-P exempt	Electric rates for Consolidated Edison Company of New York, Inc	Consider a Petition for Rehearing filed by Consolidated Edison Company of New York, Inc
*PSC-27-09-00011-P exempt	Interconnection of the networks between Vernon and tw telecom of new york l.p. for local exchange service and exchange access.	To review the terms and conditions of the negotiated agreement between Vernon and tw telecom of new york l.p.
*PSC-27-09-00014-P exempt	Billing and payment for energy efficiency measures through utility bill	To promote energy conservation
*PSC-27-09-00015-P exempt	Interconnection of the networks between Oriskany and tw telecom of new york l.p. for local exchange service and exchange access	To review the terms and conditions of the negotiated agreement between Oriskany and tw telecom of new york l.p.
*PSC-29-09-00011-P exempt	Consideration of utility compliance filings	Consideration of utility compliance filings
*PSC-32-09-00009-P exempt	Cost allocation for Consolidated Edison's East River Repowering Project	To determine whether any changes are warranted in the cost allocation of Consolidated Edison's East River Repowering Project
*PSC-34-09-00016-P exempt	Recommendations made in the Management Audit Final Report	To consider whether to take action or recommendations contained in the Management Audit Final Report
*PSC-34-09-00017-P exempt	To consider the transfer of control of Plattsburgh Cablevision, Inc. d/b/a Charter Communications to CH Communications, LLC	To allow the Plattsburgh Cablevision, Inc. to distribute its equity interest in CH Communications, LLC

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
*PSC-36-09-00008-P exempt	The increase in the non-bypassable charge implemented by RG&E on June 1, 2009	Considering exemptions from the increase in the non-bypassable charge implemented by RG&E on June 1, 2009
*PSC-37-09-00015-P exempt	Sale of customer-generated steam to the Con Edison steam system	To establish a mechanism for sale of customer-generated steam to the Con Edison steam system
*PSC-37-09-00016-P exempt	Applicability of electronic signatures to Deferred Payment Agreements	To determine whether electronic signatures can be accepted for Deferred Payment Agreements
*PSC-39-09-00015-P exempt	Modifications to the \$5 Bill Credit Program	Consideration of petition of National Grid to modify the Low Income \$5 Bill Credit Program
*PSC-39-09-00018-P exempt	The offset of deferral balances with Positive Benefit Adjustments	To consider a petition to offset deferral balances with Positive Benefit Adjustments
*PSC-40-09-00013-P exempt	Uniform System of Accounts - request for deferral and amortization of costs	To consider a petition to defer and amortize costs
*PSC-51-09-00029-P exempt	Rules and guidelines for the exchange of retail access data between jurisdictional utilities and eligible ESCOs	To revise the uniform Electronic Data Interchange Standards and business practices to incorporate a contest period
*PSC-51-09-00030-P exempt	Waiver or modification of Capital Expenditure condition of merger	To allow the companies to expend less funds for capital improvement than required by the merger
*PSC-52-09-00006-P exempt	ACE's petition for rehearing for an order regarding generator-specific energy deliverability study methodology	To consider whether to change the Order Prescribing Study Methodology
*PSC-52-09-00008-P exempt	Approval for the New York Independent System Operator, Inc. to incur indebtedness and borrow up to \$50,000,000	To finance the renovation and construction of the New York Independent System Operator, Inc.'s power control center facilities
*PSC-05-10-00008-P exempt	Petition for the submetering of electricity	To consider the request of University Residences - Rochester, LLC to submeter electricity at 220 John Street, Henrietta, NY
*PSC-05-10-00015-P exempt	Petition for the submetering of electricity	To consider the request of 243 West End Avenue Owners Corp. to submeter electricity at 243 West End Avenue, New York, NY
*PSC-06-10-00022-P exempt	The Commission's Order of December 17, 2009 related to redevelopment of Consolidated Edison's Hudson Avenue generating facility	To reconsider the Commission's Order of December 17, 2009 related to redevelopment of the Hudson Avenue generating facility
*PSC-07-10-00009-P exempt	Petition to revise the Uniform Business Practices	To consider the RESA petition to allow rescission of a customer request to return to full utility service
*PSC-08-10-00007-P exempt	Whether to grant, deny, or modify , in whole or in part, the rehearing petition filed in Case 06-E-0847	Whether to grant, deny, or modify , in whole or in part, the rehearing petition filed in Case 06-E-0847
*PSC-08-10-00009-P exempt	Consolidated Edison of New York, Inc. energy efficiency programs	To modify approved energy efficiency programs

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
*PSC-12-10-00015-P exempt	Recommendations made by Staff intended to enhance the safety of Con Edison's gas operations	To require that Con Edison implement the Staff recommendations intended to enhance the safety of Con Edison's gas operations
*PSC-14-10-00010-P exempt	Petition for the submetering of electricity	To consider the request of 61 Jane Street Owners Corporation to submeter Electricity at 61 Jane Street, Manhattan, NY
*PSC-16-10-00005-P exempt	To consider adopting and expanding mobile stray voltage testing requirements	Adopt additional mobile stray voltage testing requirements
*PSC-16-10-00007-P exempt	Interconnection of the networks between TDS Telecom and PAETEC Communications for local exchange service and exchange access	To review the terms and conditions of the negotiated agreement between TDS Telecom and PAETEC Communications
*PSC-16-10-00015-P exempt	Interconnection of the networks between Frontier and Choice One Communications for local exchange service and exchange access	To review the terms and conditions of the negotiated agreement between Frontier and Choice One Communications
*PSC-18-10-00009-P exempt	Electric utility transmission right-of-way management practices	To consider electric utility transmission right-of-way management practices
*PSC-19-10-00022-P exempt	Whether National Grid should be permitted to transfer a parcel of property located at 1 Eddy Street, Fort Edward, New York	To decide whether to approve National Grid's request to transfer a parcel of vacant property in Fort Edward, New York
*PSC-22-10-00006-P exempt	Requirement that Noble demonstrate that its affiliated electric corporations operating in New York are providing safe service	Consider requiring that Noble demonstrate that its affiliated electric corporations in New York are providing safe service
*PSC-22-10-00008-P exempt	Petition for the submetering of electricity	To consider the request of 48-52 Franklin Street to submeter electricity at 50 Franklin Street, New York, New York
*PSC-24-10-00009-P exempt	Verizon New York Inc. tariff regulations relating to voice messaging service	To remove tariff regulations relating to retail voice messaging service from Verizon New York Inc.'s tariff
*PSC-25-10-00012-P exempt	Reassignment of the 2-1-1 abbreviated dialing code	Consideration of petition to reassign the 2-1-1 abbreviated dialing code
*PSC-27-10-00016-P exempt	Petition for the submetering of electricity	To consider the request of 9271 Group, LLC to submeter electricity at 960 Busti Avenue, Buffalo, New York
*PSC-34-10-00003-P exempt	The modification of Central Hudson Gas & Electric Corporation's Enhanced Powerful Opportunities Program	The modification of Central Hudson Gas & Electric Corporation's Enhanced Powerful Opportunities Program
*PSC-34-10-00005-P exempt	Approval of a contract for \$250,000 in tank repairs that may be a financing	To decide whether to approve a contract between the parties that may be a financing of \$250,000 for tank repairs
*PSC-34-10-00006-P exempt	The modification of Central Hudson Gas & Electric Corporation's Enhanced Powerful Opportunities Program	The modification of Central Hudson Gas & Electric Corporation's Enhanced Powerful Opportunities Program
*PSC-36-10-00010-P exempt	Central Hudson's procedures, terms and conditions for an economic development plan	Consideration of Central Hudson's procedures, terms and conditions for an economic development plan

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
*PSC-40-10-00014-P exempt	Disposition of a state sales tax refund	To determine how much of a state sales tax refund should be retained by National Grid
*PSC-40-10-00021-P exempt	Whether to permit the submetering of natural gas service to a commercial customer at Quaker Crossing Mall	To permit the submetering of natural gas service to a commercial customer at Quaker Crossing Mall
*PSC-41-10-00018-P exempt	Amount of hourly interval data provided to Hourly Pricing customers who have not installed a phone line to read meter	Allow Central Hudson to provide less than a years worth of interval data and charge for manual meter reading for some customers
*PSC-41-10-00022-P exempt	Request for waiver of the individual living unit metering requirements at 5742 Route 5, Vernon, NY	Request for waiver of the individual living unit metering requirements at 5742 Route 5, Vernon, NY
*PSC-42-10-00011-P exempt	Petition for the submetering of electricity	To consider the request of 4858 Group, LLC to submeter electricity at 456 Main Street, Buffalo, New York
*PSC-43-10-00016-P exempt	Utility Access to Ducts, Conduit Facilities and Utility Poles	To review the complaint from Optical Communications Group
*PSC-44-10-00003-P exempt	Third and fourth stage gas rate increase by Corning Natural Gas Corporation	To consider Corning Natural Gas Corporation's request for a third and fourth stage gas rate increase
*PSC-51-10-00018-P exempt	Commission proceeding concerning three-phase electric service by all major electric utilities	Investigate the consistency of the tariff provisions for three-phase electric service for all major electric utilities
*PSC-11-11-00003-P exempt	The proposed transfer of 55.42 acres of land and \$1.4 million of revenues derived from the rendition of public service	The proposed transfer of 55.42 acres of land and \$1.4 million of revenues derived from the rendition of public service
*PSC-13-11-00005-P exempt	Exclude the minimum monthly bill component from the earnings test calculation	Exclude the minimum monthly bill component from the earnings test calculation
*PSC-14-11-00009-P exempt	Petition for the submetering of electricity	To consider the request of 83-30 118th Street to submeter electricity at 83-30 118th Street, Kew Gardens, New York
*PSC-19-11-00007-P exempt	Utility price reporting requirements related to the Commission's "Power to Choose" website	Modify the Commission's utility electric commodity price reporting requirements related to the "Power to Choose" website
*PSC-20-11-00012-P exempt	Petition for the submetering of electricity	To consider the request of KMW Group LLC to submeter electricity at 122 West Street, Brooklyn, New York
*PSC-20-11-00013-P exempt	Determining the reasonableness of Niagara Mohawk Power Corporation d/b/a National Grid's make ready charges	To determine if the make ready charges of Niagara Mohawk Power Corporation d/b/a National Grid are reasonable
*PSC-22-11-00004-P exempt	Whether to permit the use of the Sensus accWAVE for use in residential gas meter applications	To permit gas utilities in New York State to use the Sensus accWAVE diaphragm gas meter
*PSC-26-11-00007-P exempt	Water rates and charges	To approve an increase in annual revenues by about \$25,266 or 50%

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
*PSC-26-11-00009-P exempt	Petition for the submetering of electricity at commercial property	To consider the request of by Hoosick River Hardwoods, LLC to submeter electricity at 28 Taylor Avenue, in Berlin, New York
*PSC-26-11-00012-P exempt	Waiver of generation retirement notice requirements	Consideration of waiver of generation retirement notice requirements
*PSC-29-11-00011-P exempt	Petition requesting the Commission reconsider its May 19, 2011 Order and conduct a hearing, and petition to stay said Order.	To consider whether to grant or deny, in whole or in part, Windstream New York's Petition For Reconsideration and Rehearing.
*PSC-35-11-00011-P exempt	Whether to permit Consolidated Edison a waiver to commission regulations Part 226.8	Permit Consolidated Edison to conduct a inspection program in lieu of testing the accuracy of Category C meters
*PSC-36-11-00006-P exempt	To consider expanding mobile stray voltage testing requirements	Adopt additional mobile stray voltage testing requirements
*PSC-38-11-00002-P exempt	Operation and maintenance procedures pertaining to steam trap caps	Adopt modified steam operation and maintenance procedures
*PSC-38-11-00003-P exempt	Waiver of certain provisions of the electric service tariffs of Con Edison	Consideration of waiver of certain provisions of the electric service tariffs of Con Edison
*PSC-40-11-00010-P exempt	Participation of regulated local exchange carriers in the New York Data Exchange, Inc. (NYDE)	Whether to partially modify its order requiring regulated local exchange carriers' participation NYDE
*PSC-40-11-00012-P exempt	Granting of transfer of plant in-service to a regulatory asset	To approve transfer and recovery of unamortized plant investment
*PSC-42-11-00018-P exempt	Availability of telecommunications services in New York State at just and reasonable rates	Providing funding support to help ensure availability of affordable telecommunications service throughout New York
*PSC-43-11-00012-P exempt	Transfer of outstanding shares of stock	Transfer the issued outstanding shares of stock of The Meadows at Hyde Park Water-Works Corporation to HPWS, LLC
*PSC-47-11-00007-P exempt	Remedying miscalculations of delivered gas as between two customer classes	Consideration of Con Edison's proposal to address inter-class delivery imbalances resulting from past Company miscalculations
*PSC-48-11-00007-P exempt	Transfer of controlling interests in generation facilities from Dynegy to PSEG	Consideration of the transfer of controlling interests in electric generation facilities from Dynegy to PSEG
*PSC-48-11-00008-P exempt	Petition for the submetering of electricity	To consider the request of To Better Days, LLC to submeter electricity at 37 East 4th Street, New York, New York
*PSC-01-12-00007-P exempt	The New York State Reliability Council's revisions to its rules and measurements	To adopt revisions to various rules and measurements of the New York State Reliability Council
*PSC-01-12-00008-P exempt	Transfer of real property and easements from NMPNS to NMP3	Consideration of the transfer of real property and easements from NMPNS to NMP3
*PSC-01-12-00009-P exempt	Recovery of expenses related to the expansion of Con Edison's ESCO referral program, PowerMove	To determine how and to what extent expenses related to the Expansion of Con Edison's ESCO referral program should be recovered

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
*PSC-11-12-00002-P exempt	Whether to grant, deny or modify, in whole or part, Hegeman's petition for a waiver of Commission policy and Con Edison tariff	Whether to grant, deny or modify, in whole or part, Hegeman's petition for a waiver of Commission policy and Con Edison tariff
*PSC-11-12-00005-P exempt	Transfer of land and water supply assets	Transfer the land and associated water supply assets of Groman Shores, LLC to Robert Groman
*PSC-13-12-00005-P exempt	Authorization to transfer certain real property	To decide whether to approve the transfer of certain real property
*PSC-19-12-00023-P exempt	Petition for approval pursuant to Section 70 for the sale of goods with an original cost of less than \$100,000	To consider whether to grant, deny or modify, in whole or in part, the petition filed by Orange and Rockland Utilities, Inc.
*PSC-21-12-00006-P exempt	Tariff filing requirements and refunds	To determine if certain agreements should be filed pursuant to the Public Service Law and if refunds are warranted
*PSC-21-12-00011-P exempt	Whether to grant, deny or modify, in whole or part, the petition for waiver of tariff Rules 8.6 and 47	Whether to grant, deny or modify, in whole or part, the petition for waiver of tariff Rules 8.6 and 47
*PSC-23-12-00007-P exempt	The approval of a financing upon a transfer to Alliance of upstream ownership interests in a generation facility	To consider the approval of a financing upon a transfer to Alliance of upstream ownership interests in a generation facility
*PSC-23-12-00009-P exempt	Over earnings sharing between rate payers and shareholders	To establish an Earnings Sharing Mechanism to be applied following the conclusion of Corning's rate plan
*PSC-27-12-00012-P exempt	Implementation of recommendations made in a Management Audit Report	To consider implementation of recommendations made in a Management Audit Report
*PSC-28-12-00013-P exempt	Exemption of reliability reporting statistics for the purpose of the 2012 Reliability Performance Mechanism	Consideration of Orange and Rockland Utilities request for exemption of the 2012 reliability reporting statistics
*PSC-29-12-00019-P exempt	Waiver of 16 NYCRR 894.1 through 894.4	To allow the Town of Hamden to waive certain preliminary franchising procedures to expedite the franchising process.
*PSC-30-12-00010-P exempt	Waiver of 16 NYCRR 894.1 through 894.4	To allow the Town of Andes to waive certain preliminary franchising procedures to expedite the franchising process
*PSC-33-12-00009-P exempt	Telecommunications companies ability to attach to utility company poles	Consideration of Tech Valley's ability to attach to Central Hudson poles
*PSC-37-12-00009-P exempt	Proposed modification by Con Edison of its procedures to calculate estimated bills to its customers	Proposed modification by Con Edison of its procedures to calculate estimated bills to its customers
*PSC-42-12-00009-P exempt	Regulation of Gipsy Trail Club, Inc.'s long-term financing agreements	To exempt Gipsy Trail Club, Inc. from Commission regulation of its financing agreements
*PSC-45-12-00008-P exempt	Whether to grant, deny or modify, in whole or part, ESHG's petition for a waiver of Commission policy and RG&E tariff	Whether to grant, deny or modify, in whole or part, ESHG's petition for a waiver of Commission policy and RG&E tariff

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
*PSC-45-12-00010-P exempt	Whether to grant, deny or modify, in whole or in part the petition of Con Edison to grant easements to Millwood Fire District	Whether to grant, deny or modify, in whole or in part the petition of Con Edison to grant easements to Millwood Fire District
*PSC-50-12-00003-P exempt	Affiliate standards for Corning Natural Gas Corporation	To resolve issues raised by Corning Natural Gas Corporation in its petition for rehearing
*PSC-04-13-00006-P exempt	Expansion of mandatory day ahead hourly pricing for customers of Orange and Rockland Utilities with demands above 100 kW	To consider the expansion of mandatory day ahead hourly pricing for customers with demands above 100 kW
*PSC-04-13-00007-P exempt	Authorization to transfer certain real property.	To decide whether to approve the transfer of certain real property.
*PSC-06-13-00008-P exempt	Verizon New York Inc.'s retail service quality	To investigate Verizon New York Inc.'s retail service quality
*PSC-08-13-00012-P exempt	Filing requirements for certain Article VII electric facilities	To ensure that applications for certain electric transmission facilities contain pertinent information
*PSC-08-13-00014-P exempt	Uniform System of Accounts - Request for Accounting Authorization	To allow the company to defer an item of expense or capital beyond the end of the year in which it was incurred
*PSC-12-13-00007-P exempt	Protecting company water mains	To allow the company to require certain customers to make changes to the electrical grounding system at their homes
*PSC-13-13-00008-P exempt	The potential waiver of 16 NYCRR 255.9221(d) completion of integrity assessments for certain gas transmission lines.	To determine whether a waiver of the timely completion of certain gas transmission line integrity assessments should be granted.
*PSC-18-13-00007-P exempt	Whether Demand Energy Networks energy storage systems should be designated technologies for standby rate eligibility purposes	Whether Demand Energy Networks energy storage systems should be designated technologies for standby rate eligibility purposes
*PSC-21-13-00003-P exempt	To consider policies that may impact consumer acceptance and use of electric vehicles	To consider and further develop policies that may impact consumer acceptance and use of electric vehicles
*PSC-21-13-00005-P exempt	To implement an abandonment of Windover's water system	To approve the implementation of abandonment of Windover's water system
*PSC-21-13-00008-P exempt	Rates of National Fuel Gas Distribution Corporation	To make the rates of National Fuel Gas Distribution Corporation temporary, subject to refund, if they are found to be excessive
*PSC-21-13-00009-P exempt	Reporting requirements for natural gas local distribution companies	To help ensure efficient and economic expansion of the natural gas system as appropriate
*PSC-22-13-00009-P exempt	On remand from New York State court litigation, determine the recovery of certain deferred amounts owed NFG by ratepayers	On remand, to determine the recovery of certain deferral amounts owed NFG from ratepayers
*PSC-23-13-00005-P exempt	Waiver of partial payment, directory database distribution, service quality reporting, and service termination regulations	Equalize regulatory treatment based on level of competition and practical considerations

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
*PSC-25-13-00008-P exempt	To deny, grant or modify, in whole or in part, Central Hudson's rehearing request.	To deny, grant or modify, in whole or in part, Central Hudson's rehearing request.
*PSC-25-13-00009-P exempt	Provision by utilities of natural gas main and service lines.	To help ensure efficient and economic expansion of the natural gas system as appropriate.
*PSC-25-13-00012-P exempt	To deny, grant or modify, in whole or in part, Central Hudson's rehearing request.	To deny, grant or modify, in whole or in part, Central Hudson's rehearing request.
*PSC-27-13-00014-P exempt	Columbia Gas Transmission Corporation Cost Refund	For approval for temporary waiver of tariff provisions regarding its Columbia Gas Transmission Corporation cost refund.
*PSC-28-13-00014-P exempt	Provision for the recovery and allocation of costs of transmission projects that reduce congestion on certain interfaces	To consider the recovery and allocation of costs of transmission projects that reduce congestion on certain interfaces
*PSC-28-13-00016-P exempt	The request of NGT for lightened regulation as a gas corporation.	To consider whether to approve, reject, or modify the request of Niagara gas transport of Lockport, NY LLC.
*PSC-28-13-00017-P exempt	The request by TE for waiver of regulations requiring that natural gas be odorized in certain gathering line segments	Consider the request by TE for waiver of regulations that gas be odorized in certain lines
*PSC-32-13-00009-P exempt	To consider the definition of "misleading or deceptive conduct" in the Commission's Uniform Business Practices	To consider the definition of "misleading or deceptive conduct" in the Commission's Uniform Business Practices
*PSC-32-13-00012-P exempt	To consider whether NYSEG should be required to undertake actions to protect its name and to minimize customer confusion	To consider whether NYSEG should be required to undertake actions to protect its name and to minimize customer confusion
*PSC-33-13-00027-P exempt	Waive underground facility requirements for new construction in residential subdivisions to allow for overhead electric lines.	Determine whether Chapin Lumberland, LLC subdivision will be allowed overhead electric distribution and service lines.
*PSC-33-13-00029-P exempt	Deferral of incremental costs associated with the restoration of steam service following Superstorm Sandy.	To consider a petition by Con Edison to defer certain incremental steam system restoration costs relating to Superstorm Sandy.
*PSC-34-13-00004-P exempt	Escrow account and surcharge to fund extraordinary repairs	To approve the establishment of an escrow account and surcharge
*PSC-42-13-00013-P exempt	Failure to Provide Escrow Information	The closure of the Escrow Account
*PSC-42-13-00015-P exempt	Failure to Provide Escrow Information	The closure of the Escrow Account
*PSC-43-13-00015-P exempt	Petition for submetering of electricity	To consider the request of 2701 Kingsbridge Terrace L.P. to submeter electricity at 2701 Kingsbridge Terrace, Bronx, N.Y.
*PSC-45-13-00021-P exempt	Investigation into effect of bifurcation of gas and electric utility service on Long Island.	To consider a Petition for an investigation into effect of bifurcation of gas and electric utility service on Long Island.
*PSC-45-13-00022-P exempt	Waiver of PSC regulations, 16 NYCRR section 88.4(a)(4)	To consider a waiver of certain regulations relating to the content of an application for transmission line siting

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
*PSC-45-13-00023-P exempt	Waiver of PSC regulations, 16 NYCRR section 88.4(a)(4).	To consider a waiver of certain regulations relating to the content of an application for transmission line siting
*PSC-45-13-00024-P exempt	Waiver of PSC regulations, 16 NYCRR section 88.4(a)(4); waiver of filing deadlines.	To consider a waiver of certain regulations relating to the content of an application for transmission line siting
*PSC-45-13-00025-P exempt	Waiver of PSC regulations, 16 NYCRR section 88.4(a)(4).	To consider a waiver of certain regulations relating to the content of an application for transmission line siting
*PSC-47-13-00009-P exempt	Petition for submetering of electricity.	To consider the request of Hegeman Avenue Housing L.P. to submeter electricity at 39 Hegeman Avenue, Brooklyn, N.Y.
*PSC-47-13-00012-P exempt	Conditioning, restricting or prohibiting the purchase of services by NYSEG and RG&E from certain affiliates.	Consideration of conditioning, restricting or prohibiting the purchase of services by NYSEG and RG&E from certain affiliates.
*PSC-49-13-00008-P exempt	Authorization to transfer all of Crystal Water Supply Company, Inc. stocks to Essel Infra West Inc.	To allow Crystal Water Supply Company, Inc to transfer all of its issued and outstanding stocks to Essel Infra West Inc.
*PSC-51-13-00009-P exempt	Consolidated Edison proposing to use data from a test period ending September 30, 2013 to support its next rate filing.	To ensure there is a reasonable basis for data submitted in support of a request for a change in rates.
*PSC-51-13-00010-P exempt	Consolidated Edison proposing to use data from a test period ending September 30, 2013 to support its next rate filing.	To ensure there is a reasonable basis for data submitted in support of a request for a change in rates.
*PSC-51-13-00011-P exempt	Consolidated Edison proposing to use data from a test period ending September 30, 2013 to support its next rate filing.	To ensure there is a reasonable basis for data submitted in support of a request for a change in rates.
*PSC-52-13-00012-P exempt	The development of reliability contingency plan(s) to address the potential retirement of Indian Point Energy Center (IPEC).	To address the petition for rehearing and reconsideration/motion for clarification of the IPEC reliability contingency plan(s).
*PSC-52-13-00015-P exempt	To enter into a loan agreement with the banks for up to an amount of \$94,000.	To consider allowing Knolls Water Company to enter into a long-term loan agreement.
*PSC-05-14-00010-P exempt	The New York State Reliability Council's revisions to its rules and measurements	To adopt revisions to various rules and measurements of the New York State Reliability Council
*PSC-07-14-00008-P exempt	Petition for submetering of electricity	To consider the request of Greater Centennial Homes HDFC, Inc. to submeter electricity at 102, 103 and 106 W 5th Street, et al.
*PSC-07-14-00012-P exempt	Water rates and charges	Implementation of Long-Term Water Supply Surcharge to recover costs associated with the Haverstraw Water Supply Project
*PSC-08-14-00015-P exempt	Verizon New York Inc.'s service quality and Customer Trouble Report Rate (CTRR) levels at certain central office entities	To improve Verizon New York Inc.'s service quality and the Customer Trouble Report Rate levels at certain central office entities
*PSC-10-14-00006-P exempt	Actions to facilitate the availability of ESCO value-added offerings, ESCO eligibility and ESCO compliance	To facilitate ESCO value-added offerings and to make changes to ESCO eligibility and to ensure ESCO compliance

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
*PSC-11-14-00003-P exempt	Provision for the recovery and allocation of costs of transmission projects that reduce congestion on certain interfaces	To consider the recovery and allocation of costs of transmission projects that reduce congestion on certain interfaces
*PSC-16-14-00014-P exempt	Whether to order NYSEG to provide gas service to customers when an expanded CPCN is approved and impose PSL 25-a penalties.	To order gas service to customers in the Town of Plattsburgh after approval of a town wide CPCN and to impose penalties.
*PSC-16-14-00015-P exempt	Whether Central Hudson should be permitted to defer obligations of the Order issued on October 18, 2013 in Case 13-G-0336.	Consideration of the petition by Central Hudson to defer reporting obligations of the October 18, 2013 Order in Case 13-G-0336
*PSC-17-14-00003-P exempt	Con Edison's Report on its 2013 performance under the Electric Service Reliability Performance Mechanism	Con Edison's Report on its 2013 performance under the Electric Service Reliability Performance Mechanism
*PSC-17-14-00004-P exempt	To consider certain portions of petitions for rehearing, reconsideration and/or clarification	To consider certain portions of petitions for rehearing, reconsideration and/or clarification
*PSC-17-14-00007-P exempt	To consider petitions for rehearing, reconsideration and/or clarification	To consider petitions for rehearing, reconsideration and/or clarification
*PSC-17-14-00008-P exempt	To consider certain portions of petitions for rehearing, reconsideration and/or clarification	To consider certain portions of petitions for rehearing, reconsideration and/or clarification
*PSC-19-14-00014-P exempt	Market Supply Charge	To make tariff revisions to the Market Supply Charge for capacity related costs
*PSC-19-14-00015-P exempt	Whether to permit the use of the Sensus accuWAVE for use in residential and commercial gas meter applications	To permit gas utilities in New York State to use the Sensus accuWAVE 415TC gas meter
*PSC-22-14-00013-P exempt	Petition to transfer and merge systems, franchises and assets.	To consider the Comcast and Time Warner Cable merger and transfer of systems, franchises and assets.
*PSC-23-14-00010-P exempt	Whether to permit the use of the GE Dresser Series B3-HPC 11M-1480 rotary gas met for use in industrial gas meter applications	To permit gas utilities in New York State to use the GE Dresser Series B3-HPC 11M-1480 rotary gas meter
*PSC-23-14-00014-P exempt	Waiver of the negative revenue adjustment associated with KEDLI's 2013 Customer Satisfaction Performance Metric	Consideration of KEDLI's waiver request pertaining to its 2013 performance under its Customer Satisfaction Metric
*PSC-24-14-00005-P exempt	To examine LDC's performance and performance measures.	To improve gas safety performance.
*PSC-26-14-00013-P exempt	Waiver of RG&E's tariffed definition of emergency generator.	To consider waiver of RG&E's tariffed definition of emergency generator.
*PSC-26-14-00020-P exempt	New electric utility backup service tariffs and standards for interconnection may be adopted.	To encourage development of microgrids that enhance the efficiency, safety, reliability and resiliency of the electric grid.
*PSC-26-14-00021-P exempt	Consumer protections, standards and protocols pertaining to access to customer data may be established.	To balance the need for the information necessary to support a robust market with customer privacy concerns.
*PSC-28-14-00014-P exempt	Petition to transfer systems, franchises and assets.	To consider the Comcast and Charter transfer of systems, franchise and assets.

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
*PSC-30-14-00023-P exempt	Whether to permit the use of the Sensus iPERL Fire Flow Meter.	Pursuant to 16 NYCRR Part 500.3 , it is necessary to permit the use of the Sensus iPERL Fire Flow Meter.
*PSC-30-14-00026-P exempt	Petition for a waiver to master meter electricity.	Considering the request of Renaissance Corporation of to master meter electricity at 100 Union Drive,Albany, NY.
*PSC-31-14-00004-P exempt	To transfer 100% of the issued and outstanding stock from Vincent Cross to Bonnie and Michael Cross	To transfer 100% of the issued and outstanding stock from Vincent Cross to Bonnie and Michael Cross
*PSC-32-14-00012-P exempt	Whether to grant or deny, in whole or in part, the Connect New York Coalition's petition	To consider the Connect New York Coalition's petition seeking a formal investigation and hearings
*PSC-35-14-00004-P exempt	Regulation of a proposed electricity generation facility located in the Town of Brookhaven, NY	To consider regulation of a proposed electricity generation facility located in the Town of Brookhaven, NY
*PSC-35-14-00005-P exempt	Whether to permit the use of the Sensus iConA electric meter	Pursuant to 16 NYCRR Parts 92 and 93, Commission approval is necessary to permit the use of the Sensus iConA electric meter
*PSC-36-14-00009-P exempt	Modification to the Commission's Electric Safety Standards.	To consider revisions to the Commission's Electric Safety Standards.
*PSC-38-14-00003-P exempt	Whether to approve, reject or modify, in whole or in part a time-sensitive rate pilot program.	Whether to approve, reject or modify, in whole or in part a time-sensitive rate pilot program.
*PSC-38-14-00004-P exempt	The study and petition of Con Edison regarding use, accounting and ratemaking treatment for 11-23 and 2-28 Hudson Ave. Brooklyn.	The study and petition of Con Edison regarding use, accounting and ratemaking treatment for 11-23 and 2-28 Hudson Ave. Brooklyn.
*PSC-38-14-00005-P exempt	Action on the report and petition of Con Edison regarding the Storm Hardening and Resiliency Collaborative, Phase 2.	Action on the report and petition of Con Edison regarding the Storm Hardening and Resiliency Collaborative, Phase 2.
*PSC-38-14-00007-P exempt	Whether to expand Con Edison's low income program to include Medicaid recipients.	Whether to expand Con Edison's low income program to include Medicaid recipients.
*PSC-38-14-00008-P exempt	The study and petition of Con Edison regarding use, accounting and ratemaking treatment for 11-23 and 2-28 Hudson Ave. Brooklyn.	The study and petition of Con Edison regarding use, accounting and ratemaking treatment for 11-23 and 2-28 Hudson Ave. Brooklyn.
*PSC-38-14-00010-P exempt	Inter-carrier telephone service quality standard and metrics and administrative changes.	To review recommendations from the Carrier Working Group and incorporate appropriate modifications to the existing Guidelines.
*PSC-38-14-00012-P exempt	Action on the report and petition of Con Edison regarding the Storm Hardening and Resiliency Collaborative, Phase 2.	Action on the report and petition of Con Edison regarding the Storm Hardening and Resiliency Collaborative, Phase 2.
*PSC-39-14-00020-P exempt	Whether to permit the use of the Mueller Systems 400 Series and 500 Series of water meters	Pursuant to 16 NYCRR section 500.3, whether to permit the use of the Mueller Systems 400, and 500 Series of water meters
*PSC-40-14-00008-P exempt	To consider granting authorization for Buy Energy Direct to resume marketing to residential customers.	To consider granting authorization for Buy Energy Direct to resume marketing to residential customers.

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
*PSC-40-14-00009-P exempt	Whether to permit the use of the Itron Open Way Centron Meter with Hardware 3.1 for AMR and AMI functionality.	Pursuant to 16 NYCRR Parts 93, is necessary to permit the use of the Itron Open Way Centron Meter with Hardware 3.1.
*PSC-40-14-00011-P exempt	Late Payment Charge.	To modify Section 7.6 - Late Payment Charge to designate a specific time for when a late payment charge is due.
*PSC-40-14-00013-P exempt	Regulation of a proposed natural gas pipeline and related facilities located in the Town of Ticonderoga, NY.	To consider regulation of a proposed natural gas pipeline and related facilities located in the Town of Ticonderoga, NY.
*PSC-40-14-00014-P exempt	Waiver of 16 NYCRR Sections 894.1 through 894.4(b)(2)	To allow the Town of Goshen, NY, to waive certain preliminary franchising procedures to expedite the franchising process.
*PSC-40-14-00015-P exempt	Late Payment Charge.	To modify Section 6.6 - Late Payment Charge to designate a specific time for when a late payment charge is due.
*PSC-42-14-00003-P exempt	Annual Reconciliation of Gas Expenses and Gas Cost Recoveries	The filings of various LDCs and municipalities regarding their Annual Reconciliation of Gas Expenses and Gas Cost Recoveries
*PSC-42-14-00004-P exempt	Winter Bundled Sales Service Option	To modify SC-11 to remove language relating to fixed storage charges in the determination of the Winter Bundled Sales charge
*PSC-48-14-00014-P exempt	Considering the recommendations contained in Staff's electric outage investigation report for MNRR, New Haven Line.	To consider the recommendations contained in Staff's electric outage investigation report for MNRR, New Haven Line.
*PSC-52-14-00019-P exempt	Petition for a waiver to master meter electricity.	Considering the request of 614 South Crouse Avenue, LLC to master meter electricity at 614 South Crouse Avenue, Syracuse, NY..
*PSC-01-15-00014-P exempt	State Universal Service Fund Disbursements	To consider Edwards Telephone Company's request for State Universal Service Fund disbursements
*PSC-08-15-00010-P exempt	Request pertaining to the lawfulness of National Grid USA continuing its summary billing program.	To grant, deny, or modify URAC Rate Consultants' request that National Grid cease its summary billing program.
*PSC-10-15-00007-P exempt	Notification concerning tax refunds	To consider Verizon New York Inc.'s partial rehearing or reconsideration request regarding retention of property tax refunds
*PSC-10-15-00008-P exempt	Whether to waive Policy on Test Periods in Major Rate Proceedings and provide authority to file tariff changes	Whether to waive Policy on Test Periods in Major Rate Proceedings and provide authority to file tariff changes
*PSC-13-15-00024-P exempt	Whether Leatherstocking should be permitted to recover a shortfall in earnings	To decide whether to approve Leatherstocking's request to recover a shortfall in earnings
*PSC-13-15-00026-P exempt	Whether to permit the use of the Sensus Smart Point Gas AMR/AMI product	To permit the use of the Sensus Smart Point Gas AMR/AMI product
*PSC-13-15-00027-P exempt	Whether to permit the use of the Measurlogic DTS 310 electric submeter	To permit the use of the Measurlogic DTS 310 submeter

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
*PSC-13-15-00028-P exempt	Whether to permit the use of the SATEC EM920 electric meter	To permit necessary to permit the use of the SATEC EM920 electric meter
*PSC-13-15-00029-P exempt	Whether to permit the use the Triacta Power Technologies 6103, 6112, 6303, and 6312 electric submeters	To permit the use of the Triacta submeters
*PSC-17-15-00007-P exempt	To consider the petition of Leatherstocking Gas Company, LLC seeking authority to issue long-term debt of \$2.75 million	To consider the petition of Leatherstocking Gas Company, LLC seeking authority to issue long-term debt of \$2.75 million
*PSC-18-15-00005-P exempt	Con Edison's Report on its 2014 performance under the Electric Service Reliability Performance Mechanism	Con Edison's Report on its 2014 performance under the Electric Service Reliability Performance Mechanism
*PSC-19-15-00011-P exempt	Gas Safety Performance Measures and associated negative revenue adjustments	To update the performance measures applicable to KeySpan Gas East Corporation d/b/a National Grid
*PSC-22-15-00015-P exempt	To consider the request for waiver of the individual residential unit meter requirements and 16 NYCRR 96.1(a)	To consider the request for waiver of the individual residential unit meter requirements and 16 NYCRR 96.1(a)
*PSC-23-15-00005-P exempt	The modification of New York American Water's current rate plan	Whether to adopt the terms of the Joint Proposal submitted by NYAW and DPS Staff
*PSC-23-15-00006-P exempt	The modification of New York American Water's current rate plan	Whether to adopt the terms of the Joint Proposal submitted by NYAW and DPS Staff
*PSC-25-15-00008-P exempt	Notice of Intent to Submeter electricity.	To consider the request of 165 E 66 Residences, LLC to submeter electricity at 165 East 66th Street, New York, New York.
*PSC-29-15-00025-P exempt	Joint Petition for authority to transfer real property located at 624 West 132nd Street, New York, NY	Whether to authorize the proposed transfer of real property located at 624 West 132nd Street, New York, NY
*PSC-32-15-00006-P exempt	Development of a Community Solar Demonstration Project.	To approve the development of a Community Solar Demonstration Project.
*PSC-33-15-00009-P exempt	Remote net metering of a demonstration community net metering program.	To consider approval of remote net metering of a demonstration community net metering program.
*PSC-33-15-00012-P exempt	Remote net metering of a Community Solar Demonstration Project.	To consider approval of remote net metering of a Community Solar Demonstration Project.
*PSC-34-15-00021-P exempt	Petition by NYCOM requesting assistance with obtaining information on CLECs and ESCOs	To consider the petition by NYCOM requesting assistance with obtaining information on CLECs and ESCOs
*PSC-35-15-00014-P exempt	Consideration of consequences against Light Power & Gas, LLC for violations of the UBP	To consider consequences against Light Power & Gas, LLC for violations of the UBP
*PSC-37-15-00007-P exempt	Submetered electricity	To consider the request of 89 Murray Street Ass. LLC, for clarification of the submetering order issued December 20, 2007
*PSC-40-15-00014-P exempt	Whether to permit the use of the Open Way 3.5 with cellular communications	To consider the use of the Open Way 3.5 electric meter, pursuant to 16 NYCRR Parts 92 and 93

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
*PSC-42-15-00006-P exempt	Deferral of incremental expenses associated with NERC's new Bulk Electric System (BES) compliance requirements approved by FERC.	Consideration of Central Hudson's request to defer incremental expenses associated with new BES compliance requirements.
*PSC-44-15-00028-P exempt	Deferral of incremental expenses associated with new compliance requirements	Consideration of Central Hudson's request to defer incremental expenses associated with new compliance requirements
*PSC-47-15-00013-P exempt	Whitepaper on Implementing Lightened Ratemaking Regulation.	Consider Whitepaper on Implementing Lightened Ratemaking Regulation.
*PSC-48-15-00011-P exempt	Proposal to retire Huntley Units 67 and 68 on March 1, 2016.	Consider the proposed retirement of Huntley Units 67 and 68.
*PSC-50-15-00006-P exempt	The reduction of rates.	To consider the reduction of rates charged by Independent Water Works, Inc.
*PSC-50-15-00009-P exempt	Notice of Intent to submeter electricity.	To consider the request to submeter electricity at 31-33 Lincoln Road and 510 Flatbush Avenue, Brooklyn, New York.
*PSC-51-15-00010-P exempt	Modification of the EDP	To consider modifying the EDP
*PSC-01-16-00005-P exempt	Proposed amendment to Section 5, Attachment 1.A of the Uniform Business Practices	To consider amendment to Section 5, Attachment 1.A of the Uniform Business Practices
*PSC-04-16-00007-P exempt	Whether Hamilton Municipal Utilities should be permitted to construct and operate a municipal gas distribution facility.	Consideration of the petition by Hamilton Municipal Utilities to construct and operate a municipal gas distribution facility.
*PSC-04-16-00012-P exempt	Proposal to mothball three gas turbines located at the Astoria Gas Turbine Generating Station.	Consider the proposed mothball of three gas turbines located at the Astoria Gas Turbine Generating Station.
*PSC-04-16-00013-P exempt	Proposal to find that three gas turbines located at the Astoria Gas Turbine Generating Station are uneconomic.	Consider whether three gas turbines located at the Astoria Gas Turbine Generating Station are uneconomic.
*PSC-06-16-00013-P exempt	Continued deferral of approximately \$16,000,000 in site investigation and remediation costs.	To consider the continued deferral of approximately \$16,000,000 in site investigation and remediation costs.
*PSC-06-16-00014-P exempt	MEGA's proposed demonstration CCA program.	To consider MEGA's proposed demonstration CCA program.
*PSC-14-16-00008-P exempt	Resetting retail markets for ESCO mass market customers.	To ensure consumer protections with respect to residential and small non-residential ESCO customers.
*PSC-18-16-00013-P exempt	Amendments to the Uniform Business Practices of ESCOs.	To ensure consumer protection for ESCO customers.
*PSC-18-16-00014-P exempt	Amendments to the Uniform Business Practices of ESCOs.	To ensure consumer protection for ESCO customers.
*PSC-18-16-00015-P exempt	Petitions for rehearing of the Order Resetting Retail Energy Markets and Establishing Further Process.	To ensure consumer protections for ESCO customers.

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PUBLIC SERVICE COMMISSION			
*PSC-18-16-00016-P exempt	Amendments to the Uniform Business Practices of ESCOs.	To ensure consumer protection for ESCO customers.
*PSC-18-16-00018-P exempt	Amendments to the Uniform Business Practices of ESCOs.	To ensure consumer protection for ESCO customers.
*PSC-20-16-00008-P exempt	Consideration of consequences against Global Energy Group, LLC for violations of the Uniform Business Practices (UBP).	To consider consequences against Global Energy Group, LLC for violations of the Uniform Business Practices (UBP).
*PSC-20-16-00010-P exempt	Deferral and recovery of incremental expense.	To consider deferring costs of conducting leak survey and repairs for subsequent recovery.
*PSC-20-16-00011-P exempt	Enetics LD-1120 Non-Intrusive Load Monitoring Device in the Statewide Residential Appliance Metering Study.	To consider the use of the Enetics LD-1120 Non-Intrusive Load Monitoring Device.
*PSC-24-16-00009-P exempt	Petition to submeter gas service.	To consider the Petition of New York City Economic Development Corp. to submeter gas at Pier 17, 89 South Street, New York, NY.
*PSC-25-16-00009-P exempt	To delay Companies' third-party assessments of customer personally identifiable information until 2018.	To extend the time period between the Companies' third-party assessments of customer personally identifiable information.
*PSC-25-16-00025-P exempt	Acquisition of all water supply assets of Woodbury Heights Estates Water Co., Inc. by the Village of Kiryas Joel.	To consider acquisition of all water supply assets of Woodbury Heights Estates Water Co., Inc. by the Village of Kiryas Joel.
*PSC-25-16-00026-P exempt	Use of the Badger E Series Ultrasonic Cold Water Stainless Steel Meter, in residential fire service applications.	To consider the use of the Badger E Series Ultrasonic Cold Water Stainless Steel Meter in fire service applications.
*PSC-28-16-00017-P exempt	A petition for rehearing of the Order Adopting a Ratemaking and Utility Revenue Model Policy Framework.	To determine appropriate rules for and calculation of the distributed generation reliability credit.
*PSC-29-16-00024-P exempt	Participation of NYPA customers in surcharge-funded clean energy programs.	To consider participation of NYPA customers in surcharge-funded clean energy programs.
*PSC-32-16-00012-P exempt	Benefit-Cost Analysis Handbooks.	To evaluate proposed methodologies of benefit-cost evaluation.
*PSC-33-16-00001-EP exempt	Use of escrow funds for repairs.	To authorize the use of escrow account funds for repairs.
*PSC-33-16-00005-P exempt	Exemption from certain charges for delivery of electricity to its Niagara Falls, New York facility.	Application of System Benefits Charges, Renewable Portfolio Standard charges and Clean Energy Fund surcharges.
*PSC-35-16-00015-P exempt	NYSRC's revisions to its rules and measurements	To consider revisions to various rules and measurements of the NYSRC
*PSC-36-16-00004-P exempt	Recovery of costs for installation of electric service.	To consider the recovery of costs for installation of electric service.
*PSC-40-16-00025-P exempt	Consequences pursuant to the Commission's Uniform Business Practices (UBP).	To consider whether to impose consequences on Smart One for its apparent non-compliance with Commission requirements.

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
*PSC-47-16-00009-P exempt	Petition to use commercial electric meters	To consider the petition of Itron, Inc. to use the Itron CP2SO and CP2SOA in commercial electric meter applications
*PSC-47-16-00010-P exempt	Standby Service rate design	To consider the report filed and the recommendations therein
*PSC-47-16-00013-P exempt	Standby Service rate design	To consider the report filed and the recommendations therein
*PSC-47-16-00014-P exempt	Standby Service rate design	To consider the report filed and the recommendations therein
*PSC-47-16-00016-P exempt	Standby Service rate design	To consider the report filed and the recommendations therein
*PSC-02-17-00010-P exempt	Implementation of the four EAMs.	To consider the implementation of EAMs for RG&E.
*PSC-02-17-00012-P exempt	Implementation of the four EAMs.	To consider the implementation of EAMs for NYSEG.
*PSC-14-17-00017-P exempt	Petition for Full-Scale Deployment of AMI and to Establish an AMI Surcharge.	To consider the petition for Full-Scale Deployment of AMI and to Establish an AMI Surcharge.
*PSC-18-17-00024-P exempt	A petition for rehearing or reconsideration of the Order Addressing Public Policy Transmission Need for AC Transmission Upgrades	To determine whether Public Policy Transmission Need/Public Policy Requirements continue to exist.
*PSC-18-17-00026-P exempt	Revisions to the Dynamic Load Management surcharge.	To consider revisions to the Dynamic Load Management surcharge.
*PSC-19-17-00004-P exempt	NYAW's request to defer and amortize, for future rate recognition, pension settlement payout losses incurred in 2016.	Consideration of NYAW's petition to defer and amortize, for future rate recognition, pension payout losses incurred in 2016.
*PSC-20-17-00008-P exempt	Compressed natural gas as a motor fuel for diesel fueled vehicles.	To consider a report filed by National Grid NY regarding the potential for adoption of compressed natural gas as a motor fuel.
*PSC-20-17-00010-P exempt	Compressed natural gas as a motor fuel for diesel fueled vehicles.	To consider a report filed by National Grid regarding the potential for adoption of compressed natural gas as a motor fuel.
*PSC-21-17-00013-P exempt	The establishment and implementation of Earnings Adjustment Mechanisms.	To consider the establishment and implementation of Earnings Adjustment Mechanisms.
*PSC-21-17-00018-P exempt	Proposed agreement for the provision of water service by Saratoga Water Services, Inc.	To consider a waiver and approval of terms of a service agreement.
*PSC-22-17-00004-P exempt	Financial incentives to create customer savings and develop market-enabling tools, with a focus on outcomes and incentives	To consider the proposed Interconnection Survey Process and Earnings Adjustment Mechanisms
*PSC-24-17-00006-P exempt	Development of the Utility Energy Registry.	Improved data access.

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
*PSC-26-17-00005-P exempt	Notice of Intent to submeter electricity.	To consider the Notice of Intent to submeter electricity at 125 Waverly Street, Yonkers, New York.
*PSC-34-17-00011-P exempt	Waiver to permit Energy Cooperative of America to serve low-income customers	To consider the petition for a waiver
*PSC-37-17-00005-P exempt	Financial incentives to create customer savings and develop market-enabling tools, with a focus on outcomes and incentives.	To consider the revised Interconnection Survey Process and Earnings Adjustment Mechanisms.
*PSC-37-17-00006-P exempt	Petition to submeter electricity.	To consider the petition of ACC OP (Park Point SU) LLC to submeter electricity at 417 Comstock Avenue, Syracuse, New York.
*PSC-39-17-00011-P exempt	Whether to direct New York State Electric & Gas to complete electric facility upgrades at no charge to Hanehan.	To determine financial responsibility between NYSEG and Hanehan for the electric service upgrades to Hanehan.
*PSC-40-17-00006-P exempt	The aggregation of electric service for the Empire State Plaza and the Sheridan Avenue Steam Plant	To consider a waiver of National Grid's tariff provision requiring all electric delivery points to be on the same premises
*PSC-42-17-00010-P exempt	Petition for rehearing of negative revenue adjustment and contents of annual Performance Report.	To consider NFGD's petition for rehearing.
*PSC-48-17-00015-P exempt	Low Income customer options for affordable water bills.	To consider the Low Income Bill Discount and/or Energy Efficiency Rebate Programs.
*PSC-50-17-00017-P exempt	New Wave Energy Corp.'s petition for rehearing.	To consider the petition for rehearing filed by New Wave Energy Corp.
*PSC-50-17-00018-P exempt	Application of the Public Service Law to DER suppliers.	To determine the appropriate regulatory framework for DER suppliers.
*PSC-50-17-00019-P exempt	Transfer of utility property.	To consider the transfer of utility property.
*PSC-50-17-00021-P exempt	Disposition of tax refunds and other related matters.	To consider the disposition of tax refunds and other related matters.
*PSC-50-17-00022-P exempt	Data protection rules for DER suppliers.	To determine the appropriate regulatory framework for DER suppliers.
*PSC-51-17-00011-P exempt	Petition for recovery of certain costs related to the implementation of a Non-Wires Alternative Project.	To consider Con Edison's petition for the recovery of costs for implementing the JFK Project.
*PSC-04-18-00005-P exempt	Notice of intent to submeter electricity.	To consider the notice of intent of Montante/ Morgan Gates Circle LLC to submeter electricity.
*PSC-05-18-00004-P exempt	Lexington Power's ZEC compliance obligation.	To promote and maintain renewable and zero-emission electric energy resources.
*PSC-06-18-00012-P exempt	To consider further proposed amendments to the original criteria to grandfathering established in the Transition Plan	To modify grandfathering criteria

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
*PSC-06-18-00017-P exempt	Merger of NYAW and Whitlock Farms Water Corp.	To consider the merger of NYAW and Whitlock Farms Water Company into a single corporate entity
*PSC-07-18-00015-P exempt	The accuracy and reasonableness of National Grid's billing for certain interconnection upgrades.	To consider AEC's petition requesting resolution of their billing dispute with National Grid.
*PSC-11-18-00004-P exempt	New York State Lifeline Program.	To consider TracFone's petition seeking approval to participate in Lifeline.
*PSC-13-18-00015-P exempt	Eligibility of an ESCO to market to and enroll residential customers.	To consider whether Astral should be allowed to market to and enroll residential customers following a suspension.
*PSC-13-18-00023-P exempt	Reconciliation of property taxes.	To consider NYAW's request to reconcile property taxes.
*PSC-14-18-00006-P exempt	Petition for abandonment	To consider the abandonment of Willsboro Bay Water Company's water system
*PSC-17-18-00010-P exempt	Petition for use of gas metering equipment.	To ensure that consumer bills are based on accurate measurements of gas usage.
*PSC-18-18-00009-P exempt	Transfer of control of Keene Valley Video Inc.	To ensure performance in accordance with applicable cable laws, regulations and standards and the public interest
*PSC-23-18-00006-P exempt	Whether to impose consequences on Aspiry for its non-compliance with Commission requirements.	To ensure the provision of safe and adequate energy service at just and reasonable rates.
*PSC-24-18-00013-P exempt	Implementation of program rules for Renewable Energy Standard and ZEC requirements.	To promote and maintain renewable and zero-emission electric energy resources.
*PSC-28-18-00011-P exempt	Storm Hardening Collaborative Report.	To ensure safe and adequate gas service.
*PSC-29-18-00008-P exempt	Participation in Targeted Accessibility Fund	To encourage enhanced services for low-income consumers
*PSC-29-18-00009-P exempt	Overvaluing real property tax expense recovery in water rates	To prevent unjust and unreasonable water rates
*PSC-34-18-00011-P exempt	Compensation of distributed energy resources.	To ensure just and reasonable rates, including compensation, for distributed energy resources.
*PSC-34-18-00015-P exempt	Petition to submeter electricity.	To ensure adequate submetering equipment and energy efficiency protections are in place.
*PSC-34-18-00016-P exempt	Deferral of pre-staging and mobilization storm costs.	To ensure just and reasonable rates for ratepayers and utility recovery of unexpected, prudently incurred costs.
*PSC-35-18-00003-P exempt	Con Edison's 2018 DSIP and BCA Handbook Update.	To continue Con Edison's transition to a modern utility serving as a Distributed System Platform Provider.
*PSC-35-18-00005-P exempt	NYSEG and RG&E's 2018 DSIP and BCA Handbook Update.	To continue NYSEG and RG&E's transition to modern utilities acting as Distributed System Platform Providers.

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
*PSC-35-18-00006-P exempt	National Grid's 2018 DSIP and BCA Handbook Update.	To continue National Grid's transition to a modern utility serving as a Distributed System Platform Provider.
*PSC-35-18-00008-P exempt	Central Hudson's 2018 DSIP and BCA Handbook Update.	To continue Central Hudson's transition to a modern utility serving as a Distributed System Platform Provider.
*PSC-35-18-00010-P exempt	O&R's 2018 DSIP and BCA Handbook Update.	To continue O&R's transition to a modern utility acting as a Distributed System Platform Provider.
*PSC-39-18-00005-P exempt	Participation in New York State Lifeline Program.	To encourage enhanced services for low-income customers.
*PSC-40-18-00014-P exempt	Annual Reconciliation of Gas Expenses and Gas Cost Recoveries.	To review the gas utilities' reconciliation of Gas Expenses and Gas Cost Recoveries for 2018.
*PSC-42-18-00011-P exempt	Voluntary residential beneficial electrification rate design.	To provide efficient rate design for beneficial technologies in New York State that is equitable for all residential customers.
*PSC-42-18-00013-P exempt	Petition for clarification and rehearing of the Smart Solutions Program Order.	To address the increased demand for natural gas in the Con Edison's service territory and the limited pipeline capacity.
*PSC-44-18-00016-P exempt	Petition for approval of gas metering equipment.	To ensure that customer bills are based on accurate measurements of gas usage.
*PSC-45-18-00004-P exempt	Proposed transfer of two natural gas pipeline operating companies, and for lightened and incidental regulation	To consider transfer if there is no market power or ratepayer harm, incidental regulation, and continuing lightened regulation
*PSC-45-18-00005-P exempt	Notice of intent to submeter electricity and waiver of energy audit	To ensure adequate submetering equipment, consumer protections and energy efficiency protections are in place
*PSC-47-18-00008-P exempt	Proposed Public Policy Transmission Needs/ Public Policy Requirements, as defined under the NYISO tariff.	To identify any proposed Public Policy Transmission Needs/Public Policy Requirements for referral to the NYISO.
*PSC-01-19-00004-P exempt	Advanced Metering Infrastructure.	To determine whether Niagara Mohawk Power Corporation d/b/a National Grid should implement advanced metering infrastructure.
*PSC-01-19-00013-P exempt	Order of the Commission related to caller ID unblocking.	To require telephone companies to unblock caller ID on calls placed to the 311 municipal call center in Suffolk County.
*PSC-01-19-00014-P exempt	To modify provisions for accepting new or additional gas service applications when there is inadequate supply or capacity.	To continue to provide safe and reliable service to existing customers.
*PSC-01-19-00015-P exempt	To modify provisions for accepting new or additional gas service applications when there is inadequate supply or capacity.	To continue to provide safe and reliable service to existing customers.
*PSC-01-19-00016-P exempt	To modify provisions for accepting new or additional gas service applications when there is inadequate supply or capacity.	To continue to provide safe and reliable service to existing customers.

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
*PSC-02-19-00014-P exempt	Petition for use of electric metering equipment.	To ensure that consumer bills are based on accurate measurements of electric usage.
PSC-03-19-00002-P exempt	DPS Staff White Paper for who must be trained in 16 NYCRR Part 753 requirements and how the Commission will approve trainings.	To reduce damage to underground utility facilities by requiring certain training and approving training curricula.
PSC-04-19-00004-P exempt	Con Edison's petition for the Gas Innovation Program and associated budget.	To pursue programs that continue service reliability and meet customer energy needs while aiding greenhouse gas reduction goals.
PSC-04-19-00011-P exempt	Update of revenue targets.	To ensure NYAW's rates are just and reasonable and accurately reflect the needed revenues.
PSC-06-19-00005-P exempt	Consideration of the Joint Utilities' proposed BDP Program.	To to expand opportunities for low-income households to participate in Community Distributed Generation (CDG) projects.
PSC-07-19-00009-P exempt	Whether to impose consequences on AAA for its non-compliance with Commission requirements.	To insure the provision of safe and adequate energy service at just and reasonable rates.
PSC-07-19-00016-P exempt	Participation in New York State Lifeline Program.	To encourage enhanced services for low-income customers.
PSC-09-19-00009-P exempt	Amendments to the tariff of Con Edison pertaining to interruptible gas service customers.	To consider the appropriate tariff provisions for Con Edison interruptible gas service customers.
PSC-09-19-00010-P exempt	Non-pipeline alternatives report recommendations.	To consider the terms and conditions applicable to gas service.
PSC-10-19-00006-P exempt	Notice of intent to submeter electricity.	To ensure adequate submetering equipment and consumer protections are in place.
PSC-12-19-00004-P exempt	To test innovative pricing proposals on an opt-out basis.	To provide pricing structures that deliver benefits to customers and promote beneficial electrification technologies.
PSC-13-19-00010-P exempt	New Commission requirements for gas company operator qualification programs.	To make pipelines safer with improved training of workers who perform construction and repairs on natural gas facilities.
PSC-13-19-00012-P exempt	Paperless billing credit.	To provide just and reasonable rates.
PSC-16-19-00005-P exempt	Proposed major electric delivery revenue requirement increase of approximately \$485 million (or 4.6% in total revenues).	To ensure safe and adequate service at just and reasonable rates charged to customers without undue preferences.
PSC-16-19-00007-P exempt	Recommendation of the levelization surcharge, changes to the System Improvement Charge, and a one-year stay-out.	To address the issues in NYAW's petition dated February 25, 2019.
PSC-16-19-00008-P exempt	Proposed major rate increase in Con Edison's gas delivery revenues of approximately \$210 million (or 9.1% in total revenues).	To ensure safe and adequate service at just and reasonable rates charged to customers without undue preferences.
PSC-18-19-00010-P 04/30/20	Energy efficiency programs, budgets, and targets for investor-owned utilities.	To encourage the delivery and procurement of energy efficiency by investor-owned utilities.

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
PSC-18-19-00011-P exempt	Policies, budgets and targets for support of heat pump deployment by investor-owned utilities.	To encourage the support for heat pump deployment by investor-owned utilities.
PSC-18-19-00012-P 04/30/20	Policies, budgets and targets for support of energy efficiency programs for low- and moderate-income customers.	To encourage the support of energy efficiency programs for low- and moderate-income customers by investor-owned utilities.
PSC-18-19-00013-P exempt	Notice of intent to submeter electricity.	To ensure adequate submetering equipment and consumer protections are in place.
PSC-18-19-00015-P exempt	Minor rate filing.	To ensure safe and adequate service at just and reasonable rates charged to customers without undue preferences.
PSC-19-19-00013-P exempt	Proposed merger of three water utilities into one corporation.	To determine if the proposed merger is in the public interest.
PSC-19-19-00014-P exempt	Establishment of the regulatory regime applicable to an approximately 124 MW electric generating facility.	Consideration of a lightened regulatory regime for an approximately 124 MW electric generating facility.
PSC-19-19-00016-P exempt	Establishment of the regulatory regime applicable to an approximately 242 MW electric generating facility.	Consideration of a lightened regulatory regime for an approximately 242 MW electric generating facility.
PSC-20-19-00008-P exempt	Reporting on energy sources	To ensure accurate reporting and encourage clean energy purchases
PSC-20-19-00010-P exempt	Compensation policies for certain CHP projects	To consider appropriate rules for compensation of certain CHP resources
PSC-20-19-00015-P exempt	Establishment of the regulatory regime applicable to an approximately 105.8 MW electric generating facility	Consideration of a lightened regulatory regime for an approximately 105.8 MW electric generating facility
PSC-22-19-00013-P exempt	Notice of intent to submeter electricity.	To ensure adequate submetering equipment and consumer protections are in place.
PSC-23-19-00005-P exempt	Proposed major rate increase in SWNY's annual base revenues of approximately \$31.5 million (or 19.8% in total revenues).	To ensure safe and adequate service at just and reasonable rates charged to customers without undue preferences.
PSC-30-19-00007-P exempt	Use of electric metering equipment.	To ensure that consumer bills are based on accurate measurements of electric usage.
PSC-30-19-00009-P exempt	An Index REC procurement mechanism for Tier 1 REC procurements.	To provide a hedge against market volatility, and lower costs to both renewable generators and customers.
PSC-31-19-00011-P exempt	Electric metering equipment.	To ensure that consumer bills are based on accurate measurements of electric usage.
PSC-31-19-00013-P exempt	Implementation of Statewide Energy Benchmarking.	To ensure safe and adequate service at just and reasonable rates charged to customers without undue preferences.
PSC-31-19-00014-P exempt	Consideration of NYAW's Interim Implementation Plan.	To ensure NYAW improves its service to ratepayers.

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
PSC-31-19-00015-P exempt	Proposed major rate increase in KEDNY's gas delivery revenues by \$236.8 million (13.6% increase in total revenues).	To ensure safe and adequate service at just and reasonable rates charged to customers without undue preferences.
PSC-31-19-00016-P exempt	Proposed major rate increase in KEDLI's gas delivery revenues of approximately \$49.4 million (or 4.1% in total revenues).	To ensure safe and adequate service at just and reasonable rates charged to customers without undue preferences.
PSC-32-19-00008-P exempt	Compensation of distributed energy resources	To ensure just and reasonable rates, including compensation, for distributed energy resources
PSC-32-19-00009-P exempt	Petition to submeter electricity and waiver request	To ensure adequate submetering equipment, consumer protections and energy efficiency protections are in place
PSC-32-19-00010-P exempt	Notice of intent to submeter electricity	To ensure adequate submetering equipment and consumer protections are in place
PSC-32-19-00012-P exempt	Standby Service Rates and Buyback Service Rates	To ensure just and reasonable rates, including compensation, for distributed energy resources
PSC-32-19-00013-P exempt	Disposition of tax refunds received by New York American Water Company, Inc.	To determine the disposition of tax refunds and other related matters
PSC-33-19-00014-P exempt	Electric metering equipment.	To ensure that consumer bills are based on accurate measurements of electric usage.
PSC-34-19-00015-P exempt	Major electric rate filing.	To consider a proposed increase in RG&E's electric delivery revenues of approximately \$31.7 million (or 4.1% in total revenues).
PSC-34-19-00016-P exempt	Major gas rate filing.	To consider a proposed increase in RG&E's gas delivery revenues of approximately \$5.8 million (or 1.4% in total revenues).
PSC-34-19-00017-P exempt	Notice of intent to submeter electricity.	To ensure adequate submetering equipment and consumer protections are in place.
PSC-34-19-00018-P exempt	Major electric rate filing.	To consider a proposed increase in NYSEG's electric delivery revenues of approximately \$156.7 million (10.4% in total revenues).
PSC-34-19-00020-P exempt	Major gas rate filing.	To consider a proposed increase in NYSEG's gas delivery revenues of approximately \$6.3 million (or 1.4% in total revenues).
PSC-36-19-00009-P exempt	Minor rate filing to increase annual electric revenues.	To ensure safe and adequate service at just and reasonable rates charged to customers without undue preferences.
PSC-36-19-00010-P exempt	Notice of intent to submeter electricity and waiver of energy audit.	To ensure adequate submetering equipment, consumer protections and energy efficiency protections are in place.
PSC-36-19-00011-P exempt	Minor electric rate filing to increase annual electric revenues.	To ensure safe and adequate service at just and reasonable rates charged to customers without undue preferences.
PSC-37-19-00004-P exempt	Proposed transfer of Hopewell's assets to the Town and dissolution of the company.	To determine if transfer of the water system to the Town of East Fishkill is in the public interest.

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
PSC-38-19-00002-P exempt	Petition to submeter electricity	To ensure adequate submetering equipment and consumer protections are in place
PSC-39-19-00013-P exempt	Proposed revisions to Consolidated Edison's Commercial Demand Response Programs.	To consider appropriate rules regarding Commercial Demand Response Programs.
PSC-39-19-00014-P exempt	Annual Reconciliation of Gas Expenses and Gas Cost Recoveries.	To review the gas utilities' reconciliation of Gas Expenses and Gas Cost Recoveries for the period ending August 31, 2019.
PSC-39-19-00017-P exempt	Notice of intent to submeter electricity.	To ensure adequate submetering equipment and consumer protections are in place.
PSC-39-19-00018-P exempt	Petition to submeter electricity.	To ensure adequate submetering equipment and consumer protections are in place.
PSC-39-19-00019-P exempt	Petition for the use of gas metering equipment.	To ensure that consumer bills are based on accurate measurements of gas usage.
PSC-39-19-00020-P exempt	Initial Tariff Schedule, P.S.C. No. 1 - Water.	To ensure safe and adequate service at just and reasonable rates charged to customers without preferences.
PSC-40-19-00006-P exempt	Net energy metering and VDER crediting for eligible New York Power Authority customers.	To ensure safe and adequate service at just and reasonable rates charged to customers without preferences.
PSC-40-19-00007-P exempt	The sharing of ratepayer consumption data.	To allow for consumption based sewer billing and protect ratepayers' consumption data.
PSC-41-19-00003-P exempt	A voluntary residential three-part rate that would include fixed, usage and demand charges.	To provide qualifying residential customers with an optional three-part rate.
PSC-41-19-00005-P exempt	Tariff modifications to correct the calculation for the VDER Value Stack DRV.	To ensure safe and adequate service at just and reasonable rates charged to customers without undue preferences.
PSC-42-19-00006-P exempt	Waiver of the prohibition on service to low-income customers by ESCOs.	To consider the petition for an extension of the waiver of the prohibition on service to low-income customers by ESCOs.
PSC-42-19-00007-P exempt	Waiver of the prohibition on service to low-income customers by ESCOs.	To consider the petition for an extension of the waiver of the prohibition on service to low-income customers by ESCOs.
PSC-43-19-00014-P exempt	Petition for the use of electric metering equipment.	To ensure that consumer bills are based on accurate measurements of electric usage.
PSC-43-19-00015-P exempt	Modifications to the Gas Cost Factor and Daily Delivery Service Programs.	To consider a rehearing petition filed by Consolidated Edison Company of New York, Inc. and Orange and Rockland Utilities, Inc.
PSC-43-19-00016-P exempt	Proposed rate filing to increase its semi-annual flat rate.	To ensure safe and adequate service at just and reasonable rates charged to customers without undue preferences.
PSC-44-19-00003-P exempt	Proposed revisions to Standby Service Rates and Buyback Service Rates.	To ensure just and reasonable rates, including compensation, for distributed energy resources.

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
PSC-44-19-00004-P exempt	Notice of intent to submeter electricity.	To ensure adequate submetering equipment and consumer protections are in place.
PSC-44-19-00005-P exempt	Proposed revisions to Standby Service Rates and Buyback Service Rates.	To ensure just and reasonable rates, including compensation, for distributed energy resources.
PSC-44-19-00006-P exempt	Proposed revisions to Standby Service Rates and Buyback Service Rates.	To ensure just and reasonable rates, including compensation, for distributed energy resources.
PSC-44-19-00007-P exempt	Proposed revisions to Standby Service Rates and Buyback Service Rates.	To ensure just and reasonable rates, including compensation, for distributed energy resources.
PSC-44-19-00008-P exempt	Notice of intent to submeter electricity.	To ensure adequate submetering equipment and consumer protections are in place.
PSC-44-19-00009-P exempt	Proposed revisions to Standby Service Rates and Buyback Service Rates.	To ensure just and reasonable rates, including compensation, for distributed energy resources.
PSC-45-19-00011-P exempt	Notice of intent to submeter electricity.	To ensure adequate submetering equipment and consumer protections are in place.
PSC-45-19-00012-P exempt	Notice of intent to submeter electricity.	To ensure adequate submetering equipment and consumer protections are in place.
PSC-45-19-00013-P exempt	Notice of intent to submeter electricity.	To ensure adequate submetering equipment and consumer protections are in place.
PSC-46-19-00007-P exempt	PSC regulation 16 NYCRR 86.3(a)(1), (a)(2) and 88.4(a)(4).	To consider a waiver of certain regulations relating to the content of an application for transmission line siting.
PSC-46-19-00008-P exempt	Wappingers Falls Hydroelectric LLC's facility located in Wappingers Falls, New York.	To promote and maintain renewable electric energy resources.
PSC-46-19-00009-P exempt	Proposed transfer of certain company property to the Incorporated Village of Sea Cliff.	To determine if the transfer of certain Company property to the Incorporated Village of Sea Cliff is in the public interest.
PSC-46-19-00010-P exempt	To test innovative rate designs on an opt-out basis.	To implement alternative innovative rate designs intended to assess customer behaviors in response to price signals
PSC-47-19-00011-P exempt	Waiver of National Grid's code of conduct to allow for use of its name.	To determine if it is in the public interest to allow for the use of National Grid's name in the weatherization program.
PSC-47-19-00012-P exempt	Transfer of street lighting facilities to the Village of Cayuga Heights.	To consider whether the transfer of street lighting facilities to the Village of Cayuga Heights is in the public interest.
PSC-47-19-00013-P exempt	Compensation of distributed energy resources.	To ensure just and reasonable rates, including compensation, for distributed energy resources.
PSC-47-19-00014-P exempt	Whether a proposed agreement for the provision of water service by Saratoga Water Services, Inc. is in the public interest.	To consider whether a tariff waiver and the proposed terms of a service agreement are in the public interest.
PSC-47-19-00015-P exempt	Customer Consent to Contact.	To include a new provision establishing customer consent for the utility to contact them electronically about utility service.

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
PSC-47-19-00016-P exempt	Notice of intent to submeter electricity.	To ensure adequate submetering equipment and consumer protections are in place.
PSC-48-19-00004-P exempt	Recommendation of the levelization surcharge, changes to the System Improvement Charge, including AMI and a one-year stay-out.	To address the issues in the November 6, 2019 petition filed by NYAW.
PSC-48-19-00005-P exempt	Notice of intent to submeter electricity.	To ensure adequate submetering equipment and consumer protections are in place.
PSC-48-19-00006-P exempt	Notice of intent to submeter electricity.	To ensure adequate submetering equipment and consumer protections are in place.
PSC-48-19-00007-P exempt	Extension of the State Universal Service Fund.	To continue to provide universal service at a reasonable rate in certain service territories.
PSC-49-19-00004-P exempt	Transfer of street lighting facilities.	To determine whether to authorize the transfer of street lighting facilities and the proper accounting for the transaction.
PSC-49-19-00005-P exempt	Sale of Street Lighting Facilities to the Town of Farmington.	To consider the transfer of street lighting facilities to the Town of Farmington.
PSC-49-19-00006-P exempt	Waiver of the prohibition on service to low-income customers by ESCOs.	To consider the petition for an extension of the waiver of the prohibition on service to low-income customers by ESCOs.
PSC-50-19-00004-P exempt	Petition to submeter electricity and waiver of energy audit.	To ensure adequate submetering equipment, consumer protections and energy efficiency protections are in place.
PSC-50-19-00005-P exempt	Modifications and clarifications to the DCFC Per-Plug Incentive Program.	To clarify certain elements of the DCFC Per-Plug Incentive Program and consider modifications to the Program.
PSC-50-19-00006-P exempt	Compensation of and incentives for distributed energy resources.	To encourage the development of and ensure just and reasonable rates for distributed energy resources.
PSC-50-19-00007-P exempt	Participation of customers served under P.S.C. No. 12 (PASNY) in CDG projects receiving Value Stack compensation.	To encourage the development of and ensure just and reasonable rates for distributed energy resources.
PSC-52-19-00001-P exempt	SUEZ Water New York Inc.'s acquisition of 100% of Heritage Hills Water Works Corporation's assets.	To determine if the proposed acquisition is in the public interest.
PSC-52-19-00002-P exempt	The New York State Reliability Council's establishment of an Installed Reserve Margin of 18.9%	To ensure adequate levels of Installed Capacity.
PSC-52-19-00003-P exempt	Notice of intent to submeter electricity and waiver of energy audit.	To ensure adequate submetering equipment, consumer protections and energy efficiency protections are in place.
PSC-52-19-00004-P exempt	Notice of intent to submeter electricity.	To ensure adequate submetering equipment and consumer protections are in place.
PSC-52-19-00005-P exempt	Compensation of and rates for distributed energy resources.	To encourage the development of and ensure just and reasonable rates for distributed energy resources.

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
PSC-52-19-00006-P exempt	Authorization to defer pension settlement losses.	To address the ratemaking related to the pension settlement losses.
PSC-53-19-00003-P 12/30/20	Technical Amendments of State regulations and Administrative Corrections	To make the provision of natural gas service safer in New York State
PSC-53-19-00004-P 12/30/20	Technical Amendments of State regulations and Administrative Corrections	To make the provision of natural gas service safer in New York State.
PSC-53-19-00006-P exempt	To amend the terms to which the customer must abide when discontinuing gas service.	To ensure safe and adequate service at just and reasonable rates charged to customers without preferences.
PSC-53-19-00007-P exempt	Notice of intent to submeter electricity.	To ensure adequate submetering equipment and consumer protections are in place.
PSC-53-19-00008-P exempt	Extension of time for issuance of securities and other forms of indebtedness.	To consider an additional twelve months for the issuance of securities and other forms of indebtedness.
PSC-53-19-00009-P exempt	Transfer of street lighting facilities.	To consider whether the transfer of certain street lighting facilities is in the public interest.
PSC-53-19-00010-P exempt	To clarify the term “customer” under Rule 28 - Special Services Performed by Company for Customer at a Charge.	To ensure safe and adequate service at just and reasonable rates charged to customers without preferences.
PSC-01-20-00007-P exempt	Proposed tariff revisions and clarifications for the summer 2020 capability period.	To have more efficient demand response programs to gain operational efficiency and shave peak demand.
PSC-01-20-00008-P exempt	Request for waiver of 16 NYCRR § 96.5(k)(3).	To consider the request for waiver of the energy audit requirement requirement per 16 NYCRR 96.5(k)(3).
PSC-01-20-00009-P exempt	Modifying RG&E's DLM dispatch threshold to improve the Commercial System Relief Program.	To have more efficient demand response programs to gain operational efficiency and shave peak demand.
PSC-01-20-00010-P exempt	Proposed transfer of water supply assets and dissolution of the Company.	To determine whether the transfer of water supply assets to the Town of North Collins is in the public interest.
PSC-02-20-00005-P exempt	The 2020 Electric Emergency Response Plans for electric utilities subject to the provisions of PSL § 25-a	To consider the adequacy of the 2020 Electric Emergency Response Plans
PSC-02-20-00006-P exempt	The application of the earnings sharing mechanism related to a partial year period.	To consider O&R's petition to modify the application of the earnings sharing mechanism.
PSC-03-20-00008-P exempt	Authority to issue and sell unsecured debt obligations	To consider the Company's request for authority to issue and sell unsecured debt obligations
PSC-03-20-00009-P exempt	Changes to the Utility Energy Registry	To determine appropriate rules for data availability
PSC-03-20-00010-P exempt	Waivers of certain tariff provisions and terms of an agreement for the provision of service	Whether a proposed agreement for provision of service by Saratoga Water Services, Inc. is in the public interest

Action Pending Index**NYS Register/January 22, 2020**

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
STATE, DEPARTMENT OF			
DOS-27-19-00014-P	09/04/20	New York State Uniform Fire Prevention and Building Code (the Uniform Code)	To repeal the existing Uniform Code and adopt a new Uniform Code and to make conforming changes to 19 NYCRR Parts 1264 and 1265.
DOS-27-19-00015-P	09/04/20	The State Energy Conservation Construction Code (the "Energy Code").	To repeal the existing Energy Code and to adopt a new, updated Energy Code.
DOS-42-19-00001-P	10/15/20	Real estate advertisements	To update current regulations concerning real estate advertisements
DOS-02-20-00003-P	01/14/21	Enhanced fair housing provisions	To provide additional notices and other enhancements relating to fair housing and the Human Rights Law
STATE UNIVERSITY OF NEW YORK			
SUN-50-19-00001-EP	12/10/20	Tuition, Fees and Charges	To authorize the waiver of admission application fees for military veterans and their spouses
SUN-53-19-00002-P	12/30/20	Proposed amendments to the traffic and parking regulations at State University of New York College at Old Westbury	Amend existing regulations to update traffic and parking regulations
SUN-53-19-00005-P	12/30/20	Proposed amendments to the traffic and parking regulations at State University Agricultural and Technical College at Morrisville	Amend existing regulations to update traffic and parking regulations
SUN-01-20-00001-P	01/07/21	Limitations on operating costs for purposes of State financial assistance.	To determine how state appropriated reimbursement for rental costs for physical space will be distributed to community colleges.
TAXATION AND FINANCE, DEPARTMENT OF			
TAF-47-19-00010-P	exempt	Fuel use tax on motor fuel and diesel motor fuel and the art. 13-A carrier tax jointly administered therewith.	To set the sales tax component and the composite rate per gallon for the period January 1, 2020 through March 31, 2020.
TAF-50-19-00003-EP	12/10/20	Metropolitan Transportation Business Tax Surcharge	To provide metropolitan transportation business tax rate for tax year 2020
TAF-02-20-00001-EP	01/14/21	Property tax levy limits for school districts in relation to certain costs resulting from capital local expenditures	To implement Education Law 2023-a relating to certain costs resulting from capital local expenditures of school districts
TEMPORARY AND DISABILITY ASSISTANCE, OFFICE OF			
TDA-14-19-00007-P	04/02/20	Abandonment of requests for fair hearings	To require the issuance of letters to appellants who fail to appear at scheduled fair hearings involving Medical Assistance, also known as Medicaid, advising them how to request the rescheduling of such fair hearings
TDA-46-19-00006-P	11/12/20	Limits on executive compensation	To remove requirements related to private funding from the \$199, 000 per annum limit on executive compensation and to make corresponding technical updates

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
TEMPORARY AND DISABILITY ASSISTANCE, OFFICE OF			
TDA-49-19-00003-P 12/03/20	Annual service fee on persons receiving child support services and minimum annual collection requirement to impose such fee	To amend the existing State regulatory provisions regarding the annual service fee imposed on persons who receive child support services
THRUWAY AUTHORITY, NEW YORK STATE			
THR-01-20-00003-P 01/07/21	Toll rate adjustments on the New York State Thruway system.	To provide for toll rate adjustments necessary to support the Authority's financial obligations.
VICTIM SERVICES, OFFICE OF			
OVS-24-19-00001-ERP 06/11/20	Conduct contributing related to burial awards	Adopt rules necessary as the result of chapter 494 of the Laws of 2018, when considering the victim's own conduct
WORKERS' COMPENSATION BOARD			
WCB-31-19-00018-P 07/30/20	Medical Treatment Guidelines	Add guidelines for treatment of hip and groin, foot and ankle, elbow and occupational interstitial lung disease
WCB-32-19-00001-P 08/06/20	Updating the prescription drug formulary	To add drugs to the prescription drug formulary in response to continuous feedback
WCB-37-19-00002-P 09/10/20	Applications for Reopenings	Clarify the process for reopening a case that has been previously closed
WCB-45-19-00010-P 11/05/20	Resolution of medical billing disputes	Incorporate the 2019 changes to WCL 13-g into existing regulations.
WCB-47-19-00004-EP 11/19/20	Updating the prescription drug formulary	To update formulary and add special consideration guidance for drugs not included in the MTGs

REGULATORY AGENDA

Department of Agriculture and Markets

Pursuant to subdivision 1 of section 202-d of the State Administrative Procedure Act, notice is hereby provided of the following rules which the Department of Agriculture and Markets is considering proposing but for which a rulemaking proceeding has not been commenced. All section and Part references are to Title 1 of the New York Code of Rules and Regulations, except where noted.

DIVISION OF ANIMAL INDUSTRY

Section 45.6: Consider amending section 45.6 to require mandatory depopulation, cleaning, and disinfection of the live poultry markets every 3 months.

Part 50: Consider amending regulations pertaining to livestock disinfection procedures and removing obsolete provisions.

Part 53: Consider amendments relative to the movement of cattle into New York State.

Part 57: Consider repealing sections 57.7 through 57.23 pertaining to the salmonella enteritis testing program.

Part 60: Consider amending requirements governing the intrastate movement of deer in light of amendments to health requirements for captive cervids (Part 68) and also clarify requirements for tuberculosis testing.

Part 61: Consider adopting recordkeeping requirements for swine, cervid, camelid, goat, and sheep dealers.

Part 64: Consider amending sections 64.4, 64.7 and 64.8 to clarify the requirement that veterinarians must have Class 2 USDA accredited status in order to submit samples for official equine infectious anemia (Coggins) tests.

Part 67: Consider amending importation restrictions on livestock originating in states with vesicular stomatitis virus to make those restrictions consistent with federal requirements.

Part 77: Consider amending the standards of care for seized dogs, clarifying the definition of a dog dealer and conforming the means of euthanasia set forth in section 77.3(a) of the regulations to AML section 374(3)(a).

Part 82: Consider adopting pet dealer regulations to establish requirements for the veterinary plan, primary enclosure, whelping box, exercise for the animals, isolation area, ventilation, temperature, and light.

Part 351: Consider amending sections 351.6 and 351.10 to require official identification in the form of USDA approved official RFID ear tags for cattle and swine entering all county fairs and the New York State Fair.

Name of agency contact: David C. Smith, DVM, Director, Division of Animal Industry

Office Address: 10B Airline Drive, Albany, New York 12235

Telephone number: (518) 457-3502

David.Smith@agriculture.ny.gov

DIVISION OF FOOD SAFETY AND INSPECTION

Parts 250, 252, 259; sections 261.8, 261.9, 262.1, 265.1, 266.1, 267.1, 271-4.7, 271-5.3(h), 271-5.3(j), 271-5.4(g); and Parts 277, 279, 280 and 281: Consider amending these Parts/sections to incorporate by reference the most recent Federal regulations (2016).

Part 271: Consider repealing section 271-2.2(f), regarding the inspection by an approved mushroom identification expert of mushroom species picked in the wild prior to sale.

Part 271: Consider amendments to section 271-7.30, to require the posting of a sign near each entrance of a store indicating "No Animals (Other Than Service Animals) Allowed."

Part 283: Consider incorporating by reference 21 CFR Part 507 - Current Good Manufacturing Practices, Hazard Analysis, and Risk Based Controls for Food for Animals.

Name of agency contact: Jon Greenberg, Director, Division of Food Safety and Inspection

Office Address: 10B Airline Drive, Albany, New York 12235

Telephone number: (518) 457-4492

Jon.Greenberg@agriculture.ny.gov

DIVISION OF MILK CONTROL AND DAIRY SERVICES

Part 2: Consider amendments in order to regulate camel's milk.

Section 2.8: Consider amendments to make changes relative to the somatic cell count for pre-pasteurized milk from sheep and goats.

Section 2.8: Consider amendments to establish quality standards for raw milk cheeses.

Name of agency contact: Casey McCue, Director, Division of Milk Control and Dairy Services.

Office Address: 10B Airline Drive, Albany, New York 12235

Telephone number: (518) 457-1772

Casey.Mccue@agriculture.ny.gov

DIVISION OF PLANT INDUSTRY

Parts 106 and 107: Consider updating seed potato certification standards for foundation and certified classes to reflect advances in plant disease testing and to set standards and tolerances for a group of bacterial pathogens known by the common name "blackleg."

Part 159: Consider amendments to the industrial hemp regulations to align them with the current version of Article 29 of the Agriculture and Markets Law.

Name of Agency Contact: Christopher Logue, Director, Division of Plant Industry

Office Address: 10B Airline Drive, Albany, New York 12235

Telephone number: (518) 457-2087

Christopher.Logue@agriculture.ny.gov

BUREAU OF WEIGHTS AND MEASURES

Section 220.1: Consider removing the requirement for commercial devices to have NYS approval and only use approval through the National Conference on Weights and Measures (NCWM). Also consider removing the associated \$100 fee paid by private industry in section 220.12(a)(2).

Section 220.2: Consider adopting national standards for the specifications, tolerances, and regulations for commercial weighing and measuring devices as published in the 2020 edition of NIST Handbook 44.

Section 220.5: Consider removing terminal rack meters from the list of devices which municipal weights and measures officials are mandated to test.

Section 220.5: Consider allowing the Federal Milk Market Administrator to perform calibrations of milk holding tanks independently, without the involvement of local W&M offices.

Section 220.5: Consider amendments to remove timing devices from the list of devices which municipal weights and measures officials are mandated to test.

Part 220.14: Consider revising to align closer with NIST Handbook 130 standards for packaging, labeling, and methods of sale.

Section 221.11: Consider adopting national standards for the checking of the net contents of packaged goods as published in the 2020 edition of NIST Handbook 133.

Part 221: Consider creating a method-of-sale regulation for electric vehicle (EV) charging stations.

Part 225: Consider adopting regulations to implement Agriculture and Markets Law section 192-h, relating to the installation of generators at service stations in the downstate area.

Name of agency contact: Michael Sikula, Director, Bureau of Weights and Measures

Office Address: 10B Airline Drive, Albany, New York 12235

Telephone number: (518) 457-3146

Mike.Sikula@agriculture.ny.gov

Office of Temporary and Disability Assistance

Pursuant to State Administrative Procedure Act (SAPA) § 202-d, the Office of Temporary and Disability Assistance (OTDA) is required to publish a regulatory agenda for those regulations that it is considering for publication in the New York State Register. Set forth below is an agenda for 2020. SAPA § 202-d does not preclude OTDA from proposing for adoption a regulation that is not described in the agenda, nor does it require OTDA to propose for adoption a regulation that is described in the agenda.

All references are to Title 18 of the New York Codes, Rules and Regulations (NYCRR) unless otherwise noted. The agenda items are organized pursuant to the Part of Title 18 NYCRR that most likely would be amended. However, the agenda items eventually could require amendments to different Parts than those listed below and/or to more than one Part of Title 18 NYCRR.

Part 301 – Veteran Assistance

Amend regulations to replace gender-specific terms with gender-neutral terms.

Part 311 – Change of Residence

Amend regulations to repeal certain residency requirements to reflect current policies and practices.

Part 340 – Public Access to Department Records under the Freedom of Information Law

Update regulations concerning public access to records under the Freedom of Information Law to reflect the current organization of the Department of Family Assistance.

Part 346 – Support Collection

Establish guidelines for the local child support enforcement units to follow in determining whether and under what circumstances a passport, which has been denied, revoked, or restricted by the United States (U.S.) Department of State due to non-payment of child support, may be released.*

Update regulations to allow for reporting increases in the amount subject to administrative enforcement in certified cases.

Part 347 – Establishment of Paternity and Enforcement of Child Support

Revise regulations concerning the provision of child support services in intergovernmental cases.

Part 351 – Investigation and Eligibility

Clarify provisions concerning the submission of a social security number as a condition of eligibility for public assistance.*

Part 352 – Standards of Assistance

Amend regulations to make technical updates to Part 352, including

updating references to “aid to dependent children” and “home relief” with “family assistance” and “safety net assistance” respectively.

Amend regulations to implement changes to public assistance lien policy consistent with Social Services Law (SSL) § 106 to require social services districts to: obtain a signed acknowledgment form from an applicant/recipient real property owner prior to taking a real property lien; and provide the real property owner with a biennial accounting of assistance and recoveries used in determining the amount of an outstanding real property lien.*

Amend regulations to update and clarify standards pertaining to hotels and motels used as placements for recipients of temporary housing assistance.*

Part 358 – Fair Hearings

Update regulations to clarify that the term “hearing officer” may be used interchangeably with the term “administrative law judge.”

Amend regulations to conform with 7 Code of Federal Regulations § 273.15(c)(4) regarding Supplemental Nutrition Assistance Program fair hearing adjournment.*

Part 359 – Disqualification for Intentional Program Violation

Revise regulation to clarify that disqualification consent agreements are reviewable at a fair hearing to ensure compliance with procedural requirements.*

Part 369 – Family Assistance

Amend regulation to address applications for or receipt of public assistance as an assignment to the State and the social services district of rights to support.

Part 385 – Public Assistance and Supplemental Nutrition Assistance Program (SNAP) Employment Program Requirements

Amend the title and the regulations of Part 385 to make technical updates, including updating references from “food stamp” to “SNAP.”

Clarify that support services may be provided to individuals assigned to work activities by the social services district.*

Revise assessment regulations to clarify that the requirements for exempt individuals in households without dependent children to comply with an assessment are consistent with those for exempt individuals in households with dependent children.*

Amend employment program provisions for notices of conciliation and notices of discontinuance or reduction as a result of an employment sanction to incorporate plain language requirements.*

Amend regulations to implement changes to the work activities that may be made available to individuals to include financial literacy.*

Establish additional guidelines regarding work activity definitions and work documentation and verification procedures to make them consistent with standards required by federal regulations.*

Implement changes to participation rate regulations to conform to amendments to the SSL which require social services districts to expand the countable work activities available to safety net assistance participants without children to include community service programs, the provision of child care services to an individual participating in community service and time limited vocational education training, job search and job readiness assistance.*

Revise SNAP employment and training regulations to conform to federal regulations.*

Modify provisions that permit foster care parents and individuals who are caring for a disabled household member to be deemed as community service participants to only apply to public assistance households without dependent children. Federal regulations do not permit an individual who is caring for a disabled family member or a foster parent to be reported as participating in community service or any other work activity reported toward the federal work participation rate.

Part 387 – Supplemental Nutrition Assistance Program

Amend regulations to make technical updates to Part 387.

Revise regulations to raise the level of the minimum annual Home Energy Assistance Program (HEAP) or other energy assistance benefit required to confer eligibility for the SNAP Heating and Cooling Standard Utility Allowance (HCSUA) from \$1.00 to \$21.00.*

Update regulations to reflect the current policy that standard allowances for heating/air conditioning, utility and telephone costs are used in calculating shelter expenses for SNAP.*

Update regulations to reflect the current policy that households in shared living arrangements are entitled to the full applicable level of the standard utility allowance.*

Revise SNAP regulations concerning the special definition of the “head of the household.”*

Delete SNAP monthly reporting/retrospective budgeting references and add provisions for change reporting.*

Conform regulations concerning in-office interviews for SNAP applicants to federal requirements.*

Establish guidelines to conform to the terms of new federal combined application project waiver.*

Generally update SNAP regulations to conform to changes in federal regulations and law.*

Part 393 – Home Energy Assistance Program (HEAP)

Amend HEAP regulations to reflect current practices and the provisions of the federally accepted HEAP State Plan, including the confidentiality requirements contained therein.*

Amend regulations to articulate rules for protection of HEAP data and data sharing.

Part 398 – Supplemental Security Income (SSI) Additional State Payments

Amend regulations to clarify certain aspects of the State Supplement Program, among them: who is eligible to participate in the State Supplement Program (SSP) based on the initial eligibility determination transmitted from the Social Security Administration to New York State via the state data exchange process; that neither SSP nor State Supplemental Personal Needs Allowance benefits will be issued once a participant’s death has been verified; and that New York State has the right to operate the SSP under State rules.

Part 800 - Homeless Housing and Assistance Program (HHAP)

Amend regulations regarding the conflict of interest rules for HHAP to address all ownership entities involved in HHAP projects. Revise and update certain definitions and terminology, clarify funding processes, and provide for the role of the Homeless Housing and Assistance Corporation Board as established in Private Housing Finance Law § 45-c.

It is not anticipated that small business regulation guides will need to be developed for the proposals set forth in this agenda.

* The asterisks identify rules for which a regulatory flexibility analysis or a rural area flexibility analysis may be required.

At this time, OTDA cannot specify the dates for publication in the New York State Register of the items listed above. OTDA would welcome comments related to this regulatory agenda at the address listed below, and each published Notice of Proposed Rule Making will provide a public comment period and a contact person to whom comments may be sent.

Any questions, comments, or requests for information concerning the items listed in this agenda may be referred to Richard P. Rhodes, Jr., Associate Attorney, New York State Office of Temporary and Disability Assistance, 40 North Pearl Street 16C, Albany, New York 12243. Telephone: (518) 486-7503. E-mail: richard.rhodesjr@otda.ny.gov. The regulatory agenda may be accessed on OTDA’s website at <http://otda.ny.gov/policy/regulatory-agenda/>

RULE REVIEW

State Commission of Correction

Five Year Review of Rules Adopted by the
State Commission of Correction in
Calendar Years 2000, 2005, 2010 and 2015
Required to be Reviewed in
Calendar Year 2020

As required by Chapter 262 of the Laws of 1996, the following is a list of rules which were adopted by the State Commission of Correction in calendar years 2000, 2005, and 2010 which must be reviewed in calendar year 2020. There were no rules that were adopted in 2015. Public comment on the continuation or modification of these rules is invited. Comments should be received by April 1, 2020. Comments may be directed to: Brielle Christian, Senior Attorney A.E. Smith State Office Building, 80 S. Swan Street, 12th Floor, Albany, New York 12210-8001.

CMC-37-00-00022 Amendment of sections 7005.2, 7005.3 and 7009.6 of Title 9 NYCRR.

Analysis of the need for the rule: The rule is needed to provide for better management of inmates in special housing units of local correctional facilities with regard to showers, shaving and food services.

Legal basis for the rule: Correction Law section 45(6).

CMC-45-99-0009 Consensus rule making to section 7010.6 of Title 9 NYCRR.

Analysis of the need for the rule: The rule is needed to designate the person to whom reports should be submitted.

Legal basis for the rule: Correction Law section 45(6) and section 500-k.

CMC-29-05-00006 Amendment of sections 7002.9(a)(e), 7013/6(a)(e) and 7041.2(a)(8) of Title 9 NYCRR.

Analysis of the need for the rule: The rule is needed to change all regulatory reference from inmate "recreation" to "exercise."

Legal basis for the rule: Correction Law section 45(6) and (16).

CMC-29-05-00008 Amendment of sections 7022.5(c), 7200.2(a), 7200.3, 7200.6(b), 7202.4(a), 7202.6 and 7202.11(a) of Title 9 NYCRR.

Analysis of the need for the rule: The rule is needed to amend the Commission of Correction's listed address.

Legal basis for the rule: Correction Law section 45(6) and (16).

CMC-29-05-00005 Amendment of sections 7039.3, 7602.3, 7602.5, 7602.7 and 7602.8 of Title 9 NYCRR.

Analysis of the need for the rule: The rule is needed to remove specific references to repealed New York State Fire Prevention and Building Codes.

Legal basis for the rule: Correction Law section 45(6) and (16).

CMC-29-05-00007 Amendment of section 7503.1(b) of Title 9 NYCRR.

Analysis of the need for the rule: The rule is needed to remove a reference to a repealed provision of the New York State Penal Law.

Legal basis for the rule: Correction Law section 45(6) and (16).

CMC-41-10-00004-P Amendment of sections 7003.5 and 7003.6 of Title 9 NYCRR.

Analysis of the need for the rule: The rule is needed to remove the requirement of overlapping officer shifts in conducting prisoner population counts.

Legal basis for the rule: Correction Law section 45(6) and (15).

ADVERTISEMENTS FOR BIDDERS/CONTRACTORS

SEALED BIDS

REPLACE COOLING TOWER Holland Avenue Office Building Albany, Albany County

Sealed bids for Project Nos. Q1794-E and Q1794-H, comprising separate contracts for Electrical Work and HVAC Work, Replace Cooling Tower, Holland Avenue Office Building, 44 Holland Avenue, Albany (Albany County), NY, will be received by the Office of General Services (OGS), Design & Construction Group (D&C), Division of Contract Management, 35th Fl., Corning Tower, Empire State Plaza, Albany, NY 12242, on behalf of the Office of General Services, until 2:00 p.m. on Wednesday, January 29, 2020 when they will be publicly opened and read. Each bid must be prepared and submitted in accordance with the Instructions to Bidders and must be accompanied by a certified check, bank check, or bid bond in the amount of \$4,600 for E, and \$22,600 for H.

All successful bidders will be required to furnish a Performance Bond and a Labor and Material Bond in the statutory form of public bonds required by Sections 136 and 137 of the State Finance Law, each for 100% of the amount of the Contract estimated to be between \$50,000 and \$100,000 for E, and between \$500,000 and \$1,000,000 for H.

Pursuant to State Finance Law §§ 139-j and 139-k, this solicitation includes and imposes certain restrictions on communications between OGS D&C and a bidder during the procurement process. A bidder is restricted from making contacts from the earliest posting, on the OGS website, in a newspaper of general circulation, or in the Contract Reporter of written notice, advertisement or solicitation of offers through final award and approval of the contract by OGS D&C and the Office of the State Comptroller ("Restricted Period") to other than designated staff unless it is a contact that is included among certain statutory exceptions set forth in State Finance Law § 139-j(3)(a). Designated staff are Jessica Hoffman, Carl Ruppert and Pierre Alric in the Division of Contract Management, telephone (518) 474-0203, fax (518) 473-7862 and John Lewyckyj, Deputy Director, Design & Construction Group, telephone (518) 474-0201, fax (518) 486-1650. OGS D&C employees are also required to obtain certain information when contacted during the restricted period and make a determination of the responsibility of the bidder pursuant to these two statutes. Certain findings of non-responsibility can result in rejection for contract award and in the event of two findings within a four-year period, the bidder is debarred from obtaining governmental Procurement Contracts. Bidders responding to this Advertisement must familiarize themselves with the State Finance Law requirements and will be expected to affirm that they understand and agree to comply on the bid form. Further information about these requirements can be found within the project manual or at: <http://www.ogs.ny.gov/aboutOGS/regulations/defaultAdvisoryCouncil.html>

As a condition of award, within 48 hours of receipt of the proposed Contract Agreement from the State, the low bidder shall return the Contract Agreement to the State, properly executed, along with the Bonds if required by said Agreement. Low bidders who cannot meet these provisions may be subject to disqualification and forfeiture of the bid security.

The State intends to expedite award of this Contract and the Contractor shall be prepared to proceed with the Work accordingly. Bidders are warned that time is of the essence of the Contract and substantial completion of the Work must be within 100 days after the Agreement is approved by the Comptroller. Due to the tightness of the construction schedule, bidders should consider the necessity for an increased work force and shift operations.

The only time prospective bidders will be allowed to visit the job site to take field measurements and examine existing conditions of the project area will be at 10:30 a.m. on January 17, 2020 at the Holland Avenue Office Building, 44 Holland Avenue, Albany, NY. Prospective bidders are urged to visit the site at this time. Prospective bidders or their representatives attending the pre-bid site visit will not be admitted on facility grounds without proper photo identification. Note that parking restrictions and security provisions will apply and all vehicles will be subject to search.

Phone the office of Tyson Gamache (518-485-8749) a minimum of 48 hours in advance of the date to provide the names of those who will attend the pre-bid site visit.

Pursuant to New York State Executive Law Article 15-A and the rules and regulations promulgated thereunder, OGS is required to promote opportunities for the maximum feasible participation of New York State-certified Minority- and Women-owned Business Enterprises ("MWBEs") and the employment of minority group members and women in the performance of OGS contracts. All bidders are expected to cooperate in implementing this policy. OGS hereby establishes an overall goal of 30% for MWBE participation, 15% for Minority-Owned Business Enterprises ("MBE") participation and 15% for Women-Owned Business Enterprises ("WBE") participation (based on the current availability of qualified MBEs and WBEs) for the H Trade. The total contract goal can be obtained by utilizing any combination of MBE and /or WBE participation for subcontracting and supplies acquired under this Contract.

The Office of General Services reserves the right to reject any or all bids.

The Bidding and Contract Documents for this Project are available on compact disc (CD) only, and may be obtained for an \$8.00 deposit per set, plus a \$2.00 per set shipping and handling fee. Contractors and other interested parties can order CD's on-line through a secure web interface available 24 hours a day, 7 days a week. Please use the following link at the OGS website for ordering and payment instructions: <https://online.ogs.ny.gov/dnc/contractorConsultant/esb/ESBPlansAvailableIndex.asp>

For questions about purchase of bid documents, please send an e-mail to DCPlans@ogs.ny.gov, or call (518) 474-0203.

For additional information on this project, please use the link below and then click on the project number: <https://online.ogs.ny.gov/dnc/contractorConsultant/esb/ESBPlansAvailableIndex.asp>

By *John D. Lewyckyj*, Deputy Director
OGS - Design & Construction Group

**REPLACE
HEATING SYSTEM/WINDOWS
Fishkill Correctional Facility
Beacon, Dutchess County**

Sealed bids for Project Nos. 44701-C, 44701-H and 44701-E, comprising separate contracts for Construction Work, HVAC Work, and Electrical Work, Replace Heating System and Windows, Inmate Housing, Building 21-2, Fishkill Correctional Facility, 18 Strack Dr, Beacon (Dutchess County), NY, will be received by the Office of General Services (OGS), Design & Construction Group (D&C), Division of Contract Management, 35th Fl., Corning Tower, Empire State Plaza, Albany, NY 12242, on behalf of the Department of Correctional Services, until 2:00 p.m. on Wednesday, January 29, 2020 when they will be publicly opened and read. Each bid must be prepared and submitted in accordance with the Instructions to Bidders and must be accompanied by a certified check, bank check, or bid bond in the amount of \$442,000 for C, \$138,200 for H, and \$22,600 for E.

All successful bidders will be required to furnish a Performance Bond and a Labor and Material Bond in the statutory form of public bonds required by Sections 136 and 137 of the State Finance Law, each for 100% of the amount of the Contract estimated to be between \$15,000,000 and \$20,000,000 for C, between \$5,000,000 and \$6,000,000 for H, and between \$250,000 and \$500,000 for E.

Pursuant to State Finance Law §§ 139-j and 139-k, this solicitation includes and imposes certain restrictions on communications between OGS D&C and a bidder during the procurement process. A bidder is restricted from making contacts from the earliest posting, on the OGS website, in a newspaper of general circulation, or in the Contract Reporter of written notice, advertisement or solicitation of offers through final award and approval of the contract by OGS D&C and the Office of the State Comptroller ("Restricted Period") to other than designated staff unless it is a contact that is included among certain statutory exceptions set forth in State Finance Law § 139-j(3)(a). Designated staff are Jessica Hoffman, Carl Ruppert and Pierre Alric in the Division of Contract Management, telephone (518) 474-0203, fax (518) 473-7862 and John Lewyckyj, Deputy Director, Design & Construction Group, telephone (518) 474-0201, fax (518) 486-1650. OGS D&C employees are also required to obtain certain information when contacted during the restricted period and make a determination of the responsibility of the bidder pursuant to these two statutes. Certain findings of non-responsibility can result in rejection for contract award and in the event of two findings within a four-year period, the bidder is debarred from obtaining governmental Procurement Contracts. Bidders responding to this Advertisement must familiarize themselves with the State Finance Law requirements and will be expected to affirm that they understand and agree to comply on the bid form. Further information about these requirements can be found within the project manual or at: <http://www.ogs.ny.gov/aboutOGS/regulations/defaultAdvisoryCouncil.html>

The substantial completion date for this project is 1053 days after the Agreement is approved by the Comptroller.

The only time prospective bidders will be allowed to visit the job site to take field measurements and examine existing conditions of the project area will be at 9:00 a.m. on January 17th, 2020 at the OGS Field Office, 17 Duck Pond Drive, Beacon NY. Prospective bidders are urged to visit the site at this time. Prospective bidders or their representatives attending the pre-bid site visit will not be admitted on facility grounds without proper photo identification. Note that parking restrictions and security provisions will apply and all vehicles will be subject to search.

Phone the office of Tauljant (Lanti) Osmani (845-765-7123) a minimum of 72 hours in advance of the date to provide the names of those who will attend the pre-bid site visit.

Pursuant to New York State Executive Law Article 15-A and the rules and regulations promulgated thereunder, OGS is required to promote opportunities for the maximum feasible participation of New York State-certified Minority- and Women-owned Business Enterprises ("MWBEs") and the employment of minority group members and women in the performance of OGS contracts. All bidders are

expected to cooperate in implementing this policy. OGS hereby establishes an overall goal of 30% for MWBE participation, 15% for Minority-Owned Business Enterprises ("MBE") participation and 15% for Women-Owned Business Enterprises ("WBE") participation (based on the current availability of qualified MBEs and WBEs) for Construction Work and an overall goal of 20% for MWBE participation, 10% for Minority-Owned Business Enterprises ("MBE") participation and 10% for Women-Owned Business Enterprises ("WBE") participation (based on the current availability of qualified MBEs and WBEs) for HVAC Work and an overall goal of 10% for MWBE participation, 5% for Minority-Owned Business Enterprises ("MBE") participation and 5% for Women-Owned Business Enterprises ("WBE") participation (based on the current availability of qualified MBEs and WBEs) for Electrical Work. The total contract goal can be obtained by utilizing any combination of MBE and /or WBE participation for subcontracting and supplies acquired under this Contract.

The Office of General Services reserves the right to reject any or all bids.

The Bidding and Contract Documents for this Project are available on compact disc (CD) only, and may be obtained for an \$8.00 deposit per set, plus a \$2.00 per set shipping and handling fee. Contractors and other interested parties can order CD's on-line through a secure web interface available 24 hours a day, 7 days a week. Please use the following link at the OGS website for ordering and payment instructions: <https://online.ogs.ny.gov/dnc/contractorConsultant/esb/ESBPlansAvailableIndex.asp>

For questions about purchase of bid documents, please send an e-mail to DCPlans@ogs.ny.gov, or call (518) 474-0203.

For additional information on this project, please use the link below and then click on the project number: <https://online.ogs.ny.gov/dnc/contractorConsultant/esb/ESBPlansAvailableIndex.asp>

*By John D. Lewyckyj, Deputy Director
OGS - Design & Construction Group*

**CONSTRUCT
WASTEWATER TREATMENT PLANT
Green Haven Correctional Facility
Stormville, Dutchess County**

Sealed bids for Project Nos. 45429-C, 45429-H, 45429-P, and 45429-E, comprising separate contracts for Construction Work, HVAC Work, Plumbing Work, and Electrical Work, Construct Wastewater Treatment Plant, Green Haven Correctional Facility, Route 216, Stormville (Dutchess County), NY, will be received by the Office of General Services (OGS), Design & Construction Group (D&C), Division of Contract Management, 35th Fl., Corning Tower, Empire State Plaza, Albany, NY 12242, on behalf of the Department of Correctional Services, until 2:00 p.m. on Wednesday, January 29, 2020 when they will be publicly opened and read. Each bid must be prepared and submitted in accordance with the Instructions to Bidders and must be accompanied by a certified check, bank check, or bid bond in the amount of \$264,100 for C, \$21,000 for H, \$16,500 for P, and \$67,400 for E.

All successful bidders will be required to furnish a Performance Bond and a Labor and Material Bond in the statutory form of public bonds required by Sections 136 and 137 of the State Finance Law, each for 100% of the amount of the Contract estimated to be between \$10,000,000 and \$15,000,000 for C, between \$250,000 and \$500,000 for H, between \$100,000 and \$250,000 for P, and between \$1,000,000 and \$2,000,000 for E.

Pursuant to State Finance Law §§ 139-j and 139-k, this solicitation includes and imposes certain restrictions on communications between OGS D&C and a bidder during the procurement process. A bidder is restricted from making contacts from the earliest posting, on the OGS website, in a newspaper of general circulation, or in the Contract Reporter of written notice, advertisement or solicitation of offers through final award and approval of the contract by OGS D&C and the Office of the State Comptroller ("Restricted Period") to other than

designated staff unless it is a contact that is included among certain statutory exceptions set forth in State Finance Law § 139-j(3)(a). Designated staff are Jessica Hoffman, Carl Ruppert and Pierre Alric in the Division of Contract Management, telephone (518) 474-0203, fax (518) 473-7862 and John Lewyckyj, Deputy Director, Design & Construction Group, telephone (518) 474-0201, fax (518) 486-1650. OGS D&C employees are also required to obtain certain information when contacted during the restricted period and make a determination of the responsibility of the bidder pursuant to these two statutes. Certain findings of non-responsibility can result in rejection for contract award and in the event of two findings within a four-year period, the bidder is debarred from obtaining governmental Procurement Contracts. Bidders responding to this Advertisement must familiarize themselves with the State Finance Law requirements and will be expected to affirm that they understand and agree to comply on the bid form. Further information about these requirements can be found within the project manual or at: <http://www.ogs.ny.gov/aboutOGS/regulations/defaultAdvisoryCouncil.html>

The substantial completion date for this project is 559 days after the Agreement is approved by the Comptroller.

The only time prospective bidders will be allowed to visit the job site to take field measurements and examine existing conditions of the project area will be at 10:00 a.m. on January 21st, 2020 at the OGS Field Office at green Haven Correctional Facility, Rt. 216, Stormville, NY. Prospective bidders are urged to visit the site at this time. Prospective bidders or their representatives attending the pre-bid site visit will not be admitted on facility grounds without proper photo identification. Note that parking restrictions and security provisions will apply and all vehicles will be subject to search.

Phone the office of Shannon Landolfi (845-431-5935) a minimum of 72 hours in advance of the date to provide the names of those who will attend the pre-bid site visit.

Pursuant to New York State Executive Law Article 15-A and the rules and regulations promulgated thereunder, OGS is required to promote opportunities for the maximum feasible participation of New York State-certified Minority- and Women-owned Business Enterprises ("MWBEs") and the employment of minority group members and women in the performance of OGS contracts. All bidders are expected to cooperate in implementing this policy. OGS hereby establishes an overall goal of 30% for MWBE participation, 15% for Minority-Owned Business Enterprises ("MBE") participation and 15% for Women-Owned Business Enterprises ("WBE") participation (based on the current availability of qualified MBEs and WBEs) for Construction Work, an overall goal of 20% for MWBE participation, 10% for Minority-Owned Business Enterprises ("MBE") participation and 10% for Women-Owned Business Enterprises ("WBE") participation (based on the current availability of qualified MBEs and WBEs) for Electrical Work, and an overall goal of 10% for MWBE participation, 5% for Minority-Owned Business Enterprises ("MBE") participation and 5% for Women-Owned Business Enterprises ("WBE") participation (based on the current availability of qualified MBEs and WBEs) for HVAC and Plumbing Work. The total contract goal can be obtained by utilizing any combination of MBE and/or WBE participation for subcontracting and supplies acquired under this Contract.

The Office of General Services reserves the right to reject any or all bids.

The Bidding and Contract Documents for this Project are available on compact disc (CD) only, and may be obtained for an \$8.00 deposit per set, plus a \$2.00 per set shipping and handling fee. Contractors and other interested parties can order CD's on-line through a secure web interface available 24 hours a day, 7 days a week. Please use the following link at the OGS website for ordering and payment instructions: <https://online.ogs.ny.gov/dnc/contractorConsultant/esb/ESBPlansAvailableIndex.asp>

For questions about purchase of bid documents, please send an e-mail to DCPlans@ogs.ny.gov, or call (518) 474-0203.

For additional information on this project, please use the link below and then click on the project number: <https://online.ogs.ny.gov/dnc/contractorConsultant/esb/ESBPlansAvailableIndex.asp>

By *John D. Lewyckyj*, Deputy Director
OGS - Design & Construction Group

REPLACE PRIMARY HOT WATER PUMP SYSTEM POWERHOUSE Mid-State Correctional Facility Marcy, Oneida County

Sealed bids for Project Nos. 45711-H and 45711-E, comprising separate contracts for HVAC Work, and Electrical Work, Replace Primary Hot Water Pump System Powerhouse, Building No. 17, Mid-State Correctional Facility, 9005 Old River Road, Marcy (Oneida County), NY, will be received by the Office of General Services (OGS), Design & Construction Group (D&C), Division of Contract Management, 35th Fl., Corning Tower, Empire State Plaza, Albany, NY 12242, on behalf of the Department of Correctional Services, until 2:00 p.m. on Wednesday, January 29, 2020, when they will be publicly opened and read. Each bid must be prepared and submitted in accordance with the Instructions to Bidders and must be accompanied by a certified check, bank check, or bid bond in the amount of \$7,200 for E and \$78,100 for H.

All successful bidders will be required to furnish a Performance Bond and a Labor and Material Bond in the statutory form of public bonds required by Sections 136 and 137 of the State Finance Law, each for 100% of the amount of the Contract estimated to be between \$50,000 and \$100,000 for E, and between \$2,000,000 and \$3,000,000 for H.

Pursuant to State Finance Law §§ 139-j and 139-k, this solicitation includes and imposes certain restrictions on communications between OGS D&C and a bidder during the procurement process. A bidder is restricted from making contacts from the earliest posting, on the OGS website, in a newspaper of general circulation, or in the Contract Reporter of written notice, advertisement or solicitation of offers through final award and approval of the contract by OGS D&C and the Office of the State Comptroller ("Restricted Period") to other than designated staff unless it is a contact that is included among certain statutory exceptions set forth in State Finance Law § 139-j(3)(a). Designated staff are Jessica Hoffman, Carl Ruppert and Pierre Alric in the Division of Contract Management, telephone (518) 474-0203, fax (518) 473-7862 and John Lewyckyj, Deputy Director, Design & Construction Group, telephone (518) 474-0201, fax (518) 486-1650. OGS D&C employees are also required to obtain certain information when contacted during the restricted period and make a determination of the responsibility of the bidder pursuant to these two statutes. Certain findings of non-responsibility can result in rejection for contract award and in the event of two findings within a four-year period, the bidder is debarred from obtaining governmental Procurement Contracts. Bidders responding to this Advertisement must familiarize themselves with the State Finance Law requirements and will be expected to affirm that they understand and agree to comply on the bid form. Further information about these requirements can be found within the project manual or at: <http://www.ogs.ny.gov/aboutOGS/regulations/defaultAdvisoryCouncil.html>

The substantial completion date for this project is 485 days after the Agreement is approved by the Comptroller.

The only time prospective bidders will be allowed to visit the job site to take field measurements and examine existing conditions of the project area will be at 10:00 a.m. on January 17, 2020 at Marcy Field Office 6325 State Route 291 Marcy, NY. Prospective bidders are urged to visit the site at this time. Prospective bidders or their representatives attending the pre-bid site visit will not be admitted on facility grounds without proper photo identification. Note that parking restrictions and security provisions will apply and all vehicles will be subject to search.

Phone the office of Brendan Ford (315-736-5770) a minimum of 72 hours in advance of the date to provide the names of those who will attend the pre-bid site visit.

Pursuant to New York State Executive Law Article 15-A and the rules and regulations promulgated thereunder, OGS is required to promote opportunities for the maximum feasible participation of New York State-certified Minority- and Women-owned Business Enterprises ("MWBEs") and the employment of minority group members and women in the performance of OGS contracts. All bidders are expected to cooperate in implementing this policy. OGS hereby establishes an overall goal of 30% for MWBE participation, 15% for Minority-Owned Business Enterprises ("MBE") participation and 15% for Women-Owned Business Enterprises ("WBE") participation (based on the current availability of qualified MBEs and WBEs) for HVAC Work. The total contract goal can be obtained by utilizing any combination of MBE and /or WBE participation for subcontracting and supplies acquired under this Contract.

The Office of General Services reserves the right to reject any or all bids.

The Bidding and Contract Documents for this Project are available on compact disc (CD) only, and may be obtained for an \$8.00 deposit per set, plus a \$2.00 per set shipping and handling fee. Contractors and other interested parties can order CD's on-line through a secure web interface available 24 hours a day, 7 days a week. Please use the following link at the OGS website for ordering and payment instructions: <http://www.ogs.ny.gov/bu/dc/esb/acquirebid.asp>

For questions about purchase of bid documents, please send an e-mail to DCPlans@ogs.ny.gov, or call (518) 474-0203.

For additional information on this project, please use the link below and then click on the project number: <https://online.ogs.ny.gov/dnc/contractorConsultant/esb/ESBPlansAvailableIndex.asp>

By *John D. Lewyckyj, Deputy Director*
OGS - Design & Construction Group

NOTICE OF AVAILABILITY OF STATE AND FEDERAL FUNDS

Division of Homeland Security and Emergency Services

1220 Washington Ave.
State Office Bldg. Campus, Bldg. 7A
Albany, NY 12242

NONPUBLIC NONPROFIT SCHOOLS, NONPROFIT DAY CARE
CENTERS, NONPROFIT COMMUNITY CENTERS, NONPROFIT
CULTURAL MUSEUMS AND NONPROFIT RESIDENTIAL
CAMPS

State Fiscal Year 2019-2020 Securing Communities Against Hate Crimes (SCAHC)

Description:

Governor Andrew M. Cuomo is committed to ensuring the safety and equal treatment of all New Yorkers and as such has continued support of the Securing Communities Against Hate Crimes Program. This program is designed to boost safety and security at New York's nonprofit organizations at risk of hate crimes or attacks because of their ideology, beliefs, or mission. In support of this effort, \$25 million has been made available through this competitive grant program to eligible nonpublic nonprofit schools, nonprofit day care centers, nonprofit community centers, nonprofit cultural museums and nonprofit residential camps as described under section 501(c)(3) of the Internal Revenue Code of 1986 and exempt from tax under section 501(a) of such Code which demonstrate a risk of a hate crime due to their ideology, beliefs or mission. Applications will be accepted for up to \$50,000 per facility. Eligible organizations may submit up to five applications for a maximum total request of \$250,000.

Applications will be accepted until February 27, 2020 at 5:00 p.m. through the New York State Division of Homeland Security and Emergency Services' (DHSES) electronic grants management system (E-Grants).

The RFA and other required documents can be found at: <http://www.dhSES.ny.gov/grants/nonprofit/hate-crimes.cfm>

program to eligible nonpublic nonprofit schools, and nonprofit day camps as described under section 501(c)(3) of the Internal Revenue Code of 1986 and exempt from tax under section 501(a) of such Code which demonstrate a risk of a hate crime due to their ideology, beliefs or mission. This program includes a cost match requirement. The applicant must coordinate and partner with local governments (e.g. counties, towns, villages, cities, etc.) to meet the cost match requirement. All applications must have a 3:1 ratio of State to local funding for total project costs. Cost match contributions may be in-kind contributions, cash contributions or a combination of both.

Applications will be accepted for up to \$50,000 per facility (with a local cost match per application). Eligible organizations may submit up to five applications for a maximum total request of \$250,000 (including local cost match).

Applications will be accepted until February 27, 2020 at 5:00 p.m. through the New York State Division of Homeland Security and Emergency Services' (DHSES) electronic grants management system (E-Grants).

The RFA and other required documents can be found at: <http://www.dhSES.ny.gov/grants/nonprofit/hate-crimes.cfm>

Division of Homeland Security and Emergency Services

1220 Washington Ave.
State Office Bldg. Campus, Bldg. 7A
Albany, NY 12242

NONPUBLIC NONPROFIT SCHOOLS AND NONPROFIT DAY
CAMPS

State Fiscal Year 2019-20 Securing Communities Against Hate Crimes with Local Matching Funds (SCAHC Match Program)

Description:

Governor Andrew M. Cuomo is committed to ensuring the safety and equal treatment of all New Yorkers and as such has continued support of the Securing Communities Against Hate Crimes Program. This program is designed to boost safety and security at New York's nonprofit organizations at risk of hate crimes or attacks because of their ideology, beliefs, or mission. In support of this effort, \$20 million in grant funding has been made available through this competitive grant

MISCELLANEOUS NOTICES/HEARINGS

Notice of Abandoned Property Received by the State Comptroller

Pursuant to provisions of the Abandoned Property Law and related laws, the Office of the State Comptroller receives unclaimed monies and other property deemed abandoned. A list of the names and last known addresses of the entitled owners of this abandoned property is maintained by the office in accordance with Section 1401 of the Abandoned Property Law. Interested parties may inquire if they appear on the Abandoned Property Listing by contacting the Office of Unclaimed Funds, Monday through Friday from 8:00 a.m. to 4:30 p.m., at:

1-800-221-9311
or visit our web site at:
www.osc.state.ny.us

Claims for abandoned property must be filed with the New York State Comptroller's Office of Unclaimed Funds as provided in Section 1406 of the Abandoned Property Law. For further information contact: Office of the State Comptroller, Office of Unclaimed Funds, 110 State St., Albany, NY 12236.

NOTICE OF ANNULMENT OF DISSOLUTION OF CERTAIN BUSINESS CORPORATIONS

Under the Provisions of Section 203-a of the Tax Law, As Amended
The Secretary of State hereby provides notice that the following corporations, which were duly dissolved in the manner prescribed by Section 203-a of the Tax Law, have complied with the provisions of subdivision (7) of Section 203-a of the Tax Law, annulling all of the proceedings theretofore taken for the dissolution of each such corporation. The appropriate entries have been made on the records of the Department of State.

COUNTY: BRONX

ENTITY NAME: ANTHONY AVE. CORP.
REINSTATE: 10/30/19
DIS BY PROC: 06/26/02

ENTITY NAME: CASTILLO IRON WORKS, INC.
REINSTATE: 11/21/19
DIS BY PROC: 06/29/16

ENTITY NAME: CPB TRAVEL, INC.
REINSTATE: 12/20/19
DIS BY PROC: 06/29/16

ENTITY NAME: H&D DISTRIBUTORS, INC.
REINSTATE: 12/04/19
DIS BY PROC: 10/26/16

ENTITY NAME: HAGGAR, INC.
REINSTATE: 10/29/19
DIS BY PROC: 10/26/11

ENTITY NAME: HIGH ENERGY INC.
REINSTATE: 10/08/19
DIS BY PROC: 06/29/16

ENTITY NAME: L.G. BEST CONSTRUCTION CORP.
REINSTATE: 11/01/19
DIS BY PROC: 10/26/16

ENTITY NAME: RIVERAS AUTO DRIVING INC.
REINSTATE: 11/12/19
DIS BY PROC: 08/31/16

ENTITY NAME: SALLY AUTO MALL INC.
REINSTATE: 11/21/19
DIS BY PROC: 08/31/16

ENTITY NAME: SIGNATURE CHROME LTD.
REINSTATE: 10/17/19
DIS BY PROC: 10/26/16

ENTITY NAME: TELEMUNDO DRIVING SCHOOL, INC.
REINSTATE: 10/15/19
DIS BY PROC: 08/31/16

ENTITY NAME: 1 2 3 CONSTRUCTION CORP
REINSTATE: 12/18/19
DIS BY PROC: 10/26/16

ENTITY NAME: 1900 JEROME AVE. SPORTS BAR INC.
REINSTATE: 12/16/19
DIS BY PROC: 10/26/11

ENTITY NAME: 3111 BROADWAY REALTY CORP.
REINSTATE: 12/04/19
DIS BY PROC: 01/25/12

ENTITY NAME: 592 TRINITY REALTY INC.
REINSTATE: 10/09/19
DIS BY PROC: 12/29/82

COUNTY: DUTCHESS

ENTITY NAME: EUGENE MICHAEL ENTERPRISES, INC.
REINSTATE: 11/25/19
DIS BY PROC: 08/31/16

COUNTY: ERIE

ENTITY NAME: FERNHILL REALTY CORP.
REINSTATE: 11/18/19
DIS BY PROC: 08/31/16

ENTITY NAME: NORTHERN TRADE GROUP INC.
REINSTATE: 12/16/19
DIS BY PROC: 08/31/16

ENTITY NAME: PAID ENTERTAINMENT, INC.
REINSTATE: 10/15/19
DIS BY PROC: 10/26/16

COUNTY: FRANKLIN

ENTITY NAME: GRAY FUELS INC.
REINSTATE: 12/26/19
DIS BY PROC: 06/29/16

COUNTY: KINGS

ENTITY NAME: A & H TECHNOLOGY GROUP INC.
REINSTATE: 11/27/19
DIS BY PROC: 10/26/16

ENTITY NAME: ABAK CONSTRUCTION & RESTORATION CORP.
REINSTATE: 10/07/19
DIS BY PROC: 08/31/16

ENTITY NAME: AISH MECHANICAL CORP.
REINSTATE: 11/15/19
DIS BY PROC: 08/31/16

ENTITY NAME: AUBREY PHILLIBERT ESTATES, INC.
REINSTATE: 12/31/19
DIS BY PROC: 06/24/92

ENTITY NAME: BEIS YIDA & D INC.
REINSTATE: 12/17/19
DIS BY PROC: 01/27/10

ENTITY NAME: BLAUVELT 63-103 CORP.
REINSTATE: 11/26/19
DIS BY PROC: 08/31/16

ENTITY NAME: BRYNA COURT CORP.
REINSTATE: 12/09/19
DIS BY PROC: 06/25/97

ENTITY NAME: DEPENDABLE INDUSTRIAL SUPPLY COMPANY, INC.
REINSTATE: 10/03/19
DIS BY PROC: 10/26/16

ENTITY NAME: DNEPR INC
REINSTATE: 11/18/19
DIS BY PROC: 10/26/16

ENTITY NAME: DOSIK HEMATOLOGY AND ONCOLOGY, P.C.
REINSTATE: 10/01/19
DIS BY PROC: 08/31/16

ENTITY NAME: EL POTRERO MEXICAN RESTAURANT, INC.
REINSTATE: 10/22/19
DIS BY PROC: 09/24/97

ENTITY NAME: EVENTS ALIVE CORPORATION
REINSTATE: 10/09/19
DIS BY PROC: 08/31/16

ENTITY NAME: GENE SERVICES CORP.
REINSTATE: 10/02/19
DIS BY PROC: 08/31/16

ENTITY NAME: GRID GARDENS CORP.
REINSTATE: 12/11/19
DIS BY PROC: 10/26/11

ENTITY NAME: HIMALAYAN AYURVEDIC HERBAL INC.
REINSTATE: 11/01/19
DIS BY PROC: 08/31/16

ENTITY NAME: JOAQUIN & FAMILY, INC.
REINSTATE: 12/30/19
DIS BY PROC: 08/31/16

ENTITY NAME: JRK TRADING INC.
REINSTATE: 11/04/19
DIS BY PROC: 01/25/12

ENTITY NAME: MGR MECHANICAL INC.
REINSTATE: 10/18/19
DIS BY PROC: 08/31/16

ENTITY NAME: NEW EDITION GENERAL CONTRACTOR CORP.
REINSTATE: 12/31/19
DIS BY PROC: 04/25/12

ENTITY NAME: POLLACK'S GOURMET INC.
REINSTATE: 12/09/19
DIS BY PROC: 08/31/16

ENTITY NAME: POPULAR CONTRACTING, INC.
REINSTATE: 12/23/19
DIS BY PROC: 01/27/10

ENTITY NAME: QUEENS MANAGEMENT PLUS CORP.
REINSTATE: 12/27/19
DIS BY PROC: 08/31/16

ENTITY NAME: R&R COMMERCIAL SERVICES CORP.
REINSTATE: 12/17/19
DIS BY PROC: 08/31/16

ENTITY NAME: RADCOM ENTERPRISES, INC.
REINSTATE: 12/20/19
DIS BY PROC: 10/26/16

ENTITY NAME: REGA AND DODLY WINE INC.
REINSTATE: 11/22/19
DIS BY PROC: 08/31/16

ENTITY NAME: S.A.STEVE CORPORATION
REINSTATE: 12/23/19
DIS BY PROC: 04/27/11

ENTITY NAME: STAR STUDIO SUPPLY INC.
REINSTATE: 10/17/19
DIS BY PROC: 08/31/16

ENTITY NAME: STRATEGIC MEDICAL INITIATIVES P.C.
REINSTATE: 11/12/19
DIS BY PROC: 04/27/11

ENTITY NAME: SUNRISE SPA CENTER INC.
REINSTATE: 10/28/19
DIS BY PROC: 10/26/16

ENTITY NAME: VARELA TRAVEL AGENCY INC.
REINSTATE: 10/11/19
DIS BY PROC: 10/26/16

ENTITY NAME: 28-69 CRUZ HOLDING CORP.
REINSTATE: 11/06/19
DIS BY PROC: 01/26/11

ENTITY NAME: 3360 SHORE PARKWAY CONDOMINIUM INC.
REINSTATE: 11/14/19
DIS BY PROC: 08/31/16

ENTITY NAME: 76 BARTLETT ST REALTY INC.
REINSTATE: 11/22/19
DIS BY PROC: 01/26/11

ENTITY NAME: 7701 13TH AVENUE REALTY CORP.
REINSTATE: 11/19/19
DIS BY PROC: 06/29/16

ENTITY NAME: 93-95 SANFORD REALTY CORP.
REINSTATE: 11/25/19
DIS BY PROC: 01/26/11

COUNTY: LIVINGSTON

ENTITY NAME: ALOETTE KANISTEO VALLEY, INC.
REINSTATE: 10/22/19
DIS BY PROC: 12/29/04

COUNTY: MONROE

ENTITY NAME: MERCHANTS COMMERCIAL PROPERTIES, INC.
REINSTATE: 10/09/19
DIS BY PROC: 06/29/16

ENTITY NAME: TUSCARORA VILLAGE MANUFACTURED HOME SALES, INC.
REINSTATE: 10/15/19
DIS BY PROC: 10/26/11

ENTITY NAME: Y CAESAR ENTERPRISES, INC.
REINSTATE: 11/26/19
DIS BY PROC: 10/26/16

COUNTY: NASSAU

ENTITY NAME: ALL NATIONS DRIVING SCHOOL, INC.
REINSTATE: 12/04/19
DIS BY PROC: 10/26/11

ENTITY NAME: ARRIAZA HOME IMPROVEMENT CORP.
REINSTATE: 10/18/19
DIS BY PROC: 07/28/10

ENTITY NAME: BUILDING RESTORATION SERVICES, INC.
REINSTATE: 11/21/19
DIS BY PROC: 01/27/10

ENTITY NAME: D-VAC SALES INC.
REINSTATE: 10/30/19
DIS BY PROC: 10/26/16

ENTITY NAME: FIVE TWELVE RESTORATION, INC.
REINSTATE: 12/04/19
DIS BY PROC: 08/31/16

ENTITY NAME: JORGE E. CHERRES ARCHITECT, P.C.
REINSTATE: 12/09/19
DIS BY PROC: 10/26/16

ENTITY NAME: PATMAR ATHLETIC & RECREATIONAL SOLUTIONS CORP.
REINSTATE: 11/27/19
DIS BY PROC: 10/26/16

ENTITY NAME: PENA FOOD CENTER CORP.
REINSTATE: 12/20/19
DIS BY PROC: 08/31/16

ENTITY NAME: R & L WOODWORKING AND CABINETRY, INC.
REINSTATE: 12/09/19
DIS BY PROC: 01/26/11

ENTITY NAME: SAM'S BARBER SHOP USA, CORP.
REINSTATE: 10/07/19
DIS BY PROC: 06/29/16

ENTITY NAME: TOTAL SPEECH LANGUAGE PATHOLOGY SERVICES, P.C.
REINSTATE: 10/30/19
DIS BY PROC: 01/26/11

ENTITY NAME: TSOTSOS BROS. REST. INC.
REINSTATE: 11/25/19
DIS BY PROC: 01/25/12

ENTITY NAME: 2489 HEMPSTEAD TPKE. INC.
REINSTATE: 12/26/19
DIS BY PROC: 04/27/11

ENTITY NAME: 88-22 JAMAICA AVE. CORP.
REINSTATE: 11/08/19
DIS BY PROC: 01/25/12

COUNTY: NEW YORK

ENTITY NAME: AMAZONIA PROPERTIES LTD.
REINSTATE: 12/20/19
DIS BY PROC: 10/26/11

ENTITY NAME: ARISTON FLORIST INC.
REINSTATE: 12/17/19
DIS BY PROC: 04/27/11

ENTITY NAME: AUDUBON MANAGEMENT, INC.
REINSTATE: 10/07/19
DIS BY PROC: 10/26/16

ENTITY NAME: CUNDEL REALTY CO. INC.
REINSTATE: 12/05/19
DIS BY PROC: 10/26/16

ENTITY NAME: DELA REALTY CO., INC.
REINSTATE: 12/05/19
DIS BY PROC: 10/26/16

ENTITY NAME: DIRECT PRINT, INC.
REINSTATE: 10/30/19
DIS BY PROC: 08/31/16

ENTITY NAME: DMITRY KHASAK MD, P.C.
REINSTATE: 11/12/19
DIS BY PROC: 08/31/16

ENTITY NAME: EFFY 2008 REALTY CORP.
REINSTATE: 11/13/19
DIS BY PROC: 06/29/16

ENTITY NAME: FAUGHNAN FINANCIAL NETWORK INC.
REINSTATE: 12/20/19
DIS BY PROC: 01/26/11

ENTITY NAME: J-LAND NEW YORK, INC.
REINSTATE: 12/20/19
DIS BY PROC: 04/27/11

ENTITY NAME: JACKA 91ST STREET INC.
REINSTATE: 12/13/19
DIS BY PROC: 06/29/16

ENTITY NAME: JJ FLORISTS, LTD.
REINSTATE: 11/25/19
DIS BY PROC: 10/27/10

ENTITY NAME: KOREAN PRINT AD & SALES INC.
REINSTATE: 12/18/19
DIS BY PROC: 08/31/16

ENTITY NAME: MAZZARD FASHION INC.
REINSTATE: 10/22/19
DIS BY PROC: 04/27/11

ENTITY NAME: MOBILE COORDINATION INC
REINSTATE: 12/23/19
DIS BY PROC: 10/26/16

ENTITY NAME: NATHAN ROGAN ASSOCIATES INC.
REINSTATE: 11/25/19
DIS BY PROC: 08/31/16

ENTITY NAME: OBER INDUSTRIES INC.
REINSTATE: 10/08/19
DIS BY PROC: 06/26/02

ENTITY NAME: PLAYA DEL CARMEN REALTY, LTD.
REINSTATE: 10/22/19
DIS BY PROC: 06/29/16

ENTITY NAME: POLINJAY REALTY CORP.
REINSTATE: 11/06/19
DIS BY PROC: 01/28/09

ENTITY NAME: SADDLE GROUP CORPORATION
REINSTATE: 11/21/19
DIS BY PROC: 10/26/16

ENTITY NAME: SMARTWEB INC.
REINSTATE: 11/21/19
DIS BY PROC: 04/27/11

ENTITY NAME: ST. NICHOLAS HOUSE GP CORP.
REINSTATE: 12/27/19
DIS BY PROC: 10/27/10

ENTITY NAME: STEVEN A. SWIDLER, P.C.
REINSTATE: 11/29/19
DIS BY PROC: 04/29/09

ENTITY NAME: THE NJIA GROUP INC.
REINSTATE: 12/12/19
DIS BY PROC: 04/27/11

ENTITY NAME: THE PAVILION OWNERS CORP.
REINSTATE: 11/07/19
DIS BY PROC: 10/26/16

ENTITY NAME: VIA MAGNA CORP.
REINSTATE: 11/26/19
DIS BY PROC: 10/26/16

ENTITY NAME: WALTER'S BAR, INC.
REINSTATE: 10/22/19
DIS BY PROC: 06/29/16

ENTITY NAME: WILLIAM BEAVER 30B CORP.
REINSTATE: 12/30/19
DIS BY PROC: 01/25/12

ENTITY NAME: WINSOR TRADING INC.
REINSTATE: 12/13/19
DIS BY PROC: 06/29/16

ENTITY NAME: 101 WARREN WU CORP.
REINSTATE: 12/16/19
DIS BY PROC: 06/29/16

ENTITY NAME: 280: DESIGN HOUSE INC.
REINSTATE: 12/13/19
DIS BY PROC: 06/29/16

ENTITY NAME: 523 WEST 138TH STREET REALTY CO., INC.
REINSTATE: 12/05/19
DIS BY PROC: 10/26/16

ENTITY NAME: 610 PARK HOLDING INC.
REINSTATE: 12/27/19
DIS BY PROC: 08/31/16

COUNTY: NIAGARA

ENTITY NAME: MARGARET O'KEEFE D.D.S., P.C.
REINSTATE: 11/15/19
DIS BY PROC: 06/29/16

ENTITY NAME: PINEWAY CORPORATION
REINSTATE: 12/11/19
DIS BY PROC: 12/16/98

COUNTY: ONEIDA

ENTITY NAME: JEFFREY D. MCALLISTER LANDSCAPING CO., INC.
REINSTATE: 11/21/19
DIS BY PROC: 04/27/11

COUNTY: ONONDAGA

ENTITY NAME: H.A. CONSULTING & TRADING CORP.
REINSTATE: 10/22/19
DIS BY PROC: 10/26/16

COUNTY: ORANGE

ENTITY NAME: MAD JESTER WOODWORKS, INC.
REINSTATE: 10/21/19
DIS BY PROC: 06/29/16

ENTITY NAME: SIX MEZABISH 312 REALTY, CORP.
REINSTATE: 11/12/19
DIS BY PROC: 04/27/11

COUNTY: OSWEGO

ENTITY NAME: BRETT'S AUTOMOTIVE SERVICE INC.
REINSTATE: 11/26/19
DIS BY PROC: 04/27/11

COUNTY: PUTNAM

ENTITY NAME: DEPENDABLE FIELD SERVICES CORP.
REINSTATE: 10/30/19
DIS BY PROC: 06/29/16

COUNTY: QUEENS

ENTITY NAME: ADVANCE THERAPEUTIC CARE PHYSICAL THERAPY P.C.
REINSTATE: 12/30/19
DIS BY PROC: 08/31/16

ENTITY NAME: DE BEST, INC.
REINSTATE: 11/26/19
DIS BY PROC: 07/27/11

ENTITY NAME: ECUAPROMOCIONES CORP.
REINSTATE: 12/13/19
DIS BY PROC: 08/31/16

ENTITY NAME: F. D. L. DENTAL LABORATORIES, INC.
REINSTATE: 12/30/19
DIS BY PROC: 10/26/16

ENTITY NAME: FOREVER USA CONTRACTING INC.
REINSTATE: 11/26/19
DIS BY PROC: 08/31/16

ENTITY NAME: GLOBAL PROPERTY STRATEGIES, INC.
REINSTATE: 10/18/19
DIS BY PROC: 10/26/16

ENTITY NAME: IT'S BETTER PSB INC.
REINSTATE: 10/28/19
DIS BY PROC: 10/26/16

ENTITY NAME: JIMRAY R.E. CORP.
REINSTATE: 11/18/19
DIS BY PROC: 04/27/11

ENTITY NAME: MEGARIS ELECTRICAL CORP.
REINSTATE: 11/29/19
DIS BY PROC: 10/26/16

ENTITY NAME: PEAK MOUNTAIN BIKE PRO SHOP, INC.
REINSTATE: 11/29/19
DIS BY PROC: 07/29/09

ENTITY NAME: RCASCO PROPERTIES INC.
REINSTATE: 10/15/19
DIS BY PROC: 10/26/16

ENTITY NAME: SIPA CONTRACTING, INC.
REINSTATE: 10/15/19
DIS BY PROC: 08/31/16

ENTITY NAME: STAR CREST CORP.
REINSTATE: 10/02/19
DIS BY PROC: 08/31/16

ENTITY NAME: THE DOOR RESTAURANT CORP.
REINSTATE: 11/22/19
DIS BY PROC: 10/27/10

ENTITY NAME: UNITED REALTY QUEENS BLVD INCORPORATED
REINSTATE: 11/19/19
DIS BY PROC: 10/26/16

ENTITY NAME: 9211 ROOSEVELT AVE CORP.
REINSTATE: 10/25/19
DIS BY PROC: 08/31/16

COUNTY: RICHMOND

ENTITY NAME: LA' DORCH HOMECARE INC.
REINSTATE: 10/21/19
DIS BY PROC: 10/26/16

ENTITY NAME: YIORGOS RESTAURANT ENT. INC.
REINSTATE: 11/19/19
DIS BY PROC: 07/28/10

COUNTY: ROCKLAND

ENTITY NAME: BHATTI TRADERS INC.
REINSTATE: 12/10/19
DIS BY PROC: 10/28/09

ENTITY NAME: CORPORATE CREATIONS NEW YORK INC.
REINSTATE: 10/01/19
DIS BY PROC: 08/31/16

ENTITY NAME: GVF ASSOCIATES INC.
REINSTATE: 10/03/19
DIS BY PROC: 10/28/09

ENTITY NAME: IMPRESSIVE CREATIONS NY INC.
REINSTATE: 12/18/19
DIS BY PROC: 06/29/16

COUNTY: SARATOGA

ENTITY NAME: CROWN TURF SUPPLIERS, INC.
REINSTATE: 11/08/19
DIS BY PROC: 06/26/02

COUNTY: SCHENECTADY

ENTITY NAME: AMERICAN SERVICE CLEANING CORPORATION
REINSTATE: 11/08/19
DIS BY PROC: 10/26/16

ENTITY NAME: 1815 COUSINS DELI-GROCERY, INC.
REINSTATE: 11/20/19
DIS BY PROC: 08/31/16

COUNTY: SUFFOLK

ENTITY NAME: ADVANCED OCCUPATIONAL THERAPY RESOURCES, P.C.
REINSTATE: 11/08/19
DIS BY PROC: 06/26/02

ENTITY NAME: ALVAREZ CORPORATION
REINSTATE: 10/24/19
DIS BY PROC: 06/29/16

ENTITY NAME: ASH WHOLE HOLDING CORP.
REINSTATE: 12/12/19
DIS BY PROC: 10/26/11

ENTITY NAME: B. T. J.'S JUNGLE PETS, INC.
REINSTATE: 10/15/19
DIS BY PROC: 01/25/12

ENTITY NAME: BASKETNECK ASSOCIATES, INC.
REINSTATE: 10/04/19
DIS BY PROC: 12/27/00

ENTITY NAME: BRAIN BALANCE, INC.
REINSTATE: 10/24/19
DIS BY PROC: 06/29/16

ENTITY NAME: CARMAZ REALTY INC.
REINSTATE: 12/12/19
DIS BY PROC: 09/27/95

ENTITY NAME: COUNTRY LIMOUSINE SERVICE, INC.
REINSTATE: 11/20/19
DIS BY PROC: 10/26/16

ENTITY NAME: ECKART'S LUNCHEONETTE, INC.
REINSTATE: 11/12/19
DIS BY PROC: 06/29/16

ENTITY NAME: EL SALVADOR PARTY RENTALS CORP
REINSTATE: 10/10/19
DIS BY PROC: 10/26/16

ENTITY NAME: FRANHILL OPEN MRI P.C.
REINSTATE: 10/22/19
DIS BY PROC: 10/26/16

ENTITY NAME: HARBOR COVE RESTAURANT INC.
REINSTATE: 11/14/19
DIS BY PROC: 12/26/01

ENTITY NAME: JD CONSTRUCTION & LANDSCAPING INC.
REINSTATE: 11/19/19
DIS BY PROC: 01/26/11

ENTITY NAME: JOINT CONSULTING, INC.
REINSTATE: 10/10/19
DIS BY PROC: 07/28/10

ENTITY NAME: LILAC HILL, INC.
REINSTATE: 10/30/19
DIS BY PROC: 06/29/16

ENTITY NAME: LYON CORAM AUTO BODY, INC.
REINSTATE: 11/08/19
DIS BY PROC: 09/28/94

ENTITY NAME: MAPES FUEL OIL INC.
REINSTATE: 11/14/19
DIS BY PROC: 06/29/16

ENTITY NAME: ISLAND INTERNATIONAL SALES, INC.
REINSTATE: 12/12/19
DIS BY PROC: 09/27/95

ENTITY NAME: NAPO ASSOCIATES, INC.
REINSTATE: 12/09/19
DIS BY PROC: 06/29/16

ENTITY NAME: RENEGADE SQUIRREL, INC.
REINSTATE: 10/15/19
DIS BY PROC: 01/27/10

ENTITY NAME: RICHARD J. PRINCIPI, INC.
REINSTATE: 10/01/19
DIS BY PROC: 10/26/16

ENTITY NAME: RIDELINE CAR AND LIMO INC
REINSTATE: 10/08/19
DIS BY PROC: 10/26/16

ENTITY NAME: SERENPORT INFORMATION TECHNOLOGY
INCORPORATED
REINSTATE: 10/09/19
DIS BY PROC: 08/31/16

ENTITY NAME: SUFFOLK FUNERAL CARS, INC.
REINSTATE: 12/11/19
DIS BY PROC: 04/27/11

ENTITY NAME: TEAM TED, INC.
REINSTATE: 12/03/19
DIS BY PROC: 07/27/11

ENTITY NAME: TOTAL ICE INDUSTRIES, INC.
REINSTATE: 12/24/19
DIS BY PROC: 08/31/16

ENTITY NAME: TROY L. KESSLER, P.C.
REINSTATE: 12/11/19
DIS BY PROC: 06/29/16

ENTITY NAME: UNIVERSAL IMPORTS, INC.
REINSTATE: 10/21/19
DIS BY PROC: 06/26/02

ENTITY NAME: 74 CARLETON REALTY CORPORATION
REINSTATE: 10/16/19
DIS BY PROC: 07/28/10

COUNTY: SULLIVAN

ENTITY NAME: PINES CIRCLE, INC.
REINSTATE: 10/02/19
DIS BY PROC: 10/26/16

COUNTY: ULSTER

ENTITY NAME: GREENDALE ENTERPRISES INC.
REINSTATE: 10/02/19
DIS BY PROC: 06/29/16

ENTITY NAME: NCSBK INC.
REINSTATE: 10/08/19
DIS BY PROC: 04/27/11

COUNTY: WARREN

ENTITY NAME: GREEN MANSIONS MANAGEMENT, INC.
REINSTATE: 10/03/19
DIS BY PROC: 01/25/12

COUNTY: WASHINGTON

ENTITY NAME: MOHICAN ACRES INC.
REINSTATE: 10/07/19
DIS BY PROC: 03/24/93

COUNTY: WESTCHESTER

ENTITY NAME: AERCO REAL ESTATE INC.
REINSTATE: 10/03/19
DIS BY PROC: 01/27/10

ENTITY NAME: CONTROLLED INSPECTIONS INC.
REINSTATE: 10/30/19
DIS BY PROC: 06/29/16

ENTITY NAME: DON-MAUR DEVELOPMENT CORP.
REINSTATE: 11/06/19
DIS BY PROC: 01/26/11

ENTITY NAME: JONAS ISAAC REALTY LTD.
REINSTATE: 11/29/19
DIS BY PROC: 10/26/16

ENTITY NAME: MADDY'S PLUMBING & HEATING INC.
REINSTATE: 11/29/19
DIS BY PROC: 12/29/99

ENTITY NAME: MOROU SERVICES INC.
REINSTATE: 12/12/19
DIS BY PROC: 08/31/16

ENTITY NAME: RM NEILSON & ASSOCIATES, INC.
REINSTATE: 11/04/19
DIS BY PROC: 06/29/16

ENTITY NAME: SERVICEALL WINDOW AND DOOR CORPORATION
REINSTATE: 12/23/19
DIS BY PROC: 04/25/12

ENTITY NAME: THE VINEYARD GROUP II LTD.
REINSTATE: 10/30/19
DIS BY PROC: 06/26/02

ENTITY NAME: 145-171 FREDERIC STREET CORP.
REINSTATE: 10/09/19
DIS BY PROC: 10/26/16

**NOTICE OF ANNULMENT
OF DISSOLUTION OF
CERTAIN MEMBERSHIP CORPORATION(S)**

Under the Provisions of Section 1012 of the Not-for-Profit Corporation Law, As Amended

On the fifteenth of October, nineteen hundred fifty-two, the following corporation(s) was/were dissolved in the manner prescribed by Section 57 of the Membership Corporations Law, as amended.

The Secretary of State hereby provides notice that the following corporation(s) has/have filed in the Department a certificate pursuant to Section 1012, subdivision (a), of the Not-for-Profit Corporation Law annulling all of the proceedings theretofore taken for the dissolution of each such corporation. The appropriate entries have been made on the records of the Department of State.

COUNTY: MONROE

ENTITY NAME: ELY-FAGAN POST #1151, AMERICAN LEGION, INC.
REINSTATE: 10/01/19
DIS BY PROC: 10/15/52

**NOTICE OF ERRONEOUS INCLUSION
IN DISSOLUTION BY PROCLAMATION OF
CERTAIN BUSINESS CORPORATIONS**

Under the Provisions of Section 203-a of the Tax Law, As Amended

The Secretary of State hereby provides notice that the following corporations were erroneously included in proclamations declaring certain business corporations dissolved. The State Tax Commission has duly certified to the Secretary of State that the names of these corporations were erroneously included in such proclamations. The appropriate entries have been made on the records of the Department of State.

COUNTY: BRONX

ENTITY NAME: LIZETTE INC.
REINSTATE: 11/22/19
DIS BY PROC: 10/26/16

COUNTY: KINGS

ENTITY NAME: MOHEB CORP.
REINSTATE: 11/19/19
DIS BY PROC: 06/25/03

ENTITY NAME: PAOMIANBA, INC.
REINSTATE: 12/26/19
DIS BY PROC: 10/26/16

COUNTY: NEW YORK

ENTITY NAME: ANISMA FILMS, INC.
REINSTATE: 12/04/19
DIS BY PROC: 07/27/11

ENTITY NAME: GLENHURST HOLDINGS INC.
REINSTATE: 10/01/19
DIS BY PROC: 10/26/16

ENTITY NAME: KENNEDY PLAZA I CORPORATION
REINSTATE: 12/26/19
DIS BY PROC: 06/29/16

ENTITY NAME: NADIR CORP.
REINSTATE: 12/06/19
DIS BY PROC: 09/28/94

ENTITY NAME: SUNSHINE 4 INC.
REINSTATE: 10/17/19
DIS BY PROC: 08/31/16

ENTITY NAME: THE ALL AMERICAN BAR ON FIRST AVENUE INC.
REINSTATE: 11/29/19
DIS BY PROC: 08/31/16

COUNTY: SUFFOLK

ENTITY NAME: MD AFFILIATE PROGRAM INC.
REINSTATE: 11/21/19
DIS BY PROC: 08/31/16

COUNTY: WESTCHESTER

ENTITY NAME: REHTSEB LTD.
REINSTATE: 10/01/19
DIS BY PROC: 10/26/16

ENTITY NAME: TUCKAHOE NAIL & SPA, INC.
REINSTATE: 10/29/19
DIS BY PROC: 08/31/16

**NOTICE OF ERRONEOUS INCLUSION
IN ANNULMENT OF AUTHORITY OF
CERTAIN FOREIGN CORPORATIONS**

Under the Provisions of Section 203-b of the Tax Law, As Amended

The Secretary of State hereby provides notice that the following foreign corporations were erroneously included in proclamations declaring their authority to do business in this state annulled. The State Tax Commission has duly certified to the Secretary of State that the names of the following foreign corporations were erroneously included in such proclamations. The appropriate entries have been made on the records of the Department of State.

COUNTY: NASSAU

ENTITY NAME: GESTURETEK, INC.
JURIS: DELAWARE
REINSTATE: 11/21/19
ANNUL OF AUTH: 04/25/12

COUNTY: NEW YORK

ENTITY NAME: CEJA CORPORATION
JURIS: OKLAHOMA
REINSTATE: 11/22/19
ANNUL OF AUTH: 07/28/10

ENTITY NAME: EDISON INVESTMENT RESEARCH INC.
JURIS: DELAWARE
REINSTATE: 12/16/19
ANNUL OF AUTH: 08/31/16

ENTITY NAME: FUJI FOOD PRODUCTS, INC.
JURIS: CALIFORNIA
REINSTATE: 10/22/19
ANNUL OF AUTH: 10/26/16

ENTITY NAME: MCLANE BEVERAGE DISTRIBUTION, INC.
JURIS: TEXAS
REINSTATE: 12/20/19
ANNUL OF AUTH: 06/29/16

ENTITY NAME: TYR ENERGY, INC.
JURIS: DELAWARE
REINSTATE: 10/01/19
ANNUL OF AUTH: 10/26/16

ENTITY NAME: WEARE8, INC.
JURIS: DELAWARE
REINSTATE: 10/29/19
ANNUL OF AUTH: 10/26/16

COUNTY: RICHMOND

ENTITY NAME: ALL STATES RESTORATION INC.
FICT NAME: ALL STATES RESTORATION NY
JURIS: NEW JERSEY
REINSTATE: 11/29/19
ANNUL OF AUTH: 10/26/16

ENTITY NAME: CAEN TECHNOLOGIES INC.
JURIS: DELAWARE
REINSTATE: 11/19/19
ANNUL OF AUTH: 01/26/11

ENTITY NAME: CAEN TECHNOLOGIES INC.
JURIS: DELAWARE
REINSTATE: 11/20/19
ANNUL OF AUTH: 01/26/11

**NOTICE OF CANCELLATION
OF ANNULMENT OF AUTHORITY OF
CERTAIN FOREIGN CORPORATIONS**

Under the Provisions of Section 203-b of the Tax Law, As Amended

The Secretary of State hereby provides notice that the following foreign corporations, which had their authority to do business in this state annulled in the manner prescribed by Section 203-b of the Tax Law, have complied with the provisions of subdivision (7) of Section 203-b of the Tax Law, annulling all of the proceedings theretofore taken for the annulment of authority of each such corporation. The appropriate entries have been made on the records of the Department of State.

COUNTY: ALBANY

ENTITY NAME: PAX LABS, INC.
JURIS: DELAWARE
REINSTATE: 10/28/19
ANNUL OF AUTH: 10/26/16

ENTITY NAME: THE PUBLIC RESTROOM COMPANY
JURIS: NEVADA
REINSTATE: 12/27/19
ANNUL OF AUTH: 08/31/16

COUNTY: CATTARAUGUS

ENTITY NAME: IROQUOIS MID-ATLANTIC GROUP, INC.
JURIS: VIRGINIA
REINSTATE: 10/30/19
ANNUL OF AUTH: 08/31/16

COUNTY: KINGS

ENTITY NAME: MIK ASSOCIATES, INC.
JURIS: DELAWARE
REINSTATE: 10/25/19
ANNUL OF AUTH: 01/26/11

COUNTY: NEW YORK

ENTITY NAME: CUSTOMER CARE NETWORK, INC.
JURIS: DELAWARE
REINSTATE: 11/06/19
ANNUL OF AUTH: 01/26/11

ENTITY NAME: CV ELECTRICAL CONTRACTORS INC.
JURIS: NEW JERSEY
REINSTATE: 10/22/19
ANNUL OF AUTH: 08/31/16

ENTITY NAME: G & A INSURANCE INC.
FICT NAME: G & A INSURANCE AGENCY
JURIS: NEW HAMPSHIRE
REINSTATE: 10/07/19
ANNUL OF AUTH: 08/31/16

ENTITY NAME: K-ONE INVESTMENT COMPANY, INC.
JURIS: ARKANSAS
REINSTATE: 12/31/19
ANNUL OF AUTH: 01/26/11

ENTITY NAME: LRWL INCORPORATED
JURIS: FLORIDA
REINSTATE: 12/27/19
ANNUL OF AUTH: 10/26/16

ENTITY NAME: SVF KENT BROOKLYN CORPORATION
JURIS: DELAWARE
REINSTATE: 12/04/19
ANNUL OF AUTH: 08/31/16

ENTITY NAME: THE VLADAR COMPANY
JURIS: DELAWARE
REINSTATE: 10/31/19
ANNUL OF AUTH: 10/26/16

ENTITY NAME: THORSON SPECIALTY INSURANCE SERVICES, INC.
JURIS: CALIFORNIA
REINSTATE: 10/02/19
ANNUL OF AUTH: 01/25/12

COUNTY: ROCKLAND

ENTITY NAME: LANDSCAPEWORKS, INC.
 JURIS: NEW JERSEY
 REINSTATE: 12/09/19
 ANNUL OF AUTH: 01/25/12

ENTITY NAME: ADCO ELECTRICAL CORPORATION
 FICT NAME: SCHOLLES ELECTRIC & COMMUNICATIONS
 JURIS: NEW JERSEY
 REINSTATE: 11/22/19
 ANNUL OF AUTH: 10/27/10

NOTICE OF PUBLIC HEARING

Susquehanna River Basin Commission

SUMMARY: The Susquehanna River Basin Commission will hold a public hearing on February 6, 2020, in Harrisburg, Pennsylvania. At this public hearing, the Commission will hear testimony on the projects listed in the Supplementary Information section of this notice. The Commission will also hear testimony on a proposed policy-Guidance For The Preparation Of A Metering Plan & A Groundwater Elevation Monitoring Plan For Water Withdrawals, Consumptive Uses, And Diversions. Such projects and proposals are intended to be scheduled for Commission action at its next business meeting, tentatively scheduled for March 13, 2020, which will be noticed separately. The public should take note that this public hearing will be the only opportunity to offer oral comment to the Commission for the listed projects and proposals. The deadline for the submission of written comments is February 17, 2020.

DATES: The public hearing will convene on February 6, 2020, at 2:30 p.m. The public hearing will end at 5:00 p.m. or at the conclusion of public testimony, whichever is sooner. The deadline for the submission of written comments is February 17, 2020.

ADDRESSES: The public hearing will be conducted at the Susquehanna River Basin Commission, 4423 N. Front Street, Harrisburg, Pennsylvania.

FOR FURTHER INFORMATION CONTACT: Jason Oyler, General Counsel and Secretary to the Commission, telephone: (717) 238-0423; fax: (717) 238-2436.

Information concerning the applications for these projects is available at the Commission's Water Application and Approval Viewer at <https://www.srbcc.net/waav>. Additional supporting documents are available to inspect and copy in accordance with the Commission's Access to Records Policy at www.srbcc.net/regulatory/policies-guidance/docs/access-to-records-policy-2009-02.pdf.

SUPPLEMENTARY INFORMATION: The public hearing will cover a proposed policy as posted on the SRBC Public Hearing web page at <https://www.srbcc.net/about/meetings-events/public-hearing.html>. The public hearing will also cover the following projects.

Projects Scheduled for Action:

1. Project Sponsor and Facility: ARD Operating, LLC (Lycoming Creek), Lewis Township, Lycoming County, Pa. Application for renewal of surface water withdrawal of up to 1.340 mgd (peak day) (Docket No. 20160301).

2. Project Sponsor and Facility: EQT Production Company (Wilson Creek), Duncan Township, Tioga County, Pa. Application for renewal of surface water withdrawal of up to 0.720 mgd (peak day) (Docket No. 20160305).

3. Project Sponsor and Facility: New Holland Borough Authority, New Holland Borough, Lancaster County, Pa. Application for groundwater withdrawal of up to 0.860 mgd (30-day average) from Well 5.

4. Project Sponsor and Facility: New Morgan Borough Utilities Authority, New Morgan Borough, Berks County, Pa. Modification to remove expired Well PW-3 and to recognize the interconnection with Caernarvon Township Authority. Well PW-3 automatically expired consistent with Condition 25 of the approval due to lack of commencement of withdrawal (Docket No. 20141207).

5. Project Sponsor and Facility: SWN Production Company, LLC (Susquehanna River), Oakland Township, Susquehanna County, Pa. Application for renewal of surface water withdrawal of up to 3.000 mgd (peak day) (Docket No. 20160310).

6. Project Sponsor and Facility: SWN Production Company, LLC (Tunkhannock Creek), Lenox Township, Susquehanna County, Pa. Application for renewal of surface water withdrawal of up to 1.218 mgd (peak day) (Docket No. 20160311).

7. Project Sponsor and Facility: Towanda Municipal Authority, Albany Township, Bradford County, Pa. Application for groundwater withdrawal of up to 0.551 mgd (30-day average) from the Eilenberger Spring.

8. Project Sponsor: York Haven Power Company, LLC. Project Facility: York Haven Hydroelectric Project, Londonderry Township, Dauphin County; Conoy Township, Lancaster County; and York Haven Borough and Newberry Township, York County, Pa. Application for approval of an existing hydroelectric facility.

Project Scheduled for Action Involving a Diversion:

9. Project Sponsor: Gas Field Specialists, Inc. Project Facility: Wayne Gravel Products Quarry, Ceres Township, McKean County, Pa. Application for renewal of an into-basin diversion from the Ohio River Basin of up to 1.170 mgd (peak day) (Docket No. 20160312).

Commission-Initiated Project Approval Modification:

10. Project Sponsor and Facility: Susquehanna Valley Country Club, Monroe Township, Snyder County, Pa. Conforming the grandfathering amount with the forthcoming determination for a groundwater withdrawal up to 0.162 mgd (30-day average) from the Front Nine Well (Docket No. 20020814).

Opportunity to Appear and Comment:

Interested parties may appear at the hearing to offer comments to the Commission on any business listed above required to be subject of a public hearing. The presiding officer reserves the right to limit oral statements in the interest of time and to otherwise control the course of the hearing. Access to the hearing room will begin at 2:00 p.m. and Commission staff will be available for questions prior to the commencement of the hearing. Guidelines for the public hearing are posted on the Commission's website, www.srbcc.net, prior to the hearing for review. The presiding officer reserves the right to modify or supplement such guidelines at the hearing. Written comments on any business listed above required to be subject of a public hearing may also be mailed to Mr. Jason Oyler, Secretary to the Commission, Susquehanna River Basin Commission, 4423 North Front Street, Harrisburg, Pa. 17110-1788, or submitted electronically through <https://www.srbcc.net/regulatory/public-comment/>. Comments mailed or electronically submitted must be received by the Commission on or before February 17, 2020, to be considered.

AUTHORITY: Pub. L. 91-575, 84 Stat. 1509 et seq., 18 CFR Parts 806, 807, and 808.

Dated: January 6, 2020.

Jason E. Oyler

General Counsel and Secretary to the Commission.

PUBLIC NOTICE

New York City Deferred Compensation Plan

The New York City Deferred Compensation Plan (the "Plan") is seeking qualified vendors to provide international growth equity investment management services for the International Equity Fund (the "Fund") investment option of the Plan. The objective of the Fund is to provide exposure to the broad international equity market. Qualified vendors that do not currently provide product capabilities to eVestment must submit product information to Milliman Investment Consulting at the following e-mail address: sanf.investment.search@milliman.com. Please complete the submission of product information no later than 4:30 P.M. Eastern Time on January 22, 2020.

Consistent with the policies expressed by the City, proposals from certified minority-owned and/or women-owned businesses or proposals that include partnering arrangements with certified minority-owned

and/or women-owned firms are encouraged. Additionally, proposals from small and New York City-based businesses are also encouraged.

PUBLIC NOTICE

Department of State

The New York State Appearance Enhancement Advisory Committee will hold an open board meeting on February 4, 2020 at 10:30 a.m. at the Department of State, 99 Washington Ave., 5th Fl. Conference Rm., Albany; 65 Court St., 2nd Fl. Conference Rm., Buffalo; and 123 William St., 2nd Fl. Conference Rm., New York City.

Should you require further information, please contact: Denise Tidings at Denise.Tidings@dos.ny.gov or (518) 402-4921

PUBLIC NOTICE

Department of State

F-2019-0899

Date of Issuance – January 22, 2020

The New York State Department of State (DOS) is required by Federal regulations to provide timely public notice for the activities described below, which are subject to the consistency provisions of the Federal Coastal Zone Management Act of 1972, as amended.

The applicant has certified that the proposed activity complies with and will be conducted in a manner consistent with the approved New York State Coastal Management Program.

In F-2019-0899 or the “East Side Coastal Resiliency Project”, the applicant New York City Department of Design and Construction, is proposing to construct a coastal flood protection system along a portion of the east side of Manhattan. The flood protection system is comprised of a combination of floodwalls, 18 closure structures (i.e., swing and roller floodgates), and supporting infrastructure improvements that together would reduce risk of damage from coastal storms in the area proposed for protection. A key element of the proposed project is elevating and reconstructing East River Park to make it more resilient to coastal storms through placement of 775,000 cubic yards of fill material on existing grades. The authorized work is located at East River Park inland limits of the proposed protection area are generally along First Avenue, Avenue B, Avenue C, Avenue D, and Columbia Street, Borough of Manhattan, New York County, East River.

The applicant’s consistency certification and supporting information are available for review at: http://www.dos.ny.gov/opd/programs/pdfs/Consistency/F-2019-0899_ESCR_App.pdf

Original copies of public information and data submitted by the applicant are available for inspection at the New York State Department of State offices located at One Commerce Plaza, 99 Washington Avenue, in Albany, New York.

Any interested parties and/or agencies desiring to express their views concerning any of the above proposed activities may do so by filing their comments, in writing, no later than 4:30 p.m., 30 days from the date of publication of this notice, or, February 21, 2020.

Comments should be addressed to: Consistency Review Unit, Department of State, Office of Planning, Development & Community Infrastructure, One Commerce Plaza, 99 Washington Ave., Albany, NY 12231, (518) 474-6000, Fax (518) 473-2464. Electronic submissions can be made by email at: CR@dos.ny.gov

This notice is promulgated in accordance with Title 15, Code of Federal Regulations, Part 930.

PUBLIC NOTICE

Department of State

F-2019-0980

Date of Issuance – January 22, 2020

The New York State Department of State (DOS) is required by Federal regulations to provide timely public notice for the activities described below, which are subject to the consistency provisions of the Federal Coastal Zone Management Act of 1972, as amended.

The applicant has certified that the proposed activity complies with

and will be conducted in a manner consistent with the approved New York State Coastal Management Program.

In F-2019-0980 or the “Hallett’s Point Shoreline”, the applicant Halletts Vendee, LLC, is proposing to install 930 linear feet of new riprap revetment. The revetment will have a 1V:1.5H slope and be comprised of a primary armor layer (2-foot thick), underarmor layer (1-foot-thick), bedding stone (0.5-foot thick), and geotextile. The authorized work is located at 27-50 1st Street, Astoria, Queens, East River.

The applicant’s consistency certification and supporting information are available for review at: http://www.dos.ny.gov/opd/programs/pdfs/Consistency/F-2019-0980_Halletts_App.pdf

Original copies of public information and data submitted by the applicant are available for inspection at the New York State Department of State offices located at One Commerce Plaza, 99 Washington Avenue, in Albany, New York.

Any interested parties and/or agencies desiring to express their views concerning any of the above proposed activities may do so by filing their comments, in writing, no later than 4:30 p.m., 30 days from the date of publication of this notice, or, February 21, 2020.

Comments should be addressed to: Consistency Review Unit, Department of State, Office of Planning, Development & Community Infrastructure, One Commerce Plaza, 99 Washington Ave., Albany, NY 12231, (518) 474-6000, Fax (518) 473-2464. Electronic submissions can be made by email at: CR@dos.ny.gov

This notice is promulgated in accordance with Title 15, Code of Federal Regulations, Part 930.

PUBLIC NOTICE

Department of State

F-2019-1035

Date of Issuance – January 22, 2020

The New York State Department of State (DOS) is required by Federal regulations to provide timely public notice for the activities described below, which are subject to the consistency provisions of the Federal Coastal Zone Management Act of 1972, as amended.

The applicant has certified that the proposed activity complies with and will be conducted in a manner consistent with the approved New York State Coastal Management Program.

In F-2019-1035, River House Sleepy Hollow Restaurant LLC, is seeking Coastal Zone Management Concurrence from the New York State Department of State as a condition of a Department of The Army Permit for the applicant to maintain as constructed improvements to an existing wooden pier, including a 44-foot long by 10-foot wide floating dock, a 20-foot long by 8.5-foot wide floating dock, a 30-foot long by 5.5 feet wide gangway extending to the larger floating dock, three timber piles and four steel piles in the Hudson River in the vicinity of 11 River Street, Village of Sleepy Hollow, Westchester County.

The applicant’s consistency certification and supporting information are available for review at: <http://www.dos.ny.gov/opd/programs/pdfs/Consistency/F-2019-1035ForPN.pdf>

Original copies of public information and data submitted by the applicant are available for inspection at the New York State Department of State offices located at One Commerce Plaza, 99 Washington Avenue, in Albany, New York.

Any interested parties and/or agencies desiring to express their views concerning the above proposed activity may do so by filing their comments, in writing, no later than 4:30 p.m., 30 days from the date of publication of this notice, or, February 21, 2020.

Comments should be addressed to: Consistency Review Unit, Department of State, Office of Planning, Development & Community Infrastructure, One Commerce Plaza, 99 Washington Ave., Albany, NY 12231, (518) 474-6000, Fax (518) 473-2464. Electronic submissions can be made by email at: CR@dos.ny.gov

This notice is promulgated in accordance with Title 15, Code of Federal Regulations, Part 930.

PUBLIC NOTICE

Department of State
F-2019-1174

Date of Issuance – January 22, 2020

The New York State Department of State (DOS) is required by Federal regulations to provide timely public notice for the activities described below, which are subject to the consistency provisions of the Federal Coastal Zone Management Act of 1972, as amended.

The applicant has certified that the proposed activity complies with and will be conducted in a manner consistent with the approved New York State Coastal Management Program. The applicant's consistency certification and accompanying public information and data are available for inspection at the New York State Department of State offices located at One Commerce Plaza, 99 Washington Avenue, in Albany, New York and are available for review at: http://www.dos.ny.gov/opd/programs/pdfs/Consistency/F-2019-1174_Application.pdf

In F 2019 1174, PSEG Long Island is proposing the Circuit 9Z-807 Utility Pole Replacement Project. The proposed activity would replace 25 timber utility poles in tidal wetlands and 20 poles in upland areas (45 poles total) along the existing utility corridor on the eastern side of Napeague Meadow Road between Cranberry Hole Road and Montauk Highway, Town of East Hampton, Suffolk County. Total disturbance to tidal wetlands is estimated to be 650 square feet. All disturbed areas would be backfilled with native soils and planted with native vegetation. The applicant proposes to improve the scenic quality of this area by eliminating 2 poles from the circuit and planting 4,500 SF of native trees and shrubs along the south side of Napeague Meadow Road. The stated purpose of the activity is to upgrade the existing utility poles for increased protection of the electric distribution grid from high winds and storm events.

The proposed activity would be located within or has the potential to affect the following Special Management or Regulated Area(s):

- Town of East Hampton Local Waterfront Revitalization Program: https://www.dos.ny.gov/opd/programs/WFRvitalization/LWRP_status.html;
- Napeague Harbor Significant Coastal Fish and Wildlife Habitat: <https://www.dos.ny.gov/opd/programs/consistency/scfwhabitats.html>; and
- Napeague Meadows Scenic Area of Statewide Significance: <https://www.dos.ny.gov/opd/programs/consistency/scenicass.html>.

Any interested parties and/or agencies desiring to express their views concerning any of the above proposed activities may do so by filing their comments, in writing, no later than 4:30 p.m., 15 days from the date of publication of this notice, or, February 6, 2020.

Comments should be addressed to: Department of State, Office of Coastal, Local Government and Community Sustainability, One Commerce Plaza, 99 Washington Ave., Albany, NY 12231, (518) 474-6000, Fax (518) 473-2464.

This notice is promulgated in accordance with Title 15, Code of Federal Regulations, Part 930.

PUBLIC NOTICE

Department of State

Uniform Code Variance / Appeal Petitions

Pursuant to 19 NYCRR Part 1205, the variance and appeal petitions below have been received by the Department of State. Unless otherwise indicated, they involve requests for relief from provisions of the New York State Uniform Fire Prevention and Building Code. Persons wishing to review any petitions, provide comments, or receive actual notices of any subsequent proceeding may contact Brian Tollisen or Neil Collier, Building Standards and Codes, Department of State, One Commerce Plaza, 99 Washington Ave., Albany, NY 12231, (518) 474-4073 to make appropriate arrangements.

2019-0755 Matter of Long Island Perfect Permits Inc., Chris Ross, 124 Front St., Suite 205, Massapequa Park, NY 11762, for a variance concerning safety requirements, including the required heights under

a girder/soffit. Involved is an existing one family dwelling located at 63 West Maple Road, Town of North Hempstead, NY 11030 County of Nassau, State of New York.

PUBLIC NOTICE

Department of State

Uniform Code Variance / Appeal Petitions

Pursuant to 19 NYCRR Part 1205, the variance and appeal petitions below have been received by the Department of State. Unless otherwise indicated, they involve requests for relief from provisions of the New York State Uniform Fire Prevention and Building Code. Persons wishing to review any petitions, provide comments, or receive actual notices of any subsequent proceeding may contact Brian Tollisen or Neil Collier, Building Standards and Codes, Department of State, One Commerce Plaza, 99 Washington Ave., Albany, NY 12231, (518) 474-4073 to make appropriate arrangements.

2020-0007 In the matter of Thomas Amici, 307 North Tioga Street, Ithaca, NY 14850, concerning safety requirements including a variance for reduction in required height of existing exterior front porch guardrails.

Involved is the certificate of compliance inspection of an existing residential occupancy, three stories in height, located at 210 Delaware Avenue, City of Ithaca, County of Tompkins, New York.

PUBLIC NOTICE

Susquehanna River Basin Commission

Grandfathering (GF) Registration Notice

SUMMARY: This notice lists Grandfathering Registration for projects by the Susquehanna River Basin Commission during the period set forth in DATES.

DATES: December 1-31, 2019.

ADDRESSES: Susquehanna River Basin Commission, 4423 North Front Street, Harrisburg, PA 17110-1788.

FOR FURTHER INFORMATION CONTACT: Jason E. Oyler, General Counsel and Secretary to the Commission, telephone: (717) 238-0423, ext. 1312; fax: (717) 238-2436; e-mail: joyler@srbc.net. Regular mail inquiries May be sent to the above address.

SUPPLEMENTARY INFORMATION: This notice lists GF Registration for projects, described below, pursuant to 18 CFR 806, Subpart E for the time period specified above:

Grandfathering Registration Under 18 CFR part 806, Subpart E:

1. Carmeuse Lime & Stone, Inc. – Carmeuse Lime, Inc., GF Certificate No. GF-201912060, North Londonderry and South Annville Townships, Lebanon County, Pa.; Quarry Reservoir; Issue Date: December 5, 2019.

2. Eagles Mere Country Club, GF Certificate No. GF-201912061, Eagles Mere Borough and Shrewsbury Township, Sullivan County, Pa.; Eagles Mere Lake; Issue Date: December 5, 2019.

3. High Company LLC – High Concrete Group LLC, GF Certificate No. GF-201912062, East Cocalico Township, Lancaster County, Pa.; Kurtz Quarry; Issue Date: December 5, 2019.

4. Village of Johnson City – Water Department, GF Certificate No. GF-201912063, Town of Union, Broome County, N.Y.; Wells 1, 2, 3, 5, 6, and 7; Issue Date: December 5, 2019.

5. Town of Owego – Water District #2, GF Certificate No. GF-201912064, Town of Owego, Tioga County, N.Y.; Wells 1 and 2; Issue Date: December 5, 2019.

6. Port Matilda Borough – Port Matilda Waterworks, GF Certificate No. GF-201912065, Worth Township, Centre County, Pa.; Wells 3 and 5; Issue Date: December 5, 2019.

7. SUEZ Water Pennsylvania Inc. – Mechanicsburg Operation, GF Certificate No. GF-201912066, Mechanicsburg Borough, Cumberland County, Pa.; Market Street Well; Issue Date: December 5, 2019.

8. Bucknell University, GF Certificate No. GF-201912067, East Buffalo Township, Union County, Pa.; Wells 2 and 3; Issue Date: December 5, 2019.

9. Manada Golf Club, Inc., GF Certificate No. GF-201912068, East Hanover Township, Dauphin County, Pa.; Fourth Tee Well, Fifth Tee Well, and Barn Well; Issue Date: December 5, 2019.

10. Pennsylvania Fish & Boat Commission – Pleasant Gap State Fish Hatchery, GF Certificate No. GF-201912069, Benner Township, Centre County, Pa.; Blue and East Springs, Hoy and Shugert Springs, and Logan Branch; Issue Date: December 5, 2019.

11. Heidelberg Township – Public Water Supply System, GF Certificate No. GF-201912070, Heidelberg Township, Lebanon County, Pa.; Well 3; Issue Date: December 19, 2019.

12. Pennsylvania American Water Company – Frackville District, GF Certificate No. GF-201912071, Frackville Borough and West Mahanoy Township, Schuylkill County, Pa.; Wells 1, 2, and 3, and the Nice Street Well; Issue Date: December 19, 2019.

13. Moccasin Run Golf Club, Inc., GF Certificate No. GF-201912072, West Fallowfield Township, Chester County, Pa.; Irrigation Pond; Issue Date: December 19, 2019.

14. South Middleton Township Municipal Authority – Public Water Supply System, GF Certificate No. GF-201912073, South Middleton Township, Cumberland County, Pa., Wells 1 and 2; Issue Date: December 19, 2019.

15. SUEZ Water Pennsylvania Inc. – Grantham Operation, GF Certificate No. GF-201912074, Upper Allen Township, Cumberland County, Pa., Well 1; Issue Date: December 19, 2019.

Authority: Pub. L. 91-575, 84 Stat. 1509 et seq., 18 CFR parts 806 and 808.

Dated: January 6, 2020.

Jason E. Oyler,

General Counsel and Secretary to the Commission.