
NEW YORK STATE **REGISTER**

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State agencies must specify in each notice which proposes a rule the last date on which they will accept public comment. Agencies must always accept public comment: for a minimum of 60 days following publication in the *Register* of a Notice of Proposed Rule Making, or a Notice of Emergency Adoption and Proposed Rule Making; and for 45 days after publication of a Notice of Revised Rule Making, or a Notice of Emergency Adoption and Revised Rule Making in the *Register*. When a public hearing is required by statute, the hearing cannot be held until 60 days after publication of the notice, and comments must be accepted for at least 5 days after the last required hearing. When the public comment period ends on a Saturday, Sunday or legal holiday, agencies must accept comment through the close of business on the next succeeding workday.

For notices published in this issue:

- the 60-day period expires on March 27, 2022
- the 45-day period expires on March 12, 2022
- the 30-day period expires on February 25, 2022

**KATHY HOCHUL
GOVERNOR**

**ROBERT J. RODRIGUEZ
ACTING SECRETARY OF STATE**

NEW YORK STATE DEPARTMENT OF STATE

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NEW YORK STATE REGISTER

Be a part of the rule making process!

The public is encouraged to comment on any of the proposed rules appearing in this issue. Comments must be made in writing and must be submitted to the agency that is proposing the rule. Address your comments to the agency representative whose name and address are printed in the notice of rule making. No special form is required; a handwritten letter will do. Individuals who access the online *Register* (www.dos.ny.gov) may send public comment via electronic mail to those recipients who provide an e-mail address in Notices of Proposed Rule Making. This includes Proposed, Emergency Proposed, Revised Proposed and Emergency Revised Proposed rule makings.

To be considered, comments should reach the agency before expiration of the public comment period. The law provides for a minimum 60-day public comment period after publication in the *Register* of every Notice of Proposed Rule Making, and a 45-day public comment period for every Notice of Revised Rule Making. If a public hearing is required by statute, public comments are accepted for at least five days after the last such hearing. Agencies are also required to specify in each notice the last date on which they will accept public comment.

When a time frame calculation ends on a Saturday or Sunday, the agency accepts public comment through the following Monday; when calculation ends on a holiday, public comment will be accepted through the following workday. Agencies cannot take action to adopt until the day after expiration of the public comment period.

The Administrative Regulations Review Commission (ARRC) reviews newly proposed regulations to examine issues of compliance with legislative intent, impact on the economy, and impact on affected parties. In addition to sending comments or recommendations to the agency, please do not hesitate to transmit your views to ARRC:

Administrative Regulations Review Commission
State Capitol
Albany, NY 12247
Telephone: (518) 455-5091 or 455-2731

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KEY: (P) Proposal; (RP) Revised Proposal; (E) Emergency; (EP) Emergency and Proposal; (A) Adoption; (AA) Amended Adoption; (W) Withdrawal

Individuals may send public comment via electronic mail to those recipients who provided an e-mail address in Notices of Proposed Rule Making. This includes Proposed, Emergency Proposed, Revised Proposed and Emergency Revised Proposed rule makings. Choose pertinent issue of the *Register* and follow the procedures on the website (www.dos.ny.gov)

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AAM -the abbreviation to identify the adopting agency
01 -the *State Register* issue number
96 -the year
00001 -the Department of State number, assigned upon receipt of notice.
E -Emergency Rule Making—permanent action not intended (This character could also be: A for Adoption; P for Proposed Rule Making; RP for Revised Rule Making; EP for a combined Emergency and Proposed Rule Making; EA for an Emergency Rule Making that is permanent and does not expire 90 days after filing.)

Italics contained in text denote new material. Brackets indicate material to be deleted.

Department of Agriculture and Markets

NOTICE OF ADOPTION

Regulated Commodity Labeling, Packaging and Method of Sale Requirements

I.D. No. AAM-31-21-00014-A

Filing No. 13

Filing Date: 2022-01-07

Effective Date: 2022-01-26

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following action:

Action taken: Amendment of Part 221 of Title 1 NYCRR.

Statutory authority: Agriculture and Markets Law, sections 18 and 179

Subject: Regulated commodity labeling, packaging and method of sale requirements.

Purpose: Amend packaging, labeling and method of sale requirements for various commodities to align with industry and Federal standards.

Text or summary was published in the August 4, 2021 issue of the Register, I.D. No. AAM-31-21-00014-P.

Final rule as compared with last published rule: No changes.

Text of rule and any required statements and analyses may be obtained from: Mike Sikula, Department of Agriculture and Markets, 10B Airline Drive, Albany, New York 12235, (518) 457-3146, email: mike.sikula@agriculture.ny.gov

Initial Review of Rule

As a rule that requires a RFA, RAFA or JIS, this rule will be initially reviewed in the calendar year 2024, which is no later than the 3rd year after the year in which this rule is being adopted.

Assessment of Public Comment

At the hearing, two commentators asked for clarification of provisions of the proposed rule; once clarification was provided, neither expressed any opposition to the proposed rule.

One of the commentators referred to above, as well as another commentator, expressed appreciation that certain provisions of the proposed rule would cause affected provisions of 1 NYCRR Part 221 to conform to Federal requirements.

Office of Alcoholism and Substance Abuse Services

NOTICE OF ADOPTION

This Part Established the Standards for the Reimbursement and Participation in the Medical Assistance Program

I.D. No. ASA-43-21-00001-A

Filing No. 25

Filing Date: 2022-01-11

Effective Date: 2022-01-26

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following action:

Action taken: Repeal of Part 841; addition of new Part 841 to Title 14 NYCRR.

Statutory authority: Mental Hygiene Law, sections 19.07(e), 19.09(b), 19.40, 32.01, 32.07(a), 43.01, 43.02; Social Services Law, section 364; Executive Law, art. 15; 10 NYCRR, Subpart 86-8

Subject: This Part established the standards for the reimbursement and participation in the Medical Assistance Program.

Purpose: To update the language and conform to the current State Plan Amendment.

Text or summary was published in the October 27, 2021 issue of the Register, I.D. No. ASA-43-21-00001-P.

Final rule as compared with last published rule: No changes.

Text of rule and any required statements and analyses may be obtained from: Kelly Grace, NYS Office of Addiction Services and Supports, 1450 Western Ave., Albany, NY 12203, (518) 366-7958, email: Kelly.Grace@oasas.ny.gov

Revised Regulatory Impact Statement

1. Statutory Authority:

§ 841.2 Legal base

(a) Section 19.07(e) of the Mental Hygiene Law authorizes the Commissioner to adopt standards including necessary rules and regulations pertaining to addiction services.

(b) Section 19.09(b) of the Mental Hygiene Law authorizes the Commissioner to adopt regulations necessary and proper to implement any matter under their jurisdiction.

(c) Section 32.01 of the Mental Hygiene Law authorizes the Commissioner to adopt any regulation reasonably necessary to implement and effectively exercise the powers and perform the duties conferred by Article 32 of the Mental Hygiene Law.

(d) Section 32.07(a) of the Mental Hygiene Law authorizes the Commissioner to adopt regulations to effectuate the provisions and purposes of Article 32 of the Mental Hygiene Law.

(e) Sections 43.01 and 43.02 of the Mental Hygiene Law grant the Com-

missioner the power and responsibility to adopt regulations that are necessary and proper to implement matters under his/her jurisdiction and to establish standards and methods of payment made by government agencies pursuant to Title 11 of article 5 of the Social Services Law for eligible addiction services certified by the Office.

(f) Section 264 of the Social Services Law provides that each office within the Department of Mental Hygiene shall be responsible for establishing and maintaining standards for medical care and services in institutions serving Medicaid patients.

(g) Pursuant to section 23 of Part C of chapter 58 of the laws of 2009, the Commissioner is authorized, with the approval of the Commissioner of Health and the Director of Budget, to promulgate regulations pursuant to Article 32 of the Mental Hygiene Law utilizing the Ambulatory Patient Group (APG) methodology for the purpose of establishing standards of payments made by government agencies pursuant to title 11 of article 5 of the Social Services Law for addiction services otherwise subject to the provisions of this Part.

(h) Article 15 of the executive law defines the protected classes included in the state human rights law.

(i) Title 10 of the New York Code of Rules and Regulations Part 86-8 defines reporting and rate certification for outpatient services ambulatory patient group.

2. Legislative Objectives: The legislature has authorized OASAS to establish regulations governing the reimbursement and participation in the Medical Assistance Program, and the amendments to this rule conform to the current OASAS State Plan Amendment relating to rate setting methodologies.

3. Needs and Benefits: The proposed rule repeals the old Part 841 and replaces it with the new Part 841. The reason that OASAS chose to repeal and replace rather than just make amendments throughout is that the amendments were substantial and it was more efficient to repeal and replace the language. This amended regulation results from a revised State Plan (i.e., State Plan Amendment) which was approved by CMS and resulted in a Medicaid rate package that was approved by the Division of Budget. The goal of this change was to eliminate cost-based rates and use the same fee methodology for all providers within a given space. This is what the amendments to 841 accomplish to reflect this change. This amendment removes outdated and irrelevant language and reflects the new State Plan Amendment. Medicaid rules (and therefore the OASAS State Plan) have updated the way OASAS Providers bill for services, and the regulatory language was updated to reflect this. These changes will allow for rate methodologies that promote efficiency, meaning fees rather than cost-based rates, for inpatient services. Overall, the changes provide for more accurate and fair reimbursement, as well as add simplicity to the entire regulation.

4. Costs: No additional administrative costs to the agency and/or providers are anticipated.

5. Paperwork: There is no additional paperwork beyond what is already required.

6. Local Government Mandates: There are no new local government mandates.

7. Duplication: This proposed rule does not duplicate, overlap, or conflict with any State or federal statute or rule.

8. Alternatives: The alternative is to leave the regulation as it currently reads, with outdated language.

9. Federal Standards: This regulation does not conflict with federal standards.

10. Compliance Schedule: This rule was presented and approved at the September 2021 Behavioral Health Services Advisory Council (BHSAC) meeting, and was published in the October 27th 2021 issue of the State Register. No public comments were received, and this rule will be effective upon publication.

Revised Regulatory Flexibility Analysis

OASAS has determined that the rule will not impose any adverse economic impact or reporting, recordkeeping or other compliance requirements on small businesses or local governments because the amended regulation does not impose any new requirements on providers, it only updates the regulation to conform to the OASAS State Plan Amendment.

Revised Rural Area Flexibility Analysis

OASAS has determined that the rule will not impose any adverse impact on rural areas or reporting, recordkeeping or other compliance requirements on public or private entities in rural areas because the changes do not impose any new requirements, it was only updated to accurately reflect changes to the OASAS State Plan.

Revised Job Impact Statement

No change in the number of jobs and employment opportunities is anticipated as a result of the proposed repeal and replacement of Part 841, because the new Part pertains only to standards for reimbursement and

participation in the Medical Assistance Program. The Office will not need to hire additional staff or reduce staff size; the proposed changes will not adversely impact jobs outside of the agency; the proposed changes will not result in the loss of any jobs within New York State.

Initial Review of Rule

As a rule that does not require a RFA, RAFA or JIS, this rule will be initially reviewed in the calendar year 2027, which is no later than the 5th year after the year in which this rule is being adopted.

Assessment of Public Comment

The agency received no public comment.

Education Department

EMERGENCY/PROPOSED RULE MAKING NO HEARING(S) SCHEDULED

Cancellation of January 2022 Administration of Regents Examinations

I.D. No. EDU-04-22-00008-EP

Filing No. 18

Filing Date: 2022-01-11

Effective Date: 2022-01-11

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following action:

Proposed Action: Amendment of section 100.5(a) of Title 8 NYCRR.

Statutory authority: Education Law, sections 101, 207, 208, 305, 3204 and 3205

Finding of necessity for emergency rule: Preservation of public health and general welfare.

Specific reasons underlying the finding of necessity: The COVID-19 pandemic has resulted in the limited administration of Regents examinations since spring 2020. In response, the Department has adopted regulatory amendments exempting students from the diploma, credential, and endorsement requirements related to the passing of such examinations. The Department also adopted regulatory amendments to provide parents and persons in parental relation of eligible students the opportunity to decline such an exemption.

Currently, COVID-19 cases are surging in the State of New York. Many students have been unable to attend class in person due to quarantine guidelines. Additionally, many school districts have shifted to fully remote instruction due to high rates of positive COVID-19 cases in their buildings. Therefore, the Department has canceled the January 2022 administration of Regents examinations. These examinations cannot presently be safely, equitably, and fairly administered across the State.

To ensure that the cancellation of these examinations does not adversely impact students, the Department now proposes additional regulatory amendments to section 100.5(a) of the Commissioner's regulations to provide an exemption to the diploma, credential, and endorsement requirements related to the passing of January 2022 Regents examinations. This amendment will ensure that students may still meet such requirements.

Additionally, the Department is proposing to amend section 100.5(a) of the Commissioner's regulations to extend the exemption declination. This amendment will permit parents and persons in parental relation to decline the exemption to the graduation assessment requirement(s) for any year that their child has been exempted prior to such child's graduation. Schools must notify parents of the option to decline such exemption to parents and persons in parental relation prior to the conclusion of the semester in which students are due to receive their high school diploma (10 days prior for those graduating after the first semester of the 2021-22 school year and 30 days prior to the second semester of the 2021-22 school year and thereafter).

Since the Board of Regents meets at fixed intervals, the earliest the proposed amendment could be adopted by regular (nonemergency) action after expiration of the 60-day public comment period provided for in the State Administrative Procedure Act (SAPA) sections 201(1) and (5) would be the May 2022 Regents meeting. Furthermore, pursuant to SAPA 203(1), the earlier effective date of the proposed rule, if adopted at the May 2022 meeting, would be June 1, 2022, the date the Notice of Adoption would be published in the State Register.

Therefore, emergency action is necessary at the January 2022 meeting for the preservation of the general welfare and public health in order to immediately provide regulatory flexibility in response to the cancellation of the January 2022 Regents examination administration due to the COVID-19 crisis, so that students can still meet the diploma, credential, and endorsement requirements related to the passing of such examinations.

It is anticipated that the proposed rule will be presented to the Board of Regents for adoption as a permanent rule at the May 2022 meeting, which is the first scheduled meeting after expiration of the 60-day public comment period mandated by SAPA for state agency rule making. However, since the emergency action will expire before the May 2022 Regents meeting, it is anticipated that an additional emergency action will be presented for adoption at the March 2022 Regents meeting.

Subject: Cancellation of January 2022 administration of Regents Examinations.

Purpose: To provide regulatory flexibility in response to the cancellation of the January 2022 Regents examination administration.

Text of emergency/proposed rule: 1. Subclause (4) of clause (a) subparagraph (vi) of paragraph (5) of subdivision (a) of section 100.5 of the Regulations of the Commissioner of Education is amended to read as follows:

(4) For purposes of meeting the diploma requirements of this subdivision, students deemed to have demonstrated attainment of the applicable New York learning standards in *English, mathematics, science, United States history and government, and global history and geography* shall be exempt from the applicable Regents examination requirements for the January 2022 Regents examination administration if such students:

(i) were enrolled in a course of study that would ordinarily culminate in the taking of [the] a January 2022 Regents [Examination in United States History and Government (Framework)] examination and earn credit for such course of study by the end of the first semester of the 2021-22 school year;

(ii) were enrolled in a course of study in grade 7 or grade 8 that would ordinarily culminate in the taking of a January 2022 Regents examination, and have met the learning standards in such course of study;

(iii) successfully completed a make-up program for the purpose of earning course credit [in a course that would ordinarily culminate in the taking of the Regents Examination in United States History and Government (Framework)] between September 1, 2021 and the end of the first semester of the 2021-22 school year; or

(iii)(iv) were preparing to take [the] a required Regents [Examination in United States History and Government (Framework)] examination in order to graduate at the end of the first semester of the 2021-22 school year; or

(v) transferred to a New York State high school from either a high school located outside of New York State or from another New York State high school in which Regents examinations were not administered, and earned credit in a course for which they intended to take a corresponding Regents examination in January 2022.

2. Subparagraph (viii) of paragraph (5) of subdivision (a) of section 100.5 of the Regulations of the Commissioner of Education is amended to read as follows:

(viii)(a) Notwithstanding subparagraph (vii) of this paragraph, no entry shall be recorded on the transcript or permanent record of a student in the event that a parent or person in a parental relation declines the exemption to the graduation assessment requirement(s) in accordance with clause (b) of this subparagraph.

(b) For the 2019-20 school year and the first semester of the 2021-22 school year, at least 10 calendar days and for the 2020-21 and second semester of the 2021-22 school [year] years and thereafter, at least 30 calendar days prior to the date on which a student shall receive a high school diploma, the superintendent, or school principal of a charter school, or chief administrative officer of a registered nonpublic high school shall send notification by letter and also by email and text, if available, to the parents or persons in parental relation of all students scheduled to graduate [in June or August of 2020 or January, June or August of 2021] who have been exempted from a graduation assessment requirement pursuant to this section or paragraph (10) of subdivision (d) of section 100.6 of this title. Such notification shall be sent in English and translated, when appropriate, into the recipient's native language or mode of communication.

- (c) ...
- (d) ...
- (e) ...
- (f) ...

This notice is intended: to serve as both a notice of emergency adoption and a notice of proposed rule making. The emergency rule will expire April 10, 2022.

Text of rule and any required statements and analyses may be obtained from: Kirti Goswami, NYS Education Department, Office of Counsel, 89 Washington Avenue, Room 112EB, Albany, NY 12234, (518) 474-6400, email: legal@nysed.gov

Data, views or arguments may be submitted to: Marybeth Casey, Assistant Commissioner, NYS Education Department, Office of Curriculum & Instruction, 89 Washington Avenue, Room 2M, Albany, NY 12234, (518) 474-0059, email: REGCOMMENTS@nysed.gov

Public comment will be received until: 60 days after publication of this notice.

This rule was not under consideration at the time this agency submitted its Regulatory Agenda for publication in the Register.

Regulatory Impact Statement

1. STATUTORY AUTHORITY:

Education Law § 101 continues existence of Education Department, with Board of Regents as its head, and authorizes Regents to appoint Commissioner of Education as Department's Chief Administrative Officer, which is charged with general management and supervision of all public schools and educational work of State.

Education Law § 207 empowers Regents and Commissioner to adopt rules and regulations to carry out State education laws and functions and duties conferred on the Department.

Education Law § 208 empowers the Regents to confer by diploma honorary degrees as they deem proper, establish examinations and award and confer suitable certificates, diplomas and degrees on persons who meet the prescribed requirements for such.

Education Law § 209 provides that the Regents shall establish, in secondary institutions, examinations in studies furnishing a suitable standard of graduation therefrom and of admission to colleges, and certificates or diplomas shall be conferred by the Regents to students who satisfactorily pass such examinations.

Education Law § 305 authorizes the Commissioner to enforce the educational policies of this State and execute all educational policies determined by the Regents and shall prescribe the licensing of teachers employed in this State.

Education Law § 3204 provides that a minor required to attend upon instruction may attend at a public school or elsewhere.

Education Law § 3205 provides that each minor from six to sixteen years of age in each school district or on an Indian reservation shall attend upon full time instruction.

2. LEGISLATIVE OBJECTIVES:

The proposed amendment is consistent with the above statutory authority and is necessary to provide regulatory flexibility in response to the cancellation of the January 2022 Regents examination administration due to the COVID-19 crisis so that students can still meet the diploma, credential, and endorsement requirements related to the passing of such examinations.

3. NEEDS AND BENEFITS:

The COVID-19 pandemic has resulted in the limited administration of Regents examinations since spring 2020. In response, the Department has adopted regulatory amendments exempting students from the diploma, credential, and endorsement requirements related to the passing of such examinations. The Department also adopted regulatory amendments to provide parents and persons in parental relation of eligible students the opportunity to decline such an exemption.

Currently, COVID-19 cases are surging in the State of New York. Many students have been unable to attend class in person due to quarantine guidelines. Additionally, many school districts have shifted to fully remote instruction due to high rates of positive COVID-19 cases in their buildings. Therefore, the Department has canceled the January 2022 administration of Regents examinations. These examinations cannot presently be safely, equitably, and fairly administered across the State.

To ensure that the cancellation of these examinations does not adversely impact students, the Department now proposes additional regulatory amendments to section 100.5(a) of the Commissioner's regulations to provide an exemption to the diploma, credential, and endorsement requirements related to the passing of January 2022 Regents examinations. This amendment will ensure that students may still meet such requirements.

Additionally, the Department is proposing to amend section 100.5(a) of the Commissioner's regulations to extend the exemption declination. This amendment will permit parents and persons in parental relation to decline the exemption to the graduation assessment requirement(s) for any year that their child has been exempted prior to such child's graduation. Schools must notify parents of the option to decline such exemption to parents and persons in parental relation prior to the conclusion of the semester in which students are due to receive their high school diploma (10 days prior for those graduating after the first semester of the 2021-22 school year and 30 days prior to the second semester of the 2021-22 school year and thereafter).

4. COSTS:

a. Costs to State government: The amendments do not impose any costs on State government.

b. Costs to local government: The amendments do not impose any costs on local government.

c. Costs to private regulated parties: The amendments do not impose any costs on private regulated parties.

d. Cost to the regulatory agency: There are no additional costs to the State Education Department.

5. LOCAL GOVERNMENT MANDATES:

The proposed amendment does not impose any additional program, service, duty or responsibility upon any local government.

6. PAPERWORK:

The proposed amendment does not impose any additional paperwork requirements.

7. DUPLICATION:

The proposed amendment does not duplicate existing State or Federal requirements.

8. ALTERNATIVES:

The proposed amendment is necessary to provide regulatory flexibility in response to the cancellation of the January 2022 Regents examination administration due the COVID-19 crisis so that students can still meet the diploma, credential, and endorsement requirements related to the passing of such examinations. There are no significant alternatives to the proposed amendment and none were considered.

9. FEDERAL STANDARDS:

There are no applicable Federal standards.

10. COMPLIANCE SCHEDULE:

If adopted as an emergency rule at the January 2022 Regents meeting, the proposed amendment will become effective as an emergency rule on January 11, 2022. It is anticipated that the proposed amendment will be presented for permanent adoption at the May 2022 Regents meeting, after publication of the proposed amendment in the State Register and expiration of the 60-day public comment period required under the State Administrative Procedure Act. If adopted at the May 2022 meeting, the proposed amendment will become effective as a permanent rule on June 1, 2022. It is anticipated that regulated parties will be able to comply with the proposed amendment by the effective date.

Regulatory Flexibility Analysis

(a) Small businesses:

The proposed rule provides regulatory flexibility in response to the cancellation of the January 2022 Regents examination administration due the COVID-19 crisis so that students can still meet the diploma, credential, and endorsement requirements related to the passing of such examinations. The proposed amendments do not impose any adverse economic impact, reporting, recordkeeping or any other compliance requirements on small businesses. Because it is evident from the nature of the proposed amendments that they do not affect small businesses, no further measures were needed to ascertain that fact, and none were taken. Accordingly, a regulatory flexibility analysis for small businesses is not required and one has not been prepared.

(b) Local governments:

1. EFFECT OF RULE:

The purpose of the proposed amendments is to provide regulatory flexibility in response to the cancellation of the January 2022 Regents examination administration due the COVID-19 crisis so that students can still meet the diploma, credential, and endorsement requirements related to the passing of such examinations. The proposed amendment applies to all school districts in the State, and to charter schools and nonpublic schools that are authorized to issue regular high school diplomas with respect to State assessments and high school graduation and diploma requirements.

2. COMPLIANCE REQUIREMENTS:

The proposed rule provides exempts students from the diploma, credential, and endorsement requirements related to the January 2022 Regents examinations in response to the cancellation of the January 2022 Regents examination administration due the COVID-19 crisis so that students can still meet the diploma, credential, and endorsement requirements related to the passing of such examinations.

Additionally, the proposed rule permits parents and persons in parental relation to decline the exemption to the graduation assessment requirement(s) for any year that their child has been exempted prior to such child's graduation. Schools must notify parents of the option to decline such exemption to parents and persons in parental relation prior to the conclusion of the semester in which students are due to receive their high school diploma (10 days prior for those graduating after the first semester of the 2021-22 school year and 30 days prior to the second semester of the 2021-22 school year and thereafter).

3. PROFESSIONAL SERVICES:

The proposed amendment does not impose any additional professional services requirements on local governments.

4. COMPLIANCE COSTS:

The proposed amendment will not impose any additional program, service, duty, responsibility or costs on local governments.

5. ECONOMIC AND TECHNOLOGICAL FEASIBILITY:

The proposed rule does not impose any additional costs or technological requirements on local governments.

6. MINIMIZING ADVERSE IMPACT:

The proposed amendments are necessary to provide flexibility in response to the cancellation of the January 2022 Regents examination administration due the COVID-19 crisis so that students can still meet the diploma, credential, and endorsement requirements related to the passing of such examinations. Accordingly, no alternatives were considered.

7. LOCAL GOVERNMENT PARTICIPATION:

Comments on the proposed rule were solicited from school districts through the offices of the district superintendents of each supervisory district in the State and from the chief school officers of the five big city school districts.

Rural Area Flexibility Analysis

1. TYPES AND ESTIMATED NUMBERS OF RURAL AREAS:

The proposed rule applies to all school districts in the State, and to charter schools and nonpublic schools that are authorized to issue regular high school diplomas with respect to State assessments and high school graduation and diploma requirements, including those located in the 44 rural counties with less than 200,000 inhabitants and the 71 towns in urban counties with a population density of 150 per square mile or less.

2. REPORTING, RECORDKEEPING, AND OTHER COMPLIANCE REQUIREMENTS; AND PROFESSIONAL SERVICES:

The proposed rule is necessary to provide regulatory flexibility in response to the cancellation of the January 2022 Regents examination administration due the COVID-19 crisis so that students can still meet the diploma, credential, and endorsement requirements related to the passing of such examinations.

3. COSTS:

The proposed amendment does not impose any additional costs to public, charter and non-public schools, including those in rural areas.

4. MINIMIZING ADVERSE IMPACT:

The proposed rule is necessary provide regulatory flexibility in response to the cancellation of the January 2022 Regents examination administration due the COVID-19 crisis so that students can still meet the diploma, credential, and endorsement requirements related to the passing of such examinations. Therefore, no alternatives were considered for public, charter and non-public schools located in rural areas.

5. RURAL AREA PARTICIPATION:

Comments on the proposed rule will be solicited from school districts through the offices of the district superintendents of each supervisory district in the State, from the chief school officers of the five big city school districts and from charter schools, including those in rural areas.

Job Impact Statement

The proposed rule is necessary to provide regulatory flexibility in response to the cancellation of the January 2022 Regents examination administration due the COVID-19 crisis so that students can still meet the diploma, credential, and endorsement requirements related to the passing of such examinations.

Because it is evident from the nature of the proposed rule that it will have no impact on the number of jobs or employment opportunities in New York State, no further steps were needed to ascertain that fact and none were taken. Accordingly, a job impact statement is not required and one has not been prepared.

EMERGENCY/PROPOSED RULE MAKING NO HEARING(S) SCHEDULED

Administration of Immunizations by Pharmacists

I.D. No. EDU-04-22-00009-EP

Filing No. 20

Filing Date: 2022-01-11

Effective Date: 2022-01-31

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following action:

Proposed Action: Amendment of section 63.9 of Title 8 NYCRR.

Statutory authority: Education Law, sections 207, 6504, 6507, 6527, 6801, 6802, 6909; L. 2021, ch. 555

Finding of necessity for emergency rule: Preservation of public health and general welfare.

Specific reasons underlying the finding of necessity: The proposed rule is necessary to implement Chapter 555 of the Laws of 2021 (Chapter 555), which is effective January 31, 2022. This amendment conforms the Commissioner's regulation to Chapter 555, which amends the Education Law, inter alia, to authorize certified pharmacists to administer, pursuant to a

patient-specific order or a non-specific patient order issued by a licensed physician or a certified nurse practitioner, immunizations for: (1) hepatitis A; (2) hepatitis B; (3) human papillomavirus; (4) measles; (5) mumps; (6) rubella; (7) varicella.

Chapter 555 also permits certified pharmacists to administer other immunizations, to patients 18 years old or older, recommended by the Advisory Committee on Immunization Practices (ACIP) of the Centers for Disease Control and Prevention (CDC), if the Commissioner of the Department of Health (DOH) in consultation with the Commissioner determine that an immunization: (1) may be safely administered by a licensed pharmacist within their lawful scope of practice; and (2) is needed to prevent the transmission of a reportable communicable disease that is prevalent in New York State; or (3) is a recommended immunization for such patients who: (a) meet age requirements; (b) lack documentation of such immunization; (c) lack evidence of past infection; or (d) have an additional risk factor or another indication as recommended by the advisory committee on immunization practices of the CDC.

Additionally, Chapter 555 removes the requirement that a licensed physician or certified nurse practitioner, who prescribes or issues a patient specific order or a non-patient specific order for a pharmacist to administer immunizations, must have a practice site in the county or adjoining county in which the immunizations are administered.

Finally, Chapter 555 removes the sunset provisions for the authorization for certified pharmacists to administer certain immunizations.

Since the Board of Regents meets at fixed intervals, the earliest the proposed rule can be presented for adoption, after expiration of the required 60-day public comment period provided for in the State Administrative Procedure Act (SAPA) sections 201(1) and (5), would be the May 16-17, 2022 Regents meeting. Furthermore, pursuant to SAPA section 203(1), the earliest effective date for the proposed rule, if adopted at the May meeting, would be June 1, 2022, the date the Notice of Adoption would be published in the State Register. However, Chapter 555 becomes effective January 31, 2022.

Therefore, emergency action is necessary at the January 2022 meeting for the preservation of the public health and general welfare in order to enable the State Education Department to timely implement the requirements of Chapter 555, which expands the immunizations that certified pharmacists are authorized to administer and the public's access to them.

It is anticipated that the proposed rule will be presented for adoption as a permanent rule at the May 16-17, 2022 Regents meeting, which is the first scheduled meeting after the expiration of the 60-day public comment period prescribed in SAPA for State agency rule makings.

Subject: Administration of Immunizations by Pharmacists.

Purpose: To conform the Commissioner's regulation to chapter 555 of the Laws of 2021 authorizing pharmacists to administer certain immunizations.

Text of emergency/proposed rule: 1. Section 63.9 of the Regulations of the Commissioner of Education is amended, as follows:

Section 63.9. Immunizations and emergency treatment of anaphylaxis pursuant to patient specific and non-patient specific orders and protocols

(a) [Applicability. This section shall apply only to the extent that the applicable provisions in Education Law sections 6527, 6801, 6802, 6828 and 6909, authorizing certified pharmacists to administer certain immunization agents and medications for the emergency treatment of anaphylaxis, have not expired or been repealed.

(b) Immunizations.

(1) Pursuant to section 6801 of the Education Law, a pharmacist with a certificate of administration issued by the department pursuant to paragraph (3) of this subdivision shall be authorized to administer immunization agents prescribed in paragraph (2) of this subdivision to patients therein specified, provided that:

(i) the pharmacist meets the requirements for a certificate of administration prescribed in paragraph (3) of this subdivision and the order and protocol meet the requirements set forth in paragraph (5) of this subdivision; and

(ii) with respect to non-patient specific orders:

(a) the immunization is prescribed or ordered by a *New York State* licensed and registered physician or certified nurse practitioner, with a practice site in [the county or adjoining county in which the immunization is administered] *New York State*; and

(b) if the commissioner of health determines that there is an outbreak of disease, or that there is the imminent threat of an outbreak of disease, then the commissioner of health may issue a non-patient specific regimen applicable statewide.

(2) Authorized immunization agents. A certified pharmacist who meets the requirements of this section shall be authorized to [administer to]:

(i) *administer to* patients 18 years of age or older, immunizing agents to prevent influenza, pneumococcal, acute herpes zoster, *hepatitis*

A, hepatitis B, human papillomavirus, measles, mumps, rubella, varicella, COVID-19, meningococcal, tetanus, diphtheria, [COVID-19,] or pertussis disease, pursuant to a patient specific order or a non-patient specific order; [and]

(ii) *administer to* patients between the ages of two and [eighteen] 18 years of age, immunizing agents to prevent influenza, pursuant to a patient specific order or a non-patient specific order[.]; *and*

(iii) *administer other immunizations recommended by the advisory committee on immunization practices of the centers for disease control and prevention for patients 18 years of age or older, pursuant to a patient specific or a non-patient specific order, if the commissioner of health in consultation with the commissioner determines that an immunization:*

(a) *may be safely administered by a licensed pharmacist within their lawful scope of practice; and*

(b) *is needed to prevent the transmission of a reportable communicable disease that is prevalent in New York State; or*

(c) *is a recommended immunization for such patients who:*

(1) *meet age requirements;*

(2) *lack documentation of such immunization;*

(3) *lack evidence of past infection; or*

(4) *have an additional risk factor or another indication as recommended by the advisory committee on immunization practices of the centers for disease control and prevention.*

[(c)] (b) Anaphylaxis treatment agents.

(1) ...

(2) ...

(3) ...

This notice is intended: to serve as both a notice of emergency adoption and a notice of proposed rule making. The emergency rule will expire April 10, 2022.

Text of rule and any required statements and analyses may be obtained from: Kirti Goswami, NYS Education Department, Office of Counsel, 89 Washington Avenue, Room 112EB, Albany, NY 12234, (518) 474-6400, email: legal@nysed.gov

Data, views or arguments may be submitted to: Sarah S. Benson, Deputy Commissioner, NYS Education Department, Office of the Professions, 89 Washington Ave., 2nd Floor EB, Albany, NY 12234, (518) 474-1431, email: REGCOMMENTS@nysed.gov

Public comment will be received until: 60 days after publication of this notice.

This rule was not under consideration at the time this agency submitted its Regulatory Agenda for publication in the Register.

Regulatory Impact Statement

1. STATUTORY AUTHORITY:

Section 207 of the Education Law grants general rule-making authority to the Board of Regents to carry into effect the laws and policies of the State relating to education.

Section 6504 of the Education Law authorizes the Board of Regents to supervise the admission to and regulation of the practice of the professions.

Paragraph (a) of subdivision (2) of section 6507 of the Education Law authorizes the Commissioner of Education to promulgate regulations in administering the admission to and the practice of the professions.

Subdivision (7) of section 6527 of the Education Law, as amended by Chapter 555 of the Laws of 2021, authorizes physicians to prescribe and order a patient specific order or non-patient specific regimen to a certified pharmacist¹ for: (a) administering immunizations to prevent influenza to patients two years of age or older; and (b) administering immunizations to prevent pneumococcal, acute herpes zoster, hepatitis A, hepatitis B, human papillomavirus, measles, mumps, rubella, varicella, COVID-19, meningococcal, tetanus, diphtheria, COVID-19, or pertussis disease and medications required for emergency treatment of anaphylaxis to patients eighteen years of age or older; and (c) administering other immunizations recommended by the Advisory Committee on Immunization Practices (ACIP) of the Centers for Disease Control (CDC) and prevention for patients eighteen years of age or older if the Commissioner of the New York State Department of Health (DOH) in consultation with the Commissioner of the New York State Education Department (SED) determines that an immunization meets certain specified requirements.

Section 6801 of the Education Law defines the practice of the profession of pharmacy and establishes various requirements relating to the administration of immunizations by licensed pharmacists, including, inter alia, the training requirements licensed pharmacists must satisfy to become certified to administer immunizations, reporting requirements for all vaccines administered by certified pharmacists and requirements regarding the provision of information by the certified pharmacist, regarding the immunization, to either the patient or the person legally responsible for the patient, as well as the requirement that a certified pharmacist, when administering an immunization in a pharmacy, provide for an area that provides for the patient's privacy.

Paragraphs (a) and (b) of subdivision (22) of section 6802 of the Education Law, as amended by Chapter 555 of the Laws of 2021, defines the terms administer and immunizing agent and authorizes licensed pharmacists to execute patient specific and non-patient specific orders prescribed by a licensed physician or certified nurse practitioner for: immunizations to prevent influenza, pneumococcal, acute herpes zoster, hepatitis A, hepatitis B, human papillomavirus, measles, mumps, rubella, varicella, COVID-19, meningococcal, tetanus, diphtheria, COVID-19, or pertussis disease and medications required for emergency treatment of anaphylaxis; and other immunizations recommended by the ACIP of the CDC for patients eighteen years of age or older if the Commissioner of DOH in consultation with the Commissioner of SED determines that an immunization meets certain specified requirements.

Paragraphs (a) and (b) of subdivision (22) of section 6802 of the Education Law, as amended by Chapter 555 of the Laws of 2021, also removes the requirement that a licensed physician or certified nurse practitioner, who prescribes or issues a patient specific order or a non-patient specific order for a pharmacist to administer immunizations, must have a practice site in the county or adjoining county in which the immunizations are administered.

Subdivision (7) of section 6909 of the Education Law, as amended by Chapter 555 of the Laws of 2021, authorizes nurse practitioners to prescribe and order a patient specific order or non-patient specific regimen to a licensed pharmacist for: (a) administering immunizations to prevent influenza, to patients two years of age or older; and (b) administering immunizations to prevent pneumococcal, acute herpes zoster, hepatitis A, hepatitis B, human papillomavirus, measles, mumps, rubella, varicella, COVID-19, meningococcal, tetanus, diphtheria, COVID-19, or pertussis disease and medications required for emergency treatment of anaphylaxis to patients eighteen years of age or older; and (c) administering other immunizations recommended by the ACIP of the CDC for patients eighteen years of age or older if the Commissioner of DOH in consultation with the Commissioner of SED determines that an immunization meets certain specified requirements.

2. LEGISLATIVE OBJECTIVES:

The proposed rule implements Chapter 555 of the Laws of 2021 (Chapter 555), which effective January 31, 2022, inter alia, amends the Education Law to authorize certified pharmacists to administer, pursuant to a patient-specific order or a non-specific patient order issued by a licensed physician or a certified nurse practitioner, immunizations for: (1) hepatitis A; (2) hepatitis B; (3) human papillomavirus; (4) measles; (5) mumps; (6) rubella; and (7) varicella.

Chapter 555 also permits certified pharmacists to administer other immunizations, to patients 18 years old or older, recommended by the ACIP of the CDC. This is conditioned on a determination by the Commissioner of DOH, in consultation with the Commissioner of SED, that an immunization: (1) may be safely administered by a licensed pharmacist within their lawful scope of practice; and (2) is needed to prevent the transmission of a reportable communicable disease that is prevalent in New York State; or (3) is a recommended immunization for such patients who: (a) meet age requirements; (b) lack documentation of such immunization; (c) lack evidence of past infection; or (d) have an additional risk factor or another indication as recommended by the ACIP of the CDC.

This law also removes the requirement that a licensed physician or certified nurse practitioner, who prescribes or issues a patient specific order or a non-patient specific order for a pharmacist to administer immunizations, must have a practice site in the county or adjoining county in which the immunizations are administered.

Finally, it eliminates the sunset provisions for the authorization for certified pharmacists to administer certain immunizations, making such provisions permanent.

The proposed rule amends section 63.9 of the Commissioner's regulations to implement the provisions of Chapter 555 as follows:

- Subdivision (a) of such section is amended to remove the sunset provisions for the authorization for certified pharmacists to administer certain immunizations;
- Clause (b)(1)(ii)(a) of such section is amended to remove the requirement that the licensed physician or certified nurse practitioner who prescribes or issues a patient specific order or a non-patient specific order (for a pharmacist to administer immunizations) must have a practice site in the county or adjoining county in which the immunizations are administered. It also clarifies that the physician or certified nurse practitioner who issues such orders must be licensed, registered, and maintain a practice site in New York State;
- Subparagraph (b)(2)(i) of such section is amended to add hepatitis A, hepatitis B, human papillomavirus, measles, mumps, rubella, and varicella to the list of immunizing agents that certified pharmacists can administer to patients 18 years of age or older, pursuant to a patient specific or a non-patient specific order; and
- A new subparagraph (b)(2)(iii) is added to permit certified pharmacists

to administer other immunizations recommended by the ACIP for patients eighteen years of age or older, pursuant to a patient specific or a non-patient specific order, if the Commissioner of DOH in consultation with the Commissioner determines that an immunization:

- (1) may be safely administered by a licensed pharmacist within their lawful scope of practice; and
- (2) is needed to prevent the transmission of a reportable communicable disease that is prevalent in New York State; or is a recommended immunization for such patients who:
 - (a) meet age requirements;
 - (b) lack documentation of such immunization;
 - (c) lack evidence of past infection; or
 - (d) have an additional risk factor or another indication as recommended by the ACIP of the CDC.

3. NEEDS AND BENEFITS:

The purpose of the proposed rule is to make immunizations more readily available to the public by authorizing certified pharmacists, pursuant to patient specific and non-patient specific orders issued by a licensed physician or certified nurse practitioner, to administer more types of immunizations. The proposed rule is necessary to conform the Commissioner's regulations to Chapter 555.

4. COSTS:

- (a) Costs to State government: There are no additional costs to state government.
- (b) Costs to local government: There are no additional costs to local government.
- (c) Cost to private regulated parties. There are no mandatory costs to private regulated parties.
- (d) Cost to the regulatory agency: There are no additional costs to the Department.

5. LOCAL GOVERNMENT MANDATES:

The proposed rule does not impose any program service, duty, responsibility, or other mandate on local governments.

6. PAPERWORK:

The proposed rule does not impose any paperwork mandates because it does not require pharmacists to become certified to administer immunizations. For pharmacists, who choose to become certified to administer immunizations, they would be responsible for complying with any of the reporting, recordkeeping or other requirements that certified licensed pharmacists must comply with when immunizing people.

7. DUPLICATION:

There are no other state or federal requirements on the subject matter of the proposed rule. Therefore, the amendment does not duplicate other existing state or federal requirements.

8. ALTERNATIVES:

The proposed rule implements statutory requirements. There are no significant alternatives to the proposed rule and none were considered.

9. FEDERAL STANDARDS:

Since there are no applicable federal standards, the proposed rule does not exceed any minimum federal standards for the same or similar subject areas.

10. COMPLIANCE SCHEDULE:

The proposed rule is necessary to conform the Commissioner's regulations to Chapter 555, which, among other things, expands the types of immunizations that certified pharmacists can administer, pursuant to patient specific and non-patient specific orders issued by license physicians or certified nurse practitioners. If adopted at the May 2022 Regents meeting, the proposed amendment to section 63.9, of the Commissioner's regulations will become effective on June 1, 2022. It is anticipated that the regulated parties will be able to comply with the proposed rule by the effective date.

¹In order to administer authorized immunizations, a licensed and registered pharmacist must be certified by the Department following the completion of a satisfactory training program.

Regulatory Flexibility Analysis

The purpose of the proposed rule is to implement Chapter 555 of the Laws of 2021 (Chapter 555), which effective January 31, 2022, inter alia, amends the Education Law to authorize certified pharmacists¹ to administer, pursuant to a patient-specific order or a non-specific patient order issued by a licensed physician or a certified nurse practitioner, immunizations for: (1) hepatitis A; (2) hepatitis B; (3) human papillomavirus; (4) measles; (5) mumps; (6) rubella; and (7) varicella. Chapter 555 enacted to make additional immunizations more readily available to the public.

Chapter 555 also permits certified pharmacists to administer other immunizations, to patients 18 years old or older, recommended by the ACIP of the CDC. This is conditioned on a determination by the Commissioner of DOH, in consultation with the Commissioner of SED, that an immunization: (1) may be safely administered by a licensed pharmacist within their lawful scope of practice; and (2) is needed to prevent the transmission of a reportable communicable disease that is prevalent in

New York State; or (3) is a recommended immunization for such patients who: (a) meet age requirements; (b) lack documentation of such immunization; (c) lack evidence of past infection; or (d) have an additional risk factor or another indication as recommended by the ACIP of the CDC.

This law also removes the requirement that a licensed physician or certified nurse practitioner, who prescribes or issues a patient specific order or a non-patient specific order for a pharmacist to administer immunizations, must have a practice site in the county or adjoining county in which the immunizations are administered.

In addition, Chapter eliminates the sunset provisions for the authorization for certified pharmacists to administer certain immunizations, making such provisions permanent.

The proposed rule amends section 63.9 of the Commissioner's regulations to implement the provisions of Chapter 555 as follows:

- Subdivision (a) of such section is amended to remove the sunset provisions for the authorization for certified pharmacists to administer certain immunizations;

- Clause (b)(1)(ii)(a) of such section is amended to remove the requirement that the licensed physician or certified nurse practitioner who prescribes or issues a patient specific order or a non-patient specific order (for a pharmacist to administer immunizations) must have a practice site in the county or adjoining county in which the immunizations are administered. It also clarifies that the physician or certified nurse practitioner who issues such orders must be licensed, registered, and maintain a practice site in New York State;

- Subparagraph (b)(2)(i) of such section is amended to add hepatitis A, hepatitis B, human papillomavirus, measles, mumps, rubella, and varicella to the list of immunizing agents that certified pharmacists can administer to patients 18 years of age or older, pursuant to a patient specific or a non-patient specific order; and

- A new subparagraph (b)(2)(iii) is added to permit certified pharmacists to administer other immunizations recommended by the ACIP for patients eighteen years of age or older, pursuant to a patient specific or a non-patient specific order, if the Commissioner of DOH in consultation with the Commissioner determines that an immunization:

- (1) may be safely administered by a licensed pharmacist within their lawful scope of practice; and

- (2) is needed to prevent the transmission of a reportable communicable disease that is prevalent in New York State; or is a recommended immunization for such patients who:

- (a) meet age requirements;
- (b) lack documentation of such immunization;
- (c) lack evidence of past infection; or
- (d) have an additional risk factor or another indication as recommended by the ACIP of the CDC.

The proposed rule does not impose any new reporting, recordkeeping, or other compliance requirements on local governments or have any adverse economic impact on small businesses or local governments. Because it is evident from the nature of the proposed amendment that it will not adversely affect small businesses or local governments, no affirmative steps were needed to ascertain that fact and none were taken. Accordingly, a regulatory flexibility analysis for small businesses and local governments is not required, and one has not been prepared.

¹In order to administer authorized immunizations, a licensed and registered pharmacist must be certified by the Department following the completion of a satisfactory training program.

Rural Area Flexibility Analysis

The purpose of the proposed rule is to implement Chapter 555 of the Laws of 2021 (Chapter 555), which effective January 31, 2022, inter alia, amends the Education Law to authorize certified pharmacists¹ to administer, pursuant to a patient-specific order or a non-specific patient order issued by a licensed physician or a certified nurse practitioner, immunizations for: (1) hepatitis A; (2) hepatitis B; (3) human papillomavirus; (4) measles; (5) mumps; (6) rubella; and (7) varicella. Chapter 555 was enacted to make additional immunizations more readily available to the public.

Chapter 555 also permits certified pharmacists to administer other immunizations, to patients 18 years old or older, recommended by the ACIP of the CDC. This is conditioned on a determination by the Commissioner of DOH, in consultation with the Commissioner of SED, that an immunization: (1) may be safely administered by a licensed pharmacist within their lawful scope of practice; and (2) is needed to prevent the transmission of a reportable communicable disease that is prevalent in New York State; or (3) is a recommended immunization for such patients who: (a) meet age requirements; (b) lack documentation of such immunization; (c) lack evidence of past infection; or (d) have an additional risk factor or another indication as recommended by the ACIP of the CDC.

This law also removes the requirement that a licensed physician or certified nurse practitioner, who prescribes or issues a patient specific order or a non-patient specific order for a pharmacist to administer immuniza-

tions, must have a practice site in the county or adjoining county in which the immunizations are administered.

In addition, Chapter eliminates the sunset provisions for the authorization for certified pharmacists to administer certain immunizations, making such provisions permanent.

The proposed rule amends section 63.9 of the Commissioner's regulations to implement the provisions of Chapter 555 as follows:

- Subdivision (a) of such section is amended to remove the sunset provisions for the authorization for certified pharmacists to administer certain immunizations;

- Clause (b)(1)(ii)(a) of such section is amended to remove the requirement that the licensed physician or certified nurse practitioner who prescribes or issues a patient specific order or a non-patient specific order (for a pharmacist to administer immunizations) must have a practice site in the county or adjoining county in which the immunizations are administered. It also clarifies that the physician or certified nurse practitioner who issues such orders must be licensed, registered, and maintain a practice site in New York State;

- Subparagraph (b)(2)(i) of such section is amended to add hepatitis A, hepatitis B, human papillomavirus, measles, mumps, rubella, and varicella to the list of immunizing agents that certified pharmacists can administer to patients 18 years of age or older, pursuant to a patient specific or a non-patient specific order; and

- A new subparagraph (b)(2)(iii) is added to permit certified pharmacists to administer other immunizations recommended by the ACIP for patients eighteen years of age or older, pursuant to a patient specific or a non-patient specific order, if the Commissioner of DOH in consultation with the Commissioner determines that an immunization:

- (1) may be safely administered by a licensed pharmacist within their lawful scope of practice; and

- (2) is needed to prevent the transmission of a reportable communicable disease that is prevalent in New York State; or is a recommended immunization for such patients who:

- (a) meet age requirements;
- (b) lack documentation of such immunization;
- (c) lack evidence of past infection; or
- (d) have an additional risk factor or another indication as recommended by the ACIP of the CDC.

Chapter 555 does not provide any exceptions from these requirements for certified pharmacists in rural areas. Thus, the proposed amendment does not adversely impact entities in rural areas of New York State because all New York State certified pharmacists must comply with the same requirements. Accordingly, no further steps were needed to ascertain the impact of the proposed amendment on entities in rural areas and none were taken. Thus, a rural flexibility analysis is not required, and one has not been prepared.

¹In order to administer authorized immunizations, a licensed and registered pharmacist must be certified by the Department following the completion of a satisfactory training program.

Job Impact Statement

It is not anticipated that the proposed rule will impact jobs or employment opportunities. This is because the proposed amendment implements Chapter 555 of the Laws of 2021 (Chapter 555) by authorizing certified pharmacists¹ to administer, pursuant to a patient-specific order or a non-specific patient order issued by a licensed physician or a certified nurse practitioner, immunizations for: (1) hepatitis A; (2) hepatitis B; (3) human papillomavirus; (4) measles; (5) mumps; (6) rubella; and (7) varicella. Chapter 555 also permits certified pharmacists to administer other immunizations, to patients 18 years old or older, recommended by the ACIP of the CDC. This is conditioned on a determination by the Commissioner of DOH, in consultation with the Commissioner of SED, that an immunization: (1) may be safely administered by a licensed pharmacist within their lawful scope of practice; and (2) is needed to prevent the transmission of a reportable communicable disease that is prevalent in New York State; or (3) is a recommended immunization for such patients who: (a) meet age requirements; (b) lack documentation of such immunization; (c) lack evidence of past infection; or (d) have an additional risk factor or another indication as recommended by the ACIP of the CDC. In addition, Chapter 555 removes the requirement that a licensed physician or certified nurse practitioner, who prescribes or issues a patient specific order or a non-patient specific order for a pharmacist to administer immunizations, must have a practice site in the county or adjoining county in which the immunizations are administered. Finally, it eliminates the sunset provisions for the authorization for certified pharmacists to administer certain immunizations, making such provisions permanent. Therefore, any impact on jobs or employment opportunities created by the proposed amendment is attributable to the statutory requirements, not the proposed amendment, which simply conforms the Commissioner's regulations to the requirements of the statute. The proposed amendment will not have a substantial adverse impact on jobs and employment opportunities.

Because it is evident from the nature of the proposed amendment that it will not affect job and employment opportunities, no affirmative steps were needed to ascertain that fact and none were taken. Accordingly, a job impact statement is not required and one has not been prepared.

In order to administer authorized immunizations, a licensed and registered pharmacist must be certified by the Department following the completion of a satisfactory training program.

EMERGENCY/PROPOSED RULE MAKING NO HEARING(S) SCHEDULED

Assessment Requirements for School District Leader (SDL) and School District Business Leader (SDBL) Program Completion, the Institutional Recommendation for Professional SDL and SDBL Certification, and the Institutional Recommendation Transitional D Certification

I.D. No. EDU-04-22-00010-EP

Filing No. 23

Filing Date: 2022-01-11

Effective Date: 2022-01-11

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following action:

Proposed Action: Amendment of section 52.21 of Title 8 NYCRR.

Statutory authority: Education Law, sections 101, 207, 208, 305, 308, 3001, 3004 and 3009

Finding of necessity for emergency rule: Preservation of general welfare.

Specific reasons underlying the finding of necessity: In response to the COVID-19 pandemic, the Board of Regents exempted school district leader (SDL) and school district business leader (SDBL) candidates from passing the SDL and SDBL assessment, respectively, for program completion and the institutional recommendation for Professional certification, if they completed all program requirements, other than the assessment requirement, during the 2019-2020 or 2020-2021 academic year. The Regents also exempted Transitional D candidates from passing the SDL assessment for the institutional recommendation for Transitional D certification, if they completed all requirements for admitted candidates other than the assessment requirement on or before September 1, 2021. This temporary exemption, which was well-received by the field, has now expired.

In order to achieve consistency across educator preparation programs, the Department now proposes to make these flexibilities permanent. Currently, and incongruously, only SDL, SDBL, and Transitional D candidates are required to pass a certification exam for program completion and/or the institutional recommendation for certification per the Commissioner's regulations; candidates enrolled in teacher, school building leader, or pupil personnel service preparation programs are not. Additionally, this proposed amendment will enable SDL, SDBL, and Transitional D candidates to pursue the Emergency COVID-19 certificate (8 NYCRR 80-5.27).

Since the above COVID-19 related flexibility was only provided in the 2019-2020 and 2020-2021 academic years, SDL, SDBL, and Transitional D candidates who have not passed the SDL or SDBL assessment (as appropriate) cannot currently receive institutional recommendation for certification, which is needed for such candidates to obtain the Emergency COVID-19 certificate. SDL and SDBL candidates would continue to be required to pass the SDL and SDBL assessment, respectively, to earn Professional certification, and Transitional D candidates would continue to be required to pass the SDL assessment to earn Transitional D certification.

Since the Board of Regents meets at fixed intervals, the earliest the proposed amendment could be adopted by regular (nonemergency) action after expiration of the 60-day public comment period provided for in the State Administrative Procedure Act (SAPA) sections 201(1) and (5) would be the May 2022 Regents meeting. Furthermore, pursuant to SAPA 203(1), the earliest effective date of the proposed rule, if adopted at the May meeting, would be June 1, 2022, the date the Notice of Adoption would be published in the State Register.

Therefore, emergency action is necessary at the January 2022 meeting for the preservation of the general welfare and public health in order to continue the exemption for candidates enrolled in school district leader (SDL) and school district business leader (SDBL) programs from taking and passing the SDL and SDBL assessment, respectively, for program completion and the institutional recommendation for Professional certification that ended at the completion of the 2020-2021 academic year, and the exemption for candidates enrolled in a Transitional D program from taking and passing the SDL assessment for the institutional recommendation for Transitional D certification that ended on September 1, 2021, until the exemptions become permanent one June 1, 2022.

It is anticipated that the proposed rule will be presented to the Board of Regents for adoption as a permanent rule at its May 2022 meeting, which is the first scheduled meeting after expiration of the 60-day public comment period mandated by SAPA for State agency rule making. However, since the emergency action will expire before the May Regents meeting, it is anticipated that an additional emergency action will be presented for adoption at the April 2022 Regents meeting.

Subject: Assessment requirements for school district leader (SDL) and school district business leader (SDBL) program completion, the institutional recommendation for Professional SDL and SDBL certification, and the institutional recommendation Transitional D certification.

Purpose: To remove the requirement that SDL and SDBL candidates pass the SDL and SDBL assessment, respectively, for program completion and the institutional recommendation for Professional certification and to remove the requirement that Transitional D candidates pass the SDL assessment for the institutional recommendation for Transitional D certification.

Text of emergency/proposed rule: 1. Subparagraph (vi) of paragraph (3) of subdivision (c) of section 52.21 of the Regulations of the Commissioner of Education is amended to read as follows:

(vi) Requirements for program completion and recommendation for professional certificate.

(a) Candidates shall have successfully met all program requirements established by the institution of higher education and requirements for program registration.

(b) Candidates who have not earned a master's degree prior to admission shall qualify for a master's degree upon program completion, and shall have successfully completed 60 semester hours of graduate study that may include graduate study completed prior to admission and the graduate study required to develop knowledge and skills for school district leadership, as specified in the program's content requirements.

[(c) Candidates shall have completed successfully the New York State assessment for school district leadership, except that the candidate shall be exempt from such assessment for program completion and for the institutional recommendation for the professional certificate in response to the time period of the State of emergency declared by the Governor pursuant to an Executive Order for the COVID-19 crisis, if the candidate completed all program requirements other than the assessment requirement during the 2019-2020 or 2020-2021 academic year. The requirement of achieving a satisfactory level of performance on the New York State assessment for school district leadership shall be waived if the candidate completes the registered program prior to the availability of such New York State assessment. The department shall determine the date on which such assessment is available and required.]

2. Clause (a) of subparagraph (iii) of paragraph (4) of subdivision (c) of section 52.21 of the Regulations of the Commissioner of Education is amended to read as follows:

(a) The program may recommend that the department issue transitional D certificates to admitted candidates who also meet the following requirements:

(1) Written commitment. Candidates shall hold a written commitment from a school district or BOCES for district-mentored and college-supervised employment as a school district leader, with mentoring of candidates for superintendent of schools to be provided by the regional BOCES District Superintendent or the New York City Regional Superintendent, or a superintendent identified by the regional BOCES District Superintendent or New York City Regional Superintendent, subject to the approval of the employing authorities.

(2) Program endorsement. Candidates shall have the program's endorsement for the transitional D certificate, including the program's description of how the candidate met each criterion used by the program to determine that the candidate had exceptional qualifications for becoming a school district leader with the substantial equivalent of three years of experience as a teacher, administrator, or pupil personnel provider in schools.

[(3) State assessment. Candidates shall pass the New York State assessment for school district leadership, except that the candidate shall be exempt from such assessment for the institutional recommendation for the transitional D certificate in response to the time period of the State of emergency declared by the Governor pursuant to an Executive Order for the COVID-19 crisis, if the candidate completed all requirements for admitted candidates other than the assessment requirement on or before September 1, 2021.]

3. Clause (c) of subparagraph (vi) of paragraph (5) of subdivision (c) of

section 52.21 of the Regulations of the Commissioner of Education is amended to read as follows:

(vi) Requirements for program completion and recommendation for professional certificate.

(a) Candidates shall have successfully met all program requirements established by the institution of higher education and requirements for program registration.

(b) Candidates who have not earned a master's degree prior to admission shall qualify for a master's degree upon program completion, and shall have successfully completed 60 semester hours of graduate study that may include graduate study completed prior to admission and the graduate study required to develop knowledge and skills for school district business leadership, as specified in the program's content requirements.

[(c) Candidates shall successfully complete the New York State assessment for school district business leadership, except that the candidate shall be exempt from such assessment for program completion and for the institutional recommendation for the professional certificate in response to the time period of the State of emergency declared by the Governor pursuant to an Executive Order for the COVID-19 crisis, if the candidate completed all program requirements other than the assessment requirement during the 2019-2020 or 2020-2021 academic year. The requirement of achieving a satisfactory level of performance on the New York State assessment for school district business leadership shall be waived if the candidate completes the registered program prior to the availability of such New York State assessment. The department shall determine the date on which such assessment is available and required.]

This notice is intended: to serve as both a notice of emergency adoption and a notice of proposed rule making. The emergency rule will expire April 10, 2022.

Text of rule and any required statements and analyses may be obtained from: Kirti Goswami, NYS Education Department, Office of Counsel, 89 Washington Avenue, Room 112EB, Albany, NY 12234, (518) 474-6400, email: legal@nysed.gov

Data, views or arguments may be submitted to: Petra Maxwell, NYS Education Department, Office of Higher Education, 89 Washington Avenue, Room 975 EBA, Albany, NY 12234, (518) 474-2238, email: OHEREGComments@nysed.gov

Public comment will be received until: 60 days after publication of this notice.

This rule was not under consideration at the time this agency submitted its Regulatory Agenda for publication in the Register.

Regulatory Impact Statement

1. STATUTORY AUTHORITY:

Education Law 101 (not subdivided) charges the Department with the general management and supervision of all public schools and all of the educational work of the state.

Education Law 207 grants general rule-making authority to the Regents to carry into effect State educational laws and policies.

Education Law 208 grants general rule-making authority to the Regents to confer suitable certificates, diplomas and degrees on persons who satisfactorily meet the requirements prescribed.

Education Law 305 authorizes the Commissioner to enforce the educational policies of this State and execute all educational policies determined by the Regents and shall prescribe the licensing of teachers employed in this State.

Education Law 3001 establishes the qualifications of teachers in the classroom.

Education Law 3004 authorizes the Commissioner to promulgate regulations governing the certification requirements for teachers employed in public schools.

Education Law 3009 prohibits school districts from paying the salary of an unqualified teacher.

2. LEGISLATIVE OBJECTIVES:

The proposed amendment is consistent with the above referenced statutory authority and is necessary to achieve consistency across educator preparation programs by: (1) removing the requirement that school district leader (SDL) and school district business leader (SDBL) candidates pass the SDL and SDBL assessment, respectively, for program completion and institutional recommendation for Professional certification, if they completed all program requirements, other than the assessment requirement; and (2) removing the requirement that Transitional D candidates pass the SDL assessment for the institutional recommendation for Transitional D certification if they completed all requirements for admitted candidates other than the assessment requirement. Currently, and incongruously, only SDL, SDBL, and Transitional D candidates are required to pass a certification exam for program completion and/or the institutional recommendation for certification per the Commissioner's regulations; candidates enrolled in teacher, school building leader, or pupil personnel service preparation programs are not.

3. NEEDS AND BENEFITS:

In response to the COVID-19 pandemic, the Board of Regents exempted school district leader (SDL) and school district business leader (SDBL) candidates from passing the SDL and SDBL assessment, respectively, for program completion and the institutional recommendation for Professional certification, if they completed all program requirements, other than the assessment requirement, during the 2019-2020 or 2020-2021 academic year. The Regents also exempted Transitional D candidates from passing the SDL assessment for the institutional recommendation for Transitional D certification if they completed all requirements for admitted candidates other than the assessment requirement on or before September 1, 2021. This temporary exemption, which was well-received by the field, has now expired.

In order to achieve consistency across educator preparation programs, the Department now proposes to make these flexibilities permanent. Currently, and incongruously, only SDL, SDBL, and Transitional D candidates are required to pass a certification exam for program completion and/or the institutional recommendation for certification per the Commissioner's regulations; candidates enrolled in teacher, school building leader, or pupil personnel service preparation programs are not. Additionally, this proposed amendment will enable SDL, SDBL, and Transitional D candidates to pursue the Emergency COVID-19 certificate (8 NYCRR 80-5.27).

Since the above COVID-19 related flexibility was only provided in the 2019-2020 and 2020-2021 academic years, SDL, SDBL, and Transitional D candidates who have not passed the SDL or SDBL assessment (as appropriate) cannot currently receive institutional recommendation for certification, which is needed for such candidates to obtain the Emergency COVID-19 certificate. SDL and SDBL candidates would continue to be required to pass the SDL and SDBL assessment, respectively, to earn Professional certification, and Transitional D candidates would continue to be required to pass the SDL assessment to earn Transitional D certification.

4. COSTS:

a. Costs to State government: The amendment does not impose any costs on State government, including the State Education Department.

b. Costs to local government: The amendment does not impose any costs on local government.

c. Costs to private regulated parties: The amendment does not impose any costs on private regulated parties.

d. Costs to regulating agency for implementation and continued administration: See above.

5. LOCAL GOVERNMENT MANDATES:

The proposed amendment does not impose any additional program, service, duty or responsibility upon any local government.

6. PAPERWORK:

The proposed amendment does not impose any additional paperwork requirements.

7. DUPLICATION:

The proposed amendment does not duplicate existing State or Federal requirements.

8. ALTERNATIVES:

The proposed amendment is necessary to achieve consistency across educator preparation programs. No alternatives to the proposed amendment were considered.

9. FEDERAL STANDARDS:

There are no applicable Federal standards.

10. COMPLIANCE SCHEDULE:

It is anticipated that the proposed rule will be presented to the Board of Regents for permanent adoption at the May 2022 Regents meeting, after publication of the proposed amendment in the State Register and expiration of the 60-day public comment period as required under the State Administrative Procedure Act. Because the emergency action will expire before the May 2022 Regents meeting, it is anticipated that an additional emergency action will be presented for adoption at the March 2022 meeting. If adopted at the May 2022 meeting, the proposed amendment will become effective on June 1, 2022.

Regulatory Flexibility Analysis

The purpose of the proposed amendment to section 52.21 of the Regulations of the Commissioner of Education is to achieve consistency across educator preparation programs by: (1) removing the requirement that school district leader (SDL) and school district business leader (SDBL) candidates pass the SDL and SDBL assessment, respectively, for program completion and institutional recommendation for Professional certification, if they completed all program requirements, other than the assessment requirement; and (2) removing the requirement that Transitional D candidates pass the SDL assessment for the institutional recommendation for Transitional D certification if they completed all requirements for admitted candidates other than the assessment requirement. Currently, and incongruously, only SDL, SDBL, and Transitional D candidates are

required to pass a certification exam for program completion and/or the institutional recommendation for certification per the Commissioner's regulations; candidates enrolled in teacher, school building leader, or pupil personnel service preparation programs are not.

The amendment does not impose any new recordkeeping or other compliance requirements and will not have an adverse economic impact on small businesses or local governments. Because it is evident from the nature of the proposed amendment that it will not affect small businesses or local governments, no further steps were needed to ascertain that fact and none were taken. Accordingly, a regulatory flexibility analysis for small businesses is not required and one has not been prepared.

Rural Area Flexibility Analysis

1. TYPES AND ESTIMATED NUMBERS OF RURAL AREAS:

The proposed amendment applies to all SDL, SDBL, and Transitional D candidates, including those located in the 44 rural counties with fewer than 200,000 inhabitants and the 71 towns and urban counties with a population density of 150 square miles or less.

2. REPORTING, RECORDKEEPING, AND OTHER COMPLIANCE REQUIREMENTS; AND PROFESSIONAL SERVICES:

The purpose of the proposed amendment to section 52.21 of the Regulations of the Commissioner of Education relating to the assessment requirements for School District Leader (SDL) and School District Business Leader (SDBL) program completion, the institutional recommendation for Professional SDL and SDBL certification, and the institutional recommendation Transitional D Certification is to extend the exemption granted to School District Leaders and School District Business Leaders from passing the SDL and SDBL assessment, respectively, for program completion and the institutional recommendations for Professional certification, if they completed all program requirements, other than the assessment requirement, during the 2019-20 or 2020-21 academic years. The Regents also exempted Transitional D candidates from passing the SDL assessment for the institutional recommendation for Transitional D certification if they completed all requirements for admitted candidates other than the assessment requirement on or before September 1, 2021. This temporary exemption, granted in response to the COVID-19 pandemic, which was well-received by the field, has now expired.

In order to achieve consistency across educator preparation programs, the Department now proposes to make these flexibilities permanent. Currently, and incongruously, only SDL, SDBL, and Transitional D candidates are required to pass a certification exam for program completion and/or the institutional recommendation for certification per the Commissioner's regulations; candidates enrolled in teacher, school building leader, or pupil personnel service preparation programs are not. Additionally, this proposed amendment will enable SDL, SDBL, and Transitional D candidates to pursue the Emergency COVID-19 certificate (8 NYCRR 80-5.27).

Since the above COVID-19 related flexibility was only provided in the 2019-2020 and 2020-2021 academic years, SDL, SDBL, and Transitional D candidates who have not passed the SDL or SDBL assessment (as appropriate) cannot currently receive institutional recommendation for certification, which is needed for such candidates to obtain the Emergency COVID-19 certificate. SDL and SDBL candidates would continue to be required to pass the SDL and SDBL assessment, respectively, to earn Professional certification, and Transitional D candidates would continue to be required to pass the SDL assessment to earn Transitional D certification.

3. COSTS:

The proposed amendment does not impose any costs on SDL, SDBL, or Transitional D certificate candidates and/or the New York State school districts/BOCES who wish to hire them.

4. MINIMIZING ADVERSE IMPACT:

The Department believes that uniform standards for certification must be established across the State. Therefore, no alternatives were considered for those located in rural areas of the State.

5. RURAL AREA PARTICIPATION:

Copies of the proposed amendments have been provided to the New York Association of Colleges for Teacher Education for review and comment.

Job Impact Statement

The purpose of the proposed amendment to section 52.21 of the Regulations of the Commissioner of Education is to achieve consistency across educator preparation programs by: (1) removing the requirement that school district leader (SDL) and school district business leader (SDBL) candidates pass the SDL and SDBL assessment, respectively, for program completion and institutional recommendation for Professional certification, if they completed all program requirements, other than the assessment requirement; and (2) removing the requirement that Transitional D candidates pass the SDL assessment for the institutional recommendation for Transitional D certification if they completed all requirements for

admitted candidates other than the assessment requirement. Currently, and incongruously, only SDL, SDBL, and Transitional D candidates are required to pass a certification exam for program completion and/or the institutional recommendation for certification per the Commissioner's regulations; candidates enrolled in teacher, school building leader, or pupil personnel service preparation programs are not.

Because it is evident from the nature of the proposed amendment that it will have no impact on the existing number of jobs or employment opportunities in New York State, no further steps were needed to ascertain that fact and none were taken.

NOTICE OF EMERGENCY

ADOPTION

AND REVISED RULE MAKING NO HEARING(S) SCHEDULED

Annual Visitation of Voluntarily Registered Nursery Schools and Kindergartens

I.D. No. EDU-48-21-00012-ERP

Filing No. 24

Filing Date: 2022-01-11

Effective Date: 2022-01-11

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following action:

Action Taken: Amendment of section 125.1(d) and (e) of Title 8 NYCRR.

Statutory authority: Education Law, sections 101, 207, 210, 3602 and 3602-e

Finding of necessity for emergency rule: Preservation of public health and general welfare.

Specific reasons underlying the finding of necessity: At its February 2021 meeting, the Board of Regents permanently adopted amendments to section 125.1 of the Commissioner's regulations providing that Department staff must conduct mandatory visitations to voluntarily registered nursery schools and kindergartens "as soon as practicable" if prevented from doing so in the 2019-2020 or 2020-2021 school year(s) due to the COVID-19 crisis. Ordinarily, these inspections are required annually (8 NYCRR 125.1[c]). The Department extended the registration of nursery schools and kindergartens whose registration was up for renewal that did not have on-site visits in the 2019-2020 and/or 2020-2021 school years due to COVID-19.

At its November 2021 meeting, the Board of Regents adopted emergency amendments to section 125.1(d) of the Commissioner's regulations to extend the above flexibility to the 2021-2022 school year due to the ongoing challenges of the COVID-19 crisis.

The proposed amendment was presented to the P-12 Education Committee for recommendation to the Full board for adoption as an emergency rule at the November 2021 meeting of the Board of Regents, effective November 16, 2021. The Department has revised the proposed rule to include inadvertently omitted amended language. Since the Board of Regents meets at fixed intervals, the earliest the proposed amendment could be adopted by regular (nonemergency) action after expiration of the 45-day public comment period required in the State Administrative Procedure Act (SAPA) section 201(4-a) for revised rule makings would be the April 2022 Regents meeting. Furthermore, pursuant to SAPA 203(1), the earlier effective date of the proposed rule, if adopted at the April meeting, would be April 27, 2021, the date the Notice of Adoption would be published in the State Register.

Therefore, emergency action is necessary at the January 2022 meeting for the preservation of the general welfare and public health in order to continue to provide flexibility for the annual visitation of voluntarily registered nursery schools and kindergartens during the 2021-2022 school year due to the COVID-19 crisis.

It is anticipated that the proposed rule will be presented to the Board of Regents for adoption as a permanent rule at the April 2022 meeting, which is the first scheduled meeting after expiration of the 45-day public comment period mandated by SAPA for state agency revised rule making. However, since the emergency action will expire before the April Regents meeting, it is anticipated that an additional emergency action will be presented for adoption at the February 2022 Regents meeting.

Subject: Annual visitation of voluntarily registered nursery schools and kindergartens.

Purpose: To extend flexibility for the annual visitation of voluntarily registered nursery schools and kindergartens to the 2021-2022 school year.

Text of emergency/revised rule: Subdivisions (d) and (e) of section 125.1

of the Regulations of the Commissioner of Education is amended to read as follows:

(d) Where Department staff are unable to conduct an annual visit in accordance with subdivision (c) of this section in the 2019-2020, [or] 2020-2021, or 2021-2022 school [year] year(s) due to the COVID-19 crisis, Department staff shall conduct such visit as soon as practicable.

(e) Notwithstanding subdivision (b) of this section, any voluntarily registered school whose registration expires in the 2019-2020, [or] 2020-2021, or 2021-2022 school year, and does not receive a required on-site visit from Department staff due to the COVID-19 crisis pursuant to subdivision (d) of this section, shall have such registration extended, subject to revocation for cause, and such registration shall be up for renewal in the [2021-2022] 2022-2023 school year.

This notice is intended To serve as both a notice of emergency adoption and a notice of revised rule making. The notice of proposed rule making was published in the *State Register* on December 1, 2021, I.D. No. EDU-48-21-00012-EP. The emergency rule will expire March 11, 2022.

Emergency rule compared with proposed rule: Substantial revisions were made in section 125.1(e).

Text of rule and any required statements and analyses may be obtained from: Kirti Goswami, NYS Education Department, Office of Counsel, 89 Washington Avenue, Room 112EB, Albany, NY 12234, (518) 474-6400, email: legal@nysed.gov

Data, views or arguments may be submitted to: Marybeth Casey, Assistant Commissioner, NYS Education Department, Office of Curriculum & Instruction, 89 Washington Avenue, Room 2M, Albany, NY 12234, (518) 474-0059, email: REGCOMMENTS@nysed.gov

Public comment will be received until: 45 days after publication of this notice.

Revised Regulatory Impact Statement

Since publication of a Notice of Emergency Adoption and Proposed Rule Making in the *State Register* on December 1, 2021, the following substantial revisions were made to the proposed rule:

The proposed rule has been revised to include an amendment to section 125.1(e) of the Commissioner's regulations, extending the registration of nursery schools and kindergartens whose registration was up for renewal that did not have on-site visits in the 2019-2020, 2020-2021, or 2021-2022 school years due to COVID-19. Additionally, the revised proposed rule provides that such registration shall be up for renewal in the 2022-2023 school year.

The above changes require that the LEGISLATIVE OBJECTIVES, NEEDS AND BENEFITS, and COMPLIANCE sections of the previously published Regulatory Impact Statement be revised to read as follows:

2. LEGISLATIVE OBJECTIVES:

The proposed amendment is consistent with the above statutory authority and is necessary to provide additional regulatory flexibility in response to the COVID-19 crisis by providing that Department staff must conduct mandatory visitations to voluntarily registered nursery schools and kindergartens "as soon as practicable" if prevented from doing so in the 2021-2022 school year due to the COVID-19 crisis. The proposed amendment also extends the registration of nursery schools and kindergartens whose registration was up for renewal that did not have on-site visits in the 2021-2022 school year due to COVID-19, to hold such schools harmless for not receiving an annual visitation. Their registration will be up for renewal in the 2022-2023 school year.

3. NEEDS AND BENEFITS:

At its February 2021 meeting, the Board of Regents permanently adopted amendments to Section 125.1 of the Commissioner's regulations providing that Department staff must conduct mandatory visitations to voluntarily registered nursery schools and kindergartens "as soon as practicable" if prevented from doing so in the 2019-2020 or 2020-2021 school year(s) due to the COVID-19 crisis. Ordinarily, these inspections are required annually (8 NYCRR 125.1[c]). The Department extended the registration of nursery schools and kindergartens whose registration was up for renewal that did not have on-site visits in the 2019-2020 and/or 2020-2021 school years due to COVID-19.

The Department now proposes to amend section 125.1(d) of the Commissioner's regulations to extend this flexibility to the 2021-2022 school year due to the ongoing challenges of the COVID-19 crisis. Again, the Department will extend registrations for voluntarily registered nursery schools and kindergartens whose registration is up for renewal, and who do not have an on-site visit in the 2021-2022 school year.

Additionally, the Department proposes to amend section 125.1(e) of the Commissioner's regulations, extending the registration of nursery schools and kindergartens whose registration was up for renewal that did not have on-site visits in the 2019-2020, 2020-2021, or 2021-2022 school years due to COVID-19. Additionally, the proposed rule provides that such registration shall be up for renewal in the 2022-2023 school year.

10. COMPLIANCE SCHEDULE:

It is anticipated that the revised proposed amendment will be presented for permanent adoption at the April 2022 Regents meeting, after publication of the proposed amendment in the *State Register* and expiration of the 45-day public comment period required under the State Administrative Procedure Act for revised rule makings. Because the revised emergency action will expire before the April Regents meeting, it is anticipated that an additional emergency action will be presented for adoption at the February 2022 meeting. If adopted at the April meeting, the revised proposed amendment will become effective as a permanent rule on April 27, 2022. It is anticipated that regulated parties can achieve compliance with the proposed rule by its effective date.

Revised Regulatory Flexibility Analysis

Since publication of a Notice of Proposed Rule Making in the *State Register* on December 1, 2021, the proposed rule was revised as set forth in the Statement Concerning the Regulatory Impact Statement submitted herewith.

The purpose of the proposed revised rule is to provide additional regulatory flexibility in response to the COVID-19 crisis by providing that Department staff must conduct mandatory visitations to voluntarily registered nonpublic nursery schools and kindergartens "as soon as practicable" if prevented from doing so in the 2021-2022 school year due to the COVID-19 crisis. The proposed amendment also extends the registration of nursery schools and kindergartens whose registration was up for renewal that did not have on-site visits in the 2021-2022 school year due to COVID-19, to hold such schools harmless for not receiving an annual visitation. Their registration will be up for renewal in the 2022-2023 school year.

The proposed revised rule does not impose any economic impact, or other compliance requirements on small businesses or local governments. Because it is evident from the nature of the proposed revised rule that it does not affect small businesses or local governments, no further measures were needed to ascertain that fact and none were taken. Accordingly, a regulatory flexibility analysis for small businesses and local governments is not required and one has not been prepared.

Revised Rural Area Flexibility Analysis

Since publication of a Notice of Proposed Rule Making in the *State Register* on December 1, 2021, the proposed rule was revised as set forth in the Statement Concerning the Regulatory Impact Statement submitted herewith.

The purpose of the proposed revised rule is to provide additional regulatory flexibility in response to the COVID-19 crisis by providing that Department staff must conduct mandatory visitations to voluntarily registered nonpublic nursery schools and kindergartens "as soon as practicable" if prevented from doing so in the 2021-2022 school year due to the COVID-19 crisis. The proposed amendment also extends the registration of nursery schools and kindergartens whose registration was up for renewal that did not have on-site visits in the 2021-2022 school year due to COVID-19, to hold such schools harmless for not receiving an annual visitation. Their registration will be up for renewal in the 2022-2023 school year.

Since the proposed revised rule merely provides flexibility for certain regulatory requirements during the COVID-19 crisis, the proposed amendment does not adversely impact entities in rural areas of New York State. Accordingly, no further steps were needed to ascertain the impact of the proposed revised rule on entities in rural areas and none were taken. Thus, a rural flexibility analysis is not required and one has not been prepared.

Revised Job Impact Statement

Since publication of a Notice of Proposed Rule Making in the *State Register* on December 1, 2021, the proposed rule was revised as set forth in the Statement Concerning the Regulatory Impact Statement submitted herewith.

The purpose of the proposed revised rule is to provide additional regulatory flexibility in response to the COVID-19 crisis by providing that Department staff must conduct mandatory visitations to voluntarily registered nonpublic nursery schools and kindergartens "as soon as practicable" if prevented from doing so in the 2021-2022 school year due to the COVID-19 crisis. The proposed amendment also extends the registration of nursery schools and kindergartens whose registration was up for renewal that did not have on-site visits in the 2021-2022 school year due to COVID-19, to hold such schools harmless for not receiving an annual visitation. Their registration will be up for renewal in the 2022-2023 school year.

Because it is evident from the nature of the proposed revised rule that it will have no impact on the number of jobs or employment opportunities in New York State, no further steps were needed to ascertain that fact and none were taken. Accordingly, a job impact statement is not required and one has not been prepared.

Assessment of Public Comment

The agency received no public comment.

**NOTICE OF EMERGENCY
ADOPTION
AND REVISED RULE MAKING
NO HEARING(S) SCHEDULED**

Requirements for the Reissuance of an Initial Certificate

I.D. No. EDU-52-21-00014-ERP

Filing No. 22

Filing Date: 2022-01-11

Effective Date: 2022-01-11

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following action:

Action Taken: Amendment of section 80-1.8(a) of Title 8 NYCRR.

Statutory authority: Education Law, sections 101, 207, 208, 305, 308, 3001, 3004 and 3009

Finding of necessity for emergency rule: Preservation of general welfare.

Specific reasons underlying the finding of necessity: At its December 2021 meeting, the Board of Regents permanently adopted regulatory amendments extending the validity period of the Emergency COVID-19 certificate from one year to two years. This extension gives candidates two years to take and pass the exams required for the certificate sought. Therefore, classroom teachers and school building leaders who obtain the Emergency COVID-19 Initial Reissuance certificate have two years to take and pass the certification exam(s) in the area(s) required for the reissuance of their Initial certificate. However, section 80-1.8 of the Commissioner's regulations only provides candidates one year before or after the submission of their application for the reissuance of the Initial certificate to take such certification exam(s).

The Department has learned that some candidates who received the Emergency COVID-19 Initial Reissuance certificate took the certification exam(s) in the area(s) required for the certificate sought more than one year after they submitted their application for the Initial Reissuance, but during the two-year validity period of their Emergency COVID-19 certificate.

To hold these candidates harmless, the Department is proposing to amend section 80-1.8 of the Commissioner's regulations to allow candidates to take the certification exam(s) in the area(s) required for the certificate sought during the validity period of the Emergency COVID-19 certificate, provided the Emergency COVID-19 certificate is held in the same certificate title of the reissuance sought. This proposal enables these candidates to take the required exam(s) during the full two-year validity period of the Emergency COVID-19 certificate.

Since the Board of Regents meets at fixed intervals, the earliest the proposed amendment could be adopted by regular (nonemergency) action after expiration of the 60-day public comment period provided for in the State Administrative Procedure Act (SAPA) sections 201(1) and (5) would be the April 2022 Regents meeting. Furthermore, pursuant to SAPA 203(1), the earliest effective date of the proposed rule, if adopted at the April meeting, would be April 27, 2022, the date the Notice of Adoption would be published in the State Register.

Therefore, emergency action is necessary at the January 2022 meeting for the preservation of the general welfare to immediately allow candidates to take the exam(s) required for the reissuance of their Initial certificate during the validity period of their Emergency COVID-19 certificate until the proposed rule can be permanently adopted.

It is anticipated that the proposed rule will be presented to the Board of Regents for adoption as a permanent rule at its April 2022 meeting, which is the first scheduled meeting after expiration of the 60-day public comment period mandated by SAPA for State agency rule making. However, since the emergency action will expire before the April Regents meeting, it is anticipated that an additional emergency action will be presented for adoption at the March 2022 Regents meeting.

Subject: Requirements for the Reissuance of an Initial Certificate.

Purpose: To remove the requirement that candidates complete 50 hours of CTLE and/or professional learning to obtain a reissuance and to allow Initial Reissuance candidates to take the certification exam(s) in the area(s) required for the certification sought during the validity period of their Emergency COVID-19 certificate.

Text of emergency/revised rule: Subdivision (a) of section 80-1.8 of the Regulations of the Commissioner of Education shall be amended to read as follows:

(a) The holder of an initial certificate whose certificate has expired and who has not successfully completed three school years of [teaching] experience, or its equivalent, as is required for a professional certificate, shall be reissued an initial certificate on one occasion only, for a period of five years from the date of reissuance.

(1) Candidates applying for a reissuance shall [meet the requirements in the following paragraphs:

(i) The candidate shall have successfully completed 50 clock hours of acceptable continuing teacher and leader education (CTLE), professional learning (PL), and/or a combination thereof. Such PL and/or CTLE shall be completed within one year prior to the department's receipt of a completed application or within one year after the department's receipt of such completed application for the reissuance of the initial certificate. The definition of acceptable PL and the measurement of PL study shall be that defined in section 100.2(dd) of this Title and the definition of acceptable CTLE and the measurement of CTLE shall be that prescribed in Subpart 80-6 of this Part.

(ii) The candidate shall submit evidence of having achieved a satisfactory level of performance on the New York State Teacher Certification Examination content specialty test(s) in the area required for the certificate sought [or, where applicable], the New York State assessment [for school building leadership] required for a certificate as a school building leader, or the New York State assessment required for a certificate as a school counselor, which shall be taken within one year prior to or one year after the department's receipt of a completed application [or within one year after the department's receipt of such completed application] for the reissuance of the initial certificate or during the validity period of the Emergency COVID-19 certificate, provided such certificate is held in the same certificate title of the reissuance sought.

This notice is intended to serve as both a notice of emergency adoption and a notice of revised rule making. The notice of proposed rule making was published in the *State Register* on December 29, 2021, I.D. No. EDU-52-21-00014-P. The emergency rule will expire April 10, 2022.

Emergency rule compared with proposed rule: Substantial revisions were made in section 80-1.8(a).

Text of rule and any required statements and analyses may be obtained from: Kirti Goswami, NYS Education Department, Office of Counsel, 89 Washington Avenue, Room 112EB, Albany, NY 12234, (518) 474-6400, email: legal@nysed.gov

Data, views or arguments may be submitted to: Petra Maxwell, NYS Education Department, Office of Higher Education, 89 Washington Avenue, Room 975 EBA, Albany, NY 12234, (518) 474-2238, email: OHEREGComments@nysed.gov

Public comment will be received until: 45 days after publication of this notice.

Revised Regulatory Impact Statement

Since publication of a Notice of Proposed Rule Making in the State Register on December 29, 2021, the following substantial revisions were made to the proposed rule:

The Department has amended section 80-1.8 of the Commissioner's regulations to allow Initial Reissuance candidates to take the certification exam(s) in the area(s) required for the certificate sought during the validity period of their Emergency COVID-19 certificate, provided the Emergency COVID-19 certificate is held in the same certificate title of the reissuance sought. This proposal enables these candidates to take the required exam(s) during the full two-year validity period of the Emergency COVID-19 certificate.

The above changes required that the LEGISLATIVE OBJECTIVES, NEEDS AND BENEFITS, ALTERNATIVES, AND COMPLIANCE SCHEDULE sections of the previously published Regulatory Impact Statement be revised to read as follows:

2. LEGISLATIVE OBJECTIVES:

The proposed amendment is consistent with the above statutory authority and is necessary to implement Regents' policy of addressing teacher shortages by removing the requirement that candidates for teacher certification who have an expired Initial certificate complete 50 clock hours of continuing teacher and leader education (CTLE) and/or professional learning to obtain a reissuance of their Initial certificate. In addition, the Department is proposing to add the school counselor assessment to the list of acceptable assessments that candidates must pass and take within one year before or after the application for the Initial reissuance. This assessment needs to be added because it will be required for Initial and Professional School Counselor certificates that will begin to be issued on February 2, 2023, and candidates who receive these certificates would take this assessment for the reissuance of their Initial certificate.

The proposed amendment is also needed to hold candidates who received the Emergency COVID-19 Initial Reissuance certificate harmless by allowing them to take the certification exam(s) in the area(s) required for the certificate sought during the validity period of the Emergency COVID-19 certificate, provided the Emergency COVID-19 certificate is held in the same certificate title of the reissuance sought. This proposal

enables these candidates to take the required exam(s) during the full two-year validity period of the Emergency COVID-19 certificate.

3. NEEDS AND BENEFITS:

An Initial certificate is issued as an entry-level certificate for classroom teachers and school building leaders and is valid for five years. Holders of Initial certificates are expected to progress to a Professional certificate within the five-year Initial certification period by completing all of the requirements for Professional certification. One of these requirements is the completion of three years of experience (or its equivalent) within this five-year time frame. Some candidates, however, are unable to complete this requirement.

To provide candidates who have not been able to complete at least three years of acceptable experience (or its equivalent) for the Professional certificate the opportunity to complete the requirements for Professional certification, section 80-1.8(a) of the Commissioner's Regulations currently provides that such candidates may have their Initial certificate reissued for a period of five years if they meet the following two requirements for the reissuance of an Initial certificate:

- Pass the content specialty test(s) in the area(s) required for the certificate sought within one year before or after the application date for the Initial Reissuance.
- Complete 50 clock hours of acceptable continuing teacher and leader education (CTLE), professional learning, and/or a combination of both within one year before or after the application date for the Initial Reissuance.

The requirement of 50 clock hours of CTLE and/or professional learning has proved to be an impediment to obtaining the reissuance of the Initial certificate in two respects. First, completion of CTLE for candidates who hold a valid or expired Initial certificate is not required by section 3006-a of the Education Law. Second, candidates with an expired Initial certificate do not have access to the same CTLE and professional learning opportunities as educators who hold Professional certificates and are currently employed by school districts or Boards of Cooperative Educational Services (BOCES). Districts and BOCES provide opportunities for educators to complete professional learning, including CTLE, as part of their professional learning plans. Thus, candidates with an expired Initial certificate may need to seek other ways to complete CTLE or professional learning for the reissuance of their Initial certificate.

The Department is therefore proposing to remove the requirement that these candidates complete 50 clock hours of CTLE and/or professional learning to obtain a reissuance of their Initial certificate. These candidates would still be required to demonstrate current content knowledge by passing the content specialty test(s) in the area(s) required for the certificate sought within one year of applying for reissuance.

The Department is also proposing to add the school counselor assessment to the list of acceptable assessments that candidates must pass and take within one year before or after the application for the Initial Reissuance. This assessment needs to be added because it will be required for Initial and Professional School Counselor certificates that will begin to be issued on February 2, 2023, and candidates who receive these certificates would take this assessment for the reissuance of their Initial certificate.

Additionally, at its December 2021 meeting, the Board of Regents permanently adopted regulatory amendments extending the validity period of the Emergency COVID-19 certificate from one year to two years. This extension gives candidates two years to take and pass the exams required for the certificate sought. Therefore, classroom teachers and school building leaders who obtain the Emergency COVID-19 Initial Reissuance certificate have two years to take and pass the certification exam(s) in the area(s) required for the reissuance of their Initial certificate. However, section 80-1.8 of the Commissioner's regulations only provides candidates one year before or after the submission of their application for the reissuance of the Initial certificate to take such certification exam(s).

The Department has learned that some candidates who received the Emergency COVID-19 Initial Reissuance certificate took the certification exam(s) in the area(s) required for the certificate sought more than one year after they submitted their application for the Initial Reissuance, but during the two-year validity period of their Emergency COVID-19 certificate.

To hold these candidates harmless, the Department is proposing to amend section 80-1.8 of the Commissioner's regulations to allow candidates to take the certification exam(s) in the area(s) required for the certificate sought during the validity period of the Emergency COVID-19 certificate, provided the Emergency COVID-19 certificate is held in the same certificate title of the reissuance sought. This proposal enables these candidates to take the required exam(s) during the full two-year validity period of the Emergency COVID-19 certificate.

8. ALTERNATIVES:

The proposed amendment is necessary to implement Regents' policy of addressing teacher shortages by removing the requirement that candidates

for teacher certification who have an expired Initial certificate complete 50 clock hours of continuing teacher and leader education (CTLE) and/or professional learning to obtain a reissuance of their Initial certificate. In addition, the Department is proposing to add the school counselor assessment to the list of acceptable assessments that candidates must pass and take within one year before or after the application for the Initial reissuance. This assessment needs to be added because it will be required for Initial and Professional School Counselor certificates that will begin to be issued on February 2, 2023, and candidates who receive these certificates would take this assessment for the reissuance of their Initial certificate.

Additionally, the proposed amendment is needed to hold candidates who received the Emergency COVID-19 Initial Reissuance certificate harmless by allowing them to take the certification exam(s) in the area(s) required for the certificate sought during the validity period of the Emergency COVID-19 certificate, provided the Emergency COVID-19 certificate is held in the same certificate title of the reissuance sought. There are no significant alternatives, and none were considered.

10. COMPLIANCE SCHEDULE:

It is anticipated that the revised proposed rule will be presented to the Board of Regents for permanent adoption at the April 2022 Regents meeting, after publication of the proposed amendment in the State Register and expiration of the 45-day public comment period required under the State Administrative Procedure Act for revised rule makings. Because the emergency action will expire before the April 2022 Regents meeting, it is anticipated that an additional emergency action will be presented for adoption at the March 2022 meeting. If adopted at the April 2022 meeting, the proposed amendment will become effective on April 27, 2022. It is anticipated that regulated parties will be able to come into compliance with the proposed rule by its effective date.

Revised Regulatory Flexibility Analysis

Since publication of a Notice of Proposed Rule Making in the State Register on December 29, 2021, the proposed rule was revised as set forth in the Statement Concerning the Regulatory Impact Statement submitted herewith.

The proposed amendment applies to all teacher candidates in New York State with an expired Initial certificate seeking a reissuance of their Initial certificate, including those located in the 44 rural counties with fewer than 200,000 inhabitants and the 71 towns and urban counties with a population density of 150 square miles or less.

The purpose of the proposed amendment to section 80-1.8 of the Regulations of the Commissioner of Education is to implement Regents' policy of addressing teacher shortages by removing the requirement that candidates for teacher certification who have an expired Initial certificate complete 50 clock hours of continuing teacher and leader education (CTLE) and/or professional learning to obtain a reissuance of their Initial certificate. In addition, the Department is proposing to add the school counselor assessment to the list of acceptable assessments that candidates must pass and take within one year before or after the application for the Initial reissuance. This assessment needs to be added because it will be required for Initial and Professional School Counselor certificates that will begin to be issued on February 2, 2023, and candidates who receive these certificates would take this assessment for the reissuance of their Initial certificate.

The proposed amendment also holds candidates who received the Emergency COVID-19 Initial Reissuance certificate harmless by allowing them to take the certification exam(s) in the area(s) required for the certificate sought during the validity period of the Emergency COVID-19 certificate, provided the Emergency COVID-19 certificate is held in the same certificate title of the reissuance sought. This proposal enables these candidates to take the required exam(s) during the full two-year validity period of the Emergency COVID-19 certificate.

The amendment does not impose any new recordkeeping or other compliance requirements and will not have an adverse economic impact on small businesses or local governments. Because it is evident from the nature of the proposed technical amendment that it will not affect small businesses or local governments, no further steps were needed to ascertain that fact and none were taken. Accordingly, a regulatory flexibility analysis for small businesses and local governments is not required and one has not been prepared.

Revised Rural Area Flexibility Analysis

Since publication of a Notice of Proposed Rule Making in the State Register on December 29, 2021, the following substantial revisions were made to the proposed rule:

The Department has amended section 80-1.8 of the Commissioner's regulations to allow Initial Reissuance candidates to take the certification exam(s) in the area(s) required for the certificate sought during the validity period of their Emergency COVID-19 certificate, provided the Emergency COVID-19 certificate is held in the same certificate title of the reissuance

sought. This proposal enables these candidates to take the required exam(s) during the full two-year validity period of the Emergency COVID-19 certificate.

The above changes required that the REPORTING, RECORDKEEPING, AND OTHER COMPLIANCE REQUIREMENTS; AND PROFESSIONAL SERVICES section of the previously published Rural Area Flexibility Analysis be revised to read as follows:

2. REPORTING, RECORDKEEPING, AND OTHER COMPLIANCE REQUIREMENTS; AND PROFESSIONAL SERVICES:

The purpose of the proposed amendment to section 80-1.8 of the Regulations of the Commissioner of Education is to implement Regents' policy of addressing teacher shortages by removing the requirement that candidates for teacher certification who have an expired Initial certificate complete 50 clock hours of continuing teacher and leader education (CTLE) and/or professional learning to obtain a reissuance of their Initial certificate. In addition, the Department is proposing to add the school counselor assessment to the list of acceptable assessments that candidates must pass and take within one year before or after the application for the Initial reissuance. This assessment needs to be added because it will be required for Initial and Professional School Counselor certificates that will begin to be issued on February 2, 2023, and candidates who receive these certificates would take this assessment for the reissuance of their Initial certificate.

The proposed amendment also hold candidates who received the Emergency COVID-19 Initial Reissuance certificate harmless by allowing them to take the certification exam(s) in the area(s) required for the certificate sought during the validity period of the Emergency COVID-19 certificate, provided the Emergency COVID-19 certificate is held in the same certificate title of the reissuance sought. This proposal enables these candidates to take the required exam(s) during the full two-year validity period of the Emergency COVID-19 certificate.

Revised Job Impact Statement

Since publication of a Notice of Proposed Rule Making in the State Register on December 29, 2021, the proposed rule was revised as set forth in the Statement Concerning the Regulatory Impact Statement submitted herewith.

The purpose of the proposed amendment to section 80-1.8 of the Regulations of the Commissioner of Education is to implement Regents' policy of addressing teacher shortages by removing the requirement that candidates for teacher certification who have an expired Initial certificate complete 50 clock hours of continuing teacher and leader education (CTLE) and/or professional learning to obtain a reissuance of their Initial certificate. In addition, the Department is proposing to add the school counselor assessment to the list of acceptable assessments that candidates must pass and take within one year before or after the application for the Initial reissuance. This assessment needs to be added because it will be required for Initial and Professional School Counselor certificates that will begin to be issued on February 2, 2023, and candidates who receive these certificates would take this assessment for the reissuance of their Initial certificate.

The proposed amendment also needed holds candidates who received the Emergency COVID-19 Initial Reissuance certificate harmless by allowing them to take the certification exam(s) in the area(s) required for the certificate sought during the validity period of the Emergency COVID-19 certificate, provided the Emergency COVID-19 certificate is held in the same certificate title of the reissuance sought. This proposal enables these candidates to take the required exam(s) during the full two-year validity period of the Emergency COVID-19 certificate.

Because it is evident from the nature of the proposed amendment that it will have no impact on the existing number of jobs or employment opportunities in New York State, no further steps were needed to ascertain that fact and none were taken.

Assessment of Public Comment

The agency received no public comment.

NOTICE OF ADOPTION

Definition of the Term "University"

I.D. No. EDU-08-21-00002-A

Filing No. 15

Filing Date: 2022-01-11

Effective Date: 2022-01-26

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following action:

Action taken: Amendment of section 50.1(l) of Title 8 NYCRR.

Statutory authority: Education Law, sections 101, 201, 205, 207, 224, 250, 211, 305 and 6506

Subject: Definition of the Term "University."

Purpose: To clarify and broaden the definition of the term "university."

Text or summary was published in the February 24, 2021 issue of the Register, I.D. No. EDU-08-21-00002-P.

Final rule as compared with last published rule: No changes.

Revised rule making(s) were previously published in the State Register on November 3, 2021.

Text of rule and any required statements and analyses may be obtained from: Kirti Goswami, NYS Education Department, Office of Counsel, 89 Washington Avenue, Room 112EB, Albany, NY 12234, (518) 474-6400, email: legal@nysed.gov

Initial Review of Rule

As a rule that requires a RFA, RAFA or JIS, this rule will be initially reviewed in the calendar year 2025, which is no later than the 3rd year after the year in which this rule is being adopted.

Assessment of Public Comment

Since publication of the Notice of Revised Rule Making in the State Register on November 3, 2021, the State Education Department (Department) received the following comments on the proposed amendment. Below is an assessment of the public comments received.

1. COMMENT: Numerous commenters were supportive of the Department's revised amendment. Many of them noted that the newly revised definition of the term "University" will significantly enhance the competitive stature of New York State's higher education institutions without compromising their quality. Others noted that by allowing higher education institutions that meet the criteria outlined in the revised regulation to call themselves "University" will allow them to compete on a national and international scale, allowing them to maintain enrollment numbers, compete for federal funding and research grants.

DEPARTMENT RESPONSE: The comments are supportive, therefore, no revisions to the proposed amendment are necessary.

2. COMMENT: Three commenters, all members of an academic association, submitted a form letter in support of the proposed definition of "University". However, all the commenters qualified their support by respectfully requesting that the Regents make an additional revision to their Rules that would allow proprietary colleges that meet the definition to use the term "University" as well.

DEPARTMENT RESPONSE: The requested revision exceeds the subject matter of the proposed amendment. No additional revisions to the proposed amendment are necessary.

3. COMMENT: One commenter submitted a letter in opposition to the Department's amendment to the term "University", stating that a change in this definition would erode the standards of higher education in New York State and would devalue the degree earned by someone who attended a university rather than a college.

DEPARTMENT RESPONSE: The Department disagrees with the comment and believes that the proposed amendment balances the interests of New York State institutions of higher education with the Regents' interest in ensuring the quality, breadth and depth of academic offerings at institutions that use "University" in their names. No additional revisions to the proposed amendment are necessary.

NOTICE OF ADOPTION

Technical Amendments Relating to the School Safety and Educational Climate (SSEC) Reporting System

I.D. No. EDU-39-21-00001-A

Filing No. 19

Filing Date: 2022-01-11

Effective Date: 2022-01-26

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following action:

Action taken: Amendment of section 100.2(gg)(8) of Title 8 NYCRR.

Statutory authority: Education Law, sections 15, 101, 207, 305, 308 and 2802

Subject: Technical amendments relating to the School Safety and Educational Climate (SSEC) reporting system.

Purpose: To make technical corrections relating to the SSEC reporting system.

Text or summary was published in the September 29, 2021 issue of the Register, I.D. No. EDU-39-21-00001-EP.

Final rule as compared with last published rule: No changes.

Text of rule and any required statements and analyses may be obtained from: Kirti Goswami, NYS Education Department, Office of Counsel, 89 Washington Avenue, Room 112EB, Albany, NY 12234, (518) 474-6400, email: legal@nysed.gov

Initial Review of Rule

As a rule that requires a RFA, RAFA or JIS, this rule will be initially reviewed in the calendar year 2025, which is no later than the 3rd year after the year in which this rule is being adopted.

Assessment of Public Comment

The agency received no public comment.

NOTICE OF ADOPTION**Flexibility for Accountability Requirements in Response to the COVID-19 Crisis**

I.D. No. EDU-39-21-00008-A

Filing No. 17

Filing Date: 2022-01-11

Effective Date: 2022-01-26

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following action:

Action taken: Amendment of section 100.21 of Title 8 NYCRR.

Statutory authority: Education Law, sections 101, 112, 207, 210, 215, 305, 309, 3713; Elementary and Secondary Education Act of 1965, as amended by the Every Student Succeeds Act of 2015, 20 U.S.C., sections 6301, et seq. (Public Law 114-95, 129 STAT. 1802)

Subject: Flexibility for accountability requirements in response to the COVID-19 crisis.

Purpose: To provide flexibility for accountability requirements in response to the COVID-19 crisis.

Text or summary was published in the September 29, 2021 issue of the Register, I.D. No. EDU-39-21-00008-EP.

Final rule as compared with last published rule: No changes.

Text of rule and any required statements and analyses may be obtained from: Kirti Goswami, NYS Education Department, Office of Counsel, 89 Washington Avenue, Room 112EB, Albany, NY 12234, (518) 474-6400, email: legal@nysed.gov

Initial Review of Rule

As a rule that requires a RFA, RAFA or JIS, this rule will be initially reviewed in the calendar year 2025, which is no later than the 3rd year after the year in which this rule is being adopted.

Assessment of Public Comment

Following publication of the Notice of Emergency Adoption and Proposed Rule Making in the State Register on September 29, 2021, the State Education Department (Department) received the following comments on the proposed amendment:

1. COMMENT: One educator stated that, "I would like to strongly advocate that there be some mechanism for school districts that have made gains to be able to present their case to have their statuses normalized. I truly understand that designating new schools/districts during these times would not be fair. I also think that it is equally or more unfair for districts who have made clear gains to have their status remain the same. I am advocating that districts have some mechanism to appeal or present their case to have their status updated."

DEPARTMENT RESPONSE: The Department acknowledges that, while there are a significant number of schools and districts that would benefit from the proposed rule, there are also schools and districts that have shown growth through other locally available indicators, despite the ongoing impacts of the COVID-19 pandemic. The United States Department of Education currently prohibits the creation of alternative mechanisms for removing schools from accountability statuses outside of the approved Every Student Succeeds Act (ESSA) state plan. The Department is continuing its efforts to restart the accountability system in the coming school years with exit criteria and an appeals process that acknowledge improvement and the unique circumstances of each school and district. Therefore, no changes to the proposed rule are needed.

NOTICE OF ADOPTION**Removing References to Regional Accreditation**

I.D. No. EDU-39-21-00011-A

Filing No. 21

Filing Date: 2022-01-11

Effective Date: 2022-01-26

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following action:

Action taken: Amendment of sections 3.29, 13.10, 52.1, 52.21, 70.9, 90.7, 126.1, 246.3, 247.16 and Part 80 of Title 8 NYCRR.

Statutory authority: Education Law, sections 101, 207, 210, 215, 305, 1004, 1004-a, 3001, 3004, 3009, 5001, 5002 and 7409

Subject: Removing References to Regional Accreditation.

Purpose: To remove references to "regional accreditation" in the Rules of the Board of Regents and Commissioner's regulations.

Text or summary was published in the September 29, 2021 issue of the Register, I.D. No. EDU-39-21-00011-P.

Final rule as compared with last published rule: No changes.

Text of rule and any required statements and analyses may be obtained from: Kirti Goswami, NYS Education Department, Office of Counsel, 89 Washington Avenue, Room 112EB, Albany, NY 12234, (518) 474-6400, email: legal@nysed.gov

Initial Review of Rule

As a rule that requires a RFA, RAFA or JIS, this rule will be initially reviewed in the calendar year 2025, which is no later than the 3rd year after the year in which this rule is being adopted.

Assessment of Public Comment

The agency received no public comment.

NOTICE OF ADOPTION**Prohibits Schools from Filing a Law Suit Against Parents or Guardians for Unpaid Meal Fees**

I.D. No. EDU-39-21-00012-A

Filing No. 16

Filing Date: 2022-01-11

Effective Date: 2022-01-26

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following action:

Action taken: Amendment of section 114.5 of Title 8 NYCRR.

Statutory authority: Education Law, sections 101, 207, 208, 209, 305 and 908, as amended by L. 2021, ch. 315

Subject: Prohibits schools from filing a law suit against parents or guardians for unpaid meal fees.

Purpose: To implement and conform Commissioner's Regulations according to chapter 315 of the Laws of 2021.

Text or summary was published in the September 29, 2021 issue of the Register, I.D. No. EDU-39-21-00012-P.

Final rule as compared with last published rule: No changes.

Text of rule and any required statements and analyses may be obtained from: Kirti Goswami, NYS Education Department, Office of Counsel, 89 Washington Avenue, Room 112 EB, Albany, NY 12234, (518) 474-6400, email: legal@nysed.gov

Initial Review of Rule

As a rule that requires a RFA, RAFA or JIS, this rule will be initially reviewed in the calendar year 2025, which is no later than the 3rd year after the year in which this rule is being adopted.

Assessment of Public Comment

The agency received no public comment.

Office for People with Developmental Disabilities

PROPOSED RULE MAKING NO HEARING(S) SCHEDULED

Reportable Incidents

I.D. No. PDD-04-22-00007-P

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following proposed rule:

Proposed Action: This is a consensus rule making to amend section 624.3 of Title 14 NYCRR.

Statutory authority: Mental Hygiene Law, sections 13.07, 13.09(b) and 16.00

Subject: Reportable Incidents.

Purpose: To remove administration of medical cannabis from the list of reportable incidents.

Text of proposed rule: Existing Paragraph 624.3(b)(7) is amended to read as follows:

(7) Unlawful use or administration of a controlled substance. Any administration by a custodian to a service recipient of a controlled substance as defined by article 33 of the Public Health Law, without a prescription, or other medication not approved for any use by the federal food and drug administration, *except for the administration of medical cannabis when such administration is in accordance with article three of the cannabis law, and any regulations promulgated thereunder, as well as the policies or procedures of the facility or provider agency governing such custodians.* It also shall include a custodian unlawfully using or distributing a controlled substance as defined by article 33 of the Public Health Law, at the workplace or while on duty

Text of proposed rule and any required statements and analyses may be obtained from: Mary Beth Babcock, Office for People With Developmental Disabilities, 44 Holland Ave, 3rd Floor, Albany, NY 12229, (518) 474-7700, email: rau.unit@opwdd.ny.gov

Data, views or arguments may be submitted to: Same as above.

Public comment will be received until: 60 days after publication of this notice.

Additional matter required by statute: Pursuant to the requirements of the State Environmental Quality Review Act, OPWDD, as lead agency, has determined that the action described herein will have no effect on the environment and an E.I.S. is not needed.

This rule was not under consideration at the time this agency submitted its Regulatory Agenda for publication in the Register.

Consensus Rule Making Determination

OPWDD is amending Section 624.3 of Title 14 of the New York Code on Rules and Regulations in order to coincide with existing law. Currently, the Cannabis law under article 3 allows for the administration of medical cannabis by custodians to service recipients covered by 14 NYCRR Section 624.3. This amendment will clarify that service recipients are allowed to receive medical cannabis provided other regulations are followed under the Cannabis Law.

OPWDD has determined that due to the nature and purpose of the amendment, no person is likely to object to the rule as written.

Job Impact Statement

A Job Impact Statement for the amendments is not being submitted because it is apparent from the nature and purposes of the amendments that they will not have a substantial adverse impact on jobs and/or employment opportunities.

The purpose of this amendment is to coincide with existing law that authorizes the use of medical cannabis by service recipients. The amendments will not result in increased staffing costs, and the compliance requirements for providers have not changed. Consequently, the amendments will not have a substantial impact on jobs or employment opportunities in New York State.

Public Service Commission

PROPOSED RULE MAKING NO HEARING(S) SCHEDULED

Con Edison's Petition for Specific Non-pipeline Alternative Projects

I.D. No. PSC-04-22-00002-P

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following proposed rule:

Proposed Action: The Commission is considering a petition filed by Consolidated Edison Company of New York, Inc. on December 22, 2021, seeking authorization for cost recovery, accounting treatment and incentives for non-pipeline alternatives.

Statutory authority: Public Service Law, sections 5, 5(2), 65 and 66

Subject: Con Edison's petition for specific non-pipeline alternative projects.

Purpose: To provide for continued service reliability and to meet customer energy needs while addressing greenhouse gas reduction goals.

Substance of proposed rule: The Public Service Commission (Commission) is considering a petition filed on December 22, 2021 by Consolidated Edison Company of New York, Inc. (Con Edison or the Company) seeking authorization from the Commission regarding cost recovery, the associated accounting treatment, and 30 percent performance incentives for four non-pipeline alternative (NPA) portfolios.

The current Gas Rate Plan for the Company, established by the Commission on January 16, 2020 in Case 19-G-0066, requires that the Company propose a new framework for evaluating and implementing NPA portfolios that could be used to defer or replace traditional distribution infrastructure projects for natural gas. The Company also seeks Commission authorization for cost recovery, with an amortization period of 20 years for any NPA costs, and an incentive framework where the net benefits of the NPA portfolios are shared 70/30 percent between customers and shareholders, respectively.

Con Edison proposes a total of four NPA portfolios, intended to defer or replace traditional infrastructure solutions with lower-cost alternatives: (1) Main Replacement Program (MRP) NPA, converting to electric all gas uses of customers currently connected to the leak-prone gas main to electric; and (2) three Area Project NPAs, which Con Edison states would implement targeted energy efficiency and electrification to reduce peak gas demand growth in its Port Chester, Soundview and Bayside service areas, as detailed in the petition.

For each NPA portfolio, the Company proposes to perform a benefit cost analysis (BCA) that would contain detailed portfolio costs and benefits. A proposed budget was not provided in the petition and Con Edison states that the BCAs will be included as part of the BCA submissions if the Company is successful in assembling portfolios for all of the projects along with an implementation plan.

The full text of the petition and the full record of the proceeding may be reviewed online at the Department of Public Service web page: www.dps.ny.gov. The Commission may adopt, reject or modify, in whole or in part, the action proposed and may resolve related matters.

Text of proposed rule and any required statements and analyses may be obtained by filing a Document Request Form (F-96) located on our website <http://www.dps.ny.gov/f96dir.htm>. For questions, contact: John Pitucci, Public Service Commission, 3 Empire State Plaza, Albany, New York 12223-1350, (518) 486-2655, email: john.pitucci@dps.ny.gov

Data, views or arguments may be submitted to: Michelle L. Phillips, Secretary, Public Service Commission, 3 Empire State Plaza, Albany, New York 12223-1350, (518) 474-6530, email: secretary@dps.ny.gov

Public comment will be received until: 60 days after publication of this notice.

Regulatory Impact Statement, Regulatory Flexibility Analysis, Rural Area Flexibility Analysis and Job Impact Statement

Statements and analyses are not submitted with this notice because the proposed rule is within the definition contained in section 102(2)(a)(ii) of the State Administrative Procedure Act.

(19-G-0066SP4)

PROPOSED RULE MAKING NO HEARING(S) SCHEDULED

Proposed Sale of Real Property

I.D. No. PSC-04-22-00003-P

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following proposed rule:

Proposed Action: The Commission is considering a petition filed by the Emerald Green Lake Louise Water Company, Inc. (Emerald Green) and The Center For Discovery (CFD) for the sale of certain real property owned by Emerald Green to CFD.

Statutory authority: Public Service Law, sections 4(1), 5(1)(f), 89-c(1) and 89-h(1)

Subject: Proposed sale of real property.

Purpose: To determine if the proposed sale of real property is in the public interest.

Substance of proposed rule: The Public Service Commission is considering a petition, filed on December 20, 2021 by Emerald Green Lake Louise Mare Water Company Inc. (Emerald Green or the Company) and The Center For Discovery, Inc. (CFD), which seeks approval of a transaction that would result in the transfer of certain real property from Emerald Green to CFD.

Emerald Green serves approximately 850 customers in Rock Hill, Sullivan County, New York. The Company has entered into a Purchase and Sale Agreement (Sale Agreement), dated November 3, 2021. Pursuant to the Sale Agreement, Emerald Green seeks to sell approximately 7.8 acres of vacant and unimproved land. None of the property to be transferred is currently utilized in the provision of water service. Pursuant to the Sale Agreement, the Company will retain ownership of a residence on the property, currently used as lodging for Company personnel.

CFD would also extend to the Company a 99-year lease for approximately 0.5 acres of land for the future construction of a water storage tank, including easements for ingress and egress. The remainder of the property would be sold to CFD upon approval of the petition. The petition states that the proposed transaction would have no impact on the Company's ability to provide service, and the proceeds from the sale would be utilized to reduce existing debt totaling approximately \$288,494.

The full text of the petition and full record of the proceeding may be reviewed online at the Department of Public Service web page at www.dps.ny.gov. The Commission may adopt, reject, or modify, in whole or in part, the action proposed and may resolve related matters.

Text of proposed rule and any required statements and analyses may be obtained by filing a Document Request Form (F-96) located on our website <http://www.dps.ny.gov/f96dir.htm>. For questions, contact: John Pitucci, Public Service Commission, 3 Empire State Plaza, Albany, New York 12223-1350, (518) 486-2655, email: john.pitucci@dps.ny.gov

Data, views or arguments may be submitted to: Michelle L. Phillips, Secretary, Public Service Commission, 3 Empire State Plaza, Albany, New York 12223-1350, (518) 474-6530, email: secretary@dps.ny.gov

Public comment will be received until: 60 days after publication of this notice.

Regulatory Impact Statement, Regulatory Flexibility Analysis, Rural Area Flexibility Analysis and Job Impact Statement

Statements and analyses are not submitted with this notice because the proposed rule is within the definition contained in section 102(2)(a)(ii) of the State Administrative Procedure Act.

(21-W-0633SP1)

PROPOSED RULE MAKING NO HEARING(S) SCHEDULED

Extension of the State Universal Service Fund

I.D. No. PSC-04-22-00004-P

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following proposed rule:

Proposed Action: The Commission is considering a petition seeking to extend the State Universal Service Fund (SUSF) past its current expiration date of December 31, 2022, determination of an appropriate successor funding arrangement if warranted, and other related issues.

Statutory authority: Public Service Law, sections 4, 5, 90, 91, 92, 94 and 97

Subject: Extension of the State Universal Service Fund.

Purpose: To continue to provide universal service at a reasonable rate in certain service territories.

Substance of proposed rule: The Public Service Commission is considering a petition filed by the New York Smaller ILEC Companies on December 15, 2021, seeking an extension of the State Universal Service Fund (SUSF) beyond its current expiration date of December 31, 2022, a determination of an appropriate successor funding arrangement if warranted, the overall level of SUSF funding if warranted, the level of competition in each respective service provider's market, and other related issues.

The petition alleges that there is a continuing need for the SUSF and for a successor funding arrangement. The petition requests continuation of the existing SUSF funding mechanism and funding level for at least four years pending the completion of this proceeding, with adjustments for new rate case decisions and any authorized increases to SUSF funding during the four-year period.

The full text of the petition and the full record of the proceeding may be reviewed online at the Department of Public Service web page: www.dps.ny.gov. The Commission may adopt, reject or modify, in whole or in part, the action proposed and may resolve related matters.

Text of proposed rule and any required statements and analyses may be obtained by filing a Document Request Form (F-96) located on our website <http://www.dps.ny.gov/f96dir.htm>. For questions, contact: John Pitucci, Public Service Commission, 3 Empire State Plaza, Albany, New York 12223-1350, (518) 486-2655, email: john.pitucci@dps.ny.gov

Data, views or arguments may be submitted to: Michelle L. Phillips, Secretary, Public Service Commission, 3 Empire State Plaza, Albany, New York 12223-1350, (518) 474-6530, email: secretary@dps.ny.gov

Public comment will be received until: 60 days after publication of this notice.

Regulatory Impact Statement, Regulatory Flexibility Analysis, Rural Area Flexibility Analysis and Job Impact Statement

Statements and analyses are not submitted with this notice because the proposed rule is within the definition contained in section 102(2)(a)(ii) of the State Administrative Procedure Act.

(15-M-0742SP3)

PROPOSED RULE MAKING NO HEARING(S) SCHEDULED

Petition to Continue Development and Recover the Costs of 23 Local Transmission Projects

I.D. No. PSC-04-22-00005-P

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following proposed rule:

Proposed Action: The Commission is considering a petition filed by New York State Electric & Gas Corporation to continue the development and recover the costs of 23 local transmission projects.

Statutory authority: Public Service Law, sections 4, 5, 65, 66; Accelerated Renewable Energy Growth and Community Benefit Act of 2020

Subject: Petition to continue development and recover the costs of 23 local transmission projects.

Purpose: To ensure safe and adequate service at just and reasonable rates and to support the State's clean energy and climate goals.

Substance of proposed rule: The Public Service Commission (Commission) is considering a petition (Petition) filed on December 23, 2021, by New York State Electric & Gas Corporation (NYSEG or Company) to continue the development and recover the costs of 23 local transmission projects, to comply with the Commission's February 11, 2021 Order on Phase 1 Local Transmission and Distribution Project Proposals.

The Company seeks a finding from the Commission that NYSEG should continue to accelerate development of 23 local transmission projects (Projects) to mitigate reliability needs on the Bulk Electric System that were identified in reliability studies. NYSEG suggests that the Projects would also mitigate related asset condition needs and increase local transmission system headroom, which it asserts would enable large scale renewable resource growth across the Company's service territory in support of the Climate Leadership and Community Protection Act (CLCPA) and the Accelerated Renewable Energy Growth and Community Benefit Act goals. The Company expects that the Projects would collectively create over 2,800 MW of headroom for renewable energy delivery through its local transmission system to the bulk transmission system for delivery across New York State.

NYSEG seeks Commission authorization to recover costs associated with the Projects in future rate cases. The Company anticipates that recovery can be undertaken in base rates commencing in its next rate proceeding and continuing in future rate cases, as necessary. While NYSEG is not now seeking to implement a surcharge, the Company indicates that it may seek an interim surcharge in the future if one or more approved Projects could be placed into service in advance of when cost recovery would be allowed under the rate plan that is in effect at that time.

The Company filed three appendices to its Petition, the first of which is a summary of its portfolio of Phase 1 projects, including needs, project scopes, estimated capital costs, projected in-service dates, and unlocked headroom (Appendix A); a table providing projected annual capital expenditures for each of the next 10 years on a project-by-project basis (Appendix B); and the Company's needs and solutions assessment to identify projects that are immediately actionable to resolve existing reliability and/or asset condition needs while providing for the integration of renewable resources in support of New York's 2030 climate policy objectives (Appendix C).

The full text of the petition and the full record of the proceeding may be reviewed online at the Department of Public Service website: www.dps.ny.gov. The Commission may adopt, reject, or modify, in whole or in part, the action proposed and may resolve related matters.

Text of proposed rule and any required statements and analyses may be obtained by filing a Document Request Form (F-96) located on our website <http://www.dps.ny.gov/f96dir.htm>. For questions, contact: John Pitucci, Public Service Commission, 3 Empire State Plaza, Albany, New York 12223-1350, (518) 486-2655, email: john.pitucci@dps.ny.gov

Data, views or arguments may be submitted to: Michelle L. Phillips, Secretary, Public Service Commission, 3 Empire State Plaza, Albany, New York 12223-1350, (518) 474-6517, email: Secretary@dps.ny.gov

Public comment will be received until: 60 days after publication of this notice.

Regulatory Impact Statement, Regulatory Flexibility Analysis, Rural Area Flexibility Analysis and Job Impact Statement

Statements and analyses are not submitted with this notice because the proposed rule is within the definition contained in section 102(2)(a)(ii) of the State Administrative Procedure Act.

(20-E-0197SP10)

**PROPOSED RULE MAKING
NO HEARING(S) SCHEDULED**

Area Code Overlay as Relief of the Exhausting 845 Area Code

I.D. No. PSC-04-22-00006-P

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following proposed rule:

Proposed Action: The Commission is considering the petition filed by the North American Numbering Plan Administrator to add a new area code within the current 845 area code (Columbia, Delaware, Dutchess, Greene, Orange, Putnam, Rockland, Sullivan and Ulster Counties).

Statutory authority: Public Service Law, section 97(2)

Subject: Area code overlay as relief of the exhausting 845 area code.

Purpose: To ensure performance in accordance with applicable telecommunications laws, regulations and standards and the public interest.

Substance of proposed rule: The Public Service Commission is considering a petition filed on November 30, 2021, by the North American Numbering Plan Administrator (NANPA) to relieve a forecasted shortage of telephone numbers by adding a new telephone area code within those parts of Columbia, Delaware, Dutchess, Greene, Orange, Putnam, Rockland, Sullivan and Ulster Counties, New York, which are currently covered by the 845 area code.

In accordance with standard telephone industry guidelines, NANPA bases its petition on a projection that forecasts the current 845 area code will exhaust available numbers in the third quarter of 2024. Per the petition, all existing wireline and wireless telephone customers in the overlay area would retain their current 845 area code and telephone numbers; i.e., the new area code would cover requests for new phone numbers. The petition seeks approval of the proposed new area code in time to be implemented six months prior to the projected third quarter of 2024 exhaust date. The implementation of a new overlay area code normally requires concurrent implementation of mandatory 10-digit dialing (the three-digit area code and seven-digit telephone number are required to complete a call) for all calls, whether local or long-distance. However, by the time the proposed 845 overlay area code becomes effective, customers in the 845 area code will already have transitioned to mandatory 10-digit dialing due to the national implementation of the "988" three-digit hotline to reach the National Suicide Prevention Lifeline which is occurring now. Therefore, certain customer education and industry technical milestones will not be needed in this case and the timeline to implement the proposed area code will be shorter than in past overlay code cases.

The full text of the petition and the full record of the proceeding may be reviewed online at the Department of Public Service web page: www.dps.ny.gov. The Commission may adopt, reject, or modify, in whole or in part, the action proposed and may resolve related matters.

Text of proposed rule and any required statements and analyses may be obtained by filing a Document Request Form (F-96) located on our website <http://www.dps.ny.gov/f96dir.htm>. For questions, contact: John Pitucci, Public Service Commission, 3 Empire State Plaza, Albany, New York 12223-1350, (518) 486-2655, email: john.pitucci@dps.ny.gov

Data, views or arguments may be submitted to: Michelle L. Phillips, Secretary, Public Service Commission, 3 Empire State Plaza, Albany, New York 12223-1350, (518) 474-6530, email: secretary@dps.ny.gov

Public comment will be received until: 60 days after publication of this notice.

Regulatory Impact Statement, Regulatory Flexibility Analysis, Rural Area Flexibility Analysis and Job Impact Statement

Statements and analyses are not submitted with this notice because the proposed rule is within the definition contained in section 102(2)(a)(ii) of the State Administrative Procedure Act.

(21-C-0600SP1)

Workers' Compensation Board

EMERGENCY RULE MAKING

Allowing Telemedicine in Some Circumstances, Supersede Previous Emergency Adoption

I.D. No. WCB-04-22-00001-E

Filing No. 14

Filing Date: 2022-01-11

Effective Date: 2022-01-11

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following action:

Action taken: Amendment of sections 325-1.8, 329-1.3, 329-4.2, 333.2 and 348.2 of Title 12 NYCRR.

Statutory authority: Workers' Compensation Law, sections 117 and 142

Finding of necessity for emergency rule: Preservation of public health, public safety and general welfare.

Specific reasons underlying the finding of necessity: This amendment is adopted as an emergency measure because the Board wants to avoid health and safety risks that can be avoided through social distancing due to COVID-19, including new variants, by allowing telemedicine in some circumstances, and to supersede the previous emergency adoption addressing this topic. Additionally, the Board has seen these emergency measures work efficiently and effectively to provide care for injured workers, and plans to adopt a permanent regulation addressing when telehealth may be used to benefit injured workers, and wants to keep the current telemedicine rules in effect during the regulatory process for the permanent telehealth proposal.

Subject: Allowing telemedicine in some circumstances, supersede previous emergency adoption.

Purpose: To allow telemedicine in some circumstances due to COVID-19 and keep in effect during permanent telehealth proposal process.

Text of emergency rule: Section 325-1.8 of Title 12 NYCRR is hereby amended to read as follows:

325-1.8 Emergency medical aid and telemedicine.

(a) In the event of a serious accident requiring immediate emergency medical aid, an ambulance or any physician may be called to give first aid treatment.

(b) Telemedicine, using two-way audio and visual electronic communication, or treatment via telephone, may be used by authorized providers where medically appropriate for social distancing purposes due to the outbreak of COVID-19, including new variants, in accordance with the Department of Health COVID-19 Medicaid Guidance and Guidance issued by the Centers for Medicare and Medicaid Services, or irrespective of purely social distancing considerations, in the clinical judgment of the authorized provider, the potential risk of COVID-19 infection to an individual patient outweighs any real or perceived incremental benefit derived from an in-person versus remote or virtual appointment. The authorized provider shall indicate on their report that such assessment was done using telemedicine by use of modifier 95 and indicating a place of service as 11, or telephonically by indicating place of service as 02. The provider shall also confirm the employee's identity as well as provide basic information about the services the employee is receiving by telephone or telemedicine.

New subdivisions (c) and (d) of section 329-1.3 of Title 12 NYCRR is hereby added to read as follows:

(c) When medically appropriate, authorized providers who utilize the Official New York State Workers' Compensation Fee Schedule may, when telemedicine is used in accordance with section 325-1.8 of this Title and any applicable Medical Treatment Guideline, bill using the applicable Evaluation and Management codes (99212-99214) using modifier 95 and indicating 11 as the place of service. Modifiers 1B and 1D are available when services are rendered by telemedicine using two-way audio and visual communication. When services are rendered by telephone only in accordance with section 325-1.8 of this Title, the authorized provider shall indicate 02 as the place of service. Modifiers 1B and 1D are not available when services are rendered by telephone with no visual component.

(d) Providers with the following rating codes may bill the following psychotherapy codes in conjunction with Evaluation and Management codes:

(i) *New patient Evaluation and Management: 99201-99204;*
 (ii) *Psychotherapy combination codes and crisis codes: 90832-90834, 90836-90840, 90853;*

A new subdivision (d) of section 329.4.2 of Title 12 NYCRR is hereby added to read as follows:

(d) When medically appropriate, authorized physical therapists and occupational therapists shall use Common Procedural Technology (CPT) code 99212 using modifier 95 and indicating 11 as the place of service when treatment is rendered by telemedicine using two-way audio and visual communication, and indicating 02 as the place of service when treatment is rendered by telephone only. Treatment in accordance with section 325-1.8 of this Title and using these codes shall be limited to one unit per patient per day, up to two treatments per week during the thirty days following injury, and up to one treatment per week thereafter.

New subdivisions (c) and (d) of section 333.2 of Title 12 NYCRR is hereby added to read as follows:

(c) When medically appropriate, authorized providers, including psychologists and licensed clinical social workers, shall use a Common Procedural Technology (CPT) therapy code (90832, 90834, or 90837) for services delivered by telemedicine in accordance with section 325-1.8 of this Title using modifier 95 and indicating 11 as the place of service for therapy by telemedicine using two-way audio and visual communication. Modifiers 1B and 1D are available when services are rendered by telemedicine using two-way audio and visual communication. When services are rendered by telephone only in accordance with section 325-1.8 of this Title, the authorized provider shall indicate 02 as the place of service. Modifiers 1B and 1D are not available when services are rendered by telephone with no visual component. Group therapy: 90853. Group therapy is limited to a maximum of 20 participants and does not require that every participant in the group therapy session be a workers' compensation claimant.

A new subdivision (c) of section 348.2 of Title 12 NYCRR is hereby amended to read as follows:

(c) When medically appropriate, authorized chiropractors shall use Common Procedural Technology (CPT) code 99212 using modifier 95 and indicating 11 as the place of service when treatment is rendered by telemedicine using two-way audio and visual communication, and indicating 02 as the place of service when treatment is rendered by telephone only. Treatment in accordance with section 325-1.8 of this Title and using these codes shall be limited to one unit per patient per day, up to two treatments per week during the thirty days following injury, and up to one treatment per week thereafter.

This notice is intended to serve only as an emergency adoption, to be valid for 90 days or less. This rule expires April 10, 2022.

Text of rule and any required statements and analyses may be obtained from: Heather MacMaster, Workers' Compensation Board, Office of General Counsel, 328 State Street, Schenectady, NY 12305, (518) 486-9564, email: regulations@wcb.ny.gov

Regulatory Impact Statement

1. Statutory authority: WCL § 117(1) authorizes the Chair of the Workers' Compensation Board (Board) to adopt reasonable rules consistent with, and supplemental to, the provisions of the WCL.

2. Legislative objectives: The emergency adoption allows telemedicine visits in some circumstances due to the outbreak of COVID-19, and to keep telemedicine in effect during the regulatory process for a permanent proposal regarding telehealth.

3. Needs and benefits: For social distancing purposes to avoid furthering the outbreak of COVID-19, including new variants, the emergency adoption allows telemedicine visits in some circumstances to avoid in person visits to authorized providers when medically appropriate, consistent with guidance issued by the Center for Medicaid and Medicare Services. This regulation will permit physicians, nurse practitioners, physician assistants, psychologists, licensed clinical social workers, chiropractors, physical therapists and occupational therapists to treat using telehealth due to COVID-19, including new variants.

Additionally, the Board has seen these emergency measures work efficiently and effectively to provide care for injured workers, and plans to adopt a permanent regulation addressing when telehealth may be used to benefit injured workers, and wants to keep the current telemedicine rules in effect during the regulatory process for the permanent telehealth proposal.

4. Costs: The emergency adoption will have no impact on costs.

5. Local government mandates: The proposed amendments do not impose any program, service, duty, or responsibility upon any county, city, town, village, school district, fire district, or other special district.

6. Paperwork: The emergency adoption requires authorized providers to indicate in their report that the services rendered were through telemedicine due to the outbreak of COVID-19, including new variants.

7. Duplication: The emergency adoption does not duplicate other regulatory initiatives.

8. Alternatives: An alternative would be to not file an emergency adoption addressing telemedicine, and not keep it in effect during the public comment period and regulatory process for a permanent telehealth proposal. However, this poses a health risk to both the authorized providers and the claimants being treated, and social distancing, where medically appropriate, is a better alternative due to the outbreak of COVID-19, including the new variants. Additionally, not keeping telemedicine in effect would cause confusion and could negatively impact injured workers relying on telemedicine in some instances for their care.

9. Federal standards. There are no applicable Federal Standards.

10. Compliance schedule: The emergency adoption takes effect immediately upon filing but does not require telemedicine – it offers it as a tool to assist with social distancing in some circumstances. Any provider rendering services using telemedicine must comply with the emergency adoption, including the reporting requirements.

Regulatory Flexibility Analysis

A Regulatory Flexibility Analysis is not required because the emergency adoption will not have any adverse economic impact or impose any new reporting, recordkeeping or other compliance requirements on small businesses or local governments. The emergency adoption provides for telemedicine visits in some circumstances due to the outbreak of COVID-19 and to keep telemedicine in effect during the regulatory process for a permanent proposal regarding telehealth.

Rural Area Flexibility Analysis

A Rural Area Flexibility Analysis is not required because the emergency adoption will not have any impact rural areas. The emergency adoption provides for telemedicine visits in some circumstances due to the outbreak of COVID-19 and to keep telemedicine in effect during the regulatory process for a permanent proposal regarding telehealth.

Job Impact Statement

A Job Impact Statement is not required because the emergency adoption will not have any impact on jobs or employment opportunities. The emergency adoption provides for telemedicine visits in some circumstances due to the outbreak of COVID-19 and to keep telemedicine in effect during the regulatory process for a permanent proposal regarding telehealth.

HEARINGS SCHEDULED FOR PROPOSED RULE MAKINGS

Agency I.D. No.	Subject Matter	Location—Date—Time
Education Department		
EDU-48-21-00008-P.....	Special Education Impartial Hearing Officers and the Special Education Due Process System Procedures	Zoom—February 1, 2022, 12:00 p.m. Link: https://zoom.us/j/95291448709?pwd=S1E2a3pvRjYvZlQ0eHZKNFpOaTZ6dz09, Meeting ID: 952 9144 8709, Passcode: MTbs02 Zoom—February 9, 2022, 5:00 p.m. Link: https://zoom.us/j/95132788304?pwd=QmVvaWxwZ2JaRi91bW1pTUxBZ2JHdz09, Meeting ID: 951 3278 8304, Passcode: 8p4dru Zoom—February 17, 2022, 9:00 a.m. Link: https://zoom.us/j/99029637239?pwd=eHcyYRmY3SVF5MnNhdzdpdFZzd09, Meeting ID: 990 2963 7239, Passcode: 8iqjHd
Environmental Conservation, Department of		
ENV-51-21-00003-P.....	Environmental Remediation Programs	Electronic Webinar—April 5, 2022, 2:00 p.m. Electronic Webinar—April 7, 2022, 5:30 p.m. Instructions on how to “join” the hearing webinar and provide an oral statement will be published on the Department’s proposed regulations webpage for 6 NYCRR Part 375 by December 22, 2021. The proposed regulations webpage for 6 NYCRR Part 375 may be accessed at: https://www.dec.ny.gov/regulations/propregulations.html Persons who wish to receive the instructions by mail or telephone may call the Department at (518) 402-9764 and leave a message for Jenn Dawson. Please provide your first and last name, address, and telephone number and reference the Part 375 public comment hearing. The Department will provide interpreter services for hearing impaired persons, and language interpreter services for individuals with difficulty understanding or reading English, at no charge upon written request submitted no later than March 22, 2022. The written request must be addressed to Deputy Commissioner, NYS DEC Office of Hearings and Mediation Services, 625 Broadway, 1st Floor, Albany, NY 12233-1550 or emailed to the Office of Hearings and Mediation Services at ohms@dec.ny.gov. Please include “Part 375 Public Comment Hearing” in the subject line. The public comment period for Part 375 is open until 8:00 p.m., April 21, 2022. Comments may be entered during the hearing, e-mailed to derweb@dec.ny.gov, or mailed to NYS DEC, Division of Environmental Remediation, 625 Broadway, Albany, NY 12233, Attn: Jenn Dawson. Please include “Part 375 Comments” in the subject or memo line of the correspondence.
Labor, Department of		
LAB-51-21-00007-P.....	Workplace Safety Committees	Location to be announced on Department of Labor website—February 9, 2022, 11:00 a.m.

ACTION PENDING INDEX

The action pending index is a list of all proposed rules which are currently being considered for adoption. A proposed rule is added to the index when the notice of proposed rule making is first published in the *Register*. A proposed rule is removed from the index when any of the following occur: (1) the proposal is adopted as a permanent rule; (2) the proposal is rejected and withdrawn from consideration; or (3) the proposal's notice expires.

Most notices expire in approximately 12 months if the agency does not adopt or reject the proposal within that time. The expiration date is printed in the second column of the action pending index. Some notices, however, never expire. Those notices are identified by the word "exempt" in the second column. Actions pending for one year or more are preceded by an asterisk(*).

For additional information concerning any of the proposals

listed in the action pending index, use the identification number to locate the text of the original notice of proposed rule making. The identification number contains a code which identifies the agency, the issue of the *Register* in which the notice was printed, the year in which the notice was printed and the notice's serial number. The following diagram shows how to read identification number codes.

Agency code	Issue number	Year published	Serial number	Action Code
AAM	01	12	00001	P

Action codes: P — proposed rule making; EP — emergency and proposed rule making (expiration date refers to proposed rule); RP — revised rule making

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
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AGRICULTURE AND MARKETS, DEPARTMENT OF

AAM-23-21-00001-P	07/07/22	Regulated commodity labeling, packaging and method of sale requirements	Amend packaging, labeling & method of sale requirements for various commodities to align with industry & federal standards
AAM-52-21-00001-EP	12/29/22	Control of the Box Tree Moth (<i>Cydalis perspectalis</i>)	To help control the spread of the Box Tree Moth, which infests certain landscaping host plants, rendering them unmarketable
AAM-02-22-00002-EP	01/12/23	License to Grow Hemp and Hemp Research Authorizations	To implement the New York State Hemp Grower License program.

ALCOHOLISM AND SUBSTANCE ABUSE SERVICES, OFFICE OF

ASA-27-21-00009-P	07/07/22	General provisions applicable to all OASAS programs	To identify those provisions that are required of all OASAS certified, funded or otherwise authorized programs
ASA-42-21-00009-P	10/20/22	Incident Reporting in OASAS certified, licensed, funded, or Operated Services	To update and clarify existing language.
ASA-42-21-00010-P	10/20/22	Provision of problem gambling treatment and recovery services.	Identify the requirements for provision of problem gambling services.
ASA-42-21-00012-P	10/20/22	Tobacco-Limited Services	The purpose of the rule is to change the requirement from tobacco "free" services to tobacco "limited" services.
ASA-47-21-00001-EP	11/24/22	Telehealth flexibilities and LGBTQ optional endorsement.	To continue telehealth flexibilities allowed under the COVID-19 disaster emergency to become permanent.
ASA-47-21-00002-EP	11/24/22	Patient's Rights in OASAS Programs	To set forth minimum requirements for patient rights in OASAS certified, funded or otherwise authorized programs.
ASA-48-21-00002-EP	12/01/22	General Provisions applicable to all OASAS programs	To identify those provisions that are required of ALL OASAS certified, funded, or otherwise authorized programs.

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
ALCOHOLISM AND SUBSTANCE ABUSE SERVICES, OFFICE OF			
ASA-52-21-00005-EP	12/29/22	Masking requirements in all OASAS certified/funded/otherwise authorized settings	To prevent the ongoing threat to public health of the spread of COVID-19 in OASAS settings
AUDIT AND CONTROL, DEPARTMENT OF			
AAC-51-21-00005-P	12/22/22	300.1 Regular interest; and rate of estimated future investment earnings 310.1 Mortality and service tables for valuation	To update the rate of estimated future investment earnings and the mortality and service tables used for valuation purposes.
CANNABIS MANAGEMENT, OFFICE OF			
OCM-46-21-00010-P	11/17/22	Part 115 - Personal Cultivation of Cannabis	Regulation to authorize the home cultivation of cannabis for certified medical cannabis patients
OCM-01-22-00026-P	01/05/23	Part 114 - Cannabinoid Hemp	To create a licensing framework for cannabinoid hemp processors and cannabinoid hemp retailers
CHILDREN AND FAMILY SERVICES, OFFICE OF			
CFS-36-21-00010-EP	09/08/22	Adopt provisions & standards to operationalize compliance with the federal Family First Prevention Services Act	Adopt provisions & standards to operationalize compliance with the federal Family First Prevention Services Act
CFS-52-21-00002-EP	12/29/22	To establish minimum standards to control the spread of COVID-19	To establish minimum standards to control the spread of COVID-19
CFS-52-21-00003-EP	12/29/22	To establish minimum standards to control the spread of COVID-19 at residential congregate programs.	To establish minimum standards to control the spread of COVID-19 at residential congregate programs.
CIVIL SERVICE, DEPARTMENT OF			
CVS-23-21-00007-P	06/09/22	Jurisdictional Classification	To classify a position in the exempt class
CVS-40-21-00008-P	10/06/22	Jurisdictional Classification	To classify a position in the exempt class.
CVS-40-21-00009-P	10/06/22	Jurisdictional Classification	To delete positions from and classify positions in the exempt class.
CVS-40-21-00010-P	10/06/22	Jurisdictional Classification	To delete positions from and classify a position in the exempt class and to classify a position in the non-competitive class
CVS-40-21-00011-P	10/06/22	Jurisdictional Classification	To classify positions in the exempt class.
CVS-40-21-00012-P	10/06/22	Jurisdictional Classification	To delete positions from and classify positions in the non-competitive class
CVS-40-21-00013-P	10/06/22	Jurisdictional Classification	To classify positions in the exempt class.
CVS-40-21-00014-P	10/06/22	Jurisdictional Classification	To classify a position in the non-competitive class
CVS-40-21-00015-P	10/06/22	Jurisdictional Classification	To classify a position in the non-competitive class

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
CIVIL SERVICE, DEPARTMENT OF			
CVS-40-21-00016-P	10/06/22	Jurisdictional Classification	To delete a position from and classify a position in the non-competitive class
CVS-44-21-00003-P	11/03/22	Jurisdictional Classification	To delete a position and to classify a position in the exempt class and to classify a position in the non-competitive class
CVS-44-21-00004-P	11/03/22	Jurisdictional Classification	To classify a position in the exempt class.
CVS-44-21-00005-P	11/03/22	Jurisdictional Classification	To classify a position in the non-competitive class
CVS-44-21-00006-P	11/03/22	Jurisdictional Classification	To delete a position in the exempt class.
CVS-44-21-00007-P	11/03/22	Jurisdictional Classification	To classify a position in the non-competitive class
CVS-49-21-00002-P	12/08/22	Jurisdictional Classification	To delete a position from and classify positions in the non-competitive class
CVS-49-21-00003-P	12/08/22	Jurisdictional Classification	To delete positions from the exempt class
CVS-49-21-00004-P	12/08/22	Jurisdictional Classification	To delete positions from and classify positions in the non-competitive class
CVS-49-21-00005-P	12/08/22	Jurisdictional Classification	To classify positions in the exempt class.
CVS-49-21-00006-P	12/08/22	Jurisdictional Classification	To classify positions in the non-competitive class
CVS-49-21-00007-P	12/08/22	Jurisdictional Classification	To classify a position in the non-competitive class
CVS-01-22-00019-P	01/05/23	Family Sick Leave	To increase amount of annual family sick leave from fifteen (15) to twenty-five (25) days for eligible M/C employees
CVS-01-22-00020-P	01/05/23	Jurisdictional Classification	To classify a position in the exempt class.
CVS-01-22-00021-P	01/05/23	Jurisdictional Classification	To classify positions in the exempt class and to classify a subheading and positions in the non-competitive class
CVS-01-22-00022-P	01/05/23	Jurisdictional Classification	To delete a position from and classify a position in the non-competitive class
CVS-01-22-00023-P	01/05/23	Jurisdictional Classification	To delete a position from and classify a position in the exempt class.
CVS-01-22-00024-P	01/05/23	Jurisdictional Classification	To classify a position in the exempt class.
CVS-01-22-00025-P	01/05/23	Jurisdictional Classification	To classify positions in the exempt class
CORRECTION, STATE COMMISSION OF			
CMC-34-21-00001-P	08/25/22	Jail staffing requirements	To provide county governments and the City of New York an increased role and flexibility in determining officer staffing levels

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
CORRECTIONS AND COMMUNITY SUPERVISION, DEPARTMENT OF			
CCS-49-21-00001-P	12/08/22	Incarcerated Individual Correspondence Program	To further clarify facility mail processing procedures
CRIMINAL JUSTICE SERVICES, DIVISION OF			
CJS-42-21-00004-EP	10/20/22	Professional Policing Standards	Implementation of the "New York State Professional Policing Act of 2021"
ECONOMIC DEVELOPMENT, DEPARTMENT OF			
EDV-44-21-00001-P	11/03/22	Music and Theatrical Tax Credit program	Update regulations to include a third party verification process for application
EDV-45-21-00001-P	11/10/22	Commercial Production Credit Program	Update regulations to include a third party verification process for application submissions.
EDV-51-21-00006-P	12/22/22	Employee Training Incentive Program	To update the administrative process for the ETIP program
EDUCATION DEPARTMENT			
EDU-44-21-00008-EP	11/03/22	Execution by Registered Professional Nurses of Non-Patient Specific Orders to Administer COVID-19 Immunizations	To ensure greater access to immunizations against COVID-19, as permitted by Education Law § 6909.
EDU-44-21-00009-P	11/03/22	Removing face-to-face instruction requirement for the Dignity For All Students Act (DASA) Training.	To remove the face-to-face instruction requirement for DASA training.
EDU-48-21-00008-P	02/17/23	Special education impartial hearing officers and the special education due process system procedures.	To address volume of special education due process complaints in the New York City due process system
EDU-48-21-00009-P	12/01/22	Licensure of Psychologists.	To conform New York State's licensure requirements with national standards and create a pathway for licensure by endorsement.
EDU-48-21-00010-P	12/01/22	Definition of the term "year of experience" for permanent or professional certification.	To streamline the definition of "year of experience" for permanent or professional certification.
EDU-48-21-00011-P	12/01/22	School districts' exemption from the establishment of an internal audit function.	To align the student enrollment number for eligibility for such exemption with the applicable statute.
EDU-48-21-00012-ERP	12/01/22	Annual visitation of voluntarily registered nursery schools and kindergartens.	To extend flexibility for the annual visitation of voluntarily registered nursery schools and kindergartens to the 2021-2022 SY.
EDU-48-21-00013-P	12/01/22	Records retention and disposition schedules	To revise records retention and disposition schedule LGS-1 and to remove superseded disposition schedules.
EDU-52-21-00012-P	12/29/22	General Education Core in the Liberal Arts and Sciences requirements	To remove the General Education Core in the Liberal Arts and Sciences requirements for registered teacher preparation programs and Individual Evaluation Pathway to teacher certification

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
EDUCATION DEPARTMENT			
EDU-52-21-00013-P	12/29/22	The teacher performance assessment requirement for certification.	To modify the teacher performance assessment requirement by eliminating the requirement of the edTPA for certification
EDU-52-21-00014-ERP	12/29/22	Requirements for the Reissuance of an Initial Certificate	To remove the requirement that candidates complete 50 hours of CTLE and/or professional learning to obtain a reissuance
EDU-52-21-00015-EP	12/29/22	Administration of non-injectable glucagon in schools by trained unlicensed school personnel	To conform the Commissioner's regulations to Chapter 339 of the Laws of 2021
EDU-04-22-00008-EP	01/26/23	Cancellation of January 2022 administration of Regents Examinations	To provide regulatory flexibility in response to the cancellation of the January 2022 Regents examination administration.
EDU-04-22-00009-EP	01/26/23	Administration of Immunizations by Pharmacists.	To conform the Commissioner's regulation to Chapter 555 of the Laws of 2021 authorizing pharmacists to administer certain immunizations.
EDU-04-22-00010-EP	01/26/23	Assessment requirements for school district leader and school district business leader program completion, the institutional recommendation for Professional SDL and SDBL certification, and the institutional recommendation Transitional D certification.	See Attached.
ELECTIONS, STATE BOARD OF			
SBE-33-21-00010-P	08/18/22	Public Campaign Finance Program	Implementation of the Public Campaign Finance Program
SBE-39-21-00002-P	09/29/22	County Voter Registration Systems Requirements	Requirements County Voter Registration Systems Must Meet in Order to Connect to the Statewide Voter Registration System
SBE-39-21-00003-P	09/29/22	Required Debates for Statewide Candidates Participating in the Public Campaign Finance Program	Outlines Debate Requirements for Statewide Candidates Participating in the Public Campaign Finance Program
SBE-46-21-00001-P	11/17/22	Public Campaign Finance Board's Enforcement Procedure	Relates to how the Public Campaign Finance Board will enforce the public campaign finance provisions of the Election Law
ENVIRONMENTAL CONSERVATION, DEPARTMENT OF			
ENV-16-21-00012-P	04/21/22	Regulations governing whelk management	To protect immature whelk from harvest and establish gear and reporting rules for marine resource protection and public safety
ENV-19-21-00001-P	07/20/22	Set monitoring, operational and reporting requirements for the oil and natural gas sector	Reduce emissions of methane and volatile organic compounds from the oil and natural gas sector
ENV-22-21-00001-EP	06/02/22	Peekamoose Valley Riparian Corridor	Protect public health, safety, general welfare and natural resources on the Peekamoose Valley Riparian Corridor
ENV-24-21-00008-P	08/17/22	Petroleum Bulk Storage (PBS)	To amend the PBS regulations, 6 NYCRR Part 613
ENV-24-21-00009-P	08/17/22	Chemical Bulk Storage (CBS)	To repeal existing 6 NYCRR Parts 596, 598, 599 and replace with new Part 598; and amend existing Part 597; for the CBS program

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
ENVIRONMENTAL CONSERVATION, DEPARTMENT OF			
ENV-26-21-00003-P	09/08/22	Product Stewardship and Product Labeling	Expand, strengthen and clarify existing regulations to establish consistency with federal and state requirements
ENV-33-21-00004-P	08/18/22	Amendments to permit requirements for trapping fisher and marten in New York State.	To remove the requirement for a special fisher trapping permit, and to simplify marten trapping requirements.
ENV-36-21-00003-P	11/15/22	Expanded Polystyrene Foam Container and Polystyrene Loose Fill Packaging Reduction	Implementation of the expanded polystyrene foam container and loose fill packaging ban in ECL Art. 27, Title 30
ENV-37-21-00004-P	09/15/22	Deer Hunting	This rulemaking will allow counties to annually, by county law, "opt-out" of the late bow and/or muzzleloader deer seasons
ENV-43-21-00010-P	10/27/22	Sunfish and crappie fishing regulations	To revise sunfish and crappie fishing regulations
ENV-49-21-00008-EP	12/08/22	Sanitary Condition of Shellfish Lands	To reclassify underwater shellfish lands to protect public health
ENV-49-21-00009-P	12/08/22	Freshwater fishing regulation simplification and clean-up	Eliminate unnecessary regulations, provide consistency and align regulations with actual management intent
ENV-51-21-00003-P	04/07/23	Environmental Remediation Programs	To amend 6 NYCRR Part 375, Environmental Remediation Programs.

FINANCIAL SERVICES, DEPARTMENT OF

*DFS-17-16-00003-P	exempt	Plan of Conversion by Commercial Travelers Mutual Insurance Company	To convert a mutual accident and health insurance company to a stock accident and health insurance company
*DFS-25-18-00006-P	exempt	Plan of Conversion by Medical Liability Mutual Insurance Company	To convert a mutual property and casualty insurance company to a stock property and casualty insurance company
DFS-42-21-00011-P	10/20/22	DISCLOSURE REQUIREMENTS FOR CERTAIN PROVIDERS OF COMMERCIAL FINANCING TRANSACTIONS	To provide new disclosure rules for small business financings
DFS-44-21-00015-P	11/03/22	Compliance With Community Reinvestment Act Requirements	To provide new rules concerning data collection on extension of credit to women-owned and minority-owned businesses.
DFS-47-21-00006-P	11/24/22	Minimum Standards for the Form, Content and Sale of Health Insurance, Including Standards of Full and Fair Disclosure	To hold insurers, plans and HMOs responsible for inaccurate provider directory information and replies to insureds' inquiries.
DFS-50-21-00016-P	12/15/22	Debt Collection by Third-Party Debt Collectors and Debt Buyers	To clarify and modify standards for debt collection practices in New York

GAMING COMMISSION, NEW YORK STATE

SGC-37-21-00017-P	09/15/22	Discretion to require a Thoroughbred jockey to serve a suspension for a riding violation at track where the violation occurred	To enhance the integrity and safety of thoroughbred horse racing
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Agency I.D. No.	Expires	Subject Matter	Purpose of Action
GAMING COMMISSION, NEW YORK STATE			
SGC-02-22-00003-P	01/12/23	Regulation of charitable gaming Internet raffles.	To implement Internet raffles regulation as directed by statute.
HEALTH, DEPARTMENT OF			
*HLT-14-94-00006-P	exempt	Payment methodology for HIV/AIDS outpatient services	To expand the current payment to incorporate pricing for services
*HLT-31-20-00012-EP	exempt	Hospital Non-comparable Ambulance Acute Rate Add-on	Prevents duplicate claiming by Article 28 hospitals for the ambulance add-on regarding participation in the program
HLT-05-21-00011-P	02/03/22	Ingredient Disclosures for Vapor Products and E-Cigarettes	To provide for enhanced public awareness of the chemicals used in vapor products and electronic cigarettes
HLT-22-21-00004-P	06/02/22	Hospice Residence Rates	To authorize Medicaid rate of payment to increase the Hospice Residence reimbursement rates by 10 percent
HLT-22-21-00009-P	06/02/22	Managed Care Organizations (MCOs)	To maintain the contingent reserve requirement at 7.25% through 2022 applied to Medicaid Managed Care, HIV SNP & HARP programs
HLT-46-21-00005-P	11/17/22	Nursing Home Minimum Direct Resident Care Spending	Every RHCF shall spend a minimum of 70% of revenue on direct resident care and 40% of revenue on resident-facing staffing.
HLT-46-21-00006-P	11/17/22	Article 28 Nursing Homes; Establishment; Notice and Character and Competence Requirements	To strengthen the establishment application review process for all Article 28 facilities
HLT-46-21-00007-P	11/17/22	Minimum Staffing Requirements for Nursing Homes	Requiring minimum staffing levels for nursing homes
HLT-50-21-00001-EP	12/15/22	Prevention of COVID-19 Transmission by Covered Entities	To require covered entities to ensure their personnel are fully vaccinated against COVID-19 subject to certain exemptions.
HLT-50-21-00002-EP	12/15/22	Investigation of Communicable Disease; Isolation and Quarantine	Control of communicable disease.
HLT-50-21-00003-EP	12/15/22	Face Coverings for COVID-19 Prevention	To control and promote the control of communicable diseases to reduce their spread.
HLT-50-21-00004-EP	12/15/22	Personal Caregiving and Compassionate Caregiving Visitors in Nursing Homes (NH's) and Adult Care Facilities (ACF's)	To require NH's & ACF's to establish policies & procedures relating to personal caregiving & compassionate caregiving visitors.
HLT-01-22-00004-P	01/05/23	Prescription Refills	Limits Medicaid FFS prescriptions to a maximum of 12 fills within one year from the date the prescriber initiates a prescription
HUMAN RIGHTS, DIVISION OF			
HRT-15-21-00005-P	04/14/22	Notice of tenants' rights to reasonable modifications and accommodations for persons with disabilities	To comply with the requirements of Executive Law section 170-d

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
INDUSTRIAL BOARD OF APPEALS			
IBA-45-21-00003-P	11/10/22	Rules of Procedure and Practice for administrative hearings; Freedom of Information Law	To update the Rules of Procedure and Practice for administrative review and to correct address for Freedom of Information Law.
LABOR, DEPARTMENT OF			
LAB-05-21-00003-EP	02/03/22	Unemployment Insurance (UI) definition of "day of total unemployment"	To prevent an additional financial burden on UI claimants seeking part-time work opportunities and help employers obtain talent
LAB-34-21-00002-EP	11/17/22	New York Health and Essential Rights Act (NY HERO Act)	Airborne Infectious Disease Exposure Prevention Standard
LAB-51-21-00007-P	02/09/23	Workplace Safety Committees	To comply with Labor Law 27-d(8) which requires that the Department adopt regulations.
LAW, DEPARTMENT OF			
LAW-48-21-00016-P	12/01/22	Contents of annual financial reports required to be filed by charities required to register with the Department of Law	Amend filing requirement held unconstitutional by the United States Supreme Court; conform filing thresholds to law
LAW-49-21-00016-P	12/08/22	Charities regulatory framework and the use of gendered pronouns therein	Removal of all references to gender pronouns and replacing them with the neutral pronoun, "they" or "their"
LIQUOR AUTHORITY, STATE			
LQR-36-21-00002-P	11/10/22	Commencement of administrative disciplinary proceedings via electronic means	To modernize outdated administrative disciplinary procedures to provide for service of pleadings via electronic means
LONG ISLAND POWER AUTHORITY			
*LPA-08-01-00003-P	exempt	Pole attachments and related matters	To approve revisions to the authority's tariff
*LPA-41-02-00005-P	exempt	Tariff for electric service	To revise the tariff for electric service
*LPA-04-06-00007-P	exempt	Tariff for electric service	To adopt provisions of a ratepayer protection plan
*LPA-03-10-00004-P	exempt	Residential late payment charges	To extend the application of late payment charges to residential customers
*LPA-15-18-00013-P	exempt	Outdoor area lighting	To add an option and pricing for efficient LED lamps to the Authority's outdoor area lighting
*LPA-37-18-00013-P	exempt	The net energy metering provisions of the Authority's Tariff for Electric Service	To implement PSC guidance increasing eligibility for value stack compensation to larger projects
*LPA-37-18-00017-P	exempt	The treatment of electric vehicle charging in the Authority's Tariff for Electric Service.	To effectuate the outcome of the Public Service Commission's proceeding on electric vehicle supply equipment.
*LPA-37-18-00018-P	exempt	The treatment of energy storage in the Authority's Tariff for Electric Service.	To effectuate the outcome of the Public Service Commission's proceeding on the NY Energy Storage Roadmap.

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
LONG ISLAND POWER AUTHORITY			
*LPA-09-20-00010-P	 exempt	To update and implement latest requirements for ESCOs proposing to do business within the Authority's service territory.	To strengthen customer protections and be consistent with Public Service Commission orders on retail energy markets.
*LPA-28-20-00033-EP	 exempt	LIPA's late payment charges, reconnection charges, and low-income customer discount enrollment	To allow waiver of late payment and reconnection charges and extend the grace period for re-enrolling in customer bill discounts
*LPA-37-20-00013-EP	 exempt	The terms of deferred payment agreements available to LIPA's commercial customers	To expand eligibility for and ease the terms of deferred payment agreements for LIPA's commercial customers
LPA-12-21-00011-P	 exempt	LIPA's Long Island Choice (retail choice) tariff	To simplify and improve Long Island Choice based on stakeholder collaborative input
MENTAL HEALTH, OFFICE OF			
OMH-09-21-00001-EP	 03/03/22	Redesigning Residential Treatment Facilities (RTF)	To provide clarity and provide uniformity relating to RTF's and to implement Chapter 58 of the Laws of 2020
OMH-20-21-00006-P	 05/19/22	Establishment of Youth Assertive Community Treatment (ACT)	To include children in the populations eligible to receive ACT and other conforming changes
OMH-33-21-00005-P	 08/18/22	Establishes Crisis Stabilization Centers.	To establish standards for a Crisis Stabilization Center which provides a full range of psychiatric and substance use services.
OMH-40-21-00007-EP	 10/06/22	COVID-19 Masking Program	To implement a COVID-19 mask program
OMH-43-21-00002-EP	 10/27/22	COVID-19 Vaccination Program	To implement a COVID-19 vaccination program in OMH Operated or Licensed Hospitals
OMH-48-21-00003-EP	 12/01/22	Telehealth Expansion.	To establish regulations regarding the expansion of telehealth.
METROPOLITAN TRANSPORTATION AGENCY			
MTA-16-21-00004-EP	 04/21/22	Requiring mask wearing when using the facilities and conveyances of the MTA and its operating affiliates and subsidiaries	To safeguard the public health and safety by adding a new all-agency rule requiring the use of masks in facilities and conveyances
MOTOR VEHICLES, DEPARTMENT OF			
MTV-48-21-00014-P	 12/01/22	Special Requirements For For-Hire Vehicle Motor Carriers	necessary to implement statute (Ch 2, Laws of 2020)
MTV-48-21-00015-P	 12/01/22	Signs for school bus photo violation monitoring system	Conforms regulation with statute
NIAGARA FALLS WATER BOARD			
*NFW-04-13-00004-EP	 exempt	Adoption of Rates, Fees and Charges	To pay for the increased costs necessary to operate, maintain and manage the system, and to achieve covenants with bondholders
*NFW-13-14-00006-EP	 exempt	Adoption of Rates, Fees and Charges	To pay for increased costs necessary to operate, maintain and manage the system and to achieve covenants with the bondholders

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
NIAGARA FALLS WATER BOARD			
NFW-49-21-00010-EP	12/08/22	Adoption of Rates, Fees, and Charges	To pay for increased costs necessary to operate, maintain, and manage the system, and to meet covenants with the bondholders
OGDENSBURG BRIDGE AND PORT AUTHORITY			
*OBA-33-18-00019-P	exempt	Increase in Bridge Toll Structure	To increase bridge toll revenue in order to become financially self-supporting. Our bridge operations are resulting in deficit.
*OBA-07-19-00019-P	exempt	Increase in Bridge Toll Structure	To increase bridge toll revenue in order to become financially self-supporting. Our bridge operations are resulting in deficit
PEOPLE WITH DEVELOPMENTAL DISABILITIES, OFFICE FOR			
PDD-37-21-00001-P	09/15/22	Certified Residential Opportunities	To provide equity in opportunities for certified residential opportunities
PDD-40-21-00002-EP	10/06/22	Mandatory Face Coverings in OPWDD Certified Services	To protect public health
PDD-43-21-00003-EP	10/27/22	COVID-19 vaccines	To require vaccinations in certain OPWDD settings
PDD-46-21-00015-P	11/17/22	Community Transition Services	To match federal limitations and use gender neutral terminology
PDD-04-22-00007-P	01/26/23	Reportable Incidents	To remove administration of medical cannabis from the list of reportable incidents
POWER AUTHORITY OF THE STATE OF NEW YORK			
*PAS-01-10-00010-P	exempt	Rates for the sale of power and energy	Update ECSB Programs customers' service tariffs to streamline them/include additional required information
PAS-44-21-00016-P	exempt	Rates for the Sale of Power and Energy	To align rates and costs
PUBLIC EMPLOYMENT RELATIONS BOARD			
PRB-01-22-00006-P	01/05/23	Rules and regulations to effectuate the purposes of the State Employment Relations Act (Labor Law Art. 20).	To enact procedures for the Farm Laborers Fair Laborers Practice Act, which amended the State Employment Relations Act.
PUBLIC SERVICE COMMISSION			
*PSC-09-99-00012-P	exempt	Transfer of books and records by Citizens Utilities Company	To relocate Ogden Telephone Company's books and records out-of-state
*PSC-15-99-00011-P	exempt	Electronic tariff by Woodcliff Park Corp.	To replace the company's current tariff with an electronic tariff
*PSC-12-00-00001-P	exempt	Winter bundled sales service election date by Central Hudson Gas & Electric Corporation	To revise the date

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
*PSC-44-01-00005-P	 exempt	Annual reconciliation of gas costs by Corning Natural Gas Corporation	To authorize the company to include certain gas costs
*PSC-07-02-00032-P	 exempt	Uniform business practices	To consider modification
*PSC-36-03-00010-P	 exempt	Performance assurance plan by Verizon New York	To consider changes
*PSC-40-03-00015-P	 exempt	Receipt of payment of bills by St. Lawrence Gas Company	To revise the process
*PSC-41-03-00010-P	 exempt	Annual reconciliation of gas expenses and gas cost recoveries	To consider filings of various LDCs and municipalities
*PSC-41-03-00011-P	 exempt	Annual reconciliation of gas expenses and gas cost recoveries	To consider filings of various LDCs and municipalities
*PSC-44-03-00009-P	 exempt	Retail access data between jurisdictional utilities	To accommodate changes in retail access market structure or commission mandates
*PSC-02-04-00008-P	 exempt	Delivery rates for Con Edison's customers in New York City and Westchester County by the City of New York	To rehear the Nov. 25, 2003 order
*PSC-06-04-00009-P	 exempt	Transfer of ownership interest by SCS Energy LLC and AE Investors LLC	To transfer interest in Steinway Creek Electric Generating Company LLC to AE Investors LLC
*PSC-10-04-00005-P	 exempt	Temporary protective order	To consider adopting a protective order
*PSC-10-04-00008-P	 exempt	Interconnection agreement between Verizon New York Inc. and VIC-RMTS-DC, L.L.C. d/b/a Verizon Avenue	To amend the agreement
*PSC-14-04-00008-P	 exempt	Submetering of natural gas service to industrial and commercial customers by Hamburg Fairgrounds	To submeter gas service to commercial customers located at the Buffalo Speedway
*PSC-15-04-00022-P	 exempt	Submetering of electricity by Glenn Gardens Associates, L.P.	To permit submetering at 175 W. 87th St., New York, NY
*PSC-21-04-00013-P	 exempt	Verizon performance assurance plan by Metropolitan Telecommunications	To clarify the appropriate performance level
*PSC-22-04-00010-P	 exempt	Approval of new types of electricity meters by Powell Power Electric Company	To permit the use of the PE-1250 electronic meter
*PSC-22-04-00013-P	 exempt	Major gas rate increase by Consolidated Edison Company of New York, Inc.	To increase annual gas revenues
*PSC-22-04-00016-P	 exempt	Master metering of water by South Liberty Corporation	To waive the requirement for installation of separate water meters
*PSC-25-04-00012-P	 exempt	Interconnection agreement between Frontier Communications of Ausable Valley, Inc., et al. and Sprint Communications Company, L.P.	To amend the agreement
*PSC-27-04-00008-P	 exempt	Interconnection agreement between Verizon New York Inc. and various Verizon wireless affiliates	To amend the agreement

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
*PSC-27-04-00009-P	 exempt	Interconnection agreement between Verizon New York Inc. and various Verizon wireless affiliates	To amend the agreement
*PSC-28-04-00006-P	 exempt	Approval of loans by Dunkirk & Fredonia Telephone Company and Cassadaga Telephone Corporation	To authorize participation in the parent corporation's line of credit
*PSC-31-04-00023-P	 exempt	Distributed generation service by Consolidated Edison Company of New York, Inc.	To provide an application form
*PSC-34-04-00031-P	 exempt	Flat rate residential service by Emerald Green Lake Louise Marie Water Company, Inc.	To set appropriate level of permanent rates
*PSC-35-04-00017-P	 exempt	Application form for distributed generation by Orange and Rockland Utilities, Inc.	To establish a new supplementary application form for customers
*PSC-43-04-00016-P	 exempt	Accounts recievable by Rochester Gas and Electric Corporation	To include in its tariff provisions for the purchase of ESCO accounts recievable
*PSC-46-04-00012-P	 exempt	Service application form by Consolidated Edison Company of New York, Inc.	To revise the form and make housekeeping changes
*PSC-46-04-00013-P	 exempt	Rules and guidelines governing installation of metering equipment	To establish uniform statewide business practices
*PSC-02-05-00006-P	 exempt	Violation of the July 22, 2004 order by Dutchess Estates Water Company, Inc.	To consider imposing remedial actions against the company and its owners, officers and directors
*PSC-09-05-00009-P	 exempt	Submetering of natural gas service by Hamlet on Olde Oyster Bay	To consider submetering of natural gas to a commercial customer
*PSC-14-05-00006-P	 exempt	Request for deferred accounting authorization by Freeport Electric Inc.	To defer expenses beyond the end of the fiscal year
*PSC-18-05-00009-P	 exempt	Marketer Assignment Program by Consolidated Edison Company of New York, Inc.	To implement the program
*PSC-20-05-00028-P	 exempt	Delivery point aggregation fee by Allied Frozen Storage, Inc.	To review the calculation of the fee
*PSC-25-05-00011-P	 exempt	Metering, balancing and cashout provisions by Central Hudson Gas & Electric Corporation	To establish provisions for gas customers taking service under Service Classification Nos. 8, 9 and 11
*PSC-27-05-00018-P	 exempt	Annual reconciliation of gas costs by New York State Electric & Gas Corporation	To consider the manner in which the gas cost incentive mechanism has been applied
*PSC-41-05-00013-P	 exempt	Annual reconciliation of gas expenses and gas cost recoveries by local distribution companies and municipalities	To consider the filings
*PSC-45-05-00011-P	 exempt	Treatment of lost and unaccounted gas costs by Corning Natural Gas Corporation	To defer certain costs

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
*PSC-46-05-00015-P	 exempt	Sale of real and personal property by the Brooklyn Union Gas Company d/b/a KeySpan Energy Delivery New York and Steel Arrow, LLC	To consider the sale
*PSC-47-05-00009-P	 exempt	Transferral of gas supplies by Corning Natural Gas Corporation	To approve the transfer
*PSC-50-05-00008-P	 exempt	Long-term debt by Saratoga Glen Hollow Water Supply Corp.	To obtain long-term debt
*PSC-04-06-00024-P	 exempt	Transfer of ownership interests by Mirant NY-Gen LLC and Orange and Rockland Utilities, Inc.	To approve of the transfer
*PSC-06-06-00015-P	 exempt	Gas curtailment policies and procedures	To examine the manner and extent to which gas curtailment policies and procedures should be modified and/or established
*PSC-07-06-00009-P	 exempt	Modification of the current Environmental Disclosure Program	To include an attributes accounting system
*PSC-22-06-00019-P	 exempt	Hourly pricing by National Grid	To assess the impacts
*PSC-22-06-00020-P	 exempt	Hourly pricing by New York State Electric & Gas Corporation	To assess the impacts
*PSC-22-06-00021-P	 exempt	Hourly pricing by Rochester Gas & Electric Corporation	To assess the impacts
*PSC-22-06-00022-P	 exempt	Hourly pricing by Consolidated Edison Company of New York, Inc.	To assess the impacts
*PSC-22-06-00023-P	 exempt	Hourly pricing by Orange and Rockland Utilities, Inc.	To assess the impacts
*PSC-24-06-00005-EP	 exempt	Supplemental home energy assistance benefits	To extend the deadline to Central Hudson's low-income customers
*PSC-25-06-00017-P	 exempt	Purchased power adjustment by Massena Electric Department	To revise the method of calculating the purchased power adjustment and update the factor of adjustment
*PSC-34-06-00009-P	 exempt	Inter-carrier telephone service quality standards and metrics by the Carrier Working Group	To incorporate appropriate modifications
*PSC-37-06-00015-P	 exempt	Procedures for estimation of customer bills by Rochester Gas and Electric Corporation	To consider estimation procedures
*PSC-37-06-00017-P	 exempt	Procedures for estimation of customer bills by Rochester Gas and Electric Corporation	To consider estimation procedures
*PSC-43-06-00014-P	 exempt	Electric delivery services by Strategic Power Management, Inc.	To determine the proper mechanism for the rate-recovery of costs
*PSC-04-07-00012-P	 exempt	Petition for rehearing by Orange and Rockland Utilities, Inc.	To clarify the order
*PSC-06-07-00015-P	 exempt	Meter reading and billing practices by Central Hudson Gas & Electric Corporation	To continue current meter reading and billing practices for electric service

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
*PSC-06-07-00020-P	 exempt	Meter reading and billing practices by Central Hudson Gas & Electric Corporation	To continue current meter reading and billing practices for gas service
*PSC-11-07-00010-P	 exempt	Investigation of the electric power outages by the Consolidated Edison Company of New York, Inc.	To implement the recommendations in the staff's investigation
*PSC-11-07-00011-P	 exempt	Storm-related power outages by Consolidated Edison Company of New York, Inc.	To modify the company's response to power outages, the timing for any such changes and other related matters
*PSC-17-07-00008-P	 exempt	Interconnection agreement between Verizon New York Inc. and BridgeCom International, Inc.	To amend the agreement
*PSC-18-07-00010-P	 exempt	Existing electric generating stations by Independent Power Producers of New York, Inc.	To repower and upgrade existing electric generating stations owned by Rochester Gas and Electric Corporation
*PSC-20-07-00016-P	 exempt	Tariff revisions and making rates permanent by New York State Electric & Gas Corporation	To seek rehearing
*PSC-21-07-00007-P	 exempt	Natural Gas Supply and Acquisition Plan by Corning Natural Gas Corporation	To revise the rates, charges, rules and regulations for gas service
*PSC-22-07-00015-P	 exempt	Demand Side Management Program by Consolidated Edison Company of New York, Inc.	To recover incremental program costs and lost revenue
*PSC-23-07-00022-P	 exempt	Supplier, transportation, balancing and aggregation service by National Fuel Gas Distribution Corporation	To explicitly state in the company's tariff that the threshold level of elective upstream transmission capacity is a maximum of 112,600 Dth/day of marketer-provided upstream capacity
*PSC-24-07-00012-P	 exempt	Gas Efficiency Program by the City of New York	To consider rehearing a decision establishing a Gas Efficiency Program
*PSC-39-07-00017-P	 exempt	Gas bill issuance charge by New York State Electric & Gas Corporation	To create a gas bill issuance charge unbundled from delivery rates
*PSC-41-07-00009-P	 exempt	Submetering of electricity rehearing	To seek reversal
*PSC-42-07-00012-P	 exempt	Energy efficiency program by Orange and Rockland Utilities, Inc.	To consider any energy efficiency program for Orange and Rockland Utilities, Inc.'s electric service
*PSC-42-07-00013-P	 exempt	Revenue decoupling by Orange and Rockland Utilities, Inc.	To consider a revenue decoupling mechanism for Orange and Rockland Utilities, Inc.
*PSC-45-07-00005-P	 exempt	Customer incentive programs by Orange and Rockland Utilities, Inc.	To establish a tariff provision
*PSC-02-08-00006-P	 exempt	Additional central office codes in the 315 area code region	To consider options for making additional codes
*PSC-03-08-00006-P	 exempt	Rehearing of the accounting determinations	To grant or deny a petition for rehearing of the accounting determinations
*PSC-04-08-00010-P	 exempt	Granting of easement rights on utility property by Central Hudson Gas & Electric Corporation	To grant easement rights to Millennium Pipeline Company, L.L.C.

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
*PSC-04-08-00012-P	 exempt	Marketing practices of energy service companies by the Consumer Protection Board and New York City Department of Consumer Affairs	To consider modifying the commission's regulation over marketing practices of energy service companies
*PSC-08-08-00016-P	 exempt	Transfer of ownership by Entergy Nuclear Fitzpatrick LLC, et al.	To consider the transfer
*PSC-12-08-00019-P	 exempt	Extend the provisions of the existing electric rate plan by Rochester Gas and Electric Corporation	To consider the request
*PSC-12-08-00021-P	 exempt	Extend the provisions of the existing gas rate plan by Rochester Gas and Electric Corporation	To consider the request
*PSC-13-08-00011-P	 exempt	Waiver of commission policy and NYSEG tariff by Turner Engineering, PC	To grant or deny Turner's petition
*PSC-13-08-00012-P	 exempt	Voltage drops by New York State Electric & Gas Corporation	To grant or deny the petition
*PSC-23-08-00008-P	 exempt	Petition requesting rehearing and clarification of the commission's April 25, 2008 order denying petition of public utility law project	To consider whether to grant or deny, in whole or in part, the May 7, 2008 Public Utility Law Project (PULP) petition for rehearing and clarification of the commission's April 25, 2008 order denying petition of Public Utility Law Project
*PSC-25-08-00007-P	 exempt	Policies and procedures regarding the selection of regulatory proposals to meet reliability needs	To establish policies and procedures regarding the selection of regulatory proposals to meet reliability needs
*PSC-25-08-00008-P	 exempt	Report on Callable Load Opportunities	Rider U report assessing callable load opportunities in New York City and Westchester County during the next 10 years
*PSC-28-08-00004-P	 exempt	Con Edison's procedure for providing customers access to their account information	To consider Con Edison's implementation plan and timetable for providing customers access to their account information
*PSC-31-08-00025-P	 exempt	Recovery of reasonable DRS costs from the cost mitigation reserve (CMR)	To authorize recovery of the DRS costs from the CMR
*PSC-32-08-00009-P	 exempt	The ESCO referral program for KEDNY to be implemented by October 1, 2008	To approve, reject or modify, in whole or in part, KEDNY's recommended ESCO referral program
*PSC-33-08-00008-P	 exempt	Noble Allegany's request for lightened regulation	To consider Noble Allegany's request for lightened regulation as an electric corporation
*PSC-36-08-00019-P	 exempt	Land Transfer in the Borough of Manhattan, New York	To consider petition for transfer of real property to NYPH
*PSC-39-08-00010-P	 exempt	RG&E's economic development plan and tariffs	Consideration of the approval of RG&E's economic development plan and tariffs
*PSC-40-08-00010-P	 exempt	Loans from regulated company to its parent	To determine if the cash management program resulting in loans to the parent should be approved

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
*PSC-41-08-00009-P	 exempt	Transfer of control of cable TV franchise	To determine if the transfer of control of Margaretville's cable TV subsidiary should be approved
*PSC-43-08-00014-P	 exempt	Annual Reconciliation of Gas Expenses and Gas Cost Recoveries	The filings of various LDCs and municipalities regarding their Annual Reconciliation of Gas Expenses and Gas Cost Recoveries
*PSC-46-08-00008-P	 exempt	Property transfer in the Village of Avon, New York	To consider a petition for the transfer of street lighting and attached equipment to the Village of Avon, New York
*PSC-46-08-00010-P	 exempt	A transfer of indirect ownership interests in nuclear generation facilities	Consideration of approval of a transfer of indirect ownership interests in nuclear generation facilities
*PSC-46-08-00014-P	 exempt	The attachment of cellular antennae to an electric transmission tower	To approve, reject or modify the request for permission to attach cellular antennae to an electric transmission tower
*PSC-48-08-00005-P	 exempt	A National Grid high efficiency gas heating equipment rebate program	To expand eligibility to customers converting from oil to natural gas
*PSC-48-08-00008-P	 exempt	Petition for the master metering and submetering of electricity	To consider the request of Bay City Metering, to master meter & submeter electricity at 345 E. 81st St., New York, New York
*PSC-48-08-00009-P	 exempt	Petition for the submetering of electricity	To consider the request of PCV/ST to submeter electricity at Peter Cooper Village & Stuyvesant Town, New York, New York
*PSC-50-08-00018-P	 exempt	Market Supply Charge	A study on the implementation of a revised Market Supply Charge
*PSC-51-08-00006-P	 exempt	Commission's October 27, 2008 Order on Future of Retail Access Programs in Case 07-M-0458	To consider a Petition for rehearing of the Commission's October 27, 2008 Order in Case 07-M-0458
*PSC-51-08-00007-P	 exempt	Commission's October 27, 2008 Order in Cases 98-M-1343, 07-M-1514 and 08-G-0078	To consider Petitions for rehearing of the Commission's October 27, 2008 Order in Cases 98-M-1343, 07-M-1514 and 08-G-0078
*PSC-53-08-00011-P	 exempt	Use of deferred Rural Telephone Bank funds	To determine if the purchase of a softswitch by Hancock is an appropriate use of deferred Rural Telephone Bank funds
*PSC-53-08-00012-P	 exempt	Transfer of permanent and temporary easements at 549-555 North Little Tor Road, New City, NY	Transfer of permanent and temporary easements at 549-555 North Little Tor Road, New City, NY
*PSC-53-08-00013-P	 exempt	To transfer common stock and ownership	To consider transfer of common stock and ownership
*PSC-01-09-00015-P	 exempt	FCC decision to redefine service area of Citizens/Frontier	Review and consider FCC proposed redefinition of Citizens/Frontier service area
*PSC-02-09-00010-P	 exempt	Competitive classification of independent local exchange company, and regulatory relief appropriate thereto	To determine if Chazy & Westport Telephone Corporation more appropriately belongs in scenario 1 rather than scenario 2

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PUBLIC SERVICE COMMISSION			
*PSC-05-09-00008-P	 exempt	Revenue allocation, rate design, performance metrics, and other non-revenue requirement issues	To consider any remaining non-revenue requirement issues related to the Company's May 9, 2008 tariff filing
*PSC-05-09-00009-P	 exempt	Numerous decisions involving the steam system including cost allocation, energy efficiency and capital projects	To consider the long term impacts on steam rates and on public policy of various options concerning the steam system
*PSC-06-09-00007-P	 exempt	Interconnection of the networks between Frontier Comm. and WVT Communications for local exchange service and exchange access	To review the terms and conditions of the negotiated agreement between Frontier Comm. and WVT Comm.
*PSC-07-09-00015-P	 exempt	Transfer certain utility assets located in the Town of Montgomery from plant held for future use to non-utility property	To consider the request to transfer certain utility assets located in the Town of Montgomery to non-utility assets
*PSC-07-09-00017-P	 exempt	Request for authorization to defer the incremental costs incurred in the restoration work resulting from the ice storm	To allow the company to defer the incremental costs incurred in the restoration work resulting from the ice storm
*PSC-07-09-00018-P	 exempt	Whether to permit the submetering of natural gas service to an industrial and commercial customer at Cooper Union, New York, NY	To consider the request of Cooper Union, to submeter natural gas at 41 Cooper Square, New York, New York
*PSC-12-09-00010-P	 exempt	Charges for commodity	To charge customers for commodity costs
*PSC-12-09-00012-P	 exempt	Charges for commodity	To charge customers for commodity costs
*PSC-13-09-00008-P	 exempt	Options for making additional central office codes available in the 718/347 numbering plan area	To consider options for making additional central office codes available in the 718/347 numbering plan area
*PSC-14-09-00014-P	 exempt	The regulation of revenue requirements for municipal utilities by the Public Service Commission	To determine whether the regulation of revenue requirements for municipal utilities should be modified
*PSC-16-09-00010-P	 exempt	Petition for the submetering of electricity	To consider the request of AMPS on behalf of Park Imperial to submeter electricity at 230 W. 56th Street, in New York, New York
*PSC-16-09-00020-P	 exempt	Whether SUNY's core accounts should be exempt from the mandatory assignment of local distribution company (LDC) capacity	Whether SUNY's core accounts should be exempt from the mandatory assignment of local distribution company (LDC) capacity
*PSC-17-09-00010-P	 exempt	Whether to permit the use of Elster REX2 solid state electric meter for use in residential and commercial accounts	To permit electric utilities in New York State to use the Elster REX2
*PSC-17-09-00011-P	 exempt	Whether Brooklyn Navy Yard Cogeneration Partners, L.P. should be reimbursed by Con Edison for past and future use taxes	Whether Brooklyn Navy Yard Cogeneration Partners, L.P. should be reimbursed by Con Edison for past and future use taxes
*PSC-17-09-00012-P	 exempt	Petition for the submetering of gas at commercial property	To consider the request of Turner Construction, to submeter natural gas at 550 Short Ave., & 10 South St., Governors Island, NY
*PSC-17-09-00014-P	 exempt	Benefit-cost framework for evaluating AMI programs prepared by the DPS Staff	To consider a benefit-cost framework for evaluating AMI programs prepared by the DPS Staff

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
*PSC-17-09-00015-P	 exempt	The construction of a tower for wireless antennas on land owned by National Grid	To approve, reject or modify the petition to build a tower for wireless antennas in the Town of Onondaga
*PSC-18-09-00012-P	 exempt	Petition for rehearing of Order approving the submetering of electricity	To consider the request of Frank Signore to rehear petition to submeter electricity at One City Place in White Plains, New York
*PSC-18-09-00013-P	 exempt	Petition for the submetering of electricity	To consider the request of Living Opportunities of DePaul to submeter electricity at E. Main St. located in Batavia, New York
*PSC-18-09-00017-P	 exempt	Approval of an arrangement for attachment of wireless antennas to the utility's transmission facilities in the City of Yonkers	To approve, reject or modify the petition for the existing wireless antenna attachment to the utility's transmission tower
*PSC-20-09-00016-P	 exempt	The recovery of, and accounting for, costs associated with the Companies' advanced metering infrastructure (AMI) pilots etc	To consider a filing of the Companies as to the recovery of, and accounting for, costs associated with it's AMI pilots etc
*PSC-20-09-00017-P	 exempt	The recovery of, and accounting for, costs associated with CHG&E's AMI pilot program	To consider a filing of CHG&E as to the recovery of, and accounting for, costs associated with it's AMI pilot program
*PSC-22-09-00011-P	 exempt	Cost allocation for Consolidated Edison's East River Repowering Project	To determine whether any changes are warranted in the cost allocation of Consolidated Edison's East River Repowering Project
*PSC-25-09-00005-P	 exempt	Whether to grant, deny, or modify, in whole or in part, the petition	Whether to grant, deny, or modify, in whole or in part, the petition
*PSC-25-09-00006-P	 exempt	Electric utility implementation plans for proposed web based SIR application process and project status database	To determine if the proposed web based SIR systems are adequate and meet requirements needed for implementation
*PSC-25-09-00007-P	 exempt	Electric rates for Consolidated Edison Company of New York, Inc	Consider a Petition for Rehearing filed by Consolidated Edison Company of New York, Inc
*PSC-27-09-00011-P	 exempt	Interconnection of the networks between Vernon and tw telecom of new york l.p. for local exchange service and exchange access.	To review the terms and conditions of the negotiated agreement between Vernon and tw telecom of new york l.p.
*PSC-27-09-00014-P	 exempt	Billing and payment for energy efficiency measures through utility bill	To promote energy conservation
*PSC-27-09-00015-P	 exempt	Interconnection of the networks between Oriskany and tw telecom of new york l.p. for local exchange service and exchange access	To review the terms and conditions of the negotiated agreement between Oriskany and tw telecom of new york l.p.
*PSC-29-09-00011-P	 exempt	Consideration of utility compliance filings	Consideration of utility compliance filings
*PSC-32-09-00009-P	 exempt	Cost allocation for Consolidated Edison's East River Repowering Project	To determine whether any changes are warranted in the cost allocation of Consolidated Edison's East River Repowering Project
*PSC-34-09-00016-P	 exempt	Recommendations made in the Management Audit Final Report	To consider whether to take action or recommendations contained in the Management Audit Final Report

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PUBLIC SERVICE COMMISSION			
*PSC-34-09-00017-P	 exempt	To consider the transfer of control of Plattsburgh Cablevision, Inc. d/b/a Charter Communications to CH Communications, LLC	To allow the Plattsburgh Cablevision, Inc. to distribute its equity interest in CH Communications, LLC
*PSC-36-09-00008-P	 exempt	The increase in the non-bypassable charge implemented by RG&E on June 1, 2009	Considering exemptions from the increase in the non-bypassable charge implemented by RG&E on June 1, 2009
*PSC-37-09-00015-P	 exempt	Sale of customer-generated steam to the Con Edison steam system	To establish a mechanism for sale of customer-generated steam to the Con Edison steam system
*PSC-37-09-00016-P	 exempt	Applicability of electronic signatures to Deferred Payment Agreements	To determine whether electronic signatures can be accepted for Deferred Payment Agreements
*PSC-39-09-00015-P	 exempt	Modifications to the \$5 Bill Credit Program	Consideration of petition of National Grid to modify the Low Income \$5 Bill Credit Program
*PSC-39-09-00018-P	 exempt	The offset of deferral balances with Positive Benefit Adjustments	To consider a petition to offset deferral balances with Positive Benefit Adjustments
*PSC-40-09-00013-P	 exempt	Uniform System of Accounts - request for deferral and amortization of costs	To consider a petition to defer and amortize costs
*PSC-51-09-00029-P	 exempt	Rules and guidelines for the exchange of retail access data between jurisdictional utilities and eligible ESCOs	To revise the uniform Electronic Data Interchange Standards and business practices to incorporate a contest period
*PSC-51-09-00030-P	 exempt	Waiver or modification of Capital Expenditure condition of merger	To allow the companies to expend less funds for capital improvement than required by the merger
*PSC-52-09-00006-P	 exempt	ACE's petition for rehearing for an order regarding generator-specific energy deliverability study methodology	To consider whether to change the Order Prescribing Study Methodology
*PSC-52-09-00008-P	 exempt	Approval for the New York Independent System Operator, Inc. to incur indebtedness and borrow up to \$50,000,000	To finance the renovation and construction of the New York Independent System Operator, Inc.'s power control center facilities
*PSC-05-10-00008-P	 exempt	Petition for the submetering of electricity	To consider the request of University Residences - Rochester, LLC to submeter electricity at 220 John Street, Henrietta, NY
*PSC-05-10-00015-P	 exempt	Petition for the submetering of electricity	To consider the request of 243 West End Avenue Owners Corp. to submeter electricity at 243 West End Avenue, New York, NY
*PSC-06-10-00022-P	 exempt	The Commission's Order of December 17, 2009 related to redevelopment of Consolidated Edison's Hudson Avenue generating facility	To reconsider the Commission's Order of December 17, 2009 related to redevelopment of the Hudson Avenue generating facility
*PSC-07-10-00009-P	 exempt	Petition to revise the Uniform Business Practices	To consider the RESA petition to allow rescission of a customer request to return to full utility service
*PSC-08-10-00007-P	 exempt	Whether to grant, deny, or modify , in whole or in part, the rehearing petition filed in Case 06-E-0847	Whether to grant, deny, or modify , in whole or in part, the rehearing petition filed in Case 06-E-0847

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
*PSC-08-10-00009-P	 exempt	Consolidated Edison of New York, Inc. energy efficiency programs	To modify approved energy efficiency programs
*PSC-12-10-00015-P	 exempt	Recommendations made by Staff intended to enhance the safety of Con Edison's gas operations	To require that Con Edison implement the Staff recommendations intended to enhance the safety of Con Edison's gas operations
*PSC-14-10-00010-P	 exempt	Petition for the submetering of electricity	To consider the request of 61 Jane Street Owners Corporation to submeter Electricity at 61 Jane Street, Manhattan, NY
*PSC-16-10-00005-P	 exempt	To consider adopting and expanding mobile stray voltage testing requirements	Adopt additional mobile stray voltage testing requirements
*PSC-16-10-00007-P	 exempt	Interconnection of the networks between TDS Telecom and PAETEC Communications for local exchange service and exchange access	To review the terms and conditions of the negotiated agreement between TDS Telecom and PAETEC Communications
*PSC-16-10-00015-P	 exempt	Interconnection of the networks between Frontier and Choice One Communications for local exchange service and exchange access	To review the terms and conditions of the negotiated agreement between Frontier and Choice One Communications
*PSC-18-10-00009-P	 exempt	Electric utility transmission right-of-way management practices	To consider electric utility transmission right-of-way management practices
*PSC-19-10-00022-P	 exempt	Whether National Grid should be permitted to transfer a parcel of property located at 1 Eddy Street, Fort Edward, New York	To decide whether to approve National Grid's request to transfer a parcel of vacant property in Fort Edward, New York
*PSC-22-10-00006-P	 exempt	Requirement that Noble demonstrate that its affiliated electric corporations operating in New York are providing safe service	Consider requiring that Noble demonstrate that its affiliated electric corporations in New York are providing safe service
*PSC-22-10-00008-P	 exempt	Petition for the submetering of electricity	To consider the request of 48-52 Franklin Street to submeter electricity at 50 Franklin Street, New York, New York
*PSC-24-10-00009-P	 exempt	Verizon New York Inc. tariff regulations relating to voice messaging service	To remove tariff regulations relating to retail voice messaging service from Verizon New York Inc.'s tariff
*PSC-25-10-00012-P	 exempt	Reassignment of the 2-1-1 abbreviated dialing code	Consideration of petition to reassign the 2-1-1 abbreviated dialing code
*PSC-27-10-00016-P	 exempt	Petition for the submetering of electricity	To consider the request of 9271 Group, LLC to submeter electricity at 960 Busti Avenue, Buffalo, New York
*PSC-34-10-00003-P	 exempt	The modification of Central Hudson Gas & Electric Corporation's Enhanced Powerful Opportunities Program	The modification of Central Hudson Gas & Electric Corporation's Enhanced Powerful Opportunities Program
*PSC-34-10-00005-P	 exempt	Approval of a contract for \$250,000 in tank repairs that may be a financing	To decide whether to approve a contract between the parties that may be a financing of \$250,000 for tank repairs
*PSC-34-10-00006-P	 exempt	The modification of Central Hudson Gas & Electric Corporation's Enhanced Powerful Opportunities Program	The modification of Central Hudson Gas & Electric Corporation's Enhanced Powerful Opportunities Program

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
*PSC-36-10-00010-P	 exempt	Central Hudson's procedures, terms and conditions for an economic development plan	Consideration of Central Hudson's procedures, terms and conditions for an economic development plan
*PSC-40-10-00014-P	 exempt	Disposition of a state sales tax refund	To determine how much of a state sales tax refund should be retained by National Grid
*PSC-40-10-00021-P	 exempt	Whether to permit the submetering of natural gas service to a commercial customer at Quaker Crossing Mall	To permit the submetering of natural gas service to a commercial customer at Quaker Crossing Mall
*PSC-41-10-00018-P	 exempt	Amount of hourly interval data provided to Hourly Pricing customers who have not installed a phone line to read meter	Allow Central Hudson to provide less than a years worth of interval data and charge for manual meter reading for some customers
*PSC-41-10-00022-P	 exempt	Request for waiver of the individual living unit metering requirements at 5742 Route 5, Vernon, NY	Request for waiver of the individual living unit metering requirements at 5742 Route 5, Vernon, NY
*PSC-42-10-00011-P	 exempt	Petition for the submetering of electricity	To consider the request of 4858 Group, LLC to submeter electricity at 456 Main Street, Buffalo, New York
*PSC-43-10-00016-P	 exempt	Utility Access to Ducts, Conduit Facilities and Utility Poles	To review the complaint from Optical Communications Group
*PSC-44-10-00003-P	 exempt	Third and fourth stage gas rate increase by Corning Natural Gas Corporation	To consider Corning Natural Gas Corporation's request for a third and fourth stage gas rate increase
*PSC-51-10-00018-P	 exempt	Commission proceeding concerning three-phase electric service by all major electric utilities	Investigate the consistency of the tariff provisions for three-phase electric service for all major electric utilities
*PSC-11-11-00003-P	 exempt	The proposed transfer of 55.42 acres of land and \$1.4 million of revenues derived from the rendition of public service	The proposed transfer of 55.42 acres of land and \$1.4 million of revenues derived from the rendition of public service
*PSC-13-11-00005-P	 exempt	Exclude the minimum monthly bill component from the earnings test calculation	Exclude the minimum monthly bill component from the earnings test calculation
*PSC-14-11-00009-P	 exempt	Petition for the submetering of electricity	To consider the request of 83-30 118th Street to submeter electricity at 83-30 118th Street, Kew Gardens, New York
*PSC-19-11-00007-P	 exempt	Utility price reporting requirements related to the Commission's "Power to Choose" website	Modify the Commission's utility electric commodity price reporting requirements related to the "Power to Choose" website
*PSC-20-11-00012-P	 exempt	Petition for the submetering of electricity	To consider the request of KMW Group LLC to submeter electricity at 122 West Street, Brooklyn, New York
*PSC-20-11-00013-P	 exempt	Determining the reasonableness of Niagara Mohawk Power Corporation d/b/a National Grid 's make ready charges	To determine if the make ready charges of Niagara Mohawk Power Corporation d/b/a National Grid are reasonable
*PSC-22-11-00004-P	 exempt	Whether to permit the use of the Sensus accWAVE for use in residential gas meter applications	To permit gas utilities in New York State to use the Sensus accWAVE diaphragm gas meter

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
*PSC-26-11-00007-P	 exempt	Water rates and charges	To approve an increase in annual revenues by about \$25,266 or 50%
*PSC-26-11-00009-P	 exempt	Petition for the submetering of electricity at commercial property	To consider the request of by Hoosick River Hardwoods, LLC to submeter electricity at 28 Taylor Avenue, in Berlin, New York
*PSC-26-11-00012-P	 exempt	Waiver of generation retirement notice requirements	Consideration of waiver of generation retirement notice requirements
*PSC-29-11-00011-P	 exempt	Petition requesting the Commission reconsider its May 19, 2011 Order and conduct a hearing, and petition to stay said Order.	To consider whether to grant or deny, in whole or in part, Windstream New York's Petition For Reconsideration and Rehearing.
*PSC-35-11-00011-P	 exempt	Whether to permit Consolidated Edison a waiver to commission regulations Part 226.8	Permit Consolidated Edison to conduct a inspection program in lieu of testing the accuracy of Category C meters
*PSC-36-11-00006-P	 exempt	To consider expanding mobile stray voltage testing requirements	Adopt additional mobile stray voltage testing requirements
*PSC-38-11-00002-P	 exempt	Operation and maintenance procedures pertaining to steam trap caps	Adopt modified steam operation and maintenance procedures
*PSC-38-11-00003-P	 exempt	Waiver of certain provisions of the electric service tariffs of Con Edison	Consideration of waiver of certain provisions of the electric service tariffs of Con Edison
*PSC-40-11-00010-P	 exempt	Participation of regulated local exchange carriers in the New York Data Exchange, Inc. (NYDE)	Whether to partially modify its order requiring regulated local exchange carriers' participation NYDE
*PSC-40-11-00012-P	 exempt	Granting of transfer of plant in-service to a regulatory asset	To approve transfer and recovery of unamortized plant investment
*PSC-42-11-00018-P	 exempt	Availability of telecommunications services in New York State at just and reasonable rates	Providing funding support to help ensure availability of affordable telecommunications service throughout New York
*PSC-43-11-00012-P	 exempt	Transfer of outstanding shares of stock	Transfer the issued outstanding shares of stock of The Meadows at Hyde Park Water-Works Corporation to HPWS, LLC
*PSC-47-11-00007-P	 exempt	Remedying miscalculations of delivered gas as between two customer classes	Consideration of Con Edison's proposal to address inter-class delivery imbalances resulting from past Company miscalculations
*PSC-48-11-00007-P	 exempt	Transfer of controlling interests in generation facilities from Dynegy to PSEG	Consideration of the transfer of controlling interests in electric generation facilities from Dynegy to PSEG
*PSC-48-11-00008-P	 exempt	Petition for the submetering of electricity	To consider the request of To Better Days, LLC to submeter electricity at 37 East 4th Street, New York, New York
*PSC-01-12-00007-P	 exempt	The New York State Reliability Council's revisions to its rules and measurements	To adopt revisions to various rules and measurements of the New York State Reliability Council
*PSC-01-12-00008-P	 exempt	Transfer of real property and easements from NMPNS to NMP3	Consideration of the transfer of real property and easements from NMPNS to NMP3

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
*PSC-01-12-00009-P	 exempt	Recovery of expenses related to the expansion of Con Edison's ESCO referral program, PowerMove	To determine how and to what extent expenses related to the Expansion of Con Edison's ESCO referral program should be recovered
*PSC-11-12-00002-P	 exempt	Whether to grant, deny or modify, in whole or part, Hegeman's petition for a waiver of Commission policy and Con Edison tariff	Whether to grant, deny or modify, in whole or part, Hegeman's petition for a waiver of Commission policy and Con Edison tariff
*PSC-11-12-00005-P	 exempt	Transfer of land and water supply assets	Transfer the land and associated water supply assets of Groman Shores, LLC to Robert Groman
*PSC-13-12-00005-P	 exempt	Authorization to transfer certain real property	To decide whether to approve the transfer of certain real property
*PSC-19-12-00023-P	 exempt	Petition for approval pursuant to Section 70 for the sale of goods with an original cost of less than \$100,000	To consider whether to grant, deny or modify, in whole or in part, the petition filed by Orange and Rockland Utilities, Inc.
*PSC-21-12-00006-P	 exempt	Tariff filing requirements and refunds	To determine if certain agreements should be filed pursuant to the Public Service Law and if refunds are warranted
*PSC-21-12-00011-P	 exempt	Whether to grant, deny or modify, in whole or part, the petition for waiver of tariff Rules 8.6 and 47	Whether to grant, deny or modify, in whole or part, the petition for waiver of tariff Rules 8.6 and 47
*PSC-23-12-00007-P	 exempt	The approval of a financing upon a transfer to Alliance of upstream ownership interests in a generation facility	To consider the approval of a financing upon a transfer to Alliance of upstream ownership interests in a generation facility
*PSC-23-12-00009-P	 exempt	Over earnings sharing between rate payers and shareholders	To establish an Earnings Sharing Mechanism to be applied following the conclusion of Corning's rate plan
*PSC-27-12-00012-P	 exempt	Implementation of recommendations made in a Management Audit Report	To consider implementation of recommendations made in a Management Audit Report
*PSC-28-12-00013-P	 exempt	Exemption of reliability reporting statistics for the purpose of the 2012 Reliability Performance Mechanism	Consideration of Orange and Rockland Utilities request for exemption of the 2012 reliability reporting statistics
*PSC-29-12-00019-P	 exempt	Waiver of 16 NYCRR 894.1 through 894.4	To allow the Town of Hamden to waive certain preliminary franchising procedures to expedite the franchising process.
*PSC-30-12-00010-P	 exempt	Waiver of 16 NYCRR 894.1 through 894.4	To allow the Town of Andes to waive certain preliminary franchising procedures to expedite the franchising process
*PSC-33-12-00009-P	 exempt	Telecommunications companies ability to attach to utility company poles	Consideration of Tech Valley's ability to attach to Central Hudson poles
*PSC-37-12-00009-P	 exempt	Proposed modification by Con Edison of its procedures to calculate estimated bills to its customers	Proposed modification by Con Edison of its procedures to calculate estimated bills to its customers
*PSC-42-12-00009-P	 exempt	Regulation of Gipsy Trail Club, Inc.'s long-term financing agreements	To exempt Gipsy Trail Club, Inc. from Commission regulation of its financing agreements

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
*PSC-45-12-00008-P	 exempt	Whether to grant, deny or modify, in whole or part, ESHG's petition for a waiver of Commission policy and RG&E tariff	Whether to grant, deny or modify, in whole or part, ESHG's petition for a waiver of Commission policy and RG&E tariff
*PSC-45-12-00010-P	 exempt	Whether to grant, deny or modify, in whole or in part the petition of Con Edison to grant easements to Millwood Fire District	Whether to grant, deny or modify, in whole or in part the petition of Con Edison to grant easements to Millwood Fire District
*PSC-50-12-00003-P	 exempt	Affiliate standards for Corning Natural Gas Corporation	To resolve issues raised by Corning Natural Gas Corporation in its petition for rehearing
*PSC-04-13-00006-P	 exempt	Expansion of mandatory day ahead hourly pricing for customers of Orange and Rockland Utilities with demands above 100 kW	To consider the expansion of mandatory day ahead hourly pricing for customers with demands above 100 kW
*PSC-04-13-00007-P	 exempt	Authorization to transfer certain real property.	To decide whether to approve the transfer of certain real property.
*PSC-06-13-00008-P	 exempt	Verizon New York Inc.'s retail service quality	To investigate Verizon New York Inc.'s retail service quality
*PSC-08-13-00012-P	 exempt	Filing requirements for certain Article VII electric facilities	To ensure that applications for certain electric transmission facilities contain pertinent information
*PSC-08-13-00014-P	 exempt	Uniform System of Accounts - Request for Accounting Authorization	To allow the company to defer an item of expense or capital beyond the end of the year in which it was incurred
*PSC-12-13-00007-P	 exempt	Protecting company water mains	To allow the company to require certain customers to make changes to the electrical grounding system at their homes
*PSC-13-13-00008-P	 exempt	The potential waiver of 16 NYCRR 255.9221(d) completion of integrity assessments for certain gas transmission lines.	To determine whether a waiver of the timely completion of certain gas transmission line integrity assessments should be granted.
*PSC-18-13-00007-P	 exempt	Whether Demand Energy Networks energy storage systems should be designated technologies for standby rate eligibility purposes	Whether Demand Energy Networks energy storage systems should be designated technologies for standby rate eligibility purposes
*PSC-21-13-00003-P	 exempt	To consider policies that may impact consumer acceptance and use of electric vehicles	To consider and further develop policies that may impact consumer acceptance and use of electric vehicles
*PSC-21-13-00005-P	 exempt	To implement an abandonment of Windover's water system	To approve the implementation of abandonment of Windover's water system
*PSC-21-13-00008-P	 exempt	Rates of National Fuel Gas Distribution Corporation	To make the rates of National Fuel Gas Distribution Corporation temporary, subject to refund, if they are found to be excessive
*PSC-21-13-00009-P	 exempt	Reporting requirements for natural gas local distribution companies	To help ensure efficient and economic expansion of the natural gas system as appropriate
*PSC-22-13-00009-P	 exempt	On remand from New York State court litigation, determine the recovery of certain deferred amounts owed NFG by ratepayers	On remand, to determine the recovery of certain deferral amounts owed NFG from ratepayers

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
*PSC-23-13-00005-P	 exempt	Waiver of partial payment, directory database distribution, service quality reporting, and service termination regulations	Equalize regulatory treatment based on level of competition and practical considerations
*PSC-25-13-00008-P	 exempt	To deny, grant or modify, in whole or in part, Central Hudson's rehearing request.	To deny, grant or modify, in whole or in part, Central Hudson's rehearing request.
*PSC-25-13-00009-P	 exempt	Provision by utilities of natural gas main and service lines.	To help ensure efficient and economic expansion of the natural gas system as appropriate.
*PSC-25-13-00012-P	 exempt	To deny, grant or modify, in whole or in part, Central Hudson's rehearing request.	To deny, grant or modify, in whole or in part, Central Hudson's rehearing request.
*PSC-27-13-00014-P	 exempt	Columbia Gas Transmission Corporation Cost Refund	For approval for temporary waiver of tariff provisions regarding its Columbia Gas Transmission Corporation cost refund.
*PSC-28-13-00014-P	 exempt	Provision for the recovery and allocation of costs of transmission projects that reduce congestion on certain interfaces	To consider the recovery and allocation of costs of transmission projects that reduce congestion on certain interfaces
*PSC-28-13-00016-P	 exempt	The request of NGT for lightened regulation as a gas corporation.	To consider whether to approve, reject, or modify the request of Niagara gas transport of Lockport, NY LLC.
*PSC-28-13-00017-P	 exempt	The request by TE for waiver of regulations requiring that natural gas be odorized in certain gathering line segments	Consider the request by TE for waiver of regulations that gas be odorized in certain lines
*PSC-32-13-00009-P	 exempt	To consider the definition of "misleading or deceptive conduct" in the Commission's Uniform Business Practices	To consider the definition of "misleading or deceptive conduct" in the Commission's Uniform Business Practices
*PSC-32-13-00012-P	 exempt	To consider whether NYSEG should be required to undertake actions to protect its name and to minimize customer confusion	To consider whether NYSEG should be required to undertake actions to protect its name and to minimize customer confusion
*PSC-33-13-00027-P	 exempt	Waive underground facility requirements for new construction in residential subdivisions to allow for overhead electric lines.	Determine whether Chapin Lumberland, LLC subdivision will be allowed overhead electric distribution and service lines.
*PSC-33-13-00029-P	 exempt	Deferral of incremental costs associated with the restoration of steam service following Superstorm Sandy.	To consider a petition by Con Edison to defer certain incremental steam system restoration costs relating to Superstorm Sandy.
*PSC-34-13-00004-P	 exempt	Escrow account and surcharge to fund extraordinary repairs	To approve the establishment of an escrow account and surcharge
*PSC-42-13-00013-P	 exempt	Failure to Provide Escrow Information	The closure of the Escrow Account
*PSC-42-13-00015-P	 exempt	Failure to Provide Escrow Information	The closure of the Escrow Account
*PSC-43-13-00015-P	 exempt	Petition for submetering of electricity	To consider the request of 2701 Kingsbridge Terrace L.P. to submeter electricity at 2701 Kingsbridge Terrace, Bronx, N.Y.
*PSC-45-13-00021-P	 exempt	Investigation into effect of bifurcation of gas and electric utility service on Long Island.	To consider a Petition for an investigation into effect of bifurcation of gas and electric utility service on Long Island.

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
*PSC-45-13-00022-P	 exempt	Waiver of PSC regulations, 16 NYCRR section 88.4(a)(4)	To consider a waiver of certain regulations relating to the content of an application for transmission line siting
*PSC-45-13-00023-P	 exempt	Waiver of PSC regulations, 16 NYCRR section 88.4(a)(4).	To consider a waiver of certain regulations relating to the content of an application for transmission line siting
*PSC-45-13-00024-P	 exempt	Waiver of PSC regulations, 16 NYCRR section 88.4(a)(4); waiver of filing deadlines.	To consider a waiver of certain regulations relating to the content of an application for transmission line siting
*PSC-45-13-00025-P	 exempt	Waiver of PSC regulations, 16 NYCRR section 88.4(a)(4).	To consider a waiver of certain regulations relating to the content of an application for transmission line siting
*PSC-47-13-00009-P	 exempt	Petition for submetering of electricity.	To consider the request of Hegeman Avenue Housing L.P. to submeter electricity at 39 Hegeman Avenue, Brooklyn, N.Y.
*PSC-47-13-00012-P	 exempt	Conditioning, restricting or prohibiting the purchase of services by NYSEG and RG&E from certain affiliates.	Consideration of conditioning, restricting or prohibiting the purchase of services by NYSEG and RG&E from certain affiliates.
*PSC-49-13-00008-P	 exempt	Authorization to transfer all of Crystal Water Supply Company, Inc. stocks to Essel Infra West Inc.	To allow Crystal Water Supply Company, Inc to transfer all of its issued and outstanding stocks to Essel Infra West Inc.
*PSC-51-13-00009-P	 exempt	Consolidated Edison proposing to use data from a test period ending September 30, 2013 to support its next rate filing.	To ensure there is a reasonable basis for data submitted in support of a request for a change in rates.
*PSC-51-13-00010-P	 exempt	Consolidated Edison proposing to use data from a test period ending September 30, 2013 to support its next rate filing.	To ensure there is a reasonable basis for data submitted in support of a request for a change in rates.
*PSC-51-13-00011-P	 exempt	Consolidated Edison proposing to use data from a test period ending September 30, 2013 to support its next rate filing.	To ensure there is a reasonable basis for data submitted in support of a request for a change in rates.
*PSC-52-13-00012-P	 exempt	The development of reliability contingency plan(s) to address the potential retirement of Indian Point Energy Center (IPEC).	To address the petition for rehearing and reconsideration/motion for clarification of the IPEC reliability contingency plan(s).
*PSC-52-13-00015-P	 exempt	To enter into a loan agreement with the banks for up to an amount of \$94,000.	To consider allowing Knolls Water Company to enter into a long-term loan agreement.
*PSC-05-14-00010-P	 exempt	The New York State Reliability Council's revisions to its rules and measurements	To adopt revisions to various rules and measurements of the New York State Reliability Council
*PSC-07-14-00008-P	 exempt	Petition for submetering of electricity	To consider the request of Greater Centennial Homes HDLC, Inc. to submeter electricity at 102, 103 and 106 W 5th Street, et al.
*PSC-07-14-00012-P	 exempt	Water rates and charges	Implementation of Long-Term Water Supply Surcharge to recover costs associated with the Haverstraw Water Supply Project
*PSC-08-14-00015-P	 exempt	Verizon New York Inc.'s service quality and Customer Trouble Report Rate (CTRR) levels at certain central office entities	To improve Verizon New York Inc.'s service quality and the Customer Trouble Report Rate levels at certain central office entities

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
*PSC-10-14-00006-P	 exempt	Actions to facilitate the availability of ESCO value-added offerings, ESCO eligibility and ESCO compliance	To facilitate ESCO value-added offerings and to make changes to ESCO eligibility and to ensure ESCO compliance
*PSC-11-14-00003-P	 exempt	Provision for the recovery and allocation of costs of transmission projects that reduce congestion on certain interfaces	To consider the recovery and allocation of costs of transmission projects that reduce congestion on certain interfaces
*PSC-16-14-00014-P	 exempt	Whether to order NYSEG to provide gas service to customers when an expanded CPCN is approved and impose PSL 25-a penalties.	To order gas service to customers in the Town of Plattsburgh after approval of a town wide CPCN and to impose penalties.
*PSC-16-14-00015-P	 exempt	Whether Central Hudson should be permitted to defer obligations of the Order issued on October 18, 2013 in Case 13-G-0336.	Consideration of the petition by Central Hudson to defer reporting obligations of the October 18, 2013 Order in Case 13-G-0336
*PSC-17-14-00003-P	 exempt	Con Edison's Report on its 2013 performance under the Electric Service Reliability Performance Mechanism	Con Edison's Report on its 2013 performance under the Electric Service Reliability Performance Mechanism
*PSC-17-14-00004-P	 exempt	To consider certain portions of petitions for rehearing, reconsideration and/or clarification	To consider certain portions of petitions for rehearing, reconsideration and/or clarification
*PSC-17-14-00007-P	 exempt	To consider petitions for rehearing, reconsideration and/or clarification	To consider petitions for rehearing, reconsideration and/or clarification
*PSC-17-14-00008-P	 exempt	To consider certain portions of petitions for rehearing, reconsideration and/or clarification	To consider certain portions of petitions for rehearing, reconsideration and/or clarification
*PSC-19-14-00014-P	 exempt	Market Supply Charge	To make tariff revisions to the Market Supply Charge for capacity related costs
*PSC-19-14-00015-P	 exempt	Whether to permit the use of the Sensus accuWAVE for use in residential and commercial gas meter applications	To permit gas utilities in New York State to use the Sensus accuWAVE 415TC gas meter
*PSC-22-14-00013-P	 exempt	Petition to transfer and merge systems, franchises and assets.	To consider the Comcast and Time Warner Cable merger and transfer of systems, franchises and assets.
*PSC-23-14-00010-P	 exempt	Whether to permit the use of the GE Dresser Series B3-HPC 11M-1480 rotary gas met for use in industrial gas meter applications	To permit gas utilities in New York State to use the GE Dresser Series B3-HPC 11M-1480 rotary gas meter
*PSC-23-14-00014-P	 exempt	Waiver of the negative revenue adjustment associated with KEDLI's 2013 Customer Satisfaction Performance Metric	Consideration of KEDLI's waiver request pertaining to its 2013 performance under its Customer Satisfaction Metric
*PSC-24-14-00005-P	 exempt	To examine LDC's performance and performance measures.	To improve gas safety performance.
*PSC-26-14-00013-P	 exempt	Waiver of RG&E's tariffed definition of emergency generator.	To consider waiver of RG&E's tariffed definition of emergency generator.
*PSC-26-14-00020-P	 exempt	New electric utility backup service tariffs and standards for interconnection may be adopted.	To encourage development of microgrids that enhance the efficiency, safety, reliability and resiliency of the electric grid.
*PSC-26-14-00021-P	 exempt	Consumer protections, standards and protocols pertaining to access to customer data may be established.	To balance the need for the information necessary to support a robust market with customer privacy concerns.

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
*PSC-28-14-00014-P	 exempt	Petition to transfer systems, franchises and assets.	To consider the Comcast and Charter transfer of systems, franchise and assets.
*PSC-30-14-00023-P	 exempt	Whether to permit the use of the Sensus iPERL Fire Flow Meter.	Pursuant to 16 NYCRR Part 500.3 , it is necessary to permit the use of the Sensus iPERL Fire Flow Meter.
*PSC-30-14-00026-P	 exempt	Petition for a waiver to master meter electricity.	Considering the request of Renaissance Corporation of to master meter electricity at 100 Union Drive,Albany, NY.
*PSC-31-14-00004-P	 exempt	To transfer 100% of the issued and outstanding stock from Vincent Cross to Bonnie and Michael Cross	To transfer 100% of the issued and outstanding stock from Vincent Cross to Bonnie and Michael Cross
*PSC-32-14-00012-P	 exempt	Whether to grant or deny, in whole or in part, the Connect New York Coalition's petition	To consider the Connect New York Coalition's petition seeking a formal investigation and hearings
*PSC-35-14-00004-P	 exempt	Regulation of a proposed electricity generation facility located in the Town of Brookhaven, NY	To consider regulation of a proposed electricity generation facility located in the Town of Brookhaven, NY
*PSC-35-14-00005-P	 exempt	Whether to permit the use of the Sensus iConA electric meter	Pursuant to 16 NYCRR Parts 92 and 93, Commission approval is necessary to permit the use of the Sensus iConA electric meter
*PSC-36-14-00009-P	 exempt	Modification to the Commission's Electric Safety Standards.	To consider revisions to the Commission's Electric Safety Standards.
*PSC-38-14-00003-P	 exempt	Whether to approve, reject or modify, in whole or in part a time-sensitive rate pilot program.	Whether to approve, reject or modify, in whole or in part a time-sensitive rate pilot program.
*PSC-38-14-00004-P	 exempt	The study and petition of Con Edison regarding use, accounting and ratemaking treatment for 11-23 and 2-28 Hudson Ave. Brooklyn.	The study and petition of Con Edison regarding use, accounting and ratemaking treatment for 11-23 and 2-28 Hudson Ave. Brooklyn.
*PSC-38-14-00005-P	 exempt	Action on the report and petition of Con Edison regarding the Storm Hardening and Resiliency Collaborative, Phase 2.	Action on the report and petition of Con Edison regarding the Storm Hardening and Resiliency Collaborative, Phase 2.
*PSC-38-14-00007-P	 exempt	Whether to expand Con Edison's low income program to include Medicaid recipients.	Whether to expand Con Edison's low income program to include Medicaid recipients.
*PSC-38-14-00008-P	 exempt	The study and petition of Con Edison regarding use, accounting and ratemaking treatment for 11-23 and 2-28 Hudson Ave. Brooklyn.	The study and petition of Con Edison regarding use, accounting and ratemaking treatment for 11-23 and 2-28 Hudson Ave. Brooklyn.
*PSC-38-14-00010-P	 exempt	Inter-carrier telephone service quality standard and metrics and administrative changes.	To review recommendations from the Carrier Working Group and incorporate appropriate modifications to the existing Guidelines.
*PSC-38-14-00012-P	 exempt	Action on the report and petition of Con Edison regarding the Storm Hardening and Resiliency Collaborative, Phase 2.	Action on the report and petition of Con Edison regarding the Storm Hardening and Resiliency Collaborative, Phase 2.
*PSC-39-14-00020-P	 exempt	Whether to permit the use of the Mueller Systems 400 Series and 500 Series of water meters	Pursuant to 16 NYCRR section 500.3, whether to permit the use of the Mueller Systems 400, and 500 Series of water meters

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
*PSC-40-14-00008-P	 exempt	To consider granting authorization for Buy Energy Direct to resume marketing to residential customers.	To consider granting authorization for Buy Energy Direct to resume marketing to residential customers.
*PSC-40-14-00009-P	 exempt	Whether to permit the use of the Itron Open Way Centron Meter with Hardware 3.1 for AMR and AMI functionality.	Pursuant to 16 NYCRR Parts 93, is necessary to permit the use of the Itron Open Way Centron Meter with Hardware 3.1.
*PSC-40-14-00011-P	 exempt	Late Payment Charge.	To modify Section 7.6 - Late Payment Charge to designate a specific time for when a late payment charge is due.
*PSC-40-14-00013-P	 exempt	Regulation of a proposed natural gas pipeline and related facilities located in the Town of Ticonderoga, NY.	To consider regulation of a proposed natural gas pipeline and related facilities located in the Town of Ticonderoga, NY.
*PSC-40-14-00014-P	 exempt	Waiver of 16 NYCRR Sections 894.1 through 894.4(b)(2)	To allow the Town of Goshen, NY, to waive certain preliminary franchising procedures to expedite the franchising process.
*PSC-40-14-00015-P	 exempt	Late Payment Charge.	To modify Section 6.6 - Late Payment Charge to designate a specific time for when a late payment charge is due.
*PSC-42-14-00003-P	 exempt	Annual Reconciliation of Gas Expenses and Gas Cost Recoveries	The filings of various LDCs and municipalities regarding their Annual Reconciliation of Gas Expenses and Gas Cost Recoveries
*PSC-42-14-00004-P	 exempt	Winter Bundled Sales Service Option	To modify SC-11 to remove language relating to fixed storage charges in the determination of the Winter Bundled Sales charge
*PSC-48-14-00014-P	 exempt	Considering the recommendations contained in Staff' s electric outage investigation report for MNRR, New Haven Line.	To consider the recommendations contained in Staff's electric outage investigation report for MNRR, New Haven Line.
*PSC-52-14-00019-P	 exempt	Petition for a waiver to master meter electricity.	Considering the request of 614 South Crouse Avenue, LLC to master meter electricity at 614 South Crouse Avenue, Syracuse, NY..
*PSC-01-15-00014-P	 exempt	State Universal Service Fund Disbursements	To consider Edwards Telephone Company's request for State Universal Service Fund disbursements
*PSC-08-15-00010-P	 exempt	Request pertaining to the lawfulness of National Grid USA continuing its summary billing program.	To grant, deny, or modify URAC Rate Consultants' request that National Grid cease its summary billing program.
*PSC-10-15-00007-P	 exempt	Notification concerning tax refunds	To consider Verizon New York Inc.'s partial rehearing or reconsideration request regarding retention of property tax refunds
*PSC-10-15-00008-P	 exempt	Whether to waive Policy on Test Periods in Major Rate Proceedings and provide authority to file tariff changes	Whether to waive Policy on Test Periods in Major Rate Proceedings and provide authority to file tariff changes
*PSC-13-15-00024-P	 exempt	Whether Leatherstocking should be permitted to recover a shortfall in earnings	To decide whether to approve Leatherstocking's request to recover a shortfall in earnings
*PSC-13-15-00026-P	 exempt	Whether to permit the use of the Sensus Smart Point Gas AMR/AMI product	To permit the use of the Sensus Smart Point Gas AMR/AMI product

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
*PSC-13-15-00027-P	 exempt	Whether to permit the use of the Measurlogic DTS 310 electric submeter	To permit the use of the Measurlogic DTS 310 submeter
*PSC-13-15-00028-P	 exempt	Whether to permit the use of the SATEC EM920 electric meter	To permit necessary to permit the use of the SATEC EM920 electric meter
*PSC-13-15-00029-P	 exempt	Whether to permit the use the Triacta Power Technologies 6103, 6112, 6303, and 6312 electric submeters	To permit the use of the Triacta submeters
*PSC-17-15-00007-P	 exempt	To consider the petition of Leatherstocking Gas Company, LLC seeking authority to issue long-term debt of \$2.75 million	To consider the petition of Leatherstocking Gas Company, LLC seeking authority to issue long-term debt of \$2.75 million
*PSC-18-15-00005-P	 exempt	Con Edison's Report on its 2014 performance under the Electric Service Reliability Performance Mechanism	Con Edison's Report on its 2014 performance under the Electric Service Reliability Performance Mechanism
*PSC-19-15-00011-P	 exempt	Gas Safety Performance Measures and associated negative revenue adjustments	To update the performance measures applicable to KeySpan Gas East Corporation d/b/a National Grid
*PSC-22-15-00015-P	 exempt	To consider the request for waiver of the individual residential unit meter requirements and 16 NYCRR 96.1(a)	To consider the request for waiver of the individual residential unit meter requirements and 16 NYCRR 96.1(a)
*PSC-23-15-00005-P	 exempt	The modification of New York American Water's current rate plan	Whether to adopt the terms of the Joint Proposal submitted by NYAW and DPS Staff
*PSC-23-15-00006-P	 exempt	The modification of New York American Water's current rate plan	Whether to adopt the terms of the Joint Proposal submitted by NYAW and DPS Staff
*PSC-25-15-00008-P	 exempt	Notice of Intent to Submeter electricity.	To consider the request of 165 E 66 Residences, LLC to submeter electricity at 165 East 66th Street, New York, New York.
*PSC-29-15-00025-P	 exempt	Joint Petition for authority to transfer real property located at 624 West 132nd Street, New York, NY	Whether to authorize the proposed transfer of real property located at 624 West 132nd Street, New York, NY
*PSC-32-15-00006-P	 exempt	Development of a Community Solar Demonstration Project.	To approve the development of a Community Solar Demonstration Project.
*PSC-33-15-00009-P	 exempt	Remote net metering of a demonstration community net metering program.	To consider approval of remote net metering of a demonstration community net metering program.
*PSC-33-15-00012-P	 exempt	Remote net metering of a Community Solar Demonstration Project.	To consider approval of remote net metering of a Community Solar Demonstration Project.
*PSC-34-15-00021-P	 exempt	Petition by NYCOM requesting assistance with obtaining information on CLECs and ESCOs	To consider the petition by NYCOM requesting assistance with obtaining information on CLECs and ESCOs
*PSC-35-15-00014-P	 exempt	Consideration of consequences against Light Power & Gas, LLC for violations of the UBP	To consider consequences against Light Power & Gas, LLC for violations of the UBP
*PSC-37-15-00007-P	 exempt	Submetered electricity	To consider the request of 89 Murray Street Ass. LLC, for clarification of the submetering order issued December 20, 2007

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
*PSC-40-15-00014-P	 exempt	Whether to permit the use of the Open Way 3.5 with cellular communications	To consider the use of the Open Way 3.5 electric meter, pursuant to 16 NYCRR Parts 92 and 93
*PSC-42-15-00006-P	 exempt	Deferral of incremental expenses associated with NERC's new Bulk Electric System (BES) compliance requirements approved by FERC.	Consideration of Central Hudson's request to defer incremental expenses associated with new BES compliance requirements.
*PSC-44-15-00028-P	 exempt	Deferral of incremental expenses associated with new compliance requirements	Consideration of Central Hudson's request to defer incremental expenses associated with new compliance requirements
*PSC-47-15-00013-P	 exempt	Whitepaper on Implementing Lightened Ratemaking Regulation.	Consider Whitepaper on Implementing Lightened Ratemaking Regulation.
*PSC-48-15-00011-P	 exempt	Proposal to retire Huntley Units 67 and 68 on March 1, 2016.	Consider the proposed retirement of Huntley Units 67 and 68.
*PSC-50-15-00006-P	 exempt	The reduction of rates.	To consider the reduction of rates charged by Independent Water Works, Inc.
*PSC-50-15-00009-P	 exempt	Notice of Intent to submeter electricity.	To consider the request to submeter electricity at 31-33 Lincoln Road and 510 Flatbush Avenue, Brooklyn, New York.
*PSC-51-15-00010-P	 exempt	Modification of the EDP	To consider modifying the EDP
*PSC-01-16-00005-P	 exempt	Proposed amendment to Section 5, Attachment 1.A of the Uniform Business Practices	To consider amendment to Section 5, Attachment 1.A of the Uniform Business Practices
*PSC-04-16-00007-P	 exempt	Whether Hamilton Municipal Utilities should be permitted to construct and operate a municipal gas distribution facility.	Consideration of the petition by Hamilton Municipal Utilities to construct and operate a municipal gas distribution facility.
*PSC-04-16-00012-P	 exempt	Proposal to mothball three gas turbines located at the Astoria Gas Turbine Generating Station.	Consider the proposed mothball of three gas turbines located at the Astoria Gas Turbine Generating Station.
*PSC-04-16-00013-P	 exempt	Proposal to find that three gas turbines located at the Astoria Gas Turbine Generating Station are uneconomic.	Consider whether three gas turbines located at the Astoria Gas Turbine Generating Station are uneconomic.
*PSC-06-16-00013-P	 exempt	Continued deferral of approximately \$16,000,000 in site investigation and remediation costs.	To consider the continued deferral of approximately \$16,000,000 in site investigation and remediation costs.
*PSC-06-16-00014-P	 exempt	MEGA's proposed demonstration CCA program.	To consider MEGA's proposed demonstration CCA program.
*PSC-14-16-00008-P	 exempt	Resetting retail markets for ESCO mass market customers.	To ensure consumer protections with respect to residential and small non-residential ESCO customers.
*PSC-18-16-00013-P	 exempt	Amendments to the Uniform Business Practices of ESCOs.	To ensure consumer protection for ESCO customers.
*PSC-18-16-00014-P	 exempt	Amendments to the Uniform Business Practices of ESCOs.	To ensure consumer protection for ESCO customers.

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
*PSC-18-16-00015-P	 exempt	Petitions for rehearing of the Order Resetting Retail Energy Markets and Establishing Further Process.	To ensure consumer protections for ESCO customers.
*PSC-18-16-00016-P	 exempt	Amendments to the Uniform Business Practices of ESCOs.	To ensure consumer protection for ESCO customers.
*PSC-18-16-00018-P	 exempt	Amendments to the Uniform Business Practices of ESCOs.	To ensure consumer protection for ESCO customers.
*PSC-20-16-00008-P	 exempt	Consideration of consequences against Global Energy Group, LLC for violations of the Uniform Business Practices (UBP).	To consider consequences against Global Energy Group, LLC for violations of the Uniform Business Practices (UBP).
*PSC-20-16-00010-P	 exempt	Deferral and recovery of incremental expense.	To consider deferring costs of conducting leak survey and repairs for subsequent recovery.
*PSC-20-16-00011-P	 exempt	Enetics LD-1120 Non-Intrusive Load Monitoring Device in the Statewide Residential Appliance Metering Study.	To consider the use of the Enetics LD-1120 Non-Intrusive Load Monitoring Device.
*PSC-24-16-00009-P	 exempt	Petition to submeter gas service.	To consider the Petition of New York City Economic Development Corp. to submeter gas at Pier 17, 89 South Street, New York, NY.
*PSC-25-16-00009-P	 exempt	To delay Companies' third-party assessments of customer personally identifiable information until 2018.	To extend the time period between the Companies' third-party assessments of customer personally identifiable information.
*PSC-25-16-00025-P	 exempt	Acquisition of all water supply assets of Woodbury Heights Estates Water Co., Inc. by the Village of Kiryas Joel.	To consider acquisition of all water supply assets of Woodbury Heights Estates Water Co., Inc. by the Village of Kiryas Joel.
*PSC-25-16-00026-P	 exempt	Use of the Badger E Series Ultrasonic Cold Water Stainless Steel Meter, in residential fire service applications.	To consider the use of the Badger E Series Ultrasonic Cold Water Stainless Steel Meter in fire service applications.
*PSC-28-16-00017-P	 exempt	A petition for rehearing of the Order Adopting a Ratemaking and Utility Revenue Model Policy Framework.	To determine appropriate rules for and calculation of the distributed generation reliability credit.
*PSC-29-16-00024-P	 exempt	Participation of NYPA customers in surcharge-funded clean energy programs.	To consider participation of NYPA customers in surcharge-funded clean energy programs.
*PSC-32-16-00012-P	 exempt	Benefit-Cost Analysis Handbooks.	To evaluate proposed methodologies of benefit-cost evaluation.
*PSC-33-16-00001-EP	 exempt	Use of escrow funds for repairs.	To authorize the use of escrow account funds for repairs.
*PSC-33-16-00005-P	 exempt	Exemption from certain charges for delivery of electricity to its Niagara Falls, New York facility.	Application of System Benefits Charges, Renewable Portfolio Standard charges and Clean Energy Fund surcharges.
*PSC-35-16-00015-P	 exempt	NYSRC's revisions to its rules and measurements	To consider revisions to various rules and measurements of the NYSRC
*PSC-36-16-00004-P	 exempt	Recovery of costs for installation of electric service.	To consider the recovery of costs for installation of electric service.

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
*PSC-40-16-00025-P	 exempt	Consequences pursuant to the Commission's Uniform Business Practices (UBP).	To consider whether to impose consequences on Smart One for its apparent non-compliance with Commission requirements.
*PSC-47-16-00009-P	 exempt	Petition to use commercial electric meters	To consider the petition of Itron, Inc. to use the Itron CP2SO and CP2SOA in commercial electric meter applications
*PSC-47-16-00010-P	 exempt	Standby Service rate design	To consider the report filed and the recommendations therein
*PSC-47-16-00013-P	 exempt	Standby Service rate design	To consider the report filed and the recommendations therein
*PSC-47-16-00014-P	 exempt	Standby Service rate design	To consider the report filed and the recommendations therein
*PSC-47-16-00016-P	 exempt	Standby Service rate design	To consider the report filed and the recommendations therein
*PSC-02-17-00010-P	 exempt	Implementation of the four EAMs.	To consider the implementation of EAMs for RG&E.
*PSC-02-17-00012-P	 exempt	Implementation of the four EAMs.	To consider the implementation of EAMs for NYSEG.
*PSC-18-17-00024-P	 exempt	A petition for rehearing or reconsideration of the Order Addressing Public Policy Transmission Need for AC Transmission Upgrades	To determine whether Public Policy Transmission Need/Public Policy Requirements continue to exist.
*PSC-18-17-00026-P	 exempt	Revisions to the Dynamic Load Management surcharge.	To consider revisions to the Dynamic Load Management surcharge.
*PSC-19-17-00004-P	 exempt	NYAW's request to defer and amortize, for future rate recognition, pension settlement payout losses incurred in 2016.	Consideration of NYAW's petition to defer and amortize, for future rate recognition, pension payout losses incurred in 2016.
*PSC-20-17-00008-P	 exempt	Compressed natural gas as a motor fuel for diesel fueled vehicles.	To consider a report filed by National Grid NY regarding the potential for adoption of compressed natural gas as a motor fuel.
*PSC-20-17-00010-P	 exempt	Compressed natural gas as a motor fuel for diesel fueled vehicles.	To consider a report filed by National Grid regarding the potential for adoption of compressed natural gas as a motor fuel.
*PSC-21-17-00013-P	 exempt	The establishment and implementation of Earnings Adjustment Mechanisms.	To consider the establishment and implementation of Earnings Adjustment Mechanisms.
*PSC-21-17-00018-P	 exempt	Proposed agreement for the provision of water service by Saratoga Water Services, Inc.	To consider a waiver and approval of terms of a service agreement.
*PSC-22-17-00004-P	 exempt	Financial incentives to create customer savings and develop market-enabling tools, with a focus on outcomes and incentives	To consider the proposed Interconnection Survey Process and Earnings Adjustment Mechanisms
*PSC-24-17-00006-P	 exempt	Development of the Utility Energy Registry.	Improved data access.

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
*PSC-26-17-00005-P	 exempt	Notice of Intent to submeter electricity.	To consider the Notice of Intent to submeter electricity at 125 Waverly Street, Yonkers, New York.
*PSC-34-17-00011-P	 exempt	Waiver to permit Energy Cooperative of America to serve low-income customers	To consider the petition for a waiver
*PSC-37-17-00005-P	 exempt	Financial incentives to create customer savings and develop market-enabling tools, with a focus on outcomes and incentives.	To consider the revised Interconnection Survey Process and Earnings Adjustment Mechanisms.
*PSC-39-17-00011-P	 exempt	Whether to direct New York State Electric & Gas to complete electric facility upgrades at no charge to Hanehan.	To determine financial responsibility between NYSEG and Hanehan for the electric service upgrades to Hanehan.
*PSC-42-17-00010-P	 exempt	Petition for rehearing of negative revenue adjustment and contents of annual Performance Report.	To consider NFGD's petition for rehearing.
*PSC-48-17-00015-P	 exempt	Low Income customer options for affordable water bills.	To consider the Low Income Bill Discount and/or Energy Efficiency Rebate Programs.
*PSC-50-17-00017-P	 exempt	New Wave Energy Corp.'s petition for rehearing.	To consider the petition for rehearing filed by New Wave Energy Corp.
*PSC-50-17-00018-P	 exempt	Application of the Public Service Law to DER suppliers.	To determine the appropriate regulatory framework for DER suppliers.
*PSC-50-17-00019-P	 exempt	Transfer of utility property.	To consider the transfer of utility property.
*PSC-50-17-00021-P	 exempt	Disposition of tax refunds and other related matters.	To consider the disposition of tax refunds and other related matters.
*PSC-51-17-00011-P	 exempt	Petition for recovery of certain costs related to the implementation of a Non-Wires Alternative Project.	To consider Con Edison's petition for the recovery of costs for implementing the JFK Project.
*PSC-04-18-00005-P	 exempt	Notice of intent to submeter electricity.	To consider the notice of intent of Montante/Morgan Gates Circle LLC to submeter electricity.
*PSC-05-18-00004-P	 exempt	Lexington Power's ZEC compliance obligation.	To promote and maintain renewable and zero-emission electric energy resources.
*PSC-06-18-00012-P	 exempt	To consider further proposed amendments to the original criteria to grandfathering established in the Transition Plan	To modify grandfathering criteria
*PSC-06-18-00017-P	 exempt	Merger of NYAW and Whitlock Farms Water Corp.	To consider the merger of NYAW and Whitlock Farms Water Company into a single corporate entity
*PSC-07-18-00015-P	 exempt	The accuracy and reasonableness of National Grid's billing for certain interconnection upgrades.	To consider AEC's petition requesting resolution of their billing dispute with National Grid.
*PSC-11-18-00004-P	 exempt	New York State Lifeline Program.	To consider TracFone's petition seeking approval to participate in Lifeline.

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
*PSC-13-18-00015-P	 exempt	Eligibility of an ESCO to market to and enroll residential customers.	To consider whether Astral should be allowed to market to and enroll residential customers following a suspension.
*PSC-13-18-00023-P	 exempt	Reconciliation of property taxes.	To consider NYAW's request to reconcile property taxes.
*PSC-14-18-00006-P	 exempt	Petition for abandonment	To consider the abandonment of Willsboro Bay Water Company's water system
*PSC-17-18-00010-P	 exempt	Petition for use of gas metering equipment.	To ensure that consumer bills are based on accurate measurements of gas usage.
*PSC-18-18-00009-P	 exempt	Transfer of control of Keene Valley Video Inc.	To ensure performance in accordance with applicable cable laws, regulations and standards and the public interest
*PSC-23-18-00006-P	 exempt	Whether to impose consequences on Aspiry for its non-compliance with Commission requirements.	To ensure the provision of safe and adequate energy service at just and reasonable rates.
*PSC-24-18-00013-P	 exempt	Implementation of program rules for Renewable Energy Standard and ZEC requirements.	To promote and maintain renewable and zero-emission electric energy resources.
*PSC-28-18-00011-P	 exempt	Storm Hardening Collaborative Report.	To ensure safe and adequate gas service.
*PSC-29-18-00008-P	 exempt	Participation in Targeted Accessibility Fund	To encourage enhanced services for low-income consumers
*PSC-29-18-00009-P	 exempt	Overvaluing real property tax expense recovery in water rates	To prevent unjust and unreasonable water rates
*PSC-34-18-00015-P	 exempt	Petition to submeter electricity.	To ensure adequate submetering equipment and energy efficiency protections are in place.
*PSC-34-18-00016-P	 exempt	Deferral of pre-staging and mobilization storm costs.	To ensure just and reasonable rates for ratepayers and utility recovery of unexpected, prudently incurred costs.
*PSC-35-18-00003-P	 exempt	Con Edison's 2018 DSIP and BCA Handbook Update.	To continue Con Edison's transition to a modern utility serving as a Distributed System Platform Provider.
*PSC-35-18-00005-P	 exempt	NYSEG and RG&E's 2018 DSIP and BCA Handbook Update.	To continue NYSEG and RG&E's transition to modern utilities acting as Distributed System Platform Providers.
*PSC-35-18-00006-P	 exempt	National Grid's 2018 DSIP and BCA Handbook Update.	To continue National Grid's transition to a modern utility serving as a Distributed System Platform Provider.
*PSC-35-18-00008-P	 exempt	Central Hudson's 2018 DSIP and BCA Handbook Update.	To continue Central Hudson's transition to a modern utility serving as a Distributed System Platform Provider.
*PSC-35-18-00010-P	 exempt	O&R's 2018 DSIP and BCA Handbook Update.	To continue O&R's transition to a modern utility acting as a Distributed System Platform Provider.

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
*PSC-39-18-00005-P	 exempt	Participation in New York State Lifeline Program.	To encourage enhanced services for low-income customers.
*PSC-40-18-00014-P	 exempt	Annual Reconciliation of Gas Expenses and Gas Cost Recoveries.	To review the gas utilities' reconciliation of Gas Expenses and Gas Cost Recoveries for 2018.
*PSC-42-18-00011-P	 exempt	Voluntary residential beneficial electrification rate design.	To provide efficient rate design for beneficial technologies in New York State that is equitable for all residential customers.
*PSC-42-18-00013-P	 exempt	Petition for clarification and rehearing of the Smart Solutions Program Order.	To address the increased demand for natural gas in the Con Edison's service territory and the limited pipeline capacity.
*PSC-44-18-00016-P	 exempt	Petition for approval of gas metering equipment.	To ensure that customer bills are based on accurate measurements of gas usage.
*PSC-45-18-00005-P	 exempt	Notice of intent to submeter electricity and waiver of energy audit	To ensure adequate submetering equipment, consumer protections and energy efficiency protections are in place
*PSC-01-19-00013-P	 exempt	Order of the Commission related to caller ID unblocking.	To require telephone companies to unblock caller ID on calls placed to the 311 municipal call center in Suffolk County.
*PSC-03-19-00002-P	 exempt	DPS Staff White Paper for who must be trained in 16 NYCRR Part 753 requirements and how the Commission will approve trainings.	To reduce damage to underground utility facilities by requiring certain training and approving training curricula.
*PSC-04-19-00004-P	 exempt	Con Edison's petition for the Gas Innovation Program and associated budget.	To pursue programs that continue service reliability and meet customer energy needs while aiding greenhouse gas reduction goals.
*PSC-04-19-00011-P	 exempt	Update of revenue targets.	To ensure NYAW's rates are just and reasonable and accurately reflect the needed revenues.
*PSC-06-19-00005-P	 exempt	Consideration of the Joint Utilities' proposed BDP Program.	To to expand opportunities for low-income households to participate in Community Distributed Generation (CDG) projects.
*PSC-07-19-00009-P	 exempt	Whether to impose consequences on AAA for its non-compliance with Commission requirements.	To insure the provision of safe and adequate energy service at just and reasonable rates.
*PSC-07-19-00016-P	 exempt	Participation in New York State Lifeline Program.	To encourage enhanced services for low-income customers.
*PSC-09-19-00010-P	 exempt	Non-pipeline alternatives report recommendations.	To consider the terms and conditions applicable to gas service.
*PSC-12-19-00004-P	 exempt	To test innovative pricing proposals on an opt-out basis.	To provide pricing structures that deliver benefits to customers and promote beneficial electrification technologies.
*PSC-13-19-00010-P	 exempt	New Commission requirements for gas company operator qualification programs.	To make pipelines safer with improved training of workers who perform construction and repairs on natural gas facilities.
*PSC-19-19-00013-P	 exempt	Proposed merger of three water utilities into one corporation.	To determine if the proposed merger is in the public interest.

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
*PSC-20-19-00008-P	 exempt	Reporting on energy sources	To ensure accurate reporting and encourage clean energy purchases
*PSC-20-19-00010-P	 exempt	Compensation policies for certain CHP projects	To consider appropriate rules for compensation of certain CHP resources
*PSC-31-19-00013-P	 exempt	Implementation of Statewide Energy Benchmarking.	To ensure safe and adequate service at just and reasonable rates charged to customers without undue preferences.
*PSC-32-19-00012-P	 exempt	Standby Service Rates and Buyback Service Rates	To ensure just and reasonable rates, including compensation, for distributed energy resources
*PSC-38-19-00002-P	 exempt	Petition to submeter electricity	To ensure adequate submetering equipment and consumer protections are in place
*PSC-39-19-00018-P	 exempt	Petition to submeter electricity.	To ensure adequate submetering equipment and consumer protections are in place.
*PSC-41-19-00003-P	 exempt	A voluntary residential three-part rate that would include fixed, usage and demand charges.	To provide qualifying residential customers with an optional three-part rate.
*PSC-44-19-00003-P	 exempt	Proposed revisions to Standby Service Rates and Buyback Service Rates.	To ensure just and reasonable rates, including compensation, for distributed energy resources.
*PSC-44-19-00005-P	 exempt	Proposed revisions to Standby Service Rates and Buyback Service Rates.	To ensure just and reasonable rates, including compensation, for distributed energy resources.
*PSC-44-19-00006-P	 exempt	Proposed revisions to Standby Service Rates and Buyback Service Rates.	To ensure just and reasonable rates, including compensation, for distributed energy resources.
*PSC-44-19-00007-P	 exempt	Proposed revisions to Standby Service Rates and Buyback Service Rates.	To ensure just and reasonable rates, including compensation, for distributed energy resources.
*PSC-44-19-00009-P	 exempt	Proposed revisions to Standby Service Rates and Buyback Service Rates.	To ensure just and reasonable rates, including compensation, for distributed energy resources.
*PSC-46-19-00008-P	 exempt	Wappingers Falls Hydroelectric LLC's facility located in Wappingers Falls, New York.	To promote and maintain renewable electric energy resources.
*PSC-46-19-00010-P	 exempt	To test innovative rate designs on an opt-out basis.	To implement alternative innovative rate designs intended to assess customer behaviors in response to price signals
*PSC-52-19-00006-P	 exempt	Authorization to defer pension settlement losses.	To address the ratemaking related to the pension settlement losses.
*PSC-08-20-00003-P	 exempt	PSC regulation 16 NYCRR § § 86.3(a)(2) and 86.3(b)(2).	To consider a waiver of certain regulations relating to the content of an application for transmission line siting.
*PSC-10-20-00003-P	 exempt	The Commission's statewide low-income discount policy.	To consider modifications to certain conditions regarding utility low-income discount programs.
*PSC-12-20-00008-P	 exempt	Delivery rates of Corning Natural Gas Corporation.	Whether to postpone the implementation of a change in rates that would otherwise become effective on June 1, 2020.

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
*PSC-15-20-00011-P	 exempt	To modify the terms and conditions under which gas utilities provide service to electric generators.	To provide clarity and uniformity to the provision of gas service to electric generators.
*PSC-16-20-00004-P	 exempt	Disposition of a state sales tax refund.	To determine how much of a state sales tax refund should be retained by Central Hudson.
*PSC-18-20-00012-P	 exempt	The purchase price of electric energy and capacity from customers with qualifying on-site generation facilities.	To revise the price to be paid by the Company under Service Classification No. 10. for qualifying purchases of unforced capacity
*PSC-18-20-00015-P	 exempt	Participation of Eligible Telecommunications Carriers (ETCs) in New York State Lifeline Program.	Commission will consider each petition filed by an ETCs seeking approval to participate in the NYS Lifeline program.
*PSC-19-20-00004-P	 exempt	Clarification of the Order Adopting Changes to the Retail Access Energy Market and Establishing Further Process.	To consider whether energy service companies should be permitted to bank RECs to satisfy their renewable energy requirements.
*PSC-19-20-00005-P	 exempt	Cost recovery associated with Day-Ahead-DLM and Auto-DLM programs, and elimination of double compensation.	To provide cost recovery for new DLM programs and prevent double compensation to participating customers.
*PSC-19-20-00009-P	 exempt	Cost recovery associated with Day-Ahead-DLM and Auto-DLM programs, and elimination of double compensation.	To consider revisions to P.S.C. No. 10 - Electricity, and P.S.C. No. 12 - Electricity.
*PSC-25-20-00010-P	 exempt	Whitepaper regarding energy service company financial assurance requirements.	To consider the form and amount of financial assurances to be included in the eligibility criteria for energy service companies.
*PSC-25-20-00016-P	 exempt	Modifications to the Low-Income Affordability program.	To address the economic impacts of the COVID-19 pandemic.
*PSC-27-20-00003-P	 exempt	To make the uniform statewide customer satisfaction survey permanent.	To encourage consumer protections and safe and adequate service.
*PSC-28-20-00022-P	 exempt	Compensation of distributed energy resources.	To ensure just and reasonable rates, including compensation, for distributed energy resources.
*PSC-28-20-00034-P	 exempt	Petition to implement Section 7(5) of the Accelerated Renewable Energy Growth and Community Benefit Act	To develop the bulk transmission investments necessary to achieve the Climate Leadership and Community Protection Act goals
*PSC-34-20-00005-P	 exempt	Petition to provide a renewable, carbon-free energy option to residential and small commercial full-service customers.	To increase customer access to renewable energy in the Consolidated Edison Company of New York, Inc. service territory.
*PSC-38-20-00004-P	 exempt	The annual Reconciliation of Gas Expenses and Gas Cost Recoveries.	To consider filings of LDCs and municipalities regarding their Annual Reconciliation of Gas Expenses and Gas Cost Recoveries.
*PSC-42-20-00006-P	 exempt	Proposed major rate increase in National Grid's delivery revenues of approximately \$41.8 million (or 9.8% in total revenues).	To ensure safe and adequate service at just and reasonable rates charged to customers without undue preferences.
*PSC-42-20-00008-P	 exempt	Availability of gas leak information to the public safety officials.	Facilitate availability of gas leak information to public safety officials by gas corporations.
*PSC-42-20-00009-P	 exempt	Proposed major rate increase in National Grid's delivery revenues of approximately \$100.4 million (or 3.2% in total revenues).	To ensure safe and adequate service at just and reasonable rates charged to customers without undue preferences.

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
*PSC-43-20-00003-P	 exempt	The use of \$50 million to support residential and commercial customers experiencing financial hardship	To consider whether the proposed support of ratepayers is in the public interest
*PSC-45-20-00003-P	 exempt	Petition to submeter electricity	To ensure adequate submetering equipment and consumer protections are in place
*PSC-46-20-00005-P	 exempt	The recommendations of the DPS Staff report to improve Hudson Valley Water's service.	To determine if approving the DPS Staff's recommendations is in the public interest.
*PSC-48-20-00005-P	 exempt	Partial waiver of the Order Adopting Changes to the Retail Access Energy Market and Establishing Further Process.	To consider whether Chief Energy Power, LLC should be permitted to offer green gas products to mass market customers.
*PSC-48-20-00007-P	 exempt	Tariff modifications to change National Fuel Gas Distribution Corporation's Monthly Gas Supply Charge provisions.	To ensure safe and adequate service at just and reasonable rates charged to customers without undue preferences.
*PSC-51-20-00007-P	 exempt	Whitepaper on the ACOS method used by utilities in developing Standby and Buyback Service rates.	To standardize the utility ACOS methods and resulting rates, and to enable stand-alone energy storage systems.
*PSC-51-20-00009-P	 exempt	Partial waiver of the Order Adopting Changes to the Retail Access Energy Market and Establishing Further Process.	To consider whether petitioner should be permitted to offer its "Energy Savings Program" to mass market customers.
*PSC-51-20-00014-P	 exempt	Electric system needs and compensation for distributed energy resources.	To ensure safe and adequate service and just and reasonable rates, including compensation, for distributed energy resources.
*PSC-01-21-00004-P	 exempt	Partial waiver of the Order Adopting Changes to the Retail Access Energy Market and Establishing Further Process.	To consider whether petitioner should be permitted to offer its Home Warranty product to mass market customers.
*PSC-01-21-00006-P	 exempt	A debt financing arrangement with respect to an electric transmission line under development.	To review the proposed financing and consider whether it is within the public interest.
*PSC-02-21-00006-P	 exempt	Disposition of a sales tax refund received by New York American Water, Inc.	To determine the disposition of tax refunds and other related matters.
*PSC-03-21-00006-P	 exempt	Comprehensive study to identify distribution and transmission investments in accordance with the AREGCB Act.	To support distribution and local transmission investments necessary to achieve the State's climate goals.
PSC-04-21-00016-P	 exempt	Request for a waiver.	To consider whether good cause exists to support a waiver of the Commission's Test Period Policy Statement.
PSC-05-21-00005-P	 exempt	The applicable regulatory regime under the Public Service Law for the owner of a merchant electric generating facility.	Consideration of a lightened regulatory regime for the owner of an approximately 100 MW electric generating facility.
PSC-06-21-00009-P	 exempt	Disposition of a property tax refund received by New York American Water, Inc.	To determine the disposition of tax refunds and other related matters.
PSC-09-21-00002-P	 exempt	Gas moratorium procedures	To consider procedures and criteria to minimize customer hardships in the unlikely event of a future gas moratorium

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
PSC-09-21-00005-P	 exempt	Utility capital expenditure proposal.	To ensure safe and adequate service at just and reasonable rates charged to customers without undue preferences.
PSC-09-21-00006-P	 exempt	Long-term gas system planning.	To consider a process to review gas distribution utilities' long-term system planning.
PSC-13-21-00016-P	 exempt	Revised distribution strategies and reallocation of remaining funding.	To ensure the appropriate use of funding reserved for gas safety programs.
PSC-14-21-00003-RP	 04/07/22	More specific requirements for Operator Qualification to work on pipelines. Allows applications for "special permits."	To make the provision of natural gas service safer in New York State with better qualified pipeline workers.
PSC-16-21-00006-P	 exempt	The appropriate level of community credit capacity for distributed energy generation projects in the territory.	Consideration of an increase in the community credit capacity for distributed generation projects in the territory.
PSC-16-21-00007-P	 exempt	Accounting-related rules for utilities implementing the Integrated Energy Data Resource.	To consider cost recovery of capital expenditures and budget allocations of costs between affiliated companies.
PSC-17-21-00005-P	 exempt	Submetering equipment.	To consider use of submetering equipment and if it is in the public interest.
PSC-17-21-00006-P	 exempt	Community Choice Aggregation and Community Distributed Generation.	To consider permitting opt-out Community Distributed Generation to be offered as the sole product in an aggregation.
PSC-17-21-00007-P	 exempt	Utility studies of climate change vulnerabilities.	To assess the need for utilities to conduct distinct studies of their climate change vulnerabilities.
PSC-18-21-00004-P	 exempt	Community Choice Aggregation programs.	To modify and improve Community Choice Aggregation programs in New York State.
PSC-18-21-00005-P	 exempt	Proposed transfer of the Company's capital stock to the Purchaser.	To determine if transfer of the Company's capital stock to the Purchaser is in the public interest.
PSC-18-21-00006-P	 exempt	Community Choice Aggregation renewable products.	To consider waiving the locational and delivery requirements for RECs purchased to support renewable CCA products.
PSC-18-21-00008-P	 exempt	RG&E's Economic Development Programs and exemption from funding limits.	To consider RG&E to grant up to \$5.25 million in ED funding to Project Block to the benefit of ratepayers.
PSC-19-21-00008-P	 exempt	Community Choice Aggregation (CCA) and Community Distributed Generation (CDG).	To consider permitting Upstate Power, LLC to serve as a CCA administrator offering an opt-out CDG focused program.
PSC-19-21-00009-P	 exempt	Major electric rate filing.	To consider an increase in O&R's electric delivery revenues.
PSC-19-21-00012-P	 exempt	Major gas rate filing.	To consider an increase in O&R's gas delivery revenues.
PSC-20-21-00004-P	 exempt	Regulatory approvals in connection with a 437 MW electric generating facility.	To ensure appropriate regulatory review, oversight, and action, consistent with the public interest.

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
PSC-21-21-00012-P	 exempt	Petition for the use of gas metering equipment.	To ensure that consumer bills are based on accurate measurements of gas usage.
PSC-21-21-00015-P	 exempt	Notice of intent to submeter electricity.	To ensure adequate submetering equipment and consumer protections are in place.
PSC-21-21-00019-P	 exempt	Utility capital expenditure proposal.	To ensure safe and adequate service at just and reasonable rates charged to customers without undue preferences.
PSC-22-21-00008-P	 exempt	Cost allocation for project(s) to meet a Public Policy Transmission Need/Public Policy Requirement.	To address the cost allocation methodology for use by the New York Independent System Operator, Inc. (NYISO).
PSC-25-21-00005-P	 exempt	Transfer of Penelec assets and franchise rights.	To consider the transfer of utility assets and franchise to be in Waverly ratepayer and public interest.
PSC-25-21-00008-P	 exempt	NYSERDA and National Grid's proposed Expanded Solar For All Program for low-income customers.	To consider the authorization and appropriate design of an opt-out community solar program for low-income customers.
PSC-26-21-00010-P	 exempt	Proposed acquisition of all shares of common stock of Corning Natural Gas Holding Corporation by ACP Crotona Corp.	To consider whether the acquisition of all shares of common stock of CNGH by ACP Crotona Corp. is in the public interest.
PSC-26-21-00011-P	 exempt	Notice of intent to submeter electricity.	To ensure adequate submetering equipment and consumer protections are in place.
PSC-28-21-00012-P	 exempt	Transfer of ownership interests in a 55 megawatt natural gas-fired cogeneration facility located in North Tonawanda, NY.	To address the proposed transfer and any matters within the public interest.
PSC-28-21-00013-P	 exempt	Elimination of internal audits of wholesale performance metrics.	To consider Verizon New York Inc.'s petition to eliminate requirements for certain internal audits.
PSC-28-21-00015-P	 exempt	Proposals for active and passive managed charging programs for mass market EV customers.	To shift EV charging to moderate grid impacts and customer costs.
PSC-29-21-00004-P	 exempt	Exemptions from utility standby rates for efficient combined heat and power projects.	To determine whether utility standby rate exemptions should be continued.
PSC-29-21-00009-P	 exempt	Proposed pilot program to use AMI to disconnect electric service to customers during gas system emergencies.	To study the efficacy of using AMI to disconnect electric service during gas system emergencies.
PSC-30-21-00006-P	 exempt	NYSERDA proposal regarding Clean Energy Standard backstop collection processes.	To ensure that NYSERDA has sufficient funds to make timely payments to generators pursuant to the Clean Energy Standard.
PSC-32-21-00002-P	 exempt	The prohibition on ESCO service to low-income customers.	To consider whether Icon Energy, LLC d/b/a Source Power Company should be granted a waiver to serve low-income customers.
PSC-32-21-00003-P	 exempt	Exemptions from utility standby rates for certain designated or environmentally advantageous technologies.	To harmonize standby rate exemptions statewide.
PSC-33-21-00006-P	 exempt	Proposed rate increase.	To ensure safe and adequate service at just and reasonable rates.

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
PSC-33-21-00008-P	 exempt	Establishment of a Tapping and Connection Fee.	To consider whether the proposed fees are in the public interest.
PSC-33-21-00009-P	 exempt	Banking of credits and switching between Community Distributed Generation and Remote Crediting projects.	To ensure just and reasonable rates charged to customers.
PSC-34-21-00004-P	 exempt	CDG subscriber eligibility requirements.	To consider modifications to the CDG program eligibility requirements for certain Standby Service customers.
PSC-34-21-00006-P	 exempt	Staff recommendations to address the financial impacts of the COVID-19 pandemic.	To consider measures to provide relief to those financially impacted by the COVID-19 pandemic.
PSC-35-21-00004-P	 exempt	Major gas rate filing.	To consider a proposed increase in Conring's gas delivery revenues of approximately \$5.8 million (20.4% in total revenues).
PSC-35-21-00006-P	 exempt	Proposed rate increase.	To ensure safe and adequate service at just and reasonable rates.
PSC-35-21-00009-P	 exempt	To modify the terms and conditions under which gas utilities provide service to electric generators.	To provide clarity and uniformity to the provision of gas service to electric generators in New York State.
PSC-36-21-00005-P	 exempt	Transfer of real property.	To determine whether to authorize the transfer of real property and the proper accounting for the transaction.
PSC-36-21-00006-P	 exempt	The Westchester Power Program.	To consider integration of Opt-out Community Distributed Generation into the Westchester Power program.
PSC-36-21-00007-P	 exempt	Pension settlement payout losses incurred in 2020.	Consideration of NYAW's petition to defer and amortize, for future rate recognition, pension payout losses incurred in 2020.
PSC-36-21-00008-P	 exempt	Transfer of real property.	To determine whether to authorize the transfer of real property and the proper accounting for the transaction.
PSC-36-21-00009-P	 exempt	Notice of intent to submeter electricity.	To ensure adequate submetering equipment and consumer protections are in place.
PSC-37-21-00009-P	 exempt	Procedures necessary to implement Tax Law Section 187-q.	To establish procedures by which eligible utility-taxpayers can have the amounts of certain waived customer arrears certified.
PSC-37-21-00010-P	 exempt	Zero emitting electric generating facilities that are not renewable energy systems.	To consider modifications to the Clean Energy Standard.
PSC-37-21-00011-P	 exempt	Green Button Connect implementation.	To consider the proposed Green Button Connect User Agreement and Green Button Connect Onboarding Process document.
PSC-37-21-00012-P	 exempt	Partial waiver of the Order Adopting Changes to the Retail Access Energy Market and Establishing Further Process.	To consider whether Catalyst should be permitted to offer its Community Distributed Generation product to mass market customers.

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
PSC-37-21-00014-P	 exempt	Consideration of Time Warner Cable Information Services (New York)'s Revised Implementation Plan and audit recommendations.	To ensure that recommendations issued in a management and operations audit are appropriately addressed and implemented.
PSC-38-21-00006-P	 exempt	Annual Reconciliation of Gas Expenses and Gas Cost Recoveries.	To consider filings of LDCs and municipalities regarding their Annual Reconciliation of Gas Expenses and Gas Cost Recoveries.
PSC-38-21-00007-P	 exempt	Electric metering equipment.	To consider use of electric submeter and ensure that consumer bills will be based on accurate measurements of electric usage.
PSC-39-21-00005-P	 exempt	Establishment of the regulatory regime applicable to a electric transmission facility.	To ensure appropriate regulation of a new electric corporation.
PSC-39-21-00006-P	 exempt	Minor electric rate filing to increase annual electric revenues.	To ensure safe and adequate service at just and reasonable rates charged to customers without undue preferences.
PSC-39-21-00007-P	 exempt	The proposed alternative method of account identification.	To facilitate secure customer data exchanges between the utility or provider and energy service entities.
PSC-40-21-00017-P	 exempt	The Commission's Order Adopting Utility Energy Registry Modifications	To determine if the Commission committed errors of law or fact in its Order, or if new facts warrant a different result.
PSC-40-21-00018-P	 exempt	Notice of intent to submeter electricity and request for waiver.	To ensure adequate submetering equipment, consumer protections and energy efficiency protections are in place.
PSC-40-21-00020-P	 exempt	Notice of intent to submeter electricity.	To ensure adequate submetering equipment and consumer protections are in place.
PSC-40-21-00021-P	 exempt	Notice of intent to submeter electricity.	To ensure adequate submetering equipment and consumer protections are in place.
PSC-41-21-00005-P	 exempt	Area code overlay as relief of the exhausting 516 area code (Long Island).	To ensure performance in accordance with applicable telecommunications laws, regulations and standards and the public interest.
PSC-41-21-00006-P	 exempt	The proposed transfer of ownership interests and debt financing arrangement related to an electric generating facility.	To determine whether the proposed transfer of ownership interests and financing arrangement are in the public interest.
PSC-41-21-00008-P	 exempt	Waiver of the prohibition on service to low-income customers by ESCOs.	To consider the petition for an extension of the waiver of the prohibition on service to low-income customers by ESCOs.
PSC-41-21-00009-P	 exempt	Waiver of the prohibition on service to low-income customers by ESCOs.	To consider the petition for an extension of the waiver of the prohibition on service to low-income customers by ESCOs.
PSC-41-21-00010-P	 exempt	Waiver of the prohibition on service to low-income customers by ESCOs.	To consider the petition for an extension of the waiver of the prohibition on service to low-income customers by ESCOs.
PSC-41-21-00011-P	 exempt	Notice of intent to submeter electricity and request for waiver of 16 NYCRR § 96.5(k)(3).	To ensure adequate submetering equipment, consumer protections and energy efficiency protections are in place.

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
PSC-42-21-00005-P	 exempt	Electric metering equipment.	To ensure that consumer bills are based on accurate measurements of electric usage.
PSC-42-21-00006-P	 exempt	Waiver of tariff rules and a related Commission regulation.	To consider whether a waiver of tariff rules and a Commission regulation are just and reasonable and in the public interest.
PSC-42-21-00007-P	 exempt	Waiver of tariff rules and a related Commission regulation.	To consider whether a waiver of tariff rules and a Commission regulation are just and reasonable and in the public interest.
PSC-43-21-00007-P	 exempt	Minor rate filing.	To ensure safe and adequate service at just and reasonable rates.
PSC-43-21-00008-P	 exempt	Incremental demand side management programs.	To consider proposed demand side management programs and cost recovery.
PSC-44-21-00010-P	 exempt	Petition to enter a long term loan agreement and to institute a surcharge for recovery.	To determine if the issuance of long term debt and a surcharge mechanism for recovery is in the public interest.
PSC-44-21-00011-P	 exempt	The amount electric, gas, and steam corporations can charge for security deposits, and the acceptable forms of payment.	To establish security deposit requirements.
PSC-44-21-00012-P	 exempt	Disposition of a New York State tax refund.	To determine the disposition of a tax refund obtained by New York American Water Company, Inc.
PSC-44-21-00013-P	 exempt	Transfer of street lighting facilities.	To determine whether to authorize the transfer street of lighting facilities and the proper accounting for the transaction.
PSC-44-21-00014-P	 exempt	Development of distribution and local transmission in accordance with the AREGCB Act.	To support distribution and local transmission investments necessary to achieve the the State's climate goals.
PSC-45-21-00004-P	 exempt	The SIR and Application Process for New DG and ESS 5 MW or Less Connected in Parallel with Utility Distribution Systems.	To accommodate federal government agencies that wish to install distributed generation or energy storage systems 5 MW or Less.
PSC-46-21-00011-P	 exempt	Transfer of street lighting facilities.	To determine whether to authorize the transfer street of lighting facilities and the proper accounting for the transaction.
PSC-46-21-00012-P	 exempt	Transfer of street lighting facilities.	To determine whether to authorize the transfer street of lighting facilities and the proper accounting for the transaction.
PSC-46-21-00013-P	 exempt	ESCO Eligibility	To consider whether Astral should be allowed to market to and enroll residential customers following a suspension.
PSC-46-21-00014-P	 exempt	Waiver of tariff rules and a related Commission regulation.	To consider whether a waiver of tariff rules and a Commission regulation are just and reasonable and in the public interest.
PSC-47-21-00003-P	 exempt	Utility processes for customers to consent to sharing data with third parties and how consent options will be communicated.	To develop standardized consent requirements that will increase customer familiarity with appropriate data sharing and access.

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
PSC-47-21-00004-P	 exempt	Proposed modifications to CDRP.	To consider revisions to CDRP, as well as conforming tariff revisions.
PSC-47-21-00005-P	 exempt	Utility processes for customers to consent to sharing data with third parties and how consent options will be communicated.	To develop standardized consent requirements that will increase customer familiarity with appropriate data sharing and access.
PSC-48-21-00004-P	 exempt	Proposed filings to modify Riders AB and J - Smart Home Rate.	To ensure safe and adequate service at just and reasonable rates charged to customers without undue preferences.
PSC-48-21-00005-P	 exempt	Transfer of street light facilities.	To consider the transfer of street lighting facilities to the Town of Hamburg.
PSC-48-21-00006-P	 exempt	Electric metering equipment.	To consider use of electric metering equipment and ensure consumer bills are based on accurate measurements of electric usage.
PSC-48-21-00007-P	 exempt	Verizon's Performance Assurance Plan.	To consider whether to retire the Performance Assurance Plan.
PSC-49-21-00011-P	 exempt	Amendments to the SIR.	To more effectively interconnect distributed generation and energy storage systems 5 MW or less to the distribution system.
PSC-49-21-00012-P	 exempt	Hosting capacity maps at investor-owned electric utilities.	To provide more useful information about the distribution system's hosting capacity.
PSC-49-21-00013-P	 exempt	Amendments to the SIR and funding mechanisms.	To more equitably share costs among distributed generation and energy storage projects that require capital upgrades.
PSC-49-21-00014-P	 exempt	A Tier 4 renewable energy certificate contract.	To increase renewable generation in New York city.
PSC-49-21-00015-P	 exempt	A Tier 4 renewable energy certificate contract.	To increase renewable generation in New York city.
PSC-50-21-00005-P	 exempt	Notice of intent to submeter electricity and request for waiver.	To ensure adequate submetering equipment, consumer protections and energy efficiency protections are in place.
PSC-50-21-00006-P	 exempt	Implementation of the Host Community Benefit Program.	To consider the proposed administration and implementation related to disbursement of customer bill credits.
PSC-50-21-00007-P	 exempt	Notice of intent to submeter electricity.	To ensure adequate submetering equipment and consumer protections are in place.
PSC-50-21-00008-P	 exempt	Implementation of the Host Community Benefit Program.	To consider the proposed administration and implementation related to disbursement of customer bill credits.
PSC-50-21-00009-P	 exempt	Notice of intent to submeter electricity.	To ensure adequate submetering equipment and consumer protections are in place.
PSC-50-21-00010-P	 exempt	New York City's proposal to procure Tier 4 Renewable Energy Certificates.	To modify load serving entity compliance obligations under the Clean Energy Standard.

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
PSC-50-21-00011-P	 exempt	Implementation of the Host Community Benefit Program.	To consider the proposed administration and implementation related to disbursement of customer bill credits.
PSC-50-21-00012-P	 exempt	Implementation of the Host Community Benefit Program.	To consider the proposed administration and implementation related to disbursement of customer bill credits.
PSC-50-21-00013-P	 exempt	Transfer of street lighting facilities.	To determine whether to authorize the transfer street of lighting facilities and the proper accounting for the transaction.
PSC-50-21-00014-P	 exempt	Submetering of electricity and waiver request.	To ensure adequate submetering equipment, consumer protections and energy efficiency measures are in place.
PSC-50-21-00015-P	 exempt	Notice of intent to submeter electricity.	To ensure adequate submetering equipment and consumer protections are in place.
PSC-51-21-00008-P	 exempt	Distribution level demand response programs	More efficient demand response programs to gain operational efficiency and shave peak demand
PSC-51-21-00009-P	 exempt	Distribution-level demand response programs	More efficient demand response programs to gain operational efficiency and shave peak demand
PSC-51-21-00010-P	 exempt	Notice of intent to submeter electricity	To ensure adequate submetering equipment and consumer protections are in place
PSC-51-21-00011-P	 exempt	Distribution-level demand response programs	More efficient demand response programs to gain operational efficiency and shave peak demand
PSC-51-21-00012-P	 exempt	Distribution-level demand response programs	More efficient demand response programs to gain operational efficiency and shave peak demand
PSC-51-21-00013-P	 exempt	Distribution-level demand response programs	More efficient demand response programs to gain operational efficiency and shave peak demand
PSC-51-21-00014-P	 exempt	Distribution level demand response programs	More efficient demand response programs to gain operational efficiency and shave peak demand
PSC-51-21-00015-P	 exempt	PSC Regulation 16 NYCRR 86.3(b)(2), and 88.4(a)(4)	To consider the Applicants requests relating to the content of their application for transmission line siting
PSC-51-21-00016-P	 exempt	Notice of intent to submeter electricity	To ensure adequate submetering equipment and consumer protections are in place
PSC-52-21-00006-P	 exempt	Proposed tariff revisions to the Companies firm demand response programs for the 2021-2022 season.	To effectuate more efficient firm gas demand response programs to gain operational efficiency and shave peak demand.
PSC-52-21-00007-P	 exempt	Clean Energy Standard Tier 1 Load Serving Entity Obligations.	To modify the Tier 1 Load Serving Entity obligations for compliance year 2023 and establish the obligation for year 2024.

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
PSC-52-21-00008-P	 exempt	Notice of intent to submeter electricity.	To ensure adequate submetering equipment and consumer protections are in place.
PSC-52-21-00009-P	 exempt	Authorization to recover costs for 19 transmission projects and related mechanisms.	To ensure safe and adequate service at just and reasonable rates charged to customers without undue preferences.
PSC-52-21-00010-P	 exempt	Transfer of street lighting facilities.	To determine whether to authorize the transfer of street lighting facilities and the proper accounting for the transaction.
PSC-01-22-00007-P	 exempt	Amendments to the SIR.	To more effectively interconnect distributed generation and energy storage systems 5 MW or less to the distribution system.
PSC-01-22-00008-P	 exempt	Proposed transfer of the Company's capital stock to the Purchaser.	To determine if transfer of the Company's capital stock to the Purchaser is in the public interest.
PSC-01-22-00009-P	 exempt	Notice of intent to submeter electricity and request for waiver.	To ensure adequate submetering equipment, consumer protections and energy efficiency protections are in place.
PSC-01-22-00010-P	 exempt	Compensation of and incentives for distributed energy resources.	To encourage the development of and ensure just and reasonable rates for distributed energy resources.
PSC-01-22-00011-P	 exempt	Waiver of Article VII requirements.	To consider a waiver of certain regulations relating to the content of an application for transmission line siting.
PSC-01-22-00012-P	 exempt	Notice of intent to submeter electricity and request for waiver.	To ensure adequate submetering equipment, consumer protections and energy efficiency protections are in place.
PSC-01-22-00013-P	 exempt	Interconnection costs.	To consider a petition requesting relief from interconnection costs assigned by the interconnecting utility.
PSC-01-22-00014-P	 exempt	Notice of intent to submeter electricity and request for waiver.	To ensure adequate submetering equipment, consumer protections and energy efficiency protections are in place.
PSC-01-22-00015-P	 exempt	Petition to enter into a lease agreement and impose a surcharge.	To consider entry into a lease agreement and to impose a surcharge.
PSC-01-22-00016-P	 exempt	Notice of intent to submeter electricity and request for waiver.	To ensure adequate submetering equipment, consumer protections and energy efficiency protections are in place.
PSC-01-22-00017-P	 exempt	Establishment of the regulatory regime applicable to a renewable natural gas project.	To ensure appropriate regulation of a new gas corporation.
PSC-01-22-00018-P	 exempt	The New York State Reliability Council's establishment of an Installed Reserve Margin of 19.6%	To ensure adequate levels of Installed Capacity.
PSC-02-22-00004-P	 exempt	Electric system needs and compensation for distributed energy resources.	To ensure safe and adequate service and just and reasonable rates, including compensation, for distributed energy resources.

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
PSC-02-22-00005-P	 exempt	The electric utilities' 2022 Electric Emergency Response Plans.	To consider the adequacy of the proposed 2022 Electric Emergency Response Plans.
PSC-02-22-00006-P	 exempt	Green gas products.	To consider whether to extend the waiver permitting Family Energy, Inc. to serve existing customers on a green gas product.
PSC-02-22-00007-P	 exempt	Proposed changes to Rider Z - SC 1 Innovative Pricing Pilot and Rider AA - SC 2 Innovative Pricing Pilot.	To ensure safe and adequate service at just and reasonable rates charged to customers without undue preferences
PSC-03-22-00003-P	 exempt	Proposal by electric utilities on a revised benefit cost analysis method.	To support distribution and local transmission investments necessary to achieve the the State's clean energy and climate goals.
PSC-03-22-00004-P	 exempt	Proposal by electric utilities on a coordinated electric grid planning process.	To support distribution and local transmission investments necessary to achieve the the State's clean energy and climate goals.
PSC-04-22-00002-P	 exempt	Con Edison's petition for specific non-pipeline alternative projects.	To provide for continued service reliability and to meet customer energy needs while addressing greenhouse gas reduction goals.
PSC-04-22-00003-P	 exempt	Proposed sale of real property.	To determine if the proposed sale of real property is in the public interest.
PSC-04-22-00004-P	 exempt	Extension of the State Universal Service Fund.	To continue to provide universal service at a reasonable rate in certain service territories.
PSC-04-22-00005-P	 exempt	Petition to continue development and recover the costs of 23 local transmission projects.	To ensure safe and adequate service at just and reasonable rates and to support the State's clean energy and climate goals.
PSC-04-22-00006-P	 exempt	Area code overlay as relief of the exhausting 845 area code.	To ensure performance in accordance with applicable telecommunications laws, regulations and standards and the public interest.
STATE, DEPARTMENT OF			
DOS-39-21-00013-P	 09/29/22	Procedures to help avoid abandonment of cemeteries and determine when a cemetery has become abandoned.	To provide procedures to help avoid abandonment of cemeteries and determine when a cemetery has become abandoned.
DOS-51-21-00004-EP	 12/22/22	General Administration Relating to the Division of Licensing Services.	To give approved educational providers the option of offering mandatory course work virtually.
STATE UNIVERSITY OF NEW YORK			
SUN-24-21-00002-EP	 06/16/22	Gender Neutral Bathrooms	To conform with legislation requiring SUNY state-operated campuses to designate all single occupancy bathrooms as gender neutral
TAXATION AND FINANCE, DEPARTMENT OF			
*TAF-46-20-00003-P	 exempt	Fuel use tax on motor fuel and diesel motor fuel and the art. 13-A carrier tax jointly administered therewith	To set the sales tax component and the composite rate per gallon for the period January 1, 2021 through March 31, 2021

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
TAXATION AND FINANCE, DEPARTMENT OF			
TAF-46-21-00009-P	 exempt	Fuel use tax on motor fuel and diesel motor fuel and the art. 13-A carrier tax jointly administered therewith	To set the sales tax component and the composite rate per gallon for the period January 1, 2022 through March 31, 2021
TEMPORARY AND DISABILITY ASSISTANCE, OFFICE OF			
TDA-43-21-00006-EP	 10/27/22	Public Assistance (PA) eligibility interviews by phone or other digital means at PA applicant's or recipient's request	See attached addendum
TDA-51-21-00002-EP	 12/22/22	Elderly Simplified Application Project (ESAP) for the Supplemental Nutrition Assistance Program (SNAP)	To simplify the SNAP eligibility process for certain elderly and/or disabled residents in New York State
TDA-01-22-00001-EP	 01/05/23	2019 Novel Coronavirus (COVID-19) masking requirements in congregate shelters	Protect the well-being of shelter staff and persons staying in congregate shelters
WORKERS' COMPENSATION BOARD			
WCB-28-21-00009-P	 07/14/22	Telehealth	Provides the option for telehealth visits in some circumstances
WCB-37-21-00018-P	 09/15/22	NY Workers' Compensation Drug Formulary	Update the Formulary (technical and clarifying changes)
WCB-41-21-00012-P	 10/13/22	Medical Treatment Guidelines	To add Eye Disorders, Traumatic Brain Injury, and Complex Regional Pain Syndrome MTGs
WCB-52-21-00004-EP	 12/29/22	Independent Livery Drivers and Independent Livery Driver Fund	To implement the Cisneros Appellate Division case (194 A.D.3d 1344)
WCB-03-22-00002-P	 01/19/23	DME Fee Schedule	To update the DME fee schedule

REGULATORY AGENDA

Department of Agriculture and Markets

Pursuant to subdivision 1 of section 202-d of the State Administrative Procedure Act, notice is hereby provided of the following rules which the Department of Agriculture and Markets is considering proposing but for which a rulemaking proceeding has not been commenced. All section and Part references are to Title 1 of the New York Code of Rules and Regulations, except where noted.

DIVISION OF ANIMAL INDUSTRY

Section 45.6: Consider amendments to section 45.6 to require mandatory depopulation, cleaning, and disinfection of the live poultry markets every 3 months and upon detection of avian influenza virus subtypes that have been designated a risk to animal health or public health by epidemiologic evaluation and risk determination.

Part 50: Consider amendments to regulations pertaining to live-stock disinfection procedures and removing obsolete provisions.

Part 57: Consider repealing sections 57.7 through 57.23 pertaining to the salmonella enteritis testing program.

Part 60: Consider amending requirements governing the intrastate movement of deer in light of amendments to health requirements for captive cervids (Part 68) and also clarify requirements for tuberculosis testing.

Part 61: Consider adopting recordkeeping requirements for swine, cervid, camelid, goat, and sheep dealers.

Part 64: Consider amending sections 64.4, 64.7 and 64.8 to clarify the requirement that veterinarians must have Class 2 United States Department of Agriculture accredited status in order to submit samples for official equine infectious anemia (Coggins) tests.

Part 67: Consider amending importation restrictions on livestock originating in states with vesicular stomatitis virus to make those restrictions consistent with federal requirements.

Part 351: Consider amending sections 351.6 and 351.10 to require official identification in the form of United States Department of Agriculture approved official RFID ear tags for cattle and swine entering all county fairs and the New York State Fair.

Agency contact: Joy Bennett, DVM MPH, DACVPM, Director, Division of Animal Industry

Office Address: 10B Airline Drive, Albany, New York 12235

Telephone Number: (518) 457-3502

E-Mail Address: Joy.Bennett@agriculture.ny.gov

DIVISION OF FOOD SAFETY AND INSPECTION

Parts 250, 252, 259: Consider amending to incorporate by reference the most recent Federal regulations.

Sections 261.8, 261.9, 262.1, 265.1, 266.1, 267.1, 271-4.7, 271-5.3(h), 271-5.3(j), 271-5.4(g): Consider amending to incorporate by reference the most recent Federal regulations.

Section 271-2.2(f): Consider repealing the inspection requirement by an approved mushroom identification expert of mushroom species picked in the wild prior to sale.

Section 271-7.30: Consider amendments to the requirement of the posting of a sign near each entrance of a store indicating "No Animals (Other Than Service Animals) Allowed."

Parts 277, 279, 280 and 281: Consider amending to incorporate by reference the most recent Federal regulations.

Part 283: Consider incorporating by reference 21 CFR Part 507 - Current Good Manufacturing Practices, Hazard Analysis, and Risk Based Controls for Food for Animals.

Agency contact: Dan McCarthy, Acting Director, Division of Food Safety and Inspection

Office Address: 10B Airline Drive, Albany, New York 12235

Telephone Number: (518) 457-4492

E-Mail Address: Dan.McCarthy@agriculture.ny.gov

DIVISION OF MILK CONTROL AND DAIRY SERVICES

Part 2: Consider amendments in order to regulate camel's milk.

Part 2: Consider the inclusion of section 1R from the Pasteurized Milk Ordinance on Abnormal Milk in the Sanitation Requirements For Dairy Farms (Currently Section 2.9).

Section 2.8: Consider amendments to make changes relative to the somatic cell count for pre-pasteurized milk from sheep and goats.

Section 2.8: Consider amendments to establish quality standards for raw milk cheeses.

Agency contact: Casey McCue, Director, Division of Milk Control and Dairy Services

Office Address: 10B Airline Drive, Albany, New York 12235

Telephone Number: (518) 457-1772

E-Mail Address: Casey.McCue@agriculture.ny.gov

DIVISION OF PLANT INDUSTRY

Parts 106 and 107: Consider updating seed potato certification standards for foundation and certified classes to reflect advances in plant disease testing and to set standards and tolerances for a group of bacterial pathogens known by the common name "blackleg."

Part 127: Consider amendments to release additional areas from the golden nematode quarantine.

Part 140: Consider amendments to release the remaining Plum Pox Virus Regulated Areas in the Hudson Valley Region.

Part 142: Consider amendments to the Spotted Lanternfly exterior quarantine to reflect the most recent survey data and implement an interior quarantine to limit the human assisted spread of spotted lanternfly within the borders of New York State.

Part 150: Consider amendments to the plant certification program implement current scientific methods and nomenclature.

Agency Contact: Christopher Logue, Director, Division of Plant Industry

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Telephone Number: (518) 457-2087

E-Mail Address: Christopher.Logue@agriculture.ny.gov

BUREAU OF WEIGHTS AND MEASURES

Section 220.1: Consider removing the requirement for commercial devices to have NYS approval and only use approval through the National Conference on Weights and Measures (NCWM). Also consider removing the associated \$100 fee paid by private industry in section 220.12(a)(2).

Section 220.2: Consider the adoption of the 2022 NIST Handbook 44.

Section 220.3: Consider clarifying current regulation that municipal W&M offices may charge an additional fee if security seals are removed by the owner or a representative of the owner.

Section 220.5: Consider removing terminal rack meters from the list of devices which municipal weights and measures officials are mandated to test.

Section 220.5: Consider allowing the Federal Milk Market Administrator to perform calibrations of milk holding tanks independently, without the involvement of local W&M offices.

Section 220.5: Consider amendments to remove timing devices from the list of devices which municipal weights and measures officials are mandated to test.

Part 221: Consider creating a method-of-sale regulation for electric vehicle (EV) charging stations.

Part 224: Consider the removal of the requirement for posting the cetane rating, to recognize a legislative amendment to AML 16, section 192-c.

Part 225: Consider adopting regulations to implement Agriculture and Markets Law section 192-h, relating to the installation of generators at service stations in the downstate area.

Agency contact: Michael Sikula, Director, Bureau of Weights and Measures

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E-Mail Address: Mike.Sikula@agriculture.ny.gov

DESIGNATED AGENCY REPRESENTATIVE: Nicole Persaud, Senior Attorney

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Office of Children and Family Services

Pursuant to section 202-d of the State Administrative Procedure Act (SAPA,) the Office of Children and Family Services (OCFS) is required to publish a regulatory agenda for those regulations it is considering for publication in the State Register. Set forth below is an agenda for 2022. OCFS reserves the right to add, delete or modify, without further notice, except as required by SAPA, any item or information presented herein as relating to its 2022 Regulatory Agenda. Section 202-d of SAPA does not preclude OCFS from proposing for adoption a regulation that is not described in this agenda, nor does it require OCFS to propose for adoption a regulation that is described in this agenda.

Amendment of Part 489 of Title 18 of the NYCRR for Family Type Homes for Adults.

Amendment of Parts 432 and 423 of Title 18 of the NYCRR regarding Statewide Central Registry reforms.

Full repeal and replace of Part 182 of Title 9 of the NYCRR regarding crisis shelter services, interim family host homes, transitional independent living services programs, runaway and homeless youth coordinators, and non-residential programs.

Amendment of Section 415.9 of Title 18 of the NYCRR related to childcare market rates that will change the maximum reimbursements for expenditures for childcare services funded under the NYS Child Care Block Grant and Social Services Block Grant.

Amendment of Part 168 of Title 9 of the NYCRR to address staff supervision of self-administration of medication by youth in OCFS-operated facilities and programs.

Amendment of Subpart 179-2 of Title 9 of the NYCRR to extend applicability of good behavior allowances to adolescent offenders and to youth with determinate sentences.

Repeal and replace Part 180 of Title 9 of the NYCRR to update juvenile detention regulations.

The new Part 180 will have 4 Subparts. Subpart 180-1 will define

the responsibilities and functions of all county detention-administering agencies. Subpart 180-2 will implement uniform standards and procedures for the establishment and operation of non-secure detention facilities. Subpart 180-3 will implement uniform standards and procedures for the establishment and operation of secure and specialized secure detention facilities. Subpart 180-4 will implement the procedures for enforcement revocation and suspension of a detention facility operating certificate issued by OCFS. A regulatory flexibility analysis and rural area flexibility analysis may be required.

Any questions, comments, or requests for information concerning the items listed in this agenda may be referred to Frank Nuara, Associate Attorney, Office of Children and Family Services, 52 Washington Street, Rensselaer, NY 12144, (518) 474 - 9778. Email: REGCOMMENTS@ocfs.ny.gov This regulatory agenda may also be accessed on OCFS's website at: <https://ocfs.ny.gov/main/legal/Regulatory/>

Department of Financial Services

Pursuant to State Administrative Procedure Act ("SAPA") Section 202-d, the following Regulatory Agenda is a list of the regulatory additions and amendments to Titles 3, 11, and 23 of the NYCRR that the New York State Department of Financial Services ("Department") is presently considering proposing during 2022. Some of these items were previously published in the January 2021 Regulatory Agenda. Items that have already been published in the State Register as "proposed" actions are not included on the list. The Department's regulatory plans are subject to change, and the Department reserves the right to add to, delete from, or modify items in the Regulatory Agenda without further notice.

This notice also is intended to provide small businesses, local governments, and public and private interests in rural areas with the opportunity to participate in the rule making process, as required by Sections 202-b and 202-bb of SAPA.

I. Insurance Regulations

For inquiries about a specific item, please contact the person identified for that item. For general inquiries about the Insurance Regulations included in this Regulatory Agenda, or to obtain copies of current Insurance Regulations, please contact:

Sally Geisel

Supervising Attorney

New York State Department of Financial Services

One State Street

New York, NY 10004

(212) 480-7608

Sally.Geisel@dfs.ny.gov

You may instead obtain the current Insurance Regulations directly from Thomson Reuters Westlaw.

1. Summary description of proposal: Addition of a new subpart 65-6 to 11 NYCRR (Insurance Regulation 65-F), amendment to 11 NYCRR 65 (Regulations Implementing the Comprehensive Motor Vehicle Insurance Repairs Act) (Insurance Regulation 68), and amendment to 11 NYCRR 68 (Charges for Professional Health Services) (Insurance Regulation 83) to implement an alternative dispute resolution process for disputes involving claims of independent livery drivers that are processed pursuant to pre-authorization procedures and medical treatment guidelines set forth in 12 NYCRR 324 and applicable provisions of Article 2 of the Workers' Compensation Law. Agency contact: Camielle A. Barclay, Associate Attorney, Office of General Counsel, New York State Department of Financial Services, One State Street, New York, NY 10004; (212) 480-5299; Camielle.Barclay@dfs.ny.gov

2. Summary description of proposal: Amendment to 11 NYCRR 68 (Charges to Professional Health Services) (Insurance Regulation 83) to update the fee schedule rules applicable to no-fault in light of amendments to Workers' Compensation Board's fee schedules. Agency contact: Camielle Barclay, Associate Attorney, Office of General Counsel, New York State Department of Financial Services, One State Street, New York, NY 10004; (212) 480-5299; Camielle.Barclay@dfs.ny.gov

3. Summary description of proposal: Amendment to 11 NYCRR 65-4 (Arbitration) (Insurance Regulation 68-D) to amend rules related to both the manner in which the first party motor vehicle insurance arbitration programs are administered and the manner in which the costs of these programs are assessed to the insurance industry. Agency contact: Camielle Barclay, Associate Attorney, Office of General Counsel, New York State Department of Financial Services, One State Street, New York, NY 10004; (212) 480-5299; Camielle.Barclay@dfs.ny.gov

4. Summary description of proposal: Amendment to 11 NYCRR 65-2 (Rights and Liabilities of Self-Insurers) (Insurance Regulation 68-B), 11 NYCRR 65-3 (Claims for Personal Injury Protection Benefits) (Insurance Regulation 68-C), 11 NYCRR 65-4 (Arbitration) (Insurance Regulation 68-D), the New York Motor Vehicle No-Fault Insurance Law Assignment of Benefits Form, the NYS Form NF-3, and the NYS Form NF-10 to prescribe that an insurer may void the assignability of all rights, privileges, and remedies to a health care provider (other than a hospital) from an eligible injured person through an executed assignment of benefits form if the insurer denies a claim because the eligible injured person failed to appear for a medical examination or examination under oath at the insurer's request. Agency contact: Camielle A. Barclay, Associate Attorney, Office of General Counsel, New York State Department of Financial Services, One State Street, New York, NY 10004; (212) 480-5299; Camielle.Barclay@dfs.ny.gov

5. Summary description of proposal: Amendment to 11 NYCRR 67 (Mandatory Underwriting Inspection Requirements for Private Passenger Autos) (Insurance Regulation 79) regarding automobile photo inspections. Agency contact: Camielle Barclay, Associate Attorney, Office of General Counsel, New York State Department of Financial Services, One State Street, New York, NY 10004; (212) 480-5299; Camielle.Barclay@dfs.ny.gov

6. Summary description of proposal: Amendment to 11 NYCRR 60-1 (Minimum Provisions for Automobile Liability Insurance Policies) (Insurance Regulation 35-A) to amend subdivision (c) of 11 NYCRR 60-1.1 to fix an incorrect grammatical construction caused by the formatting of the subdivision. The current format may lead a reader to limit the application of subparagraphs (i) – (iii) to subdivision (c)(3) only, when in fact the subparagraphs apply also to paragraphs (2) and (3) of subdivision (c). Agency contact: Joana Lucashuk, Deputy General Counsel for Insurance, Office of General Counsel, New York State Department of Financial Services, One State Street, New York, NY 10004; (212) 480-2125; Joana.Lucashuk@dfs.ny.gov

7. Summary description of proposal: Amendment to 11 NYCRR 80-1 (Holding Companies) (Insurance Regulation 52), 11 NYCRR 81 (Subsidiaries of Insurance Companies) (Insurance Regulation 53), and 11 NYCRR 82 (Enterprise Risk Management and Own Risk and Solvency Assessment; Group-Wide Supervision (Insurance Regulation 203), regarding implementation of an annual group capital calculation and liquidity stress test and continuity of essential services and functions to an insurer in receivership by affiliated entities. Agency contact: Joana Lucashuk, Deputy General Counsel for Insurance, Office of General Counsel, New York State Department of Financial Services, One State Street, New York, NY 10004; (212) 480-2125; Joana.Lucashuk@dfs.ny.gov

8. Summary description of proposal: Amendment to 11 NYCRR 27 (Excess Line Placements Governing Standards) (Insurance Regulation 41), 11 NYCRR 60-1 (Minimum Provisions for Auto Liability Insurance Policies) (Insurance Regulation 35-A), 11 NYCRR 60-2 (Supplementary Uninsured/Underinsured Motorists Insurance) (Insurance Regulation 35-D), 11 NYCRR 60-3 (Transportation Network Companies; Minimum Provisions for Auto Liability Policies and Other Requirements) (Insurance Regulation 35-E), 11 NYCRR 65-1 (Regulations Implementing the Comprehensive Motor Vehicle Insurance Reparations Act – Prescribed Policy Endorsements) (Insurance Regulation 68-A), 11 NYCRR 65-3 (Regulations Implementing the Comprehensive Motor Vehicle Insurance Reparations Act – Claims for Personal Injury Protection Benefits) (Insurance Regulation 68-C), 11 NYCRR 65-4 (Regulations Implementing the Comprehensive Motor Vehicle Insurance Reparations Act – Arbitration) (Insurance

Regulation 68-D), 11 NYCRR 169 (Noncommercial Motor Vehicle Insurance Merit Rating Plans) (Insurance Regulation 100), and 11 NYCRR 216 (Unfair Claims Settlement Practices and Claim Cost Control Measures) (Insurance Regulation 64), implementing Chapter 795 of the Laws of 2021, pertaining to peer-to-peer car sharing programs. Agency contact: Joana Lucashuk, Deputy General Counsel for Insurance, Office of General Counsel, New York State Department of Financial Services, One State Street, New York, NY 10004; (212) 480-2125; Joana.Lucashuk@dfs.ny.gov

9. Summary description of proposal: Amendment to 11 NYCRR 216 (Unfair Claims Settlement Practices and Claim Cost Control Measures) (Insurance Regulation 64) to update Section 216.7 to clarify certain provisions in the regulation regarding motor vehicle physical damage claims. Agency contact: Hoda Nairooz, Supervising Insurance Examiner, Property Bureau, New York State Department of Financial Services, One State Street, New York, NY 10004; (212) 480-5595; Hoda.Nairooz@dfs.ny.gov

10. Summary description of proposal: Amendment to 11 NYCRR 111 (Statement of Actuarial Opinion and Actuarial Opinion Summary for Property/Casualty Insurers) (Insurance Regulation 207) to update the reference to the annual statement instructions published by the National Association of Insurance Commissioners that is incorporated by reference in the regulation. Agency contact: Sak-Man Luk, Supervising Casualty Actuary, Property Bureau, New York State Department of Financial Services, One State Street, New York, NY 10004; (212) 480-5135; Sak-Man.Luk@dfs.ny.gov

11. Summary description of proposal: Amendment to 11 NYCRR 53 (Life and Annuity Cost Disclosure and Sales Illustrations) (Insurance Regulation 74) to revise and clarify the delivery, signature and certification form requirements for life insurance sales illustrations, including electronic delivery, for policies marketed with illustrations; to modify the bases used for illustrations for life insurance policies with non-guaranteed elements, including universal life; to add requirements to the disciplined current scale underlying the illustrations; and to require additional disclosures for such policies. Agency contact: Rebecca Bollam, Associate Insurance Attorney, Life Bureau, New York State Department of Financial Services, One Commerce Plaza, Albany, NY 12257; (518) 474-4552; Rebecca.Bollam@dfs.ny.gov

12. Summary description of proposal: Amendment of 11 NYCRR 50 (Separate Accounts and Separate Account Annuities) (Insurance Regulation 47) to establish revised standards for the operation of separate accounts, contract provisions, and actuarial requirements to reflect statutory changes, recent innovations in product design, and changes in administration of separate account products. Agency contact: Peter Dumar, Chief Insurance Attorney, Life Bureau, New York State Department of Financial Services, One Commerce Plaza, Albany, NY 12257; (518) 474-4552; Peter.Dumar@dfs.ny.gov

13. Summary description of proposal: Amendment to 11 NYCRR 103 (Principle-Based Reserving) (Insurance Regulation 213) to revise the reserve standards for certain products and update the reference to the Valuation Manual published by the National Association of Insurance Commissioners that is incorporated by reference in the regulation. Agency contact: Amanda Fenwick, Assistant Chief Life Actuary, Life Bureau, New York State Department of Financial Services, One Commerce Plaza, Albany, NY 12257; (518) 473-6576; Amanda.Fenwick@dfs.ny.gov

14. Summary description of proposal: Addition of a new Part to 11 NYCRR (Issuance of Stop-Loss and Health Insurance Policies to Small Groups) to establish standards relating to the activities of insurers issuing stop-loss and health insurance policies to small groups in order to preserve and maintain the small group health insurance market in New York. Agency contact: Jon Thayer, Associate Attorney, Health Bureau, New York State Department of Financial Services, One Commerce Plaza, Albany, NY 12257; (518) 486-2970; Jon.Thayer@dfs.ny.gov

15. Summary description of proposal: Amendment to 11 NYCRR 52 (Minimum Standards for the Form, Content and Sale of Health Insurance, Including Standards of Full and Fair Disclosure) (Insurance Regulation 62) to set forth standards relating to health insurance producer payments. Agency contact: Tobias J. Len, Assistant Chief,

Health Bureau, New York State Department of Financial Services, One Commerce Plaza, Albany, NY 12257; (518) 486-2970; Tobias.Len@dfs.ny.gov

16. Summary of description of proposal: Amendment to 11 NYCRR Part 52 (Minimum Standards for the Form, Content and Sale of Health Insurance, Including Standards of Full and Fair Disclosure) (Insurance Regulation 62) and Part 215 (Advertisements of Accident and Health Insurance) (Insurance Regulation 34) to establish minimum standards and consumer protections for accident, travel, and hospital indemnity insurance. Agency contact: Christina Fernet, Associate Attorney, Health Bureau, New York State Department of Financial Services, One Commerce Plaza, Albany, NY 12257; (518) 486-2970; Christina.Fernet@dfs.ny.gov

17. Summary description of proposal: Amendment to 11 NYCRR 52 (Minimum Standards for the Form, Content and Sale of Health Insurance, Including Standards of Full and Fair Disclosure) (Insurance Regulation 62) and 23 NYCRR 400 (Independent Dispute Resolution for Emergency Services and Surprise Bills) to incorporate requirements from the federal No Surprises Act. Agency contact: Colleen Rumsey, Supervising Attorney, Health Bureau, New York State Department of Financial Services, One Commerce Plaza, Albany, NY 12257; (518) 486-2970; Colleen.Rumsey@dfs.ny.gov

18. Summary description of proposal: Amendment to 11 NYCRR 86 (Reports of Suspected Insurance Frauds to Criminal Investigations Unit; Required Warning Statements) (Insurance Regulation 95) to modify certain fraud warning requirements for standard hospital, medical and dental insurance claim forms established under Insurance Law Section 3224 and 11 NYCRR 17 (Insurance Regulation 88) in order to facilitate the usage of standard claim forms developed by the Centers for Medicare and Medicaid Services and the American Dental Association. Agency contact: Kathleen Grogan, Principal Insurance Examiner, Criminal Investigations Unit, New York State Department of Financial Services, One State Street, New York, NY 10004; (212) 480-5776; Kathleen.Grogan@dfs.ny.gov

19. Summary description of proposal: Amendment to 11 NYCRR 86 (Reports of Suspected Insurance Frauds to Criminal Investigations Unit; Required Warning Statements) (Insurance Regulation 95) to require licensees to revise fraud prevention plans to reflect any changes to the holding company, the Special Investigations Unit ("SIU") personnel or provider of SIU services, or the lines of business that affect the SIU; and to amend Section 86.6(d) to correct the date by which an annual report must be filed from January 15 to March 15, in compliance with Insurance Law Section 409(g). Agency contact: Kathleen Grogan, Principal Insurance Examiner, Criminal Investigations Unit, New York State Department of Financial Services, One State Street, New York, NY 10004; (212) 480-5776; Kathleen.Grogan@dfs.ny.gov

II. Banking Regulations

For inquiries about the Banking Regulations included in this Regulatory Agenda, or to obtain copies of current Banking Regulations, please contact:

Christine M. Tomczak

Assistant Counsel

Office of General Counsel

New York State Department of Financial Services

One State Street

New York, NY 10004

(212) 709-1642

Christine.Tomczak@dfs.ny.gov

You may instead obtain current Banking Regulations directly from Thomson Reuters Westlaw.

1. Summary description of proposal: Amendments to Part 38 of the General Regulations of the Superintendent of 3 NYCRR (Definition of Terms; Advertising; Application and Commitment Disclosures and Procedures; Improper Conduct Under Article 12-D) to enhance advertising, disclosure and conduct rules for mortgage bankers and brokers and to incorporate applicable changes under federal laws and regulations.

2. Summary description of proposal: Amendment to Part 41 of the General Regulations of the Superintendent of 3 NYCRR (Restrictions and Limitations on High Cost Home Loans) to address threshold limits, the impact of lender paid fees, and otherwise to conform to the requirements of Section 6-l of the Banking Law.

3. Summary description of proposal: Amendment to Part 79 of the General Regulations of the Superintendent of 3 NYCRR (Reverse Mortgage Loans) to give effect to changes in foreclosure procedures enacted in the Laws of 2020, Chapter 337 and any subsequent corrective amendment to that statute.

4. Summary description of proposal: Amendment to Part 322.7 of the Superintendent's Regulations of 3 NYCRR (Definition of Well-Rated Foreign Banking Corporations) in connection with the elimination by the Federal Reserve System of the Strength of Support Assessment (SOSA) rating for all foreign banking organizations subject to the interagency program for supervising the U.S. operations of foreign banking organizations.

5. Summary description of proposal: Amendment to Part 400.2 of the Superintendent's Regulations of 3 NYCRR (Books, Records and Microfilm) to eliminate outdated regulatory requirements (i.e., maintenance of records on microfilm) in connection with check cashers books and records).

6. Summary description of proposal: Amendment to Part 401 (Licensed Lenders), Part 403 (Sales Finance Companies) and Part 405 (Premium Finance Agencies) of the Superintendent's Regulations of 3 NYCRR to include a reporting requirement for each licensee to submit to the Superintendent an independent audited annual financial statement within 90 days after the licensee's fiscal year end.

7. Summary description of proposal: Amendment to Part 403 of the Superintendent's Regulations of 3 NYCRR (Sales Finance Companies) to include a requirement for the submission of annual reports to the Superintendent and to allow the Superintendent to make requests for additional regular or special reports as deemed necessary.

8. Summary description of proposal: Amendment to Parts 410 and 413 of the Superintendent's Regulations of 3 NYCRR (Mortgage Bankers; Licensing Requirements; Mortgage Brokers; Registration Requirements; Branch Applications; Notifications; Books and Records; Annual Reports; Surety Bonds; and Consultants of Licensed Mortgage Bankers and Registered Mortgage Brokers) and (Procedures and Requirements for Mortgage Brokers to Act as FHA Mortgage Loan Correspondents); Supervisory Procedure of 3 NYCRR MB 101 (Application for a License as a Mortgage Banker), Supervisory Procedure of 3 NYCRR MB 102 (Application for Registration as a Mortgage Broker), Supervisory Procedure of 3 NYCRR MB 103 (Application for a Change in Control of a Mortgage Banker) and Supervisory Procedure of 3 NYCRR MB 104 (Application for a Change in Control of a Mortgage Broker) to eliminate certain outdated regulatory requirements and to clarify language, minimum licensing standards, and other requirements in connection with mortgage banker and mortgage broker applications.

9. Summary description of proposal: Amendment to Part 420 of the Superintendent's Regulations of 3 NYCRR Part 420 (Mortgage Loan Originators: Education Requirements) to conform to amendments made to the federal SAFE Mortgage Licensing Act, which gives qualifying out-of-state mortgage loan originators the temporary authority to operate as a mortgage loan originator in New York prior to completing all licensing requirements.

III. Financial Services Regulations

For specific inquiries about the Financial Services Regulations included in this section of the Regulatory Agenda, or to obtain copies of the current Financial Services Regulations for which proposals are described below, please contact the person identified for that item. You may instead obtain current Financial Services Regulations directly from Thomson Reuters Westlaw.

1. Summary of description of proposal: Amendment to 23 NYCRR 400 (Independent Dispute Resolution for Emergency Services and Surprise Bills) to establish independent dispute resolution process for emergency services from a non-participating physician or hospital, including a bill for inpatient services that follows an emergency room visit. Agency contact: Emily Donovan, Associate Attorney, Health

Bureau, New York State Department of Financial Services, One Commerce Plaza, Albany, NY 12257; (518) 486-2970; Emily.Donovan@dfs.ny.gov

2. Summary of description of proposal: Amendment to 23 NYCRR 2 (Rules Governing the Procedures for Adjudicatory Proceedings Before the Department of Financial Services) to add a new section specifying that the Department of Financial Services ("Department") may conduct administrative hearings by videoconference at the Department's discretion so that parties and hearing officers do not have to be physically present at the same location during hearings, subject to a determination by the hearing officer upon a timely objection filed by the respondent or applicant that a hearing held by videoconference would either impinge upon the respondent's or applicant's due process rights, or would be fundamentally unfair or impractical, as expressed in the new section. Agency contact: Alan Lebowitz, Deputy General Counsel, Office of General Counsel, New York State Department of Financial Services, One State Street, New York, NY 10004; (212) 709-1575; Alan.Lebowitz@dfs.ny.gov

3. Summary description of proposal: Amendment to 23 NYCRR 2 (Rules Governing the Procedures for Adjudicatory Proceedings Before the Department of Financial Services), to clarify rules governing the procedures for adjudicatory proceedings before the Department of Financial Services. Agency contact: Meredith Weill, Deputy General Counsel for Consumer Protection and Financial Enforcement, Office of General Counsel, New York State Department of Financial Services, One State Street, New York, NY 10004; (212) 480-5279; Meredith.Weill@dfs.ny.gov

4. Summary of description of proposal: Amendment to 23 NYCRR 500 (Cybersecurity Requirements for Financial Services Companies) to provide updated rules governing cybersecurity, to eliminate certain outdated regulatory requirements, and to clarify language, minimum standards, and other requirements in connection with cybersecurity. Agency contact: Joanne Berman, Counsel, Cybersecurity Division, New York State Department of Financial Services, One State Street, New York, NY 10004; (212) 709-1675; Joanne.Berman@dfs.ny.gov

New York State Gaming Commission

Pursuant to subdivision 1 of section 202-d of the State Administrative Procedure Act (SAPA), the Gaming Commission presents its regulatory agenda for calendar year 2021. All section and part references are to Title 9 of the New York Code of Rules and Regulations. As indicated in SAPA section 202-d(2), the Gaming Commission is not required to propose or adopt a rule listed on the regulatory agenda and may propose or adopt a rule that is not on the agenda. The Gaming Commission may add, delete or modify without further notice, except as required by the State Administrative Procedure Act, any item or information presented in this 2022 Regulatory Agenda.

Publication of this notice is intended to further assure that small businesses, local governments and public and private interests in rural areas are given opportunity to participate in the rule making process, as provided by sections 202-b and 202-bb of SAPA. Each rule listed below may require a regulatory flexibility analysis or a rural area flexibility analysis, pursuant to SAPA sections 202-b and 202-bb, respectively.

The public may submit written comments in regard to this regulatory agenda to the agency representative indicated at the end of this agenda.

Administration

Considering the adoption of hearing rules and procedures to standardize the governance of all Gaming Commission administrative hearings.

Charitable Gaming

Considering amendments to various charitable gaming regulations to implement aspects of legislation, including regulation of internet raffle sales by authorized organizations.

Commercial Casinos

Considering the addition of a new rule requiring gaming facility licensees to notify the Commission in the event the licensee is penalized for casino-related infractions in another jurisdiction.

Considering amendments to casino licensing rules to reduce regulatory burdens on licensees.

Horse Racing

Considering the amendment of rules concerning show wagering in Thoroughbred racing.

Considering amendments to Thoroughbred jockey suspensions rule to allow for discretion to order all or a portion of the suspension to be served at the same track where the violation occurred.

Considering amendments to the claiming eligibility rules in Thoroughbred racing.

Considering amendments to harness racing rules in regard to time limits for requesting hearings and racing hearing rules to specify procedures for service of agency notices.

Considering amendments to the pick-five wagering in Thoroughbred racing.

Considering amendments to the pick-four wagering in Thoroughbred and harness racing.

Considering amendments to licensing requirements for jockey agents.

Considering amending weigh-out requirements for jockeys.

Interactive Fantasy Sports

Considering the adoption of regulations implementing Article 14 of the Racing, Pari-Mutuel Wagering and Breeding Law.

Lottery

Considering administrative fees for the processing of prize payments.

Considering regulation of advertising of lottery courier services.

Information may be obtained, and written comments may be submitted, regarding any of the above proposed amendments by contacting:

Kristen Buckley

New York State Gaming Commission

One Broadway Plaza

P.O. Box 7500

Schenectady, NY 12301-7500

(518) 388-3407

gamingrules@gaming.ny.gov

This agenda is posted on the Commission's website at <https://www.gaming.ny.gov/proposedrules.php>

RULE REVIEW

Education Department

Notice of Review of Existing Rules Pursuant to State Administrative Procedure Act Section 207 (Calendar Years 2019, 2018, 2017, 2014, 2013, 2012, 2007, 2002 and 1997)

Section 207(1)(a) of the State Administrative Procedure Act (SAPA) requires that each State agency review each of its rules which is adopted on or after January 1, 1997 in the calendar year specified in the notice of adoption for the rule, provided that at a minimum every rule shall be initially reviewed no later than in the fifth calendar year after the year in which the rule is adopted, and, thereafter, every rule shall be re-reviewed at five-year intervals.

Additionally, section 207(1)(b) of SAPA requires that, for any rule adopted on or after January 1, 2013, for which a regulatory flexibility analysis, rural area flexibility analysis, or job impact statement is required, the initial review shall occur no later than in the third calendar year after the year in which the rule is adopted; provided, however, that the agency may propose a different review period in such analysis or statement, along with its justification for doing so, and shall invite public comment thereon.

Pursuant to SAPA section 207, the State Education Department submits the following list of its rules that were adopted during calendar years 2019, 2018, 2017, 2014, 2013, 2012, 2007, 2002 and 1997 and invites public comment on the continuation or modification of such rules. All section and part references are to Title 8 of the New York Code of Rules and Regulations. Comments should be sent to the respective agency representative listed below for each particular rule, and must be received within 45 days of the date of publication of this Notice.

A. CALENDAR YEAR 2019 (3 YEAR REVIEW)

OFFICE OF P-12 EDUCATION

Sections 114.1 Breakfast after the Bell

Description of Rule: School breakfast programs.

Need for Rule: To initiate, maintain, or expand school breakfast programs and make technical amendments to conform to federal requirements.

Legal Basis for Rule: Education Law sections 101(not subdivided), 207(not subdivided), 208 (not subdivided), 209 (not subdivided), 305(1) and (2) and Sections 4 and 5 of Chapter 537 of the Laws of 1976, as amended by Chapter 56 of the Laws of 2018.

Section 114.5 Meal Shaming

Description of Rule: Prohibition against meal shaming.

Need for Rule: Requires certain schools to develop a plan to prohibit against meal shaming or treating pupils with unpaid meal fees differently.

Legal Basis for Rule: Education Law sections 101(not subdivided), 207(not subdivided), 208 (not subdivided), 209 (not subdivided), 305(1) and (2) and 908 (as added by Section 1, Part B of Chapter 56 of the Laws of 2018).

Sections 52.21 and 80-3.10 Principal Preparation Pilot Program

Description of Rule: P-20 Principal Preparation Pilot Program.

Need for Rule: To establish the requirements for the P-20 Principal Preparation Pilot Program.

Legal Basis for Rule: Education Law 102, 207, 305, 3004, 3006, 3006-a and 3009.

Section 154-2.3(i) ELL Grade Span Waiver

Description of Rule: English Language Learner grade span requirement.

Need for Rule: To provide a one-year renewable waiver to expand the allowable grade span for English as a New Language and Bilingual Education classes to three contiguous grades.

Legal Basis for Rule: Education Law sections 207, 208, 315, 305, 2117, 2854(1)(b), and 3204.

Sections 52.21 and 80-4.3 CTE Extension

Description of Rule: Limited extensions and program requirements for certain career and technical education teachers.

Need for Rule: To create an extension for holders of the Career and Technical Education Certificate to teach grades 5 and 6.

Legal Basis for Rule: Education Law sections 101, 205, 207, 3001, 3004 and 3009.

Sections 80-3.15 and 80-4.3 Special Education SOCE and Limited Extensions

Description of Rule: Addition of certificate titles eligible for grade level extensions, limited extensions, and a statement of continued eligibility.

Need for Rule: To create limited extensions and continued eligibility in the core subject areas for teachers of students with disabilities.

Legal Basis for Rule: Education Law sections 101, 207, 210, 215, 305, 3001, 3004 and 3009.

Sections 100.2, 200.1, 200.2, 200.3, 200.4, 200.5, 200.15 IDEA

Description of Rule: Students with disabilities.

Need for Rule: To conform the Commissioner's regulations to Chapters 422, 428 and 429 of the Laws of 2017 and Chapter 32 of the Laws of 2018.

Legal Basis for Rule: Education Law sections 101, 207, 305(1), (2) and (20), 3204, 4402, 4403(3) and 4410(13), Chapters 422, 428 and 429 of the Laws of 2017 and Chapter 32 of the Laws of 2018.

Sections 100.2(hh), 200.7, 200.20 and Subpart 57-1 Child Abuse in an Educational Setting

Description of Rule: Reports of child abuse in an educational setting.

Need for Rule: To implement the provisions of Chapter 363 of the Laws of 2018 relating to reports of child abuse in an educational setting.

Legal Basis for Rule: Education Law sections 101, 207, 305, 1125(1)-(10), 1126(1)-(4), 1128(1)-(4), 1132(1)-(4), 3028-b and Chapter 363 of the Laws of 2018.

Agency Representative:

Information may be obtained, and written comments may be

submitted, concerning any of the above proposed amendments by contacting:

Kimberly Young Wilkins
Deputy Commissioner for P12 Instructional Support
New York State Education Department
Room 875, Education Building Annex
89 Washington Avenue
Albany, New York 12234
(518) 486-1954
nysedp12@nysed.gov

OFFICE OF HIGHER EDUCATION

Section 80-3.7 Certificate Progression

Description of Rule: Certificate Progression Pathway.

Need for Rule: To establish initial certificate requirements for individuals who have a graduate degree and two years of postsecondary teaching experience.

Legal Basis for Rule: Education Law sections 207, 205, 3001, 3004 and 3006.

Section 80-1.5 Science Safety Net

Description of Rule: Creation of safety nets for the science Content Specialty Tests (CSTs).

Need for Rule: To allow candidates to be held harmless during a one-year transition period from the predecessor CSTs to the revised CST's.

Legal Basis for Rule: Education Law sections 101 (not subdivided), 207 (not subdivided), 305, 3001, 3003, 3004 and 3009.

Sections 80-3.15 and 80.4-3 SOCE

Description of Rule: Statement of continued eligibility for certain teachers of students with disabilities.

Need for Rule: To extend the deadline to apply for a statement of continued eligibility for certain teachers of students with disabilities.

Legal Basis for Rule: Education Law sections 101, 207, 210, 215, 305, 3001, 3003, 3004 and 3009.

Sections 52.21 and 80-3.7 Student Teaching

Description of Rule: Student teaching requirements for teacher certification and the registration of teacher preparation programs.

Need for Rule: To amend the student teaching requirements for teacher certification and registered teacher education programs.

Legal Basis for Rule: Education Law sections 207, 305, 3001 and 3004.

Section 80-5.25 Transitional H

Description of Rule: Transitional H Pathway for School District Business Leader certification.

Need for Rule: To expand the type of eligible experiences for the Transitional H Pathway for School District Business Leader certification.

Legal Basis for Rule: Education Law sections 101, 207, 305, 3001, 3004 and 3009.

Sections 52.21, 80-2.1, 80-3.1, 80-3.11, 80-3.12 and 80-5.23 and the Titles of Subparts 80-2 and 80-3 School Counselor

Description of Rule: Registration requirements for school counselor programs and certification requirements for school counselors.

Need for Rule: To amend requirements for registered school counselor programs and the certification requirements for school counselors.

Legal Basis for Rule: Education Law sections 101, 207, 210, 215, 305, 3001, 3003, 3006 and 3009.

Section 80-1.5 Educational Technology Specialist

Description of Rule: Safety Net for the Educational Technology Specialist Content Specialty Test (CST).

Need for Rule: To extend the Educational Technology Specialist Content Specialty Test (CST) safety net through June 30, 2019.

Legal Basis for Rule: Education Law sections 101, 207(not subdivided), 210, 305, 3001, 3004 and 3009.

Section 80-1.5 Library Specialist

Description of Rule: Extension of the edTPA Safety Net for candidates who receive a failing score on the library specialist edTPA.

Need for Rule: To extend the edTPA safety net expiration date to enable candidates to be held harmless during the edTPA Library Specialist handbook revision process.

Legal Basis for Rule: Education Law §§ 101, 207(not subdivided), 210, 305, 3001, 3004 and 3009.

Section 52.21 Transitional D

Description of Rule: Requirements for Transitional D programs that lead to School District Leader certification.

Need for Rule: To require that Transitional D programs provide written notification to candidates that they must apply for the Transitional D certificate upon enrollment in the program and submit an institutional recommendation for certification immediately upon the candidates' enrollment to ensure that candidates know they must apply for certification and would receive an institutional recommendation for certification in a timely manner.

Legal Basis for Rule: Education Law sections 101 (not subdivided), 207 (not subdivided), 305, 3001, 3004 and 3009.

Section 80-5.17 Conditional Initial Certificate Requirements

Description of Rule: Conditional Initial certificate requirements.

Need for Rule: To provide that candidates may be eligible for an Initial certificate even after their conditional Initial certificate expires.

Legal Basis for Rule: Education Law sections 207 (not subdivided), 305, 3001, 3004, 3006 and 3009.

Section 80-6.1 Continuing Teacher and Leader Education (CTLE)

Description of Rule: Continuing Teacher and Leader Education (CTLE) for educators in nonpublic schools.

Need for Rule: To make technical amendments to the CTLE regulations for educators employed in nonpublic schools.

Legal Basis for Rule: Education Law sections 101 (not subdivided), 207 (not subdivided), 305, 3001, 3004, 3006, 3006-a and 3009.

Sections 80-5.8 and 805.20 Endorsement

Description of Rule: Eligibility requirements for the endorsement pathway for certification as an educator in the classroom teaching service.

Need for Rule: To provide candidates with more flexibility to be eligible for the endorsement pathway.

Legal Basis for Rule: Education Law sections 207, 305, 3001, 3004, 3006, 3007 and 3009.

Sections 52.21, 75.8, 200.2, 90.18, 100.2, 100.13, 100.15, 100.17, and 100.19, Subparts 151-1, 154-2, 57-2, Parts 30 and 80, and Repeal of 80-3.6, 80-3.4(a)(1), 80-3.10(a)(2)(i) and (b)(1) and 80-3.10(c)(1) Professional Development Plan

Description of Rule: Relates to professional development plans and other related requirements for school districts and BOCES.

Need for Rule: To improve the quality of teaching and learning for teachers and leaders for professional growth.

Legal Basis for Rule: Education Law sections 101, 2017, 305(1), 3004(1), 3006, 3006-a and 3009.

Agency Representative:

Information may be obtained, and written comments may be submitted, concerning the continuation or modification of any of the above rules by contacting:

William P. Murphy
Deputy Commissioner for the Office of Higher Education
New York State Education Department
Office of Higher Education
Room 975, Education Building Annex
89 Washington Avenue
Albany, New York 12234
(518) 402-3602
OHEREGComments@nysed.gov
OFFICE OF THE PROFESSIONS

Sections 63.4 and 63.9 Administration of Vaccines by Pharmacy Interns

Description of Rule: The administration of certain vaccines by pharmacy interns.

Need for Rule: To implement the provisions of Chapter 359 of the Laws of 2018.

Legal Basis for Rule: Education Law 207(not subdivided), 6504(not subdivided) 6507(2), 6527(7), 6801(2), 6801(4), 6802(22), 6806, 6902(1) and 6909(7) and Chapter 359 of the Laws of 2018.

Section 63.6 Pharmacy Certificate Sales.

Description of Rule: Certification of manufacturers and wholesalers for export purposes.

Need for Rule: To clarify who may issue free sale certificates to New York State registered manufacturers or wholesalers.

Legal Basis for Rule: Education Law 207(not subdivided), 6504(not subdivided), 6507(2), 6801(1), 6802(7), 6802(16), 6802(18), 6802(21), 6808(4).

Section 64.7 RN Immunizations

Description of Rule: Execution by registered professional nurses of non-patient specific orders to administer immunizations.

Need for Rule: To conform the regulation to current immunization standards.

Legal Basis for Rule: Education Law 207(not subdivided), 6504(not subdivided) 6507(2), 6902(1), and 6909(5) and (7).

Agency Representative:

Information may be obtained, and written comments may be submitted, concerning any of the above proposed amendments by contacting:

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Office of the Professions

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opdepcom@nysed.gov

OFFICE OF CULTURAL EDUCATION

Sections 179.3 and 179.5 Public Broadcasting

Description of Rule: Reports required by educational broadcast councils and radio stations.

Need for Rule: Reduce costs incurred by each Council and Radio Station for redundant annual certified audits & to streamline reporting process.

Legal Basis for Rule: Education Law sections 207 and 236.

Agency Representative:

Information may be obtained, and written comments may be submitted, concerning the modification or continuation of any of the above rules by contacting:

Tom Ruller

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B. CALENDAR YEAR 2018 (4 YEAR REVIEW)

The State Education Department did not adopt any rules in the year 2018 to be initially reviewed in 4 years.

C. CALENDAR YEAR 2017 (5 YEAR REVIEW)

OFFICE OF P-12 EDUCATION

Sections 100.2 and 100.5 LOTE Examinations

Description of Rule: Criteria for approval of pathway assessments in Languages Other Than English (LOTE).

Need for Rule: To provide for approval of pathway examinations in Languages Other Than English (LOTE), to meet diploma requirements.

Legal Basis for Rule: Education Law sections 101, 207 (not subdivided) 208(not subdivided), 209(not subdivided), 305(1), 309(not subdivided) and 3204.

Section 100.5(d)(7) ELL Appeal

Description of Rule: Eligible score band of an appeal of the English Language Arts Regents Examination for eligible English Language Learners (ELLs).

Need for Rule: To align the Commissioner's regulations with the recent expansion of the eligible score band for appeals for certain Regents Examinations for all students.

Legal Basis for Rule: Education Law sections 101(not subdivided), 207(not subdivided), 208(not subdivided), 209(not subdivided), 215(not subdivided), 305(1) and (2), 2117(1) and 3204(2) and (2-a).

Agency Representative:

Information may be obtained, and written comments may be submitted, concerning any of the above proposed amendments by contacting:

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(518) 486-1954

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OFFICE OF THE PROFESSIONS

Section 64.7 STI Screening

Description of Rule: Screening of individuals at increased risk of syphilis, gonorrhea and chlamydia (sexually transmitted infections or STIs).

Need for Rule: To allow execution by registered professional nurses of non-patient specific orders to screen persons at increased risk of STIs.

Legal Basis for Rule: Education Law sections 207(not subdivided), 6504(not subdivided), 6507(2)(a), 6527(6)(g), 6902(1), 6909(4)(g), and Chapter 502 of the Laws of 2016.

Sections 60.12, 63.13, 64.5 HIV

Description of Rule: Dispensing of post-exposure prophylaxis drugs to prevent HIV in persons who may have been recently exposed.

Need for Rule: To allow execution by licensed pharmacists of non-patient specific orders to dispense HIV post-exposure prophylaxis drugs.

Legal Basis for Rule: Education Law sections 207(not subdivided), 6504(not subdivided), 6507(2)(a), 6527(7-a), 6801(5), 6902(3), 6909(8), and Chapter 502 of the Laws of 2016.

Section 79-7.2 Certified Athletic Trainer Education Requirements

Description of Rule: Education requirements for certification as a certified athletic trainer.

Need for Rule: To conform the Commissioner's regulations to current national education standards for certification by elimination the education pathway (8 NYCRR 79-7.2(b)) by July 1, 2022.

Legal Basis for Rule: Education Law §§ 207(not subdivided), 6504(not subdivided), 6507(2)(a) and 8355(2).

Section 62.8 Veterinary Continuing Education

Description of Rule: Continuing education requirements for veterinarians and veterinary technicians.

Need for Rule: To provide that veterinarians may provide free spaying and neutering services as part of their continuing education requirements.

Legal Basis for Rule: Education Law sections 207(not subdivided), 6504(not subdivided), 6507(2)(a), 6704-a and Chapter 398 of the Laws of 2016.

Agency Representative:

Information may be obtained, and written comments may be submitted, concerning any of the above proposed amendments by contacting:

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D. CALENDAR YEAR 2014 (3 YEAR INITIAL & 5 YEAR SUBSEQUENT REVIEW)

OFFICE OF P-12 EDUCATION

Section 100.2(ee) Academic Intervention Services (AIS)

Description of Rule: Academic Intervention Services (AIS).

Need for Rule: To establish modified requirements for AIS during the 2014-2015 school year.

Legal Basis of Rule: Education Law sections 101(not subdivided), 207(not subdivided), 305(1) and (2), 308(not subdivided), 309(not subdivided) and 3204(3).

Section 100.5(d) State Facility Transfer Credit

Description of Rule: Transfer credit for students in a State Agency educational program.

Need for Rule: To provide transfer credit for students in a State Agency educational program upon attestation of chief program administrator

Legal Basis of Rule: Education Law sections 101(not subdivided), 207(not subdivided), 208(not subdivided), 209(not subdivided), 210(not subdivided), 215(not subdivided), 305(1) and (2) and 309(not subdivided).

Section 100.17 Distinguished Educators

Description of Rule: Modifies criteria for appointment, roles, responsibilities, protocols and procedures for distinguished educators.

Need for Rule: To ensure the appointment, consistent with statutory requirements, of qualified individuals, who have demonstrated consistent growth in academic performance or educational expertise including superior performance in the classroom, to serve as distinguished educators to assist low performing schools.

Legal Basis of Rule: Education Law sections 207, 305(1), (2) and (20), 211-b(1-5), and 211-c(1-8).

Sections 200.1(x), 200.5(j)(3), (4), (5), and (6) and 200.16(h)(9) Special Education Impartial Hearings

Description of Rule: Impartial due process hearings for special education matters.

Need for Rule: Ensure that due process hearings are conducted in a more efficient and expeditious manner in order to meet statutory time lines.

Legal Basis of Rule: Education Laws sections 101 (not subdivided), 207 (not subdivided), 305(1) and (2) and (20), 3214(3)(g), 4402(1) and (2), 4403(3), 4404(1) and 4410(7)(b) and (13).

Agency Representative:

Information may be obtained, and written comments may be submitted, concerning the continuation or modification of any of the above rules by contacting:

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nysedp12@nysed.gov

OFFICE OF HIGHER EDUCATION

Section 30-2.1 APPR Performance Definition

Description of Rule: Definition of "performance" for Annual Professional Performance Reviews (APPR).

Need for Rule: To clarify that the references to "performance" of the teacher or principal in the classroom or school for purposes of Education Law § 3012-c(1) and (5)(b) and section 30-2.1(d) and 30-2.11(c) of the Rules of the Board of Regents are references to the teacher's or principal's performance on the APPR, as measured by the teacher's or principal's overall composite rating.

Legal Basis of Rule: Education Law sections 101(not subdivided), 207(not subdivided), 215(not subdivided), 305(1) and (2) and 3012-c.

Sections 52.21, 80-1.1, 80-3.5 and 80-3.3 edTPA

Description of Rule: Teacher performance assessment (edTPA) requirement for certification.

Need for Rule: To provide teacher candidates, who apply for teacher certification prior to June 30, 2015 and who take and fail the teacher performance assessment (edTPA) with the option of obtaining an initial certificate if the candidate passes the ATS-W prior to June 30, 2015 and subsequent to receiving his or her score on the edTPA.

Legal Basis of Rule: Education Law sections 207(not subdivided), 305(1) and (2), 3001(2), 3004(1), 3006(1)(b) and 3009(1).

Part 80 Reciprocity

Description of Rule: Reciprocity requirements for classroom teachers.

Need for Rule: To establish a standardized reciprocity process for the review of teaching candidates from other jurisdictions.

Legal Basis of Rule: Education law sections 207 (not subdivided), 305(1) and (2), 3001(2), 3004(1), 3006(1)(b), 3007(1) and (2) and 3009(1).

Section 80-1.1(b)(47) Definition of Part-Time Experience for Permanent or Professional Certification

Description of Rule: Definition of part-time experience for permanent or professional certification.

Need for Rule: To provide certification candidates serving as substitute teachers with an alternative to meet part-time continuous service experience requirements.

Legal Basis of Rule: Education Law sections 207 (not subdivided), 305(1), 3001(2), 3006(1)(b) and 3009(1).

Sections 80-3.3 and 80-3.7 Individual Evaluation

Description of Rule: Individual evaluation pathway.

Need for Rule: To discontinue the individual evaluation pathway for certain certificate titles and continue the individual evaluation pathway for all other certificate titles.

Legal Basis of Rule: Education Law sections 207(not subdivided), 210(not subdivided), 305(1) and (2), 3001(2), 3004(1), 3006(1)(b) and 3009(1)(b).

Section 102.4 Test Security

Description of Rule: Mandatory reporting requirements and testing misconduct.

Need for Rule: To formally implement the recommendations of Special Investigator Hank Greenberg to enhance the security of the State assessment program by prohibiting certain testing misconduct, establishing a mandatory reporting requirement for certain school personnel who learn of any security breach or other testing misconduct, and to sanction those who fail to comply.

Legal Basis of Rule: Education Law sections 207 (not subdivided), 225(1)-(11) and 305(1) and (2) and Civil Service Law section 75-b(2)(a).

Agency Representative:

Information may be obtained, and written comments may be submitted, concerning the continuation or modification of any of the above rules by contacting:

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Deputy Commissioner for the Office of Higher Education
New York State Education Department

Office of Higher Education
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 Albany, New York 12234
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 OHEREGComments@nysed.gov
OFFICE OF ADULT CAREER AND CONTINUING EDUCATION SERVICES (ACCES)

Section 100.7(a)(2) State High School Equivalency Diploma
 Description of Rule: State High School Equivalency Diploma.
 Need for Rule: To permit, for a limited time, acceptance of partial passing scores on one or more sub-tests of the GED® examination for the corresponding sub-test on the State High School Equivalency examination (the Test Assessing Secondary Completion – TASC).

Legal Basis of Rule: Education Laws sections 101(not subdivided), 207(not subdivided), 208(not subdivided), 209(not subdivided), 305(1) and (2), 308(not subdivided) and 3204.

Agency Representative:
 Information may be obtained, and written comments may be submitted, concerning the modification or continuation of any of the above rules by contacting:

Ceylane Meyers-Ruff
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 New York State Education Department
 89 Washington Ave., Room 575 EBA
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 ACCESDeputy@nysed.gov

E. CALENDAR YEAR 2013 (4 YEAR INITIAL & 5 YEAR SUBSEQUENT REVIEW)

OFFICE OF P-12 EDUCATION
 Section 100.2(ee) Academic Intervention Services (AIS)
 Description of Rule: Academic Intervention Services.
 Need for Rule: To establish modified requirements for AIS during the 2013-2014 school year.
 Legal Basis of Rule: Education Law sections 101(not subdivided), 207(not subdivided), 305(1) and (2), 308(not subdivided), 309(not subdivided) and 3204(3).

Sections 100.5(b)(7)(i), 100.6 and 200.5(a)(5)(iii) Career Development and Occupational Studies Credentials

Description of Rule: New York State Career Development and Occupational Studies Commencement Credentials.

Need for Rule: To establish criteria for a career development and occupational studies commencement credential for students with disabilities.

Legal Basis of Rule: Education Law sections 101(not subdivided), 207(not subdivided), 208(not subdivided), 305(1) and (2), 4402(1 - 7) and 4403(3).

Section 119.7 Charter School Charter Renewals
 Description of Rule: Charter renewals of charter schools.

Need for Rule: To clarify standards for charter renewals of charter schools for which the Board of Regents is the authorizing entity.

Legal Basis of Rule: Education Law sections 101(not subdivided), 207(not subdivided), 305(1), (2) and (20), 2851(4) and 2852(1), (2), (3), (5), (5-a), (5-b) and (6) and 2857(1).

Section 135.4(c)(7)(ii)(d) Duration of Competition in High School Athletics

Description of Rule: Duration of competition in high school athletics.

Need for Rule: To eliminate the one additional season limit on waivers for students with disabilities to participate in athletic competition.

Legal Basis of Rule: Education Law sections 101(not subdivided), 207(not subdivided), 305(1) & (2), 803(not subdivided), and 3204(2) & (3).

Agency Representative:

Information may be obtained, and written comments may be submitted, concerning the continuation or modification of any of the above rules by contacting:

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OFFICE OF HIGHER EDUCATION

Sections 80-3.3, 80-3.4, 80-3.10, 80-5.13, 80-5.15 and 80-5.20(a)(1)(v) Teacher and School District Leader Certification Examinations

Description of Rule: Teacher and School District Leader certification examinations.

Need for Rule: To adopt technical changes to the certification examination requirements for certain teachers and school district leaders.

Legal Basis of Rule: Education Law sections 207, 305(1), 3001(2), 3006(1)(b) and 3009(1).

Sections 80-1.2(b), 80-1.6 and 80-2.1(a)(2)(i) and (ii) Definition of Date of Issuance of Certificates and Expiration of Certain Permanent Certificates from Expired Provisionals

Description of Rule: Definition of date of issuance of Certificates and Expiration of Certain Permanent Certificates from Expired Provisionals

Need for Rule: To amend the definition of effective date of a certificate to allow persons to be employed in their certificate area on the date their certificate is issued, rather than the February 1 or September 1 following the issuance date of their certificates. The proposed amendment also establishes an expiration date of October 1, 2014 for the issuance of a permanent certificate in the classroom teaching service or school administrator and supervisory service for candidates with an expired provisional certificate.

Legal Basis of Rule: Education Law sections 207 (not subdivided), 305(1), 3001(2), 3006(1)(b) and 3009(1).

Agency Representative:

Information may be obtained, and written comments may be submitted, concerning the continuation or modification of any of the above rules by contacting:

William P. Murphy
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OFFICE OF THE PROFESSIONS

Sections 63.11 and 63.12 Interpretations and Translations for Prescription Drugs, Standardized Labeling and Patient-Centered Data Elements for Medications

Description of Rule: Interpretations and translations for prescription drugs, standardized labeling and patient-centered data elements for medications.

Need for Rule: To implement Part V of Chapter 57 of the Laws of 2012 regarding interpretations and translations for prescription drugs, standardized labeling and patient-centered data elements for medications.

Legal Basis of Rule: Sections 207(not subdivided), 6504(not subdivided), 6507(2)(a), 6829(1), (6), (7) and 6830 (1) of the Education Law, and Part V of Chapter 57 of the Laws of 2012.

Agency Representative:

Information may be obtained, and written comments may be submitted, concerning the continuation or modification of any of the above rules by contacting:

Sarah S. Benson
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OFFICE OF ADULT CAREER AND CONTINUING EDUCATION SERVICES (ACCES)

Section 100.8 Local Diploma Based On Experimental Programs.

Description of Rule: Local high school equivalency diplomas based upon experimental programs.

Need for Rule: To extend until June 30, 2013 the provision for awarding local high school equivalency diplomas based upon experimental programs.

Legal Basis for Rule: Education Law sections 101(not subdivided), 207(not subdivided), 208(not subdivided), 209(not subdivided), 305(1) and (2), 309(not subdivided) and 3204(3).

Part 126 and section 145-2.3 Licensure of Non-Degree Granting Private Proprietary Schools

Description of Rule: Licensure of non-degree granting private proprietary schools.

Need for Rule: To implement the provisions of Chapter 381 of the Laws of 2012 which eliminates the distinction between licensed private schools and registered business schools, replaces the phrase "licensed private schools" with the more descriptive "licensed private career schools", adjusts fees, which had not changed since 1990, and establishes a candidate school category that would allow a school to operate legally while it is in the process of obtaining a non-degree-granting proprietary school license.

Legal Basis for Rule: Education law sections 207 (not subdivided), 212(3), 305(1), 5001 through 5010 and Chapter 381 of the Laws of 2012.

Agency Representative:

Information may be obtained, and written comments may be submitted, concerning the modification or continuation of any of the above rules by contacting:

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F. CALENDAR YEAR 2012 (5 YEAR REVIEW)

OFFICE OF P-12 EDUCATION

Section 3.16(b) Charter School Public Hearings

Description of Rule: Charter school public hearings.

Need for Rule: To provide for the Commissioner to conduct, on behalf of the Board of Regents, public hearings required by Article 56 of the Education Law to solicit comments from the community on charter school matters.

Legal Basis for Rule: Education Law sections 101(not subdivided), 206(not subdivided), 207(not subdivided), 305(1), (2) and (20), 2853(3)(a) and 2857(1-a).

Section 100.2(c) Instruction in Civility, Citizenship and Character Education and the Dignity for All Students Act (DASA)

Description of Rule: Instruction in civility, citizenship and character education.

Need for Rule: To provide for instruction in civility, citizenship and character education in relation to the Dignity for All Students Act.

Legal Basis for Rule: Education Law sections 101 (not subdivided), 207(not subdivided), 305(1) and (2), and 801-a(1)(not subdivided), and section 3 of Chapter 482 of the Laws of 2010.

Sections 100.2(l) and 119.6 Codes of Conduct to implement the Dignity for All Students Act (DASA)

Description of Rule: Codes of conduct.

Need for Rule: To conform Commissioner's Regulations on Codes of Conduct to the Dignity for All Students Act.

Legal Basis for Rule: Education Law sections 11(1)-(7), 12(1) and (2), 13(1)-(3), 14(1) and (3), 101 (not subdivided), 207(not subdivided), 305(1) and (2), and 2801(1)-(5), and sections 2 and 4 of Chapter 482 of the Laws of 2010.

Section 100.2(jj) Policy and Guidelines Prohibiting Discrimination and Harassment of Students (Dignity Act Training)

Description of Rule: Policy and guidelines relating to the Dignity for All Students Act prohibiting discrimination and harassment of students.

Need for Rule: To establish standards and criteria for issuance of policy and guidelines relating to the Dignity for All Students Act (Ch.482, L. 2010) prohibiting discrimination and harassment of students.

Legal Basis for Rule: Education Law sections 11(1-7), 12(1) and (2), 13(1-3), 14(1-3), 101(not subdivided), 207(not subdivided), 305(1) and (2) and 2854(1)(b), and Chapter 482 of the Laws of 2010.

Section 100.2(kk) Dignity for All Students Act Reporting Requirements

Description of Rule: Standards for reporting material incidents of discrimination and harassment under the Dignity for All Students Act.

Need for Rule: To establish standards for reporting material incidents of discrimination and harassment under the Dignity for All Students Act.

Legal Basis for Rule: Education Law sections 11(1-7), 15(not subdivided), 16(not subdivided), 101(not subdivided), 207(not subdivided), 305(1) and (2) and 2854(1)(b), and Chapter 482 of the Laws of 2010.

Section 100.5 Diploma Requirements for Students with Disabilities

Description of Rule: Diploma Requirements for Students with Disabilities.

Need for Rule: To provide a new safety net option for students with disabilities to earn a local diploma through the use of compensatory scoring.

Legal Basis for Rule: Education Law sections 101 (not subdivided), 207 (not subdivided), 208 (not subdivided), 209 (not subdivided), 305(1) and (2), 308 (not subdivided) and 309 (not subdivided).

Sections 100.5, 100.6, 100.9 and 200.5 Skills and Achievement Commencement Credential.

Description of Rule: Skills and Achievement Commencement Credential.

Need for Rule: To replace the Individualized Education Program (IEP) diploma with a Skills and Achievement Commencement Credential.

Legal Basis for Rule: Education Law sections 101(not subdivided), 207(not subdivided), 208(not subdivided), 305(1) and (2), 4402(1 - 7) and 4403(3).

Sections 100.18, 100.2(m), 100.17(c)(3)(i), 120.3 and 120.4 Elementary and Secondary Education Act (ESEA) Flexibility and School District Accountability

Description of Rule: ESEA Flexibility Waiver and school and school district accountability.

Need for Rule: The rule is necessary to conform Commissioner's Regulations to New York's Elementary and Secondary Education Act (ESEA) Flexibility Waiver, as approved by the U.S. Department of

Education Secretary on May 29, 2012, and address the Regents Reform Agenda.

Legal Basis for Rule: Education Law sections 101(not subdivided), 207(not subdivided), 210(not subdivided), 215(not subdivided), 305(1) and (2), 309(not subdivided) and 3713(1) and (2).

Sections 136.5 and 135.4 Concussion Management and Awareness
Description of Rule: Concussion management and awareness.

Need for Rule: To establish criteria relating to mild traumatic brain injury sustained by pupils during instruction or school activities.

Legal Basis for Rule: Education Law sections 207(not subdivided), 305(1), (2) and (42) and 2854(1)(b) and Chapter 496 of the Laws of 2011.

Sections 200.2, 200.3, 200.4 and 200.5 Special Education Services for Students with Disabilities-Additional Parent Member of CSE and Electronic Access to IEPs

Description of Rule: Additional parent member of CSE and electronic access to IEPs.

Need for Rule: To conform Commissioner's Regulations to Chapters 276 and 279 of the Laws of 2012 regarding the additional parent member of a committee on special education (CSE) and electronic access to students' individualized education programs (IEPs).

Legal Basis for Rule: Education Laws sections 101(not subdivided), 207(not subdivided), 305(1) and (2) and (20), 4402(1)(b)(1)(b) and (7)(a), 4403(3) and 4410(13) and Chapters 276 and 279 of the Laws of 2012.

Sections 200.4 and 200.16 Preschool and School-Age Individual Evaluations

Description of Rule: Changes the timeline for the required completion of preschool evaluations; repeals the requirement that a psychologist prepare a written report of his/her determination as to whether each student with a disability needs a psychological assessment as part of his or her reevaluation; and clarifies that the 60-day timeline applies to initial individual evaluations to determine a student's eligibility for special education.

Need for Rule: To provide mandate relief relating to special education requirements.

Legal Basis for Rule: Education Law sections 101(not subdivided), 207(not subdivided), 305(1) and (2), 4402(1), 4403(3), 4410(13).

Agency Representative:

Information may be obtained, and written comments may be submitted, concerning the continuation or modification of any of the above rules by contacting:

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OFFICE OF HIGHER EDUCATION

Subpart 4-1 Institutional Accreditation for Title IV Purposes

Description of Rule: Voluntary institutional accreditation for Title IV purposes.

Need for Rule: To conform the Regents Rules to federal regulations relating to voluntary institutional accreditation for Title IV purposes.

Legal Basis for Rule: Education Law sections 207(not subdivided), 210(not subdivided), 214(not subdivided) 215(not subdivided) and 305(1) and (2).

Section 52.21 and Part 80 Transitional B and C Certificates and Program Registration Standards Leading to Such Certificates

Description of Rule: Transitional B and C certificates and program registration standards leading to such certificates.

Need for Rule: To allow certified teachers to enter a Transitional B or C certificate program to become certified in a different area.

Legal Basis for Rule: Education Law sections 207(not subdivided), 305(1), 3001(2), 3006(1)(b) and 3009(1).

Sections 80-1.5, 80-3.3, 80-3.4, 80-3.9, 80-3.10, 80-5.13, 80-5.14 and 80-5.22 Teacher and School Building Leader Certification Examinations

Description of Rule: Teacher and school building leader certification examinations.

Need for Rule: To establish the timeframes and what new certification examinations will be required for each certificate title.

Legal Basis for Rule: Education Law sections 207, 305(1), 3001(2), 3006(1)(b) and 3009(1).

Subpart 82-1 Teacher Discipline

Description of Rule: Procedures for hearings on charges against tenured school employees.

Need for Rule: To implement the provisions of Education Law section 3020-a, as amended by Chapter 57 of the Laws of 2012, relating to procedures for hearings on charges against tenured school employees.

Legal Basis for Rule: Education Law sections 207(not subdivided), 305(1) and (2), and 3020-a, as amended by Part B of Chapter 57 of the Laws of 2012.

Section 87.5 Due Process Procedures for Criminal History Record Checks of Prospective School Employees and Applicants for Certification

Description of Rule: Due Process Procedures for Criminal History Record Checks of Prospective School Employees and Applicants for Certification.

Need for Rule: To eliminate oral argument in appeals of State Education Department determinations denying clearance for employment for prospective school employees and applicants for certification as a result of a criminal history record check.

Legal Basis for Rule: Education Law sections 207(not subdivided), 305(30)(a), 3001-d(1-4) and 3035(3)(a).

Sections 145-2.1, 145-2.2 and 145-2.4 Tuition Assistance Program

Description of Rule: Updates the criteria for determining student eligibility for Tuition Assistance Program (TAP).

Need for Rule: To revise and clarify the criteria for determining student eligibility to participate in the Tuition Assistance Program (TAP).

Legal Basis for Rule: Education Law sections 207 and 661 of the Education Law.

Agency Representative:

Information may be obtained, and written comments may be submitted, concerning the continuation or modification of any of the above rules by contacting:

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OFFICE OF THE PROFESSIONS

Sections 29.7(a) and 63.6(a)(7) Electronic Prescriptions

Description of Rule: Form and use of electronic prescriptions and maintenance of prescriptions by pharmacists in a secure electronic record.

Need for Rule: To implement Education Law sections 6802(23), as added, and section 6810(6)(a), as amended, by Chapter 590 of the Laws of 2011 regarding the form and use of electronic prescriptions and maintenance of prescriptions by pharmacists in a secure electronic record.

Legal Basis for Rule: Education Law sections 207 (not subdivided),

6504 (not subdivided), 6506(1), 6507(2)(a), 6509(9), 6802(23) and 6810(6)(a), and Chapter 590 of the Laws of 2011.

Sections 29.18 and 59.15 Waiver Requirements from Corporate Practice Restrictions for Special Education Schools and Early Intervention Agencies

Description of Rule: Waiver requirements for special education schools and early intervention agencies.

Need for Rule: To implement Chapter 581 of the Laws of 2011 by establishing waiver requirements for special education schools and early intervention agencies.

Legal Basis for Rule: Education Law 207(not subdivided), 6501(not subdivided), 6503-b, 6504(not subdivided), 6506(2), 6507(2)(a), 6508(1), 6509(9), 6510(1)-(9) and 6511(not subdivided).

Sections 52.41, 77.1 and 77.11 Professional Study Requirements for Licensure in Physical Therapy

Description of Rule: Educational requirements for licensure as a physical therapist.

Need for Rule: To conform the regulations to Chapter 410 of the Laws of 2011, which raised the educational requirements for licensure in the profession of physical therapy from a bachelor's degree to a master's degree in physical therapy.

Legal Basis for Rule: Education Law sections 207(not subdivided), 6504(not subdivided), 6506(1), 6507(2)(a), and 6734(b), and Chapter 410 of the Laws of 2011.

Sections 52.42 and 79-4.8 through 79-4.17 Polysomnographic Technologists

Description of Rule: Polysomnographic technologists.

Need for Rule: To implement the provisions of Chapter 262 of the Laws of 2011, which authorizes the Department to define, in regulation, the practice of polysomnographic technology and set forth the standards to be met for authorization.

Legal Basis for Rule: Education Law sections 207(not subdivided), 212(3), 6504(not subdivided), 6506(1), (2), (5), (6), (8), (9) and (10), 6507(2)(a), 6508(1), (2), (3) and (7), and 8505(5) and Chapter 262 of the Laws of 2011.

Section 60.10 Approval of International Medical Schools for Long-Term Clinical Clerkship Placements

Description of Rule: Approval of international medical schools for long-term clinical clerkship placements.

Need for Rule: To establish the approval standards and procedures for international medical schools to place students in long term clerkships in New York.

Legal Basis for Rule: Education Law sections 207(not subdivided), 6501 (not subdivided), 6504(not subdivided), 6506(1), 6507(2)(a) and 6508(1).

Section 63.9 Administration of Acute Herpes Zoster (Shingles) Vaccinations by Pharmacists

Description of Rule: Authorizes qualified pharmacists to administer acute herpes zoster vaccinations.

Need for Rule: To implement Chapter 116 of the Laws of 2012 which authorizes qualified pharmacists to administer acute herpes zoster vaccinations.

Legal Basis for Rule: Education Law sections 207 (not subdivided), 6504 (not subdivided), 6506(1), 6507(2)(a), 6527(7), 6801(5), 6802(23) and 6909(7), and Chapter 116 of the Laws of 2012.

Sections 76.4, 76.5, 76.6, 76.7 and 76.9 Occupational Therapy

Description of Rule: Occupational therapy.

Need for Rule: To implement Chapter 460 of the Laws of 2011, relating to the profession of occupational therapy.

Legal Basis for Rule: Education Law sections 207 (not subdivided), 6504 (not subdivided), 6507(2)(a) and 7906 (4) and (7) and Chapter 460 of the Laws of 2011.

Sections 79-1.1 and 79-1.2 Landscape Architecture

Description of Rule: Landscape architecture.

Need for Rule: To align Landscape Architect Registration Examination admission requirements with national standards and clarify

professional study and experience requirements for landscape architecture candidates.

Legal Basis for Rule: Education Law sections 207(not subdivided), 6504(not subdivided), 6506(1), 6507(2)(a) and 7324(1) and (2).

Agency Representative:

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OFFICE OF ADULT CAREER AND CONTINUING EDUCATION SERVICES (ACCES)

Section 100.5(b)(7) Veterans Diploma

Description of Rule: Award of local high school diploma to veterans of World War II, the Korean Conflict and the Vietnam War.

Need for Rule: To prescribe requirements for award of high school diplomas to veterans of World War II, the Korean Conflict and the Vietnam War.

Legal Basis for Rule: Education Law sections 101(not subdivided), 207(not subdivided), 208(not subdivided), 209(not subdivided), 305(1), (2), (29), (29-a) and (29-b), 309(not subdivided) and 3204(3).

Section 100.8 National External Diploma Extension

Description of Rule: Local high school equivalency diplomas based upon experimental programs.

Need for Rule: To extend until June 30, 2013 the provision for awarding local high school equivalency diplomas based upon experimental programs.

Legal Basis for Rule: Education Law sections 101(not subdivided), 207(not subdivided), 208(not subdivided), 209(not subdivided), 305(1) and (2), 309(not subdivided) and 3204(3).

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G. CALENDAR YEAR 2007 (5 YEAR REVIEW)

OFFICE OF P-12 EDUCATION

Section 100.5 Regents Diploma With Honors

Description of Rule: Regents Diplomas with honors and Regents Diplomas with Advanced Designation with honors.

Need for Rule: To revise and clarify diploma requirements, provide flexibility to schools, and alternatives for students who seek a Regents Diploma with honors or a Regents Diploma with Advanced Designation with honors.

Legal Basis for Rule: Education Law sections 101 (not subdivided), 207 (not subdivided), 208 (not subdivided), 209 (not subdivided), 305(1) and (2), 308 (not subdivided), 309 (not subdivided) and 3204(3).

Section 120.4 Supplemental Educational Services (SES)

Description of Rule: Supplemental Educational Services (SES).

Need for Rule: To prescribe requirements regarding the use of rewards and incentives by SES providers; to revise reporting dates for SES providers and local educational agencies (LEAs); and to correct inaccurate references in the SES regulations.

Legal Basis for Rule: Education Law sections 101(not subdivided), 207(not subdivided), 305(1), (2) and (33), 308(not subdivided), 309(not subdivided) and 3713(1) and (2).

Sections 100.13 and 170.12 Contracts for Excellence

Description of Rule: Establishes requirements for Contracts for Excellence.

Need for Rule: To establish requirements for Contracts for Excellence, including allowable programs and activities, criteria for public reporting by school districts of their total foundation aid expenditures, and other requirements for purposes of preparation of the Contracts by certain specified school districts.

Legal Basis for Rule: Education Law sections 101 (not subdivided), 207 (not subdivided), 215 (not subdivided), 305(1) and (2), 211-d(1-9), and Chapter 57 of the Laws of 2007.

Sections 21.3 and 100.12 Loan of Instructional Computer Hardware

Description of Rule: Loan of instructional computer hardware.

Need for Rule: To implement Education Law sections 753 and 754, as added by Chapter 57 of the Laws of 2007, to provide for the loan of instructional computer hardware from public school districts to nonpublic school students.

Legal Basis for Rule: Education Law sections 101 (not subdivided), 207 (not subdivided), 215 (not subdivided), 305 (1) and (2), 753 (1) and 754 (1) and sections 7-a and 7-b of Chapter 57 of the Laws of 2007.

Section 151-1 Universal Pre-Kindergarten Program

Description of Rule: Universal Pre-Kindergarten program.

Need for Rule: Conforms the Commissioner's Regulations to Education Law section 3602-e, as amended by Chapter 57 of the Laws of 2007, by establishing uniform quality standards for prekindergarten programs, criteria relating to program design, procedures for applying for universal prekindergarten grants, procedures by which districts select eligible agency collaborators through a competitive process, and facility requirements.

Legal Basis for Rule: Education Law sections 101 (not subdivided), 207 (not subdivided) and 3602-e(1), (2), and (5)-(16), and section 19 of Chapter 57 of the Laws of 2007.

Sections 100.2, 120.6, 200.1 through 200.9, 200.13, 200.14, 200.16, 200.22, 201.2 through 201.11 Special Education programs and services

Description of Rule: Special education programs and services.

Need for Rule: To conform regulations to the reauthorization of the Individuals with Disabilities Education Act (IDEA); ensure consistency in procedural safeguards; promotes timely evaluations and services; and facilitates services in the least restrictive environment for students with disabilities.

Legal Basis for Rule: Education Law sections 207 (not subdivided), 3208 (1-5), 3209(7), 3214(3), 3602-c(2), 3713(1) and (2), 4002(1-3), 4308(3), 4355(3), 4401(1-11), 4402 (1-7), 4403(3), 4404(1-5), 4404-a(1-7), and 4410(13).

Section 154.2, Repeal and Addition of Section 154.3 and Repeal of Section 154.4 Pupils with Limited English Proficiency

Description of Rule: Students with limited English proficiency.

Need for Rule: Conforms the Commissioner's Regulations to section 10 of Chapter 57 of the Laws of 2007 by prescribing requirements for the development of comprehensive plans for students with limited English proficiency by school districts.

Legal Basis for Rule: Education Law sections 207, 215, 2117(1), 3204(2),(2-a),(3) and (6) and section 10 of Chapter 57 of the Laws of 2007.

Section 175.5 Superintendents' Conference Days

Description of Rule: Superintendents' conference days.

Need for Rule: To extend for two years the provision in section 175.5(f) of the Commissioner's Regulations that allows a school

district to use up to two of its superintendents' conference days for teacher rating of State assessments.

Legal Basis for Rule: Education Law sections 101 (not subdivided), 207 (not subdivided) and 3604(8).

Section 3.16 Delegation of Authority with Respect to Certain Charter School Hearings

Description of Rule: Delegation of authority to conduct and hold public hearings concerning charter schools under Education Law section 2857(1-a).

Need for Rule: Delegates to the Commissioner of Education the Board of Regents' authority to conduct and hold public hearings to solicit comments from the community in connection with the issuance, revision, or renewal of a charter school's charter pursuant to Education Law section 2857(1-a).

Legal Basis for Rule: Education Law sections 101 (not subdivided), 206 (not subdivided), 207 (not subdivided), 305(1), (2) and (20) and 2857(1) and (1-a) and section 7 of Part D-2 of Chapter 57 of the Laws of 2007.

Section 119.4 Charter School Public Hearings

Description of Rule: Procedures for public hearings concerning charter schools pursuant to Education Law section 2857(1). Establishes procedures for the conduct of public hearings by school districts to solicit comments from the community in connection with the issuance, revision, or renewal of a charter school's charter pursuant to Education Law section 2857(1).

Need for Rule: Establishes procedures for the conduct of public hearings by school districts to solicit comments from the community in connection with the issuance, revision, or renewal of a charter school's charter pursuant to Education Law section 2857(1).

Legal Basis for Rule: Education Law sections 101 (not subdivided), 206 (not subdivided), 207 (not subdivided), 305(1), (2) and (20) and 2857(1) and section 7 of Part D-2 of Chapter 57 of the Laws of 2007.

Section 170.13 Fiscal Maintenance of Effort

Description of Rule: Fiscal maintenance of effort; definition of "city funds".

Need for Rule: To define "city funds" for purposes of determining maintenance of effort in cities having a population of one hundred twenty-five thousand or more inhabitants and less than one million inhabitants pursuant to Education Law section 2576(5-b), including state and private funding sources over which the city has no discretion, and which are to be excluded from the calculation of city funds subject to the maintenance of effort requirement.

Legal Basis for Rule: Education Law sections 101 (not subdivided), 207 (not subdivided) and 305(1) and (2) and 2576(5-b) and section 9 of Part B of Chapter 57 of the Laws of 2007.

Section 100.3 Program Requirements for Students in Prekindergarten and Kindergarten

Description of Rule: Program requirements for prekindergarten and kindergarten programs.

Need for Rule: To align program requirements for prekindergarten and kindergarten programs operated by school districts and voluntarily registered nonpublic schools with those established for State-funded universal kindergarten programs.

Legal Basis for Rule: Education Law sections 101 (not subdivided), 207 (not subdivided), 208 (not subdivided), 215 (not subdivided), 305(1) and (2), 308 (not subdivided), and 309 (not subdivided).

Section 117 Diagnostic Screening for Students Who Are New Entrants or Who Have Low Test Scores in Reading or Mathematics

Description of Rule: Diagnostic screening of students who are new entrants to school or who have low test scores on the statewide reading or mathematics assessment".

Need for Rule: To provide for the diagnostic screening of students who are new entrants to school or who have low test scores on the statewide reading or mathematics assessment and to provide consistency between definitions in Part 117 and other provisions of the Commissioner's Regulations, specifically, by conforming the definition of "handicapping condition" to the definitions of a "preschool student with a disability" and a "student with a disability".

The rule is necessary to achieve consistency between the definitions in Part 117 and those in other provisions of the Regulations of the Commissioner of Education.

Legal Basis for Rule: Education Law sections 101 (not subdivided), 207 (not subdivided), 3208(5) and 4403(3).

Sections 100.14 and 100.15 Excelsior Scholars Program and Grants for Summer Institutes for Mathematics and Science Teachers

Description of Rule: Excelsior Scholars Program and grants for summer institutes for mathematics and science teachers.

Need for Rule: To establish criteria for the award of grants for the Excelsior Scholars Program pursuant to Education Law section 3641-a and grants for Summer Institutes for Mathematics and Science Teachers pursuant to Education Law section 3641-b.

Legal Basis for Rule: Education Law sections 101 (not subdivided), 207 (not subdivided), 215 (not subdivided), 305(1) and (2) and sections 3641-a(1), (2) and (3) and 3641-b(not subdivided), as added by section 39 of Part B of Chapter 57 of the Laws of 2007.

Agency Representative:

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OFFICE OF HIGHER EDUCATION

Section Subpart 4-1 Voluntary Institutional Accreditation for Title IV purposes

Description of Rule: Voluntary Institutional Accreditation for Title IV purposes.

Need for Rule: To establish requirements and clarify existing standards and procedures that must be met by institutions of higher education voluntarily seeking institutional accreditation or renewal of such accreditation by the Board of Regents and the Commissioner of Education.

Legal Basis for Rule: Education Law sections 207 (not subdivided); 210 (not subdivided); 214 (not subdivided), 215 (not subdivided), and 305(1) and (2).

Sections 80-1, 80-3, 80-4 and 80-5 Requirements for Teachers' Certification and Teaching Practice

Description of Rule: Teachers' certification and teaching practice requirements.

Need for Rule: To clarify existing standards and procedures that must be met by teachers (classroom teachers, school leaders and pupil personnel professionals) when seeking certification by the Board of Regents and the Commissioner of Education. The rule also provides the Commissioner with the flexibility to accept candidates who substantially meet certain certification requirements and/or who have a valid certificate from another state or an authorization to practice from another country evidencing knowledge, skills and abilities comparable to those required for certification in New York State.

Legal Basis for Rule: Education Law Sections 207 (not subdivided), 208 (not subdivided), 305(1), (2) and (7), 308 (not subdivided), 3001(2), 3004(1), 3006(1)(b), 3007(not subdivided), and 3009.

Sections 80-1.11, 87.1, 87.2, 87.4, 87.5, 87.6, 87.8 and 87.10 Fingerprinting and Criminal History Record Check of Prospective Employees of Nonpublic and Private Elementary or Secondary Schools

Description of Rule: Requirements and procedures for the fingerprinting and criminal history record check of prospective school employees.

Need for Rule: To implement the requirements set forth in sections

305, 3001-d and 3035 of the Education Law, as amended by Chapter 630 of the Laws of 2006, relating to requirements and procedures for the fingerprinting and criminal history record check of prospective school employees for nonpublic and private elementary or secondary schools.

Legal Basis for Rule: Education Law sections 207 (not subdivided), 3012-b and Section 9 of Part 12 of Chapter 57 of the Laws of 2007.

Subpart 4-1 Institutional Accreditation

Description of Rule: Voluntary institutional accreditation for Title IV purposes.

Need for Rule: The purpose of the rule is to establish requirements and clarify existing standards and procedures that must be met for institutions of higher education voluntarily seeking institutional accreditation of their teacher education programs, or renewal of such accreditation, by the Board of Regents.

Legal Basis for Rule: Education Law sections 207(not subdivided), 210 (not subdivided), 214 (not subdivided), 215 (not subdivided), and 305 (1), and (2).

Section 145-2.15 Administration of Ability-to-Benefit Tests for Eligibility for Awards.

Description of Rule: Administration of ability-to-benefit tests for eligibility for awards.

Need for Rule: To identify certain ability-to-benefit tests and the passing scores for such tests that the Board of Regents approves for purposes of eligibility for awards under section 661 of the Education Law. The rule also establishes criteria that the Department will utilize to determine if an approved ability-to-benefit test is independently administered in order to implement the requirements of Chapter 57 of the Laws of 2007.

Legal Basis for Rule: Education Law sections 207 (not subdivided), 215 (not subdivided) and 661(4) and Sections 1 and 2 of Part E-1 of Chapter 57 of the Laws of 2007.

Section 150.2 and addition of section 150.4 State Aid Awards for High Needs Nursing Programs at Certain Independent Colleges and Universities

Description of Rule: State aid awards for high needs nursing programs.

Need for Rule: To establish eligibility criteria and the requirements and procedures for certain eligible independent colleges and universities to follow when applying for, or awarding, State aid awards for high needs nursing programs in order to implement the requirements of Chapter 57 of the Laws of 2007.

Legal Basis for Rule: Education Law sections 207 (not subdivided) and 6401-a(4) and Part E-4 of Chapter 57 of the Laws of 2007.

Section 27-1.1 Student Eligibility for the Higher Education Opportunity Program

Description of Rule: Economic eligibility criteria for the Higher Education Opportunity Program at independent colleges and universities.

Need for Rule: To update the current economic eligibility criteria for the Higher Education Opportunity Program at independent colleges and universities.

Legal Basis for Rule: Education Law sections 207 (not subdivided); 6451(1).

Sections 50.1, 52.2(c)(4), and 145-2.1(g) Disaster Planning

Description of Rule: Establishing equivalency of instruction and study in the temporary closure of an institution as a result of a disaster.

Need for Rule: To permit an institution to provide a statement of academic standards establishing equivalency of instruction and study in the temporary closure of an institution as a result of a disaster.

Legal Basis for Rule: Education Law sections 101 (not subdivided), 202(1), 207 (not subdivided), 210 (not subdivided), 215 (not subdivided), and 305 (1), (2) and (20).

Section 52.21(b)(2)(iv)(c)(3)(i) and (ii) Accreditation of Teacher Education Programs

Description of Rule: Accreditation of teacher education programs.

Need for Rule: To enable certain teacher education programs to

complete the accreditation process, by extending for six months the date by which teacher education programs registered prior to September 1, 2001 that are awaiting an accreditation decision following an accreditation review which included a site visit conducted on or before December 31, 2006, must achieve accreditation. In addition, the rule extends by six months the period of eligibility in which certain teacher education programs denied accreditation may request a deferral of the date for accreditation. The rule is needed to provide the Department with regulatory flexibility to accommodate sound teacher education programs that demonstrate the ability to earn accreditation within the short term.

Legal Basis for Rule: Education Law sections 207 (not subdivided); 210 (not subdivided); 215 (not subdivided); 305 (1) and (2); 3001(2); and 3004(1).

Agency Representative:

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OFFICE OF THE PROFESSIONS

Sections 29.17 and 77.9 Physical Therapy

Description of Rule: The practice of physical therapy without a referral.

Need for Rule: To implement the requirements of section 6731(d) of the Education Law by defining the experience requirement that a licensed physical therapist must meet to provide treatment without a referral, clarifying the content of the notice of advice provided to a patient prior to treatment by a physical therapist without a referral, and establishing a definition of unprofessional conduct relating to such practice.

Legal Basis for Rule: Education Law sections 207(not subdivided); 6504(not subdivided); 6506(1); 6507(2)(a); 6509(9), and 6731(d).

Part 31 Unauthorized Practice

Description of Rule: Civil enforcement proceedings for the unauthorized practice of the professions and the unauthorized use of a professional title.

Need for Rule: To implement the requirements of Section 6516 of the Education Law by specifying the requirements for the submission of complaints, investigations, hearing requests and stay requests; the contents of a cease and desist order; the standards for the imposition of civil penalties and restitution and the procedures for hearings and appeals.

Legal Basis for Rule: Sections 207 (not subdivided); 6506(1); 6512(1); 6513(1); and 6516 (1), (2), (3), (4), (5), (6), and (7) of the Education Law.

Sections 52.26, 61.9, and 61.13 Dental Assisting.

Description of Rule: Scope of practice for certified dental assistants and dental hygienists and the curriculum requirements for registration as a program leading to licensure in certified dental assisting.

Need for Rule: To implement Chapter 300 of the Laws of 2006 amended Sections 6608 and 6608-b of the Education Law to expand the scope of practice for certified dental assistants and dental hygienists and amending the curriculum requirements for registration as a program leading to licensure in certified dental assisting.

Legal Basis for Rule: Sections 207(not subdivided); 6506(1); 6507(2)(a), 6606(2), 6608(not subdivided) and 6608-b(4) of the Education Law.

Section 64.7 Human Immunodeficiency Virus Tests

Description of Rule: The execution by registered professional nurses of non-patient specific orders to administer human immunodeficiency virus tests.

Need for Rule: To establish requirements for registered professional nurses to meet when executing non-patient specific orders prescribed or ordered by a licensed physician or certified nurse practitioner for the administration of human immunodeficiency virus tests.

Legal Basis for Rule: Education Law sections 207 (not subdivided); 6507(2)(a); 6527(6); 6902(1) and 6909(4)(d) and (5).

Section 66.5(f) and Addition of Section 66.6 Optometry

Description of Rule: Continuing education requirements for licensed optometrists certified to use therapeutic pharmaceutical agents.

Need for Rule: To clarify existing mandatory continuing education requirements and provides more flexibility to the Department for exceptional circumstances that lead to non-compliance.

Legal Basis for Rule: Education Law sections 207 (not subdivided); 6507(2)(a); 7101 (not subdivided) and 7101-a(7).

Section 70.3 Public Accountancy

Description of Rule: Examination requirements for licensure in public accountancy.

Need for Rule: To revise standards and procedures relating to the examination for licensure in public accountancy.

Legal Basis for Rule: Education Law sections 207(not subdivided); 6504 (not subdivided); 6507(2)(a) and (3)(a); 6508(2)and 7404(1)(4).

Sections 74.2, 74.3, 74.4 and 74.6 Social Work

Description of Rule: Requirements relating to licensure as a licensed clinical social worker, limited permits to practice licensed clinical social work and the supervision of clinical social work services provided by a licensed master social worker.

Need for Rule: To conform to the content of the licensing examination, which is based on the expectation that the applicant will have completed at least two years of post-degree supervised experience.

Legal Basis for Rule: Education Law sections 207 (not subdivided), 6501, 6504, 6507(2)(a), 7704(2)(c), (2)(d) and 7705.

Agency Representative:

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OFFICE OF CULTURAL EDUCATION

Section 90.12 State Aid for Public Library Construction

Description of Rule: State aid for library construction and renovation projects.

Need for Rule: To prescribe eligibility requirements and criteria for applications for state aid for library construction and renovation projects, and to conform the Commissioner's Regulations to recent changes made to Education Law section 273-a by Chapter 57 of the Laws of 2007.

Legal Basis for Rule: Education Law sections 207 (not subdivided), 215 (not subdivided) and 273(5), section 1 of Chapter 53 of the Laws of 2007, and section 4 of Part B of Chapter 57 of the Laws of 2007.

Agency Representative:

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H. CALENDAR YEAR 2002 (5 YEAR REVIEW)

OFFICE OF P-12 EDUCATION

Sections 3.32 and 100.2(p) Registration of Public Schools

Description of Rule: Registration of public schools.

Need for Rule: To establish procedures for the registration of public schools

through the submission of a petition to the Board of Regents.

Legal Basis for Rule: Education Law sections 101(not subdivided), 207(not subdivided), 210(not subdivided), 214(not subdivided), 215(not subdivided), 305(1) and (2) and (19) and 309 (not subdivided).

Part 120 No Child Left Behind Act of 2001 (Pub. L. 107-110)

Description of Rule: No Child Left Behind Act of 2001 (Pub.L. 107-110).

Need for Rule: To establish criteria and procedures to ensure State and local educational agency compliance with the federal No Child Left Behind Act of 2001 and Chapter 425 of the Laws of 2002.

Legal Basis for Rule: Education Law sections 101(not subdivided), 207(not subdivided), 215(not subdivided), 305(1), (2) and (33), 2802(7), 3214(3)(d) and (f) and Chapter 425 of the Laws of 2002.

Section 136.4 On-Site Automated External Defibrillators

Description of Rule: Automated external defibrillator (AED)

Need for Rule: To implement Education Law section 917, as added and amended by Chapters 60 and 61 of the Laws of 2002, to prescribe standards for the use of automated external defibrillators by school districts, board of cooperative educational services, county vocational education and extension boards, and charter schools.

Legal Basis for Rule: Education Law sections 207(not subdivided) and 917(1) and (2) and Chapters 60 and 61 of the Laws of 2002.

Sections 151-1.2 Universal Prekindergarten Programs

Description of Rule: Universal prekindergarten programs.

Need for Rule: To conform the definition of "eligible child" to Education Law section 3602-e(10)(a)(4), as amended by Chapter 383 of the Laws of 2001, relating to the age eligibility for children enrolled in summer only Universal Prekindergarten programs.

Legal Basis for Rule: Education Law sections 101(not subdivided), 207(not subdivided) and 3602-e (10)(a)(4) and (12) and section 1-a of Part F of Chapter 383 of the Laws of 2001.

Section 155.25 Electrically Operated Partitions

Description of Rule: Establishes minimum standards for electrically operated partitions or room dividers.

Need for Rule: To establish standards relating to the construction, maintenance and operation of electrically operated partitions located in classrooms or other facilities used by students in public and nonpublic schools or educational institutions within the state in compliance with Chapter 217 of the Laws of 2001 and Chapter 231 of the Laws of 2002.

Legal Basis for Rule: Education Law sections 101(not subdivided), 207(not subdivided), 305(1) and (2), 409-f(1) and (2) and 3602(6-c), Chapter 217 of the Laws of 2001, and Chapter 231 of 2002.

Section 200.2 Instructional Material in Alternative Formats

Description of Rule: Plans to ensure instructional material in alternative formats are provided to students with disabilities.

Need for Rule: The rule is necessary to implement Chapter 377 of the Laws of 2001, which requires every school district and BOCES to develop a plan to ensure that all instructional materials to be used in the schools of the district (or in the programs of the BOCES) are available in a usable alternative format for every student with a disability in accordance with his or her educational needs and course selections at the same time that such materials are available to non-disabled students.

Legal Basis for Rule: Education Law sections 207, 1604(29-a), 1709(4-a), 1950(4-a), 2503(7-a), 2554(7-a), 3602(10)(b), 4403(3) and Chapter 377 of the Laws of 2001.

Sections 200.2, 200.4 and 200.16 Individualized Education Programs (IEPs)

Description of Rule: Providing copies of individualized education programs (IEP's) to teachers, related service providers and other providers.

Need for Rule: To implement Education Law section 4402(7), as added by Chapter 408 of the Laws of 2002, which requires that school districts establish a policy that: ensures that teachers and other service providers are provided with students' individualized education programs (IEPs) prior to the implementation of such IEPs; ensures the confidentiality of such IEPs; and requires that teachers, assistants, support staff persons and other service providers be informed of their responsibilities in relation to the implementation of students' IEPs prior to the implementation of such IEPs.

Legal Basis for Rule: Education Law sections 101(not subdivided), 207(not subdivided), 4402(7), 4403(3), 4410(13) and Chapter 408 of the Laws of 2002.

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OFFICE OF ADULT CAREER AND CONTINUING EDUCATION SERVICES (ACCES)

Sections 246.3 and 246.6 Vocational Rehabilitation Program

Description of Rule: Vocational rehabilitation program.

Need for Rule: To conform the Commissioner's Regulations to changes in federal law made in the 1998 reauthorization of the Rehabilitation Act (Pub.L.105-22) and thereby ensure that the State vocational rehabilitation program is conducted according to federal standards.

Legal Basis for Rule: Education Law sections 101(not subdivided), 207(not subdivided) and 1004(1).

Agency Representative:

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OFFICE OF HIGHER EDUCATION

Sections 3.47 and 3.50 Authorization of a Degree Abbreviation (B.M.)

Description of Rule: Authorization of a degree abbreviation.

Need for Rule: The rule is needed to authorize the use by New York postsecondary degree-granting institutions authorized to confer the Bachelor of Music degree of the abbreviation "B.M" for that degree as an alternative to the existing abbreviation "Mus. B." to be consistent with the abbreviation commonly used by other jurisdictions for this degree.

Legal Basis for Rule: Sections 207(not subdivided), 210(not subdivided), 218(1), and 224(4) of the Education Law.

Part 4 and Sections 3.14, 13.11, 52.23 Accreditation of Teacher Education Programs and Voluntary Institutional Accreditation for Title IV purposes.

Description of Rule: Regents accreditation of teacher education programs and voluntary institutional accreditation for Title IV purposes.

Need for Rule: To establish standards and procedures that must be met by institutions of higher education seeking accreditation of their teacher education programs by the Board of Regents; aligns related provisions in the Rules of the Board of Regents and the Regulations of the Commissioner of Education with the new standards and procedures; and rennumbers the rule and clarifies language related to voluntary institutional accreditation for Title IV purposes by the Commissioner of Education and the Board of Regents.

Legal Basis for Rule: Sections 207(not subdivided), 210(not subdivided), 212-c (not subdivided), 214(not subdivided), 215(not subdivided), and 305(1) and (2) of the Education Law.

Sections 87.3, 87.4, 87.5 and 87.9 Fingerprinting and Criminal History Check of Prospective School Employees and Applicants for Teaching Certification

Description of Rule: Fingerprinting and criminal history check of prospective school employees and applicants for teaching certification.

Need for Rule: To implement Chapter 380 of the Laws of 2001, which authorizes the exchange of criminal history records of certain individuals, upon their authorization, between the State Education Department and the City School District of the City of New York.

Legal Basis for Rule: Sections 207(not subdivided), 305(3)(a), 3004-b(1), 3035(3) and (3-a) of the Education Law and Chapter 380 of the Laws of 2001.

Section 52.21(b)(2)(iv)(b)(1) Requirements for Teacher Education Programs

Description of Rule: Teacher education programs requirements.

Need for Rule: To align the definition for pass rates on teacher certification examinations, used for purposes of triggering a State Education Department registration review of teacher education programs, with the Federal definition, used for purposes of Title II of the Higher Education Act.

Legal Basis for Rule: Sections 207(not subdivided), 210(not subdivided), 215(not subdivided), 305(1), and 3004(1) of the Education Law.

Section 80-2.6(c) Requirements for Certification of Teachers of the Speech and Hearing Handicapped

Description of Rule: Requirements for certificate of teachers of the speech and hearing handicapped.

Need for Rule: To enable licensed and registered speech-language pathologists in New York State to become certified as teachers of the speech and hearing handicapped through an alternative path.

Legal Basis for Rule: Sections 207(not subdivided), 305(1), (2), and (7), 3004(1) and 3006(1) of the Education Law.

Section 27-1.1 Student eligibility for the Higher Education Opportunity Program

Description of Rule: Income criteria for determining student eligibility to participate in the Higher Education Opportunity Program at nonpublic institutions of higher education.

Need for Rule: To update the current criteria for determining student economic eligibility for the Higher Education Opportunity Program.

Legal Basis for Rule: Sections 207(not subdivided) and 6451(1) of the Education Law.

80-1.7 and 80-5.15 Provisional Teaching Certificate and the Issuance of a Limited Certificate in the Classroom Teaching Service

Description of Rule: Renewal of an expired provisional teaching certificate and the issuance of a limited certificate in the classroom teaching service.

Need for Rule: To establish the requirements for the renewal of an expired provisional teaching certificate for an additional five-year

term and for the issuance of a limited certificate in the classroom teaching service which would permit candidates to teach for the period September 1, 2003 through August 31, 2004, while they are completing academic requirements for the provisional certificate.

Legal Basis for Rule: Sections 207(not subdivided), 305(1), (2), and (7), 3004(1) and 3006(1) of the Education Law.

Agency Representative:

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OFFICE OF THE PROFESSIONS

Section 29.13(a) Definition of Unprofessional Conduct in the Practice of Massage Therapy

Description of Rule: The definition of unprofessional conduct in the practice of massage therapy.

Need for Rule: To remove an exception in the definition of unprofessional conduct in the practice of massage therapy relating to patient records, which pertains to licensed practitioners who are providing massage therapy services to clients in a health spa or similar setting, when such services are not provided pursuant to a prescription by a health care practitioner.

Legal Basis for Rule: Education Law sections 207(not subdivided), 6504(not subdivided), 6506(1) and 6509(9).

Section 29.7(a)(21)(ii)(a) Definition of Unprofessional Conduct in the Practice of Pharmacy and Limitations on Assistance to Licensed Pharmacists by Unlicensed Individuals

Description of Rule: The definition of unprofessional conduct in the practice of pharmacy and limitations on assistance to licensed pharmacists by unlicensed individuals.

Need for Rule: To revise the list of activities, performed by an unlicensed individual while assisting a licensed pharmacist in the dispensing of a prescription that would invoke the requirement that a licensed pharmacist supervise no more than two unlicensed persons.

Legal Basis for Rule: Education Law sections 207(not subdivided), 6504(not subdivided), 6506(1) and 6509(7) and (9), and 6801(not subdivided).

Section 29.10 Definition of Unprofessional Conduct and Work Paper Documentation and Retention in the Practice of Public Accountancy

Description of Rule: The definition of unprofessional conduct and work paper documentation and retention in the practice of public accountancy.

Need for Rule: To establish, in the definition of unprofessional conduct in the practice of public accountancy, standards that licensees must meet in relation to documentation in work papers and the retention of such papers.

Legal Basis for Rule: Education Law sections 207(not subdivided), 6504(not subdivided), 6506(1) and 6509(9), and 7401(not subdivided).

Section 64.6 Practice of Nursing and Midwifery

Description of Rule: Profession of midwifery.

Need for Rule: To identify the licensed profession of midwifery as one of the licensed health care professions that is authorized to prescribe medical regimens to be executed by registered professional nurses that may direct the care provided by licensed practical nurses.

Legal Basis for Rule: Education Law sections 207(not subdivided), 6507(1) and (2), 6902(1) and (2), and 6951(1), (2) and (3).

Part 77 Licensing of Physical Therapists and Certification of Physical Therapy Assistants

Description of Rule: Renewal of a limited permit for a physical therapist assistant.

Need for Rule: To implement Chapter 404 of the Laws of 2002 by establishing requirements for the renewal of a limited permit for a physical therapist assistant and prescribes the examination that must be passed for certification as a physical therapist assistant, and makes nonsubstantial changes in the requirements for licensure as a physical therapist.

Legal Basis for Rule: Education Law sections 207(not subdivided), 6506(1), 6507(2)(a), 6734(d), 6735(c), 6740(c-1), and 6741-a(c), and Section 4 of Chapter 404 of the Laws of 2002.

Section 61.15(a) Mandatory Continuing Education for Dentists

Description of Rule: Mandatory continuing education for dentists.

Need for Rule: To implement Chapter 237 of the Laws of 2001 which requires licensed dentists to complete, on a one-time basis, no fewer than two hours of acceptable coursework regarding the recognition, diagnosis, and treatment of the oral health effects of the use of tobacco and tobacco products, as part of the dentist's continuing education requirement.

Legal Basis for Rule: Education Law sections 207(not subdivided), 6502(1), 6504(not subdivided), 6507(2)(a), and 6604-a(2) and (4).

Agency Representative:

Information may be obtained, and written comments may be submitted, concerning the modification or continuation of the above rule by contacting:

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OFFICE OF CULTURAL EDUCATION

Sections 185.5, 185.13 and 185.14 Local Government Records Management

Description of Rule: Records Retention and Disposition Schedules CO-2 and MI-1.

Need for Rule: To amend Records Retention and Disposition Schedule CO-2 and Records Retention and Disposition Schedule MI-1, thus providing counties and miscellaneous local governments with means to dispose of valueless records not listed on the existing schedules, to maintain voluminous records no longer than the records are needed, and to make the schedules easier to understand.

Legal Basis for Rule: Education Law section 207(not subdivided) and Arts and Cultural Affairs Law section 57.25(2).

Agency Representative:

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OFFICE OF OPERATIONS AND MANAGEMENT SERVICES

Sections 3.16 and 3.17 Charter School Complaints and Revocations

Description of Rule: Charter school complaints and revocations.

Need for Rule: To (1) establish procedures for the conduct of charter school revocation proceedings initiated by the Board of Regents, and (2) delegate to the Commissioner the authority of the Board of Regents to investigate and respond to complaints against charter schools pursuant to Education Law section 2855(4), authority to issue remedial

orders to charter schools pursuant to Education Law section 2855(4), and the authority to place a charter school on probationary status and to develop and impose a remedial action plan pursuant to Education Law section 2855(3).

Legal Basis for Rule: Education Law sections 101(not subdivided), 206(not subdivided), 207(not subdivided), 305(1), (2) and (20) and 2855(1), (2), (3), and (4).

Agency Representative:

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I. CALENDAR YEAR 1997 (5 YEAR REVIEW)

OFFICE OF P-12 EDUCATION

Section 108.7(b) Flag

Description of Rule: Requires public schools to provide specific instruction regarding respect for the flag of the United States of America, its display and use, to include, as a minimum, instruction regarding the provisions of Sections 170 through 177 of Title 36 of the United States Code.

Need for Rule: To comply with the requirements of Education Law section 802(1), as amended by Chapter 601 of the Laws of 1996, which requires public schools to provide the specified instruction.

Legal Basis for Rule: Education Law sections 207 and 802(1) and Chapter 601 of the Laws of 1996.

Section 135.4(c)(7)(ii)(b)(1) Athletic Eligibility

Description of Rule: Student eligibility requirements for interschool competition in grades 9, 10, 11 and 12, to provide that a student may participate in interscholastic athletics until the last day of the school year in which the student attains the age of 19. It affixes eligibility to a student's age at the start of the legal school year and, thereby, reduces the number of disqualified students. The rule retains the current safety standard which generally precludes students over the age of 19 from participating in interscholastic athletics.

Need for Rule: To promote safe and equitable competition particularly in contact sports and eliminates the potential incentive of students staying in school longer in order to compete in athletic programs.

Legal Basis for Rule: Education Law sections 207, 305(1) and (2), 803(1) and (5) and 3204(3).

Sections 176.1 and 176.2 Mandated Services Reimbursement

Description of Rule: Mandated services reimbursement.

Need for Rule: To eliminate the onerous task of maintaining individual time records for each staff person involved in 14 of the 16 mandates by authorizing the use of time and effort standards established by the Commissioner to determine the time spent on each required service by nonpublic schools.

Legal Basis for Rule: Education Law sections 207 and Chapter 507 of the Laws of 1974, as amended by Chapter 903 of the Laws of 1984.

Section 100.2(bb) School District Report Cards

Description of Rule: The rule establishes criteria for the issuance of school district report cards by all public schools and requires school districts to annually prepare a report card and to make it available by appending it to copies of the proposed budget made publicly available.

Need for Rule: To comply with Chapter 474 of the Laws of 1996, which requires the Commissioner to establish criteria for the issuance and dissemination of school district report cards. It also satisfies federal public reporting requirements under the 1994 reauthorization of ESEA.

Legal Basis for Rule: Education Law sections 207, 1608, 1716, 2554(24), 2509-e(23), 2509-g(21) and 2601-a(7) and sections 157 through 162 of Chapter 474 of the Laws of 1996.

Section 156.12 Contracts for Pupil Transportation Services

Description of Rule: Requirements for boards of education that elect to award contracts for pupil transportation services through a request for proposals (RFP) instead of through competitive bidding.

Need for Rule: The rule is necessary to comply with Chapter 698 of the Laws of 1996, which requires the Commissioner to establish a process for the approval of contracts awarded in response to a request for proposals for pupil transportation services.

Legal Basis for Rule: Education Law sections 207, 305(14) and 3625 and Chapter 698 of the Laws of 1996.

Section 100.2(p) School Deregistration

Description of Rule: To establish a System of Accountability for Student Success for schools in New York State

Need for Rule: To set forth policy of the Board of Regents in order to carry out its responsibility to register public schools in New York State and to ensure that schools are preparing students appropriately to meet State standards. The regulation is also necessary to ensure that improvement efforts are initiated in schools that are not meeting State standards and that such schools are subject to revocation of registration for persistently unacceptable performance. The regulation also helps to ensure that the State is in compliance with federal requirements relating to school accountability.

Legal Basis for Rule: Education Law sections 207, 305(1) and (2) and 308.

Section 156.3(c) Qualifications for School Bus Drivers

Description of Rule: Testing of all new school bus drivers hired after September 1, 1997, and a three-year phase-in of testing for veteran school bus drivers.

Need for Rule: To clarify the standards of fitness for school bus drivers consistent with the recommendations of the Commissioner's School Bus Driver Instructor Advisory Committee convened pursuant to section 156.3(c)(2) of the Commissioner's Regulations.

Legal Basis for Rule: Education Law sections 207 and 3624.

Section 100.2(m) Public School Performance Report

Description of Rule: Comprehensive assessment report for nonpublic schools and a public school performance report that include measures of academic performance and attendance, suspension and dropout rates for each school.

Need for Rule: To implement Board of Regents policy on raising standards for all students. It also satisfies federal public reporting requirements under the 1994 reauthorization of the federal Elementary and Secondary Education Act (ESEA).

Legal Basis for Rule: Education Law sections 101, 207, 215 and 305(1) and (2).

Section 100.2(cc) BOCES Report Cards

Description of Rule: Requires each board of cooperative educational services (BOCES) to prepare a BOCES report card and to append it to copies of the proposed administrative budget made publicly available.

Need for Rule: To comply with the provision in Chapter 436 of the Laws of 1997, which requires that each BOCES issue a report card on an annual basis, pursuant to regulations of the Commissioner, commencing with the 1997-98 school year.

Legal Basis for Rule: Education Law sections 101, 207, 215 and 1950(4)(kk) and section 13 of Part A of section 1 of Chapter 436 of the Laws of 1997.

Section 100.12 Instructional Computer Technology Plans

Description of Rule: Requires each school district to develop and maintain a plan for the use of instructional computer technology equipment in order to be eligible for aid for instructional computer technology expenses and identifies what should be included in the plan.

Need for Rule: To comply with the provision in Chapter 436 of the Laws of 1997 which requires, as a condition for eligibility for State aid, that each school district develop and maintain a plan for the use of instructional computer equipment.

Legal basis for Rule: Education Law sections 207 and 3602(26-a) and section 53 of Part A of section 1 of Chapter 436 of the Laws of 1997.

Part 151 and Section 156.7 Universal Prekindergarten Program

Description of Rule: Establishes program components, staff qualifications, program design, application procedures, transition rules, transportation provisions, and a required competitive process in order to implement the universal prekindergarten program.

Need for Rule: To implement universal prekindergarten programs pursuant to the provisions of Chapter 436 of the Laws of 1997.

Legal Basis for Rule: Education Law sections 101, 207, 3602(7)(d) and 3602-e and section 58 of Part A of section 1 of Chapter 436 of the Laws of 1997.

Section 155.17 Contracts for Mobile Instructional Units

Description of Rule: Establishes a process that school districts and BOCES must follow in the award and approval of contracts for mobile instructional units.

Need for Rule: To comply with Chapter 464 of the Laws of 1997, which requires the Commissioner to establish a process for the approval of contracts awarded in response to a request for proposals for mobile instructional units.

Legal Basis for Rule: Education Law sections 207 and 305(14), as amended by Chapter 464 of the Laws of 1997.

Section 170.3 Instructional Support Services

Description of Rule: Purchase of instructional support services by school districts not a component of a BOCES, including a city school in a city having a population in excess of 125,000, and defines for school districts what constitutes instructional support services.

Need for Rule: To implement the provisions of Chapter 436 of the Laws of 1997, by defining the term "instructional support services" for purposes set forth in Education Law section 1950(8-c).

Legal Basis for Rule: Education Law sections 101, 207 and 1950(8-c) and section 14 of Chapter 436 of the Laws of 1997.

Section 170.8 Annual School Budgets

Description of Rule: Requires school districts to prepare annual budgets and budget-related materials in plain language and organized in a manner that promotes public understanding of the material.

Need for Rule: To comply with the provisions of Chapter 436 of the Laws of 1997 which requires district to prepare annual budgets containing the above-specified components.

Legal Basis for Rule: Education Law sections 207, 1608 and 1716 and sections 5 and 7 of Part A of section 1 of Chapter 436 of the Laws of 1997.

Sections 200.1 (o) and (q), 200.1(tt)(uu), 200.9(f)(2) and (f)(3)(iii), 200.16 and 200.20(a)(1) and (3) Special Education Programs and Services to Preschool Students with Disabilities

Description of Rule: Evaluation of preschool students with disabilities and the recommendation for appropriate special education programs and services in the least restrictive environment and establishment of approved rates for preschool programs and tuition reimbursement.

Need for Rule: To comply with paragraph (b) of subdivision 5 of section 4410 of the Education Law, which compels the Commissioner to promulgate definitions needed to ensure that the individualized education program (IEP) of a preschool child indicates the extent of services to be provided to the child when a half-day or full-day program is recommended.

Legal basis for Rule: Education Law sections 207 and 4410, as amended by sections 164, 165, 166 and 169 of Chapter 474 of the Laws of 1996.

Section 200.2(c) District Plans for Special Education Programs and Services

Description of Rule: Requires that a district plan for special education programs and services be filed consistent with the time intervals required in section 3602(10), and that the district plan include an estimated budget.

Need for Rule: To ensure consistency with Education Law section 3602(10), which requires that district plans be revised and made available for public inspection and review by the Commissioner every two years.

Legal Basis for Rule: Education Law sections 207, 3602(3) and (10), 4402(1), (2) and (3), and 4410(13).

Section 200.6(b)(6) Specially Designed Reading Instruction to Students with Disabilities

Description of Rule: Adds specially designed reading instruction, provided by an individual who holds a certificate valid for a reading teacher, to the continuum of services that may be recommended for a student with a disability and authorizes the provision of such instruction.

Need for Rule: To ensure that specially designed reading instruction is provided by individuals with certification and expertise in the area of reading.

Legal Basis for Rule: Education Law section 207 and 4403(3).

Section 200.9(f)(4) Reimbursement of Transportation Expenses for Preschool Students with Disabilities

Description of Rule: Standardized methodology to develop regional maximum per trip rates for the reimbursement of transportation expenses incurred by municipalities providing services to preschool students with disabilities.

Need for Rule: To implement section 4410(c)(1) of the Education Law, which requires the Commissioner to establish regional ceilings for each region of the State on the maximum allowable State reimbursement and sets out factors the Commissioner must consider in developing such ceilings, and thus ensure that the transportation rates for preschool children between counties in the same geographic regions of the State are not widely discrepant.

Legal Basis for Rule: Education Law sections 207, 4401(4), 4402(4), 4405(2) and 4410(8), (10) and (13), and sections 171 and 175 of Chapter 474 of the Laws of 1996.

Sections 276.1(c), 276.10 and Part 279 State Level Review of Impartial Hearing Officer Determinations Regarding Services for Students with Disabilities

Description of Rule: Clarifies procedures for pendency determinations, school board initiated appeals, cross-appeals and review of interim issues, relating to impartial hearings concerning services for students with disabilities.

Need for Rule: To ensure that impartial hearing officers (IHOs) are made aware of their responsibilities to determine issues of pendency pursuant to statute; to ensure clear identification of the sections of an IHO's decision being challenged and relief sought; to clarify procedures, including timelines, to be followed when a board of education initiates an appeal from an impartial hearing officer's decision; to clarify procedures for cross-appeals; to conform appeals practice to Federal and State requirements; and to otherwise ensure that disagreements regarding a student's status during the due process proceeding are addressed in a timely manner.

Legal Basis for Rule: Education Law sections 207, 311, 4403(1) and (3), 4404(2) and 4410(13).

Agency Representative:

Information may be obtained, and written comments may be submitted, concerning the continuation or modification of any of the above rules by contacting:

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OFFICE OF ADULT CAREER AND CONTINUING EDUCATION SERVICES (ACCES)

Part 247 Vocational Rehabilitation Program

Description of Rule: Vocational rehabilitation program.

Need for Rule: To ensure that the vocational rehabilitation program is conducted according to Federal requirements set forth in the 1992

amendments to the Rehabilitation Act and the corresponding Federal regulations which took effect March 13, 1997.

Legal Basis for Rule: Education Law sections 207 and 1004(1).

Agency Representative:

Information may be obtained, and written comments may be submitted, concerning the modification or continuation of any of the above rules by contacting:

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OFFICE OF OPERATIONS AND MANAGEMENT SERVICES

Section 3.8, 3.9 and 3.15 Chief Operating Officer

Description of Rule: Replaces the term "Executive Deputy Commissioner" with the term "Chief Operating Officer" and designates the chief operating officer as the deputy commissioner of education as specified in Education Law section 101.

Need for Rule: To implement a change in the internal organization of the State Education Department which replaces the position of Executive Deputy Commissioner with the position of Chief Operating Officer.

Legal Basis for Rule: Education Law section 101.

Agency Representative:

Information may be obtained, and written comments may be submitted, concerning the modification or continuation of any of the above rules by contacting:

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OFFICE OF CULTURAL EDUCATION

Section 185.5 and 185.12 Local Government Records

Description of Rule: Retention schedule for school districts, boards of cooperative educational services, teacher resources and computer training centers and county vocational education and extension boards.

Need for Rule: To update references to Records Retention and Disposition Schedule ED-1, which was substantially revised from its last issue in 1993-94.

Legal Basis for Rule: Education Law section 207 and Arts and Cultural Affairs Law section 57.25(2).

Section 185.10(a) Local Government Records

Description of Rule: Local government records management.

Need for Rule: To streamline the records management improvement grant application process by removing the requirement that a records management plan be developed and approved before that local government is eligible to apply for such grant.

Legal Basis for Rule: Education Law section 207 and Arts and Cultural Affairs Law section 57.35(1) and (2).

Sections 185.1, 185.7, 185.8, 188.2 and 188.20 Local and State Government Records Management

Description of Rule: Local and state government records management.

Need for Rule: To update language to reflect current technologies, remove two definitions of "digital images" and "source code" that are no longer necessary, add a new and more current definition of "automated information system", add a new definition of "SARA", remove the requirement that local government officials must maintain

the paper original, or a paper copy, or a microform copy of all electronically imaged records which are scheduled to be retained for ten or more years, and updated the definition of "State Archives and Records Administration".

Legal Basis for Rule: Education Law section 207 and Arts and Cultural Affairs Law sections 57.05, 57.17, 57.23(2) and (3) and 57.29.

Section 188.21 State Government Records Management

Description of Rule: Updates the schedule of fees to reflect changes in the organization of State government and to establish a process for the Division of the Budget to reduce or waive fees under certain conditions.

Need for Rule: To implement Arts and Cultural Affairs Law section 57.05 (9) and (11)(i) and (j), which authorizes the Commissioner of Education to implement a fee schedule to support records management activities for State government agencies and to promulgate rules and regulations setting forth fees to be paid for records management services.

Legal Basis for Rule: Education Law section 207 and Arts and Cultural Affairs Law section 57.05 (9) and (11)(i) and (j).

Agency Representative:

Information may be obtained, and written comments may be submitted, concerning the modification or continuation of any of the above rules by contacting:

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OFFICE OF THE PROFESSIONS

Section 24.3(c) Waiver of Citizenship/Immunizations Requirement

Description of Rule: Authorizes the Board of Regents to grant time-limited waivers of the statutory citizenship or immunizations status requirements for licensure in medicine.

Need for Rule: To implement statutory amendments to the provisions of Education Law § 6524(b), which authorizes the Board of Regents to grant time-limited waivers of the citizenship or immigration status requirements for licensure in medicine.

Legal Basis for Rule: Education Law sections 207, 6504, 6506(1) and (10), 6507(4)(b) and 6524(6).

Section 24.7(h) Restoration of License

Description of Rule: Establishes time constraints and fee requirements for the filing of petitions for restoration of professional licenses which have been revoked or surrendered.

Need for Rule: To implement a process for consideration by the Board of Regents of petitions for the restoration of a professional license which was either revoked or surrendered.

Legal Basis for Rule: Education Law sections 207, 6504, 6506(1) and (10), 6508(4) and 6511.

Part 65 Licensure in Podiatry

Description of Rule: Requires all individuals who apply for licensure in podiatry on or after July 1, 2000 to have completed one year of supervised postgraduate hospital training in podiatry, acceptable to the Department.

Need for Rule: To require that podiatrists have adequate clinical experience, given discontinuance of the practical examinations as an evaluative method of determining minimal competence.

Legal Basis for Rule: Education Law sections 207, 6501, 6504, 6506(1), 6507(2)(a) and (3)(a), 7004(3) and (4) and 7007(1)(c).

Section 61.15 Dentistry Continuing Education

Description of Rule: Mandatory continuing education requirements for dentists.

Need for Rule: To implement Education Law section 6604-a for dentists, by establishing standards for what constitutes acceptable

formal continuing education, education requirements when there is a lapse in practice, requirements for licensees under conditional registration and standards for the approval of sponsors of continuing education to licensed dentists.

Legal Basis for Rule: Education Law sections 207, 212(3), 6502(1), 6504, 6507(2)(a), 6508(1) and 6604-a(1)(a), (b) and (c), (2), (3), (4) and (5).

Section 61.16 Dental Hygiene

Description of Rule: Mandatory continuing education requirements for dental hygienists.

Need for Rule: To implement Education Law section 6609-a, by establishing standards for what constitutes acceptable formal continuing education, educational requirements when there is a lapse in practice, requirements for licensees under conditional registration and standards for the approval of sponsors of continuing education to licensed dental hygienists.

Legal Basis for Rule: Education Law sections 207, 212(3), 6502(1), 6504, 6507(2)(a), 6508(1) and 6609-a(1)(a), (b) and (c), (2),(3),(4) and (5).

Section 63.7 Pharmacy

Description of Rule: Mandatory continuing education requirements for pharmacists.

Need for Rule: To implement Education Law section 6827, by establishing standards for what constitutes acceptable formal continuing education, education requirements when there is a lapse in practice, requirements for licensees under conditional registration and standards for the approval of sponsors of continuing education to licensed pharmacists.

Legal Basis for Rule: Education Law sections 207, 212(3), 6502(1), 6504, 6507(2)(a), 6508(1) and 6827(1)(a), (b) and (c), (2), (3), (4), (5) and (6).

Section 29.10 Public Accountancy

Description of Rule: Unprofessional conduct in the practice of public accountancy.

Need for Rule: To update and clarify the professional standards for public accounting by: (1) conforming the standards for unprofessional conduct in the Regents Rules with those prescribed by national and State standard-setting bodies; (2) limiting instances where accepting contingent fees would constitute unprofessional conduct; (3) eliminating references to outdated pronouncements of the American Institute of Certified Public Accountants; and (4) limiting a licensee's responsibility to clients for uncompensated work products and clarifying a licensee's responsibility to provide copies of documents.

Legal basis for Rule: Education Law sections 207, 6504, 6506(1) and (9), 6508(1) and 6509(9).

Sections 29.13 Massage Therapy

Description of Rule: Unprofessional conduct in the profession of massage therapy.

Need for Rule: To clarify and define unprofessional conduct in the practice of massage therapy and to conform regulatory language to statutory terms of massage therapy and massage therapist.

Legal Basis for Rule: Education Law sections 207, 6504, 6506(1) and (9), 6508(1) and 6509(9).

52.15, 78.1, 78.2, 78.3 and 78.4 Massage Therapy

Description of Rule: The rule (1) increases, from 500 to 1000 hours, the amount of instruction and specifies Minimum hours in certain curricular areas for the registration of professional massage therapy programs; (2) ensures that the massage therapy licensing examination measures candidates' knowledge of both western and oriental massage; (3) conforms the regulatory language to that in statute of the terms massage therapy and massage therapist; and (4) ensures that persons licensed as massage therapists on the basis of endorsement of licensure in other jurisdictions meet acceptable education, examination and practice standards.

Need for Rule: To ensure the quality of applicants' preparation for entry level licensure in massage therapy by upgrading educational requirements for professional programs to include subject matter and

modalities used in contemporary practice and to ensure the competency of individuals licensed through the endorsement of a license issued by another jurisdiction by establishing education, examination and practice standards.

Legal Basis for Rule: Education Law sections 207, 210, 6501, 6504, 6506(6), 6507(2)(a) and (4)(a), 6508(1), 7801, 7804(2) and 7805(3).

78.4 (b) Massage Therapy

Description of Rule: The rule amended subdivision (b) of section 78.4 to replace the term “grant” with the term “recommend”, concerning State Education Department actions taken with respect to licensure by endorsement.

Need for Rule: To provide clarification that the State Education Department recommends to the Regents the endorsement of a license issued by another jurisdiction and that the Regents make the decision concerning whether to grant licensure by endorsement.

Legal Basis for Rule: Education Law sections 207, 6501, 6504, 6506(6), 6507(2)(a) and 7804(2).

Sections 52.10, 72.1, 72.2 and 72.3 Psychology

Description of Rule: The rule: (1) revises the curricular requirements for the registration of professional programs in psychology to conform the education requirements to nationally accepted standards; (2) consolidates and clarifies the experience requirement; (3) admits to the licensing exam, applicants who have completed the required doctoral education and one year of supervised experience; and (4) corrects the name of the exam provider.

Need for Rule: To ensure the quality of applicants’ preparation for entry-level licensure in psychology by upgrading educational requirements for professional programs.

Legal Basis for Rule: Education Law sections 207, 210, 6501, 6504, 6507(2)(a) and (4)(a), 6508(1), 7603(2), (3) and (4) and 7605(2) and (3).

Agency Representative:

Information may be obtained, and written comments may be submitted, concerning the continuation or modification of any of the above rules by contacting:

Sarah S. Benson

Deputy Commissioner for the Professions

New York State Education Department

Office of the Professions

89 Washington Avenue

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Albany, NY 12234

(518) 474-1431

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OFFICE OF HIGHER EDUCATION

85.1 and 85.2 Mentor-Teacher Internship Program

Description of Rule: The rule (1) makes the requirements for and limitations on interns and mentors who participate in the Mentor-Teacher Internship Program and allowable costs for that program consistent with changes in statutory requirements; (2) removes an unnecessary reference to licenses issued by the City School District of the City of New York; and (3) clarifies that the budget for the program may include up to 10 percent of the salary of each mentor per mentor-intern relationship.

Need for Rule: To conform the Commissioner’s Regulations with the requirements and limitations for interns and mentors set forth in Education Law section 3033, as amended by section 117-b of Part A of section 1 of Chapter 436 of the Laws of 1997.

Legal Basis for Rule: Education Law sections 207 and 3033(4) and (5) and section 117-b of Part A of section 1 of Chapter 436 of the Laws of 1997.

145-9 - Merit Scholarships

Description of Rule: Establishes criteria, definitions and procedures for the Merit Scholarships for Academic Excellence Program, including selection criteria for scholarship nominees, definition of high schools that will receive an allocation of scholarship awards, and reporting requirements for the high schools.

Need for Rule: To implement the requirements of Education Law section 605-a and 670-b, as promulgated by sections 11 and 12 of Chapter 309 of the Laws of 1996.

Legal Basis for Rule: Education Law section 207, 605-a(1)(a) and (b) and 670-b(1) and sections 11 and 12 of Chapter 309 of the Laws of 1996.

Agency Representative:

Information may be obtained, and written comments may be submitted, concerning the continuation or modification of any of the above rules by contacting:

William P. Murphy

Deputy Commissioner for Higher Education

New York State Education Department

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Department of Financial Services

1. INTRODUCTION

Pursuant to Section 207 of the State Administrative Procedure Act, Review of Existing Rules, the Department (as defined below) must review, after five years and at five-year intervals thereafter, rulemakings adopted on or after January 1, 1997. In addition, effective January 1, 2013, for any rule that requires a regulatory flexibility analysis, rural area flexibility analysis, or job impact statement, the Department must initially review that rule in the third calendar year after the year the rule first was adopted. The purpose of the review is to analyze the need for and legal basis of the adopted rulemakings. Please note that all references to the “Department” and the “Superintendent” regarding rules adopted prior to October 3, 2011 mean, respectively, the former Insurance Department or Banking Department and the former Superintendent of Insurance or Superintendent of Banking, as appropriate to the context, and that the references to laws cited are as of the date of the amendment to the rules. For references to rules adopted on or after October 3, 2011, “Department” and “Superintendent” mean, respectively, the Department of Financial Services and the Superintendent of Financial Services.

Notice is hereby given of the following rules that the Department will review this year to determine whether they should be continued or modified. These rules were adopted in 2019, 2017, 2012, 2007, 2002 and 1997. These rules, as published in the State Register, contain a regulatory flexibility analysis, a rural area flexibility analysis or a job impact statement. If one or more of those analyses was not filed, a statement setting forth why one or all those analyses was unnecessary was published in the State Register. Public comment on the continuation or modification of the following rules is invited. Comments must be received within 60 days of the date of publication of this notice.

Unless otherwise noted, the Superintendent intends to continue the rules discussed herein without modification, while continually monitoring the rules to ensure that the provisions remain consistent with related statutory and regulatory requirements.

2. INSURANCE RULEMAKINGS

The following Insurance rulemakings were adopted in 2019:

- Addition of new Part 6 (Insurance Regulation 195) (Electronic Filings and Submissions) to Title 11 NYCRR, effective May 25, 2020.

Statutory Authority: Financial Services Law Sections 202 and 302 and Insurance Law Sections 301 and 316.

The regulation requires an insurer or other entity subject to the regulation to make certain filings or submissions electronically, such as insurance fraud prevention plans and reports, rates and forms, annual and quarterly statements and supplements, and holding company and parent corporation applications and reports. Filers for whom electronic filing would cause a hardship may request from the Superintendent an exemption from this regulation.

- Consolidated Rulemaking Amending Part 28 (Insurance Regulation 42) (Professional Bail Agents), Part 33 (Insurance Regulation 120) (Managing General Agents), and Part 66 (Insurance Regulation 76) (Surety Bond Forms – Waiver of the Filing and Prior Approval Requirements of Section 2317 of the Insurance Law) of Title 11 NYCRR, effective March 19, 2020.

Statutory Authority: Financial Services Law Sections 202 and 302 and Insurance Law Sections 301, 2307, 2314, and Article 68.

The amendments to the regulations provide greater protection to consumers and raise the standards of integrity in the bail business. The amendment to 11 NYCRR 28 (Insurance Regulation 42) requires, among other things, a bail agent to maintain a bail register; a bail agent to issue a receipt upon collecting and, if applicable, returning premium and collateral; an insurer, charitable bail organization (“CBO”), and bail agent to be liable for the return of all collateral received; a bail agent to prominently display in a headquarters location and each satellite office the license of the bail agent and any supervising person responsible for the place of business and a sign that states that a complaint may be filed with the Department; and a bail agent to provide certain disclosures to a potential indemnitor before the indemnitor signs any agreement. The amendments also codify *Gevorkyan v. Judelson*, 29 NY 3d 452 (2017), which held that the full premium paid for a bail bond must be returned if the principal is not released from custody.

The amendment to 11 NYCRR 33 (Insurance Regulation 120) defines “managing general agent” to mean any person or business entity that supervises or manages, on behalf of an insurer, bail agents appointed by the insurer, other than a person who is a full-time employee or officer of the insurer. The amendment to 11 NYCRR 66 (Insurance Regulation 76) requires insurers and CBOs to file, for the approval of the Superintendent, all contracts and other forms that are signed by or provided to the indemnitor or principal, including the bail bond form.

- Amendment to Part 58 (Insurance Regulation 193) (Minimum Standards for Form, Content and Sale of Medicare Supplement and Medicare Select Insurance, Including Standards of Full and Fair Disclosure) of Title 11 NYCRR, effective January 1, 2020.

Statutory Authority: Financial Services Law Sections 202 and 302 and Insurance Law Sections 301, 3201, 3216, 3217, 3218, 3221, 3231, and 4235 and Article 43.

The amendment requires insurers issuing Medicare supplement insurance policies to conform with the revised National Association of Insurance Commissioners (“NAIC”) model regulation for Medicare supplement insurance, as required by 42 U.S.C. Section 1395ss of the federal Social Security Act.

- Amendment to Part 52 (Insurance Regulation 62) (Minimum Standards for the Form, Content and Sale of Health Insurance, Including Standards of Full and Fair Disclosure) of Title 11 NYCRR, effective January 1, 2020.

Statutory Authority: Financial Services Law Sections 202 and 302; Insurance Law Sections 301, 3216, 3217, 3221, and 4303; Chapter 25 of the Laws of 2019; and Part M of Chapter 57 of the Laws of 2019.

The amendment establishes a process, including timeframes, for an insured, an insured’s designee, or an insured’s health care provider to request coverage of a non-covered contraceptive drug, device, or product in conformity with newly enacted Ch. 25 of the Laws of 2019 and Part M of Ch. 57 of the Laws of 2019.

Part 52 was amended in 2020, effective April 22, 2021 (State Register December 23, 2020), to require that health insurance identification cards must include the names and identification numbers of the insured and dependents; the name of the issuer providing the coverage; the product or plan name; important telephone numbers; the issuer’s website address; and cost-sharing information. Additionally, to eliminate confusion regarding self-funded plans, the amendment required that health insurance identification cards must include a statement identifying whether the coverage is insured by the issuer or administered by the issuer through a self-funded arrangement.

Part 52 was amended in 2020, effective July 28, 2020 (State Register April 29, 2020), to implement Subpart D of Part J of Chapter 57 of

the Laws of 2019, which amended Insurance Law Section 2607 and added Insurance Law Sections 3243 and 4330, by clarifying that discrimination prohibited by Insurance Law Sections 2607, 3243, and 4330 includes certain activities, such as including a policy clause that purports to deny, limit, or exclude coverage based on an insured’s sexual orientation, gender identity or expression, or transgender status or designating an insured’s sexual orientation, gender identity or expression, or transgender status as a pre-existing condition for the purpose of denying, limiting, or excluding coverage. The amendment also implements Insurance Law Sections 3216(i)(17)(E), 3221(l)(8)(E) and (F), and 4303(j)(3) by clarifying that coverage for preexposure prophylaxis with effective antiretroviral therapy to persons who are at high risk of HIV acquisition is included within preventive care and screenings and specifying the timing for coverage of preventive care and screenings.

Part 52 was amended in 2021, effective December 22, 2021, to clarify that the meaning of “telehealth” includes audio-only visits (e.g., telephone calls) and that, for the purpose of telehealth, an insurer may engage in reasonable fraud, waste, and abuse detection efforts, including efforts to prevent payments for services that do not warrant separate reimbursement.

- Amendment to Part 350 (Insurance Regulation 140) (Continuing Care Retirement Communities) of Title 11 NYCRR, effective December 27, 2019.

Statutory Authority: Financial Services Law Sections 202 and 302; Insurance Law Section 1119; and Public Health Law Sections 4604(4)(a), 4607, and 4611.

The amendment modernizes the parameters of the framework for the financial oversight of Continuing Care Retirement Communities (“CCRCs”) to better fit the needs of both CCRCs and the Department by broadening the range of permitted investments for CCRCs; by clarifying the oversight of numerous financial transactions between CCRCs and affiliated entities; by adding an annual financial reporting requirement related to the transfer or sale of capital assets; and by adding a reference to the new type of optional contract, the continuing care at home contract.

- Amendment to Part 94 (Insurance Regulation 56) (Valuation of Individual and Group Accident and Health Insurance Reserves) of Title 11 NYCRR, effective November 27, 2019.

Statutory Authority: Financial Services Law Sections 202 and 302 and Insurance Law Sections 301, 1303, 1304, 1305, 1308, 4117, 4217, 4310, and 4517.

The amendment adopts the 2016 Cancer Claim Cost Valuation Tables (2016 CCCVT) for first occurrence and hospitalization cancer expense benefit contracts issued on or after January 1, 2019, or if optionally elected, on or after January 1, 2018, replacing the 1985 NAIC Cancer Claim Cost Tables.

- Amendment to Part 41 (Insurance Regulation 143) (Accelerated Payment of the Death Benefit Under a Life Insurance Policy) of Title 11 NYCRR, effective November 27, 2019.

Statutory Authority: Financial Services Law Sections 202 and 302 and Insurance Law Sections 301, 1113, 1304, 3201, 3209, 3230, 4217 and 4517.

The amendment implements statutory amendments made by the Laws of 2017 Ch. 300, Laws of 2014 Ch. 465, Laws of 2014 Ch. 448, and Laws of 2010 Ch. 563, related to the acceleration of life insurance death benefits, including an amendment that an insurer issuing accelerated death benefits under Insurance Law Section 1113(a)(1)(D) is no longer required to be a qualified long-term care insurance carrier under Internal Revenue Code section 4980C (26 U.S.C. section 4980C).

- Amendment to Part 52 (Insurance Regulation 62) (Minimum Standards for Form, Content and Sale of Health Insurance, Including Standards of Full and Fair Disclosure) of Title 11 NYCRR, effective August 11, 2019.

Statutory Authority: Financial Services Law Sections 202 and 302 and Insurance Law Sections 301, 1120, 3201, 3216(i)(17), 3217, 3217(d), 3217-g, 3221(l)(8), 4303(j), and 4306-f.

The amendment requires an insurance policy or contract, including

a child health insurance plan, policy or contract, that provides coverage for direct access to maternal depression screening and referral performed by a provider of obstetrical, gynecologic, or pediatric services of the mother's choice, to provide coverage for the screening and referral under the mother's policy and also under the infant's policy if the infant is covered under a different policy than the mother and a pediatric provider performs the screening and referral.

Additional amendments were made to 11 NYCRR 52 since 2019 as described above.

- Amendment to Part 68 (Insurance Regulation 83) (Charges for Professional Health Services) of Title 11 NYCRR, effective August 7, 2019.

Statutory Authority: Financial Services Law Sections 202 and 302 and Insurance Law Sections 301, 2601, 5221 and Article 51.

The amendment delayed the effective date of the Workers' Compensation fee schedule increases for no-fault reimbursement.

The regulation was amended in 2020, effective April 22, 2020, to implement Chapter 59, Part III, Section 19 of the Laws of 2019, which amended Insurance Law Section 3420(f) to require that any policy insuring against loss resulting from liability imposed by law for bodily injury or death suffered by any natural person arising out of the ownership, maintenance and use of an altered motor vehicle or stretch limousine, having a seating capacity of eight or more passengers and used in the business of carrying or transporting passengers for hire, provide supplementary uninsured/underinsured ("SUM") motorist coverage for bodily injury in an amount of a combined single limit of \$1,500,000 because of bodily injury or death of one or more persons in any one accident. The amendment also added a definition of "altered motor vehicle" or "stretch limousine" consistent with Department of Motor Vehicles regulation 15 NYCRR Section 79.20(f)(2).

- Amendment to Part 103 (Insurance Regulation 213) (Principle-Based Reserving) of Title 11 NYCRR, effective May 15, 2019.

Statutory Authority: Financial Services Law Sections 202 and 302 and Insurance Law Sections 301, 4217, and 4517.

The amendment clarifies that the Superintendent may require a life insurer, including a fraternal benefit society issuing life insurance or annuity certificates, to change an assumption or method that in the Superintendent's opinion is necessary to comply with the NAIC valuation manual adopted by the Superintendent and Section 4217(g), and clarifies that a life insurer must adjust reserves as the Superintendent requires.

The regulation was amended in 2020, effective February 26, 2020. The NAIC had revised its model Standard Valuation Law in 2009 to establish principle-based reserving (the funds set aside by insurers to pay insureds' claims). Beginning January 1, 2020, the 2009 revisions to the NAIC's Standard Valuation Law would become an accreditation standard. The amendment conformed to the 2009 revisions to the NAIC's Standard Valuation Law to comply with the NAIC's accreditation standards.

The regulation was amended in 2021, effective March 31, 2021, to clarify, and make certain adjustments to, the regulation and to prescribe additional minimum standards for valuing statutory reserves that in the Superintendent's opinion were necessary to comply with the valuation manual to best serve the policyholders of New York State by ensuring that the minimum standards for valuing statutory reserves are set at a level appropriate for the payment of future claims.

- Addition of new Part 364 (Insurance Regulation 214) (Paid Family Leave Risk Adjustment Fund) of Title 11 NYCRR, effective May 15, 2019.

Statutory Authority: Financial Services Law Sections 202 and 302, Insurance Law Sections 301, 3201, 3217, 3221 and 4235, and Workers' Compensation Law Sections 204(2)(a), 208(2), and 209(3)(b).

The regulation created the fund required to implement the risk adjustment mechanism referred to in Insurance Law Section 4235(n) and established by Part 363 of 11 NYCRR.

The following Insurance rulemakings were adopted in 2017:

- Amendment to Part 48 (Insurance Regulation 210) (Life Insurance and Annuity Non-Guaranteed Elements) of Title 11 NYCRR, effective March 19, 2018.

Statutory Authority: Financial Services Law Sections 202 and 302, and Insurance Law Sections 301, 1106, 1113, 3201, 3203, 3209, 3219, 3220, 3223, 4216, 4221, 4223, 4224, 4231, 4232, 4238, 4239, 4240, 4511, 4513, 4518 and Article 24.

The amendment addresses several issues that had been highlighted by company announcements, media commentary, and complaints received by the Department regarding the determination and readjustment of non-guaranteed elements in life insurance policies, particularly with respect to universal life, indeterminate premium term life, and whole life insurance, and annuity contracts. The amendment assists consumers to better understand at the time of purchase and upon any adverse readjustment of non-guaranteed elements how life insurance policies and certain annuity certificates and contracts with non-guaranteed elements subject to change at the discretion of the insurer or fraternal benefit society operate, and thereby reduce consumer dissatisfaction and the number of lapsed policies. The amendment accomplishes this by requiring additional disclosures at the time the policy, contract or certificate is issued and by requiring notice to be provided in advance of any adverse change in the current scale of non-guaranteed elements, in order to give the owner enough time to address any projected insufficiency.

- Amendment to Part 154 (Insurance Regulation 150) (Private Passenger Motor Vehicle Multi-Tier Programs) of Title 11 NYCRR, effective March 13, 2018.

Statutory Authority: Financial Services Law Sections 202 and 302, and Insurance Law Sections 301, 2301, 2303, and 2349, and Insurance Law Article 23.

The amendment to Insurance Regulation 150 clarifies that an insurer may not use a policyholder's occupational status or educational level as a factor in either initial tier placement or tier movement unless the insurer demonstrates, to the Superintendent's satisfaction, that the use of occupational status or educational level attained in initial tier placement or tier movement does not result in a rate that violates Insurance Law Article 23. This rule accords with the public policy objectives that the New York State Legislature sought to advance in Insurance Law Sections 2301, 2303, and 2349.

- Amendment to Part 68 (Insurance Regulation 83) (Charges for Professional Health Services) of Title 11 NYCRR, effective January 23, 2018.

Statutory Authority: Financial Services Law Sections 202 and 302, and Insurance Law Sections 301, 2601, 5221, and Article 51.

The amendment to Insurance Regulation 83 limits insurers' reimbursement of no-fault insurance health care services provided outside New York State at the election of a New York State eligible injured person to the lowest of: (1) the amount of the fee in the region in New York State that has the highest applicable amount in the fee schedule for that service; (2) the amount the provider charged; and (3) the prevailing fee in the geographic location of the provider. If the jurisdiction where the out-of-state provider renders treatment has established a fee schedule for services rendered in connection with motor vehicle-related injuries, the prevailing fee shall be the amount prescribed in that fee schedule for the respective service. This limit on reimbursement does not apply to services provided out-of-state that: (1) would constitute emergency care; (2) are provided to a non-resident of this State; or (3) are provided to a New York State resident who, at the time of treatment, is residing in the jurisdiction where the treatment is being rendered for reasons unrelated to the treatment.

The regulation was amended in 2019 and 2020 as described above.

- Amendment to Part 420 (Insurance Regulation 169) (Privacy of Consumer Financial and Health Information General Provisions) of Title 11 NYCRR, effective December 20, 2017.

Statutory Authority: Financial Services Law Sections 202 and 302; Insurance Law Sections 301, 1505, 1608, 1712, and 3217, and Article 24; and in accordance with the provisions of 12 U.S.C. Section 1831x, 15 U.S.C. Sections 6801(b), 6802, 6803, 6805(b), 6805(c) and 6807, and 15 U.S.C. Chapter 94.

The amendment incorporates changes to federal privacy laws regarding information maintained by financial institutions. Under the Gramm-Leach Bliley Act ("GLBA"), financial institutions must

provide certain notices to consumers and customers regarding the use of personal information. The Fixing America's Surface Transportation ("FAST") Act, which was enacted into law on December 4, 2015, eliminated the requirement for financial institutions other than those in the insurance industry to provide GLBA annual notices under certain limited circumstances. Under GLBA, each individual state makes its own conforming amendments to implement the change for the insurance industry. The NAIC proposed the changes for all insurance regulators to make. The amendment makes those exceptions applicable to licensees (as that term is defined in the regulation) under the Insurance Law that are subject to the regulation. The amendments eliminate a costly and duplicative requirement.

- Amendment to Part 80-1 (Insurance Regulation 52) (Holding Companies) of Title 11 NYCRR, effective December 20, 2017.

Statutory Authority: Financial Services Law Sections 202 and 302, and Insurance Law Sections 301, 1502(b) and 1506.

The rulemaking amends item 3 of Section 80-1.6 to fix incorrect references to a "controlled person" and to clarify that an executive officer or director of a corporation may make a written application to the Superintendent for an exemption from the requirement that the person furnish a consolidated balance sheet as of the end of the applicant's fiscal year and related consolidated statements of income and surplus for the year then ended on the ground that submitting the documents is not pertinent in determining the financial condition of the corporation, of which the individual is an executive officer or director.

- Addition of new Part 228 (Insurance Regulation 208) (Title Insurance Rates, Expenses, and Changes) of Title 11 NYCRR, effective December 18, 2017.

Statutory Authority: Financial Services Law Sections 202 and 302, and Insurance Law Sections 301, 2110, 2119, 2303, 2304, 2306, 2315, and 6409 and Articles 23 and 24.

Insurance Regulation 208 was adopted to: (a) ensure that title insurance corporations and title insurance agents comply with the Insurance Law; (b) level the playing field so that a title insurance corporation or title insurance agent is not selected based on which entity can provide the most lavish inducements; (c) help ensure that title insurance rates are not excessive; and (d) eliminate unreasonable and excessive markups of ancillary charges. This rule provides consumers with additional protection against excessive rates and unreasonable closing costs.

The Department is working on amending Section 228(a)(1)-(3) and (d) in accordance with *Matter of New York State Land Tit. Assn., Inc. v. New York State Dep't of Fin. Svcs.*, 169 A.D.3d 18 (1st Dep't 2019).

- Amendment to Part 101 (Insurance Regulation 164) (Standards for Financial Risk Transfer Between Insurers and Health Care Providers) of Title 11 NYCRR, effective November 3, 2017.

Statutory Authority: Financial Services Law Sections 202 and 302, Insurance Law Sections 301, 1102, 1109 and Articles 32, 41, 42, 43, and Public Health Law Section 4403(1)(c) and Article 29-E.

To advance the objectives of Public Health Law Article 29-E, which established a demonstration program to test the ability of accountable care organizations ("ACOs") to assume a role in delivering an array of health care services, from primary and preventive care through acute inpatient hospital and post-hospital care, the Commissioner of Health of the State of New York ("Commissioner") adopted a regulation (10 NYCRR 1003) ("ACO Regulation") establishing standards for the issuance of certificates of authority to ACOs by the Commissioner. The Commissioner also adopted an amendment to 10 NYCRR 98 to: (1) expand the definition of an independent practice association ("IPA") to allow such entities to become certified as ACOs pursuant to Public Health Law Article 29-E and the ACO Regulation; and (2) upon certification, contract with third party health care payers.

The amendment to Insurance Regulation 164 expands the definition of "intermediary entity" to include ACOs as defined by the Commissioner's ACO Regulation, thereby permitting insurers to enter into financial risk transfer arrangements with ACOs that are certified pursuant to Article 29-E and the ACO Regulation.

- Consolidated Amendment to Part 27 (Insurance Regulation 41) (Excess Line Placements Governing Standards); Subpart 60-1 (Insur-

ance Regulation 35-A) (Minimum Provisions for Auto Liability Insurance Policies); Subpart 60-2 (Insurance Regulation 35-D) (Supplementary Uninsured/Underinsured Motorists Insurance); Subpart 65-1 (Insurance Regulation 68-A) (Regulations Implementing the Comprehensive Motor Vehicle Insurance Repairs Act – Prescribed Policy Endorsements); Subpart 65-3 (Insurance Regulation 68-C) (Regulations Implementing the Comprehensive Motor Vehicle Insurance Repairs Act – Claims for Personal Injury Protection Benefits); Subpart 65-4 (Insurance Regulation 68-D) (Regulations Implementing the Comprehensive Motor Vehicle Insurance Repairs Act – Arbitration); Part 169 (Insurance Regulation 100) (Noncommercial Motor Vehicle Insurance Merit Rating Plans); Part 216 (Insurance Regulation 64) (Unfair Claims Settlement Practices and Claim Cost Control Measures); and Addition of new Subpart 60-3 (Insurance Regulation 35-E) (Transportation Network Companies: Minimum Provisions for Auto Liability Policies and other Requirements) of Title 11 NYCRR, effective October 25, 2017.

Statutory Authority: Financial Services Law Sections 202 and 302, Insurance Law Sections 301, 2115, 2118, 2305, 2307, 2334, 2335, 2601, 3420, 3455, 5102, 5105, and 5406 and Articles 23 and 51, Vehicle and Traffic Law ("VTL") Sections 1693, 1694 and 311, and Chapter 59 of the Laws of 2017 Part AAA.

Part AAA of Chapter 59 of the Laws of 2017 established a new Article 44-B of the VTL ("Article 44-B") regarding transportation network companies ("TNCs"), which was signed into law on April 10, 2017, took effect on June 29, 2017, and amended or added other laws to implement new Article 44-B. A TNC is a company that uses a digital network, such as an application on a phone, to connect people seeking rides with drivers who are interested in providing those rides. Although TNCs have several different models, the most typical model uses drivers who are not professional livery drivers and who use their own personal automobiles to provide those prearranged rides, and it is that model that Chapter 59 recognizes. The new TNC laws necessitated a change to New York's motor vehicle financial responsibility requirements, including regulations promulgated by the Superintendent. In addition, the law provides that the Superintendent must establish the provisions for policies satisfying the new financial responsibility requirements of Article 44-B.

The amendments were adopted to implement the new TNC law, particularly to establish the minimum requirements for policies satisfying the financial responsibility requirements of Article 44-B, and to ensure that minimum insurance requirements are in place at all times with appropriate protections in order to protect the drivers and owners of the vehicles, and the general public.

Insurance Regulation 35-D was amended in 2018, effective November 25, 2018, to conform with Insurance Law Section 3420(f)(2-a), which was implemented by Chapter 490 of the Laws of 2017 and Chapter 15 of the Laws of 2018. The amendment clarifies that SUM coverage must not provide fewer benefits than mandatory uninsured motorist coverage when a combined single limit policy is issued. In addition, the amendment amends the rules related to the manner in which the organization designated by the Superintendent to administer the SUM arbitration program assesses the cost of the program to the insurance industry, in accordance with the recommendation and authorization of the Supplementary Uninsured Motorist Optional Arbitration Advisory Committee and amends all references to "AAA/American Arbitration Association" to read "designated organization." The amendment also incorporates various editorial revisions to the prescribed endorsement and other portions of the regulation to clarify the intent and application of the coverage.

The regulation was amended again in 2020, effective March 25, 2020, to conform to a legislative amendment to Insurance Law Section 3420(f) by requiring any policy insuring against loss resulting from liability imposed by law for bodily injury or death suffered by any natural person arising out of the ownership, maintenance, or use of an altered motor vehicle or stretch limousine, having a seating capacity of eight or more passengers and used in the business of carrying or transporting passengers for hire, provide SUM coverage for bodily injury in an amount of a combined single limit of \$1,500,000 because of bodily injury or death of one or more persons in any one accident.

Insurance Regulation 35-E was amended in 2018, effective Decem-

ber 19, 2018, to extend, until July 1, 2019, the requirement that a group policy provide that the group policy is primary over a policy issued in satisfaction of Vehicle and Traffic Law Article 6 to give insurers additional time to revise and implement their new policy forms. The amendment also changed an incorrect citation from 11 NYCRR 60-3.3(g)(2) to 11 NYCRR 60-3.3(h)(2).

Insurance Regulation 68-C, as part of a consolidated consensus rulemaking, was amended in 2021, effective June 9, 2021, to repeal Form NF 10 of Appendix 13 and add a new Form NF 10 to Appendix 13 to remove the wording “Financial Frauds and Consumer Protection Division” from the Department’s address and to update the address of the Department’s Buffalo office.

- Consolidated Amendment to Parts 20 (Insurance Regulations 9, 18 and 29) (Brokers, Agents and Certain other Licensees – General); 29 (Insurance Regulation 87) (Special Prohibitions); 30 (Insurance Regulation 194) (Producer Compensation Transparency); 34 (Insurance Regulation 125) (Requirements Pertaining to the Location of an Insurance Agent or Broker at Each Place of Insurance Business); and Addition of new Part 35 (Insurance Regulation 206) (Title Insurance: Title Insurance Agents, Affiliated Relationships, and Required Disclosures) of Title 11 NYCRR, effective October 18, 2017.

Statutory Authority: Financial Services Law Sections 202 and 302, and Insurance Law Sections 107(a)(54), 301, 2101(k), 2109, 2112, 2113, 2119, 2120, 2122, 2128, 2129, 2132, 2139, 2314, and 6409.

The amendments were made to include title insurance agents in a number of existing regulations governing insurance producers and to clarify those regulations. In addition, the rules address unique circumstances involving title insurance agents, including affiliated persons arrangements and required consumer disclosures.

Part 20 (Insurance Regulations 9, 18 and 29) was amended in 2021, effective November 12, 2021, to require insurance producers (including title insurance agents) and resident public adjusters, during the two-year licensing term, to obtain at least one ethics and professionalism continuing education (“CE”) credit and at least one diversity, inclusion, and elimination of bias CE credit. The new amendment requires certain insurance producers to earn at least one flood insurance CE credit and at least three enhanced flood insurance CE credits if they sell flood insurance through the National Flood Insurance Program. Also, during the first two years an insurance producer or public adjuster is licensed, the amendment requires at least one credit for an overview of the New York Insurance Law.

- Amendment to Part 52 (Insurance Regulation 62) (Minimum Standards for Form, Content, and Sale of Health Insurance, including Standards of Full and Fair Disclosure) of Title 11 NYCRR, effective August 20, 2017.

Statutory Authority: Financial Services Law Sections 202 and 302, and Insurance Law Sections 301, 3201, 3217, 3221, 4235, 4237, and 4303.

The amendment makes explicit that individual, group, and blanket accident insurance policies and contracts that provide hospital, surgical, or medical expense coverage delivered or issued for delivery in New York State may not exclude coverage for medically necessary abortions and must provide such coverage at no cost sharing.

In addition, the amendment provides for an optional, limited exemption for religious employers. However, the amendment still ensures that medically necessary abortion coverage is maintained for any insured of a policy issued to a religious employer at no additional cost to the insured by requiring an insurer to issue a rider to each certificate holder of a policy issued to the religious employer that provides coverage for medically necessary abortions, at no premium to be charged to the certificate holder or religious employer.

Part 52 was amended in 2018, effective October 3, 2018, to require every individual and small group accident and health insurance policy or contract (other than a grandfathered health plan) delivered or issued for delivery in New York that provides hospital, surgical, or medical expense coverage and every student accident and health insurance policy or contract delivered or issued for delivery in New York to continue providing coverage of at least the enumerated ten categories of essential health benefits (“EHB”) if the EHB provisions in 42 U.S.C. § 18022 and 45 C.F.R. 156.100 et seq. are no longer in effect or are modified as determined by the Superintendent.

In addition, with respect to an individual or small group accident and health insurance policy that provides hospital, surgical, or medical expense coverage and a student accident and health insurance policy or contract delivered or issued for delivery in New York State, the amendment reaffirms that an issuer is prohibited from discriminating because of race, color, creed, national origin, sex, age, marital status, disability, or a preexisting condition and to clarify the scope of such prohibitions. Part 52 was amended in 2018, effective November 25, 2018 (State Register September 26, 2018), to provide that every insurer that delivers or issues for delivery in this state an accident and health insurance policy that provides hospital, surgical, or medical expense coverage and provides coverage for medication for the detoxification or maintenance treatment of a substance use disorder shall include in the policy processes that allow a formulary exception and access to clinically appropriate medication for the detoxification or maintenance treatment of a substance use disorder not otherwise covered by the policy. Part 52 was amended in 2018, effective October 31, 2018, to establish minimum standards for volunteer firefighter enhanced cancer insurance policies that, pursuant to General Municipal Law Section 205-cc, every legally organized fire district, department or company in this state must provide and maintain for each eligible volunteer firefighter unless the fire district, department or company self-funds the benefits.

Additional amendments made to Part 52 are discussed above.

- Amendment to Part 52 (Insurance Regulation 62) (Minimum Standards for Form, Content, and Sale of Health Insurance, including Standards of Full and Fair Disclosure) of Title 11 NYCRR, effective August 20, 2017.

Statutory Authority: Financial Services Law Sections 202 and 302, and Insurance Law Sections 301, 3216(i)(17) and (33), 3217, 3221(l), (8), (16), and (19), and 4303(j), (cc), and (qq).

The amendment requires an insurer to allow, where the prescription so provides, for the dispensing of an initial three-month supply of a contraceptive to an insured, and up to a 12-month prescribed supply for any subsequent dispensing of the same contraceptive prescribed by the same health care provider and covered under the same policy or contract or renewal thereof.

Additional amendments made to Part 52 are described above.

- Amendment to Part 83 (Insurance Regulation 172) (Financial Statement Filings and Accounting Practices and Procedures) of Title 11 NYCRR, effective August 9, 2017.

Statutory Authority: Financial Services Law Sections 202 and 302; Insurance Law Sections 107(a)(2), 301, 307, 308, 1109, 1301, 1302, 1308, 1404, 1405, 1407, 1411, 1414, 1501, 1505, 3233, 4117, 4233, 4239, 4301, 4310, 4321-a, 4322-a, 4327 and 6404; Public Health Law Sections 4403, 4403-a,

4403-c(12) and 4408-a; Chapter 599 of the Laws of 2002 and Chapter 311 of the Laws of 2008.

The regulation incorporates by reference the March 2017 edition of the Accounting Practices and Procedures Manual (“AP&P Manual”) published by the NAIC to enhance the consistency of the accounting treatment of assets, liabilities, reserves, income, and expenses, and to set forth the accounting practices and procedures to be followed in completing annual and quarterly financial statements required by law.

The regulation was amended in 2020, effective December 30, 2020, to adopt the March 2020 edition of the AP&P Manual; to except from the adoption of the AP&P Manual the guidance prescribed in subparagraphs 4.a. and 4.b. of SSAP No. 26R, “Bonds”, the third sentence of Footnote 1 of SSAP No. 97 and the guidance prescribed in paragraph 11, and Footnote 1 of SSAP No. 72, “Surplus and Quasi-Reorganizations”; and to make various technical corrections.

The regulation was amended in 2021 as part of a consolidated rulemaking that also adopted new Part 77 to 11 NYCRR (Insurance Regulation 220). The rulemaking requires that, until January 1, 2027, the shares of an exchange traded fund (“ETF”), the portfolio of which consists of fixed income securities, cash, and cash equivalents, be treated as bonds for the purpose of a domestic insurer’s risk-based capital (“RBC”) report if the ETF meets certain criteria. The rulemaking also requires that shares of an ETF that meets the criteria set forth

in 11 NYCRR Section 77.2(a) be accounted for as set forth in the AP&P Manual, including with respect to the asset valuation reserve and interest maintenance reserve, with the exception that the book adjusted carrying value of such shares must be set equal to fair value (and not systematic value). The rulemaking further requires a foreign insurer to calculate its RBC consistent with 11 NYCRR 77 and to report that RBC in the New York supplement to the annual financial statement. The rulemaking also adopts the March 2021 edition of the AP&P Manual.

- Addition of new Part 111 (Insurance Regulation 207) (Statement of Actuarial Opinion and Actuarial Opinion Summary for Property/Casualty Insurers) to Title 11 NYCRR, effective August 2, 2017.

Statutory Authority: Financial Services Law Sections 202 and 302, and Insurance Law Sections 301, 307, 316, and 4117.

The rule incorporates Section 2A of the NAIC Property and Casualty Actuarial Opinion Model Law (the “Model Law”), which requires an authorized property/casualty insurer to submit an annual statement of actuarial opinion (“SAO”) unless otherwise exempted by the insurer’s domiciliary state, and Section 2B of the Model Law, which requires a domestic property/casualty insurer that must submit an SAO to submit an annual actuarial opinion summary (“AOS”) written by the insurer’s appointed actuary. This incorporation ensures that the Department meets NAIC accreditation standards and relieves the Department of the need to continue reissuing circular letters each year. The rule also requires an authorized property/casualty insurer to submit an AOS electronically unless the Superintendent grants the insurer an exemption from filing electronically.

- Amendment to Subpart 60-2 (Insurance Regulation 35-D) (Supplementary Uninsured/Underinsured Motorists Insurance) of Title 11 NYCRR, effective August 1, 2017.

Statutory Authority: Financial Services Law Sections 202 and 302, and Insurance Law Sections 301 and 3420.

The amendment interprets Insurance Law Section 3420(f)(2), in light of ensuing judicial rulings and experience, by establishing a standard form for SUM coverage, in order to eliminate ambiguity, minimize confusion and maximize its utility.

The amendment clarifies an inadvertent misinterpretation to ensure that the SUM coverage will not provide fewer benefits than the mandatory uninsured motorist coverage. In addition, the amendment amends the rules related to the manner in which the organization designated by the Superintendent to administer the SUM arbitration program assesses the cost of the program to the insurance industry, in accordance with the recommendation and authorization of the Supplementary Uninsured Motorist Optional Arbitration Advisory Committee, and amends all references in Sections 60-2.3 and 60-2.4 to “AAA/American Arbitration Association” to read “designated organization.” Furthermore, the amendment incorporates various editorial revisions to the prescribed endorsement and other portions of the regulation to clarify the intent and application of the coverage.

Insurance Regulation 35-d was amended in 2018 and 2020 as described above.

- Amendment to Part 95 (Insurance Regulation 56) (Valuation of Individual and Group Accident and Health Insurance Reserves) of Title 11 NYCRR, effective July 19, 2017.

Statutory Authority: Financial Services Law Sections 202 and 302 and Insurance Law Sections 301, 1303, 1304, 1305, 1308, 4117, 4217, 4310, and 4517.

The amendment adopted the 2013 Individual Disability Income Valuation Table (“2013 IDI Valuation Table”) for individual disability income contracts issued on or after January 1, 2020, or if optionally elected, on or after January 1, 2017, replacing both the 1985 Commissioners Individual Disability Tables A and the 1985 Commissioners Individual Disability Tables B. The amendment also adopted the 2013 IDI Valuation Table for non-worksite individual disability income claims incurred on or after January 1, 2020, or if optionally elected, on or after January 1, 2017, replacing the 1985 Commissioners Individual Disability Tables C.

The regulation was amended in 2019 as described above.

- Addition of new Part 363 (Insurance Regulation 211) (Minimum

Standards for the Form and Rating of Family Leave Benefits coverage, Including the Establishment and Operation of a Risk Adjustment Mechanism) to Title 11 NYCRR, effective May 31, 2017.

Statutory Authority: Financial Services Law Sections 202 and 302; Insurance Law Sections 301, 3201, 3217, 3221, and 4235; and Workers’ Compensation Law (“WCL”) Sections 204(2)(a), 208(2) and 209(3)(b).

The new Part implements the statutory mandates set forth in Insurance Law Section 4235(n) and WCL Sections 204(2)(a), 208(2), and 209(3)(b). The regulation establishes that family leave benefits coverage under WCL Article 9 must be community rated and may be subject to a risk adjustment mechanism, sets the procedures for publishing the maximum employee contribution, and requires issuers and self-funded employers to submit information electronically on claims.

- Consolidated Rulemaking Amending Part 147 (Insurance Regulation 98) (Valuation of Life Insurance Reserves) and Part 179 (Insurance Regulation 100) (Recognition of the 2001 CSO Mortality Table and the 2017 CSO Mortality Table for Use in Determining Minimum Reserve Liabilities and Nonforfeiture Benefits and Recognition and Application of Preferred Mortality Tables for Use in Determining Minimum Reserve Liabilities) to Title 11 NYCRR, effective May 17, 2017.

Statutory Authority: Financial Services Law Sections 202 and 302 and Insurance Law Sections 301, 1304, 1308, 4217, 4218, 4221, 4224, 4240, and 4517.

The rulemaking specified that the prior two amendments to Insurance Regulations 147 and 179 only applied to policies issued on or after January 1, 2015 and prior to January 1, 2017, or on or after January 1, 2015 and prior to January 1, 2018 with written notification provided to the Superintendent by June 30, 2017. The rulemaking also adopted the 2017 CSO Mortality Table, the table adopted by the NAIC as the minimum valuation standard for applicable life insurance policies issued on or after January 1, 2020, or if the insurer optionally elected, on or after January 1, 2017.

The prior two amendments to Insurance Regulations 147 and 179 had been implemented to reflect the emerging mortality experience that had significantly improved since the implementation of the 2001 CSO Mortality Table. The mortality improvement included in the prior amendments was no longer needed since it was directly incorporated within the 2017 CSO table. Allowing the prior two amendments to Insurance Regulations 149 and 179 to remain along with the adoption of the 2017 CSO Mortality Table would have caused double counting of the mortality improvement.

The rulemaking was amended in 2018, effective January 2, 2019, to grant insurers the additional time necessary to update administrative and valuation systems prior to any forthcoming changes to minimum reserve standards by statutory amendment.

The rulemaking was amended in 2020, effective April 22, 2020, to allow insurers that chose to continue using the 2015 reserve relief procedures to use them for one more year of policy issues, until they had to update their reserve procedures to comply with new Insurance Law Section 4217(g).

- Consolidated Rulemaking Amending Part 65-3 (Insurance Regulation 68-C) (Regulations Implementing the Comprehensive Motor Vehicle Insurance Reparations Act) and Part 216 (Insurance Regulation 64) (Unfair Claims Settlement Practices and Claim Cost Control Measures) of Title 11 NYCRR, effective February 1, 2017.

Statutory Authority: Financial Services Law Sections 202 and 302, and Insurance Law Section 301.

The amendment updated the address of the Department’s Long Island office referenced in Part 216 and NYS Form NF-10 to Appendix 13 (Part 65-13).

- Amendment to Part 12 (Insurance Regulation 50) (Agent Training Allowance Subsidies for Certain Life Insurance and Annuity Business) of Title 11 NYCRR, effective January 25, 2017.

Statutory Authority: Financial Services Law Sections 202 and 302, and Insurance Law Sections 301 and 4228.

The amendment permits an increase in training allowance limits that were initially set by statute in 1998, to adjust for inflationary increases that had arisen since the regulation was first promulgated on September 28, 2007.

The following rulemakings were adopted in 2012:

- Amendment to Part 362 (Insurance Regulation 171) (The Healthy New York Program) of Title 11 NYCRR, effective November 28, 2012.

Statutory Authority: Financial Services Law Sections 202 and 302; Insurance Law Sections 301, 1109, 3201, 3216, 3217, 3221, 4235, 4303, 4304, 4305, 4326 and 4327.

This amendment permitted existing Healthy New York enrollees to keep their current coverage option. New applicants, for coverage effective January 1, 2012 or later, were limited to Healthy New York's high deductible health plans only. This approach struck a balance in protecting existing enrollees from unaffordable rate increases while maintaining an affordable option for those purchasing coverage.

- Amendment to Part 16 (Insurance Regulation 86) (Special Risk Insurance) of Title 11 NYCRR, effective November 7, 2012.

Statutory Authority: Financial Services Law Sections 202, 301 and 302; Insurance Law Sections 301, 307 and 308 and Article 63.

The free trade zone enables insurers to make certain types of insurance available more quickly without prior approval or review by the Superintendent to facilitate more streamlined economic development in New York. To advance this objective, the Department reduced one of the premium thresholds for Class 1 risks and added more insurance risks that may be written as Class 2 risks in the free trade zone. The rule was also amended to define various risks and exposures that were previously added to the Class 2 risk list by public notice, pursuant to Section 16.8 of the regulation. Additionally, the parameters established for writing risks in the "free trade zone" had not been revised in several years. This amendment simplified certain of the calculations set forth in the current rule.

Section 16.4 was amended, effective April 2, 2014, to remove certain requirements to conform with the revisions then made to Insurance Law Section 6303(a)(3) by Chapter 75 of the Laws of 2013. The rulemaking also made technical corrections to conform to a statutory amendment, correct a citation and fix an error that was made in an earlier amendment to the regulation.

The rule was amended again in 2018, effective May 16, 2018, to incorporate Class 2 Risk changes that the Department had introduced by Public Notice in May 2017.

- Amendment to Part 39 (Insurance Regulation 144) (Minimum Standards for the New York State Partnership for Long-Term Care Program) of Title 11 NYCRR, effective June 1, 2012.

Statutory Authority: Financial Services Law Sections 202, 301 and 302; Insurance Law Sections 301, 1117, 3201, 3217, 3221, 3229, 4235, 4237 and Article 43; and Social Services Law Section 367-f.

The amendment revised minimum standards for inflation protection to add a new plan and add disclosure requirements relating to reciprocity.

The regulation was amended in 2013, effective January 1, 2014, to reflect in dollar amounts the minimum daily benefit amounts from 2014 to 2023 that New York State Partnership insurers must use.

The regulation was amended in 2013, effective June 5, 2013, as part of a consolidated rulemaking to revise references that became outdated as a result of the consolidation of the New York State Insurance and Banking Departments into a new Department of Financial Services, and made certain other technical changes (e.g., grammatical corrections and repeal of obsolete forms).

- Amendment to Part 83 (Insurance Regulation 172) (Financial Statement Filings and Accounting Practices and Procedures) of Title 11 NYCRR, effective May 2, 2012.

Statutory Authority: Financial Services Law Sections 202 and 302; Insurance Law Sections 107(a)(2), 301, 307, 308, 1109, 1301, 1302, 1308, 1404, 1405, 1407, 1411, 1414, 1501, 1505, 3233, 4117, 4233, 4239, 4301, 4310, 4321-a, 4322-a, 4327 and 6404; Public Health Law Sections 4403, 4403-a, 4403-c(12) and 4408-a; Chapter 599 of the Laws of 2002; and Chapter 311 of the Laws of 2008.

The regulation incorporates by reference the March 2017 edition of the AP&P Manual published by the NAIC to enhance the consistency of the accounting treatment of assets, liabilities, reserves, income, and expenses, and to set forth the accounting practices and procedures to

be followed in completing annual and quarterly financial statements required by law.

The regulation was amended in 2013, effective June 5, 2013, as part of a consolidated rulemaking to revise references that became outdated as a result of the consolidation of the New York State Insurance and Banking Departments into a new Department of Financial Services, and made certain other technical changes (e.g., grammatical corrections and repeal of obsolete forms).

The regulation was amended in the following years to adopt the most recent AP&P Manual in use at the time: 2013, effective December 4, 2013; twice in 2014, effective April 2, 2014 and November 19, 2014; in 2015, effective September 23, 2015; and in 2016, effective November 9, 2016. The amendment effective November 19, 2014 also updated references to the AP&P Manual's statements of statutory accounting principles ("SSAP") 10R to read SSAP 101, to reflect the same changes that were made by the NAIC as of January 1, 2012. The rule also makes technical corrections to Section 83.4(i).

The regulation also was amended in 2017, 2020 and 2021 as described above.

- Amendment to Part 26 (Insurance Regulation 25) (Independent Adjusters) of Title 11 NYCRR, effective April 25, 2012.

Statutory Authority: Financial Services Law Sections 202 and 302 and Insurance Law Sections 301 and 2108.

The regulation was amended to authorize the licensing of independent adjusters for multi-peril crop insurance.

The regulation was amended in 2013, effective June 5, 2013, as part of a consolidated rulemaking to revise references that became outdated as a result of the consolidation of the New York State Insurance and Banking Departments into a new Department of Financial Services, and made certain other technical changes (e.g., grammatical corrections and repeal of obsolete forms).

- Amendment to Part 16 (Insurance Regulation 86) (Special Risk Insurance) of Title 11 NYCRR, effective April 11, 2012.

Statutory Authority: Financial Services Law Sections 202, 301 and 302; Insurance Law Sections 301, 307 and 308 and Article 63.

Chapter 490 of the Laws of 2011 amended Article 63 of the Insurance Law by introducing Class 3 risks to be written in New York by insurers licensed to write special risk insurance for "large commercial insureds," as defined in the amendment, provided that the insurers make certain informational filings with the Superintendent. The addition of the Class 3 risks was intended to enhance the ability of insurers to underwrite large and unusual risks in the New York market, increase speed to market for certain insurance products not currently exempted, and facilitate more streamlined economic development in New York, as existing and emerging businesses that need to insure large or unusual risks would have quick access to the insurance they need. The rule set forth the requirements for writing Class 3 risks and the procedures for insurers to make the required filings as stated in Chapter 490.

The regulation was amended in 2013, effective June 5, 2013, as part of a consolidated rulemaking to revise references that became outdated as a result of the consolidation of the New York State Insurance and Banking Departments into a new Department of Financial Services, and made certain other technical changes (e.g., grammatical corrections and repeal of obsolete forms).

The regulation was amended in 2014, effective April 2, 2014, to amend Section 16.4 to remove certain requirements in order to conform Section 16.9 with the revisions that had been made to Insurance Law Section 6303(a)(3) by Chapter 75 of the Laws of 2013. The rulemaking also made some technical corrections.

The regulation was amended in 2018, effective May 16, 2018, to update Section 16.12(e) to incorporate changes and additions to class 2 risks that were introduced by Public Notice published on May 10, 2017. In addition, Class Code 2-04002 (Federal Crime Program-Excess on Commercial Risks) were deleted since the program was defunct.

- Amendment to Part 99 (Insurance Regulation 151) (Valuation of Annuity, Single Premium Life Insurance, Guaranteed Interest Contract and Other Deposit Reserves) of Title 11 NYCRR, effective April 11, 2012.

Statutory Authority: Financial Services Law Sections 202, 301, and 302 and Insurance Law Sections 301, 1304, 4217 and 4517.

The Insurance Law prescribes the mortality tables and interest rates to be used for calculating reserve funds necessary in relation to the obligations made to policy or contract holders. This amendment was adopted to allow the use of substandard annuity mortality tables in valuing impaired lives under individual single premium immediate annuities. Use of a substandard annuity mortality table or the use of a constant addition to the standard mortality rate allowed the insurer to recognize the impaired health of the annuitant, which may benefit consumers with impaired health by enabling insurers to keep costs at a lower level because they would not need to hold standard reserves.

Insurance Regulation 151 was amended effective August 27, 2014 to prescribe a new individual annuity mortality table to be used when setting reserves that are held for individual annuities and pure endowments issued or purchased on or after January 1, 2015.

- Addition of Part 381 (Insurance Regulation 198) (Life Settlements) to Title 11 NYCRR, effective March 21, 2012.

Statutory Authority: Financial Services Law Sections 202, 301 and 302; Insurance Law Sections 301 and Sections 2137, 7803, 7804 and 7817 as added by the Laws of 2009, Chapter 499, Section 21.

Sections 2137, 7803, and 7804 of the Insurance Law require the Superintendent to establish the application filing fees for licensing of life settlement providers and brokers; the registration of life settlement intermediaries; and financial accountability requirements for life settlement providers. The amendment, which establishes license and registration fees and financial accountability requirements, was adopted to implement the life settlement legislation.

- Amendment to Part 54 (Insurance Regulation 77) (Variable Life Insurance) of Title 11 NYCRR, effective March 14, 2012.

Statutory Authority: Financial Services Law Sections 202, 301 and 302 and Insurance Law Sections 301, 3201, and 4240.

The amendment added provisions necessary to accommodate the mechanics of private placement investments in variable life insurance policies and allowed consumers who meet the federal definition of “accredited investor” or “qualified purchaser” as incorporated by reference in the regulation, to purchase private placement variable life insurance policies. The amendment also required insurers to inform consumers that, due to the illiquid nature of the investment options, the payment of the death benefit, the cash surrender value, policy loans, partial withdrawals, or partial surrenders, as applicable, may be delayed. The statement must also advise the applicant to refer to the policy for further details on any delay of payments.

The regulation was amended in 2013, effective June 5, 2013, as part of a consolidated rulemaking to revise references that became outdated as a result of the consolidation of the New York State Insurance and Banking Departments into a new Department of Financial Services, and made certain other technical changes (e.g., grammatical corrections and repeal of obsolete forms).

- Addition of Subpart 151-6 (Insurance Regulation 119) (Workers’ Compensation Insurance) to Title 11 NYCRR, effective March 7, 2012.

Statutory Authority: Financial Services Law Sections 202 and 302; Insurance Law Section 301; and Workers’ Compensation Law Sections 15(8)(h)(4) and 151(2)(b).

The amendment was adopted to standardize the basis upon which the workers’ compensation assessments were calculated to eliminate any discrepancy between the amount that an insurer collected from employers and the amount that an insurer remitted to the Workers’ Compensation Board.

The regulation was amended in 2013, effective June 5, 2013, as part of a consolidated rulemaking to revise references that became outdated as a result of the consolidation of the New York State Insurance and Banking Departments into a new Department of Financial Services, and made certain other technical changes (e.g., grammatical corrections and repeal of obsolete forms).

The regulation was amended in 2016, effective November 23, 2016, to implement Part A of Chapter 60 of the Laws of 2014 by requiring that for each workers’ compensation insurance policy issued or

renewed in New York State, an insurer provide a credit to a health care facility that implements and maintains a safe patient handling program that meets the requirements of Public Health Law § 2997-(k)(2). The amount of the credit and the manner in which it is applied must be made in accordance with the approved manual filed by the rate service organization (“RSO”) of which the insurer is a member. The rule also required every workers’ compensation RSO to file certain information with the Superintendent by June 1 of each year so that the Superintendent could collect information for the statutorily-required reports due to the Legislature in 2018 and 2020.

- Consolidated Rulemaking Amending Part 147 (Insurance Regulation 98) (Valuation of Life Insurance Reserves and Recognition of the 2001 CSO Mortality Table and the 2017 CSO Mortality Table for Use in Determining Minimum Reserve Liabilities) and Part 179 (Insurance Regulation 100) (Nonforfeiture Benefits and Recognition and Application of Preferred Mortality Tables for Use in Determining Minimum Reserve Liabilities) to Title 11 NYCRR, effective March 7, 2012.

Statutory Authority: Financial Services Law Sections 202 and 302 and Insurance Law Sections 301, 1304, 1308, 4217, 4218, 4221, 4224, 4240, and 4517.

The rulemaking specified the dates of applicability of the previous consolidated amendments to Insurance Regulations 147 and 179 and adopted the 2017 CSO Mortality Table as the minimum valuation standard for applicable life insurance policies issued on or after January 1, 2020 (or if the insurer optionally elected, on or after January 1, 2017), adopted by the NAIC in April 2016.

The rulemaking was amended in 2014, effective January 15, 2014, to delete the January 1, 2014 sunset provisions in Section 98.9(c)(viii), which permit insurers to use certain prescribed lapse assumptions that would make the section inoperable with respect to policies written on or after January 1, 2014.

The rulemaking was amended again in 2014, effective December 10, 2014, to enable life insurers to lower their reserves for term life policies.

The rulemaking was amended in 2015, effective April 1, 2015, to modernize the current regulatory scheme with respect to universal life insurance with secondary guarantee reserves.

The rulemaking was amended in 2018, effective January 2, 2019, and in 2020, effective April 22, 2020, as described above.

The following rulemakings were adopted in 2007:

- Amendment to Part 100 (Insurance Regulation 179) (Recognition of the 2001 CSO Mortality Table for Use in Determining Minimum Reserve Liabilities and Non-forfeiture Benefits and Recognition and Application of Preferred Mortality Table for Use in Determining Minimum Reserve Liabilities) of Title 11 NYCRR, effective December 26, 2007.

Statutory Authority: Insurance Law Sections 201, 301, 1304, 4217, 4218, 4221, 4224, 4240, and 4517, and Articles 24 and 26.

Insurance Regulation 179 was amended to recognize and permit the use of the 2001 CSO preferred class structure mortality table for preferred lives for individual life insurance and group life insurance products sold to individuals by certificate with premium rates guaranteed from issue for at least two years in accordance with Insurance Law Sections 4217 and 4517.

Insurance Regulation 179 was amended effective March 16, 2011, to extend the use of the 2001 CSO Preferred Structure Mortality Table to policies issued on or after January 1, 2004, and also amended in 2014 and 2015 as discussed above.

The regulation was amended in 2011, effective March 16, 2011, to extend the use of the 2001 CSO Preferred Structure Mortality Table to policies issued on or after January 1, 2004.

Insurance Regulation 179 was amended as part of consolidated rulemakings in 2012, 2014, 2015, 2017, 2018 and 2020 as described above.

- Amendment to Part 98 (Insurance Regulation 147) (Valuation of Life Insurance Reserves) of Title 11 NYCRR, effective December 26, 2007.

Statutory Authority: Insurance Law Sections 201, 301, 1304, 1308, 4217, 4218, 4240, and 4517.

Insurance Regulation 147 was amended to include the provisions of the adopted new version of the NAIC's Actuarial Guideline 38 to be in effect for policies issued on or after January 1, 2007 and prior to January 1, 2011.

The regulation was amended in 2012, 2014, 2015, 2017, 2018, and 2020 as part of consolidated rulemakings amending 11 NYCRR Parts 147 and 179 (Insurance Regulations 147 and 179) as described above.

- Amendment to Part 27 (Insurance Regulation 41) (Excess Line Placements Governing Standards) of Title 11 NYCRR, effective December 19, 2007.

Statutory Authority: Insurance Law Sections 201, 301, 2105, 2118, and Article 21.

Article 21 of the Insurance Law establishes minimum standards for the placement of New York risks with eligible excess line insurers. Insurance Regulation 41 further governs the placement of excess line insurance. Insurance Regulation 41 requires alien excess line insurers to maintain trust funds in the United States to support their United States excess line business. These trust requirements were not updated for several years. The NAIC's International Insurers Department, which reviews alien insurer applications for inclusion on the NAIC Quarterly Listing of Alien Insurers, updated its trust funding standards for alien excess line insurers and for associations of insurance underwriters ("Associations"). This rule changed the amount of funds required to be held in trust by alien excess line insurers and Associations and resolved the existing inequity in the trust fund obligations imposed upon alien excess line insurers, as compared to the obligations imposed upon an Association. The amount of funds to be held in trust by alien excess line insurers increased, and the amount of funds to be held in trust by an Association decreased.

The regulation was amended in 2011, effective May 4, 2011, to increase the minimum surplus to policyholders required to be maintained by new and current excess line insurers.

The regulation was amended effective October 8, 2014, to implement Chapter 61 of the Laws of 2011, conforming to the Federal Non-admitted and Reinsurance Reform Act of 2010.

- Amendment to Part 42 (Insurance Regulation 149) (Term Life Issuance and Renewal Restrictions; Non-forfeiture Values for Certain Life Insurance Policies) of Title 11 NYCRR, effective December 5, 2007.

Statutory Authority: Insurance Law Sections 201, 301, 3201, 4221, and 4511

The Insurance Law sets forth non-forfeiture requirements for the anniversaries of life insurance policies. Those requirements assume that premiums are annually paid at the beginning of each policy year, and that any surrenders or lapses occur at the end of the year. In practice, premiums actually may be paid throughout a policy year (i.e., monthly), and surrenders may occur at times other than on a policy anniversary. This amendment addressed the issues that can arise when those types of variations occur. Providing insurers with guidance as to what is considered acceptable should enhance their ability to get policy forms approved more quickly. This amendment also sought to clarify the requirements of Insurance Law Section 4221 because of the number of areas where the Department found problems with policy form submissions.

- Amendment to Part 362 (Insurance Regulation 171) (The Healthy New York Program & the Direct Payment Stop Loss Relief Program) of Title 11 NYCRR, effective November 7, 2007.

Statutory Authority: Insurance Law Sections 201, 301, 1109, 3201, 3217, 3221, 4235, 4303, 4304, 4305 and 4326.

Insurance Regulation 171 was amended to require health maintenance organizations and participating insurers to offer high deductible health plans using the Healthy New York small employer and individual programs. This option provided New Yorkers with access to a tax-advantaged method of purchasing health insurance. The rule also provided for prostate cancer screening and a limited home health care and physical therapy benefit. The addition of the prostate cancer screening benefit facilitated prompt and early detection of prostate cancer, which in turn should decrease mortality and reduce treatment costs.

Insurance Regulation 171 also was amended effective November 28, 2012 as discussed above.

- Amendment to Part 52 (Insurance Regulation 62) (Minimum Standards for the Form, Content, and Sale of Health Insurance, Including Standards of Full and Fair Disclosure) and Addition of a new Part 56 (Insurance Regulation 183) (Processing of Claims) to 11 NYCRR, effective November 7, 2007.

The Insurance Law authorizes the Superintendent to establish standard provisions for accident and health insurance coverage, and to promulgate regulations governing minimum standards for the form, content, and sale of such coverage. Insurance Regulation 183 and the amendment of 11 NYCRR 52.16(c)(5) served that purpose. The cosmetic surgery exclusion presently set forth in Regulation 62 predated Insurance Law Article 49, which provides for internal and external appeal of medical necessity denials. This rule clarified the requirements relating to the cosmetic surgery exclusion in light of the subsequently enacted statutes.

The regulation was amended in 2008, effective March 12, 2008, to require insurers, Article 43 corporations, and health maintenance organizations to send notices to their policy holders, certificate holders, and members describing chapter 748 of the Laws of 2006.

The regulation was amended again in 2008, effective November 19, 2008, to prohibit coverage of drugs, procedures, or supplies for the treatment of erectile dysfunction when provided to, or prescribed for use by, a person who is required to register as a sex offender pursuant to article 6-C of the Correction Law.

The regulation was amended in 2009, effective July 15, 2009, as part of a consolidated rulemaking also amending Part 217 to establish guidelines for processing of healthcare claims when the person is covered by more than one health insurance policy.

The regulation was amended again in 2009, effective December 9, 2009, to comply with Insurance Law 3234(b), pursuant to Benesowitz v. Metropolitan Life Insurance Company.

The regulation was amended in 2010, effective May 5, 2010, as part of a consolidated rulemaking adding a new Part 58 and amending Parts 52, 215, 360, and 361 to establish a framework for the form, content and sale of Medicare supplement insurance. States must have a Medicare supplement insurance regulatory program that provides a minimum level of coverage as established by federal law, 42 U.S.C. § 1395ss.

The regulation was amended in 2011, effective March 30, 2011, to establish minimum standards for internal appeal procedures for long term care insurance, nursing home & home care insurance, nursing home insurance only, and home care insurance only.

Additional amendments to Part 52 adopted after 2011 are described above.

- Amendment to Part 362 (Insurance Regulation 171) (The Healthy New York Program & the Direct Payment Stop Loss Relief Program) of Title 11 NYCRR, effective November 7, 2007.

Statutory Authority: Insurance Law Sections 201, 301, 1109, 3201, 3217, 3221, 4235, 4303, 4304, 4305, and 4326.

Insurance Regulation 171 was amended to require health maintenance organizations ("HMOs") and participating insurers to offer high deductible health plans using the Healthy New York small employer and individual programs. This option provided New Yorkers with access to a tax-advantaged method of purchasing health insurance. The rule also provided for prostate cancer screening and a limited home health care and physical therapy benefit. The addition of the prostate cancer screening benefit facilitated prompt and early detection of prostate cancer, which in turn should decrease mortality and reduce treatment costs.

Insurance Regulation 171 also was amended effective November 28, 2012 as discussed above.

- Amendment to Part 350 (Insurance Regulation 140) (Continuing Care Retirement Communities) of Title 11 NYCRR effective October 17, 2007.

Statutory Authority: Insurance Law Sections 201, 301, and 1119 and Public Health Law Sections 4604(4)(a), 4607, and 4611.

Chapter 689 of the Laws of 1989 was enacted for the stated purpose

of facilitating the creation of the necessary components for the development of a broader and more integrated continuum of long-term care, financed by a range of private, public, and public/private options. One option was the Continuing Care Retirement Community ("CCRC"), a residential facility for seniors that provides stated house-keeping, social, and health care services in return for some combination of advance fees, periodic fees, and additional fees. A CCRC is often designed to provide a full continuum of care as the health status of a resident deteriorates with age. A CCRC is expected to maintain at all times at least the required minimum level of liquid funds to cover unexpected expenses or unexpected revenue shortfalls. These funds are not to be used to cover budgeted expenses. This amendment reduced the minimum liquid amount requirement to a level more in line with the investment community's "days cash on hand" benchmark for an entrance fee community. The "days cash on hand" benchmark was designed to provide sufficient funds to cover unexpected expenditures, to provide refunds for unanticipated living unit turnover without an attendant new entrance fee, or to meet other unbudgeted expenses.

The Department is considering another amendment to Insurance Regulation 140 to clarify and modify the actuarial reserve calculation, distribution allowances, allowable investments, and necessary filing requirements, in view of marketplace expansion in both the number and types of CCRCs. The amendment would also add a new section specifying parameters for transactions between a CCRC and its parent corporation, affiliate or subsidiary.

- Addition of Part 12 (Insurance Regulation 50) (Agent Training Allowance Subsidies for Certain Life Insurance and Annuity Business) to Title 11 NYCRR, effective October 17, 2007.

Statutory Authority: Insurance Law Sections 201, 301, and 4228.

Insurance Regulation 50 was added to update the limits in Insurance Law Sections 4228(e)(3)(C) through 4228(e)(3)(E) to reflect inflation from the January 1, 1998 effective date of Section 4228 to the present.

The Department adopted an amendment to Insurance Regulation 50 in 2017, effective January 25, 2017, to increase the training allowance limits to reflect inflationary increases that had arisen since the regulation was first promulgated.

- Amendment to Part 74 (Insurance Regulation 159) (Homeowners Insurance Disclosure Information and other Notices) of Title 11 NYCRR, effective August 8, 2007.

Statutory Authority: Insurance Law Sections 201, 301, 3425, 3445, and 5403.

In enacting Chapter 162 of the Laws of 2006, the Legislature intended to improve public awareness of market assistance programs, such as the Coastal Market Assistance Program, that may be available to homeowners in New York. Chapter 162 required that when a policyholder received a notice of cancellation, nonrenewal, or conditional renewal for a homeowners insurance policy as specified in Insurance Law Section 3425(e) with respect to property located in an area served by a market assistance program established by the Superintendent for the purpose of facilitating placement of homeowners insurance, the policyholder must also have received notice from the insurer of possible eligibility for coverage through the market assistance program or through the New York Property Insurance Underwriting Association ("NYPIUA"). In order to implement Chapter 162, the Legislature required the Superintendent to promulgate regulations governing the notices required by law. This rule set forth certain minimum notification requirements to ensure that policyholders that may have been eligible for a market assistance program or NYPIUA received proper notice of their options.

- Repeal of Part 94 and addition of new Part 94 (Insurance Regulation 56) (Valuation of Individual and Group Accident and Health Insurance Reserves) to Title 11 NYCRR, effective July 11, 2007.

Statutory Authority: Insurance Law Sections 201, 301, 1303, 1304, 1305, 1308, 4117, 4217, 4310, and 4517.

The Insurance Law does not specify mortality, morbidity, and interest standards used to value individual and group accident and health insurance policies but relies on the Superintendent to specify methodology. This regulation was repealed and replaced with a new

Part 94 to prescribe rules for valuing minimum individual and group accident and health insurance reserves, including standards for valuing certain accident and health benefits in life insurance policies and annuity contracts. The amendment also lowered reserves for individual policies, which was expected to result in a lower cost of doing business in New York.

The regulation was amended in 2016, effective February 24, 2016, to adopt the NAIC 2012 Group Long-Term Disability Valuation Table for group long-term disability income claims incurred on or after January 1, 2017, or if optionally elected, on or after October 1, 2014, replacing the 1987 Commissioners Group Disability Table.

The regulation was amended in 2019, effective November 27, 2019, to adopt the 2016 Cancer Claim Cost Valuation Tables for first occurrence and hospitalization cancer expense benefit contracts issued on or after January 1, 2019, or if optionally elected, on or after January 1, 2018, replacing the 1985 NAIC Cancer Claim Cost Tables.

- Amendment to Part 83 (Insurance Regulation 172) (Financial Statement Filings and Accounting Practices and Procedures) of Title 11 NYCRR, effective April 25, 2007.

Statutory Authority: Insurance Law Sections 107(a)(2), 201, 301, 307, 308, 1109, 1301, 1302, 1308, 1404, 1405, 1407, 1411, 1414, 1501, 1505, 3233, 4117, 4233, 4239, 4301, 4310, 4321-a, 4322-a, 4327 and 6404; Public Health Law Sections 4403, 4403-a, 4403-(c)(12) and 4408-a; and Chapter 599 of the Laws of 2002.

The rule incorporated the NAIC AP&P Manual, March 2006 edition by reference to enhance the consistency of the accounting treatment of assets, liabilities, reserves, income, and expenses, and to set forth the accounting practices and procedures to be followed in completing annual and quarterly financial statements required by law.

Insurance Regulation 172 was amended in 2011, effective March 16, 2011, to adopt the most recent edition of the AP&P Manual in use at the time.

The regulation was amended in 2012, 2013, 2014, 2015, 2016, 2017, 2020, and 2021 as described above.

- Amendment to Subpart 65-3 (Insurance Regulation 68-C) (Claims for Personal Injury Protection Benefits); Amendment of Subpart 65-4 (Insurance Regulation 68-D) (Arbitration) of Title 11 NYCRR, both effective March 14, 2007.

Statutory Authority: Insurance Law Sections 201, 301, 2601, 5106, and 5221; Vehicle and Traffic Law Section 2407.

Insurance Regulation 68 contains provisions implementing Article 51 of the Insurance Law, which is commonly referred to as the No-fault law. No-fault insurance is intended to provide for prompt payment of health care and loss of earnings benefits. In accordance with Chapter 452 of the Laws of 2005, these two rules required an insurer to issue a denial of a no-fault claim with specific language that advised the applicant of the availability of special expedited arbitration to resolve the issue of which insurer must process the claim for first party benefits.

Insurance Regulations 68-C and 68-D were amended as part of a consolidated rulemaking in 2017, effective February 1, 2017, as described above.

- Amendment to Part 362 (Insurance Regulation 171) (The Healthy New York Program & the Direct Payment Stop Loss Relief Program) of Title 11 NYCRR, effective January 31, 2007.

Statutory Authority: Insurance Law Sections 201, 301, 1109, 3201, 3216, 3217, 3221, 4235, 4303, 4304, 4305, 4318, 4326, and 4327.

Chapter 1 of the Laws of 1999 enacted the Healthy New York Program as an initiative designed to encourage small employers to offer health insurance to their employees and to encourage uninsured individuals to purchase health insurance coverage. This rule introduced a second Healthy New York benefit package at a reduced premium rate. The second benefit package provided for a lower-cost alternative and permitted individuals and small businesses to choose a benefit package that met their needs. The rule eliminated the well-child copayment applicable to the Healthy New York Program in order to enhance access to preventive and primary care for children and permitted the Healthy New York Program to be considered qualifying health insurance under the federal Trade Act of 2002, which allowed those qualify-

ing for a federal tax credit to benefit from that credit. The rule also revised the eligibility requirements relating to employment to lessen complexity and enhance access.

- Amendment to Part 152 (Insurance Regulation 124) (Physicians and Surgeons Professional Insurance Merit Rating Plan) of Title 11 NYCRR, effective January 24, 2007.

Insurance Law Section 2343(d) provides that the Superintendent shall, by regulation, establish a merit rating plan for physicians' professional liability insurance. Section 2343(e) provides that the Superintendent may approve malpractice insurance premium reductions for insured physicians who successfully complete an approved risk management course, subject to standards prescribed by the Superintendent by regulation. This rule was amended to allow, but not to require, an insurer to offer an internet-based risk management course to its insureds as soon as the Department determines that the course is in proper compliance with applicable law.

- Amendment to Part 83 (Insurance Regulation 172) (Financial Statement Filings and Accounting Practices and Procedures) of Title 11 NYCRR, effective January 10, 2007.

Statutory Authority: Insurance Law Sections 107(a)(2), 201, 301, 307, 308, 1109, 1301, 1302, 1308, 1404, 1405, 1407, 1411, 1414, 1501, 1505, 3233, 4117, 4233, 4239, 4301, 4310, 4321-a, 4322-a, 4327 and 6404; Public Health Law Sections 4403, 4403-a, 4403-c(12) and 4408-a; and Chapter 599 of the Laws of 2002.

This rule incorporated the NAIC AP&P Manual, March 2005 edition by reference to enhance the consistency of the accounting treatment of assets, liabilities, reserves, income, and expenses, and to set forth the accounting practices and procedures to be followed in completing annual and quarterly financial statements required by law. The amendment of another portion of the regulation was necessitated by the issuance of a revised edition of Estimated Useful Lives of Depreciable Hospital Assets, another publication that is incorporated by reference in the regulation.

Insurance Regulation 172 was amended again in 2007, and in 2011, 2012, 2013, 2014, 2015, 2016, 2017, 2020, and 2021 as described above.

- Addition of new Part 75 (Insurance Regulation 181) (Standards for Insurance that Qualifies for the Environmental Remediation Insurance Tax Credit) to Title 11 NYCRR, effective January 10, 2007.

Statutory Authority: Insurance Law Sections 201, 301, 2105, 2118, and 3447.

Insurance Law Section 3447 provides that the Superintendent is authorized to promulgate regulations relating to the certification of policies of insurance that qualify for the environmental remediation insurance tax credit provided for under Tax Law Section 23. Part 75 was added to provide guidance for insurers as to the minimum standards for environmental remediation insurance coverages that will enable an insurer to certify that the coverages qualify for the environmental remediation insurance tax credit provided for under the Tax Law. This Part also provides the requirements for disclosure of the premiums paid for the coverages under Insurance Law Section 3447(b) to enable the insured to obtain the appropriate tax credit.

- Amendment to Part 262 (Insurance Regulation 162) (Legal Services Insurance) of Title 11 NYCRR, effective January 10, 2007.

Statutory Authority: Insurance Law Sections 201, 301, 1113(a)(29), 1116 and Articles 23 and 63 and Chapter 65 of the Laws of 1998.

Prior to this amendment, legal services insurance that was written as part of a policy of liability insurance was subject to the filing and approval requirements of Insurance Law Article 23 and did not qualify as a special risk coverage pursuant to 11 NYCRR Part 16 (Insurance Regulation 86). Thus, a liability policy that might otherwise be exempt from Article 23 filing requirements, except for the fact that it includes legal services insurance coverage, was required to be submitted to the Department for approval before it could be used. This amendment permitted legal services insurance to qualify as a special risk only if the coverage of the policy of liability insurance of which it is a part also qualified as a special risk coverage pursuant to Insurance Regulation 86 and Insurance Law Article 63, and the policy was written on such basis.

- Amendment to Part 98 (Insurance Regulation 147) (Valuation of Life Insurance Reserves) of Title 11 NYCRR, effective January 10, 2007.

Statutory Authority: Insurance Law Sections 201, 301, 1304, 1308, 4217, 4218, 4240, and 4517.

Maintaining solvency of insurers doing business in New York is a principal focus of the Insurance Law. One way the Insurance Law seeks to ensure solvency is by requiring all insurers and fraternal benefit societies authorized to do business in New York to hold reserve funds in an amount proportional to the obligations made to policyholders. At the same time, insurers and policyholders benefit when insurers have adequate capital for company purposes such as expansion and product or other forms of business development.

Some companies have sold life insurance products that result in lower reserves than would be required for products with similar death benefit and premium guarantees. This rule addressed that problem by establishing new reserve methodologies consistent with Insurance Law Section 4217.

Insurance Regulation 147 was amended effective March 16, 2011, to remove restrictions on the mortality adjustment factors (known as X factors) in deficiency reserves calculation. This amendment to Insurance Regulation 147 incorporated both the NAIC revisions to the model regulation and the interpretation of the Actuarial Guideline, thus resulting in consistency between the NAIC and New York and promoting regulatory uniformity across the U.S. Companies domiciled in states that did not adopt these changes by December 31, 2009 year-end were forced to hold higher reserves relative to companies domiciled in states that had adopted these changes.

The regulation was amended in 2011, effective March 16, 2011, to remove restrictions on the mortality adjustment factors ("X factors") in deficiency reserves calculation.

- Addition of Part 46 (Insurance Regulation 174) (Unemployment Lapse Protection Benefit for Life Insurance) to Title 11 NYCRR, effective January 7, 2007.

Statutory Authority: Insurance Law Sections 201, 301, 1113, 3201, and 4525.

This rule established minimum standards for benefit levels, benefit eligibility and exclusion, and premium levels relating to additional benefits authorized under Insurance Law Section 1113(a)(1) for unemployment lapse protection benefits for life insurance. The unemployment lapse protection benefit includes waiver of premium benefits and waiver of charge benefits. This rule also prescribed advertising and disclosure requirements for unemployment lapse protection benefits for life insurance.

The following rulemakings were adopted in 2002:

- Consensus Rulemakings Amending Various Parts of Title 11 NYCRR, effective dates: December 4, 2021; August 21, 2002; June 26, 2002.

The consensus rulemakings amending 11 NYCRR were adopted to update regulations and statutory references to be consistent with the Insurance Law recodification and to eliminate numerous obsolete provisions.

- Amendment to Parts 1 and 2 (Insurance Regulation 1) (Definitions; Promulgation of Regulation; Opinions) of Title 11 NYCRR, effective November 6, 2002.

Statutory Authority: Insurance Law Sections 201 and 301.

This amendment deleted obsolete provisions to reflect current law and the existing internal practices of the Insurance Department and made editorial changes for clarification purposes.

- Amendment to Parts 105-109 (Insurance Regulation 30) (Operating and Allocation of Expenses for Annual Statement Purposes) of Title 11 NYCRR, effective October 23, 2002.

Statutory Authority: Insurance Law Sections 201, 301, 2325, and Article 23.

This amendment deleted obsolete provisions and updated other provisions to be consistent with the NAIC's current Annual Statement instructions.

- Amendment of Part 68 (Regulation 83) (Charges for Professional Health Services) of Title 11 NYCRR, effective October 23, 2002.

Statutory Authority: Insurance Law Sections 201, 301, and Article 51.

The amendment repealed fee schedules that were superseded by fee schedules established by the Workers' Compensation Board. The amendment also repealed provisions referencing outdated hospital fee schedules applicable to No-fault. The Public Health Law establishes the applicable schedules to be used by hospitals when billing for services provided to patients involved in automobile accidents. The amendment repealed health provider schedules created by the Department, which were outdated and were rarely, if ever, used and referenced the current health provider fee schedules established by the Department.

The Department amended the regulation, effective September 22, 2010, to adopt the Workers Compensation Board Dental Fee Schedule.

- Amendment to Subpart 64-2 (Insurance Regulation 35-C) (Liability Insurance Covering All-Terrain Vehicles) of Title 11 NYCRR, effective September 11, 2002.

Insurance Regulation 35-C was amended to update the regulation and provisions contained therein to be consistent with approved name changes of statutorily prescribed endorsements, to delete obsolete provisions, and to make editorial changes.

- Amendment to Part 16 (Insurance Regulation 86) (Special Risk Insurance) of Title 11 NYCRR, effective September 11, 2002.

Statutory Authority: Insurance Law Sections 201, 301, 1403(c), 1404(a)(1), and 1405(a)(1).

This amendment updated Insurance Regulation 86 to include the definitions of various risks and exposures that were previously added to the Class Two Risk List of the Free Trade Zone by public notice. These risks and exposures had been added to the list since the last amendment to this regulation in 1998.

Insurance Regulation 86 was amended in 2012 and 2014 as discussed above.

- Amendment to Part 52 (Insurance Regulation 62) (Minimum Standards for the Form, Content, and Sale of Health Insurance, Including Standards for Full and Fair Disclosure) of Title 11 NYCRR, effective June 5, 2002 and amended for corrections June 19, 2002.

Statutory Authority: Insurance Law Sections 201, 301, 3201, 3216, 3217, 3218, 3221, 3231, 3232, 4235, 4237, and Article 43 and Social Security Act (42 U.S.C. Section 1395ss).

The enactment of the Omnibus Budget Reconciliation Act of 1990 required the mandatory standardization and federal certification of policies of Medicare supplement insurance. As a result of this Act, states were required to amend their laws and regulations to conform to the federal standards for Medicare supplement insurance. The revisions contained in this amendment made technical corrections to New York's Medicare supplement regulation to ensure continued compliance with federal standards.

- Amendment to Part 361 (Insurance Regulation 146) (Establishment and Operation of Market Stabilization Mechanisms for Individual and Small Group Health Insurance and Medicare Supplement Insurance) of Title 11 NYCRR, effective May 22, 2002.

Statutory Authority: Insurance Law Sections 201, 301, 1109, 3201, 3216, 3217, 3221, 3231, 3232, 3233, 4235, 4304, 4305, 4317, 4318, 4321, 4322, and Article 45; Chapter 501 of the Laws of 1992; and Chapter 504 of the Laws of 1995.

Chapter 501 of the Laws of 1992 established requirements for open enrollment, community rating and portability of individual and small group health coverage and provided for a pooling mechanism for individual and small group insurance to ensure the stabilization of health insurance markets and premium rates. Chapter 504 of the Laws of 1995 provided for modification of pooling processes designed to share the risk of insurers and HMOs providing individual and small group health insurance coverage.

This amendment to Insurance Regulation 146 exercised the statutory authority and responsibility placed upon the Superintendent to implement and assure the ongoing operation of open enrollment and community rating, including mechanisms designed to ensure the stability of the individual and small group health insurance markets. Chapter 504 permitted the Superintendent, after January 1, 2000, to

establish more than one type of mechanism for insurers and HMOs to share risks or prevent undue variation in claims costs. This amendment also phased out (as of January 1, 2000) pooling based on demographics for individual and small group coverage, other than Medicare supplement insurance, and replaced them with modified specified medical condition pools. It continued a demographic pooling mechanism for Medicare supplement insurance.

Insurance Regulation 146 was amended effective June 25, 2008 to create a new market stabilization process in the individual and small group market in order to share among plans substantive cost variations attributable to high-cost medical claims.

On December 7, 2016, the Department adopted an amendment to Insurance Regulation 146 on an emergency basis to implement a market stabilization pool for the small group health insurance market for the 2017 plan year.

- Amendment to Part 86 (Insurance Regulation 95) (Report of Suspected Insurance Fraud to the Insurance Frauds Bureau; Required Warning Statements) of Title 11 NYCRR, effective May 1, 2002.

Statutory Authority: Insurance Law Sections 201, 301, 403(c), (d), and (e), 409, and 4322 and Chapter 2 of the Laws of 1998.

Chapter 2 of the Laws of 1998 amended Insurance Law Section 409 relating to fraud prevention plans, to make it applicable to most entities licensed pursuant to Article 44 of the Public Health Law. Section 409 required the Superintendent to implement the provisions of Chapter 2 by promulgating a regulation, including those provisions requiring insurers to adopt and implement fraud prevention plans using a special investigation unit ("SIU"). Therefore, Insurance Regulation 95 was amended to require HMOs to adopt and implement fraud prevention plans and to liberalize the requirements for SIU investigators so that insurers would be better able to recruit qualified investigators.

The Department is considering another amendment to Insurance Regulation 95 to establish a requirement that a licensee required to submit a fraud prevention plan must revise its fraud prevention plan to reflect changes to the holding company, the lines of business that affect the SIU, and changes to SIU personnel or the provider of SIU services. The Department is also revising Section 86.6(d) to correct the due date of the filing of the annual report from January 15 to March 15, in compliance with Insurance Law Section 409(g).

- Amendment to Part 71 (Insurance Regulation 107) (Legal Defense Costs in Liability Policies) of Title 11 NYCRR, effective April 17, 2002.

Statutory Authority: Insurance Law Sections 201, 301, 1113(a)(13)-(14), 3436, and 5504 and Articles 23, 31, 34, and 55.

This amendment to Insurance Regulation 107 established lines of insurance that may be written to provide for defense of a claim to be provided within policy limits (defense within limits). Information provided to the Department indicated that for certain of the lines of insurance for which defense within limits was allowed, the minimum limit requirements were greater than the needs of some policyholders. The premium for the minimum permissible limits was often such that, as a business decision, the employer declined to purchase the coverage. As a consequence, the financial position of the employer, if faced with a claim, could be placed at undue risk and injured parties may have no means of recovery for damages suffered. In addition, some small and regional insurers with relatively limited financial capacity had indicated reluctance to participate in this market at the previously required minimum limits. This regulation also reduced the minimum required limit of liability for employee benefit liability, fiduciary liability, and employment practices liability. As a result, small insurance agents and brokers, as well as regional insurers, had an additional product line to offer and small businesses were offered more affordable coverage.

- Repeal of Parts 11 and 12 (Regulations 49 and 50) (Expense Allowance Limits and Training Allowances) of Title 11 NYCRR, effective April 17, 2002.

Statutory Authority: Insurance Law Sections 201 and 301.

Parts 11 and 12 were promulgated pursuant to Insurance Law Section 4228 prior to its repeal by Chapter 616 of the Laws of 1997. A

newly enacted Section 4228, effective January 1, 1998, contained provisions relating to expense allowance limits and training allowances that conflicted with the provisions of these regulations. This action repealed the regulatory provisions that were no longer applicable by virtue of repeal of the authority for their promulgation.

- Addition of new Part 421 (Insurance Regulation 173) (Standards for Safeguarding Consumer Information) to Title 11 NYCRR, effective February 27, 2002.

Statutory Authority: Insurance Law Sections 201 and 301 and Article 24; 15 U.S.C. Sections 6801, 6805(a)(6), 6805(b), 6805(c), and 6807; and 15 U.S.C. Chapter 94.

Insurance Regulation 173 was added to establish standards for developing and implementing administrative, technical, and physical safeguards to protect the security, confidentiality, and integrity of customer information, pursuant to Sections 501, 505(b) and 507 of the GLBA.

- Amendment to Part 34 (Insurance Regulation 125) (Requirements Pertaining to the Location of an Insurance Agent or Broker at Each Place of Insurance Business in New York) of Title 11 NYCRR, effective February 13, 2002.

Statutory Authority: Insurance Law Sections 201, 301, and 2129; Chapter 556 of the Laws of 1996; and Chapter 505 of the Laws of 2000.

This amendment to Insurance Regulation 125 implemented Chapter 556 of the Laws of 1996, which modified the requirements as to whom may supervise the office of an insurance agent or broker, and Chapter 505 of the Laws of 2000, which created a new type of license, the life insurance broker license.

- Amendment to Part 101 (Insurance Regulation 164) (Financial Risk Transfer Agreements) of Title 11 NYCRR, effective January 30, 2002.

Statutory Authority: Insurance Law Sections 201, 301, 1102, 1109 and Articles 32, 41, 42 and 43 and Public Health Law Section 4403(1)(c).

The regulation was amended to assess the financial responsibility and capability of health care providers to perform their obligations under certain financial risk sharing agreements and set forth standards pursuant to which providers may adequately demonstrate such responsibility and capability to insurers.

The following rulemakings were adopted in 1997:

- Adoption of Part 163 (Regulation 153) (Flexible Rating for Non-business Automobile Insurance Policies) of Title 11 NYCRR, effective August 20, 1997.

Statutory Authority: Insurance Law Sections 201, 301, 2350, and Article 23.

The stated purpose of Article 23 of the Insurance Law includes improving the availability and reliability of insurance and promoting the public welfare by regulating insurance rates to assure that they are not excessive, inadequate, or unfairly discriminatory and are responsive to competitive market conditions. The introduction of flexible rating to private passenger automobile insurance by Chapter 113 of the Laws of 1995 was intended to strengthen the high level of fair competition on a long term basis. Flexible rating is a blend of prior approval and competitive rating. This rule replaces the prior approval system for nonbusiness auto insurance that had been in effect since 1974.

In 2010, effective January 6, 2010, the Department amended the regulation to implement Section 13 of Chapter 136 of the Laws of 2008, which added a new Section 2350 to the Insurance Law, which reintroduced flexible rating for nonbusiness automobile insurance rates. Chapter 113 of the Laws of 1995 first introduced “flex rating” to nonbusiness automobile insurance effective July 1, 1995 until it expired on August 2, 2001 and was replaced by prior approval requirements.

- Amendment to Part 73 (Insurance Regulation 121) (Claims Made Policies: Scope of Application; Minimum Standards) of Title 11 NYCRR, effective August 1, 1997 (State Register July 30, 1997).

Statutory Authority: Insurance Law Sections 201, 301, 308, 1113, 3436, 5504, and Articles 23 and 55.

This regulation provides minimum standards and requirements concerning the offset of defense costs against liability insurance policy limits and/or deductibles. This amendment updated the list of coverages and risks that may be written on a defense cost within limits of liability basis; disclosure requirements; minimum limits of liability; and minimum standards for approval of policies written on a defense cost within limits basis.

In 2000, effective March 22, 2000, the Department amended the regulation to provide that legal services insurance may be provided on a claims-made basis when written as part of a policy of liability insurance covering the types of risk specified in section 73.2(a) of the regulation.

- Amendment to Part 80-2 (Insurance Regulation 52-A) (Minimum Standards for Producer-Controlled Insurers and Controlling Producers: Disclosure Requirements for Certain Other Producers) of Title 11 NYCRR, effective August 1, 1997 (State Register July 30, 1997).

Statutory Authority: Insurance Law Sections 201, 301, 308, 309, 310, 1104, 1106(e), and Articles 15, 16, and 21.

Because the close relationship between a producer and insurer could result in a conflict of interest that might compromise the insurer’s solvency, Subpart 80-2 was promulgated to provide minimum safeguards, allow timely oversight of control arrangements, and reduce the likelihood of abuses and financial harm. This amendment exempts any controlled non-domestic insurer whose state of domicile has a substantially similar law from compliance with the reporting requirements of this subpart.

In 2004, effective February 4, 2004, the Department amended the regulation to repeal Section 80-2.6, a section containing obsolete provisions.

- Amendment to Part 81-1.0 (Insurance Regulation 53) (Subsidiaries of Insurance Companies) of Title 11 NYCRR, effective August 1, 1997 (State Register July 30, 1997).

Statutory Authority: Insurance Law Sections 201, 301, and Article 16.

This regulation concerns subsidiaries that are owned, acquired, or organized pursuant to Article 16 of the Insurance Law by insurance companies that are subject to Insurance Law Section 1403(c). Section 1403(c) prescribes the investments that certain domestic insurers may make once they meet their minimum capital and minimum surplus to policyholders requirement. This rule clarifies that the regulation is applicable only to domestic insurance companies.

- Addition of Part 19 (Regulation 154) (Homeowners Insurance; Applications for Withdrawal from Marketplace) of Title 11 NYCRR, effective July 30, 1997.

Statutory Authority: Insurance Law Sections 201, 301, 2351, and 3425.

The regulation establishes standards for the definition of “material reduction of volume of policies” and standards by which an insurer’s application for material reduction of policies shall be approved.

- Amendment to Part 70 (Insurance Regulation 101) (Medical Malpractice Insurance Rate Modifications, Provisional Rates, Required Policy Provisions and Availability of Additional Coverages) of Title 11 NYCRR, effective July 30, 1997.

Statutory Authority: Insurance Law Sections 201, 301, 1113(a)(13) and (14), 3426, 3436, 5504, 5907, 6302, 6303 and Article 23 and Chapters 639 and 253, Laws of 1996.

The regulation establishes medical malpractice insurance rates, required policy provisions and other standards relating thereto. The amendment established physicians and surgeons medical malpractice rates for the policy year beginning July 1, 1996, and established rules to collect and allocate surcharges to recover deficits based on past experience.

In 1999, effective January 6, 1999, the Department amended the regulation to require that when an insurer enters New York to write physicians and surgeons medical malpractice liability insurance it must use the rates established for the Medical Liability Mutual Insurance Company (“MLMIC”), unless the insurer can demonstrate to the satisfaction of the Superintendent that another rate is appropriate.

Also in 1999, effective April 7, 1999, the Department amended the

regulation to establish physicians and surgeons medical malpractice rates for the policy year beginning July 1, 1998, and established rules to collect and allocate surcharges to recover deficits based on past experience.

In 2000, effective July 12, 2000, the Department amended the regulation to establish physicians and surgeons medical malpractice rates for the policy year beginning July 1, 1999; and establish rules to collect and allocate surcharges to recover deficits based on past experience.

In 2001, effective June 20, 2001, the Department amended the regulation to establish physicians and surgeons medical malpractice rates for the policy year beginning July 1, 2000 and establish rules to collect and allocate surcharges to recover deficits based on past experience. In addition, the rule established the rates to be used by the Medical Malpractice Insurance Plan, which was created by statute to provide coverage for eligible health care practitioners and facilities that were unable to obtain coverage in the voluntary market.

- Amendment to Part 169 (Insurance Regulation 100) (Noncommercial Motor Vehicle Insurance Merit Rating) of Title 11 NYCRR, effective July 9, 1997.

Statutory Authority: Insurance Law Sections 201, 301, 2334, 2335, and 2345.

Insurance Law Section 2334 authorizes the Superintendent to promulgate regulations establishing standards and limitations that are intended to ensure that noncommercial motor vehicle insurance merit rating plans are reasonable, understandable, and objective and are not unfairly discriminatory, inequitable, violative of public policy, or otherwise contrary to the best interests of the people of New York State. This Part establishes standards and limitations under which insurers may use merit rating plans.

In 2011, effective January 19, 2011, the Department amended the regulation to raise from \$1,000 to \$2,000 the minimum threshold amount of property damage which, if exceeded in a motor vehicle accident, would allow an insurer to impose a policy premium surcharge. This conforms the regulation to Chapter 277 of the Laws of 2010, which amended Section 2335 of the Insurance Law.

- Amendment to Part 216 (Insurance Regulation 64) (Unfair Claims Settlement Practices and Claim Cost Control Measures) of Title 11 NYCRR, effective April 23, 1997.

Statutory Authority: Insurance Law Sections 201, 301, and Article 51.

The regulation implements Insurance Law § 2601, which prohibits an insurer from engaging in unfair claims practices, including knowingly misrepresenting pertinent facts or policy provisions; failing to acknowledge with reasonable promptness pertinent communications of claims; failing to adopt and implement reasonable standards for the prompt investigation of claims; not attempting in good faith to effectuate prompt, fair, and equitable claim settlements submitted in which liability has become reasonably clear; and compelling policyholders to institute suits to recover amounts due by offering substantially less than the amounts ultimately recovered in suits brought by the policyholders.

This rulemaking updated the requirements placed on insurers regarding the investigation and settlement of claims.

In 1999, effective September 15, 1999, the Department amended the regulation to ensure that full information be provided regarding vehicles that are severely damaged and subsequently rebuilt.

In 2003, effective April 23, 2003, the Department amended the regulation to add provisions related to the rights of authorized drivers and insurers to inspect damaged rental vehicles.

In 2004, effective July 28, 2004, the Department amended the regulation to replace the reference to the National Insurance Crime Bureau ("NICB") with an unspecified "central organization" designated by the Superintendent, which will receive and investigate automobile total losses.

The regulation was amended in 2017 as described above.

- Addition of Sections 68.1(x) and 68.2(i) to Part 68 (Insurance Regulation 83) (Charges For Professional Health Services) of Title 11 NYCRR, effective April 23, 1997.

Statutory Authority: Insurance Law Sections 201, 301, and Article 51.

Insurance Law Article 51 contains the provisions authorizing the establishment of a no-fault reparations system for persons injured in motor vehicle accidents, and Section 5108 specifically authorizes the Superintendent to adopt or promulgate fee schedules for health care benefits payable under the no-fault system.

The Superintendent is required to promulgate rules and regulations implementing and coordinating the fee schedules adopted by the Chair of the Workers' Compensation Board for medical, chiropractic and podiatric services. These schedules also include fees for some dental, psychiatric and physical therapy services. In 1996 the Chair adopted a new fee schedule. This rulemaking adopted that same schedule for use under the no-fault system.

In 2002, effective October 23, 2002, the Department amended the regulation to repeal those fee schedules that had been superseded by fee schedules established by the Workers' Compensation Board.

In 2004, effective October 6, 2004, the Department amended the regulation to adopt revised standards for charges for professional health care services, including adoption of the fee schedule set forth in the New York State Medicaid Management Information System Provider Manual for durable medical equipment, medical/surgical supplies, orthopedic footwear, and orthotic and prosthetic appliances.

In 2008, effective April 16, 2008, the Department amended the regulation to repeal the fee schedules previously established by the Department for prescription drugs, durable medical equipment, medical/surgical supplies, orthopedic footwear, and orthotic and prosthetic appliances that are now covered by the two fee schedules established by the Workers' Compensation Board, and to clarify that a pharmacy is deemed to be a provider of health services for purposes of eligibility of direct payments pursuant to Regulation 68-C.

In 2010, effective September 22, 2010, the Department amended the regulation to adopt the Workers' Compensation Board Dental Fee Schedule.

The regulation was amended in 2017, 2019, and 2020 as described above.

- Amendment to Subpart 186 (Insurance Regulation 27-B) (Insurance Covering Debtors or Personal Property Purchased on Installment or Deferred Payment Plan) of Title 11 NYCRR, effective April 9, 1997.

Statutory Authority: Insurance Law Sections 201 and 301 and Article 23.

The regulation sets forth minimum requirements for disclosure, policy provisions, policy cancellations, form and rate filing, reporting of experience statistics, and adjustment of claims for policies issued in New York covering debtors and/or creditors on personal property purchased on installment or deferred payment plans. This rulemaking was technical in nature, merely amending the regulation to conform to amendments to the Insurance Law.

- Repeal of Part 63 (Regulation 61) and Adoption of new Part 63 (Regulation 61) (Financial Guaranty Insurance) of Title 11 NYCRR, effective April 2, 1997.

Statutory Authority: Insurance Law Sections 201, 301, 6903(a), and 6907(b) and Article 69.

Article 69, effective May 14, 1989, was added to the Insurance Law to define and authorize the sale of certain types of financial guaranty insurance. Article 69 superseded Part 63 for all new financial guaranties. However, Sections 6903(a)(2) and 6907(b) provide that certain reserve requirements that were applicable for municipal bond guaranties prior to the effective date of Article 69 would continue to apply to all financial guaranties in force prior to the dates set forth in the respective sections. The specific reserve requirements that must be met are different depending on whether the insurer is currently organized and licensed as a financial guaranty insurance corporation pursuant to Article 69.

This Part sets forth the reserve requirements for financial guaranty insurance in force prior to May 14, 1989, the effective date of Article 69 of the Insurance Law.

- Amendment to Subpart 60-2 (Insurance Regulation 35-D) (Supplementary Uninsured Motorist Insurance) of Title 11 NYCRR, effective February 26, 1997.

Statutory Authority: Insurance Law Sections 201, 301, and 3420.

This Subpart interprets Insurance Law Section 3420(f)(2) and establishes a standard form for SUM coverage in order to eliminate ambiguity, minimize confusion, and maximize its utility.

The 1997 rulemaking was adopted to clarify certain provisions of the Subpart, including a specific provision providing that the per person limit of liability is also applicable to the limit of liability per accident and to reflect an increase in the required minimum limits of liability for motor vehicle liability insurance.

The regulation was amended in 2017, 2018, and 2020 as described above.

- Amendment to Part 135 (Insurance Regulation 67) (Reporting of Certain Financial Transactions) of Title 11 NYCRR, effective February 5, 1997.

Statutory Authority: Insurance Law Sections 301 and 307; Retirement and Social Security Law Sections 15 and 315; Education Law Section 523; Administrative Code of the City of New York, Sections 13-193, 13-266, 13-378, and 13-562; and Rules and Regulations of the Retirement Board of the Board of Education of the City of New York, Section 25.

The regulation required reporting of certain financial transactions and reserve liabilities by public retirement systems maintained by the City of New York and the State of New York. This amendment repealed obsolete and invalid provisions and made technical revisions to update the statutory references.

In 2010, effective February 24, 2010, the Department repealed the regulation to eliminate requirements relating to a previous annual statement form that was no longer in use and to eliminate regulatory provisions that were no longer applicable to any person.

- Amendment to Part 67 (Insurance Regulation 79) (Mandatory Inspection of Certain Private Passenger Automobiles) of Title 11 NYCRR, effective February 5, 1997.

Statutory Authority: Insurance Law Sections 201, 301, 3411, and 5303 and Article 53.

Insurance Law Section 3411 sets forth a framework for providing physical damage coverage to private passenger automobiles. Inspections of such vehicles have been required since 1977 in order to combat insurance fraud (as where, for instance, coverage is purchased for a non-existent vehicle, or for a damaged vehicle). Section 3411 also provides that the Superintendent, by regulation, may relieve insurers of the requirement to inspect private passenger motor vehicles where circumstances obviate the need.

The rule was adopted to alleviate the cost and burden to insurers, as well as consumers, where circumstances obviate or minimize the need for applicability of the provisions of this article.

The rule was amended in 2014, effective April 1, 2015 (December 31, 2014 State Register) to revise the requirements placed on insurers with respect to the inspection of private passenger automobiles for physical damage coverage, specifically to eliminate or amend unnecessary or obsolete provisions that are unduly burdensome to insurers and insureds.

Comments on Insurance rulemakings may be submitted to Sally Geisel, Supervising Attorney – Sally.Geisel@dfs.ny.gov; (212) 480-7608; New York State Department of Financial Services, One State Street, New York, NY 10004.

3. BANKING RULEMAKINGS

The following Banking rulemakings were adopted in 2019:

- Amendment to Part 301.6 of the Superintendent's Regulations (Security at Automated Teller Machines: Report of Compliance)

Description of rule: The rule describes the report of compliance required to be filed with the Department pursuant to Banking Law Section 75-g.

Legal basis for the rule: Banking Law §§ 12, 75-n, as amended by Laws of 2011, Chapter 62, Part A, 104(e), and Financial Services Law §§ 202, 302.

Need for rule: The rule is necessary to clarify when the report must be filed and the required language of the report.

- Amendment to Part 400.11 of the Superintendent's Regulations (Fees)

Description of rule: The rule outlines the permissible fees for the cashing of checks, drafts or money orders by licensed check cashers in New York.

Legal basis for the rule: Banking Law §§ 12, 37.3, 367, 369, 371 and 372.

Need for rule: The rule is necessary to clarify the permissible amount of a fee for the cashing of checks, drafts or money orders by licensed check cashers in New York.

- Adoption of New Part 409 of the Superintendent's Regulations (Student Loan Servicers)

Description of rule: The rule describes the regulation of student loan servicers by the Department.

Legal basis for the rule: Banking Law §§ 10, 11, 14, 718 and Article 14-A and Financial Services Law §§ 102, 201, 202, 301 and 302.

Need for rule: The rule is necessary to set forth the requirements and duties of student loan servicers in New York.

- Adoption of New Part 418 of the Superintendent's Regulations (Mortgage Loan Servicers: Registration Requirements; Financial Responsibility Requirements)

Description of rule: The rule describes the registration and financial responsibility requirements of mortgage loan servicers in New York.

Legal basis for the rule: Banking Law, Articles 12-D, 12-E, 12D, § 14 as amended by the Laws of 2011, Chapter 62, Part A § 104(e), (g); Financial Services Law §§ 202, 302.

Need for rule: The rule is necessary to set forth the registration and financial responsibility requirements of mortgage loan servicers in New York.

- Adoption of New Part 419 of the Superintendent's Regulations (Servicing Mortgage Loan Loans: Business Conduct Rules)

Description of rule: The rule describes the business conduct rules of mortgage loan servicers in New York.

Legal basis for the rule: Banking Law, Articles 12-D, 12-E, 12D, § 14 as amended by the Laws of 2011, Chapter 62, Part A § 104(e), (g); Financial Services Law §§ 202, 302.

Need for rule: The rule is necessary to set forth the business conduct rules of mortgage loan servicers in New York.

The following Banking rulemakings were adopted in 2017:

There were no new Banking regulation amendments or adoptions in 2017.

The following Banking rulemakings were adopted in 2012:

- Amendments to Part 23 of the General Regulations of the Superintendent (Call Reports)

Description of rule: This rule requires banks, trust companies and private bankers to file periodical reports of condition with the Superintendent.

Legal basis for the rule: Banking Law §§ 14.1(l) and 37(1).

Need for rule: This rule is necessary to require banks, trust companies and private bankers to file periodical reports of condition using the Federal Financial Institutions Examination Council (FFIEC) forms.

- Amendments to Part 39 of the General Regulations of the Superintendent (Exempt Organizations; Subsidiaries of Exempt Organizations)

Description of rule: This rule defined the entities engaged in the business of soliciting, negotiating, placing, processing or making mortgage loans secured by a first or junior lien that will be exempt from the registration or licensing requirements of Article 12-D of the Banking Law, and to define mortgage loan products, the brokering or funding of which do not require registration or licensing as mortgage banker or broker under Article 12-D.

Legal basis for the rule: Banking Law §§ 12, 14, Article 12-D, L. 2011, Ch. 62, Part A, § 91.

Need for rule: This rule is necessary to eliminate the former exemptions from licensing as a mortgage banker or registration as a mortgage broker for consolidated subsidiaries of financial services organizations and for entities that deal solely in certain loan products.

- Adoption of a New Part 342 of the General Regulations of the Superintendent (Call Reports)

Description of rule: This rule requires branches and agencies of foreign banks, savings banks and savings and loan associations to file periodical reports of condition with the Superintendent.

Legal basis for the rule: Banking Law §§ 37, 204, 255 and 404.

Need for rule: This rule is necessary to require branches and agencies of foreign banks, savings banks and savings and loan associations to file periodical reports of condition using the Federal Financial Institutions Examination Council (FFIEC) forms.

The following Banking rulemakings were adopted in 2007:

- Amendments to Part 6.8 of the General Regulations of the Superintendent (Superintendent's Regulations: Additional Authority of Banks, Trust Companies, Savings Banks and Savings and Loan Associations pursuant to Banking Law, Sections 14-g and 14-h: Overdraft Protection Charges)

Description of rule: This rule allows New York state-chartered banks, trust companies, savings banks and savings and loan associations to impose charges regarding insufficient funds to the same extent as national banks and federal savings associations.

Legal Basis for the rule: Banking Law §§ 13.4, 14, 14-g and 14-h.

Need for rule: This rule is necessary to make it clear that the notice to account holders regarding overdraft protection programs must be separately given and must be "clear and conspicuous" in cases in which bounce protection will be applied to a new or existing account.

- Amendments to Part 73 of the General Regulations of the Superintendent (Electronic Facilities)

Description of rule: This rule allows for a banking organization to conduct banking business at electronic facilities that are either established or shared, on a transaction fee or similar basis, by such banking facility.

Legal Basis for the rule: Banking Law §§ 14.1, 105-a, 240-a and 396-a.

Need for rule: This rule is necessary to streamline the application process for banking institutions when establishing electronic facilities.

- Amendments to Part 76 of the General Regulations of the Superintendent (Compliance with Community Reinvestment Act)

Description of rule: This rule pertains to the framework and criteria by which the Department of Financial Services assesses a banking institution's record of helping to meet the credit needs of its entire community, including low- and moderate-income neighborhoods.

Legal Basis for the rule: Banking Law §§ 10, 14, 28-b and Art. XII.

Need for rule: This rule is necessary to conform the rule to changes in the Federal Community Reinvestment Act regulations.

- Amendments to Part 322 of the General Regulations of the Superintendent (Pledge of Assets and Maintenance of Assets by Licensed Foreign Banking Corporations in New York)

Description of rule: This rule describes the requirement of the pledge of assets and maintenance of assets by branches or agencies of foreign banking corporations in New York.

Legal Basis for the rule: Banking Law §§ 12, 202-b and 204.

Need for rule: This rule is necessary to reduce the asset pledge requirement for foreign banks with "well-rated" foreign branches and agencies and allow all foreign banks to pledge a wider variety of assets.

- Amendment to Part 400.5 of the General Regulations of the Superintendent (Licensed Check Cashers: Conduct of Business)

Description of rule: This rule describes the process of licensing for check cashers in New York state and the requirements of doing business as a check casher.

Legal Basis for the rule: Banking Law §§ 12, 37(3), 367, 369, 371, 372.

Need for rule: This rule is necessary to permit licensed check cashers to maintain bank accounts with banking institutions or their branches located inside New York state.

The following Banking rulemakings were adopted in 2002:

- Amendments to Part 41.3 of the General Regulations of the Superintendent (Prohibited Acts and Practices)

Description of rule: The rule sets forth the guidelines for the making of high-cost mortgage loans by regulated lenders.

Legal basis for the rule: Banking Law §§ 6-I, 6-I, 13 and 14.

Need for rule: Part 41 establishes various consumer protections with regard to the making of high cost mortgage loans. This rule is necessary to prohibit a lender from requiring a borrower to finance single premium credit insurance for a high cost home loan covered by Part 41.

- Adoption of New Part 41.11 of the General Regulations of the Superintendent (Single premium insurance; debt cancellation and suspension agreement payments)

Description of rule: The rule sets forth the guidelines for the making of high cost mortgage loans by regulated lenders.

Legal basis for the rule: Banking Law §§ 6-I, 6-I, 13 and 14.

Need for rule: Part 41 establishes various consumer protections regarding the making of high cost mortgage loans. This rule is necessary to ban the financing of single premium credit insurance, in high cost home loans covered by Part 41.

- Amendments to Part 80 of the General Regulations of the Superintendent (Investment in Junior Lien Mortgage Loans by Commercial Banks, Savings Banks, Credit Unions, Mortgage Bankers and Savings and Loan Associations)

Description of rule: The rule sets forth the guidelines for investment in junior lien mortgages by various entities.

Legal basis for the rule: Banking Law §§ 14, 103, 235, 380, 454 and 590-a.

Need for rule: This rule is necessary to conform Part 80 to Part 38 of the General Regulations of the Superintendent so that entities may comply with applicable disclosure and notification requirements and to allow collection of processing fees.

- Amendments to Part 82 of the General Regulations of the Superintendent (Alternative Mortgage Instruments)

Description of rule: The rule gives licensed entities the authority to enter into alternative mortgage instruments.

Legal basis for the rule: Banking Law §§ 6-f and 14[1].

Need for rule: This rule is necessary to conform Part 82 to Part 38 of the General Regulations of the Superintendent so that entities may comply with applicable disclosure and notification requirements and to allow collection of processing fees.

- Amendments to Part 322 of the General Regulations of the Superintendent (Pledge of Assets and Maintenance of Assets by Licensed Foreign Banking Corporations in New York)

Description of rule: The rule describes the requirement of the pledge of assets and maintenance of assets by branches or agencies of foreign banking corporations in New York.

Legal basis for the rule: Banking Law §§ 12, 202-b and 204.

Need for rule: This rule is necessary to provide all foreign banking institutions some relief from the current requirements in the form of a reduction for most institutions in the amount of assets required to be pledged, as well as additional flexibility in the types of assets that may be pledged, and an easing of certain administrative aspects of compliance with the requirements.

- Amendments to Part 333.1 of the General Regulations of the Superintendent (Indices Which may be used in Connection with Variable Rate Closed-End Personal Loans made by Lending Institutions Pursuant to Part 33 or in Connection with Variable Rate Open-Ended Accounts Established by Banking Institutions Pursuant to Part 90)

Description of rule: The rule sets forth the list of indices used by banking institutions which are making variable rate closed-end personal loans pursuant to the provisions of Part 33 of the General Regulations of the Superintendent or for use by banking institutions which establish variable rate open-end accounts pursuant to Part 90 of the General Regulations of the Secretary.

Legal basis for the rule: Banking Law §§ 12, 202-b and 204.

Need for rule: This rule is necessary to add LIBOR to the list of indices used by banking institutions which are making variable rate closed-end personal loans pursuant to the provisions of Part 33 of the General Regulations of the Superintendent or for use by banking institutions which establish variable rate open-end accounts pursuant to Part 90 of the General Regulations of the Secretary.

- Amendment to Supervisory Procedure G 106.3 (Public Access to Department of Financial Services Records: Records Access Officer)

Description of rule: The rule describes the process by which the public may access Department of Financial Services records.

Legal basis for the rule: Banking Law §§ 12, 36.10; Public Officers Law §§ 87, 89.

Need for rule: This rule has since been amended to state that the records access officer shall be designated by the general counsel from the attorneys in the office of the general counsel.

- Amendment to Supervisory Procedure G 114 (Access to Personal Information: Designation of Privacy Compliance Officer)

Description of rule: The rule describes the process by which the public may access Department of Financial Services records.

Legal basis for the rule: Banking Law §§ 12, 36.10; Public Officers Law §§ 87, 89.

Need for rule: This rule has since been amended to state that the privacy compliance officer shall be designated by the general counsel from the attorneys in the office of the general counsel.

The following Banking rulemakings were adopted in 1997:

- Amendment to Part 5.1 of the General Regulations of the Superintendent (Internal and External Audits at Branches and Agencies of Foreign Banking Corporations: Purpose)

Description of rule: The rule sets forth criteria which would require under certain circumstances that foreign branches and agencies utilize independent external and internal auditors satisfactory to the superintendent.

Legal basis for the rule: Banking Law §§ 14, 37 and 204.

Need for rule: Part 5.a is necessary because it requires control enhancements to the auditing process which reduce the risk of fraud and promote a safe and sound banking environment.

- Amendment to Part 5.2 of the General Regulations of the Superintendent (Internal and External Audits at Branches and Agencies of Foreign Banking Corporations: Definitions)

Description of rule: The rule defines various terms used in Part 5.

Legal basis for the rule: Banking Law §§ 14, 37 and 204.

Need for rule: Part 5.2 is necessary because it clarifies the meaning of various terms used through Part 5.

- Amendment to Part 5.3 of the General Regulations of the Superintendent (Internal and External Audits at Branches and Agencies of Foreign Banking Corporations: External Audits)

Description of rule: The rule requires foreign bank offices with a composite ROC-A rating of four or worse; a separate operational controls ("O") rating of four or worse; and, on a case-by-case basis, an O rating of three or worse to engage an independent external auditor.

Legal basis for the rule: Banking Law §§ 14, 37 and 204.

Need for rule: Part 5.3 is necessary because it sets forth the circumstances under which a foreign bank office would be required to engage an independent external auditor.

- Amendment to Part 5.4 of the General Regulations of the Superintendent (Internal and External Audits at Branches and Agencies of Foreign Banking Corporations: Internal Audits)

Description of rule: The rule requires that foreign bank offices with a composite ROC-A rating of four or worse; a separate operational controls ("O") rating of four or worse; and, on a case-by-case basis, an O rating of three or worse, must have comprehensive on-site audits performed annually by either the foreign banking corporation's head office internal auditors or foreign bank office resident or regional internal auditors who report directly to the head office.

Legal basis for the rule: Banking Law §§ 14, 37 and 204

Need for rule: Part 5.2 is necessary because it sets forth the circumstances under which a foreign bank office would be required to have its internal audit performed by the foreign banking corporation's internal auditors or foreign bank office resident or regional internal auditors who report directly to the head office.

- Amendment to Part 5.5 of the General Regulations of the Superintendent (Internal and External Audits at Branches and Agencies of

Foreign Banking Corporations: Compliance with Laws and Regulations)

Description of rule: The rule requires that coincidental with the reports issued pursuant to Part 5.3, a foreign bank office's management shall assert its compliance with applicable laws and regulations.

Legal basis for the rule: Banking Law §§ 14, 37 and 204

Need for rule: Part 5.5 is necessary because it promotes safety and soundness by requiring that management of a foreign bank office formally assert that the office is in compliance with applicable laws and regulations.

- Amendment to Part 5.6 of the General Regulations of the Superintendent (Internal and External Audits at Branches and Agencies of Foreign Banking Corporations: Additional actions and orders)

Description of rule: The rule states that the requirements outlined in Part 5 are in addition to any other additional supervisory or enforcement actions that the superintendent may impose against a foreign bank office.

Legal basis for the rule: Banking Law §§ 14, 37 and 204

Need for rule: Part 5.6 is necessary because it clarifies that the requirements outlined in Part 5 are in addition to any other additional supervisory or enforcement actions that the superintendent may impose against a foreign bank office.

- Amendment to Part 5.7 of the General Regulations of the Superintendent (Internal and External Audits at Branches and Agencies of Foreign Banking Corporations: Compliance with Federal regulations)

Description of rule: The rule states that compliance by an insured foreign bank office with section 112 of the Federal Deposit Insurance Corporation Improvement Act, (12 U.S.C. § 1831m), as it may be amended from time to time, shall be deemed compliance by that insured foreign bank office with section Part 5.3(a), subject to the superintendent's authority to require additional procedures as may be deemed to be necessary.

Legal basis for the rule: Banking Law §§ 14, 37 and 204

Need for rule: Part 5.7 is necessary because it clarifies that a foreign bank office may be deemed in compliance with Part 5.3(a) by being in compliance with 12 U.S.C. § 1831m.

- Amendment to Part 76.1 of the General Regulations of the Superintendent (Compliance with Community Reinvestment Act Requirements: Statement of policy: Explanation)

Description of rule: Part 76 establishes the framework and criteria by which the department assesses a banking institution's record of helping to meet the credit needs of its entire community, including low- and moderate- income neighborhoods, consistent with the safe and sound operation of the banking institution.

Legal basis for the rule: Banking Law §§ 10, 14, 28-b, and Article XII.

Need for rule: The rule carries out the purpose of the Community Reinvestment Act ("CRA") by having the superintendent assess a banking institution's record of helping to meet the credit needs of the local communities in which the banking institution is chartered.

- Amendment to Part 76.2 of the General Regulations of the Superintendent (Compliance with Community Reinvestment Act Requirements: Definitions)

Description of rule: The rule defines various terms used throughout Part 76.

Legal basis for the rule: Banking Law §§ 10, 14, 28-b, and Article XII.

Need for rule: Part 5.2 is necessary because it clarifies the meaning of various terms used through Part 76.

- Amendment to Part 76.3 of the General Regulations of the Superintendent (Compliance with Community Reinvestment Act Requirements: Statement of policy: Filing Requirements)

Description of rule: The rule lists the documents that each banking institution is required to file with the superintendent to comply with the Community Reinvestment Act of 1977.

Legal basis for the rule: Banking Law §§ 10, 14, 28-b, and Article XII.

Need for rule: Part 76.3 is necessary because it sets forth the filing requirements to establish compliance with the Community Reinvestment Act of 1977.

- Amendment to Part 76.4 of the General Regulations of the Superintendent (Compliance with Community Reinvestment Act Requirements: Statement of policy: Review of applications)

Description of rule: The rule states that the superintendent will consider the factors set forth in section 28-b of the Banking Law and the performance tests and standards set forth in Part 76.7 in assessing a banking institution's record of performance with respect to reviewing an application that a banking institution has submitted.

Legal basis for the rule: Banking Law §§ 10, 14, 28-b, and Article XII.

Need for rule: Part 76.4 is necessary because it explains how the superintendent will review applications submitted by banking institutions.

- Amendment to Part 76.5 of the General Regulations of the Superintendent (Compliance with Community Reinvestment Act Requirements: Community Reinvestment Act Assessments: Public Disclosure)

Description of rule: The rule states that the Department will conduct community reinvestment evaluations once every 24 to 36 months, assign a rating to each institution based on its performance, and make these ratings, along with the accompanying summaries, publically available.

Legal basis for the rule: Banking Law §§ 10, 14, 28-b, and Article XII.

Need for rule: Part 76.5 is necessary because it explains the process of community reinvestment evaluations.

- Amendments to Part 76.6 of the General Regulations of the Superintendent (Compliance with Community Reinvestment Act Requirements: Assessment Area Delineation)

Description of rule: The rule states that a banking institution shall delineate one or more assessment areas within which the Department evaluates the banking institution's record of helping to meet the credit needs of its community and describes the requirements for the assessment.

Legal basis for the rule: Banking Law §§ 10, 14, 28-b, and Article XII.

Need for rule: Part 76.6 is necessary because it describes the methods and requirements for assessment area delineation by a banking institution for Community Reinvestment purposes.

- Amendments to Part 76.7 of the General Regulations of the Superintendent (Compliance with Community Reinvestment Act Requirements: Performance Tests and Standards)

Description of rule: The rule outlines the performance tests and standards used by the Department to assess a banking institution's Community Reinvestment Act performance.

Legal basis for the rule: Banking Law §§ 10, 14, 28-b, and Article XII.

Need for rule: Part 76.7 is necessary because it explains how the Department conducts the performance tests and standards to assess a banking institution's Community Reinvestment Act performance.

- Amendments to Part 76.8 of the General Regulations of the Superintendent (Compliance with Community Reinvestment Act Requirements: Lending Test)

Description of rule: The rule describes the scope and the factors involved in the lending test conducted by the Department.

Legal basis for the rule: Banking Law §§ 10, 14, 28-b, and Article XII.

Need for rule: Part 76.8 is necessary because it clarifies the specific aspects of a lending test and factors the Department will use in conducting lending tests.

- Amendments to Part 76.9 of the General Regulations of the Superintendent (Compliance with Community Reinvestment Act Requirements: Investment Test)

Description of rule: The rule describes the scope and the factors involved in the investment test conducted by the Department.

Legal basis for the rule: Banking Law §§ 10, 14, 28-b, and Article XII.

Need for rule: Part 76.9 is necessary because it clarifies the specific aspects of a lending test and factors the Department will use in conducting investment tests.

- Amendments to Part 76.10 of the General Regulations of the Superintendent (Compliance with Community Reinvestment Act Requirements: Service Test)

Description of rule: The rule describes the scope and the factors involved in the service test conducted by the Department.

Legal basis for the rule: Banking Law §§ 10, 14, 28-b, and Article XII.

Need for rule: Part 76.10 is necessary because it clarifies the specific aspects of a service test and factors the Department will use in conducting service tests.

- Amendments to Part 76.11 of the General Regulations of the Superintendent (Compliance with Community Reinvestment Act Requirements: Community Development Test for Wholesale or Limited Purpose Banking Institutions)

Description of rule: The rule describes the scope and the factors involved in the community development test for wholesale or limited purpose banking institutions.

Legal basis for the rule: Banking Law §§ 10, 14, 28-b, and Article XII.

Need for rule: Part 76.11 is necessary because it clarifies the specific aspects of a community development test for wholesale or limited purpose banking institutions.

- Amendments to Part 76.12 of the General Regulations of the Superintendent (Compliance with Community Reinvestment Act Requirements: Small Banking Institution Performance Standards)

Description of rule: The rule describes the Community Reinvestment evaluation process of small banking institution performance standards.

Legal basis for the rule: Banking Law §§ 10, 14, 28-b, and Article XII.

Need for rule: Part 76.12 is necessary because it clarifies the performance standards of small banking institution regarding Community Reinvestment.

- Amendments to Part 76.13 of the General Regulations of the Superintendent (Compliance with Community Reinvestment Act Requirements: Strategic Plan)

Description of rule: The rule describes how the Department will assess a banking institution's record of helping to meet the credit needs of its assessment area under a strategic plan and the requirements of such plan.

Legal basis for the rule: Banking Law §§ 10, 14, 28-b, and Article XII.

Need for rule: Part 76.13 is necessary because it clarifies the requirements of strategic plan for approval by the Department.

- Amendments to Part 76.14 of the General Regulations of the Superintendent (Compliance with Community Reinvestment Act Requirements: High-Cost Areas)

Description of rule: The rule states that the department recognizes the demographics and housing characteristics of high-cost areas and outlines the considerations that the department takes in its evaluation of these areas.

Legal basis for the rule: Banking Law §§ 10, 14, 28-b, and Article XII.

Need for rule: Part 76.14 is necessary because it establishes various consumer protections with regard to the making of high cost mortgage loans. This rule is necessary to prohibit a lender from requiring a borrower to finance single premium.

- Amendments to Part 76.15 of the General Regulations of the Superintendent (Compliance with Community Reinvestment Act Requirements: Other Documents)

Description of rule: The rule states that the Department when taking any action under Banking Law section 29 relating to the opening of a

branch or public accommodation office or under Banking Law section 601-b relating to the approval or disapproval of a merger or purchase of assets, may request documents in connection with these applications.

Legal basis for the rule: Banking Law §§ 10, 14, 28-b, and Article XII.

Need for rule: Part 76.15 is necessary because it gives notice that other documents may be requested by the Department with regard to Community Reinvestment Act assessments.

- Amendments to Part 80.7 of the General Regulations of the Superintendent (Investment in Junior Lien Mortgage Loans by Commercial Banks, Savings Banks, Credit Unions, Mortgage Bankers and Savings and Loan Associations: Appraisal)

Description of rule: The rule states that no junior mortgage loan shall be made except upon a written and signed certificate which state that the property securing such loan has been examined and which appraises the value of such property.

Legal basis for the rule: Banking Law §§ 14, 103, 235, 380, 454 and 590-a.

Need for rule: Part 80.7 is necessary because it requires a signed certificate stating the property has been appraised and examined in order for a junior lien mortgage.

- Amendments to Part 80.10 of the General Regulations of the Superintendent (Investment in Junior Lien Mortgage Loans by Commercial Banks, Savings Banks, Credit Unions, Mortgage Bankers and Savings and Loan Associations: Revolving Credit Accounts)

Description of rule: The rule sets forth the requirements of revolving credit account secured by a junior lien mortgage.

Legal basis for the rule: Banking Law §§ 14, 103, 235, 380, 454 and 590-a.

Need for rule: Part 80.10 is necessary because it establishes requirements for revolving credit accounts secured by a junior lien mortgages.

- Amendments to Part 82.9 of the General Regulations of the Superintendent (Alternative Mortgage Instruments: Compliance with Federal Regulation)

Description of rule: The rule states that any bank, trust company, savings bank, savings and loan association, credit union, mortgage banker and branch or agency of a foreign banking corporation are deemed to be in compliance with Parts 82.5, 82.6(a), 80.4(a) and 80.10(a) if those institutions are in compliance with certain sections of federal regulations with respect to adjustable-rate first lien mortgage transactions and home equity plans.

Legal basis for the rule: Banking Law §§ 6-f and 14[1].

Need for rule: Part 82.9 is necessary because it explains when the above-described institutions are in compliance with NY regulations with respect to adjustable-rate first lien mortgage transactions and home equity plans.

- Amendments to Part 321.3 of the General Regulations of the Superintendent (Loans to Executive Officers and Directors of Banks and Bank Holding Companies: Loans to Executive Officers and Directors)

Description of rule: The rule states that circumstances of when a bank or bank holding company institution may make a loan to an executive officer or a director.

Legal basis for the rule: Banking Law §§ 103.8, 130.5-6, 142.3(b) and 143.2.

Need for rule: Part 321.3 is necessary because it explains when a loan made to an executive officer or a director may be permissible.

- Amendments to Part 321.4 of the General Regulations of the Superintendent (Loans to Executive Officers and Directors of Banks and Bank Holding Companies: Additional Restrictions on Loans to Executive Officers)

Description of rule: The rule sets forth additional restrictions on loans to executive officers.

Legal basis for the rule: Banking Law §§ 103.8, 130.5-6, 142.3(b) and 143.2.

Need for rule: Part 321.4 is necessary because it clarifies any additional restrictions on loans to executive officers.

- Amendments to Part 321.5 of the General Regulations of the Superintendent (Loans to Executive Officers and Directors of Banks and Bank Holding Companies) (Reports of Executive Officers' Indebtedness to other Banks)

Description of rule: The rule sets forth the requirement that an executive officer who becomes indebted to any domestic or foreign banking corporation for over a certain amount must file a report with the board of directors stating the date and amount of such loan or indebtedness.

Legal basis for the rule: Banking Law §§ 103.8, 130.5-6, 142.3(b) and 143.2.

Need for rule: Part 321.5 is necessary because it establishes a requirement that a report must be filed so that the board of directors will be aware of such executive officer's indebtedness.

- Amendments to Part 321.9 of the General Regulations of the Superintendent (Loans to Executive Officers and Directors of Banks and Bank Holding Companies: Compliance with Federal Regulation)

Description of rule: The rule states that if a banking organization is in compliance with Regulation O of the Board of Governors of the Federal Reserve System it shall be deemed in compliance with this rule.

Legal basis for the rule: Banking Law §§ 103.8, 130.5-6, 142.3(b) and 143.2.

Need for rule: Part 312.9 is necessary because it clarifies certain circumstances when a banking institution is in compliance with Part 321.

- Amendments to Part 410.7 of the General Regulations of the Superintendent (Mortgage Bankers: Books and Records; Annual Reports)

Description of rule: The rule describes the manner in which mortgage bankers shall keep and maintain its books and records and it also describes the annual reports which must be filed with the Department.

Legal basis for the rule: Banking Law §§ 103.8, 130.5-6, 142.3(b) and 143.2.

Need for rule: Part 410.7 is necessary because it clarifies the Department's requirements regarding the maintenance of a mortgage banker's books and records and annual reports.

- Amendments to Part 410.8 of the General Regulations of the Superintendent (Mortgage Bankers: Corporate Surety Bonds for Mortgage Bankers)

Description of rule: The rule sets forth the surety bond requirements for mortgage bankers.

Legal basis for the rule: Banking Law §§ 103.8, 130.5-6, 142.3(b) and 143.2.

Need for rule: Part 410.8 is necessary because it clarifies the amount and terms of surety bonds filed by mortgage bankers.

Comments on Banking Rulemakings may be submitted to Christine Tomczak, Assistant Counsel – Christine.Tomczak@dfs.ny.gov; (212) 709-1642; New York State Department of Financial Services, One State Street, New York, NY 10004.

4. FINANCIAL SERVICES RULEMAKINGS

There were no new or amended Financial Services rulemakings adopted in 2019.

The following Financial Services rulemakings were adopted in 2017:

- Adoption of new Part 500 (Cybersecurity Requirements for Financial Services Companies) to Title 23 NYCRR, effective March 1, 2017.

Statutory Authority: Financial Services Law Sections 102, 201, 202, 301, 302, and 408.

The regulation was adopted to require that all financial services providers regulated by the Department have and maintain cybersecurity programs that meet certain minimum cybersecurity standards in order to protect consumers and to continue operating in a safe and sound manner.

- Adoption of new Part 501 (Nationwide Multistate Licensing System and Registry) to Title 23 NYCRR, effective October 4, 2017.

Statutory Authority: Banking Law Sections 10, 14, 359, 371, 498-b,

561, 587, and 649 and Financial Services Law Sections 102, 201, 202, 301, 302, 309, and 408.

The regulation was adopted to permit, on a voluntary basis, a broader range of regulated entities and applicants to make certain submissions electronically to the Department through the Nationwide Multistate Licensing System and Registry rather than make, as was required under the former rule, hard-copy submissions to the Department.

Comments on these Financial Services rulemakings may be submitted to Thomas Eckmier, Deputy General Counsel – Tom.Eckmier@dfs.ny.gov; (212) 709-1661; New York State Department of Financial Services, One State Street, New York, NY 10004.

There were no new or amended Financial Services rulemakings adopted in 2012.

New York State Gaming Commission

Five-Year Review of Existing Regulations

Pursuant to section 207 of the State Administrative Procedure Act, notice is hereby provided of rules adopted by the New York State Gaming Commission (and its predecessor agencies, the Racing and Wagering Board and the Division of Lottery) for the calendar years 2017, 2012, 2007, 2002 and 1997. Public comments on the continuation or modification of these rules are invited and those received by March 14, 2022, will be considered. Please forward comments to Kristen M. Buckley, Secretary of the New York State Gaming Commission, One Broadway Center, Suite 500, Schenectady, New York 12301-7500 or by electronic mail at gamingrules@gaming.ny.gov.

The following contains a brief description of each rule, including the statutory authority, and a statement setting forth the justification for the need for each rule and its continuation without further modification.

2017

Horse Racing

SGC-45-16-00002 Advertising on jockeys

This rulemaking amended 9 NYCRR § 4041.6 to permit a jockey to display certain trade-related logos as well as the jockey's name on the pants and the rear of the helmet within certain parameters, all without special permission of the stewards. This rule is needed to eliminate unnecessary regulation by the stewards. The statutory basis for the rule is Racing, Pari-Mutuel Wagering and Breeding Law sections 103(2) and 104(1) and (19).

SGC-47-16-00017 Harness racing conflicts

This rulemaking added 9 NYCRR § 4105.17 and repealed § 4116.3 to expand the conflict-of-interest restrictions on racing secretaries and their assistants and substitutes in harness racing. This rule is needed to enhance the real and perceived integrity of New York racing by prohibiting certain practices that could compromise, or appear to compromise, the writing of races at New York harness pari-mutuel racetracks. The statutory basis for the rule is Racing, Pari-Mutuel Wagering and Breeding Law sections 103(2), 104(1) and (19) and 122.

SGC-17-17-00008 Account wagering

This rulemaking amended 9 NYCRR §§ 4500.1, 4500.2, 4500.3, 4500.4, 4500.5, 4500.6, 4500.7, 4500.8, 4500.9, 4500.10, 4500.11, 4500.12, 4500.13, 4500.14, 4500.15, 4500.16, 4500.17, 4500.20, 4500.21 and 4500.22 to regulate multi-jurisdictional account wagering providers. This rule is needed to implement regulation of multi-jurisdictional account wagering providers consistent with provisions of Chapter 174 of the Laws of 2013. The statutory basis for the rules is Racing, Pari-Mutuel Wagering and Breeding Law sections 104(1) and (19) and 1012(2).

SGC-17-17-00004 Unqualified standardbred horse

This rulemaking amended 9 NYCRR § 4113.5(a)(1) to allow standardbred horses not to requalify when uncontrollable events (such as weather) prevent a horse from racing on regular basis. This rule is needed to permit a more efficient and timely response by the Commission when extraordinary events have interfered with the normal opportunities for harness horses to race. The rule was further amended in

2020. The statutory basis for the rule is Racing, Pari-Mutuel Wagering and Breeding Law sections 103(2), 104(1) and (19) and 301(1).

Lottery

SGC-32-17-00005 Mega Millions amendments

This rulemaking amended 9 NYCRR §§ 5007.1, 5007.2, 5007.4 and 5007.7 to implement nationwide changes to the Mega Millions multi-state lottery game. This rule is needed to enable the State's continued participation in the game. Section 5007.2 was further amended in 2019. The statutory basis for the rules is Racing, Pari-Mutuel Wagering and Breeding Law section 104 and Tax Law sections 1601, 1604, 1612 and 1617.

Gaming

SGC-47-16-000024 Bonding of video lottery agents

This rulemaking amended 9 NYCRR § 5103.5 to revise the manner in which the bond amount required from each video lottery gaming agent is determined, reflecting current vendor fees. This rule is needed to allow the flexibility to require bond coverage from each video gaming facility that is commensurate with the State retention percentage at such facility. The statutory basis for the rule is Racing, Pari-Mutuel Wagering and Breeding Law sections 103(2) and 104(1) and (19) and Tax Law sections 1601, 1604(b) and 1617-a.

2012

Rules adopted by the Racing and Wagering Board:

RWB-43-11-00003 Testing of certain licensees and officials in horse racing activities for blood alcohol content

This rulemaking added 9 NYCRR § 4042.6 to authorize testing of blood alcohol content to detect and deter alcohol intoxication by licensees. This rule is needed to ensure safe operations and integrity of racing. The rule was amended in 2013. The statutory basis for the rule is Racing, Pari-Mutuel Wagering and Breeding Law sections 102 (formerly 101) and 301(1).

RWB-43-11-00004 Use of cellular telephones in the paddock at harness tracks

This rulemaking added 9 NYCRR § 4104.14 to allow cellular telephones and other electronic communication devices in designated areas of a harness racetrack paddock. This rule is needed to permit trainers, drivers, owners and groom the ability to communicate while in the paddock area and harmonize practices with those at Thoroughbred racetracks. The rule was amended in 2016. The statutory basis for the rules is Racing, Pari-Mutuel Wagering and Breeding Law section sections 102 (formerly 101) and 301(1).

RWB-44-11-00001 Minimum diameter of a riding crop used in Thoroughbred racing

This rulemaking amended 9 NYCRR § 4035.9(a)(1)(iii) to require the use of a padded riding crop with a minimum shaft diameter of 3/8th of an inch. This rule is needed to protect the health of racehorses. The statutory basis for the rule is Racing, Pari-Mutuel Wagering and Breeding Law sections 102 (formerly 101), 205 and 218.

RWB-44-11-00002 The trifecta wager in thoroughbred horse racing

This rulemaking amended 9 NYCRR § 4011.22(i), since renumbered as 4011.21(h), to allow trifecta wagering when there are five betting entries in the racing fields. This rule is needed to make wagering more appealing to the betting public. The renumbering in 2015 also contained a stylistic edit. The statutory basis for the rule is Racing, Pari-Mutuel Wagering and Breeding Law sections 102 (formerly 101) and 235.

RWB-44-11-00020 Generally accepted auditing standards for off-track betting corporations

This rulemaking amended 9 NYCRR §§ 5208.1, 5208.2, 5208.3, 5208.4, 5208.5 and 5208.6 to establish uniform auditing standards for off-track betting corporations. This rule is needed to ensure the integrity of auditing procedures used by off-track betting corporations by establishing uniform standards for reporting and auditing activities. The statutory basis for the rules is Racing, Pari-Mutuel Wagering and Breeding Law sections 102 (formerly 101), 516, 517, 520, 524 and 621.

RWB-52-11-00007 Authorizing and prohibiting the use of phenylbutazone

This rulemaking amended 9 NYCRR §§ 4043.2(d) and 4120.2(d) (both such subdivisions were repealed entirely in 2014) to make phenylbutazone a 48-hour drug only in both harness and Thoroughbred racing. This rule is needed to ensure that horses are not overmedicated to the point of adversely affecting the integrity of horseracing. The statutory basis for the rules is Racing, Pari-Mutuel Wagering and Breeding Law sections 102 (formerly 101), 301(2)(a) and 902(1).

RWB-08-12-00001 Out-of-competition drug testing of Thoroughbred race horses

This rulemaking amended 9 NYCRR §§ 4012.5 and 4043.12 to include within existing equine drug-testing requirements racehorses that are not formally scheduled to race. This rule is needed to keep the Commission's out-of-competition testing rule for Thoroughbred horses in line with enforcement needs. Section 4012.5 was further amended in 2013 and section 4023.12 was further amended in 2013 and 2018. The statutory basis for the rules is Racing, Pari-Mutuel Wagering and Breeding Law sections 102 (formerly 101) and 902(1).

RWB-23-12-00001 Maximum fines for violations in Thoroughbred, harness and Quarterhorse racing

This rulemaking amended 9 NYCRR §§ 4022.13, 4102.3 and 4207.29 to establish maximum fine amounts in accordance with statute. This rule is needed to make regulations consistent with statute. The statutory basis for the rules is Racing, Pari-Mutuel Wagering and Breeding Law sections 102 (formerly 101), 250, 301(1), 310, 401(1) and 410.

RWB-25-12-00001 The reimbursement of costs to the State of New York for associate judges and starters at harness races

This rulemaking added 9 NYCRR § 4101.41 to implement reimbursement for the costs of hiring certain harness racing officials. This rule is needed to comply with the provisions of Part Y of Chapter 58 of the Laws of 2012. The rule was further amended in 2013. The statutory basis for the rule is Racing, Pari-Mutuel Wagering and Breeding Law sections 102 (formerly 101), 301 and 308.

RWB-29-12-00007 Claims of Thoroughbred horses that die on the track during or after a race

This rulemaking amended 9 NYCRR § 4038.5(a) to remove an incentive that a trainer or owner may have for entering an unsound horse in a claiming race for the purpose of racing and potentially transferring a horse without proper regard to the horse's well-being and the integrity of racing. This rule is needed to reduce fatalities of Thoroughbred horses and injuries to jockeys. Section 4038.5 was further amended in 2014, 2016 and 2020. The statutory basis for the rule is Racing, Pari-Mutuel Wagering and Breeding Law section 102 (formerly 101).

RWB-30-12-00001 Procedures and penalties for the testing of Thoroughbred and harness race horses for the presence of excess TCO2 levels

This rulemaking amended 9 NYCRR §§ 4043.8, 4043.9, 4120.13 and 4120.14 to revise the TCO2 testing rule to reflect current scientific developments and revise penalties to best deter violations. This rule is needed to bring the TCO2 testing rule horse in line with enforcement needs. The statutory basis for the rules is Racing, Pari-Mutuel Wagering and Breeding Law sections 103(2), 301(1) and (2)(a) and 902(1).

RWB-33-12-00002 The minimum price for which a horse shall be entered in a claiming race

This rulemaking amended 9 NYCRR § 4038.2 to mandate a claiming price-to-purse proportion and thus establish a relationship between investment in a horse and the potential purse in a manner designed to provide a safer racing environment in which financial incentive is lessened to race a horse that should not be raced. This rule is needed to diminish the risk of injury to human and equine participants in horse racing. The rule was further amended in 2019. The statutory basis for the rule is Racing, Pari-Mutuel Wagering and Breeding Law section 102 (formerly 101).

2007

Rules adopted by the Division of Lottery:

LTR-50-06-00004 Video lottery gaming

This rulemaking amended 21 NYCRR Part 2836 (since renumbered

as 9 NYCRR Subtitle T, Chapter IV, Subchapter A, Parts 5100-5122) to allow for the licensed operation of video lottery gaming. These rules are needed to regulate video lottery gaming. These rules have been amended in 2013, 2015, 2016, 2017, 2019 and 2021. The statutory basis for the rules is Tax Law section 1617-a.

Rules adopted by the Racing and Wagering Board:

RWB-19-07-00004 Post race blood gas testing for Thoroughbred and harness race horses

This rulemaking added 9 NYCRR §§ 4038.19(g), 4043.8, 4043.9, 4043.10, 4109.7(f), 4120.13, 4120.14 and 4120.15 to detect and deter the administration of alkali agents to Thoroughbred racehorses and harness racehorses for the purpose of affecting the horse's performance. These rules are needed to assure the public's confidence and continue the high degree of integrity in racing at the pari-mutuel betting tracks. The statutory basis for these rules is Racing, Pari-Mutuel Wagering and Breeding Law sections 102 (formerly 101), 235 (formerly 227), 301, 305 and 902.

RWB-19-07-00005 Authorizing the "Treasure Chest Raffle" and "Search for the Queen of Hearts" as games of chance

This rulemaking added 9 NYCRR §§ 5620.23 and 5620.24 (since renumbered as §§ 4620.23 and 4620.24). This rule is needed to ensure that the Commission's approved games of chance keep pace with popular games of chance, and ensure increased charitable proceeds derived from lawful games of chance. The statutory basis for the rules is General Municipal Law section 188-a.

RWB-20-07-00006 Use of the whip rule

This rulemaking amended 9 NYCRR § 4117.8 to allow harness drivers to make use of the whip at one-quarter of a mile from the finish of the race. The previous rule allowed the use of a whip from one-eighth of a mile from the finish line. This rule is needed to diminish the public perception that the driver is not doing all he or she can to win the race. Judges at the racetracks in the state, as well as fans, had expressed concern that the former rule was too restrictive and did not allow enough time to urge the horse in the race. The statutory basis for the rule is Racing, Pari-Mutuel Wagering and Breeding Law sections 102 (formerly 101) and 301.

RWB-20-07-00007 Wagering while on duty

This rulemaking amended 9 NYCRR §§ 4005.4 and 4122.10 to prohibit pari-mutuel corporation employees from making wagers while on duty. This rule is needed to prevent the apparent or actual improprieties that occur when an employee of the pari-mutuel division of a harness or Thoroughbred racetrack places wagers while on duty even though there is no customer at the window. The public sees the pari-mutuel teller as someone who may have access to exclusive wagering information regarding horses or betting patterns in a given race. If the pari-mutuel employee is allowed to place wagers while on duty, it may appear that the teller is exploiting the position for personal gain, thereby eroding public confidence in pari-mutuel wagering in general. The statutory basis for the rule is Racing, Pari-Mutuel Wagering and Breeding Law sections 102 (formerly 101) and 301.

RWB-20-07-00008 Single service use and disposal of syringes and needles

This rulemaking added 9 NYCRR §§ 4043.11 and 4120.16 to prevent the inadvertent administration of a prohibited or harmful drug to a horse and prevent the contamination of the horse's blood. This rule requires single-service syringes for all racehorses. The rule is needed to prevent inadvertent administration of a prohibited or harmful drug to a horse, which may affect the health or performance of a racehorse. The rule is also needed to prevent the contamination of the horse's blood, which could result in a positive drug test and the subsequent disqualification and penalty for a drug positive. The statutory basis for the rule is Racing, Pari-Mutuel Wagering and Breeding Law sections 102 (formerly 101) and 301.

RWB-31-07-00009 Failure to finish a harness race

This rulemaking amended 9 NYCRR § 4117.2(c) to clarify the process whereby judges decide the order of finish in cases where a horse fails to finish a race because it breaks its gait. It also allows the judges to determine the appropriate order of finish in light of the circumstances of the race. This rule is needed because it promotes safe driv-

ing, avoids the disqualification of more than one horse when only one horse is at fault and preserves the integrity of the race for the betting public. The statutory basis for the rule is Racing, Pari-Mutuel Wagering and Breeding Law sections 102 (formerly 101) and 301.

2002

Rules adopted by the Racing and Wagering Board:

RWB-37-01-00002 Games of Chance

This rulemaking amended 9 NYCRR §§ 5602.1, 5606.1, 5606.14, 5608.7, 5620.12, 5624.1, 5624.18 and 5624.21 (since renumbered as §§ 4602.1, 4606.14, 4608.7, 4620.12, 4624.1, 4624.18 and 4624.21) to make technical changes to update form numbers and addresses for agency offices, harmonize language and correct typographical errors. These rules are needed to make uniform the application and reporting forms used by the agency and licensing authorities in ensuring that charitable gaming is conducted in accordance with the games of Chance Law and that charitable gaming funds are used properly. The statutory basis for the rules is General Municipal Law section 188-a.

RWB-38-01-00001 Bell Jar Games

This rulemaking amended 9 NYCRR §§ 5600.1, 5608.4, 5608.5, 5608.7, 5611.1, 5611.2, 5620.19 and 5620.22 (since renumbered as §§ 4601.1, 4608.5, 4609.7, 4611.1, 4611.2, 4620.10 and 4620.22) to establish procedures for bell jar ticket review by the Commission; authorize games of chance known as “seal cards,” “merchandise boards,” and “coin boards” and allow for the manufacture, approval and distribution of such games; change the sales reporting period for bell jar ticket manufacturers and distributors from monthly to quarterly; and permit the leasing of games of chance equipment and give the agency and local governments authority over such leasing. These rules are needed to give force and effect to the Games of Chance Law, as amended. The statutory basis for the rules General Municipal Law section 188-a.

RWB-28-02-00006 Electronic Bingo Aids

This rulemaking amended 9 NYCRR § 5800.1 (since renumbered as § 4800.1) and added Part 5823 (since renumbered as Part 4823) to authorize the use of electronic bingo aids in the conduct of charitable bingo and establish licensing and agency oversight. This rule is needed to establish licensing standards, ensure that sales of electronic bingo aids do not lead to the commercialization of bingo as prohibited by the New York State Constitution, establish the proper use of electronic bingo aids and establish reporting requirements. The statutory basis for these rules is Executive Law section 435(1)(a).

1997

Rule adopted by the Division of Lottery:

LTR-33-97-00002 Lottery Subscriptions

This rulemaking amended 21 NYCRR §§ 2804.13(a) and § 2804.16 (since renumbered as 9 NYCRR §§ 5005.1(c) and 5055.7(c)) to limit the number of persons who may claim a Lottery subscription prize and the time during which an error in a lottery subscription may be corrected. This rule was amended in 2013 and 2016. These rules are needed to make regulation of the subscription program consistent and manage potential disputes. The statutory basis for this rule is Tax Law section 1604(a).

Rules adopted by the Racing and Wagering Board:

RWB-43-96-0004 Additional License Fee for Raffles

This rulemaking amended 9 NYCRR § 5624.3 (since renumbered as § 4624.3) to incorporate the statutorily required 2% license fee into the agency’s rules. The rule is needed to conform with General Municipal Law Article 9-a. The statutory basis for the rule is General Municipal Law sections 188-a and 195-f(4).

Office of Temporary and Disability Assistance

Pursuant to the State Administrative Procedure Act (SAPA) § 207, the Office of Temporary and Disability Assistance (OTDA) must review at regular intervals those regulations that were adopted on or after January 1, 1997. The purpose of the review is to determine whether the regulations should be retained as written or modified. On January 13, 2021, OTDA published in the New York State Register a

list of regulations from Title 18 of the New York Codes, Rules and Regulations (NYCRR) that OTDA adopted in 2018, 2016, 2011, 2006 and 2001. Those regulations are set forth below:

Rules adopted in 2018

A. TDA-35-17-00005 Application process for SNAP

Amended 18 NYCRR § 387.8 to extend the State timeframe for processing and the issuance of SNAP benefits on an expedited basis from five to seven days following the date of application.*

Analysis of the need for the rule: These amendments were needed to align State regulations with federal requirements regarding the expedited process for applying for SNAP benefits in New York State.

Legal basis for the rule: 7 USC Chapter 51 (generally); 7 USC §§ 2011, 2013, and 2020(e)(9); 7 CFR 273.2(e) and (j)(2); SSL § 17(a)-(b), (j), 20(3)(d), and 95; L. 2012, ch. 41.

Rules adopted in 2016

B. TDA-03-16-00001 Referrals of Human Trafficking Victims from Established Providers of Social or Legal Services*

Amended 18 NYCRR §§ 765.1 and 765.2 to clearly define the participant agencies that are statutorily authorized to participate in the referral process.

Analysis for the need for the rule: These amendments were needed to conform State regulations with Chapter 368 of the Laws of 2015.

Legal basis for the rule: SSL § 20(3)(d); L. 2015, ch. 368; L. 2011, ch.24; L. 2007, ch. 74; SSL Article 10-D

C. TDA-45-15-00012 Public Assistance (PA) Resources Exemption for Four-Year Accredited Post-Secondary Educational Institutions

Amended 18 NYCRR § 352.23(b)(4) to exempt up to \$1,400 for funds in a separate bank account for the sole purpose of paying tuition at two-year or four-year accredited post-secondary educational institutions, so long as the funds are not used for any other purpose.

Analysis for the need for the rule: By allowing PA recipients to utilize the exempt resources amount for either a two-year or four-year accredited educational institution, the regulatory amendment offers PA recipients enhanced educational options to advance their workforce readiness and financial earning capabilities through the pursuit of higher education.

Legal basis for the rule: SSL §§ 20(3)(d), 34(3)(f), 131(1) and 131-n; L. 2014, ch. 58, part J, § 5

D. TDA-45-15-00011 Burden of Proof at Fair Hearings Challenging Interim Assistance Reimbursement (IAR) Amounts

Amended 18 NYCRR 358-5.9(a) to clarify the burden of proof for fair hearings concerning Interim Assistance reimbursement (IAR). The amendments provided that a social services district (district) must establish that its actions were correct at a fair hearing concerning the amount deducted from the initial payment of supplemental security income as reimbursement of PA.

Analysis for the need for the rule: The amendments rendered State regulations consistent with case precedents set by the New York State courts.

Legal basis for the rule: SSL §§ 20(3)(d), 22(8) and 95; L. 2012, ch. 41

E. TDA-47-15-00004 Child Support Program*

Amended 18 NYCRR §§ 346.2, 347.12, 347.17, 347.25, 352.15, 352.22, 352.31, and 369.1; repealed and added new §§ 347.2 and 347.13, and added § 300.13 to amend regulatory requirements concerning the distribution and disbursement of child support collections.

Analysis of the need for the rule: These amendments were required to comply with federal statutes and requirements.

Legal basis for the rule: SSL §§ 17(a)-(b), (j), 20(2)-(3), 34, 111-a, 111-c(2)(a), (d), 131-a(8)(a)(v), 158(5)-(6)(i), 348(2)-(3); Federal Social Security Act, §§ 408(a)(3), 457; 45 CFR §§ 302.32, 302.50-302.52, 303.72; Federal Deficit Reduction Act of 2005 (P.L. 109-171)

F. TDA-20-15-00001 Information Appropriate for Victims of Sexual Assault*

Added 18 NYCRR § 351.2(m) to require districts to make all applicants for and recipients of public assistance aware of their option to receive information appropriate for victims of sexual assault

Analysis of the need for the rule: The amendment increased outreach to victims of sexual assault and promoted access to essential services necessary for victims of sexual assault to overcome the physical, mental and emotional trauma associated from such abuse.

Legal basis for the rule: SSL §§ 17(a)-(b), (i), 20(2)-(3)(d), 34(3)(f) and 131(20); L. 2009, ch. 427

G. TDA-27-15-00002 Child Support Federal Incentive Payments*

Amended 18 NYCRR § 347.16 in order to establish the procedures by which the State distributes child support incentives received from the U.S. Department of Health and Human Services and allocate portions of those incentives to districts.

Analysis of the need for the rule: The amendment complied with changes to the federal incentive payment process under the Child Support Performance and Incentive Act (CSPIA).

Legal basis for the rule: SSL §§ 20(3)(d), 34(3)(f), 111-a; 42 USC § 658a; 45 CFR §§ 302.55, 303.52, 305.2, 305.31, 305.33

H. TDA-37-15-00005 Monthly Shelter Supplements*

Amended 18 NYCRR § 352.3(a)(3)(i) in order to update State regulations to reflect current State law by extending the authority to provide additional monthly shelter supplements to eligible public assistance applicants and recipients, including single adults and childless couples.

Analysis of the need for the rule: For homeless households that are moving from temporary housing to permanent housing, public assistance can be a stabilizing factor allowing households to begin working or increase earnings as they receive assistance to help pay bills, purchase food and meet their monthly rent. When necessary, rent supplements are also a stabilizing factor to help pay for some of the rent until the households become self-sufficient.

Legal basis for the rule: SSL §§ 20(3)(d), 34(3)(f), 131(1); L. 2009, 2011-2015, Ch. 53; L. 2010, chs. 58, 110

I. TDA-39-15-00016 Temporary Housing Placements*

Amended 18 NYCRR §§ 352.8(b)(1) and 352.3(h) and added § 352.3(m) to adjust the rate approval process for temporary housing placements and expand the scope of inspections for such placements.

Analysis of the need for the rule: OTDA's review and approval of the room and board rates help ensure that rates for temporary housing negotiated between districts and temporary housing providers are fair and affordable, and that they include certain services. The expansion of inspections promotes greater accountability by districts for the quality of the temporary housing that is utilized.

Legal basis for the rule: SSL §§ 20(2), (3)(d), 34 and, 131-v(4)

J. TDA-06-16-00016 Emergency Shelters for the Homeless*

Added 18 NYCRR § 352.37 to allow OTDA full authority to take immediate emergency action against facilities and districts that are not providing emergency shelter that comports with prescribed standards.

Analysis of the need for the rule: The regulation helps ensure that emergency shelters are maintained in safer, more sanitary conditions, and that the welfare of residents is better protected.

Legal basis for the rule: SSL §§ 17(a)-(b), (i), 20(2)-(3), 34, 460-c and 460-d; Executive Law § 43(1); General Municipal Law § 34; State Finance Law § 109(4); New York City Charter § 93; Buffalo City Charter, ch. C, art. 7, § 7-4

K. TDA-19-16-00007 SNAP

Amended 18 NYCRR § 387.9(a)(7)(ii)(a)-(b)(2)-(3); and added 18 NYCRR § 387.9(a)(7)(ii)(c) to provide that in the event one or more household members no longer reside with a household terminated for refusal to cooperate, the penalty for refusal to cooperate will attach to the household of the person(s) refusing to cooperate.

Analysis of the need for the rule: The amendments are necessary to bring the State regulations concerning household cooperation with quality control reviews into compliance with federal statutes and regulations.

Legal basis for the rule: 7 USC, ch. 51 (generally) and §§ 2011 and 2013; 7 CFR § 273.2(d); SSL §§ 17(a)-(b) and (j), 20(3)(d), 34(3)(f) and 95; L. 2012, ch. 41

L. TDA-21-16-00005 Income Withholding of Child or Combined Child and Spousal Support

Amended Part 344 and § 347.9 of 18 NYCRR in order to update State regulations related to income withholding of child support or combined child and spousal support for persons who are not served by Part D of Title IV of the federal Social Security Act and for persons who are in receipt of Title IV-D services, respectively.

Analysis of the need for the rule: The regulatory amendments were necessary to conform the State regulations to federally-mandated changes to Civil Practice Law and Rules (CPLR) §§ 5241 and 5242 and SSL § 111-b(14).

Legal basis for the rule: 42 USC §§ 651, 654b, 666(a)(8)(B)(iii) and (b)(6); CPLR §§ 5241 and 5242; SSL §§ 17(a)-(b), (j), 20(3)(d), 34(3)(f), 111-a and 111-b(14)

M. TDA-25-16-00002 Emergency Shelters*

Added 18 NYCRR 352.38 to address security measures and incident reporting in shelters for the homeless. The regulatory amendments required the operator of each emergency shelter to submit to OTDA and the district in which the emergency shelter is located an annual security plan for the emergency shelter. In addition, each district shall submit an annual plan to OTDA to help ensure that emergency shelters operating within the district are providing security and taking appropriate measures to protect the physical safety of emergency shelter residents and staff. Additionally, the regulatory amendments would clarify not only that reports of all serious incidents impacting upon the safety and well-being of shelter residents or staff must be documented and timely submitted to OTDA, but also OTDA's authority to direct a district or emergency shelter operator to take additional security measures where an incident is reported.

Analysis of the need for the rule: The regulations help ensure that reasonable security measures are implemented at emergency shelters and that the safety of emergency shelter residents and staff is protected.

Legal basis for the rule: SSL §§ 17(a)-(b), (j), 20(2)-(3), 34, 460-c and 460-d

N. TDA-22-15-00005 SNAP*

Amended 18 NYCRR § 387.1, and added § 387.25 to update regulations for the Transitional Benefits Alternative (TBA) program, which provides additional federally funded SNAP benefits to certain households with children that are leaving cash assistance programs. These additional benefits help families meet their nutritional needs while making the transition from cash assistance to employment.

Analysis of the need for the rule: Both social services districts and recipients benefitted from having the requirements of the TBA program set forth in State regulations.

Legal basis for the rule: 7 USC § 2020(s); SSL § 20(3)(d) and 95

O. TDA-39-16-00010 Standard Utility Allowances for SNAP*

Amended 18 NYCRR § 387.12 to set forth the federally approved standard utility allowances as of October 1, 2016.

Analysis of the need for the rule: It is of great importance that the federally approved standard utility allowances for SNAP were applied to SNAP benefit calculations effective October 1, 2016. If post standard utility allowances were not updated on October 1, 2016, it could have resulted in thousands of SNAP dependent households receiving SNAP overpayments each month. Households receiving such overpayments could be subject to an extended period of SNAP recoupments at the rate of 10% of their monthly SNAP benefits to recover the resulting overpayments of SNAP benefits. Thus, the rule was necessary for the preservation of the public health and the general welfare of SNAP dependent households.

Legal basis for the rule: SSL §§ 17(a)-(b), (j), 20(3)(d) and 95; 7 USC § 2014(e)(6)(C); 7 CFR § 273.9(d)(6)(iii)

P. TDA-37-16-00004 PA Use of Resources - General Policy

Amended 18 NYCRR 352.23(b)(2) to update PA resource exemptions related to automobiles. Prior to this amendment, PA applicants and recipients were allowed to exempt one automobile, up to \$4,650 fair market value. The amendment raised the fair market value of the exempt automobile on the following time line: one automobile, up to \$10,000 fair market value, through March 31, 2017; one automobile, up to \$11,000 fair market value, from April 1, 2017 through March 31, 2018; and one automobile, up to \$12,000 fair market value, beginning

April 1, 2018 and thereafter, or such other higher dollar value as the district may elect to adopt. However, if the automobile is especially equipped with apparatus for individuals with a disability, the apparatus must not increase the value of the automobile.

Analysis of the need for the rule: This amendment was needed to make State regulations consistent with § 1 of Part X of Chapter 54 of the Laws of 2016.

Legal basis for the rule: SSL §§ 17(a)-(b), (j), 20(3)(d), 34(3)(f), 131(1) and 131-n; L. 2016, ch. 54, part X, § 1

Q. TDA-36-16-00006 SNAP*

Amended 18 NYCRR § 387.1 to update State regulations by adding the definitions of “eligible food” and “trafficking of SNAP benefits” to reflect federal requirements regarding the trafficking of SNAP benefits.

Analysis of the need for the rule: The regulatory amendments were necessary to bring the State regulations into compliance with federal requirements and State practices.

Legal basis for the rule: 7 USC Ch. 51 and §§ 2011, 2013 and 2024; 7 CFR §§ 271.2 and 273.16; SSL §§ 17(a)-(b), (j), 20(3)(d) and 95; L. 2012, ch. 41

Rules adopted in 2011

R. TDA-35-10-00005 Child Support*

Amended 18 NYCRR §§ 347.2, 347.6, 347.7 and 347.8 to conform to State and federal statutes and federal requirements concerning the use of locate sources.

Analysis of the need for the rule: These amendments conformed State regulations to federal regulations in regard to locator services, which require that location services be used in all cases referred to the child support enforcement unit and in all cases applying for child support services.

Legal basis for the rule: SSL §§ 20(3)(d), 34(3)(f), 111-a, 111-b(3), (4), 111-g, 111-h(9), 111-k(1), 111-r, 111-s(1), 111-v and 143; FCA § 542; 45 CFR §§ 301.1, 303.3(b)(1) and 303.101(b)(1); 42 USC 666(c)(1).

S. TDA-41-10-00005 Public Assistance*

Repeal of 18 NYCRR § 351.24, amendment of 18 NYCRR §§ 351.1(b)(2)(iv), 352.17(d), 352.19(b)(3), 366.3 and 366.4(g) and addition of 18 NYCRR § 366.11 to eliminate quarterly reporting as a district optional requirement for the majority of public assistance recipients but keeping it a requirement for child assistance program participants.

Analysis of the need for the rule: These amendments were made due to quarterly reporting being eliminated as a district optional requirement for the majority of public assistance recipients, but still required for child assistance program recipients. Eliminating the optional reporting requirement for public assistance recipients simplifies reporting requirements, reduces administrative requirements, and saves money by no longer requiring the option to be included in the State’s Welfare Management System.

Legal basis for the rule: SSL §§ 20(3)(d), 34(3)(f), 131(1), 131-t, 131-z(9) and 355(3).

T. TDA-16-11-00004 Standard Allowances for the Food Stamp Program*

Amended 18 NYCRR § 387.12(f)(3)(v)(a), (b) and (c); and added 18 NYCRR § 387.12(f)(3)(v)(d) to update the standard utility allowances for SNAP to the federally approved levels as of April 1, 2011, and to set forth OTDA’s process for periodically reviewing and updating the standard utility allowances.

Analysis of the need for the rule: It was of great importance that the federally approved standard utility allowances for SNAP were applied to SNAP benefit calculations effective April 1, 2011. If the standard utility allowances were not updated on April 1, 2011, it could have resulted in thousands of SNAP dependent households receiving overpayments each month. Overpayments to food stamp dependent households could have subjected the households to a 10% recoupment of their monthly food stamp benefits until the overpayment was recovered. Thus, the rule was necessary for the preservation of the public health and general welfare of SNAP dependent households.

Legal basis for the rule: SSL §§ 20(3)(d) and 95; 7 USC § 2014(e)(6)(C); and 7 CFR § 273.9(d)(6)(iii).

Note: Chapter 41 of the Laws of 2012 changed the name of New York’s Food Stamp Program to SNAP.

Rules adopted in 2006

U. TDA-13-05-00001 Verification of School Attendance*

Amended 18 NYCRR § 369.4(f) to relieve social services districts of verifying school attendance of children under the age of 18.

Analysis of the need for the rule: These amendments were developed to make the requirements of 18 NYCRR § 369.4(f) consistent with those of 18 NYCRR § 369.2(c) and to reduce the administrative burden on social services districts.

Legal basis for the rule: SSL §§ 20(3)(d), 34(3)(f), 131(1) and 355(3).

V. TDA-36-05-00003 Enforcement of Support Obligations and Issuance of Income Executions*

Amended 18 NYCRR § 347.9 to implement State and federal laws concerning the process for issuing income execution orders in child support cases and to change the method for calculating the amount of any additional deductions to be withheld from an employee’s income if the employee owes child support arrears or past due child support.

Analysis of the need for the rule: These amendments were developed to implement section 314 of the Personal Responsibility and Work Opportunity Reconciliation Act of 1996 (P.L. 104-193) and the provisions of Chapter 398 of the Laws of 1997 that amended section 5241 of the Civil Practice Law and Rules (sections 20 through 28), concerning the process for issuing income execution orders in child support cases and the penalties to be imposed on employers for failing to comply with such orders. The amendments also revised the calculation of the additional amount deducted from an employee’s salary to collect child support arrears or past due child support. These revisions allowed a higher additional amount when current support terminates, clarified the rules for deductions from lump sum payments, and clarified the rules for proving that the additional amount should be reduced or eliminated in certain cases.

Legal basis for the rule: SSL §§ 20(3)(d), 34(3)(f) and 111-a.

W. TDA-51-05-00006 Treatment of Lump Sum Income*

Amended 18 NYCRR §§ 352.23(b) and 352.29(h)(1) and (2) and added 18 NYCRR § 352.23(b)(4) to implement Chapter 373 of the Laws of 2003, concerning the treatment of lump sum income.

Analysis of the need for the rule: This rule was developed to provide that any lump sum of income received by a public assistance applicant or recipient could be disregarded when determining eligibility for such assistance under certain circumstances.

Legal basis for the rule: SSL §§ 20(3)(d), 34(3)(f), 131(1), 131-a(12)(c), 131-n and 355(3).

Rules adopted in 2001

X. TDA-43-00-00001 Cooperation with Social Services Officials

Amended 18 NYCRR § 351.1(b)(2) to require information concerning the non-legally responsible caretaker relatives of children who receive public assistance and information concerning the siblings of children who are receiving public assistance to be provided to social services officials.

Analysis of the need for the rule: This rule was developed to help the State meet federal requirements concerning the furnishing of information on families receiving assistance under the State’s public assistance programs funded under Temporary Assistance for Needy Families program.

Legal basis for the rule: SSL §§ 20(3)(d), 34(3)(f) and 132.

Y. TDA-43-00-00002 Safety Net Assistance*

Amended 18 NYCRR § 370.4(b)(1)(ii) to exempt from the two year limit on receipt of safety net assistance work subsidies paid to an employer or a third party for the cost of wages or benefits for a recipient when the payment equals the full amount of the recipient’s safety net assistance budget deficit.

Analysis of the need for the rule: This rule was developed to make State requirements concerning the time limits for receipt of safety net assistance consistent with federal requirements concerning the time limits for receipt of benefits funded under the Temporary Assistance for Needy Families program.

Legal basis for the rule: SSL §§ 20(3)(d), 34(3)(f), 158(a) and art. 5, title 3.

Z. TDA-46-00-00004 Intentional Program Violations

Amended 18 NYCRR §§ 359.3(a) and 359.9(g) to make State regulations consistent with State law and a federal court decision.

Analysis of the need for the rule: This rule was developed to clarify the circumstances under which a person would be determined to have committed an intentional program violation and to clarify the start date of the disqualification period for an intentional program violation in the food stamp program.

Legal basis for the rule: SSL §§ 20(3)(d), 34(3)(f) and 145-c.

AA. TDA-48-00-00002 Homeless Housing Assistance Program Projects

Amended 18 NYCRR § 800.2(m) to provide additional funds to existing homeless housing and assistance program projects.

Analysis of the need for the rule: This rule was developed to extend the policy that authorized the creation of operating and capital replacement reserves to existing Homeless Housing and Assistance Program projects.

Legal basis for the rule: SSL §§ 20(3)(d), 34(3)(f) and art. 2-A, title 1.

BB. TDA-19-01-00009 Food Stamp Benefit Increase*

Amended 18 NYCRR § 387.17(e) to clarify existing federal policy regarding time frames due to change in household circumstances.

Analysis of the need for the rule: This rule was developed to implement existing federal requirements regarding time frames for providing an increase in food stamp benefits due to a change in household circumstances.

Legal basis for the rule: SSL §§ 20(3)(d), 34(3)(f) and 95.

CC. TDA-19-01-00010 Eligibility for Public Assistance

Amended 18 NYCRR § 351.2(e), (f) and (j) to conform eligibility requirements to existing policies and remove outdated terminology.

Analysis of the need for the rule: This rule was developed to make technical changes to conform to existing policies and to remove references to outdated terminology.

Legal basis for the rule: SSL §§ 20(3)(d), 34(3)(f), 158 and 349.

DD. TDA-21-01-00004 Temporary Assistance for Needy Families Program*

Amended 18 NYCRR § 369.4(d)(7) to establish uniform statewide standards.

Analysis of the need for the rule: These amendments were developed to establish uniform statewide standards for determining hardship under the Temporary Assistance for Needy Families program for purposes of exempting certain households from the 60-month time limit for eligibility.

Legal basis for the rule: SSL §§ 20(3)(d), 34(3)(f), 350(2) and art. 5, title 10.

EE. TDA-24-01-00001 Recoupment and Advance Allowances*

Amended 18 NYCRR §§ 352.11 and 352.31(d)(2) to permit recoupment of 10 percent of Public Assistance benefits for recipients of Safety Net Assistance and Family Assistance.

Analysis of the need for the rule: This rule was developed to permit recoupment of overpayments of public assistance benefits from future benefit payments made to public assistance recipients, achieve consistency in the recoupment policy between the family assistance and safety net assistance programs, ease administrative burdens for local social services districts, and make conforming changes to advance allowances.

Legal basis for the rule: SSL §§ 20(3)(d), 34(3)(f), 158(1) and 355(3).

OTDA received no public comments regarding its Rule Review published in the New York State Register on January 13, 2021.

OTDA is considering amendments that may impact the regulatory changes that were adopted in 2018, 2016, 2011, 2006, and 2001. OTDA is considering the following regulatory amendments:

- Amend regulations to update and clarify standards pertaining to

hotels and motels used as placements for recipients of temporary housing assistance.

- Generally update SNAP regulations to conform to changes in federal regulations and law.

- Amend regulations to add a new Part 902: (1) set forth the responsibilities of social services districts with respect to hotels and motels that are used to provide temporary emergency housing to persons and families experiencing homelessness; (2) update and clarify the standards applicable to these hotels/motels; (3) require that Temporary Housing Assistance (THA) recipients placed in hotels/motels are provided with supportive services that are currently available to THA recipients placed in shelters; and (4) clarify OTDA's oversight and enforcement authorities with respect to hotel/motels used by social services districts to place THA recipients.

- Update regulations to reflect the current policy that standard allowances for heating/air conditioning, utility and telephone costs are used in calculating shelter expenses for SNAP.

- Revise regulations to permit electronic provision of notices and communications related to case closure and desk reviews of the distribution and disbursement of support collections.

- Update regulations to allow the noncustodial parent's copy of an electronic income withholding order (i.e., e-IWO) to be transmitted electronically, consistent with federal program rules.

At this time, OTDA has determined that no additional modifications, other than those set forth above, need to be made to its regulations adopted in 2018, 2016, 2011, 2006 and 2001, as amended or otherwise modified since their original adoption.

OTDA has determined that in the ensuing calendar year, it should review certain regulations from Title 18 NYCRR adopted in 2019, 2017, 2012, 2007, 2002 and 1997. These regulations, listed below, are subject to the provisions of SAPA § 207. The regulations must be reviewed to determine whether they should be retained as written or modified. OTDA invites written comments on the continuation or modification of these regulations in order to assist in the required review. We will consider only those comments that are received by April 29, 2022.

Rules Adopted in 2019

1. TDA-12-18-0004 Reengagement/conciliation and sanction procedures for employment programs*

Amended 18 NYCRR §§ 385.11-385.13 to implement statutory changes relative to public assistance (PA) reengagement/conciliation and sanction procedures for PA recipients living in a city with one million or more people and refusing or failing to comply with employment requirements assigned by the social services district; to establish a consistent standard for imposing a PA and SNAP employment sanction; to reduce the minimum durational SNAP sanction period for first- and second-level SNAP employment sanctions for SNAP applicants and recipients; and to implement changes to SNAP voluntary quit provisions consistent with State and federal laws, regulations and policies.

Analysis for the need for the rule: The rule was needed to conform OTDA's regulatory PA conciliation and sanction procedures for PA recipients living in a city with one million or more people to the SSL, establish a consistent standard for imposing a sanction for noncompliance with PA and/or SNAP employment requirements when a recipient fails to comply with a PA and/or SNAP employment requirement and align OTDA's voluntary quit provisions with federal regulations and policy. The rule would also reduce the minimum durational SNAP sanction periods for first- and second-level SNAP employment sanctions.

Legal basis for the rule: 51 USC title 7, §§ 2011, 2013, 2029 (generally); 42 USC § 607; SSL, Article 5, Title 9-B, §§ 17(a)-(b), (j), 20(3)(d), 95, 131, 337, 341, 341-a, 342 and 342-a; L. 2015, ch. 562; L. 2012, ch. 41

2. TDA-41-18-00002 Standard Utility Allowances for the Supplemental Nutrition Assistance Program (SNAP)*

Amended 18 NYCRR § 387.12(f)(3)(v)(a)-(b) to set forth the standard utility allowances for SNAP as of October 1, 2018.

Analysis for the need for the rule: The rule was needed to set forth

the federally approved standard utility allowances for SNAP within New York State as of October 1, 2018, reflecting an increase in fuel and utility costs, which is indicated in the Consumer Price Index fuel and utilities values.

Legal basis for the rule: SSL §§ 17(a)-(b), (j), 20(3)(d), 95; 7 USC §§ 2014(e)(6)(C); 7 CFR § 273.9 (d)(6)(iii)

3. TDA-49-18-00009 Outreach, Homeless Services Plans and Outcome Reporting*

Added 18 NYCRR § 304.2 to promote effective planning and strategic use of resources by social services districts in combatting homelessness through their submission of homeless services plans and homeless services outcome reports, and to require social services districts to provide homeless services and engage in outreach to persons experiencing homelessness, including the unsheltered homeless, in accordance with homeless service plans approved by OTDA.

Analysis for the need for the rule: The rule was needed to help ensure that individuals and families experiencing homelessness, including the unsheltered homeless, are provided with assistance and the services needed to help them secure transitional and permanent housing as critical steps toward attaining the goal of long-term housing stability.

Legal basis for the rule: SSL §§ 17(a)-(b), (j), 20(2)(b), (3)(d)-(e), 34(3)(c)-(f) and (6)

4. TDA-19-19-00007 Adult-Care Facilities and Shelters for Adults*

Amended 18 NYCRR Parts 485 - 486; repealed 18 NYCRR Part 491; added a new 18 NYCRR Part 491 to update State regulations pertaining to general provisions, inspections and enforcement, and shelters for adults.

Analysis for the need for the rule: The rule was needed to provide State oversight for all publicly-funded shelters for adults experiencing homelessness, including small-capacity shelters that are excluded from the definition of "shelter for adults" set forth in SSL § 2(23) and shelters for adult families that were neither subject to OTDA's regulations pertaining to shelters for families with children nor explicitly addressed by OTDA's regulations pertaining to shelters for adults.

Legal basis for the rule: SSL §§ 17(a)-(b), (k), 20(2)(b), (3)(d)-(f), 34(3)(c)-(f), (6), 460, 460-a(1)-(2), 460-c(1), 460-d(1), (7)(a) and 461

5. TDA-19-19-00008 Shelters for Families*

Repealed 18 NYCRR §§ 352.8(b)(2), 352.37, 352.38(b) and Part 900; amended 18 NYCRR 352.38(a), (c)-(e), 352.39 (a)-(b), 358-3.1(h), Part 493; and added a new Part 900 to update State regulations pertaining to shelters for families.

Analysis for the need for the rule: The rule was needed to provide State oversight for each publicly funded facility providing shelter services to homeless families with children, including facilities sheltering less than 10 homeless families and facilities sheltering single pregnant persons, so as to help ensure that shelters for homeless families with children and homeless pregnant persons meet physical plant and programming standards.

Legal basis for the rule: SSL §§ 17(a)-(b), (k), 20(2)(b), (3)(d)-(f), 34(3)(c)-(f), (6), 131-a, 460, 460-c(1) and 460-d(1)

6. TDA-19-19-00010 Elimination of Finger Imaging Requirement for Public Assistance Applicants and Recipients*

Amended 18 NYCRR § 351.2(a) and repealed § 351.9(d)(1) and Part 384 to eliminate the finger imaging requirement for the public assistance programs.

Analysis for the need for the rule: The rule was needed to bring New York State's public assistance programs into alignment with other State benefit programs regarding identification verification requirements while also providing operational efficiencies for the State and social services districts, with no reduction in New York State's abilities to detect and prevent fraud and abuse.

Legal basis for the rule: SSL §§ 17(a)-(b), (k), 20(3)(d), 34(3)(f); L. 1997, ch. 436, part B, section 23-a; L. 1995, ch. 83, section 266

Rules Adopted in 2017

7. TDA-38-17-00002 Standard Utility Allowances for the Supplemental Nutrition Assistance Program (SNAP)*

Amended 18 NYCRR § 387.12(f)(3)(v)(a)-(c) to set forth the standard utility allowances for SNAP as of October 1, 2017.

Analysis for the need for the rule: The rule was needed to set forth the federally approved standard utility allowances for SNAP within New York State as of October 1, 2017, reflecting an increase in fuel and utility costs, which is indicated in the Consumer Price Index fuel and utilities values.

Legal basis for the rule: SSL §§ 17(a)-(b), (j), 20(3)(d), 95; 7 USC § 2014(e)(6)(C); 7 CFR § 273.9(d)(6)(iii)

8. TDA-39-17-00005 Public Assistance (PA) Budgetary Method

Amended 18 NYCRR § 352.29(h)(2)(v)(b) to update State regulations governing treatment of income in excess of standard of need in PA households, consistent with SSL § 131-n(1).

Analysis for the need for the rule: The rule was needed to implement the provision of SSL § 131-n(1) in the State regulations and allow PA recipients to exempt up to \$1,400 in a separate bank account for the sole purpose of paying tuition at two-year or four-year accredited post-secondary educational institutions.

Legal basis for the rule: SSL §§ 17(a)-(b), (j), 20(3)(d), 34(3)(f), 131(1) and 131-n(1)

9. TDA-46-15-00005 Storage of Furniture and Personal Belongings*

Amended 18 NYCRR 352.6(f) and 397.5(k) to provide clarification regarding allowances for the storage of furniture and personal belongings.

Analysis for the need for the rule: These amendments were needed to provide consistency and clarity to the eligibility and receipt of storage fee payments.

Legal basis for the rule: Social Services Law (SSL) §§ 17(a)-(b), (j), 20(3)(d), 34(3)(f), 131(1) and 303(1)(k)

10. TDA-37-16-00001 Child Support*

Amended 18 NYCRR § 347.19 to clarify what information is to be safeguarded and what uses are permitted for child support purposes, to establish separate rules regarding the use and disclosure of information contained in the state parent locator service, and to amend the rules regarding reporting child support arrears to consumer reporting agencies.

Analysis for the need for the rule: To help ensure the State's compliance with the federal rules for safeguarding confidential information, disclosing said information, where appropriate, to authorized persons and entities for authorized purposes, and reporting of delinquent child support payors to credit reporting agencies.

Legal basis for the rule: SSL §§ 17(a)-(b), (j), 20(3)(d), 34(3)(f), 111-a and 111-v; 42 USC §§ 651-658, 660, 663-664, 666-667, 1302, 1396a(25), 1396b(d)(2), (o), (p) and (k); 45 CFR §§ 303.21, 303.69, 303.70 and 307.13

11. TDA-39-16-00006 Operational Plans for Uncertified Shelters for the Homeless*

Added 18 NYCRR 352.39 to require social services districts to submit to OTDA for review and approval operational plans and closure reports for each publicly-funded emergency shelter that currently does not fall within the scope of § 352.3(e)-(h), Part 491 or Part 900 of Title 18 NYCRR.

Analysis for the need for the rule: To protect vulnerable, low-income individuals and families with limited or no housing options, who have placed their trust and well-being in a system that should ensure that they have access to safe and habitable emergency shelter during their difficult times.

Legal basis for the rule: SSL §§ 17(a)-(b), (j), 20(2)-(3), 34, 460-c and 460-d

12. TDA-01-17-00002 Emergency Measures for the Homeless During Inclement Winter Weather*

Added 18 NYCRR Part 304 to mitigate the effects and impact of inclement winter weather on individuals experiencing homelessness.

Analysis for the need for the rule: The rule is necessary to protect homeless individuals from inclement winter weather in which air temperatures are at or below 32 degrees Fahrenheit, including National Weather Service calculations for windchill.

Legal basis for the rule: SSL §§ 17(a)-(b), (j), 20(2)(b), (3)(d), 34(3)(c)-(e) and (6)

13. TDA-23-17-00015 Supplemental Nutrition Assistance Program (SNAP) Categorical Eligibility*

Amended 18 NYCRR § 387.14 to update State regulations to include the previous expansion of categorical eligibility to certain SNAP applicants and further expand, pursuant to certain requirements, categorical eligibility of households having gross incomes at or below 200%, 150%, and 130% of the federal poverty limit (FPL).

Analysis of the need for the rule: The rule aligns State regulations with current SNAP policy regarding categorical eligibility for SNAP and advances the recommendations of the Governor's Anti-Hunger Task Force.

Legal basis for the rule: SSL §§ 17(a)-(b), (j), 20(3)(d) and 95; L. 2012, ch. 41; 7 USC Ch. 51 (generally), 7 USC §§ 2011, 2013; 7 CFR § 273.2(j)(2)

14. TDA-25-17-00001 Local District Child Support Enforcement Unit*

Amended 18 NYCRR § 347.3 in order to afford social services districts greater flexibility in selecting a name for the local entity responsible for child support activities within each social services district, update current State regulation language to reflect current terminology, and correct regulatory citations.

Analysis of the need for the rule: The rule was clarifying in nature, seeking to modernize the existing State regulations to reflect terminology currently used by the child support program. The regulatory amendments were responsive to a request by a social services district for flexibility in designating the local entity responsible for child support activities.

Legal basis for the rule: SSL §§ 17(a)-(b), (j), 20(3)(d), 34(3)(f), 111-a, 111-c; 42 USC §§ 651-657, 660, 663-664, 666-667; and 45 CFR § 302.12

15. TDA-31-17-00002 Mandated Reporter Requirement and Background Checks*

Added 18 NYCRR Part 901 to address the need for additional protections for children who are residing in publicly-funded emergency shelters for families with children by requiring checks of the Statewide Central Register of Child Abuse and Maltreatment and the Staff Exclusion List and criminal history background checks for individuals in certain positions in publicly-funded emergency shelters for families with children who have the potential for regular and substantial contact with children who are served by the shelter.

Analysis of the need for the rule: The amendments were needed to implement the State regulations as required by Part Q of Chapter 56 of the Laws of 2017.

Legal basis for the rule: SSL §§ 17(a)-(b), (j), 20(2)(b), 34(3)(c)-(d), (6), 412, 413, 424-a, 460-h and 495; L. 2017, Ch. 56, part Q

16. TDA-40-17-00002 Support Obligations*

Repealed 18 NYCRR §§ 347.8, 347.10, and 347.26; added 18 NYCRR § 347.8; and amended 18 NYCRR §§ 347.9 and 422.3 to align State regulations concerning the establishment, modification, and enforcement of support obligations with federal and State statutory requirements, to update State regulations to reflect current terminology used by the child support program, and to correct regulatory citations in accordance with federal and State laws.

Analysis of the need for the rule: The rule helped ensure the State's compliance with the federal rules, promoted the use of current terminology, and corrected regulatory citations.

Legal basis for the rule: SSL §§ 17(a)-(b), (j), 20(3)(d), 111-a and 111-i; 42 USC §§ 651-657, 660, 663-664 and 666-667; 45 CFR 303.4, 303.6 and 303.8

Rules adopted in 2012

17. TDA-17-11-00016 Fair Hearing Process*

Amended 18 NYCRR § 358-5.5 to revise the time frames within which an Appellant or an Appellant's authorized representative must request that a defaulted fair hearing be rescheduled.

Analysis of the need for the rule: The regulation removed the 15-day and 45-day time frames within which an Appellant or Appellant's authorized representative is to request that a fair hearing be rescheduled.

Legal basis for the rule: SSL §§ 20(3)(d), 22(8), and 34(3)(f).

18. TDA-22-12-00022 SNAP*

Repealed 18 NYCRR § 388.8 and amended 18 NYCRR §§ 351.2, 384.3 and 387.9 to eliminate finger imaging for purposes of SNAP, as OTDA had implemented a new Statewide Clearance process designed to prevent the receipt of duplicate SNAP benefits, in order to reduce food insecurity and improve nutrition.

Analysis of the need for the rule: On April 16, 2012, OTDA implemented a new Statewide clearance system for SNAP applicants and recipients designed to prevent the receipt of duplicate SNAP benefits. Cases are matched based on Social Security number, date of birth, name, and gender. The statewide clearance function enables both local social services district eligibility and case workers in all 58 districts to be able to check for duplicate participation in real time.

Legal basis for the rule: SSL §§ 20(3)(d), 95 and 131(1); 7 USC § 2020(a).

19. TDA-26-12-00017 Food Stamp Program Renamed to be SNAP*

Amended 18 NYCRR § 387.0 and § 387.1 to change the name of the Food Stamp Program to SNAP pursuant to Chapter 41 of the Laws of 2012.

Analysis of the need for the rule: Chapter 41 of the Laws of 2012 changed the name of the Food Stamp Program to SNAP. The rule implemented this Chapter Law and clarified that references in the regulations to the Food Stamp Program refer to SNAP.

Legal basis for the rule: SSL § 95; 7 USC Chapter 51, §§ 2011 and 2013; Chapter 41 of the Laws of 2012.

20. TDA-42-12-00001 Standard Utility Allowance for SNAP*

Amended 18 NYCRR § 387.12 to update the standard utility allowances for SNAP to the federally approved levels as of October 1, 2012.

Analysis of the need for the rule: It was of great importance that the federally approved standard utility allowances for SNAP were applied to SNAP benefit calculations effective October 1, 2012. If the standard utility allowances were not updated on October 1, 2012, it could have resulted in thousands of SNAP dependent households receiving overpayments each month. Overpayments to SNAP dependent households could have subjected the households to a 10% recoupment of their monthly SNAP benefits until the overpayment was recovered. Thus, the rule was necessary for the preservation of the public health and general welfare of SNAP dependent households.

Legal basis for the rule: Social Services Law, §§ 20(3)(d) and 95; 7 USC § 2014(e)(6)(c); 7 CFR § 273.9(d)(6)(iii).

Rules adopted in 2007

21. TDA-41-06-00034 Child Support Standards Chart*

Amended 18 NYCRR § 347.10(a)(9), (b) and (c), consistent with State law at that time, to update the child support calculations formula as reflected in the child support standards chart.

Analysis of the need for the rule: The amendments were developed to update the self-support reserve, the poverty level and the child support standards chart in order to correctly reflect child support obligation amounts.

Legal basis for the rule: SSL sections 20(3)(d), 34(3)(f), 111-a and 111-i.

22. TDA-42-06-00015 HEAP*

Amended 18 NYCRR § 358-2.2(a)(14) to update the adequate notice requirements for HEAP determinations.

Analysis of the need for the rule: The amendments were developed to update the adequate notice requirements to reflect the current policy and practice of providing budget information in HEAP notices that are based upon budget computations.

Legal basis for the rule: SSL sections 20(3)(d), 34(3)(f), 97 and 131(1).

23. TDA-37-06-00011 Congregate Care Level 3 Enhanced Residential Care*

Amended 18 NYCRR § 352.8(b)(4)(i), (ii), (5), (c)(1)(ii) and (d); and added 18 NYCRR § 352.8(b)(4)(iii) to authorize the provision of an allowance for temporary assistance recipients residing in congregate care level 3 facilities.

Analysis of the need for the rule: This rule was developed to authorize the provision of an allowance for temporary assistance recipients residing in congregate care level 3 facilities in order to implement the reclassification adult homes and enriched housing programs certified by the Department of Health from congregate care level 2 to level 3.

Legal basis for the rule: SSL sections 20(3)(d), 34(3)(f), 131(1), 131-o and 209.

Rules Adopted in 2002

24. TDA-02-02-00005 Removals

Amended 18 NYCRR § 352.7(o) to revive regulations concerning the circumstances under which a social services district may remove a public assistance recipient to another state or country.

Analysis of the need for the rule: This rule was developed to revive regulations concerning the circumstances under which a social services district may remove a public assistance recipient to another state or country.

Legal basis for the rule: SSL sections 20(3)(d), 34(3)(f) and 121.

25. TDA-01-02-00005 HEAP

Amended 18 NYCRR §§ 358-3.5(b)(4), 393.2(b), 393.3(a)-(b), 393.4(c)-(d) and 393.5(a), (c) and (e) to conform the regulations concerning HEAP with current policies and procedures of OTDA.

Analysis of the need for the rule: This rule was developed to conform the regulations concerning HEAP with OTDA's policies and procedures.

Legal basis for the rule: SSL sections 20(3)(d), 34(3)(f) and 97.

26. TDA-29-02-00010 Refugee Cash Assistance and Medical Assistance Programs*

Amended 18 NYCRR Part 373 to implement federal regulations concerning operation of the refugee cash assistance program and the refugee medical assistance program.

Analysis of the need for the rule: This rule was developed to implement federal regulations concerning operation of the refugee cash assistance program and the refugee medical assistance program.

Legal basis for the rule: SSL sections 20(3)(d), 34(3)(f), 358(3) and 358(4).

27. TDA-01-02-00006 Domestic Violence Waivers*

Amended 18 NYCRR § 351.2 to implement federal requirements concerning the establishment of domestic violence service plans and review of domestic violence waivers.

Analysis of the need for the rule: This rule was developed to implement federal requirements concerning the establishment of domestic violence service plans and review of domestic violence waivers.

Legal basis for the rule: SSL sections 20(3)(d), 34(3)(f), 131-u and 349-a.

28. TDA-50-01-00004 Desk Reviews of Child Support Payments*

Added 18 NYCRR § 347.25 to publish regulations on the conduct of desk reviews. The desk reviews involve an examination of public assistance and child support enforcement case records that will result in a written determination to the requestor of how the collections were distributed.

Analysis of the need for the rule: This rule was developed to formalize the procedures for the conduct of desk reviews upon the request of current and former recipients of public assistance who wish to obtain an accounting of the distribution of child support collected for months during which they received assistance payments and who believe that such distribution was incorrect.

Legal basis for the rule: SSL sections 20(3)(d), 34(3)(f) and 111-a.

Rules Adopted in 1997

29. SCS-13-96-00003 Personal Interviews for Applicants

Amended 18 NYCRR § 350.3(c) to require that an interview with an applicant for Public Assistance be scheduled within seven rather than five working days after an application is submitted.

Analysis of the need for the rule: The regulatory amendment assisted social services districts in the effective and efficient administration of Public Assistance programs by providing additional time for districts to schedule interviews with Public Assistance applicants.

Legal basis for the rule: SSL §§ 17, 20(3)(d), 34(3)(f), 158(a) and 355(3).

30. SCS-31-96-00001 Copies of Documents for Fair Hearings

Amended 18 NYCRR §§ 358-3.7 and 358-4.2 to set forth standards for making documents available to appellants in fair hearings.

Analysis of the need for the rule: The regulatory amendments relieved social services districts from costly mandates by conforming Office regulations to federal requirements regarding the provision of copies of documents from the case file by social services districts to appellants at fair hearings.

Legal basis for the rule: SSL §§ 20(3)(d), 34(3)(f) and 22(8).

31. SCS-41-96-00017 Food Stamp Program

Amended 18 NYCRR §§ 359.9, 387.1, 387.2, 387.9, 387.10, 387.11, 387.12, 387.14 and 387.19 to implement the provisions of the Personal Responsibility and Work Opportunity Reconciliation Act of 1996 (Pub. L. 104-193) relating to the food stamp program.

Analysis of the need for the rule: The regulatory amendments were developed to implement the provisions of the Personal Responsibility and Work Opportunity Reconciliation Act of 1996 (P.L. 104-193) relating to the food stamp program.

Legal basis for the rule: SSL §§ 20(3)(d), 34(3)(f) and 95.

32. SCS-11-97-00019 1996 Self-Support Reserve and Child Support Standards Chart

Amended 18 NYCRR § 347.10, consistent with State law at that time, to reflect the 1996 self-support reserve and child support standard chart.

Analysis of the need for the rule: The regulatory amendment advised the social services districts and the family courts of the correct amount of the 1996 self-support reserve when calculating the basic child support obligation for parties in child support proceedings. This was consistent with federal requirements that States implement child support standards that were used in the calculation of child support obligations and that the standards took into account the non-custodial parent's income.

Legal basis for the rule: SSL §§ 20(3)(d), 34(3)(f), 111-a and 111-i.

Conclusion

* The asterisks identify rules for which a regulatory flexibility analysis, rural area flexibility analysis, or job impact statement was prepared.

The Rule Review may be accessed on OTDA's website at <http://otda.ny.gov/legal/>

Any questions or comments may be referred to: Joseph C. Mazza, Office of Temporary and Disability Assistance, 40 N. Pearl St., 16th Fl., Albany, NY 12243, (518) 474-0574, e-mail: Joseph.Mazza@otda.ny.gov

GUIDANCE DOCUMENTS

Not less than once each year, every agency shall submit to the Secretary of State for publication in the *State Register* a list of all Guidance Documents on which the agency currently relies [SAPA, section 202-e(1)]. However, an agency may be exempted from compliance with the requirements of SAPA section 202-e(1) if the agency has published on its website the full text of all Guidance Documents on which it currently relies [SAPA, section 202-e(2)].

Office of Temporary and Disability Assistance

The State Administrative Procedure Act (SAPA) § 202-e requires every State agency, at least once a year, to submit to the Secretary of State for publication in the New York State Register a list of guidance documents on which the agency currently relies and to provide information on where and how regulated parties and members of the public may inspect and obtain copies of the documents. Set forth below is the list of guidance documents relied upon by the New York State Office of Temporary and Disability Assistance (OTDA). Many of these documents are available for review on OTDA's website at www.otda.ny.gov. Copies of the documents may be requested by writing to OTDA's Public Information Office, 40 North Pearl Street, 16th Floor, Albany, New York 12243, by calling (518) 474-9516, or by e-mailing: nyspio@otda.ny.gov. Arrangements can be made with that Office for inspection of the documents.

Comments related to the guidance documents should be submitted to: Joseph C. Mazza, Office of Temporary and Disability Assistance, 40 North Pearl Street, 16th Floor, Albany, New York 12243; or Joseph.Mazza@otda.ny.gov.

MANUALS, SOURCE BOOKS AND GUIDELINES

1. Temporary Assistance Source Book
2. Temporary Assistance for Needy Families State Plan
3. Supplemental Nutrition Assistance Program (SNAP) Source Book
4. Home Energy Assistance Program Manual
5. Home Energy Assistance Program State Plan
6. Temporary Assistance Energy Manual
7. Cash Management Subsystem (CAMS) Manual
8. The Automated Budgeting and Eligibility Logic (ABEL) Reference Manual
9. Institute of Internal Auditors International Professional Practices Framework
10. Benefit Issuance Control System (BICS) Services Payment Processing (BSPP) Manual
11. BICS Operations Manual
12. Electronic Benefit Transfer (EBT) Fiscal Manual
13. Fiscal Reference Manual (FRM): Policy and Procedures; Forms and Instructions; County Cost Allocation Plan; and New York City Cost Allocation Plan
14. GAO Government Auditing Standards
15. BICS Indirect Payment Processing Subsystem (IPPS) Manual
16. Local District Claiming System Users Manual
17. BICS Payment Issuance and Control Subsystem (PICS) Manual
18. State Data Exchange (SDX) Reference Guide
19. System Reference Manual (SRM)

20. Welfare Management System (WMS) Worker's Guide to Codes
21. Non Public Assistance (NPA) Food Stamp Desk Guide to Codes
22. IM Operational Handbook Authorization of Grants Manual
23. Budgeting Manual NPA Food Stamp Program
24. Budgeting Manual Public Assistance Program
25. Client Notices System (CNS) Codes & Text Catalogue
26. Client Notices Manual
27. Time Limit Tracking Manual
28. Temporary Assistance and Food Stamp Employment Policy Manual
29. BICS Error List
30. COSO Guidance — Committee on Sponsoring Organizations of the Treadway Commission
31. eDRS Worker Manual
32. Disaster SNAP State Plan
33. SNAP Employment & Training Plan
34. New York State SNAP Management Evaluation Plan
35. Standards for Internal Control in New York State Government
36. WMS New York City Reference Manual
37. WMS Upstate System Reference Manual
38. WMS Code Cards
39. WMS Error Listing
40. State Plan for the Refugee Resettlement Program
41. OTDA's website at www.otda.ny.gov

ADMINISTRATIVE DIRECTIVES (ADMs), INFORMATIONAL LETTERS (INFs), LOCAL COMMISSIONER MEMORANDA (LCMs), GENERAL INFORMATION SYSTEM (GIS) MESSAGES, DEAR COLLEAGUE LETTERS (DCLs), OFFICE OF ADMINISTRATIVE HEARINGS (OAH) PROCEDURES TRANSMITTALS, AND OTHER ISSUANCES

2021

ADMs

- 21-ADM-08 Unclaimed Support Funds
- 21-ADM-07 Undistributed Collections
- 21-ADM-06 Updated Upstate Death Match Process
- 21-ADM-05 Changes to the Supplemental Nutrition Assistance Program (SNAP) Employment and Training (E&T) Policy Mandated by Section 4005 of the Agriculture Improvement Act of 2018
- 21-ADM-04 Change to Work Verification Requirements
- 21-ADM-03 2021-2022 Flexible Fund for Family Services (FFFS)
- 21-ADM-02 Temporary Assistance Budgeting: 2021 Earned Income Disregard and Poverty Level Income Test
- 21-ADM-01 Acknowledging Parentage as the First Step in Providing Child Support Services

- LCMs
- 21-LCM-24 NYS Rental Supplement Program
 - 21-LCM-23 Home Energy Assistance Program (HEAP) Program Allocations
 - 21-LCM-22 Insert for the Client Information Book LDSS-4148B: "Book 2: What You Should Know About Social Services Programs"
 - 21-LCM-21 Revisions to the LDSS-4579 Non-Citizen Eligibility Desk Aid
 - 21-LCM-20 Code Blue Budgets
 - 21-LCM-19 Introduction of New Codes for Recertification Telephone Interviews
 - 21-LCM-18 2021-2022 Home Energy Assistance Program (HEAP)
 - 21-LCM-17 Child Support Services Incentives - FFY2019 and FFY2021
 - 21-LCM-16 Supplemental Nutrition Assistance Program (SNAP) Administrative Funding under the American Rescue Plan (ARP)
 - 21-LCM-15 Revised 2020-2021 Home Energy Assistance Program (HEAP) District Administrative Allocations
 - 21-LCM-14 2022-2023 Biennial Temporary Assistance (TA) and Supplemental Nutrition Assistance Program (SNAP) Employment Plan
 - 21-LCM-13 Public Charge Policy Update – Final Rule No Longer in Effect
 - 21-LCM-12 Automated Termination of Supplemental Nutrition Assistance Program (SNAP) Claims
 - 21-LCM-11 Claiming Deadlines for Federal and State Reimbursement
 - 21-LCM-10 Revisions to LDSS-2859, Information Transmittal
 - 21-LCM-09 Revisions to the LDSS-5171
 - 21-LCM-08 New York State Summer Youth Employment Program Allocations
 - 21-LCM-07 Revision to the LDSS-5062A SNAP Employability-ABAWD Code Desk Guide
 - 21-LCM-06 Executive Appointments in Social Services Districts
 - 21-LCM-05 Revision to the LDSS-4314 Supplemental Nutrition Assistance Program (SNAP) Benefits Household Composition Desk Guide
 - 21-LCM-04 2020-2021 Home Energy Assistance Program (HEAP) Administrative Allocations and Program Allocations
 - 21-LCM-03 Questions and Answers Regarding 21-ADM-01, Acknowledging Parentage as the First Step in Providing Child Support Services
 - 21-LCM-02 FFY 2021 Supplemental Nutrition Assistance Program Employment and Training Allocations
 - 21-LCM-01-T Revisions to the Child Support Application and Referral Forms
 - 21-LCM-01 Revisions to the Child Support Application and Referral Forms
- INFs
- 21-INF-10 2021 State Minimum Wage Increase and the Effect on Temporary Assistance and Supplemental Nutrition Assistance Programs
 - 21-INF-09 2022 Federal Cost-of-Living Adjustment (COLA) and 2022 SSI and SSP Maximum Benefit Levels Chart
 - 21-INF-08 Information Regarding Federal Child Tax Credit Benefits
 - 21-INF-07 Chapter 316 of the Laws of 2021 - Unclaimed Child Support Funds
 - 21-INF-06 Cost Avoidance Calculations for Program Integrity Initiatives
 - 21-INF-05 Digest of Laws of 2020 and Chapter Amendments of 2021 Relating to Programs of the Office of Temporary and Disability Assistance
 - 21-INF-04-T Stewart v. Roberts: Instructions for Implementation
 - 21-INF-03 Changes in the Earned Income Tax Credit (EITC) and Summary of Other Available Federal and State Tax Credits for Tax Year 2020
 - 21-INF-02 Change in Internal Revenue Service Mileage Rates for 2021
 - 21-INF-01 Temporary Assistance Budgeting: Guidance on Allen, Rice, and Swift Budgeting Methodologies
- GIS
- 12/29/21 - 21TA/DC089 – Implementation of Deferred Enforced Departure for Certain Hong Kong Residents
 - 12/28/21 - 21TA/DC088 NYDocSubmit Mobile Application Deployment of Release 1.5
 - 12/24/21 - 21TA/DC087 – Treatment of the Federal Fiscal Year 2021 John H. Chafee Program for Successful Transition to Adulthood Cash Grants
 - 12/21/21 - 21TA/DC086 – 2021-2022 Home Energy Assistance Program (HEAP) Emergency Benefit Component Opening and Increased Benefits
 - 12/20/21 - 21TA/DC085 – COVID-19 SNAP Interview Adjustment and Telephonic Signature Waiver Extensions
 - 12/14/21 - 21TA/DC084 - 2021-2022 Holiday Moratorium Schedule for New York State PSC Regulated and Municipal Utility Companies
 - 12/09/21 - 21TA/DC083 – COVID-19 December Emergency Allotments to SNAP Households
 - 12/08/21 - 21TA/DC082 – Processing Temporary Assistance (TA) Requests for Energy Emergencies
 - 12/06/21 - 21TA/DC080 – Preliminary Guidance Regarding the New York State Combined Application Project (NYSCAP)
 - 12/03/21 - 21TA/DC081 – Impact of Payments from the Excluded Workers Fund
 - 11/29/21 - 21TA/DC079 – Preliminary Guidance Regarding the Elderly Simplified Application Project (ESAP)
 - 11/22/21 - 21TA/DC078 – Updates to the Emergency Rental Assistance Program (ERAP)
 - 11/19/21 - 21TA/DC077 – Low Income Household Water Assistance Program (LIHWAP)
 - 11/19/21 - 21TA/DC076 – Updates to the Temporary Assistance Source Book
 - 11/09/21 - 21TA/DC075 – Supplemental Nutrition Assistance Program (SNAP) Able-Bodied Adults Without Dependents (ABAWD) Guidance on the New 36-Month Period
 - 11/08/21 - 21TA/DC074 – COVID-19 November Emergency Allotments to SNAP Households ?
 - 10/28/21 - 21TA/DC073 – Prison Temporary Assistance (TA) Auto Close and WMS Screens
 - 10/26/21 - 21TA/DC072 – Systematic Alien Verification for Entitlements (SAVE) System Improvements
 - 10/25/21 - 21TA/DC071 – Guidance on Providing Assistance to Evacuees from Afghanistan
 - 10/13/21 - 21TA/DC070 – Colaj v. Roberts: Notifying Social Services Districts of Notices to Class Members and Potential Class Members
 - 10/12/21 - 21TA/DC069 – Telephone and Digital Interviews
 - 10/06/21 - 21TA/DC068 – 2021-2022 HEAP OSC Voucher Payment
 - 10/06/21 - 21TA/DC067 – COVID-19 October Emergency Allotments to SNAP Households
 - 09/29/21 - 21TA/DC066 – COVID-19 TA Waiver Extension Dates and SNAP Interview Waiver Extensions
 - 09/22/21 - 21TA/DC065 – 2020-2021/2021-2022 Home Energy Assistance Program (HEAP) Regular Arrears Supplement (RAS)
 - 09/21/21 - 21TA/DC064 – Updated Supplemental Nutrition Assistance Program (SNAP) Standards beginning October 2021
 - 09/17/21 - 21TA/DC063 – Hurricane Ida Disaster-Related Waivers for New York City, Nassau, Suffolk and Westchester Counties
 - 09/17/21 - 21TA/DC062 – Covid-19 September Emergency Allotments to SNAP Households

09/01/21 - 21TA/DC061 – 2021-2022 HEAP Advanced Nominal Benefit

09/01/21 - 21TA/DC060 – Closing Dates for 2020-2021 Home Energy Assistance Program (HEAP) Regular, Emergency, Cooling, Heating Equipment Repair and Replacement (HERR), and Heating Equipment Clean and Tune (C&T) Benefit Components

08/18/21 - 21TA/DC059 – Non-Citizens Who are Special Immigrant Juveniles (SIJs) are Recognized as Permanently Residing Under Color of Law (PRUCOL) for Safety Net Assistance (SNA) Eligibility

08/18/21 - 21TA/DC058 – Systematic Alien Verification for Entitlements (SAVE) Case Search Enhancements

08/11/21 - 21TA/DC057 – Minimizing Delays with the Systematic Alien Verification for Entitlements (SAVE) Verification Process

08/11/21 - 21TA/DC056 – COVID-19 August 2021 Emergency Allotments to SNAP Households

8/06/21 - 21TA/DC055 – 2021-2022 Home Energy Assistance Program (HEAP) Pre-Auto Pay Vendor Information – Second Set of Reports

08/06/21 - 21TA/DC055 – 2021-2022 Home Energy Assistance Program (HEAP) Pre-Auto Pay Vendor Information – Second Set of Reports

08/05/21 - 21TA/DC054 – COVID-19 Pandemic EBT Food Benefits for the 2020-2021 School Year

07/30/21 - 21TA/DC053 – COVID-19 SNAP Interview Adjustment and Telephonic Signature Waiver Extensions

07/16/21 - 21TA/DC052 – Federal Emergency Management Agency (FEMA) COVID-19 Funeral Assistance

07/13/21 - 21TA/DC051 – Home Energy Assistance Program (HEAP) Manual

07/13/21 - 21TA/DC050 – COVID-19 July Emergency Allotments to SNAP Households

07/02/21 - 21TA/DC049 – Statewide Waiver of the Able-Bodied Adults Without Dependents (ABAWD) Time Limits for Federal Fiscal Year (FFY) 2022

07/01/21 - 21TA/DC048 – Amendment to Social Services Law § 131-a(5)(d) Regarding Allowances for Camp Fees

06/30/21 - 21TA/DC047 – COVID-19 TA Waiver Extension Dates and SNAP Interview Waiver Extensions

06/30/21 - 21TA/DC046 – Guidance on Resumption of TA and SNAP Employment Requirements Following COVID-19 Closures

06/28/21 - 21TA/DC045 – Continued Assistance for Office of Refugee Resettlement (ORR) Populations Affected by COVID-19, through September 30, 2021

06/28/21 - 21TA/DC044 – Summer Cooling Requirements for Shelters

06/24/21 - 21TA/DC043 – Designation of Burma for Temporary Protected Status

06/22/21 - 21TA/DC042 – SNAP 2021-2022 Disaster Plan Local Emergency Contacts

06/17/21 - 21TA/DC041 – COVID-19 June Emergency Allotments to SNAP Households

06/11/21 - 21TA/DC040 – HEAP Heating Equipment Clean and Tune Benefit Outreach Letter

06/11/21 - 21TA/DC039 – Impact of the Emergency Rental Assistance Program (ERAP)

06/10/21 - 21TA/DC038 – Stewart v. Roberts: Instructions for Implementation

06/08/21 - 21TA/DC037 – HEAP Pre-Autopay Vendor Information

06/07/21 - 21TA/DC036 – LDSS-5183 – Determination of Stewart Class Membership

06/01/21 - 21TA/DC034 – Federal Emergency Broadband Benefit Program (EBBP) Resource

06/01/21 - 21TA/DC033 – Public Charge Policy Update

05/26/21 - 21TA/DC032 – Social Services District Responsibility for Timely Submission of Voter Registration Forms

05/24/21 - 21TA/DC031 – Social Security Administration (SSA) Government to Government Services Online (GSO) Registration

05/20/21 - 21TA/DC030 – Availability of New HEAP Cooling Flyer

05/19/21 - 21TA/DC029 – FFY 2021 Supplemental Nutrition Assistance Program (SNAP) Process and Technology Improvement Grant

05/19/21 - 21TA/DC028 – Implementation of Deferred Enforced Departure for Venezuela and Designation of Venezuela for Temporary Protected Status

05/13/21 - 21TA/DC027 – Requirement for Systematic Alien Verification for Entitlements (SAVE) Users to Complete the SAVE Program Tutorial by Wednesday, May 26, 2021

05/07/21 - 21TA/DC026 – COVID-19 May Emergency Allotments to SNAP Households

05/05/21 - 21TA/DC025 – Disposal of Dated Systematic Alien Verification for Entitlements (SAVE) Records

05/03/21 - 21TA/DC024 – Notifying Social Services Districts of the Supplemental Settlement Agreement in Karamalla et. al. v. Devine (Index No. 2015-000107, Erie County) ?

04/27/21 - 21TA/DC023 – 2020-2021 HEAP – Revised Component Closing Dates and Third HEAP Emergency Benefit

04/15/21 - 21TA/DC022 – 2020-2021 HEAP – Revised Component Closing Dates and Third HEAP Emergency Benefit

04/14/21 - 21TA/DC021 – Change in Documentation Requirements for Reporting Hours of Paid Employment for Work Participation Rate Purposes

04/13/21 - 21TA/DC020 – Stewart v. Roberts: Preliminary Information for Districts & District Information Needed by April 16 to Comply with Court Decision

04/09/21 - 21TA/DC019 – COVID-19 April Emergency Allotments to SNAP Households

04/09/21 - 21TA/DC018 – Home Energy Assistance Program (HEAP) 2020-2021 Cooling Assistance Component Opening Date

04/02/21 - 21TA/DC017 – 2021-2022 Income Guidelines for Emergency Safety Net Assistance (ESNA) and Emergency Assistance to Needy Families with Children (EAF)

03/29/21 - 21TA/DC016 – COVID-19 TA Waiver Extension Dates and SNAP Interview Waiver Extensions

03/23/21 - 21TA/DC015 – Impacts of American Rescue Plan Act of 2021

03/15/21 - 21TA/DC014 – Exemption from Supplemental Nutrition Assistance Program (SNAP) Work Requirements for a Parent of other Household Member Responsible for the Care of a Child Under the Age of Six

03/15/21 - 21TA/DC013 – OAH Transmittal 21-1 - Demonstration Project Conducting Hearings by Telephone Video and Other Means of Communication

03/08/21 - 21TA/DC012 – 2020-2021 Home Energy Assistance Program (HEAP) Revised Component Closing Dates, Second Emergency Benefit, and Concurrent Issuance of Regular and Emergency HEAP Benefits

03/04/21 - 21TA/DC011 – COVID-19 March Emergency Allotments to SNAP Households

03/04/21 - 21TA/DC010 – Continued Assistance for Office of Refugee Resettlement (ORR) Populations Affected by COVID-19

02/19/21 - 21TA/DC009 – COVID-19 February 2021 Emergency Allotments to SNAP Households

02/12/21 - 21TA/DC008 – 2021 SSI COLA NYSNIP Standard Benefit Amount Adjustments

02/10/21 - 21TA/DC007 – 2021 200% of Poverty Income Standards Chart – June 1, 2021 through May 31, 2022

01/26/21 - 21TA/DC006 – Waiver Requests for Electronic and Telephone Interviews under 11-INF-07

01/19/21 - 21TA/DC005 – Revised TA Domestic Violence Forms: LDSS-4583, LDSS-4583A, LDSS-4813 and LDSS-4905

01/15/21 - 21TA/DC004 – Upstate and NYC – Impacts of the federal Consolidated Appropriations Act of 2021

01/13/21 - 21TA/DC003 – COVID-19 January 2021 Emergency Allotments to SNAP Households

01/11/21 - 21TA/DC002 – Posting Requirement for Notice of Proposed Class Action Settlement for the class Action Lawsuit, Colaj v. Roberts (Index No. 452243/2017, New York County)

01/07/21 - 21TA/DC001 – New Notice of Death Match Results – Implementation Update

DCLs (Child Support)

- 01/11/21 - Fillable Forms to Assist Working Remotely - the LDSS-2859 and Requests for Approval of Standardized LDSS-3117 LE (Rev. 7/04)

- 01/20/2021 - Ordering Instructions for the Acknowledgment of Parentage (LDSS-5171)

- 02/16/2021 - Statewide Location Services Agreement - Thomson Reuters/CLEAR

- 03/01/2021 - Updated Child Support Guidelines Figures and Publication of the Child Support Standards Chart

- 05/14/2021 - Unprocessed CSENet (Child Support Enforcement Network) Files

- 06/14/2021 - Special Collection - Alaska Permanent Fund Dividend

- 06/17/2021 - Instructions for Worker Alerts Warning Code & Message 227 DTF - RESP ON WELFARE

- 06/22/2021 - Eligibility of Payroll Protection Program Loans for Property Execution

- 06/29/2021 - Process Year 2022 Tax Refund Offset Delete Certified for Offset Values

- 07/07/2021 - Department of Motor Vehicles License Suspension Law Extended

- 08/19/2021 - Adoption of New York State Regulatory Amendments - Establishment of Parentage

- 08/30/2021 - Implementation of the Revised Federal Income Withholding Order/Notice for Support

- 08/30/2021 - Guidance Regarding the Safe Provision of Essential Services During the Ongoing Pandemic

- 10/21/2021 - Domestic Violence and the Child Support Program

- 11/03/2021 - Chapter Law 437 - Support Orders for Adult Dependents

- 11/05/2021 - Chapter Law 504 - Independent Contractors

- 12/10/2021 - Interstate Case Processing – Revised UIFSA Forms

- 12/10/2021 - Interstate Case Processing - Revised Interstate Administrative Subpoena and Notice of Interstate Lien Forms

OAH Transmittals

21-01 Demonstration Project – Conducting Hearings by Telephone, Video, and Other Means of Communication

Misc.

6/16/2021 Interim Guidance for Operators of Congregate Facilities Providing Shelter to Individuals Who are Homeless

2020

ADMs

20-ADM-15 2020-2023 Workforce Innovation and Opportunity Act (WIOA) Local Memorandum of Understanding

20-ADM-14 New SNAP-Only Application Form

20-ADM-13 Supplemental Nutrition Assistance Program Eligibility for Students Enrolled in a Program of Career and Technical Education or Qualified Educational Opportunity Center Program

20-ADM-12 Implementation of the Electronic LDSS-2921 (E-2921), Application for Certain Benefits and Services

20-ADM-11 2020-2021 Flexible Fund for Family Services (FFFS)

20-ADM-10 Homeless Services Plan and Outcome Reporting

20-ADM-09 Adoption of New Shelter Regulations

20-ADM-08 Stewart v. Roberts: Court Decision Impacts Policy in SSL 131-n(1)(a), 18 NYCRR 352.23(b)(2), and 16-ADM-09 “Temporary Assistance Policy: Change in the Vehicle Resource Exemption and Lump Sum Set-Aside”

20-ADM-07 Elimination of Automated Finger Imaging System (AFIS) and Photo Imaging Requirements for Purposes of Public Assistance (PA)

20-ADM-06 Temporary Assistance Budgeting: 2020 Earned Income Disregard and Poverty Level Income Test

20-ADM-05 Requirement to Make Information Available to Nonparent Caregivers Relating to Available Services and Assistance Program

20-ADM-04 Implementation of Phase2 of the NYDocSubmit Mobile Application and On-Site Imaging Worker

20-ADM-03 Providing Services to Transgender, Gender Nonconforming, and Non-Binary People Experiencing Homelessness

20-ADM-02 District/Community Partnership to Support Individuals Diagnosed with Acquired Immune Deficiency (AIDS) or Human Immunodeficiency Virus (HIV)

20-ADM-01 Gender Designation “X”

LCMs

20-LCM-14 Revisions to the LDSS-2921, LDSS-3174, PUB-1301, and PUB-1313

20-LCM-13 Code Blue Budgets

20-LCM-12 Child Support Incentives – Federal Fiscal Years 2018 and 2020

20-LCM-11 2020-2021 Home Energy Assistance Program (HEAP)

20-LCM-10 FFY 2020 – Supplemental Nutrition Assistance Program Employment and Training (SNAP E&T) Able-Bodied Adults Without Dependents (ABAWD) Pledge Funds Allocations

20-LCM-09 Revised 2019-2020 Home Energy Assistance Program (HEAP) Administrative Allocations

20-LCM-08 COVID-19 Temporary Assistance Waiver Extension

20-LCM-07 Claiming Deadlines for Federal and State Reimbursement

20-LCM-06 Coronavirus Aid, Relief and Economic Security (CARES) Act Emergency Solutions Grant (ESG) Application

20-LCM-05 2020 New York State Summer Youth Employment Program Allocations

20-LCM-04 Supplemental Nutrition Assistance Program Employment and Training (SNAP E&T) Dependent Care Funds for FFY 2019

20-LCM-03 Supplemental Nutrition Assistance Program (SNAP) Revised Civil/Human Rights Complaint Procedures

20-LCM-02 Home Energy Assistance Program (HEAP) Administrative Allocations and Program Allocations

20-LCM-01 FFY 2020 Supplemental Nutrition Assistance Program (SNAP) Employment and Training (E&T) Allocations

INFs

20-INF-13 2020 State Minimum Wage Increase and the Effect on Temporary Assistance and Supplemental Nutrition Assistance Programs

20-INF-12 2021 Federal Cost-of-Living Adjustment (COLA) and 2021 SSI and SSP Maximum Monthly Benefit Levels Chart

20-INF-11 Further Revisions to the LDSS-5159 Notice of Utility-Related Action Form

20-INF-10 Temporary Housing Assistance (THA) for Sex Offenders - Updated Department of Corrections and Community Supervision Contact Lists

20-INF-09 COVID-19 and Refugee Cash Assistance Eligibility Period Extension

20-INF-08 Revisions of the Utility Related Action Form

20-INF-07 Exemption from Supplemental Nutrition Assistance Program (SNAP) Able-Bodied Adults Without Dependents (ABAWD) Requirements for Individuals who are Obviously Unfit for Employment

20-INF-06 Digest of Laws of 2019 Relating to Programs of the Office of Temporary and Disability Assistance

20-INF-05 Release of a New Manual Notice of Intent: LDSS-4004A NYC and Revisions to LDSS-4004A and LDSS-4004B

20-INF-04 Language Identification Tool (LDSS 5070-A, B and C) and District Language Access Resources Page

20-INF-03 Changes in the Earned Income Tax Credit (EITC) and Summary of Other Available Federal and State Tax Credits for Tax Year 2019

20-INF-02 Change in Internal Revenue Service Mileage Rates for 2020

20-INF-01 Revisions to the LDSS-4923, LDSS-4924, LDSS-4925 and LDSS-4926

GIS

12/24/20 - 20TA/DC115 – Delay in Processing of SDX Daily Files

12/23/20 - 20TA/DC114 – Guidance on TA and SNAP Employment Requirements during the COVID-19 Pandemic

12/18/20 - 20TA/DC113 – COVID-19 TA Waiver Extension Dates

12/16/20 - 20TA/DC112 – 2020-2021 Home Energy Assistance Program (HEAP) Emergency Benefit Component Opening

12/15/20 - 20TA/DC111 – Public Comment Period for the Federal Fiscal Year 2021 New York State Caseload Reduction Report

12/15/20 - 20TA/DC110 – Timely Medicaid Eligibility Enrollment for Newborns on Temporary Assistance Cases

12/08/20 - 20TA/DC109 – COVID-19 December Emergency Allotments to SNAP Households

12/07/20 - 20TA/DC108 – COVID-19 Pandemic EBT - Second Group 2 Targeted Communication Effort

11/27/20 – 20TA/DC107 - COVID-19 Pandemic EBT Food Benefits – Targeted Text Outreach to Non-Redeemers

11/20/20 – 20TA/DC106 – New Notice of Death Match Results

11/18/20 – 20TA/DC105 – Requirement to Advise Certain SNAP Households of the Availability of Employment and Training Services

11/17/20 – 20TA/DC104 – Extended Assistance for Office of Refugee Resettlement (ORR) Populations Affected by COVID-19

11/16/20 – 20TA/DC103 – 2020-2021 Processing Temporary Assistance (TA) Requests for Energy Emergencies

11/10/20 - 20TA/DC102 – COVID-19 November Emergency Allotments to SNAP Households

11/09/20 – 20TA/DC101 – REISSUED COVID-19 SNAP Interview Requirement and Certification Period Extension Waiver Renewals

10/30/20 - 20TA/DC100 – Utility Moratorium on Terminations and Disconnections during the COVID-19 Pandemic

10/22/20 - 20TA/DC099 – 2020-2021 HEAP OSC Voucher Payment

10/20/20 - 20TA/DC098 – COVID-19 October Emergency Allotments to SNAP Households

10/16/20 - 20TA/DC097 – OAH Transmittal 20-05 - Allowing or Requiring Fair Hearing Appearances by Written, Telephonic, Video, or other Electronic Means

10/13/20 - 20TA/DC096 – COVID-19 SNAP Interview Requirement and Certification Period Extension Waiver Renewals

10/13/20 - 20TA/DC095 – COVID-19 Reactivation of Treasury Offset Program (TOP) Offsets

10/01/20 - 20TA/DC094 – HEAP 2020-2021 Opening Dates for Heating Equipment Repair & Replacement and Heating Equipment Clean & Tune

09/24/20 - 20TA/DC092 – COVID-19 Emergency Relief – Treatment of Supplemental Lost Wages Payments for Temporary Assistance (TA), Supplemental Nutrition Assistance Program (SNAP) and the Home Energy Assistance Program (HEAP)

09/21/20 - 20TA/DC091 – Social Services District Responsibility for Timely Submission of Voter Registration Forms

09/17/20 - 20TA/DC090 – COVID-19 Telephone Signature Waiver Option Extension through December 31, 2020

09/09/20 - 20TA/DC088 – COVID-19 September Emergency Allotments to SNAP Households

09/02/20 - 20TA/DC087 – Updated Supplemental Nutrition Assistance Program (SNAP) Standards for October 2020

09/01/20 - 20 TA/DC086 – HEAP Early Outreach

08/27/20 - 20 TA/DC085 – 2019-20 Home Energy Assistance Program (HEAP) Regular, Emergency, and Cooling Assistance Benefit Components Closing Date and Reminder of Heating Equipment Repair and Replacement (HERR) and Heating Equipment Clean and Tune (C&T) Components Closing Date

08/19/20 - 20TA/DC084 – Extension of Period for Replacement of SNAP Benefits Due to Loss of Food Caused by Power Outages

08/14/20 - 20TA/DC083 – Extension of Certain Requirements for Inspections of Hotels/Motels and Uncertified Shelters

08/14/20 - 20TA/DC082 – Statewide Waiver of the Able-Bodied Adults Without Dependents (ABAWD) Time Limits

08/13/20 - 20TA/DC081 – Lifeline Program Resources During the COVID-19 Pandemic

08/11/20 - 20TA/DC080 – COVID-19 August Emergency Allotments to SNAP Households

08/10/20 - 20TA/DC079 – Using Work Experience Program (WEP) Participation as a Temporary Assistance (TA) Recovery Source

08/06/20 - 20TA/DC078 – COVID-19 TA Waiver Extension Dates – August 2020

08/03/20 - 20TA/DC077 – 2020-2021 Home Energy Assistance Program (HEAP) TA – SNAP Pre-Autopay Vendor Information – Second Set of Reports

07/17/20 - 20TA/DC076 – OAH Allowing or Requiring Fair Hearing Appearances by Written, Telephonic, Video, or other Electronic Means

07/16/20 - 20TA/DC075 – COVID-19 Telephone Signature Waiver Option Extension

07/15/20 - 20TA/DC074 – COVID 19 July Emergency Allotments to SNAP Households

07/10/20 - 20TA/DC073 – COVID-19 Pandemic EBT Food Benefits – “Group 2” Payments

07/07/20 - 20TA/DC072 – COVID-19 TA Waiver Extension Dates

07/06/20 - 20TA/DC071 – Training for the myBenefits e-2921

07/02/20 - 20TA/DC070 – COVID-19 July Certification Periods Extension

06/30/20 - 20TA/DC069 – VA PARIS Match NYC

06/30/20 - 20TA/DC068 – Denial of Waiver to Extend July Certification Periods

06/29/20 - 20TA/DC067 – 2019 – 2020 Home Energy Assistance Program (HEAP) Revised Component Closing dates and Change to the Cooling Assistance Component (CAC)

06/25/20 - 20TA/DC066 – COVID-19 Telephone Signature Waiver Option Extension

06/23/20 - 20TA/DC065 – Date of Eligibility when an Applicant becomes a Recipient

06/17/20 - 20TA/DC064 – Instructions for Subscribing to New York State OTDA Emailings

06/12/20 - 20TA/DC063 – Applicants in Department of Corrections and Community Supervision Temporary Release Programs

06/16/20 - 20TA/DC062 – REISSUED - COVID-19 June Emergency Allotments to SNAP Households

06/09/20 - 20TA/DC061 – SNAP 2020-2021 Disaster Plan Local Emergency Contacts

06/09/20 - 20TA/DC060 – RFI Daily UIB Match Running Again

06/08/20 - 20TA/DC059 – 2020 Maintaining Uninterrupted Managed Care Enrollment for Individuals - Non-MAGI DOH OASAS

06/08/20 - 20TA/DC058 – 2020-2021 HEAP Pre-Autopay Vendor Information

06/07/20 - 20TA/DC057 – COVID-19 June 6, 2020 TA Expiration Dates

06/05/20 - 20TA/DC056 – RFI Daily UIB Match Not Running

05/29/20 - 20TA/DC055 – COVID-19 Telephone Signature Waiver Option Extension

05/29/20 - 20TA/DC054 – Extension of COVID-19 SNAP Interview Adjustment Waivers

05/27/20 - 20TA/DC053 – COVID-19: Resuming Computer Matches

05/27/20 - 20TA/DC052 – 2020 EID and Poverty Level Income Guidelines

05/26/20 - 20TA/DC051 – COVID-19 Pandemic EBT Food Benefits

05/26/20 - 20TA/DC050 – COVID-19 Special Claiming Code

05/20/20 - 20TA/DC049 – COVID-19 Telephone Signature Waiver Option

05/14/20 - 20TA/DC048 – COVID-19 TA and SNAP Employment Requirements

05/14/20 - 20TA/DC047 – COVID-19 Related TA CSR and Other Extensions

05/13/20 - 20TA/DC046 – Federal Pandemic Unemployment Compensation (FPUC) Payments Not Being Posted to RFI

05/12/20 - 20TA/DC045 – COVID-19 May Emergency Allotments to SNAP Households

05/11/20 - 20TA/DC044 – Stewart v. Roberts: Court Decision Impacts Policy in SSL 131-n(1)(a), 18 NYCRR 352.23(b)(2), and 16-ADM-09 “Temporary Assistance Policy: Change in the Vehicle Resource Exemption and Lump Sum Set-Aside”

05/08/20 - 20TA/DC043 – COVID-19 Executive Order Expiration Date

05/07/20 - 20TA/DC042 – Additional COVID-19 Questions and Answers

05/07/20 - 20TA/DC041 – COVID-19 and DV Waiver Extension

05/06/20 - 20TA/DC040 – Clarifications on COVID-19 Related Systems Actions

05/05/20 - 20TA/DC039 – COVID-19 Temporary Assistance Questions and Answers

05/04/20 - 20TA/DC038 – COVID-19 June Certification Periods Extension

05/04/20 - 20TA/DC037 – Informing Social Services Districts of the Issuance of a One-Time Restored Temporary Assistance Benefit Payment to Eligible Class Members

04/30/20 - 20TA/DC036 – Q&As about COVID-19 Mask Provisions

04/29/20 - 20TA/DC035 – COVID-19 Emergency Relief- Federal Pandemic Unemployment Compensation Treatment for Temporary Assistance (TA), Supplemental Nutrition Assistance Program (SNAP) and the Home Energy Assistance Program (HEAP)

04/23/20 - 20TA/DC034 – 2019-2020 Home Energy Assistance Programs – Revised Component Closing Dates and Third HEAP Emergency Benefit

04/21/20 - 20TA/DC033 – Able Bodied Adults Without Dependents (ABAWDs) Override Transactions due to COVID-19

04/20/20 - 20TA/DC032 – Use of SNAP E&T Funds to Purchase Laptops Necessary for Remote Learning

04/20/20 - 20TA/DC031 – FFY 2020 Supplemental Nutrition Assistance Program (SNAP) Process and Technology Improvement Grants

04/17/20 - 20TA/DC030 – Home Energy Assistance Program (HEAP) 2019-2020 Cooling Assistance Component Opening Date

04/10/20 - 20TA/DC029 – COVID-19 Emergency Allotments to SNAP Households

04/10/20 - 20TA/DC028 – COVID-19 April 11, 2020 Executive Order Expiration Date

04/06/20 - 20TA/DC027 – COVID-19 Emergency Relief - Treatment of Families First Coronavirus Response Act payments for Temporary Assistance (TA), Supplemental Nutrition Assistance Program (SNAP) and the Home Energy Assistance Program (HEAP)

04/03/20 - 20TA/DC026 – COVID-19 SNAP Interview Requirements

04/01/20 - 20TA/DC025 – 2020-2021 Income Guidelines for Emergency Safety Net Assistance (ESNA) and Emergency Assistance to Needy Families with Children (EAF)

03/31/20 - 20TA/DC024 – Temporary Modification to the Case Supervisory Review Approval Process in Response to COVID-19

04/01/20 - 20TA/DC023 – COVID-19 Questions and Answers - 2nd Update

03/31/20 - 20TA/DC022 – COVID-19 SNAP Interview Requirements

03/30/20 - 20TA/DC021 – Temporary Able-Bodied Adults Without Dependents (ABAWD) Time Limit Suspension due to COVID-19 Pandemic

03/27/20 - 20TA/DC020 – Suspension of Treasury Offset Program (TOP) Offsets

03/24/20 - 20TA/DC019 – COVID-19 Certification Periods Extension

03/20/20 - 20TA/DC018 – District Office Hours and Availability to Meet Needs During COVID-19

03/17/20 - 20TA/DC017 – HEAP Clean and Tune Flyer (Pub-5157)

03/16/20 - 20TA/DC016 – COVID-19

03/13/20 - 20TA/DC015 – Temporary Suspension of In-Person Interview and Application Requirements for HEAP

03/12/20 - 20TA/DC014 – OAH Demonstration Project – Conducting Hearings by Telephone, Video, and Other Means of Communication

03/12/20 - 20TA/DC013 – COVID-19

02/21/20 - 20TA/DC012 – Shelter Supplement Plan Submissions and Revisions

02/20/20 - 20TA/DC011 – Update on the “Inadmissibility on Public Charge Grounds” Final Rule

02/13/20 - 20TA/DC010 – 200% of Poverty Income Standards Chart – June 1, 2020 through May 31, 2021

02/13/20 - 20TA/DC009 – 2019-2020 HEAP Revised Component Closing Dates and Secondary Emergency Benefit

02/10/20 - 20TA/DC008 – Release of Revised LDSS-4732B: “District of Fiscal Responsibility (DFR) Determination Worksheet”

01/29/20 - 20TA/DC007 – Release of Revised LDSS-5072 “Informational Letter Regarding Able Bodied Adult Without Dependents (ABAWD) Requirements” and LDSS-5127 “ABAWD Work Activity Letter”

01/29/20 - 20TA/DC006 – Definition of a Work Program for Purposes of Meeting the Work Requirement for Able Bodied Adults Without Dependents (ABAWD).

01/22/20 - 20TA/DC005 – SNAP Claims for Multi-Person Cases with Deceased Individual(s)

01/22/20 - 20TA/DC004 – 2020 SSI COLA NYSNIP Standard Benefit Amount Adjustments

01/21/20 - 20TA/DC003 – ABAWD Waiver Status

01/14/20 - 20TA/DC002 – HEAP HERR Benefits and Heat Pumps

01/13/20 - 20TA/DC001 – Finger Imaging requirements for Temporary Assistance

DCLs (Child Support)

- 01/13/2020 - Federal OCSE Update on Earthquake Impact - Puerto Rico’s Child Support Program
- 01/17/2020 - Revised National Medical Support Notice - Part A/Medical Support Execution
- 02/07/2020 - Federal OCSE Update on Earthquake Impact - Puerto Rico’s Child Support Program
- 02/14/2020 - Veteran Assistance and Veterans Benefits
- 04/09/2020 - COVID-19 and Providing Essential Services Safely During Office Hours
- 04/28/2020 - Responses to Questions from April 7, 2020 COVID-19 Check-In Conference Call
- 06/09/2020 - Benefits of Using Electronic Document Exchange (EDE) During COVID-19
- 06/10/2020 - Notice to Vacate Restraining Notice or Execution -Family Violence Option Full Child Support Enforcement Waiver and Bankruptcy
- 06/15/2020 - Child Support Program Manual: Account Creation and Intake Chapters

- 06/18/2020 - Revised National Medical Support Notice - Parts A and B/Medical Support Execution
- 06/18/2020 - Taxpayer First Act Section 3002 Interim Guidance
- 07/01/2020 - Updated Guidance for Retroactive Modification of Support and Crediting of Overpayments
- 08/24/2020 - Remote Testimony and Telecommunication While Working Remotely
- 09/08/2020 - ECS Enhancement - Child Support Helpline Escalations
- 10/23/2020 - Domestic Violence and the Child Support Program: Resources, Training, and Tools
- 12/15/20 - Interstate Cases with Illinois Order - Interest Adjustments

OAH Transmittals

- 20-05 Allowing or Requiring Fair Hearing Appearances by Written, Telephonic, Video, or Other Electronic Means
- 20-04 Allowing or Requiring Fair Hearing Appearances by Written, Telephonic, Video, or Other Electronic Means
- 20-03 Allowing or Requiring Fair Hearing Appearances by Written, Telephonic, Video, or Other Electronic Means
- 20-02 Demonstration Project – Conducting Hearings by Telephone, Video, and Other Means of Communication
- 20-01 Redacting Decisions After Fair Hearing

2019

ADMs

- 19-ADM-12 United States Department of Agriculture (USDA) SNAP Civil Rights Requirements
- 19-ADM-11 Guidance for Providing Temporary Assistance Information to Victims of Domestic Violence
- 19-ADM-10 Referral for Child Support Services (LDSS-5145)
- 19-ADM-09 United States Repatriation Program (USRP) District Responsibilities
- 19-ADM-08 Application for Child Support Services (LDSS-5143)
- 19-ADM-07 Implementation of Phase 1 of the NYDocSubmit Mobile Application
- 19-ADM-06 2019-2020 Flexible Fund for Family Services (FFFS)
- 19-ADM-05 Payment of Residential Services for Victims of Domestic Violence
- 19-ADM-04 Temporary Assistance Budgeting: 2019 Earned Income Disregard and Poverty Level Test
- 19-ADM-02 Change Authorized by Chapter 275 of the Laws of the 2017 Regarding Financial Literacy Instruction
- 19-ADM-01 Changes to Conciliation and Sanction Procedures for Noncompliance with Temporary Assistance Employment Requirements in New York City

LCMs

- 19-LCM-12 Child Support Incentives – Federal Fiscal Years 2017 and 2019
- 19-LCM-09-T Inadmissibility on Public Charge Grounds Final Rule
- 19-LCM-11 Revisions to the LDSS-2921, LDSS-3174, PUB-1301, and PUB-1313
- 19-LCM-10 2019-2020 Home Energy Assistance Program (HEAP)
- 19-LCM-09 Inadmissibility on Public Charge Grounds Final Rule
- 19-LCM-08 Claiming Deadlines for Federal and State Reimbursement
- 19-LCM-07 2020-2021 Biennial Temporary Assistance and Supplemental Nutrition Assistance Program (SNAP) Employment Plan
- 19-LCM-06 Additional 100% Supplemental Nutrition Assistance Program Employment and Training Allocations - FFY 2019
- 19-LCM-05 2019 New York State Summer Youth Employment Program Allocations
- 19-LCM-04 Supplemental Nutrition Assistance Program Employment and Training Allocations FFY 2019
- 19-LCM-03 Homeless Management Information System (HMIS)

- 19-LCM-02 Supplemental Nutrition Assistance Program Employment and Training (SNAP E&T) Dependent Care Funding Requests for FFY 2018
- 19-LCM-01 2018-2019 Home Energy Assistance Program (HEAP) Administrative Allocations and Program Allocations

INFs

- 19-INF-08 2019 State Minimum Wage Increase and Its Effect on Temporary Assistance and Supplemental Nutrition Assistance Programs
- 19-INF-07 Revisions to the LDSS-4579 “Non-Citizen Eligibility Desk Aid”
- 19-INF-06 Social Security Administration (SSA) Cost-of-Living Adjustment (COLA) for January 2020 and Updated SSI and SSP Benefit Levels Chart
- 19-INF-05 Revision to the LDSS-5062A Supplemental Nutrition Assistance Program (SNAP) Employability/ABAWD Code Desk Guide
- 19-INF-04 2019 Summer Food Service Program (SFSP)
- 19-INF-03 Change in Internal Revenue Service Mileage Rates for 2019
- 19-INF-01 Digest of Laws of 2018 Relating to Programs of the Office of Temporary and Disability Assistance

GIS

- 12/23/19 - 19TA/DC056 – NY State of Health to SNAP Outreach
- 12/16/19 - 19TA/DC055 – New “And Justice for All” Poster
- 12/09/19 - 19TA/DC054 – 2019-2020 Holiday Moratorium Schedule for New York State PSC Regulated and Municipal Utility Companies
- 11/15/19 – 19TA/DC053 – Posting Requirement for Notice of Class Action Settlement for the Class Action Lawsuit, Karamalla et. al. v. Devine (Index no. 2015-000107, Erie County)
- 11/08/19 - 19TA/DC052 – Finger Imaging Requirements for Temporary Assistance
- 11/05/19 - 19TA/DC051 – Processing Temporary Assistance (TA) Requests for Energy Emergencies
- 10/31/19 - 19TA/DC047 – Updated – Shelter Supplement Plan Reminder and Proper Coding for Districts with Currently Approved Plans
- 10/28/19 - 19TA/DC050 – Duplicate Payments
- 10/24/19 - 19TA/DC049 – Social Security Administration (SSA) Government to Government Services Online (GSO) Registration
- 10/16/19 - 19TA/DC048 – NYDocSubmit Release 1.3
- 10/15/19 - 19TA/DC047 – Shelter Supplement Plan Reminder and Proper Coding for Districts with Currently Approved Plans
- 10/11/19 - 19TA/DC046 – 2019-2020 HEAP OSC Voucher Payments
- 10/08/19 - 19TA/DC045 – Refugee Cash Assistance and the Treatment of Reception and Placement Grants
- 09/20/19 - 19TA/DC044 – Temporary Assistance (TA) and Supplemental Nutrition Assistance Program (SNAP) Policy Reminder Regarding Strikers
- 08/30/19 - 19TA/DC043 – Home Energy Assistance Program (HEAP) 2018-2019 Cooling Assistance Component, Heating Equipment Repair and Replacement, and Heating Equipment Clean and Tune component closing dates
- 08/20/19 - 19TA/DC042 – Upstate and NYC – Updated Supplemental Nutrition Assistance Program (SNAP) Standards for October 2019
- 08/06/19 - 19TA/DC041 – 2019-2020 Home Energy Assistance Program (HEAP) TA/SNAP Pre-Autopay Vendor Information Report
- 08/02/19 - 19TA/DC040 – Posting Requirement for Notice of Proposed Settlement for the Federal Court Lawsuit Brooks et. al. v. Roberts, No. 16-CV-1025
- 08/01/19 - 19TA/DC039 – Informing Social Services Districts of a Notice to Potential Class Members
- 07/30/19 - 19TA/DC038 – SNAP and TA Date of Status (DOS) Determination for Qualified Battered Non-Citizens

07/30/19 - 19TA/DC037 – Exemption from Supplemental Nutrition Assistance Program (SNAP) Able Bodied Adults Without Dependents (ABAWD) requirements for individuals in receipt of Worker's Compensation

07/29/19 - 19TA/DC035 – Calculation of Utility Arrears for Households Enrolled in Budget Billing

07/17/19 - 19TA/DC036 – Client Notice System (CNS) R15 Code for Domestic Violence Shelter Types

06/20/19 - 19TA/DC034 – SNAP 2019-2020 Disaster Plan Local Emergency Contacts w/NYC

06/17/19 - 19TA/DC033 – Necessary Action by HEAP, TA, and SNAP Workers to Directly Issue HEAP Heat-Included Payments to Utility Vendors

05/03/19 - 19TA/DC032 – FFY 2019 Supplemental Nutrition Assistance Program (SNAP) Process and Technology Improvement Grants

05/01/19 - 19TA/DC031 – Treatment of 2020 Census Income for Temporary Assistance (TA), Supplemental Nutrition Assistance Program (SNAP) and the Home Energy Assistance Program (HEAP)

04/25/19 - 19TA/DC030 – Finger Imaging Requirements for Temporary Assistance Applicants and Recipients

04/23/19 - 19TA/DC029 – Social Services District Responsibility for Timely Submission of Voter Registration Forms

04/19/19 - 19TA/DC028 – USDA Online SNAP Purchasing Pilot

04/17/19 - 19TA/DC027 – HEAP 2018-2019 Cooling Assistance Component Opening

04/10/19 - 19TA/DC026 – Language Access

04/04/19 - 19TA/DC025 – 2019-2020 Income Guidelines for Emergency Safety Net Assistance (ESNA) and Emergency Assistance to Needy Families with Children (EAF)

03/28/19 - 19TA/DC024 – 2019 – 2020 Home Energy Assistance Program Nominal Benefit

03/28/19 - 19TA/DC023 – HEAP BICS Locks

03/15/19 - 19TA/DC022 – Home Energy Assistance Program (HEAP) 2017-2018 Heating Equipment Clean and Tune closing date, and 2018-2019 Heating Equipment Clean and Tune opening date

03/14/19 - 19TA/DC021 – 200% of Poverty Income Standards Chart – June 1, 2019 through May 31, 2020

02/25/19 - 19TA/DC020 – New York State Nutrition Improvement Project (NYSNIP) Mass Undercare Cost of Living Adjustment (COLA) Income Update

02/20/19 - 19TA/DC019 – Upstate - Notification of Compressed Issuance Cycle for March Recurring SNAP Benefits

02/20/19 - 19TA/DC018 – NYC - Notification of Compressed Issuance Cycle for March Recurring SNAP Benefits

02/14/19 - 19TA/DC017 – 2018-2019 Home Energy Assistance Program – Second Emergency Benefit and Revised Component Closing Dates

02/11/19 - 19TA/DC016 – Partial Federal Government Shutdown and Emergency Food Replacement

02/08/19 - 19TA/DC015 – Release of Revised LDSS-4826B Interview/Verification Guide for the LDSS-4826 Supplemental Nutrition Assistance Program (SNAP) Application/Recertification

02/05/19 - 19TA/DC014 – Exemption from Supplemental Nutrition Assistance Program (SNAP) Work Requirements for Individuals who are a Regular Participant in a Drug or Alcohol Treatment Program.

01/31/19 - 19TA/DC013 – HEAP Heater Benefit Balance Payment Issuance

01/16/19 - 19TA/DC012 – NYC Revised Partial Federal Government Shutdown and Supplemental Nutrition Assistance Program (SNAP) Benefits for February 2019 Early Issuance Public Notice Posters

01/16/19 - 19TA/DC011 – Deadline for Processing ABAWD Exclusion overrides October 2018, November 2018 and December 2018

01/15/19 - 19TA/DC010 – Upstate – Partial Federal Government Shutdown and Supplemental Nutrition Assistance Program (SNAP)

Benefits for February 2019 Early Issuance Public Notice Upstate Spanish Poster

01/14/19 - 19TA/DC009 – Partial Federal Government Shutdown and Supplemental Nutrition Assistance Program (SNAP) Benefits for February 2019 Early Issuance Public Notice Poster

01/14/19 - 19TA/DC008 – Updated and Revised Information about The Partial Federal Government Shutdown and Supplement Nutrition Assistance Programs (SNAP) Benefits for February 2019

01/14/19 - 19TA/DC007 – Release of Revised LDSS-5072 Informational Letter Regarding Able-Bodied Adults Without Dependents (ABAWD) Requirements and LDSS-5127 Able-Bodied Adults Without Dependents (ABAWD) Work Activity Letter

01/11/19 - 19TA/DC006 – Partial Federal Government Shutdown and Supplement Nutrition Assistance Programs (SNAP) Benefits for February 2019 (upstate)

01/11/19 - 19TA/DC005 – Partial Federal Government Shutdown and Supplement Nutrition Assistance Programs (SNAP) Benefits for February 2019 (NYC)

01/11/19 - 19TA/DC004 – Temporary Assistance Program Policies Regarding Federal Government Employees Effected by the Partial Federal Government Shutdown

01/10/19 - 19TA/DC003 – Partial Federal Government Shutdown and Supplemental Nutrition Assistance Programs (SNAP) Benefits for February 2019

01/08/19 - 19TA/DC002 – Partial Federal Government Shutdown and Supplement Nutrition Assistance Programs (SNAP) Benefits

01/04/19 - 19TA/DC001 – 'NX' Nominal HEAP Benefits Issued During a Recertification or Undercare Transaction (Upstate Only)

DCLs (Child Support)

- 01/03/2019 - Child Support Program Manual: Paternity Establishment Chapter

- 01/18/2019 - Child Support Payable through the Support Collection Unit without a Direct Application to the Court for Child Support Services

- 04/02/2019 - Child Support Program Manual: Interstate Chapter

- 06/25/2019 - Child Support Program Manual - Financial Investigations and Property Execution Chapters

- 07/24/2019 - Notice to Recent Applicants and Current Recipients of Child Support Services of Increase in Annual Service Fee

- 08/02/2019 - Child Support Program Manual - Support Establishment and Personal Injury Claims Chapters

- 08/15/2019 - Department of Motor Vehicles License Suspension Law Extended

- 08/16/2019 - Notification Process for Incarcerated Noncustodial Parents

- 09/17/2019 - Chapter 313 of the Laws of 2019

- 11/01/2019 – Electronic Income Withholding Orders – Employer Acknowledgment File Processing Enhancements

- 11/05/19 – Adoption of New York State Regulatory Amendments – Income Withholding

- 11/25/19 – ECS Enhancement – Returned Deposit Items Report

- 11/25/19 – Revised Procedures – Walk In Payments related to Collection Actions taken by New York State Department of Taxation and Finance

- 12/16/19 – Short Form Application for Child Support Services in Connection with an Application for a Divorce

OAH Transmittals

19-03 New FHIS Coding for 1915c Children's Waiver and New Agency, CYES for Children and Youth Evaluation Service

19-02 New FHIS Agencies and Coding for Health Homes

19-01 Personal Appearance Waiver Instructions

2018

ADMs

18-ADM-09 Supplemental Nutrition Assistance Program (SNAP) Able Bodied Adults Without Dependents (ABAWDs) Policy Update and Guidance on the New 36-Month Time Period

18-ADM-08 Change to the Minimum Durational Sanction Periods for Supplemental Nutrition Assistance Program (SNAP) Employment Sanctions

18-ADM-07 Able-Bodied Adults Without Dependents (ABAWD) Work Activity Letter

18-ADM-05 30% Income Contribution for Individuals Diagnosed with Acquired Immune Deficiency Syndrome (AIDS) or Human Immunodeficiency Virus (HIV) and Changes to Maximum Amounts Allowed for Emergency Shelter Allowances (ESA)

18-ADM-04 2018-19 Flexible Fund for Family Services (FFFS)

18-ADM-03 Workforce Innovation and Opportunity Act (WIOA) Local Memorandum of Understanding Guidance and Templates

18-ADM-02 Temporary Assistance Budgeting: 2018 Earned Income Disregard and Poverty Level Test

18-ADM-01 Integrated Eligibility System (IES) Quick Win: Enhanced Social Security Number Validation Procedures for Applicants

LCMs

18-LCM-21 District Allocation

18-LCM-20 2018-2019 Home Energy Assistance Program (HEAP)

18-LCM-19 Child Support Incentives – Federal Fiscal Years 2016 and 2018

18-LCM-18 Referral of Persons Residing in Temporary Housing to Health Homes Services

18-LCM-17 Claiming Deadlines for Federal and State Reimbursement

18-LCM-16 2018-2019 Home Energy Assistance Program (HEAP) Early Mail Out Process and Revised 2017-2018 HEAP Administrative Allocations

18-LCM-15 FFY 2018 Able-Bodied Adult Without Dependents (ABAWD) Pledge Fund Updated

18-LCM-14 Implementation of Administrative Directive 17-ADM-11: Questions and Answers

18-LCM-13 Noncustodial Parent Employment Program

18-LCM-12 Revised: 2017-18 Home Energy Assistance Program (HEAP) Programs Allocations

18-LCM-11 2018 New York State Summer Youth Employment Program Allocations

18-LCM-10-T Use and Safeguarding of Protected Information

18-LCM-10 Use and Safeguarding of Protected Information

18-LCM-09 SNAP Bonus Award Allocation

18-LCM-08 2017-18 Home Energy Assistance Program (HEAP) Cooling Assistance Component

18-LCM-07 2017-2018 Home Energy Assistance Program (HEAP) Additional Administrative Allocations

18-LCM-06 2017-18 Home Energy Assistance Program (HEAP) Heating Equipment Clean and Tune Benefit

18-LCM-05 Supplemental Nutrition Assistance Program Employment and Training Allocations – FFY 2018

18-LCM-04 Supplemental Nutrition Assistance Program Employment and Training (SNAP E & T) Dependent Care Requests for FFY 2017

18-LCM-03 2017-18 Home Energy Assistance Program Administrative Allocations and Program Allocations

18-LCM-02 Questions and Answers Regarding 17-ADM-08 “Background Investigations of Employees with Access to Federal Tax Information”

18-LCM-01 OTDA Contract with Medical Providers for Consultative Medical and Psychological Examinations and Intelligence Assessments

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18-INF-17 2018 State Minimum Wage Increase and Its Effect on Temporary Assistance and Supplemental Nutrition Assistance Programs.

18-INF-16 Revisions to the LDSS-4826B Interview/Verification

Guide for the LDSS-4826 Supplemental Nutrition Assistance Program (SNAP) Application/Recertification

18-INF-15 Social Security Administration (SSA) Cost-of-Living Adjustment (COLA) for January 2019 and Updated SSI and SSP Benefit Levels Chart

18-INF-14 Cost Avoidance (CA) Calculations for Program Integrity Initiatives

18-INF-13 Revisions to the Supplemental Nutrition Assistance Program (SNAP) Application/Recertification (LDSS-4826) (Rev. 2/18) and How to Complete the SNAP Application/Recertification and Applicant/Recipient Rights and Responsibilities (LDSS-4826A) (Rev. 2/18)

18-INF-12 Release of Work Pays Infographic and Factsheet

18-INF-11 Best Practice Guidance on the U. S. Department Housing and Urban Development’s (HUD) Coordinated Entry Process for Victims of Domestic Violence to Access Homeless Housing Resources

18-INF-10 Revisions to LDSS-4887 Mail-In Recert/Eligibility Questionnaire

18-INF-09 Temporary Assistance Policy: Questions and Answers

18-INF-08 2018 Summer Food Service Program (SFSP)

18-INF-07 Criminal Record Sealing Under Criminal Procedure Law § 160.59

18-INF-06 Supplemental Nutrition Assistance Program (SNAP) National Directory of New Hires (NDNH) Matches Added to Verified Employment Data (VED) Resource within Resource File Integration (RFI)

18-INF-05 Personal Needs Allowances (PNA) in Non-Medical and Medical Facilities Desk Aid

18-INF-04 Change in Internal Revenue Service Mileage Rates

18-INF-03 Digest of Laws of 2017 Relating to Programs of the Office of Temporary and Disability Assistance

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12/31/18 - 18TA/DC051 – SSI COLA NYSNIP Standard Benefit Amount Adjustments

12/28/18 - 18TA/DC050 – Availability of Denial Reason Code H97 – Receiving HEAP on Another Case (UPSTATE ONLY)

12/28/18 - 18TA/DC049 – 2018-2019 Home Energy Assistance Program (HEAP) Emergency Benefit Component Opening

12/12/18 – 18TA/DC048 – Release of Revised LDSS-4310 “Periodic Report” and LDSS-4310A “Follow-Up to the Periodic Report”

12/1/18 - 18TA/DC047 – 2018-2019 Holiday Moratorium Schedule for New York State Public Service Commission (PSC) Regulated and Municipal Utility Companies

11/29/18 – 18TA/DC046 – Availability of a new SNAP E&T Cognos report: SNAP Budgeted Earned Income/Employment Schedule

11/21/18 – 18TA/DC045 – Release of Revised LDSS-3620/3620 NYC and LDSS-3621/3621 NYC

11/21/18 – 18TA/DC044 – “Important Information About What Changes You Must Report for Supplemental Nutrition Assistance Program (SNAP)”

11/20/18 – 18TA/DC043 – Release of Revised LDSS-3152 “Action Taken on Your Supplemental Nutrition Assistance Program (SNAP) Benefits Case” and LDSS-3152NYC “Action Taken on Your Supplemental Nutrition Assistance Program (SNAP) Benefits Case (NYC)”

11/19/18 – 18TA/DC042 – Federal Actions Regarding Temporary Protected Status Designations

11/15/18 – 18TA/DC041 – Processing TA Requests for Energy Emergencies

10/31/18 – 18TA/DC040 – 2018-2019 HEAP OSC Voucher Payment (UPSTATE ONLY)

10/19/18 - 18TA/DC039 – Home Energy Assistance Program (HEAP) Heating Equipment Repair and Replacement (HERR) Closing Date, and extension of the Heating Equipment Clean and Tune (C&T) component

10/19/18 - 18TA/DC038 REVISED – Updated Supplemental Nutri-

tion Assistance Program (SNAP) Standards for October 2018 LDSS-5006 Notice to all SNAP Recipients

10/16/18 - 18TA/DC038 – Updated Supplemental Nutrition Assistance Program (SNAP) Standards for October 2018 LDSS-5006 Notice to all SNAP Recipients

10/10/18 - 18TA/DC037 – 2018-2019 HEAP OSC Voucher Creation and Payment (UPSTATE ONLY)

10/01/18 - 18TA/DC036 – Treatment of Hurricane Florence Evacuees Applying for Temporary Assistance (TA), Supplemental Nutrition Assistance Program (SNAP) and Medicaid

09/28/18 - 18TA/DC035 – Reminder: SNAP Claim Policy and Administration

09/25/18 - 18TA/DC034 – Release of Revised LDSS-4943 “Supplemental Nutrition Assistance Program (SNAP) Benefits Categorical Eligibility Desk-Aid”

09/25/18 - 18TA/DC033 – Able-Bodied Adults Without Dependents (ABAWD) Work Activity Letter

09/24/18 - 18TA/DC032 – 2018-2019 Home Energy Assistance Program Forms Ordering and Availability

09/17/18 - 18TA/DC031 – New Type of Office of Alcohol and Substance Abuse Services (OASAS) Certified Providers

08/30/18 - 18TA/DC030 – Roster of Good Cause Claims

08/30/18 - 18TA/DC029 – ‘NX’ Nominal HEAP Benefit (UPSTATE ONLY)

08/27/18 - 18TA/DC028 – Closing of the 2017-2018 Home Energy Assistance Program (HEAP) Cooling Assistance Component and deadline for 2017-2018 HEAP administrative funds

08/22/18 - 18TA/DC027 – Upstate and NYC – Updated Supplemental Nutrition Assistance Program (SNAP) Standards for October 2018

08/17/18 - 18TA/DC026 – Social Services Districts (districts) Interim Assistance Reimbursement (IAR) Responsibilities

08/07/18 - 18TA/DC025 – 2018-2019 Home Energy Assistance Program (HEAP) TA/SNAP Pre-Autopay Vendor Information Report (UPSTATE ONLY)

06/28/18 - 18TA/DC024 – SNAP 2018-19 Disaster Plan Local Emergency Contacts

06/28/18 - 18TA/DC023 – Clarification of Payment Dates for Congregate Care Level II Facilities

06/18/18 - 18TA/DC022 – Retention of Temporary Assistance Records

06/14/18 - 18TA/DC021 – Non-Assistance Payment Types for Authorization of Temporary Assistance (TA) Emergency or Immediate Needs (UPSTATE ONLY)

06/07/18 - 18TA/DC020 – Out-of-State SNAP Participation for Able-Bodied Adults Without Dependents (ABAWD) Tracking

06/06/18 - 18TA/DC019 – 2018-2019 Home Energy Assistance Program (HEAP) TA/SNAP Pre-Autopay Vendor Information Report (UPSTATE ONLY)

05/24/18 - 18TA/DC018 Social Services District Responsibility for Timely Submission of Voter Registration Forms

05/21/18 - 18TA/DC017 FFY 2018 Supplemental Nutrition Assistance Program (SNAP) Process Technology Improvement Grants

05/03/18 - 18TA/DC016 September 11th Victim Compensation Fund Reauthorization

04/30/18 - 18TA/DC015 Issuing Nonrecurring Temporary Assistance (TA) Emergency Payments on an Active Non-Temporary Assistance Supplemental Nutrition Assistance Program Case, an Active Medicaid Case or at the Time of a TA Application Denial (UPSTATE ONLY)

04/27/18 - 18TA/DC014 2017-18 Home Energy Assistance Program Regular and Emergency Benefit Components Closing Date

04/25/18 - 18TA/DC013 Systematic Alien Verification for Entitlements (SAVE) Program: Paperless Processing Update

04/06/18 - 18TA/DC012 \$21 Nominal HEAP Benefit Advance Payment

04/04/18 - 18TA/DC011 Supplemental Nutrition Assistance Pro-

gram (SNAP) National Directory of New Hires (NDNH) Matches Added to Verified Employment Data (VED) Resource within Resource File Integration (RFI) (UPSTATE ONLY)

03/30/18 - 18TA/DC010 Home Energy Assistance Program Nominal Benefit Advance Payment

03/29/18 - 18TA/DC009 2018 – 2019 Income Guidelines for Emergency Safety Net Assistance (ESNA) and Emergency Assistance to Needy Families with Children (EAF)

03/28/18 - 18TA/DC008 Loss of Food – March Snow Storms – USDA Approved Replacement (UPSTATE ONLY)

03/20/18 - 18TA/DC007 Important Notification about April 2018 State Supplement Program Payments

03/09/18 - 18TA/DC006 Loss of Food – March Storms (UPSTATE ONLY)

03/07/18 - 18TA/DC005 Release of Revised LDSS-4732B: “District of Fiscal Responsibility (DFR) Determination Worksheet”

02/16/18 - 18TA/DC004 200% of Poverty Income Standards Chart – June 1, 2018 through May 31, 2019

02/08/18 - 18TA/DC003 2017-18 Home Energy Assistance Program (HEAP) – Second Emergency Benefit and Revised Component Closing Dates

01/31/18 - 18TA/DC002 Closing of the 2016-2017 Home Energy Assistance Program (HEAP) Heating Equipment Clean and Tune Program

01/30/18 - 18TA/DC001 Reminder to Complete and Send LDSS-5069: “Biennial Accounting Letter” RE-ISSUED

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- 02/21/2018 Child Support Enforcement Network (CSENet) Updates

- 03/23/2018 Child Support Program Manual

- 07/02/2018 New Contract for Centralized Support Collection and Enforcement

- 08/27/2018 Implementation of the Revised Federal Income Withholding Order/Notice for Support

- 09/12/2018 E-Filing Petitions in ASSETS: New Manual

- 09/25/2018 Child Support Program Manual: Location Chapter

- 10/03/2018 Customer Service Workgroup Enhancement Phase 1 – : Referral Time Frame

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18-09 Revised Format Change to Agency Notices

18-08 Format Change to Agency Notices

18-07 Hearing Request Procedures for Members of the Fishman Retroactive Class

18-06 Abandonment Letter Retroactive Group

18-04 Agency: DOHT (Department of Health Transportation) Revised

18-03 New Agency: AMAX

18-02 Hearing Request Abandonment Procedures for Appellants with an MA or FIDA Code (Amended 16-09)

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17-ADM-11-T Adoption of New York State Regulatory Amendments Impacting the Child Support Program (18 NYCRR § 347.8, et al.)

17-ADM-10 Online Distribution of Client Information Books LDSS-4148A, LDSS-4148B & LDSS-4148C

17-ADM-09 Continuation of Child Support Services to Former Foster Care Cases

17-ADM-08 Background Investigations of Employees with Access to Federal Tax Information

17-ADM-07 Adoption of New York State Regulatory Amendments Impacting the Child Support Program (18 NYCRR 347.3)

17-ADM-06 Mandatory Child Abuse and Maltreatment Reporting, Statewide Central Register and State Exclusion List Clearance, and Criminal History Background Check for Employees of Publicly-Funded Emergency Shelters for Families with Children

17-ADM-05 2017-18 Flexible Fund for Family Services (FFFS)
 17-ADM-04 Emergency Shelter Operating Budgets and Per Diem Rate Submissions
 17-ADM-03 Temporary Assistance Budgeting: 2017 Earned Income Disregard and Poverty Level Test
 17-ADM-02 Storage of Furniture and Personal Belongings
 17-ADM-01 Requirements for Able-Bodied Adults Without Dependents (ABAWDs)
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 17-LCM-15 Automated Termination of Supplemental Nutrition Assistance Program (SNAP) Claims
 17-LCM-14 2017-18 Home Energy Assistance Program (HEAP)
 17-LCM-13 2018-2019 Biennial Temporary Assistance and Supplemental Nutrition Assistance Program (SNAP) Employment Plan
 17-LCM-12 Establishing a Policy for the Use and Management of Mobile Devices by Local Departments of Social Services
 17-LCM-11 Claiming Deadlines for Federal and State Reimbursement
 17-LCM-10 Update to the Welfare-to-Work Caseload Management System Activity Referral Letter
 17-LCM-09 Child Support Incentives - Federal Fiscal Years 2015 and 2017
 17-LCM-08 2017-2018 Home Energy Assistance Program (HEAP) – Early Mail Out Process and Administrative Allocations
 17-LCM-07 2016-2017 Home Energy Assistance Program (HEAP) Heating Equipment Clean and Tune Program
 17-LCM-06 Supplemental Nutrition Assistance Program Employment and Training Allocations – FFY 2017
 17-LCM-05 Prisoner Re-entry Pilot Program
 17-LCM-04 2017 New York State Summer Youth Employment Program Allocations
 17-LCM-03 2016-2017 Home Energy Assistance Program HEAP Cooling Assistance Component (CAC)
 17-LCM-02-T Electronic Disqualified Recipient System (eDRS) Web Service for IPV Submission
 17-LCM-01 Supplemental Nutrition Assistance Program Employment and Training (SNAP E&T) Dependent Care Requests for FFY 2016
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 17-INF-16 Revisions to the Supplemental Nutrition Assistance Program (SNAP) Application/Recertification (LDSS-4826) and How to Complete the SNAP Application/Recertification and Applicant/Recipient Rights and Responsibilities for SNAP (LDSS-4826A)
 17-INF-15 State Minimum Wage Increase and Its Effect on Temporary Assistance and Supplemental Nutrition Assistance Programs
 17-INF-14 Language Access for Individuals with Limited English Proficiency (LEP)
 17-INF-13 Revisions to the Informational Letter Regarding Able-Bodied Adults Without Dependents (ABAWD) Requirements (LDSS-5072)
 17-INF-12 Social Security Administration (SSA) Cost-of-Living Adjustment (COLA) for January 2018 and Updated SSI and SSP Benefit Levels Chart
 17-INF-11 Revisions of the LDSS-3156, LDSS-3156NYC, LDSS-4053 and LDSS-4857
 17-INF-10-T Revisions of the LDSS-4903, LDSS-4904 and LDSS-4906
 17-INF-09 Introduction of LDSS-5091 “Lump Sum Worksheet” Form
 17-INF-08 Summer Food Service Program (SFSP)
 17-INF-07 Temporary Assistance Questions and Answers
 17-INF-06 Change in Internal Revenue Service Mileage Rates
 17-INF-05 Digest of Laws of 2016 Relating to Programs of the Office of Temporary and Disability Assistance
 17-INF-03 Revised LDSS-4902 Supplemental Nutrition Assistance Program (SNAP) Standard Utility Allowance (SUA) Table

17-INF-01 Personal Needs Allowances (PNA) in Non-Medical and Medical Facilities Desk Aid
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 12/28/17 - 17TA/DC054 SSI COLA NYSNIP Standard Benefit Amount Adjustments
 12/27/17 - 17TA/DC053 Release of Revised LDSS-4908 “Upstate Supplemental Nutrition Assistance Program (SNAP) Change Reporting Desk-Aid” (UPSTATE ONLY)
 12/27/17 - 17TA/DC052 LDSS-5004 Revision
 12/26/17 - 17TA/DC051 Release of Revised LDSS-4908NYC “NYC Supplemental Nutrition Assistance Program (SNAP) Change Reporting Desk-Aid” (NYC ONLY)
 12/11/17 - 17TA/DC050 Home Energy Assistance Program (HEAP) Emergency Benefit Component Opening
 12/11/17 - 17TA/DC049 2017-18 Holiday Moratorium Schedule for New York State Public Service Commission (PSC) Regulated and Municipal Utility Companies
 12/06/17 - 17TA/DC048 Registering Users for the Social Security Administration (SSA) Government Services Online (GSO) Interim Assistance (IA) Application
 11/21/17 - 17TA/DC047 Asylum Applicants with Employment Authorization Recognized as Permanently Residing Under the Color of Law (PRUCOL) for Safety Net Assistance (SNA)
 11/15/17 - 17TA/DC046 Processing Temporary Assistance (TA) Requests for Energy Emergencies
 11/13/17 - 17TA/DC045 2017-18 HEAP OSC Voucher Creation and Payment (UPSTATE ONLY)
 11/10/17 - 17TA/DC044 Home Energy Assistance Program Regular Benefit Component Opening
 11/06/17 - 17TA/DC043 Excessive Replacement Card Notices to Clients
 10/27/17 - 17TA/DC042 Home Energy Assistance Program (HEAP) Heating Equipment Repair and Replacement Closing Date, and extension of the Heating Equipment Clean and Tune (HECAT) component
 10/24/17 - 17TA/DC041 Requirements to enter “Highest Degree” on the Welfare Management System for Certain Non-Temporary SNAP Recipients (UPSTATE ONLY)
 10/13/17 - 17TA/DC040 SNAP Budgeting of AmeriCorps State and National, AmeriCorps NCCC, and AmeriCorps VISTA payments
 10/06/17 - 17TA/DC039 Treatment of Hurricane Harvey, Hurricane Irma and Hurricane Maria Evacuees Applying for Temporary Assistance (TA), Supplemental Nutrition Assistance Program (SNAP), and Medicaid
 10/04/17 - 17TA/DC038 Release of Revised LDSS-4943 “Supplemental Nutrition Assistance Program (SNAP) Benefits Categorical Eligibility Desk-Aid”
 09/26/17 - 17TA/DC037 Treatment of Hurricane Harvey and Hurricane Irma Evacuees Applying for SNAP 17TA/DC037
 09/22/17 - 17TA/DC036 Further Guidance on the Inspections of Commercial Hotels/Motels
 09/15/17 - 17TA/DC035 Update to the Override Process for Able Bodied Adults Without Dependents (ABAWDs)
 08/24/17 - 17TA/DC034 Continuation of the Afghan and Iraqi Special Immigrant Visa Program
 08/22/17 - 17TA/DC033 Supplementary Nature of New York Achieving a Better Life Experience (NY ABLE) Savings Accounts
 08/21/17 - 17TA/DC032 Upstate and NYC – Updated Supplemental Nutrition Assistance Program (SNAP) Standards for October 2017
 08/04/17 - 17TA/DC031 Changes in Local District Responsibilities When Transporting Homeless Students
 07/21/17 - 17TA/DC030 Closing of the 2016-2017 Home Energy Assistance Program Cooling Assistance Component
 07/10/17 - 17TA/DC029 Social Services District Responsibility for Timely Submission of Voter Registration Forms
 06/28/17 - 17TA/DC028 SNAP Overpayment Claims Date of Discovery Policy Reminder

06/06/17 - 17TA/DC027 SNAP Disaster Plan Local Emergency Contacts

06/06/17 - 17TA/DC026 2017-2018 Home Energy Assistance Program (HEAP) TA/SNAP Pre-Autopay Vendor Information Reports (UPSTATE ONLY)

05/30/17 - 17TA/DC025 Follow-up to GIS 17 TA/DC015—SNAP Process and Technology Improvement Grants and Integrated Eligibility System (IES)

05/19/17 - 17TA/DC024 Revised EBT Forms: LDSS-5066 and LDSS-5004

05/19/17 - 17TA/DC023 Termination of Temporary Protected Status (TPS) for Guinea, Liberia and Sierra Leone

05/16/17 - 17TA/DC022 NYSEG; RG&E Email Restored (UPSTATE ONLY)

05/12/17 - 17TA/DC021 NYSEG; RG&E Email Outage (UPSTATE ONLY)

05/11/17 - 17TA/DC020 WINR4112 Pending Data Status Report Applications & Cases Pending Error Correction-Supervisory Signal-Other Data Status-SFED/T Entry Report (UPSTATE ONLY)

05/02/17 - 17TA/DC019 National Grid's Procedures for Elderly, Blind or Disabled Household Shut-Offs

04/25/17 - 17TA/DC018 Redesigned I-551 Permanent Resident Green Card and I-766 Employment Authorization Document (EAD)

04/21/17 - 17TA/DC017 Option to End a Temporary Assistance Employment Sanction Notice for Social Services Districts Outside of New York City

04/26/17 - 17TA/DC016 Release of LDSS-5089: "TASNAP Desk Guide to Determining Qualification for Student Earned Income Exemption"

04/20/17 - 17TA/DC015 FFY 2017 Supplemental Nutrition Assistance Program (SNAP) Process and Technology Improvement Grants

04/17/17 - 17TA/DC014 Eligibility for Expedited Supplemental Nutrition Assistance Program Benefits for Certain Able Bodied Adults Without Dependents

04/11/17 - 17TA/DC013 2016-17 Home Energy Assistance Program (HEAP) Revised Program Allocations

04/07/17 - 17TA/DC012 Operations Plans 18 NYC RR Part 352.39

03/10/17 - 17TA/DC011 Important Notification about April 2017 State Supplement Program Payments

03/09/17 - 17TA/DC010 2016-17 Home Energy Assistance Program Regular and Emergency Benefit Components Closing Dates

03/03/17 - 17TA/DC009 Follow up on Supplemental Nutrition Assistance Program Employment and Training (SNAP E&T) Dependent Care Requests for FFY2016

02/21/17 - 17TA/DC008 SNAP IPV Submission to Electronic Disqualified Recipient System (eDRS)

02/13/17 - 17TA/DC007 200% of Poverty Income Standards Chart – June 1, 2017 through May 31, 2018

02/03/17 - 17TA/DC006 Changes to AIDS/HIV Definition that Affect Eligibility for the Emergency Shelter Allowance

02/01/17 - 17TA/DC005 Closing of the 2015-2016 Home Energy Assistance Program (HEAP) Heating Equipment Clean and Tune Program

01/30/17 - 17TA/DC004 2017-2018 Income Guidelines for Emergency Safety Net Assistance (ESNA) and Emergency Assistance to Needy Families with Children (EAF)

01/05/17 - 17TA/DC003 Release of LDSS-5088 "IV-D Payment (Pass-Through) Mass Reauthorization (MRB/A)" Desk Guide (UPSTATE ONLY)

01/05/17 - 17TA/DC002 Upstate SSI COLA NYSNIP Standard Benefit Amount Adjustments (UPSTATE ONLY)

01/05/17 - 17TA/DC001 NYC SSI COLA NYSNIP Standard Benefit Amount Adjustments (NYC ONLY)

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• 12/19/2017 Interstate Case Processing – New/Revised UIFSA Forms

• 12/18/2017 Driver License Suspension Process – Frequency Issue

• 11/13/2017 Prevention of Property Execution for Social Security Benefits

• 11/02/2017 Check to Electronic Disbursement Enrollment Process Reinstatement

• 10/20/2017 Account Statement and Payment History Documents for Court Hearing Purposes

• 08/09/2017 Federal Reporting and Performance Training - Available Resources

• 07/28/2017 Department of Motor Vehicles License Suspension Law Extended

• 06/12/2017 Transition of Debit Card Services to Bank of America, NA (BOA)-Update

• 05/03/2017 Private Collection Agencies

• 05/02/2017 Program Manual Key User Group

• 04/25/2017 Transition of Debit Card Services to Bank of America, N.A.

• 04/14/2017 Child Support Processing Center Contract Extension and Vendor Name Change

• 02/01/2017 New Jersey Legislation - Termination of Child Support

• 01/03/2017 Hague Convention Forms and International Child Support Cases

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17-01 New Issue Code for Administrative Disqualification Hearings - 467

17-03 New Agency SDRU

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16-ADM-11 Temporary Housing Assistance: Consolidation and Clarification of Policy

16-ADM-10 Flexible Fund for Family Services (FFFS)

16-ADM-09 Temporary Assistance Policy: Change in the Vehicle Resource Exemption and Lump Sum Set-Aside

16-ADM-08 Alternative Format and Disability Accommodation Indicator Use

16-ADM-07 Change to Standard Utility Allowance (SUA) Policy mandated by Section 4006 of the Agriculture Act of 2014 – Final Policy

16-ADM-06 Expansion of Categorical Eligibility for Supplemental Nutrition Assistance Program (SNAP) Households with Earned Income

16-ADM-05 Change in Documentation Requirements for Independent Medical Evaluations of Employability

16-ADM-04 Temporary Assistance Budgeting: 2016 Earned Income Disregard and Poverty Level Test

16-ADM-03 Procedure for requesting Approval of Local Equivalent Forms

16-ADM-02 Refugee Cash and Medical Assistance Programs (RCA and RMA)

16-ADM-01 Supplemental Nutrition Assistance Program (SNAP) Employment Codes

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16-LCM-17 2016-17 Home Energy Assistance Program Administrative Allocations and Program Allocations

16-LCM-16 2016-17 Home Energy Assistance Program (HEAP)

16-LCM-15 Quarterly Fraud Report

16-LCM-14 Claiming Deadlines for Federal and State Reimbursement

16-LCM-13 2016-2017 Home Energy Assistance Program (HEAP) - Early Mail Out Process and Administrative Allocations

16-LCM-12 Revised LDSS-4958 "TA Sanction & Denial Policy/Participation Rate Impact Guide"

16-LCM-11 2015-16 Home Energy Assistance Program (HEAP) Heating Equipment Clean and Tune Program

16-LCM-10 Adoption of New York State Regulatory Amendments Impacting the Child Support and Temporary Assistance Programs

16-LCM-09 Revisions to the LDSS-2921, PUB-1301, LDSS-3174, PUB-1313, LDSS-4148A-C, LDSS-4826, LDSS-4826A, LDSS-4942, and LDSS-2291

16-LCM-08 Submission of TANF Claims/FFFS Plans

16-LCM-07 Child Support Incentives - Federal Fiscal Years 2014 and 2016

16-LCM-06 2016 New York State Summer Youth Employment Program Allocations

16-LCM-05-T 2015-2016 Home Energy Assistance Program (HEAP) Cooling Assistance Component (CAC)

16-LCM-04 Supplemental Nutrition Assistance Program Employment and Training (SNAP E&T) Allocations – FFY 2016

16-LCM-03 Revisions to the LDSS-4725: TANF Services Application/Certification Review Form; the LDSS-4726: TANF Services Application/Certification; and the LDSS-4770: TANF Youth Services Application

16-LCM-02 Establishing a Social Media Access Policy for Social Services Districts

16-LCM-01 Supplemental Nutrition Assistance Program Employment and Training (SNAP E&T) Dependent Care Requests for FFY 2015

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16-INF-18 Social Security Administration (SSA) Cost-of-Living (COLA) for January 2017 and Updated SSI and SSP Benefit Levels Chart

16-INF-17 Revised LDSS-4942: SNAP Authorized Representative Request Form

16-INF-16 Revisions to the LDSS-4314 “SNAP Household Composition Desk Guide”

16-INF-15 Revisions to Manual Client Notices

16-INF-14 State Minimum Wage Increase and Its Effect on Temporary Assistance and Supplemental Nutrition Assistance Programs

16-INF-13 Revised LDSS-4826: “SNAP Application/Recertification” and LDSS-4826A “How to Complete Application/Recertification and Applicant/Recipient Rights and Responsibilities for SNAP”

16-INF-12 Temporary Assistance/Medicaid Policy: Impact of the Affordable Care Act on Temporary Assistance Applicants Also Applying for Medicaid and Introduction of – Tax Dependent Questions (Added to Application Form DSS-2921)

16-INF-11 Revisions of forms LDSS-4799 and LDSS-4799-NYC

16-INF-10 Eat Smart New York Nutrition Education Materials

16-INF-08 Summer Food Service Program (SFSP)

16-INF-07 Supplemental Nutrition Assistance Program Revised Publications-2016

16-INF-06 Local District Security Provisions for Hearing Officers

16-INF-05 Revised LDSS-3668: “Shelter Verification” Form

16-INF-04 Digest of Laws of 2015 Relating to Programs of the Office of Temporary and Disability Assistance

16-INF-03 Change in Internal Revenue Service Mileage Rates
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12/28/16 – 16 TA/DC064 – Release of Revised LDSS-4943 “Supplemental Nutrition Assistance Program Benefits Categorical Eligibility Desk-Aid”

12/20/16 – 16 TA/DC063 Home Energy Assistance Program Emergency Benefit Component Opening

12/16/16 – 16 TA/DC062 – Social Services District Responsibility for Updating County Profile Information Found on CentraPort

12/13/16 – 16 TA/DC061 – Security Measures

12/12/16 – 16 TA/DC060 – 2016-17 Holiday Moratorium Schedule for NYS Public Service Commission (PSC) Regulated and Municipal Utility Companies

11/22/16 - 16 TA/DC059 Extension of the 2015 – 2016 Home

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11/10/16 - 15 TA/DC058 2016-17 HEAP OSC Payment File Transfer Schedule (Upstate Only)

11/09/16 - 16 TA/DC057 National Grid Website Access Issues

10/27/16 - 16TA/DC056 Grace Period for Able Bodied Adults Without Dependents (ABAWDs) Who Reestablish SNAP Eligibility by Meeting the ABAWD Requirement

10/26/16 - 16TA/DC055 Processing Temporary Assistance (TA) Requests for Energy Emergencies

10/18/16 - 16TA/DC054 National Grid Website Changes

10/14/16 - 16TA/DC053 Beneficiaries of Temporary Protected Status (TPS) Recognized as Permanently Residing Under the Color of Law (PRUCOL) for Safety Net Assistance (SNA)

10/13/16 - 16TA/DC052 SNAP Employment and Training Reporting Measures

09/09/16 - 16TA/DC051 Social Services District Responsibility for Timely Submission of Voter Registration Forms Prior to the General Election

08/25/16 - 16TA/DC050 Upstate and NYC-Updated Supplemental Nutrition Assistance Program (SNAP) Standards for October, 2016

08/08/16 - 16TA/DC049 Inspections of Commercial Hotels/Motels Used for Temporary Housing

08/02/16 - 16TA/DC048 Eligibility to Participate in SNAP by Certain Cuban Nationals Under an Order of Supervision

08/01/16 - 16TA/DC047 Redesigned Common Benefit Identification Card (CBIC)

07/22/16 - 16TA/DC046 Closing of the 2015-2016 Home Energy Assistance Program Cooling Assistance Component

07/19/16 - 16TA/DC045 Elimination of Child Support Assignment of Rights Language Inserts

07/19/16 - 16TA/DC044 Clarification of ABAWD Status for SNAP Recipients Receiving Veterans Affairs Disability Compensation

07/14/16 - 16TA/DC043 Social Services District Responsibility for Submission of Voter Registration Forms Received via Electronically Submitted Applications

07/06/16 - 16TA/DC042 Contact Information for the Employment and Income Support Programs

07/06/16 - 16TA/DC041 Filipino World War II Veterans Parole Program (FWVP)

07/01/16 - 16TA/DC040 Client Notices System (CNS) Z95 (Continuing Your SNAP: Phone Recertification) language changes due to pagination changes (UPSTATE ONLY)

06/13/16 - 16TA/DC039 2016-17 Home Energy Assistance Program (HEAP) TA/SNAP Pre-Autopay Vendor Information Reports (UPSTATE ONLY)

06/10/16 - 16TA/DC038 Updated Forms for Re-Branding and USDA Nondiscrimination Statement

06/06/16 - 16TA/DC037 Consolidation of Cognos Reports in the Employment Programs Reports Folders (UPSTATE ONLY)

06/06/16 - 16TA/DC036 One-Time Process to Correct Certain ABAWD-Related Override Transactions

06/03/16 - 16TA/DC035 SNAP Disaster Plan Local Emergency Contacts

05/27/16 - 16TA/DC034 Extending Deadline for Entering ABAWD Override Transactions Associated with an ABAWD Exclusion for the First Quarter of Calendar Year 2016

05/26/16 - 16TA/DC033 Availability of New SNAP E & T Cognos Reports

05/17/16 - 16TA/DC032 Temporary Assistance (TA) and Supplemental Nutrition Assistance Program (SNAP) Policy Reminder Regarding Strikers

05/02/16 - 16TA/DC031 Temporary Assistance Policy: Change in the Vehicle Resource Exemption and Lump Sum Set-Aside

04/25/16 - 16TA/DC030 Availability of New Cognos Report: ABAWD Tracking Report

04/18/16 - 16TA/DC029 Systematic Alien Verification for Entitlements (SAVE) Program System Update

04/12/16 - 16TA/DC028 Override Process for Able Bodied Adults Without Dependents (ABAWDs)

04/07/16 - 16TA/DC027 Reminder– 2015-16 Home Energy Assistance Program Regular and Emergency Benefit Components Closing on April 8, 2016

04/07/16 - 16TA/DC026 SNAP E&T/ABAWD Exemption for Students Enrolled in High School

04/06/16 - 16TA/DC025 Electronic Disqualified Recipient System (eDRS)

04/01/16 - 16TA/DC024 Statewide Financial System Blackout Period (UPSTATE ONLY)

03/29/16 - 16TA/DC023 Approval of A6902B/S5283A – Requirement of State Agencies to Pay Small Businesses Within 15 Days of Receipt of an Invoice

03/21/16 - 16TA/DC022 Entering, Tracking and Reporting of Able Bodied Adults Without Dependents (ABAWD) Exclusions and ABAWDs Residing in a Jurisdictional Area with an Approved ABAWD Waiver for Calendar Year 2016

03/21/16 - 16TA/DC021 FFY 2016 Supplemental Nutrition Assistance Program (SNAP) Process and Technology Improvement Grants

03/16/16 - 16TA/DC020 Revised Client Notification System Alcohol and Substance Abuse Sanction Language

03/15/16 - 16TA/DC019 Electronic Disqualified Recipient System (eDRS)

03/11/16 - 16TA/DC018 2015-16 Home Energy Assistance Program (HEAP) Regular and Emergency Benefit Components-Revised Closing Dates

03/10/16 - 16TA/DC017 2016-2017 Income Guidelines for Emergency Safety Net Assistance (ESNA) and Emergency Assistance to Needy Families with Children (EAF)

03/09/16 - 16TA/DC016 Important Notification about April 2016 State Supplement Program (SSP) Payments

02/22/16 - 16TA/DC015 Migration of Supplemental Nutrition Assistance Program Employability and ABAWD Indicator Code Fields to the Welfare Management System

02/19/16 - 16TA/DC014 NYSEG and RG&E Website Security Enhancements (UPSTATE ONLY)

02/19/16 - 16TA/DC013 200% of Poverty Income Standards Chart June 1, 2016 through May 31, 2017

02/18/16 - 16TA/DC012 LDSS-5004 Revision

02/16/16 - 16TA/DC011 Revised – SNAP Treatment of Funds in On-Line “Crowdfunding” Accounts

02/03/16 - 16TA/DC010 Tracking and Reporting of Able Bodied Adults Without Dependents (ABAWD) Exclusions and ABAWDs Residing in a Jurisdictional Area with an Approved ABAWD Waiver for Calendar Year 2016 (UPSTATE ONLY)

02/01/16 - 16TA/DC009 Release of Revised LDSS-4884: “Emergency Energy Desk Guide”

01/27/16 - 16TA/DC008 New State On-Line Query System (SOLQ) Training Module

01/25/16 - 16TA/DC007 Issues with Cognos Biennial Accounting Report (UPSTATE ONLY)

01/22/16 - 16TA/DC006 Redesigned Common Benefit Identification Card (CBIC)

01/20/16 - 16TA/DC005 Home Energy Assistance Program (HEAP) Heating Equipment Repair and Replacement Closing Date

01/14/16 - 16TA/DC004 Increase in SNAP Gross Income Test Level for Households with Earnings

01/11/16 - 16TA/DC003 Availability of New Cognos Report: Biennial Accounting Report w/ 2 Attachments (UPSTATE ONLY)

01/08/16 - 16TA/DC002 Electronic Disqualified Recipient System (eDRS) (UPSTATE ONLY)

01/05/16 - 16TA/DC001 New Fair Hearing Notice Language – Availability of Policy Materials

DCLs (Child Support)

- 11/03/16 Guidance Document Regarding Money Judgments
- 10/14/16 Publication of Child Support Bank Reconciliation Guide
- 09/29/16 Guidance Document Regarding the Death of a Child or a Party to an Order of Support

- 09/28/16 Questions and Answers Document Regarding the Disclosure of Federal Tax Information in Court Proceedings

- 09/01/16 Adoption of New York State Regulatory Amendments – Income Withholding

- 08/22/16 Statewide Expansion of Electronic Disbursements of Child Support Payments

- 08/15/16 Enhancement to the Electronic Communication System in Support of the Debit Card Process

- 08/03/16 Banking Services Contact Update

- 07/08/16 Revised Procedures -- Walk-In Payments related to Collection Actions taken by the New York State Department of Taxation and Finance

- 07/08/16 New Banking Services Contract in Support of Centralized Support Collection and Enforcement

- 06/28/16 Preparing Account Documents and Payment Records for Court Hearings in Compliance with IRS Publication 1075 Requirements

- 05/31/16 New Data Match with New York State and Local Retirement System

- 03/09/16 Revised Wage and Health Benefit Report and Automated Generation Process

- 03/07/16 Addendum to Family Court Support Petition to Request Child Support Services

- 03/02/16 Changes Related to Aging Parameters and Overage Statuses Report

- 02/09/16 Update on Federal Case Registry Misidentified Participant Application

- 01/21/16 Use of Worker Alerts for Caseload Management

- 01/21/16 Child Support Processing Center Contract Extension

OAH PROCEDURES TRANSMITTALS

16-11 Instructions Regarding 16-ADM-11

16-09 Fishman v. Daines Litigation Procedures (Amended 16-02)

16-08 Rafferty v. Doar Alternative Format Documents

16-07 Video Hearing Procedures

16-06 Review of Disqualification Consent Agreement (DCA) and New Issue Codes 170 and 443

16-05 Fair Hearing Decision Transmittal and Summary Page

16-04 Ramirez - Language Access Insert with Correspondence

16-03 Supplemental Nutrition Assistance Program (SNAP) Adjudgments

16-02 Fishman v. Daines Litigation Procedures (Amended 13-01)

16-01 Access to Policy Documents to Prepare for a Fair Hearing 2015

ADMs

15-ADM-09 Return of Interim Assistance Reimbursement (IAR) Funds Incorrectly Collected from the Social Security Administration (SSA)

15-ADM-08 Child Support Security Awareness Training

15-ADM-07 Arrears Pilot Initiative to Improve Child Support Compliance

15-ADM-05-T Recovery of Liens and Requirement to Provide Biennial Accounting

15-ADM-04 The Modified Mini Screen (MMS) Mental Health Screening Tool

15-ADM-03 Flexible Fund for Family Services (FFFS)

15-ADM-02 Temporary Assistance Budgeting: 2015 Earned Income Disregard and Poverty Level Test

15-ADM-01 State Supplement Program (SSP) Recovery of Equivalent Benefits (REB) Policy and Procedures

LCMs

15-LCM-22 Home Energy Assistance Program Administrative Allocations and Program Allocations

15-LCM-21 Supplemental 100% SNAP Employment and Training Allocations – FFY 2015

15-LCM-20-T Clarification of Drug/Alcohol Change in Level of Care and Determining Compliance

15-LCM-19 Electronic Disqualified Recipient System (eDRS) Web Service

15-LCM-18 2016-2017 Biennial Temporary Assistance and Supplemental Nutrition Assistance Program (SNAP) Employment Plan

15-LCM-17 2015-2016 Home Energy Assistance Program (HEAP)

15-LCM-16 Establishing a Social Media Access Policy for Social Services District Investigators

15-LCM-15 Temporary Assistance Questions and Answers

15-LCM-14 2015-2016 Home Energy Assistance Program (HEAP) – Early Mail Out Process and Administrative Allocations

15-LCM-13 Revisions to the LDSS-4925: “Employability Code Desk Guide TANF and SN MOE” and LDSS-4926: “Employability Code Desk Guide SN Non-MOE”

15-LCM-12 Claiming Deadlines for Federal and State Reimbursement

15-LCM-11 Child Support Incentives – Federal Fiscal Years 2013 and 2015

15-LCM-10 Revisions to the LDSS-4923: “TANF and SNA MOE Work Activities Countability Desk Guide” and LDSS-4924: SNA Non-MOE Work Activities

15-LCM-09 Supplemental Nutrition Assistance Program (SNAP) Revised Civil/Human Rights Complaint Procedures

15-LCM-08 Supplemental Nutrition Assistance Program Employment and Training (SNAP E & T) Allocations – FFY 2015

15-LCM-07 2015 New York State Summer Youth Employment Program Allocations

15-LCM-06 Deactivation of SNAP Debts in the Treasury Offset Program (TOP) for payment received

15-LCM-05 Submission of TANF Claims/FFFS Plans

15-LCM-04 Noncustodial Parent (NCP) Employment Program

15-LCM-03 2014-2015 Home Energy Assistance Program (HEAP) Cooling Assistance Component (CAC)

15-LCM-02 Revisions to LDSS-4004

15-LCM-01 Records Access

INFs

15-INF-10 Social Security Administration (SSA) Cost of Living (COLA) for January 2016 and updated SSI and SSP Benefit Levels Chart

15-INF-09 State Minimum Wage Increase and Its Effect on Temporary Assistance (TA) and Supplemental Nutrition Assistance Program (SNAP)

15-INF-08 Supplemental Nutrition Assistance Program (SNAP) Data Collection and Reporting Requirements Related to Racial and Ethnic Data

15-INF-07 Investigative Unit Operations Plan (Revised)

15-INF-06 Summer Food Service Program (SFSP)

15-INF-05 Revised LDSS-3938 and LDSS-3938 NYC: “Supplemental Nutrition Assistance Program (SNAP) Application Expedited Processing Summary Sheet”

15-INF-04 Digest of Laws of 2014 Relating to Programs of the Office of Temporary and Disability Assistance

15-INF-02 Change in Internal Revenue Service Mileage Rates

GIS

12/22/15 - 15TA/DC057 HEAP Emergency Benefit Component Opening

12/16/15 - 15TA/DC056 HEAP and TA Implications of the 2015-16 Utility Termination Moratorium Schedule for NYS PSC Regulated and Municipal Utility Companies

12/10/15 - 15TA/DC055 Biennial Accounting for Liens and Child Support Recoveries

12/02/15 - 15TA/DC054 ABAWD Waiver Status and One-Time Mailing of ABAWD Status Notification Letter (UPSTATE ONLY)

12/01/15 - 15TA/DC053 Safety Net Assistance (SNA) 45-Day Application Period During a Leap Year

11/24/15 - 15TA/DC052 Changes to the LDSS-760 “Bank Inquiry and Clearance Report Agency Completed”

11/19/15 - 15TA/DC051 Unlinked Casekeys and On Hold/Deleted SNAP and Cash Payments on EBT

11/13/15 - 15TA/DC050 Electronic Disqualified Recipient System (eDRS)

11/12/15 - 15TA/DC049 2015-16 HEAP NYS Office of State Comptroller (OSC) Payment File Transfer Schedule (UPSTATE ONLY)

11/09/15 - 15TA/DC048 Processing Temporary Assistance (TA) Requests for Energy Emergencies

11/04/15 - 15TA/DC047 Re-issuance of 15-ADM-06 (15-ADM-06-T) Temporary Housing Assistance Consolidation and Clarification of Policy

10/30/15 - 15TA/DC046 Refugee Form I-94 Arrival/Departure Record Automation

10/26/15 - 15TA/DC045 Alternative Formats and Rafferty v. OTDA, DOH, HRA, et. al Settlement

10/20/15 - 15TA/DC044 Electronic Disqualified Recipient System (eDRS)

10/02/15 - 15TA/DC043 Home Energy Assistance Program (HEAP) Manual

10/02/15 - 15TA/DC042 Federal Government Shutdown Averted

09/25/15 - 15TA/DC041 Potential Federal Government Shutdown and SNAP (Supplemental Nutrition Assistance Program) Benefits

09/25/15 - 15TA/DC039 Statewide Financial System (SFS) Black-out Period affecting Home Energy Assistance Program (HEAP) vendor payments (UPSTATE ONLY)

09/14/15 - 15TA/DC038 Reminder to Disregard Certain SNAP E&T Sanctions from the SNAP E&T Sanction Progression

09/14/15 - 15TA/DC037 Important Notification about October 2015 SSP Payments

09/09/15 - 15TA/DC036 Changes to National Grid’s Procedures for Elderly, Blind or Disabled Households (UPSTATE ONLY)

08/28/15 - 15TA/DC035 Validation of Social Security Numbers

08/25/15 - 15TA/DC034 Upstate and NYC-Updated Supplemental Nutrition Assistance Program (SNAP) Standards for October 2015

08/24/15 - 15TA/DC033 Closing of the 2014-2015 Home Energy Assistance Program Cooling Assistance Component

08/14/15 - 15TA/DC032 Electronic Benefit Transfer (EBT) Outage

08/04/15 - 15TA/DC031 2015-2016 Home Energy Assistance Program (HEAP) TA/SNAP Pre-Autopay Vendor Information Reports

08/04/15 - 15TA/DC030 Excessive Replacement Card Letter to Clients

07/24/15 - 15TA/DC029 Temporary Cessation of System Generated CNS Notices to Shelter, Fuel and/or Utility Vendors

07/02/15 - 15TA/DC028 Revised State Verification and Exchange System (SVES) and State Online Query (SOLQ) Manual

06/15/15 - 15TA/DC027 EBT Forms Ordering. LDSS 5066 and LDSS 5004.

06/12/15 - 15TA/DC026 Change to the Address for the Guide Dog Food Coordinator on the LDSS 3087, “Application/Recertification Guide Dog Food Program.” (NYC ONLY)

06/08/15 - 15TA/DC025 2015-2016 Home Energy Assistance Program (HEAP) TA/SNAP Pre-Autopay Vendor Information Reports (UPSTATE ONLY)

06/05/15 - 15TA/DC024 SNAP Disaster Plan Local Emergency Contacts

06/03/15 - 15TA/DC023 Rafferty v. OTDA, DOH, HRA, et. al Settlement and Alternate Format Materials for the Visually Impaired

05/29/15 - 15TA/DC022 2014-2015 Home Energy Assistance Program (HEAP) Pending Report Closing (UPSTATE ONLY)

05/12/15 - 15TA/DC021 Restoration of SFARS (Specialized Fraud and Abuse Reporting System) Database and Reports

05/06/15 - 15TA/DC020 2014-15 Home Energy Assistance Program (HEAP) Revised Program Allocations (UPSTATE ONLY)

05/06/15 - 15TA/DC019 FFY 2015 Supplemental Nutrition Assistance Program (SNAP) Process and Technology Improvement Grants

05/05/15 - 15TA/DC018 Removal of Gender Field from Common Benefit Identification Card (CBIC)

04/23/15 - 15TA/DC017 Clarification of Affixed SSDs Representative's Signature on Interim Assistance Reimbursement Authorizations

04/02/15 - 15TA/DC016 No-Heat Referrals to NYSEDA Empower Program

03/26/15 - 15TA/DC015 Statewide Financial System Blackout Period - HEAP Implications

03/26/15 - 15TA/DC014 2014-15 Home Energy Assistance Program Regular and Emergency Benefit Components Closing Date

03/24/15 - 15TA/DC013 2015-16 Income Guidelines for Emergency Safety Net Assistance (ESNA) and Emergency Assistance to Needy Families with Children (EAF)

03/12/15 - 15TA/DC012 Calculation of Utility Arrears When TA, HEAP and/or Customer Payments Have Been Made

03/11/15 - 15TA/DC011 Additional Home Energy Assistance Program (HEAP) Administrative Funding

03/06/15 - 15TA/DC010 2014-15 Home Energy Assistance Program (HEAP) – Second Emergency Benefit and Revised Closing Dates

03/05/15 - 15TA/DC009 Important Notification about April SSP Payments

03/05/15 - 15TA/DC008 New Haitian Family Reunification Parole (HFRP) for SNAP Benefits

02/24/15 - 15TA/DC007 Third-party Application (App) for Android phones offering free EBT balance information

02/24/15 - 15TA/DC006 SNAP Closing Reason Code "M12" (UPSTATE ONLY)

02/24/15 - 15TA/DC005 200% of Poverty Income Standards Chart-June 1, 2015 through May 31, 2016

02/06/15 - 15TA/DC004 Additional Update on SNAP Applications submitted through Intuit (Turbo Tax)

02/03/15 - 15TA/DC003 Update on SNAP Applications submitted through Intuit (Turbo Tax)

01/21/15 - 15TA/DC002 SNAP Applications submitted through Intuit (Turbo Tax)

01/07/15 - 15TA/DC001 Home Energy Assistance Program (HEAP) Heating Equipment Repair and Replacement Closing Date

DCLs (Child Support)

- 12/22/15 Biennial Accounting of Recoveries for Real Property Liens

- 11/5/15 Chapter 387 of the Laws of 2015: Alimony or Spousal Support, Parental Income and Child Support Obligations

- 10/19/15 Banking Services Contract Extension

- 10/8/15 15-ADM-07 Arrears Pilot Initiative to Improve Child Support Compliance

- 10/7/15 Amendment to Social Services Law § 111-i and Adoption of the 2008 Amendments to the Uniform Interstate Family Support Act

- 10/2/15 Revisions to the Direct Deposit Enrollment Form and Direct Deposit Cancellation Form

- 9/15/15 Change to Noncustodial Parent Medical Execution Cover Notice

- 8/24/15 Statewide Arrears Pilot Program—Solicitation of District Participation

- 7/29/15 Federal Interstate Case Reconciliation Data Match

- 7/16/15 Department of Motor Vehicles License Suspension Law Extended

- 6/8/15 Lottery Prize Intercept Process Changes

- 6/1/15 Change in New York State Child Support Processing Center Project Directors

- 6/1/15 Implementation of the Revised Federal Income Withholding Order/Notice for Support

- 5/15/15 Account Documents for a Court Proceeding Scheduled in Another County

- 4/16/15 Changes to Non-IV-D Services

- 3/23/15 Increase in Volume of Cost of Living Adjustments for March 2015

- 1/23/15 Batch Type 65 Processing

- 1/22/15 Chapter 466 of the Laws of 2014: Combined Parental Income

OAH PROCEDURES TRANSMITTALS

15-02 Instructions Regarding 15 ADM-06T

15-01 New FHS Agency Nursing Home Eligibility Division (NHED)

2014

ADMs

14-ADM-07 State Administration of SSI State Supplement Program (SSP)

14-ADM-06 Supplemental Nutrition Assistance Program (SNAP) Conciliation with Option to Avoid a SNAP Work Sanction through Demonstrated Compliance

14-ADM-05-T Automated Information Exchange Agreement between OTDA, OCFS, DOH and DOL-Unemployment Insurance Benefit Information

14-ADM-05 Automated Information Exchange Agreement between OTDA, OCFS, DOH and DOL-Unemployment Insurance Benefit Information

14-ADM-04 Employment and Resource Exemption Changes authorized by Chapter 58 of the Laws of 2014

14-ADM-03 2014-15 Flexible Fund for Family Services (FFFS)

14-ADM-02 The Use, Capture and Reporting of SSD's Representative's Signature on Interim Assistance Reimbursement (IAR) Authorizations

14-ADM-01 Temporary Assistance Budgeting: 2014 Earned Income Disregard and Poverty Level Test

LCMs

- 14-LCM-15 Use and Protection of Confidential, Private, Personal, and/or Sensitive Information

- 14-LCM-14-T 2014-15 Home Energy Assistance Program — Administrative Allocations and Program Allocations

- 14-LCM-13 2014-2015 Home Energy Assistance Program (HEAP)

- 14-LCM-12-T Claiming Deadlines for Federal and State Reimbursement

- 14-LCM-11 2014-2015 Home Energy Assistance Program (HEAP) — Early Mail Out Process and Administrative Allocations

- 14-LCM-10 Supplemental Nutrition Assistance Program Employment and Training Allocations — FFY 2014

- 14-LCM-09 Supplemental Nutrition Assistance Program Employment and Training (SNAP E&T) Dependent Care Requests for FFY 2014

- 14-LCM-08 Quarterly Fraud Report

- 14-LCM-07 New York State Summer Youth Employment Program Allocations

- 14-LCM-06 Submission of TANF Claims/FFFS Plans

- 14-LCM-05 Child Support Incentives - Federal Fiscal Years 2012 and 2014

- 14-LCM-04 Flexible Fund for Family Services (FFFS) 2014-2015 Allocations and Child Welfare Services Thresholds

- 14-LCM-03 2013-2014 Home Energy Assistance Program (HEAP) Cooling Assistance Component (CAC)

- 14-LCM-02 WMS Audit Tool

- 14-LCM-01 Eligibility Determination and Claiming of EAF Benefits
 - INFs
 - 14-INF-16 Notice of Pending SNAP Application
 - 14-INF-15 State Minimum Wage Increase and Its Effect on Temporary Assistance (TA) and Supplemental Nutrition Assistance (SNAP) Programs
 - 14-INF-13 Transportation Guidance
 - 14-INF-12 Social Security Administration (SSA) Cost-of-Living Adjustment (COLA) for January 2015 and updated SSI and SSP Benefit Levels Chart
 - 14-INF-11 Social Services District Monitoring Responsibilities for Access to and Usage of Unemployment Information
 - 14-INF-10 The Treatment of Veterans Aid and Attendance (VA AA) benefits for SNAP
 - 14-INF-09-T Cost Avoidance Calculations for Program Integrity Initiatives
 - 14-INF-08 Summer Food Service Program (SFSP)
 - 14-INF-06 Temporary Assistance Questions and Answers
 - 14-INF-05 Resource File Integration (RFI) Policy and Procedures
 - 14-INF-04 Change in Internal Revenue Service Mileage Rates
 - 14-INF-02 Digest of Laws of 2013 Relating to Programs of the Office of Temporary and Disability Assistance
- GIS Messages
 - 12/23/14 - 14TA/DC056 SSI COLA and NYSNIP Standard Benefit Amount Adjustments (UPSTATE ONLY)
 - 12/23/14 - 14TA/DC055 SSI COLA and NYSNIP Standard Benefit Amount Adjustments (NYC ONLY)
 - 12/23/14 - 14TA/DC054 Home Energy Assistance Program Emergency Benefit Component Opening
 - 12/16/14 - 14TA/DC053 Home Energy Assistance Program (HEAP) and Temporary Assistance (TA) Implications of the 2014-15 Utility Termination Moratorium Schedule for New York State Public Service Commission (PSC) Regulated and Municipal Utility Companies
 - 11/26/14 - 14TA/DC052 State On-Line Query (SOLQ) System Expansion and Changes
 - 11/21/14 - 14TA/DC051 Addressing Emergency Needs Resulting from Impact of Western New York Winter Storms (UPSTATE ONLY)
 - 11/20/14 - 14TA/DC050 SDX Transmission Error (UPSTATE ONLY)
 - 11/10/14 - 14TA/DC049 2014-15 HEAP NYS Office of State Comptroller (OSC) Payment File Transfer Schedule (UPSTATE ONLY)
 - 11/07/14 - 14TA/DC048 Processing Temporary Assistance (TA) Requests for Energy Emergencies
 - 10/21/14 - 14TA/DC047 Release of Revised Version of the LDSS-5004 "EBT How to Use Your Benefit Card to Get Supplemental Nutrition Assistance Program (SNAP) and/or Cash Benefits
 - 10/21/14 - 14TA/DC046 Release of Two New LDSS E-Forms for Electronic Benefits Transfer (EBT)
 - 10/20/14 - 14TA/DC045 2014-15 HEAP NYS Office of State Comptroller Voucher File Schedule (UPSTATE ONLY)
 - 10/20/14 - 14TA/DC044 Treatment of Family Tax Relief & Property Tax Relief Credits: TA, SNAP and HEAP Policy Implications
 - 10/15/14 - 14TA/DC043 SFARS (Specialized Fraud and Abuse Reporting System) Limited Availability Since EBT Contractor Transition
 - 10/15/14 - 14TA/DC042 Increase in the UIB Minimum and Maximum Benefits
 - 10/02/14 - 14TA/DC041 Excessive Replacement Card Letter to Clients
 - 09/30/14 - 14TA/DC040 State Takeover of the SSI State Supplement Program (SSP)
 - 09/26/14 - 14TA/DC039 Direct Deposit Banking Information for SSP Recipients

- 09/26/14 - 14TA/DC038 Changes to SNAP Resource Limits
- 09/18/14 - 14TA/DC037 Update on Client Electronic Benefit Transfer (EBT) System Conversion Activities
- 09/10/14 - 14TA/DC036 State Supplement Program (SSP) Updates
- 09/03/14 - 14TA/DC035 Update on Client Electronic Benefits Transfer (EBT) System Conversion Activities
- 09/02/14 - 14TA/DC034 SNAP Disaster Plan Local Contacts
- 08/29/14 - 14TA/DC033 Upstate and NYC-Updated Supplemental Nutrition Assistance Program (SNAP) Standards for October, 2014
- 08/29/14 - 14TA/DC032 Restrictions on EBT Cash Transactions at Specified Locations
- 08/15/14 - 14TA/DC031 National Grid Fax Outage Resolved (UPSTATE ONLY)
- 08/14/14 - 14TA/DC030 National Grid Fax Outage (UPSTATE ONLY)
- 08/01/14 - 14TA/DC029 State Supplement Program (SSP) Transition Letter Mail Out
- 08/01/14 - 14TA/DC028 2014-15 Home Energy Assistance Program TA/SNAP Pre-Autopay Vendor Information Reports (UPSTATE ONLY)
- 07/25/14 - 14TA/DC027 Changes to the Services Provided by Social Security Offices
- 07/23/14 - 14TA/DC026 National Grid System Maintenance
- 07/09/14 - 14TA/DC025 Transition of State Supplemental Personal Needs Allowance (SSPNA) Benefits to Direct Deposit
- 06/26/14 - 14TA/DC024 Home Energy Assistance Program (HEAP) Benefit Cooling Assistance Component (CAC) Tracking Log (UPSTATE ONLY)
- 06/19/14 - 14TA/DC022 Disability Accommodation Indicator
- 06/19/14 - 14TA/DC021 Closing of the 2013-2014 Home Energy Assistance Program Cooling Assistance Component
- 06/11/14 - 14TA/DC020 2014-2015 Home Energy Assistance Program (HEAP) TA/SNAP Pre-Autopay Vendor Information Reports (UPSTATE ONLY)
- 06/02/14 - 14TA/DC019 LDSS-5041: "Lien Acknowledgement" Form
- 05/21/14 - 14TA/DC018 SNAP Budgeting Shelter Expense of Mortgage Costs when in Foreclosure
- 05/15/14 - 14TA/DC017 Home Energy Assistance Program (HEAP) Pending Reports (UPSTATE ONLY)
- 05/14/14 - 14TA/DC016 No-Heat Referrals to NYSERDA Empower
- 04/08/14 - 14TA/DC015 2014 Emergency Program Income Guidelines for Emergency Safety Net Assistance (ESNA) and Emergency Assistance to Needy Families with Children (EAF)
- 04/03/14 - 14TA/DC014 200% of Poverty Income Standards Chart - June 1, 2014 through May 31, 2015
- 04/01/14 - 14TA/DC013 FFY 2014 Supplemental Nutrition Assistance Program (SNAP) Process and Technology Improvement Grants
- 03/21/14 - 14TA/DC012 Additional SAVE Telephone Conference and Information on Training (UPSTATE ONLY)
- 03/19/14 - 14TA/DC011 Farm Bill Changes to SNAP Standard Utility Allowance (SUA) Policy
- 03/14/14 - 14TA/DC010 Supplemental HEAP Payments (UPSTATE ONLY)
- 03/11/14 - 14TA/DC009 Additional Home Energy Assistance Program (HEAP) Administrative Funding
- 03/06/14 - 14TA/DC008 Closing Dates for the 2013-14 Home Energy Assistance Program (HEAP) Regular and Emergency Components
- 02/28/14 - 14TA/DC007 SAVE Telephone Conference and Information on Training (UPSTATE ONLY)
- 02/20/14 - 14TA/DC006 Electronic Benefit Transfer System Conversion
- 02/19/14 - 14TA/DC005 Home Energy Assistance Program (HEAP) Benefit Pending Reports

02/03/14 - 14TA/DC004 SAVE Telephone Conference (UPSTATE ONLY)

01/30/14 - 14TA/DC003 2013-14 Home Energy Assistance Program Regular Component

01/29/14 - 14TA/DC002 Home Energy Assistance Program (HEAP) Heating Equipment Repair and Replacement Closing Date

01/06/14 - 14TA/DC001 Updated HEAP Brochure PUB-4735 and HEAP Poster PUB-5007

DCLs (Child Support)

- 12/17/2014 Chapter 373 of the Laws of 2014 (Discontinued ASSETS templates)

- 06/20/2014 Revised Wage and Health Benefits Report

- 05/02/2014 Revisions to Lien Documents Used in the Enforcement of Support Obligations and the Personal Injury Claims Enforcement Process

- 04/30/2014 Driver License Suspension Process—Systems Fixer to Update Aged DMV Indicators of U01 for Resubmission

- 04/25/2014 New COLD Report for CSENet Annual Notice of Overdue Support

- 03/06/2014 Information from the Department of Health about NY State of Health Notices

- 02/18/2014 Accessing eMedNY for Medicaid Eligibility Status

- 02/04/2014 Planned Data Collection Activities on ASSETS and the Child Support Website

OAH PROCEDURES TRANSMITTALS

14-002 Outcome Reason Codes

2013

ADMs

- 13-ADM-07 Systematic Alien Verification for Entitlements (SAVE) Program

- 13-ADM-06 NYSEDA On-Bill Recovery (OBR) Financing

- 13-ADM-05 2013-14 Flexible Fund for Family Services (FFFS)

- 13-ADM-04 SNAP Reactivation Waiver

- 13-ADM-03 Temporary Assistance Budgeting: 2013 Changes to the Earned Income Disregard and Poverty Level Test

- 13-ADM-02 Temporary Assistance and Supplemental Nutrition Assistance Program Policy: The Treatment of Tax Refunds and Credits

- 13-ADM-01 Automated Case Closure and Closure of Administrative Cases

LCMs

- 13-LCM-16 2013-14 Home Energy Assistance Program—Administrative Allocations and Program Allocations

- 13-LCM-15 Document Packet for Fair Hearings Related to Interim Assistance Reimbursement (IAR)

- 13-LCM-14 2013-14 Home Energy Assistance Program

- 13-LCM-12 2014-2015 Biennial Temporary Assistance and Supplemental Nutrition Assistance Program (SNAP) Employment Plan

- 13-LCM-11 2013-14 Home Energy Assistance Program-Early Mail Out Process and Administrative Allocations

- 13-LCM-10 Supplemental Nutrition Assistance Program Employment and Training Allocations-FFY 2013

- 13-LCM-09 Revisions to LDSS-2859, Information Transmittal

- 13-LCM-08 Supplemental Nutrition Assistance Program Employment and Training (SNAP E&T) Dependent Care Requests for FFY 2013

- 13-LCM-07 Reporting Requirements under the Federal Funding Accountability and Transparency Act of 2006 (FFATA)

- 13-LCM-06 Supplemental Nutrition Assistance Program (SNAP) Civil/Human Rights Complaint

- 13-LCM-05 Claiming Deadlines for Federal and State Reimbursement

- 13-LCM-04 Child Support Incentives-Federal Fiscal Years 2011 and 2013

- 13-LCM-03 Submission of TANF Claims/FFFS Plans

- 13-LCM-02 2013 TANF Summer Youth Employment Program Allocations

- 13-LCM-01 2012-2013 Home Energy Assistance Program (HEAP) Cooling Assistance Component

INFs

- 13-INF-08 State Minimum Wage Increase and Its Effect on Temporary Assistance (TA) and Supplemental Nutrition Assistance Program (SNAP)

- 13-INF-07 Social Security Administration (SSA) Cost-of-Living Adjustment (COLA) for January 2014 – SSI Benefit Levels Chart

- 13-INF-05 Mandatory Supplemental Nutrition Assistance Program (SNAP) Right to File Poster-LDSS-4995

- 13-INF-04 Summer Food Service Program

- 13-INF-03 CBIC Photos for NTA-SNAP Cardholders

- 13-INF-02 Revisions to the LDSS 4310 “Periodic Report” and the LDSS 4310A “Follow-up to the Periodic Report”

GIS Messages

12/30/13 13TA/DC056 Expiration of the Emergency Unemployment Compensation (EUC) Program

12/27/13 13TA/DC055 Home Energy Assistance Program Emergency Benefit Component Opening

12/27/13 13TA/DC054 SSI COLA and NYSNIP Standard Benefit Amount Adjustments (NYC ONLY)

12/26/13 13TA/DC054 SSI COLA and NYSNIP Standard Benefit Amount Adjustments (UPSTATE ONLY)

12/17/13 13TA/DC053 Home Energy Assistance Program (HEAP) and Temporary Assistance (TA) Implications of the 2013-14 Utility Termination Moratorium Schedule for New York State Public Service Commission (PSC) Regulated and Municipal Utility Companies

12/17/13 13TA/DC052 Social Services District Responsibility for Updating Specific Information, including Out of District/State inquires, found on the OTDA Intranet and CentraPort

12/05/13 13TA/DC051 Home Energy Assistance Program (HEAP) Payment Information (UPSTATE ONLY)

12/05/13 13TA/DC050 National Grid System Maintenance (UPSTATE ONLY)

11/15/13 13TA/DC049 Home Energy Assistance Program (HEAP) Manual

11/14/13 13TA/DC048 Issuance of One-Time Supplemental Nutrition Assistance Program (SNAP) Benefit resulting from the Richard C. v. Berlin Settlement Agreement

11/14/13 13TA/DC047 State On-Line Query (SOLQ) System Changes

11/04/13 13TA/DC046 Supportive Services for Veteran Families Program

10/25/13 13TA/DC045 Release of Revised Version of the Previous PUB-4792 “Will You Receive Food Stamp Benefits after Cash Assistance Ends?”

10/24/13 13TA/DC044 Release of Revised LDSS-4943 “Supplemental Nutrition Assistance Program (SNAP) Benefits Categorical Eligibility Desk-Aid”

10/24/13 13TA/DC043 Clarification of SNAP Policies Regarding Homeless Including Homeless Youth

10/21/13 13TA/DC042 Food/Non-Food Guidelines for the “Financial Statement” (LDSS-3596) for Utility Assistance

10/18/13 13TA/DC041 End of Federal Government Shutdown

10/07/13 13TA/DC040 Federal Government Shutdown - Granting Good Cause for Inability to Apply for Social Security Card(s)

10/07/13 13TA/DC039 New York State Energy Research and Development Authority’s EmPower New York Assistance for Summer 2013 Flooding and Superstorm Sandy

10/03/13 13TA/DC038 November 1, 2013 Expiration of Federal American Recovery and Reinvestment Act (ARRA) Temporary Increase to SNAP Benefits

09/30/13 13TA/DC037 Impact on OTDA Programs of Potential Federal Government Shutdown

09/23/13 13TA/DC036 Change in Form Number for PUB-4596 “EBT How to Use Your Benefit Card to Get SNAP and/or Cash Benefits” to LDSS-5004

09/23/13 13TA/DC035 Calculation of Utility Arrears

09/13/13 13TA/DC034 CBIC Vault Card Ordering Process (UPSTATE ONLY)

09/11/13 13TA/DC033 Protocols for HEAP Alternate Certifiers and myWorkspace Access for Alternate Certifiers (UPSTATE ONLY)

08/27/13 13TA/DC032 Release of Revised LDSS-548 “Budget Worksheet - Temporary Assistance”

08/20/13 13TA/DC031 Upstate and NYC Updated Supplemental Nutrition Assistance Program (SNAP) Standards for October 2013

08/19/13 13TA/DC030 Excessive Replacement Card Letter to Clients

07/08/13 13TA/DC029 Temporary Assistance (TA) and Supplemental Nutrition Assistance Program (SNAP) Computer Matches: Incarcerated Clients

07/08/13 13TA/DC028 Extension to Deadline Reporting Loss of Food Purchased with SNAP Benefits Due to June 2013 Flooding (UPSTATE ONLY)

07/02/13 13TA/DC027 Addressing Emergency Needs Resulting from Recent Flooding (UPSTATE ONLY)

07/01/13 13TA/DC026 Implementation of the myBenefits/myWorkspace Home Energy Assistance Program (HEAP) Application Process

06/24/13 13TA/DC025 Home Energy Assistance Program (HEAP) Benefit Cooling Assistance Component (CAC) Tracking Log

06/21/13 13TA/DC024 SNAP Disaster Plan Local Contacts

06/20/13 13TA/DC023 National Grid System Maintenance (UPSTATE ONLY)

06/07/13 13TA/DC022 2013-2014 Home Energy Assistance Program (HEAP) TA/SNAP Pre- Autopay Request for Vendor Information (UPSTATE ONLY)

06/05/13 13TA/DC021 Closing of the 2012-2013 Home Energy Assistance Program (HEAP) Cooling Assistance Component (CAC)

05/24/13 13TA/DC020 Home Energy Assistance Program (HEAP) Benefit Pending Reports (UPSTATE ONLY)

04/29/13 13TA/DC019 Required Posting of Notices

04/29/13 13TA/DC018 Expiration of Waiver to Allow Purchase of Hot and Prepared Foods with SNAP Benefits at Authorized FNS Retailers

04/12/13 13TA/DC017 State On Line Query (SOLQ) System

04/09/13 13TA/DC016 Reporting Applications for the 2012-2013 Home Energy Assistance Program (HEAP) Cooling Assistance Component (CAC)

04/03/13 13TA/DC015 FFY2013 Supplemental Nutrition Assistance Program (SNAP) Technology Improvement Grants

04/01/13 13TA/DC014 Fourth Extension of Timeframe for Allowing SNAP Purchases of Hot and Prepared Foods at Authorized FNS Retailers in New York City and in Nassau and Suffolk Counties

03/28/13 13TA/DC013 2013 Emergency Program Income Guidelines for Emergency Safety Net Assistance (ESNA) and Emergency Assistance to Needy Families with Children (EAF)

03/20/13 13TA/DC012 200% Poverty Income Standards Chart - June 1, 2013 through May 31, 2014

03/11/13 13TA/DC011 Notice to Individuals Currently Serving Supplemental Nutrition Assistance Program Employment and Training (SNAP E&T) Sanctions

03/11/13 13TA/DC010 Expanded Online Access to Social Security Administration Information

03/01/13 13TA/DC009 Fourth Extension of Timeframe for Allowing SNAP Purchases of Hot and Prepared Foods at Authorized FNS Retailers in New York City and in Nassau and Suffolk Counties

02/28/13 13TA/DC008 Home Energy Assistance Program (HEAP) Regular and Emergency Component Closing Date

02/13/13 13TA/DC007 Additional Information on the Home Energy Assistance Program (HEAP)

01/31/13 13TA/DC006 Third Extension of Timeframe for Allowing SNAP Purchase of Hot and Prepared Foods at Authorized FNS Retailers in New York City and in Nassau and Suffolk

01/31/13 13TA/DC005 Ending the Duration of Current Supplemental Nutrition Assistance Program Employment and Training (SNAP E&T) Sanctions

01/29/13 13TA/DC004 Home Energy Assistance Program (HEAP) Heating Equipment Repair and Replacement Component Closing Date

01/29/13 13TA/DC003 Home Energy Assistance Program (HEAP) Benefit Processing and Pending Applications Reporting

01/24/13 13TA/DC002 Disabling Welfare Management System (WMS) Temporary Assistance (TA) Case Reason Code “M40”

01/02/13 13TA/DC001 SSI COLA and NYSNIP Standard Benefit Amounts (UPSTATE ONLY)

01/02/13 13TA/DC001 SSI COLA and NYSNIP Standard Benefit Amounts (NYC ONLY)

DCLs (Child Support)

- 12/19/2013 Affordable Care Act and Department of Health (DOH) Takeover of Medicaid

- 12/04/2013 Time Limits for Vacating an Acknowledgment of Paternity

- 11/06/2013 Instructions for Review of Federal Tax Offset Corrected Social Security Number (SSN) Report and Federal Tax Offset Process Changes

- 08/02/2013 Revisions to DMV and DTF Important Notices Sent to Noncustodial Parents

- 07/16/2013 Extension of DMV License Suspension Laws

- 03/21/2013 Federal Case Registry Reconciliation

- 03/11/2013 Electronic Communications System Enhancements

OAH PROCEDURES TRANSMITTALS

13-03 Mailing Evidence Packet for Telephone Hearings

13-02 Waiver of Personal Appearance Instructions for Agencies

13-01b Fishman Default Letter 16 Spanish Language

13-01a Fishman Default Letter 16

13-01 Fishman v. Daines Litigation procedures [FISHMAN COURT ORDER VACATED SEPT. 2013]

2012

ADMs

- 12-ADM-08 Elimination of Finger Imaging Requirements for Purposes of the Supplemental Nutrition Assistance Program (SNAP)

- 12-ADM-07 Supplemental Nutrition Assistance Program (SNAP)-New Name for the Food Stamp Program

- 12-ADM-06 2012-13 Flexible Fund for Family Services (FFFS)

- 12-ADM-05 2012 Temporary Assistance Basic Allowance Increase

- 12-ADM-04 New York State Parolees and Temporary Assistance/Temporary Housing Assistance District of Fiscal Responsibility (DFR) Policy

- 12-ADM-03 Information about Child Support Services and Application/Referral for Child Support Enforcement Services (LDSS-4882)

- 12-ADM-02 Temporary Assistance Budgeting: 2012 Changes to the Earned Income Disregard and Poverty Level Test

- 12-ADM-01 Requirement to Make Information Available to Non-Parent Caregivers relating to Available Services and Assistance Programs

LCMs

- 12-LCM-14 Claiming Deadlines for Federal and State Reimbursement

- 12-LCM-13 Results of the Federal Fiscal Year 2011 Data Reliability Audit and Procedures to Prevent Deficiencies

- 12-LCM-12 2012-13 Home Program Summary

- 12-LCM-11 Transportation Initiative
- 12-LCM-10 2012-13 Home Energy Assistance Program-Administrative Allocations and Program Allocations
- 12-LCM-09 Supplemental 100% SNAP Employment and Training Allocations –FFY 2012
- 12-LCM-08 2012-13 Home Energy Assistance Program-Early Outreach Process and Administrative Allocations
- 12-LCM-07 Food Stamp Employment and Training Allocations-FFY 2012
- 12-LCM-06 Continuation of OTDA Contract with Medical Providers for Consultative Medical and Psychological Examinations and Intelligence Assessments
- 12-LCM-05 Submission of TANF Claims/FFFS Plans
- 12-LCM-04 Child Support Incentives-Federal Fiscals Years 2010 and 2012
- 12-LCM-03 2012 Summer Youth Employment Program Allocations
- 12-LCM-02 Use of Food Stamp Employment and Training (FSET) Funds for Dependent Care Expenses
- 12-LCM-01 Claiming Deadlines for Federal and State Reimbursement
- INFs
 - 12-INF-17 Social Security Administration (SSA) Cost-of-Living Adjustment (COLA) for January 2013 – SSI Benefit Levels Chart
 - 12-INF-16 Digest of Laws of 2012 Relating to Programs of the Office of Temporary and Disability Assistance
 - 12-INF-15 Revision to LDSS-4887 Mail-in Recert/Eligibility Questionnaire
 - 12-INF-14 Supplemental Nutrition Assistance Program Policy-Student Eligibility (Replaces 11-INF-06)
 - 12-INF-13 Guidance on Use of Enhanced WMS Cross-System Clearance Process and New Statewide Clearance Report
 - 12-INF-12 PUB-4916 (Rev. 12/11): Helping Hands for People in Need Brochure (No Longer Required)
 - 12-INF-11 Availability of New Forms LDSS-4882 (7/12): Information about Child Support Services and Application/Referral for Child Support Services; LDSS-4882A (7/12): Information about Child Support Services; LDSS-4882B (7/12): Information for an Additional Noncustodial Parent (NCP)/Putative Father (PF); and LDSS-4882C (7/12): Information for an Additional Child
 - 12-INF-10 Internal Revenue Service Mileage Reimbursement Rate
 - 12-INF-09 Summer Food Service Program
 - 12-INF-08 The Treatment of Subsidized Employment as Earned Income for the Food Stamp Program
 - 12-INF-07 Revised LDSS-4403: “Determination of Eligibility for Emergency Assistance to Families (EAF)”
 - 12-INF-06 Food Stamp Program Clarification of required Documentation/Verification
 - 12-INF-05 Clarification of Food Stamp Policy for Claims Established as a Result of a Court Ordered Restitution & Revision of FS Recoupment Data Entry Form-WMS LDSS-3513 NYC
 - 12-INF-03 Temporary Assistance (TA) and Medicaid (MA) Benefits for Infants Residing with their Incarcerated Mothers
 - 12-INF-01 Lottery Intercept Match (LIM) for Temporary Assistance Recipients
- GIS Messages
 - 12/31/12 12TA/DC040 HEAP Initial and Ongoing Daily Mass Authorization Process (UPSTATE ONLY)
 - 12/28/12 12TA/DC039 Opening of the 2012-13 HEAP Emergency Benefit Component
 - 12/21/12 12TA/DC038 Second Extension of Timeframe for Allowing SNAP Purchase of Hot and Prepared Foods at Authorized FNS Retailers in Counties Impacted by Hurricane Sandy
 - 12/19/12 12TA/DC037 Correction to the LDSS-4887: “Mail-In Recert/Eligibility Questionnaire”
 - 12/13/12 12TA/DC036 HEAP and TA Implications for the 2012-13 Utility Termination Schedule for NYS PSC Regulated and Municipal Utility Companies
 - 12/04/12 12TA/DC035 Temporary Suspension of Supplemental Nutrition Assistance Program Employment and Training (SNAP&T) Sanctions
 - 12/03/12 12TA/DC034 2012-13 Home Energy Assistance Program (HEAP) Payment Information
 - 11/26/12 12TA/DC033 Extension of Timeframe for Allowing SNAP Purchase of Hot and Prepared Foods at Authorized FNS Retailers in Counties Impacted by Hurricane Sandy
 - 11/20/12 12TA/DC032 Processing Temporary Assistance (TA) Requests for Energy Emergencies
 - 11/16/12 12TA/DC031 Exemption of Disaster Unemployment Insurance (DUA)
 - 11/16/12 12TA/DC030 Restored EBT Availability
 - 11/16/12 12TA/DC029 Availability of Emergency Manual Vouchers for SNAP During Continued Outage of EBT
 - 11/16/12 12TA/DC028 Availability of EBT
 - 11/15/12 12TA/DC027 NY Youth Works Program TA Budgeting/Eligibility Implications and Reporting Requirements
 - 11/14/12 12TA/DC026 Temporary Assistance Programs for Emergencies Resulting from Superstorm Sandy
 - 11/13/12 12TA/DC025 Extension of Certification Periods for SNAP and TA Households Impacted by Hurricane Sandy (UPSTATE ONLY FOR SELECTED COUNTIES)
 - 11/09/12 12TA/DC025 Extension of Certification Periods for SNAP and TA Households Impacted by Hurricane Sandy (NYC ONLY)
 - 11/06/12 12TA/DC024 Waiver Approval to Allow SNAP Purchase of Hot and Prepared Foods at Authorized FNA Retailers in the following areas affected by Hurricane Sandy: New York City, and the counties of Nassau, Orange, Putnam, Rockland, Sullivan, Suffolk, and Westchester
 - 11/06/12 12TA/DC023 Mass Authorization for Replacement of 50% SNAP October Benefits (UPSTATE ONLY)
 - 11/06/12 12TA/DC023 Mass Authorization for Replacement of 50% SNAP October Benefits (NYC ONLY)
 - 11/02/12 12TA/DC022 Extension to Deadline for Reporting Loss of Food Purchased with SNAP Benefits due to Hurricane Sandy (UPSTATE ONLY)
 - 11/02/12 12TA/DC022 Extension to Deadline for Reporting Loss of Food Purchased with SNAP Benefits due to Hurricane Sandy (NYC ONLY)
 - 10/29/12 12TA/DC021 Disaster Preparedness for Hurricane Sandy
 - 10/01/12 12TA/DC020 Income Eligibility Verification System (IEVS) 1099 Processing - Revised Procedure and IEVS Contact List
 - 09/07/12 12TA/DC019 Participation of 18-21 Year Olds in NYSNIP
 - 08/22/12 12TA/DC018 Upstate - Updated Supplemental Nutrition Assistance Program (SNAP) Standards for October 1, 2012 (UPSTATE ONLY)
 - 08/22/12 12TA/DC018 NYC - Updated Supplemental Nutrition Assistance Program (SNAP) Standards for October 1, 2012 (NYC ONLY)
 - 08/08/12 12TA/DC017 National Grid Program “Advocates in Action”
 - 07/31/12 12TA/DC016 New York State Fresh/Connect Program for Supplemental Nutrition Assistance Program (SNAP) recipients
 - 07/24/12 12TA/DC015 District Contact for Out of District/State Inquiries
 - 07/10/12 12TA/DC014 Food Stamps Disaster Plan Local Contacts
 - 07/03/12 12TA/DC013 Release of Updated LDSS-2642 “Documentation Requirements” Form
 - 06/21/12 12TA/DC012 Distribution of Informational Booklets: LDSS-4148A, LDSS-4148B, and LDSS-4148C
 - 06/15/12 12TA/DC011 Finger-Imaging Requirements in the Food Stamp Program

05/23/12 12TA/DC010 Social Services District Responsibility for Updating District Specific Information found on the OTDA Intranet and CentraPort

05/10/12 12TA/DC009 Release of Updated LDSS-3151

05/08/12 12TA/DC008 Release of Updated LDSS-3969B: "Notice of Action on Your Application/Benefit for the Child Assistance Program, Status of Medical, Food Stamps or Services"

04/24/12 12TA/DC007 Loss of Food - Crisis Situations (UPSTATE ONLY)

03/30/12 12TA/DC006 2012 Emergency Program Income Guidelines for Emergency Safety Net Assistance (ESNA) and Emergency Assistance to Needy Families With Children (EAF)

03/29/12 12TA/DC005 200% of Poverty Income Standards Chart - June 1, 2012 through May 31, 2013

03/15/12 12TA/DC004 NYSNIP Shelter Type 98 Standard Benefit Amount Adjustments

02/07/12 12TA/DC003 Discontinuance of WRTS Production Reports (UPSTATE ONLY)

01/12/12 12TA/DC002 National Grid Upstate New York DSS Unit Closure on Friday, January 20, 2012

01/03/12 12TA/DC001 Food Stamp program elderly and disabled household resource limit increase to \$3,250 effective 10/1/11

DCLs (Child Support)

- 11/30/2012 Banking Services Update
- 11/09/2012 Zero Dollar Current Child Support
- 10/17/2012 Website Security Enhancements Slide Show
- 10/17/2012 Website Security Enhancements Letter
- 08/17/2012 Banking Services Updates
- 07/06/2012 Property Execution Process
- 06/18/2012 Federal Interstate Case Reconciliation Data Match
- 04/10/2012 Adoption of Federal Income Withholding Notice and Worksheet
- 04/05/2012 Enhancements to Noticing of Assigned Support Collections and Disbursements

• 02/14/2012 Fraudulent Internal Revenue Service Tax Returns

OAH PROCEDURES TRANSMITTALS

12-02 Change of FS to SNAP

12-01 Change to NYCRR 358-5.5 Abandonment of a Request for a Fair Hearing

2011

ADMs

- 11-ADM-08 State-issued OCFS and OTDA IT Equipment
- 11-ADM-07 Flexible Fund for Family Services (FFFS)
- 11-ADM-06 New York State Employment
- 11-ADM-05 Temporary Assistance Budgeting: Treatment of Kinship Guardianship Assistance Program (KinGAP) Payments
- 11-ADM-04 Desk Reviews of the Distribution and Disbursement of Support Collections
- 11-ADM-03 Required Temporary Assistance for Needy Families (TANF) Reporting
- 11-ADM-02 Temporary Assistance Budgeting: 2011 Changes to the earned Income Disregard and Poverty Level Test
- 11-ADM-01 Penalty for Failure to Comply with Temporary Assistance (TA) Program Requirement to Pursue Supplemental Security Income (SSI)

LCMs

- 11-LCM-14 2011-12 Home Energy Assistance Program (HEAP)
- 11-LCM-13 Community Solutions for Transportation (CST XI) Funding
- 11-LCM-12 Supplemental 100% Food Stamp Employment and Training Allocations - FFY 2011
- 11-LCM-11 Supplemental allocations for Green Jobs Corps 2 Program
- 11-LCM-10 2012-2013 Biennial Temporary Assistance and Food Stamp Employment Plan

- 11-LCM-09 Supplemental Health Care Jobs 2 Program Allocations

- 11-LCM-08 Supplemental Allocations for OTDA Transitional Jobs 2 Program

- 11-LCM-07 2011-12 Home Energy Assistance Program-Early Mail Out Process and Administrative Allocations for Early Outreach Processing

- 11-LCM-06 Food Stamp Employment and Training Allocations-FFY 2011

- 11-LCM-05 Submission of TANF Claims/FFFS Plans

- 11-LCM-04 Child Support Incentives-Federal Fiscal Years 2009 and 2011

- 11-LCM-03 2011 Summer Youth Employment Program Allocations

- 11-LCM-02-T Local District Emergency Closings and Reporting of Emergency Information

- 11-LCM-02 Local District Emergency Closings and Reporting of Emergency Information

- 11-LCM-01 Claiming Deadlines for Federal and State Reimbursement

INFs

- 11-INF-17 Digest of Laws of 2011 Relating to Programs of the Office of Temporary and Disability Assistance

- 11-INF-16 Introduce LDSS-4973: "Manual Child Support Pass-Through Payment Determination Worksheet"

- 11-INF-15 Process for Requesting Temporary Assistance Recertification Waiver(s)

- 11-INF-14 Revised "Request for Voluntary Restricted Payments" (LDSS-4580)

- 11-INF-13 Limited Revisions to the LDSS-3707, LDSS-4527, LDSS-4732A, LDSS-4732B, and LDSS-4778

- 11-INF-12 Social Security Administration (SSA) Cost-of-Living Adjustment (COLA) for January 2012-SSI Benefits Levels Chart

- 11-INF-11 Rest of State (ROS)-ABEL Initial Grant Proration

- 11-INF-10 Electronic Interim Assistance Reimbursement (eIAR) Implementation Questions and Answers

- 11-INF-09 New Form LDSS-4982 (5/11): "How Do I Apply for Food Stamps?"

- 11-INF-08 Revisions to Manual Client Notices

- 11-INF-07 District Policy Options

- 11-INF-04 Client Benefit Identification Card (CBIC) "Vault" Cards (Upstate Only)

- 11-INF-02 Conditional Certifications for the Work Opportunity Tax Credit (WOTC)

- 11-INF-01 Revisions to LDSS-4230 (Conciliation Notification) GIS Messages

12/06/11 11TA/DC026 SSI COLA and NYSNIP Standard Benefit Amount Adjustments (UPSTATE ONLY)

12/06/11 11TA/DC026 SSI COLA and NYSNIP Standard Benefit Amount Adjustments (NYC ONLY)

11/16/11 11TA/DC025 Processing Requests for Energy Related Emergencies

09/28/11 11TA/DC024 Disaster Food Stamp Benefits Program of 2011 Questions and Answers

09/23/11 11TA/DC023 Extension of Requests for Replacement of Food Stamp Benefits due to Hurricane Irene

09/21/11 11TA/DC022 Instructions for LDSSs Operating a Disaster Food Stamp Benefit Program due to Hurricane Irene

09/20/11 11TA/DC021 Requests for Replacement August Food Stamp Benefits due to Hurricane Irene

09/09/11 11TA/DC020 Temporary Assistance Payments for Emergencies Resulting from Hurricane Irene and Tropical Storm Lee

09/07/11 11TA/DC019 Requests for Replacement Food Stamp Benefits

09/02/11 11TA/DC018 Issuing Replacement Food Stamp Benefits

08/26/11 11TA/DC017 Disaster Preparedness

08/18/11 11TA/DC016 National Voter Registration Act (NVRA) Responsibilities - 2011 Primary Election

08/17/11 11TA/DC015 ROS - Updated Food Stamp Standards for October 1, 2011 (UPSTATE ONLY)

08/17/11 11TA/DC015 NYC - Updated Food Stamp Standards for October 1, 2011 (NYC ONLY)

08/02/11 11TA/DC014 Use of Accurate WMS Payment Types for Temporary Housing (UPSTATE ONLY)

06/30/11 11TA/DC013 Social Service Responsibility for Submission of Voter Registration Forms Received via Electronically Submitted Applications

06/07/11 11TA/DC012 False or Misleading Marital Status Information Admission Statement Form and Food Stamp Program Intentional Program Violations

05/31/11 11TA/DC011 Revisions to LDSS-4725 (TANF Services Certification/ Application Review Form)

04/26/11 11TA/DC010 Delayed Mailing of March Periodic Reports (LDSS-4310)

04/04/11 11TA/DC009 200% of Poverty Income Standards Chart - June 1, 2011 through May 31, 2012

03/25/11 11TA/DC008 Second HEAP Emergency Benefit for 2010-11

04/12/11 11TA/DC007 REISSUED - 2011 Emergency Program Income Guidelines for Emergency Safety Net Assistance (ESNA) and Emergency Assistance to Needy Families with Children (EAF)

03/08/11 11TA/DC006 Temporary Assistance and Food Stamp Implications of the Changes to Medicaid Citizenship Documentation Requirements

03/04/11 11TA/DC005 Redesigned I-551 Permanent Resident Card (Green Card)

02/15/11 11TA/DC004 UPS Required Adjustment to Food Stamp Standard Utility Allowance (SUA) Values (UPSTATE ONLY)

02/15/11 11TA/DC004 NYC Required Adjustment to Food Stamp Standard Utility Allowance (SUA) Values (NYC ONLY)

02/01/11 11TA/DC003 Food Stamp Disaster Plan Local Contacts

02/01/11 11TA/DC002 The Treatment of Tax Refunds and Credits: TA and FS Policy Implications of the "Tax Relief, Unemployment Insurance Reauthorization, and Job Creation Act of 2010"

01/26/11 11TA/DC001 Reminder on the Budgeting of Restored FS Benefits for Households with Individuals who Have Received a Retroactive Determination of SSI Eligibility

DCLs (Child Support)

- 12/19/2011 Approved Paternity Testing Laboratories List
- 12/19/2011 Approved Paternity Testing Laboratories Letter
- 12/01/2011 Quarterly Wage Reporting Chart
- 12/01/2011 Quarterly Wage Reporting of Available Dependent Health Insurance
- 11/09/2011 Multiple Document Data Capture Instructions
- 11/09/2011 Multiple Document Data Capture Enhancements
- 09/16/2011 Administrative Subpoena Forms
- 09/07/2011 Unemployment Insurance Benefits Payment Processing
- 08/31/2011 Administrative Subpoena Forms
- 08/16/2011 Electronic Communication System Enhancements
- 08/11/2011 Employer Reporting of Dependent Health Insurance
- 08/09/2011 New FIDM Contract
- 05/23/2011 Procedural Changes to Rejected Federal Tax Refund Offset Collections
- 03/16/2011 Court-Based License Suspension Process
- 03/10/2011 Enhancements to the Nightly Application Process
- 03/02/2011 Personal Information Form
- 02/18/2011 Electronic Communication System Reject Report
- 01/27/2011 Federal Case Registry Enhancements

OAH PROCEDURES TRANSMITTALS

11-001 Reissued Document Imaging Instructions for Agencies

2010

ADMs

- 10-ADM-07 Provision of Temporary Housing Assistance (THA) to Sex Offenders-Chapter 568 of the Laws of 2008
- 10-ADM-06 2010-11 Flexible Fund for Family Services (FFFS)
- 10-ADM-05-P Purchase of Service Agreements/Memorandum of Understanding for the Child Support Program
- 10-ADM-04 Increase in the Pass-through and Disregard of Support Payments-Phase II
- 10-ADM-03 Providing Temporary Assistance Applicants and Recipients with Information Regarding Sexual Assault
- 10-ADM-02 Legal Services and Cost Recovery for Recipients of Child Support Services
- 10-ADM-01 Changes to Assignment of Support Rights and Child Support Distribution in Current-Assistance Cases

INFs

- 10-INF-24 Digest of Laws of 2010 Relating to Programs of the Office of Temporary and Disability Assistance
- 10-INF-23 Social Security Administration (SSA) Cost-of-Living Adjustment (COLA) for January 2011
- 10-INF-22 Accessing Applications for Temporary Assistance (TA), the Food Stamp Program and to the Home Energy Assistance Program (HEAP)
- 10-INF-21 New myBenefits Poster
- 10-INF-20 Obsolete LDSS-4148D New Information About Temporary Assistance and Food Stamps
- 10-INF-19 Home Energy Assistance Program: Elimination of 105 Day Rule
- 10-INF-18 Revision to the LDSS-4884: Temporary Assistance Energy Emergencies Comparison Table
- 10-INF-17 Revised LDSS-4958 "TA Sanction & Denial Policy/Participation Rate Impact Guide"
- 10-INF-16 Revised LDSS-3152: "Action Taken on Your FS Benefit Case"
- 10-INF-15 Temporary Assistance (TA) Policy Implications Regarding Electronic Interim Assistance Reimbursement (e-IAR) Activation
- 10-INF-14 Electronic Application and Signatures
- 10-INF-13 Revised LDSS-4526 (Rev. 6/2010) Medical Examination for Employability Assessment, Disability Screening, and Alcoholism/Drug Addiction Determination and Release of Cover Letter to Accompany the Request for Medical Information Model Document
- 10-INF-12 Revised LDSS-3668: "Shelter Verification" Form
- 10-INF-11-T Revised LDSS-3087 Application/Recertification Guide Dog Food Program
- 10-INF-10 Temporary Assistance (TA) and Employment Program Requirements for Individuals 18 to 21 Years Old Residing with Their Parent(s)
- 10-INF-09 Temporary Assistance Questions and Answers
- 10-INF-08 Elevate America Program
- 10-INF-07 LDSS-4943 (11/09): "Food Stamp Benefits Categorical Eligibility Desk-Aid"
- 10-INF-06 Revision to the LDSS-4314: "FS Benefits Household Composition Desk Guide"
- 10-INF-05 Revised PUB-4716: Every Day, Seniors Just Like You Get Food Stamps
- 10-INF-04 Revision to PUB-4916: Helping Hands for People in Need Brochure
- 10-INF-03 Revised LDSS-3938 (NYC): "Food Stamp Application Expedited Processing Summary Sheet" and LDSS-4921: "Working Families Food Stamp Initiative Screening Sheet"

- 10-INF-02 Resolution of Doe v. Doar Cases on the WINR9482
- 10-INF-01 Revisions to the LDSS-4148C “What You Should Know If You Have An Emergency, Questions and Answers Book- 3” (Rev.10/09)

LCMs

- 10-LCM-18 Funding for OTDA Transitional Jobs 2 Program
- 10-LCM-16 OTDA Health Care Jobs Corps 2 Program
- 10-LCM-15 OTDA Green Jobs Corps 2 Program
- 10-LCM-14 Supplemental 100% Food Stamp Employment and Training Allocations-FFY 2010
- 10-LCM-13 2010-11 Home Energy Assistance Program (HEAP) Early Mail Out-Additional Administrative Funds
- 10-LCM-12 Food Stamp/Supplemental Nutrition Assistance (SNAP) Administrative Funding under the Defense Bill
- 10-LCM-11 State On-Line Query (SOLQ) System: Policy Guidelines, Ongoing Audit Responsibilities, and Information Security
- 10-LCM-10 Flexible Fund for Family Services (FFFS) 201-2011 Allocations and Child Welfare Services Thresholds
- 10-LCM-09 Submission of TANF Special Project Claims/FFFS Plans
- 10-LCM-08 2010 TANF Summer Youth Employment Program Allocations
- 10-LCM-07 Food Stamp Employment and Training Allocations-FFY 2010
- 10-LCM-06 Funding for Intensive Case Services for Noncompliant Families (ICS4)
- 10-LCM-05 Temporary Assistance Basic Allowance Grant Increase-Payment to Local Districts
- 10-LCM-04 Claiming Deadlines for State Reimbursement Revised
- 10-LCM-03 NY Connects (formerly Long Term Care Point of Entry) Program Year 4
- 10-LCM-02 2010 Census Promotion
- 10-LCM-01 Child Support Incentives - Federal Fiscal Year 2008 (FFY2008)

GIS Messages

12/17/10 10TA/DC029 Issuing Emergency Payments Using WMS Payment Type Codes 13, E8, N1 and Special Claiming Category Code P on Active Non-Temporary Assistance Food Stamp, Active Medicaid Case or at the Time of a Temporary Assistance Application Denial (UPSTATE ONLY)

12/16/10 10TA/DC028 Termination of the \$25 per week UIB Supplement and FS Income Exclusion (UPSTATE ONLY)

12/16/10 10TA/DC028 Termination of the \$25 per week UIB Supplement and FS Income Exclusion (NYC ONLY)

12/01/10 10TA/DC027 Timeframe for Social Service Districts (DSSs) to Report Interim Assistance Reimbursement (IAR) on the e-IAR System

11/23/10 10TA/DC026 Clark v. Astrue: Temporary Assistance (TA) and Food Stamps (FS) Policy for Probation and Parole Violators

11/03/10 10TA/DC025 Processing Requests for Energy Related Emergencies

10/01/10 10TA/DC024 Extension of the Validity of Puerto Rico Birth Certificates

09/23/10 10TA/DC023 Delay in the Implementation of the Electronic Interview Assistance Reimbursement (e-IAR) Project

08/18/10 10TA/DC022 UPS - Updated - Food Stamp Standards for October 1, 2010 (UPSTATE ONLY)

08/18/10 10TA/DC022 NYC - Updated - Food Stamp Standards for October 1, 2010 (NYC ONLY)

08/13/10 10TA/DC021 EBT Cash and Food Stamp Adjustment due to Network Outage on July 15 and 16, 2010

08/05/10 10TA/DC020 Budgeting of Extended Unemployment Insurance Benefits (UIB) and Treatment of the \$25.00 Weekly Federal Additional Compensation (FAC) Payments (UPSTATE ONLY)

08/05/10 10TA/DC020 Budgeting of Extended Unemployment Insurance Benefits (UIB) and Treatment of the \$25.00 Weekly Federal Additional Compensation (FAC) Payments (NYC ONLY)

07/22/10 10TA/DC019 Documentation of Unemployment Insurance (UI) Benefits (UPSTATE ONLY)

07/09/10 10TA/DC018 Amendment to Law 191 Invalidating Puerto Rico Birth Certificate

06/21/10 10TA/DC017 Availability of “Know Your Options: Relatives Caring for Children”

06/18/10 10TA/DC016 Law 191 Invalidating Puerto Rican Birth Certificates

06/02/10 10TA/DC015 Authorization of Energy Assistance for Emergencies for Grantees Receiving Supplemental Security Income (SSI)

06/01/10 10TA/DC014 Update on the 2010 Earned Income Disregard (EID) and Poverty Level Test

04/14/10 10TA/DC013 Extension of Case Services 4 Program Period

03/26/10 10TA/DC012 Extension of the 2009 Emergency Program Income Guidelines for Emergency Safety Net Assistance (ESNA) and Emergency Assistance to Needy Families with Children (EAF)

03/10/10 10TA/DC011 Availability of “Having a Voice and a Choice: New York State Handbook for Relatives Raising Children”

03/05/10 10TA/DC010 The Treatment of Making Work Pay and Child Tax Credits for TA, MA, and FS

02/18/10 10TA/DC008 REVISED Treatment of 2010 Census Temporary Income using new Earned Income Source Code ‘75 - Census Income (PA Only) and Exclusion of Temporary Census Income from Food Stamp Budgeting

02/16/10 10TA/DC007 Treatment of 2010 Census Temporary Income using new Earned Income Source Code ‘75 - Census Income (PA Only) and Exclusion of Temporary Census Income from Food Stamp Budgeting

02/12/10 10TA/DC006 Laser-printed NTA FS Recertification Applications

02/12/10 10TA/DC005 Elimination of the Eight Month Time Limit for Afghan and Iraqi Special Immigrants to Receive Refugee Benefits

02/05/10 10TA/DC004 False or Misleading Marital Status Information and Food Stamp Program Intentional Program Violations

01/22/10 10TA/DC003 Reminder of Change to Medicare Part B Premium

01/14/10 10TA/DC002 Extension of the Final Date for Resolution of Potentially Eligible Households for the 2009 Back-to-School One-Time Payment (UPSTATE ONLY)

01/08/10 10TA/DC001 Exclusion of \$25 per week UIB Supplement from Food Stamp Income (UPSTATE ONLY)

01/08/10 10TA/DC001 Exclusion of \$25 per week UIB Supplement from Food Stamp Income (NYC ONLY)

DCLs (Child Support)

- 12/29/2010 Title IV-E Foster Care Case Changes in CSMS
- 10/29/2010 CSMS IVDJRH Screen
- 10/29/2010 CSMS IVDJCI Screen
- 10/29/2010 CSMS Medical Indicator Values Chart
- 10/29/2010 CSMS CSSA Module
- 10/29/2010 CSMS Changes and Revised Medical Support Documents
- 10/15/2010 Noncustodial Parent Poverty-Level Pilot Summary
- 10/15/2010 Noncustodial Parent Poverty-Level Pilot
- 10/13/2010 Banking Services Enhancements
- 10/07/2010 Low Income Support Obligation Performance Improvement Act (LISOPIA)
- 09/22/2010 Child Support Services Available to Noncustodial Parents Attachment
- 09/22/2010 Child Support Services Available to Noncustodial Parents

- 05/26/2010 Interstate Central Registry Document Processing
- 05/04/2010 Incarcerated Noncustodial Parents DOCS Website Lookup
- 05/04/2010 Incarcerated Noncustodial Parents
- 03/10/2010 Childs Support Standards Act Letter
- 02/17/2010 Purging Case History Transactions
- 01/11/2010 Tax Offset Process Changes
- 2009
- ADMs
 - 09-ADM-24 Changes to 18 NYCRR 350.4 Impacting the Safety Net Assistance (SNA) Application Supplement and the Recognition of Same Sex Marriages
 - 09-ADM-22 Food Stamp Transitional Benefit Alternative (TBA) for Households with Children Leaving Safety Net Assistance
 - 09-ADM-21 Temporary Assistance Excess Support Process and Procedures for Reviewing Cases that have Support Collections in Excess of the Temporary Assistance Deficit
 - 09-ADM-20 Employment Sanctions and the Redetermination of Benefits
 - 09-ADM-19 Changes to Assignment of Support Rights and Child Support Distribution for Recipients of Temporary Assistance
 - 09-ADM-18 Temporary Assistance (TA) Policy Implications of Implementation of Electronic Interim Assistance Reimbursement (e-IAR)
 - 09-ADM-17 Changes to the Utility Arrears Repayment Agreement Terms and Enforcement Option
 - 09-ADM-16 Enrollments in Educational Activities for Nonexempt Public Assistance Applicants and Recipients
 - 09-ADM-15 Change in the Requirement to Recover Temporary Assistance (TA) Overpayments
 - 09-ADM-14 Employment Assessments for Exempt Public Assistance Applicants and Recipients in Households Without Dependent Children
 - 09-ADM-13 Temporary Assistance Basic Allowance Grant Increase
 - 09-ADM-12 2009-10 Flexible Fund for Family Services (FFFS) (re-issued)
 - 09-ADM-11 Transitional Employment Advancement Program (TEAP)
 - 09-ADM-10 Shelter Supplementation Plans for Safety Net Assistance Single Adults and Childless Couples
 - 09-ADM-09 Essential Persons
 - 09-ADM-08 Participation in Food Stamp Program by Students in Institutions of Higher Education
 - 09-ADM-07 Property Execution Procedures for Mistake of Fact and/or Exempt Money Claims
 - 09-ADM-06 Expansion of Categorical Eligibility for Food Stamps for Households with Out-of-pocket Dependent Care Expenses
 - 09-ADM-05 Temporary Assistance Budgeting: 2009 Changes to the Earned Income Disregard and the Poverty Level Income Test
 - 09-ADM-04 Temporary Assistance (TA) Payment Type Codes--Designations as Assistance or Non-Assistance
 - 09-ADM-03 Change to the AIDS/HIV-related Illness Emergency Shelter Allowance
 - 09-ADM-02 Income Execution Process
 - 09-ADM-01 New York State Anti-Trafficking Statute
- INFs
 - 09-INF-24 Questions and Answers from September 2009 Conference Call on the Transitional Employment Advancement Program (TEAP)
 - 09-INF-22 Social Security Administration (SSA) Cost-of-Living Adjustment (COLA) for January 2010 - SSI Benefit Levels Chart
 - 09-INF-21 Revision to LDSS-4887 Mail-in recertification/Eligibility Questionnaire
 - 09-INF-20 Countability of Job Search and Job Readiness Training Activities
 - 09-INF-19 Revisions to LDSS-4836, LDSS-4836-SP, LDSS-4836-NYC, LDSS-4836-SP NYC, LDSS-4841, and LDSS-4841-SP
 - 09-INF-18 5/08 Revisions to the LDSS-4826: "Food Stamp Benefits Application/Recertification" and LDSS-4826A: "How to complete the Food Stamp Benefits Application/Recertification"
 - 09-INF-17 Addendum to the Client Information Books – LDSS-4148D: "New Information About Temporary Assistance and Food Stamps"
 - 09-INF-16 LDSS-4942: "Food Stamp Program Authorized Representative Request Form" (3/09)
 - 09-INF-15 Re-instatement of form LDSS-2640: "Request for Action/Services"
 - 09-INF-13 Revised LDSS-1410: Life Insurance Information Request-Prudential and Met Life
 - 09-INF-12 Program Integrity Questions and Answers
 - 09-INF-11 Local District Security Provisions for Hearing Officers
 - 09-INF-10 Modifications made to the Upstate CSMS 8649: "Obligation and Collection Greater Than Assistance Granted" Report and New York City CSMS 8649: "Obligation and Collection Greater Than Assistance Granted" Report
 - 09-INF-09 Revised PUB-4596: EBT Training Brochure
 - 09-INF-08 Distance Learning Education and Training
 - 09-INF-07 Introduce the LDSS-4958: "TA Sanction & Denial Policy/Participation Rate Impact Guide"
 - 09-INF-06 Revisions to the LDSS-4148B - What You Should Know About Social Services Programs – Book 2
 - 09-INF-05 Revisions made to the LDSS-4279: "Notice of Responsibilities and Rights for Support" and LDSS-4279 SP: "Notice of Responsibilities and Rights for Support Spanish"
 - 09-INF-04 Digest of Laws of 2007 Relating to programs of the Office of Temporary and Disability Assistance
 - 09-INF-03 Temporary Assistance (TA) Case Composition When a Child Attains Age 18
- 09-INF-02 WorkPays NY: Introduction of the WorkPays NY Promotional Material and Resource Guide/Checklist
- LCMs
 - 09-LCM-19 Discontinuation of SOLQ Access For HEAP Eligibility Workers and Alternate Certifiers Effective January 1, 2010
 - 09-LCM-18 Stimulus Food Stamp / Supplemental Nutrition Assistance Program Administrative Funding under the American Recovery and Reinvestment Act
 - 09-LCM-17 New Supplemental Security Income (SSI) Local Management Report
 - 09-LCM-16 Availability of Safety Net Assistance Project (SNAP 8) Funding
 - 09-LCM-15 2010-2011 Biennial Temporary Assistance and Food Stamp Employment Plan
 - 09-LCM-14 Supplemental 100% Food Stamp Employment and Training Allocations – FFY 2009
 - 09-LCM-14 Supplemental 100% Food Stamp Employment and Training Allocations – FFY 2009
 - 09-LCM-13 Systems Availability Schedule
 - 09-LCM-12 Adjustment of Transaction Charges for the Federal Systematic Alien Verification for Entitlements (SAVE) Program
 - 09-LCM-11 OTDA Transitional Jobs Program
 - 09-LCM-10 OTDA Health Care Jobs Program
 - 09-LCM-09 OTDA Green Jobs Corps Program
 - 09-LCM-08 Funding for Community Solutions for Transportation (CST)
 - 09-LCM-07 Submission of TANF Special Project Claims/FFFS Plans
 - 09-LCM-06 NY Connects (formerly Long Term Care Point of Entry) Program Year 3
 - 09-LCM-05 Food Stamp/Supplemental Nutrition Assistance Program Administrative Funding under the American Recovery and Reinvestment Act

- 09-LCM-04 Food Stamp Employment and Training Allocations—FFY 2009
- 09-LCM-03 2009 TANF Summer Youth Employment Program (SYEP) Allocations
- 09-LCM-02 Support Incentives—Federal Fiscal Year 2007 (FFY 2207)
- GIS Messages
- 12/15/09 09TA/DC036 Randomization of CBIC Numbering
- 12/02/09 09TA/DC035 Food Stamp Disaster Plan Local Contacts
- 11/16/09 09TA/DC034 CNS/WMS Alcohol & Substance Abuse Sanction Code Changes (UPSTATE ONLY)
- 11/03/09 09TA/DC033 Meeting Energy Emergencies with HEAP
- 10/28/09 09TA/DC032 Changes to Pass-through and Disregard of Support Payments Effective January 1, 2010 (NYC ONLY)
- 10/20/09 09TA/DC031 Work Activity Attendance Documentation
- 10/14/09 09TA/DC029 Resolution of Potentially Eligible Households for the 2009 Back-to-School One-Time Payment (UPSTATE ONLY)
- 09/28/09 09TA/DC028 TA Policy and District of Fiscal Responsibility (DFR) Procedures, Mediation and Intervention Services
- 09/21/09 09TA/DC027 Save the Date for Upstate Temporary Assistance (TA) Conference Calls (UPSTATE ONLY)
- 09/01/09 09TA/DC026 Extension of the Repayment Terms of the Utility Arrears Repayment Agreement
- 08/27/09 09TA/DC025 SOLQ Reference Guide Revised August 2009 and Available Online
- 08/18/09 09TA/DC024 Updated - Food Stamp Standards for October 1, 2009 (UPSTATE ONLY)
- 08/18/09 09TA/DC024 Updated - Food Stamp Standards for October 1, 2009 (NYC ONLY)
- 08/14/09 09TA/DC023 Revised LDSS-3938 (NYC): “Food Stamp Application Expedited Processing Summary Sheet (NYC ONLY)
- 08/11/09 09TA/DC022 Human Trafficking Program Liaison
- 08/05/09 09TA/DC021 2009 Back to School One-Time Payment
- 07/28/09 09TA/DC020 Revised LDSS-4921: “Working Families Food Stamp Initiative Screening Sheet”
- 07/27/09 09TA/DC019 USDA Clarification on Action for Returned Mail for Simplified (Six Month) Reporters
- 07/06/09 09TA/DC017 Changes to Pass-through and Disregard of Support Payments effective July 1, 2009 (UPSTATE ONLY)
- 07/06/09 09TA/DC016 Transitional Food Stamp Benefits for Participants of the TA Grant Diversion Programs (TEAP)
- 06/30/09 09TA/DC015 Federal Minimum Wage Increase and Its Effects on Temporary Assistance and Food Stamp Programs
- 05/08/09 09TA/DC013 Discontinuance of Upstate WRTS Production Reports (UPSTATE ONLY)
- 04/21/09 09TA/DC012 200% of Poverty Income Standards Chart - June 1, 2009 through May 31, 2010
- 04/10/09 09TA/DC011 TA, FS, and HEAP Treatment of American Recovery and Reinvestment Act (ARRA) of 2009 \$25 Per Week Increase to Unemployment Insurance Benefits (UIB)
- 03/31/09 09TA/DC010 Notification of date of the automated process to implement change to the treatment of certain payment type codes discussed in 09 ADM-04
- 03/19/09 09TA/DC009 Treatment of the One-Time Stimulus Payments from the American Recovery and Reinvestment Act (ARRA) of 2009
- 03/17/09 09TA/DC008 Updated Food Stamp Standards for April 1, 2009 (UPSTATE ONLY)
- 03/17/09 09TA/DC008 Updated Food Stamp Standards for April 1, 2009 (NYC ONLY)
- 03/10/09 09TA/DC006 Use of “Financial Statement” (LDSS-3596) for Utility Arrearage Assistance and the Updated Food/Non-Food Expense Guidelines
- 03/10/09 09TA/DC005 Return Date for Mailing of LDSS-4130

Periodic Reports to Six-Month Reporting Food Stamp Households (UPSTATE ONLY)

02/11/09 09TA/DC003 2009 Emergency Program Income guidelines for Emergency Safety Net Assistance (ESNA) and Emergency Assistance to Needy Families with Children (EAF)

01/16/09 09TA/DC002 NYSNIP Standard Benefit Amount Adjustments (NYC ONLY)

01/09/09 09TA/DC001 NYSNIP Standard Benefit Amount Adjustments (UPSTATE ONLY)

DCLs (Child Support)

- 11/17/2009 Electronic Communication System Enhancements
- 08/19/2009 Child Support Legislation
- 06/19/2009 Banking Services: SafePass Tokens
- 06/01/2009 Customer Service Helpline: Third Party Referrals
- 03/27/2009 Issuance of the Income Withholding for Support to Employers
- 03/05/2009 Listing of In-Network Automated Teller Machines
- 03/05/2009 EPPI Card Brochure
- 03/05/2009 New Contract for Centralized Support Collection and Enforcement
- 02/18/2009 Request to Withdraw Passport Denial Form
- 02/18/2009 Change to Request to Withdraw Passport Denial Form
- 01/29/2009 Income Execution Resources Letter

OAH PROCEDURES TRANSMITTALS

09-02 Appearances at Upstate Hearings

2008

ADMs

- 08-ADM-12 Support NCP Poverty Level Pilot Initiative
- 08-ADM-11 Interim Assistance Reimbursement (IAR) Consolidated Policy and Procedures
- 08-ADM-10 Deficit Reduction Act (DRA) Annual Service Fee for Child Support
- 08-ADM-09 Farm Bill Reauthorization Provisions of Food Stamp Program for 2008
- 08-ADM-08 Increase in the Pass-through and Disregard of Support Payments
- 08-ADM-07 Implementation of Final Temporary Assistance for Needy Families (TANF) Rule
- 08-ADM-06 Social Security Administration Automation of Interim Assistance Reimbursement (IAR), Direct Deposit Authorization for IAR Payments, Government to Government Services Online (GSO) Registration, and Monthly IAR E-Report
- 08-ADM-05 SSI- Screening/ Identification, Referral and Tracking Requirements
- 08-ADM-04 Treatment of Income from Adoption Subsidy Payments and Foster Care Payments in Determining Food Stamp Program Eligibility and Benefit Amount
- 08-ADM-03 Substance Abuse Treatment for Adolescents – Conversion of Some Facilities that Treat Adolescents from Congregate Care Level 2 to Medical Facilities Under Residential Rehabilitation Services for Youth (RRSY)
- 08-ADM-02 2008-09 Flexible Fund for Family Services (FFFS)

INFs

- 08-INF-19 Social Security Administration (SSA) Cost-of-Living Adjustment (COLA) for January 2009-SSI Benefit Levels Chart
- 08-INF-18 Revisions to LDSS-4925: “Employability Code Desk Guide TANF and SN MOE” and LDSS-4926: “Employability Code Desk Guide SN non-MOE”
- 08-INF-17 Temporary Assistance: Financial Institution Resource Clearance
- 08-INF-16 Non-Parent Caregivers and Good Cause
- 08-INF-15 Revision to LDSS-3696 “Job Search Handbook”
- 08-INF-14 Temporary Assistance (TA): Income Eligibility Verification System (IEVS) 1099 Processing for Local Districts

- 08-INF-12 Pathways and Similar Case Management Models
- 08-INF-11 Revised and Reformatted PUB-4596: EBT Training Brochure
- 08-INF-10 Employment Verifications- Local District Commitment to a Revenue Intercept for Utilization of TALX Corporation eXpress Services under the OTDA Statewide Contract with TALX Corporation
- 08-INF-09 Temporary Assistance Questions and Answers
- 08-INF-08 Verified Employment Data (VED) Information Added to Upstate RFI
- 08-INF-07 Working Families Food Stamp Initiative Q and As
- 08-INF-06 Online availability of the LDSS-4903: "Disqualification Consent Agreement" and LDSS-4904: "Notice of Consequences to a Disqualification Consent Agreement"
- 08-INF-05 LDSS-4905: Domestic Violence Information for All Temporary Assistance Applicants
- 08-INF-03 Categorical Eligibility for Food Stamps Q & As
- 08-INF-02 LDSS-4583: Domestic Violence Screening Form (Rev. 9/07)
- LCMs
 - 08-LCM-13 Funding for Intensive Case Services for Noncompliant Families (ICS3)
 - 08-LCM-12 Availability of Safety Net Assistance Project (SNAP) Funding
 - 08-LCM-11 Automated Termination of Food Stamp (FS) Claims
 - 08-LCM-10 Supplemental 100% Food Stamp Employment and Training Allocations - FFY 2008
 - 08-LCM-09 Home Energy Assistance Program (HEAP) Office of the State Comptroller (OSC) Payment Process
 - 08-LCM-08 NY Connects (formerly Long Term Care Point of Entry Program)
 - 08-LCM-07 Work Incentive Fund Allocations 2008-09
 - 08-LCM-06 Local Administration Fund (LAF) Base Allocations 2008-2009
 - 08-LCM-05 Funding Available for Community Solutions for Transportation (CST)
 - 08-LCM-04 2008-09 Home Energy Assistance Program (HEAP) Targeted Mail Out
 - 08-LCM-03 Submission of TANF Special Project Claims/FFFS Plans
 - 08-LCM-02 Child Support Incentives-Federal Fiscal Year 2006 (FFY 2006)
 - 08-LCM-01 Food Stamp Employment and Training Allocations - FFY 2008
- GIS Messages
 - 12/23/08 08TA/DC034 Extension of the Ten Day Reporting Deadline for Request of Replacement Food Stamp Benefits
 - 12/22/08 08TA/DC033 Survey of Social Services Districts (SSDs) for Information Needed to Implement New Laws Regarding Temporary Housing for Sex Offenders
 - 12/19/08 08TA/DC032 Food Stamp Budgeting Reminder: Increased Standard Utility Allowances
 - 12/17/08 08TA/DC031 (REVISED) Issuing Replacement Food Stamp Benefits and Emergency Food Replacement (UPSTATE ONLY)
 - 11/25/08 08TA/DC029 Estimating Automobile Value: Change in the National Automobile Dealers Association (NADA) Pricing Terminology
 - 11/25/08 08TA/DC028 2008 Food Stamp Bonus Award Allocation
 - 11/14/08 08TA/DC027 OMRDD Regional Meetings and Food Stamp Applications for Group Home Residents
 - 11/05/08 08TA/DC026 Suspending Enforcement of Utility Repayment Agreements during the Cold Weather Period
 - 10/17/08 08TA/DC025 Claims Establishment for Food Stamp Cases Affected by Deletion of Adoption Subsidy Income during the ALL MRB/A (UPSTATE ONLY)

- 10/06/08 08TA/DC024 Meeting Energy Emergencies with HEAP
- 09/30/08 08TA/DC023 Increase in Pass-through and Disregard of Support Payments
- 09/24/08 08TA/DC022 Group Home and Congregate Care Budgeting (UPSTATE ONLY)
- 09/24/08 08TA/DC022 Group Home and Congregate Care Budgeting (NYC ONLY)
- 09/18/08 08TA/DC021 Use of the "Financial Statement (LDSS-3596) for Utility Arrearage Assistance and the Updated 2007-08 Food/Non-Food Guidelines
- 09/15/08 08TA/DC020 Electronic IAR Phone Conference
- 08/14/08 08TA/DC019 Updated – Food Stamp Standards for October '08 (NYC ONLY)
- 08/14/08 08TA/DC019 Updated - Food Stamp Standards for October'08 (UPSTATE ONLY)
- 07/30/08 08TA/DC018 Food Stamp Notice of Missed Interview (NOMI) Notice
- 07/24/08 08TA/DC017 Federal Minimum Wage Increase & Food Stamp Work Exemption
- 07/22/08 08TA/DC016 New Policy and Closing Codes for Fleeing Felons and Probation and Parole Violators (NYC ONLY)
- 07/22/08 08TA/DC016 New Policy and Closing Codes for Fleeing Felons and Probation and Parole Violators (UPSTATE ONLY)
- 07/22/08 08TA/DC015 National Voter Registration Act (NVRA) Responsibilities – 2008 General Election
- 06/26/08 08TA/DC014 SDX Manual Renamed SDX Reference Guide, Revised March 2008, and Available Online
- 06/19/08 08TA/DC013 Automated Termination of Food Stamp (FS) Claims
- 05/28/08 08TA/DC012 Social Services District Responsibility for Updating District Specific Information Found on the OTDA Intranet and CentraPort
- 04/22/08 08TA/DC011 200% of Poverty Income Standards Chart - June 1, 2008 through May 31, 2009
- 04/08/08 08TA/DC010 Addition of Verified Employment Data (VED) to RFI for ALL Upstate LDSS's (UPSTATE ONLY)
- 04/08/08 08TA/DC009 Federal Economic Stimulus Tax Rebate
- 04/04/08 08TA/DC008 Guidance of Afghan & Iraqi Nationals Granted Special Immigrant Status by the U.S. Citizenship & Immigration Services (USCIS)
- 03/20/08 08TA/DC007 Third Set of Conference Calls Regarding Necessary Action on Closed Cases Discussed in 07 ADM-06 Doe v. Doar - Unfavorable Appellate Court Decision on the Proration Policy transmitted in 04 ADM-05 "TA & Non-TA Mixed Households, Budgeting when the Family Includes an SSI Member"
- 03/20/08 08TA/DC006 2008 Emergency Program Income Guidelines for ESNA & EAF
- 03/07/08 08TA/DC005 Addition of Verified Employment Data (VED) to RFI (UPSTATE ONLY)
- 02/29/08 08TA/DC004 Categorical Eligibility for Food Stamps / Helping Hands Brochure
- 02/08/08 08TA/DC003 Updates to the Temporary Assistance & Food Stamp Policy Manual
- 01/17/08 08TA/DC002 Public Housing Authority Shelter Allowance Change in Approval Process
- 01/11/08 08TA/DC001 Temporary Assistance: Safety Net Assistance (SNA) 45 Day Application Period During a Leap Year
- DCLs (Child Support)
 - 12/30/2008 Impact of Statutory Amendments on PEX and PIC Processes
 - 11/25/2008 Enhanced Driver's License Program
 - 11/20/2008 Poverty-Level Noncustodial Parent Modification and Arrears Pilot
 - 09/26/2008 Tax Law Change and Offset of STAR Rebates
 - 06/30/2008 Money Screen Confidentiality

- 05/19/2008 Desk Review Confirmation Notice
- 05/19/2008 Desk Review Confirmation Notification Procedure
- 04/22/2008 Desk Review Request Form and Instructions
- 04/22/2008 Desk Review Request Form Letter

OAH PROCEDURES TRANSMITTALS

08-13a Attachment New Scripted Letter J Varshavsky Withdrawals

08-13 New Scripted Letter J Varshavsky Withdrawal Procedures

08-04 Reissued Document Imaging Instructions for Local Agencies 2007

ADMs

- 07-ADM-10 The Working Families Food Stamp Initiative (WFFSI)
- 07-ADM-09 Categorical Eligibility for Food Stamps
- 07-ADM-08 Safety Net Assistance (SNA) Households Without Dependent Children (Singles and Childless Couples) Work Activity Definitions and Participation Rate Methodology
- 07-ADM-07 Unaccompanied Refugee Minors (URM) Program
- 07-ADM-06 Doe v. Doar – Unfavorable Appellate Court Decision on the Proration Policy Transmitted in 04 ADM-05 “Temporary Assistance and Non-Temporary Assistance Mixed Households; Budgeting When the Family Includes an SSI Member”
- 07-ADM-05 Food Stamp Change Reporting for Ten-Day Reporters
- 07-ADM-04 2007-08 Flexible Fund for Family Services (FFFS)
- 07-ADM-03 The Recoupment of Duplicate Restricted Rental Payments and Change of Timely Reporting Requirements from Five (5) Business Days to Ten (10) Calendar Days
- 07-ADM-01 Temporary Assistance Procedures: Elimination of Quarterly Reporting System (QRS) Requirements and Welfare-To-Work Procedures: Documenting Hours of Paid Employment

INFs

- 07-INF-16 Social Security Administration (SSA) Cost-of-Living Adjustment (COLA) for January 2008 - SSI Benefit Levels Chart
- 07-INF-15 LDSS-4579: “Alien Eligibility Desk Aid” (Revised 10/07)
- 07-INF-14 Child Only Questions and Answers
- 07-INF-13 Introducing the Electronic Benefit Transfer (EBT) Cardholder Account Overview Guide
- 07-INF-12 Office of Temporary and Disability Assistance (OTDA) Restructuring
- 07-INF-11 Office of Alcoholism and Substance Abuse Services (OASAS) Tobacco-Free Prevention and Treatment Programs
- 07-INF-10 Automated Case Closing of Computer Match Hits (Auto Close)
- 07-INF-09 LDSS-4905: Domestic Violence Information for All Temporary Assistance Applicants (previously known as the “Hand-Out to All Applicants for Welfare” LDSS-4594, 4594-NYC, 4594-S, 4594-S-NYC: “Notification of Decision on a Waiver” LDSS-4595, 4595-NYC, 4595-S, 4595-S-NYC: “Notification of Decision on a Continuation of Waiver”)
- 07-INF-08 Lottery Intercept Reporting to Local Districts
- 07-INF-06 Revisions to the LDSS-4148A - What You Should Know About Your Rights and Responsibilities (When Applying For or Receiving Social Services)
- 07-INF-05 Revision to LDSS-4530: Assignment of Wages, Salary, Commissions or Compensation For Services
- 07-INF-04 Revisions to 22 Mandatory Client Notices
- 07-INF-03 Recovery/Recoupment Due to Fleeing Felon and Probation/Parole Violator Status
- 07-INF-02 Revisions to “Action Taken On Your Request For Assistance To Meet An Immediate Need or A Special Allowance” (LDSS-4002)
- 07-INF-01 Social Security Numbers for Aliens without United States Citizenship and Immigration Services (USCIS) Work Authorization

LCMs

- 07-LCM-16 Financial Management Procedures for Social Security Administration Representative Payees - Revised
- 07-LCM-15 Federal Requirements for Work Participation Documentation and Reporting
- 07-LCM-14 Food Stamp Bonus Award Allocation
- 07-LCM-13 2008-2009 Temporary Assistance and Food Stamp Employment Plan
- 07-LCM-12 Food Stamp Employment and Training Allocations – FFY 2007
- 07-LCM-11 2007-2008 Home Energy Assistance Program (HEAP) – Client Notice System (CNS) Early Mail-Out
- 07-LDM-10 Food Stamp Management Evaluation Procedures
- 07-LDM-09 Availability of Funds to Provide Services to Safety Net Assistance Recipients (SNAP – Safety Net Assistance Project)
- 07-LCM-08 e-HEAP Electronic Workbook and e-File
- 07-LCM-07 Funding for Intensive Case Services for Noncompliant Families
- 07-LCM-06 Funding Available for Community Solutions for Transportation (CST)
- 07-LCM-05 Local Administration Fund Allocations 2007-2008
- 07-LCM-04 SDX – SSI Individual Status Screen on WMS
- 07-LCM-03 Local District Emergency Closings
- 07-LCM-02 Submission of TANF Special Project Claims/FFFS Plans
- 07-LCM-01 Child Support Incentives - Federal Fiscal Year 2005 GIS Messages

12/18/07 07TA/DC022 NYSNIP Standard Benefit Amount Adjustments

12/14/07 07TA/DC021 Working Families Food Stamp Initiative Conference Informational Calls – December 20, 2007

12/14/07 07TA/DC020 Categorical Eligibility for Food Stamps

12/05/07 07TA/DC019 Food Stamp Program Group Home Standard Benefits (GHSB) Adjustments

11/29/07 07TA/DC018 Meeting Energy Emergencies

11/16/07 07TA/DC017 Food Stamp Bonus Award Allocation

11/15/07 07TA/DC016 Distribution of “Child Care Letter” Lists

UPSTATE ONLY

10/30/07 07TA/DC015 “In Lieu of TA Child Care” Dear Parent Letter

10/17/07 07TA/DC014 Conference Calls regarding implementation issues related to 07 ADM-06 Doe v. Doar - Unfavorable Appellate Court Decision on the Proration Policy transmitted in 04 ADM-05 “Temporary Assistance and Non-Temporary Assistance Mixed Households; Budgeting when the Family includes an SSI Member” (UPSTATE ONLY)

09/27/07 07TA/DC012 Use of the “Financial Statement” (LDSS-3596) for Utility Arrearage Assistance and the Updated 2007-08 Food/Non-Food Guidelines

09/14/07 07TA/DC011 Doe v. Doar: Court Decision Invalidates Policy in 18 NYCRR 352.2(b) and 04 ADM-5 “Temporary Assistance and Non-Temporary Assistance Mixed Households-Budgeting When Family Includes an SSI Member”

08/27/07 07TA/DC010 Interim Assistance Reimbursement (IAR) for More than One Social Services District

08/21/07 07TA/DC009 Substance Abuse Treatment for Adolescents - Conversion of Some Facilities that Treat Adolescents from Congregate Care Level II to Medical Facilities Under Residential Rehabilitation Services for Youth (RRSY)

08/14/07 07TA/DC008 Updated-Food Stamp Standards for October 1, 2007 (UPSTATE ONLY)

08/14/07 07TA/DC008 Updated-Food Stamp Standards for October 1, 2007 (NYC ONLY)

08/07/07 07TA/DC007 Federal Minimum Wage Increase and Food Stamp Exemption

08/01/07 07TA/DC006 Reporting Excused Absences from Countable Unpaid Work Activities as Hours of Participation for Households with Dependent Children

06/06/07 07TA/DC005 Delayed Mailing of LDSS-4310 Periodic Reports to Six-Month Reporting Food Stamp Households

03/30/07 07TA/DC004 2007 Emergency Program Income Guidelines for Emergency Safety Net Assistance (ESNA) and Emergency Assistance to Needy Families with Children (EAF)

03/20/07 07TA/DC003 200% of Poverty Income Standards Chart June 1, 2007 through May 31, 2008

03/01/07 07TA/DC002 Client Satisfaction Survey

02/20/07 07TA/DC001 Permanently Residing Under the Color of Law (PRUCOL)

DCLs Child Support

- 06/29/2007 MEDX Appellate Court Decision
- 06/01/2007 Release of Information to a Requesting Party
- 05/09/2007 Retroactive Modification of Support and Crediting of Overpayments

OAH PROCEDURES TRANSMITTALS

07-03a Hearing Room Display Signs and Instructions Attachment

07-03 Hearing Room Display Signs and Instructions

2006

ADMs

- 06-ADM-15 School Attendance of Homeless Children - Revised
 - 06-ADM-14 Electronic Benefit Transfer (EBT) Customer Service Automated Response Unit (ARU) Personal Identification Number (PIN) Selection Restriction
 - 06-ADM-13 National Directory of New Hires (NDNH) Computer Match
 - 06-ADM-12-T Noncustodial Parent New York State Earned Income Tax Credit (NCP NYS EIC) - Procedures
 - 06-ADM-10 Temporary Assistance (TA) Mail-in Recertification Process Revised
 - 06-ADM-09 Velazquez Cases – Special Instructions Part 3
 - 06-ADM-08 2006-07 Combined TANF Allocation
 - 06-ADM-07 District of Fiscal Responsibility for Homeless Placements Out of District Revised
 - 06-ADM-06 Coordination of Temporary Assistance Employment and Eligibility Requirements for Individuals Who Are Required to Apply for SSI
 - 06-ADM-05 Providing Access to Temporary Assistance Programs for Persons with Disabilities and/or Limited English Proficiency (LEP) Revised
 - 06-ADM-02 Temporary Assistance: Income Eligibility Verification System (IEVS) 1099 Processing
 - 06-ADM-01 Lifeline Telephone Service
- INFs
- 06-INF-34 Domestic Violence Residential Programs – Payment Issues and District of Fiscal Responsibility (DFR)
 - 06-INF-32 Digest of Laws of 2006 Relating to Programs of the Office of Temporary and Disability Assistance
 - 06-INF-30 New Desk Guide – LDSS-4888: “School Attendance Desk Guide” (7/06)
 - 06-INF-29 Revisions to 12 Mandatory Client Notices
 - 06-INF-28 Revised 9/06 version of the LDSS-4778: “Calculations of Total Overpayment Amount”
 - 06-INF-27 Revision of the LDSS-4731: “District of Fiscal Responsibility (DFR) Desk Guide” (Rev. 7/06)
 - 06-INF-26 Investigative Unit Operations Plan
 - 06-INF-25 Consolidated Shelter Arrears Policy and Emergency Safety Net Assistance Shelter Arrears Repayment Agreement - Revised
 - 06-INF-24 Contract with TALX Corporation for Employment and Income Verification Services via the “Work Number” and Local District Contact Information Request for the TALX Corporation and the National Directory of New Hires computer match

- 06-INF-22 Clarification of DFR Procedures for Parolees
 - 06-INF-21 Temporary Assistance Questions and Answers
 - 06-INF-20 Clarification of the Treasury Offset Program (TOP) Collection Process
 - 06-INF-19 Medicare Part D Prescription Drug Plan and End of the Medicare-Approved Prescription Drug Discount Card Program Deduction
 - 06-INF-18 LDSS-4884: Temporary Assistance Energy Emergencies Comparison Table
 - 06-INF-17 LDSS-4863 Medical Information Release Form
 - 06-INF-16 Program Integrity Questions and Answers
 - 06-INF-15 Revisions to the LDSS-3174 Recertification Form for Temporary Assistance, Medical Assistance, Medicare Savings Program and Food Stamp Benefits and Pub-1313 How to Complete the LDSS-3174
 - 06-INF-14 Battered Aliens Eligibility for Benefits Revised
 - 06-INF-12 Clarification of Policy Regarding Verification of School Attendance Revised
 - 06-INF-11 Family Violence Option Policy Clarifications
 - 06-INF-10 Computer Matching Clarification for Food Stamps
 - 06-INF-09 Revisions for the LDSS-3151: Food Stamp Change Report form (Rev. 1/06)
 - 06-INF-07 Changes in Expungement Procedures
 - 06-INF-06 State Tax Refund Offset Program (STROP): Watts v. Wing Settlement Terms
 - 06-INF-05 Welfare-To-Work Regulatory Citation Changes
 - 06-INF-04 HEAP 2006-2007 Needs Assessment Public Hearings
 - 06-INF-03 Welfare-To-Work Employment Forms
 - 06-INF-02 Revision to PUB-4786 The Earned Income Tax Credit LCMs
 - 06-LCM-13 OTDA Contract with Medical Providers for Consultative Medical and Psychological Examinations and Intelligence Assessments
 - 06-LCM-12 Long Term Care Point of Entry Program
 - 06-LCM-11 Funding for Intensive Case Services for Noncompliant Families
 - 06-LCM-09 Claiming Process for Certain Two-Parent Families
 - 06-LCM-08 Food Stamp Management Evaluation Procedures
 - 06-LCM-07 Claiming of Food Stamp Program (FSP) administrative funds for the medical screening of Food Stamp Employment and Training (FSE&T) participants to establish exemption from program rule requirements.
 - 06-LCM-06 Additional TANF Funding to Support State-Administered Programs and Contracts
 - 06-LCM-05 Imaging and Enterprise Document Repository (I/EDR) Services and Pricing Information
 - 06-LCM-04 Katrina Claiming for Non-Assistance Program Expenditures
 - 06-LCM-03 2006-07 Home Energy Assistance Program
 - 06-LCM-02 Allocation for the 2006-07 Local Administration Fund
 - 06-LCM-01 Submission of TANF Special Project Claims
- GIS Messages
- 12/21/06 06TA/DC043 Citizens Energy Oil Heat Program (UP-STATE ONLY)
- 12/19/06 06TA/DC042 Minimum Wage Increase and Work Hours
- 11/24/06 06TA/DC041 NYSNIP Standard Benefit Amount Adjustments
- 11/22/06 06TA/DC040 Upcoming Telephone Conference Calls to Discuss Child Support Desk Review Process for Excess Support & Pass-through Payments
- 11/20/06 06TA/DC039 Group Home Standardized Benefits (GHSB) Adjustments
- 10/30/06 06TA/DC038 TA Grant Diversion Participants Not Eligible for TBA FS

10/26/06 06TA/DC037 1099 Computer Matching for TANF Recipients

10/18/06 06TA/DC036 Hours of Participation in Work Activities Report for Safety-Net Assistance (SNA) Cases without Dependent Children

10/10/06 06TA/DC035 Meeting Energy Emergencies

09/21/06 06TA/DC034 Non-Assistance Payment Types for Authorization of Temporary Assistance (TA) Emergency or Immediate Needs (UPSTATE ONLY)

09/05/06 06TA/DC033 Use of the “Financial Statement” (LDSS-3596) for Utility Arrearage Assistance and the Updated 2006-07 Food/Non-Food Guidelines

08/18/06 06TA/DC032 UPST Updated - Food Stamp Standards for October 1, 2006 (UPSTATE ONLY)

08/18/06 06TA/DC032 NYC Updated - Food Stamp Standards for October 1, 2006 (NYC ONLY)

07/25/06 06TA/DC030 Close Out of DFSP in Four Counties (Broome, Delaware, Montgomery, Tioga) (UPSTATE ONLY)

07/21/06 06TA/DC029 Extension of DFSP in Four Counties (Broome, Delaware, Montgomery, Tioga) (UPSTATE ONLY)

07/17/06 06TA/DC028 Issuing Supplemental Disaster FS Benefits to Current Food Stamp Recipients Affected by the Disaster (UPSTATE ONLY)

07/14/06 06TA/DC027 Extension of the Disaster Food Stamp Benefit Program (UPSTATE ONLY)

07/14/06 06TA/DC026 Toll-Free Number for Hearing Matters Related to the Flood of 2006 (UPSTATE ONLY)

07/11/06 06TA/DC025 Disaster Food Stamp Benefit Program and TA Emergency Benefits Follow-up GIS Message (UPSTATE ONLY)

07/10/06 06TA/DC024 Edit Change to Unearned Income Source Code 06 (Child Support Payments) and Unearned Income Source Code 02 (Alimony/Spousal Support Non-Arrears) (UPSTATE ONLY)

07/10/06 06TA/DC023 Camp Fees

07/07/06 06TA/DC022 Implementation of Disaster Food Stamp Program and Clarification of Emergency Assistance Programs; Information on Temporary Assistance Programs and HEAP in Relation to Flood Relief (UPSTATE ONLY)

07/07/06 06TA/DC021 WINR 4402 - Excess Child Support Exception Report - New Exceptions and Necessary Action (UPSTATE ONLY)

07/04/06 06TA/DC020 Emergency Benefit Issuance to Flooding Victims, July 4, 2006

06/29/06 06TA/DC019 WMS Availability on Fourth of July, 2006

06/29/06 06TA/DC018 Emergency Food Replacement

05/31/06 06TA/DC017 Problem with recent NYSNIP 24-Month Interim Report Mailing

05/25/06 06TA/DC016 Legislative Changes to Temporary Assistance Employment Requirements

05/12/06 06TA/DC015 NYSNIP Upstate 24 Month Interim Report (LDSS-4836 and 4836SP)

05/11/06 06TA/DC014 NYSNIP 24 Month Interim Reporting

04/07/06 06TA/DC013 Temporary Assistance: Income Eligibility Verification System (IEVS) 1099 Processing Contact List (UPSTATE ONLY)

04/03/06 06TA/DC012 New York State Nutrition Improvement Program (NYSNIP) Benefit Levels

03/28/06 06TA/DC011 Food Stamp Disaster Plan Local Contacts

03/23/06 06TA/DC010 Food Stamp Policy Reminder Authorized Reps are Chosen at HH Discretion

03/10/06 06TA/DC009 Delayed Delivery of Interim Assistance Reimbursement (IAR) Checks

03/07/06 06TA/DC008 WMS Coding for Evacuees of Hurricane Katrina

02/22/06 06TA/DC007 200% of Poverty Income Standards Chart - June 1, 2006 through May 31, 2007

02/10/06 06TA/DC006 Reauthorization of Temporary Assistance for Needy Families Program

02/10/06 06TA/DC005 2006 Emergency Program Income Guidelines for Emergency Safety Net Assistance (ESNA) and Emergency Assistance to Needy Families with Children (EAF)

02/01/06 06TA/DC004 Food Stamp Budgeting Reminder: Increased Standard Utility Allowances

01/30/06 06TA/DC003 Group Home Standardized Benefits (GHSB) Adjustments (NYC ONLY)

01/12/06 06TA/DC002 Food Stamp Budgeting: Updated Food Stamp Medical Mileage Deduction

01/05/06 06TA/DC001 Group Home Standardized Benefits (GHSB) Adjustments (UPSTATE ONLY)

DCLs (Child Support)

- 08/31/2006 Passport Denial Instructions
- 08/31/2006 Passport Denial

2005

ADMs

- 05-ADM-16 Temporary Assistance Case Number Reuse
- 05-ADM-15 Food Stamp Claims and Collection Processes
- 05-ADM-14 Food Stamp Budgeting: VISTA Payments and Medical Mileage Expenses
- 05-ADM-13 Revised LDSS-3938: “Food Stamp Application Expedited Processing Summary Sheet”
- 05-ADM-12 Reporting of Room and Board Arrangements
- 05-ADM-11 Flexible Fund for Family Services
- 05-ADM-10 Velazquez Cases – Special Instructions Part 2
- 05-ADM-08 Front End Detection System (FEDS) Policy
- 05-ADM-06 Temporary Assistance (TA) and Medicaid (MA) Financial Institution Recipient Match (FIRM): Implications for TA, MA, and Food Stamps (FS)
- 05-ADM-04 Velazquez Cases – Special Instructions Parts 1 and 2
- 05-ADM-03 Establishing the Standard of Need and Budgeting Income for Persons Not Residing in Their Own Homes (18 NYCRR 352.9)
- 05-ADM-02 Section 8 Voucher: Change to the Temporary Assistance (TA) Budgeting for Some Section 8 Voucher Cases

INFs

- 05-INF-26 Notification of Local Districts Concerning Release of Sex Offenders
- 05-INF-25 Revised Hurricane Katrina Questions and Answers
- 05-INF-24 TA Policy: Non-parent Caregiver Cases and Temporary Assistance
- 05-INF-23 9/05 Revisions of LDSS-4682, LDSS-4682 NYC, LDSS-4799, LDSS-4799 NYC, LDSS-4827 and LDSS-4827 NYC
- 05-INF-22 SSA COLA for January 2006-SSI Benefit Levels Chart
- 05-INF-21 Revision to LDSS-3814: “Temporary Assistance Additional Allowances and Other Help” flyer
- 05-INF-20 Digest of Laws 2005 Relating to Programs of the Office of Temporary and Disability Assistance
- 05-INF-19 Hurricane Katrina Evacuee Questions and Answers
- 05-INF-18 Food Stamp Program Questions and Answers: Expedited FS Application Processing, IPVS, NYSNIP, TOP/CAMS
- 05-INF-17 Temporary Assistance (TA) Budgeting: Volunteers in Service to America (VISTA) Payments
- 05-INF-16 8/05 Revision of LDSS-2642: “Documentation Requirements”
- 05-INF-15 Revisions to Mandatory Client Notices
- 05-INF-14 Revision of LDSS-4826: Food Stamp Benefits Application/Recertification and LDSS-4826A: How to Complete the Food Stamp Benefits Application/Recertification
- 05-INF-13 Revision to Food Stamp Benefits ABEL Budget Narratives (LDSS-3959, LDSS-3960 and LDSS-3961)
- 05-INF-11 Revision of Mandatory Client Notice: Repayment of Interim Assistance (LDSS-2425)

- 05-INF-10 Temporary Assistance Policy: Questions and Answers from the Spring 2004 Regional Meetings
- 05-INF-09 New Statewide “Common Application”, LDSS – 2921 Statewide (Rev. 1/05) New Statewide “How to Complete” Publication, PUB – 1301 Statewide (Rev. 1/05)
- 05-INF-08 Revisions to PUB-4842: “Interpreter Services Poster” and PUB-4843: Interpreter Services Desk Guide
- 05-INF-07 State Tax Refund Offset Program (STROP): Watts vs. Wing – Update on Litigation and Request for LDSS Contact Person(s)
- 05-INF-04 Reinstatement of LDSS-3666: “TA/FS Documentation/Verification Desk Guide” (1/05)
- 05-INF-03 Puerto Rico EBT Interoperability Policy
- 05-INF-02 Documentation Receipt – Temporary Assistance, Food Stamp Benefits, Medicaid and or Child Health Plus A LCMs
- 05-LCM-13 Funding Availability for the Youth Engagement Services Program
- 05-LCM-12 Local District Emergency Closings
- 05-LCM-11 Case Management Services for Safety Net Recipients
- 05-LCM-10 HEAP Budget Calculator Application (HBC)
- 05-LCM-09 Policy Regarding the Supervisory Signature of LDSS-3209 Authorization
- 05-LCM-08 (Revised) Claiming Child Support Collections Refunded to Velasquez Case Members
- 05-LCM-06 Allocation for the 2005-06 Local Administrative Fund
- 05-LCM-05 Submission of TANF Special Project Claims
- 05-LCM-04 Treasury Offset Program
- 05-LCM-03 Submission of RF Certifications
- 05-LCM-01 TANF Calendar Year 2003 Projects/Allocations GIS Messages
- 10/06/05 05TA/DC040 NYSNIP Shelter Threshold Increase
- 09/14/05 05TA/DC031 Updated Food Stamp Instructions for Evacuees from Hurricane Katrina (NYC HRA Version)
- 09/14/05 05TA/DC031 Updated Food Stamp Instructions for Evacuees from Hurricane Katrina (Upstate Version)
- 09/08/05 05TA/DC028 Assistance for Displaced Citizens from Hurricane Katrina
- 09/02/05 05TA/DC027 Refugees from Hurricane Katrina
- 08/15/05 05TA/DC025 Updated Food Stamp Standards for October 1, 2005 (UPSTATE)
- 08/15/05 05TA/DC025 Updated - Food Stamp Standards for October 1, 2005 (NYC)
- 08/12/05 05TA/DC024 TA and/or FS Authorization Periods Limited to Six Months
- 04/21/05 05TA/DC016 Budgeting of Food Stamp Benefits for Group Home Residents
- 03/08/05 05TA/DC009 NYSNIP Non-Redeemer Letter-Round Two
- 03/02/05 05TA/DC008 FS Standard Utility Allowances for Heating/Cooling Expenses
- 02/15/05 05TA/DC006 Food Stamp Exclusion of Child Support Payments from Income
- 02/09/05 05TA/DC004 Exclusion of Disaster Relief Earnings
- 02/02/05 05TA/DC003 NYSNIP Non-Redeemer Reminder GIS
- 01/28/05 05TA/DC002 Exclusion of Military Combat Pay DCLs (Child Support)
- 11/29/2005 Model Bankruptcy Protocol
- 06/10/2005 Undistributed Collections and Coding
- 06/10/2005 Undistributed Collection Categories and Coding for 44 SPEC Field
- 03/10/2005 Medical Execution
- OAH PROCEDURES TRANSMITTALS
- 05-31 Varshavsky Remand Procedure 2
- 05-26a Attachment Referral Guide

- 05-03 Reminder to Agencies on Communications with Represented Clients/Appellants
- 2004 ADMs
- 2004 ADM-08 Expansion of Eligibility of Alien Victims of Severe Forms of Trafficking in Persons
- 2004 ADM-07 Food Stamp Medical Deduction for Medicare Prescription Drug Discount Cards
- 2004 ADM-06 Treatment of Full-Time Earnings of Students Under Temporary Assistance (TA) Programs
- 2004 ADM-04 Refugee Cash and Medical Assistance Programs (RCA & RMA) Revised December 8, 2004
- 2004 ADM-02 Changes in Food Stamp Program Change Reporting Rules
- 2004 ADM-01 Establishing and Collecting Food Stamp Overissuance Claims
- INFs
- 2004 INF-26 Revisions to Manual Client Notices
- 2004 INF-25 Introduction of a Food Stamp Six-Month Reporting Desk Guide
- 2004 INF-24 Digest of Laws of 2004 Relating to Programs of the Office of Temporary and Disability Assistance
- 2004 INF-23 Revision of LDSS-4398: “WMS Non-Services Code Cards” (November 2004 Update)
- 2004 INF-22 SSA COLA for January 2005 - SSI and Food Stamp Charts
- 2004 INF-21 Revision of the LDSS-4403: “Determination of Eligibility For Emergency Assistance to Families (EAF)” (7/04 Revision)
- 2004 INF-20 Temporary Assistance Policy: Computer Matching Clarification
- 2004 INF-19 Offer of a Job as an Available Resource
- 2004 INF-18 Local Department of Social Services District of Fiscal Responsibility Contacts Found on the OTDA Intranet Site and CentraPort
- 2004 INF-16 Electronic Benefit Transfer (EBT) Voluntary Repayment of Overpayments
- 2004 INF-15 Availability of the Revised 2/04 Version of the 9 Other Than English Languages LDSS-3151: “Food Stamp Change Report Form”
- 2004 INF-14 Revision of the Mandated Food Stamp Benefits Civil Rights Complaint Procedure Poster (LDSS-8036)
- 2004-INF-13 Revisions to the Systems Generated “Periodic Report” (LDSS-4310) and the Vendor Printed “Follow-Up to the Periodic Report” (LDSS-4310A)
- 2004 INF-12 Temporary Assistance Questions and Answers Revised July 26, 2004
- 2004 INF-11 Revision of the LDSS-4826: “Food Stamp Benefits Application/Recertification” and LDSS-4826A: “How to Complete the Food Stamp Benefits Application/Recertification”
- 2004 INF-09 Documentation Requirements Reminder Revised July 6, 2004
- 2004 INF-07 Temporary Assistance Questions and Answers
- 2004 INF-05 Disregard Certain Veterans Administration (VA) Payments Made to Biological Children of Women Vietnam Veterans and Extend to Safety Net Assistance Families the Disregard of VA Payments Made to Vietnam Veterans’ Children with Spina Bifida
- 2004 INF-03 Revision of the LDSS-4403: “Determination of Eligibility for Emergency Assistance to Families (EAF)” (7/03 Revision)
- LCMs
- 2004 LCM-13 New York State Nutrition Improvement Project
- 2004 LCM-12 Appointment of OTDA Executive Deputy Commissioner and OTDA Deputy Commissioner for Division of Temporary Assistance

- 2004 LCM-11 AFIS Plan / Exemptions for FS Finger Imaging
- 2004 LCM-10 Program Integrity Performance Measures
- 2004 LCM-08 Food Stamp Program Access Policy
- 2004 LCM-07 Food Stamp Program Civil Rights Complaint Procedures Revised June 30, 2004
- 2004 LCM-06 Front End Detection System (FEDS)
- 2004 LCM-05 Food Stamp Management Evaluation Procedures
- 2004 LCM-04 Approvals of Revisions to Local District's Cost Allocation Plan
- 2004 LCM-03 Submission of TANF Special Project Claims
- 2004 LCM-02 Procedures Regarding the Security of Common Benefit Identification Cards (CBICS) Returned Undeliverable By the United States Postal Service (USPS)
- 2004 LCM-01 District of Fiscal Responsibility Procedures Reminder Revised February 19, 2004
- GIS Messages
 - 12/29/04 04TA/DC031 FS Outreach via EPIC Letter regarding Medicare Rx Drug Discount Programs
 - 12/21/04 04TA/DC030 Cash HEAP Payments to NYSNIP Participants
 - 11/02/04 04TA/DC028 Implementation of Standardized Food Stamp Benefits for Group Home Residents
 - 10/14/04 04TA/DC025 FS Policy on Medicare RX Drug Discount programs
 - 08/17/04 04TA/DC019 Updated Food Stamp Standards for October 1, 2004
 - 07/06/04 04TA/DC018 Follow-up to GIS 04TA/DC005: Shortening 24-Month FS Certification Periods
 - 06/30/04 04TA/DC016 Periodic Reports for Non-TA Food Stamp Households
 - 06/02/04 04TA/DC014 Food Stamp Disaster Plan: Local District Contacts
 - 05/11/04 04TA/DC013 Clarification of FS TBA Policy reissued
 - 05/11/04 04TA/DC011 Clarification of FS TBA Policy
 - 03/18/04 04TA/DC005 Shortening 24-Month FS Certification Periods/New B80 CNS Code
 - 02/18/04 04TA/DC002 SUA for Telephone DCLs (Child Support)
- 06/25/2004 Document Data Sheets
- 05/03/2004 Letter: Calculation of Unreimbursed Assistance
- 05/03/2004 Calculation/Payment of Support In Excess of Assistance Paid
- 05/03/2004 Response to Specific Questions
- 03/24/2004 Unreimbursed Assistance
- 03/23/2004 Letter: Medical Execution Frequently Asked Questions
- 03/23/2004 Medical Execution Frequently Asked Questions
- 03/02/2004 Issuance of Medical Execution and Alternative Coverage
- 02/26/2004 Medical Execution Cover
- 02/26/2004 National Medical Execution Notice Revised
- 2003
- ADMs
 - 2003 ADM-11 Emergency Assistance to Families (EAF): Changes to Part 372 of 18 NYCRR 372 and to the TANF State Plan
 - 2003 ADM-10 TA Policy Changes: Lump Sum Set Asides and Resource Two-Year College Fund Exemption
 - 2003 ADM-09 Regulatory Changes: Temporary Assistance (TA) Budgeting: Percentage Earnings Disregard Extended to Pregnant SNA Women and Trust Fund Policy Clarification
 - 2003 ADM-08 Issuing Nonrecurring Temporary Assistance (TA) Emergency Payments and TANF Services Block Grant Payments on an Active Non-Temporary Assistance Food Stamp Case, an Active Medicaid Case or at the Time of a TA Application Denial

- 2003 ADM-07 Temporary Assistance: New Shelter Allowances and Related Changes
- 2003 ADM-03 New Food Stamp-Only Application Form
- 2003 ADM-02 Desk Reference for DV Screening under the Family Violence Option
- 2003 ADM-01 Eligibility of Alien Victims of Severe Form of Trafficking in Persons
- INFs
 - 2003 INF-43 Food Stamp Deductions for Medical Expenses
 - 2003 INF-42 Elimination of Social Security Numbers from SSI, Interim Assistance Reimbursement, and Social Security Checks
 - 2003 INF-41 Revisions to Client Notices
 - 2003 INF-40 SSA COLA for January 2004 - SSI and Food Stamp Charts
 - 2003 INF-39 New Statewide "Common Application", LDSS – 2921 Statewide (Rev. 7/03) and New Statewide "How to Complete" Publication, PUB – 1301 Statewide (Rev. 7/03)
 - 2003 INF-38 Availability of the Following Forms in Arabic and Chinese: LDSS-2921 Statewide "Common Application" form and PUB-1301 Statewide "How to Complete the Application" form
 - 2003 INF-37 Revision to Spanish Versions of the Client Information Books and the Introduction of Other Available Languages Including Russian, Arabic and Chinese
 - 2003 INF-36 Digest of Laws of 2003 Relating to Programs of the Office of Temporary and Disability Assistance
 - 2003 INF-35 Clarification of Temporary Assistance Diversion Payment Types for Families
 - 2003 INF-34 Limiting Emergency/Immediate Needs Grants Due to Frequent Applications for Recurring and Emergency Temporary Assistance
 - 2003 INF-33 Revision of the LDSS-3151: "Food Stamp Change Report Form" (Rev. 6/03)
 - 2003 INF-32 LDSS-4682: Notification of Overpayment of Public Assistance to a Former Recipient and Demand For Repayment (5/03) and LDSS-4682 NYC: Notification of Overpayment of Public Assistance to a Former Recipient and Demand For Repayment (NYC) (5/03)
 - 2003 INF-31 Revision of the LDSS-3558: "Food Stamp Separate Determination Input Form" (Rev. 5/03)
 - 2003 INF-29 Family Violence Option: Domestic Violence Liaison List
 - 2003 INF-27 Temporary Assistance Date of Eligibility
 - 2003 INF-26 Introduction of a Statewide LDSS-3174: "Recertification Form" and The Associated PUB-1313: "How to Complete" Publication
 - 2003 INF-25 Temporary Assistance Questions and Answers Revision: July 7, 2003 Original Release: June 19, 2003
 - 2003 INF-22 Temporary Assistance: Individuals in Residential Treatment Programs
 - 2003 INF-20 Availability of the Statewide Common Application and the How to Complete the Application in Spanish and Russian
 - 2003 INF-19 Expired or Lost Immigration Documents
 - 2003 INF-18 Obsolete Form DSS-2215: "Report of Claim/Benefit Restoration Determination" Food Stamp Program
 - 2003 INF-17 Recoupment Procedures When Temporary Assistance (TA) Recipients Change Districts
 - 2003 INF-16 Revisions to the Food Stamp Benefits Budget Worksheets
 - 2003 INF-15 Compromise of Food Stamp Claims for Overissuance
 - 2003 INF-14 Food Stamp Program Eligibility for Aliens
 - 2003 INF-13 Revision to LDSS-4753: "Food Stamps – Request for Contact/Missed Interview"
 - 2003 INF-12 Revision of the "Food Stamp Household Composition Desk Guide" (LDSS-4314) (Rev. 1/03)

- 2003 INF-11 Revisions to the LDSS-4791: Important Information About What Changes You Must Report for Food Stamp Benefits
- 2003 INF-10 Food Stamp Program Questions and Answers: Application Processing, Six-Month Reporting, Transitional Benefits and Standard Utility Allowances
- 2003 INF-07 State Sixty-Month Time Limit and Essential Persons
- 2003 INF-05 Digest of Laws of 2002 Relating to Programs of the Office of Temporary and Disability Assistance
- 2003 INF-04 Time Limit Counts and Family Assistance Payment Corrections
- 2003-INF-02 Revision of the LDSS-3151 “Food Stamp Change Report Form” (Rev. 1/03)
- 2003 INF-01 Earned Income Tax Credit and Other Tax Credits LCMs
- 2003 LCM-09 Refunds of Treasury Offset Program (TOP) Monies
- 2003 LCM-08 Safeguarding of Personal Information for Food Stamp and Temporary Assistance Applicants and Recipients
- 2003 LCM-07 Food Stamp Management Evaluation Procedures
- 2003 LCM-05 Termination of Certain Food Stamp Overissuance Claims
- 2003 LCM-03 Food Stamp Program Civil Rights Complaint Procedures
- 2003 LCM-02 New Hires Match
- 2003 LCM-01 Clothing Allowance Under the Emergency Assistance to Adults Program
- GIS Messages
- 09/25/03 03TA/DC028 24-month FS certification periods for households in which all adults are elderly/disabled
- 08/15/03 03TA/DC022 Response to Power Outages
- 08/13/03 03TA/DC021 IPV Notices for PA and FS now available on OTDA Forms Website
- 08/11/03 03TA/DC020 Updated-Food Stamp Standards for October 1, 2003
- 07/31/03 03TA/DC019 Subscribe to the Automated Subscriber System for Updates to the Temporary Assistance Source Book - TASB and the Food Stamp Source Book – FSSB
- 07/28/03 03TA/DC018 Temporary Assistance (TA), Medicaid and Food Stamps (FS) Policy Regarding the Treatment of Federal Tax Variables
- 07/14/03 03TA/DC017 Treasury Offset Program Fairness Letter
- 07/02/03 03TA/DC015 Introduction of LDSS-4826B: “Interview/Verification Guide for the LDSS-4826: ‘Food Stamp Benefits Application’ ”
- 02/06/03 03TA/DC003 Food Stamp Eligibility of Certain Aliens
- 02/04/03 03TA/DC001 Food Stamp Disaster Plan Local Contacts DCLs (Child Support)
- 10/21/2003 Private Collection Agencies
- 07/11/2003 \$500 Arrears Cap
- 06/27/2003 Intrastate Transfer of Cases
- 06/10/2003 HIPAA Rules and Child Support
- 05/01/2003 Department of Taxation and Finance: Kennedy Enhancements
- 01/28/2003 Cost Recovery of Legal Representation/International Child Support Cases
- OAH PROCEDURES TRANSMITTALS
- 03-22 Revised OTDA-4420 Notice of Aid Status
- 03-10 Revised OAH-457
- 2002
- ADMs
- 2002 ADM-08 Repeal of NYCRR 352.31(B) “Claimant for Income Tax Exemption”
- 2002 ADM-07 Food Stamp Program Reauthorization Changes
- 2002 ADM-06 Change in the Food Stamp Vehicle Resource Policy
- 2002 ADM-02 Meeting the Emergency/Immediate needs of Temporary Assistance (TA) Applicants/Recipients
- 2002 ADM-01 Food Stamp Treatment of Individual Development Accounts
- INFs
- 2002 INF-41 Revision to Food Stamp Benefits ABEL Budget Narratives (LDSS-3959, LDSS-3960 and LDSS-3961)
- 2002 INF-39 Conditions and Costs Associated with Removals, Revision: December 10, 2002
- 2002 INF-37 Temporary Assistance Periodic Reporting Regulation Filing
- 2002 INF-36 Family Violence Option Questions and Answers
- 2002 INF-35 SSA COLA for January 2003 – SSI and Food Stamp Charts
- 2002 INF-34 Treatment of Crime Victims Compensation Benefits for Temporary Assistance (Correction of November 1st Release)
- 2002 INF-33 Receipts for Recipients Who Drop Off Documentation
- 2002 INF-31 Temporary Assistance Questions and Answers
- 2002 INF-30 Revision of the “Food Stamp Household Composition Desk Guide” (LDSS-4314) (Rev. 6/02)
- 2002 INF-29 Necessity of Accurate Social Security Numbers in the Welfare Management System
- 2002 INF-28 Revision to Public Assistance ABEL Budget Narratives (LDSS-3951, LDSS-3952, LDSS-3953 and LDSS-3954)
- 2002 INF-27 Domestic Violence: Frequently Asked Questions on Reimbursement, General and Programmatic Issues
- 2002 INF-26 Revisions to the System Generated Periodic Report Form (LDSS-4310) and the Printed Follow-Ups to the Periodic Report Form (LDSS-4310A and LDSS-4310A NYC)
- 2002 INF-25 Section 8 Certificate Shelter Type Codes
- 2002 INF-22 Drug and Alcohol Frequently Asked Questions (FAQs), U.S. Department of Veteran Affairs (VA) Drug and Alcohol Treatment Programs; and OTDA Audit and Quality Control (A&QC) Drug and Alcohol Abuse Screening/Assessment Process Review/Findings
- 2002 INF-21 Temporary Assistance Procedures; Certification Periods of TA Cases With Earned Income
- 2002 INF-19 Revision of the LDSS-3708: “School Attendance Verification Form” (Rev. 4/01)
- 2002 INF-18 Revision of the LDSS-3151: “Food Stamp Change Report Form”
- 2002 INF-17 Food Stamp Questions and Answers
- 2002 INF-15 Revised Issue July 23, 2002 (Original Issued June 27, 2002) Recurring Limited Temporary Assistance Energy Payments
- 2002 INF-14 Charitable Choice Provision, Personal Responsibility and Work Opportunity Reconciliation Act of 1996, P.L. 104-193 (Reissued June 3, 2002)
- 2002 INF-09 Certification/Recertification Checklist: Changes In Requirements
- 2002 INF-06 Clarification of Retention Policy of Domestic Violence (DV) Screening Forms and Related Case Records
- 2002 INF-03 Responses to Questions Raised at Time Limit/Tracking Conference Calls
- 2002 INF-02 Obsolete Certification Guide (DSS-3570)
- 2002 INF-01 Expansion of the Eligibility of Certain Minors for TANF Service Programs
- LCMs
- 2002 LCM-13 Extension of Food Stamp Administrative Cost Reductions
- 2002 LCM-12 Work Experience Placements Reimbursement
- 2002 LCM-11 Model HEAP Cooperative Agreement/Contract
- 2002 LCM-10 Changes to Food Stamp Employment and Training Participant Expenditures

- 2002 LCM-08 Claiming Federal Reimbursement for Reserved Accommodations
- 2002 LCM-07 Americans with Disabilities Act (ADA) – Access to Local District Social Services Programs and Services and Activities
- 2002 LCM-06 Food Stamp Management Evaluation Procedures
- 2002 LCM-05 Submission of TANF Special Project Claims
- 2002 LCM-02 Suspension of Local MOE Plans Submission

GIS Messages

09/17/02 02TADC024 Additional Food Stamp Resource Exclusion

08/13/02 02TADC018 Updated - Food Stamp Standards for October 1, 2002

04/10/02 02TADC009 Requirement to send the LDSS 4735 FS “Request for Contact/Notice of Missed Interview” when an initial FS eligibility interview is missed

03/11/02 02TADC007 Revised Message: GIS 02 TA/DC0040

3/01/02 02TADC004 Printing and Delivery of Manual Notices Referenced in 01 INF-17

02/22/02 02TADC003 Request for Contact Language for Food Stamp 6 Month Reporters on Transitional Benefits

01/08/02 02TADC001 Correction of LDSS 4753 - Request for Contact/Notice of Missed Interview

DCLs (Child Support)

- 12/16/2002 Modifications to Driver’s License Suspension Process
- 08/29/2002 PIC Enforcement Procedures
- 07/29/2002 Liens
- 07/19/2002 Property Execution Against Jointly Owned Bank Accounts

• 06/03/2002 Wage and Health Benefit Report

• 05/21/2002 Drivers’ License Suspension Modifications

OAH PROCEDURES TRANSMITTALS

02-01 EA and Foster Care Processing

2001

ADMs

- 2001 ADM-16 Transitional Benefit Alternative: Transitional Food Stamp Benefits for Family Assistance Leavers
- 2001 ADM-14 Temporary Assistance Procedures: District Waiver Option of Quarterly Reporting Requirements (QRS) and Attachments
- 2001 ADM-13 Temporary Assistance Budgeting: Initial and Increased Earnings of Recipients
- 2001 ADM-12 Temporary Assistance Budgeting: The Treatment of Retroactive SSI and Social Security (RSDI) Corrective Payments
- 2001 ADM-11 Safety Net Assistance Recoupment Rate Change
- 2001 ADM-10 Revised Alcohol and Drug Abuse Screening and Referral Form, Revised Referral Protocols, Targeting TANF Applicants/Recipients
- 2001 ADM-09 Six-Month Reporting Rules Food Stamp Households With Earned Income
- 2001 ADM-08 Changes in Food Stamp Application and Recertification Procedures
- 2001 ADM-07 Food Stamp and Temporary Assistance Treatment of Family Subsistence Supplemental Allowance Payments
- 2001 ADM-06 Revised Letter of Notification (LON) and Letter of Intent (LOI) Approval Process for the Acquisition of EDP Equipment and/or Related Services
- 2001 ADM-04 Reporting Requirement for TANF Assistance: Reg. 351.1(b)(2)
- 2001 ADM-03 Errata: Exemptions to the State Sixty-Month Cash Time Limit/Evaluation for Safety Net Assistance
- 2001 ADM-03 Exemptions to the State Sixty-Month Cash Time Limit/Evaluation for Safety Net Assistance
- 2001 ADM-02 Expansion of Food Stamp Categorical Eligibility
- 2001 ADM-01 Continuation of Food Stamps on TA Case During Move

INFs

- 2001 INF-28 Digest of Laws of 2001 Relating to Programs of the Office of Temporary and Disability Assistance and Attachment
- 2001 INF-27 SSA Cost of Living Adjustment for January 2002-SSI Benefit Levels Chart
- 2001 INF-25 Treatment of Income/Resources of Participants in Operation Enduring Freedom
- 2001 INF-24 Obsolete Form DSS-632: “Consent for Verification of Information”
- 2001 INF-22 Revisions of LDSS-2921 Upstate Common Application and PUB-1301 Upstate “How to Complete” Publication
- 2001 INF-21 Food Stamp Questions and Answers
- 2001 INF-20 Providing Temporary Assistance to Individuals Sanctioned From Supplemental Security Income
- 2001 INF-19 Responses to Questions Raised at Time Limit/Tracking Regional Meetings
- 2001 INF-18 Clarification of Temporary Assistance Drug and Alcohol Employment Coding and Case Type Policy/Procedures
- 2001 INF-17 Revisions to Manual Notices
- 2001 INF-14 Converting EBT Food Stamp Benefits to EBT Cash When Recipients Move Out of State
- 2001 INF-12 Temporary Assistance Sanctions: Budgeting for TA and FS, Treatment of Income for FS When the TA Case Closes, and Medicaid Implications
- 2001 INF-11 Temporary Assistance Questions and Answers and Attachment
- 2001 INF-10 Clarification of Food Stamp Denials When an Eligibility Interview is Missed
- 2001 INF-09 Application Access for Non-Citizens: Temporary Assistance and Food Stamps
- 2001 INF-08 Temporary Assistance (TA) and Food Stamps (FS) Policy: The Treatment of Supplemental Needs Trusts (SNTs) and Reverse Annuity Mortgage (RAM) Loans
- 2001 INF-04 The 2001 New York State and Federal Earned Income Credit Campaign
- 2001 INF-03 Clarification of Policy Relating to the Provision of the Emergency Shelter Allowance for Persons with AIDS or HIV-Related Illness
- 2001 INF-02 Digest of Laws of 2000 Relating to Programs of the Office of Temporary and Disability Assistance

LCMs

- 2001 LCM-12 Availability of the Spanish Version of the “Youth Application for TANF Services” Form and Attachment
- 2001 LCM-11 Reserved Accommodations
- 2001 LCM-10 Availability of the “Youth Application for TANF Services” Form
- 2001 LCM-08 Two Year Claiming Deadlines
- 2001 LCM-06 IRS-1099 Match
- 2001 LCM-05 EBT Fedwire Settlement Process
- 2001 LCM-02 Special Claim Forms for TANF Services Plan Programs and TANF MOE

GIS Messages

10/23/01 TADC045 Exemption of Disaster Relief Payments Reminder

09/21/01 TADC040 Exemption of Disaster Relief Payments

09/20/01 TADC039 New Food Stamps Poster

09/06/01 TADC034 Revised Manual Notices (01 INF-17)

08/20/01 TADC030 Updated-FS Standards for October 1, 2001

08/10/01 TADC029 Updated-Food Stamp Standards for October 1, 2001

08/07/01 TADC028 Quarterly Reporting Mailers

06/25/01 TADC024 June mail out to Non-TA/FS cases regarding the six-month reporting rule

06/20/01 TADC023 Treatment of Federal Tax Rebates

06/13/01 TADC021 Exclusion of Certain Retroactive SSI Payments as Lump Sums for FS

06/01/01 TADC018 Changes in the Food Stamps Vehicle Resource Exemption Policy

02/27/01 TADC009 Client Benefit Access

02/27/01 TADC008 FS Cash-Out Conversion

02/06/01 TADC005 FS Cashout Conversion

01/24/01 TADC004 Food Stamp Casefile Documentation

01/24/01 TADC003 Updated-Food Stamp SUA Standards for March 1, 2001

DCLs (Child Support)

- 03/06/2001 Re-Assignment of Permanently Assigned Arrears

- 08/06/2001 Tax Off-Set Distribution Rules

- 12/18/2001 Letter: Continuation of Services

- 12/18/2001 Notice: Continuation of Services

OAH PROCEDURES TRANSMITTALS

01-51 Upstate Emergency Hearing Procedures

01-45 Action Taken by NYC HRA MAP Due to Ineligibility for SSI

01-35 Appellant Identification Procedure

01-15 Procedure for Handling Non-hearing Inquiries and Complaints

2000

ADMs

- 2000 ADM-08 Errata: Electronic Benefit Transfer (EBT)

• 2000 ADM-07 Non-Cash Safety Net Assistance (SNA) for Other Than Grantee (OTG) Cases

• 2000 ADM-06 Initial Notice of Overpayment to Closed Cases (Revised)

• 2000 ADM-01 TANF Funding Swap From Public Assistance to EAF Foster Care for the 1999-2000 State Fiscal Year

INFs

- 2000 INF-21 Policy Clarification on TANF Funded Assistance

• 2000 INF-20 WMS Input Directions to Assure Accurate and Complete Federal Reports

- 2000 INF-19 District of Fiscal Responsibility (DFR) Procedures

• 2000 INF-18 Clarification of Relationship Between the Standard Utility Allowance (SUA) and Receipt of HEAP

• 2000 INF-16 Temporary Assistance (TA), Food Stamps (FS), and Medicaid (MA) Budgeting: Out-of-State Temporary Assistance Payment

- 2000 INF-15 Temporary Assistance Questions and Answers

• 2000 INF-14 Revised "Request for Voluntary Restricted Payments" Form (LDSS-4580) (Rev. 1/00)

- 2000 INF-13 Alien Eligibility Desk ID Card (Rev. 4/00)

• 2000 INF-11 Revision of "Food Stamp Separate Determination Input Form" (LDSS-3558)

• 2000 INF-10 Welfare Reform Community-Based Organization Resource Guide (Pub No. 4663)

• 2000 INF-08 Office of Temporary and Disability Assistance (OTDA) Census 2000 Campaign

• 2000 INF-07 Interim Printings of LDSS-2921, LDSS-2921(NYC), LDSS-3174 and LDSS-3174(NYC)

• 2000 INF-06 Verifying Relationship of the Caretaker Relative to the Child

• 2000 INF-05 LDSS-3343: "Quarterly Roster of Good Cause Claims"

- 2000 INF-02 Child Support Cooperation: Questions and Answers

• 2000 INF-01 Errata: Revision of Mandatory Client Notice: Repayment of Interim Assistance Notice (LDSS-2425)

• 2000 INF-01 Revision of Mandatory Client Notice "Repayment of Interim Assistance Notice" LDSS-2425

LCMs

• 2000 LCM-21 Temporary Assistance to Needy Families Maintenance of Effort (MOE) Local Initiative

• 2000 LCM-20 TANF Services for Individuals and Families With Incomes Up To 200% of The Federal Poverty Level

• 2000 LCM-18 Availability of Funds to Provide Services to Certain Safety Net Recipients (SNAP – Safety Net Assistance Project)

• 2000 LCM-15 TANF and TANF Maintenance-of-Effort (MOE) Program Reporting

• 2000 LCM-13 Proposals Requested for the Establishment of Transitional Opportunities Program (TOP) Offices/Units

- 2000 LCM-11 Earned Income Credit (EIC)

• 2000 LCM-10 Certain Employment Costs not Allowed as Food Stamp Employment and Training Expenditures

- 2000 LCM-09 Domestic Violence Allocation Use

• 2000 LCM-08 Separate State Maintenance-of-Effort (MOE) Program Reporting

• 2000 LCM-07 Emergency Assistance to Families (EAF) for Juvenile Delinquents and Persons In Need of Supervision (JD/PINS)

- 2000 LCM-04 2000 Census Promotion

• 2000 LCM-01 Temporary Assistance to Needy Families Maintenance of Effort FY 2000

DCLs (Child Support)

- 05/16/2000 Policy: DNA Labs + Attachment

- 03/02/2000 CSMS Modification: Distributions/PROWRA

- 01/19/2000 CSMS Modification: Distributions/PROWRA

OAH PROCEDURES TRANSMITTALS

00-30 OTDA Initiative to Encourage and Monitor Compliance with FH Decisions

00-05 Document Imaging Implementation (Instructions for Local Agencies)

00-04 Document Imaging Implementation

1999

ADMs

• 1999 ADM-08 Domestic Violence: Final TANF Regulations and the Family Violence Option

- 1999 ADM-07 Errata: Time Limit Tracking and System Support

• 1999 ADM-05 Cooperation with Child Support Enforcement for Temporary Assistance, Medicaid, Foster Care and Child Care Services Applicants and Recipients

• 1999 ADM-04 Changes to Supervisory Review Approval for Temporary Assistance and Food Stamps

• 1999 ADM-02 Alien Sponsorship – Deeming of Sponsor's Income and Resources

• 1999 ADM-01 Administration Issuance of Subpoenas in Child Support Cases

INFs

• 1999 INF-21 Child Assistance Program (CAP) Eligibility: Procedures for Employment Sanctions

• 1999 INF-20 Digest of Laws of 1999 Relating to Programs of the Office of Temporary and Disability Assistance

- 1999 INF-19 Drug/Alcohol Treatment: Option for In-District Care

• 1999 INF-18 Non-Recoverability of Child Support Pass-Through Payments

• 1999 INF-17 Reporting of Aliens Known to be Unlawfully in the United States

• 1999 INF-16 HUD Welfare-to-Work Housing Vouchers – Potential Rent Subsidy Assistance for Some Temporary Assistance Families

- 1999 INF-15 Emergency Assistance and Sanctioned Persons

• 1999 INF-14 LDSS-4600: LDSS-4600 Drug and Alcohol Screening, Assessment, and Treatment Implications Desk Guide (6/98)

• 1999 INF-13 Questions and Answers on Temporary Assistance Energy Policy

• 1999 INF-11 Potential Eligibility for Food Stamps: Hmong and Other Highland Laotian Tribe Members

• 1999 INF-10 Domestic Violence Waiver of Temporary Assistance Lien Requirements; Recovery of Temporary Assistance From Legally Responsible Batterers

• 1999 INF-09 Temporary Assistance/Medicaid Eligibility: Expansion of Who Can Conduct Drug/Alcohol Assessments

- 1999 INF-08 Food Stamp Program Application Requirements
- 1999 INF-07 Amended State Version of Federal Form SS-5 “Application for a Social Security Card” (LDSS-4000)
- 1999 INF-06 Medicaid Determinations When Public Assistance is Denied or Closed or the PA Application is Withdrawn
- 1999 INF-05 Child Assistance Program (CAP): Questions and Answers
- 1999 INF-04 Public Assistance Eligibility: Clarification of Drug and/or Alcohol Issues
- 1999 INF-02 Revision to Budget Worksheet – Public Assistance (LDSS-548)
- LCMs
 - 1999 LCM-40 Information on Problem Gambling
 - 1999 LCM-39 TANF Funded Employment Program Contracts
 - 1999 LCM-37 Claiming Deadlines – TANF Programs
 - 1999 LCM-32 Updated District of Fiscal Responsibility and Drug/Alcohol Contacts
 - 1999 LCM-31 Y2K Readiness Planning
 - 1999 LCM-30 Claiming of JD/PINS for Youth in Voluntary Agencies and Foster Boarding Homes
 - 1999 LCM-29 TANF MOE Expenditures
 - 1999 LCM-28 Domestic Violence Allocations: July 1999-June 2001
 - 1999 LCM-26 Merit Incentive Awards Allocations
 - 1999 LCM-23 Implementation of the Systematic Alien Verification for Entitlements (SAVE) Program – Interim Process
 - 1999 LCM-22 Expansion of the Child Assistance Program (CAP)
 - 1999 LCM-20 Non-Cash Safety Net Assistance (SNA) Restriction Hierarchy and Available Restriction and Payment Options
 - 1999 LCM-19 Notice: LDSS-4647: “Important Information About Child Care”
 - 1999 LCM-17 TANF State Plan Forums
 - 1999 LCM-15 Allocations for TANF & SNA Drug & Alcohol Assessments and the Availability of Funds to Operate an Enhanced Drug/Alcohol Program for TANF Recipients
 - 1999 LCM-14 Temporary Assistance to Needy Families Maintenance of Effort
 - 1999 LCM-13 Local District Year 2000 Contingency Plan Survey
 - 1999 LCM-12 Survey of Social Welfare Examiner Job Tasks
 - 1999 LCM-11 Federal Tax Refund Offset Program (FTROP)
 - 1999 LCM-10 Year 2000 Compliance Requirements
 - 1999 LCM-09 Federal Tax Refund Offset Program (FTROP)
 - 1999 LCM-08 “New York State Touchstones/Kids Count Data Book”
 - 1999 LCM-04 Federal Tax Refund Offset Program (FTROP)
 - 1999 LCM-03 “Notice of Claim Settlement” (OTDA-591, 591-A & 907)
 - 1999 LCM-01 Food Stamp Program: Clarification of Definition of Disability
- OAH PROCEDURES TRANSMITTALS
 - 99-40 Hearing Right for Former Recipients when Challenging the Amount of a Claim for Overpayment of PA
 - 99-13 Changes to the Outcome Reason Codes and the Decision Issuance Screen (PFHISS)
- 1998
 - ADMs
 - 1998 ADM-14 Procedures for Cost of Living Adjustments (COLA) and One-Time Review and Adjustments for Child Support Orders
 - 1998 ADM-12 Recovery of Overpayments: Modification of PA Threshold
 - 1998 ADM-11 Authorization For Social Services Districts to Approve Adoption Subsidies
 - 1998 ADM-09 Public Assistance Eligibility: Time Limit for Initial Interview
 - 1998 ADM-06 Procedures for Establishing Paternity with Acknowledgments and Administrative Orders for Genetic/DNA Tests
 - 1998 ADM-05 Merit Incentive Awards for Children in Receipt of TANF Funded Public Assistance
 - 1998 ADM-03 Errata: Domestic Violence: Family Violence Option Under the Welfare Reform Act of 1997
 - 1998 ADM-03 Domestic Violence: Family Violence Option Under the Welfare Reform Act of 1997
 - 1998 ADM-02 Change of Payee Policy and Procedure for Child Support Enforcement
 - 1998 ADM-01 Local Flexibility Incentive Pilot Programs
- INFs
 - 1998 INF-16 Obsolete Form: DSS-2502: “ADC-U Screening Checklist”
 - 1998 INF-15 Deadline Extension for Local District Negotiated Performance Award Plans and Transmittal of Baseline Performance Data on Job Placements for 98-ADM-13
 - 1998 INF-13 State Assumption of Local Districts’ Share of Supplemental Payments
 - 1998 INF-12 Digest of Laws of 1998 Relating to Programs of the Office of Temporary and Disability Assistance
 - 1998 INF-06 Child Assistance Program (CAP); Questions and Answers on Changes Due to the Welfare Reform Act of 1997
 - 1998 INF-04 Consolidated Services Plan: 1998 Income Eligibility Standards
 - 1998 INF-03 Food Stamp Program: Record Retention
 - 1998 INF-02 Revised Request for Voluntary Restriction Form (DSS-4580 Rev. 12/97)
 - 1998 INF-01 Annual Index of Administrative Directives and Informational Letters
- LCMs
 - 1998 LCM-46 “Notice of Claims Settlement” Claims Against Households Report” (DSS-3214)
 - 1998 LCM-43 Automated Claiming System (ACS) Re-Hosting Project
 - 1998 LCM-40 National Voter Registration Act (NVRA) Litigation
 - 1998 LCM-39 Drug and Alcohol Services: Availability of Funds to Provide Enhanced Drug and Alcohol Services to Victims of Domestic Violence
 - 1998 LCM-37 Expansion of the Child Assistance Program (CAP)
 - 1998 LCM-34 Clarification of Fair Hearing and Special Hearings Processes
 - 1998 LCM-30 Survey of Drug and Alcohol Assessment Costs
 - 1998 LCM-29 1998 Multidisciplinary Team Building Request for Proposals Grant Selections
 - 1998 LCM-28 Case Management Funding Application
 - 1998 LCM-27 Homeless Information Reporting
 - 1998 LCM-26 Informational Mailing to All Public Assistance Households (FA, CAP, SNA)
 - 1998 LCM-25 Adult Care Facilities: Prohibition of Referrals to Certain Facilities
 - 1998 LCM-23 FISCAL: Allocation of \$100,000,000 TANF Set-Aside for Family and Children’s Services (EAF Allocation)
 - 1998 LCM-21 Food Stamp Earned Income Deduction
 - 1998 LCM-19 Reimbursement Ceilings for Social Services for the Period October 1, 1997 Through September 30, 1998
 - 1998 LCM-18 DSS-3803 “Monthly Report of Collections of Overpayments to Families with Dependent Children and Home Relief”
 - 1998 LCM-17 Disability Advocacy Program (DAP) RFP

- 1998 LCM-15 Federal/State Tax Refund Offset Reports Federal/State Tax Refund Offset
- 1998 LCM-14 Availability of Funds to Operate an Enhanced Drug/Alcohol Services Program for Family Assistance Recipients
- 1998 LCM-11 Retroactive TANF Conversions Retroactive TANF Conversions
- 1998 LCM-09 Tax Refund Offset Process – 1998 General Instructions
- 1998 LCM-07 Enhanced PA Earned Income Disregard (42%) Mailing
- 1998 LCM-06 Extension of Claiming for the Child Care and Development Block Grant Subsidy Program
- 1998 LCM-05 Deadlines for Submitting Claims to Close Out ADC (Including EAF and Administration), JOBS, At Risk and Transitional Child Care, as a Result of TANF

OAH PROCEDURES TRANSMITTALS

98-51 NYC Department of Homeless Services Shelter Placements for SNA Singles

98-17 Responsibility of Local Agencies to Provide Documents to Representatives

98-05 Krieger v. Perales Notification

1997

ADMs

- 1997 ADM-25 Food Stamp Eligibility of Non-Citizens
- 1997 ADM-24 Child Assistance Program (CAP): Impact of the Welfare Reform Act of 1997
- 1997 ADM-23 Public Assistance (Family Assistance/Safety Net Assistance) Changes Resulting From The Welfare Reform Act of 1997
- 1997 ADM-20 Family Assistance Program (Welfare Reform Act of 1997)
- 1997 ADM-19 Reporting and Monitoring of the DSS-3214, Food Stamps Claims Against Household Report
- 1997 ADM-18 Student Grants and Supportive Services Budgeting (Williams vs. Dowling)
- 1997 ADM-17 Elimination of the Child Care Earned Income Disregard and the Implementation of Child Care Payments for Public Assistance Cases with Earned Income
- 1997 ADM-13 Procedure for Requesting Approval of Local Equivalent Forms
- 1997 ADM-11 Assessment Requirement for Recipients of Temporary Assistance for Needy Families (TANF)
- 1997 ADM-08 Re-categorization of ADC and CAP Cases as a Result of the Personal Responsibility and Work Opportunity Reconciliation Act (PRWORA)
- 1997 ADM-06 Immunization Information for PA Applicants and Recipients
- 1997 ADM-05 Option to Extend Certification Periods for Certain Non-Citizen Food Stamp Recipients
- 1997 ADM-03 Prohibition Against Concurrent Benefits
- 1997 ADM-01 Federal Cost-of-Living Adjustment in Social Security and Supplemental Security Income (SSI) Benefits and Impact of Department Programs

INFs

- 1997 INF-18 Digest of Laws of 1997 Relating to Social Services
- 1997 INF-17 DSS-4148D: Supplement to Client Information Books DSS-4148A, 4148B, and 4148C
- 1997 INF-16 Model Drug and/or Alcohol Assessment Form for Public Assistance
- 1997 INF-14 Obsolete Form: DSS-4232; “JOBS (Job Opportunities and Basic Skills Training Program)” Form
- 1997 INF-12 Revisions of the Quarterly Report (DSS-4310) and the Follow-Up to the Quarterly Report (DSS-4310A) and (DSS-4310A NYC)
- 1997 INF-09 Automated Finger Imaging System (AFIS) Policy Clarifications

- 1997 INF-07 Revision of DSS-3604: “Notification of ADC Change”
- 1997 INF-06 District of Fiscal Responsibility
- 1997 INF-05 Consolidated Services Plan: 1997 Income Eligibility Standards
- 1997 INF-04 Temporary Assistance to Needy Families (TANF) State Plan
- 1997 INF-01 Annual Index of Administrative Directives, Informational Letters and Manual Bulletins for 1996

LCMs

- 1997 LCM-68 Preventive Services: Reduction/Waiver of Maintenance of Effort (MOE) Penalty Amount
- 1997 LCM-64 1997 Federal Family Violence Prevention and Services Act Grant
- 1997 LCM-61 Expansion of the Child Assistance Program (CAP)
- 1997 LCM-56 Recoupments, Screen 6 Changes and Attachment
- 1997 LCM-52 Welfare Reform Act of 1997
- 1997 LCM-51 Federal Changes in SSI Eligibility for Children
- 1997 LCM-49 Local District Training CAP
- 1997 LCM-44 Agency-Based Voter Registration (Motor Voter) Training Program
- 1997 LCM-42 Local District Closings
- 1997 LCM-38 Temporary Assistance to Needy Families (TANF) State Plan
- 1997 LCM-37 SSN Validation
- 1997 LCM-32 Notice to Certain Disabled Children Affected by Welfare Reform
- 1997 LCM-31 Tax Refund Offset Program (FTROP)
- 1997 LCM-23 Home and Community-Based Services Waiver for Persons with Traumatic Brain Injuries (HCBS/TBI Waiver): New Recipient Restriction/Exception Code for Waiver Participants
- 1997 LCM-22 Revised Disability Criteria for Children
- 1997 LCM-21 Food Stamp Eligibility for Non-Citizens Prior to April 1, 1997
- 1997 LCM-20 Waiver of Food Stamp ABAWD Eligibility Requirements
- 1997 LCM-07 Home and Community-Based Services Waiver for Persons with Traumatic Brain Injuries (HCBS/TBI Waiver): Authorization, Provision and Payment of Transportation
- 1997 LCM-01 Tax Refund Offset Process – 1997 General Instructions

OAH PROCEDURES TRANSMITTALS

97-25 Requests from Local Agencies for Additional Copies of Decisions

97-21 Administrative Disqualification Hearing (ADH) Reopen Procedures

97-07 Local District Letter Request Notification (Letter Requests)

1996

ADMs

- 1996 ADM-08 OBRA’93 Provisions on Transferees and Trusts
- 1996 ADM-05 Article VII Changes – 1995/96 State Budget

INFs

- 1996 INF-29 Digest of Laws of 1996 Relating to Social Services
- 1996 INF-28 Questions and Answers from the Drug and Alcohol Statewide Regional Meetings, the Introduction of Four New Forms That Will Assist Local Districts In Working With Their Client Population, and the Obsolescence of DSS-2355 and DSS-2356
- 1996 INF-26 Revisions to Applications (DSS-2921, DSS-2921NYC), Recertification Forms (DSS-3174, DSS-3174 NYC) and their “How to Complete” Publications (PUB-1301, PUB-1301 NYC, PUB-1313, PUB -1313 NYC)
- 1996 INF-21 Home and Community-Based Services Waiver for Persons with Traumatic Brain Injuries (HCBS/TBI Waiver)
- 1996 INF-19 Paper Reduction/Reports Distribution

- 1996 INF-16 Implementation of the Revised DSS-876: “Request For Forms or Publications” (Rev. 2/96)
- 1996 INF-12 Obsolete Form: DSS-4158: “Home Relief Notification of Job Readiness and The Right To Contest” (Rev. 8/93)
- 1996 INF-11 Temporary Assistance Forms Implemented, Revised or Made Obsolete in 1995
- 1996 INF-05 Revision of DSS-4231: “Option To End Your Sanction”
- 1996 INF-04 Blue Book Internet Project
- 1996 INF-01 Annual Index of Administrative Directives and Informational Letters for 1995
- LCMs
 - 1996 LCM-110 FY 1996/97 Maximum State Aid Rates (MSARs) For Foster Care Programs and SED Approved Residential Schools for the Period September 1, 1996 – June 30, 1997
 - 1996 LCM-108 Adult Care Facilities: Prohibition of Referrals to Certain Facilities
 - 1996 LCM-107 FY 1996/97 Maximum State Aid Rates (MSAR) for Foster Care Programs and In-State Approved Private Schools for the Period July 1, 1996-August 31, 1996
 - 1996 LCM-106 Competency-Based Training
 - 1996 LCM-105 1996/97 Committee on Special Education (CSE) Maintenance Rates for Approved Schools Located Out-of-State
 - 1996 LCM-104 Child and Adolescent Sexual Offense Medical Protocol
 - 1996 LCM-103 New Address and Telephone Numbers for State MA Disability Review Team
 - 1996 LCM-102 Claiming Instructions - \$50 Child Support Disregard
 - 1996 LCM-100 Annual Implementation Report of the Consolidated Services Plan
 - 1996 LCM-99 Supplemental Security Income (SSI) Cost of Living Adjustment for Family Type Home for Adult Residents
 - 1996 LCM-98 Notification of the Lack of Federal Funding for the Non-Federal Costs of ADC Type Refugees
 - 1996 LCM-87 National Association of Counties Teleconference “Counties and the New Welfare Law”
 - 1996 LCM-86 Federal Welfare Reform “Food Stamp Eligibility of Aliens”
 - 1996 LCM-85 Lottery Intercept Program
 - 1996 LCM-83 Food Stamp Impact of New Federal Welfare Law
 - 1996 LCM-76 State Assumption of Local Districts’ Share of Supplemental SSI Payments
 - 1996 LCM-75 Local District Training CAP
 - 1996 LCM-72 1995 Domestic Violence Annual Report
 - 1996 LCM-63 Revised Reimbursement Ceilings for Social Services for the Period October 1, 1995 through September 30, 1996
 - 1996 LCM-62 Food Stamp Error Rate for FFY 1995
 - 1996 LCM-59 1996-97 Domestic Violence State Aid Rates (DVSAR) for Domestic Violence Residential Programs in New York City
 - 1996 LCM-58 Update to Emergency Assistance to Families Claiming for JD/PINS
 - 1996 LCM-47 TASA Client Outcomes
 - 1996 CLM-45 Employees Separated from Employment Due to Domestic Violence
 - 1996 LCM-29 Local District Clearances
 - 1996 LCM-26 Department Report to Governor Pataki
 - 1996 LCM-21 Authorizing EAF For Services Provided by Non-DSS Agencies
 - 1996 LCM-17 DSS-3214 “Claims Against Households” Retention Amounts
 - 1996 LCM-14 FS Error Rate/Sanction for FFY ‘94
 - 1996 LCM-12 AFDC Error Rate/Sanction for FFY ‘94

- 1996 LCM-11 1996 Domestic Violence State Aid Rates (DVSAR) for Domestic Violence Residential Programs in New York State
- OAH PROCEDURES TRANSMITTALS
- 96-22 Provision of Aid Continuing for Food Stamp Recertification Issues
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 - 1995 ADM-24 Home Visits for Public Assistance Eligibility and Continuing Eligibility
 - 1995 ADM-20 Food Stamps: Stipulation and Order of Settlement in Huberman, et al. v. Espy, et al.
 - 1995 ADM-15 Food Stamp Program Implications of the Mickey Leland Childhood Hunger Relief Act
 - 1995 ADM-09 PA Budgeting: The Treatment of New York State Disability Benefits
 - 1995 ADM-08 Food Stamps: Selection of Head of Household for Employment Purposes
 - 1995 ADM-05 SSI Case Correction Procedure: Use of Form SSA-3911 (Cancels 79-ADM-72)
 - 1995 ADM-04 Public Assistance and Medical Assistance Benefits for Infants Residing with their Incarcerated Mothers
 - 1995 ADM-01 National Voter Registration Act
- INFs
 - 1995 INF-46 Revision of Client Information Books
 - 1995 INF-45 Digest of Laws of 1995 Relating to Social Services
 - 1995 INF-41 Food Stamps: Clarification of Treatment of Earned Income Tax Credit (EITC) as a Resource and Introduction of EITC Desk Chart
 - 1995 INF-40 Food Stamps Expedited Authorization Report (WINR 3195 Expedited Food Stamps)
 - 1995 INF-39 Revision of “How to Apply for Food Stamps If You Are Also Applying For SSI/Are Getting SSI/Are Living In A Group Home...” Booklet (DSS-3035A)
 - 1995 INF-35 Food Stamps: SSI or SSDI When Disability is Based on Drug Addition or Alcoholism
 - 1995 INF-33 PA Budgeting: Self-Employment Income
 - 1995 INF-31 Frequent Reapplications for Emergency Home Relief
 - 1995 INF-30 Clarification of the Treatment of Americorps Awards
 - 1995 INF-27 Federal OSHA Regulations on Blood Borne Pathogens
 - 1995 INF-24 Revision of “Action Taken On Your Request For Assistance To Meet An Immediate Need Or A Special Allowance” (DSS-4002) (Rev. 9/94)
 - 1995 INF-22 FS: Students on Meal Programs and Eligibility of Students Campus Housing
 - 1995 INF-20 The Family Protection and Domestic Violence Intervention Act
 - 1995 INF-19 Quarterly Reporting System (QRS) Questions and Answers
 - 1995 INF-12 Treatment of Americorps Awards
 - 1995 INF-05 Direct Shelter and Child Care Payments
 - 1995 INF-04 Revision of “Determination of Eligibility For Emergency Assistance To Families (EAF)” (DSS-4403) (Rev. 10/94)
 - 1995 INF-01 Annual Index of Administrative Directives, Informational Letters and Manual Bulletins for 1994
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 - 1995 LCM-138 Emergency Assistance to Families Claiming for JD/PINS
 - 1995 LCM-132 HR Requirements to Sign Agreement and Assignment Forms
 - 1995 LCM-129 Reimbursement Ceilings for Social Services for the Period of October 1, 1995 through September 30, 1996
 - 1995 LCM-128 Additional Office of Administrative Hearings Staff Chargeback

- 1995 LCM-126 ADC Minors – Living Arrangements
- 1995 LCM-123 SFY 1995/96 Preventive Services MOE Amounts for Social Services Districts Spending Under the Family and Children's Services Block Grant
- 1995 LCM-114 National Voter Registration Act
- 1995 LCM-108 Family and Children's Services Block Grant
- 1995 LCM-104 Paper Reduction/Reports Survey
- 1995 LCM-94 Questions and Answers from the May 3, 1995 Teleconference on Changes to the Local District Claiming Schedules
- 1995 LCM-88 Claiming Instructions for the Finger Imaging Demonstration Project
- 1995 LCM-78 Treatment of Student Grants When Determining the Need for Supportive Services
- 1995 LCM-75 Announcement of the Availability of Federal Funds for "Changing the Culture of Welfare" Demonstration Projects
- 1995 LCM-66 1995 Domestic Violence State Aid Rates (DVSAR) for Domestic Violence Residential Programs In New York State
- 1995 LCM-58 1994 Domestic Violence Annual Report
- 1995 LCM-54 Emergency Assistance to Families (EAF) for Division for Youth Placements
- 1995 LCM-42 Updated Information Concerning Velasquez v. Bane
- 1995 LCM-17 Food Stamp Computer Assisted Instruction (CAI)
- 1995 LCM-09 Interim Assistance Reimbursement for New Drug/Alcohol SSI Recipients
- 1995 LCM-07 Report of District Organization and Operation – Staffing Schedule (Form DSS-1023)
- 1995 LCM-03 National Voter Registration Act
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- 95-28 Home Hearings Pursuant to Varshavsky v. Perales (Issue Code 900)
- 95-25 New Issue Code: 994 McMahon v. Dowling Disabled Adult Children (DAC)
- 95-14 Phone Numbers to Request Fair Hearings
- 95-06 Transmittal of FH Decisions
- 95-04 Issue Code 997 Agency Field NYS6
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- 1994 ADM-20 Preventing Homelessness and Providing Assistance to Homeless Persons
- 1994 ADM-17 Treatment of Personal Needs Allowance of Institutional Persons and Disposition Upon Death
- 1994 ADM-15 Food Stamps: Expansion of Definition of Food Stamp Eligibles Who Reside in Certain Group Living Arrangements
- 1994 ADM-13 Deeming of Parental Income to SSI-Related Children
- 1994 ADM-11 Domestic Violence: Eligibility and Payment for Residential Services for Victims
- 1994 ADM-10 Budgeting of a Home Relief (HR) Case When a Legally Responsible Relative in Receipt of Supplemental Social Security Income (SSI) is in the Household (Rice vs. Perales)
- 1994 ADM-05 Writing Off Uncollectible Amounts from Closed Cases
- 1994 ADM-01 Interim Assistance Reimbursement (IAR) for Non-IV-E Child Welfare (CW) Benefits
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- 1994 INF-58 FS: Medical Deductions Clarification
- 1994 INF-54 Revision to ABEL Input Sheet (DSS-3570A)
- 1994 INF-46 Digest of Laws of 1994 Relating to Social Services
- 1994 INF-45 PA Budgeting and Case Category for Joint Custody Cases
- 1994 INF-44 Revision of Mandatory Client Notice "Repayment of Interim Assistance Notice" (DSS-2425)
- 1994 INF-43 Obsolescence of Food Stamp Client Notices DSS-4050, DSS-4051 and DSS-4052
- 1994 INF-40 Microenterprises and Public Assistance Recipients
- 1994 INF-39 Revision of DSS-4398: "WMS Non-Services Code Cards" (April 1994 Update) (Upstate Only)
- 1994 INF-38 Revision of "Shelter Verification" Form (DSS-3668)
- 1994 INF-37 Revision of "Life Insurance Information Request – Prudential and Metropolitan" Form (DSS-1410)
- 1994 INF-36 Revised "Programmatic Action Regulations Guide" DSS-4168
- 1994 INF-35 Obsolescence of the 7/91 (Brown) Upstate Version of the "Recertification For PA, MA, FS" (DSS-3174) and the 7/91 (Brown) Upstate Version of the "How To Complete...Recertification" (Pub. 1313)
- 1994 INF-34 Introduction of New Informational Book DSS-3035A to Accompany the DSS-3035/DSS-3035 NYC "Food Stamp Application for SSI Recipients and Group Living Residents"
- 1994 INF-32 Revision of "Determination of Eligibility for Emergency Assistance to Families (EAF)" (DSS-4403) (Rev. 5/94)
- 1994 INF-29 Food Stamps: Child and Adult Care Food Program Payments
- 1994 INF-27 Economic Security Forms Implemented, Revised or Made Obsolete in 1993
- 1994 INF-23 Food Stamps: Deduction for Recurring Medical Expenses
- 1994 INF-22 Obsolescence of "Right To Claim Good Cause For Refusal To Cooperate With Child Support Enforcement (Second Notice)" (DSS-4280)
- 1994 INF-16 Food Stamps Clarification of Effective Date of a Timely Adverse Action Notice
- 1994 INF-11 Intentional Program Violation (IPV) – Regional Meetings Questions and Answers
- 1994 INF-10 Food Stamps: Waiver of In-Office Interviews for Certain Applicants
- 1994 INF-09 Limitations on HR for New Residents – Standards of Payment Update
- 1994 INF-05 Revision of Public Assistance ABEL Budget Narrative (DSS-3951) (Rev. 11/93)
- 1994 INF-04 Revision of Food Stamp Application for SSI Recipients and Group Living Residents (DSS-3035) and (DSS-3035 NYC) (Rev. 9/93)
- 1994 INF-03 The 1994 Earned Income Credit Campaign
- 1994 INF-01 Annual Index of Administrative Directives, Informational Letters and Manual Bulletins for 1993
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- 1994 LCM-155 Reimbursement Ceilings for Social Services for the Period of October 1, 1994 through September 30, 1995
- 1994 LCM-153 Domestic Violence: Questions and Answers
- 1994 LCM-148 National Voter Registration Act Supplies
- 1994 LCM-145 Tax Refund Offset Process – 1995 General Instructions
- 1994 LCM-133 Front End Detection System Teleconference Issues
- 1994 LCM-129 Food Stamp Data Analysis Report with Upstate Case Detail for 10/1/92 through 9/30/93
- 1994 LCM-119 AFDC Error Rate/Sanction for FFY '91
- 1994 LCM-106 Providing Local District Job Opportunities for Public Assistance Applicants/Recipients
- 1994 LCM-104 National Voter Registration Act
- 1994 LCM-91 Teleconference on Front End Detection System – September 30, 1994
- 1994 LCM-81 Velasquez Injunction Required Action with Respect to Poverty
- Level Respondents
- 1994 LCM-70 1994 Domestic Violence State Aid Rates (DSVAR) for Domestic Violence Residential Programs in New York State
- 1994 LCM-54 Three Informational Brochures

- 1994 LCM-53 Implementation of Emergency Assistance to Families (EAF) in Child Welfare
- 1994 LCM-50 1993 Domestic Violence Annual Report
- 1994 LCM-41 Teenage Custodial Parents
- 1994 LCM-29 Food Stamp Employment and Training (FSE&T) Work Experience Programs
- 1994 LCM-15 Reimbursement Ceilings for Social Services for the Period October 1, 1993 through September 30, 1994
- 1994 LCM-05 RFI High Risk Report
- 1993
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 - 1993 ADM-39 Use of EAF for the Provision of Services Revised September 30, 1996
 - 1993 ADM-38 Public Assistance Category Clarification
 - 1993 ADM-35 Shelter Arrears Payments Above Shelter Maximums for Recipients of Aid to Dependent Children and Home Relief and Revised Method of Calculating Income Under Emergency Home Relief
 - 1993 ADM-34 Foster Care and Adoption Assistance: Eligibility Under Title IV-E, Emergency Assistance for Families (EAF), and the Supplemental Security Income Program (SSI)
 - 1993 ADM-33 Filing Unit: Adding Persons Reported or Found to Be in The Household
 - 1993 ADM-31 Filing Unit: Social Security Benefits Received by Children and Their Parents
 - 1993 ADM-26 Social Services District Implications of Chapter 713 of the Laws of 1981 (Home Energy Fair Practices Act)
 - 1993 ADM-24 Model Contract for Local Purchase of Residential Domestic Violence Services Agreements
 - 1993 ADM-21 Utilization of Veteran's Benefits as a Resource
 - 1993 ADM-20 DSS-2642 Documentation Requirements
 - 1993 ADM-14 New Procedures for Eligibility Workers Regarding Inquiry of Third Party Resources
 - 1993 ADM-13 Public Assistance Alien Sponsor Deeming Modified by the Minino and Ruiz vs. Perales Decision
 - 1993 ADM-10 Public Assistance Consolidated Policy on Securing Housing
 - 1993-ADM-09 Quarterly Reporting System (QRS)
 - 1993 ADM-08 Disqualifications for Intentional Program Violations
 - 1993 ADM-05 Public Assistance Eligibility: Retroactive Payment Process (DeAllaume vs. Perales)
 - 1993 ADM-04 Furnishing of Social Security Numbers as a Condition of Public Assistance Household Eligibility
 - 1993 ADM-02 Public Housing: Changes to Shelter Allowances
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 - 1993 INF-49 Digest of Laws of 1993 Relating to Social Services
 - 1993 INF-45 Revisions to Mandatory Client Notices
 - 1993 INF-42 Revision of Follow-Up to the Quarterly Reports (DSS-4310A and DSS-4310A NYC)
 - 1993 INF-40 Revision of Certification Guide (DSS-3570)
 - 1993 INF-34 Department of Housing and Community Renewal (DHCR) Regulation on Security Agreements in Public Housing
 - 1993 INF-30 Social Security Enumeration
 - 1993 INF-29 Restaurant Allowances When Three Meals Are Provided
 - 1993 INF-28 Revision to ABEL Input Sheet (DSS-3570A)
 - 1993 INF-27 Revision of "Food Stamp Change Report Form" (DSS-3151) Rev. 3/93
 - 1993 INF-26 Revision of Client Information Books: DSS-4148A – "What You Should Know About Your Rights and Responsibilities (When Applying For or Receiving Social Services)"; DSS-4148B – "What You Should Know About Social Services Programs"; DSS-4148C – "What You Should Know If You Have An Emergency"

- 1993 INF-25 Revision of DSS-4398: "WMS Non-Services Code Cards" (December, 1992 Update)
- 1993 INF-23 Revision of Mandated "Public Assistance Recertification – Medical Assistance Status" (DSS-2114)
- 1993 INF-22 Economic Security Forms Implemented, Revised or Made Obsolete in 1992
- 1993 INF-18 Clarification of Lump Sum Policy for Retroactive SSI Recipients
- 1993 INF-11 PA Cost Containment: Implementation of Certain Provisions of Chapter 41 of the Laws of 1992 – Questions and Answers
- 1993 INF-10 Electronic Benefit Issuance and Control System (EBICS) Questions and Answers
- 1993 INF-09 The 1993 Earned Income Credit Campaign
- 1993 INF-08 Food Stamp Income Exclusions: National Community Service Act Funds
- 1993 INF-07 Revision of Applications (DSS-2921 and DSS-2921 NYC) and Accompanying "How to Complete" Publications (PUB. 1301 and PUB. 1301 NYC) and "Declaration of Citizenship/Immigration Status" Form (DSS-4060)
- 1993 INF-03 Questions and Answers from May 21, 1992 Teleconference on Immediate Needs
- 1993 INF-02 Revision of "Shelter Verification" Form (DSS-3668)
- 1993 INF-01 Annual Index of Administrative Directives, Informational Letters and Manual Bulletins for 1992
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 - 1993 LCM-158 Treatment of Accelerated Life Insurance Payments
 - 1993 LCM-150 Clarification to 93 LCM-26, dated March 22, 1993, on HIV/AIDS Confidentiality
 - 1993 LCM-147 Food Stamp Provisions of the 1993 Omnibus Budget Reconciliation Act: Mickey Leland Childhood Hunger Relief Act
 - 1993 LCM-141 Social Services Block Grant Report and IV-B Plan for 1993 – 1997
 - 1993 LCM-138 Fraud Prevention Booklet
 - 1993 LCM-136 1993 Domestic Violence State Aid Rates (DVSAR) for Domestic Violence Residential Programs in New York State
 - 1993 LCM-132 1993 Forms Survey
 - 1993 LCM-127 National Voter Registration Act of 1993 ("Motor Voter")
 - 1993 LCM-124 1992 Domestic Violence Annual Report
 - 1993 LCM-120 Food Stamp Nutrition Education
 - 1993 LCM-99 Providing Food Stamp and ADC Program Participation Information to Local School Authorities
 - 1993 LCM-65 Reimbursement Ceilings for Social Services for the Period October 1, 1992 through September 30, 1993
 - 1993 LCM-58 Food Stamp Data Analysis Report for 10/1/91 through 9/30/92
 - 1993 LCM-20 Food Stamp Reinvestment Plan
 - 1993 LCM-14 Food Stamp Sanction Settlement
 - 1993 LCM-04 Lashieka Jackson vs. Perales: The Effect of the Filing Unit of the Lifting of The Jackson Injunction (83 ADM-7)
- 1992
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 - 1992 ADM-46 Shelters for Families: Pre-Approval Process
 - 1992 ADM-44 Transfer of Resources: Changes in the Method of Calculating Transfer Penalty Periods
 - 1992 ADM-43 Disregard of Loans for ADC Public Assistance Cases
 - 1992 ADM-42 Public Assistance Budgeting: Treatment of Adoption Subsidies
 - 1992 ADM-41 Quality Control Reviews: Penalty for Non-Cooperation

- 1992 ADM-40 Pursuing Support for Home Relief Applicants/Recipients and Revision of Related Forms (DSS-4279 and DSS-4280)
- 1992 ADM-39 Reimbursement Ceilings for Social Services for the Period October 1, 1991 through September 30, 1992
- 1992 ADM-37 Home Relief (HR) Plan of Self-Support
- 1992 ADM-33 Front End Detection Systems (FEDS)
- 1992 ADM-31 Filing Unit Rules for Sanctioned Persons
- 1992 ADM-30 PA Budgeting: Prorating Grants According to Date Specific Eligibility Rules
- 1992 ADM-26 PA Cost Containment Implementation of Certain Provisions of Chapter 41 of the Laws of 1992
- 1992 ADM-22 Required Corrective Action Plan
- 1992 ADM-20 PA Budgeting: Income of Sanctioned Public Assistance Recipients
- 1992 ADM-19 Absent Parent/Legally Responsible Relative Subrogation
- 1992 ADM-17 PA Budgeting: Lump Sum Notification Procedures
- 1992 ADM-10 Requirement for Declaration of Citizenship/Immigration Status – Change in Food Stamp Requirement
- 1992 ADM-09 Food Stamps: Income Exclusion for Homeless Households in Transitional Housing
- 1992 ADM-07 Treatment of Lump Sum Workers' Compensation Awards
- 1992 ADM-06 Treatment of Earned Income Tax Credit (EITC)
- 1992 ADM-03 Food Stamps: Failure to Comply with Employment and Training Requirements (Non-Head of Household)
- INFs
- 1992 INF-57 Revised "Programmatic Action Regulations Guide" DSS-4168
- 1992 INF-53 Digest of Laws of 1992 Relating to Social Services
- 1992 INF-49 Introduction of Combined Recertification Form (DSS-3174) and Accompanying "How To Complete" Publication (Pub. 1313)
- 1992 INF-48 Home Relief Drug/Alcohol Abuse Sanctions – Questions and Answers
- 1992 INF-45 PA, MA, and FS Treatment of Escrow Accounts Under the Family Self-Sufficiency (FSS) Program Administered by the Department of Housing and Urban Development (HUD)
- 1992 INF-42 Revision of Continuous Pinfeed Version of "Notice of Intent to Change Benefits: PA, FS, MA Coverage and Services (Timely and Adequate)" (DSS-4015C)
- 1992 INF-39 Revision to ABEL Input Sheet (DSS-3570A)
- 1992 INF-38 Revision of Two Food Stamp ABEL Budget Narratives (DSS-3959 and DSS-3961)
- 1992 INF-34 Revision to Mandatory Client Notices
- 1992 INF-31 Revision of "Shelter Verification" Form (DSS-3668)
- 1992 INF-30 Revision of Mandated "Public Assistance Recertification – Medical Assistance Status" (DSS-2114)
- 1992 INF-28 Income Maintenance Forms Implemented, Revised or Made Obsolete in 1991
- 1992 INF-24 Revision of Certification Guide (DSS-3570)
- 1992 INF-22 Food Stamp Program: Processing of and Revisions to Form DSS-2291 (Rev. 10/91), Statement of Non-Receipt of Food Stamp Benefits
- 1992 INF-21 Verification of Social Security Numbers
- 1992 INF-17 Revision to Budget Worksheet – Public Assistance (DSS-548) (Rev. 12/91)
- 1992 INF-16 Food Stamp Treatment of Payments to Qualified Organizations serving as Representative Payees in the SSI Program
- 1992 INF-12 The 1992 Earned Income Credit Campaign
- 1992 INF-09 Verifying Eligibility: Special Determinations for Public Assistance Cases
- 1992 INF-08 Public Assistance Paternity Acknowledgment
- 1992 INF-07 Verification of Information Maintained by Banks and Financial Institutions
- 1992 INF-06 Revision to Budget Worksheet – Public Assistance (DSS-548) (Rev. 12/91)
- 1992 INF-03 Revision of Continuous Pinfeed Version of "Notice of Intent to Change Benefits: PA, FS, MA Coverage and Services (Timely and Adequate)" (DSS-4015C)
- 1992 INF-02 Revision to ABEL Input Sheet (DS-3570A)
- 1992 INF-01 Annual Index of Administrative Directives, Informational Letters and Manual Bulletins for 1991
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- 1992 LCM-197 Review of Local Rules, Regulations and Procedures 18NYCRR 300.6 – New Contact Person
- 1992 LCM-193 Tax Refund Offset Process – 1993 General Instructions
- 1992 LCM-182 Supplemental Security Income (SSI) Cost of Living Adjustment for Family Type Home for Adults Residents
- 1992 LCM-175 Quarterly Client Contact
- 1992 LCM-149 NYPWA Committee Meetings: Distribution of Materials
- 1992 LCM-140 Final AFDC Data Analysis Report for 10/1/90 Through 9/30/91
- 1992 LCM-122 Electronic Benefit Issuance and Control System (EBICS) PIN Security Requirements
- 1992 LCM-116 Client Informational Material – Forty Five Day Waiting Period for Home Relief Applicants
- 1992 LCM-112 Recovery of Security Deposits from Landlords
- 1992 LCM-106 Providing Food Stamp and ADC Program Participation Information to Local School Authorities
- 1992 LCM-104 Domestic Violence: Questions and Answers
- 1992 LCM-103 1991 Domestic Violence Annual Report
- 1992 LCM-93 Resource File Integration
- 1992 LCM-59 Twelve Month Food Stamp Data Analysis Report with Upstate Case Detail for 10/1/90 through 9/30/91
- 1992 LCM-34 1992 Domestic Violence State Aid Rates (DVSAR) for Domestic Violence Residential Programs in New York State
- 1992 LCM-24 Photo ID's – CBIC Cards EMEVS Processing
- 1992 LCM-22 Photo ID Requirements for AFSI Phase III (EBICS)
- 1992 LCM-06 Non-Residential Services for Victims of Domestic Violence
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- 1991 ADM-49 Reimbursement for Burials
- 1991 ADM-45 Recovery/Recoupment of Security Deposits or Security Agreements, Finders'/Brokers' Fees and Moving Expenses Due to Non-Payment of Rent
- 1991 ADM-44 Changes to Home Relief (HR/PG-ADC) Drug Alcohol Rehabilitation Requirements
- 1991 ADM-43 Emergency Home Relief Income Eligibility Criteria and Other Changes to the Emergency Home Relief Program
- 1991 ADM-41 Food Stamps: Replacement of Coupons and Food
- 1991 ADM-25 Section 8: Amendment to Department Regulation 352.3(d) and the Effect of PA Households in Section 8 Rent Subsidy programs
- 1991 ADM-23 Treatment of German Reparation Payments Received by Institutionalized Individuals
- 1991 ADM-09 Cancellation of Outdated or Duplicative Administrative Directives
- 1991 ADM-06 PA and FS Disregards: Treatment of Agent Orange Payments
- 1991 ADM-03 Fuel Allowances for Dependent Children Residing with Self-Maintaining Non-Legally Responsible Caretakers (McMullen vs. Perales, et al.)
- 1991 ADM-01 Public Assistance Shelter and Fuel Needs for Children in Foster Care
- INFs

- 1991 INF-69 Revision of Applications and Recertification Application (DSS-2921, DSS-2921(NYC), DSS-3174), Accompanying “How To Complete” Publications (Pub.1301, Pub. 1301(NYC), Pub 1313) and “Declaration of Citizenship/Immigration Status” Form (DSS-4060)
- 1991 INF-68 Food Stamps: MA Referral of Persons Termed Disabled to Local District FS Staff
- 1991 INF-66 Public Assistance Sewer, Water and Garbage Charges
- 1991 INF-65 Revision to Public Assistance ABEL Budget Narratives (DSS-3951, DSS-3952, DSS-3953, DSS-3954) (Rev. 10/91)
- 1991 INF-64 Digest of Laws of 1991 Relating to Social Services
- 1991 INF-60 Revision of Client Information Books: DSS-4148A – “What You Should Know About Your Rights and Responsibilities (When Applying for or Receiving Social Services)” DSS-4148B – “What You Should Know About Social Services Programs” DSS-4148C – “What You Should Know If You Have An Emergency”
- 1991 INF-58 Clarification of Policy on Income-Producing Property
- 1991 INF-57 Revisions to Mandatory Client Notices
- 1991 INF-56 Fair Hearings – Compliance with Favorable Decisions
- 1991 INF-51 Revised “Programmatic Action Regulations Guide” DSS-4168
- 1991 INF-49 Food Stamps Quality Control Alert: Failure to Budget Correct Shelter Costs for Households Reporting Changes in HUD Rent Subsidies
- 1991 INF-48 Food Stamps Policy Clarification Regarding Aged, Blind or Disabled Aliens Under the Immigration and Nationality Act (INA)
- 1991 INF-47 Food Stamps Quality Control Alert
- 1991 INF-41 Use of Uniform Terms and Names
- 1991-INF-38 Food Stamps: SSI/Food Stamp Joint Processing Procedures
- 1991 INF-35 Clarification of Requirements to Provide Client Information Books (DSS-4148A – “What You Should Know About Your Rights and Responsibilities (When Applying for or Receiving Social Services)” and DSS-4148B – “What You Should Know About Social Services Programs”)
- 1991 INF-25 Resources File Integration
- 1991 INF-24 Reduction of Certain Institutionalized Veterans’ Pension Benefits
- 1991 INF-20 The 1991 Earned Income Credit Campaign
- 1991 INF-19 Revision of Applications and Recertification Application (DSS-2921, DSS-2921(NYC), DSS-3174) and Accompanying “How To Complete” Publications (Pub. 1301, Pub. 1301(NYC), Pub. 1313)
- 1991 INF-18 Unemployment Insurance Benefits (UIB): Permanent Provision to Extend Benefits to UIB Claimants in Training
- 1991 INF-17 Digest of Laws of 1990 Relating to Social Services
- 1991 INF-16 Income Maintenance Forms Implemented, Revised or Made Obsolete in 1990
- 1991 INF-15 Revision of “Bank Inquiry and Clearance Report” (DSS-760) (Rev.1/91)
- 1991 INF-12 Filing Unit: A Non-Legally Responsible Caretaker Relative and a Natural Parent Reside with A Minor Dependent Child
- 1991 INF-10 Introduction of Continuous Pinfeed Version of “Notice of Intent to Change Benefits: PA, FS, MA Coverage and Services (Timely and Adequate)” (DSS-4015C)
- 1991 INF-07 Monthly Reporting Retrospective Budgeting (MRRB): Prospective Budgeting
- 1991 INF-06 Food Stamps: Treatment of Federal Pension Income
- 1991 INF-04 Unemployment Insurance Benefits (UIB): Permanent
- 1991 INF-03 1990 Income Maintenance Regional Meetings Questions and Answers
- 1991 INF-01 Annual Index of Administrative Directives, Informational Letters and Manual Bulletins for 1990
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 - 1991 LCM-218 Individual Categorical Code 04 Military Service of Parent
 - 1991 LCM-212 Domestic Violence Maintenance Grants
 - 1991 LCM-210 Declaration of Citizenship/Alien Status
 - 1991 LCM-181 Payment of Child Care for Employed Caretaker Relatives Prior to the Application of the Child Care Disregard
 - 1991 LCM-149 Domestic Violence, Provisions of Chapter 53 of the Laws of 1991
 - 1991 LCM-139 Preventive Housing Grants
 - 1991 LCM-129 Recovery of Security Deposits from Landlords
 - 1991 LCM-122 Energy Reconciliation Procedures
 - 1991 LCM-91 DSS-3214 “Claims Against Households” Retention Amounts
 - 1991 LCM-80 Increase in Federal Minimum Wage Level
 - 1991 LCM-79 Child Support Pass-Throughs
 - 1991 LCM-60 Disclosure of Information to the U.S. Department of Veterans’ Affairs
 - 1991 LCM-48 Filed Regulation 352.22(o) Filed Regulation 352.22(v) Filed Regulation 504.5
 - 1991 LCM-46 Section 8: Proposed Change to Department Regulation 352.3(d) to Establish a Shelter Schedule for Public Assistance Tenants Who Participate In The Section 8 Certificate Program
 - 1991 LCM-45 Education for Homeless Children
 - 1991 LCM-32 Federal Reimbursement for Cash/Medical Assistance and Administration Under the Refugee/Entrant Assistance Program(R/EAP) for FFY 91
 - 1991 LCM-28 Filed Regulation 352.22(x)
 - 1991 LCM-19 Information on High School Diploma Programs
 - 1991 LCM-17 Fair Hearing Chargebacks
- 1990
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 - 1990 ADM-45 Emergency Assistance for Adults (EAA) – Permanent Authorization
 - 1990 ADM-44 Recipient Restriction Program Policy Changes
 - 1990 ADM-41 New Client Informational Books: DSS-4148A – “What You Should Know About Your Rights and Responsibilities (When Applying for or Receiving Social Services)” and DSS-4148B – “What you Should Know About Social Services Programs”
 - 1990 ADM-39 Revision of Public Assistance “Undue Hardship” Policy
 - 1990 ADM-38 Public Service Commission Regulations Regarding Certain Private Waterworks Corporations
 - 1990 ADM-23 Food Stamps: Changes in Social Security Number Policy
 - 1990 ADM-22 Reimbursement Ceilings for Social Services for the Period October 1, 1989 Through September 30, 1990
 - 1990 ADM-19 Preventive Services/Housing Services: Chapter 542 of the Laws of 1988
 - 1990 ADM-18 ADC and Food Stamps for Individuals in Congregate Care Facilities
 - 1990 ADM-17 Mandatory Notice: Disability Determinations
 - 1990 ADM-15 Cancellation of Outdated or Duplicative Administrative Directives
 - 1990 ADM-13 Eligibility Criteria for Emergency Home Relief
 - 1990 ADM-08 Emergency Shelter Allowances for Persons with AIDS or HIV-Related Illness Faced with Homelessness
 - 1990 ADM-05 Claiming Burial Expenses Under the Aid to Dependent Children(ADC) Program
 - 1990 ADM-03 Changes in Earned Income Disregards: Amendments to Department Regulations
- INFs

- 1990 INF-67 Revision of “Emergency Assistance For Adults Applicant Statement” (DSS-2921A)
- 1990 INF-66 Revision of “Documentation/Verification Desk Aid” (DSS-3666)
- 1990 INF-65 Revision of Client Information Books: DSS-4148A – “What You Should Know About Your Rights and Responsibilities (When Applying for or Receiving Social Services)” and DSS-4148B – “What You Should Know About Social Services Programs”
- 1990 INF-62 Errata 90 INF-62 (Revisions to DSS-3825 and Cancellation of DSS-3824), Transmittal Date November 9, 1990
- 1990 INF-57 Revisions to Mandatory Client Notices
- 1990 INF-53 Errata 90 INF-53 (Food Stamp; Complaint Procedures/Requirements) transmittal Date October 1, 1990
- 1990 INF-52 Grants of Assistance to Guide Dogs: Elimination of Quarterly Report (DS-3094)
- 1990 INF-49 Revision of “ADC-U Screening Checklist” (DSS-2502)
- 1990 INF-47 Food Stamp Notice for October 1, 1990 Food Stamp Changes
- 1990 INF-46 Revision of “Emergency Assistance For Adults Applicant Statement” (DSS-2921A)
- 1990 INF-40 Revision of “Documentation Requirements” (DSS-2642)
- 1990 INF-39 Incapacity as an ADC Deprivation Factor
- 1990 INF-34 Revision to Monthly Reporting Data Mailers (DSS-3469; DSS-3469A) and Monthly Reporting Instructions (DSS-3469B; DSS-3469B(S))
- 1990 INF-33 Treatment of Income from Certain Seniors Citizen Programs for Public Assistance, Medical Assistance and Food Stamps
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- 1990 INF-31 The Earned Income Credit Campaign
- 1990 INF-30 Home Relief (HR) Job Search Program Questions and Answers
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- 1990 LCM-169 Training Fees Increase
- 1990 LCM-168 Local district IV-D Cooperative Agreements
- 1990 LCM-165 Federal Lobbying Act-Certification Requirements
- 1990 LCM-157 Welfare Reform Conference
- 1990 LCM-155 Burials – Itemized Billing
- 1990 LCM-153 Domestic Violence Maintenance Grants
- 1990 LCM-135 PA Closing Reports for Notification of Transitional Child Care Benefits
- 1990 LCM-132 Teenage Services Act (TASA)
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 - 1989 ADM-12 Transmitting Applications for Social Security Numbers (SS-5s) to the Local Social Security Office
 - 1989 ADM-9 Notification to Monthly Reporting Households that Return a Late Incomplete Monthly Report Form & Language for Client (Ruffin v. Perales)
 - 1989 ADM-02 Home Relief Cash Allowance for Persons in Public Shelters for Adults (Thrower v. Perales)
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 - 1989 INF-55 Lump Sums
 - 1989 INF-54 Participation by Pregnant Women in Emergency Assistance To Families (EAF)
 - 1989 INF-47 Digest of Laws of 1989 Relating to Social Services
 - 1989 INF-46 Food Stamps: Application Processing for Migrant/Seasonal Farm workers

- 1989 INF-34 Food Stamp Policy Regarding Eastern Airlines Strikers
- 1989 INF-33 Page Replacements for 89 ADM-18
- 1989 INF-32 Payment of Sales Tax for Public Assistance Recipients Placed in Hotels/Motels
- 1989 INF-31 Food Stamp Household Composition: Three Generation Household and Living With Siblings
- 1989 INF-29 Revision of “MA/FS Separate Determination Input Form” (DSS-3558)
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- 1989 INF-15 SSI Referrals of Individuals Dependent on Alcohol or Drugs
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- 1989 INF-01 Annual Index of Administrative Directives, Informational Letters and Manual Bulletins for 1988
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- 1988 ADM-26 Cancellation of Outdated Administrative Directives
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- 1988 INF-75 Burial Spaces/Plots
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- 1988 INF-68 Graduate Student Assistantships
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- 1988 INF-64 PA/Energy Voluntary Restriction Form
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- 1988 INF-50 Food Stamp Categorical Eligibility: Budgeting of Food Stamp Households Retroactively Determined Eligible for SSI
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- 1987 ADM-28 Monthly Reporting (Jensen v. Perales)
- 1987 ADM-25 Social Security Number Validation Process
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- 1987 INF-53 SSI Related Case Closing
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- 1987 INF-47 Literacy Volunteers of New York State, Inc.
- 1987 INF-45 Expanded Child Care Demonstration Project
- 1987 INF-42 Sales Tax on Food Stamp Purchases
- 1987 INF-40 The Amendment of Subdivision (b) of Section 372.4 of Title 18 NYCRR (EAF Property Repairs)
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- 1987 INF-37 Responsibility of Local Districts During the Fair Hearings Process
- 1987 INF-35 Food Stamp Eligibility for the Disabled: Press Release
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- 1987 INF-30 New York Telephone Life Line
- 1987 INF-29 Income Maintenance Regional Meetings: Questions and Answers
- 1987 INF-28 Adult Educational Services: Welfare Education Program (WEP), Employment Preparation Education Program (EPE), and Adult Education Act (AEA – Also Known as Adult Basic Education (ABE))
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- 1987 INF-26 “Food Stamp Restaurant Program for the Elderly and Disabled.” List of Authorized Restaurants
- 1987 INF-25 Job Training Partnership Act (JTPA): Schedule for Modifications of the Job Training Plan JTPA Allocations (1987-88) Changes in the JTPA Title IIB Program – (Summer Youth)
- 1987 INF-23 Job Training Partnership Act (JTPA): Title IIA Statewide Summary Report for July 1985 – June 1986
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- 1987 INF-16 Reimbursement for Educationally Handicapped Children Placed in Child Care Institutions or RTFs
- 1987 INF-15 The Revision of the DSS-3021, AFDC/SSI Inter-agency Request Form
- 1987 INF-14 Submission of DSS-2550: Application Register (or WINR 1140 – DS-2550 Food Stamp Application Register)
- 1987 INF-12 Planning Year (PY) ’86 Hard to Serve Incentive Awards
- 1987 INF-10 Jobs Created by the NYS Job Development Authority Available to Public Assistance Recipients
- 1987 INF-07 Department of Social Services/State Education Department Employment Program Initiatives
- 1987 INF-05 Interagency Agreement Between the Department of Social Services and Office of Mental Health on Discharge Planning
- 1987 INF-03 Clarification of Employability Status of ADC Caretaker Relative with Children Under Six
- 1987 INF-01 Annual Index of Administrative Directives/Informational Letters, Manual Bulletins 1986
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- 1986 ADM-40 Assistance to Recipients Who Move from One District to Another (Rogers v. Kramer)
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- 1986 ADM-13 Client Requested Restricted Payments
- 1986 ADM-10 Revision of PA-Employment Related Sanctioning Procedures
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- 1986 INF-43 Permanency Practice Issue: Parental Involvement With Their Children and With The Supervising Agencies
- 1986 INF-41 1986 Legislation Related to Victims of Domestic Violence
- 1986 INF-37 Clarification of Certain Environmental Standards for Family Type Homes (Dept. Regulation Part 489)
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- 1985 ADM-24 Work Incentive Program (WIN Demonstration) and Other Changes that Affect All Districts
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- 1985 ADM-09 Cooperative Cases (Danks v. Perales)
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- 1985 INF-02 Annual Index of Administrative Directives/Informational Letters 1984
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- 1984 ADM-10 Payment of the Supplemental Security Income (SSI) Rate to Home Relief (HR) Recipients in Congregate Care Facilities
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- 1983 ADM-48 Changes in the Refugee Assistance Program (RAP) and Cuban/Haitian Entrant Program (CHEP)
- 1983 ADM-45 Budgeting of Individuals and Families Temporarily Housed in Hotels/Motels
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 - 1982 ADM-75 Elimination of Proration in PA cases Affected by the Swift v. Toia Case
 - 1982 ADM-74 Provision of Adequate and Timely Notice for HR Supplemented Recipients of SSI Benefits When the HR Benefit is Reduced or Discontinued
 - 1982 ADM-68 Public Work Project Worksites at Religious Institutions; Atchinson et al. v. Blum
 - 1982 ADM-65 Nafziger et al. v. Blum
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 - 1982 ADM-17 Assignment and Subrogation (Chapter 319 Laws of 1981)
 - 1982 ADM-15 Budgeting Sick Pay Benefits as Earned Income (Pennick v. Blum and Buscaglia)
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- 1982 INF-19 State Supplemental Personal Needs Allowance (SSPNA) to SSI Recipients Placed in Certain Health Care Facilities Out-of-State
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 - 1981 ADM-63 Percey v. Blum Budgeting Earned Income on Non-PA Legally Responsible ADC Caretaker Relatives
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 - 1981 ADM-38 U.S. Circuit Court of Appeals Decision, Hayes and Warren v. Blum, and U.S. District Court Decisions, Jamroz v. Blum and Markel v. Blum: Treatment of Educational Grants, Loans and Scholarships
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 - 1981 ADM-01 Income of Illegal Aliens Ineligible for Public Assistance and Care
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 - 1981 INF-32 Digest of Laws of 1981 Relating to Social Services
 - 1981 INF-28 New Interim Assistance Legislation Chapter 433 of Laws of 1981
 - 1981 INF-14 Addendum to 1981 INF-10
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 - 1980 ADM-64 Tax Credit Programs
 - 1980 ADM-44 Exception to procedures requiring PA applicant/recipients to reapply for SSI benefits
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- 1980 INF-14 Revised DSS 1776 Work Relief Project Activity Work Sheet
- 1980 INF-10 Reallocation of WIN Services Funds for Federal Fiscal Year 1979
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- 1979 INF-17 Section 8 – Housing Assistance Payments (HAP) Program for Lower-Income Families
- 1979 INF-15 Revised CETA Desk Aid
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- 1979 INF-07 Pen and Ink Correction of '78 INF-8 (EAA Desk Reference)
- 1979 INF-05 Disregard of Per Capita Judgment Fund Paid to Indians
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- 1978 ADM-93 Maximum Utilization of SSI Program by Requiring ADC Applicants/Recipients Who Appear Disabled to Apply for SSI
- 1978 ADM-76 SSI/AFDC Payment Coordination Form DSS-3021
- 1978 ADM-71 Nondiscrimination-Handicapped Section 504-Rehabilitation Act of 1973
- 1978 ADM-56 Public Assistance and Care for Migratory Seasonal Farm Workers
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- 1978 ADM-48 Services to Victims of Domestic Violence: Special Care Homes as Authorized by Chapter 450 of the Laws of 1977
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- 1977 ADM-56A Initial Case Review MIL Maintenance Program and Rebudgeting
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- 1977 ADM-54 Emergency Assistance to Adults (EAA) – Direct Deposit Program for SSI Checks
- 1977 ADM-40 Change in Procedures Regarding the Use of Timely and Adequate Notices During an Eligibility Questionnaire Mail-Out Project
- 1977 ADM-26B Citizenship and Alien Status as a Condition for Public Assistance and Care
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- 1977 ADM-06 Recoveries of Advance Allowances for Rent and/or Utilities (Reves v. Dumpson & Lavine)
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- 1977 INF-23 Access to Social Security Administration Income Information
- 1977 INF-15 Pass-Through of Federal Cost-of-Living Increases to SSI Recipients and Increases in State Supplement Payments
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- 1977 INF-09 Upgrading of “Less Than Honorable Discharge from Military Service”
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- 1976 ADM-121 Miscellaneous Shelter Grants and Allowances
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- 1976 ADM-110 EAA Policy: Claiming for Repairs To, or Maintenance On, Property Not Owned by the SSI Occupant
- 1976 ADM-104 Exceptions to the Timely Notice Requirement in Certain Cases of Proposed Adverse Action

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- 1976 INF-34 Bonus Payments to ADC Families Under Title IV-D
- 1976 INF-33 List of Available Records for NYS DSS Freedom of Information Law
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- 1976 INF-22 Family Planning Services Flyer, “The Stork Should Be An Invited Guest”
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- 1976 INF-02 EAF Court Decision – Baumes v. Lavine
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- 1975 ADM-131 1975 Index to ADM/INF Letters and List of Releases Superseded in 1975
- 1975 ADM-108 EAA-Program Amendment and Expansion
- 1975 ADM-86 N.Y. Court of Appeals Decision: Jones v. Lavine (Westchester Co.); Domine and Gipson v. Lavine (Albany Co.); Duplicate Assistance Payments Under the Emergency Assistance for Families (EAF) Program (S.S.L. § 350-j; 18 NYCRR § 372.2(c); Expedited Hearings Under EAF
- 1975 ADM-85 MA Eligibility of Children in Foster Care
- 1975 ADM-78 Expedited Hearings for EAF/EAA
- 1975 ADM-73 Family Planning Services
- INFs
- 1975 INF-56 List of Available Records for NYS DSS Freedom of Information Law
- 1975 INF-45 Federal Legislation, “Emergency Housing Act of 1975” (PL 94-50)
- 1975 INF-42 Improper Practices by Rental Brokers
- 1975 INF-33 Final Federal Regulations Under Title XX
- 1975 INF-29 Implementation of New Federal Paternity and Support Requirements
- 1975 INF-25 Desk Aid – CETA - Program and Policies
- 1975 INF-11 Model Regulations for Public Access to Records Freedom of Information Law
- 1975 INF-09 Interim Payments Under Home Relief for SSI Eligibles – Continuation of Instructions in 74-ADM-176
- 1975 INF-07 Family Planning Services – Flyer “The Smaller Size”
- 1974
- ADMs
- 1974 ADM-132 Freedom of Information Law: Implementation by Local Districts
- 1974 ADM-124 Emergency Assistance to Adults (EAA)
- 1974 ADM-96 Continuation of Assistance Payments, Medical Assistance Authorization and Food Stamp Authorization When Fair Hearing is Requested

- 1974 ADM-83 Fraud Investigations of Voluntary Case Closings Occurring During the Public Assistance and Medical Assistance Face-to-Face Recertification Programs
- 1974 ADM-79 Standards of Assistance – Monthly Grants and Allowances
- 1974 ADM-26 Recommended Procedure to Reduce the Incidence of Illegal Welfare Check Cashing
- 1974 ADM-24 Shelter Allowances
- 1974 ADM-11 Averaging of Earned Income for ADC Recipients
- 1974 ADM-06 Emergency Assistance to Adults
- INFs
- 1974 INF-34 PA for Strikers
- 1974 INF-28 Disregards of Payment Received Pursuant to the Alaska Native Claims Act
- 1974 INF-20 Information Flow and Processing, PA Eligibility
- 1973
- WIN ADM
- 1973 WIN ADM-09 Application of #30 and 1/3 Earned Income Disregard
- PWDs (Administrative Letters)
- 1973 PWD-182 Clarification of Admin. Letter 73 PWD-159 Relating to Investigation and Eligibility; Continued Federal Court Order in Lewis v. Lavine Admin. Letter 73 PWD-88
- 1973 PWD-173 Right to Apply for Public Assistance and Action of Suspension of Assistance Eliminated
- 1973 PWD-161 Addendum to Admin. Letter 73 PWD-93 of 6/18/73 (Requirements Applicable to Fraud Cases)
- 1973 PWD-159 Investigation and Eligibility
- 1973 PWD-157 Application for Public Assistance
- 1973 PWD-131 Dublino v. NYS DSS (Non-Win Districts Only)
- 1973 PWD-124 Security Deposit for Gas and Electric Service
- 1973 PWD-09 (1) State Approval for Sale of Conveyed Real Estate prior to Death of Recipient (2) Redemption of Real Property After a Deed or Mortgage Has Been Given to a Social Services District
- INFs
- 1973 INF-48 Recipient Non-Payment of Shelter Expenses
- 1973 INF-34 Digest of Laws of 1973 Relating to Social Services
- 1973 INF-13 NYS DSS Response to Natural Disasters
- 1973 INF-01 Release of Information to Internal Revenue Service
- 1972
- PWDs (Administrative Letters)
- 1972 PWD-157 Decisions & Actions
- 1972 PWD-149 Dublino Case Court Order: HR Employables; Notice to Recipients Relative To Right to Fair Hearing on Determination of Employability pursuant to Section 131.4 of the Social Services law and Part 385 of the Regulations
- 1972 PWD-148 Court Order in Case of Dublino v. Wyman (New York State Work Rules)
- 1972 PWD-147 Inclusion of Full Shelter Allowance in One Semi-Monthly Check
- 1972 PWD-137 Shelter Payments – Chapter 943 Laws of 1972
- 1972 PWD-133 laws of 1972 – Amendment to Social Services Law, in Relation to Rent Security Deposits
- 1972 PWD-84 Revised WIN Program (III), Budgeting of WIN Enrollees Who Are Employed or in OJT
- 1972 PWD-23 Public Works Project – Workmen’s Compensation
- 1972 PWD-16 Inquiry and Report on Unemployment Insurance Benefits Form DSS-1416
- 1972 PWD-08 FSP – Instructions for Local Districts on Recipient Claim Determinations
- INF
- 1972 INF-37 Educational Services for Children of Families Residing in Motel/Hotels

1971

PWDs (Administrative Letters)

- 1971 PWD-105 Photo ID Cards
- 1971 PWD-103 Grouping of Districts by PA Caseload
- 1971 PWD-81 Photo ID Cards
- 1971 PWD-75 Possible Travel to be Reporting to DA
- 1971 PWD-68 Chapter 123 of the Laws of 1971
- 1971 PWD-63 Photo Identification Cards
- 1971 PWD-62 Volunteers
- 1971 PWD-61 Lopez v. Wyman
- 1971 PWD-55 Welfare Residency Requirements
- 1971 PWD-46 Study of Characteristics of Recipients of Home Relief

• 1971 PWD-41 Separation of Services, Guidelines Pursuant to Chapter 109, Laws of 1971, Supplemental to Admin Letter '71 PWD-23

- 1971 PWD-21 Standards of Assistance

1970

PWD (Administrative Letter)

- 1970 PWD-84 Location of SSD Office

INF

- 1970 INF-22 Digest of Laws of 1970 Relating to Social Services

1968

PWDs (Administrative Letters)

- 1968 PWD-41 Exemption of Income and Resources
- 1968 PWD-13 Reporting of ADC Children in Need Due to Absence of Parents

INFs

- 1968 INF-29 Digest of Laws of 1968 Relating to Social Services
- 1968 INF-17 Cuban Refugee Program: Adjustment of Status from Parolee to Permanent Resident

Manual Bulletins (undated)

MB 195 - Compilation of Requirements for the Provision of Social Services

MB 185 - EAF

MB 181 - Work – Related Benefits

MB 178 - Protection Against Discriminatory Practices

MB 175 - Caseload and Supervisory Standards

MB 169 - Requirements Applicable to Fraud Cases

MB 137b - Disclosure of Information

MB 134 - Standard of Need

MB 128 - Fair Hearings

MB 91b - Determination of Initial and Continuing Eligibility for Public Assistance or Care

MB 90h - Official Releases

MB 26a - Restoring Employables to Self-Support

MB 17h - Distribution of Informational Pamphlets and Notification to Applicants and Recipients of Public Assistance and Care

ADVERTISEMENTS FOR BIDDERS/CONTRACTORS

SEALED BIDS

PROVIDE ADA RESTROOM Sing-Sing Correctional Facility Ossining, Westchester County

Sealed bids for Project No. Q1759-C, comprising a contract for Construction Work, Provide ADA Restroom, Building 8, Sing-Sing Correctional Facility, 354 Hunter Street, Ossining (Westchester County), NY, will be received by the Office of General Services (OGS), Design & Construction Group (D&C), Division of Contract Management, 35th Fl., Corning Tower, Empire State Plaza, Albany, NY 12242, on behalf of the Department of Corrections and Community Supervision, until 2:00 p.m. on Wednesday, February 9, 2022 when they will be publicly opened and read. Each bid must be prepared and submitted in accordance with the Instructions to Bidders and must be accompanied by a bid security (i.e. certified check, bank check, or bid bond in the amount of \$11,500 for C).

Further, Wicks Exempt Projects require a completed form BDC 59 (Wicks Exempt List of Contractors) be filled out and submitted (included in a separate, sealed envelope) in accordance with Document 002220, Supplemental Instructions to Bidders – Wicks Exempt. Failure to submit this form correctly will result in a disqualification of the bid.

All successful bidders will be required to furnish a Performance Bond and a Labor and Material Bond pursuant to Sections 136 and 137 of the State Finance Law, each for 100% of the amount of the Contract estimated to be between \$100,000 and \$250,000 for C.

Pursuant to State Finance Law §§ 139-j and 139-k, this solicitation includes and imposes certain restrictions on communications between OGS D&C and a bidder during the procurement process. A bidder is restricted from making contacts from the earliest posting, on the OGS website, in a newspaper of general circulation, or in the Contract Reporter of written notice, advertisement or solicitation of offers through final award and approval of the contract by OGS D&C and the Office of the State Comptroller ("Restricted Period") to other than designated staff unless it is a contact that is included among certain statutory exceptions set forth in State Finance Law § 139-j(3)(a). Designated staff are John Pupons, Jessica Hoffman, and Pierre Alric in the Division of Contract Management, telephone (518) 474-0203, fax (518) 473-. OGS D&C employees are also required to obtain certain information when contacted during the restricted period and make a determination of the responsibility of the bidder pursuant to these two statutes. Certain findings of non-responsibility can result in rejection for contract award and in the event of two findings within a four-year period, the bidder is debarred from obtaining governmental Procurement Contracts. Bidders responding to this Advertisement must familiarize themselves with the State Finance Law requirements and will be expected to affirm that they understand and agree to comply on the bid form. Further information about these requirements can be found within the project manual or at: <https://ogs.ny.gov/ACPL/>

Pursuant to Public Buildings Law § 8(6), effective January 11, 2020, for any projects where the project design commenced on or after January 1, 2020 and for any contracts over \$5,000 for the work of construction, reconstruction, alteration, repair, or improvement of any

State building, a responsible and reliable NYS-certified Minority or Women-Owned Business Enterprise that submits a bid within ten percent of the lowest bid will be deemed the apparent low bidder provided that the bid is \$1,400,000 or less, as adjusted annually for inflation beginning January 1, 2020. If more than one responsible and reliable MWBE firm meets these requirements, the MWBE firm with the lowest bid will be deemed the apparent low bidder.

 X Project commenced design before January 1, 2020. Not subject to provision.

 Project commenced design on or after January 1, 2020. Subject to provision.

The substantial completion date for this project is 199 days after the Agreement is approved by the Comptroller.

The only time prospective bidders will be allowed to visit the job site to take field measurements and examine existing conditions of the project area will be at 9:00 a.m. on January 26, 2022 at Sing Sing Correctional Facility, 354 Hunter Street, Ossining, NY. Prospective bidders are urged, but not mandated, to visit the site at this time. Prospective bidders or their representatives attending the pre-bid site visit will not be admitted on facility grounds without proper photo identification. Note that parking restrictions and security provisions will apply and all vehicles will be subject to search. Refer to Document 002218 for any additional requirements for attendance at the pre-bid site visit.

Phone the office of Jeremy Siegel (914-941-1122) a minimum of 72 hours in advance of the date to provide the names of those who will attend the pre-bid site visit. Only contractors that schedule a visit at least 72 hours in advance will be allowed to participate in the pre-bid site visit.

Pursuant to New York State Executive Law Article 15-A and the rules and regulations promulgated thereunder, OGS is required to promote opportunities for the maximum feasible participation of New York State-certified Minority- and Women-owned Business Enterprises ("MWBEs") and the employment of minority group members and women in the performance of OGS contracts. All bidders are expected to cooperate in implementing this policy. OGS hereby establishes an overall goal of 30% for MWBE participation, 15% for Minority-Owned Business Enterprises ("MBE") participation and 15% for Women-Owned Business Enterprises ("WBE") participation (based on the current availability of qualified MBEs and WBEs). The total contract goal can be obtained by utilizing any combination of MBE and/or WBE participation for subcontracting and supplies acquired under this Contract. Trades with 0% goals are encouraged to make "good faith efforts" to promote and assist in the participation of MWBEs on the Contract for the provision of services and materials.

Article 17-B of the New York State Executive Law provides for more meaningful participation in public procurement by certified Service-Disabled Veteran-Owned Businesses ("SDVOBs"). Bidders are expected to consider SDVOBs in the fulfillment of the requirements of the Contract. Such participation may be as subcontractors or suppliers, as protégés, or in other partnering or supporting roles. OGS hereby establishes overall goals for SDVOBs' participation under this contract as follows: 6% for the C trade contractor, based on the current availability of qualified SDVOBs. Trades with 0% goals are encouraged to make "good faith efforts" to promote and assist in the participation of SDVOBs on the Contract for the provision of services and materials.

The Office of General Services reserves the right to reject any or all bids.

The Bidding and Contract Documents for this Project are available for viewing and downloading from OGS Design & Construction's plan room hosting service, Bid Express. Vendors wishing to view and/or download bid documents must complete a one-time registration for the Bid Express service. There is no cost to register for Bid Express. Registration along with viewing and downloading of documents can be accessed at the following link: <http://www.bidexpress.com>

For questions about downloading of bid documents, please send an e-mail to support@bidexpress.com, or call the Bid Express toll-free number at (888) 352-2439.

For all other questions, please send an email to DCPlans@ogs.ny.gov, or call (518) 474-0203.

For additional information on this project, please use the link below and then click on the project number: <https://online.ogs.ny.gov/dnc/contractorConsultant/esb/ESBPlansAvailableIndex.asp>

By OGS - Design & Construction Group

PROVIDE GENERATOR

Collins Correctional Facility
Collins, Erie County

Sealed bids for Project Nos. Q1814-C and Q1814-E, comprising separate contracts for Construction Work, and Electrical Work, Provide Generator, Building 72, Collins Correctional Facility, 490 Middle Road, Collins (Erie County), NY will be received by the Office of General Services (OGS), Design & Construction Group (D&C), Division of Contract Management, 35th Fl., Corning Tower, Empire State Plaza, Albany, NY 12242, on behalf of the Department of Corrections and Community Supervision, until 2:00 p.m. on Wednesday, February 9, 2022 when they will be publicly opened and read. Each bid must be prepared and submitted in accordance with the Instructions to Bidders and must be accompanied by a bid security (i.e. certified check, bank check, or bid bond in the amount of \$9,000 for C, and \$18,700 for E).

All successful bidders will be required to furnish a Performance Bond and a Labor and Material Bond pursuant to Sections 136 and 137 of the State Finance Law, each for 100% of the amount of the Contract estimated to be between \$100,000 and \$250,000 for C, and between \$100,000 and \$250,000 for E.

Pursuant to State Finance Law §§ 139-j and 139-k, this solicitation includes and imposes certain restrictions on communications between OGS D&C and a bidder during the procurement process. A bidder is restricted from making contacts from the earliest posting on the OGS website, in a newspaper of general circulation, or in the Contract Reporter, of written notice, advertisement or solicitation of offers, through final award and approval of the contract by OGS D&C and the Office of the State Comptroller ("Restricted Period") to other than designated staff, unless it is a contact that is included among certain statutory exceptions set forth in State Finance Law § 139-j(3)(a). Designated staff are John Pupons, Jessica Hoffman, and Pierre Alric in the Division of Contract Management, telephone (518) 474-0203, fax (518) 473-7862. OGS D&C employees are also required to obtain certain information when contacted during the restricted period and to make a determination of the responsibility of the bidder pursuant to these two statutes. Certain findings of non-responsibility can result in rejection for contract award and in the event of two findings within a four-year period, the bidder is debarred from obtaining governmental Procurement Contracts. Bidders responding to this Advertisement must familiarize themselves with the State Finance Law requirements and will be expected to affirm that they understand and agree to comply on the bid form. Further information about these requirements can be found within the project manual or at: <https://ogs.ny.gov/ACPL/>

Pursuant to Public Buildings Law § 8(6), effective January 11, 2020, for any projects where the project design commenced on or after January 1, 2020 and for any contracts over \$5,000 for the work of

construction, reconstruction, alteration, repair, or improvement of any State building, a responsible and reliable NYS-certified Minority or Women-Owned Business Enterprise that submits a bid within ten percent of the lowest bid will be deemed the apparent low bidder provided that the bid is \$1,400,000 or less, as adjusted annually for inflation beginning January 1, 2020. If more than one responsible and reliable MWBE firm meets these requirements, the MWBE firm with the lowest bid will be deemed the apparent low bidder.

_____ Project commenced design before January 1, 2020. Not subject to provision.

 X Project commenced design on or after January 1, 2020. Subject to provision.

The substantial completion date for this project is 281 days after the Agreement is approved by the Comptroller.

The only time prospective bidders will be allowed to visit the job site to take field measurements and examine existing conditions of the project area will be at 9:00 a.m. on January 27, 2022 at the OGS Field Office, 14312 Taylor Hollow Road, Gowanda, NY 14070. Prospective bidders are urged, but not mandated, to visit the site at this time. Prospective bidders or their representatives attending the pre-bid site visit will not be admitted on facility grounds without proper photo identification. Note that parking restrictions and security provisions will apply, and all vehicles will be subject to search. Refer to Document 002218 for any additional requirements for attendance at the pre-bid site visit.

Phone the office of Kim Himes (716-532-4688) a minimum of 72 hours in advance of the date to provide the names of those who will attend the pre-bid site visit. Only contractors that schedule a visit at least 72 hours in advance will be allowed to participate in the pre-bid site visit.

Pursuant to New York State Executive Law Article 15-A and the rules and regulations promulgated thereunder, OGS is required to promote opportunities for the maximum feasible participation of New York State-certified Minority and Women-owned Business Enterprises ("MWBEs") and the employment of minority group members and women in the performance of OGS contracts. All bidders are expected to cooperate in implementing this policy. OGS hereby establishes an overall goal of 30% for MWBE participation, 15% for Minority-Owned Business Enterprises ("MBE") participation and 15% for Women-Owned Business Enterprises ("WBE") participation (based on the current availability of qualified MBEs and WBEs) for Construction Work and an overall goal of 6% for MWBE participation, 3% for Minority-Owned Business Enterprises ("MBE") participation and 3% for Women-Owned Business Enterprises ("WBE") participation (based on the current availability of qualified MBEs and WBEs) for Electrical Work. The total contract goal can be obtained by utilizing any combination of MBE and/or WBE participation for subcontracting and supplies acquired under this Contract. Trades with 0% goals are encouraged to make "good faith efforts" to promote and assist in the participation of MWBEs on the Contract for the provision of services and materials.

Article 17-B of the New York State Executive Law provides for more meaningful participation in public procurement by certified Service-Disabled Veteran-Owned Businesses ("SDVOBs"). Bidders are expected to consider SDVOBs in the fulfillment of the requirements of the Contract. Such participation may be as subcontractors or suppliers, as protégés, or in other partnering or supporting roles. OGS hereby establishes overall goals for SDVOBs' participation under this contract as follows: 6% for the C trade contractor, and 3% for the E trade contractor, based on the current availability of qualified SDVOBs. Trades with 0% goals are encouraged to make "good faith efforts" to promote and assist in the participation of SDVOBs on the Contract for the provision of services and materials.

The Office of General Services reserves the right to reject any or all bids.

The Bidding and Contract Documents for this Project are available for viewing and downloading from OGS Design & Construction's plan room hosting service, Bid Express. Vendors wishing to view and/or download bid documents must complete a one-time registration for the Bid Express service. There is no cost to register for Bid

Express. Registration along with viewing and downloading of documents can be accessed at the following link: <http://www.bidexpress.com>

For questions about downloading of bid documents, please send an e-mail to support@bidexpress.com, or call the Bid Express toll-free number at (888) 352-2439.

For all other questions, please send an email to DCPlans@ogs.ny.gov, or call (518) 474-0203.

For additional information on this project, please use the link below and then click on the project number: <https://online.ogs.ny.gov/dnc/contractorConsultant/esb/ESBPlansAvailableIndex.asp>

By OGS - Design & Construction Group

REPLACE SALT STORAGE

Department of Transportation Region 2
Rome, Oneida County

Sealed bids for Project Nos. 47085-C and 47085-E, comprising separate contracts for Construction Work, and Electrical Work, Replace Salt Storage Building, DOT Region 2, Oneida County, 6515 State Route 26, Rome (Oneida County), NY will be received by the Office of General Services (OGS), Design & Construction Group (D&C), Division of Contract Management, 35th Fl., Corning Tower, Empire State Plaza, Albany, NY 12242, on behalf of the Department of Transportation, until 2:00 p.m. on Wednesday, February 9, 2022 when they will be publicly opened and read. Each bid must be prepared and submitted in accordance with the Instructions to Bidders and must be accompanied by a bid security (i.e. certified check, bank check, or bid bond in the amount of \$36,800 for C, and \$10,700 for E).

All successful bidders will be required to furnish a Performance Bond and a Labor and Material Bond pursuant to Sections 136 and 137 of the State Finance Law, each for 100% of the amount of the Contract estimated to be between \$500,000 and \$1,000,000 for C, and between \$100,000 and \$250,000 for E.

Pursuant to State Finance Law §§ 139-j and 139-k, this solicitation includes and imposes certain restrictions on communications between OGS D&C and a bidder during the procurement process. A bidder is restricted from making contacts from the earliest posting on the OGS website, in a newspaper of general circulation, or in the Contract Reporter, of written notice, advertisement or solicitation of offers, through final award and approval of the contract by OGS D&C and the Office of the State Comptroller ("Restricted Period") to other than designated staff, unless it is a contact that is included among certain statutory exceptions set forth in State Finance Law § 139-j(3)(a). Designated staff are John Pupons, Jessica Hoffman, and Pierre Alric in the Division of Contract Management, telephone (518) 474-0203, fax (518) 473-7862. OGS D&C employees are also required to obtain certain information when contacted during the restricted period and to make a determination of the responsibility of the bidder pursuant to these two statutes. Certain findings of non-responsibility can result in rejection for contract award and in the event of two findings within a four-year period, the bidder is debarred from obtaining governmental Procurement Contracts. Bidders responding to this Advertisement must familiarize themselves with the State Finance Law requirements and will be expected to affirm that they understand and agree to comply on the bid form. Further information about these requirements can be found within the project manual or at: <https://ogs.ny.gov/ACPL/>

Pursuant to Public Buildings Law § 8(6), effective January 11, 2020, for any projects where the project design commenced on or after January 1, 2020 and for any contracts over \$5,000 for the work of construction, reconstruction, alteration, repair, or improvement of any State building, a responsible and reliable NYS-certified Minority or Women-Owned Business Enterprise that submits a bid within ten percent of the lowest bid will be deemed the apparent low bidder provided that the bid is \$1,400,000 or less, as adjusted annually for in-

flation beginning January 1, 2020. If more than one responsible and reliable MWBE firm meets these requirements, the MWBE firm with the lowest bid will be deemed the apparent low bidder.

_____ Project commenced design before January 1, 2020. Not subject to provision.

X _____ Project commenced design on or after January 1, 2020. Subject to provision.

The substantial completion date for this project is 178 days after the Agreement is approved by the Comptroller.

As a condition of award, within 48 hours of receipt of the proposed Contract Agreement from the State, the apparent low bidder shall return the Contract Agreement to the State, properly executed, along with the Bonds if required by said Agreement. Low bidders who cannot meet these provisions may be subject to disqualification and forfeiture of the bid security.

The only time prospective bidders will be allowed to visit the job site to take field measurements and examine existing conditions of the project area will be at 10:30 a.m. on January 26, 2022, at 6515 State Route 26, Rome NY. Prospective bidders are urged, but not mandated, to visit the site at this time. Prospective bidders or their representatives attending the pre-bid site visit will not be admitted on facility grounds without proper photo identification. Note that parking restrictions and security provisions will apply, and all vehicles will be subject to search. Refer to Document 002218 for any additional requirements for attendance at the pre-bid site visit.

Phone the office of Matt Chesebro (315-960-6860) a minimum of 48 hours in advance of the date to provide the names of those who will attend the pre-bid site visit. Only contractors that schedule a visit at least 48 hours in advance will be allowed to participate in the pre-bid site visit.

Pursuant to New York State Executive Law Article 15-A and the rules and regulations promulgated thereunder, OGS is required to promote opportunities for the maximum feasible participation of New York State-certified Minority and Women-owned Business Enterprises ("MWBEs") and the employment of minority group members and women in the performance of OGS contracts. All bidders are expected to cooperate in implementing this policy. OGS hereby establishes an overall goal of 10% for MWBE participation, 5% for Minority-Owned Business Enterprises ("MBE") participation and 5% for Women-Owned Business Enterprises ("WBE") participation (based on the current availability of qualified MBEs and WBEs) for Construction Work. The total contract goal can be obtained by utilizing any combination of MBE and/or WBE participation for subcontracting and supplies acquired under this Contract. Trades with 0% goals are encouraged to make "good faith efforts" to promote and assist in the participation of MWBEs on the Contract for the provision of services and materials.

Article 17-B of the New York State Executive Law provides for more meaningful participation in public procurement by certified Service-Disabled Veteran-Owned Businesses ("SDVOBs"). Bidders are expected to consider SDVOBs in the fulfillment of the requirements of the Contract. Such participation may be as subcontractors or suppliers, as protégés, or in other partnering or supporting roles. OGS hereby establishes overall goals for SDVOBs' participation under this contract as follows: 3% for the C trade contractor, and 0% for the E trade contractor, based on the current availability of qualified SDVOBs. Trades with 0% goals are encouraged to make "good faith efforts" to promote and assist in the participation of SDVOBs on the Contract for the provision of services and materials.

The Office of General Services reserves the right to reject any or all bids.

The Bidding and Contract Documents for this Project are available for viewing and downloading from OGS Design & Construction's plan room hosting service, Bid Express. Vendors wishing to view and/or download bid documents must complete a one-time registration for the Bid Express service. There is no cost to register for Bid Express. Registration along with viewing and downloading of documents can be accessed at the following link: <http://www.bidexpress.com>

For questions about downloading of bid documents, please send an

e-mail to support@bidexpress.com, or call the Bid Express toll-free number at (888) 352-2439.

For all other questions, please send an email to DCPlans@ogs.ny.gov, or call (518) 474-0203.

For additional information on this project, please use the link below and then click on the project number: <https://online.ogs.ny.gov/dnc/contractorConsultant/esb/ESBPlansAvailableIndex.asp>

By OGS - Design & Construction Group

**IMPROVE
DEHUMIDIFICATION SYSTEM
New York State Police
East Meadow, Nassau County**

Sealed bids for Project No. 47151-H, comprising a contract for HVAC Work, Improve Dehumidification System, NYS Police, East Meadow, 101 Merrick Avenue, East Meadow (Nassau County), NY will be received by the Office of General Services (OGS), Design & Construction Group (D&C), Division of Contract Management, 35th Fl., Corning Tower, Empire State Plaza, Albany, NY 12242 on behalf of the New York State Police, until 2:00 p.m. on Wednesday, February 9, 2022 when they will be publicly opened and read. Each bid must be prepared and submitted in accordance with the Instructions to Bidders and must be accompanied by a bid security (i.e. certified check, bank check, or bid bond in the amount of \$24,200 for H).

Further, Wicks Exempt Projects require a completed form BDC 59 (Wicks Exempt List of Contractors) be filled out and submitted (included in a separate, sealed envelope) in accordance with Document 002220, Supplemental Instructions to Bidders – Wicks Exempt. Failure to submit this form correctly will result in a disqualification of the bid.

All successful bidders will be required to furnish a Performance Bond and a Labor and Material Bond pursuant to Sections 136 and 137 of the State Finance Law, each for 100% of the amount of the Contract estimated to be between \$250,000 and \$500,000 for H.

Pursuant to State Finance Law §§ 139-j and 139-k, this solicitation includes and imposes certain restrictions on communications between OGS D&C and a bidder during the procurement process. A bidder is restricted from making contacts from the earliest posting, on the OGS website, in a newspaper of general circulation, or in the Contract Reporter of written notice, advertisement or solicitation of offers through final award and approval of the contract by OGS D&C and the Office of the State Comptroller (“Restricted Period”) to other than designated staff unless it is a contact that is included among certain statutory exceptions set forth in State Finance Law § 139-j(3)(a). Designated staff are John Pupons, Jessica Hoffman, and Pierre Alric in the Division of Contract Management, telephone (518) 474-0203, fax (518) 473-. OGS D&C employees are also required to obtain certain information when contacted during the restricted period and make a determination of the responsibility of the bidder pursuant to these two statutes. Certain findings of non-responsibility can result in rejection for contract award and in the event of two findings within a four-year period, the bidder is debarred from obtaining governmental Procurement Contracts. Bidders responding to this Advertisement must familiarize themselves with the State Finance Law requirements and will be expected to affirm that they understand and agree to comply on the bid form. Further information about these requirements can be found within the project manual or at: <https://ogs.ny.gov/ACPL/>

Pursuant to Public Buildings Law § 8(6), effective January 11, 2020, for any projects where the project design commenced on or after January 1, 2020 and for any contracts over \$5,000 for the work of construction, reconstruction, alteration, repair, or improvement of any State building, a responsible and reliable NYS-certified Minority or Women-Owned Business Enterprise that submits a bid within ten percent of the lowest bid will be deemed the apparent low bidder provided that the bid is \$1,400,000 or less, as adjusted annually for inflation beginning January 1, 2020. If more than one responsible and

reliable MWBE firm meets these requirements, the MWBE firm with the lowest bid will be deemed the apparent low bidder.

_____ Project commenced design before January 1, 2020. Not subject to provision.

 X Project commenced design on or after January 1, 2020. Subject to provision.

The substantial completion date for this project is 237 days after the Agreement is approved by the Comptroller.

The only time prospective bidders will be allowed to visit the job site to take field measurements and examine existing conditions of the project area will be at 10:00 a.m. on January 26, 2022 at 101 Merrick Avenue, East Meadow, NY 11554. Prospective bidders are urged, but not mandated, to visit the site at this time. Prospective bidders or their representatives attending the pre-bid site visit will not be admitted on facility grounds without proper photo identification. Note that parking restrictions and security provisions will apply and all vehicles will be subject to search. Refer to Document 002218 for any additional requirements for attendance at the pre-bid site visit.

Phone the office of Bibi Bacchus (718-776-4441) a minimum of 48 hours in advance of the date to provide the names of those who will attend the pre-bid site visit. Only contractors that schedule a visit at least 48 hours in advance will be allowed to participate in the pre-bid site visit.

Pursuant to New York State Executive Law Article 15-A and the rules and regulations promulgated thereunder, OGS is required to promote opportunities for the maximum feasible participation of New York State-certified Minority- and Women-owned Business Enterprises (“MWBEs”) and the employment of minority group members and women in the performance of OGS contracts. All bidders are expected to cooperate in implementing this policy. OGS hereby establishes an overall goal of 16% for MWBE participation, 8% for Minority-Owned Business Enterprises (“MBE”) participation and 8% for Women-Owned Business Enterprises (“WBE”) participation (based on the current availability of qualified MBEs and WBEs). The total contract goal can be obtained by utilizing any combination of MBE and/or WBE participation for subcontracting and supplies acquired under this Contract. Trades with 0% goals are encouraged to make “good faith efforts” to promote and assist in the participation of MWBEs on the Contract for the provision of services and materials.

Article 17-B of the New York State Executive Law provides for more meaningful participation in public procurement by certified Service-Disabled Veteran-Owned Businesses (“SDVOBs”). Bidders are expected to consider SDVOBs in the fulfillment of the requirements of the Contract. Such participation may be as subcontractors or suppliers, as protégés, or in other partnering or supporting roles. OGS hereby establishes overall goals for SDVOBs’ participation under this contract as follows: 6% for the H trade contractor, based on the current availability of qualified SDVOBs. Trades with 0% goals are encouraged to make “good faith efforts” to promote and assist in the participation of SDVOBs on the Contract for the provision of services and materials.

The Office of General Services reserves the right to reject any or all bids.

The Bidding and Contract Documents for this Project are available for viewing and downloading from OGS Design & Construction’s plan room hosting service, Bid Express. Vendors wishing to view and/or download bid documents must complete a one-time registration for the Bid Express service. There is no cost to register for Bid Express. Registration along with viewing and downloading of documents can be accessed at the following link: <http://www.bidexpress.com>

For questions about downloading of bid documents, please send an e-mail to support@bidexpress.com, or call the Bid Express toll-free number at (888) 352-2439.

For all other questions, please send an email to DCPlans@ogs.ny.gov, or call (518) 474-0203.

For additional information on this project, please use the link below and then click on the project number: <https://online.ogs.ny.gov/dnc/contractorConsultant/esb/ESBPlansAvailableIndex.asp>

By OGS - Design & Construction Group

**REPLACE
LOWER ROOF**
St. Albans Veterans Home
Jamaica, Queens County

Sealed bids for Project No. 47238-C, comprising a contract for Construction Work, Replace Lower Roof, Main Building, St. Albans Veterans Home, 17850 Linden Boulevard, Jamaica (Queens County), NY will be received by the Office of General Services (OGS), Design & Construction Group (D&C), Division of Contract Management, 35th Fl., Corning Tower, Empire State Plaza, Albany, NY 12242, on behalf of the Department of Health, until 2:00 p.m. on Wednesday, February 9, 2022, when they will be publicly opened and read. Each bid must be prepared and submitted in accordance with the Instructions to Bidders and must be accompanied by a bid security (i.e. certified check, bank check, or bid bond in the amount of \$39,800 for C).

All successful bidders will be required to furnish a Performance Bond and a Labor and Material Bond pursuant to Sections 136 and 137 of the State Finance Law, each for 100% of the amount of the Contract estimated to be between \$500,000 and \$1,000,000 for C.

Pursuant to State Finance Law §§ 139-j and 139-k, this solicitation includes and imposes certain restrictions on communications between OGS D&C and a bidder during the procurement process. A bidder is restricted from making contacts from the earliest posting on the OGS website, in a newspaper of general circulation, or in the Contract Reporter, of written notice, advertisement or solicitation of offers, through final award and approval of the contract by OGS D&C and the Office of the State Comptroller ("Restricted Period") to other than designated staff, unless it is a contact that is included among certain statutory exceptions set forth in State Finance Law § 139-j(3)(a). Designated staff are John Pupons, Jessica Hoffman, and Pierre Alric in the Division of Contract Management, telephone (518) 474-0203, fax (518) 473-7862. OGS D&C employees are also required to obtain certain information when contacted during the restricted period and to make a determination of the responsibility of the bidder pursuant to these two statutes. Certain findings of non-responsibility can result in rejection for contract award and in the event of two findings within a four-year period, the bidder is debarred from obtaining governmental Procurement Contracts. Bidders responding to this Advertisement must familiarize themselves with the State Finance Law requirements and will be expected to affirm that they understand and agree to comply on the bid form. Further information about these requirements can be found within the project manual or at: <https://ogs.ny.gov/ACPL/>

Pursuant to Public Buildings Law § 8(6), effective January 11, 2020, for any projects where the project design commenced on or after January 1, 2020 and for any contracts over \$5,000 for the work of construction, reconstruction, alteration, repair, or improvement of any State building, a responsible and reliable NYS-certified Minority or Women-Owned Business Enterprise that submits a bid within ten percent of the lowest bid will be deemed the apparent low bidder provided that the bid is \$1,400,000 or less, as adjusted annually for inflation beginning January 1, 2020. If more than one responsible and reliable MWBE firm meets these requirements, the MWBE firm with the lowest bid will be deemed the apparent low bidder.

_____ Project commenced design before January 1, 2020. Not subject to provision.

X Project commenced design on or after January 1, 2020. Subject to provision.

The substantial completion date for this project is 482 days after the Agreement is approved by the Comptroller.

No pre-bid site visits have been scheduled for this project and prospective bidders are not allowed to visit the project site or facility

buildings and grounds to take measurements or examine existing conditions.

Pursuant to New York State Executive Law Article 15-A and the rules and regulations promulgated thereunder, OGS is required to promote opportunities for the maximum feasible participation of New York State-certified Minority and Women-owned Business Enterprises ("MWBEs") and the employment of minority group members and women in the performance of OGS contracts. All bidders are expected to cooperate in implementing this policy. OGS hereby establishes an overall goal of 30% for MWBE participation, 15% for Minority-Owned Business Enterprises ("MBE") participation and 15% for Women-Owned Business Enterprises ("WBE") participation (based on the current availability of qualified MBEs and WBEs). The total contract goal can be obtained by utilizing any combination of MBE and/or WBE participation for subcontracting and supplies acquired under this Contract. Trades with 0% goals are encouraged to make "good faith efforts" to promote and assist in the participation of MWBEs on the Contract for the provision of services and materials.

Article 17-B of the New York State Executive Law provides for more meaningful participation in public procurement by certified Service-Disabled Veteran-Owned Businesses ("SDVOBs"). Bidders are expected to consider SDVOBs in the fulfillment of the requirements of the Contract. Such participation may be as subcontractors or suppliers, as protégés, or in other partnering or supporting roles. OGS hereby establishes overall goals for SDVOBs' participation under this contract as follows: 3% for the C trade contractor, based on the current availability of qualified SDVOBs. Trades with 0% goals are encouraged to make "good faith efforts" to promote and assist in the participation of SDVOBs on the Contract for the provision of services and materials.

The Office of General Services reserves the right to reject any or all bids.

The Bidding and Contract Documents for this Project are available for viewing and downloading from OGS Design & Construction's plan room hosting service, Bid Express. Vendors wishing to view and/or download bid documents must complete a one-time registration for the Bid Express service. There is no cost to register for Bid Express. Registration along with viewing and downloading of documents can be accessed at the following link: <http://www.bidexpress.com>

For questions about downloading of bid documents, please send an e-mail to support@bidexpress.com, or call the Bid Express toll-free number at (888) 352-2439.

For all other questions, please send an email to DCPlans@ogs.ny.gov, or call (518) 474-0203.

For additional information on this project, please use the link below and then click on the project number: <https://online.ogs.ny.gov/dnc/contractorConsultant/esb/ESBPlansAvailableIndex.asp>

By OGS - Design & Construction Group

MISCELLANEOUS NOTICES/HEARINGS

Notice of Abandoned Property Received by the State Comptroller

Pursuant to provisions of the Abandoned Property Law and related laws, the Office of the State Comptroller receives unclaimed monies and other property deemed abandoned. A list of the names and last known addresses of the entitled owners of this abandoned property is maintained by the office in accordance with Section 1401 of the Abandoned Property Law. Interested parties may inquire if they appear on the Abandoned Property Listing by contacting the Office of Unclaimed Funds, Monday through Friday from 8:00 a.m. to 4:30 p.m., at:

1-800-221-9311
or visit our web site at:
www.osc.state.ny.us

Claims for abandoned property must be filed with the New York State Comptroller's Office of Unclaimed Funds as provided in Section 1406 of the Abandoned Property Law. For further information contact: Office of the State Comptroller, Office of Unclaimed Funds, 110 State St., Albany, NY 12236.

NOTICE OF PUBLIC HEARING

Susquehanna River Basin Commission

SUMMARY: The Susquehanna River Basin Commission will hold a public hearing on February 3, 2022. The Commission will hold this hearing in-person and telephonically. At this public hearing, the Commission will hear testimony on the projects listed in the Supplementary Information section of this notice. Such projects and proposals are intended to be scheduled for Commission action at its next business meeting, tentatively scheduled for March 17, 2022, which will be noticed separately. The public should take note that this public hearing will be the only opportunity to offer oral comment to the Commission for the listed projects and proposals. The deadline for the submission of written comments is February 14, 2022.

DATES: The public hearing will convene on February 3, 2022, at 6:30 p.m. The public hearing will end at 9:00 p.m. or at the conclusion of public testimony, whichever is earlier. The deadline for the submission of written comments is Monday, February 14, 2022.

ADDRESSES: This public hearing will be conducted in-person and telephonically. You may attend in person at Susquehanna River Basin Commission, 4423 N. Front St., Harrisburg, Pennsylvania or join by Conference Call #: 1-888-387-8686, Conference Room #: 917 968 6050.

FOR FURTHER INFORMATION CONTACT: Jason Oyler, General Counsel and Secretary to the Commission, telephone: (717) 238-0423 or joyler@srbc.net.

Information concerning the applications for the projects is available at the Commission's Water Application and Approval Viewer at <https://www.srbc.net/waav>. Information concerning the proposals can be found at <https://www.srbc.net/about/meetings-events/>. Additional supporting documents are available to inspect and copy in accordance with the Commission's Access to Records Policy at www.srbc.net/regulatory/policies-guidance/docs/access-to-records-policy-2009-02.pdf.

SUPPLEMENTARY INFORMATION: The public hearing will cover the following projects:

Projects Scheduled for Action:

1. Project Sponsor and Facility: Artesian Water Company, Inc., New Garden Township, Chester County, Pa. Application for renewal of the transfer of water of up to 3,000 mgd (30-day average) from the Chester Water Authority (Docket No. 19961105).

2. Project Sponsor and Facility: Columbia Water Company, West Hempfield Township, Lancaster County, Pa. Applications for groundwater withdrawals (30 day averages) of up to 0.474 mgd from Chickies Well 2 and 0.596 mgd from Chickies Well 3.

3. Project Sponsor and Facility: Commonwealth Environmental Systems L.P., Foster, Frailey and Reilly Townships, Schuylkill County, Pa. Application for renewal of consumptive use of up to 0.150 mgd (peak day) (Docket No. 20070304).

4. Project Sponsor: Compass Quarries, Inc. Project Facility: Allan Myers Materials – Paradise Quarry, Paradise Township, Lancaster County, Pa. Modification to increase consumptive use (peak day) by an additional 0.068 mgd, for a total consumptive use of up to 0.150 mgd (Docket No. 20040608).

5. Project Sponsor: Corning Incorporated. Project Facility: Sullivan Park, Town of Erwin, Steuben County, N.Y. Applications for renewal of groundwater withdrawals (30-day averages) of up to 0.800 mgd from Well 2 and 0.800 mgd from Well 3, and consumptive use of up to 0.350 mgd (peak day) (Docket No. 19970705).

6. Project Sponsor and Facility: Coterra Energy Inc. (Meshoppen Creek), Lemon Township, Wyoming County, Pa. Application for renewal of surface water withdrawal of up to 1.000 mgd (peak day) (Docket No. 20170302).

7. Project Sponsor: County of Lycoming. Project Facility: Lycoming County Resource Management Services, Brady Township, Lycoming County, Pa. Application for renewal of consumptive use of up to 0.099 mgd (30-day average) (Docket No. 20070302).

8. Project Sponsor and Facility: Deep Woods Lake LLC, Dennison Township, Luzerne County, Pa. Applications for groundwater withdrawal of up to 0.200 mgd (30-day average) from Well SW-5 and consumptive use of up to 0.467 mgd (peak day).

9. Project Sponsor and Facility: Eagles Mere Country Club, Eagles Mere Borough and Shrewsbury Township, Sullivan County, Pa. Application for renewal of consumptive use of up to 0.120 mgd (peak day) (Docket No. 19970302).

10. Project Sponsor and Facility: EQT ARO LLC (West Branch Susquehanna River), Nippenose Township, Lycoming County, Pa. Application for renewal of surface water withdrawal of up to 0.720 mgd (peak day) (Docket No. 20170301).

11. Project Sponsor: Farmers Pride, Inc. Project Facility: Bell & Evans Plant 3, Bethel Township, Lebanon County, Pa. Applications for groundwater withdrawals (30-day averages) of up to 0.108 mgd from Well PW-1, 0.139 mgd from Well PW-2, and 0.179 mgd from Well PW-4.

12. Project Sponsor and Facility: Geisinger Health System, Mahoning Township, Montour County, Pa. Applications for renewal of consumptive use of up to 0.499 mgd (peak day) and groundwater withdrawal of up to 0.075 mgd (30-day average) from Well 3, as well as recognizing, assessing, and regulating historical withdrawals from the Mine Shaft Well (Docket No. 19910103).

13. Project Sponsor: Hampden Township. Project Facility: Armitage Golf Club, Hampden Township, Cumberland County, Pa. Application for renewal of consumptive use of up to 0.290 mgd (peak day) (Docket No. 19920101).

14. Project Sponsor and Facility: Millersburg Area Authority, Upper Paxton Township, Dauphin County, Pa. Application for renewal of groundwater withdrawal of up to 0.117 mgd (30-day average) from Well 14 (Docket No. 19930301).

15. Project Sponsor and Facility: Municipal Authority of the Township of East Hempfield dba Hempfield Water Authority, East Hempfield Township, Lancaster County, Pa. Applications for renewal of groundwater withdrawals (30 day averages) of up to 0.353 mgd from Well 6, 0.145 mgd from Well 7, 1.447 mgd from Well 8, and 1.800 mgd from Well 11, and Commission-initiated modification to Docket No. 20120906, which approves withdrawals from Wells 1, 2, 3, 4, and 5 and Spring S-1 (Docket Nos. 19870306, 19890503, 19930101, and 20120906).

16. Project Sponsor and Facility: Repsol Oil & Gas USA, LLC (Sugar Creek), West Burlington Township, Bradford County, Pa. Application for renewal of surface water withdrawal of up to 0.750 mgd (peak day) (Docket No. 20170308).

Project Scheduled for Action Involving a Diversion:

17. Project Sponsor and Facility: Chester Water Authority, New Garden Township, Chester County, Pa. Applications for renewal of consumptive use and for an out-of-basin diversion of up to 3.000 mgd (30-day average) (Docket No. 19961104).

Opportunity to Appear and Comment:

Interested parties may call into the hearing to offer comments to the Commission on any business listed above required to be the subject of a public hearing. Given the nature of the meeting, the Commission strongly encourages those members of the public wishing to provide oral comments to pre-register with the Commission by e-mailing Jason Oyler at joyler@srbc.net prior to the hearing date. The presiding officer reserves the right to limit oral statements in the interest of time and to otherwise control the course of the hearing. Access to the hearing via telephone will begin at 6:15 p.m. Guidelines for the public hearing are posted on the Commission's website, www.srbc.net, prior to the hearing for review. The presiding officer reserves the right to modify or supplement such guidelines at the hearing. Written comments on any business listed above required to be the subject of a public hearing may also be mailed to Mr. Jason Oyler, Secretary to the Commission, Susquehanna River Basin Commission, 4423 North Front Street, Harrisburg, Pa. 17110-1788, or submitted electronically through <https://www.srbc.net/regulatory/public-comment/>. Comments mailed or electronically submitted must be received by the Commission on or before February 14, 2021, to be considered.

Authority: Pub. L. 91-575, 84 Stat. 1509 et seq., 18 CFR Parts 806, 807, and 808.

Dated: January 6, 2022

Jason E. Oyler,

General Counsel and Secretary to the Commission

PUBLIC NOTICE

Department of Civil Service

PURSUANT to the Open Meetings Law, the New York State Civil Service Commission hereby gives public notice of the following:

Please take notice that the regular monthly meeting of the State Civil Service Commission for February 2022 will be conducted on February 9 and February 10 commencing at 10:00 a.m. This meeting will be conducted at NYS Media Services Center, Suite 146, South Concourse, Empire State Plaza, Albany, NY with live coverage available at <https://www.cs.ny.gov/commission/>.

For further information, contact: Office of Commission Operations, Department of Civil Service, Empire State Plaza, Agency Bldg. One, Albany, NY 12239, (518) 473-6598

PUBLIC NOTICE

Division of Criminal Justice Services DNA Subcommittee

Pursuant to Public Officers Law section 104, the Division of Criminal Justice Services gives notice of a meeting of the New York State DNA Subcommittee to be held on:

Date: February 4, 2022

Time: 10:00 a.m. - 12:00 p.m.

Primary Video Conference Site:

Division of Criminal Justice Services
Alfred E. Smith Office Building
CrimeStat Room 118
80 South Swan Street
Albany, NY

Web Streaming information: The webcast information for this meeting will be posted on the Division of Criminal Justice website under the Newsroom, Open Meeting/Webcasts.

<https://www.criminaljustice.ny.gov/pio/openmeetings.htm>

PUBLIC NOTICE

Deferred Compensation Board

- Pursuant to the provisions of 9 NYCRR, Section 9003.2, authorized by Section 5 of the State Finance Law, the New York State Deferred Compensation Board, beginning Friday, January 28, 2022, is soliciting proposals from financial organizations to provide Active Fixed Income investment management services benchmarked to the Bloomberg Aggregate Index.

- One or more financial organizations may be selected for purposes of investing a portion of the New York State Deferred Compensation Plan's Stable Income Fund in the above fixed income strategies. Assets will be held in the Stable Income Fund's custodial account and will be wrapped by a benefit responsive contract that is selected separately by the Stable Income Fund structure manager. The Stable Income Fund is offered as an investment option under the Deferred Compensation Plan for Employees of the State of New York and Other Participating Public Jurisdictions, a plan meeting the requirements of Section 457 of the Internal Revenue Code and Section 5 of the State Finance Law, including all rules and regulations issued pursuant thereto. A copy of the request for proposals will be posted on Callan LLC's website: www.callan.com and on the Board's web site: deferredcompboard.ny.gov

- Proposals must be received no later than the close of business on Friday, March 18, 2022.

PUBLIC NOTICE

Department of Health

Pursuant to 42 CFR Section 447.205, the Department of Health hereby gives public notice of the following:

The Department of Health proposes to amend the Title XIX (Medicaid) State Plan for non-institutional services to enhance, and increase access to, Home and Community Based Services (HCBS) in accordance with the federal statutory provisions of Section 9817 of the American Rescue Plan Act of 2021 (ARP) which, subject to approval of the State's spending plan, New York State quarterly reports and narrative (Spending Plan) by the Centers for Medicare and Medicaid Services (CMS), provides a ten percent increase in Federal Medical Assistance Percentage (FMAP) to state Medicaid programs from April 1, 2021 to March 31, 2022 to supplement existing state expenditures on HCBS. The following changes are proposed:

Non-Institutional Services

Contingent upon CMS approval of the Spending Plan submitted by the State, this notice proposes to increase reimbursement rates, as follows:

1) Rates for state-plan approved Outpatient Mental Health Rehabilitative Services will be increased by 5.0 percent, effective February 1,

2022. This enhancement will allow providers to supplement the implementation of one or more activities to enhance, expand or strengthen HCBS under the Medicaid program, including strengthening the response to the COVID-19 Public Health Emergency, executing peer support service provision, expanding offsite service delivery, implementing electronic health record (EHR) changes, and strengthening provider staffing resources. The estimated annual net aggregate increase in gross Medicaid expenditures as a result of the proposed increase for Outpatient Mental Health Rehabilitative Services is \$5,300,000 in State Fiscal Year 2022 growing to \$31,700,000 annually.

2) Rates for state-plan approved Outpatient Mental Health Rehabilitative Services will be increased by an additional 11.5 percent for the period February 1, 2022 – September 30, 2022. This enhancement will allow providers to increase recruitment and retention of experienced and dedicated direct care and other staff through measures including, but not limited to, targeted loan forgiveness, tuition reimbursement, hiring and signing bonuses, longevity payments, expanded student placements, shift differential pay and retirement contributions.

The estimated annual net aggregate increase in gross Medicaid expenditures as a result of this proposed increase for Outpatient Mental Health Rehabilitative Services is \$12,200,000 in State Fiscal Year 2022 and \$36,500,000 in State Fiscal Year 2023.

The public is invited to review and comment on this proposed State Plan Amendment, a copy of which will be available for public review on the Department's website at http://www.health.ny.gov/regulations/state_plans/status. Individuals without Internet access may view the State Plan Amendments at any local (county) social services district.

For the New York City district, copies will be available at the following places:

New York County
250 Church Street
New York, New York 10018

Queens County, Queens Center
3220 Northern Boulevard
Long Island City, New York 11101

Kings County, Fulton Center
114 Willoughby Street
Brooklyn, New York 11201

Bronx County, Tremont Center
1916 Monterey Avenue
Bronx, New York 10457

Richmond County, Richmond Center
95 Central Avenue, St. George
Staten Island, New York 10301

For further information and to review and comment, please contact:
Department of Health, Division of Finance and Rate Setting, 99 Washington Ave., One Commerce Plaza, Suite 1432, Albany, NY 12210, spa_inquiries@health.ny.gov

PUBLIC NOTICE

Department of Health

Pursuant to 42 CFR Section 447.205, the Department of Health hereby gives public notice of the following:

The Department of Health proposes to amend the Title XIX (Medicaid) State Plan for institutional services. The following changes are proposed:

Institutional Services

Effective on or after February 1, 2022, Residential Treatment Facilities (RTF) rates may be adjusted to consist of a percentage increase on the clinical/direct care (C/DC) rate component to include additional

funds appropriate to maintain the required level of care that are not reflected in the base year.

The estimated annual net aggregate increase in gross Medicaid expenditures attributable to this initiative contained in the budget for state fiscal year 2022/2023 is \$6,000,000.

The public is invited to review and comment on this proposed State Plan Amendment, a copy of which will be available for public review on the Department's website at http://www.health.ny.gov/regulations/state_plans/status. Individuals without Internet access may view the State Plan Amendments at any local (county) social services district.

For the New York City district, copies will be available at the following places:

New York County
250 Church Street
New York, New York 10018

Queens County, Queens Center
3220 Northern Boulevard
Long Island City, New York 11101

Kings County, Fulton Center
114 Willoughby Street
Brooklyn, New York 11201

Bronx County, Tremont Center
1916 Monterey Avenue
Bronx, New York 10457

Richmond County, Richmond Center
95 Central Avenue, St. George
Staten Island, New York 10301

For further information and to review and comment, please contact:
Department of Health, Division of Finance and Rate Setting, 99 Washington Ave., One Commerce Plaza, Suite 1432, Albany, NY 12210, spa_inquiries@health.ny.gov

PUBLIC NOTICE

Department of State

F-2021-0962

Date of Issuance – January 26, 2022

The New York State Department of State (DOS) is required by Federal regulations to provide timely public notice for the activities described below, which are subject to the consistency provisions of the Federal Coastal Zone Management Act (CZMA) of 1972, as amended.

The applicant has certified that the proposed activities comply with and will be conducted in a manner consistent with the federally approved New York State Coastal Management Program (NYSCMP). The applicant's consistency certification and accompanying public information and data are available for inspection at the New York State Department of State offices located at One Commerce Plaza, 99 Washington Avenue, in Albany, New York.

In F-2021-0962, Niagara Mohawk Power Corporation is proposing the installation of a submarine cable (1.5") (7.6kV) to extend from the shore of Welcome Island to Knobby Island. Cable to extend from property owned by Peter R Lembo and Jodette Magari-Lembo, along the natural bottom of the St. Lawrence River, to the point of land on Knobby Island, owned by A. John and Beverly Merola, Alexandria Bay, NY 13607 for approximately 550' in length.

The applicant's consistency certification and supporting information are available for review at: <https://dos.ny.gov/system/files/documents/2022/01/f-2021-0962knobbyisland.pdf> or at <https://dos.ny.gov/public-notice>

Any interested parties and/or agencies desiring to express their views concerning any of the above proposed activities may do so by

filing their comments, in writing, no later than 4:30 p.m., 30 days from the date of publication of this notice or February 25, 2022.

Comments should be addressed to: Department of State, Office of Planning and Development and Community Infrastructure, Consistency Review Unit, One Commerce Plaza, Suite 1010, 99 Washington Ave., Albany, NY 12231, (518) 474-6000. Electronic submissions can be made by email at: CR@dos.ny.gov

This notice is promulgated in accordance with Title 15, Code of Federal Regulations, Part 930.

PUBLIC NOTICE

Department of State
F-2021-1087

Date of Issuance – January 26, 2022

The New York State Department of State (DOS) is required by Federal regulations to provide timely public notice for the activities described below, which are subject to the consistency provisions of the Federal Coastal Zone Management Act (CZMA) of 1972, as amended.

The applicant has certified that the proposed activities comply with and will be conducted in a manner consistent with the federally approved New York State Coastal Management Program (NYSCMP). The applicant's consistency certification and accompanying public information and data are available for inspection at the New York State Department of State offices located at One Commerce Plaza, 99 Washington Avenue, in Albany, New York.

In F-2021-1087 the applicant, City of New Rochelle, is proposing to restore 1,720 linear feet (lft) of shoreline, creating open space and public parks, provide public access to the shoreline, and install a seasonal floating kayak launch for recreational use. This project will include approx. 8,250 square feet (sf) of temporary tidal wetland disturbance, 4,200 sf permanent tidal wetland impacts, 3,284 sf of impervious area, 95lft of riprap shoreline below MHW, 175lft of living shoreline below MHW, 380lft of existing bulkhead repair, and 130lft of new bulkhead upland of MHW. This project is located at 214-260 Main Street, City of New Rochelle, Westchester County, Long Island Sound.

The applicant's consistency certification and supporting information are available for review at: <https://dos.ny.gov/system/files/documents/2022/01/f-2021-1087app.pdf>, <https://dos.ny.gov/system/files/documents/2022/01/f-2021-1087plans.pdf>, or at <https://dos.ny.gov/public-notices>

Any interested parties and/or agencies desiring to express their views concerning any of the above proposed activities may do so by filing their comments, in writing, no later than 4:30 p.m., 30 days from the date of publication of this notice or February 25, 2022.

Comments should be addressed to: Department of State, Office of Planning and Development and Community Infrastructure, Consistency Review Unit, One Commerce Plaza, Suite 1010, 99 Washington Ave., Albany, NY 12231, (518) 474-6000. Electronic submissions can be made by email at: CR@dos.ny.gov

This notice is promulgated in accordance with Title 15, Code of Federal Regulations, Part 930.

PUBLIC NOTICE

Department of State
F-2021-1121

Date of Issuance – January 26, 2022

The New York State Department of State (DOS) is required by Federal regulations to provide timely public notice for the activities described below, which are subject to the consistency provisions of the Federal Coastal Zone Management Act of 1972, as amended.

The applicant has certified that the proposed activity complies with and will be conducted in a manner consistent with the approved New York State Coastal Management Program.

In F-2021-1121, Ventrice Real Estate, is proposing to replace two (2) existing docks including removal of steel pile supports and two 6'

x 6' x 6' stone cribs. Replacement docks would be pile (8") supported floating docks measuring 140' long by 6' wide and 140' long by 6' wide. One of the docks would have ten 24' x 2' finger docks and the other would have eight 24' x 2' finger docks and two 24' x 6' finger docks. In addition, the proposal includes extending an existing 6' wide floating dock an additional 20' (reduced from 80' in the initial application). The resulting dock would be 125' long including a 25' aluminum gangway.

The proposal is for the Fishers Point Mobile Home Park located on the St. Lawrence River at 41761 Orleans Avenue in the Town of Clayton, Jefferson County.

The stated purpose of the proposed action is to remove two fixed docks that are crumbling and unsafe to use. Replace existing fixed docks with similar sized floating docks at same location. Extend a third, existing floating dock.

The applicant's consistency certification and supporting information are available for review at: <https://dos.ny.gov/system/files/documents/2022/01/f-2021-1121publicnotice.pdf> or at <https://dos.ny.gov/public-notices>

Original copies of public information and data submitted by the applicant are available for inspection at the New York State Department of State offices located at One Commerce Plaza, 99 Washington Avenue, in Albany, New York.

Any interested parties and/or agencies desiring to express their views concerning any of the above proposed activities may do so by filing their comments, in writing, no later than 4:30 p.m., 30 days from the date of publication of this notice, or February 25, 2022.

Comments should be addressed to: Consistency Review Unit, Department of State, Office of Planning, Development and Community Infrastructure, One Commerce Plaza, 99 Washington Ave., Albany, NY 12231, (518) 474-6000, Fax (518) 473-2464. Electronic submissions can be made by email at: CR@dos.ny.gov

This notice is promulgated in accordance with Title 15, Code of Federal Regulations, Part 930.

PUBLIC NOTICE

Department of State
Uniform Code Variance/Appeal Petitions

Pursuant to 19 NYCRR Part 1205, the variance and appeal petitions below have been received by the Department of State. Unless otherwise indicated, they involve requests for relief from provisions of the New York State Uniform Fire Prevention and Building Code. Persons wishing to review any petitions, provide comments, or receive actual notices of any subsequent proceeding may contact Brian Tollisen or Neil Collier, Building Standards and Codes, Department of State, One Commerce Plaza, 99 Washington Ave., Albany, NY 12231, (518) 474-4073 to make appropriate arrangements.

2021-0597 In the matter of Oneida County Department of Water Quality and Water Pollution Control, 51 Leland Avenue, Utica, NY 13503 for a variance concerning life safety issues to the renovations and expansion to the Oneida County waste treatment facility known as Oneida County Sewer District - Water Pollution Control Plant, multiple structures with varying sizes and heights, located at 51 Leland Avenue, City of Utica, County of Oneida, State of New York.

PUBLIC NOTICE

Department of State
Uniform Code Variance/Appeal Petitions

Pursuant to 19 NYCRR Part 1205, the variance and appeal petitions below have been received by the Department of State. Unless otherwise indicated, they involve requests for relief from provisions of the New York State Uniform Fire Prevention and Building Code. Persons wishing to review any petitions, provide comments, or receive actual notices of any subsequent proceeding may contact Brian Tollisen or Neil Collier, Building Standards and Codes, Department of State, One Commerce Plaza, 99 Washington Ave., Albany, NY 12231, (518) 474-4073 to make appropriate arrangements.

2022-0005 In the matter of Nicole Von Suhr, 3717 South Angeline

Street, Unit A, Seattle, WA 98117, for a variance for diminutive code issues concerning railings and guard rails at a two-family residence located at 701-703 Hector Street, City of Ithaca, County of Tompkins, State of New York.

PUBLIC NOTICE

Department of State Uniform Code Variance/Appeal Petitions

Pursuant to 19 NYCRR Part 1205, the variance and appeal petitions below have been received by the Department of State. Unless otherwise indicated, they involve requests for relief from provisions of the New York State Uniform Fire Prevention and Building Code. Persons wishing to review any petitions, provide comments, or receive actual notices of any subsequent proceeding may contact Brian Tollisen or Neil Collier, Building Standards and Codes, Department of State, One Commerce Plaza, 99 Washington Ave., Albany, NY 12231, (518) 474-4073 to make appropriate arrangements.

2022-0006 Matter of Nassau Expeditors Inc., Scott Tirone, 75 Albertson Avenue, Albertson, NY 11507, for a variance concerning safety requirements, including the height under a girder/soffit. Involved is an existing one-family dwelling located at 33 Roosevelt Court, Westbury, Town of North Hempstead, NY 11590, County of Nassau, State of New York.

2022-0008 Matter of Nassau Expeditors Inc., Scott Tirone, 75 Albertson Avenue, Albertson, NY 11507, for a variance concerning safety requirements, including the ceiling height and the height under a girder/soffit. Involved is an existing one-family dwelling located at 216 Willis Avenue, Floral Park, Town of North Hempstead, NY 11001, County of Nassau, State of New York.

2022-0009 Matter of Silvia Garcia, 50 Hemlock Street, Floral Park, NY 11001, for a variance concerning safety requirements, including the height under a girder/soffit. Involved is an existing one-family dwelling located at 50 Hemlock Street, Village of Floral Park, NY 11001, County of Nassau, State of New York.

PUBLIC NOTICE

Department of State Uniform Code Variance/Appeal Petitions

Pursuant to 19 NYCRR Part 1205, the variance and appeal petitions below have been received by the Department of State. Unless otherwise indicated, they involve requests for relief from provisions of the New York State Uniform Fire Prevention and Building Code. Persons wishing to review any petitions, provide comments, or receive actual notices of any subsequent proceeding may contact Brian Tollisen or Neil Collier, Building Standards and Codes, Department of State, One Commerce Plaza, 99 Washington Ave., Albany, NY 12231, (518) 474-4073 to make appropriate arrangements.

2022-0015 In the matter of Monica Moll of Moll Properties V, LLC., 523 East Buffalo Street, Number 8, Ithaca, New York 14850, for a variance for diminutive code issues concerning railings and guard rails at a multi-family residence located in the historic district of East Hill at 105-107 North Quarry Street, City of Ithaca, County of Tompkins, State of New York.

PUBLIC NOTICE

Susquehanna River Basin Commission

SUMMARY: As part of its regular business meeting held on December 17, 2021, Harrisburg, Pennsylvania, the Commission approved the applications of certain water resources projects, and took additional actions, as set forth in the Supplementary Information below.

DATES: December 17, 2021.

ADDRESSES: Susquehanna River Basin Commission, 4423 N. Front Street, Harrisburg, PA 17110-1788.

FOR FURTHER INFORMATION CONTACT: Jason E. Oyler, General Counsel and Secretary, telephone: (717) 238-0423, ext. 1312, fax: (717) 238-2436; e-mail: joyler@srbc.net. Regular mail inquiries

may be sent to the above address. See also Commission website at www.srbc.net.

SUPPLEMENTARY INFORMATION: In addition to the actions taken on projects identified in the summary above and the listings below, the following items were also acted upon at the business meeting: (1) adoption of a policy, Fee Incentives for the Withdrawal and Consumptive Use of AMD Impacted Waters & Treated Wastewater; (2) adoption of the 2022 Regulatory Program Fee Schedule, including waiving inflationary increases to fees; (3) approval of two grant amendments and one grant agreement; (4) approval to enter into a Letter of Understanding regarding program coordination between SRBC and Pennsylvania Department of Environmental Protection.

Project Applications Approved:

1. Project Sponsor and Facility: Chesapeake Appalachia, L.L.C. (Susquehanna River), Terry Township, Bradford County, Pa. Application for renewal and modification of surface water withdrawal of up to 3.000 mgd (peak day) (Docket No. 20170904).

2. Project Sponsor and Facility: Clearfield Municipal Authority, Pike Township, Clearfield County, Pa. Modification to extend the approval term of the groundwater withdrawal approval (Docket No. 19910704) to allow for project improvements.

3. Project Sponsor: Glenn O. Hawbaker, Inc. Project Facility: Naginey Facility, Armagh Township, Mifflin County, Pa. Applications for groundwater withdrawal of up to 0.300 mgd (30-day average) from the Quarry Pit Pond and consumptive use of up to 0.310 mgd (peak day).

4. Project Sponsor: Hydro Recovery-Antrim LP. Project Facility: Antrim Treatment Plant (Antrim No. 1 Mine Discharge and Back-switch Mine Discharge), Duncan Township, Tioga County, Pa. Applications for renewal of surface water withdrawal of up to 1.872 mgd (peak day) and for consumptive use of up to 1.872 mgd (30-day average) (Docket No. 20090902).

5. Project Sponsor and Facility: Mifflin County Municipal Authority (formerly The Municipal Authority of the Borough of Lewistown), Armagh Township, Mifflin County, Pa. Applications for groundwater withdrawals (30-day averages) of up to 0.770 mgd from McCoy Well 1, 1.152 mgd from McCoy Well 2, and 0.770 mgd from the Milroy Well.

6. Project Sponsor: Nature's Way Purewater Systems, Inc. Project Facility: USHydrations – Dupont Bottling Plant, Dupont Borough, Luzerne County, Pa. Modification to increase consumptive use (peak day) by an additional 0.100 mgd, for a total consumptive use of up to 0.449 mgd (Docket No. 20110618).

7. Project Sponsor and Facility: Shippensburg Borough Authority, Southampton Township, Cumberland County, Pa. Application for renewal of groundwater withdrawal of up to 2.000 mgd (30-day average) from Well 3 (Docket No. 20070305).

8. Project Sponsor and Facility: Walker Township Water Association, Inc., Walker Township, Centre County, Pa. Applications for renewal of groundwater withdrawals (30-day averages) of up to 0.432 mgd from Zion Well 2 and 0.320 mgd from Hecla Well 1 (Docket Nos. 19910302 and 19950906).

Commission-Initiated Project Approval Modifications

1. Project Sponsor and Facility: Elkview Country Club, Greenfield and Fell Townships, Lackawanna County, Pa. Conforming the grandfathering amount with the forthcoming determination for a surface water withdrawal up to 0.144 mgd (30-day average) from Crystal Lake (Docket No. 20021002).

Projects Tabled:

1. Project Sponsor and Facility: Artesian Water Company, Inc., New Garden Township, Chester County, Pa. Application for renewal of the transfer of water of up to 3.000 mgd (30-day average) from the Chester Water Authority (Docket No. 19961105).

2. Project Sponsor and Facility: Chester Water Authority, New Garden Township, Chester County, Pa. Applications for renewal of consumptive use and for an out-of-basin diversion of up to 3.000 mgd (30-day average) (Docket No. 19961104).

3. Project Sponsor and Facility: Deep Woods Lake LLC, Dennison

Township, Luzerne County, Pa. Applications for groundwater withdrawal of up to 0.200 mgd (30-day average) from Well SW-5 and consumptive use of up to 0.467 mgd (peak day).

4. Project Sponsor and Facility: Municipal Authority of the Township of East Hempfield dba Hempfield Water Authority, East Hempfield Township, Lancaster County, Pa. Applications for renewal of groundwater withdrawals (30 day averages) of up to 0.353 mgd from Well 6, 0.145 mgd from Well 7, 1.447 mgd from Well 8, and 1.800 mgd from Well 11, and Commission-initiated modification to Docket No. 20120906, which approves withdrawals from Wells 1, 2, 3, 4, and 5 and Spring S-1 (Docket Nos. 19870306, 19890503, 19930101, and 20120906).

5. Project Sponsor: Farmers Pride, Inc. Project Facility: Bell & Evans Plant 3, Bethel Township, Lebanon County, Pa. Applications for groundwater withdrawals (30-day averages) of up to 0.108 mgd from Well PW-1, 0.139 mgd from Well PW-2, and 0.179 mgd from Well PW-4.

Dated: December 22, 2021.

Jason E. Oyler,

General Counsel and Secretary to the Commission.

PUBLIC NOTICE

Susquehanna River Basin Commission Grandfathering (GF) Registration Notice

SUMMARY: This notice lists Grandfathering Registration for projects by the Susquehanna River Basin Commission during the period set forth in DATES.

DATES: November 1-30, 2021

ADDRESSES: Susquehanna River Basin Commission, 4423 North Front Street, Harrisburg, PA 17110-1788.

FOR FURTHER INFORMATION CONTACT: Jason E. Oyler, General Counsel and Secretary to the Commission, telephone: (717) 238-0423, ext. 1312; fax: (717) 238-2436; e-mail: joyler@srbc.net. Regular mail inquiries may be sent to the above address.

SUPPLEMENTARY INFORMATION: This notice lists GF Registration for projects, described below, pursuant to 18 CFR 806, Subpart E for the time period specified above:

Grandfathering Registration Under 18 CFR part 806, Subpart E:

1. Roaring Spring Municipal Authority – Public Water Supply System, GF Certificate No. GF-202111192, Roaring Spring Borough, Blair County, Pa.; Roaring Spring; Issue Date: November 23, 2021.

2. Scotch Valley Country Club, Inc. – Scotch Valley Country Club, GF Certificate No. GF-202111193, Frankstown Township, Blair County, Pa.; consumptive use; Issue Date: November 23, 2021.

3. Pennsy Supply, Inc. – Mt. Holly Springs Quarry, GF Certificate No. GF-202111194, Dickinson Township, Cumberland County, Pa.; Well 1 (West Well) and Well 2 (East Well); Issue Date: November 30, 2021.

4. City of Binghamton – Public Water Supply System, GF Certificate No. GF-202111195, City of Binghamton, Broome County, N.Y.; Susquehanna River and Olmstead Well; Issue Date: November 0, 2021.

Dated: December 22, 2021

Jason E. Oyler

General Counsel and Secretary to the Commission.

PUBLIC NOTICE

Susquehanna River Basin Commission Grandfathering (GF) Registration Notice

SUMMARY: This notice lists Grandfathering Registration for projects by the Susquehanna River Basin Commission during the period set forth in DATES.

DATES: December 1-31, 2021.

ADDRESSES: Susquehanna River Basin Commission, 4423 North Front Street, Harrisburg, PA 17110-1788.

FOR FURTHER INFORMATION CONTACT: Jason E. Oyler, General Counsel and Secretary to the Commission, telephone: (717) 238-0423, ext. 1312; fax: (717) 238-2436; e-mail: joyler@srbc.net. Regular mail inquiries may be sent to the above address.

SUPPLEMENTARY INFORMATION: This notice lists GF Registration for projects, described below, pursuant to 18 CFR 806, Subpart E for the time period specified above:

Grandfathering Registration Under 18 CFR part 806, Subpart E:

1. Elkview Country Club, GF Certificate No. GF-202112196, Greenfield and Fell Townships, Lackawanna County, Pa.; Crystal Lake; Issue Date: December 17, 2021.

2. Big Heart Pet Brands, Inc., GF Certificate No. GF-202112197, South Centre Township, Columbia County, Pa.; Well 3; Issue Date: December 29, 2021.

3. Huntingdon Borough – Public Water Supply System, GF Certificate No. GF-202112198, Huntingdon Borough, Huntingdon County, Pa.; Standing Stone Creek; Issue Date: December 29, 2021.

4. Kunzler & Company, Inc. – Tyrone Facility, GF Certificate No. GF-202112199, Snyder Township, Blair County, Pa.; consumptive use; Issue Date: December 29, 2021.

5. Pennsylvania Fish & Boat Commission – Cooperative Hatcheries and Nurseries, GF Certificate No. GF-202112200, various municipalities and counties, Pa.; see Addendum; Issue Date: December 29, 2021.

6. Pioneer Hi-Bred International, Inc., GF Certificate No. GF-202112201, East Earl Township, Lancaster County, Pa.; Pequea Creek; Issue Date: December 29, 2021.

Dated: January 6, 2022

Jason E. Oyler

General Counsel and Secretary to the Commission.

PUBLIC NOTICE

Susquehanna River Basin Commission Projects Approved for Consumptive Uses of Water

SUMMARY: This notice lists the projects approved by rule by the Susquehanna River Basin Commission during the period set forth in "DATES."

DATES: November 1-30, 2021

ADDRESSES: Susquehanna River Basin Commission, 4423 North Front Street, Harrisburg, PA 17110-1788.

FOR FURTHER INFORMATION CONTACT: Jason E. Oyler, General Counsel and Secretary to the Commission, telephone: (717) 238-0423, ext. 1312; fax: (717) 238-2436; e-mail: joyler@srbc.net. Regular mail inquiries may be sent to the above address.

SUPPLEMENTARY INFORMATION: This notice lists the projects, described below, receiving approval for the consumptive use of water pursuant to the Commission's approval by rule process set forth in 18 CFR § 806.22 (e) and 18 CFR § 806.22 (f) for the time period specified above:

Water Source Approval – Issued Under 18 CFR 806.22(f):

1. Seneca Resources Company, LLC; Pad ID: Schildt 259; ABR-20091027.R2; Jackson Township, Tioga County, Pa.; Consumptive Use of Up to 4.0000 mgd; Approval Date: November 9, 2021.

2. Rockdale Marcellus, LLC; Pad ID: Heyler 748; ABR-201008031.R2; Morris Township, Tioga County, Pa.; Consumptive Use of Up to 4.9900 mgd; Approval Date: November 9, 2021.

3. Coterra Energy, Inc.; Pad ID: Lippincoff P1; ABR-201110014.R2; Brooklyn Township, Susquehanna County, Pa.; Consumptive Use of Up to 5.0000 mgd; Approval Date: November 9, 2021.

4. Coterra Energy, Inc.; Pad ID: ShieldsG P2; ABR-20091023.R2; Dimock Township, Susquehanna County, Pa.; Consumptive Use of Up to 5.0000 mgd; Approval Date: November 9, 2021.

5. Seneca Resources Company, LLC; Pad ID: Gamble Pad J; ABR-201511001.R1; Gamble Township, Lycoming County, Pa.; Consumptive Use of Up to 4.0000 mgd; Approval Date: November 12, 2021.

6. Repsol Oil & Gas USA, LLC; Pad ID: Haralambous (03 074) G;

ABR-201108037.R2; Columbia Township, Bradford County; Pa.; Consumptive Use of Up to 6.0000 mgd; Approval Date: November 12, 2021.

7. EQT ARO LLC; Pad ID: COP Tract 731 Pad C; ABR-201109016.R2; Cummings Township, Lycoming County, Pa.; Consumptive Use of Up to 4.0000 mgd; Approval Date: November 12, 2021.

8. BKV Operating, LLC.; Pad ID: Henninger Pad; ABR-201110017.R2; Jessup Township, Susquehanna County, Pa.; Consumptive Use of Up to 5.0000 mgd; Approval Date: November 12, 2021.

9. Blackhill Energy LLC; Pad ID: PRUYNE 1H Pad; ABR-201110034.R2; Smithfield Township, Bradford County, Pa.; Consumptive Use of Up to 4.9900 mgd; Approval Date: November 12, 2021.

10. Blackhill Energy LLC; Pad ID: WOLFE Pad; ABR-201110033.R2; Smithfield Township, Bradford County, Pa.; Consumptive Use of Up to 4.9900 mgd; Approval Date: November 12, 2021.

11. Chesapeake Appalachia, L.L.C.; Pad ID: Bartholomew; ABR-201111012.R2; Franklin Township, Bradford County, Pa.; Consumptive Use of Up to 7.5000 mgd; Approval Date: November 15, 2021.

12. Chesapeake Appalachia, L.L.C.; Pad ID: Dulcey; ABR-201111020.R2; Franklin Township, Bradford County, Pa.; Consumptive Use of Up to 7.5000 mgd; Approval Date: November 15, 2021.

13. Repsol Oil & Gas USA, LLC; Pad ID: REINFRIED (02 113) C; ABR-201109004.R1; Ward Township, Tioga County, Pa.; Consumptive Use of Up to 6.0000 mgd; Approval Date: November 15, 2021.

14. Seneca Resources Company, LLC; Pad ID: Burleigh 508; ABR-20091019.R2; Rutland Township, Tioga County; Pa.; Consumptive Use of Up to 4.0000 mgd; Approval Date: November 15, 2021.

15. Pennsylvania General Energy Company, L.L.C.; Pad ID: COP Tract 293 Pad I; ABR-201111014.R2; Cummings Township, Lycoming County; Pa.; Consumptive Use of Up to 3.5000 mgd; Approval Date: November 16, 2021.

16. Seneca Resources Company, LLC; Pad ID: Hungerford 458; ABR-20091019.R2; Lawrence Township, Clearfield County, Pa.; Consumptive Use of Up to 4.0000 mgd; Approval Date: November 16, 2021.

17. Chief Oil & Gas, LLC; Pad ID: Beirne Green Hills Farms A Drilling Pad #1; ABR-201111024.R2; Asylum and Monroe Townships, Bradford County; Pa.; Consumptive Use of Up to 2.0000 mgd; Approval Date: November 19, 2021.

18. Diversified Production, LLC; Pad ID: Turkey; ABR-201107040.R2; Huston Township, Clearfield County; Pa.; Consumptive Use of Up to 1.0000 mgd; Approval Date: November 19, 2021.

19. Range Resources – Appalachia, LLC; Pad ID: Red Bend B Unit #1H - #8H; ABR-201111006.R2; Cogan House Township, Lycoming County, Pa.; Consumptive Use of Up to 4.0000 mgd; Approval Date: November 22, 2021.

20. Range Resources – Appalachia, LLC; Pad ID: Red Bend C Unit #1H - #5H; ABR-201111007.R2; Cogan House Township, Lycoming County, Pa.; Consumptive Use of Up to 4.0000 mgd; Approval Date: November 22, 2021.

21. Range Resources – Appalachia, LLC; Pad ID: Bobst Unit #34H - #37H; ABR-201111004.R2; Cogan House Township, Lycoming County, Pa.; Consumptive Use of Up to 4.0000 mgd; Approval Date: November 22, 2021.

22. Seneca Resources Company, LLC; Pad ID: Starks 461; ABR-20091108.R2; Richmond Township, Tioga County, Pa.; Consumptive Use of Up to 4.0000 mgd; Approval Date: November 23, 2021.

23. Seneca Resources Company, LLC; Pad ID: Yungwirth 307; ABR-20091110.R2; Charleston Township, Tioga County, Pa.; Consumptive Use of Up to 4.0000 mgd; Approval Date: November 23, 2021.

24. Seneca Resources Company, LLC; Pad ID: Barrett 410; ABR-20091107.R2; Jackson Township, Tioga County, Pa.; Consumptive Use of Up to 4.0000 mgd; Approval Date: November 23, 2021.

25. Seneca Resources Company, LLC; Pad ID: Button 402; ABR-20091113.R2; Jackson Township, Tioga County, Pa.; Consumptive Use of Up to 4.0000 mgd; Approval Date: November 23, 2021.

26. Chesapeake Appalachia, L.L.C.; Pad ID: Gestewitz; ABR-201111002.R2; North Towanda Township, Bradford County, Pa.; Consumptive Use of Up to 7.5000 mgd; Approval Date: November 29, 2021.

27. Chesapeake Appalachia, L.L.C.; Pad ID: LW; ABR-201111027.R2; Cherry Township, Sullivan County, Pa.; Consumptive Use of Up to 7.5000 mgd; Approval Date: November 29, 2021.

28. Chesapeake Appalachia, L.L.C.; Pad ID: Rossi; ABR-201111011.R2; Litchfield Township, Bradford County, Pa.; Consumptive Use of Up to 7.5000 mgd; Approval Date: November 29, 2021.

29. EQT ARO, LLC; Pad ID: David O Vollman Pad A; ABR-201011069.R2; Cogan House Township, Lycoming County, Pa.; Consumptive Use of Up to 4.0000 mgd; Approval Date: November 29, 2021.

30. Range Resources – Appalachia, LLC.; Pad ID: Gulf USA 40H – 42H; ABR-201609001.R2; Snow Shoe Township, Centre County, Pa.; Consumptive Use of Up to 4.0000 mgd; Approval Date: November 30, 2021.

31. Inflection Energy (PA), LLC; Pad ID: Ultimate Warrior; ABR-201111036.R2; Upper Fairfield Township, Lycoming County, Pa.; Consumptive Use of Up to 4.0000 mgd; Approval Date: November 30, 2021.

32. Inflection Energy (PA), LLC; Pad ID: Stunner; ABR-201111037.R2; Gamble Township, Lycoming County, Pa.; Consumptive Use of Up to 4.0000 mgd; Approval Date: November 30, 2021.

33. Inflection Energy (PA), LLC; Pad ID: Nature Boy; ABR-201111035.R2; Upper Fairfield Township, Lycoming County, Pa.; Consumptive Use of Up to 4.0000 mgd; Approval Date: November 30, 2021.

34. Coterra Energy, Inc.; Pad ID: HessR P1; ABR-201111034.R2; Dimock Township, Susquehanna County, Pa.; Consumptive Use of Up to 5.0000 mgd; Approval Date: November 30, 2021.

Dated: December 22, 2021

Jason E. Oyler,

General Counsel and Secretary to the Commission.

PUBLIC NOTICE

Susquehanna River Basin Commission Projects Approved for Consumptive Uses of Water

SUMMARY: This notice lists the projects approved by rule by the Susquehanna River Basin Commission during the period set forth in “DATES.”

DATES: December 1-31, 2021

ADDRESSES: Susquehanna River Basin Commission, 4423 North Front Street, Harrisburg, PA 17110-1788.

FOR FURTHER INFORMATION CONTACT: Jason E. Oyler, General Counsel and Secretary to the Commission, telephone: (717) 238-0423, ext. 1312; fax: (717) 238-2436; e-mail: joyler@srbc.net. Regular mail inquiries may be sent to the above address.

SUPPLEMENTARY INFORMATION: This notice lists the projects, described below, receiving approval for the consumptive use of water pursuant to the Commission’s approval by rule process set forth in 18 CFR § 806.22 (e) and 18 CFR § 806.22(f) for the time period specified above:

Water Source Approval – Issued Under 18 CFR 806.22(f):

1. Repsol Oil & Gas USA, LLC; Pad ID: SHAW (05-272) J; ABR-202112001; Rush Township, Susquehanna County; Pa.; Consumptive Use of Up to 6.0000 mgd; Approval Date: December 3, 2021.

2. Coterra Energy, Inc.; Pad ID: GrooverS P1; ABR-201412003.R1; Bridgewater Township, Susquehanna County, Pa.; Consumptive Use of Up to 5.0000 mgd; Approval Date: December 10, 2021.

3. SWN Production Company, LLC; Pad ID: HDK; ABR-201112001.R2; Franklin Township, Susquehanna County, Pa.; Con-

sumptive Use of Up to 4.9990 mgd; Approval Date: December 10, 2021.

4. Seneca Resources Company, LLC; Pad ID: Oldroyd 509; ABR-20091218.R2; Rutland Township, Tioga County; Pa.; Consumptive Use of Up to 4.0000 mgd; Approval Date: December 16, 2021.

5. Seneca Resources Company, LLC; Pad ID: Starks 460; ABR-20091217.R2; Covington and Richmond Townships, Tioga County; Pa.; Consumptive Use of Up to 4.0000 mgd; Approval Date: December 16, 2021.

6. Seneca Resources Company, LLC; Pad ID: Houck 433; ABR-20091207.R2; Delmar and Shippen Townships, Tioga County; Pa.; Consumptive Use of Up to 4.0000 mgd; Approval Date: December 16, 2021.

7. Coterra Energy, Inc.; Pad ID: WrightW P1; ABR-201412005.R1; Bridgewater Township, Susquehanna County, Pa.; Consumptive Use of Up to 5.0000 mgd; Approval Date: December 16, 2021.

8. SWN Production Company, LLC; Pad ID: INNES; ABR-201111032.R2; New Milford Township, Susquehanna County, Pa.; Consumptive Use of Up to 4.9990 mgd; Approval Date: December 16, 2021.

9. SWN Production Company, LLC; Pad ID: SKELLY; ABR-201112005.R2; New Milford Township, Susquehanna County, Pa.; Consumptive Use of Up to 4.9990 mgd; Approval Date: December 16, 2021.

10. Seneca Resources Company, LLC; Pad ID: SGL 90 E Pad; ABR-201512008.R1; Lawrence Township, Clearfield County; Pa.; Consumptive Use of Up to 4.0000 mgd; Approval Date: December 22, 2021.

11. Coterra Energy, Inc.; Pad ID: StellitanoA P1; ABR-201412008.R1; Gibson Township, Susquehanna County, Pa.; Consumptive Use of Up to 5.0000 mgd; Approval Date: December 22, 2021.

12. Coterra Energy, Inc.; Pad ID: HibbardAM P1; ABR-20091223.R2; Dimock Township, Susquehanna County, Pa.; Consumptive Use of Up to 5.0000 mgd; Approval Date: December 22, 2021.

13. Coterra Energy, Inc.; Pad ID: HibbardAM P2; ABR-20091224.R2; Dimock Township, Susquehanna County, Pa.; Consumptive Use of Up to 5.0000 mgd; Approval Date: December 22, 2021.

14. SWN Production Company, LLC; Pad ID: HOWLAND-LENT; ABR-201112032.R2; Herrick Township, Bradford County, Pa.; Consumptive Use of Up to 4.9990 mgd; Approval Date: December 22, 2021.

15. Pennsylvania General Energy Company, L.L.C.; Pad ID: COP Tract 729 Pad B; ABR-201111015.R2; Cummings Township, Lycoming County; Pa.; Consumptive Use of Up to 3.5000 mgd; Approval Date: December 27, 2021.

16. Coterra Energy, Inc.; Pad ID: ZuppK P1; ABR-201112004.R2; Harford Township, Susquehanna County, Pa.; Consumptive Use of Up to 5.0000 mgd; Approval Date: December 27, 2021.

17. Chief Oil & Gas LLC; Pad ID: Kingsley B Drilling Pad; ABR-201112009.R2; Monroe Township, Bradford County; Pa.; Consumptive Use of Up to 2.0000 mgd; Approval Date: December 29, 2021.

18. Range Resources - Appalachia, LLC; Pad ID: Cornwall Mountain; ABR-201112040.R2; Lewis and Cogan House Townships, Lycoming County, Pa.; Consumptive Use of Up to 7.5000 mgd; Approval Date: December 29, 2021.

Authority: Public Law 91-575, 84 Stat. 1509 et seq., 18 CFR parts 806, 807, and 808.

Dated: January 6, 2021

Jason E. Oyler,

General Counsel and Secretary to the Commission.

PUBLIC NOTICE

Susquehanna River Basin Commission Projects Approved for Minor Modifications

SUMMARY: This notice lists the minor modifications approved for a previously approved project by the Susquehanna River Basin Commission during the period set forth in "DATES."

DATES: December 1-31, 2021.

ADDRESSES: Susquehanna River Basin Commission, 4423 North Front Street, Harrisburg, PA 17110-1788.

FOR FURTHER INFORMATION CONTACT: Jason E. Oyler, General Counsel, telephone: (717) 238-0423, ext. 1312; fax: (717) 238-2436; e-mail: joyler@srbc.net. Regular mail inquiries may be sent to the above address.

SUPPLEMENTARY INFORMATION: This notice lists previously approved projects, receiving approval of minor modifications, described below, pursuant to 18 CFR 806.18 or to Commission Resolution Nos. 2013-11 and 2015-06 for the time period specified above:

Minor Modification Issued Under 18 CFR § 806.18

1. Seneca Resources Company, LLC, Docket No. 20210611, Sergeant and Norwich Townships, McKean County, Pa.; approval authorizing the additional water use purpose for hydrostatic testing; Approval Date: August 18, 2021.

Authority: Public Law 91-575, 84 Stat. 1509 et seq., 18 CFR parts 806, 807, and 808.

Dated: January 6, 2022.

Jason E. Oyler,

General Counsel and Secretary to the Commission

EXECUTIVE ORDERS

Executive Order No. 4.4: Continuing the Declaration of a State-wide Disaster Emergency Due to Healthcare Staffing Shortages in the State of New York.

WHEREAS, there are staffing shortages in hospitals and other healthcare facilities and they are expected to continue;

WHEREAS, severe understaffing in hospitals and other healthcare facilities is expected to continue to affect the ability to provide critical care and to adequately serve vulnerable populations;

WHEREAS, there is an immediate and critical need to supplement staffing to assure healthcare facilities can provide care;

NOW, THEREFORE, I, Kathy Hochul, Governor of the State of New York, by virtue of the authority vested in me by the Constitution of the State of New York and Section 28 of Article 2-B of the Executive Law, do hereby extend the state disaster emergency as set forth in Executive Order 4, as continued in Executive Order 4.3, and continue the terms, conditions, and suspensions contained in Executive Orders 4, 4.1, 4.2, and 4.3 until January 30, 2022.

IN ADDITION, by virtue of the authority vested in me by Section 29-a of Article 2-B of the Executive Law to temporarily suspend or modify any statute, local law, ordinance, order, rule, or regulation, or parts thereof, of any agency during a State disaster emergency, if compliance with such statute, local law, ordinance, order, rule, or regulation would prevent, hinder, or delay action necessary to cope with the disaster emergency or if necessary to assist or aid in coping with such disaster, I hereby temporarily suspend or modify, in light of the staffing shortage caused by the Covid-19 public health emergency, for the period from the date of this Executive Order through January 30, 2022 the following:

- Subdivision 3 of Section 2895-b of the Public Health Law to the extent that failure of a nursing home to meet the daily average staffing hours will not be held to be a violation of the Public Health Law;
- Subdivision 1 of Section 2828 of the Public Health Law to the extent that failure of a residential health care facility to spend a minimum of seventy percent of revenue on direct resident care, and forty percent of revenue on resident-facing staffing, will not be held to be a violation of the Public Health Law.

(L.S.)

GIVEN under my hand and the Privy Seal of the State in the City of Albany this thirty-first day of December in the year two thousand twenty-one.

BY THE GOVERNOR

/S/ Kathy Hochul

/s/ Karen Persichilli Keogh

Secretary to the Governor

FINANCIAL REPORTS



**Department of
Taxation and Finance**

Depositories for the Funds of the State of New York

Month End: December 31, 2021

Prepared by the Division of the Treasury
Investments, Cash Management and Accounting Operations

Michael R Schmidt
Commissioner

**ACCOUNTS HELD IN JOINT CUSTODY BY THE COMMISSIONER OF
TAXATION FINANCE AND THE NEW YORK STATE COMPTROLLER**

Unemployment Insurance Funding Account	Key Bank	10,375,845.67
Occupational Training Act Funding Account	Key Bank	(10,268.61)
PIT Special Refund Account	JPMorgan Chase Bank, N.A.	(238,902,373.88)
General Checking	Key Bank	(850,462,514.61)
Direct Deposit Account	Wells Fargo	15,439.83
TOTAL		(258,280,014.35)
01000 - EXECUTIVE CHAMBER		
Executive Chamber Advance Account	Key Bank	No report received
01010 - DIVISION OF BUDGET		
Advance Account	Bank of America, N.A.	5,000.00
01030 - DIVISION OF ALCOHOLIC BEVERAGE CONTROL		
Albany		
SLA Investigations Account	Key Bank	1,700.00
01050 - OFFICE OF GENERAL SERVICES		
Exec Mansion Official Function	Key Bank	No report received
NY ISO Account	Key Bank	No report received
SNY Office of General Services	JPMorgan Chase Bank, N.A.	No report received
State of New York Licensing	Key Bank	No report received
State of New York OGS Petty Cash	Key Bank	No report received
State of New York OGS Escrow	Key Bank	No report received
01060 - DIVISION OF STATE POLICE		
CNET Confidential Account	Key Bank	0.00
Div Headquarters - Petty Cash	Key Bank	1,558.00
Key Advantage Account	Key Bank	87,623.09
Manhattan Office-confidential	JPMorgan Chase Bank, N.A.	339.55
NYS Police Special Account	Key Bank	1,924,310.09
NYSP CTIU Confidential Fund	Key Bank	3,503.70
SIU Confidential Fund Account	Key Bank	8,666.07
Special Fund	Key Bank	156,619.29
State Police Receipts Account	Bank of America, N.A.	272,164.63
Troop A Batavia - Petty Cash	Bank of America, N.A.	1,000.00
Troop A Batavia-Confidential	Bank of America, N.A.	3,438.25
Troop B Confidential	Key Bank	6,125.00
Troop B Petty Cash	Community Bank	1,000.00
Troop C Confidential Fund	NBT Bank	4,300.00
Troop C Petty Cash	NBT Bank	1,000.00
Troop D Oneida - Confidential	Alliance Bank	3,000.00
Troop D Oneida Petty Cash	Alliance Bank	1,000.00
Troop E Canandaigua Confidential	Canandaigua National Bank	2,000.00
Troop E Petty Cash	Canandaigua National Bank	1,000.00
Troop F Confidential	JPMorgan Chase Bank, N.A.	2,500.00
Troop F Petty Cash	JPMorgan Chase Bank, N.A.	1,000.00
Troop G Loudonville Conf	Bank of America, N.A.	7,359.74
Troop G Petty Cash	Bank of America, N.A.	1,000.00
Troop K Petty Cash	Bank of Millbrook	924.00
Troop K Poughkeepsie-Confidential	Bank of Millbrook	1,503.28
Troop L Confidential Fund	Bank of America, N.A.	4,600.00
01070 - DIVISION OF MILITARY & NAVAL AFFAIRS		
Advance For Travel	Key Bank	17,725.82
SNY Camp Smith Billeting Fund	JPMorgan Chase Bank, N.A.	6,249.07
01077 - OFFICE OF HOMELAND SECURITY		
Academy Of Fire Science	Chemung Canal Trust	No report received
01080 - DIVISION OF HOUSING & COMMUNITY RENEWAL		
Albany Office Of Financial Administration		
Maximum Base Rent Fee Account	JPMorgan Chase Bank, N.A.	2,105.13
Revenue Account	JPMorgan Chase Bank, N.A.	869,544.28
01090 - DIVISION OF HUMAN RIGHTS		
Petty Cash Fund Account	JPMorgan Chase Bank, N.A.	No report received
01150 - OFFICE OF EMPLOYEE RELATIONS		
GOER Panel Administration Escrow Account	Key Bank	10,630.07
NYS Flex Spending	Key Bank	1,202,227.92
State of New York LMC Petty Cash Account	Key Bank	1,840.00
01160 - JUSTICE CENTER FOR THE PROTECTION OF PEOPLE WITH SPECIAL NEEDS		
Agency Advance Account	Key Bank	2,000.00
01300 - ADIRONDACK PARK AGENCY		
General Fund	Community Bank	2,102.00
Petty Cash	Community Bank	3,737.92
01400 - CRIME VICTIMS COMPENSATION BOARD		

Crime Victims	JPMorgan Chase Bank, N.A.	150,000.00
Emergency Award Account	M&T Bank	0.00
Emergency Claims	Key Bank	13,804.00
Petty Cash Account	Key Bank	1,550.00
REST/SUBROG Escrow Account	Key Bank	389.66
01490 - DIVISION OF CRIMINAL JUSTICE SERVICES		
Advance Account	Bank of America, N.A.	2,900.00
Fingerprint Fee Account	Bank of America, N.A.	1,392,398.45
01530 - STATE COMMISSION OF CORRECTION		
Advance Account	Bank of America, N.A.	2,000.00
01540 - STATE BOARD OF ELECTIONS		
Revenue Account	Key Bank	No report received
01620 - OFFICE FOR PREVENTION OF DOMESTIC VIOLENCE		
NYS Prevention Domestic Violence	Bank of America, N.A.	No report received
02000 - OFFICE OF THE STATE COMPTROLLER		
Admissions	Bank of America, N.A.	230,364.80
Adult-Use Cannabis	Wells Fargo Bank	0.00
Advance for Travel Account	Key Bank	No report received
Alcohol Beverage	Bank of America, N.A.	136,354.88
Alcoholic Bev Control License	Wells Fargo Bank	123,811.49
Alcoholic Beverage Control License	M&T Bank	2,536,200.32
Alcoholic Beverage Tax Collections	Wells Fargo Bank	15,191.08
Assessments Bulk	JPMorgan Chase Bank, N.A.	103,448.91
Assessments Receivable	JPMorgan Chase Bank, N.A.	4,452,165.60
Assessments Receivable (EFT)	Wells Fargo Bank	704,059.52
Boxing And Wrestling Tax	Bank of America, N.A.	1,108.76
Check 21 Corporation Tax	JPMorgan Chase Bank, N.A.	2,189,209.80
Check 21 Estate Tax	JPMorgan Chase Bank, N.A.	734,806.54
Check 21 Highway Use	JPMorgan Chase Bank, N.A.	321,414.56
Check 21 PIT	JPMorgan Chase Bank, N.A.	1,737,063.18
Check 21 Real Estate Transfer	JPMorgan Chase Bank, N.A.	1,132,143.81
Check Sales Tax	JPMorgan Chase Bank, N.A.	2,479,275.32
Cigarette Stamp Tax (EFT)	Wells Fargo Bank	7,848,990.65
Cigarette Stamp Tax Split	JPMorgan Chase Bank, N.A.	434,828.55
Cigarette Tax Tobacco Products	Bank of America, N.A.	25,469.48
Congestion Surcharge	Wells Fargo Bank	83,304.81
Corporation Tax	Wells Fargo Bank	574.25
Corporation Tax - Coupon Acct.	JPMorgan Chase Bank, N.A.	123,788.04
Employer Compensation Expense	Wells Fargo Bank	4,361,506.38
Encon Beverage Container Deposit/Bottle Bill (EFT)	Wells Fargo Bank	776,119.80
ERS Petty Cash Acct	Key Bank	No report received
Estimated Tax	JPMorgan Chase Bank, N.A.	22,982,540.34
Gift Tax	Bank of America, N.A.	0.00
Hazardous Waste	Key Bank	1,708.65
Highway Use - Permits & Reg.	Bank of America, N.A.	34,757.37
Highway Use Truck Mileage Tax (EFT)	Wells Fargo Bank	699,233.34
Hudson River-Black River	Bank of America, N.A.	699,979.13
Hudson River-Black River	Community Bank	356,084.71
Hut/Oscar Registrations & Renewals (EFT)	Wells Fargo Bank	77,119.50
IFTA Fuel Use Tax (EFT)	Wells Fargo Bank	94,262.51
IFTA/Oscar Renewals (EFT)	Wells Fargo Bank	3,368.00
IFTA-Decal/Permit Fee Acct.	Bank of America, N.A.	11,499.00
IFTA-Fuel Use	Bank of America, N.A.	20,242.53
Justice Court	Key Bank	300,540.30
Mac #847 NYS T&F Pari Mutuel	Key Bank	6,885.30
Mac #848 NYS T&F Off Track	Key Bank	2,500,580.38
Medallion Taxicab Trip Tax (EFT)	Wells Fargo Bank	1,809.50
Medical Marijuana Tax Collections	Bank of America, N.A.	1,687.62
Metro Commuter Trans. Mobility	JPMorgan Chase Bank, N.A.	17,540.38
Ogdensburg Bridge & Port	Community Bank	143,776.15
Opioid Excise Tax	Wells Fargo Bank	99,100.32
Pass-Through Entity Tax	Wells Fargo Bank	1,872,112,753.48
Personal Income Tax	Wells Fargo Bank	91,997.94
Petroleum Business Tax (EFT)	Wells Fargo Bank	310,353.69
Petroleum Products Tax	Bank of America, N.A.	3,161,820.78
Petty Cash Account	Key Bank	No report received
PIT Bulk	JPMorgan Chase Bank, N.A.	6,288,701.17
Port Of Oswego	Key Bank	125,610.29
Promptax - MCTMT	Wells Fargo Bank	7,951,336.20
Promptax - Petroleum Business Tax	Wells Fargo Bank	533.82
Promptax - Sales Tax/Sales Tax Prepaid Fuel	Wells Fargo Bank	831.39
Promptax - Withholding	Wells Fargo Bank	76,581,875.46
Real Estate Transfer Tax	Key Bank	609,294.33
Revenue Holding	First Niagara Bank	2,493,000.00

Sales Tax	JPMorgan Chase Bank, N.A.	0.00
Sales Tax	Wells Fargo Bank	1,638,291.38
SUNY Concentration	First Niagara Bank	459,000.00
TNC Assessment (EFT)	Wells Fargo Bank	1,901.10
Troy Debt Service Reserve Fund	Bank of America, N.A.	313,761.94
Uncashed Winning Tickets	Bank of America, N.A.	49,975.49
Withholding	Wells Fargo Bank	521.81
Withholding Tax	JPMorgan Chase Bank, N.A.	33,208,891.87
Cash Advance Accounts		
Advance For Travel Account	Key Bank	1,800.00
ERS Petty Cash Acct	Key Bank	5,100.00
Petty Cash Account	Key Bank	3,801.00
Common Retirement Fund		
Common Retirement Fund - Depository	JPMorgan Chase Bank, N.A.	1,630.98
NYS Common Retirement Fund	JPMorgan Chase Bank, N.A.	1,042,626.92
Employees Retirement System		
Employees Retirement System - EFT	JPMorgan Chase Bank, N.A.	8.01
Employees Retirement System - General	JPMorgan Chase Bank, N.A.	0.00
Employees Retirement System - Pension	JPMorgan Chase Bank, N.A.	0.00
Group Term Life		
Group Term Life - General	JPMorgan Chase Bank, N.A.	0.00
Municipal Assistance Corporation Accounts		
City Of Troy - MAC	JPMorgan Chase Bank, N.A.	719,231.00
Police and Fire		
Retirement Police & Firemen's - EFT	JPMorgan Chase Bank, N.A.	0.34
Retirement Police & Firemen's - General	JPMorgan Chase Bank, N.A.	0.00
Retirement Police & Firemen's - Pension	JPMorgan Chase Bank, N.A.	0.00
03000 - DEPARTMENT OF LAW		
Albany Filing Fees Account	Key Bank	No report received
Albany Petty Cash	Bank of America, N.A.	No report received
Albany Revenue Account	Key Bank	No report received
Assessment Account	JPMorgan Chase Bank, N.A.	No report received
Attorney General Account	Key Bank	No report received
Civil Recoveries Account	Key Bank	No report received
Dept Of Law Controlled Disb	M&T Bank	No report received
Marie Roberts	JPMorgan Chase Bank, N.A.	No report received
NYC Filing Fees Account	JPMorgan Chase Bank, N.A.	No report received
NYC Petty Cash Account	JPMorgan Chase Bank, N.A.	No report received
NYC Revenue Account	JPMorgan Chase Bank, N.A.	No report received
Restitution Account	M&T Bank	No report received
Special Account	Key Bank	No report received
US Justice Dept - Shared Forfeiture	Key Bank	No report received
US Treas Dept - Shared Forfeiture	Key Bank	No report received
03010 - OFFICE OF THE ATTORNEY GENERAL		
OCTF - Confidential Fund Checking	JPMorgan Chase Bank, N.A.	No report received
OCTF - Confidential Fund Checking	JPMorgan Chase Bank, N.A.	No report received
03020 - MEDICAID FRAUD CONTROL		
dept atty gen vs john doe	Key Bank	5,774,230.83
National Global Settlement	JPMorgan Chase Bank, N.A.	1,575,756.54
NYS Department Of Law Confidential Account	JPMorgan Chase Bank, N.A.	28,544.76
NYS Department of Law Petty Cash Account	JPMorgan Chase Bank, N.A.	4,738.39
04020 - NYS ASSEMBLY		
Advance For Travel	Bank of America, N.A.	3,675.00
Petty Cash Account Dist Off	Bank of America, N.A.	20,635.41
Petty Cash New York City	JPMorgan Chase Bank, N.A.	706.52
Public Information Office	Key Bank	33.25
04030 - ASSEMBLY WAYS & MEANS COMMITTEE		
Advance For Travel	Key Bank	No report received
Petty Cash	Key Bank	No report received
04040 - LEGISLATIVE BILL DRAFTING COMMISSION		
NY LBDC - Legislative Computer Services Fund	Key Bank	No report received
NYS Leg Bill Drafting Comm-Petty Cash Acct	Key Bank	No report received
04250 - REAPPORTIONMENT		
NYS Taskforce On Demo Res & Reapp	JPMorgan Chase Bank, N.A.	No report received
05000 - OFFICE OF COURT ADMINISTRATION		
Attorney Registration Fees - Revenue	JPMorgan Chase Bank, N.A.	785,713.00
Criminal Records Search Acct - Revenue	JPMorgan Chase Bank, N.A.	5,878,810.00
Finger Print Account	JPMorgan Chase Bank, N.A.	3,450.00
05005 - OCA OFFICE OF BUDGET & FINANCE		
Petty Cash Account	Key Bank	2,500.00
05008 - LAWYERS FUND FOR CLIENT PROTECTION		
Client Security Fund - Bail	Key Bank	1,518,992.20
Lawyers Fund For Client Protection - Bail	Key Bank	702.17
Petty Cash	Key Bank	2,681.91

05071 - COURT OF APPEALS		
Chief Judge Advance	Key Bank	15.07
Clerk Of The Court Of Appeals	Key Bank	4,404.31
05072 - STATE BOARD OF LAW EXAMINERS		
State Board Of Law Examiners Fee	Key Bank	48,600.00
05081 - APPELLATE DIVISION - 1ST JUDICIAL DEPARTMENT		
1st Appellate Division Supreme Ct	JPMorgan Chase Bank, N.A.	48,312.50
05082 - APPELLATE DIVISION - 2ND JUDICIAL DEPARTMENT		
Appellate Div 2nd Dept Revenue	JPMorgan Chase Bank, N.A.	No report received
05083 - APPELLATE DIVISION - 3RD JUDICIAL DEPARTMENT		
Third Dept Civil Fees Acct - Revenue	Key Bank	12,256.80
05084 - APPELLATE DIVISION - 4TH JUDICIAL DEPARTMENT		
4th Dept Appellate Div Civil Fees - Revenue	JPMorgan Chase Bank, N.A.	13,710.00
05090 - COURT OF CLAIMS		
Court Of Claims Revenue Account	Key Bank	4,315.00
05111 - 10TH JUDICIAL DISTRICT NASSAU COUNTY ADMINISTRATION		
Glen Cove City Court		
Glen Cove City Court Bail	Wells Fargo Bank	4,000.55
Glen Cove City Court Revenue	Wells Fargo Bank	24,326.72
Long Beach City Court		
Long Beach City Court Revenue	Wells Fargo Bank	67,057.00
Long Beach Court Bail	Wells Fargo Bank	60,760.42
Nassau County Court		
Nassau County Assessment	Wells Fargo Bank	53,690.31
Nassau District Court - Criminal		
Nassau Dist Ct Criminal Revenue	Wells Fargo Bank	156,009.38
Nassau District Court-Civil		
Nassau County Dist Ct- Civil Revenue	Wells Fargo Bank	27,847.84
Nassau Surrogate		
Nassau County Surrogate Court-Revenue	Wells Fargo Bank	335,508.25
05112 - 10TH JUDICIAL DISTRICT SUFFOLK COUNTY ADMINISTRATION		
10th Judicial District Suffolk County Admin		
Suffolk County Court -Court Fund	People's United Bank	93,458.25
Suffolk County Surrogate		
Surrogate Court Of Suffolk County	People's United Bank	No report received
Suffolk District Court Civil Fees		
Suffolk County District Court Civil Fees	Citibank	256,534.53
Suffolk District Court Criminal Fines		
Suffolk County District Court Criminal Fines	Citibank	149,492.00
Suffolk District Court Trust Acct		
Suffolk County District Court Trust Account	Citibank	2,500.00
05210 - NYC-CIVIL COURT		
Bronx Civil Court - Civil		
Bronx Civil Court - Revenue	JPMorgan Chase Bank, N.A.	1,244,764.84
Harlem Community Justice Court		
Harlem Community Justice - Revenue Account	JPMorgan Chase Bank, N.A.	25,354.88
Kings Civil Court- Civil		
Kings Civil Court- Civil Revenue	JPMorgan Chase Bank, N.A.	535,907.51
New York Civil Court - Civil		
New York Civil Court Revenue Acct	JPMorgan Chase Bank, N.A.	79,309.02
Queens Civil Court - Civil		
Queens Civil - Revenue	JPMorgan Chase Bank, N.A.	245,071.43
Richmond Civil Court - Civil		
Richmond Civil Ct Revenue Acct	JPMorgan Chase Bank, N.A.	53,931.20
05215 - NYC-CRIMINAL COURT		
Bronx Criminal Court- Criminal Court		
Bronx Criminal Division- Criminal Bail	JPMorgan Chase Bank, N.A.	168,871.00
Bronx Criminal Court- Criminal Court		
Bronx Criminal Division- Criminal Revenue	JPMorgan Chase Bank, N.A.	11,614.00
Kings County Criminal Court		
Kings Criminal Court	Citibank	123,759.00
New York County Criminal Court		
New York Criminal Court	JPMorgan Chase Bank, N.A.	39,817.00
New York Criminal Court- State Funds	JPMorgan Chase Bank, N.A.	46,037.00
Queens County Criminal Court		
Queens Criminal Court	JPMorgan Chase Bank, N.A.	81,731.00
Queens Criminal Court - State Funds	JPMorgan Chase Bank, N.A.	38,710.00
Richmond County Criminal Court		
Richard Criminal Court-City Funds	JPMorgan Chase Bank, N.A.	20,192.00
Richmond Criminal Court-State Funds	JPMorgan Chase Bank, N.A.	11,740.00
05231 - SUPREME COURT - BRONX COUNTY		
Bronx County Supreme - NYS OCA	JPMorgan Chase Bank, N.A.	2,021.00
05235 - SUPREME COURT - KINGS COUNTY		
Kings Co Supreme		

Supreme Court Kings County-Revenue	JPMorgan Chase Bank, N.A.	112,160.88
05240 - SUPREME COURT - QUEENS COUNTY		
Queens Co Supreme		
Queens County Supreme Court	JPMorgan Chase Bank, N.A.	0.00
Queens County Supreme Court	JPMorgan Chase Bank, N.A.	4,461.77
05250 - NEW YORK COUNTY CLERK		
New York Co Clerk Revenue Account	JPMorgan Chase Bank, N.A.	1,802,291.25
05255 - BRONX COUNTY CLERK		
Bronx County Clerk		
Bronx Cnty Clerk Revenue Acct	JPMorgan Chase Bank, N.A.	556,596.10
05260 - KINGS COUNTY CLERK		
Kings County Clerk		
Kings County Clerk Revenue Account	Flushing Commercial Bank	1,201,798.95
05265 - QUEENS COUNTY CLERK		
Queens County Clerk-Revenue Acct	Sterling Bank	809,420.42
05270 - RICHMOND COUNTY CLERK		
Richmond Co Clerk State Fees Account	JPMorgan Chase Bank, N.A.	9,440,310.30
Richmond County Clerk DEC	JPMorgan Chase Bank, N.A.	78.93
05275 - NEW YORK COUNTY SURROGATES COURT		
New York Surrogate		
New York Surrogate Court	JPMorgan Chase Bank, N.A.	187,697.00
05280 - BRONX COUNTY SURROGATES COURT		
Bronx Surrogate		
Bronx Surrogate Court Revenue Acct	JPMorgan Chase Bank, N.A.	69,012.00
05285 - KINGS COUNTY SURROGATES COURT		
Kings County Surrogate		
Kings Co. Surrogate Revenue Acct	Bank of America, N.A.	687,992.00
05290 - QUEENS COUNTY SURROGATES COURT		
Queens surrogate		
Queens Co Revenue Acct Surrogate	Signature Bank	127,049.00
05295 - RICHMOND COUNTY SURROGATES COURT		
Richmond County Surrogate Court Revenue Account	Victory State Bank	31,510.00
05360 - 3RD JUDICIAL DISTRICT ADMINISTRATION		
Albany City Court - (Civil)		
Albany City Civil - Revenue	Wells Fargo Bank	2,532.00
Albany City Court - (Crim-Bail)		
Albany City Criminal - Bail	Wells Fargo Bank	13,587.34
Albany City Court - (Traffic)		
Albany City Traffic-Revenue	Wells Fargo Bank	43,556.00
Albany Traffic Court - Bail	Bank of America, N.A.	0.00
Albany City Court - Civil Part		
Albany City Court Civil - Revenue	Trustco Bank	0.00
Albany City Court - Crim		
Albany City Court-Crim	Wells Fargo Bank	5,371.22
Albany City Court - Traffic-Bail		
Albany City Traffic - Bail	Wells Fargo Bank	1,300.35
Albany County Surrogate		
Albany Cty Surrogates Court - Revenue	Bank of America, N.A.	0.00
Albany Police Court		
Albany Police Court Bail Account	Key Bank	0.00
Albany Surrogates Court		
Albany County Surrogates Court Revenue	Wells Fargo Bank	14,926.00
Cohoes City Court		
Cohoes City Court Bail	Key Bank	1,915.00
Cohoes City Court Fees/Fines Account	Key Bank	9,715.00
Columbia County Surrogate		
Columbia Co Surrogate Ct Fees - Revenue	Key Bank	1,294.50
Greene Surrogate		
Greene Surrogate-Revenue	Wells Fargo Bank	2,508.00
Hudson City Court		
Hudson City Bail	Wells Fargo Bank	29,594.87
Hudson City Revenue	Wells Fargo Bank	10,308.45
Kingston City Court		
Kingston City Court Bail	Wells Fargo Bank	468.00
Kingston City Court Revenue	Wells Fargo Bank	24,712.61
Rensselaer City Court		
Rensselaer City Court - Bail	Wells Fargo Bank	5,006.68
Rensselaer City Court - Revenue	Wells Fargo Bank	6,404.00
Rensselaer County Surrogate		
Rensselaer Co Surrogate Ct Fees - Revenue	Key Bank	3,492.00
Schoharie Surrogate Court		
Schoharie Surrogates Court Revenue	NBT Bank	135.00
Sullivan Surrogate		
Sullivan Surrogate-Revenue	Wells Fargo Bank	5,992.59

Troy City Court		
Troy City Court- Revenue Acct - Revenue	Bank of America, N.A.	19,241.22
Troy Police Court Bail Account	Bank of America, N.A.	12,239.63
Ulster County Surrogate		
Ulster County Surrogate Court - Revenue	Key Bank	9,981.50
Watervliet City Court		
Watervliet City Court - Bail	Wells Fargo Bank	38,995.50
Watervliet City Court - Revenue	Wells Fargo Bank	16,421.00
05460 - 4TH JUDICIAL DISTRICT ADMINISTRATION		
Amsterdam City Court		
Amsterdam City Court - Bail	Key Bank	20,251.19
Amsterdam City Court - Revenue	Key Bank	14,031.50
Clinton County Surrogates		
Clinton County Surrogates - Revenue	NBT Bank	1,182.50
Essex County Surrogate		
Essex Co Surrogate Clerk - Revenue	Champlain National	75.00
Franklin County Surrogate		
Franklin Co Surrogate Court - Revenue	Key Bank	471.00
Fulton County Surrogate		
Fulton County Surrogate's Court	Key Bank	1.00
Glens Falls City Court		
Glens Falls City Court Account - Revenue	Glens Falls National	6,323.00
Glens Falls City Court Bail Acct	Glens Falls National	12,330.97
Gloversville City Court		
Gloversville City Court Bail	NBT Bank	21,819.41
Gloversville City Court Revenue	NBT Bank	7,672.40
Hamilton Surrogate		
Hamilton Surrogate - Revenue	Community Bank	0.00
Johnstown City Court		
City Of Johnstown Bail Account - Bail	Key Bank	8,076.06
Johnstown City Court Fines/Fees - Revenue	Key Bank	6,343.00
Mechanicville City Court		
Mechanicville City Court Bail	TD Bank	0.00
Mechanicville City Ct Revenue Acct	TD Bank	1,950.00
Montgomery County Surrogate		
Montgomery County Surrogates Court - Revenue	NBT Bank	1,049.50
Ogdensburg City Court		
Ogdensburg City Court Int Bail	Community Bank	5,715.05
Ogdensburg City Court Revenue	Community Bank	1,602.46
Plattsburgh City Court		
Plattsburgh City Court - Bail	Glens Falls National	11,451.32
State Of NY Plattsburgh City Court - Revenue	Glens Falls National	9,746.50
Saratoga County Surrogate		
Saratoga County Surrogate - Revenue	Ballston Spa National Bank	5,776.50
Saratoga Springs City Court		
Saratoga Springs Bail Account	The Adirondack Trust Company	40,521.71
Saratoga Springs City Revenue Acct	The Adirondack Trust Company	11,790.00
Schenectady City Court		
Schenectady City Court- Bail	Bank of America, N.A.	64,077.98
Schenectady City Court Revenue	Bank of America, N.A.	24,492.02
Schenectady Surrogate		
Schenectady Surrogate Court - Revenue	Key Bank	6,942.50
St. Lawrence Co Surrogate		
St. Lawrence County Surrogate - Revenue	Community Bank	32.00
Warren County Surrogate		
Warren County Surrogate Court - Revenue	TD Bank	3,025.00
Washington Surrogates		
Washington Surrogate Revenue	TD Bank	2,460.00
05560 - 5TH JUDICIAL DISTRICT ADMINISTRATION		
Fulton City Court		
Fulton City Court Bail Acct	Key Bank	28,359.24
Fulton City Court Revenue	Key Bank	4,248.50
Herkimer Surrogate		
Herkimer Surrogate - Revenue	Partners Trust	93.00
Jefferson Surrogates		
Jefferson Co Surrogate Revenue	Key Bank	7,526.50
Lewis County		
Lewis County Clerk	Community Bank	6,690.00
Lewis County Surrogates		
Lewis County Surrogate Court - Revenue	Key Bank	1,551.00
Little Falls City Court		
Little Falls City Court Bail	M&T Bank	27,000.00
Little Falls City Court Revenue	M&T Bank	1,869.58
Oneida County Combined		

Oneida County Combined Court	Adirondack Bank	0.00
Oneida County Surrogates		
Oneida County Surrogate Court Revenue	The Adirondack Trust Company	32,152.00
Onondaga County Surrogates		
Onondaga Surrogate Court - Revenue	Alliance Bank	9,775.00
Oswego City Court		
Oswego City Court Bail Acct	JPMorgan Chase Bank, N.A.	25,429.21
Oswego City Court Revenue	JPMorgan Chase Bank, N.A.	10,172.48
Oswego Surrogate Court		
Oswego County Surrogate Court - Revenue	Key Bank	2,517.00
Rome City Court		
City Court Of Rome Bail Account - Bail	NBT Bank	32,975.00
Rome City Court - Revenue	NBT Bank	11,696.00
Sherrill City Court		
Sherrill City Court 5th Jud Dist - Bail	NBT Bank	1.00
Sherrill City Court Fees - Revenue	NBT Bank	662.00
Syracuse City Court		
Syracuse City Court - Bail	NBT Bank	265,310.00
Syracuse City Court - Fees - Revenue	NBT Bank	24,087.30
Utica City Court		
Utica City Court Criminal Bail	Bank of Utica	121,123.77
Utica City Court Revenue Account	Key Bank	20,047.50
Watertown City Court		
Watertown City Court Bail	Key Bank	145,289.65
Watertown City Court Fees & Fines - Revenue	Key Bank	8,778.00
05661 - 6TH JUDICIAL DISTRICT ADMINISTRATION		
Binghamton City Court		
Binghamton City Court Bail	M&T Bank	65,602.00
Binghamton City Court Revenue	M&T Bank	12,398.35
Broome Surrogates		
SNY UCS Broome County Surrogates Court	Wells Fargo Bank	2,929.75
Chemung County Surrogates		
SNY UCS Chemung County Surrogates Court	Wells Fargo Bank	1,142.75
Chenango County Surrogates		
SNY UCS Chenango County Surrogates Court	Wells Fargo Bank	666.50
Cortland City Court		
Court City Court Bail	NBT Bank	16,538.30
Court City Court- Revenue	NBT Bank	8,956.00
Cortland County Surrogates		
SNY UCS Cortland County Surrogates Court	Wells Fargo Bank	433.00
Delaware County Surrogates		
Delaware County Surrogate - Revenue	Delaware National Bank	550.00
Elmira City Court		
Elmira City Court - Revenue Account	Chemung Canal Trust	17,505.60
Elmira City Court Bail	Chemung Canal Trust	122,111.50
Ithaca City Court		
Ithaca City Court	Tompkins County Trust	8,450.00
Ithaca City Court Revenue	Tompkins County Trust	4,481.47
Madison County Surrogates		
SNY UCS Madison County Surrogates Court	Wells Fargo Bank	330.00
Norwich City Court		
Norwich City Court Bail Acct	NBT Bank	10,014.00
Norwich City Court Revenue Acct	NBT Bank	1,023.66
Oneida City Court		
Oneida City Court Bail Account	JPMorgan Chase Bank, N.A.	11,200.00
Oneida City Court Fee & Fine - Revenue	JPMorgan Chase Bank, N.A.	11,277.00
Oneonta City Court		
Oneonta City Court - Revenue	Community Bank	2,947.00
Oneonta City Court Bail Account	Community Bank	0.00
Otsego County Surrogates		
Otsego County Surrogates Court - Revenue	Key Bank	1,860.00
Schuyler County Surrogates		
Schuyler County Surrogates Court	Community Bank	703.00
Tioga County Surrogates		
Tioga Surrogates Court - Revenue	M&T Bank	240.00
Tompkins County Surrogates		
SNY UCS Tompkins County Surrogates Court	Wells Fargo Bank	3,645.25
05761 - 7TH JUDICIAL DISTRICT ADMINISTRATION		
Auburn City Court		
Auburn City Court Bail Acct	Key Bank	21,913.00
Auburn City Court Fees & Fines - Revenue	Key Bank	8,412.50
Canandaigua City Court		
Canandaigua City Court Bail Acct	Canandaigua National Bank	26,302.67
Canandaigua City Court Revenue	Canandaigua National Bank	12,424.02

Cayuga County Surrogates		
Cayuga Surrogate Court	Wells Fargo Bank	2,543.00
Corning City Court		
Corning City Court - Bail	Wells Fargo Bank	12,552.69
Corning City Court - Revenue	Wells Fargo Bank	1,789.00
Geneva City Court		
Geneva City Court Bail Account	Wells Fargo Bank	17,522.17
Geneva City Court Revenue Account	Wells Fargo Bank	3,984.00
Hornell City Court		
Hornell City Court Bail Account	Community Bank	4,601.04
Hornell City Court Revenue	Community Bank	1,700.00
Livingston County Surrogates		
Livingston Surrogate Court	Wells Fargo Bank	1,006.00
Monroe County Surrogates		
7th District Monroe Surrogate	Wells Fargo Bank	23,731.50
Ontario County Surrogates		
Ontario Surrogate Court	Wells Fargo Bank	1,260.00
Rochester City Court		
Rochester City Court Bail Account	M&T Bank	503,369.65
Rochester City Revenue	M&T Bank	21,025.21
Seneca County Surrogates		
Seneca Surrogate Court	Wells Fargo Bank	1,263.75
Steuben County Surrogates		
7th District Steuben Surrogate	Wells Fargo Bank	10,515.75
Wayne County Surrogates		
Wayne Surrogate Court	Wells Fargo Bank	2,423.25
Yates County Surrogates		
Yates Surrogate Court	Wells Fargo Bank	206.00
05860 - 8TH JUDICIAL DISTRICT ADMINISTRATION		
ALLEGANY COUNTY SURROGATES COURT		
ST of NY Office of The State Comptroller State of New York Unified Courts Allegany Surrogate Court	Wells Fargo Bank	No report received
Batavia City Court		
ST of NY Office of The State Comptroller Batavia City Court Bail	Wells Fargo Bank	No report received
ST of NY Office of The State Comptroller Batavia City Court REVENUE	Wells Fargo Bank	No report received
BUFFALO CITY COURT		
ST of NY Office of The State Comptroller Buffalo City Court Bail Account	Wells Fargo Bank	No report received
ST of NY OFFICE OF THE STATE COMPTROLLER BUFFALO CITY COURT REVENUE ACCOUNT	Wells Fargo Bank	No report received
Cattaraugus County Surrogates		
ST of NY Office of the State Comptroller State of New York Unified Courts Cattaraugus Surrogate Court	Wells Fargo Bank	No report received
Chautauqua County Surrogates Court		
ST of NY Office of the State Comptroller State of New York Unified Courts Chautauqua Surrogate Court	Wells Fargo Bank	No report received
Dunkirk City Court		
ST of NY Office of The State Comptroller Dunkirk Bail Account	Wells Fargo Bank	No report received
ST of NY Office of The State Comptroller Dunkirk Revenue Account	Wells Fargo Bank	No report received
Erie - Buffalo County Law Library		
Sur Ct Lib At Buffalo - Revenue	M&T Bank	No report received
Erie County Surrogates		
ST of NY Office of the State Comptroller State of New York Unified Courts Erie Surrogate Court	Wells Fargo Bank	No report received
Genesee County Surrogates		
ST of NY Office of the State Comptroller State of New York Unified Courts Genesee Surrogate Court	Wells Fargo Bank	No report received
Jamestown City Court		
St of NY Office of the State Comptroller Jamestown City Court Bail Account	Wells Fargo Bank	No report received
St of NY Office of the State Comptroller Jamestown City Court Revenue Account	Wells Fargo Bank	No report received
Lackawanna City Court		
Lackawanna City Court Bail Account	Key Bank	No report received
Lackawanna City Court Revenue Account	Key Bank	No report received
Lockport City Court		
St of NY Office of the State Comptroller Lockport City Court Bail Account	Wells Fargo Bank	No report received
St of NY Office of the State Comptroller Lockport City Court Revenue Account	Wells Fargo Bank	No report received
Niagara County Surrogates		
ST of NY Office of the State Comptroller State of New York Unified Courts Niagara Surrogate Court	Wells Fargo Bank	No report received
Niagara Falls City Court		
ST of NY OFFICE OF THE STATE COMPTROLLER NIAGARA FALLS BAIL ACCOUNT	Wells Fargo Bank	No report received
ST of NY OFFICE OF THE STATE COMPTROLLER NIAGARA FALLS REVENUE ACCOUNT	Wells Fargo Bank	No report received
No. Tonawanda City Court		
ST of NY Office of The State Comptroller No. Tonawanda City Court Bail	Wells Fargo Bank	No report received
ST of NY Office of The State Comptroller No. Tonawanda Revenue	Wells Fargo Bank	No report received
Olean City Court		
ST of NY Office of The State Comptroller Olean City Court Bail	Wells Fargo Bank	No report received
ST of NY Office of The State Comptroller Olean City Court Revenue	Wells Fargo Bank	No report received
Orleans County Surrogates		
ST of NY Office of The State Comptroller State of New York Unified Courts Orleans Surrogate Court	Wells Fargo Bank	No report received
Salamanca City Court		
St of NY Office of the State Comptroller Salamanca City Court Bail Account	Wells Fargo Bank	No report received

St of NY Office of the State Comptroller Salamanca City Court Revenue Account	Wells Fargo Bank	No report received
Tonawanda City Court		
ST of NY Office of The State Comptroller Tonawanda City Court Bail	Wells Fargo Bank	No report received
ST of NY Office of The State Comptroller Tonawanda City Court Revenue	Wells Fargo Bank	No report received
Wyoming County Surrogates		
ST of NY Office of the State Comptroller State of New York Unified Courts Wyoming Surrogate Court	Wells Fargo Bank	No report received
05960 - 9TH JUDICIAL DISTRICT ADMINISTRATION		
Beacon City Court		
Beacon City Court Bail Account - Bail	JPMorgan Chase Bank, N.A.	11,281.10
Beacon City Fines Account - Revenue	JPMorgan Chase Bank, N.A.	5,354.00
Dutchess County Surrogates Court		
Dutchess County Surrogate Court - Revenue	JPMorgan Chase Bank, N.A.	52,823.00
Middletown City Court		
Middletown City Bail Escrow - Bail	Wells Fargo Bank	120,837.99
Middletown City Court Revenue	JPMorgan Chase Bank, N.A.	0.00
Middletown City Court Revenue	Wells Fargo Bank	30,542.33
Mt Vernon City Court		
Mt Vernon City Court State Bail	Wells Fargo Bank	165,646.44
Mt Vernon City Court State Revenue	Wells Fargo Bank	33,837.30
New Rochelle City Court		
New Rochelle City Court Bail	JPMorgan Chase Bank, N.A.	312,703.73
New Rochelle City Court Revenue	JPMorgan Chase Bank, N.A.	22,667.00
Newburgh City Court		
Newburgh Bail Account	Wells Fargo Bank	52,849.50
Newburgh City Court Revenue	Wells Fargo Bank	21,706.35
Orange County Surrogates Court		
Orange Co Surrogates Court - Revenue	JPMorgan Chase Bank, N.A.	8,012.75
Peekskill City Court		
Peekskill City Court Revenue	JPMorgan Chase Bank, N.A.	71,543.39
Peekskill City Court		
Peekskill City Court - Bail	JPMorgan Chase Bank, N.A.	125,641.69
Port Jervis City Court		
Port Jervis Bail Account - Bail	JPMorgan Chase Bank, N.A.	10,113.00
Port Jervis Revenue Account - Revenue	JPMorgan Chase Bank, N.A.	5,912.00
Poughkeepsie		
Poughkeepsie City Court -Bail	Wells Fargo Bank	38,624.48
Poughkeepsie City Court -Revenue	Wells Fargo Bank	15,010.80
Putnam Co Surrogate's Court		
Putnam Co Surrogates Court	Putnam County National Bank	12,447.00
Rockland County Surrogates Court		
Rockland Co Surrogates Court - Revenue	JPMorgan Chase Bank, N.A.	17,792.84
Rye City Court		
City Of Rye Bail Account	JPMorgan Chase Bank, N.A.	1,251.02
City Of Rye Fines And Fees - Revenue	JPMorgan Chase Bank, N.A.	24,627.00
Westchester County Surrogates Court		
Westchester Co Surrogates Fees - Revenue	Wells Fargo Bank	72,305.92
White Plains City Court		
White Plains City Court Bail Account	Sterling Bank	0.00
White Plains City Court Bail Account	Wells Fargo Bank	80,116.41
White Plains City Court Vehicle And Traffic Acct - Revenue	Sterling Bank	0.00
White Plains City Court Vehicle And Traffic Acct - Revenue	Wells Fargo Bank	93,393.03
Yonkers City Court		
Yonkers City Bail Account - Bail	Wells Fargo Bank	531,660.35
Yonkers City Revenue Account - Revenue	Wells Fargo Bank	85,803.07
06000 - AGRICULTURE & MARKETS		
Administration Account	Key Bank	49,173.82
Agency Advance Account	Key Bank	10,000.00
Agriculture Producers Sec Fund	Key Bank	40,237.68
Animal Population Control Account	Key Bank	19,996.41
Apple Marketing Order Fund	Key Bank	0.00
Consumer Food Industry Account	Key Bank	22,731.51
Dairy Industry Services Account	Key Bank	41,945.51
Dairy Promotion Order Fund	Key Bank	0.00
Milk Producers Security Fund	Key Bank	44,293.79
NYS Farmers Market Program	Key Bank	416,445.82
NYS WNY Milk Mktg Area Administration Fund	M&T Bank	12,372.01
NYS WNY Milk Mktg Area Equalization Fund	M&T Bank	11,642.88
NYS WNY Milk Mktg Area Equalization Fund Savings	M&T Bank	319.47
Plants Industry Account	Key Bank	7,025.39
Pride of NY	Key Bank	6,266.98
Weights & Measures Account	Key Bank	24,055.22
NYS Dept Agriculture & Markets		
Apple Marketing Order Fund	Key Bank	0.00
Dairy Promotion Order Fund	Key Bank	0.00

Farm Products	Key Bank	22,162.11
State Fair		
NYS Fair Operating Account	Solvay Bank	15,151.75
NYS Fair Special Account	Solvay Bank	232.08
08000 - DEPARTMENT OF CIVIL SERVICE		
Agency Advance Account	Bank of America, N.A.	3,000.00
Examination Application Fees Account	Bank of America, N.A.	828.00
Examination Application Fees Account	Key Bank	1,512.00
NYS Affirmative Action Advisory Account	Bank of America, N.A.	5,141.90
NYS Department of Civil Service	US Bank	299,349.00
08010 - PUBLIC EMPLOYEE RELATIONS BOARD		
Petty Cash And Travel Advance Account	Key Bank	340.76
09000 - DEPARTMENT OF ENVIRONMENTAL CONSERVATION		
Albany		
Conservation Petty Cash Account	M&T Bank	30,000.00
DEC/Exchange Account	M&T Bank	12,783.98
ENCON License Issuing Office	M&T Bank	1,959.17
ENCON/Montauk Point Feasibility Study	JPMorgan Chase Bank, N.A.	7,206.09
ENCON/South Shore Of Staten Island	JPMorgan Chase Bank, N.A.	22,923.10
Hunting Trapping & Fishing Account	M&T Bank	38,774.85
Lake Montauk Harbor	JPMorgan Chase Bank, N.A.	154,337.76
Lockbox Account	Wells Fargo Bank	80,982.83
NY Conservationist	Bank of America, N.A.	2,748.41
Program Fee	JPMorgan Chase Bank, N.A.	7,521.60
Revenue Account	Bank of America, N.A.	331,473.64
Rockaway Beach Study & Project	JPMorgan Chase Bank, N.A.	1,265,979.45
State of New York	Key Bank	90,272.41
Region 1		
Marine Permit Account	People's United Bank	184,320.75
Region 3		
Beaverkill & Mongaup Pond	Jeff Bank	0.00
Revenue Region 3 Account	Bank of America, N.A.	5.00
Region 4		
Bear Spring Revenue Account	Wayne Bank	0.00
Region 4	Greene County Commercial Bank	0.00
Region 4 Camping	NBT Bank	0.00
Region 5		
Campsite Revenue Account	Glens Falls National	439.10
Land & Forest Region SW	TD Bank	18,242.48
NYS Conservation	Glens Falls National	0.00
Recreation (Warrensburg)	City National Bank & Trust	146.12
Region 5	Citizens Bank	38.03
Region 5	NBT Bank	921.01
Tree Nursery	Bank of America, N.A.	0.00
Region 6		
Fish & Wildlife Watertown	Key Bank	126.92
Lands & Forest District #7	Community Bank	125.00
Lands & Forests District #6	Community Bank	0.00
Lands And Forests District 10	M&T Bank	21.20
SNY Dept Of Environmental Conserv	Community Bank	14.04
10000 - ATTICA CORRECTIONAL FACILITY		
Agency Advance Account	Five Star Bank	No report received
Employee Benefit Fund	Five Star Bank	No report received
General Cash Fund	Five Star Bank	No report received
Inmate Occupational Therapy Fund	Five Star Bank	No report received
Spendable Fund	Five Star Bank	No report received
10010 - AUBURN CORRECTIONAL FACILITY		
Advance Account	Key Bank	3,339.62
Certificate of Deposit	Bank of America, N.A.	15,021.20
Inmate Occupational Therapy Fund	Key Bank	419,542.84
Inmate Spendable Account	Key Bank	30,958.65
Misc Revenue	Key Bank	53,370.29
10020 - CLINTON CORRECTIONAL FACILITY		
Advance Account	Key Bank	12,873.27
Employee Benefit Fund	Key Bank	8,853.33
General Fund	Key Bank	8,744.93
Inmate Funds	Key Bank	222,331.82
Inmate Occupational Therapy Acct	Key Bank	81,992.07
10040 - GREAT MEADOW CORRECTIONAL FACILITY		
Certificate of Deposit	Glens Falls National	100,000.00
Certificate of Deposit	Glens Falls National	25,000.00
Facility Advance	Key Bank	6,250.72
General Fund	Key Bank	0.00
Inmate Fund	Key Bank	391,886.94

Miscellaneous Account	Key Bank	11,512.44
Occupational Therapy	Key Bank	63,563.23
10050 - FISHKILL CORRECTIONAL FACILITY		
Agency Advance	M&T Bank	No report received
Employee Benefits	M&T Bank	No report received
Inmate Spending Account	M&T Bank	No report received
Inmates Account	M&T Bank	No report received
Misc Receipts	M&T Bank	No report received
Occupational Therapy Account	M&T Bank	No report received
10060 - WALLKILL CORRECTIONAL FACILITY		
Advance Account	Key Bank	1,479.94
Employee Benefit	Key Bank	2,392.05
Inmate Occupational Therapy Fund	Key Bank	30,338.74
Inmates Fund Account	Key Bank	340,670.64
Misc. Receipts	Key Bank	23,582.74
10070 - SING SING CORRECTIONAL FACILITY		
Cash Advance	JPMorgan Chase Bank, N.A.	No report received
Inmate Funds	JPMorgan Chase Bank, N.A.	No report received
Inmate Interest Funds	JPMorgan Chase Bank, N.A.	No report received
Misc Receipts	JPMorgan Chase Bank, N.A.	No report received
Occupational Therapy	JPMorgan Chase Bank, N.A.	No report received
Quality Work Life	JPMorgan Chase Bank, N.A.	No report received
10080 - GREEN HAVEN CORRECTIONAL FACILITY		
Advance Account	Key Bank	No report received
General Fund	Key Bank	No report received
Inmates Money Market	Key Bank	No report received
Inmates Now Checking	Key Bank	No report received
Occupational Therapy Fund	Key Bank	No report received
10090 - ALBION CORRECTIONAL FACILITY		
Albion Advance Account	Bank of America, N.A.	3,051.37
Employee Benefit Fund	Bank of America, N.A.	12,194.97
Inmate Funds	Bank of America, N.A.	90,239.30
Misc Receipts	Bank of America, N.A.	7,091.90
Occupational Therapy	Bank of America, N.A.	21,503.74
10100 - EASTERN NEW YORK CORRECTIONAL FACILITY		
Agency Advance Account	Sterling Bank	1,293.76
Employee Benefit Fund	Sterling Bank	15,977.28
ID Now 3 Month CD	M&T Bank	50,102.55
Inmate Deposit Now Account	Sterling Bank	635,039.79
Inmate Occupational Therapy Account	Sterling Bank	46,014.78
Misc. Receipts	Sterling Bank	6,838.77
10110 - ELMIRA CORRECTIONAL & RECEPTION CENTER		
Agency Advance Account	Chemung Canal Trust	2,468.28
Employee Benefit Fund	Chemung Canal Trust	30,714.67
Inmates Fund	Chemung Canal Trust	413,406.03
Miscellaneous Receipts	Chemung Canal Trust	59.60
Occupational Therapy Fund	Chemung Canal Trust	27,675.36
10120 - BEDFORD HILLS CORRECTIONAL FACILITY		
Advance Account	JPMorgan Chase Bank, N.A.	4,941.37
Employee Benefit Fund	JPMorgan Chase Bank, N.A.	6,371.09
Inmate Funds	JPMorgan Chase Bank, N.A.	216,905.20
Inmate Funds CD	JPMorgan Chase Bank, N.A.	90,000.00
Inmate Funds Money Market	JPMorgan Chase Bank, N.A.	23,345.39
Misc. Receipts	JPMorgan Chase Bank, N.A.	94.72
Occupational Therapy	JPMorgan Chase Bank, N.A.	81,807.00
10130 - COXSACKIE CORRECTIONAL FACILITY		
Agency Advance Account	National Bank of Cocksackie	1,901.43
Employee Benefits Fund	National Bank of Cocksackie	37,786.42
Inmates Fund	National Bank of Cocksackie	319,715.18
Misc. Revenue	National Bank of Cocksackie	235.03
Occupational Therapy Acct	National Bank of Cocksackie	62,217.67
10140 - WOODBOURNE CORRECTIONAL FACILITY		
CD - Inmate Funds	First National Bank of Jeffersonville	190,000.00
CD - Inmate Funds	First National Bank of Jeffersonville	50,000.00
WCF Agency Advance	Jeff Bank	970.67
WCF General Fund	Jeff Bank	20,238.91
WCF Inmate Fund	Jeff Bank	393,514.68
WCF Occupational Therapy Fund	Jeff Bank	67,305.25
10160 - DEPARTMENT OF CORRECTIONS AND COMMUNITY SUPERVISION		
Agency Advance Account	Key Bank	No report received
Employee Benefit Fund	Key Bank	No report received
Inmate Escrow Account	Key Bank	No report received
Misc. Receipts Account	Key Bank	No report received
Special Account	Key Bank	No report received

10170 - QUEENSBORO CORRECTIONAL FACILITY

Agency Advance Account	JPMorgan Chase Bank, N.A.	7,897.59
Employee Benefit Fund	JPMorgan Chase Bank, N.A.	7,603.33
Inmate Funds Account	JPMorgan Chase Bank, N.A.	1,089,098.07
Miscellaneous Receipts Account	JPMorgan Chase Bank, N.A.	936.79
Occupational Therapy Fund	JPMorgan Chase Bank, N.A.	2,320.90
Savings Account	JPMorgan Chase Bank, N.A.	15,650.18

10230 - ADIRONDACK CORRECTIONAL FACILITY

ADK QWL	Community Bank	5,717.85
Agency Advance	Community Bank	790.00
Employee Benefit Fund	Community Bank	2,440.90
General Fund	Community Bank	155.25
Inmate Funds	Community Bank	26,475.39
Inmate Occupational Therapy Fund	Community Bank	671.70

10240 - DOWNSTATE CORRECTIONAL FACILITY

Agency Advance	JPMorgan Chase Bank, N.A.	1,346.59
Employee Recreational Funds	JPMorgan Chase Bank, N.A.	17,990.34
Inmate Fund	JPMorgan Chase Bank, N.A.	289,012.59
Misc. Receipts	JPMorgan Chase Bank, N.A.	19,499.44
Occupational Therapy	JPMorgan Chase Bank, N.A.	63,257.94

10250 - TACONIC CORRECTIONAL FACILITY

Employee Benefit Fund	JPMorgan Chase Bank, N.A.	4,013.59
Inmate Funds	JPMorgan Chase Bank, N.A.	124,076.51
Misc. Revenue	JPMorgan Chase Bank, N.A.	1,018.49
Money Market	JPMorgan Chase Bank, N.A.	30,994.29
Occupational Therapy Fund	JPMorgan Chase Bank, N.A.	19,611.93
Taconic Advance Account	JPMorgan Chase Bank, N.A.	2,815.00

10270 - HUDSON CORRECTIONAL FACILITY

Advance Account	Key Bank	2,995.72
Employee Benefit Fund Account	Key Bank	4,725.12
Inmate Funds account	Key Bank	91,399.14
Inmate Occupational Therapy Account	Key Bank	5,478.91
Miscellaneous Receipts Account	Key Bank	3,517.74

10290 - OTISVILLE CORRECTIONAL FACILITY

Cash Advance	Jeff Bank	728.40
General Fund	Jeff Bank	3,174.49
Inmate Funds	Jeff Bank	572,340.18
Inmate Occupational Therapy	Jeff Bank	58,878.87
Inmate Savings CD	Hometown Bank	79,097.61

10300 - ROCHESTER CORRECTIONAL FACILITY

Consolidated Advance Account	M&T Bank	1,598.32
Employee Recreation Fund	M&T Bank	1,438.89
Inmate Deposit Account	M&T Bank	187,062.33
Misc Fees	M&T Bank	803.35
Work Release Advance Account	M&T Bank	6,968.62

10320 - EDGEcombe CORRECTIONAL FACILITY

Agency Advance	JPMorgan Chase Bank, N.A.	No report received
Employee Benefit Account	JPMorgan Chase Bank, N.A.	No report received
Inmate Cash Account	JPMorgan Chase Bank, N.A.	No report received
Misc. Receipts Account	JPMorgan Chase Bank, N.A.	No report received
Occupational Therapy Acct	JPMorgan Chase Bank, N.A.	No report received
Work Release Account	JPMorgan Chase Bank, N.A.	No report received

10350 - OGDENSBURG CORRECTIONAL FACILITY

Agency Advance Account	Community Bank	No report received
EBF	Community Bank	No report received
Inmate Fund	Community Bank	No report received
Inmate Savings	Community Bank	No report received
Misc. Receipts	Community Bank	No report received
Occupational Therapy	Community Bank	No report received

10370 - FIVE POINTS CORRECTIONAL FACILITY

Consolidated Advance	Five Star Bank	914.17
EBF Checking	Five Star Bank	44,339.86
EBF Savings	Five Star Bank	30,851.61
Inmate Spendable	Five Star Bank	315,844.65
Misc Receipts	Five Star Bank	483.32
Occupational Therapy	Five Star Bank	50,389.82

10390 - MOHAWK CORRECTIONAL FACILITY

Agency Advance	Key Bank	1,855.43
Employee Benefit Fund Checking	Bank of America, N.A.	34,958.38
Inmate Funds Checking	Key Bank	471,896.08
Inmate Funds Savings	Key Bank	314,519.93
Miscellaneous Revenue	Key Bank	8,502.38
Occupational Therapy	Key Bank	30,155.19

10430 - WENDE CORRECTIONAL FACILITY

Consolidated Advance	Alden State Bank	3,650.95
Employee Benefit Account	Alden State Bank	30,692.22
Inmates Funds	Alden State Bank	195,400.01
Misc. Receipts	Alden State Bank	6,320.62
Occupational Therapy	Alden State Bank	55,133.04
10441 - DEPARTMENT OF CORRECTIONAL SERVICES-FOOD PRODUCTION CENTER		
Office of Nutritional Services		
Miscellaneous Receipts	Key Bank	9,754.97
10460 - GROVELAND CORRECTIONAL FACILITY		
Agency Advance Account	Five Star Bank	1,968.19
Employee Commission Account	Five Star Bank	11,266.37
Inmate Funds Account	Five Star Bank	341,441.29
Miscellaneous Receipts Account	Five Star Bank	2,105.99
Occupational Therapy Account	Five Star Bank	17,744.01
10470 - COLLINS CORRECTIONAL FACILITY		
Agency Advance	Community Bank	4,760.00
Employee Activities	Community Bank	47,865.44
Inmate Fund Checking	Community Bank	472,127.84
Miscellaneous Revenue	Community Bank	12,420.72
Occupational Therapy	Community Bank	19,606.74
10480 - MID-STATE CORRECTIONAL FACILITY		
Agency Advance	Key Bank	1,307.93
Employee Benefit Fund	Bank of America, N.A.	38,583.02
Inmate Funds	Key Bank	455,369.72
Inmate Savings	Key Bank	198,776.31
Misc. Revenue	Key Bank	2,136.00
Occupational Therapy	Key Bank	39,924.08
10490 - MARCY CORRECTIONAL FACILITY		
Agency Advance Account	Key Bank	3,807.28
Employee Benefit Fund Account	Bank of America, N.A.	40,328.96
Inmate Fund Account	Key Bank	248,162.68
Misc receipts Acct	Key Bank	(1.25)
NYS DOCS Marcy CORR Facility	Key Bank	206,841.48
Occupational Therapy fund Acct	Key Bank	40,456.37
10501 - CENTRAL PHARMACY		
NYS Docs Central Pharmacy Advance Acct	Bank of America, N.A.	1,000.00
10510 - MORIAH SHOCK INCARCERATION CORRECTIONAL FACILITY		
Moriah Shock Incarceration Advance Acct	Glens Falls National	1,800.00
Moriah Shock Incarceration Employee Benefit Fund	Glens Falls National	1,526.48
Moriah Shock Incarceration Occ Therapy	Glens Falls National	2,857.63
Moriah Shock Misc Receipts	Glens Falls National	0.00
NYS Moriah Shock Incarceration Inmate Checking	Glens Falls National	8,636.10
10530 - FRANKLIN CORRECTIONAL FACILITY		
Advance Account	Key Bank	4,590.06
Employee Benefit Account	Key Bank	15,467.67
Inmate Funds	Key Bank	98,764.86
Inmate Occupational Therapy	Key Bank	17,250.91
Misc. Receipts	Key Bank	3,314.49
10540 - ALTONA CORRECTIONAL FACILITY		
Cons Adv Travel Petty Cash	NBT Bank	3,452.40
Employees Vending Benefit	NBT Bank	6,673.74
Inmates Funds	NBT Bank	39,261.67
Misc Revenues General Fund	NBT Bank	249.58
Occupational Therapy	NBT Bank	4,635.44
10550 - CAYUGA CORRECTIONAL FACILITY		
Agency Advance	First National Bank of Groton	No report received
Employee Benefit Fund	First National Bank of Groton	No report received
Inmate Occupational Therapy Account	First National Bank of Groton	No report received
Inmate Spendable	First National Bank of Groton	No report received
Misc Receipts	First National Bank of Groton	No report received
10560 - BARE HILL CORRECTIONAL FACILITY		
Agency Advance	Key Bank	1,882.00
Employee Benefit Fund	Key Bank	7,585.50
Inmate Spendable Funds	Key Bank	125,185.22
Miscellaneous Receipts	Key Bank	1,022.00
Occupational Therapy	Key Bank	8,778.78
10570 - RIVERVIEW CORRECTIONAL FACILITY		
Agency Advance Account	Key Bank	1,250.00
Inmate Accounts	Key Bank	89,856.25
Inmate Savings Account	Key Bank	125,658.89
Miscellaneous Receipts Account	Key Bank	10,309.45
Occupational Therapy	Key Bank	20,115.41
10580 - CAPE VINCENT CORRECTIONAL FACILITY		
Advance Account	Community Bank	1,900.00

Employee Benefit Fund	Community Bank	46,120.79
Inmate Occupational Therapy Acct	Community Bank	31,166.88
Inmate Savings	Community Bank	141,772.81
Inmate Spendable Account	Community Bank	114,213.89
Miscellaneous Receipts Account	Community Bank	5,294.63
10600 - LAKEVIEW SHOCK INCARCERATION CORRECTIONAL FACILITY		
Agency Advance	Community Bank	3,700.00
Employee Benefit Fund	Community Bank	15,042.33
Inmate Funds	Community Bank	40,668.68
Inmate Funds - Sav	Community Bank	84,672.68
Miscellaneous Revenue	Community Bank	2,358.07
Occupational Therapy	Community Bank	839.43
10610 - ULSTER CORRECTIONAL FACILITY		
Agency Advance	M&T Bank	1,622.96
Employee Benefit Fund	M&T Bank	7,598.60
Inmate Fund	M&T Bank	177,131.05
Misc Receipts	M&T Bank	95.78
Occupational Therapy	M&T Bank	4,479.20
10630 - SOUTHPORT CORRECTIONAL FACILITY		
Advance Account	Chemung Canal Trust	473.08
Employee Benefit Fund	Chemung Canal Trust	8,468.88
Inmate Funds Account	Chemung Canal Trust	80,747.74
Misc. Receipts Account	Chemung Canal Trust	0.00
Occupational Therapy Account	Chemung Canal Trust	29,344.27
10640 - ORLEANS CORRECTIONAL FACILITY		
Agency Advance	Bank of America, N.A.	2,237.47
Employee Benefit Fund	Bank of America, N.A.	10,078.46
Inmate Funds	Bank of America, N.A.	64,845.24
Miscellaneous Receipts	Bank of America, N.A.	17,185.06
Occupational Therapy	Bank of America, N.A.	21,820.88
10650 - WASHINGTON CORRECTIONAL FACILITY		
Advance Account	Key Bank	2,450.00
EBF Account	Key Bank	16,389.56
General Account	Key Bank	205.75
Inmate Account	Key Bank	139,755.30
Inmate Funds Account Certificate Of Deposit	Glens Falls National	79,346.88
Occupational Therapy Account	Key Bank	16,015.70
10660 - WYOMING CORRECTIONAL FACILITY		
Agency Advance	Five Star Bank	6,300.00
Employee Benefit Fund	Five Star Bank	16,038.90
Inmate Occupational Therapy	Five Star Bank	36,105.07
Inmate Spendable	Five Star Bank	301,043.58
Misc. Receipts Account	Five Star Bank	9,499.04
10670 - GREENE CORRECTIONAL FACILITY		
Consolidated Advance	National Bank of Coxsackie	2,000.00
Employee Benefit Fund	National Bank of Coxsackie	26,494.52
Inmate Accounts	National Bank of Coxsackie	184,183.63
Misc. Receipts	National Bank of Coxsackie	10.00
Occupational Therapy	National Bank of Coxsackie	41,951.41
10680 - SHAWANGUNK CORRECTIONAL FACILITY		
Consolidated Advance Account	Key Bank	1,205.00
Inmates Funds Account	Key Bank	268,614.41
Misc. Receipts Account	Key Bank	5,463.48
Occupational Therapy Acct	Key Bank	49,387.78
10690 - SULLIVAN CORRECTIONAL FACILITY		
Consolidated Advance	Key Bank	2,075.95
Inmate Checking	Key Bank	324,820.83
Inmate Savings	Key Bank	100,512.75
Miscellaneous	Key Bank	15,732.09
Occupational Therapy	Key Bank	42,923.39
10810 - GOUVERNEUR CORRECTIONAL FACILITY		
Agency Advance	Community Bank	1,722.25
Inmate Occupational Therapy	Community Bank	18,917.39
Inmate Savings	Community Bank	155,049.72
Inmate Spendable Fund	Community Bank	127,944.67
Misc Receipts	Community Bank	11,923.65
10820 - WILLARD DRUG TREATMENT CENTER		
Consolidated Advance	Community Bank	1,000.00
Employee Benefit Fund	Community Bank	1,715.00
Inmate Funds	Community Bank	30,928.63
Inmate Occupational Therapy	Community Bank	10,475.01
Misc Receipts	Community Bank	218.75
10840 - UPSTATE CORRECTIONAL FACILITY-AUDIT 1		
Advance Account	Key Bank	1,900.00

Facility Committees	Key Bank	11,389.05
Inmate Fund	Key Bank	346,214.91
Inmate Occupational Therapy Fund	Key Bank	15,235.56
Miscellaneous Account	Key Bank	189.60
10850 - HALE CREEK ASACTC		
Consolidated Advance	Key Bank	615.00
Employee Benefit Fund	Bank of America, N.A.	10,214.40
Inmate Funds	Key Bank	95,098.16
Inmate Interest Bearing Account	Key Bank	15,000.38
Misc Receipts	Key Bank	80.00
Occupational Therapy	Key Bank	17,888.11
10890 - CORRECTIONS AND COMMUNITY SUPERVISION		
Asset Forfeiture Special Rev Acct	Bank of America, N.A.	12,808.69
Parole Supervision Fee	Wells Fargo Bank	40,394.56
10916 - CENTRAL OFFICE - INDUSTRIES		
Div of Ind Petty Cash Acct	Key Bank	4,000.00
Div of Ind Revenue Acct	Key Bank	248,561.19
11000 - EDUCATION DEPARTMENT		
Consolidated Advance Account	Key Bank	No report received
Consolidated Advance Account (Control Disbursement)	Key Bank	No report received
Revenue Account	Key Bank	No report received
11100 - NYS HIGHER EDUCATION SERVICES CORPORATION		
Federal Student Loan Suspense	US Bank	29,369.01
NYS HESC-Federal Student Loan Suspense	Key Bank	2,911.31
Operating	Key Bank	370,365.00
Operating	US Bank	3,223,603.38
Retail Lockbox	US Bank	153,817.00
Wholesale Lockbox	US Bank	996,778.79
11260 - BATAVIA SCHOOL FOR THE BLIND		
Misc. Receipts	M&T Bank	No report received
Petty Cash	M&T Bank	No report received
Student Spending Account	Bank of America, N.A.	No report received
11270 - ROME SCHOOL FOR THE DEAF		
Miscellaneous Receipts	NBT Bank	No report received
Petty Cash	NBT Bank	No report received
Student Activity Fund	NBT Bank	No report received
11280 - ARCHIVES PARTNERSHIP TRUST		
Endowment	Janney Montgomery Scott LLC	5,935,589.77
Endowment - Special Account	Janney Montgomery Scott LLC	No report received
Trust's Board Project Account	Key Bank	186,005.42
12000 - DEPARTMENT OF HEALTH CENTRAL ADMINISTRATION		
CSA Rebate Account	Bank of America, N.A.	256,507.24
DOH EPIC Lockbox Acct	Wells Fargo Bank	24,467.89
DOH Main Cash Advance	Key Bank	16,106.53
Early Intervention - Municipal Deposits for Provider Pymts	Key Bank	0.00
Early Intervention - Provider Payments Escrow	Key Bank	64,880.74
Early Intervention - State Funds	Key Bank	18,324.55
EPIC Co Pay Account	Bank of America, N.A.	0.00
Epic Drug Manufacturer Rebate Account	Bank of America, N.A.	30,021.55
EPIC EFT Acct	Bank of America, N.A.	0.00
EPIC Master Funding Acct	Bank of America, N.A.	1,436,664.77
EPIC Provider Receipt Account	Bank of America, N.A.	0.00
EPIC Refund Acct	Bank of America, N.A.	0.00
eWIC	Wells Fargo Bank	63,575.88
ICR Audit Fees Account	Bank of America, N.A.	10,949.82
Indian Health Disbursement Account	Bank of America, N.A.	0.00
Indian Health-Master Acct	Bank of America, N.A.	953,795.63
Medicaid	Key Bank	700,151.26
Medicaid Audit Recoveries Acct	Key Bank	0.00
Medicaid Insurance Recoveries Acct	Bank of America, N.A.	800,658.69
Nurses Aide Fees (Prometric)	Bank of America, N.A.	53,641.45
Nursing Home Fees Account	Bank of America, N.A.	No report received
NYS DOH CLEP Revenue	Key Bank	1,846.26
OBRA Drug Rebate Program Acct	Bank of America, N.A.	1,511.09
SPARCS	Key Bank	24,873.21
12010 - ROSWELL PARK MEMORIAL INSTITUTE		
Office Of Patient Accounts	M&T Bank	1,542,898.67
12030 - HELEN HAYES HOSPITAL		
Misc. Receipts	JPMorgan Chase Bank, N.A.	301,522.72
Petty Cash Account	JPMorgan Chase Bank, N.A.	13,971.19
Rental Deposit Acct	JPMorgan Chase Bank, N.A.	5,649.76
12120 - NYS VETERANS HOME-OXFORD		
Agency Advance	NBT Bank	10,158.22
Exchange Account	NBT Bank	34,721.32

Maintenance Fund	NBT Bank	761,540.26
NYS Veterans Home-Oxford (Resident Account, Custodial Account)	NBT Bank	576,391.70
Resident Custodial Account	NBT Bank	6,158.81
Resident Custodial Account	NBT Bank	16,130.46
Resident Custodial Account	NBT Bank	5,089.63
Resident Custodial Account	NBT Bank	13,169.60
Resident Custodial Account	NBT Bank	2,910.06
Resident Custodial Account	NBT Bank	7,919.06
Resident Custodial Account	NBT Bank	18,163.28
12150 - NYS VETERANS HOME-ST ALBANS		
NYC Veteran Home Agency Advance	JPMorgan Chase Bank, N.A.	117,595.23
St Albans NYC Vet Home Resid Funds	JPMorgan Chase Bank, N.A.	668,787.35
St Albans Vet Home Maintenance Acct	NBT Bank	2,337,269.06
12180 - WESTERN NEW YORK VETERANS HOME		
Advance Account	Bank of America, N.A.	4,413.39
Exchange Account	Bank of America, N.A.	6,470.01
Maintenance Account	NBT Bank	497,441.21
Resident Funds	Bank of America, N.A.	69,561.26
12190 - VETERANS HOME AT MONTROSE		
Agency Advance Account	Bank of America, N.A.	No report received
Maintenance Acct	NBT Bank	2,045,423.53
Residence Account	Bank of America, N.A.	No report received
12200 - OFFICE OF MEDICAID INSPECTOR GENERAL		
Albany Confidential Account	Key Bank	229.59
Albany Petty Cash Account	Key Bank	276.00
NYC Confidential Account	JPMorgan Chase Bank, N.A.	166.00
14000 - DEPARTMENT OF LABOR		
Agency Advance Account	Key Bank	27,986.00
Exchange Account	Bank of America, N.A.	20,993.04
Fee And Permit Account	Key Bank	1,095,347.03
Min Wage & Claim Funding Acct	Key Bank	254,540.96
Minimum Wage & Wage Claim Acct	Key Bank	1,076,276.92
Misc Receipts	Bank of America, N.A.	148,044.36
U.I. Fund Clearing Account	JPMorgan Chase Bank, N.A.	5,729,613.26
UI Fund ACH Transactions	Wells Fargo Bank	1,170,040.96
14010 - WORKERS COMPENSATION BOARD		
DTF/WCB MAC 14	JPMorgan Chase Bank, N.A.	415,926,036.93
16000 - PUBLIC SERVICE COMMISSION		
Cable Account	Key Bank	5,809.47
Petty Cash Account	Key Bank	3,532.52
Special Fee Account	Key Bank	15,978.78
17000 - NYS DEPARTMENT OF TRANSPORTATION		
Main Office Advance For Travel	Key Bank	No report received
Republic Airport, Long Island		
Republic Airport Revenue Acct	JPMorgan Chase Bank, N.A.	No report received
19000 - DEPARTMENT OF STATE		
Atheltic	M&T Bank	19,600.00
Licensing Revenue Account	JPMorgan Chase Bank, N.A.	536,270.96
Main	M&T Bank	1,343,885.83
Petty Cash Account	Key Bank	19,202.03
Summons	M&T Bank	947,717.00
19001 - TUG HILL COMMISSION		
Agency Advance Account	Key Bank	No report received
19002 - LAKE GEORGE PARK COMMISSION		
Petty Cash Account	Glens Falls National	No report received
Revenue Transfer Account	Glens Falls National	No report received
19005 - COMMISSION ON PUBLIC INTEGRITY		
JCOPE Petty Cash Account	Bank of America, N.A.	No report received
JCOPE Revenue Account	Bank of America, N.A.	No report received
20000 - DEPARTMENT OF TAXATION & FINANCE		
IFTA Funding	JPMorgan Chase Bank, N.A.	285.80
Misc Tax Account - Exchange	Bank of America, N.A.	23,646.40
Off Track Betting Tax (MAC 848)	Key Bank	2,500,580.38
Pari Mutuel Betting Tax (MAC 847)	Key Bank	6,885.30
Petty Cash	Bank of America, N.A.	12,957.29
Tax Preparer Registration Fee (EFT)	Wells Fargo Bank	127,100.00
Waste Tire Fee (EFT)	Wells Fargo Bank	1,272,250.92
Waste Tire Tax	JPMorgan Chase Bank, N.A.	84,936.75
20050 - NEW YORK STATE GAMING COMMISSION		
Charitable Gaming Account	Key Bank	171,547.65
Commercial Gaming Revenue Account	Key Bank	30.45
Custody Account	US Bank	12,689.45
Fingerprint Concentration Account	Key Bank	14,255.86
License Revenue Account	Bank of America, N.A.	45,400.56

Lottery Concentration Account	Key Bank	46,812.29
Lottery Prize Payment Account	Key Bank	0.00
Lottery Subscriptions Account	Key Bank	723,056.70
Petty Cash Account	Key Bank	744.67
Racing Refund Account	Key Bank	648,714.11
Video Gaming Revenue Account	Key Bank	12,095,407.25
21012 - WELFARE INSPECTOR GENERAL		
Confidential Fund	Bank of America, N.A.	15,000.00
Confidential Fund	JPMorgan Chase Bank, N.A.	No report received
Petty Cash	JPMorgan Chase Bank, N.A.	No report received
21110 - OFFICE OF REGULATORY REFORM		
Petty Cash	Key Bank	No report received
21190 - NYS ENERGY RESEARCH & DEVELOPMENT AUTHORITY		
NYSERDA Greenbank MAC 26	JPMorgan Chase Bank, N.A.	No report received
NYSERDA Main Checking MAC 30	JPMorgan Chase Bank, N.A.	(5,473,616.21)
21290 - HUDSON RIVER-BLACK RIVER REGULATING DISTRICT		
Checking- General Fund Acct.	Community Bank	81,050.21
Checking- Petty Cash Fund	Community Bank	5,000.00
Hudson River General Acct	Bank of America, N.A.	720,324.88
Money Market	Bank of America, N.A.	0.50
Petty Cash Fund	Bank of America, N.A.	6,500.00
21700 - OFFICE OF THE STATE INSPECTOR GENERAL		
Office Of The State Inspector General Pass Thru Account	Key Bank	0.00
OSIG Petty Cash Account	Key Bank	1,438.00
Albany		
Office of the Inspector General Confidential	Bank of America, N.A.	30,000.00
21820 - STATE COMMISSION ON JUDICIAL CONDUCT		
Petty Cash Account	JPMorgan Chase Bank, N.A.	640.00
Petty Cash Account	Key Bank	990.50
Petty Cash Fund	JPMorgan Chase Bank, N.A.	1,830.44
21940 - NYS FINANCIAL CONTROL BOARD		
Agency Advance Acct	JPMorgan Chase Bank, N.A.	No report received
23000 - DEPARTMENT OF MOTOR VEHICLES		
Albany Central Main Acct		
Albany Central Main Acct	Wells Fargo Bank	772,228.57
Albany Central Main Exchange		
Exchange	Wells Fargo Bank	6,857.20
Albany Central Office		
Title Escrow Exchange (Albany Central Office)	Wells Fargo Bank	48,505.45
Albany TVB Sub (Albany Central Office)		
Adjudication Account	Wells Fargo Bank	41,122.05
Albany-Region 3		
Confidential Inv Subpoena - Albany	Key Bank	No report received
Allegany-Belmont		
County Clerk Fee Allegany	Steuben Trust Co.	No report received
Andirondack Mountains		
County Fee Account	JPMorgan Chase Bank, N.A.	79,589.27
Buffalo-Region 5		
MV- Buffalo Investigator & Subpoena	M&T Bank	1,500.00
Capital Saratoga Revenue		
County Fee Account	JPMorgan Chase Bank, N.A.	520,579.71
Catskill Mountains		
County Fee Account	JPMorgan Chase Bank, N.A.	96,360.48
Central Leatherstocking		
County Fee Account	JPMorgan Chase Bank, N.A.	69,009.02
Chautauqua-Steuben		
County Fee Acct	JPMorgan Chase Bank, N.A.	52,408.24
Chautauqua County		
Holding Acct-Chautauqua County	Community Bank	No report received
Holding Acct-Chautauqua County	Key Bank	No report received
Holding Acct-Chautauqua County	M&T Bank	No report received
Concentration (CTY)(OSC)		
Concentration (CTY)(OSC)	Key Bank	133,479.54
Concentration (DO)(OSC)		
Concentration (DO)(OSC)	Key Bank	1,569,650.72
Confidential Fund (Albany Central Office)		
Confidential Fund	Bank of America, N.A.	4,584.70
CTY Credit Card (Albany Central Office)		
County Office Credit Card Account	JPMorgan Chase Bank, N.A.	825,176.87
Customer Service Counter (Albany)		
NYS DMV CSC	Wells Fargo Bank	50,272.31
D.O. Credit Card (Albany Central Office)		
District Office Credit Card Account	JPMorgan Chase Bank, N.A.	2,515,467.88
DMV Division Of Field Investigations - Albany Central Office		

Field Investigation	M&T Bank	No report received
Downstate		
Revenue Account - Downstate	Wells Fargo Bank	445,488.80
Eric County Revenue		
County Fee Account	JPMorgan Chase Bank, N.A.	50,000.00
Finger Lakes First		
County Fee Acct	JPMorgan Chase Bank, N.A.	54,017.12
Finger Lakes Second		
County Fee Acct	JPMorgan Chase Bank, N.A.	72,537.10
Genesee County		
Genesee County Clerk - DMV	Bank of Castile	No report received
Greene County		
Fee Account - Greene	Greene County Commercial Bank	No report received
Hudson Valley		
County Fee Acct	JPMorgan Chase Bank, N.A.	66,398.38
IRP (Albany Central Office)		
International Registration	M&T Bank	1,691,723.49
International Registration	Wells Fargo Bank	383,312.17
IRP Exchange (Albany Central Office)		
Irp Internet Office - Dept. MV	M&T Bank	4,599,791.13
Kiosk		
Kiosk Account	JPMorgan Chase Bank, N.A.	101,326.50
Long Island/Staten Island DO		
Long Island/Staten Island DO	Wells Fargo Bank	619,042.96
Long Island/Staten Island JP		
Long Island/Staten Island (Mass/Med)	JPMorgan Chase Bank, N.A.	50,000.00
Nassau Region 1		
Div. of Vehicle Safety	Citibank	No report received
Niagara Frontier		
County Fee Acct	JPMorgan Chase Bank, N.A.	68,617.84
Oneida County		
DMV Oneida County Fee Account	Bank of Utica	No report received
Oneida County Fee Account	NBT Bank	No report received
Petty Cash (Albany Central Office)		
Petty Cash	Bank of America, N.A.	21,578.12
Queens-Region 6		
Confidential - Queens	JPMorgan Chase Bank, N.A.	3,538.00
Rockland/Westchester DO		
Rockland/Westchester DO	Wells Fargo Bank	57,254.58
Search Exchange (Albany Central Office)		
MV Search	Key Bank	No report received
Search Exchange (Albany Central Office)		
MV Search	Wells Fargo Bank	66,291.11
Syracuse-Region 4		
Confidential - Syracuse	Key Bank	No report received
Thousand Island Seaway		
County Fee Acct	JPMorgan Chase Bank, N.A.	200,344.65
TLC/DOCCS		
TLC/DOCCS	JPMorgan Chase Bank, N.A.	22,481.70
Travel Advance (Albany Central Office)		
Travel Advance	Bank of America, N.A.	1,000.00
TVB Acct		
TVB Acct	Wells Fargo Bank	75,489.91
TVB Credit Card (Albany Central Office)		
TVB Credit Card Receipts	JPMorgan Chase Bank, N.A.	430,517.66
Upstate DO		
Upstate District Offices (ALB, SYD, SYS, UTD)	Wells Fargo Bank	101,740.17
Utica D.O.		
Exchange Account	Bank of Utica	No report received
Revenue Utica	Bank of Utica	No report received
Yonkers-Region 2		
Safety Sup Automotive FAC INSP	JPMorgan Chase Bank, N.A.	No report received
25000 - OFFICE OF CHILDREN & FAMILY SERVICES		
Brentwood Resid Center Cash Advance	JPMorgan Chase Bank, N.A.	950.00
Brentwood Residents' Account	JPMorgan Chase Bank, N.A.	552.43
Brookwood Cash Advance	Key Bank	1,722.18
Brookwood Resid Residential Cash	Key Bank	22,220.89
Check Exchange	Bank of America, N.A.	64.95
CO Independent Living Acct	Bank of America, N.A.	280.00
Co. Training Employment Dev (Youth Stipend)	Bank of America, N.A.	25,000.00
Columbia Girls Secure Center-Advance Acct	Key Bank	0.00
Columbia Girls Secure Center-Youth Savings	Key Bank	0.00
Finger Lakes Res Ctr Residents Cash	Tompkins County Trust	4,921.85
Fingerlakes Res Ctr Cash Advance	Tompkins County Trust	3,300.00

Goshen Cash Advance	Bank of America, N.A.	2,600.00
Goshen Residents Account	Bank of America, N.A.	25,614.47
Harriet Tubman Advance Account	Key Bank	500.00
Harriet Tubman Residents' Account	Key Bank	1,021.85
Highland Res Ctr Petty Cash Account	Bank of America, N.A.	2,550.00
Highland Res Ctr Residents Acct	Bank of America, N.A.	3,290.61
Industry Advance Account	JPMorgan Chase Bank, N.A.	2,374.89
Industry Res Account	JPMorgan Chase Bank, N.A.	8,782.37
Industry School Dug-Out	JPMorgan Chase Bank, N.A.	0.00
MacCormick Cash Advance	Tompkins County Trust	1,901.00
MacCormick Residents' Account	Tompkins County Trust	7,684.65
Medicaid Reimbursement Exchange	Bank of America, N.A.	97,042.39
NYS OCFS Advance Acct (Travel & Misc P.C.)	Bank of America, N.A.	15,075.00
NYS OCFS Salary Advance Account	Bank of America, N.A.	15,400.00
Queens-Long Island Aftercare	JPMorgan Chase Bank, N.A.	700.00
Red Hook Res Ctr Resident Cash	Key Bank	0.00
Red Hook Resid Ctr Cash Advance	Key Bank	0.00
SCR Credit Card Revenue Account	Bank of America, N.A.	31,075.00
State Central Register	Bank of America, N.A.	53,514.60
Taberg Cash Advance	NBT Bank	758.85
Taberg Residents Account	NBT Bank	1,393.37
27000 - OFFICE OF TEMPORARY & DISABILITY ASSISTANCE		
Exchange Account	Key Bank	No report received
Title IV D Of Social Security	Key Bank	No report received
Travel Advance	Key Bank	No report received
28010 - SUNY ALBANY		
Fee Account	Key Bank	375,172.06
Loan Services Center Account	Key Bank	100,347.89
Petty Cash/Travel Advance	Key Bank	No report received
28020 - SUNY BINGHAMTON		
SUNY Binghamton	M&T Bank	284,714.53
SUNY Binghamton - Controlled Disb	M&T Bank	No report received
28030 - SUNY BUFFALO		
Controlled Disbursement Account	Bank of America, N.A.	No report received
General Revenue Account	Bank of America, N.A.	No report received
General Revenue Account	Key Bank	2,192,426.24
Imprest Account	Key Bank	No report received
28050 - SUNY STONY BROOK		
Central Funding	JPMorgan Chase Bank, N.A.	777,364.58
Controlled Disbursement	JPMorgan Chase Bank, N.A.	No report received
Fees Depository	JPMorgan Chase Bank, N.A.	11,648,577.08
LISVH Fees Depository	JPMorgan Chase Bank, N.A.	225,010.80
LISVH Fees Depository	Sterling Bank	161,247.40
LISVH Residence Fund	Sterling Bank	448,960.45
Payroll Advance	JPMorgan Chase Bank, N.A.	5,476.01
SBU Student Refunds Cont Disb Acct	JPMorgan Chase Bank, N.A.	No report received
Student ACH Refunds Account	JPMorgan Chase Bank, N.A.	2,059.24
SUNY Eastern Long Island Hospital Depository	JPMorgan Chase Bank, N.A.	222,980.48
SUNY Southampton Depository	JPMorgan Chase Bank, N.A.	451,307.76
University Hosp Fees Depository	JPMorgan Chase Bank, N.A.	3,383,714.75
University Hospital Petty Cash	JPMorgan Chase Bank, N.A.	1,943.81
28100 - SUNY HEALTH SCIENCE CENTER AT BROOKLYN		
Center Revenue	JPMorgan Chase Bank, N.A.	1,148,548.83
EFT Federal Deposits Acct	JPMorgan Chase Bank, N.A.	No report received
Hospital Controlled Disbursement	JPMorgan Chase Bank, N.A.	No report received
Hospital Revenue	JPMorgan Chase Bank, N.A.	898,695.64
LICH Controlled Disbursement	JPMorgan Chase Bank, N.A.	No report received
LICH Depository	JPMorgan Chase Bank, N.A.	43,466.79
Student Refunds	JPMorgan Chase Bank, N.A.	No report received
28110 - SUNY HEALTH SCIENCE CENTER AT SYRACUSE		
College Revenue	Key Bank	241,123.44
Controlled Disbursement	Key Bank	0.00
Hospital Revenue	Key Bank	3,484,570.52
Parking	Key Bank	197,672.96
28150 - SUNY BROCKPORT		
Brockport-REOC Account	Key Bank	3,831.17
Concentration Acct	M&T Bank	222,955.02
Controlled Disb	M&T Bank	No report received
Special Account	M&T Bank	1,000.00
28160 - SUNY BUFFALO STATE COLLEGE		
Controlled Disb	M&T Bank	No report received
Dept Public Safety	M&T Bank	No report received
Special Grant Account	M&T Bank	3,423.14
Students Acct Office	M&T Bank	116,983.44

28170 - SUNY CORTLAND		
General Checking Account	Key Bank	206,738.36
28180 - SUNY FREDONIA		
Controlled Disb	M&T Bank	No report received
Depository Account	M&T Bank	33,815.28
28190 - SUNY GENESEO		
Controlled Disbursement Account	Key Bank	No report received
State Fees	Key Bank	414,464.91
28200 - SUNY OLD WESTBURY		
Local Depository	JPMorgan Chase Bank, N.A.	124,588.75
28210 - SUNY NEW PALTZ		
Disbursement Account	Key Bank	No report received
State Revenue	Key Bank	No report received
28220 - SUNY ONEONTA		
Petty Cash Advance Account	NBT Bank	No report received
Revenue Account	NBT Bank	1,845,149.01
28230 - SUNY OSWEGO		
Controlled Disbursement	Key Bank	No report received
General Revenue	Key Bank	204,796.10
Imprest Account	Key Bank	No report received
28240 - SUNY PLATTSBURGH		
General Revenue	TD Bank	No report received
28250 - SUNY POTSDAM		
Control Disbursement Account	Key Bank	108,878.17
State Fee Reconciliation Account	Key Bank	No report received
28260 - SUNY PURCHASE		
General Income Fund	Key Bank	313,577.63
28270 - SUNY INSTITUTE OF TECHNOLOGY UTICA/ROME		
Advance Account	Bank of America, N.A.	No report received
Controlled Disbursement Account	Bank of America, N.A.	No report received
Revenue	Bank of America, N.A.	278,545.98
28280 - SUNY EMPIRE STATE COLLEGE		
Concentration Account	Key Bank	172,178.51
Distribution Center Account	Key Bank	123,936.72
International Wire Account	Key Bank	20.00
Zero Balance Controlled Disbursement Account	Key Bank	No report received
28350 - SUNY COLLEGE OF TECHNOLOGY AT ALFRED		
Fees Account	Community Bank	1,064,196.04
28360 - SUNY COLLEGE OF TECHNOLOGY AT CANTON		
Community Cash Deposits	NBT Bank	131,612.01
Income Fund	Key Bank	77,112.13
International Program Account	Key Bank	37,521.36
28370 - SUNY AGRICULTURAL & TECHNICAL COLLEGE AT COBLESKILL		
Income Fund	Key Bank	216,003.78
28380 - SUNY COLLEGE OF TECHNOLOGY AT DELHI		
General Revenue	Delaware National Bank	84,587.14
Petty Cash Fund	Delaware National Bank	No report received
28390 - SUNY COLLEGE OF TECHNOLOGY AT FARMINGDALE		
Income Fund	Citibank	649,147.39
28400 - SUNY AGRICULTURAL & TECHNICAL COLLEGE AT MORRISVILLE		
Income Fund	Key Bank	1,189,236.70
Revenue Account	NBT Bank	No report received
28550 - SUNY COLLEGE OF ENVIRONMENTAL SCIENCE & FORESTRY		
Agency Advance	Key Bank	No report received
Controlled Disb	Key Bank	No report received
ESF/GSA	Key Bank	No report received
Forestry	Community Bank	180.78
Regular Account	Key Bank	180,880.44
Student Government	Key Bank	No report received
28570 - SUNY MARITIME COLLEGE		
Controlled Disbursement Account	JPMorgan Chase Bank, N.A.	No report received
Cruise Account	JPMorgan Chase Bank, N.A.	9,840.16
Revenue Deposit Account	JPMorgan Chase Bank, N.A.	214,510.41
Revenue EFT Account	JPMorgan Chase Bank, N.A.	42,053.00
28580 - SUNY COLLEGE OF OPTOMETRY		
General Revenue	JPMorgan Chase Bank, N.A.	328,434.43
Medical Transportation	JPMorgan Chase Bank, N.A.	83.88
28650 - SUNY CENTRAL SYSTEM ADMINISTRATION		
ASC	Key Bank	10,000.00
NYS Iso	Key Bank	4,700,000.00
Revenue	Key Bank	38,876.95
37000 - DEPARTMENT OF FINANCIAL SERVICES		
Confidential Investigations	JPMorgan Chase Bank, N.A.	11,202.85
Confidential Investigations	JPMorgan Chase Bank, N.A.	9,513.63

Fire Tax Account (Main)	Key Bank	88,106.38
Fire Tax Payment	Key Bank	0.00
General Assessment Account	JPMorgan Chase Bank, N.A.	223,092.99
General Fund	Key Bank	71,158.06
Market Stabilization Pool Account	JPMorgan Chase Bank, N.A.	676,965.84
Miscellaneous Account	JPMorgan Chase Bank, N.A.	103,758.76
Paid Family Leave	JPMorgan Chase Bank, N.A.	0.00
Petty Cash	Key Bank	5,757.84
Workers Comp Insurance Sec Fund Pymnt	JPMorgan Chase Bank, N.A.	100,004.73
49010 - SARATOGA-CAPITAL DISTRICT STATE PARK COMMISSION		
Revenue (SA)	Glens Falls National	29,947.35
SARATOGA REGION CONTRACTORS BID ACCOUNT	Key Bank	79,141.42
49020 - LONG ISLAND STATE PARK COMMISSION		
Contractors Bid (LI)	JPMorgan Chase Bank, N.A.	76,813.93
Regional Account 2 (LI)	JPMorgan Chase Bank, N.A.	184,291.64
Revenue (LI)	People's United Bank	28,226.60
49030 - GENESEE STATE PARK COMMISSION		
Contractors Bid (GE)	Bank of Castile	62,396.53
Revenue (GE)	Bank of Castile	63,578.58
49040 - NIAGARA FRONTIER STATE PARK COMMISSION		
Contractors Bid (NIA)	Key Bank	68.40
Revenue (NIA)	Evans National Bank	108,697.85
49050 - PALISADES INTERSTATE STATE PARK COMMISSION		
Contractors Bid (PA)	JPMorgan Chase Bank, N.A.	6,458.67
49070 - OFFICE OF PARKS & RECREATION		
Main Office - Change Fund	Key Bank	189,111.00
Main Office Account (ALB)	Key Bank	1,500.00
OPRHP Concentration Account	Key Bank	171,961.29
Petty Cash (ALB)	Key Bank	30,040.00
Revenue (NI, GE, AL, CE, TA)	M&T Bank	863,285.00
Revenue (NYC, CE, LI, PA, TA)	JPMorgan Chase Bank, N.A.	17,754.98
Revenue (SA, LI, GE, NI, CE, TA)	Bank of America, N.A.	3,161.79
Revenue (SA, NI, PA, CE, TA, TI)	Key Bank	15,507.24
Revenue (various)	Wells Fargo Bank	144,986.56
Statewide Campsite/Cabin Revenue	JPMorgan Chase Bank, N.A.	463,754.38
Statewide Credit Card Revenue, Revenue (ALB, FL, LI, PA, TI)	Key Bank	342,676.45
Statewide Housing Security Deposits	Key Bank	152,128.49
49090 - FINGER LAKES STATE PARK COMMISSION		
Revenue (FL)	Savannah Bank	44,899.54
Revenue (FL-Multi)	Community Bank	2,210.51
Revenue (FL-Multi-Facilities)	Tompkins County Trust	62,480.88
49100 - ALLEGANY STATE PARK COMMISSION		
Contractors Bid (AL)	Five Star Bank	2,027.57
Regional Account (AL)	Five Star Bank	161,259.05
Revenue (AL)	Five Star Bank	36,962.18
49120 - CENTRAL NEW YORK STATE PARK COMMISSION		
Revenue (CE, SA, TI)	NBT Bank	53,899.78
49140 - THOUSAND ISLANDS STATE PARK COMMISSION		
Revenue (TI)	Citizens Bank	49,109.18
Revenue (TI-Multi)	Community Bank	2,169.42
50000 - OFFICE OF MENTAL HEALTH		
Consolidated Advance	Bank of America, N.A.	12,321.23
Iterim Assistance Agreement	Bank of America, N.A.	0.00
OMH Medication Grant Program Acct	Bank of America, N.A.	No report received
Reimbursement Account	Bank of America, N.A.	1,735,320.82
50010 - GREATER BINGHAMTON HEALTH CENTER		
Agency Advance Account	JPMorgan Chase Bank, N.A.	5,360.29
Facility Holding Account	JPMorgan Chase Bank, N.A.	9,979.42
Patient Cash Funds	JPMorgan Chase Bank, N.A.	451,000.61
Patients Cash Account	JPMorgan Chase Bank, N.A.	526,372.85
Security Deposit	JPMorgan Chase Bank, N.A.	3,516.77
50020 - KINGSBORO PSYCHIATRIC CENTER		
Advance Account	Banco Popular	9,569.08
Family Care	Banco Popular	14,290.87
Holding Account	Banco Popular	277,550.79
Medicaid Outpatient Travel	Banco Popular	1,121.88
Patient Cash Acct (MM)	Banco Popular	491,631.75
Patient Checking Account	Citibank	27,396.83
Patient Savings Account	Banco Popular	335,152.30
Security Deposit	Banco Popular	2,489.53
Urban Oasis/EBT	Banco Popular	60,531.32
50030 - BUFFALO PSYCHIATRIC CENTER		
Advance Account	Key Bank	455,160.25
Facility Holding	Key Bank	30,204.72

Patient Cash Checking	Key Bank	455,160.25
50080 - MANHATTAN PSYCHIATRIC CENTER		
Advance Account	Sterling Bank	8,097.64
CD	Hudson Valley National Bank	425,038.94
General Fund Checking	Hudson Valley National Bank	3,589.21
Patient Cash Checking	Hudson Valley National Bank	1,313,817.94
Patients Money Market	Hudson Valley National Bank	72,929.91
Social Service Tokens	Hudson Valley National Bank	33,974.80
50110 - ROCHESTER PSYCHIATRIC CENTER		
Agency Advance	Key Bank	30,222.23
Facility Holding	Key Bank	4,799.03
Patients Cash Account	Key Bank	266,623.42
Patients Fund Savings	Key Bank	227,287.63
50120 - ST LAWRENCE PSYCHIATRIC CENTER		
Facility Advance Account	Community Bank	20,778.03
Facility Holding Account	Community Bank	20,502.83
Patients Cash Account	Community Bank	70,244.52
Patients Cash Savings	Community Bank	243,859.63
50150 - CREEDMOOR PSYCHIATRIC CENTER		
Advance Account	HSBC	68,776.02
Certificate Of Deposit	HSBC	250,000.00
Certificate Of Deposit	HSBC	150,000.00
Holding Account	HSBC	22,031.62
Medicaid Travel Account	HSBC	0.00
Money Management Account	HSBC	711,725.77
Patient Cash Account	HSBC	142,825.54
Rent Holding Account	HSBC	1,063.00
50170 - ROCKLAND PSYCHIATRIC CENTER		
Exchange	JPMorgan Chase Bank, N.A.	No report received
Holding Account	JPMorgan Chase Bank, N.A.	No report received
Investment CD A	Sterling Bank	No report received
Investment CD B	Sterling Bank	No report received
Investment CD B	Sterling Bank	No report received
Investment CD C	Sterling Bank	No report received
Investment CD D	Sterling Bank	No report received
Investment CD G	Sterling Bank	No report received
Investment CD I	Sterling Bank	No report received
Patient Cash Account	JPMorgan Chase Bank, N.A.	No report received
Patient Cash Checking Account	JPMorgan Chase Bank, N.A.	No report received
Patient Cash Savings Account	JPMorgan Chase Bank, N.A.	No report received
50180 - NYS PSYCHIATRIC INSTITUTE		
Donation & Gift Acct/Patient Fund Acct	JPMorgan Chase Bank, N.A.	3,509.89
General	JPMorgan Chase Bank, N.A.	79,467.20
Petty Cash	JPMorgan Chase Bank, N.A.	469.87
50190 - RICHARD H HUTCHINGS PSYCHIATRIC CENTER		
Advance Account	Key Bank	18,175.98
Clients Count	Key Bank	181,576.22
Holding Account	Key Bank	23,715.90
50200 - PILGRIM PSYCHIATRIC CENTER		
Facility Advance Account	JPMorgan Chase Bank, N.A.	50,648.29
Facility Holding Acct	JPMorgan Chase Bank, N.A.	176,165.39
Patient Cash	JPMorgan Chase Bank, N.A.	1,035,570.59
50210 - MOHAWK VALLEY PSYCHIATRIC CENTER		
Advance Fund	Key Bank	15,103.54
Facility Holding	Key Bank	3,142.87
Patient Cash Checking	Key Bank	58,661.54
Patient Cash Savings	Key Bank	28,933.95
Security Deposit	Key Bank	7,773.93
50310 - BRONX PSYCHIATRIC CENTER		
CD	JPMorgan Chase Bank, N.A.	250,000.00
Consolidated Advance	JPMorgan Chase Bank, N.A.	19,105.97
Misc. Receipts	JPMorgan Chase Bank, N.A.	27,186.58
Patients Cash MM	JPMorgan Chase Bank, N.A.	88,275.59
Patients Checking Acct	JPMorgan Chase Bank, N.A.	381,661.70
50340 - NATHAN KLINE INSTITUTE		
Petty Cash Account	JPMorgan Chase Bank, N.A.	1,300.00
50350 - KIRBY FORENSIC PSYCHIATRIC CENTER		
Consolidated Advance Account	Hudson Valley National Bank	713.81
Holding Account	Hudson Valley National Bank	28,057.04
Patient Cash Account	Hudson Valley National Bank	151,584.79
50390 - CENTRAL NY PSYCHIATRIC CENTER		
Agency Advance Account	Key Bank	9,409.43
General Fund	Key Bank	0.02
Patient Checking	Key Bank	86,667.34

Patient Savings	Key Bank	296,540.51
Security Deposit	Key Bank	2,743.48
50440 - MID-HUDSON FORENSIC PSYCHIATRIC CENTER		
Facility Advance Account	Key Bank	15,541.12
Facility Holding Account	Key Bank	2,510.50
Patients Account	Key Bank	212,412.68
50790 - SOUTH BEACH PSYCHIATRIC CENTER		
Agency Advance Checking	JPMorgan Chase Bank, N.A.	12,488.95
Facility Holding Checking	JPMorgan Chase Bank, N.A.	15,733.51
Patients Cash Checking	JPMorgan Chase Bank, N.A.	665,734.47
50800 - BRONX CHILDRENS PSYCHIATRIC CENTER		
General Fund	JPMorgan Chase Bank, N.A.	11,044.55
50810 - WESTERN NY CHILDRENS PSYCHIATRIC CENTER		
Agency Advance	Key Bank	2,269.10
Exchange Account	Key Bank	1,300.00
Patient Cash	Key Bank	455.00
50850 - SAGAMORE CHILDRENS PSYCHIATRIC CENTER		
Sagamore Patient Personal Acct	JPMorgan Chase Bank, N.A.	22,955.95
Sagamore Petty Cash Account	JPMorgan Chase Bank, N.A.	5,423.49
50860 - ROCKLAND CHILDRENS PSYCHIATRIC CENTER		
Advance Account	JPMorgan Chase Bank, N.A.	No report received
50870 - QUEENS CHILDRENS PSYCHIATRIC CENTER		
Queens Adv Acct	HSBC	10,282.62
Queens Childrens Account	HSBC	4,554.58
50920 - ELMIRA PSYCHIATRIC CENTER		
Certificate of Deposit	Chemung Canal Trust	100,000.00
Certificate of Deposit	Chemung Canal Trust	100,000.00
Facility Advance Account	Chemung Canal Trust	10,798.85
General Fund Account	Chemung Canal Trust	2,377.75
IMMA	Chemung Canal Trust	47,183.39
Patients Fund Account	Chemung Canal Trust	359,395.48
50980 - CAPITAL DISTRICT PSYCHIATRIC CENTER		
Facility Advance Account	Key Bank	7,865.28
Facility Holding Account	Key Bank	267,478.73
Parking Garage Account	Key Bank	168,208.06
Patients Cash Checking Account	Key Bank	573,841.71
Patients Cash Savings Account	Key Bank	397,252.48
51000 - NYS OFFICE FOR PEOPLE WITH DEVELOPMENTAL DISABILITIES		
Petty Cash Acct	Bank of America, N.A.	No report received
Revenue Account	Bank of America, N.A.	528,530.52
51210 - HUDSON VALLEY DDSO		
EBT Checking	JPMorgan Chase Bank, N.A.	No report received
Exchange Account	JPMorgan Chase Bank, N.A.	No report received
General Fund	JPMorgan Chase Bank, N.A.	No report received
Investor's Choice Savings	JPMorgan Chase Bank, N.A.	No report received
Petty Cash	JPMorgan Chase Bank, N.A.	No report received
PTS Cash Checking	JPMorgan Chase Bank, N.A.	No report received
51240 - CENTRAL NY DDSO		
Advance Accounts	Key Bank	34,728.18
Clients Account	Key Bank	635,253.40
Consumer Cash - Savings	Adirondack Bank	2,232,646.83
Consumer Cash - Savings	Key Bank	3,418,677.22
51250 - TACONIC DDSO		
Agency Advance Account	M&T Bank	39,311.16
General Fund	M&T Bank	16,560.74
Patient Fund CD	JPMorgan Chase Bank, N.A.	1,500,000.00
Patients Cash Checking	M&T Bank	841,451.65
500 Balltown Rd Schenectady, NY		
TDDSO Representative Payee Savings Acct	The Adirondack Trust Company	1,702,046.00
51270 - STATEN ISLAND DDSO		
Clients Cash Account	JPMorgan Chase Bank, N.A.	No report received
General Fund Account	JPMorgan Chase Bank, N.A.	No report received
Money Market Account	JPMorgan Chase Bank, N.A.	No report received
Petty Cash Account	JPMorgan Chase Bank, N.A.	No report received
51290 - CAPITAL DISTRICT DDSO		
Agency Advance Account	Key Bank	No report received
Agency Advance Account	The Adirondack Trust Company	No report received
Consumer EBT Fund / Fiduciary Account	Key Bank	No report received
Consumers Fund / Fiduciary Account	Key Bank	No report received
Patients Account Direct Deposit / Fiduciary Acct	The Adirondack Trust Company	No report received
Patients Fund Operating Acct / Fiduciary Acct	The Adirondack Trust Company	No report received
Summer Camp - Fiduciary Acct	The Adirondack Trust Company	No report received
51330 - WESTERN NY DDSO		
Agency Advance Account	M&T Bank	No report received

CD-Patient Property Funds	Key Bank	No report received
Exchange Account	M&T Bank	No report received
General Account	Community Bank	No report received
Patient Property Funds	Adirondack Bank	No report received
Patient Property Funds	M&T Bank	No report received
51350 - LONG ISLAND DDSO		
Consolidated Advance	JPMorgan Chase Bank, N.A.	No report received
EBT Checking Account	JPMorgan Chase Bank, N.A.	No report received
General Fund	JPMorgan Chase Bank, N.A.	No report received
Patient Cash	JPMorgan Chase Bank, N.A.	No report received
51380 - BROOKLYN DDSO		
CD	Banco Popular	No report received
Consumers Fund	JPMorgan Chase Bank, N.A.	261,349.01
Consumers Money Management	JPMorgan Chase Bank, N.A.	687,368.31
Miscellaneous Receipts	JPMorgan Chase Bank, N.A.	167,876.21
Thomas Shirtz Community Service	JPMorgan Chase Bank, N.A.	9,001.34
51420 - SUNMOUNT DDSO		
Certificate of Deposit	Community Bank	No report received
Residents Fund	Community Bank	No report received
Sunmount Advance Account	Community Bank	No report received
51430 - INSTITUTE FOR BASIC RESEARCH IN DEVELOPMENTAL DISABILITIES		
Petty Cash	JPMorgan Chase Bank, N.A.	No report received
51450 - METRO NY DDSO		
Consolidated Acct	JPMorgan Chase Bank, N.A.	42,903.23
Manhattan DDSO Client Cash	JPMorgan Chase Bank, N.A.	26,945.18
Metro NY DDSO REP PAYEE ACCOUNT	JPMorgan Chase Bank, N.A.	330,389.49
Patients Cash Account	JPMorgan Chase Bank, N.A.	18,798.32
Patients Money Market Account	JPMorgan Chase Bank, N.A.	0.10
51470 - BERNARD M FINESON DDSO		
Money Market Account	JPMorgan Chase Bank, N.A.	307,134.90
Patient Fund Account	JPMorgan Chase Bank, N.A.	522,047.18
Petty Cash Fund	JPMorgan Chase Bank, N.A.	10,496.10
51780 - FINGER LAKES DDSO		
Advance Account	JPMorgan Chase Bank, N.A.	No report received
F.L. Newark Resident Checking	Community Bank	No report received
Finger Lakes Resident Checking	JPMorgan Chase Bank, N.A.	No report received
FL Vending Machine Account	Community Bank	No report received
General Account	JPMorgan Chase Bank, N.A.	No report received
Geneseo Client Cash	Bank of America, N.A.	No report received
Kelsey Trust Fund	Bank of America, N.A.	No report received
Mary Moore Trust Fund	Bank of America, N.A.	No report received
Monroe Resident Checking	JPMorgan Chase Bank, N.A.	No report received
Monroe Resident Savings	JPMorgan Chase Bank, N.A.	No report received
Patient Food Stamp Account	JPMorgan Chase Bank, N.A.	No report received
51940 - BROOME DDSO		
Broome DDSO	M&T Bank	No report received
Broome DDSO - Advance Account	M&T Bank	No report received
Broome DDSO - General Fund	M&T Bank	No report received
Disabled Individuals Savings	Key Bank	No report received
Disabled Individuals Savings	M&T Bank	No report received
OMRDD Broome DDSO EBT Checking	M&T Bank	No report received
53000 - OFFICE OF ALCOHOLISM & SUBSTANCE ABUSE SERVICES		
Agency Advance Acct	Key Bank	2,800.00
Creedmoor PNA Account	JPMorgan Chase Bank, N.A.	0.00
Patient Fees	Bank of America, N.A.	408,503.30
Revenue Account	Bank of America, N.A.	46,018.06
53020 - KINGSBORO ALCOHOLISM TREATMENT CENTER		
Petty Cash Advance Account	Bank of America, N.A.	783.74
70000 - CUNY UNIVERSITY MANAGEMENT & PROGRAM BOARD OF HIGHER EDUCATION		
CUNY Admin Imprest Cash Account	Citibank	11,016.14
70030 - CUNY HUNTER COLLEGE		
Travel Petty Cash Account	Citibank	48,112.40
70060 - CUNY JOHN JAY COLLEGE		
CUNY JOHN JAY COLLEGE IMPREST FUND	Citibank	16,250.00
70070 - CUNY LEHMAN COLLEGE		
Lehman College	Citibank	4,345.50
70080 - CUNY YORK COLLEGE		
York College Imprest Funds	Citibank	11,288.64
York College Travel Advance Fund	Citibank	817.00
70100 - CUNY COLLEGE OF STATEN ISLAND		
CSI Imprest Cash	TD Bank	4,917.13
70120 - CUNY NYC COLLEGE OF TECHNOLOGY		
NY City College Of Technology Technical College Imprest Fund	Popular Community Bank	5,000.00
70150 - CUNY SCHOOL OF LAW		

CUNY School Of Law

TD Bank

29,188.71

The above balances represent funds deposited in various banking institutions as reported by the State department and agencies, and published in accordance with Section 107 of the State Finance Law.

Division of the Treasury, Department of Taxation and Finance
Christopher Curtis *Deputy Commissioner and State Treasurer*

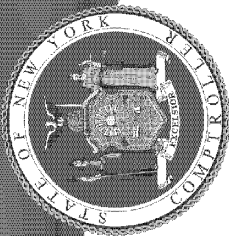
**FUNDS OF THE DIVISION OF THE TREASURY OF WHICH THE COMMISSIONER OF TAXATION AND FINANCE
IS THE SOLE CUSTODIAN WITH BALANCES AS OF 12/31/2021**

ACCOUNT DESCRIPTION	DEPOSITORY	BALANCE AS OF 12/31/2021
COMMUNITY COLLEGE TUITION AND INSTRUCTIONAL INCOME FUND		
0232 Upstate Community Colleges, Series 2005B	Key Bank	5,448,438.25
DEPARTMENT OF FINANCIAL SERVICES		
0001 Property/Casualty Insurance Security Fund	Key Bank	128,662.01
0002 Public Motor Vehicle Security Fund	JPMorgan Chase Bank, N.A.	499,134.18
0003 Workers' Compensation Security Fund	JPMorgan Chase Bank, N.A.	3,242,587.20
DORMITORY AUTHORITY OF THE STATE OF NEW YORK		
0039 Mental Hygiene Facilities Improvement Fund Income Account	Bank of America, N.A.	0.00
0104 Lincoln Medical and Mental Health Center Project Construction Account	Key Bank	3,338.05
0105 Greenpoint Medical and Mental Health Center Project Construction Account	Key Bank	305,845.14
0149 State Advances Repayment Account	Bank of America, N.A.	(1,761.84)
HOMELESS HOUSING ASSISTANCE CORPORATION		
0320 Social Services Homeless Housing and Assistance Corporation Operating Account	Key Bank	0.00
NELSON A. ROCKEFELLER EMPIRE STATE PLAZA PERFORMING ARTS CENTER CORPORATION		
0315 The Egg	Key Bank	14,876.39
NEW YORK CONVENTION CENTER		
0300 Operating Fund	JPMorgan Chase Bank, N.A.	25,608,485.93
NEW YORK JOB DEVELOPMENT AUTHORITY		
0036 Special Purpose Fund	Bank of America, N.A.	714,901.56
0371 Series H Commercial Paper	Bank of America, N.A.	434,438.08
0389 Daily Demand Special Purpose Bonds Series 1992A-B	JPMorgan Chase Bank, N.A.	340,553.73
0423 Escrow Account for USA Industries Inc.	Key Bank	37,274.67
0424 Escrow Account for Pluritec USA Inc.	Key Bank	19,782.38
0426 Agriculture Loan Program	Key Bank	401,629.14
NYS AFFORDABLE HOUSING CORPORATION		
0491 Disbursement Account	JPMorgan Chase Bank, N.A.	751,917.03
0520 Development Account	Key Bank	972,311.39
0522 Repayment Account	Key Bank	213,549.98
0523 Recapture Account	Key Bank	98,467.10
0880 Payroll Account	JPMorgan Chase Bank, N.A.	0.00
NYS DEPARTMENT OF HEALTH		
0004 Medical Indemnity Fund	JPMorgan Chase Bank, N.A.	(3,105,676.71)
NYS DEPARTMENT OF TAXATION AND FINANCE		
0510 Excelsior Linked Deposit Fund	Key Bank	0.00
0600 World Trade Center Memorial Foundation Fund Account	Bank of America, N.A.	148,364.22
0625 Advance Acct/Imprest Confidential Fund	Bank of America, N.A.	28,706.03
0626 Criminal Investigation Division	Key Bank	242,861.97
0778 PIT/STAR Rebate Exchange Account	JPMorgan Chase Bank, N.A.	0.00
0800 NYS IRS PIT offset account	Key Bank	4,193,579.10
0827 Stock Transfer Incentive Fund	Key Bank	1,180,665.26
0847 Pari-Mutuel Revenue Transfer Account	Key Bank	0.00
0848 Off-Track Bet Tax Revenue Transfer Account	Key Bank	0.00
NYS HOUSING FINANCE AGENCY		
0252 Energy Conservation/Tenant Health & Safety Improvement Account	JPMorgan Chase Bank, N.A.	206,627.74
0254 Agency Assisted Housing Operation Fund	JPMorgan Chase Bank, N.A.	16,443,052.36
0267 Neighborhood Stabilization Program - Round 1	JPMorgan Chase Bank, N.A.	87,646.12
0274 NYSHFA Special Reserve Fund	JPMorgan Chase Bank, N.A.	643,069.79
0283 Small Owner's Assistance Program Account	Key Bank	75,887.92
0285 Public Purpose Account	Key Bank	23,410,539.54
0286 Disbursement Account	Key Bank	18,525,538.18
0287 Infrastructure Development Fund	Key Bank	197,817.52
0288 Mobile Home Cooperative Fund	Key Bank	24,033.82
0292 Homeless Housing Initiatives	Key Bank	200,389.04
0294 Housing Plan Fund	Key Bank	35,347,385.32
0301 HFA - Subsidy Repayment Account	Key Bank	4,304,276.41
0305 HPD Disbursement Fund	Key Bank	999,684.19
0879 Payroll Account	JPMorgan Chase Bank, N.A.	102,323.65
NYS HOUSING TRUST FUND CORPORATION		
0458 Section 8 Housing Assistance Payment Account	JPMorgan Chase Bank, N.A.	1,143,095.60
0460 Escrow Account	M&T Bank	1,125,999.45
0461 Housing Modernization Account	M&T Bank	770,401.95

0462	General Custodial Account	M&T Bank	68,375,030.86
0465	Home Program Account	M&T Bank	10,345,035.21
0466	Homes for Working Families Account	M&T Bank	8,905,367.34
0467	Section 8 Administrative Account	M&T Bank	9,087,527.85
0469	OCR Community Miscellaneous Programs Account	M&T Bank	8,131,144.83
0470	HCV Main Account	Bank of America, N.A.	71,611,604.57
0471	Empire State Relief Fund	M&T Bank	472,816.46
0472	Master Escrow Account	Bank of America, N.A.	8,281.02
0473	Family Self-Sufficiency Account	Bank of America, N.A.	4,222,868.15
0475	Reserve Account	Bank of America, N.A.	107,444.90
0480	OHP Miscellaneous Programs Account	Bank of America, N.A.	9,924,437.75
0891	Small Cities Community Development Block Grant Program	M&T Bank	8,365.10
0892	Disaster Recovery Initiative Account	M&T Bank	326,858.18
0893	Payroll Account for Small Cities CDBG Program	M&T Bank	158.69
0895	HTFC Storm Recovery Payment	M&T Bank	2,385,893.08
0899	HTFC Storm Recovery Lockbox	US Bank	40,968.71
NYS TEACHERS RETIREMENT SYSTEM			
0052	Master Funding Account	State Street Bank & Trust Co.	0.00
0052	Main Account	JPMorgan Chase Bank, N.A.	18,646,011.68
0853	Excess Benefit Fund	JPMorgan Chase Bank, N.A.	2,669,095.26
STATE INSURANCE FUND			
0053	State Insurance Fund	Bank of America, N.A.	66,492,276.73
0054	State Insurance Fund	Bank of America, N.A.	16,125,774.07
0055	State Insurance Fund	Bank of America, N.A.	16,449,464.19
0861	Disability Benefits Fund Tax Escrow Account	Bank of America, N.A.	29,513.56
STATE UNIVERSITY CONSTRUCTION FUND			
0034	Income Fund	Key Bank	1,120,895.42
0075	Educational Facilities Revenue Bonds Debt Service Account	Key Bank	31,806.05
0870	Deductions Account	Key Bank	354,895.31

The above balances represent funds deposited in various banking institutions per the records of the Department of Taxation and Finance, Division of Treasury, and published in accordance with Section 107 of the State Finance Law.

Division of the Treasury, Department of Taxation and Finance
Christopher Curtis Deputy Commissioner and State Treasurer



Office of the NEW YORK STATE
COMPTROLLER

Comptroller's Monthly Report on State Funds Cash Basis of Accounting

DECEMBER 2021

NYS Comptroller
THOMAS P. DINAPOLI

Office of Operations
Division of Payroll, Accounting and Revenue Services
Bureau of Financial Reporting and Oil Spill Remediation



STATE OF NEW YORK
OFFICE OF OPERATIONS
DIVISION OF PAYROLL, ACCOUNTING AND REVENUE SERVICES
BUREAU OF FINANCIAL REPORTING AND OIL SPILL REMEDIATION

THOMAS P. DINAPOLI
STATE COMPTROLLER

COMPTROLLER'S MONTHLY REPORT TO THE LEGISLATURE ON STATE FUNDS - CASH BASIS OF ACCOUNTING

December 31, 2021

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EXHIBIT A

STATE OF NEW YORK
GOVERNMENTAL FUNDS
COMBINED STATEMENT OF CASH RECEIPTS, DISBURSEMENTS AND CHANGES IN FUND BALANCES
(amounts in millions)

	GENERAL			SPECIAL REVENUE			DEBT SERVICE			CAPITAL PROJECTS			TOTAL GOVERNMENTAL FUNDS			YEAR OVER YEAR		
	MONTH OF DEC. 2021	9 MOS. ENDED DEC. 31, 2021	MONTH OF DEC. 2021	9 MOS. ENDED DEC. 31, 2021	MONTH OF DEC. 2021	9 MOS. ENDED DEC. 31, 2021	MONTH OF DEC. 2021	9 MOS. ENDED DEC. 31, 2021	MONTH OF DEC. 2021	9 MOS. ENDED DEC. 31, 2021	MONTH OF DEC. 2021	9 MOS. ENDED DEC. 31, 2021	MONTH OF DEC. 2021	9 MOS. ENDED DEC. 31, 2021	MONTH OF DEC. 2020	9 MOS. ENDED DEC. 31, 2020	\$ Increase/ (Decrease)	% Increase/ Decrease
RECEIPTS:																		
Personal Income Tax	\$ 3,120.0	\$ 24,503.4	\$ 14.1	\$ 16.1	\$ 3,143.2	\$ 24,519.5	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 6,266.3	\$ 48,039.0	\$ 4,832.8	\$ 36,786.2	\$ 12,240.8	33.3%
Consumption/Use Taxes	461.0	3,555.1	193.8	1,529.1	1,232.4	9,264.3	-	72.4	478.7	-	-	-	1,959.6	14,827.2	1,688.3	12,043.7	2,783.5	23.1%
Business Taxes	6,615.8	11,068.2	351.9	1,678.9	5,081.7	5,081.7	-	45.5	446.3	-	-	-	12,094.9	18,275.1	1,965.5	6,130.9	12,144.2	168.1%
Other Taxes	114.4	1,061.1	-	-	137.3	1,117.7	-	11.9	83.4	-	-	-	263.6	2,262.2	231.9	1,913.3	648.9	40.2%
Miscellaneous Receipts	238.9	1,634.1	1,824.4	138.6	9.3	318.2	-	670.9	3,101.9	-	-	-	2,743.5	19,040.7	2,840.4	23,980.0	(4,939.3)	-20.6%
Federal Receipts	-	-	10,522.9	74,500.6	1.5	31.7	-	351.3	1,386.3	-	-	-	10,405.7	75,918.6	7,541.3	(13,752.5)	(4,939.3)	22.1%
Total Receipts	10,558.1	41,821.9	12,437.1	91,711.2	9,665.4	40,333.1	-	1,152.0	5,486.6	-	-	-	33,753.6	179,362.8	18,202.2	142,732.2	36,630.6	25.7%
DISBURSEMENTS:																		
Local Assistance Grants:																		
Education	2,834.3	17,080.2	926.5	7,625.7	-	-	-	1.3	135.1	-	-	-	3,762.1	25,139.0	2,865.5	21,094.4	4,044.6	19.2%
Environment and Recreation	-	6.3	0.6	3.4	-	-	-	138.7	280.6	-	-	-	139.3	280.3	20.8	108.8	180.5	164.4%
General Government	249.9	913.1	37.0	676.2	-	-	-	111.7	390.9	-	-	-	398.6	1,970.2	333.9	5,538.8	(3,568.6)	-64.4%
Public Health:																		
Medicaid	1,765.2	14,882.8	5,120.7	39,235.5	-	-	-	-	-	-	-	-	6,885.9	54,118.3	6,709.3	50,055.4	4,062.9	8.1%
Other Public Health	408.8	1,879.1	924.1	6,556.6	-	-	-	65.0	437.9	-	-	-	1,398.9	8,873.6	864.5	7,484.3	1,388.3	18.6%
Public Safety	10.0	139.9	213.0	1,354.2	-	-	-	33.3	88.9	-	-	-	256.3	1,583.0	180.7	1,881.8	(98.8)	-5.9%
Public Welfare	463.6	4,120.9	738.1	5,593.8	-	-	-	141.8	515.9	-	-	-	1,343.5	10,230.6	426.5	4,820.7	5,409.9	112.2%
Support and Regulate Business	7.4	714.5	19.1	53.4	-	-	-	78.7	545.3	-	-	-	105.2	1,311.2	144.9	485.9	815.3	164.4%
Transportation	11.6	109.1	768.8	3,468.7	-	-	-	500.2	2,226.6	-	-	-	1,278.6	5,804.4	1,009.2	4,917.2	887.2	18.0%
Total Local Assistance Grants	5,751.8	38,845.9	8,745.9	64,867.5	-	-	-	1,070.7	4,607.2	-	-	-	15,968.4	108,320.6	12,955.3	96,186.3	13,122.3	13.6%
Departmental Operations:																		
Personal Service	216.5	5,926.8	1,125.3	5,390.7	-	-	-	-	-	-	-	-	1,341.8	11,317.5	1,298.5	11,188.4	128.1	1.1%
Non-Personal Service	270.5	1,889.7	458.9	4,088.4	0.3	3.4	-	-	-	-	-	-	729.7	5,981.5	641.0	5,196.7	784.8	14.7%
General State Charges	52.2	6,140.8	621.5	1,758.0	-	-	-	-	-	-	-	-	673.7	7,898.8	474.5	6,540.0	1,358.8	20.8%
Debt Service, Including Payments on	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
Financing Agreements	-	-	-	42.3	209.2	1,442.6	-	-	-	-	-	-	209.2	1,484.9	1,322.0	2,881.2	(1,196.3)	-44.6%
Capital Projects	-	-	-	-	-	-	-	-	-	-	-	-	649.8	5,503.6	630.5	5,387.3	116.3	2.2%
Total Disbursements	6,291.0	53,783.2	10,951.6	76,146.9	208.5	1,446.0	-	1,720.5	10,110.8	-	-	-	19,172.6	141,486.9	16,731.8	127,195.9	14,291.0	11.2%
Excess (Deficiency) of Receipts over Disbursements	4,268.1	(11,961.3)	1,485.5	15,564.3	9,395.9	38,887.1	(568.5)	(568.5)	(4,614.2)	-	-	-	14,581.0	37,875.9	2,088.4	15,536.3	22,339.6	143.8%
OTHER FINANCING SOURCES (USES):																		
Bond and Note Proceeds (net)	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Transfers from Other Funds	9,769.9	40,198.0	139.2	2,200.3	157.6	1,183.0	-	516.5	4,428.9	-	-	-	10,893.2	47,998.2	3,331.2	25,625.5	22,372.7	87.3%
Transfers to Other Funds	(565.1)	(6,725.8)	(269.2)	(1,230.2)	(871.5)	(3,871.9)	-	(44.5)	(334.0)	-	-	-	(10,589.3)	(48,081.9)	(3,324.5)	(25,838.5)	22,245.4	86.1%
Total Other Financing Sources (Uses)	9,204.8	33,469.2	(130.0)	970.1	(713.9)	(3,688.9)	-	472.0	4,094.9	-	-	-	(5.1)	(83.7)	(3.3)	(211.0)	127.3	60.3%
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	13,474.9	21,488.9	1,355.5	16,534.4	(158.0)	278.2	(96.5)	(96.5)	(519.3)	-	-	-	14,575.9	37,792.2	2,085.1	15,325.3	22,466.9	146.6%
Beginning Fund Balances (Deficits)	17,184.8	9,180.8	25,848.2	10,669.3	501.2	65.0	(1,566.8)	(1,566.8)	(1,144.0)	-	-	-	41,867.4	18,751.1	27,525.0	14,284.8	4,466.3	31.3%
Ending Fund Balances (Deficits)	\$ 30,659.7	\$ 30,659.7	\$ 27,203.7	\$ 27,203.7	\$ 343.2	\$ 343.2	\$ -	\$ 343.2	\$ (1,663.3)	\$ (1,663.3)	\$ -	\$ -	\$ 56,543.3	\$ 56,543.3	\$ 29,610.1	\$ 29,610.1	\$ 26,933.2	91.0%

STATE OF NEW YORK

GOVERNMENTAL FUNDS-STATE OPERATING (*)

COMBINED STATEMENT OF CASH RECEIPTS, DISBURSEMENTS AND CHANGES IN FUND BALANCES

(amounts in millions)

EXHIBIT A
SUPPLEMENTAL

	GENERAL		STATE SPECIAL REVENUE (**)		DEBT SERVICE		TOTAL STATE OPERATING FUNDS		% Increase/ Decrease
	MONTH OF DEC. 2021	9 MOS. ENDED DEC. 31, 2021	MONTH OF DEC. 2021	9 MOS. ENDED DEC. 31, 2021	MONTH OF DEC. 2021	9 MOS. ENDED DEC. 31, 2021	MONTH OF DEC. 2021	9 MOS. ENDED DEC. 31, 2021	
RECEIPTS:									
Personal Income Tax	(4)	\$ 3,129.0	\$ 24,503.4	\$ 14.1	\$ 16.1	\$ 1,432.2	\$ 49,039.0	\$ 4,832.8	33.3%
Consumption/Use Taxes		461.0	3,555.1	193.8	1,529.1	1,232.4	14,348.5	1,626.7	23.3%
Business Taxes		6,615.8	11,068.2	351.9	1,678.9	5,081.7	17,828.8	1,638.4	211.6%
Other Taxes		114.4	1,061.1	-	-	137.3	2,178.8	220.0	42.4%
Miscellaneous Receipts		238.9	1,634.1	1,815.3	13,842.7	9.3	15,795.0	1,774.9	-17.2%
Federal Receipts		-	-	9.0	43.5	1.5	75.2	12.5	69.8%
Total Receipts		10,559.1	41,821.9	2,384.1	17,110.3	9,605.4	99,265.3	10,105.3	32.7%
DISBURSEMENTS:									
Local Assistance Grants:									
Education		2,834.3	17,080.2	127.4	3,039.5	-	20,119.7	2,583.1	6.7%
Environment and Recreation		-	6.3	0.5	2.5	-	8.8	0.3	319.0%
General Government		249.9	913.1	14.7	138.7	-	1,051.8	184.1	14.7%
Public Health:									
Medicaid		1,765.2	14,882.8	427.1	4,212.5	-	19,095.3	2,122.7	18.7%
Other Public Health		409.8	1,879.1	131.7	869.7	-	2,748.8	263.3	21.5%
Public Safety		10.0	139.9	20.1	198.6	-	338.5	66.0	74.5%
Public Welfare		463.6	4,120.9	0.4	2.6	-	4,123.5	168.2	126.6%
Support and Regulate Business		7.4	714.5	19.1	44.6	-	759.1	10.9	700.7%
Transportation		11.6	109.1	763.5	3,430.9	-	3,540.0	781.3	20.7%
Total Local Assistance Grants		5,751.8	39,845.9	1,504.5	11,939.6	-	51,765.5	6,179.9	20.0%
Departmental Operations:									
Personal Service		216.5	5,926.8	418.8	3,793.4	-	9,720.2	(98.0)	8.6%
Non-Personal Service		270.5	1,869.7	222.2	2,089.0	0.3	3,962.1	435.2	26.1%
General State Charges		52.2	6,140.8	184.9	854.8	-	6,995.6	362.1	15.5%
Debt Service, Including Payments on Financing Agreements		-	-	-	-	209.2	1,442.6	1,229.8	-44.1%
Capital Projects		-	-	-	-	-	-	-	0.0%
Total Disbursements		6,291.0	53,783.2	2,330.4	18,676.8	209.5	73,906.0	8,109.0	15.7%
Excess (Deficiency) of Receipts over Disbursements		4,268.1	(11,961.3)	53.7	(1,566.5)	9,395.9	25,359.3	1,996.3	132.4%
OTHER FINANCING SOURCES (USES):									
Transfers from Other Funds	(2)	9,769.9	40,186.0	155.7	2,689.4	157.6	44,058.4	3,217.2	83.9%
Transfers to Other Funds	(2)	(563.1)	(6,725.8)	(75.7)	(205.9)	(9,711.5)	(46,723.6)	(3,124.5)	92.7%
Total Other Financing Sources (Uses)		9,206.8	33,460.2	80.0	2,483.5	(9,553.9)	(2,665.2)	92.7	816.2%
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses		13,474.9	21,498.9	133.7	917.0	(158.0)	22,694.1	2,089.0	113.7%
Beginning Fund Balances (Deficits)	(3)	17,184.8	9,160.8	6,491.9	5,708.6	501.2	14,934.4	22,940.5	3.7%
Ending Fund Balances (Deficits)		\$ 30,659.7	\$ 30,659.7	\$ 6,625.6	\$ 6,625.6	\$ 343.2	\$ 37,628.5	\$ 25,029.5	50.3%

(*) State Operating Funds are comprised of the General Fund, State Special Revenue Funds supported by activities from dedicated revenue sources (including operating transfers from Federal Funds) and Debt Service Funds.

(**) Eliminations between Special Revenue - State and Federal Funds are not included.

GOVERNMENTAL FUNDS FOOTNOTES**EXHIBIT A NOTES**
December 2021

1. Certain disbursements from Capital Projects funds are financed by operating transfers from other funds, proceeds of State bonds and notes, and reimbursements received from Public Authorities and the Federal Government. The amounts shown below represent disbursements to be reimbursed in future months from the sources indicated:

Urban Development Corporation (Correctional Facilities)	\$250.6	million
Urban Development Corporation (Youth Facilities)	14.0	
Housing Finance Agency (HFA)	443.2	
Housing Assistance Fund	12.9	
Dormitory Authority (Mental Hygiene)	500.7	
Dormitory Authority and State University Income Fund	705.7	
Federal Capital Projects	932.6	
State bond and note proceeds	123.3	

2. Operating Transfers constitute legally authorized transfers from a fund receiving revenues to a fund through which disbursements will ultimately be made. The more significant transfers include:

General Fund "Transfers to Other Funds" are as follows:

State Capital Projects Fund	\$4,113.6	million
General Debt Service Fund	202.8	
Banking Services Account	29.1	
Building Administration Account	8.0	
Business Services Center	30.0	
Centralized Tech Services	11.5	
Charter School Stimulus	4.8	
Court Facilities Incentive Aid Fund	93.4	
Dedicated Highway & Bridge Trust Fund	49.5	
Dedicated Infrastructure Investment Fund	135.0	
Dedicated Mass Transportation (Non MT A)	3.8	
Dedicated Mass Transportation - Railroad Account	6.6	
Dedicated Mass Transportation - Transit Authority Account	36.7	
Environmental Protection Fund	28.0	
Health Insurance Revolving Fund	12.0	
Mass Transportation Financial Assistance	244.3	
Mass Transportation Operating Assistance Fund	36.9	
Medical Cannabis Health Operation and Oversight	6.2	
New York Central Business District Trust Fund	113.6	
New York City County Clerks' Operations Offset	2.1	
Recruitment Incentive	2.6	
Spiral Cord Injury Account	5.7	
State Fair Receipts	7.0	
State University Income Fund	1,307.2	

Also included in the General Fund are transfers representing payments for patients residing in State-operated health, mental hygiene and State University facilities to Debt Service funds (\$12.5m), and the State University Income Fund (\$223.2m).

\$724(b) was added to the State Finance Law in 2010 to permit the State's General Debt Service Fund to maintain a cash reserve for the payment of debt service, and related expenses, during the current fiscal quarter. As of December 31, 2021 - pursuant to a certification of the Budget Director - payment obligations were met out of these reserves and future payment amounts were scheduled for transfer at the commencement of the succeeding month.

Special Revenue Funds "Transfers To Other Funds" includes transfers to Mental Health Services Fund and Department of Health Income Fund (\$905.5m) representing the federal share of Medicaid payments for patients residing in State-operated Health and Mental Hygiene facilities, SUNY Capital Projects Fund (\$2.1m) and All Other Capital Projects (\$89.5m).

Also included in Special Revenue funds are transfers to the General Fund from the following:

Administration Adjudication Account	\$11.4	million
Business & Licensing Services Account	2.3	
Clean Air	6.1	
Encon Special Revenue	6.8	
Federal Employment & Training Grants	2.0	
Federal Health and Human Services Fund	66.2	
Federal USDA/Food and Nutrition	19.4	
Fingerprint Identification Technology Account	9.4	
HESC Insurance Premium Account	9.1	
Miscellaneous State Special Revenue Fund	6.7	
MTA Operating Assistance	1.1	
Nursing Home Receivables Account	1.0	
Patron Services Account	1.5	
Professional Medical Conduct Account	1.6	
Public Service Account	5.0	
State Lottery Fund	3.6	
Statewide Public Safety Communications	8.9	
System and Technology Account	3.4	
SUNY Income Fund	21.7	
Training and Education Program on OSHA	1.9	
Unemployment Insurance Administration	26.4	
Unemployment Insurance Interest & Penalty	11.6	
Workers' Compensation Board	12.0	

Debt Service Funds "Transfers To Other Funds" includes transfers to the General Fund from the following:

Revenue Bond Tax Fund	\$28,536.9	million
Local Government Assistance Tax Fund	3,086.4	
Sales Tax Revenue Bond Tax Fund	5,924.3	
Clean Water/Clean Air Fund	1,082.9	
Mental Health Services Fund	1,048.9	

Also included in Debt Service funds are transfers to Special Revenue funds representing receipts in excess of lease-purchase obligations that are used to finance a portion of the operating expenses for the Department of Health (\$112.5m).

Capital Projects Funds "Transfers To Other Funds" includes transfers to the General Fund (\$270.6m) and the General Debt Service Fund - Lease Purchase (\$63.4m).

GOVERNMENTAL FUNDS FOOTNOTES

3. Pursuant to FY 2022 Enacted Budget Legislation (Chapter 50), IFR/CUTRA (City University Tuition Reimbursement Account) Fund and CUNY Senior College Operating Fund have both been reclassified from Special Revenue State Funds and Agency Funds, respectively, to Enterprise Funds. As a result of this change, the beginning cash balances in the Special Revenue State Funds and the Agency Funds have been reduced by \$171.8m and \$10.9m, respectively, and the Enterprise Funds have been increased by \$182.4m.
- Additionally, the College Savings Account within the Miscellaneous State Special Revenue Funds was reclassified to the Private Purpose Trust Funds. As a result of this change, the beginning balance of the Special Revenue State Funds has been reduced by an additional \$25.7m and Private Purpose Trust Funds increased by \$25.7m.
4. A portion of Personal Income Tax receipts is transferred to the State Special Revenue School Tax Relief (STAR) Fund to be used to reimburse school districts for the STAR property tax exemptions for homeowners and payments to homeowners for the STAR Property Rebate Program. School Tax Relief payments were (\$16.1m) as of December 31, 2021.

EXHIBIT B

STATE OF NEW YORK
 PROPRIETARY FUNDS
 COMBINED STATEMENT OF CASH RECEIPTS, DISBURSEMENTS AND CHANGES IN FUND BALANCES
 (amounts in millions)

	ENTERPRISE			INTERNAL SERVICE			TOTAL PROPRIETARY FUNDS				YEAR OVER YEAR	
	MONTH OF DEC. 2021	9 MOS. ENDED DEC. 31, 2021	MONTH OF DEC. 2021	9 MOS. ENDED DEC. 31, 2021	MONTH OF DEC. 2021	9 MOS. ENDED DEC. 31, 2021	MONTH OF DEC. 2021	9 MOS. ENDED DEC. 31, 2021	MONTH OF DEC. 2020	9 MOS. ENDED DEC. 31, 2020	\$ Increase/ (Decrease)	% Increase/ Decrease
RECEIPTS:												
Miscellaneous Receipts	\$ 317.6	\$ 2,203.4	\$ 47.4	\$ 375.0	\$ 365.0	\$ 2,578.4	\$ 49.1	\$ 345.1	\$ 49.1	\$ 345.1	\$ 2,233.3	647.1%
Federal Receipts	45.1	23,900.4	-	-	45.1	23,900.4	2,184.6	41,032.9	2,184.6	41,032.9	(17,132.5)	-41.8%
Unemployment Taxes	205.7	2,445.6	-	-	205.7	2,445.6	561.3	13,644.8	561.3	13,644.8	(11,199.2)	-82.1%
Total Receipts	568.4	28,549.4	47.4	375.0	615.8	28,924.4	2,795.0	55,022.8	2,795.0	55,022.8	(26,098.4)	-47.4%
DISBURSEMENTS:												
Departmental Operations:												
Personal Service	188.2	1,268.8	10.5	97.8	198.7	1,366.6	11.6	114.6	11.6	114.6	1,252.0	1,092.5%
Non-Personal Service	28.5	330.8	44.6	331.8	73.1	662.6	49.6	400.6	49.6	400.6	262.0	65.4%
General State Charges	87.6	556.3	7.1	48.0	94.7	604.3	4.5	48.9	4.5	48.9	555.4	1,135.8%
Unemployment Benefits	250.0	26,449.3	-	-	250.0	26,449.3	2,745.9	54,668.2	2,745.9	54,668.2	(28,218.9)	-51.6%
Total Disbursements	554.3	28,605.2	62.2	477.6	616.5	29,062.8	2,811.6	55,232.3	2,811.6	55,232.3	(26,149.5)	-47.3%
Excess (Deficiency) of Receipts Over Disbursements	14.1	(55.8)	(14.8)	(102.6)	(0.7)	(168.4)	(16.6)	(209.5)	(16.6)	(209.5)	51.1	24.4%
OTHER FINANCING SOURCES (USES):												
Transfers from Other Funds	-	7.0	5.6	92.4	5.6	99.4	5.8	91.5	5.8	91.5	7.9	8.6%
Transfers to Other Funds	-	-	(0.5)	(5.0)	(0.5)	(5.0)	(2.9)	(3.3)	(2.9)	(3.3)	1.7	51.5%
Total Other Financing Sources (Uses)	-	7.0	5.1	87.4	5.1	94.4	2.9	88.2	2.9	88.2	6.2	7.0%
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	14.1	(48.8)	(9.7)	(15.2)	4.4	(64.0)	(13.7)	(121.3)	(13.7)	(121.3)	57.3	47.2%
Beginning Fund Balances (Deficits)	265.1	328.0	(369.0)	(363.5)	(103.9)	(35.5)	(375.4)	(267.8)	(375.4)	(267.8)	232.3	86.7%
Ending Fund Balances (Deficits)	\$ 279.2	\$ 279.2	\$ (378.7)	\$ (378.7)	\$ (99.5)	\$ (99.5)	\$ (389.1)	\$ (389.1)	\$ (389.1)	\$ (389.1)	\$ 289.6	74.4%

STATE OF NEW YORK

TRUST FUNDS

COMBINED STATEMENT OF CASH RECEIPTS, DISBURSEMENTS AND CHANGES IN FUND BALANCES
(amounts in millions)

EXHIBIT C

	PENSION		PRIVATE PURPOSE		TOTAL TRUST FUNDS			YEAR OVER YEAR	
	MONTH OF DEC. 2021	9 MOS. ENDED DEC. 31, 2021	MONTH OF DEC. 2021	9 MOS. ENDED DEC. 31, 2021	MONTH OF DEC. 2021	9 MOS. ENDED DEC. 31, 2021	MONTH OF DEC. 2020	9 MOS. ENDED DEC. 31, 2020	% Increase/ Decrease
RECEIPTS:									
Miscellaneous Receipts	\$ 22.1	\$ 107.6	\$ 0.5	\$ 5.2	\$ 22.6	\$ 112.8	\$ 15.8	\$ 95.2	18.5%
Total Receipts	22.1	107.6	0.5	5.2	22.6	112.8	15.8	95.2	18.5%
DISBURSEMENTS:									
Departmental Operations:									
Personal Service	5.8	57.7	0.1	0.3	5.9	58.0	5.6	55.6	4.3%
Non-Personal Service	2.8	11.0	-	0.1	2.8	11.1	2.7	8.7	27.6%
General State Charges	5.7	37.7	-	0.2	5.7	37.9	5.2	31.2	21.5%
Total Disbursements	14.3	106.4	0.1	0.6	14.4	107.0	13.5	95.5	12.0%
Excess (Deficiency) of Receipts Over Disbursements	7.8	1.2	0.4	4.6	8.2	5.8	2.3	(0.3)	2,033.3%
OTHER FINANCING SOURCES (USES):									
Transfers from Other Funds	-	-	-	-	-	-	-	-	0.0%
Transfers to Other Funds	-	-	-	-	-	-	-	-	0.0%
Total Other Financing Sources (Uses)	-	-	-	-	-	-	-	-	0.0%
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	7.8	1.2	0.4	4.6	8.2	5.8	2.3	(0.3)	2,033.3%
Beginning Fund Balances (Deficits)	(6.6)	-	44.4	40.2	37.8	40.2	10.6	13.2	204.5%
Ending Fund Balances (Deficits)	1.2	1.2	44.8	44.8	46.0	46.0	12.9	12.9	286.6%

STATE OF NEW YORK
BUDGETARY BASIS - FINANCIAL PLAN AND ACTUAL
FISCAL YEAR 2021-2022
FOR NINE MONTHS ENDED DECEMBER 31, 2021
(amounts in millions)

EXHIBIT D

	ALL GOVERNMENTAL FUNDS				
	Enacted Financial Plan (*)	Updated Financial Plan (**)	Actual	Actual Over/ (Under) Enacted Financial Plan	Actual Over/ (Under) Updated Financial Plan
RECEIPTS:					
Taxes:					
Personal Income	\$ 41,945.0	\$ 47,159.0	\$ 49,039.0	\$ 7,094.0	\$ 1,880.0
Consumption/Use	13,742.0	14,441.0	14,827.2	1,085.2	386.2
Business	6,595.0	7,894.0	18,275.1	11,680.1	10,381.1
Other	1,756.0	2,040.0	2,262.2	506.2	222.2
Miscellaneous Receipts	17,748.0	18,669.0	19,040.7	1,292.7	371.7
Federal Receipts	76,872.0	75,211.0	75,918.6	(963.4)	707.6
Total Receipts	158,658.0	165,414.0	179,362.8	20,704.8	13,948.8
DISBURSEMENTS:					
Local Assistance Grants	113,301.0	112,827.0	109,320.6	(3,980.4)	(3,506.4)
Departmental Operations	18,121.0	17,940.0	17,279.0	(842.0)	(661.0)
General State Charges	7,865.0	8,044.0	7,898.8	33.8	(145.2)
Debt Service	1,583.0	1,511.0	1,484.9	(98.1)	(26.1)
Capital Projects	6,562.0	5,649.0	5,503.6	(1,058.4)	(145.4)
Total Disbursements	147,432.0	145,971.0	141,486.9	(5,945.1)	(4,484.1)
Excess (Deficiency) of Receipts over Disbursements	11,226.0	19,443.0	37,875.9	26,649.9	18,432.9
OTHER FINANCING SOURCES (USES):					
Bond and Note Proceeds, net	-	-	-	-	-
Transfers from Other Funds	38,976.0	43,035.0	47,998.2	9,022.2	4,963.2
Transfers to Other Funds	(39,070.0)	(43,127.0)	(48,081.9)	9,011.9	4,954.9
Total Other Financing Sources (Uses)	(94.0)	(92.0)	(83.7)	10.3	8.3
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	11,132.0	19,351.0	37,792.2	26,660.2	18,441.2
Fund Balances (Deficits) at April 1	18,751.0	18,751.0	18,751.1	0.1	0.1
Fund Balances (Deficits) at December 31, 2021	\$ 29,883.0	\$ 38,102.0	\$ 56,543.3	\$ 26,660.3	\$ 18,441.3

(*) Source: 2021-22 Enacted Financial Plan dated May 25, 2021.

(**) Source: 2021-22 Mid Year Update dated October 29, 2021.

STATE OF NEW YORK
BUDGETARY BASIS - FINANCIAL PLAN AND ACTUAL
FISCAL YEAR 2021-2022
FOR NINE MONTHS ENDED DECEMBER 31, 2021
(amounts in millions)

EXHIBIT D

	STATE OPERATING FUNDS (***)			
	Enacted Financial Plan (*)	Updated Financial Plan (**)	Actual	Actual Over/ (Under) Enacted Financial Plan Updated Financial Plan
RECEIPTS:				
Taxes:				
Personal Income	\$ 41,945.0	\$ 47,159.0	\$ 49,039.0	\$ 7,094.0
Consumption/Use	13,272.0	13,960.0	14,348.5	1,076.5
Business	6,137.0	7,445.0	17,828.8	11,691.8
Other	1,672.0	1,956.0	2,178.8	506.8
Miscellaneous Receipts	13,495.0	15,254.0	15,795.0	2,300.0
Federal Receipts	308.0	154.0	75.2	(232.8)
Total Receipts	76,829.0	85,928.0	99,265.3	22,436.3
				13,337.3
DISBURSEMENTS:				
Local Assistance Grants	53,107.0	52,343.0	51,785.5	(1,321.5)
Departmental Operations	14,199.0	14,297.0	13,682.3	(516.7)
General State Charges	7,521.0	7,448.0	6,995.6	(525.4)
Debt Service	1,541.0	1,469.0	1,442.6	(98.4)
Capital Projects	-	-	-	-
Total Disbursements	76,368.0	75,557.0	73,906.0	(2,462.0)
				(1,651.0)
Excess (Deficiency) of Receipts over Disbursements	461.0	10,371.0	25,359.3	24,898.3
				14,988.3
OTHER FINANCING SOURCES (USES):				
Transfers from Other Funds	34,275.0	37,726.0	44,058.4	9,783.4
Transfers to Other Funds	(37,173.0)	(41,309.0)	(46,723.6)	9,550.6
Total Other Financing Sources (Uses)	(2,898.0)	(3,583.0)	(2,665.2)	232.8
				917.8
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	(2,437.0)	6,788.0	22,694.1	25,131.1
				15,906.1
Fund Balances (Deficits) at April 1	14,934.0	14,934.0	14,934.4	0.4
Fund Balances (Deficits) at December 31, 2021	\$ 12,497.0	\$ 21,722.0	\$ 37,628.5	\$ 25,131.5
				\$ 15,906.5

(*) Source: 2021-22 Enacted Financial Plan dated May 25, 2021.

(**) Source: 2021-22 Mid Year Update dated October 29, 2021.

(***) State Operating Funds are comprised of the General Fund, State Special Revenue Funds supported by activities from dedicated revenue sources (including operating transfers from Federal Funds) and Debt Service Funds.

(****) Eliminations between Special Revenue - State and Federal Funds are not included.

STATE OF NEW YORK
BUDGETARY BASIS - FINANCIAL PLAN AND ACTUAL
FISCAL YEAR 2021-2022
FOR NINE MONTHS ENDED DECEMBER 31, 2021
(amounts in millions)

EXHIBIT D

	GENERAL FUND				
	Enacted Financial Plan (*)	Updated Financial Plan (**)	Actual	Actual Over/ (Under) Enacted Financial Plan	Actual Over/ (Under) Updated Financial Plan
RECEIPTS:					
Taxes:					
Personal Income	\$ 20,897.0	\$ 23,505.0	\$ 24,503.4	\$ 3,606.4	\$ 998.4
Consumption/Use	3,317.0	3,469.0	3,555.1	238.1	86.1
Business	4,701.0	5,803.0	11,068.2	6,367.2	5,265.2
Other	933.0	982.0	1,061.1	128.1	79.1
Miscellaneous Receipts	1,315.0	1,380.0	1,634.1	319.1	254.1
Federal Receipts	-	-	-	-	-
Transfers From:					
Revenue Bond Tax Fund	19,829.0	22,513.0	28,536.9	8,707.9	6,023.9
Sales Tax in excess of LGAC / STRBF Debt Service	8,282.0	8,737.0	9,010.7	728.7	273.7
Real Estate Taxes in excess of CW/CA Debt Service	697.0	943.0	1,082.9	385.9	139.9
All Other	1,781.0	1,670.0	1,555.5	(225.5)	(114.5)
Total Receipts and Other Financing Sources	61,752.0	69,002.0	82,007.9	20,255.9	13,005.9
DISBURSEMENTS:					
Local Assistance Grants	41,365.0	40,109.0	39,845.9	(1,519.1)	(263.1)
Departmental Operations	8,854.0	8,319.0	7,796.5	(1,057.5)	(522.5)
General State Charges	6,716.0	6,629.0	6,140.8	(575.2)	(488.2)
Transfers To:					
Debt Service	264.0	241.0	202.8	(61.2)	(38.2)
Capital Projects	4,664.0	5,262.0	4,326.1	(337.9)	(935.9)
State Share Medicaid	-	-	235.7	235.7	235.7
SUNY Operations	1,078.0	1,296.0	1,307.2	229.2	11.2
Other Purposes	809.0	886.0	654.0	(155.0)	(232.0)
Total Disbursements and Other Financing Uses	63,750.0	62,742.0	60,509.0	(3,241.0)	(2,233.0)
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	(1,998.0)	6,260.0	21,498.9	23,496.9	15,238.9
Fund Balances (Deficits) at April 1	9,161.0	9,161.0	9,160.8	(0.2)	(0.2)
Fund Balances (Deficits) at December 31, 2021	\$ 7,163.0	\$ 15,421.0	\$ 30,659.7	\$ 23,496.7	\$ 15,238.7

(*) Source: 2021-22 Enacted Financial Plan dated May 25, 2021.

(**) Source: 2021-22 Mid Year Update dated October 29, 2021.

(***) Includes transfers to the Department of Health Income Fund and the State University Income Fund representing payments for patients residing in State-Operated Health and State University facilities.

EXHIBIT D

STATE OF NEW YORK
BUDGETARY BASIS - FINANCIAL PLAN AND ACTUAL
FISCAL YEAR 2021-2022
FOR NINE MONTHS ENDED DECEMBER 31, 2021
(amounts in millions)

	SPECIAL REVENUE FUNDS					
	Enacted Financial Plan (*)	Updated Financial Plan (**)	Actual	Eliminations	Total	Actual Over/ (Under) Enacted Financial Plan Updated Financial Plan
RECEIPTS:						
Taxes:						
Personal Income	\$ 75.0	\$ 76.0	\$ 16.1	\$ -	\$ 16.1	\$ (58.9)
Consumption/Use	1,420.0	1,482.0	1,529.1	-	1,529.1	109.1
Business	1,436.0	1,642.0	1,678.9	-	1,678.9	242.9
Miscellaneous Receipts	12,086.0	13,734.0	13,986.5	-	13,986.5	1,900.5
Federal Receipts	75,032.0	74,075.0	74,500.6	-	74,500.6	(531.4)
Transfers from Other Funds (***)	2,253.0	2,693.0	2,689.4	(489.1)	2,200.3	(492.7)
Total Receipts and Other Financing Sources	92,302.0	93,702.0	94,400.6	(489.1)	93,911.5	1,609.5
DISBURSEMENTS:						
Local Assistance Grants	66,779.0	66,864.0	64,867.5	-	64,867.5	(1,911.5)
Departmental Operations	9,257.0	9,619.0	9,479.1	-	9,479.1	222.1
General State Charges	1,149.0	1,415.0	1,758.0	-	1,758.0	609.0
Debt Service	42.0	42.0	42.3	-	42.3	0.3
Capital Projects	-	-	-	-	-	-
Transfers to Other Funds (***)	1,785.0	1,665.0	1,719.3	(489.1)	1,230.2	(554.8)
Total Disbursements and Other Financing Uses	79,012.0	79,605.0	77,866.2	(489.1)	77,377.1	(1,634.9)
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	13,290.0	14,097.0	16,534.4	-	16,534.4	2,437.4
Fund Balances (Deficits) at April 1	10,669.0	10,669.0	10,669.3	-	10,669.3	0.3
Fund Balances (Deficits) at December 31, 2021	\$ 23,959.0	\$ 24,766.0	\$ 27,203.7	\$ -	\$ 27,203.7	\$ 2,437.7

(*) Source: 2021-22 Enacted Financial Plan dated May 25, 2021.

(**) Source: 2021-22 Mid Year Update dated October 29, 2021.

(***) Actual reported transfer amounts include eliminations between Special Revenue - State and Federal Funds.

STATE OF NEW YORK
BUDGETARY BASIS - FINANCIAL PLAN AND ACTUAL
FISCAL YEAR 2021-2022
FOR NINE MONTHS ENDED DECEMBER 31, 2021
(amounts in millions)

EXHIBIT D

	STATE SPECIAL REVENUE FUNDS				FEDERAL SPECIAL REVENUE FUNDS					
	Enacted Financial Plan (*)	Updated Financial Plan (**)	Actual	Actual Over/ (Under) Enacted Financial Plan	Actual Over/ (Under) Updated Financial Plan	Enacted Financial Plan (*)	Updated Financial Plan (**)	Actual	Actual Over/ (Under) Enacted Financial Plan	Actual Over/ (Under) Updated Financial Plan
RECEIPTS:										
Taxes:										
Personal Income	\$ 75.0	\$ 76.0	\$ 16.1	\$ (58.9)	\$ (59.9)	\$ -	\$ -	\$ -	\$ -	\$ -
Consumption/Use	1,420.0	1,482.0	1,529.1	109.1	47.1	-	-	-	-	-
Business	1,436.0	1,678.9	1,678.9	242.9	36.9	-	-	-	-	-
Miscellaneous Receipts	11,888.0	13,579.0	13,842.7	1,954.7	263.7	198.0	155.0	143.8	(54.2)	(11.2)
Federal Receipts	272.0	124.0	43.5	(228.5)	(80.5)	74,780.0	73,951.0	74,457.1	(302.9)	506.1
Transfers from Other Funds	2,253.0	2,693.0	2,689.4	436.4	(3.6)	-	-	-	-	-
Total Receipts and Other Financing Sources	17,344.0	19,596.0	19,799.7	2,455.7	203.7	74,958.0	74,106.0	74,600.9	(357.1)	484.9
DISBURSEMENTS:										
Local Assistance Grants	11,742.0	12,234.0	11,939.6	197.6	(284.4)	55,037.0	54,630.0	52,927.9	(2,109.1)	(1,702.1)
Departmental Operations	5,335.0	5,976.0	5,862.4	547.4	(83.6)	3,922.0	3,643.0	3,596.7	(325.3)	(46.3)
General State Charges	805.0	819.0	854.8	49.8	35.8	344.0	596.0	903.2	559.2	307.2
Debt Service	-	-	-	-	-	42.0	42.0	42.3	0.3	0.3
Capital Projects	-	-	-	-	-	-	-	-	-	-
Transfers to Other Funds	197.0	147.0	205.9	8.9	58.9	1,588.0	1,518.0	1,513.4	(74.6)	(4.6)
Total Disbursements and Other Financing Uses	18,079.0	19,176.0	18,882.7	803.7	(293.3)	60,933.0	60,429.0	58,983.5	(1,949.5)	(1,445.5)
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	(735.0)	420.0	917.0	1,652.0	497.0	14,025.0	13,677.0	15,617.4	1,592.4	1,940.4
Fund Balances (Deficits) at April 1	5,708.0	5,708.0	5,708.6	0.6	0.6	4,961.0	4,961.0	4,960.7	(0.3)	(0.3)
Fund Balances (Deficits) at December 31, 2021	\$ 4,973.0	\$ 6,128.0	\$ 6,625.6	\$ 1,652.6	\$ 497.6	\$ 18,986.0	\$ 18,638.0	\$ 20,578.1	\$ 1,592.1	\$ 1,940.1

(*) Source: 2021-22 Enacted Financial Plan dated May 25, 2021.
(**) Source: 2021-22 Mid Year Update dated October 29, 2021.

**STATE OF NEW YORK
BUDGETARY BASIS - FINANCIAL PLAN AND ACTUAL
FISCAL YEAR 2021-2022
FOR NINE MONTHS ENDED DECEMBER 31, 2021
(amounts in millions)**

EXHIBIT D

	DEBT SERVICE FUNDS				
	Enacted Financial Plan (*)	Updated Financial Plan (**)	Actual	Actual Over/ (Under) Enacted Financial Plan	Actual Over/ (Under) Updated Financial Plan
RECEIPTS:					
Taxes:					
Personal Income	\$ 20,973.0	\$ 23,578.0	\$ 24,519.5	\$ 3,546.5	\$ 941.5
Consumption/Use	8,535.0	9,009.0	9,264.3	729.3	255.3
Business	-	-	5,081.7	5,081.7	5,081.7
Other	739.0	974.0	1,117.7	378.7	143.7
Miscellaneous Receipts	292.0	295.0	318.2	26.2	23.2
Federal Receipts	36.0	30.0	31.7	(4.3)	1.7
Transfers from Other Funds	1,433.0	1,170.0	1,183.0	(250.0)	13.0
Total Receipts and Other Financing Sources	32,008.0	35,056.0	41,516.1	9,508.1	6,460.1
DISBURSEMENTS:					
Departmental Operations	10.0	2.0	3.4	(6.6)	1.4
Debt Service	1,541.0	1,469.0	1,442.6	(98.4)	(26.4)
Transfers to Other Funds	30,161.0	33,477.0	39,791.9	9,630.9	6,314.9
Total Disbursements and Other Financing Uses	31,712.0	34,948.0	41,237.9	9,525.9	6,289.9
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	296.0	108.0	278.2	(17.8)	170.2
Fund Balances (Deficits) at April 1	65.0	65.0	65.0	-	-
Fund Balances (Deficits) at December 31, 2021	\$ 361.0	\$ 173.0	\$ 343.2	\$ (17.8)	\$ 170.2

(*) Source: 2021-22 Enacted Financial Plan dated May 25, 2021.

(**) Source: 2021-22 Mid Year Update dated October 29, 2021.

STATE OF NEW YORK
BUDGETARY BASIS - FINANCIAL PLAN AND ACTUAL
FISCAL YEAR 2021-2022
FOR NINE MONTHS ENDED DECEMBER 31, 2021
(amounts in millions)

EXHIBIT D

CAPITAL PROJECTS FUNDS						
	Enacted Financial Plan (*)	Updated Financial Plan (**)	Actual	Eliminations	Total	Actual Over/ (Under) Enacted Financial Plan Updated Financial Plan
RECEIPTS:						
Taxes:						
Consumption/Use	\$ 470.0	\$ 481.0	\$ 478.7	\$ -	\$ 478.7	\$ 8.7
Business	458.0	449.0	446.3	-	446.3	(11.7)
Other	84.0	84.0	83.4	-	83.4	(0.6)
Miscellaneous Receipts	4,055.0	3,260.0	3,101.9	-	3,101.9	(953.1)
Federal Receipts	1,804.0	1,106.0	1,386.3	-	1,386.3	(417.7)
Bond and Note Proceeds, net	-	-	-	-	-	-
Transfers from Other Funds	4,701.0	5,309.0	4,428.9	-	4,428.9	(272.1)
Total Receipts and Other Financing Sources	11,572.0	10,689.0	9,925.5	-	9,925.5	(1,646.5)
DISBURSEMENTS:						
Local Assistance Grants	5,157.0	5,854.0	4,607.2	-	4,607.2	(549.8)
Capital Projects	6,562.0	5,649.0	5,503.6	-	5,503.6	(1,058.4)
Transfers to Other Funds	309.0	300.0	334.0	-	334.0	25.0
Total Disbursements and Other Financing Uses	12,028.0	11,803.0	10,444.8	-	10,444.8	(1,583.2)
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	(456.0)	(1,114.0)	(519.3)	-	(519.3)	(63.3)
Fund Balances (Deficits) at April 1	(1,144.0)	(1,144.0)	(1,144.0)	-	(1,144.0)	-
Fund Balances (Deficits) at December 31, 2021	(1,600.0)	(2,258.0)	(1,663.3)	-	(1,663.3)	(63.3)

(*) Source: 2021-22 Enacted Financial Plan dated May 25, 2021.

(**) Source: 2021-22 Mid Year Update dated October 29, 2021.

STATE OF NEW YORK
BUDGETARY BASIS - FINANCIAL PLAN AND ACTUAL
FISCAL YEAR 2021-2022
FOR NINE MONTHS ENDED DECEMBER 31, 2021
(amounts in millions)

EXHIBIT D

	STATE CAPITAL PROJECTS FUNDS				FEDERAL CAPITAL PROJECTS FUNDS			
	Enacted Financial Plan (*)	Updated Financial Plan (**)	Actual Over/ (Under) Enacted Financial Plan	Actual Over/ (Under) Updated Financial Plan	Enacted Financial Plan (*)	Updated Financial Plan (**)	Actual Over/ (Under) Enacted Financial Plan	Actual Over/ (Under) Updated Financial Plan
RECEIPTS:								
Taxes:								
Consumption/Use	\$ 470.0	\$ 481.0	\$ 478.7	\$ 8.7	\$ -	\$ -	\$ -	\$ -
Business	458.0	449.0	446.3	(11.7)	-	-	-	-
Other	84.0	84.0	83.4	(0.6)	-	-	-	-
Miscellaneous Receipts	4,055.0	3,260.0	3,102.3	(952.7)	1,802.0	1,102.0	(0.4)	(0.4)
Federal Receipts	2.0	4.0	11.8	9.8	-	-	1,374.5	272.5
Bond and Note Proceeds, net	4,687.0	5,309.0	4,426.8	(260.2)	14.0	-	2.1	2.1
Transfers from Other Funds	9,756.0	9,587.0	8,549.3	(1,206.7)	1,816.0	1,102.0	(439.8)	274.2
Total Receipts and Other Financing Sources								
	10,348.0	10,146.0	8,716.3	(1,631.7)	1,680.0	1,657.0	1,728.5	71.5
DISBURSEMENTS:								
Local Assistance Grants	4,703.0	5,458.0	4,118.2	(684.8)	454.0	396.0	489.0	93.0
Capital Projects	5,336.0	4,389.0	4,264.5	(1,071.5)	1,226.0	1,260.0	1,239.1	13.1
Transfers to Other Funds	309.0	299.0	333.6	24.6	-	1.0	0.4	(0.6)
Total Disbursements and Other Financing Uses								
	(592.0)	(559.0)	(167.0)	425.0	136.0	(555.0)	(352.3)	202.7
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses								
	(592.0)	(559.0)	(167.0)	425.0	136.0	(555.0)	(352.3)	202.7
Fund Balances (Deficits) at April 1								
	(562.0)	(562.0)	(563.7)	(1.7)	(582.0)	(582.0)	(580.3)	1.7
Fund Balances (Deficits) at December 31, 2021								
	\$ (1,154.0)	\$ (1,121.0)	\$ (730.7)	\$ 423.3	\$ (446.0)	\$ (1,137.0)	\$ (932.6)	\$ 204.4

(*) Source: 2021-22 Enacted Financial Plan dated May 25, 2021.

(**) Source: 2021-22 Mid Year Update dated October 29, 2021.

STATE OF NEW YORK
GOVERNMENTAL FUNDS
COMPARATIVE SCHEDULE OF TAX RECEIPTS
(amounts in millions)

	GENERAL			SPECIAL REVENUE			DEBT SERVICE			CAPITAL PROJECTS			TOTAL GOVERNMENTAL FUNDS			YEAR OVER YEAR	
	MONTH OF DEC. 2021	9 MOS. ENDED DEC. 31, 2021	MONTH OF DEC. 2021	9 MOS. ENDED DEC. 31, 2021	MONTH OF DEC. 2021	9 MOS. ENDED DEC. 31, 2021	MONTH OF DEC. 2021	9 MOS. ENDED DEC. 31, 2021	MONTH OF DEC. 2021	9 MOS. ENDED DEC. 31, 2021	MONTH OF DEC. 2020	9 MOS. ENDED DEC. 31, 2020	\$ Increase/ (Decrease)	% Increase/ Decrease			
PERSONAL INCOME TAX																	
Withholding	\$ 5,983.5	\$ 34,730.1	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 4,648.0	\$ 29,235.8	\$ 5,494.3	18.8%			
Estimated Payments	516.3	16,555.7	-	-	-	-	-	-	-	-	335.5	11,347.7	5,208.0	45.9%			
Returns	44.4	4,138.0	-	-	-	-	-	-	-	-	44.4	4,138.0	883.8	27.2%			
State/City Offsets	(4.9)	(860.8)	-	-	-	-	-	-	-	-	(58.3)	(1,023.9)	(163.1)	-15.9%			
Other Assessments(LLC)	121.7	1,022.3	-	-	-	-	-	-	-	-	121.7	1,022.3	172.3	20.3%			
Gross Receipts	6,641.0	55,585.3	-	-	-	-	-	-	-	-	5,076.8	43,663.8	11,921.5	27.3%			
Transfers to School Tax Relief Fund	(14.1)	(16.1)	-	-	-	-	-	-	-	-	-	-	-	0.0%			
Transfers to Revenue Bond Tax Fund	(3,143.2)	(24,519.5)	-	-	-	-	-	-	-	-	(244.0)	(6,665.6)	(319.3)	-4.7%			
Less: Refunds Issued	(354.7)	(6,546.3)	-	-	-	-	-	-	-	-	(354.7)	(6,665.6)	(319.3)	-4.7%			
Total	3,129.0	24,503.4	14.1	16.1	3,143.2	24,519.5	3,143.2	24,519.5	-	-	4,832.8	36,798.2	12,240.8	33.3%			
CONSUMPTION/USE TAXES																	
Sales and Use	411.3	3,086.5	111.6	858.0	1,232.4	9,264.3	1,232.4	9,264.3	-	-	1,500.2	10,496.8	2,712.0	25.8%			
Auto Rental	-	-	6.2	18.7	-	-	-	-	22.3	68.3	19.1	50.5	36.5	72.3%			
Cigarette/Tobacco Products	25.5	234.3	56.7	537.1	-	-	-	-	-	-	87.5	801.6	(30.2)	-3.8%			
Cannabis	-	-	1.2	10.0	-	-	-	-	-	-	0.8	6.2	3.8	61.3%			
Motor Fuel	-	-	10.1	81.2	-	-	-	-	37.5	299.9	381.1	328.7	52.4	15.9%			
Alcoholic Beverage	24.2	214.8	-	-	-	-	-	-	-	-	18.6	208.5	6.3	3.0%			
Highway Use	-	-	0.3	1.5	-	-	-	-	12.6	110.5	12.7	103.1	8.9	8.6%			
Vapor Excise	-	-	7.7	22.6	-	-	-	-	-	-	6.8	25.5	(2.9)	-11.4%			
Opioid Excise	-	19.5	-	-	-	-	-	-	-	-	0.2	22.8	(3.3)	-14.5%			
Total	461.0	3,555.1	193.8	1,529.1	1,232.4	9,264.3	1,232.4	9,264.3	72.4	478.7	1,688.3	12,043.7	2,783.5	23.1%			
BUSINESS TAXES																	
Corporation Franchise	1,069.5	4,356.3	247.1	1,106.1	-	-	-	-	-	-	1,079.1	3,555.0	1,909.4	53.7%			
Corporation and Utilities	78.4	258.8	20.7	69.1	-	-	-	-	1.6	5.9	102.0	354.1	(20.3)	-5.7%			
Insurance	386.0	1,352.9	50.0	158.0	-	-	-	-	-	-	421.6	1,335.6	175.3	13.1%			
Bank	0.2	16.5	0.1	(0.3)	-	-	-	-	-	-	1.6	165.6	(149.4)	-90.2%			
Pass-Through Entity	5,081.7	5,081.7	-	-	5,081.7	5,081.7	5,081.7	5,081.7	-	-	-	-	10,163.4	100.0%			
Petroleum Business	-	-	34.0	346.0	-	-	-	-	43.9	440.4	81.2	720.6	65.8	9.1%			
Total	6,615.8	11,068.2	351.9	1,678.9	5,081.7	5,081.7	5,081.7	5,081.7	45.5	446.3	1,882.5	6,130.9	12,144.2	188.1%			
OTHER TAXES																	
Real Property Gains	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%			
Estate and Gift	111.1	1,044.9	-	-	-	-	-	-	-	-	123.1	956.5	88.4	9.2%			
Parr-Mutuel	0.8	11.3	-	-	-	-	-	-	-	-	0.9	8.1	3.2	39.5%			
Real Estate Transfer	-	-	-	-	134.8	1,114.1	-	-	11.9	83.4	107.1	646.5	551.0	85.2%			
Racing and Combative Sports	0.1	1.3	-	-	-	-	-	-	-	-	-	0.1	1.2	1,200.0%			
Employer Compensation Expense Tax	2.4	3.6	-	-	2.5	3.6	-	-	-	-	0.8	2.1	5.1	242.9%			
Total	114.4	1,081.1	-	-	137.3	1,117.7	137.3	1,117.7	11.9	83.4	231.9	1,613.3	648.9	40.2%			
Total Tax Receipts	\$ 10,320.2	\$ 40,187.8	\$ 559.8	\$ 3,224.1	\$ 9,594.6	\$ 39,983.2	\$ 9,594.6	\$ 39,983.2	\$ 129.8	\$ 1,006.4	\$ 8,438.5	\$ 56,586.1	\$ 27,817.4	49.2%			
EXHIBIT																	

STATE OF NEW YORK
GOVERNMENTAL FUNDS (*)
STATEMENT OF CASH FLOW
FISCAL YEAR 2021-2022
(amounts in millions)

	9 Months Ended December 31												% Increase/ Decrease
	2021	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2022	JANUARY	FEBRUARY	
Beginning Fund Balance	\$ 18,751.1	\$ 24,932.2	\$ 39,815.2	\$ 41,256.4	\$ 41,722.0	\$ 41,972.8	\$ 43,570.8	\$ 43,028.0	\$ 41,967.4	\$ 18,751.1	\$ 14,284.8	\$ 4,466.3	31.3%
RECEIPTS:													
Taxes:													
Personal Income Tax:													
Withholdings	3,801.8	3,217.7	3,702.6	3,408.2	3,778.4	3,634.8	3,459.6	3,953.5	5,983.5	34,730.1	23,235.9	5,494.3	18.8%
Estimated Payments	3,442.2	6,128.7	2,740.7	131.1	3,241.9	3,241.9	187.8	516.3	516.3	13,555.7	11,347.7	5,208.0	45.9%
Returns	913.6	2,184.3	139.6	65.0	59.9	77.5	59.6	75.2	413.0	4,138.0	3,254.2	883.8	27.2%
State/County Offsets	(203.0)	(284.7)	(21.6)	(31.8)	(19.1)	(28.1)	(242.6)	(44.8)	(4.9)	(860.8)	(1,023.9)	(163.1)	-15.6%
Other (Assessments/LC)	154.1	87.2	111.3	91.9	112.4	104.2	118.1	1,022.3	1,21.7	55,855.3	43,663.8	11,921.5	27.3%
Gross Receipts	7,898.7	11,333.2	6,672.6	3,680.4	4,062.7	7,030.3	4,101.2	4,235.2	6,641.0	-	-	-	0.0%
Transfers to School Tax Relief Fund	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Transfers to Revenue Bond Tax Fund	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Refunds Issued	(1,282.9)	(852.0)	(315.9)	(261.3)	(650.5)	(724.8)	(654.1)	(628.5)	(628.5)	(6,546.3)	(6,895.6)	(319.3)	-4.7%
Transfers to General Income Tax	9,853.0	3,820.6	3,949.9	3,801.4	3,764.4	6,449.8	3,764.4	3,811.1	6,286.3	49,036.0	36,795.2	12,246.8	33.3%
Consumption/Use Taxes:													
Sales and Use	1,297.4	1,281.4	1,711.7	1,382.9	1,334.8	1,739.7	1,343.7	1,381.9	1,755.3	13,208.8	10,468.8	2,712.0	25.8%
Auto Rental	1.9	-	22.6	0.1	(0.1)	34.0	-	0.0	29.5	87.0	50.5	36.5	72.3%
Cigarette/Tobacco Products	98.3	76.6	90.6	85.4	88.3	82.8	89.3	78.9	82.2	771.4	801.6	6.2	61.3%
Cannabis	1.5	1.1	1.0	0.9	1.1	1.0	1.1	1.0	1.2	10.0	6.2	3.8	61.3%
Motor Fuel	34.1	40.3	46.6	44.5	46.1	47.8	44.2	29.9	47.6	381.1	328.7	52.4	15.9%
Alcoholic Beverage	23.0	21.8	21.7	23.7	25.3	23.1	23.1	24.2	214.8	208.5	6.3	3.0%	
Highway Use	14.6	10.5	11.6	13.3	13.3	10.7	12.6	13.6	12.9	112.0	103.1	8.9	8.6%
Vapor Excise	0.2	6.6	0.2	-	-	7.8	-	0.1	7.7	122.6	25.5	(2.9)	-11.4%
Opinion Excise	6.7	0.1	7.5	-	-	-	5.2	-	-	19.5	22.8	(3.3)	-14.5%
Total Consumption/Use Taxes	1,477.7	1,912.5	1,953.4	1,562.2	1,562.2	1,949.1	1,518.2	1,528.7	1,939.0	14,827.2	12,043.7	2,783.5	23.1%
Business Taxes:													
Corporation Franchise	769.4	106.0	1,452.1	274.0	28.5	1,477.1	92.0	(50.3)	1,316.6	5,464.4	3,555.0	1,909.4	53.7%
Corporation and Utilities	51.0	1.1	83.2	(7.7)	(1.0)	104.2	1.4	0.9	100.7	333.8	354.1	(20.3)	-5.7%
Insurance	64.2	57.3	373.2	52.8	52.8	453.6	19.7	38.2	436.0	1,510.9	1,335.6	175.3	13.1%
Bank	17.3	(20.1)	10.2	0.2	-	(0.7)	9.5	(0.5)	18.2	165.6	165.6	(148.4)	-90.2%
Pass-Through Entity	-	-	-	-	-	-	-	-	10,163.4	10,163.4	-	10,163.4	100.0%
Petroleum Business	68.9	90.2	92.6	95.8	95.8	77.5	92.5	92.0	77.9	786.4	720.6	65.8	9.1%
Total Business Taxes	968.8	234.5	2,011.3	381.4	176.1	2,111.7	215.1	88.3	12,094.9	18,275.1	6,130.9	12,144.2	198.1%
Other Taxes:													
Real Property Gains	-	-	108.9	103.1	100.6	108.7	183.2	92.5	111.1	1,044.9	955.5	88.4	8.2%
Estate and Gift	119.8	117.0	111.1	111.1	111.1	111.1	111.1	111.1	111.1	3,385.6	3,385.6	3,385.6	100.0%
Pen-Mutual	97.4	110.0	127.0	143.9	151.9	145.3	144.0	131.3	146.7	1,197.5	945.5	551.0	85.2%
Racing and Combative Sports	-	-	-	-	0.1	0.2	0.1	0.8	0.1	1.3	0.1	1.2	1,200.0%
Employer Compensation Expense Tax	0.2	0.2	0.2	0.4	0.3	0.3	0.4	0.3	4.9	7.2	2.1	5.1	242.9%
Total Other Taxes	218.7	228.3	272.2	245.5	256.0	256.2	328.7	226.0	263.6	2,962.2	1,613.3	648.9	40.2%
Total Taxes	9,192.0	11,707.6	9,981.6	5,557.9	5,738.7	10,766.8	5,438.4	5,416.1	20,604.4	84,402.5	56,586.1	27,817.4	48.2%
Miscellaneous Receipts:													
Abandoned Property	1.3	1.0	0.8	0.9	10.9	101.2	1.0	226.3	1.0	344.4	374.6	(30.2)	-8.1%
Bottle Bill	1.0	0.3	31.1	2.0	0.2	34.9	1.5	0.7	29.3	101.0	107.5	(6.5)	-6.0%
Assessments:													
Business	79.2	48.3	67.4	101.9	37.2	35.8	99.9	17.6	176.4	683.7	622.4	41.3	6.6%
Medical Care	484.5	533.9	544.9	526.3	536.1	530.4	514.7	527.4	555.1	4,753.3	4,463.9	289.7	6.5%
Public Utilities	1.5	-	0.7	-	0.1	44.4	(0.7)	34.6	0.8	34.6	38.7	(5.1)	-12.8%
Other	-	0.1	0.1	0.1	0.1	0.1	0.1	0.3	(0.2)	0.8	0.2	0.4	200.0%
Fees, Licenses and Permits:													
Alcohol Beverage Control Licensing	5.3	5.5	7.0	6.2	6.2	5.0	5.6	4.3	3.8	48.9	34.9	14.0	40.1%
Audit Fees	-	-	-	0.7	1.5	0.1	-	-	0.2	2.5	2.2	0.3	13.6%
Business/Professional	53.9	49.1	127.1	52.2	65.4	131.7	58.9	62.3	128.9	727.5	724.5	3.0	0.4%
Civil	25.9	28.2	18.9	19.9	28.0	23.4	20.2	27.9	19.2	209.6	171.7	37.9	22.1%
Criminal	1.0	0.3	0.9	0.5	0.3	0.3	0.4	0.6	-	4.3	3.8	0.5	13.2%
Motor Vehicle	128.0	112.5	139.8	103.8	131.1	131.1	97.4	106.7	106.7	1,007.9	924.5	83.4	9.0%
Recreational/Consumer	86.4	53.3	58.3	93.0	155.3	155.3	79.2	150.5	60.3	785.6	515.9	269.7	52.3%
Fines, Penalties and Forfeitures	30.4	42.6	52.2	11.9	28.4	27.9	24.8	33.0	10.4	289.6	82.3	(623.7)	-65.6%
Gaming:													
Casino	34.2	14.2	17.1	35.7	15.2	19.3	35.5	10.2	16.9	198.3	82.4	115.9	140.7%
Lottery(*)	199.5	213.5	238.9	181.9	186.8	240.9	192.4	203.2	225.4	1,882.5	1,625.2	257.3	15.8%
Mobile Sports(*)	-	-	-	-	-	-	-	150.0	50.0	200.0	-	200.0	100.0%
Video Lottery	75.9	77.9	101.9	82.4	81.5	99.2	76.9	76.5	87.7	759.9	205.8	554.1	288.2%
Interest Earnings	5.6	4.4	4.5	4.4	4.7	4.4	4.4	4.9	4.5	41.9	96.0	(54.1)	-56.4%
Receipts from Municipalities	6.7	2.2	4.9	1.5	1.5	6.9	3.4	1.5	6.1	36.5	38.3	(1.8)	-4.7%
Receipts from Public Authorities:													
Bond Proceeds	78.4	0.5	3.0	237.0	27.6	338.1	979.2	0.6	589.0	2,327.4	8,549.7	(6,312.3)	-73.8%
Cost Recovery/Assessments	-	-	-	5.9	-	-	18.9	2.7	2.8	20.3	8.9	11.4	128.1%
Insurance Fees	2.7	2.3	1.7	22.0	0.6	-	18.6	1.1	25.2	74.2	106.2	(32.0)	-30.1%

**STATE OF NEW YORK
GOVERNMENTAL FUNDS (*)
STATEMENT OF CASH FLOW
FISCAL YEAR 2021-2022
(amounts in millions)**

	2021 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2022 JANUARY	FEBRUARY	MARCH	2021	2020	9 Months Ended December 31 \$ (Increase/ Decrease)	% Increase/ Decrease
Non Bond Related	0.7	2.5	0.9	4.6	0.3	4.3	1.9	8.6	34.7	24.7	59.3	59.3	59.3	45.9	12.4	27.0%
Rentals	45.3	2.8	10.5	3.8	17.7	86.5	79.3	28.3	86	28.3	298.9	298.9	298.9	86.2	212.7	246.8%
Revenues of State Departments:																
Administrative Recoveries	45.8	9.2	36.0	8.6	9.1	23.5	8.7	8.5	61.0	17.0	210.4	210.4	210.4	158.7	51.7	32.6%
Commissions	0.9	0.8	0.2	0.6	0.4	1.3	0.7	0.9	17.0	14.4	17.0	17.0	17.0	2.9	17.3	16.8%
Gifts, Grants and Donations	2.5	2.1	3.4	0.7	0.5	2.9	5.4	12.9	33.8	3.4	33.8	33.8	33.8	45.4	(11.6)	-25.6%
Indirect Cost Recoveries	4.9	5.7	6.3	5.0	6.0	20.3	10.4	31.3	13.2	13.2	103.1	103.1	103.1	55.2	47.9	86.8%
Reimbursements	29.9	22.6	21.6	20.3	20.9	23.4	21.2	27.8	23.6	23.6	210.2	210.2	210.2	2,592.1	(4,451.1)	-17.3%
Reimbursement of State Department	1.3	1.3	1.3	1.3	1.3	1.3	1.3	1.3	1.3	1.3	1.3	1.3	1.3	1.3	1.3	7.3%
Reimbursement of State Department	1.5	1.1	8.3	12.3	8.3	18.3	18.3	10.0	88.7	1.5	88.7	88.7	88.7	63.4	5.3	8.4%
Restitution and Settlements	2.4	5.6	1.3	1.9	1.2	1.4	1.2	5.0	1.1	1.1	21.4	21.4	21.4	42.1	(20.7)	-49.2%
Student Loans	87.3	63.6	71.8	71.4	82.9	37.7	74.5	30.7	70.7	70.7	590.6	590.6	590.6	398.9	221.7	60.1%
All Other	2.4	0.5	1.7	1.3	1.0	3.1	1.4	2.0	1.3	2.7	11.2	11.2	11.2	11.2	16.9	150.9%
Sales	2.4	0.5	1.7	1.3	1.0	3.1	1.4	2.0	1.3	2.7	680.2	680.2	680.2	752.6	(72.4)	-9.6%
Tuition	1,793.5	1,588.7	1,870.7	1,523.3	1,784.3	2,018.2	2,778.0	2,024.5	2,743.5	-	19,040.7	19,040.7	19,040.7	23,985.0	(4,944.3)	-20.6%
Total Miscellaneous Receipts	7,164.2	18,246.2	7,485.1	5,880.5	5,886.7	7,537.7	7,228.8	6,009.7	10,405.7	-	75,918.6	75,918.6	75,918.6	62,165.1	13,753.5	22.1%
Federal Receipts	18,093.7	31,522.5	19,337.4	13,861.7	13,509.7	20,922.7	15,419.2	13,450.3	33,753.6	-	179,362.8	179,362.8	179,362.8	142,732.2	36,630.6	25.7%
Total Receipts																
DISBURSEMENTS:																
Local Assistance Grants:																
Education	810.6	4,402.4	4,076.2	1,902.5	1,419.3	4,399.7	2,215.7	2,181.5	3,782.1	3,782.1	25,139.0	25,139.0	25,139.0	21,094.4	4,044.6	19.2%
Environment and Recreation	29.4	9.6	19.8	16.0	32.7	15.8	18.0	11.7	136.3	136.3	290.3	290.3	290.3	109.8	180.5	164.4%
General Government	36.9	130.2	50.4	440.4	100.8	165.3	68.3	97.3	386.6	386.6	1,970.2	1,970.2	1,970.2	5,539.8	(3,569.6)	-64.4%
Public Health:																
Medicaid	6,699.1	5,695.1	6,549.9	4,899.1	5,876.2	5,505.4	6,539.7	5,889.9	6,895.9	6,895.9	54,119.3	54,119.3	54,119.3	50,055.4	4,063.9	8.1%
Other Public Health	651.9	688.7	1,395.4	906.1	906.7	1,218.6	887.6	819.7	1,389.9	1,389.9	8,873.6	8,873.6	8,873.6	7,484.3	1,389.3	18.6%
Public Safety	128.1	139.4	196.1	220.6	98.0	225.3	211.4	107.8	255.3	255.3	1,589.0	1,589.0	1,589.0	1,881.8	(292.8)	-18.5%
Public Welfare	230.2	366.7	905.0	1,005.5	1,046.7	2,372.2	2,298.4	701.4	1,343.5	1,343.5	10,230.6	10,230.6	10,230.6	4,820.7	5,409.9	112.2%
Support and Regulate Business	26.7	63.5	359.4	68.4	50.2	132.8	264.5	240.5	105.2	105.2	1,311.2	1,311.2	1,311.2	486.9	815.3	164.4%
Transportation	392.6	471.3	571.7	570.3	654.1	623.8	465.1	755.9	1,278.6	1,278.6	5,604.4	5,604.4	5,604.4	4,817.2	787.2	16.6%
Total Local Assistance Grants	8,895.5	11,958.9	14,577.9	9,828.9	10,183.7	14,638.9	12,954.7	10,785.7	15,988.3	-	109,320.6	109,320.6	109,320.6	96,195.3	13,125.3	13.6%
Departmental Operations:																
General State Service	1,159.2	1,192.3	1,167.5	1,336.7	1,113.3	1,408.3	1,099.1	1,432.3	1,341.9	1,341.9	11,317.5	11,317.5	11,317.5	11,189.4	128.1	1.1%
Non-Personal Service	519.4	576.0	899.1	516.0	729.9	690.6	673.3	699.5	720.7	720.7	5,981.5	5,981.5	5,981.5	5,198.7	782.8	14.7%
General State Charges	895.5	2,367.0	825.3	646.8	508.6	731.9	621.3	823.8	673.7	673.7	7,898.8	7,898.8	7,898.8	6,540.0	1,358.8	20.8%
Debt Service, Including Payments on																
Financing Agreements	122.4	40.5	20.5	7.2	307.7	742.2	9.2	26.0	209.2	209.2	1,484.9	1,484.9	1,484.9	2,881.2	(1,396.3)	-44.6%
Capital Projects	398.2	514.1	631.4	554.2	689.6	710.1	601.5	744.7	649.8	649.8	5,503.6	5,503.6	5,503.6	5,397.3	116.3	2.2%
Total Disbursements	11,999.2	16,638.8	17,861.7	12,888.8	13,539.7	19,020.0	15,959.1	14,500.0	19,172.6	-	141,486.9	141,486.9	141,486.9	127,195.9	14,291.0	11.2%
Excess (Deficiency) of Receipts over Disbursements	6,186.5	14,885.7	14,753.7	472.9	(30.0)	1,902.7	(539.9)	(1,053.7)	14,581.0	-	37,875.9	37,875.9	37,875.9	15,536.3	22,339.6	143.8%
OTHER FINANCING SOURCES (USES):																
Bond and Note Proceeds (net)																
Transfers from Other Funds	5,344.8	6,200.6	6,454.2	3,531.7	3,037.4	5,770.3	3,450.8	3,625.2	10,683.2	-	47,668.2	47,668.2	47,668.2	25,625.5	22,042.7	87.3%
Transfers to Other Funds	(5,350.2)	(6,203.3)	(6,489.7)	(3,539.0)	(3,056.6)	(5,775.0)	(3,453.7)	(3,627.1)	(10,689.3)	-	(48,061.9)	(48,061.9)	(48,061.9)	(25,636.5)	22,425.4	86.1%
Total Other Financing Sources (Uses)	(5.4)	(2.7)	(34.5)	(7.3)	(19.2)	(4.7)	(2.9)	(1.9)	(5.1)	-	(83.7)	(83.7)	(83.7)	(211.0)	127.3	60.3%
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	6,181.1	14,883.0	14,411.2	465.6	(49.2)	1,898.0	(542.8)	(1,060.6)	14,575.9	-	37,792.2	37,792.2	37,792.2	15,325.3	22,466.9	146.6%
Ending Fund Balance	\$ 24,932.2	\$ 38,815.2	\$ 41,256.4	\$ 41,722.0	\$ 41,672.8	\$ 43,570.8	\$ 43,028.0	\$ 41,967.4	\$ 56,543.3	\$ -	\$ -	\$ -	\$ -	\$ 29,610.1	\$ 26,832.2	91.0%

(*) Governmental Funds includes General, Special Revenue, Debt Service and Capital Projects Funds combined.

(**) November and December Mobile Sports collections represent one-time license fees paid by Mobile Sports Wagering vendors. November Mobile Sports collections temporarily deposited to Lottery were reclassified in December.

STATE OF NEW YORK
GOVERNMENTAL FUNDS
STATEMENT OF CASH FLOW - STATE OPERATING (*)
FISCAL YEAR 2021-2022
(amounts in millions)

	2021		2022										9 Months Ended December 31		% Increase/ Decrease	
	APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	JANUARY	FEBRUARY	MARCH	2020	\$ Increase/ (Decrease)		
Beginning Fund Balance	\$ 14,934.4	\$ 18,753.4	\$ 20,954.3	\$ 23,094.9	\$ 24,196.6	\$ 24,879.7	\$ 26,636.3	\$ 25,241.9	\$ 24,177.9				\$ 14,934.4	\$ 14,408.3	526.1	3.7%
RECEIPTS:																
Taxes:																
Personal Income Tax:	3,691.8	9,217.7	3,702.6	3,659.2	3,779.4	3,834.8	3,459.6	3,693.5	5,893.5				34,750.1	29,235.9	5,514.3	18.9%
Withholdings	3,342.2	8,128.7	2,740.7	2,740.7	3,311.1	3,241.9	187.8	119.9	5,813.3				16,552.7	11,347.7	5,205.0	45.9%
Employment Payments	813.6	2,184.3	313.6	447.1	59.9	67.5	59.9	75.2	44.4				883.8	3,354.2	(883.8)	27.2%
Returns	(203.0)	(284.7)	(21.6)	(31.8)	(19.1)	(28.1)	(242.9)	(44.8)	(1,023.9)				(880.6)	(1,023.9)	(163.1)	-15.9%
State/City Offsets														850.0	850.0	20.3%
Other (Assessments/LLO)		87.2	154.1	111.3			112.4	121.4	121.7				1,022.3		172.3	16.7%
Gross Receipts	7,886.7	11,353.2	6,672.6	3,889.4	4,062.7	7,000.3	4,101.2	4,235.2	6,441.0				55,585.3	43,063.8	11,971.5	27.3%
Transfers to School Tax Relief Fund																0.0%
Transfers to Home Bond Tax Fund																0.0%
Refunds Issued	(1,282.9)	(9,520.2)	(852.0)	(315.8)	(261.3)	(580.5)	(724.8)	(854.1)	(354.7)				(6,546.3)	(36,788.2)	12,340.8	-47.7%
Total Personal Income Tax	6,625.8	1,833.0	5,820.6	3,573.6	3,801.4	6,419.8	3,376.4	3,381.1	6,386.3				49,039.0			33.3%
Consumption/Use Taxes:																
Sales and Use	1,297.4	1,281.4	1,711.7	1,382.9	1,334.8	1,739.7	1,343.7	1,381.9	1,755.3				13,208.8	10,498.8	2,712.0	25.8%
Auto Rental			5.1				8.2	18.7	8.7				10.0	114.9%		10.0%
Cigarette/Tobacco Products	84.0	76.6	98.4	98.4	98.3	98.3	98.3	10.1	10.1				77.4	84.0	6.6	8.6%
Cannabis	1.5	1.1			1.1	1.0	1.0	1.0	1.2				11.0	6.2	3.8	61.3%
Motor Fuel	7.2	8.6	9.5	9.5	9.7	10.2	9.5	8.1	10.1				69.9	69.9	11.3	16.2%
Alcoholic Beverage	23.0	21.8	21.7	29.7	23.0	25.3	23.1	23.3	20.5				214.8	208.5	6.3	3.0%
Highway Use	0.1	-	-	-	-	0.1	0.1	0.7	0.1				0.4	0.4	1.1	275.0%
Vapor Excise	0.2	0.2	0.2	0.2	-	7.8	5.2	0.1	7.7				22.8	25.5	(2.9)	-11.4%
Options	0.1	0.1														0.0%
Total Consumption/Use Taxes	1,432.2	1,389.6	1,846.7	1,510.2	1,456.6	1,874.6	1,471.1	1,492.3	1,887.2				14,348.3	11,640.0	2,708.3	23.3%
Business Taxes:																
Corporation Franchise	768.4	106.0	1,452.1	274.0	28.5	1,477.1	92.0	(50.3)	1,316.6				5,464.4	3,555.0	1,909.4	53.7%
Corporation and Utilities	47.9	1.1	(6.2)	81.8	(0.9)	103.0	1.2	0.9	88.1				348.0		(18.1)	-5.2%
Insurance	64.2	57.3	373.2	15.9	52.8	453.6	498.0	58.2	1,509.9				1,753.3	1,335.6	417.8	33.1%
Bank							8.5	(0.5)	18.2				185.4	10.8	174.6	185.0%
Pass-Through Entity			17.3	10.2	-	(0.7)			10,183.3				10,183.3	10,183.3	-	100.0%
Real Estate Transfer									34.0				34.0	318.8	27.2	8.6%
Petroleum Business	30.7	39.8	42.6	43.7	42.2	33.8	34.6	40.5	34.0							0.0%
Total Business Taxes	925.5	184.1	1,957.9	327.6	122.6	2,066.8	163.1	28.8	12,049.4				17,828.8	5,721.0	12,107.8	211.6%
Other Taxes:																
Real Property Gains	-	-	-	-	-	-	-	-	-				-	-	-	0.0%
Estate and Gift	119.8	117.0	109.9	103.1	100.6	108.7	183.2	82.5	111.1				1,044.9	86.5	958.4	922%
Charitable Contribution	11.3	11.3	11.3	11.3	11.3	11.3	11.3	11.3	11.3				11.3	11.3	0.0	0.0%
Real Estate Transfer	87.4	110.0	115.1	132.0	140.0	133.3	132.1	119.4	134.8				1,141.1	593.1	550.0	87.9%
Racing and Recreational Sports	-	-	-	-	-	-	-	-	-				-	-	-	0.0%
Employer Compensation Expense Tax	0.2	0.2	0.2	0.4	0.3	0.2	0.1	0.8	0.1				1.3	0.1	1.2	1,200.0%
Total Other Taxes	216.7	228.3	225.3	236.6	243.1	244.2	316.8	214.1	251.7				2,178.8	2.1	5.1	242.9%
Total Taxes	9,107.2	11,615.0	9,850.5	5,445.0	5,027.7	10,035.4	5,327.4	5,316.3	20,374.6				83,398.1	15,929.9	64,89.9	42.4%
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	2021												2022												9 Months Ended December 31		% Increase / Decrease
	APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	JANUARY	FEBRUARY	MARCH	2021	2020	\$ Increase / (Decrease)												
Cost Recovery Assessments	-	-	-	5.9	-	-	-	8.9	2.7	2.8	-	-	20.3	8.9	11.4	128.1%											
Insurance Fees	2.7	2.3	1.7	22.0	0.6	-	-	18.6	1.1	25.2	74.2	-	25.2	74.2	106.2	-30.1%											
Non Bond Related	0.2	-	-	4.6	8.6	4.3	-	0.8	0.8	29.3	43.8	-	43.8	43.8	4.3	9.6%											
Rentals	42.0	2.2	3.1	2.2	16.5	85.0	77.4	77.4	25.1	278.0	19.7	-	278.0	72.7	206.3	283.8%											
Revenues of State Departments:	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-										
- Recoveries	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-										
- Commissions	0.9	0.8	0.2	8.6	9.1	23.5	23.5	8.7	8.5	81.0	158.7	-	158.7	158.7	51.7	32.6%											
- Transfers	-	-	-	0.6	0.4	1.3	1.3	0.7	0.9	14.4	17.3	-	17.3	17.3	2.9	16.8%											
- Commissions - Asset Conversion	-	-	-	-	-	-	-	-	-	178.0	178.0	-	178.0	68.0	110.0	161.8%											
- Gifts, Grants and Donations	0.7	0.8	0.5	0.3	0.5	1.7	1.7	0.1	12.5	0.3	3.1	-	3.1	15.7	(15.7)	-47.4%											
Indirect Cost Recoveries	7.6	5.7	6.3	4.9	6.3	14.3	14.3	6.0	17.4	0.3	17.4	-	17.4	55.2	7.4	13.4%											
Reliant/Client Care Reimbursement	284.6	284.6	218.6	283.0	203.6	284.6	284.6	218.6	283.0	284.6	284.6	-	284.6	284.6	284.6	(44.9%)											
Reimbursements	1.2	0.8	0.8	4.7	0.8	1.8	1.8	0.8	2.6	3.7	4.0	-	4.0	4.0	2.2	-16.5%											
Restitution and Settlements	9.8	9.8	7.4	9.8	0.9	3.9	3.9	17.2	43.6	0.5	43.6	-	43.6	53.2	(9.6)	-18.0%											
Student Loans	2.4	5.6	1.3	2.4	1.4	1.2	1.2	1.1	5.0	1.5	21.4	-	21.4	42.1	(20.7)	-48.2%											
All Other	84.7	60.2	58.0	68.9	74.4	33.2	72.4	29.5	68.4	69.4	330.8	-	330.8	330.8	220.9	68.8%											
Tuition	0.5	0.5	1.7	2.3	0.9	3.0	3.0	8.5	21.5	1.3	11.0	-	11.0	10.5	0.5	95.5%											
Sales	0.3	0.3	0.3	0.3	0.3	0.3	0.3	0.3	0.3	0.3	0.3	-	0.3	0.3	0.3	0.0%											
Total Miscellaneous Receipts	1,534.9	1,432.8	1,244.1	1,594.8	1,648.8	2,173.1	1,693.8	1,913.8	2,063.5	-	-	-	15,785.0	19,071.1	(3,276.1)	-17.2%											
Federal Receipts	0.2	-	-	1.6	28.8	(0.3)	-	-	10.5	-	-	-	75.2	44.3	30.9	68.9%											
Total Receipts	10,642.3	13,047.8	11,594.6	7,037.0	7,301.3	12,898.2	7,055.4	7,296.1	22,448.6	-	-	-	99,265.3	74,804.9	24,460.4	32.7%											
DISBURSEMENTS:																											
Local Assistance Grants:																											
- Education	524.3	3,886.3	3,163.3	1,505.5	723.5	4,010.2	1,556.1	1,778.8	2,861.7	-	-	-	20,119.7	18,852.9	1,266.8	6.7%											
- Environment and Recreation	0.1	1.9	0.8	0.6	0.8	0.2	0.1	0.5	0.5	8.8	-	-	8.8	2.1	6.7	318.0%											
- General Government	13.0	66.6	456.5	(20.3)	59.3	119.3	36.4	56.4	204.6	-	-	-	1,051.8	917.1	134.7	14.7%											
- Health:																											
- Medicaid	3,127.9	1,954.6	2,221.1	1,436.8	1,895.5	1,794.8	2,278.1	2,194.2	2,182.3	2,194.2	2,182.3	-	19,095.1	16,091.7	3,003.6	18.7%											
- Other Public Health	86.2	149.3	587.																								

(***) Eliminations between State and Federal Special Revenue Funds are not included.

EXHIBIT F

STATE OF NEW YORK
GENERAL FUND
STATEMENT OF CASH FLOW
FISCAL YEAR 2024-2022
(amounts in millions)

	2021 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2022		MARCH	9 Months Ended December 31				% Increase/ Decrease
	\$	\$	\$	\$	\$	\$	\$	\$	\$	2021	2020	2021	\$	2020	\$	2021	
Beginning Fund Balance	\$ 9,160.8	\$ 12,217.6	\$ 14,356.5	\$ 15,464.4	\$ 15,001.1	\$ 15,788.3	\$ 19,053.7	\$ 18,118.8	\$ 17,184.8				\$ 9,160.8	\$ 8,944.2	\$ 216.6	2.4%	
RECEIPTS:																	
Taxes:																	
Personal Income Tax:																	
Withholdings	3,601.8	3,217.7	3,702.6	3,408.2	3,778.4	3,634.8	3,459.6	3,963.5	5,963.5	34,730.1	29,235.8			29,235.8	5,494.3	18.8%	
Estimated Payments	3,342.2	6,128.7	2,740.7	147.1	131.1	3,241.9	197.8	119.9	516.3	16,555.7	11,347.7			11,347.7	5,208.0	45.9%	
Returns	913.6	2,184.3	1,338.6	85.0	58.9	77.5	578.5	75.2	44.4	4,138.0	75.2			75.2	3,254.2	27.2%	
State/City Offices	(203.0)	(294.7)	(21.6)	(31.8)	(19.1)	(28.1)	(342.8)	(44.8)	(60.8)	(860.8)	(1,023.9)			(860.8)	165.1	-15.9%	
Other (Assessments/LLC)	1,888.7	11,353.2	6,672.6	3,683.9	4,092.7	7,030.3	4,101.2	4,255.2	6,640.0	55,955.3	43,663.3			43,663.3	20,336.9	53.3%	
Transfers to School Tax Relief Fund							(1.0)	(0.6)	(14.1)	(16.1)	(36.6)			(36.6)	(20.5)	-56.0%	
Transfers to Revenue Bond Tax Fund	(3,282.9)	(4,916.5)	(2,910.3)	(1,892.3)	(1,900.7)	(3,224.9)	(1,682.5)	(1,789.5)	(3,143.2)	(24,519.5)	(18,388.1)			(18,388.1)	6,120.4	33.3%	
Refunds Issued	(1,262.9)	(1,520.2)	(862.0)	(281.3)	(21.8)	(690.0)	(724.8)	(654.1)	(354.7)	(6,646.3)	(8,885.0)			(8,885.0)	(315.3)	-4.7%	
Total Personal Income Tax	3,282.9	4,916.5	2,910.3	1,892.3	1,900.7	3,224.9	1,687.8	1,789.5	3,143.2	24,519.5	18,388.1			18,388.1	6,140.9	33.4%	
Consumption/Use Taxes:																	
Sales and Use	292.8	295.6	403.0	324.5	313.4	408.0	314.6	323.3	411.3	3,986.5	4,915.9			4,915.9	(1,829.4)	-37.2%	
Auto Rental	-	-	-	-	-	-	-	-	-	-	-			-	-	0.0%	
Cigarette/Tobacco Products	28.6	24.8	25.7	25.6	26.0	26.7	27.6	23.8	25.5	234.3	245.3			245.3	(11.0)	-4.5%	
Motor Fuel	-	-	-	-	-	-	-	-	-	-	-			-	-	0.0%	
Alcoholic Beverage	23.0	21.8	21.7	29.7	22.7	25.3	23.1	23.3	24.2	214.8	208.5			208.5	6.3	3.0%	
Highway Use	-	-	-	-	-	-	-	-	-	-	-			-	-	0.0%	
Vapor Excise	-	-	-	-	-	-	-	-	-	-	-			-	-	0.0%	
Opolud Excise	6.7	0.1	-	7.5	-	-	5.2	-	-	19.5	22.8			22.8	(3.3)	-14.5%	
Total Consumption/Use Taxes	351.1	342.3	450.3	387.3	382.1	480.0	370.5	370.4	481.0	3,955.1	5,352.3			5,352.3	1,837.4	-34.1%	
Business:																	
Corporation Franchise	613.8	66.2	1,187.8	216.6	19.9	1,216.4	48.5	(77.4)	1,089.5	4,589.3	2,863.5			2,863.5	1,484.8	52.2%	
Corporation and Utilities	28.6	0.6	63.7	1.5	(0.8)	84.1	1.9	0.8	78.4	258.8	281.1			281.1	(2.3)	-0.9%	
Insurance	73.0	54.8	328.4	13.4	48.0	403.5	9.0	34.8	386.0	1,352.9	1,188.5			1,188.5	154.4	12.9%	
Bank	14.2	(17.1)	6.5	0.2	-	3.9	-	(0.4)	-	16.5	147.0			147.0	(130.5)	-88.8%	
Pass-Through Entity	-	-	-	-	-	-	-	-	-	5,081.7	5,081.7			5,081.7	100.0%	100.0%	
Petroleum Business	-	-	-	-	-	-	-	-	-	-	-			-	-	0.0%	
Total Business Taxes	726.0	104.5	1,586.4	228.7	67.1	1,707.9	70.4	(42.0)	6,615.8	11,065.2	4,470.1			4,470.1	6,595.1	147.6%	
Other Taxes:																	
Real Property Gains	119.8	117.0	108.9	103.1	100.6	108.7	183.2	92.5	111.1	1,044.9	956.5			956.5	88.4	8.2%	
Real Estate Gift	1.3	1.1	1.1	1.1	2.1	1.7	1.0	1.1	0.8	11.3	8.1			8.1	3.2	35.5%	
Real Estate Transfer	-	-	-	-	-	-	-	-	-	-	-			-	-	0.0%	
Racing and Combative Sports	-	-	-	-	0.1	0.2	0.1	0.8	0.1	1.3	0.1			0.1	1.2	1,200.0%	
Employer Compensation Expense Tax	0.1	0.1	0.1	0.2	0.1	0.2	0.2	0.2	2.4	3.6	1.0			1.0	2.6	250.0%	
Total Other Taxes	121.2	118.2	110.1	104.4	102.9	110.8	184.5	94.6	114.4	1,061.1	965.7			965.7	95.4	9.9%	
Total Taxes	4,464.8	5,481.5	5,057.2	2,402.7	2,432.8	5,593.6	2,312.4	2,212.6	10,320.2	40,187.8	28,198.8			28,198.8	10,997.0	37.7%	
Miscellaneous Receipts:																	
Abandoned Property:																	
Bottle Bill	0.4	-	-	-	10.0	100.0	-	225.0	-	335.4	368.0			368.0	(30.6)	-8.4%	
Assessments:	1.0	0.3	8.1	2.0	0.2	34.9	1.5	0.7	29.3	78.0	84.5			84.5	(6.5)	-7.7%	
Business:																	
Medical Care	1.8	3.8	4.2	-	6.1	2.7	-	2.3	3.5	24.4	21.9			21.9	2.5	11.4%	
Public Utilities	-	-	-	-	-	-	-	-	-	-	-			-	-	0.0%	
Other	-	0.1	-	0.1	0.1	-	0.1	0.1	0.1	0.5	0.2			0.2	0.3	150.0%	
Fees, Licenses and Permits:																	
Alcohol Beverage Control Licensing	5.3	5.5	7.0	6.2	6.2	5.0	5.6	4.3	3.8	48.9	34.9			34.9	14.0	40.1%	
Audit Fees	14.0	20.7	33.9	12.2	4.4	28.6	16.9	10.6	43.0	184.3	162.8			162.8	21.5	13.2%	
Business/Professional	20.8	22.8	13.9	15.9	18.5	17.7	14.4	23.1	13.2	161.3	137.1			137.1	24.2	17.7%	
Civil	0.1	0.1	0.1	0.1	0.2	0.1	0.2	0.1	0.2	1.0	0.9			0.9	0.1	11.1%	
Criminal	1.2	1.0	5.0	20.7	32.0	29.7	17.8	33.3	33.3	217.4	230.8			230.8	(13.4)	-5.8%	
Motor Vehicle	41.4	32.7	37.2	3.8	12.0	20.1	8.3	41.5	2.2	17.8	7.7			7.7	10.1	131.2%	
Consumer	20.2	15.6	18.9	16.9	16.9	16.9	10.6	43.0	13.2	13.2	13.2			13.2	0.0	0.0%	
Fines, Penalties and Forfeitures	1.5	0.6	0.5	0.5	0.5	0.6	0.7	0.8	0.8	0.1	0.1			0.1	(25.5)	-79.7%	
Interest Earnings	-	-	-	-	-	-	-	-	-	-	-			-	-	0.0%	
Receipts from Municipalities	-	-	-	-	-	-	-	-	-	-	-			-	-	0.0%	
Receipts from Public Authorities:																	
Bond Proceeds	-	-	-	-	-	-	-	-	-	-	-			-	(4,500.0)	-100.0%	
Cost Recovery Assessments	-	-	-	-	-	-	-	-	-	67.0	98.0			98.0	(32.0)	-32.3%	
Insurance Fees	-	-	-	21.5	0.6	-	18.6	1.1	25.2	28.2	28.2			28.2	(1.0)	-3.5%	
Non Bond Related	0.2	0.1	0.1	0.1	0.1	0.1	0.2	0.3	0.2	1.4	1.3			1.3	0.1	7.7%	
Revenue of State Departments:																	
Administrative Recoveries	0.8	0.3	15.2	0.2	0.5	15.2	0.4	0.3	17.7	50.6	47.8			47.8	2.8	5.9%	
Commissions	0.4	0.2	(0.4)	-	-	0.9	-	-	-	1.1	(0.1)			(0.1)	1.2	1,200.0%	
Gifts, Grants and Donations	-	-	-	-	0.3	-	-	12.3	-	12.6	52.7			52.7	12.6	100.0%	
Indirect Cost Recoveries	49.3	6.7	6.3	5.0	4.0	7.5	5.3	6.9	7.5	38.2	55.2			55.2	16.8	38.8%	
Indirect Patient Care Reimbursement	38.3	6.1	(5.0)	5.8	(23.2)	5.8	(23.2)	(8.2)	(8.2)	4.7	5.9			5.9	(1.2)	-20.3%	
Relates	-	1.6	(0.4)	(0.4)	2.3	(0.2)	(0.4)	2.2	-	-	-			-	-	-	-

EXHIBIT F

STATE OF NEW YORK
GENERAL FUND
STATEMENT OF CASH FLOW
FISCAL YEAR 2021-2022
(amounts in millions)

	2021 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2022 JANUARY	FEBRUARY	MARCH	9 Months Ended December 31				% Increase/ Decrease
													2021	2020	\$ Increase/ (Decrease)	(Decrease)	
Restitution and Settlements	-	-	0.2	-	-	-	-	-	0.3	-	-	-	0.5	1.2	(0.7)	-58.3%	-58.3%
Student Loans	20.1	23.1	1.6	11.0	22.9	(14.4)	35.7	(14.1)	20.9	-	-	-	105.9	67.0	38.9	58.0%	100%
Other	0.1	-	-	0.1	-	-	-	-	-	-	-	-	0.2	4.0	(3.8)	-95.0%	57.1%
Total Miscellaneous Receipts	172.5	146.1	131.0	154.0	122.5	236.5	107.3	311.3	238.9	-	-	-	1,034.1	6,579.7	(4,945.6)	(75.2%)	-75.2%
Federal Receipts	0.2	-	-	-	-	(0.3)	-	-	-	-	-	-	-	0.2	(0.2)	-100.0%	-100.0%
Total Receipts	4,637.5	5,621.6	5,188.2	2,558.8	2,555.3	5,759.8	2,419.7	2,523.0	10,559.1	-	-	-	41,921.9	35,770.2	6,951.7	19.9%	19.9%
DISBURSEMENTS:																	
Local Assistance Grants:																	
Education	523.4	3,889.2	2,855.3	1,505.5	723.3	1,656.9	1,437.9	1,664.4	2,854.3	-	-	-	17,980.2	15,798.5	1,321.7	8.4%	8.4%
Environment and Recreation	17.7	48.6	4.0	0.1	0.1	0.1	34.3	0.1	0.3	-	-	-	6.3	0.3	6.0	2,000.0%	2,000.0%
Government	2.9	-	-	(37.0)	34.2	108.4	-	20.0	249.9	-	-	-	973.1	865.3	107.8	13.4%	13.4%
Public Health:																	
Medicaid	2,741.0	1,493.2	1,789.2	666.7	1,432.0	1,322.6	1,785.1	1,551.8	1,785.2	-	-	-	14,882.8	11,921.0	2,961.8	24.8%	24.8%
Other Public Health	47.9	102.1	400.8	158.4	113.6	317.5	185.9	145.1	409.8	-	-	-	1,879.1	1,648.3	230.8	14.0%	14.0%
Public Safety	4.5	28.6	9.2	8.5	10.5	19.4	41.1	8.1	10.0	-	-	-	139.9	85.9	54.0	62.9%	62.9%
Statewide	45.3	103.8	29.8	315.8	34.5	94.2	1,015.3	112.0	408.3	-	-	-	1,915.3	1,512.7	402.6	26.6%	26.6%
Statewide Regulate Business	5.0	7.0	210.4	25.3	11.7	13.1	222.0	212.6	7.4	-	-	-	4,114.5	1,917.5	2,197.0	114.9%	114.9%
Transportation	9.5	22.4	15.3	-	25.1	0.1	-	25.1	11.6	-	-	-	109.1	80.4	28.7	35.7%	35.7%
Total Local Assistance Grants	3,377.5	5,704.1	6,008.8	2,971.3	2,893.7	4,382.2	5,217.4	3,739.1	5,751.8	-	-	-	39,845.9	32,174.0	7,671.9	23.8%	23.8%
Departmental Operations:																	
Personal Service	707.6	725.4	382.2	883.2	673.4	820.2	685.4	872.9	216.5	-	-	-	5,928.9	5,115.2	811.6	15.9%	15.9%
Information Technology	27.2	27.2	27.2	27.2	27.2	27.2	27.2	27.2	27.2	-	-	-	145.8	145.8	-	0%	0%
General State Charges	810.3	2,276.6	392.3	418.2	402.4	611.9	531.2	644.2	52.2	-	-	-	6,40.8	5,377.2	1,031.6	19.2%	19.2%
Total Disbursements	5,092.1	8,940.3	6,866.3	4,372.6	4,010.4	6,092.4	6,842.6	5,465.9	6,291.0	-	-	-	53,783.2	43,947.2	9,836.0	22.4%	22.4%
Excess (Deficiency) of Receipts over Disbursements	(394.6)	(3,318.7)	(1,778.1)	(1,813.8)	(1,455.1)	(302.6)	(4,222.9)	(2,941.9)	4,288.1	-	-	-	(11,861.3)	(8,164.0)	3,697.3	-46.5%	-46.5%
OTHER FINANCING SOURCES (USES):																	
Transfers from Revenue Bond Tax Fund	3,262.7	4,916.9	2,958.2	1,411.3	1,449.9	3,306.7	1,689.4	1,615.4	8,227.4	-	-	-	28,536.9	14,222.2	14,314.7	100.7%	100.7%
Transfers from LGAC / STRBTF	870.0	680.1	1,351.7	946.3	912.8	1,203.2	907.0	933.0	1,196.6	-	-	-	9,010.7	3,963.1	5,027.6	52.7%	52.7%
Transfers from CWICAC Fund	86.8	110.0	115.1	128.7	136.6	129.8	128.6	115.9	131.4	-	-	-	1,082.9	526.3	556.6	105.8%	105.8%
Transfers from Other Funds	108.0	94.8	173.1	187.7	115.3	433.7	113.2	115.2	214.5	-	-	-	1,555.5	1,231.2	324.3	26.3%	26.3%
Transfers to State Capital Projects	(485.7)	(341.4)	(715.6)	(286.3)	(973.0)	(414.2)	(240.7)	(581.5)	(455.2)	-	-	-	(4,113.6)	(1,861.0)	2,252.6	128.3%	128.3%
Transfers to State Capital Projects	(162.8)	21.3	(21.2)	(55.7)	7.7	(11.8)	(92.5)	(5.0)	(154.3)	-	-	-	(202.8)	(154.3)	48.5	31.6%	31.6%
Transfers to General Debt Service	(227.8)	(34.1)	(917.2)	(368.5)	(105.0)	(163.9)	(91.0)	(171.5)	(115.9)	-	-	-	(2,068.9)	(1,852.0)	216.9	11.9%	11.9%
Total Other Financing Sources (Uses)	3,451.4	5,457.6	2,886.0	1,952.5	1,443.3	4,467.0	2,388.0	2,007.6	9,206.8	-	-	-	33,460.2	15,772.2	17,688.0	112.1%	112.1%
Excess (Deficiency) of Receipts and Disbursements and Other Financing Uses	3,056.8	2,138.9	1,107.9	138.7	188.2	4,164.4	(1,834.9)	(934.0)	13,474.9	-	-	-	21,498.9	7,608.2	13,890.7	182.0%	182.0%
Ending Fund Balance	\$ 12,217.6	\$ 14,356.5	\$ 15,464.4	\$ 15,601.1	\$ 15,789.3	\$ 19,953.7	\$ 18,118.8	\$ 17,184.8	\$ 30,659.7	\$ -	\$ -	\$ -	\$ 30,659.7	\$ 16,552.2	\$ 14,107.5	85.2%	85.2%

STATE OF NEW YORK
SPECIAL REVENUE FUNDS - COMBINED
STATEMENT OF CASH FLOW
FISCAL YEAR 2021-2022
(amounts in millions)

EXHIBIT G

	2021 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2022 JANUARY	FEBRUARY	MARCH	Intra-Fund Eliminations (1)	2021 \$	2020 \$	9 Months Ended December 31 (Decrease)	% Increase/ Decrease
Beginning Fund Balance	\$ 10,699.3	\$ 13,629.9	\$ 26,592.7	\$ 27,116.0	\$ 27,281.0	\$ 26,790.0	\$ 25,622.0	\$ 26,152.6	\$ 25,948.2	-	-	-	-	\$ 10,699.3	\$ 6,312.1	\$ 4,357.2	69.0%
Taxes:																	
Personal Income Tax	-	-	-	-	-	-	-	1.2	0.8	14.1	-	-	-	16.1	36.6	(20.5)	-56.0%
Corporate Income Tax	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
Transfer on Sale Tax	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
Excise Tax	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
Other Taxes	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
Commodities:																	
Auto Rental	10.2	80.5	101.6	86.9	83.3	109.0	86.3	89.6	111.6	-	-	-	-	859.0	668.6	189.4	29.3%
Cigarette/Tobacco Products	(0.2)	-	5.1	-	-	7.7	-	(0.1)	6.2	6.2	-	-	-	18.7	10.0	8.7	114.9%
Cannabis	69.7	51.8	64.9	59.8	62.3	56.1	60.7	55.1	56.7	56.7	-	-	-	537.1	556.3	(19.2)	-3.5%
Alcohol Beverage	1.5	1.1	1.1	0.9	1.1	1.0	1.0	1.0	1.0	1.0	-	-	-	10.0	6.2	3.8	61.3%
Highway Use	12.2	8.9	9.9	9.7	9.7	10.2	9.3	9.3	10.1	10.1	-	-	-	61.2	69.2	(8.0)	-11.5%
Vapor Excise	0.1	-	-	0.1	-	0.1	0.2	0.7	0.3	0.3	-	-	-	0.4	0.4	1.1	275.0%
Other Excise	0.2	6.6	18.2	0.2	15.4	19.9	7.8	13.9	7.7	7.7	-	-	-	22.5	25.5	(3.0)	-11.4%
Business Taxes:																	
Corporation Franchise	154.6	39.8	264.3	60.4	8.6	18.9	43.6	27.1	247.1	-	-	-	-	1,106.1	691.5	414.6	60.0%
Corporation and Utilities	19.3	0.5	18.1	(7.7)	(0.1)	18.9	(0.7)	0.1	20.7	20.7	-	-	-	189.1	84.9	104.2	122.7%
Insurance	(0.8)	2.5	44.9	2.5	4.8	3.4	8.7	(0.9)	3.0	3.0	-	-	-	150.0	137.1	12.9	9.5%
Other Business Taxes	30.7	39.8	40.6	43.7	42.2	33.8	40.7	40.5	34.0	34.0	-	-	-	346.0	318.8	27.2	8.5%
Total Business Taxes	198.9	79.6	371.5	88.9	55.5	358.9	92.7	71.8	351.9	-	-	-	-	1,678.9	1,250.9	428.0	34.2%
Total Taxes	388.6	221.6	569.7	256.3	211.9	598.8	251.7	224.7	598.8	-	-	-	-	3,224.1	2,623.1	601.0	22.9%
Miscellaneous Receipts:																	
Abandoned Property	0.9	1.0	0.8	0.9	0.9	1.2	1.0	1.3	1.0	-	-	-	-	9.0	8.6	0.4	4.7%
Abandoned Property	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
Business	69.2	40.7	61.4	95.5	31.1	29.8	93.5	11.6	170.2	-	-	-	-	603.0	562.7	40.3	7.2%
Medical Care	482.7	530.1	540.7	526.3	530.0	514.7	525.1	551.6	551.6	-	-	-	-	4,728.9	4,441.7	287.2	6.5%
Public Utilities	1.5	-	0.7	-	0.1	44.4	(0.7)	(12.2)	0.8	0.8	-	-	-	34.6	33.7	0.9	2.6%
Other Receipts	-	-	-	-	-	0.1	-	0.3	(0.3)	-	-	-	-	0.1	-	-	100.0%
Fees, Licenses and Permits:																	
Auto Fees	-	-	-	0.7	1.5	0.1	-	-	0.2	0.2	-	-	-	2.5	2.2	0.3	13.6%
Business/Professional	38.6	26.7	91.1	38.6	52.5	95.6	38.7	50.0	82.0	-	-	-	-	513.8	537.1	(23.3)	-4.3%
Other Business/Professional	1.1	1.4	1.4	1.4	1.4	1.4	1.4	1.4	1.4	-	-	-	-	13.7	13.7	0.0	0.0%
Criminal	0.9	0.2	0.8	0.2	0.4	0.1	0.1	0.1	6.0	6.0	-	-	-	4.3	3.9	0.4	10.3%
Motor Vehicle	29.6	27.8	24.3	16.9	29.6	41.9	14.3	31.9	21.3	21.3	-	-	-	237.6	203.2	34.4	16.9%
Recreational/Consumer	65.7	49.6	83.0	56.5	89.9	143.1	72.9	124.2	55.4	-	-	-	-	740.3	488.1	252.2	51.7%
Fines, Penalties and Forfeitures	5.5	9.1	12.2	6.1	11.3	6.4	12.8	10.1	5.7	5.7	-	-	-	60.2	108.9	(48.7)	-44.7%
Gaming	34.2	14.2	17.1	35.7	15.2	19.3	35.5	10.2	16.9	-	-	-	-	198.3	82.4	115.9	140.7%
Lottery	19.5	213.5	238.9	181.9	186.8	240.9	192.4	203.2	225.4	-	-	-	-	1,882.5	1,625.2	257.3	15.8%
Mobile Sports	7.9	7.9	10.9	82.4	81.5	98.2	79.2	150.0	50.0	-	-	-	-	200.0	200.0	0.0	0.0%
Interest from Municipalities	4.1	3.7	3.8	4.0	3.1	3.6	3.4	3.7	3.7	-	-	-	-	78.5	292.4	(213.9)	-73.2%
Receipts from Public Authorities	6.7	1.9	4.9	3.2	1.4	5.1	3.4	1.2	6.0	6.0	-	-	-	33.8	52.0	(18.2)	-35.2%
Receipts from Public Authorities:																	
Bond Proceeds	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
Grants and Donations	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
Insurance Fees	2.7	2.3	1.7	0.5	-	-	8.9	2.7	2.9	-	-	-	-	20.3	6.9	13.4	192.6%
Non Bond Related	0.2	-	-	4.6	0.3	4.3	0.8	8.6	2.1	-	-	-	-	7.2	7.2	0.0	0.0%
Rentals	41.8	1.9	9.0	2.1	16.4	64.9	77.2	24.8	19.5	-	-	-	-	20.9	15.6	5.3	34.0%
Revenues of State Departments	-	-	-	-	-	-	-	-	-	-	-	-	-	277.6	71.4	206.2	289.8%
Administrative Receipts	45.0	8.9	20.8	8.4	8.6	8.3	8.3	8.2	43.3	-	-	-	-	159.8	110.9	48.9	44.1%
Commissions - Asset Conversion	-	0.7	0.5	0.3	0.2	0.7	0.1	-	14.4	-	-	-	-	19.1	17.4	1.7	9.8%
Gifts, Grants and Donations	-	-	-	-	-	-	-	-	178.0	-	-	-	-	178.0	66.0	112.0	169.8%
Patent/Care Reimbursement	-	-	-	-	-	-	-	-	4.8	-	-	-	-	33.1	33.1	0.0	0.0%
Rebates	21.3	178.7	221.1	204.1	195.8	204.2	210.0	219.1	214.9	-	-	-	-	1,862.2	2,312.4	(450.2)	-19.5%
Restitution and Settlements	1.2	9.8	14.2	12.3	8.0	14.7	15.7	7.1	11.3	-	-	-	-	102.5	108.8	(6.3)	-5.8%
State Department Loans	4.4	4.4	4.4	4.4	4.4	4.4	4.4	4.4	4.4	-	-	-	-	43.1	52.0	(8.9)	-17.1%
All Other	64.8	38.6	59.8	50.4	53.4	47.7	37.6	42.7	48.5	-	-	-	-	452.2	265.4	186.8	69.7%
Sales	2.2	0.5	1.7	1.2	0.9	3.0	8.5	2.0	1.3	-	-	-	-	21.3	6.4	14.9	232.8%
Tuition	(75.7)	41.6	51.3	55.6	20.0	243.3	135.1	31.3	2.7	-	-	-	-	680.2	752.6	(72.4)	-9.6%
Total Miscellaneous Receipts	1,322.5	1,259.9	1,574.5	1,412.4	1,351.1	1,381.9	1,576.2	1,552.3	1,242.4	-	-	-	-	13,986.5	12,341.8	1,644.7	13.3%
Federal Receipts	7,158.4	19,222.9	7,375.0	5,735.7	5,786.6	7,398.1	7,073.5	5,695.5	10,952.9	-	-	-	-	74,500.6	60,581.9	13,918.7	23.0%
Total Receipts	8,877.5	19,743.3	9,502.2	7,484.4	7,535.6	9,839.8	8,991.6	7,472.5	12,437.1	-	-	-	-	91,711.2	75,510.6	16,191.6	21.4%

STATE OF NEW YORK
SPECIAL REVENUE FUNDS - COMBINED
STATEMENT OF CASH FLOW
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(amounts in millions)

EXHIBIT G

	2021	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2022	JANUARY	FEBRUARY	MARCH	Intra-Fund Eliminations (1)	2021	2020	9 Months Ended December 31 Increase (Decrease)	% Increase (Decrease)
DISBURSEMENTS:																		
Local Assistance Grants:																		
Education	273.7	478.2	1,195.4	384.0	684.6	2,704.5	762.6	516.2	926.5					-	7,925.7	5,245.5	2,680.2	51.1%
Environment and Recreation	0.4	0.3	0.1	0.6	0.8	0.2	-	0.4	0.6					-	3.4	2.3	1.1	47.8%
Health	21.3	39.9	15.7	455.2	35.8	28.0	3.8	41.5	37.0					-	676.2	4,325.6	(3,653.4)	-84.4%
Public Health:																		
Medicaid	3,758.1	4,201.9	4,750.7	3,708.4	4,444.2	4,182.8	4,756.6	4,317.1	5,120.7					-	39,235.5	38,134.4	1,101.1	2.9%
Other Public Health	558.9	558.9	913.7	708.0	758.1	864.3	660.2	615.4	924.1					-	6,556.6	5,439.5	1,117.1	20.5%
Public Welfare	15.2	15.2	15.2	15.2	15.2	15.2	15.2	15.2	15.2					-	1,155.9	1,155.9	0.0	0.0%
Public Works	153.7	202.6	438.6	532.1	691.0	1,389.6	717.1	570.0	728.1					-	4,593.8	2,531.8	3,062.0	120.9%
Support and Regulate Business	0.5	2.2	1.8	3.6	8.3	14.9	3.0	3.0	19.1					-	43.8	2,900.4	(2,856.6)	-21.9%
Transportation	201.4	411.2	287.4	303.2	415.5	277.5	287.0	519.7	766.8					-	3,458.7	2,900.4	558.3	19.3%
Total Local Assistance Grants	5,058.2	5,898.2	7,829.0	6,388.8	7,120.6	9,855.0	7,386.5	8,875.4	9,745.9					-	64,287.5	60,181.1	4,106.4	7.0%
Departmental Operations:																		
Personal Services	450.6	456.9	785.3	473.5	439.9	666.1	433.7	559.4	1,125.3					-	5,390.7	6,074.2	(683.5)	-11.3%
Non-Personal Services	382.5	339.6	681.9	382.4	478.7	440.3	444.6	479.5	458.9					-	4,088.4	3,906.6	178.8	4.6%
General State Charges	86.2	90.4	233.0	226.6	106.1	120.0	90.1	185.1	621.5					-	1,758.0	1,162.3	595.7	51.3%
Debt Service, including Payments on	-	-	-	-	-	-	-	-	-					-	42.3	102.2	(59.9)	-58.6%
Financing Activities	-	-	42.3	-	-	-	-	-	-					-	-	2.3	(2.3)	-100.0%
Capital Projects	-	-	-	-	-	-	-	-	-					-	-	-	-	-
Total Disbursements	5,044.5	6,886.1	9,562.5	7,481.3	8,145.3	10,891.4	8,314.6	7,899.4	10,951.6					-	76,446.9	71,430.7	4,716.2	6.6%
Excess (Deficiency) of Receipts over Disbursements	2,863.0	12,857.4	(52.3)	(76.9)	(611.7)	(1,896.6)	586.8	(426.9)	1,485.5					-	15,564.3	4,088.9	11,475.4	286.6%
OTHER FINANCING SOURCES (USES):																		
Transfers from Other Funds	263.5	151.0	908.3	593.2	109.4	183.0	119.8	205.5	155.7					(489.1)	2,200.3	1,844.3	356.0	19.3%
Transfers to Other Funds	(165.9)	(135.6)	(242.7)	(351.3)	(78.7)	(199.8)	(176.8)	(83.0)	(395.7)					489.1	(1,200.2)	(1,466.7)	(236.5)	-16.1%
Total Other Financing Sources (Uses)	97.6	15.4	665.6	241.9	30.7	(16.8)	(56.0)	122.5	(139.0)					-	970.1	377.6	592.5	156.0%
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	2,960.6	12,872.8	(618.3)	(165.0)	(581.0)	(1,913.4)	530.0	(304.4)	1,355.5					-	16,534.4	4,466.5	12,067.9	270.2%
Ending Fund Balance	\$ 13,679.9	\$ 26,562.7	\$ 27,116.0	\$ 27,281.0	\$ 26,700.0	\$ 25,822.6	\$ 26,152.6	\$ 25,848.2	\$ 27,203.7					-	\$ 27,283.7	\$ 10,775.6	\$ 16,425.1	152.4%

(1) Intra-Fund transfer eliminations represent transfers between Special Revenue-State and Federal Funds.
(2) November and December Mobile Sports collections represent one-time license fees paid by Mobile Sports Wagering vendors. November Mobile Sports collections temporarily deposited to Lottery were released in December.

STATE OF NEW YORK
SPECIAL REVENUE FUNDS - STATE
STATEMENT OF CASH FLOW
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EXHIBIT G

	9 Months Ended December 31												% Increase/ Decrease
	2021 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2022 JANUARY	FEBRUARY	MARCH	
Beginning Fund Balance	\$ 5,708.6	\$ 6,325.3	\$ 6,294.9	\$ 7,392.8	\$ 8,049.4	\$ 8,108.3	\$ 6,498.4	\$ 6,808.5	\$ 6,491.9	2021 \$ 5,708.6	2020 \$ 5,400.7	\$ 307.9	5.7%
RECEIPTS:													
Personal Income Tax	-	-	-	-	-	-	-	-	-	16.1	36.6	(20.5)	-56.0%
Consumption/Use Taxes:													
Alcohol	109.2	80.5	101.6	86.9	83.3	109.0	86.3	89.6	111.6	859.0	688.6	189.4	28.3%
Auto Rental	(0.2)	-	5.1	-	-	7.7	-	(0.1)	6.2	18.7	8.7	10.0	114.9%
Cigarette/Tobacco Products	69.7	51.8	64.9	59.8	62.3	56.1	60.7	55.1	56.7	537.1	556.3	(19.2)	-3.5%
Cannabis	1.5	1.1	1.0	0.9	1.1	1.2	1.0	1.0	1.2	10.0	6.2	3.8	61.3%
Motor Fuel	7.2	8.6	9.9	9.9	9.7	10.2	9.5	6.5	10.1	11.3	69.9	11.3	16.2%
Alcoholic Beverage	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Highway Use	0.1	-	-	0.1	-	0.1	0.2	0.1	0.2	1.5	0.4	1.1	21.4%
Vapor Products	0.2	-	6.6	0.2	-	7.8	-	0.1	7.7	22.6	25.5	(2.9)	-11.4%
Total Consumption/Use Taxes	187.7	142.0	188.2	157.4	156.4	191.9	157.8	152.9	193.8	1,529.1	1,335.8	193.3	14.5%
Business Taxes													
Corporation Franchise	154.6	39.8	264.3	60.4	8.6	280.7	43.5	27.1	247.1	1,106.1	691.5	414.6	60.0%
Corporation and Utilities	19.3	0.5	18.1	(7.7)	(0.1)	18.9	(0.7)	0.1	20.7	158.0	84.9	(15.8)	-18.6%
Insurance	(8.9)	2.5	44.9	2.5	4.8	50.1	8.7	3.4	50.0	137.1	23.9	23.9	15.2%
Real Estate	30.7	39.8	37.0	43.7	42.2	33.8	40.7	40.1	34.0	161.9	161.9	-	0.0%
Petroleum Business	30.7	39.8	40.6	43.7	42.2	33.8	40.7	40.1	34.0	346.0	318.8	27.2	8.5%
Total Business Taxes	188.9	79.6	371.5	38.9	55.5	358.9	92.7	71.0	351.9	1,678.9	1,250.9	428.0	34.2%
Total Taxes	386.6	221.6	560.7	256.3	211.9	550.8	251.7	224.7	558.8	3,224.1	2,623.1	601.0	22.9%
Miscellaneous Receipts:													
Abandoned Property	0.9	1.0	0.8	0.9	0.9	1.2	1.0	1.3	1.0	9.0	8.6	0.4	4.7%
Assessments:													
Business	63.7	5.1	61.3	93.5	20.1	29.7	92.2	3.8	170.2	539.6	501.3	38.3	7.6%
Medical Care	482.7	530.1	540.7	526.3	530.0	527.7	514.7	525.1	551.6	4,728.9	4,441.7	287.2	6.5%
Public Utilities	1.5	-	0.7	-	0.1	44.4	(0.7)	(12.2)	0.8	34.6	39.7	(5.1)	-12.8%
Other	-	-	-	-	-	0.1	-	0.3	(0.3)	0.1	-	0.1	100.0%
Fees, Charges and Permits:													
Audit Fees	-	-	-	0.7	1.5	0.1	-	-	0.2	2.5	2.2	0.3	13.6%
Business/Professional	38.6	26.7	91.1	38.6	52.5	95.6	38.7	50.0	82.0	513.8	537.1	(23.3)	-4.3%
Civil	5.1	5.4	5.0	4.0	6.5	5.7	5.8	4.8	6.0	48.3	34.6	13.7	39.6%
Criminal	0.9	0.2	0.8	0.2	0.4	0.1	0.3	0.4	-	0.1	2.9	0.4	13.8%
Motor Vehicle	29.6	27.8	24.3	16.9	29.6	41.9	14.3	31.9	21.3	237.6	203.2	34.4	16.9%
Recreational/Consumer	65.7	49.9	83.0	56.5	89.9	143.1	72.9	124.2	55.4	740.3	488.1	252.2	51.7%
Fines, Penalties and Forfeitures	6.1	8.7	11.9	8.7	11.0	6.0	12.3	9.5	5.2	76.9	106.9	(30.4)	-28.4%
Gaming	-	-	-	-	-	-	-	-	-	-	-	-	-
Casino	34.2	14.2	17.1	35.7	15.2	19.3	35.5	10.2	16.9	198.3	82.4	115.9	140.7%
Lottery(*)	199.5	213.5	238.9	181.9	186.8	240.9	192.4	203.2	225.4	1,882.5	1,625.2	257.3	15.8%
Mobile Sports(*)	-	-	-	82.4	81.5	99.2	76.9	76.5	87.7	200.0	-	200.0	100.0%
Video Lottery	75.9	77.9	101.9	62.4	81.5	99.2	76.9	76.5	87.7	759.9	205.8	554.1	268.2%
Interest Earnings	3.5	3.2	3.1	3.2	3.2	2.8	2.9	3.5	2.7	28.1	48.5	(20.4)	-42.1%
Rental Receipts	6.7	1.9	4.9	3.2	1.4	5.1	3.4	1.2	6.0	163.6	35.3	(1.5)	-4.2%
Receipts from Public Authorities:													
Bond Proceeds	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Cost Recovery Assessments	-	-	-	5.9	-	-	8.9	2.7	2.8	20.3	8.9	11.4	126.1%
Insurance Fees	2.7	2.3	1.7	0.5	0.3	-	-	-	-	7.2	7.2	-	0.0%
Non Bond Related	0.2	-	1.7	4.6	0.3	4.3	0.8	8.6	2.1	20.9	15.6	5.3	34.0%
Rentals	41.8	1.9	9.0	2.1	16.4	84.9	77.2	24.8	19.5	277.6	71.4	206.2	288.8%
Revenues of State Departments:													
Asset Recoveries	45.0	8.9	20.8	8.4	8.6	8.3	8.3	8.2	43.3	159.8	110.9	48.9	44.1%
Commissions	0.5	0.6	0.6	0.6	0.4	0.4	0.7	0.9	14.4	18.1	17.4	1.7	9.8%
Commissions - Asset Conversion	-	-	-	-	-	-	-	-	178.0	68.0	68.0	110.0	161.8%
Gifts, Grants and Donations	0.7	0.8	0.5	0.3	0.2	1.7	0.1	0.2	0.3	4.8	33.1	(28.3)	-85.5%
Indirect Cost Recoveries	-	-	-	-	-	0.1	0.1	4.5	-	4.6	-	4.6	100.0%
Patient/Client Care Reimbursement	214.3	178.7	221.1	204.1	195.8	204.2	210.0	218.1	214.9	1,862.2	2,312.4	(450.2)	-19.5%
Rental Receipts	4.2	9.8	7.2	0.6	1.2	3.9	1.2	2.4	3.4	38.3	40.5	(2.2)	-5.5%
Rental Receipts - Settlements	2.4	5.6	1.3	1.9	1.4	1.2	1.1	5.0	1.5	21.4	42.1	(20.7)	-47.1%
Student Loans	64.6	37.1	57.4	59.9	51.4	47.6	36.7	42.6	48.5	445.8	263.8	182.0	69.0%
All Other	2.2	0.5	1.7	1.2	0.9	3.0	8.5	2.0	1.3	21.3	6.4	14.9	232.8%
Sales	(75.7)	41.6	51.3	55.6	204.0	243.3	125.1	31.3	2.7	690.2	752.6	(72.4)	-9.6%
Tuition	1,319.2	1,253.6	1,565.3	1,491.7	1,572.1	1,737.5	1,565.9	1,596.1	1,515.3	13,842.7	12,165.8	1,676.9	13.8%
Total Miscellaneous Receipts	-	-	-	-	0.1	-	34.4	-	9.0	43.5	7.2	36.3	504.2%
Federal Receipts													
Total Receipts	1,705.8	1,475.2	2,126.0	1,658.0	1,724.1	2,424.3	1,852.0	1,780.8	2,384.1	17,110.3	14,796.1	2,314.2	15.6%

STATE OF NEW YORK
SPECIAL REVENUE FUNDS - STATE
STATEMENT OF CASH FLOW
FISCAL YEAR 2021-2022
(amounts in millions)

EXHIBIT G

	2021 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2022 JANUARY	FEBRUARY	MARCH	9 Months Ended December 31 2021	2020	\$ Increase/ (Decrease)	% Increase/ Decrease
DISBURSEMENTS:																
Local Assistance Grants:																
Community Development	0.9	(2.9)	328.0	0.1	0.2	2,353.3	118.2	114.4	127.4				3,039.5	3,094.4	(54.9)	-1.8%
Environment and Recreation	0.1	0.2	0.2	0.5	0.7	0.2	-	0.2	0.5				2.5	18	0.7	38.9%
General Government	10.1	18.0	5.7	16.7	25.1	9.9	2.1	36.4	14.7				138.7	111.6	27.1	24.3%
Public Health:																
Medicaid	386.9	461.4	421.9	441.1	463.5	472.2	496.0	642.4	427.1				4,212.5	4,170.7	41.8	1.0%
Other Public Health	37.3	47.2	187.0	55.8	130.7	118.0	76.2	85.8	131.7				889.7	614.5	255.2	41.5%
Public Safety	18.2	37.0	107.0	101.5	22.9	111.5	11.5	36.9	11.5				1,011.3	1,083.0	(71.7)	-6.6%
Public Welfare	0.2	0.2	0.5	11.5	22.9	0.2	0.2	30.9	0.4				198.7	189.3	9.5	5.0%
Support and Regulate Business	0.3	2.2	1.4	1.4	2.1	14.8	2.3	1.0	19.1				44.6	37.6	7.0	18.6%
Transportation	199.0	407.7	283.3	299.8	409.8	271.8	283.2	512.8	763.5				3,430.9	2,852.6	578.3	20.3%
Total Local Assistance Grants	654.1	954.0	1,258.1	826.8	1,055.2	3,261.6	996.0	1,429.3	1,504.5				11,938.6	10,983.2	948.4	8.6%
Departmental Operations:																
Personal Service	389.6	408.0	327.6	409.4	387.4	595.4	378.0	470.2	418.8				3,783.4	3,833.0	(38.6)	-1.0%
Public Safety	225.6	233.6	235.6	235.6	268.6	283.6	283.6	283.6	283.6				2,836.7	1,653.0	1,183.7	71.6%
General State Charges	59.8	63.4	78.6	118.0	66.0	88.9	62.8	134.4	184.9				885.8	678.2	207.6	30.6%
Capital Projects	-	-	-	-	-	-	-	-	-				-	-	-	0.0%
Total Disbursements	1,339.1	1,657.3	1,879.1	1,585.5	1,772.2	4,181.2	1,645.9	2,286.1	2,330.4				18,676.8	17,355.2	1,321.6	7.6%
Excess (Deficiency) of Receipts over Disbursements	366.7	(182.1)	246.9	72.5	(48.1)	(1,356.9)	206.1	(525.3)	53.7				(1,586.5)	(2,559.1)	992.6	38.8%
OTHER FINANCING SOURCES (USES):																
Transfers from Other Funds	283.5	151.0	908.3	593.2	109.4	183.0	119.8	205.5	155.7				2,689.4	2,394.8	294.6	12.3%
Transfers to Other Funds	(9.5)	(3.3)	(57.3)	(9.1)	(2.4)	(36.0)	(15.6)	3.2	(75.7)				(205.9)	(233.1)	(27.2)	-11.7%
Total Other Financing Sources (Uses)	254.0	147.7	851.0	584.1	107.0	147.0	104.0	208.7	80.0				2,483.5	2,161.7	321.8	14.9%
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	620.7	(34.4)	1,097.9	656.6	58.9	(1,609.9)	310.1	(316.6)	133.7				917.0	(387.4)	1,314.4	338.7%
Ending Fund Balance	\$ 6,329.3	\$ 6,294.9	\$ 7,392.8	\$ 8,049.4	\$ 8,108.3	\$ 6,498.4	\$ 6,808.5	\$ 6,491.9	\$ 6,625.6	\$ -	\$ -	\$ -	\$ 6,625.6	\$ 5,003.3	\$ 1,622.3	32.4%

(*) November and December Mobile Sports collections represent one-time license fees paid by Mobile Sports Wagering vendors. November Mobile Sports collections temporarily deposited to Lottery were released in December.

EXHIBIT G

STATE OF NEW YORK
SPECIAL REVENUE FUNDS - FEDERAL
STATEMENT OF CASH FLOW
FISCAL YEAR 2021-2022
(amounts in millions)

	2021				2022							9 Months Ended December 31		% Increase/ Decrease			
	APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	JANUARY	FEBRUARY	MARCH	\$ Increase/ (Decrease)				
													2021		2020		
Beginning Fund Balance	\$ 4,960.7	\$ 7,300.6	\$ 20,207.8	\$ 19,723.2	\$ 19,231.6	\$ 18,591.7	\$ 19,124.2	\$ 19,344.1	\$ 19,356.3				\$ 4,960.7	\$ 911.4	\$ 4,049.3	-444.3%	
RECEIPTS:																	
Miscellaneous Receipts:																	
Abandoned Property	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Abandoned Property Assessments:																	
Business:	5.5	35.6	0.1	2.0	11.0	0.1	1.3	7.8	-	61.4	-	-	63.4	61.4	2.0	-	3.3%
Medical Care	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Public Utilities	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Other	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Fees, Licenses and Permits:																	
Business/Professional	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Civil	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Criminal	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Motor Vehicle	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Recreational/Consumer	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Fines, Penalties and Forfeitures	0.4	0.4	0.3	0.3	0.3	0.4	0.5	0.6	0.5	-	-	-	3.7	2.0	1.7	-	85.0%
Interest Earnings	0.6	0.5	0.7	0.8	0.9	0.8	0.8	1.0	1.0	-	-	-	7.1	13.5	(6.4)	-	-47.4%
Receipts from Municipalities	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Receipts from Public Authorities:																	
Bond Proceeds	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Cost Recovery Assessments	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Insurance Recoveries	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Non Bond Related	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Rentals	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Revenues of State Departments:																	
Administrative Recoveries	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Commissions	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Gifts, Grants and Donations	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Indirect Cost Recoveries	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Patent and Client Care Reimbursement	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Refunds and Settlements	6.6	7.4	7.0	7.1	6.8	7.0	7.0	6.7	7.6	-	-	-	63.2	69.3	(6.1)	-	-8.8%
Resolutions and Settlements	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Student Loans	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
All Other	0.2	1.5	1.1	0.5	2.0	0.1	0.9	0.1	-	-	-	-	6.4	2.6	3.8	-	146.2%
Sales	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Tuition	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Total Miscellaneous Receipts	13.3	45.4	9.2	10.7	21.0	8.4	10.5	16.2	9.1	-	-	-	143.8	148.8	(5.0)	-	-3.4%
Federal Receipts	7,159.4	18,222.9	7,375.0	5,735.7	5,788.5	7,398.1	7,039.1	5,695.5	10,043.9	-	-	-	74,457.1	60,574.7	13,882.4	-	22.9%
Total Receipts	7,171.7	18,268.3	7,384.2	5,746.4	5,809.5	7,406.5	7,049.6	5,711.7	10,053.0	-	-	-	74,600.2	60,723.5	13,877.4	-	22.9%

EXHIBIT G

STATE OF NEW YORK
SPECIAL REVENUE FUNDS - FEDERAL
STATEMENT OF CASH FLOW
FISCAL YEAR 2021-2022
(amounts in millions)

	9 Months Ended December 31												% Increase/ Decrease
	2021 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2022 JANUARY	FEBRUARY	MARCH	
DISBURSEMENTS:													
Local Assistance Grants:													
Education	272.8	481.1	867.4	394.0	684.4	351.2	644.4	401.8	799.1	4,886.2	2,151.1	2,735.1	127.1%
Environment and Recreation	0.3	0.1	-	0.1	0.1	-	-	0.9	0.1	0.9	0.5	0.4	80.0%
General Government	11.2	21.9	10.0	438.5	10.7	16.1	1.7	5.1	22.3	537.5	4,218.0	(3,680.5)	-87.3%
Public Health:													
Health	3,371.2	3,740.5	4,328.8	3,282.3	3,880.7	3,710.6	4,280.6	3,674.7	4,693.6	35,023.0	33,963.7	1,059.3	3.1%
Other Public Health	521.6	593.7	726.7	652.2	827.4	746.3	584.0	528.6	792.4	5,868.9	4,825.0	861.9	17.9%
Public Safety	103.9	88.0	128.4	197.2	62.4	184.0	138.3	60.5	192.9	1,155.6	1,445.7	(290.1)	-20.1%
Public Welfare	158.5	200.4	496.1	632.1	690.8	1,389.4	716.9	569.3	737.7	5,591.2	2,529.9	3,061.3	121.0%
Support and Regulate Business	0.2	-	0.4	2.2	3.2	0.1	0.7	2.0	6.2	37.6	8.8	2.6	41.9%
Transportation	2.4	3.5	4.1	3.4	5.7	5.7	3.8	5.9	3.3	47.8	47.8	(10.0)	-20.9%
Total Local Assistance Grants	4,442.1	5,045.2	6,561.9	5,572.0	6,065.4	6,403.4	6,350.4	5,246.1	7,241.4	52,977.9	49,187.9	3,790.0	7.6%
Departmental Operations:													
Personal Services	51.0	50.9	457.7	84.1	52.5	70.7	54.7	88.2	709.5	1,597.3	2,241.2	(643.9)	-29.7%
Nonpersonal Services	159.9	105.7	465.1	151.1	215.1	205.0	238.5	227.3	238.7	1,999.2	2,053.3	(48.9)	-2.9%
General State Charges	25.4	27.0	156.4	108.6	40.1	31.1	27.3	50.7	436.6	803.2	483.6	419.8	86.8%
Diet Service, Including Payments on	-	-	-	-	-	-	-	-	-	42.3	102.2	(59.9)	-56.6%
Financing Agreements	-	-	42.3	-	-	-	-	-	-	-	2.3	(2.3)	-100.0%
Capital Projects	-	-	-	-	-	-	-	-	-	-	-	-	-
Total Disbursements	4,675.4	5,228.8	7,683.4	5,895.8	6,373.1	6,710.2	6,688.9	5,613.3	8,621.2	57,470.1	54,075.5	3,394.6	6.3%
Excess (Deficiency) of Receipts over Disbursements	2,498.3	13,039.5	(289.2)	(149.4)	(583.6)	696.3	380.7	98.4	1,431.8	17,139.8	6,648.0	10,482.8	157.7%
OTHER FINANCING SOURCES (USES):													
Transfers from Other Funds	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Transfers to Other Funds	(156.4)	(132.3)	(185.4)	(342.2)	(76.3)	(163.9)	(160.8)	(86.2)	(210.0)	(1,513.4)	(1,784.1)	(270.7)	-15.2%
Total Other Financing Sources (Uses)	(156.4)	(132.3)	(185.4)	(342.2)	(76.3)	(163.9)	(160.8)	(86.2)	(210.0)	(1,513.4)	(1,784.1)	(270.7)	-15.2%
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	2,339.9	12,907.2	(484.6)	(491.6)	(639.9)	532.5	219.9	12.2	1,221.8	15,617.4	4,863.9	10,753.5	221.1%
Ending Fund Balance	\$ 7,300.6	\$ 20,207.8	\$ 19,723.2	\$ 19,231.6	\$ 18,591.7	\$ 19,124.2	\$ 19,344.1	\$ 19,356.3	\$ 20,578.1	\$ 20,578.1	\$ 5,775.3	\$ 14,802.8	256.3%

STATE OF NEW YORK
DEBT SERVICE FUNDS
STATEMENT OF CASH FLOW
FISCAL YEAR 2021-2022
(amounts in millions)

EXHIBIT H

	9 Months Ended December 31												
	2021	2022										\$ Increase/ (Decrease)	% Increase/ Decrease
	APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	JANUARY	FEBRUARY	MARCH	
	\$ 65.0	\$ 206.5	\$ 302.9	\$ 237.7	\$ 546.1	\$ 982.1	\$ 184.2	\$ 314.6	\$ 501.2				
Beginning Fund Balance													
RECEIPTS:													
Taxes:													
Personal Income Tax	3,262.9	4,916.5	2,910.3	1,682.3	1,900.7	3,224.9	1,688.2	1,790.5	3,143.2				
Consumption/Use Taxes:													
Sales and Use	895.4	885.3	1,207.1	971.5	938.1	1,222.7	942.8	969.0	1,232.4				
Total Consumption/Use Taxes	895.4	885.3	1,207.1	971.5	938.1	1,222.7	942.8	969.0	1,232.4				
Business Taxes:													
Pass-Through Entity	-	-	-	-	-	-	-	-	5,081.7				
Total Business Taxes	-	-	-	-	-	-	-	-	5,081.7				
Other Taxes:													
Real Estate Transfer	97.4	110.0	115.1	132.0	140.0	133.3	132.1	119.4	134.8				
Employer Compensation Expense Tax	0.1	0.1	0.1	0.2	0.2	0.1	0.2	0.1	2.5				
Total Other Taxes	97.5	110.1	115.2	132.2	140.2	133.4	132.3	119.5	137.3				
Total Taxes	4,255.8	5,911.9	4,232.6	2,785.0	2,979.0	4,581.0	2,763.3	2,879.0	9,594.6				
Miscellaneous Receipts:													
Assessments:													
All Federal Care	-	-	-	-	-	-	-	-	-				0.0%
Fees, Licenses and Permits:													0.0%
Alcohol Beverage Control Licensing	-	-	-	-	-	-	-	-	-				0.0%
Business/Professional	-	-	-	-	-	-	-	-	-				0.0%
Civil	-	-	-	-	-	-	-	-	-				0.0%
Criminal	-	-	-	-	-	-	-	-	-				0.0%
Motor Vehicle	-	-	-	-	-	-	-	-	-				0.0%
Recreational/Consumer	-	-	-	-	-	-	-	-	-				0.0%
Interest Earnings	-	-	-	-	-	-	-	-	-				-100.0%
Receipts from Municipalities	-	0.3	-	0.1	-	1.7	-	0.3	0.1				4.2%
Receipts from Public Authorities:													
Bond Proceeds	-	-	-	-	-	-	-	-	-				-100.0%
Rentals	-	-	-	-	-	-	-	-	-				0.0%
Revenues of State Departments:													
Patient/Client Care Reimbursement	43.2	38.8	47.8	34.6	14.1	41.4	20.4	66.1	9.2				-2.1%
All Other	-	-	-	-	0.1	-	-	-	0.1				100.0%
Sales	-	-	-	-	-	-	-	-	-				0.0%
Total Miscellaneous Receipts	43.2	39.1	47.8	34.7	14.2	43.1	20.4	66.4	9.3				-2.3%
Federal Receipts	-	-	-	1.5	28.7	-	-	-	1.5				-14.1%
Total Receipts	4,299.0	5,951.0	4,280.4	2,822.2	3,021.9	4,624.1	2,783.7	2,945.4	9,605.4				66.4%
DISBURSEMENTS:													
Departmental Operations:													
Debt Service	0.2	2.2	(25.8)	14.7	7.3	2.2	0.1	2.2	0.3				-85.8%
Debt Service, Including Payments on													
Financing Agreements	122.4	40.5	(21.8)	7.2	307.7	742.2	9.2	26.0	209.2				-44.1%
Total Disbursements	122.6	42.7	(47.6)	21.9	315.0	744.4	9.3	28.2	209.5				-44.4%
Excess (Deficiency) of Receipts over Disbursements	4,176.4	5,908.3	4,328.0	2,800.3	2,706.9	3,879.7	2,774.4	2,917.2	9,395.9				79.7%
OTHER FINANCING SOURCES (USES):													
Transfers from Other Funds	295.9	5.0	132.8	185.5	51.6	94.6	197.5	60.5	157.6				-26.1%
Transfers to Other Funds	(4,330.8)	(5,817.9)	(4,527.0)	(2,677.4)	(2,322.9)	(4,772.2)	(2,841.5)	(2,791.1)	(9,711.5)				100.7%
Total Other Financing Sources (Uses)	(4,034.9)	(5,811.9)	(4,394.2)	(2,491.9)	(2,270.9)	(4,677.6)	(2,644.0)	(2,730.6)	(9,553.9)				-11.8%
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	141.5	98.4	(65.2)	308.4	436.0	(797.9)	130.4	186.6	(188.0)				-91.8%
Ending Fund Balance	\$ 206.5	\$ 302.9	\$ 237.7	\$ 546.1	\$ 982.1	\$ 184.2	\$ 314.6	\$ 501.2	\$ 343.2	\$ -	\$ -	\$ -	-90.1%

EXHIBIT I

STATE OF NEW YORK
CAPITAL PROJECTS FUNDS - COMBINED
STATEMENT OF CASH FLOW
FISCAL YEAR 2021-2022
(amounts in millions)

Beginning Fund Balance	2021 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2022 JANUARY	FEBRUARY	MARCH	Intra-Fund Transfer Eliminations (*)	2021	2020	9 Months Ended December 31 \$ Increase/ (Decrease)	% Increase/ Decrease
	\$ (1,144.0)	\$ (1,121.8)	\$ (1,346.9)	\$ (1,361.7)	\$ (1,706.2)	\$ (1,786.6)	\$ (2,189.7)	\$ (1,555.0)	\$ (1,565.8)					\$ (1,144.0)	\$ (1,034.9)	\$ (109.1)	-10.5%
RECEIPTS:																	
Taxes:																	
Consumption/Use Taxes:																	
Auto Rental	2.1	-	17.5	0.1	(0.1)	26.3	-	0.1	22.3	-	-	-	-	66.3	41.8	26.5	63.4%
Motor Fuel	26.9	31.7	36.7	35.0	36.4	37.6	34.7	23.4	37.5	-	-	-	-	298.9	258.8	41.1	15.9%
Highway Use	14.5	10.5	11.6	12.1	13.3	10.6	12.4	12.9	12.6	-	-	-	-	110.5	102.7	7.8	7.6%
Total Consumption/Use Taxes	43.5	42.2	65.8	47.2	49.8	74.5	47.1	36.4	72.4	-	-	-	-	478.7	403.3	75.4	18.7%
Business Taxes:																	
Corporation Franchise	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Corporation and Utilities	3.1	-	1.4	(1.5)	(0.1)	1.2	0.2	-	1.6	-	-	-	-	5.9	8.1	(3.2)	-27.0%
Petroleum	38.2	50.4	52.0	55.3	50.1	43.7	51.8	51.5	43.9	-	-	-	-	440.4	401.8	38.6	9.6%
Total Business Taxes	41.3	50.4	53.4	53.8	53.3	44.9	52.0	51.5	45.5	-	-	-	-	446.3	409.9	36.4	8.9%
Other Taxes:																	
Real Estate Transfer	-	-	11.9	11.9	11.9	12.0	11.9	11.9	11.9	-	-	-	-	83.4	83.4	-	0.0%
Total Other Taxes	-	-	11.9	11.9	11.9	12.0	11.9	11.9	11.9	-	-	-	-	83.4	83.4	-	0.0%
Total Taxes	84.8	92.6	131.1	112.9	115.0	131.4	111.0	99.8	129.8	-	-	-	-	1,008.4	896.6	111.8	12.5%
Miscellaneous Receipts:																	
Abandoned Property:																	
Bottle Bill	-	-	23.0	-	-	-	-	-	-	-	-	-	-	23.0	23.0	-	0.0%
Assessments:																	
Businesses	10.0	7.6	6.0	6.4	6.1	6.0	6.4	6.0	6.2	-	-	-	-	60.7	59.7	1.0	1.7%
Fees, Licenses and Permits:																	
Business/Professional	1.3	1.7	2.1	1.4	8.5	7.5	3.3	1.7	1.9	-	-	-	-	29.4	24.6	4.8	19.5%
Civil	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Motor Vehicle	79.2	69.1	60.6	66.0	59.0	59.5	55.3	52.1	52.1	-	-	-	-	552.9	490.5	62.4	12.7%
Recreational/Consumer	1.5	2.9	1.5	0.4	0.4	10.2	5.0	2.1	3.7	-	-	-	-	27.7	20.1	7.6	37.8%
Fines, Penalties and Forfeitures	2.5	0.8	2.8	2.0	3.1	1.4	2.7	1.4	2.5	-	-	-	-	19.2	16.9	2.3	13.6%
Interest Earnings	-	0.1	-	-	-	-	-	-	-	-	-	-	-	0.2	1.7	(1.5)	-86.2%
Receipts from Municipalities	-	-	-	-	0.1	-	-	-	-	-	-	-	-	0.1	0.5	(0.4)	-80.0%
Receipts from Public Authorities:																	
Bond Proceeds	78.4	0.5	3.0	237.0	27.6	328.1	979.2	0.6	583.0	-	-	-	-	2,237.4	4,049.3	(1,811.9)	-44.7%
Insurance Fees	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Net Bond Related	0.5	2.5	0.9	-	-	-	1.0	-	5.4	-	-	-	-	10.2	2.1	8.1	385.3%
Rentals	3.3	0.8	1.4	1.6	1.2	1.5	1.9	3.2	5.0	-	-	-	-	19.9	13.5	6.4	47.4%
Revenues of State Departments:																	
Administrative Recoveries	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Gifts, Grants and Donations	1.8	1.3	2.9	0.4	-	1.2	5.3	0.4	3.1	-	-	-	-	16.4	12.3	4.1	33.3%
Indirect Cost Recoveries	-	-	-	-	-	12.7	5.1	17.0	5.7	-	-	-	-	40.5	-	40.5	100.0%
Rebates	-	-	-	0.1	(0.1)	-	0.1	-	-	-	-	-	-	0.1	-	0.1	100.0%
Restitution and Settlements	0.3	1.3	1.6	5.9	1.9	4.1	1.1	7.9	1.0	-	-	-	-	25.1	10.2	14.9	146.1%
All Other	2.4	1.9	11.7	1.0	6.5	4.4	1.2	2.1	1.3	-	-	-	-	32.5	35.5	(3.0)	-8.5%
Sales	0.1	-	-	-	0.1	0.1	6.3	-	-	-	-	-	-	6.6	0.2	6.4	3,200.0%
Total Miscellaneous Receipts	181.3	90.5	417.4	322.2	114.5	438.7	1,073.9	94.5	670.9	-	-	-	-	3,101.9	4,760.1	(1,658.2)	-34.8%
Federal Receipts	5.6	23.3	110.1	143.2	169.4	139.9	129.3	314.2	351.3	-	-	-	-	1,385.3	1,547.1	(161.8)	-10.4%
Total Receipts	271.7	206.4	358.6	578.3	398.9	708.0	1,314.2	506.5	1,152.0	-	-	-	-	5,496.6	7,203.8	(1,707.2)	-23.7%

EXHIBIT I

STATE OF NEW YORK
CAPITAL PROJECTS FUNDS - COMBINED
STATEMENT OF CASH FLOW
FISCAL YEAR 2021-2022
(amounts in millions)

	2021 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2022 JANUARY	FEBRUARY	MARCH	Intra-Fund Transfer Eliminations (*)	9 Months Ended December 31			% Increase/ Decrease
														2021	2020	\$ Increase/ (Decrease)	
DISBURSEMENTS:																	
Local Assistance Grants:																	
Education	13.5	25.0	45.5	13.0	10.4	8.3	15.2	0.9	1.3				-	133.1	90.4	42.7	47.2%
Environment and Recreation	28.0	7.6	15.7	15.3	31.8	15.6	15.9	11.0	138.7				-	280.6	107.2	173.4	161.8%
General Government	12.7	41.7	37.9	22.2	30.8	59.9	28.2	35.8	111.7				-	380.9	403.7	(22.8)	-5.6%
Public Health:																	
Medicaid	-	-	-	-	-	-	-	-	-				-	-	-	-	0.0%
Other Public Health	45.1	29.7	80.9	41.7	35.0	38.8	41.5	62.2	65.0				-	437.9	398.5	41.4	10.4%
Public Safety	0.4	1.8	28.3	5.4	2.2	0.7	14.2	2.6	33.3				-	88.9	42.1	46.8	111.2%
Public Welfare	14.2	55.6	145.6	15.6	11.5	39.4	3.6	20.7	14.9				-	374.4	174.4	200.0	114.7%
Statewide Programs	21.2	54.3	143.2	39.5	33.5	104.8	39.5	24.9	70.7				-	543.3	394.9	148.4	37.6%
Statewide Regulate Business	181.7	37.7	293.0	287.1	213.5	346.2	188.1	213.1	500.2				-	2,228.6	1,938.4	290.2	15.0%
Transportation	331.8	253.6	748.1	458.8	369.3	811.7	380.9	374.2	1,070.7				-	4,807.2	3,842.6	964.6	25.1%
Total Local Assistance Grants																	
Departmental Operations:																	
Personal Service	-	-	-	-	-	-	-	-	-				-	-	-	-	0.0%
Non-Personal Service	-	-	-	-	-	-	-	-	-				-	-	-	-	0.0%
General State Charges	-	-	-	-	-	-	-	-	-				-	-	-	-	0.0%
Capital Projects	398.2	514.1	631.4	554.2	699.6	710.1	601.5	744.7	648.8				-	5,503.6	5,385.0	118.6	2.2%
Total Disbursements	730.0	767.7	1,380.5	1,013.0	1,069.0	1,321.8	992.4	1,115.9	1,720.5					10,110.8	9,227.6	883.2	9.6%
Excess (Deficiency) of Receipts over Disbursements	(458.3)	(561.3)	(1,021.9)	(434.7)	(670.1)	(813.8)	321.8	(807.4)	(568.5)					(4,614.2)	(2,023.8)	(2,580.4)	-128.0%
OTHER FINANCING SOURCES (USES):																	
Bond and Note Proceeds (net)	-	-	-	-	-	-	-	-	-				-	-	-	-	0.0%
Transfers from Other Funds	488.9	344.3	830.9	298.9	566.6	434.1	320.4	608.3	516.5				-	4,428.9	2,216.9	2,212.0	99.8%
Transfers to Other Funds	(8.4)	(8.1)	(23.8)	(8.7)	(8.9)	(211.4)	(10.5)	(9.7)	(44.5)				-	(334.0)	(352.9)	(18.9)	-5.4%
Total Other Financing Sources (Uses)	480.5	336.2	807.1	290.2	577.7	222.7	309.9	598.6	472.0					4,094.9	1,864.0	2,230.9	119.7%
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	22.2	(225.1)	(214.8)	(144.5)	(82.4)	(391.1)	631.7	(8.9)	(95.5)					(519.3)	(159.8)	(359.5)	-225.0%
Ending Fund Balance	\$ (1,121.8)	\$ (1,346.9)	\$ (1,561.7)	\$ (1,706.2)	\$ (1,788.6)	\$ (2,189.7)	\$ (1,558.0)	\$ (1,566.8)	\$ (1,663.3)					\$ (1,663.3)	\$ (1,194.7)	\$ (468.6)	-38.2%

(*) Intra-Fund transfer eliminations represent transfers from Capital Projects-State and Federal Funds.

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EXHIBIT I

STATE OF NEW YORK
CAPITAL PROJECTS FUNDS - STATE
STATEMENT OF CASH FLOW
FISCAL YEAR 2021-2022
(amounts in millions)

	2021 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2022 JANUARY	FEBRUARY	MARCH	2021	2020	9 Months Ended December 31 \$ Increase/ (Decrease)	% Increase/ Decrease
DISBURSEMENTS:																
Local Assistance Grants:																
Education	13.5	25.0	46.5	13.0	10.4	8.3	15.2	0.9	1.3				133.1	90.4	42.7	47.2%
Environment and Recreation	29.0	7.6	15.7	15.3	31.8	15.6	15.9	11.0	32.3				174.2	107.2	67.0	62.5%
General Government	12.7	41.7	37.9	22.2	30.8	59.9	28.2	35.8	111.7				380.9	403.7	(22.8)	-5.6%
Public Health:																
Medicaid	-	29.7	77.2	-	35.0	36.2	41.5	-	-				433.6	394.4	39.2	9.9%
Other Public Health	45.1	1.8	7.1	5.4	2.2	0.7	3.5	2.6	4.3				28.0	37.7	(9.7)	-25.7%
Public Safety	0.4	55.8	124.6	54.6	12.5	39.4	38.3	20.7	141.8				515.9	471.4	44.5	9.4%
Support and Regulate Business	21.2	54.3	147.2	39.5	33.2	104.8	39.5	24.9	78.7				543.3	394.9	148.4	37.6%
Transportation	175.8	21.0	224.4	215.4	184.2	285.1	185.0	179.6	458.7				1,909.2	1,537.4	371.8	24.2%
Total Local Assistance Grants	325.9	236.9	679.6	407.1	340.1	550.0	347.1	337.7	893.8	-	-	-	4,118.2	3,437.1	681.1	19.8%
Departmental Operations:																
Personal Service	-	-	-	-	-	-	-	-	-				-	-	-	0.0%
Non-Personal Service	-	-	-	-	-	-	-	-	-				-	-	-	0.0%
General State Charges	-	-	407.1	-	-	-	-	-	-				4,264.5	4,455.7	(191.2)	-4.3%
Capital Projects	351.1	-	463.4	418.6	558.4	547.1	414.7	603.2	500.9				-	-	-	-
Total Disbursements	677.0	644.0	1,143.0	825.7	898.5	1,097.1	761.8	940.9	1,394.7	-	-	-	8,392.7	7,892.8	499.9	6.2%
Excess (Deficiency) of Receipts over Disbursements	(410.9)	(460.9)	(894.5)	(388.3)	(666.8)	(514.1)	415.0	(745.8)	(593.9)	-	-	-	(4,260.2)	(2,234.8)	(2,025.4)	-90.6%
OTHER FINANCING SOURCES (USES):																
Bond and Note Proceeds (net)	-	-	-	-	-	-	-	-	-				-	-	-	0.0%
Transfers from Other Funds	488.9	344.3	830.9	298.9	586.6	434.1	320.4	608.3	514.4				4,426.8	2,216.9	2,209.9	99.7%
Transfers to Other Funds	(8.4)	(8.1)	(23.5)	(8.7)	(8.9)	(211.4)	(10.5)	(9.7)	(44.4)				(333.6)	(352.9)	(19.3)	-5.5%
Total Other Financing Sources (Uses)	480.5	336.2	807.4	290.2	577.7	222.7	309.9	598.6	470.0	-	-	-	4,093.2	1,864.0	2,229.2	119.6%
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	69.6	(124.7)	(87.1)	(98.1)	(89.1)	(291.4)	724.9	(147.2)	(123.9)	-	-	-	(167.0)	(370.8)	203.8	55.0%
Ending Fund Balance	\$ (494.1)	\$ (618.8)	\$ (705.9)	\$ (804.0)	\$ (893.1)	\$ (1,184.5)	\$ (459.6)	\$ (606.8)	\$ (730.7)	\$ -	\$ -	\$ -	\$ (730.7)	\$ (843.0)	\$ 112.3	13.3%

STATE OF NEW YORK
CAPITAL PROJECTS FUNDS - FEDERAL
STATEMENT OF CASH FLOW
FISCAL YEAR 2021-2022
(amounts in millions)

EXHIBIT I

	9 Months Ended December 31												% Increase/ Decrease
	2021	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2022 JANUARY	FEBRUARY	MARCH	
	\$ (580.3)	\$ (627.7)	\$ (728.1)	\$ (855.8)	\$ (902.2)	\$ (905.5)	\$ (1,005.2)	\$ (1,098.4)	\$ (960.0)	2021	2020	\$ (Increase) (Decrease)	\$ (17.6)
Beginning Fund Balance													
RECEIPTS:													
Miscellaneous Receipts:													
Abandoned Property:													
Bottle Bill	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Assessments:	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Business:	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Fees, Licenses and Permits:	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Business/Professional	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Civil	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Motor Vehicle	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Recreational/Consumer	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Fines, Penalties and Forfeitures	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Interest Earnings	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Receipts from Municipalities	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Receipts from Public Authorities:	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Bond Proceeds	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Insurance Payouts	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
State Bond Related	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Rentals	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Revenues of State Departments:	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Administrative Recoveries	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Gifts, Grants and Donations	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Indirect Cost Recoveries	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Restitution and Settlements	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
All Other	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Sales	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Total Miscellaneous Receipts	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Federal Receipts	5.6	23.3	110.1	140.9	167.2	125.1	137.4	313.6	351.3	1,374.5	1,545.0	(170.5)	-11.0%
Total Receipts	5.6	23.3	110.1	140.9	167.2	125.0	137.4	313.4	351.2	1,374.5	1,545.8	(171.7)	-11.1%
DISBURSEMENTS:													
Local Assistance Grants:													
Education	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Environment and Recreation	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
General Government	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Public Health:	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Medical	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Other Public Health	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Public Safety	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Public Welfare	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Support and Regulate Business	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Transportation	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Total Local Assistance Grants	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Departmental Operations:													
Personal Service	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Non-Personal Service	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
General State Charges	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Capital Projects	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Total Disbursements	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Excess (Deficiency) of Receipts over Disbursements	5.6	23.3	110.1	140.9	167.2	125.0	137.4	313.4	351.2	1,374.5	1,545.8	(171.7)	-11.1%
OTHER FINANCING SOURCES (USES):													
Transfers from Other Funds	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Transfers to Other Funds	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Total Other Financing Sources (Uses)	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	5.6	23.3	110.1	140.9	167.2	125.0	137.4	313.4	351.2	1,374.5	1,545.8	(171.7)	-11.1%
Ending Fund Balance	5.6	23.3	110.1	140.9	167.2	125.0	137.4	313.4	351.2	1,374.5	1,545.8	(171.7)	-11.1%

EXHIBIT J

STATE OF NEW YORK
ENTERPRISE FUNDS
STATEMENT OF CASH FLOW
FISCAL YEAR 2021-2022
(amounts in millions)

	2021 APRIL	9 Months Ended December 31											% Increase/ Decrease
		MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2022 JANUARY	FEBRUARY	MARCH	
Beginning Fund Balance	\$ 328.0	\$ 330.9	\$ 267.0	\$ 283.6	\$ 287.1	\$ 230.7	\$ 269.1	\$ 293.8	\$ 265.1	\$ 328.0	\$ 25.7	\$ 298.3	1,004.4%
RECEIPTS:													
Miscellaneous Receipts	261.8	200.8	211.8	257.9	195.1	307.7	253.8	196.9	317.6	2,203.4	46.4	2,155.0	4,452.5%
Federal Receipts	4,691.4	4,264.1	5,008.2	3,973.1	4,570.9	1,186.4	1,111.2	50.0	45.1	23,900.4	41,032.9	(17,132.5)	-41.8%
Unemployment Taxes	218.5	313.6	390.8	315.0	350.5	239.4	196.2	215.9	205.7	2,445.6	13,644.8	(11,199.2)	-82.1%
Total Receipts	5,171.7	4,778.5	5,610.8	4,546.0	5,116.5	1,733.5	561.2	462.8	568.4	28,549.4	54,726.1	(26,176.7)	-47.8%
DISBURSEMENTS:													
Departmental Operations:													
Personal Service	128.3	126.8	112.0	187.2	113.1	131.0	149.7	132.5	188.2	1,268.8	10.4	1,258.4	12,100.0%
Non-Personal Service	22.1	32.9	35.1	21.1	40.7	91.7	21.3	37.4	28.5	330.8	40.4	290.4	718.8%
General State Charges	54.3	58.7	50.8	47.9	92.6	51.0	58.5	54.9	87.6	556.3	1.4	554.9	39,635.7%
Unemployment Benefits	4,967.1	4,624.0	5,396.3	4,290.3	4,926.5	1,421.4	307.0	266.7	250.0	26,449.3	54,669.2	(28,218.9)	-51.6%
Total Disbursements	5,171.8	4,842.4	5,594.2	4,546.5	5,172.9	1,695.1	536.5	491.5	554.3	28,605.2	54,720.4	(26,115.2)	-47.7%
Excess (Deficiency) of Receipts over Disbursements	(0.1)	(63.9)	16.6	(0.5)	(56.4)	38.4	24.7	(28.7)	14.1	(55.9)	5.7	(61.5)	-1,078.9%
OTHER FINANCING SOURCES (USES):													
Transfers from Other Funds	3.0	-	-	4.0	-	-	-	-	-	7.0	3.0	4.0	133.3%
Transfers to Other Funds	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Total Other Financing Sources (Uses)	3.0	-	-	4.0	-	-	-	-	-	7.0	3.0	4.0	133.3%
Excess (Deficiency) of Receipts and Other Financing Sources Over Disbursements and Other Financing Uses	2.9	(63.9)	16.6	3.5	(56.4)	38.4	24.7	(28.7)	14.1	(48.8)	8.7	(57.5)	-660.9%
Ending Fund Balance	\$ 330.9	\$ 267.0	\$ 283.6	\$ 287.1	\$ 230.7	\$ 269.1	\$ 293.8	\$ 265.1	\$ 279.2	\$ -	\$ 38.4	\$ 240.8	627.1%

EXHIBIT K

	2021									2022				9 Months Ended December 31		
	APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	JANUARY	FEBRUARY	MARCH	2021	2020	\$ Increase (Decrease)	% Increase (Decrease)
Beginning Fund Balance	\$ (363.5)	\$ (349.4)	\$ (396.2)	\$ (339.0)	\$ (344.8)	\$ (336.3)	\$ (347.5)	\$ (362.1)	\$ (369.0)				\$ (363.5)	\$ (297.5)	\$ (66.0)	-22.2%
RECEIPTS:																
Miscellaneous Receipts	55.2	27.3	41.4	38.6	32.4	50.1	31.0	51.6	47.4				375.0	286.7	78.3	26.4%
Total Receipts	55.2	27.3	41.4	38.6	32.4	50.1	31.0	51.6	47.4	-	-	-	375.0	286.7	78.3	26.4%
DISBURSEMENTS:																
Departmental Operations:																
Personal Services	9.7	11.7	3.9	11.4	10.3	14.3	9.7	16.3	10.5				97.8	104.2	(6.4)	-6.1%
Non-Personal Services	31.1	61.6	5.8	32.1	38.3	47.3	34.6	36.4	44.8				331.8	360.2	(28.4)	-7.9%
General State Charges	2.7	5.5	6.5	4.2	5.7	4.4	4.1	7.8	7.1				48.0	47.5	0.5	1.1%
Total Disbursements	43.5	78.8	16.2	47.7	54.3	66.0	48.4	60.5	62.2	-	-	-	477.6	511.9	(34.3)	-6.7%
Excess (Deficiency) of Receipts over Disbursements	11.7	(51.5)	25.2	(9.1)	(21.9)	(15.9)	(17.4)	(8.9)	(14.8)	-	-	-	(102.6)	(215.2)	112.6	52.3%
OTHER FINANCING SOURCES (USES):																
Transfers from Other Funds	2.5	2.7	38.3	3.4	30.4	4.7	2.8	2.0	5.6				92.4	88.5	3.9	4.4%
Transfers to Other Funds	(0.1)	-	(4.3)	(0.1)	-	-	-	-	(0.5)				(5.0)	(3.3)	1.7	51.5%
Total Other Financing Sources (Uses)	2.4	2.7	34.0	3.3	30.4	4.7	2.8	2.0	5.1	-	-	-	87.4	85.2	2.2	2.6%
Excess (Deficiency) of Receipts and Other Financing Sources Over Disbursements and Other Financing Uses	14.1	(48.8)	59.2	(5.8)	8.5	(11.2)	(14.6)	(6.9)	(9.7)	-	-	-	(15.2)	(130.0)	114.8	88.3%
Ending Fund Balance	\$ (349.4)	\$ (398.2)	\$ (339.0)	\$ (344.8)	\$ (336.3)	\$ (347.5)	\$ (362.1)	\$ (369.0)	\$ (378.7)	\$ -	\$ -	\$ -	\$ (378.7)	\$ (427.5)	\$ 48.8	11.4%

STATE OF NEW YORK
PENSION TRUST FUNDS
STATEMENT OF CASH FLOW
FISCAL YEAR 2021-2022
(amounts in millions)

EXHIBIT L

	9 Months Ended December 31												\$ Increase/ (Decrease)	% Increase/ Decrease		
	2021															
	APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	JANUARY	FEBRUARY	MARCH				
Beginning Fund Balance	\$ -	\$ (2.4)	\$ -	\$ (2.2)	\$ (4.8)	\$ (1.7)	\$ -	\$ 0.3	\$ (6.6)				2020 \$ (1.1)	\$ 1.1	100.0%	
RECEIPTS:																
Miscellaneous Receipts	5.9	13.1	11.9	8.6	13.0	14.4	10.2	8.4	22.1				95.0	12.6	13.3%	
Total Receipts	5.9	13.1	11.9	8.6	13.0	14.4	10.2	8.4	22.1	-	-	-	95.0	12.6	13.3%	
DISBURSEMENTS:																
Departmental Operations:																
Personal Service	5.9	5.6	5.8	6.0	5.6	8.3	5.6	9.1	5.8				55.4	57.7	2.3	
Non-Personal Service	0.6	1.2	1.5	1.4	0.8	0.9	0.9	0.9	2.8				8.7	11.0	2.3	
General State Charges	1.8	3.9	6.8	3.8	3.5	3.5	3.4	5.3	5.7				31.1	37.7	6.6	
Total Disbursements	8.3	10.7	14.1	11.2	9.9	12.7	9.9	15.3	14.3	-	-	-	95.2	105.4	11.2	
Excess (Deficiency) of Receipts over Disbursements	(2.4)	2.4	(2.2)	(2.6)	3.1	1.7	0.3	(6.9)	7.8	-	-	-	(0.2)	1.2	1.4	
OTHER FINANCING SOURCES (USES):																
Transfers from Other Funds	-	-	-	-	-	-	-	-	-				-	-	0.0%	
Transfers to Other Funds	-	-	-	-	-	-	-	-	-				-	-	0.0%	
Total Other Financing Sources (Uses)	-	-	-	-	-	-	-	-	-				-	-	0.0%	
Excess (Deficiency) of Receipts and Other Financing Sources Over Disbursements and Other Financing Uses	(2.4)	2.4	(2.2)	(2.6)	3.1	1.7	0.3	(6.9)	7.8	-	-	-	(0.2)	1.2	1.4	
Ending Fund Balance	\$ (2.4)	\$ -	\$ (2.2)	\$ (4.8)	\$ (1.7)	\$ -	\$ 0.3	\$ (6.6)	\$ 1.2	\$ -	\$ -	\$ -	\$ (1.3)	\$ 1.2	\$ 2.5	
															192.3%	

**STATE OF NEW YORK
PRIVATE PURPOSE TRUST FUNDS
STATEMENT OF CASH FLOW
FISCAL YEAR 2021-2022
(amounts in millions)**

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SCHEDULE 1

STATE OF NEW YORK
GOVERNMENTAL FUNDS
SUMMARY OF CASH RECEIPTS, DISBURSEMENTS AND
CHANGES IN FUND BALANCES
FISCAL YEAR 2021-2022
FOR THE MONTH OF DECEMBER 2021
(amounts in millions)

	BALANCE DECEMBER 1, 2021	RECEIPTS	DISBURSEMENTS	OTHER FINANCING SOURCES (USES)	BALANCE DECEMBER 31, 2021
GENERAL FUND					
10000-10049-Local Assistance Account	\$ -	\$ 0.028	\$ 5,751.604	\$ 5,751.576	\$ -
10050-10099-State Operations Account	17,157,477	10,559,062	539,175	3,455,241	30,632,605
10100-10149-Tax Stabilization Reserve	-	-	-	-	-
10150-10199-Contingency Reserve	-	-	-	-	-
10200-10249-Universal Pre-K Reserve	-	-	-	-	-
10250-10299-Community Projects	-	-	0.246	-	-
10300-10349-Rainy Day Reserve Fund	27,310	-	-	-	27,064
10400-10449-Refund Reserve Account	-	-	-	-	-
10500-10549-Fringe Benefits Escrow	-	-	-	-	-
10550-10599-Tobacco Revenue Guarantee	-	-	-	-	-
TOTAL GENERAL FUND	17,184,787	10,559,090	6,291,025	9,205,817	30,659,669

SPECIAL REVENUE FUNDS-STATE					
20000-20099-Mental Health Gifts and Donations	0.807	0.020	0.002	-	0.825
20100-20299-Combined Expendable Trust	63.185	0.249	0.911	-	62,523
20300-20349-New York Interest on Lawyer Account	118,522	2.286	0.337	-	120,471
20350-20399-NYS Archives Partnership Trust	(0.002)	-	0.043	-	(0.045)
20400-20449-Child Performer's Protection	0.453	0.011	0.040	(0.022)	0.402
20450-20499-Tuition Reimbursement	8.207	0.449	(0.315)	-	8.971
20500-20549-New York State Local Government Records Management Improvement	7.967	1.026	0.699	-	8.294
20550-20599-School Tax Relief	0.009	14.107	13.614	-	0.502
20600-20649-Charter Schools Stimulus	5.416	-	0.183	-	5.233
20650-20699-Not-For-Profit Short Term Revolving Loan	-	-	-	-	-
20800-20849-HCRA Resources	111.490	552.897	480.635	(3.744)	180.008
20850-20899-Dedicated Mass Transportation Trust	57.665	45.419	44.593	15.665	74.156
20900-20949-State Lottery	(245,296)	163.110	116.344	(3.206)	(201,736)
20950-20999-Combined Student Loan	21.441	1.476	0.075	-	22.842
21000-21049-Sewage Treatment Program Mgmt. & Administration	(3.623)	-	0.043	-	(3.666)
21050-21149-Etcon Special Revenue	4.169	8.100	7.936	(1.785)	2.548
21150-21199-Conservation	113.006	2.869	3.026	-	112.849
21200-21249-Environmental Protection and Oil Spill Compensation	17.082	3.908	1.601	(2.733)	16.656
21250-21299-Training and Education Program on OSHA	12.353	0.003	3.247	(0.976)	8.133
21300-21349-Lawyers' Fund for Client Protection	8.435	0.324	0.056	-	8.703
21350-21399-Equipment Loan for the Disabled	0.516	0.001	-	-	0.517
21400-21449-Mass Transportation Operating Assistance	295.198	448.835	657.743	6.996	93,286
21450-21499-Clean Air	(31.857)	3.977	3.119	(3.000)	(33,999)
21500-21549-New York State Infrastructure Trust	0.071	-	-	-	0.071
21550-21599-Legislative Computer Services	12.400	0.130	0.059	-	12.471
21600-21649-Biodiversity Stewardship and Research	-	-	-	-	-
21650-21699-Combined Non-Expendable Trust	0.468	-	-	-	0.468
21700-21749-Winter Sports Education Trust	-	-	-	-	-
21750-21799-Musical Instrument Revolving	-	-	-	-	-
21850-21899-Arts Capital Grants	-	0.001	-	-	0.618
21900-22499-Miscellaneous State Special Revenue	1,797.391	392.215	270.946	29.602	1,948.262
22500-22549-Court Facilities Incentive Aid	2.679	-	14.841	55.000	42,838

SCHEDULE 1

STATE OF NEW YORK
GOVERNMENTAL FUNDS
SUMMARY OF CASH RECEIPTS, DISBURSEMENTS AND
CHANGES IN FUND BALANCES
FISCAL YEAR 2021-2022
FOR THE MONTH OF DECEMBER 2021
(amounts in millions)

	BALANCE DECEMBER 1, 2021	RECEIPTS	DISBURSEMENTS	OTHER FINANCING SOURCES (USES)	BALANCE DECEMBER 31, 2021
SPECIAL REVENUE FUNDS-STATE (CONTINUED)					
22550-22599-Employment Training	0.053	-	-	-	0.053
22650-22699-State University Income	2,329.323	289.060	626.512	23.543	2,015.414
22700-22749-Chemical Dependence Service	6.650	0.660	1.015	-	6.295
22750-22799-Lake George Park Trust	0.770	-	0.092	-	0.678
22800-22849-State Police Motor Vehicle Law Enforcement and Motor Vehicle Theft and Insurance Fraud Prevention	97.426	6.813	0.693	-	103.546
22850-22899-New York Great Lakes Protection	0.485	-	0.015	-	0.470
22900-22949-Federal Revenue Maximization	0.024	-	-	-	0.024
22950-22999-Housing Development	9.721	-	0.200	-	9.521
23000-23049-NYS/DOT Highway Safety Program	(18.853)	-	0.328	-	(19.181)
23050-23099-Vocational Rehabilitation	0.036	-	-	-	0.036
23100-23149-Drinking Water Program Management and Administration	(5.351)	-	-	-	(5.351)
23150-23199-NYC County Clerks' Operations Offset	(51.106)	-	2.346	-	(53.452)
23200-23249-Judiciary Data Processing Offset	86.794	4.075	5.793	-	85.076
23500-23549-USOC Lake Placid Training	0.259	0.001	-	-	0.260
23550-23599-Indigent Legal Services	668.085	15.986	9.020	-	675.051
23600-23649-Unemployment Insurance Interest and Penalty	13.424	-	0.003	-	13.421
23650-23699-MTA Financial Assistance Fund	164.226	0.008	61.475	12.625	115.384
23700-23749-New York State Commercial Gaming Fund	100.671	17.135	1.260	(0.001)	116.545
23750-23799-Medical Cannabis Trust Fund	26.806	1.267	0.943	(0.239)	26.891
23800-23899-Dedicated Miscellaneous State Special Revenue	6.551	0.427	0.404	-	6.574
24800-24849-NYS Cannabis Revenue	(0.147)	-	0.549	-	(0.696)
24850-24899-Health Care Transformation	254.759	191.346	-	-	446.105
24900-24949-Charitable Gifts Trust Fund	0.005	0.052	-	-	0.057
24950-24954-Interactive Fantasy Sports	24.269	0.897	0.006	-	25.160
24955-24959-Mobile Sports Wagering	-	200.000	-	-	200.000
40350-40399-State University Dormitory Income	398.303	14.993	-	(47.777)	365.519
TOTAL SPECIAL REVENUE FUNDS-STATE	6,491.952	2,384.133	2,330.432	79.948	6,525.601
SPECIAL REVENUE FUNDS-FEDERAL					
25000-25099-Federal USDA/Food and Consumer Services	(147.045)	438.445	337.528	(3.684)	(49.812)
25100-25199-Federal Health and Human Services	4,073.227	8,805.731	6,045.680	(185.300)	6,647.978
25200-25249-Federal Education	(58.650)	594.043	644.394	(4.346)	(113.347)
25300-25399, 25951-Federal Miscellaneous Operating Grants	15,342.872	180.117	1,531.909	(3,028)	13,988.052
25900-25949-Unemployment Insurance Administration	153.818	15.922	50.170	(12.499)	107.071
25950, 25952-25999-Unemployment Insurance Occupational Training	(0.486)	0.496	0.850	-	(0.590)
26000-26049-Federal Employment and Training Grants	(7.472)	18.213	10.850	(1.127)	(1.236)
TOTAL SPECIAL REVENUE FUNDS-FEDERAL	19,356.264	10,052.967	8,621.121	(209.984)	20,578.126
TOTAL SPECIAL REVENUE FUNDS	25,848.216	12,437.100	10,951.553	(130.036)	27,203.727
DEBT SERVICE FUNDS					
40000-40049-Debt Reduction Reserve	-	-	-	-	-
40100-40149-Mental Health Services	55.854	5.949	10.471	29.842	81.174
40150-40199-General Debt Service	405.179	9,050.499	199.045	(9,028.573)	228.060
40250-40299-State Housing Debt Service	-	-	-	-	-
40300-40349-Department of Health Income	27.443	3.276	-	(11.483)	19.236
40400-40449-Clean Water/Clean Air	12.686	134.848	-	(132.824)	14.710
40450-40499-Local Government Assistance Tax	-	410.814	-	(410.814)	-
TOTAL DEBT SERVICE FUNDS	501.162	9,605.386	209.516	(9,553.852)	343.180
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SCHEDULE 1

STATE OF NEW YORK
GOVERNMENTAL FUNDS
SUMMARY OF CASH RECEIPTS, DISBURSEMENTS AND
CHANGES IN FUND BALANCES
FISCAL YEAR 2021-2022
FOR THE MONTH OF DECEMBER 2021
(amounts in millions)

	BALANCE DECEMBER 1, 2021	RECEIPTS	DISBURSEMENTS	OTHER FINANCING SOURCES (USES)	BALANCE DECEMBER 31, 2021
CAPITAL PROJECTS FUNDS					
30000-30049-State Capital Projects	-	477,083	932,246	455,163	-
30050-30099-Dedicated Highway and Bridge Trust	120,019	187,857	158,746	(26,141)	122,989
30100-30299-SUNY Residence Halls Rehabilitation and Repair	122,385	0,007	10,036	39,946	152,302
30300-30349-New York State Canal System Development	18,179	0,001	-	-	18,180
30350-30399-Parks Infrastructure	(98,296)	75,475	7,551	-	(30,372)
30400-30449-Passenger Facility Charge	0,015	-	-	-	0,015
30450-30499-Environmental Protection	84,082	28,396	21,655	-	90,823
30500-30549-Clean Water/Clean Air Implementation	-	-	-	-	-
30600-30609-Energy Conservation Thru Improved Transportation Bond	0,164	-	-	-	0,164
30610-30619-Park and Recreation Land Acquisition Bond	-	-	-	-	-
30620-30629-Pure Waters Bond	0,668	-	-	-	0,668
30630-30639-Transportation Capital Facilities Bond	3,328	-	-	-	3,328
30640-30649-Environmental Quality Protection Bond	1,419	-	-	-	1,419
30650-30659-Rebuild and Renew New York Transportation Bond	17,210	-	-	-	17,210
30660-30669-Transportation Infrastructure Renewal Bond	4,255	-	-	-	4,255
30670-30679-1986 Environmental Quality Bond Act	5,550	-	-	-	5,550
30680-30689-Accelerated Capacity and Transportation Improvement Bond	2,778	-	-	-	2,778
30690-30699-Clean Water/Clean Air Bond	1,428	-	-	-	1,428
30700-30709-State Housing Bond	-	-	-	-	-
30710-30719-Smart Schools Bond	-	-	-	-	-
30750-30799-Outdoor Recreation Development Bond	-	-	-	-	-
30900-30949-Rail Preservation and Development Bond	-	-	-	-	-
31350-31449-Federal Capital Projects	(959,966)	351,214	325,835	1,993	(932,594)
31450-31499-Forest Preserve Expansion	1,083	-	-	-	1,083
31500-31549-Hazardous Waste Remedial	(103,114)	27,880	9,661	(0,807)	(85,702)
31650-31699-Suburban Transportation	0,540	-	-	-	0,540
31700-31749-Division for Youth Facilities Improvement	(13,144)	-	0,877	-	(14,021)
31800-31849-Housing Assistance	(12,942)	-	-	-	(12,942)
31850-31899-Housing Program	(304,398)	-	138,796	-	(443,194)
31900-31949-Natural Resource Damage	17,938	0,250	0,630	-	17,558
31950-31999-DOT Engineering Services	(11,952)	-	-	-	(11,952)
32200-32249-Miscellaneous Capital Projects	143,212	2,398	6,191	1,848	141,267
32250-32299-CUNY Capital Projects	0,060	-	-	-	0,060
32300-32349-Mental Hygiene Facilities Capital Improvement	(471,239)	0,400	29,892	-	(500,731)
32350-32399-Correction Facilities Capital Improvement	(219,418)	-	31,194	-	(250,612)
32400-32999-State University Capital Projects	71,083	1,063	4,255	-	67,891
33000-33049-NYS Storm Recovery Fund	(62,049)	-	1,094	-	(63,143)
33050-33099-Dedicated Infrastructure Investment Fund	74,344	-	41,864	-	32,480
TOTAL CAPITAL PROJECTS FUNDS	(1,566,778)	1,152,024	1,720,523	472,002	(1,663,275)
TOTAL GOVERNMENTAL FUNDS	\$ 41,967,387	\$ 33,753,600	\$ 19,172,617	\$ (5,069)	\$ 56,543,301

SCHEDULE 2

STATE OF NEW YORK
 PROPRIETARY FUNDS
 SUMMARY OF CASH RECEIPTS, DISBURSEMENTS AND
 CHANGES IN FUND BALANCES
 FISCAL YEAR 2021-2022
 FOR THE MONTH OF DECEMBER 2021
 (amounts in millions)

FUND TYPE	BALANCE DECEMBER 1, 2021	RECEIPTS	DISBURSEMENTS	OTHER FINANCING SOURCES (USES)	BALANCE DECEMBER 31, 2021
ENTERPRISE FUNDS					
23250-23449-CUNY Senior College Program	\$ 187.309	\$ 6.289	\$ 7.347	\$ -	\$ 186.251
50000-50049-Youth Commissary	0.111	0.003	0.008	-	0.106
50050-50099-State Exposition Special	1.935	0.467	0.644	-	1.758
50100-50299-Correctional Services Commissary	3.913	3.368	3.713	-	3.568
50300-50399-Agencies Enterprise	19.149	1.194	1.498	-	18.845
50400-50449-Sheltered Workshop	2.161	-	0.015	-	2.146
50450-50499-Patient Workshop	2.102	0.004	0.010	-	2.096
50500-50599-Mental Hygiene Community Stores	4.821	0.085	0.051	-	4.855
50650-50699-Unemployment Insurance	12.243	250.804	249.981	-	13.066
60850-60899-CUNY Senior College Operating	31.357	306.245	291.101	-	46.501
TOTAL ENTERPRISE FUNDS	265.101	568.459	554.368	-	279.192
INTERNAL SERVICE FUNDS					
55000-55049-Centralized Services	(89.978)	36.958	30.350	0.439	(82.931)
55050-55099-Agency Internal Service	(171.685)	6.903	20.952	4.670	(181.064)
55100-55149-Mental Hygiene Revolving	(0.035)	0.023	0.076	-	(0.088)
55150-55199-Youth Vocational Education	0.046	-	-	-	0.046
55200-55249-Joint Labor and Management Administration	0.629	-	0.076	-	0.553
55250-55299-Audit and Control Revolving	(69.899)	0.739	4.683	(0.030)	(73.873)
55300-55349-Health Insurance Revolving	(5.103)	-	1.159	(0.005)	(6.267)
55350-55399-Correctional Industries Revolving	(32.947)	2.736	4.849	(0.005)	(35.065)
TOTAL INTERNAL SERVICE FUNDS	(368.972)	47.359	62.145	5.069	(378.689)
TOTAL PROPRIETARY FUNDS	\$ (103.871)	\$ 615.818	\$ 616.513	\$ 5.069	\$ (99.497)

SCHEDULE 3

STATE OF NEW YORK
FIDUCIARY FUNDS
SUMMARY OF CASH RECEIPTS, DISBURSEMENTS AND CHANGES IN FUND BALANCES
FISCAL YEAR 2021-2022
FOR THE MONTH OF DECEMBER 2021
(amounts in millions)

<u>FUND TYPE</u>	<u>BALANCE</u> <u>DECEMBER 1, 2021</u>	<u>RECEIPTS</u>	<u>DISBURSEMENTS</u>	<u>OTHER</u> <u>FINANCING</u> <u>SOURCES (USES)</u>	<u>BALANCE</u> <u>DECEMBER 31, 2021</u>
PENSION TRUST FUNDS					
65000-65049-Common Retirement Administration	\$ (6.569)	\$ 22.154	\$ 14.341	\$ -	\$ 1.244
TOTAL PENSION TRUST FUNDS	(6.569)	22.154	14.341	-	1.244
PRIVATE PURPOSE TRUST FUNDS					
22022-College Savings Account	29.528	0.480	0.015	-	29.993
66000-66049-Agriculture Producers' Security	3.134	-	0.015	-	3.119
66050-66099-Milk Producers' Security	11.731	0.001	0.017	-	11.715
TOTAL PRIVATE PURPOSE TRUST FUNDS	44.393	0.481	0.047	-	44.827
AGENCY FUNDS					
60050-60149-School Capital Facilities Financing Reserve	5.465	0.230	-	-	5.695
60150-60199-Child Performer's Holding	0.572	0.002	0.002	-	0.572
60200-60249-Employees Health Insurance	872.030	1,078.375	930.843	-	1,019.562
60250-60299-Social Security Contribution	15.101	109.577	109.559	-	15,119
60300-60399-Employee Payroll Withholding	19.851	425.480	404.535	-	40,796
60400-60449-Employees Dental Insurance	35.060	5.847	5.532	-	35,375
60450-60499-Management Confidential Group Insurance	1.026	0.738	0.795	-	0.969
60500-60549-Lottery Prize	670.467	117.197	82.585	-	705.079
60550-60599-Health Insurance Reserve Receipts	-	-	-	-	-
60600-60799-Miscellaneous New York State Agency	1,063.121	1,019.086	1,047.438	-	1,034.769
60800-60849-Elderly Pharmaceutical Insurance Coverage (EPIC) Escrow	28.336	7.101	7.103	-	28.334
60900-60949-Medicaid Management Information System (MMIS) Escrow	705.861	8,516.519	8,497.309	-	725,071
60950-60999-Special Education	-	-	-	-	-
61000-61099-State University of New York Revenue Collection	120.345	21.204	-	-	141,549
61100-61999-State University Federal Direct Lending Program	(3.114)	13.670	31.449	-	(20,893)
62000-62049-SSI SSP Payment Escrow	-	-	-	-	-
TOTAL AGENCY FUNDS	3,534.121	11,315.026	11,117.150	-	3,731.997
TOTAL FIDUCIARY FUNDS	\$ 3,571.945	\$ 11,337.661	\$ 11,131.538	\$ -	\$ 3,778.068

SCHEDULE 4

STATE OF NEW YORK
 SOLE CUSTODY AND INVESTMENT ACCOUNTS
 STATEMENT OF CASH RECEIPTS AND DISBURSEMENTS
 FISCAL YEAR 2021-2022
 FOR THE MONTH OF DECEMBER 2021
 (amounts in millions)

<u>FUND TYPE</u>	<u>BALANCE DECEMBER 1, 2021</u>	<u>RECEIPTS</u>	<u>DISBURSEMENTS</u>	<u>BALANCE DECEMBER 31, 2021</u>
ACCOUNTS				
70000-70049-Tobacco Settlement	\$ 2,890	\$ 0.001	\$ -	\$ 2,891
70093, 70095, 70300-70301-MTA State Assistance	233,611	247,650	357,477	123,784
70050-70149-Sole Custody Investment (*)	2,895,703	2,617,865	3,194,736	2,318,832
70200-Comptroller's Refund Account	-	203,831	203,831	-
TOTAL ACCOUNTS	\$ 3,132,204	\$ 3,069,347	\$ 3,756,044	\$ 2,445,507

(*) Includes Public Asset Fund resources:

Chapter 1 of the Laws of 2002 authorized the conversion of Empire Health Choice, d/b/a Empire Blue Cross and Blue Shield from a not-for-profit corporation to a for-profit corporation. Chapter 1 requires, in part, that upon such conversion, assets representing 95 percent of the fair market value of the not-for-profit corporation be transferred to a fund designated as the "Public Asset Fund" and 5 percent transferred to a Charitable Foundation - as set forth in Section 7317 of the Insurance Law. On December 28, 2005, WellChoice, Inc. (previously known as Empire Blue Cross, Blue Shield) approved a takeover by WellPoint, Inc. This conversion was also subject to the same Chapter 1 requirements of assigning assets representing 95 percent of the fair market value of the not-for-profit corporation be transferred to the "Public Asset Fund".

As of December 31, 2021, \$9,550,323.59 (representing the remaining balance of the State's 95 percent share of the fair market value of the not-for-profit corporation plus interest) is on deposit in the sole custody account titled Public Asset Fund. In accordance with Section 4301(j)(4)(F) and (O) of the Insurance Law and at the direction of the Director of the Budget, these funds are available for transfer to HCRA Resources Fund (20800-20849).

SCHEDULE 5

STATE OF NEW YORK
DEBT SERVICE FUNDS
STATEMENT OF DIRECT STATE DEBT ACTIVITY
FISCAL YEAR 2021-2022

PURPOSE	DEBT OUTSTANDING APRIL 1, 2021	DEBT ISSUED		DEBT MATURED		DEBT OUTSTANDING DECEMBER 31, 2021	INTEREST DISBURSED	
		MONTH OF DECEMBER	9 MONTHS ENDED DECEMBER 31, 2021	MONTH OF DECEMBER	9 MONTHS ENDED DECEMBER 31, 2021		MONTH OF DECEMBER	9 MONTHS ENDED DECEMBER 31, 2021
GENERAL OBLIGATION BONDED DEBT:								
Accelerated Capacity and Transportation Improvements	\$ 9,992,174	\$ -	\$ -	\$ 34,639	\$ 820,274	\$ 9,171,900	\$ 866	\$ 221,054
Clean Water/Clean Air:								
Air Quality	1,321,445	-	-	33,926	33,926	1,287,519	509	20,551
Safe Drinking Water	-	-	-	-	-	-	-	-
Clean Water	277,661,899	-	-	228,116	9,353,588	268,308,311	5,703	4,849,344
Solid Waste	11,083,955	-	-	852	164,788	10,919,197	13	215,019
Environmental Restoration	36,701,555	-	-	1,155,431	1,320,431	35,381,124	22,924	576,077
Energy Conservation Through Improved Transportation:								
Rapid Transit and Rail Freight	1,022,456	-	-	-	263,713	758,743	-	38,507
Environmental Quality (1972):								
Air	4,267,512	-	-	-	-	-	-	-
Land and Wetlands	5,538,761	-	-	34,917	59,917	4,207,595	873	105,449
Water	-	-	-	-	1,720,000	3,818,761	-	126,730
Environmental Quality (1986):								
Land Acquisition/Development/Restoration/Forests	2,692,870	-	-	4,105	206,921	2,485,949	103	53,903
Solid Waste Management	83,003,304	-	-	406,487	7,790,298	75,213,006	10,162	1,878,569
Housing:								
Low Income	4,115,000	-	-	-	-	4,115,000	-	-
Middle Income	1,795,000	-	-	-	1,280,000	515,000	-	-
Park and Recreation Land Acquisition	-	-	-	-	-	-	-	-
Pure Waters	13,485,946	-	-	48,233	881,933	12,604,013	1,206	309,869
Rail Preservation Development	-	-	-	-	-	-	-	-
Rebuild and Renew New York Transportation:								
Highway Facilities	562,450,682	-	-	6,468,252	6,468,252	555,982,430	161,706	8,118,221
Canals and Waterways	7,620,311	-	-	532,516	532,516	7,087,795	13,313	163,969
Aviation	40,464,691	-	-	-	-	40,464,691	-	543,569
Rail and Port	90,201,189	-	-	-	-	90,201,189	-	1,321,629
Mass Transit - Dept. of Transportation	13,929,540	-	-	-	-	13,929,540	-	271,912
Mass Transit - Metropolitan Transportation Authority	691,109,424	-	-	6,870,080	6,870,080	684,239,344	144,361	10,214,377
Rebuild New York Transportation Infrastructure Renewal:								
Highways, Parkways, and Bridges	357,668	-	-	17,446	17,446	340,222	436	8,537
Rapid Transit, Rail and Aviation	1,563,392	-	-	-	393,136	1,170,256	-	64,954
Smart Schools Bond Act	306,942,604	-	-	-	-	306,942,604	-	5,959,880
Transportation Capital Facilities:								
Aviation	1,648,621	-	-	-	837,811	810,810	-	60,379
Mass Transportation	-	-	-	-	-	-	-	-
Total General Obligation Bonded Debt	\$ 2,188,969,999	\$ -	\$ -	\$ 15,835,000	\$ 39,015,000	\$ 2,129,954,999	\$ 362,175	\$ 35,122,499

SCHEDULE 5a

STATE OF NEW YORK
DEBT SERVICE FUNDS
FINANCING AGREEMENTS
FOR THE NINE MONTHS ENDED DECEMBER 31, 2021

	DEBT REDUCTION RESERVE (40000-40049)	GENERAL DEBT SERVICE (40151)	DEPARTMENT OF HEALTH INCOME (40300-40349)	LOCAL GOVERNMENT ASSISTANCE TAX (40450-40499)	MENTAL HEALTH SERVICES (*) (40100-40149)	REVENUE BOND TAX (*) (40152)	SALES TAX REVENUE BOND TAX (40154)	9 MONTHS ENDED DECEMBER 31 2021	2020	\$ INCREASE/ (DECREASE)
Special Contractual Financing Obligations:										
Payments to Public Authorities:	\$ -	\$ 27,593,459	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 27,593,459	\$ 7,115,904	\$ 20,477,555
Domitory Authority	-	-	-	-	-	-	-	-	-	-
Consolidated Service Contract Refunding	-	-	-	-	-	-	-	-	-	-
DASNY Revenue Bond	-	-	-	-	-	-	29,531,110	755,648,622	1,960,904,604	(1,205,255,982)
Department of Health Facilities	-	-	24,122,878	-	-	726,117,512	-	24,122,878	25,467,940	(1,345,062)
Mental Health Facilities	-	-	-	-	10,043,312	-	-	10,043,312	8,334,210	1,709,102
Secured Hospital Program	-	-	-	-	-	-	-	1,627,568	2,495,165	(867,597)
SUNY Community Colleges	-	1,627,568	-	-	-	-	-	10,579,525	8,347,200	2,532,325
SUNY Educational Facilities	-	10,879,525	-	-	-	-	-	105,416,681	25,819,812	79,596,879
Environmental Facilities Corporation	-	105,416,681	-	-	-	-	-	1,772,122	17,268,263	(17,266,263)
Housing Finance Agency	-	-	-	-	-	-	-	-	-	-
Local Government Assistance Corporation	-	1,772,122	-	-	-	-	-	-	-	-
Metropolitan Transportation Authority	-	-	-	-	-	-	-	-	-	-
Metropolitan Transportation Authority Projects	-	-	-	-	-	-	-	-	-	-
Thruway Authority	-	-	-	-	-	-	-	-	-	-
Dedicated Highway and Bridge	-	63,277,452	-	-	-	-	-	63,277,452	91,511,191	(28,233,739)
Local Highway and Bridge	-	-	-	-	-	-	-	-	-	-
Transportation	-	-	-	-	-	4,675,750	-	4,675,750	17,821,175	(13,145,425)
Urban Development Corporation:	-	-	-	-	-	-	-	-	-	-
Clarkson University	-	-	-	-	-	-	-	-	-	-
Columbia Univer. Telecommunications Center	-	-	-	-	-	-	-	-	-	-
Consolidated Service Contract Refunding	-	2,180,971	-	-	-	-	-	2,180,971	23,405,116	(21,224,145)
Cornell Univer. Supercomputer Center	-	-	-	-	-	-	-	-	-	-
Correctional Facilities	-	-	-	-	-	-	-	-	-	-
Debt Reduction Reserve	-	-	-	-	-	-	-	-	-	-
UDC Revenue Bond	-	-	-	-	-	361,261,266	-	-	286,525,473	74,735,793
University Facilities Grant 95 Refunding	-	-	-	-	-	-	-	-	11,603	(11,603)
Total Disbursements for Special Contractual Financing Obligations	\$ -	\$ 212,747,788	\$ 24,122,878	\$ -	\$ 10,043,312	\$ 1,092,054,528	\$ 29,531,110	\$ 1,388,098,616	\$ 2,490,854,208	\$ (1,122,354,592)

(*) Debt Service does not include interest paid on Revenue Anticipation Notes (General Purpose), Series 2020A and 2020B that were reimbursed from the Coronavirus Relief Fund within the Special Revenue Federal Funds.

STATE OF NEW YORK

SUMMARY OF THE OPERATING FUND INVESTMENTS

FOR THE MONTH OF DECEMBER 2021

AS REQUIRED OF THE STATE COMPTROLLER

(amounts in millions)

SCHEDULE 6

	MONTH OF DECEMBER 2021	FISCAL YEAR TO DATE	PRIOR FISCAL YEAR TO DATE
SHORT TERM INVESTMENT POOL (*)			
AVERAGE DAILY INVESTMENT BALANCE (**)	\$ 50,990.0	\$ 44,946.0	\$ 28,706.3
AVERAGE YIELD (**)	0.080%	0.059%	0.212%
TOTAL INVESTMENT EARNINGS	\$ 3.369	\$ 19.445	\$ 47.921

Month-End Portfolio Balances			
DESCRIPTION	DECEMBER 2021 PAR AMOUNT	DECEMBER 2020 PAR AMOUNT	
GOVT. AGENCY BILLS/NOTES	\$ 37,351.7	\$ 13,106.3	
REPURCHASE AGREEMENTS	200.1	119.3	
GOVT. SPONSORED AGENCIES	600.0	902.7	
COMMERCIAL PAPER	19,627.0	18,315.2	
CERTIFICATES OF DEPOSIT/SAVINGS	2,835.1	1,993.0	
0% COMPENSATING BALANCE CDs	843.0	723.0	
	\$ 61,456.9	\$ 35,159.5	

(*) Pursuant to §98 of the State Finance Law, the State Comptroller is authorized to invest and keep invested all moneys, in any fund, held by the State. The Short Term Investment Pool (STIP) represents an accounting mechanism that allows for the separate accounting of individual funds (on deposit in the State's General Checking account) for the purpose of making short term investments. Pursuant to State Finance Law §4(5) the STIP is authorized to temporarily loan to the General Fund-State Operations Account (10050) funds for a period not to exceed the end of the fiscal year. However, it must be noted that certain funds are invested as part of STIP, but are held by the State Comptroller in a fiduciary capacity. Fiduciary fund balances are restricted and may not be used for any State purposes since moneys in such funds are held by the State in a trustee (or fiduciary) capacity or as an agent for individuals, private organizations, or non-State governmental units (e.g. local governments and public authorities). Therefore, Fiduciary fund balances are not available to be temporarily loaned to the General Fund-State Operations Account. Fiduciary fund balances are presented in Schedules 3 and 4 of this report.

(**) Does not include 0% Compensating Balance CDs.

	STATE OF NEW YORK HCRA RESOURCES FUND STATEMENT OF RECEIPTS AND DISBURSEMENTS BY ACCOUNT FISCAL YEAR 2021-2022												APPENDIX A
	2021 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2022 JANUARY	FEBRUARY	MARCH	
OPENING CASH BALANCE	\$ 15,864,357	\$ 114,747,009	\$ 145,654,657	\$ 156,720,053	\$ 245,054,517	\$ 236,162,456	\$ 248,383,918	\$ 252,474,319	\$ 111,489,568	-	-	-	9 Months Ended December 31, 2021 \$ 15,864,357
RECEIPTS:													
Cigarette Tax	68,708,697	51,733,707	64,925,784	58,828,609	62,332,900	56,116,441	60,603,623	55,171,362	56,695,601	-	-	-	537,117,644
State Share of NYC Cigarette Tax	1,648,000	1,459,000	1,274,000	1,675,000	1,395,000	1,626,000	1,727,000	1,251,000	1,761,000	-	-	-	13,526,000
Vending Machine Tax	15,000	15,000	15,000	15,000	15,000	15,000	15,000	15,000	15,000	-	-	-	122,500
STIP Interest	25,976	16,895	19,037	14,171	14,281	22,003	21,927	23,224	17,882	-	-	-	175,448
Public Asset Transfers	-	-	-	-	-	-	-	-	-	-	-	-	-
Assessments	414,748,474	467,225,416	477,919,714	458,852,224	457,886,237	461,408,106	440,416,406	469,846,426	481,249,233	-	-	-	4,110,452,238
Fees	278,000	75,000	757,099	897,000	1,566,000	618,000	127,000	95,000	1,745,000	-	-	-	6,199,099
Revolving Fund	4,640,866	263,385	6,694,286	5,005,057	1,011,717	7,563,591	6,367,221	234,649	3,693,215	-	-	-	37,434,027
Refundation and Settlements	-	-	-	-	-	-	-	-	-	-	-	-	-
Miscellaneous	-	1,104	3,026,622	8,171	18,066	-	5,921	1	-	-	-	-	3,059,685
Total Receipts	491,246,076	510,810,731	561,089,684	526,403,723	524,143,057	535,335,168	511,323,838	517,662,182	552,896,841	-	-	-	4,730,712,200
DISBURSEMENTS:													
Interest - Capital Projects Fund	388,370,897	471,620,457	537,645,319	431,140,497	525,222,027	516,132,698	505,441,410	652,481,639	474,351,409	-	-	-	4,503,798,179
Interest - Late Payments	47	108	157	1,060	1,893	1,361	(810)	3,280	5,406	-	-	-	12,382
Personal Service	927,493	928,434	521,245	742,438	1,060,613	1,319,948	265,069	1,568,618	1,515,144	-	-	-	8,650,169
Non-Personal Service	952,452	6,137,767	6,694,728	2,697,662	5,697,716	4,428,571	1,026,703	8,754,688	3,543,066	-	-	-	39,923,563
Employee Benefits/Indirect Costs	577,694	591,600	737,600	148,653	661,892	711,327	174,942	954,679	1,220,407	-	-	-	5,169,096
Total Disbursements	391,827,623	478,269,266	545,599,488	434,720,798	532,644,091	522,593,703	506,907,513	663,763,113	480,635,432	-	-	-	4,558,261,387
OPERATING TRANSFERS:													
Transfers to Capital Projects Fund	-	-	-	-	-	-	-	-	-	-	-	-	-
Transfers to General Fund	-	1,104	602,000	6,228,171	-	-	5,921	(6,220,669)	304,658	-	-	-	921,755
Transfers to Revenue Bond Tax Fund	-	-	-	-	-	-	-	-	-	-	-	-	-
Transfers to Miscellaneous Special Revenue Fund:													
Capital Projects Fund	328,691	-	340,322	-	-	-	-	758,169	-	-	-	-	1,426,412
Empire State Stem Cell Trust Account	-	-	-	-	-	-	-	-	-	-	-	-	3,000,000
Transfers to SUNY Income Fund	208,610	332,413	483,018	119,290	391,627	320,003	320,003	346,620	438,812	-	-	-	2,960,396
Total Operating Transfers	535,901	333,517	1,425,340	6,348,461	391,627	320,003	325,924	(5,116,180)	3,743,370	-	-	-	8,307,563
Total Disbursements and Transfers	392,363,524	478,602,783	547,024,828	441,069,259	533,035,718	522,913,706	507,233,437	658,646,933	484,378,802	-	-	-	4,566,568,950
CLOSING CASH BALANCE	\$ 114,747,009	\$ 145,654,657	\$ 156,720,053	\$ 245,054,517	\$ 236,162,456	\$ 248,383,918	\$ 252,474,319	\$ 111,489,568	\$ 180,007,607	\$ -	\$ -	\$ -	\$ 180,007,607

APPENDIX B

STATE OF NEW YORK
HCRA RESOURCES FUND
STATEMENT OF PROGRAM DISBURSEMENTS
FISCAL YEAR 2021-2022

Program/Purpose	Appropriation Amount (*)	December	9 Months Ended December 31, 2021 (**)
CENTER FOR COMMUNITY HEALTH PROGRAM	8,827,000.00	250,599.66	2,038,864.01
CENTER FOR COMMUNITY HLTH	8,827,000.00	250,599.66	2,038,864.01
CHILD HEALTH INSURANCE PROGRAM	1,901,406,000.00	118,670,966.34	551,549,957.54
CHILD HEALTH INSURANCE	1,901,406,000.00	118,670,966.34	551,549,957.54
COMMUNITY SUPPORT PROGRAM	120,000.00	-	-
COMMUNITY SUPPORT	120,000.00	-	-
ELDERLY PHARMACEUTICAL INS COVERAGE PRG	234,330,000.00	9,660,438.16	81,900,676.95
ELDERLY PHARMACEUTICAL INSURANCE COVERAGE	234,330,000.00	9,660,438.16	81,900,676.95
HEALTH CARE REFORM ACT PROGRAM	1,240,915,658.03	2,230,804.41	238,761,523.80
AIDS DRUG ASSISTANCE	82,100,000.00	-	20,000,000.00
AMBULATORY CARE TRAINING	3,537,000.00	-	(2,105.47)
AREA HEALTH EDUCATION CENTER	3,387,000.00	-	-
COMMISSIONER EMERGENCY DISTRIBUTIONS	5,800,000.00	-	-
DIAGNOSTIC AND TREATMENT CTR UNCOMPENSATED CARE	108,800,000.00	-	22,536,850.50
DIVERSITY IN MEDICINE	4,782,000.00	500,000.00	500,000.00
EMPIRE CLINIC RESEARCH INVESTMENT (ECRIP)	6,890,000.00	-	-
HCRA PAYOR / PROVIDER AUDITS	9,440,000.00	118,000.00	3,065,354.44
HEALTH FACILITY RESTRUCTURING DASNY	39,200,000.00	-	9,600,000.00
HEALTH WORKFORCE RETRAINING	18,320,000.00	-	248,288.42
INFERTILITY SERVICES GRANTS	5,733,000.00	71,960.29	420,824.22
MEDICAL INDEMNITY FUND	52,000,000.00	72,266.26	52,000,000.00
PART 406.4 HOSPITAL AUDITS NYCRR	2,200,000.00	-	-
PHYSICIAN EXCESS MEDICAL MALPRACTICE	207,200,000.00	-	81,869,248.00
PHYSICIAN LOAN REPAYMENT	36,260,000.00	469,000.73	3,542,717.32
PHYSICIAN WORKFORCE STUDIES	974,000.00	-	187,860.00
POISON CONTROL CENTERS	8,720,000.00	-	-
POOL ADMINISTRATION	5,300,000.00	259,521.13	2,367,065.83
ROSWELL PARK CANCER INSTITUTE	89,426,000.00	-	38,598,000.00
ROSWELL PARK COMPREHENSIVE CANCER CENTER	50,000.00	-	-
RURAL HEALTH CARE ACCESS	15,950,000.00	-	-
RURAL HEALTH CARE ACCESS & NETWORK DEVELOPMENT	18,820,000.00	740,056.00	4,285,204.84
RURAL HEALTH CARE GRANTS	2,200,000.00	-	-
RURAL HEALTH NETWORK	11,610,000.00	-	-
SCHOOL BASED HEALTH CENTERS	4,230,000.00	-	-
SCHOOL BASED HEALTH CLINICS POOL ADMIN	8,460,000.00	-	-
TRANSITION ACCT - PRIOR YEAR ALLOCATION	489,526,658.03	-	-
MEDICAL ASSISTANCE PROGRAM	28,799,805,000.00	346,182,008.81	3,653,808,664.42
HOME HEALTH RATE INCREASE	300,000,000.00	-	-
MEDICAL ASSISTANCE	4,387,400,000.00	46,182,008.81	553,808,664.42
PSNL CRE VRKR REGR & RETEN NYC (**)	23,129,205,000.00	300,000,000.00	3,100,000,000.00
PSNL CRE VRKR REGR & RETEN ROS (****)	916,000,000.00	-	-
NEW YORK STATE OF HEALTH	85,091,000.00	2,329,262.86	22,092,651.90
NEW YORK STATE OF HEALTH ADMINISTRATION	85,091,000.00	2,329,262.86	22,092,651.90
OFFICE OF HEALTH INSURANCE PROGRAM	1,834,000.00	-	-
OFFICE OF HEALTH INSURANCE	1,834,000.00	-	-
OFFICE OF HEALTH SYSTEMS MANAGEMENT	35,923,055.00	749,242.66	7,602,474.70
OFFICE OF HEALTH SYSTEMS MANAGEMENT	35,923,055.00	749,242.66	7,602,474.70
REVENUE, PROCESSING & RECONCILIATION	8,190,000.00	1,000,982.20	2,467,075.60
REVENUE, PROCESSING & RECONCILIATION	8,190,000.00	1,000,982.20	2,467,075.60
TOTAL	32,316,441,114.03	481,074,305.10	4,561,221,889.02
Redclass of SUNY Hospital Disprop Share to Transfer	-	(438,812.12)	(2,960,396.07)
Redclass of SUNY Hospital Poison Control Centers to Transfer	-	-	-
Redclass of SUNY Empire Clinical Research Investigator Program to Transfer	-	-	-
Recycling Adjustment (P-Card and T-Card)	(61.48)	-	(106.00)
TOTAL REPORTED AMOUNT	\$ 32,316,441,114.03	\$ 480,635,493.50	\$ 4,558,261,386.95

(*) Includes amounts appropriated in SFY 2021-22, as well as prior year appropriations that were reappropriated.

(**) Disbursements from the HCRA Resources Fund includes direct grant payments to program beneficiaries, services and expenses for administration of grant programs, and transfers to the Public Goods Pool to finance payments made by the State's fiscal agent.

(****) Full title is: Personal Care Workforce Recruitment and Retention Rates Grants.

(****) Full title is: Personal Care Workforce Recruitment and Retention Rates Grants.

APPENDIX C

STATE OF NEW YORK
STATEMENT OF CASH FLOW - PUBLIC GOODS POOL
FISCAL YEAR 2021-2022

	1st Quarter APRIL - JUNE	2nd Quarter JULY - SEPTEMBER	2021 OCTOBER	2021 NOVEMBER	2021 DECEMBER	2021-2022
OPENING CASH BALANCE	\$ 293,876,869.61	\$ 340,535,703.17	\$ 347,835,282.30	\$ 204,380,940.06	\$ 348,828,650.52	\$ 293,876,869.61
RECEIPTS:						
Patient Services	989,188,683.61	989,460,559.24	197,874,372.11	433,200,051.24	345,769,961.34	2,955,493,627.54
Covered Lives	249,351,336.48	245,107,517.30	48,817,157.43	115,812,293.32	82,087,089.03	741,175,393.56
Provider Assessments	26,801,341.23	25,160,359.60	9,001,557.57	9,507,858.95	7,715,648.00	78,186,765.35
1% Assessments	119,091,702.00	112,444,913.00	34,757,792.00	44,167,155.00	35,170,384.00	345,631,946.00
DASNY- MOE/Recast receivables	-	-	-	-	-	-
Interest Income	3,690.30	3,685.63	1,098.92	1,473.87	1,111.64	11,111.64
Unassigned	(357,587.66)	(876,908.00)	2,210,072.21	(2,260,160.21)	7,412.69	(1,277,170.97)
Total Receipts	1,384,079,165.96	1,371,300,126.77	292,662,050.24	600,428,672.17	470,751,657.98	4,119,221,673.12
PROGRAM DISBURSEMENTS:						
Poison Control Centers	-	-	-	-	-	-
School Based Health Center Grants	-	-	-	-	-	-
ECRIP Distributions	-	-	-	-	-	-
Total Program Disbursements	-	-	-	-	-	-
Excess (Deficiency) of Receipts over Disbursements	1,384,079,165.96	1,371,300,126.77	292,662,050.24	600,428,672.17	470,751,657.98	4,119,221,673.12
OTHER FINANCING SOURCES (USES):						
Transfers From Other Pools:						
Medicaid Disproportionate Share	-	-	-	-	-	-
Health Facility Assessment Fund - Hospital Quality Contribution	12,373,155.00	14,145,926.00	4,300,000.00	4,865,465.00	4,423,889.00	40,108,435.00
Transfers From State Funds:						
HCRA Resources Fund	-	-	-	-	-	-
Total Other Financing Sources	12,373,155.00	14,145,926.00	4,300,000.00	4,865,465.00	4,423,889.00	40,108,435.00
Transfers To Other Pools:						
Medicaid Disproportionate Share	-	-	-	-	-	-
Health Facility Assessment Fund	-	-	-	-	-	-
Transfers To State Funds:						
HCRA Resources Fund	(1,349,793,487.40)	(1,378,146,473.64)	(440,416,392.48)	(460,846,426.71)	(481,249,140.38)	(4,110,451,920.61)
Indigent Care Fund - Matched	-	-	-	-	-	-
Indigent Care Fund - Unmatched	-	-	-	-	-	-
Total Other Financing Uses	(1,349,793,487.40)	(1,378,146,473.64)	(440,416,392.48)	(460,846,426.71)	(481,249,140.38)	(4,110,451,920.61)
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	46,658,833.56	7,299,579.13	(143,454,342.24)	144,447,710.46	(6,073,693.40)	48,878,187.51
CLOSING CASH BALANCE	\$ 340,535,703.17	\$ 347,835,282.30	\$ 204,380,940.06	\$ 348,828,650.52	\$ 342,755,057.12	\$ 342,755,057.12

Source: HCRA - Office of Pool Administration

APPENDIX D

STATE OF NEW YORK
STATEMENT OF CASH FLOW - MEDICAID DISPROPORTIONATE SHARE
FISCAL YEAR 2021-2022

	1st Quarter APRIL - JUNE	2nd Quarter JULY - SEPTEMBER	2021 OCTOBER	2021 NOVEMBER	2021 DECEMBER	2021-2022
OPENING CASH BALANCE	\$ 55,655.52	\$ 33,659.27	\$ 41,266.86	\$ 41,253.34	\$ 22,538.82	\$ 55,655.52
RECEIPTS:						
Interest Income	63.25	79.56	-	105.87	11.52	260.20
Total Receipts	63.25	79.56	-	105.87	11.52	260.20
PROGRAM DISBURSEMENTS:						
Indigent Care	(159,709,275.51)	(160,215,655.89)	-	(131,539,614.44)	(49,473,603.97)	(500,938,149.81)
High Need Indigent Care	-	-	-	-	-	-
Other	(162,011.93)	9,467,931.41	-	(54,388,370.53)	6,298,009.84	(38,784,441.21)
Total Program Disbursements	(159,871,287.44)	(150,747,724.48)	-	(185,927,984.97)	(43,175,594.13)	(639,722,691.02)
Excess (Deficiency) of Receipts over Disbursements	(159,871,224.19)	(150,747,644.92)	-	(185,927,879.10)	(43,175,582.61)	(639,722,330.82)
OTHER FINANCING SOURCES (USES):						
Transfers From Other Pools:						
Public Goods Pool	-	-	-	-	-	-
Health Facility Assessment Fund	-	-	-	-	-	-
Transfers From State Funds:						
HCRA Resources Indigent Care - Matched	69,945,871.37	70,174,457.28	-	70,351,724.52	21,669,438.54	232,141,491.71
HCRA Resources Indigent Care - Unmatched	161,543.16	(9,460,308.71)	-	49,852,553.97	(6,320,442.79)	34,233,345.63
Federal DHHS Fund	89,747,898.87	90,041,198.61	-	90,268,651.09	27,804,165.43	297,861,914.00
Other	-	-	-	-	-	-
Total Other Financing Sources	159,855,313.40	150,755,347.18	-	210,472,929.68	43,153,161.18	564,236,751.34
Transfers To Other Pools:						
Public Goods Pool	-	-	-	-	-	-
Health Facility Assessment Fund	-	-	-	-	-	-
Transfers To State Funds:						
HCRA Resources Fund Indigent Care Acct	(116.96)	(94.67)	(13.52)	-	(92.16)	(317.31)
CSRA Inc (eMedNY) General Fund	(5,968.50)	-	-	(24,563,765.00)	-	(24,569,733.50)
Total Other Financing Uses	(6,085.46)	(94.67)	(13.52)	(24,563,765.00)	(92.16)	(24,570,050.81)
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	(21,996.25)	7,607.59	(13.52)	(18,714.52)	(22,513.59)	(55,630.29)
CLOSING CASH BALANCE	\$ 33,659.27	\$ 41,266.86	\$ 41,253.34	\$ 22,538.82	\$ 25.23	\$ 25.23

Source: HCRA - Office of Pool Administration

APPENDIX E

STATE OF NEW YORK
SUMMARY OF OFF-BUDGET SPENDING REPORT
FISCAL YEAR 2021-2022
(amounts in thousands)

	2021 APRIL	2021 MAY	2021 JUNE	2021 JULY	2021 AUGUST	2021 SEPTEMBER	2021 OCTOBER	2021 NOVEMBER	2021 DECEMBER	2022 JANUARY	2022 FEBRUARY	2022 MARCH	2021-2022 TOTAL
DORMITORY AUTHORITY:													
Education - All Other	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 7	\$ -	\$ -	\$ -	-	-	-	7
Education - EXCEL	34	2,058	1,281	-	192	-	172	-	-	-	-	-	3,737
Department of Health - All Other	-	-	-	-	-	-	-	-	-	-	-	-	-
Community Enhancement Facilities Assistance Program (CEFAP)	-	-	-	70	-	-	-	-	-	-	-	-	70
Regional Development	-	-	-	-	-	-	-	-	-	-	-	-	-
Community Capital Assistance Program (CCAP)/RESTORE	70	181	554	125	411	543	-	213	551	-	-	-	2,648
Multi-modal	-	-	-	-	-	-	-	-	-	-	-	-	-
GenNYsis	-	-	-	-	-	-	-	-	-	-	-	-	-
CUNY Senior Colleges	-	-	-	-	-	-	-	-	-	-	-	-	-
CUNY Community Colleges	-	-	-	-	-	-	-	-	-	-	-	-	-
Brooklyn Court Officer Training Academy	21	-	42	-	-	-	-	-	-	-	-	-	63
TOTAL DORMITORY AUTHORITY	125	2,239	1,877	195	603	550	172	213	551	-	-	-	6,525
EMPIRE STATE DEVELOPMENT CORP:													
Regional Development	-	-	-	-	-	-	-	-	-	-	-	-	-
Centers of Excellence	-	-	-	-	-	-	-	-	-	-	-	-	-
Community Capital Assistance Program (CCAP)	-	-	-	-	-	-	-	-	-	-	-	-	-
Empire Opportunity	-	-	-	-	-	-	-	-	-	-	-	-	-
Community Enhancement Facilities Assistance Program (CEFAP)	-	-	-	-	-	-	-	-	-	-	-	-	-
State Facilities and Equipment	-	-	-	-	-	-	-	-	-	-	-	-	-
TOTAL EMPIRE STATE DEVELOPMENT CORP	-	-	-	-	-	-	-	-	-	-	-	-	-
TOTAL OFF-BUDGET	\$ 125	\$ 2,239	\$ 1,877	\$ 195	\$ 603	\$ 550	\$ 172	\$ 213	\$ 551	\$ -	\$ -	\$ -	\$ 6,525

The Division of the Budget (DOB) is responsible for organizing and presenting the above schedule of 'Off Budget Spending'. Such reported disbursements are drawn from unaudited financial data provided by public authorities. Although the Office of the State Comptroller (OSC) has no reason to believe this information to be unreliable, it is important to note that these program disbursements are financed with public authority bond proceeds deposited directly into public authority accounts and all disbursements are made without any oversight by the OSC. Therefore, and pursuant to the provisions of Chapter 60, §16, of the Laws of 2006; this schedule is provided for information only.

APPENDIX F

STATE OF NEW YORK
SCHEDULE OF MONTH-END TEMPORARY LOANS OUTSTANDING(*)

SFS Fund	ACCOUNT TITLE	September 30, 2021	October 31, 2021	November 30, 2021	Change	December 31, 2021
	GENERAL FUND					
10050	STATE OPERATIONS AND LOCAL ASSISTANCE					
	TOTAL GENERAL FUND					
	CAPITAL PROJECT AND BOND REIMBURSABLE FUNDS					
30051	HIGHWAY AND BRIDGE CAPITAL	44,726,584.38	-	-	-	-
30053	AVIATION PURPOSE ACCOUNT	-	-	-	-	-
30101	REHAB/REPAIR MARITIME	-	-	-	-	-
30102	D21RVE- MARITIME	-	-	-	-	-
30103	D36RVE- CENTRAL ADMIN	-	-	-	-	-
30104	RESIDENCE HALL CAMPUS LET BOND PROCEEDS	-	-	-	-	-
30105	REHAB/REPAIR ALBANY	-	-	-	-	-
30106	D01RVE- ALBANY	-	-	-	-	-
30107	REHAB/REPAIR BINGHAMTON	-	-	-	-	-
30108	D07RVE- BINGHAMTON	-	-	-	-	-
30109	REHAB/REPAIR BUFFALO UNIVERSITY	-	-	-	-	-
30110	D28RVE- SUNY BUFFALO	-	-	-	-	-
30111	REHAB/REPAIR STONYBROOK	-	-	-	-	-
30112	D13RVE- STONYBROOK	-	-	-	-	-
30113	REHAB/REPAIR BROOKLYN	-	-	-	-	-
30114	D14RVE- HSC BROOKLYN	-	-	-	-	-
30115	REHAB/REPAIR STRACUSE	-	-	-	-	-
30116	D15RVE- HSC STRACUSE	-	-	-	-	-
30117	REHAB/REPAIR BROOKPORT	-	-	-	-	-
30118	D02RVE- BROOKPORT	-	-	-	-	-
30119	REHAB/REPAIR BUFFALO COLLEGE	-	-	-	-	-
30120	D03RVE- SUB BUFFALO	-	-	-	-	-
30121	REHAB/REPAIR CORTLAND	-	-	-	-	-
30122	D04RVE- CORTLAND	-	-	-	-	-
30123	REHAB/REPAIR FREDONIA	-	-	-	-	-
30124	D05RVE- FREDONIA	-	-	-	-	-
30125	REHAB/REPAIR GENESIO	-	-	-	-	-
30126	D06RVE- GENESIO	-	-	-	-	-
30127	REHAB/REPAIR OLD WESTBURY	-	-	-	-	-
30128	D31RVE- OLD WESTBURY	-	-	-	-	-
30129	REHAB/REPAIR NEW PALTZ	-	-	-	-	-
30130	D08RVE- NEW PALTZ	-	-	-	-	-
30131	REHAB/REPAIR ONEONTA	-	-	-	-	-
30132	D09RVE- ONEONTA	-	-	-	-	-
30133	REHAB/REPAIR OSWEGO	-	-	-	-	-
30134	D10RVE- OSWEGO	-	-	-	-	-
30135	REHAB/REPAIR PLATTSBURGH	-	-	-	-	-
30136	D11RVE- PLATTSBURGH	-	-	-	-	-
30137	REHAB/REPAIR POTS DAM	-	-	-	-	-
30138	D12RVE- POTS DAM	-	-	-	-	-
30139	REHAB/REPAIR PURCHASE	-	-	-	-	-
30140	D28RVE- PURCHASE	-	-	-	-	-
30141	REHAB/REPAIR FOR UTICA/ROME	-	-	-	-	-
30142	D27RVE- CAMPUS RESERVE	-	-	-	-	-
30143	REHAB/REPAIR ALFRED	-	-	-	-	-
30144	D22RVE- ALFRED	-	-	-	-	-
30145	REHAB/REPAIR CANTON	-	-	-	-	-
30146	D23RVE- CANTON	-	-	-	-	-
30147	REHAB/REPAIR COBLESKILL	-	-	-	-	-
30148	D24RVE- COBLESKILL	-	-	-	-	-
30149	REHAB/REPAIR DELHI	-	-	-	-	-
30150	D25RVE- DELHI	-	-	-	-	-
30151	REHAB/REPAIR FARMINGDALE	-	-	-	-	-
30152	D26RVE- FARMINGDALE	-	-	-	-	-
30153	REHAB/REPAIR MORRISVILLE	-	-	-	-	-
30154	D27RVE- MORRISVILLE	-	-	-	-	-
30351	STATE PARK INFRASTRUCTURE	89,120,771.07	92,721,949.38	98,297,010.66	(67,924,321.89)	30,372,688.77
30501	CWICA IMPLEMENTATION DEC	-	-	-	-	-
30502	CWICA IMPLEMENTATION STATE	-	-	-	-	-
30503	CWICA IMPLEMENTATION ERDA	-	-	-	-	-
30504	CWICA IMPLEMENTATION EFC	-	-	-	-	-
30506	HAZARDOUS WASTE CLEAN UP	130,805,426.12	137,607,616.17	146,820,839.97	(19,858,100.66)	126,962,739.31
31701	YOUTH FACILITIES IMPROVEMENT	18,884,763.37	11,606,139.22	13,144,259.09	876,825.06	14,021,084.15
31801	HOUSING ASSISTANCE	12,941,987.06	12,941,987.06	12,941,987.06	-	12,941,987.06
31851	HOUSING PROG FD-HSG TR FD CORP	488,568,482.87	146,776,048.12	164,211,871.12	112,530,244.00	276,741,315.12
31852	HOUSING PROG FD-AFFORD HSG CORP	48,003,447.02	34,233,540.85	35,747,601.85	4,285,649.00	40,013,250.85
31853	HOUSING PROG FD-DEPT OF SOCIAL SERVICES	145,746,517.14	104,730,710.25	104,730,710.25	22,000,000.00	126,730,710.25
31854	HOUSING PROG FD-HFA	-	-	-	-	-
31951	HIGHWAY FAC PURPOSE	11,951,597.85	11,951,597.85	11,951,597.85	-	11,951,597.85

APPENDIX F

STATE OF NEW YORK
SCHEDULE OF MONTH-END TEMPORARY LOANS OUTSTANDING(*)

SFS Fund	ACCOUNT TITLE	September 30, 2021	October 31, 2021	November 30, 2021	December 31, 2021
32213	NY RACING ACCOUNT	153,750.00	153,750.00	153,750.00	153,750.00
32214	CAPITAL PROJECT MISC GIFTS	-	-	-	-
32215	IT CAPITAL FINANCING ACCT	7,539,830.85	7,907,375.40	8,203,064.74	208,382.24
32219	NY ENVIRONMENTAL PROTECTION & SPILL REMEDIATION	281.25	-	-	-
32301	OPWDD-STATE FACILITIES PRE 12/99	-	-	-	-
32302	DSAS-COMMUNITY FACILITIES	-	-	-	-
32303	OMH-COMMUNITY FACILITIES	78,792,502.24	76,749,289.14	77,796,107.43	2,502,128.72
32304	OPWDD-COMMUNITY FACILITIES	-	-	-	-
32305	OASAS-COMMUNITY FACILITIES	187,954,499.77	187,954,499.77	189,059,165.24	2,738,361.57
32306	DASNY - OMH ADMIN	-	-	-	-
32307	DASNY - OPWDD ADMIN	7,893,352.32	10,359,802.32	10,359,802.32	-
32308	DASNY - OASAS ADMIN	2,089,088.09	2,694,963.09	2,694,963.09	-
32309	OMH - STATE FACILITIES	143,124,452.46	149,234,367.68	161,899,042.03	17,249,715.56
32310	OPWDD-STATE FACILITIES	39,181,100.61	40,143,820.33	40,143,820.33	6,585,082.83
32311	OASAS-STATE FACILITIES	3,672,543.93	3,707,368.73	3,707,368.73	420,761.40
32351	CORR. FACILITIES CAPITAL IMPROVEMENT	-	-	-	-
32352	DOCS-REHABILITATION PROJECTS	337,009,058.52	188,762,805.06	219,417,630.81	31,194,560.30
32353	CORR. FACILITIES CAPITAL CLOSURE	-	-	-	-
33001	STORM RECOVERY ACCOUNT	57,403,556.10	60,640,257.25	67,049,446.20	1,093,879.33
	TOTAL CAPITAL AND BOND REIMBURSABLE FUNDS	1,855,563,583.02	1,280,879,867.67	1,363,340,664.90	113,886,501.33
	STATE SPECIAL REVENUE FUNDS				
20401	DOL-CHILD PERFORMER PROTECTION ACCOUNT	-	-	-	-
20501	LOCAL GOVERNMENT RECORDS MGMT	-	-	-	-
20810	CHILD HEALTH INSURANCE	6,964,294.68	59,684,393.87	91,899,347.52	(81,291,033.66)
20818	EPIC PREMIUM ACCOUNT	-	-	-	-
20901	LOTTERY-EDUCATION	1,475,516,971.83	1,310,955,140.51	984,870,301.83	(249,846,362.18)
20904	VLT EDUCATION	-	-	-	-
21001	ENVR FAC CORP ADM ACCT	3,501,081.95	3,532,201.76	3,622,883.61	-
21002	ENCON ADMIN ACCT	-	-	-	-
21061	HAZARDOUS BULK STORAGE	-	-	-	-
21064	UTILITY ENVIRONMENTAL REGULATORY ACCOUNT	199.99	199.99	199.99	-
21065	FEDERAL GRANTS INDIRECT COST RECOVERY ACCOUNT	1,271,310.60	1,870,095.04	2,886,753.88	(1,678,186.34)
21066	ENCON-LOW LEVEL RADIOACTIVE WASTE SITING	3,908,282.89	3,430,618.95	3,814,428.48	317,446.39
21067	ENCON-RECREATION	-	-	-	-
21077	PUBLIC SAFETY RECOVERY ACCOUNT	-	-	-	-
21081	ENVIRONMENTAL REGULATORY	74,354,875.61	68,434,129.41	68,694,225.88	2,144,876.33
21082	NATURAL RESOURCES ACCOUNT	15,591,711.03	15,466,498.96	16,220,707.06	214,846.71
21084	MINED LAND RECLAMATION ACCT	-	-	-	-
21087	GREAT LAKES RESTORATION INITIATIVE	-	-	-	-
21201	AUDIT AND CONTROL OIL SPILL	-	-	21.27	11,839.69
21202	HEALTH DEPT OIL SPILL	-	-	-	2,472.17
21203	DEPT OF ENVIRONMENTAL CONSERVATION OIL SPILL	-	-	-	-
21204	OIL SPILL COMPENSATION	574.00	-	241.99	263,565.95
21205	LICENSE FEE SURCHARGES	-	-	-	-
21401	PUBLIC TRANSPORTATION SYSTEMS	-	-	-	-
21402	METROPOLITAN MASS TRANSPORTATION	-	-	-	-
21451	OPERATING PERMIT PROGRAM	37,719,594.40	35,552,597.84	36,038,137.69	41,402,799.07
21452	MOBILE SOURCE	-	-	-	275,345.77
21902	HEALTH-SPARC'S	-	-	-	-
21905	THRUWAY AUTHORITY ACCT	7,759,678.92	12,388,561.75	5,860,760.75	2,411,571.58
21907	MENTAL HYGIENE PROGRAM	-	-	-	-
21909	FINANCIAL CONTROL BOARD	506,302.37	167,290.20	-	-
21911	RACING REGULATION ACCOUNT	4,409,050.41	3,414,991.38	330,164.19	181,674.35
21912	SU DORM INCOME REIMBURSE	291,958.93	192,869.29	4,378,390.34	512,566.42
21937	CRIMINAL JUSTICE IMPROVEMENT	-	-	159,688.77	(112,154.70)
21945	ENV LAB REF FEE	-	-	-	-
21959	TRAINING, MANAGEMENT AND EVALUATION ACCOUNT	231,230.21	253,688.44	250,429.56	13,335.51
21961	CLINICAL LAB FEE	7,942,535.53	7,298,287.72	10,985,774.82	1,015,218.24
21962	INDIRECT COST RECOVERY	2,870,703.12	4,686,143.81	-	-
21978	MULTI- AGENCY TRAINING ACCOUNT	-	-	-	-
21989	BELL JAR COLLECTION ACCOUNT	-	-	-	-
22003	INDUSTRY AND UTILITY SERVICE	-	-	-	-
22004	REAL PROPERTY DISPOSITION	-	-	-	-
22006	PARKING ACCOUNT	381,779.64	296,753.95	209,659.58	(86,360.83)
22007	COURTS SPECIAL GRANTS	-	-	-	-
22008	ASBESTOS SAFETY TRAINING	34,392.98	36,726.07	60,302.00	29,691.08
22009	CAMP SMITH BILLETING ACCOUNT	-	-	-	-
22017	BATAVIA SCHOOL FOR THE BLIND	7,310,469.56	7,863,057.64	9,045,115.63	1,082,281.70
22032	INVESTMENT SERVICES	-	-	-	-
22034	SURPLUS PROPERTY ACCOUNT	-	-	-	-
22036	FINANCIAL OVERSIGHT	1,123,031.79	279,704.78	728,310.88	394,162.03
22039	REGULATION INDIAN GAMING	102,533,454.74	103,496,975.72	104,492,744.65	428,191.12
22046	-	-	-	-	-

APPENDIX F

STATE OF NEW YORK
SCHEDULE OF MONTH-END TEMPORARY LOANS OUTSTANDING(*)

SFS Fund	ACCOUNT TITLE	September 30, 2021	October 31, 2021	November 30, 2021	Change	December 31, 2021
22053	ROME SCHOOL FOR THE DEAF	3,689,283.01	4,190,735.32	5,171,204.62	791,398.39	5,962,603.01
22054	DSP-SEIZED ASSETS	-	-	-	-	-
22055	ADMINISTRATIVE ADJUDICATION	43,091,872.83	39,302,744.98	38,098,201.83	3,518,568.84	41,616,770.67
22056	FEDERAL SALARY SHARING	858,793.21	1,075,567.99	1,315,723.85	197,534.42	1,513,258.27
22062	NYC ASSESSMENT ACCT	-	-	-	-	-
22063	CULTURAL EDUCATION ACCOUNT	-	-	-	-	-
22078	LOCAL SERVICE ACCOUNT	-	-	-	-	-
22085	DHCR MORTGAGE SERVICES	3,336,131.73	3,529,238.47	3,858,546.65	191,337.92	4,050,484.57
22090	HOUSING INDIRECT COST RECOVERY	-	-	-	-	-
22100	DHCR-HOUSING CREDIT AGENCY APPLY FEE	-	-	-	-	-
22130	LOW INCOME HOUSING CREDIT MONITORING	13,778,218.28	14,157,063.58	15,412,821.99	293,168.69	15,705,990.68
22135	EFC-CORPORATION ADMINISTRATION	-	-	-	-	-
22144	MONTROSE VETERAN'S HOME	-	-	-	-	-
22151	DEFERRED COMPENSATION ADMIN	143,637.46	196,728.20	60,184.78	70,270.16	130,454.94
22156	RENT REVENUE OTHER - NYC	7,101,412.96	10,762,830.31	14,379,222.79	(14,379,222.79)	-
22158	RENT REVENUE	-	-	-	-	-
22168	TAX REVENUE ARREARAGE ACCOUNT	2,038,581.32	2,150,141.53	2,387,686.62	139,317.44	2,507,014.06
22240	NYS MEDICAL INDEMNITY FUND ACCOUNT	-	-	-	-	-
22246	BEHAVIORAL HEALTH PARITY COMPLIANCE FUND	-	-	-	-	-
22834	S.U. NON-RESIDENT REV. OFFSET	20,684,988.11	20,684,980.23	20,685,966.00	1,064.80	20,687,030.80
22731	LAKE GEORGE PARK TRUST FUND	-	-	-	-	-
22802	STATE POLICE INV ENFORCE	-	-	-	-	-
23001	DOT - HIGHWAY SAFETY PRGM	18,205,212.08	18,438,204.34	18,852,773.45	328,491.00	19,181,264.45
23102	DOH DRINKING WATER PROGRAM	5,350,949.70	5,350,949.70	5,350,949.70	-	5,350,949.70
23151	NYCCC OPERATING OFFSET	46,339,730.57	48,825,928.19	51,105,703.36	2,345,848.65	53,451,552.01
23702	COMMERCIAL GAMING REGULATION	24,516,583.98	24,828,087.26	21,367,022.54	423,690.05	21,790,712.59
23801	HIGHWAY USE TAX ADMIN	-	-	-	-	-
23806	NYS SECURE CHOICE ADMIN	-	-	-	-	-
24951	FANTASY SPORTS ADMINISTRATION	123,255.43	123,255.43	98,229.26	(64,961.40)	33,267.86
	TOTAL STATE SPECIAL REVENUE FUNDS	1,943,481,235.85	1,832,917,392.61	1,542,682,837.81	(288,411,653.11)	1,254,251,184.70
	FEDERAL FUNDS					
25000-25099	FEDERAL USDA/FOOD AND NUTRITION SERVICES FUND	35,359,449.61	109,103,836.62	152,301,080.24	(98,583,987.46)	53,717,092.78
25100-25199	FEDERAL HEALTH AND HUMAN SERVICES FUND	670,316,310.59	296,489,150.70	838,385,718.19	(527,446,633.15)	310,939,085.04
25200-25249	FEDERAL EDUCATION GRANTS FUND	53,266,552.04	131,842,765.07	61,266,986.76	54,689,244.11	115,976,230.87
25300-25899	FEDERAL OPERATING GRANTS FUND	569,060,240.27	561,827,467.67	528,907,457.65	55,459,910.43	584,367,368.08
31351	MILITARY AND NAVAL AFFAIRS	8,753,932.66	8,753,932.66	8,753,932.66	-	8,753,932.66
31354	DEPARTMENT OF TRANSPORTATION	955,254,448.38	1,028,538,605.65	872,594,269.18	(30,762,483.40)	842,231,775.78
31350-31449	FEDERAL CAPITAL PROJECTS FUND (ALL OTHER)	113,102,328.42	114,325,383.83	109,152,004.57	2,108,368.77	111,260,371.34
25900-25949	UNEMPLOYMENT INSURANCE ADMINISTRATION	18,912,272.35	17,253,080.43	39,830,610.20	41,516,313.57	81,346,923.77
25950	FEDERAL UNEMPLOYMENT INS OCCUPATIONAL TRAINING	548,078.14	139,100.14	481,531.64	109,922.47	591,454.11
26001-26049	DOI EMPLOYMENT AND TRAINING FUNDS	2,053,327.83	2,223,327.08	7,472,378.95	(6,238,311.84)	1,235,067.11
	TOTAL FEDERAL FUNDS	2,428,625,190.29	2,270,197,630.75	2,619,363,970.04	(509,143,768.50)	2,110,420,201.54
	AGENCY FUNDS					
60201	EMPLOYEES HEALTH INSURANCE ACCT	-	-	-	-	-
60901	MMIS - STATE AND FEDERAL	-	-	-	-	-
	TOTAL AGENCY FUNDS	-	-	-	-	-
	ENTERPRISE FUND					
50318	OGS CONVENTION CENTER ACCOUNT	1,216,428.11	1,256,831.31	1,311,531.89	32,519.53	1,344,051.42
50327	EMPIRE PLAZA GIFT SHOP	326,263.52	333,759.13	351,011.44	(4,296.80)	346,714.64
	TOTAL ENTERPRISE FUND	1,541,691.63	1,590,590.44	1,662,543.33	28,222.73	1,690,766.06
	INTERNAL SERVICE FUNDS					
55001	CENTRALIZED SERVICES-FLEET MGMT	-	-	-	-	-
55002	CENTRALIZED SERVICES-DATA PROCESSING	-	-	-	-	-
55003	CENTRALIZED SERVICES-PRINTING	816,295.43	775,066.72	815,942.58	20,896.43	836,839.01
55004	CENTRALIZED SERVICES-REAL PROPERTY-LABOR	-	-	-	-	-
55005	CENTRALIZED SERVICES-DONATED FOODS	-	-	-	-	-
55006	CENTRALIZED SERVICES-PERSONAL PROPERTY	30,913.40	16,666.41	29,327.74	37,410.46	66,738.20
55007	CENTRALIZED SERVICES-CONSTRUCTION SERVICES	1,443,150.68	1,471,600.94	1,124,992.77	50,933.14	1,175,925.91
55008	CENTRALIZED SERVICES-PASNY	17,225,131.31	17,656,067.74	24,141,173.54	(6,534,999.06)	17,606,174.48
55009	CENTRALIZED SERVICES-ADMIN SUPPORT	10,297,487.56	11,776,518.64	13,907,262.14	1,195,057.08	15,102,319.22
55010	CENTRALIZED SERVICES-DESIGN AND CONSTR	-	-	-	-	-
55011	CENTRALIZED SERVICES-INSURANCE	-	-	-	-	-
55012	CENTRALIZED SERVICES-SECURITY CARD ACCESS	181,905.06	175,587.06	168,320.44	(3,154.51)	165,165.93
55013	CENTRALIZED SERVICES-COPS	-	-	-	-	-
55014	CENTRALIZED SERVICES-FOOD SERVICES	-	-	-	-	-
55015	CENTRALIZED SERVICES-HOMER FOLKS	-	-	-	-	-
55016	CENTRALIZED SERVICES-HIMMCS	1,107,209.37	796,794.34	946,556.87	(76,800.59)	869,756.28
55017	DOWNSTATE WAREHOUSE	257,249.56	265,681.54	172,020.32	85,940.49	257,960.81
55018	BUILDING ADMINISTRATION	-	-	-	-	-

APPENDIX F

STATE OF NEW YORK
SCHEDULE OF MONTH-END TEMPORARY LOANS OUTSTANDING(*)

SFS Fund	ACCOUNT TITLE	September 30, 2021	October 31, 2021	November 30, 2021	Change	December 31, 2021
55019	LEASE SPACE INITIATIVE	-	-	-	-	-
55020	OGS ENTERPRISE CONTRACTING ACCT	79,916,608.91	80,395,018.37	75,087,705.32	(8,022,535.55)	67,045,169.77
55021	NYS MEDIA CENTER	12,463,341.74	12,390,176.90	13,020,264.80	230,178.87	13,250,443.67
55022	BUSINESS SERVICES CENTER	14,832,519.99	17,097,947.74	19,785,930.89	2,463,388.47	22,249,319.36
55052	ARCHIVES RECORD MGMT I.S.	-	-	-	47,577.70	47,577.70
55053	FEDERAL SINGLE AUDIT	-	-	-	-	-
55055	CIVIL SERVICE ADMINISTRATION ACCOUNT	-	-	-	-	-
55056	CIVIL SERVICE EHS OCCUP HEALTH PROG	-	-	-	-	-
55057	BANKING SERVICES ACCOUNT	71,422.93	519.81	3,166.80	38,822.71	41,989.51
55058	CULTURAL RESOURCE SURVEY	5,289,172.74	5,607,446.92	6,135,647.08	363,463.67	6,499,110.75
55059	NEIGHBOR WORK PROJECT	11,464,916.82	11,192,956.04	10,852,954.39	(201,290.45)	10,651,663.94
55060	AUTOMATIC/PRINT CHARGEBACKS	-	334,376.30	-	-	-
55061	OFT NYT ACCT	-	89,571,963.74	84,873,400.14	(278,418.57)	84,594,981.57
55062	DATA CENTER ACCOUNT	1,261,584.27	1,261,584.27	1,261,584.27	-	1,261,584.27
55066	CYBER SECURITY INTRUSION ACCT	65,176.03	83,834.27	132,522.17	26,504.58	159,026.75
55067	DOMESTIC VIOLENCE GRANT	97,573,009.10	100,700,012.82	99,736,915.56	6,089,568.34	105,825,486.90
55069	CENTRALIZED TECHNOLOGY SERVICES	1,869,792.66	592,541.29	970,792.07	342,629.30	1,313,421.37
55071	LABOR CONTACT CENTER ACCT	1,861,351.33	2,844,937.70	1,282,746.15	117,782.13	1,400,530.28
55072	HUMAN SERVICES CONTACT CNTR ACCT	-	-	-	-	-
55073	TAX CONTACT CENTER ACCT	-	-	-	-	-
55074	CIVIL RECOVERIES ACCT	-	-	-	-	-
55231	EXECUTIVE DIRECTION INTERNAL AUDIT	9,655,883.20	9,881,084.06	10,123,834.30	(479,828.39)	9,644,005.91
55232	CIO INFORMATION TECHNOLOGY CENTRALIZED SERVICES	50,255,278.18	53,164,975.66	59,775,102.93	4,453,694.24	64,228,797.17
55300	HEALTH INSURANCE INTERNAL SERVICE	-	-	-	-	-
55301	CIVIL SERVICE EMPLOYEE BENEFITS DIV ADM	9,549,814.03	7,642,119.41	7,725,360.69	107,038.17	7,832,398.86
55350	CORR INDUSTRIES INTERNAL SERVICE	29,430,384.98	30,756,121.20	32,946,219.83	2,118,814.82	35,065,034.65
	TOTAL INTERNAL SERVICE FUNDS	446,491,562.82	456,453,598.89	464,999,748.79	2,191,673.48	467,191,422.27
	GRAND TOTAL - TEMPORARY LOANS OUTSTANDING	\$ 6,673,703,263.61	\$ 5,842,639,081.36	\$ 5,392,231,764.87	\$ (681,469,224.07)	\$ 5,310,762,540.80

(*) Temporary Loans are authorized pursuant to Subdivision 5 of Section 4 of the State Finance Law and Chapter 59, Part JJJ, Section 1, of the Laws of 2021-22. Such loans are made from the State's Short-Term Investment Pool (STIP) and are intended to satisfy temporary cash shortfalls whenever scheduled disbursements exceed available revenues during the fiscal year. Generally, temporary loans are repaid from the first cash receipts of the fund or account; however, in some cases actual revenues are not sufficient to repay all loans made to the fund or account and a transfer from the General Fund "Repayment of Receivables" appropriation is approved by the Budget Director. The balances reported here in Appendix F are the actual fund balances as of the close of business on the last day of the reporting month and do not include post-closing adjustments. Please refer to Schedule 1 for a detailed analysis of the reported cash balances of the fund group.

(**) Temporary loans to federal funds are typically reimbursed within 2-3 days. Such loans are made pursuant to federal regulations which require the State to disburse funds prior to making a reimbursement claim from the U.S. Treasury.

(***) Per Section 72 of the State Finance Law, the General Fund includes the Local Assistance Fund (10000) and State Purpose Fund (10050).

APPENDIX G

	2021	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2022	FEBRUARY	MARCH	9 Months Ended December 31, 2021
	APRIL	MAY							JANUARY			
OPENING CASH BALANCE	\$ 96,722,524	\$ 83,609,252	\$ 39,031,404	\$ 84,394,170	\$ 74,940,119	\$ 56,498,178	\$ 39,300,502	\$ 74,343,891	-	-	-	\$ 96,722,524
RECEIPTS:												
Transfers from General Fund (**)	-	-	-	-	-	-	65,000,000	-	-	-	-	135,000,000
Other	1,407	-	70,000,000	-	-	-	-	-	-	-	-	1,407
Total Receipts	1,407	-	70,000,000	-	-	-	65,000,000	-	-	-	-	135,001,407
DISBURSEMENTS:												
Affordable and Homeless Housing	731,174	1,659,409	2,635,458	1,124,218	1,913,433	2,199,742	1,589,959	1,685,270	3,080,980			18,820,643
Broadband Initiative	4,076,555	25,384,346	8,629,425	1,424,023	6,832,125	289,424	109,148	1,170,562	15,316,188			63,211,796
Downtown Revitalization	379,374	1,452,326	407,573	29,085	460,219	490,042	216,715	-	152,472			3,577,806
Empire State Poverty Reduction Initiatives	-	1,448,891	415,672	632,952	514,408	240,387	683,280	188,071	11,394,686			15,507,157
Health Care / Hospital Initiatives	192,187	1,415,645	-	672,776	-	-	286,056	665,259	-			2,430,145
Formal Technology Infrastructure for Behavioral Sciences	-	-	-	-	-	-	-	-	-			-
Infrastructure Development	-	276,017	1,910,168	28,159	1,940,711	2,489,128	49,283	2,775,440	3,463,583			12,632,489
Jacob Javits Center Expansion	-	-	-	-	-	-	-	-	-			-
Life Sciences Initiative	-	-	994,530	1,437,634	49,989	2,500,000	-	3,000,000	7,982,163			7,982,163
Municipal Restructuring / Consolidation Competition	606,923	2,912,068	843,903	12,017	1,256,401	364,844	1,120,551	347,292	11,418			7,475,417
Penn Station Access	-	-	-	-	-	-	-	-	-			-
Resiliency, Mitigation, Security and Emergency Response	-	-	-	-	(338)	-	-	(2,693)	(1,207)			(4,238)
Southern Tier / Hudson Valley Farm Initiative	(101,592)	-	338,083	(149,466)	-	-	11,280	-	75,772			174,087
Thruway Stabilization Program	866,444	1,948,402	2,911,422	1,491,953	283,784	260,226	2,839,993	3,021,771	8,333			13,432,328
Transformative Economic Development Projects	-	-	-	-	-	-	-	-	-			-
Transportation Capital Plan	6,393,584	8,182,744	6,250,000	2,601,624	5,940,665	7,719,461	1,913,159	11,652,235	5,371,525			56,003,997
Update Revitalization Program	-	-	-	-	-	-	-	-	-			-
Total Disbursements	13,114,679	43,677,848	25,537,234	9,454,051	18,440,941	17,198,676	8,631,404	21,325,207	41,883,750	-	-	199,243,790
OPERATING TRANSFERS:												
Transfers to General Fund	-	-	-	-	-	-	-	-	-	-	-	-
Total Operating Transfers	-	-	-	-	-	-	-	-	-	-	-	-
Total Disbursements and Transfers	13,114,679	43,677,848	25,537,234	9,454,051	18,440,941	17,198,676	8,631,404	21,325,207	41,883,750	-	-	199,243,790
CLOSING CASH BALANCE	\$ 83,609,252	\$ 39,031,404	\$ 84,394,170	\$ 74,940,119	\$ 56,498,178	\$ 39,300,502	\$ 95,669,098	\$ 74,343,891	\$ -	\$ -	\$ -	\$ 32,480,141

(¹) Fund created pursuant to Chapter 60, Laws of 2015-16, Part H and SFL § 93-b
(¹¹) Pursuant to Section 93(b) of the State Finance Law

STATE OF NEW YORK
MEDICAL ASSISTANCE DISBURSEMENTS - STATE FUNDS^(*)
FISCAL YEAR 2021-2022

APPENDIX H

	December 2021			9 MONTHS ENDED DECEMBER 31		
	Department of Health	Other State Agencies	December	Department of Health	Other State Agencies	Year to Date
Adult State Share Medicaid	\$ -	\$ 84,978,783.00	\$ 84,978,783.00	\$ -	\$ 211,252,297.00	\$ 211,252,297.00
State Share Medicaid	27,526,313.00	(7,640,324.28)	19,885,988.72	102,076,503.00	3,400,620.96	105,477,123.96
Medical Assistance (OPWDD)	-	697,435,633.00	697,435,633.00	-	1,687,817,117.79	1,687,817,117.79
Medical Assistance Administration	-	35,188,144.00	35,188,144.00	40,034,240.69	309,764,606.00	349,798,846.69
Traumatic Brain Injury Services	1,033,893.13	-	1,033,893.13	8,528,655.86	-	8,528,655.86
Reducing Maternal Mortality	147,948.40	-	147,948.40	258,571.67	-	258,571.67
New York Connects	-	2,613,903.62	2,613,903.62	-	11,644,933.32	11,644,933.32
Facilitated Enrollment	199,698.61	-	199,698.61	2,804,432.58	-	2,804,432.58
Managed Long-Term Care Ombudsman	423,423.63	-	423,423.63	3,814,902.65	-	3,814,902.65
General Hospitals Safety-Net Providers	41,273,582.00	-	41,273,582.00	224,823,382.00	-	224,823,382.00
AIDS Epidemic	980,695.24	-	980,695.24	8,292,318.70	-	8,292,318.70
Expanding Caregiver Support Services	1,412,488.14	-	1,412,488.14	15,299,525.09	-	15,299,525.09
Provide Affordable Housing	2,255,036.58	2,859,546.57	5,113,583.15	18,815,903.96	11,147,585.71	29,963,489.67
Community Provider Network	-	-	-	13,490,550.00	-	13,490,550.00
Inpatient Services	7,862,307.96	-	7,862,307.96	397,396,327.40	-	397,396,327.40
Patient Centered Medical Homes	58,053,735.74	-	58,053,735.74	58,632,136.49	-	58,632,136.49
Outpatient & Emergency Room Services	83,505,013.93	-	83,505,013.93	187,161,862.93	-	187,161,862.93
Clinic Services	16,269,590.80	-	16,269,590.80	156,365,967.48	-	156,365,967.48
Nursing Home Services	266,180,889.99	-	266,180,889.99	1,031,712,611.52	-	1,031,712,611.52
Other Long Term Care Services	(321,707,837.52)	-	(321,707,837.52)	1,950,707,737.51	-	1,950,707,737.51
Managed Care Services	373,459,005.68	-	373,459,005.68	3,918,460,369.68	-	3,918,460,369.68
Pharmacy Services	15,954,012.61	-	15,954,012.61	125,731,000.62	-	125,731,000.62
Transportation Services	12,658,394.12	-	12,658,394.12	100,184,315.91	-	100,184,315.91
Dental Services	286,700.66	-	286,700.66	2,570,309.25	-	2,570,309.25
Non-Institutional & Other	382,407,647.88	263,575.00	382,671,222.88	4,341,574,931.83	18,875,120.00	4,360,450,051.83
Medical Services State Facilities	145,053,582.33	-	145,053,582.33	865,774,722.55	-	865,774,722.55
CSEA Family Health Plus Buy In	586,033.98	-	586,033.98	1,718,141.98	-	1,718,141.98
Medical Assistance (HCRA)	300,000,000.00	-	300,000,000.00	3,100,000,000.00	-	3,100,000,000.00
Indigent Care	46,182,008.81	-	46,182,008.81	553,808,664.42	-	553,808,664.42
Provider Assessments	81,428,000.00	-	81,428,000.00	561,690,000.00	-	561,690,000.00
Additional DSH Payments SUNY	-	-	-	165,561,662.36	-	165,561,662.36
TOTAL^(*)	1,543,412,165.70	815,698,260.91	2,359,110,426.61	17,957,289,748.13	2,253,902,280.78	20,211,192,028.91
Redistribution of Medical Assistance payments for care and treatment of patients at State-operated health, mental hygiene and State University facilities to Transfers.	(166,814,640.06)	-	(166,814,640.06)	(1,115,868,908.50)	-	(1,115,868,908.50)
TOTAL REPORTED MEDICAID	\$ 1,376,597,525.64	\$ 815,698,260.91	\$ 2,192,295,786.55	\$ 16,841,420,839.63	\$ 2,253,902,280.78	\$ 19,095,323,120.41

Redistribution of Medical Assistance payments for care and treatment of patients at State-operated health, mental hygiene and State University facilities to Transfers.

TOTAL REPORTED MEDICAID

^(*) General Fund and State Special Revenue Funds only.
These amounts do not include Medical Assistance spending for State Operations.
These amounts are not comparable to Medicaid Global Cap spending.
Department of Health regularly reclassifies spending between programs,
and therefore amounts for any individual program may be restated by DOH.
^(**)Source: Statewide Financial System

STATE OF NEW YORK

MEDICAL ASSISTANCE DISBURSEMENTS - FEDERAL FUNDS^(*)

FISCAL YEAR 2021-2022

APPENDIX I

	December 2021		9 MONTHS ENDED DECEMBER 31			
	Department of Health	Other State Agencies	December	Department of Health	Other State Agencies	Year to Date
	\$	\$	\$	\$	\$	\$
Medical Assistance & Survey Certification Program	9,144,562.98	-	9,144,562.98	112,212,992.90	-	112,212,992.90
Medical Assistance Administration	123,023.68	22,072,417.00	22,195,446.68	9,707,677.92	254,800,016.00	264,507,693.92
Inpatient Services	516,129,266.96	-	516,129,266.96	3,470,120,510.05	-	3,470,120,510.05
Outpatient & Emergency Room Services	120,605,685.16	-	120,605,685.16	387,984,370.37	-	387,984,370.37
Clinic Services	52,256,578.38	-	52,256,578.38	525,984,086.06	-	525,984,086.06
Nursing Home Services	292,311,192.40	-	292,311,192.40	1,404,150,269.93	-	1,404,150,269.93
Other Long Term Care Services	1,453,012,889.74	-	1,453,012,889.74	13,348,767,738.43	-	13,348,767,738.43
Managed Care Services	1,821,982,076.08	-	1,821,982,076.08	15,490,921,939.64	-	15,490,921,939.64
Pharmacy Services	33,975,309.50	-	33,975,309.50	319,853,657.21	-	319,853,657.21
Transportation Services	43,738,446.41	-	43,738,446.41	394,059,389.52	-	394,059,389.52
Dental Services	692,892.52	-	692,892.52	7,482,536.05	-	7,482,536.05
Non-Institutional & Other	(134,732,993.04)	2,196,153.00	(132,536,840.04)	(251,446,237.15)	32,538,976.00	(218,907,261.15)
Medical Services State Facilities	27,599,534.00	-	27,599,534.00	523,533,275.40	-	523,533,275.40
Additional DSH Payments SUNY	-	-	-	212,433,000.64	-	212,433,000.64
TOTAL ^(**)	4,236,838,470.77	24,268,570.00	4,261,107,040.77	35,955,765,206.97	287,338,992.00	36,243,104,198.97
Reclassification of Medical Assistance payments for care and treatment of patients at State-operated health, mental hygiene and State University facilities to Transfers and adjustments for timing of payments at month end.						
	432,543,689.14	-	432,543,689.14	(1,220,062,487.58)	-	(1,220,062,487.58)
TOTAL REPORTED MEDICAID^(***)	\$ 4,669,382,159.91	\$ 24,268,570.00	\$ 4,693,650,729.91	\$ 34,735,702,719.39	\$ 287,338,992.00	\$ 35,023,041,711.39

^(*) Special Revenue Federal Funds only.

These amounts do not include Medical Assistance spending for State Operations. These amounts are not comparable to Medicaid Global Cap spending.

^(**) Source: Statewide Financial System

^(***) Reported Medicaid spending does not include the Basic Health Plan.