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Issue 9

DEPARTMENT OF STATE
Division of Administrative Rules

NEW YORK STATE REGISTER

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- Central Library Services Aid and State Aid for Library Construction
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Financial Reports

State agencies must specify in each notice which proposes a rule the last date on which they will accept public comment. Agencies must always accept public comment: for a minimum of 60 days following publication in the *Register* of a Notice of Proposed Rule Making, or a Notice of Emergency Adoption and Proposed Rule Making; and for 45 days after publication of a Notice of Revised Rule Making, or a Notice of Emergency Adoption and Revised Rule Making in the *Register*. When a public hearing is required by statute, the hearing cannot be held until 60 days after publication of the notice, and comments must be accepted for at least 5 days after the last required hearing. When the public comment period ends on a Saturday, Sunday or legal holiday, agencies must accept comment through the close of business on the next succeeding workday.

For notices published in this issue:

– the 60-day period expires on April 30, 2023
– the 45-day period expires on April 15, 2023
– the 30-day period expires on March 31, 2023

KATHY HOCHUL
GOVERNOR

ROBERT J. RODRIGUEZ
SECRETARY OF STATE

NEW YORK STATE DEPARTMENT OF STATE

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NEW YORK STATE
REGISTER

Be a part of the rule making process!

The public is encouraged to comment on any of the proposed rules appearing in this issue. Comments must be made in writing and must be submitted to the agency that is proposing the rule. Address your comments to the agency representative whose name and address are printed in the notice of rule making. No special form is required; a handwritten letter will do. Individuals who access the online *Register* (www.dos.ny.gov) may send public comment via electronic mail to those recipients who provide an e-mail address in Notices of Proposed Rule Making. This includes Proposed, Emergency Proposed, Revised Proposed and Emergency Revised Proposed rule makings.

To be considered, comments should reach the agency before expiration of the public comment period. The law provides for a minimum 60-day public comment period after publication in the *Register* of every Notice of Proposed Rule Making, and a 45-day public comment period for every Notice of Revised Rule Making. If a public hearing is required by statute, public comments are accepted for at least five days after the last such hearing. Agencies are also required to specify in each notice the last date on which they will accept public comment.

When a time frame calculation ends on a Saturday or Sunday, the agency accepts public comment through the following Monday; when calculation ends on a holiday, public comment will be accepted through the following workday. Agencies cannot take action to adopt until the day after expiration of the public comment period.

The Administrative Regulations Review Commission (ARRC) reviews newly proposed regulations to examine issues of compliance with legislative intent, impact on the economy, and impact on affected parties. In addition to sending comments or recommendations to the agency, please do not hesitate to transmit your views to ARRC:

Administrative Regulations Review Commission
State Capitol
Albany, NY 12247
Telephone: (518) 455-5091 or 455-2731

Each paid subscription to the *New York State Register* includes one weekly issue for a full year and four "Quarterly Index" issues. The Quarterly is a cumulative list of actions that shows the status of every rule making action in progress or initiated within a calendar year.

The *Register* costs $80 a year for a subscription mailed first class and $40 for periodical (second) class. Prepayment is required. To order, send a check or money order payable to the NYS Department of State to the following address:

NYS Department of State
One Commerce Plaza
99 Washington Avenue
Suite 650
Albany, NY 12231-0001
Telephone: (518) 474-6957

KEY: (P) Proposal; (RP) Revised Proposal; (E) Emergency; (EP) Emergency and Proposal; (A) Adoption; (AA) Amended Adoption; (W) Withdrawal

Individuals may send public comment via electronic mail to those recipients who provided an e-mail address in Notices of Proposed Rule Making. This includes Proposed, Emergency Proposed, Revised Proposed and Emergency Revised Proposed rule makings. Choose pertinent issue of the *Register* and follow the procedures on the website (www.dos.ny.gov)

Rule Making Activities

RULE MAKING ACTIVITIES

Each rule making is identified by an I.D. No., which consists of 13 characters. For example, the I.D. No. AAM-01-96-00001-E indicates the following:

AAM -the abbreviation to identify the adopting agency
01 -the *State Register* issue number
96 -the year
00001 -the Department of State number, assigned upon receipt of notice.
E -Emergency Rule Making—permanent action not intended (This character could also be: A for Adoption; P for Proposed Rule Making; RP for Revised Rule Making; EP for a combined Emergency and Proposed Rule Making; EA for an Emergency Rule Making that is permanent and does not expire 90 days after filing.)

Italics contained in text denote new material. Brackets indicate material to be deleted.

Department of Agriculture and Markets

PROPOSED RULE MAKING
NO HEARING(S) SCHEDULED

2023 National Institute of Standards and Technology (“NIST”) Handbook 44

I.D. No. AAM-09-23-00032-P

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following proposed rule:

Proposed Action: This is a consensus rule making to amend section 220.2(a) of Title 1 NYCRR.

Statutory authority: Agriculture and Markets Law, sections 16, 18 and 179

Subject: 2023 National Institute of Standards and Technology (‘‘NIST’’) Handbook 44.

Purpose: To incorporate provisions of the 2023 Edition National Institute of Standards and Technology Handbook 44.

Text of proposed rule: Subdivision (a) of section 220.2 of Title 1 of the New York Codes, Rules and Regulations is amended to read as follows:

(a) Except as otherwise provided in this Part, the specifications, tolerances and regulations for commercial weighing and measuring devices shall be those adopted by the [103rd] *107th* National Conference on Weights and Measures 20[18]*22* as published in the National Institute of Standards and Technology Handbook 44, 20[19]*23* edition. This document is available from the National Conference on Weights and Measures, 1135 M Street, Suite 110, Lincoln, NE 68508, or the Superintendent of Documents, U.S. Government Printing Office, Washington, DC 20402. It is available for public inspection and copying in the office of the Director of Weights and Measures, Department of Agriculture and Markets, 10B Airline Drive, Albany, NY 12235, or in the office of the Department of State, One Commerce Plaza, 99 Washington Avenue, Suite 650, Albany, New York 12231.

Text of proposed rule and any required statements and analyses may be obtained from: James Willis, Director, Bureau of Weights and Measures, Department of Agriculture and Markets, 10B Airline Drive, Albany, NY 12235, (518) 457-3146, email: AGMWeigh@agriculture.ny.gov

Data, views or arguments may be submitted to: Same as above.

Public comment will be received until: 60 days after publication of this notice.

Consensus Rule Making Determination

The Department has considered this proposed rulemaking and has determined that this rule is a consensus rule within the meaning of State Administrative Procedure Act section 102(11), in that no person is likely to object to the rule as written since it is noncontroversial.

The proposed rule will amend the New York Codes, Rules and Regulations (NYCRR) Title 1, Section 220.2 to incorporate by reference the 2023 edition of National Institute of Standards and Technology Handbook 44 (2023 NIST Handbook 44) in place of the 2019 edition which is presently incorporated by reference. The 2023 NIST Handbook 44 differs from the 2019 edition in that it makes revisions to the Electric Vehicle Fueling Systems code by removing the tentative status, thereby making it permanent; requires the tare value to be printed on receipts; adds required specifications for Weigh in Motion scales; requires video display terminals interfaced with Point of Sale systems to meet size requirements; and allows electronic audit trails to replace printed ones.

The Department finds this rule is non-controversial and that no person is likely object to the rule as written for the following reasons: (1) the 2023 NIST Handbook 44 has been or will be adopted by, or is in use in, every state other than New York; (2) the state’s manufacturers of weighing and measuring devices already conform their operations to the provisions of the 2023 NIST Handbook 44 in order to sell their products in interstate commerce; and (3) the state’s users of commercial weighing and measuring devices already use devices that conform to the provisions of the 2023 NIST Handbook 44 due to its nearly-nationwide applicability. Therefore, based on the foregoing reasons, the proposed rule is a consensus rule within the meaning of State Administrative Procedure Act section 102(11).

Job Impact Statement

The Department finds that it is apparent from the nature and purpose of the proposed rule that it will not have a substantial adverse impact on jobs and employment opportunities.

The proposed rule will amend the New York Codes, Rules and Regulations (NYCRR) Title 1, Section 220.2 to incorporate by reference the 2023 edition of National Institute of Standards and Technology Handbook 44 (2023 NIST Handbook 44) in place of the 2019 edition which is presently incorporated by reference. The 2023 NIST Handbook 44 differs from the 2019 edition in that it makes revisions to the Electric Vehicle Fueling Systems code by removing the tentative status, thereby making it permanent; requires the tare value to be printed on receipts; adds required specifications for Weigh in Motion scales; requires video display terminals interfaced with Point of Sale systems to meet size requirements; and allows electronic audit trails to replace printed ones. The 2023 NIST Handbook 44 has been or will be adopted by, or is in use in, every state other than New York; the state’s manufacturers of weighing and measuring devices already conform their operations to the provisions of the 2023 NIST Handbook 44 in order to sell their products in interstate commerce; and the state’s users of commercial weighing and measuring devices already use devices that conform to the provisions of the 2023 NIST Handbook 44 due to its nearly-nationwide applicability. Therefore, because most state regulated parties are already in compliance and, therefore, will not incur any additional cost of compliance, the Department has determined that the rule will not have a substantial adverse effect on jobs and employment opportunities.

Department of Civil Service

NOTICE OF ADOPTION

Jurisdictional Classification

I.D. No. CVS-45-22-00001-A
Filing No. 130
Filing Date: 2023-02-13
Effective Date: 2023-03-01

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following action:

Action taken: Amendment of Appendix 2 of Title 4 NYCRR.

Statutory authority: Civil Service Law, section 6(1)

Subject: Jurisdictional Classification.

Purpose: To classify positions in the non-competitive class.

Text or summary was published in the November 9, 2022 issue of the Register, I.D. No. CVS-45-22-00001-P.

Final rule as compared with last published rule: No changes.

Text of rule and any required statements and analyses may be obtained from: Jennifer Paul, NYS Department of Civil Service, Empire State Plaza, Agency Building 1, Albany, NY 12239, (518) 473-6598, email: commops@cs.ny.gov

Assessment of Public Comment

The agency received no public comment.

NOTICE OF ADOPTION

Jurisdictional Classification

I.D. No. CVS-45-22-00002-A
Filing No. 132
Filing Date: 2023-02-13
Effective Date: 2023-03-01

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following action:

Action taken: Amendment of Appendix 1 of Title 4 NYCRR.

Statutory authority: Civil Service Law, section 6(1)

Subject: Jurisdictional Classification.

Purpose: To classify a position in the exempt class.

Text or summary was published in the November 9, 2022 issue of the Register, I.D. No. CVS-45-22-00002-P.

Final rule as compared with last published rule: No changes.

Text of rule and any required statements and analyses may be obtained from: Jennifer Paul, NYS Department of Civil Service, Empire State Plaza, Agency Building 1, Albany, NY 12239, (518) 473-6598, email: commops@cs.ny.gov

Assessment of Public Comment

The agency received no public comment.

NOTICE OF ADOPTION

Jurisdictional Classification

I.D. No. CVS-45-22-00003-A
Filing No. 127
Filing Date: 2023-02-13
Effective Date: 2023-03-01

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following action:

Action taken: Amendment of Appendix 2 of Title 4 NYCRR.

Statutory authority: Civil Service Law, section 6(1)

Subject: Jurisdictional Classification.

Purpose: To classify a position in the non-competitive class.

Text or summary was published in the November 9, 2022 issue of the Register, I.D. No. CVS-45-22-00003-P.

Final rule as compared with last published rule: No changes.

Text of rule and any required statements and analyses may be obtained from: Jennifer Paul, NYS Department of Civil Service, Empire State Plaza, Agency Building 1, Albany, NY 12239, (518) 473-6598, email: commops@cs.ny.gov

Assessment of Public Comment

The agency received no public comment.

NOTICE OF ADOPTION

Jurisdictional Classification

I.D. No. CVS-45-22-00004-A
Filing No. 131
Filing Date: 2023-02-13
Effective Date: 2023-03-01

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following action:

Action taken: Amendment of Appendix 1 of Title 4 NYCRR.

Statutory authority: Civil Service Law, section 6(1)

Subject: Jurisdictional Classification.

Purpose: To classify positions in the exempt class.

Text or summary was published in the November 9, 2022 issue of the Register, I.D. No. CVS-45-22-00004-P.

Final rule as compared with last published rule: No changes.

Text of rule and any required statements and analyses may be obtained from: Jennifer Paul, NYS Department of Civil Service, Empire State Plaza, Agency Building 1, Albany, NY 12239, (518) 473-6598, email: commops@cs.ny.gov

Assessment of Public Comment

The agency received no public comment.

NOTICE OF ADOPTION

Jurisdictional Classification

I.D. No. CVS-45-22-00005-A
Filing No. 122
Filing Date: 2023-02-13
Effective Date: 2023-03-01

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following action:

Action taken: Amendment of Appendix 2 of Title 4 NYCRR.

Statutory authority: Civil Service Law, section 6(1)

Subject: Jurisdictional Classification.

Purpose: To classify positions in the non-competitive class.

Text or summary was published in the November 9, 2022 issue of the Register, I.D. No. CVS-45-22-00005-P.

Final rule as compared with last published rule: No changes.

Text of rule and any required statements and analyses may be obtained from: Jennifer Paul, NYS Department of Civil Service, Empire State Plaza, Agency Building 1, Albany, NY 12239, (518) 473-6598, email: commops@cs.ny.gov

Assessment of Public Comment

The agency received no public comment.

NOTICE OF ADOPTION

Jurisdictional Classification

I.D. No. CVS-45-22-00006-A
Filing No. 121
Filing Date: 2023-02-13
Effective Date: 2023-03-01

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following action:

Action taken: Amendment of Appendix 2 of Title 4 NYCRR.

Statutory authority: Civil Service Law, section 6(1)

Subject: Jurisdictional Classification.

Purpose: To classify positions in the non-competitive class.

Text or summary was published in the November 9, 2022 issue of the Register, I.D. No. CVS-45-22-00006-P.

Final rule as compared with last published rule: No changes.

Text of rule and any required statements and analyses may be obtained from: Jennifer Paul, NYS Department of Civil Service, Empire State Plaza, Agency Building 1, Albany, NY 12239, (518) 473-6598, email: commops@cs.ny.gov

Assessment of Public Comment

The agency received no public comment.

NOTICE OF ADOPTION

Jurisdictional Classification

I.D. No. CVS-45-22-00007-A
Filing No. 128
Filing Date: 2023-02-13
Effective Date: 2023-03-01

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following action:

Action taken: Amendment of Appendix 1 of Title 4 NYCRR.

Statutory authority: Civil Service Law, section 6(1)

Subject: Jurisdictional Classification.

Purpose: To classify a position in the exempt class.

Text or summary was published in the November 9, 2022 issue of the Register, I.D. No. CVS-45-22-00007-P.

Final rule as compared with last published rule: No changes.

Text of rule and any required statements and analyses may be obtained from: Jennifer Paul, NYS Department of Civil Service, Empire State Plaza, Agency Building 1, Albany, NY 12239, (518) 473-6598, email: commops@cs.ny.gov

Assessment of Public Comment

The agency received no public comment.

NOTICE OF ADOPTION

Jurisdictional Classification

I.D. No. CVS-45-22-00008-A
Filing No. 120
Filing Date: 2023-02-13
Effective Date: 2023-03-01

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following action:

Action taken: Amendment of Appendix 1 of Title 4 NYCRR.

Statutory authority: Civil Service Law, section 6(1)

Subject: Jurisdictional Classification.

Purpose: To delete a position from the exempt class.

Text or summary was published in the November 9, 2022 issue of the Register, I.D. No. CVS-45-22-00008-P.

Final rule as compared with last published rule: No changes.

Text of rule and any required statements and analyses may be obtained from: Jennifer Paul, NYS Department of Civil Service, Empire State Plaza, Agency Building 1, Albany, NY 12239, (518) 473-6598, email: commops@cs.ny.gov

Assessment of Public Comment

The agency received no public comment.

NOTICE OF ADOPTION

Jurisdictional Classification

I.D. No. CVS-45-22-00009-A
Filing No. 117
Filing Date: 2023-02-13
Effective Date: 2023-03-01

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following action:

Action taken: Amendment of Appendices 1 and 2 of Title 4 NYCRR.

Statutory authority: Civil Service Law, section 6(1)

Subject: Jurisdictional Classification.

Purpose: To classify a position in the exempt class and to classify positions in the non-competitive class.

Text or summary was published in the November 9, 2022 issue of the Register, I.D. No. CVS-45-22-00009-P.

Final rule as compared with last published rule: No changes.

Text of rule and any required statements and analyses may be obtained from: Jennifer Paul, NYS Department of Civil Service, Empire State Plaza, Agency Building 1, Albany, NY 12239, (518) 473-6598, email: commops@cs.ny.gov

Assessment of Public Comment

The agency received no public comment.

NOTICE OF ADOPTION

Jurisdictional Classification

I.D. No. CVS-45-22-00010-A
Filing No. 124
Filing Date: 2023-02-13
Effective Date: 2023-03-01

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following action:

Action taken: Amendment of Appendix 1 of Title 4 NYCRR.

Statutory authority: Civil Service Law, section 6(1)

Subject: Jurisdictional Classification.

Purpose: To delete positions from and to classify positions in the exempt class.

Text or summary was published in the November 9, 2022 issue of the Register, I.D. No. CVS-45-22-00010-P.

Final rule as compared with last published rule: No changes.

Text of rule and any required statements and analyses may be obtained from: Jennifer Paul, NYS Department of Civil Service, Empire State Plaza, Agency Building 1, Albany, NY 12239, (518) 473-6598, email: commops@cs.ny.gov

Assessment of Public Comment

The agency received no public comment.

NOTICE OF ADOPTION

Jurisdictional Classification

I.D. No. CVS-45-22-00011-A
Filing No. 125
Filing Date: 2023-02-13
Effective Date: 2023-03-01

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following action:

Action taken: Amendment of Appendix 1 of Title 4 NYCRR.

Statutory authority: Civil Service Law, section 6(1)

Subject: Jurisdictional Classification.

Purpose: To delete positions from and to classify positions in the exempt class.

Text or summary was published in the November 9, 2022 issue of the Register, I.D. No. CVS-45-22-00011-P.

Final rule as compared with last published rule: No changes.

Text of rule and any required statements and analyses may be obtained from: Jennifer Paul, NYS Department of Civil Service, Empire State Plaza, Agency Building 1, Albany, NY 12239, (518) 473-6598, email: commops@cs.ny.gov

Assessment of Public Comment

The agency received no public comment.

NOTICE OF ADOPTION

Jurisdictional Classification

I.D. No. CVS-45-22-00012-A
Filing No. 118
Filing Date: 2023-02-13
Effective Date: 2023-03-01

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following action:

Action taken: Amendment of Appendix 1 of Title 4 NYCRR.

Statutory authority: Civil Service Law, section 6(1)

Subject: Jurisdictional Classification.

Purpose: To classify a position in the exempt class.

Text or summary was published in the November 9, 2022 issue of the Register, I.D. No. CVS-45-22-00012-P.

Final rule as compared with last published rule: No changes.

Text of rule and any required statements and analyses may be obtained from: Jennifer Paul, NYS Department of Civil Service, Empire State Plaza, Agency Building 1, Albany, NY 12239, (518) 473-6598, email: commops@cs.ny.gov

Assessment of Public Comment

The agency received no public comment.

NOTICE OF ADOPTION

Jurisdictional Classification

I.D. No. CVS-45-22-00013-A
Filing No. 123
Filing Date: 2023-02-13
Effective Date: 2023-03-01

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following action:

Action taken: Amendment of Appendices 1 and 2 of Title 4 NYCRR.

Statutory authority: Civil Service Law, section 6(1)

Subject: Jurisdictional Classification.

Purpose: To classify positions in the exempt class and to delete from and to classify positions in the non-competitive class.

Text or summary was published in the November 9, 2022 issue of the Register, I.D. No. CVS-45-22-00013-P.

Final rule as compared with last published rule: No changes.

Text of rule and any required statements and analyses may be obtained from: Jennifer Paul, NYS Department of Civil Service, Empire State Plaza, Agency Building 1, Albany, NY 12239, (518) 473-6598, email: commops@cs.ny.gov

Assessment of Public Comment

The agency received no public comment.

NOTICE OF ADOPTION

Jurisdictional Classification

I.D. No. CVS-45-22-00014-A
Filing No. 126
Filing Date: 2023-02-13
Effective Date: 2023-03-01

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following action:

Action taken: Amendment of Appendices 1 and 2 of Title 4 NYCRR.

Statutory authority: Civil Service Law, section 6(1)

Subject: Jurisdictional Classification.

Purpose: To classify positions in the exempt and non-competitive classes.

Text or summary was published in the November 9, 2022 issue of the Register, I.D. No. CVS-45-22-00014-P.

Final rule as compared with last published rule: No changes.

Text of rule and any required statements and analyses may be obtained from: Jennifer Paul, NYS Department of Civil Service, Empire State Plaza, Agency Building 1, Albany, NY 12239, (518) 473-6598, email: commops@cs.ny.gov

Assessment of Public Comment

The agency received no public comment.

NOTICE OF ADOPTION

Jurisdictional Classification

I.D. No. CVS-45-22-00015-A
Filing No. 119
Filing Date: 2023-02-13
Effective Date: 2023-03-01

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following action:

Action taken: Amendment of Appendix 2 of Title 4 NYCRR.

Statutory authority: Civil Service Law, section 6(1)

Subject: Jurisdictional Classification.

Purpose: To classify a position in the non-competitive class.

Text or summary was published in the November 9, 2022 issue of the Register, I.D. No. CVS-45-22-00015-P.

Final rule as compared with last published rule: No changes.

Text of rule and any required statements and analyses may be obtained from: Jennifer Paul, NYS Department of Civil Service, Empire State Plaza, Agency Building 1, Albany, NY 12239, (518) 473-6598, email: commops@cs.ny.gov

Assessment of Public Comment

The agency received no public comment.

NOTICE OF ADOPTION

Jurisdictional Classification

I.D. No. CVS-45-22-00016-A
Filing No. 129
Filing Date: 2023-02-13
Effective Date: 2023-03-01

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following action:

Action taken: Amendment of Appendix 1 of Title 4 NYCRR.

Statutory authority: Civil Service Law, section 6(1)

Subject: Jurisdictional Classification.

Purpose: To classify a position in the exempt class.

Text or summary was published in the November 9, 2022 issue of the Register, I.D. No. CVS-45-22-00016-P.

Final rule as compared with last published rule: No changes.

Text of rule and any required statements and analyses may be obtained from: Jennifer Paul, NYS Department of Civil Service, Empire State Plaza, Agency Building 1, Albany, NY 12239, (518) 473-6598, email: commops@cs.ny.gov

Assessment of Public Comment

The agency received no public comment.

PROPOSED RULE MAKING
NO HEARING(S) SCHEDULED

Jurisdictional Classification

I.D. No. CVS-09-23-00001-P

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following proposed rule:

Proposed Action: Amendment of Appendices 1 and 2 of Title 4 NYCRR.

Statutory authority: Civil Service Law, section 6(1)

Subject: Jurisdictional Classification.

Purpose: To classify a position in the exempt class and to delete a position from and to classify positions in the non-competitive class.

Text of proposed rule: Amend Appendix 1 of the Rules for the Classified Service, listing positions in the exempt class, in the Department of Environmental Conservation, by increasing the number of positions of Special Assistant from 26 to 27; and

Amend Appendix 2 of the Rules for the Classified Service, listing positions in the non-competitive class, in the Department of Environmental Conservation, by deleting therefrom the position of New York City Watershed Technical Coordinator (1) and by increasing the number of positions of Public Information Specialist 1 (Digital Content) from 4 to 7.

Text of proposed rule and any required statements and analyses may be obtained from: Jennifer Paul, NYS Department of Civil Service, Empire State Plaza, Agency Building 1, Albany, NY 12239, (518) 473-6598, email: commops@cs.ny.gov

Data, views or arguments may be submitted to: Eugene Sarfoh, Counsel, NYS Department of Civil Service, Empire State Plaza, Agency Building 1, Albany, NY 12239, (518) 473-2624, email: public.comments@cs.ny.gov

Public comment will be received until: 60 days after publication of this notice.

Regulatory Impact Statement

A regulatory impact statement is not submitted with this notice because this rule is subject to a consolidated regulatory impact statement that was previously printed under a notice of proposed rule making, I.D. No. CVS-02-23-00007-P, Issue of January 11, 2023.

Regulatory Flexibility Analysis

A regulatory flexibility analysis is not submitted with this notice because this rule is subject to a consolidated regulatory flexibility analysis that was previously printed under a notice of proposed rule making, I.D. No. CVS-02-23-00007-P, Issue of January 11, 2023.

Rural Area Flexibility Analysis

A rural area flexibility analysis is not submitted with this notice because this rule is subject to a consolidated rural area flexibility analysis that was previously printed under a notice of proposed rule making, I.D. No. CVS-02-23-00007-P, Issue of January 11, 2023.

Job Impact Statement

A job impact statement is not submitted with this notice because this rule is subject to a consolidated job impact statement that was previously printed under a notice of proposed rule making, I.D. No. CVS-02-23-00007-P, Issue of January 11, 2023.

PROPOSED RULE MAKING
NO HEARING(S) SCHEDULED

Jurisdictional Classification

I.D. No. CVS-09-23-00002-P

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following proposed rule:

Proposed Action: Amendment of Appendices 1 and 2 of Title 4 NYCRR.

Statutory authority: Civil Service Law, section 6(1)

Subject: Jurisdictional Classification.

Purpose: To classify a position in the exempt class and to classify positions in the non-competitive class.

Text of proposed rule: Amend Appendix 1 of the Rules for the Classified Service, listing positions in the exempt class, in the Department of Labor under the subheading "State Insurance Fund," by adding thereto the position of Chief Diversity Officer; and

Amend Appendix 2 of the Rules for the Classified Service, listing positions in the non-competitive class, in the Department of Labor under the subheading "State Insurance Fund," by adding thereto the positions of Equal Opportunity Specialist 1 (8), Equal Opportunity Specialist 3 (2), Information Technology Specialist 2 (Information Security) (8), Information Technology Specialist 3 (Information Security) (8), Information Technology Specialist 4 (Information Security) (4), Manager Information Technology Services 1 (Information Security) (2) and Manager Information Technology Services 2 (Information Security) (1); and by increasing the number of positions of Equal Opportunity Specialist 2 from 1 to 4.

Text of proposed rule and any required statements and analyses may be obtained from: Jennifer Paul, NYS Department of Civil Service, Empire State Plaza, Agency Building 1, Albany, NY 12239, (518) 473-6598, email: commops@cs.ny.gov

Data, views or arguments may be submitted to: Eugene Sarfoh, Counsel, NYS Department of Civil Service, Empire State Plaza, Agency Building 1, Albany, NY 12239, (518) 473-2624, email: public.comments@cs.ny.gov

Public comment will be received until: 60 days after publication of this notice.

Regulatory Impact Statement

A regulatory impact statement is not submitted with this notice because this rule is subject to a consolidated regulatory impact statement that was previously printed under a notice of proposed rule making, I.D. No. CVS-02-23-00007-P, Issue of January 11, 2023.

Regulatory Flexibility Analysis

A regulatory flexibility analysis is not submitted with this notice because this rule is subject to a consolidated regulatory flexibility analysis that was previously printed under a notice of proposed rule making, I.D. No. CVS-02-23-00007-P, Issue of January 11, 2023.

Rural Area Flexibility Analysis

A rural area flexibility analysis is not submitted with this notice because this rule is subject to a consolidated rural area flexibility analysis that was previously printed under a notice of proposed rule making, I.D. No. CVS-02-23-00007-P, Issue of January 11, 2023.

Job Impact Statement

A job impact statement is not submitted with this notice because this rule is subject to a consolidated job impact statement that was previously printed under a notice of proposed rule making, I.D. No. CVS-02-23-00007-P, Issue of January 11, 2023.

PROPOSED RULE MAKING
NO HEARING(S) SCHEDULED

Jurisdictional Classification

I.D. No. CVS-09-23-00003-P

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following proposed rule:

Proposed Action: Amendment of Appendix 1 of Title 4 NYCRR.

Statutory authority: Civil Service Law, section 6(1)

Subject: Jurisdictional Classification.

Purpose: To classify positions in the exempt class.

Text of proposed rule: Amend Appendix 1 of the Rules for the Classified Service, listing positions in the exempt class, in the Executive Department under the subheading "State Board of Elections," by adding thereto the positions of Deputy Director (2).

Text of proposed rule and any required statements and analyses may be obtained from: Jennifer Paul, NYS Department of Civil Service, Empire State Plaza, Agency Building 1, Albany, NY 12239, (518) 473-6598, email: commops@cs.ny.gov

Data, views or arguments may be submitted to: Eugene Sarfoh, Counsel, NYS Department of Civil Service, Empire State Plaza, Agency Building 1, Albany, NY 12239, (518) 473-2624, email: public.comments@cs.ny.gov

Public comment will be received until: 60 days after publication of this notice.

Regulatory Impact Statement

A regulatory impact statement is not submitted with this notice because this rule is subject to a consolidated regulatory impact statement that was previously printed under a notice of proposed rule making, I.D. No. CVS-02-23-00007-P, Issue of January 11, 2023.

Regulatory Flexibility Analysis

A regulatory flexibility analysis is not submitted with this notice because this rule is subject to a consolidated regulatory flexibility analysis that was previously printed under a notice of proposed rule making, I.D. No. CVS-02-23-00007-P, Issue of January 11, 2023.

Rural Area Flexibility Analysis

A rural area flexibility analysis is not submitted with this notice because this rule is subject to a consolidated rural area flexibility analysis that was previously printed under a notice of proposed rule making, I.D. No. CVS-02-23-00007-P, Issue of January 11, 2023.

Job Impact Statement

A job impact statement is not submitted with this notice because this rule is subject to a consolidated job impact statement that was previously printed under a notice of proposed rule making, I.D. No. CVS-02-23-00007-P, Issue of January 11, 2023.

PROPOSED RULE MAKING
NO HEARING(S) SCHEDULED

Jurisdictional Classification

I.D. No. CVS-09-23-00004-P

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following proposed rule:

Proposed Action: Amendment of Appendix 2 of Title 4 NYCRR.

Statutory authority: Civil Service Law, section 6(1)

Subject: Jurisdictional Classification.

Purpose: To classify positions in the non-competitive class.

Text of proposed rule: Amend Appendix 2 of the Rules for the Classified Service, listing positions in the non-competitive class, in the Executive Department under the subheading "Division of Criminal Justice Services," by adding thereto the positions of Equal Opportunity Specialist 3 (1), Public Safety Program Specialist 1, Public Safety Program Specialist 1 (Missing Persons), Public Safety Program Specialist 2, Public Safety Program Specialist 2 (Missing Persons), Public Safety Program Specialist 3, Public Safety Program Specialist 3 (Missing Persons) and Public Safety Training Manager (3).

Text of proposed rule and any required statements and analyses may be obtained from: Jennifer Paul, NYS Department of Civil Service, Empire State Plaza, Agency Building 1, Albany, NY 12239, (518) 473-6598, email: commops@cs.ny.gov

Data, views or arguments may be submitted to: Eugene Sarfoh, Counsel, NYS Department of Civil Service, Empire State Plaza, Agency Building 1, Albany, NY 12239, (518) 473-2624, email: public.comments@cs.ny.gov

Public comment will be received until: 60 days after publication of this notice.

Regulatory Impact Statement

A regulatory impact statement is not submitted with this notice because this rule is subject to a consolidated regulatory impact statement that was previously printed under a notice of proposed rule making, I.D. No. CVS-02-23-00007-P, Issue of January 11, 2023.

Regulatory Flexibility Analysis

A regulatory flexibility analysis is not submitted with this notice because this rule is subject to a consolidated regulatory flexibility analysis that was previously printed under a notice of proposed rule making, I.D. No. CVS-02-23-00007-P, Issue of January 11, 2023.

Rural Area Flexibility Analysis

A rural area flexibility analysis is not submitted with this notice because this rule is subject to a consolidated rural area flexibility analysis that was previously printed under a notice of proposed rule making, I.D. No. CVS-02-23-00007-P, Issue of January 11, 2023.

Job Impact Statement

A job impact statement is not submitted with this notice because this rule is subject to a consolidated job impact statement that was previously printed under a notice of proposed rule making, I.D. No. CVS-02-23-00007-P, Issue of January 11, 2023.

PROPOSED RULE MAKING
NO HEARING(S) SCHEDULED

Jurisdictional Classification

I.D. No. CVS-09-23-00005-P

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following proposed rule:

Proposed Action: Amendment of Appendix 2 of Title 4 NYCRR.

Statutory authority: Civil Service Law, section 6(1)

Subject: Jurisdictional Classification.

Purpose: To classify positions in the non-competitive class.

Text of proposed rule: Amend Appendix 2 of the Rules for the Classified Service, listing positions in the non-competitive class, in the Department of Health, by adding thereto the positions of Data Analyst 4.

Text of proposed rule and any required statements and analyses may be obtained from: Jennifer Paul, NYS Department of Civil Service, Empire State Plaza, Agency Building 1, Albany, NY 12239, (518) 473-6598, email: commops@cs.ny.gov

Data, views or arguments may be submitted to: Eugene Sarfoh, Counsel, NYS Department of Civil Service, Empire State Plaza, Agency Building 1, Albany, NY 12239, (518) 473-2624, email: public.comments@cs.ny.gov

Public comment will be received until: 60 days after publication of this notice.

Regulatory Impact Statement

A regulatory impact statement is not submitted with this notice because this rule is subject to a consolidated regulatory impact statement that was previously printed under a notice of proposed rule making, I.D. No. CVS-02-23-00007-P, Issue of January 11, 2023.

Regulatory Flexibility Analysis

A regulatory flexibility analysis is not submitted with this notice because this rule is subject to a consolidated regulatory flexibility analysis that was previously printed under a notice of proposed rule making, I.D. No. CVS-02-23-00007-P, Issue of January 11, 2023.

Rural Area Flexibility Analysis

A rural area flexibility analysis is not submitted with this notice because this rule is subject to a consolidated rural area flexibility analysis that was previously printed under a notice of proposed rule making, I.D. No. CVS-02-23-00007-P, Issue of January 11, 2023.

Job Impact Statement

A job impact statement is not submitted with this notice because this rule is subject to a consolidated job impact statement that was previously printed under a notice of proposed rule making, I.D. No. CVS-02-23-00007-P, Issue of January 11, 2023.

PROPOSED RULE MAKING
NO HEARING(S) SCHEDULED

Jurisdictional Classification

I.D. No. CVS-09-23-00006-P

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following proposed rule:

Proposed Action: Amendment of Appendix 2 of Title 4 NYCRR.

Statutory authority: Civil Service Law, section 6(1)

Subject: Jurisdictional Classification.

Purpose: To classify a position in the non-competitive class.

Text of proposed rule: Amend Appendix 2 of the Rules for the Classified Service, listing positions in the non-competitive class, in the State University of New York under the subheading "State University Colleges," by adding thereto the position of Administrative Assistant 2 (1) at SUC at Plattsburgh.

Text of proposed rule and any required statements and analyses may be obtained from: Jennifer Paul, NYS Department of Civil Service, Empire State Plaza, Agency Building 1, Albany, NY 12239, (518) 473-6598, email: commops@cs.ny.gov

Data, views or arguments may be submitted to: Eugene Sarfoh, Counsel, NYS Department of Civil Service, Empire State Plaza, Agency Building 1, Albany, NY 12239, (518) 473-2624, email: public.comments@cs.ny.gov

Public comment will be received until: 60 days after publication of this notice.

Regulatory Impact Statement

A regulatory impact statement is not submitted with this notice because this rule is subject to a consolidated regulatory impact statement that was previously printed under a notice of proposed rule making, I.D. No. CVS-02-23-00007-P, Issue of January 11, 2023.

Regulatory Flexibility Analysis

A regulatory flexibility analysis is not submitted with this notice because this rule is subject to a consolidated regulatory flexibility analysis that was previously printed under a notice of proposed rule making, I.D. No. CVS-02-23-00007-P, Issue of January 11, 2023.

Rural Area Flexibility Analysis

A rural area flexibility analysis is not submitted with this notice because this rule is subject to a consolidated rural area flexibility analysis that was previously printed under a notice of proposed rule making, I.D. No. CVS-02-23-00007-P, Issue of January 11, 2023.

Job Impact Statement

A job impact statement is not submitted with this notice because this rule is subject to a consolidated job impact statement that was previously printed under a notice of proposed rule making, I.D. No. CVS-02-23-00007-P, Issue of January 11, 2023.

PROPOSED RULE MAKING
NO HEARING(S) SCHEDULED

Jurisdictional Classification

I.D. No. CVS-09-23-00007-P

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following proposed rule:

Proposed Action: Amendment of Appendix 1 of Title 4 NYCRR.

Statutory authority: Civil Service Law, section 6(1)

Subject: Jurisdictional Classification.

Purpose: To classify positions in the exempt class.

Text of proposed rule: Amend Appendix 1 of the Rules for the Classified Service, listing positions in the exempt class, in the Executive Department under the subheading "Office of Parks, Recreation and Historic Preservation," by adding thereto the position of Manager Information Services and by increasing the number of positions of Assistant Deputy Commissioner from 1 to 2.

Text of proposed rule and any required statements and analyses may be obtained from: Jennifer Paul, NYS Department of Civil Service, Empire State Plaza, Agency Building 1, Albany, NY 12239, (518) 473-6598, email: commops@cs.ny.gov

Data, views or arguments may be submitted to: Eugene Sarfoh, Counsel, NYS Department of Civil Service, Empire State Plaza, Agency Building 1, Albany, NY 12239, (518) 473-2624, email: public.comments@cs.ny.gov

Public comment will be received until: 60 days after publication of this notice.

Regulatory Impact Statement

A regulatory impact statement is not submitted with this notice because this rule is subject to a consolidated regulatory impact statement that was previously printed under a notice of proposed rule making, I.D. No. CVS-02-23-00007-P, Issue of January 11, 2023.

Regulatory Flexibility Analysis

A regulatory flexibility analysis is not submitted with this notice because this rule is subject to a consolidated regulatory flexibility analysis that was previously printed under a notice of proposed rule making, I.D. No. CVS-02-23-00007-P, Issue of January 11, 2023.

Rural Area Flexibility Analysis

A rural area flexibility analysis is not submitted with this notice because this rule is subject to a consolidated rural area flexibility analysis that was previously printed under a notice of proposed rule making, I.D. No. CVS-02-23-00007-P, Issue of January 11, 2023.

Job Impact Statement

A job impact statement is not submitted with this notice because this rule is subject to a consolidated job impact statement that was previously printed under a notice of proposed rule making, I.D. No. CVS-02-23-00007-P, Issue of January 11, 2023.

PROPOSED RULE MAKING
NO HEARING(S) SCHEDULED

Jurisdictional Classification

I.D. No. CVS-09-23-00008-P

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following proposed rule:

Proposed Action: Amendment of Appendix 1 of Title 4 NYCRR.

Statutory authority: Civil Service Law, section 6(1)

Subject: Jurisdictional Classification.

Purpose: To classify positions in the exempt class.

Text of proposed rule: Amend Appendix 1 of the Rules for the Classified Service, listing positions in the exempt class, in the Department of Family Assistance under the subheading "Office of Children and Family Services," by adding thereto the position of Program Manager and by increasing the number of positions of Special Assistant from 19 to 21.

Text of proposed rule and any required statements and analyses may be obtained from: Jennifer Paul, NYS Department of Civil Service, Empire State Plaza, Agency Building 1, Albany, NY 12239, (518) 473-6598, email: commops@cs.ny.gov

Data, views or arguments may be submitted to: Eugene Sarfoh, Counsel, NYS Department of Civil Service, Empire State Plaza, Agency Building 1, Albany, NY 12239, (518) 473-2624, email: public.comments@cs.ny.gov

Public comment will be received until: 60 days after publication of this notice.

Regulatory Impact Statement

A regulatory impact statement is not submitted with this notice because this rule is subject to a consolidated regulatory impact statement that was previously printed under a notice of proposed rule making, I.D. No. CVS-02-23-00007-P, Issue of January 11, 2023.

Regulatory Flexibility Analysis

A regulatory flexibility analysis is not submitted with this notice because this rule is subject to a consolidated regulatory flexibility analysis that was previously printed under a notice of proposed rule making, I.D. No. CVS-02-23-00007-P, Issue of January 11, 2023.

Rural Area Flexibility Analysis

A rural area flexibility analysis is not submitted with this notice because this rule is subject to a consolidated rural area flexibility analysis that was previously printed under a notice of proposed rule making, I.D. No. CVS-02-23-00007-P, Issue of January 11, 2023.

Job Impact Statement

A job impact statement is not submitted with this notice because this rule is subject to a consolidated job impact statement that was previously printed under a notice of proposed rule making, I.D. No. CVS-02-23-00007-P, Issue of January 11, 2023.

PROPOSED RULE MAKING
NO HEARING(S) SCHEDULED

Jurisdictional Classification

I.D. No. CVS-09-23-00009-P

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following proposed rule:

Proposed Action: Amendment of Appendix 2 of Title 4 NYCRR.

Statutory authority: Civil Service Law, section 6(1)

Subject: Jurisdictional Classification.

Purpose: To delete positions from and to classify positions in the non-competitive class.

Text of proposed rule: Amend Appendix 2 of the Rules for the Classified Service, listing positions in the non-competitive class, in the Department of Labor, by deleting therefrom the positions of Job Training Partnership Specialist 2 (3) and Job Training Partnership Specialist 3 (2) and by adding thereto the positions of Job Training Partnership Specialist 2 (3) and Job Training Partnership Specialist 3 (2).

Text of proposed rule and any required statements and analyses may be obtained from: Jennifer Paul, NYS Department of Civil Service, Empire State Plaza, Agency Building 1, Albany, NY 12239, (518) 473-6598, email: commops@cs.ny.gov

Data, views or arguments may be submitted to: Eugene Sarfoh, Counsel, NYS Department of Civil Service, Empire State Plaza, Agency Building 1, Albany, NY 12239, (518) 473-2624, email: public.comments@cs.ny.gov

Public comment will be received until: 60 days after publication of this notice.

Regulatory Impact Statement

A regulatory impact statement is not submitted with this notice because this rule is subject to a consolidated regulatory impact statement that was previously printed under a notice of proposed rule making, I.D. No. CVS-02-23-00007-P, Issue of January 11, 2023.

Regulatory Flexibility Analysis

A regulatory flexibility analysis is not submitted with this notice because this rule is subject to a consolidated regulatory flexibility analysis that was previously printed under a notice of proposed rule making, I.D. No. CVS-02-23-00007-P, Issue of January 11, 2023.

Rural Area Flexibility Analysis

A rural area flexibility analysis is not submitted with this notice because this rule is subject to a consolidated rural area flexibility analysis that was previously printed under a notice of proposed rule making, I.D. No. CVS-02-23-00007-P, Issue of January 11, 2023.

Job Impact Statement

A job impact statement is not submitted with this notice because this rule is subject to a consolidated job impact statement that was previously printed under a notice of proposed rule making, I.D. No. CVS-02-23-00007-P, Issue of January 11, 2023.

PROPOSED RULE MAKING
NO HEARING(S) SCHEDULED

Jurisdictional Classification

I.D. No. CVS-09-23-00010-P

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following proposed rule:

Proposed Action: Amendment of Appendices 1 and 2 of Title 4 NYCRR.

Statutory authority: Civil Service Law, section 6(1)

Subject: Jurisdictional Classification.

Purpose: To classify positions in the exempt class and to classify positions from the non-competitive class.

Text of proposed rule: Amend Appendix 1 of the Rules for the Classified Service, listing positions in the exempt class, in the Department of Mental Hygiene under the subheading "Office of Mental Health," by increasing the number of positions of Assistant Commissioner from 1 to 2 and Deputy Commissioner from 3 to 4; and

Amend Appendix 2 of the Rules for the Classified Service, listing positions in the non-competitive class, in the Department of Mental Hygiene under the subheading "Office of Mental Health," by adding thereto the positions of Data Analyst 1 (6), Data Analyst 2 (6), Data Analyst 3 (1) and Data Analyst 4 (1) and by increasing the number of positions of Associate Commissioner for Mental Health from 7 to 8.

Text of proposed rule and any required statements and analyses may be obtained from: Jennifer Paul, NYS Department of Civil Service, Empire State Plaza, Agency Building 1, Albany, NY 12239, (518) 473-6598, email: commops@cs.ny.gov

Data, views or arguments may be submitted to: Eugene Sarfoh, Counsel, NYS Department of Civil Service, Empire State Plaza, Agency Building 1, Albany, NY 12239, (518) 473-2624, email: public.comments@cs.ny.gov

Public comment will be received until: 60 days after publication of this notice.

Regulatory Impact Statement

A regulatory impact statement is not submitted with this notice because this rule is subject to a consolidated regulatory impact statement that was previously printed under a notice of proposed rule making, I.D. No. CVS-02-23-00007-P, Issue of January 11, 2023.

Regulatory Flexibility Analysis

A regulatory flexibility analysis is not submitted with this notice because this rule is subject to a consolidated regulatory flexibility analysis that was previously printed under a notice of proposed rule making, I.D. No. CVS-02-23-00007-P, Issue of January 11, 2023.

Rural Area Flexibility Analysis

A rural area flexibility analysis is not submitted with this notice because this rule is subject to a consolidated rural area flexibility analysis that was previously printed under a notice of proposed rule making, I.D. No. CVS-02-23-00007-P, Issue of January 11, 2023.

Job Impact Statement

A job impact statement is not submitted with this notice because this rule is subject to a consolidated job impact statement that was previously printed under a notice of proposed rule making, I.D. No. CVS-02-23-00007-P, Issue of January 11, 2023.

PROPOSED RULE MAKING
NO HEARING(S) SCHEDULED

Jurisdictional Classification

I.D. No. CVS-09-23-00011-P

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following proposed rule:

Proposed Action: Amendment of Appendix 2 of Title 4 NYCRR.

Statutory authority: Civil Service Law, section 6(1)

Subject: Jurisdictional Classification.

Purpose: To classify a position in the non-competitive class.

Text of proposed rule: Amend Appendix 2 of the Rules for the Classified Service, listing positions in the non-competitive class, in the Department of Health under the subheading "Office of the Medicaid Inspector General," by increasing the number of positions of øManager Medicaid Investigations from 1 to 2.

Text of proposed rule and any required statements and analyses may be obtained from: Jennifer Paul, NYS Department of Civil Service, Empire State Plaza, Agency Building 1, Albany, NY 12239, (518) 473-6598, email: commops@cs.ny.gov

Data, views or arguments may be submitted to: Eugene Sarfoh, Counsel, NYS Department of Civil Service, Empire State Plaza, Agency Building 1, Albany, NY 12239, (518) 473-2624, email: public.comments@cs.ny.gov

Public comment will be received until: 60 days after publication of this notice.

Regulatory Impact Statement

A regulatory impact statement is not submitted with this notice because this rule is subject to a consolidated regulatory impact statement that was previously printed under a notice of proposed rule making, I.D. No. CVS-02-23-00007-P, Issue of January 11, 2023.

Regulatory Flexibility Analysis

A regulatory flexibility analysis is not submitted with this notice because this rule is subject to a consolidated regulatory flexibility analysis that was previously printed under a notice of proposed rule making, I.D. No. CVS-02-23-00007-P, Issue of January 11, 2023.

Rural Area Flexibility Analysis

A rural area flexibility analysis is not submitted with this notice because this rule is subject to a consolidated rural area flexibility analysis that was previously printed under a notice of proposed rule making, I.D. No. CVS-02-23-00007-P, Issue of January 11, 2023.

Job Impact Statement

A job impact statement is not submitted with this notice because this rule is subject to a consolidated job impact statement that was previously printed under a notice of proposed rule making, I.D. No. CVS-02-23-00007-P, Issue of January 11, 2023.

PROPOSED RULE MAKING
NO HEARING(S) SCHEDULED

Jurisdictional Classification

I.D. No. CVS-09-23-00012-P

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following proposed rule:

Proposed Action: Amendment of Appendix 2 of Title 4 NYCRR.

Statutory authority: Civil Service Law, section 6(1)

Subject: Jurisdictional Classification.

Purpose: To classify positions in the non-competitive class.

Text of proposed rule: Amend Appendix 2 of the Rules for the Classified Service, listing positions in the non-competitive class, in the Department of Motor Vehicles, by adding thereto the positions of Equal Opportunity Specialist 1 (4), Equal Opportunity Specialist 2 (2) and Equal Opportunity Specialist 3 (1).

Text of proposed rule and any required statements and analyses may be obtained from: Jennifer Paul, NYS Department of Civil Service, Empire State Plaza, Agency Building 1, Albany, NY 12239, (518) 473-6598, email: commops@cs.ny.gov

Data, views or arguments may be submitted to: Eugene Sarfoh, Counsel, NYS Department of Civil Service, Empire State Plaza, Agency Building 1, Albany, NY 12239, (518) 473-2624, email: public.comments@cs.ny.gov

Public comment will be received until: 60 days after publication of this notice.

Regulatory Impact Statement

A regulatory impact statement is not submitted with this notice because this rule is subject to a consolidated regulatory impact statement that was previously printed under a notice of proposed rule making, I.D. No. CVS-02-23-00007-P, Issue of January 11, 2023.

Regulatory Flexibility Analysis

A regulatory flexibility analysis is not submitted with this notice because this rule is subject to a consolidated regulatory flexibility analysis that was previously printed under a notice of proposed rule making, I.D. No. CVS-02-23-00007-P, Issue of January 11, 2023.

Rural Area Flexibility Analysis

A rural area flexibility analysis is not submitted with this notice because this rule is subject to a consolidated rural area flexibility analysis that was previously printed under a notice of proposed rule making, I.D. No. CVS-02-23-00007-P, Issue of January 11, 2023.

Job Impact Statement

A job impact statement is not submitted with this notice because this rule is subject to a consolidated job impact statement that was previously printed under a notice of proposed rule making, I.D. No. CVS-02-23-00007-P, Issue of January 11, 2023.

PROPOSED RULE MAKING
NO HEARING(S) SCHEDULED

Jurisdictional Classification

I.D. No. CVS-09-23-00013-P

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following proposed rule:

Proposed Action: Amendment of Appendix 1 of Title 4 NYCRR.

Statutory authority: Civil Service Law, section 6(1)

Subject: Jurisdictional Classification.

Purpose: To delete a position from and to classify a position in the exempt class.

Text of proposed rule: Amend Appendix 1 of the Rules for the Classified Service, listing positions in the exempt class, in the Department of State, by deleting therefrom the position of Director Consumer Education and by increasing the number of positions of Program Associate from 4 to 5.

Text of proposed rule and any required statements and analyses may be obtained from: Jennifer Paul, NYS Department of Civil Service, Empire State Plaza, Agency Building 1, Albany, NY 12239, (518) 473-6598, email: commops@cs.ny.gov

Data, views or arguments may be submitted to: Eugene Sarfoh, Counsel, NYS Department of Civil Service, Empire State Plaza, Agency Building 1, Albany, NY 12239, (518) 473-2624, email: public.comments@cs.ny.gov

Public comment will be received until: 60 days after publication of this notice.

Regulatory Impact Statement

A regulatory impact statement is not submitted with this notice because this rule is subject to a consolidated regulatory impact statement that was previously printed under a notice of proposed rule making, I.D. No. CVS-02-23-00007-P, Issue of January 11, 2023.

Regulatory Flexibility Analysis

A regulatory flexibility analysis is not submitted with this notice because this rule is subject to a consolidated regulatory flexibility analysis that was previously printed under a notice of proposed rule making, I.D. No. CVS-02-23-00007-P, Issue of January 11, 2023.

Rural Area Flexibility Analysis

A rural area flexibility analysis is not submitted with this notice because this rule is subject to a consolidated rural area flexibility analysis that was previously printed under a notice of proposed rule making, I.D. No. CVS-02-23-00007-P, Issue of January 11, 2023.

Job Impact Statement

A job impact statement is not submitted with this notice because this rule is subject to a consolidated job impact statement that was previously printed under a notice of proposed rule making, I.D. No. CVS-02-23-00007-P, Issue of January 11, 2023.

PROPOSED RULE MAKING
NO HEARING(S) SCHEDULED

Jurisdictional Classification

I.D. No. CVS-09-23-00014-P

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following proposed rule:

Proposed Action: Amendment of Appendix 1 of Title 4 NYCRR.

Statutory authority: Civil Service Law, section 6(1)

Subject: Jurisdictional Classification.

Purpose: To classify a position in the exempt class.

Text of proposed rule: Amend Appendix 1 of the Rules for the Classified Service, listing positions in the exempt class, in the Executive Department under the subheading "Division of Alcoholic Beverage Control," by increasing the number of positions of Special Assistant from 5 to 6.

Text of proposed rule and any required statements and analyses may be obtained from: Jennifer Paul, NYS Department of Civil Service, Empire State Plaza, Agency Building 1, Albany, NY 12239, (518) 473-6598, email: commops@cs.ny.gov

Data, views or arguments may be submitted to: Eugene Sarfoh, Counsel, NYS Department of Civil Service, Empire State Plaza, Agency Building 1, Albany, NY 12239, (518) 473-2624, email: public.comments@cs.ny.gov

Public comment will be received until: 60 days after publication of this notice.

Regulatory Impact Statement

A regulatory impact statement is not submitted with this notice because this rule is subject to a consolidated regulatory impact statement that was previously printed under a notice of proposed rule making, I.D. No. CVS-02-23-00007-P, Issue of January 11, 2023.

Regulatory Flexibility Analysis

A regulatory flexibility analysis is not submitted with this notice because this rule is subject to a consolidated regulatory flexibility analysis that was previously printed under a notice of proposed rule making, I.D. No. CVS-02-23-00007-P, Issue of January 11, 2023.

Rural Area Flexibility Analysis

A rural area flexibility analysis is not submitted with this notice because this rule is subject to a consolidated rural area flexibility analysis that was previously printed under a notice of proposed rule making, I.D. No. CVS-02-23-00007-P, Issue of January 11, 2023.

Job Impact Statement

A job impact statement is not submitted with this notice because this rule is subject to a consolidated job impact statement that was previously printed under a notice of proposed rule making, I.D. No. CVS-02-23-00007-P, Issue of January 11, 2023.

PROPOSED RULE MAKING
NO HEARING(S) SCHEDULED

Jurisdictional Classification

I.D. No. CVS-09-23-00015-P

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following proposed rule:

Proposed Action: Amendment of Appendix 2 of Title 4 NYCRR.

Statutory authority: Civil Service Law, section 6(1)

Subject: Jurisdictional Classification.

Purpose: To delete positions from and to classify positions in the non-competitive class.

Text of proposed rule: Amend Appendix 2 of the Rules for the Classified Service, listing positions in the non-competitive class, in the Department of Corrections and Community Supervision, by deleting therefrom the positions of Infection Control Nurse (20), Nurse 2 (350), Nurse 3 (Correctional Services) (10), Offender Rehabilitation Coordinator (Alcohol Substance Abuse Treatment) Manual Communications (5) and Senior Utilization Review Nurse (20); by adding thereto the positions of Nurse Practitioner (Adult Health) (10), Nurse Practitioner (Community Health) (5), Offender Rehabilitation Coordinator (Manual Communications) (5), Registered Nurse 1 (350), Registered Nurse 2 (Utilization) (20), Registered Nurse 3 (Correctional Services) (10) and Registered Nurse 3 (Infection Control) (25); and, by increasing the number of positions of Nurse Practitioner (Family Health) from 25 to 35, Pharmacist from 20 to 40, Physician Assistant from 15 to 25 and Senior Radiologic Technologist from 15 to 25; in the Department of Health, by deleting therefrom the positions of Community Nursing Services Consultant (Home Health Services) (25), Hospital Nursing Services Consultant (75), Nurse 1 (5) and Nurse 2 (5); by adding thereto the positions of Health Care Surveyor 1 (Nursing) (30), Health Care Surveyor 3 (Nursing) (100), Health Care Surveyor 4 (Nursing) (35) and Registered Nurse 1 (10); and, by increasing the number of positions of Health Care Surveyor 2 (Nursing) from 100 to 114; in the Department of Health under the subheading "Office of the Medicaid Inspector General," by deleting therefrom the positions of Hospital Nursing Services Consultant (10) and by adding thereto the positions of Health Care Surveyor 3 (Nursing) (10); in the Department of Health under the subheading "Helen Hayes Hospital," by deleting therefrom the positions of Infection Control Nurse (5), Nurse 1 (5), Nurse 2 (5), Nurse 2 (Rehabilitation) (35), Nurse 2 (Rehabilitation) NS (5) and Nurse 3 (Rehabilitation) (20); and by adding thereto the positions of Registered Nurse 1 (10), Registered Nurse 1 (Rehabilitation) (35), Registered Nurse 1 (Rehabilitation) NS (5), Registered Nurse 2 (Rehabilitation) (20) and Registered Nurse 3 (Infection Control) (5); in the Executive Department under the subheading "Justice Center for the Protection of People with Special Needs," by deleting therefrom the positions of Nurse 1 (5) and by adding thereto the positions of Registered Nurse 1 (5); in the Department of Family Assistance under the subheading "Office of Children and Family Services," by deleting therefrom the positions of Nurse 1 (5), Nurse 2 (40), Psychologist 2 (15) and Senior Utilization Review Nurse (5); by adding thereto the positions of Registered Nurse 1 (45) and Registered Nurse 2 (Utilization) (5); and, by increasing the number of positions of Child Protective Service Specialist 1 from 55 to 70; in the Department of Health under the subheading "Veterans' Homes," by deleting therefrom the positions of Infection Control Nurse (20), Nurse 1 (80), Nurse 2 (120) and Nurse 3 (Geriatric) (20); and by adding thereto the positions of Registered Nurse 1 (200), Registered Nurse 2 (Geriatric) (20) and Registered Nurse 3 (Infection Control) (20); in the Department of Labor under the subheading "State Insurance Fund," by deleting therefrom the positions of Medical Care Representative (80) and by adding thereto the positions of Registered Nurse 2 (Medical Care) (80); in the Department of Mental Hygiene under the subheading "Office of Addiction Services and Supports," by deleting therefrom the positions of Nurse 1 (5), Nurse 2 (Psychiatric) (35) and Nurse 3 (Psychiatric) (5); by adding thereto the positions of Registered Nurse 1 (5), Registered Nurse 1 (Psychiatric) (35), Registered Nurse 2 (Psychiatric) (5); and, by increasing the number of positions of Addictions Counselor 1 from 20 to 25, Addictions Counselor 2 from 10 to 20, Licensed Master Social Worker 2 from 5 to 10 and Physician Assistant from 5 to 12; in the Department of Mental Hygiene under the subheading "Office of Mental Health," by deleting therefrom the positions of Community Mental Health Nurse (100), Infection Control Nurse (10), Nurse 1 (50), Nurse 2 (Psychiatric) (400) and Nurse 3 (Psychiatric) (250); by adding thereto the positions of Registered Nurse 1 (96), Registered Nurse 1 (Psychiatric) (960), Registered Nurse 2 (Community) (105), Registered Nurse 2 (Psychiatric) (250) and Registered Nurse 3 (Infection Control) (75); and, by increasing the number of positions of Associate Psychologist from 60 to 72, Clinical Physician 2 from 25 to 48, Dental Hygienist from 10 to 24; Dentist 1 from 10 to 24, Dietitian 2 from 30 to 72, Licensed Master Social Worker 2 from 350 to 480, Licensed Practical Nurse from 100 to 240, Licensed Psychologist from 150 to 168, Medical Specialist 2 from 40 to 72, Mental Health Therapy Aide from 480 to 1,260, Nurse Practitioner (Adult Health) from 15 to 24, Nurse Practitioner (Community Health) from 15 to 24, Nurse Practitioner (Family Health) from 15 to 24, Nurse Practitioner (Psychiatry) from 40 to 72, Occupational Therapist from 5 to 24, Occupational Therapy Assistant 2 from 20 to 48, Pharmacist from 10 to 72, Pharmacy Aide from 20 to 72, Physical Therapist from 5 to 24, Physical Therapy Assistant 2 from 5 to 24, Physician Assistant from 10 to 24, Psychiatrist 2 from 150 to 168, Recreation Therapist (All parenthetics) from 20 to 72, Secure Care Treatment Aide 1 from 160 to 260, Security Hospital Treatment Assistant from 100 to 240 and Social Work Assistant 2 from 60 to 72; in the Department of Mental Hygiene under the subheading "Office for People with Developmental Disabilities," by deleting therefrom the positions of Community Mental Health Nurse (55), Infection Control Nurse (15), Nurse 1 (65) and Nurse 2 (400); and by adding thereto the positions of Registered Nurse 1 (465), Registered Nurse 2 (Community) (55) and Registered Nurse 3 (Infection Control) (15); in the State University of New York under the subheading "SUNY Health Science Center at Brooklyn," by deleting therefrom the positions of Teaching and Research Center Nurse 2 (100) and Teaching and Research Center Nurse 3 (30); and by adding thereto the positions of Registered Nurse 1 (Teaching and Research Center) (100) and Registered Nurse Supervisor 1 (Teaching and Research Center) (30); in the State University of New York under the subheading "SUNY Health Science Center at Syracuse," by deleting therefrom the positions of Teaching and Research Center Nurse 1 (200), Teaching and Research Center Nurse 2 (650) and Teaching and Research Center Specialty Nurse (20); by adding thereto the positions of Registered Nurse 1 (Teaching and Research Center) (850) and Registered Nurse 2 (Teaching and Research Center) (30); and, by increasing the number of positions of Hospital Patient Service Clerk 1 from 50 to 60 and Nursing Station Clerk 1 from 35 to 45; in the State University of New York under the subheading "SUNY at Buffalo," by deleting therefrom the positions of Nurse 1 (various parenthetics) (5) and by adding thereto the positions of Registered Nurse 1 (various parenthetics) (5); in the State University of New York under the subheading "SUNY at Stony Brook," by deleting therefrom the positions of Teaching and Research Center Nurse 1 (225) and Teaching and Research Center Nurse 2 (225); by adding thereto the positions of Registered Nurse 1 (Teaching and Research Center) (450); and, by increasing the number of positions of Emergency Medical Technician from 10 to 50, Emergency Medical Technician Paramedic from 10 to 50 and Medical Assistant from 35 to 85; in the State University of New York under the subheading "SUNY at Oneonta," by deleting therefrom the positions of Nurse 1 (various parenthetics) (5) and Nurse 2 (various parenthetics) (5); and by adding thereto the positions of Registered Nurse 1 (various parenthetics) (10); and, in the State University of New York under the subheading "SUNY Long Island State Veterans Home," by deleting therefrom the positions of Nurse 1 (various parenthetics) (20) and Nurse 2 (various parenthetics) (30); and by adding thereto the positions of Registered Nurse 1 (various parenthetics) (50).

Text of proposed rule and any required statements and analyses may be obtained from: Jennifer Paul, NYS Department of Civil Service, Empire State Plaza, Agency Building 1, Albany, NY 12239, (518) 473-6598, email: commops@cs.ny.gov

Data, views or arguments may be submitted to: Eugene Sarfoh, Counsel, NYS Department of Civil Service, Empire State Plaza, Agency Building 1, Albany, NY 12239, (518) 473-2624, email: public.comments@cs.ny.gov

Public comment will be received until: 60 days after publication of this notice.

Regulatory Impact Statement

A regulatory impact statement is not submitted with this notice because this rule is subject to a consolidated regulatory impact statement that was previously printed under a notice of proposed rule making, I.D. No. CVS-02-23-00007-P, Issue of January 11, 2023.

Regulatory Flexibility Analysis

A regulatory flexibility analysis is not submitted with this notice because this rule is subject to a consolidated regulatory flexibility analysis that was previously printed under a notice of proposed rule making, I.D. No. CVS-02-23-00007-P, Issue of January 11, 2023.

Rural Area Flexibility Analysis

A rural area flexibility analysis is not submitted with this notice because this rule is subject to a consolidated rural area flexibility analysis that was previously printed under a notice of proposed rule making, I.D. No. CVS-02-23-00007-P, Issue of January 11, 2023.

Job Impact Statement

A job impact statement is not submitted with this notice because this rule is subject to a consolidated job impact statement that was previously printed under a notice of proposed rule making, I.D. No. CVS-02-23-00007-P, Issue of January 11, 2023.

PROPOSED RULE MAKING
NO HEARING(S) SCHEDULED

Jurisdictional Classification

I.D. No. CVS-09-23-00016-P

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following proposed rule:

Proposed Action: Amendment of Appendix 1 of Title 4 NYCRR.

Statutory authority: Civil Service Law, section 6(1)

Subject: Jurisdictional Classification.

Purpose: To delete a position from and to classify a position in the exempt class.

Text of proposed rule: Amend Appendix 1 of the Rules for the Classified Service, listing positions in the exempt class, in the Executive Department under the subheading "Office for the Aging," by deleting therefrom the position of Legislative Liaison and by adding thereto the position of Assistant Public Information Officer.

Text of proposed rule and any required statements and analyses may be obtained from: Jennifer Paul, NYS Department of Civil Service, Empire State Plaza, Agency Building 1, Albany, NY 12239, (518) 473-6598, email: commops@cs.ny.gov

Data, views or arguments may be submitted to: Eugene Sarfoh, Counsel, NYS Department of Civil Service, Empire State Plaza, Agency Building 1, Albany, NY 12239, (518) 473-2624, email: public.comments@cs.ny.gov

Public comment will be received until: 60 days after publication of this notice.

Regulatory Impact Statement

A regulatory impact statement is not submitted with this notice because this rule is subject to a consolidated regulatory impact statement that was previously printed under a notice of proposed rule making, I.D. No. CVS-02-23-00007-P, Issue of January 11, 2023.

Regulatory Flexibility Analysis

A regulatory flexibility analysis is not submitted with this notice because this rule is subject to a consolidated regulatory flexibility analysis that was previously printed under a notice of proposed rule making, I.D. No. CVS-02-23-00007-P, Issue of January 11, 2023.

Rural Area Flexibility Analysis

A rural area flexibility analysis is not submitted with this notice because this rule is subject to a consolidated rural area flexibility analysis that was previously printed under a notice of proposed rule making, I.D. No. CVS-02-23-00007-P, Issue of January 11, 2023.

Job Impact Statement

A job impact statement is not submitted with this notice because this rule is subject to a consolidated job impact statement that was previously printed under a notice of proposed rule making, I.D. No. CVS-02-23-00007-P, Issue of January 11, 2023.

Education Department

EMERGENCY RULE MAKING

Technical Amendment Relating to Licensure in the Profession of Public Accountancy

I.D. No. EDU-48-22-00008-E
Filing No. 115
Filing Date: 2023-02-13
Effective Date: 2023-02-13

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following action:

Action taken: Amendment of section 52.13 of Title 8 NYCRR.

Statutory authority: Education Law, sections 207, 6504, 6507, 7401 and 7404

Specific reasons underlying the finding of necessity: At its September 2022 Regents meeting, the Board of Regents voted to permanently adopt amendments to section 52.13, 70.2, 70.3 and 70.4 of the Commissioner's regulations relating to the education, examinations, and experience requirements for licensure in the profession of public accountancy. This amendment updated such requirements effective August 1, 2027.

Due to a clerical error, the September 2022 amendments to the Commissioner's regulations filed with the Department of State inadvertently contained an error in section 52.13(b)(1)(i) of the Commissioner's regulations. The filed text of the regulation stated, "On or before August 1, 2027…" in reference to the current professional accountancy content area requirements, rather than "Prior to August 1, 2027…." Additionally, the filed text of the September 2022 amendments to section 52.13(c)(2) of the Commissioner's regulations inadvertently included the phrase "recognized as" in reference to programs leading to licensure in public accountancy, and the term "which" instead of "that." Therefore, the proposed amendment is necessary to resolve this clerical error.

The proposed amendment was presented to the Full Board for adoption as an emergency rule at the November 2022 meeting of the Board of Regents, effective November 15, 2022. Since the Board of Regents meets at fixed intervals, the earliest the proposed amendment could be adopted by regular (non-emergency) action, after expiration of the 60-day public comment period provided for in the State Administrative Procedure Act (SAPA) sections 201(1) and (5), would be the March 2023 Regents meeting. Furthermore, pursuant to SAPA section 203(1), the earlier effective date of the proposed rule, if adopted at the March 2023 meeting, would be March 29, 2023, the date the Notice of Adoption would be published in the State Register.

However, the emergency rule will expire on February 12, 2023. Therefore, a second emergency action is necessary at the January 2023 meeting for the preservation of the general welfare in order to immediately make a technical correction to ensure that the amendments to the Commissioner's regulations adopted at the September 2022 meeting are properly administered pursuant to the policies established by the Board of Regents and to ensure that the emergency action taken at the November 2022 meeting remains continuously in effect until the rule can be permanently adopted.

It is anticipated that the proposed rule will be presented to the Board of Regents for adoption as a permanent rule at the March 2023 meeting, which is the first scheduled meeting after expiration of the 60-day public comment period mandated by SAPA for state agency rule making.

Subject: Technical amendment relating to licensure in the profession of public accountancy.

Purpose: To fix a clerical error for amendments to section 52.13 of the Commissioner's adopted in September 2022.

Text of emergency rule: 1. Subparagraph (i) of paragraph (1) of subdivision (b) of section 52.13 of the Regulations of the Commissioner of Education is amended to read as follows:

(i) [On or before] *Prior to* August 1, 2027, professional accountancy content area shall mean curricular content in professional accountancy that includes but is not limited to each of the subjects identified in clauses (a) through (d) of this subparagraph and may also include but need not be limited to the subjects identified in clauses (e) through (g) of this subparagraph:

2. Paragraph (2) of subdivision (c) of section 52.13 of the Regulations of the Commissioner of Education is amended to read as follows:

(2) Curriculum. On or after August 1, 2027, in addition to meeting all applicable provisions of this Part, to be registered as a program [recognized as] leading to licensure in public accountancy [which] *that* meets the requirements in section 70.2 of this Title, such program shall be a baccalaureate or higher program in accountancy or its equivalent that, by requisites or prerequisites, shall ensure completion of at least 150 semester hours or its equivalent, including a minimum of 33 semester hours or its equivalent in the professional accounting content area and a minimum of 36 semester hours or its equivalent in the general business content area.

This notice is intended to serve only as a notice of emergency adoption. This agency intends to adopt the provisions of this emergency rule as a permanent rule, having previously submitted to the Department of State a notice of proposed rule making, I.D. No. EDU-48-22-00008-EP, Issue of November 30, 2022. The emergency rule will expire April 13, 2023.

Text of rule and any required statements and analyses may be obtained from: Kirti Goswami, NYS Education Department, Office of Counsel, 89 Washington Avenue, Room 112EB, Albany, NY 12234, (518) 474-6400, email: legal@nysed.gov

Regulatory Impact Statement

1. STATUTORY AUTHORITY:

Section 207 of the Education Law grants general rulemaking authority to the Board of Regents to carry into effect the laws and policies of the State relating to education.

Section 6504 of the Education Law authorizes the Board of Regents to supervise the admission to and regulation of the practice of the professions.

Paragraph (a) of subdivision (2) of section 6507 of the Education Law authorizes the Commissioner of Education to promulgate regulations in administering the admission to and the practice of the professions.

Section 7401 of the Education Law defines the practice of public accountancy.

Section 7404 of the Education Law establishes the requirements for licensure as a licensure as a certified public accountant.

2. LEGISLATIVE OBJECTIVES:

The proposed amendment is consistent with the aforementioned statutes and is necessary to fix a clerical error in the adoption of amendments to section 52.13 of the Commissioner's regulations that were adopted by the Board of Regents at their September 2022 meeting.

At its September 2022 Regents meeting, the Board of Regents voted to permanently adopt amendments to sections 52.13, 70.2, 70.3 and 70.4 of the Commissioner's regulations relating to the education, examinations, and experience requirements for licensure in the profession of public accountancy. This amendment updated such requirements effective August 1, 2027.

Due to a clerical error, the September 2022 amendments to the Commissioner's regulations filed with the Department of State inadvertently contained an error in section 52.13(b)(1)(i) of the Commissioner's regulations. The filed text of the regulation stated, "On or before August 1, 2027…" in reference to the current professional accountancy content area requirements, rather than "Prior to August 1, 2027…." Additionally, the filed text of the September 2022 amendments to section 52.13(c)(2) of the Commissioner's regulations inadvertently included the phrase "recognized as" in reference to programs leading to licensure in public accountancy, and the term "which" instead of "that." Therefore, the proposed amendment is necessary to resolve this clerical error.

3. NEEDS AND BENEFITS:

Due to a clerical error, amendments to section 52.13 of the Commissioner's regulations filed with the Department of State concerning licensure in the profession of public accountancy inadvertently contained discrepancies with the language adopted by the Board of Regents at its September 2022 meeting. Therefore, the proposed amendment is necessary to resolve this clerical error by fixing the discrepancies.

4. COSTS:

(a) Costs to State government. There are no additional costs to State government.

(b) Costs to local government. There are no additional costs to local government.

(c) Costs to private regulated parties. There are no additional costs to private regulated parties.

(d) Costs to the regulatory agency. There are no additional costs to the State Education Department.

5. LOCAL GOVERNMENT MANDATES:

The proposed amendment does not impose any program, service, duty, or responsibility on local governments.

6. PAPERWORK:

The proposed amendment imposes no new forms, reporting requirements, or other recordkeeping or paperwork requirements.

7. DUPLICATION:

The proposed amendment does not duplicate any other existing State or federal requirements.

8. ALTERNATIVES:
The proposed amendment to section 52.13 of the Regulations of the Commissioner of Education is necessary to resolve a clerical error by fixing discrepancies in language that was adopted by the Board of Regents and the language that was filed with the Department of State. There are no significant alternatives to the proposed amendment, and none were considered.
9. FEDERAL STANDARDS:
No Federal standards apply to the subject of this proposed rule making. Since there are no applicable federal standards, the proposed rule does not exceed any minimum federal standards for the same or similar subject areas.
10. COMPLIANCE SCHEDULE:
It is anticipated that regulated parties will be able to comply with the proposed amendment by the effective date.

Regulatory Flexibility Analysis

At its September 2022 Regents meeting, the Board of Regents voted to permanently adopt amendments to sections 52.13, 70.2, 70.3 and 70.4 of the Commissioner's regulations relating to the education, examinations, and experience requirements for licensure in the profession of public accountancy. This amendment updated such requirements effective August 1, 2027.

Due to a clerical error, the September 2022 amendments to the Commissioner's regulations filed with the Department of State inadvertently contained an error in section 52.13(b)(1)(i) of the Commissioner's regulations. The filed text of the regulation stated, "On or before August 1, 2027…" in reference to the current professional accountancy content area requirements, rather than "Prior to August 1, 2027…." Additionally, the filed text of the September 2022 amendments to section 52.13(c)(2) of the Commissioner's regulations inadvertently included the phrase "recognized as" in reference to programs leading to licensure in public accountancy, and the term "which" instead of "that." Therefore, the proposed amendment is necessary to resolve this clerical error.

The proposed rule will not impose any reporting, recordkeeping or other compliance requirements or costs or have any adverse economic impact on small businesses or local governments. Because it is evident from the proposed rule that it will not adversely affect small businesses or local governments, no affirmative steps were needed to ascertain that fact and none were taken. Accordingly, a regulatory flexibility analysis for small businesses and local governments is not required, and one has not been prepared.

Rural Area Flexibility Analysis

1. TYPES AND ESTIMATED NUMBERS OF RURAL AREAS:
The proposed rule will apply to all individuals seeking licensure as a certified public accountant (CPA) in New York State, including those located in the 44 counties with less than 200,000 inhabitants and the 71 towns in urban counties with a population density of 150 per square miles or less.
2. REPORTING, RECORDKEEPING AND OTHER COMPLIANCE REQUIREMENTS; AND PROFESSIONAL SERVICES:
At its September 2022 Regents meeting, the Board of Regents voted to permanently adopt amendments to sections 52.13, 70.2, 70.3 and 70.4 of the Commissioner's regulations relating to the education, examinations, and experience requirements for licensure in the profession of public accountancy. This amendment updated such requirements effective August 1, 2027.

Due to a clerical error, the September 2022 amendments to the Commissioner's regulations filed with the Department of State inadvertently contained an error in section 52.13(b)(1)(i) of the Commissioner's regulations. The filed text of the regulation stated, "On or before August 1, 2027…" in reference to the current professional accountancy content area requirements, rather than "Prior to August 1, 2027…." Additionally, the filed text of the September 2022 amendments to section 52.13(c)(2) of the Commissioner's regulations inadvertently included the phrase "recognized as" in reference to programs leading to licensure in public accountancy, and the term "which" instead of "that." Therefore, the proposed amendment is necessary to resolve this clerical error.

The proposed amendment does not impose and reporting, recordkeeping or other compliance requirements or professional services on regulated parties located in rural areas.
3. COSTS:
The proposed amendment will not impose any costs, including on regulated parties located in rural areas.
4. MINIMIZING ADVERSE IMPACT:
The proposed rule is necessary to fix a clerical error and will not adversely impact rural areas. Therefore, no alternatives were considered.
5. RURAL AREA PARTICIPATION:
Copies of the proposed rule were solicited from statewide organizations representing parties having an interest in the practice of pharmacy and pharmacy technicians. These organizations included the State Board for Public Accountancy and professional associations representing CPAs. These groups have members who live or work in rural areas.

Job Impact Statement

At its September 2022 Regents meeting, the Board of Regents voted to permanently adopt amendments to sections 52.13, 70.2, 70.3 and 70.4 of the Commissioner's regulations relating to the education, examinations, and experience requirements for licensure in the profession of public accountancy. This amendment updated such requirements effective August 1, 2027.

Due to a clerical error, the September 2022 amendments to the Commissioner's regulations filed with the Department of State inadvertently contained an error in section 52.13(b)(1)(i) of the Commissioner's regulations. The filed text of the regulation stated, "On or before August 1, 2027…" in reference to the current professional accountancy content area requirements, rather than "Prior to August 1, 2027…." Additionally, the filed text of the September 2022 amendments to section 52.13(c)(2) of the Commissioner's regulations inadvertently included the phrase "recognized as" in reference to programs leading to licensure in public accountancy, and the term "which" instead of "that." Therefore, the proposed amendment is necessary to resolve this clerical error.

The proposed amendment will not have a substantial adverse impact on jobs and employment opportunities. Because it is evident from the nature of the proposed amendment that it will not affect job and employment opportunities, no affirmative steps were needed to ascertain these facts and none were taken. Accordingly, a job impact statement is not required and one has not prepared.

EMERGENCY RULE MAKING

Central Library Services Aid and State Aid for Library Construction

I.D. No. EDU-48-22-00009-E
Filing No. 116
Filing Date: 2023-02-13
Effective Date: 2023-02-13

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following action:

Action taken: Repeal of section 90.4; addition of new section 90.4; amendment of section 90.12 of Title 8 NYCRR.

Statutory authority: Education Law, sections 207, 215, 253, 254, 255, 272, 273, 273-a and 282-285; L. 2019, ch. 389; L. 2021, chs. 563 and 627; L. 2022, ch. 333

Finding of necessity for emergency rule: Preservation of general welfare.

Specific reasons underlying the finding of necessity: Education Law § 273-a (State aid for library construction) was recently amended by the Legislature in three significant respects:

(1) The time allowed for construction project completion was increased from three years to four years in 2021 (Chapter 563 of the Laws of 2021; "Chapter 563"), and then to six years in 2022 (Chapter 333 of the Laws of 2022).

(2) Chapter 627 of the Laws of 2021 set limits on the amount of money that a library system may commit to building projects. Specifically, no more than fifty percent of the total funds appropriated to a library system may be used to support total costs for projects receiving state aid in excess of seventy-five percent, up to ninety percent, of the total approved costs. This built upon earlier legislation (Chapter 381 of the Laws of 2019), which authorized State funding of up to ninety percent of total project costs for public and association library buildings that are located in economically distressed communities.

(3) Chapter 389 of the Laws of 2019 authorized public library systems to submit coordinated project applications for a project involving more than one library building.

The Department now proposes amendments to section 90.12 of the Commissioner's regulations to implement these legislative amendments. The Department also proposes technical amendments to align the language of section 90.12, last updated in 2017, with Education Law § 273-a.

Chapter 563 also amended Education Law § 273 to simplify and modernize State aid formulas for various library aid programs. At its September 2022 meeting, the Board of Regents adopted amendments to the Commissioner's regulations to implement a portion of Chapter 563. After obtaining input from key stakeholders, the Department now proposes amendments to section 90.4 of the Commissioner's regulations relating to standards for central libraries, to fully implement Chapter 563.

Chapter 563 combined the former Central Library Services Aid (CLDA) and Central Book Aid (CBA) programs administered by the public library systems into a new, more flexible Central Library Services Aid Program. As a result, the outdated wording of section 90.4 of the Commissioner's regulations (i.e., "Standards for Central Libraries") will be repealed and replaced with new language that aligns with Education Law § 273(1)(b), as amended by Chapter 563.

The proposed amendment was presented to the Cultural Educaiton Committee for recommendation to the Full Board for adoption as an emergency rule at the November 2022 meeting of the Board of Regents, effective November 14, 2022. Since the Board of Regents meets at fixed intervals, the earliest the proposed amendment could be adopted by regular (nonemergency) action after expiration of the 60-day public comment period provided for in the State Administrative Procedure Act (SAPA) sections 201(1) and (5) would be the March 2023 Regents meeting. Furthermore, pursuant to SAPA 203(1), the earliest effective date of the proposed rule, if adopted at the March meeting, would be March 29, 2023, the date the Notice of Adoption would be published in the State Register.

However, the emergency rule will expire on February 12, 2023. Therefore, a second emergency action is necessary at the January 2023 meeting, effective February 13, 2022, for the preservation of the general welfare in order to immediately align the Commissioner's regulations with 389 of the Laws of 2019, Chapter 563 of the Laws of 2021, and Chapter 333 of the Laws of 2022 and to ensure that the emergency action taken at the November 2022 meeting remains continuously in effect until the rule can be permanently adopted.

It is anticipated that the proposed rule will be presented to the Board of Regents for adoption as a permanent rule at the March 2023 meeting, which is the first scheduled meeting after expiration of the 60-day public comment period mandated by SAPA for state agency rule making.

Subject: Central library services aid and state aid for library construction.

Purpose: To align the Commissioner's regulations with recent amendments to sections 273 and 273-a of the Education Law.

Substance of emergency rule (Full text is posted at the following State website: http://www.counsel.nysed.gov/rules/full-text-indices): Commissioner's Regulation § 90.12

Education Law § 273-a (State aid for library construction) was recently amended by the Legislature in three significant respects:

(1) The time allowed for construction project completion was increased from three years to four years in 2021 (Chapter 563 of the Laws of 2021; "Chapter 563"), and then to six years in 2022 (Chapter 333 of the Laws of 2022).

(2) Chapter 627 of the Laws of 2021 set limits on the amount of money that a library system may commit to building projects. Specifically, no more than fifty percent of the total funds appropriated to a library system may be used to support total costs for projects receiving state aid in excess of seventy-five percent, up to ninety percent, of the total approved costs. This built upon earlier legislation (Chapter 381 of the Laws of 2019), which authorized State funding of up to ninety percent of total project costs for public and association library buildings that are located in economically distressed communities.

(3) Chapter 389 of the Laws of 2019 authorized public library systems to submit coordinated project applications for a project involving more than one library building.

The Department now proposes amendments to section 90.12 of the Commissioner's regulations to implement these legislative amendments. The Department also proposes technical amendments to align the language of section 90.12, last updated in 2017, with Education Law § 273-a. Specifically, the proposed amendment:

- Defines the terms "library service area", "economically disadvantaged", "economically distressed", and "coordinated application";
- Amends the definition of library construction to clarify that it includes renovation or rehabilitation of a building to be leased for library purposes for a minimum of 10 years, after the expected completion of the project;
- Amends application procedures to:
  - o specify that the library system board must give particular attention to the service needs of any communities that are geographically isolated as well as economically distressed;
  - o explain how a library system board may demonstrate "economically disadvantaged" status;
  - o clarify that the library system board must determine the amount of State aid that will be allocated for each single building project application;
  - o state that library systems may allocate up to 50 percent of their library systems' total State aid for single building projects serving economically distressed communities; and
  - o indicate that coordinated applications must be submitted in accordance with Education Law § 273-a(3);
- Specifies that the library share of the cost of the project must be available or have been otherwise committed to the project;
- Clarifies that the degree to which each project will result in the provision of library services in communities that are "economically distressed" shall be considered in the approval process;
- Indicates that, with respect to costs:
  - o project management project costs, salaries, benefits, or other in-house personnel costs are not eligible for approval;
  - o purchase and installation or construction of permanent components of a library building and construction of an outbuilding are added to approved costs; and
  - o certain costs are not eligible for State aid funds, but may be included in a project application and paid from the library's share of the total project cost.

Commissioner's Regulation § 90.4

Chapter 563 also amended Education Law § 273 to simplify and modernize State aid formulas for various library aid programs. At its September 2022 meeting, the Board of Regents adopted amendments to the Commissioner's regulations to implement a portion of Chapter 563. After obtaining input from key stakeholders, the Department now proposes amendments to section 90.4 of the Commissioner's regulations relating to standards for central libraries, to fully implement Chapter 563.

Chapter 563 combined the former Central Library Services Aid (CLDA) and Central Book Aid (CBA) programs administered by the public library systems into a new, more flexible Central Library Services Aid Program. As a result, the outdated wording of section 90.4 of the Commissioner's regulations (i.e., "Standards for Central Libraries") will be repealed and replaced with new language that aligns with Education Law § 273(1)(b), as amended by Chapter 563. The proposed amendment:

- Defines terms used in such section;
- Requires each public library system to submit proposals to show how the system will manage a central library services program as part of their plan of service;
- Sets forth central library service program purposes;
- Prescribes eligibility criteria for State aid for central library services, and for designation as the central or co-central library; and
- Provides that the Commissioner shall determine the format and timetable for the submission and application of reports and may request any reports deemed necessary to carry out the purposes of the program.

This notice is intended to serve only as a notice of emergency adoption. This agency intends to adopt the provisions of this emergency rule as a permanent rule, having previously submitted to the Department of State a notice of proposed rule making, I.D. No. EDU-48-22-00009-EP, Issue of November 30, 2022. The emergency rule will expire April 13, 2023.

Text of rule and any required statements and analyses may be obtained from: Kirti Goswami, NYS Education Department, Office of Counsel, 89 Washington Avenue, Room 112EB, Albany, NY 12234, (518) 474-6400, email: legal@nysed.gov

Regulatory Impact Statement

1. STATUTORY AUTHORITY:

Education Law § 207 grants general rule-making authority to the Regents to carry into effect State educational laws and policies.

Education Law § 215 of the Education Law authorizes the Commissioner of Education to visit, examine, and inspect schools or institutions under the education supervision of the State and require reports from such schools or institutions.

Education Law § 253 defines the terms "public" library and "Indian library".

Education Law § 254 of the Education Law authorizes the Regents to fix standards of library service for public libraries.

Education Law § 255 provides for the establishment of public libraries and cooperative library systems.

Education Law § 272 defines ''public library systems'' and sets forth the conditions under which they are entitled to State aid. Section 272(1)(h) authorizes the Commissioner to adopt regulations to provide the standard of service with which public library systems must comply.

Education Law § 273 provides for state aid to libraries and library systems providing service under an approved plan.

Education Law § 273-a provides for state aid for library construction.

Education Law § 282 authorizes the commissioner to approve the establishment of school library systems.

Education Law § 283 prescribes the functions of school library systems.

Education Law § 284 provides for state aid to school libraries providing service under an approved plan.

Education Law § 285 provides for state aid to each public library system operating under an approved plan of service which has a state correctional facility or facilities within its area of service.

Chapter 389 of the Laws of 2019 amended Education Law § 273-a to authorize public library systems to submit coordinated project applications for a project involving more than one library building.

Chapter 563 of the Laws of 2021 amends Education Law §§ 273-a and

273 in relation to state aid eligibility for library construction and to streamline and modernize certain library aid formulas and program requirements.

Chapter 627 of the Laws of 2021 amends Education § 273-a(4) to increase the percentage (from 10 percent to 50 percent) of total funds appropriated to a library system that may be used to support total costs for projects receiving state aid in excess of seventy-five percent, up to ninety percent, of the total approved costs.

Chapter 333 of the Laws of 2022 amended Education Law § 273-a(1) to increase the time allowed for construction project completion for state aid for library construction to six years.

2. LEGISLATIVE OBJECTIVES:

The proposed amendment is consistent with the above statutory authority and is necessary to align the Commissioner's regulations with recent changes to sections 273, 273-a of the Education Law made by Chapters 389 of the Laws of 2019, 563 and 627 of the Laws of 2021, and 333 of the Laws of 2022 and to make technical languages to align the Commissioner's regulations with Education Law § 273-a.

3. NEEDS AND BENEFITS:

Education Law § 273-a (State aid for library construction) was recently amended by the Legislature in three significant respects:

(1) The time allowed for construction project completion was increased from three years to four years in 2021 (Chapter 563 of the Laws of 2021; "Chapter 563"), and then to six years in 2022 (Chapter 333 of the Laws of 2022).

(2) Chapter 627 of the Laws of 2021 set limits on the amount of money that a library system may commit to building projects. Specifically, no more than fifty percent of the total funds appropriated to a library system may be used to support total costs for projects receiving state aid in excess of seventy-five percent, up to ninety percent, of the total approved costs. This built upon earlier legislation (Chapter 381 of the Laws of 2019), which authorized State funding of up to ninety percent of total project costs for public and association library buildings that are located in economically distressed communities.

(3) Chapter 389 of the Laws of 2019 authorized public library systems to submit coordinated project applications for a project involving more than one library building.

The Department now proposes amendments to section 90.12 of the Commissioner's regulations to implement these legislative amendments. The Department also proposes technical amendments to align the language of section 90.12, last updated in 2017, with Education Law § 273-a. Specifically, the proposed amendment:

- Defines the terms "library service area", "economically disadvantaged", "economically distressed", and "coordinated application";
- Amends the definition of library construction to clarify that it includes renovation or rehabilitation of a building to be leased for library purposes for a minimum of 10 years, after the expected completion of the project
- Amends application procedures to:
  - o specify that the library system board must give particular attention to the service needs of any communities that are geographically isolated as well as economically distressed;
  - o explain how a library system board may demonstrate "economically disadvantaged" status;
  - o clarify that the library system board must determine the amount of State aid that will be allocated for each single building project application;
  - o state that library systems may allocate up to 50 percent of their library systems' total State aid for single building projects serving economically distressed communities; and
  - o indicate that coordinated applications must be submitted in accordance with Education Law § 273-a(3);
- Specifies that the library share of the cost of the project must be available or have been otherwise committed to the project at the time of application;
- Clarifies that the degree to which each project will result in the provision of library services in communities that are "economically distressed" shall be considered in the approval process;
- Indicates that, with respect to costs:
  - o project management project costs, salaries, benefits, or other in-house personnel costs are not eligible for approval;
  - o purchase and installation or construction of permanent components of a library building and construction of an outbuilding are added to approved costs; and
  - o certain costs are not eligible for State aid funds, but may be included in a project application and paid from the library's share of the total project cost.

Chapter 563 also amended Education Law § 273 to simplify and modernize State aid formulas for various library aid programs. At its September 2022 meeting, the Board of Regents adopted amendments to the Commissioner's regulations to implement a portion of Chapter 563. After obtaining input from key stakeholders, the Department now proposes amendments to section 90.4 of the Commissioner's regulations relating to standards for central libraries, to fully implement Chapter 563.

Chapter 563 combined the former Central Library Services Aid (CLDA) and Central Book Aid (CBA) programs administered by the public library systems into a new, more flexible Central Library Services Aid Program. As a result, the outdated wording of section 90.4 of the Commissioner's regulations (i.e., "Standards for Central Libraries") will be repealed and replaced with new language that aligns with Education Law § 273(1)(b), as amended by Chapter 563. The proposed amendment:

- Defines terms used in such section;
- Requires each public library system to submit proposals to show how the system will manage a central library services program as part of their plan of service;
- Sets forth central library service program purposes;
- Prescribes eligibility criteria for State aid for central library services, and for designation as the central or co-central library; and
- Provides that that Commissioner shall determine the format and timetable for the submission and application of reports and may request any reports deemed necessary to carry out the purposes of the program.

4. COSTS:

a. Costs to State government: The amendment does not impose any costs on State government, including the State Education Department.

b. Costs to local government: The amendment does not impose any costs on local government.

c. Costs to private regulated parties: The amendment does not impose any costs on private regulated parties.

d. Costs to regulating agency for implementation and continued administration: See above.

5. LOCAL GOVERNMENT MANDATES:

The proposed amendment applies to public library systems and does not directly impose any compliance requirements on local governments.

6. PAPERWORK:

Regarding the central library service program, and consistent with statute, the proposed amendment provides, that:

- in order to be eligible for State aid for central library services pursuant to Education Law § 273(1)(b) a public library system must, among other things, submit an approvable prior year library system annual report and current year budget and submit an approvable annual application for such formula aid, show evidence of member library input;
- in order to be eligible for designation as the central or co-central library, the library must, among other things, submit an approvable prior year library annual report and provide a statement by the board of trustees of the central library or each of the co-central libraries to the public library system as outlined in the proposed rule; and
- the commissioner shall determine the format and timetable for the submission of applications and reports and the commissioner may request any other reports that the commissioner deems necessary to carry out the purposes of this program.

7. DUPLICATION:

The proposed amendment does not duplicate existing State or Federal requirements.

8. ALTERNATIVES:

The proposed amendment is necessary to align the Commissioner's regulations with recent changes to sections 273, 273-a of the Education Law made by Chapters 389 of the Laws of 2019, 563 and 627 of the Laws of 2021, and 333 of the Laws of 2022 and to make technical languages to align the Commissioner's regulations with Education Law § 273-a. There were no significant alternatives, and none were considered.

9. FEDERAL STANDARDS:

There are no applicable Federal standards.

10. COMPLIANCE SCHEDULE:

The proposed amendment will take effect as an emergency rule November 15, 2022. Following the 60-day public comment period required under the State Administrative Procedure Act, it is anticipated that the proposed amendment will be presented to the Board of Regents for adoption at its March 2023 meeting. Because the emergency action will expire before the March 2023 Regents meeting, it is anticipated that an additional emergency action will be presented for adoption at the January 2023 meeting. If adopted at the March 2023 meeting, the proposed amendment will become effective on March 29, 2023. It is anticipated that regulated parties will be able to comply with the proposed rule on its effective date.

Regulatory Flexibility Analysis

(a) Small Businesses:

The purpose of the proposed amendment is to align the Commissioner's regulations with recent changes to sections 273, 273-a of the Education Law made by Chapters 389 of the Laws of 2019, 563 and 627 of the Laws of 2021, and 333 of the Laws of 2022. The amendment does not impose any reporting, recordkeeping, or compliance requirements on small businesses and will not have an adverse economic impact on small businesses.

Because it is evident from the nature of the proposed amendment that it does not affect small businesses, no further steps were needed to ascertain that fact and none were taken. Accordingly, a regulatory flexibility analysis for small businesses is not required and one was not prepared.

(b) Local Governments:

1. EFFECT OF RULE:

The proposed amendment will affect all counties, cities, villages, towns, school districts or other body authorized to levy and collect taxes, which have established public library systems and school library systems.

2. COMPLIANCE REQUIREMENTS:

The amendment does not directly impose any compliance requirements on local governments. The proposed amendment is needed to align the Commissioner's regulations with recent changes to sections 273, 273-a of the Education Law made by Chapters 389 of the Laws of 2019, 563 and 627 of the Laws of 2021, and 333 of the Laws of 2022.

Specifically, the proposed rule amends section 90.12 of the Commissioner's regulations to align with the above referenced chapters. The proposed amendment to section 90.12:

• Defines the terms "library service area", "economically disadvantaged", "economically distressed", and "coordinated application";

• Amends the definition of library construction to clarify that it includes renovation or rehabilitation of a building to be leased for library purposes for a minimum of 10 years, after the expected completion of the project;

• Amends application procedures to:

o specify that the library system board must give particular attention to the service needs of any communities that are geographically isolated as well as economically distressed;

o explain how a library system board may demonstrate "economically disadvantaged" status;

o clarify that the library system board must determine the amount of State aid that will be allocated for each single building project application;

o state that library systems may allocate up to 50 percent of their library systems' total State aid for single building projects serving economically distressed communities; and

o indicate that coordinated applications must be submitted in accordance with Education Law § 273-a(3);

• Specifies that the library share of the cost of the project must be available or have been otherwise committed to the project at the time of application;

• Clarifies that the degree to which each project will result in the provision of library services in communities that are "economically distressed" shall be considered in the approval process;

• Indicates that, with respect to costs:

o project management project costs, salaries, benefits, or other in-house personnel costs are not eligible for approval;

o purchase and installation or construction of permanent components of a library building and construction of an outbuilding are added to approved costs; and

o certain costs are not eligible for State aid funds, but may be included in a project application and paid from the library's share of the total project cost.

Additionally, section 90.4 of the Commissioner's regulations relating to standards for central libraries, is amended to align with Chapter 563 which combined the former Central Library Services Aid (CLDA) and Central Book Aid (CBA) programs administered by the public library systems into a new, more flexible Central Library Services Aid Program. As a result, the outdated wording of section 90.4 of the Commissioner's regulations (i.e., "Standards for Central Libraries") will be repealed and replaced with new language that aligns with Education Law § 273(1)(b), as amended by Chapter 563. The proposed amendment:

• Defines terms used in such section;

• Requires each public library system to submit proposals to show how the system will manage a central library services program as part of their plan of service;

• Sets forth central library service program purposes;

• Prescribes eligibility criteria for State aid for central library services, and for designation as the central or co-central library; and

• Provides that that Commissioner shall determine the format and timetable for the submission and application of reports and may request any reports deemed necessary to carry out the purposes of the program.

3. PROFESSIONAL SERVICES:

The proposed amendment applies to public library systems and imposes no additional professional service requirements on local governments.

4. COMPLIANCE COSTS:

The proposed amendment does not impose any costs on local governments. The proposed amendment merely aligns the Commissioner's regulations with recent changes to sections 273 and 273-a of the Education Law made by Chapters 389 of the Laws of 2019, 563 and 627 of the Laws of 2021, and 333 of the Laws of 2022.

5. ECONOMIC AND TECHNOLOGICAL FEASIBILITY:

The proposed amendment does not impose any new technological requirements or costs on local governments.

6. MINIMIZING ADVERSE IMPACT:

The proposed rule is necessary to align the Commissioner's regulations with recent changes to sections 273 and 273-a of the Education Law made by Chapters 389 of the Laws of 2019, 563 and 627 of the Laws of 2021, and 333 of the Laws of 2022. The proposed amendment applies to public library systems and does not have any adverse economic impact on local governments. Therefore, no alternatives were considered.

7. LOCAL GOVERNMENT PARTICIPATION:

Comments on the proposed rule were solicited from public library system directors in various regions of the State.

Rural Area Flexibility Analysis

1. TYPES AND ESTIMATED NUMBERS OF RURAL AREAS:

The proposed amendment applies to all 23 public library systems in New York State, including those located in the 44 rural counties with fewer than 200,000 inhabitants and the 71 towns and urban counties with a population density of 150 square miles or less.

2. REPORTING, RECORDKEEPING, AND OTHER COMPLIANCE REQUIREMENTS; AND PROFESSIONAL SERVICES:

The purpose of the proposed amendment is to align the Commissioner's regulations with recent changes to sections 273, 273-a of the Education Law made by Chapters 389 of the Laws of 2019, 563 and 627 of the Laws of 2021, and 333 of the Laws of 2022.

3. COSTS:

The proposed amendment does not impose any costs on public library systems located in rural areas. The proposed amendment merely aligns the Commissioner's regulations with recent changes to sections 273, 273-a of the Education Law made by Chapters 389 of the Laws of 2019, 563 and 627 of the Laws of 2021, and 333 of the Laws of 2022.

4. MINIMIZING ADVERSE IMPACT:

The proposed amendment does not impose any additional compliance requirements or costs on public library systems located in rural areas. The proposed amendment merely aligns the Commissioner's regulations with recent changes to sections 273, 273-a of the Education Law made by Chapters 389 of the Laws of 2019, 563 and 627 of the Laws of 2021, and 333 of the Laws of 2022. In order to ensure uniform, State-wide high standards for public library systems the proposed amendment applies State-wide and, accordingly, it was not possible to provide for a lesser standard or exemption for rural areas.

5. RURAL AREA PARTICIPATION:

The proposed amendment has been sent for comment to public library system directors in various regions of the State, including those in rural areas.

Job Impact Statement

The purpose of the proposed amendment is to align the Commissioner's regulations with recent changes to sections 273, 273-a of the Education Law made by Chapters 389 of the Laws of 2019, 563 and 627 of the Laws of 2021, and 333 of the Laws of 2022. Because it is evident from the nature of the proposed amendment that it will have no impact on the existing number of jobs or employment opportunities in New York State, no further steps were needed to ascertain that fact and none were taken.

EMERGENCY/PROPOSED RULE MAKING NO HEARING(S) SCHEDULED

Pilot P-20 Partnerships for Principal Preparation Program

I.D. No. EDU-09-23-00030-EP

Filing No. 140

Filing Date: 2023-02-14

Effective Date: 2023-02-14

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following action:

Proposed Action: Amendment of section 52.21(c)(7) of Title 8 NYCRR.

Statutory authority: Education Law, sections 101, 207, 208, 305, 308, 3001, 3004 and 3009

Finding of necessity for emergency rule: Preservation of general welfare.

Specific reasons underlying the finding of necessity: In 2018, the Department published a Request for Applications (RFA) for the Model P-20 Partnerships for Principal Preparation Grant. The purpose of the grant program was to spur and support growth of a statewide network of Regional P-20 Partnership programs ("pilot programs") with the common aim of improving principal preparation and, thus, enhancing staff and school performance and contributing to improved student academic success. Eligible partnerships for the grant included the following entities:

- Public School District (Select). An eligible public school district: Buffalo Public Schools, Mount Vernon City School District, New York City Public Schools, Rochester City School District, Schenectady City School District, Syracuse City School District, and Yonkers Public Schools.
- Institution of Higher Education (IHE). An IHE with a graduate program in school leadership that is registered with the Department.
- Leadership Development Partner (LDP) Entity. Another entity with leadership development expertise.

Seven partnerships were funded, with one in each of the eligible public school districts. All but one[1] of these partnerships developed and implemented pilot programs leading to New York State School Building Leader (SBL) certification. The Department committed to funding two cohorts in each pilot program.

At its January 2019 meeting, the Board of Regents established the registration requirements for these pilot programs (8 NYCRR 52.21(c)(7)). The regulations included a requirement that the pilot programs design ways to build sustainability and progressively shift financial responsibility from the grant and the candidates to the partnership. Additionally, the Department committed to monitor pilot program success, with the intention of registering successful programs to continue operation beyond the pilot period. Under these regulations, the Pilot P-20 Partnerships for Principal Preparation Program was scheduled to end on September 30, 2022.

However, several of the programs are still underway, necessitating an extension of the end of the pilot period. Additionally, several partnerships have requested that the Department modify certain aspects of the program's design.

First, the Department proposes to extend the end date of the Pilot P-20 Partnerships for Principal Preparation Program to September 30, 2025. This three-year extension will support the sustainability of these programs and allow them to continue under their registration requirements.

Second, the proposed amendment allows institutions with pilot programs to request flexibility from the Department regarding any of the specific program requirements. Previously, flexibility was limited to the minimum cohort size and internship design program requirements. To receive flexibility from a requirement, the institution must demonstrate good cause satisfactory to the Commissioner, including a showing that such flexibility would enable the institution to provide a more innovative or sustainable program.

Third, the proposal would permit an eligible public school district that has demonstrated leadership development expertise to serve as the LDP in the partnership, subject to approval from the Department. This flexibility may further promote sustainability for partnerships in cases where a district has leadership development expertise.

Since the Board of Regents meets at fixed intervals, the earliest the proposed amendment could be adopted by regular (non-emergency) action after expiration of the 60-day public comment period provided for in the State Administrative Procedure Act (SAPA) sections 201(1) and (5) would be the June 2023 Regents meeting. Furthermore, pursuant to SAPA 203(1), the earliest effective date of the proposed rule, if adopted at the June meeting, would be June 28, 2023, the date the Notice of Adoption would be published in the State Register.

Therefore, emergency action is necessary at the February 2023 meeting for the preservation of the general welfare to immediately extend the September 30, 2022 end date of the Pilot P-20 Partnerships for Principal Preparation Program, to allow such program to continue operating.

It is anticipated that the proposed rule will be presented to the Board of Regents for adoption as a permanent rule at its June 2023 meeting, which is the first scheduled meeting after expiration of the 60-day public comment period mandated by SAPA for State agency rulemaking. However, since the emergency action will expire before the June 2023 Regents meeting, it is anticipated that an additional emergency action will be presented for adoption at the May 2023 Regents meeting.

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[1]The pilot program in the Schenectady City School District was not implemented.

Subject: Pilot P-20 Partnerships for Principal Preparation Program.

Purpose: To extend the September 30, 2022 end date of the pilot program to September 30, 2025.

Text of emergency/proposed rule: 1. Paragraph (7) of subdivision (c) of section 52.21 of the Regulations of the Commissioner of Education is amended to read as follows:

(7) Pilot P-20 Partnerships for Principal Preparation.

(i) …

(ii) Limitations. The Pilot P-20 Partnerships for Principal Preparation program shall end on September 30, [2022] *2025*.

(iii) Definitions. For purposes of this paragraph:

(a)…

(b) ...

(c) Eligible leadership development partner entity (LDP) shall mean an entity with leadership development expertise which may include Boards of Cooperative Educational Services (BOCES), professional organizations that represent school building leaders in collective bargaining, IHE-based centers that provide leadership development separate and apart from the degree-granting academic program, and/or organizations with a record of accomplishment in leadership development. *An eligible public school district that has demonstrated leadership development expertise may serve as the LDP, subject to department approval.*

(d) ...

(e) ...

(f) ...

(g) ...

(iv)

(v) Specific requirements for the Pilot P-20 Partnerships for Principal Preparation program. All programs shall:

(a) …

(b) …

(c) …

(d) …

(e) enroll at least one cohort of aspiring principal candidates during the funded project period, to begin the program in either Year 1 or Year 2. For the Big 5 city school districts (New York City, Buffalo, Rochester, Syracuse, and Yonkers), the required minimum cohort size for this project is 15 principal candidates. The required minimum cohort size for smaller districts (Mount Vernon and Schenectady) is five principal candidates. [The Commissioner may grant a waiver from the minimum cohort size requirement upon a showing of good cause satisfactory to the Commissioner, including but not limited to, demonstration that waiver of this requirement would enable the institution to provide a more innovative or sustainable program, which otherwise meets the requirements of this Part.] Projects may enroll more than the minimum in each cohort. However, projects need not enroll a cohort every year. Pilot projects may only admit and enroll candidates who do not currently hold SBL certification;

(f) provide extended (at least one academic year long) internship learning experiences for aspiring principals that are grounded in a competency-based and well-supervised set of practical experiences. Consistent with the purpose of exposing candidates to the full breadth of knowledge, skills, and experiences involved in leading schools, the principal-intern shall engage in sustained and rigorous clinical learning with substantial leadership responsibilities and an opportunity to practice and be evaluated in an authentic school setting, consistent with the PSELs. The internship must be designed to encompass the full-day experience throughout the regular school year, when students are in session, and may not be conducted "around the edges" of a candidate's employment[. The commissioner may grant flexibility in the design of the internship, upon a showing of good cause satisfactory to the Commissioner, including but not limited to a showing that such flexibility would enable the institution to provide a more innovative or sustainable program, which otherwise meets the purposes and requirements of this Part];

(g) …

(h) …

(i) …

(j) …

(k) …

(l) …

(m) …

(n) …

(o) …

(p) …

(vi) Upon written application by the institution, the commissioner may grant flexibility in the specific requirements described in subparagraph (v) of this paragraph upon a showing of good cause satisfactory to the commissioner, including, but not limited to, a showing that such flexibility would enable the institution to provide a more innovative or sustainable program, provided such program otherwise meets the purposes and requirements of this Part.

This notice is intended: to serve as both a notice of emergency adoption and a notice of proposed rule making. The emergency rule will expire May 14, 2023.

Text of rule and any required statements and analyses may be obtained from: Kirti Goswami, NYS Education Department, Office of Counsel, 89 Washington Avenue, Room 112 EB, Albany, NY 12234, (518) 474-6400, email: legal@nysed.gov

Data, views or arguments may be submitted to: William P. Murphy, Deputy Commissioner, NYS Education Department, Office of Higher Education, 89 Washington Avenue, Room 975 EBA, Albany, NY 12234, (518) 473-3781, email: OHERegcomments@nysed.gov

Public comment will be received until: 60 days after publication of this notice.

This rule was not under consideration at the time this agency submitted its Regulatory Agenda for publication in the Register.

Regulatory Impact Statement

1. STATUTORY AUTHORITY:

Education Law § 101 (not subdivided) charges the Department with the general management and supervision of all public schools and all of the educational work of the state.

Education Law § 207 (not subdivided) grants general rule-making authority to the Regents to carry into effect State educational laws and policies.

Education Law § 305(1) authorizes the Commissioner to enforce laws relating to the State educational system and execute Regents educational policies. Section 305(2) provides the Commissioner with general supervision over schools and authority to advise and guide school district officers in their duties and the general management of their schools.

Education Law § 3001 establishes the qualifications of teachers in the classroom.

Education Law § 3004 authorizes the Commissioner to promulgate regulations governing the certification requirements for teachers employed in public schools.

Education Law § 3006 authorizes the Commissioner to issue New York State certificates to teachers and supervisory and administrative staff.

Education Law § 3009 prohibits school districts from paying the salary of an unqualified teacher.

2. LEGISLATIVE OBJECTIVES:

The proposed amendment is consistent with the above statutory authority and is necessary to: (1) continue the Pilot P-20 Partnerships for Principal Preparation Program through September 30, 2025, which was scheduled to end on September 30, 2022; (2) allow institutions with pilot programs to request flexibility from the Department regarding any of the specific program requirements; and (3) permit an eligible public school district that has demonstrated leadership development expertise to serve as the Leadership Development (LDP) entity in the partnership.

3. NEEDS AND BENEFITS:

In 2018, the Department published a Request for Applications (RFA) for the Model P-20 Partnerships for Principal Preparation Grant. The purpose of the grant program was to spur and support growth of a statewide network of Regional P-20 Partnership programs ("pilot programs") with the common aim of improving principal preparation and, thus, enhancing staff and school performance and contributing to improved student academic success. Eligible partnerships for the grant included the following entities:

- Public School District (Select). An eligible public school district: Buffalo Public Schools, Mount Vernon City School District, New York City Public Schools, Rochester City School District, Schenectady City School District, Syracuse City School District, and Yonkers Public Schools.
- Institution of Higher Education (IHE). An IHE with a graduate program in school leadership that is registered with the Department.
- Leadership Development Partner (LDP) Entity. Another entity with leadership development expertise.

Seven partnerships were funded, with one in each of the eligible public school districts. All but one[1] of these partnerships developed and implemented pilot programs leading to New York State School Building Leader (SBL) certification. The Department committed to funding two cohorts in each pilot program.

At its January 2019 meeting, the Board of Regents established the registration requirements for these pilot programs (8 NYCRR 52.21(c)(7)). The regulations included a requirement that the pilot programs design ways to build sustainability and progressively shift financial responsibility from the grant and the candidates to the partnership. Additionally, the Department committed to monitor pilot program success, with the intention of registering successful programs to continue operation beyond the pilot period. Under these regulations, the Pilot P-20 Partnerships for Principal Preparation Program was scheduled to end on September 30, 2022.

However, several of the programs are still underway, necessitating an extension of the end of the pilot period. Additionally, several partnerships have requested that the Department modify certain aspects of the program's design.

Proposed Amendment

First, the Department proposes to extend the end date of the Pilot P-20 Partnerships for Principal Preparation Program to September 30, 2025. This three-year extension will support the sustainability of these programs and allow them to continue under their registration requirements.

Second, the proposed amendment allows institutions with pilot programs to request flexibility from the Department regarding any of the specific program requirements. Previously, flexibility was limited to the minimum cohort size and internship design program requirements. To receive flexibility from a requirement, the institution must demonstrate good cause satisfactory to the Commissioner, including a showing that such flexibility would enable the institution to provide a more innovative or sustainable program.

Third, the proposal would permit an eligible public school district that has demonstrated leadership development expertise to serve as the LDP in the partnership, subject to approval from the Department. This flexibility may further promote sustainability for partnerships in cases where a district has leadership development expertise.

4. COSTS:

a. Costs to State government: The amendment does not impose any costs on State government, including the State Education Department.

b. Costs to local government: The amendment does not impose any costs on local government.

c. Costs to private regulated parties: The amendment does not impose any costs on private regulated parties.

d. Costs to regulating agency for implementation and continued administration: See above.

5. LOCAL GOVERNMENT MANDATES:

The proposed amendment does not impose any additional program, service, duty or responsibility upon any local government.

6. PAPERWORK:

The proposed amendment does not impose any additional paperwork requirements.

7. DUPLICATION:

The proposed amendment does not duplicate existing State or Federal requirements.

8. ALTERNATIVES:

The proposed amendment is necessary to continue the Pilot P-20 Partnerships for Principal Preparation Program through September 30, 2025, provide additional program flexibility regarding program requirements, and allows an eligible public school district to serve as the LDP. Therefore, no alternatives were considered.

9. FEDERAL STANDARDS:

There are no applicable Federal standards.

10. COMPLIANCE SCHEDULE:

It is anticipated that the proposed amendment will be adopted as a permanent rule by the Board of Regents at its June 2023 meeting. If adopted at the June 2023 meeting, the proposed amendment will become effective on June 28, 2023. It is anticipated that regulated parties will be able to comply with the proposed rule on tis effective date.

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[1]The pilot program in the Schenectady City School District was not implemented.

Regulatory Flexibility Analysis

(a) Small businesses:

The proposed amendment is necessary to (1) continue the Pilot P-20 Partnerships for Principal Preparation Program through September 30, 2025, which was scheduled to end on September 30, 2022; (2) allow institutions with pilot programs to request flexibility from the Department regarding any of the specific program requirements; and (3) permit an eligible public school district that has demonstrated leadership development expertise to serve as the Leadership Development (LDP) entity in the partnership. Because it is evident from the nature of the proposed amendment that it does not affect small businesses, no further measures were needed to ascertain that fact and none were taken. Accordingly, a regulatory flexibility analysis for small businesses is not required and one has not been prepared.

(b) Local governments:

1. EFFECT OF RULE:

The proposed amendment applies to those public school districts that entered into a partnership in the Pilot P-20 Partnerships for Principal Preparation Program: Buffalo Public Schools, Mount Vernon City School District, New York City Public Schools, Rochester City School District, Syracuse City School District, and Yonkers Public Schools.

2. COMPLIANCE REQUIREMENTS:

The proposed amendment continues the Pilot P-20 Partnerships for Principal Preparation Program through September 30, 2025, provides additional program flexibility regarding program requirements, and allows an eligible public school district to serve as the Leadership Development (LDP) entity in the partnership. Specifically, the proposed rule allows institutions with pilot programs to request flexibility from the Department regarding any of the specific program requirements and permits an eligible public school district that has demonstrated leadership development expertise to serve as the LDP.

Additionally, participation by eligible school districts in the Pilot P-20 Partnerships for Principal Preparation Program is voluntary.

Therefore, the proposed rule does not impose any additional compliance requirements on local governments.

3. PROFESSIONAL SERVICES:

The proposed rule does not impose any additional professional services requirements on local governments.

4. COMPLIANCE COSTS:

The proposed amendment does not impose any costs on regulated parties.

5. ECONOMIC AND TECHNOLOGICAL FEASIBILITY:

The proposed amendment does not impose any new technological requirements on school districts or charter schools. Economic feasibility is addressed in the Costs section above.

6. MINIMIZING ADVERSE IMPACT:

The proposed rule merely continues the Pilot P-20 Partnerships for Principal Preparation Program through September 30, 2025, provides additional program flexibility regarding program requirements, and allows an eligible public school district to serve as the LDP. Therefore, the proposed rule will not have any adverse economic impact on regulated parties. No alternatives were considered.

7. LOCAL GOVERNMENT PARTICIPATION:

Copies of the proposed rule have been shared with those public school districts that partner in the Pilot P-20 Partnerships for Principal Preparation Program for review and comment.

Rural Area Flexibility Analysis

The purpose of the proposed amendment of section 52.21 of the Regulations of the Commissioner of Education is to (1) continue the Pilot P-20 Partnerships for Principal Preparation Program through September 30, 2025, which was scheduled to end on September 30, 2022; (2) allow institutions with pilot programs to request flexibility from the Department regarding any of the specific program requirements; and (3) permit an eligible public school district that has demonstrated leadership development expertise to serve as the Leadership Development (LDP) entity in the partnership.

Currently the only school districts eligible to be partners under the pilot program are select city school districts. Therefore, the proposed amendment has no impact on rural areas and therefore no rural area flexibility analysis was prepared.

Job Impact Statement

The purpose of the proposed amendment of section 52.21 of the Regulations of the Commissioner of Education is to (1) continue the Pilot P-20 Partnerships for Principal Preparation Program through September 30, 2025, which was scheduled to end on September 30, 2022; (2) allow institutions with pilot programs to request flexibility from the Department regarding any of the specific program requirements; and (3) permit an eligible public school district that has demonstrated leadership development expertise to serve as the Leadership Development (LDP) entity in the partnership.

Because it is evident from the nature of the proposed amendments that they will have no impact on the number of jobs or employment opportunities in New York State, no further steps were needed to ascertain that fact and none were taken.

NOTICE OF ADOPTION

Definition of "Prospective School Employee"

I.D. No. EDU-42-22-00005-A

Filing No. 136

Filing Date: 2023-02-14

Effective Date: 2023-03-01

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following action:

Action taken: Amendment of section 87.2(k) of Title 8 NYCRR.

Statutory authority: Education Law, sections 207, 305, 3001-d and 3035

Subject: Definition of ''prospective school employee''.

Purpose: To include individuals who will reasonably be expected to have telephonic communication or interaction with students.

Text or summary was published in the October 19, 2022 issue of the Register, I.D. No. EDU-42-22-00005-P.

Final rule as compared with last published rule: No changes.

Text of rule and any required statements and analyses may be obtained from: Kirti Goswami, NYS Education Department, Office of Counsel, 89 Washington Avenue, Room 112 EB, Albany, NY 12234, (518) 474-6400, email: legal@nysed.gov

Initial Review of Rule

As a rule that requires a RFA, RAFA or JIS, this rule will be initially reviewed in the calendar year 2026, which is no later than the 3rd year after the year in which this rule is being adopted.

Assessment of Public Comment

The agency received no public comment.

NOTICE OF ADOPTION

Restarting the Accountability System Using 2021-2022 School Year Results

I.D. No. EDU-42-22-00006-A

Filing No. 137

Filing Date: 2023-02-14

Effective Date: 2023-03-01

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following action:

Action taken: Amendment of sections 100.19 and 100.21 of Title 8 NYCRR.

Statutory authority: Education Law, sections 101, 112, 207, 210, 215, 305, 309, 3713; Elementary and Secondary Education Act of 1965, as amended by the Every Student Succeeds Act of 2015; 20 U.S.C. sections 6301 et seq. (Public Law 114-95, 129 STAT. 1802)

Subject: Restarting the Accountability System Using 2021-2022 School Year Results.

Purpose: To align the Commissioner's regulations with approved state plan addendum and with provisions related to restart of the accountability system.

Text or summary was published in the October 19, 2022 issue of the Register, I.D. No. EDU-42-22-00006-EP.

Final rule as compared with last published rule: No changes.

Text of rule and any required statements and analyses may be obtained from: Kirti Goswami, NYS Education Department, Office of Counsel, 89 Washington Avenue, Room 112 EB, Albany, NY 12234, (518) 474-6400, email: legal@nysed.gov

Initial Review of Rule

As a rule that requires a RFA, RAFA or JIS, this rule will be initially reviewed in the calendar year 2026, which is no later than the 3rd year after the year in which this rule is being adopted.

Assessment of Public Comment

Since publication of the Notice of Emergency Adoption Proposed Rule Making in the State Register on October 19, 2022, the Department received the following public comment on the proposed rule:

1. COMMENT: A commenter expressed difficulty with relaying to schools that the proposed amendments are operating under a one-year addendum due to the term "restart." The commenter suggested that the term "restart" be changed for better clarification.

DEPARTMENT RESPONSE: The term "restart" is not an official name under the accountability system and is not a defined provision of the regulated amendments proposed in this item. The Department has distributed resources that explain the details of the accountability system under the approved one-year model. The Department will continue to support districts and schools in understanding the accountability system. No changes are necessary.

2. COMMENT: A commenter expressed concern about using Chronic Absenteeism as an accountability indicator, as they stated, "attendance policies are not standardized across districts in New York State." The commenter suggested replacing Chronic Absenteeism with another metric, such as a School Climate and Safety Survey that every district must administer.

DEPARTMENT RESPONSE: The chronic absenteeism indicator was selected by the Board of Regents as a measure of school quality and student success after extensive stakeholder input, including responses to surveys, that demonstrated strong support for the use of this measure. Like New York State, most states use chronic absenteeism as part of their Every Student Succeeds Act (ESSA) accountability system. Because the computation of chronic absenteeism does not distinguish between excused and unexcused absences, the fact that districts have different attendance policies does not impact the usefulness of the indicator. The Department recognizes the value of school climate and safety surveys and currently uses them as part of the process for supporting school and district improvement efforts. No changes are necessary.

3. COMMENT: A commenter stated, "If a school is rated low, a parent should be allowed to easily switch their child to another, better performing school in the district right away."

DEPARTMENT RESPONSE: This comment is outside the scope of the proposed amendments; therefore, no changes are necessary. Nevertheless, the Department notes that accountability determinations of schools and districts are intended to be a continuum of support and shared responsibility at the local, district, and state level. Within all accountability support models, schools and districts will have opportunities to leverage strengths and identify areas of focus within a system of continuous improvement. The Department encourages school communities to continue their partnership with schools and districts in pursuing academic achievement and safe and healthy learning environments under federal and state requirements.

4. COMMENT: A commenter stated, "I think it is not helpful to change, 'Good Standing' to 'Local Support.' Changing the terminology may confuse parents about the stark reality of school differences and problems."

DEPARTMENT RESPONSE: The Department has designed the accountability process to focus on a continuum of shared responsibility and support at the local, district, and state levels. The modification to the terminology aligns with the understanding that all schools and districts use various models of continuous improvement. The Department recognizes and honors this structure by adding the Local Support and Improvement dimension to the differentiated levels of support. Schools identified for Local Support and Improvement can continue with their improvement efforts at the local level and partner with the Department as needed for resources, tools, and technical assistance. No changes are necessary.

5. COMMENT: A commenter expressed concerns with the methodologies used to factor in opt-outs and with the scoring bands of the Performance Levels. The commenter writes, "We recommend that SED adjust the Level 1 and Level 2 scoring bands so that schools that are initially identified are in fact lower performing schools. We further recommend that SED use absolute scores instead of percentile scores for High School graduation."

DEPARTMENT RESPONSE: To make preliminary Comprehensive Support and Improvement (CSI), Additional Targeted Support and Improvement (ATSI), and Targeted Support and Improvement (TSI) accountability status decisions based on 2021–2022 school year results, the Department used only Scenario 1 of the proposed decision tables. Additionally, the Department identified schools for CSI that did not meet the 4-year graduation rate of 67%, as required by the ESSA statute. To meet Scenario 1 of the decision table, a subgroup must have a Level 1 for both Weighted Average Achievement and Core Subject Performance indicators at the elementary/middle level. At the high school level, a subgroup must have a Level 1 for Weighted Average Achievement, Core Subject Performance, and Graduation Rate indicators. Under New York's ESSA accountability system, Level 1 is assigned to subgroups performing at the bottom 10 percent. To identify the bottom 5 percent of schools for CSI, the Department had to start with a pool of the bottom 10 percent of schools, because some schools will be performing at higher than 5 percent (but lower than 10 percent) for one of the aforementioned indicators. Level 2 band was not used to preliminarily identify schools for CSI, ATSI, or TSI based on 2021–2022 school year results. Regarding the Graduation Rate indicator, the percentile methodology is used to identify the lowest 10 percent of schools with the unweighted average Graduation Rate, which an absolute score would not accomplish. If an absolute cut point of 67 percent is used for the Graduation Rate indicator, it will not provide the 5 percent schools required to be identified for CSI. No changes are necessary.

6. COMMENT: A commenter stated, "We ask that SED reconsider excluding a Core Performance Index measure for these schools with less than 50% of the number of weighted student results due to high-opt outs. We further recommend weighting the Core Performance Index more heavily than the Weighted Average Achievement measure as it measures actual student performance. Schools that should be in good standing based on actual performance must devote time, energy, and resources where they may not be most useful."

DEPARTMENT RESPONSE: For making preliminary elementary/middle CSI, ATSI, and TSI accountability status decisions based on 2021–2022 school year results, the Department used only Scenario 1 of the decision table. To meet Scenario 1 of the decision table, the subgroup had to be in Level 1 for both Weighted Average Achievement and Core Subject Performance indicators. Therefore, if a Core Subject Performance is suppressed, then the subgroup will not meet Scenario 1 and will not be identified. Both Weighted Average Achievement and Core Subject Performance Indicators carry equal weights in the decision table used to identify schools for CSI, ATSI, and TSI. ESSA requirements prohibit weighting Core Subject Performance more than Weighted Average Achievement, or not making a Weighted Average Achievement determination because students did not test. No changes are necessary.

7. COMMENT: A commenter expressed concerns about how public comments were received. The commenter wrote, "The Emergency Regulations originally adopted in October were extended at the December meeting through the consent agenda, without discussion by the Regents. SED has not proposed an additional comment period with this new action and intends to present Regulations for permanent adoption at the February meeting. The timeline would mean that the Department will not be making any changes to the current Emergency Regulations regardless of any comments received. It is unclear how the Department could predetermine that no substantive changes will be made to Emergency Regulations while a comment period is still open."

DEPARTMENT RESPONSE: The Department followed the 60-day public comment period requirement under the State Administrative Procedure Act and any comment received within this window was reviewed prior to submission for permanent adoption. The December consent adoption item was to ensure that the emergency action taken at the October meeting remained continuously in effect until the proposed rule could be brought back for permanent adoption. There was no determination at that time that no substantive changes would be made to the proposed rule. This comment is outside the scope of the proposed amendments; therefore, no changes are necessary.

NOTICE OF ADOPTION

Deferment of the Declaration of a Major by Matriculated Students for State Financial Aid Purposes

I.D. No. EDU-42-22-00007-A

Filing No. 135

Filing Date: 2023-02-14

Effective Date: 2023-03-01

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following action:

Action taken: Amendment of section 145-2.4 of Title 8 NYCRR.

Statutory authority: Education Law, sections 207 and 661

Subject: Deferment of the declaration of a major by matriculated students for State financial aid purposes.

Purpose: To allow students who matriculate with college credits to have additional time to declare their major and remain eligible for state financial aid.

Text or summary was published in the October 19, 2022 issue of the Register, I.D. No. EDU-42-22-00007-P.

Final rule as compared with last published rule: No changes.

Text of rule and any required statements and analyses may be obtained from: Kirti Goswami, NYS Education Department, Office of Counsel, 89 Washington Avenue, Room 112 EB, Albany, NY 12234, (518) 474-6400, email: legal@nysed.gov

Initial Review of Rule

As a rule that requires a RFA, RAFA or JIS, this rule will be initially reviewed in the calendar year 2026, which is no later than the 3rd year after the year in which this rule is being adopted.

Assessment of Public Comment

The agency received no public comment.

NOTICE OF ADOPTION

Practice of Registered Dental Assisting

I.D. No. EDU-42-22-00008-A

Filing No. 139

Filing Date: 2023-02-14

Effective Date: 2023-03-01

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following action:

Action taken: Amendment of Part 61 and section 61.13 of Title 8 NYCRR.

Statutory authority: Education Law, sections 207, 6504, 6507 and 6808; L. 2022, ch. 512; L. 2019, ch. 390

Subject: Practice of registered dental assisting.

Purpose: To conform the Commissioner's regulations with chapter 512 of the Laws of 2022 and chapter 390 of the Laws of 2019.

Text or summary was published in the October 19, 2022 issue of the Register, I.D. No. EDU-42-22-00008-EP.

Final rule as compared with last published rule: No changes.

Text of rule and any required statements and analyses may be obtained from: Kirti Goswami, NYS Education Department, Office of Counsel, 89 Washington Avenue, Room 112EB, Albany, NY 12234, (518) 474-6400, email: legal@nysed.gov

Initial Review of Rule
As a rule that requires a RFA, RAFA or JIS, this rule will be initially reviewed in the calendar year 2026, which is no later than the 3rd year after the year in which this rule is being adopted.
Assessment of Public Comment
The agency received no public comment.

NOTICE OF ADOPTION

Requirements for Members of the Standing Committee for the Regents Examination Appeals Process

I.D. No. EDU-42-22-00009-A
Filing No. 138
Filing Date: 2023-02-14
Effective Date: 2023-03-01

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following action:

Action taken: Amendment of section 100.5(d)(7) of Title 8 NYCRR.

Statutory authority: Education Law, sections 101, 207, 208, 209, 305, 309 and 3204

Subject: Requirements for members of the standing committee for the Regents examination appeals process.

Purpose: To provide flexibility on who may serve as a member of the standing committee for the Regents examination appeals process.

Text or summary was published in the October 19, 2022 issue of the Register, I.D. No. EDU-42-22-00009-EP.

Final rule as compared with last published rule: No changes.

Text of rule and any required statements and analyses may be obtained from: Kirti Goswami, NYS Education Department, 89 Washington Avenue, Room 112EB, Albany, NY 12234, (518) 474-6400, email: legal@nysed.gov

Initial Review of Rule
As a rule that requires a RFA, RAFA or JIS, this rule will be initially reviewed in the calendar year 2026, which is no later than the 3rd year after the year in which this rule is being adopted.
Assessment of Public Comment
The agency received no public comment.

PROPOSED RULE MAKING
HEARING(S) SCHEDULED

Special Education Due Process Hearings

I.D. No. EDU-09-23-00031-P

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following proposed rule:

Proposed Action: Amendment of section 200.5 of Title 8 NYCRR.

Statutory authority: Education Law, sections 107, 207, 305, 3214, 4403, 4404 and 4410

Subject: Special education due process hearings.

Purpose: To amend due process hearing procedures relating to extensions, mediation and resolution, rules of conduct, and use of in-person, teleconference, and videoconference hearings.

Public hearing(s) will be held at: 11:30 a.m., May 1, 2023, via Zoom, Link: https://us06web.zoom.us/j/84161631017?pwd=ajk0Nlloe TBOR1BSc1g0ZDRmelBZZz09, Meeting ID: 841 6163 1017, Passcode: w0QRHu, Call In: +1 646 558 8656 US (New York); 6:00, May 1, 2023, via Zoom, Link: https://us06web.zoom.us/j/87150443913?pwd=a DdGU2JPZWNXa1J2aU5BYWlGLzBodz09, Meeting ID: 871 5044 3913, Passcode: VWm9UT, Call In: +1 646 558 8656 US (New York); 4:00 p.m., May 2, 2023, at the Education Department, 89 Washington Ave., Albany, NY 12234.

Pre-registration is required at stakeholderDPU@nysed.gov or (518) 473-0170

Sign-In with Security on 1st Fl. Washington Ave. Entrance.

Interpreter Service: Interpreter services will be made available to hearing impaired persons, at no charge, upon written request submitted within reasonable time prior to the scheduled public hearing. The written request must be addressed to the agency representative designated in the paragraph below.

Accessibility: All public hearings have been scheduled at places reasonably accessible to persons with a mobility impairment.

Text of proposed rule: 1. Paragraph (5) of subdivision (j) of section 200.5 of the Regulations of the Commissioner of Education is amended to read as follows:

(5) …

(i) …

(ii) [The impartial hearing officer may grant a request for an extension only after fully considering the cumulative impact of the following factors] *The initial request for an extension may be granted only for good cause shown and only for the minimum necessary length of time. Although the party's consent to an extension request weighs in favor of granting the request, their consent does not, by itself, constitute good cause for an extension*. The impartial hearing officer shall consider the following in deciding whether there is good cause for an extension:

(a) …

(b) …

(c) …

(d) whether there has already been a delay in the proceeding through the actions of one of the parties[.]*;*

(e) the amount of time the proceedings have been pending;

(f) whether the extension will inconvenience any witnesses;

(g) whether the extension is requested due to facts beyond the requesting party's control; and

(h) any other fact or consideration that the impartial hearing officer considers relevant.

(iii) [Absent a compelling reason or a specific showing of substantial hardship, a request for an extension shall not be granted because of school vacations, a lack of availability resulting from the parties and/or representatives' scheduling conflicts, avoidable witness scheduling conflicts, or other similar reasons. Upon a finding of good cause based on the likelihood that a settlement may be reached, an extension may be granted for settlement discussions between the parties. The impartial hearing officer shall not rely on the agreement of the parties as a basis for granting an extension.] *No proceeding or deadline may be extended more than once, except upon a showing of exceptional circumstances as determined by the impartial hearing officer. Exceptional circumstances may include the need to present additional witness testimony that could not reasonably be completed within the length of an ordinary hearing day (i.e., eight hours with reasonable breaks, including lunch). In addition, where an attorney requests an extension based upon time constraints imposed by another pending legal matter, the attorney must submit an affirmation to the impartial hearing officer that states the nature of the conflicting matter, the court or tribunal hearing the matter, the judge or impartial hearing officer before whom the matter is scheduled, and the date, time, place and approximate duration of the engagement. If the parent is accompanied by a non-attorney representative who requests an extension based upon time constraints imposed by other advocacy work, the non-attorney representative must submit an affirmation to the impartial hearing officer that states the nature of the conflicting matter, the court or tribunal hearing the matter, the impartial hearing officer before whom the matter is scheduled, and the date, time, place and approximate duration of the engagement.*

(iv) Notwithstanding any other provision of this section, if the parties have made substantial progress toward settlement, as determined by the impartial hearing officer, they may jointly request that the matter be dismissed, without prejudice, until the parties finalize such settlement agreement. The statute of limitations applicable to a due process complaint shall be tolled for a period of six months from the date of such dismissal. The student's right to remain in his or her then-current educational placement, pursuant to subdivision (m) of this section, shall continue until a settlement agreement is executed or until expiration of the six-month tolling of the statute of limitations. No extension shall be granted after the record close date.

(v) A party may not withdraw and refile or amend a due process complaint for the primary purpose of obtaining additional extensions of time. If an impartial hearing officer determines that a party refiled or amended such a complaint primarily for such reason, he or she shall dismiss the complaint for abuse of process.

[(iv)]*(vi)* The impartial hearing officer shall promptly respond in writing to each request for an extension and shall set forth the facts relied upon for each extension granted. The response shall become part of the record. The impartial hearing officer may render an oral decision to an oral request for an extension if the discussions are conducted on the record, but shall subsequently provide that decision in writing and include it as part of the record. For each extension granted, the impartial hearing officer shall set a new date for rendering his or her decision, notify the parties in writing of such date, and as required, revise the schedule of remaining hearing dates set forth in the written prehearing order issued pursuant to clause (3)(xi)(b) of this subdivision to ensure that the impartial hearing officer's decision is issued by the revised decision due date.

[(v)]*(vii)* The impartial hearing officer shall determine when the record is closed and notify the parties of the date the record is closed. The decision of the impartial hearing officer shall be based solely upon the record of the proceeding before the impartial hearing officer and shall set forth the reasons and the factual basis for the determination. The decision shall reference the hearing record to support the findings of fact. The impartial hearing officer shall attach to the decision a list identifying each exhibit admitted into evidence. Such list shall identify each exhibit by date, number of pages, and exhibit number or letter. In addition, the decision shall include an identification of all other items the impartial hearing officer has entered into the record. The decision shall also include a statement advising the parents and the board of education of the right of any party involved in the hearing to obtain a review of such a decision by the State review officer in accordance with subdivision (k) of this section. The decision of the impartial hearing officer shall be binding upon both parties unless appealed to the State review officer. Impartial hearing officers must sign and date their decisions as of the date the decision is being distributed and shall distribute the decision to the parties on that same day. This date shall also constitute the case closure date reported by a district to the Office of Special Education in the New York State Education Department.

[(vi)]*(viii)* For purposes of this section, the record shall include copies of:

(a) …
(b) …
(c) …
(d) …
(e) …
(f) …
(g)…
(h) …

2. Subdivision (h) of section 200.5 of the Regulations of the Commissioner of Education is amended to read as follows:

(h) Mediation.

(1) *During the resolution period pursuant to paragraph (2) of subdivision (j) of this section, the parties may agree to engage in mediation for any matter for which an impartial due process hearing may be brought. Parties may also agree to engage in mediation at any time, including prior to the filing of a due process complaint notice, for any matter in which an impartial due process hearing may be brought. Where parties agree to engage in mediation prior to the filing of a due process complaint, the student has the right to remain in his or her then-current educational placement, consistent with subdivision (m) of this section. If the parties determine that they are unable to resolve the complaint in mediation, the parent must file a due process complaint concerning the matter that is the subject of mediation within 14 days of such determination to continue their current placement, unless the parties otherwise agree.* Each school district must ensure that procedures are established and implemented to allow parties to resolve disputes [involving any matter for which an impartial due process hearing may be brought, including matters arising prior to the filing of a due process complaint notice] *through a mediation process*. Such procedures must ensure that:

(i) …
(ii) …
(iii) …
(iv) …
(v) …

(vi) In the case that a resolution is reached to resolve the complaint through the mediation process, the parties shall execute a legally binding written agreement that sets forth the resolution and that states that all discussions that occurred during the mediation process shall remain confidential and may not be used as evidence in any subsequent due process hearing or civil proceeding of any federal or State court. The agreement shall be signed by both the parent and a representative of the school district who has the authority to bind the school district. The written, signed agreement is enforceable in any State court of competent jurisdiction, [or] in a district court of the United States*, or, with respect to matters concerning Part B of the Individuals with Disabilities Education Act, through the state complaint procedures outlined in subdivision (l) of this section*.

(2) …
(3) …
(4) …
(5) ...

3. Paragraph (3) of subdivision (j) of section 200.5 of the Regulations of the Commissioner of Education is amended by adding a new subparagraph (xviii) to read as follows:

(xviii) At all times throughout an impartial hearing, representatives of the parties, including attorneys and non-attorney representatives must:

(a) Be familiar with and comply with all applicable laws and rules, and the orders and directions of the impartial hearing officer. Attorneys and representatives shall not disregard the authority of the impartial hearing officer.

(b) Conduct themselves at in a dignified, orderly, and decorous manner. At the hearing, attorneys or representatives must address themselves to the impartial hearing officer at all times and cooperate with the orderly conduct of the proceedings. Attorneys and representatives shall not engage in abusive behavior or any disturbance that directly or indirectly disrupts, obstructs, or interrupts the proceedings.

4. Clauses (h) and (i) of subparagraph (xii) of paragraph (3) of subdivision (j) of section 200.5 of the Regulations of the Commissioner of Education is amended to read as follows:

(h) [The impartial hearing officer may conduct the impartial hearing by video conference during a declared State of emergency issued by the Governor pursuant to an Executive Order,] *The impartial hearing officer, with the consent of the parent, may conduct the hearing in person, by video conference or teleconference,* provided that all personally identifiable data, information or records pertaining to students with disabilities during such hearing shall be subject to the requirements of paragraph (e)(2) of this section.

[(i) The impartial hearing officer may conduct the impartial hearing by video conference or teleconference with the consent of the parent which may be obtained at a pre-hearing conference, or a minimum of 10 days before the scheduled hearing date, provided that all personally identifiable data, information or records pertaining to students with disabilities during such hearing shall be subject to the requirements of section 200.5(e)(2) of this Part.]

5. Subparagraph (iv) of paragraph (2) of subdivision (j) of section 200.5 of the Regulations of the Commissioner of Education is amended to read as follows:

(iv) Written settlement agreement. If during the resolution process, the parent and school district reach an agreement to resolve the complaint, the parties shall execute a legally binding agreement that is signed by both the parent and a representative of the school district who has the authority to bind the school district. Such agreement shall be enforceable in any State court of competent jurisdiction, [or] in a district court of the United States*, or, with respect to matters concerning Part B of the Individuals with Disabilities Education Act, through the state complaint procedures outlined in subdivision (l) of this section*. A party may void such agreement within three business days of the agreement's execution.

Text of proposed rule and any required statements and analyses may be obtained from: Kirti Goswami, NYS Education Department, Office of Counsel, 89 Washington Avenue, Room 112EB, Albany, NY 12234, (518) 474-6400, email: legal@nysed.gov

Data, views or arguments may be submitted to: Christopher Suriano, Assistant Commissioner, NYS Education Department, Office of Special Education, 89 Washington Avenue, Room 301M EB, Albany, NY 12234, (518) 473-2878, email: REGCOMMENTS@nysed.gov

Public comment will be received until: Five days after the last scheduled public hearing.

This rule was not under consideration at the time this agency submitted its Regulatory Agenda for publication in the Register.

Regulatory Impact Statement

1. STATUTORY AUTHORITY:

Education Law § 101 (not subdivided) charges the Department with the general management and supervision of all public schools and of all the educational work of the state.

Education Law § 207 (not subdivided) grants general rule-making authority to the Regents to carry into effect State educational laws and policies.

Education Law § 305(1) authorizes the Commissioner to enforce laws relating to the State educational system and execute Regents educational policies. Section 305(2) provides the Commissioner with general supervision over schools and authority to advise and guide school district officers in their duties and the general management of their schools.

Education Law § 3214(3)(g) establishes the authority of an impartial hearing officer relating to a change in placement to interim alternative educational settings.

Education Law § 4403 outlines the Department's responsibilities regarding special education programs and services to students with disabilities. Section 4403(3) authorizes the Department to adopt regulations as the Commissioner deems in their best interest.

Education Law § 4404 establishes the appeal procedures for students with disabilities. Subdivision (1) authorizes and requires the Commissioner to promulgate regulations relating to the qualifications, procedures and timelines for impartial hearings, as well as procedures for the suspension or revocation of impartial hearing officer certification for good cause.

Education Law § 4410(7) provides that a parent may file a written request with the board of education for an impartial hearing with respect to any matter relating to the identification, evaluation or educational placement of, or provision of a free appropriate public education to preschool students with disabilities. Subdivision (14) authorizes the Commissioner to adopt regulations to implement such statute.

2. LEGISLATIVE OBJECTIVES:

Consistent with the above statutory authority, the proposed rule is necessary to improve the process and conduct of special education due process hearings by amending provisions related to the issuance of extensions, mediation, rules of conduct, and use of teleconference and videoconference.

3. NEEDS AND BENEFITS:

Extensions:

State and federal law generally require that a special education impartial hearing decision be rendered within 75 days of receipt of a due process complaint.[1] Impartial hearing officers (IHOs) may grant extensions to this timeframe if requested by the parties provided certain requirements are met. Despite the existing requirements in regulation, IHOs in New York City grant an inordinate number of extensions, resulting in delays in dispute resolution. This is contrary to the purpose of the special education due process hearing system, which is to timely resolve disputes between parents and school districts.

During the 2021-2022 school year, IHOs granted over 80,000 extensions in New York State, 79,203 (99 percent) of which originated from New York City. This has resulted in exceptionally lengthy hearings. Many such extensions are granted where, despite an agreement between the parties, the parties await final approval of a settlement by the New York City Department of Education. Cases can be extended for months or even years after the original 75-day period has passed[2] and yet still be considered timely as a result of extensions.

Therefore, the Department proposes to amend section 200.5(j)(5) of the Commissioner's regulations related to the issuance of extensions. Specifically, the proposed amendment:

- Includes additional reasons for an IHO to consider in determining whether good cause exists to grant an extension;
- Permits no more than a single extension unless there is a showing of exceptional circumstances by the parties. Exceptional circumstances may include the need to present additional witness testimony that could not reasonably be completed within the length of an ordinary hearing day. The parties must file an affirmation of an actual conflicting engagement when seeking an additional extension on this basis; and
- Creates a process by which parents or guardians who have settled with a school district may withdraw their complaints and remain in their then-current education placement until final execution of a settlement.

The Department anticipates that the proposed amendments will ameliorate overreliance on extensions by the parties and the IHOs in New York City and ensure more efficient and timely decisions.

Mediation and Resolution:

Mediation and resolution are viable but underutilized due process hearing dispute resolution mechanisms in New York State. In the 2021-2022 school year, there were 18,200 due process complaints filed in New York State, and only 355 requested special education mediation. Of the 355 requests, only 202 mediation agreements were reached. Concerning resolution, the regulations require that a resolution meeting be held in the vast majority of cases prior to proceeding to the hearing (see 8 NYCRR 200.5(j)(2)).[3] Nevertheless, of the 18,200 due process complaints filed, only 983 resolution meetings were held, and only 345 written settlement agreements were reached through resolution.

If a district fails to implement an agreed-upon mediation or resolution agreement, such agreements are enforceable "in any State court of competent jurisdiction or in a district court of the United States" (8 NYCRR 200.5(h)(1)(vi), 200.5(j)(2)(iv)). This is likely to be an expensive and lengthy process that may further discourage parents from engaging in mediation and/or resolution. However, the state complaint procedures available in section 200.5(l) of the Commissioner's regulations is an alternative process that parents may find more accessible, and preferable, than judicial enforcement. Moreover, while federal regulations only address the use of judicial enforcement of mediation and resolution agreements, nothing in the federal regulations prohibits the use of nonjudicial mechanisms to resolve allegations that the public agency did not implement a mediation agreement, provided the State's mechanism is not mandatory and does not otherwise delay or deny a parties' right to seek enforcement of the agreement through the judicial enforcement mechanisms (see 34 CFR 300.506(b)(7), 300.510(d)(2); https://sites.ed.gov/idea/files/ idea/policy/speced/guid/idea/memosdcltrs/acccombinedosersdisputeresolutionqafinalmemo-7-23-13.pdf).

Given the overwhelming number of due process complaints filed in New York State, the Department intends to make mediation more readily available and accessible to families and to further encourage family participation in resolution sessions, consistent with regulatory requirements. Therefore, the Department proposes to amend sections 200.5(h) and 200.5(j)(2) of the Commissioner's regulations to allow for enforcement of mediation and resolution agreements through the state complaint process outlined in section 200.5(l) of the Commissioner's regulations. Additionally, to promote the use of mediation, the Department proposes to amend section 200.5(h)(1) of the Commissioner's regulations to encourage the use of mediation, consistent with Education Law § 4404-a(2).

Rules of Conduct:

The Department has received several complaints from school districts concerning the conduct of non-attorney advocates during impartial due process hearings. Therefore, on September 13, 2022, the Department communicated to all certified IHOs that they must accord all parties a meaningful opportunity to exercise their rights during the impartial hearing and if a party, witness, or advocate engaging in abusive or harassing conduct despite warning or admonishment, an IHO should take remedial measures.

It is imperative that all individuals appearing before an IHO, attorneys and non-attorney representatives alike, remain respectful and courteous throughout the hearing process. Therefore, the Department proposes to amend section 200.5(j)(3) of the Commissioner's regulations to provide that attorneys and representatives must be familiar with, and comply with, all applicable laws, rules, orders, and directions of an IHO. This regulation provides that all attorneys and representatives must conduct themselves at all times in a dignified, orderly, and decorous manner; they are specifically prohibited from engaging in abusive or disorderly behavior. Additionally, they may not disregard the IHO's authority, including refusing to comply with the directions of an IHO during proceedings.

The Department expects that these amendments will ensure a more efficient hearing process and reduce the time in which it takes IHOs to complete hearings.

Use of In-Person, Teleconference, and Videoconference:

The Department proposes to amend section 200.5(j)(3)(xii)(h) and (i) of the Commissioner's regulations, regarding the use of teleconference and videoconference hearings and whether such hearings are permissible, to simplify the requirements and provide additional flexibility to parents and IHOs. The Department proposes to amend such provisions to provide that IHOs may determine, with the consent of the parent, whether a hearing should be conducted in person, by teleconference, or videoconference. The Department anticipates that this will reduce inefficiencies and confusion related to the use of teleconference and videoconference for such hearings.

4. COSTS:

(a) Costs to State government: There are no additional costs to State government beyond those inherent in statute.

(b) Costs to local government: There are no additional costs to local government beyond those inherent in statute.

(c) Cost to private regulated parties: There are no additional costs to private regulated parties.

(d) Cost to the regulatory agency: There are no additional costs to the State Education Department.

5. LOCAL GOVERNMENT MANDATES:

The proposed rule does not impose any program service, duty, responsibility, or other mandate on local governments.

6. PAPERWORK:

The proposed rule imposes no new reporting or other paperwork requirements beyond those imposed by statute.

7. DUPLICATION:

The proposed amendment does not duplicate any other existing State or federal requirements.

8. ALTERNATIVES:

The proposed rule is necessary to improve the process and conduct of special education due process hearings by amending provisions related to the issuance of extensions, mediation, rules of conduct, and use of teleconference and videoconference. There are no significant alternatives to the proposed rule available and none were considered.

9. FEDERAL STANDARDS:

There are no applicable Federal standards.

10. COMPLIANCE SCHEDULE:

It is anticipated that the proposed amendment will be presented for permanent adoption at the June 2023 Regents meeting, after publication of the proposed amendment in the State Register, holding of public hearings as required by the Individuals with Disabilities Education Act (IDEA),[4] and expiration of the 60-day public comment period required under the State Administrative Procedure act. If adopted at the June 2023 meeting, the revised proposed rule will become effective on June 28, 2023. It is anticipated that regulated parties will be ably to comply with the proposed rule on its effective date.

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[1]IHOs have 45 days to render a decision following the end of the 30-day resolution period.

[2]Some of New York State's oldest cases have been open for over 1,000 days.

[3]In cases where the due process complaint is brought by a school district, districts are not required to hold resolution meetings.

[4]The public hearing notice will be published as part of the Notice of Proposed Ruel Making in the March 1, 2023 State Register Publication.

Regulatory Flexibility Analysis

(a) Small businesses:

The purpose of the proposed amendment is to improve the process and conduct of special education due process hearings by amending provisions related to the issuance of extensions, mediation, rules of conduct, and use of teleconference and videoconference.

Because it is evident from the nature of the proposed amendments that they do not affect small businesses, no further measures were needed to ascertain that fact, and none were taken. Accordingly, a regulatory flexibility analysis for small businesses is not required and one has not been prepared.

(b) Local governments:

1. EFFECT OF RULE:

The purpose of the proposed amendment is to improve the process and conduct of special education due process hearings by amending provisions related to the issuance of extensions, mediation, rules of conduct, and use of teleconference and videoconference. The proposed amendment applies to each of the 731 public school districts within the State.

2. COMPLIANCE REQUIREMENTS:

The Department proposes to amend section 200.5(j)(5) of the Commissioner's regulations related to the issuance of extensions. Specifically, the proposed amendment:

• Includes additional reasons for an IHO to consider in determining whether good cause exists to grant an extension;

• Permits no more than a single extension unless there is a showing of exceptional circumstances by the parties. Exceptional circumstances may include the need to present additional witness testimony that could not reasonably be completed within the length of an ordinary hearing day. The parties must file an affirmation of an actual conflicting engagement when seeking an additional extension on this basis; and

• Creates a process by which parents or guardians who have settled with a school district may withdraw their complaints and remain in their then-current education placement until final execution of a settlement.

Regarding mediation, the Department proposes to amend sections 200.5(h) and 200.5(j)(2) of the Commissioner's regulations to allow for enforcement of mediation and resolution agreements through the state complaint process outlined in section 200.5(l) of the Commissioner's regulations. Additionally, to promote the use of mediation, the Department proposes to amend section 200.5(h)(1) of the Commissioner's regulations to encourage the use of mediation, consistent with Education Law § 4404-a(2).

Regarding conduct of hearings, the Department proposes to amend section 200.5(j)(3) of the Commissioner's regulations to provide that attorneys and representatives must be familiar with, and comply with, all applicable laws, rules, orders, and directions of an IHO. This regulation provides that all attorneys and representatives must conduct themselves at all times in a dignified, orderly, and decorous manner; they are specifically prohibited from engaging in abusive or disorderly behavior. Additionally, they may not disregard the IHO's authority, including refusing to comply with the directions of an IHO during proceedings.

Finally, the Department proposes to amend section 200.5(j)(3)(xii)(h) and (i) of the Commissioner's regulations, regarding the use of teleconference and videoconference hearings and whether such hearings are permissible, to simplify the requirements and provide additional flexibility to parents and IHOs. The Department proposes to amend such provisions to provide that IHOs may determine, with the consent of the parent, whether a hearing should be conducted in person, by teleconference, or videoconference.

3. PROFESSIONAL SERVICES:

The proposed amendment does not require any additional professional service requirement on local governments.

4. COMPLIANCE COSTS:

The proposed amendment will not impose any additional program, service, duty, responsibility or costs on local governments.

5. ECONOMIC AND TECHNOLOGICAL FEASIBILITY:

The proposed rule does not impose any technological requirements on local governments. See compliance costs section above regarding economic feasibility.

6. MINIMIZING ADVERSE IMPACT:

The purpose of the proposed amendment is to improve the process and conduct of special education due process hearings by amending provisions related to the issuance of extensions, mediation, rules of conduct, and use of teleconference and videoconference. Because of the nature of the proposed rule, the Department does not anticipate that the proposed rule will have any adverse economic impact on local governments. Therefore, no alternatives were considered.

7. LOCAL GOVERNMENT PARTICIPATION:

One in person and two virtual public hearings will be conducted to obtain comment on the proposed rule. In addition, copies of the proposed amendment have been provided to school districts through the offices of the district superintendents of each supervisory district in the State and to the chief school officers of the five big city school districts.

Rural Area Flexibility Analysis

1. TYPES AND ESTIMATED NUMBERS OF RURAL AREAS:

The proposed rule applies to each of the 731 public school districts in the State and all Impartial Hearing Officers (IHOs) certified by the State Education Department, including those located in the 44 rural counties with less than 200,000 inhabitants and the 71 towns in urban counties with a population density of 150 per square mile or less.

2. REPORTING, RECORDKEEPING AND OTHER COMPLIANCE REQUIREMENTS; AND PROFESSIONAL SERVICES:

The purpose of the proposed amendment is to improve the process and conduct of special education due process hearings by amending provisions related to the issuance of extensions, mediation, rules of conduct, and use of teleconference and videoconference.

The Department proposes to amend section 200.5(j)(5) of the Commissioner's regulations related to the issuance of extensions. Specifically, the proposed amendment:

• Includes additional reasons for an IHO to consider in determining whether good cause exists to grant an extension;

• Permits no more than a single extension unless there is a showing of exceptional circumstances by the parties. Exceptional circumstances may include the need to present additional witness testimony that could not reasonably be completed within the length of an ordinary hearing day. The parties must file an affirmation of an actual conflicting engagement when seeking an additional extension on this basis; and

• Creates a process by which parents or guardians who have settled with a school district may withdraw their complaints and remain in their then-current education placement until final execution of a settlement.

Regarding mediation, the Department proposes to amend sections 200.5(h) and 200.5(j)(2) of the Commissioner's regulations to allow for enforcement of mediation and resolution agreements through the state complaint process outlined in section 200.5(l) of the Commissioner's regulations. Additionally, to promote the use of mediation, the Department proposes to amend section 200.5(h)(1) of the Commissioner's regulations to encourage the use of mediation, consistent with Education Law § 4404-a(2).

Regarding conduct of hearings, the Department proposes to amend section 200.5(j)(3) of the Commissioner's regulations to provide that attorneys and representatives must be familiar with, and comply with, all applicable laws, rules, orders, and directions of an IHO. This regulation provides that all attorneys and representatives must conduct themselves at all times in a dignified, orderly, and decorous manner; they are specifically prohibited from engaging in abusive or disorderly behavior. Additionally, they may not disregard the IHO's authority, including refusing to comply with the directions of an IHO during proceedings.

Finally, the Department proposes to amend section 200.5(j)(3)(xii)(h) and (i) of the Commissioner's regulations, regarding the use of teleconference and videoconference hearings and whether such hearings are permissible, to simplify the requirements and provide additional flexibility to parents and IHOs. The Department proposes to amend such provisions to provide that IHOs may determine, with the consent of the parent, whether a hearing should be conducted in person, by teleconference, or videoconference.

3. COSTS:

The proposed amendment does not impose any additional costs to school districts or certified IHOs, including those located in rural areas.

4. MINIMIZING ADVERSE IMPACT:

The purpose of the proposed amendment is to improve the process and conduct of special education due process hearings by amending provisions related to the issuance of extensions, mediation, rules of conduct, and use of teleconference and videoconference. Because of the nature of the rule, the Department does not anticipated that it will have any adverse economic impact on local governments or IHOs, including those located in rural areas. Therefore, not alternatives requirements for local governments or IHOs located in rural areas were considered.

5. RURAL AREA PARTICIPATION:

Two virtual public hearings will be conducted to obtain comment on the proposed rule. In addition, copies of the proposed amendment have been provided to school districts through the offices of the district superintendents of each supervisory district in the State and to the chief school officers of the five big city school districts.

Job Impact Statement

The purpose of the proposed amendment is to improve the process and conduct of special education due process hearings by amending provisions related to the issuance of extensions, mediation, rules of conduct, and use of teleconference and videoconference.

Because it is evident from the nature of the proposed amendment that it will have no impact on jobs or employment opportunities attributable to its adoption or only a positive impact, no affirmative steps were needed to ascertain these facts and none were taken. Accordingly, a job impact statement is not required and one has not been prepared.

PROPOSED RULE MAKING NO HEARING(S) SCHEDULED

Indigenous Culture and Language Studies Certificate

I.D. No. EDU-09-23-00028-P

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following proposed rule:

Proposed Action: Amendment of sections 30-1.8, 52.21, 80-3.2, 80-3.3 and 80-3.7 of Title 8 NYCRR.

Statutory authority: Education Law, sections 101, 207, 208, 210, 305, 308, 3001, 3004 and 3009

Subject: Indigenous Culture and Language Studies Certificate.

Purpose: To establish the Indigenous Culture and Language Studies certificate.

Text of proposed rule: 1. Paragraphs (15) and (16) of subdivision (a) of section 30-1.8 of the Rules of the Board of Regents are amended and a new paragraph (17) is added to read as follows:

(15) English as a second language; [and]

(16) Computer science[.]*; and*

(17) Indigenous Culture and Language Studies.

2. Item (iv) of subclause (1) of clause (b) of subparagraph (ii) of paragraph (2) of subdivision (b) of section 52.21 of the Regulations of the Commissioner of Education is amended to read as follows:

(iv) language acquisition and literacy development by native English speakers and students who are English language learners—and skill in developing the listening, speaking, reading, and writing skills of all students, including at least six semester hours of such study for teachers of early childhood education, childhood education, middle childhood education, and adolescence education; teachers of students with disabilities, students who are deaf or hard-of-hearing, students who are blind or visually impaired, and students with speech and language disabilities; teachers of English to speakers of other languages; *teachers of indigenous culture and language studies;* and library media specialists. This six semester hour requirement may be waived upon a showing of good cause satisfactory to the commissioner, including but not limited to a showing that the program provides adequate instruction in language acquisition and literacy development through other means;

3. Subparagraph (v) of paragraph (3) of subdivision (b) of section 52.21 of the Regulations of the Commissioner of Education is amended to read as follows:

(v) …

(a) …

(1) …

(2) For certificates in indigenous culture and language studies (all grades), in addition to meeting the general requirements for the content core prescribed in clause (2)(ii)(a) of this subdivision, the content core shall be at least a total of 18 semester hours that provides a knowledge base for assisting students in understanding indigenous cultures and/or languages.

(b) …

(1) …

(2) …

(3) …

(4) Notwithstanding any provision of this section, for registered programs leading to the indigenous culture and language studies (all grades) certificate, the mentoring teachers or school-based teacher educators who work with candidates during the student teaching, practicum, or residency experience may:

(i) hold a permit in an indigenous language in lieu of being certified in the subject area of certification sought or in a closely related area as described in subclause (2)(ii)(b)(2) of this subdivision; and

(ii) such permit holder may have at least one year of full-time teaching experience, or the equivalent, in lieu of having the minimum number of years of full-time teaching experience as described in subclause (2)(ii)(b)(2) of this subdivision.

4. Subparagraphs (xxii) through (l) of paragraph (1) of subdivision (e) of section 80-3.2 of the Regulations of the Commissioner of Education are relettered subparagraphs (xxiii) through (li) and a new subparagraph (xxii) is added to read as follows:

(xxii) Indigenous Culture and Language Studies

5. Subparagraph (i) of paragraph (2) of subdivision (b) of section 80-3.3 of the Regulations of the Commissioner of Education is amended to read as follows:

(i)

[(a)] Except as otherwise provided in this section, [for candidates applying for certification on or after May 1, 2014 or candidates who applied for certification on or before April 30, 2014 but did not meet all the requirements for an initial certificate on or before April 30, 2014, such] candidates shall submit evidence of having achieved a satisfactory level of performance on the New York State Teacher Certification Examination educating all students test and the content specialty test(s) in the area of the certificate, when available, [except] *provided* that a candidate seeking an initial certificate in the [title of Speech and Language Disabilities (all grades)] *titles of indigenous culture and language studies (all grades) and speech and language disabilities (all grades), or a candidate seeking an initial certificate through individual evaluation under section 80-3.7(a)(3)(xiii) of this subpart,* shall not be required to achieve a satisfactory level of performance on the content specialty test.

[(b) Examination requirement for candidates with a graduate degree in science, technology, engineering, or mathematics and two years of post-secondary teaching experience in the area of the certificate sought.

(1) Any candidate seeking an initial certificate in earth science, biology, chemistry, physics, mathematics, or in a closely related field as determined by the department in (grades 7-12) and who is applying for an initial certificate through individual evaluation under section 80-3.7(a)(3)(ii)(c) of this Subpart on or after May 1, 2014, or candidates who applied for certification on or before April 30, 2014, but did not meet all the requirements for an initial certificate through individual evaluation on or before April 30, 2014, shall only be required to achieve a satisfactory level of performance on the educating all students test.]

6. Paragraph (2) of subdivision (a) of section 80-3.7 of the Regulations of the Commissioner of Education is amended to read as follows:

(2) …

(i) …

(ii) …

(iii) Content core. The candidate shall complete 30 semester hours of coursework in the subject area of the certificate title, which may include no more than six of the 30 semester hours in a cognate, meaning a related field as determined by the department; provided, however that a candidate seeking an initial certificate in computer science shall only be required to complete 12 semester hours of coursework in the subject area of the certificate title; provided further, however, that *a candidate seeking an initial certificate in indigenous culture and language studies shall only be required to complete the number of semester hours of coursework in the subject area of the certificate title as prescribed in subparagraph (xiv) of paragraph (3) of this subdivision;* provided further, however, that a candidate who holds a classroom teaching certificate in a science certificate title, and is seeking a certificate in a different science certificate title(s), shall only be required to complete 18 semester hours of coursework in the subject area(s) of the additional science certificate title(s).

(iv) Pedagogical core. The candidate shall complete pedagogical coursework as prescribed in clause (a) of this subparagraph and teaching experience as prescribed in clause (b) of this subparagraph, provided that candidates identified in this subparagraph shall not be required to demonstrate completion of the pedagogical core requirements. A candidate who holds one or more provisional certificates, permanent certificates, initial certificates, or professional certificates in a title in the classroom teaching service, other than a title in a specific career and technical subject (grades 7-12), shall not be required to demonstrate completion of the pedagogical core requirements for an additional initial certificate in the classroom teaching service sought, except for such candidates who are seeking an additional initial certificate in one or more of the following titles: early childhood education, childhood education, generalist in middle childhood education (grades 5-9), specialist in middle childhood education (grades 5-9), English to speakers of other languages (all grades), *indigenous culture and language studies (all grades),* literacy (birth-grade 6), literacy (grades 5-12), literacy (all grades), students with disabilities (birth-grade 2), students with disabilities (grades 1-6), students with disabilities (grades 5-9), students with disabilities (grades 7-12), students with disabilities (all grades), deaf and hard of hearing (all grades), blind or visually impaired (all grades), and speech and language disabilities (all grades).

7. Paragraph (3) of subdivision (a) of section 80-3.7 of the Regulations of the Commissioner of Education is amended by adding a new subparagraph (xiv) to read as follows:

(xiv) Indigenous culture and language studies.

(a) Content core. The content core prescribed in subparagraph (2)(iii) of this subdivision shall consist of:

(i) 18 semester hours of coursework in the subject area of the certificate title; or

(ii) 12 semester hours of coursework in the subject area of the certificate title and acceptable proficiency in an indigenous language as determined by a designated official in the Nations who is approved by the department.

(b) Pedagogical core. Within the pedagogical core prescribed in subparagraph (2)(iv) of this subdivision, the candidate shall complete three additional semester hours in teaching literacy skills.

Text of proposed rule and any required statements and analyses may be obtained from: Kirti Goswami, NYS Education Department, Office of Counsel, 89 Washington Avenue, Room 112 EB, Albany, NY 12234, (518) 474-6400, email: legal@nysed.gov

Data, views or arguments may be submitted to: William P. Murphy, Deputy Commissioner, NYS Education Department, Office of Higher Education, 89 Washington Avenue, Room 975 EBA, Albany, NY 12234, (518) 473-3781, email: OHERegcomments@nysed.gov

Public comment will be received until: 60 days after publication of this notice.

This rule was not under consideration at the time this agency submitted its Regulatory Agenda for publication in the Register.

Regulatory Impact Statement

1. STATUTORY AUTHORITY:

Education Law § 101 (not subdivided) charges the Department with the general management and supervision of all public schools and all of the educational work of the state.

Education Law § 207 (not subdivided) grants general rule-making authority to the Regents to carry into effect State educational laws and policies.

Education Law § 208 grants general rule-making authority to the Regents to confer suitable certificates, diplomas and degrees on persons who satisfactorily meet the requirements prescribed.

Education Law § 210(not subdivided) authorizes the Regents to register domestic and foreign institutions in terms of New York standards.

Education Law § 305(1) authorizes the Commissioner to enforce laws relating to the State educational system and execute Regents educational policies. Section 305(2) provides the Commissioner with general supervision over schools and authority to advise and guide school district officers in their duties and the general management of their schools.

Education Law § 3001 establishes the qualifications of teachers in the classroom.

Education Law § 3004(1) authorizes the Commissioner to promulgate regulations governing the certification requirements for teachers employed in public schools.

Education Law § 3009 prohibits school district money from being used to pay the salary of an unqualified teacher.

2. LEGISLATIVE OBJECTIVES:

The purpose of the proposed amendment is to establish a new Indigenous Culture and Language Studies (All Grades) certificate to promote the teaching of indigenous cultures and languages. This certificate would enable qualified teachers to provide formal instruction in indigenous cultures and languages and thus support the preservation of these cultures and languages in Nations across New York State.

3. NEEDS AND BENEFITS:

Supporting formal instruction in students' home cultures and languages is consistent with the Department's efforts to advance culturally responsive-sustaining education and with the Board of Regent's initiative to advance diversity, equity, and inclusion in schools across New York State. Increasing the capacity and number of teachers who can teach indigenous cultures and languages would support and bolster the awareness, knowledge, and appreciation of indigenous cultures and languages both within and outside of the Nations.

Currently, individuals who would like to teach an indigenous language in New York State public schools can obtain a permit. Permits are available in areas for which no certificate exists upon approval of the Commissioner (8 NYCRR 80-5.6(e)). To obtain the permit, individuals need to complete the fingerprinting clearance and workshops that are required for all certificates as well as receive a statement from a school district attesting that the individual is qualified for the position for which the permit is sought.

Although a permit authorizes an individual to teach in public schools, it is not equivalent to a teaching certificate. Teachers who hold a certificate demonstrated their content and pedagogical knowledge and skills through the certification process and may be eligible for tenure in a school district. Teachers who hold a permit do not have a tenure area and would not be eligible for tenure. Additionally, the Professional teaching certificate is continuously valid, while individuals who hold a permit would need to apply to renew their permit every five years after their first two-year permit.

To promote the teaching of indigenous cultures and languages, the Department proposes regulatory amendments to establish a new Indigenous Culture and Language Studies (All Grades) certificate. This certificate would enable qualified teachers to provide formal instruction in indigenous cultures and languages and thus support the preservation of these cultures and languages in Nations across New York State.

Individuals who are knowledgeable about an indigenous language would continue to be able to obtain a permit to teach the language pursuant to section 80-5.6(e) of the Commissioner's regulations if they do not wish to pursue the proposed certificate. Having both the permit and proposed new certificate as options allows permit holders to continue practicing in the classroom while also attracting new teachers into this area.

Proposed Amendments

Registered Indigenous Culture and Language Studies (All Grades) Programs:

The Department proposes to amend section 52.21 of the Commissioner's regulations to create registration requirements for programs leading to the Initial and/or Professional Indigenous Culture and Language Studies (All Grades) certificates. All registered teacher preparation programs leading to initial certification include a content core and a pedagogical core.

The content core requirement for registered programs leading to the proposed Indigenous Culture and Language Studies (All Grades) certificate would be at least 18 semester hours that provide a knowledge base for assisting students in understanding indigenous cultures and/or languages.

The pedagogical core requirements for the proposed Indigenous Culture and Language Studies (All Grades) programs are the same as the pedagogical core requirements for other special subject (all grades) programs with two exceptions. First, the proposed programs would include at least six semester hours of study in language acquisition and literacy development, consistent with social studies and world language programs. Second, the mentoring teachers or school-based teacher educators who work with candidates as part of the student teaching, practicum, or residency experience during the proposed program may: (1) hold a permit in an indigenous language in lieu of being certified in the subject area of certification sought or in a closely related area; and (2) such permit holder may have at least one year of full-time teaching experience, or the equivalent, in lieu of having the minimum number of years of full-time teaching experience required for other programs. This will allow teachers who hold a permit in an indigenous language to be mentoring teachers or school-based teacher educators.

Indigenous Culture and Language Studies (All Grades) Certificate & Tenure Area:

Certificate Title & Tenure:

The Department proposes to amend section 80-3.2 of the Commissioner's regulations to add the Indigenous Culture and Language Studies (All Grades) certificate to the list of certificate titles in the classroom teaching service, allowing candidates to be issued such certificate on the effective date of the proposed amendment. The proposed amendment also adds the corresponding "Indigenous Culture and Language Studies" tenure area to section 30-1.8 of the Rules of the Board of Regents.

Individual Evaluation Pathway:

Additionally, the Department proposes to amend section 80-3.7 of the Commissioner's regulations to allow candidates to apply for an Indigenous Culture and Language Studies (All Grades) certificate through the individual evaluation pathway. For the content core requirement in this pathway, candidates could either: (1) complete 18 semester hours of coursework in indigenous cultures and/or languages; or (2) complete 12 semester hours of coursework in indigenous cultures and/or languages and demonstrate acceptable proficiency in an indigenous language as determined by a designated official in the Nations who is approved by the Department. The second option enables members of the Nations who speak an indigenous language to have their knowledge of the language, which could not otherwise be assessed, count towards certification. Nations would use their own methods to determine acceptable proficiency in the language.

The pedagogical core for the proposed Indigenous Culture and Language Studies (All Grades) certificate through the individual evaluation pathway includes the general pedagogical core requirements for all certificates through this pathway and an additional three semester hours in teaching literacy skills, which is consistent with the pedagogical core requirements for the social studies and world language certificates through this pathway.

Certification Tests:

Section 80-3.3 of the Commissioner's regulations requires candidates who seek Initial teaching certification (other than career and technical education certification) to pass the Educating All Students test and the content specialty test(s) in the area of the certificate, when available, with a few exceptions. The proposal expands the list of exceptions such that candidates seeking an Initial Indigenous Culture and Language Studies (All Grades) certificate would not be required to pass a content specialty test. As described above, a designated official in the Nations who is approved by the Department would determine a candidate's proficiency in an indigenous language through the individual evaluation pathway.

The Department also proposes technical amendments to this section to remove outdated provisions and consolidate the provisions that except certain candidates from passing a content specialty test.

4. COSTS:

a. Costs to State government: The amendments do not impose any costs on State government, including the State Education Department.

b. Costs to local government: The amendments do not impose any costs on local government.

c. Costs to private regulated parties: The proposed amendment does not impose any costs on teacher certification candidates. Teacher preparation programs seeking to offer programs leading to the Indigenous Culture and Language Studies (All Grades) certificate may incur costs in developing and implementing a program leading to such certificate, which would align with the program registration requirements in the proposed rule.

d. Costs to regulating agency for implementation and continued administration: See above.

5. LOCAL GOVERNMENT MANDATES:

The proposed amendment does not impose any additional program, service, duty or responsibility upon any local government.

6. PAPERWORK:

The proposed amendment does not impose any additional paperwork requirements.

7. DUPLICATION:

The proposed amendment does not duplicate existing State or Federal requirements.

8. ALTERNATIVES:

The proposed amendment is necessary to establish a new Indigenous Culture and Language Studies (All Grades) certificate to promote the teaching of indigenous cultures and languages. There are no significant alternatives, and none were considered.

9. FEDERAL STANDARDS:

There are no applicable Federal standards.

10. COMPLIANCE SCHEDULE:

It is anticipated that the proposed amendment will be adopted as a permanent rule by the Board of Regents at its June 2023 meeting. If adopted at the June 2023 meeting, the proposed amendment will become effective on June 28, 2023. It is anticipated that regulated parties will be able to comply with the proposed rule by its effective date.

Regulatory Flexibility Analysis

The purpose of the proposed amendment is to establish a new Indigenous Culture and Language Studies (All Grades) certificate to promote the teaching of indigenous cultures and languages. This certificate would enable qualified teachers to provide formal instruction in indigenous cultures and languages and thus support the preservation of these cultures and languages in Nations across New York State.

Specifically, the proposed rule (1) creates registration requirements for programs leading to the Initial and/or Professional Indigenous Culture and Language Studies (All Grades) certificates; (2) adds the Indigenous Culture and Language Studies (All Grades) certificate to the list of certificate titles in the classroom teaching service and the corresponding "Indigenous Culture and Language Studies" tenure area; (3) allows candidates to apply for an Indigenous Culture and Language Studies (All Grades) certificate through the individual evaluation pathway; (4) provides that candidates seeking such certificate would not be required to pass a content specialty test.

Supporting formal instruction in students' home cultures and languages is consistent with the Department's efforts to advance culturally responsive-sustaining education and with the Board of Regent's initiative to advance diversity, equity, and inclusion in schools across New York State. Increasing the capacity and number of teachers who can teach indigenous cultures and languages would support and bolster the awareness, knowledge, and appreciation of indigenous cultures and languages both within and outside of the Nations.

Individuals who are knowledgeable about an indigenous language would continue to be able to obtain a permit to teach the language pursuant to section 80-5.6(e) of the Commissioner's regulations if they do not wish to pursue the proposed certificate. Having both the permit and proposed new certificate as options allows permit holders to continue practicing in the classroom while also attracting new teachers into this area.

The proposed amendment does not impose any new recordkeeping or other compliance requirements, and will not have an adverse economic impact on small businesses or local government. Because it is evident from the nature of the proposed amendment that it does not affect small businesses or local governments, no further steps were needed to ascertain that fact and one were taken. Accordingly, a regulatory flexibility analysis for small businesses and local governments is not required and one has not been prepared.

Rural Area Flexibility Analysis

1. TYPES AND ESTIMATED NUMBERS OF RURAL AREAS:

This proposed amendment applies to all individuals in New York State who pursue an Indigenous Culture and Language Studies (All Grades) certificate in the classroom teaching service, as well as the programs leading to such certificate, including those located in the 44 rural counties with fewer than 200,000 inhabitants and the 71 towns and urban counties with a population density of 150 square miles or less.

2. REPORTING, RECORDKEEPING, AND OTHER COMPLIANCE REQUIREMENTS; AND PROFESSIONAL SERVICES:

The purpose of the proposed amendment is to establish a new Indigenous Culture and Language Studies (All Grades) certificate to promote the teaching of indigenous cultures and languages. This certificate would enable qualified teachers to provide formal instruction in indigenous cultures and languages and thus support the preservation of these cultures and languages in Nations across New York State.

Individuals who are knowledgeable about an indigenous language would continue to be able to obtain a permit to teach the language pursuant to section 80-5.6(e) of the Commissioner's regulations if they do not wish to pursue the proposed certificate. Having both the permit and proposed new certificate as options allows permit holders to continue practicing in the classroom while also attracting new teachers into this area.

Proposed Amendments

Registered Indigenous Culture and Language Studies (All Grades) Programs:

The Department proposes to amend section 52.21 of the Commissioner's regulations to create registration requirements for programs leading to the Initial and/or Professional Indigenous Culture and Language Studies (All Grades) certificates. All registered teacher preparation programs leading to initial certification include a content core and a pedagogical core.

The content core requirement for registered programs leading to the proposed Indigenous Culture and Language Studies (All Grades) certificate would be at least 18 semester hours that provide a knowledge base for assisting students in understanding indigenous cultures and/or languages.

The pedagogical core requirements for the proposed Indigenous Culture and Language Studies (All Grades) programs are the same as the pedagogical core requirements for other special subject (all grades) programs with two exceptions. First, the proposed programs would include at least six semester hours of study in language acquisition and literacy development, consistent with social studies and world language programs. Second, the mentoring teachers or school-based teacher educators who work with candidates as part of the student teaching, practicum, or residency experience during the proposed program may: (1) hold a permit in an indigenous language in lieu of being certified in the subject area of certification sought or in a closely related area; and (2) such permit holder may have at least one year of full-time teaching experience, or the equivalent, in lieu of having the minimum number of years of full-time teaching experience required for other programs. This will allow teachers who hold a permit in an indigenous language to be mentoring teachers or school-based teacher educators.

Indigenous Culture and Language Studies (All Grades) Certificate & Tenure Area:

Certificate Title & Tenure:

The Department proposes to amend section 80-3.2 of the Commissioner's regulations to add the Indigenous Culture and Language Studies (All Grades) certificate to the list of certificate titles in the classroom teaching service, allowing candidates to be issued such certificate on the effective date of the proposed amendment. The proposed amendment also adds the corresponding "Indigenous Culture and Language Studies" tenure area to section 30-1.8 of the Rules of the Board of Regents.

Individual Evaluation Pathway:

Additionally, the Department proposes to amend section 80-3.7 of the Commissioner's regulations to allow candidates to apply for an Indigenous Culture and Language Studies (All Grades) certificate through the individual evaluation pathway. For the content core requirement in this pathway, candidates could either: (1) complete 18 semester hours of coursework in indigenous cultures and/or languages; or (2) complete 12 semester hours of coursework in indigenous cultures and/or languages and demonstrate acceptable proficiency in an indigenous language as determined by a designated official in the Nations who is approved by the Department. The second option enables members of the Nations who speak an indigenous language to have their knowledge of the language, which could not otherwise be assessed, count towards certification. Nations would use their own methods to determine acceptable proficiency in the language.

The pedagogical core for the proposed Indigenous Culture and Language Studies (All Grades) certificate through the individual evaluation pathway includes the general pedagogical core requirements for all certificates through this pathway and an additional three semester hours in teaching literacy skills, which is consistent with the pedagogical core requirements for the social studies and world language certificates through this pathway.

Certification Tests:

Section 80-3.3 of the Commissioner's regulations requires candidates who seek Initial teaching certification (other than career and technical education certification) to pass the Educating All Students test and the content specialty test(s) in the area of the certificate, when available, with a few exceptions. The proposal expands the list of exceptions such that candidates seeking an Initial Indigenous Culture and Language Studies (All Grades) certificate would not be required to pass a content specialty test. As described above, a designated official in the Nations who is approved by the Department would determine a candidate's proficiency in an indigenous language through the individual evaluation pathway.

The Department also proposes technical amendments to this section to remove outdated provisions and consolidate the provisions that except certain candidates from passing a content specialty test.

3. COSTS:

The proposed amendment does not impose any costs on teacher certification candidates. Teacher preparation programs seeking to offer programs leading to the Indigenous Culture and Language Studies (All Grades) certificate may incur costs in developing and implementing a program leading to such certificate, which would align with the program registration requirements in the proposed rule.

4. MINIMIZING ADVERSE IMPACT:

The proposed amendment is necessary to establish a new Indigenous Culture and Language Studies (All Grades) certificate to promote the teaching of indigenous cultures and languages. The Department believes that uniform standards for certification in indigenous culture and language studies and for program registration requirements for programs leading to Indigenous Culture and Language Studies certification must be established across the State. Therefore, no alternatives were considered for those located in rural areas of the State.

5. RURAL AREA PARTICIPATION:

Copies of the proposed amendments have been provided to the New York Association of Colleges for Teacher Education (NYACTE), which has members located in rural areas, for review and comment.

Job Impact Statement

The purpose of the proposed amendment is to establish a new Indigenous Culture and Language Studies (All Grades) certificate to promote the teaching of indigenous cultures and languages. This certificate would enable qualified teachers to provide formal instruction in indigenous cultures and languages and thus support the preservation of these cultures and languages in Nations across New York State.

Specifically, the proposed rule (1) creates registration requirements for programs leading to the Initial and/or Professional Indigenous Culture and Language Studies (All Grades) certificates; (2) adds the Indigenous Culture and Language Studies (All Grades) certificate to the list of certificate titles in the classroom teaching service and the corresponding "Indigenous Culture and Language Studies" tenure area; (3) allows candidates to apply for an Indigenous Culture and Language Studies (All Grades) certificate through the individual evaluation pathway; (4) provides that candidates seeking such certificate would not be required to pass a content specialty test.

Supporting formal instruction in students' home cultures and languages is consistent with the Department's efforts to advance culturally responsive-sustaining education and with the Board of Regent's initiative to advance diversity, equity, and inclusion in schools across New York State. Increasing the capacity and number of teachers who can teach indigenous cultures and languages would support and bolster the awareness, knowledge, and appreciation of indigenous cultures and languages both within and outside of the Nations.

Individuals who are knowledgeable about an indigenous language would continue to be able to obtain a permit to teach the language pursuant to section 80-5.6(e) of the Commissioner's regulations if they do not wish to pursue the proposed certificate. Having both the permit and proposed new certificate as options allows permit holders to continue practicing in the classroom while also attracting new teachers into this area.

Because it is evident from the nature of the proposed amendments that they will have no impact on the number of jobs or employment opportunities in New York State, no further steps were needed to ascertain that fact and none were taken.

PROPOSED RULE MAKING
NO HEARING(S) SCHEDULED

Licensure of Licensed Behavior Analysts and Certification of Behavior Analyst Assistants

I.D. No. EDU-09-23-00029-P

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following proposed rule:

Proposed Action: Repeal of sections 52.44, 52.45, 79-17.1, 79-17.2, 79-18.1, 79-18.2; addition of new sections 29.20, 79-17.1, 79-17.2, 79-17.6, 79-18.1, 79-18.2, 79-18.6; amendment of sections 79-17.3, 79-17.5, 79-18.3 and 79-18.5 of Title 8 NYCRR.

Statutory authority: Education Law, sections 207, 6504, 6507, 8802, 8804, 8805, 8807; L. 2021, ch. 818; L. 2022, ch. 641

Subject: Licensure of licensed behavior analysts and certification of behavior analyst assistants.

Purpose: To implement chapter 818 of the Laws of 2021 and chapter 641 of the Laws of 2022 and align provisions with national standards.

Substance of proposed rule (Full text is posted at the following State website: http://www.counsel.nysed.gov/rules/full-text-indices): The proposed amendment implements the provisions of Chapters 818 and 641, and makes additional amendments to LBA and ABA provisions to align such provisions more closely with national standards, as follows:

Program Registration Requirements. The education requirements for licensure as an LBA or CBAA under sections 52.44 and 52.45 of the Commissioner's regulations are not consistent with the current BACB standards, which are continually revised as these new professions develop. Therefore, the Department proposes to repeal these sections to allow our education standards to align with the evolving national certification requirements, ensure that graduates are prepared to practice under the newly amended scope, and to prepare graduates for the national examination. The New York program will have to meet the general professional education program requirements prescribed in sections 52.1, 52.2 and 52.3 of the Commissioner's regulations to ensure the quality of the program.

Education. The proposed rule repeals the existing text of sections 79-17.1 and 79-18.1 and adds new text that outlines the education requirements for LBAs and CBAAs, respectively.

LBA applicants must submit satisfactory evidence of the completion of a program in ABA leading to a masters or higher degree of at least 30 semester hours that includes a supervised internship of sufficient duration in the practice of ABA that is: (1) registered by the department as leading to licensure as an LBA; (2) accredited by an acceptable accrediting organization; or (3) determined to be the equivalent of a registered or accredited program. In the alternative, LBA applicants can satisfy the education requirements for licensure by: (1) holding a master's or higher degree upon successful completion of a program in a related field acceptable to the department and registered by the department, or its substantial equivalent; and (2) completing a credit bearing graduate-level program in ABA that is registered as leading to licensure or recognized or verified by an acceptable accrediting organization, or the substantial equivalent of such a recognized or verified program.

CBAA applicants must submit satisfactory evidence of the completion of a program in ABA leading to a bachelor's or higher degree that includes a supervised internship of sufficient duration in the practice of ABA that is either: (1) registered by the department as leading to certification as a CBAA; (2) accredited by an acceptable accrediting organization; or (3) determined to be the equivalent of a registered or accredited program. In the alternative, CBAA applicants can satisfy the education requirements for certification by: (1) holding a bachelor's or higher degree upon successful completion of a program in a related field acceptable to the department and registered by the department, or the substantial equivalent of a department registered program; and (2) completing a credit bearing certificate program in ABA that is registered by the department as leading to certification or recognized or verified by an accrediting organization acceptable to the department, or the substantial equivalent of such a recognized or verified program.

Experience. The proposed rule repeals the existing text of sections 79-17.2 and 79-18.2 and adds new text that outlines the experience requirements for LBAs and CBAAs, respectively. For licensure, LBA and CBAA applicants must present evidence of supervised experience in the practice of ABA acceptable to the Department. Full-time experience must consist of at least 20 hours and a maximum of 32.5 hours per week, while part-time experience must consist of at least 10 hours and a maximum of 19 hours per week. Experience must be completed in a setting that is legally authorized to provide services that constitute the practice of ABA and such experience must be completed under the supervision of a LBA registered to practice in New York State. These sections also contain additional requirements for qualified supervisors.

Examination. Sections 79-17.3 and 79-18.3 of the Commissioner's regulations are amended to remove references to the State Board for Applied Behavior Analysis and to remove the specific examination score requirement. These amendments are consistent with recent statutory changes and provide flexibility to utilize BACB's examination. The regulations continue to require that an applicant pass an examination acceptable to the Department that tests knowledge related to all areas of behavior analysis practice. Although the certification board will not accept candidates based solely on New York's approval, BACB may individually evaluate and admit those New York graduates who meet the BACB education and experience requirements for entry to the examination. There is no other examination in ABA available at this time, and BACB's examination is used for certification and licensure in every other jurisdiction.

Exemptions. To implement Chapter 641, sections 79-17.5 and 79-18.5 of the Commissioner's regulations are amended to provide an exemption to allow graduates of an educational program acceptable to the department to work to meet the experience requirements for national certification and entry to the examination. These graduates must work under the supervision of a LBA who is licensed and registered in New York State and employed in a setting authorized to provide ABA services therein. Additionally, such sections set forth additional requirements for the supervising LBA, consistent with Chapter 641.

Special Provisions. To implement Chapter 641, new sections 79-17.6 and 79-18.6 are added to allow individuals who meet the requirements for licensure as a LBA or the requirements for certification as a CBAA, respectively, except for examination, experience, and education requirements, and who are certified or registered by a national certifying body having standards acceptable to the commissioner, to be licensed or certified without meeting additional requirements as to examination, experience, and education. Such individuals, however, must be of good moral character and must submit an application and the required fee for licensure or certification (as applicable) and registration.

Unprofessional Conduct. To implement Chapter 818, and to make the Commissioner's regulations consistent with Education Law § 8802(1), (3), and (4), a new section 29.20 is added to the Rules of the Board of Regents outlining special provisions of unprofessional conduct for the ABA professions. This section provides that it is unprofessional conduct in the practice of LBA and CBAA to: (1) prescribe or administer drugs or as a treatment, therapy, or professional service in the practice of his or her profession; (2) use invasive procedures as a treatment, therapy, or professional service in the practice of his or her profession; or (3) diagnose a disorder or condition for which ABA may be appropriate or prescribing or ordering ABA for an individual.

Additionally, such section provides that it is unprofessional conduct for an LBA providing services pursuant to a prescription or order to fail to provide a report at least annually regarding the status of the individual served to the licensed person who prescribed or ordered such service, or more frequently, if needed, to report significant changes in the condition of the individual.

Text of proposed rule and any required statements and analyses may be obtained from: Kirti Goswami, NYS Education Department, Office of Counsel, 89 Washington Avenue, Room 112EB, Albany, NY 12234, (518) 474-6400, email: legal@nysed.gov

Data, views or arguments may be submitted to: Sarah S. Benson, Deputy Commissioner, NYS Education Department, Office of the Professions, 89 Washington Avenue, 2nd Floor EB, West Wing, Albany, NY 12234, (518) 486-1727, email: REGCOMMENTS@nysed.gov

Public comment will be received until: 60 days after publication of this notice.

Regulatory Impact Statement

1. STATUTORY AUTHORITY:

Section 207 of the Education Law grants general rule-making authority to the Board of Regents to carry into effect the laws and policies of the State relating to education.

Section 6504 of the Education Law authorizes the Board of Regents to supervise the admission to and regulation of the practice of the professions.

Paragraph (a) of subdivision (2) of section 6507 of the Education Law authorizes the Commissioner of Education to promulgate regulations in administering the admission to and the practice of the professions.

Subdivision (1) of section 8802 of the Education Law, as amended by Chapter 818 of the Laws of 2021 (Chapter 818), expands the scope of practice for applied behavior analysis (ABA) by authorizing licensees to provide ABA services pursuant to an appropriate diagnosis to treat individuals with behavioral health conditions that appear in the most recent edition of the diagnostic and statistical manual of mental disorders, published by the American Psychiatric Association. In addition, it requires licensees to provide a report at least once per year to the prescribing licensed professional. Subdivision (4) of such section prohibits LBAs and CBAAs from prescribing or administering drugs as defined in the Education Law or as a treatment, therapy, or professional service and prohibits LBAs and CBAAs from using invasive procedures as a treatment, therapy, or professional service in Section 8804 of the Education Law establishes the requirements and procedures for certification as a certified behavior analyst assistant (CBAA) and licensure as a licensed behavior analyst (LBA).

Section 8805 of the Education Law, as amended by Chapter 641 of the Laws of 2022 (Chapter 641), provides that an individual who meets the requirements for licensure as an LBA or certification as a CBAA may be licensed or certified without meeting additional requirements as to examination, experience and education, provided that they are certified or registered by a national certifying body acceptable to the Department and submit an application to the department.

Section 8807(9) of the Education Law, as added by Chapter 641, allows a graduate of a qualifying education program to work under the supervision of a New York licensed behavior analyst (LBA) to meet the experience requirements for national certification and entry to the examination. The LBA attests to the supervision and is responsible for all services provided under that supervision.

2. LEGISLATIVE OBJECTIVES:

The proposed amendment is consistent with the above statutory authority and is necessary to conform the Commissioner's Regulations and Regents Rules to Chapter 818 of the Laws of 2021 (Chapter 818) and Chapter 641 of the Laws of 2022 (Chapter 641) and to align regulatory provisions with national standards.

3. NEEDS AND BENEFITS:

The purpose of the proposed amendment is to implement Chapters 818 and 641 and align regulatory provisions with national standards. The proposed rule:

- Repeals Parts 52.44 and 52.45 of Commissioner's Regulations which prescribe program registration requirements for programs leading to licensure as an LBA or CBAA, to support alignment with the standards of the nationally recognized accrediting organization.
- Amends sections 79-17.1 (professional study), 79-17.2 (experience), 79-17.3 (professional examination), and 79-17.5 (special provisions); and 79-18.1 (professional study), 79-18.2 (experience), 79-18.3 (professional examination) and 79-18.5 (special provisions) of the Regulations of the Commissioner of Education, to align those licensure requirements for licensed behavior analysts and certified behavior analyst assistants, respectively, with the national-certification licensure pathway and provisions for program graduates established by Chapter 641.
- Amends 79-17.5 and 79-18.5 to implement Chapter 641 by allowing a graduate of a qualifying education program to work under the supervision of a New York licensed behavior analyst (LBA) to meet the experience requirements for national certification and entry to the examination. The LBA attests to the supervision and is responsible for all services provided under that supervision
- Adds sections 79-17.6 and 79-18.6 to implement the licensure pathways based on national certification, as established by Chapter 641.
- Adds section 29.20 to the Rules of the Board of Regents outlining special provisions of unprofessional conduct for the ABA professions to implement Chapter 818 and Education Law § 8802(1), (3), and (4).

4. COSTS:

(a) Costs to State government: There are no additional costs to State government beyond those imposed by statute.

(b) Costs to local government: There are no additional costs to local government.

(c) Cost to private regulated parties: The proposed rule does not impose any additional costs to regulated parties beyond those imposed by statute. Chapter 818 expands the scope of practice for applied behavior analysis (ABA) by authorizing licensees to provide ABA services pursuant to an appropriate diagnosis to treat individuals with behavioral health conditions that appear in the most recent edition of the diagnostic and statistical manual of mental disorders, published by the American Psychiatric Association. Registered behavior analyst and/or behavior analyst assistant education programs, may incur costs related to aligning their programs with this expanded scope.

(d) Cost to the regulatory agency: There are no additional costs to the Department beyond those imposed by statute.

5. LOCAL GOVERNMENT MANDATES:

The proposed rule does not impose any program service, duty, responsibility, or other mandate on local governments.

6. PAPERWORK:

Consistent with statute, the proposed rules require licensed behavior analysts to attest to supervision of program graduates who are working in New York to meet experience and examination requirements for certification. Additionally, Chapter 818 authorizes licensees to provide ABA services pursuant to a diagnosis and prescription from authorized licensed professionals to treat individuals with behavioral health conditions that appear in the most recent edition of the diagnostic and statistical manual of mental disorders, published by the American Psychiatric Association. Chapter 818 requires licensees to report at least once per year to the prescribing licensed professional.

7. DUPLICATION:

There are no other state or federal requirements on the subject matter of the proposed rule. Therefore, the amendments do not duplicate other existing state or federal requirements.

8. ALTERNATIVES:

The proposed rules implement statutory requirements. There are no significant alternatives to the proposed rules, and none were considered.

9. FEDERAL STANDARDS:

Since there are no applicable federal standards, the proposed rules do not exceed any minimum federal standards for the same or similar subject areas.

10. COMPLIANCE SCHEDULE:

It is anticipated that the proposed rule will be presented to the Board of Regents for permanent adoption at the June 2023 Regents meeting. If adopted at the June 2023 Regents meeting, the proposed amendments will become effective June 30, 2023. It is anticipated that the regulated parties will be able to comply with the proposed rules by the effective date.

Regulatory Flexibility Analysis

The purpose of the proposed rule is to implement Chapter 818 of the Laws of 2021 (effective June 30, 2023) and Chapter 641 of the Laws of 2022 (effective November 22, 2022), and to align regulatory provisions with national standards.

Chapter 818 of the Laws of 2021 expands the scope of practice for applied behavior analysis (ABA) by authorizing licensees to provide ABA services pursuant to an appropriate diagnosis to treat individuals with behavioral health conditions that appear in the most recent edition of the diagnostic and statistical manual of mental disorders, published by the American Psychiatric Association. In addition, it requires licensees to provide a report at least once per year to the prescribing licensed professional.

Chapter 641 of the Laws of 2022 establishes a licensure pathway for qualified applicants who submit verification of national certification from a credentialing body acceptable to the Department. It also provides a mechanism to allow a graduate of a qualifying education program to work under supervision of a New York licensed behavior analyst (LBA) to meet the experience requirements for national certification and entry to the examination. The LBA attests to the supervision and is responsible for all services provided under that supervision.

The proposed amendment implements Chapters 818 and 641, and aligns regulatory provisions with national standards as follows:

- Repeals Parts 52.44 and 52.45 of Commissioner's Regulations which prescribe program registration requirements for programs leading to licensure as an LBA or CBAA, to support alignment with the standards of the nationally recognized accrediting organization.
- Amends sections 79-17.1 (professional study), 79-17.2 (experience), 79-17.3 (professional examination), and 79-17.5 (special provisions); and 79-18.1 (professional study), 79-18.2 (experience), 79-18.3 (professional examination) and 79-18.5 (special provisions) of the Regulations of the Commissioner of Education, to align those licensure requirements for licensed behavior analysts and certified behavior analyst assistants, respectively, with the national-certification licensure pathway and provisions for program graduates established by Chapter 641.
- Amends 79-17.5 and 79-18.5 to implement Chapter 641 by allowing a graduate of a qualifying education program to work under the supervision of a New York licensed behavior analyst (LBA) to meet the experience requirements for national certification and entry to the examination. The LBA attests to the supervision and is responsible for all services provided under that supervision.
- Adds sections 79-17.6 and 79-18.6 to implement the licensure pathways based on national certification, as established by Chapter 641.
- Adds section 29.20 to the Rules of the Board of Regents outlining special provisions of unprofessional conduct for the ABA professions to implement Chapter 818 and Education Law § 8802(1), (3), and (4).

The proposed rule does not impose any new reporting, recordkeeping, or other compliance requirements on small business or local governments or have any adverse economic impact on small businesses or local governments. Because it is evident from the nature of the proposed amendment that it will not adversely affect small businesses or local governments, no affirmative steps were needed to ascertain that fact, and none were taken. Accordingly, a regulatory flexibility analysis for small businesses and local governments is not required, and one has not been prepared.

Rural Area Flexibility Analysis

1. TYPES AND ESTIMATED NUMBERS OF RURAL AREAS:

The proposed rules will apply to all licensed behavior analysts (LBAs) and certified behavior analyst assistants (CBAAs) all individuals seeking licensure/certification as a LBA or CBAA, and to higher education institutions that have registered behavior analyst and/or behavior analyst assistant education programs with the Department, including the 44 rural counties with less than 200,000 inhabitants and the 71 towns in urban counties with a population density of 150 per square mile or less. There are approximately 2,078 LBAs and 16 CBAAs licensed and registered to practice in New York State; about 141 LBAs (6.7%) and 2 CBAAs (12.5%) reported that their permanent address of record is in a rural county of the State.

2. REPORTING, RECORDKEEPING AND OTHER COMPLIANCE REQUIREMENTS; AND PROFESSIONAL SERVICES:

The proposed amendment implements the provisions of Chapters 818 and 641, and makes additional amendments to LBA and CBAA provisions to align such provisions with national standards, as follows:

Program Registration Requirements. The education requirements for licensure as an LBA or CBAA under sections 52.44 and 52.45 of the Commissioner's regulations are not consistent with the current BACB standards, which are continually revised as these new professions develop. Therefore, the Department proposes to repeal these sections to allow our education standards to align with the evolving national certification requirements, ensure that graduates are prepared to practice under the newly amended scope, and to prepare graduates for the national examination. The New York program will have to meet the general professional education program requirements prescribed in sections 52.1, 52.2 and 52.3 of the Commissioner's regulations to ensure the quality of the program.

Education. The proposed rule repeals the existing text of sections 79-17.1 and 79-18.1 and adds new text that outlines the education requirements for LBAs and CBAAs, respectively.

LBA applicants must submit satisfactory evidence of the completion of a program in ABA leading to a masters or higher degree of at least 30 semester hours that includes a supervised internship of sufficient duration in the practice of ABA that is: (1) registered by the department as leading to licensure as an LBA; (2) accredited by an acceptable accrediting organization; or (3) determined to be the equivalent of a registered or accredited program. In the alternative, LBA applicants can satisfy the education requirements for licensure by: (1) holding a master's or higher degree upon successful completion of a program in a related field acceptable to the department and registered by the department, or its substantial equivalent; and (2) completing a credit bearing graduate-level program in ABA that is registered as leading to licensure or recognized or verified by an acceptable accrediting organization, or the substantial equivalent of such a recognized or verified program.

CBAA applicants must submit satisfactory evidence of the completion of a program in ABA leading to a bachelor's or higher degree that includes a supervised internship of sufficient duration in the practice of ABA that is either: (1) registered by the department as leading to certification as a CBAA; (2) accredited by an acceptable accrediting organization; or (3) determined to be the equivalent of a registered or accredited program. In the alternative, CBAA applicants can satisfy the education requirements for certification by: (1) holding a bachelor's or higher degree upon successful completion of a program in a related field acceptable to the department and registered by the department, or the substantial equivalent of a department registered program; and (2) completing a credit bearing certificate program in ABA that is registered by the department as leading to certification or recognized or verified by an accrediting organization acceptable to the department, or the substantial equivalent of such a recognized or verified program.

Experience. The proposed rule repeals the existing text of sections 79-17.2 and 79-18.2 and adds new text that outlines the experience requirements for LBAs and CBAAs, respectively. For licensure, LBA and CBAA applicants must present evidence of supervised experience in the practice of ABA acceptable to the Department. Full-time experience must consist of at least 20 hours and a maximum of 32.5 hours per week, while part-time experience must consist of at least 10 hours and a maximum of 19 hours per week. Experience must be completed in a setting that is legally authorized to provide services that constitute the practice of ABA and such experience must be completed under the supervision of a LBA registered to practice in New York State. These sections also contain additional requirements for qualified supervisors.

Examination. Sections 79-17.3 and 79-18.3 of the Commissioner's regulations are amended to remove references to the State Board for Applied Behavior Analysis and to remove the specific examination score requirement. These amendments are consistent with recent statutory changes and provide flexibility to utilize BACB's examination. The regulations continue to require that an applicant pass an examination acceptable to the Department that tests knowledge related to all areas of behavior analysis practice. Although the certification board will not accept candidates based solely on New York's approval, BACB may individually evaluate and admit those New York graduates who meet the BACB education and experience requirements for entry to the examination. There is no other examination in ABA available at this time, and BACB's examination is used for certification and licensure in every other jurisdiction.

Exemptions. To implement Chapter 641, sections 79-17.5 and 79-18.5 of the Commissioner's regulations are amended to provide an exemption to allow graduates of an educational program acceptable to the department to work to meet the experience requirements for national certification and entry to the examination. These graduates must work under the supervision of a LBA who is licensed and registered in New York State and employed in a setting authorized to provide ABA services therein. Additionally, such sections set forth additional requirements for the supervising LBA, consistent with Chapter 641.

Special Provisions. To implement Chapter 641, new sections 79-17.6 and 79-18.6 are added to allow individuals who meet the requirements for licensure as a LBA or the requirements for certification as a CBAA, respectively, except for examination, experience, and education requirements, and who are certified or registered by a national certifying body having standards acceptable to the commissioner, to be licensed or certified without meeting additional requirements as to examination, experience, and education. Such individuals, however, must be of good moral character and must submit an application and the required fee for licensure or certification (as applicable) and registration.

Unprofessional Conduct. To implement Chapter 818, and to make the Commissioner's regulations consistent with Education Law § 8802(1), (3), and (4), a new section 29.20 is added to the Rules of the Board of Regents outlining special provisions of unprofessional conduct for the ABA professions. This section provides that it is unprofessional conduct in the practice of LBA and CBAA to: (1) prescribe or administer drugs or as a treatment, therapy, or professional service in the practice of his or her profession; (2) use invasive procedures as a treatment, therapy, or professional service in the practice of his or her profession; or (3) diagnose a disorder or condition for which ABA may be appropriate or prescribing or ordering ABA for an individual.

Additionally, such section provides that it is unprofessional conduct for an LBA providing services pursuant to a prescription or order to fail to provide a report at least annually regarding the status of the individual served to the licensed person who prescribed or ordered such service, or more frequently, if needed, to report significant changes in the condition of the individual.

The proposed rule does not impose any record keeping requirements or professional service requirements on regulated parties located in rural areas.

3. COSTS:

The proposed rule does not impose any additional costs to regulated parties, including those located in rural areas, beyond those imposed by statute. Chapter 818 expands the scope of practice for applied behavior analysis (ABA) by authorizing licensees to provide ABA services pursuant to an appropriate diagnosis to treat individuals with behavioral health conditions that appear in the most recent edition of the diagnostic and statistical manual of mental disorders, published by the American Psychiatric Association. Registered behavior analyst and/or behavior analyst assistant education programs, may incur costs related to aligning their programs with this expanded scope.

4. MINIMIZING ADVERSE IMPACT:

The proposed rule is necessary to implement Chapter 818 of the Laws of 2021, and Chapter 641 of the Laws of 2022, and to more closely aligns regulatory provision with national standards. Chapters 818 and 641 do not provide any exceptions for programs, licensees or applicants located in rural areas and the Department has determined that the proposed rule should apply to all programs, licensees and applicants regardless of their geographic location to help ensure a uniform standard of licensure and practice across the State. Because of the nature of the proposed rule, alternative approaches for regulated parties located rural areas were not considered.

5. RURAL AREA PARTICIPATION:

The proposed rule was developed to implement Chapter 818 of the Laws of 2021 (effective June 30, 2023) and Chapter 641 of the Laws of 2022 (effective November 22, 2022) and to align regulatory provisions more closely with national standards. The proposed rule reflects discussions with organizations, including statewide organizations, representing all parties having an interest in the practice of applied behavior analysis. These organizations included the state board for applied behavior analysis and professional associations representing the profession. These groups have members who live or work in rural areas.

Job Impact Statement

The purpose of the proposed rule is to implement Chapter 818 of the Laws of 2021 (effective June 30, 2023) and Chapter 641 of the Laws of 2022 (effective November 22, 2022), and to align regulatory provisions with national standards.

Chapter 818 of the Laws of 2021 expands the scope of practice for applied behavior analysis (ABA) by authorizing licensees to provide ABA services pursuant to an appropriate diagnosis to treat individuals with behavioral health conditions that appear in the most recent edition of the diagnostic and statistical manual of mental disorders, published by the American Psychiatric Association. In addition, it requires licensees to provide a report at least once per year to the prescribing licensed professional.

Chapter 641 of the Laws of 2022 establishes a licensure pathway for qualified applicants who submit verification of national certification from a credentialing body acceptable to the Department. It also provides a mechanism to allow a graduate of a qualifying education program to work under supervision of a New York licensed behavior analyst (LBA) to meet the experience requirements for national certification and entry to the examination. The LBA attests to the supervision and is responsible for all services provided under that supervision.

The proposed amendment implements Chapters 818 and 641, and aligns regulatory provisions with national standards as follows:

- Repeals Parts 52.44 and 52.45 of Commissioner's Regulations which prescribe program registration requirements for programs leading to licensure as an LBA or CBAA, to support alignment with the standards of the nationally recognized accrediting organization.
- Amends sections 79-17.1 (professional study), 79-17.2 (experience), 79-17.3 (professional examination), and 79-17.5 (special provisions); and 79-18.1 (professional study), 79-18.2 (experience), 79-18.3 (professional examination) and 79-18.5 (special provisions) of the Regulations of the Commissioner of Education, to align those licensure requirements for licensed behavior analysts and certified behavior analyst assistants, respectively, with the national-certification licensure pathway and provisions for program graduates established by Chapter 641.
- Amends 79-17.5 and 79-18.5 to implement Chapter 641 by allowing a graduate of a qualifying education program to work under the supervision of a New York licensed behavior analyst (LBA) to meet the experience requirements for national certification and entry to the examination. The LBA attests to the supervision and is responsible for all services provided under that supervision.
- Adds sections 79-17.6 and 79-18.6 to implement the licensure pathways based on national certification, as established by Chapter 641.
- Adds section 29.20 to the Rules of the Board of Regents outlining special provisions of unprofessional conduct for the ABA professions to implement Chapter 818 and Education Law § 8802(1), (3), and (4).

The proposed amendments will not have a substantial impact on jobs and employment opportunities. Because it is evident from the nature of the proposed rule that it will have no impact on jobs or employment opportunities attributable to its adoption or only potentially a positive impact, no further steps were needed to ascertain that fact, and none were taken. Accordingly, a job impact statement is not required, and one was not prepared.

REVISED RULE MAKING
NO HEARING(S) SCHEDULED

Student Teaching Requirements for Registered Teacher Preparation Programs and Through the Individual Evaluation Pathway

I.D. No. EDU-42-22-00004-RP

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following revised rule:

Proposed Action: Amendment of sections 52.21 and 80-3.7 of Title 8 NYCRR.

Statutory authority: Education Law, sections 101, 207, 208, 305, 3001 and 3004

Subject: Student teaching requirements for registered teacher preparation programs and through the individual evaluation pathway.

Purpose: To extend for one year the timeline for programs to implement the new student teaching requirements, and to make amendments to such requirements and the student teaching requirements for the individual evaluation pathway to certification.

Substance of revised rule (Full text is posted at the following State website: http://www.counsel.nysed.gov/rules/full-text-indices): Teacher preparation program faculty and administrators have raised questions and expressed concerns about some of the new student teaching requirements, indicating that they may adversely impact candidates and programs. The Department is proposing the following revisions to the new student teaching registration requirements in response to the concerns:

- Length of student teaching. The unit of measurement for length of the student teaching experience would be 70 school days, or its equivalent, instead of a full semester of at least 14 weeks, full-time. By converting the measurement from weeks to days and removing the "full-time" requirement, institutions would have greater flexibility to design placements that fit their academic calendar.
- Multiple certificates. Candidates pursuing more than one certificate title could complete placements of at least 35 school days for each certificate title instead of two placements of at least seven weeks or one placement of at least 14 weeks. The current requirement does not account for more than two placements.

• Full-time status. The requirement that candidates must be credited with at least the number of semester hours required to obtain full-time status at the institution during the student teaching experience or residency would be removed. This requirement would increase the number of semester hours, and thus tuition, for student teaching at some institutions, creating a financial barrier for candidates.

• Library Media Specialist programs. Library media specialist candidates are required to complete two practica in elementary and secondary schools under the current and new student teaching requirements. For the current student teaching requirements, the proposed change would permit one practicum and allow the combined field experiences and practicum to be in both elementary and secondary schools. The requirement of two practica would be removed in the new student teaching requirements, allowing a practicum to be in one type of setting if desired or needed due to the limited availability of placements.

Additionally, the proposal extends the timeline for programs to implement the new student teaching requirements by one year. As such, the program changes would apply to candidates who enroll in a program in the Fall 2024 semester and later, and the corresponding revisions to the teaching experience requirements for the individual evaluation pathway to certification would be effective for candidates who apply for a certificate on or after September 1, 2028.

Proposed Amendments to Section 80-3.7

For the individual evaluation pathway, the proposed amendment would allow candidates who apply for certification prior to September 1, 2028 to satisfy the teaching experience requirement by completing 40 schools days, or its equivalent, in a college-supervised student teaching experience or as an employed teacher. On or after September 1, 2028, candidates may satisfy the new teaching experience requirement by completing 70 school days, or its equivalent, in a college-supervised student teaching experience or as an employed teacher. The addition of the phrase "or its equivalent" provides more flexibility for candidates in calculating the amount of student teaching or teaching experience for certification, permitting a variety of part-time experiences that could be equivalent to 40 or 70 school days. The proposed amendment replaces the employment requirement of 70 full-time school days or 140 half-time school days that must include at least one continuous period of no fewer than 35 school days.

The proposed amendment also includes technical revisions, replacing the term "students" with "candidates" and the term "school-based teacher" with "school-based teacher educator."

Revised rule compared with proposed rule: Substantial revisions were made in section 52.21(b)(2).

Text of revised proposed rule and any required statements and analyses may be obtained from Kirti Goswami, NYS Education Department, Office of Counsel, 89 Washington Avenue, Room 112 EB, Albany, NY 12234, (518) 474-6400, email: legal@nysed.gov

Data, views or arguments may be submitted to: William P. Murphy, Deputy Commissioner, NYS Education Department, Office of Higher Education, 89 Washington Avenue, Room 975 EBA, Albany, NY 12234, (518) 473-3781, email: Regcomments@nysed.gov

Public comment will be received until: 45 days after publication of this notice.

Revised Regulatory Impact Statement

Since publication of a Notice of Proposed Rule Making in the State Register on October 19, 2022, the following substantial revisions were made to the proposed rule:

Based on feedback on the proposed rule from the field, the Department now proposes to revise the proposed rule regarding the "limited exemption" for experienced teachers. The new student teaching requirements include a "limited exemption" for experienced teachers who are enrolled in a graduate program leading to certification for one or more certificates. Teachers who qualify for the limited exemption are exempt from the clinical experience clock-hour requirement and the full-semester student teaching requirement. To qualify, teachers must have either: (1) completed a New York State registered teacher preparation program prior to enrollment in the graduate program and hold an Initial and/or Professional teacher certificate, (2) hold National Board certification, or (3) have at least one year of effective teaching experience under a valid New York State or out-of-state teaching certificate.

With the limited exemption, candidates complete at least 50 clock hours of student teaching or practica (unless otherwise prescribed in the specific program requirements) that includes a focus on the applicable program-specific pedagogical core requirements for the certificate title. The student teaching or practica must occur with actual students in educational settings appropriate to the certificate title sought.

Consistent with the extension of the new student teaching requirements, the original proposed rule also extended the timeline for the limited exemption by one year, making it available for candidates who enroll in a program in the Fall 2024 semester and later. Teacher preparation program faculty have expressed an interest in making the limited exemption available to teachers immediately, rather than when the new student teaching requirements go into effect. The Department is therefore proposing to have the limited exemption be an option for qualified teachers and graduate teacher preparation programs upon adoption of the proposed amendment.

The above revisions to the proposed rule do not require any revisions to the previously published Regulatory Impact Statement.

Revised Regulatory Flexibility Analysis

The purpose of the proposed amendments to Sections 52.21 and 80-3.7 of the Regulations of the Commissioner of Education relating to student teaching requirements for teacher certification and the registration of teacher preparation programs is to extend, by one year, the new student teaching requirements and to amend such requirements in response to feedback from the field.

The proposed changes to teacher preparation programs include:

• Length of student teaching. The unit of measurement for length of the student teaching experience would be 70 school days, or its equivalent, instead of a full semester of at least 14 weeks, full-time. By converting the measurement from weeks to days and removing the "full-time" requirement, institutions would have greater flexibility to design placements that fit their academic calendar.

• Multiple certificates. Candidates pursuing more than one certificate title could complete placements of at least 35 school days for each certificate title instead of two placements of at least seven weeks or one placement of at least 14 weeks. The current requirement does not account for more than two placements.

• Full-time status. The requirement that candidates must be credited with at least the number of semester hours required to obtain full-time status at the institution during the student teaching experience or residency would be removed. This requirement would increase the number of semester hours, and thus tuition, for student teaching at some institutions, creating a financial barrier for candidates.

• Library Media Specialist programs. Library media specialist candidates are required to complete two practica in elementary and secondary schools under the current and new student teaching requirements. For the current student teaching requirements, the proposed change would permit one practicum and allow the combined field experiences and practicum to be in both elementary and secondary schools. The requirement of two practica would be removed in the new student teaching requirements, allowing a practicum to be in one type of setting if desired or needed due to the limited availability of placements.

Additionally, the proposal extends the timeline for programs to implement the new student teaching requirements by one year. As such, the program changes would apply to candidates who enroll in a program in the Fall 2024 semester and later, and the corresponding revisions to the teaching experience requirements for the individual evaluation pathway to certification would be effective for candidates who apply for a certificate on or after September 1, 2028.

For the individual evaluation pathway, the proposed amendment would allow candidates who apply for certification prior to September 1, 2028 to satisfy the teaching experience requirement by completing 40 schools days, or its equivalent, in a college-supervised student teaching experience or as an employed teacher. On or after September 1, 2028, candidates may satisfy the new teaching experience requirement by completing 70 school days, or its equivalent, in a college-supervised student teaching experience or as an employed teacher. The addition of the phrase "or its equivalent" provides more flexibility for candidates in calculating the amount of student teaching or teaching experience for certification, permitting a variety of part-time experiences that could be equivalent to 40 or 70 school days. The proposed amendment replaces the employment requirement of 70 full-time school days or 140 half-time school days that must include at least one continuous period of no fewer than 35 school days.

The proposed amendment also includes technical revisions, replacing the term "students" with "candidates" and the term "school-based teacher" with "school-based teacher educator."

The amendments do not impose any new recordkeeping or other compliance requirements, and will not have an adverse economic impact on small businesses or local governments. Participation by school districts and BOCES in the clinical preparation of teacher candidates is voluntary. Because it is evident from the nature of the proposed amendments that they do not affect small businesses or local governments, no further steps were needed to ascertain that fact and none were taken. Accordingly, a regulatory flexibility analysis for small businesses is not required and one has not been prepared.

Revised Rural Area Flexibility Analysis

Since publication of a Notice of Proposed Rule Making in the State Register on October 19, 2022, the proposed rule was revised as set forth in the Statement Concerning the Regulatory Impact Statement submitted herewith. The revisions to the proposed rule do not require any revisions to the previously published Rural Area Flexibility Analysis.

Revised Job Impact Statement

The purpose of the proposed amendments to Sections 52.21 and 80-3.7 of the Regulations of the Commissioner of Education relating to student teaching requirements for teacher certification and the registration of teacher preparation programs is to extend, by one year, the new student teaching requirements and to amend such requirements in response to feedback from the field.

- Length of student teaching. The unit of measurement for length of the student teaching experience would be 70 school days, or its equivalent, instead of a full semester of at least 14 weeks, full-time. By converting the measurement from weeks to days and removing the "full-time" requirement, institutions would have greater flexibility to design placements that fit their academic calendar.
- Multiple certificates. Candidates pursuing more than one certificate title could complete placements of at least 35 school days for each certificate title instead of two placements of at least seven weeks or one placement of at least 14 weeks. The current requirement does not account for more than two placements.
- Full-time status. The requirement that candidates must be credited with at least the number of semester hours required to obtain full-time status at the institution during the student teaching experience or residency would be removed. This requirement would increase the number of semester hours, and thus tuition, for student teaching at some institutions, creating a financial barrier for candidates.
- Library Media Specialist programs. Library media specialist candidates are required to complete two practica in elementary and secondary schools under the current and new student teaching requirements. For the current student teaching requirements, the proposed change would permit one practicum and allow the combined field experiences and practicum to be in both elementary and secondary schools. The requirement of two practica would be removed in the new student teaching requirements, allowing a practicum to be in one type of setting if desired or needed due to the limited availability of placements.

Additionally, the proposal extends the timeline for programs to implement the new student teaching requirements by one year. As such, the program changes would apply to candidates who enroll in a program in the Fall 2024 semester and later, and the corresponding revisions to the teaching experience requirements for the individual evaluation pathway to certification would be effective for candidates who apply for a certificate on or after September 1, 2028.

For the individual evaluation pathway, the proposed amendment would allow candidates who apply for certification prior to September 1, 2028 to satisfy the teaching experience requirement by completing 40 schools days, or its equivalent, in a college-supervised student teaching experience or as an employed teacher. On or after September 1, 2028, candidates may satisfy the new teaching experience requirement by completing 70 school days, or its equivalent, in a college-supervised student teaching experience or as an employed teacher. The addition of the phrase "or its equivalent" provides more flexibility for candidates in calculating the amount of student teaching or teaching experience for certification, permitting a variety of part-time experiences that could be equivalent to 40 or 70 school days. The proposed amendment replaces the employment requirement of 70 full-time school days or 140 half-time school days that must include at least one continuous period of no fewer than 35 school days.

The proposed amendment also includes technical revisions, replacing the term "students" with "candidates" and the term "school-based teacher" with "school-based teacher educator."

Because it is evident from the nature of the proposed amendment that it will have no impact on the number of jobs or employment opportunities in New York State, no further steps were needed to ascertain that fact and none were taken.

Assessment of Public Comment

Since publication of the Notice of Proposed Rule Making in the State Register on October 19, 2022, the State Education Department (Department) received the following comment on the proposed amendment:

1. COMMENT: Five commenters employed by the same institution of higher education expressed concerns regarding the proposed change in the length of the student teaching experience from 14 weeks to 70 school days. Some commenters stated that there are not 70 school days in a college semester at many institutions due to holidays; there are between 64 and 66 actual school days, depending on the district. However, they could meet this requirement if the week of Thanksgiving could qualify as five of the 70 days.

DEPARTMENT RESPONSE: The proposed length of the student teaching placement is, "at least 70 school days, or its equivalent, in an educational setting, and in alignment with the daily schedule and annual calendar of that educational setting." The academic calendar at institutions of higher education varies across the state. If 70 school days cannot be achieved within the institution's calendar, there is flexibility to include P-12 school holidays during the student teaching experience in order to reach 70 school days. Please note that school holidays (e.g., Labor Day, Veteran's Day) are considered different from P-12 school breaks (e.g., winter and spring breaks). No changes to the proposed rule are necessary.

2. COMMENT: The commenter supported the proposed changes, which provide flexibility for students and programs. They noted that their institution of higher education has a 14-week student teaching placement, and student teachers are not required to make up days if there are snow days or if a student teacher cannot teach for a day or two due to illness. Additionally, when there are school holidays, their institution considers the four-day week as a "week" of student teaching.

The commenter indicated that the proposed change of the length of the student teaching experience from 14 weeks to 70 days would require them to have their student teachers make up days that they miss due to snow days, holidays, or short-term illness, which may extend their student teaching experience and make candidates' end dates less predictable. The commenter also shared that their institution interprets "full-time" as synonymous with "full-day" and wants to ensure that student teachers get the equivalent of full-day teaching experiences.

DEPARTMENT RESPONSE: With respect to snow and sick days, the proposed regulation does not affect the discretion of institutions of higher education to decide, within reason, if a student teacher should or should not make up days that they miss due to snow days and sick days.

With respect to the length of a student placement, see the response above to comment 1.

With respect to the use of "full-time," the proposed amendment removes the requirement that the student teaching experience must be "full-time." As a result, institutions have the discretion to decide how student teachers will meet the 70-day requirement, depending on their local context. No changes to the proposed rule are necessary.

Department of Financial Services

NOTICE OF ADOPTION

Principle-Based Reserving

I.D. No. DFS-49-22-00001-A

Filing No. 114

Filing Date: 2023-02-10

Effective Date: 2023-03-01

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following action:

Action taken: Amendment of Part 103 (Regulation 213) of Title 11 NYCRR.

Statutory authority: Financial Services Law, sections 202, 302; Insurance Law, sections 301, 4217 and 4517

Subject: Principle-Based Reserving.

Purpose: To adopt the 2022 Valuation Manual and amend the scope of section 103.4 to include certain group term life insurance.

Text or summary was published in the December 7, 2022 issue of the Register, I.D. No. DFS-49-22-00001-P.

Final rule as compared with last published rule: No changes.

Text of rule and any required statements and analyses may be obtained from: Amanda Fenwick, Department of Financial Services, One Commerce Plaza, Albany, New York 12257, (518) 474-7929, email: Amanda.Fenwick@dfs.ny.gov

Assessment of Public Comment

The agency received no public comment.

Department of Health

NOTICE OF ADOPTION

Mpox to the List of Sexually Transmitted Diseases (STDs)

I.D. No. HLT-43-22-00003-A
Filing No. 113
Filing Date: 2023-02-10
Effective Date: 2023-03-01

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following action:

Action taken: Amendment of section 23.1 of Title 10 NYCRR.

Statutory authority: Public Health Law, sections 225(4), 2304, 2305 and 2311

Subject: Mpox to the List of Sexually Transmitted Diseases (STDs).

Purpose: To add Mpox to the list of sexually transmitted diseases (STDs).

Text of final rule: Group B of Section 23.1 is amended to read as follows:
Group B
Facilities referred to in section 23.2 of this Part must provide diagnosis and treatment, including prevention services, as provided in section 23.2(d) of this Part for the following STDs:
Human Papilloma Virus (HPV)
Genital Herpes Simplex
Human Immunodeficiency Virus (HIV)
Mpox

Final rule as compared with last published rule: Nonsubstantive changes were made in section 23.1.

Text of rule and any required statements and analyses may be obtained from: Katherine Ceroalo, DOH, Bureau of Program Counsel, Reg. Affairs Unit, Room 2438, ESP Tower Building, Albany, NY 12237, (518) 473-7488, email: regsqna@health.ny.gov

Revised Regulatory Impact Statement

Statutory Authority:

Pursuant to sections 225(4), 2304, 2305 and 2311 of the Public Health Law (PHL), the Commissioner of Health and the Public Health and Health Planning Council have the authority to adopt regulations that list the sexually transmitted diseases (STDs) for which PHL Article 23 is applicable and, in particular, that establish requirements for local health departments (LHDs) concerning STD services.

Legislative Objectives:

PHL section 2311 requires the Commissioner of Health to promulgate a list of STDs. The purpose of Article 23 of the PHL, and its associated regulations, is to ensure that persons at risk for or diagnosed with an STD have access to diagnosis and treatment, including prevention services, thereby improving their health and public health in New York State. Additionally, providing STD diagnosis and treatment, including prevention services, is vital to protecting the health of newborn children whose mothers may have an STD.

Needs and Benefits:

This amendment adds mpox to Group B of the existing list of STDs. County LHDs already have an obligation to control the spread of mpox under PHL Article 6 communicable disease guidance. Consistent with such guidance, this regulation requires STD clinics operated by LHDs or providing services through contractual arrangements to provide diagnosis and treatment, including prevention services, to persons diagnosed or at risk for mpox, either directly or through referral. Further, minors will be able to consent to their own mpox testing, prevention services (including vaccine), and treatment.

This amendment supports the Department's plan to control the current and future mpox outbreaks by connecting persons diagnosed with, exposed to, or at risk of mpox with testing, vaccine, treatment, and prevention services. Young people currently face barriers that can prevent or delay access to care, including denial and fear of their mpox infection, misinformation, mpox-related stigma, low self-esteem, lack of insurance, homelessness, substance use, mental health issues, and lack of adequate support systems. Because of these factors, many young people need the ability to consent to mpox diagnosis and treatment, including prevention services.

These regulations will help ensure that more young people have optimal health outcomes and do not transmit the virus to others. In addition, young people will have the ability to consent to mpox related preventive services, including those who have been exposed to STDs or who are at high risk for mpox. Under the amended regulation, such individuals will be able to obtain mpox vaccine so they can remain mpox negative. These amendments are necessary to provide appropriate health care rights and protections to minors and remove the barriers that can prevent or delay access to diagnosis and treatment, including prevention services.

Costs to Regulated Parties:

LHDs may diagnose patients for mpox by offering mpox testing. In regard to mpox treatment, including prevention services, some LHDs may experience up-front costs associated with providing treatment to additional individuals. However, these regulations do not mandate that an LHD provide treatment directly. As with the other conditions already listed in Group B, LHDs may fulfill their obligation to provide mpox treatment by referring the patient to another provider; they are not required to pay for treatment.

Providing diagnosis and treatment, including mpox vaccine, to persons diagnosed or at risk for mpox may increase the use of mpox vaccine. It is anticipated that any increase in mpox vaccination will decrease the number of people who become mpox positive, thereby greatly decreasing the cost of providing care to individuals who are mpox positive. The mpox vaccine is provided by the federal government at no cost to the State.

Generally, LHDs and other providers that provide mpox treatment must seek to offset any costs by billing insurance for rendered services. At this time, treatment for mpox, including Tecovirimat (also known as TPOXX or ST-246), is provided under an expanded access Investigational New Drug (EA-IND) protocol, which allows for the use of TPOXX for primary or early empiric treatment of non-variola orthopoxvirus infections, including mpox, in adults and children of all ages. The treatment is provided at no cost.

Costs to State Government:

There are no direct costs to the State or the Department. The Department will continue to work with LHDs using existing resources to provide guidance regarding the control of communicable diseases using STD clinics and other methods as required by the PHL Article 6 State aid rules and these regulations.

Local Government Mandates:

As discussed above, these amendments will require STD clinics operated by LHDs to provide mpox diagnosis and treatment, including prevention services, either directly or by referral. LHDs are not, however, required to provide mpox treatment directly; they may refer patients to other providers for treatment.

Paperwork:

LHDs will be required to bill public and commercial third-party payers to the extent practicable to offset the costs of providing mpox treatment services.

Duplication:

There are no relevant rules or other legal requirements of the Federal or State governments that conflict with this rule. Like other STDs (syphilis, gonorrhea, etc.), since mpox will be listed on both the state communicable disease list and the STD list, two sets of Article 6 guidance documents for LHDs will apply to mpox.

Alternatives:

The alternative is to continue not to list mpox as an STD in New York. However, to advance the goal of controlling mpox outbreaks, mpox should be listed as an STD. This will not only reduce morbidity and mortality, but will also decrease health care costs statewide by lowering the prevalence of mpox and the cost of providing care to mpox-positive individuals.

Federal Standards:

There are no Federal standards in this area.

Compliance Schedule:

The amendment will take effect upon publication of a Notice of Adoption in the State Register. The Department will assist affected entities in compliance efforts.

Revised Regulatory Flexibility Analysis

Effect of Rule:

The proposed amendments to 10 NYCRR Part 23 will impact the 58 local health departments (LHDs) and the New York City Department of Health and Mental Hygiene, which are required to provide STD services as a condition of State Aid pursuant to Article 6 of the Public Health Law. In addition, local governments are responsible for the local share of the cost of the Medicaid program. The amendments will not impact small businesses (i.e., small private practices or clinics) any differently from other health care providers.

This mandate does not create new costs for local government. Currently, since mpox is listed as a communicable disease in 10 NYCRR § 2.1, and since LHDs are responsible for controlling the spread of communicable diseases, LHDs are already required to treat mpox. Therefore, this regulation adding mpox to the list of STDs will not create any unfunded mandate for local government.

Increasing vaccination rates will decrease the number of mpox cases and will reduce Medicaid costs to care for Medicaid recipients with mpox, thereby reducing the local share of the cost of the Medicaid program. Since the vaccine is provided for free, this regulation implements a public health measure that will save money for local governments that are supported by property taxpayers.

Compliance Requirements:

Pursuant to these amendments, LHDs must provide mpox diagnosis and treatment, including prevention services, either directly in an STD clinic, or by making a written or electronic prescription or referral to another health care provider. Implementation of this rule will require recordkeeping and reporting by LHDs.

Professional Services:

Those LHDs that provide mpox treatment services directly or through contract may be required to ensure the development or updating of billing systems to comply with the obligation to seek payment from insurance providers to the extent practicable.

Compliance Costs:

LHDs diagnose patients for mpox by offering mpox testing. In regard to mpox treatment, including prevention services, some LHDs may experience up-front costs associated with providing treatment to additional individuals. However, these regulations do not mandate that an LHD provide treatment directly. As with the other conditions already listed in Group B, LHDs may fulfill their obligation to provide mpox treatment by referring the patient to another provider; they are not required to pay for treatment.

Providing diagnosis and treatment, including prevention services, to persons diagnosed or at risk for mpox may increase the use of mpox vaccine. It is anticipated that any increase in the use of prophylactic services will decrease the number of people who become mpox positive, thereby greatly reducing the cost of providing care to individuals who are mpox positive.

In addition, LHDs and other providers that provide mpox treatment must seek to offset any costs by billing insurance for rendered services to the extent practicable. Remaining costs may be eligible for reimbursement from other sources that fund mpox treatment in New York.

Economic and Technological Feasibility:

The requirement to seek insurance recovery and the availability of other funding sources make this requirement economically feasible. There are no new technology requirements. The Department will also provide technical advice and support as needed.

Minimizing Adverse Impact:

LHDs and other providers that provide mpox treatment must seek to offset any costs by billing insurance for rendered services. Remaining costs may be eligible for reimbursement from other sources that fund mpox treatment in New York.

Small Business and Local Government Participation:

Community stakeholders, representative of regions and businesses across New York State, have been engaged in the response to the mpox outbreak, including ensuring that minors have the right to consent to mpox treatment and prevention services. The recommendation to amend regulations to ensure minors have the right to consent to mpox treatment and prevention services has been supported by community stakeholders. The Department sought and received input from local health departments, including the New York City Department of Health and Mental Hygiene.

This regulation does not have the effect of imposing a mandate. Rather, it permits local governments to expand access to mpox vaccine, which will result in cost savings, because less money will need to be spent on treatment. LHDs are already providing mpox vaccine. The reason minors should be permitted to access mpox vaccine is that it will prevent minors from getting mpox, which furthers the Department's mission to decrease morbidity and mortality.

Cure Period:

Chapter 524 of the Law of 2011 requires agencies to include a "cure period" or other opportunity for ameliorative action to prevent the imposition of penalties on the party or parties subject to enforcement when developing a regulation or explain in the Regulatory Flexibility Analysis why one was not included. This regulation creates no new penalty or sanction. Hence, a cure period is not necessary.

Revised Rural Area Flexibility Analysis

Types and Estimated Numbers of Rural Areas:

The proposed amendments to 10 NYCRR Part 23 will impact clinicians in rural areas no differently than throughout New York State.

Reporting, Recordkeeping and Other Compliance Requirements; and Professional Services:

This rule imposes no mandates upon entities in rural areas outside those entities noted in Article 23 of the Public Health Law. As stated, local health departments (LHDs) must provide mpox treatment, including prevention services, either directly in an STD clinic, or by making a written or electronic prescription or referral to another health care provider. Implementation of this rule will require recordkeeping and reporting by LHDs.

Costs:

Some clinicians may experience up-front costs associated with providing mpox treatment services, including prevention services, to additional individuals. However, these regulations do not mandate health care providers to provide mpox treatment services. Any provider that does provide mpox treatment for additional patients can offset any costs by billing for services rendered.

Minimizing Adverse Impact:

As discussed above, the ability to recover costs will minimize the impact of these regulations.

Rural Area Participation:

Community stakeholders, representative of regions and businesses across New York State, including those in rural areas, have been engaged in the response to the mpox outbreak, including ensuring that minors have the right to consent to mpox treatment and prevention services. The recommendation to amend regulations to ensure minors have the right to consent to mpox treatment and prevention services has been supported by community stakeholders in rural areas.

Revised Job Impact Statement

Changes made to the last published rule do not necessitate revision to the previously published JIS.

Initial Review of Rule

As a rule that requires a RFA, RAFA or JIS, this rule will be initially reviewed in the calendar year 2026, which is no later than the 3rd year after the year in which this rule is being adopted.

Assessment of Public Comment

>The New York State Department of Health (NYSDOH) received one comment, expressing support for the proposed amendments to 10 NYCRR Section 23.1. The comment was submitted by an association representing local health departments.

Comment:

The association supports the amendment to 10 NYCRR Section 23.1 and its immediate adoption.

Response:

NYSDOH appreciates the support. NYSDOH also notes that the final rule uses the term "mpox" instead of "monkeypox virus" or "MPV" – consistent with the updated terminology adopted by the World Health Organization (WHO), the Centers for Disease Control and Prevention (CDC), and NYSDOH.

PROPOSED RULE MAKING
NO HEARING(S) SCHEDULED

Clinical Staffing in General Hospitals

I.D. No. HLT-09-23-00020-P

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following proposed rule:

Proposed Action: Amendment of sections 400.25, 405.5, 405.12, 405.19, 405.21, 405.22 and 405.31 of Title 10 NYCRR.

Statutory authority: Public Health Law, sections 2803 and 2805(t)(5)

Subject: Clinical Staffing in General Hospitals.

Purpose: Requires general hospitals to have clinical staffing committees and create clinical staffing plans.

Text of proposed rule: Section 400.25 of Title 10 is amended by adding a new subdivision (g) to read as follows:

(g) General hospitals shall submit information for complying with the reporting requirements of Public Health Law Section 2805 t(17)(a)(i), (ii) and (iii), allowing patients and the public to clearly understand and compare staffing patterns and actual levels of staffing across facilities. Such information shall be filed with the department by filling out the General Hospital Clinical Staffing Plan Template using an electronic reporting system designated by the department.

Subdivision (a) of section 405.5 of Title 10 is amended to read as follows:

(a) Organization and staffing.

(1) The hospital shall have a written nursing service plan of administrative authority and delineation of responsibilities. The director of the nursing service shall be a licensed registered professional nurse who is qualified by training and experience for such position. [He or she] *The director of the nursing service* shall be responsible for the operation of the service, including developing [a plan] *such nursing service plan* to be approved by the hospital for determining the types and numbers of nursing personnel and staff necessary to provide nursing care for all areas of the hospital *in accordance with the hospital's clinical staffing plan as provided in paragraph (8) of this subdivision.*

* * *

(8) Hospitals must establish and maintain a clinical staffing committee as provided in section 2805 t of the Public Health Law. The clinical staffing committee shall develop and oversee the implementation of an annual clinical staffing plan. The clinical staffing plan shall delineate intensive care and critical care units of the hospital. The clinical staffing plan shall include specific staffing for each patient care unit and work shift and shall be based on the needs of patients. Staffing plans shall include specific guidelines or ratios, matrices, or grids indicating how many patients are assigned to each registered nurse and the number of nurses and ancillary nursing personnel to be present on each unit and shift. Ancillary nursing personnel includes, but is not limited to, certified nurse assistants, patient care technicians, and other non-licensed members of the frontline team assisting with nursing tasks. Each hospital shall adopt and submit its first clinical staffing plan under this paragraph no later than July 1, 2022, and annually thereafter. Beginning January 1, 2023, and annually thereafter, each hospital shall implement the clinical staffing plan adopted by July 1 of the prior calendar year, and any subsequent amendments, and assign personnel to each patient care unit in accordance with the plan. Factors to be considered and incorporated in the development of the clinical staffing plan shall include, but are not limited to:

(i) census, including total numbers of patients on the unit on each shift and activity such as patient discharges, admissions, and transfers;

(ii) measures of acuity and intensity of all patients and nature of the care to be delivered on each unit and shift;

(iii) skill mix;

(iv) the availability, level of experience, and specialty certification or training of nursing personnel providing patient care, including charge nurses, on each unit and shift;

(v) the need for specialized or intensive equipment;

(vi) the architecture and geography of the patient care unit, including but not limited to placement of patient rooms, treatment areas, nursing stations, medication preparation areas, and equipment;

(vii) mechanisms and procedures to provide for one-to-one patient observation, when needed, for patients on psychiatric or other units as appropriate;

(viii) other special characteristics of the unit or community patient population, including age, cultural and linguistic diversity and needs, functional ability, communication skills, and other relevant social or socio-economic factors;

(ix) measures to increase worker and patient safety, which could include measures to improve patient throughput;

(x) staffing guidelines adopted or published by other states or local jurisdictions, national nursing professional associations, specialty nursing organizations, and other health professional organizations;

(xi) availability of other personnel supporting nursing services on the unit;

(xii) waiver of plan requirements in the case of unforeseeable emergency circumstances as defined in subdivision fourteen of section 2805 t of the Public Health Law;

(xiii) coverage to enable registered nurses, licensed practical nurses, and ancillary staff to take meal and rest breaks, planned time off, and unplanned absences that are reasonably foreseeable as required by law or the terms of an applicable collective bargaining agreement, if any, between the general hospital and a representative of the nursing or ancillary staff;

(xiv) the nursing quality indicators required under section 400.25 of this Title;

(xv) general hospital finances and resources; and

(xvi) provisions for limited short-term adjustments made by appropriate general hospital personnel overseeing patient care operations to the staffing levels required by the plan, necessary to account for unexpected changes in circumstances that are to be of limited duration.

Paragraph (1) of subdivision (a) of section 405.12 of Title 10 is amended to read as follows:

(1) The operating room shall be supervised by a registered professional nurse or physician who the hospital finds qualified by training and experience for this role.

(i) Nursing personnel shall be on duty in sufficient number for the surgical suite in accordance with the needs of patients and the complexity of services they are to receive *and in accordance with the annual clinical staffing plan established under paragraph (8) of subdivision (a) of section 405.5 of this Title*.

* * *

Paragraph (2) of subdivision (d) of section 405.19 of Title 10 is amended to read as follows:

(2) Nursing services *shall be in accordance with the annual clinical staffing plan established under paragraph (8) of subdivision (a) of section 405.5 of this Title. In addition*:

* * *

Subparagraph (iv) of paragraph (2) of subdivision (d) of section 405.21 is amended to read as follows:

(iv) Level II, Level III and RPC perinatal care services shall maintain a nursing staff *in accordance with the annual clinical staffing plan established under paragraph (8) of subdivision (a) of section 405.5 of this Title* that is appropriately trained and adequate in size to provide specialized care to distressed [mothers and infants] *patients*. The number of patient care staff on duty during any shift shall reflect the volume and [nature] *acuity* of patient services being provided during that shift.

Subdivisions (a), (b), and (d) of section 405.22 of Title 10 are amended to read as follows:

(a) General provisions. Critical care and special care services are those services which are organized and provided for patients requiring care on a concentrated or continuous basis to meet special health care needs. Each service shall be provided with a concentration of professional staff and supportive services that are appropriate to the scope of services provided.

* * *

(5) Minimum nurse to patient ratios for intensive care and critical care patients. There shall be a minimum of one registered professional nurse assigned to care for every two patients that an attending practitioner determines to require intensive or critical care.

(i) The minimum registered professional nurse-to-patient ratio set forth in this subdivision shall apply whenever the attending practitioner determines that the condition and medical needs of the patient requires admission to an intensive care unit (ICU) or critical care unit (CCU), and considers the continued need for that level of care based on ongoing assessments. The minimum staffing standard or ratio provided to a patient in an ICU or CCU shall be based on patient acuity, as determined by the attending practitioner and not solely based on the location of the patient.

(ii) The minimum staffing requirements of this subdivision shall not apply to a patient when:

(a) the attending practitioner has determined that a patient in the ICU or CCU no longer requires intensive or critical care or the patient is awaiting transfer to a lower level of care unit; or

(b) a patient is placed in the ICU or CCU when an acute care or other inpatient service bed is not available and the attending practitioner has determined that the patient in the ICU or CCU does not require intensive or critical care;

(iii) Complaints of potential violations of this subdivision shall be made to the clinical staffing committee. Complaints of potential violations of this subdivision, that have first been submitted to the clinical staffing committee, may be made to the department if they remain unresolved by the clinical staffing committee after 90 days have elapsed.

(b) Pediatric Intensive Care Unit (PICU) Services.

* * *

(2) General.

* * *

(ii) Organization and Direction. The PICU shall be directed by a board certified pediatric medical, surgical, or anesthesiology critical care/intensivist physician who shall be responsible for the organization and delivery of PICU care and has specialized training and demonstrated competence in pediatric critical care. Such physician in conjunction with the nursing leadership responsible for the PICU shall participate in administrative aspects of the PICU. Such responsibilities shall include development and annual review of PICU policies and procedures, oversight of patient care, quality improvement activities, and staff training and development.

* * *

(d) The PICU shall provide registered professional nursing staffing sufficient to meet critically ill or injured pediatric patient needs, ensure patient safety and provide quality care*, and that meets the ICU clinical staffing plan requirements in subdivision (c) of this section*.

* * *

(d) Burn unit/center.

(1) Personnel and staffing.

(i) A burn unit/center shall designate a director who is a board-certified or board-admissible general or plastic surgeon with one additional year of specialized training in burn therapy or equivalent experience in burn patient care.

(ii) Staff for the burn unit/center shall *be in accordance with the annual clinical staffing plan established under paragraph (8) of subdivision (a) of section 405.5 of this Title and shall* include:

* * *

Paragraph (5) of subdivision (p) of section 405.31 of Title 10 is amended to read as follows:

(5) Nursing Minimum Staffing Requirements. *Nurse staffing shall be in accordance with the annual clinical staffing plan established under paragraph (8) of subdivision (a) of section 405.5 of this Title. In addition*:

(i) Nursing staff shall have ongoing education and training in live donor liver transplantation nursing care (donor and recipient). This shall include education in the pain management issues particular to the donor. The registered professional nursing ratio shall be at least one registered professional nurse for every two patients (1:2) in the ICU/PACU level setting, increased as appropriate for the acuity level of the patients.

(ii) After the donor is transferred from the ICU/PACU, the registered professional nursing ratio shall be at least 1:4 on all shifts, increased as appropriate for the acuity level of the patients

(iii) The same registered professional nurse shall not take care of both the donor and the recipient.

(iv) The nursing service shall verify that the potential donor received appropriate pre-surgical information.

(v) The names and contact numbers of the transplant team shall be posted on all units receiving transplant donors.

Text of proposed rule and any required statements and analyses may be obtained from: Katherine Ceroalo, DOH, Bureau of Program Counsel, Reg. Affairs Unit, Room 2438, ESP Tower Building, Albany, NY 12237, (518) 473-7488, email: regsqna@health.ny.gov

Data, views or arguments may be submitted to: Same as above.

Public comment will be received until: 60 days after publication of this notice.

This rule was not under consideration at the time this agency submitted its Regulatory Agenda for publication in the Register.

Regulatory Impact Statement

Statutory Authority:

Public Health Law (PHL) § 2803(2)(a) authorizes the Public Health and Health Planning Council (PHHPC) to adopt and amend rules and regulations, subject to the approval of the Commissioner of Health (Commissioner), to implement PHL Article 28 and establish minimum standards for health care facilities.

PHL § 2805t(5) requires the Commissioner to promulgate regulations relating to nurse staffing in intensive care and critical care units of general hospitals. Such regulations must consider the factors set forth in PHL § 2805t(4)(b), standards in place in neighboring states, and a minimum standard of 12 hours of registered nurse care per patient day.

In addition, PHL § 2805t(5) states that a clinical staffing plan shall comply with "[r]egulations made by the department on burn unit staffing, liver transplant staffing, and operating room circulating nurse staffing."

PHL § 2805t(17)(c) requires the Commissioner to promulgate regulations to provide a uniform format or form for complying with reporting requirements.

Legislative Objectives:

The objective of Chapter 155 of the Laws of 2021 is to establish clinical staffing committees and staffing plans for nursing and unlicensed direct care staff in hospitals to help ensure that these facilities operate in a manner that guarantees the public safety and the delivery of quality health care services.

Needs and Benefits:

Rulemaking was necessitated by the addition of Section 2805-t to the Public Health Law under Chapter 155 of the Laws of 2021. These regulations are needed to incorporate the statutory clinical staffing committee requirements and factors for consideration regarding staffing of the intensive and critical care units into the general hospital operational standards regulations.

Having safe and appropriate levels of nurse and ancillary member staffing has been shown to reduce avoidable and adverse patient outcomes. Research has demonstrated that hospitals with lower nurse staffing levels have higher rates of pneumonia, shock, cardiac arrest, urinary tract infections and upper gastrointestinal bleeds; all leading to higher costs and mortality from hospital-acquired complications. The improved outcomes reduce medical malpractice and other penalties resulting from avoidable occurrences and poor patient satisfaction. In addition, assuring sufficient staffing of hospital personnel protects patients and supports greater retention of nurses and promotes safer working conditions.

Allowing each hospital to collaboratively develop these clinical staffing plans with the nurses and other staff will allow for the best staffing outcomes at these hospitals. With a hospital-by-hospital approach, they will be able to balance what is best for the patient and workforce while taking into account the varying needs of each individual hospital.

Establishing these clinical staffing committees and staffing plans for nursing and unlicensed direct care staff in hospitals will help ensure that these facilities operate in a manner that guarantees the public safety and the delivery of quality health care services.

Costs:

Nominal costs are associated with the implementation of these regulations, as further outlined below.

Costs to Private Regulated Parties:

Nominal costs may be incurred by a general hospital operator to adhere to these regulations. This cost will be incurred by the current operator of the facility and would relate to the convening of a clinical staffing committee and production of the clinical staffing plan developed, including staff time to discuss, agree upon, produce and disseminate the clinical staffing plan.

Costs to Local Governments:

There are 14 hospitals owned by the counties and municipalities which will be affected by this regulation and the costs associated with it.

Cost to State Government:

The annual costs to Department of Health operations for implementation of this regulation is estimated at $1.82 million. The regulation is anticipated to require 75 additional on-site hospital surveys per year, or the equivalent of one survey per hospital over a three-year period. The Department would require 2 teams, each consisting of 3 surveillance staff, to perform on-site inspection and enforcement activities. An additional 6 staff would be required for establishment of a Hospital Complaint Intake program and to meet other administrative and reporting requirements.

The Department currently contracts with IPRO for a portion of hospital surveillance activities, including managing postings on the NYS Hospital Profiles website. Without the establishment of a State staff-only hospital staffing enforcement program, this regulation would likely result in additional costs for contract Registered Nurse surveillance staff.

Local Government Mandates:

General hospitals that fall under the jurisdiction of local government will be affected and be subject to the same requirements as any other general hospital established under PHL Article 28.

Paperwork:

Each hospital was required to file their clinical staffing plan to the Department by July 31, 2022, in the matter and form determined by the Department. Each hospital will continue to be required, at least annually by every July 31, to file their clinical staffing plan to the Department.

General hospitals shall submit information for complying with the reporting requirements of Public Health Law Section 2805 t(17)(a)(i), (ii) and (iii), allowing patients and the public to clearly understand and compare staffing patterns and actual levels of staffing across facilities. Such information shall be filed with the department by filling out the General Hospital Clinical Staffing Plan Template using the Health Electronic Reporting Data System (HERDS) on the Health Commerce System (HCS).

Duplication:

These proposed regulatory amendments do not duplicate State or federal rules.

Alternatives:

The amended regulations implement the statutory requirements for the development of clinical staffing plans and the form they shall take. The Department considered current standards employed in all states regarding staffing requirements for direct care staff. The Department also considered the impact of implementation of an absolute threshold or fixed ratio for staffing decisions on patient safety, consistent with the provision of a high level of care, staffing availability and data availability. To promote the general quality of care rendered by a general hospital through improved staffing, the Department's regulatory framework requires consideration of the combination of factors staffing committees would find relevant to assessing quality of care and patient safety in the general hospital. The Department also included additional factors to consider in the development of staffing requirements for critical and intensive care units.

On February 16, 2022, the Department proposed a rule that did not establish staffing ratios for ICU and critical care units of general hospitals. This regulation, as revised, now requires one registered professional nurse for every two intensive care or critical care patients.

Federal Standards:

The amended regulations do not exceed any minimum standards of the federal government.

Compliance Schedule:

The amended regulations will take effect upon publication of a Notice of Adoption in the State Register.

Regulatory Flexibility Analysis

Effect of Rule:

Local governments and small businesses will not be affected by this rule, unless they operate a general hospital. Where a local government or small business operates a general hospital, they will be similarly affected as any other regulated entity under the rule. There are 15 general hospitals owned by municipalities and local governments. The Department does not anticipate an increase in general hospital establishment applications by such applicants as a result of the proposed regulation.

Compliance Requirements:

Regulated parties are expected to be in compliance with the amended regulations as of January 1, 2022. The amended regulations will define new, statutory requirements for general hospitals to create a clinical staffing committee made up of registered nurses, licensed practical nurses, ancillary staff members providing direct patient care, and hospital administrators. The committee will be responsible for developing and overseeing the implementation of a clinical staffing plan, which must take into account several factors. Clinical staffing plans are required to be completed and submitted to the Department by July 1 each year, and they must specifically address intensive and critical care unit staffing, requiring at least 12 hours of registered nurse care per patient day.

Professional Services:

These regulations are not expected to require any additional use of professional services.

Compliance Costs:

Nominal costs may be incurred by a general hospital operator to adhere to these regulations. This cost will be incurred by the current operator of the facility and would relate to the convening of a clinical staffing committee and production of the clinical staffing plan developed, including staff time to discuss, agree upon, produce and disseminate the clinical staffing plan.

Economic and Technological Feasibility:

There are no economic or technological impediments to the proposed regulatory amendments.

Minimizing Adverse Impact:

Minimal flexibility exists to minimize impact since these new requirements are statutory and apply to all general hospital operators. Operators will convene their own clinical staffing committee to determine the appropriate staffing levels, with inclusion of factors that consider the unique operating situation of each general hospital.

Small Business and Local Government Participation:

The Department has already taken several steps to notify the hospital industry on the effects of this regulation and has provided the opportunity for public comment. On October 7, 2021, at the Public Health and Health Planning Council (PHHPC), the Department first presented a version of this regulation for information and discussion purposes. At that meeting the regulation was reviewed and discussed by PHHPC members. In addition, the public, including the effected parties to this regulation, were afforded and opportunity to ask questions and provide comments.

This revised regulation was presented to PHHPC again on February 9, 2023.

In addition, there were conference calls made to the various associations representing the nursing home industry to inform them of the regulation and to provide an opportunity to ask questions.

Further, the regulation will be filed in the State Register, providing another opportunity for public comments and review. Once completed, the regulation will again go to PHHPC where there will be another opportunity for public comment.

Cure Period:

Chapter 524 of the Laws of 2011 requires agencies to include a "cure period" or other opportunity for ameliorative action to prevent the imposition of penalties on a party subject to enforcement when developing a regulation or explain in the Regulatory Flexibility Analysis why one is not included. This regulation creates no new penalty or sanction. Hence, a cure period is not necessary.

Rural Area Flexibility Analysis

Types and Numbers of Rural Areas:

This rule applies uniformly throughout the state, including rural areas. Rural areas, for the purpose of this Rural Area Flexibility Analysis (RAFA), are defined under Exec. Law § 481(7) (SAPA § 102(10)). Per Exec. Law § 481(7), rural areas are defined as "counties within the state having less than two hundred thousand population, and the municipalities, individuals, institutions, communities, and programs and such other entities or resources found therein. In counties of two hundred thousand or greater population 'rural areas' means towns with population densities of one hundred fifty persons or less per square mile, and the villages, individuals, institutions, communities, programs and such other entities or resources as are found therein." The following 43 counties have a population of less than 200,000 based upon the United States Census estimated county populations for 2010:

Allegany County	Greene County	Schoharie County
Cattaraugus County	Hamilton County	Schuyler County
Cayuga County	Herkimer County	Seneca County
Chautauqua County	Jefferson County	St. Lawrence County
Chemung County	Lewis County	Steuben County
Chenango County	Livingston County	Sullivan County
Clinton County	Madison County	Tioga County
Columbia County	Montgomery County	Tompkins County
Cortland County	Ontario County	Ulster County
Delaware County	Orleans County	Warren County
Essex County	Oswego County	Washington County
Franklin County	Otsego County	Wayne County
Fulton County	Putnam County	Wyoming County
Genesee County	Rensselaer County	Yates County
	Schenectady County	

The following counties have a population of 200,000 or greater and towns with population densities of 150 persons or fewer per square mile. Data is based upon the United States Census estimated county populations for 2010.

Albany County	Monroe County	Orange County
Broome County	Niagara County	Saratoga County
Dutchess County	Oneida County	Suffolk County
Erie County	Onondaga County	

Reporting, Recordkeeping, and Other Compliance Requirements; and Professional Services:

General hospital operators are expected to be in compliance with the amended regulations as of January 1, 2022. There are several general hospitals in rural areas. The amended regulations will define new, statutory requirements for general hospitals to create a clinical staffing committee made up of registered nurses, licensed practical nurses, ancillary staff members providing direct patient care, and hospital administrators. The committee will be responsible for developing and overseeing the implementation of a clinical staffing plan, which must take into account several factors. Clinical staffing plans are required to be completed and submitted to the Department by July 1 each year, and specifically address intensive and critical care unit staffing requiring at least 12 hours of registered nurse care per patient day. Record keeping will be related to general hospital operators having to provide their staffing plan to the Department July 1 of each year. No additional professional staff are expected to be needed as a result of the amended regulations.

Costs:

Per SAPA § 202-bb(3)(c), it is not anticipated that there will be any significant variation in cost for different types of public and private entities in rural areas.

Minimizing Adverse Impact:

The amended regulations do not create any adverse effect on regulated parties.

Rural Area Participation:

Organizations who represent the affected parties and the public can obtain the agenda of the Codes and Regulations Committee of the Public Health and Health Planning Council and a copy of the proposed regulation on the Department's website. The public, including any affected party, is invited to comment during the Codes and Regulations Committee meeting. The Department will engage in active discussions and dialogue with all interested parties, including industry associations directly impacted by this regulation, to inform them of their need to comply, to answer questions and listen to comments they may have on this regulation.

Job Impact Statement

The Department has determined that the amended regulations are likely to not have a substantial adverse impact on jobs and employment opportunities. The new clincial staffing plan provisions codifies standard industry considerations in the apportionment of clinical staffing in a general hospital. Such a staffing plan will be developed and executed by existing staff resources. If there is to be any impact on jobs under the regulaitons, it is likely that general hospitals would need to increase their count of clincial staffing positions, if a general hospital determines under their plan that such additional staff is necessary for compliance. The amended regulations should not cause a change to the workload for the establishment a clinical staffing plan and is most likely to not increase nor decrease jobs and employment opportunities.

Department of Labor

NOTICE OF EXPIRATION

The following notice has expired and cannot be reconsidered unless the Department of Labor publishes a new notice of proposed rule making in the NYS *Register*.

Workplace Safety Committees

I.D. No.	Proposed	Expiration Date
LAB-51-21-00007-P	December 22, 2021	February 9, 2023

Public Service Commission

PROPOSED RULE MAKING NO HEARING(S) SCHEDULED

Notice of Intent to Submeter Electricity

I.D. No. PSC-09-23-00021-P

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following proposed rule:

Proposed Action: The Commission is considering the notice of intent of RXR 2413 Third Owner LLC to submeter electricity at 2413 Third Avenue, Bronx, New York.

Statutory authority: Public Service Law, sections 2, 4(1), 30, 32-48, 52, 53, 65(1), 66(1), (2), (3), (4), (12) and (14)

Subject: Notice of intent to submeter electricity.

Purpose: To ensure adequate submetering equipment and consumer protections are in place.

Substance of proposed rule: The Commission is considering the notice of intent filed by RXR 2413 Third Owner LLC (Owner) on November 30, 2022, seeking authority to submeter electricity at a new rental building with both fair-market and affordable units, located at 2413 Third Avenue, Bronx, New York, located in the service territory of Consolidated Edison Company of New York, Inc. (Con Edison).

In the notice of intent, the Owner requests authorization to take electric service from Con Edison and then distribute and meter that electricity to its residents. Once approved by the Commission, submetering of electricity to residents is allowed so long as it complies with the protections and requirements of the Commission's regulations in 16 NYCRR Part 96.

The full text of the notice of intent and the full record of the proceeding may be reviewed online at the Department of Public Service web page: www.dps.ny.gov. The Commission may adopt, reject or modify, in whole or in part, the action proposed and may resolve related matters.

Text of proposed rule and any required statements and analyses may be obtained by filing a Document Request Form (F-96) located on our website http://www.dps.ny.gov/f96dir.htm. For questions, contact: John Pitucci, Public Service Commission, 3 Empire State Plaza, Albany, New York 12223-1350, (518) 486-2655, email: john.pitucci@dps.ny.gov

Data, views or arguments may be submitted to: Michelle L. Phillips, Secretary, Public Service Commission, 3 Empire State Plaza, Albany, New York 12223-1350, (518) 474-6530, email: secretary@dps.ny.gov

Public comment will be received until: 60 days after publication of this notice.

Regulatory Impact Statement, Regulatory Flexibility Analysis, Rural Area Flexibility Analysis and Job Impact Statement

Statements and analyses are not submitted with this notice because the proposed rule is within the definition contained in section 102(2)(a)(ii) of the State Administrative Procedure Act.

(22-E-0664SP1)

PROPOSED RULE MAKING NO HEARING(S) SCHEDULED

Notice of Intent to Submeter Electricity and Request for Waiver

I.D. No. PSC-09-23-00022-P

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following proposed rule:

Proposed Action: The Commission is considering the notice of intent of 111 Willoughby Owner LLC to submeter electricity at 107-111 Willoughby Street, Brooklyn, New York, and request for waiver of the requirement of an energy audit in 16 NYCRR section 96.5(k)(3).

Statutory authority: Public Service Law, sections 2, 4(1), 30, 32-48, 52, 53, 65(1), 66(1), (2), (3), (4), (12) and (14)

Subject: Notice of intent to submeter electricity and request for waiver.

Purpose: To ensure adequate submetering equipment, consumer protections and energy efficiency protections are in place.

Substance of proposed rule: The Public Service Commission (Commission) is considering the notice of intent filed by 111 Willoughby Owner LLC (Owner) on November 15, 2022, seeking authority to submeter electricity at a new rental building with both fair-market and affordable units, located at 107-111 Willoughby Street, Brooklyn, New York, located in the service territory of Consolidated Edison Company of New York, Inc. (Con Edison).

The Owner also requested waiver of 16 NYCRR § 96.5(k)(3), the requirement for an energy audit for buildings where 20 percent or more of the residents receive income-based housing assistance.

In the notice of intent, the Owner requests authorization to take electric service from Con Edison and then distribute and meter that electricity to its residents. Submetering of electricity to residential tenants is allowed so long as it complies with the protections and requirements of the Commission's regulations in 16 NYCRR Part 96.

The Commission is also considering the Owner's request for a waiver of 16 NYCRR § 96.5(k)(3), which requires proof that an energy audit has been conducted when 20 percent or more of the residents receive income-based housing assistance. The Owner states that, because the building is new construction it must comply with current New York City Energy Conservation Code, which sets strict energy-efficiency standards for new construction, including the design and construction of energy-efficient building envelopes, mechanical, lighting and power systems. Given these requirements, the qwner states that an energy audit is not necessary in this case.

Text of proposed rule and any required statements and analyses may be obtained by filing a Document Request Form (F-96) located on our website http://www.dps.ny.gov/f96dir.htm. For questions, contact: John Pitucci, Public Service Commission, 3 Empire State Plaza, Albany, New York 12223-1350, (518) 486-2655, email: john.pitucci@dps.ny.gov

Data, views or arguments may be submitted to: Michelle L. Phillips, Secretary, Public Service Commission, 3 Empire State Plaza, Albany, New York 12223-1350, (518) 474-6530, email: secretary@dps.ny.gov

Public comment will be received until: 60 days after publication of this notice.

Regulatory Impact Statement, Regulatory Flexibility Analysis, Rural Area Flexibility Analysis and Job Impact Statement

Statements and analyses are not submitted with this notice because the proposed rule is within the definition contained in section 102(2)(a)(ii) of the State Administrative Procedure Act.

(22-E-0647SP1)

PROPOSED RULE MAKING NO HEARING(S) SCHEDULED

Electric Metering Equipment

I.D. No. PSC-09-23-00023-P

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following proposed rule:

Proposed Action: The Public Service Commission is considering a petition filed by Consolidated Edison Company of New York, Inc. to use the ABB Inc. KOR-20ER High Accuracy/Extended Range Current Transformer in electric metering applications in New York State.

Statutory authority: Public Service Law, section 67(1)

Subject: Electric metering equipment.

Purpose: To consider use of electric metering equipment and ensure consumer bills are based on accurate measurements of electric usage.

Substance of proposed rule: The Public Service Commission is considering a petition filed on December 20, 2022, by Consolidated Edison Company of New York, Inc. (Company), seeking authorization to use for electric metering applications in New York the ABB Inc. KOR-20ER Extended Range/High Accuracy Current Transformer (Device) – a metering device designed to measure 34.5 kilovolt outdoor Systems.

The Company states that the Device is physically configured in the same manner as the model KOR-20 transformer that the Commission has previously approved for use, but has a higher accuracy and extended range. The Commission requires new types of electric meters and metering equipment, used to measure a customer's electric usage, conform to the requirements of 16 NYCRR § 93 and be approved by the Commission before being used for customer billing purposes.

The full text of the petition and the full record of the proceeding may be reviewed online at the Department of Public Service web page: www.dps.ny.gov. The Commission may adopt, modify or reject, in whole or in part, the action proposed, and may resolve related matters.

Text of proposed rule and any required statements and analyses may be obtained by filing a Document Request Form (F-96) located on our website http://www.dps.ny.gov/f96dir.htm. For questions, contact: John Pitucci, Public Service Commission, 3 Empire State Plaza, Albany, New York 12223-1350, (518) 486-2655, email: john.pitucci@dps.ny.gov

Data, views or arguments may be submitted to: Michelle L. Phillips, Secretary, Public Service Commission, 3 Empire State Plaza, Albany, New York 12223-1350, (518) 474-6530, email: secretary@dps.ny.gov

Public comment will be received until: 60 days after publication of this notice.

Regulatory Impact Statement, Regulatory Flexibility Analysis, Rural Area Flexibility Analysis and Job Impact Statement

Statements and analyses are not submitted with this notice because the proposed rule is within the definition contained in section 102(2)(a)(ii) of the State Administrative Procedure Act.

(22-E-0708SP1)

PROPOSED RULE MAKING
NO HEARING(S) SCHEDULED

Development Periods for Residential Developments

I.D. No. PSC-09-23-00024-P

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following proposed rule:

Proposed Action: The Commission is considering the Department of Public Service Staff Proposal Regarding Development Periods for Residential Developments Impacted by the COVID-19 Construction Moratorium.

Statutory authority: Public Service Law, sections 5, 65 and 66

Subject: Development periods for residential developments.

Purpose: To consider waiving the five-year limit on development periods impacted by COVID-19, extending it by one year.

Substance of proposed rule: The Public Service Commission (Commission) is considering a proposal filed on February 10, 2023 by Department of Public Service staff (Staff), proposing to waive Title 16 of the New York Codes, Rules and Regulations (NYCRR) § 100.3(b) and related tariff provisions to the extent they authorize a utility to retain the deposit provided by a developer of a residential subdivision after five years of the date the utility is first ready to render service (Development Period).

The Commission's regulations at 16 NYCRR § 100.3, and utility tariffs incorporating similar provisions to the Commission's regulation for both gas and electric service, provide that a non-residing applicant for utility service must provide a deposit to the utility equal to the estimated cost of construction. The deposit is returned to the applicant, on a pro rata basis, as each lot is sold and new customers take service from the utility. Section 100.3(b) provides that any portion of the deposit remaining unrefunded five years after the date the utility is first ready to render service shall be retained by the utility. At the beginning of the COVID-19 pandemic the Governor signed the NY ON PAUSE Executive Order. The Pause Order included a construction moratorium, which interrupted the 2020 construction season from March 23, 2020 until June 8, 2020. This construction moratorium has delayed many residential developments in New York. Staff's proposal would extend the Development Periods by one year for all developments that were directly impacted by the construction moratorium.

The full text of the proposal and the full record of the proceeding may be viewed online at the Department of Public Service web page: www.dps.ny.gov. The Commission may adopt, reject, or modify, in whole or in part, the action proposed and may resolve related matters.

Text of proposed rule and any required statements and analyses may be obtained by filing a Document Request Form (F-96) located on our website http://www.dps.ny.gov/f96dir.htm. For questions, contact: John Pitucci, Public Service Commission, 3 Empire State Plaza, Albany, New York 12223-1350, (518) 486-2655, email: john.pitucci@dps.ny.gov

Data, views or arguments may be submitted to: Michelle L. Phillips, Secretary, Public Service Commission, 3 Empire State Plaza, Albany, New York 12223-1350, (518) 474-6530, email: secretary@dps.ny.gov

Public comment will be received until: 60 days after publication of this notice.

Regulatory Impact Statement, Regulatory Flexibility Analysis, Rural Area Flexibility Analysis and Job Impact Statement

Statements and analyses are not submitted with this notice because the proposed rule is within the definition contained in section 102(2)(a)(ii) of the State Administrative Procedure Act.

(23-M-0080SP1)

PROPOSED RULE MAKING
NO HEARING(S) SCHEDULED

Energy Efficiency and Building Electrification Programs

I.D. No. PSC-09-23-00025-P

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following proposed rule:

Proposed Action: The Commission is considering a petition filed by Central Hudson Gas & Electric Corporation to modify its energy efficiency program targets and budgets and to increase funding for its Clean Heat Program and recover the associated costs.

Statutory authority: Public Service Law, sections 4(1), 5(2), 65 and 66

Subject: Energy efficiency and building electrification programs.

Purpose: To identify and implement potential changes to the energy efficiency and building electrification programs.

Substance of proposed rule: The Public Service Commission (Commission) is considering a petition, filed by Central Hudson Gas & Electric Corporation (Central Hudson) on February 3, 2023, to modify its energy efficiency program targets and budgets, and to increase funding for its Clean Heat Program (Program) and recover the associated costs.

The petition seeks authorization for 1) increased flexibility to allow for additional budget and target transfers between portfolios by changing the New Efficiency New York (NENY) non-Low-to-Moderate-Income electric energy efficiency goals from annual targets and to rely instead solely on meeting the cumulative 2020-2025 targets to allow for the transfer of approximately $13.5 million of previously authorized NENY funding to support Central Hudson's implementation of its Program and 2) incremental funding of approximately $13.6 million to continue implementation of the Program through 2025. In order to accommodate the proposed spending levels, Central Hudson is requesting cost recovery for such accelerated spending via a surcharge, including related NENY costs already authorized and deferred for future collection under Central Hudson's current rate plan.

The full text of the petition and the full record of the proceeding may be reviewed online at the Department of Public Service web page: www.dps.ny.gov. The commission may adopt, reject, or modify, in whole or in part, the action proposed and may resolve related matters.

Text of proposed rule and any required statements and analyses may be obtained by filing a Document Request Form (F-96) located on our website http://www.dps.ny.gov/f96dir.htm. For questions, contact: John Pitucci, Public Service Commission, 3 Empire State Plaza, Albany, New York 12223-1350, (518) 486-2655, email: john.pitucci@dps.ny.gov

Data, views or arguments may be submitted to: Michelle L. Phillips, Secretary, Public Service Commission, 3 Empire State Plaza, Albany, New York 12223-1350, (518) 474-6517, email: secretary@dps.ny.gov

Public comment will be received until: 60 days after publication of this notice.

Regulatory Impact Statement, Regulatory Flexibility Analysis, Rural Area Flexibility Analysis and Job Impact Statement
Statements and analyses are not submitted with this notice because the proposed rule is within the definition contained in section 102(2)(a)(ii) of the State Administrative Procedure Act.
(18-M-0084SP8)

PROPOSED RULE MAKING NO HEARING(S) SCHEDULED

Electric Metering Equipment

I.D. No. PSC-09-23-00026-P

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following proposed rule:

Proposed Action: The Public Service Commission is considering a petition filed by Landis+Gyr Technology Inc. to use the Revelo E660 solid-state electric meter in electric metering applications in New York State.

Statutory authority: Public Service Law, section 67(1)

Subject: Electric metering equipment.

Purpose: To consider use of electric meter and ensure that consumer bills will be based on accurate measurements of electric usage.

Substance of proposed rule: The Public Service Commission (Commission) is considering a petition filed on January 19, 2023, by Landis+Gyr Technology Inc. to use the Revelo E660 solid-state electric meters – developed for high-end metering and analysis – in electric metering applications in New York State.

The Commission's regulations require new types of electric meters and associated devices, used to measure a customer's electric usage, be tested and approved by the Commission before being used for customer billing purposes. The application must conform to the requirements of 16 NYCRR § 93.

The full text of the petition and the full record of the proceeding may be reviewed online at the Department of Public Service web page: www.dps.ny.gov. The Commission may adopt, modify or reject, in whole or in part, the action proposed, and may resolve related matters.

Text of proposed rule and any required statements and analyses may be obtained by filing a Document Request Form (F-96) located on our website http://www.dps.ny.gov/f96dir.htm. For questions, contact: John Pitucci, Public Service Commission, 3 Empire State Plaza, Albany, New York 12223-1350, (518) 486-2655, email: john.pitucci@dps.ny.gov

Data, views or arguments may be submitted to: Michelle L. Phillips, Secretary, Public Service Commission, 3 Empire State Plaza, Albany, New York 12223-1350, (518) 474-6530, email: secretary@dps.ny.gov

Public comment will be received until: 60 days after publication of this notice.

Regulatory Impact Statement, Regulatory Flexibility Analysis, Rural Area Flexibility Analysis and Job Impact Statement
Statements and analyses are not submitted with this notice because the proposed rule is within the definition contained in section 102(2)(a)(ii) of the State Administrative Procedure Act.
(23-E-0041SP1)

PROPOSED RULE MAKING NO HEARING(S) SCHEDULED

Notice of Intent to Submeter Electricity

I.D. No. PSC-09-23-00027-P

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following proposed rule:

Proposed Action: The Commission is considering the notice of intent of 28 South Division Owner LLC to submeter electricity at 50 Clinton Place, New Rochelle, New York.

Statutory authority: Public Service Law, sections 2, 4(1), 30, 32-48, 52, 53, 65(1), 66(1), (2), (3), (4), (12) and (14)

Subject: Notice of intent to submeter electricity.

Purpose: To ensure adequate submetering equipment and consumer protections are in place.

Substance of proposed rule: The full text of the petition and the full record of the proceeding may be reviewed online at the Department of Public Service web page: www.dps.ny.gov. The Commission may adopt, modify or reject, in whole or in part, the action proposed, and may resolve related matters.

In the notice of intent, the Owner requests authorization to take electric service from Con Edison and then distribute and meter that electricity to its residents. Once approved by the Commission, submetering of electricity to residents is allowed so long as it complies with the protections and requirements of the Commission's regulations in 16 NYCRR Part 96.

The full text of the notice of intent and the full record of the proceeding may be reviewed online at the Department of Public Service web page: www.dps.ny.gov. The Commission may adopt, reject or modify, in whole or in part, the action proposed and may resolve related matters.

Text of proposed rule and any required statements and analyses may be obtained by filing a Document Request Form (F-96) located on our website http://www.dps.ny.gov/f96dir.htm. For questions, contact: John Pitucci, Public Service Commission, 3 Empire State Plaza, Albany, New York 12223-1350, (518) 486-2655, email: john.pitucci@dps.ny.gov

Data, views or arguments may be submitted to: Michelle L. Phillips, Secretary, Public Service Commission, 3 Empire State Plaza, Albany, New York 12223-1350, (518) 474-6530, email: secretary@dps.ny.gov

Public comment will be received until: 60 days after publication of this notice.

Regulatory Impact Statement, Regulatory Flexibility Analysis, Rural Area Flexibility Analysis and Job Impact Statement
Statements and analyses are not submitted with this notice because the proposed rule is within the definition contained in section 102(2)(a)(ii) of the State Administrative Procedure Act.
(23-E-0050SP1)

Department of Taxation and Finance

NOTICE OF ADOPTION

Fuel Use Tax on Motor Fuel and Diesel Motor Fuel and the Art. 13-A Carrier Tax Jointly Administered Therewith

I.D. No. TAF-46-22-00011-A
Filing No. 112
Filing Date: 2023-02-10
Effective Date: 2023-02-10

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following action:

Action taken: Amendment of section 492.1(b)(1) of Title 20 NYCRR.

Statutory authority: Tax Law, sections 171, subd. First, 301-h(c), 509(7), 523(b) and 528(a)

Subject: Fuel use tax on motor fuel and diesel motor fuel and the art. 13-A carrier tax jointly administered therewith.

Purpose: To set the sales tax component and the composite rate per gallon for the period January 1, 2023 through March 31, 2023.

Text or summary was published in the November 16, 2022 issue of the Register, I.D. No. TAF-46-22-00011-P.

Final rule as compared with last published rule: No changes.

Text of rule and any required statements and analyses may be obtained from: Kathleen D. Chase, Regulations Specialist, Department of Taxation and Finance, Office of Counsel, Building 9, W.A. Harriman Campus, Albany, NY 12227, (518) 530-4153, email: kathleen.chase@tax.ny.gov

Assessment of Public Comment
The agency received no public comment.

PROPOSED RULE MAKING
NO HEARING(S) SCHEDULED

Fuel Use Tax on Motor Fuel and Diesel Motor Fuel and the Art. 13-A Carrier Tax Jointly Administered Therewith

I.D. No. TAF-09-23-00017-P

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following proposed rule:

Proposed Action: Amendment of section 492.1(b)(1) of Title 20 NYCRR.

Statutory authority: Tax Law, sections 171, subd. First, 301-h(c), 509(7), 523(b) and 528(a)

Subject: Fuel use tax on motor fuel and diesel motor fuel and the art. 13-A carrier tax jointly administered therewith.

Purpose: To set the sales tax component and the composite rate per gallon for the period April 1, 2023 through June 30, 2023.

Text of proposed rule: Paragraph (1) of subdivision (b) of section 492.1 of such regulations is amended by adding a new subparagraph (cx) to read as follows:

Motor Fuel			Diesel Motor Fuel		
Sales Tax Component	Composite Rate	Aggregate Rate	Sales Tax Component	Composite Rate	Aggregate Rate
(cix) January – March 2023					
14.0	22.0	40.1	14.0	22.0	38.35
(cx) April – June 2023					
16.0	*24.0*	*42.1*	*16.0*	*24.0*	*40.35*

Text of proposed rule and any required statements and analyses may be obtained from: Kathleen D. Chase, Regulations Specialist, Department of Taxation and Finance, Office of Counsel, Building 9, W.A. Harriman Campus, Albany, NY 12227, (518) 530-4153, email: kathleen.chase@tax.ny.gov

Data, views or arguments may be submitted to: Same as above.

Public comment will be received until: 60 days after publication of this notice.

Regulatory Impact Statement, Regulatory Flexibility Analysis, Rural Area Flexibility Analysis and Job Impact Statement

Statements and analyses are not submitted with this notice because the proposed rule is within the definition contained in section 102(2)(a)(ii) of the State Administrative Procedure Act.

Triborough Bridge and Tunnel Authority

PROPOSED RULE MAKING
NO HEARING(S) SCHEDULED

A Proposal to Establish a New Crossing Charge Schedule for Use of Bridges and Tunnels Operated by TBTA

I.D. No. TBA-09-23-00018-P

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following proposed rule:

Proposed Action: Repeal of section 1021.1; addition of new section 1021.1 to Title 21 NYCRR.

Statutory authority: Public Authorities Law, section 553(5)

Subject: A proposal to establish a new crossing charge schedule for use of bridges and tunnels operated by TBTA.

Purpose: A proposal to fund ongoing operations.

Text of proposed rule: *TRIBOROUGH BRIDGE AND TUNNEL AUTHORITY CROSSING CHARGES*

A. For E-ZPass New York Customer Service Center (NYCSC) Customers When Using Their Properly Mounted NYCSC E-ZPass Tag

	VERRAZANO-NARROWS BRIDGE	*ROBERT F. KENNEDY, BRONX-WHITESTONE, AND THROGS NECK BRIDGES AND QUEENS MIDTOWN AND HUGH L CAREY TUNNELS*	*HENRY HUDSON BRIDGE*	*MARINE PARKWAY-GIL HODGES MEMORIAL, AND CROSS BAY VETERANS MEMORIAL BRIDGES*
CLASSIFICATION	*Crossing Charges*			
1 Two-axle vehicles, including: passenger vehicles, station wagons, self-propelled mobile homes, ambulances, hearses, vehicles with seating capacity of not more than 15 adult persons (including the driver) and trucks with maximum gross weight (MGW) of 7,000 lbs. and under	*$7.01*	*$7.01*	*$3.21*	*$2.62*
Registered Staten Island Residents using an eligible vehicle	*$3.94*			
Registered Rockaway Residents using an eligible vehicle				*$1.71*
Additional axles cost	*$4.58*	*$4.58*	*$3.43*	*$3.44*
2 All vehicles with MGW greater than 7,000 lbs. and buses (other than franchise buses using E-ZPass and motor homes)				
Two-axle vehicles	*$12.67*	*$12.67*		*$6.33*
Three-axle vehicles	*$20.76*	*$20.76*		*$10.38*
Four-axle vehicles	*$26.54*	*$26.54*		*$13.27*
Five-axle vehicles	*$34.59*	*$34.59*		*$17.30*
Vehicles Six-axles or greater	*$40.36*	*$40.36*		*$20.19*
3 Two or three-axle franchise buses	*$6.02*	*$6.02*		*$3.18*
4 Motorcycles	*$3.05*	*$3.05*	*$2.19*	*$2.19*

See Footnotes on next page

The Authority reserves the right to determine whether any vehicle is of unusual or unconventional design, weight or construction and therefore not within any of the listed categories. The Authority also reserves the right to determine the crossing charge for any such vehicle of unusual or unconventional design, weight or construction.

Bikes are not permitted over the Bronx-Whitestone, Throgs Neck, and Verrazzano-Narrows Bridges, or through the Queens Midtown and Hugh L. Carey Tunnels. Bikes may cross the Robert F. Kennedy, Henry Hudson, and Marine Parkway-Gil Hodges Bridges but must be walked across. Bikes may be ridden on the shared use path across the Cross Bay Veterans Memorial Bridge.

Only vehicles authorized to use parkways are authorized to use the Henry Hudson Bridge. An unauthorized vehicle using the Henry Hudson Bridge must pay the Marine Parkway-Gil Hodges Memorial Bridge rate.

E-ZPass crossing charges apply to New York E-ZPass Customer Service Center customers only and are available subject to terms, conditions and agreements established by the Authority.

There are no residential restrictions with regard to enrollment as a TBTA Customer in the New York Customer Service Center.

B. For Customers Using Fare Media Other Than New York Customer Service Center (NYCSC) E-ZPass Tag or Account

CLASSIFICATION	VERRAZANO-NARROWS BRIDGE	ROBERT F. KENNEDY, BRONX-WHITESTONE, AND THROGS NECK BRIDGES AND QUEENS MIDTOWN AND HUGH L CAREY TUNNELS	HENRY HUDSON BRIDGE	MARINE PARKWAY-GIL HODGES MEMORIAL, AND CROSS BAY VETERANS MEMORIAL BRIDGES
	Crossing Charges			
1 Two-axle vehicles, including: passenger vehicles, station wagons, self-propelled mobile homes, ambulances, hearses, vehicles with seating capacity of not more than 15 adult persons (including the driver) and trucks with maximum gross weight (MGW) of 7,000 lbs. and under	$10.88	$10.88	$8.03	$5.45
The following discounted charges are available for eligible class 1 vehicles:				
Charge per crossing for E-Tokens				$3.63
Charge per crossing for E-Tokens for registered Staten Island Residents using an eligible vehicle	$5.61			
Charge per crossing for E-Tokens for registered Rockaway Peninsula/Broad Channel Residents using an eligible vehicle				$2.35
Additional axles cost	$4.58	$4.58	$3.43	$3.43
2 All vehicles with MGW greater than 7,000 lbs. and buses (other than franchise buses using E-ZPass and motor homes)				
Two-axle vehicles	$21.77	$21.77		$10.88
Three-axle vehicles	$35.86	$35.86		$17.93
Four-axle vehicles	$44.82	$44.82		$22.41
Five-axle vehicles	$58.90	$58.90		$29.46
Vehicles six-axles or greater	$67.87	$67.87		$33.94
3 Two or three-axle franchise buses	$11.75	$11.75		$6.01
4 Motorcycles	$4.58	$4.58	$4.58	$4.58

See Footnotes on next page

The Authority reserves the right to determine whether any vehicle is of unusual or unconventional design, weight or construction and therefore not within any of the listed categories. The Authority also reserves the right to determine the crossing charge for any such vehicle of unusual or unconventional design, weight or construction.

Bikes are not permitted over the Bronx-Whitestone, Throgs Neck, and Verrazzano-Narrows Bridges, or through the Queens Midtown and Hugh L. Carey Tunnels. Bikes may cross the Robert F. Kennedy, Henry Hudson, and Marine Parkway-Gil Hodges Bridges but must be walked across. Bikes may be ridden on the shared use path across the Cross Bay Veterans Memorial Bridge.

Only vehicles authorized to use parkways are authorized to use the Henry Hudson Bridge. An unauthorized vehicle using the Henry Hudson Bridge must pay the Marine Parkway-Gil Hodges Memorial Bridge rate.

C. For E-ZPass New York Customer Service Center (NYCSC) Customers When Not Using Their Properly Mounted NYCSC E-Z Pass Tag; For Crossing Charges Posted to NYCSC E-ZPass Accounts Based on License Plates; and For NYCSC Third-Party Account Providers

CLASSIFICATION	VERRAZANO-NARROWS BRIDGE	ROBERT F. KENNEDY, BRONX-WHITESTONE, AND THROGS NECK BRIDGES AND QUEENS MIDTOWN AND HUGH L CAREY TUNNELS	HENRY HUDSON BRIDGE	MARINE PARKWAY-GIL HODGES MEMORIAL, AND CROSS BAY VETERANS MEMORIAL BRIDGES
	Crossing Charges			
1 Two-axle vehicles, including: passenger vehicles, station wagons, self-propelled mobile homes, ambulances, hearses, vehicles with seating capacity of not more than 15 adult persons (including the driver) and trucks with maximum gross weight (MGW) of 7,000 lbs. and under	$8.95	$8.95	$4.94	$4.03
Registered Staten Island Residents using an eligible vehicle taking 3 or more trips per month				
Registered Staten Island Residents using an eligible vehicle taking less than 3 trips per month				
Registered Staten Island Residents using an eligible vehicle with three or more occupants (HOV)				
Registered Rockaway Residents using an eligible vehicle				
Additional axles cost	$4.58	$4.58	$3.44	$3.44
2 All vehicles with MGW greater than 7,000 lbs. and buses (other than franchise buses using E-ZPass and motor homes)				
Two-axle vehicles	$17.23	$17.23		$8.61
Three-axle vehicles	$28.31	$28.31		$14.16
Four-axle vehicles	$35.68	$35.68		$17.84
Five-axle vehicles	$46.75	$46.75		$23.38
Vehicles six-axles or greater	$54.12	$54.12		$27.06
3 Two or three-axle franchise buses	$8.89	$8.89		$4.60
4 Motorcycles	$3.82	$3.82		$3.39

See Footnotes on next page

The Authority reserves the right to determine whether any vehicle is of unusual or unconventional design, weight or construction and therefore not within any of the listed categories. The Authority also reserves the right to determine the crossing charge for any such vehicle of unusual or unconventional design, weight or construction.

Bikes are not permitted over the Bronx-Whitestone, Throgs Neck, and Verrazzano-Narrows Bridges, or through the Queens Midtown and Hugh L. Carey Tunnels. Bikes may cross the Robert F. Kennedy, Henry Hudson, and Marine Parkway-Gil Hodges Bridges but must be walked across. Bikes may be ridden on the shared use path across the Cross Bay Veterans Memorial Bridge.

Only vehicles authorized to use parkways are authorized to use the Henry Hudson Bridge. An unauthorized vehicle using the Henry Hudson Bridge must pay the Marine Parkway-Gil Hodges Memorial Bridge rate.

E-ZPass crossing charges apply to New York E-ZPass Customer Service Center customers only and are available subject to terms, conditions and agreements established by the Authority.

There are no residential restrictions with regard to enrollment as a TBTA Customer in the New York Customer Service Center.

Text of proposed rule and any required statements and analyses may be obtained from: Paul L. Friman, Acting General Counsel & Corporate Secretary, Triborough Bridge and Tunnel Authority, 2 Broadway, 24th Floor, New York, New York 10004, (646) 252-7610, email: pfriman@mtabt.org

Data, views or arguments may be submitted to: Same as above.

Public comment will be received until: 60 days after publication of this notice.

Regulatory Impact Statement, Regulatory Flexibility Analysis, Rural Area Flexibility Analysis and Job Impact Statement

Statements and analyses are not submitted with this notice because the proposed rule is within the definition contained in section 102(2)(a)(ii) of the State Administrative Procedure Act.

Workers' Compensation Board

PROPOSED RULE MAKING NO HEARING(S) SCHEDULED

Telehealth

I.D. No. WCB-09-23-00019-P

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following proposed rule:

Proposed Action: Addition of section 325-1.26 to Title 12 NYCRR.

Statutory authority: Workers' Compensation Law, sections 117 and 141

Subject: Telehealth.

Purpose: Provides the option for telehealth visits in some circumstances.

Text of proposed rule: A new section 325-1.26 of Title 12 NYCRR is hereby added to read as follows:

325-1.26. Telehealth.

(a) Definitions. Telehealth shall mean treatment by physicians, podiatrists, psychologists, nurse practitioners, physician assistants, and licensed clinical social workers authorized by the Chair to provide treatment and care under the Workers' Compensation Law (hereinafter "Authorized Medical Provider") using two-way audio and visual electronic communication, or audio only.

(1) When rendering medical treatment or care via telehealth, an Authorized Medical Provider must be available for an in-person clinical encounter with the claimant should such in-person encounter be medically necessary. This means the Authorized Medical Provider must be able to meet the claimant at the Authorized Medical Provider's office within a reasonable travel time and distance from the claimant's residence.

(2) Telehealth must be used in accordance with this section and any applicable New York State Medical Treatment Guideline incorporated by reference under section 324.2 of this Title.

(3) Authorized Medical Providers shall bill using the applicable Evaluation and Management code 99212 using Modifier 95 when services are rendered by telehealth using two-way audio and visual communication. When services are rendered by audio only in accordance with section 325-1.8 of this Title, the Authorized Medical Provider shall use Modifier 93. Place of service Code 10 shall be used when services are rendered while patient is in their home. Place of service code 02 shall be used when services are rendered while patient is in a healthcare setting that is not their home. When completing the report of treatment, the Authorized Medical Provider shall identify the address from which they rendered Medical Care via telehealth as the Authorized Medical Provider's business address.

(b) Treatment via telehealth.

(1) Treatment by Board-authorized physicians, podiatrists, nurse practitioners, and physician assistants under the Official New York Workers' Compensation Medical Fee Schedule as incorporated by reference in section 329-1.3 of this Title or the Official New York Workers' Compensation Podiatry Fee Schedule as incorporated by reference in section 343.2 of this Title may be rendered by telehealth following an initial in-person clinical encounter when medically appropriate and subject to the following restrictions:

(i) Acute and Subacute phases of injury or illness. Within the first three months following the date of injury or illness, use of telehealth shall be at the clinical discretion of the treating physician, podiatrist, nurse practitioner, or physician assistant, except that at least every third clinical encounter must be an in-person assessment by the treating physician, podiatrist, nurse practitioner, or physician assistant.

(ii) Chronic phase of injury or illness. When more than three months has passed from the date of injury or illness, use of telehealth shall be at the clinical discretion of the treating physician, podiatrist, nurse practitioner, or physician assistant, except that there must be an in-person assessment by the treating physician, podiatrist, nurse practitioner, or physician assistant, no less than every three months unless or until such provider has determined the patient has reached Maximum Medical Improvement (MMI) and has stated that the impairment or disability status is permanent and unlikely to change.

(iii) Injury or illness at MMI. When the claimant is in the chronic phase of injury or illness as defined in subparagraph (ii) of this paragraph and the treating physician, podiatrist, nurse practitioner, or physician assistant's opinion is that the claimant has reached MMI and the patient's impairment or disability status is permanent and unlikely to change, use of telehealth shall be at the discretion of the treating physician, podiatrist, nurse practitioner, or physician assistant, except that there must be an in-person assessment by such provider at least annually.

(2) Treatment by Board-authorized psychologists and licensed clinical social workers under the Official New York Workers' Compensation Behavioral Health Fee Schedule as incorporated by reference in section 333.2 of this Title may be rendered by telehealth when medically appropriate and in accordance with applicable Medical Treatment Guidelines using the following codes:

(i) New patient Evaluation and Management codes 99201-99204.

(ii) Psychotherapy combination codes and crisis codes: 90832-90834, 90836-90840, 90853.

(iii) Group therapy: 90853. The number of patients participating in a group therapy session via telehealth shall not exceed the number that would otherwise be permissible if the group therapy session had been held in person and does not require that every participant in the group therapy session be a workers' compensation claimant.

(iv) Remote behavioral health visits should be limited to those situations when there is no benefit to in-person services (versus remote services) or when an in-person office visit poses an undue risk or hardship on the patient. The reason for the use of a remote telehealth visit should be documented with each use of a telehealth visit.

(3) Treatment rendered by Board-authorized chiropractors, acupuncturists, physical therapists, and occupational therapists under the Official New York Workers' Compensation Chiropractic Fee Schedule as incorporated by reference in section 348.2 of this Title and the Official New York Workers' Compensation Acupuncture and Physical Therapy and Occupational Therapy Fee Schedule as incorporated by reference in section 329-4.2 of this Title may not be rendered via telehealth.

(c) Medically appropriate for telehealth means that an in-person physical examination of the claimant is not needed in order to assess the claimant's clinical status, need for further diagnostic testing, appropriate treatment, or the determination of causal relationship or level of disability. The terms and factors referenced in this subdivision use medical terms of art in the context of best medical practice and are parameters by which providers should prospectively determine whether an in-person physical examination is necessary and should not be the basis of a denial by carriers, self-insured employers, or third-party administrators.

(1) Factors where an in-person physical examination may not be necessary and therefore treatment by telehealth may be medically appropriate include but are not limited to:

(i) Management of chronic conditions where the Authorized Medical Provider has previously conducted a medically appropriate and comprehensive in-person assessment of the patient and condition and is fully familiar with the applicable medical history.

(ii) Discussion of test results.

(iii) Counseling about diagnostic and therapeutic options.

(iv) Dermatology, for visits not requiring palpation or biopsy of a lesion to accurately diagnose or treat the condition.

(v) Prescriptions for medication, subject to the limitations in paragraph (2) of this subdivision.

(vi) Nutrition counseling.

(vii) Mental health counseling, for which in-person assessment of body movements, postures, and other nonverbal cues is not needed for accurate diagnosis, treatment, or interim assessment of a condition or the potential adverse side-effects of a medication.

(viii) Other clinical scenarios as may be prescribed in Medical Treatment Guidelines or other related Board communications.

(2) Factors that indicate an in-person physical examination is necessary and treatment via telehealth is not medically appropriate include but are not limited to:

(i) Health concerns that require a procedure.

(ii) Abdominal pain, chest pain, clinically altered mental status, any situation in which it appears the claimant may pose a risk to themselves or others, severe headache, signs or symptoms of a stroke, or any other clinical presentation that is generally accepted as requiring in-person, emergent or urgent medical assessment, and for which in-person resources (e.g. regional hospital emergency departments or free-standing urgent care centers, as may be clinically appropriate) are readily available.

(iii) Eye or vision complaints.

(iv) Highly nuanced or multiple complex health concerns requiring an in-person examination to assess subtle interactions between co-morbidities or medications.

(v) Any situation in which an in-person physical exam might reasonably impact the accuracy, quality, or certainty of the Authorized Medical Provider's assessment, treatment, or recommendations.

(vi) Any situation where an in-person physical examination is needed to assess disability or range of motion, including but not limited to strength testing, formal range of motion testing, assessment of joint stability, nuanced orthopedic and/or neurologic testing, spirometry or pulmonary function testing, or exercise tolerance testing.

(vii) Any physical therapy, occupational therapy, or chiropractic services utilizing physical modalities other than instruction on range of motion or strengthening exercises.

(viii) Any other clinical scenarios as may be prescribed in Medical Treatment Guidelines or other related Board communications.

(ix) Assessment of causal relationship for an injury or illness unless an in-person physical examination is not necessary to make the determination of causal relationship, in which case the Authorized Medical Provider must specifically articulate in the medical record why an in-person examination was not necessary in order to make a determination of causal relationship.

(3) Notwithstanding any of the factors listed in paragraph (1) of this subdivision, the following procedures or situations are not medically appropriate for telehealth:

(i) Urine drug testing.

(ii) The initial prescription of long-term medications or follow-up monitoring of those medications without periodic in-person evaluation.

(iii) Where the nature of treatment set forth in the Medical Treatment Guidelines necessitates an in-person examination.

(iv) Assessment of permanent disability.

(v) Any other clinical scenarios as may be outlined by the Board in Medical Treatment Guidelines or other related Board communications.

(vi) The patient lacks suitable technology or equipment necessary to conduct the telehealth visit.

(vii) The patient has physical and/or cognitive challenges that would be a barrier to an effective telehealth visit (without the assistance of another individual).

(viii) The patient has expressed a preference for an in-person visit, as well as a willingness and capability to travel to an in-person visit.

(d) Independent Medical Examinations (IMEs) are not treatment under the Workers' Compensation Law. Accordingly, IMEs conducted pursuant to section 300.2 of this Title and section 137 of the Workers' Compensation Law, may be conducted via telehealth when all parties of interest consent to such telehealth examination, and the independent medical examiner is not offering an opinion on permanent impairment.

Text of proposed rule and any required statements and analyses may be obtained from: Heather MacMaster, NYS Workers' Compensation Board, Office of General Counsel, 328 State Street, Schenectady, NY 12305, (518) 486-9564, email: regulations@wcb.ny.gov

Data, views or arguments may be submitted to: Same as above.

Public comment will be received until: 60 days after publication of this notice.

This rule was not under consideration at the time this agency submitted its Regulatory Agenda for publication in the Register.

Regulatory Impact Statement

1. Statutory Authority: WCL § 117(1) authorizes the Chair of the Workers' Compensation Board (Board) to adopt reasonable rules consistent with, and supplemental to, the provisions of the WCL.

2. Legislative Objectives: The proposal allows telehealth visits in some circumstances to help further the goals of the Workers' Compensation Law to provide quality and efficient care to injured workers.

3. Needs and Benefits: The proposal allows telehealth visits in some circumstances to replace in person visits to authorized providers when medically appropriate. During the COVID-19 pandemic, the Board has adopted several emergency measures to provide for telehealth visits for social distancing purposes. The Board has seen these emergency measures work efficiently and effectively to provide care for injured workers, and adopting a permanent regulation addressing when telehealth may be used would be beneficial to injured workers and provide convenience to providers wishing to utilize telehealth when treating injured workers in accordance with the proposal.

The Board has drafted several iterations of permanent telehealth proposals over the last several months. Each published proposal received many comments expressing various concerns – many of them about requiring every third visit to be in person, especially in the behavioral health arena. In response to these comments and additional discussion internally at the Board, this proposal seeks to strike a balance addressing those concerns and ensuring telehealth remains beneficial to the injured worker. The proposal requires different stages of illness/injury to have different standards for when telehealth by some providers may be appropriate, based on clinical recommendations from the Board's Medical Director's Office. The proposal also provides for a different framework for Board-authorized psychologists and licensed clinical social workers in recognition that these types of treatment are inherently different.

4. Costs: The proposal is not expected to impact costs, especially since the proposal is permissive – telehealth is not required but is allowed if the certain conditions are met.

5. Local Government Mandates: The proposed amendments do not impose any program, service, duty, or responsibility upon any county, city, town, village, school district, fire district, or other special district.

6. Paperwork: The proposal does not require additional paperwork beyond the existing requirements for authorized providers. The proposal simply allows treatment to be rendered via telehealth when medically appropriate in certain circumstances.

7. Duplication: The proposal does not duplicate other regulatory initiatives.

8. Alternatives: An alternative would be to not file a proposal addressing telehealth. However, based on the success of the COVID-19 emergency adoptions regarding telehealth, the proposal to add permanent telehealth options is expected to increase the efficiency and quality of care for injured workers.

9. Federal Standards: There are no applicable Federal Standards.

10. Compliance Schedule: The proposal will be effective upon publication of a Notice of Adoption in the State Register.

Regulatory Flexibility Analysis

A Regulatory Flexibility Analysis is not required because the proposal will not have any adverse economic impact or impose any new reporting, recordkeeping or other compliance requirements on small businesses or local governments. The proposal provides the option for telehealth visits in some circumstances.

Rural Area Flexibility Analysis

A Rural Area Impact Statement is not required because the proposal will not have any impact rural areas. The proposal provides the option for telehealth visits in some circumstances.

Job Impact Statement

A Job Impact Statement is not required because the proposal will not have any impact on jobs or employment opportunities. The proposal provides the option for telehealth visits in some circumstances.

HEARINGS SCHEDULED FOR PROPOSED RULE MAKINGS

Agency I.D. No.	Subject Matter	Location—Date—Time
Education Department		
EDU-04-23-00007-P	Special Education Due Process System Procedures	Via Zoom—March 29, 2023, 10:00 a.m. Link: https://us06web.zoom.us/j/84909970747?pwd=TGQwczFjaVpBRHpTN1hhYUpMR2Rsdz09 Meeting ID: 849 0997 0747, Passcode: 323234, Call In: +1 646 558 8656 US (New York) Via Zoom—March 29, 2023, 6:00 p.m. Link: https://us06web.zoom.us/j/88666486287?pwd=VEJaN1IQSnpHdzlwQkNOZGhzZVFnUT09 Meeting ID: 886 6648 6287, Passcode: 542062, Call In: +1 646 558 8656 US (New York) Education Department, 89 Washington Ave., Albany, NY—March 30, 2023, 4:00 p.m. Pre-registration is required at stakeholderDPU@nysed.gov or (518) 473-0170 Sign-in with Security on 1st Fl., Washington Ave. Entrance
EDU-09-23-00031-P	Special Education Due Process Hearings	Via Zoom—May 1, 2023, 11:30 a.m. Link: https://us06web.zoom.us/j/84161631017?pwd=ajk0NlloeTBOR1BSc1g0ZDRmelBZZz09 Meeting ID: 841 6163 1017, Passcode: w0QRHu, Call In: +1 646 558 8656 US (New York) Via Zoom—May 1, 2023, 6:00 p.m. Link: https://us06web.zoom.us/j/87150443913?pwd=aDdGU2JPZWNXa1J2aU5BYWlGLzBodz09 Meeting ID: 871 5044 3913, Passcode: VWm9UT, Call In: +1 646 558 8656 US (New York) Education Department, 89 Washington Ave., Albany, NY—May 2, 2023, 4:00 p.m. Pre-registration is required at stakeholderDPU@nysed.gov or (518) 473-0170 Sign-In with Security on 1st Floor Washington Avenue Entrance
Environmental Conservation, Department of		
ENV-52-22-00015-EP	Advanced Clean Car (ACC) Standards	Virtual via Webex—March 1, 2023, 1:00 p.m.
Public Service Commission		
PSC-02-23-00025-P	Proposed Major Rate Increase in Con Edison's Annual Revenues by $137 million	Department of Public Service, 19th Fl. Board Rm., Three Empire State Plaza, Albany, NY—May 2, 2023 and continuing daily as needed, 10:30 a.m. (Evidentiary Hearing)*

		*On occasion, the evidentiary hearing date may be rescheduled or postponed. In that event, notification of any subsequent scheduling changes will be available at the DPS website (www.dps.ny.gov) under Case No. 22-S-0659.

State, Department of

DOS-03-23-00003-P	New York State Uniform Fire Prevention and Building Code (Uniform Code)	Department of State, 99 Washington Ave., Albany, NY—March 22, 2023, 10:00 a.m.
DOS-05-23-00018-P	Certification and Training of Code Enforcement Personnel	Department of State, 99 Washington Ave., Rm. 505, Albany, NY—April 5, 2023, 10:00 a.m.

ACTION PENDING INDEX

The action pending index is a list of all proposed rules which are currently being considered for adoption. A proposed rule is added to the index when the notice of proposed rule making is first published in the *Register*. A proposed rule is removed from the index when any of the following occur: (1) the proposal is adopted as a permanent rule; (2) the proposal is rejected and withdrawn from consideration; or (3) the proposal's notice expires.

Most notices expire in approximately 12 months if the agency does not adopt or reject the proposal within that time. The expiration date is printed in the second column of the action pending index. Some notices, however, never expire. Those notices are identified by the word "exempt" in the second column. Actions pending for one year or more are preceded by an asterisk(*).

For additional information concerning any of the proposals listed in the action pending index, use the identification number to locate the text of the original notice of proposed rule making. The identification number contains a code which identifies the agency, the issue of the *Register* in which the notice was printed, the year in which the notice was printed and the notice's serial number. The following diagram shows how to read identification number codes.

Agency code	Issue number	Year published	Serial number	Action Code
AAM	**01**	**12**	**00001**	**P**

Action codes: P — proposed rule making; EP — emergency and proposed rule making (expiration date refers to proposed rule); RP — revised rule making

Agency I.D. No.	Expires	Subject Matter	Purpose of Action

AGING, OFFICE FOR THE

AGE-02-23-00020-P	01/11/24	Nutrition Program	The purpose of this rule is to update the regulations governing the Nutrition Program

AGRICULTURE AND MARKETS, DEPARTMENT OF

AAM-09-23-00032-P	02/29/24	2023 National Institute of Standards and Technology ("NIST") Handbook 44	To incorporate provisions of the 2023 Edition National Institute of Standards and Technology Handbook 44

ALCOHOLISM AND SUBSTANCE ABUSE SERVICES, OFFICE OF

ASA-33-22-00001-RP	08/17/23	Requirements for the establishment, incorporation and certification of providers of addiction services	To update outdated and stigmatizing language and to clarify processes of the certification process for providers and applicants

AUDIT AND CONTROL, DEPARTMENT OF

AAC-01-23-00003-P	01/04/24	Deceased Owner	To clarify that the confirmed date of death of an owner begins the applicable dormancy period as provided in law.

CANNABIS MANAGEMENT, OFFICE OF

OCM-24-22-00013-RP	06/15/23	Packaging, Labeling, Marketing and Advertising of Adult-Use Cannabis	Establishing parameters for the packaging, labeling, marketing, and advertising of adult-use cannabis products, creating requirements for protecting the health and safety of consumers, and preventing targeting cannabis products to youth
OCM-24-22-00014-RP	06/15/23	Permitting and regulating Cannabis Laboratories	Regulating and permitting laboratories, analyzing adult-use and medical cannabis, providing results to the Office and licensees, and aiding in the determination that cannabis products reflect potency and meet limits for contaminants

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
CANNABIS MANAGEMENT, OFFICE OF			
OCM-49-22-00024-EP	12/07/23	Violations, Hearings and Enforcement	The proposed rule establishes parameters around violations, hearings, and enforcement creating requirements intended to further protect public health, safety, and welfare by preventing unlawful cannabis or unsafe practices from entering the marketplace
OCM-50-22-00010-P	12/14/23	Adult-Use Cannabis	To regulate, control, and tax adult-use cannabis, generate significant new revenue, invest in communities and people most impacted by cannabis criminalization, reduce participation in the unlawful market, create new industries, and increase employment
CIVIL SERVICE, DEPARTMENT OF			
CVS-49-22-00005-P	12/07/23	Jurisdictional Classification	To classify a position in the non-competitive class
CVS-49-22-00006-P	12/07/23	Jurisdictional Classification	To classify a position in the exempt class.
CVS-49-22-00007-P	12/07/23	Jurisdictional Classification	To classify a position in the non-competitive class
CVS-49-22-00008-P	12/07/23	Jurisdictional Classification	To classify positions in the exempt class.
CVS-49-22-00009-P	12/07/23	Jurisdictional Classification	To classify positions in the non-competitive class
CVS-49-22-00010-P	12/07/23	Jurisdictional Classification	To classify a position in the non-competitive class
CVS-49-22-00011-P	12/07/23	Jurisdictional Classification	To classify positions in the non-competitive class
CVS-49-22-00012-P	12/07/23	Jurisdictional Classification	To classify positions in the exempt class.
CVS-49-22-00013-P	12/07/23	Jurisdictional Classification	To classify positions in the non-competitive class
CVS-49-22-00014-P	12/07/23	Jurisdictional Classification	To classify a position in the non-competitive class
CVS-49-22-00015-P	12/07/23	Jurisdictional Classification	To classify positions in the exempt class.
CVS-49-22-00016-P	12/07/23	Jurisdictional Classification	To classify positions in the exempt class.
CVS-02-23-00001-P	01/11/24	Jurisdictional Classification	To classify a position in the exempt class and to classify a position in the non-competitive class
CVS-02-23-00002-P	01/11/24	Jurisdictional Classification	To classify positions in the exempt class.
CVS-02-23-00003-P	01/11/24	Jurisdictional Classification	To classify positions in the non-competitive class
CVS-02-23-00004-P	01/11/24	Jurisdictional Classification	To classify positions in the non-competitive class

Agency I.D. No.	Expires	Subject Matter	Purpose of Action

CIVIL SERVICE, DEPARTMENT OF

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
CVS-02-23-00005-P	01/11/24	Jurisdictional Classification	To classify positions in the non-competitive class
CVS-02-23-00006-P	01/11/24	Jurisdictional Classification	To classify positions in the non-competitive class
CVS-02-23-00007-P	01/11/24	Jurisdictional Classification	To classify a position in the exempt class
CVS-02-23-00008-P	01/11/24	Jurisdictional Classification	To classify a position in the non-competitive class
CVS-02-23-00009-P	01/11/24	Jurisdictional Classification	To classify a position in the exempt class.
CVS-02-23-00010-P	01/11/24	Jurisdictional Classification	To classify a position in the exempt class.
CVS-02-23-00011-P	01/11/24	Jurisdictional Classification	To classify positions in the non-competitive class
CVS-02-23-00012-P	01/11/24	Jurisdictional Classification	To classify a position in the exempt class.
CVS-02-23-00013-P	01/11/24	Jurisdictional Classification	To classify a position in the non-competitive class
CVS-02-23-00014-P	01/11/24	Jurisdictional Classification	To classify positions in the non-competitive class
CVS-02-23-00015-P	01/11/24	Jurisdictional Classification	To delete positions from and to classify positions in the non-competitive class
CVS-02-23-00016-P	01/11/24	Jurisdictional Classification	To classify positions in the exempt class.
CVS-02-23-00017-P	01/11/24	Jurisdictional Classification	To classify positions in the exempt class.
CVS-02-23-00019-P	01/11/24	Jurisdictional Classification	To add subheadings and to classify positions in the non-competitive class
CVS-06-23-00001-P	02/08/24	Jurisdictional Classification	To delete and to classify a position in the exempt and non-competitive classes
CVS-06-23-00002-P	02/08/24	Jurisdictional Classification	To classify a position in the exempt class.
CVS-06-23-00003-P	02/08/24	Jurisdictional Classification	To classify positions in the non-competitive class
CVS-06-23-00004-P	02/08/24	Jurisdictional Classification	To classify positions in the exempt class.
CVS-06-23-00005-P	02/08/24	Jurisdictional Classification	To classify a position in the exempt class.
CVS-06-23-00006-P	02/08/24	Jurisdictional Classification	To delete positions from and to classify positions in the exempt and non-competitive classes
CVS-06-23-00007-P	02/08/24	Jurisdictional Classification	To delete a position from and to classify a position in the exempt class.
CVS-06-23-00008-P	02/08/24	Jurisdictional Classification	To delete positions from and to classify positions in the non-competitive class

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
CIVIL SERVICE, DEPARTMENT OF			
CVS-06-23-00009-P	02/08/24	Jurisdictional Classification	To classify positions in the non-competitive class
CVS-09-23-00001-P	02/29/24	Jurisdictional Classification	To classify a position in the exempt class and to delete a position from and to classify positions in the non-competitive class
CVS-09-23-00002-P	02/29/24	Jurisdictional Classification	To classify a position in the exempt class and to classify positions in the non-competitive class
CVS-09-23-00003-P	02/29/24	Jurisdictional Classification	To classify positions in the exempt class.
CVS-09-23-00004-P	02/29/24	Jurisdictional Classification	To classify positions in the non-competitive class
CVS-09-23-00005-P	02/29/24	Jurisdictional Classification	To classify positions in the non-competitive class
CVS-09-23-00006-P	02/29/24	Jurisdictional Classification	To classify a position in the non-competitive class
CVS-09-23-00007-P	02/29/24	Jurisdictional Classification	To classify positions in the exempt class.
CVS-09-23-00008-P	02/29/24	Jurisdictional Classification	To classify positions in the exempt class.
CVS-09-23-00009-P	02/29/24	Jurisdictional Classification	To delete positions from and to classify positions in the non-competitive class
CVS-09-23-00010-P	02/29/24	Jurisdictional Classification	To classify positions in the exempt class and to classify positions from the non-competitive class
CVS-09-23-00011-P	02/29/24	Jurisdictional Classification	To classify a position in the non-competitive class
CVS-09-23-00012-P	02/29/24	Jurisdictional Classification	To classify positions in the non-competitive class
CVS-09-23-00013-P	02/29/24	Jurisdictional Classification	To delete a position from and to classify a position in the exempt class.
CVS-09-23-00014-P	02/29/24	Jurisdictional Classification	To classify a position in the exempt class.
CVS-09-23-00015-P	02/29/24	Jurisdictional Classification	To delete positions from and to classify positions in the non-competitive class
CVS-09-23-00016-P	02/29/24	Jurisdictional Classification	To delete a position from and to classify a position in the exempt class.
COMMISSIONER OF PILOTS, BOARD OF			
COP-07-23-00002-P	exempt	Rate increases for pilot services.	To harmonize rates collected with increased costs for pilotage services.
CORRECTIONS AND COMMUNITY SUPERVISION, DEPARTMENT OF			
CCS-08-22-00007-ERP	05/24/23	Disposition for violations of the conditions of release	To bring Board regulations into compliance with recent amendments to the Executive Law

Agency I.D. No.	Expires	Subject Matter	Purpose of Action

CORRECTIONS AND COMMUNITY SUPERVISION, DEPARTMENT OF

CCS-16-22-00003-ERP	. . . . 04/20/23	Definitions, Standards of Incarcerated Individual Behavior, Special Housing Units, and Institutional Programs	To revise regulations to be in compliance with the new HALT legislation and applicable laws
CCS-31-22-00002-P	. . . . 08/03/23	Privileged Correspondence	To update law changes regarding correpsondence from CANY
CCS-39-22-00001-P	. . . . 09/28/23	Closed Facilities	To repeal the rules establishing facilities that have closed

CRIMINAL JUSTICE SERVICES, DIVISION OF

CJS-47-22-00001-P	. . . . 11/23/23	Part 349 Interstate, Intrastate, Conflict of Interest Transfer and Temporary Probation Supervision for Adults and Juveniles	Update the existing rule to provide a framework for consistent statewide practices in the intrastate transfer of individuals
CJS-47-22-00002-P	. . . . 11/23/23	Intake for Article 7 (PINS)	Update existing Rule to reflect services which will be performed by Probation departments.

ECONOMIC DEVELOPMENT, DEPARTMENT OF

EDV-42-22-00001-P	. . . . 10/19/23	Excelsior Jobs Program	To update the additional administrative process of this tax credit program

EDUCATION DEPARTMENT

*EDU-48-21-00008-P	. . . . 02/17/23	Special education impartial hearing officers and the special education due process system procedures	To address volume of special education due process complaints in the New York City due process system
EDU-42-22-00004-RP	. . . . 10/19/23	Student teaching requirements for registered teacher preparation programs and through the individual evaluation pathway.	To extend for one year the timeline for programs to implement the new student teaching requirements
EDU-48-22-00008-EP	. . . . 11/30/23	Technical amendment relating to licensure in the profession of public accountancy.	To fix a clerical error for amendments to section 52.13 of the Commissioner's adopted in September 2022.
EDU-48-22-00009-EP	. . . . 11/30/23	Central library services aid and state aid for library construction.	To align the Commissioner's regulations with recent amendments to sections 273, 273-a of the Education Law.
EDU-48-22-00010-P	. . . . 11/30/23	Experience requirement for professional school building leader certification.	To remove the requirement that one year of such experience be as a school building leader.
EDU-52-22-00005-P	. . . . 12/28/23	The individual arts assessment pathway to graduation	To establish the Individual Arts Assessment Pathway to graduation
EDU-52-22-00006-P	. . . . 12/28/23	Extensions for coordinators of work-based learning programs.	To create a new uniform "Coordinator of Work-Based Learning Programs" extension
EDU-52-22-00007-P	. . . . 12/28/23	Continuing education requirements for the profession of architecture.	To implement Chapter 578 of the Laws of 2021.
EDU-52-22-00008-EP	. . . . 12/28/23	Licensure as a clinical laboratory technologist or cytotechnologist and certification as a clinical labortory technician or histological technician	To implement Chapter 446 of the Laws of 2022.

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
EDUCATION DEPARTMENT			
EDU-52-22-00009-P	12/28/23	Indigenous Names, Mascots, and Logos	To prohibit the use of Indigenous names, mascots, and logos by public schools.
EDU-04-23-00004-EP	01/25/24	Continuing education requirements for licensed optometrists certified to prescribe and use therapeutic pharmaceutical agents.	To implement section 9 of Chapter 506 of the Laws of 2021.
EDU-04-23-00005-P	01/25/24	Educator certification for candidates from another state or territory of the Untied States or the District of Columbia.	To streamline the endorsement & comparable program pathways providing additional flexibility & increasing the pool of candidates
EDU-04-23-00006-EP	01/25/24	Removes requirement that a postdoctoral general practice or specialty dental residency program experience be clinically based	To implement Chapter 613 of the Laws of 2022.
EDU-04-23-00007-EP	03/29/24	Special education due process system procedures.	To implement the Department's and the New York City Department of Education's Memorandum of Agreement with New York City's Office of Administrative Trials and Hearings to establish an administrative team of full-time impartial hearing officers
EDU-09-23-00028-P	02/29/24	The Indigenous Culture and Language Studies certificate.	To establish the Indigenous Culture and Language Studies certificate.
EDU-09-23-00029-P	02/29/24	Licensure of licensed behavior analysts and certification of behavior analyst assistants	To implement Chapter 818 of the Laws of 2021 and Chapter 641 of the Laws of 2022 and align provisions with national standards.
EDU-09-23-00030-EP	02/29/24	Pilot P-20 Partnerships for Principal Preparation Program.	To extend the September 30, 2022 end date of the pilot program to September 30, 2025.
EDU-09-23-00031-P	05/01/24	Special education due process hearings.	See attached.
ELECTIONS, STATE BOARD OF			
SBE-06-23-00012-EP	02/08/24	Provides for adjustment of campaign finance limits resulting from changes in the consumer price index	To adopt regulation specifically required by Election Law 14-114 (e)
ENVIRONMENTAL CONSERVATION, DEPARTMENT OF			
*ENV-51-21-00003-P	04/07/23	Environmental Remediation Programs	To amend 6 NYCRR Part 375, Environmental Remediation Programs
ENV-20-22-00003-P	07/19/23	Solid Waste Management Regulations	Amend the rules that implement the solid waste program in New York State to incorporate changes in law and implementation
ENV-22-22-00016-P	06/01/23	SPDES Incorporation by Reference	Update SPDES Incorporation by Reference
ENV-23-22-00007-P	08/17/23	Prohibition of glyphosate use by state departments, state agencies, and public benefit corporations on state property	Prohibit the use of glyphosate by state agencies, state departments, public benefit corporations unless exempted
ENV-28-22-00011-P	09/13/23	Forest Tax Law	Improving and sustainably managing New York's forest resources and lessening the administrative burden on participants/DEC staff

Agency I.D. No.	Expires	Subject Matter	Purpose of Action

ENVIRONMENTAL CONSERVATION, DEPARTMENT OF

ENV-33-22-00004-P	10/20/23	Amendments to the regulations (6 NYCRR Part 621) that implement ECL article 70 (Uniform Procedures Act) and related changes	DEC is proposing the amendments as a general update to Part 621, with conforming changes to Parts 421 and 601
ENV-45-22-00020-P	11/09/23	Neversink River Riparian Corridor	To protect public health, safety and natural resources on the Neversink River Riparian Corridor
ENV-45-22-00021-P	01/11/24	Adding Aerosol Cans and Paint to NYS Universal Waste Rule.	Add Federal universal waste provisions relating to aerosol cans and allow waste paint to be managed as a universal waste.
ENV-46-22-00004-P	01/18/24	Chemical Bulk Storage (CBS)	To repeal existing 6 NYCRR Parts 596, 598 ,599 and replace with new Part 598; and amend existing Part 597; for the CBS program
ENV-46-22-00005-P	01/18/24	Petroleum Bulk Storage (PBS)	To amend the PBS regulations, 6 NYCRR Part 613
ENV-47-22-00005-P	01/24/24	Heavy-Duty Diesel Vehicle Inspection and Maintenance Program requirements	To update Heavy-Duty Diesel Vehicle Inspection and Maintenance Program requirements
ENV-52-22-00003-P	12/28/23	Rush Oak Openings Unique Area	Protection of public safety and natural resources
ENV-52-22-00015-EP	02/29/24	Advanced Clean Car (ACC) Standards	To adopt California's Advanced Clean Cars II (ACC II) Program regulations
ENV-52-22-00016-EP	02/28/24	Medium- and Heavy-Duty Diesel Vehicle emission standards	To update Medium and- Heavy-Duty Diesel Vehicle emission standards and adopt CA's Heavy-Duty Omnibus and Phase 2 GHG regulations

ETHICS AND LOBBYING IN GOVERNMENT, COMMISSION ON

ELG-43-22-00010-EP	10/26/23	Adjudicatory proceedings and appeals procedures for matters under the Commission's jurisdiction	To streamline and advance the investigative process and facilitate the expeditious and efficient performance of the Commission on Ethics and Lobbying in Government's investigative and enforcement duties as set forth in Section 94 of the Executive Law
ELG-45-22-00024-EP	11/09/23	Adjudicatory proceedings and appeals procedures for matters under the Commission's jurisdiction.	To conform Part 941 to the new Executive Law Section 94 established by the Ethics Commission Reform Act of 2022.
ELG-48-22-00011-EP	11/30/23	Amending references to the Commission and citations to the Executive Law to conform to the Ethics Commission Reform Act of 2022	To conform the Commission's regulations to new Executive Law § 94 established by the Ethics Commission Reform Act of 2022
ELG-01-23-00006-EP	01/04/24	Ethics training for lobbyists and clients.	To establish requirements related to ethics training for lobbyists and clients mandated by law.

FINANCIAL SERVICES, DEPARTMENT OF

*DFS-17-16-00003-P	exempt	Plan of Conversion by Commercial Travelers Mutual Insurance Company	To convert a mutual accident and health insurance company to a stock accident and health insurance company

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
FINANCIAL SERVICES, DEPARTMENT OF			
*DFS-25-18-00006-P	exempt	Plan of Conversion by Medical Liabilty Mutual Insurance Company	To convert a mutual property and casualty insurance company to a stock property and casualty insurance company
*DFS-50-21-00016-RP	03/15/23	Debt Collection by Third-Party Debt Collectors and Debt Buyers	To clarify and modify standards for debt collection practices in New York
DFS-45-22-00025-P	11/09/23	Cybersecurity Requirements for Financial Services Companies	To ensure that DFS-regulated entities most effectively address new and evolving cybersecurity threats.
DFS-03-23-00002-P	01/18/24	Virtual Currency Licensee Assessments	To set forth the basis for allocating costs and expenses attributable to virtual currency businesses for FSL assessments
DFS-07-23-00003-P	02/15/24	General Duties, Accountability, and Transparency Provisions for Pharmacy Benefit Managers; Electronic Filings	To define and clarify the provisions of PHL 280-a(2) and to require electronic filings for PBMs
DFS-08-23-00001-P	02/22/24	Original issuance of license or change of control of a licensee	To eliminate existing language in the regulation that requires every licensed check cashing location to have a minimum dimension
GAMING COMMISSION, NEW YORK STATE			
SGC-29-22-00010-P	07/20/23	Comprehensive regulations for interactive fantasy sports	To regulate interactive fantasy sports in New York.
SGC-50-22-00008-P	12/14/23	Lottery courier service advertising	To regulate advertising by lottery courier services in order to protect consumers
SGC-50-22-00009-P	12/14/23	Purchase location requirements for lottery courier services	To facilitate the proper sale of lottery tickets to generate revenue for education
SGC-08-23-00004-P	02/22/24	Amendments to rules governing the content of gaming facility license applications.	To govern the content of gaming facility license applications.
GAMING FACILITY LOCATION BOARD, NEW YORK			
GFB-04-23-00001-P	01/25/24	Minimum capital investment for additional gaming facility	To establish a minimum capital investment amount for additional gaming facilities
GFB-04-23-00002-P	01/25/24	License fee for additional gaming facility	To establish a license fee for additional gaming facilities
HEALTH, DEPARTMENT OF			
*HLT-14-94-00006-P	exempt	Payment methodology for HIV/AIDS outpatient services	To expand the current payment to incorporate pricing for services
*HLT-07-22-00010-P	02/16/23	Clinical Staffing in General Hospitals	Requires general hospitals to have clinical staffing committees and create clinical staffing plans
HLT-12-22-00001-P	03/23/23	Clinical Laboratories and Blood Banks	To allow for remote supervision and updates to provide concordance with NYSED law for qualifications of technical personnel
HLT-12-22-00002-P	03/23/23	Reporting of Acute HIV Infection	To require clinicians to report any case of acute HIV within 24 hours of diagnoses

Agency I.D. No.	Expires	Subject Matter	Purpose of Action

HEALTH, DEPARTMENT OF

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
HLT-23-22-00001-P	06/08/23	Hospital and Nursing Home Personal Protective Equipment (PPE) Requirements	To ensure that all general hospitals and nursing homes maintain a 60-day supply of PPE during the COVID-19 emergency
HLT-26-22-00003-P	06/29/23	Repeal of Limits on Administrative Expenses and Executive Compensation	Repeal of Limits on Administrative Expenses and Executive Compensation
HLT-39-22-00020-P	11/07/23	Early Intervention Program	To conform existing program regulations to federal regulations and state statute, as well as to provide additional clarification
HLT-40-22-00002-P	10/05/23	Maximum Contaminant Levels (MCLs)	To adopt Maximum Contaminant Levels (MCLs) for four (4) additional per- and polyfluoroalkyl substances (PFAS).
HLT-41-22-00016-P	10/12/23	Licensure and Practice of Nursing Home Administration	To clarify and update the nursing home administrator licensure program.
HLT-42-22-00002-P	10/19/23	Medical Respite Program (MRP)	Establish procedures for review & approval of applications from a not-for-profit corporation to be certified as an MRP operator.
HLT-46-22-00003-P	11/16/23	Repeal of Zika Action Plan; Performance Standards	To repeal regulatory provisions which are no longer applicable.
HLT-48-22-00001-P	11/30/23	Adult Day Health Care	To regulate adult day health care programs for registrants with medical needs in a non-residential health care facility
HLT-49-22-00002-P	12/07/23	State Aid for Public Health Services: Counties and Cities	To increase Article 6 base funding to local health departments.
HLT-51-22-00006-P	12/21/23	Adult Care Facilities	To ensure consistency with various policy interpretations & compliance with the federal home&community based settings final rule
HLT-09-23-00020-P	02/29/24	Clinical Staffing in General Hospitals	Requires general hospitals to have clinical staffing committees and create clinical staffing plans.

HOUSING AND COMMUNITY RENEWAL, DIVISION OF

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
HCR-35-22-00004-P	11/15/23	The City Rent and Eviction Regulations governing rent control in New York City.	To implement changes required or informed by the Housing Stability and Tenant Protection Act of 2019.
HCR-35-22-00005-P	11/15/23	The Emergency Tenant Protection Regulations regulating residential rents and evictions.	To implement changes required or informed by the Housing Stability and Tenant Protection Act of 2019.
HCR-35-22-00006-P	11/15/23	The State Rent and Eviction Regulations governing statewide rent control.	To implement changes required or informed by the Housing Stability and Tenant Protection Act of 2019.
HCR-35-22-00007-P	11/15/23	The Rent Stabilization Code regulating residential rents and evictions.	To implement changes required or informed by the Housing Stability and Tenant Protection Act of 2019.
HCR-01-23-00002-P	01/04/24	Amendments to Regulations of the Affordable Home Ownership Development Program	Comport with above cited statutory amendments.

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
LABOR, DEPARTMENT OF			
*LAB-51-21-00007-P	02/09/23	Workplace Safety Committees	To comply with Labor Law 27-d(8) which requires that the Department adopt regulations
LAB-37-22-00004-P	09/14/23	Prevailing Wage for Aggregate Hauling	To clarify the application Labor Law § 220(3-a)(f)
LAB-44-22-00001-P	11/02/23	Public Employees Occupational Safety and Health Standards	To incorporate by reference updates to OSHA standards into the State Public Employee Occupational Safety and Health Standards
LAKE GEORGE PARK COMMISSION			
LGP-36-22-00006-P	11/09/23	Addition of Waste Water Management Regulations within the Lake George Park	The proposed regulations are intended to protect the waters of Lake George from wastewater pollution
LONG ISLAND POWER AUTHORITY			
*LPA-08-01-00003-P	exempt	Pole attachments and related matters	To approve revisions to the authority's tariff
*LPA-41-02-00005-P	exempt	Tariff for electric service	To revise the tariff for electric service
*LPA-04-06-00007-P	exempt	Tariff for electric service	To adopt provisions of a ratepayer protection plan
*LPA-03-10-00004-P	exempt	Residential late payment charges	To extend the application of late payment charges to residential customers
*LPA-15-18-00013-P	exempt	Outdoor area lighting	To add an option and pricing for efficient LED lamps to the Authority's outdoor area lighting
*LPA-37-18-00013-P	exempt	The net energy metering provisions of the Authority's Tariff for Electric Service	To implement PSC guidance increasing eligibility for value stack compensation to larger projects
*LPA-37-18-00017-P	exempt	The treatment of electric vehicle charging in the Authority's Tariff for Electric Service	To effectuate the outcome of the Public Service Commission's proceeding on electric vehicle supply equipment
*LPA-37-18-00018-P	exempt	The treatment of energy storage in the Authority's Tariff for Electric Service	To effectuate the outcome of the Public Service Commission's proceeding on the NY Energy Storage Roadmap
*LPA-09-20-00010-P	exempt	To update and implement latest requirements for ESCOs proposing to do business within the Authority's service territory	To strengthen customer protections and be consistent with Public Service Commission orders on retail energy markets
*LPA-28-20-00033-EP	exempt	LIPA's late payment charges, reconnection charges, and low-income customer discount enrollment	To allow waiver of late payment and reconnection charges and extend the grace period for re-enrolling in customer bill discounts
*LPA-37-20-00013-EP	exempt	The terms of deferred payment agreements available to LIPA's commercial customers	To expand eligibility for and ease the terms of deferred payment agreements for LIPA's commercial customers
*LPA-12-21-00011-P	exempt	LIPA's Long Island Choice (retail choice) tariff	To simplify and improve Long Island Choice based on stakeholder collaborative input

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
LONG ISLAND POWER AUTHORITY			
LPA-17-22-00012-P	exempt	COVID-19 arrears forgiveness and low-income customer discount eligibility	To implement an arrears forgiveness program and expand low-income customer discount eligibility
LPA-17-22-00014-P	exempt	LIPA's delivery service adjustment cost recovery rider	To ensure recovery of T&D property tax expenses consistent with the LIPA Reform Act, at the lowest cost to LIPA customers
LPA-51-22-00007-P	exempt	Time of Day rates for residential customers.	To give customers bill savings opportunities, lower system costs, and support New York's clean energy transition.
LONG ISLAND RAILROAD COMPANY			
LIR-50-22-00004-EP	12/14/23	Aligning the rule of conduct re: carrying firearms and other weapons in public transit with New York Law	Safeguard public safety by amending a rule to comply with NY Law re: the carrying of firearms and weapons in public transit
MENTAL HEALTH, OFFICE OF			
OMH-46-22-00012-P	11/16/23	Administrative Compensation	To Repeal Part 513 as Executive Order 38 has sunset
METRO-NORTH COMMUTER RAILROAD			
MCR-50-22-00005-EP	12/14/23	Aligning the rule of conduct re: carrying firearms and other weapons in public transit with New York law	Safeguard public safety by amending a rule to comply with NY Law re: the carrying of firearms and weapons in public transit
METROPOLITAN TRANSPORTATION AGENCY			
MTA-16-22-00008-EP	04/20/23	Requiring mask wearing when mandated for indoor facilities and conveyances of the MTA & ts affiliates and subsidiaries	To safeguard the public health and safety by adding a rule requiring the use of masks in facilities & conveyances when mandated
MTA-50-22-00002-EP	12/14/23	Aligning the rule of conduct re: carrying firearms and other weapons in public transit with New York Law	Safeguard public safety by amending a rule to comply with NY Law re: the carrying of firearms and weapons in public transit
MTA-02-23-00018-EP	01/11/24	Rules of conduct for persons entering and using Grand Central Madison Terminal and its related facilities	To facilitate the proper use of Grand Central Madison Terminal and ensure the safety of employees, customers and the public
NEW YORK CITY TRANSIT AUTHORITY			
NTA-50-22-00001-EP	12/14/23	Aligning the rule of conduct re: carrying firearms and other weapons in public transit with New York Law	Safeguard public safety by amending a rule to comply with NY Law re: the carrying of firearms and weapons in public transit
NIAGARA FALLS WATER BOARD			
*NFW-04-13-00004-EP	exempt	Adoption of Rates, Fees and Charges	To pay for the increased costs necessary to operate, maintain and manage the system, and to achieve covenants with bondholders
*NFW-13-14-00006-EP	exempt	Adoption of Rates, Fees and Charges	To pay for increased costs necessary to operate, maintain and manage the system and to achieve covenants with the bondholders

Agency I.D. No.	Expires	Subject Matter	Purpose of Action

NIAGARA FALLS WATER BOARD

NFW-52-22-00004-EP	exempt	Adoption of Rates, Fees, and Charges	To pay for increased costs necessary to operate, maintain, and manage the system, and to meet covenants with the bondholders.

OGDENSBURG BRIDGE AND PORT AUTHORITY

*OBA-33-18-00019-P	exempt	Increase in Bridge Toll Structure	To increase bridge toll revenue in order to become financially self-supporting. Our bridge operations are resulting in deficit
*OBA-07-19-00019-P	exempt	Increase in Bridge Toll Structure	To increase bridge toll revenue in order to become financially self-supporting. Our bridge operations are resulting in deficit

PARKS, RECREATION AND HISTORIC PRESERVATION, OFFICE OF

PKR-30-22-00001-P	07/27/23	Listing of state parks, parkways, recreation facilities and historic sites (facilities) and address/contact updates	To update the listing of state parks, parkways, recreation facilities and historic sites and the address/contact information

PEOPLE WITH DEVELOPMENTAL DISABILITIES, OFFICE FOR

*PDD-07-22-00004-EP	02/16/23	Certification of the Facility Class Known as Individualized Residential Alternative	To increase IRA capacity in cases of emergent circumstances
*PDD-07-22-00005-EP	02/16/23	General Purpose	To increase IRA capacity in cases of emergent circumstances
PDD-10-22-00010-EP	03/09/23	Training Flexibilities	To provide flexibility in training requirements
PDD-26-22-00005-P	06/29/23	Gender Identity and Expression	To ensure people are treated with dignity and respect
PDD-49-22-00004-P	12/07/23	Protection of Individuals Receiving Services	To add clarity and consistency

POWER AUTHORITY OF THE STATE OF NEW YORK

*PAS-01-10-00010-P	exempt	Rates for the sale of power and energy	Update ECSB Programs customers' service tariffs to streamline them/include additional required information
PAS-03-23-00005-P	exempt	Rates for the Sale of Power and Energy	Maintain system's fiscal integrity. This increase in rates does not result from Power Authority's rate increase to the Village

PUBLIC SERVICE COMMISSION

*PSC-09-99-00012-P	exempt	Transfer of books and records by Citizens Utilities Company	To relocate Ogden Telephone Company's books and records out-of-state
*PSC-15-99-00011-P	exempt	Electronic tariff by Woodcliff Park Corp.	To replace the company's current tariff with an electronic tariff
*PSC-12-00-00001-P	exempt	Winter bundled sales service election date by Central Hudson Gas & Electric Corporation	To revise the date

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
*PSC-44-01-00005-P	.... exempt	Annual reconciliation of gas costs by Corning Natural Gas Corporation	To authorize the company to include certain gas costs
*PSC-07-02-00032-P	.... exempt	Uniform business practices	To consider modification
*PSC-36-03-00010-P	.... exempt	Performance assurance plan by Verizon New York	To consider changes
*PSC-40-03-00015-P	.... exempt	Receipt of payment of bills by St. Lawrence Gas Company	To revise the process
*PSC-41-03-00010-P	.... exempt	Annual reconciliation of gas expenses and gas cost recoveries	To consider filings of various LDCs and municipalities
*PSC-41-03-00011-P	.... exempt	Annual reconciliation of gas expenses and gas cost recoveries	To consider filings of various LDCs and municipalities
*PSC-44-03-00009-P	.... exempt	Retail access data between jurisdictional utilities	To accommodate changes in retail access market structure or commission mandates
*PSC-02-04-00008-P	.... exempt	Delivery rates for Con Edison's customers in New York City and Westchester County by the City of New York	To rehear the Nov. 25, 2003 order
*PSC-06-04-00009-P	.... exempt	Transfer of ownership interest by SCS Energy LLC and AE Investors LLC	To transfer interest in Steinway Creek Electric Generating Company LLC to AE Investors LLC
*PSC-10-04-00005-P	.... exempt	Temporary protective order	To consider adopting a protective order
*PSC-10-04-00008-P	.... exempt	Interconnection agreement between Verizon New York Inc. and VIC-RMTS-DC, L.L.C. d/b/a Verizon Avenue	To amend the agreement
*PSC-14-04-00008-P	.... exempt	Submetering of natural gas service to industrial and commercial customers by Hamburg Fairgrounds	To submeter gas service to commercial customers located at the Buffalo Speedway
*PSC-15-04-00022-P	.... exempt	Submetering of electricity by Glenn Gardens Associates, L.P.	To permit submetering at 175 W. 87th St., New York, NY
*PSC-21-04-00013-P	.... exempt	Verizon performance assurance plan by Metropolitan Telecommunications	To clarify the appropriate performance level
*PSC-22-04-00010-P	.... exempt	Approval of new types of electricity meters by Powell Power Electric Company	To permit the use of the PE-1250 electronic meter
*PSC-22-04-00013-P	.... exempt	Major gas rate increase by Consolidated Edison Company of New York, Inc.	To increase annual gas revenues
*PSC-22-04-00016-P	.... exempt	Master metering of water by South Liberty Corporation	To waive the requirement for installation of separate water meters
*PSC-25-04-00012-P	.... exempt	Interconnection agreement between Frontier Communications of Ausable Valley, Inc., et al. and Sprint Communications Company, L.P.	To amend the agreement
*PSC-27-04-00008-P	.... exempt	Interconnection agreement between Verizon New York Inc. and various Verizon wireless affiliates	To amend the agreement

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
*PSC-27-04-00009-P	exempt	Interconnection agreement between Verizon New York Inc. and various Verizon wireless affiliates	To amend the agreement
*PSC-28-04-00006-P	exempt	Approval of loans by Dunkirk & Fredonia Telephone Company and Cassadaga Telephone Corporation	To authorize participation in the parent corporation's line of credit
*PSC-31-04-00023-P	exempt	Distributed generation service by Consolidated Edison Company of New York, Inc.	To provide an application form
*PSC-34-04-00031-P	exempt	Flat rate residential service by Emerald Green Lake Louise Marie Water Company, Inc.	To set appropriate level of permanent rates
*PSC-35-04-00017-P	exempt	Application form for distributed generation by Orange and Rockland Utilities, Inc.	To establish a new supplementary application form for customers
*PSC-43-04-00016-P	exempt	Accounts recievable by Rochester Gas and Electric Corporation	To include in its tariff provisions for the purchase of ESCO accounts recievable
*PSC-46-04-00012-P	exempt	Service application form by Consolidated Edison Company of New York, Inc.	To revise the form and make housekeeping changes
*PSC-46-04-00013-P	exempt	Rules and guidelines governing installation of metering equipment	To establish uniform statewide business practices
*PSC-02-05-00006-P	exempt	Violation of the July 22, 2004 order by Dutchess Estates Water Company, Inc.	To consider imposing remedial actions against the company and its owners, officers and directors
*PSC-09-05-00009-P	exempt	Submetering of natural gas service by Hamlet on Olde Oyster Bay	To consider submetering of natural gas to a commercial customer
*PSC-14-05-00006-P	exempt	Request for deferred accounting authorization by Freeport Electric Inc.	To defer expenses beyond the end of the fiscal year
*PSC-18-05-00009-P	exempt	Marketer Assignment Program by Consolidated Edison Company of New York, Inc.	To implement the program
*PSC-20-05-00028-P	exempt	Delivery point aggregation fee by Allied Frozen Storage, Inc.	To review the calculation of the fee
*PSC-25-05-00011-P	exempt	Metering, balancing and cashout provisions by Central Hudson Gas & Electric Corporation	To establish provisions for gas customers taking service under Service Classification Nos. 8, 9 and 11
*PSC-27-05-00018-P	exempt	Annual reconciliation of gas costs by New York State Electric & Gas Corporation	To consider the manner in which the gas cost incentive mechanism has been applied
*PSC-41-05-00013-P	exempt	Annual reconciliation of gas expenses and gas cost recoveries by local distribution companies and municipalities	To consider the filings
*PSC-45-05-00011-P	exempt	Treatment of lost and unaccounted gas costs by Corning Natural Gas Corporation	To defer certain costs

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
*PSC-46-05-00015-P	exempt	Sale of real and personal property by the Brooklyn Union Gas Company d/b/a KeySpan Energy Delivery New York and Steel Arrow, LLC	To consider the sale
*PSC-47-05-00009-P	exempt	Transferral of gas supplies by Corning Natural Gas Corporation	To approve the transfer
*PSC-50-05-00008-P	exempt	Long-term debt by Saratoga Glen Hollow Water Supply Corp.	To obtain long-term debt
*PSC-04-06-00024-P	exempt	Transfer of ownership interests by Mirant NY-Gen LLC and Orange and Rockland Utilities, Inc.	To approve of the transfer
*PSC-06-06-00015-P	exempt	Gas curtailment policies and procedures	To examine the manner and extent to which gas curtailment policies and procedures should be modified and/or established
*PSC-07-06-00009-P	exempt	Modification of the current Environmental Disclosure Program	To include an attributes accounting system
*PSC-22-06-00019-P	exempt	Hourly pricing by National Grid	To assess the impacts
*PSC-22-06-00020-P	exempt	Hourly pricing by New York State Electric & Gas Corporation	To assess the impacts
*PSC-22-06-00021-P	exempt	Hourly pricing by Rochester Gas & Electric Corporation	To assess the impacts
*PSC-22-06-00022-P	exempt	Hourly pricing by Consolidated Edison Company of New York, Inc.	To assess the impacts
*PSC-22-06-00023-P	exempt	Hourly pricing by Orange and Rockland Utilities, Inc.	To assess the impacts
*PSC-24-06-00005-EP	exempt	Supplemental home energy assistance benefits	To extend the deadline to Central Hudson's low-income customers
*PSC-25-06-00017-P	exempt	Purchased power adjustment by Massena Electric Department	To revise the method of calculating the purchased power adjustment and update the factor of adjustment
*PSC-34-06-00009-P	exempt	Inter-carrier telephone service quality standards and metrics by the Carrier Working Group	To incorporate appropriate modifications
*PSC-37-06-00015-P	exempt	Procedures for estimation of customer bills by Rochester Gas and Electric Corporation	To consider estimation procedures
*PSC-37-06-00017-P	exempt	Procedures for estimation of customer bills by Rochester Gas and Electric Corporation	To consider estimation procedures
*PSC-43-06-00014-P	exempt	Electric delivery services by Strategic Power Management, Inc.	To determine the proper mechanism for the rate-recovery of costs
*PSC-04-07-00012-P	exempt	Petition for rehearing by Orange and Rockland Utilities, Inc.	To clarify the order
*PSC-06-07-00015-P	exempt	Meter reading and billing practices by Central Hudson Gas & Electric Corporation	To continue current meter reading and billing practices for electric service

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
*PSC-06-07-00020-P	exempt	Meter reading and billing practices by Central Hudson Gas & Electric Corporation	To continue current meter reading and billing practices for gas service
*PSC-11-07-00010-P	exempt	Investigation of the electric power outages by the Consolidated Edison Company of New York, Inc.	To implement the recommendations in the staff's investigation
*PSC-11-07-00011-P	exempt	Storm-related power outages by Consolidated Edison Company of New York, Inc.	To modify the company's response to power outages, the timing for any such changes and other related matters
*PSC-17-07-00008-P	exempt	Interconnection agreement between Verizon New York Inc. and BridgeCom International, Inc.	To amend the agreement
*PSC-18-07-00010-P	exempt	Existing electric generating stations by Independent Power Producers of New York, Inc.	To repower and upgrade existing electric generating stations owned by Rochester Gas and Electric Corporation
*PSC-20-07-00016-P	exempt	Tariff revisions and making rates permanent by New York State Electric & Gas Corporation	To seek rehearing
*PSC-21-07-00007-P	exempt	Natural Gas Supply and Acquisition Plan by Corning Natural Gas Corporation	To revise the rates, charges, rules and regulations for gas service
*PSC-22-07-00015-P	exempt	Demand Side Management Program by Consolidated Edison Company of New York, Inc.	To recover incremental program costs and lost revenue
*PSC-23-07-00022-P	exempt	Supplier, transportation, balancing and aggregation service by National Fuel Gas Distribution Corporation	To explicitly state in the company's tariff that the threshold level of elective upstream transmission capacity is a maximum of 112,600 Dth/day of marketer-provided upstream capacity
*PSC-24-07-00012-P	exempt	Gas Efficiency Program by the City of New York	To consider rehearing a decision establishing a Gas Efficiency Program
*PSC-39-07-00017-P	exempt	Gas bill issuance charge by New York State Electric & Gas Corporation	To create a gas bill issuance charge unbundled from delivery rates
*PSC-41-07-00009-P	exempt	Submetering of electricity rehearing	To seek reversal
*PSC-42-07-00012-P	exempt	Energy efficiency program by Orange and Rockland Utilities, Inc.	To consider any energy efficiency program for Orange and Rockland Utilities, Inc.'s electric service
*PSC-42-07-00013-P	exempt	Revenue decoupling by Orange and Rockland Utilities, Inc.	To consider a revenue decoupling mechanism for Orange and Rockland Utilities, Inc.
*PSC-45-07-00005-P	exempt	Customer incentive programs by Orange and Rockland Utilities, Inc.	To establish a tariff provision
*PSC-02-08-00006-P	exempt	Additional central office codes in the 315 area code region	To consider options for making additional codes
*PSC-03-08-00006-P	exempt	Rehearing of the accounting determinations	To grant or deny a petition for rehearing of the accounting determinations
*PSC-04-08-00010-P	exempt	Granting of easement rights on utility property by Central Hudson Gas & Electric Corporation	To grant easement rights to Millennium Pipeline Company, L.L.C.

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
*PSC-04-08-00012-P	exempt	Marketing practices of energy service companies by the Consumer Protection Board and New York City Department of Consumer Affairs	To consider modifying the commission's regulation over marketing practices of energy service companies
*PSC-08-08-00016-P	exempt	Transfer of ownership by Entergy Nuclear Fitzpatrick LLC, et al.	To consider the transfer
*PSC-12-08-00019-P	exempt	Extend the provisions of the existing electric rate plan by Rochester Gas and Electric Corporation	To consider the request
*PSC-12-08-00021-P	exempt	Extend the provisions of the existing gas rate plan by Rochester Gas and Electric Corporation	To consider the request
*PSC-13-08-00011-P	exempt	Waiver of commission policy and NYSEG tariff by Turner Engineering, PC	To grant or deny Turner's petition
*PSC-13-08-00012-P	exempt	Voltage drops by New York State Electric & Gas Corporation	To grant or deny the petition
*PSC-23-08-00008-P	exempt	Petition requesting rehearing and clarification of the commission's April 25, 2008 order denying petition of public utility law project	To consider whether to grant or deny, in whole or in part, the May 7, 2008 Public Utility Law Project (PULP) petition for rehearing and clarification of the commission's April 25, 2008 order denying petition of Public Utility Law Project
*PSC-25-08-00007-P	exempt	Policies and procedures regarding the selection of regulatory proposals to meet reliability needs	To establish policies and procedures regarding the selection of regulatory proposals to meet reliability needs
*PSC-25-08-00008-P	exempt	Report on Callable Load Opportunities	Rider U report assessing callable load opportunities in New York City and Westchester County during the next 10 years
*PSC-28-08-00004-P	exempt	Con Edison's procedure for providing customers access to their account information	To consider Con Edison's implementation plan and timetable for providing customers access to their account information
*PSC-31-08-00025-P	exempt	Recovery of reasonable DRS costs from the cost mitigation reserve (CMR)	To authorize recovery of the DRS costs from the CMR
*PSC-32-08-00009-P	exempt	The ESCO referral program for KEDNY to be implemented by October 1, 2008	To approve, reject or modify, in whole or in part, KEDNY's recommended ESCO referral program
*PSC-33-08-00008-P	exempt	Noble Allegany's request for lightened regulation	To consider Noble Allegany's request for lightened regulation as an electric corporation
*PSC-36-08-00019-P	exempt	Land Transfer in the Borough of Manhattan, New York	To consider petition for transfer of real property to NYPH
*PSC-39-08-00010-P	exempt	RG&E's economic development plan and tariffs	Consideration of the approval of RG&E's economic development plan and tariffs
*PSC-40-08-00010-P	exempt	Loans from regulated company to its parent	To determine if the cash management program resulting in loans to the parent should be approved

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
*PSC-41-08-00009-P	exempt	Transfer of control of cable TV franchise	To determine if the transfer of control of Margaretville's cable TV subsidiary should be approved
*PSC-43-08-00014-P	exempt	Annual Reconcilliation of Gas Expenses and Gas Cost Recoveries	The filings of various LDCs and municipalities regarding their Annual Reconciliation of Gas Expenses and Gas Cost Recoveries
*PSC-46-08-00008-P	exempt	Property transfer in the Village of Avon, New York	To consider a petition for the transfer of street lighting and attached equipment to the Village of Avon, New York
*PSC-46-08-00010-P	exempt	A transfer of indirect ownership interests in nuclear generation facilities	Consideration of approval of a transfer of indirect ownership interests in nuclear generation facilities
*PSC-46-08-00014-P	exempt	The attachment of cellular antennae to an electric transmission tower	To approve, reject or modify the request for permission to attach cellular antennae to an electric transmission tower
*PSC-48-08-00005-P	exempt	A National Grid high efficiency gas heating equipment rebate program	To expand eligibility to customers converting from oil to natural gas
*PSC-48-08-00008-P	exempt	Petition for the master metering and submetering of electricity	To consider the request of Bay City Metering, to master meter & submeter electricity at 345 E. 81st St., New York, New York
*PSC-48-08-00009-P	exempt	Petition for the submetering of electricity	To consider the request of PCV/ST to submeter electricity at Peter Cooper Village & Stuyvesant Town, New York, New York
*PSC-50-08-00018-P	exempt	Market Supply Charge	A study on the implementation of a revised Market Supply Charge
*PSC-51-08-00006-P	exempt	Commission's October 27, 2008 Order on Future of Retail Access Programs in Case 07-M-0458	To consider a Petition for rehearing of the Commission's October 27, 2008 Order in Case 07-M-0458
*PSC-51-08-00007-P	exempt	Commission's October 27, 2008 Order in Cases 98-M-1343, 07-M-1514 and 08-G-0078	To consider Petitions for rehearing of the Commission's October 27, 2008 Order in Cases 98-M-1343, 07-M-1514 and 08-G-0078
*PSC-53-08-00011-P	exempt	Use of deferred Rural Telephone Bank funds	To determine if the purchase of a softswitch by Hancock is an appropriate use of deferred Rural Telephone Bank funds
*PSC-53-08-00012-P	exempt	Transfer of permanent and temporary easements at 549-555 North Little Tor Road, New City, NY	Transfer of permanent and temporary easements at 549-555 North Little Tor Road, New City, NY
*PSC-53-08-00013-P	exempt	To transfer common stock and ownership	To consider transfer of common stock and ownership
*PSC-01-09-00015-P	exempt	FCC decision to redefine service area of Citizens/Frontier	Review and consider FCC proposed redefinition of Citizens/Frontier service area
*PSC-02-09-00010-P	exempt	Competitive classification of independent local exchange company, and regulatory relief appropriate thereto	To determine if Chazy & Westport Telephone Corporation more appropriately belongs in scenario 1 rather than scenario 2

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
*PSC-05-09-00008-P	exempt	Revenue allocation, rate design, performance metrics, and other non-revenue requirement issues	To consider any remaining non-revenue requirement issues related to the Company's May 9, 2008 tariff filing
*PSC-05-09-00009-P	exempt	Numerous decisions involving the steam system including cost allocation, energy efficiency and capital projects	To consider the long term impacts on steam rates and on public policy of various options concerning the steam system
*PSC-06-09-00007-P	exempt	Interconnection of the networks between Frontier Comm. and WVT Communications for local exchange service and exchange access	To review the terms and conditions of the negotiated agreement between Frontier Comm. and WVT Comm.
*PSC-07-09-00015-P	exempt	Transfer certain utility assets located in the Town of Montgomery from plant held for future use to non-utility property	To consider the request to transfer certain utility assets located in the Town of Montgomery to non-utility assets
*PSC-07-09-00017-P	exempt	Request for authorization to defer the incremental costs incurred in the restoration work resulting from the ice storm	To allow the company to defer the incremental costs incurred in the restoration work resulting from the ice storm
*PSC-07-09-00018-P	exempt	Whether to permit the submetering of natural gas service to an industrial and commercial customer at Cooper Union, New York, NY	To consider the request of Cooper Union, to submeter natural gas at 41 Cooper Square, New York, New York
*PSC-12-09-00010-P	exempt	Charges for commodity	To charge customers for commodity costs
*PSC-12-09-00012-P	exempt	Charges for commodity	To charge customers for commodity costs
*PSC-13-09-00008-P	exempt	Options for making additional central office codes available in the 718/347 numbering plan area	To consider options for making additional central office codes available in the 718/347 numbering plan area
*PSC-14-09-00014-P	exempt	The regulation of revenue requirements for municipal utilities by the Public Service Commission	To determine whether the regulation of revenue requirements for municipal utilities should be modified
*PSC-16-09-00010-P	exempt	Petition for the submetering of electricity	To consider the request of AMPS on behalf of Park Imperial to submeter electricity at 230 W. 56th Street, in New York, New York
*PSC-16-09-00020-P	exempt	Whether SUNY's core accounts should be exempt from the mandatory assignment of local distribution company (LDC) capacity	Whether SUNY's core accounts should be exempt from the mandatory assignment of local distribution company (LDC) capacity
*PSC-17-09-00010-P	exempt	Whether to permit the use of Elster REX2 solid state electric meter for use in residential and commerical accounts	To permit electric utilities in New York State to use the Elster REX2
*PSC-17-09-00011-P	exempt	Whether Brooklyn Navy Yard Cogeneration Partners, L.P. should be reimbursed by Con Edison for past and future use taxes	Whether Brooklyn Navy Yard Cogeneration Partners, L.P. should be reimbursed by Con Edison for past and future use taxes
*PSC-17-09-00012-P	exempt	Petition for the submetering of gas at commercial property	To consider the request of Turner Construction, to submeter natural gas at 550 Short Ave., & 10 South St., Governors Island, NY
*PSC-17-09-00014-P	exempt	Benefit-cost framework for evaluating AMI programs prepared by the DPS Staff	To consider a benefit-cost framework for evaluating AMI programs prepared by the DPS Staff

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
*PSC-17-09-00015-P	exempt	The construction of a tower for wireless antennas on land owned by National Grid	To approve, reject or modify the petition to build a tower for wireless antennas in the Town of Onondaga
*PSC-18-09-00012-P	exempt	Petition for rehearing of Order approving the submetering of electricity	To consider the request of Frank Signore to rehear petition to submeter electricity at One City Place in White Plains, New York
*PSC-18-09-00013-P	exempt	Petition for the submetering of electricity	To consider the request of Living Opportunities of DePaul to submeter electricity at E. Main St. located in Batavia, New York
*PSC-18-09-00017-P	exempt	Approval of an arrangement for attachment of wireless antennas to the utility's transmission facilities in the City of Yonkers	To approve, reject or modify the petition for the existing wireless antenna attachment to the utility's transmission tower
*PSC-20-09-00016-P	exempt	The recovery of, and accounting for, costs associated with the Companies' advanced metering infrastructure (AMI) pilots etc	To consider a filing of the Companies as to the recovery of, and accounting for, costs associated with it's AMI pilots etc
*PSC-20-09-00017-P	exempt	The recovery of, and accounting for, costs associated with CHG&E's AMI pilot program	To consider a filing of CHG&E as to the recovery of, and accounting for, costs associated with it's AMI pilot program
*PSC-22-09-00011-P	exempt	Cost allocation for Consolidated Edison's East River Repowering Project	To determine whether any changes are warranted in the cost allocation of Consolidated Edison's East River Repowering Project
*PSC-25-09-00005-P	exempt	Whether to grant, deny, or modify, in whole or in part, the petition	Whether to grant, deny, or modify, in whole or in part, the petition
*PSC-25-09-00006-P	exempt	Electric utility implementation plans for proposed web based SIR application process and project status database	To determine if the proposed web based SIR systems are adequate and meet requirements needed for implementation
*PSC-25-09-00007-P	exempt	Electric rates for Consolidated Edison Company of New York, Inc	Consider a Petition for Rehearing filed by Consolidated Edison Company of New York, Inc
*PSC-27-09-00011-P	exempt	Interconnection of the networks between Vernon and tw telecom of new york l.p. for local exchange service and exchange access	To review the terms and conditions of the negotiated agreement between Vernon and TW Telecom of New York L.P.
*PSC-27-09-00014-P	exempt	Billing and payment for energy efficiency measures through utility bill	To promote energy conservation
*PSC-27-09-00015-P	exempt	Interconnection of the networks between Oriskany and tw telecom of new york l.p. for local exchange service and exchange access	To review the terms and conditions of the negotiated agreement between Oriskany and TW Telecom of New York L.P.
*PSC-29-09-00011-P	exempt	Consideration of utility compliance filings	Consideration of utility compliance filings
*PSC-32-09-00009-P	exempt	Cost allocation for Consolidated Edison's East River Repowering Project	To determine whether any changes are warranted in the cost allocation of Consolidated Edison's East River Repowering Project
*PSC-34-09-00016-P	exempt	Recommendations made in the Management Audit Final Report	To consider whether to take action or recommendations contained in the Management Audit Final Report

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PUBLIC SERVICE COMMISSION			
*PSC-34-09-00017-P	.... exempt	To consider the transfer of control of Plattsburgh Cablevision, Inc. d/b/a Charter Communications to CH Communications, LLC	To allow the Plattsburgh Cablevision, Inc. to distribute its equity interest in CH Communications, LLC
*PSC-36-09-00008-P	.... exempt	The increase in the non-bypassable charge implemented by RG&E on June 1, 2009	Considering exemptions from the increase in the non-bypassable charge implemented by RG&E on June 1, 2009
*PSC-37-09-00015-P	.... exempt	Sale of customer-generated steam to the Con Edison steam system	To establish a mechanism for sale of customer-generated steam to the Con Edison steam system
*PSC-37-09-00016-P	.... exempt	Applicability of electronic signatures to Deferred Payment Agreements	To determine whether electronic signatures can be accepted for Deferred Payment Agreements
*PSC-39-09-00015-P	.... exempt	Modifications to the $5 Bill Credit Program	Consideration of petition of National Grid to modify the Low Income $5 Bill Credit Program
*PSC-39-09-00018-P	.... exempt	The offset of deferral balances with Positive Benefit Adjustments	To consider a petition to offset deferral balances with Positive Benefit Adjustments
*PSC-40-09-00013-P	.... exempt	Uniform System of Accounts - request for deferral and amortization of costs	To consider a petition to defer and amortize costs
*PSC-51-09-00029-P	.... exempt	Rules and guidelines for the exchange of retail access data between jurisdictional utilities and eligible ESCOs	To revise the uniform Electronic Data Interchange Standards and business practices to incorporate a contest period
*PSC-51-09-00030-P	.... exempt	Waiver or modification of Capital Expenditure condition of merger	To allow the companies to expend less funds for capital improvement than required by the merger
*PSC-52-09-00006-P	.... exempt	ACE's petition for rehearing for an order regarding generator-specific energy deliverability study methodology	To consider whether to change the Order Prescribing Study Methodology
*PSC-52-09-00008-P	.... exempt	Approval for the New York Independent System Operator, Inc. to incur indebtedness and borrow up to $50,000,000	To finance the renovation and construction of the New York Independent System Operator, Inc.'s power control center facilities
*PSC-05-10-00008-P	.... exempt	Petition for the submetering of electricity	To consider the request of University Residences - Rochester, LLC to submeter electricity at 220 John Street, Henrietta, NY
*PSC-05-10-00015-P	.... exempt	Petition for the submetering of electricity	To consider the request of 243 West End Avenue Owners Corp. to submeter electricity at 243 West End Avenue, New York, NY
*PSC-06-10-00022-P	.... exempt	The Commission's Order of December 17, 2009 related to redevelopment of Consolidated Edison's Hudson Avenue generating facility	To reconsider the Commission's Order of December 17, 2009 related to redevelopment of the Hudson Avenue generating facility
*PSC-07-10-00009-P	.... exempt	Petition to revise the Uniform Business Practices	To consider the RESA petition to allow rescission of a customer request to return to full utility service
*PSC-08-10-00007-P	.... exempt	Whether to grant, deny, or modify , in whole or in part, the rehearing petition filed in Case 06-E-0847	Whether to grant, deny, or modify , in whole or in part, the rehearing petition filed in Case 06-E-0847

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PUBLIC SERVICE COMMISSION			
*PSC-08-10-00009-P	. . . . exempt	Consolidated Edison of New York, Inc. energy efficiency programs	To modify approved energy efficiency programs
*PSC-12-10-00015-P	. . . . exempt	Recommendations made by Staff intended to enhance the safety of Con Edison's gas operations	To require that Con Edison implement the Staff recommendations intended to enhance the safety of Con Edison's gas operations
*PSC-14-10-00010-P	. . . . exempt	Petition for the submetering of electricity	To consider the request of 61 Jane Street Owners Corporation to submeter Electricity at 61 Jane Street, Manhattan, NY
*PSC-16-10-00005-P	. . . . exempt	To consider adopting and expanding mobile stray voltage testing requirements	Adopt additional mobile stray voltage testing requirements
*PSC-16-10-00007-P	. . . . exempt	Interconnection of the networks between TDS Telecom and PAETEC Communications for local exchange service and exchange access	To review the terms and conditions of the negotiated agreement between TDS Telecom and PAETEC Communications
*PSC-16-10-00015-P	. . . . exempt	Interconnection of the networks between Frontier and Choice One Communications for local exchange service and exchange access	To review the terms and conditions of the negotiated agreement between Frontier and Choice One Communications
*PSC-18-10-00009-P	. . . . exempt	Electric utility transmission right-of-way management practices	To consider electric utility transmission right-of-way management practices
*PSC-19-10-00022-P	. . . . exempt	Whether National Grid should be permitted to transfer a parcel of property located at 1 Eddy Street, Fort Edward, New York	To decide whether to approve National Grid's request to transfer a parcel of vacant property in Fort Edward, New York
*PSC-22-10-00006-P	. . . . exempt	Requirement that Noble demonstrate that its affiliated electric corporations operating in New York are providing safe service	Consider requiring that Noble demonstrate that its affiliated electric corporations in New York are providing safe service
*PSC-22-10-00008-P	. . . . exempt	Petition for the submetering of electricity	To consider the request of 48-52 Franklin Street to submeter electricity at 50 Franklin Street, New York, New York
*PSC-24-10-00009-P	. . . . exempt	Verizon New York Inc. tariff regulations relating to voice messaging service	To remove tariff regulations relating to retail voice messaging service from Verizon New York Inc.'s tariff
*PSC-25-10-00012-P	. . . . exempt	Reassignment of the 2-1-1 abbreviated dialing code	Consideration of petition to reassign the 2-1-1 abbreviated dialing code
*PSC-27-10-00016-P	. . . . exempt	Petition for the submetering of electricity	To consider the request of 9271 Group, LLC to submeter electricity at 960 Busti Avenue, Buffalo, New York
*PSC-34-10-00003-P	. . . . exempt	The modification of Central Hudson Gas & Electric Corporation's Enhanced Powerful Opportunities Program	The modification of Central Hudson Gas & Electric Corporation's Enhanced Powerful Opportunities Program
*PSC-34-10-00005-P	. . . . exempt	Approval of a contract for $250,000 in tank repairs that may be a financing	To decide whether to approve a contract between the parties that may be a financing of $250,000 for tank repairs
*PSC-34-10-00006-P	. . . . exempt	The modification of Central Hudson Gas & Electric Corporation's Enhanced Powerful Opportunities Program	The modification of Central Hudson Gas & Electric Corporation's Enhanced Powerful Opportunities Program

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
*PSC-36-10-00010-P	exempt	Central Hudson's procedures, terms and conditions for an economic development plan	Consideration of Central Hudson's procedures, terms and conditions for an economic development plan
*PSC-40-10-00014-P	exempt	Disposition of a state sales tax refund	To determine how much of a state sales tax refund should be retained by National Grid
*PSC-40-10-00021-P	exempt	Whether to permit the submetering of natural gas service to a commercial customer at Quaker Crossing Mall	To permit the submetering of natural gas service to a commercial customer at Quaker Crossing Mall
*PSC-41-10-00018-P	exempt	Amount of hourly interval data provided to Hourly Pricing customers who have not installed a phone line to read meter	Allow Central Hudson to provide less than a years worth of interval data and charge for manual meter reading for some customers
*PSC-41-10-00022-P	exempt	Request for waiver of the individual living unit metering requirements at 5742 Route 5, Vernon, NY	Request for waiver of the individual living unit metering requirements at 5742 Route 5, Vernon, NY
*PSC-42-10-00011-P	exempt	Petition for the submetering of electricity	To consider the request of 4858 Group, LLC to submeter electricity at 456 Main Street, Buffalo, New York
*PSC-43-10-00016-P	exempt	Utility Access to Ducts, Conduit Facilities and Utility Poles	To review the complaint from Optical Communications Group
*PSC-44-10-00003-P	exempt	Third and fourth stage gas rate increase by Corning Natural Gas Corporation	To consider Corning Natural Gas Corporation's request for a third and fourth stage gas rate increase
*PSC-51-10-00018-P	exempt	Commission proceeding concerning three-phase electric service by all major electric utilities	Investigate the consistency of the tariff provisions for three-phase electric service for all major electric utilities
*PSC-11-11-00003-P	exempt	The proposed transfer of 55.42 acres of land and $1.4 million of revenues derived from the rendition of public service	The proposed transfer of 55.42 acres of land and $1.4 million of revenues derived from the rendition of public service
*PSC-13-11-00005-P	exempt	Exclude the minimum monthly bill component from the earnings test calculation	Exclude the minimum monthly bill component from the earnings test calculation
*PSC-14-11-00009-P	exempt	Petition for the submetering of electricity	To consider the request of 83-30 118th Street to submeter electricity at 83-30 118th Street, Kew Gardens, New York
*PSC-19-11-00007-P	exempt	Utility price reporting requirements related to the Commission's "Power to Choose" website	Modify the Commission's utility electric commodity price reporting requirements related to the "Power to Choose" website
*PSC-20-11-00012-P	exempt	Petition for the submetering of electricity	To consider the request of KMW Group LLC to submeter electricity at 122 West Street, Brooklyn, New York
*PSC-20-11-00013-P	exempt	Determining the reasonableness of Niagara Mohawk Power Corporation d/b/a National Grid 's make ready charges	To determine if the make ready charges of Niagara Mohawk Power Corporation d/b/a National Grid are reasonable
*PSC-22-11-00004-P	exempt	Whether to permit the use of the Sensus accWAVE for use in residential gas meter applications	To permit gas utilities in New York State to use the Sensus accWAVE diaphragm gas meter

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PUBLIC SERVICE COMMISSION			
*PSC-26-11-00007-P	exempt	Water rates and charges	To approve an increase in annual revenues by about $25,266 or 50%
*PSC-26-11-00009-P	exempt	Petition for the submetering of electricity at commercial property	To consider the request of by Hoosick River Hardwoods, LLC to submeter electricity at 28 Taylor Avenue, in Berlin, New York
*PSC-26-11-00012-P	exempt	Waiver of generation retirement notice requirements	Consideration of waiver of generation retirement notice requirements
*PSC-29-11-00011-P	exempt	Petition requesting the Commssion reconsider its May 19, 2011 Order and conduct a hearing, and petition to stay said Order	To consider whether to grant or deny, in whole or in part, Windstream New York's Petition For Reconsideration and Rehearing
*PSC-35-11-00011-P	exempt	Whether to permit Consolidated Edison a waiver to commission regulations Part 226.8	Permit Consolidated Edison to conduct a inspection program in lieu of testing the accuracy of Category C meters
*PSC-36-11-00006-P	exempt	To consider expanding mobile stray voltage testing requirements	Adopt additional mobile stray voltage testing requirements
*PSC-38-11-00002-P	exempt	Operation and maintenance procedures pertaining to steam trap caps	Adopt modified steam operation and maintenance procedures
*PSC-38-11-00003-P	exempt	Waiver of certain provisions of the electric service tariffs of Con Edison	Consideration of waiver of certain provisions of the electric service tariffs of Con Edison
*PSC-40-11-00010-P	exempt	Participation of regulated local exchange carriers in the New York Data Exchange, Inc. (NYDE)	Whether to partially modify its order requiring regulated local exchange carriers' participation NYDE
*PSC-40-11-00012-P	exempt	Granting of transfer of plant in-service to a regulatory asset	To approve transfer and recovery of unamortized plant investment
*PSC-42-11-00018-P	exempt	Availability of telecommunications services in New York State at just and reasonable rates	Providing funding support to help ensure availability of affordable telecommunications service throughout New York
*PSC-43-11-00012-P	exempt	Transfer of outstanding shares of stock	Transfer the issued outstanding shares of stock of The Meadows at Hyde Park Water-Works Corporation to HPWS, LLC
*PSC-47-11-00007-P	exempt	Remedying miscalculations of delivered gas as between two customer classes	Consideration of Con Edison's proposal to address inter-class delivery imbalances resulting from past Company miscalculations
*PSC-48-11-00007-P	exempt	Transfer of controlling interests in generation facilities from Dynegy to PSEG	Consideration of the transfer of controlling interests in electric generation facilities from Dynegy to PSEG
*PSC-48-11-00008-P	exempt	Petition for the submetering of electricity	To consider the request of To Better Days, LLC to submeter electricity at 37 East 4th Street, New York, New York
*PSC-01-12-00007-P	exempt	The New York State Reliability Council's revisions to its rules and measurements	To adopt revisions to various rules and measurements of the New York State Reliability Council
*PSC-01-12-00008-P	exempt	Transfer of real property and easements from NMPNS to NMP3	Consideration of the transfer of real property and easements from NMPNS to NMP3

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
*PSC-01-12-00009-P	.... exempt	Recovery of expenses related to the expansion of Con Edison's ESCO referral program, PowerMove	To determine how and to what extent expenses related to the Expansion of Con Edison's ESCO referral program should be recovered
*PSC-11-12-00002-P	.... exempt	Whether to grant, deny or modify, in whole or part, Hegeman's petition for a waiver of Commission policy and Con Edison tariff	Whether to grant, deny or modify, in whole or part, Hegeman's petition for a waiver of Commission policy and Con Edison tariff
*PSC-11-12-00005-P	.... exempt	Transfer of land and water supply assets	Transfer the land and associated water supply assets of Groman Shores, LLC to Robert Groman
*PSC-13-12-00005-P	.... exempt	Authorization to transfer certain real property	To decide whether to approve the transfer of certain real property
*PSC-19-12-00023-P	.... exempt	Petition for approval pursuant to Section 70 for the sale of goods with an original cost of less than $100,000	To consider whether to grant, deny or modify, in whole or in part, the petition filed by Orange and Rockland Utilities, Inc.
*PSC-21-12-00006-P	.... exempt	Tariff filing requirements and refunds	To determine if certain agreements should be filed pursuant to the Public Service Law and if refunds are warranted
*PSC-21-12-00011-P	.... exempt	Whether to grant, deny or modify, in whole or part, the petition for waiver of tariff Rules 8.6 and 47	Whether to grant, deny or modify, in whole or part, the petition for waiver of tariff Rules 8.6 and 47
*PSC-23-12-00007-P	.... exempt	The approval of a financing upon a transfer to Alliance of upstream ownership interests in a generation facility	To consider the approval of a financing upon a transfer to Alliance of upstream ownership interests in a generation facility
*PSC-23-12-00009-P	.... exempt	Over earnings sharing between rate payers and shareholders	To establish an Earnings Sharing Mechanism to be applied following the conclusion of Corning's rate plan
*PSC-27-12-00012-P	.... exempt	Implementation of recommendations made in a Management Audit Report	To consider implementation of recommendations made in a Management Audit Report
*PSC-28-12-00013-P	.... exempt	Exemption of reliability reporting statistics for the purpose of the 2012 Reliability Performance Mechanism	Consideration of Orange and Rockland Utilities request for exemption of the 2012 reliability reporting statistics
*PSC-29-12-00019-P	.... exempt	Waiver of 16 NYCRR 894.1 through 894.4	To allow the Town of Hamden to waive certain preliminary franchising procedures to expedite the franchising process
*PSC-30-12-00010-P	.... exempt	Waiver of 16 NYCRR 894.1 through 894.4	To allow the Town of Andes to waive certain preliminary franchising procedures to expedite the franchising process
*PSC-33-12-00009-P	.... exempt	Telecommunications companies ability to attach to utility company poles	Consideration of Tech Valley's ability to attach to Central Hudson poles
*PSC-37-12-00009-P	.... exempt	Proposed modification by Con Edison of its procedures to calculate estimated bills to its customers	Proposed modification by Con Edison of its procedures to calculate estimated bills to its customers
*PSC-42-12-00009-P	.... exempt	Regulation of Gipsy Trail Club, Inc.'s long-term financing agreements	To exempt Gipsy Trail Club, Inc. from Commission regulation of its financing agreements

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
*PSC-45-12-00008-P	exempt	Whether to grant, deny or modify, in whole or part, ESHG's petition for a waiver of Commission policy and RG&E tariff	Whether to grant, deny or modify, in whole or part, ESHG's petition for a waiver of Commission policy and RG&E tariff
*PSC-45-12-00010-P	exempt	Whether to grant, deny or modify, in whole or in part the petition of Con Edison to grant easements to Millwood Fire District	Whether to grant, deny or modify, in whole or in part the petition of Con Edison to grant easements to Millwood Fire District
*PSC-50-12-00003-P	exempt	Affiliate standards for Corning Natural Gas Corporation	To resolve issues raised by Corning Natural Gas Corporation in its petition for rehearing
*PSC-04-13-00006-P	exempt	Expansion of mandatory day ahead hourly pricing for customers of Orange and Rockland Utilities with demands above 100 kW	To consider the expansion of mandatory day ahead hourly pricing for customers with demands above 100 kW
*PSC-04-13-00007-P	exempt	Authorization to transfer certain real property	To decide whether to approve the transfer of certain real property
*PSC-06-13-00008-P	exempt	Verizon New York Inc.'s retail service quality	To investigate Verizon New York Inc.'s retail service quality
*PSC-08-13-00012-P	exempt	Filing requirements for certain Article VII electric facilities	To ensure that applications for certain electric transmission facilities contain pertinent information
*PSC-08-13-00014-P	exempt	Uniform System of Accounts - Request for Accounting Authorization	To allow the company to defer an item of expense or capital beyond the end of the year in which it was incurred
*PSC-12-13-00007-P	exempt	Protecting company water mains	To allow the company to require certain customers to make changes to the electrical grounding system at their homes
*PSC-13-13-00008-P	exempt	The potential waiver of 16 NYCRR 255.9221(d) completion of integrity assessments for certain gas transmission lines	To determine whether a waiver of the timely completion of certain gas transmission line integrity assessments should be granted
*PSC-18-13-00007-P	exempt	Whether Demand Energy Networks energy storage systems should be designated technologies for standby rate eligibility purposes	Whether Demand Energy Networks energy storage systems should be designated technologies for standby rate eligibility purposes
*PSC-21-13-00003-P	exempt	To consider policies that may impact consumer acceptance and use of electric vehicles	To consider and further develop policies that may impact consumer acceptance and use of electric vehicles
*PSC-21-13-00005-P	exempt	To implement an abandonment of Windover's water system	To approve the implementation of abandonment of Windover's water system
*PSC-21-13-00008-P	exempt	Rates of National Fuel Gas Distribution Corporation	To make the rates of National Fuel Gas Distribution Corporation temporary, subject to refund, if they are found to be excessive
*PSC-21-13-00009-P	exempt	Reporting requirements for natural gas local distribution companies	To help ensure efficient and economic expansion of the natural gas system as appropriate
*PSC-22-13-00009-P	exempt	On remand from New York State court litigation, determine the recovery of certain deferred amounts owed NFG by ratepayers	On remand, to determine the recovery of certain deferral amounts owed NFG from ratepayers

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
*PSC-23-13-00005-P	exempt	Waiver of partial payment, directory database distribution, service quality reporting, and service termination regulations	Equalize regulatory treatment based on level of competition and practical considerations
*PSC-25-13-00008-P	exempt	To deny, grant or modify, in whole or in part, Central Hudson's rehearing request	To deny, grant or modify, in whole or in part, Central Hudson's rehearing request
*PSC-25-13-00009-P	exempt	Provision by utilities of natural gas main and service lines	To help ensure efficient and economic expansion of the natural gas system as appropriate
*PSC-25-13-00012-P	exempt	To deny, grant or modify, in whole or in part, Central Hudson's rehearing request	To deny, grant or modify, in whole or in part, Central Hudson's rehearing request
*PSC-27-13-00014-P	exempt	Columbia Gas Transmission Corporation Cost Refund	For approval for temporary waiver of tariff provisions regarding its Columbia Gas Transmission Corporation cost refund
*PSC-28-13-00014-P	exempt	Provision for the recovery and allocation of costs of transmission projects that reduce congestion on certain interfaces	To consider the recovery and allocation of costs of transmission projects that reduce congestion on certain interfaces
*PSC-28-13-00016-P	exempt	The request of NGT for lightened regulation as a gas corporation	To consider whether to approve, reject, or modify the request of Niagara gas transport of Lockport, NY LLC
*PSC-28-13-00017-P	exempt	The request by TE for waiver of regulations requiring that natural gas be odorized in certain gathering line segments	Consider the request by TE for waiver of regulations that gas be odorized in certain lines
*PSC-32-13-00009-P	exempt	To consider the definition of "misleading or deceptive conduct" in the Commission's Uniform Business Practices	To consider the definition of "misleading or deceptive conduct" in the Commission's Uniform Business Practices
*PSC-32-13-00012-P	exempt	To consider whether NYSEG should be required to undertake actions to protect its name and to minimize customer confusion	To consider whether NYSEG should be required to undertake actions to protect its name and to minimize customer confusion
*PSC-33-13-00027-P	exempt	Waive underground facility requirements for new construction in residential subdivisions to allow for overhead electric lines	Determine whether Chapin Lumberland, LLC subdivision will be allowed overhead electric distribution and service lines
*PSC-33-13-00029-P	exempt	Deferral of incremental costs associated with the restoration of steam service following Superstorm Sandy	To consider a petition by Con Edison to defer certain incremental steam system restoration costs relating to Superstorm Sandy
*PSC-34-13-00004-P	exempt	Escrow account and surcharge to fund extraordinary repairs	To approve the establishment of an escrow account and surcharge
*PSC-42-13-00013-P	exempt	Failure to Provide Escrow Information	The closure of the Escrow Account
*PSC-42-13-00015-P	exempt	Failure to Provide Escrow Information	The closure of the Escrow Account
*PSC-43-13-00015-P	exempt	Petition for submetering of electricity	To consider the request of 2701 Kingsbridge Terrace L.P. to submeter electricity at 2701 Kingsbridge Terrace, Bronx, N.Y.
*PSC-45-13-00021-P	exempt	Investigation into effect of bifurcation of gas and electric utility service on Long Island	To consider a Petition for an investigation into effect of bifurcation of gas and electric utility service on Long Island

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
*PSC-45-13-00022-P	exempt	Waiver of PSC regulations, 16 NYCRR section 88.4(a)(4)	To consider a waiver of certain regulations relating to the content of an application for transmission line siting
*PSC-45-13-00023-P	exempt	Waiver of PSC regulations, 16 NYCRR section 88.4(a)(4)	To consider a waiver of certain regulations relating to the content of an application for transmission line siting
*PSC-45-13-00024-P	exempt	Waiver of PSC regulations, 16 NYCRR section 88.4(a)(4); waiver of filing deadlines	To consider a waiver of certain regulations relating to the content of an application for transmission line siting
*PSC-45-13-00025-P	exempt	Waiver of PSC regulations, 16 NYCRR section 88.4(a)(4)	To consider a waiver of certain regulations relating to the content of an application for transmission line siting
*PSC-47-13-00009-P	exempt	Petition for submetering of electricity	To consider the request of Hegeman Avenue Housing L.P. to submeter electricity at 39 Hegeman Avenue, Brooklyn, N.Y
*PSC-47-13-00012-P	exempt	Conditioning,restricting or prohibiting the purchase of services by NYSEG and RG&E from certain affiliates	Consideration of conditioning,restricting or prohibiting the purchase of services by NYSEG and RG&E from certain affiliates
*PSC-49-13-00008-P	exempt	Authorization to transfer all of Crystal Water Supply Company, Inc. stocks to Essel Infra West Inc.	To allow Crystal Water Supply Company, Inc to transfer all of its issued and outstanding stocks to Essel Infra West Inc.
*PSC-51-13-00009-P	exempt	Consolidated Edison proposing to use data from a test period ending September 30, 2013 to support its next rate filing	To ensure there is a reasonable basis for data submitted in support of a request for a change in rates
*PSC-51-13-00010-P	exempt	Consolidated Edison proposing to use data from a test period ending September 30, 2013 to support its next rate filing	To ensure there is a reasonable basis for data submitted in support of a request for a change in rates
*PSC-51-13-00011-P	exempt	Consolidated Edison proposing to use data from a test period ending September 30, 2013 to support its next rate filing	To ensure there is a reasonable basis for data submitted in support of a request for a change in rates
*PSC-52-13-00012-P	exempt	The development of reliability contingency plan(s) to address the potential retirement of Indian Point Energy Center (IPEC)	To address the petition for rehearing and reconsideration/motion for clarification of the IPEC reliability contingency plan(s)
*PSC-52-13-00015-P	exempt	To enter into a loan agreement with the banks for up to an amount of $94,000	To consider allowing Knolls Water Company to enter into a long-term loan agreement
*PSC-05-14-00010-P	exempt	The New York State Reliability Council's revisions to its rules and measurements	To adopt revisions to various rules and measurements of the New York State Reliability Council
*PSC-07-14-00008-P	exempt	Petition for submetering of electricity	To consider the request of Greater Centennial Homes HDFC, Inc. to submeter electricity at 102, 103 and 106 W 5th Street, et al.
*PSC-07-14-00012-P	exempt	Water rates and charges	Implementation of Long-Term Water Supply Surcharge to recover costs associated with the Haverstraw Water Supply Project
*PSC-08-14-00015-P	exempt	Verizon New York Inc.'s service quality and Customer Trouble Report Rate (CTRR) levels at certain central office entities	To improve Verizon New York Inc.'s service quality andthe Customer Trouble Report Rate levels at certain central office entities

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
*PSC-10-14-00006-P	exempt	Actions to facilitate the availability of ESCO value-added offerings, ESCO eligibility and ESCO compliance	To facilitate ESCO value-added offerings and to make changes to ESCO eligibility and to ensure ESCO compliance
*PSC-11-14-00003-P	exempt	Provision for the recovery and allocation of costs of transmission projects that reduce congestion on certain interfaces	To consider the recovery and allocation of costs of transmission projects that reduce congestion on certain interfaces
*PSC-16-14-00014-P	exempt	Whether to order NYSEG to provide gas service to customers when an expanded CPCN is approved and impose PSL 25-a penalties	To order gas service to customers in the Town of Plattsburgh after approval of a town wide CPCN and to impose penalties
*PSC-16-14-00015-P	exempt	Whether Central Hudson should be permitted to defer obligations of the Order issued on October 18, 2013 in Case 13-G-0336	Consideration of the petition by Central Hudson to defer reporting obligations of the October 18, 2013 Order in Case 13-G-0336
*PSC-17-14-00003-P	exempt	Con Edison's Report on its 2013 performance under the Electric Service Reliability Performance Mechanism	Con Edison's Report on its 2013 performance under the Electric Service Reliability Performance Mechanism
*PSC-17-14-00004-P	exempt	To consider certain portions of petitions for rehearing, reconsideration and/or clarification	To consider certain portions of petitions for rehearing, reconsideration and/or clarification
*PSC-17-14-00007-P	exempt	To consider petitions for rehearing, reconsideration and/or clarification	To consider petitions for rehearing, reconsideration and/or clarification
*PSC-17-14-00008-P	exempt	To consider certain portions of petitions for rehearing, reconsideration and/or clarification	To consider certain portions of petitions for rehearing, reconsideration and/or clarification
*PSC-19-14-00014-P	exempt	Market Supply Charge	To make tariff revisions to the Market Supply Charge for capacity related costs
*PSC-19-14-00015-P	exempt	Whether to permit the use of the Sensus accuWAVE for use in residential and commercial gas meter applications	To permit gas utilities in New York State to use the Sensus accuWAVE 415TC gas meter
*PSC-22-14-00013-P	exempt	Petition to transfer and merge systems, franchises and assets	To consider the Comcast and Time Warner Cable merger and transfer of systems, franchises and assets
*PSC-23-14-00010-P	exempt	Whether to permit the use of the GE Dresser Series B3-HPC 11M-1480 rotary gas met for use in industrial gas meter applications	To permit gas utilities in New York State to use the GE Dresser Series B3-HPC 11M-1480 rotary gas meter
*PSC-23-14-00014-P	exempt	Waiver of the negative revenue adjustment associated with KEDLI's 2013 Customer Satisfaction Performance Metric	Consideration of KEDLI's waiver request pertaining to its 2013 performance under its Customer Satisfaction Metric
*PSC-24-14-00005-P	exempt	To examine LDC's performance and performance measures	To improve gas safety performance
*PSC-26-14-00013-P	exempt	Waiver of RG&E's tariffed definition of emergency generator	To consider waiver of RG&E's tariffed definition of emergency generator
*PSC-26-14-00020-P	exempt	New electric utility backup service tariffs and standards for interconnection may be adopted	To encourage development of microgrids that enhance the efficiency, safety, reliability and resiliency of the electric grid
*PSC-26-14-00021-P	exempt	Consumer protections, standards and protocols pertaining to access to customer data may be established	To balance the need for the information necessary to support a robust market with customer privacy concerns

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PUBLIC SERVICE COMMISSION			
*PSC-28-14-00014-P	.... exempt	Petition to transfer systems, franchises and assets	To consider the Comcast and Charter transfer of systems, franchise and assets
*PSC-30-14-00023-P	.... exempt	Whether to permit the use of the Sensus iPERL Fire Flow Meter	Pursuant to 16 NYCRR Part 500.3 , it is necessary to permit the use of the Sensus iPERL Fire Flow Meter
*PSC-30-14-00026-P	.... exempt	Petition for a waiver to master meter electricity	Considering the request of Renaissance Corporation of to master meter electricity at 100 Union Drive, Albany, NY
*PSC-31-14-00004-P	.... exempt	To transfer 100% of the issued and outstanding stock from Vincent Cross to Bonnie and Michael Cross	To transfer 100% of the issued and outstanding stock from Vincent Cross to Bonnie and Michael Cross
*PSC-32-14-00012-P	.... exempt	Whether to grant or deny, in whole or in part, the Connect New York Coalition's petition	To consider the Connect New York Coalition's petition seeking a formal investigation and hearings
*PSC-35-14-00004-P	.... exempt	Regulation of a proposed electricity generation facility located in the Town of Brookhaven, NY	To consider regulation of a proposed electricity generation facility located in the Town of Brookhaven, NY
*PSC-35-14-00005-P	.... exempt	Whether to permit the use of the Sensus iConA electric meter	Pursuant to 16 NYCRR Parts 92 and 93, Commission approval is necessary to permit the use of the Sensus iConA electric meter
*PSC-36-14-00009-P	.... exempt	Modification to the Commission's Electric Safety Standards	To consider revisions to the Commission's Electric Safety Standards
*PSC-38-14-00003-P	.... exempt	Whether to approve, reject or modify, in whole or in part a time-sensitive rate pilot program	Whether to approve, reject or modify, in whole or in part a time-sensitive rate pilot program
*PSC-38-14-00004-P	.... exempt	The study and petition of Con Edison regarding use, accounting and ratemaking treatment for 11-23 and 2-28 Hudson Ave. Brooklyn	The study and petition of Con Edison regarding use, accounting and ratemaking treatment for 11-23 and 2-28 Hudson Ave. Brooklyn
*PSC-38-14-00005-P	.... exempt	Action on the report and petition of Con Edison regarding the Storm Hardening and Resiliency Collaborative, Phase 2	Action on the report and petition of Con Edison regarding the Storm Hardening and Resiliency Collaborative, Phase 2
*PSC-38-14-00007-P	.... exempt	Whether to expand Con Edison's low income program to include Medicaid recipients	Whether to expand Con Edison's low income program to include Medicaid recipients
*PSC-38-14-00008-P	.... exempt	The study and petition of Con Edison regarding use, accounting and ratemaking treatment for 11-23 and 2-28 Hudson Ave. Brooklyn	The study and petition of Con Edison regarding use, accounting and ratemaking treatment for 11-23 and 2-28 Hudson Ave. Brooklyn
*PSC-38-14-00010-P	.... exempt	Inter-carrier telephone service quality standard and metrics and administrative changes	To review recommendations from the Carrier Working Group and incorporate appropriate modifications to the existing Guidelines
*PSC-38-14-00012-P	.... exempt	Action on the report and petition of Con Edison regarding the Storm Hardening and Resiliency Collaborative, Phase 2	Action on the report and petition of Con Edison regarding the Storm Hardening and Resiliency Collaborative, Phase 2
*PSC-39-14-00020-P	.... exempt	Whether to permit the use of the Mueller Systems 400 Series and 500 Series of water meters	Pursuant to 16 NYCRR section 500.3, whether to permit the use of the Mueller Systems 400, and 500 Series of water meters

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PUBLIC SERVICE COMMISSION			
*PSC-40-14-00008-P	exempt	To consider granting authorization for Buy Energy Direct to resume marketing to residential customers	To consider granting authorization for Buy Energy Direct to resume marketing to residential customers
*PSC-40-14-00009-P	exempt	Whether to permit the use of the Itron Open Way Centron Meter with Hardware 3.1 for AMR and AMI functionality	Pursuant to 16 NYCRR Parts 93, is necessary to permit the use of the Itron Open Way Centron Meter with Hardware 3.1
*PSC-40-14-00011-P	exempt	Late Payment Charge	To modify Section 7.6 - Late Payment Charge to designate a specific time for when a late payment charge is due
*PSC-40-14-00013-P	exempt	Regulation of a proposed natural gas pipeline and related facilities located in the Town of Ticonderoga, NY	To consider regulation of a proposed natural gas pipeline and related facilities located in the Town of Ticonderoga, NY
*PSC-40-14-00014-P	exempt	Waiver of 16 NYCRR Sections 894.1 through 894.4(b)(2)	To allow the Town of Goshen, NY, to waive certain preliminary franchising procedures to expedite the franchising process
*PSC-40-14-00015-P	exempt	Late Payment Charge	To modify Section 6.6 - Late Payment Charge to designate a specific time for when a late payment charge is due
*PSC-42-14-00003-P	exempt	Annual Reconciliation of Gas Expenses and Gas Cost Recoveries	The filings of various LDCs and municipalities regarding their Annual Reconciliation of Gas Expenses and Gas Cost Recoveries
*PSC-42-14-00004-P	exempt	Winter Bundled Sales Service Option	To modify SC-11 to remove language relating to fixed storage charges in the determination of the Winter Bundled Sales charge
*PSC-48-14-00014-P	exempt	Considering the recommendations contained in Staff' s electric outage investigation report for MNRR, New Haven Line	To consider the recommendations contained in Staff's electric outage investigation report for MNRR, New Haven Line
*PSC-52-14-00019-P	exempt	Petition for a waiver to master meter electricity	Considering the request of 614 South Crouse Avenue, LLC to master meter electricity at 614 South Crouse Avenue, Syracuse, NY
*PSC-01-15-00014-P	exempt	State Universal Service Fund Disbursements	To consider Edwards Telephone Company's request for State Universal Service Fund disbursements
*PSC-08-15-00010-P	exempt	Request pertaining to the lawfulness of National Grid USA continuing its summary billing program	To grant, deny, or modify URAC Rate Consultants' request that National Grid cease its summary billing program
*PSC-10-15-00007-P	exempt	Notification concerning tax refunds	To consider Verizon New York Inc.'s partial rehearing or reconsideration request regarding retention of property tax refunds
*PSC-10-15-00008-P	exempt	Whether to waive Policy on Test Periods in Major Rate Proceedings and provide authority to file tariff changes	Whether to waive Policy on Test Periods in Major Rate Proceedings and provide authority to file tariff changes
*PSC-13-15-00024-P	exempt	Whether Leatherstocking should be permitted to recover a shortfall in earnings	To decide whether to approve Leatherstocking's request to recover a shortfall in earnings
*PSC-13-15-00026-P	exempt	Whether to permit the use of the Sensus Smart Point Gas AMR/AMI product	To permit the use of the Sensus Smart Point Gas AMR/AMI product

Agency I.D. No.	Expires	Subject Matter	Purpose of Action

PUBLIC SERVICE COMMISSION

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
*PSC-13-15-00027-P	.... exempt	Whether to permit the use of the Measurlogic DTS 310 electric submeter	To permit the use of the Measurlogic DTS 310 submeter
*PSC-13-15-00028-P	.... exempt	Whether to permit the use of the SATEC EM920 electric meter	To permit necessary to permit the use of the SATEC EM920 electric meter
*PSC-13-15-00029-P	.... exempt	Whether to permit the use the Triacta Power Technologies 6103, 6112, 6303, and 6312 electric submeters	To permit the use of the Triacta submeters
*PSC-17-15-00007-P	.... exempt	To consider the petition of Leatherstocking Gas Company, LLC seeking authority to issue long-term debt of $2.75 million	To consider the petition of Leatherstocking Gas Company, LLC seeking authority to issue long-term debt of $2.75 million
*PSC-18-15-00005-P	.... exempt	Con Edison's Report on its 2014 performance under the Electric Service Reliability Performance Mechanism	Con Edison's Report on its 2014 performance under the Electric Service Reliability Performance Mechanism
*PSC-19-15-00011-P	.... exempt	Gas Safety Performance Measures and associated negative revenue adjustments	To update the performance measures applicable to KeySpan Gas East Corporation d/b/a National Grid
*PSC-22-15-00015-P	.... exempt	To consider the request for waiver of the individual residential unit meter requirements and 16 NYCRR 96.1(a)	To consider the request for waiver of the individual residential unit meter requirements and 16 NYCRR 96.1(a)
*PSC-23-15-00005-P	.... exempt	The modification of New York American Water's current rate plan	Whether to adopt the terms of the Joint Proposal submitted by NYAW and DPS Staff
*PSC-23-15-00006-P	.... exempt	The modification of New York American Water's current rate plan	Whether to adopt the terms of the Joint Proposal submitted by NYAW and DPS Staff
*PSC-25-15-00008-P	.... exempt	Notice of Intent to Submeter electricity	To consider the request of 165 E 66 Residences, LLC to submeter electricity at 165 East 66th Street, New York, New York
*PSC-29-15-00025-P	.... exempt	Joint Petition for authority to transfer real property located at 624 West 132nd Street, New York, NY	Whether to authorize the proposed transfer of real property located at 624 West 132nd Street, New York, NY
*PSC-32-15-00006-P	.... exempt	Development of a Community Solar Demonstration Project	To approve the development of a Community Solar Demonstration Project
*PSC-33-15-00009-P	.... exempt	Remote net metering of a demonstration community net metering program	To consider approval of remote net metering of a demonstration community net metering program
*PSC-33-15-00012-P	.... exempt	Remote net metering of a Community Solar Demonstration Project	To consider approval of remote net metering of a Community Solar Demonstration Project
*PSC-34-15-00021-P	.... exempt	Petition by NYCOM requesting assistance with obtaining information on CLECs and ESCOs	To consider the petition by NYCOM requesting assistance with obtaining information on CLECs and ESCOs
*PSC-35-15-00014-P	.... exempt	Consideration of consequences against Light Power & Gas, LLC for violations of the UBP	To consider consequences against Light Power & Gas, LLC for violations of the UBP
*PSC-37-15-00007-P	.... exempt	Submetered electricity	To consider the request of 89 Murray Street Ass. LLC, for clarification of the submetering order issued December 20, 2007

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
*PSC-40-15-00014-P	exempt	Whether to permit the use of the Open Way 3.5 with cellular communications	To consider the use of the Open Way 3.5 electric meter, pursuant to 16 NYCRR Parts 92 and 93
*PSC-42-15-00006-P	exempt	Deferral of incremental expenses associated with NERC's new Bulk Electric System (BES) compliance requirements approved by FERC	Consideration of Central Hudson's request to defer incremental expenses associated with new BES compliance requirements
*PSC-44-15-00028-P	exempt	Deferral of incremental expenses associated with new compliance requirements	Consideration of Central Hudson's request to defer incremental expenses associated with new compliance requirements
*PSC-47-15-00013-P	exempt	Whitepaper on Implementing Lightened Ratemaking Regulation	Consider Whitepaper on Implementing Lightened Ratemaking Regulation
*PSC-48-15-00011-P	exempt	Proposal to retire Huntley Units 67 and 68 on March 1, 2016	Consider the proposed retirement of Huntley Units 67 and 68
*PSC-50-15-00006-P	exempt	The reduction of rates	To consider the reduction of rates charged by Independent Water Works, Inc.
*PSC-50-15-00009-P	exempt	Notice of Intent to submeter electricity	To consider the request to submeter electricity at 31-33 Lincoln Road and 510 Flatbush Avenue, Brooklyn, New York
*PSC-51-15-00010-P	exempt	Modification of the EDP	To consider modifying the EDP
*PSC-01-16-00005-P	exempt	Proposed amendment to Section 5, Attachment 1.A of the Uniform Business Practices	To consider amendment to Section 5, Attachment 1.A of the Uniform Business Practices
*PSC-04-16-00007-P	exempt	Whether Hamilton Municipal Utilities should be permitted to construct and operate a municipal gas distribution facility	Consideration of the petition by Hamilton Municipal Utilities to construct and operate a municipal gas distribution facility
*PSC-04-16-00012-P	exempt	Proposal to mothball three gas turbines located at the Astoria Gas Turbine Generating Station	Consider the proposed mothball of three gas turbines located at the Astoria Gas Turbine Generating Station
*PSC-04-16-00013-P	exempt	Proposal to find that three gas turbines located at the Astoria Gas Turbine Generating Station are uneconomic	Consider whether three gas turbines located at the Astoria Gas Turbine Generating Station are uneconomic
*PSC-06-16-00013-P	exempt	Continued deferral of approximately $16,000,000 in site investigation and remediation costs	To consider the continued deferral of approximately $16,000,000 in site investigation and remediation costs
*PSC-06-16-00014-P	exempt	MEGA's proposed demonstration CCA program	To consider MEGA's proposed demonstration CCA program
*PSC-14-16-00008-P	exempt	Resetting retail markets for ESCO mass market customers	To ensure consumer protections with respect to residential and small non-residential ESCO customers
*PSC-18-16-00013-P	exempt	Amendments to the Uniform Business Practices of ESCOs	To ensure consumer protection for ESCO customers
*PSC-18-16-00014-P	exempt	Amendments to the Uniform Business Practices of ESCOs	To ensure consumer protection for ESCO customers

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
*PSC-18-16-00015-P	exempt	Petitions for rehearing of the Order Resetting Retail Energy Markets and Establishing Further Process	To ensure consumer protections for ESCO customers
*PSC-18-16-00016-P	exempt	Amendments to the Uniform Business Practices of ESCOs	To ensure consumer protection for ESCO customers
*PSC-18-16-00018-P	exempt	Amendments to the Uniform Business Practices of ESCOs	To ensure consumer protection for ESCO customers
*PSC-20-16-00008-P	exempt	Consideration of consequences against Global Energy Group, LLC for violations of the Uniform Business Practices (UBP)	To consider consequences against Global Energy Group, LLC for violations of the Uniform Business Practices (UBP)
*PSC-20-16-00010-P	exempt	Deferral and recovery of incremental expense	To consider deferring costs of conducting leak survey and repairs for subsequent recovery
*PSC-20-16-00011-P	exempt	Enetics LD-1120 Non-Intrusive Load Monitoring Device in the Statewide Residential Appliance Metering Study	To consider the use of the Enetics LD-1120 Non-Intrusive Load Monitoring Device
*PSC-25-16-00009-P	exempt	To delay Companies' third-party assessments of customer personally identifiable information until 2018	To extend the time period between the Companies' third-party assessments of customer personally identifiable information
*PSC-25-16-00025-P	exempt	Acquisition of all water supply assets of Woodbury Heights Estates Water Co., Inc. by the Village of Kiryas Joel	To consider acquisition of all water supply assets of Woodbury Heights Estates Water Co., Inc. by the Village of Kiryas Joel
*PSC-25-16-00026-P	exempt	Use of the Badger E Series Ultrasonic Cold Water Stainless Steel Meter, in residential fire service applications	To consider the use of the Badger E Series Ultrasonic Cold Water Stainless Steel Meter in fire service applications
*PSC-28-16-00017-P	exempt	A petition for rehearing of the Order Adopting a Ratemaking and Utility Revenue Model Policy Framework	To determine appropriate rules for and calculation of the distributed generation reliability credit
*PSC-29-16-00024-P	exempt	Participation of NYPA customers in surcharge-funded clean energy programs	To consider participation of NYPA customers in surcharge-funded clean energy programs
*PSC-32-16-00012-P	exempt	Benefit-Cost Analysis Handbooks	To evaluate proposed methodologies of benefit-cost evaluation
*PSC-33-16-00001-EP	exempt	Use of escrow funds for repairs	To authorize the use of escrow account funds for repairs
*PSC-33-16-00005-P	exempt	Exemption from certain charges for delivery of electricity to its Niagara Falls, New York facility	Application of System Benefits Charges, Renewable Portfolio Standard charges and Clean Energy Fund surcharges
*PSC-35-16-00015-P	exempt	NYSRC's revisions to its rules and measurements	To consider revisions to various rules and measurements of the NYSRC
*PSC-36-16-00004-P	exempt	Recovery of costs for installation of electric service	To consider the recovery of costs for installation of electric service
*PSC-40-16-00025-P	exempt	Consequences pursuant to the Commission's Uniform Business Practices (UBP)	To consider whether to impose consequences on Smart One for its apparent non-compliance with Commission requirements

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PUBLIC SERVICE COMMISSION			
*PSC-47-16-00009-P	.... exempt	Petition to use commercial electric meters	To consider the petition of Itron, Inc. to use the Itron CP2SO and CP2SOA in commercial electric meter applications
*PSC-47-16-00010-P	.... exempt	Standby Service rate design	To consider the report filed and the recommendations therein
*PSC-47-16-00013-P	.... exempt	Standby Service rate design	To consider the report filed and the recommendations therein
*PSC-47-16-00014-P	.... exempt	Standby Service rate design	To consider the report filed and the recommendations therein
*PSC-47-16-00016-P	.... exempt	Standby Service rate design	To consider the report filed and the recommendations therein
*PSC-02-17-00010-P	.... exempt	Implementation of the four EAMs	To consider the implementation of EAMs for RG&E
*PSC-02-17-00012-P	.... exempt	Implementation of the four EAMs	To consider the implementation of EAMs for NYSEG
*PSC-18-17-00024-P	.... exempt	A petition for rehearing or reconsideration of the Order Addressing Public Policy Transmission Need for AC Transmission Upgrades	To determine whether Public Policy Transmission Need/Public Policy Requirements continue to exist
*PSC-18-17-00026-P	.... exempt	Revisions to the Dynamic Load Management surcharge	To consider revisions to the Dynamic Load Management surcharge
*PSC-20-17-00008-P	.... exempt	Compressed natural gas as a motor fuel for diesel fueled vehicles	To consider a report filed by National Grid NY regarding the potential for adoption of compressed natural gas as a motor fuel
*PSC-20-17-00010-P	.... exempt	Compressed natural gas as a motor fuel for diesel fueled vehicles	To consider a report filed by National Grid regarding the potential for adoption of compressed natural gas as a motor fuel
*PSC-21-17-00013-P	.... exempt	The establishment and implementation of Earnings Adjustment Mechanisms	To consider the establishment and implementation of Earnings Adjustment Mechanisms
*PSC-21-17-00018-P	.... exempt	Proposed agreement for the provision of water service by Saratoga Water Services, Inc.	To consider a waiver and approval of terms of a service agreement
*PSC-22-17-00004-P	.... exempt	Financial incentives to create customer savings and develop market-enabling tools, with a focus on outcomes and incentives	To consider the proposed Interconnection Survey Process and Earnings Adjustment Mechanisms
*PSC-24-17-00006-P	.... exempt	Development of the Utility Energy Registry	Improved data access
*PSC-26-17-00005-P	.... exempt	Notice of Intent to submeter electricity	To consider the Notice of Intent to submeter electricity at 125 Waverly Street, Yonkers, New York
*PSC-34-17-00011-P	.... exempt	Waiver to permit Energy Cooperative of America to serve low-income customers	To consider the petition for a waiver

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
*PSC-37-17-00005-P	exempt	Financial incentives to create customer savings and develop market-enabling tools, with a focus on outcomes and incentives	To consider the revised Interconnection Survey Process and Earnings Adjustment Mechanisms
*PSC-39-17-00011-P	exempt	Whether to direct New York State Electric & Gas to complete electric facility upgrades at no charge to Hanehan	To determine financial responsibility between NYSEG and Hanehan for the electric service upgrades to Hanehan
*PSC-42-17-00010-P	exempt	Petition for rehearing of negative revenue adjustment and contents of annual Performance Report	To consider NFGD's petition for rehearing
*PSC-48-17-00015-P	exempt	Low Income customer options for affordable water bills	To consider the Low Income Bill Discount and/or Energy Efficiency Rebate Programs
*PSC-50-17-00017-P	exempt	New Wave Energy Corp.'s petition for rehearing	To consider the petition for rehearing filed by New Wave Energy Corp.
*PSC-50-17-00018-P	exempt	Application of the Public Service Law to DER suppliers	To determine the appropriate regulatory framework for DER suppliers
*PSC-50-17-00019-P	exempt	Transfer of utility property	To consider the transfer of utility property
*PSC-50-17-00021-P	exempt	Disposition of tax refunds and other related matters	To consider the disposition of tax refunds and other related matters
*PSC-51-17-00011-P	exempt	Petition for recovery of certain costs related to the implementation of a Non-Wires Alternative Project	To consider Con Edison's petition for the recovery of costs for implementing the JFK Project
*PSC-04-18-00005-P	exempt	Notice of intent to submeter electricity	To consider the notice of intent of Montante/ Morgan Gates Circle LLC to submeter electricity
*PSC-05-18-00004-P	exempt	Lexington Power's ZEC compliance obligation	To promote and maintain renewable and zero-emission electric energy resources
*PSC-06-18-00012-P	exempt	To consider further proposed amendments to the original criteria to grandfathering established in the Transition Plan	To modify grandfathering criteria
*PSC-06-18-00017-P	exempt	Merger of NYAW and Whitlock Farms Water Corp.	To consider the merger of NYAW and Whitlock Farms Water Company into a single corporate entity
*PSC-07-18-00015-P	exempt	The accuracy and reasonableness of National Grid's billing for certain interconnection upgrades	To consider AEC's petition requesting resolution of their billing dispute with National Grid
*PSC-11-18-00004-P	exempt	New York State Lifeline Program	To consider TracFone's petition seeking approval to participate in Lifeline
*PSC-13-18-00015-P	exempt	Eligibility of an ESCO to market to and enroll residential customers	To consider whether Astral should be allowed to market to and enroll residential customers following a suspension
*PSC-13-18-00023-P	exempt	Reconciliation of property taxes	To consider NYAW's request to reconcile property taxes
*PSC-14-18-00006-P	exempt	Petition for abandonment	To consider the abandonment of Willsboro Bay Water Company's water system

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
*PSC-17-18-00010-P	exempt	Petition for use of gas metering equipment	To ensure that consumer bills are based on accurate measurements of gas usage
*PSC-18-18-00009-P	exempt	Transfer of control of Keene Valley Video Inc.	To ensure performance in accordance with applicable cable laws, regulations and standards and the public interest
*PSC-23-18-00006-P	exempt	Whether to impose consequences on Aspirity for its non-compliance with Commission requirements	To ensure the provision of safe and adequate energy service at just and reasonable rates
*PSC-24-18-00013-P	exempt	Implementation of program rules for Renewable Energy Standard and ZEC requirements	To promote and maintain renewable and zero-emission electric energy resources
*PSC-28-18-00011-P	exempt	Storm Hardening Collaborative Report	To ensure safe and adequate gas service
*PSC-29-18-00008-P	exempt	Participation in Targeted Accessibility Fund	To encourage enhanced services for low-income consumers
*PSC-29-18-00009-P	exempt	Overvaluing real property tax expense recovery in water rates	To prevent unjust and unreasonable water rates
*PSC-34-18-00015-P	exempt	Petition to submeter electricity	To ensure adequate submetering equipment and energy efficiency protections are in place
*PSC-34-18-00016-P	exempt	Deferral of pre-staging and mobilization storm costs	To ensure just and reasonable rates for ratepayers and utility recovery of unexpected, prudently incurred costs
*PSC-35-18-00003-P	exempt	Con Edison's 2018 DSIP and BCA Handbook Update	To continue Con Edison's transition to a modern utility serving as a Distributed System Platform Provider
*PSC-35-18-00005-P	exempt	NYSEG and RG&E's 2018 DSIP and BCA Handbook Update	To continue NYSEG and RG&E's transition to modern utilities acting as Distributed System Platform Providers
*PSC-35-18-00006-P	exempt	National Grid's 2018 DSIP and BCA Handbook Update	To continue National Grid's transition to a modern utility serving as a Distributed System Platform Provider
*PSC-35-18-00008-P	exempt	Central Hudson's 2018 DSIP and BCA Handbook Update	To continue Central Hudson's transition to a modern utility serving as a Distributed System Platform Provider
*PSC-35-18-00010-P	exempt	O&R's 2018 DSIP and BCA Handbook Update	To continue O&R's transition to a modern utility acting as a Distributed System Platform Provider
*PSC-39-18-00005-P	exempt	Participation in New York State Lifeline Program	To encourage enhanced services for low-income customers
*PSC-40-18-00014-P	exempt	Annual Reconciliation of Gas Expenses and Gas Cost Recoveries	To review the gas utilities' reconciliation of Gas Expenses and Gas Cost Recoveries for 2018
*PSC-42-18-00011-P	exempt	Voluntary residential beneficial electrification rate design	To provide efficient rate design for beneficial technologies in New York State that is equitable for all residential customers

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
*PSC-42-18-00013-P	.... exempt	Petition for clarification and rehearing of the Smart Solutions Program Order	To address the increased demand for natural gas in the Con Edison's service territory and the limited pipeline capacity
*PSC-44-18-00016-P	.... exempt	Petition for approval of gas metering equipment	To ensure that customer bills are based on accurate measurements of gas usage
*PSC-45-18-00005-P	.... exempt	Notice of intent to submeter electricity and waiver of energy audit	To ensure adequate submetering equipment, consumer protections and energy efficiency protections are in place
*PSC-01-19-00013-P	.... exempt	Order of the Commission related to caller ID unblocking	To require telephone companies to unblock caller ID on calls placed to the 311 municipal call center in Suffolk County
*PSC-03-19-00002-P	.... exempt	DPS Staff White Paper for who must be trained in 16 NYCRR Part 753 requirements and how the Commission will approve trainings	To reduce damage to underground utility facilities by requiring certain training and approving training curricula
*PSC-04-19-00004-P	.... exempt	Con Edison's petition for the Gas Innovation Program and associated budget	To pursue programs that continue service reliability and meet customer energy needs while aiding greenhouse gas reduction goals
*PSC-04-19-00011-P	.... exempt	Update of revenue targets	To ensure NYAW's rates are just and reasonable and accurately reflect the needed revenues
*PSC-06-19-00005-P	.... exempt	Consideration of the Joint Utilities' proposed BDP Program	To to expand opportunities for low-income households to participate in Community Distributed Generation (CDG) projects
*PSC-07-19-00009-P	.... exempt	Whether to impose consequences on AAA for its non-compliance with Commission requirements	To insure the provision of safe and adequate energy service at just and reasonable rates
*PSC-07-19-00016-P	.... exempt	Participation in New York State Lifeline Program	To encourage enhanced services for low-income customers
*PSC-09-19-00010-P	.... exempt	Non-pipeline alternatives report recommendations	To consider the terms and conditions applicable to gas service
*PSC-13-19-00010-P	.... exempt	New Commission requirements for gas company operator qualification programs	To make pipelines safer with improved training of workers who perform construction and repairs on natural gas facilities
*PSC-19-19-00013-P	.... exempt	Proposed merger of three water utilities into one corporation	To determine if the proposed merger is in the public interest
*PSC-20-19-00008-P	.... exempt	Reporting on energy sources	To ensure accurate reporting and encourage clean energy purchases
*PSC-20-19-00010-P	.... exempt	Compensation policies for certain CHP projects	To consider appropriate rules for compensation of certain CHP resources
*PSC-31-19-00013-P	.... exempt	Implementation of Statewide Energy Benchmarking	To ensure safe and adequate service at just and reasonable rates charged to customers without undue preferences
*PSC-32-19-00012-P	.... exempt	Standby Service Rates and Buyback Service Rates	To ensure just and reasonable rates, including compensation, for distributed energy resources

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PUBLIC SERVICE COMMISSION			
*PSC-38-19-00002-P	.... exempt	Petition to submeter electricity	To ensure adequate submetering equipment and consumer protections are in place
*PSC-39-19-00018-P	.... exempt	Petition to submeter electricity	To ensure adequate submetering equipment and consumer protections are in place
*PSC-41-19-00003-P	.... exempt	A voluntary residential three-part rate that would include fixed, usage and demand charges	To provide qualifying residential customers with an optional three-part rate
*PSC-46-19-00008-P	.... exempt	Wappingers Falls Hydroelectric LLC's facility located in Wappingers Falls, New York	To promote and maintain renewable electric energy resources
*PSC-08-20-00003-P	.... exempt	PSC regulation 16 NYCRR § § 86.3(a)(2) and 86.3(b)(2)	To consider a waiver of certain regulations relating to the content of an application for transmission line siting
*PSC-10-20-00003-P	.... exempt	The Commission's statewide low-income discount policy	To consider modifications to certain conditions regarding utility low-income discount programs
*PSC-12-20-00008-P	.... exempt	Delivery rates of Corning Natural Gas Corporation	Whether to postpone the implementation of a change in rates that would otherwise become effective on June 1, 2020
*PSC-15-20-00011-P	.... exempt	To modify the terms and conditions under which gas utilities provide service to electric generators	To provide clarity and uniformity to the provision of gas service to electric generators
*PSC-16-20-00004-P	.... exempt	Disposition of a state sales tax refund	To determine how much of a state sales tax refund should be retained by Central Hudson
*PSC-18-20-00015-P	.... exempt	Participation of Eligible Telecommunications Carriers (ETCs) in New York State Lifeline Program	Commission will consider each petition filed by an ETCs seeking approval to participate in the NYS Lifeline program
*PSC-19-20-00004-P	.... exempt	Clarification of the Order Adopting Changes to the Retail Access Energy Market and Establishing Further Process	To consider whether energy service companies should be permitted to bank RECs to satisfy their renewable energy requirements
*PSC-19-20-00005-P	.... exempt	Cost recovery associated with Day-Ahead-DLM and Auto-DLM programs, and elimination of double compensation	To provide cost recovery for new DLM programs and prevent double compensation to participating customers
*PSC-19-20-00009-P	.... exempt	Cost recovery associated with Day-Ahead-DLM and Auto-DLM programs, and elimination of double compensation	To consider revisions to P.S.C. No. 10 - Electricity, and P.S.C. No. 12 - Electricity
*PSC-25-20-00010-P	.... exempt	Whitepaper regarding energy service company financial assurance requirements	To consider the form and amount of financial assurances to be included in the eligibility criteria for energy service companies
*PSC-25-20-00016-P	.... exempt	Modifications to the Low-Income Affordability program	To address the economic impacts of the COVID-19 pandemic
*PSC-27-20-00003-P	.... exempt	To make the uniform statewide customer satisfaction survey permanent	To encourage consumer protections and safe and adequate service
*PSC-28-20-00022-P	.... exempt	Compensation of distributed energy resources	To ensure just and reasonable rates, including compensation, for distributed energy resources

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
*PSC-28-20-00034-P	exempt	Petition to implement Section 7(5) of the Accelerated Renewable Energy Growth and Community Benefit Act	To develop the bulk transmission investments necessary to achieve the Climate Leadership and Community Protection Act goals
*PSC-34-20-00005-P	exempt	Petition to provide a renewable, carbon-free energy option to residential and small commercial full-service customers	To increase customer access to renewable energy in the Consolidated Edison Company of New York, Inc. service territory
*PSC-38-20-00004-P	exempt	The annual Reconciliation of Gas Expenses and Gas Cost Recoveries	To consider filings of LDCs and municipalities regarding their Annual Reconciliation of Gas Expenses and Gas Cost Recoveries
*PSC-42-20-00008-P	exempt	Availability of gas leak information to the public safety officials.	Facilitate availability of gas leak information to public safety officials by gas corporations
*PSC-45-20-00003-P	exempt	Petition to submeter electricity	To ensure adequate submetering equipment and consumer protections are in place
*PSC-46-20-00005-P	exempt	The recommendations of the DPS Staff report to improve Hudson Valley Water's service	To determine if approving the DPS Staff's recommendations is in the public interest
*PSC-48-20-00005-P	exempt	Partial waiver of the Order Adopting Changes to the Retail Access Energy Market and Establishing Further Process	To consider whether Chief Energy Power, LLC should be permitted to offer green gas products to mass market customers
*PSC-48-20-00007-P	exempt	Tariff modifications to change National Fuel Gas Distribution Corporation's Monthly Gas Supply Charge provisions	To ensure safe and adequate service at just and reasonable rates charged to customers without undue preferences
*PSC-51-20-00009-P	exempt	Partial waiver of the Order Adopting Changes to the Retail Access Energy Market and Establishing Further Process	To consider whether petitioner should be permitted to offer its "Energy Savings Program" to mass market customers
*PSC-51-20-00014-P	exempt	Electric system needs and compensation for distributed energy resources	To ensure safe and adequate service and just and reasonable rates, including compensation, for distributed energy resources
*PSC-01-21-00004-P	exempt	Partial waiver of the Order Adopting Changes to the Retail Access Energy Market and Establishing Further Process	To consider whether petitioner should be permitted to offer its Home Warranty product to mass market customers
*PSC-04-21-00016-P	exempt	Request for a waiver	To consider whether good cause exists to support a waiver of the Commission's Test Period Policy Statement
*PSC-09-21-00005-P	exempt	Utility capital expenditure proposal	To ensure safe and adequate service at just and reasonable rates charged to customers without undue preferences
*PSC-13-21-00016-P	exempt	Revised distribution strategies and reallocation of remaining funding	To ensure the appropriate use of funding reserved for gas safety programs
*PSC-17-21-00005-P	exempt	Submetering equipment	To consider use of submetering equipment and if it is in the public interest
*PSC-17-21-00006-P	exempt	Community Choice Aggregation and Community Distributed Generation	To consider permitting opt-out Community Distributed Generation to be offered as the sole product in an aggregation
*PSC-17-21-00007-P	exempt	Utility studies of climate change vulnerabilities	To assess the need for utilities to conduct distinct studies of their climate change vulnerabilities

Agency I.D. No.	Expires	Subject Matter	Purpose of Action

PUBLIC SERVICE COMMISSION

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
*PSC-18-21-00006-P	exempt	Community Choice Aggregation renewable products	To consider waiving the locational and delivery requirements for RECs purchased to support renewable CCA products
*PSC-18-21-00008-P	exempt	RG&E's Economic Development Programs and exemption from funding limits	To consider RG&E to grant up to $5.25 million in ED funding to Project Block to the benefit of ratepayers
*PSC-19-21-00008-P	exempt	Community Choice Aggregation (CCA) and Community Distributed Generation (CDG)	To consider permitting Upstate Power, LLC to serve as a CCA administrator offering an opt-out CDG focused program
*PSC-20-21-00004-P	exempt	Regulatory approvals in connection with a 437 MW electric generating facility	To ensure appropriate regulatory review, oversight, and action, consistent with the public interest
*PSC-21-21-00012-P	exempt	Petition for the use of gas metering equipment	To ensure that consumer bills are based on accurate measurements of gas usage
*PSC-21-21-00019-P	exempt	Utility capital expenditure proposal	To ensure safe and adequate service at just and reasonable rates charged to customers without undue preferences
*PSC-26-21-00011-P	exempt	Notice of intent to submeter electricity	To ensure adequate submetering equipment and consumer protections are in place
*PSC-28-21-00012-P	exempt	Transfer of ownership interests in a 55 megawatt natural gas-fired cogeneration facility located in North Tonawanda, NY	To address the proposed transfer and any matters within the public interest
*PSC-28-21-00013-P	exempt	Elimination of internal audits of wholesale performance metrics	To consider Verizon New York Inc.'s petition to eliminate requirements for certain internal audits
*PSC-29-21-00009-P	exempt	Proposed pilot program to use AMI to disconnect electric service to customers during gas system emergencies	To study the efficacy of using AMI to disconnect electric service during gas system emergencies
*PSC-30-21-00006-P	exempt	NYSERDA proposal regarding Clean Energy Standard backstop collection processes	To ensure that NYSERDA has sufficient funds to make timely payments to generators pursuant to the Clean Energy Standard
*PSC-32-21-00002-P	exempt	The prohibition on ESCO service to low-income customers	To consider whether Icon Energy, LLC d/b/a Source Power Company should be granted a waiver to serve low-income customers
*PSC-35-21-00009-P	exempt	To modify the terms and conditions under which gas utilities provide service to electric generators	To provide clarity and uniformity to the provision of gas service to electric generators in New York State
*PSC-36-21-00006-P	exempt	The Westchester Power Program	To consider integration of Opt-out Community Distributed Generation into the Westchester Power program
*PSC-37-21-00009-P	exempt	Procedures necessary to implement Tax Law Section 187-q	To establish procedures by which eligible utility-taxpayers can have the amounts of certain waived customer arrears certified
*PSC-37-21-00010-P	exempt	Zero emitting electric generating facilities that are not renewable energy systems	To consider modifications to the Clean Energy Standard

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
*PSC-37-21-00011-P	exempt	Green Button Connect implementation	To consider the proposed Green Button Connect User Agreement and Green Button Connect Onboarding Process document
*PSC-37-21-00012-P	exempt	Partial waiver of the Order Adopting Changes to the Retail Access Energy Market and Establishing Further Process	To consider whether Catalyst should be permitted to offer its Community Distributed Generation product to mass market customers
*PSC-38-21-00006-P	exempt	Annual Reconciliation of Gas Expenses and Gas Cost Recoveries	To consider filings of LDCs and municipalities regarding their Annual Reconciliation of Gas Expenses and Gas Cost Recoveries
*PSC-38-21-00007-P	exempt	Electric metering equipment	To consider use of electric submeter and ensure that consumer bills will be based on accurate measurements of electric usage
*PSC-39-21-00007-P	exempt	The proposed alternative method of account identification	To facilitate secure customer data exchanges between the utility or provider and energy service entities
*PSC-46-21-00014-P	exempt	Waiver of tariff rules and a related Commission regulation	To consider whether a waiver of tariff rules and a Commission regulation are just and reasonable and in the public interest
*PSC-47-21-00003-P	exempt	Utility processes for customers to consent to sharing data with third parties and how consent options will be communicated	To develop standardized consent requirements that will increase customer familiarity with appropriate data sharing and access
*PSC-47-21-00005-P	exempt	Utility processes for customers to consent to sharing data with third parties and how consent options will be communicated	To develop standardized consent requirements that will increase customer familiarity with appropriate data sharing and access
*PSC-48-21-00007-P	exempt	Verizon's Performance Assurance Plan	To consider whether to retire the Performance Assurance Plan
*PSC-50-21-00006-P	exempt	Implementation of the Host Community Benefit Program	To consider the proposed administration and implementation related to disbursement of customer bill credits
*PSC-50-21-00008-P	exempt	Implementation of the Host Community Benefit Program	To consider the proposed administration and implementation related to disbursement of customer bill credits
*PSC-50-21-00011-P	exempt	Implementation of the Host Community Benefit Program	To consider the proposed administration and implementation related to disbursement of customer bill credits
*PSC-50-21-00012-P	exempt	Implementation of the Host Community Benefit Program	To consider the proposed administration and implementation related to disbursement of customer bill credits
*PSC-03-22-00004-P	exempt	Proposal by electric utilities on a coordinated electric grid planning process	To support distribution and local transmission investments necessary to achieve the the State's clean energy and climate goals
*PSC-05-22-00001-P	exempt	Green gas products	To consider an extension of the waiver permitting energy service companies to serve existing customers on green gas products
*PSC-05-22-00004-P	exempt	Initial Tariff Schedule	To ensure safe and adequate service at just and reasonable rates charged to customers without undue preferences

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
*PSC-06-22-00009-P	exempt	Waiver of tariff rules and a related Commission regulation	To consider whether a waiver of tariff rules and a Commission regulation are just and reasonable and in the public interest
PSC-12-22-00010-P	exempt	Proposed major rate increase in Liberty SLG's gas revenues	To ensure safe and adequate service at just and reasonable rates charged to customers without undue preferences
PSC-13-22-00006-P	exempt	Proposed major rate increase in Con Edison's delivery revenues of approximately $500 million (or 18.2% in total revenues)	To ensure safe and adequate service at just and reasonable rates charged to customers without undue preferences
PSC-13-22-00009-P	exempt	Proposed major rate increase in Con Edison's delivery revenues of approximately $1.2 billion (or 11.2% in total revenues)	To ensure safe and adequate service at just and reasonable rates charged to customers without undue preferences
PSC-13-22-00011-P	exempt	Positive revenue adjustments associated with emergency response, damage prevention and leak management for 2020	To consider a rehearing petition
PSC-13-22-00014-P	exempt	Petition to develop and construct local transmission projects and to allocate and defer associated costs	To ensure safe and adequate service at just and reasonable rates and to support the State's clean energy and climate goals
PSC-14-22-00008-P	exempt	An opt-out community distributed generation program	To establish the program rules for offering community distributed generation on and opt-out basis in New York State
PSC-18-22-00002-P	exempt	NYSEG and RG&E's petition for a waiver of its 2021 customer service quality performance	To determine if NYSEG and RG&E's petition for waiver is in the public interest
PSC-18-22-00007-P	exempt	Extension of deadline	Whether it is in the public interest to extend the deadline to allow the developer more time to energize residential units
PSC-19-22-00021-P	exempt	Brooklyn Clean Energy Hub and cost recovery	To meet the Climate Leadership and Community Protection Act's goal of 9,000 megawatts of offshore wind generation
PSC-19-22-00022-P	exempt	Modification of Con Edison's electric tariff	To either eliminate or waive a provision of the Standby Service Offset Tariff
PSC-20-22-00009-P	exempt	Modify lease of utility property	To determine whether to authorize the extension and amendment of the lease of the Volney-Marcy transmission line
PSC-20-22-00011-P	exempt	Establishment of the regulatory regime applicable to a wind electric generating facility	To ensure appropriate regulation of a new electric corporation
PSC-21-22-00005-P	exempt	To implement the non-pipe alternative factor to recover the costs of approved alternative infrastructure projects	To ensure safe and adequate service at just and reasonable rates charged to customers without undue preferences
PSC-21-22-00007-P	exempt	Partial waiver of the Order Adopting Changes to the Retail Access Energy Market and Establishing Further Process	To consider whether Atlantic Energy, LLC should be permitted to offer its LED Lighting product to mass market customers
PSC-21-22-00008-P	exempt	Cybersecurity requirements	Modify the framework to ensure the protection of utility systems and customer data from cyber events

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
PSC-21-22-00011-P	.... exempt	Partial waiver of the Order Adopting Changes to the Retail Access Energy Market and Establishing Further Process	To consider whether Atlantic Energy, LLC should be permitted to offer its Smart Home Program product to mass market customers
PSC-22-22-00014-P	.... exempt	Amendments to the Standardized Interconnection Requirements	To consider changes to accommodate the interconnection of distributed energy resources by governmental entities
PSC-24-22-00004-P	.... exempt	Waiver of tariff rules and a related Commission regulation	To consider whether a waiver of tariff rules and a Commission regulation are just and reasonable and in the public interest
PSC-24-22-00007-P	.... exempt	St. Lawrence Gas' petition for a waiver of its 2021 service quality performance	To determine if St. Lawrence Gas' petition for waiver is in the public interest
PSC-24-22-00008-P	.... exempt	Waiver of tariff rules and a related Commission regulation	To consider whether a waiver of tariff rules and a Commission regulation are just and reasonable and in the public interest
PSC-26-22-00008-P	.... exempt	Compensation under the Value of Distributed Energy Resources tariff	To consider compensation mechanisms for legacy baseline hydroelectric and other renewable energy resources
PSC-29-22-00005-P	.... exempt	Notice of intent to submeter electricity and request for waiver.	To ensure adequate submetering equipment, consumer protections and energy efficiency protections are in place.
PSC-29-22-00006-P	.... exempt	Minor rate filing.	To ensure safe and adequate service at just and reasonable rates charged to customers without undue preferences.
PSC-30-22-00009-P	.... exempt	Establishment of the regulatory regime applicable to a battery storage project.	To ensure appropriate regulation of an electric corporation.
PSC-31-22-00005-P	.... exempt	Proposed major rate increase in NYSEG's electric delivery revenues of approximately $274 million (or 16.8% in total revenues).	To ensure safe and adequate service at just and reasonable rates charged to customers without undue preferences.
PSC-31-22-00006-P	.... exempt	Proposed major rate increase in NYSEG's gas delivery revenues of approximately $43.4 million (or 9.8% in total revenues).	To ensure safe and adequate service at just and reasonable rates charged to customers without undue preferences.
PSC-31-22-00007-P	.... exempt	Proposed major rate increase in RG&E's gas delivery revenues of approximately $37.7 million (or 9.7% in total revenues).	To ensure safe and adequate service at just and reasonable rates charged to customers without undue preferences.
PSC-31-22-00009-P	.... exempt	Proposed major rate increase in RG&E's electric delivery revenues of approximately $93.8 million (or 11.3% in total revenues).	To ensure safe and adequate service at just and reasonable rates charged to customers without undue preferences.
PSC-32-22-00022-P	.... exempt	Establishment of the regulatory regime applicable to a wind electric generating facility.	To ensure appropriate regulation of a new electric corporation.
PSC-32-22-00023-P	.... exempt	Bioenergy generation in New York.	To consider compensation for bioenergy generation.
PSC-33-22-00006-P	.... exempt	Use of gas metering equipment.	To consider use of volume corrector and ensure that consumer bills will be based on accurate measurements of gas usage.

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
PSC-33-22-00008-P	. . . . exempt	Gas moratorium consumer protections.	To consider protections for existing and prospective customers should a utility institutes a moratorium on new gas service.
PSC-33-22-00009-P	. . . . exempt	Use of electric metering equipment.	To consider use of electric metering equipment and ensure consumer bills are based on accurate measurements of electric usage.
PSC-34-22-00005-P	. . . . exempt	Transfer of a Certificate of Environmental Compatibility and Public Need.	Consideration of whether the proposed transfer is in the public interest.
PSC-36-22-00004-P	. . . . exempt	A petition for the transfer of utility property, granting of a CPCN, and lightened regulation.	To determine if it is in the public interest.
PSC-36-22-00005-P	. . . . exempt	Notice of intent to submeter electricity.	To ensure adequate submetering equipment and consumer protections are in place.
PSC-37-22-00005-P	. . . . exempt	Annual Reconciliation of Gas Expenses and Gas Cost Recoveries.	To consider filings of LDCs and municipalities regarding their Annual Reconciliation of Gas Expenses and Gas Cost Recoveries.
PSC-37-22-00006-P	. . . . exempt	Assessment of the need of the project for the provision of safe and adequate service at just and reasonable rates.	To determine whether the project is necessary and whether the utility can begin cost recovery through a surcharge mechanism.
PSC-38-22-00002-P	. . . . exempt	Standby Service Rates, Buyback Service Rates, and optional mass market demand rates.	To establish updated Standby Service and Buyback Service Rates, and establish new optional mass market demand rates.
PSC-38-22-00004-P	. . . . exempt	Establishment of the regulatory regime applicable to a battery storage project.	To ensure appropriate regulation of an electric corporation.
PSC-38-22-00005-P	. . . . exempt	Standby Service Rates, Buyback Service Rates, and optional mass market demand rates.	To establish updated Standby Service and Buyback Service Rates, and establish new optional mass market demand rates.
PSC-38-22-00006-P	. . . . exempt	Standby Service Rates, Buyback Service Rates, and optional mass market demand rates.	To establish updated Standby Service and Buyback Service Rates, and establish new optional mass market demand rates.
PSC-38-22-00007-P	. . . . exempt	Standby Service Rates, Buyback Service Rates, and optional mass market demand rates.	To establish updated Standby Service and Buyback Service Rates, and establish new optional mass market demand rates.
PSC-38-22-00008-P	. . . . exempt	Consideration of a Long Island Offshore Wind Export PPTN under the NYISO's planning process.	To determine whether the NYISO should proceed to select a solution to the identified Long Island Offshore Wind Export PPTN.
PSC-38-22-00009-P	. . . . exempt	Standby Service Rates, Buyback Service Rates, and optional mass market demand rates.	To establish updated Standby Service and Buyback Service Rates, and establish new optional mass market demand rates.
PSC-38-22-00010-P	. . . . exempt	Standby Service Rates, Buyback Service Rates, and optional mass market demand rates.	To establish updated Standby Service and Buyback Service Rates, and establish new optional mass market demand rates.
PSC-39-22-00007-P	. . . . exempt	Transfer of indirect ownership of cable television facilities and 27 municipal franchises.	To ensure performance in accordance with applicable cable laws, regulations and standards and the public interest.

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
PSC-39-22-00008-P	exempt	Proposed service territory extension, waiver, and tariff revisions.	To determine if proposed territory extension, waiver, and tariff revisions are in the public interest.
PSC-40-22-00003-P	exempt	Minor rate filing.	To ensure safe and adequate service at just and reasonable rates charged to customers without undue preferences.
PSC-40-22-00004-P	exempt	Sale of real property and granting of a permanent easement.	To determine whether to authorize the proposed sale and grant the permanent easement as well as the proper accounting treatment.
PSC-41-22-00019-P	exempt	Petition to submeter electricity.	To ensure adequate submetering equipment and consumer protections are in place.
PSC-42-22-00010-P	exempt	Gas system planning.	To consider screening and suitability criteria for non-pipeline alternatives.
PSC-42-22-00011-P	exempt	Gas system planning.	To consider cost recovery procedures and an incentive mechanism for non-pipeline alternatives.
PSC-42-22-00012-P	exempt	Gas system planning.	To consider screening and suitability criteria for non-pipeline alternatives.
PSC-42-22-00013-P	exempt	Gas system planning.	To consider screening and suitability criteria for non-pipeline alternatives.
PSC-42-22-00014-P	exempt	Gas system planning.	To consider screening and suitability criteria for non-pipeline alternatives.
PSC-42-22-00015-P	exempt	Gas system planning.	To consider screening and suitability criteria for non-pipeline alternatives.
PSC-42-22-00016-P	exempt	Gas system planning.	To consider screening and suitability criteria for non-pipeline alternatives.
PSC-42-22-00017-P	exempt	Gas system planning.	To consider screening and suitability criteria for non-pipeline alternatives.
PSC-42-22-00019-P	exempt	Gas system planning.	To consider screening and suitability criteria for non-pipeline alternatives.
PSC-42-22-00020-P	10/19/23	Technical amendments of state regulations and administrative corrections.	To align 16 NYCRR Part 753 with recent changes in state laws and clarify the responsibilities of excavators.
PSC-43-22-00006-P	exempt	Minor electric rate filing to increase annual electric revenues.	To ensure safe and adequate service at just and reasonable rates charged to customers without undue preferences.
PSC-43-22-00007-P	exempt	Minor electric rate filing to increase annual electric revenues.	To ensure safe and adequate service at just and reasonable rates charged to customers without undue preferences.
PSC-43-22-00008-P	exempt	Petition to submeter electricity.	To ensure adequate submetering equipment and consumer protections are in place.

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
PSC-43-22-00009-P	. . . . exempt	Notice of intent to submeter electricity and waiver request.	To ensure adequate submetering equipment, consumer protections and energy efficiency protections are in place.
PSC-44-22-00002-P	. . . . exempt	Petition to submeter electricity.	To ensure adequate submetering equipment and consumer protections are in place.
PSC-44-22-00003-P	. . . . exempt	Proposed draft tariff amendments.	To document and refine moratorium management procedures that seek to minimize hardships in the event a future moratorium occurs.
PSC-45-22-00018-P	. . . . exempt	Transfer of a half interest in utility poles.	To determine if the transfer of the ownership interest is in the public interest.
PSC-46-22-00006-P	. . . . exempt	PSC Regulations 16 NYCRR 86.3(a)(1), 86.3(a)(2), 863(b)(2), 86.4(b).	To consider a waiver of certain regulations relating to the content of an application for transmission line siting.
PSC-46-22-00007-P	. . . . exempt	Petition to submeter electricity.	To ensure adequate submetering equipment, consumer protections and energy efficiency protections are in place.
PSC-46-22-00009-P	. . . . exempt	PSC Regulations 16 NYCRR 86.3(a)(2) and 86.3(b)(2).	To consider a waiver of certain regulations relating to the content of an application for transmission line siting.
PSC-46-22-00010-P	. . . . exempt	Notice of intent to submeter electricity.	To ensure adequate submetering equipment and consumer protections are in place.
PSC-47-22-00006-P	. . . . exempt	Notice of intent to submeter electricity.	To ensure adequate submetering equipment and consumer protections are in place.
PSC-47-22-00007-P	. . . . exempt	Notice of intent to submeter electricity and waiver request.	To ensure adequate submetering equipment, consumer protections and energy efficiency protections are in place.
PSC-47-22-00008-P	. . . . exempt	Proposed revisions related to the participation of Distributed Energy Resources.	To align utility retail tariffs with wholesale tariffs.
PSC-48-22-00002-P	. . . . exempt	The Performance Factor used in Distribution Load Relief and Commercial System Relief Programs for the 2023 capability period.	To effect more efficient demand response programs to gain operational efficiency and shave peak demand.
PSC-48-22-00003-P	. . . . exempt	Gas moratorium customer protections.	To consider protections to minimize customer hardships in the unlikely event of a future gas moratorium.
PSC-48-22-00004-P	. . . . exempt	Petition to submeter electricity.	To ensure adequate submetering equipment and consumer protections are in place.
PSC-48-22-00005-P	. . . . exempt	Notice of intent to submeter electricity.	To ensure adequate submetering equipment and consumer protections are in place.
PSC-48-22-00006-P	. . . . exempt	Petition to submeter electricity.	To ensure adequate submetering equipment and consumer protections are in place.
PSC-48-22-00007-P	. . . . exempt	The level of incentives and use of demand response under utility EV managed charging programs.	To consider adequate incentive levels and eliminating participation of demand response under EV managed charging programs.

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
PSC-49-22-00017-P	. . . . exempt	Stock ownership interest and associated financial transactions.	To consider the transfer of controling interest and associated financial transactions.
PSC-49-22-00018-P	. . . . exempt	Notice of intent to submeter electricity.	To ensure adequate submetering equipment andconsumer protections are in place.
PSC-49-22-00019-P	. . . . exempt	Waiver of tariff rules and a related Commission regulation.	To consider whether a waiver of tariff rules and a Commission regulation are just and reasonable and in the public interest.
PSC-49-22-00020-P	. . . . exempt	Waiver of tariff rules and a related Commission regulation.	To consider whether a waiver of tariff rules and a Commission regulation are just and reasonable and in the public interest.
PSC-49-22-00021-P	. . . . exempt	Clean Energy Standard Tier 1 load serving entity obligations.	To transition the Tier 1 load serving entity obligation from a percentage based obligation to a load share obligation approach.
PSC-49-22-00022-P	. . . . exempt	Waiver of tariff rules and a related Commission regulation.	To consider whether a waiver of tariff rules and a Commission regulation are just and reasonable and in the public interest.
PSC-49-22-00023-P	. . . . exempt	Waiver of tariff rules and a related Commission regulation.	To consider whether a waiver of tariff rules and a Commission regulation are just and reasonable and in the public interest.
PSC-50-22-00007-P	. . . . exempt	Pole attachment charges.	To provide just and reasonable pole attachment charges.
PSC-51-22-00001-P	. . . . exempt	Proposed Public Policy Transmission Needs/ Public Policy Requirements, as defined under the NYISO tariff.	To identify any proposed Public Policy Transmission Needs/Public Policy Requirements for referral to the NYISO.
PSC-51-22-00002-P	. . . . exempt	Competitive solicitations to procure 350MW of energy storage systems.	To modify energy storage solicitations and improve procurement results.
PSC-51-22-00003-P	. . . . exempt	Petition to submeter electricity.	To ensure adequate submetering equipment, consumer protections and energy efficiency protections are in place.
PSC-51-22-00004-P	. . . . exempt	Waiver of a pipeline safety regulation.	Whether the waiver of the regulation is in the public interest.
PSC-52-22-00010-P	. . . . exempt	System improvement tracker implementation.	To determine whether to implement the system improvement tracker.
PSC-52-22-00011-P	. . . . exempt	The Integrated Energy Data Resource platform.	To consider customer consent and utility liability issues related to the Integrated Energy Data Resource.
PSC-52-22-00012-P	. . . . exempt	A financing arrangement with respect to a proposed wind generating facility.	To consider the requested financing arrangement, and if approved, what regulatory conditions should apply.
PSC-52-22-00013-P	. . . . exempt	Minor electric rate filing to increase annual electric revenues.	To ensure safe and adequate service at just and reasonable rates charged to customers without undue preferences.
PSC-52-22-00014-P	. . . . exempt	Extension of regulatory deadline.	Whether it is in the public interest to extend the regulatory deadline for Keystone Homes, Inc.'s housing development.

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
PSC-01-23-00007-P	.... exempt	Distribution-level demand response programs.	More efficient demand response programs to gain operational efficiency and shave peak demand.
PSC-01-23-00008-P	.... exempt	Distribution-level demand response programs.	More efficient demand response programs to gain operational efficiency and shave peak demand.
PSC-01-23-00009-P	.... exempt	Transfer of direct ownership of cable television facilities and 5 municipal franchises.	To ensure performance in accordance with applicable cable laws, regulations and standards in the public interest.
PSC-01-23-00010-P	.... exempt	Proposed tariff revisions for the summer 2023 capability period in order to improve flexibility and customer participation.	More efficient demand response programs to gain operational efficiency and shave peak demand.
PSC-01-23-00011-P	.... exempt	Distribution-level demand response programs.	More efficient demand response programs to gain operational efficiency and shave peak demand.
PSC-01-23-00012-P	.... exempt	The New York State Reliability Council's establishment of an Installed Reserve Margin of 20.0%	To ensure adequate levels of Installed Capacity.
PSC-01-23-00013-P	.... exempt	Distribution-level demand response programs.	More efficient demand response programs to gain operational efficiency and shave peak demand.
PSC-01-23-00014-P	.... exempt	Interconnection costs.	To consider a petition requesting relief from interconnection costs assigned by NYSEG.
PSC-01-23-00015-P	.... exempt	Notice of intent to submeter electricity.	To ensure adequate submetering equipment and consumer protections are in place.
PSC-01-23-00016-P	.... exempt	Brooklyn Clean Energy Hub alternative and cost recovery.	To meet the Climate Leadership and Community Protection Act's goal of 9,000 megawatts of offshore wind generation.
PSC-01-23-00017-P	.... exempt	Interconnection costs.	To consider a petition requesting relief from interconnection costs assigned by NYSEG.
PSC-01-23-00018-P	.... exempt	Lease of certain real property.	To determine whether to provide written consent for the proposed transfer of certain real property.
PSC-01-23-00019-P	.... exempt	Energy efficiency and building electrification programs.	To identify and implement potential changes to the energy efficiency and building electrification programs .
PSC-02-23-00021-P	.... exempt	Notice of intent to submeter electricity and waiver request.	To ensure adequate submetering equipment, consumer protections and energy efficiency protections are in place.
PSC-02-23-00022-P	.... exempt	Compensation of and incentives for distributed energy resources.	To encourage the development of and ensure just and reasonable rates for distributed energy resources.
PSC-02-23-00023-P	.... exempt	Long-term gas system planning.	To consider and review long-term gas system planning.

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
PSC-02-23-00024-P	.... exempt	Notice of intent to submeter electricity and waiver request.	To ensure adequate submetering equipment, consumer protections and energy efficiency protections are in place.
PSC-02-23-00025-P	.... exempt	Proposed major rate increase in Con Edison's annual revenues by $137 million.	To ensure safe and adequate service at just and reasonable rates charged to customers without undue preferences.
PSC-02-23-00026-P	.... exempt	Notice of intent to submeter electricity.	To ensure adequate submetering equipment and consumer protections are in place.
PSC-03-23-00004-P	.... exempt	Updated recommendations for the soliciation, procurement, and/or installation of qualified energy storage systems.	To encourage energy storage deployment and establish an updated 2030 target and deployment program.
PSC-04-23-00008-P	.... exempt	Updates to guidance for electric utility Distributed System Implementation Plans (DSIPs).	Development of updated guidance and directives for utility DSIPs for improving utility planning and operations functions.
PSC-04-23-00009-P	.... exempt	Gas metering equipment.	To consider use of volume corrector and ensure that consumer bills will be based on accurate measurements of gas usage.
PSC-04-23-00010-P	.... exempt	The New York State Standardized Interconnection Requirements.	To clarify and improve the process for distributed generation and energy storage projects interconnecting to utility systems.
PSC-04-23-00011-P	.... exempt	Proposal by electric utilities for a coordinated grid planning process.	To idenitfy local transmission investments necessary to achieve the the State's clean energy and climate goals.
PSC-04-23-00012-P	.... exempt	Transfer of electric facilities.	To determine whether to authorize the transfer electric facilities and the proper accounting for the transaction.
PSC-04-23-00013-P	.... exempt	Application of the Public Service Law to owners of a proposed project connecting Sunrise Wind Farm to New York's electric grid.	To determine whether to apply a lightened regulatory regime to the owners of the proposed multi-part project.
PSC-04-23-00014-P	.... exempt	Waiver of certain Commission requirements related to the publishing and distribution of telephone directories.	To ensure performance in accordance with applicable telecommunications laws, regulations and standards and the public interest.
PSC-05-23-00001-P	.... exempt	Thermal Energy Network Pilot Proposal	To consider authorizing utilities to construct and operate thermal energy network pilot projects.
PSC-05-23-00002-P	.... exempt	Thermal Energy Network Pilot Proposal	To consider authorizing utilities to construct and operate thermal energy network pilot projects.
PSC-05-23-00003-P	.... exempt	The electric utilities' 2023 Electric Emergency Response Plans.	To consider the adequacy of the proposed 2023 Electric Emergency Response Plans.
PSC-05-23-00004-P	.... exempt	Thermal Energy Network Pilot Proposal	To consider authorizing utilities to construct and operate thermal energy network pilot projects.
PSC-05-23-00005-P	.... exempt	Thermal Energy Network Pilot Proposal	To consider authorizing utilities to construct and operate thermal energy network pilot projects.
PSC-05-23-00006-P	.... exempt	Thermal Energy Network Pilot Proposal	To consider authorizing utilities to construct and operate thermal energy network pilot projects.

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
PSC-05-23-00007-P	.... exempt	The electric utilities' 2023 Electric Emergency Response Plans.	To consider the adequacy of the proposed 2023 Electric Emergency Response Plans.
PSC-05-23-00008-P	.... exempt	Thermal Energy Network Pilot Proposal	To consider authorizing utilities to construct and operate thermal energy network pilot projects.
PSC-05-23-00009-P	.... exempt	Thermal Energy Network Pilot Proposal	To consider authorizing utilities to construct and operate thermal energy network pilot projects.
PSC-05-23-00010-P	.... exempt	The electric utilities' 2023 Electric Emergency Response Plans.	To consider the adequacy of the proposed 2023 Electric Emergency Response Plans.
PSC-05-23-00011-P	.... exempt	The electric utilities' 2023 Electric Emergency Response Plans.	To consider the adequacy of the proposed 2023 Electric Emergency Response Plans.
PSC-05-23-00012-P	.... exempt	Thermal Energy Network Pilot Proposal	To consider authorizing utilities to construct and operate thermal energy network pilot projects.
PSC-05-23-00013-P	.... exempt	The electric utilities' 2023 Electric Emergency Response Plans.	To consider the adequacy of the proposed 2023 Electric Emergency Response Plans.
PSC-05-23-00014-P	.... exempt	Thermal Energy Network Pilot Proposal	To consider authorizing utilities to construct and operate thermal energy network pilot projects.
PSC-05-23-00015-P	.... exempt	Thermal Energy Network Pilot Proposal	To consider authorizing utilities to construct and operate thermal energy network pilot projects.
PSC-05-23-00016-P	.... exempt	The electric utilities' 2023 Electric Emergency Response Plans.	To consider the adequacy of the proposed 2023 Electric Emergency Response Plans.
PSC-06-23-00011-P	.... exempt	Compensation of and incentives for distributed energy resources.	To encourage the development of and ensure just and reasonable rates for distributed energy resources.
PSC-07-23-00004-P	.... exempt	Transfer of street lighting facilities.	To determine whether to authorize the transfer street of lighting facilities and the proper accounting for the transaction.
PSC-07-23-00005-P	.... exempt	Notice of intent to submeter electricity.	To ensure adequate submetering equipment and consumer protections are in place.
PSC-08-23-00002-P	.... exempt	Transfer of direct ownership of cable television facilities and three municipal franchises	To ensure performance in accordance with applicable cable laws, regulations and standards in the public interest.
PSC-08-23-00003-P	.... exempt	Exemptions from utility standby rates for distributed energy resources and renewable energy systems.	To determine whether utility standby rate exemptions should be continued and/or modified.
PSC-09-23-00021-P	.... exempt	Notice of intent to submeter electricity.	To ensure adequate submetering equipment and consumer protections are in place.
PSC-09-23-00022-P	.... exempt	Notice of intent to submeter electricity and request for waiver.	To ensure adequate submetering equipment, consumer protections and energy efficiency protections are in place.
PSC-09-23-00023-P	.... exempt	Electric metering equipment.	To consider use of electric metering equipment and ensure consumer bills are based on accurate measurements of electric usage.

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
PSC-09-23-00024-P	exempt	Development periods for residential developments.	To consider waiving the five-year limit on development periods impacted by COVID-19, extending it by one year.
PSC-09-23-00025-P	exempt	Energy efficiency and building electrification programs.	To identify and implement potential changes to the energy efficiency and building electrification programs.
PSC-09-23-00026-P	exempt	Electric metering equipment.	To consider use of electric meter and ensure that consumer bills will be based on accurate measurements of electric usage.
PSC-09-23-00027-P	exempt	Notice of intent to submeter electricity.	To ensure adequate submetering equipment and consumer protections are in place.
STATE, DEPARTMENT OF			
DOS-47-22-00004-P	11/23/23	Creation of a cease and desist zone within Kings County.	To adopt a cease and desist zone for a designated area within Kings County and remove reference to expired zones.
DOS-03-23-00003-P	03/21/24	New York State Uniform Fire Prevention and Building Code (Uniform Code)	To amend the existing Uniform Code to amend provisions relating to hot tubs and spas
DOS-05-23-00018-P	04/04/24	Certification and training of code enforcement personnel	To amend the existing certification and training regulations to comply with recent amendments to Executive Law section 376-a
STATEN ISLAND RAPID TRANSIT OPERATING AUTHORITY			
SIR-50-22-00003-EP	12/14/23	Aligning the rule of conduct re: carrying firearms and other weapons in public transit with New York Law	Safeguard public safety by amending a rule to comply with NY Law re: the carrying of firearms and weapons in public transit
TAXATION AND FINANCE, DEPARTMENT OF			
*TAF-46-20-00003-P	exempt	Fuel use tax on motor fuel and diesel motor fuel and the art. 13-A carrier tax jointly administered therewith	To set the sales tax component and the composite rate per gallon for the period January 1, 2021 through March 31, 2021
TAF-09-23-00017-P	exempt	Fuel use tax on motor fuel and diesel motor fuel and the art. 13-A carrier tax jointly administered therewith.	To set the sales tax component and the composite rate per gallon for the period April 1, 2023 through June 30, 2023.
TEMPORARY AND DISABILITY ASSISTANCE, OFFICE OF			
TDA-43-22-00004-P	10/26/23	Repeal of liens against real property as conditions of eligibility for Public Assistance	To update State regulations consistent with the repeal of SSL § 106 pursuant to Part MM of Chapter 56 of the Laws of 2022
TDA-50-22-00006-P	12/14/23	Elimination of 45-day waiting period relative to determination of Safety Net Assistance (SNA) applications	To update State regulations relative to waiting period for SNA consistent with Part U of of Chapter 56 of the Laws of 2022
TDA-05-23-00017-P	02/01/24	Public Assistance (PA) earned income and work expense disregards and income tests for PA eligibility	To update State regulations pertaining to the above-referenced consistent with Part U of Chapter 56 of the Laws of 2022

Agency I.D. No.	Expires	Subject Matter	Purpose of Action

THRUWAY AUTHORITY, NEW YORK STATE

THR-01-23-00001-P	01/04/24	Toll rate adjustments on the New York State Thruway system.	To provide for toll rate adjustments necessary to support the Authority's financial obligations.

TRIBOROUGH BRIDGE AND TUNNEL AUTHORITY

TBA-09-23-00018-P	exempt	A proposal to establish a new crossing charge schedule for use of bridges and tunnels operated by TBTA.	A proposal to fund ongoing operations.

VICTIM SERVICES, OFFICE OF

OVS-49-22-00003-P	12/07/23	Limits on administrative expenses and executive compensation pursuant to Executive Order (EO) 38.	As EO 38 has been discontinued, the purpose of this rule is to repeal regulations implementing EO 38.

WORKERS' COMPENSATION BOARD

WCB-09-22-00002-RP	05/31/23	Intraoperative Neurophysiological Monitoring	To define IOM and clarify that remote IOM is prohibited except in very limited circumstances
WCB-41-22-00002-P	10/12/23	Disability benefits	To update and clarify DB claims process, and conform to statute
WCB-01-23-00005-P	01/04/24	Special Services	To correct typographical error in naming the prior authorization request in the text of the regulation.
WCB-09-23-00019-P	02/29/24	Telehealth	Provides the option for telehealth visits in some circumstances.

SECURITIES OFFERINGS

STATE NOTICES

Published pursuant to provisions of General Business Law
[Art. 23-A, § 359-e(2)]

DEALERS; BROKERS

722 SP Holdings, LLC
603 E. Broadway St., Prosper, TX 75078
State or country in which incorporated — Delaware

3001 Parkway LLC
20 5th Ave., 9B, New York, NY 10011
State or country in which incorporated — North Carolina

Advaxis, Inc.
Nine Deer Park Dr., Suite K-1, Monmouth Junction, NJ 08852
State or country in which incorporated — Delaware

AG Twin Brook Capital Income Fund
245 Park Ave., New York, NY 10167
Partnership — Delaware

Colberts Mill Capital, LLC
1366 E. 15th St., Edmond, OK 73013
State or country in which incorporated — Delaware

Finamex International, LLC
2700 Post Oak Blvd., 20th Fl., Suite 20–126, Houston, TX 77056
State or country in which incorporated — Delaware

Lord Abbett Distributor, LLC
90 Hudson St., Jersey City, NJ 07302
State or country in which incorporated — Delaware

NV Reit LLC
c/o Neighborhood Ventures, Inc., 5227 N. 7th St., Phoenix, AZ 85014
State or country in which incorporated — Delaware

Red Oak Capital Fund VI, LLC
625 Kenmoor Ave., Suite 200, Grand Rapids, MI 49546
State or country in which incorporated — Delaware

Rhone Apparel, Inc.
484 Pacific St., Stamford, CT 06902
State or country in which incorporated — Delaware

Stoltz MF Fund I, L.P.
725 Conshohocken State Rd., Bala Cynwyd, PA 19004
State or country in which incorporated — Delaware

TMF Jacinto Palms, LLC
603 E. Broadway St., Prosper TX 75078
State or country in which incorporated — Texas

ADVERTISEMENTS FOR BIDDERS/CONTRACTORS

SEALED BIDS

REPLACE
CEILINGS
Coxsackie Correctional Facility
Coxsackie, Greene County

Sealed bids for Project No. M3149-C, comprising a contract for Construction Work, Replace Ceilings, Cell Building 1, 2,3 & 35, Coxsackie Correctional Facility, 11260 State Route 9W, Coxsackie (Greene County), NY will be received by the Office of General Services (OGS), Design & Construction Group (D&C), Division of Contract Management, 35th Fl., Corning Tower, Empire State Plaza, Albany, NY 12242, on behalf of the Department of Corrections and Community Supervision, until 2:00 p.m. on Wednesday, March 8, 2023, when they will be publicly opened and read. Each bid must be prepared and submitted in accordance with the Instructions to Bidders and must be accompanied by a bid security (i.e. certified check, bank check, or bid bond in the amount of $137,600 for C).

All successful bidders will be required to furnish a Performance Bond and a Labor and Material Bond pursuant to Sections 136 and 137 of the State Finance Law, each for 100% of the amount of the Contract estimated to be between $5,000,000 and $6,000,000 for C.

Pursuant to State Finance Law §§ 139-j and 139-k, this solicitation includes and imposes certain restrictions on communications between OGS D&C and a bidder during the procurement process. A bidder is restricted from making contacts from the earliest posting on the OGS website, in a newspaper of general circulation, or in the Contract Reporter, of written notice, advertisement or solicitation of offers, through final award and approval of the contract by OGS D&C and the Office of the State Comptroller ("Restricted Period") to other than designated staff, unless it is a contact that is included among certain statutory exceptions set forth in State Finance Law § 139-j(3)(a). Designated staff are Jessica Cook, Jessica Hoffman, and Pierre Alric in the Division of Contract Management, telephone (518) 474-0203, fax (518) 473-7862. OGS D&C employees are also required to obtain certain information when contacted during the restricted period and to make a determination of the responsibility of the bidder pursuant to these two statutes. Certain findings of non-responsibility can result in rejection for contract award and in the event of two findings within a four-year period, the bidder is debarred from obtaining governmental Procurement Contracts. Bidders responding to this Advertisement must familiarize themselves with the State Finance Law requirements and will be expected to affirm that they understand and agree to comply on the bid form. Further information about these requirements can be found within the project manual or at: https://ogs.ny.gov/ACPL/

Pursuant to Public Buildings Law § 8(6), effective January 11, 2020, for any projects where the project design commenced on or after January 1, 2020 and for any contracts over $5,000 for the work of construction, reconstruction, alteration, repair, or improvement of any State building, a responsible and reliable NYS-certified Minority or Women-Owned Business Enterprise that submits a bid within ten percent of the lowest bid will be deemed the apparent low bidder provided that the bid is $1,400,000 or less, as adjusted annually for inflation beginning January 1, 2020. If more than one responsible and reliable MWBE firm meets these requirements, the MWBE firm with the lowest bid will be deemed the apparent low bidder.

___ Project commenced design before January 1, 2020. Not subject to provision.

_X_ Project commenced design on or after January 1, 2020. Subject to provision.

The substantial completion date for this project is 951 days after the Agreement is approved by the Comptroller.

The only time prospective bidders will be allowed to visit the job site to take field measurements and examine existing conditions of the project area will be at 12:30 p.m. on February 24, 2023, at the Coxsackie Correctional Facility, OGS Field Office Trailer, 11262 Rt. 9W, Coxsackie, NY 12051. Prospective bidders are urged, but not mandated, to visit the site at this time. Prospective bidders or their representatives attending the pre-bid site visit will not be admitted on facility grounds without proper photo identification. Note that parking restrictions and security provisions will apply, and all vehicles will be subject to search. Refer to Document 002218 for any additional requirements for attendance at the pre-bid site visit.

Phone the office of Bill Joyce (518-731-8290) a minimum of 72 hours in advance of the date to provide the names of those who will attend the pre-bid site visit. Only contractors that schedule a visit at least 72 hours in advance will be allowed to participate in the pre-bid site visit.

Pursuant to New York State Executive Law Article 15-A and the rules and regulations promulgated thereunder, OGS is required to promote opportunities for the maximum feasible participation of New York State-certified Minority and Women-owned Business Enterprises ("MWBEs") and the employment of minority group members and women in the performance of OGS contracts. All bidders are expected to cooperate in implementing this policy. OGS hereby establishes an overall goal of 30% for MWBE participation, 15% for Minority-Owned Business Enterprises ("MBE") participation and 15% for Women-Owned Business Enterprises ("WBE") participation (based on the current availability of qualified MBEs and WBEs). The total contract goal can be obtained by utilizing any combination of MBE and/or WBE participation for subcontracting and supplies acquired under this Contract. Trades with 0% goals are encouraged to make "good faith efforts" to promote and assist in the participation of MWBEs on the Contract for the provision of services and materials.

Article 17-B of the New York State Executive Law provides for more meaningful participation in public procurement by certified Service-Disabled Veteran-Owned Businesses ("SDVOBs"). Bidders are expected to consider SDVOBs in the fulfillment of the requirements of the Contract. Such participation may be as subcontractors or suppliers, as protégés, or in other partnering or supporting roles. OGS hereby establishes overall goals for SDVOBs' participation under this contract as follows: 3% for the C trade contractor, based on the current availability of qualified SDVOBs. Trades with 0% goals are encouraged to make "good faith efforts" to promote and assist in the participation of SDVOBs on the Contract for the provision of services and materials.

The Office of General Services reserves the right to reject any or all bids.

The Bidding and Contract Documents for this Project are available for viewing and downloading from OGS Design & Construction's plan room hosting service, Bid Express. Vendors wishing to view and/or download bid documents must complete a one-time registration for the Bid Express service. There is no cost to register for Bid

Express. Registration along with viewing and downloading of documents can be accessed at the following link: http://www.bidexpress.com

For questions about downloading of bid documents, please send an e-mail to support@bidexpress.com, or call the Bid Express toll-free number at (888) 352-2439.

For all other questions, please send an email to DCPlans@ogs.ny.gov, or call (518) 474-0203.

For additional information on this project, please use the link below and then click on the project number: https://online.ogs.ny.gov/dnc/contractorConsultant/esb/ESBPlansAvailableIndex.asp

By OGS - Design & Construction Group

REPLACE
BOILER/HEATING SYSTEM
Creedmoor Psychiatric Center
Queens Village, Queens County

Sealed bids for Project No. Q1852-H, comprising a contract for HVAC Work, Replace Boiler & Heating System, Building No. 68, Creedmoor Psychiatric Center, 80-45 Winchester Boulevard, Queens Village (Queens County), NY will be received by the Office of General Services (OGS), Design & Construction Group (D&C), Division of Contract Management, 35th Fl., Corning Tower, Empire State Plaza, Albany, NY 12242, on behalf of the Office of Mental Health, until 2:00 p.m. on Wednesday, March 1, 2023 when they will be publicly opened and read. Each bid must be prepared and submitted in accordance with the Instructions to Bidders and must be accompanied by a bid security (i.e. certified check, bank check, or bid bond in the amount of $22,400 for H).

Further, Wicks Exempt Projects require a completed form BDC 59 (Wicks Exempt List of Contractors) be filled out and submitted (included in a separate, sealed envelope) in accordance with Document 002220, Supplemental Instructions to Bidders – Wicks Exempt. Failure to submit this form correctly will result in a disqualification of the bid.

ll successful bidders will be required to furnish a Performance Bond and a Labor and Material Bond pursuant to Sections 136 and 137 of the State Finance Law, each for 100% of the amount of the Contract estimated to be between $500,000 and $1,000,000 for H.

Pursuant to State Finance Law §§ 139-j and 139-k, this solicitation includes and imposes certain restrictions on communications between OGS D&C and a bidder during the procurement process. A bidder is restricted from making contacts from the earliest posting, on the OGS website, in a newspaper of general circulation, or in the Contract Reporter of written notice, advertisement or solicitation of offers through final award and approval of the contract by OGS D&C and the Office of the State Comptroller ("Restricted Period") to other than designated staff unless it is a contact that is included among certain statutory exceptions set forth in State Finance Law § 139-j(3)(a). Designated staff are Jessica Cook, Jessica Hoffman, and Pierre Alric in the Division of Contract Management, telephone (518) 474-0203, fax (518) 473-7862. OGS D&C employees are also required to obtain certain information when contacted during the restricted period and make a determination of the responsibility of the bidder pursuant to these two statutes. Certain findings of non-responsibility can result in rejection for contract award and in the event of two findings within a four-year period, the bidder is debarred from obtaining governmental Procurement Contracts. Bidders responding to this Advertisement must familiarize themselves with the State Finance Law requirements and will be expected to affirm that they understand and agree to comply on the bid form. Further information about these requirements can be found within the project manual or at: https://ogs.ny.gov/ACPL/

Pursuant to Public Buildings Law § 8(6), effective January 11, 2020, for any projects where the project design commenced on or after January 1, 2020 and for any contracts over $5,000 for the work of construction, reconstruction, alteration, repair, or improvement of any State building, a responsible and reliable NYS-certified Minority or Women-Owned Business Enterprise that submits a bid within ten percent of the lowest bid will be deemed the apparent low bidder provided that the bid is $1,400,000 or less, as adjusted annually for inflation beginning January 1, 2020. If more than one responsible and reliable MWBE firm meets these requirements, the MWBE firm with the lowest bid will be deemed the apparent low bidder.

___ Project commenced design before January 1, 2020. Not subject to provision.

_X_ Project commenced design on or after January 1, 2020. Subject to provision.

The substantial completion date for this project is 206 days after the Agreement is approved by the Comptroller.

The only time prospective bidders will be allowed to visit the job site to take field measurements and examine existing conditions of the project area will be at 1:00 p.m. on February 16, 2023, at 80-45 Winchester Blvd, Building 73 - OGS Office, Queens Village, NY. Prospective bidders are urged, but not mandated, to visit the site at this time. Prospective bidders or their representatives attending the pre-bid site visit will not be admitted on facility grounds without proper photo identification. Note that parking restrictions and security provisions will apply and all vehicles will be subject to search. Refer to Document 002218 for any additional requirements for attendance at the pre-bid site visit.

Phone the office of Thomas Gaudio (646-773-2656) a minimum of 48 hours in advance of the date to provide the names of those who will attend the pre-bid site visit. Only contractors that schedule a visit at least 48 hours in advance will be allowed to participate in the pre-bid site visit.

Pursuant to New York State Executive Law Article 15-A and the rules and regulations promulgated thereunder, OGS is required to promote opportunities for the maximum feasible participation of New York State-certified Minority- and Women-owned Business Enterprises ("MWBEs") and the employment of minority group members and women in the performance of OGS contracts. All bidders are expected to cooperate in implementing this policy. OGS hereby establishes an overall goal of 30% for MWBE participation, 15% for Minority-Owned Business Enterprises ("MBE") participation and 15% for Women-Owned Business Enterprises ("WBE") participation (based on the current availability of qualified MBEs and WBEs). The total contract goal can be obtained by utilizing any combination of MBE and/or WBE participation for subcontracting and supplies acquired under this Contract. Trades with 0% goals are encouraged to make "good faith efforts" to promote and assist in the participation of MWBEs on the Contract for the provision of services and materials.

Article 17-B of the New York State Executive Law provides for more meaningful participation in public procurement by certified Service-Disabled Veteran-Owned Businesses ("SDVOBs"). Bidders are expected to consider SDVOBs in the fulfillment of the requirements of the Contract. Such participation may be as subcontractors or suppliers, as protégés, or in other partnering or supporting roles. OGS hereby establishes overall goals for SDVOBs' participation under this contract as follows: 3% for the H trade contractor, based on the current availability of qualified SDVOBs. Trades with 0% goals are encouraged to make "good faith efforts" to promote and assist in the participation of SDVOBs on the Contract for the provision of services and materials.

The Office of General Services reserves the right to reject any or all bids.

The Bidding and Contract Documents for this Project are available for viewing and downloading from OGS Design & Construction's plan room hosting service, Bid Express. Vendors wishing to view and/or download bid documents must complete a one-time registration for the Bid Express service. There is no cost to register for Bid Express. Registration along with viewing and downloading of documents can be accessed at the following link: http://www.bidexpress.com

For questions about downloading of bid documents, please send an e-mail to support@bidexpress.com, or call the Bid Express toll-free number at (888) 352-2439.

For all other questions, please send an email to DCPlans@ogs.ny.gov, or call (518) 474-0203.

For additional information on this project, please use the link below and then click on the project number: https://online.ogs.ny.gov/dnc/contractorConsultant/esb/ESBPlansAvailableIndex.asp

By OGS - Design & Construction Group

PROVIDE
TOILET FACILITIES
Kirby Forensic Psychiatric Center
Wards Island, New York County

Sealed bids for Project No. 47289-C, for Construction Work, Provide Toilet Facilities Patient Recreation Yard, Kirby Forensic Psychiatric Center, 600 East 125th Street, Wards Island (New York County), NY will be received by the Office of General Services (OGS), Design & Construction Group (D&C), Division of Contract Management, 35th Fl., Corning Tower, Empire State Plaza, Albany, NY 12242, on behalf of the Office of Mental Health, until 2:00 p.m. on Wednesday, March 8, 2023 when they will be publicly opened and read. Each bid must be prepared and submitted in accordance with the Instructions to Bidders and must be accompanied by a bid security (i.e. certified check, bank check, or bid bond in the amount of $27,000 for C).

Further, Project Labor Agreement (PLA) Projects require a completed form BDC 59P (Project Labor Agreement List of Subcontractors) be filled out and submitted (included in a separate, sealed envelope) in accordance with Document 002221, Supplemental Instructions to Bidders – PLA. Failure to submit this form correctly will result in a disqualification of the bid.

All successful bidders will be required to furnish a Performance Bond and a Labor and Material Bond pursuant to Sections 136 and 137 of the State Finance Law, each for 100% of the amount of the Contract, estimated to be between $500,000 and $1,000,000 for C.

Pursuant to State Finance Law §§ 139-j and 139-k, this solicitation includes and imposes certain restrictions on communications between OGS D&C and a bidder during the procurement process. A bidder is restricted from making contacts from the earliest posting, on the OGS website, in a newspaper of general circulation, or in the Contract Reporter of written notice, advertisement or solicitation of offers through final award and approval of the contract by OGS D&C and the Office of the State Comptroller (“Restricted Period”) to other than designated staff unless it is a contact that is included among certain statutory exceptions set forth in State Finance Law § 139-j (3)(a). Designated staff are Jessica Cook, Jessica Hoffman, and Pierre Alric in the Division of Contract Management, telephone (518) 474-0203, fax (518) 473-7862. OGS D&C employees are also required to obtain certain information when contacted during the restricted period and make a determination of the responsibility of the bidder pursuant to these two statutes. Certain findings of non-responsibility can result in rejection for contract award and in the event of two findings within a four-year period, the bidder is debarred from obtaining governmental Procurement Contracts. Bidders responding to this Advertisement must familiarize themselves with the State Finance Law requirements and will be expected to affirm that they understand and agree to comply on the bid form. Further information about these requirements can be found within the project manual or at: https://ogs.ny.gov/ACPL/

Pursuant to Public Buildings Law § 8(6), effective January 11, 2020, for any projects where the project design commenced on or after January 1, 2020 and for any contracts over $5,000 for the work of construction, reconstruction, alteration, repair, or improvement of any State building, a responsible and reliable NYS-certified Minority or Women-Owned Business Enterprise that submits a bid within ten percent of the lowest bid will be deemed the apparent low bidder provided that the bid is $1,400,000 or less, as adjusted annually for inflation beginning January 1, 2020. If more than one responsible and reliable MWBE firm meets these requirements, the MWBE firm with the lowest bid will be deemed the apparent low bidder.

___ Project commenced design before January 1, 2020. Not subject to provision.

_X_ Project commenced design on or after January 1, 2020. Subject to provision.

The substantial completion date for this project is 365 days after the Agreement is approved by the Comptroller.

As a condition of award, within 48 hours of receipt of the proposed Contract Agreement from the State, the apparent low bidder shall return the Contract Agreement to the State, properly executed, along with the Bonds if required by said Agreement. Low bidders who cannot meet these provisions may be subject to disqualification and forfeiture of the bid security.

The only time prospective bidders will be allowed to visit the job site to take field measurements and examine existing conditions of the project area will be at 10:00 a.m. on February 23, 2023, at the OGS/TDX Office Entrance - Bldg. 103 (diagonally across Meyer Building parking lot) 103 Rivers Edge Road, Randall’s Island, NY 10035. Prospective bidders are urged, but not mandated, to visit the site at this time. Prospective bidders or their representatives attending the pre-bid site visit will not be admitted on facility grounds without proper photo identification. Note that parking restrictions and security provisions will apply and all vehicles will be subject to search. Refer to Document 002218 for any additional requirements for attendance at the pre-bid site visit.

Phone the office of Cheryl Chasin (845-365-0730) a minimum of 48 hours in advance of the date to provide the names of those who will attend the pre-bid site visit. Only contractors that schedule a visit at least 48 hours in advance will be allowed to participate in the pre-bid site visit.

Pursuant to New York State Executive Law Article 15-A and the rules and regulations promulgated thereunder, OGS is required to promote opportunities for the maximum feasible participation of New York State-certified Minority- and Women-owned Business Enterprises (“MWBEs”) and the employment of minority group members and women in the performance of OGS contracts. All bidders are expected to cooperate in implementing this policy. OGS hereby establishes an overall goal of 30% for MWBE participation, 15% for Minority-Owned Business Enterprises (“MBE”) participation and 15% for Women-Owned Business Enterprises (“WBE”) participation (based on the current availability of qualified MBEs and WBEs). The total contract goal can be obtained by utilizing any combination of MBE and/or WBE participation for subcontracting and supplies acquired under this Contract. Trades with 0% goals are encouraged to make “good faith efforts” to promote and assist in the participation of MWBEs on the Contract for the provision of services and materials.

Article 17-B of the New York State Executive Law provides for more meaningful participation in public procurement by certified Service-Disabled Veteran-Owned Businesses (“SDVOBs”). Bidders are expected to consider SDVOBs in the fulfillment of the requirements of the Contract. Such participation may be as subcontractors or suppliers, as protégés, or in other partnering or supporting roles. OGS hereby establishes overall goals for SDVOBs’ participation under this contract as follows: 6% for the C trade contractor, based on the current availability of qualified SDVOBs. Trades with 0% goals are encouraged to make “good faith efforts” to promote and assist in the participation of SDVOBs on the Contract for the provision of services and materials.

The Office of General Services reserves the right to reject any or all bids.

The Bidding and Contract Documents for this Project are available for viewing and downloading from OGS Design & Construction’s plan room hosting service, Bid Express. Vendors wishing to view and/or download bid documents must complete a one-time registration for the Bid Express service. There is no cost to register for Bid Express. Registration along with viewing and downloading of documents can be accessed at the following link: http://www.bidexpress.com

For questions about downloading of bid documents, please send an e-mail to support@bidexpress.com, or call the Bid Express toll-free number at (888) 352-2439.

For all other questions, please send an email to DCPlans@ogs.ny.gov, or call (518) 474-0203.

For additional information on this project, please use the link below and then click on the project number: https://online.ogs.ny.gov/dnc/contractorConsultant/esb/ESBPlansAvailableIndex.asp

By OGS - Design & Construction Group

MISCELLANEOUS NOTICES/HEARINGS

Notice of Abandoned Property Received by the State Comptroller

Pursuant to provisions of the Abandoned Property Law and related laws, the Office of the State Comptroller receives unclaimed monies and other property deemed abandoned. A list of the names and last known addresses of the entitled owners of this abandoned property is maintained by the office in accordance with Section 1401 of the Abandoned Property Law. Interested parties may inquire if they appear on the Abandoned Property Listing by contacting the Office of Unclaimed Funds, Monday through Friday from 8:00 a.m. to 4:30 p.m., at:

1-800-221-9311
or visit our web site at:
www.osc.state.ny.us

Claims for abandoned property must be filed with the New York State Comptroller's Office of Unclaimed Funds as provided in Section 1406 of the Abandoned Property Law. For further information contact: Office of the State Comptroller, Office of Unclaimed Funds, 110 State St., Albany, NY 12236.

PUBLIC NOTICE

Division of Criminal Justice Services
Commission on Forensic Science

Pursuant to Public Officers Law section 104, the Division of Criminal Justice Services gives notice of a meeting of the New York State Commission on Forensic Science to be held on:

Date: March 10, 2023
Time: 9:00 a.m. - 1:00 p.m.
Conference Sites:

Division of Criminal Justice Services
Alfred E. Smith Office Bldg.
CrimeStat Rm. 118
80 S. Swan St.
Albany, NY

Empire State Development Corporation (ESDC)
633 3rd Ave.
37th Fl./Conference Rm.
New York, NY
*Identification and sign-in required

Web Streaming information: The webcast information for this meeting will be posted on the Division of Criminal Justice website under the Newsroom, Open Meeting/ Webcasts.

https://www.criminaljustice.ny.gov/pio/openmeetings.htm

PUBLIC NOTICE

Office of General Services

Pursuant to Section 33 of the Public Lands Law, the Office of General Services hereby gives notice to the following:

Notice is hereby given that the New York State Department of Transportation has determined that:

Address: Nepperhan Avenue, Yonkers, NY 10703
Surplus Property Case No.: 08-III-8192
Project Identification Number (PIN): 8076.00.223
Proceeding Number: None
Project: Nepperhan Avenue Arterial
City of Yonkers, Westchester County
Map 383, Parcel 386

a.072 + acre lot, is surplus and no longer useful or necessary for state program purposes and has abandoned the property to the Commissioner of General Services for sale or other disposition as Unappropriated State land.

For further information, please contact: Frank Pallante, Esq., Office of General Services, Legal Services, 36th Fl., Corning Tower, Empire State Plaza, Albany, NY 12242, (518) 474-8831, (518) 473-4973 fax

PUBLIC NOTICE

Department of Health

Pursuant to 42 CFR Section 447.205, the Department of Health hereby gives public notice of the following:

The Department of Health proposes to amend the Title XIX (Medicaid) State Plan for non-institutional services to comply with New York State's American Rescue Act Home and Community Based Service Spending Plan. The following changes are proposed:

Non-Institutional Services

The following is a clarification to the January 25, 2023, noticed provision to provide supplemental payments to Adult Day Health Centers and AIDS Adult Day Health Centers as described in the approved New York State American Rescue Act Home and Community Based Service Spending Plan under the Support for Adult Day Health Centers (ADHCs) and Social Adult Day Centers (SADCs) Reopening Initiative. With clarification, this revises the category to "Non-Institutional Services". There is no change to the previously noticed fiscals.

The public is invited to review and comment on this proposed State Plan Amendment, a copy of which will be available for public review on the Department's website at http://www.health.ny.gov/regulations/state_plans/status. Individuals without Internet access may view the State Plan Amendments at any local (county) social services district.

For the New York City district, copies will be available at the following places:

New York County
250 Church Street
New York, New York 10018

Queens County, Queens Center
3220 Northern Boulevard
Long Island City, New York 11101

Kings County, Fulton Center

114 Willoughby Street
Brooklyn, New York 11201

Bronx County, Tremont Center
1916 Monterey Avenue
Bronx, New York 10457

Richmond County, Richmond Center
95 Central Avenue, St. George
Staten Island, New York 10301

For further information and to review and comment, please contact: Department of Health, Division of Finance and Rate Setting, 99 Washington Ave., One Commerce Plaza, Suite 1432, Albany, NY 12210, spa_inquiries@health.ny.gov

PUBLIC NOTICE
Department of Health
Essential Plan Expansion

In compliance with 31 CFR 33.112 and 45 CFR 155.1312, notice is hereby provided that the New York State Department of Health (the State) intends to submit a Section 1332 State Innovation Waiver to the Centers for Medicare & Medicaid Services (CMS) in the Department of Health and Human Services (HHS) and the Department of Treasury (Treasury) for the expansion of the Essential Plan. This notice serves to open the 30-day public comment period on February 9, 2023, which closes on March 11, 2023.

Waiver Summary and Objectives

The State is requesting approval of the 1332 Waiver to expand Essential Plan coverage beyond the current eligible populations to include residents with incomes up to 250% of the FPL. Through this expansion of Essential Plan coverage, the State seeks to reduce the uninsured population in New York by increasing access to high quality, affordable health insurance for low- and moderate-income individuals.

Currently, the Essential Plan is federally designated as a Basic Health Program (BHP) under Section 1331 of the Affordable Care Act (ACA). The Essential Plan provides enrollees with comprehensive coverage with no premiums, no deductibles, and low-cost sharing. Essential Plan eligibility is currently limited to individuals who would have been eligible for state-only Medicaid prior to 2016 and individuals with incomes above the Medicaid ceiling and up to 200% of the FPL, ages 19 – 64, who would otherwise be eligible to purchase Qualified Health Plans (QHPs) and receive premium tax credits (PTCs) on the Exchange (NY State of Health).

Under the Waiver, the State is requesting to establish an identical Essential Plan program for currently eligible populations under Section 1332 Waiver authority, instead of Section 1331 of the ACA, with expanded eligibility to the new population. The Essential Plan under Section 1332 Waiver authority would continue to include coverage of all Essential Health Benefits (EHBs). The State is also requesting continued use of the BHP Trust Fund for the population traditionally eligible for the BHP under Section 1331 of the ACA.

Why is the Waiver Needed?

The State is not legally able to expand eligibility of the Essential Plan to new consumers under Section 1331 of the ACA. The Waiver is required to grant the State the federal authority necessary to expand Essential Plan coverage under Section 1332. To carry out its waiver plan, New York proposes to waive section 36B of the Internal Revenue Code, which creates the ACA's premium tax credit, as permitted under section 1332(a)(2) and will waive any other provisions the Departments deem necessary to implement this waiver plan. Waiving this provision is integral to the waiver plan and to eligibility for pass-through funding.

Waiver Impact

The change in federal authority for the Essential Plan from Section 1331 to Section 1332 will not have administrative or operational impacts for current Essential Plan consumers. All consumers enrolled in the Essential Plan with incomes up to 200% of FPL will continue to have no premiums, no deductibles, and current maximum out-of-pocket contribution levels. New consumers under the Waiver with incomes between 200% and 250% of the FPL will have $15 monthly premiums, no deductibles, and low out-of-pocket costs. These premium and cost sharing requirements are lower than the cost sharing available in the QHP marketplace. Additionally, eligibility and enrollment processes for current Essential Plan consumers will not change under the waiver. Consumers will continue to apply for and enroll in the Essential Plan and QHPs through the NY State of Health. New consumers in the Essential Plan will experience the same eligibility and enrollment processes that current consumers with incomes up to 200% of the FPL currently experience.

New York's 1332 Waiver is expected to generate substantial savings for the State and federal governments, while expanding coverage to additional of New Yorkers. The State is requesting that the BHP funding and the savings from foregone premium tax credits be passed through to the State to continue to fund the Essential Plan for the duration of the Waiver. The State projects that the federal passthrough will fully fund the expansion of the Essential Plan to the new eligibility group with no additional funding required from the State for the duration of the Waiver. The State is requesting continued access to the current Essential Plan Trust Fund balance under the Waiver, which will not increase the federal deficit, but will enhance benefits and lower costs for traditionally BHP eligible individuals.

The 1332 Waiver is projected to meet the four ACA Section 1332 guardrails of scope of coverage, affordability, comprehensiveness of coverage, and federal deficit neutrality.

Essential Plan Expansion 1332 Waiver Submission and Review of Public Comments

A draft of the waiver is available for review on the Department of Health's website at: https://info.nystateofhealth.ny.gov/1332. For individuals with limited online access and/or who require special accommodation, please call (518) 486-9102 to access paper copies.

The State will accept written comments through March 11, 2023. *Individuals wishing to provide written comments may submit them online here: or by mail at*: State of Health, 1332 Waiver Application, Empire State Plaza, Corning Tower, Rm. 2580, Albany, NY 12237

All comments must be submitted electronically or postmarked by March 11, 2023.

The State will consider all comments received and include a summary of the comments in the final 1332 Waiver Application submitted to the Departments.

PUBLIC NOTICE
Department of State
F-2022-0913
Date of Issuance – March 1, 2023

The New York State Department of State (DOS) is required by Federal regulations to provide timely public notice for the activities described below, which are subject to the consistency provisions of the Federal Coastal Zone Management Act (CZMA) of 1972, as amended.

The applicant has certified that the proposed activities comply with and will be conducted in a manner consistent with the federally approved New York State Coastal Management Program (NYSCMP). The applicant's consistency certification and accompanying public information and data are available for inspection at the New York State Department of State offices located at One Commerce Plaza, 99 Washington Avenue, in Albany, New York.

In F-2022-0913, the applicant, Frank Melville Memorial Foundation, is proposing to hydraulically dredge both freshwater ponds, approx. 4' deep in South Pond and 2' deep in North Pond, to remove approx. 14,000 cubic yards total. Spoils to be dewatered on site in nearby upland area and then disposed of in the permitted site. This project is located at Old Field Road, Town of Brookhaven, Suffolk County, North and South Mill Ponds.

The applicant's consistency certification and supporting information are available for review at: https://dos.ny.gov/system/files/

documents/2023/03/f-2022-0913pn.pdf or at https://dos.ny.gov/public-notices

Any interested parties and/or agencies desiring to express their views concerning any of the above proposed activities may do so by filing their comments, in writing, no later than 4:30 p.m., 30 days from the date of publication of this notice or March 31, 2023.

Comments should be addressed to: Department of State, Office of Planning and Development and Community Infrastructure, Consistency Review Unit, One Commerce Plaza, Suite 1010, 99 Washington Ave., Albany, NY 12231, (518) 474-6000. Electronic submissions can be made by email at: CR@dos.ny.gov

This notice is promulgated in accordance with Title 15, Code of Federal Regulations, Part 930.

PUBLIC NOTICE

Department of State
F-2022-0942
Date of Issuance – March 1, 2023

The New York State Department of State (DOS) is required by Federal regulations to provide timely public notice for the activities described below, which are subject to the consistency provisions of the Federal Coastal Zone Management Act (CZMA) of 1972, as amended.

The applicant has certified that the proposed activities comply with and will be conducted in a manner consistent with the federally approved New York State Coastal Management Program (NYSCMP). The applicant's consistency certification and accompanying public information and data are available for inspection at the New York State Department of State offices located at One Commerce Plaza, 99 Washington Avenue, in Albany, New York.

In F-2022-0942, Alex Melamudov is proposing the installation of a 5' x 8' platform off existing platform at bulkhead and a 4.5' x 50' walkway and 4-pile boat lift at 571 West Bay Drive.

The proposed activity would be located within or has the potential to affect the following Special Management or Regulated Area(s):

City of Long Beach, Suffolk County, Reynolds Channel

The stated purpose of the proposed action is to the applicant's family member cannot access boat for water dependent recreation from existing structures due to physical limitations.

The applicant's consistency certification and supporting information are available for review at: https://dos.ny.gov/system/files/documents/2023/03/f-2022-0942pn.pdf or at https://dos.ny.gov/public-notices

Any interested parties and/or agencies desiring to express their views concerning any of the above proposed activities may do so by filing their comments, in writing, no later than 4:30 p.m., 30 days from the date of publication of this notice or March 31, 2023.

Comments should be addressed to: Department of State, Office of Planning and Development and Community Infrastructure, Consistency Review Unit, One Commerce Plaza, Suite 1010, 99 Washington Ave., Albany, NY 12231, (518) 474-6000. Electronic submissions can be made by email at: CR@dos.ny.gov

This notice is promulgated in accordance with Title 15, Code of Federal Regulations, Part 930.

PUBLIC NOTICE

Department of State
F-2022-0992
Date of Issuance – March 1, 2023

The New York State Department of State (DOS) is required by Federal regulations to provide timely public notice for the activities described below, which are subject to the consistency provisions of the Federal Coastal Zone Management Act (CZMA) of 1972, as amended.

The applicant has certified that the proposed activities comply with and will be conducted in a manner consistent with the federally approved New York State Coastal Management Program (NYSCMP). The applicant's consistency certification and accompanying public information and data are available for inspection at the New York State Department of State offices located at One Commerce Plaza, 99 Washington Avenue, in Albany, New York.

In F-2022-0992, the applicant, Todd Prager, is proposing to construct a 4' x 125' fixed dock with a 4' x 30' finger at waterward end, creating an "L", and a 4' x 40' lower platform on east side. Construct access stairway from bulkhead onto dock and to beach on east and west side of dock. Install 70' of splash board system below "L" section, (1) tie-off piling, and (3) ladders. This project is located at 78 Peconic Avenue, Town of Shelter Island, Suffolk County, Shelter Island Sound.

The applicant's consistency certification and supporting information are available for review at: https://dos.ny.gov/system/files/documents/2023/03/f-2022-0992pn.pdf or at https://dos.ny.gov/public-notices

Any interested parties and/or agencies desiring to express their views concerning any of the above proposed activities may do so by filing their comments, in writing, no later than 4:30 p.m., 30 days from the date of publication of this notice or March 31, 2023.

Comments should be addressed to: Department of State, Office of Planning and Development and Community Infrastructure, Consistency Review Unit, One Commerce Plaza, Suite 1010, 99 Washington Ave., Albany, NY 12231, (518) 474-6000. Electronic submissions can be made by email at: CR@dos.ny.gov

This notice is promulgated in accordance with Title 15, Code of Federal Regulations, Part 930.

PUBLIC NOTICE

Department of State
F-2023-0012
Date of Issuance – March 1, 2023

The New York State Department of State (DOS) is required by Federal regulations to provide timely public notice for the activities described below, which are subject to the consistency provisions of the Federal Coastal Zone Management Act (CZMA) of 1972, as amended.

The applicant has certified that the proposed activities comply with and will be conducted in a manner consistent with the federally approved New York State Coastal Management Program (NYSCMP). The applicant's consistency certification and accompanying public information and data are available for inspection at the New York State Department of State offices located at One Commerce Plaza, 99 Washington Avenue, in Albany, New York.

In F-2023-0012, Big Ram LLC, is proposing to construct a 4' x 26' angled catwalk leading onto a 4' x 65' dock, install a new 3' x 14' aluminum ramp leading to an existing 8' x 25' float. Install (2) 10'' diameter tie-off piling and (3) 10'' diameter piling to secure float into place at Two South Ram Island Drive in the Town of Shelter Island, Suffolk County, on Coecles Inlet.

The stated purpose of the proposed action is, "To berth the homeowner's boats, establish easy access to the property as well as enhance the ability to engage in water dependent activities."

The applicant's consistency certification and supporting information are available for review at: https://dos.ny.gov/system/files/documents/2023/03/f-2023-0012pn.pdf or at https://dos.ny.gov/public-notices

Any interested parties and/or agencies desiring to express their views concerning any of the above proposed activities may do so by filing their comments, in writing, no later than 4:30 p.m., 30 days from the date of publication of this notice or March 31, 2023.

Comments should be addressed to: Department of State, Office of Planning and Development and Community Infrastructure, Consistency Review Unit, One Commerce Plaza, Suite 1010, 99 Washington Ave., Albany, NY 12231, (518) 474-6000. Electronic submissions can be made by email at: CR@dos.ny.gov

This notice is promulgated in accordance with Title 15, Code of Federal Regulations, Part 930.

PUBLIC NOTICE

Department of State
F-2023-0017
Date of Issuance – March 1, 2023

The New York State Department of State (DOS) is required by Federal regulations to provide timely public notice for the activities described below, which are subject to the consistency provisions of the Federal Coastal Zone Management Act (CZMA) of 1972, as amended.

The applicant has certified that the proposed activities comply with and will be conducted in a manner consistent with the federally approved New York State Coastal Management Program (NYSCMP). The applicant's consistency certification and accompanying public information and data are available for inspection at the New York State Department of State offices located at One Commerce Plaza, 99 Washington Avenue, in Albany, New York.

In F-2023-0017, the applicant, Inlet Seafood LLC, is proposing to install 340' of steel bulkhead with 50' steel return and the installation of 274' of stone revetment placed between northern end of proposed bulkhead and southern end of existing rock jetty. This project is located at 541 East Lake Drive, Town of East Hampton, Suffolk County, Montauk Harbor.

The applicant's consistency certification and supporting information are available for review at: https://dos.ny.gov/system/files/documents/2023/03/f-2023-0017pn.pdf or at https://dos.ny.gov/public-notices

The proposed activity would be located within or has the potential to affect the following Special Management or Regulated Area(s):

- Town of East Hampton Local Waterfront Revitalization Program: https://dos.ny.gov/location/town-east-hampton-local-waterfront-revitalization-program
- Lake Montauk Scenic Area: https://dos.ny.gov/system/files/documents/2020/02/sass_report.pdf

Any interested parties and/or agencies desiring to express their views concerning any of the above proposed activities may do so by filing their comments, in writing, no later than 4:30 p.m., 30 days from the date of publication of this notice or March 31, 2023.

Comments should be addressed to: Department of State, Office of Planning and Development and Community Infrastructure, Consistency Review Unit, One Commerce Plaza, Suite 1010, 99 Washington Ave., Albany, NY 12231, (518) 474-6000. Electronic submissions can be made by email at: CR@dos.ny.gov

This notice is promulgated in accordance with Title 15, Code of Federal Regulations, Part 930.

PUBLIC NOTICE

Department of State
Uniform Code Variance/Appeal Petitions

Pursuant to 19 NYCRR Part 1205, the variance and appeal petitions below have been received by the Department of State. Unless otherwise indicated, they involve requests for relief from provisions of the New York State Uniform Fire Prevention and Building Code. Persons wishing to review any petitions, provide comments, or receive actual notices of any subsequent proceeding may contact Brian Tollisen or Neil Collier, Building Standards and Codes, Department of State, One Commerce Plaza, 99 Washington Ave., Albany, NY 12231, (518) 474-4073 to make appropriate arrangements.

2022-0696 Matter of Engine Hill Laundromat, 944 Crane Street, City of Schenectady, NY, 12303, for a variance concerning safety requirements, including fire rated cellar ceilings. Involved is an existing building, located at 944 Crane Street, City of Schenectady, County of Schenectady, State of New York.

2022-0697 Matter of JA Daddario, LLC., 1713 Lenox Road, Schenectady, NY, 12308, for a variance concerning safety requirements, including fire rated cellar ceilings. Involved is a multiple family dwelling located at 1007 Union Street, City of Schenectady, County of Schenectady, State of New York.

2022-0705 Matter of Michael R. O'Brien, 344 Wellington Road, Delmar, NY, 12054, for a variance concerning safety requirements, including basement ceiling height. Involved is a one-family dwelling, located at 344 Wellington Road, Town of Bethlehem, Albany County, State of New York.

2023-0003 Matter of Suzanne Sowinski of Sowinski Sullivan Architects, 25 Mohawk Avenue, Sparta, NJ, 07871, for a variance concerning safety requirements, including means of egress width requirements. Involved is an existing transit station, known as the Queensboro Plaza Station (IRT Flushing Line), located in the Borough of Queens, County of Queens, State of New York

2023-0011 Matter of Union Rentals, Inc., 36 Crow Road, Voorheesville, NY, 12186, for a variance concerning safety requirements, including fire rated cellar ceilings. Involved is a multiple family dwelling located at 1535 Union Street, City of Schenectady, County of Schenectady, State of New York.

2023-0019 Matter of Pathfinder Engineers and Architects, 134 S Fitzhugh Street, Rochester, NY, 14608, for a variance concerning safety requirements, including occupant notification. Involved is an institutional occupancy, known as Helio Health, located at 1850 Brighton-Henrietta Townline Road, Town of Brighton, County of Monroe, State of New York.

2023-0041 Matter of William Freeman, 510 Licia Lane, Webster, NY, 14580, for a variance concerning safety requirements, including permanent barriers. Involved is a one-family dwelling, located at 510 Licia Lane, Town of Webster, County of Monroe, State of New York.

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2023-0070 Matter of Gray Architectural SVS, P.C., Chris Gray, 2401 Capri Place, N. Bellmore, NY 11710, for a variance concerning safety requirements, including height under projection. Involved is an existing dwelling located at 332 Cochran Place; Village of Valley Stream, NY 11581, County of Nassau, State of New York.

PUBLIC NOTICE

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Uniform Code Variance/Appeal Petitions

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2023-0071 in the Matter of Raymond M. Schwarz, 11 Covey Road, Hyde Park, NY 12538, for a variance concerning safety requirements, including ceiling height. Involved is a one family dwelling located at 11 Covey Road, Town of Hyde Park, NY 12538, County of Dutchess, State of New York.

2023-0072 in the Matter of New York State Office of General Services, Christopher Bukowski, Empire State Plaza, Corning Tower, 33rd Floor, Albany, NY 12242, for a variance concerning safety requirements, including fire protection. Involved is a institutional building located at 594 Route 216, Town of Beekman, NY 12582, County of Dutchess, State of New York.

PUBLIC NOTICE
Department of State
Uniform Code Variance/Appeal Petitions

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2023-0073 in the Matter of John Scavelli, 2875 Route 35, Katonah, NY 10536, for a variance concerning safety requirements, including pool barrier. Involved is a one family dwelling located at 49 Sarles Street, Town of North Castle, NY 10504, County of Westchester, State of New York.

2023-0075 in the Matter of SI Design, Sid Schlomann, Three Petersville Road, Mount Kisco, NY 10549, for a variance concerning safety requirements, including beam and duct height. Involved is a one family dwelling located at 38 Secor Road, Village of Scarsdale, NY 10583, County of Westchester, State of New York.

PUBLIC NOTICE
Department of State
Uniform Code Variance/Appeal Petitions

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2023-0076 In the matter of Jesse Plumbley P.E. of J.D. Plumbley Engineering P.C., 8232 Loop Road, Baldwinsville, NY 13027 for Oneida County Department of Aviation, 660 Hangar Road, Rome, New York 13441 for a variance concerning life safety requirements, sprinkler system and foam suppression system requirements for fire suppression system replacement a an existing aircraft hangar known as building 101 located at 354 Hangar Road, City of Rome, County of Oneida, State of New York.

FINANCIAL REPORTS

NEW YORK STATE | Department of Taxation and Finance

Depositories for the Funds of the State of New York

Month End: January 31, 2023

Prepared by the Division of the Treasury
Investments, Cash Management and Accounting Operations

Amanda Hiller
Acting Commissioner

ACCOUNT DESCRIPTION	DEPOSITORY	BALANCE AS OF 1/31/2023
ACCOUNTS HELD IN JOINT CUSTODY BY THE COMMISSIONER OF TAXATION FINANCE AND THE NEW YORK STATE COMPTROLLER		
Unemployment Insurance Funding Account	Key Bank	10,084,030.81
Occupational Training Act Funding Account	Key Bank	109,853.00
General Checking	Key Bank	0.00
Direct Deposit Account	Wells Fargo	91,744.43
TOTAL		(19,301,335.87)
01000 - EXECUTIVE CHAMBER		
Executive Chamber Advance Account	Key Bank	No report received
01010 - DIVISION OF BUDGET		
Advance Account	Bank of America, N.A.	5,000.00
01030 - DIVISION OF ALCOHOLIC BEVERAGE CONTROL		
Albany		
SLA Investigations Account	Key Bank	2,000.00
01050 - OFFICE OF GENERAL SERVICES		
Exec Mansion Official Function	Key Bank	No report received
NY ISO Account	Key Bank	No report received
SNY Office of General Services	JPMorgan Chase Bank, N.A.	No report received
State of New York Elicensing	Key Bank	No report received
State of New York OGS Petty Cash	Key Bank	No report received
State of New Your OGS Escrow	Key Bank	No report received
01060 - DIVISION OF STATE POLICE		
Div Headquarters - Petty Cash	Key Bank	1,681.00
Key Advantage Account	Key Bank	6,450.60
Manhattan Office-confidential	JPMorgan Chase Bank, N.A.	5,000.00
NYS Police Special Account	Key Bank	2,205,254.80
NYSP CTIU Confidential Fund	Key Bank	4,000.00
SIU Confidential Fund Account	Key Bank	30,000.00
Special Fund	Key Bank	95,159.07
State Police Receipts Account	Bank of America, N.A.	342,169.55
Troop A Batavia - Petty Cash	Bank of America, N.A.	1,000.00
Troop A Batavia-Confidential	Bank of America, N.A.	8,900.00
Troop B Confidential	Key Bank	9,211.00
Troop B Petty Cash	Community Bank	1,000.00
Troop C Confidential Fund	NBT Bank	9,800.00
Troop C Petty Cash	NBT Bank	1,000.00
Troop D Oneida - Confidential	Alliance Bank	8,500.00
Troop D Oneida Petty Cash	Alliance Bank	1,000.00
Troop E Canandaigua Confidential	Canandaigua National Bank	2,000.00
Troop E Petty Cash	Canandaigua National Bank	1,000.00
Troop F Confidential	JPMorgan Chase Bank, N.A.	2,500.00
Troop F Petty Cash	JPMorgan Chase Bank, N.A.	1,000.00
Troop G Loudonville Conf	Bank of America, N.A.	6,441.56
Troop G Petty Cash	Bank of America, N.A.	1,000.00
Troop K Petty Cash	Bank of Millbrook	1,030.00
Troop K Poughkeepsie-Confidential	Bank of Millbrook	1,538.16
Troop L Confidential Fund	Bank of America, N.A.	13,986.83
01070 - DIVISION OF MILITARY & NAVAL AFFAIRS		
Advance For Travel	Key Bank	117,118.37
SNY Camp Smith Billeting Fund	JPMorgan Chase Bank, N.A.	8,784.81
01077 - OFFICE OF HOMELAND SECURITY		
Academy Of Fire Science	Chemung Canal Trust	No report received
01080 - DIVISION OF HOUSING & COMMUNITY RENEWAL		
Albany Office Of Financial Administration		
Maximum Base Rent Fee Account	JPMorgan Chase Bank, N.A.	5,815.13
Revenue Account	JPMorgan Chase Bank, N.A.	484,693.81
01090 - DIVISION OF HUMAN RIGHTS		
Petty Cash Fund Account	JPMorgan Chase Bank, N.A.	No report received
01150 - OFFICE OF EMPLOYEE RELATIONS		
NYS Flex Spending	Key Bank	353,901.50
OER Panel Administration Escrow Account	Key Bank	10,430.07
State of New York LMC Petty Cash Account	Key Bank	21,331.69
01160 - JUSTICE CENTER FOR THE PROTECTION OF PEOPLE WITH SPECIAL NEEDS		
Agency Advance Account	Key Bank	1,877.98
01300 - ADIRONDACK PARK AGENCY		
General Fund	Community Bank	930.00
Petty Cash	Community Bank	3,915.01
01400 - CRIME VICTIMS COMPENSATION BOARD		
Crime Victims	JPMorgan Chase Bank, N.A.	150,000.00
Emergency Claims	Key Bank	5,521.33

Petty Cash Account	Key Bank	1,550.00
REST/SUBROG Escrow Account	Key Bank	389.66
01490 - DIVISION OF CRIMINAL JUSTICE SERVICES		
Advance Account	Bank of America, N.A.	2,900.00
Fingerprint Fee Account	Bank of America, N.A.	1,598,449.00
01530 - STATE COMMISSION OF CORRECTION		
Advance Account	Bank of America, N.A.	2,000.00
01540 - STATE BOARD OF ELECTIONS		
Revenue Account	Key Bank	No report received
01620 - OFFICE FOR PREVENTION OF DOMESTIC VIOLENCE		
NYS Prevention Domestic Violence	Bank of America, N.A.	No report received
02000 - OFFICE OF THE STATE COMPTROLLER		
Admissions	Bank of America, N.A.	0.00
Adult-Use Cannabis	Wells Fargo Bank	4,600.00
Advance for Travel Account	Key Bank	No report received
Alcohol Beverage	Bank of America, N.A.	53,873.22
Alcoholic Bev Control License	Wells Fargo Bank	57,206.73
Alcoholic Beverage Control License	M&T Bank	72,892.64
Alcoholic Beverage Tax Collections	Wells Fargo Bank	29,453.16
Assessments Bulk	JPMorgan Chase Bank, N.A.	251,594.27
Assessments Receivable	JPMorgan Chase Bank, N.A.	6,380,704.56
Assessments Receivable (EFT)	Wells Fargo Bank	747,621.62
Boxing And Wrestling Tax	Bank of America, N.A.	75,324.20
Check 21 Corporation Tax	JPMorgan Chase Bank, N.A.	1,244,222.33
Check 21 Estate Tax	JPMorgan Chase Bank, N.A.	2,683,278.80
Check 21 Highway Use	JPMorgan Chase Bank, N.A.	280,101.37
Check 21 PIT	JPMorgan Chase Bank, N.A.	841,202.08
Check 21 Real Estate Transfer	JPMorgan Chase Bank, N.A.	238,367.85
Check Sales Tax	JPMorgan Chase Bank, N.A.	594,155.59
Cigarette Stamp Tax (EFT)	Wells Fargo Bank	8,500,144.28
Cigarette Stamp Tax Split	JPMorgan Chase Bank, N.A.	599,900.73
Cigarette Tax Tobacco Products	Bank of America, N.A.	216,402.52
Congestion Surcharge	Wells Fargo Bank	78,827.79
Corporation Tax	Wells Fargo Bank	5,081.45
Corporation Tax - Coupon Acct.	JPMorgan Chase Bank, N.A.	140,759.86
Employer Compensation Expense	Wells Fargo Bank	153,642.77
Encon Beverage Container Deposit/Bottle Bill (EFT)	Wells Fargo Bank	200,682.65
ERS Petty Cash Acct	Key Bank	No report received
Estimated Tax	JPMorgan Chase Bank, N.A.	23,232,864.86
Gift Tax	Bank of America, N.A.	0.00
Hazardous Waste	Key Bank	114,148.74
Highway Use - Permits & Reg.	Bank of America, N.A.	58,281.81
Highway Use Truck Mileage Tax (EFT)	Wells Fargo Bank	2,362,835.98
Hudson River-Black River	Bank of America, N.A.	486,352.89
Hudson River-Black River	Community Bank	233,096.94
Hut/Oscar Registrations & Renewals (EFT)	Wells Fargo Bank	120,238.50
IFTA Fuel Use Tax (EFT)	Wells Fargo Bank	330,531.09
IFTA/Oscar Renewals (EFT)	Wells Fargo Bank	7,200.00
IFTA-Decal/Permit Fee Acct.	Bank of America, N.A.	14,437.50
IFTA-Fuel Use	Bank of America, N.A.	110,256.94
Justice Court	Key Bank	459,391.77
Mac #847 NYS T&F Pari Mutuel	Key Bank	416.35
Mac #848 NYS T&F Off Track	Key Bank	2,555,696.96
Medallion Taxicab Trip Tax (EFT)	Wells Fargo Bank	1,470.50
Medical Marijuana Tax Collections	Bank of America, N.A.	53,834.63
Metro Commuter Trans. Mobility	JPMorgan Chase Bank, N.A.	463,279.19
Ogdensburg Bridge & Port	Community Bank	38,324.67
Opioid Excise Tax	Wells Fargo Bank	163,834.90
Pass-Through Entity Tax	Wells Fargo Bank	25,525,404.00
Personal Income Tax	Wells Fargo Bank	97,943.45
Petroleum Business Tax (EFT)	Wells Fargo Bank	301,636.83
Petroleum Products Tax	Bank of America, N.A.	3,169,063.08
Petty Cash Account	Key Bank	No report received
PIT Bulk	JPMorgan Chase Bank, N.A.	5,579,086.17
Port Of Oswego	Key Bank	370,297.98
Promptax - MCTMT	Wells Fargo Bank	10,536,530.04
Promptax - Petroleum Business Tax	Wells Fargo Bank	52.03
Promptax - Sales Tax/Sales Tax Prepaid Fuel	Wells Fargo Bank	99.61
Promptax - Withholding	Wells Fargo Bank	65,988,000.53
Real Estate Transfer Tax	Key Bank	321,221.00
Revenue Holding	First Niagara Bank	3,925,000.00
Sales Tax	JPMorgan Chase Bank, N.A.	0.00
Sales Tax	Wells Fargo Bank	1,834,596.42
SNY PIT Special Refund Account	Wells Fargo Bank	1,049.55

State of New York PIT Refund Acct	JPMorgan Chase Bank, N.A.	105,188.78
SUNY Concentration	First Niagara Bank	5,057,000.00
TNC Assessment (EFT)	Wells Fargo Bank	1,575.31
Troy Debt Service Reserve Fund	Bank of America, N.A.	5,180,319.94
Uncashed Winning Tickets	Bank of America, N.A.	26,317.74
Withholding	Wells Fargo Bank	1,798.32
Withholding Tax	JPMorgan Chase Bank, N.A.	8,005,457.52
Cash Advance Accounts		
Advance For Travel Account	Key Bank	1,200.00
ERS Petty Cash Acct	Key Bank	5,100.00
Petty Cash Account	Key Bank	3,821.00
Common Retirement Fund		
Common Retirement Fund - Depository	JPMorgan Chase Bank, N.A.	607.80
NYS Common Retirement Fund	JPMorgan Chase Bank, N.A.	16,276,709.46
NYS Employer Contributions	JPMorgan Chase Bank, N.A.	1,810,991.00
Employees Retirement System		
Employees Retirement System - EFT	JPMorgan Chase Bank, N.A.	829,296.44
Employees Retirement System - EFT AP	JPMorgan Chase Bank, N.A.	6,632.50
Employees Retirement System - General	JPMorgan Chase Bank, N.A.	0.00
Employees Retirement System - Pension	JPMorgan Chase Bank, N.A.	0.00
Group Term Life		
Group Term Life - General	JPMorgan Chase Bank, N.A.	0.00
Municipal Assistance Corporation Accounts		
City Of Troy - MAC	JPMorgan Chase Bank, N.A.	0.00
Police and Fire		
Retirement Police & Firemen's - EFT	JPMorgan Chase Bank, N.A.	86,917.34
Retirement Police & Firemen's - EFT AP	JPMorgan Chase Bank, N.A.	5,275.71
Retirement Police & Firemen's - General	JPMorgan Chase Bank, N.A.	0.00
Retirement Police & Firemen's - Pension	JPMorgan Chase Bank, N.A.	0.00
03000 - DEPARTMENT OF LAW		
Albany Filing Fees Account	Key Bank	No report received
Albany Petty Cash	Bank of America, N.A.	No report received
Albany Revenue Account	Key Bank	No report received
Assessment Account	JPMorgan Chase Bank, N.A.	No report received
Attorney General Account	Key Bank	No report received
Civil Recoveries Account	Key Bank	No report received
Dept Of Law Controlled Disb	M&T Bank	No report received
Marie Roberts	JPMorgan Chase Bank, N.A.	No report received
NYC Filing Fees Account	JPMorgan Chase Bank, N.A.	No report received
NYC Petty Cash Account	JPMorgan Chase Bank, N.A.	No report received
NYC Revenue Account	JPMorgan Chase Bank, N.A.	No report received
Restitution Account	M&T Bank	No report received
Special Account	Key Bank	No report received
US Justice Dept - Shared Forfeiture	Key Bank	No report received
US Treas Dept - Shared Forfeiture	Key Bank	No report received
03010 - OFFICE OF THE ATTORNEY GENERAL		
OCTF - Confidential Fund Checking	JPMorgan Chase Bank, N.A.	No report received
OCTF - Confidential Fund Checking	JPMorgan Chase Bank, N.A.	No report received
03020 - MEDICAID FRAUD CONTROL		
dept atty gen vs john doe	Key Bank	7,746,137.41
National Global Settlement	JPMorgan Chase Bank, N.A.	2,572,859.41
NYS Department Of Law Confidential Account	JPMorgan Chase Bank, N.A.	38,799.05
NYS Department of Law Petty Cash Account	JPMorgan Chase Bank, N.A.	3,531.78
04020 - NYS ASSEMBLY		
Advance For Travel	Bank of America, N.A.	3,846.00
Petty Cash Account Dist Off	Bank of America, N.A.	19,006.92
Petty Cash New York City	JPMorgan Chase Bank, N.A.	741.52
04030 - ASSEMBLY WAYS & MEANS COMMITTEE		
Advance For Travel	Key Bank	No report received
Petty Cash	Key Bank	No report received
04040 - LEGISLATIVE BILL DRAFTING COMMISSION		
NY LBDC - Legislative Computer Services Fund	Key Bank	3,688.24
NYS Leg Bill Drafting Comm-Petty Cash Acct	Key Bank	2,000.00
04250 - REAPPORTIONMENT		
NYS Taskforce On Demo Res & Reapp	JPMorgan Chase Bank, N.A.	125.00
05000 - OFFICE OF COURT ADMINISTRATION		
Attorney Registration Fees - Revenue	JPMorgan Chase Bank, N.A.	1,461,345.00
Criminal Records Search Acct - Revenue	JPMorgan Chase Bank, N.A.	12,530,685.00
Finger Print Account	JPMorgan Chase Bank, N.A.	4,425.00
05005 - OCA OFFICE OF BUDGET & FINANCE		
Petty Cash Account	Key Bank	2,500.00
05008 - LAWYERS FUND FOR CLIENT PROTECTION		
Client Security Fund - Bail	Key Bank	887,441.05
Lawyers Fund For Client Protection - Bail	Key Bank	708.52

Petty Cash	Key Bank	4,547.01
05071 - COURT OF APPEALS		
Chief Judge Advance	Key Bank	15.07
Clerk Of The Court Of Appeals	Key Bank	4,634.41
05072 - STATE BOARD OF LAW EXAMINERS		
State Board Of Law Examiners Fee	Key Bank	63,650.00
05081 - APPELLATE DIVISION - 1ST JUDICIAL DEPARTMENT		
1st Appellate Division Supreme Ct	JPMorgan Chase Bank, N.A.	51,444.00
05082 - APPELLATE DIVISION - 2ND JUDICIAL DEPARTMENT		
Appellate Div 2nd Dept Revenue	JPMorgan Chase Bank, N.A.	No report received
05083 - APPELLATE DIVISION - 3RD JUDICIAL DEPARTMENT		
Third Dept Civil Fees Acct - Revenue	Key Bank	13,185.00
05084 - APPELLATE DIVISION - 4TH JUDICIAL DEPARTMENT		
4th Dept Appellate Div Civil Fees - Revenue	JPMorgan Chase Bank, N.A.	15,690.75
05090 - COURT OF CLAIMS		
Court Of Claims Revenue Account	Key Bank	6,929.60
05111 - 10TH JUDICIAL DISTRICT NASSAU COUNTY ADMINISTRATION		
Glen Cove City Court		
Glen Cove City Court Bail	Wells Fargo Bank	20,015.87
Glen Cove City Court Revenue	Wells Fargo Bank	21,296.75
Long Beach City Court		
Long Beach City Court Revenue	Wells Fargo Bank	100,848.97
Long Beach Court Bail	Wells Fargo Bank	84,345.58
Nassau County Court		
Nassau County Assessment	Wells Fargo Bank	78,332.41
Nassau District Court - Criminal		
Nassau Dist Ct Criminal Revenue	Wells Fargo Bank	236,544.90
Nassau District Court-Civil		
Nassau County Dist Ct- Civil Revenue	Wells Fargo Bank	47,577.13
Nassau Surrogate		
Nassau County Surrogate Court-Revenue	Wells Fargo Bank	317,725.25
05112 - 10TH JUDICIAL DISTRICT SUFFOLK COUNTY ADMINISTRATION		
10th Judicial District Suffolk County Admin		
Suffolk County Court -Court Fund	M&T Bank	53,077.65
Suffolk County Surrogate		
Surrogate Court Of Suffolk County	People's United Bank	198,970.00
Suffolk District Court Civil Fees		
Suffolk County District Court Civil Fees	Citibank	299,613.08
Suffolk District Court Criminal Fines		
Suffolk County District Court Criminal Fines	Citibank	159,486.55
Suffolk District Court Trust Acct		
Suffolk County District Court Trust Account	Citibank	504.00
05210 - NYC-CIVIL COURT		
Bronx Civil Court - Civil		
Bronx Civil Court - Revenue	JPMorgan Chase Bank, N.A.	3,064,966.77
Harlem Community Justice Court		
Harlem Community Justice - Revenue Account	JPMorgan Chase Bank, N.A.	36,258.16
Kings Civil Court- Civil		
Kings Civil Court- Civil Revenue	JPMorgan Chase Bank, N.A.	1,124,302.07
New York Civil Court - Civil		
New York Civil Court Revenue Acct	JPMorgan Chase Bank, N.A.	156,297.09
Queens Civil Court - Civil		
Queens Civil - Revenue	JPMorgan Chase Bank, N.A.	3,424,407.96
Richmond Civil Court - Civil		
Richmond Civil Ct Revenue Acct	JPMorgan Chase Bank, N.A.	200,866.43
05215 - NYC-CRIMINAL COURT		
Bronx Criminal Court- Criminal Court		
Bronx Criminal Division- Criminal Bail	JPMorgan Chase Bank, N.A.	38,430.00
Bronx Criminal Court- Criminal Court		
Bronx Criminal Division- Criminal Revenue	JPMorgan Chase Bank, N.A.	7,353.00
Kings County Criminal Court		
Kings Criminal Court	Citibank	15,369.00
New York County Criminal Court		
New York Criminal Court	JPMorgan Chase Bank, N.A.	27,205.00
New York Criminal Court- State Funds	JPMorgan Chase Bank, N.A.	8,843.00
Queens County Criminal Court		
Queens Criminal Court	JPMorgan Chase Bank, N.A.	106,830.00
Queens Criminal Court - State Funds	JPMorgan Chase Bank, N.A.	22,978.00
Richmond County Criminal Court		
Richard Criminal Court-City Funds	JPMorgan Chase Bank, N.A.	71,905.00
Richmond Criminal Court-State Funds	JPMorgan Chase Bank, N.A.	6,476.00
05231 - SUPREME COURT - BRONX COUNTY		
Bronx County Supreme - NYS OCA	JPMorgan Chase Bank, N.A.	4,490.00
05235 - SUPREME COURT - KINGS COUNTY		

Kings Co Supreme		
Supreme Court Kings County-Revenue	JPMorgan Chase Bank, N.A.	6,708.15
05240 - SUPREME COURT - QUEENS COUNTY		
Queens Co Supreme		
Queens County Supreme Court	JPMorgan Chase Bank, N.A.	14,115.45
Queens County Supreme Court	JPMorgan Chase Bank, N.A.	0.00
05250 - NEW YORK COUNTY CLERK		
New York Co Clerk Revenue Account	JPMorgan Chase Bank, N.A.	828,145.75
05255 - BRONX COUNTY CLERK		
Bronx County Clerk		
Bronx Cnty Clerk Revenue Acct	JPMorgan Chase Bank, N.A.	700,611.05
05260 - KINGS COUNTY CLERK		
Kings County Clerk		
Kings County Clerk Revenue Account	Flushing Commercial Bank	1,361,816.55
05265 - QUEENS COUNTY CLERK		
Queens County Clerk-Revenue Acct	Sterling Bank	898,552.37
05270 - RICHMOND COUNTY CLERK		
Richmond Co Clerk State Fees Account	JPMorgan Chase Bank, N.A.	4,778,247.96
Richmond County Clerk DEC	JPMorgan Chase Bank, N.A.	78.93
05275 - NEW YORK COUNTY SURROGATES COURT		
New York Surrogate		
New York Surrogate Court	JPMorgan Chase Bank, N.A.	128,223.00
05280 - BRONX COUNTY SURROGATES COURT		
Bronx Surrogate		
Bronx Surrogate Court Revenue Acct	JPMorgan Chase Bank, N.A.	148,329.50
05285 - KINGS COUNTY SURROGATES COURT		
Kings County Surrogate		
Kings Co. Surrogate Revenue Acct	Bank of America, N.A.	542,263.00
05290 - QUEENS COUNTY SURROGATES COURT		
Queens surrogate		
Queens Co Revenue Acct Surrogate	Signature Bank	163,152.00
05295 - RICHMOND COUNTY SURROGATES COURT		
Richmond County Surrogate Court Revenue Account	Victory State Bank	11,023.00
05360 - 3RD JUDICIAL DISTRICT ADMINISTRATION		
Albany City Court - (Civil)		
Albany City Civil - Revenue	Wells Fargo Bank	2,666.60
Albany City Court - (Crim-Bail)		
Albany City Criminal - Bail	Wells Fargo Bank	9,370.33
Albany City Court - (Traffic)		
Albany City Traffic-Revenue	Wells Fargo Bank	30,320.50
Albany Traffic Court - Bail	Bank of America, N.A.	0.00
Albany City Court - Civil Part		
Albany City Court Civil - Revenue	Trustco Bank	0.00
Albany City Court - Crim		
Albany City Court-Crim	Wells Fargo Bank	9,269.29
Albany City Court - Traffic-Bail		
Albany City Traffic - Bail	Wells Fargo Bank	1,300.90
Albany Police Court		
Albany Police Court Bail Account	Key Bank	0.00
Albany Surrogates Court		
Albany County Surrogates Court Revenue	Wells Fargo Bank	15,421.00
Cohoes City Court		
Cohoes City Court Bail	Key Bank	2,763.00
Cohoes City Court Fees/Fines Account	Key Bank	10,858.00
Columbia County Surrogate		
Columbia Co Surrogate Ct Fees - Revenue	Key Bank	12,535.00
Greene Surrogate		
Greene Surrogate-Revenue	Wells Fargo Bank	1,199.25
Hudson City Court		
Hudson City Bail	Wells Fargo Bank	31,974.66
Hudson City Revenue	Wells Fargo Bank	20,785.57
Kingston City Court		
Kingston City Court Bail	Wells Fargo Bank	11,084.00
Kingston City Court Revenue	Wells Fargo Bank	15,807.00
Rensselaer City Court		
Rensselaer City Court - Bail	Wells Fargo Bank	1.10
Rensselaer City Court - Revenue	Wells Fargo Bank	3,238.00
Rensselaer County Surrogate		
Rensselaer Co Surrogate Ct Fees - Revenue	Key Bank	18,658.00
Schoharie Surrogate Court		
Schoharie Surrogates Court Revenue	NBT Bank	234.00
Sullivan Surrogate		
Sullivan Surrogate-Revenue	Wells Fargo Bank	5,768.00
Troy City Court		

Troy City Court- Revenue Acct - Revenue	Bank of America, N.A.	57,052.00
Troy Police Court Bail Account	Bank of America, N.A.	1,631.67
Ulster County Surrogate		
Ulster County Surrogate Court - Revenue	Wells Fargo Bank	13,167.00
Watervliet City Court		
Watervliet City Court - Bail	Wells Fargo Bank	37,024.82
Watervliet City Court - Revenue	Wells Fargo Bank	27,872.30
05460 - 4TH JUDICIAL DISTRICT ADMINISTRATION		
Amsterdam City Court		
Amsterdam City Court - Bail	Key Bank	18,552.94
Amsterdam City Court - Revenue	Key Bank	22,112.30
Clinton County Surrogates		
Clinton County Surrogates - Revenue	NBT Bank	325.00
Essex County Surrogate		
Essex Co Surrogate Clerk - Revenue	Champlain National	754.25
Franklin County Surrogate		
Franklin Co Surrogate Court - Revenue	Key Bank	426.00
Fulton County Surrogate		
Fulton County Surrogate's Court	Key Bank	685.25
Glens Falls City Court		
Glens Falls City Court Account - Revenue	Glens Falls National	11,174.30
Glens Falls City Court Bail Acct	Glens Falls National	41,876.12
Gloversville City Court		
Gloversville City Court Bail	NBT Bank	121,869.13
Gloversville City Court Revenue	NBT Bank	2,768.55
Hamilton Surrogate		
Hamilton Surrogate - Revenue	Community Bank	342.00
Johnstown City Court		
City Of Johnstown Bail Account - Bail	Key Bank	13,390.52
Johnstown City Court Fines/Fees - Revenue	Key Bank	4,311.00
Mechanicville City Court		
Mechanicville City Court Bail	TD Bank	14,014.47
Mechanicville City Ct Revenue Acct	TD Bank	2,723.30
Montgomery County Surrogate		
Montgomery County Surrogates Court - Revenue	NBT Bank	146.50
Ogdensburg City Court		
Ogdensburg City Court Int Bail	Community Bank	13,514.67
Ogdensburg City Court Revenue	Community Bank	2,565.50
Plattsburgh City Court		
Plattsburgh City Court - Bail	Glens Falls National	6,311.29
State Of NY Plattsburgh City Court - Revenue	Glens Falls National	5,185.30
Saratoga County Surrogate		
Saratoga County Surrogate - Revenue	Ballston Spa National Bank	2,227.00
Saratoga Springs City Court		
Saratoga Springs Bail Account	The Adirondack Trust Company	27,402.18
Saratoga Springs City Revenue Acct	The Adirondack Trust Company	15,854.70
Schenectady City Court		
Schenectady City Court- Bail	Bank of America, N.A.	81,271.12
Schenectady City Court Revenue	Bank of America, N.A.	28,122.04
Schenectady Surrogate		
Schenectady Surrogate Court - Revenue	Key Bank	9,941.50
St. Lawrence Co Surrogate		
St. Lawrence County Surrogate - Revenue	Community Bank	1,128.00
Warren County Surrogate		
Warren County Surrogate Court - Revenue	TD Bank	951.00
Washington Surrogates		
Washington Surrogate Revenue	TD Bank	3,981.00
05560 - 5TH JUDICIAL DISTRICT ADMINISTRATION		
Fulton City Court		
Fulton City Court Bail Acct	Key Bank	15,127.24
Fulton City Court Revenue	Key Bank	5,759.50
Herkimer Surrogate		
Herkimer Surrogate - Revenue	Partners Trust	2,034.00
Jefferson Surrogates		
Jefferson Co Surrogate Revenue	Key Bank	14,330.00
Lewis County		
Lewis County Clerk	Community Bank	8,420.00
Lewis County Surrogates		
Lewis County Surrogate Court - Revenue	Key Bank	3,987.00
Little Falls City Court		
Little Falls City Court Bail	M&T Bank	39,650.00
Little Falls City Court Revenue	M&T Bank	1,005.00
Oneida County Surrogates		
Oneida County Surrogate Court Revenue	The Adirondack Trust Company	14,935.25

Onondaga County Surrogates		
Onondaga Surrogate Court - Revenue	Alliance Bank	17,921.91
Oswego City Court		
Oswego City Court Bail Acct	JPMorgan Chase Bank, N.A.	52,266.31
Oswego City Court Revenue	JPMorgan Chase Bank, N.A.	19,049.98
Oswego Surrogate Court		
Oswego County Surrogate Court - Revenue	Key Bank	5,301.00
Rome City Court		
City Court Of Rome Bail Account - Bail	NBT Bank	12,132.88
Rome City Court - Revenue	NBT Bank	25,037.61
Sherrill City Court		
Sherrill City Court 5th Jud Dist - Bail	NBT Bank	1.00
Sherrill City Court Fees - Revenue	NBT Bank	1,055.00
Syracuse City Court		
Syracuse City Court - Bail	NBT Bank	228,233.00
Syracuse City Court - Fees - Revenue	NBT Bank	30,862.53
Utica City Court		
Utica City Court Criminal Bail	Bank of Utica	47,939.69
Utica City Court Revenue Account	Key Bank	16,966.43
Watertown City Court		
Watertown City Court Bail	Key Bank	23,842.39
Watertown City Court Fees & Fines - Revenue	Key Bank	11,269.00
05661 - 6TH JUDICIAL DISTRICT ADMINISTRATION		
Binghamton City Court		
Binghamton City Court Bail	M&T Bank	36,702.00
Binghamton City Court Revenue	M&T Bank	7,761.05
Broome Surrogates		
SNY UCS Broome County Surrogates Court	Wells Fargo Bank	13,734.75
Chemung County Surrogates		
SNY UCS Chemung County Surrogates Court	Wells Fargo Bank	1,434.50
Chenango County Surrogates		
SNY UCS Chenango County Surrogates Court	Wells Fargo Bank	0.00
Cortland City Court		
Court City Court Bail	NBT Bank	32,294.58
Court City Court- Revenue	NBT Bank	7,085.00
Cortland County Surrogates		
SNY UCS Cortland County Surrogates Court	Wells Fargo Bank	1,213.00
Delaware County Surrogates		
Delaware County Surrogate - Revenue	Delaware National Bank	1,266.75
Elmira City Court		
Elmira City Court - Revenue Account	Chemung Canal Trust	6,892.84
Elmira City Court Bail	Chemung Canal Trust	16,982.21
Ithaca City Court		
Ithaca City Court	Tompkins Community Bank	8,412.00
Ithaca City Court Revenue	Tompkins Community Bank	4,453.45
Madison County Surrogates		
SNY UCS Madison County Surrogates Court	Wells Fargo Bank	1,882.00
Norwich City Court		
Norwich City Court Bail Acct	NBT Bank	7,259.00
Norwich City Court Revenue Acct	NBT Bank	4,261.94
Oneida City Court		
Oneida City Court Bail Account	JPMorgan Chase Bank, N.A.	7,770.00
Oneida City Court Fee & Fine - Revenue	JPMorgan Chase Bank, N.A.	8,976.00
Oneonta City Court		
Oneonta City Court - Revenue	Community Bank	4,476.55
Oneonta City Court Bail Account	Community Bank	10,110.00
Otsego County Surrogates		
Otsego County Surrogates Court - Revenue	Key Bank	5.75
Schuyler County Surrogates		
Schuyler County Surrogates Court	Community Bank	448.00
Tioga County Surrogates		
Tioga Surrogates Court - Revenue	M&T Bank	1,090.00
Tompkins County Surrogates		
SNY UCS Tompkins County Surrogates Court	Wells Fargo Bank	4,029.00
05761 - 7TH JUDICIAL DISTRICT ADMINISTRATION		
Auburn City Court		
Auburn City Court Bail Acct	Key Bank	15,032.00
Auburn City Court Fees & Fines - Revenue	Key Bank	11,262.00
Canandaigua City Court		
Canandaigua City Court Bail Acct	Canandaigua National Bank	8,806.24
Canandaigua City Court Revenue	Canandaigua National Bank	8,197.00
Cayuga County Surrogates		
Cayuga Surrogate Court	Wells Fargo Bank	596.00
Corning City Court		

Corning City Court - Bail	Wells Fargo Bank	10,722.30
Corning City Court - Revenue	Wells Fargo Bank	3,193.02
Geneva City Court		
Geneva City Court Bail Account	Wells Fargo Bank	22,323.15
Geneva City Court Revenue Account	Wells Fargo Bank	6,521.03
Hornell City Court		
Hornell City Court Bail Account	Community Bank	4,147.04
Hornell City Court Revenue	Community Bank	1,024.00
Livingston County Surrogates		
Livingston Surrogate Court	Wells Fargo Bank	835.00
Monroe County Surrogates		
7th District Monroe Surrogate	Wells Fargo Bank	14,242.00
Ontario County Surrogates		
Ontario Surrogate Court	Wells Fargo Bank	2,496.00
Rochester City Court		
Rochester City Court Bail Account	M&T Bank	431,650.37
Rochester City Revenue	M&T Bank	23,905.15
Seneca County Surrogates		
Seneca Surrogate Court	Wells Fargo Bank	1,152.00
Steuben County Surrogates		
7th District Steuben Surrogate	Wells Fargo Bank	7,374.00
Wayne County Surrogates		
Wayne Surrogate Court	Wells Fargo Bank	1,464.00
Yates County Surrogates		
Yates Surrogate Court	Wells Fargo Bank	0.00
05860 - 8TH JUDICIAL DISTRICT ADMINISTRATION		
ALLEGANY COUNTY SURROGATES COURT		
ST of NY Office of The State Comptroller State of New York Unified Courts Allegany Surrogate Court	Wells Fargo Bank	No report received
Batavia City Court		
ST of NY Office of The State Comptroller Batavia City Court Bail	Wells Fargo Bank	No report received
ST of NY Office of The State Comptroller Batavia City Court REVENUE	Wells Fargo Bank	No report received
BUFFALO CITY COURT		
ST of NY Office of The State Comptroller Buffalo City Court Bail Account	Wells Fargo Bank	No report received
ST of NY OFFICE OF THE STATE COMPTROLLER BUFFALO CITY COURT REVENUE ACCOUNT	Wells Fargo Bank	No report received
Cattaraugus County Surrogates		
ST of NY Office of the State Comptroller State of New York Unified Courts Cattaraugus Surrogate Court	Wells Fargo Bank	No report received
Chautauqua County Surrogates Court		
ST of NY Office of the State Comptroller State of New York Unified Courts Chautauqua Surrogate Court	Wells Fargo Bank	No report received
Dunkirk City Court		
ST of NY Office of The State Comptroller Dunkirk Bail Account	Wells Fargo Bank	No report received
ST of NY Office of The State Comptroller Dunkirk Revenue Account	Wells Fargo Bank	No report received
Erie - Buffalo County Law Library		
Sur Ct Lib At Buffalo - Revenue	M&T Bank	No report received
Erie County Surrogates		
ST of NY Office of the State Comptroller State of New York Unified Courts Erie Surrogate Court	Wells Fargo Bank	No report received
Genesee County Surrogates		
ST of NY Office of the State Comptroller State of New York Unified Courts Genesee Surrogate Court	Wells Fargo Bank	No report received
Jamestown City Court		
St of NY Office of the State Comptroller Jamestown City Court Bail Account	Wells Fargo Bank	No report received
St of NY Office of the State Comptroller Jamestown City Court Revenue Account	Wells Fargo Bank	No report received
Lackawanna City Court		
Lackawanna City Court Bail Account	Key Bank	No report received
Lackawanna City Court Revenue Account	Key Bank	No report received
Lockport City Court		
St of NY Office of the State Comptroller Lockport City Court Bail Account	Wells Fargo Bank	No report received
St of NY Office of the State Comptroller Lockport City Court Revenue Account	Wells Fargo Bank	No report received
Niagara County Surrogates		
ST of NY Office of the State Comptroller State of New York Unified Courts Niagara Surrogate Court	Wells Fargo Bank	No report received
Niagara Falls City Court		
ST of NY OFFICE OF THE STATE COMPTROLLER NIAGARA FALLS BAIL ACCOUNT	Wells Fargo Bank	No report received
ST of NY OFFICE OF THE STATE COMPTROLLER NIAGARA FALLS REVENUE ACCOUNT	Wells Fargo Bank	No report received
No. Tonawanda City Court		
ST of NY Office of The State Comptroller No. Tonawanda City Court Bail	Wells Fargo Bank	No report received
ST of NY Office of The State Comptroller No. Tonawanda Revenue	Wells Fargo Bank	No report received
Olean City Court		
ST of NY Office of The State Comptroller Olean City Court Bail	Wells Fargo Bank	No report received
ST of NY Office of The State Comptroller Olean City Court Revenue	Wells Fargo Bank	No report received
Orleans County Surrogates		
ST of NY Office of The State Comptroller State of New York Unified Courts Orleans Surrogate Court	Wells Fargo Bank	No report received
Salamanca City Court		
St of NY Office of the State Comptroller Salamanca City Court Bail Account	Wells Fargo Bank	No report received
St of NY Office of the State Comptroller Salamanca City Court Revenue Account	Wells Fargo Bank	No report received
Tonawanda City Court		
ST of NY Office of The State Comptroller Tonawanda City Court Bail	Wells Fargo Bank	No report received

ST of NY Office of The State Comptroller Tonawanda City Court Revenue	Wells Fargo Bank	No report received
Wyoming County Surrogates		
ST of NY Office of the State Comptroller State of New York Unified Courts Wyoming Surrogate Court	Wells Fargo Bank	No report received
05960 - 9TH JUDICIAL DISTRICT ADMINISTRATION		
Beacon City Court		
Beacon City Court Bail Account - Bail	JPMorgan Chase Bank, N.A.	934.76
Beacon City Fines Account - Revenue	JPMorgan Chase Bank, N.A.	6,820.57
Dutchess County Surrogates Court		
Dutchess County Surrogate Court - Revenue	JPMorgan Chase Bank, N.A.	42,557.00
Middletown City Court		
Middletown City Bail Escrow - Bail	Wells Fargo Bank	133,079.59
Middletown City Court Revenue	JPMorgan Chase Bank, N.A.	0.00
Middletown City Court Revenue	Wells Fargo Bank	32,988.58
Mt Vernon City Court		
Mt Vernon City Court State Bail	Wells Fargo Bank	83,465.08
Mt Vernon City Court State Revenue	Wells Fargo Bank	45,038.64
New Rochelle City Court		
New Rochelle City Court Bail	JPMorgan Chase Bank, N.A.	281,446.72
New Rochelle City Court Revenue	JPMorgan Chase Bank, N.A.	44,715.01
Newburgh City Court		
Newburgh Bail Account	Wells Fargo Bank	59,953.98
Newburgh City Court Revenue	Wells Fargo Bank	28,500.50
Orange County Surrogates Court		
Orange Co Surrogates Court - Revenue	JPMorgan Chase Bank, N.A.	11,469.75
Peekskill City Court		
Peekskill City Court Revenue	JPMorgan Chase Bank, N.A.	37,289.90
Peekskill City Court		
Peekskill City Court - Bail	JPMorgan Chase Bank, N.A.	129,278.32
Port Jervis City Court		
Port Jervis Bail Account - Bail	JPMorgan Chase Bank, N.A.	6,569.94
Port Jervis Revenue Account - Revenue	JPMorgan Chase Bank, N.A.	7,359.00
Poughkeepsie		
Poughkeepsie City Court -Bail	Wells Fargo Bank	44,894.96
Poughkeepsie City Court -Revenue	Wells Fargo Bank	23,152.00
Putnam Co Surrogate's Court		
Putnam Co Surrogates Court	Putnam County National Bank	17,137.00
Rockland County Surrogates Court		
Rockland Co Surrogates Court - Revenue	JPMorgan Chase Bank, N.A.	10,736.34
Rye City Court		
City Of Rye Bail Account	JPMorgan Chase Bank, N.A.	1,902.89
City Of Rye Fines And Fees - Revenue	JPMorgan Chase Bank, N.A.	38,015.60
Westchester County Surrogates Court		
Westchester Co Surrogates Fees - Revenue	Wells Fargo Bank	72,837.59
White Plains City Court		
White Plains City Court Bail Account	Sterling Bank	0.00
White Plains City Court Bail Account	Wells Fargo Bank	63,572.15
White Plains City Court Vehicle And Traffic Acct - Revenue	Sterling Bank	0.00
White Plains City Court Vehicle And Traffic Acct - Revenue	Wells Fargo Bank	79,405.22
Yonkers City Court		
Yonkers City Bail Account - Bail	Wells Fargo Bank	565,159.70
Yonkers City Revenue Account - Revenue	Wells Fargo Bank	61,648.26
06000 - AGRICULTURE & MARKETS		
Administration Account	Key Bank	23,664.46
Agency Advance Account	Key Bank	10,000.00
Agriculture Producers Sec Fund	Key Bank	4,487.68
Animal Population Control Account	Key Bank	15,984.30
Apple Marketing Order Fund	Key Bank	0.00
Consumer Food Industry Account	Key Bank	31,997.67
Dairy Industry Services Account	Key Bank	7,817.18
Dairy Promotion Order Fund	Key Bank	0.00
Milk Producers Security Fund	Key Bank	58,845.27
NYS Farmers Market Program	Key Bank	416,445.82
NYS WNY Milk Mktg Area Administration Fund	M&T Bank	14,970.77
NYS WNY Milk Mktg Area Equalization Fund	M&T Bank	13,422.37
NYS WNY Milk Mktg Area Equalization Fund Savings	M&T Bank	319.53
Plants Industry Account	Key Bank	33,625.29
Pride of NY	Key Bank	6,266.98
Weights & Measures Account	Key Bank	32,687.34
NYS Dept Agriculture & Markets		
Apple Marketing Order Fund	Key Bank	0.00
Dairy Promotion Order Fund	Key Bank	0.00
Farm Products	Key Bank	19,636.48
State Fair		
NYS Fair Operating Account	Solvay Bank	130,669.17

NYS Fair Special Account	Solvay Bank	232.33
08000 - DEPARTMENT OF CIVIL SERVICE		
Agency Advance Account	Bank of America, N.A.	3,317.00
Examination Application Fees Account	Bank of America, N.A.	1,388.00
Examination Application Fees Account	Key Bank	6,467.00
NYS Affirmative Action Advisory Account	Bank of America, N.A.	5,063.06
NYS Department of Civil Service	US Bank	4,645,772.71
08010 - PUBLIC EMPLOYEE RELATIONS BOARD		
Petty Cash And Travel Advance Account	Key Bank	372.31
09000 - DEPARTMENT OF ENVIRONMENTAL CONSERVATION		
Albany		
Conservation Petty Cash Account	M&T Bank	30,000.00
DEC/Exchange Account	M&T Bank	11,002.98
ENCON License Issuing Office	M&T Bank	55.50
ENCON/Montauk Point Feasibility Study	JPMorgan Chase Bank, N.A.	7,225.76
Hunting Trapping & Fishing Account	M&T Bank	249,876.10
Lockbox Account	Wells Fargo Bank	423,834.76
NY Conservationist	Bank of America, N.A.	10,984.70
Program Fee	JPMorgan Chase Bank, N.A.	73,909.65
Revenue Account	Bank of America, N.A.	973,260.70
Rockaway Beach Study & Project	JPMorgan Chase Bank, N.A.	1,272,409.94
State of New York	Key Bank	52,308.78
Region 1		
Marine Permit Account	People's United Bank	193,734.75
Region 3		
Beaverkill & Mongaup Pond	Jeff Bank	3.01
Revenue Region 3 Account	Bank of America, N.A.	5.00
Region 4		
Bear Spring Revenue Account	Wayne Bank	0.00
Region 4	Greene County Commercial Bank	0.00
Region 4 Camping	NBT Bank	0.00
Region 5		
Campsite Revenue Account	Glens Falls National	439.10
Land & Forest Region 5W	TD Bank	18,242.48
NYS Conservation	Glens Falls National	26.00
Recreation (Warrensburg)	City National Bank & Trust	315.66
Region 5	Citizens Bank	38.03
Region 5	NBT Bank	1,090.55
Tree Nursery	Bank of America, N.A.	149,455.00
Region 6		
Fish & Wildlife Watertown	Key Bank	126.92
Lands & Forest District #7	Community Bank	3,527.60
Lands & Forests District #6	Community Bank	144.88
Lands And Forests District 10	M&T Bank	21.20
SNY Dept Of Environmental Conserv	Community Bank	14.04
10000 - ATTICA CORRECTIONAL FACILITY		
Agency Advance Account	Five Star Bank	1,269.98
Employee Benefit Fund	Five Star Bank	5,168.54
General Cash Fund	Five Star Bank	6,970.40
Inmate Occupational Therapy Fund	Five Star Bank	152,666.25
Spendable Fund	Five Star Bank	780,465.78
10010 - AUBURN CORRECTIONAL FACILITY		
Advance Account	Key Bank	5,499.74
Incarcerated Individual Account	Key Bank	163,415.38
Inmate Occupational Therapy Fund	Key Bank	37,994.01
Misc Revenue	Key Bank	52,456.03
10020 - CLINTON CORRECTIONAL FACILITY		
Advance Account	Key Bank	7,551.65
Employee Benefit Fund	Key Bank	6,723.96
General Fund	Key Bank	8,299.48
Incarcerated Individual Funds	Key Bank	171,593.99
Inmate Occupational Therapy Acct	Key Bank	114,218.83
10040 - GREAT MEADOW CORRECTIONAL FACILITY		
Certificate of Deposit	Glens Falls National	No report received
Facility Advance	Key Bank	No report received
General Fund	Key Bank	No report received
Inmate Fund	Key Bank	No report received
Miscellaneous Account	Key Bank	No report received
Occupational Therapy	Key Bank	No report received
10050 - FISHKILL CORRECTIONAL FACILITY		
Agency Advance	M&T Bank	No report received
Employee Benefits	M&T Bank	No report received
Inmate Spending Account	M&T Bank	No report received
Misc Receipts	M&T Bank	No report received

Occupational Therapy Account	M&T Bank	No report received
10060 - WALLKILL CORRECTIONAL FACILITY		
Advance Account	Key Bank	1,337.30
Employee Benefit	Key Bank	3,194.59
Inmate Occupational Therapy Fund	Key Bank	29,210.06
Inmates Fund Account	Key Bank	375,775.65
Misc. Receipts	Key Bank	18,148.17
10070 - SING SING CORRECTIONAL FACILITY		
Cash Advance	JPMorgan Chase Bank, N.A.	6,682.88
Inmate Funds	JPMorgan Chase Bank, N.A.	247,199.62
Misc Receipts	JPMorgan Chase Bank, N.A.	28,711.84
Occupational Therapy	JPMorgan Chase Bank, N.A.	207,594.67
Quality Work Life	JPMorgan Chase Bank, N.A.	5,255.47
10080 - GREEN HAVEN CORRECTIONAL FACILITY		
Advance Account	Key Bank	1,655.50
General Fund	Key Bank	34,354.65
Incarcerated Individual Checking	Key Bank	852,733.78
Occupational Therapy Fund	Key Bank	158,379.10
10090 - ALBION CORRECTIONAL FACILITY		
Albion Advance Account	Bank of America, N.A.	No report received
Employee Benefit Fund	Bank of America, N.A.	No report received
Inmate Funds	Bank of America, N.A.	No report received
Misc Receipts	Bank of America, N.A.	No report received
Occupational Therapy	Bank of America, N.A.	No report received
10100 - EASTERN NEW YORK CORRECTIONAL FACILITY		
AGENCY ADVANCE	Key Bank	1,040.13
EMPLOYEE BENEFIT FUND	Key Bank	21,100.86
Employee Benefit Fund	Webster Bank	0.00
IDNOW	Key Bank	291,810.70
Inmate Deposit Now Account	Webster Bank	44,381.49
Inmate Occupational Therapy Account	Webster Bank	0.00
IOTF	Key Bank	46,837.75
MISC RECEIPTS	Key Bank	2,002.40
Misc. Receipts	Webster Bank	0.00
10110 - ELMIRA CORRECTIONAL & RECEPTION CENTER		
Agency Advance Account	Chemung Canal Trust	2,057.93
Employee Benefit Fund	Chemung Canal Trust	37,276.64
Inmates Fund	Chemung Canal Trust	199,787.60
Miscellaneous Receipts	Chemung Canal Trust	2,324.80
Occupational Therapy Fund	Chemung Canal Trust	51,904.80
10120 - BEDFORD HILLS CORRECTIONAL FACILITY		
Advance Account	JPMorgan Chase Bank, N.A.	5,035.21
Employee Benefit Fund	JPMorgan Chase Bank, N.A.	6,702.66
Inmate Funds	JPMorgan Chase Bank, N.A.	122,970.12
Misc. Receipts	JPMorgan Chase Bank, N.A.	653.84
Occupational Therapy	JPMorgan Chase Bank, N.A.	81,025.97
10130 - COXSACKIE CORRECTIONAL FACILITY		
Agency Advance Account	National Bank of Coxsackie	1,675.90
Employee Benefits Fund	National Bank of Coxsackie	37,134.78
INCARCERATED INDIVIDUALS ACCOUNT	National Bank of Coxsackie	134,671.69
Misc. Revenue	National Bank of Coxsackie	5,585.76
Occupational Therapy Acct	National Bank of Coxsackie	50,178.75
10140 - WOODBOURNE CORRECTIONAL FACILITY		
WCF Agency Advance	Jeff Bank	1,600.00
WCF General Fund	Jeff Bank	18,036.28
WCF Inmate Fund	Jeff Bank	88,421.00
WCF Occupational Therapy Fund	Jeff Bank	83,951.99
10160 - DEPARTMENT OF CORRECTIONS AND COMMUNITY SUPERVISION		
Agency Advance Account	Key Bank	No report received
Employee Benefit Fund	Key Bank	No report received
Inmate Escrow Account	Key Bank	No report received
Misc. Receipts Account	Key Bank	No report received
Special Account	Key Bank	No report received
10170 - QUEENSBORO CORRECTIONAL FACILITY		
Agency Advance Account	JPMorgan Chase Bank, N.A.	No report received
Employee Benefit Fund	JPMorgan Chase Bank, N.A.	No report received
Inmate Funds Account	JPMorgan Chase Bank, N.A.	No report received
Miscellaneous Receipts Account	JPMorgan Chase Bank, N.A.	No report received
Occupational Therapy Fund	JPMorgan Chase Bank, N.A.	No report received
10230 - ADIRONDACK CORRECTIONAL FACILITY		
ADK QWL	Community Bank	5,209.24
Agency Advance	Community Bank	790.00
Employee Benefit Fund	Community Bank	1,178.60
General Fund	Community Bank	268.00

Inmate Funds	Community Bank	33,962.76
Inmate Occupational Therapy Fund	Community Bank	1,113.29
10250 - TACONIC CORRECTIONAL FACILITY		
Employee Benefit Fund	JPMorgan Chase Bank, N.A.	7,402.25
Inmate Funds	JPMorgan Chase Bank, N.A.	124,345.47
Misc. Revenue	JPMorgan Chase Bank, N.A.	1,862.42
Occupational Therapy Fund	JPMorgan Chase Bank, N.A.	21,448.11
Taconic Advance Account	JPMorgan Chase Bank, N.A.	3,003.89
10270 - HUDSON CORRECTIONAL FACILITY		
Advance Account	Key Bank	3,675.99
Employee Benefit Fund Account	Key Bank	4,211.72
Inmate Funds account	Key Bank	28,966.54
Inmate Occupational Therapy Account	Key Bank	4,178.67
Miscellaneous Receipts Account	Key Bank	4,851.00
10290 - OTISVILLE CORRECTIONAL FACILITY		
Cash Advance	Jeff Bank	350.00
General Fund	Jeff Bank	10,295.91
Inmate Funds	Jeff Bank	103,374.55
Inmate Occupational Therapy	Jeff Bank	82,407.26
10320 - EDGECOMBE CORRECTIONAL FACILITY		
Agency Advance	JPMorgan Chase Bank, N.A.	No report received
Employee Benefit Account	JPMorgan Chase Bank, N.A.	No report received
Inmate Cash Account	JPMorgan Chase Bank, N.A.	No report received
Misc. Receipts Account	JPMorgan Chase Bank, N.A.	No report received
Occupational Therapy Acct	JPMorgan Chase Bank, N.A.	No report received
10370 - FIVE POINTS CORRECTIONAL FACILITY		
Consolidated Advance	M&T Bank	1,050.01
EBF Checking	M&T Bank	85,681.05
Inmate Spendable	M&T Bank	260,178.66
Misc Receipts	M&T Bank	309.48
Occupational Therapy	M&T Bank	73,450.75
10390 - MOHAWK CORRECTIONAL FACILITY		
Agency Advance	Key Bank	2,351.27
Employee Benefit Fund Checking	Bank of America, N.A.	29,372.07
Inmate Funds Checking	Key Bank	270,728.93
Miscellaneous Revenue	Key Bank	3,240.27
Occupational Therapy	Key Bank	27,955.51
10430 - WENDE CORRECTIONAL FACILITY		
Consolidated Advance	Alden State Bank	3,626.36
Employee Benefit Account	Alden State Bank	36,385.44
Inmates Funds	Alden State Bank	137,471.74
Misc. Receipts	Alden State Bank	6,335.90
Occupational Therapy	Alden State Bank	58,481.16
10441 - DEPARTMENT OF CORRECTIONAL SERVICES-FOOD PRODUCTION CENTER		
Office of Nutritional Services		
Miscellaneous Receipts	Key Bank	20,602.37
10460 - GROVELAND CORRECTIONAL FACILITY		
Agency Advance Account	Five Star Bank	2,084.00
Employee Commission Account	Five Star Bank	9,504.02
Inmate Funds Account	Five Star Bank	290,398.78
Miscellaneous Receipts Account	Five Star Bank	1,406.21
Occupational Therapy Account	Five Star Bank	15,591.94
10470 - COLLINS CORRECTIONAL FACILITY		
Agency Advance	Community Bank	4,837.51
Employee Activities	Community Bank	38,748.32
Incarcerated Individual checking account	Community Bank	393,275.11
Miscellaneous Revenue	Community Bank	14,015.54
Occupational Therapy	Community Bank	20,684.26
10480 - MID-STATE CORRECTIONAL FACILITY		
Agency Advance	Key Bank	1,689.79
Employee Benefit Fund	Bank of America, N.A.	24,316.38
Inmate Funds	Key Bank	126,242.09
Misc. Revenue	Key Bank	6,934.78
Occupational Therapy	Key Bank	49,483.26
10490 - MARCY CORRECTIONAL FACILITY		
Agency Advance Account	Key Bank	No report received
Employee Benefit Fund Account	Bank of America, N.A.	No report received
Inmate Fund Account	Key Bank	No report received
Misc receipts Acct	Key Bank	No report received
Occupational Therapy fund Acct	Key Bank	No report received
10501 - CENTRAL PHARMACY		
NYS Docs Central Pharmacy Advance Acct	Bank of America, N.A.	1,000.00
10530 - FRANKLIN CORRECTIONAL FACILITY		
Advance Account	Key Bank	3,167.23

Employee Benefit Account	Key Bank	12,973.41
Inmate Funds	Key Bank	77,997.81
Inmate Occupational Therapy	Key Bank	17,452.58
Misc. Receipts	Key Bank	666.31
10540 - ALTONA CORRECTIONAL FACILITY		
Cons Adv Travel Petty Cash	NBT Bank	1,871.22
Employees Vending Benefit	NBT Bank	6,104.75
Inmates Funds	NBT Bank	60,569.09
Misc Revenues General Fund	NBT Bank	389.46
Occupational Therapy	NBT Bank	3,709.23
10550 - CAYUGA CORRECTIONAL FACILITY		
Agency Advance	First National Bank of Groton	829.07
Employee Benefit Fund	First National Bank of Groton	5,911.97
Inmate Occupational Therapy Account	First National Bank of Groton	44,890.40
Inmate Spendable	First National Bank of Groton	232,935.54
Misc Receipts	First National Bank of Groton	0.00
10560 - BARE HILL CORRECTIONAL FACILITY		
Agency Advance	Key Bank	1,821.02
Employee Benefit Fund	Key Bank	7,854.37
Incarcerated Individual Spendable Funds	Key Bank	115,724.03
Miscellaneous Receipts	Key Bank	862.50
Occupational Therapy	Key Bank	17,479.44
10570 - RIVERVIEW CORRECTIONAL FACILITY		
Agency Advance Account	Key Bank	1,448.60
Inmate Accounts	Key Bank	50,780.89
Miscellaneous Receipts Account	Key Bank	8,755.50
Occupational Therapy	Key Bank	21,059.38
10580 - CAPE VINCENT CORRECTIONAL FACILITY		
Advance Account	Community Bank	1,900.00
Employee Benefit Fund	Community Bank	28,301.34
Inmate Occupation Therapy Acct	Community Bank	27,663.57
Inmate Spendable Account	Community Bank	98,064.51
Miscellaneous Receipts Account	Community Bank	7,755.74
10600 - LAKEVIEW SHOCK INCARCERATION CORRECTIONAL FACILITY		
Agency Advance	Community Bank	3,695.81
Employee Benefit Fund	Community Bank	12,145.32
Inmate Funds	Community Bank	98,077.86
Inmate Funds - Sav	Community Bank	84,712.15
Miscellaneous Revenue	Community Bank	5,040.40
Occupational Therapy	Community Bank	14,864.83
10610 - ULSTER CORRECTIONAL FACILITY		
Agency Advance	M&T Bank	1,314.62
Employee Benefit Fund	M&T Bank	5,762.33
Inmate Fund	M&T Bank	164,537.39
Misc Receipts	M&T Bank	2.00
Occupational Therapy	M&T Bank	20,525.26
10640 - ORLEANS CORRECTIONAL FACILITY		
Agency Advance	Bank of America, N.A.	No report received
Employee Benefit Fund	Bank of America, N.A.	No report received
Inmate Funds	Bank of America, N.A.	No report received
Miscellaneous Receipts	Bank of America, N.A.	No report received
Occupational Therapy	Bank of America, N.A.	No report received
10650 - WASHINGTON CORRECTIONAL FACILITY		
Advance Account	Key Bank	2,390.00
EBF Account	Key Bank	17,118.67
General Account	Key Bank	24.66
Inmate Account	Key Bank	49,341.45
Occupational Therapy Account	Key Bank	22,925.45
10660 - WYOMING CORRECTIONAL FACILITY		
Agency Advance	Five Star Bank	6,254.70
Employee Benefit Fund	Five Star Bank	15,504.43
Inmate Occupational Therapy	Five Star Bank	54,419.81
Inmate Spendable	Five Star Bank	299,110.25
Misc. Receipts Account	Five Star Bank	9,183.52
10670 - GREENE CORRECTIONAL FACILITY		
Consolidated Advance	National Bank of Coxsackie	997.98
Employee Benefit Fund	National Bank of Coxsackie	21,714.63
Inmate Accounts	National Bank of Coxsackie	87,750.53
Misc. Receipts	National Bank of Coxsackie	0.00
Occupational Therapy	National Bank of Coxsackie	44,673.47
10680 - SHAWANGUNK CORRECTIONAL FACILITY		
Consolidated Advance Account	Key Bank	908.81
Inmates Funds Account	Key Bank	313,175.55
Misc. Receipts Account	Key Bank	11,446.95

Occupational Therapy Acct	Key Bank	69,168.55
10690 - SULLIVAN CORRECTIONAL FACILITY		
Consolidated Advance	Key Bank	No report received
Inmate Checking	Key Bank	No report received
Miscellaneous	Key Bank	No report received
Occupational Therapy	Key Bank	No report received
10810 - GOUVERNEUR CORRECTIONAL FACILITY		
Agency Advance	Community Bank	1,676.76
Incarcerated Individual Spendable Fund	Community Bank	114,218.06
Inmate Occupational Therapy	Community Bank	19,986.14
Misc Receipts	Community Bank	15,787.82
10840 - UPSTATE CORRECTIONAL FACILITY-AUDIT 1		
Advance Account	Key Bank	24.65
Facility Committees	Key Bank	15,165.16
Inmate Fund	Key Bank	188,341.41
Inmate Occupational Therapy Fund	Key Bank	28,226.25
Miscellaneous Account	Key Bank	428.44
10850 - HALE CREEK ASACTC		
Consolidated Advance	Key Bank	607.80
Employee Benefit Fund	Key Bank	11,494.23
Incarcerated Individual Funds	Key Bank	31,604.68
Incarcerated Individual Occupational Therapy	Key Bank	17,077.64
Misc Receipts	Key Bank	6.75
10890 - CORRECTIONS AND COMMUNITY SUPERVISION		
Asset Forfeiture Special Rev Acct	Bank of America, N.A.	No report received
10916 - CENTRAL OFFICE - INDUSTRIES		
Div of Ind Petty Cash Acct	Key Bank	3,985.00
Div of Ind Revenue Acct	Key Bank	269,899.39
11000 - EDUCATION DEPARTMENT		
Consolidated Advance Account	Key Bank	No report received
Consolidated Advance Account (Control Disbursement)	Key Bank	No report received
Revenue Account	Key Bank	No report received
11100 - NYS HIGHER EDUCATION SERVICES CORPORATION		
Federal Student Loan Suspense	US Bank	12,752.65
NYS HESC-Federal Student Loan Suspense	Key Bank	4,535.77
Operating	Key Bank	3,344,235.00
Operating	US Bank	2,403,579.34
11260 - BATAVIA SCHOOL FOR THE BLIND		
Misc. Receipts	M&T Bank	22,257.25
Petty Cash	M&T Bank	3,065.92
Student Spending Account	Bank of America, N.A.	9,494.66
11270 - ROME SCHOOL FOR THE DEAF		
Miscellaneous Receipts	NBT Bank	No report received
Petty Cash	NBT Bank	No report received
Student Activity Fund	NBT Bank	No report received
11280 - ARCHIVES PARTNERSHIP TRUST		
Endowment	Janney Montgomery Scott LLC	4,991,353.29
Endowment - Special Account	Janney Montgomery Scott LLC	No report received
Trust's Board Project Account	Key Bank	43,449.61
12000 - DEPARTMENT OF HEALTH CENTRAL ADMINISTRATION		
CSA Rebate Account	Bank of America, N.A.	282,777.66
DOH EPIC Lockbox Acct	Wells Fargo Bank	25,191.51
DOH Main Cash Advance	Key Bank	14,330.51
Early Intervention - Municipal Deposits for Provider Pymts	Key Bank	0.00
Early Intervention - Provider Payments Escrow	Key Bank	9,894,498.14
Early Intervention - State Funds	Key Bank	7,548,552.47
EPIC Co Pay Account	Bank of America, N.A.	0.00
Epic Drug Manufacturer Rebate Account	Bank of America, N.A.	1,751.48
EPIC EFT Acct	Bank of America, N.A.	0.00
EPIC Master Funding Acct	Bank of America, N.A.	741,845.56
EPIC Provider Receipt Account	Bank of America, N.A.	0.00
EPIC Refund Acct	Bank of America, N.A.	0.00
eWIC	Wells Fargo Bank	3,424.39
ICR Audit Fees Account	Bank of America, N.A.	45,531.82
Indian Health Disbursement Account	Bank of America, N.A.	0.00
Indian Health-Master Acct	Bank of America, N.A.	1,709.18
Medicaid	Key Bank	28,195,232.76
Medicaid Audit Recoveries Acct	Key Bank	0.00
Medicaid Insurance Recoveries Acct	Bank of America, N.A.	760,421.43
Nurses Aide Fees (Prometric)	Bank of America, N.A.	45,545.69
Nursing Home Fees Account	Bank of America, N.A.	57,974.20
NYS DOH CLEP Revenue	Key Bank	34,829.01
OBRA Drug Rebate Program Acct	Bank of America, N.A.	14,126,700.30
SPARCS	Key Bank	42,887.88

12010 - ROSWELL PARK MEMORIAL INSTITUTE		
Office Of Patient Accounts	M&T Bank	3,113,117.58
12030 - HELEN HAYES HOSPITAL		
Misc. Receipts	JPMorgan Chase Bank, N.A.	218,173.42
Petty Cash Account	JPMorgan Chase Bank, N.A.	9,522.82
Rental Deposit Acct	JPMorgan Chase Bank, N.A.	5,538.48
12120 - NYS VETERANS HOME-OXFORD		
Agency Advance	NBT Bank	9,975.36
Exchange Account	NBT Bank	14,092.73
Maintenance Fund	NBT Bank	183,427.56
NYS Veterans Home-Oxford (Resident Account, Custodial Account)	NBT Bank	557,144.84
Resident Custodial Account	NBT Bank	8,917.84
Resident Custodial Account	NBT Bank	10,186.71
Resident Custodial Account	NBT Bank	2,783.20
Resident Custodial Account	NBT Bank	No report received
Resident Custodial Account	NBT Bank	13,994.80
Resident Custodial Account	NBT Bank	18,566.88
Resident Custodial Account	NBT Bank	1,681.32
12150 - NYS VETERANS HOME-ST ALBANS		
NYC Veteran Home Agency Advance	JPMorgan Chase Bank, N.A.	111,768.52
St Albans NYC Vet Home Resid Funds	JPMorgan Chase Bank, N.A.	577,176.40
St Albans Vet Home Maintenance Acct	NBT Bank	71,175.05
12180 - WESTERN NEW YORK VETERANS HOME		
Advance Account	Bank of America, N.A.	4,875.34
Exchange Account	Bank of America, N.A.	1,816.41
Maintenance Account	NBT Bank	96,957.81
Resident Funds	Bank of America, N.A.	53,963.64
12190 - VETERANS HOME AT MONTROSE		
Agency Advance Account	Bank of America, N.A.	11,577.42
Maintenance Acct	NBT Bank	1,213,743.81
Residence Account	Bank of America, N.A.	382,241.80
12200 - OFFICE OF MEDICAID INSPECTOR GENERAL		
Albany Confidential Account	Key Bank	229.59
Albany Petty Cash Account	Key Bank	271.00
NYC Confidential Account	JPMorgan Chase Bank, N.A.	166.00
14000 - DEPARTMENT OF LABOR		
Agency Advance Account	Key Bank	27,186.00
Exchange Account	Bank of America, N.A.	171,108.61
Fee And Permit Account	Key Bank	421,221.53
Min Wage & Claim Funding Acct	Key Bank	160,966.44
Minimum Wage & Wage Claim Acct	Key Bank	983,315.72
Misc Receipts	Bank of America, N.A.	180,591.03
U.I. Fund Clearing Account	JPMorgan Chase Bank, N.A.	24,177,739.82
UI Fund ACH Transactions	Wells Fargo Bank	4,000,000.00
14010 - WORKERS COMPENSATION BOARD		
DTF/WCB MAC 14	JPMorgan Chase Bank, N.A.	128,081,105.40
16000 - PUBLIC SERVICE COMMISSION		
Cable Account	Key Bank	5,278.40
Petty Cash Account	Key Bank	3,925.00
Special Fee Account	Key Bank	38,235.84
17000 - NYS DEPARTMENT OF TRANSPORTATION		
Main Office Advance For Travel	Key Bank	50,904.14
Republic Airport, Long Island		
Republic Airport Revenue Acct	JPMorgan Chase Bank, N.A.	259,589.22
19000 - DEPARTMENT OF STATE		
Atheltic	M&T Bank	10,610.00
Licensing Revenue Account	JPMorgan Chase Bank, N.A.	813,352.59
Main	M&T Bank	68,359.27
Petty Cash Account	Key Bank	19,344.68
Summons	M&T Bank	514,082.30
19001 - TUG HILL COMMISSION		
Agency Advance Account	Key Bank	No report received
19002 - LAKE GEORGE PARK COMMISSION		
Petty Cash Account	Glens Falls National	No report received
Revenue Transfer Account	Glens Falls National	No report received
19005 - COMMISSION ON PUBLIC INTEGRITY		
JCOPE Petty Cash Account	Bank of America, N.A.	500.00
JCOPE Revenue Account	Bank of America, N.A.	14,699.67
20000 - DEPARTMENT OF TAXATION & FINANCE		
Misc Tax Account - Exchange	Bank of America, N.A.	143,596.93
Off Track Betting Tax (MAC 848)	Key Bank	2,555,696.96
Pari Mutuel Betting Tax (MAC 847)	Key Bank	416.35
Petty Cash	Bank of America, N.A.	14,796.50
Tax Preparer Registration Fee (EFT)	Wells Fargo Bank	83,400.00

Waste Tire Fee (EFT)	Wells Fargo Bank	24,709.09
Waste Tire Tax	JPMorgan Chase Bank, N.A.	14,616.45
20050 - NEW YORK STATE GAMING COMMISSION		
Charitable Gaming Account	Key Bank	121,476.71
Commercial Gaming Revenue Account	Key Bank	40.64
Custody Account	US Bank	13,042.94
Fingerprint Concentration Account	Key Bank	12,945.86
License Revenue Account	Bank of America, N.A.	15,390.81
Lottery Concentration Account	Key Bank	45,316.91
Lottery Prize Payment Account	Key Bank	0.00
Lottery Subscriptions Account	Key Bank	746,117.70
Petty Cash Account	Key Bank	715.27
Racing Refund Account	Key Bank	143,261.69
Video Gaming Revenue Account	Key Bank	29,536,775.84
21012 - WELFARE INSPECTOR GENERAL		
Confidential Fund	Bank of America, N.A.	15,000.00
Confidential Fund	JPMorgan Chase Bank, N.A.	No report received
Petty Cash	JPMorgan Chase Bank, N.A.	No report received
21110 - OFFICE OF REGULATORY REFORM		
Petty Cash	Key Bank	No report received
21190 - NYS ENERGY RESEARCH & DEVELOPMENT AUTHORITY		
NYSERDA Greenbank MAC 26	JPMorgan Chase Bank, N.A.	0.00
NYSERDA Main Checking MAC 30	JPMorgan Chase Bank, N.A.	(7,898,931.99)
21290 - HUDSON RIVER-BLACK RIVER REGULATING DISTRICT		
Checking- General Fund Acct.	Community Bank	4,497.97
Checking- Petty Cash Fund	Community Bank	5,000.00
Hudson River General Acct	Bank of America, N.A.	640,509.01
Money Market	Bank of America, N.A.	0.66
Petty Cash Fund	Bank of America, N.A.	6,500.00
21700 - OFFICE OF THE STATE INSPECTOR GENERAL		
Office Of The State Inspector General Pass Thru Account	Key Bank	0.00
OSIG Petty Cash Account	Key Bank	1,012.97
Albany		
Office of the Inspector General Confidential	Bank of America, N.A.	30,000.00
21820 - STATE COMMISSION ON JUDICIAL CONDUCT		
Petty Cash Account	JPMorgan Chase Bank, N.A.	547.72
Petty Cash Account	Key Bank	1,054.93
Petty Cash Fund	JPMorgan Chase Bank, N.A.	1,770.45
21940 - NYS FINANCIAL CONTROL BOARD		
Agency Advance Acct	JPMorgan Chase Bank, N.A.	No report received
23000 - DEPARTMENT OF MOTOR VEHICLES		
Abany Central Main Acct		
Albany Central Main Acct	Wells Fargo Bank	506,496.97
Albany Central Main Exchange		
Exchange	Wells Fargo Bank	156,847.53
Albany Central Office		
Title Escrow Exchange (Albany Central Office)	Wells Fargo Bank	41,055.95
Albany TVB Sub (Albany Central Office)		
Adjudication Account	Wells Fargo Bank	78,381.15
Albany-Region 3		
Confidential Inv Subpoena - Albany	Key Bank	No report received
Allegany-Belmont		
County Clerk Fee Allegany	Steuben Trust Co.	No report received
Andirondack Mountains		
County Fee Account	JPMorgan Chase Bank, N.A.	122,222.17
Buffalo-Region 5		
MV- Buffalo Investigator & Subpoena	M&T Bank	1,332.00
Capital Saratoga Revenue		
County Fee Account	JPMorgan Chase Bank, N.A.	261,400.18
Catskill Mountains		
County Fee Account	JPMorgan Chase Bank, N.A.	193,941.53
Central Leatherstocking		
County Fee Account	JPMorgan Chase Bank, N.A.	69,459.01
Chautaqua-Steuben		
County Fee Acct	JPMorgan Chase Bank, N.A.	52,574.46
Chautauqua County		
Holding Acct-Chautauqua County	Community Bank	No report received
Holding Acct-Chautauqua County	Key Bank	No report received
Holding Acct-Chautauqua County	M&T Bank	No report received
Concentration (CTY)(OSC)		
Concentration (CTY)(OSC)	Key Bank	267,000.00
Concentration (DO)(OSC)		
Concentration (DO)(OSC)	Key Bank	2,051,714.34
Confidential Fund (Albany Central Office)		

Confidential Fund	Bank of America, N.A.	616.87
CTY Credit Card (Albany Central Office)		
County Office Credit Card Account	JPMorgan Chase Bank, N.A.	755,707.39
Customer Service Counter (Albany)		
NYS DMV CSC	Wells Fargo Bank	55,828.75
D.O. Credit Card (Albany Central Office)		
District Office Credit Card Account	JPMorgan Chase Bank, N.A.	2,848,492.94
DMV Division Of Field Investigations - Albany Central Office		
Field Investigation	M&T Bank	No report received
Eric County Revenue		
County Fee Account	JPMorgan Chase Bank, N.A.	50,000.00
Finger Lakes First		
County Fee Acct	JPMorgan Chase Bank, N.A.	51,350.62
Finger Lakes Second		
County Fee Acct	JPMorgan Chase Bank, N.A.	68,055.60
Genesee County		
Genesee County Clerk - DMV	Bank of Castile	No report received
Greene County		
Fee Account - Greene	Greene County Commercial Bank	No report received
Hudson Valley		
County Fee Acct	JPMorgan Chase Bank, N.A.	97,291.06
IRP (Albany Central Office)		
International Registration	M&T Bank	288,722.32
International Registration	Wells Fargo Bank	1,116,890.01
IRP Exchange (Albany Central Office)		
Irp Internet Office - Dept. MV	M&T Bank	284,327.05
Kiosk		
Kiosk Account	JPMorgan Chase Bank, N.A.	120,207.00
Long Island/Staten Island DO		
Long Island/Staten Island DO	Wells Fargo Bank	682,867.39
Long Island/Staten Island JP		
Long Island/Staten Island (Mass/Med)	JPMorgan Chase Bank, N.A.	50,000.00
Nassau Region 1		
Div. of Vehicle Safety	Citibank	No report received
Niagara Frontier		
County Fee Acct	JPMorgan Chase Bank, N.A.	60,524.45
NYC North		
NYC North	Wells Fargo Bank	165,342.68
NYC South		
NYC South	Wells Fargo Bank	359,857.04
Oneida County		
DMV Oneida County Fee Account	Bank of Utica	No report received
Oneida County Fee Account	NBT Bank	No report received
Petty Cash (Albany Central Office)		
Petty Cash	Bank of America, N.A.	5,213.35
Queens-Region 6		
Confidential - Queens	JPMorgan Chase Bank, N.A.	3,020.00
Rockland/Westchester DO		
Rockland/Westchester DO	Wells Fargo Bank	102,590.12
Search Exchange (Albany Central Office)		
MV Search	Key Bank	33,761.61
Search Exchange (Albany Central Office)		
MV Search	Wells Fargo Bank	62,067.73
Syracuse-Region 4		
Confidential - Syracuse	Key Bank	No report received
Thousand Island Seaway		
County Fee Acct	JPMorgan Chase Bank, N.A.	298,655.98
TLC/DOCCS		
TLC/DOCCS	JPMorgan Chase Bank, N.A.	13,778.02
Travel Advance (Albany Central Office)		
Travel Advance	Bank of America, N.A.	509.00
TVB Credit Card (Albany Central Office)		
TVB Credit Card Receipts	JPMorgan Chase Bank, N.A.	680,552.65
Upstate DO		
Upstate District Offices (ALB, SYD, SYS, UTD)	Wells Fargo Bank	101,195.56
Utica D.O.		
Revenue Utica	Bank of Utica	850,269.58
Yonkers-Region 2		
Safety Sup Automotive FAC INSP	JPMorgan Chase Bank, N.A.	No report received
25000 - OFFICE OF CHILDREN & FAMILY SERVICES		
Brentwood Resid Center Cash Advance	JPMorgan Chase Bank, N.A.	950.00
Brentwood Residents' Account	JPMorgan Chase Bank, N.A.	1,830.90
Brookwood Cash Advance	Key Bank	1,722.18
Brookwood Resid Residential Cash	Key Bank	40,602.66

Check Exchange	Bank of America, N.A.	567.37
CO Independent Living Acct	Bank of America, N.A.	2,000.00
Finger Lakes Res Ctr Residents Cash	Key Bank	10,827.86
Fingerlakes Res Ctr Cash Advance	Key Bank	3,300.00
Goshen Cash Advance	Bank of America, N.A.	2,600.00
Goshen Residents Account	Bank of America, N.A.	25,786.35
Harriet Tubman Advance Account	Key Bank	500.00
Harriet Tubman Residents' Account	Key Bank	884.66
Highland Res Ctr Petty Cash Account	Bank of America, N.A.	2,309.00
Highland Res Ctr Residents Acct	Bank of America, N.A.	4,576.63
Industry Advance Account	JPMorgan Chase Bank, N.A.	2,259.71
Industry Res Account	JPMorgan Chase Bank, N.A.	14,073.79
Industry School Dug-Out	JPMorgan Chase Bank, N.A.	854.00
MacCormick Cash Advance	Key Bank	3,116.00
MacCormick Residents' Account	Key Bank	17,307.37
Medicaid Reimbursement Exchange	Bank of America, N.A.	116,867.04
NYS OCFS Advance Acct (Travel & Misc P.C.)	Bank of America, N.A.	15,493.00
NYS OCFS Salary Advance Account	Bank of America, N.A.	11,950.00
Queens-Long Island Aftercare	JPMorgan Chase Bank, N.A.	596.00
SCR Credit Card Revenue Account	Bank of America, N.A.	77,000.00
State Central Register	Bank of America, N.A.	56,759.60
Taberg Cash Advance	NBT Bank	730.35
Taberg Residents Account	NBT Bank	1,023.68
27000 - OFFICE OF TEMPORARY & DISABILITY ASSISTANCE		
Exchange Account	Key Bank	20.70
Title IV D Of Social Security	Key Bank	284,105.52
Travel Advance	Key Bank	10,000.58
28010 - SUNY ALBANY		
Fee Account	Key Bank	855,645.76
Loan Services Center Account	Key Bank	81,931.33
Petty Cash/Travel Advance	Key Bank	0.00
28020 - SUNY BINGHAMTON		
SUNY Binghamton	Key Bank	2,194,590.80
SUNY Binghamton	M&T Bank	83,531.85
SUNY Binghamton - Controlled Disb	Key Bank	0.00
SUNY Binghamton - Controlled Disb	M&T Bank	0.00
28030 - SUNY BUFFALO		
Controlled Disbursement Account	Bank of America, N.A.	0.00
General Revenue Account	Bank of America, N.A.	0.00
General Revenue Account	Key Bank	2,759,450.16
Imprest Account	Key Bank	0.00
28050 - SUNY STONY BROOK		
Central Funding	JPMorgan Chase Bank, N.A.	2,756,253.07
Controlled Disbursement	JPMorgan Chase Bank, N.A.	-
Fees Depository	JPMorgan Chase Bank, N.A.	20,757,574.72
LISVH Fees Depository	JPMorgan Chase Bank, N.A.	187,132.37
LISVH Fees Depository	Sterling Bank	220,052.72
LISVH Residence Fund	Sterling Bank	539,248.48
Payroll Advance	JPMorgan Chase Bank, N.A.	2,789.30
SBU Student Refunds Cont Disb Acct	JPMorgan Chase Bank, N.A.	-
Student ACH Refunds Account	JPMorgan Chase Bank, N.A.	-
SUNY Eastern Long Island Hospital Depository	JPMorgan Chase Bank, N.A.	431,574.97
SUNY Southampton Depository	JPMorgan Chase Bank, N.A.	443,419.67
University Hosp Fees Depository	JPMorgan Chase Bank, N.A.	9,620,768.21
University Hospital Petty Cash	JPMorgan Chase Bank, N.A.	1,015.96
28100 - SUNY HEALTH SCIENCE CENTER AT BROOKLYN		
Center Revenue	JPMorgan Chase Bank, N.A.	721,646.14
EFT Federal Deposits Acct	JPMorgan Chase Bank, N.A.	0.00
Hospital Controlled Disbursement	JPMorgan Chase Bank, N.A.	0.00
Hospital Revenue	JPMorgan Chase Bank, N.A.	394,580.03
LICH Controlled Disbursement	JPMorgan Chase Bank, N.A.	0.00
LICH Depository	JPMorgan Chase Bank, N.A.	43,428.18
Student Refunds	JPMorgan Chase Bank, N.A.	0.00
28110 - SUNY HEALTH SCIENCE CENTER AT SYRACUSE		
College Revenue	Key Bank	357,310.39
Controlled Disbursement	Key Bank	(25,269.25)
Hospital Revenue	Key Bank	2,535,306.36
Parking	Key Bank	303,450.83
28150 - SUNY BROCKPORT		
Brockport-REOC Account	Key Bank	4,600.64
Concentration Acct	M&T Bank	180,361.83
Controlled Disb	M&T Bank	0.00
Special Account	M&T Bank	7,271.00
28160 - SUNY BUFFALO STATE COLLEGE		

Controlled Disb	M&T Bank	0.00
Special Grant Account	M&T Bank	2,823.14
Students Acct Office	M&T Bank	840,261.58
28170 - SUNY CORTLAND		
General Checking Account	Key Bank	4,875,309.32
28180 - SUNY FREDONIA		
Controlled Disb	M&T Bank	0.00
Depository Account	M&T Bank	161,985.38
28190 - SUNY GENESEO		
Controlled Disbursement Account	Key Bank	(12,394.50)
State Fees	Key Bank	166,040.24
28200 - SUNY OLD WESTBURY		
Local Depository	JPMorgan Chase Bank, N.A.	219,927.00
28210 - SUNY NEW PALTZ		
Disbursement Account	Key Bank	0.00
State Revenue	Key Bank	186,945.16
28220 - SUNY ONEONTA		
Petty Cash Advance Account	NBT Bank	0.00
Revenue Account	NBT Bank	5,136,057.46
28230 - SUNY OSWEGO		
Controlled Disbursement	Key Bank	0.00
General Revenue	Key Bank	590,412.70
Imprest Account	Key Bank	0.00
28240 - SUNY PLATTSBURGH		
General Revenue	TD Bank	9,711,920.53
28250 - SUNY POTSDAM		
Control Disbursement Account	Key Bank	0.00
State Fee Reconciliation Account	Key Bank	253,994.55
28260 - SUNY PURCHASE		
General Income Fund	Key Bank	199,918.44
28270 - SUNY INSTITUTE OF TECHNOLOGY UTICA/ROME		
Advance Account	Bank of America, N.A.	0.00
Controlled Disbursement Account	Bank of America, N.A.	0.00
Revenue	Bank of America, N.A.	91,900.51
28280 - SUNY EMPIRE STATE COLLEGE		
Concentration Account	Key Bank	112,908.33
Distribution Center Account	Key Bank	123,936.72
International Wire Account	Key Bank	0.00
Zero Balance Controlled Disbursement Account	Key Bank	0.00
28350 - SUNY COLLEGE OF TECHNOLOGY AT ALFRED		
Fees Account	Community Bank	1,154,823.22
28360 - SUNY COLLEGE OF TECHNOLOGY AT CANTON		
Community Cash Deposits	NBT Bank	129,151.70
Income Fund	Key Bank	221,063.75
International Program Account	Key Bank	37,521.36
28370 - SUNY AGRICULTURAL & TECHNICAL COLLEGE AT COBLESKILL		
Income Fund	Key Bank	95,032.90
28380 - SUNY COLLEGE OF TECHNOLOGY AT DELHI		
General Revenue	Delaware National Bank	670,763.84
Petty Cash Fund	Delaware National Bank	0.00
28390 - SUNY COLLEGE OF TECHNOLOGY AT FARMINGDALE		
Income Fund	Citibank	1,043,453.52
28400 - SUNY AGRICULTURAL & TECHNICAL COLLEGE AT MORRISVILLE		
Income Fund	Key Bank	1,589,628.49
28550 - SUNY COLLEGE OF ENVIRONMENTAL SCIENCE & FORESTRY		
Agency Advance	Key Bank	0.00
Controlled Disb	Key Bank	0.00
ESF/GSA	Key Bank	0.00
Forestry	Community Bank	180.78
Regular Account	Key Bank	199,403.71
Student Government	Key Bank	0.00
28570 - SUNY MARITIME COLLEGE		
Controlled Disbursement Account	JPMorgan Chase Bank, N.A.	0.00
Cruise Account	JPMorgan Chase Bank, N.A.	131,547.00
Revenue Deposit Account	JPMorgan Chase Bank, N.A.	66,638.57
Revenue EFT Account	JPMorgan Chase Bank, N.A.	33,567.00
28580 - SUNY COLLEGE OF OPTOMETRY		
General Revenue	JPMorgan Chase Bank, N.A.	140,886.73
Medical Transportation	JPMorgan Chase Bank, N.A.	50.88
28650 - SUNY CENTRAL SYSTEM ADMINISTRATION		
ASC	Key Bank	10,000.00
NYS Iso	Key Bank	4,900,000.00
Revenue	Key Bank	206,986.52
37000 - DEPARTMENT OF FINANCIAL SERVICES		

Confidential Investigations	JPMorgan Chase Bank, N.A.	10,000.00
Confidential Investigations	JPMorgan Chase Bank, N.A.	11,202.85
Fire Tax Account (Main)	Key Bank	4,798.35
Fire Tax Payment	Key Bank	0.00
General Assessment Account	JPMorgan Chase Bank, N.A.	81,441.14
General Fund	Key Bank	53,870.65
Market Stabilization Pool Account	JPMorgan Chase Bank, N.A.	2,448,986.84
Miscellaneous Account	JPMorgan Chase Bank, N.A.	998,991.13
Paid Family Leave	JPMorgan Chase Bank, N.A.	0.01
Petty Cash	Key Bank	6,000.00
Pharmacy Benefits Program	JPMorgan Chase Bank, N.A.	571,000.00
Workers Comp Insurance Sec Fund Pymnt	JPMorgan Chase Bank, N.A.	100,115.74
49010 - SARATOGA-CAPITAL DISTRICT STATE PARK COMMISSION		
Revenue (SA)	Glens Falls National	35,709.68
49020 - LONG ISLAND STATE PARK COMMISSION		
Regional Account 2 (LI)	JPMorgan Chase Bank, N.A.	575,100.28
Revenue (LI)	M&T Bank	0.00
49030 - GENESEE STATE PARK COMMISSION		
Contractors Bid (GE)	Bank of Castile	78,999.58
Revenue (GE)	Bank of Castile	32,263.57
49040 - NIAGARA FRONTIER STATE PARK COMMISSION		
Contractors Bid (NIA)	Key Bank	68.40
Revenue (NIA)	Evans National Bank	63,634.12
49050 - PALISADES INTERSTATE STATE PARK COMMISSION		
Contractors Bid (PA)	JPMorgan Chase Bank, N.A.	6,287.67
49070 - OFFICE OF PARKS & RECREATION		
Main Office - Change Fund	Key Bank	212,700.00
Main Office Account (ALB)	Key Bank	1,500.00
OPRHP Concentration Account	Key Bank	323,364.18
Petty Cash (ALB)	Key Bank	29,920.00
Revenue (NI, GE, AL, CE, TA)	M&T Bank	81,457.19
Revenue (NYC, CE, LI, PA, TA)	JPMorgan Chase Bank, N.A.	59,952.28
Revenue (SA, LI, GE, NI, CE, TA)	Bank of America, N.A.	46,838.10
Revenue (SA, NI, PA, CE, TA, TI)	Key Bank	37,556.81
Revenue (various)	Wells Fargo Bank	152,501.31
Statewide Campsite/Cabin Revenue	JPMorgan Chase Bank, N.A.	1,551.72
Statewide Credit Card Revenue, Revenue (ALB, FL, LI, PA, TI)	Key Bank	260,148.11
Statewide Housing Security Deposits	Key Bank	162,796.59
49090 - FINGER LAKES STATE PARK COMMISSION		
Revenue (FL)	Savannah Bank	33,602.51
Revenue (FL-Multi)	Community Bank	2,933.22
Revenue (FL-Multi-Facilities)	Tompkins County Trust	223,633.84
49100 - ALLEGANY STATE PARK COMMISSION		
Contractors Bid (AL)	Five Star Bank	2,015.00
Regional Account (AL)	Five Star Bank	151,878.56
Revenue (AL)	Five Star Bank	81,395.56
49120 - CENTRAL NEW YORK STATE PARK COMMISSION		
Revenue (CE, SA, TI)	NBT Bank	30,476.77
49140 - THOUSAND ISLANDS STATE PARK COMMISSION		
Revenue (TI)	Citizens Bank	40,529.78
Revenue (TI-Multi)	Community Bank	377.69
50000 - OFFICE OF MENTAL HEALTH		
Consolidated Advance	Bank of America, N.A.	36,389.83
Iterim Assistance Agreement	Bank of America, N.A.	0.00
OMH Medication Grant Program Acct	Bank of America, N.A.	113,860.40
Reimbursement Account	Bank of America, N.A.	335,134.97
50010 - GREATER BINGHAMTON HEALTH CENTER		
Agency Advance Account	JPMorgan Chase Bank, N.A.	8,783.77
Facility Holding Account	JPMorgan Chase Bank, N.A.	9,999.11
Patient Cash Funds	JPMorgan Chase Bank, N.A.	453,291.42
Patients Cash Account	JPMorgan Chase Bank, N.A.	515,791.76
Security Deposit	JPMorgan Chase Bank, N.A.	3,554.80
50020 - KINGSBORO PSYCHIATRIC CENTER		
Advance Account	Banco Popular	10,460.07
Family Care	Banco Popular	14,290.87
Holding Account	Banco Popular	304,158.79
Medicaid Outpatient Travel	Banco Popular	10,641.14
Patient Cash Acct (MM)	Banco Popular	280,428.50
Patient Checking Account	Citibank	19,600.73
Patient Savings Account	Banco Popular	335,433.22
Security Deposit	Banco Popular	2,489.80
Urban Oasis/EBT	Banco Popular	64,304.32
50030 - BUFFALO PSYCHIATRIC CENTER		
Advance Account	Key Bank	31,866.22

Faciity Holding	Key Bank	107.03
Patient Cash Checking	Key Bank	110,790.11
50080 - MANHATTAN PSYCHIATRIC CENTER		
Advance Account	Bank of America, N.A.	No report received
General fund	Bank of America, N.A.	No report received
Patient Cash Account	Bank of America, N.A.	No report received
Token Account	Bank of America, N.A.	No report received
50110 - ROCHESTER PSYCHIATRIC CENTER		
Agency Advance	Key Bank	17,219.65
Facility Holding	Key Bank	10,860.89
Patients Cash Account	Key Bank	282,278.42
Patients Fund Savings	Key Bank	225,180.05
50120 - ST LAWRENCE PSYCHIATRIC CENTER		
Facility Advance Account	Community Bank	19,071.00
Facility Holding Account	Community Bank	24,184.74
Patients Cash Account	Community Bank	116,878.87
Patients Cash Savings	Community Bank	232,634.79
50150 - CREEDMOOR PSYCHIATRIC CENTER		
Advance Account	HSBC	No report received
Advance Account	JPMorgan Chase Bank, N.A.	41,011.72
Facility Holding	JPMorgan Chase Bank, N.A.	16,899.01
Holding Account	HSBC	0.00
Money Management Account	HSBC	No report received
Patient Account	JPMorgan Chase Bank, N.A.	1,201,974.29
Patient Cash Account	HSBC	No report received
Rent Account	JPMorgan Chase Bank, N.A.	9,614.00
Rent Holding Account	HSBC	0.00
50170 - ROCKLAND PSYCHIATRIC CENTER		
Exchange	JPMorgan Chase Bank, N.A.	125,880.81
Holding Account	JPMorgan Chase Bank, N.A.	873,063.29
Investment CD A	Webster Bank	No report received
Investment CD B	Sterling Bank	No report received
Investment CD B	Webster Bank	No report received
Investment CD C	Webster Bank	No report received
Investment CD D	Webster Bank	No report received
Investment CD G	Webster Bank	No report received
Investment CD I	Webster Bank	No report received
Patient Cash Account	JPMorgan Chase Bank, N.A.	No report received
Patient Cash Checking Account	JPMorgan Chase Bank, N.A.	No report received
Patient Cash Savings Account	JPMorgan Chase Bank, N.A.	No report received
50180 - NYS PSYCHIATRIC INSTITUTE		
Donation & Gift Acct/Patient Fund Acct	JPMorgan Chase Bank, N.A.	9,206.68
General	JPMorgan Chase Bank, N.A.	103,767.39
Petty Cash	JPMorgan Chase Bank, N.A.	1,811.23
50190 - RICHARD H HUTCHINGS PSYCHIATRIC CENTER		
Advance Account	Key Bank	20,439.50
Clients Count	Key Bank	152,454.97
Holding Account	Key Bank	27,448.41
50200 - PILGRIM PSYCHIATRIC CENTER		
Facility Advance Account	JPMorgan Chase Bank, N.A.	No report received
Facility Holding Acct	JPMorgan Chase Bank, N.A.	No report received
Patient Cash	JPMorgan Chase Bank, N.A.	No report received
50210 - MOHAWK VALLEY PSYCHIATRIC CENTER		
Advance Fund	Key Bank	12,384.13
Facility Holding	Key Bank	18,051.51
Patient Cash Checking	Key Bank	54,418.45
Patient Cash Savings	Key Bank	28,987.84
Security Deposit	Key Bank	8,788.90
50310 - BRONX PSYCHIATRIC CENTER		
CD	JPMorgan Chase Bank, N.A.	250,000.00
Consolidated Advance	JPMorgan Chase Bank, N.A.	31,602.67
Misc. Receipts	JPMorgan Chase Bank, N.A.	53,354.69
Patients Cash MM	JPMorgan Chase Bank, N.A.	84,830.29
Patients Checking Acct	JPMorgan Chase Bank, N.A.	542,842.98
50340 - NATHAN KLINE INSTITUTE		
Petty Cash Account	JPMorgan Chase Bank, N.A.	1,300.00
50350 - KIRBY FORENSIC PSYCHIATRIC CENTER		
Advance Account	Bank of America, N.A.	No report received
Holding Account	Bank of America, N.A.	No report received
Patient Cash Account	Bank of America, N.A.	No report received
50390 - CENTRAL NY PSYCHIATRIC CENTER		
Agency Advance Account	Key Bank	15,087.74
General Fund	Key Bank	0.00
Patient Checking	Key Bank	45,895.02

Patient Savings	Key Bank	277,077.54
Security Deposit	Key Bank	3,817.40
50440 - MID-HUDSON FORENSIC PSYCHIATRIC CENTER		
Facility Advance Account	Key Bank	16,025.00
Facility Holding Account	Key Bank	953.75
Patients Account	Key Bank	272,144.50
50790 - SOUTH BEACH PSYCHIATRIC CENTER		
Agency Advance Checking	JPMorgan Chase Bank, N.A.	14,890.67
Facility Holding Checking	JPMorgan Chase Bank, N.A.	20,485.31
Patients Cash Checking	JPMorgan Chase Bank, N.A.	709,153.71
50800 - BRONX CHILDRENS PSYCHIATRIC CENTER		
General Fund	JPMorgan Chase Bank, N.A.	10,624.55
50810 - WESTERN NY CHILDRENS PSYCHIATRIC CENTER		
Agency Advance	Key Bank	1,619.84
Exchange Account	Key Bank	0.00
Patient Cash	Key Bank	1,112.00
50850 - SAGAMORE CHILDRENS PSYCHIATRIC CENTER		
Sagamore Patient Personal Acct	JPMorgan Chase Bank, N.A.	No report received
Sagamore Petty Cash Account	JPMorgan Chase Bank, N.A.	No report received
50860 - ROCKLAND CHILDRENS PSYCHIATRIC CENTER		
Advance Account	JPMorgan Chase Bank, N.A.	16,955.47
50870 - QUEENS CHILDRENS PSYCHIATRIC CENTER		
Queens Adv Acct	JPMorgan Chase Bank, N.A.	10,476.00
Queens Childrens Account	JPMorgan Chase Bank, N.A.	6,439.58
50920 - ELMIRA PSYCHIATRIC CENTER		
Certificate of Deposit	Chemung Canal Trust	100,000.00
Certificate of Deposit	Chemung Canal Trust	100,000.00
Facility Advance Account	Chemung Canal Trust	10,768.58
General Fund Account	Chemung Canal Trust	1,160.90
IMMA	Chemung Canal Trust	47,795.59
Patients Fund Account	Chemung Canal Trust	341,017.26
50980 - CAPITAL DISTRICT PSYCHIATRIC CENTER		
Facility Advance Account	Key Bank	17,684.25
Facility Holding Account	Key Bank	166,844.64
Parking Garage Account	Key Bank	133,483.28
Patients Cash Checking Account	Key Bank	462,229.23
Patients Cash Savings Account	Key Bank	397,992.38
51000 - NYS OFFICE FOR PEOPLE WITH DEVELOPMENTAL DISABILITIES		
Petty Cash Acct	Bank of America, N.A.	No report received
Revenue Account	Bank of America, N.A.	12,126,470.17
51210 - HUDSON VALLEY DDSO		
EBT Checking	JPMorgan Chase Bank, N.A.	No report received
Exchange Account	JPMorgan Chase Bank, N.A.	No report received
General Fund	JPMorgan Chase Bank, N.A.	No report received
Investor's Choice Savings	JPMorgan Chase Bank, N.A.	No report received
Petty Cash	JPMorgan Chase Bank, N.A.	No report received
PTS Cash Checking	JPMorgan Chase Bank, N.A.	No report received
51240 - CENTRAL NY DDSO		
Advance Accounts	Key Bank	32,364.42
Clients Account	Key Bank	1,757,625.64
Consumer Cash - Savings	Adirondack Bank	2,693,105.51
Consumer Cash - Savings	Key Bank	1,000,701.01
51250 - TACONIC DDSO		
Agency Advance Account	M&T Bank	45,294.41
General Fund	M&T Bank	51.54
Patient Fund CD	JPMorgan Chase Bank, N.A.	1,500,000.00
Patients Cash Checking	M&T Bank	846,330.37
500 Balltown Rd Schenectady, NY		
TDDSO Representative Payee Savings Acct	The Adirondack Trust Company	1,191,140.99
51270 - STATEN ISLAND DDSO		
Clients Cash Account	JPMorgan Chase Bank, N.A.	No report received
General Fund Account	JPMorgan Chase Bank, N.A.	No report received
Money Market Account	JPMorgan Chase Bank, N.A.	No report received
Petty Cash Account	JPMorgan Chase Bank, N.A.	No report received
51290 - CAPITAL DISTRICT DDSO		
Agency Advance Account	Key Bank	No report received
Agency Advance Account	The Adirondack Trust Company	No report received
Consumer EBT Fund / Fiduciary Account	Key Bank	No report received
Consumers Fund / Fiduciary Account	Key Bank	No report received
Patients Account Direct Deposit / Fiduciary Acct	The Adirondack Trust Company	No report received
Patients Fund Operating Acct / Fiduciary Acct	The Adirondack Trust Company	No report received
Summer Camp - Fiduciary Acct	The Adirondack Trust Company	No report received
51330 - WESTERN NY DDSO		
Agency Advance Account	M&T Bank	No report received

CD-Patient Property Funds	Key Bank	No report received
Exchange Account	M&T Bank	No report received
General Account	Community Bank	No report received
Patient Property Funds	Adirondack Bank	No report received
Patient Property Funds	M&T Bank	No report received
51350 - LONG ISLAND DDSO		
Consolidated Advance	JPMorgan Chase Bank, N.A.	No report received
EBT Checking Account	JPMorgan Chase Bank, N.A.	No report received
General Fund	JPMorgan Chase Bank, N.A.	No report received
Patient Cash	JPMorgan Chase Bank, N.A.	No report received
51380 - BROOKLYN DDSO		
CD	Banco Popular	No report received
Consumers Fund	JPMorgan Chase Bank, N.A.	453,290.23
Consumers Money Management	JPMorgan Chase Bank, N.A.	690,859.77
Miscellaneous Receipts	JPMorgan Chase Bank, N.A.	168,900.36
Thomas Shirtz Community Service	JPMorgan Chase Bank, N.A.	5,817.53
51420 - SUNMOUNT DDSO		
Certificate of Deposit	Community Bank	No report received
Residents Fund	Community Bank	No report received
Sunmount Advance Account	Community Bank	No report received
51430 - INSTITUTE FOR BASIC RESEARCH IN DEVELOPMENTAL DISABILITIES		
Petty Cash	JPMorgan Chase Bank, N.A.	No report received
51450 - METRO NY DDSO		
Consolidated Acct	JPMorgan Chase Bank, N.A.	35,060.53
Manhattan DDSO Client Cash	JPMorgan Chase Bank, N.A.	26,140.23
Metro NY DDSOO REP PAYEE ACCOUNT	JPMorgan Chase Bank, N.A.	351,195.95
Patients Cash Account	JPMorgan Chase Bank, N.A.	18,798.32
Patients Money Market Account	JPMorgan Chase Bank, N.A.	0.10
51470 - BERNARD M FINESON DDSO		
Money Market Account	JPMorgan Chase Bank, N.A.	308,694.97
Patient Fund Account	JPMorgan Chase Bank, N.A.	138,296.81
Petty Cash Fund	JPMorgan Chase Bank, N.A.	11,148.38
51780 - FINGER LAKES DDSO		
Advance Account	JPMorgan Chase Bank, N.A.	No report received
F.L. Newark Resident Checking	Community Bank	No report received
Finger Lakes Resident Checking	JPMorgan Chase Bank, N.A.	No report received
FL Vending Machine Account	Community Bank	No report received
General Account	JPMorgan Chase Bank, N.A.	No report received
Geneseo Client Cash	Bank of America, N.A.	No report received
Kelsey Trust Fund	Bank of America, N.A.	No report received
Mary Moore Trust Fund	Bank of America, N.A.	No report received
Monroe Resident Checking	JPMorgan Chase Bank, N.A.	No report received
Monroe Resident Savings	JPMorgan Chase Bank, N.A.	No report received
Patient Food Stamp Account	JPMorgan Chase Bank, N.A.	No report received
51940 - BROOME DDSO		
Broome DDSO	M&T Bank	No report received
Broome DDSO - Advance Account	M&T Bank	No report received
Broome DDSO - General Fund	M&T Bank	No report received
Disabled Individuals Savings	Key Bank	No report received
Disabled Individuals Savings	M&T Bank	No report received
OMRDD Broome DDSO EBT Checking	M&T Bank	No report received
53000 - OFFICE OF ALCOHOLISM & SUBSTANCE ABUSE SERVICES		
Agency Advance Acct	Key Bank	2,800.00
Patient Fees	Bank of America, N.A.	464,659.18
Revenue Account	Bank of America, N.A.	49,435.06
53020 - KINGSBORO ALCOHOLISM TREATMENT CENTER		
Petty Cash Advance Account	Bank of America, N.A.	No report received
70000 - CUNY UNIVERSITY MANAGEMENT & PROGRAM BOARD OF HIGHER EDUCATION		
CUNY Admin Imprest Cash Account	Citibank	10,359.44
70030 - CUNY HUNTER COLLEGE		
Travel Petty Cash Account	Citibank	48,262.86
70060 - CUNY JOHN JAY COLLEGE		
CUNY JOHN JAY COLLEGE IMPREST FUND	Citibank	16,600.00
70070 - CUNY LEHMAN COLLEGE		
Lehman College	Citibank	4,345.50
70080 - CUNY YORK COLLEGE		
York College Imprest Funds	Citibank	11,288.64
York College Travel Advance Fund	Citibank	817.00
70100 - CUNY COLLEGE OF STATEN ISLAND		
CSI Imprest Cash	TD Bank	4,841.32
70150 - CUNY SCHOOL OF LAW		
CUNY School Of Law	TD Bank	22,329.22

The above balances represent funds deposited in various banking institutions as reported by the State department and agencies, and published in accordance with Section 107 of the State Finance Law.

Division of the Treasury, Department of Taxation and Finance
Christopher Curtis *Deputy Commissioner and State Treasurer*

FUNDS OF THE DIVISION OF THE TREASURY OF WHICH THE COMMISSIONER OF TAXATION AND FINANCE
IS THE SOLE CUSTODIAN WITH BALANCES AS OF 01/31/2023

ACCOUNT DESCRIPTION		DEPOSITORY	BALANCE AS OF 1/31/2023
COMMUNITY COLLEGE TUITION AND INSTRUCTIONAL INCOME FUND			
0232	Upstate Community Colleges, Series 2005B	Key Bank	2,248,463.25
DEPARTMENT OF FINANCIAL SERVICES			
0001	Property/Casualty Insurance Security Fund	Key Bank	1,209,683.74
0002	Public Motor Vehicle Security Fund	JPMorgan Chase Bank, N.A.	494,688.14
0003	Workers' Compensation Security Fund	JPMorgan Chase Bank, N.A.	505,562.70
DORMITORY AUTHORITY OF THE STATE OF NEW YORK			
0039	Mental Hygiene Facilities Improvement Fund Income Account	Bank of America, N.A.	0.00
0104	Lincoln Medical and Mental Health Center Project Construction Account	Key Bank	32,302.88
0105	Greenpoint Medical and Mental Health Center Project Construction Account	Key Bank	417,912.66
0149	State Advances Repayment Account	Bank of America, N.A.	(1,761.84)
HOMELESS HOUSING ASSISTANCE CORPORATION			
0320	Social Services Homeless Housing and Assistance Corporation Operating Account	Key Bank	1,000.00
NELSON A. ROCKEFELLER EMPIRE STATE PLAZA PERFORMING ARTS CENTER CORPORATION			
0315	The Egg	Key Bank	49,545.52
NEW YORK CONVENTION CENTER			
0300	Operating Fund	JPMorgan Chase Bank, N.A.	11,047,355.99
NEW YORK JOB DEVELOPMENT AUTHORITY			
0036	Special Purpose Fund	Bank of America, N.A.	646,512.26
0371	Series H Commercial Paper	Bank of America, N.A.	870,173.28
0389	Daily Demand Special Purpose Bonds Series 1992A-B	JPMorgan Chase Bank, N.A.	410,529.38
0423	Escrow Account for USA Industries Inc.	Key Bank	37,274.67
0424	Escrow Account for Pluritec USA Inc.	Key Bank	19,782.38
0426	Agriculture Loan Program	Key Bank	444,632.86
NYS AFFORDABLE HOUSING CORPORATION			
0491	Disbursement Account	JPMorgan Chase Bank, N.A.	106,814.57
0520	Development Account	Key Bank	5,506,284.96
0522	Repayment Account	Key Bank	276,488.56
0523	Recapture Account	Key Bank	15,377.91
0880	Payroll Account	JPMorgan Chase Bank, N.A.	0.00
NYS DEPARTMENT OF HEALTH			
0004	Medical Indemnity Fund	JPMorgan Chase Bank, N.A.	(1,940,050.61)
NYS DEPARTMENT OF TAXATION AND FINANCE			
0510	Excelsior Linked Deposit Fund	Key Bank	0.00
0600	World Trade Center Memorial Foundation Fund Account	Bank of America, N.A.	204,176.70
0625	Advance Acct/Imprest Confidential Fund	Bank of America, N.A.	29,900.00
0626	Criminal Investigation Division	Key Bank	214,446.97
0778	PIT/STAR Rebate Exchange Account	JPMorgan Chase Bank, N.A.	0.00
0778	PIT Exchange	Wells Fargo	0.00
0800	NYS IRS PIT offset account	Key Bank	0.00
0827	Stock Transfer Incentive Fund	Key Bank	0.00
NYS HOUSING FINANCE AGENCY			
0252	Energy Conservation/Tenant Health & Safety Improvement Account	JPMorgan Chase Bank, N.A.	284,252.76
0254	Agency Assisted Housing Operation Fund	JPMorgan Chase Bank, N.A.	7,770,773.45
0267	Neighborhood Stabilization Program - Round 1	JPMorgan Chase Bank, N.A.	101,054.92
0274	NYSHFA Special Reserve Fund	JPMorgan Chase Bank, N.A.	143,069.79
0283	Small Owner's Assistance Program Account	Key Bank	88,038.32
0285	Public Purpose Account	Key Bank	2,363,133.98
0286	Disbursement Account	Key Bank	2,672,646.34
0287	Infrastructure Development Fund	Key Bank	98,767.52
0288	Mobile Home Cooperative Fund	Key Bank	36,776.55
0292	Homeless Housing Initiatives	Key Bank	533,824.91
0294	Housing Plan Fund	Key Bank	22,238,954.77
0301	HFA - Subsidy Repayment Account	Key Bank	6,272,016.96
0305	HPD Disbursement Fund	Key Bank	999,684.19
0879	Payroll Account	JPMorgan Chase Bank, N.A.	142,157.78
NYS HOUSING TRUST FUND CORPORATION			
0458	Section 8 Housing Assistance Payment Account	JPMorgan Chase Bank, N.A.	1,143,095.60
0460	Escrow Account	M&T Bank	1,125,999.45
0461	Housing Modernization Account	M&T Bank	770,401.95
0462	General Custodial Account	M&T Bank	68,375,030.86

0465	Home Program Account	M&T Bank	10,345,035.21
0466	Homes for Working Families Account	M&T Bank	8,905,367.34
0467	Section 8 Administrative Account	M&T Bank	9,087,527.85
0469	OCR Community Miscellaneous Programs Account	M&T Bank	8,131,144.83
0470	HCV Main Account	Bank of America, N.A.	71,611,604.57
0471	Empire State Relief Fund	M&T Bank	472,816.46
0472	Master Escrow Account	Bank of America, N.A.	8,281.02
0473	Family Self-Sufficiency Account	Bank of America, N.A.	4,222,868.15
0475	Reserve Account	Bank of America, N.A.	107,444.90
0480	OHP Miscellaneous Programs Account	Bank of America, N.A.	9,924,437.75
0891	Small Cities Community Development Block Grant Program	M&T Bank	8,365.10
0892	Disaster Recovery Initiative Account	M&T Bank	326,858.18
0893	Payroll Account for Small Cities CDBG Program	M&T Bank	158.69
0895	HTFC Storm Recovery Payment	M&T Bank	2,385,893.08
0899	HTFC Storm Recovery Lockbox	US Bank	40,968.71
NYS TEACHERS RETIREMENT SYSTEM			
0052	Master Funding Account	State Street Bank & Trust Co.	0.00
0052	Main Account	JPMorgan Chase Bank, N.A.	483,846,537.55
0853	Excess Benefit Fund	JPMorgan Chase Bank, N.A.	2,062,409.90
STATE INSURANCE FUND			
0053	State Insurance Fund	Bank of America, N.A.	11,704,870.00
0053	SIF - WCF	JPMorgan Chase Bank, N.A.	55,108,884.55
0054	SIF - DBF	JPMorgan Chase Bank, N.A.	27,385,362.33
0054	State Insurance Fund	Bank of America, N.A.	459,087.69
0055	State Insurance Fund	Bank of America, N.A.	3,399,730.99
0055	State Insurance Fund - ATF	JPMorgan Chase Bank, N.A.	12,205,069.25
0861	SIF - DBF Tax Escrow	JPMorgan Chase Bank, N.A.	29,187.88
STATE UNIVERSITY CONSTRUCTION FUND			
0034	Income Fund	Key Bank	2,945,562.96
0075	Educational Facilities Revenue Bonds Debt Service Account	Key Bank	31,806.05
0870	Deductions Account	Key Bank	113,226.52

The above balances represent funds deposited in various banking institutions per the records of the Department of Taxation and Finance, Division of Treasury, and published in accordance with Section 107 of the State Finance Law.

Division of the Treasury, Department of Taxation and Finance
Christopher Curtis *Deputy Commissioner and State Treasurer*

New York State Comptroller THOMAS P. DiNAPOLI

Comptroller's Monthly Report on State Funds Cash Basis of Accounting

JANUARY 2023

OFFICE OF OPERATIONS
Division of Payroll, Accounting and Revenue Services
Bureau of Financial Reporting and Oil Spill Remediation

STATE OF NEW YORK
OFFICE OF OPERATIONS
DIVISION OF PAYROLL, ACCOUNTING AND REVENUE SERVICES
BUREAU OF FINANCIAL REPORTING AND OIL SPILL REMEDIATION

THOMAS P. DiNAPOLI
STATE COMPTROLLER

COMPTROLLER'S MONTHLY REPORT TO THE LEGISLATURE ON STATE FUNDS - CASH BASIS OF ACCOUNTING

January 31, 2023

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Combining Statements of Cash Receipts, Disbursements and Changes in Fund Balances

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STATE OF NEW YORK
GOVERNMENTAL FUNDS
COMBINED STATEMENT OF CASH RECEIPTS, DISBURSEMENTS AND CHANGES IN FUND BALANCES
(amounts in millions)

EXHIBIT A

		GENERAL		SPECIAL REVENUE		DEBT SERVICE		CAPITAL PROJECTS		TOTAL GOVERNMENTAL FUNDS				YEAR OVER YEAR	
		MONTH OF JAN. 2023	10 MOS. ENDED JAN. 31, 2023	MONTH OF JAN. 2023	10 MOS. ENDED JAN. 31, 2023	MONTH OF JAN. 2023	10 MOS. ENDED JAN. 31, 2023	MONTH OF JAN. 2023	10 MOS. ENDED JAN. 31, 2023	MONTH OF JAN. 2023	10 MOS. ENDED JAN. 31, 2023	MONTH OF JAN. 2022	10 MOS. ENDED JAN. 31, 2022	$ Increase/ (Decrease)	% Increase/ Decrease
RECEIPTS:															
Personal Income Tax	(3)	$ 2,425.2	$ 23,465.7	$ 1,711.0	$ 1,729.6	$ 4,136.2	$ 25,195.3	$ -	$ -	$ 8,272.4	$ 50,390.6	$ 10,433.0	$ 59,472.0	$ (9,081.4)	-15.3%
Consumption/Use Taxes		815.8	5,721.5	165.6	1,683.3	756.1	9,594.6	39.1	283.9	1,776.6	17,283.3	1,634.8	16,462.0	821.3	5.0%
Business Taxes		567.9	12,642.1	148.8	2,021.8	284.7	5,145.1	47.7	520.0	1,049.1	20,329.0	1,226.4	19,501.5	827.5	4.2%
Other Taxes		69.8	1,797.5	-	-	67.6	1,103.3	25.8	205.9	163.2	3,106.7	308.7	2,570.9	535.8	20.8%
Miscellaneous Receipts		277.8	2,433.2	2,022.8	17,102.1	31.7	401.3	82.7	5,695.0	2,415.0	25,631.6	2,054.2	21,094.9	4,536.7	21.5%
Federal Receipts		-	0.5	7,314.9	70,699.4	8.6	49.4	169.5	2,155.3	7,493.0	72,904.6	5,735.6	81,654.2	(8,749.6)	-10.7%
Total Receipts		**4,156.5**	**46,060.5**	**11,363.1**	**93,236.2**	**5,284.9**	**41,489.0**	**364.8**	**8,860.1**	**21,169.3**	**189,645.8**	**21,392.7**	**200,755.5**	**(11,109.7)**	**-5.5%**
DISBURSEMENTS:															
Local Assistance Grants:															
Education		1,088.6	18,658.5	2,330.0	12,930.6	-	-	5.4	123.1	3,424.0	31,712.2	3,429.1	28,568.1	3,144.1	11.0%
Environment and Recreation		0.2	1.6	0.2	5.9	-	-	77.5	300.8	77.9	308.3	12.3	302.6	5.7	1.9%
General Government		11.3	946.5	24.6	791.7	-	-	29.6	552.0	65.5	2,290.2	59.8	2,030.0	260.2	12.8%
Public Health:															
Medicaid		2,505.0	19,993.7	4,266.0	47,435.3	-	-	-	-	6,771.0	67,429.0	5,547.1	59,665.4	7,763.6	13.0%
Other Public Health		169.2	2,081.7	753.8	7,972.7	-	-	50.9	392.0	973.9	10,446.4	846.9	9,720.5	725.9	7.5%
Public Safety		27.2	179.5	200.5	2,324.4	-	-	1.3	124.8	229.0	2,628.7	515.5	2,098.5	530.2	25.3%
Public Welfare		202.6	2,803.8	280.8	5,114.7	-	-	84.0	516.6	567.4	8,435.1	990.8	11,221.4	(2,786.3)	-24.8%
Support and Regulate Business		11.2	660.3	8.0	89.1	-	-	14.4	447.6	33.6	1,197.0	37.5	1,348.7	(151.7)	-11.2%
Transportation		-	130.2	83.3	4,292.9	-	-	38.7	912.6	122.0	5,335.7	123.7	5,928.1	(592.4)	-10.0%
Total Local Assistance Grants		**4,015.3**	**45,455.8**	**7,947.2**	**80,957.3**	**-**	**-**	**301.8**	**3,369.5**	**12,264.3**	**129,782.6**	**11,562.7**	**120,883.3**	**8,899.3**	**7.4%**
Departmental Operations:															
Personal Service		722.5	7,825.1	480.5	4,904.6	-	-	-	-	1,203.0	12,729.7	1,114.5	12,432.0	297.7	2.4%
Non-Personal Service		256.0	2,233.6	447.4	3,909.9	-	26.1	-	-	703.4	6,169.6	820.7	6,782.2	(612.6)	-9.0%
General State Charges		601.9	6,672.6	152.2	1,247.4	-	-	-	-	754.1	7,920.0	586.2	8,485.0	(565.0)	-6.7%
Debt Service, Including Payments on Financing Agreements		-	-	-	-	1.3	1,524.6	-	-	1.3	1,524.6	7.6	1,492.5	32.1	2.2%
Capital Projects	(1)	-	-	-	-	-	-	614.8	6,736.5	614.8	6,736.5	558.8	6,062.4	674.1	11.1%
Total Disbursements		**5,595.7**	**62,187.1**	**9,027.3**	**91,019.2**	**1.3**	**1,550.7**	**916.6**	**10,106.0**	**15,540.9**	**164,863.0**	**14,650.5**	**156,137.4**	**8,725.6**	**5.6%**
Excess (Deficiency) of Receipts over Disbursements		**(1,439.2)**	**(16,126.6)**	**2,335.8**	**2,217.0**	**5,283.6**	**39,938.3**	**(551.8)**	**(1,245.9)**	**5,628.4**	**24,782.8**	**6,742.2**	**44,618.1**	**(19,835.3)**	**-44.5%**
OTHER FINANCING SOURCES (USES):															
Bond and Note Proceeds (net)		-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Transfers from Other Funds	(2)	2,742.3	37,653.1	52.4	2,600.2	208.5	1,419.8	464.3	1,691.7	3,467.5	43,364.8	2,192.6	50,190.8	(6,826.0)	-13.6%
Transfers to Other Funds	(2)	(656.9)	(4,493.2)	(97.6)	(1,347.5)	(2,711.3)	(37,280.5)	(4.3)	(319.9)	(3,470.1)	(43,441.1)	(2,195.6)	(50,277.5)	(6,836.4)	-13.6%
Total Other Financing Sources (Uses)		**2,085.4**	**33,159.9**	**(45.2)**	**1,252.7**	**(2,502.8)**	**(35,860.7)**	**460.0**	**1,371.8**	**(2.6)**	**(76.3)**	**(3.0)**	**(86.7)**	**10.4**	**12.0%**
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses		**646.2**	**17,033.3**	**2,290.6**	**3,469.7**	**2,780.8**	**4,077.6**	**(91.8)**	**125.9**	**5,625.8**	**24,706.5**	**6,739.2**	**44,531.4**	**(19,824.9)**	**-44.5%**
Beginning Fund Balances (Deficits)		**49,439.8**	**33,052.7**	**23,117.3**	**21,938.2**	**1,398.8**	**102.0**	**(1,326.2)**	**(1,543.9)**	**72,629.7**	**53,549.0**	**56,543.3**	**18,751.1**	**34,797.9**	**185.6%**
Ending Fund Balances (Deficits)		**$ 50,086.0**	**$ 50,086.0**	**$ 25,407.9**	**$ 25,407.9**	**$ 4,179.6**	**$ 4,179.6**	**$ (1,418.0)**	**$ (1,418.0)**	**$ 78,255.5**	**$ 78,255.5**	**$ 63,282.5**	**$ 63,282.5**	**$ 14,973.0**	**23.7%**

STATE OF NEW YORK
GOVERNMENTAL FUNDS-STATE OPERATING (*)
COMBINED STATEMENT OF CASH RECEIPTS, DISBURSEMENTS AND CHANGES IN FUND BALANCES
(amounts in millions)

EXHIBIT A
SUPPLEMENTAL

		GENERAL		STATE SPECIAL REVENUE (**)		DEBT SERVICE		TOTAL STATE OPERATING FUNDS					
		MONTH OF JAN. 2023	10 MOS. ENDED JAN. 31, 2023	MONTH OF JAN. 2023	10 MOS. ENDED JAN. 31, 2023	MONTH OF JAN. 2023	10 MOS. ENDED JAN. 31, 2023	MONTH OF JAN. 2023	10 MOS. ENDED JAN. 31, 2023	MONTH OF JAN. 2022	10 MOS. ENDED JAN. 31, 2022	$ Increase/ (Decrease)	% Increase/ Decrease
RECEIPTS:													
Personal Income Tax	(3)	$ 2,425.2	$ 23,465.7	$ 1,711.0	$ 1,729.6	$ 4,136.2	$ 25,195.3	$ 8,272.4	$ 50,390.6	$ 10,433.0	$ 59,472.0	$ (9,081.4)	-15.3%
Consumption/Use Taxes		815.8	5,721.5	165.6	1,683.3	756.1	9,594.6	1,737.5	16,999.4	1,599.8	15,948.3	1,051.1	6.6%
Business Taxes		567.9	12,642.1	148.8	2,021.8	284.7	5,145.1	1,001.4	19,809.0	1,178.0	19,006.8	802.2	4.2%
Other Taxes		69.8	1,797.5	-	-	67.6	1,103.3	137.4	2,900.8	296.8	2,475.6	425.2	17.2%
Miscellaneous Receipts		277.8	2,433.2	1,953.5	16,681.0	31.7	401.3	2,263.0	19,515.5	1,850.5	17,645.5	1,870.0	10.6%
Federal Receipts		-	0.5	-	11.7	8.6	49.4	8.6	61.6	(12.9)	62.3	(0.7)	-1.1%
Total Receipts		**4,156.5**	**46,060.5**	**3,978.9**	**22,127.4**	**5,284.9**	**41,489.0**	**13,420.3**	**109,676.9**	**15,345.2**	**114,610.5**	**(4,933.6)**	**-4.3%**
DISBURSEMENTS:													
Local Assistance Grants:													
Education		1,088.6	18,658.5	1,907.9	5,869.6	-	-	2,996.5	24,528.1	3,096.8	23,216.5	1,311.6	5.6%
Environment and Recreation		0.2	1.6	0.1	5.1	-	-	0.3	6.7	1.0	9.8	(3.1)	-31.6%
General Government		11.3	946.5	15.6	303.3	-	-	26.9	1,249.8	38.9	1,090.7	159.1	14.6%
Public Health:													
Medicaid		2,505.0	19,993.7	432.9	4,981.7	-	-	2,937.9	24,975.4	1,729.9	20,825.2	4,150.2	19.9%
Other Public Health		169.2	2,081.7	44.4	957.1	-	-	213.6	3,038.8	150.2	2,899.0	139.8	4.8%
Public Safety		27.2	179.5	23.9	192.1	-	-	51.1	371.6	54.9	393.4	(21.8)	-5.5%
Public Welfare		202.6	2,803.8	(1.5)	2.7	-	-	201.1	2,806.5	285.1	4,408.6	(1,602.1)	-36.3%
Support and Regulate Business		11.2	660.3	7.9	83.1	-	-	19.1	743.4	13.1	772.2	(28.8)	-3.7%
Transportation		-	130.2	78.1	4,242.2	-	-	78.1	4,372.4	69.7	3,609.7	762.7	21.1%
Total Local Assistance Grants		**4,015.3**	**45,455.8**	**2,509.3**	**16,636.9**	**-**	**-**	**6,524.6**	**62,092.7**	**5,439.6**	**57,225.1**	**4,867.6**	**8.5%**
Departmental Operations:													
Personal Service		722.5	7,825.1	425.5	4,330.5	-	-	1,148.0	12,155.6	1,050.9	10,771.1	1,384.5	12.9%
Non-Personal Service		256.0	2,233.6	323.3	2,633.7	-	26.1	579.3	4,893.4	650.0	4,612.1	281.3	6.1%
General State Charges		601.9	6,672.6	122.7	922.8	-	-	724.6	7,595.4	549.7	7,545.3	50.1	0.7%
Debt Service, Including Payments on Financing Agreements		-	-	-	-	1.3	1,524.6	1.3	1,524.6	7.6	1,450.2	74.4	5.1%
Capital Projects		-	-	-	-	-	-	-	-	-	-	-	0.0%
Total Disbursements		**5,595.7**	**62,187.1**	**3,380.8**	**24,523.9**	**1.3**	**1,550.7**	**8,977.8**	**88,261.7**	**7,697.8**	**81,603.8**	**6,657.9**	**8.2%**
Excess (Deficiency) of Receipts over Disbursements		**(1,439.2)**	**(16,126.6)**	**598.1**	**(2,396.5)**	**5,283.6**	**39,938.3**	**4,442.5**	**21,415.2**	**7,647.4**	**33,006.7**	**(11,591.5)**	**-35.1%**
OTHER FINANCING SOURCES (USES):													
Transfers from Other Funds	(2)	2,742.3	37,653.1	63.9	3,056.1	208.5	1,419.8	3,014.7	42,129.0	1,860.8	45,919.2	(3,790.2)	-8.3%
Transfers to Other Funds	(2)	(656.9)	(4,493.2)	(44.4)	(196.6)	(2,711.3)	(37,280.5)	(3,412.6)	(41,970.3)	(2,070.2)	(48,793.8)	(6,823.5)	-14.0%
Total Other Financing Sources (Uses)		**2,085.4**	**33,159.9**	**19.5**	**2,859.5**	**(2,502.8)**	**(35,860.7)**	**(397.9)**	**158.7**	**(209.4)**	**(2,874.6)**	**3,033.3**	**105.5%**
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses		**646.2**	**17,033.3**	**617.6**	**463.0**	**2,780.8**	**4,077.6**	**4,044.6**	**21,573.9**	**7,438.0**	**30,132.1**	**(8,558.2)**	**-28.4%**
Beginning Fund Balances (Deficits)		**49,439.8**	**33,052.7**	**7,457.9**	**7,612.5**	**1,398.8**	**102.0**	**58,296.5**	**40,767.2**	**37,628.5**	**14,934.4**	**25,832.8**	**173.0%**
Ending Fund Balances (Deficits)		**$ 50,086.0**	**$ 50,086.0**	**$ 8,075.5**	**$ 8,075.5**	**$ 4,179.6**	**$ 4,179.6**	**$ 62,341.1**	**$ 62,341.1**	**$ 45,066.5**	**$ 45,066.5**	**$ 17,274.6**	**38.3%**

(*) State Operating Funds are comprised of the General Fund, State Special Revenue Funds supported by activities from dedicated revenue sources (including operating transfers from Federal Funds) and Debt Service Funds.
(**) Eliminations between Special Revenue - State and Federal Funds are not included.

GOVERNMENTAL FUNDS FOOTNOTES

EXHIBIT A NOTES
JANUARY 2023

1. Certain disbursements from Capital Projects funds are financed by operating transfers from other funds, proceeds of State bonds and notes, and reimbursements received from Public Authorities and the Federal Government. The amounts shown below represent disbursements to be reimbursed in future months from the sources indicated:

Urban Development Corporation (Correctional Facilities)	$246.2	million
Urban Development Corporation (Youth Facilities)	16.0	
Housing Finance Agency (HFA)	344.1	
Housing Assistance Fund	12.9	
Dormitory Authority (Mental Hygiene)	635.7	
Dormitory Authority and State University Income Fund	896.4	
Federal Capital Projects	462.3	
State bond and note proceeds	258.7	

2. Operating Transfers constitute legally authorized transfers from a fund receiving revenues to a fund through which disbursements will ultimately be made. The more significant transfers include:

__General Fund__ "Transfers to Other Funds" are as follows:

State Capital Projects Fund	$619.9	million
General Debt Service Fund	308.7	
Banking Services Account	27.4	
Building Administration Account	8.0	
Business Services Center	30.0	
Centralized Tech Services	11.5	
Court Facilities Incentive Aid Fund	115.2	
Dedicated Highway & Bridge Trust Fund	283.2	
Dedicated Infrastructure Investment Fund	210.0	
Dedicated Mass Transportation (Non MTA)	8.9	
Dedicated Mass Transportation - Railroad Account	15.3	
Dedicated Mass Transportation - Transit Authority Account	86.1	
Entertainment Diversity Job Training Development	1.2	
Environmental Protection Fund	48.1	
Housing Program Fund	436.9	
Mass Transportation Financial Assistance	244.3	
Mass Transportation Operating Assistance Fund	78.8	
Medical Cannabis Health Operation and Oversight	6.2	
Mobile Sports Wagering	120.0	
New York Central Business District Trust Fund	127.5	
New York City County Clerks' Operations Offset	2.4	
New York State Cannabis Revenue	50.0	
Recruitment Incentive	2.6	
State Fair Receipts	5.0	
State Housing Debt Service	(4.3)	
State University Income Fund	1,344.7	

Also included in the General Fund are transfers representing payments for patients residing in State-operated health, mental hygiene and State University facilities to Debt Service funds ($6.3m), and the State University Income Fund ($298.1m).

§72(4)(b) was added to the State Finance Law in 2010 to permit the State's General Debt Service Fund to maintain a cash reserve for the payment of debt service, and related expenses, during the current fiscal quarter. As of January 31, 2023 - pursuant to a certification of the Budget Director - the reserve amount is ($154.7m), which was funded by a transfer from the General Fund.

__Special Revenue Funds__ "Transfers To Other Funds" includes transfers to Mental Health Services Fund and Department of Health Income Fund ($1,070.6m) representing the federal share of Medicaid payments for patients residing in State-operated Health and Mental Hygiene facilities, SUNY Capital Projects Fund ($10.8m) and All Other Capital Projects ($82.9m).

Also included in Special Revenue funds are transfers to the General Fund from the following:

Business & Licensing Services Account	$3.7	million
Encon Special Revenue	6.9	
Federal Health and Human Services Fund	36.1	
Federal Employment & Training Grants	1.7	
Federal USDA/Food and Nutrition	13.9	
Fingerprint Identification Technology Account	3.5	
HESC Insurance Premium Account	9.4	
Miscellaneous State Special Revenue Fund	9.5	
Public Service Account	4.7	
State Lottery Fund	4.2	
State Police Motor Vehicle Law	35.5	
State University Income Fund	1.3	
System and Technology Account	4.0	
Training and Education Program on OSHA	2.4	
Unemployment Insurance Administration	27.3	
Unemployment Insurance, Interest & Penalty	4.8	
Workers' Compensation Board	11.5	

__Debt Service Funds__ "Transfers To Other Funds" includes transfers to the General Fund from the following:

Revenue Bond Tax Fund	$26,218.6	million
Local Government Assistance Tax Fund	2,198.3	
Sales Tax Revenue Bond Tax Fund	6,282.4	
Clean Water/Clean Air Fund	1,071.0	
Mental Health Services Fund	1,412.7	

Also included in Debt Service funds are transfers to Special Revenue funds representing receipts in excess of lease-purchase obligations that are used to finance a portion of the operating expenses for the Department of Health ($97.5m).

__Capital Projects Funds__ "Transfers To Other Funds" includes transfers to the General Fund ($281.5m) and the General Debt Service Fund - Lease Purchase ($38.4m).

3. A portion of Personal Income Tax receipts is transferred to the State Special Revenue School Tax Relief (STAR) Fund to be used to reimburse school districts for the STAR property tax exemptions for homeowners and payments to homeowners for the STAR Property Rebate Program. School Tax Relief payments were ($1,729.6m) as of January 31, 2023.

STATE OF NEW YORK
PROPRIETARY FUNDS
COMBINED STATEMENT OF CASH RECEIPTS, DISBURSEMENTS AND CHANGES IN FUND BALANCES
(amounts in millions)

EXHIBIT B

	ENTERPRISE		INTERNAL SERVICE		TOTAL PROPRIETARY FUNDS				YEAR OVER YEAR	
	MONTH OF JAN. 2023	10 MOS. ENDED JAN. 31, 2023	MONTH OF JAN. 2023	10 MOS. ENDED JAN. 31, 2023	MONTH OF JAN. 2023	10 MOS. ENDED JAN. 31, 2023	MONTH OF JAN. 2022	10 MOS. ENDED JAN. 31, 2022	$ Increase/ (Decrease)	% Increase/ Decrease
RECEIPTS:										
Miscellaneous Receipts	$ 214.8	$ 2,683.1	$ 61.0	$ 456.6	$ 275.8	$ 3,139.7	$ 285.9	$ 2,864.3	$ 275.4	9.6%
Federal Receipts	3.1	68.8	-	-	3.1	68.8	28.2	23,928.6	(23,859.8)	-99.7%
Unemployment Taxes	262.5	1,400.4	-	-	262.5	1,400.4	256.6	2,702.2	(1,301.8)	-48.2%
Total Receipts	**480.4**	**4,152.3**	**61.0**	**456.6**	**541.4**	**4,608.9**	**570.7**	**29,495.1**	**(24,886.2)**	**-84.4%**
DISBURSEMENTS:										
Departmental Operations:										
Personal Service	116.3	1,428.9	11.2	109.1	127.5	1,538.0	121.9	1,488.5	49.5	3.3%
Non-Personal Service	41.1	588.9	40.5	487.0	81.6	1,075.9	85.8	748.4	327.5	43.8%
General State Charges	60.9	612.3	5.5	51.9	66.4	664.2	63.7	668.0	(3.8)	-0.6%
Unemployment Benefits	265.5	1,469.3	-	-	265.5	1,469.3	284.8	26,734.1	(25,264.8)	-94.5%
Total Disbursements	**483.8**	**4,099.4**	**57.2**	**648.0**	**541.0**	**4,747.4**	**556.2**	**29,639.0**	**(24,891.6)**	**-84.0%**
Excess (Deficiency) of Receipts Over Disbursements	**(3.4)**	**52.9**	**3.8**	**(191.4)**	**0.4**	**(138.5)**	**14.5**	**(143.9)**	**5.4**	**3.8%**
OTHER FINANCING SOURCES (USES):										
Transfers from Other Funds	-	5.0	2.6	76.6	2.6	81.6	3.0	102.4	(20.8)	-20.3%
Transfers to Other Funds	-	-	-	(5.6)	-	(5.6)	-	(5.0)	0.6	12.0%
Total Other Financing Sources (Uses)	**-**	**5.0**	**2.6**	**71.0**	**2.6**	**76.0**	**3.0**	**97.4**	**(21.4)**	**-22.0%**
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	**(3.4)**	**57.9**	**6.4**	**(120.4)**	**3.0**	**(62.5)**	**17.5**	**(46.5)**	**(16.0)**	**-34.4%**
Beginning Fund Balances (Deficits)	**419.0**	**357.7**	**(263.5)**	**(136.7)**	**155.5**	**221.0**	**(99.5)**	**(35.5)**	**256.5**	**722.5%**
Ending Fund Balances (Deficits)	**$ 415.6**	**$ 415.6**	**$ (257.1)**	**$ (257.1)**	**$ 158.5**	**$ 158.5**	**$ (82.0)**	**$ (82.0)**	**$ 240.5**	**293.3%**

STATE OF NEW YORK
TRUST FUNDS
COMBINED STATEMENT OF CASH RECEIPTS, DISBURSEMENTS AND CHANGES IN FUND BALANCES
(amounts in millions)

EXHIBIT C

	TRUST(*)		PRIVATE PURPOSE		TOTAL TRUST FUNDS				YEAR OVER YEAR	
	MONTH OF JAN. 31, 2023	10 MOS. ENDED JAN. 31, 2023	MONTH OF JAN. 31, 2023	10 MOS. ENDED JAN. 31, 2023	MONTH OF JAN. 31, 2023	10 MOS. ENDED JAN. 31, 2023	MONTH OF JAN. 2022	10 MOS. ENDED JAN. 31, 2022	$ Increase/ (Decrease)	% Increase/ Decrease
RECEIPTS:										
Miscellaneous Receipts	$ 12.1	$ 162.6	$ 1.0	$ 6.7	$ 13.1	$ 169.3	$ 10.7	$ 123.5	$ 45.8	37.1%
Total Receipts	12.1	162.6	1.0	6.7	13.1	169.3	10.7	123.5	45.8	37.1%
DISBURSEMENTS:										
Departmental Operations:										
Personal Service	6.0	64.1	-	0.4	6.0	64.5	7.6	65.6	(1.1)	-1.7%
Non-Personal Service	1.1	51.9	-	0.1	1.1	52.0	1.9	13.0	39.0	300.0%
General State Charges	3.7	42.6	-	0.2	3.7	42.8	4.8	42.7	0.1	0.2%
Total Disbursements	10.8	158.6	-	0.7	10.8	159.3	14.3	121.3	38.0	31.3%
Excess (Deficiency) of Receipts Over Disbursements	1.3	4.0	1.0	6.0	2.3	10.0	(3.6)	2.2	7.8	354.5%
OTHER FINANCING SOURCES (USES):										
Transfers from Other Funds	-	-	-	-	-	-	-	-	-	0.0%
Transfers to Other Funds	-	-	-	-	-	-	-	-	-	0.0%
Total Other Financing Sources (Uses)	-	-	-	-	-	-	-	-	-	0.0%
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	1.3	4.0	1.0	6.0	2.3	10.0	(3.6)	2.2	7.8	354.5%
Beginning Fund Balances (Deficits)	321.6	318.9	50.9	45.9	372.5	364.8	46.0	40.2	324.6	807.5%
Ending Fund Balances (Deficits)	$ 322.9	$ 322.9	$ 51.9	$ 51.9	$ 374.8	$ 374.8	$ 42.4	$ 42.4	$ 332.4	784.0%

(*) Includes Common Retirement Administration and Retiree Health Benefit Trust.

STATE OF NEW YORK
BUDGETARY BASIS - FINANCIAL PLAN AND ACTUAL
FISCAL YEAR 2022-2023
FOR TEN MONTHS ENDED JANUARY 31, 2023
(amounts in millions)

EXHIBIT D

	ALL GOVERNMENTAL FUNDS				
	Enacted Financial Plan (*)	Updated Financial Plan (**)	Actual	Actual Over/ (Under) Enacted Financial Plan	Actual Over/ (Under) Updated Financial Plan
RECEIPTS:					
Taxes:					
Personal Income	$ 38,109.0	$ 50,417.0	$ 50,390.6	$ 12,281.6	$ (26.4)
Consumption/Use	16,441.0	17,298.0	17,283.3	842.3	(14.7)
Business	21,567.0	20,344.0	20,329.0	(1,238.0)	(15.0)
Other	2,399.0	3,119.0	3,106.7	707.7	(12.3)
Miscellaneous Receipts	22,866.0	25,554.0	25,631.6	2,765.6	77.6
Federal Receipts	71,709.0	72,986.0	72,904.6	1,195.6	(81.4)
Total Receipts	**173,091.0**	**189,718.0**	**189,645.8**	**16,554.8**	**(72.2)**
DISBURSEMENTS:					
Local Assistance Grants	135,132.0	129,842.0	129,782.6	(5,349.4)	(59.4)
Departmental Operations	19,437.0	18,915.0	18,899.3	(537.7)	(15.7)
General State Charges	8,109.0	7,929.0	7,920.0	(189.0)	(9.0)
Debt Service	1,663.0	1,524.0	1,524.6	(138.4)	0.6
Capital Projects	10,075.0	6,738.0	6,736.5	(3,338.5)	(1.5)
Total Disbursements	**174,416.0**	**164,948.0**	**164,863.0**	**(9,553.0)**	**(85.0)**
Excess (Deficiency) of Receipts over Disbursements	**(1,325.0)**	**24,770.0**	**24,782.8**	**26,107.8**	**12.8**
OTHER FINANCING SOURCES (USES):					
Bond and Note Proceeds, net	-	-	-	-	-
Transfers from Other Funds	42,276.0	43,086.0	43,364.8	1,088.8	278.8
Transfers to Other Funds	(42,400.0)	(43,160.0)	(43,441.1)	(1,041.1)	(281.1)
Total Other Financing Sources (Uses)	**(124.0)**	**(74.0)**	**(76.3)**	**47.7**	**(2.3)**
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	**(1,449.0)**	**24,696.0**	**24,706.5**	**26,155.5**	**10.5**
Fund Balances (Deficits) at April 1	**53,549.0**	**53,549.0**	**53,549.0**	**-**	**-**
Fund Balances (Deficits) at January 31, 2023	**$ 52,100.0**	**$ 78,245.0**	**$ 78,255.5**	**$ 26,155.5**	**$ 10.5**

(*) Source: 2022-23 Enacted Financial Plan dated May 20, 2022.
(**) Source: 2023-24 Executive Budget dated February 1, 2023.

STATE OF NEW YORK
BUDGETARY BASIS - FINANCIAL PLAN AND ACTUAL
FISCAL YEAR 2022-2023
FOR TEN MONTHS ENDED JANUARY 31, 2023
(amounts in millions)

EXHIBIT D

	STATE OPERATING FUNDS (***)				
	Enacted Financial Plan (*)	Updated Financial Plan (**)	Actual	Actual Over/ (Under) Enacted Financial Plan	Actual Over/ (Under) Updated Financial Plan
RECEIPTS:					
Taxes:					
Personal Income	$ 38,109.0	$ 50,417.0	$ 50,390.6	$ 12,281.6	$ (26.4)
Consumption/Use	16,149.0	17,008.0	16,999.4	850.4	(8.6)
Business	21,044.0	19,830.0	19,809.0	(1,235.0)	(21.0)
Other	2,191.0	2,913.0	2,900.8	709.8	(12.2)
Miscellaneous Receipts	16,357.0	19,457.0	19,515.5	3,158.5	58.5
Federal Receipts	17.0	53.0	61.6	44.6	8.6
Total Receipts	**93,867.0**	**109,678.0**	**109,676.9**	**15,809.9**	**(1.1)**
DISBURSEMENTS:					
Local Assistance Grants	65,617.0	62,618.0	62,092.7	(3,524.3)	(525.3)
Departmental Operations	16,842.0	17,053.0	17,049.0	207.0	(4.0)
General State Charges	7,795.0	7,606.0	7,595.4	(199.6)	(10.6)
Debt Service	1,663.0	1,524.0	1,524.6	(138.4)	0.6
Capital Projects	-	-	-	-	-
Total Disbursements	**91,917.0**	**88,801.0**	**88,261.7**	**(3,655.3)**	**(539.3)**
Excess (Deficiency) of Receipts over Disbursements	**1,950.0**	**20,877.0**	**21,415.2**	**19,465.2**	**538.2**
OTHER FINANCING SOURCES (USES):					
Transfers from Other Funds	37,348.0	41,347.0	42,129.0 (****)	4,781.0	782.0
Transfers to Other Funds	(40,312.0)	(41,115.0)	(41,970.3) (****)	(1,658.3)	(855.3)
Total Other Financing Sources (Uses)	**(2,964.0)**	**232.0**	**158.7**	**3,122.7**	**(73.3)**
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	**(1,014.0)**	**21,109.0**	**21,573.9**	**22,587.9**	**464.9**
Fund Balances (Deficits) at April 1	**40,767.0**	**40,767.0**	**40,767.2**	**0.2**	**0.2**
Fund Balances (Deficits) at January 31, 2023	**$ 39,753.0**	**$ 61,876.0**	**$ 62,341.1**	**$ 22,588.1**	**$ 465.1**

(*) Source: 2022-23 Enacted Financial Plan dated May 20, 2022.
(**) Source: 2023-24 Executive Budget dated February 1, 2023.
(***) State Operating Funds are comprised of the General Fund, State Special Revenue Funds supported by activities from dedicated revenue sources (including operating transfers from Federal Funds) and Debt Service Funds.
(****) Eliminations between Special Revenue - State and Federal Funds are not included.

STATE OF NEW YORK
BUDGETARY BASIS - FINANCIAL PLAN AND ACTUAL
FISCAL YEAR 2022-2023
FOR TEN MONTHS ENDED JANUARY 31, 2023
(amounts in millions)

EXHIBIT D

	GENERAL FUND				
	Enacted Financial Plan (*)	Updated Financial Plan (**)	Actual	Actual Over/ (Under) Enacted Financial Plan	Actual Over/ (Under) Updated Financial Plan
RECEIPTS:					
Taxes:					
Personal Income	$ 17,233.0	$ 23,478.0	$ 23,465.7	$ 6,232.7	$ (12.3)
Consumption/Use	5,386.0	5,729.0	5,721.5	335.5	(7.5)
Business	13,083.0	12,693.0	12,642.1	(440.9)	(50.9)
Other	1,147.0	1,808.0	1,797.5	650.5	(10.5)
Miscellaneous Receipts	1,402.0	2,394.0	2,433.2	1,031.2	39.2
Federal Receipts	-	-	0.5	0.5	0.5
Transfers From:					
Revenue Bond Tax Fund	23,209.0	25,166.0	26,218.6	3,009.6	1,052.6
Sales Tax in excess of LGAC / STRBF Debt Service	7,942.0	8,629.0	8,480.7	538.7	(148.3)
Real Estate Taxes in excess of CW/CA Debt Service	1,001.0	1,070.0	1,071.0	70.0	1.0
All Other	700.0	1,838.0	1,882.8	1,182.8	44.8
Total Receipts and Other Financing Sources	**71,103.0**	**82,805.0**	**83,713.6**	**12,610.6**	**908.6**
DISBURSEMENTS:					
Local Assistance Grants	49,109.0	45,997.0	45,455.8	(3,653.2)	(541.2)
Departmental Operations	10,476.0	10,056.0	10,058.7	(417.3)	2.7
General State Charges	6,846.0	6,675.0	6,672.6	(173.4)	(2.4)
Transfers To:					
Debt Service	311.0	311.0	308.7	(2.3)	(2.3)
Capital Projects	4,897.0	1,649.0	1,598.0	(3,299.0)	(51.0)
State Share Medicaid	-	-	304.4 (***)	304.4	304.4
SUNY Operations	1,400.0	1,345.0	1,344.7	(55.3)	(0.3)
Other Purposes	1,017.0	1,284.0	937.4	(79.6)	(346.6)
Total Disbursements and Other Financing Uses	**74,056.0**	**67,317.0**	**66,680.3**	**(7,375.7)**	**(636.7)**
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	**(2,953.0)**	**15,488.0**	**17,033.3**	**19,986.3**	**1,545.3**
Fund Balances (Deficits) at April 1	**33,053.0**	**33,053.0**	**33,052.7**	**(0.3)**	**(0.3)**
Fund Balances (Deficits) at January 31, 2023	**$ 30,100.0**	**$ 48,541.0**	**$ 50,086.0**	**$ 19,986.0**	**$ 1,545.0**

(*) Source: 2022-23 Enacted Financial Plan dated May 20, 2022.
(**) Source: 2023-24 Executive Budget dated February 1, 2023.
(***) Includes transfers to the Department of Health Income Fund and the State University Income Fund representing payments for patients residing in State-Operated Health and State University facilities.

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STATE OF NEW YORK
BUDGETARY BASIS - FINANCIAL PLAN AND ACTUAL
FISCAL YEAR 2022-2023
FOR TEN MONTHS ENDED JANUARY 31, 2023
(amounts in millions)

EXHIBIT D

	SPECIAL REVENUE FUNDS						
	Enacted Financial Plan (*)	Updated Financial Plan (**)	Actual	Eliminations	Total	Actual Over/ (Under) Enacted Financial Plan	Actual Over/ (Under) Updated Financial Plan
RECEIPTS:							
Taxes:							
Personal Income	$ 1,821.0	$ 1,730.0	$ 1,729.6	$ -	$ 1,729.6	$ (91.4)	$ (0.4)
Consumption/Use	1,672.0	1,679.0	1,683.3	-	1,683.3	11.3	4.3
Business	2,025.0	2,009.0	2,021.8	-	2,021.8	(3.2)	12.8
Miscellaneous Receipts	14,787.0	17,013.0	17,102.1	-	17,102.1	2,315.1	89.1
Federal Receipts	69,427.0	70,768.0	70,699.4	-	70,699.4	1,272.4	(68.6)
Transfers from Other Funds (***)	2,917.0	3,171.0	3,056.1	(455.9)	2,600.2	(316.8)	(570.8)
Total Receipts and Other Financing Sources	**92,649.0**	**96,370.0**	**96,292.3**	**(455.9)**	**95,836.4**	**3,187.4**	**(533.6)**
DISBURSEMENTS:							
Local Assistance Grants	81,339.0	80,475.0	80,957.3	-	80,957.3	(381.7)	482.3
Departmental Operations	8,934.0	8,833.0	8,814.5	-	8,814.5	(119.5)	(18.5)
General State Charges	1,263.0	1,254.0	1,247.4	-	1,247.4	(15.6)	(6.6)
Debt Service	-	-	-	-	-	-	-
Capital Projects	-	-	-	-	-	-	-
Transfers to Other Funds (***)	1,930.0	1,916.0	1,803.4	(455.9)	1,347.5	(582.5)	(568.5)
Total Disbursements and Other Financing Uses	**93,466.0**	**92,478.0**	**92,822.6**	**(455.9)**	**92,366.7**	**(1,099.3)**	**(111.3)**
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	**(817.0)**	**3,892.0**	**3,469.7**	**-**	**3,469.7**	**4,286.7**	**(422.3)**
Fund Balances (Deficits) at April 1	**21,938.0**	**21,938.0**	**21,938.2**	**-**	**21,938.2**	**0.2**	**0.2**
Fund Balances (Deficits) at January 31, 2023	**$ 21,121.0**	**$ 25,830.0**	**$ 25,407.9**	**$ -**	**$ 25,407.9**	**$ 4,286.9**	**$ (422.1)**

(*) Source: 2022-23 Enacted Financial Plan dated May 20, 2022.
(**) Source: 2023-24 Executive Budget dated February 1, 2023.
(***) Actual reported transfer amounts include eliminations between Special Revenue - State and Federal Funds.

STATE OF NEW YORK
BUDGETARY BASIS - FINANCIAL PLAN AND ACTUAL
FISCAL YEAR 2022-2023
FOR TEN MONTHS ENDED JANUARY 31, 2023
(amounts in millions)

EXHIBIT D

	STATE SPECIAL REVENUE FUNDS					FEDERAL SPECIAL REVENUE FUNDS				
	Enacted Financial Plan (*)	Updated Financial Plan (**)	Actual	Actual Over/ (Under) Enacted Financial Plan	Actual Over/ (Under) Updated Financial Plan	Enacted Financial Plan (*)	Updated Financial Plan (**)	Actual	Actual Over/ (Under) Enacted Financial Plan	Actual Over/ (Under) Updated Financial Plan
RECEIPTS:										
Taxes:										
Personal Income	$ 1,821.0	$ 1,730.0	$ 1,729.6	$ (91.4)	$ (0.4)	$ -	$ -	$ -	$ -	$ -
Consumption/Use	1,672.0	1,679.0	1,683.3	11.3	4.3	-	-	-	-	-
Business	2,025.0	2,009.0	2,021.8	(3.2)	12.8	-	-	-	-	-
Miscellaneous Receipts	14,642.0	16,651.0	16,681.0	2,039.0	30.0	145.0	362.0	421.1	276.1	59.1
Federal Receipts	(18.0)	12.0	11.7	29.7	(0.3)	69,445.0	70,756.0	70,687.7	1,242.7	(68.3)
Transfers from Other Funds	2,917.0	3,171.0	3,056.1	139.1	(114.9)	-	-	-	-	-
Total Receipts and Other Financing Sources	**23,059.0**	**25,252.0**	**25,183.5**	**2,124.5**	**(68.5)**	**69,590.0**	**71,118.0**	**71,108.8**	**1,518.8**	**(9.2)**
DISBURSEMENTS:										
Local Assistance Grants	16,508.0	16,621.0	16,636.9	128.9	15.9	64,831.0	63,854.0	64,320.4	(510.6)	466.4
Departmental Operations	6,339.0	6,971.0	6,964.2	625.2	(6.8)	2,595.0	1,862.0	1,850.3	(744.7)	(11.7)
General State Charges	949.0	931.0	922.8	(26.2)	(8.2)	314.0	323.0	324.6	10.6	1.6
Debt Service	-	-	-	-	-	-	-	-	-	-
Capital Projects	-	-	-	-	-	-	-	-	-	-
Transfers to Other Funds	162.0	192.0	196.6	34.6	4.6	1,768.0	1,724.0	1,606.8	(161.2)	(117.2)
Total Disbursements and Other Financing Uses	**23,958.0**	**24,715.0**	**24,720.5**	**762.5**	**5.5**	**69,508.0**	**67,763.0**	**68,102.1**	**(1,405.9)**	**339.1**
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	**(899.0)**	**537.0**	**463.0**	**1,362.0**	**(74.0)**	**82.0**	**3,355.0**	**3,006.7**	**2,924.7**	**(348.3)**
Fund Balances (Deficits) at April 1	**7,612.0**	**7,612.0**	**7,612.5**	**0.5**	**0.5**	**14,326.0**	**14,326.0**	**14,325.7**	**(0.3)**	**(0.3)**
Fund Balances (Deficits) at January 31, 2023	**$ 6,713.0**	**$ 8,149.0**	**$ 8,075.5**	**$ 1,362.5**	**$ (73.5)**	**$ 14,408.0**	**$ 17,681.0**	**$ 17,332.4**	**$ 2,924.4**	**$ (348.6)**

(*) Source: 2022-23 Enacted Financial Plan dated May 20, 2022.
(**) Source: 2023-24 Executive Budget dated February 1, 2023.

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STATE OF NEW YORK
BUDGETARY BASIS - FINANCIAL PLAN AND ACTUAL
FISCAL YEAR 2022-2023
FOR TEN MONTHS ENDED JANUARY 31, 2023
(amounts in millions)

EXHIBIT D

	DEBT SERVICE FUNDS				
	Enacted Financial Plan (*)	Updated Financial Plan (**)	Actual	Actual Over/ (Under) Enacted Financial Plan	Actual Over/ (Under) Updated Financial Plan
RECEIPTS:					
Taxes:					
Personal Income	$ 19,055.0	$ 25,209.0	$ 25,195.3	$ 6,140.3	$ (13.7)
Consumption/Use	9,091.0	9,600.0	9,594.6	503.6	(5.4)
Business	5,936.0	5,128.0	5,145.1	(790.9)	17.1
Other	1,044.0	1,105.0	1,103.3	59.3	(1.7)
Miscellaneous Receipts	313.0	412.0	401.3	88.3	(10.7)
Federal Receipts	35.0	41.0	49.4	14.4	8.4
Transfers from Other Funds	1,579.0	1,473.0	1,419.8	(159.2)	(53.2)
Total Receipts and Other Financing Sources	**37,053.0**	**42,968.0**	**42,908.8**	**5,855.8**	**(59.2)**
DISBURSEMENTS:					
Departmental Operations	27.0	26.0	26.1	(0.9)	0.1
Debt Service	1,663.0	1,524.0	1,524.6	(138.4)	0.6
Transfers to Other Funds	32,525.0	36,334.0	37,280.5	4,755.5	946.5
Total Disbursements and Other Financing Uses	**34,215.0**	**37,884.0**	**38,831.2**	**4,616.2**	**947.2**
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	**2,838.0**	**5,084.0**	**4,077.6**	**1,239.6**	**(1,006.4)**
Fund Balances (Deficits) at April 1	**102.0**	**102.0**	**102.0**	**-**	**-**
Fund Balances (Deficits) at January 31, 2023	**$ 2,940.0**	**$ 5,186.0**	**$ 4,179.6**	**$ 1,239.6**	**$ (1,006.4)**

(*) Source: 2022-23 Enacted Financial Plan dated May 20, 2022.
(**) Source: 2023-24 Executive Budget dated February 1, 2023.

STATE OF NEW YORK
BUDGETARY BASIS - FINANCIAL PLAN AND ACTUAL
FISCAL YEAR 2022-2023
FOR TEN MONTHS ENDED JANUARY 31, 2023
(amounts in millions)

EXHIBIT D

	CAPITAL PROJECTS FUNDS						
	Enacted Financial Plan (*)	Updated Financial Plan (**)	Actual	Eliminations	Total	Actual Over/ (Under) Enacted Financial Plan	Actual Over/ (Under) Updated Financial Plan
RECEIPTS:							
Taxes:							
Consumption/Use	$ 292.0	$ 290.0	$ 283.9	$ -	$ 283.9	$ (8.1)	$ (6.1)
Business	523.0	514.0	520.0	-	520.0	(3.0)	6.0
Other	208.0	206.0	205.9	-	205.9	(2.1)	(0.1)
Miscellaneous Receipts	6,364.0	5,735.0	5,695.0	-	5,695.0	(669.0)	(40.0)
Federal Receipts	2,247.0	2,177.0	2,155.3	-	2,155.3	(91.7)	(21.7)
Bond and Note Proceeds, net	-	-	-	-	-	-	-
Transfers from Other Funds	4,928.0	1,739.0	1,691.7	-	1,691.7	(3,236.3)	(47.3)
Total Receipts and Other Financing Sources	**14,562.0**	**10,661.0**	**10,551.8**	**-**	**10,551.8**	**(4,010.2)**	**(109.2)**
DISBURSEMENTS:							
Local Assistance Grants	4,684.0	3,370.0	3,369.5	-	3,369.5	(1,314.5)	(0.5)
Capital Projects	10,075.0	6,738.0	6,736.5	-	6,736.5	(3,338.5)	(1.5)
Transfers to Other Funds	320.0	321.0	319.9	-	319.9	(0.1)	(1.1)
Total Disbursements and Other Financing Uses	**15,079.0**	**10,429.0**	**10,425.9**	**-**	**10,425.9**	**(4,653.1)**	**(3.1)**
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	**(517.0)**	**232.0**	**125.9**	**-**	**125.9**	**642.9**	**(106.1)**
Fund Balances (Deficits) at April 1	**(1,544.0)**	**(1,544.0)**	**(1,543.9)**	**-**	**(1,543.9)**	**0.1**	**0.1**
Fund Balances (Deficits) at January 31, 2023	**$ (2,061.0)**	**$ (1,312.0)**	**$ (1,418.0)**	**$ -**	**$ (1,418.0)**	**$ 643.0**	**$ (106.0)**

(*) Source: 2022-23 Enacted Financial Plan dated May 20, 2022.
(**) Source: 2023-24 Executive Budget dated February 1, 2023.

STATE OF NEW YORK
BUDGETARY BASIS - FINANCIAL PLAN AND ACTUAL
FISCAL YEAR 2022-2023
FOR TEN MONTHS ENDED JANUARY 31, 2023
(amounts in millions)

EXHIBIT D

	STATE CAPITAL PROJECTS FUNDS					FEDERAL CAPITAL PROJECTS FUNDS				
	Enacted Financial Plan (*)	Updated Financial Plan (**)	Actual	Actual Over/ (Under) Enacted Financial Plan	Actual Over/ (Under) Updated Financial Plan	Enacted Financial Plan (*)	Updated Financial Plan (**)	Actual	Actual Over/ (Under) Enacted Financial Plan	Actual Over/ (Under) Updated Financial Plan
RECEIPTS:										
Taxes:										
Consumption/Use	$ 292.0	$ 290.0	$ 283.9	$ (8.1)	$ (6.1)	$ -	$ -	$ -	$ -	$ -
Business	523.0	514.0	520.0	(3.0)	6.0	-	-	-	-	-
Other	208.0	206.0	205.9	(2.1)	(0.1)	-	-	-	-	-
Miscellaneous Receipts	6,249.0	5,735.0	5,695.0	(554.0)	(40.0)	115.0	-	-	(115.0)	-
Federal Receipts	2.0	2.0	2.4	0.4	0.4	2,245.0	2,175.0	2,152.9	(92.1)	(22.1)
Bond and Note Proceeds, net	-	-	-	-	-	-	-	-	-	-
Transfers from Other Funds	4,908.0	1,739.0	1,691.7	(3,216.3)	(47.3)	20.0	-	-	(20.0)	-
Total Receipts and Other Financing Sources	**12,182.0**	**8,486.0**	**8,398.9**	**(3,783.1)**	**(87.1)**	**2,380.0**	**2,175.0**	**2,152.9**	**(227.1)**	**(22.1)**
DISBURSEMENTS:										
Local Assistance Grants	4,026.0	2,736.0	2,797.8	(1,228.2)	61.8	658.0	634.0	571.7	(86.3)	(62.3)
Capital Projects	8,271.0	5,455.0	5,480.3	(2,790.7)	25.3	1,804.0	1,283.0	1,256.2	(547.8)	(26.8)
Transfers to Other Funds	320.0	321.0	319.7	(0.3)	(1.3)	-	-	0.2	0.2	0.2
Total Disbursements and Other Financing Uses	**12,617.0**	**8,512.0**	**8,597.8**	**(4,019.2)**	**85.8**	**2,462.0**	**1,917.0**	**1,828.1**	**(633.9)**	**(88.9)**
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	**(435.0)**	**(26.0)**	**(198.9)**	**236.1**	**(172.9)**	**(82.0)**	**258.0**	**324.8**	**406.8**	**66.8**
Fund Balances (Deficits) at April 1	**(757.0)**	**(757.0)**	**(756.8)**	**0.2**	**0.2**	**(787.0)**	**(787.0)**	**(787.1)**	**(0.1)**	**(0.1)**
Fund Balances (Deficits) at January 31, 2023	**$ (1,192.0)**	**$ (783.0)**	**$ (955.7)**	**$ 236.3**	**$ (172.7)**	**$ (869.0)**	**$ (529.0)**	**$ (462.3)**	**$ 406.7**	**$ 66.7**

(*) Source: 2022-23 Enacted Financial Plan dated May 20, 2022.
(**) Source: 2023-24 Executive Budget dated February 1, 2023.

STATE OF NEW YORK
GOVERNMENTAL FUNDS
COMPARATIVE SCHEDULE OF TAX RECEIPTS
(amounts in millions)

EXHIBIT E

	GENERAL		SPECIAL REVENUE		DEBT SERVICE		CAPITAL PROJECTS	
	MONTH OF JAN. 2023	10 MOS. ENDED JAN. 31, 2023	MONTH OF JAN. 2023	10 MOS. ENDED JAN. 31, 2023	MONTH OF JAN. 2023	10 MOS. ENDED JAN. 31, 2023	MONTH OF JAN. 2023	10 MOS. ENDED JAN. 31, 2023
PERSONAL INCOME TAX								
Withholdings	$ 5,601.0	$ 40,386.7	$ -	$ -	$ -	$ -	$ -	$ -
Estimated Payments	2,520.2	18,200.9	-	-	-	-	-	-
Returns	28.3	5,092.8	-	-	-	-	-	-
State/City Offsets	11.3	(1,851.1)	-	-	-	-	-	-
Other (Assessments/LLC)	133.6	1,391.2	-	-	-	-	-	-
Gross Receipts	**8,294.4**	**63,220.5**	**-**	**-**	**-**	**-**	**-**	**-**
Transfers to School Tax Relief Fund	(1,711.0)	(1,729.6)	1,711.0	1,729.6	-	-	-	-
Transfers to Revenue Bond Tax Fund	(4,136.2)	(25,195.3)	-	-	4,136.2	25,195.3	-	-
Less: Refunds Issued	(22.0)	(12,829.9)	-	-	-	-	-	-
Total	**2,425.2**	**23,465.7**	**1,711.0**	**1,729.6**	**4,136.2**	**25,195.3**	**-**	**-**
CONSUMPTION/USE TAXES								
Sales and Use	756.6	5,203.8	105.1	1,075.6	756.1	9,594.6	-	-
Auto Rental	-	-	-	24.0	-	-	0.2	84.3
Cigarette/Tobacco Products	22.4	244.7	54.3	532.4	-	-	-	-
Cannabis	-	-	1.1	10.0	-	-	-	-
Motor Fuel	-	-	5.1	21.8	-	-	20.0	80.5
Peer-to-Peer Car Sharing	-	0.3	-	0.1	-	-	-	-
Alcoholic Beverage	30.5	246.8	-	-	-	-	-	-
Highway Use	-	-	-	0.5	-	-	18.9	119.1
Vapor Excise	-	-	-	18.9	-	-	-	-
Opioid Excise	6.3	25.9	-	-	-	-	-	-
Total	**815.8**	**5,721.5**	**165.6**	**1,683.3**	**756.1**	**9,594.6**	**39.1**	**283.9**
BUSINESS TAXES								
Corporation Franchise	298.2	5,747.3	87.6	1,354.3	-	-	-	-
Corporation and Utilities	(1.1)	256.0	(0.3)	69.3	-	-	-	6.8
Insurance	(13.9)	1,497.0	24.1	197.8	-	-	-	-
Bank	-	(3.3)	(0.1)	(0.9)	-	-	-	-
Pass-Through Entity	284.7	5,145.1	-	-	284.7	5,145.1	-	-
Petroleum Business	-	-	37.5	401.3	-	-	47.7	513.2
Total	**567.9**	**12,642.1**	**148.8**	**2,021.8**	**284.7**	**5,145.1**	**47.7**	**520.0**
OTHER TAXES								
Real Property Gains	-	-	-	-	-	-	-	-
Estate and Gift	68.0	1,780.2	-	-	-	-	-	-
Pari-Mutuel	0.5	12.1	-	-	-	-	-	-
Real Estate Transfer	-	-	-	-	66.4	1,100.1	25.8	205.9
Racing and Combative Sports	0.1	2.0	-	-	-	-	-	-
Employer Compensation Expense Tax	1.2	3.2	-	-	1.2	3.2	-	-
Total	**69.8**	**1,797.5**	**-**	**-**	**67.6**	**1,103.3**	**25.8**	**205.9**
Total Tax Receipts	**$ 3,878.7**	**$ 43,626.8**	**$ 2,025.4**	**$ 5,434.7**	**$ 5,244.6**	**$ 41,038.3**	**$ 112.6**	**$ 1,009.8**

	TOTAL GOVERNMENTAL FUNDS				YEAR OVER YEAR	
	MONTH OF JAN. 2023	10 MOS. ENDED JAN. 31, 2023	MONTH OF JAN. 2022	10 MOS. ENDED JAN. 31, 2022	$ Increase/ (Decrease)	% Increase/ Decrease
PERSONAL INCOME TAX						
Withholdings	$ 5,601.0	$ 40,386.7	$ 5,740.0	$ 40,470.1	$ (83.4)	-0.2%
Estimated Payments	2,520.2	18,200.9	4,677.2	21,232.9	(3,032.0)	-14.3%
Returns	28.3	5,092.8	51.9	4,189.9	902.9	21.5%
State/City Offsets	11.3	(1,851.1)	(79.7)	(940.5)	910.6	96.8%
Other (Assessments/LLC)	133.6	1,391.2	145.1	1,167.4	223.8	19.2%
Gross Receipts	**8,294.4**	**63,220.5**	**10,534.5**	**66,119.8**	**(2,899.3)**	**-4.4%**
Transfers to School Tax Relief Fund	-	-	-	-	-	0.0%
Transfers to Revenue Bond Tax Fund	-	-	-	-	-	0.0%
Less: Refunds Issued	(22.0)	(12,829.9)	(101.5)	(6,647.8)	6,182.1	93.0%
Total	**8,272.4**	**50,390.6**	**10,433.0**	**59,472.0**	**(9,081.4)**	**-15.3%**
CONSUMPTION/USE TAXES						
Sales and Use	1,617.8	15,874.0	1,483.9	14,692.7	1,181.3	8.0%
Auto Rental	0.2	108.3	-	87.0	21.3	24.5%
Cigarette/Tobacco Products	76.7	777.1	68.5	839.9	(62.8)	-7.5%
Cannabis	1.1	10.0	1.1	11.1	(1.1)	-9.9%
Motor Fuel	25.1	102.3	30.4	411.5	(309.2)	-75.1%
Peer-to-Peer Car Sharing	-	0.4	-	-	0.4	100.0%
Alcoholic Beverage	30.5	246.8	30.5	245.3	1.5	0.6%
Highway Use	18.9	119.6	11.3	123.3	(3.7)	-3.0%
Vapor Excise	-	18.9	0.1	22.7	(3.8)	-16.7%
Opioid Excise	6.3	25.9	9.0	28.5	(2.6)	-9.1%
Total	**1,776.6**	**17,283.3**	**1,634.8**	**16,462.0**	**821.3**	**5.0%**
BUSINESS TAXES						
Corporation Franchise	385.8	7,101.6	226.2	5,690.6	1,411.0	24.8%
Corporation and Utilities	(1.4)	332.1	(1.0)	332.8	(0.7)	-0.2%
Insurance	10.2	1,694.8	17.3	1,528.2	166.6	10.9%
Bank	(0.1)	(4.2)	-	16.2	(20.4)	-125.9%
Pass-Through Entity	569.4	10,290.2	897.3	11,060.7	(770.5)	-7.0%
Petroleum Business	85.2	914.5	86.6	873.0	41.5	4.8%
Total	**1,049.1**	**20,329.0**	**1,226.4**	**19,501.5**	**827.5**	**4.2%**
OTHER TAXES						
Real Property Gains	-	-	-	-	-	0.0%
Estate and Gift	68.0	1,780.2	123.6	1,168.5	611.7	52.3%
Pari-Mutuel	0.5	12.1	0.8	12.1	-	0.0%
Real Estate Transfer	92.2	1,306.0	179.1	1,376.6	(70.6)	-5.1%
Racing and Combative Sports	0.1	2.0	0.1	1.4	0.6	42.9%
Employer Compensation Expense Tax	2.4	6.4	5.1	12.3	(5.9)	-48.0%
Total	**163.2**	**3,106.7**	**308.7**	**2,570.9**	**535.8**	**20.8%**
Total Tax Receipts	**$ 11,261.3**	**$ 91,109.6**	**$ 13,602.9**	**$ 98,006.4**	**$ (6,896.8)**	**-7.0%**

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STATE OF NEW YORK
GOVERNMENTAL FUNDS (*)
STATEMENT OF CASH FLOW
FISCAL YEAR 2022-2023
(amounts in millions)

	2022									2023			10 Months Ended January 31			
	APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	JANUARY	FEBRUARY	MARCH	2023	2022	$ Increase/ (Decrease)	% Increase/ Decrease
Beginning Fund Balance	$ 53,549.0	$ 67,121.3	$ 63,343.7	$ 68,649.2	$ 68,003.5	$ 67,068.6	$ 74,088.7	$ 70,893.2	$ 67,547.9	$ 72,629.7			$ 53,549.0	$ 18,751.1	$ 34,797.9	185.6%
RECEIPTS:																
Taxes:																
Personal Income Tax:																
Withholdings	3,733.2	3,632.0	4,010.6	3,513.9	3,959.7	3,486.4	3,447.2	4,016.3	4,986.4	5,601.0			40,386.7	40,470.1	(83.4)	-0.2%
Estimated Payments	10,927.5	152.8	1,846.3	131.5	134.3	1,985.3	157.1	97.8	248.1	2,520.2			18,200.9	21,232.9	(3,032.0)	-14.3%
Returns	3,269.8	174.9	103.8	67.5	85.5	114.1	1,125.0	87.5	36.4	28.3			5,092.8	4,189.9	902.9	21.5%
State/City Offsets	(502.0)	(39.1)	(50.4)	(20.8)	(44.0)	(90.2)	(937.1)	(157.5)	(21.3)	11.3			(1,851.1)	(940.5)	910.6	96.8%
Other (Assessments/LLC)	220.6	161.8	110.1	128.2	113.7	124.6	119.5	136.5	142.6	133.6			1,391.2	1,167.4	223.8	19.2%
Gross Receipts	**17,649.1**	**4,082.4**	**6,020.4**	**3,820.3**	**4,249.2**	**5,620.2**	**3,911.7**	**4,180.6**	**5,392.2**	**8,294.4**	**-**	**-**	**63,220.5**	**66,119.8**	**(2,899.3)**	**-4.4%**
Transfers to School Tax Relief Fund	-	-	-	-	-	-	-	-	-	-			-	-	-	0.0%
Transfers to Revenue Bond Tax Fund	-	-	-	-	-	-	-	-	-	-			-	-	-	0.0%
Refunds Issued	(2,927.5)	(1,358.2)	(1,827.3)	(728.6)	(660.4)	(761.0)	(1,968.5)	(1,465.3)	(1,111.1)	(22.0)			(12,829.9)	(6,647.8)	6,182.1	93.0%
Total Personal Income Tax	**14,721.6**	**2,724.2**	**4,193.1**	**3,091.7**	**3,588.8**	**4,859.2**	**1,943.2**	**2,715.3**	**4,281.1**	**8,272.4**	**-**	**-**	**50,390.6**	**59,472.0**	**(9,081.4)**	**-15.3%**
Consumption/Use Taxes:																
Sales and Use	1,378.7	1,397.2	1,881.7	1,474.8	1,429.6	1,892.9	1,496.2	1,465.7	1,839.4	1,617.8			15,874.0	14,692.7	1,181.3	8.0%
Auto Rental	11.2	0.1	27.5	-	0.1	38.8	-	-	30.4	0.2			108.3	87.0	21.3	24.5%
Cigarette/Tobacco Products	84.7	75.2	82.7	77.3	83.6	77.7	78.5	73.1	67.6	76.7			777.1	839.9	(62.8)	-7.5%
Cannabis	1.0	1.2	1.0	1.0	0.9	1.0	0.9	0.9	1.0	1.1			10.0	11.1	(1.1)	-9.9%
Motor Fuel	27.5	37.5	19.5	(1.3)	(1.0)	(1.7)	(1.3)	(2.0)	-	25.1			102.3	411.5	(309.2)	-75.1%
Peer-to-Peer Car Sharing	-	-	-	-	-	-	-	-	0.4	-			0.4	-	0.4	100.0%
Alcoholic Beverage	24.8	21.5	23.6	29.1	22.1	26.3	23.3	21.8	23.8	30.5			246.8	245.3	1.5	0.6%
Highway Use	12.0	10.5	9.5	11.7	11.5	9.9	13.2	11.2	11.2	18.9			119.6	123.3	(3.7)	-3.0%
Vapor Excise	(0.1)	0.1	6.2	-	0.1	6.3	0.1	-	6.2	-			18.9	22.7	(3.8)	-16.7%
Opioid Excise	6.4	1.0	(0.1)	6.1	-	-	6.1	0.1	-	6.3			25.9	28.5	(2.6)	-9.1%
Total Consumption/Use Taxes	**1,546.2**	**1,544.3**	**2,051.6**	**1,598.7**	**1,546.9**	**2,051.2**	**1,617.0**	**1,570.8**	**1,980.0**	**1,776.6**	**-**	**-**	**17,283.3**	**16,462.0**	**821.3**	**5.0%**
Business Taxes:																
Corporation Franchise	1,205.0	142.0	1,512.8	327.2	(66.6)	1,516.3	258.8	186.6	1,633.7	385.8			7,101.6	5,690.6	1,411.0	24.8%
Corporation and Utilities	9.8	1.2	93.9	3.4	1.7	83.8	5.4	35.2	99.1	(1.4)			332.1	332.8	(0.7)	-0.2%
Insurance	109.7	40.1	537.5	19.7	19.6	500.7	3.9	(6.0)	459.4	10.2			1,694.8	1,528.2	166.6	10.9%
Bank	-	-	-	(6.3)	-	0.2	2.0	-	-	(0.1)			(4.2)	16.2	(20.4)	-125.9%
Pass-Through Entity	181.2	(48.4)	2,780.4	(49.0)	87.8	3,361.1	(655.7)	30.2	4,033.2	569.4			10,290.2	11,060.7	(770.5)	-7.0%
Petroleum Business	84.7	89.6	95.8	88.7	94.0	103.2	88.2	89.8	95.3	85.2			914.5	873.0	41.5	4.8%
Total Business Taxes	**1,590.4**	**224.5**	**5,020.4**	**383.7**	**136.5**	**5,565.3**	**(297.4)**	**335.8**	**6,320.7**	**1,049.1**	**-**	**-**	**20,329.0**	**19,501.5**	**827.5**	**4.2%**
Other Taxes:																
Real Property Gains	-	-	-	-	-	-	-	-	-	-			-	-	-	0.0%
Estate and Gift	127.3	126.0	123.5	132.7	156.9	399.8	252.6	257.4	136.0	68.0			1,780.2	1,168.5	611.7	52.3%
Pari-Mutuel	1.5	1.1	0.6	1.9	2.0	1.9	0.9	0.9	0.8	0.5			12.1	12.1	-	0.0%
Real Estate Transfer	152.4	130.0	143.5	170.4	141.7	136.0	131.2	106.9	101.7	92.2			1,306.0	1,376.6	(70.6)	-5.1%
Racing and Combative Sports	-	0.2	0.1	0.2	0.2	-	0.2	1.0	-	0.1			2.0	1.4	0.6	42.9%
Employer Compensation Expense Tax	0.4	0.2	0.2	0.4	0.4	0.4	0.6	0.4	1.0	2.4			6.4	12.3	(5.9)	-48.0%
Total Other Taxes	**281.6**	**257.5**	**267.9**	**305.6**	**301.2**	**538.1**	**385.5**	**366.6**	**239.5**	**163.2**	**-**	**-**	**3,106.7**	**2,570.9**	**535.8**	**20.8%**
Total Taxes	**18,139.8**	**4,750.5**	**11,533.0**	**5,379.7**	**5,573.4**	**13,013.8**	**3,648.3**	**4,988.5**	**12,821.3**	**11,261.3**	**-**	**-**	**91,109.6**	**98,006.4**	**(6,896.8)**	**-7.0%**
Miscellaneous Receipts:																
Abandoned Property:																
Abandoned Property	1.9	0.9	1.0	0.8	11.3	101.0	31.1	131.0	1.1	30.9			311.0	345.3	(34.3)	-9.9%
Bottle Bill	0.2	0.2	25.0	10.0	0.1	34.9	1.1	0.2	21.6	2.1			95.4	101.9	(6.5)	-6.4%
Assessments:																
Business	140.6	45.5	44.4	100.2	63.8	89.1	59.0	(10.7)	115.8	117.2			764.9	705.1	59.8	8.5%
Medical Care	536.9	533.8	564.2	577.8	542.3	576.4	538.1	533.7	602.6	564.2			5,570.0	5,265.2	304.8	5.8%
Public Utilities	4.6	-	0.3	-	0.2	59.5	(0.2)	(0.2)	(0.4)	0.2			64.0	34.5	29.5	85.5%
Other	-	-	0.2	-	-	0.2	0.1	-	0.1	-			0.6	(0.2)	0.8	400.0%
Fees, Licenses and Permits:																
Alcohol Beverage Control Licensing	5.4	5.7	6.0	5.2	5.6	6.3	6.2	6.6	4.8	6.6			58.4	58.8	(0.4)	-0.7%
Audit Fees	-	0.2	1.3	0.4	0.2	0.1	-	0.1	0.1	-			2.4	2.5	(0.1)	-4.0%
Business/Professional	50.4	49.0	130.1	55.6	62.7	113.9	66.3	72.8	127.1	91.9			819.8	810.5	9.3	1.1%
Civil	8.1	32.8	50.1	(31.0)	46.7	13.3	19.7	25.7	14.6	25.4			205.4	226.3	(20.9)	-9.2%
Criminal	0.7	0.4	0.6	0.6	1.1	0.2	0.4	0.1	0.7	0.2			5.0	6.4	(1.4)	-21.9%
Motor Vehicle	94.4	99.4	115.2	87.7	105.3	82.2	119.2	101.7	93.5	86.8			985.4	1,099.2	(113.8)	-10.4%
Recreational/Consumer	40.4	87.9	80.7	117.2	86.2	147.9	78.9	97.5	66.2	115.1			918.0	860.9	57.1	6.6%
Fines, Penalties and Forfeitures	31.0	19.3	43.9	33.3	69.6	35.2	35.7	38.2	74.4	69.6			450.2	376.5	73.7	19.6%
Gaming:																
Casino	39.6	11.5	41.1	35.7	18.2	42.8	36.3	19.8	41.5	35.6			322.1	228.1	94.0	41.2%
Lottery	186.3	189.6	232.8	191.7	248.7	176.5	184.6	285.2	179.2	222.3			2,096.9	2,076.5	20.4	1.0%
Mobile Sports	43.6	53.4	38.6	33.5	53.6	67.7	67.1	92.0	63.5	81.3			594.3	246.6	347.7	141.0%
Video Lottery	73.4	71.3	90.9	79.4	98.8	77.3	77.4	95.4	68.5	88.5			820.9	829.7	(8.8)	-1.1%
Interest Earnings	15.0	23.4	46.4	63.2	91.9	117.6	151.1	197.7	221.0	264.5			1,191.8	48.0	1,143.8	2,382.9%
Receipts from Municipalities	7.2	2.1	6.4	3.4	76.5	4.8	3.2	5.1	5.6	2.6			116.9	40.0	76.9	192.3%
Receipts from Public Authorities:																
Bond Proceeds	882.7	972.6	513.0	51.5	271.9	683.1	487.6	351.5	587.0	-			4,800.9	2,354.7	2,446.2	103.9%
Cost Recovery Assessments	14.2	-	-	12.7	-	-	8.9	-	-	0.1			35.9	23.0	12.9	56.1%

STATE OF NEW YORK
GOVERNMENTAL FUNDS (*)
STATEMENT OF CASH FLOW
FISCAL YEAR 2022-2023
(amounts in millions)

	2022									2023			10 Months Ended January 31			
	APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	JANUARY	FEBRUARY	MARCH	2023	2022	$ Increase/ (Decrease)	% Increase/ Decrease
Issuance Fees	2.8	3.7	4.0	27.5	1.8	-	13.4	0.3	0.7	7.3			61.5	77.7	(16.2)	-20.8%
Non Bond Related	5.3	11.1	5.2	9.4	5.4	(0.3)	7.9	-	22.3	5.4			71.7	61.8	9.9	16.0%
Rentals	36.0	31.3	23.2	3.6	13.1	(0.7)	2.7	69.7	20.1	23.2			222.2	335.0	(112.8)	-33.7%
Revenues of State Departments:																
Administrative Recoveries	32.4	9.1	20.3	24.7	9.3	23.9	23.3	8.3	22.7	8.1			182.1	218.7	(36.6)	-16.7%
Commissions	6.9	-	0.4	-	0.1	0.7	0.6	0.1	-	0.1			8.9	21.4	(12.5)	-58.4%
Commissions - Asset Conversion	-	-	-	-	-	-	-	-	68.0	-			68.0	228.0	(160.0)	-70.2%
Gifts, Grants and Donations	2.3	2.1	1.2	1.2	0.8	2.2	2.1	1.3	36.7	0.5			50.4	39.5	10.9	27.6%
Indirect Cost Recoveries	11.0	10.5	20.9	14.2	16.6	18.2	6.1	16.8	29.3	20.0			163.6	117.8	45.8	38.9%
Patient/Client Care Reimbursement	268.2	234.7	243.8	227.0	163.9	356.2	237.5	247.7	347.7	241.3			2,568.0	2,431.0	137.0	5.6%
Rebates	9.6	10.3	14.5	16.4	8.0	12.7	13.9	7.6	11.3	12.9			117.2	121.0	(3.8)	-3.1%
Restitution and Settlements	8.0	4.2	0.6	1.4	94.1	0.9	68.6	41.6	1.0	15.8			236.2	74.6	161.6	216.6%
Student Loans	1.9	1.4	1.7	1.4	(0.2)	1.2	1.3	1.3	1.8	19.3			31.1	20.8	10.3	49.5%
All Other	109.5	68.3	76.6	50.6	47.5	84.4	50.1	40.8	78.2	9.0			615.0	716.9	(101.9)	-14.2%
Sales	0.7	1.6	2.1	2.3	1.4	1.1	1.3	0.5	1.6	0.9			13.5	28.7	(15.2)	-53.0%
Tuition	36.7	(26.1)	54.1	25.4	98.2	415.5	96.7	71.0	(25.6)	246.1			992.0	862.5	129.5	15.0%
Total Miscellaneous Receipts	**2,707.9**	**2,561.2**	**2,500.8**	**1,834.0**	**2,314.7**	**3,346.0**	**2,497.3**	**2,550.4**	**2,904.3**	**2,415.0**	**-**	**-**	**25,631.6**	**21,094.9**	**4,536.7**	**21.5%**
Federal Receipts	6,751.7	7,503.3	8,221.0	5,686.8	6,203.5	8,820.7	5,509.5	5,427.4	11,287.7	7,493.0			72,904.6	81,654.2	(8,749.6)	-10.7%
Total Receipts	**27,599.4**	**14,815.0**	**22,254.8**	**12,900.5**	**14,091.6**	**25,180.5**	**11,655.1**	**12,966.3**	**27,013.3**	**21,169.3**	**-**	**-**	**189,645.8**	**200,755.5**	**(11,109.7)**	**-5.5%**
DISBURSEMENTS:																
Local Assistance Grants:																
Education	2,000.1	5,113.4	3,018.8	1,613.3	1,251.2	5,109.7	1,647.5	2,867.5	5,666.7	3,424.0			31,712.2	28,568.1	3,144.1	11.0%
Environment and Recreation	4.4	9.1	11.6	14.8	148.2	8.2	14.2	13.3	6.6	77.9			308.3	302.6	5.7	1.9%
General Government	155.2	200.2	486.1	464.7	136.5	220.0	116.5	85.6	359.9	65.5			2,290.2	2,030.0	260.2	12.8%
Public Health:																
Medicaid	7,264.3	6,366.9	6,784.9	5,959.1	6,767.7	5,742.9	6,924.1	7,519.7	7,328.4	6,771.0			67,429.0	59,665.4	7,763.6	13.0%
Other Public Health	637.8	825.4	1,502.9	823.0	931.6	1,284.4	1,059.9	943.1	1,464.4	973.9			10,446.4	9,720.5	725.9	7.5%
Public Safety	93.1	134.3	235.5	106.1	269.6	149.2	283.4	152.0	976.5	229.0			2,628.7	2,098.5	530.2	25.3%
Public Welfare	592.2	804.9	1,016.3	1,183.0	809.8	885.0	897.6	517.7	1,161.2	567.4			8,435.1	11,221.4	(2,786.3)	-24.8%
Support and Regulate Business	25.6	32.8	104.5	241.2	274.0	28.5	142.9	161.7	152.2	33.6			1,197.0	1,348.7	(151.7)	-11.2%
Transportation	96.0	659.2	444.0	391.1	582.1	510.0	428.2	739.4	1,363.7	122.0			5,335.7	5,928.1	(592.4)	-10.0%
Total Local Assistance Grants	**10,868.7**	**14,146.2**	**13,604.6**	**10,796.3**	**11,170.7**	**13,937.9**	**11,514.3**	**13,000.0**	**18,479.6**	**12,264.3**	**-**	**-**	**129,782.6**	**120,883.3**	**8,899.3**	**7.4%**
Departmental Operations:																
Personal Service	1,209.1	1,153.2	1,316.2	1,171.3	1,579.0	1,151.2	1,302.9	1,252.2	1,391.6	1,203.0			12,729.7	12,432.0	297.7	2.4%
Non-Personal Service	468.7	627.9	652.9	441.1	678.6	687.7	586.1	711.3	611.9	703.4			6,169.6	6,782.2	(612.6)	-9.0%
General State Charges	872.0	2,093.8	486.7	581.9	643.5	555.3	691.1	559.5	682.1	754.1			7,920.0	8,485.0	(565.0)	-6.7%
Debt Service, Including Payments on Financing Agreements	115.8	29.5	46.7	8.0	164.3	1,061.1	2.4	12.8	82.7	1.3			1,524.6	1,492.5	32.1	2.2%
Capital Projects	489.3	537.1	807.5	545.7	779.9	762.7	751.7	765.6	682.2	614.8			6,736.5	6,062.4	674.1	11.1%
Total Disbursements	**14,023.6**	**18,587.7**	**16,914.6**	**13,544.3**	**15,016.0**	**18,155.9**	**14,848.5**	**16,301.4**	**21,930.1**	**15,540.9**	**-**	**-**	**164,863.0**	**156,137.4**	**8,725.6**	**5.6%**
Excess (Deficiency) of Receipts over Disbursements	**13,575.8**	**(3,772.7)**	**5,340.2**	**(643.8)**	**(924.4)**	**7,024.6**	**(3,193.4)**	**(3,335.1)**	**5,083.2**	**5,628.4**	**-**	**-**	**24,782.8**	**44,618.1**	**(19,835.3)**	**-44.5%**
OTHER FINANCING SOURCES (USES):																
Bond and Note Proceeds (net)	-	-	-	-	-	-	-	-	-	-			-	-	-	0.0%
Transfers from Other Funds	8,827.0	2,653.6	5,907.6	3,304.6	2,586.4	6,148.0	2,437.6	2,749.2	5,283.3	3,467.5			43,364.8	50,190.8	(6,826.0)	-13.6%
Transfers to Other Funds	(8,830.5)	(2,658.5)	(5,942.3)	(3,306.5)	(2,596.9)	(6,152.5)	(2,439.7)	(2,759.4)	(5,284.7)	(3,470.1)			(43,441.1)	(50,277.5)	(6,836.4)	-13.6%
Total Other Financing Sources (Uses)	**(3.5)**	**(4.9)**	**(34.7)**	**(1.9)**	**(10.5)**	**(4.5)**	**(2.1)**	**(10.2)**	**(1.4)**	**(2.6)**	**-**	**-**	**(76.3)**	**(86.7)**	**10.4**	**12.0%**
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	**13,572.3**	**(3,777.6)**	**5,305.5**	**(645.7)**	**(934.9)**	**7,020.1**	**(3,195.5)**	**(3,345.3)**	**5,081.8**	**5,625.8**	**-**	**-**	**24,706.5**	**44,531.4**	**(19,824.9)**	**-44.5%**
Ending Fund Balance	**$ 67,121.3**	**$ 63,343.7**	**$ 68,649.2**	**$ 68,003.5**	**$ 67,068.6**	**$ 74,088.7**	**$ 70,893.2**	**$ 67,547.9**	**$ 72,629.7**	**$ 78,255.5**	**$ -**	**$ -**	**$ 78,255.5**	**$ 63,282.5**	**$ 14,973.0**	**23.7%**

(*) Governmental Funds includes General, Special Revenue, Debt Service and Capital Projects Funds combined.

STATE OF NEW YORK
GOVERNMENTAL FUNDS
STATEMENT OF CASH FLOW - STATE OPERATING (*)
FISCAL YEAR 2022-2023
(amounts in millions)

	2022									2023			10 Months Ended January 31			
	APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	JANUARY	FEBRUARY	MARCH	2023	2022	$ Increase/ (Decrease)	% Increase/ Decrease
Beginning Fund Balance	$ 40,767.2	$ 54,487.5	$ 49,385.6	$ 53,898.0	$ 54,451.7	$ 54,213.8	$ 58,499.3	$ 55,519.8	$ 53,865.7	$ 58,296.5			$ 40,767.2	$ 14,934.4	$ 25,832.8	173.0%
RECEIPTS:																
Taxes:																
Personal Income Tax:																
Withholdings	3,733.2	3,632.0	4,010.6	3,513.9	3,959.7	3,486.4	3,447.2	4,016.3	4,986.4	5,601.0			40,386.7	40,470.1	(83.4)	-0.2%
Estimated Payments	10,927.5	152.8	1,846.3	131.5	134.3	1,985.3	157.1	97.8	248.1	2,520.2			18,200.9	21,232.9	(3,032.0)	-14.3%
Returns	3,269.8	174.9	103.8	67.5	85.5	114.1	1,125.0	87.5	36.4	28.3			5,092.8	4,189.9	902.9	21.5%
State/City Offsets	(502.0)	(39.1)	(50.4)	(20.8)	(44.0)	(90.2)	(937.1)	(157.5)	(21.3)	11.3			(1,851.1)	(940.5)	910.6	96.8%
Other (Assessments/LLC)	220.6	161.8	110.1	128.2	113.7	124.6	119.5	136.5	142.6	133.6			1,391.2	1,167.4	223.8	19.2%
Gross Receipts	**17,649.1**	**4,082.4**	**6,020.4**	**3,820.3**	**4,249.2**	**5,620.2**	**3,911.7**	**4,180.6**	**5,392.2**	**8,294.4**	**-**	**-**	**63,220.5**	**66,119.8**	**(2,899.3)**	**-4.4%**
Transfers to School Tax Relief Fund	-	-	-	-	-	-	-	-	-	-			-	-	-	0.0%
Transfers to Revenue Bond Tax Fund	-	-	-	-	-	-	-	-	-	-			-	-	-	0.0%
Refunds Issued	(2,927.5)	(1,358.2)	(1,827.3)	(728.6)	(660.4)	(761.0)	(1,968.5)	(1,465.3)	(1,111.1)	(22.0)			(12,829.9)	(6,647.8)	6,182.1	93.0%
Total Personal Income Tax	**14,721.6**	**2,724.2**	**4,193.1**	**3,091.7**	**3,588.8**	**4,859.2**	**1,943.2**	**2,715.3**	**4,281.1**	**8,272.4**	**-**	**-**	**50,390.6**	**59,472.0**	**(9,081.4)**	**-15.3%**
Consumption/Use Taxes:																
Sales and Use	1,378.7	1,397.2	1,881.7	1,474.8	1,429.6	1,892.9	1,496.2	1,465.7	1,839.4	1,617.8			15,874.0	14,692.7	1,181.3	8.0%
Auto Rental	1.8	-	6.3	-	-	8.9	-	-	7.0	-			24.0	18.7	5.3	28.3%
Cigarette/Tobacco Products	84.7	75.2	82.7	77.3	83.6	77.7	78.5	73.1	67.6	76.7			777.1	839.9	(62.8)	-7.5%
Cannabis	1.0	1.2	1.0	1.0	0.9	1.0	0.9	0.9	1.0	1.1			10.0	11.1	(1.1)	-9.9%
Motor Fuel	6.0	8.0	4.3	(0.3)	(0.3)	(0.3)	(0.3)	(0.4)	-	5.1			21.8	87.7	(65.9)	-75.1%
Peer-to-Peer Car Sharing	-	-	-	-	-	-	-	-	0.4	-			0.4	-	0.4	100.0%
Alcoholic Beverage	24.8	21.5	23.6	29.1	22.1	26.3	23.3	21.8	23.8	30.5			246.8	245.3	1.5	0.6%
Highway Use	0.1	-	0.1	-	0.1	-	0.1	-	0.1	-			0.5	1.7	(1.2)	-70.6%
Vapor Excise	(0.1)	0.1	6.2	-	0.1	6.3	0.1	-	6.2	-			18.9	22.7	(3.8)	-16.7%
Opioid Excise	6.4	1.0	(0.1)	6.1	-	-	6.1	0.1	-	6.3			25.9	28.5	(2.6)	-9.1%
Total Consumption/Use Taxes	**1,503.4**	**1,504.2**	**2,005.8**	**1,588.0**	**1,536.1**	**2,012.8**	**1,604.9**	**1,561.2**	**1,945.5**	**1,737.5**	**-**	**-**	**16,999.4**	**15,948.3**	**1,051.1**	**6.6%**
Business Taxes:																
Corporation Franchise	1,205.0	142.0	1,512.8	327.2	(66.6)	1,516.3	258.8	186.6	1,633.7	385.8			7,101.6	5,690.6	1,411.0	24.8%
Corporation and Utilities	8.2	1.2	92.1	3.2	1.6	82.8	5.2	34.7	97.7	(1.4)			325.3	327.0	(1.7)	-0.5%
Insurance	109.7	40.1	537.5	19.7	19.6	500.7	3.9	(6.0)	459.4	10.2			1,694.8	1,528.2	166.6	10.9%
Bank	-	-	-	(6.3)	-	0.2	2.0	-	-	(0.1)			(4.2)	16.2	(20.4)	-125.9%
Pass-Through Entity	181.2	(48.4)	2,780.4	(49.0)	87.8	3,361.1	(655.7)	30.2	4,033.2	569.4			10,290.2	11,060.7	(770.5)	-7.0%
Petroleum Business	37.2	39.4	41.9	39.1	41.3	45.1	38.7	39.5	41.6	37.5			401.3	384.1	17.2	4.5%
Total Business Taxes	**1,541.3**	**174.3**	**4,964.7**	**333.9**	**83.7**	**5,506.2**	**(347.1)**	**285.0**	**6,265.6**	**1,001.4**	**-**	**-**	**19,809.0**	**19,006.8**	**802.2**	**4.2%**
Other Taxes:																
Real Property Gains	-	-	-	-	-	-	-	-	-	-			-	-	-	0.0%
Estate and Gift	127.3	126.0	123.5	132.7	156.9	399.8	252.6	257.4	136.0	68.0			1,780.2	1,168.5	611.7	52.3%
Pari-Mutuel	1.5	1.1	0.6	1.9	2.0	1.9	0.9	0.9	0.8	0.5			12.1	12.1	-	0.0%
Real Estate Transfer	152.4	130.0	117.8	144.6	116.0	110.2	105.5	81.2	76.0	66.4			1,100.1	1,281.3	(181.2)	-14.1%
Racing and Combative Sports	-	0.2	0.1	0.2	0.2	-	0.2	1.0	-	0.1			2.0	1.4	0.6	42.9%
Employer Compensation Expense Tax	0.4	0.2	0.2	0.4	0.4	0.4	0.6	0.4	1.0	2.4			6.4	12.3	(5.9)	-48.0%
Total Other Taxes	**281.6**	**257.5**	**242.2**	**279.8**	**275.5**	**512.3**	**359.8**	**340.9**	**213.8**	**137.4**	**-**	**-**	**2,900.8**	**2,475.6**	**425.2**	**17.2%**
Total Taxes	**18,047.9**	**4,660.2**	**11,405.8**	**5,293.4**	**5,484.1**	**12,890.5**	**3,560.8**	**4,902.4**	**12,706.0**	**11,148.7**	**-**	**-**	**90,099.8**	**96,902.7**	**(6,802.9)**	**-7.0%**
Miscellaneous Receipts:																
Abandoned Property:																
Abandoned Property	1.9	0.9	1.0	0.8	11.3	101.0	31.1	131.0	1.1	30.9			311.0	345.3	(34.3)	-9.9%
Bottle Bill	0.2	0.2	2.0	10.0	0.1	34.9	1.1	0.2	21.6	2.1			72.4	78.9	(6.5)	-8.2%
Assessments:																
Business	128.2	(1.2)	38.6	92.2	47.0	83.2	51.6	(24.0)	110.5	110.5			636.6	574.0	62.6	10.9%
Medical Care	536.9	533.8	564.2	577.8	542.3	576.4	538.1	533.7	602.6	564.2			5,570.0	5,265.2	304.8	5.8%
Public Utilities	4.6	-	0.3	-	0.2	59.5	(0.2)	(0.2)	(0.4)	0.2			64.0	34.5	29.5	85.5%
Other	-	-	0.2	-	-	0.2	0.1	-	0.1	-			0.6	(0.2)	0.8	400.0%
Fees, Licenses and Permits:																
Alcohol Beverage Control Licensing	5.4	5.7	6.0	5.2	5.6	6.3	6.2	6.6	4.8	6.6			58.4	58.8	(0.4)	-0.7%
Audit Fees	-	0.2	1.3	0.4	0.2	0.1	-	0.1	0.1	-			2.4	2.5	(0.1)	-4.0%
Business/Professional	47.1	47.8	128.7	54.6	60.9	111.5	58.3	70.2	124.4	89.0			792.5	781.0	11.5	1.5%
Civil	8.1	32.8	50.1	(31.0)	46.7	13.3	19.7	25.7	14.6	25.4			205.4	226.3	(20.9)	-9.2%
Criminal	0.7	0.4	0.6	0.6	1.1	0.2	0.4	0.1	0.7	0.2			5.0	6.4	(1.4)	-21.9%
Motor Vehicle	34.4	42.4	59.3	28.8	50.5	28.0	61.8	54.8	35.5	31.5			427.0	493.3	(66.3)	-13.4%
Recreational/Consumer	39.0	80.9	79.2	111.9	80.7	146.4	76.2	97.5	63.1	115.1			890.0	833.1	56.9	6.8%
Fines, Penalties and Forfeitures	28.5	16.3	41.5	31.5	66.9	32.8	32.8	36.1	71.7	66.9			425.0	352.3	72.7	20.6%
Gaming:																
Casino	39.6	11.5	41.1	35.7	18.2	42.8	36.3	19.8	41.5	35.6			322.1	228.1	94.0	41.2%
Lottery	186.3	189.6	232.8	191.7	248.7	176.5	184.6	285.2	179.2	222.3			2,096.9	2,076.5	20.4	1.0%
Mobile Sports	43.6	53.4	38.6	33.5	53.6	67.7	67.1	92.0	63.5	81.3			594.3	246.6	347.7	141.0%
Video Lottery	73.4	71.3	90.9	79.4	98.8	77.3	77.4	95.4	68.5	88.5			820.9	829.7	(8.8)	-1.1%
Interest Earnings	11.4	17.7	35.7	48.5	70.7	90.8	117.8	151.9	169.4	202.5			916.4	39.2	877.2	2,237.8%
Receipts from Municipalities	7.2	1.9	6.1	3.4	76.5	4.7	3.2	5.1	5.6	2.6			116.3	39.7	76.6	192.9%

STATE OF NEW YORK
GOVERNMENTAL FUNDS
STATEMENT OF CASH FLOW - STATE OPERATING (*)
FISCAL YEAR 2022-2023
(amounts in millions)

	2022									2023			10 Months Ended January 31			
	APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	JANUARY	FEBRUARY	MARCH	2023	2022	$ Increase/ (Decrease)	% Increase/ Decrease
Receipts from Public Authorities:																
Bond Proceeds	-	-	-	-	-	-	-	-	-	-			-	-	-	0.0%
Cost Recovery Assessments	14.2	-	-	12.7	-	-	8.9	-	-	0.1			35.9	23.0	12.9	56.1%
Issuance Fees	2.8	3.7	4.0	27.5	1.8	-	13.4	0.3	0.7	7.3			61.5	77.7	(16.2)	-20.8%
Non Bond Related	4.9	11.2	3.9	9.4	4.6	0.1	7.9	-	13.0	4.8			59.8	50.1	9.7	19.4%
Rentals	33.4	20.7	21.4	0.9	10.9	(1.7)	0.9	67.7	19.0	22.0			195.2	314.5	(119.3)	-37.9%
Revenues of State Departments:																
Administrative Recoveries	32.4	9.1	20.3	24.7	9.3	23.9	23.3	8.3	22.7	8.1			182.1	218.7	(36.6)	-16.7%
Commissions	6.9	-	0.4	-	0.1	0.7	0.6	0.1	-	0.1			8.9	21.4	(12.5)	-58.4%
Commissions - Asset Conversion	-	-	-	-	-	-	-	-	68.0	-			68.0	228.0	(160.0)	-70.2%
Gifts, Grants and Donations	2.3	0.6	-	0.3	0.5	0.2	0.1	0.4	35.2	0.3			39.9	17.9	22.0	122.9%
Indirect Cost Recoveries	5.3	6.3	9.8	5.7	6.8	8.1	6.4	9.3	6.2	7.7			71.6	70.5	1.1	1.6%
Patient/Client Care Reimbursement	268.2	234.7	243.8	227.0	163.9	356.2	237.5	247.7	347.7	241.3			2,568.0	2,431.0	137.0	5.6%
Rebates	2.4	2.0	6.9	8.8	1.0	5.2	6.3	0.1	3.8	5.5			42.0	50.4	(8.4)	-16.7%
Restitution and Settlements	7.5	1.2	0.4	1.0	93.9	0.8	68.0	37.5	0.8	15.7			226.8	49.4	177.4	359.1%
Student Loans	1.9	1.4	1.7	1.4	(0.2)	1.2	1.3	1.3	1.8	19.3			31.1	20.8	10.3	49.5%
All Other	101.9	66.4	75.3	49.1	46.4	83.0	47.0	37.4	77.4	8.4			592.3	676.3	(84.0)	-12.4%
Sales	0.7	1.4	2.1	2.3	1.4	1.0	1.3	0.5	1.6	0.9			13.2	22.1	(8.9)	-40.3%
Tuition	36.7	(26.1)	54.1	25.4	98.2	415.5	96.7	71.0	(25.6)	246.1			992.0	862.5	129.5	15.0%
Total Miscellaneous Receipts	**1,718.0**	**1,438.2**	**1,862.3**	**1,671.2**	**1,918.6**	**2,547.8**	**1,883.2**	**2,062.8**	**2,150.4**	**2,263.0**	**-**	**-**	**19,515.5**	**17,645.5**	**1,870.0**	**10.6%**
Federal Receipts	-	0.2	11.9	3.4	36.5	0.2	-	0.6	0.2	8.6			61.6	62.3	(0.7)	-1.1%
Total Receipts	**19,765.9**	**6,098.6**	**13,280.0**	**6,968.0**	**7,439.2**	**15,438.5**	**5,444.0**	**6,965.8**	**14,856.6**	**13,420.3**	**-**	**-**	**109,676.9**	**114,610.5**	**(4,933.6)**	**-4.3%**
DISBURSEMENTS:																
Local Assistance Grants:																
Education	1,436.0	4,347.1	2,612.4	964.0	753.4	4,933.1	1,415.9	2,403.4	2,666.3	2,996.5			24,528.1	23,216.5	1,311.6	5.6%
Environment and Recreation	0.1	0.2	1.6	0.1	0.3	-	0.4	3.2	0.5	0.3			6.7	9.8	(3.1)	-31.6%
General Government	141.8	72.0	430.1	48.0	84.6	136.1	51.8	49.7	208.8	26.9			1,249.8	1,090.7	159.1	14.6%
Public Health:																
Medicaid	2,492.5	2,394.4	2,389.6	2,008.3	2,387.0	2,120.3	2,805.1	2,617.8	2,822.5	2,937.9			24,975.4	20,825.2	4,150.2	19.9%
Other Public Health	134.3	200.6	579.0	147.3	227.9	468.9	305.1	220.5	541.6	213.6			3,038.8	2,899.0	139.8	4.8%
Public Safety	23.5	30.0	21.4	39.6	39.5	47.7	38.5	42.3	38.0	51.1			371.6	393.4	(21.8)	-5.5%
Public Welfare	102.1	218.8	303.7	408.6	396.8	331.9	240.9	198.3	404.3	201.1			2,806.5	4,408.6	(1,602.1)	-36.3%
Support and Regulate Business	8.5	11.8	22.7	138.1	246.9	18.6	115.9	102.9	58.9	19.1			743.4	772.2	(28.8)	-3.7%
Transportation	57.1	593.6	332.9	357.8	532.2	335.0	382.6	678.7	1,024.4	78.1			4,372.4	3,609.7	762.7	21.1%
Total Local Assistance Grants	4,395.9	7,868.5	6,693.4	4,111.8	4,668.6	8,391.6	5,356.2	6,316.8	7,765.3	6,524.6	-	-	62,092.7	57,225.1	4,867.6	8.5%
Departmental Operations:																
Personal Service	1,155.5	1,098.7	1,259.2	1,122.6	1,496.0	1,096.1	1,242.7	1,199.6	1,337.2	1,148.0			12,155.6	10,771.1	1,384.5	12.9%
Non-Personal Service	388.5	458.4	492.2	370.5	540.8	498.7	490.7	590.1	484.2	579.3			4,893.4	4,612.1	281.3	6.1%
General State Charges	847.4	2,060.2	446.1	556.5	611.1	518.7	661.8	514.2	654.8	724.6			7,595.4	7,545.3	50.1	0.7%
Debt Service, Including Payments on Financing Agreements	115.8	29.5	46.7	8.0	164.3	1,061.1	2.4	12.8	82.7	1.3			1,524.6	1,450.2	74.4	5.1%
Capital Projects	-	-	-	-	-	-	-	-	-	-			-	-	-	0.0%
Total Disbursements	**6,903.1**	**11,515.3**	**8,937.6**	**6,169.4**	**7,480.8**	**11,566.2**	**7,753.8**	**8,633.5**	**10,324.2**	**8,977.8**	**-**	**-**	**88,261.7**	**81,603.8**	**6,657.9**	**8.2%**
Excess (Deficiency) of Receipts over Disbursements	**12,862.8**	**(5,416.7)**	**4,342.4**	**798.6**	**(41.6)**	**3,872.3**	**(2,309.8)**	**(1,667.7)**	**4,532.4**	**4,442.5**	**-**	**-**	**21,415.2**	**33,006.7**	**(11,591.5)**	**-35.1%**
OTHER FINANCING SOURCES (USES):																
Transfers from Other Funds (**)	9,446.5	2,949.7	5,884.1	2,956.2	2,391.0	6,167.6	1,705.0	2,645.2	4,969.0	3,014.7			42,129.0	45,919.2	(3,790.2)	-8.3%
Transfers to Other Funds (**)	(8,589.0)	(2,634.9)	(5,714.1)	(3,201.1)	(2,587.3)	(5,754.4)	(2,374.7)	(2,631.6)	(5,070.6)	(3,412.6)			(41,970.3)	(48,793.8)	(6,823.5)	-14.0%
Total Other Financing Sources (Uses)	**857.5**	**314.8**	**170.0**	**(244.9)**	**(196.3)**	**413.2**	**(669.7)**	**13.6**	**(101.6)**	**(397.9)**	**-**	**-**	**158.7**	**(2,874.6)**	**3,033.3**	**105.5%**
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	**13,720.3**	**(5,101.9)**	**4,512.4**	**553.7**	**(237.9)**	**4,285.5**	**(2,979.5)**	**(1,654.1)**	**4,430.8**	**4,044.6**	**-**	**-**	**21,573.9**	**30,132.1**	**(8,558.2)**	**-28.4%**
Ending Fund Balance	**$ 54,487.5**	**$ 49,385.6**	**$ 53,898.0**	**$ 54,451.7**	**$ 54,213.8**	**$ 58,499.3**	**$ 55,519.8**	**$ 53,865.7**	**$ 58,296.5**	**$ 62,341.1**	**$ -**	**$ -**	**$ 62,341.1**	**$ 45,066.5**	**$ 17,274.6**	**38.3%**

(*) State Operating Funds are comprised of the General Fund, State Special Revenue Funds supported by activities from dedicated revenue sources (including operating transfers from Federal funds) and Debt Service Funds.
(**) Eliminations between State and Federal Special Revenue Funds are not included.

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STATE OF NEW YORK
GENERAL FUND
STATEMENT OF CASH FLOW
FISCAL YEAR 2022-2023
(amounts in millions)

EXHIBIT F

	2022 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2023 JANUARY	FEBRUARY	MARCH	10 Months Ended January 31: 2023	2022	$ Increase/ (Decrease)	% Increase/ Decrease
Beginning Fund Balance	$ 33,052.7	$ 45,693.4	$ 40,311.3	$ 43,797.1	$ 43,698.6	$ 42,930.3	$ 49,993.3	$ 46,849.1	$ 45,220.8	$ 49,439.8			$ 33,052.7	$ 9,160.8	$ 23,891.9	260.8%
RECEIPTS:																
Taxes:																
Personal Income Tax:																
Withholdings	3,733.2	3,632.0	4,010.6	3,513.9	3,959.7	3,486.4	3,447.2	4,016.3	4,986.4	5,601.0			40,386.7	40,470.1	(83.4)	-0.2%
Estimated Payments	10,927.5	152.8	1,846.3	131.5	134.3	1,985.3	157.1	97.8	248.1	2,520.2			18,200.9	21,232.9	(3,032.0)	-14.3%
Returns	3,269.8	174.9	103.8	67.5	85.5	114.1	1,125.0	87.5	36.4	28.3			5,092.8	4,189.9	902.9	21.5%
State/City Offsets	(502.0)	(39.1)	(50.4)	(20.8)	(44.0)	(90.2)	(937.1)	(157.5)	(21.3)	11.3			(1,851.1)	(940.5)	910.6	96.8%
Other (Assessments/LLC)	220.6	161.8	110.1	128.2	113.7	124.6	119.5	136.5	142.6	133.6			1,391.2	1,167.4	223.8	19.2%
Gross Receipts	17,649.1	4,082.4	6,020.4	3,820.3	4,249.2	5,620.2	3,911.7	4,180.6	5,392.2	8,294.4	-	-	63,220.5	66,119.8	(2,899.3)	-4.4%
Transfers to School Tax Relief Fund	-	-	-	-	-	-	(1.1)	-	(17.5)	(1,711.0)			(1,729.6)	(1,866.1)	(136.5)	-7.3%
Transfers to Revenue Bond Tax Fund	(7,360.8)	(1,362.1)	(2,096.6)	(1,545.8)	(1,794.4)	(2,429.6)	(971.6)	(1,357.7)	(2,140.5)	(4,136.2)			(25,195.3)	(29,736.0)	(4,540.7)	-15.3%
Refunds Issued	(2,927.5)	(1,358.2)	(1,827.3)	(728.6)	(660.4)	(761.0)	(1,968.5)	(1,465.3)	(1,111.1)	(22.0)			(12,829.9)	(6,647.8)	6,182.1	93.0%
Total Personal Income Tax	7,360.8	1,362.1	2,096.5	1,545.9	1,794.4	2,429.6	970.5	1,357.6	2,123.1	2,425.2	-	-	23,465.7	27,869.9	(4,404.2)	-15.8%
Consumption/Use Taxes:																
Sales and Use	312.0	328.0	439.0	345.6	335.1	442.6	699.8	685.5	859.6	756.6			5,203.8	3,433.5	1,770.3	51.6%
Auto Rental	-	-	-	-	-	-	-	-	-	-			-	-	-	0.0%
Cigarette/Tobacco Products	27.0	23.5	26.1	24.4	25.6	26.9	25.1	23.1	20.6	22.4			244.7	256.6	(11.9)	-4.6%
Motor Fuel	-	-	-	-	-	-	-	-	-	-			-	-	-	0.0%
Peer-to-Peer Car Sharing	-	-	-	-	-	-	-	-	0.3	-			0.3	-	0.3	100.0%
Alcoholic Beverage	24.8	21.5	23.6	29.1	22.1	26.3	23.3	21.8	23.8	30.5			246.8	245.3	1.5	0.6%
Highway Use	-	-	-	-	-	-	-	-	-	-			-	-	-	0.0%
Vapor Excise	-	-	-	-	-	-	-	-	-	-			-	-	-	0.0%
Opioid Excise	6.4	1.0	(0.1)	6.1	-	-	6.1	0.1	-	6.3			25.9	28.5	(2.6)	-9.1%
Total Consumption/Use Taxes	370.2	374.0	488.6	405.2	382.8	495.8	754.3	730.5	904.3	815.8	-	-	5,721.5	3,963.9	1,757.6	44.3%
Business Taxes:																
Corporation Franchise	976.1	98.0	1,260.0	270.8	(95.6)	1,234.5	208.5	152.8	1,344.0	298.2			5,747.3	4,544.3	1,203.0	26.5%
Corporation and Utilities	1.6	0.7	73.7	2.3	1.2	66.5	3.6	26.5	81.0	(1.1)			256.0	258.4	(2.4)	-0.9%
Insurance	91.5	36.8	479.9	18.8	16.2	445.3	3.1	24.4	394.9	(13.9)			1,497.0	1,371.9	125.1	9.1%
Bank	-	-	-	(5.7)	(0.1)	0.2	2.3	-	-	-			(3.3)	16.5	(19.8)	-120.0%
Pass-Through Entity	90.6	(24.2)	1,390.2	(24.5)	43.9	1,680.5	(327.8)	15.1	2,016.6	284.7			5,145.1	5,530.4	(385.3)	-7.0%
Petroleum Business	-	-	-	-	-	-	-	-	-	-			-	-	-	0.0%
Total Business Taxes	1,159.8	111.3	3,203.8	261.7	(34.4)	3,427.0	(110.3)	218.8	3,836.5	567.9	-	-	12,642.1	11,721.5	920.6	7.9%
Other Taxes:																
Real Property Gains	-	-	-	-	-	-	-	-	-	-			-	-	-	0.0%
Estate and Gift	127.3	126.0	123.5	132.7	156.9	399.8	252.6	257.4	136.0	68.0			1,780.2	1,168.5	611.7	52.3%
Pari-Mutuel	1.5	1.1	0.6	1.9	2.0	1.9	0.9	0.9	0.8	0.5			12.1	12.1	-	0.0%
Real Estate Transfer	-	-	-	-	-	-	-	-	-	-			-	-	-	0.0%
Racing and Combative Sports	-	0.2	0.1	0.2	0.2	-	0.2	1.0	-	0.1			2.0	1.4	0.6	42.9%
Employer Compensation Expense Tax	0.2	0.1	0.1	0.2	0.2	0.2	0.3	0.2	0.5	1.2			3.2	6.2	(3.0)	-48.4%
Total Other Taxes	129.0	127.4	124.3	135.0	159.3	401.9	254.0	259.5	137.3	69.8	-	-	1,797.5	1,188.2	609.3	51.3%
Total Taxes	9,019.8	1,974.8	5,913.2	2,347.8	2,302.1	6,754.3	1,868.5	2,566.4	7,001.2	3,878.7	-	-	43,626.8	44,743.5	(1,116.7)	-2.5%
Miscellaneous Receipts:																
Abandoned Property:																
Abandoned Property	1.0	-	-	(0.1)	10.0	100.0	30.0	130.0	-	30.0			300.9	335.4	(34.5)	-10.3%
Bottle Bill	0.2	0.2	2.0	10.0	0.1	34.9	1.1	0.2	21.6	2.1			72.4	78.9	(6.5)	-8.2%
Assessments:																
Business	-	-	-	-	-	-	-	-	-	-			-	-	-	0.0%
Medical Care	1.6	3.2	-	3.7	8.5	1.7	2.3	1.9	4.2	3.7			30.8	32.9	(2.1)	-6.4%
Public Utilities	-	-	-	-	-	-	-	-	-	-			-	-	-	0.0%
Other	-	-	0.1	-	-	0.2	-	-	0.1	-			0.4	0.5	(0.1)	-20.0%
Fees, Licenses and Permits:																
Alcohol Beverage Control Licensing	5.4	5.7	6.0	5.2	5.6	6.3	6.2	6.6	4.8	6.6			58.4	58.8	(0.4)	-0.7%
Audit Fees	-	-	-	-	-	-	-	-	-	-			-	-	-	0.0%
Business/Professional	14.2	11.5	35.9	0.8	19.5	41.5	(9.1)	24.9	43.3	16.6			199.1	199.6	(0.5)	-0.3%
Civil	3.1	28.1	45.1	(35.7)	41.1	9.1	13.8	20.8	10.6	21.4			157.4	173.7	(16.3)	-9.4%
Criminal	-	0.2	0.1	0.2	0.1	0.1	0.1	0.1	-	0.2			1.1	1.1	-	0.0%
Motor Vehicle	17.7	26.1	34.8	13.6	36.2	12.9	4.0	34.0	13.8	16.1			209.2	227.0	(17.8)	-7.8%
Recreational/Consumer	-	3.4	1.6	4.3	1.5	1.7	1.8	2.9	1.5	3.0			21.7	19.6	2.1	10.7%
Fines, Penalties and Forfeitures	24.1	7.6	38.6	21.7	58.8	27.4	26.9	29.7	43.8	60.1			338.7	263.3	75.4	28.6%
Gaming:																
Mobile Sports	5.0	-	-	-	-	-	-	-	-	-			5.0	-	5.0	100.0%
Interest Earnings	6.7	11.9	26.8	37.2	53.0	69.3	92.4	119.6	132.1	158.3			707.3	7.7	699.6	9,085.7%
Receipts from Municipalities	-	-	-	-	-	-	-	-	0.1	-			0.1	0.1	-	0.0%
Receipts from Public Authorities:																
Bond Proceeds	-	-	-	-	-	-	-	-	-	-			-	-	-	0.0%
Cost Recovery Assessments	-	-	-	6.5	-	-	8.9	-	-	0.1			15.5	-	15.5	100.0%
Issuance Fees	-	-	3.3	27.5	1.8	-	13.4	0.3	0.7	7.3			54.3	70.5	(16.2)	-23.0%
Non Bond Related	-	-	3.9	-	-	-	-	-	4.1	0.1			8.1	27.2	(19.1)	-70.2%
Rentals	0.1	0.1	0.1	-	0.1	0.1	0.3	0.2	0.1	0.2			1.3	1.5	(0.2)	-13.3%
Revenues of State Departments:																
Administrative Recoveries	0.5	0.5	12.0	0.4	0.3	15.6	0.3	0.1	14.3	0.1			44.1	51.0	(6.9)	-13.5%
Commissions	0.1	-	-	-	0.3	0.5	0.5	-	-	-			1.4	1.5	(0.1)	-6.7%
Gifts, Grants and Donations	-	-	-	-	-	-	-	-	-	-			-	12.6	(12.6)	-100.0%
Indirect Cost Recoveries	5.3	6.3	9.0	5.7	6.8	8.1	6.4	9.2	6.2	7.7			70.7	65.9	4.8	7.3%

STATE OF NEW YORK
GENERAL FUND
STATEMENT OF CASH FLOW
FISCAL YEAR 2022-2023
(amounts in millions)

EXHIBIT F

	2022 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2023 JANUARY	FEBRUARY	MARCH	10 Months Ended January 31: 2023	2022	$ Increase/ (Decrease)	% Increase/ Decrease
Patient/Client Care Reimbursement	50.0	7.0	3.4	(20.3)	(60.5)	79.2	(22.9)	(63.6)	79.2	(19.6)			31.9	8.3	23.6	284.3%
Rebates	(0.5)	1.5	(0.7)	-	-	-	-	-	(0.1)	-			0.2	4.7	(4.5)	-95.7%
Restitution and Settlements	-	-	-	-	-	0.1	0.2	-	-	0.1			0.4	0.5	(0.1)	-20.0%
Student Loans	-	-	-	-	-	-	-	-	-	-			-	-	-	0.0%
All Other	63.5	(12.3)	(6.2)	3.8	5.2	28.3	17.4	2.8	36.5	(36.3)			102.7	163.3	(60.6)	-37.1%
Sales	(0.1)	-	-	-	0.1	-	-	-	0.1	-			0.1	0.2	(0.1)	-50.0%
Total Miscellaneous Receipts	**197.9**	**101.0**	**215.8**	**84.5**	**188.5**	**437.0**	**194.0**	**319.7**	**417.0**	**277.8**	**-**	**-**	**2,433.2**	**1,805.8**	**627.4**	**34.7%**
Federal Receipts	-	0.2	(0.2)	0.2	-	0.2	-	-	0.1	-			0.5	-	0.5	100.0%
Total Receipts	**9,217.7**	**2,076.0**	**6,128.8**	**2,432.5**	**2,490.6**	**7,191.5**	**2,062.5**	**2,886.1**	**7,418.3**	**4,156.5**	**-**	**-**	**46,060.5**	**46,549.3**	**(488.8)**	**-1.1%**
DISBURSEMENTS:																
Local Assistance Grants:																
Education	1,436.0	4,347.0	2,288.5	963.9	753.0	1,865.0	1,228.2	2,217.5	2,470.8	1,088.6			18,658.5	18,213.4	445.1	2.4%
Environment and Recreation	0.1	-	0.1	-	0.2	0.1	0.3	0.5	0.1	0.2			1.6	6.4	(4.8)	-75.0%
General Government	9.9	41.7	408.8	33.5	61.5	124.8	26.6	23.6	204.8	11.3			946.5	935.0	11.5	1.2%
Public Health:																
Medicaid	2,018.4	1,920.1	1,935.3	1,478.8	1,782.5	1,665.6	2,272.5	2,073.6	2,341.9	2,505.0			19,993.7	16,146.0	3,847.7	23.8%
Other Public Health	66.4	139.9	398.2	88.5	161.8	323.1	167.2	161.2	406.2	169.2			2,081.7	1,967.6	114.1	5.8%
Public Safety	7.6	14.0	7.8	4.4	22.7	36.7	15.9	24.8	18.4	27.2			179.5	177.1	2.4	1.4%
Public Welfare	101.1	218.4	303.1	408.3	396.8	331.2	240.8	197.5	404.0	202.6			2,803.8	4,405.8	(1,602.0)	-36.4%
Support and Regulate Business	6.2	11.5	22.0	137.1	246.2	10.1	115.1	81.2	19.7	11.2			660.3	718.2	(57.9)	-8.1%
Transportation	-	32.6	19.1	0.3	32.3	0.3	-	32.6	13.0	-			130.2	109.1	21.1	19.3%
Total Local Assistance Grants	3,645.7	6,725.2	5,382.9	3,114.8	3,457.0	4,356.9	4,066.6	4,812.5	5,878.9	4,015.3	-	-	45,455.8	42,678.6	2,777.2	6.5%
Departmental Operations:																
Personal Service	740.5	695.2	867.1	713.5	904.9	715.7	793.6	756.1	916.0	722.5			7,825.1	6,593.5	1,231.6	18.7%
Non-Personal Service	149.0	224.7	246.4	161.3	225.6	231.4	213.2	275.5	250.5	256.0			2,233.6	2,250.9	(17.3)	-0.8%
General State Charges	779.7	1,999.9	357.7	442.3	488.0	468.5	589.0	423.6	522.0	601.9			6,672.6	6,628.1	44.5	0.7%
Total Disbursements	**5,314.9**	**9,645.0**	**6,854.1**	**4,431.9**	**5,075.5**	**5,772.5**	**5,662.4**	**6,267.7**	**7,567.4**	**5,595.7**	**-**	**-**	**62,187.1**	**58,151.1**	**4,036.0**	**6.9%**
Excess (Deficiency) of Receipts over Disbursements	**3,902.8**	**(7,569.0)**	**(725.3)**	**(1,999.4)**	**(2,584.9)**	**1,419.0**	**(3,599.9)**	**(3,381.6)**	**(149.1)**	**(1,439.2)**	**-**	**-**	**(16,126.6)**	**(11,601.8)**	**(4,524.8)**	**-39.0%**
OTHER FINANCING SOURCES (USES):																
Transfers from Revenue Bond Tax Fund	7,451.6	1,303.7	3,486.9	1,321.1	1,100.3	4,007.6	644.0	1,374.7	3,668.3	1,860.4			26,218.6	28,738.0	(2,519.4)	-8.8%
Transfers from LGAC / STRBTF	814.7	856.6	1,193.5	914.5	882.8	1,304.8	577.9	563.7	737.6	634.6			8,480.7	10,030.6	(1,549.9)	-15.5%
Transfers from CW/CA Fund	142.4	130.0	117.8	143.0	113.0	107.3	102.6	78.3	73.1	63.5			1,071.0	1,246.6	(175.6)	-14.1%
Transfers from Other Funds	250.0	116.0	169.1	164.9	98.7	377.4	85.5	170.2	267.2	183.8			1,882.8	1,690.2	192.6	11.4%
Transfers to State Capital Projects	611.7	224.2	(71.5)	(312.1)	(128.4)	177.3	(320.2)	(72.7)	(272.5)	(455.7)			(619.9)	(4,405.3)	(3,785.4)	-85.9%
Transfers to All Other Capital Projects	-	(48.1)	(99.7)	(35.7)	(93.2)	(150.1)	(469.9)	(32.6)	(48.8)	-			(978.1)	(262.5)	715.6	272.6%
Transfers to General Debt Service	(112.4)	-	-	(42.8)	(0.3)	2.3	(0.8)	-	-	(154.7)			(308.7)	(365.6)	(56.9)	-15.6%
Transfers to All Other State Funds	(420.1)	(395.5)	(585.0)	(252.0)	(156.3)	(182.6)	(163.4)	(328.3)	(56.8)	(46.5)			(2,586.5)	(2,223.5)	363.0	16.3%
Total Other Financing Sources (Uses)	**8,737.9**	**2,186.9**	**4,211.1**	**1,900.9**	**1,816.6**	**5,644.0**	**455.7**	**1,753.3**	**4,368.1**	**2,085.4**	**-**	**-**	**33,159.9**	**34,448.5**	**(1,288.6)**	**-3.7%**
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	**12,640.7**	**(5,382.1)**	**3,485.8**	**(98.5)**	**(768.3)**	**7,063.0**	**(3,144.2)**	**(1,628.3)**	**4,219.0**	**646.2**	**-**	**-**	**17,033.3**	**22,846.7**	**(5,813.4)**	**-25.4%**
Ending Fund Balance	**$ 45,693.4**	**$ 40,311.3**	**$ 43,797.1**	**$ 43,698.6**	**$ 42,930.3**	**$ 49,993.3**	**$ 46,849.1**	**$ 45,220.8**	**$ 49,439.8**	**$ 50,086.0**	**$ -**	**$ -**	**$ 50,086.0**	**$ 32,007.5**	**$ 18,078.5**	**56.5%**

STATE OF NEW YORK
SPECIAL REVENUE FUNDS - COMBINED
STATEMENT OF CASH FLOW
FISCAL YEAR 2022-2023
(amounts in millions)

EXHIBIT G

	2022 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2023 JANUARY	FEBRUARY	MARCH	Intra-Fund Transfer Eliminations (*)	10 Months Ended January 31: 2023	10 Months Ended January 31: 2022	$ Increase/ (Decrease)	% Increase/ Decrease
Beginning Fund Balance	$ 21,938.2	$ 22,805.7	$ 24,032.0	$ 25,686.3	$ 24,862.2	$ 24,260.6	$ 25,314.4	$ 24,471.0	$ 22,721.7	$ 23,117.3			$ -	$ 21,938.2	$ 10,669.3	$ 11,268.9	105.6%
RECEIPTS:																	
Taxes:																	
Personal Income Tax	-	-	-	-	-	-	1.1	-	17.5	1,711.0			-	1,729.6	1,866.1	(136.5)	-7.3%
Consumption/Use Taxes:																	
Sales and Use	135.1	87.0	128.0	93.4	90.5	123.9	97.0	94.9	120.7	105.1			-	1,075.6	955.0	120.6	12.6%
Auto Rental	1.8	-	6.3	-	-	8.9	-	-	7.0	-			-	24.0	18.7	5.3	28.3%
Cigarette/Tobacco Products	57.7	51.7	56.6	52.9	58.0	50.8	53.4	50.0	47.0	54.3			-	532.4	583.3	(50.9)	-8.7%
Cannabis	1.0	1.2	1.0	1.0	0.9	1.0	0.9	0.9	1.0	1.1			-	10.0	11.1	(1.1)	-9.9%
Motor Fuel	6.0	8.0	4.3	(0.3)	(0.3)	(0.3)	(0.3)	(0.4)	-	5.1			-	21.8	87.7	(65.9)	-75.1%
Peer-to-Peer Car Sharing	-	-	-	-	-	-	-	-	0.1	-			-	0.1	-	0.1	100.0%
Alcoholic Beverage	-	-	-	-	-	-	-	-	-	-			-	-	-	-	0.0%
Highway Use	0.1	-	0.1	-	0.1	-	0.1	-	0.1	-			-	0.5	1.7	(1.2)	-70.6%
Vapor Excise	(0.1)	0.1	6.2	-	0.1	6.3	0.1	-	6.2	-			-	18.9	22.7	(3.8)	-16.7%
Total Consumption/Use Taxes	**201.6**	**148.0**	**202.5**	**147.0**	**149.3**	**190.6**	**151.2**	**145.4**	**182.1**	**165.6**	**-**	**-**	**-**	**1,683.3**	**1,680.2**	**3.1**	**0.2%**
Business Taxes:																	
Corporation Franchise	228.9	44.0	252.8	56.4	29.0	281.8	50.3	33.8	289.7	87.6			-	1,354.3	1,146.3	208.0	18.1%
Corporation and Utilities	6.6	0.5	18.4	0.9	0.4	16.3	1.6	8.2	16.7	(0.3)			-	69.3	68.6	0.7	1.0%
Insurance	18.2	3.3	57.6	0.9	3.4	55.4	0.8	(30.4)	64.5	24.1			-	197.8	156.3	41.5	26.6%
Bank	-	-	-	(0.6)	0.1	-	(0.3)	-	-	(0.1)			-	(0.9)	(0.3)	(0.6)	-200.0%
Petroleum Business	37.2	39.4	41.9	39.1	41.3	45.1	38.7	39.5	41.6	37.5			-	401.3	384.1	17.2	4.5%
Total Business Taxes	**290.9**	**87.2**	**370.7**	**96.7**	**74.2**	**398.6**	**91.1**	**51.1**	**412.5**	**148.8**	**-**	**-**	**-**	**2,021.8**	**1,755.0**	**266.8**	**15.2%**
Total Taxes	**492.5**	**235.2**	**573.2**	**243.7**	**223.5**	**589.2**	**243.4**	**196.5**	**612.1**	**2,025.4**	**-**	**-**	**-**	**5,434.7**	**5,301.3**	**133.4**	**2.5%**
Miscellaneous Receipts:																	
Abandoned Property:																	
Abandoned Property	0.9	0.9	1.0	0.9	1.3	1.0	1.1	1.0	1.1	0.9			-	10.1	9.9	0.2	2.0%
Assessments:																	
Business	133.5	39.6	38.7	94.8	58.6	83.3	53.6	(16.0)	110.6	112.0			-	708.7	638.5	70.2	11.0%
Medical Care	535.3	530.6	564.2	574.1	533.8	574.7	535.8	531.8	598.4	560.5			-	5,539.2	5,232.3	306.9	5.9%
Public Utilities	4.6	-	0.3	-	0.2	59.5	(0.2)	(0.2)	(0.4)	0.2			-	64.0	34.5	29.5	85.5%
Other	-	-	0.1	-	-	-	0.1	-	-	-			-	0.2	(0.7)	0.9	128.6%
Fees, Licenses and Permits:																	
Audit Fees	-	0.2	1.3	0.4	0.2	0.1	-	0.1	0.1	-			-	2.4	2.5	(0.1)	-4.0%
Business/Professional	32.9	36.3	92.8	53.8	41.4	70.0	67.4	45.3	81.1	72.4			-	593.4	581.4	12.0	2.1%
Civil	5.0	4.7	5.0	4.7	5.6	4.2	5.9	4.9	4.0	4.0			-	48.0	52.6	(4.6)	-8.7%
Criminal	0.7	0.2	0.5	0.4	1.0	0.1	0.3	-	0.7	-			-	3.9	5.3	(1.4)	-26.4%
Motor Vehicle	16.7	16.3	24.5	15.2	14.3	15.1	57.8	20.8	21.7	15.4			-	217.8	266.3	(48.5)	-18.2%
Recreational/Consumer	39.0	77.5	77.6	107.6	79.2	144.7	74.4	94.6	61.6	112.1			-	868.3	813.5	54.8	6.7%
Fines, Penalties and Forfeitures	5.1	9.4	3.3	10.3	8.8	6.0	6.5	6.9	28.3	7.2			-	91.8	93.2	(1.4)	-1.5%
Gaming:																	
Casino	39.6	11.5	41.1	35.7	18.2	42.8	36.3	19.8	41.5	35.6			-	322.1	228.1	94.0	41.2%
Lottery	186.3	189.6	232.8	191.7	248.7	176.5	184.6	285.2	179.2	222.3			-	2,096.9	2,076.5	20.4	1.0%
Mobile Sports	38.6	53.4	38.6	33.5	53.6	67.7	67.1	92.0	63.5	81.3			-	589.3	246.6	342.7	139.0%
Video Lottery	73.4	71.3	90.9	79.4	98.8	77.3	77.4	95.4	68.5	88.5			-	820.9	829.7	(8.8)	-1.1%
Interest Earnings	8.2	11.4	19.3	25.5	38.3	47.4	57.7	76.6	87.3	104.2			-	475.9	40.0	435.9	1,089.8%
Receipts from Municipalities	7.2	1.9	5.1	3.4	76.5	4.7	3.2	1.3	5.5	2.6			-	111.4	37.1	74.3	200.3%
Receipts from Public Authorities:																	
Bond Proceeds	-	-	-	-	-	-	-	-	-	-			-	-	-	-	0.0%
Cost Recovery Assessments	14.2	-	-	6.2	-	-	-	-	-	-			-	20.4	23.0	(2.6)	-11.3%
Issuance Fees	2.8	3.7	0.7	-	-	-	-	-	-	-			-	7.2	7.2	-	0.0%
Non Bond Related	4.9	11.2	-	9.4	4.6	0.1	7.9	-	8.9	4.7			-	51.7	22.9	28.8	125.8%
Rentals	33.3	20.6	21.3	0.9	10.8	(1.8)	0.6	67.5	18.9	21.8			-	193.9	313.0	(119.1)	-38.1%
Revenues of State Departments:																	
Administrative Recoveries	31.9	8.6	8.3	24.3	9.0	8.3	23.0	8.2	8.4	8.0			-	138.0	167.7	(29.7)	-17.7%
Commissions	6.8	-	0.4	-	(0.2)	0.2	0.1	0.1	-	0.1			-	7.5	19.9	(12.4)	-62.3%
Commissions - Asset Conversion	-	-	-	-	-	-	-	-	68.0	-			-	68.0	228.0	(160.0)	-70.2%
Gifts, Grants and Donations	2.3	0.8	-	0.3	0.5	0.2	0.1	0.4	35.2	0.3			-	40.1	5.5	34.6	629.1%
Indirect Cost Recoveries	-	-	0.8	-	-	-	-	0.1	-	-			-	0.9	4.6	(3.7)	-80.4%
Patient/Client Care Reimbursement	159.6	201.8	196.3	200.8	198.2	227.8	241.8	231.6	252.6	229.3			-	2,139.8	2,058.5	81.3	3.9%
Rebates	10.1	8.8	15.2	16.4	8.0	12.7	13.9	7.6	11.4	12.9			-	117.0	116.2	0.8	0.7%
Restitution and Settlements	7.5	1.2	0.4	1.0	93.9	0.7	67.8	37.5	0.8	15.6			-	226.4	48.9	177.5	363.0%
Student Loans	1.9	1.4	1.7	1.4	(0.2)	1.2	1.3	1.3	1.8	19.3			-	31.1	20.8	10.3	49.5%
All Other	38.7	78.7	81.6	45.6	41.2	54.8	29.9	34.6	41.0	44.6			-	490.7	519.6	(28.9)	-5.6%
Sales	0.8	1.4	2.1	2.3	1.3	1.0	1.3	0.5	1.5	0.9			-	13.1	21.9	(8.8)	-40.2%
Tuition	36.7	(26.1)	54.1	25.4	98.2	415.5	96.7	71.0	(25.6)	246.1			-	992.0	862.5	129.5	15.0%
Total Miscellaneous Receipts	**1,478.5**	**1,366.9**	**1,620.0**	**1,565.4**	**1,743.8**	**2,095.8**	**1,713.4**	**1,719.9**	**1,775.6**	**2,022.8**	**-**	**-**	**-**	**17,102.1**	**15,627.5**	**1,474.6**	**9.4%**
Federal Receipts	6,617.3	7,336.9	8,009.8	5,495.7	5,878.5	8,639.9	5,165.4	5,169.1	11,071.9	7,314.9			-	70,699.4	80,056.7	(9,357.3)	-11.7%
Total Receipts	**8,588.3**	**8,939.0**	**10,203.0**	**7,304.8**	**7,845.8**	**11,324.9**	**7,122.2**	**7,085.5**	**13,459.6**	**11,363.1**	**-**	**-**	**-**	**93,236.2**	**100,985.5**	**(7,749.3)**	**-7.7%**

STATE OF NEW YORK
SPECIAL REVENUE FUNDS - COMBINED
STATEMENT OF CASH FLOW
FISCAL YEAR 2022-2023
(amounts in millions)

EXHIBIT G

	2022 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2023 JANUARY	FEBRUARY	MARCH	Intra-Fund Transfer Eliminations (*)	10 Months Ended January 31: 2023	10 Months Ended January 31: 2022	10 Months Ended January 31: $ Increase/ (Decrease)	10 Months Ended January 31: % Increase/ Decrease
DISBURSEMENTS:																	
Local Assistance Grants:																	
Education	545.5	758.8	720.1	634.5	489.6	3,241.7	412.9	646.1	3,151.4	2,330.0			-	12,930.6	10,216.9	2,713.7	26.6%
Environment and Recreation	-	0.2	1.5	0.4	0.1	-	0.1	3.0	0.4	0.2			-	5.9	4.3	1.6	37.2%
General Government	133.0	41.8	47.6	403.7	28.4	35.8	27.5	28.3	21.0	24.6			-	791.7	694.9	96.8	13.9%
Public Health:																	
Medicaid	5,245.9	4,446.8	4,849.6	4,480.3	4,985.2	4,077.3	4,651.6	5,446.1	4,986.5	4,266.0			-	47,435.3	43,519.4	3,915.9	9.0%
Other Public Health	527.5	669.1	1,043.5	696.9	734.8	946.1	830.7	751.3	1,019.0	753.8			-	7,972.7	7,231.6	741.1	10.2%
Public Safety	73.8	119.3	212.9	89.8	243.9	109.9	193.0	124.6	956.7	200.5			-	2,324.4	1,826.1	498.3	27.3%
Public Welfare	442.4	545.7	679.4	740.3	329.2	496.4	578.0	291.2	731.3	280.8			-	5,114.7	6,297.5	(1,182.8)	-18.8%
Support and Regulate Business	2.3	2.1	0.8	1.0	1.7	9.5	1.2	21.9	40.6	8.0			-	89.1	62.9	26.2	41.7%
Transportation	63.1	555.9	317.5	362.9	504.8	340.8	390.0	651.6	1,023.0	83.3			-	4,292.9	3,541.9	751.0	21.2%
Total Local Assistance Grants	7,033.5	7,139.7	7,872.9	7,409.8	7,317.7	9,257.5	7,085.0	7,964.1	11,929.9	7,947.2	-	-	-	80,957.3	73,395.5	7,561.8	10.3%
Departmental Operations:																	
Personal Service	468.6	458.0	449.1	457.8	674.1	435.5	509.3	496.1	475.6	480.5			-	4,904.6	5,838.5	(933.9)	-16.0%
Non-Personal Service	319.7	401.7	406.4	262.3	447.7	455.5	372.8	435.0	361.4	447.4			-	3,909.9	4,527.9	(618.0)	-13.6%
General State Charges	92.3	93.9	129.0	139.6	155.5	86.8	102.1	135.9	160.1	152.2			-	1,247.4	1,856.9	(609.5)	-32.8%
Debt Service, Including Payments on Financing Agreements	-	-	-	-	-	-	-	-	-	-			-	-	42.3	(42.3)	-100.0%
Capital Projects	-	-	-	-	-	-	-	-	-	-			-	-	-	-	0.0%
Total Disbursements	**7,914.1**	**8,093.3**	**8,857.4**	**8,269.5**	**8,595.0**	**10,235.3**	**8,069.2**	**9,031.1**	**12,927.0**	**9,027.3**	**-**	**-**	**-**	**91,019.2**	**85,661.1**	**5,358.1**	**6.3%**
Excess (Deficiency) of Receipts over Disbursements	**674.2**	**845.7**	**1,345.6**	**(964.7)**	**(749.2)**	**1,089.6**	**(947.0)**	**(1,945.6)**	**532.6**	**2,335.8**	**-**	**-**	**-**	**2,217.0**	**15,324.4**	**(13,107.4)**	**-85.5%**
OTHER FINANCING SOURCES (USES):																	
Transfers from Other Funds	434.8	522.8	726.1	281.6	187.4	196.3	235.4	335.7	72.1	63.9			(455.9)	2,600.2	2,233.5	366.7	16.4%
Transfers to Other Funds	(241.5)	(142.2)	(417.4)	(141.0)	(39.8)	(232.1)	(131.8)	(139.4)	(209.1)	(109.1)			455.9	(1,347.5)	(1,395.1)	(47.6)	-3.4%
Total Other Financing Sources (Uses)	**193.3**	**380.6**	**308.7**	**140.6**	**147.6**	**(35.8)**	**103.6**	**196.3**	**(137.0)**	**(45.2)**	**-**	**-**	**-**	**1,252.7**	**838.4**	**414.3**	**49.4%**
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	**867.5**	**1,226.3**	**1,654.3**	**(824.1)**	**(601.6)**	**1,053.8**	**(843.4)**	**(1,749.3)**	**395.6**	**2,290.6**	**-**	**-**	**-**	**3,469.7**	**16,162.8**	**(12,693.1)**	**-78.5%**
Ending Fund Balance	**$ 22,805.7**	**$ 24,032.0**	**$ 25,686.3**	**$ 24,862.2**	**$ 24,260.6**	**$ 25,314.4**	**$ 24,471.0**	**$ 22,721.7**	**$ 23,117.3**	**$ 25,407.9**	**$ -**	**$ -**	**$ -**	**$ 25,407.9**	**$ 26,832.1**	**$ (1,424.2)**	**-5.3%**

(*) Intra-Fund transfer eliminations represent transfers between Special Revenue-State and Federal Funds.

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STATE OF NEW YORK
SPECIAL REVENUE FUNDS - STATE
STATEMENT OF CASH FLOW
FISCAL YEAR 2022-2023
(amounts in millions)

EXHIBIT G

	2022 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2023 JANUARY	FEBRUARY	MARCH	10 Months Ended January 31: 2023	2022	$ Increase/ (Decrease)	% Increase/ Decrease
Beginning Fund Balance	**$ 7,612.5**	**$ 8,528.2**	**$ 8,754.1**	**$ 9,576.9**	**$ 9,907.0**	**$ 9,782.7**	**$ 7,875.9**	**$ 7,930.1**	**$ 7,763.8**	**$ 7,457.9**			**$ 7,612.5**	**$ 5,708.6**	**$ 1,903.9**	**33.4%**
RECEIPTS:																
Taxes:																
Personal Income Tax	-	-	-	-	-	-	1.1	-	17.5	1,711.0			1,729.6	1,866.1	(136.5)	-7.3%
Consumption/Use Taxes:																
Sales and Use	135.1	87.0	128.0	93.4	90.5	123.9	97.0	94.9	120.7	105.1			1,075.6	955.0	120.6	12.6%
Auto Rental	1.8	-	6.3	-	-	8.9	-	-	7.0	-			24.0	18.7	5.3	28.3%
Cigarette/Tobacco Products	57.7	51.7	56.6	52.9	58.0	50.8	53.4	50.0	47.0	54.3			532.4	583.3	(50.9)	-8.7%
Cannabis	1.0	1.2	1.0	1.0	0.9	1.0	0.9	0.9	1.0	1.1			10.0	11.1	(1.1)	-9.9%
Motor Fuel	6.0	8.0	4.3	(0.3)	(0.3)	(0.3)	(0.3)	(0.4)	-	5.1			21.8	87.7	(65.9)	-75.1%
Peer-to-Peer Car Sharing	-	-	-	-	-	-	-	-	0.1	-			0.1	-	0.1	100.0%
Alcoholic Beverage	-	-	-	-	-	-	-	-	-	-			-	-	-	0.0%
Highway Use	0.1	-	0.1	-	0.1	-	0.1	-	0.1	-			0.5	1.7	(1.2)	-70.6%
Vapor Excise	(0.1)	0.1	6.2	-	0.1	6.3	0.1	-	6.2	-			18.9	22.7	(3.8)	-16.7%
Total Consumption/Use Taxes	**201.6**	**148.0**	**202.5**	**147.0**	**149.3**	**190.6**	**151.2**	**145.4**	**182.1**	**165.6**	**-**	**-**	**1,683.3**	**1,680.2**	**3.1**	**0.2%**
Business Taxes																
Corporation Franchise	228.9	44.0	252.8	56.4	29.0	281.8	50.3	33.8	289.7	87.6			1,354.3	1,146.3	208.0	18.1%
Corporation and Utilities	6.6	0.5	18.4	0.9	0.4	16.3	1.6	8.2	16.7	(0.3)			69.3	68.6	0.7	1.0%
Insurance	18.2	3.3	57.6	0.9	3.4	55.4	0.8	(30.4)	64.5	24.1			197.8	156.3	41.5	26.6%
Bank	-	-	-	(0.6)	0.1	-	(0.3)	-	-	(0.1)			(0.9)	(0.3)	(0.6)	-200.0%
Petroleum Business	37.2	39.4	41.9	39.1	41.3	45.1	38.7	39.5	41.6	37.5			401.3	384.1	17.2	4.5%
Total Business Taxes	**290.9**	**87.2**	**370.7**	**96.7**	**74.2**	**398.6**	**91.1**	**51.1**	**412.5**	**148.8**	**-**	**-**	**2,021.8**	**1,755.0**	**266.8**	**15.2%**
Total Taxes	**492.5**	**235.2**	**573.2**	**243.7**	**223.5**	**589.2**	**243.4**	**196.5**	**612.1**	**2,025.4**	**-**	**-**	**5,434.7**	**5,301.3**	**133.4**	**2.5%**
Miscellaneous Receipts:																
Abandoned Property:																
Abandoned Property	0.9	0.9	1.0	0.9	1.3	1.0	1.1	1.0	1.1	0.9			10.1	9.9	0.2	2.0%
Assessments:																
Business	128.2	(1.2)	38.6	92.2	47.0	83.2	51.6	(24.0)	110.5	110.5			636.6	574.0	62.6	10.9%
Medical Care	535.3	530.6	564.2	574.1	533.8	574.7	535.8	531.8	598.4	560.5			5,539.2	5,232.3	306.9	5.9%
Public Utilities	4.6	-	0.3	-	0.2	59.5	(0.2)	(0.2)	(0.4)	0.2			64.0	34.5	29.5	85.5%
Other	-	-	0.1	-	-	-	0.1	-	-	-			0.2	(0.7)	0.9	128.6%
Fees, Licenses and Permits:																
Audit Fees	-	0.2	1.3	0.4	0.2	0.1	-	0.1	0.1	-			2.4	2.5	(0.1)	-4.0%
Business/Professional	32.9	36.3	92.8	53.8	41.4	70.0	67.4	45.3	81.1	72.4			593.4	581.4	12.0	2.1%
Civil	5.0	4.7	5.0	4.7	5.6	4.2	5.9	4.9	4.0	4.0			48.0	52.6	(4.6)	-8.7%
Criminal	0.7	0.2	0.5	0.4	1.0	0.1	0.3	-	0.7	-			3.9	5.3	(1.4)	-26.4%
Motor Vehicle	16.7	16.3	24.5	15.2	14.3	15.1	57.8	20.8	21.7	15.4			217.8	266.3	(48.5)	-18.2%
Recreational/Consumer	39.0	77.5	77.6	107.6	79.2	144.7	74.4	94.6	61.6	112.1			868.3	813.5	54.8	6.7%
Fines, Penalties and Forfeitures	4.4	8.7	2.9	9.8	8.1	5.4	5.9	6.4	27.9	6.8			86.3	89.0	(2.7)	-3.0%
Gaming:																
Casino	39.6	11.5	41.1	35.7	18.2	42.8	36.3	19.8	41.5	35.6			322.1	228.1	94.0	41.2%
Lottery	186.3	189.6	232.8	191.7	248.7	176.5	184.6	285.2	179.2	222.3			2,096.9	2,076.5	20.4	1.0%
Mobile Sports	38.6	53.4	38.6	33.5	53.6	67.7	67.1	92.0	63.5	81.3			589.3	246.6	342.7	139.0%
Video Lottery	73.4	71.3	90.9	79.4	98.8	77.3	77.4	95.4	68.5	88.5			820.9	829.7	(8.8)	-1.1%
Interest Earnings	4.7	5.8	8.9	11.3	17.7	21.5	25.4	32.2	37.3	44.1			208.9	31.5	177.4	563.2%
Receipts from Municipalities	7.2	1.9	5.1	3.4	76.5	4.7	3.2	1.3	5.5	2.6			111.4	37.1	74.3	200.3%
Receipts from Public Authorities:																
Bond Proceeds	-	-	-	-	-	-	-	-	-	-			-	-	-	0.0%
Cost Recovery Assessments	14.2	-	-	6.2	-	-	-	-	-	-			20.4	23.0	(2.6)	-11.3%
Issuance Fees	2.8	3.7	0.7	-	-	-	-	-	-	-			7.2	7.2	-	0.0%
Non Bond Related	4.9	11.2	-	9.4	4.6	0.1	7.9	-	8.9	4.7			51.7	22.9	28.8	125.8%
Rentals	33.3	20.6	21.3	0.9	10.8	(1.8)	0.6	67.5	18.9	21.8			193.9	313.0	(119.1)	-38.1%
Revenues of State Departments:																
Administrative Recoveries	31.9	8.6	8.3	24.3	9.0	8.3	23.0	8.2	8.4	8.0			138.0	167.7	(29.7)	-17.7%
Commissions	6.8	-	0.4	-	(0.2)	0.2	0.1	0.1	-	0.1			7.5	19.9	(12.4)	-62.3%
Commissions - Asset Conversion	-	-	-	-	-	-	-	-	68.0	-			68.0	228.0	(160.0)	-70.2%
Gifts, Grants and Donations	2.3	0.6	-	0.3	0.5	0.2	0.1	0.4	35.2	0.3			39.9	5.3	34.6	652.8%
Indirect Cost Recoveries	-	-	0.8	-	-	-	-	0.1	-	-			0.9	4.6	(3.7)	-80.4%
Patient/Client Care Reimbursement	159.6	201.8	196.3	200.8	198.2	227.8	241.8	231.6	252.6	229.3			2,139.8	2,058.5	81.3	3.9%
Rebates	2.9	0.5	7.6	8.8	1.0	5.2	6.3	0.1	3.9	5.5			41.8	45.7	(3.9)	-8.5%
Restitution and Settlements	7.5	1.2	0.4	1.0	93.9	0.7	67.8	37.5	0.8	15.6			226.4	48.9	177.5	363.0%
Student Loans	1.9	1.4	1.7	1.4	(0.2)	1.2	1.3	1.3	1.8	19.3			31.1	20.8	10.3	49.5%
All Other	38.4	78.7	81.5	45.3	41.2	54.7	29.6	34.6	40.9	44.7			489.6	512.9	(23.3)	-4.5%
Sales	0.8	1.4	2.1	2.3	1.3	1.0	1.3	0.5	1.5	0.9			13.1	21.9	(8.8)	-40.2%
Tuition	36.7	(26.1)	54.1	25.4	98.2	415.5	96.7	71.0	(25.6)	246.1			992.0	862.5	129.5	15.0%
Total Miscellaneous Receipts	**1,461.5**	**1,311.3**	**1,601.4**	**1,540.2**	**1,703.9**	**2,061.6**	**1,670.6**	**1,659.5**	**1,717.5**	**1,953.5**	**-**	**-**	**16,681.0**	**15,472.9**	**1,208.1**	**7.8%**
Federal Receipts	-	-	10.8	0.2	-	-	-	0.6	0.1	-			11.7	29.1	(17.4)	-59.8%
Total Receipts	**1,954.0**	**1,546.5**	**2,185.4**	**1,784.1**	**1,927.4**	**2,650.8**	**1,914.0**	**1,856.6**	**2,329.7**	**3,978.9**	**-**	**-**	**22,127.4**	**20,803.3**	**1,324.1**	**6.4%**

STATE OF NEW YORK
SPECIAL REVENUE FUNDS - STATE
STATEMENT OF CASH FLOW
FISCAL YEAR 2022-2023
(amounts in millions)

EXHIBIT G

	2022 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2023 JANUARY	FEBRUARY	MARCH	10 Months Ended January 31: 2023	2022	$ Increase/ (Decrease)	% Increase/ Decrease
DISBURSEMENTS:																
Local Assistance Grants:																
Education	-	0.1	323.9	0.1	0.4	3,068.1	187.7	185.9	195.5	1,907.9			5,869.6	5,003.1	866.5	17.3%
Environment and Recreation	-	0.2	1.5	0.1	0.1	(0.1)	0.1	2.7	0.4	0.1			5.1	3.4	1.7	50.0%
General Government	131.9	30.3	21.3	14.5	23.1	11.3	25.2	26.1	4.0	15.6			303.3	155.7	147.6	94.8%
Public Health:																
Medicaid	474.1	474.3	454.3	529.5	604.5	454.7	532.6	544.2	480.6	432.9			4,981.7	4,679.2	302.5	6.5%
Other Public Health	67.9	60.7	180.8	58.8	66.1	145.8	137.9	59.3	135.4	44.4			957.1	931.4	25.7	2.8%
Public Safety	15.9	16.0	13.6	35.2	16.8	11.0	22.6	17.5	19.6	23.9			192.1	216.3	(24.2)	-11.2%
Public Welfare	1.0	0.4	0.6	0.3	-	0.7	0.1	0.8	0.3	(1.5)			2.7	2.8	(0.1)	-3.6%
Support and Regulate Business	2.3	0.3	0.7	1.0	0.7	8.5	0.8	21.7	39.2	7.9			83.1	54.0	29.1	53.9%
Transportation	57.1	561.0	313.8	357.5	499.9	334.7	382.6	646.1	1,011.4	78.1			4,242.2	3,500.6	741.6	21.2%
Total Local Assistance Grants	750.2	1,143.3	1,310.5	997.0	1,211.6	4,034.7	1,289.6	1,504.3	1,886.4	2,509.3	-	-	16,636.9	14,546.5	2,090.4	14.4%
Departmental Operations:																
Personal Service	415.0	403.5	392.1	409.1	591.1	380.4	449.1	443.5	421.2	425.5			4,330.5	4,177.6	152.9	3.7%
Non-Personal Service	239.5	232.2	245.7	191.7	309.9	266.5	277.4	313.8	233.7	323.3			2,633.7	2,357.8	275.9	11.7%
General State Charges	67.7	60.3	88.4	114.2	123.1	50.2	72.8	90.6	132.8	122.7			922.8	917.2	5.6	0.6%
Capital Projects	-	-	-	-	-	-	-	-	-	-			-	-	-	0.0%
Total Disbursements	**1,472.4**	**1,839.3**	**2,036.7**	**1,712.0**	**2,235.7**	**4,731.8**	**2,088.9**	**2,352.2**	**2,674.1**	**3,380.8**	**-**	**-**	**24,523.9**	**21,999.1**	**2,524.8**	**11.5%**
Excess (Deficiency) of Receipts over Disbursements	**481.6**	**(292.8)**	**148.7**	**72.1**	**(308.3)**	**(2,081.0)**	**(174.9)**	**(495.6)**	**(344.4)**	**598.1**	**-**	**-**	**(2,396.5)**	**(1,195.8)**	**(1,200.7)**	**-100.4%**
OTHER FINANCING SOURCES (USES):																
Transfers from Other Funds	434.8	522.8	726.1	281.6	187.4	196.3	235.4	335.7	72.1	63.9			3,056.1	2,743.2	312.9	11.4%
Transfers to Other Funds	(0.7)	(4.1)	(52.0)	(23.6)	(3.4)	(22.1)	(6.3)	(6.4)	(33.6)	(44.4)			(196.6)	(254.2)	(57.6)	-22.7%
Total Other Financing Sources (Uses)	**434.1**	**518.7**	**674.1**	**258.0**	**184.0**	**174.2**	**229.1**	**329.3**	**38.5**	**19.5**	**-**	**-**	**2,859.5**	**2,489.0**	**370.5**	**14.9%**
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	**915.7**	**225.9**	**822.8**	**330.1**	**(124.3)**	**(1,906.8)**	**54.2**	**(166.3)**	**(305.9)**	**617.6**	**-**	**-**	**463.0**	**1,293.2**	**(830.2)**	**-64.2%**
Ending Fund Balance	**$ 8,528.2**	**$ 8,754.1**	**$ 9,576.9**	**$ 9,907.0**	**$ 9,782.7**	**$ 7,875.9**	**$ 7,930.1**	**$ 7,763.8**	**$ 7,457.9**	**$ 8,075.5**	**$ -**	**$ -**	**$ 8,075.5**	**$ 7,001.8**	**$ 1,073.7**	**15.3%**

STATE OF NEW YORK
SPECIAL REVENUE FUNDS - FEDERAL
STATEMENT OF CASH FLOW
FISCAL YEAR 2022-2023
(amounts in millions)

EXHIBIT G

	2022 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2023 JANUARY	FEBRUARY	MARCH	10 Months Ended January 31 2023	2022	$ Increase/ (Decrease)	% Increase/ Decrease
Beginning Fund Balance	$ 14,325.7	$ 14,277.5	$ 15,277.9	$ 16,109.4	$ 14,955.2	$ 14,477.9	$ 17,438.5	$ 16,540.9	$ 14,957.9	$ 15,659.4			$ 14,325.7	$ 4,960.7	$ 9,365.0	188.8%
RECEIPTS:																
Miscellaneous Receipts:																
Abandoned Property:																
Abandoned Property	-	-	-	-	-	-	-	-	-	-			-	-	-	0.0%
Assessments:																
Business	5.3	40.8	0.1	2.6	11.6	0.1	2.0	8.0	0.1	1.5			72.1	64.5	7.6	11.8%
Medical Care	-	-	-	-	-	-	-	-	-	-			-	-	-	0.0%
Public Utilities	-	-	-	-	-	-	-	-	-	-			-	-	-	0.0%
Other	-	-	-	-	-	-	-	-	-	-			-	-	-	0.0%
Fees, Licenses and Permits:																
Business/Professional	-	-	-	-	-	-	-	-	-	-			-	-	-	0.0%
Civil	-	-	-	-	-	-	-	-	-	-			-	-	-	0.0%
Criminal	-	-	-	-	-	-	-	-	-	-			-	-	-	0.0%
Motor Vehicle	-	-	-	-	-	-	-	-	-	-			-	-	-	0.0%
Recreational/Consumer	-	-	-	-	-	-	-	-	-	-			-	-	-	0.0%
Fines, Penalties and Forfeitures	0.7	0.7	0.4	0.5	0.7	0.6	0.6	0.5	0.4	0.4			5.5	4.2	1.3	31.0%
Interest Earnings	3.5	5.6	10.4	14.2	20.6	25.9	32.3	44.4	50.0	60.1			267.0	8.5	258.5	3,041.2%
Receipts from Municipalities	-	-	-	-	-	-	-	-	-	-			-	-	-	0.0%
Receipts from Public Authorities:																
Bond Proceeds	-	-	-	-	-	-	-	-	-	-			-	-	-	0.0%
Cost Recovery Assessments	-	-	-	-	-	-	-	-	-	-			-	-	-	0.0%
Issuance Fees	-	-	-	-	-	-	-	-	-	-			-	-	-	0.0%
Non Bond Related	-	-	-	-	-	-	-	-	-	-			-	-	-	0.0%
Rentals	-	-	-	-	-	-	-	-	-	-			-	-	-	0.0%
Revenues of State Departments:																
Administrative Recoveries	-	-	-	-	-	-	-	-	-	-			-	-	-	0.0%
Commissions	-	-	-	-	-	-	-	-	-	-			-	-	-	0.0%
Gifts, Grants and Donations	-	0.2	-	-	-	-	-	-	-	-			0.2	0.2	-	0.0%
Indirect Cost Recoveries	-	-	-	-	-	-	-	-	-	-			-	-	-	0.0%
Patient/Client Care Reimbursement	-	-	-	-	-	-	-	-	-	-			-	-	-	0.0%
Rebates	7.2	8.3	7.6	7.6	7.0	7.5	7.6	7.5	7.5	7.4			75.2	70.5	4.7	6.7%
Restitution and Settlements	-	-	-	-	-	-	-	-	-	-			-	-	-	0.0%
Student Loans	-	-	-	-	-	-	-	-	-	-			-	-	-	0.0%
All Other	0.3	-	0.1	0.3	-	0.1	0.3	-	0.1	(0.1)			1.1	6.7	(5.6)	-83.6%
Sales	-	-	-	-	-	-	-	-	-	-			-	-	-	0.0%
Tuition	-	-	-	-	-	-	-	-	-	-			-	-	-	0.0%
Total Miscellaneous Receipts	17.0	55.6	18.6	25.2	39.9	34.2	42.8	60.4	58.1	69.3	-	-	421.1	154.6	266.5	172.4%
Federal Receipts	6,617.3	7,336.9	7,999.0	5,495.5	5,878.5	8,639.9	5,165.4	5,168.5	11,071.8	7,314.9			70,687.7	80,027.6	(9,339.9)	-11.7%
Total Receipts	6,634.3	7,392.5	8,017.6	5,520.7	5,918.4	8,674.1	5,208.2	5,228.9	11,129.9	7,384.2	-	-	71,108.8	80,182.2	(9,073.4)	-11.3%

STATE OF NEW YORK
SPECIAL REVENUE FUNDS - FEDERAL
STATEMENT OF CASH FLOW
FISCAL YEAR 2022-2023
(amounts in millions)

EXHIBIT G

	2022 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2023 JANUARY	FEBRUARY	MARCH	10 Months Ended January 31 2023	2022	$ Increase/ (Decrease)	% Increase/ Decrease
DISBURSEMENTS:																
Local Assistance Grants:																
Education	545.5	758.7	396.2	634.4	489.2	173.6	225.2	460.2	2,955.9	422.1			7,061.0	5,213.8	1,847.2	35.4%
Environment and Recreation	-	-	-	0.3	-	0.1	-	0.3	-	0.1			0.8	0.9	(0.1)	-11.1%
General Government	1.1	11.5	26.3	389.2	5.3	24.5	2.3	2.2	17.0	9.0			488.4	539.2	(50.8)	-9.4%
Public Health:																
Medicaid	4,771.8	3,972.5	4,395.3	3,950.8	4,380.7	3,622.6	4,119.0	4,901.9	4,505.9	3,833.1			42,453.6	38,840.2	3,613.4	9.3%
Other Public Health	459.6	608.4	862.7	638.1	668.7	800.3	692.8	692.0	883.6	709.4			7,015.6	6,300.2	715.4	11.4%
Public Safety	57.9	103.3	199.3	54.6	227.1	98.9	170.4	107.1	937.1	176.6			2,132.3	1,609.8	522.5	32.5%
Public Welfare	441.4	545.3	678.8	740.0	329.2	495.7	577.9	290.4	731.0	282.3			5,112.0	6,294.7	(1,182.7)	-18.8%
Support and Regulate Business	-	1.8	0.1	-	1.0	1.0	0.4	0.2	1.4	0.1			6.0	8.9	(2.9)	-32.6%
Transportation	6.0	(5.1)	3.7	5.4	4.9	6.1	7.4	5.5	11.6	5.2			50.7	41.3	9.4	22.8%
Total Local Assistance Grants	6,283.3	5,996.4	6,562.4	6,412.8	6,106.1	5,222.8	5,795.4	6,459.8	10,043.5	5,437.9	-	-	64,320.4	58,849.0	5,471.4	9.3%
Departmental Operations:																
Personal Service	53.6	54.5	57.0	48.7	83.0	55.1	60.2	52.6	54.4	55.0			574.1	1,660.9	(1,086.8)	-65.4%
Non-Personal Service	80.2	169.5	160.7	70.6	137.8	189.0	95.4	121.2	127.7	124.1			1,276.2	2,170.1	(893.9)	-41.2%
General State Charges	24.6	33.6	40.6	25.4	32.4	36.6	29.3	45.3	27.3	29.5			324.6	939.7	(615.1)	-65.5%
Debt Service, Including Payments on Financing Agreements	-	-	-	-	-	-	-	-	-	-			-	42.3	(42.3)	-100.0%
Capital Projects	-	-	-	-	-	-	-	-	-	-			-	-	-	0.0%
Total Disbursements	6,441.7	6,254.0	6,820.7	6,557.5	6,359.3	5,503.5	5,980.3	6,678.9	10,252.9	5,646.5	-	-	66,495.3	63,662.0	2,833.3	4.5%
Excess (Deficiency) of Receipts over Disbursements	192.6	1,138.5	1,196.9	(1,036.8)	(440.9)	3,170.6	(772.1)	(1,450.0)	877.0	1,737.7	-	-	4,613.5	16,520.2	(11,906.7)	-72.1%
OTHER FINANCING SOURCES (USES):																
Transfers from Other Funds	-	-	-	-	-	-	-	-	-	-			-	-	-	0.0%
Transfers to Other Funds	(240.8)	(138.1)	(365.4)	(117.4)	(36.4)	(210.0)	(125.5)	(133.0)	(175.5)	(64.7)			(1,606.8)	(1,650.6)	(43.8)	-2.7%
Total Other Financing Sources (Uses)	(240.8)	(138.1)	(365.4)	(117.4)	(36.4)	(210.0)	(125.5)	(133.0)	(175.5)	(64.7)	-	-	(1,606.8)	(1,650.6)	(43.8)	-2.7%
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	(48.2)	1,000.4	831.5	(1,154.2)	(477.3)	2,960.6	(897.6)	(1,583.0)	701.5	1,673.0	-	-	3,006.7	14,869.6	(11,862.9)	-79.8%
Ending Fund Balance	$ 14,277.5	$ 15,277.9	$ 16,109.4	$ 14,955.2	$ 14,477.9	$ 17,438.5	$ 16,540.9	$ 14,957.9	$ 15,659.4	$ 17,332.4	$ -	$ -	$ 17,332.4	$ 19,830.3	$ (2,497.9)	-12.6%

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STATE OF NEW YORK
DEBT SERVICE FUNDS
STATEMENT OF CASH FLOW
FISCAL YEAR 2022-2023
(amounts in millions)

EXHIBIT H

	2022 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2023 JANUARY	FEBRUARY	MARCH	10 Months Ended January 31: 2023	2022	$ Increase/ (Decrease)	% Increase/ Decrease
Beginning Fund Balance	$ 102.0	$ 265.9	$ 320.2	$ 524.0	$ 846.1	$ 1,500.8	$ 630.1	$ 740.6	$ 881.1	$ 1,398.8			$ 102.0	$ 65.0	$ 37.0	56.9%
RECEIPTS:																
Taxes:																
Personal Income Tax	7,360.8	1,362.1	2,096.6	1,545.8	1,794.4	2,429.6	971.6	1,357.7	2,140.5	4,136.2			25,195.3	29,736.0	(4,540.7)	-15.3%
Consumption/Use Taxes:																
Sales and Use	931.6	982.2	1,314.7	1,035.8	1,004.0	1,326.4	699.4	685.3	859.1	756.1			9,594.6	10,304.2	(709.6)	-6.9%
Total Consumption/Use Taxes	931.6	982.2	1,314.7	1,035.8	1,004.0	1,326.4	699.4	685.3	859.1	756.1	-	-	9,594.6	10,304.2	(709.6)	-6.9%
Business Taxes:																
Pass-Through Entity	90.6	(24.2)	1,390.2	(24.5)	43.9	1,680.6	(327.9)	15.1	2,016.6	284.7			5,145.1	5,530.3	(385.2)	-7.0%
Total Business Taxes	90.6	(24.2)	1,390.2	(24.5)	43.9	1,680.6	(327.9)	15.1	2,016.6	284.7	-	-	5,145.1	5,530.3	(385.2)	-7.0%
Other Taxes:																
Real Estate Transfer	152.4	130.0	117.8	144.6	116.0	110.2	105.5	81.2	76.0	66.4			1,100.1	1,281.3	(181.2)	-14.1%
Employer Compensation Expense Tax	0.2	0.1	0.1	0.2	0.2	0.2	0.3	0.2	0.5	1.2			3.2	6.1	(2.9)	-47.5%
Total Other Taxes	152.6	130.1	117.9	144.8	116.2	110.4	105.8	81.4	76.5	67.6	-	-	1,103.3	1,287.4	(184.1)	-14.3%
Total Taxes	8,535.6	2,450.2	4,919.4	2,701.9	2,958.5	5,547.0	1,448.9	2,139.5	5,092.7	5,244.6	-	-	41,038.3	46,857.9	(5,819.6)	-12.4%
Miscellaneous Receipts:																
Assessments:																
Medical Care	-	-	-	-	-	-	-	-	-	-			-	-	-	0.0%
Fees, Licenses and Permits:																
Alcohol Beverage Control Licensing	-	-	-	-	-	-	-	-	-	-			-	-	-	0.0%
Business/Professional	-	-	-	-	-	-	-	-	-	-			-	-	-	0.0%
Civil	-	-	-	-	-	-	-	-	-	-			-	-	-	0.0%
Criminal	-	-	-	-	-	-	-	-	-	-			-	-	-	0.0%
Motor Vehicle	-	-	-	-	-	-	-	-	-	-			-	-	-	0.0%
Recreational/Consumer	-	-	-	-	-	-	-	-	-	-			-	-	-	0.0%
Interest Earnings	-	-	-	-	-	-	-	0.1	-	0.1			0.2	-	0.2	100.0%
Receipts from Municipalities	-	-	1.0	-	-	-	-	3.8	-	-			4.8	2.5	2.3	92.0%
Receipts from Public Authorities:																
Bond Proceeds	-	-	-	-	-	-	-	-	-	-			-	-	-	0.0%
Rentals	-	-	-	-	-	-	-	-	-	-			-	-	-	0.0%
Revenues of State Departments:																
Patient/Client Care Reimbursement	58.6	25.9	44.1	46.5	26.2	49.2	18.6	79.7	15.9	31.6			396.3	364.2	32.1	8.8%
All Other	-	-	-	-	-	-	-	-	-	-			-	0.1	(0.1)	-100.0%
Sales	-	-	-	-	-	-	-	-	-	-			-	-	-	0.0%
Total Miscellaneous Receipts	58.6	25.9	45.1	46.5	26.2	49.2	18.6	83.6	15.9	31.7	-	-	401.3	366.8	34.5	9.4%
Federal Receipts	-	-	1.3	3.0	36.5	-	-	-	-	8.6			49.4	33.2	16.2	48.8%
Total Receipts	8,594.2	2,476.1	4,965.8	2,751.4	3,021.2	5,596.2	1,467.5	2,223.1	5,108.6	5,284.9	-	-	41,489.0	47,257.9	(5,768.9)	-12.2%
DISBURSEMENTS:																
Departmental Operations:																
Non-Personal Service	-	1.5	0.1	17.5	5.3	0.8	0.1	0.8	-	-			26.1	3.4	22.7	667.6%
Debt Service, Including Payments on Financing Agreements	115.8	29.5	46.7	8.0	164.3	1,061.1	2.4	12.8	82.7	1.3			1,524.6	1,450.2	74.4	5.1%
Total Disbursements	115.8	31.0	46.8	25.5	169.6	1,061.9	2.5	13.6	82.7	1.3	-	-	1,550.7	1,453.6	97.1	6.7%
Excess (Deficiency) of Receipts over Disbursements	8,478.4	2,445.1	4,919.0	2,725.9	2,851.6	4,534.3	1,465.0	2,209.5	5,025.9	5,283.6	-	-	39,938.3	45,804.3	(5,866.0)	-12.8%
OTHER FINANCING SOURCES (USES):																
Transfers from Other Funds	353.0	20.6	190.7	131.1	8.8	174.2	59.6	122.6	150.7	208.5			1,419.8	1,470.6	(50.8)	-3.5%
Transfers to Other Funds	(8,667.5)	(2,411.4)	(4,905.9)	(2,534.9)	(2,205.7)	(5,579.2)	(1,414.1)	(2,191.6)	(4,658.9)	(2,711.3)			(37,280.5)	(41,282.7)	(4,002.2)	-9.7%
Total Other Financing Sources (Uses)	(8,314.5)	(2,390.8)	(4,715.2)	(2,403.8)	(2,196.9)	(5,405.0)	(1,354.5)	(2,069.0)	(4,508.2)	(2,502.8)	-	-	(35,860.7)	(39,812.1)	3,951.4	9.9%
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	163.9	54.3	203.8	322.1	654.7	(870.7)	110.5	140.5	517.7	2,780.8	-	-	4,077.6	5,992.2	(1,914.6)	-32.0%
Ending Fund Balance	$ 265.9	$ 320.2	$ 524.0	$ 846.1	$ 1,500.8	$ 630.1	$ 740.6	$ 881.1	$ 1,398.8	$ 4,179.6	$ -	$ -	$ 4,179.6	$ 6,057.2	$ (1,877.6)	-31.0%

STATE OF NEW YORK
CAPITAL PROJECTS FUNDS - COMBINED
STATEMENT OF CASH FLOW
FISCAL YEAR 2022-2023
(amounts in millions)

EXHIBIT I

	2022 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2023 JANUARY	FEBRUARY	MARCH	Intra-Fund Transfer Eliminations (*)	10 Months Ended January 31 2023	2022	$ Increase/ (Decrease)	% Increase/ Decrease
Beginning Fund Balance	$ (1,543.9)	$ (1,643.7)	$ (1,319.8)	$ (1,358.2)	$ (1,403.4)	$ (1,623.1)	$ (1,849.1)	$ (1,167.5)	$ (1,275.7)	$ (1,326.2)			$ -	$ (1,543.9)	$ (1,144.0)	$ (399.9)	-35.0%
RECEIPTS:																	
Taxes:																	
Consumption/Use Taxes:																	
Auto Rental	9.4	0.1	21.2	-	0.1	29.9	-	-	23.4	0.2			-	84.3	68.3	16.0	23.4%
Motor Fuel	21.5	29.5	15.2	(1.0)	(0.7)	(1.4)	(1.0)	(1.6)	-	20.0			-	80.5	323.8	(243.3)	-75.1%
Highway Use	11.9	10.5	9.4	11.7	11.4	9.9	13.1	11.2	11.1	18.9			-	119.1	121.6	(2.5)	-2.1%
Total Consumption/Use Taxes	42.8	40.1	45.8	10.7	10.8	38.4	12.1	9.6	34.5	39.1	-	-	-	283.9	513.7	(229.8)	-44.7%
Business Taxes:																	
Corporation Franchise	-	-	-	-	-	-	-	-	-	-			-	-	-	-	0.0%
Corporation and Utilities	1.6	-	1.8	0.2	0.1	1.0	0.2	0.5	1.4	-			-	6.8	5.8	1.0	17.2%
Petroleum Business	47.5	50.2	53.9	49.6	52.7	58.1	49.5	50.3	53.7	47.7			-	513.2	488.9	24.3	5.0%
Total Business Taxes	49.1	50.2	55.7	49.8	52.8	59.1	49.7	50.8	55.1	47.7	-	-	-	520.0	494.7	25.3	5.1%
Other Taxes:																	
Real Estate Transfer	-	-	25.7	25.8	25.7	25.8	25.7	25.7	25.7	25.8			-	205.9	95.3	110.6	116.1%
Total Other Taxes	-	-	25.7	25.8	25.7	25.8	25.7	25.7	25.7	25.8	-	-	-	205.9	95.3	110.6	116.1%
Total Taxes	91.9	90.3	127.2	86.3	89.3	123.3	87.5	86.1	115.3	112.6	-	-	-	1,009.8	1,103.7	(93.9)	-8.5%
Miscellaneous Receipts:																	
Abandoned Property:																	
Bottle Bill	-	-	23.0	-	-	-	-	-	-	-			-	23.0	23.0	-	0.0%
Assessments:																	
Business	7.1	5.9	5.7	5.4	5.2	5.8	5.4	5.3	5.2	5.2			-	56.2	66.6	(10.4)	-15.6%
Fees, Licenses and Permits:																	
Business/Professional	3.3	1.2	1.4	1.0	1.8	2.4	8.0	2.6	2.7	2.9			-	27.3	29.5	(2.2)	-7.5%
Civil	-	-	-	-	-	-	-	-	-	-			-	-	-	-	0.0%
Motor Vehicle	60.0	57.0	55.9	58.9	54.8	54.2	57.4	46.9	58.0	55.3			-	558.4	605.9	(47.5)	-7.8%
Recreational/Consumer	1.4	7.0	1.5	5.3	5.5	1.5	2.7	-	3.1	-			-	28.0	27.8	0.2	0.7%
Fines, Penalties and Forfeitures	1.8	2.3	2.0	1.3	2.0	1.8	2.3	1.6	2.3	2.3			-	19.7	20.0	(0.3)	-1.5%
Interest Earnings	0.1	0.1	0.3	0.5	0.6	0.9	1.0	1.4	1.6	1.9			-	8.4	0.3	8.1	2,700.0%
Receipts from Municipalities	-	0.2	0.3	-	-	0.1	-	-	-	-			-	0.6	0.3	0.3	100.0%
Receipts from Public Authorities:																	
Bond Proceeds	882.7	972.6	513.0	51.5	271.9	683.1	487.6	351.5	587.0	-			-	4,800.9	2,354.7	2,446.2	103.9%
Issuance Fees	-	-	-	-	-	-	-	-	-	-			-	-	-	-	0.0%
Non Bond Related	0.4	(0.1)	1.3	-	0.8	(0.4)	-	-	9.3	0.6			-	11.9	11.7	0.2	1.7%
Rentals	2.6	10.6	1.8	2.7	2.2	1.0	1.8	2.0	1.1	1.2			-	27.0	20.5	6.5	31.7%
Revenues of State Departments:																	
Administrative Recoveries	-	-	-	-	-	-	-	-	-	-			-	-	-	-	0.0%
Gifts, Grants and Donations	-	1.3	1.2	0.9	0.3	2.0	2.0	0.9	1.5	0.2			-	10.3	21.4	(11.1)	-51.9%
Indirect Cost Recoveries	5.7	4.2	11.1	8.5	9.8	10.1	(0.3)	7.5	23.1	12.3			-	92.0	47.3	44.7	94.5%
Rebates	-	-	-	-	-	-	-	-	-	-			-	-	0.1	(0.1)	-100.0%
Restitution and Settlements	0.5	3.0	0.2	0.4	0.2	0.1	0.6	4.1	0.2	0.1			-	9.4	25.2	(15.8)	-62.7%
All Other	7.3	1.9	1.2	1.2	1.1	1.3	2.8	3.4	0.7	0.7			-	21.6	33.9	(12.3)	-36.3%
Sales	-	0.2	-	-	-	0.1	-	-	-	-			-	0.3	6.6	(6.3)	-95.5%
Total Miscellaneous Receipts	972.9	1,067.4	619.9	137.6	356.2	764.0	571.3	427.2	695.8	82.7	-	-	-	5,695.0	3,294.8	2,400.2	72.8%
Federal Receipts	134.4	166.2	210.1	187.9	288.5	180.6	344.1	258.3	215.7	169.5			-	2,155.3	1,564.3	591.0	37.8%
Total Receipts	1,199.2	1,323.9	957.2	411.8	734.0	1,067.9	1,002.9	771.6	1,026.8	364.8	-	-	-	8,860.1	5,962.8	2,897.3	48.6%

STATE OF NEW YORK
CAPITAL PROJECTS FUNDS - COMBINED
STATEMENT OF CASH FLOW
FISCAL YEAR 2022-2023
(amounts in millions)

EXHIBIT I

	2022 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2023 JANUARY	FEBRUARY	MARCH	Intra-Fund Transfer Eliminations (*)	10 Months Ended January 31 2023	2022	$ Increase/ (Decrease)	% Increase/ Decrease
DISBURSEMENTS:																	
Local Assistance Grants:																	
Education	18.6	7.6	10.2	14.9	8.6	3.0	6.4	3.9	44.5	5.4			-	123.1	137.8	(14.7)	-10.7%
Environment and Recreation	4.3	8.9	10.0	14.4	147.9	8.1	13.8	9.8	6.1	77.5			-	300.8	291.9	8.9	3.0%
General Government	12.3	116.7	29.7	27.5	46.6	59.4	62.4	33.7	134.1	29.6			-	552.0	400.1	151.9	38.0%
Public Health:																	
Medicaid	-	-	-	-	-	-	-	-	-	-			-	-	-	-	0.0%
Other Public Health	43.9	16.4	61.2	37.6	35.0	15.2	62.0	30.6	39.2	50.9			-	392.0	521.3	(129.3)	-24.8%
Public Safety	11.7	1.0	14.8	11.9	3.0	2.6	74.5	2.6	1.4	1.3			-	124.8	95.3	29.5	31.0%
Public Welfare	48.7	40.8	33.8	34.4	83.8	57.4	78.8	29.0	25.9	84.0			-	516.6	518.1	(1.5)	-0.3%
Support and Regulate Business	17.1	19.2	81.7	103.1	26.1	8.9	26.6	58.6	91.9	14.4			-	447.6	567.6	(120.0)	-21.1%
Transportation	32.9	70.7	107.4	27.9	45.0	168.9	38.2	55.2	327.7	38.7			-	912.6	2,277.1	(1,364.5)	-59.9%
Total Local Assistance Grants	**189.5**	**281.3**	**348.8**	**271.7**	**396.0**	**323.5**	**362.7**	**223.4**	**670.8**	**301.8**	**-**	**-**	**-**	**3,369.5**	**4,809.2**	**(1,439.7)**	**-29.9%**
Departmental Operations:																	
Personal Service	-	-	-	-	-	-	-	-	-	-			-	-	-	-	0.0%
Non-Personal Service	-	-	-	-	-	-	-	-	-	-			-	-	-	-	0.0%
General State Charges	-	-	-	-	-	-	-	-	-	-			-	-	-	-	0.0%
Capital Projects	489.3	537.1	807.5	545.7	779.9	762.7	751.7	765.6	682.2	614.8			-	6,736.5	6,062.4	674.1	11.1%
Total Disbursements	**678.8**	**818.4**	**1,156.3**	**817.4**	**1,175.9**	**1,086.2**	**1,114.4**	**989.0**	**1,353.0**	**916.6**	**-**	**-**	**-**	**10,106.0**	**10,871.6**	**(765.6)**	**-7.0%**
Excess (Deficiency) of Receipts over Disbursements	**520.4**	**505.5**	**(199.1)**	**(405.6)**	**(441.9)**	**(18.3)**	**(111.5)**	**(217.4)**	**(326.2)**	**(551.8)**	**-**	**-**	**-**	**(1,245.9)**	**(4,908.8)**	**3,662.9**	**74.6%**
OTHER FINANCING SOURCES (USES):																	
Bond and Note Proceeds (net)	-	-	-	-	-	-	-	-	-	-			-	-	-	-	0.0%
Transfers from Other Funds	(611.1)	(172.1)	195.9	369.2	224.9	(9.3)	795.7	111.7	322.5	464.3			-	1,691.7	4,781.3	(3,089.6)	-64.6%
Transfers to Other Funds	(9.1)	(9.5)	(35.2)	(8.8)	(2.7)	(198.4)	(2.6)	(2.5)	(46.8)	(4.3)			-	(319.9)	(342.8)	(22.9)	-6.7%
Total Other Financing Sources (Uses)	**(620.2)**	**(181.6)**	**160.7**	**360.4**	**222.2**	**(207.7)**	**793.1**	**109.2**	**275.7**	**460.0**	**-**	**-**	**-**	**1,371.8**	**4,438.5**	**(3,066.7)**	**-69.1%**
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	**(99.8)**	**323.9**	**(38.4)**	**(45.2)**	**(219.7)**	**(226.0)**	**681.6**	**(108.2)**	**(50.5)**	**(91.8)**	**-**	**-**	**-**	**125.9**	**(470.3)**	**596.2**	**126.8%**
Ending Fund Balance	**$ (1,643.7)**	**$ (1,319.8)**	**$ (1,358.2)**	**$ (1,403.4)**	**$ (1,623.1)**	**$ (1,849.1)**	**$ (1,167.5)**	**$ (1,275.7)**	**$ (1,326.2)**	**$ (1,418.0)**	**$ -**	**$ -**	**$ -**	**$ (1,418.0)**	**$ (1,614.3)**	**$ 196.3**	**12.2%**

(*) Intra-Fund transfer eliminations represent transfers from Capital Projects-State and Federal Funds.

STATE OF NEW YORK
CAPITAL PROJECTS FUNDS - STATE
STATEMENT OF CASH FLOW
FISCAL YEAR 2022-2023
(amounts in millions)

EXHIBIT I

	2022 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2023 JANUARY	FEBRUARY	MARCH	10 Months Ended January 31: 2023	2022	$ Increase/ (Decrease)	% Increase/ Decrease
Beginning Fund Balance	$ (756.8)	$ (835.7)	$ (856.1)	$ (854.3)	$ (918.2)	$ (1,071.0)	$ (1,211.1)	$ (607.3)	$ (785.7)	$ (836.6)			$ (756.8)	$ (563.7)	$ (193.1)	-34.3%
RECEIPTS:																
Taxes:																
Consumption/Use Taxes																
Auto Rental	9.4	0.1	21.2	-	0.1	29.9	-	-	23.4	0.2			84.3	68.3	16.0	23.4%
Motor Fuel	21.5	29.5	15.2	(1.0)	(0.7)	(1.4)	(1.0)	(1.6)	-	20.0			80.5	323.8	(243.3)	-75.1%
Highway Use	11.9	10.5	9.4	11.7	11.4	9.9	13.1	11.2	11.1	18.9			119.1	121.6	(2.5)	-2.1%
Total Consumption/Use Taxes	42.8	40.1	45.8	10.7	10.8	38.4	12.1	9.6	34.5	39.1	-	-	283.9	513.7	(229.8)	-44.7%
Business Taxes																
Corporation Franchise	-	-	-	-	-	-	-	-	-	-			-	-	-	0.0%
Corporation and Utilities	1.6	-	1.8	0.2	0.1	1.0	0.2	0.5	1.4	-			6.8	5.8	1.0	17.2%
Petroleum Business	47.5	50.2	53.9	49.6	52.7	58.1	49.5	50.3	53.7	47.7			513.2	488.9	24.3	5.0%
Total Business Taxes	49.1	50.2	55.7	49.8	52.8	59.1	49.7	50.8	55.1	47.7	-	-	520.0	494.7	25.3	5.1%
Other Taxes																
Real Estate Transfer	-	-	25.7	25.8	25.7	25.8	25.7	25.7	25.7	25.8			205.9	95.3	110.6	116.1%
Total Other Taxes	-	-	25.7	25.8	25.7	25.8	25.7	25.7	25.7	25.8	-	-	205.9	95.3	110.6	116.1%
Total Taxes	91.9	90.3	127.2	86.3	89.3	123.3	87.5	86.1	115.3	112.6	-	-	1,009.8	1,103.7	(93.9)	-8.5%
Miscellaneous Receipts:																
Abandoned Property:																
Bottle Bill	-	-	23.0	-	-	-	-	-	-	-			23.0	23.0	-	0.0%
Assessments:																
Business	7.1	5.9	5.7	5.4	5.2	5.8	5.4	5.3	5.2	5.2			56.2	66.6	(10.4)	-15.6%
Fees, Licenses and Permits:																
Business/Professional	3.3	1.2	1.4	1.0	1.8	2.4	8.0	2.6	2.7	2.9			27.3	29.5	(2.2)	-7.5%
Civil	-	-	-	-	-	-	-	-	-	-			-	-	-	0.0%
Motor Vehicle	60.0	57.0	55.9	58.9	54.8	54.2	57.4	46.9	58.0	55.3			558.4	605.9	(47.5)	-7.8%
Recreational/Consumer	1.4	7.0	1.5	5.3	5.5	1.5	2.7	-	3.1	-			28.0	27.8	0.2	0.7%
Fines, Penalties and Forfeitures	1.8	2.3	2.0	1.3	2.0	1.8	2.3	1.6	2.3	2.3			19.7	20.0	(0.3)	-1.5%
Interest Earnings	0.1	0.1	0.3	0.5	0.6	0.9	1.0	1.4	1.6	1.9			8.4	0.3	8.1	2,700.0%
Receipts from Municipalities	-	0.2	0.3	-	-	0.1	-	-	-	-			0.6	0.3	0.3	100.0%
Receipts from Public Authorities:																
Bond Proceeds	882.7	972.6	513.0	51.5	271.9	683.1	487.6	351.5	587.0	-			4,800.9	2,354.7	2,446.2	103.9%
Issuance Fees	-	-	-	-	-	-	-	-	-	-			-	-	-	0.0%
Non Bond Related	0.4	(0.1)	1.3	-	0.8	(0.4)	-	-	9.3	0.6			11.9	11.7	0.2	1.7%
Rentals	2.6	10.6	1.8	2.7	2.2	1.0	1.8	2.0	1.1	1.2			27.0	20.5	6.5	31.7%
Revenues of State Departments:																
Administrative Recoveries	-	-	-	-	-	-	-	-	-	-			-	-	-	0.0%
Gifts, Grants and Donations	-	1.3	1.2	0.9	0.3	2.0	2.0	0.9	1.5	0.2			10.3	21.4	(11.1)	-51.9%
Indirect Cost Recoveries	5.7	4.2	11.1	8.5	9.8	10.1	(0.3)	7.5	23.1	12.3			92.0	47.6	44.4	93.3%
Rebates	-	-	-	-	-	-	-	-	-	-			-	0.1	(0.1)	-100.0%
Restitution and Settlements	0.5	3.0	0.2	0.4	0.2	0.1	0.6	4.1	0.2	0.1			9.4	25.2	(15.8)	-62.7%
All Other	7.3	1.9	1.2	1.2	1.1	1.3	2.8	3.4	0.7	0.7			21.6	33.9	(12.3)	-36.3%
Sales	-	0.2	-	-	-	0.1	-	-	-	-			0.3	6.6	(6.3)	-95.5%
Total Miscellaneous Receipts	972.9	1,067.4	619.9	137.6	356.2	764.0	571.3	427.2	695.8	82.7	-	-	5,695.0	3,295.1	2,399.9	72.8%
Federal Receipts	-	-	-	0.1	2.1	-	0.1	-	0.1	-			2.4	12.0	(9.6)	-80.0%
Total Receipts	1,064.8	1,157.7	747.1	224.0	447.6	887.3	658.9	513.3	811.2	195.3	-	-	6,707.2	4,410.8	2,296.4	52.1%

STATE OF NEW YORK
CAPITAL PROJECTS FUNDS - STATE
STATEMENT OF CASH FLOW
FISCAL YEAR 2022-2023
(amounts in millions)

EXHIBIT I

	2022 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2023 JANUARY	FEBRUARY	MARCH	10 Months Ended January 31 2023	2022	$ Increase/ (Decrease)	% Increase/ Decrease
DISBURSEMENTS:																
Local Assistance Grants:																
Education	18.6	7.6	10.2	14.9	8.6	3.0	6.4	3.9	44.5	5.4			123.1	137.8	(14.7)	-10.7%
Environment and Recreation	4.3	8.9	10.0	14.4	39.6	8.1	13.8	9.8	6.1	77.5			192.5	185.5	7.0	3.8%
General Government	12.3	116.7	29.7	27.5	46.6	59.4	62.4	33.7	134.1	29.6			552.0	400.1	151.9	38.0%
Public Health:																
Medicaid	-	-	-	-	-	-	-	-	-	-			-	-	-	0.0%
Other Public Health	43.9	16.4	61.2	37.6	35.0	12.7	62.1	30.6	31.4	50.8			381.7	517.0	(135.3)	-26.2%
Public Safety	0.1	1.0	(2.4)	4.4	3.0	2.6	4.6	2.6	1.4	1.3			18.6	34.4	(15.8)	-45.9%
Public Welfare	48.7	40.8	33.8	34.4	83.8	57.4	78.8	29.0	25.9	84.0			516.6	518.1	(1.5)	-0.3%
Support and Regulate Business	17.1	19.2	81.7	103.1	26.1	8.9	26.6	58.6	91.9	14.4			447.6	567.6	(120.0)	-21.1%
Transportation	4.1	16.9	78.8	5.8	14.1	133.2	7.0	22.6	275.9	7.3			565.7	1,914.1	(1,348.4)	-70.4%
Total Local Assistance Grants	**149.1**	**227.5**	**303.0**	**242.1**	**256.8**	**285.3**	**261.7**	**190.8**	**611.2**	**270.3**	**-**	**-**	**2,797.8**	**4,274.6**	**(1,476.8)**	**-34.5%**
Departmental Operations:																
Personal Service	-	-	-	-	-	-	-	-	-	-			-	-	-	0.0%
Non-Personal Service	-	-	-	-	-	-	-	-	-	-			-	-	-	0.0%
General State Charges	-	-	-	-	-	-	-	-	-	-			-	-	-	0.0%
Capital Projects	374.4	769.0	603.2	406.1	565.9	534.4	586.5	610.1	526.6	504.1			5,480.3	4,738.5	741.8	15.7%
Total Disbursements	**523.5**	**996.5**	**906.2**	**648.2**	**822.7**	**819.7**	**848.2**	**800.9**	**1,137.8**	**774.4**	**-**	**-**	**8,278.1**	**9,013.1**	**(735.0)**	**-8.2%**
Excess (Deficiency) of Receipts over Disbursements	**541.3**	**161.2**	**(159.1)**	**(424.2)**	**(375.1)**	**67.6**	**(189.3)**	**(287.6)**	**(326.6)**	**(579.1)**	**-**	**-**	**(1,570.9)**	**(4,602.3)**	**3,031.4**	**65.9%**
OTHER FINANCING SOURCES (USES):																
Bond and Note Proceeds (net)	-	-	-	-	-	-	-	-	-	-			-	-	-	0.0%
Transfers from Other Funds	(611.1)	(172.1)	195.9	369.2	224.9	(9.3)	795.7	111.7	322.5	464.3			1,691.7	4,779.2	(3,087.5)	-64.6%
Transfers to Other Funds	(9.1)	(9.5)	(35.0)	(8.9)	(2.6)	(198.4)	(2.6)	(2.5)	(46.8)	(4.3)			(319.7)	(342.4)	(22.7)	-6.6%
Total Other Financing Sources (Uses)	**(620.2)**	**(181.6)**	**160.9**	**360.3**	**222.3**	**(207.7)**	**793.1**	**109.2**	**275.7**	**460.0**	**-**	**-**	**1,372.0**	**4,436.8**	**(3,064.8)**	**-69.1%**
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	**(78.9)**	**(20.4)**	**1.8**	**(63.9)**	**(152.8)**	**(140.1)**	**603.8**	**(178.4)**	**(50.9)**	**(119.1)**	**-**	**-**	**(198.9)**	**(165.5)**	**(33.4)**	**-20.2%**
Ending Fund Balance	**$ (835.7)**	**$ (856.1)**	**$ (854.3)**	**$ (918.2)**	**$ (1,071.0)**	**$ (1,211.1)**	**$ (607.3)**	**$ (785.7)**	**$ (836.6)**	**$ (955.7)**	**$ -**	**$ -**	**$ (955.7)**	**$ (729.2)**	**$ (226.5)**	**-31.1%**

EXHIBIT I

STATE OF NEW YORK
CAPITAL PROJECTS FUNDS - FEDERAL
STATEMENT OF CASH FLOW
FISCAL YEAR 2022-2023
(amounts in millions)

	2022 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2023 JANUARY	FEBRUARY	MARCH	10 Months Ended January 31: 2023	2022	$ Increase/ (Decrease)	% Increase/ Decrease
Beginning Fund Balance	$ (787.1)	$ (808.0)	$ (463.7)	$ (503.9)	$ (485.2)	$ (552.1)	$ (638.0)	$ (560.2)	$ (490.0)	$ (489.6)			$ (787.1)	$ (580.3)	$ (206.8)	-35.6%
RECEIPTS:																
Miscellaneous Receipts:																
Abandoned Property:																
Bottle Bill	-	-	-	-	-	-	-	-	-	-			-	-	-	0.0%
Assessments:																
Business	-	-	-	-	-	-	-	-	-	-			-	-	-	0.0%
Fees, Licenses and Permits:																
Business/Professional	-	-	-	-	-	-	-	-	-	-			-	-	-	0.0%
Civil	-	-	-	-	-	-	-	-	-	-			-	-	-	0.0%
Motor Vehicle	-	-	-	-	-	-	-	-	-	-			-	-	-	0.0%
Recreational/Consumer	-	-	-	-	-	-	-	-	-	-			-	-	-	0.0%
Fines, Penalties and Forfeitures	-	-	-	-	-	-	-	-	-	-			-	-	-	0.0%
Interest Earnings	-	-	-	-	-	-	-	-	-	-			-	-	-	0.0%
Receipts from Municipalities	-	-	-	-	-	-	-	-	-	-			-	-	-	0.0%
Receipts from Public Authorities:																
Bond Proceeds	-	-	-	-	-	-	-	-	-	-			-	-	-	0.0%
Issuance Fees	-	-	-	-	-	-	-	-	-	-			-	-	-	0.0%
Non Bond Related	-	-	-	-	-	-	-	-	-	-			-	-	-	0.0%
Rentals	-	-	-	-	-	-	-	-	-	-			-	-	-	0.0%
Revenues of State Departments:																
Administrative Recoveries	-	-	-	-	-	-	-	-	-	-			-	-	-	0.0%
Gifts, Grants and Donations	-	-	-	-	-	-	-	-	-	-			-	-	-	0.0%
Indirect Cost Recoveries	-	-	-	-	-	-	-	-	-	-			-	(0.3)	0.3	100.0%
Restitution and Settlements	-	-	-	-	-	-	-	-	-	-			-	-	-	0.0%
All Other	-	-	-	-	-	-	-	-	-	-			-	-	-	0.0%
Sales	-	-	-	-	-	-	-	-	-	-			-	-	-	0.0%
Total Miscellaneous Receipts	-	-	-	-	-	-	-	-	-	-	-	-	-	(0.3)	0.3	100.0%
Federal Receipts	134.4	166.2	210.1	187.8	286.4	180.6	344.0	258.3	215.6	169.5			2,152.9	1,552.3	600.6	38.7%
Total Receipts	134.4	166.2	210.1	187.8	286.4	180.6	344.0	258.3	215.6	169.5	-	-	2,152.9	1,552.0	600.9	38.7%
DISBURSEMENTS:																
Local Assistance Grants:																
Education	-	-	-	-	-	-	-	-	-	-			-	-	-	0.0%
Environment and Recreation	-	-	-	-	108.3	-	-	-	-	-			108.3	106.4	1.9	1.8%
General Government	-	-	-	-	-	-	-	-	-	-			-	-	-	0.0%
Public Health:																
Medicaid	-	-	-	-	-	-	-	-	-	-			-	-	-	0.0%
Other Public Health	-	-	-	-	-	2.5	(0.1)	-	7.8	0.1			10.3	4.3	6.0	139.5%
Public Safety	11.6	-	17.2	7.5	-	-	69.9	-	-	-			106.2	60.9	45.3	74.4%
Public Welfare	-	-	-	-	-	-	-	-	-	-			-	-	-	0.0%
Support and Regulate Business	-	-	-	-	-	-	-	-	-	-			-	-	-	0.0%
Transportation	28.8	53.8	28.6	22.1	30.9	35.7	31.2	32.6	51.8	31.4			346.9	363.0	(16.1)	-4.4%
Total Local Assistance Grants	40.4	53.8	45.8	29.6	139.2	38.2	101.0	32.6	59.6	31.5	-	-	571.7	534.6	37.1	6.9%
Departmental Operations:																
Personal Service	-	-	-	-	-	-	-	-	-	-			-	-	-	0.0%
Non-Personal Service	-	-	-	-	-	-	-	-	-	-			-	-	-	0.0%
General State Charges	-	-	-	-	-	-	-	-	-	-			-	-	-	0.0%
Capital Projects	114.9	(231.9)	204.3	139.6	214.0	228.3	165.2	155.5	155.6	110.7			1,256.2	1,323.9	(67.7)	-5.1%
Total Disbursements	155.3	(178.1)	250.1	169.2	353.2	266.5	266.2	188.1	215.2	142.2	-	-	1,827.9	1,858.5	(30.6)	-1.6%
Excess (Deficiency) of Receipts over Disbursements	(20.9)	344.3	(40.0)	18.6	(66.8)	(85.9)	77.8	70.2	0.4	27.3	-	-	325.0	(306.5)	631.5	206.0%
OTHER FINANCING SOURCES (USES):																
Transfers from Other Funds	-	-	-	-	-	-	-	-	-	-			-	2.1	(2.1)	-100.0%
Transfers to Other Funds	-	-	(0.2)	0.1	(0.1)	-	-	-	-	-			(0.2)	(0.4)	(0.2)	-50.0%
Total Other Financing Sources (Uses)	-	-	(0.2)	0.1	(0.1)	-	-	-	-	-	-	-	(0.2)	1.7	(1.9)	-111.8%
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	(20.9)	344.3	(40.2)	18.7	(66.9)	(85.9)	77.8	70.2	0.4	27.3	-	-	324.8	(304.8)	629.6	206.6%
Ending Fund Balance	$ (808.0)	$ (463.7)	$ (503.9)	$ (485.2)	$ (552.1)	$ (638.0)	$ (560.2)	$ (490.0)	$ (489.6)	$ (462.3)	$ -	$ -	$ (462.3)	$ (885.1)	$ 422.8	47.8%

STATE OF NEW YORK
ENTERPRISE FUNDS
STATEMENT OF CASH FLOW
FISCAL YEAR 2022-2023
(amounts in millions)

EXHIBIT J

	2022 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2023 JANUARY	FEBRUARY	MARCH	10 Months Ended January 31: 2023	2022	$ Increase/ (Decrease)	% Increase/ Decrease
Beginning Fund Balance	$ 357.7	$ 331.3	$ 637.8	$ 414.6	$ 366.2	$ 469.1	$ 327.8	$ 332.5	$ 387.2	$ 419.0			$ 357.7	$ 328.0	$ 29.7	9.1%
RECEIPTS:																
Miscellaneous Receipts	191.2	257.2	199.4	295.9	325.9	311.6	225.1	302.2	359.8	214.8			2,683.1	2,449.1	234.0	9.6%
Federal Receipts	14.2	11.3	10.1	7.3	7.2	5.2	2.9	4.1	3.4	3.1			68.8	23,928.6	(23,859.8)	-99.7%
Unemployment Taxes	162.8	71.0	-	37.7	202.7	149.4	158.2	168.1	188.0	262.5			1,400.4	2,702.2	(1,301.8)	-48.2%
Total Receipts	368.2	339.5	209.5	340.9	535.8	466.2	386.2	474.4	551.2	480.4	-	-	4,152.3	29,079.9	(24,927.6)	-85.7%
DISBURSEMENTS:																
Departmental Operations:																
Personal Service	134.4	133.0	179.5	128.1	123.3	138.5	140.5	138.7	196.6	116.3			1,428.9	1,380.8	48.1	3.5%
Non-Personal Service	26.3	32.8	42.8	33.3	38.6	266.1	30.2	36.7	41.0	41.1			588.9	368.0	220.9	60.0%
General State Charges	59.0	55.8	51.6	61.6	63.6	47.8	49.4	72.6	90.0	60.9			612.3	615.4	(3.1)	-0.5%
Unemployment Benefits	175.9	(186.6)	158.8	166.3	209.4	155.1	161.4	171.7	191.8	265.5			1,469.3	26,734.1	(25,264.8)	-94.5%
Total Disbursements	395.6	35.0	432.7	389.3	434.9	607.5	381.5	419.7	519.4	483.8	-	-	4,099.4	29,098.3	(24,998.9)	-85.9%
Excess (Deficiency) of Receipts over Disbursements	(27.4)	304.5	(223.2)	(48.4)	100.9	(141.3)	4.7	54.7	31.8	(3.4)	-	-	52.9	(18.4)	71.3	387.5%
OTHER FINANCING SOURCES (USES):																
Transfers from Other Funds	1.0	2.0	-	-	2.0	-	-	-	-	-			5.0	7.0	(2.0)	-28.6%
Transfers to Other Funds	-	-	-	-	-	-	-	-	-	-			-	-	-	0.0%
Total Other Financing Sources (Uses)	1.0	2.0	-	-	2.0	-	-	-	-	-	-	-	5.0	7.0	(2.0)	-28.6%
Excess (Deficiency) of Receipts and Other Financing Sources Over Disbursements and Other Financing Uses	(26.4)	306.5	(223.2)	(48.4)	102.9	(141.3)	4.7	54.7	31.8	(3.4)	-	-	57.9	(11.4)	69.3	607.9%
Ending Fund Balance	$ 331.3	$ 637.8	$ 414.6	$ 366.2	$ 469.1	$ 327.8	$ 332.5	$ 387.2	$ 419.0	$ 415.6	$ -	$ -	$ 415.6	$ 316.6	$ 99.0	31.3%

STATE OF NEW YORK
INTERNAL SERVICE FUNDS
STATEMENT OF CASH FLOW
FISCAL YEAR 2022-2023
(amounts in millions)

EXHIBIT K

	2022 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2023 JANUARY	FEBRUARY	MARCH	10 Months Ended January 31: 2023	2022	$ Increase/ (Decrease)	% Increase/ Decrease
Beginning Fund Balance	$ (136.7)	$ (149.9)	$ (159.2)	$ (175.0)	$ (189.0)	$ (224.8)	$ (245.5)	$ (281.1)	$ (254.4)	$ (263.5)			$ (136.7)	$ (363.5)	$ 226.8	62.4%
RECEIPTS:																
Miscellaneous Receipts	31.8	36.0	46.6	25.8	43.7	38.8	37.6	85.3	50.0	61.0			456.6	415.2	41.4	10.0%
Total Receipts	31.8	36.0	46.6	25.8	43.7	38.8	37.6	85.3	50.0	61.0	-	-	456.6	415.2	41.4	10.0%
DISBURSEMENTS:																
Departmental Operations:																
Personal Service	10.4	9.7	10.4	9.8	15.1	10.0	13.5	10.5	8.5	11.2			109.1	107.7	1.4	1.3%
Non-Personal Service	33.8	33.5	79.6	27.2	68.2	49.8	55.2	50.9	48.3	40.5			487.0	380.4	106.6	28.0%
General State Charges	3.3	5.0	6.9	4.7	4.7	4.2	6.6	7.4	3.6	5.5			51.9	52.6	(0.7)	-1.3%
Total Disbursements	47.5	48.2	96.9	41.7	88.0	64.0	75.3	68.8	60.4	57.2	-	-	648.0	540.7	107.3	19.8%
Excess (Deficiency) of Receipts over Disbursements	(15.7)	(12.2)	(50.3)	(15.9)	(44.3)	(25.2)	(37.7)	16.5	(10.4)	3.8	-	-	(191.4)	(125.5)	(65.9)	-52.5%
OTHER FINANCING SOURCES (USES):																
Transfers from Other Funds	2.5	3.0	38.4	2.1	8.5	4.5	2.2	10.2	2.6	2.6			76.6	95.4	(18.8)	-19.7%
Transfers to Other Funds	-	(0.1)	(3.9)	(0.2)	-	-	(0.1)	-	(1.3)	-			(5.6)	(5.0)	0.6	12.0%
Total Other Financing Sources (Uses)	2.5	2.9	34.5	1.9	8.5	4.5	2.1	10.2	1.3	2.6	-	-	71.0	90.4	(19.4)	-21.5%
Excess (Deficiency) of Receipts and Other Financing Sources Over Disbursements and Other Financing Uses	(13.2)	(9.3)	(15.8)	(14.0)	(35.8)	(20.7)	(35.6)	26.7	(9.1)	6.4	-	-	(120.4)	(35.1)	(85.3)	-243.0%
Ending Fund Balance	$ (149.9)	$ (159.2)	$ (175.0)	$ (189.0)	$ (224.8)	$ (245.5)	$ (281.1)	$ (254.4)	$ (263.5)	$ (257.1)	$ -	$ -	$ (257.1)	$ (398.6)	$ 141.5	35.5%

STATE OF NEW YORK
TRUST FUNDS
STATEMENT OF CASH FLOW
FISCAL YEAR 2022-2023
(amounts in millions)

EXHIBIT L

	2022 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2023 JANUARY	FEBRUARY	MARCH	10 Months Ended January 31: 2023	2022	$ Increase/ (Decrease)	% Increase/ Decrease
Beginning Fund Balance	$ 318.9	$ 320.1	$ 319.6	$ 321.8	$ 318.8	$ 314.6	$ 321.2	$ 317.1	$ 321.1	$ 321.6			$ 318.9	$ -	$ 318.9	100.0%
RECEIPTS:																
Miscellaneous Receipts	11.4	10.9	16.0	7.0	10.0	19.4	7.1	54.8	13.9	12.1			162.6	118.3	44.3	37.4%
Total Receipts	**11.4**	**10.9**	**16.0**	**7.0**	**10.0**	**19.4**	**7.1**	**54.8**	**13.9**	**12.1**	**-**	**-**	**162.6**	**118.3**	**44.3**	**37.4%**
DISBURSEMENTS:																
Departmental Operations:																
Personal Service	5.9	6.6	5.9	5.7	9.6	5.9	6.5	6.1	5.9	6.0			64.1	65.3	(1.2)	-1.8%
Non-Personal Service	0.6	1.0	1.8	0.7	0.8	2.7	0.6	38.9	3.7	1.1			51.9	12.9	39.0	302.3%
General State Charges	3.7	3.8	6.1	3.6	3.8	4.2	4.1	5.8	3.8	3.7			42.6	42.5	0.1	0.2%
Total Disbursements	**10.2**	**11.4**	**13.8**	**10.0**	**14.2**	**12.8**	**11.2**	**50.8**	**13.4**	**10.8**	**-**	**-**	**158.6**	**120.7**	**37.9**	**31.4%**
Excess (Deficiency) of Receipts over Disbursements	**1.2**	**(0.5)**	**2.2**	**(3.0)**	**(4.2)**	**6.6**	**(4.1)**	**4.0**	**0.5**	**1.3**	**-**	**-**	**4.0**	**(2.4)**	**6.4**	**266.7%**
OTHER FINANCING SOURCES (USES):																
Transfers from Other Funds	-	-	-	-	-	-	-	-	-	-			-	-	-	0.0%
Transfers to Other Funds	-	-	-	-	-	-	-	-	-	-			-	-	-	0.0%
Total Other Financing Sources (Uses)	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**0.0%**
Excess (Deficiency) of Receipts and Other Financing Sources Over Disbursements and Other Financing Uses	**1.2**	**(0.5)**	**2.2**	**(3.0)**	**(4.2)**	**6.6**	**(4.1)**	**4.0**	**0.5**	**1.3**	**-**	**-**	**4.0**	**(2.4)**	**6.4**	**266.7%**
Ending Fund Balance	**$ 320.1**	**$ 319.6**	**$ 321.8**	**$ 318.8**	**$ 314.6**	**$ 321.2**	**$ 317.1**	**$ 321.1**	**$ 321.6**	**$ 322.9**	**$ -**	**$ -**	**$ 322.9**	**$ (2.4)**	**$ 325.3**	**13,554.2%**

STATE OF NEW YORK
PRIVATE PURPOSE TRUST FUNDS
STATEMENT OF CASH FLOW
FISCAL YEAR 2022-2023
(amounts in millions)

EXHIBIT M

	2022 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2023 JANUARY	FEBRUARY	MARCH	10 Months Ended January 31 2023	2022	$ Increase/ (Decrease)	% Increase/ Decrease
Beginning Fund Balance	$ 45.9	$ 47.4	$ 47.9	$ 48.4	$ 48.8	$ 49.3	$ 49.4	$ 50.2	$ 50.7	$ 50.9			$ 45.9	$ 40.2	$ 5.7	14.2%
RECEIPTS:																
Miscellaneous Receipts	1.5	0.6	0.5	0.5	0.6	0.2	0.9	0.6	0.3	1.0			6.7	5.2	1.5	28.8%
Total Receipts	1.5	0.6	0.5	0.5	0.6	0.2	0.9	0.6	0.3	1.0	-	-	6.7	5.2	1.5	28.8%
DISBURSEMENTS:																
Departmental Operations:																
Personal Service	-	0.1	-	-	0.1	0.1	-	-	0.1	-			0.4	0.3	0.1	33.3%
Non-Personal Service	-	-	-	-	-	-	0.1	-	-	-			0.1	0.1	-	0.0%
General State Charges	-	-	-	0.1	-	-	-	0.1	-	-			0.2	0.2	-	0.0%
Total Disbursements	-	0.1	-	0.1	0.1	0.1	0.1	0.1	0.1	-	-	-	0.7	0.6	0.1	16.7%
Excess (Deficiency) of Receipts over Disbursements	1.5	0.5	0.5	0.4	0.5	0.1	0.8	0.5	0.2	1.0	-	-	6.0	4.6	1.4	30.4%
OTHER FINANCING SOURCES (USES):																
Transfers from Other Funds	-	-	-	-	-	-	-	-	-	-			-	-	-	0.0%
Transfers to Other Funds	-	-	-	-	-	-	-	-	-	-			-	-	-	0.0%
Total Other Financing Sources (Uses)	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Excess (Deficiency) of Receipts and Other Financing Sources Over Disbursements and Other Financing Uses	1.5	0.5	0.5	0.4	0.5	0.1	0.8	0.5	0.2	1.0	-	-	6.0	4.6	1.4	30.4%
Ending Fund Balance	$ 47.4	$ 47.9	$ 48.4	$ 48.8	$ 49.3	$ 49.4	$ 50.2	$ 50.7	$ 50.9	$ 51.9	$ -	$ -	51.9	$ 44.8	$ 7.1	15.8%

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STATE OF NEW YORK
GOVERNMENTAL FUNDS
SUMMARY OF CASH RECEIPTS, DISBURSEMENTS AND CHANGES IN FUND BALANCES
FISCAL YEAR 2022-2023
FOR THE MONTH OF JANUARY 2023
(amounts in millions)

SCHEDULE 1

	BALANCE JANUARY 1, 2023	RECEIPTS	DISBURSEMENTS	OTHER FINANCING SOURCES (USES)	BALANCE JANUARY 31, 2023
GENERAL FUND					
10000-10049-Local Assistance Account	$ -	$ 0.051	$ 4,015.306	$ 4,015.255	$ -
10050-10099-State Operations Account	49,414.021	4,156.369	1,580.363	(1,929.801)	50,060.226
10100-10149-Tax Stabilization Reserve	-	-	-	-	-
10150-10199-Contingency Reserve	-	-	-	-	-
10200-10249-Universal Pre-K Reserve	-	-	-	-	-
10250-10299-Community Projects	25.828	-	0.086	-	25.742
10300-10349-Rainy Day Reserve Fund	-	-	-	-	-
10400-10449-Refund Reserve Account	-	-	-	-	-
10500-10549-Fringe Benefits Escrow	-	-	-	-	-
10550-10599-Tobacco Revenue Guarantee	-	-	-	-	-
TOTAL GENERAL FUND	49,439.849	4,156.420	5,595.755	2,085.454	50,085.968
SPECIAL REVENUE FUNDS-STATE					
20000-20099-Mental Health Gifts and Donations	0.813	0.003	-	-	0.816
20100-20299-Combined Expendable Trust	63.040	0.576	1.135	-	62.481
20300-20349-New York Interest on Lawyer Account	160.306	14.160	4.667	-	169.799
20350-20399-NYS Archives Partnership Trust	(0.078)	0.189	0.056	-	0.055
20400-20449-Child Performer's Protection	0.324	0.009	0.045	-	0.288
20450-20499-Tuition Reimbursement	9.244	0.327	0.372	-	9.199
20500-20549-New York State Local Government Records Management Improvement	10.186	0.621	0.484	-	10.323
20550-20599-School Tax Relief	12.335	1,711.000	1,722.106	-	1.229
20600-20649-Charter Schools Stimulus	4.872	0.017	-	-	4.889
20650-20699-Not-For-Profit Short Term Revolving Loan	-	-	-	-	-
20800-20849-HCRA Resources	226.279	539.558	401.720	(0.090)	364.027
20850-20899-Dedicated Mass Transportation Trust	80.744	43.511	61.900	-	62.355
20900-20949-State Lottery	(182.618)	312.084	188.590	-	(59.124)
20950-20999-Combined Student Loan	21.909	(0.619)	0.614	-	20.676
21000-21049-Sewage Treatment Program Mgmt. & Administration	(0.498)	-	0.048	-	(0.546)
21050-21149-Encon Special Revenue	20.040	6.996	7.520	2.909	22.425
21150-21199-Conservation	117.266	0.904	2.634	0.182	115.718
21200-21249-Environmental Protection and Oil Spill Compensation	12.026	4.116	1.488	(1.796)	12.858
21250-21299-Training and Education Program on OSHA	14.026	0.026	3.899	-	10.153
21300-21349-Lawyers' Fund for Client Protection	12.396	1.233	1.477	-	12.152
21350-21399-Equipment Loan for the Disabled	0.520	0.003	-	-	0.523
21400-21449-Mass Transportation Operating Assistance	120.289	227.047	3.820	9.163	352.679
21450-21499-Clean Air	(33.759)	3.277	2.471	-	(32.953)
21500-21549-New York State Infrastructure Trust	0.072	0.001	-	-	0.073
21550-21599-Legislative Computer Services	12.559	0.250	0.058	-	12.751
21600-21649-Biodiversity Stewardship and Research	-	-	-	-	-
21650-21699-Combined Non-Expendable Trust	0.475	0.001	-	-	0.476
21700-21749-Winter Sports Education Trust	-	-	-	-	-
21750-21799-Musical Instrument Revolving	-	-	-	-	-
21850-21899-Arts Capital Grants	0.631	0.003	-	-	0.634
21900-22499-Miscellaneous State Special Revenue	2,179.248	353.753	237.238	28.385	2,324.148
22500-22549-Court Facilities Incentive Aid	57.289	0.202	4.083	-	53.408

STATE OF NEW YORK
GOVERNMENTAL FUNDS
SUMMARY OF CASH RECEIPTS, DISBURSEMENTS AND CHANGES IN FUND BALANCES
FISCAL YEAR 2022-2023
FOR THE MONTH OF JANUARY 2023
(amounts in millions)

SCHEDULE 1

	BALANCE JANUARY 1, 2023	RECEIPTS	DISBURSEMENTS	OTHER FINANCING SOURCES (USES)	BALANCE JANUARY 31, 2023
SPECIAL REVENUE FUNDS-STATE (CONTINUED)					
22550-22599-Employment Training	0.053	-	-	-	0.053
22650-22699-State University Income	2,134.852	582.203	681.115	28.025	2,063.965
22700-22749-Chemical Dependence Service	4.081	0.581	0.017	-	4.645
22750-22799-Lake George Park Trust	0.012	0.800	0.103	-	0.709
22800-22849-State Police Motor Vehicle Law Enforcement and Motor Vehicle Theft and Insurance Fraud Prevention	96.484	0.019	3.865	(35.464)	57.174
22850-22899-New York Great Lakes Protection	0.477	0.001	0.013	-	0.465
22900-22949-Federal Revenue Maximization	0.025	-	-	-	0.025
22950-22999-Housing Development	7.044	0.024	(1.489)	-	8.557
23000-23049-NYS/DOT Highway Safety Program	(21.078)	(0.072)	0.230	-	(21.380)
23050-23099-Vocational Rehabilitation	0.063	0.006	0.013	-	0.056
23100-23149-Drinking Water Program Management and Administration	0.001	-	-	-	0.001
23150-23199-NYC County Clerks' Operations Offset	(53.388)	-	2.646	-	(56.034)
23200-23249-Judiciary Data Processing Offset	90.780	9.434	6.266	-	93.948
23500-23549-USOC Lake Placid Training	0.285	0.001	-	-	0.286
23550-23599-Indigent Legal Services	858.823	41.059	23.237	-	876.645
23600-23649-Unemployment Insurance Interest and Penalty	17.882	2.394	0.005	-	20.271
23650-23699-MTA Financial Assistance Fund	117.098	0.487	12.751	12.751	117.585
23700-23749-New York State Commercial Gaming Fund	123.197	14.614	1.750	-	136.061
23750-23799-Medical Cannabis Trust Fund	14.282	0.681	1.568	0.361	13.756
23800-23899-Dedicated Miscellaneous State Special Revenue	251.880	5.459	0.191	-	257.148
24800-24849-NYS Cannabis Revenue	8.506	0.576	2.099	-	6.983
24850-24899-Health Care Transformation	222.772	0.549	-	-	223.321
24900-24949-Charitable Gifts Trust Fund	0.084	0.001	-	-	0.085
24950-24954-Interactive Fantasy Sports	25.884	0.673	-	-	26.557
24955-24959-Mobile Sports Wagering	276.379	82.169	-	-	358.548
40350-40399-State University Dormitory Income	361.493	18.018	-	(24.963)	354.548
TOTAL SPECIAL REVENUE FUNDS-STATE	**7,457.907**	**3,978.925**	**3,380.805**	**19.463**	**8,075.490**
SPECIAL REVENUE FUNDS-FEDERAL					
25000-25099-Federal USDA/Food and Consumer Services	(121.014)	259.195	182.417	(0.071)	(44.307)
25100-25199-Federal Health and Human Services	9,626.259	4,072.920	4,774.452	(60.790)	8,863.937
25200-25249-Federal Education	(2,421.243)	2,771.293	424.224	-	(74.174)
25300-25899, 25951-Federal Miscellaneous Operating Grants	8,436.881	240.337	215.937	(3.891)	8,457.390
25900-25949-Unemployment Insurance Administration	141.446	29.728	29.044	-	142.130
25950, 25952-25999-Unemployment Insurance Occupational Training	(0.518)	0.408	0.287	-	(0.397)
26000-26049-Federal Employment and Training Grants	(2.444)	10.398	20.095	-	(12.141)
TOTAL SPECIAL REVENUE FUNDS-FEDERAL	**15,659.367**	**7,384.279**	**5,646.456**	**(64.752)**	**17,332.438**
TOTAL SPECIAL REVENUE FUNDS	**23,117.274**	**11,363.204**	**9,027.261**	**(45.289)**	**25,407.928**
DEBT SERVICE FUNDS					
40000-40049-Debt Reduction Reserve	-	-	-	-	-
40100-40149-Mental Health Services	86.989	24.564	-	(92.023)	19.530
40150-40199-General Debt Service	1,277.718	5,186.794	1.299	(2,339.089)	4,124.124
40250-40299-State Housing Debt Service	-	-	-	-	-
40300-40349-Department of Health Income	21.773	7.149	-	(8.197)	20.725
40400-40449-Clean Water/Clean Air	12.281	66.420	-	(63.510)	15.191
40450-40499-Local Government Assistance Tax	-	-	-	-	-
TOTAL DEBT SERVICE FUNDS	**1,398.761**	**5,284.927**	**1.299**	**(2,502.819)**	**4,179.570**

STATE OF NEW YORK
GOVERNMENTAL FUNDS
SUMMARY OF CASH RECEIPTS, DISBURSEMENTS AND CHANGES IN FUND BALANCES
FISCAL YEAR 2022-2023
FOR THE MONTH OF JANUARY 2023
(amounts in millions)

SCHEDULE 1

	BALANCE JANUARY 1, 2023	RECEIPTS	DISBURSEMENTS	OTHER FINANCING SOURCES (USES)	BALANCE JANUARY 31, 2023
CAPITAL PROJECTS FUNDS					
30000-30049-State Capital Projects	-	0.112	455.774	455.662	-
30050-30099-Dedicated Highway and Bridge Trust	(31.630)	162.126	108.649	(1.298)	20.549
30100-30299-SUNY Residence Halls Rehabilitation and Repair	132.074	0.445	3.436	5.000	134.083
30300-30349-New York State Canal System Development	17.174	0.058	-	-	17.232
30350-30399-Parks Infrastructure	(121.484)	-	11.116	-	(132.600)
30400-30449-Passenger Facility Charge	0.015	-	-	-	0.015
30450-30499-Environmental Protection	177.035	27.093	22.521	-	181.607
30500-30549-Clean Water/Clean Air Implementation	-	-	-	-	-
30600-30609-Energy Conservation Thru Improved Transportation Bond	0.164	-	-	-	0.164
30610-30619-Park and Recreation Land Acquisition Bond	-	-	-	-	-
30620-30629-Pure Waters Bond	0.668	-	-	-	0.668
30630-30639-Transportation Capital Facilities Bond	3.328	-	-	-	3.328
30640-30649-Environmental Quality Protection Bond	1.419	-	-	-	1.419
30650-30659-Rebuild and Renew New York Transportation Bond	17.210	-	-	-	17.210
30660-30669-Transportation Infrastructure Renewal Bond	4.255	-	-	-	4.255
30670-30679-1986 Environmental Quality Bond Act	5.550	-	-	-	5.550
30680-30689-Accelerated Capacity and Transportation Improvement Bond	2.778	-	-	-	2.778
30690-30699-Clean Water/Clean Air Bond	1.428	-	-	-	1.428
30700-30709-State Housing Bond	-	-	-	-	-
30710-30719-Smart Schools Bond	-	-	-	-	-
30720-30729-Clean Water, Clean Air, and Green Jobs Bond	-	-	-	-	-
30750-30799-Outdoor Recreation Development Bond	-	-	-	-	-
30900-30949-Rail Preservation and Development Bond	-	-	-	-	-
31350-31449-Federal Capital Projects	(489.597)	169.491	142.189	-	(462.295)
31450-31499-Forest Preserve Expansion	1.096	0.004	-	-	1.100
31500-31549-Hazardous Waste Remedial	(158.638)	2.861	10.723	(1.931)	(168.431)
31650-31699-Suburban Transportation	0.547	0.002	-	-	0.549
31700-31749-Division for Youth Facilities Improvement	(15.733)	-	0.258	-	(15.991)
31800-31849-Housing Assistance	(12.942)	-	-	-	(12.942)
31850-31899-Housing Program	(260.519)	-	83.533	-	(344.052)
31900-31949-Natural Resource Damage	18.130	0.135	0.068	-	18.197
31950-31999-DOT Engineering Services	(12.016)	-	-	-	(12.016)
32200-32249-Miscellaneous Capital Projects	141.896	2.202	2.575	2.768	144.291
32250-32299-CUNY Capital Projects	0.079	-	-	-	0.079
32300-32349-Mental Hygiene Facilities Capital Improvement	(606.020)	0.001	29.635	-	(635.654)
32350-32399-Correction Facilities Capital Improvement	(214.116)	-	32.127	-	(246.243)
32400-32999-State University Capital Projects	63.540	0.217	2.235	(0.150)	61.372
33000-33049-NYS Storm Recovery Fund	(70.485)	-	0.586	-	(71.071)
33050-33099 Dedicated Infrastructure Investment Fund	78.635	-	11.215	-	67.420
TOTAL CAPITAL PROJECTS FUNDS	(1,326.159)	364.747	916.640	460.051	(1,418.001)
TOTAL GOVERNMENTAL FUNDS	$ 72,629.725	$ 21,169.298	$ 15,540.955	$ (2.603)	$ 78,255.465

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STATE OF NEW YORK
PROPRIETARY FUNDS
SUMMARY OF CASH RECEIPTS, DISBURSEMENTS AND CHANGES IN FUND BALANCES
FISCAL YEAR 2022-2023
FOR THE MONTH OF JANUARY 2023
(amounts in millions)

SCHEDULE 2

FUND TYPE	BALANCE JANUARY 1, 2023	RECEIPTS	DISBURSEMENTS	OTHER FINANCING SOURCES (USES)	BALANCE JANUARY 31, 2023
ENTERPRISE FUNDS					
23250-23449-CUNY Senior College Program	$ 264.972	$ 3.498	$ 6.747	$ -	$ 261.723
50000-50049-Youth Commissary	0.138	0.010	0.004	-	0.144
50050-50099-State Exposition Special	5.744	0.182	0.382	-	5.544
50100-50299-Correctional Services Commissary	3.252	3.605	3.241	-	3.616
50300-50399-Agencies Enterprise	21.529	0.541	1.230	-	20.840
50400-50449-Sheltered Workshop	2.001	0.007	0.020	-	1.988
50450-50499-Patient Workshop	2.215	0.014	0.001	-	2.228
50500-50599-Mental Hygiene Community Stores	4.959	0.114	0.116	-	4.957
50650-50699-Unemployment Insurance	25.250	271.938	265.532	-	31.656
60850-60899-CUNY Senior College Operating	88.931	200.499	206.487	-	82.943
TOTAL ENTERPRISE FUNDS	**418.991**	**480.408**	**483.760**	**-**	**415.639**
INTERNAL SERVICE FUNDS					
55000-55049-Centralized Services	(41.353)	43.166	34.168	0.474	(31.881)
55050-55099-Agency Internal Service	(126.683)	15.798	11.890	2.470	(120.305)
55100-55149-Mental Hygiene Revolving	0.059	0.002	0.041	-	0.020
55150-55199-Youth Vocational Education	0.043	-	0.001	-	0.042
55200-55249-Joint Labor and Management Administration	1.627	(0.176)	0.086	-	1.365
55250-55299-Audit and Control Revolving	(50.316)	-	4.454	(0.297)	(55.067)
55300-55349-Health Insurance Revolving	(14.040)	-	2.629	-	(16.669)
55350-55399-Correctional Industries Revolving	(32.788)	2.169	3.978	(0.044)	(34.641)
TOTAL INTERNAL SERVICE FUNDS	**(263.451)**	**60.959**	**57.247**	**2.603**	**(257.136)**
TOTAL PROPRIETARY FUNDS	**$ 155.540**	**$ 541.367**	**$ 541.007**	**$ 2.603**	**$ 158.503**

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SCHEDULE 3

STATE OF NEW YORK
FIDUCIARY FUNDS
SUMMARY OF CASH RECEIPTS, DISBURSEMENTS AND CHANGES IN FUND BALANCES
FISCAL YEAR 2022-2023
FOR THE MONTH OF JANUARY 2023
(amounts in millions)

FUND TYPE	BALANCE JANUARY 1, 2023	RECEIPTS	DISBURSEMENTS	OTHER FINANCING SOURCES (USES)	BALANCE JANUARY 31, 2023
TRUST FUNDS					
65000-65049-Common Retirement Administration	$ (2.220)	$ 10.964	$ 10.796	$ -	$ (2.052)
65050-65099-Retiree Health Benefit Trust	323.834	1.102	-	-	324.936
TOTAL TRUST FUNDS	**321.614**	**12.066**	**10.796**	**-**	**322.884**
PRIVATE PURPOSE TRUST FUNDS					
22022-College Savings Account	35.259	0.965	0.083	-	36.141
66000-66049-Agriculture Producers' Security	3.273	0.011	(0.012)	-	3.296
66050-66099-Milk Producers' Security	12.383	0.099	0.028	-	12.454
TOTAL PRIVATE PURPOSE TRUST FUNDS	**50.915**	**1.075**	**0.099**	**-**	**51.891**
AGENCY FUNDS					
60050-60149-School Capital Facilities Financing Reserve	4.977	0.316	-	-	5.293
60150-60199-Child Performer's Holding	0.640	0.002	0.001	-	0.641
60200-60249-Employees Health Insurance	796.548	1,138.650	831.082	-	1,104.116
60250-60299-Social Security Contribution	14.997	106.167	105.822	-	15.342
60300-60399-Employee Payroll Withholding	4.437	386.623	378.983	-	12.077
60400-60449-Employees Dental Insurance	24.744	4.312	6.478	-	22.578
60450-60499-Management Confidential Group Insurance	1.074	0.890	0.574	-	1.390
60500-60549-Lottery Prize	774.061	115.510	135.014	-	754.557
60550-60599-Health Insurance Reserve Receipts	-	-	-	-	-
60600-60799-Miscellaneous New York State Agency	957.522	590.683	588.712	-	959.493
60800-60849-Elderly Pharmaceutical Insurance Coverage (EPIC) Escrow	31.683	7.217	7.223	-	31.677
60900-60949-Medicaid Management Information System (MMIS) Escrow	279.570	7,625.342	7,647.160	-	257.752
60950-60999-Special Education	-	-	-	-	-
61000-61099-State University of New York Revenue Collection	118.876	307.473	-	-	426.349
61100-61999-State University Federal Direct Lending Program	(0.841)	308.812	324.025	-	(16.054)
62000-62049-SSI SSP Payment Escrow	-	-	-	-	-
TOTAL AGENCY FUNDS	**3,008.288**	**10,591.997**	**10,025.074**	**-**	**3,575.211**
TOTAL FIDUCIARY FUNDS	**$ 3,380.817**	**$ 10,605.138**	**$ 10,035.969**	**$ -**	**$ 3,949.986**

STATE OF NEW YORK
SOLE CUSTODY AND INVESTMENT ACCOUNTS
STATEMENT OF CASH RECEIPTS AND DISBURSEMENTS
FISCAL YEAR 2022-2023
FOR THE MONTH OF JANUARY 2023
(amounts in millions)

SCHEDULE 4

FUND TYPE	BALANCE JANUARY 1, 2023	RECEIPTS	DISBURSEMENTS	BALANCE JANUARY 31, 2023
ACCOUNTS				
70000-70049-Tobacco Settlement	$ 2.926	$ 0.010	$ -	$ 2.936
70093, 70095, 70300-70301-MTA State Assistance	105.633	261.673	89.326	277.980
70050-70149-Sole Custody Investment (*)	2,982.611	3,573.508	3,475.867	3,080.252
70200-Comptroller's Refund Account	-	170.805	170.805	-
TOTAL ACCOUNTS	**$ 3,091.170**	**$ 4,005.996**	**$ 3,735.998**	**$ 3,361.168**

(*) Includes Public Asset Fund resources:

Chapter 1 of the Laws of 2002 authorized the conversion of Empire Health Choice, d/b/a Empire Blue Cross and Blue Shield from a not-for-profit corporation to a for-profit corporation. Chapter 1 requires, in part, that upon such conversion, assets representing 95 percent of the fair market value of the not-for-profit corporation be transferred to a fund designated as the "Public Asset Fund" and 5 percent transferred to a Charitable Foundation - as set forth in Section 7317 of the Insurance Law. On December 28, 2005, WellChoice, Inc. (previously known as Empire Blue Cross, Blue Shield) approved a takeover by WellPoint, Inc. This conversion was also subject to the same Chapter 1 requirements of assigning assets representing 95 percent of the fair market value of the not-for-profit corporation be transferred to the "Public Asset Fund".

As of January 31, 2023, $9,700,951.42 (representing the remaining balance of the State's 95 percent share of the fair market value of the not-for-profit corporation plus interest) is on deposit in the sole custody account titled Public Asset Fund. In accordance with Section 4301(j)(4)(F) and (O) of the Insurance Law and at the direction of the Director of the Budget, these funds are available for transfer to HCRA Resources Fund (20800-20849).

STATE OF NEW YORK
DEBT SERVICE FUNDS
STATEMENT OF DIRECT STATE DEBT ACTIVITY
FISCAL YEAR 2022-2023

SCHEDULE 5

PURPOSE	DEBT OUTSTANDING APRIL 1, 2022	DEBT ISSUED MONTH OF JANUARY	DEBT ISSUED 10 MONTHS ENDED JANUARY 31, 2023	DEBT MATURED MONTH OF JANUARY	DEBT MATURED 10 MONTHS ENDED JANUARY 31, 2023	DEBT OUTSTANDING JANUARY 31, 2023	INTEREST DISBURSED MONTH OF JANUARY	INTEREST DISBURSED 10 MONTHS ENDED JANUARY 31, 2023
GENERAL OBLIGATION BONDED DEBT:								
Accelerated Capacity and Transportation Improvements	$ 8,461,004	$ -	$ -	$ -	$ 812,409	$ 7,648,595	$ -	$ 172,618
Clean Water/Clean Air:								
Air Quality	1,118,878	-	-	-	-	1,118,878	-	17,298
Safe Drinking Water	-	-	-	-	-	-	-	-
Clean Water	253,245,477	-	-	-	8,764,261	244,481,216	-	4,238,321
Solid Waste	7,194,313	-	-	-	123,426	7,070,887	-	121,914
Environmental Restoration	32,751,773	-	-	-	170,000	32,581,773	-	471,815
Energy Conservation Through Improved Transportation:								
Rapid Transit and Rail Freight	758,743	-	-	-	171,238	587,505	-	27,634
Environmental Quality (1972):								
Air	-	-	-	-	-	-	-	-
Land and Wetlands	3,565,402	-	-	-	25,000	3,540,402	-	86,731
Water	3,665,711	-	-	-	650,000	3,015,711	-	70,119
Environmental Quality (1986):								
Land Acquisition/Development/Restoration/Forests	2,056,950	-	-	-	124,993	1,931,957	-	36,535
Solid Waste Management	67,959,839	-	-	-	7,709,455	60,250,384	-	1,381,991
Housing:								
Low Income	3,005,000	-	-	-	-	3,005,000	-	6,235
Middle Income	515,000	-	-	-	515,000	-	-	10,558
Park and Recreation Land Acquisition	-	-	-	-	-	-	-	-
Pure Waters	12,359,481	-	-	-	834,926	11,524,555	-	264,070
Rail Preservation Development	-	-	-	-	-	-	-	-
Rebuild and Renew New York Transportation:								
Highway Facilities	514,054,113	-	-	-	-	514,054,113	-	7,155,424
Canals and Waterways	5,743,603	-	-	-	-	5,743,603	-	109,822
Aviation	38,978,054	-	-	-	-	38,978,054	-	525,331
Rail and Port	84,738,358	-	-	-	-	84,738,358	-	1,246,852
Mass Transit - Dept. of Transportation	12,280,646	-	-	-	-	12,280,646	-	240,873
Mass Transit - Metropolitan Transportation Authority	665,384,487	-	-	-	-	665,384,487	-	9,717,950
Rebuild New York-Transportation Infrastructure Renewal:								
Highways, Parkways, and Bridges	177,295	-	-	-	-	177,295	-	3,591
Rapid Transit, Rail and Aviation	1,170,256	-	-	-	371,992	798,264	-	45,825
Smart Schools Bond Act	276,269,806	-	-	-	-	276,269,806	-	5,459,054
Transportation Capital Facilities:								
Aviation	810,810	-	-	-	312,300	498,510	-	31,627
Mass Transportation	-	-	-	-	-	-	-	-
Total General Obligation Bonded Debt	**$ 1,996,264,999**	**$ -**	**$ -**	**$ -**	**$ 20,585,000**	**$ 1,975,679,999**	**$ -**	**$ 31,442,188**

STATE OF NEW YORK
DEBT SERVICE FUNDS
FINANCING AGREEMENTS
FOR THE TEN MONTHS ENDED JANUARY 31, 2023

SCHEDULE 5a

Special Contractual Financing Obligations:	DEBT REDUCTION RESERVE (40000-40049)	GENERAL DEBT SERVICE (40151)	DEPARTMENT OF HEALTH INCOME (40300-40349)	LOCAL GOVERNMENT ASSISTANCE TAX (40450-40499)	MENTAL HEALTH SERVICES (40100-40149)	REVENUE BOND TAX (40152)	SALES TAX REVENUE BOND TAX (40154)	COMBINED TOTALS 10 MONTHS ENDED JANUARY 31 2023	2022	$ INCREASE/ (DECREASE)
Payments to Public Authorities:										
City University Construction	$ -	$ 9,823,750	$ -	$ -	$ -	$ -	$ -	$ 9,823,750	$ 27,593,459	$ (17,769,709)
Dormitory Authority:										
Consolidated Service Contract Refunding	-	-	-	-	-	-	-	-	-	-
DASNY Revenue Bond	-	-	-	-	-	680,272,503	229,704,620	909,977,123	755,648,622	154,328,501
Department of Health Facilities	-	-	23,213,303	-	-	-	-	23,213,303	24,122,878	(909,575)
Mental Health Facilities	-	-	-	-	-	-	-	-	10,043,312	(10,043,312)
Secured Hospital Program	-	-	-	-	-	-	-	-	1,627,568	(1,627,568)
SUNY Community Colleges	-	4,489,575	-	-	-	-	-	4,489,575	10,879,525	(6,389,950)
SUNY Educational Facilities	-	86,906,420	-	-	-	-	-	86,906,420	105,416,691	(18,510,271)
Environmental Facilities Corporation	-	-	-	-	-	-	-	-	-	-
Housing Finance Agency	-	-	-	-	-	-	-	-	1,772,122	(1,772,122)
Local Government Assistance Corporation	-	-	-	-	-	-	-	-	-	-
Metropolitan Transportation Authority:										
Transit and Commuter Rail Projects	-	-	-	-	-	-	-	-	-	-
Thruway Authority:										
Dedicated Highway and Bridge	-	38,279,027	-	-	-	-	-	38,279,027	70,841,686	(32,562,659)
Local Highway and Bridge	-	-	-	-	-	-	-	-	-	-
Transportation	-	-	-	-	-	61,551,337	-	61,551,337	4,675,750	56,875,587
Urban Development Corporation:										
Clarkson University	-	-	-	-	-	-	-	-	-	-
Consolidated Service Contract Refunding	-	-	-	-	-	-	-	-	2,180,971	(2,180,971)
Debt Reduction Reserve	-	-	-	-	-	-	-	-	-	-
UDC Revenue Bond	-	-	-	-	-	338,267,938	-	338,267,938	361,261,266	(22,993,328)
University Facilities Grant 95 Refunding	-	-	-	-	-	-	-	-	-	-
Total Disbursements for Special Contractual Financing Obligations	**$ -**	**$ 139,498,772**	**$ 23,213,303**	**$ -**	**$ -**	**$ 1,080,091,778**	**$ 229,704,620**	**$ 1,472,508,473**	**$ 1,376,063,850**	**$ 96,444,623**

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STATE OF NEW YORK **SCHEDULE 6**
SUMMARY OF THE OPERATING FUND INVESTMENTS
FOR THE MONTH OF JANUARY 2023
AS REQUIRED OF THE STATE COMPTROLLER
(amounts in millions)

	MONTH OF JANUARY 2023	FISCAL YEAR TO DATE	PRIOR FISCAL YEAR TO DATE
SHORT TERM INVESTMENT POOL (*)			
AVERAGE DAILY INVESTMENT BALANCE (**)	$ 84,491.9	$ 76,687.7	$ 47,087.4
AVERAGE YIELD (**)	4.337%	2.325%	0.064%
TOTAL INVESTMENT EARNINGS	$ 310.993	$ 1,425.074	$ 24.831

Month-End Portfolio Balances

DESCRIPTION	JANUARY 2023 PAR AMOUNT	JANUARY 2022 PAR AMOUNT
GOVT. AGENCY BILLS/NOTES	$ 66,042.2	$ 44,518.5
REPURCHASE AGREEMENTS	415.6	150.1
GOVT. SPONSORED AGENCIES	400.0	600.0
COMMERCIAL PAPER	18,553.1	21,790.6
CERTIFICATES OF DEPOSIT/SAVINGS	2,286.0	2,842.8
0% COMPENSATING BALANCE CDs	13.0	843.0
	$ 87,709.9	**$ 70,745.0**

(*) Pursuant to §98 of the State Finance Law, the State Comptroller is authorized to invest and keep invested all moneys, in any fund, held by the State. The Short Term investment Pool (STIP) represents an accounting mechanism that allows for the separate accounting of individual funds (on deposit in the State's General Checking account) for the purpose of making short term investments. Pursuant to State Finance Law §4(5) the STIP is authorized to temporarily loan to the General Fund-State Operations Account (10050) funds for a period not to exceed the end of the fiscal year. However, it must be noted that certain funds are invested as part of STIP, but are held by the State Comptroller in a fiduciary capacity. Fiduciary fund balances are restricted and may not be used for any State purposes since moneys in such funds are held by the State in a trustee (or fiduciary) capacity or as an agent for individuals, private organizations, or non-State governmental units (e.g. local governments and public authorities). Therefore, Fiduciary fund balances are not available to be temporarily loaned to the General Fund-State Operations Account. Fiduciary fund balances are presented in Schedules 3 and 4 of this report.

(**) Does not include 0% Compensating Balance CDs.

STATE OF NEW YORK
HCRA RESOURCES FUND
STATEMENT OF RECEIPTS AND DISBURSEMENTS BY ACCOUNT
FISCAL YEAR 2022-2023

APPENDIX A

	2022 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2023 JANUARY	FEBRUARY	MARCH	10 Months Ended January 31, 2023
OPENING CASH BALANCE	$ 87,931,710	$ 141,088,217	$ 182,670,540	$ 187,031,381	$ 238,544,189	$ 241,400,977	$ 277,188,358	$ 204,159,554	$ 185,039,312	$ 226,279,058			$ 87,931,710
RECEIPTS:													
Cigarette Tax	57,756,671	51,627,116	56,618,341	52,925,439	57,977,217	50,768,236	53,426,212	50,056,136	46,944,301	54,244,323			532,343,992
State Share of NYC Cigarette Tax	1,135,000	1,655,000	1,317,000	1,588,000	1,275,000	1,080,000	1,618,000	1,287,000	1,343,000	1,067,000			13,365,000
Vapor Excise Tax	(98,534)	56,454	6,231,249	52,371	29,522	6,384,635	36,344	26,211	6,140,511	62,604			18,921,367
STIP Interest	81,431	128,020	228,719	400,830	503,754	849,733	983,358	1,127,286	1,525,750	1,880,929			7,709,810
Assessments	460,795,000	457,110,385	494,356,530	501,114,039	463,444,631	497,516,379	457,684,745	457,490,949	524,550,872	476,490,157			4,790,553,687
Fees	258,000	263,000	1,904,000	480,000	243,000	600,000	83,000	97,000	1,494,000	372,000			5,794,000
Rebates	2,674,887	98,629	7,015,244	8,637,371	960,146	5,219,331	6,301,594	94,150	3,953,447	5,439,926			40,394,725
Restitution and Settlements	-	-	-	-	-	-	-	-	-	-			-
Administrative Recoveries	-	1,238	-	929	-	-	1,174	-	-	1,484			4,825
Miscellaneous	-	834	-	-	75,036,286	-	930	-	3,124	-			75,041,174
Total Receipts	**522,602,455**	**510,940,676**	**567,671,083**	**565,198,979**	**599,469,556**	**562,418,314**	**520,135,357**	**510,178,732**	**585,955,005**	**539,558,423**	**-**	**-**	**5,484,128,580**
DISBURSEMENTS:													
Grants	466,983,855	461,786,102	555,413,995	509,646,072	590,611,112	521,146,745	590,576,992	521,470,323	536,555,196	397,728,268			5,151,918,660
Interest - Late Payments	21	2,373	598	267	3,198	32	41	11,449	715	1,975			20,669
Personal Service	493,093	903,212	1,159,546	935,545	1,379,475	975,307	401,716	1,523,080	1,003,528	488,788			9,263,290
Non-Personal Service	981,103	6,037,428	3,951,478	1,635,787	3,659,372	3,671,793	1,083,953	4,861,546	4,760,835	3,201,139			33,844,434
Employee Benefits/Indirect Costs	565,852	327,907	1,020,037	598,535	587,925	599,454	602,985	541,717	1,023,485	299,767			6,167,664
Total Disbursements	**469,023,924**	**469,057,022**	**561,545,654**	**512,816,206**	**596,241,082**	**526,393,331**	**592,665,687**	**528,408,115**	**543,343,759**	**401,719,937**	**-**	**-**	**5,201,214,717**
OPERATING TRANSFERS:													
Transfers to Capital Projects Fund	-	-	-	-	-	-	-	-	-	-			-
Transfers to General Fund	-	1,238	302,192	929	-	-	1,174	-	176,960	1,484			483,977
Transfers to Miscellaneous Special Revenue Fund:													
Administration Program Account	-	-	-	568,962	-	-	-	-	324,125	-			893,087
Empire State Stem Cell Trust Account	-	-	1,000,000	-	-	-	-	500,000	534,000	-			2,034,000
Transfers to SUNY Income Fund	422,024	300,093	462,396	300,074	371,686	237,602	497,300	390,859	336,415	88,711			3,407,160
Total Operating Transfers	**422,024**	**301,331**	**1,764,588**	**869,965**	**371,686**	**237,602**	**498,474**	**890,859**	**1,371,500**	**90,195**	**-**	**-**	**6,818,224**
Total Disbursements and Transfers	**469,445,948**	**469,358,353**	**563,310,242**	**513,686,171**	**596,612,768**	**526,630,933**	**593,164,161**	**529,298,974**	**544,715,259**	**401,810,132**	**-**	**-**	**5,208,032,941**
CLOSING CASH BALANCE	**$ 141,088,217**	**$ 182,670,540**	**$ 187,031,381**	**$ 238,544,189**	**$ 241,400,977**	**$ 277,188,358**	**$ 204,159,554**	**$ 185,039,312**	**$ 226,279,058**	**$ 364,027,349**	**$ -**	**$ -**	**$ 364,027,349**

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STATE OF NEW YORK
HCRA RESOURCES FUND
STATEMENT OF PROGRAM DISBURSEMENTS
FISCAL YEAR 2022-2023

APPENDIX B

Program/Purpose	Appropriation Amount (*)	January	10 Months Ended January 31, 2023 (**)
CENTER FOR COMMUNITY HEALTH PROGRAM	**$ 8,145,000.00**	**$ 257,843.68**	**$ 2,743,332.80**
CENTER FOR COMMUNITY HLTH	8,145,000.00	257,843.68	2,743,332.80
CHILD HEALTH INSURANCE PROGRAM	**2,207,380,000.00**	**17,253,214.47**	**613,850,351.78**
CHILD HEALTH INSURANCE	2,207,380,000.00	17,253,214.47	613,850,351.78
ELDERLY PHARMACEUTICAL INS COVERAGE PRG	**327,547,000.00**	**8,633,494.40**	**85,302,820.00**
ELDERLY PHARMACEUTICAL INSURANCE COVERAGE	327,547,000.00	8,633,494.40	85,302,820.00
HEALTH CARE REFORM ACT PROGRAM	**1,611,111,059.03**	**16,830,850.78**	**248,962,097.14**
AIDS DRUG ASSISTANCE	123,150,000.00	-	20,000,000.00
AMBULATORY CARE TRAINING	**3,537,000.00**	**-**	**-**
AREA HEALTH EDUCATION CENTER	3,862,000.00	-	-
COMMISSIONER EMERGENCY DISTRIBUTIONS	33,700,000.00	452,327.20	1,916,964.95
DIAGNOSTIC AND TREATMENT CTR UNCOMPENSATED CARE	108,800,000.00	-	-
DIVERSITY IN MEDICINE	5,560,000.00	347,945.33	347,725.16
EMPIRE CLINIC RESEARCH INVESTMENT (ECRIP)	10,335,000.00	-	-
HCRA PAYOR / PROVIDER AUDITS	14,160,000.00	34,217.09	554,133.26
HEALTH FACILITY RESTRUCTURING DASNY	58,800,000.00	-	19,600,000.00
HEALTH WORKFORCE RETRAINING	18,320,000.00	-	-
INFERTILITY SERVICES GRANTS	7,644,000.00	-	375,546.43
MEDICAL INDEMNITY FUND	104,000,000.00	-	52,000,000.00
NURSE LOAN REPAYMENT	2,500,000.00	-	-
NYS WORKFORCE INNOVATION CTR	20,000,000.00	-	-
PART 405.4 HOSPITAL AUDITS NYCRR	3,300,000.00	108,399.39	614,263.21
PHYSICIAN EXCESS MEDICAL MALPRACTICE	309,300,000.00	-	78,499,991.00
PHYSICIAN LOAN REPAYMENT	52,122,000.00	265,467.00	2,406,715.81
PHYSICIAN WORKFORCE STUDIES	1,461,000.00	-	331,430.00
POISON CONTROL CENTERS	11,120,000.00	-	-
POOL ADMINISTRATION	7,950,000.00	226,954.37	2,498,827.78
ROSWELL PARK CANCER INSTITUTE	144,889,000.00	13,865,750.00	55,463,000.00
ROSWELL PARK COMPREHENSIVE CANCER CENTER	50,000.00	-	-
RURAL HEALTH CARE ACCESS	15,950,000.00	-	-
RURAL HEALTH CARE ACCESS & NETWORK DEVELOPMENT	28,229,600.00	1,529,790.40	6,947,264.93
RURAL HEALTH CARE GRANTS	2,200,400.00	-	1,061,234.61
RURAL HEALTH NETWORK	11,610,000.00	-	-
SCHOOL BASED HEALTH CENTERS	6,345,000.00	-	2,115,000.00
SCHOOL BASED HEALTH CLINICS-POOL ADMN	12,690,000.00	-	4,230,000.00
TRANSITION ACCT - PRIOR YEAR ALLOCATION	489,526,059.03	-	-
MEDICAL ASSISTANCE PROGRAM	**29,490,431,000.00**	**355,567,528.45**	**4,220,893,252.85**
HOME HEALTH RATE INCREASE	300,000,000.00	-	-
MEDICAID INDIGENT CARE	4,037,400,000.00	55,567,528.45	520,893,252.85
MEDICAL ASSISTANCE	24,169,831,000.00	300,000,000.00	3,700,000,000.00
PSNL CRE WRKR RECR & RETEN NYC (***)	916,000,000.00	-	-
PSNL CRE WRKR RECR & RETEN ROS (****)	67,200,000.00	-	-
NEW YORK STATE OF HEALTH	**80,008,000.00**	**2,292,094.10**	**19,981,023.15**
NEW YORK STATE OF HEALTH ADMINISTRATION	80,008,000.00	2,292,094.10	19,981,023.15
OFFICE OF HEALTH INSURANCE PROGRAM	**1,834,000.00**	**-**	**-**
OFFICE OF HEALTH INSURANCE	1,834,000.00	-	-
OFFICE OF HEALTH SYSTEMS MANAGEMENT	**46,036,255.00**	**996,434.11**	**10,384,761.38**
OFFICE HEALTH SYSTEMS MANAGEMENT	46,036,255.00	996,434.11	10,384,761.38
REVENUE, PROCESSING & RECONCILIATION	**8,190,000.00**	**-**	**2,551,115.52**
REVENUE, PROCESSING & RECONCILIATION	8,190,000.00	-	2,551,115.52
TOTAL	**33,780,682,314.03**	**401,831,459.99**	**5,204,668,754.62**
Reclass of SUNY Hospital Disprop Share to Transfer		(88,711.65)	(3,407,160.33)
Reclass of SUNY Hospital Poison Control Centers to Transfer		-	-
Reclass of SUNY Empire Clinical Research Investigator Program to Transfer		-	-
Reconciling Adjustment (P-Card and T-Card)		(22,811.97)	(46,877.55)
TOTAL REPORTED AMOUNT	**$ 33,780,682,314.03**	**$ 401,719,936.37**	**$ 5,201,214,716.74**

(*) Includes amounts appropriated in SFY 2022-23, as well as prior year appropriations that were reappropriated.
(**) Disbursements from the HCRA Resources Fund includes direct grant payments to program beneficiaries, services and expenses for administration of grant programs, and transfers to the Public Goods Pool to finance payments made by the State's fiscal agent.
(***) Full title is: NYC Personal Care Workforce Recruitment and Retention Rates Grants.
(****) Full title is: Personal Care Workforce Recruitment and Retention Rates Grants.

APPENDIX C

STATE OF NEW YORK
STATEMENT OF CASH FLOW - PUBLIC GOODS POOL
FISCAL YEAR 2022-2023

	1st Quarter APRIL - JUNE	2nd Quarter JULY - SEPTEMBER	3rd Quarter OCTOBER - DECEMBER	2023 JANUARY	2022-2023
OPENING CASH BALANCE	$ 374,482,519.06	$ 386,716,612.81	$ 376,076,293.19	$ 398,070,821.96	$ 374,482,519.06
RECEIPTS:					
Patient Services	1,027,554,467.94	1,044,913,678.56	1,021,973,929.69	355,588,262.96	3,450,030,339.15
Covered Lives	253,636,963.10	252,482,111.53	241,469,331.55	103,961,875.63	851,550,281.81
Provider Assessments	27,111,933.36	26,230,127.32	24,588,829.39	8,828,283.01	86,759,173.08
1% Assessments	118,732,367.00	111,242,649.00	117,634,336.00	39,736,320.00	387,345,672.00
DASNY- MOE/Recast receivables	-	-	-	-	-
Interest Income	25,903.38	356,669.89	649,666.60	370,079.71	1,402,319.58
Unassigned	(16,548,574.04)	1,461,518.19	40,868,683.74	(5,453,670.13)	20,327,957.76
Total Receipts	1,410,513,060.74	1,436,686,754.49	1,447,184,776.97	503,031,151.18	4,797,415,743.38
PROGRAM DISBURSEMENTS:					
Poison Control Centers	-	-	-	-	-
School Based Health Center Grants	-	-	(4,122,134.00)	(107,866.00)	(4,230,000.00)
ECRIP Distributions	-	-	-	-	-
Total Program Disbursements	-	-	(4,122,134.00)	(107,866.00)	(4,230,000.00)
Excess (Deficiency) of Receipts over Disbursements	1,410,513,060.74	1,436,686,754.49	1,443,062,642.97	502,923,285.18	4,793,185,743.38
OTHER FINANCING SOURCES (USES):					
Transfers From Other Pools:					
Medicaid Disproportionate Share	-	-	-	-	-
Health Facility Assessment Fund - Hospital Quality Contribution	13,981,789.00	14,742,584.00	14,421,168.19	5,578,275.00	48,723,816.19
Transfers From State Funds:					
HCRA Resources Fund	-	-	4,230,000.00	-	4,230,000.00
Total Other Financing Sources	13,981,789.00	14,742,584.00	18,651,168.19	5,578,275.00	52,953,816.19
Transfers To Other Pools:					
Medicaid Disproportionate Share	-	-	-	-	-
Health Facility Assessment Fund	-	-	-	-	-
Transfers To State Funds:					
HCRA Resources Fund	(1,412,260,755.99)	(1,462,069,658.11)	(1,439,719,282.39)	(476,487,579.07)	(4,790,537,275.56)
Indigent Care Fund - Matched	-	-	-	-	-
Indigent Care Fund - Unmatched	-	-	-	-	-
Total Other Financing Uses	(1,412,260,755.99)	(1,462,069,658.11)	(1,439,719,282.39)	(476,487,579.07)	(4,790,537,275.56)
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	12,234,093.75	(10,640,319.62)	21,994,528.77	32,013,981.11	55,602,284.01
CLOSING CASH BALANCE	$ 386,716,612.81	$ 376,076,293.19	$ 398,070,821.96	$ 430,084,803.07	$ 430,084,803.07

Source: HCRA - Office of Pool Administration

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APPENDIX D

STATE OF NEW YORK
STATEMENT OF CASH FLOW - MEDICAID DISPROPORTIONATE SHARE
FISCAL YEAR 2022-2023

	1st Quarter APRIL - JUNE	2nd Quarter JULY - SEPTEMBER	3rd Quarter OCTOBER - DECEMBER	2023 JANUARY	2022-2023
OPENING CASH BALANCE	$ 66,207.90	$ 2,449.15	$ 55,472.90	$ 2,579.07	$ 66,207.90
RECEIPTS:					
Interest Income	3,531.12	4,164.03	8,639.67	3,706.28	20,041.10
Total Receipts	**3,531.12**	**4,164.03**	**8,639.67**	**3,706.28**	**20,041.10**
PROGRAM DISBURSEMENTS:					
Indigent Care	(160,019,552.14)	(162,403,542.31)	(197,673,339.93)	-	(520,096,434.38)
High Need Indigent Care	-	3,613,036.10	2,068,166.06	-	5,681,202.16
Other	2,984,242.74	-	-	-	2,984,242.74
Total Program Disbursements	**(157,035,309.40)**	**(158,790,506.21)**	**(195,605,173.87)**	**-**	**(511,430,989.48)**
Excess (Deficiency) of Receipts over Disbursements	**(157,031,778.28)**	**(158,786,342.18)**	**(195,596,534.20)**	**3,706.28**	**(511,410,948.38)**
OTHER FINANCING SOURCES (USES):					
Transfers From Other Pools:					
Public Goods Pool	-	-	-	-	-
Health Facility Assessment Fund	-	-	-	-	-
Transfers From State Funds:					
HCRA Resources Indigent Care - Matched	70,349,336.18	71,132,751.53	84,962,091.14	31,859,457.32	258,303,636.17
HCRA Resources Indigent Care - Unmatched	(3,645,744.47)	(3,558,785.08)	1,573,545.85	(6,243,431.47)	(11,874,415.17)
Federal DHHS Fund	90,265,586.59	91,270,790.78	109,015,285.86	40,879,029.69	331,430,692.92
Other	-	-	-	-	-
Total Other Financing Sources	**156,969,178.30**	**158,844,757.23**	**195,550,922.85**	**66,495,055.54**	**577,859,913.92**
Transfers To Other Pools:					
Public Goods Pool	-	-	-	-	-
Health Facility Assessment Fund	-	-	-	-	-
Transfers To State Funds:					
HCRA Resources Fund Indigent Care Acct	(1,158.77)	(5,391.30)	(7,282.48)	(2,579.07)	(16,411.62)
CSRA Inc (eMedNY) General Fund	-	-	-	-	-
Total Other Financing Uses	**(1,158.77)**	**(5,391.30)**	**(7,282.48)**	**(2,579.07)**	**(16,411.62)**
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	**(63,758.75)**	**53,023.75**	**(52,893.83)**	**66,496,182.75**	**66,432,553.92**
CLOSING CASH BALANCE	**$ 2,449.15**	**$ 55,472.90**	**$ 2,579.07**	**$ 66,498,761.82**	**$ 66,498,761.82**

Source: HCRA - Office of Pool Administration

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STATE OF NEW YORK
SUMMARY OF OFF-BUDGET SPENDING REPORT
FISCAL YEAR 2022-2023
(amounts in thousands)

APPENDIX E

	2022 APRIL	2022 MAY	2022 JUNE	2022 JULY	2022 AUGUST	2022 SEPTEMBER	2022 OCTOBER	2022 NOVEMBER	2022 DECEMBER	2023 JANUARY	2023 FEBRUARY	2023 MARCH	2022-2023 TOTAL
DORMITORY AUTHORITY:													
Education - All Other	$ -	$ -	$ -	$ -	$ -	$ -	$ -	$ -	$ -	$ -			$ -
Education - EXCEL	-	389	364	115	34	-	-	-	-	-			902
Department of Health - All Other	-	-	6	-	-	-	-	-	4	-			10
Community Enhancement Facilities Assistance Program (CEFAP)	-	-	-	-	-	-	-	-	-	-			-
Regional Development:													
Community Capital Assistance Program (CCAP)/RESTORE	285	189	39	-	-	-	-	-	-	-			513
Multi-modal	-	-	-	-	-	-	-	-	-	-			-
GenNYsis	-	-	-	-	-	-	-	-	-	-			-
CUNY Senior Colleges	-	-	-	-	-	-	-	-	-	-			-
CUNY Community Colleges	-	-	-	-	-	-	-	-	-	-			-
Brooklyn Court Officer Training Academy	-	-	-	-	-	-	-	-	-	-			-
TOTAL DORMITORY AUTHORITY	**285**	**578**	**409**	**115**	**34**	**-**	**-**	**-**	**4**	**-**	**-**	**-**	**1,425**
EMPIRE STATE DEVELOPMENT CORP:													
Regional Development:													
Centers of Excellence	-	-	-	-	-	-	-	-	-	-			-
Community Capital Assistance Program (CCAP)	-	-	-	-	-	-	-	-	-	-			-
Empire Opportunity	-	-	-	-	-	-	-	-	-	-			-
Community Enhancement Facilities Assistance Program (CEFAP)	-	-	-	-	-	-	-	-	-	-			-
State Facilities and Equipment	-	-	-	-	-	-	-	-	-	-			-
TOTAL EMPIRE STATE DEVELOPMENT CORP	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**
TOTAL OFF-BUDGET	**$ 285**	**$ 578**	**$ 409**	**$ 115**	**$ 34**	**$ -**	**$ -**	**$ -**	**$ 4**	**$ -**	**$ -**	**$ -**	**$ 1,425**

The Division of the Budget (DOB) is responsible for organizing and presenting the above schedule of 'Off Budget Spending'. Such reported disbursements are drawn from unaudited financial data provided by public authorities. Although the Office of the State Comptroller (OSC) has no reason to believe this information to be unreliable, it is important to note that these program disbursements are financed with public authority bond proceeds deposited directly into public authority accounts and all disbursements are made without any oversight by the OSC. Therefore, and pursuant to the provisions of Chapter 60, §16, of the Laws of 2006; this schedule is provided for information only.

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APPENDIX F

STATE OF NEW YORK
SCHEDULE OF MONTH-END TEMPORARY LOANS OUTSTANDING(*)

SFS Fund	ACCOUNT TITLE	October 31, 2022	November 30, 2022	December 31, 2022	Change	January 31, 2023	
	GENERAL FUND						
10050	STATE OPERATIONS AND LOCAL ASSISTANCE	$ -	$ -	$ -	$ -	$ -	(***)
	TOTAL GENERAL FUND	-	-	-	-	-	
	CAPITAL PROJECT AND BOND REIMBURSABLE FUNDS						
30051	HIGHWAY AND BRIDGE CAPITAL	61,015,614.88	94,313,917.67	71,694,019.55	(70,225,783.93)	1,468,235.62	
30053	AVIATION PURPOSE ACCOUNT	-	-	-	-	-	
30101	REHAB/REPAIR MARITIME	-	-	-	-	-	
30102	D21RVE- MARITIME	-	-	-	-	-	
30103	D36RVE- CENTRAL ADMIN	-	-	-	-	-	
30104	RESIDENCE HALL CAMPUS LET BOND PROCEEDS	-	-	-	-	-	
30105	REHAB/REPAIR ALBANY	-	-	-	-	-	
30106	D01RVE- ALBANY	-	-	-	-	-	
30107	REHAB/REPAIR BINGHAMTON	-	-	-	-	-	
30108	D07RVE- BINGHAMTON	-	-	-	-	-	
30109	REHAB/REPAIR BUFFALO UNIVERSITY	-	-	-	-	-	
30110	D28RVE- SUNY BUFFALO	-	-	-	-	-	
30111	REHAB/REPAIR STONYBROOK	-	-	-	-	-	
30112	D13RVE- STONYBROOK	-	-	-	-	-	
30113	REHAB/REPAIR BROOKLYN	-	-	-	-	-	
30114	D14RVE - HSC BROOKLYN	-	-	-	-	-	
30115	REHAB/REPAIR SYRACUSE	-	-	-	-	-	
30116	D15RVE- HSC SYRACUSE	-	-	-	-	-	
30117	REHAB/REPAIR BROCKPORT	-	-	-	-	-	
30118	D02RVE- BROCKPORT	-	-	-	-	-	
30119	REHAB/REPAIR BUFFALO COLLEGE	-	-	-	-	-	
30120	D03RVE -SUB BUFFALO	-	-	-	-	-	
30121	REHAB/REPAIR CORTLAND	-	-	-	-	-	
30122	D04RVE- CORTLAND	-	-	-	-	-	
30123	REHAB/REPAIR FREDONIA	-	-	-	-	-	
30124	D05RVE- FREDONIA	-	-	-	-	-	
30125	REHAB/REPAIR GENESEO	-	-	-	-	-	
30126	D06RVE- GENESEO	-	-	-	-	-	
30127	REHAB/REPAIR OLD WESTBURY	-	-	-	-	-	
30128	D31RVE- OLD WESTBURY	-	-	-	-	-	
30129	REHAB/REPAIR NEW PALTZ	-	-	-	-	-	
30130	D08RVE- NEW PALTZ	-	-	-	-	-	
30131	REHAB/REPAIR ONEONTA	-	-	-	-	-	
30132	D09RVE- ONEONTA	-	-	-	-	-	
30133	REHAB/REPAIR OSWEGO	-	-	-	-	-	
30134	D10RVE- OSWEGO	-	-	-	-	-	
30135	REHAB/REPAIR PLATTSBURGH	363,031.28	381,091.16	398,061.23	1,335.83	399,397.06	
30136	D11RVE- PLATTSBURGH	-	-	-	-	-	
30137	REHAB/REPAIR POTSDAM	-	-	-	-	-	
30138	D12RVE- POTSDAM	-	-	-	-	-	
30139	REHAB/REPAIR PURCHASE	-	-	-	-	-	
30140	D29RVE- PURCHASE	-	-	-	-	-	
30141	REHAB/REPAIR FOR UTICA/ROME	-	-	-	-	-	
30142	D27RVE- CAMPUS RESERVE	-	-	-	-	-	
30143	REHAB/REPAIR ALFRED	-	-	-	-	-	
30144	D22RVE- ALFRED	-	-	-	-	-	
30145	REHAB/REPAIR CANTON	-	-	-	-	-	
30146	D23RVE- CANTON	-	-	-	-	-	
30147	REHAB/REPAIR COBLESKILL	-	-	-	-	-	
30148	D24RVE- COBLESKILL	-	-	-	-	-	
30149	REHAB/REPAIR DELHI	-	-	-	-	-	
30150	D25RVE- DELHI	-	-	-	-	-	
30151	REHAB/REPAIR FARMINGDALE	-	-	-	-	-	
30152	D26RVE- FARMINGDALE	-	-	-	-	-	
30153	REHAB/REPAIR MORRISVILLE	-	-	-	-	-	
30154	D27RVE- MORRISVILLE	-	-	-	-	-	
30351	STATE PARK INFRASTRUCTURE	101,928,014.59	112,357,497.75	121,484,257.15	11,116,075.16	132,600,332.31	
30501	CW/CA IMPLEMENTATION DEC	-	-	-	-	-	
30502	CW/CA IMPLEMENTATION STATE	-	-	-	-	-	
30503	CW/CA IMPLEMENTATION ERDA	-	-	-	-	-	
30504	CW/CA IMPLEMENTATION EFC	-	-	-	-	-	
31506	HAZARDOUS WASTE CLEAN UP	190,683,540.82	198,414,870.76	206,517,653.30	7,785,976.05	214,303,629.35	
31701	YOUTH FACILITIES IMPROVEMENT	13,042,326.73	14,679,837.52	15,733,056.98	258,284.06	15,991,341.04	
31801	HOUSING ASSISTANCE	12,941,967.06	12,941,967.06	12,941,967.06	-	12,941,967.06	
31851	HOUSING PROG FD-HSG TR FD CORP	15,666,333.31	42,259,531.31	64,341,394.31	58,240,192.00	122,581,586.31	
31852	HOUSING PROG FD AFFORD HSG CORP	19,488,795.25	19,488,795.25	22,447,795.25	-	22,447,795.25	
31853	HOUSING PROG FD-DEPT OF SOCIAL SERVICES	174,022,710.25	174,022,710.25	174,022,710.25	25,292,000.00	199,314,710.25	
31854	HOUSING PROG FD-HFA	-	-	-	-	-	
31951	HIGHWAY FAC PURPOSE	12,015,920.55	12,015,920.55	12,015,920.55	-	12,015,920.55	

APPENDIX F

STATE OF NEW YORK
SCHEDULE OF MONTH-END TEMPORARY LOANS OUTSTANDING(*)

SFS Fund	ACCOUNT TITLE	October 31, 2022	November 30, 2022	December 31, 2022	Change	January 31, 2023
32213	NY RACING ACCOUNT	153,750.00	153,750.00	153,750.00	-	153,750.00
32214	CAPITAL PROJECT MISC GIFTS	-	-	-	-	-
32215	IT CAPITAL FINANCING ACCT	993.27	995.74	998.61	3.40	1,002.01
32219	NY ENVIRONMENTAL PROTECTION & SPILL REMEDIATION	-	-	-	-	-
32301	OPWDD-STATE FACILITIES PRE 12/99	-	-	-	-	-
32302	DSAS-COMMUINTY FACILITIES	-	-	-	-	-
32303	OMH-COMMUNITY FACILITIES	109,241,744.26	109,821,745.85	115,984,714.26	1,264,311.57	117,249,025.83
32304	OPWDD-COMMUNITY FACILITIES	-	-	-	-	-
32305	OASAS-COMMUNITY FACILITIES	203,986,905.04	205,736,905.04	209,319,717.41	6,595,000.00	215,914,717.41
32306	DASNY - OMH ADMIN	-	-	-	-	-
32307	DASNY - OPWDD ADMIN	6,142,952.04	8,770,017.04	8,770,017.04	-	8,770,017.04
32308	DASNY - OASAS ADMIN	-	652,133.16	652,133.16	-	652,133.16
32309	OMH -STATE FACILITIES	196,917,871.76	225,375,643.84	231,964,021.38	20,441,557.65	252,405,579.03
32310	OPWDD -STATE FACILITIES	38,483,148.32	46,463,138.93	46,477,521.50	816,016.60	47,293,538.10
32311	OASAS -STATE FACILITIES	6,658,872.24	7,287,784.30	7,287,784.30	517,581.08	7,805,365.38
32351	CORR. FACILITIES CAPITAL IMPROVEMENT	-	-	-	-	-
32352	DOCS-REHABILITATION PROJECTS	142,681,694.25	173,172,431.02	214,116,293.13	32,127,125.48	246,243,418.61
32353	CORR. FACILITIES CAPITAL CLOSURE	-	-	-	-	-
33001	STORM RECOVERY ACCOUNT	67,038,054.73	68,729,957.63	70,485,268.62	585,428.98	71,070,697.60
	TOTAL CAPITAL AND BOND REIMBURSABLE FUNDS	1,372,474,240.63	1,527,040,641.83	1,606,809,055.04	94,815,103.93	1,701,624,158.97
	STATE SPECIAL REVENUE FUNDS					
20401	DOL-CHILD PERFORMER PROTECTION ACCOUNT	-	-	-	-	-
20501	LOCAL GOVERNMENT RECORDS MGMT	-	-	-	-	-
20810	CHILD HEALTH INSURANCE	29,761,417.60	74,462,034.93	-	9,791,066.05	9,791,066.05
20818	EPIC PREMIUM ACCOUNT	-	7,088,081.37	-	-	-
20901	LOTTERY-EDUCATION	1,207,511,279.50	994,630,789.39	799,733,312.30	(195,703,633.96)	604,029,678.34
20904	VLT EDUCATION	-	-	-	-	-
21001	ENVIR FAC CORP ADM ACCT	-	-	-	-	-
21002	ENCON ADMIN ACCT	392,189.85	449,996.05	498,380.33	48,384.28	546,764.61
21061	HAZARDOUS BULK STORAGE	-	-	-	-	-
21064	UTILITY ENVIRONMENTAL REGULATORY ACCOUNT	399.98	399.98	399.98	987,800.00	988,199.98
21065	FEDERAL GRANTS INDIRECT COST RECOVERY ACCOUNT	1,039,722.38	1,631,395.72	3,340,316.61	(2,309,580.56)	1,030,736.05
21066	ENCON-LOW LEVEL RADIOACTIVE WASTE SITING	-	-	227,244.73	(227,244.73)	-
21067	ENCON-RECREATION	-	-	-	-	-
21077	PUBLIC SAFETY RECOVERY ACCOUNT	-	-	-	-	-
21081	ENVIRONMENTAL REGULATORY	80,814,320.21	75,969,104.72	79,108,377.62	204,067.21	79,312,444.83
21082	NATURAL RESOURCES ACCOUNT	1,809,340.57	2,149,063.07	2,689,080.14	(112,755.28)	2,576,324.86
21084	MINED LAND RECLAMATION ACCT	-	-	-	-	-
21087	GREAT LAKES RESTORATION INITIATIVE	-	-	-	-	-
21201	AUDIT AND CONTROL OIL SPILL	13.80	12,464.28	-	-	-
21202	HEALTH DEPT OIL SPILL	-	3,999.31	-	-	-
21203	DEPT OF ENVIRONMENTAL CONSERVATION OIL SPILL	-	227,232.58	4,941.48	82,513.78	87,455.26
21204	OIL SPILL COMPENSATION	-	-	-	-	-
21205	LICENSE FEE SURCHARGES	-	-	-	-	-
21206	DEPT OF LAW OIL SPILL	-	51,363.28	-	-	-
21401	PUBLIC TRANSPORTATION SYSTEMS	-	-	-	-	-
21402	METROPOLITAN MASS TRANSPORTATION	-	-	53,754,408.91	(53,754,408.91)	-
21451	OPERATING PERMIT PROGRAM	39,174,968.27	39,117,675.95	39,528,453.81	490,877.83	40,019,331.64
21452	MOBILE SOURCE	-	-	-	-	-
21902	HEALTH-SPARC'S	-	-	-	-	-
21905	THRUWAY AUTHORITY ACCT	-	5,006,501.50	2,548,744.24	(175,072.97)	2,373,671.27
21907	MENTAL HYGIENE PROGRAM	-	-	-	-	-
21909	MENTAL HYGIENE PATIENT INCOME ACCOUNT	-	-	-	-	-
21911	FINANCIAL CONTROL BOARD	131,619.00	335,708.37	549,054.02	(351,045.42)	198,008.60
21912	RACING REGULATION ACCOUNT	3,615,982.86	3,940,794.26	4,373,164.71	(46,031.29)	4,327,133.42
21937	SU DORM INCOME REIMBURSE	105,091.86	2,282,672.52	197,759.70	357,899.06	555,658.76
21945	CRIMINAL JUSTICE IMPROVEMENT	-	-	-	-	-
21959	ENV LAB REF FEE	-	-	-	-	-
21961	TRAINING, MANAGEMENT AND EVALUATION ACCOUNT	384,853.31	443,090.14	148,744.44	34,563.46	183,307.90
21962	CLINICAL LAB FEE	9,979,233.37	10,467,659.59	11,132,721.31	(1,198,270.72)	9,934,450.59
21978	INDIRECT COST RECOVERY	-	-	-	-	-
21989	MULTI - AGENCY TRAINING ACCOUNT	-	-	-	-	-
22003	BELL JAR COLLECTION ACCOUNT	-	-	-	-	-
22004	INDUSTRY AND UTILITY SERVICE	-	-	-	-	-
22006	REAL PROPERTY DISPOSITION	-	-	-	-	-
22007	PARKING ACCOUNT	2,139,929.65	2,366,542.40	2,522,322.43	107,989.09	2,630,311.52
22008	COURTS SPECIAL GRANTS	-	-	-	-	-
22009	ASBESTOS SAFETY TRAINING	-	-	-	-	-
22017	CAMP SMITH BILLETING ACCOUNT	-	-	-	-	-
22032	BATAVIA SCHOOL FOR THE BLIND	10,749,389.77	11,606,383.33	12,596,712.30	840,047.48	13,436,759.78
22034	INVESTMENT SERVICES	-	-	-	-	-
22036	SURPLUS PROPERTY ACCOUNT	-	-	-	-	-
22039	FINANCIAL OVERSIGHT	329,327.48	666,660.43	938,704.28	(600,627.03)	338,077.25

APPENDIX F

STATE OF NEW YORK
SCHEDULE OF MONTH-END TEMPORARY LOANS OUTSTANDING(*)

SFS Fund	ACCOUNT TITLE	October 31, 2022	November 30, 2022	December 31, 2022	Change	January 31, 2023
22046	REGULATION INDIAN GAMING	112,059,480.79	113,112,209.44	113,923,797.22	946,761.64	114,870,558.86
22053	ROME SCHOOL FOR THE DEAF	5,222,147.08	6,017,093.83	6,839,098.46	607,631.05	7,446,729.51
22054	DSP-SEIZED ASSETS	-	-	-	-	-
22055	ADMINISTRATIVE ADJUDICATION	52,068,641.13	55,944,093.90	56,301,938.08	2,967,474.18	59,269,412.26
22062	NYC ASSESSMENT ACCT	-	-	-	-	-
22063	CULTURAL EDUCATION ACCOUNT	-	-	-	-	-
22078	LOCAL SERVICE ACCOUNT	-	-	-	-	-
22085	DHCR MORTGAGE SERVICES	-	-	-	40,941.09	40,941.09
22090	HOUSING INDIRECT COST RECOVERY	-	-	-	-	-
22100	DHCR-HOUSING CREDIT AGENCY APPLY FEE	15,910,280.34	16,258,954.93	16,460,899.71	(291,645.46)	16,169,254.25
22130	LOW INCOME HOUSING CREDIT MONITORING	-	-	-	-	-
22135	EFC-CORPORATION ADMINISTRATION	-	-	-	-	-
22144	MONTROSE VETERAN'S HOME	-	-	-	-	-
22151	DEFERRED COMPENSATION ADMIN	209,097.62	277,421.75	133,855.08	56,982.77	190,837.85
22156	RENT REVENUE OTHER - NYC	-	-	-	-	-
22158	RENT REVENUE	-	-	-	-	-
22165	TRANSPORTATION AVIATION ACCOUNT	-	-	-	-	-
22168	TAX REVENUE ARREARAGE ACCOUNT	-	-	-	-	-
22240	NYS MEDICAL INDEMNITY FUND ACCOUNT	2,800,122.39	2,931,698.88	3,055,675.36	127,968.14	3,183,643.50
22246	BEHAVIORAL HEALTH PARITY COMPLIANCE FUND	-	-	-	-	-
22654	S.U. NON-RESIDENT REV. OFFSET	20,830,167.77	20,881,769.71	20,941,905.64	71,218.12	21,013,123.76
22751	LAKE GEORGE PARK TRUST FUND	-	-	-	-	-
22802	STATE POLICE MV ENFORCE	-	-	-	-	-
23001	DOT - HIGHWAY SAFETY PRGM	20,526,981.75	20,815,758.03	21,078,363.63	301,268.77	21,379,632.40
23102	DOH DRINKING WATER PROGRAM	-	-	-	-	-
23151	NYCCC OPERATING OFFSET	48,533,780.19	50,990,907.69	53,388,347.73	2,645,362.92	56,033,710.65
23702	COMMERCIAL GAMING REGULATION	24,462,321.66	24,848,553.34	25,135,593.74	349,871.69	25,485,465.43
23801	HIGHWAY USE TAX ADMIN	-	-	-	-	-
23806	NYS SECURE CHOICE ADMIN	59,750.04	70,240.11	95,876.71	-	95,876.71
24800	NEW YORK STATE CANNABIS REVENUE FUND	-	-	3,253,637.61	1,540,862.31	4,794,499.92
24951	FANTASY SPORTS ADMINISTRATION	76,363.07	101,892.11	101,892.11	-	101,892.11
	TOTAL STATE SPECIAL REVENUE FUNDS	**1,690,698,213.29**	**1,545,160,206.89**	**1,334,603,724.42**	**(232,168,765.41)**	**1,102,434,959.01**
	FEDERAL FUNDS					
25000-25099	FEDERAL USDA/FOOD AND NUTRITION SERVICES FUND	28,840,359.74	145,380,778.19	125,225,516.65	(76,860,371.96)	48,365,144.69
25100-25199	FEDERAL HEALTH AND HUMAN SERVICES FUND	370,204,729.64	1,433,689,230.72	272,125,098.83	968,068,795.46	1,240,193,894.29
25200-25249	FEDERAL EDUCATION GRANTS FUND	50,307,697.74	38,437,292.46	2,424,382,580.04	(2,347,083,960.67)	77,298,619.37
25300-25899	FEDERAL OPERATING GRANTS FUND	531,484,348.79	524,650,933.58	507,448,447.72	(2,248,937.34)	505,199,510.38
31351	MILITARY AND NAVAL AFFAIRS	8,753,932.66	8,753,932.66	8,753,932.66	-	8,753,932.66
31354	DEPARTMENT OF TRANSPORTATION	463,568,288.95	405,145,957.02	413,108,402.46	(33,720,682.60)	379,387,719.86
31350-31449	FEDERAL CAPITAL PROJECTS FUND (ALL OTHER)	117,401,107.24	112,580,244.23	101,849,857.83	1,752,354.95	103,602,212.78
25900-25949	UNEMPLOYMENT INSURANCE ADMINISTRATION	43,415,927.17	56,011,735.89	37,667,322.06	(4,820,403.79)	32,846,918.27
25950	FEDERAL UNEMPLOYMENT INS OCCUPATIONAL TRAINING	660,886.50	640,385.50	506,468.50	(111,572.00)	394,896.50
26001-26049	DOL EMPLOYMENT AND TRAINING GRANTS	6,705,366.81	8,158,562.11	2,444,233.47	9,696,622.46	12,140,855.93
	TOTAL FEDERAL FUNDS	**1,621,342,645.24**	**2,733,449,052.36**	**3,893,511,860.22**	**(1,485,328,155.49)**	**2,408,183,704.73** (**)
	AGENCY FUNDS					
60201	EMPLOYEES HEALTH INSURANCE ACCT	111,418,692.12	85,833,382.26	-	-	-
60901	MMIS - STATE AND FEDERAL	-	-	-	-	-
	TOTAL AGENCY FUNDS	**111,418,692.12**	**85,833,382.26**	**-**	**-**	**-**
	ENTERPRISE FUND					
50318	OGS CONVENTION CENTER ACCOUNT	784,966.10	837,329.43	833,007.02	40,924.75	873,931.77
50327	EMPIRE PLAZA GIFT SHOP	387,932.11	419,105.16	445,651.54	593.78	446,245.32
	TOTAL ENTERPRISE FUND	**1,172,898.21**	**1,256,434.59**	**1,278,658.56**	**41,518.53**	**1,320,177.09**
	INTERNAL SERVICE FUNDS					
55001	CENTRALIZED SERVICES-FLEET MGMT	-	-	-	-	-
55002	CENTRALIZED SERVICES-DATA PROCESSING	-	-	-	-	-
55003	CENTRALIZED SERVICES-PRINTING	557,433.94	650,883.95	595,654.18	(53,690.94)	541,963.24
55004	CENTRALIZED SERVICES-REAL PROPERTY-LABOR	540,809.58	509,961.52	677,638.16	(673,984.57)	3,653.59
55005	CENTRALIZED SERVICES-DONATED FOODS	-	-	-	-	-
55006	CENTRALIZED SERVICES-PERSONAL PROPERTY	90,977.04	107,431.34	122,932.21	968.51	123,900.72
55007	CENTRALIZED SERVICES-CONSTRUCTION SERVICES	1,162,281.88	1,451,332.45	1,488,379.41	220,658.21	1,709,037.62
55008	CENTRALIZED SERVICES-PASNY	15,676,841.80	15,940,053.92	14,827,648.37	(1,271,388.25)	13,556,260.12
55009	CENTRALIZED SERVICES-ADMIN SUPPORT	-	-	-	-	-
55010	CENTRALIZED SERVICES-DESIGN AND CONSTR	19,673,202.67	19,165,051.33	24,546,658.36	(10,108,794.39)	14,437,863.97
55011	CENTRALIZED SERVICES-INSURANCE	-	-	2,241,010.94	1,670,003.88	3,911,014.82
55012	CENTRALIZED SERVICES-SECURITY CARD ACCESS	197,049.36	191,873.36	216,402.83	28,691.00	245,093.83
55013	CENTRALIZED SERVICES-COP'S	-	-	-	-	-
55014	CENTRALIZED SERVICES-FOOD SERVICES	-	-	-	-	-
55015	CENTRALIZED SERVICES-HOMER FOLKS	-	-	-	-	-
55016	CENTRALIZED SERVICES-IMMICS	734,655.60	789,813.58	763,256.52	(155,398.64)	607,857.88
55017	DOWNSTATE WAREHOUSE	444,204.13	546,678.43	427,993.40	(427,993.40)	-

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APPENDIX F

STATE OF NEW YORK
SCHEDULE OF MONTH-END TEMPORARY LOANS OUTSTANDING(*)

SFS Fund	ACCOUNT TITLE	October 31, 2022	November 30, 2022	December 31, 2022	Change	January 31, 2023
55018	BUILDING ADMINISTRATION	-	-	-	-	-
55019	LEASE SPACE INITIATIVE	-	-	-	-	-
55020	OGS ENTERPRISE CONTRACTING ACCT	20,830,569.00	19,082,031.17	18,283,045.91	971,892.48	19,254,938.39
55021	NYS MEDIA CENTER	8,291,591.12	8,273,817.45	8,178,307.05	253,199.37	8,431,506.42
55022	BUSINESS SERVICES CENTER	16,660,293.58	18,864,450.98	21,034,530.84	2,114,444.09	23,148,974.93
55052	ARCHIVES RECORD MGMT I.S.	359,241.66	-	-	-	-
55053	FEDERAL SINGLE AUDIT	-	-	-	-	-
55055	CIVIL SERVICE ADMINISTRATION ACCOUNT	1,764,096.60	2,639,808.68	1,929,708.91	(184,740.68)	1,744,968.23
55056	CIVIL SERVICE EHS OCCUP HEALTH PROG	-	-	-	-	-
55057	BANKING SERVICES ACCOUNT	2,970.53	778,367.24	98,536.17	(19,844.05)	78,692.12
55058	CULTURAL RESOURCE SURVEY	3,838,262.58	4,142,129.43	4,445,763.07	295,870.75	4,741,633.82
55059	NEIGHBOR WORK PROJECT	11,103,146.05	10,981,601.18	10,852,705.03	(102,801.04)	10,749,903.99
55060	AUTOMATIC/PRINT CHARGBACKS	10,285,911.33	11,868,084.12	8,443,832.16	(8,142,924.38)	300,907.78
55061	OFT NYT ACCT	-	-	-	-	-
55062	DATA CENTER ACCOUNT	31,767,826.58	27,837,979.22	27,837,979.22	-	27,837,979.22
55066	CYBER SECURITY INTRUSION ACCT	1,261,584.27	1,261,584.27	1,261,584.27	-	1,261,584.27
55067	DOMESTIC VIOLENCE GRANT	221,853.86	257,610.89	293,300.75	35,689.86	328,990.61
55069	CENTRALIZED TECHNOLOGY SERVICES	80,524,321.99	89,434,986.60	93,429,131.40	2,974,474.17	96,403,605.57
55071	LABOR CONTACT CENTER ACCT	523,466.07	771,400.10	1,074,022.74	182,286.59	1,256,309.33
55072	HUMAN SERVICES CONTACT CNTR ACCT	3,856,011.71	4,981,080.90	2,282,464.84	1,299,174.43	3,581,639.27
55073	TAX CONTACT CENTER ACCT	-	-	-	-	-
55074	CIVIL RECOVERIES ACCT	-	-	-	-	-
55251	EXECUTIVE DIRECTION INTERNAL AUDIT	8,728,794.60	8,960,459.58	9,148,221.37	192,740.23	9,340,961.60
55252	CIO INFORMATION TECHNOLOGY CENTRALIZED SERVICES	71,546,366.36	37,363,213.09	41,167,679.97	4,558,065.63	45,725,745.60
55300	HEALTH INSURANCE INTERNAL SERVICE	3,835,265.73	5,081,054.22	5,789,206.54	1,975,621.77	7,764,828.31
55301	CIVIL SERVICE EMPLOYEE BENEFITS DIV ADM	8,226,752.47	8,239,820.77	8,250,704.61	653,201.15	8,903,905.76
55350	CORR INDUSTRIES INTERNAL SERVICE	28,597,311.82	31,049,262.29	32,788,126.93	1,852,662.84	34,640,789.77
	TOTAL INTERNAL SERVICE FUNDS	351,303,093.91	331,221,822.06	342,496,426.16	(1,861,915.38)	340,634,510.78
	GRAND TOTAL - TEMPORARY LOANS OUTSTANDING	$ 5,148,409,783.40	$ 6,223,961,539.99	$ 7,178,699,724.40	$ (1,624,502,213.82)	$ 5,554,197,510.58

(*) Temporary Loans are authorized pursuant to Subdivision 5 of Section 4 of the State Finance Law and Chapter 56, Part FFF, Section 1, of the Laws of 2022-23. The loans represent authorizations made by the Legislature to allow certain funds/accounts to make appropriated payments regardless of the fund (cash) balance. Such loans are made from the State's Short-Term Investment Pool (STIP) and are intended to satisfy temporary cash shortfalls whenever scheduled disbursements exceed available revenues during the fiscal year. Generally, temporary loans are repaid from the first cash receipts of the fund or account; however, in some cases actual revenues are not sufficient to repay all loans made to the fund or account and a transfer from the General Fund "Repayment of Receivables" appropriation is approved by the Budget Director. The balances reported here in Appendix F are the actual fund balances as of the close of business on the last day of the reporting month and do not include post-closing adjustments. Please refer to Schedule 1 for a detailed analysis of the 'reported' cash balances of the fund group.

(**) Temporary loans to federal funds are typically reimbursed within 2-3 days. Such loans are made pursuant to federal regulations which require the State to disburse funds prior to making a reimbursement claim from the U.S. Treasury.

(***) Per Section 72 of the State Finance Law, the General Fund includes the Local Assistance Fund (10000) and State Purpose Fund (10050).

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STATE OF NEW YORK
DEDICATED INFRASTRUCTURE INVESTMENT FUND(*)
STATEMENT OF RECEIPTS AND DISBURSEMENTS
FISCAL YEAR 2022-2023

APPENDIX G

	2022 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2023 JANUARY	FEBRUARY	MARCH	10 Months Ended January 31, 2023
OPENING CASH BALANCE	$ 64,843,404	$ 46,698,758	$ 38,124,076	$ 61,963,931	$ 35,477,919	$ 53,891,776	$ 145,012,768	$ 110,165,815	$ 91,776,254	$ 78,635,504			$ 64,843,404
RECEIPTS:													
Transfers from General Fund (**)	-	-	50,000,000	-	60,000,000	100,000,000	-	-	-	-			210,000,000
Other	-	-	-	-	-	-	-	-	-	-			-
Total Receipts	-	-	50,000,000	-	60,000,000	100,000,000	-	-	-	-	-	-	210,000,000
DISBURSEMENTS:													
Affordable and Homeless Housing	2,262,500	1,730,017	3,230,565	1,301,271	23,859,939	2,934,366	3,040,535	2,362,506	836,345	547,927			42,105,971
Broadband Initiative	1,345,907	2,089,289	158,326	9,455,186	3,262,896	-	20,121,691	2,403,985	1,255,726	1,446,282			41,539,288
Downtown Revitalization	-	2,453	865,167	245,788	6,823,686	-	-	1,500,000	44,665	2,340,245			11,822,004
Empire State Poverty Reduction Initiatives	89,630	54,185	9,142	-	1,040,210	-	-	24,128	1	-			1,217,296
Health Care / Hospital Initiatives	-	-	-	-	-	-	-	233,100	-	-			233,100
Information Technology/Infrastructure for Behavioral Sciences	-	-	-	-	-	-	-	-	-	-			-
Infrastructure Improvements	488,753	-	334,514	68,492	222,362	-	-	145,225	-	-			1,259,346
Jacob Javits Center Expansion	-	-	-	-	-	-	-	-	-	-			-
Life Sciences Initiative	3,601,588	-	-	8,356,990	1,833,333	2,795,603	68,443	1,262,376	902,712	595,708			19,416,753
Municipal Restructuring / Consolidation Competition	111,831	559,243	17,712	1,604,026	602,931	125,000	881,286	1,349,276	11,001	1,940,142			7,202,448
Penn Station Access	-	-	-	-	-	-	-	-	-	-			-
Resiliency, Mitigation, Security and Emergency Response	-	-	(50,000)	-	-	-	(5,530)	-	-	-			(55,530)
Southern Tier / Hudson Valley Farm Initiative	-	-	13,217	636	-	-	16,424	-	16,750	45,613			92,640
Thruway Stabilization Program	-	-	-	-	-	-	-	-	-	-			-
Transformative Economic Development Projects	849,993	182,622	9,981,481	2,845,359	592,105	258,087	6,910,425	972,559	3,011,917	175,196			25,779,744
Transportation Capital Plan	-	-	-	-	-	-	-	-	-	-			-
Upstate Revitalization Program	9,394,444	3,956,873	11,600,021	2,608,264	3,348,681	2,765,952	3,813,679	8,136,406	7,061,633	4,124,631			56,810,584
Total Disbursements	18,144,646	8,574,682	26,160,145	26,486,012	41,586,143	8,879,008	34,846,953	18,389,561	13,140,750	11,215,744	-	-	207,423,644
OPERATING TRANSFERS:													
Transfers to General Fund	-	-	-	-	-	-	-	-	-	-			-
Total Operating Transfers	-	-	-	-	-	-	-	-	-	-	-	-	-
Total Disbursements and Transfers	18,144,646	8,574,682	26,160,145	26,486,012	41,586,143	8,879,008	34,846,953	18,389,561	13,140,750	11,215,744	-	-	207,423,644
CLOSING CASH BALANCE	$ 46,698,758	$ 38,124,076	$ 61,963,931	$ 35,477,919	$ 53,891,776	$ 145,012,768	$ 110,165,815	$ 91,776,254	$ 78,635,504	$ 67,419,760	$ -	$ -	$ 67,419,760

(*) Fund created pursuant to Chapter 60, Laws of 2015-16, Part H and SFL § 93-b
(**) Pursuant to Section 93(b) of the State Finance Law

STATE OF NEW YORK **APPENDIX H**
MEDICAL ASSISTANCE DISBURSEMENTS - STATE FUNDS(*)
FISCAL YEAR 2022-2023

	JANUARY 2023			10 MONTHS ENDED JANUARY 31		
	Department of Health	Other State Agencies	January	Department of Health	Other State Agencies	Year to Date
Adult State Share Medicaid	$ -	$ 19,191,565.00	**$ 19,191,565.00**	$ -	$ 240,233,630.00	**$ 240,233,630.00**
State Share Medicaid	33,752,423.00	(350,453.87)	**33,401,969.13**	130,137,022.00	7,947,098.20	**138,084,120.20**
Medical Assistance (OPWDD)	-	-	**-**	-	1,743,447,799.00	**1,743,447,799.00**
Medical Assistance Administration	20,625,605.31	29,515,963.00	**50,141,568.31**	74,373,113.96	330,575,988.00	**404,949,101.96**
Traumatic Brain Injury Services	1,581,037.30	-	**1,581,037.30**	10,136,521.44	-	**10,136,521.44**
Nursing Home Transition & Diversion	518,671.40	-	**518,671.40**	518,671.40	-	**518,671.40**
Reducing Maternal Mortality	-	-	**-**	1,630,992.19	-	**1,630,992.19**
New York Connects	-	(1,002,117.87)	**(1,002,117.87)**	-	(10,655,232.48)	**(10,655,232.48)**
Vital Access Provider Services	-	-	**-**	8,661,436.00	-	**8,661,436.00**
Facilitated Enrollment	-	-	**-**	2,598,461.09	-	**2,598,461.09**
Managed Long-Term Care Ombudsman	-	-	**-**	737,933.81	-	**737,933.81**
General Hospitals Safety-Net Providers	173,917,206.00	-	**173,917,206.00**	1,700,086,829.03	-	**1,700,086,829.03**
AIDS Epidemic	490,514.41	-	**490,514.41**	8,183,742.99	-	**8,183,742.99**
Expanding Caregiver Support Services	1,561,003.84	-	**1,561,003.84**	20,520,017.80	-	**20,520,017.80**
Provide Affordable Housing	2,264,265.80	71,840.00	**2,336,105.80**	23,617,651.22	17,026,034.87	**40,643,686.09**
Community Provider Network	21,116,358.00	-	**21,116,358.00**	38,634,560.69	-	**38,634,560.69**
Inpatient Services	28,741,550.35	-	**28,741,550.35**	544,966,694.41	-	**544,966,694.41**
Patient Centered Medical Homes	-	-	**-**	-	-	**-**
Outpatient & Emergency Room Services	10,753,802.60	-	**10,753,802.60**	106,878,592.60	-	**106,878,592.60**
Clinic Services	25,227,740.15	-	**25,227,740.15**	182,439,856.04	-	**182,439,856.04**
Nursing Home Services	90,131,182.76	-	**90,131,182.76**	1,060,707,813.78	-	**1,060,707,813.78**
Other Long Term Care Services	387,789,613.72	-	**387,789,613.72**	1,597,796,982.03	-	**1,597,796,982.03**
Managed Care Services	365,377,026.09	-	**365,377,026.09**	4,197,064,709.16	-	**4,197,064,709.16**
Pharmacy Services	12,677,431.03	-	**12,677,431.03**	138,196,871.67	-	**138,196,871.67**
Transportation Services	10,950,007.87	-	**10,950,007.87**	126,177,869.10	-	**126,177,869.10**
Dental Services	177,533.98	-	**177,533.98**	2,252,678.54	-	**2,252,678.54**
Non-Institutional & Other	341,670,736.89	249,286.00	**341,920,022.89**	6,304,568,468.60	14,884,379.00	**6,319,452,847.60**
Medical Services State Facilities	74,458,166.73	-	**74,458,166.73**	1,040,396,623.58	-	**1,040,396,623.58**
CSEA Family Health Plus Buy In	138,819.75	-	**138,819.75**	1,763,520.31	-	**1,763,520.31**
Medical Assistance (HCRA)	300,000,000.00	-	**300,000,000.00**	3,700,000,000.00	-	**3,700,000,000.00**
Personal Care Workforce Recruitment and Retention	-	-	**-**	-	-	**-**
Healthcare Worker Bonuses	931,706,454.00	-	**931,706,454.00**	1,443,004,123.50	-	**1,443,004,123.50**
Home Health Rate Increase	-	-	**-**	-	-	**-**
Indigent Care	55,567,528.45	-	**55,567,528.45**	520,893,252.85	-	**520,893,252.85**
Provider Assessments	77,406,000.00	-	**77,406,000.00**	764,248,000.00	-	**764,248,000.00**
Additional DSH Payments SUNY	-	-	**-**	230,004,371.55	-	**230,004,371.55**
TOTAL(**)	**2,968,600,679.43**	**47,676,082.26**	**3,016,276,761.69**	**23,981,197,381.34**	**2,343,459,696.59**	**26,324,657,077.93**
Reclassification of Medical Assistance payments for care and treatment of patients at State-operated health, mental hygiene and State University facilities to Transfers.	(78,438,839.11)	-	(78,438,839.11)	(1,349,249,916.86)	-	(1,349,249,916.86)
TOTAL REPORTED MEDICAID	**$ 2,890,161,840.32**	**$ 47,676,082.26**	**$ 2,937,837,922.58**	**$ 22,631,947,464.48**	**$ 2,343,459,696.59**	**$ 24,975,407,161.07**

(*) General Fund and State Special Revenue Funds only.
These amounts do not include Medical Assistance spending for State Operations.
These amounts are not comparable to Medicaid Global Cap spending.
Department of Health regularly reclassifies spending between programs,
and therefore amounts for any individual program may be restated by DOH.
(**) Source: Statewide Financial System

STATE OF NEW YORK

APPENDIX I

MEDICAL ASSISTANCE DISBURSEMENTS - FEDERAL FUNDS(*)
FISCAL YEAR 2022-2023

	JANUARY 2023			10 MONTHS ENDED JANUARY 31		
	Department of Health	Other State Agencies	January	Department of Health	Other State Agencies	Year to Date
Medical Assistance & Survey Certification Program	$ 26,866,411.20	$ -	**$ 26,866,411.20**	$ 122,423,014.65	$ -	**$ 122,423,014.65**
Medical Assistance Administration	38,464.87	23,504,270.00	**23,542,734.87**	24,237,871.41	269,529,762.00	**293,767,633.41**
American Resuce Plan Act	3,330,104.78	-	**3,330,104.78**	576,018,261.01	-	**576,018,261.01**
Inpatient Services	488,349,024.62	-	**488,349,024.62**	3,411,886,757.02	-	**3,411,886,757.02**
Outpatient & Emergency Room Services	86,181,115.64	-	**86,181,115.64**	397,635,490.67	-	**397,635,490.67**
Clinic Services	89,915,787.00	-	**89,915,787.00**	637,588,894.62	-	**637,588,894.62**
Nursing Home Services	160,713,864.02	-	**160,713,864.02**	1,557,655,040.01	-	**1,557,655,040.01**
Other Long Term Care Services	1,805,348,041.14	-	**1,805,348,041.14**	15,468,582,102.44	-	**15,468,582,102.44**
Managed Care Services	1,911,826,953.92	-	**1,911,826,953.92**	19,200,018,988.27	-	**19,200,018,988.27**
Pharmacy Services	42,309,053.28	-	**42,309,053.28**	383,110,222.83	-	**383,110,222.83**
Transportation Services	62,642,382.42	-	**62,642,382.42**	543,227,229.45	-	**543,227,229.45**
Dental Services	665,863.39	-	**665,863.39**	6,666,301.22	-	**6,666,301.22**
Non-Institutional & Other	(26,236,848.00)	2,514,504.00	**(23,722,344.00)**	286,416,866.63	27,680,186.00	**314,097,052.63**
Medical Services State Facilities	14,045,749.00	-	**14,045,749.00**	1,535,819,015.34	-	**1,535,819,015.34**
Additional DSH Payments SUNY	-	-	**-**	295,119,764.45	-	**295,119,764.45**
TOTAL(**)	**4,665,995,967.28**	**26,018,774.00**	**4,692,014,741.28**	**44,446,405,820.02**	**297,209,948.00**	**44,743,615,768.02**
Reclassification of Medical Assistance payments for care and treatment of patients at State-operated health, mental hygiene and State University facilities to Transfers and adjustments for timing of payments at month end.	(858,891,855.95)	-	(858,891,855.95)	(2,290,039,913.46)	-	(2,290,039,913.46)
TOTAL REPORTED MEDICAID(***)	**$ 3,807,104,111.33**	**$ 26,018,774.00**	**$ 3,833,122,885.33**	**$ 42,156,365,906.56**	**$ 297,209,948.00**	**$ 42,453,575,854.56**

(*) Special Revenue Federal Funds only.
These amounts do not include Medical Assistance spending for State Operations.
These amounts are not comparable to Medicaid Global Cap spending.
(**) Source: Statewide Financial System
(***) Reported Medicaid spending does not include the Basic Health Plan.

New York State Comptroller THOMAS P. DiNAPOLI

STATE OF NEW YORK

Interim Financial Report Government Funds

(Pursuant to Section 8(9a) of the State Finance Law)

For the nine months ended December 31, 2022

STATE OF NEW YORK
Combined Balance Sheet
Governmental Funds
December 31, 2022
(Amounts in thousands)(Unaudited)

	General	Federal Special Revenue	Special Revenue	Debt Service	Capital Projects	Eliminations	Total
ASSETS:							
Cash and investments	$ 61,953,478	$ 9,952,733	$ 7,515,700	$ 2,497,561	$ 6,912,529	$ -	$ 88,832,001
Receivables, net of allowances for uncollectibles:							
Taxes	10,254,697	-	719,880	9,065,020	41,977	-	20,081,574
Due from Federal government	-	14,013,715	-	3,701	572,094	-	14,589,510
Other	1,934,917	978,045	2,114,458	117,082	157,798	-	5,302,300
Due from other funds	9,713,070	203,822	63,936	281,945	1,002,874	(815,725)	10,449,922
Other assets	837,843	115,507	580	-	-	-	953,930
Total assets	$ 84,694,005	$ 25,263,822	$ 10,414,554	$ 11,965,309	$ 8,687,272	$ (815,725)	$ 140,209,237
LIABILITIES:							
Tax refunds payable	$ 5,094,840	$ -	$ 342,083	$ 3,565,571	$ 29,665	$ -	$ 9,032,159
Accounts payable	474,751	70,353	26,167	-	286,954	-	858,225
Accrued liabilities	5,122,010	6,039,886	22,994	8,413	94,717	-	11,288,020
Payable to local governments	15,446,134	4,735,337	1,993,380	1,002,188	90,558	-	23,267,597
Due to other funds	1,492,964	3,110,932	127,948	3,745,621	2,078,538	(815,725)	9,740,278
Pension contributions payable	14,324	-	-	-	-	-	14,324
Unearned revenues	8,344,297	10,226,536	-	5,138	-	-	18,575,971
Total liabilities	35,989,320	24,183,044	2,512,572	8,326,931	2,580,432	(815,725)	72,776,574
DEFERRED INFLOWS OF RESOURCES	762,689	1,080,485	1,069,593	410,155	17,791	-	3,340,713
FUND BALANCES:							
Restricted	6,814,667	293	904,945	1,160,714	152,200	-	9,032,819
Committed	35,912,192	-	5,925,682	2,067,509	7,274,109	-	51,179,492
Assigned	5,215,137	-	3,456	-	18,894	-	5,237,487
Unassigned	-	-	(1,694)	-	(1,356,154)	-	(1,357,848)
Total fund balances	47,941,996	293	6,832,389	3,228,223	6,089,049	-	64,091,950
Total liabilities, deferred inflows of resources and fund balances	$ 84,694,005	$ 25,263,822	$ 10,414,554	$ 11,965,309	$ 8,687,272	$ (815,725)	$ 140,209,237

See accompanying notes to the financial statements.

STATE OF NEW YORK
Combined Statement of Revenues, Expenditures and Changes in Fund Balances (Deficits)
Governmental Funds
For the Nine Months Ended December 31, 2022
(Amounts in thousands)(Unaudited)

	General		Federal Special Revenue		Special Revenue		Debt Service		Capital Projects		Eliminations	Total
	Quarter Ended December 31, 2022	9 mos. Ended December 31, 2022	Quarter Ended December 31, 2022	9 mos. Ended December 31, 2022	Quarter Ended December 31, 2022	9 mos. Ended December 31, 2022	Quarter Ended December 31, 2022	9 mos. Ended December 31, 2022	Quarter Ended December 31, 2022	9 mos. Ended December 31, 2022	9 mos. Ended December 31, 2022	9 mos. Ended December 31, 2022
REVENUES:												
Taxes	$ 14,461,640	$ 48,371,500	$ -	$ -	$ 1,808,713	$ 4,902,617	$ 11,656,309	$ 39,838,034	$ 296,126	$ 860,541	$ -	$ 93,972,692
Federal grants	590	11,253	25,951,422	67,901,212	-	-	-	12,316	643,789	2,038,702	-	69,963,483
Miscellaneous	4,349,426	18,735,523	166,975	341,824	2,308,739	6,242,164	173,971	401,705	296,599	1,009,339	-	26,730,555
Total revenues	18,811,656	67,118,276	26,118,397	68,243,036	4,117,452	11,144,781	11,830,280	40,252,055	1,236,514	3,908,582	-	190,666,730
EXPENDITURES:												
Local assistance grants	10,935,312	57,879,476	24,323,541	64,099,597	5,520,831	17,126,136	-	-	1,356,861	2,794,949	-	141,900,158
State operations	8,386,191	27,274,169	565,275	1,655,609	135,460	404,012	7,906	20,795	-	-	-	29,354,585
Debt service, including payments on financing arrangements	-	-	-	-	-	-	722,682	8,921,112	-	-	-	8,921,112
Capital construction	-	-	-	-	-	-	-	-	1,806,716	4,724,117	-	4,724,117
Total expenditures	19,321,503	85,153,645	24,888,816	65,755,206	5,656,291	17,530,148	730,588	8,941,907	3,163,577	7,519,066	-	184,899,972
Excess (deficiency) of revenues over expenditures	(509,847)	(18,035,369)	1,229,581	2,487,830	(1,538,839)	(6,385,367)	11,099,692	31,310,148	(1,927,063)	(3,610,484)	-	5,766,758
OTHER FINANCING SOURCES (USES):												
Transfers from other funds	11,114,313	38,796,119	-	-	1,246,736	3,747,009	346,615	1,250,346	1,301,706	1,055,465	(36,523,368)	8,325,571
Transfers to other funds	(2,706,566)	(6,330,716)	(1,229,575)	(2,487,582)	(16,015)	(39,614)	(11,061,693)	(38,816,668)	(161,354)	(663,938)	36,523,368	(11,815,150)
Financing arrangements issued	1,857,785	1,857,785	-	-	-	-	-	-	820,450	3,304,875	-	5,162,660
Refunding debt issued	-	-	-	-	-	-	632,165	898,715	-	-	-	898,715
Premiums on bonds issued	2,789	2,789	-	-	-	-	56,105	91,528	38,907	202,474	-	296,791
Net other financing sources (uses)	10,268,321	34,325,977	(1,229,575)	(2,487,582)	1,230,721	3,707,395	(10,026,808)	(36,576,079)	1,999,709	3,898,876	-	2,868,587
Net change in fund balances	9,758,474	16,290,608	6	248	(308,118)	(2,677,972)	1,072,884	(5,265,931)	72,646	288,392	-	8,635,345
Fund balances (deficits) at beginning of period	38,183,522	31,651,388	287	45	7,140,507	9,510,361	2,155,339	8,494,154	6,016,403	5,800,657	-	55,456,605
Fund balances (deficits) at December 31, 2022	$ 47,941,996	$ 47,941,996	$ 293	$ 293	$ 6,832,389	$ 6,832,389	$ 3,228,223	$ 3,228,223	$ 6,089,049	$ 6,089,049	$ -	$ 64,091,950

See accompanying notes to financial statements.

STATE OF NEW YORK
Notes to Financial Statements
December 31, 2022 (Unaudited)

NOTE 1

The accounting policies and methods of estimating and accumulating financial data for preparation of the December 31, 2022 interim financial statements for governmental fund types are similar to those used to prepare the March 31, 2022 governmental fund financial statements.

NOTE 2

Within the governmental funds, transfers to other funds exceeded transfers from other funds by $3,490 million. Subsidies to the State University of New York and the Senior Colleges of the City University of New York totaling $6,407 million are reported as transfers to other funds offset by $2,917 million in Enterprise Funds subsidies which are reported as transfers from other funds.

NOTE 3

The following table presents a reconciliation of the budgetary cash basis operating results for the nine months ended December 31, 2022 as reported in the State Register, with the operating results for Governmental Fund Types prepared in accordance with generally accepted accounting principles (amounts in thousands):

	General	Federal Special Revenue	Special Revenue	Debt Service	Capital Projects
Excess/(deficiency) of receipts and other financing sources over/(under) disbursements and other financing uses..........	$ 16,387,126	$ 1,333,633	$ (154,594)	$ 1,296,815	$ 2,870,069
Entity differences:					
Receipts and other financing sources over/(under) disbursements and other financing uses for funds and accounts not included in the cash basis financial plan..........	567,030	(2,262,789)	(223,053)	(7,078,688)	(3,576,261)
Perspective differences:					
Receipts and other financing sources over/(under) disbursements and other financing uses for funds treated as Special Revenue Funds in the financial plan and as part of the General Fund for GAAP reporting......	143,207	-	(143,207)	-	-
Receipts and other financing sources over/(under) disbursements and other financing uses for funds treated as Fiduciary Funds in the financial plan and as part of the General Fund for GAAP reporting..........	(3,260,562)	-	(420)	-	-
College and University Funds..........	-	-	(212,304)	-	10,624
Lottery Fund..........	-	-	751,378	-	-
Temporary interfund cash loans..........	(2,685,799)	2,461,085	21,925	-	202,789
Basis of accounting differences:					
To adjust for revenue accruals..........	15,352,416	(4,234,479)	(864,876)	(1,286,635)	220,113
To adjust for expenditure accruals..........	(10,212,810)	2,702,798	(1,852,821)	1,802,577	561,058
Net change in fund balances..........	**$ 16,290,608**	**$ 248**	**$ (2,677,972)**	**$ (5,265,931)**	**$ 288,392**

STATE OF NEW YORK
Notes to Financial Statements
December 31, 2022 (Unaudited) (cont'd)

NOTE 4

During the nine months ended December 31, 2022, there were three debt refunding issues. The impact of these issues is presented in the following table (amounts in thousands):

Issue Description	Refunding Amount	Refunded Amount	Cash Flow Gain (Loss)	Present Value Gain (Loss)
NYS Thruway Authority PIT General Purpose Bond Series 2022A	$ 266,550	$ 319,510	$ 38,891	$ 34,392
Urban Development Corporation PIT General Purpose Bond Series 2022A	611,470	648,165	66,384	54,502
Urban Development Corporation PIT General Purpose Bond Series 2022B	20,695	20,060	(605)	(246)
Total	**$ 898,715**	**$ 987,735**	**$ 104,670**	**$ 88,648**

Office of the New York State Comptroller

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Office of Operations – Division of Payroll, Accounting and Revenue Services

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