May 27, 2015
Vol. XXXVII
Issue 21

DEPARTMENT OF STATE
Division of Administrative Rules

NEW YORK STATE REGISTER

INSIDE THIS ISSUE:

- Appeals Process on Regents Exams Passing Score for English Language Learners (ELLs)
- Teacher Certifications
- Emerald Ash Borer Quarantine

Notice of Availability of State and Federal Funds
Executive Orders
Financial Reports

State agencies must specify in each notice which proposes a rule the last date on which they will accept public comment. Agencies must always accept public comment: for a minimum of 45 days following publication in the *Register* of a Notice of Proposed Rule Making or a Notice of Emergency Adoption and Proposed Rule Making for which full text was included in the Notice or posted on a state web site, or which is a consensus rule or a rule defined in SAPA § 102(2)(a)(ii); or for a minimum of 60 days following publication in the *Register* of a Notice of Proposed Rule Making or a Notice of Emergency Adoption and Proposed Rule Making for which a summary of the text of the rule was included in the Notice and the full text of which was not published on a state web site; and for 30 days after publication of a Notice of Revised Rule Making in the *Register*. When a public hearing is required by statute, the hearing cannot be held until 45 days after publication of the notice, and comments must be accepted for at least 5 days after the last required hearing. When the public comment period ends on a Saturday, Sunday or legal holiday, agencies must accept comment through the close of business on the next succeeding workday.

For notices published in this issue:
– the 60-day period expires on July 26, 2015
– the 45-day period expires on July 11, 2015
– the 30-day period expires on June 26, 2015

ANDREW M. CUOMO
GOVERNOR

CESAR A. PERALES
SECRETARY OF STATE

NEW YORK STATE DEPARTMENT OF STATE

For press and media inquiries call:
(518) 474-0050

For ***State Register*** production, scheduling and subscription information
call: (518) 474-6957
E-mail: adminrules@dos.ny.gov

For legal assistance with ***State Register*** filing requirements
call: (518) 474-6740
E-mail: dos.dl.inetcounsel@dos.ny.gov

The ***New York State Register*** is now available on-line at:
www.dos.ny.gov/info/register.htm

*The **New York State Register** (ISSN 0197 2472) is published weekly. Subscriptions are $80 per year for first class mailing and $40 per year for periodical mailing. The **New York State Register** is published by the New York State Department of State, One Commerce Plaza, 99 Washington Avenue, Albany, NY 12231-0001. Periodical postage is paid at Albany, New York and at additional mailing offices.*

POSTMASTER: Send address changes to the Department of State, Division of Administrative Rules, One Commerce Plaza, 99 Washington Avenue, Albany, NY 12231-0001

printed on recycled paper

NEW YORK STATE
REGISTER

Be a part of the rule making process!

The public is encouraged to comment on any of the proposed rules appearing in this issue. Comments must be made in writing and must be submitted to the agency that is proposing the rule. Address your comments to the agency representative whose name and address are printed in the notice of rule making. No special form is required; a handwritten letter will do. Individuals who access the online *Register* (www.dos.ny.gov) may send public comment via electronic mail to those recipients who provide an e-mail address in Notices of Proposed Rule Making. This includes Proposed, Emergency Proposed, Revised Proposed and Emergency Revised Proposed rule makings.

To be considered, comments must reach the agency before the proposed rule is adopted. The law provides for a minimum 45-day public comment period after publication in the *Register* of every Notice of Proposed Rule Making for which full text was included or posted on a state web site, or which is a consensus rule or a rule defined in SAPA § 102(2)(a)(ii); a minimum 60-day public comment period after publication in the *Register* of a Notice of Proposed Rule Making for which a summary of the text of the rule was included in the Notice and the full text of which was not published on a state web site; and a 30-day public comment period for every Notice of Revised Rule Making. If a public hearing is required by statute, public comments are accepted for at least five days after the last such hearing. Agencies are also required to specify in each notice the last date on which they will accept public comment.

When a time frame calculation ends on a Saturday or Sunday, the agency accepts public comment through the following Monday; when calculation ends on a holiday, public comment will be accepted through the following workday. Agencies cannot take action to adopt until the day after public comments are due.

The Administrative Regulations Review Commission (ARRC) is charged with the task of reviewing newly proposed regulations to examine the issues of compliance with legislative intent, impact on the economy, and impact on affected parties. In addition to sending comments or recommendations to the agency, please do not hesitate to transmit your views to ARRC:

Administrative Regulations Review Commission
State Capitol
Albany, NY 12247
Telephone: (518) 455-5091 or 455-2731

Each paid subscription to the *New York State Register* includes one weekly issue for a full year and four "Quarterly Index" issues. The Quarterly is a cumulative list of actions that shows the status of every rule making action in progress or initiated within a calendar year.

The *Register* costs $80 a year for a subscription mailed first class and $40 for periodical (second) class. Prepayment is required. To order, send a check or money order payable to the NYS Department of State to the following address:

NYS Department of State
One Commerce Plaza
99 Washington Avenue
Suite 650
Albany, NY 12231-0001
Telephone: (518) 474-6957

KEY: (P) Proposal; (RP) Revised Proposal; (E) Emergency; (EP) Emergency and Proposal; (A) Adoption; (AA) Amended Adoption; (W) Withdrawal

Individuals may send public comment via electronic mail to those recipients who provided an e-mail address in Notices of Proposed Rule Making. This includes Proposed, Emergency Proposed, Revised Proposed and Emergency Revised Proposed rule makings. Choose pertinent issue of the *Register* and follow the procedures on the website (www.dos.ny.gov)

Rule Making Activities

Agriculture and Markets, Department of
1 / Species of Ash Trees, Parts Thereof and Products and Debris Therefrom Which Are at Risk for Infestation by the Emerald Ash Borer (EP)

Delaware River Basin Commission
4 / Information Notice—Notice of Proposed Rulemaking and Public Hearing

Education Department
7 / Appeals Process on Regents Exams Passing Score for English Language Learners (ELLs) (E)
11 / Teacher Certification (E)

Environmental Conservation, Department of
13 / Emerald Ash Borer Quarantine (P)

Labor, Department of
16 / Methods of Payment of Wages (P)

Motor Vehicles, Department of
18 / Physician Assistants Performing Medical Review After Loss of Consciousness (A)
18 / Insurance ID Cards (P)

People with Developmental Disabilities, Office for
19 / Consolidated Fiscal Report Penalty Amendments (A)

Public Service Commission
19 / Limitations on Locating Multiple Generation Facilities Under Electric Utility Tariffs for Remote Net Metering (P)
20 / Issuance of Promissory Notes and the Assumption of the Costs and Benefits of Certain Derivative Instruments (P)
20 / Whether Bath Should be Permitted to Recover Purchased Gas Costs, Interest and Consulting Fees from Its Ratepayers (P)
20 / Transfer of Water Supply Assets (P)

Taxation and Finance, Department of
21 / Fuel Use Tax on Motor Fuel and Diesel Motor Fuel and the Art. 13-A Carrier Tax Jointly Administered Therewith (A)
21 / Fuel Use Tax on Motor Fuel and Diesel Motor Fuel and the Art. 13-A Carrier Tax Jointly Administered Therewith (P)

Action Pending Index / 23

Advertisements for Bidders/Contractors
67 / Sealed Bids

Notice of Availability of State and Federal Funds
69 / Homeland Security and Emergency Services, Division of

Miscellaneous Notices/Hearings
71 / Notice of Abandoned Property Received by the State Comptroller
71 / Public Notice

Executive Orders

75 / Executive Order No. 145: Continuing the Temporary Suspension of Provisions Relating to Recovery from a State Disaster Emergency in City of Yonkers.

Financial Reports / 75

RULE MAKING ACTIVITIES

Each rule making is identified by an I.D. No., which consists of 13 characters. For example, the I.D. No. AAM-01-96-00001-E indicates the following:

AAM -the abbreviation to identify the adopting agency
01 -the *State Register* issue number
96 -the year
00001 -the Department of State number, assigned upon receipt of notice.
E -Emergency Rule Making—permanent action not intended (This character could also be: A for Adoption; P for Proposed Rule Making; RP for Revised Rule Making; EP for a combined Emergency and Proposed Rule Making; EA for an Emergency Rule Making that is permanent and does not expire 90 days after filing.)

Italics contained in text denote new material. Brackets indicate material to be deleted.

Department of Agriculture and Markets

EMERGENCY/PROPOSED RULE MAKING NO HEARING(S) SCHEDULED

Species of Ash Trees, Parts Thereof and Products and Debris Therefrom Which Are at Risk for Infestation by the Emerald Ash Borer

I.D. No. AAM-21-15-00004-EP
Filing No. 362
Filing Date: 2015-05-11
Effective Date: 2015-05-11

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following action:

Proposed Action: Repeal of Part 141; and addition of new Part 141 to Title 1 NYCRR.

Statutory authority: Agriculture and Markets Law, sections 18, 164 and 167

Finding of necessity for emergency rule: Preservation of general welfare.

Specific reasons underlying the finding of necessity: At present, 44 counties and portions of four others are under an EAB quarantine. This rule repeals the existing Part 141 and replaces it with a new Part 141, which, among other things, replaces the quarantines in the counties with 14 restricted zones. These restricted zones have been shown to be infested with EAB through survey and trapping.

The Emerald Ash Borer, Agrilus planipennis, is a destructive wood-boring insect that is not indigenous to the United States. EAB causes serious damage to healthy ash trees by boring through their bark, which ultimately results in the death of the tree within two years.

Ash trees, ash nursery stock, and material from ash trees like logs, green lumber, firewood, stumps, roots, branches and debris are subject to infestation. Materials at risk of attack and infestation by the EAB include the following species of North American ash trees: White Ash (Fraxinus Americana); Green Ash (Fraxinus pennsylvanica); Black Ash (Fraxinus nigra); and Blue Ash (Fraxinus quadrangulata). The movement of these materials poses a serious threat to susceptible ash trees in forests as well as in the urban landscape throughout the State.

EAB was first discovered in Michigan in June 2002, and has since spread to at least 15 other states as well as to two provinces in Canada. The initial detection of this pest in New York occurred on June 16, 2009 in the Town of Randolph, which is located in southwestern Cattaraugus County and is adjacent to Chautauqua County. A quarantine of both counties was established pursuant to federal protocols for control of EAB.

Further detections were confirmed in six other counties (Monroe, Genesee, Livingston, Steuben, Greene and Ulster) during July and August, 2010. Due to the patchwork nature of these detections, limited detection capabilities and stakeholder input, the EAB quarantine was extended to the following 10 counties in western New York: Niagara, Erie, Orleans, Wyoming, Allegany, Wayne, Ontario, Yates, Schuyler and Chemung. A new quarantine region was also established in eastern New York comprised of Greene and Ulster Counties.

In 2011, there were multiple new detections within the Western New York quarantine area. New detections of EAB in Albany and Orange Counties demonstrate further spread of EAB within the Eastern New York quarantine area and prompted the extension of the quarantine to include those counties.

In 2013, the two quarantine zones were combined by adding the counties of Broome, Cayuga, Chenango, Columbia, Cortland, Delaware, Dutchess, Otsego, Putnam, Rensselaer, Schenectady, Schoharie, Seneca, Sullivan, Tioga and Tompkins as well as portions of Fulton, Herkimer, Madison, Montgomery, Oneida and Onondaga.

In 2014, based upon new findings of EAB, the quarantine was extended to include all of Onondaga and Madison Counties.

Based upon ongoing surveys, it has been determined that all of the counties currently under quarantine are not infested with EAB; instead, there are 14 areas in the State which are infested with the pest. Under the rule, these have been designated restricted zones.

The 14 restricted zones established under this rule are located in and around the following municipalities or areas: Albany-Rensselaer; Bath; Binghamton; Buffalo; Livingstonville; Mid-Hudson; Montezuma; Nichols; Randolph; Rochester; Sheridan; Syracuse; Unadilla; and West Point. Each restricted zone consists of a core area, which is the location of an EAB infestation, and a buffer area, which surrounds the core area and extends a distance of five miles. If the core area encompasses 30-percent or more of a municipality, the entire municipality will be included within the restricted zone.

The rule allows for the movement of regulated articles within a restricted area and controls the intrastate movement of regulated articles to other locations in the state outside of the restricted zones. Regulated articles that may be moved intrastate outside of a restricted area, require a valid certificate of inspection, limited permit or administrative instructions from the Commissioner. Movement is allowed for experimental and scientific purposes. Persons engaged in growing, handling or moving regulated articles intrastate may apply for a compliance agreement for the restricted movement of regulated articles.

The benefits offered by the regulations are the more effective control of the spread of EAB with the reduction of regulation of movement of ash wood from areas where there is no EAB infestation. The immediate adoption of this rule is necessary to mitigate negative economic and ecological impacts that have resulted from the current configuration of the quarantine.

Based on the facts and circumstances set forth above, the Department

has determined that the immediate adoption of this rule is necessary for the preservation of the general welfare and that compliance with subdivision one of section 202 of the State Administrative Procedure Act would be contrary to the public interest.

Subject: Species of ash trees, parts thereof and products and debris therefrom which are at risk for infestation by the emerald ash borer.

Purpose: To limit the emerald ash borer quarantine to 14 restricted zones where infestations exist.

Substance of emergency/proposed rule (Full text is posted at the following State website:www.agriculture.ny.gov): Section 141.1 sets forth the definitions used in this Part.

Section 141.2 sets forth the current quarantine map. The map identifies the 14 restricted zones which are infested with the Emerald Ash Borer (EAB). This section also provides that any amendment to the map shall be made by regulation.

Section 141.3 governs the movement of regulated articles within restricted zones. It generally provides that any ash material or wood material that is comingled and otherwise indistinguishable from ash material may be moved within a restricted zone for processing, treatment, use or disposal. This includes EAB-infested material.

Section 141.4 governs the intrastate movement of regulated articles originating within restricted zones. This section generally prohibits the movement of ash nursery stock year round and chips between April 15th and May 15th. This section permits the movement of regulated articles accompanied by a valid certificate of inspection, limited permit, administrative instructions by the Commissioner or those being moved for experimental or scientific purposes. Section 141.4 also provides that regulated articles from outside a restricted zone may be moved through the restricted zone to a point outside that zone, as long as the origin and destination of the articles are on a waybill and the articles are moved without stopping except for traffic conditions or refueling.

Section 141.5 governs compliance agreements. This section generally provides that persons engaged in growing, handling or moving regulated articles intrastate may apply for a compliance agreement, subject to Department approval. Any person who enters into a compliance agreement must agree to comply with Part 141 and any condition in the agreement. Any compliance agreement may be cancelled either orally or in writing, whenever an inspector determines that the person holding the compliance agreement has not complied with Part 141 or the conditions in the agreement.

Section 141.6 governs certificates of inspection and limited permits. An inspector may issue a certificate of inspection for the movement of regulated articles outside restricted zones, provided that the article is apparently free of EAB; has been grown, produced, manufactured, treated, stored or handled in a manner that prevents the regulated article from presenting a risk of spreading EAB; and is eligible for unrestricted movement under all other state plant quarantines and regulations. If the regulated articles are not eligible for a certificate of inspection, an inspector may issue a limited permit for movement of the articles, provided the articles are being moved to a specified destination for specific processing, handling or utilization, and the movement will not result in the spread of EAB since EAB will be destroyed by the specific processing, handling or utilization. Any certificate of inspection or limited permit may be cancelled either orally or in writing, whenever an inspector determines that the person holding the certificate or permit has not complied with Part 141.

Section 141.7 provides that regulated articles may be moved intrastate for experimental or scientific purposes on conditions and safeguards as may be prescribed in writing by the Department. The container carrying the articles shall bear an identifying tag issued by the Department showing compliance with such conditions.

Section 141.8 governs marking requirements. It generally provides that every container of regulated articles intended for intrastate movement shall be marked with the names and addresses of the consignor and consignee. A valid certificate of inspection or limited permit shall also be attached to the container.

Section 141.9 governs the assembly of regulated articles for inspection. This section generally requires that persons intending to move regulated articles intrastate shall apply for certification as far in advance as possible and assemble regulated articles at such points and in such manner as the inspector shall designate. This section also provides that the Department will not be responsible for any cost incident to the inspection other than for the services of the inspector.

Section 141.10 governs the inspection and disposition of shipments. It generally provides that any vehicle, container and any item to be moved, which is moving or which has been moved intrastate from a restricted zone, and may contain regulated articles or infestations of EAB, may be examined by an inspector. It also provides that when regulated articles are found to be moving or have been moved in violation of these regulations, an inspector may take such action deemed necessary to eliminate the danger of the spread of EAB. Infested articles must be rendered free of infestation at no cost to the State.

Section 141.11 provides that no provision of Part 141 relieves any person from the obligation of complying with any other applicable federal, state or local law or regulation. The section also provides that this Part only applies to intrastate movement of regulated articles.

Section 141.12 sets forth the effective date of the regulation. The rule becomes effective in a particular county on and after the tenth day from the filing of a certified copy in the office of the clerk of that county.

This notice is intended: to serve as both a notice of emergency adoption and a notice of proposed rule making. The emergency rule will expire August 8, 2015.

Text of rule and any required statements and analyses may be obtained from: Christopher A. Logue, Director, Division of Plant Industry, NYS Department of Agriculture and Markets, 10B Airline Drive, Albany, New York 12235, (518) 457-2087

Data, views or arguments may be submitted to: Same as above.

Public comment will be received until: 45 days after publication of this notice.

Regulatory Impact Statement

1. Statutory authority:

Section 18 of the Agriculture and Markets Law provides, in part, that the Commissioner may enact, amend and repeal necessary rules which shall provide generally for the exercise of the powers and performance of the duties of the Department as prescribed in the Agriculture and Markets Law and the laws of the State and for the enforcement of their provisions and the provisions of the rules that have been adopted to implement these laws.

Section 164 of the Agriculture and Markets Law provides, in part, that the Commissioner shall take such action as he may deem necessary to control or eradicate any injurious insects, noxious weeds, or plant diseases existing within the State.

Section 167 of the Agriculture and Markets Law provides, in part, that the Commissioner is authorized to make, issue, promulgate and enforce such order, by way of quarantines or otherwise, as he may deem necessary or fitting to carry out the purposes of Article 14 of said Law. Section 167 also provides that the Commissioner may adopt and promulgate such rules and regulations to supplement and give full effect to the provisions of Article 14 of the Agriculture and Markets Law.

2. Legislative objectives:

These regulations are consistent with the public policy objectives the Legislature sought to advance when enacting the statutory authority -- preventing the spread within the State of an injurious insect and easing a regulatory burden by lifting the quarantines in areas where an infestation of this insect does not exist.

3. Needs and benefits:

At present, 44 counties and portions of four others are under an EAB quarantine. This rule repeals the existing Part 141 and replaces it with a new Part 141, which, among other things, replaces the quarantines in the counties with 14 restricted zones. These restricted zones have been shown to be infested with EAB through survey and trapping.

The Emerald Ash Borer, Agrilus planipennis, is a destructive wood-boring insect that is not indigenous to the United States. EAB causes serious damage to healthy ash trees by boring through their bark, which ultimately results in the death of the tree within two years.

Ash trees, ash nursery stock, and material from ash trees like logs, green lumber, firewood, stumps, roots, branches and debris are subject to infestation. Materials at risk of attack and infestation by the EAB include the following species of North American ash trees: White Ash (Fraxinus Americana); Green Ash (Fraxinus pennsylvanica); Black Ash (Fraxinus nigra); and Blue Ash (Fraxinus quadrangulata). The movement of these materials poses a serious threat to susceptible ash trees in forests as well as in the urban landscape throughout the State.

EAB was first discovered in Michigan in June 2002, and has since spread to at least 15 other states as well as to two provinces in Canada. The initial detection of this pest in New York occurred on June 16, 2009 in the Town of Randolph, which is located in southwestern Cattaraugus County and is adjacent to Chautauqua County. A quarantine of both counties was established pursuant to federal protocols for control of EAB.

Further detections were confirmed in six other counties (Monroe, Genesee, Livingston, Steuben, Greene and Ulster) during July and August, 2010. Due to the patchwork nature of these detections, limited detection capabilities and stakeholder input, the EAB quarantine was extended to the following 10 counties in western New York: Niagara, Erie, Orleans, Wyoming, Allegany, Wayne, Ontario, Yates, Schuyler and Chemung. A new quarantine region was also established in eastern New York comprised of Greene and Ulster Counties.

In 2011, there were multiple new detections within the Western New York quarantine area. New detections of EAB in Albany and Orange

Counties demonstrated further spread of EAB within the Eastern New York quarantine area and prompted the extension of the quarantine to include those counties.

In 2013, the two quarantine zones were combined by adding the counties of Broome, Cayuga, Chenango, Columbia, Cortland, Delaware, Dutchess, Otsego, Putnam, Rensselaer, Schenectady, Schoharie, Seneca, Sullivan, Tioga and Tompkins as well as portions of Fulton, Herkimer, Madison, Montgomery, Oneida and Onondaga.

In 2014, based upon new findings of EAB, the quarantine was extended to include all of Onondaga and Madison Counties.

Based upon ongoing surveys, it has been determined that all of the counties currently under quarantine are not infested with EAB; instead, there are 14 areas in the State which are infested with the pest. Under the rule, these have been designated restricted zones.

The 14 restricted zones established under this rule are located in and around the following municipalities or areas: Albany-Rensselaer; Bath; Binghamton; Buffalo; Livingstonville; Mid-Hudson; Montezuma; Nichols; Randolph; Rochester; Sheridan; Syracuse; Unadilla; and West Point. Each restricted zone consists of a core area, which is the location of an EAB infestation, and a buffer area, which surrounds the core area and extends a distance of five miles. If the core area encompasses 30-percent or more of a municipality, the entire municipality will be included within the restricted zone.

The rule allows for the movement of regulated articles within a restricted area and controls the intrastate movement of regulated articles to other locations in the state outside of the restricted zones. The rule does not regulate the interstate movement of regulated articles, since this movement is regulated by federal laws and regulations.

Regulated articles that may be moved intrastate outside of a restricted area, require a valid certificate of inspection, limited permit or administrative instructions from the Commissioner. The administrative instructions from the commissioner would be used in cases where the regulated articles would not be moved pursuant to certificate of inspection or limited permit. An example would be the removal of storm debris. The administrative instructions would be expedient and would alleviate a regulatory burden by eliminating the need to apply for a certificate or permit.

Movement is allowed for experimental and scientific purposes on such conditions and under such safeguards as may be prescribed in writing by the Department. This means that the Department would provide conditions for movement to ensure that the pest does not escape in transit and that it will be properly contained and disposed of at the destination. Permits from the United States Department of Agriculture may also be required if the insect is moved interstate.

Persons engaged in growing, handling or moving regulated articles intrastate may apply for a compliance agreement for the restricted movement of regulated articles. The holder of a compliance agreement is authorized to issue certificates of inspection or limited permits for the one-time movement of regulated articles without a Department inspection prior to such movement.

The benefits offered by the regulations are the more effective control of the spread of EAB with the reduction of regulation of movement of ash wood from areas where there is no EAB infestation. The immediate adoption of this rule is necessary to mitigate negative economic and ecological impacts that have resulted from the current configuration of the quarantine.

4. Costs:

(a) Costs to regulated parties for the implementation of and continuing compliance with the rule: It is anticipated that costs will be minimal. There are 346 registered nursery growers and 514 registered plant dealers in the new restricted zones. However, only a fraction of these regulated parties carry regulated articles. There is no approved protocol eliminating EAB from ash nursery stock that does not kill the nursery stock; and EAB infestation has significantly reduced or eliminated the market for ash nursery stock as ornamental, street and park plantings. The new rule, by decreasing the geographical scope of the areas subject to quarantine, imposes less regulation and fewer costs on regulated parties.

Tree removal services would have the option of leaving regulated articles within the restricted zone, chipping the material, or transporting them outside of the restricted zone under a certificate of inspection or limited permit for specific processing, handling, or utilization.

(b) Costs to the agency, the state and local governments for the implementation and continuation of the rule: The Department will realize lower costs and a reduced workload by having fewer inspections. Some local governments may face expenses in tree maintenance since ash trees have become popular trees to use to line streets. However, the rule does not require local governments to remove the trees from the restricted zones. Accordingly, local governments within a restricted zone will not incur any additional expenses.

(c) The information, including the sources of such information and the methodology upon which the cost analysis is based: The costs analysis set forth above is based upon observations of the industry.

5. Local government mandate:

None.

6. Paperwork:

Regulated articles inspected and certified to be free of EAB moving from a restricted zone established by the rule will have to be accompanied by a state or federal certificate of inspection or a limited permit.

7. Duplication:

The New York State Department of Environmental Conservation (DEC) is implementing a regulation parallel to this one.

8. Alternatives:

The alternative of no action was considered. However, this option is not feasible, given the fact that many of the counties presently under quarantine are not infested, and the modification of the quarantine could reduce regulatory burden and better target infested areas of the State. The Department considered but rejected the option of establishing an entire state quarantine because this approach could place the State's forest, urban and agricultural resources at risk from the spread of EAB that could result from the unrestricted movement of ash materials. In light of these factors, there does not appear to be any viable alternative to the establishment of restricted zones as set forth in this rule.

9. Federal standards:

The regulations do not exceed any minimum standards for the same or similar subject areas.

10. Compliance schedule:

This rule shall take effect immediately upon filing.

Regulatory Flexibility Analysis

1. Effect of rule.

It is anticipated that the modification of the Department's regulatory approach on nursery dealers, nursery growers and those handling ash wood growers, regardless of their size, should have minimal impact on regulated parties. The EAB infestation and current federal and state quarantines have significantly reduced or eliminated the market for ash nursery stock as ornamental, street, park plantings and ash wood; and the modifications ease restrictions on movement of regulated articles by limiting restricted zones to areas of known infestation.

Arborists and tree care companies would have the option of leaving regulated articles within the restricted zones, chipping the material or transporting the articles outside the restricted zones to a federal/state disposal site for processing under a limited permit.

The greatest economic impact of EAB regulation would continue to be on municipalities within the restricted zones, as they deal with the costs to manage the effect of this pest through tree removals, treatments and assessments of existing ash inventories. However, the modifications of the EAB regulations would not alter that impact.

2. Compliance requirements.

All parties in the restricted zones would be required to obtain certificates and limited permits (or enter into compliance agreements) to ship regulated articles (e.g. firewood and forest products) outside those areas.

As there is no approved protocol to diagnose or treat nursery stock (since approved methods of eliminating EAB would kill the plants), movement of ash nursery stock outside a restricted zone is prohibited.

It is not anticipated that local governments would be involved in the shipment of regulated articles from the restricted zones.

3. Professional services.

Those shipping regulated articles from restricted zones (without having a compliance agreement with the Department) would require professional inspection services provided by the Department, the Department of Environmental Conservation (DEC) or the United States Department of Agriculture (USDA).

It is not anticipated that local governments would be involved in the shipment of regulated articles from the restricted zones.

4. Compliance costs.

(a) Initial capital costs that will be incurred by a regulated business or industry or local government in order to comply with the rule:

None.

(b) Annual cost for continuing compliance with the rule:

Regulated parties exporting regulated articles, exclusive of nursery stock, from the restricted zones, other than pursuant to compliance agreements, would require an inspection of the materials, taking and analyzing soil samples, reviewing shipment records and issuing a federal or state certificate of inspection. These services are available at a rate of $25 per hour. Most inspections will take one hour or less. However, most shipments would be made pursuant to compliance agreements, for which there is no charge.

Tree removal services would have the option to leave ash materials within the restricted zones or transport them outside of the zones under a limited permit to a federal/state disposal site for processing.

It is not anticipated that local governments would be involved in the shipment of regulated articles from the restricted zones.

5. Economic and technological feasibility.

The economic and technological feasibility of compliance with the rule by small businesses and local governments has been addressed and such compliance has been determined to be feasible. Regulated parties shipping regulated articles outside restricted zones would require an inspection and the issuance of a certificate of inspection. The majority of shipments would be made pursuant to compliance agreements, which would not require independent inspection.

6. Minimizing adverse impact.

The Department has designed the rule to minimize adverse economic impact on small businesses and local governments. By limiting the EAB quarantine to areas where infestations exist, the rule minimizes economic impacts while maintaining, without compromising, efforts to slow the spread of EAB.

Approaches for minimizing adverse economic impact required by section 202-a(1) of the State Administrative Procedure Act (SAPA) and suggested by section 202-b(1) of SAPA were considered. The Department has sought to minimize adverse impact of the EAB quarantine by continuing the use of compliance agreements between the Department and regulated parties, agreements which permit the shipment of regulated articles without state or federal inspection and for which there is no charge. Given all of the facts and circumstances, the regulations minimize adverse economic impact as much as is currently possible.

7. Small business and local government participation.

Movement of firewood continues to present a serious threat to spread EAB and other invasive insects. State and federal entities are continuing aggressive outreach efforts in promoting the message "don't move firewood."

The Department is and will continue to keep stakeholder groups informed concerning this rule. On March 6, 2015, a stakeholders meeting was held in the Department's Albany Offices. Approximately 30 regulated parties attended and expressed support for the rule.

Rural Area Flexibility Analysis

1. Type and estimated numbers of rural areas:

It is anticipated that the modification of the Department's regulatory approach on nursery dealers, nursery growers and those handling ash wood growers, including those in rural areas, should have minimal impact on regulated parties. The EAB infestation and current federal and state quarantines have significantly reduced or eliminated the market for ash nursery stock as ornamental, street, park plantings and ash wood; and the modifications ease restrictions on movement of regulated articles by limiting restricted zones to areas of known infestation.

Arborists and tree care companies would have the option of leaving regulated articles within the restricted zones, chipping the material or transporting the articles outside the restricted zones to a federal/state disposal site for processing under a limited permit.

The greatest economic impact of EAB regulation would continue to be on municipalities within the restricted zones, including those in rural areas, as they deal with the costs to manage the effect of this pest through tree removals, treatments and assessments of existing ash inventories. However, the modifications of the EAB regulations would not alter that impact.

2. Reporting, recordkeeping and other compliance requirements; and professional services:

All parties in the restricted zones, including those in rural areas, would be required to obtain certificates and limited permits (or enter into compliance agreements) to ship regulated articles (e.g. firewood and forest products) outside those areas.

As there is no approved protocol to diagnose or treat nursery stock (since approved methods of eliminating EAB would kill the plants), movement of ash nursery stock outside a restricted zone is prohibited.

It is not anticipated that local governments, including those in rural areas, would be involved in the shipment of regulated articles from the restricted zones.

Those in rural areas shipping regulated articles from restricted zones (without having a compliance agreement with the Department) would require professional inspection services provided by the Department, the Department of Environmental Conservation (DEC) or the United States Department of Agriculture (USDA).

It is not anticipated that local governments in rural areas would be involved in the shipment of regulated articles from the restricted zones.

3. Costs:

Regulated parties in rural areas exporting regulated articles, exclusive of nursery stock, from the restricted zones, other than pursuant to compliance agreements, would require an inspection of the materials, taking and analyzing soil samples, reviewing shipment records and issuing a federal or state certificate of inspection. These services are available at a rate of $25 per hour. Most inspections will take one hour or less. However, most shipments would be made pursuant to compliance agreements, for which there is no charge.

Tree removal services in rural areas would have the option to leave ash materials within the restricted zones or transport them outside of the zones under a limited permit to a federal/state disposal site for processing.

It is not anticipated that local governments in rural areas would be involved in the shipment of regulated articles from the restricted zones.

4. Minimizing adverse impact:

In conformance with State Administrative Procedure Act section 202-bb(2), the Department has designed the rule to minimize adverse economic impact on small businesses and local governments in rural areas. By limiting the EAB quarantine to areas where infestations exist, the rule minimizes economic impacts while maintaining, without compromising, efforts to slow the spread of EAB.

Approaches for minimizing adverse economic impact were considered. The Department has sought to minimize adverse impact of the EAB quarantine by continuing the use of compliance agreements between the Department and regulated parties in rural areas, agreements which permit the shipment of regulated articles without state or federal inspection and for which there is no charge. Given all of the facts and circumstances, the regulations minimize adverse economic impact as much as is currently possible.

5. Rural area participation:

Movement of firewood continues to present a serious threat to spread EAB and other invasive insects. State and federal entities are continuing aggressive outreach efforts in promoting the message "don't move firewood."

The Department is and will continue to keep stakeholder groups informed concerning this rule. On March 6, 2015, a stakeholders meeting was held in the Department's Albany Offices. Approximately 30 regulated parties attended and expressed support for the rule.

Job Impact Statement

The forest-based economy generates payrolls of more than $2 billion. Forest related activities in New York State provide employment for approximately 70,000 people. Of that number, 55,000 jobs are associated with the wood-based forest economy, including manufacturing.

There are an estimated 750-million ash trees in New York State (excluding the Adirondack and Catskill Forest Preserves), with ash species making up approximately seven percent of all trees in the State's forests. The unchecked spread of the infestation would have substantial adverse economic consequences to the nursery, forestry and wood-working (e.g. lumber yard, flooring and furniture and cabinet making) industries of the State, due to the destruction of the regulated articles upon which these industries depend.

The modifications of the Department's EAB regulations will: (1) target areas of infestation with greater precision, (2) more effectively slow the spread of the infestation; and, (3) at the same time, ease the regulatory burden on entities in areas where there is no current EAB infestation. Accordingly, the new regulations will not have a substantial adverse impact on jobs or employment opportunities; and will better protect jobs and employment opportunities in the wood-based forest economy.

Delaware River Basin Commission

INFORMATION NOTICE

Notice of Proposed Rulemaking and Public Hearing

Proposed Amendments to the Rules of Practice and Procedure to Allow Each Signatory Party and the DRBC to Administer a Single Process for the Review and Adjudication of Projects.

The Delaware River Basin Commission ("DRBC" or "Commission") is a federal-interstate compact agency charged with managing the water resources of the Delaware River Basin without regard to political boundaries. Its commissioners are the governors of the four basin states – New York, New Jersey, Delaware, and Pennsylvania – and a federal representative, the North Atlantic Division Commander of the U.S. Army Corps of Engineers. The Commission is not subject to the requirements of the New York State Administrative Procedure Act. This notice is published by the Commission for information purposes.

Summary: The Commission will hold a public hearing to receive comments on proposed amendments to its Administrative Manual Part III – Rules of Practice and Procedure (18 C.F.R. Part 401) to provide for DRBC and each of the parties to the Delaware River Basin Compact (United States Public Law 87-328, Approved September 27, 1961, 75 Statutes at Large 688; 53 Delaware Laws, Chapter 71, Approved May 26, 1961; New Jersey Laws of 1961, Chapter 13, Approved May 1, 1961; New York Laws of 1961, Chapter 148, Approved March 17, 1961; Pennsylvania Acts of 1961, Act No. 268, Approved July 7, 1961) ("the

Compact") – Delaware, New Jersey, New York, Pennsylvania and the federal government ("Signatory Parties") – to coordinate and collaborate in the administration of a single process for the review and adjudication of projects. The program, called "One Process/One Permit," (also herein, "the Program") will allow DRBC and administrative agencies of the Signatory Parties participating in the Program, to incorporate the requirements and determinations of both DRBC and the Signatory Party agency into a single permit or other approval instrument.

Dates: The public hearing will start on or around 2:00 P.M. on Tuesday, June 9, 2015 during the Commission's regularly scheduled public hearing. The hearing will continue until all those wishing to testify have had an opportunity to do so. Depending upon the number of people wishing to speak, the hearing officer may impose time limits on speakers. Written comments will be accepted by any of the means described below and must be received by 5:00 P.M. on Wednesday, July 1, 2015. More information regarding the procedures for the hearing and comments is set forth in the section "Oral Testimony and Written Comments."

Addresses: The public hearing will be held at the Washington Crossing Historic Park Visitor's Center at 1112 River Road in Washington Crossing, Pennsylvania. Please check washingtoncrossingpark.org/contact/ for directions, as Internet mapping services provide unreliable directions to this location.

Oral Testimony and Written Comments: Persons wishing to testify at the hearing are asked to register in advance by contacting Paula Schmitt at 609-883-9500, ext. 224 or paula.schmitt@drbc.state.nj.us. Written comments may be submitted as follows: If by email (preferred), to paula.schmitt@drbc.state.nj.us; by fax, to Commission Secretary at 609-883-9522; by U.S. Mail, to Commission Secretary, DRBC, P.O. Box 7360, West Trenton, NJ 08628-0360; or by overnight mail, to Commission Secretary, DRBC, 25 State Police Drive, West Trenton, NJ 08628-0360. Comments also may be delivered by hand at any time during DRBC's regular office hours (Monday through Friday, 8:30 A.M. through 5:00 P.M. except on national holidays) until the close of the comment period. In all cases, please include the commenter's name, address and affiliation, if any, in the comment document and "One Process/One Permit" or "OPOP" in the subject line.

For Further Information: The rule text is available on the DRBC website, DRBC.net. Also posted to the website are an extensive FAQ document; DRBC Resolution No. 2015-4, authorizing the Executive Director to initiate rulemaking and enter into an administrative agreement with the New Jersey Department of Environmental Protection (NJDEP) for demonstration of the Program; and the administrative agreement between DRBC and the NJDEP to provide for the demonstration program, which includes provisions for fully implementing One Process/One Permit once a final rule has been adopted. Detailed procedures of the DRBC for public hearings, public meetings and "Public Dialogue" are available on the web at: http://www.state.nj.us/drbc/library/documents/procedures120414.pdf. For further information, please contact Commission Secretary Pamela M. Bush, 609-477-7203.

Supplementary Information

Background. Because DRBC and its Signatory Parties share common water resource management objectives, sponsors of many water resource-related projects in the Delaware River Basin are currently required to apply to both the DRBC and a state agency, among others, for approvals. The proposed rule provides for DRBC and the administrative agencies of the Signatory Parties to identify regulatory programs that by mutual agreement will be managed through a single process resulting in one decision or approval. Agreements between DRBC and federal agencies are possible under the rule, but none are currently contemplated.

One Process/One Permit is intended to promote interagency cooperation and collaboration on shared mission objectives, achieve regulatory program efficiencies, avoid unnecessary duplication of effort, and reduce the potential for confusion on the part of regulated entities and the public. The regulatory standards and authorities of the DRBC and each of its Signatory Parties are expressly preserved by the Program, including in the proposed rule. The more protective of the applicable DRBC or Signatory Party agency's requirements will be included in each permit or approval issued under the Program.

The proposed rule provides for DRBC and each Signatory Party agency choosing to implement One Process/One Permit to enter into an administrative agreement that identifies the types of projects and approvals to be covered. Initially, the Program is expected to be implemented for (a) withdrawals of basin waters subject to both DRBC review and state allocation programs; and (b) wastewater discharges subject to DRBC review and the state-administered National Pollutant Discharge Elimination System (NPDES) program. For water withdrawals, the lead agency under One Process/One Permit may be the state or the DRBC, depending upon current state programs. The delegated state environmental agencies will be the lead agencies for the review of wastewater discharges. Other regulatory programs, such as programs relating to floodplain management, could be included in the future. All administrative agreements between DRBC and agencies of the Signatory Parties for implementing One Process/One Permit will be subject to Commission approval following a public hearing.

Authority. Sections 1.5 and 3.9 of the Compact and existing DRBC rules allow and encourage the Commission to use the agencies of the Signatory Parties wherever feasible and advantageous consistent with the Compact. Accordingly, under the proposed rule, permits issued by Signatory Party agencies may include a finding required by Section 3.8 of the Compact. Specifically, after the rule and amended agreements are in place, based on the appropriate level of review and a recommendation by the DRBC staff, approvals issued under the Program may include the finding that when operated in accordance with the terms and conditions of the approval, the activities regulated by the approval will not substantially impair or conflict with DRBC's comprehensive plan.

Operation of the Program. Under the proposed rule, an application for initial approval, renewal or revision of project activities subject to the One Process/One Permit program will be filed only with the lead agency. This does not mean that the DRBC or others will not be involved in the review of applications for new and renewal water withdrawal and discharge projects. Rather, DRBC and the Signatory Party agency will follow a single process, and reviews will be performed more efficiently and more collaboratively.

Consistent with the proposed rule, the agreements between DRBC and Signatory Party agencies will provide for a level of DRBC review appropriate to the circumstances. Some reviews, such as those for simple and standard renewals of existing permits, may be significantly streamlined or subject to inter-agency notifications only. Others, including to implement standards for which the DRBC staff have special expertise, will involve substantial DRBC staff effort. For example, under the wastewater discharge program, DRBC staff will continue to perform modeling to determine "No Measurable Change" requirements for the Commission's Special Protection Waters program and to calculate an alternative mixing zone for a discharge of treated industrial wastewater to the Delaware Estuary. For certain projects, DRBC staff also will continue to identify conditions of approval to ensure that projects subject to review under the Compact and implementing regulations do not impair or conflict with the Commission's comprehensive plan. The purpose of One Process/One Permit is to eliminate unnecessary effort, not to eliminate effort needed to fully review a project under all applicable standards and rules. Under the Program, each party continues to recognize the authority of the other to promulgate rules, regulations and standards. The rule does not change that authority.

Notably, a separate DRBC review and decision for water withdrawal and discharge activities will still be required in certain cases, such as when a new project must be incorporated into the Commission's comprehensive plan. Both parties also will retain the right to act separately, such as in the instances, anticipated to be rare, where the parties cannot agree on the terms and conditions of approval. Certain categories of projects that are subject to DRBC review will not be covered by the Program, and the Executive Director and Commissioners will have the ability to remove a project from the Program. However, the objective of One Process/One Permit is to encompass most, if not all, elements of the review and approval for covered projects.

The proposed rule does not modify the existing project review fee schedule of the DRBC or that of any Signatory Party agency. Although One Process/One Permit is expected to improve process efficiency, in many instances as described above, the DRBC will devote significant resources and work effort to review projects and support its regulatory programs. Accordingly, the DRBC regulatory program will continue for the present to be supported by its existing regulatory program fees. The Commission's fee schedule set forth in Resolution No. 2009-2 will remain in effect unless and until the Commission amends it through rulemaking or a comparable public process. Under One Process/One Permit, all DRBC fees applicable under current practices will continue to be paid directly to the Commission.

The proposed rule provides that persons aggrieved by the final action of a state agency on behalf of the Commission under One Process/One Permit must exhaust their administrative remedies under the law of the Signatory Party agency that issued the decision.

New Jersey Demonstration Program. By Resolution No. 2015-4 approved by the Commission on March 11, 2015, DRBC and NJDEP have agreed to ''practice'' using new collaborative processes between the two agencies for review of wastewater discharge applications, pending the adoption of a new rule such as the one proposed today. The agreement between DRBC and NJDEP provides for the demonstration program and sets forth provisions needed to fully implement One Process/One Permit once a final rule has been adopted. In the event that a

project reviewed under the New Jersey Demonstration Program reaches the stage where it is ready for final approval before DRBC has adopted a final rule, the application will be acted upon by DRBC and the NJDEP independently. As explained above, additional information about the New Jersey Demonstration Program is available on the Commission's website.

Preservation of the 1954 Supreme Court Decree. In accordance with Sections 3.3(a) and 3.5 of the Compact, the proposed rule expressly provides that it does not grant authority to any Signatory Party agency to impair, diminish or otherwise adversely affect the diversions, compensating releases, rights, conditions, obligations and provisions for administration thereof provided in the United States Supreme Court decree in New Jersey v. New York, 347 U.S. 995 (1954) ("Decree"). The rule further reiterates that any such action may be taken only by the Commission with the unanimous consent of the parties to the Decree or upon unanimous consent of the members of the Commission following a declaration of a state of emergency in accordance with Section 3.3(a) of the Compact.

No Effect on Section 401 State Water Quality Certification Programs. The proposed rule also does not affect the authority of Signatory Party states to issue water quality certifications under Section 401 of the Clean Water Act.

Dated: May 12, 2015

PAMELA M. BUSH, ESQ.

Commission Secretary

Text of proposed amendments:

It is proposed to amend Article 3 of the Administrative Manual – Rules of Practice and Procedure by the addition of the following section:

2.3.11 One Permit Program

A. Purpose. The purpose of the One Permit Program set forth in this Section is to provide for the environmental agency and/or other administrative agency of a Signatory Party ("Signatory Party Agency") and the Commission to coordinate and collaborate in the administration of a single process for the review and adjudication of projects. The One Permit Program will incorporate, where appropriate, the Signatory Party Agency and Commission requirements and determinations in a single permit or other approval instrument.

B. Scope. This Section applies to all projects that: are reviewable under the Compact; meet the thresholds for review set forth in Section 2.3.5 of these Rules of Practice and Procedure; are subject to review by a Signatory Party Agency under its own statutory authorities; and are within categories of projects that have been identified in a duly adopted Administrative Agreement between the Commission and a Signatory Party Agency under this Section 2.3.11 of the Rules.

C. Regulatory Programs. A Signatory Party Agency or the Commission may at any time propose to the other that a regulatory program be administered within the Basin under the One Permit Program. Regulatory programs eligible for administration under the One Permit Program include but are not limited to those concerning: basin discharges, basin water withdrawals, and basin flood plain requirements.

D. Procedure. The categories of projects covered and the procedures for processing applications under the One Permit Program shall be set forth in one or more Administrative Agreements between the Commission and the Signatory Party Agency that have been adopted by the Commission following a duly noticed public hearing and are in form and substance acceptable to the Commission and the Signatory Party Agency, consistent with the following:

1. Except as provided in subsection 2.3.11.E of these Rules or in an Administrative Agreement that has been duly executed by the Commission and the Signatory Party Agency under this Section, an application for initial approval, renewal or revision of any project subject to the One Permit Program shall be filed only with the Signatory Party Agency.

2. Notice that the project sponsor has filed an application with the Signatory Party Agency shall be provided to the Commission in the manner specified in the applicable Administrative Agreement.

3. The Signatory Party Agency receiving the application shall for those categories of projects identified in the Administrative Agreement as requiring Commission input, solicit the recommendation of the Commission staff as to any conditions of approval that may be necessary or appropriate to include in the project review determination under § 3.8 of the Compact. The process for solicitation of the recommendation by Commission staff shall be as defined within the applicable Administrative Agreement.

4. Unless the Signatory Party Agency disapproves the project or the Administrative Agreement provides for separate Commission action under § 3.8 of the Compact, the Signatory Party Agency shall make the project review determination under § 3.8 of the Compact as to the regulatory program covered by the Signatory Party Agency's approval and include the determination and any associated conditions of approval within the permit or other approval instrument that it issues to the project sponsor.

5. The Commission will maintain a list of all projects being administered pursuant to the Program.

E. Comprehensive Plan Projects. Articles 11 and 13 of the Compact require certain projects to be included in the Comprehensive Plan. To add a project not yet included in the Comprehensive Plan, the project sponsor shall submit a separate application to the Commission before initiating project design. If following its review and public hearing the Commission approves the addition of the project to the Comprehensive Plan, the Commission's approval will include such project requirements as are necessary under the Compact and Commission regulations. All other project approvals that may be required from the Signatory Party Agency or the Commission under regulatory programs administered pursuant to this Section may be issued through the One Permit Program. An application for renewal of a project in the Comprehensive Plan that does not change the project so substantially as to render it a new and different project may be submitted only to the Signatory Party Agency unless otherwise specified in the Administrative Agreement.

F. Retention of Commission Review and Enforcement Authorities. Notwithstanding any other provision of this Section 2.3.11, any Commissioner or the Executive Director may designate for Commission review any project that is reviewable under the Compact. Nothing in this Section 2.3.11 shall limit the authority of the Commission to exercise its review authority under the Compact and applicable Commission regulations. Similarly, although Administrative Agreements executed pursuant to this Section may include collaborative and cooperative compliance and enforcement procedures, nothing in this Section 2.3.11 shall limit the authority of the Commission to exercise its enforcement authority under the Compact and applicable regulations.

G. Exhaustion of Signatory Party Administrative Remedies Prerequisite to Appeal. Before commencing an action in a court of appropriate jurisdiction challenging any final action taken by a Signatory Party Agency on behalf of the Commission, the appellant must first exhaust its administrative remedies under the law of the Signatory Party whose agency issued the decision at issue.

H. Fees. The Commission shall establish and maintain a schedule of fees for any or all of the services it renders pursuant to this Section 2.3.11. Project sponsors shall pay such fees, if any, directly to the Commission in accordance with such schedule and applicable rules.

I. Termination of existing Commission docket. At such time as the Signatory Party Agency makes the Project Review Determination and issues a permit or other approval instrument to a project sponsor in accordance with this Section 2.3.11, the Executive Director is authorized to terminate in whole or in part any Commission docket then in effect with respect to such project, provided that such termination shall not serve to remove a project from or otherwise modify the Comprehensive Plan.

J. Modification of Rules of Practice and Procedure to Conform to this Section. Any project subject to the One Permit Program shall be governed by this Section 2.3.11 and not Sections 2.1.4, 2.1.5, 2.1.6, 2.1.8, 2.3.4 A, C and E, 2.3.6, 2.3.7 and Article 6 where they are inconsistent with the procedures provided in this Section.

K. No Interference with Supreme Court Decree. In accordance with Sections 3.3(a) and 3.5 of the Compact, nothing in this Section 2.3.11 shall grant the authority to any Signatory Party Agency to impair, diminish or otherwise adversely affect the diversions, compensating releases, rights, conditions, obligations and provisions for administration thereof provided in the United States Supreme Court decree in New Jersey v. New York, 347 U.S. 995 (1954) ("Decree"). Any such action shall be taken only by the Commission with the unanimous consent of the parties to the Decree or upon unanimous consent of the members of the Commission following a declaration of a state of emergency in accordance with Section 3.3(a) of the Compact.

Education Department

EMERGENCY RULE MAKING

Appeals Process on Regents Exams Passing Score for English Language Learners (ELLs)

I.D. No. EDU-08-15-00006-E
Filing No. 360
Filing Date: 2015-05-11
Effective Date: 2015-05-11

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following action:

Action taken: Amendment of section 100.5(d)(7) of Title 8 NYCRR.

Statutory authority: Education Law, sections 101(not subdivided), 207(not subdivided), 208(not subdivided), 209(not subdivided), 215(not subdivided), 305(1), (2), 308(not subdivided), 309(not subdivided), 2117(1), 3204(2), (2-a), (3) and (6)

Finding of necessity for emergency rule: Preservation of general welfare.

Specific reasons underlying the finding of necessity: The proposed amendment would extend the English Language Learner (ELL) specific pathway to graduate with a Local Diploma via appeal to ELLs who meet all other conditions for appeal and are otherwise eligible to graduate in January 2015 and thereafter, and clarify that this appeal process applies to ELLs who meet one or more graduation assessment requirements via an available alternative pathway and meet all other conditions for appeal.

The proposed amendment was adopted as an emergency action at the February 9-10, 2015 Regents meeting, effective February 10, 2015. A Notice of Emergency Adoption and Proposed Rule Making was published in the State Register on February 25, 2015. Because the Board of Regents meets at scheduled intervals, the earliest the proposed amendment could be presented for regular (non-emergency) adoption, after publication in the State Register and expiration of the 45-day public comment period provided for in State Administrative Procedure Act (SAPA) section 202(1) and (5), is the May 18-19, 2015 Regents meeting. Furthermore, pursuant to SAPA section 203(1), the earliest effective date of the proposed amendment, if adopted at the May meeting, would be June 3, 2015, the date a Notice of Adoption would be published in the State Register. However, the February emergency rule will expire on May 10, 2015, 90 days from its filing with the Department of State on February 10, 2014. A lapse in the rule's effective date could disrupt appeals pursuant to section 100.5(d)(7) which provides a specific pathway for English Language Learner (ELL) students who meet all other conditions for appeal and are otherwise eligible to graduate in January 2015 and thereafter to graduate with a Local Diploma. Emergency action is therefore necessary for the preservation of the general welfare to ensure that the proposed rule adopted by emergency action at the February 2015 Regents meeting remains continuously in effect until the rule can be adopted and take effect as a permanent rule.

It is anticipated that the proposed amendment will be presented for adoption as a permanent rule at the May 18-19, 2015 Regents meeting, which is the first meeting scheduled after expiration of the 45-day period for public comment pursuant to the State Administrative Procedure Act.

Subject: Appeals process on Regents exams passing score for English Language Learners (ELLs).

Purpose: To extend ability to graduate with a Local Diploma via appeal process to qualifying English Language Learner (ELL) students who satisfy all other graduation requirements (including those who satisfy such requirements via available alternative pathways) in January 2015 or thereafter.

Text of emergency rule: Paragraph (7) of subdivision (d) of section 100.5 of the Regulations of the Commissioner of Education is amended, effective May 11, 2015, as follows:

(7) Appeals process on Regents examinations passing score to meet Regents diploma requirements.

(i) School districts shall provide unlimited opportunities for all students to retake required Regents examinations to improve their scores.

(a) . . .

(b) A student who first enters school in the United States (the 50 States and the District of Columbia) in grade nine, ten, eleven or twelve [in September 2010 or thereafter] *and is otherwise eligible to graduate in January 2015 or thereafter*, is identified as an English Language Leaner pursuant to Part 154 of this Title, and fails, after at least two attempts, to attain a score of 65 or above on the required Regents examination in English language arts for graduation shall be given an opportunity to appeal such score in accordance with the provisions of this paragraph, provided that no such student may appeal his or her score on more than two of the five required Regents examinations and provided further that the student:

(1) has scored between 55 and 61 on the required Regents examination in English language arts under appeal;

(2) provides evidence that he or she has received academic intervention services by the school in English language arts;

(3) has an attendance rate of at least 95 percent for the school year during which the student last took the required Regents examination in English language arts;

(4) has attained a course average in English language arts that meets or exceeds the required passing grade by the school and is recorded on the student's official transcript with grades achieved by the student in each quarter of the school year; and

(5) is recommended for an exemption to the passing score on the required Regents examination in English language arts by his or her teacher or department chairperson in English language arts.

[(c)] *(ii)* An appeal may be initiated by the student, the student's parent or guardian, or the student's teacher, and shall be submitted in a form prescribed by the commissioner to the student's school principal.

[(d)] *(iii)* The school principal shall chair a standing committee comprised of three teachers (not to include the student's teacher in the subject area of the Regents examination under appeal) and two school administrators (one of whom shall be the school principal). The standing committee shall review an appeal within 10 school days of its receipt and make a recommendation to the school superintendent or, in the City School District of the City of New York, to the chancellor of the city school district or his/her designee, to accept or deny the appeal. The standing committee may interview the teacher or department chairperson who recommended the appeal, and may also interview the student making the appeal to determine that he or she has demonstrated the knowledge and skills required under the State learning standards in the subject area in question.

[(e)] *(iv)* The school superintendent or, in the City School District of the City of New York, the chancellor of the city school district or his/her designee, shall make a final determination to accept or deny the appeal. The school superintendent or chancellor or chancellor's designee may interview the student making the appeal to determine that the student has demonstrated the knowledge and skills required under the State learning standards in the subject area in question.

[(f)] *(v)* Diplomas.

[(1)] *(a)* A student whose appeal is accepted for one required Regents examination pursuant to clause (a) of subparagraph (i) of this paragraph, and who has attained a passing score of 65 or above on each of the four remaining required Regents examinations *(or satisfied the corresponding graduation requirement via an alternative assessment pursuant to section 100.2(f)(1) of this Part or a pathway assessment pursuant to section 100.5(a)(5)(i)(f) of this Part)*, shall earn a Regents diploma.

[(2)] *(b)* A student whose appeal is accepted for two required Regents examinations pursuant to clause (a) of subparagraph (i) of this paragraph, and who has attained a passing score of 65 or above on each of the three remaining required Regent examinations *(or satisfied the corresponding graduation requirement via an alternative assessment pursuant to section 100.2(f)(1) of this Part or a pathway assessment pursuant to section 100.5(a)(5)(i)(f) of this Part)*, shall earn a local diploma.

[(3)] *(c)* A student whose appeal is accepted for the required Regents examination in English language arts pursuant to clause (b) of subparagraph (i) of this paragraph, and who has attained a passing score of 65 or above on each of the four remaining required Regents examinations *(or satisfied the corresponding graduation requirement via an alternative assessment pursuant to section 100.2(f)(1) of this Part or a pathway assessment pursuant to section 100.5(a)(5)(i)(f) of this Part)*, shall earn a local diploma.

[(4)] *(d)* A student whose appeal is accepted for the required Regents examination in English language arts pursuant to clause (b) of subparagraph (i) of this paragraph and for one other required Regents examination pursuant to clause (a) of subparagraph (i) of this paragraph, and who has attained a passing score of 65 or above on each of the three remaining required Regents examinations *(or satisfied the corresponding graduation requirement via an alternative assessment pursuant to section 100.2(f)(1) of this Part or a pathway assessment pursuant to section 100.5(a)(5)(i)(f) of this Part)*, shall earn a local diploma.

[(g)] *(vi)* Each school shall keep a record of all appeals received and granted and report this information to the State Education Department on a form prescribed by the commissioner. All school records relating to appeals of scores on required Regents examinations shall be made available for inspection by the State Education Department.

This notice is intended to serve only as a notice of emergency adoption. This agency intends to adopt the provisions of this emergency rule as a permanent rule, having previously submitted to the Department of State a notice of proposed rule making, I.D. No. EDU-08-15-00006-EP, Issue of February 25, 2015. The emergency rule will expire July 9, 2015.

Text of rule and any required statements and analyses may be obtained from: Kirti Goswami, State Education Department, Office of Counsel, State Education Building, Room 148, 89 Washington Ave., Albany, NY 12234, (518) 474-6400, email: legal@nysed.gov

Regulatory Impact Statement

STATUTORY AUTHORITY:

Education Law section 101 continues the existence of the Education Department, with the Board of Regents at its head and the Commissioner of Education as the chief administrative officer, and charges the Department with the general management and supervision of public schools and the educational work of the State.

Education Law section 207 empowers the Regents and the Commissioner to adopt rules and regulations to carry out State laws regarding education and the functions and duties conferred on the State Education Department by law.

Education Law section 208 authorizes the Regents to establish examinations as to attainments in learning and to award and confer suitable certificates, diplomas and degrees on persons who satisfactorily meet the requirements prescribed.

Education Law section 209 authorizes the Regents to establish secondary school examinations in studies furnishing a suitable standard of graduation and of admission to colleges; to confer certificates or diplomas on students who satisfactorily pass such examinations; and requires the admission to these examinations of any person who shall conform to the rules and pay the fees prescribed by the Regents.

Education Law section 215 authorizes the Regents and the Commissioner to require school districts to prepare and submit reports containing such information as they may prescribe.

Education Law section 305 (1) and (2) provide that the Commissioner, as chief executive officer of the State system of education and of the Board of Regents, shall have general supervision over all schools and institutions subject to the provisions of the Education Law, or of any statute relating to education, and execute all educational policies determined by the Regents.

Education Law section 2117(1) empowers the Regents and the Commissioner to require school districts to submit any information they deem appropriate.

Education Law section 3204(2) and (2-a) provide for instructional programs for pupils with limited English proficiency (LEP) to be conducted in accordance with regulations of the Commissioner. Education Law section 3204(3) authorizes the Commissioner to establish standards for the instruction of LEP children, and section 3204(6) requires the Commissioner to establish standards by regulation.

LEGISLATIVE OBJECTIVES:

The proposed amendment is consistent with the above statutory authority and is necessary to implement Regents policy relating to criteria for bilingual education and English as a New Language programs for students who are English Language Learners, including determining graduation requirements, in order to ensure compliance with Education Law sections 3204 and 4403, and Title I and III of the Elementary and Secondary Education Act (ESEA), Title IV of the Civil Rights Act of 1964, Equal Educational Opportunities Act of 1974 (EEOA).

NEEDS AND BENEFITS:

Over the past 10 years, New York State English Language Learner (ELL) student enrollment has increased by 20%. According to the U.S. Department of Education, ELL student enrollment has increased by 18% nationally. Currently in New York State, over 230,000 ELLs make up 8.9% of the total student population. Their linguistic diversity makes up over 140 languages spoken in New York State; 61.5% for whom Spanish is the home language. In addition, 41.2% were born in another country.

Extensive discussion with stakeholders suggests that late arriving ELLs who are able to pass other required Regents examinations with a score of 65 and who obtain a score of at least 55 on the Regents examination in English can benefit from the opportunity to obtain postsecondary education or enter a career in the same manner as other students who may earn a diploma through the appeal process. Therefore, at their January 2015 meeting, the Board of Regents amended Commissioner's Regulation section 100.5(d)(7) to adopt this pathway for ELLs to graduate with a Local Diploma pursuant to an appeal process if they score between 55-61 on the Regents Exam in English and meet all other conditions for appeal of a Regents score (State Register, January 28, 2015, EDU-44-14-00026-A).

At its January 2015 meeting, the Board of Regents also adopted amendments to Commissioner's Regulations sections 100.2 and 100.5 to establish a 4+1 pathway to graduation for all students (State Register, January 28, 2015, EDU-44-14-00025-P). The 4+1 pathway option applies beginning with students who first enter grade nine in September 2011 and thereafter or who are otherwise eligible to receive a high school diploma in June 2015 and thereafter. The amendment creates graduation pathways assessments in the Humanities, STEM, Biliteracy (languages other than English [LOTE]), CTE and the Arts.

Public comment in response to the January 2015 amendments to section 100.5(d)(7) recommended making this option for graduation also available to ELLs who are in their 6th year of high school. These ELLs are currently excluded from this option because they entered high school prior to the 2010-11 school year. Public comment also highlighted the need to clarify that the appeal option under section 100.5(d)(7) is available to ELLs who satisfy graduation assessment requirements through the 4+1 pathway option in sections 100.2 and 100.5 or via another alternative pathway. After considering these policy concerns, the Department agrees that 6th year ELLs, as well as ELLs who satisfy graduation requirements via the 4+1 pathway options or via another alternative pathway, would benefit from the ability to utilize this graduation option.

COSTS:

(a) Costs to State government: none.

(b) Costs to local government: The proposed amendment will not impose any significant costs on local governments. An appeals process and criteria are already in place in section 100.5(d)(7) for students who score 65+ on three Regents exams and score 62-64 on two Regents exams, and the proposed amendment merely extends the ability to graduate with a Local Diploma via the appeal process to English Language Learners (ELLs) who meet all other conditions for appeal and are otherwise eligible to graduate in January 2015 and thereafter (i.e. the proposed amendment would include additional students who entered grade 9 prior to the 2010-11 school year but who are not currently covered under the existing regulation); and to clarify that this appeal process applies to ELLs who meet one or more graduation assessment requirements via an available alternative pathway and meet all other conditions for appeal.

Newly qualifying students would merely go through this existing appeals process, and the same personnel who review appeals under the current system would review the additional appeals. Any costs associated with the processing of these additional appeals are expected to be minimal and capable of being absorbed by using existing district staff and resources. In the long term, the proposed amendment is expected to be a cost saving measure in that it will boost the graduation rate, allowing more ELLs to access higher education or enter the workforce with a high school diploma. Both of these outcomes will in turn stimulate workforce productivity and economic performance in local communities.

(c) Costs to private regulated parties: none.

(d) Costs to regulating agency for implementation and continued administration of this rule: none.

LOCAL GOVERNMENT MANDATES:

The proposed amendment does not impose any additional program, service, duty or responsibility upon school districts. An appeals process and criteria are already in place for students who score 65+ on three Regents exams and score 62-64 on two Regents exams, and the proposed amendment merely extends the ability to graduate with a Local Diploma via the appeal process to English Language Learners (ELLs) who meet all other conditions for appeal and are otherwise eligible to graduate in January 2015 and thereafter (i.e. the proposed amendment would include additional students who entered grade 9 prior to the 2010-11 school year but who are not currently covered under the existing regulation); and to clarify that this appeal process applies to ELLs who meet one or more graduation assessment requirements via an available alternative pathway and meet all other conditions for appeal. Appeals by ELLs under the proposed amendment would be reviewed by the same committee that reviews all other appeals of Regents examination scores. ELL students would remain eligible for the current appeals process as well.

PAPERWORK:

The proposed amendment will not require any additional paperwork beyond what is necessary to process a limited number of additional appeals for a local diploma from qualifying late entry ELLs who score a 55-61 on the Regents examination in English after two tries who meet other conditions for appeal. Appeals by ELLs under the proposed amendment would be subject to the existing requirement in section 100.5(d)(7) that each school keep a record of all appeals received and granted and report this information to Department on a form prescribed by the Commissioner. All school records relating to appeals of scores shall be made available for inspection by the Department.

DUPLICATION:

The proposed amendment does not duplicate existing State or Federal requirements.

ALTERNATIVES:

There were no significant alternatives and none were considered.

FEDERAL STANDARDS:

The proposed amendment is necessary to ensure compliance with

Education Law sections 3204 and 4403, Title I and III of the ESEA, Title IV of the Civil Rights Act of 1964, and the EEOA. These laws require states and school districts to provide ELL students with appropriate services to overcome language barriers. In addition, federal jurisprudence in landmark cases such as Castañeda v. Pickard established standards to ensure compliance with EEOA. For example, the Castañeda standard mandates that programs for language-minority students must be (1) based on a sound educational theory, (2) implemented effectively with sufficient resources and personnel, and (3) evaluated to determine whether they are effective in helping students overcome language barriers.

COMPLIANCE SCHEDULE:

It is anticipated that school districts and BOCES will be able to achieve compliance with the proposed amendment by its effective date. An appeals process and criteria are already in place for students who score 65+ on three Regents exams and score 62-64 on two Regents exams, and the proposed amendment merely extends the ability to graduate with a Local Diploma via the appeal process to English Language Learners (ELLs) who meet all other conditions for appeal and are otherwise eligible to graduate in January 2015 and thereafter (i.e. the proposed amendment would include additional students who entered grade 9 prior to the 2010-11 school year but who are not currently covered under the existing regulation); and to clarify that this appeal process applies to ELLs who meet one or more graduation assessment requirements via an available alternative pathway and meet all other conditions for appeal. Newly qualifying students would merely go through this existing appeals process, and the same personnel who review appeals under the current system would review the additional appeals.

Regulatory Flexibility Analysis

Small Businesses:

The proposed amendment relates to State learning standards, State assessments and graduation and diploma requirements, and is necessary to implement policy adopted by the Regents relating to criteria for bilingual education and English as a New Language programs for students who are English Language Learners, including determining graduation requirements, in order to ensure compliance with Education Law sections 3204 and 4403, and Title I and III of the Elementary and Secondary Education Act (ESEA), Title IV of the Civil Rights Act of 1964, Equal Educational Opportunities Act of 1974 (EEOA). The proposed amendment does not impose any adverse economic impact, reporting, record keeping or other compliance requirements on small businesses. Because it is evident from the nature of the proposed amendment that it does not affect small businesses, no further steps were needed to ascertain that fact and none were taken. Accordingly, a regulatory flexibility analysis for small businesses is not required and one has not been prepared.

Local Governments:

1. EFFECT OF RULE:

The proposed amendment applies to each of the 689 public school districts and 37 boards of cooperative educational services (BOCES) in the State.

2. COMPLIANCE REQUIREMENTS:

The proposed amendment does not impose any additional compliance requirements on local governments. An appeals process and criteria are already in place for students who score 65+ on three Regents exams and score 62-64 on two Regents exams, and the proposed amendment merely extends the ability to graduate with a Local Diploma via the appeal process to English Language Learners (ELLs) who meet all other conditions for appeal and are otherwise eligible to graduate in January 2015 and thereafter (i.e. the proposed amendment would include additional students who entered grade 9 prior to the 2010-11 school year but who are not currently covered under the existing regulation); and to clarify that this appeal process applies to ELLs who meet one or more graduation assessment requirements via an available alternative pathway and meet all other conditions for appeal. Appeals by ELLs under the proposed amendment would be reviewed by the same committee that reviews all other appeals of Regents examination scores. ELL students would remain eligible for the current appeals process as well.

The proposed amendment will not require any additional paperwork beyond what is necessary to process a limited number of additional appeals for a local diploma from qualifying late entry ELLs who score a 55-61 on the Regents examination in English after two tries who meet other conditions for appeal. Appeals by ELLs under the proposed amendment would be subject to the existing requirement in section 100.5(d)(7) that each school keep a record of all appeals received and granted and report this information to Department on a form prescribed by the Commissioner. All school records relating to appeals of scores shall be made available for inspection by the Department.

3. PROFESSIONAL SERVICES:

The proposed amendment does not impose any additional professional service requirements on local governments.

4. COMPLIANCE COSTS:

The proposed amendment will not impose any significant costs on school districts or BOCES. An appeals process and criteria are already in place in section 100.5(d)(7) for students who score 65+ on three Regents exams and score 62-64 on two Regents exams, and the proposed amendment merely extends the ability to graduate with a Local Diploma via the appeal process to English Language Learners (ELLs) who meet all other conditions for appeal and are otherwise eligible to graduate in January 2015 and thereafter (i.e. the proposed amendment would include additional students who entered grade 9 prior to the 2010-11 school year but who are not currently covered under the existing regulation); and to clarify that this appeal process applies to ELLs who meet one or more graduation assessment requirements via an available alternative pathway and meet all other conditions for appeal.

Newly qualifying students would merely go through this existing appeals process, and the same personnel who review appeals under the current system would review the additional appeals. Any costs associated with the processing of these additional appeals are expected to be minimal and capable of being absorbed by using existing district staff and resources. In the long term, the proposed amendment is expected to be a cost saving measure in that it will boost the graduation rate, allowing more ELLs to access higher education or enter the workforce with a high school diploma. Both of these outcomes will in turn stimulate workforce productivity and economic performance in local communities.

5. ECONOMIC AND TECHNOLOGICAL FEASIBILTY:

The proposed amendment does not impose any additional technological requirements on school districts. Economic feasibility is addressed above under compliance costs.

6. MINIMIZE ADVERSE IMPACT:

The proposed amendment implements policy adopted by the Board of Regents relating to ELL equal access to education, in order to ensure compliance with Education Law sections 3204 and 4403, and Title I and III of the Elementary and Secondary Education Act, Title IV of the Civil Rights Act of 1964, Equal Educational Opportunities Act of 1974 (EEOA).

The proposed amendment does not impose any additional compliance requirements or significant costs upon school districts. An appeals process and criteria are already in place in section 100.5(d)(7) for students who score 65+ on three Regents exams and score 62-64 on two Regents exams, and the proposed amendment merely extends the ability to graduate with a Local Diploma via the appeal process to English Language Learners (ELLs) who meet all other conditions for appeal and are otherwise eligible to graduate in January 2015 and thereafter (i.e. the proposed amendment would include additional students who entered grade 9 prior to the 2010-11 school year but who are not currently covered under the existing regulation); and to clarify that this appeal process applies to ELLs who meet one or more graduation assessment requirements via an available alternative pathway and meet all other conditions for appeal.

Newly qualifying students would merely go through this existing appeals process, and the same personnel who review appeals under the current system would review the additional appeals. Any costs associated with the processing of these additional appeals are expected to be minimal and capable of being absorbed by using existing district staff and resources. In the long term, the proposed amendment is expected to be a cost saving measure in that it will boost the graduation rate, allowing more ELLs to access higher education or enter the workforce with a high school diploma. Both of these outcomes will in turn stimulate workforce productivity and economic performance in local communities.

Federal civil rights and education laws, as well as federal court jurisprudence, require that ELL students must be provided with equal access to all school programs and services offered to non-ELL students. Education Law section 3204 and Part 154 of the Regulations of the Commissioner (CR Part 154) contain standards for educational services provided to ELLs in New York State in order to meet these federal obligations.

Over the past 10 years, New York State ELL student enrollment has increased by 20%. Currently in New York State, over 230,000 ELLs make up 8.9%% of the total student population. While former ELLs generally achieve graduation rates equal to or above that of all non-ELLs, the graduation rate of current ELLs lagged well below that of non-ELLs. In June 2013, only 31.4% of ELLs graduated, compared to 74.9% of all students. Many of these ELLs were students who entered school in the United States for the first time on or after grade nine.

Extensive discussion with stakeholders suggests that late arriving ELLs who are able to pass other required Regents examinations with a score of 65 and who obtain a score of at least 55 on the Regents examination in English can benefit from the opportunity to obtain postsecondary education or enter a career in the same manner as other students who may earn a diploma through the appeal process. Therefore, at their January 2015 meeting, the Board of Regents amended Commissioner's Regulation section 100.5(d)(7) to adopt this pathway for ELLs to graduate with a Local Diploma pursuant to an appeal process if they score between 55-61 on the Regents Exam in English and meet all other conditions for appeal of a Regents score (State Register, January 28, 2015, EDU-44-14-00026-A).

At its January 2015 meeting, the Board of Regents also adopted amendments to Commissioner's Regulations sections 100.2 and 100.5 to establish a 4+1 pathway to graduation for all students (State Register, January 28, 2015, EDU-44-14-00025-P). The 4+1 pathway option applies beginning with students who first enter grade nine in September 2011 and thereafter or who are otherwise eligible to receive a high school diploma in June 2015 and thereafter. The amendment creates graduation pathways assessments in the Humanities, STEM, Biliteracy (languages other than English [LOTE]), CTE and the Arts.

Public comment in response to the January 2015 amendments to section 100.5(d)(7) recommended making this option for graduation also available to ELLs who are in their 6th year of high school. These ELLs are currently excluded from this option because they entered high school prior to the 2010-11 school year. Public comment also highlighted the need to clarify that the appeal option under section 100.5(d)(7) is available to ELLs who satisfy graduation assessment requirements through the 4+1 pathway option in sections 100.2 and 100.5 or via another available alternative pathway. After considering these policy concerns, the Department agrees that 6th year ELLs, as well as ELLs who satisfy graduation requirements via the 4+1 pathway options or via another available alternative pathway, would benefit from the ability to utilize this graduation option. The proposed amendment will expand access to the Local Diploma to this precise group of ELLs who are in a position to benefit from the opportunity to obtain postsecondary education or enter a career with a high school diploma. Because ELLs by definition are not yet fluent in English, this alternate pathway to graduation facilitates equal access to the Local Diploma. The proposed amendment minimizes the adverse impact of denying ELLs who satisfy all other conditions for appeal the ability to attain a high school diploma on account of their lack of fluency in English.

7. LOCAL GOVERNMENT PARTICIPATION:

Copies of the proposed amendment have been provided to District Superintendents with the request that they distribute them to school districts within their supervisory districts for review and comment. Copies were also provided for review and comment to the chief school officers of the five big city school districts.

8. INITIAL REVIEW OF RULE (SAPA § 207):

Pursuant to State Administrative Procedure Act section 207(1)(b), the State Education Department proposes that the initial review of this rule shall occur in the fifth calendar year after the year in which the rule is adopted, instead of in the third calendar year. The justification for a five year review period is that the proposed amendment is necessary to implement long-range Regents policy relating to improving graduation outcomes for students who are English Language Learners. Accordingly, there is no need for a shorter review period.

The Department invites public comment on the proposed five year review period for this rule. Comments should be sent to the agency contact listed in item 10. of the Notice of Proposed Rule Making published herewith, and must be received within 45 days of the State Register publication date of the Notice.

Rural Area Flexibility Analysis

1. TYPES AND ESTIMATED NUMBER OF RURAL AREAS:

The proposed amendment applies to all school districts and boards of cooperative educational services (BOCES) in the State, including those located in the 44 rural counties with less than 200,000 inhabitants and the 71 towns in urban counties with a population density of 150 per square mile or less.

2. REPORTING, RECORDKEEPING AND OTHER COMPLIANCE REQUIREMENTS; AND PROFESSIONAL SERVICES:

The proposed amendment does not impose any additional reporting, recordkeeping or other compliance requirements on school districts and BOCES located in rural areas. An appeals process and criteria are already in place for students who score 65+ on three Regents exams and score 62-64 on two Regents exams, and the proposed amendment merely extends the ability to graduate with a Local Diploma via the appeal process to English Language Learners (ELLs) who meet all other conditions for appeal and are otherwise eligible to graduate in January 2015 and thereafter (i.e. the proposed amendment would include additional students who entered grade 9 prior to the 2010-11 school year but who are not currently covered under the existing regulation); and to clarify that this appeal process applies to ELLs who meet one or more graduation assessment requirements via an available alternative pathway and meet all other conditions for appeal. Appeals by ELLs under the proposed amendment would be reviewed by the same committee that reviews all other appeals of Regents examination scores. ELL students would remain eligible for the current appeals process as well.

The proposed amendment will not require any additional paperwork beyond what is necessary to process a limited number of additional appeals for a local diploma from qualifying late entry ELLs who score a 55-61 on the Regents examination in English after two tries who meet other conditions for appeal. Appeals by ELLs under the proposed amendment would be subject to the existing requirement in section 100.5(d)(7) that each school keep a record of all appeals received and granted and report this information to Department on a form prescribed by the Commissioner. All school records relating to appeals of scores shall be made available for inspection by the Department.

3. COMPLIANCE COSTS:

The proposed amendment will not impose any significant costs on school districts or BOCES located in rural areas. An appeals process and criteria are already in place in section 100.5(d)(7) for students who score 65+ on three Regents exams and score 62-64 on two Regents exams, and the proposed amendment merely extends the ability to graduate with a Local Diploma via the appeal process to English Language Learners (ELLs) who meet all other conditions for appeal and are otherwise eligible to graduate in January 2015 and thereafter (i.e. the proposed amendment would include additional students who entered grade 9 prior to the 2010-11 school year but who are not currently covered under the existing regulation); and to clarify that this appeal process applies to ELLs who meet one or more graduation assessment requirements via an available alternative pathway and meet all other conditions for appeal.

Newly qualifying students would merely go through this existing appeals process, and the same personnel who review appeals under the current system would review the additional appeals. Any costs associated with the processing of these additional appeals are expected to be minimal and capable of being absorbed by using existing district staff and resources. In the long term, the proposed amendment is expected to be a cost saving measure in that it will boost the graduation rate, allowing more ELLs to access higher education or enter the workforce with a high school diploma. Both of these outcomes will in turn stimulate workforce productivity and economic performance in local communities.

4. MINIMIZING ADVERSE IMPACT:

The proposed amendment implements policy adopted by the Board of Regents relating to ELL equal access to education, in order to ensure compliance with Education Law sections 3204 and 4403, and Title I and III of the Elementary and Secondary Education Act, Title IV of the Civil Rights Act of 1964, Equal Educational Opportunities Act of 1974 (EEOA).

The proposed amendment does not impose any additional compliance requirements or significant costs upon school districts or BOCES located in rural areas. An appeals process and criteria are already in place in section 100.5(d)(7) for students who score 65+ on three Regents exams and score 62-64 on two Regents exams, and the proposed amendment merely extends the ability to graduate with a Local Diploma via the appeal process to English Language Learners (ELLs) who meet all other conditions for appeal and are otherwise eligible to graduate in January 2015 and thereafter (i.e. the proposed amendment would include additional students who entered grade 9 prior to the 2010-11 school year but who are not currently covered under the existing regulation); and to clarify that this appeal process applies to ELLs who meet one or more graduation assessment requirements via an available alternative pathway and meet all other conditions for appeal.

Newly qualifying students would merely go through this existing appeals process, and the same personnel who review appeals under the current system would review the additional appeals. Any costs associated with the processing of these additional appeals are expected to be minimal and capable of being absorbed by using existing district staff and resources. In the long term, the proposed amendment is expected to be a cost saving measure in that it will boost the graduation rate, allowing more ELLs to access higher education or enter the workforce with a high school diploma. Both of these outcomes will in turn stimulate workforce productivity and economic performance in local communities.

Federal civil rights and education laws, as well as federal court jurisprudence, require that ELL students must be provided with equal access to all school programs and services offered to non-ELL students. Education Law section 3204 and Part 154 of the Regulations of the Commissioner (CR Part 154) contain standards for educational services provided to ELLs in New York State in order to meet these federal obligations.

Over the past 10 years, New York State ELL student enrollment has increased by 20%. Currently in New York State, over 230,000 ELLs make up 8.9%% of the total student population. While former ELLs generally achieve graduation rates equal to or above that of all non-ELLs, the graduation rate of current ELLs lagged well below that of non-ELLs. In June 2013, only 31.4% of ELLs graduated, compared to 74.9% of all students. Many of these ELLs were students who entered school in the United States for the first time on or after grade nine.

Extensive discussion with stakeholders suggests that late arriving ELLs who are able to pass other required Regents examinations with a score of 65 and who obtain a score of at least 55 on the Regents examination in English can benefit from the opportunity to obtain postsecondary education or enter a career in the same manner as other students who may earn a diploma through the appeal process. Therefore, at their January 2015 meeting, the Board of Regents amended Commissioner's Regulation section

100.5(d)(7) to adopt this pathway for ELLs to graduate with a Local Diploma pursuant to an appeal process if they score between 55-61 on the Regents Exam in English and meet all other conditions for appeal of a Regents score (State Register, January 28, 2015, EDU-44-14-00026-A).

At its January 2015 meeting, the Board of Regents also adopted amendments to Commissioner's Regulations sections 100.2 and 100.5 to establish a 4+1 pathway to graduation for all students (State Register, January 28, 2015, EDU-44-14-00025-P). The 4+1 pathway option applies beginning with students who first enter grade nine in September 2011 and thereafter or who are otherwise eligible to receive a high school diploma in June 2015 and thereafter. The amendment creates graduation pathways assessments in the Humanities, STEM, Biliteracy (languages other than English [LOTE]), CTE and the Arts.

Public comment in response to the January 2015 amendments to section 100.5(d)(7) recommended making this option for graduation also available to ELLs who are in their 6th year of high school. These ELLs are currently excluded from this option because they entered high school prior to the 2010-11 school year. Public comment also highlighted the need to clarify that the appeal option under section 100.5(d)(7) is available to ELLs who satisfy graduation assessment requirements through the 4+1 pathway option in sections 100.2 and 100.5 and via other approved alternative assessments. After considering these policy concerns, the Department agrees that 6th year ELLs, as well as ELLs who satisfy graduation requirements via the 4+1 pathway options and via other approved alternative assessments, would benefit from the ability to utilize this graduation option.

The proposed amendment relates to State learning standards, State assessments and graduation and diploma requirements, and is necessary to implement policy adopted by the Regents relating to criteria for bilingual education and English as a New Language programs for students who are English Language Learners, including determining graduation requirements, in order to ensure compliance with Education Law sections 3204 and 4403, and Title I and III of the Elementary and Secondary Education Act (ESEA), Title IV of the Civil Rights Act of 1964, Equal Educational Opportunities Act of 1974 (EEOA). Because this policy is applicable throughout the State, it was not possible to provide for a lesser standard or an exemption for school districts and BOCES in rural areas.

5. RURAL AREA PARTICIPATION:

Comments on the proposed rule were solicited from the Department's Rural Advisory Committee, whose membership includes school districts located in rural areas.

6. INITIAL REVIEW OF RULE (SAPA § 207):

Pursuant to State Administrative Procedure Act section 207(1)(b), the State Education Department proposes that the initial review of this rule shall occur in the fifth calendar year after the year in which the rule is adopted, instead of in the third calendar year. The justification for a five year review period is that the proposed amendment is necessary to implement long-range Regents policy relating to improving graduation outcomes for students who are English Language Learners. Accordingly, there is no need for a shorter review period.

The Department invites public comment on the proposed five year review period for this rule. Comments should be sent to the agency contact listed in item 10. of the Notice of Proposed Rule Making published herewith, and must be received within 45 days of the State Register publication date of the Notice.

Job Impact Statement

The proposed amendment relates to an additional graduation pathway for qualifying students who are English Language Learners (ELLs), to allow such students to graduate with a Local Diploma via an appeals process.

The proposed amendment relates to State learning standards, State assessments and graduation and diploma requirements, and is necessary to implement policy adopted by the Regents relating to criteria for bilingual education and English as a New Language programs for students who are English Language Learners, including determining graduation requirements, in order to ensure compliance with Education Law sections 3204 and 4403, and Title I and III of the Elementary and Secondary Education Act (ESEA), Title IV of the Civil Rights Act of 1964, Equal Educational Opportunities Act of 1974 (EEOA). The proposed amendment will not have a substantial adverse impact on jobs or employment opportunities. Because it is evident from the nature of the proposed amendment that it will have no impact, or a positive impact, on jobs or employment opportunities, no further steps were needed to ascertain that fact and none were taken. Accordingly, a job impact statement is not required and one has not been prepared.

EMERGENCY RULE MAKING

Teacher Certification

I.D. No. EDU-08-15-00007-E
Filing No. 361
Filing Date: 2015-05-11
Effective Date: 2015-05-11

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following action:

Action taken: Amendment of section 80-1.6(c) of Title 8 NYCRR.

Statutory authority: Education Law, sections 207(not subdivided), 305(1), (2), 3001(2), 3004(1) and 3006(1)

Finding of necessity for emergency rule: Preservation of general welfare.

Specific reasons underlying the finding of necessity: The proposed amendment provides for a time extension of up to one year for an expired initial certificate, transitional certificate and/or conditional initial certificate to provide time for a candidate's results on the content specialty test to be released without penalizing the teacher candidate.

The proposed amendment was adopted as an emergency action at the February 9-10, 2015 Regents meeting, effective February 10, 2015. A Notice of Emergency Adoption and Proposed Rule Making was published in the State Register on February 25, 2015. Because the Board of Regents meets at scheduled intervals, the earliest the proposed amendment could be presented for regular (non-emergency) adoption, after publication in the State Register and expiration of the 45-day public comment period provided for in State Administrative Procedure Act (SAPA) section 202(1) and (5), is the May 18-19, 2015 Regents meeting. Furthermore, pursuant to SAPA section 203(1), the earliest effective date of the proposed amendment, if adopted at the May meeting, would be June 3, 2015, the date a Notice of Adoption would be published in the State Register. However, the February emergency rule will expire on May 10, 2015, 90 days from its filing with the Department of State on February 10, 2014. A lapse in the rule's effective date could cause teachers to lose their teaching certificates and possibly their jobs. Emergency action is therefore necessary for the preservation of the general welfare to ensure that the proposed rule adopted by emergency action at the February 2015 Regents meeting remains continuously in effect until the rule can be adopted and take effect as a permanent rule.

It is anticipated that the proposed amendment will be presented for adoption as a permanent rule at the May 18-19, 2015 Regents meeting, which is the first meeting scheduled after expiration of the 45-day period for public comment pursuant to the State Administrative Procedure Act.

Subject: Teacher certification.

Purpose: To provide for a time extension of up to one-year for an expired initial certificate, transitional certificate and/or a conditional initial certificate to provide time for the revised Content Specialty Test (CST) results to be released by the Department without penalizing the certificate holder.

Text of emergency rule: Subdivision (c) of section 80-1.6 of the Regulations of the Commissioner of Education is amended, effective May 11, 2015, to read as follows:

(c) [The] *Except as otherwise provided in this subdivision,* the commissioner may extend the time validity of an expired provisional, excluding an expired provisional certificate in the classroom teaching service or an expired provisional certificate in the title of school administrator and supervisor, initial or transitional certificate beyond the two-year extension provided for in subdivision (a) of this section, for a period not to exceed one additional year, if in the six-months preceding the end of the two-year extension, the candidate is faced with extreme hardship or other circumstances beyond the control of the individual and is unable to complete the requirements for the professional certificate in a timely manner. *The commissioner may further extend the time validity of an expired initial or transitional certificate for an additional period of not to exceed one additional year; and may extend the validity of a conditional initial certificate for a period of up to one year if a candidate took one of the revised content specialty examinations administered on or after September 2014, and is required for his/her certificate title and he/she did not receive his/her score on such examination from the department on such examination within a timeframe prescribed by the commissioner and he/she has met all the other certification requirements for the next certificate (i.e., the initial or professional certificate, as applicable).*

This notice is intended to serve only as a notice of emergency adoption. This agency intends to adopt the provisions of this emergency rule as a permanent rule, having previously submitted to the Department of State a

notice of proposed rule making, I.D. No. EDU-08-15-00007-EP, Issue of February 25, 2015. The emergency rule will expire July 9, 2015.

Text of rule and any required statements and analyses may be obtained from: Kirti Goswami, State Education Department, Office of Counsel, State Education Building, Room 148, 89 Washington Ave., Albany, NY 12234, (518) 474-6400, email: legal@nysed.gov

Regulatory Impact Statement

1. STATUTORY AUTHORITY:

Section 207 of the Education Law grants general rule-making authority to the Board of Regents to carry into effect the laws and policies of the State relating to education.

Subdivision (1) of section 305 of the Education Law empowers the Commissioner of Education to be the chief executive officer of the state system of education and of the Board of Regents and authorizes the Commissioner to enforce laws relating to the educational system and to execute educational policies determined by the Regents. Subdivision (2) of section 305 of the Education Law authorizes the Commissioner of Education to have general supervision over all schools subject to the Education Law.

Subdivision (2) of section 3001 of the Education Law establishes certification by the State Education Department as a qualification to teach in the public schools of New York State.

Subdivision (1) of section 3004 of the Education Law authorizes the Commissioner of Education to prescribe, subject to the approval of the Regents, regulations governing the examination and certification of teachers employed in all public schools in the State.

Paragraph (b) of subdivision (1) of section 3006 of the Education Law provides that the Commissioner of Education may issue such teacher certificates as the Regents Rules prescribe.

2. LEGISLATIVE OBJECTIVES:

Consistent with the above statutory authority, the proposed amendment provides for a time extension of up to one-year for an expired initial certificate, transitional certificate and/or a conditional initial certificate to provide time for the Content Specialty Tests (CSTs) results to be released by the Department without penalizing the certificate holders.

3. NEEDS AND BENEFITS:

At the November and December 2009 Board of Regents meetings, the Board approved a number of initiatives for the purpose of transforming teaching and learning and school leadership in New York State. The Board of Regents discussion included the development of new examinations, including revision of the current Content Specialty Tests (CSTs).

The CSTs are currently being revised. The first group of revised CSTs became operational in September 2014. However, the results/scores on the revised CSTs will not be released to teacher candidates until the standard setting process is complete.

Since the CSTs results have not being released to candidates, there are certificate holders that may lose their certification as of January 31, 2015 if their certificates are not extended by the Department. This could result in some teachers being terminated from employment as they will no longer hold a valid certificate.

The proposed amendment is necessary to ensure that teachers who have taken one of the revised CST administered on or after September 2014 that is required for their certificate title but have not received a score from the Department on their revised CST, receive a time extension of up to one year on their expired certificate to ensure that they do not lose their certification and/or employment.

4. COSTS:

(a) Cost to State government: none.

(b) Cost to local government: none.

(c) Cost to private regulated parties: none.

(d) Costs to the State Education Department, as regulatory agency: none.

The proposed amendment does not impose any costs, but merely provides for a time extension of up to one-year for an expired initial certificate, transitional certificate and/or a conditional initial certificate to provide time for the CSTs results to be released by the Department without penalizing the certificate holders.

5. LOCAL GOVERNMENT MANDATES:

The proposed amendment does not impose any mandatory program, service, duty, or responsibility upon local government, including school districts or BOCES, but merely provides for a time extension of up to one-year for an expired initial certificate, transitional certificate and/or a conditional initial certificate to provide time for the CSTs results to be released by the Department without penalizing the certificate holders.

6. PAPERWORK:

The proposed amendment will not increase reporting or recordkeeping requirements, but merely provides for a time extension of up to one-year for an expired initial certificate, transitional certificate and/or a conditional initial certificate to provide time for the CSTs results to be released by the Department without penalizing the certificate holders.

7. DUPLICATION:

The amendment does not duplicate other existing State or Federal requirements.

8. ALTERNATIVES:

There are no significant alternatives and none were considered.

9. FEDERAL STANDARDS:

There are no Federal standards that deal with the subject matter of this amendment.

10. COMPLIANCE SCHEDULE:

Regulated parties must comply with the proposed amendment on its effective date. Because of the nature of the proposed amendment, no additional period of time is necessary to enable regulated parties to comply. The proposed amendment does not impose any costs or compliance requirements, but merely provides for a time extension of up to one-year for an expired initial certificate, transitional certificate and/or a conditional initial certificate to provide time for the CSTs results to be released by the Department without penalizing the certificate holders.

Regulatory Flexibility Analysis

The proposed amendment relates to teacher certification, and provides for a time extension of up to one-year for an expired initial certificate, transitional certificate and/or a conditional initial certificate in order to provide time for the revised Content Specialty Tests (CSTs) results to be released by the Department without penalizing the certificate holder.

The CST's are currently being revised. The first group of revised CST's became operational in September 2014. However, the results/scores on the revised CST's will not be released to teacher candidates until the standard setting process is complete.

Since the CST results have not being released to candidates, there are certificate holders that could lose their certification as of January 31, 2015 if their certificates are not extended by the Department. This will result in some teachers being terminated from employment as they will no longer hold a valid certificate.

In an effort to resolve this issue, the proposed amendment provides for a time extension of up to one-year for an expired initial certificate, transitional certificate and/or a conditional initial certificate to provide time for the exam results to be released by the Department without penalizing the certificate holder.

The proposed amendment does not impose any reporting, recordkeeping or other compliance requirements, and will not have an adverse economic impact, on small businesses or local governments. Because it is evident from the nature of the amendment that it does not affect small businesses or local governments, no further steps were needed to ascertain that fact and one were taken. Accordingly, a regulatory flexibility analysis for small businesses and local governments is not required and one has not been prepared.

Rural Area Flexibility Analysis

1. TYPES AND ESTIMATED NUMBER OF RURAL AREAS:

The proposed amendment relates to teacher certification and applies to holders of an initial certificate, transitional certificate and/or a conditional initial certificate, including those located in the 44 rural counties with fewer than 200,000 inhabitants and the 71 towns and urban counties with a population density of 150 square miles or less.

2. REPORTING, RECORDKEEPING, AND OTHER COMPLIANCE REQUIREMENTS; AND PROFESSIONAL SERVICES:

The proposed amendment does not impose any compliance requirements, but merely provides for a time extension of up to one-year for an expired initial certificate, transitional certificate and/or a conditional initial certificate to provide time for the Content Specialty Tests (CSTs) results to be released by the Department without penalizing the certificate holders.

The CST's are currently being revised. The first group of revised CST's became operational in September 2014. However, the results/scores on the revised CST's will not be released to teacher candidates until the standard setting process is complete.

Since the CST results have not being released to candidates, there are certificate holders that could lose their certification as of January 31, 2015 if their certificates are not extended by the Department. This will result in some teachers being terminated from employment as they will no longer hold a valid certificate.

The proposed amendment is necessary to ensure that teachers who have taken one of the revised CST administered on or after September 2014 that is required for their certificate title but have not received a score from the Department on their revised CST, receive a time extension of up to one year on their expired certificate to ensure that they do not lose their certification and/or employment.

The proposed amendment does not require any professional services to comply.

3. COSTS:

The proposed amendment does not impose any costs on entities in rural areas, but merely provides for a time extension of up to one-year for an

expired initial certificate, transitional certificate and/or a conditional initial certificate to provide time for the CSTs results to be released by the Department without penalizing the certificate holders.

4. MINIMIZING ADVERSE IMPACT:

The proposed amendment does not impose any additional compliance requirements or costs on entities in rural areas, but merely provides for a time extension of up to one-year for an expired initial certificate, transitional certificate and/or a conditional initial certificate to provide time for the CSTs results to be released by the Department without penalizing the certificate holders. The proposed amendment is necessary to ensure that teachers who have taken one of the revised CST administered on or after September 2014 that is required for their certificate title but have not received a score from the Department on their revised CST, receive a time extension of up to one year on their expired certificate to ensure that they do not lose their certification and/or employment. The State Education Department does not believe any changes for certificate holders who live or work in rural areas is warranted because uniform standards for certification are necessary across the State.

5. RURAL AREA PARTICIPATION:

The State Education Department has sent the proposed amendment to the Rural Advisory Committee, which has members who live or work in rural areas across the State.

Job Impact Statement

The proposed amendment relates to teacher certification, and provides for a time extension of up to one-year for an expired initial certificate, transitional certificate and/or a conditional initial certificate in order to provide time for the revised Content Specialty Tests (CSTs) results to be released by the Department without penalizing the certificate holder.

The CST's are currently being revised. The first group of revised CST's became operational in September 2014. However, the results/scores on the revised CST's will not be released to teacher candidates until the standard setting process is complete.

Since the CST results have not being released to candidates, there are certificate holders that could lose their certification as of January 31, 2015 if their certificates are not extended by the Department. This will result in some teachers being terminated from employment as they will no longer hold a valid certificate.

In an effort to resolve this issue, the proposed amendment provides for a time extension of up to one-year for an expired initial certificate, transitional certificate and/or a conditional initial certificate to provide time for the exam results to be released by the Department without penalizing the certificate holder.

Because it is evident from the nature of the proposed amendment that it will have no impact on the number of jobs or employment opportunities in New York State, no further steps were needed to ascertain that fact and none were taken. Accordingly, a job impact statement is not required and one has not been prepared.

Department of Environmental Conservation

PROPOSED RULE MAKING
NO HEARING(S) SCHEDULED

Emerald Ash Borer Quarantine

I.D. No. ENV-21-15-00010-P

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following proposed rule:

Proposed Action: Addition of section 192.7 to Title 6 NYCRR.

Statutory authority: Environmental Conservation Law, sections 1-0101(3)(b), (d), 3-0301(1)(b), (d), (2)(m), 9-0105(1), (3) and 9-1303

Subject: Emerald Ash Borer Quarantine.

Purpose: To restrict EAB to 14 restricted zones where infestations exist.

Substance of proposed rule (Full text is posted at the following State website:www.dec.ny.gov): Section 192.7(a) sets forth the purpose, scope and applicability of this section.

Section 192.7(b) sets forth the definitions used in this section.

Section 192.7(c) sets forth the process for establishing the current quarantine map. The map, promulgated in regulation by the New York State Department of Agriculture and Markets (NYSDAM), in Part 141 of Title 1 of NYCRR, identifies the 14 restricted zones which are infested with the Emerald Ash Borer (EAB). This section also provides that any amendment to the map shall be made by regulation.

Section 192.7(d) governs the movement of regulated articles within restricted zones. It generally provides that any ash material or wood material that is commingled and otherwise indistinguishable from ash material may be moved within a restricted zone for processing, treatment, use or disposal. This includes EAB-infested material.

Section 192.7(e) governs restrictions on movement of regulated articles originating within or traveling through restricted zones. This section generally prohibits the movement of ash nursery stock year round and chips between April 15th and May 15th. This section permits the movement of regulated articles accompanied by a valid certificate of inspection, limited permit, both from NYSDAM, administrative instructions by the Commissioner of NYSDAM, or those being moved for experimental or scientific purposes. Section 192.7(e) also provides that regulated articles from outside a restricted zone may be moved through the restricted zone to a point outside that zone, as long as the origin and destination of the articles are on a waybill and the articles are moved without stopping except for traffic conditions or refueling.

Section 192.7(f) governs compliance agreements. This section generally provides that persons engaged in growing, handling or moving regulated articles intrastate may apply to NYSDAM for a compliance agreement, subject to NYSDAM approval. Any person who enters into a compliance agreement must agree to comply with this section and NYSDAM regulation, Part 141 of Title 1 NYCRR and any condition in the agreement. Any compliance agreement may be cancelled either orally or in writing, whenever an inspector determines that the person holding the compliance agreement has not complied with this section or NYSDAM regulation, Part 141 of Title 1 NYCRR or the conditions in the agreement.

Section 192.7(g) governs certificates of inspection and limited permits. An inspector may issue a certificate of inspection for the movement of regulated articles outside restricted zones, provided that the article is apparently free of EAB; has been grown, produced, manufactured, treated, stored or handled in a manner that prevents the regulated article from presenting a risk of spreading EAB; and is eligible for unrestricted movement under all other state plant quarantines and regulations. If the regulated articles are not eligible for a certificate of inspection, an inspector may issue a limited permit for movement of the articles, provided the articles are being moved to a specified destination for specific processing, handling or utilization, and the movement will not result in the spread of EAB since EAB will be destroyed by the specific processing, handling or utilization. Any certificate of inspection or limited permit may be cancelled either orally or in writing, whenever an inspector determines that the person holding the certificate or permit has not complied with this section or NYSDAM regulation, Part 141 of Title 1 NYCRR.

Section 192.7(h) provides that regulated articles may be moved intrastate for experimental or scientific purposes on conditions and safeguards as may be prescribed in writing by NYSDAM. The container carrying the articles shall bear an identifying tag issued by NYSDAM showing compliance with such conditions.

Section 192.7(i) governs marking requirements. It generally provides that every container of regulated articles intended for intrastate movement shall be marked with the names and addresses of the consignor and consignee. A valid certificate of inspection or limited permit shall also be attached to the container.

Section 192.7(j) governs the assembly of regulated articles for inspection. This section generally requires that persons intending to move regulated articles intrastate shall apply for certification as far in advance as possible and assemble regulated articles at such points and in such manner as the inspector shall designate. This section also provides that the Department and/or NYSDAM will not be responsible for any cost incident to the inspection other than for the services of the inspector.

Section 192.7(k) governs the inspection and disposition of shipments. It generally provides that any vehicle, container and any item to be moved, which is moving or which has been moved intrastate from a restricted zone, and may contain regulated articles or infestations of EAB, may be examined by a DEC official or an inspector. It also provides that when regulated articles are found to be moving or have been moved in violation of these regulations, a DEC official or an inspector may take such action deemed necessary to eliminate the danger of the spread of EAB. Infested articles must be rendered free of infestation at no cost to the state.

Section 192.7(l) provides that no provision of section 192.7 relieves any person from the obligation of complying with any other applicable federal, state or local law or regulation.

Section 192.7(m) sets forth the effective date of the regulation. The rule becomes effective in a particular county on and after the tenth day from the filing of a certified copy in the office of the clerk of that county.

Text of proposed rule and any required statements and analyses may be obtained from: Bruce Williamson, Chief Bureau of Private Land Services, NYS Department of Environmental Conservation, 625 Broadway,

Albany, NY 12233-4253, (518) 402-9425, email: bruce.williamson@dec.ny.gov

Data, views or arguments may be submitted to: Same as above.

Public comment will be received until: 45 days after publication of this notice.

Additional matter required by statute: Short EAF/Determination of non-significance.

Regulatory Impact Statement

1. Statutory authority:

Environmental Conservation Law (ECL) section 1-0101 (3) (b) directs the Department of Environmental Conservation ("Department") to guarantee "that the widest range of beneficial uses of the environment is attained without risk to health or safety, unnecessary degradation or other undesirable or unintentional consequences." ECL section 1-0101 (3) (d) directs the Department to preserve the unique qualities of the Adirondack Forest Preserve. ECL section 3-0301 (1) (b) gives the Department the responsibility to "promote and coordinate management of…land resources to assure their protection… and take into account the cumulative impact upon all such resources in… promulgating any impact upon all such resources… in promulgating any rule or regulation." ECL section 3-0301 (1) (d) authorizes the Department to "exercise care, custody and control" of forest preserve lands; ECL section 9-0105(1) authorizes the Department to "exercise care, custody and control of the several preserves, parks and other state lands described" in ECL Article 9; ECL section 3-0301 (2) (m) authorizes the Department to adopt rules and regulations "as may be necessary, convenient or desirable to effectuate the purposes of the ECL"; and ECL 9-0105 (3) authorizes DEC to "make necessary rules and regulations to secure proper enforcement of ECL Article 9."

ECL section 9-1303 grants the following authority for the purpose of control and preventing the spread of forest insects and forest tree diseases: to enter into cooperative agreements; to accept and expend gifts of money for control and prevention work; to conduct necessary investigations to discover better methods of control or prevention of the spread of forest insects and forest tree diseases; to enter upon any lands for the purpose of determining if such property is infested with forest insects or forest tree diseases; to establish quarantine districts in the State; to prohibit the movement of materials which may be harboring forest insects or forest tree diseases in any of their different forms; to poison forest areas in or near sections infested by insect pests or forest tree diseases; to establish zones for preventing the spread of forest insect and disease pests and make modifications in the composition of the forest growth as deemed necessary, including spraying, cutting, destroying or treating vegetation; and to make rules and regulations to prevent the spread of or to control forest insects and forest tree diseases, their pupae, eggs and caterpillars, and plants or trees infested by them.

2. Legislative objectives:

These regulations are consistent with the public policy objectives the Legislature sought to advance when enacting the statutory authority, preventing the spread within the State of an injurious insect and protecting trees, forests and the environment from harm or degradation.

3. Needs and benefits:

At present, 44 counties and portions of four others are under an EAB quarantine. This regulation replaces a DEC Emerald Ash Borer, Agrilus planipennis (EAB) quarantine order and replaces it with a new section 192.7, in parallel with the New York State Department of Agriculture and Markets (NYSDAM) repealing its existing Part 141 of Title 1 NYCRR and replacing it with a new Part 141, as an emergency and Proposed rulemaking, which, among other things, replaces the quarantines in the counties with 14 restricted zones. These restricted zones have been shown to be infested with EAB through survey and trapping or lie substantially within a 5 mile protective buffer zone around known infestations.

EAB, is a destructive wood-boring insect that is not indigenous to the United States. EAB causes serious damage to healthy ash trees by boring through their bark, which ultimately results in the death of the tree within two years.

Ash trees, ash nursery stock, and material from ash trees like logs, green lumber, firewood, stumps, roots, branches and debris are subject to infestation. Materials at risk of attack and infestation by the EAB include the following species of North American ash trees: White Ash (Fraxinus americana); Green Ash (Fraxinus pennsylvanica); Black Ash (Fraxinus nigra); and Blue Ash (Fraxinus quadrangulata). The movement of these materials poses a serious threat to susceptible ash trees in forests as well as in the urban landscape throughout the state.

EAB was first discovered in Michigan in June 2002, and has since spread to at least 15 other states as well as to two provinces in Canada. The initial detection of this pest in New York occurred on June 16, 2009 in the Town of Randolph, which is located in southwestern Cattaraugus County and is adjacent to Chautauqua County. A quarantine of both counties was established pursuant to federal protocols for control of EAB.

Further detections were confirmed in six other counties (Monroe, Genesee, Livingston, Steuben, Greene and Ulster) during July and August, 2010. Due to the patchwork nature of these detections, limited detection capabilities and stakeholder input, the EAB quarantine was extended to the following 10 counties in western New York: Niagara, Erie, Orleans, Wyoming, Allegany, Wayne, Ontario, Yates, Schuyler and Chemung. A new quarantine region was also established in eastern New York comprised of Greene and Ulster Counties.

In 2011, there were multiple new detections within the Western New York quarantine area. New detections of EAB in Albany and Orange Counties demonstrated further spread of EAB within the Eastern New York quarantine area and prompted the extension of the quarantine to include those counties.

In 2013, the two quarantine zones were combined by adding the counties of Broome, Cayuga, Chenango, Columbia, Cortland, Delaware, Dutchess, Otsego, Putnam, Rensselaer, Schenectady, Schoharie, Seneca, Sullivan, Tioga and Tompkins as well as portions of Fulton, Herkimer, Madison, Montgomery, Oneida and Onondaga.

In 2014, based upon new findings of EAB, the quarantine was extended to include all of Onondaga and Madison Counties.

Based upon ongoing surveys, it has been determined that all of the counties currently under quarantine are not infested with EAB; instead, there are 14 areas in the state which are infested with the pest. Under the rule, these have been designated restricted zones.

The 14 restricted zones established under this rule are located in and around the following municipalities or areas: Albany-Rensselaer; Bath; Binghamton; Buffalo; Livingstonville; Mid-Hudson; Montezuma; Nichols; Randolph; Rochester; Sheridan; Syracuse; Unadilla; and West Point. Each restricted zone consists of a core area, which is the location of an EAB infestation, and a buffer area, which surrounds the core area and extends a distance of five miles. If the core or buffer area encompasses 30-percent or more of a municipality, the entire municipality will be included within the restricted zone.

The rule allows for the movement of regulated articles within a restricted area and controls the intrastate movement of regulated articles to other locations in the state outside of the restricted zones. The rule does not regulate the interstate movement of regulated articles, since this movement is regulated by federal laws and regulations. Regulated articles that may be moved intrastate outside of a restricted area, require a valid certificate of inspection, limited permit or administrative instructions from the Commissioner of NYSDAM. The administrative instructions from the Commissioner of NYSDAM would be used in cases where the regulated articles would not be moved pursuant to Certificate of inspection or limited permit. An example would be the removal of storm debris. The administrative instructions would be expedient and would alleviate a regulatory burden by eliminating the need to apply for a certificate or permit.

Movement is allowed for experimental and scientific purposes on such conditions and under such safeguards as may be prescribed in writing by NYSDAM. This means that NYSDAM would provide conditions for movement to ensure that the pest does not escape in transit and that it will be properly contained and disposed of at the destination. Permits from the United States Department of Agriculture may also be required if the insect is moved interstate.

Persons engaged in growing, handling or moving regulated articles intrastate may apply for a compliance agreement for the restricted movement of regulated articles. The holder of a compliance agreement is authorized to issue certificates of inspection or limited permits for the one-time movement of regulated articles without a NYSDAM inspection prior to such movement.

The benefits offered by the regulations are the more effective control of the spread of EAB with the reduction of regulation of movement of ash wood from areas where there is no EAB infestation. The immediate adoption of this rule is necessary to mitigate negative economic and ecological impacts that have resulted from the current configuration of the quarantine.

4. Costs:

(a) Costs to regulated parties for the implementation of and continuing compliance with the rule: It is anticipated that costs will be minimal. There are 346 registered nursery growers and 514 registered plant dealers in the new restricted zones. However, only a fraction of these regulated parties carry regulated articles. There is no approved protocol eliminating EAB from ash nursery stock that does not kill the nursery stock; and EAB infestation has significantly reduced or eliminated the market for ash nursery stock as ornamental, street and park plantings. The new rule, by decreasing the geographical scope of the areas subject to quarantine, imposes less regulation and fewer costs on regulated parties.

Tree removal services would have the option of leaving regulated articles within the restricted zone, chipping the material, or transporting them outside of the restricted zone under a certificate of inspection or limited permit for specific processing, handling, or utilization.

(b) Costs to the agency, the state and local governments for the

implementation and continuation of the rule: The only costs to the Department are for outreach and enforcement, neither of which will change from the current situation under our existing EAB quarantine order. Some local governments may face expenses in tree maintenance or removal due to EAB infestation, since ash trees have become popular trees to use to line streets. However, those costs will occur with or without this rule, most likely sooner without. The regulation does not require local governments to remove the trees from the restricted zones. Accordingly, local governments within a restricted zone will not incur any additional expenses.

(c) The information, including the sources of such information and the methodology upon which the cost analysis is based: The costs analysis set forth above is based upon observations of the industry.

5. Local government mandates:

This new section 6 NYCRR 192.7 will not impose any programs, services, duties or responsibilities upon any county, city, town, village, school district or fire district, or other special district.

6. Paperwork:

Regulated articles inspected and certified to be free of EAB moving from a restricted zone established by the rule will have to be accompanied by a state or federal certificate of inspection or a limited permit.

7. Duplication:

The New York State Department of Agriculture and Markets (NYSDAM) has implemented a regulation parallel to this one, under their overlapping authorities.

8. Alternatives:

The alternative of no action was considered. However, this option is not feasible, given the fact that many of the counties presently under quarantine are not infested, and the modification of the quarantine could reduce regulatory burden and better target infested areas of the State. The Department considered but rejected the option of establishing an entire state quarantine because this approach could place the State's forest, urban and agricultural resources at risk from the spread of EAB that could result from the unrestricted movement of ash materials. In light of these factors, there does not appear to be any viable alternative to the establishment of restricted zones as set forth in this rule.

9. Federal standards:

The regulations do not exceed any minimum standards for the same or similar subject areas.

10. Compliance schedule:

This rule shall become effective in a particular county on and after the tenth day from the filing of a certified copy in the office of the clerk of that county.

Regulatory Flexibility Analysis

1. Effect of rule:

It is anticipated that the modification of the Department of Environmental Conservation's (DEC's) regulatory approach on those handling ash wood, regardless of their size, should have minimal adverse impact on regulated parties. The Emerald Ash Borer (EAB) infestation and current federal and state quarantines have already significantly changed business practices and patterns with respect to regulated articles. Conversely, some of the changes proposed, particularly regarding movement of regulated articles within restricted zones, will benefit many parties handling ash material.

Arborists, tree care companies, utilities, municipalities and homeowners would have the option of freely moving regulated articles within the restricted zones for use, treatment or disposal, chipping the material or transporting the articles outside the restricted zones during the non-flight season, to a facility for use, treatment or disposal under a New York State Department of Agriculture and Markets (NYSDAM) compliance agreement or limited permit.

The greatest economic impact of EAB would continue to be on municipalities within the restricted zones (infested areas), as they deal with the costs to manage the impacts of this pest through assessments of existing ash inventories, tree removals, protective chemical treatments and eventual replacement of lost community trees. However, the modifications of the EAB regulations would not alter that impact.

2. Compliance requirements:

All parties in the restricted zones would be required to obtain certificates of inspection and limited permits from NYSDAM (or enter into compliance agreements with NYSDAM) to ship regulated articles (e.g. treated firewood and ash logs or lumber) outside those areas.

As there is no approved protocol to diagnose or treat nursery stock (since approved methods of eliminating EAB would kill the plants), movement of ash nursery stock outside a restricted zone is prohibited.

It is not anticipated that local governments would be involved in the shipment of regulated articles from the restricted zones.

3. Professional services:

Those shipping regulated articles from restricted zones (without having a compliance agreement with NYSDAM) would require professional inspection services provided by NYSDAM, the Department of Environmental Conservation (DEC) or the United States Department of Agriculture Animal Plant Health Inspection Service (USDA APHIS).

It is not anticipated that local governments would be involved in the shipment of regulated articles from the restricted zones.

4. Compliance costs:

(a) Initial capital costs that will be incurred by a regulated business or industry or local government in order to comply with the rule:

None.

(b) Annual cost for continuing compliance with the rule:

Regulated parties exporting regulated articles, exclusive of nursery stock, from the restricted zones, other than pursuant to compliance agreements, would require an inspection of the materials, reviewing shipment records and issuing a federal or state certificate of inspection. These services are available from NYSDAM at a rate of $25 per hour. Most inspections will take one hour or less. However, most shipments would be made pursuant to compliance agreements, for which there is no charge.

Tree removal services would have the option to leave ash materials within the restricted zones or transport them outside of the zones under a limited permit to a federal/state disposal site for processing.

It is not anticipated that local governments would be involved in the shipment of regulated articles from the restricted zones.

5. Economic and technological feasibility:

The economic and technological feasibility of compliance with the rule by small businesses and local governments has been addressed and such compliance has been determined to be feasible. Regulated parties shipping regulated articles outside restricted zones would require an inspection and the issuance of a certificate of inspection. The majority of shipments would be made pursuant to compliance agreements, which would not require independent inspection.

6. Minimizing adverse impact:

DEC and NYSDAM have designed their parallel rules to minimize adverse economic impact on small businesses and local governments. By limiting the EAB quarantine to areas where infestations exist, the rules minimize economic impacts while maintaining, without compromising, efforts to slow the spread of EAB.

Approaches for minimizing adverse economic impact required by section 202-a(1) of the State Administrative Procedure Act (SAPA) and suggested by section 202-b(1) of SAPA were considered. DEC has sought to minimize adverse impact of the EAB quarantine by continuing the use of compliance agreements between NYSDAM and regulated parties, agreements which permit the shipment of regulated articles without state or federal inspection and for which there is no charge. Given all of the facts and circumstances, the regulations minimize adverse economic impact as much as is currently possible.

7. Small business and local government participation:

Movement of firewood continues to present a serious threat to spread EAB and other invasive insects. State and federal entities are continuing aggressive outreach efforts in promoting the message "don't move firewood."

DEC is and will continue to keep stakeholder groups informed concerning this rule. On March 6, 2015, a stakeholders meeting was held in NYSDAM's Albany Offices. Approximately 30 regulated parties attended and expressed support for the rule.

Rural Area Flexibility Analysis

1. Type and estimated numbers of rural areas:

It is anticipated that the modification of the Department's regulatory approach on those handling ash wood, regardless of their size, should have minimal adverse impact on regulated parties. The emerald ash borer (EAB) infestation and current federal and state quarantines have already significantly changed business practices and patterns with respect to regulated articles. Conversely, some of the changes proposed, particularly regarding movement of regulated articles within restricted zones, will benefit many parties handling ash material.

Arborists, tree care companies, utilities, municipalities and homeowners would have the option of leaving regulated articles within the restricted zones, chipping the material or transporting the articles outside the restricted zones to a disposal site for processing under a New York State Department of Agriculture and Markets (NYSDAM) compliance agreement or limited permit.

The greatest economic impact of EAB regulation would continue to be on municipalities within the restricted zones (infested areas), including those in rural areas, as they deal with the costs to manage the effect of this pest through assessments of existing ash inventories, tree removals, protective chemical treatments and eventual replacement of lost community trees. However, the modifications of the EAB regulations would not alter that impact.

2. Reporting, recordkeeping and other compliance requirements; and professional services:

All parties in the restricted zones, including those in rural areas, would be required to obtain certificates of inspection and limited permits from

NYSDAM (or enter into compliance agreements with NYSDAM) to ship regulated articles (e.g. treated firewood and ash logs and lumber) outside those areas.

As there is no approved protocol to diagnose or treat nursery stock (since approved methods of eliminating EAB would kill the plants), movement of ash nursery stock outside a restricted zone is prohibited.

It is not anticipated that local governments, including those in rural areas, would be involved in the shipment of regulated articles from the restricted zones.

Those in rural areas shipping regulated articles from restricted zones (without having a compliance agreement with NYSDAM) would require professional inspection services provided by NYSDAM, the Department of Environmental Conservation (DEC) or the United States Department of Agriculture Animal Plant Health Inspection Service (USDA APHIS).

It is not anticipated that local governments in rural areas would be involved in the shipment of regulated articles from the restricted zones.

3. Costs:

Regulated parties in rural areas exporting regulated articles, exclusive of nursery stock, from the restricted zones, other than pursuant to compliance agreements, would require an inspection of the materials, taking and analyzing soil samples, reviewing shipment records and issuing a federal or state certificate of inspection. These services are available from NYSDAM at a rate of $25 per hour. Most inspections will take one hour or less. However, most shipments would be made pursuant to compliance agreements, for which there is no charge.

Tree removal services in rural areas would have the option to leave ash materials within the restricted zones or transport them outside of the zones under a limited permit from NYSDAM to a disposal site for processing.

It is not anticipated that local governments in rural areas would be involved in the shipment of regulated articles from the restricted zones.

4. Minimizing adverse impact:

In conformance with State Administrative Procedure Act section 202-bb(2), the Department has designed the rule to minimize adverse economic impact on small businesses and local governments in rural areas. By limiting the EAB quarantine to areas where infestations exist, the rule minimizes economic impacts while maintaining, without compromising, efforts to slow the spread of EAB.

Approaches for minimizing adverse economic impact were considered. The Department and NYSDAM have sought to minimize adverse impact of the EAB quarantine by continuing the use of compliance agreements between NYSDAM and regulated parties in rural areas, compliance agreements which permit the shipment of regulated articles without state or federal inspection and for which there is no charge. Given all of the facts and circumstances, the regulations minimize adverse economic impact as much as is currently possible.

5. Rural area participation:

Movement of firewood continues to present a serious threat to spread EAB and other invasive insects. State and federal entities are continuing aggressive outreach efforts in promoting the message "don't move firewood."

The Department and NYSDAM are and will continue to keep stakeholder groups informed concerning this rule. On March 6, 2015, a stakeholders meeting was held in NYSDAM's Albany Offices. Approximately 30 regulated parties attended and expressed support for the rule.

Job Impact Statement

The forest-based economy generates payrolls of more than $2 billion. Forest related activities in New York State provide employment for approximately 70,000 people. Of that number, 55,000 jobs are associated with the wood-based forest economy, including manufacturing.

There are an estimated 750 million ash trees in New York State (excluding the Adirondack and Catskill Forest Preserves), with ash species making up approximately seven percent of all trees in the State's forests. The unchecked spread of the infestation would have substantial adverse economic consequences to the nursery, forestry and wood-working (e.g. lumber yard, flooring and furniture and cabinet making) industries of the State, due to the destruction of the regulated articles upon which these industries depend.

The modifications of the Department's EAB regulations will: (1) target areas of infestation with greater precision, (2) more effectively slow the spread of the infestation; and, (3) at the same time, ease the regulatory burden on entities in areas where there is no current EAB infestation. Accordingly, the new regulations will not have a substantial adverse impact on jobs or employment opportunities; and will better protect jobs and employment opportunities in the wood-based forest economy.

Department of Labor

PROPOSED RULE MAKING
NO HEARING(S) SCHEDULED

Methods of Payment of Wages

I.D. No. LAB-21-15-00009-P

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following proposed rule:

Proposed Action: Addition of Part 192 to Title 12 NYCRR.

Statutory authority: Labor Law, sections 21 and 199

Subject: Methods of Payment of Wages.

Purpose: This regulation provides clarification and specification as to the permissible methods of payment, including payroll debit cards.

Text of proposed rule: *Part 192 Methods of Payment of Wages*

Subpart-1 General Provisions

§ 192-1.1 Permissible Methods of Payment

Employees may be paid wages by employers using the following permissible methods:

(a) Cash;

(b) Check;

(c) Direct Deposit; or

(d) Payroll Debit Card.

§ 192-1.2 Definitions

For the purposes of this part:

(a) Payroll Debit Card shall mean a card that provides access to an account with a financial institution established directly or indirectly by the employer, and to which transfers of the employee's wages are made on an isolated or recurring basis.

(b) Consent shall mean an express, advance, written authorization given voluntarily by the employee and only given following receipt by the employee of written notice of all terms and conditions of the payment. Consent may be withdrawn at any time, provided however, that the employer shall be given a reasonable period of time to finalize such change.

(c) No Cost shall mean that an employee can access his or her wages, in full, without encumbrances, costs, charges, or fees.

(d) Local Access shall mean that the employee is provided with access to his or her wages, at a facility or machine which is located within a reasonable travel distance to the employee's work location or home.

(e) Employee shall be as it is defined in Section 190 of the Labor Law and shall not include any person employed in a bona fide executive, administrative, or professional capacity whose earnings are in excess of nine hundred dollars a week, or an employee working on a farm not connected with a factory.

(f) Direct Deposit shall mean the transfer of wages into an account, of the employee's choosing, of a financial institution.

(g) Reasonable Intervals shall mean not less frequently than annually.

(h) Negotiable instrument shall be as it is defined in Section 3-104 of the New York State Uniform Commercial Code.

Subpart-2 Methods of Payment

§ 192-2.1 Payment of Wages by Check

When paying wages by check, an employer shall ensure that:

(a) The check is a negotiable instrument;

(b) There is at least one means of no-cost local access to the full amount of wages through check cashing or deposit of check at a financial institution or other establishment reasonably accessible to the employee's place of employment; and

(c) The employer does not impose any fees in connection with the use of checks for the payment of wages, including a fee for replacement of a lost or stolen check.

§ 192-2.2 Payment of Wages by Direct Deposit

When paying wages by direct deposit, an employer shall ensure that:

(a) It has consent from the employee;

(b) A copy of the employee's consent must be maintained by the employer during the period of the employee's employment and for six years following the last payment of wages by direct deposit. A copy of the employee's written consent must be provided to the employee; and

(c) Such direct deposit is made to a financial institution selected by the employee.

§ 192-2.3 Payment of Wages by Payroll Debit Card

(a) When paying wages by payroll debit card, an employer shall ensure that:

(1) It has consent from the employee;

(2) It provides the following information at least seven business days prior to seeking consent to issue wages by payroll debit card. This information shall be provided by the employer in writing, in the employee's primary language or in a language that the employee understands and in at least 12 point font:

(i) a plain language description of all of the employee's options for receiving wages;

(ii) a statement that the employer may not require the employee to accept wages by payroll debit card or by direct deposit;

(iii) a statement that the employee may not be charged any fees for services that are necessary for the employee to access his or her wages in full; and

(iv) a list of locations where employees can access and withdraw wages at no charge to the employees within reasonable proximity to their place of residence and place of work.

(3) It obtains the employee's informed consent without intimidation, coercion, or fear of adverse action by the employer for refusal to accept the payroll debit card or payroll debit card account; and

(4) Does not make participation in the payroll debit card program a condition of hire or of continued employment.

(b) An employer shall not deliver payment of wages by payroll debit card unless each of the following is provided:

(1) At least one network of automated teller machines that offers withdrawals at no cost to the employee;

(2) At least one method to withdraw up to the total amount of wages for each pay period or balance remaining on the payroll debit card without the employee incurring a fee;

(3) Upon the employee's written or oral request, the following statements must be provided either electronically or on paper:

(i) a periodic statement not less frequently than monthly; or if there is a balance but no activity with respect to the payroll debit card, not less frequently than every three months;

(ii) a transaction history covering at least 12 months preceding the request, which shall include all transactions, including deposits, withdrawals, fees charged or other transactions by any entity from or to the employee's payroll debit card account; and

(iii) electronic balance notifications on a per day or per transaction basis.

(4) An annual electronic or paper notice of the right to obtain a transaction history, annual statement, or periodic statement on request.

(c) An employers or agent shall not charge, directly or indirectly, an employee a fee for any of the following:

(1) Application, initiation, loading, participation or other action necessary to receive wages or to hold the payroll debit card;

(2) Point of sale transactions, declined transactions, and other transactions;

(3) Overdraft, shortage, or low balance status;

(4) Account inactivity;

(5) Maintenance;

(6) Telephone or online customer service;

(7) Accessing balance or other account information online, by Interactive Voice Response through any other automated system offered in conjunction with the payroll debit card, or at any ATM in network made available to the employee;

(8) Providing the employee with written statements, transaction histories or the issuer's policies;

(9) Replacing the payroll debit card at reasonable intervals;

(10) Closing an account or issuing payment of the remaining balance by check or other means; or

(11) Any fee not explicitly identified by type and by dollar amount in the contract between the employer and the issuer or in the terms and conditions of the payroll debit card provided to the employee.

(d) An employer or its agent shall not deliver payment of wages by payroll debit card account that is linked to any form of credit, including a loan against future pay or a cash advance on future pay. Nothing in this subsection shall prohibit an issuer from covering an occasional inadvertent overdraft transaction if there is no charge to the employee.

(e) An employer shall not pass on any of its own costs associated with a payroll debit card account to an employee, nor may an employer receive any kickback or other financial remuneration from the issuer, card sponsor, or any third party for delivering wages by payroll debit card.

(f) An employer or its agent shall not deliver payment of wages by payroll debit card unless the agreement between the employer and issuer requires that the funds on a payroll debit card shall not expire. Notwithstanding this requirement, the agreement may provide that the account may be closed for inactivity provided that the issuer gives reasonable notice to the employee and that the remaining funds are refunded within seven days.

(g) An employer or its agent shall not deliver payment of wages by payroll debit card unless the agreement between the employer and issuer requires that, if the employee reports the payroll debit card as lost or stolen or reports fraudulent activity on the payroll debit card, the issuer must stop all card activity, conduct a reasonable investigation within 10 days, and re-credit or reimburse any fraudulent or unauthorized transactions within one business day of the conclusion of the investigation.

(h) At least thirty days before any change in the terms and conditions of a payroll debit card takes effect, an employer must provide written notice in plain language, in the employee's primary language or in a language the employee understands, and in at least 12-point font of any change to the terms or conditions of the payroll debit card account including any changes in the itemized list of fees. If the issuer charges the employee any new or increased fee before thirty days after the date the employer has provided the employee with written notice of the change in accordance with the provisions of this subsection, the employer must reimburse the employee for the amount of that fee.

(i) An employer and an issuer each shall not engage in unfair, deceptive or abusive practices in relation to the payment of wages by payroll debit card. No employer or his agent, or the officer or agent of any corporation, shall discharge, penalize or in any other manner discriminate against any employee because such employee has not consented to receive his or her wages by payroll debit card.

(j) Where an employee is covered by a valid collective bargaining agreement that expressly provides the method or methods by which wages may be paid to employees, an employer must also have the approval of the union before paying by payroll card.

Text of proposed rule and any required statements and analyses may be obtained from: Michael Paglialonga, NYS Department of Labor, Building 12, State Office Campus, Room 509, Albany, NY 12240, (518) 457-4380, email: regulations@labor.ny.gov

Data, views or arguments may be submitted to: Same as above.

Public comment will be received until: 45 days after publication of this notice.

Regulatory Impact Statement

Statutory Authority: Labor Law §§ 21(11) and § 199.

Legislative Objectives: Sections 21(11) and 199 of the Labor Law provide the Commissioner with the authority to adopt regulations to carry out the provisions of the Labor Law. Article 6 of the Labor Law governs the payment of wages, and sets forth general provisions regarding such. This regulation provides clarification and specification as to the permissible methods of payment, including payroll debit cards, that employers can use to pay employees wages in conformity with Article 6 of the Labor Law.

Needs and Benefits: This regulation provides clarity to the permissible methods of wage payment, which both employees and employers have expressed interest for in recent years. This rulemaking provides clear rules governing the payment of wages via payroll debit cards, a method of payment that was not specifically addressed in anything other than Departmental guidance document.

Costs: The Department estimates that there will be no direct costs to the regulated community to implement this rulemaking. Employers may realize significant cost savings through the availability of the use of payroll debit cards, over checks, while maintaining the legislative objectives of Article 6 of the Labor Law. The Department does not anticipate any significant increased costs as a result of this rulemaking.

Local Government Mandate: This rulemaking does not impose any mandate upon local governments or municipalities as they are excluded from the coverage of this rule.

Paperwork: This rulemaking does not impact any governmental reporting requirements currently required in either statute or regulation. Employers paying employees via payroll debit card will be required to provide employees with notices, either paper or electronic, of the terms conditions on the use of such cards. Employers will also be required to maintain a copy of written authorizations or consents received by employees for six years, consistent with the recordkeeping requirements in Article 6 of the Labor Law.

Duplication: This rulemaking does not duplicate, overlap or conflict with any other State or federal requirements.

Alternatives: There were no significant alternatives considered. The Department is seeking to provide clarity to the regulated community in relation to the payment of wages, and to codify the existing interpretations of the requirements of Article 6 of the Labor Law into regulation.

Federal Standards: This rulemaking is unrelated to any Federal rule or standard.

Compliance Schedule: This rulemaking shall become effective upon publication of its adoption in the State Register.

Regulatory Flexibility Analysis

Effect of Rule: This regulation provides clarification and specification as to the permissible methods of payment, including payroll debit cards,

that employers can use to pay employees wages in conformity with Article 6 of the Labor Law.

Compliance Requirements: Small businesses and local governments will not have to undertake any new reporting, recordkeeping, or other affirmative act in order to comply with this rulemaking.

Professional Services: No professional services would be required to effectuate the purposes of this rulemaking.

Compliance Costs: Small businesses and local governments will not incur costs to comply with this rulemaking.

Economic and Technological Feasibility: The rulemaking does not require any use of technology to comply.

Minimizing Adverse Impact: The Department does not anticipate that this rulemaking will adversely impact small businesses or local government. Since no adverse impact to small business or local government will be realized, it was unnecessary for the Department to consider approaches for minimizing adverse economic impacts as suggested in SAPA § 202-b(1).

Small Business and Local Government Participation: The Department will ensure that small businesses and local governments will have an opportunity to participate in the rule-making process. The Department will elicit input from small businesses and local governments during the public comment period.

Initial review of the rule pursuant to SAPA § 207: Initial review of this rulemaking shall occur no later than the third calendar year in which it is adopted.

Rural Area Flexibility Analysis

1. Types and estimated numbers of rural areas: The Department of Labor (hereinafter "Department") anticipates that the adoption of this rulemaking will have a positive or neutral impact upon all areas of the state; there is no adverse impact anticipated upon any rural area of the state resulting from adoption of this rulemaking.

2. Reporting, recordkeeping and other compliance requirements: This rulemaking does not impact any governmental reporting requirements currently required in either statute or regulation. Employers paying employees via payroll debit card will be required to provide employees with notices, either paper or electronic, of the terms conditions on the use of such cards. Employers will also be required to maintain a copy of written authorizations or consents received by employees for six years, consistent with the recordkeeping requirements in Article 6 of the Labor Law.

3. Professional services: No professional services will be required to comply with this rule.

4. Costs: The Department estimates that there will be no direct costs to the regulated community to implement this rulemaking. Employers may realize significant cost savings through the availability of the use of payroll debit cards, over checks, while maintaining the legislative objectives of Article 6 of the Labor Law. The Department does not anticipate any significant increased costs as a result of this rulemaking.

5. Minimizing adverse impact: The Department does not anticipate that the adopted changes will have an adverse impact upon any region of the state. As such, different requirements for rural areas were not necessary.

6. Rural area participation: The Department has ensured that employers from all regions of the state, including rural areas, will have an opportunity to participate in the rule-making process. The Department will elicit input from members of the regulated community in rural areas during the public comment period.

Job Impact Statement

Nature of impact: The Department of Labor (hereinafter "Department") projects there will be no adverse impact on jobs or employment opportunities in the State of New York as a result of this proposed rulemaking. This rulemaking codifies the long standing requirements and rules for the payment of wages by cash, check, and direct deposit, and sets forth requirements applicable for the payment of wages via payroll debit card.

Categories and numbers affected: The Department does not anticipate that this rulemaking will have an adverse impact on jobs or employment opportunities in any category of employment.

Regions of adverse impact: The Department does not anticipate that adoption of this rulemaking an adverse impact upon jobs or employment opportunities statewide or in any particular region of the state.

Minimizing adverse impact: Since the Department does not anticipate any adverse impact upon jobs or employment opportunities resulting from these fees being eliminated, no measures to minimize any unnecessary adverse impact on existing jobs or to promote the development of new employment opportunities are required.

Self-employment opportunities: The Department does not foresee a measureable impact upon opportunities for self-employment resulting from adoption of this rulemaking.

Initial review of the rule pursuant to SAPA § 207: Initial review of this rulemaking shall occur no later than the third calendar year in which it is adopted.

Department of Motor Vehicles

NOTICE OF ADOPTION

Physician Assistants Performing Medical Review After Loss of Consciousness

I.D. No. MTV-12-15-00009-A
Filing No. 363
Filing Date: 2015-05-12
Effective Date: 2015-05-27

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following action:

Action taken: Amendment of sections 9.1, 9.3, 9.4 and 9.5 of Title 15 NYCRR.

Statutory authority: Vehicle and Traffic Law, sections 215(a), 502(1) and 510(3)(b)

Subject: Physician assistants performing medical review after loss of consciousness.

Purpose: To allow physician assistants to perform a medical review after a loss of consciousness.

Text or summary was published in the March 25, 2015 issue of the Register, I.D. No. MTV-12-15-00009-P.

Final rule as compared with last published rule: No changes.

Text of rule and any required statements and analyses may be obtained from: Heidi Bazicki, Department of Motor Vehicles, 6 Empire State Plaza, Rm. 526, Albany, NY 12228, (518) 474-0871, email: heidi.bazicki@dmv.ny.gov

Assessment of Public Comment

The agency received no public comment.

PROPOSED RULE MAKING
NO HEARING(S) SCHEDULED

Insurance ID Cards

I.D. No. MTV-21-15-00002-P

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following proposed rule:

Proposed Action: This is a consensus rule making to amend sections 32.5 and 32.10 of Title 15 NYCRR.

Statutory authority: Vehicle and Traffic Law, sections 215(a), 311(10), 312(1), (4), (5), 319(3) and 370(1)

Subject: Insurance ID cards.

Purpose: To accept insurance ID cards for up to 180 days from effective date for part of the vehicle registration process.

Text of proposed rule: Paragraph (11) of subdivision (c) of section 32.5 is amended to read as follows:

(11) The effective date is more than [45] *180* days prior to the date of registration or the issuance of a temporary 45-day registration.

Paragraph (1) of subdivision (c) of section 32.10 is amended to read as follows:

(1) DMV developed software. The DMV stand-alone software requires a Windows operating system, an ink-jet or laser printer (desktop or network printing at a minimum of 300 dpi), a modem (28.8 minimum speed) and at least temporary access to the Internet along with an e-mail address. The modem and Internet requirements are only for initial download, set-up, and to receive any future updates. Upon the request of a New York State Department of Financial Services licensed agent, broker, agency or insurance company [NYSID] *the New York State Department of Financial Services* shall distribute the DMV software to its licensees in accordance with procedures established by the New York State Department of Financial Services.

Text of proposed rule and any required statements and analyses may be obtained from: Heidi Bazicki, Department of Motor Vehicles, 6 Empire State Plaza, Rm. 522A, Albany, NY 12228, (518) 474-0871, email: heidi.bazicki@dmv.ny.gov

Data, views or arguments may be submitted to: Christine Legorius, Department of Motor Vehicles, 6 Empire State Plaza, Rm. 522A, Albany, NY 12228, (518) 474-0871, email: christine.legorius@dmv.ny.gov

Public comment will be received until: 45 days after publication of this notice.

Consensus Rule Making Determination

Vehicle and Traffic Law Section 312(1) provides that no motor vehicle shall be registered in this state unless the registration application is accompanied by proof of financial security. Under Vehicle and Traffic Law Section 311(3), "proof of financial security" is evidenced by an owner's policy of liability insurance, a financial security bond, a financial security deposit, or qualifications as a self-insurer in accordance with the Vehicle and Traffic Law or under the self-insurance provisions of the jurisdiction of a non-resident. Proof of financial security is also required under Vehicle and Traffic Law 370(1) relative to for-hire vehicles.

Under Vehicle and Traffic Law Section 311(10), an "insurance identification card", in relevant part, is defined as a card issued by or on behalf of an insurance company or bonding company stating that such company has issued an owner's policy of liability insurance or a financial security bond on the designated vehicle(s). Under Part 32 of the Commissioner's Regulations, an insurance certificate is defined in section 32.3(k) as a type of insurance identification card that is issued for a for-hire motor vehicle. Under Part 32.2 and section 32.3(j) of the Commissioner's Regulations, insurance identification cards, including for-hire insurance certificates, constitute the sole paper proof of motor vehicle liability insurance and must be carried in the vehicle at all times.

The current regulation requires that DMV reject registration transactions in situations when a customer presents an insurance ID card beyond 45 days from the effective date of the insurance. In such circumstances, customers must obtain an updated insurance ID card from their insurance company, agent or broker. This results in multiple visits to a DMV office, which is inefficient and inconvenient for the customer. By extending the time that the DMV accepts insurance ID cards as acceptable proof of insurance, the number of office registration transactions that are rejected will be reduced, thus improving customer service.

This is submitted as a consensus rule as no person is likely to object to the rule as proposed and written.

Job Impact Statement

A JIS is not submitted because this rule will have no adverse impact on job creation or job development in New York State.

Office for People with Developmental Disabilities

NOTICE OF ADOPTION

Consolidated Fiscal Report Penalty Amendments

I.D. No. PDD-10-15-00005-A

Filing No. 364

Filing Date: 2015-05-12

Effective Date: 2015-06-01

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following action:

Action taken: Amendment of section 635-4.4 of Title 14 NYCRR.

Statutory authority: Mental Hygiene Law, sections 13.09(b) and 43.02

Subject: Consolidated Fiscal Report Penalty Amendments.

Purpose: To change requirements for imposing a penalty on providers that fail to meet filing deadlines for cost reports.

Text or summary was published in the March 11, 2015 issue of the Register, I.D. No. PDD-10-15-00005-P.

Final rule as compared with last published rule: No changes.

Text of rule and any required statements and analyses may be obtained from: Regulatory Affairs Unit, OPWDD, 44 Holland Avenue, Albany, NY 12229, (518) 474-7700, email: RAU.unit@opwdd.ny.gov

Additional matter required by statute: Pursuant to the requirements of the State Environmental Quality Review Act, OPWDD, as lead agency, has determined that the action described herein will have no effect on the environment, and an E.I.S. is not needed.

Assessment of Public Comment

This document contains responses to public comments submitted about the CFR Penalty Amendments during the public comment period for the proposed regulations on this topic. OPWDD received comments from one provider of services to people with developmental disabilities.

Comment: The provider commented that since the 150 days for providers with a fiscal year ending on June 30 falls on November 27, it often coincides with the Thanksgiving Holiday weekend, and it would be helpful to change the deadline to the first business day of December. The provider stated that for large agencies it takes at least four months or sometimes longer to close the fiscal year, have the CPA perform their audit, perform third party verifications, resolve accounting issues that may arise during the course of the audit process, prepare the CFR, perform reviews, etc. The provider indicated that all agencies want to submit their CFR as accurate as possible and the process is very labor intensive. The provider commented that CPA firms do not work on just one agency's audit exclusively, they have other clients that need to submit June 30 financial statements and CFRs at the same time. The provider asserted that, additionally, the CPA firm review process and approval of a final audit work and financial statements has become more involved and extensive in recent years.

The provider also commented that should auditing or accounting circumstances arise which are beyond the control of the provider and/or auditing firm, the provider should be granted an automatic 30 days additional approved extension beyond the 150 days.

Response: OPWDD considered the provider's comments and is promulgating the regulations with no changes. The Centers for Medicare and Medicaid Services (CMS) and OPWDD share the position that the 150 day deadline with an extension should be sufficient for a provider to submit all necessary documentation. The provider may request a waiver of the penalty when there are unforeseeable circumstances beyond the provider's control that will prevent the provider from filing the cost report by the 150 day deadline. OPWDD will approve the request if it determines that the circumstances warrant a waiver of the penalty.

Public Service Commission

PROPOSED RULE MAKING
NO HEARING(S) SCHEDULED

Limitations on Locating Multiple Generation Facilities Under Electric Utility Tariffs for Remote Net Metering

I.D. No. PSC-21-15-00005-P

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following proposed rule:

Proposed Action: The Commission is considering limitations on the interconnection of multiple generation facilities at host and satellite locations under electric utility tariffs providing for remote net metering.

Statutory authority: Public Service Law, sections 5(2), 66-j and 66-l

Subject: Limitations on locating multiple generation facilities under electric utility tariffs for remote net metering.

Purpose: Consider limitations on locating multiple generation facilities under electric utility tariffs for remote net metering.

Substance of proposed rule: The Public Service Commission is considering, pursuant to a Notice Instituting Proceeding and Soliciting Comments issued May 11, 2015 in Case 15-E-0267, limitations on the interconnection of multiple generation facilities at host and satellite locations imposed under electric utility tariffs providing for remote net metering. These tariffs limit remote net metering to arrangements where credits are distributed from a single generator serving a single host meter, with the installation of generation at satellite locations prohibited. The Commission may grant or deny, in whole or in part, any relief proposed, and may resolve related matters.

Text of proposed rule and any required statements and analyses may be obtained by filing a Document Request Form (F-96) located on our website http://www.dps.ny.gov/f96dir.htm. For questions, contact: Elaine Agresta, Public Service Commission, 3 Empire State Plaza, Albany, New York 12223-1350, (518) 486-2660, email: Elaine.Agresta@dps.ny.gov

Data, views or arguments may be submitted to: Kathleen H. Burgess, Public Service Commission, 3 Empire State Plaza, Albany, New York 12223-1350, (518) 474-6530, email: secretary@dps.ny.gov

Public comment will be received until: 45 days after publication of this notice.

Regulatory Impact Statement, Regulatory Flexibility Analysis, Rural Area Flexibility Analysis and Job Impact Statement

Statements and analyses are not submitted with this notice because the proposed rule is within the definition contained in section 102(2)(a)(ii) of the State Administrative Procedure Act.

(15-E-0267SP1)

PROPOSED RULE MAKING
NO HEARING(S) SCHEDULED

Issuance of Promissory Notes and the Assumption of the Costs and Benefits of Certain Derivative Instruments

I.D. No. PSC-21-15-00006-P

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following proposed rule:

Proposed Action: The PSC is considering a petition by Central Hudson Gas and Electric Corporation to enter into multi-year committed credit agreements in amounts not to exceed $200 million in the aggregate, and issue long-term debt in an amount not to exceed $350 million.

Statutory authority: Public Service Law, section 69

Subject: Issuance of promissory notes and the assumption of the costs and benefits of certain derivative instruments.

Purpose: To authorize the issuance of the above notes and to authorize entering into agreements concerning derivative transactions.

Substance of proposed rule: On April 27, 2015, Central Hudson Gas and Electric, Corp (Company) submitted a petition (Petition) requesting Commission approval to issue and sell securities. The proposed agency action would permit the Company (i) to issue and sell not to exceed $350 million aggregate principal amount of unsecured debt obligations of the Company having a maturity of more than one year for purposes of reimbursement of the Company's treasury for moneys expended for capital purposes and refinancing of existing debt (ii) to enter into or continue one or more committed credit agreements and to issue and sell an amount not to exceed $200 million aggregate principal amount at any time outstanding of unsecured debt obligations having a maturity of more than one year pursuant to the agreement(s), such issuance and sale to be for purposes of reimbursement of the Company's treasury for moneys expended for capital purposes. The Commission may decide to approve, reject or modify the Petition, in whole or in part. The Commission may also address related matters.

Text of proposed rule and any required statements and analyses may be obtained by filing a Document Request Form (F-96) located on our website http://www.dps.ny.gov/f96dir.htm. For questions, contact: Elaine Agresta, Public Service Commission, 3 Empire State Plaza, Albany, New York 12223-1350, (518) 486-2660, email: Elaine.Agresta@dps.ny.gov

Data, views or arguments may be submitted to: Kathleen H. Burgess, Secretary, Public Service Commission, 3 Empire State Plaza, Albany, New York 12223-1350, (518) 474-6530, email: secretary@dps.ny.gov

Public comment will be received until: 45 days after publication of this notice.

Regulatory Impact Statement, Regulatory Flexibility Analysis, Rural Area Flexibility Analysis and Job Impact Statement

Statements and analyses are not submitted with this notice because the proposed rule is within the definition contained in section 102(2)(a)(ii) of the State Administrative Procedure Act.

(15-M-0251SP1)

PROPOSED RULE MAKING
NO HEARING(S) SCHEDULED

Whether Bath Should be Permitted to Recover Purchased Gas Costs, Interest and Consulting Fees from Its Ratepayers

I.D. No. PSC-21-15-00007-P

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following proposed rule:

Proposed Action: The Commission is considering whether to approve, modify or reject, in whole or in part, a petition filed by Bath Electric, Gas & Water Systems (Bath) to recover $649,823 in purchased gas costs, interest and consulting fees from its ratepayers.

Statutory authority: Public Service Law, sections 65 and 66

Subject: Whether Bath should be permitted to recover purchased gas costs, interest and consulting fees from its ratepayers.

Purpose: Whether Bath should be permitted to recover purchased gas costs, interest and consulting fees from its ratepayers.

Substance of proposed rule: The Public Service Commission is considering a petition filed by Bath Electric, Gas & Water Systems (Bath) to recover $649,823 in purchased gas costs, interest and consulting fees from its ratepayers. On May 18, 2009, the Commission issued an Order in Case 08-G-1364 granting Bath a minor increase to its delivery rates. As a result of the review in that case, the Commission directed Bath to investigate its high Lost and Unaccounted For (LAUF) gas. As a result of the investigation, Bath filed a petition dated November 17, 2010 (Case 10-G-0598), where it sought a refund from Corning Natural Gas Corporation (Corning) of over $1.1 million for overbilling spanning the previous seven years. On December 1, 2014, Bath and Corning entered into a memorandum of understanding (MOU) to resolve the outstanding issues between Bath and Corning. By the terms of the MOU, Bath agreed to terminate its complaint against Corning in return for Corning undertaking and completing six different capital projects to improve service to Bath to enhance metering accuracy and reliability. The total capital expenditures agreed to were in the range of $170,000 to $360,000. Bath claims it has a cumulative shortfall of under-recovered purchased gas costs of $451,866 dating back to 2003, with associated interest of $95,767 through August 2014. Further, Bath claims it has incurred approximately $102,190 in fees for outside services relating to this matter, for a total loss of approximately $649,823. The Commission may adopt, reject, or modify, in whole or in part, the relief proposed and may resolve related matters.

Text of proposed rule and any required statements and analyses may be obtained by filing a Document Request Form (F-96) located on our website http://www.dps.ny.gov/f96dir.htm. For questions, contact: Elaine Agresta, Public Service Commission, 3 Empire State Plaza, Albany, New York 12223-1350, (518) 486-2660, email: Elaine.Agresta@dps.ny.gov

Data, views or arguments may be submitted to: Kathleen H. Burgess, Public Service Commission, 3 Empire State Plaza, Albany, New York 12223-1350, (518) 474-6530, email: secretary@dps.ny.gov

Public comment will be received until: 45 days after publication of this notice.

Regulatory Impact Statement, Regulatory Flexibility Analysis, Rural Area Flexibility Analysis and Job Impact Statement

Statements and analyses are not submitted with this notice because the proposed rule is within the definition contained in section 102(2)(a)(ii) of the State Administrative Procedure Act.

(15-G-0241SP1)

PROPOSED RULE MAKING
NO HEARING(S) SCHEDULED

Transfer of Water Supply Assets

I.D. No. PSC-21-15-00008-P

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following proposed rule:

Proposed Action: The Public Service Commission is considering a Joint Petition filed April 27, 2015 by West Valley Crystal Water Company, Inc. and the Town of Ashford for approval of the transfer of all of the water supply assets to the Town of Ashford.

Statutory authority: Public Service Law, sections 4(1), 5(1)(f), 89-c(1), (10) and 89-h

Subject: Transfer of water supply assets.

Purpose: Transfer the water supply assets of West Valley Crystal Water Company, Inc. to the Town of Ashford.

Text of proposed rule: The Commission is considering a whether to approve, modify or reject, in whole or in part, a joint petition filed April 27, 2015 by West Valley Crystal Water Company, Inc. (Company) and the Town of Ashford (Town) for approval of the transfer of all of the water supply assets to the Town. The Company provides unmetered water service to approximately 200 customers in the Hamlet of West Valley located within the Town in Cattaraugus County. The Company does not provide fire protection service. The Commission may consider any related matters.

Text of proposed rule and any required statements and analyses may be obtained by filing a Document Request Form (F-96) located on our website http://www.dps.ny.gov/f96dir.htm. For questions, contact: Elaine Agresta, Public Service Commission, 3 Empire State Plaza, Albany, New York 12223-1350, (518) 486-2660, email: elaine.agresta@dps.ny.gov

Data, views or arguments may be submitted to: Kathleen H. Burgess, Secretary, Public Service Commission, 3 Empire State Plaza, Albany, New York 12223-1350, (518) 474-6530, email: secretary@dps.ny.gov

Public comment will be received until: 45 days after publication of this notice.

Regulatory Impact Statement, Regulatory Flexibility Analysis, Rural Area Flexibility Analysis and Job Impact Statement
Statements and analyses are not submitted with this notice because the proposed rule is within the definition contained in section 102(2)(a)(ii) of the State Administrative Procedure Act.
(15-W-0256SP1)

Department of Taxation and Finance

NOTICE OF ADOPTION

Fuel Use Tax on Motor Fuel and Diesel Motor Fuel and the Art. 13-A Carrier Tax Jointly Administered Therewith

I.D. No. TAF-08-15-00012-A
Filing No. 359
Filing Date: 2015-05-08
Effective Date: 2015-05-08

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following action:

Action taken: Amendment of section 492.1(b)(1) of Title 20 NYCRR.

Statutory authority: Tax Law, sections 171, subd. First; 301-h(c), 509(7), 523(b) and 528(a)

Subject: Fuel use tax on motor fuel and diesel motor fuel and the art. 13-A carrier tax jointly administered therewith.

Purpose: To set the sales tax component and the composite rate per gallon for the period April 1, 2015 through June 30, 2015.

Text of final rule: Section 1. Paragraph (1) of subdivision (b) of section 492.1 of such regulations is amended by adding a new subparagraph (lxxviii) to read as follows:

Motor Fuel			Diesel Motor Fuel		
Sales Tax Component	Composite Rate	Aggregate Rate	Sales Tax Component	Composite Rate	Aggregate Rate
(lxxvii) Jan.- March 2015					
16.0	24.0	41.8	16.0	24.0	40.05
(lxxviii) April - June 2015					
15.0	*23.0*	*40.8*	*16.0*	*24.0*	*40.05*

Final rule as compared with last published rule: Nonsubstantive changes were made in section 492.1(b)(1).

Text of rule and any required statements and analyses may be obtained from: Kathleen D. O'Connell, Tax Regulations Specialist, Department of Taxation and Finance, Office of Counsel, Building 9, W.A. Harriman Campus, Albany, NY 12227, (518) 530-4153, email: tax.regulations@tax.ny.gov

Revised Regulatory Impact Statement
A revised regulatory impact statement is not submitted with this notice because the rule is within the definition contained in section 102(2)(a)(ii) of the State Administrative Procedure Act.

Revised Regulatory Flexibility Analysis
A revised regulatory flexibility analysis is not submitted with this notice because the rule is within the definition contained in section 102(2)(a)(ii) of the State Administrative Procedure Act.

Revised Rural Area Flexibility Analysis
A revised rural area flexibility analysis is not submitted with this notice because the rule is within the definition contained in section 102(2)(a)(ii) of the State Administrative Procedure Act.

Revised Job Impact Statement
A revised job impact statement is not submitted with this notice because the rule is within the definition contained in section 102(2)(a)(ii) of the State Administrative Procedure Act.

Assessment of Public Comment
An assessment of public comment is not submitted with this notice because the rule is within the definition contained in section 102(2)(a)(ii) of the State Administrative Procedure Act.

PROPOSED RULE MAKING
NO HEARING(S) SCHEDULED

Fuel Use Tax on Motor Fuel and Diesel Motor Fuel and the Art. 13-A Carrier Tax Jointly Administered Therewith

I.D. No. TAF-21-15-00001-P

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following proposed rule:

Proposed Action: Amendment of section 492.1(b)(1) of Title 20 NYCRR.

Statutory authority: Tax Law, section 171, subd. First; 301-h(c), 509(7), 523(b) and 528(a)

Subject: Fuel use tax on motor fuel and diesel motor fuel and the art. 13-A carrier tax jointly administered therewith.

Purpose: To set the sales tax component and the composite rate per gallon for the period July 1, 2015 through September 30, 2015.

Text of proposed rule: Section 1. Paragraph (1) of subdivision (b) of section 492.1 of such regulations is amended by adding a new subparagraph (lxxix) to read as follows:

Motor Fuel			Diesel Motor Fuel		
Sales Tax Component	Composite Rate	Aggregate Rate	Sales Tax Component	Composite Rate	Aggregate Rate
(lxxviii) April-June 2015					
15.0	23.0	40.8	16.0	24.0	40.05
(lxxix) July -September 2015					
14.7	*22.7*	*40.5*	*16.0*	*24.0*	*40.05*

Text of proposed rule and any required statements and analyses may be obtained from: Kathleen D. O'Connell, Tax Regulations Specialist, Department of Taxation and Finance, Office of Counsel, Building 9, W.A. Harriman Campus, Albany, NY 12227, (518) 530-4153, email: tax.regulations@tax.ny.gov

Data, views or arguments may be submitted to: Same as above.

Public comment will be received until: 45 days after publication of this notice.

Regulatory Impact Statement, Regulatory Flexibility Analysis, Rural Area Flexibility Analysis and Job Impact Statement
Statements and analyses are not submitted with this notice because the proposed rule is within the definition contained in section 102(2)(a)(ii) of the State Administrative Procedure Act.

ACTION PENDING INDEX

The action pending index is a list of all proposed rules which are currently being considered for adoption. A proposed rule is added to the index when the notice of proposed rule making is first published in the *Register*. A proposed rule is removed from the index when any of the following occur: (1) the proposal is adopted as a permanent rule; (2) the proposal is rejected and withdrawn from consideration; or (3) the proposal's notice expires.

Most notices expire in approximately 12 months if the agency does not adopt or reject the proposal within that time. The expiration date is printed in the second column of the action pending index. Some notices, however, never expire. Those notices are identified by the word "exempt" in the second column. Actions pending for one year or more are preceded by an asterisk(*).

For additional information concerning any of the proposals listed in the action pending index, use the identification number to locate the text of the original notice of proposed rule making. The identification number contains a code which identifies the agency, the issue of the *Register* in which the notice was printed, the year in which the notice was printed and the notice's serial number. The following diagram shows how to read identification number codes.

Agency code	Issue number	Year published	Serial number	Action Code
AAM	**01**	**12**	**00001**	**P**

Action codes: P — proposed rule making; EP — emergency and proposed rule making (expiration date refers to proposed rule); RP — revised rule making

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
ADIRONDACK PARK AGENCY			
APA-05-15-00006-P	..... 03/04/16	Emergency projects	The purpose of the proposed rule is to define when jurisdictional land use and development constitutes an emergency project
AGRICULTURE AND MARKETS, DEPARTMENT OF			
AAM-05-15-00002-P	..... 02/04/16	Incorporation by reference of the 2013 edition of the Grade A Pasteurized Milk Ordinance (''PMO'')	To require certain producers, processors and manufacturers of milk and milk products to comply with the 2013 edition of the PMO
AAM-17-15-00011-P	..... 05/19/16	Growth and cultivation of industrial hemp	To set forth procedures for authorizing and regulating the growth and cultivation of industrial hemp
AAM-21-15-00004-EP	..... 05/26/16	Species of ash trees, parts thereof and products and debris therefrom which are at risk for infestation by the emerald ash borer	To limit the emerald ash borer quarantine to 14 restricted zones where infestations exist
AUDIT AND CONTROL, DEPARTMENT OF			
AAC-18-15-00003-P	..... 05/05/16	Prompt payment processing	To include electronic invoices and the processing of e-invoices within the procedures for calculating prompt payment interest
BATTERY PARK CITY AUTHORITY			
BPA-11-15-00018-P	..... 03/17/16	Proposed action is the amendment of the rules and regulations of Battery Park City parks	To remain consistent with the rules of other parks in New York City and to incorporate activities previously not addressed
CHILDREN AND FAMILY SERVICES, OFFICE OF			
CFS-11-15-00011-P	..... 03/17/16	Implementation of the federal Preventing Sex Trafficking and Strengthening Families Act (P.L. 113-183)	Implementation of the federal Preventing Sex Trafficking and Strengthening Families Act (P.L. 113-183)

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
CHILDREN AND FAMILY SERVICES, OFFICE OF			
CFS-12-15-00010-P	03/24/16	Implementation of legislation for destitute children	To implement legislation for destitute children, re-entry into foster care and to make other technical amendments
CFS-20-15-00004-P	05/19/16	Casework contact for foster children placed out of state	To conform NYS standards for casework contacts of foster children under age 18 who are placed out of state to federal standards
CIVIL SERVICE, DEPARTMENT OF			
*CVS-20-14-00003-P	05/21/15	Jurisdictional Classification	To classify positions in the non-competitive class
CVS-25-14-00003-P	06/25/15	Jurisdictional Classification	To classify a position in the exempt class
CVS-30-14-00003-P	07/30/15	Jurisdictional Classification	To classify a position in the exempt class and to delete positions from and classify positions in the non-competitive class
CVS-30-14-00006-P	07/30/15	Jurisdictional Classification	To classify a position in the non-competitive class
CVS-30-14-00007-P	07/30/15	Jurisdictional Classification	To delete a position from the non-competitive class
CVS-30-14-00011-P	07/30/15	Jurisdictional Classification	To classify a position in the non-competitive class
CVS-44-14-00005-P	11/05/15	Jurisdictional Classification	To classify a position in the exempt class
CVS-44-14-00006-P	11/05/15	Jurisdictional Classification	To classify a position in the non-competitive class
CVS-44-14-00007-P	11/05/15	Jurisdictional Classification	To classify a position in the exempt class
CVS-44-14-00008-P	11/05/15	Jurisdictional Classification	To classify a position in the exempt class
CVS-44-14-00009-P	11/05/15	Jurisdictional Classification	To classify positions in the exempt class
CVS-44-14-00010-P	11/05/15	Jurisdictional Classification	To classify positions in the exempt class
CVS-44-14-00011-P	11/05/15	Jurisdictional Classification	To classify a position in the exempt class
CVS-44-14-00012-P	11/05/15	Jurisdictional Classification	To delete a heading and positions from the exempt class
CVS-44-14-00013-P	11/05/15	Jurisdictional Classification	To delete a position from and classify positions in the exempt class
CVS-44-14-00014-P	11/05/15	Jurisdictional Classification	To classify a position in the non-competitive class
CVS-44-14-00015-P	11/05/15	Jurisdictional Classification	To classify a position in the non-competitive class
CVS-44-14-00016-P	11/05/15	Jurisdictional Classification	To classify positions in the non-competitive class

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
CIVIL SERVICE, DEPARTMENT OF			
CVS-44-14-00017-P	11/05/15	Jurisdictional Classification	To delete positions from and classify positions in the non-competitive class
CVS-44-14-00018-P	11/05/15	Jurisdictional Classification	To delete positions from and classify positions in the non-competitive class
CVS-47-14-00002-P	11/26/15	Jurisdictional Classification	To delete positions from and classify positions in the exempt class
CVS-47-14-00003-P	11/26/15	Jurisdictional Classification	To delete a position from and classify a position in the exempt class
CVS-47-14-00004-P	11/26/15	Jurisdictional Classification	To classify a position in the exempt class
CVS-47-14-00005-P	11/26/15	Jurisdictional Classification	To classify a position in the exempt class
CVS-47-14-00006-P	11/26/15	Jurisdictional Classification	To delete a subheading and positions from the exempt class
CVS-47-14-00007-P	11/26/15	Jurisdictional Classification	To classify a position in the non-competitive class
CVS-01-15-00004-P	01/07/16	Jurisdictional Classification	To classify a position in the non-competitive class
CVS-01-15-00005-P	01/07/16	Jurisdictional Classification	To classify a position in the exempt class
CVS-01-15-00006-P	01/07/16	Jurisdictional Classification	To delete a position from and classify a position in the exempt class
CVS-01-15-00007-P	01/07/16	Jurisdictional Classification	To classify positions in the non-competitive class
CVS-01-15-00008-P	01/07/16	Jurisdictional Classification	To delete positions from and classify positions in the exempt class
CVS-01-15-00009-P	01/07/16	Jurisdictional Classification	To delete a position from and classify a position in the exempt class
CVS-01-15-00021-P	01/07/16	Jurisdictional Classification	To classify a position in the exempt class
CVS-01-15-00022-P	01/07/16	Jurisdictional Classification	To delete positions from the non-competitive class
CVS-01-15-00023-P	01/07/16	Jurisdictional Classification	To classify a position in the non-competitive class
CVS-11-15-00002-P	03/17/16	Jurisdictional Classification	To classify a position in the exempt class
CVS-11-15-00003-P	03/17/16	Jurisdictional Classification	To classify a position in the exempt class
CVS-11-15-00004-P	03/17/16	Jurisdictional Classification	To classify a position in the non-competitive class
CVS-11-15-00005-P	03/17/16	Jurisdictional Classification	To classify positions in the exempt class
CVS-11-15-00006-P	03/17/16	Jurisdictional Classification	To delete positions from and classify positions in the exempt class

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
CIVIL SERVICE, DEPARTMENT OF			
CVS-11-15-00007-P	03/17/16	Jurisdictional Classification	To delete a position from and classify a position in the non-competitive class
CVS-11-15-00008-P	03/17/16	Jurisdictional Classification	To classify a position in the non-competitive class
CVS-11-15-00009-P	03/17/16	Jurisdictional Classification	To delete a position from and classify positions in the non-competitive class
CVS-11-15-00010-P	03/17/16	Jurisdictional Classification	To delete subheadings and positions from and classify positions in the non-competitive class
CVS-13-15-00003-P	03/31/16	Jurisdictional Classification	To classify a position in the exempt class
CVS-13-15-00004-P	03/31/16	Jurisdictional Classification	To delete a subheading and positions from and classify positions in the exempt class
CVS-13-15-00005-P	03/31/16	Jurisdictional Classification	To classify a position in the non-competitive class.
CVS-13-15-00006-P	03/31/16	Jurisdictional Classification	To classify a position in the non-competitive class
CVS-13-15-00007-P	03/31/16	Jurisdictional Classification	To classify positions in the exempt class
CVS-13-15-00014-P	03/31/16	Supplemental military leave benefits	To extend the availability of supplemental military leave benefits for certain New York State employees until December 31, 2015
CVS-14-15-00005-P	04/07/16	Jurisdictional Classification	To classify positions in the exempt class
CVS-14-15-00006-P	04/07/16	Jurisdictional Classification	To classify positions in the exempt class
CVS-14-15-00007-P	04/07/16	Jurisdictional Classification	To classify a position in the non-competitive class.
CVS-14-15-00008-P	04/07/16	Jurisdictional Classification	To delete positions from the exempt and non-competitive classes.
CVS-19-15-00003-P	05/12/16	Jurisdictional Classification	To classify positions in the exempt class
CVS-19-15-00004-P	05/12/16	Jurisdictional Classification	To delete positions from and classify positions in the non-competitive class
CVS-19-15-00005-P	05/12/16	Jurisdictional Classification	To classify a position in the non-competitive class
CVS-19-15-00006-P	05/12/16	Jurisdictional Classification	To delete positions from and classify a position in the non-competitive class
COMMISSIONER OF PILOTS, BOARD OF			
COP-15-15-00014-P	exempt	Supplementary fees--Port of New York	Establishes rates and charges for pilotage in the Port of New York
CORRECTIONS AND COMMUNITY SUPERVISION, DEPARTMENT OF			
CCS-08-15-00002-P	02/25/16	Rochester Correctional Facility	To correct the address for Rochester Correctional facility

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
CORRECTIONS AND COMMUNITY SUPERVISION, DEPARTMENT OF			
CCS-15-15-00002-P	04/14/16	Taconic Correctional Facility	Remove reference to functions that are no longer operational at this correctional facility
CRIMINAL JUSTICE SERVICES, DIVISION OF			
CJS-13-15-00023-P	03/31/16	Statement of purpose for medical and physical fitness standards and procedures for police officer candidates	To clarify the purpose for the physical fitness standards for police officer candidates
ECONOMIC DEVELOPMENT, DEPARTMENT OF			
EDV-46-14-00001-EP	11/19/15	Empire State Musical and Theatrical Production Tax Credit Program	Establish application procedures for the Empire State Musical and Theatrical Production Tax Credit Program
EDV-03-15-00001-P	01/21/16	Empire State Post Production Tax Credit Program	Establish application procedure for the Empire State Post Production Tax Credit Program
EDUCATION DEPARTMENT			
*EDU-19-14-00009-P	05/14/15	Mandatory reporting of information regarding possession, sale, use or manufacture of illegal drugs on school property/functions	To establish a mandatory reporting requirement for school personnel, sanctions for noncompliance, and protection for school personnel who report
EDU-27-14-00013-EP	07/09/15	Elementary and Secondary Education Act (ESEA) Flexibility and school and school district accountability	Conform regulations to State's ESEA Flexibility Waiver Renewal with respect to school and district removal criteria
EDU-48-14-00008-P	12/03/15	Field tests for State assessments, alternate assessments and Regents examinations	To clarify that school districts must administer field tests in the schools for which they are assigned
EDU-52-14-00014-ERP	12/31/15	Determination of student residency	Clarify requirements on student enrollment, particularly as to procedures for unaccompanied minors and other undocumented youth
EDU-05-15-00009-RP	02/04/16	Tuition Assistance Program	Establishment of standards for a student to regain good academic standing for the purposes of receiving awards under TAP
EDU-08-15-00006-EP	02/25/16	Appeals process on Regents exams passing score for English Language Learners (ELLs)	To extend ability to graduate with a Local Diploma via appeal process to qualifying English Language Learner (ELL) students who satisfy all other graduation requirements (including those who satisfy such requirements via available alternative pathways)
EDU-08-15-00007-EP	02/25/16	Teacher certification	To provide for a time extension of up to one-year for an expired initial certificate, transitional certificate and/or a conditional initial certificate to provide time for the revised Content Specialty Test (CST) results to be released by the Department
EDU-10-15-00003-P	03/10/16	Requirements for medical physics education programs and eligibility for limited permits in specialty areas of medical physics	To reflect changes in national accreditation requirements for medical physics education programs and repeal obsolete provisions
EDU-10-15-00004-P	03/10/16	Continuing education requirements for optometrists certified to use therapeutic pharmaceutical agents	To provide more flexibility in satisfying continuing education requirements by expanding the list of acceptable study methods

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
EDUCATION DEPARTMENT			
EDU-10-15-00011-P	03/10/16	Off-premises delivery of prescription medications by New York resident pharmacies	To require pharmacies to obtain patient consent before automatically delivering new or refilled prescriptions
EDU-13-15-00021-P	03/31/16	Supplementary Teaching Certificates in Bilingual Education and English to Speakers of Other Languages (ESOL)	To provide additional pathways for teacher certification candidates to obtain supplementary bilingual education extension and the ESOL supplementary certificate, for a three year period to conclude on June 30, 2018
EDU-13-15-00022-EP	03/31/16	Pathways to Graduation and Regents Diploma Advanced Designation	(1) to clarify requirements for earning a Regents Diploma with advanced designation by students who elect to meet the requirements for a Regents diploma through the mathematics or science pathway options; and (2) to allow students to earn a Regents diploma
EDU-13-15-00030-P	03/31/16	Special Education Itinerant Services (SEIS)	To revise the SEIS tuition reimbursement methodology
EDU-14-15-00003-P	04/07/16	Self-administration of certain medications by students	To establish standards for the self-administration by students of certain prescribed medications on school property and at school functions and the training of unlicensed school personnel to administer prescribed epinephrine auto injectors and glucagon
EDU-14-15-00004-P	04/07/16	Pupils with Limited English Proficiency	Technical amendments relating to Units of Study and Provision of Credits For English As A New Language and Native Language Arts
EDU-17-15-00002-P	04/28/16	Licensure of Physician Assistants and Registration of Specialist Assistants	To conform Commissioner's Regulations to Chapter 48 of 2012 and remove obsolete provisions relating to physician assistants
EDU-17-15-00003-EP	04/28/16	Elementary and Secondary Education Act (ESEA) Flexibility and school and school district accountability	To conform the Commissioner's Regulations to New York State's ESEA Flexibility Waiver Renewal application with respect to Adequate Yearly Progreess (AYP) and Local Assistance Plan (LAP) schools
ELECTIONS, STATE BOARD OF			
SBE-16-15-00019-EP	04/21/16	Independent Expenditure Committee Disclosure	To set forth the requirements for Independent Expenditure Committees to disclose financial activity
ENVIRONMENTAL CONSERVATION, DEPARTMENT OF			
ENV-31-14-00006-P	10/14/15	Petroleum Bulk Storage (PBS) and Used Oil Management	To harmonize existing State requirements with overlapping Federal requirements
ENV-31-14-00007-P	10/14/15	Chemical Bulk Storage	To amend existing CBS rule to be at least as stringent as EPA federal rule (40 CFR 280) and include NYS 2008 statutory changes
ENV-48-14-00005-P	01/27/16	Water quality standards for Class I and Class SD waters in New York City and Suffolk County	To amend New York's water quality standards for Class I and Class SD waters to meet the "swimmable" goal of the Clean Water Act
ENV-52-14-00027-P	02/09/16	The control of criteria air contaminants and toxic air contaminants from general process air pollution sources	To clearly define the federal and state requirements of the existing Part 212 rule, General Process Emission Sources

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
ENVIRONMENTAL CONSERVATION, DEPARTMENT OF			
ENV-04-15-00006-P	01/28/16	Regulations governing the recreational harvest of winter flounder	Extend the recreational winter flounder fishing open season from April 1 - May 30 to March 1 - December 31
ENV-13-15-00031-EP	03/31/16	To amend 6 NYCRR Parts 10 and 40 pertaining to commercial and recreational regulations for striped bass	Reduce fishing mortality of striped bass to promote stable fish populations, and to remain in compliance with the ASMFC FMP
ENV-19-15-00008-P	05/12/16	Wild turkey fall hunting seasons and bag limits	To amend wild turkey hunting regulations to revise the fall hunting season structure (season zones, season length, bag limit)
ENV-19-15-00009-P	05/12/16	Deer Hunting Seasons and Deer Management Assistance Permits	Adjust antlerless deer harvest regulations to meet local population management needs, improve Deer Management Assistance Permits
ENV-19-15-00010-P	05/12/16	Fisher trapping seasons and bag limits and general trapping regulations for furbearers	Revise existing fisher seasons, establish a new season in central/western NY, update and clarify general trapping regulations
ENV-19-15-00016-P	05/12/16	Regulations governing the recreational harvest of black sea bass	To reduce recreational black sea bass harvest by 33% by increasing the fish minimum size to 14 inches
ENV-21-15-00010-P	05/26/16	Emerald Ash Borer Quarantine	To restrict EAB to 14 restricted zones where infestations exist
FINANCIAL SERVICES, DEPARTMENT OF			
DFS-29-14-00014-P	07/23/15	Title insurance agents, affiliated relationships, and title insurance business	To implement requirements of Chapter 57 of Laws of NY 2014 re: title insurance agents and placement of title insurance business
DFS-29-14-00015-RP	07/23/15	Regulation of the conduct of virtual currency businesses	Regulate retail-facing virtual currency business activity in order to protect New York consumers and users and ensure the safety and soundness of New York licensed providers of virtual currency products and services
DFS-46-14-00013-P	11/19/15	Reports to Central Organization	To remove an outdated references to “PILR” in the title of section 62-2.2
DFS-52-14-00009-ERP	12/31/15	Independent Dispute Resolution for Emergency Services and Surprise Bills	To establish a dispute resolution process and standards for that process
DFS-18-15-00009-P	05/05/16	Title Insurance Rates, Expenses and Charges	To insure proper, non-excessive rates, compliance with Ins. Law 6409(d), and reasonable charges for ancillary services
DFS-20-15-00005-P	05/19/16	FINANCIAL STATEMENT FILINGS AND ACCOUNTING PRACTICES AND PROCEDURES	To update citations in Part 83 to the Accounting practices and Procedures Manual as of March 2014 (instead of 2013)
GAMING COMMISSION, NEW YORK STATE			
SGC-28-14-00006-EP	07/16/15	Implementation of rules pertaining to gaming facility request for application and gaming facility license application	To facilitate a fair and transparent process for applying for a license to operate a gaming facility
SGC-19-15-00017-P	05/12/16	Permits coupled entries with thoroughbred superfecta wagering	To improve wagering opportunities in thoroughbred horse racing

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
GAMING COMMISSION, NEW YORK STATE			
SGC-20-15-00003-P	05/19/16	Amendments to coupled entries in thoroughbred wagering	To improve wagering opportunities in thoroughbred horse racing
GENERAL SERVICES, OFFICE OF			
GNS-36-14-00001-P	09/10/15	Procurement of New York State food products	To provide guidance to State Agencies as to how they procure food
GNS-18-15-00001-P	05/05/16	Federal Surplus Property Program	To conform the State Plan of Operation with requirements of Federal Management Regulations (FMR) 102-37.465
HEALTH, DEPARTMENT OF			
*HLT-14-94-00006-P	exempt	Payment methodology for HIV/AIDS outpatient services	To expand the current payment to incorporate pricing for services
HLT-28-14-00008-RP	07/16/15	Immediate Needs for Personal Care Services	To provide for meeting the immediate needs of Medicaid applicants and recipients for personal care services
HLT-31-14-00002-P	08/06/15	Outpatient Services Licensed Under the Mental Hygiene Law	Creates methodology for adjusting provider reimbursement in OPWDD, OHM & OASAS certified clinics based on annual patient visits
HLT-32-14-00001-P	08/13/15	Blood Banks	Update practice standards, reflect changes and provide clarification of reg. provisions for blood banks and transfusion services
HLT-35-14-00002-P	09/03/15	Statewide Health Information Network for New York (SHIN-NY)	To promulgate regulations, consistent with federal law and policies, that govern the Statewide Health Information Network for NY
HLT-36-14-00012-P	09/10/15	Personal Care Services Program (PCSP) and Consumer Directed Personal Assistance Program (CDPAP)	To establish definitions, criteria and requirements associated with the provision of continuous PC and continuous CDPA services
HLT-39-14-00018-P	10/01/15	Medical Records Access Review Committees (MRARCs)	To designate rather than appoint MRARCs to hear appeals from the denial of access to patient information
HLT-40-14-00016-P	10/08/15	Inpatient Rate for Language Assistance Services	To establish hospital inpatient payment rate to reimburse hospitals for the costs of providing language interpretation services
HLT-40-14-00017-P	10/08/15	Nursing Home (NH) Transfer and Discharge Rights	To clarify requirements governing NH transfers and discharges so that facilities will uniformly comply with federal regulations
HLT-40-14-00018-P	10/08/15	Managed Care Organizations	To lower the contingent reserve requirement applied to the Medicaid Managed Care, Family Health Plus & HIV SNP Programs
HLT-41-14-00002-P	10/15/15	Certificate of Need (CON) Requirements	Simplify CON review requirements for projects involving nonclinical infrastructure, equipment replacement & repair & maintenance
HLT-42-14-00001-P	10/22/15	Audited Financial Statements for Managed Care Organizations	To extend audit and reporting standards to all managed care organizations (MCOs), including PHSPs, HIV SNPs and MLTCPs

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
HEALTH, DEPARTMENT OF			
HLT-43-14-00001-P	10/29/15	Hospital Observation Services	To amend current observation services provisions to be in compliance with changes in Public Health Law, Section 2805-v
HLT-08-15-00003-P	02/25/16	Supplementary Reports of Certain Congenital Anomalies for Epidemiological Surveillance; Filing	To increase maximum age of reporting certain birth defects to the Congenital Malformations Registry
HLT-11-15-00019-P	03/17/16	Standards for Individual Onsite Water Supply and Individual Onsite Wastewater Treatment Systems	Establishes minimum water quality standards for individual onsite water supply systems
HLT-11-15-00020-P	03/17/16	School Immunization Requirements	Update regulations to ensure children entering grades kindergarten through 12 receive adequate number of required immunizations
HLT-16-15-00014-P	04/21/16	Rate Rationalization – Prevocational Services, Respite, Supported Employment and Residential Habilitation	To establish new rate methodology effective July 1, 2015
HLT-18-15-00008-P	05/05/16	Computed Tomography (CT) Quality Assurance	To protect the public from the adverse effects of ionizing radiation.
JOINT COMMISSION ON PUBLIC ETHICS, NEW YORK STATE			
JPE-16-15-00003-P	04/21/16	Outside activities regulations	To provide guidance and approval procedures for outside activities by State government employees and officials
LABOR, DEPARTMENT OF			
LAB-17-15-00013-EP	04/28/16	Repeal and removal of fees	To repeal and remove certain safety and health fees without amending or repealing the safety and health protections
LAB-21-15-00009-P	05/26/16	Methods of Payment of Wages	This regulation provides clarification and specification as to the permissible methods of payment, including payroll debit cards
LIQUOR AUTHORITY, STATE			
LQR-02-15-00002-P	03/09/16	Signage, Services and Gifts to Retailers	To enact business friendly amendments; eliminate interior sign restrictions; and increase annual dollar limits for advertising
LQR-13-15-00002-P	03/31/16	Updated application processes for various licenses and permits	To update permit filing procedures and contact information at the authority
LONG ISLAND POWER AUTHORITY			
*LPA-08-01-00003-P	exempt	Pole attachments and related matters	To approve revisions to the authority's tariff
*LPA-41-02-00005-P	exempt	Tariff for electric service	To revise the tariff for electric service
*LPA-04-06-00007-P	exempt	Tariff for electric service	To adopt provisions of a ratepayer protection plan
*LPA-03-10-00004-P	exempt	Residential late payment charges	To extend the application of late payment charges to residential customers

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
LONG ISLAND POWER AUTHORITY			
LPA-07-15-00003-P	exempt	The rates and charges set forth in LIPA's Tariff for Electric Service	To set rates and charges at the lowest level consistent with sound fiscal and operating practices and safe and adequate service
MENTAL HEALTH, OFFICE OF			
OMH-11-15-00013-P	03/17/16	Medical Assistance Rates of Payment for Residential Treatment Facilities for Children and Youth	Amend date of trend factor elimination to December 31, 2014 instead of June 30, 2015
MOTOR VEHICLES, DEPARTMENT OF			
MTV-13-15-00011-P	03/31/16	Registration of pick up trucks	To allow the registration of pick up trucks in the passenger class up to 6,000 pounds
MTV-13-15-00012-P	03/31/16	Off premise sales of motor vehicles	Provides guidance of off premise sales of motor vehicles by registered dealers
MTV-13-15-00013-P	03/31/16	Montgomery County motor vehicle use tax	To impose a Montgomery County motor vehicle use tax
MTV-17-15-00012-EP	04/28/16	Designation of authorized emergency vehicles for certain State leaders	Designates motor vehicle owned or operated by certain State leaders as authorized emergency vehicles
MTV-21-15-00002-P	05/26/16	Insurance ID cards	To accept insurance ID cards for up to 180 days from effective date for part of the vehicle registration process
NIAGARA FALLS WATER BOARD			
*NFW-04-13-00004-EP	exempt	Adoption of Rates, Fees and Charges	To pay for the increased costs necessary to operate, maintain and manage the system, and to achieve covenants with bondholders
*NFW-13-14-00006-EP	exempt	Adoption of Rates, Fees and Charges	To pay for increased costs necessary to operate, maintain and manage the system and to achieve covenants with the bondholders
NIAGARA FRONTIER TRANSPORTATION AUTHORITY			
NFT-04-15-00015-P	01/28/16	Smoking	To clarify where smoking is prohibited at NFTA locations
PEOPLE WITH DEVELOPMENTAL DISABILITIES, OFFICE FOR			
PDD-16-15-00016-P	04/21/16	Site Based and Community Prevocational Services	To distinguish requirements for site based prevocational services and community prevocational services
PDD-16-15-00017-P	04/21/16	Supported Employment Services (SEMP) Redesign	To redesign SEMP by establishing requirements for the provision and funding of Intensive and Extended SEMP
POWER AUTHORITY OF THE STATE OF NEW YORK			
*PAS-01-10-00010-P	exempt	Rates for the sale of power and energy	Update ECSB Programs customers' service tariffs to streamline them/include additional required information

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
POWER AUTHORITY OF THE STATE OF NEW YORK			
PAS-11-15-00016-P	exempt	Rates for the Sale of Power and Energy	To improve the net metering services currently offered by the Authority to its New York City and Westchester Customers
PUBLIC SERVICE COMMISSION			
*PSC-28-97-00032-P	exempt	General service by Central Hudson Gas & Electric Corporation	To limit certain special provisions
*PSC-34-97-00009-P	exempt	Collection agency fees by Consolidated Edison Company of New York, Inc.	To pass collection agency fees on to the customer
*PSC-04-98-00015-P	exempt	Interconnection service overcharges by Niagara Mohawk Power Corporation	To consider a complaint by Azure Mountain Power Co.
*PSC-19-98-00008-P	exempt	Call forwarding by CPU Industries Inc./MKL Net, et al.	To rehear the petition
*PSC-02-99-00006-EP	exempt	Intralata freeze plan by New York Telephone Company	To approve the plan
*PSC-09-99-00012-P	exempt	Transfer of books and records by Citizens Utilities Company	To relocate Ogden Telephone Company's books and records out-of-state
*PSC-15-99-00011-P	exempt	Electronic tariff by Woodcliff Park Corp.	To replace the company's current tariff with an electronic tariff
*PSC-50-99-00009-P	exempt	Retail access uniform business practices by The Brooklyn Union Gas Company and KeySpan Gas East Corporation d/b/a Brooklyn Union of Long Island	To approve a joint petition requesting a waiver extension of a requirement set forth in the commission's order
*PSC-52-99-00006-P	exempt	Wide area rate center calling	To implement number conservation measures
*PSC-12-00-00001-P	exempt	Winter bundled sales service election date by Central Hudson Gas & Electric Corporation	To revise the date
*PSC-14-00-00004-EP	exempt	NXX code in the 716 NPA by Broadview Networks	To assign an NXX code in Buffalo
*PSC-14-00-00026-P	exempt	Interconnection agreement between New York Telephone Company d/b/a Bell Atlantic-New York and Media Log, Inc.	To review the terms and conditions of the negotiated agreement
*PSC-14-00-00027-P	exempt	Interconnection agreement between New York Telephone Company d/b/a Bell Atlantic-New York and Pilgrim Telephone, Inc.	To review the terms and conditions of the negotiated agreement
*PSC-14-00-00029-P	exempt	Interconnection agreement between New York Telephone Company d/b/a Bell Atlantic-New York and CoreComm New York, Inc.	To review the terms and conditions of the negotiated agreement
*PSC-16-00-00012-P	exempt	Termination of local telecommunications traffic by Hyperion Communications of New York, Inc.	To determine appropriate compensation levels
*PSC-21-00-00007-P	exempt	Initial tariff schedule by Drew Road Association	To set forth the rates, charges, rules and regulations
*PSC-31-00-00026-P	exempt	Water service by Windover Water Works	To abandon the water system

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
*PSC-33-00-00010-P	exempt	Electric rate and restructuring plan by Rochester Gas and Electric Corporation	To evaluate possible modifications
*PSC-36-00-00039-P	exempt	Steam increase by Consolidated Edison Company of New York, Inc.	To provide for an annual increase in the first year of a proposed four-year rate plan
*PSC-37-00-00001-EP	exempt	Interruptible gas customers	To ensure customers have an adequate supply of alternative fuel available
*PSC-39-00-00004-P	exempt	Blockable central office codes by PaeTec Communications, Inc.	To review the commission's requirements for assignment of numbering resources
*PSC-44-00-00014-P	exempt	Recovery of costs through adjustment mechanisms by Consolidated Edison Company of New York, Inc.	To permit the recovery of certain costs
*PSC-49-00-00007-P	exempt	Gas sales and purchases by Corning Natural Gas Corporation	To determine whether certain gas sales and purchases were in the public interest and whether customers should bear the resulting costs
*PSC-01-01-00023-P	exempt	Installation, maintenance and ownership of service laterals by Rochester Gas and Electric Corporation	To update and clarify the provisions
*PSC-06-01-00009-P	exempt	Uniform system of accounts by Rochester Gas and Electric Corporation	To defer an item of expense beyond the end of the year in which it was incurred
*PSC-13-01-00001-P	exempt	Request for accounting authorization by Rochester Gas and Electric Corporation	To defer an item of expense beyond the end of the year in which it was incurred
*PSC-13-01-00002-P	exempt	Request for accounting authorization by Rochester Gas and Electric Corporation	To defer an item of expense beyond the end of the year in which it was incurred
*PSC-13-01-00003-P	exempt	Request for accounting authorization by Rochester Gas and Electric Corporation	To defer an item of expense beyond the end of the year in which it was incurred
*PSC-15-01-00012-P	exempt	Transfer of a controlling leasehold interest by Huntley Power LLC	To approve the transfer
*PSC-22-01-00006-P	exempt	Con Edison's phase 4 plan for retail access by AES Energy, Inc.	To review the request for rehearing
*PSC-26-01-00012-P	exempt	Interconnection of networks between Sprint PCS and Verizon New York Inc.	To review the terms and conditions of the negotiated agreement
*PSC-36-01-00010-P	exempt	Competitive metering by eBidenergy.com	To clarify meter ownership rules and requirements
*PSC-44-01-00005-P	exempt	Annual reconciliation of gas costs by Corning Natural Gas Corporation	To authorize the company to include certain gas costs
*PSC-01-02-00007-P	exempt	Accounting and rate treatment of proceeds by Consolidated Edison Company of New York, Inc.	To consider proceeds from sale of nuclear generating facilities
*PSC-05-02-00005-P	exempt	Uniform system of accounts by Consolidated Edison Company of New York, Inc.	To defer expenditures incurred in connection with emergency response services affected by the World Trade Center disaster

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
*PSC-06-02-00015-P	exempt	Network reliability performance mechanism by Consolidated Edison Company of New York, Inc.	To earn rewards for meeting the targets of the network reliability performance mechanism
*PSC-07-02-00032-P	exempt	Uniform business practices	To consider modification
*PSC-29-02-00014-P	exempt	Financing by Valley Energy, Inc.	To issue a note and allocate costs
*PSC-49-02-00021-P	exempt	Requests for lightened regulation by PSEG Power Bellport, LLC	To consider the company's request
*PSC-08-03-00009-P	exempt	Provision of gas service to World Kitchen Incorporated	To establish terms and conditions
*PSC-09-03-00012-P	exempt	Incremental service line installations by New York State Electric & Gas Corporation	To revise the current flat rate per foot charged
*PSC-09-03-00014-P	exempt	Deferral accounting by Consolidated Edison Company of New York, Inc. and Orange and Rockland Utilities, Inc.	To defer expense items beyond the end of the year(s) in which they were incurred
*PSC-11-03-00012-P	exempt	Economic development plan by New York State Electric & Gas Corporation	To consider the plan
*PSC-18-03-00004-P	exempt	Lightened regulation by East Hampton Power and Light Corporation (EHPLC)	To provide for lightened regulation and grant financing approval
*PSC-22-03-00020-P	exempt	Inter-departmental gas pricing by Consolidated Edison Company of New York, Inc.	To revise the method used in steam and steam-electric generating stations
*PSC-32-03-00020-P	exempt	Issuance of debt and approval of surcharge by Rainbow Water Company	To approve necessary financing
*PSC-34-03-00019-P	exempt	Issuance of securities by KeySpan East Corporation d/b/a KeySpan Energy Delivery Long Island	To obtain authorization to issue securities
*PSC-35-03-00009-P	exempt	Interconnection agreement between Verizon New York Inc. and MCIMetro Access Transmission Services LLC	To amend the agreement
*PSC-36-03-00010-P	exempt	Performance assurance plan by Verizon New York	To consider changes
*PSC-39-03-00013-P	exempt	Complaint by State University of New York (SUNY) regarding a NYSEG operating agreement	To consider the complaint
*PSC-40-03-00015-P	exempt	Receipt of payment of bills by St. Lawrence Gas Company	To revise the process
*PSC-41-03-00008-P	exempt	Lightened regulation by Sterling Power Partners, L.P.	To consider granting lightened regulation
*PSC-41-03-00010-P	exempt	Annual reconciliation of gas expenses and gas cost recoveries	To consider filings of various LDCs and municipalities
*PSC-41-03-00011-P	exempt	Annual reconciliation of gas expenses and gas cost recoveries	To consider filings of various LDCs and municipalities

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
*PSC-42-03-00005-P	exempt	Interest rate by the Bath Electric, Gas, and Water Systems	To use an alternate interest rate
*PSC-43-03-00036-P	exempt	Merchant function backout credit and transition balancing account by KeySpan Gas East Corporation	To continue the credit and account until May 31, 2005
*PSC-43-03-00037-P	exempt	Merchant function backout credit and transition balancing account by The Brooklyn Union Gas Company	To continue the credit and account until May 31, 2005
*PSC-44-03-00009-P	exempt	Retail access data between jurisdictional utilities	To accommodate changes in retail access market structure or commission mandates
*PSC-47-03-00024-P	exempt	Lightened regulation and financing approval by Medford Energy LLC	To consider the requests
*PSC-02-04-00008-P	exempt	Delivery rates for Con Edison's customers in New York City and Westchester County by the City of New York	To rehear the Nov. 25, 2003 order
*PSC-06-04-00009-P	exempt	Transfer of ownership interest by SCS Energy LLC and AE Investors LLC	To transfer interest in Steinway Creek Electric Generating Company LLC to AE Investors LLC
*PSC-10-04-00005-P	exempt	Temporary protective order	To consider adopting a protective order
*PSC-10-04-00008-P	exempt	Interconnection agreement between Verizon New York Inc. and VIC-RMTS-DC, L.L.C. d/b/a Verizon Avenue	To amend the agreement
*PSC-14-04-00008-P	exempt	Submetering of natural gas service to industrial and commercial customers by Hamburg Fairgrounds	To submeter gas service to commercial customers located at the Buffalo Speedway
*PSC-15-04-00022-P	exempt	Submetering of electricity by Glenn Gardens Associates, L.P.	To permit submetering at 175 W. 87th St., New York, NY
*PSC-21-04-00013-P	exempt	Verizon performance assurance plan by Metropolitan Telecommunications	To clarify the appropriate performance level
*PSC-22-04-00010-P	exempt	Approval of new types of electricity meters by Powell Power Electric Company	To permit the use of the PE-1250 electronic meter
*PSC-22-04-00013-P	exempt	Major gas rate increase by Consolidated Edison Company of New York, Inc.	To increase annual gas revenues
*PSC-22-04-00016-P	exempt	Master metering of water by South Liberty Corporation	To waive the requirement for installation of separate water meters
*PSC-25-04-00012-P	exempt	Interconnection agreement between Frontier Communications of Ausable Valley, Inc., et al. and Sprint Communications Company, L.P.	To amend the agreement
*PSC-27-04-00008-P	exempt	Interconnection agreement between Verizon New York Inc. and various Verizon wireless affiliates	To amend the agreement
*PSC-27-04-00009-P	exempt	Interconnection agreement between Verizon New York Inc. and various Verizon wireless affiliates	To amend the agreement

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
*PSC-28-04-00006-P	exempt	Approval of loans by Dunkirk & Fredonia Telephone Company and Cassadaga Telephone Corporation	To authorize participation in the parent corporation's line of credit
*PSC-31-04-00023-P	exempt	Distributed generation service by Consolidated Edison Company of New York, Inc.	To provide an application form
*PSC-34-04-00031-P	exempt	Flat rate residential service by Emerald Green Lake Louise Marie Water Company, Inc.	To set appropriate level of permanent rates
*PSC-35-04-00017-P	exempt	Application form for distributed generation by Orange and Rockland Utilities, Inc.	To establish a new supplementary application form for customers
*PSC-43-04-00016-P	exempt	Accounts recievable by Rochester Gas and Electric Corporation	To include in its tariff provisions for the purchase of ESCO accounts recievable
*PSC-46-04-00012-P	exempt	Service application form by Consolidated Edison Company of New York, Inc.	To revise the form and make housekeeping changes
*PSC-46-04-00013-P	exempt	Rules and guidelines governing installation of metering equipment	To establish uniform statewide business practices
*PSC-02-05-00006-P	exempt	Violation of the July 22, 2004 order by Dutchess Estates Water Company, Inc.	To consider imposing remedial actions against the company and its owners, officers and directors
*PSC-09-05-00009-P	exempt	Submetering of natural gas service by Hamlet on Olde Oyster Bay	To consider submetering of natural gas to a commercial customer
*PSC-14-05-00006-P	exempt	Request for deferred accounting authorization by Freeport Electric Inc.	To defer expenses beyond the end of the fiscal year
*PSC-18-05-00009-P	exempt	Marketer Assignment Program by Consolidated Edison Company of New York, Inc.	To implement the program
*PSC-20-05-00028-P	exempt	Delivery point aggregation fee by Allied Frozen Storage, Inc.	To review the calculation of the fee
*PSC-25-05-00011-P	exempt	Metering, balancing and cashout provisions by Central Hudson Gas & Electric Corporation	To establish provisions for gas customers taking service under Service Classification Nos. 8, 9 and 11
*PSC-27-05-00018-P	exempt	Annual reconciliation of gas costs by New York State Electric & Gas Corporation	To consider the manner in which the gas cost incentive mechanism has been applied
*PSC-41-05-00013-P	exempt	Annual reconciliation of gas expenses and gas cost recoveries by local distribution companies and municipalities	To consider the filings
*PSC-45-05-00011-P	exempt	Treatment of lost and unaccounted gas costs by Corning Natural Gas Corporation	To defer certain costs
*PSC-46-05-00015-P	exempt	Sale of real and personal property by the Brooklyn Union Gas Company d/b/a KeySpan Energy Delivery New York and Steel Arrow, LLC	To consider the sale
*PSC-47-05-00009-P	exempt	Transferral of gas supplies by Corning Natural Gas Corporation	To approve the transfer

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
*PSC-50-05-00008-P	exempt	Long-term debt by Saratoga Glen Hollow Water Supply Corp.	To obtain long-term debt
*PSC-04-06-00024-P	exempt	Transfer of ownership interests by Mirant NY-Gen LLC and Orange and Rockland Utilities, Inc.	To approve of the transfer
*PSC-06-06-00015-P	exempt	Gas curtailment policies and procedures	To examine the manner and extent to which gas curtailment policies and procedures should be modified and/or established
*PSC-07-06-00009-P	exempt	Modification of the current Environmental Disclosure Program	To include an attributes accounting system
*PSC-22-06-00019-P	exempt	Hourly pricing by National Grid	To assess the impacts
*PSC-22-06-00020-P	exempt	Hourly pricing by New York State Electric & Gas Corporation	To assess the impacts
*PSC-22-06-00021-P	exempt	Hourly pricing by Rochester Gas & Electric Corporation	To assess the impacts
*PSC-22-06-00022-P	exempt	Hourly pricing by Consolidated Edison Company of New York, Inc.	To assess the impacts
*PSC-22-06-00023-P	exempt	Hourly pricing by Orange and Rockland Utilities, Inc.	To assess the impacts
*PSC-24-06-00005-EP	exempt	Supplemental home energy assistance benefits	To extend the deadline to Central Hudson's low-income customers
*PSC-25-06-00017-P	exempt	Purchased power adjustment by Massena Electric Department	To revise the method of calculating the purchased power adjustment and update the factor of adjustment
*PSC-34-06-00009-P	exempt	Inter-carrier telephone service quality standards and metrics by the Carrier Working Group	To incorporate appropriate modifications
*PSC-37-06-00015-P	exempt	Procedures for estimation of customer bills by Rochester Gas and Electric Corporation	To consider estimation procedures
*PSC-37-06-00017-P	exempt	Procedures for estimation of customer bills by Rochester Gas and Electric Corporation	To consider estimation procedures
*PSC-39-06-00018-P	exempt	Order establishing rate plan by Central Hudson Gas & Electric Corporation and the Consumer Protection Board	To consider the petitions for rehearing
*PSC-39-06-00019-P	exempt	Investigation of Richard M. Osborne by Corning Natural Gas Corporation	To determine the interests, plans and commitments that will be in place if he is successful in blocking the merger of Corning Gas and C&T Enterprises
*PSC-39-06-00022-P	exempt	Uniform business practices and related matters by U.S. Energy Savings Corporation	To establish a contest period
*PSC-40-06-00005-P	exempt	Orion Integral automatic meter reading transmitter by New York State Electric and Gas Corporation	To permit gas utilities in NYS to use the Badger Meter Incorporated Orion Integral transmitters

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
*PSC-42-06-00011-P	exempt	Submetering of electricity by 225 5th LLC	To submeter electricity at 255 Fifth Ave., New York, NY
*PSC-43-06-00014-P	exempt	Electric delivery services by Strategic Power Management, Inc.	To determine the proper mechanism for the rate-recovery of costs
*PSC-44-06-00014-P	exempt	Electric power outages in Northwest Queens by Consolidated Edison Company of New York, Inc.	To review the terms and conditions of the agreement
*PSC-45-06-00007-P	exempt	Alleged failure to provide electricity by Robert Andrews	To assess validity of allegations and appropriateness of fines
*PSC-01-07-00031-P	exempt	Enforcement mechanisms by National Fuel Gas Distribution Corporation	To modify enforcement mechanisms
*PSC-04-07-00012-P	exempt	Petition for rehearing by Orange and Rockland Utilities, Inc.	To clarify the order
*PSC-06-07-00015-P	exempt	Meter reading and billing practices by Central Hudson Gas & Electric Corporation	To continue current meter reading and billing practices for electric service
*PSC-06-07-00020-P	exempt	Meter reading and billing practices by Central Hudson Gas & Electric Corporation	To continue current meter reading and billing practices for gas service
*PSC-11-07-00010-P	exempt	Investigation of the electric power outages by the Consolidated Edison Company of New York, Inc.	To implement the recommendations in the staff's investigation
*PSC-11-07-00011-P	exempt	Storm-related power outages by Consolidated Edison Company of New York, Inc.	To modify the company's response to power outages, the timing for any such changes and other related matters
*PSC-17-07-00008-P	exempt	Interconnection agreement between Verizon New York Inc. and BridgeCom International, Inc.	To amend the agreement
*PSC-18-07-00010-P	exempt	Existing electric generating stations by Independent Power Producers of New York, Inc.	To repower and upgrade existing electric generating stations owned by Rochester Gas and Electric Corporation
*PSC-20-07-00016-P	exempt	Tariff revisions and making rates permanent by New York State Electric & Gas Corporation	To seek rehearing
*PSC-21-07-00007-P	exempt	Natural Gas Supply and Acquisition Plan by Corning Natural Gas Corporation	To revise the rates, charges, rules and regulations for gas service
*PSC-22-07-00015-P	exempt	Demand Side Management Program by Consolidated Edison Company of New York, Inc.	To recover incremental program costs and lost revenue
*PSC-23-07-00022-P	exempt	Supplier, transportation, balancing and aggregation service by National Fuel Gas Distribution Corporation	To explicitly state in the company's tariff that the threshold level of elective upstream transmission capacity is a maximum of 112,600 Dth/day of marketer-provided upstream capacity
*PSC-24-07-00012-P	exempt	Gas Efficiency Program by the City of New York	To consider rehearing a decision establishing a Gas Efficiency Program

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
*PSC-39-07-00017-P	exempt	Gas bill issuance charge by New York State Electric & Gas Corporation	To create a gas bill issuance charge unbundled from delivery rates
*PSC-41-07-00009-P	exempt	Submetering of electricity rehearing	To seek reversal
*PSC-42-07-00012-P	exempt	Energy efficiency program by Orange and Rockland Utilities, Inc.	To consider any energy efficiency program for Orange and Rockland Utilities, Inc.'s electric service
*PSC-42-07-00013-P	exempt	Revenue decoupling by Orange and Rockland Utilities, Inc.	To consider a revenue decoupling mechanism for Orange and Rockland Utilities, Inc.
*PSC-45-07-00005-P	exempt	Customer incentive programs by Orange and Rockland Utilities, Inc.	To establish a tariff provision
*PSC-02-08-00006-P	exempt	Additional central office codes in the 315 area code region	To consider options for making additional codes
*PSC-03-08-00006-P	exempt	Rehearing of the accounting determinations	To grant or deny a petition for rehearing of the accounting determinations
*PSC-04-08-00010-P	exempt	Granting of easement rights on utility property by Central Hudson Gas & Electric Corporation	To grant easement rights to Millennium Pipeline Company, L.L.C.
*PSC-04-08-00012-P	exempt	Marketing practices of energy service companies by the Consumer Protection Board and New York City Department of Consumer Affairs	To consider modifying the commission's regulation over marketing practices of energy service companies
*PSC-08-08-00016-P	exempt	Transfer of ownership by Entergy Nuclear Fitzpatrick LLC, et al.	To consider the transfer
*PSC-12-08-00019-P	exempt	Extend the provisions of the existing electric rate plan by Rochester Gas and Electric Corporation	To consider the request
*PSC-12-08-00021-P	exempt	Extend the provisions of the existing gas rate plan by Rochester Gas and Electric Corporation	To consider the request
*PSC-13-08-00011-P	exempt	Waiver of commission policy and NYSEG tariff by Turner Engineering, PC	To grant or deny Turner's petition
*PSC-13-08-00012-P	exempt	Voltage drops by New York State Electric & Gas Corporation	To grant or deny the petition
*PSC-23-08-00008-P	exempt	Petition requesting rehearing and clarification of the commission's April 25, 2008 order denying petition of public utility law project	To consider whether to grant or deny, in whole or in part, the May 7, 2008 Public Utility Law Project (PULP) petition for rehearing and clarification of the commission's April 25, 2008 order denying petition of Public Utility Law Project
*PSC-23-08-00009-P	exempt	The transfer of certain real property with an original cost under $100,000 in the Town of Throop	To consider the filing for the transfer of certain real property in the Town of Throop
*PSC-25-08-00007-P	exempt	Policies and procedures regarding the selection of regulatory proposals to meet reliability needs	To establish policies and procedures regarding the selection of regulatory proposals to meet reliability needs

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
*PSC-25-08-00008-P	exempt	Report on Callable Load Opportunities	Rider U report assessing callable load opportunities in New York City and Westchester County during the next 10 years
*PSC-28-08-00004-P	exempt	Con Edison's procedure for providing customers access to their account information	To consider Con Edison's implementation plan and timetable for providing customers access to their account information
*PSC-31-08-00025-P	exempt	Recovery of reasonable DRS costs from the cost mitigation reserve (CMR)	To authorize recovery of the DRS costs from the CMR
*PSC-32-08-00009-P	exempt	The ESCO referral program for KEDNY to be implemented by October 1, 2008	To approve, reject or modify, in whole or in part, KEDNY's recommended ESCO referral program
*PSC-33-08-00008-P	exempt	Noble Allegany's request for lightened regulation	To consider Noble Allegany's request for lightened regulation as an electric corporation
*PSC-36-08-00019-P	exempt	Land Transfer in the Borough of Manhattan, New York	To consider petition for transfer of real property to NYPH
*PSC-39-08-00010-P	exempt	RG&E's economic development plan and tariffs	Consideration of the approval of RG&E's economic development plan and tariffs
*PSC-40-08-00010-P	exempt	Loans from regulated company to its parent	To determine if the cash management program resulting in loans to the parent should be approved
*PSC-41-08-00009-P	exempt	Transfer of control of cable TV franchise	To determine if the transfer of control of Margaretville's cable TV subsidiary should be approved
*PSC-43-08-00014-P	exempt	Annual Reconcilliation of Gas Expenses and Gas Cost Recoveries	The filings of various LDCs and municipalities regarding their Annual Reconciliation of Gas Expenses and Gas Cost Recoveries
*PSC-46-08-00008-P	exempt	Property transfer in the Village of Avon, New York	To consider a petition for the transfer of street lighting and attached equipment to the Village of Avon, New York
*PSC-46-08-00010-P	exempt	A transfer of indirect ownership interests in nuclear generation facilities	Consideration of approval of a transfer of indirect ownership interests in nuclear generation facilities
*PSC-46-08-00014-P	exempt	The attachment of cellular antennae to an electric transmission tower	To approve, reject or modify the request for permission to attach cellular antennae to an electric transmission tower
*PSC-48-08-00005-P	exempt	A National Grid high efficiency gas heating equipment rebate program	To expand eligibility to customers converting from oil to natural gas
*PSC-48-08-00008-P	exempt	Petition for the master metering and submetering of electricity	To consider the request of Bay City Metering, to master meter & submeter electricity at 345 E. 81st St., New York, New York
*PSC-48-08-00009-P	exempt	Petition for the submetering of electricity	To consider the request of PCV/ST to submeter electricity at Peter Cooper Village & Stuyvesant Town, New York, New York
*PSC-50-08-00018-P	exempt	Market Supply Charge	A study on the implementation of a revised Market Supply Charge

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
*PSC-51-08-00006-P	exempt	Commission's October 27, 2008 Order on Future of Retail Access Programs in Case 07-M-0458	To consider a Petition for rehearing of the Commission's October 27, 2008 Order in Case 07-M-0458
*PSC-51-08-00007-P	exempt	Commission's October 27, 2008 Order in Cases 98-M-1343, 07-M-1514 and 08-G-0078	To consider Petitions for rehearing of the Commission's October 27, 2008 Order in Cases 98-M-1343, 07-M-1514 and 08-G-0078
*PSC-53-08-00011-P	exempt	Use of deferred Rural Telephone Bank funds	To determine if the purchase of a softswitch by Hancock is an appropriate use of deferred Rural Telephone Bank funds
*PSC-53-08-00012-P	exempt	Transfer of permanent and temporary easements at 549-555 North Little Tor Road, New City, NY	Transfer of permanent and temporary easements at 549-555 North Little Tor Road, New City, NY
*PSC-53-08-00013-P	exempt	To transfer common stock and ownership	To consider transfer of common stock and ownership
*PSC-01-09-00015-P	exempt	FCC decision to redefine service area of Citizens/Frontier	Review and consider FCC proposed redefinition of Citizens/Frontier service area
*PSC-02-09-00010-P	exempt	Competitive classification of independent local exchange company, and regulatory relief appropriate thereto	To determine if Chazy & Westport Telephone Corporation more appropriately belongs in scenario 1 rather than scenario 2
*PSC-05-09-00008-P	exempt	Revenue allocation, rate design, performance metrics, and other non-revenue requirement issues	To consider any remaining non-revenue requirement issues related to the Company's May 9, 2008 tariff filing
*PSC-05-09-00009-P	exempt	Numerous decisions involving the steam system including cost allocation, energy efficiency and capital projects	To consider the long term impacts on steam rates and on public policy of various options concerning the steam system
*PSC-06-09-00007-P	exempt	Interconnection of the networks between Frontier Comm. and WVT Communications for local exchange service and exchange access	To review the terms and conditions of the negotiated agreement between Frontier Comm. and WVT Comm.
*PSC-07-09-00015-P	exempt	Transfer certain utility assets located in the Town of Montgomery from plant held for future use to non-utility property	To consider the request to transfer certain utility assets located in the Town of Montgomery to non-utility assets
*PSC-07-09-00017-P	exempt	Request for authorization to defer the incremental costs incurred in the restoration work resulting from the ice storm	To allow the company to defer the incremental costs incurred in the restoration work resulting from the ice storm
*PSC-07-09-00018-P	exempt	Whether to permit the submetering of natural gas service to an industrial and commercial customer at Cooper Union, New York, NY	To consider the request of Cooper Union, to submeter natural gas at 41 Cooper Square, New York, New York
*PSC-12-09-00010-P	exempt	Charges for commodity	To charge customers for commodity costs
*PSC-12-09-00012-P	exempt	Charges for commodity	To charge customers for commodity costs
*PSC-13-09-00008-P	exempt	Options for making additional central office codes available in the 718/347 numbering plan area	To consider options for making additional central office codes available in the 718/347 numbering plan area
*PSC-14-09-00014-P	exempt	The regulation of revenue requirements for municipal utilities by the Public Service Commission	To determine whether the regulation of revenue requirements for municipal utilities should be modified

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
*PSC-16-09-00010-P	exempt	Petition for the submetering of electricity	To consider the request of AMPS on behalf of Park Imperial to submeter electricity at 230 W. 56th Street, in New York, New York
*PSC-16-09-00020-P	exempt	Whether SUNY's core accounts should be exempt from the mandatory assignment of local distribution company (LDC) capacity	Whether SUNY's core accounts should be exempt from the mandatory assignment of local distribution company (LDC) capacity
*PSC-17-09-00010-P	exempt	Whether to permit the use of Elster REX2 solid state electric meter for use in residential and commerical accounts	To permit electric utilities in New York State to use the Elster REX2
*PSC-17-09-00011-P	exempt	Whether Brooklyn Navy Yard Cogeneration Partners, L.P. should be reimbursed by Con Edison for past and future use taxes	Whether Brooklyn Navy Yard Cogeneration Partners, L.P. should be reimbursed by Con Edison for past and future use taxes
*PSC-17-09-00012-P	exempt	Petition for the submetering of gas at commercial property	To consider the request of Turner Construction, to submeter natural gas at 550 Short Ave., & 10 South St., Governors Island, NY
*PSC-17-09-00014-P	exempt	Benefit-cost framework for evaluating AMI programs prepared by the DPS Staff	To consider a benefit-cost framework for evaluating AMI programs prepared by the DPS Staff
*PSC-17-09-00015-P	exempt	The construction of a tower for wireless antennas on land owned by National Grid	To approve, reject or modify the petition to build a tower for wireless antennas in the Town of Onondaga
*PSC-18-09-00012-P	exempt	Petition for rehearing of Order approving the submetering of electricity	To consider the request of Frank Signore to rehear petition to submeter electricity at One City Place in White Plains, New York
*PSC-18-09-00013-P	exempt	Petition for the submetering of electricity	To consider the request of Living Opportunities of DePaul to submeter electricity at E. Main St. located in Batavia, New York
*PSC-18-09-00017-P	exempt	Approval of an arrangement for attachment of wireless antennas to the utility's transmission facilities in the City of Yonkers	To approve, reject or modify the petition for the existing wireless antenna attachment to the utility's transmission tower
*PSC-20-09-00016-P	exempt	The recovery of, and accounting for, costs associated with the Companies' advanced metering infrastructure (AMI) pilots etc	To consider a filing of the Companies as to the recovery of, and accounting for, costs associated with it's AMI pilots etc
*PSC-20-09-00017-P	exempt	The recovery of, and accounting for, costs associated with CHG&E's AMI pilot program	To consider a filing of CHG&E as to the recovery of, and accounting for, costs associated with it's AMI pilot program
*PSC-22-09-00011-P	exempt	Cost allocation for Consolidated Edison's East River Repowering Project	To determine whether any changes are warranted in the cost allocation of Consolidated Edison's East River Repowering Project
*PSC-25-09-00005-P	exempt	Whether to grant, deny, or modify, in whole or in part, the petition	Whether to grant, deny, or modify, in whole or in part, the petition
*PSC-25-09-00006-P	exempt	Electric utility implementation plans for proposed web based SIR application process and project status database	To determine if the proposed web based SIR systems are adequate and meet requirements needed for implementation
*PSC-25-09-00007-P	exempt	Electric rates for Consolidated Edison Company of New York, Inc	Consider a Petition for Rehearing filed by Consolidated Edison Company of New York, Inc

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
*PSC-27-09-00011-P	exempt	Interconnection of the networks between Vernon and tw telecom of new york l.p. for local exchange service and exchange access.	To review the terms and conditions of the negotiated agreement between Vernon and tw telecom of new york l.p.
*PSC-27-09-00014-P	exempt	Billing and payment for energy efficiency measures through utility bill	To promote energy conservation
*PSC-27-09-00015-P	exempt	Interconnection of the networks between Oriskany and tw telecom of new york l.p. for local exchange service and exchange access	To review the terms and conditions of the negotiated agreement between Oriskany and tw telecom of new york l.p
*PSC-29-09-00006-P	exempt	Petition for the submetering of electricity at a residential senior citizen facility	To consider the request of Shinda Management Corp. to submeter electricity at 107-37 166th Street, Jamaica, New York
*PSC-29-09-00011-P	exempt	Consideration of utility compliance filings	Consideration of utility compliance filings
*PSC-32-09-00009-P	exempt	Cost allocation for Consolidated Edison's East River Repowering Project	To determine whether any changes are warranted in the cost allocation of Consolidated Edison's East River Repowering Project
*PSC-34-09-00016-P	exempt	Recommendations made in the Management Audit Final Report	To consider whether to take action or recommendations contained in the Management Audit Final Report
*PSC-34-09-00017-P	exempt	To consider the transfer of control of Plattsburgh Cablevision, Inc. d/b/a Charter Communications to CH Communications, LLC	To allow the Plattsburgh Cablevision, Inc. to distribute its equity interest in CH Communications, LLC
*PSC-36-09-00008-P	exempt	The increase in the non-bypassable charge implemented by RG&E on June 1, 2009	Considering exemptions from the increase in the non-bypassable charge implemented by RG&E on June 1, 2009
*PSC-37-09-00015-P	exempt	Sale of customer-generated steam to the Con Edison steam system	To establish a mechanism for sale of customer-generated steam to the Con Edison steam system
*PSC-37-09-00016-P	exempt	Applicability of electronic signatures to Deferred Payment Agreements	To determine whether electronic signatures can be accepted for Deferred Payment Agreements
*PSC-39-09-00015-P	exempt	Modifications to the $5 Bill Credit Program	Consideration of petition of National Grid to modify the Low Income $5 Bill Credit Program
*PSC-39-09-00018-P	exempt	The offset of deferral balances with Positive Benefit Adjustments	To consider a petition to offset deferral balances with Positive Benefit Adjustments
*PSC-40-09-00013-P	exempt	Uniform System of Accounts - request for deferral and amortization of costs	To consider a petition to defer and amortize costs
*PSC-51-09-00029-P	exempt	Rules and guidelines for the exchange of retail access data between jurisdictional utilities and eligible ESCOs	To revise the uniform Electronic Data Interchange Standards and business practices to incorporate a contest period
*PSC-51-09-00030-P	exempt	Waiver or modification of Capital Expenditure condition of merger	To allow the companies to expend less funds for capital improvement than required by the merger
*PSC-52-09-00006-P	exempt	ACE's petition for rehearing for an order regarding generator-specific energy deliverability study methodology	To consider whether to change the Order Prescribing Study Methodology

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
*PSC-52-09-00008-P	exempt	Approval for the New York Independent System Operator, Inc. to incur indebtedness and borrow up to $50,000,000	To finance the renovation and construction of the New York Independent System Operator, Inc.'s power control center facilities
*PSC-05-10-00008-P	exempt	Petition for the submetering of electricity	To consider the request of University Residences - Rochester, LLC to submeter electricity at 220 John Street, Henrietta, NY
*PSC-05-10-00015-P	exempt	Petition for the submetering of electricity	To consider the request of 243 West End Avenue Owners Corp. to submeter electricity at 243 West End Avenue, New York, NY
*PSC-06-10-00022-P	exempt	The Commission's Order of December 17, 2009 related to redevelopment of Consolidated Edison's Hudson Avenue generating facility	To reconsider the Commission's Order of December 17, 2009 related to redevelopment of the Hudson Avenue generating facility
*PSC-07-10-00009-P	exempt	Petition to revise the Uniform Business Practices	To consider the RESA petition to allow rescission of a customer request to return to full utility service
*PSC-08-10-00007-P	exempt	Whether to grant, deny, or modify , in whole or in part, the rehearing petition filed in Case 06-E-0847	Whether to grant, deny, or modify , in whole or in part, the rehearing petition filed in Case 06-E-0847
*PSC-08-10-00009-P	exempt	Consolidated Edison of New York, Inc. energy efficiency programs	To modify approved energy efficiency programs
*PSC-12-10-00015-P	exempt	Recommendations made by Staff intended to enhance the safety of Con Edison's gas operations	To require that Con Edison implement the Staff recommendations intended to enhance the safety of Con Edison's gas operations
*PSC-14-10-00010-P	exempt	Petition for the submetering of electricity	To consider the request of 61 Jane Street Owners Corporation to submeter Electricity at 61 Jane Street, Manhattan, NY
*PSC-16-10-00005-P	exempt	To consider adopting and expanding mobile stray voltage testing requirements	Adopt additional mobile stray voltage testing requirements
*PSC-16-10-00007-P	exempt	Interconnection of the networks between TDS Telecom and PAETEC Communications for local exchange service and exchange access	To review the terms and conditions of the negotiated agreement between TDS Telecom and PAETEC Communications
*PSC-16-10-00015-P	exempt	Interconnection of the networks between Frontier and Choice One Communications for local exchange service and exchange access	To review the terms and conditions of the negotiated agreement between Frontier and Choice One Communications
*PSC-18-10-00009-P	exempt	Electric utility transmission right-of-way management practices	To consider electric utility transmission right-of-way management practices
*PSC-19-10-00022-P	exempt	Whether National Grid should be permitted to transfer a parcel of property located at 1 Eddy Street, Fort Edward, New York	To decide whether to approve National Grid's request to transfer a parcel of vacant property in Fort Edward, New York
*PSC-22-10-00006-P	exempt	Requirement that Noble demonstrate that its affiliated electric corporations operating in New York are providing safe service	Consider requiring that Noble demonstrate that its affiliated electric corporations in New York are providing safe service
*PSC-22-10-00008-P	exempt	Petition for the submetering of electricity	To consider the request of 48-52 Franklin Street to submeter electricity at 50 Franklin Street, New York, New York

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
*PSC-24-10-00009-P	exempt	Verizon New York Inc. tariff regulations relating to voice messaging service	To remove tariff regulations relating to retail voice messaging service from Verizon New York Inc.'s tariff
*PSC-25-10-00012-P	exempt	Reassignment of the 2-1-1 abbreviated dialing code	Consideration of petition to reassign the 2-1-1 abbreviated dialing code
*PSC-25-10-00015-P	exempt	To allow NYWC to defer and amortize, for future rate recognition, pension settlement payout losses incurred in 2009	Consideration of NYWC's petition to defer and amortize, for future rate recognition, pension payout losses incurred in 2009
*PSC-27-10-00016-P	exempt	Petition for the submetering of electricity	To consider the request of 9271 Group, LLC to submeter electricity at 960 Busti Avenue, Buffalo, New York
*PSC-31-10-00007-P	exempt	Waiver of the Attachment 23 requirement in 2001 Rate Order that NMPC Board of Directors consist of ''outside directors''	To consider the waiver of the requirement that a majority of NMPC Board of directors consist of ''outside directors''
*PSC-34-10-00003-P	exempt	The modification of Central Hudson Gas & Electric Corporation's Enhanced Powerful Opportunities Program	The modification of Central Hudson Gas & Electric Corporation's Enhanced Powerful Opportunities Program
*PSC-34-10-00005-P	exempt	Approval of a contract for $250,000 in tank repairs that may be a financing	To decide whether to approve a contract between the parties that may be a financing of $250,000 for tank repairs
*PSC-34-10-00006-P	exempt	The modification of Central Hudson Gas & Electric Corporation's Enhanced Powerful Opportunities Program	The modification of Central Hudson Gas & Electric Corporation's Enhanced Powerful Opportunities Program
*PSC-36-10-00010-P	exempt	Central Hudson's procedures, terms and conditions for an economic development plan	Consideration of Central Hudson's procedures, terms and conditions for an economic development plan
*PSC-40-10-00014-P	exempt	Disposition of a state sales tax refund	To determine how much of a state sales tax refund should be retained by National Grid
*PSC-40-10-00021-P	exempt	Whether to permit the submetering of natural gas service to a commercial customer at Quaker Crossing Mall	To permit the submetering of natural gas service to a commercial customer at Quaker Crossing Mall
*PSC-41-10-00018-P	exempt	Amount of hourly interval data provided to Hourly Pricing customers who have not installed a phone line to read meter	Allow Central Hudson to provide less than a years worth of interval data and charge for manual meter reading for some customers
*PSC-41-10-00022-P	exempt	Request for waiver of the individual living unit metering requirements at 5742 Route 5, Vernon, NY	Request for waiver of the individual living unit metering requirements at 5742 Route 5, Vernon, NY
*PSC-42-10-00011-P	exempt	Petition for the submetering of electricity	To consider the request of 4858 Group, LLC to submeter electricity at 456 Main Street, Buffalo, New York
*PSC-43-10-00016-P	exempt	Utility Access to Ducts, Conduit Facilities and Utility Poles	To review the complaint from Optical Communications Group
*PSC-44-10-00003-P	exempt	Third and fourth stage gas rate increase by Corning Natural Gas Corporation	To consider Corning Natural Gas Corporation's request for a third and fourth stage gas rate increase

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
*PSC-51-10-00018-P	exempt	Commission proceeding concerning three-phase electric service by all major electric utilities	Investigate the consistency of the tariff provisions for three-phase electric service for all major electric utilities
*PSC-11-11-00003-P	exempt	The proposed transfer of 55.42 acres of land and $1.4 million of revenues derived from the rendition of public service	The proposed transfer of 55.42 acres of land and $1.4 million of revenues derived from the rendition of public service
*PSC-12-11-00008-P	exempt	To allow NYWC to defer and amortize, for future rate recognition, pension settlement payout losses incurred in 2010	Consideration of NYWC's petition to defer and amortize, for future rate recognition, pension payout losses incurred in 2010
*PSC-13-11-00005-P	exempt	Exclude the minimum monthly bill component from the earnings test calculation	Exclude the minimum monthly bill component from the earnings test calculation
*PSC-13-11-00007-P	exempt	Budget allocations and use of System Benefits Charge funds to pay State Cost Recovery Fee	To encourage cost effective gas and electric energy conservation in the State
*PSC-14-11-00009-P	exempt	Petition for the submetering of electricity	To consider the request of 83-30 118th Street to submeter electricity at 83-30 118th Street, Kew Gardens, New York
*PSC-16-11-00011-P	exempt	The Energy Efficiency Portfolio Standard	To promote gas and electricity energy conservation programs in New York
*PSC-19-11-00007-P	exempt	Utility price reporting requirements related to the Commission's ''Power to Choose'' website	Modify the Commission's utility electric commodity price reporting requirements related to the ''Power to Choose'' website
*PSC-20-11-00012-P	exempt	Petition for the submetering of electricity	To consider the request of KMW Group LLC to submeter electricity at 122 West Street, Brooklyn, New York
*PSC-20-11-00013-P	exempt	Determining the reasonableness of Niagara Mohawk Power Corporation d/b/a National Grid 's make ready charges	To determine if the make ready charges of Niagara Mohawk Power Corporation d/b/a National Grid are reasonable
*PSC-22-11-00004-P	exempt	Whether to permit the use of the Sensus accWAVE for use in residential gas meter applications	To permit gas utilities in New York State to use the Sensus accWAVE diaphragm gas meter
*PSC-23-11-00018-P	exempt	NYSERDA's energy efficiency program for low-income customers	To promote energy conservation in New York State
*PSC-26-11-00007-P	exempt	Water rates and charges	To approve an increase in annual revenues by about $25,266 or 50%
*PSC-26-11-00009-P	exempt	Petition for the submetering of electricity at commercial property	To consider the request of by Hoosick River Hardwoods, LLC to submeter electricity at 28 Taylor Avenue, in Berlin, New York
*PSC-26-11-00012-P	exempt	Waiver of generation retirement notice requirements	Consideration of waiver of generation retirement notice requirements
*PSC-29-11-00011-P	exempt	Petition requesting the Commssion reconsider its May 19, 2011 Order and conduct a hearing, and petition to stay said Order.	To consider whether to grant or deny, in whole or in part, Windstream New York's Petition For Reconsideration and Rehearing.
*PSC-33-11-00017-P	exempt	Petition for the submetering of electricity	To consider the request of 56-7th Avenue LLC to submeter electricity at 56-7th Avenue, New York, New York

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
*PSC-35-11-00011-P	exempt	Whether to permit Consolidated Edison a waiver to commission regulations Part 226.8	Permit Consolidated Edison to conduct a inspection program in lieu of testing the accuracy of Category C meters
*PSC-36-11-00006-P	exempt	To consider expanding mobile stray voltage testing requirements	Adopt additional mobile stray voltage testing requirements
*PSC-38-11-00002-P	exempt	Operation and maintenance procedures pertaining to steam trap caps	Adopt modified steam operation and maintenance procedures
*PSC-38-11-00003-P	exempt	Waiver of certain provisions of the electric service tariffs of Con Edison	Consideration of waiver of certain provisions of the electric service tariffs of Con Edison
*PSC-40-11-00010-P	exempt	Participation of regulated local exchange carriers in the New York Data Exchange, Inc. (NYDE)	Whether to partially modify its order requiring regulated local exchange carriers' participation NYDE
*PSC-40-11-00012-P	exempt	Granting of transfer of plant in-service to a regulatory asset	To approve transfer and recovery of unamortized plant investment
*PSC-42-11-00018-P	exempt	Availability of telecommunications services in New York State at just and reasonable rates	Providing funding support to help ensure availability of affordable telecommunications service throughout New York
*PSC-43-11-00012-P	exempt	Transfer of outstanding shares of stock	Transfer the issued outstanding shares of stock of The Meadows at Hyde Park Water-Works Corporation to HPWS, LLC
*PSC-47-11-00007-P	exempt	Remedying miscalculations of delivered gas as between two customer classes	Consideration of Con Edison's proposal to address inter-class delivery imbalances resulting from past Company miscalculations
*PSC-48-11-00007-P	exempt	Transfer of controlling interests in generation facilities from Dynegy to PSEG	Consideration of the transfer of controlling interests in electric generation facilities from Dynegy to PSEG
*PSC-48-11-00008-P	exempt	Petition for the submetering of electricity	To consider the request of To Better Days, LLC to submeter electricity at 37 East 4th Street, New York, New York
*PSC-51-11-00010-P	exempt	The Total Resource Cost (TRC) test, used to analyze measures in the Energy Efficiency Portfolio Standard program	Petitioners request that the TRC test and/or its application to measures should be revised
*PSC-52-11-00017-P	exempt	Reparations and refunds	Reparations and refunds
*PSC-01-12-00007-P	exempt	The New York State Reliability Council's revisions to its rules and measurements	To adopt revisions to various rules and measurements of the New York State Reliability Council
*PSC-01-12-00008-P	exempt	Transfer of real property and easements from NMPNS to NMP3	Consideration of the transfer of real property and easements from NMPNS to NMP3
*PSC-01-12-00009-P	exempt	Recovery of expenses related to the expansion of Con Edison's ESCO referral program, PowerMove	To determine how and to what extent expenses related to the Expansion of Con Edison's ESCO referral program should be recovered
*PSC-11-12-00002-P	exempt	Whether to grant, deny or modify, in whole or part, Hegeman's petition for a waiver of Commission policy and Con Edison tariff	Whether to grant, deny or modify, in whole or part, Hegeman's petition for a waiver of Commission policy and Con Edison tariff

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
*PSC-11-12-00005-P	exempt	Transfer of land and water supply assets	Transfer the land and associated water supply assets of Groman Shores, LLC to Robert Groman
*PSC-13-12-00005-P	exempt	Authorization to transfer certain real property	To decide whether to approve the transfer of certain real property
*PSC-17-12-00007-P	exempt	Whether a proposed agreement for the provision of water service by Saratoga Water Services, Inc. is in the public interest	Whether the Commission should issue an order approving the proposed provision of water service
*PSC-17-12-00008-P	exempt	Whether a proposed agreement for the provision of water service by Saratoga Water Services, Inc. is in the public interest	Whether the Commission should issue an order approving the proposed provision of water service
*PSC-17-12-00009-P	exempt	Whether a proposed agreement for the provision of water service by Saratoga Water Services, Inc. is in the public interest	Whether the Commission should issue an order approving the proposed provision of water service
*PSC-19-12-00019-P	exempt	EEPS programs administered by New York State Electric & Gas Corporation and Rochester Gas and Electric Corporation	To modify the C&I sector by combining multiple approved C&I programs into a single C&I program for each PA
*PSC-19-12-00022-P	exempt	Approval of a combined heat and power performance program funding plan administered by NYSERDA	Modify NYSERDA's EEPS programs budget and targets to fund the CHP program
*PSC-19-12-00023-P	exempt	Petition for approval pursuant to Section 70 for the sale of goods with an original cost of less than $100,000	To consider whether to grant, deny or modify, in whole or in part, the petition filed by Orange and Rockland Utilities, Inc.
*PSC-21-12-00006-P	exempt	Tariff filing requirements and refunds	To determine if certain agreements should be filed pursuant to the Public Service Law and if refunds are warranted
*PSC-21-12-00011-P	exempt	Whether to grant, deny or modify, in whole or part, the petition for waiver of tariff Rules 8.6 and 47	Whether to grant, deny or modify, in whole or part, the petition for waiver of tariff Rules 8.6 and 47
*PSC-23-12-00005-P	exempt	EEPS multifamily programs administered by Consolidated Edison Company of New York, Inc.	To redesign the multifamily electric and gas programs and modify the budgets and targets
*PSC-23-12-00007-P	exempt	The approval of a financing upon a transfer to Alliance of upstream ownership interests in a generation facility	To consider the approval of a financing upon a transfer to Alliance of upstream ownership interests in a generation facility
*PSC-23-12-00009-P	exempt	Over earnings sharing between rate payers and shareholders	To establish an Earnings Sharing Mechanism to be applied following the conclusion of Corning's rate plan
*PSC-27-12-00012-P	exempt	Implementation of recommendations made in a Management Audit Report	To consider implementation of recommendations made in a Management Audit Report
*PSC-28-12-00013-P	exempt	Exemption of reliability reporting statistics for the purpose of the 2012 Reliability Performance Mechanism	Consideration of Orange and Rockland Utilities request for exemption of the 2012 reliability reporting statistics
*PSC-29-12-00019-P	exempt	Waiver of 16 NYCRR 894.1 through 894.4	To allow the Town of Hamden to waive certain preliminary franchising procedures to expedite the franchising process.

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
*PSC-30-12-00010-P	exempt	Waiver of 16 NYCRR 894.1 through 894.4	To allow the Town of Andes to waive certain preliminary franchising procedures to expedite the franchising process
*PSC-33-12-00009-P	exempt	Telecommunications companies ability to attach to utility company poles	Consideration of Tech Valley's ability to attach to Central Hudson poles
*PSC-35-12-00014-P	exempt	To implement an abandonment of White Knight's water system	To approve the implementation of abandonment of White Knight's water system
*PSC-37-12-00009-P	exempt	Proposed modification by Con Edison of its procedures to calculate estimated bills to its customers	Proposed modification by Con Edison of its procedures to calculate estimated bills to its customers
*PSC-42-12-00007-P	exempt	Petition for the submetering of electricity	To consider the request of 215 West 91st Street Corp. to submeter electricity at 215 West 91st Street, New York, New York
*PSC-42-12-00009-P	exempt	Regulation of Gipsy Trail Club, Inc.'s long-term financing agreements	To exempt Gipsy Trail Club, Inc. from Commission regulation of its financing agreements
*PSC-45-12-00008-P	exempt	Whether to grant, deny or modify, in whole or part, ESHG's petition for a waiver of Commission policy and RG&E tariff	Whether to grant, deny or modify, in whole or part, ESHG's petition for a waiver of Commission policy and RG&E tariff
*PSC-45-12-00010-P	exempt	Whether to grant, deny or modify, in whole or in part the petition of Con Edison to grant easements to Millwood Fire District	Whether to grant, deny or modify, in whole or in part the petition of Con Edison to grant easements to Millwood Fire District
*PSC-50-12-00003-P	exempt	Affiliate standards for Corning Natural Gas Corporation	To resolve issues raised by Corning Natural Gas Corporation in its petition for rehearing
*PSC-04-13-00006-P	exempt	Expansion of mandatory day ahead hourly pricing for customers of Orange and Rockland Utilities with demands above 100 kW	To consider the expansion of mandatory day ahead hourly pricing for customers with demands above 100 kW
*PSC-04-13-00007-P	exempt	Authorization to transfer certain real property.	To decide whether to approve the transfer of certain real property.
*PSC-06-13-00008-P	exempt	Verizon New York Inc.'s retail service quality	To investigate Verizon New York Inc.'s retail service quality
*PSC-08-13-00012-P	exempt	Filing requirements for certain Article VII electric facilities	To ensure that applications for certain electric transmission facilities contain pertinent information
*PSC-08-13-00014-P	exempt	Uniform System of Accounts - Request for Accounting Authorization	To allow the company to defer an item of expense or capital beyond the end of the year in which it was incurred
*PSC-12-13-00007-P	exempt	Protecting company water mains	To allow the company to require certain customers to make changes to the electrical grounding system at their homes
*PSC-13-13-00008-P	exempt	The potential waiver of 16 NYCRR 255.9221(d) completion of integrity assessments for certain gas transmission lines.	To determine whether a waiver of the timely completion of certain gas transmission line integrity assessments should be granted.
*PSC-14-13-00005-P	exempt	Recovery of incremental expense.	To consider petition for recovery of incremental expense.

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
*PSC-17-13-00008-P	exempt	Provision of historical utility pricing information for comparison purposes for residential ESCO customers	Provision of historical utility pricing information for comparison purposes for residential ESCO customers
*PSC-17-13-00010-P	exempt	Provision of historical pricing information for comparison purposes for residential ESCO customers	Provision of historical pricing information for comparison purposes for residential ESCO customers
*PSC-18-13-00007-P	exempt	Whether Demand Energy Networks energy storage systems should be designated technologies for standby rate eligibility purposes	Whether Demand Energy Networks energy storage systems should be designated technologies for standby rate eligibility purposes
*PSC-20-13-00008-P	exempt	Relief of the exhausting 315 Area Code	To reinstate the relief process for the 315 area code region beyond 2015
*PSC-21-13-00003-P	exempt	To consider policies that may impact consumer acceptance and use of electric vehicles	To consider and further develop policies that may impact consumer acceptance and use of electric vehicles
*PSC-21-13-00005-P	exempt	To implement an abandonment of Windover's water system	To approve the implementation of abandonment of Windover's water system
*PSC-21-13-00008-P	exempt	Rates of National Fuel Gas Distribution Corporation	To make the rates of National Fuel Gas Distribution Corporation temporary, subject to refund, if they are found to be excessive
*PSC-21-13-00009-P	exempt	Reporting requirements for natural gas local distribution companies	To help ensure efficient and economic expansion of the natural gas system as appropriate
*PSC-22-13-00009-P	exempt	On remand from New York State court litigation, determine the recovery of certain deferred amounts owed NFG by ratepayers	On remand, to determine the recovery of certain deferral amounts owed NFG from ratepayers
*PSC-23-13-00005-P	exempt	Waiver of partial payment, directory database distribution, service quality reporting, and service termination regulations	Equalize regulatory treatment based on level of competition and practical considerations
*PSC-24-13-00009-P	exempt	Repowering options for the Cayuga generating station located in Lansing, New York, and alternatives	To establish whether utility plans should include repowering options for the Cayuga generating station, or other alternatives
*PSC-24-13-00010-P	exempt	Repowering options for the Dunkirk generating station located in Dunkirk, New York, and alternatives	To establish whether utility plans should include repowering options for the Dunkirk generating station, or other alternatives
*PSC-25-13-00008-P	exempt	To deny, grant or modify, in whole or in part, Central Hudson's rehearing request.	To deny, grant or modify, in whole or in part, Central Hudson's rehearing request.
*PSC-25-13-00009-P	exempt	Provision by utilities of natural gas main and service lines.	To help ensure efficient and economic expansion of the natural gas system as appropriate.
*PSC-25-13-00011-P	exempt	Waiver of certain Commission requirements related to provision of customer information to credit reporting agencies.	To waive a utility's right to provide information to credit reporting agencies related to customers' payment histories.
*PSC-25-13-00012-P	exempt	To deny, grant or modify, in whole or in part, Central Hudson's rehearing request.	To deny, grant or modify, in whole or in part, Central Hudson's rehearing request.

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
*PSC-27-13-00014-P	exempt	Columbia Gas Transmission Corporation Cost Refund	For approval for temporary waiver of tariff provisions regarding its Columbia Gas Transmission Corporation cost refund.
*PSC-28-13-00014-P	exempt	Provision for the recovery and allocation of costs of transmission projects that reduce congestion on certain interfaces	To consider the recovery and allocation of costs of transmission projects that reduce congestion on certain interfaces
*PSC-28-13-00016-P	exempt	The request of NGT for lightened regulation as a gas corporation.	To consider whether to approve, reject, or modify the request of Niagara gas transport of Lockport, NY LLC.
*PSC-28-13-00017-P	exempt	The request by TE for waiver of regulations requiring that natural gas be odorized in certain gathering line segments	Consider the request by TE for waiver of regulations that gas be odorized in certain lines
*PSC-32-13-00009-P	exempt	To consider the definition of ''misleading or deceptive conduct'' in the Commission's Uniform Business Practices	To consider the definition of ''misleading or deceptive conduct'' in the Commission's Uniform Business Practices
*PSC-32-13-00010-P	exempt	Permission to write off and eliminate record keeping for regulatory reserves for Pensions and Other Post Retirement Benefits	To allow write off and eliminate record keeping of Pension and Other Post Retirement Benefits Reserves
*PSC-32-13-00012-P	exempt	To consider whether NYSEG should be required to undertake actions to protect its name and to minimize customer confusion	To consider whether NYSEG should be required to undertake actions to protect its name and to minimize customer confusion
*PSC-33-13-00027-P	exempt	Waive underground facility requirements for new construction in residential subdivisions to allow for overhead electric lines.	Determine whether Chapin Lumberland, LLC subdivision will be allowed overhead electric distribution and service lines.
*PSC-33-13-00029-P	exempt	Deferral of incremental costs associated with the restoration of steam service following Superstorm Sandy.	To consider a petition by Con Edison to defer certain incremental steam system restoration costs relating to Superstorm Sandy.
*PSC-34-13-00004-P	exempt	Escrow account and surcharge to fund extraordinary repairs	To approve the establishment of an escrow account and surcharge
*PSC-37-13-00007-P	exempt	Dissolution of Garrow Water Works Company, Inc..	To allow for the dissolution of Garrow Water Works Company, Inc.
*PSC-39-13-00010-P	exempt	NY-Sun initiative within the Customer-Sited Tier of the RPS Program.	To increase the statewide adoption of customer sited photovoltaic solar generation through the NY-Sun Initiative.
*PSC-42-13-00013-P	exempt	Failure to Provide Escrow Information	The closure of the Escrow Account
*PSC-42-13-00015-P	exempt	Failure to Provide Escrow Information	The closure of the Escrow Account
*PSC-43-13-00015-P	exempt	Petition for submetering of electricity	To consider the request of 2701 Kingsbridge Terrace L.P. to submeter electricity at 2701 Kingsbridge Terrace, Bronx, N.Y.
*PSC-45-13-00021-P	exempt	Investigation into effect of bifurcation of gas and electric utility service on Long Island.	To consider a Petition for an investigation into effect of bifurcation of gas and electric utility service on Long Island.
*PSC-45-13-00022-P	exempt	Waiver of PSC regulations, 16 NYCRR section 88.4(a)(4)	To consider a waiver of certain regulations relating to the content of an application for transmission line siting

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
*PSC-45-13-00023-P	exempt	Waiver of PSC regulations, 16 NYCRR section 88.4(a)(4).	To consider a waiver of certain regulations relating to the content of an application for transmission line siting
*PSC-45-13-00024-P	exempt	Waiver of PSC regulations, 16 NYCRR section 88.4(a)(4); waiver of filing deadlines.	To consider a waiver of certain regulations relating to the content of an application for transmission line siting
*PSC-45-13-00025-P	exempt	Waiver of PSC regulations, 16 NYCRR section 88.4(a)(4).	To consider a waiver of certain regulations relating to the content of an application for transmission line siting
*PSC-47-13-00009-P	exempt	Petition for submetering of electricity.	To consider the request of Hegeman Avenue Housing L.P. to submeter electricity at 39 Hegeman Avenue, Brooklyn, N.Y.
*PSC-47-13-00012-P	exempt	Conditioning,restricting or prohibiting the purchase of services by NYSEG and RG&E from certain affiliates.	Consideration of conditioning,restricting or prohibiting the purchase of services by NYSEG and RG&E from certain affiliates.
*PSC-49-13-00008-P	exempt	Authorization to transfer all of Crystal Water Supply Company, Inc. stocks to Essel Infra West Inc.	To allow Crystal Water Supply Company, Inc to transfer all of its issued and outstanding stocks to Essel Infra West Inc.
*PSC-51-13-00009-P	exempt	Consolidated Edison proposing to use data from a test period ending September 30, 2013 to support its next rate filing.	To ensure there is a reasonable basis for data submitted in support of a request for a change in rates.
*PSC-51-13-00010-P	exempt	Consolidated Edison proposing to use data from a test period ending September 30, 2013 to support its next rate filing.	To ensure there is a reasonable basis for data submitted in support of a request for a change in rates.
*PSC-51-13-00011-P	exempt	Consolidated Edison proposing to use data from a test period ending September 30, 2013 to support its next rate filing.	To ensure there is a reasonable basis for data submitted in support of a request for a change in rates.
*PSC-52-13-00012-P	exempt	The development of reliability contingency plan(s) to address the potential retirement of Indian Point Energy Center (IPEC).	To address the petition for rehearing and reconsideration/motion for clarification of the IPEC reliability contingency plan(s).
*PSC-52-13-00015-P	exempt	To enter into a loan agreement with the banks for up to an amount of $94,000.	To consider allowing Knolls Water Company to enter into a long-term loan agreement.
*PSC-01-14-00017-P	exempt	Residential Time-of-Use Rates	To establish residential optional time of use delivery and commodity rates
*PSC-03-14-00009-P	exempt	disposition of tax refunds and other related matters	to determine the disposition of tax refunds and other related matters
*PSC-04-14-00005-P	exempt	National Fuel Gas Corporation's Conservation Incentive Programs.	To modify National Fuel Gas Corporation's Non-Residential Conservation Incentive Program.
*PSC-05-14-00010-P	exempt	The New York State Reliability Council's revisions to its rules and measurements	To adopt revisions to various rules and measurements of the New York State Reliability Council
*PSC-07-14-00008-P	exempt	Petition for submetering of electricity	To consider the request of Greater Centennial Homes HDFC, Inc. to submeter electricity at 102, 103 and 106 W 5th Street, et al.

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
*PSC-07-14-00012-P	exempt	Water rates and charges	Implementation of Long-Term Water Supply Surcharge to recover costs associated with the Haverstraw Water Supply Project
*PSC-08-14-00015-P	exempt	Verizon New York Inc.'s service quality and Customer Trouble Report Rate (CTRR) levels at certain central office entities	To improve Verizon New York Inc.'s service quality andthe Customer Trouble Report Rate levels at certain central office entities
*PSC-10-14-00006-P	exempt	Actions to facilitate the availability of ESCO value-added offerings, ESCO eligibility and ESCO compliance	To facilitate ESCO value-added offerings and to make changes to ESCO eligibility and to ensure ESCO compliance
*PSC-11-14-00003-P	exempt	Provision for the recovery and allocation of costs of transmission projects that reduce congestion on certain interfaces	To consider the recovery and allocation of costs of transmission projects that reduce congestion on certain interfaces
*PSC-14-14-00016-P	exempt	Whether to permit the use of the Leviton Series 8000 electric submeter.	Pursuant to 16 NYCRR Parts 93 and 96, is necessary to permit the use of the Leviton Series 8000 electric submeter.
*PSC-16-14-00014-P	exempt	Whether to order NYSEG to provide gas service to customers when an expanded CPCN is approved and impose PSL 25-a penalties.	To order gas service to customers in the Town of Plattsburgh after approval of a town wide CPCN and to impose penalties.
*PSC-16-14-00015-P	exempt	Whether Central Hudson should be permitted to defer obligations of the Order issued on October 18, 2013 in Case 13-G-0336.	Consideration of the petition by Central Hudson to defer reporting obligations of the October 18, 2013 Order in Case 13-G-0336
*PSC-16-14-00016-P	exempt	Waiver of Commission regulations governing termination of service.	Consider United Water New York Inc.'s proposal to expand termination of service provisions.
*PSC-17-14-00003-P	exempt	Con Edison's Report on its 2013 performance under the Electric Service Reliability Performance Mechanism	Con Edison's Report on its 2013 performance under the Electric Service Reliability Performance Mechanism
*PSC-17-14-00004-P	exempt	To consider certain portions of petitions for rehearing, reconsideration and/or clarification	To consider certain portions of petitions for rehearing, reconsideration and/or clarification
*PSC-17-14-00007-P	exempt	To consider petitions for rehearing, reconsideration and/or clarification	To consider petitions for rehearing, reconsideration and/or clarification
*PSC-17-14-00008-P	exempt	To consider certain portions of petitions for rehearing, reconsideration and/or clarification	To consider certain portions of petitions for rehearing, reconsideration and/or clarification
*PSC-19-14-00014-P	exempt	Market Supply Charge	To make tariff revisions to the Market Supply Charge for capacity related costs
*PSC-19-14-00015-P	exempt	Whether to permit the use of the Sensus accuWAVE for use in residential and commercial gas meter applications	To permit gas utilities in New York State to use the Sensus accuWAVE 415TC gas meter
*PSC-19-14-00018-P	exempt	Uniform System of Accounts, deferral of an expense item	Authorization of a deferral for an expense item beyond the end of the year in which it was incurred
*PSC-20-14-00011-P	exempt	Petitioners request an order approving enhanced benefits under the Renewable Portfolio Standard Program.	To enable continued operation of a 51 MW biomass fueled electric generating facility in Niagara Falls, New York.
PSC-22-14-00013-P	exempt	Petition to transfer and merge systems, franchises and assets.	To consider the Comcast and Time Warner Cable merger and transfer of systems, franchises and assets.

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
PSC-23-14-00010-P	exempt	Whether to permit the use of the GE Dresser Series B3-HPC 11M-1480 rotary gas met for use in industrial gas meter applications	To permit gas utilities in New York State to use the GE Dresser Series B3-HPC 11M-1480 rotary gas meter
PSC-23-14-00014-P	exempt	Waiver of the negative revenue adjustment associated with KEDLI's 2013 Customer Satisfaction Performance Metric	Consideration of KEDLI's waiver request pertaining to its 2013 performance under its Customer Satisfaction Metric
PSC-24-14-00004-P	exempt	Approval of asset transfer.	To allow or disallow transfer of assets from Heritage Hills Water Works Corp. to Community Utilities of New York, Inc.
PSC-24-14-00005-P	exempt	To examine LDC's performance and performance measures.	To improve gas safety performance.
PSC-25-14-00015-P	exempt	Surcharges related to the System Benefits Charge, Energy Efficiency Portfolio Standard, Retail Renewable Portfolio Standard	To reduce the public benefit surcharge applicable to large industrial, commercial and institutional energy consumers
PSC-26-14-00010-P	exempt	Petitioner requests an order authorizing its participation in the next Main Tier solicitation offered under the RPS Program.	To enable continued operation of a 21 MW biomass fueled electric generating facility in Chateaugay, New York.
PSC-26-14-00013-P	exempt	Waiver of RG&E's tariffed definition of emergency generator.	To consider waiver of RG&E's tariffed definition of emergency generator.
PSC-26-14-00017-P	exempt	Existing ratemaking and rate design practices will be revised with a focus on outcomes and incentives.	To use the Commission's ratemaking authority to foster a DER-intensive system.
PSC-26-14-00020-P	exempt	New electric utility backup service tariffs and standards for interconnection may be adopted.	To encourage development of microgrids that enhance the efficiency, safety, reliability and resiliency of the electric grid.
PSC-26-14-00021-P	exempt	Consumer protections, standards and protocols pertaining to access to customer data may be established.	To balance the need for the information necessary to support a robust market with customer privacy concerns.
PSC-28-14-00014-P	exempt	Petition to transfer systems, franchises and assets.	To consider the Comcast and Charter transfer of systems, franchise and assets.
PSC-30-14-00023-P	exempt	Whether to permit the use of the Sensus iPERL Fire Flow Meter.	Pursuant to 16 NYCRR Part 500.3 , it is necessary to permit the use of the Sensus iPERL Fire Flow Meter.
PSC-30-14-00025-P	exempt	Allocation of uncommitted Technology and Market Development Funds to the Combined Heat & Power Performance Program.	To consider allocation of uncommitted Technology & Market Development Funds to the Combined Heat & Power Performance Program.
PSC-30-14-00026-P	exempt	Petition for a waiver to master meter electricity.	Considering the request of Renaissance Corporation of to master meter electricity at 100 Union Drive,Albany, NY.
PSC-31-14-00004-P	exempt	To transfer 100% of the issued and outstanding stock from Vincent Cross to Bonnie and Michael Cross	To transfer 100% of the issued and outstanding stock from Vincent Cross to Bonnie and Michael Cross
PSC-32-14-00009-P	exempt	Refueling options for the Dunkirk generating station located in Dunkirk, New York, and alternatives	To address the joint petition for rehearing of the Commission's Order related to refueling the Dunkirk generating station

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
PSC-32-14-00012-P	exempt	Whether to grant or deny, in whole or in part, the Connect New York Coalition's petition	To consider the Connect New York Coalition's petition seeking a formal investigation and hearings
PSC-32-14-00013-P	exempt	Petition for submetering of electricity	To consider the request of 1 John Street LLC to submeter electricity at 1 John Street, Brooklyn, New York
PSC-32-14-00015-P	exempt	Revisions to modify and clarify provisions related to electric generators taking transportation service under SC Nos. 7 and 14	To modify and clarify provisions related to electric generators taking transportation service under SC Nos. 7 and 14
PSC-32-14-00018-P	exempt	Modifications to provisions related to electric generators and cogeneration facilities	Revisions related to electric generators and cogeneration facilities and align KEDNY's tariff provisions with those of KEDLI
PSC-34-14-00009-P	exempt	Whether to approve the Quadlogic S10N residential submeter.	Approval of the Quadlogic S10N Smart Meter for use in residential electric submetering is required by 16 NYCRR Parts 93 and 96.
PSC-35-14-00004-P	exempt	Regulation of a proposed electricity generation facility located in the Town of Brookhaven, NY	To consider regulation of a proposed electricity generation facility located in the Town of Brookhaven, NY
PSC-35-14-00005-P	exempt	Whether to permit the use of the Sensus iConA electric meter	Pursuant to 16 NYCRR Parts 92 and 93, Commission approval is necessary to permit the use of the Sensus iConA electric meter
PSC-36-14-00009-P	exempt	Modification to the Commission's Electric Safety Standards.	To consider revisions to the Commission's Electric Safety Standards.
PSC-36-14-00010-P	exempt	The procurement of Main Tier renewable resources will become the responsibility of the State's electric utilities.	To ensure the development of large-scale remnewables in New York State to promote fuel diversity and reduce carbon emissions.
PSC-36-14-00011-P	exempt	To defer pension settlement losses associated with retirements in the year ended March 31, 2014.	To resolve the ratemaking of the pension settlement loss.
PSC-38-14-00003-P	exempt	Whether to approve, reject or modify, in whole or in part a time-sensitive rate pilot program.	Whether to approve, reject or modify, in whole or in part a time-sensitive rate pilot program.
PSC-38-14-00004-P	exempt	The study and petition of Con Edison regarding use, accounting and ratemaking treatment for 11-23 and 2-28 Hudson Ave. Brooklyn.	The study and petition of Con Edison regarding use, accounting and ratemaking treatment for 11-23 and 2-28 Hudson Ave. Brooklyn.
PSC-38-14-00005-P	exempt	Action on the report and petition of Con Edison regarding the Storm Hardening and Resiliency Collaborative, Phase 2.	Action on the report and petition of Con Edison regarding the Storm Hardening and Resiliency Collaborative, Phase 2.
PSC-38-14-00007-P	exempt	Whether to expand Con Edison's low income program to include Medicaid recipients.	Whether to expand Con Edison's low income program to include Medicaid recipients.
PSC-38-14-00008-P	exempt	The study and petition of Con Edison regarding use, accounting and ratemaking treatment for 11-23 and 2-28 Hudson Ave. Brooklyn.	The study and petition of Con Edison regarding use, accounting and ratemaking treatment for 11-23 and 2-28 Hudson Ave. Brooklyn.
PSC-38-14-00010-P	exempt	Inter-carrier telephone service quality standard and metrics and administrative changes.	To review recommendations from the Carrier Working Group and incorporate appropriate modifications to the existing Guidelines.

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
PSC-38-14-00012-P	exempt	Action on the report and petition of Con Edison regarding the Storm Hardening and Resiliency Collaborative, Phase 2.	Action on the report and petition of Con Edison regarding the Storm Hardening and Resiliency Collaborative, Phase 2.
PSC-38-14-00018-P	exempt	New electric utility demand response tariffs may be adopted.	To develop mature DER markets by enabling the development and use of DR as an economic system resource.
PSC-38-14-00020-P	exempt	Various tariff revisions to the rates, charges, rules and regulations.	For approval to make various tariff revisions to P.S.C. No. 4 - Steam.
PSC-39-14-00014-P	exempt	Whether to permit the use of the SATEC EM133 electric submeter.	Pursuant to 16 NYCRR Parts 93 and 96, is necessary to permit the use of the SATEC EM133 electric submeter.
PSC-39-14-00020-P	exempt	Whether to permit the use of the Mueller Systems 400 Series and 500 Series of water meters	Pursuant to 16 NYCRR section 500.3, whether to permit the use of the Mueller Systems 400, and 500 Series of water meters
PSC-40-14-00008-P	exempt	To consider granting authorization for Buy Energy Direct to resume marketing to residential customers.	To consider granting authorization for Buy Energy Direct to resume marketing to residential customers.
PSC-40-14-00009-P	exempt	Whether to permit the use of the Itron Open Way Centron Meter with Hardware 3.1 for AMR and AMI functionality.	Pursuant to 16 NYCRR Parts 93, is necessary to permit the use of the Itron Open Way Centron Meter with Hardware 3.1.
PSC-40-14-00011-P	exempt	Late Payment Charge.	To modify Section 7.6 - Late Payment Charge to designate a specific time for when a late payment charge is due.
PSC-40-14-00013-P	exempt	Regulation of a proposed natural gas pipeline and related facilities located in the Town of Ticonderoga, NY.	To consider regulation of a proposed natural gas pipeline and related facilities located in the Town of Ticonderoga, NY.
PSC-40-14-00014-P	exempt	Waiver of 16 NYCRR Sections 894.1 through 894.4(b)(2)	To allow the Town of Goshen, NY, to waive certain preliminary franchising procedures to expedite the franchising process.
PSC-40-14-00015-P	exempt	Late Payment Charge.	To modify Section 6.6 - Late Payment Charge to designate a specific time for when a late payment charge is due.
PSC-41-14-00009-P	exempt	Establishment of a Clean Energy Fund and related actions	Consideration of proposal by NYSERDA for the establishment of a Clean Energy Fund and related actions
PSC-41-14-00010-P	exempt	Reallocation of EEPS and SBC funds	Consideration of proposal by NYSERDA for reallocation of EEPS and SBC funds
PSC-41-14-00011-P	exempt	Establishment of annual collections caps and collection and spending mechanisms as described in the Clean Energy Fund Proposal	Consideration of proposal by NYSERDA for the establishment of annual collections caps and collection and spending mechanisms
PSC-41-14-00012-P	exempt	Funding and management of the NY-Sun program as described in the Clean Energy Fund Proposal	Consideration of proposal by NYSERDA for the funding and management of the NY-Sun program
PSC-41-14-00013-P	exempt	Funding and management of the New York Green Bank as described in the Clean Energy Fund Proposal and NY Green Bank Petition	Consideration of proposal by NYSERDA for the funding and management of the New York Green Bank

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
PSC-41-14-00014-P	exempt	Funding and management of a Market Development program as described in the Clean Energy Fund Proposal	Consideration of proposal by NYSERDA for the funding and management of a Market Development program
PSC-41-14-00015-P	exempt	Funding and management of a Technology and Business Innovation program as described in the Clean Energy Fund Proposal	Consideration of proposal by NYSERDA for the funding and management of a Technology and Business Innovation program
PSC-41-14-00016-P	exempt	Inter-carrier telephone service quality standards and metrics	To review recommendations from the Carrier Working Group and incorporate modifications to the existing Guidelines
PSC-42-14-00003-P	exempt	Annual Reconciliation of Gas Expenses and Gas Cost Recoveries	The filings of various LDCs and municipalities regarding their Annual Reconciliation of Gas Expenses and Gas Cost Recoveries
PSC-42-14-00004-P	exempt	Winter Bundled Sales Service Option	To modify SC-11 to remove language relating to fixed storage charges in the determination of the Winter Bundled Sales charge
PSC-44-14-00020-P	exempt	LDC inspection and remediation plans for plastic fusions	Whether to order LDCs to comply with their filed plans that address any safety risks associated with plastic fusions
PSC-45-14-00002-P	exempt	Proposed Public Policy Transmission Needs/ Public Policy Requirements, as defined under the NYISO tariff	To identify any proposed Public Policy Transmission Needs/Public Policy Requirements for referral to the NYISO
PSC-45-14-00003-P	exempt	Notice of Intent to Submeter electricity	To consider the request of Bedford-Stuyvesant South One LLC to submeter electricity at 27 Albany Avenue, Brooklyn, NY
PSC-45-14-00004-P	exempt	Petition for submetering of electricity	To consider the request of C B Frontier LLC, to submeter electricity at 200 East 39th Street, New York, New York
PSC-46-14-00008-P	exempt	Funding and eligibility rules for the Green Bank program as described in the Green Bank Petition.	Consideration of proposal by NYSERDA for the funding and eligibility rule changes for the Green Bank program.
PSC-47-14-00012-P	exempt	Consideration of the National Grid Implementation Plan and audit recommendations	To approve, modify or reject, in whole or in part, National Grid's Implementation Plan
PSC-48-14-00010-P	exempt	Major gas rate increase filing.	To establish rates and practices for gas service.
PSC-48-14-00011-P	exempt	Major electric rate increase filing.	To establish rates and practices for electric service.
PSC-48-14-00012-P	exempt	Authority to update its System Improvement Charge (SIC Mechanism).	To allow or disallow New York American Water Company to update its System Improvement Charge (SIC Mechanism).
PSC-48-14-00013-P	exempt	Petition for submetering of electricity.	To consider the request of Albee Tower 1 Owners LLC to submeter electricity at 70 Fleet Street, Brooklyn, New York.
PSC-48-14-00014-P	exempt	Considering the recommendations contained in Staff' s electric outage investigation report for MNRR, New Haven Line.	To consider the recommendations contained in Staff's electric outage investigation report for MNRR, New Haven Line.

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
PSC-51-14-00005-P	exempt	Petitioner requests an order modifying its existing maintenance tier contract offered under the RPS Program	To resume operation of a 21 MW biomass fueled electric generating facility in Chateaugay, New York
PSC-51-14-00006-P	exempt	The Northeast Power Coordinating Council, Inc's A-Criteria documents and Criteria	To adopt revisions to various rules and measurements of the Northeast Power Coordinating Council, Inc.
PSC-52-14-00019-P	exempt	Petition for a waiver to master meter electricity.	Considering the request of 614 South Crouse Avenue, LLC to master meter electricity at 614 South Crouse Avenue, Syracuse, NY..
PSC-52-14-00023-P	exempt	LDC inspection and remediation plans for plastic fusions.	Whether to order Con Ed and ORU to comply with their filed plans that address any safety risks associated with plastic fusions.
PSC-52-14-00024-P	exempt	Distributed Generation (DG), Natural Gas Vehicle (NGV) and Prime-WNY programs.	To extend the DG and NGV programs to March 31, 2018 and for authorization of the Prime-WNY program.
PSC-52-14-00025-P	exempt	Whether to approve, modify or reject in whole or in part an increase in annual revenues of approximately $24,000 or 48%.	Whether to approve, modify or reject in whole or in part an increase in annual revenues of approximately $24,000 or 48%.
PSC-52-14-00026-P	exempt	Community Choice Aggregation.	To consider action related to Community Choice Aggregation.
PSC-01-15-00014-P	exempt	State Universal Service Fund Disbursements	To consider Edwards Telephone Company's request for State Universal Service Fund disbursements
PSC-01-15-00015-P	exempt	NYSEG is seeking waivers from certain regulatory requirements contained in an Order issued in Case 14-G-0197 on October 6, 2014	NYSEG is seeking waivers because it cannot certify the existing propane distribution system complies with certain regulations
PSC-01-15-00017-P	exempt	Reimbursement of costs for construction under 16 NYCRR 230	To determine proper reimbursement for costs related to trenching and construction
PSC-03-15-00002-P	exempt	Waiver of tariff provisions related to SC 14 Non-Core Transportation Services for Electric Generation	To determine whether a waiver is warranted
PSC-03-15-00003-P	exempt	To allow residential customers to opt out of AMR metering for gas and make other tariff changes related to gas metering	To allow residential customers to opt out of AMR metering for gas and make other tariff changes related to gas metering
PSC-03-15-00004-P	exempt	To allow residential customers a one time election to opt out of AMR metering and make other tariff changes related to metering	To allow residential customers a one time election to opt out of AMR metering and make other changes related to metering
PSC-04-15-00008-P	exempt	Re-billing SC No. 2 customers from March 2008 through March 2014.	To determine whether re-billing SC No. 2 customers by the Companies' proposed methodology customers is appropriate.
PSC-04-15-00009-P	exempt	To start and finish construction of distribution and service lines in certain municipalities in Clinton County by dates certain.	To require NYSEG to start and complete construction of distribution and service lines in municipalities in Clinton County.
PSC-04-15-00010-P	exempt	To modify the retail access program under SC No. 19 - Seller Transportation Aggregation Service.	To modify the retail access program to implement Tier 2A – Storage Capacity Release and make other tariff changes.

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
PSC-04-15-00011-P	exempt	To modify the retail access program under SC No. 8 - Seller Services.	To modify the retail access program to implement Tier 2A - Storage Capacity Release and make other tariff changes.
PSC-04-15-00012-P	exempt	Disposition of tax refunds and other related matters.	To determine the disposition of tax refunds and other related matters.
PSC-04-15-00014-P	exempt	Request for waiver of 6 NYCRR Part 501 and United Water's tariff provisions governing main extensions.	To grant, deny or modify a petition for a waiver of 6 NYCRR Part 501 and related United Water's tariff provisions.
PSC-06-15-00003-P	exempt	Petition for submetering of electricity	To consider the request of City Point Residential LLC, to submeter electricity at 366 Flatbush Avenue Ext, Brooklyn, New York
PSC-07-15-00005-P	exempt	Major electric rate increase filing	To establish rates and practices for electric service
PSC-07-15-00006-P	exempt	Whether to order a remand regarding payphone rates	Whether to order a remand regarding payphone rates and award refunds
PSC-07-15-00007-P	exempt	Major gas rate increase filing	To establish rates and practices for gas service
PSC-08-15-00009-P	exempt	Approval of a surcharge.	To allow or disallow Emerald Green Lake Louise Marie Water Company, Inc. for a surcharge.
PSC-08-15-00010-P	exempt	Request pertaining to the lawfulness of National Grid USA continuing its summary billing program.	To grant, deny, or modify URAC Rate Consultants' request that National Grid cease its summary billing program.
PSC-08-15-00011-P	exempt	Implementation of community net metering.	To consider implementation of community net metering.
PSC-09-15-00003-P	exempt	The recovery of costs related to a Reliability Support Services Agreement for services from R.E. Ginna Nuclear Power Plant, LLC	The recovery of costs related to a Reliability Support Services Agreement for services from R.E. Ginna Nuclear Power Plant, LLC
PSC-09-15-00004-P	exempt	Refinancing and issuance of long-term debt securities	To authorize New York American Water Company, Inc. to refinance up to $22,600,000 and issue up to $45,300,000 of long-term debt
PSC-09-15-00005-P	exempt	Late Payment Charge	To modify Section 6.6 - Late Payment Charge to designate a specific time for when a late payment charge is due
PSC-09-15-00006-P	exempt	Petition for submetering of electricity	To consider the request of 315 East 68th Street Corporation to submeter electricity at 315 East 68th Street, New York, N.Y.
PSC-09-15-00007-P	exempt	Late Payment Charge	To modify Section 7.6 - Late Payment Charge to designate a specific time for when a late payment charge is due
PSC-10-15-00006-P	exempt	Amendment of submetering order(s) to allow Queens Fresh Meadows LLC and others to terminate electric service for failure to pay	Whether to amend Queens Fresh Meadows LLC submetering order and others to allow termination of electric service
PSC-10-15-00007-P	exempt	Notification concerning tax refunds	To consider Verizon New York Inc.'s partial rehearing or reconsideration request regarding retention of property tax refunds

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
PSC-10-15-00008-P	exempt	Whether to waive Policy on Test Periods in Major Rate Proceedings and provide authority to file tariff changes	Whether to waive Policy on Test Periods in Major Rate Proceedings and provide authority to file tariff changes
PSC-10-15-00009-P	exempt	Contingency Tariffs regarding demand response issues	To consider Contingency Tariffs regarding demand response issues
PSC-10-15-00010-P	exempt	Notification concerning tax refunds	To consider Verizon New York Inc.'s request to retain a portion of a property tax refund
PSC-11-15-00021-P	exempt	Utility gas energy efficiency programs, targets, budgets and administration	To encourage the conservation of natural gas
PSC-11-15-00022-P	exempt	Petition for submetering of electricity	To consider the request of 160 Madison Ave LLC to submeter electricity at 160 Madison Avenue, New York, New York
PSC-11-15-00023-P	exempt	Petition for submetering of electricity	To consider the request of Renaissance Corporation of Albany to submeter electricity at 100 Union Drive, Albany, New York
PSC-11-15-00024-P	exempt	Notice of Intent to submeter electricity	To consider the request to submeter electricity at the Island House Apartments at 551, 555, 575 Main Street, N.Y., N.Y.
PSC-11-15-00025-P	exempt	LED Street Lighting	To update tariff leaves to reflect LED lighting options contained in P.S.C. No. 15 - Electricity
PSC-12-15-00005-P	exempt	Reliability Support Services Agreement for electric service reliability	Consideration of an extension of the Reliability Support Services Agreement for electric service reliability
PSC-12-15-00006-P	exempt	To consider a stock purchase for the cable system and related assets	To allow Adams CATV to purchase 100% of the stock of Oquaga Lake Cable System
PSC-12-15-00007-P	exempt	The Annual Reconciliation of Gas Expenses and Gas Cost Recoveries codified at Title 16 NYCRR Section 720.6.5	Examine the Annual Reconciliation of Gas Expenses and Gas Cost Recoveries mechanism
PSC-12-15-00008-P	exempt	Minor electric rate filing	To approve an increase in annual electric revenues by approximately $299,966 or 3.2%
PSC-13-15-00024-P	exempt	Whether Leatherstocking should be permitted to recover a shortfall in earnings	To decide whether to approve Leatherstocking's request to recover a shortfall in earnings
PSC-13-15-00025-P	exempt	Whether to permit the use of the Quadlogic Controls S-10T electric submeter	To permit the use of the Quadlogic S-10T submeter
PSC-13-15-00026-P	exempt	Whether to permit the use of the Sensus Smart Point Gas AMR/AMI product	To permit the use of the Sensus Smart Point Gas AMR/AMI product
PSC-13-15-00027-P	exempt	Whether to permit the use of the Measurlogic DTS 310 electric submeter	To permit the use of the Measurlogic DTS 310 submeter
PSC-13-15-00028-P	exempt	Whether to permit the use of the SATEC EM920 electric meter	To permit necessary to permit the use of the SATEC EM920 electric meter
PSC-13-15-00029-P	exempt	Whether to permit the use the Triacta Power Technologies 6103, 6112, 6303, and 6312 electric submeters	To permit the use of the Triacta submeters

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
PSC-14-15-00010-P	..... exempt	The sale of utility property	Whether to authorize the sale of street lighting facilities to the Town of West Seneca
PSC-14-15-00011-P	..... exempt	Refinancing proposed by East River Housing Corporation	To consider refinancing proposed by East River Housing Corporation
PSC-15-15-00004-P	..... exempt	Whether to permit the use of the GE/Dresser Model 5 transfer prover with 20M and 5M reference standards	Whether to approve the use of tthe Model 5 transfer prover, with 20M, and 5M reference stds
PSC-15-15-00005-P	..... exempt	The approval of Arteche's Medium Voltage Class Metering Instrument Transformers in New York State	Whether to approve the use of Arteche's Medium Voltage Class Metering Instrument Transformers in New York State
PSC-15-15-00006-P	..... exempt	Area Code Overlay	To authorize an area code overlay in the current 212/646/917 area code
PSC-15-15-00007-P	..... exempt	Notification concerning tax refunds	To consider Verizon New York Inc.'s request to retain a portion of a property tax refund
PSC-15-15-00008-P	..... exempt	Minor electric rate filing	To approve an increase in annual electric revenues by approximately $1,197,760 or 2.48%
PSC-15-15-00009-P	..... exempt	Commercial System Relief Program, Direct Load Control Program	To establish the Commercial System Relief Program, Direct Load Control Program, and a concomitant cost recovery mechanism
PSC-15-15-00010-P	..... exempt	Distribution Load Relief Program, Commercial System Relief Program, Direct Load Control Program	To establish the Distribution Load Relief Program, Commercial System Relief Program, Direct Load Control Program
PSC-15-15-00011-P	..... exempt	Distribution Load Relief (DLR) Program, Peak Shaving Load Relief (PSLR) Program, and Direct Load Control (DLC) Program	To establish DLR, PSLR, and DLC demand response programs, as well as enable recovery of program costs
PSC-15-15-00012-P	..... exempt	Distribution Load Relief Program, Commercial System Relief Program, Direct Load Control Program	To establish the Distribution Load Relief Program, Commercial System Relief Program, Direct Load Control Program
PSC-15-15-00013-P	..... exempt	Distribution Load Relief Program, Commercial System Relief Program, Direct Load Control Program	To establish the Distribution Load Relief Program, Commercial System Relief Program, Direct Load Control Program
PSC-16-15-00004-P	..... exempt	To consider a joint proposal to extend the electric rate plan adopted by an additional year	To consider a joint proposal to extend the electric rate plan adopted by an additional year
PSC-16-15-00005-P	..... exempt	Net Energy Metering for Non-Residential Farm Waste or Fuel Cell Electric Generating Equipment and SIR	To effectuate changes to Public Service Law Sections 66-j in relation to Net Energy Metering and SIR
PSC-16-15-00006-P	..... exempt	Net Energy Metering for Non-Residential Farm Waste or Fuel Cell Electric Generating Equipment and SIR	To effectuate changes to Public Service Law Sections 66-j in relation to Net Energy Metering and SIR
PSC-16-15-00007-P	..... exempt	Net Energy Metering for Non-Residential Farm Waste or Fuel Cell Electric Generating Equipment and SIR	To effectuate changes to Public Service Law Sections 66-j in relation to Net Energy Metering and SIR
PSC-16-15-00008-P	..... exempt	Net Energy Metering for Non-Residential Farm Waste or Fuel Cell Electric Generating Equipment and SIR	To effectuate changes to Public Service Law Sections 66-j in relation to Net Energy Metering and SIR

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
PSC-16-15-00009-P	exempt	Net Energy Metering for Non-Residential Farm Waste or Fuel Cell Electric Generating Equipment and SIR	To effectuate changes to Public Service Law Sections 66-j in relation to Net Energy Metering and SIR
PSC-16-15-00010-P	exempt	The submetering of electric service at 325 Lexington Avenue, New York, NY 10016	Whether to authorize the submetering of electric service at 325 Lexington Avenue, New York, NY 10016
PSC-16-15-00011-P	exempt	Notice of Intent to Submeter electricity	To consider the request of North Queensview Homes to submeter electricity at 33-60 21st St., LIC, NY, and adjoining properties
PSC-16-15-00012-P	exempt	Notice of Intent to Submeter electricity	To consider the request of Homeport I L.L.C. to submeter electricity at 7 and 8 Navy Pier Court, Staten Island, New York
PSC-16-15-00013-P	exempt	Net Energy Metering for Non-Residential Farm Waste or Fuel Cell Electric Generating Equipment and SIR	To effectuate changes to Public Service Law Sections 66-j in relation to Net Energy Metering and SIR
PSC-17-15-00004-P	exempt	Rehearing of the Commission's Order Adopting Regulatory Policy Framework and Implementation Plan	Consideration of a petition for rehearing
PSC-17-15-00005-P	exempt	The submetering of electricity	To consider the request of Cottage Street Apartments, LLC, to submeter electricity at 31 Cottage Street, Troy, New York
PSC-17-15-00006-P	exempt	Petition to submeter electricity	To consider the request of 56th and Park (NY) LLC, to submeter electricity at 432 Park Avenue, New York, New York
PSC-17-15-00007-P	exempt	To consider the petition of Leatherstocking Gas Company, LLC seeking authority to issue long-term debt of $2.75 million	To consider the petition of Leatherstocking Gas Company, LLC seeking authority to issue long-term debt of $2.75 million
PSC-17-15-00008-P	exempt	Whether to approve, modify or reject in whole or in part an increase in annual revenues of approximately $35,507 or 22.8%	Whether to approve, modify or reject in whole or in part an increase in annual revenues of approximately $35,507 or 22.8%
PSC-17-15-00009-P	exempt	To make clarifying tariff revisions	For approval to make clarifying revisions to Rule 28 - Special Services Performed by Company at a Charge
PSC-18-15-00004-P	exempt	National Grid's electric Economic Development Programs	To revise the economic development assistance to qualified businesses
PSC-18-15-00005-P	exempt	Con Edison's Report on its 2014 performance under the Electric Service Reliability Performance Mechanism	Con Edison's Report on its 2014 performance under the Electric Service Reliability Performance Mechanism
PSC-18-15-00006-P	exempt	Proposed Targeted Demand Management (TDM) Program and REV Demonstration Projects Cost Recovery and Incentive Mechanisms	To effectuate the TDM Program and to establish incentives and cost recovery for the TDM program and REV Demonstration Projects
PSC-18-15-00007-P	exempt	National Grid's Economic Development Programs	To authorize a new economic development program for National Grid's natural gas service territory

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
PSC-19-15-00011-P	exempt	Gas Safety Performance Measures and associated negative revenue adjustments	To update the performance measures applicable to KeySpan Gas East Corporation d/b/a National Grid
PSC-19-15-00012-P	exempt	Revisions to various provisions related to electric generators	To modify various provisions related to electric generators in KEDNY's tariff
PSC-19-15-00013-P	exempt	Revisions to various provisions related to electric generators	To modify various provisions related to electric generators in KEDLI's tariff
PSC-19-15-00014-P	exempt	Service Classification No. 14 - Gas Transportation Service for Dual Fuel Electric Generators (SC No. 14)	For approval to add a new provision to cap the overall transportation rate charged to SC No. 14 customers
PSC-19-15-00015-P	exempt	To consider the request of Hudson CBD Flatbush LLC to submeter electricity at 626 Flatbush Avenue, Brooklyn, New York	To consider the request of Hudson CBD Flatbush LLC to submeter electricity at 626 Flatbush Avenue, Brooklyn, New York
PSC-20-15-00006-P	exempt	Implementation of the proposed Microgrid Business Model as a reliability and demand management resource	Consider implementation of the proposed Microgrid Business Model as a reliability and demand management resource
PSC-20-15-00007-P	exempt	Considering proposals for changes to the Electronic Data Interchange standards	To consider proposals for changes to the Electronic Data Interchange standards
PSC-20-15-00008-P	exempt	Petition for rehearing and/or clarification of the Commission's Order, issued in Case 13-W-0246	To consider the petition for rehearing and/or clarification filed by the Town of Ramapo
PSC-20-15-00009-P	exempt	Actions on a financing and ownership transfer and restructuring transactions for an electric transmission facility	To consider actions on a financing and ownership transfer and restructuring transactions for an electric transmission facility
PSC-21-15-00005-P	exempt	Limitations on locating multiple generation facilities under electric utility tariffs for remote net metering	Consider limitations on locating multiple generation facilities under electric utility tariffs for remote net metering
PSC-21-15-00006-P	exempt	Issuance of promissory notes and the assumption of the costs and benefits of certain derivative instruments	To authorize the issuance of the above notes and to authorize entering into agreements concerning derivative transactions
PSC-21-15-00007-P	exempt	Whether Bath should be permitted to recover purchased gas costs, interest and consulting fees from its ratepayers	Whether Bath should be permitted to recover purchased gas costs, interest and consulting fees from its ratepayers
PSC-21-15-00008-P	exempt	Transfer of water supply assets	Transfer the water supply assets of West Valley Crystal Water Company, Inc. to the Town of Ashford
STATE, DEPARTMENT OF			
DOS-41-14-00001-P	10/20/15	Minimum standards for code enforcement training	To establish minimum training standards so as to increase the level of competency and reliability of code enforcement personnel
DOS-02-15-00004-EP	03/01/16	Use of truss type, pre-engineered wood or timber construction in residential structures	To implement the provisions of new section 382-b of the Executive Law, as added by chapter 353 of the Laws of 2014
DOS-04-15-00004-EP	03/19/16	Issuance of an order to remedy a violation of the Uniform Code	Fix the time for compliance with an order to remedy any condition found to exist in buildings in violation of the Uniform Code

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
STATE, DEPARTMENT OF			
DOS-05-15-00007-EP	03/23/16	Addition of provisions relating to ''sparkling devices'' to the State Uniform Fire Prevention and Building Code	To amend the Uniform Code to provide additional requirements applicable to buildings and structures where ''sparkling devices'' are manufactured, stored or used and add other restrictions on the use of ''sparkling devices''
TAXATION AND FINANCE, DEPARTMENT OF			
TAF-17-15-00010-EP	04/28/16	City of New York withholding tables and other methods	To provide current City of New York withholding tables and other methods
TAF-21-15-00001-P	exempt	Fuel use tax on motor fuel and diesel motor fuel and the art. 13-A carrier tax jointly administered therewith	To set the sales tax component and the composite rate per gallon for the period July 1, 2015 through September 30, 2015
TEMPORARY AND DISABILITY ASSISTANCE, OFFICE OF			
TDA-49-14-00001-P	12/10/15	Local Advisory Councils	Repeal the regulatory requirement that social services districts establish and maintain local advisory councils
TDA-12-15-00004-P	03/24/16	Delete regulatory references to the Learnfare Program	Make technical amendments to reflect that the statutory authority to operate the Learnfare Program has expired
TDA-15-15-00003-P	04/14/16	Video Hearings	The rule would specifically allow the Office of Administrative Hearings to conduct fair hearings by means of video equipment
TDA-18-15-00002-P	05/05/16	Child Support	To reflect the revised case closure criteria as set forth in the federal Department of Health and Human Services regulation
TDA-20-15-00001-P	05/19/16	Information appropriate for victims of sexual assault	To require social services districts to make all applicants for and recipients of public assistance aware of their option to receive information appropriate for victims of sexual assault consistent with Chapter 427 of the Laws of 2009
TRANSPORTATION, DEPARTMENT OF			
TRN-11-15-00014-P	03/17/16	Use of rest areas (section 156.3) and safe operation of commercial motor vehicles (section 820.14)	To update applicable regulations in 17 NYCRR 156.3(c) and 820.14
TRN-11-15-00015-P	03/17/16	Safe operation of commercial motor vehicles by motor carriers and drivers	To update applicable regulations in 17 NYCRR Part 820, added 12/12/2004
WORKERS' COMPENSATION BOARD			
WCB-14-15-00009-P	04/07/16	Health Insurance Matching Program (HIMP)	Provide the process for health insurers to recover from workers' compensation carriers

ADVERTISEMENTS FOR BIDDERS/CONTRACTORS

SEALED BIDS

PROVIDE
INTERIOR DOORS AND FLOORING
Industry Secure Center, Rush, Monroe County

Sealed bids for Project No. 45083-C, for Construction Work, Provide Interior Doors and Flooring, Industry Secure Center, 101 Ryder Hill Road, Rush (Monroe County), NY, will be received by the Office of General Services (OGS), Design & Construction Group (D&C), Contract Administration, 35th Fl., Corning Tower, Empire State Plaza, Albany, NY 12242, on behalf of the Office of Children and Family Services, until 2:00 p.m. on Wednesday, June 10, 2015, when they will be publicly opened and read. Each bid must be prepared and submitted in accordance with the Instructions to Bidders and must be accompanied by a certified check, bank check, or bid bond in the amount of $23,400 for C.

All successful bidders on a multiple trade project or the successful bidder with a bid over $200,000 on a single trade project, will be required to furnish a Performance Bond and a Labor and Material Bond in the statutory form of public bonds required by Sections 136 and 137 of the State Finance Law, each for 100% of the amount of the Contract estimated to be between $250,000 and $500,000 for C. The requirement for Labor and Material and Performance Bonds may be waived on a bid under $200,000 on a single trade project.

Pursuant to State Finance Law § 139-j and § 139-k, this solicitation includes and imposes certain restrictions on communications between OGS D&C and a bidder during the procurement process. A bidder is restricted from making contacts from the earliest notice of intent to solicit offers through final award and approval of the Procurement Contract by OGS D&C and Office of the State Comptroller ("restricted period") to other than designated staff unless it is a contact that is included among certain statutory exceptions set forth in State Finance Law § 139-j(3)(a). Designated staff are Frank Peris and Carl Ruppert in the Bureau of Contract Awards, telephone (518) 474-0203, fax (518) 473-7862 and John Lewyckyj, Director of Contract Administration, telephone (518) 474-0201, fax (518) 486-1650. OGS D&C employees are also required to obtain certain information when contacted during the restricted period and make a determination of the responsibility of the bidder pursuant to these two statutes. Certain findings of non-responsibility can result in rejection for contract award and in the event of two findings within a 4 year period, the bidder is debarred from obtaining governmental Procurement Contracts. Bidders responding to this Advertisement must familiarize themselves with the new Legislative and State Finance Law requirements and will be expected to affirm that they understand and agree to comply on the bid form. Further information about these requirements can be found within the project manual or at: http://ogs.ny.gov/aboutogs/regulations/defaultAdvisoryCouncil.asp.

As a condition of award, within 48 hours of receipt of the proposed Contract Agreement from the State, the low bidder shall return the Contract Agreement to the State, properly executed, along with the Bonds if required by said Agreement. Low bidders who cannot meet these provisions may be subject to disqualification and forfeiture of the bid security.

The State intends to expedite award of this Contract and the Contractor shall be prepared to proceed with the Work accordingly. Bidders are warned that time is of the essence of the Contract and completion of the Work must be within 250 days after the Agreement is approved by the Comptroller. Due to the tightness of the construction schedule, bidders should consider the necessity for an increased work force and shift operations.

The only time prospective bidders will be allowed to visit the job site to take field measurements and examine existing conditions of the project area will be at 9:00 a.m. on May 29, 2015 at Industry Secure Center, 101 Ryder Hill Road, Rush, NY. Prospective bidders are urged to visit the site at this time. Prospective bidders or their representatives attending the pre-bid site visit will not be admitted on facility grounds without proper photo identification. Note that parking restrictions and security provisions will apply and all vehicles will be subject to search.

For assistance pertaining to the site visit only, please phone Bob Guiton (585) 427-7430.

It is the policy of the State and the Office of General Services to encourage meaningful minority business enterprise participation in this project by contractors, subcontractors and suppliers who perform commercially useful functions under the Contract, and all bidders are expected to cooperate in implementing this policy.

The Office of General Services reserves the right to reject any or all bids.

The Bidding and Contract Documents for this Project are available on compact disc (CD) only, and may be obtained for an $8.00 deposit per set, plus a $2.00 per set shipping and handling fee. Contractors and other interested parties can order CD's on-line through a secure web interface available 24 hours a day, 7 days a week. Please use the following link at the OGS website for ordering and payment instructions: http://www.ogs.ny.gov/bu/dc/esb/acquirebid.asp.

For questions about purchase of bid documents, please send an e-mail to D&C.Plans@ogs.ny.gov, or call toll free at 1-877-647-7526.

For additional information on this project, please use the link below and then click on the project number: https://online.ogs.ny.gov/dnc/contractorConsultant/esb/ESBPlansAvailableIndex.asp.

By *John D. Lewyckyj, Contracting Officer*
Director Contract Administration
OGS Design & Construction Group

NOTICE OF AVAILABILITY
OF STATE AND FEDERAL FUNDS

Division of Homeland Security and Emergency Services
1220 Washington Ave.
State Campus, Bldg. 7A
Albany, NY 12242

ELIGIBLE VOLUNTEER FIRE DEPARTMENTS, VOLUNTEER EMERGENCY SERVICE ORGANIZATIONS AND STATEWIDE ORGANIZATIONS THAT REPRESENT THE INTERESTS OF VOLUNTEER FIREFIGHTERS AND/OR VOLUNTEER EMERGENCY SERVICES PERSONNEL

Fiscal Year 2015-2016 Recruitment and Retention Grant Program

Fiscal Year 2015-2016 Recruitment and Retention Grant Program seeks applications for up to $25,000 in state funding made available by the NYS Division of Homeland Security and Emergency Services (DHSES) for programs designed to promote the development of, or support existing, regional recruitment and retention efforts. All awards are subject to availability of funds, pursuant to the provisions of sections 209-G and 630-b of the New York State Tax Law. This Grant Program is intended to promote the recruitment and retention of volunteer firefighters and volunteer emergency services personnel through the following primary objectives: 1.) Support organizational leadership development through education and training; and, 2.) Develop and implement recruitment and retention programs and materials. Funds will be awarded competitively based on the submission of applications by eligible volunteer fire departments, volunteer emergency service organizations and statewide organizations that represent the interests of volunteer firefighters and/or volunteer emergency services personnel.

Applications will be accepted from April 14, 2015 through 11:59 p.m. on June 11, 2015 and must be submitted to DHSES's grants inbox: grants@dhses.ny.gov.

For the Request for Applications (RFA) please visit the Division of Homeland Security and Emergency Services (DHSES) website at http://www.dhses.ny.gov/grants or DHSES's Grant Hotline at (866) 837-9133.

MISCELLANEOUS NOTICES/HEARINGS

Notice of Abandoned Property Received by the State Comptroller

Pursuant to provisions of the Abandoned Property Law and related laws, the Office of the State Comptroller receives unclaimed monies and other property deemed abandoned. A list of the names and last known addresses of the entitled owners of this abandoned property is maintained by the office in accordance with Section 1401 of the Abandoned Property Law. Interested parties may inquire if they appear on the Abandoned Property Listing by contacting the Office of Unclaimed Funds, Monday through Friday from 8:00 a.m. to 4:30 p.m., at:

1-800-221-9311
or visit our web site at:
www.osc.state.ny.us

Claims for abandoned property must be filed with the New York State Comptroller's Office of Unclaimed Funds as provided in Section 1406 of the Abandoned Property Law. For further information contact: Office of the State Comptroller, Office of Unclaimed Funds, 110 State St., Albany, NY 12236.

PUBLIC NOTICE

City of North Tonawanda

The City of North Tonawanda is soliciting proposals from Administrative Service Agencies, Trustees, and Financial Organizations for services in connection with a Deferred Compensation Plan that will meet the requirements of Section 457 of the Internal Revenue Code and Section 5 of the State Finance Law, including all rules and regulations issued pursuant thereto.

A copy of the proposal questionnaire may be obtained from: Amanda L. Reimer, Staff Accountant Department of Accounting, 216 Payne Ave., City Hall, North Tonawanda, NY 14120, amandarei@northtonawanda.org

All proposals must be submitted not later than 30 days from the date of publication in the New York State Register.

PUBLIC NOTICE

Department of State
F-2015-0031
Date of Issuance – May 27, 2015

The New York State Department of State (DOS) is required by Federal regulations to provide timely public notice for the activities described below, which are subject to the consistency provisions of the Federal Coastal Zone Management Act of 1972, as amended.

The applicant has certified that the proposed activity complies with and will be conducted in a manner consistent with the approved New York State Coastal Management Program. The applicant's consistency certification and accompanying public information and data are available for inspection at the New York State Department of State offices located at One Commerce Plaza, 99 Washington Avenue, in Albany, New York.

In F-2015-0031, Timothy Shanahan, is proposing to: relocate an existing east-west sheet pile wall approximately 10 feet south; construct a new steel and concrete dock approximately 5 ft x 30 ft (in addition to two existing docks); install a 20 ft x 15 ft pile supported boat hoist adjacent to the new dock; and to install three 12 inch pipe mooring piles 18 ft downstream of the new dock and installed in a line parallel to the new dock in order to moor a boat in the Niagara River, Town of Grand Island, Erie County, New York.

Any interested parties and/or agencies desiring to express their views concerning any of the above proposed activities may do so by filing their comments, in writing, no later than 4:30 p.m., 15 days from the date of publication of this notice, or, June 11, 2015.

Comments should be addressed to the Consistency Review Unit, New York State Department of State, Office of Planning and Development, One Commerce Plaza, 99 Washington Avenue, Albany, New York 12231. Telephone (518) 474-6000; Fax (518) 473-2464. Electronic submissions can be made by email at: CR@dos.ny.gov.

This notice is promulgated in accordance with Title 15, Code of Federal Regulations, Part 930.

PUBLIC NOTICE

Department of Taxation and Finance
Interest Rates

The Commissioner of Taxation and Finance hereby sets the interest rates for the months of July, August, September, 2015 pursuant to sections 697(j) and 1096(e) of the Tax Law, as follows:

For purposes of section 697(j) the overpayment rate of interest is set at 2 percent per annum, and the underpayment rate of interest is set at 7.5 percent per annum. For purposes of section 1096(e), the overpayment rate of interest is set at 2 percent per annum, and the underpayment rate of interest is set at 7.5 percent per annum. (The underpayment rates set pursuant to sections 697(j) and 1096(e) may not be less than 7.5 percent per annum.) Pursuant to section 1145(a)(1) of the Tax Law, the underpayment rate for State and local sales and use taxes administered by the Commissioner of Taxation and Finance is 14.5 percent per annum. The underpayment rate for the special assessments on hazardous waste imposed by section 27-0923 of the Environmental Conservation Law is 15 percent.

For the interest rates applicable to overpayments (refunds) and underpayments (late payments and assessments) of the following taxes administered by the Commissioner of Taxation and Finance for the period July 1, 2015 through September 30, 2015, see the table below:

7/1/15 - 9/30/15
Interest Rate Per
Annum
Compounded Daily

Commonly viewed tax types	Refunds	Late Payments & Assessments
Income **	2%	7.5%
Sales and use	2%	14.5% *
Withholding	2%	7.5%
Corporation **	2%	7.5%
All other tax types	**Refunds**	**Late Payments & Assessments**
Alcoholic Beverage	2%	7.5%

Beverage Container Deposits	2%	7.5%
Boxing & Wrestling	2%	7.5%
Cigarette	NA	7.5%
Diesel Motor Fuel	2%	7.5%
Estate	2%	7.5%
Fuel Use Tax	***	***
Generation-Skipping Transfer	2%	7.5%
Hazardous Waste	2%	15%
Highway Use	2%	7.5%
New York City Taxicab and Hail Vehicle Trip Tax	2%	7.5%
Metropolitan Commuter Transportation Medallion Taxicab Ride	2%	7.5%
Metropolitan Commuter Transportation Mobility Tax	2%	7.5%
Mortgage Recording	2%	7.5%
Motor Fuel	2%	7.5%
Petroleum Business	2%	7.5%
Real Estate Transfer	2%	7.5%
Tobacco Products	NA	7.5%
Waste Tire Fee	2%	7.5%

* The Tax Law requires the interest rate on sales tax assessments or late payments to be set at 14-1/2% for this quarter. However, if the Commissioner determines that the failure to pay or the delay in payment is due to reasonable cause and not willful neglect, the Commissioner may impose interest at the corporation tax late payment and assessment rate. That rate is 7.5% for this quarter.

** There are a number of state and local governmental bodies that have interest rates tied to the overpayment and underpayment rates contained in either section 697(j) (Income Tax) or section 1096(e) (Corporation Tax) of the Tax Law. For purposes of section 697(j) and section 1096(e) of the Tax Law, the overpayment rate for this period is 2%. For purposes of section 697(j) of the Tax Law, the underpayment rate for this period is 7.5%. For purposes of section 1096(e) of the Tax Law, the underpayment rate for this period is also 7.5%.

*** Under section 527(f) of the Tax Law, the interest rates relating to the Fuel Use tax are set pursuant to the International Fuel Tax Agreement (IFTA). For more information regarding IFTA interest rates, see www.iftach.org.

For further information, contact: Kathleen O'Connell, Office of Counsel, Department of Taxation and Finance, W. A. Harriman Campus, Albany, NY 12227, (518) 530-4153

For rates for previous periods, visit the Department of Taxation and Finance website: www.tax.ny.gov/taxnews/int__curr.htm

EXECUTIVE ORDERS

Executive Order No. 145: Continuing the Temporary Suspension of Provisions Relating to Recovery from a State Disaster Emergency in City of Yonkers.

WHEREAS, on March 31, 2015, I issued Executive Order Number 143 declaring a disaster emergency in the City of Yonkers, Westchester County and suspending statutory and regulatory provisions relating to State contracts and the repair of State facilities to allow the Office of Parks, Recreation and Historic Preservation and the Office of General Services to expedite the award of contracts in order to promptly perform critical repairs to the stone retaining wall in Old Croton Aqueduct State Historic Park that partially collapsed on March 11, 2015;

WHEREAS, Section 29-a of the Executive Law provides that no suspension of laws shall be made for a period in excess of thirty days, provided, however, that upon reconsideration of all of the relevant facts and circumstances, the suspension may be extended for additional thirty-day periods;

NOW, THEREFORE, I, ANDREW M. CUOMO, Governor of the State of New York, by virtue of the authority vested in me by Section 29-a of Article 2-B of the Executive Law, and after having reconsidered all the relevant facts and circumstances, do hereby order that the suspension of laws and regulations ordered by Executive Order Number 143 shall be continued through May 30, 2015.

(L.S.)

GIVEN under my hand and the Privy Seal of the State in the City of Albany, this thirtieth day of April in the year two thousand fifteen.

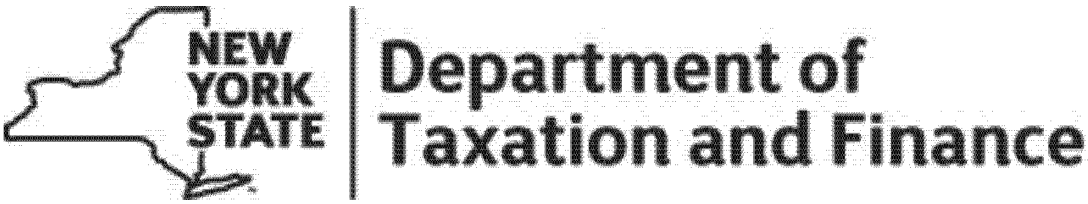
BY THE GOVERNOR

/S/ Andrew M. Cuomo

/s/ Lawrence Schwartz

Secretary to the Governor

FINANCIAL REPORTS



Depositories for the Funds of the State of New York

Month End: April 31, 2015

Prepared by the Division of the Treasury
Investments, Cash Management and Accounting Operations

Nonie Manion
Executive Deputy Commissioner

ACCOUNT DESCRIPTION	DEPOSITORY	BALANCE AS OF 04/30/2015
ACCOUNTS HELD IN JOINT CUSTODY BY THE COMMISSIONER OF TAXATION FINANCE AND THE NEW YORK STATE COMPTROLLER		
Exchange Account	Key Bank	0.00
Common Retirement Administrative Costs	Bank of America, N.A.	2,554.62
Unemployment Insurance Master Concentration Account	JPMorgan Chase Bank, N.A.	41,162,086.88
Occupational Training Account Master Concentration Account	JPMorgan Chase Bank, N.A.	266,275.13
PIT Special Refund Account	JPMorgan Chase Bank, N.A.	(458,486,230.27)
PIT Refund Debit Card Services	Bank of America, N.A.	441.00
Unemployment Insurance Exchange Account	JPMorgan Chase Bank, N.A.	0.00
General Checking	Key Bank	(379,277,501.46)
Direct Deposit Account	Wells Fargo	17,721.66
TOTAL		(417,037,150.98)
01000 - EXECUTIVE CHAMBER		
Executive Chamber Advance Account	Key Bank	No report received
01010 - DIVISION OF BUDGET		
Advance Account	Bank of America, N.A.	8,116.00
01020 - DIVISION OF PAROLE		
Asset Forfeiture Special Rev Acct	Bank of America, N.A.	No report received
Division Of Parole Petty Cash Account	Key Bank	No report received
Division Of Parole Regional Advance Account	Key Bank	No report received
Emergency Support (Bronx)	JPMorgan Chase Bank, N.A.	No report received
Emergency Support Account	Key Bank	No report received
Emergency Support Fund (Albany)	Trustco Bank	No report received
Emergency Support Fund (Binghamton)	Citizens Bank	No report received
Emergency Support Fund (Buffalo)	Bank of America, N.A.	No report received
Emergency Support Fund (Elmira)	Chemung Canal Trust	No report received
Emergency Support Fund (Manhattan 1)	JPMorgan Chase Bank, N.A.	No report received
Emergency Support Fund (Nassau)	Bank of America, N.A.	No report received
Emergency Support Fund (Poughkeepsie)	JPMorgan Chase Bank, N.A.	No report received
Emergency Support Fund (Queens 1)	HSBC	No report received
Emergency Support Fund (Queens 2)	HSBC	No report received
Emergency Support Fund (Rochester 1)	M&T Bank	No report received
Emergency Support Fund (Syracuse)	Key Bank	No report received
Manhattan V - Emergency Support	JPMorgan Chase Bank, N.A.	No report received
Northeast Emergency Support Fund	Key Bank	No report received
Parole Supervision Fee	Key Bank	No report received
Parole Supervision Fee	Wachovia Bank NA	No report received
Rochester II Emergency Support Fund	Bank of America, N.A.	No report received
Subpoena Fund Account	Key Bank	No report received
Utica Emergency Support Fund	Bank of Utica	No report received
01030 - DIVISION OF ALCOHOLIC BEVERAGE CONTROL		
Albany		
SLA Investigations Account	Key Bank	1,677.25
01050 - OFFICE OF GENERAL SERVICES		
Exec Mansion Official Function	Key Bank	No report received
New York State Office Of General Services	Citizens Bank	No report received
NY ISO Account	Key Bank	No report received
SNY Office of General Services	JPMorgan Chase Bank, N.A.	No report received
State of New York OGS Escrow II	Key Bank	No report received
State of New York OGS Petty Cash	Key Bank	No report received
State of New Your OGS Escrow	Key Bank	No report received
State of NY Office Of General Services	Key Bank	No report received
01060 - DIVISION OF STATE POLICE		
CNET Confidential Account	Key Bank	9.89
Div Headquarters - Petty Cash	Key Bank	1,859.70
Div HQ Travel Advance	Key Bank	0.00
Key Advantage Account	Key Bank	82,524.91
Manhattan Office-confidential	JPMorgan Chase Bank, N.A.	500.00
NYS Police Special Account	Key Bank	1,514,216.38
SIU Confidential Fund Account	Key Bank	2,513.82
Special Fund	Key Bank	10,842.77
State Police Receipts Account	Bank of America, N.A.	No report received
Troop A Batavia - Petty Cash	Bank of America, N.A.	880.00
Troop A Batavia - Travel Advance	Bank of America, N.A.	0.00
Troop A Batavia-Confidential	Bank of America, N.A.	1,934.22
Troop B Confidential	Key Bank	6,622.00
Troop B Petty Cash	Community Bank	1,000.00
Troop B Travel	Community Bank	0.00
Troop C Advance Travel	NBT Bank	0.00
Troop C Confidential Fund	NBT Bank	1,732.94

Troop C Petty Cash	NBT Bank	964.50
Troop D Oneida - Confidential	Alliance Bank	1,500.00
Troop D Oneida Petty Cash	Alliance Bank	1,000.00
Troop D Oneida-Travel Advance	Alliance Bank	0.00
Troop E Canandaigua Confidential	Canandaigua National Bank	2,000.00
Troop E Canandaigua Travel Adv	Canandaigua National Bank	0.00
Troop E Petty Cash	Canandaigua National Bank	950.00
Troop F Confidential	JPMorgan Chase Bank, N.A.	0.00
Troop F Petty Cash	JPMorgan Chase Bank, N.A.	1,000.00
Troop F Travel Advance	JPMorgan Chase Bank, N.A.	0.00
Troop G Loudonville Conf	Bank of America, N.A.	2,360.43
Troop G Loudonville Travel Adv	Bank of America, N.A.	0.00
Troop G Petty Cash	Bank of America, N.A.	1,000.00
Troop K Petty Cash	Bank of Millbrook	1,000.00
Troop K Poughkeepsie Travel Adv	Bank of Millbrook	0.00
Troop K Poughkeepsie-Confidential	Bank of Millbrook	1,379.00
Troop L Advance For Travel	Bank of America, N.A.	0.00
Troop L Confidential Fund	Bank of America, N.A.	1,880.08
Troop L Petty Cash	Bank of America, N.A.	962.00
01070 - DIVISION OF MILITARY & NAVAL AFFAIRS		
Advance For Travel	Key Bank	No report received
SNY Camp Smith Billeting Fund	JPMorgan Chase Bank, N.A.	No report received
01077 - OFFICE OF HOMELAND SECURITY		
Academy Of Fire Science	Chemung Canal Trust	No report received
01080 - DIVISION OF HOUSING & COMMUNITY RENEWAL		
Albany Office Of Financial Administration		
Maximum Base Rent Fee Account	JPMorgan Chase Bank, N.A.	No report received
Petty Cash Account	Key Bank	No report received
Revenue Account	JPMorgan Chase Bank, N.A.	No report received
Travel Advance	JPMorgan Chase Bank, N.A.	No report received
01090 - DIVISION OF HUMAN RIGHTS		
Petty Cash Fund Account	JPMorgan Chase Bank, N.A.	No report received
01150 - OFFICE OF EMPLOYEE RELATIONS		
1986 Panel Administration Esc	Key Bank	15,230.07
GOER/LMC Advance Account	Key Bank	3,000.00
NYS Dependent Care Acct	Key Bank	1,968,375.21
01160 - JUSTICE CENTER FOR THE PROTECTION OF PEOPLE WITH SPECIAL NEEDS		
Agency Advance Account	Key Bank	2,000.00
01300 - ADIRONDACK PARK AGENCY		
General Fund	Community Bank	2.00
Petty Cash	Community Bank	2,854.79
01400 - CRIME VICTIMS COMPENSATION BOARD		
Crime Victims	JPMorgan Chase Bank, N.A.	109,695.87
Emergency Award Account	M&T Bank	19,124.50
Emergency Claims	Key Bank	12,300.92
Frances Featherstones	Key Bank	185.54
Petty Cash Account	Key Bank	1,403.28
REST/SUBROG Escrow Account	Key Bank	856.25
01490 - DIVISION OF CRIMINAL JUSTICE SERVICES		
Advance Account	Bank of America, N.A.	2,181.00
FBI Fee Account	Bank of America, N.A.	7,478.48
Fingerprint Fee Account	Bank of America, N.A.	7,346.50
01510 - NYS RACING & WAGERING BOARD		
Charitiable Gaming Account	Key Bank	89,821.84
Fingerprint Concentration Account	Key Bank	70,588.30
License Revenue Account	Bank of America, N.A.	23,666.89
Petty Cash Account	Key Bank	11,727.91
Racing Refund Account	Key Bank	508,987.10
01530 - STATE COMMISSION OF CORRECTION		
Advance Account	Bank of America, N.A.	2,000.00
01540 - STATE BOARD OF ELECTIONS		
Revenue Account	Key Bank	No report received
01620 - OFFICE FOR PREVENTION OF DOMESTIC VIOLENCE		
NYS Prevention Domestic Violence	Bank of America, N.A.	No report received
02000 - OFFICE OF THE STATE COMPTROLLER		
Admissions	Bank of America, N.A.	846.39
Alcoholic Bev Control License	Bank of America, N.A.	0.00
Alcoholic Beverage Container - NYC	Citibank	0.00
Alcoholic Beverage Container License	M&T Bank	168,887.29
Alcoholic Beverage Tax	Bank of America, N.A.	45,639.18
Assessments Receivable	JPMorgan Chase Bank, N.A.	20,039,910.12
Beverage Container	JPMorgan Chase Bank, N.A.	1,757.30
Boxing And Wrestling Tax	Bank of America, N.A.	3,121.02
Capital Gains Tax On Real Estate	Bank of America, N.A.	0.00

Cigarette Tax	Bank of America, N.A.	0.00
Cigarette Tax Tobacco Products	Bank of America, N.A.	24,812.23
Comptroller's Refund Account	JPMorgan Chase Bank, N.A.	0.00
Corporation Tax - Art 9	Bank of America, N.A.	6,860.00
Corporation Tax - Coupon Acct.	JPMorgan Chase Bank, N.A.	747,234.26
Estate Tax	Bank of America, N.A.	3,071,905.29
Estate Tax Article 10 Section 241	Key Bank	0.01
Estate Tax-Lockbox	JPMorgan Chase Bank, N.A.	0.00
Estimated Tax	JPMorgan Chase Bank, N.A.	29,541,133.32
Exchange Account	Bank of America, N.A.	85.75
Gift Tax	Bank of America, N.A.	0.00
Hazardous Waste	Key Bank	1,911.87
Highway Use - Permits & Reg.	Bank of America, N.A.	31,212.00
Highway Use - Truck Mileage	Bank of America, N.A.	0.00
Highway Use-Permits & Reg. (EFT)	JPMorgan Chase Bank, N.A.	8,935.00
Hudson River-Black River	Bank of America, N.A.	94,709.79
Hudson River-Black River	Community Bank	22,898.58
IFTA-Decal/Permit Fee Acct.	Bank of America, N.A.	12,870.00
IFTA-Fuel Use	Bank of America, N.A.	128,559.81
Income Tax	Bank of America, N.A.	0.00
Income/Withholding Tax (EFT)	JPMorgan Chase Bank, N.A.	(13,428,463.51)
Justice Court	Key Bank	671,391.55
MCTD Medallion Taxicab Ride	Bank of America, N.A.	0.00
Metropolitan Commuter Mobility Tax	JPMorgan Chase Bank, N.A.	9,094,741.79
NYC General Debt Service	Bank of America, N.A.	109,096.30
NYC General Debt Service-RAN	JPMorgan Chase Bank, N.A.	0.00
NYS Share Of Cigarette Floor Tax	Bank of America, N.A.	0.00
Ogdensburg Bridge & Port	Community Bank	71,479.28
Payroll Revolving Exchange Acct.	Key Bank	133,692.24
Petroleum (EFT)	JPMorgan Chase Bank, N.A.	776,887.71
Petroleum Products Tax	Bank of America, N.A.	96,589.41
Port Of Oswego	Key Bank	(273,756.25)
Real Estate Transfer Tax	Key Bank	85,886.74
Revenue Holding	HSBC	0.00
Sales Tax	Bank of America, N.A.	53,214.36
Sales Tax	JPMorgan Chase Bank, N.A.	4,772,805.44
Sales Tax/Motor Fuel (EFT)	JPMorgan Chase Bank, N.A.	1,710,239.69
SUNY Concentration	HSBC	125,000,000.00
Troy Debt Service Reserve Fund	Bank of America, N.A.	504,200.00
Uncashed Winning Tickets	Bank of America, N.A.	15,281.97
Unclaimed Funds	JPMorgan Chase Bank, N.A.	410,163.50
Unclaimed Funds - 2	JPMorgan Chase Bank, N.A.	14,565.70
Wireless Surcharge	Bank of America, N.A.	0.00
Withholding Tax	JPMorgan Chase Bank, N.A.	25,381,569.97
Cash Advance Accounts		
Advance For Travel Account	Key Bank	13,451.30
ERS Petty Cash Acct	Key Bank	5,100.00
Petty Cash Account	Key Bank	3,700.00
Common Retirement Fund		
Common Retirement Fund - Depository	JPMorgan Chase Bank, N.A.	(267.33)
NYS Common Retirement Fund	JPMorgan Chase Bank, N.A.	159,990.83
Employees Retirement System		
Employees Retirement System - EFT	JPMorgan Chase Bank, N.A.	0.00
Employees Retirement System - General	JPMorgan Chase Bank, N.A.	0.00
Employees Retirement System - Pension	JPMorgan Chase Bank, N.A.	0.00
Group Term Life		
Group Term Life - General	JPMorgan Chase Bank, N.A.	0.00
Miscellaneous		
Fee Account	Key Bank	94.25
Municipal Assistance Corporation Accounts		
City Of Troy - MAC	JPMorgan Chase Bank, N.A.	0.00
Police and Fire		
Retirement Police & Firemen's - EFT	JPMorgan Chase Bank, N.A.	0.00
Retirement Police & Firemen's - General	JPMorgan Chase Bank, N.A.	0.00
Retirement Police & Firemen's - Pension	JPMorgan Chase Bank, N.A.	0.00
03000 - DEPARTMENT OF LAW		
Albany Filing Fees Account	Key Bank	No report received
Albany Petty Cash	Bank of America, N.A.	No report received
Albany Revenue Account	Key Bank	No report received
Assessment Account	JPMorgan Chase Bank, N.A.	No report received
Attorney General Account	Key Bank	No report received
Civil Recoveries Account	Key Bank	No report received
Dept Of Law Controlled Disb	M&T Bank	No report received
Marie Roberts	JPMorgan Chase Bank, N.A.	No report received

NYC Filing Fees Account	JPMorgan Chase Bank, N.A.	No report received
NYC Petty Cash Account	JPMorgan Chase Bank, N.A.	No report received
NYC Revenue Account	JPMorgan Chase Bank, N.A.	No report received
Restitution Account	M&T Bank	No report received
Special Account	Key Bank	No report received
US Justice Dept - Shared Forfeiture	Key Bank	No report received
US Treas Dept - Shared Forfeiture	Key Bank	No report received
03010 - OFFICE OF THE ATTORNEY GENERAL		
OCTF - Confidential Fund Checking	JPMorgan Chase Bank, N.A.	No report received
OCTF - Confidential Fund Checking	JPMorgan Chase Bank, N.A.	No report received
03020 - MEDICAID FRAUD CONTROL		
dept atty gen vs john doe	First Niagara Bank	61,615,140.36
National Global Settlement	JPMorgan Chase Bank, N.A.	30,524.92
NYS Department Of Law Confidential Account	JPMorgan Chase Bank, N.A.	39,136.37
NYS Department of Law Petty Cash Account	JPMorgan Chase Bank, N.A.	5,068.00
04020 - NYS ASSEMBLY		
Advance For Travel	Bank of America, N.A.	No report received
Petty Cash Account Dist Off	Bank of America, N.A.	No report received
Petty Cash New York City	JPMorgan Chase Bank, N.A.	No report received
Public Information Office	Key Bank	No report received
04030 - ASSEMBLY WAYS & MEANS COMMITTEE		
Advance For Travel	Key Bank	No report received
Petty Cash	Key Bank	No report received
04040 - LEGISLATIVE BILL DRAFTING COMMISSION		
NY LBDC - Legislative Computer Services Fund	Key Bank	41,117.60
NYS Leg Bill Drafting Comm-Petty Cash Acct	Key Bank	3,970.05
04250 - REAPPORTIONMENT		
NYS Taskforce On Demo Res & Reapp	JPMorgan Chase Bank, N.A.	No report received
05000 - OFFICE OF COURT ADMINISTRATION		
Attorney Registration Fees - Revenue	JPMorgan Chase Bank, N.A.	4,292,368.83
Criminal Records Search Acct - Revenue	JPMorgan Chase Bank, N.A.	12,534,366.45
Finger Print Account	JPMorgan Chase Bank, N.A.	8,400.00
Petty Cash Acct	JPMorgan Chase Bank, N.A.	500.00
05005 - OCA OFFICE OF BUDGET & FINANCE		
Petty Cash Account	Key Bank	750.00
05008 - LAWYERS FUND FOR CLIENT PROTECTION		
Client Security Fund - Bail	Key Bank	No report received
Lawyers Fund For Client Protection - Bail	Key Bank	No report received
Petty Cash	Key Bank	No report received
05012 - MENTAL HYGIENE LEGAL SERVICES - 2ND JUDICIAL DEPARTMENT		
Mental Hygiene Sec Dept Petty Cash	Bank of America, N.A.	879.59
05023 - COMMISSION ON PROFESSIONAL STANDARDS - 3RD JUDICIAL DEPARTMENT		
Comm On Prof Stands 3rd Jud Dept	M&T Bank	750.00
05071 - COURT OF APPEALS		
Chief Judge Advance	Key Bank	4,399.99
Clerk Of The Court Of Appeals	Key Bank	7,125.18
05072 - STATE BOARD OF LAW EXAMINERS		
State Board Of Law Examiners Fee	Key Bank	3,532,600.00
05081 - APPELLATE DIVISION - 1ST JUDICIAL DEPARTMENT		
1st Appellate Division Supreme Ct	JPMorgan Chase Bank, N.A.	43,008.09
1st Jud Dept Petty Cash Appellate	JPMorgan Chase Bank, N.A.	583.96
05082 - APPELLATE DIVISION - 2ND JUDICIAL DEPARTMENT		
Appellate Div 2nd Dept Revenue	JPMorgan Chase Bank, N.A.	104,464.25
05083 - APPELLATE DIVISION - 3RD JUDICIAL DEPARTMENT		
Third Dept Civil Fees Acct - Revenue	Key Bank	19,888.00
05084 - APPELLATE DIVISION - 4TH JUDICIAL DEPARTMENT		
4th Dept Appellate Div Civil Fees - Revenue	JPMorgan Chase Bank, N.A.	No report received
05090 - COURT OF CLAIMS		
Court Of Claims Revenue Account	Key Bank	5,150.43
05111 - 10TH JUDICIAL DISTRICT NASSAU COUNTY ADMINISTRATION		
Glen Cove City Court		
Glen Cove City Court - Bail	Bank of America, N.A.	139,367.33
Glen Cove Court Revenue	Bank of America, N.A.	68,273.80
Long Beach City - Nassau		
City Court Of Long Beach - Bail	Bank of America, N.A.	153,465.67
City Court Of Long Beach - Revenue	Bank of America, N.A.	77,168.71
Nassau Co Family		
Nassau Co Family Court Bail	Citibank	0.00
Nassau County Court		
Nassau County Assessment	Citibank	30,506.05
Nassau County Bail	Citibank	0.00
Nassau District Court - Civil		
Nassau County Dist Ct - Civil Revenue	Bank of America, N.A.	36,293.17
Nassau District Court - Criminal		

Nassau Dist Ct Criminal Revenue	Bank of America, N.A.	291,220.27
Nassau Dist Ct Criminal Trust Acct - Criminal Bail	Bank of America, N.A.	34,500.00
Nassau Surrogate		
Nassau County Surrogate Court - Revenue	Citibank	268,767.95
05112 - 10TH JUDICIAL DISTRICT SUFFOLK COUNTY ADMINISTRATION		
Suffolk County Court		
Suffolk County Court - Court Fund	Suffolk County National	25,434.40
Suffolk County Surrogate		
Surrogate Court Of Suffolk County	Suffolk County National	61,910.50
Suffolk District Court Civil Fees		
Suffolk County District Court Civil Fees	Citibank	457,027.51
Suffolk District Court Criminal Fines		
Suffolk County District Court Criminal Fines	Citibank	454,692.66
Suffolk District Court Trust Acct		
Suffolk County District Court Trust Account	Citibank	20,925.00
05210 - NYC-CIVIL COURT		
Bronx Civil Court - Civil		
Bronx Civil Court - Revenue	JPMorgan Chase Bank, N.A.	359,755.26
Harlem Community Justice Court		
Harlem Community Justice - Revenue Account	JPMorgan Chase Bank, N.A.	8,016.89
Kings Civil Court - Civil		
Kings Civil Court - Civil Revenue	HSBC	621,556.51
New York Civil Court - Civil		
New York Civil Court Revenue Acct	JPMorgan Chase Bank, N.A.	408,240.07
Queens Civil Court - Civil		
Queens Civil - Revenue	JPMorgan Chase Bank, N.A.	345,914.17
Richmond Civil Court - Civil		
Richmond Civil Ct Revenue Acct	JPMorgan Chase Bank, N.A.	110,357.78
05215 - NYC-CRIMINAL COURT		
Kings Criminal Court	Citibank	No report received
Bronx Criminal Court- Criminal Court		
Bronx Criminal Division- Criminal Bail	JPMorgan Chase Bank, N.A.	No report received
Bronx Criminal Court- Criminal Court		
Bronx Criminal Division- Criminal Revenue	JPMorgan Chase Bank, N.A.	No report received
New York County Criminal Court		
New York Criminal Court	JPMorgan Chase Bank, N.A.	No report received
New York Criminal Court- State Funds	JPMorgan Chase Bank, N.A.	No report received
Queens County Criminal Court		
Queens Criminal Court	JPMorgan Chase Bank, N.A.	No report received
Queens Criminal Court - State Funds	JPMorgan Chase Bank, N.A.	No report received
Richmond County Criminal Court		
Richmond Criminal Court	Citibank	No report received
Richmond Criminal Court- State Funds	Citibank	No report received
05220 - NYC-FAMILY COURT		
Family Citywide Administration		
NYC Family Court	JPMorgan Chase Bank, N.A.	235.00
05231 - SUPREME COURT - BRONX COUNTY		
NYS Office of Court Admin	JPMorgan Chase Bank, N.A.	18,954.00
05235 - SUPREME COURT - KINGS COUNTY		
Kings Co Supreme		
Supreme Court Kings County - Bail	HSBC	1,917.49
Supreme Court Kings County- Revenue	HSBC	20,775.38
05240 - SUPREME COURT - QUEENS COUNTY		
Queens Co Supreme		
Queens County Supreme Court	JPMorgan Chase Bank, N.A.	0.00
Queens County Supreme Court	JPMorgan Chase Bank, N.A.	32,667.00
05250 - NEW YORK COUNTY CLERK		
New York County Clerk		
New York Co Clerk Revenue Account	JPMorgan Chase Bank, N.A.	531,723.96
05255 - BRONX COUNTY CLERK		
Bronx County Clerk		
Bronx Cnty Clerk Revenue Acct	JPMorgan Chase Bank, N.A.	815,117.80
Bronx Cnty Clerk-Bails /Fines C&T	JPMorgan Chase Bank, N.A.	0.00
05260 - KINGS COUNTY CLERK		
Kings County Clerk		
Kings County Clerk Revenue Account	Flushing Commercial Bank	1,378,887.28
05265 - QUEENS COUNTY CLERK		
Queens County Clerk-Revenue Acct	Sterling Bank	1,389,810.43
05270 - RICHMOND COUNTY CLERK		
Richmond Co Clerk State Fees Account	JPMorgan Chase Bank, N.A.	4,687,587.75
Richmond County Clerk DEC	JPMorgan Chase Bank, N.A.	334.02
05275 - NEW YORK COUNTY SURROGATES COURT		
New York Surrogate		
New York Surrogate Court	JPMorgan Chase Bank, N.A.	138,634.50

05280 - BRONX COUNTY SURROGATES COURT		
Bronx Surrogate		
Bronx Surrogate Court Revenue Acct	JPMorgan Chase Bank, N.A.	No report received
05285 - KINGS COUNTY SURROGATES COURT		
Kings County Surrogate		
Kings Co. Surrogate Revenue Acct	Bank of America, N.A.	144,694.00
05290 - QUEENS COUNTY SURROGATES COURT		
Queens surrogate		
Queens Co Revenue Acct Surrogate	Signature Bank	164,389.25
05295 - RICHMOND COUNTY SURROGATES COURT		
Richmond County Surrogate Court Revenue Account	Victory State Bank	41,491.00
05360 - 3RD JUDICIAL DISTRICT ADMINISTRATION		
Albany City Court		
Albany Police Ct Fines & Forfeiture - Revenue	Key Bank	35,168.88
Albany City Court - (traffic)		
Albany Traffic Court - Bail	Bank of America, N.A.	1,250.00
Albany City Court - Civil Part		
Albany City Court Civil - Revenue	Trustco Bank	8,736.08
Albany City Court - Traffic Ct		
Albany Traffic Court - Revenue	Bank of America, N.A.	113,636.00
Albany County Surrogate		
Albany Cty Surrogates Court - Revenue	Bank of America, N.A.	38,045.75
Albany Police Court		
Albany Police Court Bail Account	Key Bank	30,271.73
Cohoes City Court		
Cohoes City Court Bail	First Niagara Bank	26,438.50
Cohoes City Court Fees/Fines Account	First Niagara Bank	31,225.76
Columbia County Surrogate		
Columbia Co Surrogate Ct Fees - Revenue	Key Bank	10,340.25
Greene County Surrogate		
Greene County Surrogate - Revenue	First Niagara Bank	7,652.75
Hudson City Court		
Hudson City Court Bail Acct	Trustco Bank	45,260.00
Hudson City Court Revenue Acct - Revenue	Trustco Bank	6,978.78
Kingston City Court		
Kingston City Court Bail	Key Bank	6,001.00
Kingston City Court Fees Fines - Revenue	Key Bank	61,723.46
Rensselaer City Court		
Rensselaer City Court - Revenue	Key Bank	9,088.99
Rensselaer City Court Bail Acct	Key Bank	33,744.80
Rensselaer County Surrogate		
Rensselaer Co Surrogate Ct Fees - Revenue	Key Bank	14,822.00
Schoharie County Surrogate		
Schoharie Co Surrogates Court - Revenue	Bank of America, N.A.	3,722.00
Sullivan County Surrogate		
Sullivan County Surrogates Court - Revenue	Key Bank	9,242.25
Troy City Court		
Troy City Court- Revenue Acct - Revenue	Bank of America, N.A.	68,665.90
Troy Police Court Bail Account	Bank of America, N.A.	39,088.71
Ulster County Surrogate		
Ulster County Surrogate Court - Revenue	Key Bank	13,665.75
Watervliet City Court		
Watervliet City Court - Revenue	Bank of America, N.A.	30,838.14
Watervliet City Court Bail	Bank of America, N.A.	25,024.75
05460 - 4TH JUDICIAL DISTRICT ADMINISTRATION		
Amsterdam City Court		
Amsterdam City Court - Revenue	Key Bank	44,168.23
Amsterdam Police Court - Bail	Key Bank	59,682.76
Clinton County Surrogates		
Clinton County Surrogates - Revenue	Key Bank	1,954.50
Essex County Surrogate		
Essex Co Surrogate Clerk - Revenue	Champlain National	676.25
Franklin County Surrogate		
Franklin Co Surrogate Court - Revenue	Key Bank	3,602.00
Fulton County Surrogate		
Fulton County Surrogate's Court	Key Bank	1,817.00
Glens Falls City Court		
Glens Falls City Court Account - Revenue	Glens Falls National	23,619.45
Glens Falls City Court Bail Acct	Glens Falls National	74,345.49
Gloversville City Court		
Gloversville City Court Bail	NBT Bank	45,684.32
Gloversville City Court Revenue	NBT Bank	23,150.38
Hamilton Surrogate		
Hamilton Surrogate - Revenue	Community Bank	625.00

Johnstown City Court		
City Of Johnstown Bail Account - Bail	Key Bank	28,176.87
Johnstown City Court Fines/Fees - Revenue	Key Bank	15,090.60
Mechanicville City Court		
Mechanicville City Court Bail	Bank of America, N.A.	4.50
Mechanicville City Court Bail	TD Bank	34,114.00
Mechanicville City Ct Revenue Acct	TD Bank	20,189.63
Montgomery County Surrogate		
Montgomery County Surrogates Court - Revenue	NBT Bank	187.75
Ogdensburg City Court		
Ogdensburg City Court Int Bail	Community Bank	11,061.93
Ogdensburg City Court Revenue	Community Bank	10,483.96
Plattsburgh City Court		
Plattsburgh City Court - Bail	Glens Falls National	73,437.03
State Of NY Plattsburgh City Court - Revenue	Glens Falls National	46,806.86
Saratoga County Surrogate		
Saratoga County Surrogate - Revenue	Ballston Spa National Bank	1,138.00
Saratoga Springs City Court		
Saratoga Springs Bail Account - Revenue	The Adirondack Trust Company	160,288.95
Saratoga Springs City Revenue Acct	The Adirondack Trust Company	49,683.42
Schenectady City Court		
Schenectady City Crim Trust - Bail	Bank of America, N.A.	182,714.84
Schenectady Police Court Fines - Revenue	Bank of America, N.A.	97,757.78
Schenectady Surrogate		
Schenectady Surrogate Court - Revenue	Key Bank	7,438.50
St. Lawrence Co Surrogate		
St. Lawrence County Surrogate - Revenue	Community Bank	3,038.00
Warren County Surrogate		
Warren County Surrogate Court - Revenue	TD Bank	1,063.25
Washington Surrogates		
Washington Surrogate Revenue	TD Bank	125.75
05560 - 5TH JUDICIAL DISTRICT ADMINISTRATION		
Fulton City Court		
Fulton City Court Bail Acct	Key Bank	No report received
Fulton City Court Revenue	Key Bank	No report received
Herkimer Surrogate		
Herkimer Surrogate - Revenue	Partners Trust	No report received
Jefferson Surrogates		
Jefferson Co Surrogate Revenue	Key Bank	No report received
Lewis County Surrogates		
Lewis County Surrogate Court - Revenue	Key Bank	No report received
Little Falls City Court		
Little Falls City Court Bail	Bank of America, N.A.	No report received
Little Falls City Court Revenue	M&T Bank	No report received
Oneida County Combined		
Oneida County Combined Court	Adirondack Bank	No report received
Oneida County Surrogates		
Oneida County Surrogate Court Revenue	The Adirondack Trust Company	No report received
Onondaga County Surrogates		
Onondaga Surrogate Court - Revenue	Alliance Bank	No report received
Oswego City Court		
Oswego City Court Bail Acct	JPMorgan Chase Bank, N.A.	No report received
Oswego City Court Revenue	JPMorgan Chase Bank, N.A.	No report received
Oswego Surrogate Court		
Oswego County Surrogate Court - Revenue	Key Bank	No report received
Rome City Court		
City Court Of Rome Bail Account - Bail	Bank of America, N.A.	No report received
Rome City Court - Revenue	Bank of America, N.A.	No report received
Sherrill City Court		
Sherrill City Court 5th Jud Dist - Bail	Alliance Bank	No report received
Sherrill City Court Fees - Revenue	Alliance Bank	No report received
Syracuse City Court		
Syracuse City Court - Bail	Alliance Bank	No report received
Syracuse City Court - Fees - Revenue	Alliance Bank	No report received
Utica City Court		
Utica City Court Criminal Bail	Bank of Utica	No report received
Utica City Court Revenue Account	Key Bank	No report received
Watertown City Court		
Watertown City Court Bail	Key Bank	No report received
Watertown City Court Fees & Fines - Revenue	Key Bank	No report received
05661 - 6TH JUDICIAL DISTRICT ADMINISTRATION		
Binghamton City Court		
Binghamton City Court Bail	M&T Bank	72,885.00
Binghamton City Court Revenue	M&T Bank	59,881.51

Broome Surrogates		
SNY UCS Broome County Surrogates Court	Wells Fargo Bank	26,667.50
Chemung County Surrogates		
SNY UCS Chemung County Surrogates Court	Wells Fargo Bank	8,873.25
Chenango County Surrogates		
Chenango County Surrogates Court Acct	NBT Bank	11,172.00
Cortland City Court		
Court City Court Bail	NBT Bank	36,184.60
Court City Court- Revenue	NBT Bank	29,862.25
Cortland County Surrogates		
SNY UCS Cortland County Surrogates Court	Wells Fargo Bank	2,859.75
Delaware County Surrogates		
Delaware County Surrogate - Revenue	Delaware National Bank	6,555.00
Elmira City Court		
Elmira City Court - Revenue Account	Chemung Canal Trust	38,254.29
Elmira City Court Bail	Chemung Canal Trust	86,647.66
Ithaca City Court		
Ithaca City Court	Tompkins County Trust	15,807.00
Ithaca City Court Revenue	Tompkins County Trust	55,158.40
Madison County Surrogates		
SNY UCS Madison County Surrogates Court	Wells Fargo Bank	5,017.25
Norwich City Court		
Norwich City Court Bail Acct	NBT Bank	12,265.00
Norwich City Court Revenue Acct	NBT Bank	9,606.26
Oneida City Court		
Oneida City Court Bail Account	JPMorgan Chase Bank, N.A.	21,794.52
Oneida City Court Fee & Fine - Revenue	JPMorgan Chase Bank, N.A.	9,199.30
Oneonta City Court		
Oneonta City Court - Revenue	Community Bank	12,265.50
Oneonta City Court Bail Account	Community Bank	13,890.00
Otsego County Surrogates		
Otsego County Surrogates Court - Revenue	Key Bank	7,344.75
Schuyler County Surrogates		
Schuyler County Surrogates Court	Community Bank	2,556.25
Tioga County Surrogates		
Tioga Surrogates Court - Revenue	M&T Bank	1,764.75
Tompkins County Surrogates		
Tompkins County Surrogate Court - Revenue	Tompkins County Trust	8,699.86
05761 - 7TH JUDICIAL DISTRICT ADMINISTRATION		
Auburn City Court		
Auburn City Court Bail Acct	First Niagara Bank	11,300.00
Auburn City Court Fees & Fines - Revenue	First Niagara Bank	51,034.49
Canandaigua City Court		
Canandaigua City Court Bail Acct	Canandaigua National Bank	0.00
Canandaigua City Court Revenue	Canandaigua National Bank	0.00
Cayuga County Surrogates		
Cayuga Surrogate Court	Wells Fargo Bank	3,082.00
Cayuga Surrogate Court Revenue	First Niagara Bank	0.00
Corning City Court		
Corning City Court - Revenue	Community Bank	12,458.11
Corning City Court Bail	M&T Bank	31,471.35
Geneva City Court		
Geneva City Bail Account	Five Star Bank	12,000.00
Geneva City Court Revenue Acct	Five Star Bank	39,432.84
Hornell City Court		
Hornell City Court Bail Account	Steuben Trust Co.	47,543.01
Hornell City Court Revenue	Steuben Trust Co.	10,197.50
Livingston County Surrogates		
Livingston County Surrogate Court - Revenue	Key Bank	0.00
Livingston Surrogate Court	Wells Fargo Bank	707.00
Monroe County Surrogates		
7th District Monroe Surrogate	Wells Fargo Bank	13,177.00
Ontario County Surrogates		
Ontario County Surrogate - Revenue	Canandaigua National Bank	0.00
Ontario Surrogate Court	Wells Fargo Bank	4,950.50
Rochester City Court		
Rochester City Court Bail Account	M&T Bank	693,437.10
Rochester City Revenue	M&T Bank	51,146.28
Seneca County Surrogates		
Seneca County Revenue Account	Community Bank	0.00
Seneca Surrogate Court	Wells Fargo Bank	1,142.75
Steuben County Surrogates		
7th District Steuben Surrogate	Wells Fargo Bank	0.00
Wayne County Surrogates		

Wayne Co Surrogate Court - Revenue	Lyons National	0.00
Yates County Surrogates		
Yates Co Surrogate Court - Revenue	Community Bank	2.75
05860 - 8TH JUDICIAL DISTRICT ADMINISTRATION		
Allegany County Surrogates Court		
Allegany Co Surrogate Court - Revenue	Steuben Trust Co.	1,925.00
Batavia City Court		
Batavia City Bail Account	M&T Bank	18,651.50
Batavia City Court Revenue	M&T Bank	19,518.85
Buffalo City Court		
Buffalo City Bail Account	M&T Bank	376,331.50
Buffalo City Revenue Account	M&T Bank	45,346.63
Cattaraugus County Surrogates		
Cattaraugus Surrogates Court - Revenue	Cattaraugus County Bank	1,285.75
Chautauqua County Surrogates Court		
Chautauqua County Surrogate Ct - Revenue	M&T Bank	0.00
ST of NY Office of the State Comptroller State of New York Unified Courts Chautauqua Surrogate Court	Wells Fargo Bank	1,564.00
Dunkirk City Court		
Dunkirk City - Revenue	First Niagara Bank	5,645.00
Dunkirk City Court - Bail	First Niagara Bank	22,107.45
Erie - Buffalo County Law Library		
Sur Ct Lib At Buffalo - Revenue	M&T Bank	162.35
Erie County Surrogates		
ST of NY Office of the State Comptroller State of New York Unified Courts Erie Surrogate Court	Wells Fargo Bank	24,401.00
Genesee County Surrogates		
Genesee Co Surrogates Court - Revenue	M&T Bank	1,806.00
Jamestown City Court		
Jamestown City Court - Revenue	Key Bank	19,609.77
Jamestown City Court Special Bail	Key Bank	45,566.65
Lackawanna City Court		
Lackawanna City Court Bail Account	Key Bank	7,131.00
Lackawanna City Court Revenue Account	Key Bank	24,889.12
Lockport City Court		
Lockport City - Bail	Key Bank	67,669.90
Lockport City HESC EFT Account - Revenue	Key Bank	25,105.90
Niagara City Court		
Niagara Falls Bail Bond Account	M&T Bank	150,540.00
Niagara City Court - Criminal		
City Court Of Niagara Falls Criminal - Revenue	M&T Bank	27,556.21
Niagara County Surrogates		
Niagara Co Surrogate Court - Revenue	M&T Bank	0.00
ST of NY Office of the State Comptroller State of New York Unified Courts Niagara Surrogate Court	Wells Fargo Bank	5,774.00
No. Tonawanda City Court		
N. Tonawanda City Court Bail	M&T Bank	43,970.20
N. Tonawanda City Court Revenue	M&T Bank	50,786.50
Olean City Court		
Olean City Court Bail Account	Community Bank	20,213.00
Olean City Court Revenue Account	Community Bank	11,683.70
Orleans County Surrogates		
Orleans Co Surrogate Revenue	Bank of America, N.A.	796.00
Salamanca City Court		
Salamanca City Court Bail	Community Bank	7,655.00
Salamanca City Court City Judge - Revenue	Community Bank	5,720.40
Tonawanda City Court		
Tonawanda City Court Bail	M&T Bank	50,900.48
Tonawanda City Court Revenue	M&T Bank	46,758.50
Wyoming County Surrogates		
Wyoming Co Surrogate Court - Revenue	Five Star Bank	937.00
05960 - 9TH JUDICIAL DISTRICT ADMINISTRATION		
Poughkeepsie City Court- Bail	JPMorgan Chase Bank, N.A.	92,249.29
Poughkeepsie City Court- Revenue	JPMorgan Chase Bank, N.A.	90,421.07
Beacon City Court		
Beacon City Court Bail Account - Bail	JPMorgan Chase Bank, N.A.	41,482.61
Beacon City Fines Account - Revenue	JPMorgan Chase Bank, N.A.	57,553.94
Dutchess County Surrogates Court		
Dutchess County Surrogate Court - Revenue	JPMorgan Chase Bank, N.A.	46,339.19
Middletown City Court		
Middletown City Bail Escrow - Bail	JPMorgan Chase Bank, N.A.	160,034.10
Middletown City Court Revenue	JPMorgan Chase Bank, N.A.	81,410.52
Mt. Vernon City Court		
Mt Vernon City Court State Revenue	JPMorgan Chase Bank, N.A.	53,045.21
Mt Vernon City Trust - Bail	JPMorgan Chase Bank, N.A.	400,188.89
New Rochelle City Court		
New Rochelle City Court Bail	JPMorgan Chase Bank, N.A.	252,370.52

New Rochelle City Court Revenue	JPMorgan Chase Bank, N.A.	146,415.10
Newburgh City Court		
Newburgh Bail Account	Bank of America, N.A.	102,050.40
Newburgh City Court Revenue	Bank of America, N.A.	31,948.17
Orange County Surrogates Court		
Orange Co Surrogates Court - Revenue	JPMorgan Chase Bank, N.A.	30,347.00
Peekskill City Court		
Peekskill City Court Revenue	JPMorgan Chase Bank, N.A.	18,151.00
Peekskill City Court		
Peekskill City Court - Bail	JPMorgan Chase Bank, N.A.	116,631.70
Port Jervis City Court		
Port Jervis Bail Account - Bail	JPMorgan Chase Bank, N.A.	65,072.60
Port Jervis Revenue Account - Revenue	JPMorgan Chase Bank, N.A.	22,694.61
Putnam Co Surrogate's Court		
Putnam Co Surrogates Court	Putnam County National Bank	18,408.75
Rockland County Surrogates Court		
Rockland Co Surrogates Court - Revenue	JPMorgan Chase Bank, N.A.	27,619.00
Rye City Court		
City Of Rye Bail Account	JPMorgan Chase Bank, N.A.	32,682.75
City Of Rye Fines And Fees - Revenue	JPMorgan Chase Bank, N.A.	38,055.14
Westchester County Surrogates Court		
Westchester Co Surrogates Fees - Revenue	JPMorgan Chase Bank, N.A.	158,660.51
White Plains City Court		
White Plains City Court Bail Account	Hudson Valley National Bank	264,744.20
White Plains City Court		
White Plains City Court Vehicle And Traffic Acct - Revenue	Hudson Valley National Bank	224,929.97
Yonkers City Court		
Yonkers City Bail Account- Bail	Hudson Valley National Bank	375,797.97
Yonkers City State Account - Revenue	Hudson Valley National Bank	3,869.76
Yonkers City Court - Escrow		
Yonkers City Escrow Account - Bail	Hudson Valley National Bank	54,209.28
Yonkers City Court - State Fund		
Yonkers City State Fund Account - Revenue	Hudson Valley National Bank	112,187.35
06000 - AGRICULTURE & MARKETS		
Administration Account	Key Bank	35,244.12
Agency Advance Account	Key Bank	5,683.86
Agriculture Producers Sec Fund	Key Bank	12,367.00
Animal Population Control Account	Key Bank	35,277.59
Apple Marketing Order Fund	Key Bank	41,956.05
Consumer Food Industry Account	Key Bank	73,415.16
Dairy Industry Services Account	Key Bank	9,529.72
Dairy Promotion Order Fund	Key Bank	27,073.18
Farm Products Grading	JPMorgan Chase Bank, N.A.	168,742.10
Farm Products Publicity Fund Ag Promotion	Key Bank	18,191.98
Milk Producers Security Fund	Key Bank	27,160.60
NYS Farmers Market Program	Key Bank	24,724.07
NYS WNY Milk Mktg Area Administration Fund	M&T Bank	109.93
NYS WNY Milk Mktg Area Equalization Fund	M&T Bank	10,030.22
NYS WNY Milk Mktg Area Equalization Fund Savings	M&T Bank	317.99
Onion Marketing Order	Key Bank	2,216.40
Plants Industry Account	Key Bank	6,385.01
Sour Cherry Marketing Fund	Key Bank	5,139.39
Weights & Measures Account	Key Bank	18,212.11
State Fair		
NYS Fair Operating Account	Solvay Bank	102,073.14
NYS Fair Petty Cash/Travel	Solvay Bank	3,901.38
NYS Fair Special Account	Solvay Bank	419.30
State Fair Premium Award Account	Solvay Bank	6,438.09
08000 - DEPARTMENT OF CIVIL SERVICE		
Agency Advance Account	Bank of America, N.A.	3,000.00
Employee Insurance Pending Account	Wells Fargo Bank	5,019,931.94
Examination Application Fees Account	Bank of America, N.A.	14,535.00
Examination Application Fees Account	First Niagara Bank	72,140.00
NYS Affirmative Action Advisory Account	Bank of America, N.A.	5,141.90
08010 - PUBLIC EMPLOYEE RELATIONS BOARD		
Petty Cash And Travel Advance Account	Key Bank	1,209.16
09000 - DEPARTMENT OF ENVIRONMENTAL CONSERVATION		
Albany		
Asharoken Feasibility Study	JPMorgan Chase Bank, N.A.	33,831.74
Bayville Feasibility Study	JPMorgan Chase Bank, N.A.	487,749.70
Conservation Petty Cash Account	M&T Bank	38,303.07
DEC/Exchange Account	M&T Bank	14,100.00
ENCON License Issuing Office	M&T Bank	3,297.00
ENCON Travel Advance	M&T Bank	4,000.00

ENCON/Montauk Point Feasibility Study	JPMorgan Chase Bank, N.A.	7,117.77
ENCON/South Shore Of Staten Island	JPMorgan Chase Bank, N.A.	22,642.29
Harbor Drift Removal Proj	JPMorgan Chase Bank, N.A.	1,542,224.26
Hunting Trapping & Fishing Account	M&T Bank	1,171,259.91
Lake Montauk Harbor	JPMorgan Chase Bank, N.A.	233,432.09
Lockbox Account	Wells Fargo Bank	1,267,390.24
Mattituck Inlet	JPMorgan Chase Bank, N.A.	1,312.97
NY Conservationist	Bank of America, N.A.	86,978.31
Program Fee	JPMorgan Chase Bank, N.A.	0.00
Rockaway Beach Study & Project	JPMorgan Chase Bank, N.A.	1,249,147.65
SNY Dept Of Environmental Conservation	JPMorgan Chase Bank, N.A.	133,603.83
State of New York	First Niagara Bank	990,845.88
US Army Coe - Moriches Project	JPMorgan Chase Bank, N.A.	11,031.91
Westhampton Project Escrow	JPMorgan Chase Bank, N.A.	252,251.90
Region 1		
Marine Permit Account	Bank of Smithtown	71,127.90
New York State Dept Of Environ - ME	JPMorgan Chase Bank, N.A.	1,109.84
Region 3		
Revenue Region 3 Account	Bank of America, N.A.	30,083.00
Region 4		
Bear Spring Revenue Account	National Bank of Delaware	0.00
Region 4	Greene County Commercial Bank	0.00
Region 4 Camping	NBT Bank	0.00
Region 4 Office Account	Key Bank	6,627.50
Region 5		
Campsite Revenue Account	Glens Falls National	7.75
Land & Forest Region 5W	TD Bank	12,169.44
NYS Conservation	Glens Falls National	1.00
Recreation (Warrensburg)	City National Bank & Trust	0.00
Region 5	Citizens Bank	38.03
Region 5	NBT Bank	708.02
Tree Nursery	Bank of America, N.A.	31,729.40
Region 6		
Fish & Wildlife Watertown	Key Bank	24,713.00
Lands & Forest District #7	Community Bank	37.40
Lands & Forests District #6	Community Bank	35.00
Lands And Forests District 10	Partners Trust	19.90
SNY Dept Of Environmental Conserv	Community Bank	14.05
Region 7		
Div Of Fish & Wildlife	Alliance Bank	9,538.00
Lands & Forests District #3	Alliance Bank	2,120.00
Regional Office Checking Acct	JPMorgan Chase Bank, N.A.	59,556.75
Region 8		
Lands & Forests Dist No. 14	Five Star Bank	8,280.73
NYS DEC Admin R8	Bank of Castile	68,109.50
10000 - ATTICA CORRECTIONAL FACILITY		
Agency Advance Account	Five Star Bank	5,300.00
CD Spendable	Five Star Bank	220,000.00
Employee Benefit Fund	Five Star Bank	29,230.21
General Cash Fund	Five Star Bank	16,381.10
Inmate Occupational Therapy Fund	Five Star Bank	43,717.49
Inmate Savings Account	Five Star Bank	327,019.30
Spendable Fund	Five Star Bank	112,840.23
10010 - AUBURN CORRECTIONAL FACILITY		
Advance Account	Bank of America, N.A.	7,161.27
Certificate of Deposit	Bank of America, N.A.	20,000.00
Certificate of Deposit	Bank of America, N.A.	15,000.00
Certificate of Deposit	Bank of America, N.A.	90,000.00
Inmate Occupational Therapy Fund	Bank of America, N.A.	23,376.21
Inmate Spendable Account	Bank of America, N.A.	248,649.72
Misc Receipts	Bank of America, N.A.	0.00
Money Market	Bank of America, N.A.	35,000.00
10020 - CLINTON CORRECTIONAL FACILITY		
Advance Account	Key Bank	12,118.69
Employee Benefit Fund	Key Bank	16,585.98
General Fund	Key Bank	14,473.60
Inmate Funds	Key Bank	559,205.17
Inmate Funds Money Market Account	Key Bank	640,626.59
Inmate Occupational Therapy Acct	Key Bank	80,759.16
10030 - WATERTOWN CORRECTIONAL FACILITY		
Agency Advance Account	Key Bank	2,210.02
Inmate Occupational Therapy Fund	Key Bank	11,541.39
Inmate Spendable Funds	Key Bank	40,829.08
Inmate Spendable Savings Account	Key Bank	50,390.99

Miscellaneous Receipts	Key Bank	1,826.68
10040 - GREAT MEADOW CORRECTIONAL FACILITY		
Certificate of Deposit	Glens Falls National	150,000.00
Certificate of Deposit	Glens Falls National	25,000.00
Certificate of Deposit	Glens Falls National	150,000.00
Certificate of Deposit	Glens Falls National	100,000.00
Employee Benefit Fund	Glens Falls National	6,644.57
Facility Advance	Glens Falls National	8,920.05
General Fund Account	Glens Falls National	9,189.18
Inmate Fund	Glens Falls National	24,455.57
Inmate Fund Savings Account	Glens Falls National	2,500.00
Occupational Therapy	Glens Falls National	15,827.05
10050 - FISHKILL CORRECTIONAL FACILITY		
Agency Advance	M&T Bank	7,731.68
Employee Benefits	M&T Bank	5,285.04
Inmate Spending Account	M&T Bank	176,598.26
Inmates Account	M&T Bank	461,523.97
Inmates Benefit	M&T Bank	33,188.55
Misc Receipts	M&T Bank	25,495.62
Occupational Therapy Account	M&T Bank	17,116.72
10060 - WALLKILL CORRECTIONAL FACILITY		
Advance Account	Key Bank	2,733.75
Employee Benefit	Key Bank	3,673.20
Inmate Occupational Therapy Fund	Key Bank	27,379.88
Inmate Savings Account	Key Bank	87,866.88
Inmates Fund Account	Key Bank	65,497.43
Misc. Receipts	Key Bank	14,117.99
10070 - SING SING CORRECTIONAL FACILITY		
Cash Advance	Sleepy Hollow National Bank	9,969.45
Inmate Spending	Sleepy Hollow National Bank	75,786.79
Misc Receipts	Sleepy Hollow National Bank	18,501.78
Money Market	Sleepy Hollow National Bank	449,541.67
Occupational Therapy	Sleepy Hollow National Bank	105,852.59
10080 - GREEN HAVEN CORRECTIONAL FACILITY		
Advance Account	Key Bank	4,419.57
General Fund	Key Bank	11,645.30
Inmates Money Market	Key Bank	286,774.71
Inmates Now Checking	Key Bank	584,373.65
Occupational Therapy Fund	Key Bank	66,848.93
10090 - ALBION CORRECTIONAL FACILITY		
Albion Advance Account	Bank of America, N.A.	4,288.36
Employee Benefit Fund	Bank of America, N.A.	15,646.78
Inmate Funds	Bank of America, N.A.	98,413.03
Inmate Funds Savings	Bank of America, N.A.	103,048.78
Misc Receipts	Bank of America, N.A.	4,438.21
Occupational Therapy	Bank of America, N.A.	26,808.36
10100 - EASTERN NEW YORK CORRECTIONAL FACILITY		
Agency Advance Account	Provident Bank	0.00
Agency Advance Account	Sterling Bank	2,290.61
Employee Benefit Fund	Provident Bank	0.00
Employee Benefit Fund	Sterling Bank	3,272.67
ID Now 3 Month CD	M&T Bank	50,102.55
Inmate Deposit Now Account	Provident Bank	0.00
Inmate Deposit Now Account	Sterling Bank	260,032.11
Inmate Occupational Therapy	Provident Bank	0.00
Inmate Occupational Therapy Account	Sterling Bank	42,683.78
Misc. Receipts	Provident Bank	0.00
Misc. Receipts	Sterling Bank	5,388.57
10110 - ELMIRA CORRECTIONAL & RECEPTION CENTER		
Agency Advance Account	Chemung Canal Trust	5,195.82
ECF Clubhouse	Chemung Canal Trust	11,941.78
Employee Benefit Fund	Chemung Canal Trust	10,831.24
Inmate CD Account	M&T Bank	212,716.64
Inmates Fund	Chemung Canal Trust	223,599.12
Miscellaneous Receipts	Chemung Canal Trust	0.00
Occupational Therapy Fund	Chemung Canal Trust	48,096.09
10120 - BEDFORD HILLS CORRECTIONAL FACILITY		
Advance Account	JPMorgan Chase Bank, N.A.	7,839.99
Employee Benefit Fund	JPMorgan Chase Bank, N.A.	6,983.51
Inmate Funds	JPMorgan Chase Bank, N.A.	161,829.18
Inmate Funds CD	JPMorgan Chase Bank, N.A.	90,000.00
Inmate Funds Money Market	JPMorgan Chase Bank, N.A.	20,184.18
Misc. Receipts	JPMorgan Chase Bank, N.A.	4,530.53
Occupational Therapy	JPMorgan Chase Bank, N.A.	41,351.35

10130 - COXSACKIE CORRECTIONAL FACILITY		
Agency Advance Account	National Bank of Coxsackie	2,110.90
Employee Benefits Fund	National Bank of Coxsackie	4,599.59
Inmates Fund	National Bank of Coxsackie	97,697.44
Inmates Fund Savings Acct	National Bank of Coxsackie	23,455.92
Misc. Revenue	National Bank of Coxsackie	22,602.15
Money Market Acct	National Bank of Coxsackie	129,283.66
Occupational Therapy Acct	National Bank of Coxsackie	10,028.36
10140 - WOODBOURNE CORRECTIONAL FACILITY		
Agency Advance	Bank of America, N.A.	1,387.12
CD - Inmate Funds	First National Bank of Jeffersonville	50,000.00
CD - Inmate Funds	First National Bank of Jeffersonville	95,000.00
General Fund	Bank of America, N.A.	10,833.89
Inmate Funds Checking	Bank of America, N.A.	228,788.81
Occupational Therapy Account	Bank of America, N.A.	22,798.66
10160 - DEPARTMENT OF CORRECTIONAL SERVICES		
Agency Advance Account	Key Bank	20,467.22
Employee Benefit Fund	Key Bank	17,500.80
Inmate Escrow Account	Key Bank	313,024.44
Misc. Receipts Account	Key Bank	245,737.28
Special Account	Key Bank	1,300.00
10170 - QUEENSBORO CORRECTIONAL FACILITY		
Agency Advance Account	JPMorgan Chase Bank, N.A.	6,917.43
Employee Benefit Fund	JPMorgan Chase Bank, N.A.	1,822.09
Inmate Funds Account	JPMorgan Chase Bank, N.A.	28,164.77
Miscellaneous Receipts Account	JPMorgan Chase Bank, N.A.	3,459.50
Occupational Therapy Fund	JPMorgan Chase Bank, N.A.	2,182.79
Savings Account	JPMorgan Chase Bank, N.A.	15,392.79
10190 - MONTEREY SHOCK INCARCERATION CORRECTIONAL FACILITY		
Consolidated Advance Account	Community Bank	0.00
Employee Benefit Fund	Community Bank	0.00
Miscellaneous Receipts Account	Community Bank	32.00
10230 - ADIRONDACK CORRECTIONAL FACILITY		
ADK QWL	Community Bank	3,296.90
Agency Advance	Community Bank	1,492.04
Diversity Management	Community Bank	826.10
Employee Benefit Fund	Community Bank	4,494.67
General Fund	Community Bank	223.96
Inmate Funds	Community Bank	56,111.37
Inmate Occupational Therapy Fund	Community Bank	5,525.33
Inmate Savings Money Market	Community Bank	37,212.17
Make A Difference Day	Community Bank	153.24
10240 - DOWNSTATE CORRECTIONAL FACILITY		
Agency Advance	JPMorgan Chase Bank, N.A.	1,051.46
Employee Recreational Funds	JPMorgan Chase Bank, N.A.	20,398.82
Inmate Fund	JPMorgan Chase Bank, N.A.	63,453.43
Inmates Funds Savings	JPMorgan Chase Bank, N.A.	79,040.30
Misc. Receipts	JPMorgan Chase Bank, N.A.	25,626.83
Occupational Therapy	JPMorgan Chase Bank, N.A.	27,590.35
10250 - TACONIC CORRECTIONAL FACILITY		
Inmate Funds	JPMorgan Chase Bank, N.A.	67,923.63
Misc. Revenue	JPMorgan Chase Bank, N.A.	772.65
Money Market	JPMorgan Chase Bank, N.A.	30,594.67
Occupational Therapy Fund	JPMorgan Chase Bank, N.A.	25,528.02
Taconic Advance Account	JPMorgan Chase Bank, N.A.	5,638.88
10270 - HUDSON CORRECTIONAL FACILITY		
Advance Account	Key Bank	4,534.67
Employee Benefit Fund Account	Key Bank	6,845.62
Inmate Funds Account	Key Bank	87,694.10
Inmate Key Advantage Account	Key Bank	65,159.42
Inmate Occupational Therapy Account	Key Bank	8,032.65
Miscellaneous Receipts Account	Key Bank	841.59
10290 - OTISVILLE CORRECTIONAL FACILITY		
Cash Advance	Jeff Bank	711.30
General Fund	Jeff Bank	1,596.02
Inmate Funds	Jeff Bank	114,895.16
Inmate Occupational Therapy	Jeff Bank	34,506.71
Inmate Savings	Jeff Bank	51,085.89
Inmate Savings CD	Hometown Bank	75,505.95
10300 - ROCHESTER CORRECTIONAL FACILITY		
Consolidated Advance Account	M&T Bank	1,472.13
Employee Recreation Fund	M&T Bank	543.90
Inmate Deposit Account	M&T Bank	46,880.95
Inmate Occupational Therapy	M&T Bank	138.22

Misc Fees	M&T Bank	25.95
Work Release Advance Account	M&T Bank	2,949.00
10320 - EDGECOMBE CORRECTIONAL FACILITY		
Agency Advance	JPMorgan Chase Bank, N.A.	4,947.47
Employee Benefit Account	JPMorgan Chase Bank, N.A.	2,494.02
Inmate Cash Account	JPMorgan Chase Bank, N.A.	31,820.40
Misc. Receipts Account	JPMorgan Chase Bank, N.A.	143.32
Occupational Therapy Acct	JPMorgan Chase Bank, N.A.	983.39
Work Release Account	JPMorgan Chase Bank, N.A.	0.00
10350 - OGDENSBURG CORRECTIONAL FACILITY		
Agency Advance Account	Community Bank	3,934.55
Inmate Fund	Community Bank	69,664.86
Inmate Savings	Community Bank	35,128.30
Misc. Receipts	Community Bank	313.51
Occupational Therapy	Community Bank	6,223.91
10360 - LINCOLN CORRECTIONAL FACILITY		
Agency Advance	JPMorgan Chase Bank, N.A.	3,357.18
Employee Benefit Fund	JPMorgan Chase Bank, N.A.	2,360.56
General Fund	JPMorgan Chase Bank, N.A.	137.16
Inmate Funds	JPMorgan Chase Bank, N.A.	160,614.15
Inmate Savings	JPMorgan Chase Bank, N.A.	59,564.79
Lincoln Work Release Account	JPMorgan Chase Bank, N.A.	2,794.00
Occupational Therapy	JPMorgan Chase Bank, N.A.	9,808.07
10370 - FIVE POINTS CORRECTIONAL FACILITY		
Consolidated Advance	Five Star Bank	2,094.43
EBF Checking	Five Star Bank	11,143.00
EBF Savings	Five Star Bank	3,132.02
Inmate Savings	Five Star Bank	302,631.02
Inmate Spendable	Five Star Bank	81,407.38
Misc Receipts	Five Star Bank	507.08
Occupational Therapy	Five Star Bank	43,298.64
10390 - MOHAWK CORRECTIONAL FACILITY		
Agency Advance	First Niagara Bank	2,459.99
Employee Benefit Fund Checking	Bank of America, N.A.	26,057.54
Inmate Funds Checking	First Niagara Bank	107,533.63
Inmate Funds Savings	First Niagara Bank	312,966.41
Miscellaneous Revenue	First Niagara Bank	1,326.32
Occupational Therapy	First Niagara Bank	17,225.01
10430 - WENDE CORRECTIONAL FACILITY		
Consolidated Advance	Alden State Bank	1,960.43
Employee Benefit Account	Alden State Bank	42,190.47
Inmate Savings	Alden State Bank	178,805.83
Inmates Funds	Alden State Bank	94,085.53
Misc. Receipts	Alden State Bank	12,660.71
Occupational Therapy	Alden State Bank	29,657.21
10441 - DEPARTMENT OF CORRECTIONAL SERVICES-FOOD PRODUCTION CENTER		
Office of Nutritional Services		
Miscellaneous Receipts	First Niagara Bank	15,790.79
10450 - GOWANDA CORRECTIONAL FACILITY		
Advance Account	Evans National Bank	3,844.16
Employee Benefit Fund	Evans National Bank	24,394.78
Inmate Funds	Evans National Bank	47,523.75
Inmate Funds - CD	Evans National Bank	130,922.72
Inmate Funds Savings	Evans National Bank	94,097.07
Miscellaneous Revenue	Evans National Bank	3,111.07
Occupational Therapy	Evans National Bank	12,273.92
10460 - GROVELAND CORRECTIONAL FACILITY		
Agency Advance Account	Five Star Bank	3,256.60
Employee Commission Account	Five Star Bank	10,164.81
Inmate Funds Account	Five Star Bank	203,126.74
Inmate Funds Account - Savings	Five Star Bank	172,916.44
Miscellaneous Receipts Account	Five Star Bank	5,316.19
Occupational Therapy Account	Five Star Bank	13,764.31
10470 - COLLINS CORRECTIONAL FACILITY		
Agency Advance	Community Bank	8,196.48
Employee Activities	Community Bank	11,440.66
Inmate Fund Checking	Community Bank	82,338.36
Inmate Savings	Community Bank	130,279.34
Miscellaneous Revenue	Community Bank	1,650.90
Occupational Therapy	Community Bank	21,527.15
10480 - MID-STATE CORRECTIONAL FACILITY		
Agency Advance	First Niagara Bank	2,351.01
Employee Benefit Fund	Bank of America, N.A.	27,929.53
Inmate Funds	Bank of America, N.A.	0.00

Inmate Funds	First Niagara Bank	109,226.00
Inmate Savings	First Niagara Bank	197,786.37
Misc. Revenue	First Niagara Bank	17,665.18
Occupational Therapy	First Niagara Bank	31,120.66
10490 - MARCY CORRECTIONAL FACILITY		
Agency Advance Account	First Niagara Bank	5,154.58
Employee Benefit Fund Account	Bank of America, N.A.	8,920.04
Inmates Fund Account	First Niagara Bank	84,592.08
Misc receipts Acct	First Niagara Bank	7,013.15
NYS DOCS Marcy Corr Facility	First Niagara Bank	146,835.42
Occupational Therapy fund Acct	First Niagara Bank	21,005.76
10500 - NYC CENTRAL ADMINISTRATION		
Agency Advance Acct	JPMorgan Chase Bank, N.A.	2,394.76
Misc Receipts	JPMorgan Chase Bank, N.A.	6,736.97
10501 - CENTRAL PHARMACY		
NYS Docs Central Pharmacy Advance Acct	Bank of America, N.A.	1,000.00
10510 - MORIAH SHOCK INCARCERATION CORRECTIONAL FACILITY		
Moriah Shock Incarceration Advance Acct	Glens Falls National	1,800.00
Moriah Shock Incarceration Employee Benefit Fund	Glens Falls National	4,781.71
Moriah Shock Incarceration Occ Therapy	Glens Falls National	3,866.32
Moriah Shock Misc Receipts	Glens Falls National	10.00
NYS Moriah Shock Incarceration Inmate Checking	Glens Falls National	59,750.43
10530 - FRANKLIN CORRECTIONAL FACILITY		
Advance Account	Key Bank	4,952.34
Employee Benefit Account	Key Bank	1,701.17
Inmate Funds	Key Bank	87,538.17
Inmate Occupational Therapy	Key Bank	36,098.58
Inmate Savings	Key Bank	396,851.21
Misc. Receipts	Key Bank	4,671.69
10540 - ALTONA CORRECTIONAL FACILITY		
Cons Adv Travel Petty Cash	NBT Bank	4,172.88
Employees Vending Benefit	NBT Bank	9,959.46
Inmates Funds	NBT Bank	155,129.30
Misc Revenues General Fund	NBT Bank	1,774.53
Occupational Therapy	NBT Bank	6,292.43
10550 - CAYUGA CORRECTIONAL FACILITY		
Agency Advance	First National Bank of Groton	7,871.48
Cert Of Deposit	First National Bank of Groton	85,843.06
Employee Benefit Fund	First National Bank of Groton	4,039.81
Inmate Occupational Therapy Account	First National Bank of Groton	26,317.99
Inmate Spendable	First National Bank of Groton	59,364.27
Inmate Spendable	First National Bank of Groton	0.00
Misc Receipts	First National Bank of Groton	947.82
10560 - BARE HILL CORRECTIONAL FACILITY		
Agency Advance	Key Bank	7,941.98
Employee Benefit Fund	Key Bank	11,810.84
Inmate Spendable Funds	Key Bank	50,271.97
Key Public Money Market Checking	Key Bank	306,185.14
Miscellaneous Receipts	Key Bank	537.76
Occupational Therapy	Key Bank	26,785.33
10570 - RIVERVIEW CORRECTIONAL FACILITY		
Agency Advance Account	Key Bank	1,545.98
Inmate Accounts	Key Bank	56,915.19
Inmate Savings Account	Key Bank	105,259.68
Miscellaneous Receipts Account	Key Bank	3,805.80
Occupational Therapy	Key Bank	14,343.88
10580 - CAPE VINCENT CORRECTIONAL FACILITY		
Advance Account	Community Bank	4,400.00
Employee Benefit Fund	Community Bank	5,098.05
Inmate Occupation Therapy Acct	Community Bank	13,348.83
Inmate Savings	Community Bank	111,322.86
Inmate Spendable Account	Community Bank	160,795.18
Miscellaneous Receipts Account	Community Bank	5,017.23
10600 - LAKEVIEW SHOCK INCARCERATION CORRECTIONAL FACILITY		
Agency Advance	Community Bank	4,188.00
Employee Benefit Fund - Sav	Community Bank	8,459.47
Inmate Funds	Community Bank	29,934.73
Inmate Funds - Sav	Community Bank	109,603.23
Miscellaneous Revenue	Community Bank	1,035.92
Occupational Therapy	Community Bank	1,954.73
Occupational Therapy - Sav	Community Bank	5,207.37
10610 - ULSTER CORRECTIONAL FACILITY		
Agency Advance	Bank of America, N.A.	3,201.01
Employee Benefit Fund	Bank of America, N.A.	3,473.90

Inmate Fund	Bank of America, N.A.	112,345.81
Inmate Funds Savings	Bank of America, N.A.	12,239.67
Misc. Receipts	Bank of America, N.A.	4,812.23
Occupational Therapy	Bank of America, N.A.	5,137.58
10630 - SOUTHPORT CORRECTIONAL FACILITY		
Advance Account	Chemung Canal Trust	1,775.90
Employee Benefit Fund	Chemung Canal Trust	17,635.07
Inmate Funds	Chemung Canal Trust	188,332.32
Inmate Funds Account	Chemung Canal Trust	24,495.15
Misc. Receipts Account	Chemung Canal Trust	885.52
Occupational Therapy Account	Chemung Canal Trust	13,156.57
10640 - ORLEANS CORRECTIONAL FACILITY		
Agency Advance	Bank of America, N.A.	3,904.79
Employee Benefit Fund	Bank of America, N.A.	4,831.71
Inmate Funds	Bank of America, N.A.	75,790.07
Inmate Savings	Bank of America, N.A.	106,932.72
Miscellaneous Receipts	Bank of America, N.A.	56,614.93
Occupational Therapy	Bank of America, N.A.	19,101.83
10650 - WASHINGTON CORRECTIONAL FACILITY		
Advance Account	Glens Falls National	2,230.99
Employee Benefit	Glens Falls National	12,422.33
General Fund	Glens Falls National	0.00
Inmate Fund	Glens Falls National	30,933.55
Inmate Funds Account Certificate Of Deposit	Glens Falls National	77,736.50
Inmate Funds Account Savings	Glens Falls National	14,310.98
Occupational Therapy	Glens Falls National	15,974.06
10660 - WYOMING CORRECTIONAL FACILITY		
Agency Advance	Five Star Bank	8,102.03
Employee Benefit Fund	Five Star Bank	8,334.00
Inmate Occupational Therapy	Five Star Bank	37,556.19
Inmate Savings - Certificate of Deposit	Five Star Bank	25,000.00
Inmate Savings - Certificate of Deposit	Five Star Bank	25,000.00
Inmate Savings - Certificate of Deposit	Five Star Bank	25,000.00
Inmate Savings Account	Five Star Bank	50,870.68
Inmate Spendable	Five Star Bank	167,044.57
Misc. Receipts Account	Five Star Bank	39,753.69
10670 - GREENE CORRECTIONAL FACILITY		
Consolidated Advance	National Bank of Coxsackie	4,439.11
Inmate Accounts	National Bank of Coxsackie	19,594.63
Inmate Accounts	National Bank of Coxsackie	52,300.75
Inmate Savings	National Bank of Coxsackie	238,201.04
Misc. Receipts	National Bank of Coxsackie	247.56
Occupational Therapy	National Bank of Coxsackie	26,794.54
10680 - SHAWANGUNK CORRECTIONAL FACILITY		
Consolidated Advance Account	Key Bank	1,055.15
Inmate Funds	Key Bank	149,944.99
Inmates Funds Account	Key Bank	61,916.81
Misc. Receipts Account	Key Bank	23.25
Occupational Therapy Acct	Key Bank	27,265.78
10690 - SULLIVAN CORRECTIONAL FACILITY		
Consolidated Advance	Bank of America, N.A.	1,838.11
Inmate Funds	Bank of America, N.A.	60,872.94
Miscellaneous Receipts	Bank of America, N.A.	420.15
Occupational Therapy	Bank of America, N.A.	24,184.96
Savings	Bank of America, N.A.	100,081.41
10800 - LIVINGSTON CORRECTIONAL FACILITY		
Consolidated Advance	Five Star Bank	2,154.87
Employee Benefit Fund	Five Star Bank	7,732.23
Inmate Funds CD	Five Star Bank	47,116.40
Inmate Funds CD	Five Star Bank	16,522.49
Inmate Funds CD	Five Star Bank	20,608.07
Inmate Funds Checking	Five Star Bank	54,978.93
Inmate Savings	Five Star Bank	56,031.27
Miscellaneous Receipts	Five Star Bank	577.77
Occupational Therapy	Five Star Bank	9,608.47
10810 - GOUVERNEUR CORRECTIONAL FACILITY		
Agency Advance	Community Bank	2,822.64
Inmate Occupational Therapy	Community Bank	15,236.82
Inmate Savings	Community Bank	239,758.88
Inmate Spendable Fund	Community Bank	168,874.74
Misc Receipts	Community Bank	3,015.91
10820 - WILLARD DRUG TREATMENT CENTER		
Consolidated Advance	Community Bank	1,473.53
Employee Benefit Fund	Community Bank	15,661.28

Inmate Funds	Community Bank	97,852.72
Inmate Occupational Therapy	Community Bank	3,001.91
Misc Receipts	Community Bank	290.20
10840 - UPSTATE CORRECTIONAL FACILITY-AUDIT 1		
Advance Account	Key Bank	3,718.75
Facility Committees	Key Bank	9,109.68
Inmate Fund	Key Bank	51,637.38
Inmate Fund Savings	Key Bank	319,895.01
Inmate Occupational Therapy Fund	Key Bank	3,065.18
Miscellaneous Account	Key Bank	130.02
10850 - HALE CREEK ASACTC		
Consolidated Advance	Key Bank	1,204.38
Employee Benefit Fund	Bank of America, N.A.	16,822.23
Inmate Funds	Key Bank	56,407.45
Inmate Interest Bearing Account	Key Bank	15,000.00
Misc Receipts	Key Bank	0.00
Occupational Therapy	Key Bank	12,767.08
10900 - ATTICA CORRECTIONAL FACILITY - INDUSTRIES		
Attica Correctional Facility Div Of Industries	First Niagara Bank	1,153.80
10904 - GREAT MEADOW CORRECTIONAL FACILITY - INDUSTRIES		
Cash Advance	Citizens Bank	750.00
10905 - FISHKILL CORRECTIONAL FACILITY - INDUSTRIES		
Agency Advance Account	M&T Bank	949.09
10906 - WALLKILL CORRECTIONAL FACILITY - INDUSTRIES		
Division of Industry	Key Bank	129.85
10908 - GREEN HAVEN CORRECTIONAL FACILITY - INDUSTRIES		
Div of Industries Petty Cash	Key Bank	3,155.02
10909 - ALBION CORRECTIONAL FACILITY - INDUSTRIES		
Petty Cash	Bank of America, N.A.	1,000.00
10910 - EASTERN NEW YORK CORRECTIONAL FACILITY - INDUSTRIES		
Div of Industries Petty Cash	Bank of America, N.A.	837.11
10916 - CENTRAL OFFICE - INDUSTRIES		
Div of Ind Petty Cash Acct	Key Bank	4,841.43
Div of Ind Revenue Acct	Key Bank	921,219.34
11000 - EDUCATION DEPARTMENT		
Consolidated Advance Account	Key Bank	316,993.32
Consolidated Advance Account (Control Disbursement)	Key Bank	0.00
Revenue Account	Key Bank	984,857.98
11100 - NYS HIGHER EDUCATION SERVICES CORPORATION		
EFT Disbursement	Key Bank	No report received
Operating	Key Bank	No report received
Retail Lockbox	US Bank	No report received
TAP	Key Bank	No report received
Wholesale Lockbox	US Bank	No report received
11260 - BATAVIA SCHOOL FOR THE BLIND		
Misc. Receipts	M&T Bank	No report received
Petty Cash	M&T Bank	No report received
Student Spending Account	Bank of America, N.A.	No report received
11270 - ROME SCHOOL FOR THE DEAF		
Miscellaneous Receipts	NBT Bank	71.27
Petty Cash	NBT Bank	1,925.00
Student Activity Fund	NBT Bank	2,807.91
11280 - ARCHIVES PARTNERSHIP TRUST		
Endowment	Janney Montgomery Scott LLC	No report received
Endowment - Special Account	Janney Montgomery Scott LLC	No report received
Trust's Board Project Account	Key Bank	No report received
12000 - DEPARTMENT OF HEALTH CENTRAL ADMINISTRATION		
Conf Narcotic Investigation	M&T Bank	No report received
Consolidated Advance Acct	M&T Bank	No report received
CSA Rebate Account	Bank of America, N.A.	No report received
EPIC Benefit Recovery Program	Bank of America, N.A.	27,358.33
EPIC Provider Receipt Account	Bank of America, N.A.	0.00
General Account	M&T Bank	No report received
Indian Health Disbursement Account	Bank of America, N.A.	0.00
Medicaid	Key Bank	No report received
Medicaid Audit Recoveries Acct	Key Bank	No report received
Medicaid Buy-In Account	Bank of America, N.A.	No report received
Medicaid Insurance Recoveries Acct	Bank of America, N.A.	No report received
Nursing Home Fees Account	Bank of America, N.A.	50,459.74
NYPS Master Funding Account	Bank of America, N.A.	25,290.30
NYPS Provider Payment Account	Bank of America, N.A.	0.00
12010 - ROSWELL PARK MEMORIAL INSTITUTE		
Office Of Patient Accounts	M&T Bank	422,932.72
12030 - HELEN HAYES HOSPITAL		

Misc. Receipts	JPMorgan Chase Bank, N.A.	No report received
Petty Cash Account	JPMorgan Chase Bank, N.A.	No report received
Rental Deposit Acct	JPMorgan Chase Bank, N.A.	No report received
12120 - NYS VETERANS HOME-OXFORD		
Agency Advance	NBT Bank	No report received
Exchange Account	NBT Bank	No report received
Maintenance Fund	NBT Bank	No report received
Resident Advance Savings	NBT Bank	No report received
12150 - NYS VETERANS HOME-ST ALBANS		
NYC Veteran Home Agency Advance	JPMorgan Chase Bank, N.A.	No report received
St Albans NYC Vet Home Resid Funds	JPMorgan Chase Bank, N.A.	No report received
St Albans Vet Home Maintenance Acct	NBT Bank	939,343.30
12180 - WESTERN NEW YORK VETERANS HOME		
Advance Account	Bank of America, N.A.	No report received
Exchange Account	Bank of America, N.A.	No report received
Maintenance Account	NBT Bank	449,413.53
Resident Funds	Bank of America, N.A.	No report received
12190 - VETERANS HOME AT MONTROSE		
Agency Advance Account	Bank of America, N.A.	13,446.71
Maintenance Acct	NBT Bank	189,787.97
Residence Account	Bank of America, N.A.	587,612.26
12200 - OFFICE OF MEDICAID INSPECTOR GENERAL		
Albany Confidential Account	Key Bank	309.59
Albany Petty Cash Account	Key Bank	130.15
NYC Confidential Account	JPMorgan Chase Bank, N.A.	289.55
14000 - DEPARTMENT OF LABOR		
Agency Advance Account	Key Bank	29,830.29
Exchange Account	Bank of America, N.A.	135,285.90
Fee And Permit Account	Key Bank	2,084,799.00
Min Wage & Claim Funding Acct	Key Bank	205,090.41
Minimum Wage & Wage Claim Acct	Key Bank	798,271.25
Misc Receipts	Bank of America, N.A.	131,799.96
U.I. Fund Clearing Account	JPMorgan Chase Bank, N.A.	100,503,983.73
16000 - PUBLIC SERVICE COMMISSION		
Advance For Travel	Key Bank	No report received
Cable Account	Key Bank	No report received
Petty Cash Account	Key Bank	No report received
Special Fee Account	Key Bank	No report received
17000 - NYS DEPARTMENT OF TRANSPORTATION		
Contractors Bid And Guarantee	Key Bank	246,901.03
Driver Improvement Program (DIP)	Key Bank	17,186.31
Emergency Card Funding Account	Bank of America, N.A.	252,817.80
Main Office Advance For Travel	Key Bank	152,853.35
Revenue Unit	Key Bank	377,254.73
Republic Airport, Long Island		
Republic Airport Revenue Acct	JPMorgan Chase Bank, N.A.	953,340.59
17100 - NYS DEPARTMENT OF TRANSPORTATION-REGION 10		
Region 10 Advance For Travel	Citibank	No report received
19000 - DEPARTMENT OF STATE		
Atheltic	M&T Bank	No report received
Licensing Revenue Account	JPMorgan Chase Bank, N.A.	No report received
Main	M&T Bank	No report received
Petty Cash Account	Key Bank	No report received
Summons	M&T Bank	No report received
19001 - TUG HILL COMMISSION		
Agency Advance Account	Key Bank	No report received
19002 - LAKE GEORGE PARK COMMISSION		
Petty Cash Account	Glens Falls National	No report received
Revenue Transfer Account	Glens Falls National	No report received
19005 - COMMISSION ON PUBLIC INTEGRITY		
CPI Revenue Account	Key Bank	No report received
Petty Cash Account	Key Bank	No report received
20000 - DEPARTMENT OF TAXATION & FINANCE		
Advance Tax Enforcement	JPMorgan Chase Bank, N.A.	25,803.75
Exchange	Bank of America, N.A.	48.52
Fee Account	Key Bank	24,591.11
IFTA Funding	JPMorgan Chase Bank, N.A.	1,690.93
Misc Tax Account - Exchange	Bank of America, N.A.	52,280.61
Petty Cash	Bank of America, N.A.	47,337.27
Tax Preparer Fees Account	JPMorgan Chase Bank, N.A.	34,000.00
Waste Tire Tax	JPMorgan Chase Bank, N.A.	31,539.20
20050 - DIVISION OF THE LOTTERY		
Concentration	Key Bank	237,868.76
Custody Account	US Bank	No report received

Exchange Account	Key Bank	10,861,558.44
Lottery Prize Account #2	Key Bank	0.00
Lottery Subscriptions Account	Key Bank	630,617.24
21012 - WELFARE INSPECTOR GENERAL		
Confidential Fund	JPMorgan Chase Bank, N.A.	No report received
Petty Cash	JPMorgan Chase Bank, N.A.	No report received
21110 - OFFICE OF REGULATORY REFORM		
Petty Cash	Key Bank	No report received
21290 - HUDSON RIVER-BLACK RIVER REGULATING DISTRICT		
Checking- General Fund Acct.	Community Bank	8,306.47
Checking- Petty Cash Fund	Community Bank	5,000.00
Hudson River General Acct	Bank of America, N.A.	(267,551.66)
Money Market	Bank of America, N.A.	907.02
Petty Cash Fund	Bank of America, N.A.	6,500.00
21700 - OFFICE OF THE STATE INSPECTOR GENERAL		
Office Of The State Inspector General Confidential Account	JPMorgan Chase Bank, N.A.	No report received
Office Of The State Inspector General Pass Thru Account	Key Bank	No report received
OSIG Confidential Account	Key Bank	No report received
OSIG Petty Cash Account	Key Bank	No report received
21820 - STATE COMMISSION ON JUDICIAL CONDUCT		
Petty Cash Account	JPMorgan Chase Bank, N.A.	351.57
Petty Cash Account	Key Bank	138.62
Petty Cash Fund	JPMorgan Chase Bank, N.A.	907.97
21940 - NYS FINANCIAL CONTROL BOARD		
Agency Advance Acct	JPMorgan Chase Bank, N.A.	No report received
23000 - DEPARTMENT OF MOTOR VEHICLES		
Abany Central Main Acct		
Albany Central Main Acct	Wells Fargo Bank	434,276.43
Albany Central Main Exchange		
Exchange	Wells Fargo Bank	60,807.80
Albany Central Main Exchange (Albany Central Office)		
Exchange	M&T Bank	27,814.44
Albany Central Office		
DMV Exchange Acct - Controlled Disb	M&T Bank	0.00
Title Escrow Exchange (Albany Central Office)	Wells Fargo Bank	25,999.00
Albany TVB Sub (Albany Central Office)		
Adjudication Account	M&T Bank	0.00
Adjudication Account	Wells Fargo Bank	140,356.94
Administrative Adj	M&T Bank	105,634.36
Albany-Region 3		
Confidential Inv Subpoena - Albany	Key Bank	No report received
Allegany-Belmont		
County Clerk Fee Allegany	Steuben Trust Co.	24,021.82
Andirondack Mountains		
County Fee Account	JPMorgan Chase Bank, N.A.	199,100.07
Buffalo-Region 5		
MV- Buffalo Investigator & Subpoena	M&T Bank	1,153.00
Capital Saratoga Revenue		
County Fee Account	JPMorgan Chase Bank, N.A.	183,337.07
Catskill Mountains		
County Fee Account	JPMorgan Chase Bank, N.A.	213,394.32
Central Leatherstocking		
County Fee Account	JPMorgan Chase Bank, N.A.	146,388.94
Chautaqua-Steuben		
County Fee Acct	JPMorgan Chase Bank, N.A.	141,787.21
Chautauqua County		
Holding Acct-Chautauqua County	Community Bank	No report received
Holding Acct-Chautauqua County	Key Bank	No report received
Holding Acct-Chautauqua County	M&T Bank	No report received
Chemical Concentration (CTY)(OSC)		
Chemical Concentration (CTY)(OSC)	First Niagara Bank	0.00
Chemical Concentration (DO)(OSC)		
Chemical Concentration (DO)(OSC)	First Niagara Bank	0.00
Confidential Fund (Albany Central Office)		
Confidential Fund	Bank of America, N.A.	14,444.19
CTY Credit Card (Albany Central Office)		
County Office Credit Card Account	JPMorgan Chase Bank, N.A.	2,455,427.32
Customer Service Counter (Albany)		
NYS DMV CSC	Wells Fargo Bank	41,846.54
D.O. Credit Card (Albany Central Office)		
District Office Credit Card Account	JPMorgan Chase Bank, N.A.	8,011,813.27
DMV Division Of Field Investigations - Albany Central Office		
Field Investigation	M&T Bank	No report received
Eric County Revenue		

County Fee Account	JPMorgan Chase Bank, N.A.	21,357.84
Erie County Revenue		
County Fee Account	JPMorgan Chase Bank, N.A.	21,357.84
Finger Lakes First		
County Fee Acct	JPMorgan Chase Bank, N.A.	48,081.46
Finger Lakes Second		
County Fee Acct	JPMorgan Chase Bank, N.A.	117,184.85
Genesee County		
Genesee County Clerk - DMV	Bank of Castile	153,892.00
Greene County		
Fee Account - Greene	Greene County Commercial Bank	No report received
HCK,WHD,BYS,PSK,WPD,BRX,BXI,BRK		
Revenue Account - Downstate	Wells Fargo Bank	1,481,151.84
Hudson Valley		
County Fee Acct	JPMorgan Chase Bank, N.A.	211,041.69
IRP (Albany Central Office)		
International Registration	M&T Bank	4,868,141.06
International Registration	Wells Fargo Bank	557,087.88
IRP Exchange (Albany Central Office)		
Irp Internet Office - Dept. MV	M&T Bank	192,396.09
Kiosk		
Kiosk Account	JPMorgan Chase Bank, N.A.	295,007.25
Long Island/Staten Island DO		
Long Island/Staten Island DO	Wells Fargo Bank	1,201,413.73
Monroe-Henrietta(RCH),Greece,Irondequoit,Mobile,Mobile2 And Mobile3		
Fee Account Monroe	JPMorgan Chase Bank, N.A.	0.00
Niagara Frontier		
County Fee Acct	JPMorgan Chase Bank, N.A.	115,955.65
Office Fee (Albany Central Office)		
Office Fee	Wells Fargo Bank	111,964.10
Oneida County		
DMV Oneida County Fee Account	Bank of Utica	192,376.07
Oneida County Fee Account	NBT Bank	156,381.90
Petty Cash (Albany Central Office)		
Petty Cash	Bank of America, N.A.	86,320.00
Queens-Region 6		
Confidential - Queens	JPMorgan Chase Bank, N.A.	4,130.00
Rockland/Westchester DO		
Rockland/Westchester DO	Wells Fargo Bank	239,501.22
Search Exchange (Albany Central Office)		
MV Search	HSBC	88,734.61
Search Exchange (Albany Central Office)		
MV Search	Wells Fargo Bank	55,517.46
Syracuse-Region 4		
Confidential - Syracuse	Key Bank	No report received
Thousand Island Seaway		
County Fee Acct	JPMorgan Chase Bank, N.A.	448,195.76
Title Escrow Exchange (Albany Central Office)		
Escrow Title	M&T Bank	No report received
Travel Advance (Albany Central Office)		
Travel Advance	Bank of America, N.A.	9,837.00
TVB Acct		
TVB Acct	Wells Fargo Bank	137,833.32
TVB Credit Card (Albany Central Office)		
TVB Credit Card Receipts	JPMorgan Chase Bank, N.A.	1,162,912.04
Upstate DO		
Upstate District Offices (ALB, SYD, SYS, UTD)	Wells Fargo Bank	173,829.05
Utica D.O.		
Exchange Account	Bank of Utica	3,671.89
Revenue Utica	Bank of Utica	1,721,215.67
Yonkers-Region 2		
Safety Sup Automotive FAC INSP	JPMorgan Chase Bank, N.A.	2,648.00
25000 - OFFICE OF CHILDREN & FAMILY SERVICES		
Brentwood Resid Advance	JPMorgan Chase Bank, N.A.	259.60
Brentwood Resid Center Cash Advance	JPMorgan Chase Bank, N.A.	272.00
Bronx CMSO Cash Advance #237	JPMorgan Chase Bank, N.A.	500.00
Brooklynn Aftercare Wraparound Account	JPMorgan Chase Bank, N.A.	380.00
Brookwood Cash Advance	Key Bank	160.56
Brookwood Resid Residential Cash	Key Bank	16,853.14
Buffalo CMSO	M&T Bank	257.00
Capital District CMSO	Key Bank	335.00
Check Exchange	Bank of America, N.A.	721,329.46
CO Independent Living Acct	Bank of America, N.A.	10,000.00
Co. Training Employment Dev (Youth Stipend)	Bank of America, N.A.	20,783.75

Columbia Girls Secure Center-Advance Acct	Bank of America, N.A.	171.76
Columbia Girls Secure Center-Youth Savings	Bank of America, N.A.	3,253.82
Ella Mcqueen Reception Center For Boys	Bank of America, N.A.	500.00
Finger Lakes Res Ctr Residents Cash	Tompkins County Trust	3,442.94
Fingerlakes Res Ctr Cash Advance	Tompkins County Trust	2,563.31
Goshen Cash Advance	Bank of America, N.A.	1,874.00
Goshen Residents Account	Bank of America, N.A.	10,290.08
Highland Res Ctr Petty Cash Account	Bank of America, N.A.	1,457.32
Highland Res Ctr Residents Acct	Bank of America, N.A.	2,024.71
Home Office Care & Maintenance Account	Bank of America, N.A.	0.00
Industry Advance Account	JPMorgan Chase Bank, N.A.	1,523.44
Industry Res Account	JPMorgan Chase Bank, N.A.	4,190.84
Industry School Dug-Out	JPMorgan Chase Bank, N.A.	484.50
MacCormick Cash Advance	Tompkins County Trust	1,422.40
MacCormick Resid Advance	Tompkins County Trust	7,346.21
Manhattan CMSO	JPMorgan Chase Bank, N.A.	500.00
Medicaid Reimbursement Exchange	Bank of America, N.A.	768,957.94
Mid Hudson #236	Bank of America, N.A.	500.00
NYS OCFS Advance Acct (Travel & Misc P.C.)	Bank of America, N.A.	14,694.00
NYS OCFS Salary Advance Account	Bank of America, N.A.	9,900.00
Queens CMSO Cash Advance	Bank of America, N.A.	160.00
Queens-Long Island Aftercare	JPMorgan Chase Bank, N.A.	457.99
Red Hook Res Ctr Resident Cash	Key Bank	1,522.98
Red Hook Resid Ctr Cash Advance	Key Bank	210.44
Rochester Aftercare Wraparound Account	JPMorgan Chase Bank, N.A.	690.00
State Central Register	Bank of America, N.A.	80,689.00
Taberg Cash Advance Account	M&T Bank	253.63
Taberg Residents Account	M&T Bank	493.96
Training Academy Cash Advance	Bank of America, N.A.	0.00
Youth Leadership Cash Advance	NBT Bank	256.74
Youth Leadership Resident Cash	NBT Bank	4,732.37
27000 - OFFICE OF TEMPORARY & DISABILITY ASSISTANCE		
Exchange Account	Key Bank	No report received
Title IV D Of Social Security	Key Bank	No report received
Travel Advance	Key Bank	No report received
28010 - SUNY ALBANY		
Fee Account	Key Bank	(127,250.13)
Loan Services Center Account	Key Bank	(53,697.91)
Petty Cash/Travel Advance	Key Bank	50,840.00
28020 - SUNY BINGHAMTON		
SUNY Binghamton	M&T Bank	1,435,455.46
SUNY Binghamton - Controlled Disb	M&T Bank	0.00
SUNY Binghamton - Petty Cash	M&T Bank	44,127.84
28030 - SUNY BUFFALO		
Agency Advance Account	Bank of America, N.A.	2,400.00
Controlled Disbursement Account	Bank of America, N.A.	0.00
General Revenue Account	Bank of America, N.A.	199,868.69
General Revenue Account	Key Bank	2,521,243.94
Imprest Account	Key Bank	144,271.57
28050 - SUNY STONY BROOK		
Central Funding	JPMorgan Chase Bank, N.A.	3,482,199.42
Controlled Disbursement	JPMorgan Chase Bank, N.A.	0.00
Fees Depository	JPMorgan Chase Bank, N.A.	1,887,442.96
LISVH Fees Depository	JPMorgan Chase Bank, N.A.	245,540.10
LISVH Fees Depository	Sterling Bank	385,127.00
LISVH Residence Fund	Sterling Bank	395,355.63
Payroll Advance	JPMorgan Chase Bank, N.A.	4,980.00
SBU Student Refunds Cont Disb Acct	JPMorgan Chase Bank, N.A.	0.00
State Consolidated Petty Cash/Travel Advance	JPMorgan Chase Bank, N.A.	26,652.24
Student ACH Refunds Account	JPMorgan Chase Bank, N.A.	0.00
University Hosp Fees Depository	JPMorgan Chase Bank, N.A.	6,220,643.68
University Hospital Petty Cash	JPMorgan Chase Bank, N.A.	1,446.85
28100 - SUNY HEALTH SCIENCE CENTER AT BROOKLYN		
Center Revenue	JPMorgan Chase Bank, N.A.	101,330.47
EFT Federal Deposits Acct	JPMorgan Chase Bank, N.A.	0.00
Hospital Controlled Disbursement	JPMorgan Chase Bank, N.A.	0.00
Hospital Revenue	JPMorgan Chase Bank, N.A.	3,570,956.75
LICH Controlled Disbursement	JPMorgan Chase Bank, N.A.	0.00
LICH Depository	JPMorgan Chase Bank, N.A.	96,047.05
Petty Cash	JPMorgan Chase Bank, N.A.	70,956.25
Student Refunds	JPMorgan Chase Bank, N.A.	0.00
28110 - SUNY HEALTH SCIENCE CENTER AT SYRACUSE		
College Revenue	Key Bank	227,459.45
Controlled Disbursement	Key Bank	0.00

Hospital Revenue	Key Bank	2,964,025.51
Parking	Key Bank	261,376.56
28150 - SUNY BROCKPORT		
Agency Advance	M&T Bank	27,940.00
Brockport-REOC Account	Key Bank	193,387.22
Concentration Acct	M&T Bank	0.00
Controlled Disb	M&T Bank	5,213.38
28160 - SUNY BUFFALO STATE COLLEGE		
Advance Account	M&T Bank	72,881.77
Controlled Disb	M&T Bank	0.00
Dept Public Safety	M&T Bank	0.00
Special Grant Account	M&T Bank	4,123.14
Students Acct Office	M&T Bank	147,975.47
28170 - SUNY CORTLAND		
General Checking Account	Key Bank	281,760.94
Petty Cash	Key Bank	24,230.94
28180 - SUNY FREDONIA		
Controlled Disb	M&T Bank	0.00
Depository Account	M&T Bank	104,845.42
Petty Cash	M&T Bank	36,900.00
28190 - SUNY GENESEO		
Advance Account	Key Bank	45,808.68
Controlled Disbursement Account	Key Bank	0.00
State Fees	Key Bank	199,161.46
28200 - SUNY OLD WESTBURY		
Local Depository	JPMorgan Chase Bank, N.A.	237,097.97
Petty Cash	JPMorgan Chase Bank, N.A.	10,304.60
28210 - SUNY NEW PALTZ		
Disbursement Account	Key Bank	0.00
Petty Cash	Key Bank	57,636.19
State Revenue	Key Bank	329,022.29
28220 - SUNY ONEONTA		
Petty Cash Advance Account	NBT Bank	62,980.00
Revenue Account	NBT Bank	179,514.20
28230 - SUNY OSWEGO		
Controlled Disbursement	Key Bank	0.00
General Revenue	Key Bank	834,754.26
Imprest Account	Key Bank	49,844.63
28240 - SUNY PLATTSBURGH		
General Revenue	TD Bank	1,000,638.08
Petty Cash	Glens Falls National	22,683.40
28250 - SUNY POTSDAM		
NYS University College At Potsdam	HSBC	123,387.36
Petty Cash	HSBC	32,290.00
State Fee Account	Key Bank	0.00
State Fee Reconciliation Account	HSBC	118,805.53
28260 - SUNY PURCHASE		
General Income Fund	Key Bank	181,754.96
Petty Cash/Travel	Key Bank	418.75
SUNY Purchase	Key Bank	0.00
28270 - SUNY INSTITUTE OF TECHNOLOGY UTICA/ROME		
Advance Account	Bank of America, N.A.	7,868.44
Controlled Disbursement Account	Bank of America, N.A.	0.00
Revenue	Bank of America, N.A.	102,234.45
28280 - SUNY EMPIRE STATE COLLEGE		
Concentration Account	Key Bank	304,586.58
Distribution Center Account	Key Bank	61,027.27
Zero Balance Controlled Disbursement Account	Key Bank	0.00
28350 - SUNY COLLEGE OF TECHNOLOGY AT ALFRED		
Fees Account	Community Bank	303,987.24
Petty Cash Account	Community Bank	33,110.00
28360 - SUNY COLLEGE OF TECHNOLOGY AT CANTON		
Consolidated Advance	Key Bank	30,000.00
Income Fund	Key Bank	24,423.93
International Program Account	Key Bank	1.13
28370 - SUNY AGRICULTURAL & TECHNICAL COLLEGE AT COBLESKILL		
Agency Advance	Key Bank	23,415.00
Income Fund	Key Bank	99,453.28
28380 - SUNY COLLEGE OF TECHNOLOGY AT DELHI		
General Revenue	Delaware National Bank	27,639.34
Petty Cash Fund	Delaware National Bank	21,283.99
28390 - SUNY COLLEGE OF TECHNOLOGY AT FARMINGDALE		
Advance Account	Citibank	20,672.46
Income Fund	Citibank	1,205,371.57

28400 - SUNY AGRICULTURAL & TECHNICAL COLLEGE AT MORRISVILLE		
Income Fund	Key Bank	436,090.29
Revenue Account	NBT Bank	40,838.71
28550 - SUNY COLLEGE OF ENVIRONMENTAL SCIENCE & FORESTRY		
Advance Account	M&T Bank	0.00
Agency Advance	Key Bank	21,353.87
Controlled Disb	Key Bank	0.00
Controlled Disb	M&T Bank	0.00
Forestry	Community Bank	768.72
Regular Account	Key Bank	39,294.32
Revenue Account	M&T Bank	125,428.91
Student Government	Key Bank	211,053.72
28559 - SUNY COLLEGE OF ENVIRONMENTAL SCIENCE & FORESTRY-CURRENT		
NYS College Of Environmental	HSBC	0.00
28570 - SUNY MARITIME COLLEGE		
Controlled Disbursement Account	JPMorgan Chase Bank, N.A.	0.00
Cruise Account	JPMorgan Chase Bank, N.A.	110,000.00
Petty Cash Account	JPMorgan Chase Bank, N.A.	9,884.93
Revenue Deposit Account	JPMorgan Chase Bank, N.A.	781,649.72
Revenue EFT Account	JPMorgan Chase Bank, N.A.	13,453.78
28580 - SUNY COLLEGE OF OPTOMETRY		
Consolidated Advance	JPMorgan Chase Bank, N.A.	3,300.00
General Revenue	JPMorgan Chase Bank, N.A.	98,007.24
Medical Transportation	JPMorgan Chase Bank, N.A.	1,749.88
28650 - SUNY CENTRAL SYSTEM ADMINISTRATION		
ASC	Key Bank	10,000.00
NYS Iso	Key Bank	3,200,000.00
Petty Cash	Key Bank	4,750.00
Revenue	Key Bank	827,260.13
37000 - DEPARTMENT OF FINANCIAL SERVICES		
Advance for Travel	Key Bank	50,000.00
Fire Tax Account (Main)	Key Bank	113,744.87
General Assessment Account	JPMorgan Chase Bank, N.A.	160,110.90
General Fund	Key Bank	168,875.73
Market Stabilization Pool Account	Key Bank	95,038,626.52
Miscellaneous Account	JPMorgan Chase Bank, N.A.	136,156.92
Petty Cash	Key Bank	4,066.76
Workers Comp Insurance Sec Fund Pymnt	JPMorgan Chase Bank, N.A.	6,434,115.05
49010 - SARATOGA-CAPITAL DISTRICT STATE PARK COMMISSION		
Contractors Bid (SA)	Bank of America, N.A.	51,283.68
Revenue (SA)	Glens Falls National	858.71
49020 - LONG ISLAND STATE PARK COMMISSION		
Contractors Bid (LI)	JPMorgan Chase Bank, N.A.	7,571.93
Regional Account (LI)	Bank of America, N.A.	1.00
Regional Account 2 (LI)	JPMorgan Chase Bank, N.A.	110,867.25
Revenue (LI)	Suffolk County National	2,900.21
49030 - GENESEE STATE PARK COMMISSION		
Contractors Bid (GE)	Bank of Castile	35,640.10
Revenue (GE)	Bank of Castile	81,552.07
49040 - NIAGARA FRONTIER STATE PARK COMMISSION		
Contractors Bid (NIA)	Key Bank	2,409.38
Revenue (NIA)	Evans National Bank	1,120.00
49050 - PALISADES INTERSTATE STATE PARK COMMISSION		
Contractors Bid (PA)	JPMorgan Chase Bank, N.A.	868.69
49070 - OFFICE OF PARKS & RECREATION		
Main Office - Change Fund	Key Bank	63,516.00
Main Office Account (ALB)	Key Bank	1,500.00
OPRHP Concentration Account	First Niagara Bank	196,508.77
Petty Cash (ALB)	Key Bank	39,845.39
Revenue (NI, GE, AL, CE, TA)	M&T Bank	26,432.11
Revenue (NYC, CE, LI, PA, TA)	JPMorgan Chase Bank, N.A.	239,347.59
Revenue (SA, LI, GE, NI, CE, TA)	Bank of America, N.A.	74,818.86
Revenue (SA, NI, PA, CE, TA, TI)	Key Bank	60,158.87
Revenue (various)	Wells Fargo Bank	119,630.86
Statewide Campsite/Cabin Revenue	JPMorgan Chase Bank, N.A.	360,727.31
Statewide Credit Card Revenue, Revenue (ALB, FL, LI, PA, TI)	First Niagara Bank	1,253,381.30
Statewide Housing Security Deposits	Key Bank	159,712.17
49090 - FINGER LAKES STATE PARK COMMISSION		
Contractors Bid (FL)	Tompkins County Trust	7,911.78
Revenue (FL)	Savannah Bank	5,526.64
Revenue (FL-Multi)	Community Bank	12,697.39
Revenue (FL-Multi-Facilities)	Tompkins County Trust	68,782.33
49100 - ALLEGANY STATE PARK COMMISSION		
Contractors Bid (AL)	Five Star Bank	3,680.00

Regional Account (AL)	Five Star Bank	66,292.72
Revenue (AL)	Five Star Bank	32,880.43
49120 - CENTRAL NEW YORK STATE PARK COMMISSION		
Contractors Bid (CE)	JPMorgan Chase Bank, N.A.	25,137.13
Revenue (CE, SA, TI)	NBT Bank	42,607.33
49130 - TACONIC STATE PARK COMMISSION		
Contractors Bid (TA)	M&T Bank	3,878.12
49140 - THOUSAND ISLANDS STATE PARK COMMISSION		
Revenue (TI -Long Point River)	Citizens Bank	14,976.97
Revenue (TI)	Citizens Bank	305.55
Revenue (TI-Multi)	Community Bank	5,823.41
50000 - OFFICE OF MENTAL HEALTH		
Consolidated Advance	Bank of America, N.A.	30,447.35
Iterim Assistance Agreement	Bank of America, N.A.	No report received
OMH Med Grant Cont Disb Acct	Bank of America, N.A.	No report received
OMH Medication Grant Program Acct	Bank of America, N.A.	No report received
Petty Cash Manufacturing	Bank of America, N.A.	260.00
Reimbursement Account	Bank of America, N.A.	No report received
50010 - GREATER BINGHAMTON HEALTH CENTER		
Agency Advance Account	JPMorgan Chase Bank, N.A.	23,047.28
Facility Holding Account	JPMorgan Chase Bank, N.A.	29,554.87
Patient Cash Funds	JPMorgan Chase Bank, N.A.	445,185.75
Patients Cash Account	JPMorgan Chase Bank, N.A.	98,968.18
Security Deposit	JPMorgan Chase Bank, N.A.	5,500.12
50020 - KINGSBORO PSYCHIATRIC CENTER		
Advance Account	Banco Popular	No report received
Family Care	Banco Popular	14,290.87
Holding Account	Banco Popular	145,513.73
Medicaid Outpatient Travel	Banco Popular	8,112.29
Patient Cash Acct (MM)	Banco Popular	508,484.57
Patient Checking Account	Citibank	150,856.50
Patient Savings Account	Banco Popular	331,737.94
Security Deposit	Banco Popular	2,487.87
Urban Oasis/EBT	Banco Popular	1,357.88
50030 - BUFFALO PSYCHIATRIC CENTER		
Advance Account	M&T Bank	33,354.46
Employee Building & Donation	M&T Bank	20,318.15
Facility Holding	M&T Bank	5,994.57
Patient Cash Checking	M&T Bank	164,102.69
50060 - HUDSON RIVER PSYCHIATRIC CENTER		
Patients Cash	JPMorgan Chase Bank, N.A.	(12,614.62)
50080 - MANHATTAN PSYCHIATRIC CENTER		
Advance Account	Hudson Valley National Bank	28,018.37
CD	Hudson Valley National Bank	425,038.94
General Fund Checking	Hudson Valley National Bank	28,455.48
Patient Cash Checking	Hudson Valley National Bank	489,484.73
Patients Money Market	Hudson Valley National Bank	72,672.03
Social Service Tokens	Hudson Valley National Bank	38,103.69
50110 - ROCHESTER PSYCHIATRIC CENTER		
Agency Advance	Key Bank	9,403.43
Facility Holding	Key Bank	175,270.85
Patients Cash Account	Key Bank	252,178.41
Patients Fund Savings	Key Bank	163,834.59
50120 - ST LAWRENCE PSYCHIATRIC CENTER		
Facility Advance Account	Community Bank	40,724.90
Facility Holding Account	Community Bank	32,624.02
Patients Cash Account	Community Bank	29,702.94
Patients Cash Savings	Community Bank	301,286.03
50150 - CREEDMOOR PSYCHIATRIC CENTER		
Advance Account	HSBC	33,327.09
Certificate Of Deposit	HSBC	250,000.00
Certificate Of Deposit	HSBC	150,000.00
Holding Account	HSBC	16,957.85
Medicaid Travel Account	HSBC	44,728.30
Money Management Account	HSBC	860,312.28
Patient Cash Account	HSBC	126,722.88
Rent Holding Account	HSBC	0.00
50170 - ROCKLAND PSYCHIATRIC CENTER		
Advance Account	JPMorgan Chase Bank, N.A.	24,627.72
Exchange Account	JPMorgan Chase Bank, N.A.	99,664.92
Holding Account	JPMorgan Chase Bank, N.A.	28,816.11
Investment CD	Provident Bank	100,091.35
Investment CD	Provident Bank	100,096.90
Investment CD	Provident Bank	100,096.90

Investment CD	Provident Bank	100,076.35
Investment CD	Provident Bank	100,051.05
Investment CD	Provident Bank	100,076.35
Patient Cash Account	JPMorgan Chase Bank, N.A.	50,000.00
Patient Cash Checking Account	JPMorgan Chase Bank, N.A.	269,092.68
Patient Cash Savings Account	JPMorgan Chase Bank, N.A.	778,570.51
50180 - NYS PSYCHIATRIC INSTITUTE		
Donation & Gift Acct/Patient Fund Acct	JPMorgan Chase Bank, N.A.	3,636.20
General	JPMorgan Chase Bank, N.A.	12,530.90
Petty Cash	JPMorgan Chase Bank, N.A.	1,375.90
50190 - RICHARD H HUTCHINGS PSYCHIATRIC CENTER		
Advance Account	Key Bank	18,507.88
Clients Count	Key Bank	261,366.37
Holding Account	Key Bank	14,981.20
50200 - PILGRIM PSYCHIATRIC CENTER		
Facility Advance Account	JPMorgan Chase Bank, N.A.	75,325.31
Facility Holding Acct	JPMorgan Chase Bank, N.A.	129,734.25
Family Care Advance Account	JPMorgan Chase Bank, N.A.	16,448.32
Patient Cash	JPMorgan Chase Bank, N.A.	731,387.37
50210 - MOHAWK VALLEY PSYCHIATRIC CENTER		
Advance Fund	First Niagara Bank	18,010.77
Facility Holding	First Niagara Bank	3,105.68
Patient Cash Checking	First Niagara Bank	10,362.13
Patient Cash Savings	First Niagara Bank	73,167.90
Security Deposit	First Niagara Bank	5,377.17
50310 - BRONX PSYCHIATRIC CENTER		
CD	JPMorgan Chase Bank, N.A.	250,000.00
Consolidated Advance	JPMorgan Chase Bank, N.A.	18,556.58
Misc. Receipts	JPMorgan Chase Bank, N.A.	29,436.15
Patients Cash MM	JPMorgan Chase Bank, N.A.	84,349.78
Patients Checking Acct	JPMorgan Chase Bank, N.A.	739,600.57
50340 - NATHAN KLINE INSTITUTE		
Petty Cash Account	JPMorgan Chase Bank, N.A.	1,300.00
50350 - KIRBY FORENSIC PSYCHIATRIC CENTER		
Consolidated Advance Account	Hudson Valley National Bank	12,177.01
Holding Account	Hudson Valley National Bank	33,871.39
Patient Cash Account	Hudson Valley National Bank	60,319.39
50390 - CENTRAL NY PSYCHIATRIC CENTER		
Agency Advance Account	First Niagara Bank	8,722.35
General Fund	First Niagara Bank	6,016.47
Patient Checking	First Niagara Bank	31,215.71
Patient Savings	First Niagara Bank	150,765.76
Security Deposit	First Niagara Bank	1,581.48
50440 - MID-HUDSON FORENSIC PSYCHIATRIC CENTER		
Facility Advance Account	Key Bank	9,133.34
Facility Holding Account	Key Bank	2,124.41
Patients Account	Key Bank	94,201.81
50520 - BROOKLYN CHILDRENS PSYCHIATRIC CENTER		
Petty Cash Advance Account	Banco Popular	9,015.30
50790 - SOUTH BEACH PSYCHIATRIC CENTER		
Agency Advance Checking	JPMorgan Chase Bank, N.A.	6,558.86
Facility Holding Checking	JPMorgan Chase Bank, N.A.	17,226.48
Family Care Checking	JPMorgan Chase Bank, N.A.	1,020.00
Patients Cash Checking	JPMorgan Chase Bank, N.A.	243,634.36
50800 - BRONX CHILDRENS PSYCHIATRIC CENTER		
General Fund	JPMorgan Chase Bank, N.A.	13,550.12
50810 - WESTERN NY CHILDRENS PSYCHIATRIC CENTER		
Agency Advance	M&T Bank	295.76
Exchange Account	M&T Bank	3,984.61
Patients Cash Acct	M&T Bank	728.07
50850 - SAGAMORE CHILDRENS PSYCHIATRIC CENTER		
Sagamore Patient Personal Acct	JPMorgan Chase Bank, N.A.	37,720.52
Sagamore Petty Cash Account	JPMorgan Chase Bank, N.A.	15,455.00
50860 - ROCKLAND CHILDRENS PSYCHIATRIC CENTER		
Advance Account	JPMorgan Chase Bank, N.A.	12,255.50
50870 - QUEENS CHILDRENS PSYCHIATRIC CENTER		
Money Management Account	HSBC	83,652.90
Queens Adv Acct	HSBC	3,560.79
Queens Childrens Account	HSBC	5,792.07
50920 - ELMIRA PSYCHIATRIC CENTER		
Certificate of Deposit	Chemung Canal Trust	100,000.00
Certificate of Deposit	Chemung Canal Trust	100,000.00
Certificate of Deposit	Chemung Canal Trust	100,000.00
Facility Advance Account	Chemung Canal Trust	10,027.10

General Fund Account	Chemung Canal Trust	5,626.30
IMMA	Chemung Canal Trust	42,851.91
Patients Fund Account	Chemung Canal Trust	134,771.70
50980 - CAPITAL DISTRICT PSYCHIATRIC CENTER		
Facility Advance Account	Key Bank	2,949.46
Facility Holding Account	Key Bank	57,590.29
Parking Garage Account	Key Bank	202,083.25
Patients Cash Checking Account	Key Bank	65,004.40
Patients Cash Savings Account	Key Bank	375,938.79
51000 - OFFICE OF MENTAL RETARDATION & DEVELOPMENTAL DISABILITIES		
DIS Funded Depreciation Acct	Bank of America, N.A.	No report received
MMIS Intercept Debt Serv Acct	Bank of America, N.A.	No report received
Petty Cash Acct	Bank of America, N.A.	No report received
Revenue Account	Bank of America, N.A.	No report received
Travel Advance Account	Bank of America, N.A.	No report received
51210 - HUDSON VALLEY DDSO		
EBT Checking	JPMorgan Chase Bank, N.A.	No report received
Exchange Account	JPMorgan Chase Bank, N.A.	No report received
General Fund	JPMorgan Chase Bank, N.A.	No report received
Investor's Choice Savings	JPMorgan Chase Bank, N.A.	No report received
Petty Cash	JPMorgan Chase Bank, N.A.	No report received
PTS Cash Checking	JPMorgan Chase Bank, N.A.	No report received
51240 - CENTRAL NY DDSO		
Advance Account	M&T Bank	17,674.82
Advance Accounts	Key Bank	28,779.50
Clients Account	Key Bank	169,802.89
Consumer Cash - Checking	M&T Bank	62,203.12
Consumer Cash - Savings	Bank of America, N.A.	5,925.65
Consumer Cash - Savings	Key Bank	1,666,513.97
Consumer Cash - Savings	M&T Bank	2,509,731.86
EBT Checking Account	Key Bank	415,298.88
Exchange	Bank of America, N.A.	0.00
Exchange Account	Key Bank	30,614.15
51250 - TACONIC DDSO		
Agency Advance Account	M&T Bank	53,355.05
Electronic Benefits Transfer	M&T Bank	84,505.74
General Fund	M&T Bank	15,949.65
Patients Cash Checking	M&T Bank	735,880.86
Patients Fund Savings	M&T Bank	2,331,780.04
51270 - STATEN ISLAND DDSO		
Clients Cash Account	JPMorgan Chase Bank, N.A.	440,430.34
General Fund Account	JPMorgan Chase Bank, N.A.	0.00
Money Market Account	JPMorgan Chase Bank, N.A.	274,656.59
Petty Cash Account	JPMorgan Chase Bank, N.A.	15,781.50
Staten Island DDSO EBT Checking Acct	JPMorgan Chase Bank, N.A.	0.00
51290 - CAPITAL DISTRICT DDSO		
Agency Advance Account	Key Bank	No report received
Agency Advance Account	The Adirondack Trust Company	No report received
Consumer EBT Fund / Fiduciary Account	Key Bank	No report received
Consumers Fund / Fiduciary Account	Key Bank	No report received
Patients Account Direct Deposit / Fiduciary Acct	The Adirondack Trust Company	No report received
Patients Fund Operating Acct / Fiduciary Acct	The Adirondack Trust Company	No report received
Summer Camp - Fiduciary Acct	The Adirondack Trust Company	No report received
51330 - WESTERN NY DDSO		
Agency Advance Account	M&T Bank	No report received
CD-Patient Property Funds	Key Bank	No report received
Exchange Account	M&T Bank	No report received
Food Stamp Account	M&T Bank	No report received
General Account	Community Bank	No report received
Patient Property Funds	M&T Bank	No report received
WNY DDSO Perrysburg Client Cash	M&T Bank	No report received
51350 - LONG ISLAND DDSO		
Consolidated Advance	JPMorgan Chase Bank, N.A.	No report received
EBT Checking Account	JPMorgan Chase Bank, N.A.	No report received
General Fund	JPMorgan Chase Bank, N.A.	No report received
Patient Cash	JPMorgan Chase Bank, N.A.	No report received
51380 - BROOKLYN DDSO		
CD	Banco Popular	No report received
Consumers Fund	JPMorgan Chase Bank, N.A.	No report received
Consumers Money Management	JPMorgan Chase Bank, N.A.	No report received
Food Stamps Benefits	JPMorgan Chase Bank, N.A.	No report received
Miscellaneous Receipts	JPMorgan Chase Bank, N.A.	No report received
Petty Cash - Degraw Street	JPMorgan Chase Bank, N.A.	No report received
Petty Cash - Mental Hygiene Brooklyn	JPMorgan Chase Bank, N.A.	No report received

Thomas Shirtz Community Service	JPMorgan Chase Bank, N.A.	No report received
51420 - SUNMOUNT DDSO		
Certificate of Deposit	Community Bank	No report received
Community Store Fund	Community Bank	No report received
Exchange Fund	Community Bank	No report received
Residents Fund	Community Bank	No report received
Sunmount Advance Account	Community Bank	No report received
Sunmount EBT Checking Account	Community Bank	No report received
51430 - INSTITUTE FOR BASIC RESEARCH IN DEVELOPMENTAL DISABILITIES		
Petty Cash	JPMorgan Chase Bank, N.A.	No report received
51450 - METRO NY DDSO		
Consolidated Acct	JPMorgan Chase Bank, N.A.	No report received
Manhattan DDSO Client Cash	JPMorgan Chase Bank, N.A.	No report received
Metro DDSO Client Personal Allowance	JPMorgan Chase Bank, N.A.	No report received
Metro NY DDSO Food Stamp Acct	JPMorgan Chase Bank, N.A.	No report received
Patients Cash Account	JPMorgan Chase Bank, N.A.	No report received
Patients Money Market Account	JPMorgan Chase Bank, N.A.	No report received
Petty Cash	JPMorgan Chase Bank, N.A.	No report received
51470 - BERNARD M FINESON DDSO		
Bernard Fineson DDSO Food Stamp Acct	JPMorgan Chase Bank, N.A.	No report received
Money Market Account	JPMorgan Chase Bank, N.A.	No report received
Patient Fund Account	JPMorgan Chase Bank, N.A.	No report received
Petty Cash Fund	JPMorgan Chase Bank, N.A.	No report received
51780 - FINGER LAKES DDSO		
Advance Account	JPMorgan Chase Bank, N.A.	76,744.75
F.L. Newark Resident Checking	Community Bank	12,643.20
Finger Lakes Geneseo CD	Key Bank	1,000,000.00
Finger Lakes Monroe CD	Key Bank	800,000.00
Finger Lakes Newark CD	Key Bank	1,850,000.00
Finger Lakes Resident Checking	JPMorgan Chase Bank, N.A.	451,552.52
FL Vending Machine Account	Community Bank	18,224.19
General Account	JPMorgan Chase Bank, N.A.	24,482.95
Geneseo Client Cash	Bank of America, N.A.	11,172.36
Kelsey Trust Fund	Bank of America, N.A.	5,000.00
Mary Moore Trust Fund	Bank of America, N.A.	20,000.00
Monroe Resident Checking	JPMorgan Chase Bank, N.A.	121,342.00
Monroe Resident Savings	JPMorgan Chase Bank, N.A.	216,347.13
Patient Food Stamp Account	JPMorgan Chase Bank, N.A.	1,339,044.82
51940 - BROOME DDSO		
Broome DDSO	M&T Bank	(77,367.71)
Broome DDSO - Advance Account	M&T Bank	32,881.40
Broome DDSO - General Fund	M&T Bank	66,311.06
Disabled Individuals Savings	First Niagara Bank	2,040,330.63
Disabled Individuals Savings	M&T Bank	23,099.80
OMRDD Broome DDSO EBT Checking	M&T Bank	73,092.96
53000 - OFFICE OF ALCOHOLISM & SUBSTANCE ABUSE SERVICES		
Agency Advance Acct	Key Bank	2,240.70
Patient Fees	Bank of America, N.A.	800,223.88
Revenue Account	Bank of America, N.A.	19,272.35
53020 - KINGSBORO ALCOHOLISM TREATMENT CENTER		
Petty Cash Advance Account	Bank of America, N.A.	No report received
55630 - NYS FOUNDATION FOR SCIENCE, TECHNOLOGY & INNOVATION		
Administrative Monies Account	Key Bank	No report received
Federal Monies Account	Key Bank	No report received
NYS Foundation For Science Technology & Innovation	Key Bank	No report received
70000 - CUNY UNIVERSITY MANAGEMENT & PROGRAM BOARD OF HIGHER EDUCATION		
CUNY Admin Financial Assistance	JPMorgan Chase Bank, N.A.	No report received
CUNY Admin Imprest Cash Account	Citibank	No report received
CUNY Admin UAO Travel Advance	Citibank	No report received
CUNY Admin UAO Travel Advance	JPMorgan Chase Bank, N.A.	No report received
CUNY Admin. Fin. Assist. Direct Deposit	JPMorgan Chase Bank, N.A.	No report received
CUNY Admin. Imprest Cash Account	JPMorgan Chase Bank, N.A.	No report received
70010 - CUNY BROOKLYN COLLEGE		
Brooklyn College Imprest Fund	HSBC	No report received
70020 - CUNY CITY COLLEGE		
The City College of New York - The City College	Citibank	No report received
70030 - CUNY HUNTER COLLEGE		
Travel Petty Cash Account	Citibank	No report received
70060 - CUNY JOHN JAY COLLEGE		
CUNY JOHN JAY COLLEGE IMPREST FUND	Citibank	No report received
John Jay College Petty Cash	JPMorgan Chase Bank, N.A.	No report received
70070 - CUNY LEHMAN COLLEGE		
Lehman College	Citibank	No report received
70080 - CUNY YORK COLLEGE		

York College Imprest Fund	JPMorgan Chase Bank, N.A.	No report received
York College Imprest Funds	Citibank	No report received
York College Travel Advance Fund	Citibank	No report received
York College Travel Allowance	JPMorgan Chase Bank, N.A.	No report received
70090 - CUNY GRADUATE SCHOOL		
Graduate Center Imprest Fund	TD Bank	No report received
70100 - CUNY COLLEGE OF STATEN ISLAND		
CSI Imprest Cash	TD Bank	No report received
70120 - CUNY NYC COLLEGE OF TECHNOLOGY		
NY City College Of Technology College Travel Fund	Popular Community Bank	No report received
NY City College Of Technology Technical College Imprest Fund	Popular Community Bank	No report received
70150 - CUNY SCHOOL OF LAW		
CUNY School Of Law	TD Bank	No report received

The above balances represent funds deposited in various banking institutions as reported by the State department and agencies, and published in accordance with Section 107 of the State Finance Law.

Division of the Treasury, Department of Taxation and Finance
AIDA M. BREWER - *Treasurer*

FUNDS OF THE DIVISION OF THE TREASURY OF WHICH THE COMMISSIONER OF TAXATION AND FINANCE IS THE SOLE CUSTODIAN WITH BALANCES AS OF 04/30/2015

ACCOUNT DESCRIPTION		DEPOSITORY	BALANCE AS OF 04/30/2015
COMMUNITY COLLEGE TUITION AND INSTRUCTIONAL INCOME FUND			
0226	Upstate Community Colleges, FIT Series 2000A	Key Bank	1,927.74
0231	Upstate Community Colleges, Series 2005A	Key Bank	96,245.81
0232	Upstate Community Colleges, Series 2005B	Key Bank	88,574.90
0233	Upstate Community Colleges, Series 2005C	Key Bank	86,370.01
DORMITORY AUTHORITY OF THE STATE OF NEW YORK			
0039	Mental Hygiene Facilities Improvement Fund Income Account	Bank of America, N.A.	63,026,313.25
0070	Hospital and Nursing Home Project Operating Fund	Key Bank	27,950.78
0104	Lincoln Medical and Mental Health Center Project Construction Account	Key Bank	2,564.35
0105	Greenpoint Medical and Mental Health Center Project Construction Account	Key Bank	78,497.98
0149	State Advances Repayment Account	Bank of America, N.A.	(3,239.62)
1202	DA DFRF MAC #1202 Biinghamton	Key Bank	49.89
1203	DA DFRF MAC #1203 Oneonta	Key Bank	55.57
1205	DA DFRF MAC #1205 Delhi	Key Bank	57.28
1206	DA DFRF MAC #1206 Buffalo Univ	Key Bank	812,935.38
1207	DA DFRF MAC #1207 Buffalo College	Key Bank	53.94
1208	DA DFRF MAC #1208 Alfred	Key Bank	1,385,652.93
1209	DA DFRF Mac #1209 Fredonia	Key Bank	127,814.99
1211	DA DFRF Mac #1211 Upstate Medical	Key Bank	53.21
1212	DA DFRF Mac #1212 Oswego	Key Bank	153.43
1214	DA DFRF Mac #1214 Cortland	Key Bank	132.40
1215	DA DFRF MAC #1215 Stony Brook	Key Bank	64.09
1216	DA DFRF MAC #1216 Old Westbury	Key Bank	3.75
1217	DA DFRF MAC #1217 Farmingdale	Key Bank	53.59
1218	DA DFRF MAC #1218 Downstate Med	Key Bank	34.64
1219	DA DFRF MAC #1219 Maritime	Key Bank	26,995.46
1221	DA DFRF MAC #1221 Brockport	Key Bank	88.58
1222	DA DFRF MAC #1222 Geneseo	Key Bank	62.32
1223	DA DFRF MAC #1223 Purchase	Key Bank	14.07
1224	DA DFRF MAC #1224 New Paltz	Key Bank	82.09
1225	DA DFRF MAC #1225 Canton	Key Bank	92.62
1226	DA DFRF MAC #1226 Plattsburgh	Key Bank	22.87
1227	DA DFRF MAC #1227 Potsdam	Key Bank	66.69
1228	DA DFRF MAC #1228 Morrisville	Key Bank	93.49
1229	DA DFRF MAC #1229 SUNYIT	Key Bank	68.40
1230	DA DFRF MAC #1230 Cobleskill	Key Bank	30.84
1239	DA DFRF MAC #1239 Albany	Key Bank	21.42
1240	Dormitory Authority Collection Account - Mac 1240	Key Bank	0.00
2202	DA OMRR MAC #2202 Binghamton	Key Bank	6,419,689.95
2203	DA OMRR MAC #2203 Oneonta	Key Bank	1,624,454.30
2205	DA OMRR MAC #2205 Delhi	Key Bank	1,929,957.75
2206	DA OMRR MAC #2206 Buffalo Univ	Key Bank	2,261,471.34
2207	DA OMRR MAC #2207 Buffalo College	Key Bank	669,031.50
2208	DA OMRR MAC #2208 Alfred	Key Bank	3,401,591.87
2209	DA OMRR MAC #2209 Fredonia	Key Bank	1,271,832.20
2211	DA OMRR MAC #2211 Upstate Medical	Key Bank	106,201.63
2212	DA OMRR MAC #2212 Oswego	Key Bank	3,807,512.66
2214	DA OMRR MAC #2214 Cortland	Key Bank	3,272,305.02
2215	DA OMRR MAC #2215 Stony Brook	Key Bank	10,707,984.40
2216	DA OMRR MAC #2216 Old Westbury	Key Bank	953,105.74
2217	DA OMRR MAC #2217 Farmingdale	Key Bank	192,306.17
2218	DA OMRR MAC #2218 Downstate Med	Key Bank	200,050.14
2219	DA OMRR MAC #2219 Maritime	Key Bank	2,672,682.21
2221	DA OMRR MAC #2221 Brockport	Key Bank	1,123,432.05
2222	DA OMRR MAC #2222 Geneseo	Key Bank	2,221,665.42
2223	DA OMRR MAC #2223 Purchase	Key Bank	3,611,290.00
2224	DA OMRR MAC #2224 New Paltz	Key Bank	3,052,490.32
2225	DA OMRR MAC #2225 Canton	Key Bank	267,006.16
2226	DA OMRR MAC #2226 Plattsburgh	Key Bank	2,032,663.60
2227	DA OMRR MAC #2227 Potsdam	Key Bank	1,496,950.03
2228	DA OMRR MAC #2228 Morrisville	Key Bank	805,625.19
2229	DA OMRR MAC #2229 SUNYIT	Key Bank	204,346.44
2230	DA OMRR MAC #2230 Cobleskill	Key Bank	1,215,727.35
2239	DA OMRR MAC #2239 Albany	Key Bank	8,967,117.88
2240	DA UNALLOCATED FD MAC #2240 System Admin	Key Bank	10,028,148.38
HOMELESS HOUSING ASSISTANCE CORPORATION			
0320	Social Services Homeless Housing and Assistance Corporation Operating Account	Key Bank	15,745,706.11

NELSON A. ROCKEFELLER EMPIRE STATE PLAZA PERFORMING ARTS CENTER CORPORATION			
0315	Nelson A. Rockefeller Empire State Plaza Performing Arts Center Corporation	Key Bank	32,485.75
NEW YORK CONVENTION CENTER			
0300	Operating Fund	JPMorgan Chase Bank, N.A.	17,702,319.67
NEW YORK JOB DEVELOPMENT AUTHORITY			
0036	Special Purpose Fund	Bank of America, N.A.	284,351.97
0371	Series H Commercial Paper	Bank of America, N.A.	297,709.40
0389	Daily Demand Special Purpose Bonds Series 1992A-B	JPMorgan Chase Bank, N.A.	94,735.16
0421	Escrow Account for Package Fulfillment Center Inc.	Key Bank	30,853.50
0423	Escrow Account for USA Industries Inc.	Key Bank	37,256.17
0424	Escrow Account for Pluritec USA Inc.	Key Bank	19,772.56
NYS AFFORDABLE HOUSING CORPORATION			
0491	Disbursement Account	JPMorgan Chase Bank, N.A.	536,484.70
0520	Development Account	Key Bank	1,273,707.84
0521	Development Account II	Key Bank	599,121.56
0522	Repayment Account	Key Bank	2,367,480.49
0523	Recapture Account	Key Bank	140,794.05
0880	Payroll Account	JPMorgan Chase Bank, N.A.	0.00
NYS DEPARTMENT OF TAXATION AND FINANCE			
0510	Excelsior Linked Deposit Fund	Key Bank	0.00
0600	World Trade Center Memorial Foundation Fund Account	Bank of America, N.A.	44,152.81
0778	PIT/STAR Rebate Exchange Account	JPMorgan Chase Bank, N.A.	0.00
0800	NYS IRS PIT offset account	Key Bank	0.00
0827	Stock Transfer Incentive Fund	Key Bank	958,419.21
0847	Pari-Mutuel Revenue Transfer Account	Key Bank	0.00
0848	Off-Track Bet Tax Revenue Transfer Account	Key Bank	0.00
NYS ENERGY RESEARCH & DEVELOPMENT AUTHORITY			
0028	Green Jobs - Green New York Fund	Bank of America, N.A.	7,970.53
0030	NYS Energy Research and Development Authority	Bank of America, N.A.	44,360,917.75
NYS HOUSING FINANCE AGENCY			
0032	Operating Fund	Key Bank	0.00
0251	Housing Project Repair Fund	Key Bank	31,774.57
0252	Energy Conservation/Tenant Health & Safety Improvement Account	JPMorgan Chase Bank, N.A.	28,311.83
0254	Agency Assisted Housing Operation Fund	JPMorgan Chase Bank, N.A.	1,699,684.89
0255	Residual Indebtedness Program Operating Fund	JPMorgan Chase Bank, N.A.	109,714.26
0266	Community Related Programs Operating Account	Key Bank	406,998.72
0267	Neighborhood Stabilization Program - Round 1	JPMorgan Chase Bank, N.A.	280.85
0268	Neighborhood Stabilization Program 3	JPMorgan Chase Bank, N.A.	32.77
0270	Claims Repayment Account	Key Bank	94,149.92
0271	Pre-Bond Revenue Account	Key Bank	747,869.32
0272	TCAP Account	Key Bank	213.91
0274	NYSHFA Special Reserve Fund	JPMorgan Chase Bank, N.A.	356,990.69
0277	Amalgamated Warbasse Houses Construction Defect Repair Fund	JPMorgan Chase Bank, N.A.	2.40
0283	Small Owner's Assistance Program Account	Key Bank	19,819.15
0285	Public Purpose Account	Key Bank	1,096,113.43
0286	Disbursement Account	Key Bank	208,232.94
0287	Infrastructure Development Fund	Key Bank	17,192.71
0288	Mobile Home Cooperative Fund	Key Bank	18,213.18
0290	Low Rent Lease Account	Key Bank	181,026.61
0292	Homeless Housing Initiatives	Key Bank	139,153.55
0295	Castleton Park Escrow	Key Bank	36,356.23
0296	NYC Contribution PHHF	Key Bank	44,050.76
0879	Payroll Account	JPMorgan Chase Bank, N.A.	125,000.00
NYS HOUSING TRUST FUND CORPORATION			
0458	Section 8 Housing Assistance Payment Account	JPMorgan Chase Bank, N.A.	32,726.60
0460	Escrow Account	M&T Bank	1,317,095.51
0461	Housing Modernization Account	M&T Bank	636,980.63
0462	General Custodial Account	M&T Bank	9,013,028.66
0463	General Administrative Account	M&T Bank	0.00
0464	Turnkey Account	M&T Bank	0.00
0465	Home Program Account	M&T Bank	3,457,558.79
0466	Homes for Working Families Account	M&T Bank	4,749,063.05
0467	Section 8 Administrative Account	M&T Bank	12,179,621.88
0468	Small Cities Administrative Account	M&T Bank	0.00
0469	OCR Community Miscellaneous Programs Account	M&T Bank	959,866.73
0470	HCV Main Account	Bank of America, N.A.	4,935,050.51
0471	Empire State Relief Fund	M&T Bank	1,623,368.15
0472	Master Escrow Account	Bank of America, N.A.	0.00
0473	Family Self-Sufficiency Account	Bank of America, N.A.	3,795,029.59

0474	Moderate Rehabilitation Account	Bank of America, N.A.	0.00
0475	Reserve Account	Bank of America, N.A.	2,510,715.54
0476	Voucher Fee Account	Bank of America, N.A.	35,857.81
0477	Five-Year Mainstream Account	Bank of America, N.A.	682,496.28
0480	OHP Miscellaneous Programs Account	Bank of America, N.A.	1,725,049.34
0891	Small Cities Community Development Block Grant Program	M&T Bank	179,690.66
0892	Disaster Recovery Initiative Account	M&T Bank	179,778.52
0893	Payroll Account for Small Cities CDBG Program	M&T Bank	153.18
0895	HTFC Storm Recovery Payment	M&T Bank	835,577.15
NYS INSURANCE DEPARTMENT			
0001	Property/Casualty Insurance Security Fund	Key Bank	128,869.83
0002	Public Motor Vehicle Security Fund	JPMorgan Chase Bank, N.A.	373,225.56
0003	Workers' Compensation Security Fund	JPMorgan Chase Bank, N.A.	532,310.05
0004	Medical Indemnity Fund	JPMorgan Chase Bank, N.A.	927,630.09
NYS TEACHERS RETIREMENT SYSTEM			
0052	Master Funding Account	State Street Bank & Trust Co.	0.00
0052	Main Account	JPMorgan Chase Bank, N.A.	(11,037,163.72)
0853	Excess Benefit Fund	JPMorgan Chase Bank, N.A.	2,616,692.54
0854	NYSTRS Electronic Value Transfer System	JPMorgan Chase Bank, N.A.	0.00
STATE INSURANCE FUND			
0053	State Insurance Fund	Bank of America, N.A.	25,227,533.13
0053	State Insurance Fund	JPMorgan Chase Bank, N.A.	0.00
0054	Disability Benefits Fund	JPMorgan Chase Bank, N.A.	0.00
0054	State Insurance Fund	Bank of America, N.A.	1,511,782.83
0055	Aggregate Trust Fund	JPMorgan Chase Bank, N.A.	0.00
0055	State Insurance Fund	Bank of America, N.A.	1,405,888.00
0861	Disability Benefits Fund Tax Escrow Account	Bank of America, N.A.	43,625.61
STATE UNIVERSITY CONSTRUCTION FUND			
0034	Income Fund	Key Bank	233,424.63
0075	Educational Facilities Revenue Bonds Debt Service Account	Key Bank	29,411.92
0870	Deductions Account	Key Bank	328,436.57
0871	Bio-Tech Incubator at Farmingdale	Key Bank	23,516.08
WORKERS COMPENSATION BOARD			
0006	Fund for Reopened Cases	Bank of America, N.A.	2,656,524.76
0007	Special Disability Fund	Bank of America, N.A.	55,747,924.42
0008	Special Fund for Disability Benefits	Key Bank	165,948.64
0008	Special Fund for Disability Benefits	Bank of America, N.A.	278,226.99
0009	Special Disability Fund Assessments Receipt	Bank of America, N.A.	0.00
0010	Special Disability Fund Clearing	Bank of America, N.A.	0.00
0011	Uninsured Employers Fund	Bank of America, N.A.	939,411.10
0012	WCB Asset Transfer Account - Mac 12	Bank of America, N.A.	250,003,221.67
0013	WCB Assessment Revenue Clearing	Bank of America, N.A.	136,671,068.70

The above balances represent funds deposited in various banking institutions per the records of the Department of Taxation and Finance, Division of Treasury, and published in accordance with Section 107 of the State Finance Law.

Division of the Treasury, Department of Taxation and Finance
AIDA M. BREWER - *Treasurer*

STATE OF NEW YORK
OFFICE OF THE STATE COMPTROLLER
OFFICE OF OPERATIONS
DIVISION OF PAYROLL, ACCOUNTING, AND REVENUE SERVICES
BUREAU OF FINANCIAL REPORTING AND OIL SPILL REMEDIATION

Comptroller's Monthly Report
On State Funds Cash Basis of Accounting

(Pursuant to Section 8(9-a) of the State Finance Law)

April 2015

THOMAS P. DiNAPOLI
STATE COMPTROLLER

STATE OF NEW YORK
OFFICE OF OPERATIONS
DIVISION OF PAYROLL, ACCOUNTING AND REVENUE SERVICES
BUREAU OF FINANCIAL REPORTING AND OIL SPILL REMEDIATION

THOMAS P. DiNAPOLI
STATE COMPTROLLER

COMPTROLLER'S MONTHLY REPORT TO THE LEGISLATURE ON STATE FUNDS - CASH BASIS OF ACCOUNTING
April 30, 2015

TABLE OF CONTENTS

Combined Statements of Cash Receipts, Disbursements and Changes in Fund Balances

Exhibit A	Governmental Funds	2
Exhibit A Supplemental	Governmental Funds - State Operating	3
Exhibit A Footnotes	Governmental Funds Footnotes	4
Exhibit B	Proprietary Funds	5
Exhibit C	Trust Funds	6
Exhibit D Governmental	Governmental Funds - Budgetary Basis - Financial Plan and Actual - Governmental	7
Exhibit D State Operating	Governmental Funds - Budgetary Basis - Financial Plan and Actual - State Operating	8
Exhibit D General Fund	Governmental Funds - Budgetary Basis - Financial Plan and Actual - General Fund	9
Exhibit D Special Revenue	Governmental Funds - Budgetary Basis - Financial Plan and Actual - Special Revenue	10
Exhibit D Special Revenue State/Federal	Governmental Funds - Budgetary Basis - Financial Plan and Actual - Special Revenue State and Federal	11
Exhibit D Debt	Governmental Funds - Budgetary Basis - Financial Plan and Actual - Debt Service	12
Exhibit D Capital Projects	Governmental Funds - Budgetary Basis - Financial Plan and Actual - Capital Projects	13
Exhibit D Capital Projects State/Federal	Governmental Funds - Budgetary Basis - Financial Plan and Actual - Capital Projects State and Federal	14
Exhibit E	Comparative Schedule of Tax Receipts	15
Cash Flow - Governmental	Governmental Funds - Governmental	16
Cash Flow - State Operating	Governmental Funds - State Operating	18

Combining Statements of Cash Receipts, Disbursements and Changes in Fund Balances

Exhibit F	General Fund - Statement of Cash Flow	20
Exhibit G	Special Revenue Funds Combined - Statement of Cash Flow	22
Exhibit G State	Special Revenue Funds State - Statement of Receipts and Disbursements	24
Exhibit G Federal	Special Revenue Funds Federal - Statement of Receipts and Disbursements	26
Exhibit H	Debt Service Funds - Statement of Cash Flow	28
Exhibit I	Capital Projects Funds Combined - Statement of Cash Flow	29
Exhibit I State	Capital Projects Funds State - Statement of Receipts and Disbursements	31
Exhibit I Federal	Capital Projects Funds Federal - Statement of Receipts and Disbursements	33
Exhibit J	Enterprise Funds - Statement of Cash Flow	34
Exhibit K	Internal Service Funds - Statement of Cash Flow	35
Exhibit L	Pension Trust Funds - Statement of Cash Flow	36
Exhibit M	Private Purpose Trust Funds - Statement of Cash Flow	37

Supplementary Schedules

Schedule 1	Governmental Funds - Summary of Cash Receipts, Disbursements and Changes in Fund Balances	38
Schedule 2	Proprietary Funds - Summary of Cash Receipts, Disbursements and Changes in Fund Balances	41
Schedule 3	Fiduciary Funds - Summary of Cash Receipts, Disbursements and Changes in Fund Balances	42
Schedule 4	Sole Custody and Investment Accounts - Statement of Cash Receipts and Disbursements	43
Schedule 5	Debt Service Funds - Statement of Direct State Debt Activity	44
Schedule 5a	Debt Service Funds - Financing Agreements	45
Schedule 6	Summary of the Operating Fund Investments	46
Appendix A	HCRA Resources Fund - Statement of Receipts and Disbursements by Account	47
Appendix B	HCRA Resources Fund - Statement of Program Disbursements	48
Appendix C	American Recovery and Reinvestment Act of 2009 - Schedule of Disbursements of Federal Awards	50
Appendix D	HCRA Public Goods Pool - Statement of Cash Flow	52
Appendix E	HCRA Medicaid Disproportionate Share - Statement of Cash Flow	53
Appendix F	Public Authority Off-Budget Spending Report	54
Appendix G	Schedule of Month-End Temporary Loans Outstanding	55

STATE OF NEW YORK
GOVERNMENTAL FUNDS
COMBINED STATEMENT OF CASH RECEIPTS, DISBURSEMENTS AND CHANGES IN FUND BALANCES
(Amounts in millions)

EXHIBIT A

		GENERAL		SPECIAL REVENUE		DEBT SERVICE		CAPITAL PROJECTS		TOTAL GOVERNMENTAL FUNDS				YEAR OVER YEAR	
		MONTH OF APR. 2015	1 MO. ENDED APR. 30, 2015	MONTH OF APR. 2015	1 MO. ENDED APR. 30, 2015	MONTH OF APR. 2015	1 MO. ENDED APR. 30, 2015	MONTH OF APR. 2015	1 MO. ENDED APR. 30, 2015	MONTH OF APR. 2015	1 MO. ENDED APR. 30, 2015	MONTH OF APR. 2014	1 MO. ENDED APR. 30, 2014	$ Increase/ (Decrease)	% Increase/ Decrease
RECEIPTS:															
Personal Income Tax		$ 5,035.7	$ 5,035.7	$ 3.1	$ 3.1	$ 1,679.6	$ 1,679.6	$ -	$ -	$ 6,718.4	$ 6,718.4	$ 5,353.3	$ 5,353.3	$ 1,365.1	25.5%
Consumption/Use Taxes		507.0	507.0	203.6	203.6	479.9	479.9	49.0	49.0	1,239.5	1,239.5	1,204.4	1,204.4	35.1	2.9%
Business Taxes		203.5	203.5	60.3	60.3	-	-	50.9	50.9	314.7	314.7	273.2	273.2	41.5	15.2%
Other Taxes		149.8	149.8	132.6	132.6	86.3	86.3	-	-	368.7	368.7	286.9	286.9	81.8	28.5%
Miscellaneous Receipts	(5)	178.2	178.2	451.1	451.1	(8.3)	(8.3)	84.0	84.0	705.0	705.0	1,585.2	1,585.2	(880.2)	-55.5%
Federal Receipts	(5)	-	-	1,629.0	1,629.0	-	-	101.0	101.0	1,730.0	1,730.0	2,978.0	2,978.0	(1,248.0)	-41.9%
Total Receipts		**6,074.2**	**6,074.2**	**2,479.7**	**2,479.7**	**2,237.5**	**2,237.5**	**284.9**	**284.9**	**11,076.3**	**11,076.3**	**11,681.0**	**11,681.0**	**(604.7)**	**-5.2%**
DISBURSEMENTS:															
Local Assistance Grants:	(3)														
Education		571.6	571.6	324.2	324.2	-	-	0.1	0.1	895.9	895.9	763.8	763.8	132.1	17.3%
Environment and Recreation		-	-	0.3	0.3	-	-	2.7	2.7	3.0	3.0	2.6	2.6	0.4	15.4%
General Government		2.4	2.4	12.7	12.7	-	-	9.1	9.1	24.2	24.2	17.6	17.6	6.6	37.5%
Public Health:															
Medicaid	(5)	1,224.3	1,224.3	1,967.5	1,967.5	-	-	-	-	3,191.8	3,191.8	3,623.6	3,623.6	(431.8)	-11.9%
Other Public Health		13.0	13.0	204.4	204.4	-	-	3.6	3.6	221.0	221.0	230.2	230.2	(9.2)	-4.0%
Public Safety		4.4	4.4	168.0	168.0	-	-	-	-	172.4	172.4	69.8	69.8	102.6	147.0%
Public Welfare		132.2	132.2	235.1	235.1	-	-	-	-	367.3	367.3	491.9	491.9	(124.6)	-25.3%
Support and Regulate Business		2.3	2.3	2.3	2.3	-	-	21.2	21.2	25.8	25.8	12.0	12.0	13.8	115.0%
Transportation		-	-	127.5	127.5	-	-	31.0	31.0	158.5	158.5	213.1	213.1	(54.6)	-25.6%
Total Local Assistance Grants		**1,950.2**	**1,950.2**	**3,042.0**	**3,042.0**	**-**	**-**	**67.7**	**67.7**	**5,059.9**	**5,059.9**	**5,424.6**	**5,424.6**	**(364.7)**	**-6.7%**
Departmental Operations:															
Personal Service		554.2	554.2	683.5	683.5	-	-	-	-	1,237.7	1,237.7	1,053.3	1,053.3	184.4	17.5%
Non-Personal Service		68.4	68.4	280.4	280.4	0.4	0.4	-	-	349.2	349.2	444.0	444.0	(94.8)	-21.4%
General State Charges		612.3	612.3	51.4	51.4	-	-	-	-	663.7	663.7	688.4	688.4	(24.7)	-3.6%
Debt Service, Including Payments on Financing Agreements		-	-	-	-	165.9	165.9	-	-	165.9	165.9	173.2	173.2	(7.3)	-4.2%
Capital Projects	(1)	-	-	-	-	-	-	288.9	288.9	288.9	288.9	295.8	295.8	(6.9)	-2.3%
Total Disbursements		**3,185.1**	**3,185.1**	**4,057.3**	**4,057.3**	**166.3**	**166.3**	**356.6**	**356.6**	**7,765.3**	**7,765.3**	**8,079.3**	**8,079.3**	**(314.0)**	**-3.9%**
Excess (Deficiency) of Receipts over Disbursements		**2,889.1**	**2,889.1**	**(1,577.6)**	**(1,577.6)**	**2,071.2**	**2,071.2**	**(71.7)**	**(71.7)**	**3,311.0**	**3,311.0**	**3,601.7**	**3,601.7**	**(290.7)**	**-8.1%**
OTHER FINANCING SOURCES (USES):															
Bond Proceeds (net)		-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Transfers from Other Funds	(2),(5)	2,229.9	2,229.9	1,783.0	1,783.0	526.9	526.9	77.8	77.8	4,617.6	4,617.6	3,186.7	3,186.7	1,430.9	44.9%
Transfers to Other Funds	(2),(5)	(2,074.9)	(2,074.9)	(166.8)	(166.8)	(2,302.2)	(2,302.2)	(76.7)	(76.7)	(4,620.6)	(4,620.6)	(3,248.3)	(3,248.3)	1,372.3	42.2%
Total Other Financing Sources (Uses)		**155.0**	**155.0**	**1,616.2**	**1,616.2**	**(1,775.3)**	**(1,775.3)**	**1.1**	**1.1**	**(3.0)**	**(3.0)**	**(61.6)**	**(61.6)**	**58.6**	**95.1%**
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses		**3,044.1**	**3,044.1**	**38.6**	**38.6**	**295.9**	**295.9**	**(70.6)**	**(70.6)**	**3,308.0**	**3,308.0**	**3,540.1**	**3,540.1**	**(232.1)**	**-6.6%**
Beginning Fund Balances (Deficits)	(4)	**7,299.5**	**7,299.5**	**2,661.8**	**2,661.8**	**118.7**	**118.7**	**(724.4)**	**(724.4)**	**9,355.6**	**9,355.6**	**4,034.5**	**4,034.5**	**5,321.1**	**131.9%**
Ending Fund Balances (Deficits)		**$ 10,343.6**	**$ 10,343.6**	**$ 2,700.4**	**$ 2,700.4**	**$ 414.6**	**$ 414.6**	**$ (795.0)**	**$ (795.0)**	**$ 12,663.6**	**$ 12,663.6**	**$ 7,574.6**	**$ 7,574.6**	**$ 5,089.0**	**67.2%**

STATE OF NEW YORK
GOVERNMENTAL FUNDS-STATE OPERATING (*)
COMBINED STATEMENT OF CASH RECEIPTS, DISBURSEMENTS AND CHANGES IN FUND BALANCES
(Amounts in millions)

EXHIBIT A
SUPPLEMENTAL

		GENERAL		STATE SPECIAL REVENUE (**)		DEBT SERVICE		TOTAL STATE OPERATING FUNDS					
		MONTH OF APR. 2015	1 MO. ENDED APR. 30, 2015	MONTH OF APR. 2015	1 MO. ENDED APR. 30, 2015	MONTH OF APR. 2015	1 MO. ENDED APR. 30, 2015	MONTH OF APR. 2015	1 MO. ENDED APR. 30, 2015	MONTH OF APR. 2014	1 MO. ENDED APR. 30, 2014	$ Increase/ (Decrease)	% Increase/ Decrease
RECEIPTS:													
Personal Income Tax		$ 5,035.7	$ 5,035.7	$ 3.1	$ 3.1	$ 1,679.6	$ 1,679.6	$ 6,718.4	$ 6,718.4	$ 5,353.3	$ 5,353.3	$ 1,365.1	25.5%
Consumption/Use Taxes		507.0	507.0	203.6	203.6	479.9	479.9	1,190.5	1,190.5	1,156.6	1,156.6	33.9	2.9%
Business Taxes		203.5	203.5	60.3	60.3	-	-	263.8	263.8	218.4	218.4	45.4	20.8%
Other Taxes		149.8	149.8	132.6	132.6	86.3	86.3	368.7	368.7	286.9	286.9	81.8	28.5%
Miscellaneous Receipts	(5)	178.2	178.2	438.7	438.7	(8.3)	(8.3)	608.6	608.6	1,368.6	1,368.6	(760.0)	-55.5%
Federal Receipts	(5)	-	-	-	-	-	-	-	-	0.5	0.5	(0.5)	-100.0%
Total Receipts		**6,074.2**	**6,074.2**	**838.3**	**838.3**	**2,237.5**	**2,237.5**	**9,150.0**	**9,150.0**	**8,384.3**	**8,384.3**	**765.7**	**9.1%**
DISBURSEMENTS:													
Local Assistance Grants:	(3)												
Education		571.6	571.6	0.1	0.1	-	-	571.7	571.7	317.0	317.0	254.7	80.3%
Environment and Recreation		-	-	-	-	-	-	-	-	0.3	0.3	(0.3)	-100.0%
General Government		2.4	2.4	11.9	11.9	-	-	14.3	14.3	14.2	14.2	0.1	0.7%
Public Health:													
Medicaid	(5)	1,224.3	1,224.3	408.9	408.9	-	-	1,633.2	1,633.2	1,369.8	1,369.8	263.4	19.2%
Other Public Health		13.0	13.0	85.8	85.8	-	-	98.8	98.8	83.3	83.3	15.5	18.6%
Public Safety		4.4	4.4	10.2	10.2	-	-	14.6	14.6	15.2	15.2	(0.6)	-3.9%
Public Welfare		132.2	132.2	0.5	0.5	-	-	132.7	132.7	128.2	128.2	4.5	3.5%
Support and Regulate Business		2.3	2.3	2.3	2.3	-	-	4.6	4.6	8.0	8.0	(3.4)	-42.5%
Transportation		-	-	125.3	125.3	-	-	125.3	125.3	155.2	155.2	(29.9)	-19.3%
Total Local Assistance Grants		**1,950.2**	**1,950.2**	**645.0**	**645.0**	**-**	**-**	**2,595.2**	**2,595.2**	**2,091.2**	**2,091.2**	**504.0**	**24.1%**
Departmental Operations:													
Personal Service		554.2	554.2	632.7	632.7	-	-	1,186.9	1,186.9	1,004.2	1,004.2	182.7	18.2%
Non-Personal Service		68.4	68.4	223.1	223.1	0.4	0.4	291.9	291.9	354.7	354.7	(62.8)	-17.7%
General State Charges		612.3	612.3	38.1	38.1	-	-	650.4	650.4	679.1	679.1	(28.7)	-4.2%
Debt Service, Including Payments on Financing Agreements		-	-	-	-	165.9	165.9	165.9	165.9	173.2	173.2	(7.3)	-4.2%
Capital Projects	(1)	-	-	-	-	-	-	-	-	0.1	0.1	(0.1)	-100.0%
Total Disbursements		**3,185.1**	**3,185.1**	**1,538.9**	**1,538.9**	**166.3**	**166.3**	**4,890.3**	**4,890.3**	**4,302.5**	**4,302.5**	**587.8**	**13.7%**
Excess (Deficiency) of Receipts over Disbursements		**2,889.1**	**2,889.1**	**(700.6)**	**(700.6)**	**2,071.2**	**2,071.2**	**4,259.7**	**4,259.7**	**4,081.8**	**4,081.8**	**177.9**	**-4.4%**
OTHER FINANCING SOURCES (USES):													
Transfers from Other Funds	(2),(5)	2,229.9	2,229.9	1,795.2	1,795.2	526.9	526.9	4,552.0	4,552.0	3,161.6	3,161.6	1,390.4	44.0%
Transfers to Other Funds	(2),(5)	(2,074.9)	(2,074.9)	(19.0)	(19.0)	(2,302.2)	(2,302.2)	(4,396.1)	(4,396.1)	(2,996.7)	(2,996.7)	1,399.4	46.7%
Total Other Financing Sources (Uses)		**155.0**	**155.0**	**1,776.2**	**1,776.2**	**(1,775.3)**	**(1,775.3)**	**155.9**	**155.9**	**164.9**	**164.9**	**(9.0)**	**-5.5%**
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses		**3,044.1**	**3,044.1**	**1,075.6**	**1,075.6**	**295.9**	**295.9**	**4,415.6**	**4,415.6**	**4,246.7**	**4,246.7**	**168.9**	**4.0%**
Beginning Fund Balances (Deficits)	(4)	**7,299.5**	**7,299.5**	**2,472.6**	**2,472.6**	**118.7**	**118.7**	**9,890.8**	**9,890.8**	**4,789.1**	**4,789.1**	**5,101.7**	**106.5%**
Ending Fund Balances (Deficits)		**$ 10,343.6**	**$ 10,343.6**	**$ 3,548.2**	**$ 3,548.2**	**$ 414.6**	**$ 414.6**	**$ 14,306.4**	**$ 14,306.4**	**$ 9,035.8**	**$ 9,035.8**	**$ 5,270.6**	**58.3%**

(*) State Operating Funds are comprised of the General Fund, State Special Revenue Funds supported by activities from dedicated revenue sources (including operating transfers from Federal Funds) and Debt Service Funds.
(**) Eliminations between Special Revenue - State and Federal Funds are not included.

GOVERNMENTAL FUNDS FOOTNOTES

EXHIBIT A NOTES
April 2015

1. Certain disbursements from Capital Projects funds are financed by operating transfers from other funds, proceeds of State bonds and notes, and reimbursements received from Public Authorities and the Federal Government. The amounts shown below represent disbursements to be reimbursed in future months from the sources indicated:

Urban Development Corporation (Correctional Facilities)	$50.9	million
Urban Development Corporation (Youth Facilities)	8.8	
Housing Finance Agency (HFA)	129.8	
Housing Assistance Fund	14.0	
Dormitory Authority (Mental Hygiene)	407.0	
Dormitory Authority and State University Income Fund	174.9	
Federal Capital Projects	386.0	
State bond and note proceeds	13.3	

2. Operating Transfers constitute legally authorized transfers from a fund receiving revenues to a fund through which disbursements will ultimately be made. The more significant transfers include:

General Fund "Transfers to Other Funds" are as follows:

State Capital Projects Fund	$73.1	million
General Debt Service Fund	302.0	
Banking Services Account	3.0	
Court Facilities Incentive Aid Fund	66.7	
Housing Debt Service Fund	2.0	
Medical Marihuana Health Operation and Oversight	6.7	
Mental Hygiene Patient Income Account	400.0	
MTA Financial Assistance Fund	1.9	
NYC County Courts Operating Fund	4.6	
Spinal Cord Injury Account	4.3	
SUNY - Income Fund	209.5	

Also included in the General Fund are transfers representing payments for patients residing in State-operated Health, Mental Hygiene and State University facilities to Debt Service funds ($1.0m), the State University Income Fund ($8.1m), and the Mental Hygiene Program Account ($992.0m).

§72(4)(b) was added to the State Finance Law in 2010 to permit the State's General Debt Service Fund to maintain a cash reserve for the payment of debt service, and related expenses, during the current fiscal quarter. As of April 30, 2015 - pursuant to a certification of the Budget Director - the reserve amount is ($224.1m), which was funded by a transfer from the General Fund.

Special Revenue Funds "Transfers To Other Funds" includes transfers to Debt Service funds of ($147.8m) representing the federal share of Medicaid payments for patients residing in State-operated Health and Mental Hygiene facilities.

Also included in Special Revenue funds are transfers to the General Fund from the following:

SUNY Income Fund	$6.0	million
Youth Facilities Per Diem Account	8.2	

Debt Service Funds "Transfers To Other Funds" includes transfers to the General Fund from the following:

Revenue Bond Tax Fund	$1,679.4	million
Local Government Assistance Tax Fund	237.9	
Sales Tax Revenue Bond Tax Fund	223.5	
Clean Water/Clean Air Fund	74.2	

Also included in Debt Service funds are transfers to Special Revenue funds representing receipts in excess of lease-purchase obligations that are used to finance a portion of the operating expenses for Departments of Health ($10.2m) and Mental Hygiene ($75.6m).

Capital Projects Funds "Transfers To Other Funds" includes transfers to the General Fund ($.6m), and the General Debt Service Fund ($74.1m).

3. The State receives moneys that represent refunds, pharmacy rebates, reimbursements, or disallowances of medical assistance payments previously made from appropriated State and Federal funds. These monies are initially credited to an agency escrow account and shortly after receipt are allocated and refunded to State or Federal fund appropriations from which the medical assistance payments were originally made.

At month end, the following balances remained in agency escrow accounts. For accounting purposes, adjustments have been made to reduce medical assistance spending and count these monies as financial resources of the General Fund and the Special Revenue Federal Fund.

	Allocation of Month-End Balances	
	General Fund	Special Revenue - Federal
Medicaid Recoveries - Health Facilities	$ -	$ 461,676
Medicaid Recoveries -Audit	-	1,065,604
Medicaid Recoveries - Third Parties	-	8,373,581
Pharmacy Rebates	511,569	564,735
Medicare Catastrophic Recovery	-	-
Medicaid "Windfall" Recovery	-	-
Total	**$ 511,569**	**$10,465,596**

4. The State Special Revenue April 1, 2015 balance has been adjusted by $0.5m to reverse out a prior period adjustment.

5. Pursuant to a settlement agreement between New York State Department of Health and the Centers for Medicare and Medicaid Services (CMS), Medicaid spending and revenue in Special Revenue - Federal Funds has been reduced by $850 million and spending has been increased in the General Fund by $850 million to reflect the initial payment pursuant the agreement. The agreement resolves a disallowance for prior year claims that the State paid for services related to developmental centers and other intermediate care facilities for individuals with intellectual disabilities operated by the New York State Office for People with Developmental Disabilities (OPWDD). The spending is reclassed to Transfer To and From Other Funds in the respective funds. The impact to the financial statements is an increase in the General Fund Transfer To Other Funds and a decrease in Special Revenue - Federal Funds by the $850 million to reflect the additional Medicaid costs.

STATE OF NEW YORK
PROPRIETARY FUNDS
COMBINED STATEMENT OF CASH RECEIPTS, DISBURSEMENTS AND CHANGES IN FUND BALANCES
(Amounts in millions)

EXHIBIT B

	ENTERPRISE		INTERNAL SERVICE		TOTAL PROPRIETARY FUNDS				YEAR OVER YEAR	
	MONTH OF APR. 2015	1 MO. ENDED APR. 30, 2015	MONTH OF APR. 2015	1 MO. ENDED APR. 30, 2015	MONTH OF APR. 2015	1 MO. ENDED APR. 30, 2015	MONTH OF APR. 2014	1 MO. ENDED APR. 30, 2014	$ Increase/ (Decrease)	% Increase/ Decrease
RECEIPTS:										
Miscellaneous Receipts	$ 4.6	$ 4.6	$ 16.0	$ 16.0	$ 20.6	$ 20.6	$ 27.1	$ 27.1	$ (6.5)	-24.0%
Federal Receipts	2.5	2.5	-	-	2.5	2.5	9.2	9.2	(6.7)	-72.8%
Unemployment Taxes	222.9	222.9	-	-	222.9	222.9	244.8	244.8	(21.9)	-8.9%
Total Receipts	**230.0**	**230.0**	**16.0**	**16.0**	**246.0**	**246.0**	**281.1**	**281.1**	**(35.1)**	**-12.5%**
DISBURSEMENTS:										
Departmental Operations:										
Personal Service	0.4	0.4	7.4	7.4	7.8	7.8	7.1	7.1	0.7	9.9%
Non-Personal Service	2.5	2.5	39.8	39.8	42.3	42.3	33.0	33.0	9.3	28.2%
General State Charges	-	-	1.0	1.0	1.0	1.0	2.0	2.0	(1.0)	-50.0%
Unemployment Benefits	200.6	200.6	-	-	200.6	200.6	231.2	231.2	(30.6)	-13.2%
Total Disbursements	**203.5**	**203.5**	**48.2**	**48.2**	**251.7**	**251.7**	**273.3**	**273.3**	**(21.6)**	**-7.9%**
Excess (Deficiency) of Receipts Over Disbursements	**26.5**	**26.5**	**(32.2)**	**(32.2)**	**(5.7)**	**(5.7)**	**7.8**	**7.8**	**(13.5)**	**-173.1%**
OTHER FINANCING SOURCES (USES):										
Transfers from Other Funds	-	-	3.0	3.0	3.0	3.0	3.6	3.6	(0.6)	-16.7%
Transfers to Other Funds	-	-	-	-	-	-	-	-	-	0.0%
Total Other Financing Sources (Uses)	**-**	**-**	**3.0**	**3.0**	**3.0**	**3.0**	**3.6**	**3.6**	**(0.6)**	**-16.7%**
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	**26.5**	**26.5**	**(29.2)**	**(29.2)**	**(2.7)**	**(2.7)**	**11.4**	**11.4**	**(14.1)**	**-123.7%**
Beginning Fund Balances (Deficits)	**50.6**	**50.6**	**(196.7)**	**(196.7)**	**(146.1)**	**(146.1)**	**(10.2)**	**(10.2)**	**(135.9)**	**-1,332.4%**
Ending Fund Balances (Deficits)	**$ 77.1**	**$ 77.1**	**$ (225.9)**	**$ (225.9)**	**$ (148.8)**	**$ (148.8)**	**$ 1.2**	**$ 1.2**	**$ (150.0)**	**-12,500.0%**

STATE OF NEW YORK
TRUST FUNDS
COMBINED STATEMENT OF CASH RECEIPTS, DISBURSEMENTS AND CHANGES IN FUND BALANCES
(Amounts in millions)

EXHIBIT C

	PENSION		PRIVATE PURPOSE		TOTAL TRUST FUNDS				YEAR OVER YEAR	
	MONTH OF APR. 2015	1 MO. ENDED APR. 30, 2015	MONTH OF APR. 2015	1 MO. ENDED APR. 30, 2015	MONTH OF APR. 2015	1 MO. ENDED APR. 30, 2015	MONTH OF APR. 2014	1 MO. ENDED APR. 30, 2014	$ Increase/ (Decrease)	% Increase Decrease
RECEIPTS:										
Miscellaneous Receipts	$ 21.9	$ 21.9	$ (0.3)	$ (0.3)	$ 21.6	$ 21.6	$ 4.9	$ 4.9	$ 16.7	340.8%
Total Receipts	**21.9**	**21.9**	**(0.3)**	**(0.3)**	**21.6**	**21.6**	**4.9**	**4.9**	**16.7**	**340.8%**
DISBURSEMENTS:										
Departmental Operations:										
Personal Service	4.9	4.9	-	-	4.9	4.9	4.8	4.8	0.1	2.1%
Non-Personal Service	0.5	0.5	-	-	0.5	0.5	0.5	0.5	-	0.0%
General State Charges	-	-	-	-	-	-	-	-	-	0.0%
Total Disbursements	**5.4**	**5.4**	**-**	**-**	**5.4**	**5.4**	**5.3**	**5.3**	**0.1**	**1.9%**
Excess (Deficiency) of Receipts Over Disbursements	**16.5**	**16.5**	**(0.3)**	**(0.3)**	**16.2**	**16.2**	**(0.4)**	**(0.4)**	**16.6**	**4,150.0%**
OTHER FINANCING SOURCES (USES):										
Transfers from Other Funds	-	-	-	-	-	-	-	-	-	0.0%
Transfers to Other Funds	-	-	-	-	-	-	-	-	-	0.0%
Total Other Financing Sources (Uses)	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**0.0%**
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	**16.5**	**16.5**	**(0.3)**	**(0.3)**	**16.2**	**16.2**	**(0.4)**	**(0.4)**	**16.6**	**4,150.0%**
Beginning Fund Balances (Deficits)	**(16.9)**	**(16.9)**	**11.5**	**11.5**	**(5.4)**	**(5.4)**	**7.0**	**7.0**	**(12.4)**	**-177.1%**
Ending Fund Balances (Deficits)	**$ (0.4)**	**$ (0.4)**	**$ 11.2**	**$ 11.2**	**$ 10.8**	**$ 10.8**	**$ 6.6**	**$ 6.6**	**$ 4.2**	**63.6%**

STATE OF NEW YORK
BUDGETARY BASIS - FINANCIAL PLAN AND ACTUAL
STATE FISCAL YEAR ENDED MARCH 31, 2016
FOR ONE MONTH ENDED APRIL 30, 2015
(Amounts in millions)

EXHIBIT D

	ALL GOVERNMENTAL FUNDS				
	Enacted Financial Plan (*)	Updated Financial Plan	Actual	Actual Over/ (Under) Enacted Financial Plan	Actual Over/ (Under) Updated Financial Plan
RECEIPTS:					
Taxes:					
Personal Income	$ 6,730.0	$ -	$ 6,718.4	$ (11.6)	$ -
Consumption/Use	1,252.0	-	1,239.5	(12.5)	-
Business	321.0	-	314.7	(6.3)	-
Other	362.0	-	368.7	6.7	-
Miscellaneous Receipts	718.0	-	705.0	(13.0)	-
Federal Receipts	1,729.0	-	1,730.0	1.0	-
Total Receipts	**11,112.0**	**-**	**11,076.3**	**(35.7)**	**-**
DISBURSEMENTS:					
Local Assistance Grants	5,148.0	-	5,059.9	(88.1)	-
Departmental Operations	1,586.0	-	1,586.9	0.9	-
General State Charges	663.0	-	663.7	0.7	-
Debt Service	166.0	-	165.9	(0.1)	-
Capital Projects	289.0	-	288.9	(0.1)	-
Total Disbursements	**7,852.0**	**-**	**7,765.3**	**(86.7)**	**-**
Excess (Deficiency) of Receipts over Disbursements	**3,260.0**	**-**	**3,311.0**	**51.0**	**-**
OTHER FINANCING SOURCES (USES):					
Bond and Note Proceeds, net	-	-	-	-	-
Transfers from Other Funds	4,551.0	-	4,617.6	66.6	-
Transfers to Other Funds	(4,555.0)	-	(4,620.6)	65.6	-
Total Other Financing Sources (Uses)	**(4.0)**	**-**	**(3.0)**	**1.0**	**-**
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	**3,256.0**	**-**	**3,308.0**	**52.0**	**-**
Fund Balances (Deficits) at April 1	**9,355.0**	**-**	**9,355.6**	**0.6**	**-**
Fund Balances (Deficits) at April 30, 2015	**$ 12,611.0**	**$ -**	**$ 12,663.6**	**$ 52.6**	**$ -**

(*) Source: 2015-16 Enacted Budget dated May 13, 2015.

STATE OF NEW YORK
BUDGETARY BASIS - FINANCIAL PLAN AND ACTUAL
STATE FISCAL YEAR ENDED MARCH 31, 2016
FOR ONE MONTH ENDED APRIL 30, 2015
(Amounts in millions)

EXHIBIT D
(continued)

	STATE OPERATING FUNDS (**)				
	Enacted Financial Plan (*)	Updated Financial Plan	Actual	Actual Over/ (Under) Enacted Financial Plan	Actual Over/ (Under) Updated Financial Plan
RECEIPTS:					
Taxes:					
Personal Income	$ 6,730.0	$ -	$ 6,718.4	$ (11.6)	$ -
Consumption/Use	1,201.0	-	1,190.5	(10.5)	-
Business	269.0	-	263.8	(5.2)	-
Other	362.0	-	368.7	6.7	-
Miscellaneous Receipts	632.0	-	608.6	(23.4)	-
Federal Receipts	-	-	-	-	-
Total Receipts	**9,194.0**	**-**	**9,150.0**	**(44.0)**	**-**
DISBURSEMENTS:					
Local Assistance Grants	2,598.0	-	2,595.2	(2.8)	-
Departmental Operations	1,478.0	-	1,478.8	0.8	-
General State Charges	650.0	-	650.4	0.4	-
Debt Service	166.0	-	165.9	(0.1)	-
Capital Projects	-	-	-	-	-
Total Disbursements	**4,892.0**	**-**	**4,890.3**	**(1.7)**	**-**
Excess (Deficiency) of Receipts over Disbursements	**4,302.0**	**-**	**4,259.7**	**(42.3)**	**-**
OTHER FINANCING SOURCES (USES):					
Transfers from Other Funds	4,473.0	-	4,552.0 (***)	79.0	-
Transfers to Other Funds	(4,397.0)	-	(4,396.1) (***)	(0.9)	-
Total Other Financing Sources (Uses)	**76.0**	**-**	**155.9**	**79.9**	**-**
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	**4,378.0**	**-**	**4,415.6**	**37.6**	**-**
Fund Balances (Deficits) at April 1	**9,890.0**	**-**	**9,890.8**	**0.8**	**-**
Fund Balances (Deficits) at April 30, 2015	**$ 14,268.0**	**$ -**	**$ 14,306.4**	**$ 38.4**	**$ -**

(*) Source: 2015-16 Enacted Budget dated May 13, 2015.
(**) State Operating Funds are comprised of the General Fund, State Special Revenue Funds supported by activities from dedicated revenue sources (including operating transfers from Federal Funds) and Debt Service Funds.
(***) Eliminations between Special Revenue - State and Federal Funds are not included.

STATE OF NEW YORK
BUDGETARY BASIS - FINANCIAL PLAN AND ACTUAL
STATE FISCAL YEAR ENDED MARCH 31, 2016
FOR ONE MONTH ENDED APRIL 30, 2015
(Amounts in millions)

EXHIBIT D
(continued)

	GENERAL FUND				
	Enacted Financial Plan (*)	Updated Financial Plan	Actual	Actual Over/ (Under) Enacted Financial Plan	Actual Over/ (Under) Updated Financial Plan
RECEIPTS:					
Taxes:					
Personal Income	$ 5,044.0	$ -	$ 5,035.7	$ (8.3)	$ -
Consumption/Use	526.0	-	507.0	(19.0)	-
Business	208.0	-	203.5	(4.5)	-
Other	152.0	-	149.8	(2.2)	-
Miscellaneous Receipts	177.0	-	178.2	1.2	-
Federal Receipts	-	-	-	-	-
Transfers From:					
PIT in excess of Revenue Bond Debt Service	1,682.0	-	1,679.4	(2.6)	-
Sales Tax in excess of LGAC / STRBF Debt Service	454.0	-	461.4	7.4	-
Real Estate Taxes in excess of CW/CA Debt Service	80.0	-	74.2	(5.8)	-
All Other	15.0	-	14.9	(0.1)	-
Total Receipts and Other Financing Sources	**8,338.0**	**-**	**8,304.1**	**(33.9)**	**-**
DISBURSEMENTS:					
Local Assistance Grants	1,952.0	-	1,950.2	(1.8)	-
Departmental Operations	622.0	-	622.6	0.6	-
General State Charges	612.0	-	612.3	0.3	-
Transfers To:					
Debt Service	302.0	-	302.0	-	-
Capital Projects	73.0	-	73.1	0.1	-
State Share Medicaid	992.0	-	1,001.1 (**)	9.1	-
SUNY Operations	210.0	-	209.5	(0.5)	-
Other Purposes	498.0	-	489.2	(8.8)	-
Total Disbursements and Other Financing Uses	**5,261.0**	**-**	**5,260.0**	**(1.0)**	**-**
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	**3,077.0**	**-**	**3,044.1**	**(32.9)**	**-**
Fund Balances (Deficits) at April 1	**7,300.0**	**-**	**7,299.5**	**(0.5)**	**-**
Fund Balances (Deficits) at April 30, 2015	**$ 10,377.0**	**$ -**	**$ 10,343.6**	**$ (33.4)**	**$ -**

(*) Source: 2015-16 Enacted Budget dated May 13, 2015.
(**) Includes transfers to the Department of Health Income Fund, the State University Income Fund and the Mental Hygiene Program Account representing payments for patients residing in State-Operated Health, Mental Hygiene and State University facilities.

STATE OF NEW YORK
BUDGETARY BASIS - FINANCIAL PLAN AND ACTUAL
STATE FISCAL YEAR ENDED MARCH 31, 2016
FOR ONE MONTH ENDED APRIL 30, 2015
(Amounts in millions)

EXHIBIT D
(continued)

	SPECIAL REVENUE FUNDS				
	Enacted Financial Plan (*)	Updated Financial Plan	Actual	Actual Over/ (Under) Enacted Financial Plan	Actual Over/ (Under) Updated Financial Plan
RECEIPTS:					
Taxes:					
Personal Income	$ 3.0	$ -	$ 3.1	$ 0.1	$ -
Consumption/Use	201.0	-	203.6	2.6	-
Business	61.0	-	60.3	(0.7)	-
Other	124.0	-	132.6	8.6	-
Miscellaneous Receipts	430.0	-	451.1	21.1	-
Federal Receipts	1,628.0	-	1,629.0	1.0	-
Transfers from Other Funds(**)	1,782.0	-	1,783.0	1.0	-
Total Receipts and Other Financing Sources	**4,229.0**	**-**	**4,262.7**	**33.7**	**-**
DISBURSEMENTS:					
Local Assistance Grants	3,129.0	-	3,042.0	(87.0)	-
Departmental Operations	964.0	-	963.9	(0.1)	-
General State Charges	51.0	-	51.4	0.4	-
Capital Projects	-	-	-	-	-
Transfers to Other Funds(**)	100.0	-	166.8	66.8	-
	4,244.0	**-**	**4,224.1**	**(19.9)**	**-**
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	**(15.0)**	**-**	**38.6**	**53.6**	**-**
Fund Balances (Deficits) at April 1	**2,661.0**	**-**	**2,661.8**	**0.8**	**-**
Fund Balances (Deficits) at April 30, 2015	**$ 2,646.0**	**$ -**	**$ 2,700.4**	**$ 54.4**	**$ -**

(*) Source: 2015-16 Enacted Budget dated May 13, 2015.
(**) Actual reported transfer amounts include eliminations between Special Revenue - State and Federal Funds. The Financial Plan reported transfer amounts do not include eliminations.

STATE OF NEW YORK
BUDGETARY BASIS - FINANCIAL PLAN AND ACTUAL
STATE FISCAL YEAR ENDED MARCH 31, 2016
FOR ONE MONTH ENDED APRIL 30, 2015
(Amounts in millions)

EXHIBIT D
(continued)

	STATE SPECIAL REVENUE FUNDS					FEDERAL SPECIAL REVENUE FUNDS				
	Enacted Financial Plan (*)	Updated Financial Plan	Actual	Actual Over/ (Under) Enacted Financial Plan	Actual Over/ (Under) Updated Financial Plan	Enacted Financial Plan (*)	Updated Financial Plan	Actual	Actual Over/ (Under) Enacted Financial Plan	Actual Over/ (Under) Updated Financial Plan
RECEIPTS:										
Taxes:										
Personal Income	$ 3.0	$ -	$ 3.1	$ 0.1	$ -	$ -	$ -	$ -	$ -	$ -
Consumption/Use	201.0	-	203.6	2.6	-	-	-	-	-	-
Business	61.0	-	60.3	(0.7)	-	-	-	-	-	-
Other	124.0	-	132.6	8.6	-	-	-	-	-	-
Miscellaneous Receipts	426.0	-	438.7	12.7	-	4.0	-	12.4	8.4	-
Federal Receipts	-	-	-	-	-	1,628.0	-	1,629.0	1.0	-
Transfers from Other Funds(**)	1,782.0	-	1,783.0	1.0	-	-	-	-	-	-
Total Receipts and Other Financing Sources	**2,597.0**	**-**	**2,621.3**	**24.3**	**-**	**1,632.0**	**-**	**1,641.4**	**9.4**	**-**
DISBURSEMENTS:										
Local Assistance Grants	646.0	-	645.0	(1.0)	-	2,483.0	-	2,397.0	(86.0)	-
Departmental Operations	856.0	-	855.8	(0.2)	-	108.0	-	108.1	0.1	-
General State Charges	38.0	-	38.1	0.1	-	13.0	-	13.3	0.3	-
Capital Projects	-	-	-	-	-	-	-	-	-	-
Transfers to Other Funds(**)	19.0	-	19.0	-	-	81.0	-	147.8	66.8	-
Total Disbursements and Other Financing Uses	**1,559.0**	**-**	**1,557.9**	**(1.1)**	**-**	**2,685.0**	**-**	**2,666.2**	**(18.8)**	**-**
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	**1,038.0**	**-**	**1,063.4**	**25.4**	**-**	**(1,053.0)**	**-**	**(1,024.8)**	**28.2**	**-**
Fund Balances (Deficits) at April 1	**2,010.0**	**-**	**2,010.2**	**0.2**	**-**	**651.0**	**-**	**651.6**	**0.6**	**-**
Fund Balances (Deficits) at April 30, 2015	**$ 3,048.0**	**$ -**	**$ 3,073.6**	**$ 25.6**	**$ -**	**$ (402.0)**	**$ -**	**$ (373.2)**	**$ 28.8**	**$ -**

(*) Source: 2015-16 Enacted Budget dated May 13, 2015.
(**) Actual reported transfer amounts include eliminations between Special Revenue - State and Federal Funds. The Financial Plan reported transfer amounts do not include eliminations.

STATE OF NEW YORK
BUDGETARY BASIS - FINANCIAL PLAN AND ACTUAL
STATE FISCAL YEAR ENDED MARCH 31, 2016
FOR ONE MONTH ENDED APRIL 30, 2015
(Amounts in millions)

EXHIBIT D
(continued)

	DEBT SERVICE FUNDS				
	Enacted Financial Plan (*)	Updated Financial Plan	Actual	Actual Over/ (Under) Enacted Financial Plan	Actual Over/ (Under) Updated Financial Plan
RECEIPTS:					
Taxes:					
Personal Income	$ 1,683.0	$ -	$ 1,679.6	$ (3.4)	$ -
Consumption/Use	474.0	-	479.9	5.9	-
Other	86.0	-	86.3	0.3	-
Miscellaneous Receipts	29.0	-	(8.3)	(37.3)	-
Federal Receipts	-	-	-	-	-
Transfers from Other Funds	460.0	-	526.9	66.9	-
Total Receipts and Other Financing Sources	**2,732.0**	**-**	**2,764.4**	**32.4**	**-**
DISBURSEMENTS:					
Departmental Operations	-	-	0.4	0.4	-
Debt Service	166.0	-	165.9	(0.1)	-
Transfers to Other Funds	2,303.0	-	2,302.2	(0.8)	-
Total Disbursements and Other Financing Uses	**2,469.0**	**-**	**2,468.5**	**(0.5)**	**-**
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	**263.0**	**-**	**295.9**	**32.9**	**-**
Fund Balances (Deficits) at April 1	**118.0**	**-**	**118.7**	**0.7**	**-**
Fund Balances (Deficits) at April 30, 2015	**$ 381.0**	**$ -**	**$ 414.6**	**$ 33.6**	**$ -**

(*) Source: 2015-16 Enacted Budget dated May 13, 2015.

STATE OF NEW YORK
BUDGETARY BASIS - FINANCIAL PLAN AND ACTUAL
STATE FISCAL YEAR ENDED MARCH 31, 2016
FOR ONE MONTH ENDED APRIL 30, 2015
(Amounts in millions)

EXHIBIT D
(continued)

	CAPITAL PROJECTS FUNDS				
	Enacted Financial Plan (*)	Updated Financial Plan	Actual	Actual Over/ (Under) Enacted Financial Plan	Actual Over/ (Under) Updated Financial Plan
RECEIPTS:					
Taxes:					
Consumption/Use	$ 51.0	$ -	$ 49.0	$ (2.0)	$ -
Business	52.0	-	50.9	(1.1)	-
Other	-	-	-	-	-
Miscellaneous Receipts	82.0	-	84.0	2.0	-
Federal Receipts	101.0	-	101.0	-	-
Bond and Note Proceeds, net	-	-	-	-	-
Transfers from Other Funds(**)	78.0	-	77.8	(0.2)	-
Total Receipts and Other Financing Sources	**364.0**	**-**	**362.7**	**(1.3)**	**-**
DISBURSEMENTS:					
Local Assistance Grants	67.0	-	67.7	0.7	-
Capital Projects	289.0	-	288.9	(0.1)	-
Transfers to Other Funds(**)	77.0	-	76.7	(0.3)	-
Total Disbursements and Other Financing Uses	**433.0**	**-**	**433.3**	**0.3**	**-**
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	**(69.0)**	**-**	**(70.6)**	**(1.6)**	**-**
Fund Balances (Deficits) at April 1	**(724.0)**	**-**	**(724.4)**	**(0.4)**	**-**
Fund Balances (Deficits) at April 30, 2015	**$ (793.0)**	**$ -**	**$ (795.0)**	**$ (2.0)**	**$ -**

(*) Source: 2015-16 Enacted Budget dated May 13, 2015.
(**) Actual reported transfer amounts include eliminations between Special Revenue - State and Federal Funds. The Financial Plan reported transfer amounts do not include eliminations.

STATE OF NEW YORK
BUDGETARY BASIS - FINANCIAL PLAN AND ACTUAL
STATE FISCAL YEAR ENDED MARCH 31, 2016
FOR ONE MONTH ENDED APRIL 30, 2015
(Amounts in millions)

EXHIBIT D
(continued)

	STATE CAPITAL PROJECTS FUNDS					FEDERAL CAPITAL PROJECTS FUNDS				
	Enacted Financial Plan (*)	Updated Financial Plan	Actual	Actual Over/ (Under) Enacted Financial Plan	Actual Over/ (Under) Updated Financial Plan	Enacted Financial Plan (*)	Updated Financial Plan	Actual	Actual Over/ (Under) Enacted Financial Plan	Actual Over/ (Under) Updated Financial Plan
RECEIPTS:										
Taxes:										
Consumption/Use	$ 51.0	$ -	$ 49.0	$ (2.0)	$ -	$ -	$ -	$ -	$ -	$ -
Business	52.0	-	50.9	(1.1)	-	-	-	-	-	-
Other	-	-	-	-	-	-	-	-	-	-
Miscellaneous Receipts	82.0	-	83.8	1.8	-	-	-	0.2	0.2	-
Federal Receipts	-	-	-	-	-	101.0	-	101.0	-	-
Bond and Note Proceeds, net	-	-	-	-	-	-	-	-	-	-
Transfers from Other Funds(**)	78.0	-	77.8	(0.2)	-	-	-	-	-	-
Total Receipts and Other Financing Sources	**263.0**	**-**	**261.5**	**(1.5)**	**-**	**101.0**	**-**	**101.2**	**0.2**	**-**
DISBURSEMENTS:										
Local Assistance Grants	40.0	-	41.1	1.1	-	27.0	-	26.6	(0.4)	-
Capital Projects	212.0	-	212.3	0.3	-	77.0	-	76.6	(0.4)	-
Transfers to Other Funds(**)	75.0	-	74.7	(0.3)	-	2.0	-	2.0	-	-
Total Disbursements and Other Financing Uses	**327.0**	**-**	**328.1**	**1.1**	**-**	**106.0**	**-**	**105.2**	**(0.8)**	**-**
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	**(64.0)**	**-**	**(66.6)**	**(2.6)**	**-**	**(5.0)**	**-**	**(4.0)**	**1.0**	**-**
Fund Balances (Deficits) at April 1	**(725.0)**	**-**	**(724.5)**	**0.5**	**-**	**1.0**	**-**	**0.1**	**(0.9)**	**-**
Fund Balances (Deficits) at April 30, 2015	**$ (789.0)**	**$ -**	**$ (791.1)**	**$ (2.1)**	**$ -**	**$ (4.0)**	**$ -**	**$ (3.9)**	**$ 0.1**	**$ -**

(*) Source: 2015-16 Enacted Budget dated May 13, 2015.
(**) Actual reported transfer amounts include eliminations between Special Revenue - State and Federal Funds. The Financial Plan reported transfer amounts do not include eliminations.

STATE OF NEW YORK
GOVERNMENTAL FUNDS
COMPARATIVE SCHEDULE OF TAX RECEIPTS
(Amounts in millions)

EXHIBIT E

	GENERAL		SPECIAL REVENUE		DEBT SERVICE		CAPITAL PROJECTS		TOTAL GOVERNMENTAL FUNDS				YEAR OVER YEAR	
	MONTH OF APR. 2015	1 MO. ENDED APR. 30, 2015	MONTH OF APR. 2015	1 MO. ENDED APR. 30, 2015	MONTH OF APR. 2015	1 MO. ENDED APR. 30, 2015	MONTH OF APR. 2015	1 MO. ENDED APR. 30, 2015	MONTH OF APR. 2015	1 MO. ENDED APR. 30, 2015	MONTH OF APR. 2014	1 MO. ENDED APR. 30, 2014	$ Increase/ (Decrease)	% Increase/ Decrease
PERSONAL INCOME TAX														
Withholding	$ 2,961.1	$ 2,961.1	$ -	$ -	$ -	$ -	$ -	$ -	$ 2,961.1	$ 2,961.1	$ 2,760.5	$ 2,760.5	$ 200.6	7.3%
Estimated Payments	5,313.5	5,313.5	-	-	-	-	-	-	5,313.5	5,313.5	4,040.4	4,040.4	1,273.1	31.5%
Returns	1,687.1	1,687.1	-	-	-	-	-	-	1,687.1	1,687.1	1,433.5	1,433.5	253.6	17.7%
State/City Offsets	(144.8)	(144.8)	-	-	-	-	-	-	(144.8)	(144.8)	(124.1)	(124.1)	20.7	16.7%
Other (Assessments/LLC)	143.7	143.7	-	-	-	-	-	-	143.7	143.7	112.2	112.2	31.5	28.1%
Gross Receipts	**9,960.6**	**9,960.6**	**-**	**-**	**-**	**-**	**-**	**-**	**9,960.6**	**9,960.6**	**8,222.5**	**8,222.5**	**1,738.1**	**21.1%**
Transfers to School Tax Relief Fund	(3.1)	(3.1)	3.1	3.1	-	-	-	-	-	-	-	-	-	0.0%
Transfers to Revenue Bond Tax Fund	(1,679.6)	(1,679.6)	-	-	1,679.6	1,679.6	-	-	-	-	-	-	-	0.0%
Less: Refunds Issued	(3,242.2)	(3,242.2)	-	-	-	-	-	-	(3,242.2)	(3,242.2)	(2,869.2)	(2,869.2)	373.0	13.0%
Total	**5,035.7**	**5,035.7**	**3.1**	**3.1**	**1,679.6**	**1,679.6**	**-**	**-**	**6,718.4**	**6,718.4**	**5,353.3**	**5,353.3**	**1,365.1**	**25.5%**
CONSUMPTION/USE TAXES														
Sales and Use	475.2	475.2	91.0	91.0	479.9	479.9	-	-	1,046.1	1,046.1	986.5	986.5	59.6	6.0%
Auto Rental	-	-	1.5	1.5	-	-	3.0	3.0	4.5	4.5	4.0	4.0	0.5	12.5%
Cigarette/Tobacco Products	11.9	11.9	83.2	83.2	-	-	-	-	95.1	95.1	119.7	119.7	(24.6)	-20.6%
Motor Fuel	-	-	8.7	8.7	-	-	32.6	32.6	41.3	41.3	41.0	41.0	0.3	0.7%
Alcoholic Beverage	19.9	19.9	-	-	-	-	-	-	19.9	19.9	19.4	19.4	0.5	2.6%
Highway Use	-	-	-	-	-	-	13.4	13.4	13.4	13.4	12.9	12.9	0.5	3.9%
Metropolitan Commuter Trans. Taxicab Trip	-	-	19.2	19.2	-	-	-	-	19.2	19.2	20.9	20.9	(1.7)	-8.1%
Total	**507.0**	**507.0**	**203.6**	**203.6**	**479.9**	**479.9**	**49.0**	**49.0**	**1,239.5**	**1,239.5**	**1,204.4**	**1,204.4**	**35.1**	**2.9%**
BUSINESS TAXES														
Corporation Franchise	170.2	170.2	11.5	11.5	-	-	-	-	181.7	181.7	138.7	138.7	43.0	31.0%
Corporation and Utilities	3.2	3.2	1.7	1.7	-	-	0.1	0.1	5.0	5.0	1.7	1.7	3.3	194.1%
Insurance	6.3	6.3	(0.1)	(0.1)	-	-	-	-	6.2	6.2	4.7	4.7	1.5	31.9%
Bank	23.8	23.8	6.6	6.6	-	-	-	-	30.4	30.4	29.3	29.3	1.1	3.8%
Petroleum Business	-	-	40.6	40.6	-	-	50.8	50.8	91.4	91.4	98.8	98.8	(7.4)	-7.5%
Total	**203.5**	**203.5**	**60.3**	**60.3**	**-**	**-**	**50.9**	**50.9**	**314.7**	**314.7**	**273.2**	**273.2**	**41.5**	**15.2%**
OTHER TAXES														
Real Property Gains	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Estate and Gift	148.9	148.9	-	-	-	-	-	-	148.9	148.9	83.7	83.7	65.2	77.9%
Pari-Mutuel	0.9	0.9	-	-	-	-	-	-	0.9	0.9	1.0	1.0	(0.1)	-10.0%
Real Estate Transfer	-	-	-	-	86.3	86.3	-	-	86.3	86.3	73.3	73.3	13.0	17.7%
Racing and Exhibitions	-	-	-	-	-	-	-	-	-	-	0.1	0.1	(0.1)	-100.0%
Metropolitan Commuter Trans. Mobility	-	-	132.6	132.6	-	-	-	-	132.6	132.6	128.8	128.8	3.8	3.0%
Total	**149.8**	**149.8**	**132.6**	**132.6**	**86.3**	**86.3**	**-**	**-**	**368.7**	**368.7**	**286.9**	**286.9**	**81.8**	**28.5%**
Total Tax Receipts	**$ 5,896.0**	**$ 5,896.0**	**$ 399.6**	**$ 399.6**	**$ 2,245.8**	**$ 2,245.8**	**$ 99.9**	**$ 99.9**	**$ 8,641.3**	**$ 8,641.3**	**$ 7,117.8**	**$ 7,117.8**	**$ 1,523.5**	**21.4%**

STATE OF NEW YORK
GOVERNMENTAL FUNDS (*)
STATEMENT OF CASH FLOW
FISCAL YEAR 2015-2016
(Amounts in millions)

	2015 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2016 JANUARY	FEBRUARY	MARCH	1 Month Ended April 30 2015	2014	$ Increase/ (Decrease)	% Increase/ Decrease
Beginning Fund Balance	**$ 9,355.6**												**$ 9,355.6**	**$ 4,034.5**	**$ 5,321.1**	**131.9%**
RECEIPTS:																
Taxes:																
Personal Income Tax :																
Withholdings	2,961.1												2,961.1	2,760.5	200.6	7.3%
Estimated payments	5,313.5												5,313.5	4,040.4	1,273.1	31.5%
Returns	1,687.1												1,687.1	1,433.5	253.6	17.7%
State/City Offsets	(144.8)												(144.8)	(124.1)	20.7	16.7%
Other (Assessments/LLC)	143.7												143.7	112.2	31.5	28.1%
Gross Receipts	**9,960.6**	-	-	-	-	-	-	-	-	-	-	-	**9,960.6**	**8,222.5**	**1,738.1**	**21.1%**
Transfers to School Tax Relief Fund	-												-	-	-	0.0%
Transfers to Revenue Bond Tax Fund	-												-	-	-	0.0%
Refunds issued	(3,242.2)												(3,242.2)	(2,869.2)	373.0	13.0%
Total Personal Income Tax	**6,718.4**	-	-	-	-	-	-	-	-	-	-	-	**6,718.4**	**5,353.3**	**1,365.1**	**25.5%**
Consumption/Use Taxes:																
Sales and Use	1,046.1												1,046.1	986.5	59.6	6.0%
Auto Rental	4.5												4.5	4.0	0.5	12.5%
Cigarette/Tobacco Products	95.1												95.1	119.7	(24.6)	-20.6%
Motor Fuel	41.3												41.3	41.0	0.3	0.7%
Alcoholic Beverage	19.9												19.9	19.4	0.5	2.6%
Highway Use	13.4												13.4	12.9	0.5	3.9%
Metropolitan Commuter Trans. Taxicab Trip	19.2												19.2	20.9	(1.7)	-8.1%
Total Consumption/Use Taxes	**1,239.5**	-	-	-	-	-	-	-	-	-	-	-	**1,239.5**	**1,204.4**	**35.1**	**2.9%**
Business Taxes:																
Corporation Franchise	181.7												181.7	138.7	43.0	31.0%
Corporation and Utilities	5.0												5.0	1.7	3.3	194.1%
Insurance	6.2												6.2	4.7	1.5	31.9%
Bank	30.4												30.4	29.3	1.1	3.8%
Petroleum Business	91.4												91.4	98.8	(7.4)	-7.5%
Total Business Taxes	**314.7**	-	-	-	-	-	-	-	-	-	-	-	**314.7**	**273.2**	**41.5**	**15.2%**
Other Taxes:																
Real Property Gains	-												-	-	-	0.0%
Estate and Gift	148.9												148.9	83.7	65.2	77.9%
Pari-Mutuel	0.9												0.9	1.0	(0.1)	-10.0%
Real Estate Transfer	86.3												86.3	73.3	13.0	17.7%
Racing and Exhibitions	-												-	0.1	(0.1)	-100.0%
Metropolitan Commuter Trans. Mobility	132.6												132.6	128.8	3.8	3.0%
Total Other Taxes	**368.7**	-	-	-	-	-	-	-	-	-	-	-	**368.7**	**286.9**	**81.8**	**28.5%**
Total Taxes	**8,641.3**	-	-	-	-	-	-	-	-	-	-	-	**8,641.3**	**7,117.8**	**1,523.5**	**21.4%**
Miscellaneous Receipts:																
Abandoned Property:																
Abandoned Property	0.8												0.8	1.5	(0.7)	-46.7%
Bottle Bill	0.5												0.5	0.1	0.4	400.0%
Assessments:																
Business	88.4												88.4	73.2	15.2	20.8%
Medical Care	376.8												376.8	391.5	(14.7)	-3.8%
Public Utilities	0.7												0.7	0.5	0.2	40.0%
Other	18.2												18.2	16.5	1.7	10.3%
Fees, Licenses and Permits:																
Alcohol Beverage Control Licensing	6.9												6.9	6.5	0.4	6.2%
Business/Professional:	78.8												78.8	65.1	13.7	21.0%
Civil	27.6												27.6	22.9	4.7	20.5%
Criminal	0.1												0.1	0.1	-	0.0%
Motor Vehicle	128.1												128.1	128.5	(0.4)	-0.3%
Recreational/Consumer	16.6												16.6	13.8	2.8	20.3%
Fines, Penalties and Forfeitures	18.6												18.6	18.6	-	0.0%
Gaming:																
Casino	43.5												43.5	4.0	39.5	987.5%
Lottery	226.4												226.4	220.6	5.8	2.6%
Video Lottery	94.5												94.5	91.5	3.0	3.3%
Interest Earnings	2.9												2.9	2.5	0.4	16.0%
Receipts from Public Authorities:																
Bond Proceeds	12.2												12.2	134.6	(122.4)	-90.9%
Cost Recovery Assessments	-												-	-	-	0.0%
Issuance Fees	0.2												0.2	13.8	(13.6)	-98.6%
Non Bond Related	0.2												0.2	0.3	(0.1)	-33.3%
Receipts from Municipalities	39.8												39.8	34.6	5.2	15.0%

STATE OF NEW YORK
GOVERNMENTAL FUNDS (*)
STATEMENT OF CASH FLOW
FISCAL YEAR 2015-2016
(Amounts in millions)

	2015 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2016 JANUARY	FEBRUARY	MARCH	1 Month Ended April 30 2015	2014	$ Increase/ (Decrease)	% Increase/ Decrease
Rentals	34.2												34.2	27.5	6.7	24.4%
Revenues of State Departments:																
Administrative Recoveries	0.7												0.7	0.9	(0.2)	-22.2%
Commissions	0.1												0.1	0.2	(0.1)	-50.0%
Gifts, Grants and Donations	1.0												1.0	0.8	0.2	25.0%
Indirect Cost Recoveries	1.4												1.4	2.0	(0.6)	-30.0%
Patient/Client Care Reimbursement	(756.1)												(756.1)	203.2	(959.3)	-472.1%
Rebates	11.2												11.2	9.3	1.9	20.4%
Restitution and Settlements	82.9												82.9	9.6	73.3	763.5%
Student Loans	8.4												8.4	6.2	2.2	35.5%
All Other	12.2												12.2	7.7	4.5	58.4%
Sales	2.0												2.0	5.1	(3.1)	-60.8%
Tuition	125.2												125.2	72.0	53.2	73.9%
Total Miscellaneous Receipts	**705.0**	-	-	-	-	-	-	-	-	-	-	-	**705.0**	**1,585.2**	**(880.2)**	**-55.5%**
Federal Receipts	1,730.0												1,730.0	2,978.0	(1,248.0)	-41.9%
Total Receipts	**11,076.3**	-	-	-	-	-	-	-	-	-	-	-	**11,076.3**	**11,681.0**	**(604.7)**	**-5.2%**
DISBURSEMENTS:																
Local Assistance Grants:																
Education	895.9												895.9	763.8	132.1	17.3%
Environment and Recreation	3.0												3.0	2.6	0.4	15.4%
General Government	24.2												24.2	17.6	6.6	37.5%
Public Health:																
Medicaid	3,191.8												3,191.8	3,623.6	(431.8)	-11.9%
Other Public Health	221.0												221.0	230.2	(9.2)	-4.0%
Public Safety	172.4												172.4	69.8	102.6	147.0%
Public Welfare	367.3												367.3	491.9	(124.6)	-25.3%
Support and Regulate Business	25.8												25.8	12.0	13.8	115.0%
Transportation	158.5												158.5	213.1	(54.6)	-25.6%
Total Local Assistance Grants	**5,059.9**	-	-	-	-	-	-	-	-	-	-	-	**5,059.9**	**5,424.6**	**(364.7)**	**-6.7%**
Departmental Operations:																
Personal Service	1,237.7												1,237.7	1,053.3	184.4	17.5%
Non-Personal Service	349.2												349.2	444.0	(94.8)	-21.4%
General State Charges	663.7												663.7	688.4	(24.7)	-3.6%
Debt Service, Including Payments on Financing Agreements	165.9												165.9	173.2	(7.3)	-4.2%
Capital Projects	288.9												288.9	295.8	(6.9)	-2.3%
Total Disbursements	**7,765.3**	-	-	-	-	-	-	-	-	-	-	-	**7,765.3**	**8,079.3**	**(314.0)**	**-3.9%**
Excess (Deficiency) of Receipts over Disbursements	**3,311.0**	-	-	-	-	-	-	-	-	-	-	-	**3,311.0**	**3,601.7**	**(290.7)**	**-8.1%**
OTHER FINANCING SOURCES (USES):																
Bond Proceeds (net)	-												-	-	-	0.0%
Transfers from Other Funds	4,617.6												4,617.6	3,186.7	1,430.9	44.9%
Transfers to Other Funds	(4,620.6)												(4,620.6)	(3,248.3)	1,372.3	42.2%
Total Other Financing Sources (Uses)	**(3.0)**	-	-	-	-	-	-	-	-	-	-	-	**(3.0)**	**(61.6)**	**58.6**	**95.1%**
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	**3,308.0**	-	-	-	-	-	-	-	-	-	-	-	**3,308.0**	**3,540.1**	**(232.1)**	**-6.6%**
Ending Fund Balance	**$ 12,663.6**	**$ -**	**$ -**	**$ -**	**$ -**	**$ -**	**$ -**	**$ -**	**$ -**	**$ -**	**$ -**	**$ -**	**$ 12,663.6**	**$ 7,574.6**	**$ 5,089.0**	**67.2%**

(*) Governmental Funds includes General, Special Revenue, Debt Service and Capital Projects Funds combined.

STATE OF NEW YORK
GOVERNMENTAL FUNDS
STATEMENT OF CASH FLOW - STATE OPERATING (*)
FISCAL YEAR 2015-2016
(Amounts in millions)

	2015 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2016 JANUARY	FEBRUARY	MARCH	1 Month Ended April 30 2015	2014	$ Increase/ (Decrease)	% Increase/ Decrease
Beginning Fund Balance	**$ 9,890.8**												**$ 9,890.8**	**$ 4,789.1**	**$ 5,101.7**	**106.5%**
RECEIPTS:																
Taxes:																
Personal Income Tax:																
Withholdings	2,961.1												2,961.1	2,760.5	200.6	7.3%
Estimated payments	5,313.5												5,313.5	4,040.4	1,273.1	31.5%
Returns	1,687.1												1,687.1	1,433.5	253.6	17.7%
State/City Offsets	(144.8)												(144.8)	(124.1)	20.7	16.7%
Other (Assessments/LLC)	143.7												143.7	112.2	31.5	28.1%
Gross Receipts	**9,960.6**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**9,960.6**	**8,222.5**	**1,738.1**	**21.1%**
Transfers to School Tax Relief Fund	-												-	-	-	0.0%
Transfers to Revenue Bond Tax Fund	-												-	-	-	0.0%
Refunds issued	(3,242.2)												(3,242.2)	(2,869.2)	373.0	13.0%
Total Personal Income Tax	**6,718.4**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**6,718.4**	**5,353.3**	**1,365.1**	**25.5%**
Consumption/Use Taxes:																
Sales and Use	1,046.1												1,046.1	986.5	59.6	6.0%
Auto Rental	1.5												1.5	1.7	(0.2)	-11.8%
Cigarette/Tobacco Products	95.1												95.1	119.7	(24.6)	-20.6%
Motor Fuel	8.7												8.7	8.4	0.3	3.6%
Alcoholic Beverage	19.9												19.9	19.4	0.5	2.6%
Highway Use	-												-	-	-	0.0%
Metropolitan Commuter Trans. Taxicab Trip	19.2												19.2	20.9	(1.7)	-8.1%
Total Consumption/Use Taxes	**1,190.5**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**1,190.5**	**1,156.6**	**33.9**	**2.9%**
Business Taxes:																
Corporation Franchise	181.7												181.7	138.7	43.0	31.0%
Corporation and Utilities	4.9												4.9	1.6	3.3	206.3%
Insurance	6.2												6.2	4.7	1.5	31.9%
Bank	30.4												30.4	29.3	1.1	3.8%
Petroleum Business	40.6												40.6	44.1	(3.5)	-7.9%
Total Business Taxes	**263.8**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**263.8**	**218.4**	**45.4**	**20.8%**
Other Taxes:																
Real Property Gains	-												-	-	-	0.0%
Estate and Gift	148.9												148.9	83.7	65.2	77.9%
Pari-Mutuel	0.9												0.9	1.0	(0.1)	-10.0%
Real Estate Transfer	86.3												86.3	73.3	13.0	17.7%
Racing and Exhibitions	-												-	0.1	(0.1)	-100.0%
Metropolitan Commuter Trans. Mobility	132.6												132.6	128.8	3.8	3.0%
Total Other Taxes	**368.7**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**368.7**	**286.9**	**81.8**	**28.5%**
Total Taxes	**8,541.4**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**8,541.4**	**7,015.2**	**1,526.2**	**21.8%**
Miscellaneous Receipts:																
Abandoned Property:																
Abandoned Property	0.8												0.8	1.5	(0.7)	-46.7%
Bottle Bill	0.5												0.5	0.1	0.4	400.0%
Assessments:																
Business	75.7												75.7	61.0	14.7	24.1%
Medical Care	376.8												376.8	391.5	(14.7)	-3.8%
Public Utilities	0.7												0.7	0.5	0.2	40.0%
Other	18.2												18.2	16.5	1.7	10.3%
Fees, Licenses and Permits:																
Alcohol Beverage Control Licensing	6.9												6.9	6.5	0.4	6.2%
Business/Professional	77.1												77.1	62.8	14.3	22.8%
Civil	27.6												27.6	22.9	4.7	20.5%
Criminal	0.1												0.1	0.1	-	0.0%
Motor Vehicle	69.5												69.5	73.4	(3.9)	-5.3%
Recreational/Consumer	16.6												16.6	13.8	2.8	20.3%
Fines, Penalties and Forfeitures	17.7												17.7	15.0	2.7	18.0%
Gaming:																
Casino	43.5												43.5	4.0	39.5	987.5%
Lottery	226.4												226.4	220.6	5.8	2.6%
Video Lottery	94.5												94.5	91.5	3.0	3.3%
Interest Earnings	2.8												2.8	2.5	0.3	12.0%
Receipts from Public Authorities:																
Bond Proceeds	-												-	-	-	0.0%
Cost Recovery Assessments	-												-	-	-	0.0%
Issuance Fees	0.2												0.2	13.8	(13.6)	-98.6%
Non Bond Related	0.2												0.2	0.2	-	0.0%

GOVERNMENTAL FUNDS
STATEMENT OF CASH FLOW - STATE OPERATING (*)
FISCAL YEAR 2015-2016
(Amounts in millions)

	2015 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2016 JANUARY	FEBRUARY	MARCH	1 Month Ended April 30 2015	2014	$ Increase/ (Decrease)	% Increase/ Decrease
Receipts from Municipalities	39.7												39.7	34.5	5.2	15.1%
Rentals	34.0												34.0	27.3	6.7	24.5%
Revenues of State Departments:																
Administrative Recoveries	0.6												0.6	0.9	(0.3)	-33.3%
Commissions	0.1												0.1	0.2	(0.1)	-50.0%
Gifts, Grants and Donations	1.0												1.0	0.7	0.3	42.9%
Indirect Cost Recoveries	1.4												1.4	2.0	(0.6)	-30.0%
Patient/Client Care Reimbursement	(756.1)												(756.1)	203.2	(959.3)	-472.1%
Rebates	3.4												3.4	1.1	2.3	209.1%
Restitution and Settlements	82.2												82.2	9.6	72.6	756.3%
Student Loans	8.4												8.4	6.2	2.2	35.5%
All Other	11.0												11.0	7.6	3.4	44.7%
Sales	1.9												1.9	5.1	(3.2)	-62.7%
Tuition	125.2												125.2	72.0	53.2	73.9%
Total Miscellaneous Receipts	**608.6**	-	-	-	-	-	-	-	-	-	-	-	**608.6**	**1,368.6**	**(760.0)**	**-55.5%**
Federal Receipts	-												-	0.5	(0.5)	-100.0%
Total Receipts	**9,150.0**	-	-	-	-	-	-	-	-	-	-	-	**9,150.0**	**8,384.3**	**765.7**	**9.1%**
DISBURSEMENTS:																
Local Assistance Grants:																
Education	571.7												571.7	317.0	254.7	80.3%
Environment and Recreation	-												-	0.3	(0.3)	-100.0%
General Government	14.3												14.3	14.2	0.1	0.7%
Public Health:																
Medicaid	1,633.2												1,633.2	1,369.8	263.4	19.2%
Other Public Health	98.8												98.8	83.3	15.5	18.6%
Public Safety	14.6												14.6	15.2	(0.6)	-3.9%
Public Welfare	132.7												132.7	128.2	4.5	3.5%
Support and Regulate Business	4.6												4.6	8.0	(3.4)	-42.5%
Transportation	125.3												125.3	155.2	(29.9)	-19.3%
Total Local Assistance Grants	**2,595.2**	-	-	-	-	-	-	-	-	-	-	-	**2,595.2**	**2,091.2**	**504.0**	**24.1%**
Departmental Operations:																
Personal Service	1,186.9												1,186.9	1,004.2	182.7	18.2%
Non-Personal Service	291.9												291.9	354.7	(62.8)	-17.7%
General State Charges	650.4												650.4	679.1	(28.7)	-4.2%
Debt Service, Including Payments on Financing Agreements	165.9												165.9	173.2	(7.3)	-4.2%
Capital Projects	-												-	0.1	(0.1)	-100.0%
Total Disbursements	**4,890.3**	-	-	-	-	-	-	-	-	-	-	-	**4,890.3**	**4,302.5**	**587.8**	**13.7%**
Excess (Deficiency) of Receipts over Disbursements	**4,259.7**	-	-	-	-	-	-	-	-	-	-	-	**4,259.7**	**4,081.8**	**177.9**	**4.4%**
OTHER FINANCING SOURCES (USES):																
Transfers from Other Funds (**)	4,552.0												4,552.0	3,161.6	1,390.4	44.0%
Transfers to Other Funds (**)	(4,396.1)												(4,396.1)	(2,996.7)	1,399.4	46.7%
Total Other Financing Sources (Uses)	**155.9**	-	-	-	-	-	-	-	-	-	-	-	**155.9**	**164.9**	**(9.0)**	**-5.5%**
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	**4,415.6**	-	-	-	-	-	-	-	-	-	-	-	**4,415.6**	**4,246.7**	**168.9**	**4.0%**
Ending Fund Balance	**$ 14,306.4**	**$ -**	**$ -**	**$ -**	**$ -**	**$ -**	**$ -**	**$ -**	**$ -**	**$ -**	**$ -**	**$ -**	**$ 14,306.4**	**$ 9,035.8**	**$ 5,270.6**	**58.3%**

(*) State Operating Funds are comprised of the General Fund, State Special Revenue Funds supported by activities from dedicated revenue sources (including operating transfers from Federal funds) and Debt Service Funds.
(**) Eliminations between State and Federal Special Revenue Funds are not included.

STATE OF NEW YORK
GENERAL FUND
STATEMENT OF CASH FLOW
FISCAL YEAR 2015-2016
(Amounts in millions)

EXHIBIT F

	2015 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2016 JANUARY	FEBRUARY	MARCH	1 Month Ended April 30 2015	2014	$ Increase/ (Decrease)	% Increase/ Decrease
Beginning Fund Balance	**$ 7,299.5**												**$ 7,299.5**	**$ 2,235.2**	**$ 5,064.3**	**226.6%**
RECEIPTS:																
Taxes:																
Personal Income Tax:																
Withholdings	2,961.1												2,961.1	2,760.5	200.6	7.3%
Estimated payments	5,313.5												5,313.5	4,040.4	1,273.1	31.5%
Returns	1,687.1												1,687.1	1,433.5	253.6	17.7%
State/City Offsets	(144.8)												(144.8)	(124.1)	20.7	16.7%
Other (Assessments/LLC)	143.7												143.7	112.2	31.5	28.1%
Gross Receipts	**9,960.6**	-	-	-	-	-	-	-	-	-	-	-	**9,960.6**	**8,222.5**	**1,738.1**	**21.1%**
Transfers to School Tax Relief Fund	(3.1)												(3.1)	-	3.1	100.0%
Transfers to Revenue Bond Tax Fund	(1,679.6)												(1,679.6)	(1,338.3)	341.3	25.5%
Refunds issued	(3,242.2)												(3,242.2)	(2,869.2)	373.0	13.0%
Total Personal Income Tax	**5,035.7**	-	-	-	-	-	-	-	-	-	-	-	**5,035.7**	**4,015.0**	**1,020.7**	**25.4%**
Consumption/Use Taxes:																
Sales and Use	475.2												475.2	453.6	21.6	4.8%
Auto Rental	-												-	-	-	0.0%
Cigarette/Tobacco Products	11.9												11.9	33.5	(21.6)	-64.5%
Motor Fuel	-												-	-	-	0.0%
Alcoholic Beverage	19.9												19.9	19.4	0.5	2.6%
Highway Use	-												-	-	-	0.0%
Metropolitan Commuter Trans. Taxicab Trip	-												-	-	-	0.0%
Total Consumption/Use Taxes	**507.0**	-	-	-	-	-	-	-	-	-	-	-	**507.0**	**506.5**	**0.5**	**0.1%**
Business Taxes:																
Corporation Franchise	170.2												170.2	118.0	52.2	44.2%
Corporation and Utilities	3.2												3.2	1.3	1.9	146.2%
Insurance	6.3												6.3	4.4	1.9	43.2%
Bank	23.8												23.8	24.7	(0.9)	-3.6%
Petroleum Business	-												-	-	-	0.0%
Total Business Taxes	**203.5**	-	-	-	-	-	-	-	-	-	-	-	**203.5**	**148.4**	**55.1**	**37.1%**
Other Taxes:																
Real Property Gains	-												-	-	-	0.0%
Estate and Gift	148.9												148.9	83.7	65.2	77.9%
Pari-Mutuel	0.9												0.9	1.0	(0.1)	-10.0%
Real Estate Transfer	-												-	-	-	0.0%
Racing and Exhibitions	-												-	0.1	(0.1)	-100.0%
Metropolitan Commuter Trans. Mobility	-												-	-	-	0.0%
Total Other Taxes	**149.8**	-	-	-	-	-	-	-	-	-	-	-	**149.8**	**84.8**	**65.0**	**76.7%**
Total Taxes	**5,896.0**	-	-	-	-	-	-	-	-	-	-	-	**5,896.0**	**4,754.7**	**1,141.3**	**24.0%**
Miscellaneous Receipts:																
Abandoned Property:																
Abandoned Property	-												-	0.8	(0.8)	-100.0%
Bottle Bill	0.5												0.5	0.1	0.4	400.0%
Assessments:																
Business	-												-	-	-	0.0%
Medical Care	5.7												5.7	8.5	(2.8)	-32.9%
Public Utilities	-												-	-	-	0.0%
Other	-												-	-	-	0.0%
Fees, Licenses and Permits:																
Alcohol Beverage Control Licensing	6.9												6.9	6.5	0.4	6.2%
Business/Professional	6.6												6.6	4.1	2.5	61.0%
Civil	23.2												23.2	18.9	4.3	22.8%
Criminal	0.1												0.1	0.1	-	0.0%
Motor Vehicle	36.0												36.0	37.0	(1.0)	-2.7%
Recreational/Consumer	1.2												1.2	1.4	(0.2)	-14.3%
Fines, Penalties and Forfeitures	8.6												8.6	80.4	(71.8)	-89.3%
Interest Earnings	0.4												0.4	0.2	0.2	100.0%
Receipts from Public Authorities:																
Cost Recovery Assessments	-												-	-	-	0.0%
Issuance Fees	-												-	9.6	(9.6)	-100.0%
Non Bond Related	-												-	-	-	0.0%
Receipts from Municipalities	-												-	-	-	0.0%
Rentals	0.2												0.2	0.2	-	0.0%
Revenues of State Departments:																
Administrative Recoveries	-												-	-	-	0.0%
Gifts, Grants and Donations	0.1												0.1	-	0.1	100.0%
Indirect Cost Recoveries	1.4												1.4	2.0	(0.6)	-30.0%
Rebates	-												-	-	-	0.0%
Restitution and Settlements	81.7												81.7	-	81.7	100.0%
Student Loans	-												-	-	-	0.0%
All Other	4.6												4.6	5.2	(0.6)	-11.5%
Sales	1.0												1.0	-	1.0	100.0%
Total Miscellaneous Receipts	**178.2**	-	-	-	-	-	-	-	-	-	-	-	**178.2**	**175.0**	**3.2**	**1.8%**

STATE OF NEW YORK
GENERAL FUND
STATEMENT OF CASH FLOW
FISCAL YEAR 2015-2016
(Amounts in millions)

EXHIBIT F

	2015 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2016 JANUARY	FEBRUARY	MARCH	1 Month Ended April 30 2015	2014	$ Increase/ (Decrease)	% Increase/ Decrease
Federal Receipts	-												-	0.5	(0.5)	-100.0%
Total Receipts	**6,074.2**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**6,074.2**	**4,930.2**	**1,144.0**	**23.2%**
DISBURSEMENTS:																
Local Assistance Grants:																
Education	571.6												571.6	316.7	254.9	80.5%
Environment and Recreation	-												-	0.2	(0.2)	-100.0%
General Government	2.4												2.4	2.1	0.3	14.3%
Public Health:																
Medicaid	1,224.3												1,224.3	1,097.2	127.1	11.6%
Other Public Health	13.0												13.0	7.2	5.8	80.6%
Public Safety	4.4												4.4	10.0	(5.6)	-56.0%
Public Welfare	132.2												132.2	127.8	4.4	3.4%
Support and Regulate Business	2.3												2.3	7.5	(5.2)	-69.3%
Transportation	-												-	-	-	0.0%
Total Local Assistance Grants	**1,950.2**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**1,950.2**	**1,568.7**	**381.5**	**24.3%**
Departmental Operations:																
Personal Service	554.2												554.2	447.4	106.8	23.9%
Non-Personal Service	68.4												68.4	82.6	(14.2)	-17.2%
General State Charges	612.3												612.3	504.2	108.1	21.4%
Total Disbursements	**3,185.1**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**3,185.1**	**2,602.9**	**582.2**	**22.4%**
Excess (Deficiency) of Receipts over Disbursements	**2,889.1**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**2,889.1**	**2,327.3**	**561.8**	**24.1%**
OTHER FINANCING SOURCES (USES):																
Transfers from Revenue Bond Tax Fund	1,679.4												1,679.4	1,338.2	341.2	25.5%
Transfers from LGAC / STRBTF	461.4												461.4	419.7	41.7	9.9%
Transfers from CW/CA Fund	74.2												74.2	57.1	17.1	29.9%
Transfers from Other Funds	14.9												14.9	89.7	(74.8)	-83.4%
Transfers to State Capital Projects	(73.1)												(73.1)	(9.0)	64.1	712.2%
Transfers to Federal Capital Projects	-												-	-	-	0.0%
Transfers to General Debt Service	(302.0)												(302.0)	(400.8)	(98.8)	-24.7%
Transfers to All Other State Funds	(1,699.8)												(1,699.8)	(524.7)	1,175.1	224.0%
Total Other Financing Sources (Uses)	**155.0**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**155.0**	**970.2**	**(815.2)**	**-84.0%**
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	**3,044.1**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**3,044.1**	**3,297.5**	**(253.4)**	**-7.7%**
Ending Fund Balance	**$ 10,343.6**	**$ -**	**$ -**	**$ -**	**$ -**	**$ -**	**$ -**	**$ -**	**$ -**	**$ -**	**$ -**	**$ -**	**$ 10,343.6**	**$ 5,532.7**	**$ 4,810.9**	**87.0%**

STATE OF NEW YORK
SPECIAL REVENUE FUNDS - COMBINED
STATEMENT OF CASH FLOW
FISCAL YEAR 2015-2016
(Amounts in millions)

EXHIBIT G

	2015 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2016 JANUARY	FEBRUARY	MARCH	1 Month Ended April 30 2015	2014	$ Increase/ (Decrease)	% Increase/ Decrease
Beginning Fund Balance	$ 2,661.8												$ 2,661.8	$ 2,362.9	$ 298.9	12.6%
RECEIPTS:																
Taxes:																
Personal Income Tax	3.1												3.1	-	3.1	100.0%
Consumption/Use Taxes:																
Sales and Use	91.0												91.0	101.2	(10.2)	-10.1%
Auto Rental	1.5												1.5	1.7	(0.2)	-11.8%
Cigarette/Tobacco Products	83.2												83.2	86.2	(3.0)	-3.5%
Motor Fuel	8.7												8.7	8.4	0.3	3.6%
Alcoholic Beverage	-												-	-	-	0.0%
Highway Use	-												-	-	-	0.0%
Metropolitan Commuter Trans. Taxicab Trip	19.2												19.2	20.9	(1.7)	-8.1%
Total Consumption/Use Taxes	**203.6**	-	-	-	-	-	-	-	-	-	-	-	**203.6**	**218.4**	**(14.8)**	**-6.8%**
Business Taxes:																
Corporation Franchise	11.5												11.5	20.7	(9.2)	-44.4%
Corporation and Utilities	1.7												1.7	0.3	1.4	466.7%
Insurance	(0.1)												(0.1)	0.3	(0.4)	-133.3%
Bank	6.6												6.6	4.6	2.0	43.5%
Petroleum Business	40.6												40.6	44.1	(3.5)	-7.9%
Total Business Taxes	**60.3**	-	-	-	-	-	-	-	-	-	-	-	**60.3**	**70.0**	**(9.7)**	**-13.9%**
Other Taxes:																
Metropolitan Commuter Trans. Mobility	132.6												132.6	128.8	3.8	3.0%
Total Other Taxes	**132.6**	-	-	-	-	-	-	-	-	-	-	-	**132.6**	**128.8**	**3.8**	**3.0%**
Total Taxes	**399.6**	-	-	-	-	-	-	-	-	-	-	-	**399.6**	**417.2**	**(17.6)**	**-4.2%**
Miscellaneous Receipts:																
Abandoned Property:																
Abandoned Property	0.8												0.8	0.7	0.1	14.3%
Assessments:																
Business	79.3												79.3	64.4	14.9	23.1%
Medical Care	371.1												371.1	383.0	(11.9)	-3.1%
Public Utilities	0.7												0.7	0.5	0.2	40.0%
Other	18.2												18.2	16.5	1.7	10.3%
Fees, Licenses and Permits:																
Business/Professional	70.5												70.5	58.7	11.8	20.1%
Civil	4.4												4.4	4.0	0.4	10.0%
Criminal	-												-	-	-	0.0%
Motor Vehicle	33.5												33.5	36.4	(2.9)	-8.0%
Recreational/Consumer	15.4												15.4	12.4	3.0	24.2%
Fines, Penalties and Forfeitures	9.9												9.9	(64.7)	74.6	115.3%
Gaming:																
Casino	43.5												43.5	4.0	39.5	987.5%
Lottery	226.4												226.4	220.6	5.8	2.6%
Video Lottery	94.5												94.5	91.5	3.0	3.3%
Interest Earnings	2.4												2.4	2.3	0.1	4.3%
Receipts from Public Authorities:																
Bond Proceeds	-												-	-	-	0.0%
Cost Recovery Assessments	-												-	-	-	0.0%
Issuance Fees	0.2												0.2	4.2	(4.0)	-95.2%
Non Bond Related	0.2												0.2	0.2	-	0.0%
Receipts from Municipalities	39.7												39.7	32.6	7.1	21.8%
Rentals	33.8												33.8	27.1	6.7	24.7%
Revenues of State Departments:																
Administrative Recoveries	0.7												0.7	0.9	(0.2)	-22.2%
Commissions	0.1												0.1	0.2	(0.1)	-50.0%
Gifts, Grants and Donations	0.9												0.9	0.8	0.1	12.5%
Indirect Cost Recoveries	-												-	-	-	0.0%
Patient/Client Care Reimbursement	(747.8)												(747.8)	158.6	(906.4)	-571.5%
Rebates	11.2												11.2	9.3	1.9	20.4%
Restitution and Settlements	0.6												0.6	9.6	(9.0)	-93.8%
Student Loans	8.4												8.4	6.2	2.2	35.5%
All Other	6.4												6.4	2.4	4.0	166.7%
Sales	0.9												0.9	5.1	(4.2)	-82.4%
Tuition	125.2												125.2	72.0	53.2	73.9%
Total Miscellaneous Receipts	**451.1**	-	-	-	-	-	-	-	-	-	-	-	**451.1**	**1,159.5**	**(708.4)**	**-61.1%**

STATE OF NEW YORK
SPECIAL REVENUE FUNDS - COMBINED
STATEMENT OF CASH FLOW
FISCAL YEAR 2015-2016
(Amounts in millions)

EXHIBIT G

	2015 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2016 JANUARY	FEBRUARY	MARCH	1 Month Ended April 30 2015	2014	$ Increase/ (Decrease)	% Increase/ Decrease
Federal Receipts	1,629.0												1,629.0	2,865.9	(1,236.9)	-43.2%
Total Receipts	**2,479.7**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**2,479.7**	**4,442.6**	**(1,962.9)**	**-44.2%**
DISBURSEMENTS:																
Local Assistance Grants:																
Education	324.2												324.2	446.6	(122.4)	-27.4%
Environment and Recreation	0.3												0.3	0.1	0.2	200.0%
General Government	12.7												12.7	14.3	(1.6)	-11.2%
Public Health:																
Medicaid	1,967.5												1,967.5	2,526.4	(558.9)	-22.1%
Other Public Health	204.4												204.4	218.1	(13.7)	-6.3%
Public Safety	168.0												168.0	59.8	108.2	180.9%
Public Welfare	235.1												235.1	364.1	(129.0)	-35.4%
Support and Regulate Business	2.3												2.3	0.5	1.8	360.0%
Transportation	127.5												127.5	157.4	(29.9)	-19.0%
Total Local Assistance Grants	**3,042.0**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**3,042.0**	**3,787.3**	**(745.3)**	**-19.7%**
Departmental Operations:																
Personal Service	683.5												683.5	605.9	77.6	12.8%
Non-Personal Service	280.4												280.4	360.0	(79.6)	-22.1%
General State Charges	51.4												51.4	184.2	(132.8)	-72.1%
Capital Projects	-												-	0.1	(0.1)	-100.0%
Total Disbursements	**4,057.3**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**4,057.3**	**4,937.5**	**(880.2)**	**-17.8%**
Excess (Deficiency) of Receipts over Disbursements	**(1,577.6)**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**(1,577.6)**	**(494.9)**	**(1,082.7)**	**-218.8%**
OTHER FINANCING SOURCES (USES):																
Transfers from Other Funds	1,783.0												1,783.0	593.4	1,189.6	200.5%
Transfers to Other Funds	(166.8)												(166.8)	(288.8)	(122.0)	-42.2%
Total Other Financing Sources (Uses)	**1,616.2**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**1,616.2**	**304.6**	**1,311.6**	**430.6%**
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	**38.6**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**38.6**	**(190.3)**	**228.9**	**120.3%**
Ending Fund Balance	**$ 2,700.4**	**$ -**	**$ -**	**$ -**	**$ -**	**$ -**	**$ -**	**$ -**	**$ -**	**$ -**	**$ -**	**$ -**	**$ 2,700.4**	**$ 2,172.6**	**$ 527.8**	**24.3%**

STATE OF NEW YORK
SPECIAL REVENUE FUNDS - STATE
STATEMENT OF RECEIPTS AND DISBURSEMENTS
FISCAL YEAR 2015-2016
(Amounts in millions)

EXHIBIT G

	2015 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2016 JANUARY	FEBRUARY	MARCH	Intra-Fund Transfer Eliminations (*)	1 Month Ended April 30 2015	2014	$ Increase/ (Decrease)	% Increase/ Decrease
RECEIPTS:																	
Taxes:																	
Personal Income Tax	$ 3.1												$ -	$ 3.1	$ -	$ 3.1	100.0%
Consumption/Use Taxes:																	
Sales and Use	91.0												-	91.0	101.2	(10.2)	-10.1%
Auto Rental	1.5												-	1.5	1.7	(0.2)	-11.8%
Cigarette/Tobacco Products	83.2												-	83.2	86.2	(3.0)	-3.5%
Motor Fuel	8.7												-	8.7	8.4	0.3	3.6%
Alcoholic Beverage	-												-	-	-	-	0.0%
Highway Use	-												-	-	-	-	0.0%
Metropolitan Commuter Trans. Taxicab Trip	19.2												-	19.2	20.9	(1.7)	-8.1%
Total Consumption/Use Taxes	**203.6**	-	-	-	-	-	-	-	-	-	-	-	-	**203.6**	**218.4**	**(14.8)**	**-6.8%**
Business Taxes																	
Corporation Franchise	11.5												-	11.5	20.7	(9.2)	-44.4%
Corporation and Utilities	1.7												-	1.7	0.3	1.4	466.7%
Insurance	(0.1)												-	(0.1)	0.3	(0.4)	-133.3%
Bank	6.6												-	6.6	4.6	2.0	43.5%
Petroleum Business	40.6												-	40.6	44.1	(3.5)	-7.9%
Total Business Taxes	**60.3**	-	-	-	-	-	-	-	-	-	-	-	-	**60.3**	**70.0**	**(9.7)**	**-13.9%**
Other Taxes																	
Metropolitan Commuter Trans. Mobility	132.6												-	132.6	128.8	3.8	3.0%
Total Other Taxes	**132.6**	-	-	-	-	-	-	-	-	-	-	-	-	**132.6**	**128.8**	**3.8**	**3.0%**
Total Taxes	**399.6**	-	-	-	-	-	-	-	-	-	-	-	-	**399.6**	**417.2**	**(17.6)**	**-4.2%**
Miscellaneous Receipts:																	
Abandoned Property:																	
Abandoned Property	0.8												-	0.8	0.7	0.1	14.3%
Assessments:																	
Business	75.7												-	75.7	61.0	14.7	24.1%
Medical Care	371.1												-	371.1	383.0	(11.9)	-3.1%
Public Utilities	0.7												-	0.7	0.5	0.2	40.0%
Other	18.2												-	18.2	16.5	1.7	10.3%
Fees, Licenses and Permits:																	
Business/Professional	70.5												-	70.5	58.7	11.8	20.1%
Civil	4.4												-	4.4	4.0	0.4	10.0%
Criminal	-												-	-	-	-	0.0%
Motor Vehicle	33.5												-	33.5	36.4	(2.9)	-8.0%
Recreational/Consumer	15.4												-	15.4	12.4	3.0	24.2%
Fines, Penalties and Forfeitures	9.1												-	9.1	(65.4)	74.5	113.9%
Gaming:																	
Casino	43.5												-	43.5	4.0	39.5	987.5%
Lottery	226.4												-	226.4	220.6	5.8	2.6%
Video Lottery	94.5												-	94.5	91.5	3.0	3.3%
Interest Earnings	2.4												-	2.4	2.3	0.1	4.3%
Receipts from Public Authorities:																	
Bond Proceeds	-												-	-	-	-	0.0%
Cost Recovery Assessments	-												-	-	-	-	0.0%
Issuance Fees	0.2												-	0.2	4.2	(4.0)	-95.2%
Non Bond Related	0.2												-	0.2	0.2	-	0.0%
Receipts from Municipalities	39.7												-	39.7	32.6	7.1	21.8%
Rentals	33.8												-	33.8	27.1	6.7	24.7%
Revenues of State Departments:																	
Administrative Recoveries	0.6												-	0.6	0.9	(0.3)	-33.3%
Commissions	0.1												-	0.1	0.2	(0.1)	-50.0%
Gifts, Grants and Donations	0.9												-	0.9	0.7	0.2	28.6%
Indirect Cost Recoveries	-												-	-	-	-	0.0%
Patient/Client Care Reimbursement	(747.8)												-	(747.8)	158.6	(906.4)	-571.5%
Rebates	3.4												-	3.4	1.1	2.3	209.1%
Restitution and Settlements	0.5												-	0.5	9.6	(9.1)	-94.8%
Student Loans	8.4												-	8.4	6.2	2.2	35.5%
All Other	6.4												-	6.4	2.4	4.0	166.7%
Sales	0.9												-	0.9	5.1	(4.2)	-82.4%
Tuition	125.2												-	125.2	72.0	53.2	73.9%
Total Miscellaneous Receipts	**438.7**	-	-	-	-	-	-	-	-	-	-	-	-	**438.7**	**1,147.1**	**(708.4)**	**-61.8%**

STATE OF NEW YORK
SPECIAL REVENUE FUNDS - STATE
STATEMENT OF RECEIPTS AND DISBURSEMENTS
FISCAL YEAR 2015-2016
(Amounts in millions)

EXHIBIT G

	2015 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2016 JANUARY	FEBRUARY	MARCH	Intra-Fund Transfer Eliminations (*)	1 Month Ended April 30 2015	2014	$ Increase/ (Decrease)	% Increase/ Decrease
Federal Receipts	-												-	-	-	-	0.0%
Total Receipts	**838.3**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**838.3**	**1,564.3**	**(726.0)**	**-46.4%**
DISBURSEMENTS:																	
Local Assistance Grants:																	
Education	0.1												-	0.1	0.3	(0.2)	-66.7%
Environment and Recreation	-												-	-	0.1	(0.1)	-100.0%
General Government	11.9												-	11.9	12.1	(0.2)	-1.7%
Public Health:																	
Medicaid	408.9												-	408.9	272.6	136.3	50.0%
Other Public Health	85.8												-	85.8	76.1	9.7	12.7%
Public Safety	10.2												-	10.2	5.2	5.0	96.2%
Public Welfare	0.5												-	0.5	0.4	0.1	25.0%
Support and Regulate Business	2.3												-	2.3	0.5	1.8	360.0%
Transportation	125.3												-	125.3	155.2	(29.9)	-19.3%
Total Local Assistance Grants	**645.0**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**645.0**	**522.5**	**122.5**	**23.4%**
Departmental Operations:																	
Personal Service	632.7												-	632.7	556.8	75.9	13.6%
Non-Personal Service	223.1												-	223.1	270.7	(47.6)	-17.6%
General State Charges	38.1												-	38.1	174.9	(136.8)	-78.2%
Capital Projects	-												-	-	0.1	(0.1)	-100.0%
Total Disbursements	**1,538.9**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**1,538.9**	**1,525.0**	**13.9**	**0.9%**
Excess (Deficiency) of Receipts over Disbursements	**(700.6)**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**(700.6)**	**39.3**	**(739.9)**	**-1,682.7%**
OTHER FINANCING SOURCES (USES):																	
Transfers from Other Funds	1,795.2												(12.2)	1,783.0	593.4	1,189.6	200.5%
Transfers to Other Funds	(19.0)													(19.0)	(115.4)	(96.4)	-83.5%
Total Other Financing Sources (Uses)	**1,776.2**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**(12.2)**	**1,764.0**	**478.0**	**1,286.0**	**269.0%**
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	**$ 1,075.6**	**$ -**	**$ -**	**$ -**	**$ -**	**$ -**	**$ -**	**$ -**	**$ -**	**$ -**	**$ -**	**$ -**	**$ (12.2)**	**$ 1,063.4**	**$ 517.3**	**$ 546.1**	**105.6%**

(*) Intra-Fund transfer eliminations represent transfers from Special Revenue-Federal Funds.

STATE OF NEW YORK
SPECIAL REVENUE FUNDS - FEDERAL
STATEMENT OF RECEIPTS AND DISBURSEMENTS
FISCAL YEAR 2015-2016
(Amounts in millions)

EXHIBIT G

	2015 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2016 JANUARY	FEBRUARY	MARCH	Intra-Fund Transfer Eliminations (*)	1 Month Ended April 30 2015	2014	$ Increase/ (Decrease)	% Increase/ Decrease
RECEIPTS:																	
Miscellaneous Receipts:																	
Abandoned Property:																	
Abandoned Property	$ -												-	$ -	$ -	$ -	0.0%
Assessments:																	
Business	3.6												-	3.6	3.4	0.2	5.9%
Medical Care	-												-	-	-	-	0.0%
Public Utilities	-												-	-	-	-	0.0%
Other	-												-	-	-	-	0.0%
Fees, Licenses and Permits:																	
Business/Professional	-												-	-	-	-	0.0%
Civil	-												-	-	-	-	0.0%
Criminal	-												-	-	-	-	0.0%
Motor Vehicle	-												-	-	-	-	0.0%
Recreational/Consumer	-												-	-	-	-	0.0%
Fines, Penalties and Forfeitures	0.8												-	0.8	0.7	0.1	14.3%
Interest Earnings	-												-	-	-	-	0.0%
Receipts from Public Authorities:																	
Bond Proceeds	-												-	-	-	-	0.0%
Cost Recovery Assessments	-												-	-	-	-	0.0%
Issuance Fees	-												-	-	-	-	0.0%
Non Bond Related	-												-	-	-	-	0.0%
Receipts from Municipalities	-												-	-	-	-	0.0%
Rentals	-												-	-	-	-	0.0%
Revenues of State Departments:																	
Administrative Recoveries	0.1												-	0.1	-	0.1	100.0%
Commissions	-												-	-	-	-	0.0%
Gifts, Grants and Donations	-												-	-	0.1	(0.1)	-100.0%
Indirect Cost Recoveries	-												-	-	-	-	0.0%
Patient/Client Care Reimbursement	-												-	-	-	-	0.0%
Rebates	7.8												-	7.8	8.2	(0.4)	-4.9%
Restitution and Settlements	0.1												-	0.1	-	0.1	100.0%
Student Loans	-												-	-	-	-	0.0%
All Other	-												-	-	-	-	0.0%
Sales	-												-	-	-	-	0.0%
Tuition	-												-	-	-	-	0.0%
Total Miscellaneous Receipts	**12.4**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**12.4**	**12.4**	**-**	**0.0%**
Federal Receipts	1,629.0												-	1,629.0	2,865.9	(1,236.9)	-43.2%
Total Receipts	**1,641.4**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**1,641.4**	**2,878.3**	**(1,236.9)**	**-43.0%**
DISBURSEMENTS:																	
Local Assistance Grants:																	
Education	324.1												-	324.1	446.3	(122.2)	-27.4%
Environment and Recreation	0.3												-	0.3	-	0.3	100.0%

STATE OF NEW YORK
SPECIAL REVENUE FUNDS - FEDERAL
STATEMENT OF RECEIPTS AND DISBURSEMENTS
FISCAL YEAR 2015-2016
(Amounts in millions)

EXHIBIT G

	2015 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2016 JANUARY	FEBRUARY	MARCH	Intra-Fund Transfer Eliminations (*)	1 Month Ended April 30 2015	2014	$ Increase/ (Decrease)	% Increase/ Decrease
General Government	0.8												-	0.8	2.2	(1.4)	-63.6%
Public Health:																	
Medicaid	1,558.6												-	1,558.6	2,253.8	(695.2)	-30.8%
Other Public Health	118.6												-	118.6	142.0	(23.4)	-16.5%
Public Safety	157.8												-	157.8	54.6	103.2	189.0%
Public Welfare	234.6												-	234.6	363.7	(129.1)	-35.5%
Support and Regulate Business	-												-	-	-	-	0.0%
Transportation	2.2												-	2.2	2.2	-	0.0%
Total Local Assistance Grants	**2,397.0**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**2,397.0**	**3,264.8**	**(867.8)**	**-26.6%**
Departmental Operations:																	
Personal Service	50.8												-	50.8	49.1	1.7	3.5%
Non-Personal Service	57.3												-	57.3	89.3	(32.0)	-35.8%
General State Charges	13.3												-	13.3	9.3	4.0	43.0%
Capital Projects	-												-	-	-	-	0.0%
Total Disbursements	**2,518.4**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**2,518.4**	**3,412.5**	**(894.1)**	**-26.2%**
Excess (Deficiency) of Receipts over Disbursements	**(877.0)**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**(877.0)**	**(534.2)**	**(342.8)**	**-264.2%**
OTHER FINANCING SOURCES (USES):																	
Transfers from Other Funds	-												-	-	-	-	0.0%
Transfers to Other Funds	(160.0)												12.2	(147.8)	(173.4)	(25.6)	-14.8%
Total Other Financing Sources (Uses)	**(160.0)**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**12.2**	**(147.8)**	**(173.4)**	**(25.6)**	**-14.8%**
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	**$ (1,037.0)**	**$ -**	**$ -**	**$ -**	**$ -**	**$ -**	**$ -**	**$ -**	**$ -**	**$ -**	**$ -**	**$ -**	**$ 12.2**	**$ (1,024.8)**	**$ (707.6)**	**$ (317.2)**	**-44.8%**

(*) Intra-Fund transfer eliminations represent transfers to Special Revenue-State Funds.

STATE OF NEW YORK
DEBT SERVICE FUNDS
STATEMENT OF CASH FLOW
FISCAL YEAR 2015-16
(Amounts in millions)

EXHIBIT H

	2015 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2016 JANUARY	FEBRUARY	MARCH	1 Month Ended April 30 2015	2014	$ Increase/ (Decrease)	% Increase/ Decrease
Beginning Fund Balance	$ 118.7												$ 118.7	$ 65.1	$ 53.6	82.3%
RECEIPTS:																
Taxes:																
Personal Income Tax	1,679.6												1,679.6	1,338.3	341.3	25.5%
Consumption/Use Taxes:																
Sales and Use	479.9												479.9	431.7	48.2	11.2%
Total Consumption/Use Taxes	**479.9**	-	-	-	-	-	-	-	-	-	-	-	**479.9**	**431.7**	**48.2**	**11.2%**
Other Taxes:																
Real Estate Transfer	86.3												86.3	73.3	13.0	17.7%
Total Other Taxes	**86.3**	-	-	-	-	-	-	-	-	-	-	-	**86.3**	**73.3**	**13.0**	**17.7%**
Total Taxes	**2,245.8**	-	-	-	-	-	-	-	-	-	-	-	**2,245.8**	**1,843.3**	**402.5**	**21.8%**
Miscellaneous Receipts:																
Assessments:																
Medical Care	-												-	-	-	0.0%
Fees, Licenses and Permits:																
Alcohol Beverage Control Licensing	-												-	-	-	0.0%
Business/Professional	-												-	-	-	0.0%
Civil	-												-	-	-	0.0%
Criminal	-												-	-	-	0.0%
Motor Vehicle	-												-	-	-	0.0%
Recreational/Consumer	-												-	-	-	0.0%
Interest Earnings	-												-	-	-	0.0%
Receipts from Municipalities	-												-	1.9	(1.9)	-100.0%
Rentals	-												-	-	-	0.0%
Revenues of State Departments:																
Patient/Client Care Reimbursement	(8.3)												(8.3)	44.6	(52.9)	-118.6%
Sales	-												-	-	-	0.0%
Total Miscellaneous Receipts	**(8.3)**	-	-	-	-	-	-	-	-	-	-	-	**(8.3)**	**46.5**	**(54.8)**	**-117.8%**
Federal Receipts	-												-	-	-	0.0%
Total Receipts	**2,237.5**	-	-	-	-	-	-	-	-	-	-	-	**2,237.5**	**1,889.8**	**347.7**	**18.4%**
DISBURSEMENTS:																
Departmental Operations:																
Non-Personal Service	0.4												0.4	1.4	(1.0)	-71.4%
Debt Service, Including Payments On Financing Agreements	165.9												165.9	173.2	(7.3)	-4.2%
Total Disbursements	**166.3**	-	-	-	-	-	-	-	-	-	-	-	**166.3**	**174.6**	**(8.3)**	**-4.8%**
Excess (Deficiency) of Receipts over Disbursements	**2,071.2**	-	-	-	-	-	-	-	-	-	-	-	**2,071.2**	**1,715.2**	**356.0**	**20.8%**
OTHER FINANCING SOURCES (USES):																
Transfers from Other Funds	526.9												526.9	653.2	(126.3)	-19.3%
Transfers to Other Funds	(2,302.2)												(2,302.2)	(1,946.8)	355.4	18.3%
Total Other Financing Sources (Uses)	**(1,775.3)**	-	-	-	-	-	-	-	-	-	-	-	**(1,775.3)**	**(1,293.6)**	**(481.7)**	**-37.2%**
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	**295.9**	-	-	-	-	-	-	-	-	-	-	-	**295.9**	**421.6**	**(125.7)**	**-29.8%**
Ending Fund Balance	$ 414.6	$ -	$ -	$ -	$ -	$ -	$ -	$ -	$ -	$ -	$ -	$ -	$ 414.6	$ 486.7	$ (72.1)	-14.8%

STATE OF NEW YORK
CAPITAL PROJECTS FUNDS - COMBINED
STATEMENT OF CASH FLOW
FISCAL YEAR 2015-2016
(Amounts in millions)

EXHIBIT I

	2015 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2016 JANUARY	FEBRUARY	MARCH	1 Month Ended April 30 2015	2014	$ Increase/ (Decrease)	% Increase/ Decrease
Beginning Fund Balance	$ (724.4)												$ (724.4)	$ (628.7)	$ (95.7)	-15.2%
RECEIPTS:																
Taxes:																
Consumption/Use Taxes:																
Auto Rental	3.0												3.0	2.3	0.7	30.4%
Motor Fuel	32.6												32.6	32.6	-	0.0%
Highway Use	13.4												13.4	12.9	0.5	3.9%
Total Consumption/Use Taxes	**49.0**	-	-	-	-	-	-	-	-	-	-	-	**49.0**	**47.8**	**1.2**	**2.5%**
Business Taxes:																
Corporation Franchise	-												-	-	-	0.0%
Corporation and Utilities	0.1												0.1	0.1	-	0.0%
Petroleum Business	50.8												50.8	54.7	(3.9)	-7.1%
Total Business Taxes	**50.9**	-	-	-	-	-	-	-	-	-	-	-	**50.9**	**54.8**	**(3.9)**	**-7.1%**
Other Taxes:																
Real Estate Transfer	-												-	-	-	0.0%
Total Other Taxes	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	**0.0%**
Total Taxes	**99.9**	-	-	-	-	-	-	-	-	-	-	-	**99.9**	**102.6**	**(2.7)**	**-2.6%**
Miscellaneous Receipts:																
Abandoned Property:																
Bottle Bill	-												-	-	-	0.0%
Assessments:																
Business	9.1												9.1	8.8	0.3	3.4%
Fees, Licenses and Permits:																
Business/Professional	1.7												1.7	2.3	(0.6)	-26.1%
Civil	-												-	-	-	0.0%
Motor Vehicle	58.6												58.6	55.1	3.5	6.4%
Recreational/Consumer	-												-	-	-	0.0%
Fines, Penalties and Forfeitures	0.1												0.1	2.9	(2.8)	-96.6%
Interest Earnings	0.1												0.1	-	0.1	100.0%
Receipts from Public Authorities:																
Bond Proceeds	12.2												12.2	134.6	(122.4)	-90.9%
Issuance Fees	-												-	-	-	0.0%
Non Bond Related	-												-	0.1	(0.1)	-100.0%
Receipts from Municipalities	0.1												0.1	0.1	-	0.0%
Rentals	0.2												0.2	0.2	-	0.0%
Revenues of State Departments:																
Administrative Recoveries	-												-	-	-	0.0%
Gifts, Grants and Donations	-												-	-	-	0.0%
Restitution and Settlements	0.6												0.6	-	0.6	100.0%
All Other	1.2												1.2	0.1	1.1	1,100.0%
Sales	0.1												0.1	-	0.1	100.0%
Total Miscellaneous Receipts	**84.0**	-	-	-	-	-	-	-	-	-	-	-	**84.0**	**204.2**	**(120.2)**	**-58.9%**
Federal Receipts	101.0												101.0	111.6	(10.6)	-9.5%
Total Receipts	**284.9**	-	-	-	-	-	-	-	-	-	-	-	**284.9**	**418.4**	**(133.5)**	**-31.9%**

STATE OF NEW YORK
CAPITAL PROJECTS FUNDS - COMBINED
STATEMENT OF CASH FLOW
FISCAL YEAR 2015-2016
(Amounts in millions)

EXHIBIT I

	2015 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2016 JANUARY	FEBRUARY	MARCH	1 Month Ended April 30 2015	2014	$ Increase/ (Decrease)	% Increase/ Decrease
DISBURSEMENTS:																
Local Assistance Grants:																
Education	0.1												0.1	0.5	(0.4)	-80.0%
Environment and Recreation	2.7												2.7	2.3	0.4	17.4%
General Government	9.1												9.1	1.2	7.9	658.3%
Public Health:																
Medicaid	-												-	-	-	0.0%
Other Public Health	3.6												3.6	4.9	(1.3)	-26.5%
Public Safety	-												-	-	-	0.0%
Public Welfare	-												-	-	-	0.0%
Support and Regulate Business	21.2												21.2	4.0	17.2	430.0%
Transportation	31.0												31.0	55.7	(24.7)	-44.3%
Total Local Assistance Grants	**67.7**	-	-	-	-	-	-	-	-	-	-	-	**67.7**	**68.6**	**(0.9)**	**-1.3%**
Departmental Operations:																
Personal Service	-												-	-	-	0.0%
Non-Personal Service	-												-	-	-	0.0%
General State Charges	-												-	-	-	0.0%
Capital Projects	288.9												288.9	295.7	(6.8)	-2.3%
Total Disbursements	**356.6**	-	-	-	-	-	-	-	-	-	-	-	**356.6**	**364.3**	**(7.7)**	**-2.1%**
Excess (Deficiency) of Receipts over Disbursements	**(71.7)**	-	-	-	-	-	-	-	-	-	-	-	**(71.7)**	**54.1**	**(125.8)**	**-232.5%**
OTHER FINANCING SOURCES (USES):																
Bond Proceeds (net)	-												-	-	-	0.0%
Transfers from Other Funds	77.8												77.8	35.4	42.4	119.8%
Transfers to Other Funds	(76.7)												(76.7)	(78.2)	(1.5)	-1.9%
Total Other Financing Sources (Uses)	**1.1**	-	-	-	-	-	-	-	-	-	-	-	**1.1**	**(42.8)**	**43.9**	**102.6%**
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	**(70.6)**	-	-	-	-	-	-	-	-	-	-	-	**(70.6)**	**11.3**	**(81.9)**	**-724.8%**
Ending Fund Balance	**$ (795.0)**	**$ -**	**$ -**	**$ -**	**$ -**	**$ -**	**$ -**	**$ -**	**$ -**	**$ -**	**$ -**	**$ -**	**$ (795.0)**	**$ (617.4)**	**$ (177.6)**	**-28.8%**

STATE OF NEW YORK
CAPITAL PROJECTS FUNDS - STATE
STATEMENT OF RECEIPTS AND DISBURSEMENTS
FISCAL YEAR 2015-2016
(Amounts in millions)

EXHIBIT I

	2015 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2016 JANUARY	FEBRUARY	MARCH	Intra-Fund Transfer Eliminations (*)	1 Month Ended April 30 2015	2014	$ Increase/ (Decrease)	% Increase/ Decrease
RECEIPTS:																	
Taxes:																	
Consumption/Use Taxes																	
Auto Rental	$ 3.0												$ -	$ 3.0	$ 2.3	$ 0.7	30.4%
Motor Fuel	32.6												-	32.6	32.6	-	0.0%
Highway Use	13.4												-	13.4	12.9	0.5	3.9%
Total Consumption/Use Taxes	**49.0**	-	-	-	-	-	-	-	-	-	-	-	-	**49.0**	**47.8**	**1.2**	**2.5%**
Business Taxes																	
Corporation Franchise	-												-	-	-	-	0.0%
Corporation and Utilities	0.1												-	0.1	0.1	-	0.0%
Petroleum Business	50.8												-	50.8	54.7	(3.9)	-7.1%
Total Business Taxes	**50.9**	-	-	-	-	-	-	-	-	-	-	-	-	**50.9**	**54.8**	**(3.9)**	**-7.1%**
Other Taxes																	
Real Estate Transfer	-												-	-	-	-	0.0%
Total Other Taxes	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	**0.0%**
Total Taxes	**99.9**	-	-	-	-	-	-	-	-	-	-	-	-	**99.9**	**102.6**	**(2.7)**	**-2.6%**
Miscellaneous Receipts:																	
Abandoned Property:																	
Bottle Bill	-												-	-	-	-	0.0%
Assessments:																	
Business	9.1												-	9.1	8.8	0.3	3.4%
Fees, Licenses and Permits:																	
Business/Professional	1.7												-	1.7	2.3	(0.6)	-26.1%
Civil	-												-	-	-	-	0.0%
Motor Vehicle	58.6												-	58.6	55.1	3.5	6.4%
Recreational/Consumer	-												-	-	-	-	0.0%
Fines, Penalties and Forfeitures	0.1												-	0.1	2.9	(2.8)	-96.6%
Interest Earnings	0.1												-	0.1	-	0.1	100.0%
Receipts from Public Authorities:																	
Bond Proceeds	12.2												-	12.2	134.6	(122.4)	-90.9%
Issuance Fees	-												-	-	-	-	0.0%
Non Bond Related	-												-	-	0.1	(0.1)	-100.0%
Receipts from Municipalities	0.1												-	0.1	0.1	-	0.0%
Rentals	0.1												-	0.1	0.1	-	0.0%
Revenues of State Departments:																	
Administrative Recoveries	-												-	-	-	-	0.0%
Gifts, Grants and Donations	-												-	-	-	-	0.0%
Restitution and Settlements	0.6												-	0.6	-	0.6	100.0%
All Other	1.2												-	1.2	0.1	1.1	1,100.0%
Sales	-												-	-	-	-	0.0%
Total Miscellaneous Receipts	**83.8**	-	-	-	-	-	-	-	-	-	-	-	-	**83.8**	**204.1**	**(120.3)**	**-58.9%**
Federal Receipts	-												-	-	-	-	0.0%
Total Receipts	**183.7**	-	-	-	-	-	-	-	-	-	-	-	-	**183.7**	**306.7**	**(123.0)**	**-40.1%**

STATE OF NEW YORK
CAPITAL PROJECTS FUNDS - STATE
STATEMENT OF RECEIPTS AND DISBURSEMENTS
FISCAL YEAR 2015-2016
(Amounts in millions)

EXHIBIT I

	2015 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2016 JANUARY	FEBRUARY	MARCH	Intra-Fund Transfer Eliminations (*)	1 Month Ended April 30 2015	2014	$ Increase/ (Decrease)	% Increase/ Decrease
DISBURSEMENTS:																	
Local Assistance Grants:																	
Education	0.1												-	0.1	0.5	(0.4)	-80.0%
Environment and Recreation	2.7												-	2.7	2.3	0.4	17.4%
General Government	9.1												-	9.1	1.2	7.9	658.3%
Public Health:																	
Medicaid	-												-	-	-	-	0.0%
Other Public Health	3.6												-	3.6	4.9	(1.3)	-26.5%
Public Safety	-												-	-	-	-	0.0%
Public Welfare	-												-	-	-	-	0.0%
Support and Regulate Business	21.2												-	21.2	4.0	17.2	430.0%
Transportation	4.4												-	4.4	4.5	(0.1)	-2.2%
Total Local Assistance Grants	**41.1**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**41.1**	**17.4**	**23.7**	**136.2%**
Departmental Operations:																	
Personal Service	-												-	-	-	-	0.0%
Non-Personal Service	-												-	-	-	-	0.0%
General State Charges	-												-	-	-	-	0.0%
Capital Projects	212.3												-	212.3	229.5	(17.2)	-7.5%
Total Disbursements	**253.4**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**253.4**	**246.9**	**6.5**	**2.6%**
Excess (Deficiency) of Receipts over Disbursements	**(69.7)**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**(69.7)**	**59.8**	**(129.5)**	**-216.6%**
OTHER FINANCING SOURCES (USES):																	
Bond Proceeds (net)	-												-	-	-	-	0.0%
Transfers from Other Funds	77.8												-	77.8	35.4	42.4	119.8%
Transfers to Other Funds	(74.7)												-	(74.7)	(78.2)	(3.5)	-4.5%
Total Other Financing Sources (Uses)	**3.1**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**3.1**	**(42.8)**	**45.9**	**107.2%**
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	**$ (66.6)**	**$ -**	**$ -**	**$ -**	**$ -**	**$ -**	**$ -**	**$ -**	**$ -**	**$ -**	**$ -**	**$ -**	**$ -**	**$ (66.6)**	**$ 17.0**	**$ (83.6)**	**-491.8%**

(*) Intra-Fund transfer eliminations represent transfers from Capital Projects-Federal Funds.

STATE OF NEW YORK
CAPITAL PROJECTS FUNDS - FEDERAL
STATEMENT OF RECEIPTS AND DISBURSEMENTS
FISCAL YEAR 2015-2016
(Amounts in millions)

EXHIBIT I

	2015 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2016 JANUARY	FEBRUARY	MARCH	Intra-Fund Transfer Eliminations (*)	1 Month Ended April 30 2015	2014	$ Increase/ (Decrease)	% Increase/ Decrease
RECEIPTS:																	
Miscellaneous Receipts:																	
Abandoned Property:																	
Bottle Bill	$ -												$ -	$ -	$ -	$ -	0.0%
Assessments:																	
Business	-												-	-	-	-	0.0%
Fees, Licenses and Permits:																	
Business/Professional	-												-	-	-	-	0.0%
Civil	-												-	-	-	-	0.0%
Motor Vehicle	-												-	-	-	-	0.0%
Recreational/Consumer	-												-	-	-	-	0.0%
Fines, Penalties and Forfeitures	-												-	-	-	-	0.0%
Interest Earnings	-												-	-	-	-	0.0%
Receipts from Public Authorities:																	
Bond Proceeds	-												-	-	-	-	0.0%
Issuance Fees	-												-	-	-	-	0.0%
Non Bond Related	-												-	-	-	-	0.0%
Receipts from Municipalities	-												-	-	-	-	0.0%
Rentals	0.1												-	0.1	0.1	-	0.0%
Revenues of State Departments:																	
Administrative Recoveries	-												-	-	-	-	0.0%
Gifts, Grants and Donations	-												-	-	-	-	0.0%
Restitution and Settlements	-												-	-	-	-	0.0%
All Other	-												-	-	-	-	0.0%
Sales	0.1												-	0.1	-	0.1	100.0%
Total Miscellaneous Receipts	**0.2**	-	-	-	-	-	-	-	-	-	-	-	-	**0.2**	**0.1**	**0.1**	**100.0%**
Federal Receipts	101.0												-	101.0	111.6	(10.6)	-9.5%
Total Receipts	**101.2**	-	-	-	-	-	-	-	-	-	-	-	-	**101.2**	**111.7**	**(10.5)**	**-9.4%**
DISBURSEMENTS:																	
Local Assistance Grants:																	
Education	-												-	-	-	-	0.0%
Environment and Recreation	-												-	-	-	-	0.0%
General Government	-												-	-	-	-	0.0%
Public Health:																	
Medicaid	-												-	-	-	-	0.0%
Other Public Health	-												-	-	-	-	0.0%
Public Safety	-												-	-	-	-	0.0%
Public Welfare	-												-	-	-	-	0.0%
Support and Regulate Business	-												-	-	-	-	0.0%
Transportation	26.6												-	26.6	51.2	(24.6)	-48.0%
Total Local Assistance Grants	**26.6**	-	-	-	-	-	-	-	-	-	-	-	-	**26.6**	**51.2**	**(24.6)**	**-48.0%**
Departmental Operations:																	
Personal Service	-												-	-	-	-	0.0%
Non-Personal Service	-												-	-	-	-	0.0%
General State Charges	-												-	-	-	-	0.0%
Capital Projects	76.6												-	76.6	66.2	10.4	15.7%
Total Disbursements	**103.2**	-	-	-	-	-	-	-	-	-	-	-	-	**103.2**	**117.4**	**(14.2)**	**-12.1%**
Excess (Deficiency) of Receipts over Disbursements	**(2.0)**	-	-	-	-	-	-	-	-	-	-	-	-	**(2.0)**	**(5.7)**	**3.7**	**64.9%**
OTHER FINANCING SOURCES (USES):																	
Transfers from Other Funds	-												-	-	-	-	0.0%
Transfers to Other Funds	(2.0)												-	(2.0)	-	(2.0)	-100.0%
Total Other Financing Sources (Uses)	**(2.0)**	-	-	-	-	-	-	-	-	-	-	-	-	**(2.0)**	**-**	**(2.0)**	**-100.0%**
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	**$ (4.0)**	**$ -**	**$ -**	**$ -**	**$ -**	**$ -**	**$ -**	**$ -**	**$ -**	**$ -**	**$ -**	**$ -**	**$ -**	**$ (4.0)**	**$ (5.7)**	**$ 1.7**	**29.8%**

(*) Intra-Fund transfer eliminations represent transfers to Capital Projects-State Funds.

STATE OF NEW YORK
ENTERPRISE FUNDS
STATEMENT OF CASH FLOW
FISCAL YEAR 2015-16
(Amounts in millions)

EXHIBIT J

	2015 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2016 JANUARY	FEBRUARY	MARCH	1 Month Ended April 30 2015	2014	$ Increase/ (Decrease)	% Increase/ Decrease
Beginning Fund Balance	$ 50.6												$ 50.6	$ 62.5	$ (11.9)	-19.0%
RECEIPTS:																
Miscellaneous Receipts	4.6												4.6	4.8	(0.2)	-4.2%
Federal Receipts	2.5												2.5	9.2	(6.7)	-72.8%
Unemployment Taxes	222.9												222.9	244.8	(21.9)	-8.9%
Total Receipts	230.0	-	-	-	-	-	-	-	-	-	-	-	230.0	258.8	(28.8)	-11.1%
DISBURSEMENTS:																
Departmental Operations:																
Personal Service	0.4												0.4	0.4	-	0.0%
Non-Personal Service	2.5												2.5	2.4	0.1	4.2%
General State Charges	-												-	-	-	0.0%
Unemployment Benefits	200.6												200.6	231.2	(30.6)	-13.2%
Total Disbursements	203.5	-	-	-	-	-	-	-	-	-	-	-	203.5	234.0	(30.5)	-13.0%
Excess (Deficiency) of Receipts over Disbursements	26.5	-	-	-	-	-	-	-	-	-	-	-	26.5	24.8	1.7	6.9%
OTHER FINANCING SOURCES (USES):																
Transfers from Other Funds	-												-	-	-	0.0%
Transfers to Other Funds	-												-	-	-	0.0%
Total Other Financing Sources (Uses)	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Excess (Deficiency) of Receipts and Other Financing Sources Over Disbursements and Other Financing Uses	26.5	-	-	-	-	-	-	-	-	-	-	-	26.5	24.8	1.7	6.9%
Ending Fund Balance	$ 77.1	$ -	$ -	$ -	$ -	$ -	$ -	$ -	$ -	$ -	$ -	$ -	$ 77.1	$ 87.3	$ (10.2)	-11.7%

STATE OF NEW YORK
INTERNAL SERVICE FUNDS
STATEMENT OF CASH FLOW
FISCAL YEAR 2015-16
(Amounts in millions)

EXHIBIT K

	2015 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2016 JANUARY	FEBRUARY	MARCH	1 Month Ended April 30 2015	2014	$ Increase/ (Decrease)	% Increase/ Decrease
Beginning Fund Balance	$ (196.7)												$ (196.7)	$ (72.7)	$ (124.0)	-170.6%
RECEIPTS:																
Miscellaneous Receipts	16.0												16.0	22.3	(6.3)	-28.3%
Total Receipts	16.0	-	-	-	-	-	-	-	-	-	-	-	16.0	22.3	(6.3)	-28.3%
DISBURSEMENTS:																
Departmental Operations:																
Personal Service	7.4												7.4	6.7	0.7	10.4%
Non-Personal Service	39.8												39.8	30.6	9.2	30.1%
General State Charges	1.0												1.0	2.0	(1.0)	-50.0%
Total Disbursements	48.2	-	-	-	-	-	-	-	-	-	-	-	48.2	39.3	8.9	22.6%
Excess (Deficiency) of Receipts over Disbursements	(32.2)	-	-	-	-	-	-	-	-	-	-	-	(32.2)	(17.0)	(15.2)	-89.4%
OTHER FINANCING SOURCES (USES):																
Transfers from Other Funds	3.0												3.0	3.6	(0.6)	-16.7%
Transfers to Other Funds	-												-	-	-	0.0%
Total Other Financing Sources (Uses)	3.0	-	-	-	-	-	-	-	-	-	-	-	3.0	3.6	(0.6)	-16.7%
Excess (Deficiency) of Receipts and Other Financing Sources Over Disbursements and Other Financing Uses	(29.2)	-	-	-	-	-	-	-	-	-	-	-	(29.2)	(13.4)	(15.8)	-117.9%
Ending Fund Balance	$ (225.9)	$ -	$ -	$ -	$ -	$ -	$ -	$ -	$ -	$ -	$ -	$ -	$ (225.9)	$ (86.1)	$ (139.8)	-162.4%

STATE OF NEW YORK
PENSION TRUST FUNDS
STATEMENT OF CASH FLOW
FISCAL YEAR 2015-16
(Amounts in millions)

EXHIBIT L

	2015 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2016 JANUARY	FEBRUARY	MARCH	1 Month Ended April 30 2015	2014	$ Increase/ (Decrease)	% Increase/ Decrease
Beginning Fund Balance	$ (16.9)												$ (16.9)	$ (3.9)	$ (13.0)	-333.3%
RECEIPTS:																
Miscellaneous Receipts	21.9												21.9	4.8	17.1	356.3%
Total Receipts	21.9	-	-	-	-	-	-	-	-	-	-	-	21.9	4.8	17.1	356.3%
DISBURSEMENTS:																
Departmental Operations:																
Personal Service	4.9												4.9	4.8	0.1	2.1%
Non-Personal Service	0.5												0.5	0.5	-	0.0%
General State Charges	-												-	-	-	0.0%
Total Disbursements	5.4	-	-	-	-	-	-	-	-	-	-	-	5.4	5.3	0.1	1.9%
Excess (Deficiency) of Receipts over Disbursements	16.5	-	-	-	-	-	-	-	-	-	-	-	16.5	(0.5)	17.0	3,400.0%
OTHER FINANCING SOURCES (USES):																
Transfers from Other Funds	-												-	-	-	0.0%
Transfers to Other Funds	-												-	-	-	0.0%
Total Other Financing Sources (Uses)	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Excess (Deficiency) of Receipts and Other Financing Sources Over Disbursements and Other Financing Uses	16.5	-	-	-	-	-	-	-	-	-	-	-	16.5	(0.5)	17.0	3,400.0%
Ending Fund Balance	$ (0.4)	$ -	$ -	$ -	$ -	$ -	$ -	$ -	$ -	$ -	$ -	$ -	$ (0.4)	$ (4.4)	$ 4.0	90.9%

STATE OF NEW YORK
PRIVATE PURPOSE TRUST FUNDS
STATEMENT OF CASH FLOW
FISCAL YEAR 2015-16
(Amounts in millions)

EXHIBIT M

	2015 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2016 JANUARY	FEBRUARY	MARCH	1 Month Ended April 30 2015	2014	$ Increase/ (Decrease)	% Increase/ Decrease
Beginning Fund Balance	$ 11.5												$ 11.5	$ 10.9	$ 0.6	5.5%
RECEIPTS:																
Miscellaneous Receipts	(0.3)												(0.3)	0.1	(0.4)	-400.0%
Total Receipts	(0.3)	-	-	-	-	-	-	-	-	-	-	-	(0.3)	0.1	(0.4)	-400.0%
DISBURSEMENTS:																
Departmental Operations:																
Personal Service	-												-	-	-	0.0%
Non-Personal Service	-												-	-	-	0.0%
General State Charges	-												-	-	-	0.0%
Total Disbursements	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Excess (Deficiency) of Receipts over Disbursements	(0.3)	-	-	-	-	-	-	-	-	-	-	-	(0.3)	0.1	(0.4)	-400.0%
OTHER FINANCING SOURCES (USES):																
Transfers from Other Funds	-												-	-	-	0.0%
Transfers to Other Funds	-												-	-	-	0.0%
Total Other Financing Sources (Uses)	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Excess (Deficiency) of Receipts and Other Financing Sources Over Disbursements and Other Financing Uses	(0.3)	-	-	-	-	-	-	-	-	-	-	-	(0.3)	0.1	(0.4)	-400.0%
Ending Fund Balance	$ 11.2	$ -	$ -	$ -	$ -	$ -	$ -	$ -	$ -	$ -	$ -	$ -	$ 11.2	$ 11.0	$ 0.2	1.8%

STATE OF NEW YORK
GOVERNMENTAL FUNDS
SUMMARY OF CASH RECEIPTS, DISBURSEMENTS AND
CHANGES IN FUND BALANCES
FOR THE MONTH OF APRIL 2015
(Amounts in millions)

SCHEDULE 1

	BALANCE APRIL 1, 2015	RECEIPTS	DISBURSEMENTS	OTHER FINANCING SOURCES (USES)	BALANCE APRIL 30, 2015
GENERAL FUND					
10000-10049-Local Assistance Account	$ -	$ 0.014	$ 1,949.209	$ 1,949.195	$ -
10050-10099-State Operations Account	-	6,050.572	1,211.257	5,430.801	10,270.116
10100-10149-Tax Stabilization Reserve	1,257.762	-	-	(1,257.762)	-
10150-10199-Contingency Reserve	20.624	-	-	(20.624)	-
10200-10249-Universal Pre-K Reserve	-	-	-	-	-
10250-10299-Community Projects	74.449	-	0.986	-	73.463
10300-10349-Rainy Day Reserve Fund	539.544	-	-	(539.544)	-
10400-10449-Refund Reserve Account	5,407.138	-	-	(5,407.138)	-
10500-10549-Fringe Benefits Escrow	-	23.635	23.635	-	-
10550-10599-Tobacco Revenue Guarantee	-	-	-	-	-
TOTAL GENERAL FUND	**7,299.517**	**6,074.221**	**3,185.087**	**154.928**	**10,343.579**
SPECIAL REVENUE FUNDS-STATE					
20000-20099-Mental Health Gifts and Donations	2.210	-	0.005	-	2.205
20100-20299-Combined Expendable Trust	66.718	1.071	0.265	-	67.524
20300-20349-New York Interest on Lawyer Account	10.692	0.946	0.111	-	11.527
20350-20399-NYS Archives Partnership Trust	0.017	0.164	0.010	-	0.171
20400-20449-Child Performer's Protection	0.061	0.005	0.005	-	0.061
20450-20499-Tuition Reimbursement	5.370	0.086	0.172	-	5.284
20500-20549-New York State Local Government Records Management Improvement	2.615	0.715	0.265	-	3.065
20550-20599-School Tax Relief	-	3.080	0.020	-	3.060
20600-20649-Charter Schools Stimulus	5.667	0.001	-	-	5.668
20650-20699-Not-For-Profit Short Term Revolving Loan	-	-	-	-	-
20800-20849-HCRA Resources	14.125	400.352	357.013	(15.827)	41.637
20850-20899-Dedicated Mass Transportation Trust	62.726	50.888	46.487	-	67.127
20900-20949-State Lottery	25.888	320.912	4.507	-	342.293
20950-20999-Combined Student Loan	11.386	3.391	1.527	-	13.250
21000-21049-Sewage Treatment Program Mgmt. & Administration	(0.766)	-	0.213	-	(0.979)
21050-21149-Encon Special Revenue	(20.819)	7.319	4.290	-	(17.790)
21150-21199-Conservation	86.228	1.332	2.339	-	85.221
21200-21249-Environmental Protection and Oil Spill Compensation	15.631	4.426	1.407	-	18.650
21250-21299-Training and Education Program on OSHA	4.222	0.001	2.444	-	1.779
21300-21349-Lawyers' Fund for Client Protection	9.735	0.611	0.123	-	10.223
21350-21399-Equipment Loan for the Disabled	0.499	0.004	-	-	0.503
21400-21449-Mass Transportation Operating Assistance	160.839	121.499	0.312	-	282.026
21450-21499-Clean Air	(20.254)	3.298	1.950	-	(18.906)
21500-21549-New York State Infrastructure Trust	0.067	-	-	-	0.067
21550-21599-Legislative Computer Services	10.894	0.099	0.126	-	10.867
21600-21649-Biodiversity Stewardship and Research	-	-	-	-	-
21650-21699-Combined Non-Expendable Trust	3.488	0.001	-	-	3.489
21700-21749-Winter Sports Education Trust	-	-	-	-	-
21750-21799-Musical Instrument Revolving	0.001	-	-	-	0.001
21850-21899-Arts Capital Revolving	0.818	0.001	-	-	0.819
21900-22499-Miscellaneous State Special Revenue	849.509	(639.028)	574.318	1,506.400	1,142.563

STATE OF NEW YORK
GOVERNMENTAL FUNDS
SUMMARY OF CASH RECEIPTS, DISBURSEMENTS AND
CHANGES IN FUND BALANCES
FOR THE MONTH OF APRIL 2015
(Amounts in millions)

SCHEDULE 1

	BALANCE APRIL 1, 2015	RECEIPTS	DISBURSEMENTS	OTHER FINANCING SOURCES (USES)	BALANCE APRIL 30, 2015
SPECIAL REVENUE FUNDS-STATE (CONTINUED)					
22500-22549-Court Facilities Incentive Aid	12.462	0.001	1.103	66.748	78.108
22550-22599-Employment Training	0.049	-	-	-	0.049
22650-22699-State University Income	689.096	310.745	428.383	224.426	795.884
22700-22749-Chemical Dependence Service	17.178	4.322	(0.016)	-	21.516
22750-22799-Lake George Park Trust	0.372	-	0.049	-	0.323
22800-22849-State Police Motor Vehicle Law Enforcement and Motor Vehicle Theft and Insurance Fraud Prevention	18.817	0.003	0.831	-	17.989
22850-22899-New York Great Lakes Protection	0.220	0.261	0.009	-	0.472
22900-22949-Federal Revenue Maximization	0.023	-	-	-	0.023
22950-22999-Housing Development	10.631	0.001	0.195	-	10.437
23000-23049-NYS/DOT Highway Safety Program	(6.416)	0.185	0.440	-	(6.671)
23050-23099-Vocational Rehabilitation	0.140	0.004	0.001	-	0.143
23100-23149-Drinking Water Program Management and Administration	(5.516)	-	0.673	-	(6.189)
23150-23199-NYC County Clerks' Operations Offset	(50.130)	25.228	2.241	4.603	(22.540)
23200-23249-Judiciary Data Processing Offset	3.568	2.694	1.895	-	4.367
23250-23449-IFR/CUTRA	133.755	2.734	7.830	-	128.659
23500-23549-USOC Lake Placid Training	0.103	0.009	-	-	0.112
23550-23599-Indigent Legal Services	122.931	6.185	18.017	-	111.099
23600-23649-Unemployment Insurance Interest and Penalty	14.864	0.803	0.422	-	15.245
23650-23699-MTA Financial Assistance Fund	29.973	170.368	78.832	1.873	123.382
23700-23749-New York State Commercial Gaming Fund	(1.993)	-	0.105	-	(2.098)
23750-23799-Medical Marihuana Trust Fund	-	-	-	6.740	6.740
40350-40399-State University Dormitory Income	174.930	33.595	-	(18.728)	189.797
TOTAL SPECIAL REVENUE FUNDS-STATE	**2,472.624**	**838.312**	**1,538.919**	**1,776.235**	**3,548.252**
SPECIAL REVENUE FUNDS-FEDERAL					
25000-25099-Federal USDA/Food and Consumer Services	9.868	156.715	193.897	-	(27.314)
25100-25199-Federal Health and Human Services	284.398	1,115.968	1,860.831	(159.973)	(620.438)
25200-25249-Federal Education	0.705	239.855	256.351	-	(15.791)
25300-25899-Federal Miscellaneous Operating Grants	(114.522)	49.724	169.434	-	(234.232)
25900-25949-Unemployment Insurance Administration	11.641	54.606	16.511	-	49.736
25950-25999-Unemployment Insurance Occupational Training	1.285	1.000	0.786	-	1.499
26000-26049-Federal Employment and Training Grants	(4.202)	23.504	20.583	-	(1.281)
TOTAL SPECIAL REVENUE FUNDS-FEDERAL	**189.173**	**1,641.372**	**2,518.393**	**(159.973)**	**(847.821)**
TOTAL SPECIAL REVENUE FUNDS	**2,661.797**	**2,479.684**	**4,057.312**	**1,616.262**	**2,700.431**
DEBT SERVICE FUNDS					
40000-40049-Debt Reduction Reserve	-	-	-	-	-
40100-40149-Mental Health Services	90.381	(31.307)	0.252	70.660	129.482
40150-40199-General Debt Service	-	1,919.562	164.035	(1,514.762)	240.765
40250-40299-State Housing Debt Service	-	-	1.972	1.972	-
40300-40349-Department of Health Income	28.279	23.037	-	(9.060)	42.256
40400-40449-Clean Water/Clean Air	-	86.284	-	(86.284)	-
40450-40499-Local Government Assistance Tax	-	239.965	-	(237.862)	2.103
TOTAL DEBT SERVICE FUNDS	**118.660**	**2,237.541**	**166.259**	**(1,775.336)**	**414.606**

STATE OF NEW YORK
GOVERNMENTAL FUNDS
SUMMARY OF CASH RECEIPTS, DISBURSEMENTS AND
CHANGES IN FUND BALANCES
FOR THE MONTH OF APRIL 2015
(Amounts in millions)

SCHEDULE 1

	BALANCE APRIL 1, 2015	RECEIPTS	DISBURSEMENTS	OTHER FINANCING SOURCES (USES)	BALANCE APRIL 30, 2015
CAPITAL PROJECTS FUNDS					
30000-30049-State Capital Projects	-	0.034	91.547	91.513	-
30050-30099-Dedicated Highway and Bridge Trust	(60.132)	167.360	124.755	(74.056)	(91.583)
30100-30299-SUNY Residence Halls Rehabilitation and Repair	111.073	1.688	1.441	0.698	112.018
30300-30349-New York State Canal System Development	3.622	0.214	0.518	-	3.318
30350-30399-Parks Infrastructure	(87.063)	0.001	5.822	-	(92.884)
30400-30449-Passenger Facility Charge	0.014	-	-	-	0.014
30450-30499-Environmental Protection	36.813	1.410	2.228	-	35.995
30500-30549-Clean Water/Clean Air Implementation	-	-	-	-	-
30600-30609-Energy Conservation Thru Improved Transportation Bond	0.164	-	-	-	0.164
30610-30619-Park and Recreation Land Acquisition Bond	-	-	-	-	-
30620-30629-Pure Waters Bond	0.668	-	-	-	0.668
30630-30639-Transportation Capital Facilities Bond	3.328	-	-	-	3.328
30640-30649-Environmental Quality Protection Bond	1.803	-	-	(0.352)	1.451
30650-30659-Rebuild and Renew New York Transportation Bond	54.268	-	-	(1.662)	52.606
30660-30669-Transportation Infrastructure Renewal Bond	4.255	-	-	-	4.255
30670-30679-1986 Environmental Quality Bond Act	11.869	-	-	(6.293)	5.576
30680-30689-Accelerated Capacity and Transportation Improvement Bond	2.814	-	-	-	2.814
30690-30699-Clean Water/Clean Air Bond	29.091	-	-	(10.128)	18.963
30700-30709-State Housing Bond	-	-	-	-	-
30710-30719-Smart Schools Bond	-	-	-	-	-
30750-30799-Outdoor Recreation Development Bond	-	-	-	-	-
30900-30949-Rail Preservation and Development Bond	-	-	-	-	-
31350-31449-Federal Capital Projects	(381.979)	101.155	103.203	(1.992)	(386.019)
31450-31499-Forest Preserve Expansion	0.898	-	-	-	0.898
31500-31549-Hazardous Waste Remedial	(125.279)	2.292	4.067	(0.594)	(127.648)
31650-31699-Suburban Transportation	0.506	-	-	-	0.506
31700-31749-Division for Youth Facilities Improvement	(7.598)	-	1.158	-	(8.756)
31800-31849-Housing Assistance	(13.973)	-	-	-	(13.973)
31850-31899-Housing Program	(129.814)	-	0.019	-	(129.833)
31900-31949-Natural Resource Damage	14.992	0.032	0.029	-	14.995
31950-31999-DOT Engineering Services	(12.559)	-	0.057	-	(12.616)
32200-32249-Miscellaneous Capital Projects	33.992	0.047	0.778	4.000	37.261
32250-32299-CUNY Capital Projects	(0.023)	-	-	-	(0.023)
32300-32349-Mental Hygiene Facilities Capital Improvement	(407.392)	10.587	10.230	-	(407.035)
32350-32399-Correction Facilities Capital Improvement	(38.416)	-	12.438	-	(50.854)
32400-32999-State University Capital Projects	273.011	0.028	(1.701)	-	274.740
33000-33049-NYS Storm Recovery Fund	(43.372)		-	-	(43.372)
TOTAL CAPITAL PROJECTS FUNDS	(724.419)	284.848	356.589	1.134	(795.026)
TOTAL GOVERNMENTAL FUNDS	$ 9,355.555	$ 11,076.294	$ 7,765.247	$ (3.012)	$ 12,663.590

STATE OF NEW YORK
PROPRIETARY FUNDS
SUMMARY OF CASH RECEIPTS, DISBURSEMENTS AND
CHANGES IN FUND BALANCES
FOR THE MONTH OF APRIL 2015
(Amounts in millions)

SCHEDULE 2

FUND TYPE	BALANCE APRIL 1, 2015	RECEIPTS	DISBURSEMENTS	OTHER FINANCING SOURCES (USES)	BALANCE APRIL 30, 2015
ENTERPRISE FUNDS					
50000-50049-Youth Commissary	$ 0.198	$ 0.002	$ 0.005	$ -	$ 0.195
50050-50099-State Exposition Special	0.408	1.052	0.359	-	1.101
50100-50299-Correctional Services Commissary	2.842	2.437	2.262	-	3.017
50300-50399-Agencies Enterprise	3.129	0.311	0.163	-	3.277
50400-50449-Sheltered Workshop	1.660	0.277	0.027	-	1.910
50450-50499-Patient Workshop	1.437	0.071	0.016	-	1.492
50500-50599-Mental Hygiene Community Stores	3.833	0.225	0.068	-	3.990
50650-50699-Unemployment Insurance Benefit	37.143	225.581	200.609	-	62.115
TOTAL ENTERPRISE FUNDS	**50.650**	**229.956**	**203.509**	**-**	**77.097**
INTERNAL SERVICE FUNDS					
55000-55049-Centralized Services	(95.077)	8.688	23.718	1.803	(108.304)
55050-55099-Agency Internal Service	(76.988)	3.479	17.898	1.210	(90.197)
55100-55149-Mental Hygiene Revolving	0.118	0.023	0.104	-	0.037
55150-55199-Youth Vocational Education	0.053	0.002	0.002	-	0.053
55200-55249-Joint Labor and Management Administration	1.175	-	0.030	-	1.145
55250-55299-Audit and Control Revolving	1.112	-	1.133	-	(0.021)
55300-55349-Health Insurance Revolving	(11.629)	0.645	1.250	-	(12.234)
55350-55399-Correctional Industries Revolving	(15.458)	3.138	4.100	-	(16.420)
TOTAL INTERNAL SERVICE FUNDS	**(196.694)**	**15.975**	**48.235**	**3.013**	**(225.941)**
TOTAL PROPRIETARY FUNDS	**$ (146.044)**	**$ 245.931**	**$ 251.744**	**$ 3.013**	**$ (148.844)**

STATE OF NEW YORK
FIDUCIARY FUNDS
SUMMARY OF CASH RECEIPTS, DISBURSEMENTS AND CHANGES IN FUND BALANCES
FOR THE MONTH OF APRIL 2015
(Amounts in millions)

SCHEDULE 3

FUND TYPE	BALANCE APRIL 1, 2015	RECEIPTS	DISBURSEMENTS	OTHER FINANCING SOURCES (USES)	BALANCE APRIL 30, 2015
PENSION TRUST FUNDS					
65000-65049-Common Retirement Administration	$ (16.889)	$ 21.923	$ 5.409	$ -	$ (0.375)
TOTAL PENSION TRUST FUNDS	**(16.889)**	**21.923**	**5.409**	**-**	**(0.375)**
PRIVATE PURPOSE TRUST FUNDS					
66000-66049-Agriculture Producers' Security	2.194	0.209	0.010	-	2.393
66050-66099-Milk Producers' Security	9.325	(0.514)	0.014	-	8.797
TOTAL PRIVATE PURPOSE TRUST FUNDS	**11.519**	**(0.305)**	**0.024**	**-**	**11.190**
AGENCY FUNDS					
60050-60149-School Capital Facilities Financing Reserve	21.659	1.638	-	-	23.297
60150-60199-Child Performer's Holding	0.249	0.007	0.001	-	0.255
60200-60249-Employees Health Insurance	795.248	658.977	705.008	-	749.217
60250-60299-Social Security Contribution	15.089	109.439	109.451	-	15.077
60300-60399-Employee Payroll Withholding	(4.550)	409.367	372.144	-	32.673
60400-60449-Employees Dental Insurance	6.562	5.952	5.760	-	6.754
60450-60499-Management Confidential Group Insurance	0.479	0.869	0.823	-	0.525
60500-60549-Lottery Prize	434.246	118.865	78.982	-	474.129
60550-60599-Health Insurance Reserve Receipts	0.120	-	-	-	0.120
60600-60799-Miscellaneous New York State Agency	1,330.447	2.307	78.977	-	1,253.777
60800-60849-Elderly Pharmaceutical Insurance Coverage (EPIC) Escrow	22.369	1.591	2.690	-	21.270
60850-60899-CUNY Senior College Operating	50.094	309.900	277.213	-	82.781
60900-60949-Medicaid Management Information System (MMIS) Escrow	182.888	5,336.751	5,411.197	-	108.442
60950-60999-Special Education	-	-	-	-	-
61000-61099-State University of New York Revenue Collection	163.960	(59.729)	-	-	104.231
61100-61999-State University Federal Direct Lending Program	(0.205)	(0.437)	-	-	(0.642)
62000-62049-SSP SSI Payment Escrow	-	-	-	-	-
TOTAL AGENCY FUNDS	**3,018.655**	**6,895.497**	**7,042.246**	**-**	**2,871.906**
TOTAL FIDUCIARY FUNDS	**$ 3,013.285**	**$ 6,917.115**	**$ 7,047.679**	**$ -**	**$ 2,882.721**

STATE OF NEW YORK
SOLE CUSTODY AND INVESTMENT ACCOUNTS
STATEMENT OF CASH RECEIPTS AND DISBURSEMENTS
FOR THE MONTH OF APRIL 2015
(Amounts in millions)

SCHEDULE 4

FUND TYPE	BALANCE APRIL 1, 2015	RECEIPTS	DISBURSEMENTS	BALANCE APRIL 30, 2015
ACCOUNTS				
70000-70049-Tobacco Settlement	$ 2.710	$ 0.001	$ -	$ 2.711
70050-70149-Sole Custody Investment (*)	2,049.842	10,109.744	10,026.575	2,133.011
70200-Comptroller's Refund	-	192.117	192.117	-
TOTAL ACCOUNTS	**$ 2,052.552**	**$ 10,301.862**	**$ 10,218.692**	**$ 2,135.722**

(*) Includes Public Asset Fund resources

Chapter 1 of the Laws of 2002 authorized the conversion of Empire Health Choice, d/b/a Empire Blue Cross and Blue Shield from a not-for-profit corporation to a for-profit corporation. Chapter 1 requires, in part, that upon such conversion, assets representing 95 percent of the fair market value of the not-for-profit corporation be transferred to a fund designated as the "Public Asset Fund" and 5 percent transferred to a Charitable Foundation - as set forth in Section 7317 of the Insurance Law. On December 28, 2005, WellChoice, Inc. (previously known as Empire Blue Cross, Blue Shield) approved a takeover by WellPoint, Inc. This conversion was also subject to the same Chapter 1 requirements of assigning assets representing 95 percent of the fair market value of the not-for-profit corporation be transferred to the "Public Asset Fund".

As of April 30, 2015, $8,956,302.31 (representing the remaining balance of the State's 95 percent share of the fair market value of the not-for-profit corporation plus interest) is on deposit in the sole custody account titled Public Asset Fund. In accordance with Section 4301(j)(4)(F) and (O) of the Insurance Law and at the direction of the Director of the Budget, these funds are available for transfer to HCRA Resources Fund (20800-20849).

STATE OF NEW YORK
DEBT SERVICE FUNDS
STATEMENT OF DIRECT STATE DEBT ACTIVITY
FISCAL YEAR ENDED MARCH 31, 2016

SCHEDULE 5

PURPOSE	DEBT OUTSTANDING APR. 1, 2015	DEBT ISSUED		DEBT MATURED		DEBT OUTSTANDING APR. 30, 2015	INTEREST DISBURSED	
		MONTH OF APRIL	1 MONTH ENDED APR. 30, 2015	MONTH OF APRIL	1 MONTH ENDED APR. 30, 2015		MONTH OF APRIL	1 MONTH ENDED APR. 30, 2015
GENERAL OBLIGATION BONDED DEBT:								
Accelerated Capacity and Transportation Improvements	$ 151,044,395.19	$ -	$ -	$ 38,222,657.05	$ 38,222,657.05	$ 112,821,738.14	$ 1,330,566.43	$ 1,330,566.43
Clean Water/Clean Air:								
Air Quality	9,754,327.60	-	-	2,185,305.07	2,185,305.07	7,569,022.53	54,888.68	54,888.68
Safe Drinking Water	-	-	-	-	-	-	-	-
Water	429,426,360.50	-	-	6,304,925.43	6,304,925.43	423,121,435.07	1,537,691.07	1,537,691.07
Solid Waste	42,810,106.09	-	-	1,703,185.85	1,703,185.85	41,106,920.24	65,482.53	65,482.53
Environmental Restoration	91,604,900.75	-	-	165,000.00	165,000.00	91,439,900.75	33,527.08	33,527.08
Energy Conservation Through Improved Transportation:								
Rapid Transit and Rail Freight	5,286,209.05	-	-	1,957,750.21	1,957,750.21	3,328,458.84	86,812.21	86,812.21
Environmental Quality Protection (1972):								
Air	2,986,458.23	-	-	2,488,893.87	2,488,893.87	497,564.36	74,222.35	74,222.35
Land and Wetlands	10,029,155.14	-	-	2,531,960.59	2,531,960.59	7,497,194.55	119,980.06	119,980.06
Water	40,929,864.30	-	-	6,869,066.87	6,869,066.87	34,060,797.43	526,418.88	526,418.88
Environmental Quality (1986):								
Land and Forests	20,036,912.74	-	-	2,353,238.06	2,353,238.06	17,683,674.68	88,131.98	88,131.98
Solid Waste Management	226,116,165.97	-	-	11,962,586.30	11,962,586.30	214,153,579.67	795,962.47	795,962.47
Housing:								
Low Cost	19,890,000.00	-	-	1,690,000.00	1,690,000.00	18,200,000.00	282,000.00	282,000.00
Middle Income	17,285,000.00	-	-	-	-	17,285,000.00	-	-
Park and Recreation Land Acquisition	9,270.05	-	-	-	-	9,270.05	-	-
Pure Waters	38,693,774.38	-	-	4,334,483.95	4,334,483.95	34,359,290.43	369,195.42	369,195.42
Rail Preservation Development	747,162.13	-	-	304,723.49	304,723.49	442,438.64	15,881.48	15,881.48
Rebuild and Renew New York Transportation:								
Highway Facilities	855,692,480.44	-	-	-	-	855,692,480.44	-	-
Canals and Waterways	17,492,917.89	-	-	-	-	17,492,917.89	-	-
Aviation	51,322,171.84	-	-	-	-	51,322,171.84	-	-
Rail and Port	82,762,796.20	-	-	-	-	82,762,796.20	-	-
Mass Transit - Dept. of Transportation	7,992,060.77	-	-	-	-	7,992,060.77	-	-
Mass Transit - Metropolitan Transportation Authority	877,031,335.39	-	-	-	-	877,031,335.39	-	-
Rebuild New York-Transportation Infrastructure Renewal:								
Highways, Parkways, and Bridges	1,808,681.40	-	-	-	-	1,808,681.40	-	-
Rapid Transit, Rail and Aviation	7,849,619.16	-	-	1,498,633.78	1,498,633.78	6,350,985.38	122,315.85	122,315.85
Transportation Capital Facilities:								
Aviation	9,069,490.58	-	-	1,602,875.60	1,602,875.60	7,466,614.98	106,374.79	106,374.79
Mass Transportation	78,383.65	-	-	39,713.88	39,713.88	38,669.77	2,014.23	2,014.23
Total General Obligation Bonded Debt	**$ 3,017,749,999.44**	**$ -**	**$ -**	**$ 86,215,000.00**	**$ 86,215,000.00**	**$ 2,931,534,999.44**	**$ 5,611,465.51**	**$ 5,611,465.51**

STATE OF NEW YORK
DEBT SERVICE FUNDS
FINANCING AGREEMENTS
FOR THE ONE MONTH ENDED APRIL 30, 2015

SCHEDULE 5a

Special Contractual Financing Obligations:	DEBT REDUCTION RESERVE FUND (40000-40049)	GENERAL DEBT SERVICE (40151)	DEPARTMENT OF HEALTH INCOME (40300-40349)	LOCAL GOVERNMENT ASSISTANCE TAX (40450-40499)	MENTAL HEALTH SERVICES (40100-40149)	REVENUE BOND TAX (40152)	SALES TAX REVENUE BOND TAX (40154)	COMBINED TOTALS 1 MONTH ENDED APRIL 30 2015	COMBINED TOTALS 1 MONTH ENDED APRIL 30 2014	$ INCREASE/ (DECREASE)
Payments to Public Authorities:										
Payments to Public Authorities:										
City University Construction	$ -	$ -	$ -	$ -	$ -	$ -	$ -	$ -	$ 32,480	$ (32,480)
Dormitory Authority:										
Albany County Airport	-	-	-	-	-	-	-	-	-	-
Consolidated Service Contract Refunding	-	-	-	-	-	-	-	-	-	-
DASNY Revenue Bond	-	-	-	-	-	-	-	-	-	-
David Axelrod Institute	-	-	-	-	-	-	-	-	-	-
Department of Health Facilities	-	-	-	-	-	-	-	-	-	-
Economic Development Housing	-	-	-	-	-	-	-	-	-	-
Education	-	-	-	-	-	-	-	-	-	-
General Purpose	-	-	-	-	-	-	-	-	-	-
Health Care	-	-	-	-	-	-	-	-	-	-
Mental Health Facilities	-	-	-	-	-	-	-	-	-	-
OGS Parking	-	-	-	-	-	-	-	-	-	-
Sales Tax Revenue Bond	-	-	-	-	-	-	-	-	-	-
Secured Hospital Program	-	-	-	-	-	-	-	-	-	-
State Department of Education Facilities	-	-	-	-	-	-	-	-	-	-
State Facilities and Equipment	-	-	-	-	-	-	-	-	-	-
SUNY Community Colleges	-	-	-	-	-	-	-	-	-	-
SUNY Educational Facilities	-	-	-	-	-	-	-	-	-	-
Environmental Facilities Corporation	-	-	-	-	-	-	-	-	-	-
Housing Finance Agency	-	-	-	-	-	-	-	-	-	-
Local Government Assistance Corporation	-	-	-	-	-	-	-	-	200,188	(200,188)
Metropolitan Transportation Authority										
Transit and Commuter Rail Projects	-	-	-	-	-	-	-	-	-	-
Thruway Authority:										
Dedicated Highway and Bridge	-	74,030,941	-	-	-	-	-	74,030,941	77,622,417	(3,591,476)
Local Highway and Bridge	-	-	-	-	-	-	-	-	-	-
Transportation	-	-	-	-	-	-	-	-	-	-
Urban Development Corporation:										
Center for Industrial Innovation at RPI	-	-	-	-	-	-	-	-	-	-
Clarkson University	-	-	-	-	-	-	-	-	-	-
Columbia Univer. Telecommunications Center	-	-	-	-	-	-	-	-	-	-
Community Enhancement Facilities Program	-	-	-	-	-	-	-	-	-	-
Consolidated Service Contract Refunding	-	-	-	-	-	-	-	-	-	-
Cornell Univer. Supercomputer Center	-	-	-	-	-	-	-	-	-	-
Correctional Facilities	-	-	-	-	-	-	-	-	-	-
Debt Reduction Reserve	-	-	-	-	-	-	-	-	-	-
Economic Development Housing	-	-	-	-	-	-	-	-	-	-
General Purpose	-	-	-	-	-	-	-	-	-	-
State Facilities and Equipment	-	-	-	-	-	-	-	-	-	-
Syracuse University Science and Technology Center	-	-	-	-	-	-	-	-	-	-
UDC Revenue Bond	-	-	-	-	-	-	-	-	-	-
University Facilities Grant 95 Refunding	-	-	-	-	-	-	-	-	-	-
Total Disbursements for Special Contractual Financing Obligations	**$ -**	**$ 74,030,941**	**$ -**	**$ -**	**$ -**	**$ -**	**$ -**	**$ 74,030,941**	**$ 77,855,085**	**$ (3,824,144)**

STATE OF NEW YORK **SCHEDULE 6**
SUMMARY OF THE OPERATING FUND INVESTMENTS
FOR THE MONTH OF APRIL 2015
AS REQUIRED OF THE STATE COMPTROLLER
(Amounts in millions)

	APRIL 2015	FISCAL YEAR TO DATE	PRIOR FISCAL YEAR TO DATE APRIL 2014
SHORT TERM INVESTMENT POOL (*)			
AVERAGE DAILY INVESTMENT BALANCE (**)	$ 10,787.1	$ 10,787.1	$ 5,145.3
AVERAGE YIELD (**)	0.101%	0.101%	0.136%
TOTAL INVESTMENT EARNINGS	$ 0.994	$ 0.994	$ 0.682

Month-End Portfolio Balances

DESCRIPTION	APR. 2015 PAR AMOUNT	APR. 2014 PAR AMOUNT
GOVT. AGENCY BILLS/NOTES	$ 510.0	$ -
REPURCHASE AGREEMENTS	25.0	1,022.8
COMMERCIAL PAPER	9,471.8	3,585.8
CERTIFICATES OF DEPOSIT/SAVINGS	2,456.8	3,905.4
0% COMPENSATING BALANCE CDs	5,148.0	4,953.0
	$ 17,611.6	**$ 13,467.0**

(*) Pursuant to §98 of the State Finance Law, the State Comptroller is authorized to invest and keep invested all moneys, in any fund, held by the State. The Short Term investment Pool (STIP) represents an accounting mechanism that allows for the separate accounting of individual funds (on deposit in the State's General Checking account) for the purpose of making short term investments. Pursuant to State Finance Law §4(5) the STIP is authorized to temporarily loan to the General Fund-State Operations Account (10050) funds for a period of four months or the end of the fiscal year, whichever is shorter. However, it must be noted that certain funds are invested as part of STIP, but are held by the State Comptroller in a fiduciary capacity. Fiduciary fund balances are restricted and may not be used for any State purposes since moneys in such funds are held by the State in a trustee (or fiduciary) capacity or as an agent for individuals, private organizations, or non-State governmental units (e.g. local governments and public authorities). Therefore, Fiduciary fund balances are not available to be temporarily loaned to the General Fund-State Operations Account. Fiduciary fund balances are presented in Schedules 3 and 4 of this report.

(**) Does not include 0% Compensating Balance CDs.

STATE OF NEW YORK
HCRA RESOURCES FUND
STATEMENT OF RECEIPTS AND DISBURSEMENTS BY ACCOUNT
FISCAL YEAR 2015-16

APPENDIX A

	2015 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2016 JANUARY	FEBRUARY	MARCH	1 Month Ended April 30, 2015
OPENING CASH BALANCE	**$ 14,124,710**												**$ 14,124,710**
RECEIPTS:													
Cigarette Tax	83,184,099												83,184,099
State Share of NYC Cigarette Tax	3,562,000												3,562,000
STIP Interest	24,861												24,861
Public Asset Transfers	-												-
Assessments	309,539,056												309,539,056
Fees	581,000												581,000
Rebates	3,396,937												3,396,937
Restitution and Settlements	-												-
Miscellaneous	65,000												65,000
Total Receipts	**400,352,953**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**400,352,953**
DISBURSEMENTS:													
Grants	353,036,557												353,036,557
Interest - Late Payments	-												-
Personal Service	833,823												833,823
Non-Personal Service	1,889,246												1,889,246
Employee Benefits/Indirect Costs	1,253,638												1,253,638
Total Disbursements	**357,013,264**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**357,013,264**
OPERATING TRANSFERS:													
Transfers to Capital Projects Fund	-												-
Transfers to General Fund	-												-
Transfers to Revenue Bond Tax Fund	-												-
Transfers to Miscellaneous Special Revenue Fund:													
Administration Program Account	-												-
Empire State Stem Cell Trust Account	15,148,000												15,148,000
Transfers to SUNY Income Fund	678,910												678,910
Total Operating Transfers	**15,826,910**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**15,826,910**
Total Disbursements and Transfers	**372,840,174**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**372,840,174**
CLOSING CASH BALANCE	**$ 41,637,489**	**$ -**	**$ -**	**$ -**	**$ -**	**$ -**	**$ -**	**$ -**	**$ -**	**$ -**	**$ -**	**$ -**	**$ 41,637,489**

STATE OF NEW YORK
HCRA RESOURCES FUND
STATEMENT OF PROGRAM DISBURSEMENTS
FISCAL YEAR 2015-2016

APPENDIX B

Program/Purpose	Appropriation Amount (*)	April	1 Month Ended April 30, 2015 (**)
AIDS INSTITUTE PROGRAM	**$ 75,016,000**		
COMMUNITY SERVICE PROG - HIGH RISK		$ -	$ -
HIV CLINICAL AND PROVIDER EDUCATION		-	-
HIV HEALTH CARE SUPPORTIVE SERVICES		-	-
HIV STD HEPATITIS C PREVENTION		-	-
INFANTS AND PREGNANT WOMEN		-	-
REGIONAL AND TARGETED		-	-
CENTER FOR COMMUNITY HEALTH PROGRAM	**144,408,082**		
ADEPHI UNIVRST CANC SPRT PRG		-	-
BRST CANCER HOTLINE - ADELPHI		-	-
CENTER FOR COMMUNITY HLTH		662,873	662,873
EVIDENCE BASED CANCER SVC		-	-
FAMILY PLANNING		-	-
HYPERTENSION PREVENTION TREATMENT		-	-
INDIAN HEALTH PROGRAM		(6)	(6)
LEAD POISONING PREVENTION		-	-
MATERNITY AND EARLY CHHOOD FOUNDATION		-	-
NUTRITION SERVICES/EDUC - PREG WOMEN, CHILDREN		-	-
PRENATAL CARE ASSISTANCE PROGRAM		-	-
PUBLIC HEALTH CAMPAIGN		-	-
RAPE CRISIS		-	-
SCHOOL BASED HEALTH PROGRAM		-	-
STATE AID PHYSICALLY HANICAPPED CHILDREN REHAB		-	-
TOBACCO ENFORCEMENT		-	-
TUBERCULOSIS		-	-
CHILD HEALTH INSURANCE PROGRAM	**986,150,400**		
CHILD HEALTH INSURANCE		22,000,021	22,000,021
COMMUNITY SUPPORT PROGRAM	**165,000**		
COMMUNITY SUPPORT		-	-
ELDERLY PHARMACEUTICAL INS COVERAGE PRG	**273,574,000**		
ELDERLY PHARMACEUTICAL INSURANCE COV		2,612,247	2,612,247
HEALTH CARE REFORM ACT PROGRAM	**1,828,386,084**		
AIDS DRUG ASSISTANCE		-	-
AMBULATORY CARE TRAINING		69,204	69,204
AREA HEALTH EDUCATION CENTER		-	-
COMMISSIONER EMERGENCY DISTRIBUTIONS		-	-
DIAGNOSTIC AND TREATMENT CTR UNCOMPENSATED CARE		-	-
DIVERSITY IN MEDICINE		-	-
EMPIRE CLINIC RESEARCH INVESTMENT (ECRIP)		-	-
HCRA PAYOR/PROVIDER AUDITS		-	-
HEALTH FACILITY RESTRUCTURING DASNY		-	-
HEALTH WORKFORCE RETRAINING		302,210	302,210
INFERTILITY SERVICES GRANTS		-	-
MEDICAL INDEMNITY FUND		-	-
PART 405.4 HOSPITAL AUDITS		-	-
PART 405.4 HOSPITAL AUDITS NYCRR		226,371	226,371
PAY FOR PERFORMANCE		-	-
PHYSICIAN EXCESS MEDICAL MALPRACTICE		-	-

STATE OF NEW YORK
HCRA RESOURCES FUND
STATEMENT OF PROGRAM DISBURSEMENTS
FISCAL YEAR 2015-2016

APPENDIX B

Program/Purpose	Appropriation Amount (*)	April	1 Month Ended April 30, 2015 (**)
PHYSICIAN LOAN REPAYMENT		-	-
PHYSICIAN PRACTICE SUPPORT		-	-
PHYSICIAN WORKFORCE STUDIES		-	-
POISON CONTROL CENTERS		-	-
POOL ADMINISTRATION		187,751	187,751
ROSWELL PARK CANCER INSTITUTE		-	-
RPCI CANC RSRCH OPERATING COSTS		-	-
RURAL HEALTH CARE ACCESS		-	-
RURAL HEALTH NETWORK		145,107	145,107
SCHOOL BASED HEALTH CENTERS		-	-
SCHOOL BASED HEALTH CLINICS-POOL ADMN		-	-
TOBACCO USE PREVENTION/CONTROL		-	-
TRANSITION ACCT - PRIOR YEAR ALLOCATION		-	-
MEDICAL ASSISTANCE PROGRAM	**26,412,176,000**		
BREAST AND CERVICAL CANCER		-	-
DISABLED PERSONS		-	-
FAMILY HEALTH PLUS		-	-
FINANCIAL ASSISTANCE		-	-
HOME HEALTH RATE INCREASE		-	-
INPATIENT NURSING HOME PHARMACIES		-	-
MEDICAID INDIGENT CARE		89,790,790	89,790,790
MEDICAL ASSISTANCE		240,000,000	240,000,000
NYC MEDICAID		-	-
PHYSICIAN SERVICES		-	-
PRIMARY CARE CASE MANAGEMENT		-	-
PSNL CRE WRKR RECR & RETEN NYC (***)		-	-
PSNL CRE WRKR RECR & RETEN ROS (****)		-	-
SUPPLEMENTAL MEDICAL INSURANCE		-	-
OFFICE OF HEALTH INSURANCE PROGRAM	**9,664,200**		
OFFICE OF HEALTH INSURANCE		247,580	247,580
OFFICE OF HEALTH SYSTEMS MANAGEMENT	**47,052,200**		
OFFICE HEALTH SYSTEMS MANAGEMENT		1,448,271	1,448,271
OFFICE OF LONG TERM CARE	**8,582,001**		
ADULT HOME INITIATIVE		-	-
ENABLE AIR CONDITIONING		-	-
ENABLE QUALITY OF LIFE		-	-
QUALITY PROG ADULT CARE FACILITIES		-	-
TOTAL	**29,785,173,967**	**357,692,419**	**357,692,419**
Transfer to the General Fund - State Purposes Account (for administration of the program)	89,000		
Reclass of SUNY Hospital Disprop Share to Transfer		(678,910)	(678,910)
Reclass of SUNY Hospital Poison Control Centers to Transfer			
Reconciling Adjustment (P-Card and T-Card)		(245)	(245)
TOTAL APPROPRIATED AMOUNT	**$ 29,785,262,967**	**$ 357,013,264**	**$ 357,013,264**

(*) Includes amounts appropriated in SFY 2015-16, as well as prior year appropriations that were reappropriated.
(**) Disbursements from the HCRA Resources Fund includes direct grant payments to program beneficiaries, services and expenses for administration of grant programs, and transfers to the Public Goods Pool to finance payments made by the State's fiscal agent.
(***) Full title is: NYC Personal Care Workforce Recruitment and Retention Rates Grants.
(****) Full title is: Personal Care Workforce Recruitment and Retention Rates Grants.

STATE OF NEW YORK
SCHEDULE OF DISBURSEMENTS OF FEDERAL AWARDS - April 2015
AMERICAN RECOVERY AND REINVESTMENT ACT OF 2009(*)

APPENDIX C

Federal CFDA No.	Federal Agency	Program	April	Life-to-Date
Education				
10.579	Department of Agriculture	Child Nutrition Discretionary Grants Limited Availability	$ -	$ 5,824,761.24
11.557	Department of Commerce	Broadband Technology Opportunities Program (BTOP)	-	10,057,887.00
45.025	National Endowment for the Arts	Promotion of the Arts - Partnership Agreements	-	399,900.00
84.033	Department of Education	Federal Work-Study Program	-	2,102,760.00
84.063	Department of Education	Federal Pell Grant Program	-	147,198,591.00
84.384	Department of Education	Statewide Data Systems, Recovery Act	302,096.14	18,338,744.00
84.385	Department of Education	Teacher Incentive Fund, Recovery Act	-	13,530,907.00
84.386	Department of Education	Education Technology State Grants, Recovery Act	-	53,551,200.24
84.387	Department of Education	Education for Homeless Children and Youth, Recovery Act	-	6,039,255.00
84.388	Department of Education	School Improvement Grants, Recovery Act	-	260,866,068.00
84.389	Department of Education	Title I Grants to Local Education Agencies, Recovery Act	-	906,803,696.00
84.390	Department of Education	Rehabilitation Services - Vocational Rehabilitation Grants to States, Recovery Act	-	25,694,044.00
84.391	Department of Education	Special Education Grants to States, Recovery Act	-	755,867,980.05
84.392	Department of Education	Special Education - Preschool Grants, Recovery Act	-	34,302,395.00
84.394	Department of Education	State Fiscal Stabilization Fund (SFSF) - Education State Grants, Recovery Act	-	2,468,557,791.00
84.395	Department of Education	State Fiscal Stabilization Fund (SFSF) - Race-to-the-Top Incentive Grants, Recovery Act	18,758,685.19	549,032,058.90
84.397	Department of Education	State Fiscal Stabilization Fund (SFSF) - Government Services, Recovery Act	-	527,364,018.81
84.398	Department of Education	Independent Living State Grants, Recovery Act	-	856,884.00
84.399	Department of Education	Independent Living Services for Older Individuals Who are Blind, Recovery Act	-	2,297,731.00
84.410	Department of Education	Education Jobs Fund	-	616,479,620.00
93.407	Health and Human Services	ARRA - Scholarships for Disadvantaged Students	-	411,249.00
		Total Education	**19,060,781.33**	**6,405,577,541.24**
Energy and Environment				
10.086	Department of Agriculture	Aquaculture Grants Program (AGP)	-	7,611.86
10.688	Department of Agriculture	Recovery Act of 2009: Wildland Fire Management	-	763,000.00
66.039	Environmental Protection Agency	National Clean Diesel Emissions Reduction Program	-	1,000,000.00
66.040	Environmental Protection Agency	State Clean Diesel Grant Program	-	1,635,086.95
66.454	Environmental Protection Agency	Water Quality Management Planning	-	4,132,731.39
66.458	Environmental Protection Agency	Capitalization Grants for Clean Water State Revolving Funds	-	432,564,200.00
66.468	Environmental Protection Agency	Capitalization Grants for Drinking Water State Revolving Funds	-	86,811,000.00
66.805	Environmental Protection Agency	Leaking Underground Storage Tank Trust Fund Corrective Action Program	-	9,212,000.00
81.042	Department of Energy	Weatherization Assistance for Low-Income Persons	-	395,730,364.84
81.122	Department of Energy	Electricity Delivery and Energy Reliability, Research, Development and Analysis	(284.88)	1,235,199.97
		Total Energy and Environment	**(284.88)**	**933,091,195.01**
Food and Nutrition Services				
10.568	Department of Agriculture	Emergency Food Assistance Program (Administrative Costs)	-	4,891,302.00
93.705	Health and Human Services	Aging Home-Delivered Nutrition Services for States	-	2,042,446.00
93.707	Health and Human Services	Aging Congregate Nutrition Services for States	-	4,148,718.00
		Total Food and Nutrition Services	**-**	**11,082,466.00**
Health and Social Services				
10.557	Department of Agriculture	Special Supplemental Nutrition Program for Women, Infants and Children (WIC)	-	5,468,978.00
10.561	Department of Agriculture	State Administrative Matching Grants for the Supplemental Nutrition Assistance Program	-	24,402,283.00
10.578	Department of Agriculture	WIC Grants To States (WGS)	-	4,172,768.48
14.257	Department of Housing and Urban Development	Homelessness Prevention and Rapid Re-Housing Program (Recovery Act Funded)	-	26,951,329.00
84.393	Department of Education	Special Education - Grants for Infants and Families, Recovery Act	-	26,406,387.04
93.563	Health and Human Services	Child Support Enforcement	-	101,131,818.69
93.658	Health and Human Services	Foster Care- Title IV-E	-	54,868,712.61
93.659	Health and Human Services	Adoption Assistance	-	60,062,684.00
93.708	Health and Human Services	ARRA - Head Start	-	5,577,399.87
93.712	Health and Human Services	ARRA - Immunization	-	4,275,750.26
93.713	Health and Human Services	ARRA - Child Care and Development Block Grant	-	96,785,640.00
93.714	Health and Human Services	ARRA - Emergency Contingency Fund for Temporary Assistance for Needy Families (TANF) State Programs	-	723,023,290.00

STATE OF NEW YORK
SCHEDULE OF DISBURSEMENTS OF FEDERAL AWARDS - April 2015
AMERICAN RECOVERY AND REINVESTMENT ACT OF 2009(*)

APPENDIX C

Federal CFDA No.	Federal Agency	Program	April	Life-to-Date
93.725	Health and Human Services	ARRA - Communities Putting Prevention to Work: Chronic Disease Self-Management Program	-	1,166,708.33
93.778	Health and Human Services	Medical Assistance Program (FMAP)	12,561,422.32	14,028,370,771.28
94.006	Corporation for National and Community Service	AmeriCorps	332,314.89	7,339,907.70
		Total Health and Social Services	**12,893,737.21**	**15,170,004,428.26**
Housing				
84.397	Department of Education	State Fiscal Stabilization Fund (SFSF) - Government Services, Recovery Act	-	21,875,000.00
93.710	Health and Human Services	ARRA - Community Services Block Grant	-	85,384,063.91
		Total Housing	**-**	**107,259,063.91**
Labor				
17.207	Department of Labor	Employment Service/Wanger-Peyser Funded Activities	-	22,855,217.00
17.225	Department of Labor	Unemployment Insurance	937,796.59	16,667,030,233.76
17.235	Department of Labor	Senior Community Service - Employment Program	-	1,539,762.38
17.258	Department of Labor	Workforce Investment Act - Adult Program	-	31,516,111.00
17.259	Department of Labor	Workforce Investment Act - Youth Activities	-	71,526,360.00
17.260	Department of Labor	Workforce Investment Act - Dislocated Workers	-	70,633,412.47
17.275	Department of Labor	Program of Competitive Grants for Worker Training and Placement in High Growth and Emerging Industry Sectors	-	1,112,175.14
		Total Labor	**937,796.59**	**16,866,213,271.75**
Public Protection				
11.558	Department of Commerce	State Broadband Data and Development Grant Program	511,754.07	8,047,576.54
12.401	Department of Defense	National Guard Military Operations and Maintenance (O&M) Projects	-	7,416,726.40
16.588	Department of Justice	Violence Against Women Formula Grants	-	7,274,394.35
16.800	Department of Justice	Recovery Act - Internet Crimes against Children Task Force Program (ICAC)	-	1,618,399.10
16.801	Department of Justice	Recovery Act - State Victim Assistance Formula Grant Program	-	1,788,999.08
16.802	Department of Justice	Recovery Act - State Victim Compensation Formula Grant Program	-	2,828,986.58
16.803	Department of Justice	Recovery Act - Edward Byrne Memorial Justice Assistance Grant (JAG) Program/ Grants to States and Territories	-	66,946,360.41
		Total Public Protection	**511,754.07**	**95,921,442.46**
Transportation				
20.205	Department of Transportation	Highway Planning and Construction	-	932,335,171.12
20.319	Department of Transportation	High-Speed Rail Corridors and Intercity Passenger Rail Service - Capital Assistance Grants	4,215,223.61	66,948,863.56
20.509	Department of Transportation	Formula Grants for Other Than Urbanized Areas	-	23,215,239.28
		Total Transportation	**4,215,223.61**	**1,022,499,273.96**
		TOTAL ARRA DISBURSEMENTS	**$ 37,619,007.93**	**$ 40,611,648,682.59**

(*)On February 17, 2009, President Obama signed into law the American Recovery and Reinvestment Act of 2009 (ARRA). This Act contains provisions for direct Federal aid for fiscal relief consisting of increases in the Federal matching rate for eligible State Medicaid expenditures and funds provided through the Federal State Fiscal Stabilization Fund to restore proposed reductions in education, higher education, and to maintain essential government services.

APPENDIX D

STATE OF NEW YORK
STATEMENT OF CASH FLOW - PUBLIC GOODS POOL
FISCAL YEAR 2015-16

	2015 APRIL	2015-16
OPENING CASH BALANCE	**$ 270,482,263.50**	**$ 270,482,263.50**
RECEIPTS:		
Patient Services	236,684,474.53	236,684,474.53
Covered Lives	88,493,548.90	88,493,548.90
Provider Assessments	7,215,813.07	7,215,813.07
1% Assessments	26,002,734.00	26,002,734.00
DASNY- MOE/Recast receivables	-	-
Interest Income	11,700.05	11,700.05
Unassigned	(105,577.64)	(105,577.64)
Total Receipts	**358,302,692.91**	**358,302,692.91**
PROGRAM DISBURSEMENTS:		
Poison Control Centers	-	-
School Based Health Center Grants	-	-
ECRIP Distributions	-	-
Total Program Disbursements	**-**	**-**
Excess (Deficiency) of Receipts over Disbursements	**358,302,692.91**	**358,302,692.91**
OTHER FINANCING SOURCES (USES):		
Transfers From Other Pools:		
Medicaid Disproportionate Share	-	-
Health Facility Assessment Fund - Hospital Quality Contribution	3,597,886.00	3,597,886.00
Transfers From State Funds:		
HCRA Resources Fund	-	-
Total Other Financing Sources	**3,597,886.00**	**3,597,886.00**
Transfers To Other Pools:		
Medicaid Disproportionate Share	-	-
Health Facility Assessment Fund	-	-
Transfers To State Funds:		
HCRA Resources Fund	(217,747,606.40)	(217,747,606.40)
Indigent Care Fund (matched)	(88,301,339.20)	(88,301,339.20)
Indigent Care Fund (non-matched)	(3,489,535.13)	(3,489,535.13)
Total Other Financing Uses	**(309,538,480.73)**	**(309,538,480.73)**
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	**52,362,098.18**	**52,362,098.18**
CLOSING CASH BALANCE	**$ 322,844,361.68**	**$ 322,844,361.68**

Source: HCRA - Office of Pool Administration

APPENDIX E

STATE OF NEW YORK
STATEMENT OF CASH FLOW - MEDICAID DISPROPORTIONATE SHARE
FISCAL YEAR 2015-16

	2015 APRIL	2015-16
OPENING CASH BALANCE	**$ 575.10**	**$ 575.10**
RECEIPTS:		
Interest Income	431.24	431.24
Total Receipts	**431.24**	**431.24**
PROGRAM DISBURSEMENTS:		
Indigent Care	(62,866,255.94)	(62,866,255.94)
High Need Indigent Care	-	-
Other	(2,550,705.45)	(2,550,705.45)
Total Program Disbursements	**(65,416,961.39)**	**(65,416,961.39)**
Excess (Deficiency) of Receipts over Disbursements	**(65,416,530.15)**	**(65,416,530.15)**
OTHER FINANCING SOURCES (USES):		
Transfers From Other Pools:		
Public Goods Pool	-	-
Health Facility Assessment Fund	-	-
Transfers From State Funds:		
HCRA Resources Indigent Care - Matched	44,150,669.60	44,150,669.60
HCRA Resources Indigent Care - Unmatched	3,020,120.29	3,020,120.29
HCRA Resources Indigent Care - ATB	-	-
Federal DHHS Fund	44,150,669.60	44,150,669.60
Other	-	-
Total Other Financing Sources	**91,321,459.49**	**91,321,459.49**
Transfers To Other Pools:		
Public Goods Pool	-	-
Health Facility Assessment Fund	-	-
Transfers To State Funds:		
HCRA Resources Fund Indigent Care Acct	(575.10)	(575.10)
Total Other Financing Uses	**(575.10)**	**(575.10)**
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	**25,904,354.24**	**25,904,354.24**
CLOSING CASH BALANCE	**$ 25,904,929.34**	**$ 25,904,929.34**

Source: HCRA - Office of Pool Administration

STATE OF NEW YORK
SUMMARY OF OFF-BUDGET SPENDING REPORT
FISCAL YEAR 2015-2016
(Amounts in thousands)

APPENDIX F

	2015 APRIL	2015 MAY	2015 JUNE	2015 JULY	2015 AUGUST	2015 SEPTEMBER	2015 OCTOBER	2015 NOVEMBER	2015 DECEMBER	2016 JANUARY	2016 FEBRUARY	2016 MARCH	2015-2016 TOTAL
DORMITORY AUTHORITY:													
Education - All Other	$ -												$ -
Education - EXCEL	8,242												8,242
Department of Health - All Other	-												-
Community Enhancement Facilities Assistance Program (CEFAP)	175												175
Regional Development:													
Community Capital Assistance Program (CCAP)/RESTORE	414												414
Multi-modal	-												-
GenNYsis	-												-
CUNY Senior Colleges	26,448												26,448
CUNY Community Colleges	2,403												2,403
SUNY Dormitories	3,892												3,892
Upstate Community Colleges	4,831												4,831
Mental Health	7,792												7,792
Developmental Disabilities	1,634												1,634
Alcoholism and Substance Abuse	297												297
Brooklyn Court Officer Training Academy	21												21
TOTAL DORMITORY AUTHORITY	**56,149**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**56,149**
EMPIRE STATE DEVELOPMENT CORP:													
Regional Development:													
Centers of Excellence	-												-
Community Capital Assistance Program (CCAP)	-												-
Empire Opportunity	-												-
Community Enhancement Facilities Assistance Program (CEFAP)	-												-
State Facilities and Equipment	-												-
TOTAL EMPIRE STATE DEVELOPMENT CORP	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**
TOTAL OFF-BUDGET	**$ 56,149**	**$ -**	**$ -**	**$ -**	**$ -**	**$ -**	**$ -**	**$ -**	**$ -**	**$ -**	**$ -**	**$ -**	**$ 56,149**

The Division of the Budget (DOB) is responsible for organizing and presenting the above schedule of 'Off Budget Spending'. Such reported disbursements are drawn from unaudited financial data provided by public authorities. Although the Office of the State Comptroller (OSC) has no reason to believe this information to be unreliable, it is important to note that these program disbursements are financed with public authority bond proceeds deposited directly into public authority accounts and all disbursements are made without any oversight by the OSC. Therefore, and pursuant to the provisions of Chapter 60, §16, of the Laws of 2006; this schedule is provided for information only.

APPENDIX G

STATE OF NEW YORK
SCHEDULE OF MONTH-END TEMPORARY LOANS OUTSTANDING(*)

SFS Fund	ACCOUNT TITLE	January 31, 2015	February 28, 2015	March 31, 2015	Change	April 30, 2015	
	GENERAL FUND						
10050	STATE OPERATIONS AND LOCAL ASSISTANCE	$ -	$ -	$ -	$ -	$ -	(****)
	TOTAL GENERAL FUND	-	-	-	-	-	
	CAPITAL PROJECT AND BOND REIMBURSABLE FUNDS						
30051	HIGHWAY AND BRIDGE CAPITAL	772,110,743.63	621,929,028.82	134,187,645.50	51,504,298.38	185,691,943.88	(**)
30101	REHAB/REPAIR MARITIME	-	-	-	-	-	
30102	D21RVE- MARITIME	-	-	-	-	-	
30103	D36RVE- CENTRAL ADMIN	-	-	-	-	-	
30104	RESIDENCE HALL CAMPUS LET BOND PROCEEDS	2,546,895.74	2,053,378.16	5,606,974.64	58,782.27	5,665,756.91	
30105	REHAB/REPAIR ALBANY	-	-	-	-	-	
30106	D01RVE- ALBANY	-	-	-	-	-	
30107	REHAB/REPAIR BINGHAMTON	-	-	-	-	-	
30108	D07RVE- BINGHAMTON	-	-	-	-	-	
30109	REHAB/REPAIR BUFFALO UNIVERSITY	-	-	-	-	-	
30110	D28RVE- SUNY BUFFALO	-	-	-	-	-	
30111	REHAB/REPAIR STONYBROOK	-	-	-	-	-	
30112	D13RVE- STONYBROOK	-	-	-	-	-	
30113	REHAB/REPAIR BROOKLYN	-	-	-	-	-	
30114	D14RVE - HSC BROOKLYN	-	-	-	-	-	
30115	REHAB/REPAIR SYRACUSE	-	-	-	-	-	
30116	D15RVE- HSC SYRACUSE	-	-	-	-	-	
30117	REHAB/REPAIR BROCKPORT	-	-	-	-	-	
30118	D02RVE- BROCKPORT	-	-	-	-	-	
30119	REHAB/REPAIR BUFFALO COLLEGE	-	-	-	-	-	
30120	D03RVE -SUB BUFFALO	-	-	-	-	-	
30121	REHAB/REPAIR CORTLAND	-	-	-	-	-	
30122	D04RVE- CORTLAND	-	-	-	-	-	
30123	REHAB/REPAIR FREDONIA	-	-	-	-	-	
30124	D05RVE- FREDONIA	-	-	-	-	-	
30125	REHAB/REPAIR GENESEO	-	-	-	-	-	
30126	D06RVE- GENESEO	-	-	-	-	-	
30127	REHAB/REPAIR OLD WESTBURY	-	-	-	-	-	
30128	D31RVE- OLD WESTBURY	-	-	-	-	-	
30129	REHAB/REPAIR NEW PALTZ	-	-	-	-	-	
30130	D08RVE- NEW PALTZ	-	-	-	-	-	
30131	REHAB/REPAIR ONEONTA	-	-	-	-	-	
30132	D09RVE- ONEONTA	-	-	-	-	-	
30133	REHAB/REPAIR OSWEGO	-	-	-	-	-	
30134	D10RVE- OSWEGO	-	-	-	-	-	
30135	REHAB/REPAIR PLATTSBURGH	-	-	-	-	-	
30136	D11RVE- PLATTSBURGH	-	-	-	-	-	
30137	REHAB/REPAIR POTSDAM	-	-	-	-	-	
30138	D12RVE- POTSDAM	-	-	-	-	-	
30139	REHAB/REPAIR PURCHASE	-	-	-	-	-	
30140	D29RVE- PURCHASE	-	-	-	-	-	
30141	REHAB/REPAIR FOR UTICA/ROME	-	-	-	-	-	
30142	D27RVE- CAMPUS RESERVE	-	-	-	-	-	
30143	REHAB/REPAIR ALFRED	-	-	-	-	-	
30144	D22RVE- ALFRED	-	-	-	-	-	
30145	REHAB/REPAIR CANTON	-	-	-	-	-	
30146	D23RVE- CANTON	-	-	-	-	-	
30147	REHAB/REPAIR COBLESKILL	-	-	-	-	-	
30148	D24RVE- COBLESKILL	-	-	-	-	-	
30149	REHAB/REPAIR DELHI	-	-	-	-	-	
30150	D25RVE- DELHI	-	-	-	-	-	
30151	REHAB/REPAIR FARMINGDALE	-	-	-	-	-	
30152	D26RVE- FARMINGDALE	-	-	-	-	-	
30153	REHAB/REPAIR MORRISVILLE	-	-	-	-	-	
30154	D27RVE- MORRISVILLE	-	-	-	-	-	
30351	STATE PARK INFRASTRUCTURE	124,696,870.29	125,238,266.43	87,063,301.41	5,820,779.69	92,884,081.10	
30501	CW/CA IMPLEMENTATION DEC	169.29	169.29	-	-	-	
30502	CW/CA IMPLEMENTATION STATE	-	-	-	-	-	
30503	CW/CA IMPLEMENTATION ERDA	-	-	-	-	-	
30504	CW/CA IMPLEMENTATION EFC	-	-	-	-	-	
31506	HAZARDOUS WASTE CLEAN UP	117,813,502.91	121,941,572.00	132,568,502.13	2,112,070.88	134,680,573.01	
31701	YOUTH FACILITIES IMPROVEMENT	5,483,454.51	7,629,214.80	7,598,131.01	1,157,941.91	8,756,072.92	
31801	HOUSING ASSISTANCE	13,972,846.05	13,972,846.05	13,972,846.05	-	13,972,846.05	
31851	HOUSING PROG FD-HSG TR FD CORP	-	-	12,733,101.42	-	12,733,101.42	
31852	HOUSING PROG FD AFFORD HSG CORP	26,463,332.34	26,463,332.34	32,666,509.62	-	32,666,509.62	
31853	HOUSING PROG FD-DEPT OF SOCIAL SERVICES	80,136,895.92	80,191,044.30	84,707,492.44	18,436.52	84,725,928.96	
31854	HOUSING PROG FD-HFA	-	-	-	-	-	
31951	HIGHWAY FAC PURPOSE	12,576,689.79	12,321,077.70	12,559,100.55	56,851.62	12,615,952.17	
32213	NY RACING ACCOUNT	923,750.00	923,750.00	1,223,750.00	31,250.00	1,255,000.00	

APPENDIX G

STATE OF NEW YORK
SCHEDULE OF MONTH-END TEMPORARY LOANS OUTSTANDING(*)

SFS Fund	ACCOUNT TITLE	January 31, 2015	February 28, 2015	March 31, 2015	Change	April 30, 2015	
32301	OPWDD-STATE FACILITIES PRE 12/99	-	-	-	-	-	
32302	DSAS-COMMUINTY FACILITIES	-	-	-	-	-	
32303	OMH-COMMUNITY FACILITIES	108,806,142.56	110,874,715.43	115,467,374.33	3,592,711.02	119,060,085.35	
32304	OASAS-COMMUNITY FACILITIES	-	-	-	-	-	
32305	OPWDD-COMMUNITY FACILITIES	173,860,343.69	175,347,705.95	173,878,404.40	(353,892.58)	173,524,511.82	
32306	DASNY - OMH ADMIN	32,075,824.47	32,752,790.97	32,768,915.23	(3,012,658.96)	29,756,256.27	
32307	DASNY - OPWDD ADMIN	5,768,126.31	6,791,126.31	6,791,126.31	(4,018,414.69)	2,772,711.62	
32308	DASNY - OASAS ADMIN	578,545.69	578,545.69	578,545.69	(413,269.66)	165,276.03	
32309	OMH -STATE FACILITIES	111,689,855.78	115,854,028.92	90,746,842.94	3,866,250.15	94,613,093.09	
32310	OPWDD -STATE FACILITIES	-	-	-	-	-	
32311	OASAS -STATE FACILITIES	1,400,114.15	1,644,806.78	1,729,169.40	68,628.62	1,797,798.02	
32351	CORR. FACILITIES CAPITAL IMPROVEMENT	-	-	-	-	-	
32352	DOCS-REHABILITATION PROJECTS	50,669,253.38	72,088,609.38	39,009,180.72	12,437,234.20	51,446,414.92	
33001	STORM RECOVERY ACCOUNT	1,126.05	1,126.05	43,372,491.81	-	43,372,491.81	
	TOTAL CAPITAL AND BOND REIMBURSABLE FUNDS	**1,641,574,482.55**	**1,528,597,135.37**	**1,029,229,405.60**	**72,926,999.37**	**1,102,156,404.97**	
	STATE SPECIAL REVENUE FUNDS						
20451	TUITION REIMBURSEMENT FUND	-	-	-	-	-	
20452	VOCATIONAL SCHOOL SUPERVISION	-	-	-	-	-	
20501	LOCAL GOVERNMENT RECORDS MGMT	-	-	-	-	-	
20810	CHILD HEALTH INSURANCE	109,936,930.08	263,221.86	-	16,644,204.18	16,644,204.18	
20812	HOSPITAL BASED GRANTS PROGRAM	-	-	-	-	-	(*****)
20818	EPIC PREMIUM ACCOUNT	-	8,298,779.82	-	-	-	
20901	LOTTERY-EDUCATION	735,221,049.20	583,824,250.52	-	-	-	
20904	VLT EDUCATION	-	10,840,980.76	-	-	-	
21001	ENVIR FAC CORP ADM ACCT	-	-	-	-	-	
21002	ENCON ADMIN ACCT	4,787,900.14	4,958,553.98	765,793.87	(765,793.87)	-	
21061	HAZARDOUS BULK STORAGE	-	-	-	-	-	
21065	FEDERAL GRANTS INDIRECT COST RECOVERY ACCOUNT	1,800,581.17	2,543,249.06	-	-	-	
21066	ENCON-LOW LEVEL RADIOACTIVE WASTE SITING	4,458,449.72	4,685,410.72	3,987,774.28	136,732.32	4,124,506.60	
21067	ENCON-RECREATION	12,241,140.86	10,314,790.14	9,998,179.66	665,323.28	10,663,502.94	
21077	PUBLIC SAFETY RECOVERY ACCOUNT	-	-	13,976.59	(13,470.00)	506.59	
21080	ENCON CONSERVATIONIST MAGAZINE ACCT	-	-	-	-	-	(*****)
21081	ENVIRONMENTAL REGULATORY	26,783,443.93	27,801,669.61	28,848,817.48	(383,202.52)	28,465,614.96	
21082	NATURAL RESOURCES ACCOUNT	20,315,487.20	20,417,064.47	19,664,316.02	(369,424.52)	19,294,891.50	
21084	MINED LAND RECLAMATION ACCT	-	-	-	-	-	
21087	GREAT LAKES RESTORATION INITIATIVE	-	-	-	-	-	
21201	AUDIT AND CONTROL OIL SPILL	738,596.42	865,171.28	-	41,791.91	41,791.91	
21202	HEALTH DEPT OIL SPILL	239,260.85	278,169.53	-	35,382.25	35,382.25	
21203	DEPT OF ENVIRONMENTAL CONSERVATION OIL SPILL	14,123,569.83	14,955,751.12	-	999,524.65	999,524.65	
21204	OIL SPILL COMPENSATION	-	-	-	-	-	
21205	LICENSE FEE SURCHARGES	-	-	-	-	-	
21401	PUBLIC TRANSPORTATION SYSTEMS	873,725.01	23,964,639.16	9,211,336.79	(4,701,203.18)	4,510,133.61	
21402	METROPOLITAN MASS TRANSPORTATION	406,882,898.40	313,056,355.14	-	-	-	
21451	OPERATING PERMIT PROGRAM	21,629,236.66	22,175,969.46	19,996,630.06	430,445.21	20,427,075.27	
21452	MOBILE SOURCE	-	1,373,281.96	257,033.82	(257,033.82)	-	
21902	HEALTH-SPARC'S	-	-	-	-	-	
21903	OPWDD PROVIDER OF SERVICE	78,088,885.32	79,815,000.57	-	321,786.22	321,786.22	
21907	MENTAL HYGIENE PROGRAM	-	-	-	38,966,818.47	38,966,818.47	
21909	MENTAL HYGIENE PATIENT INCOME ACCOUNT	414,468,122.33	419,724,028.04	-	-	-	
21911	FINANCIAL CONTROL BOARD	187,462.10	344,324.03	633,149.22	(365,640.50)	267,508.72	
21912	RACING REGULATION ACCOUNT	5,395,156.50	5,137,243.47	6,196,080.16	(432,761.49)	5,763,318.67	
21913	NY METROPOLITAN TRANSPORTATION COUNCIL	14,749,590.14	16,133,410.35	14,599,802.84	(1,369,056.12)	13,230,746.72	
21919	CYBER SECURITY UPGRADE	-	-	-	-	-	(*****)
21937	SU DORM INCOME REIMBURSE	1,012,260.48	528,556.79	-	2,689,227.33	2,689,227.33	
21943	ENERGY RESEARCH ACCOUNT	12,906,666.93	16,714,166.93	4,291,667.41	3,807,500.00	8,099,167.41	
21945	CRIMINAL JUSTICE IMPROVEMENT	-	-	-	-	-	
21950	FINGERPRINT IDENTIFICATION AND TECH ACCOUNT	-	-	-	-	-	
21959	ENV LAB REF FEE	-	-	-	-	-	
21962	CLINICAL LAB FEE	15,419,892.95	16,443,304.35	14,435,273.46	840,833.47	15,276,106.93	
21964	PUBLIC EMP REL BOARD	-	-	-	-	-	(*****)
21978	INDIRECT COST RECOVERY	1,232,765.55	2,304,382.35	-	-	-	
21979	HIGH SCHOOL EQUIVALENCY PROGRAM	-	-	-	-	-	
21989	MULTI - AGENCY TRAINING ACCOUNT	685,982.76	954,653.51	-	-	-	
22003	BELL JAR COLLECTION ACCOUNT	72,547.03	-	-	-	-	
22004	INDUSTRY AND UTILITY SERVICE	-	-	-	-	-	
22006	REAL PROPERTY DISPOSITION	375,060.49	436,051.09	473,012.44	34,497.03	507,509.47	
22007	PARKING ACCOUNT	-	358,912.43	802,020.44	(30,179.24)	771,841.20	
22009	ASBESTOS SAFETY TRAINING	11,985.54	116,990.84	107,024.05	(37,687.52)	69,336.53	
22032	BATAVIA SCHOOL FOR THE BLIND	12,417,709.90	11,491,219.00	7,255,414.17	613,125.20	7,868,539.37	
22034	INVESTMENT SERVICES	-	-	-	-	-	
22036	SURPLUS PROPERTY ACCOUNT	-	-	-	-	-	
22039	FINANCIAL OVERSIGHT	180,040.78	692,951.55	858,397.95	(685,608.18)	172,789.77	
22046	REGULATION INDIAN GAMING	60,746,802.67	61,359,024.28	62,978,418.26	636,566.71	63,614,984.97	

APPENDIX G

STATE OF NEW YORK
SCHEDULE OF MONTH-END TEMPORARY LOANS OUTSTANDING(*)

SFS Fund	ACCOUNT TITLE	January 31, 2015	February 28, 2015	March 31, 2015	Change	April 30, 2015	
22053	ROME SCHOOL FOR THE DEAF	5,850,047.32	6,164,789.21	2,661,946.80	(630,244.28)	2,031,702.52	
22054	DSP-SEIZED ASSETS	763,834.82	1,358,968.30	-	-	-	
22055	ADMINISTRATIVE ADJUDICATION	4,974,816.17	10,284,161.74	12,778,011.28	1,993,730.59	14,771,741.87	
22056	FEDERAL SALARY SHARING	626,930.92	732,750.13	-	43,908.28	43,908.28	
22062	NYC ASSESSMENT ACCT	-	-	-	-	-	
22063	CULTURAL EDUCATION ACCOUNT	3,035,701.48	4,377,799.36	4,434,911.31	(818,348.41)	3,616,562.90	
22078	LOCAL SERVICE ACCOUNT	-	-	-	-	-	
22085	DHCR MORTGAGE SERVICES	3,926,377.71	4,462,903.53	3,682,440.27	389,492.01	4,071,932.28	
22087	DMV-COMPULSORY INS PRGM	-	-	-	-	-	
22090	HOUSING INDIRECT COST RECOVERY	5,558,922.34	5,778,014.40	5,475,530.55	(3,812,153.47)	1,663,377.08	
22094	ACCIDENT PREVENTION COURSE PROGRAM	-	-	-	-	-	
22100	DHCR-HOUSING CREDIT AGENCY APPLY FEE	-	-	-	-	-	
22130	LOW INCOME HOUSING CREDIT MONITORING	-	-	-	-	-	
22135	EFC-CORPORATION ADMINISTRATION	-	-	-	-	-	
22144	MONTROSE VETERAN'S HOME	-	-	-	-	-	
22151	DEFERRED COMPENSATION ADMIN	10,751.76	29,911.62	56,213.58	19,055.41	75,268.99	
22156	RENT REVENUE OTHER - NYC	8,339,425.38	13,269,846.64	4,614,974.29	(4,614,974.29)	-	
22158	RENT REVENUE	395,183.25	486,313.55	610,143.50	30,886.20	641,029.70	
22168	TAX REVENUE ARREARAGE ACCOUNT	-	-	-	-	-	
22654	S.U. NON-RESIDENT REV. OFFSET	27,710,272.02	27,713,192.25	19,397,721.97	2,842.01	19,400,563.98	
22802	STATE POLICE MV ENFORCE	-	-	-	-	-	
23001	DOT - HIGHWAY SAFETY PRGM	6,560,997.05	6,493,641.94	6,416,277.37	254,699.26	6,670,976.63	
23101	EFC DRINKING WATER PROGRAM	-	-	-	-	-	
23102	DOH DRINKING WATER PROGRAM	9,087,579.08	9,272,870.12	5,515,806.64	672,860.68	6,188,667.32	
23151	NYCCC OPERATING OFFSET	42,975,485.29	47,463,778.38	50,130,112.03	(27,590,196.26)	22,539,915.77	
23701	COMMERCIAL GAMING REVENUE	-	-	-	-	-	
23702	COMMERCIAL GAMING REGULATION	6,282,173.28	6,577,481.79	1,992,511.42	105,085.62	2,097,597.04	
	TOTAL STATE SPECIAL REVENUE FUNDS	**2,104,081,698.81**	**1,827,637,951.13**	**323,140,719.98**	**23,499,340.62**	**346,640,060.60**	
	FEDERAL FUNDS						
25000-25099	FEDERAL USDA/FOOD AND NUTRITION SERVICES FUND	26,522,574.50	37,184,698.32	5,541,178.59	38,850,012.31	44,391,190.90	
25100-25199	FEDERAL HEALTH AND HUMAN SERVICES FUND	275,005,783.24	245,599,603.79	21,945,618.98	931,848,804.81	953,794,423.79	
25200-25249	FEDERAL EDUCATION GRANTS FUND	14,710,157.95	36,202,417.67	1,155,454.60	16,419,572.50	17,575,027.10	
25300-25899	FEDERAL OPERATING GRANTS FUND	315,968,830.23	299,610,853.49	256,916,852.47	119,358,795.83	376,275,648.30	
31351	MILITARY AND NAVAL AFFAIRS	6,834,152.75	6,834,152.75	6,834,152.75	-	6,834,152.75	
31354	DEPARTMENT OF TRANSPORTATION	158,092,473.40	362,052,141.98	352,640,639.57	(2,694,401.32)	349,946,238.25	(**)
31350-31449	FEDERAL CAPITAL PROJECTS FUND (ALL OTHER)	26,583,386.07	15,109,003.43	33,538,184.52	2,533,402.54	36,071,587.06	
25900-25949	UNEMPLOYMENT INSURANCE ADMINISTRATION	10,827,318.73	1,243,510.76	35,965,117.75	(34,733,021.25)	1,232,096.50	
25950	FEDERAL UNEMPLOYMENT INS OCCUPATIONAL TRAINING	-	-	-	-	-	
26001-26049	DOL EMPLOYMENT AND TRAINING GRANTS	919,718.62	686,183.49	4,201,899.00	(2,920,797.74)	1,281,101.26	
	TOTAL FEDERAL FUNDS	**835,464,395.49**	**1,004,522,565.68**	**718,739,098.23**	**1,068,662,367.68**	**1,787,401,465.91**	(***)
	AGENCY FUNDS						
60201	EMPLOYEES HEALTH INSURANCE ACCT	-	-	-	-	-	
60901	MMIS - STATE AND FEDERAL	-	-	-	-	-	
	TOTAL AGENCY FUNDS	**-**	**-**	**-**	**-**	**-**	
	ENTERPRISE FUND						
50318	OGS CONVENTION CENTER ACCOUNT	-	-	-	-	-	
	TOTAL ENTERPRISE FUND	**-**	**-**	**-**	**-**	**-**	
	INTERNAL SERVICE FUNDS						
55001	CENTRALIZED SERVICES-FLEET MGMT	82,423.83	105,321.78	35,832.49	(35,832.49)	-	
55002	CENTRALIZED SERVICES-DATA PROCESSING	-	-	-	-	-	
55003	CENTRALIZED SERVICES-PRINTING	3,175,033.86	3,532,041.72	3,546,536.60	(65,929.66)	3,480,606.94	
55004	CENTRALIZED SERVICES-REAL PROPERTY-LABOR	50,036.21	223,850.10	163,462.87	33,072.89	196,535.76	
55005	CENTRALIZED SERVICES-DONATED FOODS	-	-	334,857.97	295,270.65	630,128.62	
55006	CENTRALIZED SERVICES-PERSONAL PROPERTY	-	-	-	-	-	
55007	CENTRALIZED SERVICES-CONSTRUCTION SERVICES	3,852,333.93	3,704,283.94	1,403,544.35	129,156.85	1,532,701.20	
55008	CENTRALIZED SERVICES-PASNY	29,950,703.19	21,665,536.27	24,591,180.84	6,598,540.72	31,189,721.56	
55009	CENTRALIZED SERVICES-ADMIN SUPPORT	-	-	-	-	-	
55010	CENTRALIZED SERVICES-DESIGN AND CONSTR	8,964,146.99	7,052,312.50	4,054,793.55	550,539.62	4,605,333.17	
55011	CENTRALIZED SERVICES-INSURANCE	1,699,478.05	1,537,786.06	1,826,408.81	233,970.36	2,060,379.17	
55012	CENTRALIZED SERVICES-SECURITY CARD ACCESS	-	-	-	33,852.14	33,852.14	
55013	CENTRALIZED SERVICES-COP'S	-	-	-	-	-	
55014	CENTRALIZED SERVICES-FOOD SERVICES	-	-	-	-	-	
55015	CENTRALIZED SERVICES-HOMER FOLKS	-	-	-	-	-	
55016	CENTRALIZED SERVICES-IMMICS	26,961.54	26,961.54	26,961.54	-	26,961.54	
55017	DOWNSTATE WAREHOUSE	431,748.93	533,302.44	241,987.19	(175,056.51)	66,930.68	
55018	BUILDING ADMINISTRATION	-	-	-	-	-	
55019	LEASE SPACE INITIATIVE	-	-	-	-	-	
55020	OGS ENTERPRISE CONTRACTING ACCT	77,590,857.42	91,057,763.39	70,576,060.87	4,262,757.46	74,838,818.33	
55021	NYS MEDIA CENTER	2,458,633.80	3,054,358.18	2,519,465.67	181,491.65	2,700,957.32	

APPENDIX G

STATE OF NEW YORK
SCHEDULE OF MONTH-END TEMPORARY LOANS OUTSTANDING(*)

SFS Fund	ACCOUNT TITLE	January 31, 2015	February 28, 2015	March 31, 2015	Change	April 30, 2015	
55022	BUSINESS SERVICES CENTER	2,426,446.58	1,572,623.53	-	142,068.34	142,068.34	
55052	ARCHIVES RECORD MGMT I.S.	-	-	-	-	-	
55053	FEDERAL SINGLE AUDIT	-	-	-	-	-	
55055	CIVIL SERVICE LAW:SEC. 11 ADMIN	-	-	-	-	-	
55056	CIVIL SERVICE EHS OCCUP HEALTH PROG	-	-	-	-	-	
55057	BANKING SERVICES ACCOUNT	153,614.52	80,562.65	-	132,522.39	132,522.39	
55058	CULTURAL RESOURCE SURVEY	1,663,317.23	1,867,524.03	3,000,098.62	(588,370.13)	2,411,728.49	
55059	NEIGHBOR WORK PROJECT	10,229,696.36	10,509,218.42	9,754,666.04	632,387.48	10,387,053.52	
55060	AUTOMATIC/PRINT CHARGBACKS	-	-	-	-	-	
55061	OFT NYT ACCT	10,100,301.06	9,714,139.30	4,517,172.48	301,486.42	4,818,658.90	
55062	DATA CENTER ACCOUNT	52,245,038.83	50,025,841.92	44,030,235.33	118.92	44,030,354.25	
55063	HUMAN SVCE TELECOM ACCT	-	-	-	-	-	(*****)
55066	CYBER SECURITY INTRUSION ACCT	1,593,504.88	1,548,430.48	1,481,019.57	-	1,481,019.57	
55067	DOMESTIC VIOLENCE GRANT	192,255.60	194,485.37	195,896.10	46,855.88	242,751.98	
55069	CENTRALIZED TECHNOLOGY SERVICES	44,662,868.06	49,376,016.47	31,749,031.69	12,006,859.47	43,755,891.16	
55071	LABOR CONTACT CENTER ACCT	373,385.45	601,108.77	231,191.57	115,501.30	346,692.87	
55072	HUMAN SERVICES CONTACT CNTR ACCT	472,478.65	121,292.64	-	-	-	
55073	TAX CONTACT CENTER ACCT	-	-	-	-	-	
55201	JOINT LABOR MANAGEMENT ADMIN	-	-	-	-	-	(*****)
55251	EXECUTIVE DIRECTION INTERNAL AUDIT	3,752,849.66	4,244,359.61	2,288,456.53	179,967.20	2,468,423.73	
55252	CIO INFORMATION TECHNOLOGY CENTRALIZED SERVICES	-	-	-	-	-	
55300	HEALTH INSURANCE INTERNAL SERVICE	12,164,134.99	12,216,558.95	7,956,090.46	490,883.46	8,446,973.92	
55301	CIVIL SERVICE EMPLOYEE BENEFITS DIV ADM	2,653,000.58	2,981,470.80	3,672,418.48	115,173.19	3,787,591.67	
55350	CORR INDUSTRIES INTERNAL SERVICE	25,160,763.62	28,639,814.79	15,457,569.75	962,538.54	16,420,108.29	
	TOTAL INTERNAL SERVICE FUNDS	**296,126,013.82**	**306,186,965.65**	**233,654,939.37**	**26,579,826.14**	**260,234,765.51**	
	GRAND TOTAL - TEMPORARY LOANS OUTSTANDING	**$ 4,877,246,590.67**	**$ 4,666,944,617.83**	**$ 2,304,764,163.18**	**$ 1,191,668,533.81**	**$ 3,496,432,696.99**	

(*) Temporary Loans are authorized pursuant to Subdivision 5 of Section 4 of the State Finance Law and Chapter 60, Part I, Section 1 and 1A, of the Laws of 2015-16. The loans represent authorizations made by the Legislature to allow certain funds/accounts to make appropriated payments regardless of the fund (cash) balance. Such loans are made from the State's Short-Term Investment Pool (STIP) and are intended to satisfy temporary cash shortfalls whenever scheduled disbursements exceed available revenues during the fiscal year. Generally, temporary loans are repaid from the first cash receipts of the fund or account; however, in some cases actual revenues are not sufficient to repay all loans made to the fund or account and a transfer from the General Fund "Repayment of Receivables" appropriation is approved by the Budget Director. The balances reported here in Appendix G are the actual fund balances as of the close of business on the last day of the reporting month and do not include post-closing adjustments. Please refer to Schedule 1 for a detailed analysis of the 'reported' cash balances of the fund group.

(**) A total of $16.3 million was transferred to the Highway and Bridge Capital Fund (30051) in March 2015. This was the final transfer of costs that were previously disallowed by the Federal Highway Administration.

(***) Except for DOT-Highways see note (**), temporary loans to federal funds are typically reimbursed within 2-3 days. Such loans are made pursuant to federal regulations which require the State to disburse funds prior to making a reimbursement claim from the U.S. Treasury.

(****) Per Section 72 of the State Finance Law, the General Fund includes the Local Assistance Fund (10000) and State Purpose Fund (10050).

(*****) Temporary Loan authorized pursuant to Subdivision 5 of Section 4 of the State Finance Law and Chapter 55, Part I, Section 1 and 1A, of the Laws of 2014-15.