
NEW YORK STATE REGISTER

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State agencies must specify in each notice which proposes a rule the last date on which they will accept public comment. Agencies must always accept public comment: for a minimum of 60 days following publication in the *Register* of a Notice of Proposed Rule Making, or a Notice of Emergency Adoption and Proposed Rule Making; and for 45 days after publication of a Notice of Revised Rule Making, or a Notice of Emergency Adoption and Revised Rule Making in the *Register*. When a public hearing is required by statute, the hearing cannot be held until 60 days after publication of the notice, and comments must be accepted for at least 5 days after the last required hearing. When the public comment period ends on a Saturday, Sunday or legal holiday, agencies must accept comment through the close of business on the next succeeding workday.

For notices published in this issue:

- the 60-day period expires on January 24, 2021
- the 45-day period expires on January 9, 2021
- the 30-day period expires on December 5, 2020

**ANDREW M. CUOMO
GOVERNOR**

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SECRETARY OF STATE**

NEW YORK STATE DEPARTMENT OF STATE

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NEW YORK STATE REGISTER

Be a part of the rule making process!

The public is encouraged to comment on any of the proposed rules appearing in this issue. Comments must be made in writing and must be submitted to the agency that is proposing the rule. Address your comments to the agency representative whose name and address are printed in the notice of rule making. No special form is required; a handwritten letter will do. Individuals who access the online *Register* (www.dos.ny.gov) may send public comment via electronic mail to those recipients who provide an e-mail address in Notices of Proposed Rule Making. This includes Proposed, Emergency Proposed, Revised Proposed and Emergency Revised Proposed rule makings.

To be considered, comments should reach the agency before expiration of the public comment period. The law provides for a minimum 60-day public comment period after publication in the *Register* of every Notice of Proposed Rule Making, and a 45-day public comment period for every Notice of Revised Rule Making. If a public hearing is required by statute, public comments are accepted for at least five days after the last such hearing. Agencies are also required to specify in each notice the last date on which they will accept public comment.

When a time frame calculation ends on a Saturday or Sunday, the agency accepts public comment through the following Monday; when calculation ends on a holiday, public comment will be accepted through the following workday. Agencies cannot take action to adopt until the day after expiration of the public comment period.

The Administrative Regulations Review Commission (ARRC) reviews newly proposed regulations to examine issues of compliance with legislative intent, impact on the economy, and impact on affected parties. In addition to sending comments or recommendations to the agency, please do not hesitate to transmit your views to ARRC:

Administrative Regulations Review Commission
State Capitol
Albany, NY 12247
Telephone: (518) 455-5091 or 455-2731

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Telephone: (518) 474-6957

KEY: (P) Proposal; (RP) Revised Proposal; (E) Emergency; (EP) Emergency and Proposal; (A) Adoption; (AA) Amended Adoption; (W) Withdrawal

Individuals may send public comment via electronic mail to those recipients who provided an e-mail address in Notices of Proposed Rule Making. This includes Proposed, Emergency Proposed, Revised Proposed and Emergency Revised Proposed rule makings. Choose pertinent issue of the *Register* and follow the procedures on the website (www.dos.ny.gov)

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RULE MAKING ACTIVITIES

Each rule making is identified by an I.D. No., which consists of 13 characters. For example, the I.D. No. AAM-01-96-00001-E indicates the following:

AAM -the abbreviation to identify the adopting agency
01 -the *State Register* issue number
96 -the year
00001 -the Department of State number, assigned upon receipt of notice.
E -Emergency Rule Making—permanent action not intended (This character could also be: A for Adoption; P for Proposed Rule Making; RP for Revised Rule Making; EP for a combined Emergency and Proposed Rule Making; EA for an Emergency Rule Making that is permanent and does not expire 90 days after filing.)

Italics contained in text denote new material. Brackets indicate material to be deleted.

Department of Environmental Conservation

NOTICE OF ADOPTION

Amendments to New York State Mink, Muskrat, and Beaver Trapping Season Dates

I.D. No. ENV-22-20-00004-A

Filing No. 716

Filing Date: 2020-11-04

Effective Date: 2020-11-25

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following action:

Action taken: Amendment of sections 6.1 and 6.2 of Title 6 NYCRR.

Statutory authority: Environmental Conservation Law, sections 11-0301, 11-0303, 11-1101 and 11-1103

Subject: Amendments to New York State mink, muskrat, and beaver trapping season dates.

Purpose: To align existing mink, muskrat and beaver trapping season start dates and adjust the seasons to trapper-preferred dates.

Text or summary was published in the June 3, 2020 issue of the Register, I.D. No. ENV-22-20-00004-P.

Final rule as compared with last published rule: No changes.

Revised rule making(s) were previously published in the State Register on June 3, 2020.

Text of rule and any required statements and analyses may be obtained from: Amanda Bailey, New York State Department of Environmental Conservation, 625 Broadway, Albany, NY 12233, (518) 402-8859, email: Amanda.Bailey@dec.ny.gov

Revised Regulatory Impact Statement, Regulatory Flexibility Analysis, Rural Area Flexibility Analysis and Job Impact Statement

The original Regulatory Impact Statement, Regulatory Flexibility Analysis, Rural Area Flexibility Analysis and Job Impact Statement, as published in the Notice of Proposed Rule Making, remain valid and do not need to be amended.

Initial Review of Rule

As a rule that requires a RFA, RAFA or JIS, this rule will be initially reviewed in the calendar year 2023, which is no later than the 3rd year after the year in which this rule is being adopted.

Assessment of Public Comment

The Department received 50 written comments from individuals and two organizations pertaining to this proposed rule. We reviewed the substance of each comment and organized our responses by topic:

1. Changes to Part 6.1: beaver trapping in New York

Comment:

Beaver season in the Northern Zone should end on April 15th instead of April 7th as proposed.

Response:

The Department considered a beaver season end date of April 15th in the Northern Zone. However, it was not included in this proposal because it would also impact otter trapping season in the Northern Zone. Impacts of changes to otter season dates are currently being analyzed as part of the development of the Department's River Otter Management Plan. Any proposed changes to the beaver season end date in the Northern Zone would occur within the context of that plan, which is expected to be released for public review in the coming months.

Comment:

More liberal beaver trapping season lengths in portions of New York may lead to potential conflicts with upland and waterfowl hunters and their dogs.

Response:

The Department considered this issue and does not believe the change will lead to conflicts with hunters. The expansion of the season falls during the season closure for ducks. Moving the beaver season start date from November 25th to November 10th will not overlap with these seasons. There will be some overlap with goose seasons in portions of central and western New York, but the overlap is the same as portions of DEC Regions 3, 4 and 7 (central and eastern New York), which have had a beaver season start date of November 10th for several years. We are not aware of conflicts in those areas and do not expect any conflicts in these areas with the proposed changes.

The season does overlap with upland bird hunting seasons, but all trappers need to follow strict regulations to reduce the chances for conflict with other users and dogs. There are strict trap size restrictions that all trappers must adhere to when trapping on land. Body-gripping traps larger than 7½ inches can only be set in water during an open beaver or otter season and foothold traps between 5¾ inches and 7¼ inches must be set under water.

Comment:

Increased trapping opportunities will not reduce beaver damage. 'Beaver deceivers' and other non-lethal techniques should be used to reduce human-beaver conflicts.

Response:

While the Department agrees that the use of non-lethal water control devices can mitigate beaver damage in some areas, this approach is not always feasible. These devices work well for preventing beavers from plugging culverts, but the designs do not work in every situation and require consistent maintenance, which is not always possible in remote areas. In addition, water control devices do not prevent beavers from directly damaging property (e.g., destroying trees). In situations where non-lethal techniques alone cannot prevent the damage caused by beavers, trapping is an important management tool.

Comment:

Beavers are important for maintaining wetlands and creating habitat.

Response:

It is the Department's mission to balance the benefits that beavers provide to both people and wildlife with the negative impacts they may have. While beaver colonization does benefit some species, it negatively impacts others (e.g., brook trout and salamanders that depend on cold and/or flowing water). In addition, forest stands can be destroyed by the flooding associated with beaver impoundments.

In addition to impacts on the natural ecosystem, an overabundant beaver population can create safety concerns when roads are flooded and can damage property by cutting down trees or flooding agricultural areas and residences. Careful management of the population through a regulated trapping season has proven to be the most effective tactic to best balance the needs of different species and reduce human safety and property damage concerns.

2. Changes to Part 6.2: mink & muskrat season dates, central and western NY

Comment:

Trapping season dates for mink, muskrat, and beaver in central New York (DEC Region 7) should be concurrent (November 10th – April 7th).

Response:

The Department considered this potential option but decided against it at this time. Extending the season until April 7th would add an additional 52 days to the season from the current proposal. There are currently concerns that muskrat populations are declining throughout much of North America, including New York. While this decline is not believed to be related to trapping mortality, wildlife managers need to better understand population-level impacts of these declines prior to such an expansion of the season.

Comment:

The proposed November 10th start date for mink and muskrat is too early in western New York because fur is not yet prime.

Response:

The Department recognizes that fur quality is very important to trappers. However, a majority of trappers surveyed in 2017 said that they would prefer a start date of November 10th in western New York. A November 10 start date benefits trappers in general, and youth and new trappers in particular, by increasing opportunity and access before wetlands and other aquatic habitats freeze over.

Comment:

A season start date of November 10th will lead to conflicts with waterfowl hunters and deer hunters.

Response:

The proposed start date of November 10th is unlikely to negatively impact other users. The Southern Zone regular deer season starts between November 15th and November 21st, depending on the year. The proposed start date will give trappers between 5-11 days of additional opportunity prior to the start of the regular deer season. In contrast, the current start date of November 25th always overlaps with the regular deer season.

Additionally, the proposed start date should provide additional trapping opportunity outside of duck season. For example, the 2020-21 duck season in western New York runs from October 17th – November 8th, and the second split runs from November 28th to January 3rd. A November 10th start date rather than November 25th will give trappers an additional 15 days to trap without any conflict with duck hunters.

3. Changes to Part 6.2: mink & muskrat season dates, northern zone

Comment:

Several commenters supported the proposal to change the season start date from October 25th to November 1st. They felt that mink and muskrat furs were of higher quality on November 1 and that the proposed date change "better promotes responsible and wise harvesting and utilization of our abundant furbearer resources."

Response:

The Department agrees. Trappers, when surveyed, ranked fur primeness as the most important factor for them. The proposed November 1st start date allows us to meet population goals for mink & muskrat while balancing fur quality, trapper opportunity, and challenges with enforcement.

Comment:

Several commenters expressed opposition to the season date change citing concern that severe weather would reduce trapping opportunity and potentially make it unsafe to trap via boat.

Response:

The Department does agree that there may be decreased open-water trapping opportunity associated with the proposed season dates in some years; however, analyses from the Northeast and Great Lakes have shown that these areas are trending toward warmer winters, resulting in later ice-in dates for most areas. An analysis of the Great Lakes region (including New York) found that ice-in dates have been averaging 3 days later each decade. Average ice cover in Adirondack lakes has decreased by up

to 21 days between 1975–2007, driven primarily by later ice-in dates. With warming trends in the Adirondacks accelerating in recent years, waterbodies are expected to continue to freeze-up later, allowing for similar open water trapping opportunity as when the October 25th start date was first enacted over 20 years ago.

While the Department believes that this trend of later ice-in dates will lead to similar amounts of time for open water trapping as when the October 25th season start date was first set and that there will be ample time to trap via boat, we do recognize that there is significant inter-annual variability. There will be some years where ice will form early and trappers must use their best judgement to remain safe, just as they do with current seasons.

Comment:

Some trappers set pocket sets along streams for mink and/or raccoon at the opening of mink and raccoon season. The proposed date change will force trappers to discard mink that have been incidentally caught in these sets prior to the start of the season.

Response:

The Department does not believe that this will be an issue. New York State regulations state that you cannot set a trap in the water when the mink, otter, beaver, or muskrat season is not open. Since pocket sets for raccoons are typically set in water along a stream, these sets would not be able to be used until after the mink season (and other water trapping seasons) open on November 1st, when any mink caught could be kept.

Comment:

A November 1st start date for mink/muskrat will lead to conflicts between trappers, duck hunters, and deer hunters in the Northern Zone.

Response:

The Department recognizes that there will be overlap between users, but the potential for conflicts is unlikely to be higher with the proposed trapping start date. The regular deer season in this area starts between October 20th and October 26th, depending on year. The proposed date would likely decrease conflicts with deer hunters, as it avoids the opening weekend of deer season in the Northern Zone, when most hunters are afield.

The Northeastern Zone duck season is split into two open seasons, with the first split beginning on the first Saturday of October, well before the current or proposed season, and the second split beginning roughly four weeks later. Although the second split in duck season will start prior to the proposed trapping season start date in most years, the Department does not believe that there will be an increase in conflicts with duck hunters. An analysis of check station data from Perch River Wildlife Management Area found that there is a significant decline in waterfowl hunters during the second split, with a 50-80% reduction in the number of hunters compared to opening day of waterfowl season.

Comment:

Several comments were made suggesting moving the beaver and otter season start date to October 25th to align water trapping season dates, rather than having these seasons all open on November 1st.

Response:

When licensed trappers were surveyed on their season date preferences, trappers in the Northern Zone selected November 1st as their preferred start date for beaver and otter. In addition, survey respondents overwhelmingly selected fur quality as the most important factor influencing their preference for certain season dates. A later start date of November 1st better aligns with trapper preferences and helps address trapper interest in higher fur quality. In outreach conducted during development of the proposal, organized trapping groups also expressed support for a November 1st start date.

Comment:

The reduction in the mink and muskrat season length would have a significant negative impact on new trappers, who often start by trapping mink and muskrats.

Response:

While the season start date change will reduce mink and muskrat trapping opportunity by 6 days, the Department does not feel that it will have a significant impact on new trappers. The mink and muskrat season in the Northern Zone is one of the longest trapping seasons in the state, ensuring ample opportunity for new trappers and others to target these species.

4. The Department received comments opposing trapping in general.

Response:

The Department recognizes that some members of the public oppose any consumptive uses of wildlife. The Department balances these values by creating biologically sound trapping seasons that ensure wildlife populations remain robust for all New Yorkers to enjoy in perpetuity.

Trapping is highly regulated in New York and animal welfare is an important consideration in regulation development. For over 20 years, biologists in New York have worked with other state and federal biologists, conservationists, and veterinarians from across the country to improve trapping methods through scientific research to develop Best

Management Practices (BMPs) for trapping that improve the humaneness, selectivity, and efficiency of traps. All BMP-approved traps must meet certain internationally agreed-upon animal welfare criteria. More information on BMPs can be found at <https://www.fishwildlife.org/afwa-inspires/furbearer-management>.

PROPOSED RULE MAKING NO HEARING(S) SCHEDULED

Inland Trout Stream Fishing Regulations

I.D. No. ENV-47-20-00004-P

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following proposed rule:

Proposed Action: Amendment of Part 10 of Title 6 NYCRR. This rule is proposed pursuant to section 207(3), 5-Year Review of Existing Rules.

Statutory authority: Environmental Conservation Law, sections 3-0301, 11-0303, 11-0305, 11-0317, 11-1301, 11-1303, 11-1316 and 11-1319

Subject: Inland trout stream fishing regulations.

Purpose: To revise and standardize inland trout stream fishing regulations. **Substance of proposed rule (Full text is posted at the following State website:** <http://www.dec.ny.gov/regulations/proproregulations.html> **#public):** The purpose of this rule making is to amend Sections 10.2 and 10.3 to align inland trout stream regulations in accordance with the newly developed New York Trout Stream Management Plan (Plan). The Plan establishes a categorical approach to trout stream management that reduces the number of special regulations to four regulatory strategies that are easily understandable.

The management categories are:

- Wild
- Wild Quality
- Wild Premier
- Stocked
- Stocked Extended

Applicable regulations for each stream reach will be selected from the standardized suite of four regulatory strategies, including a statewide regulation for those stream reaches on private lands. The existing complicated regulatory structure for trout streams will be eliminated and replaced in accordance with the Plan as follows:

- eliminate the current statewide creel limit of 5 trout, any size for inland trout streams
- eliminate unnecessary special regulations on trout stream reaches throughout the state and replace them with one of the regulations identified below according to the management criteria identified in the plan
 - o establish a default 5 trout with no more than 2 over 12" creel limit for inland trout streams statewide and for stream reaches categorized as Wild or Stocked
 - o establish a 1 trout per day, any size creel limit on stream reaches categorized as Wild Premier
 - o establish a 3 trout with no more than 1 over 12" creel limit on stream reaches categorized as Wild-Quality or Stocked-Extended
 - o establish a statewide catch and release season for trout in inland trout stream, limited to artificial lures only, from October 16 through March 31
 - Amend Boundary Water Fishing Regulations so that the Delaware River upstream of Lordville and the West Branch of the Delaware River bordering Pennsylvania are regulated as Wild Premier.
 - Amend Boundary Water Fishing Regulations so that the Delaware River downstream of Lordville has a one trout any size creel limit. The new statewide catch and release season will apply.
 - Repeal the existing catch and release regulation on Wiscoy Creek and regulate that reach as Wild Premier.
 - Extend the existing trout catch and release season to all year for any catch and release reaches that have seasonal closures.
 - The following streams will contain reaches regulated as Wild Premier:
 - o DEC Region 4: Delaware River, East Branch Delaware River, West Branch Delaware River.
 - o DEC Region 7: Old Chenago Canal, Oriskany Creek, West Branch Delaware River.
 - o DEC Region 9: Clear Creek (Cattaraugus and Wyoming Counties), Clear Creek (Cattaraugus and Chautauqua Counties), Elm Creek, Lime Lake Outlet, Wiscoy Creek.
 - The following streams will contain reaches regulated as Wild Quality:
 - o DEC Region 3: Beer Kill West Branch, Callicoon Creek North Branch, Esopus Creek, Mongaup Creek, Rochester Creek, Rondout Creek, Wappingers Creek, West Branch Croton River
 - o DEC Region 4: Batavia Kill (Delaware County), Bushkill, Bushnellsville Creek, East Brook, East Creek, Emory Brook, Little Hoosic River, Panther Creek, Russel Brook

- o DEC Region 5: Battenkill, True Brook
- o DEC Region 6: Crystal Creek
- o DEC Region 8: Cohocton River, Mill Creek (Steuben County), Neil Creek, Oatka Creek, Spring Creek
- o DEC Region 9: Elton Creek, Fenton Brook (aka Mud Creek), Flynn (Spring) Brook, Hosmer (Sardinia) Brook, Mansfield Creek, McKinstry Creek, North Branch Wiscoy Creek, The Ram, Trout Brook
 - The following streams will contain reaches regulated as Stocked Extended:
 - o DEC Region 3: Beaver Kill, Callicoon Creek North Branch, East Branch Croton River, Neversink River, Ramapo River, Wappingers Creek, Willowemoc Creek
 - o DEC Region 4: Beaver Kill, Catskill Creek, Kinderhook Creek, West Branch Delaware River
 - o DEC Region 5: Battenkill, Cayadutta Creek, Chateaugay River, Hudson River, Kayaderosseras Creek, Mettawee River, North Branch Great Chazy River, Saranac River, Salmon River (Franklin County), Schroon River, West Branch Ausable River
 - o DEC Region 6: Mohawk River, West Canada Creek
 - o DEC Region 7: Butternut Creek, Chittenango Creek, Limestone Creek, Ninemile Creek, Onondaga Creek, Otselic River, West Branch Limestone Creek
 - o DEC Region 8: Cayuta Creek, Cohocton River
 - o DEC Region 9: Cattaraugus Creek, East Koy Creek, Genesee River, Goose Creek

Text of proposed rule and any required statements and analyses may be obtained from: Gregory Kozlowski, New York State Department of Environmental Conservation, 625 Broadway, Albany, NY 12233, (518) 402-8896, email: gregory.kozlowski@dec.ny.gov

Data, views or arguments may be submitted to: Same as above.

Public comment will be received until: 60 days after publication of this notice.

Additional matter required by statute: A Programmatic Impact Statement pertaining to these actions is on file with the Department of Environmental Conservation.

Reasoned Justification for Modification of the Rule

Justification statement for modification of the rule

In an effort to provide the best management possible based on science and the desires of the angling public, the Department of Environmental Conservation (DEC) has reexamined its regulations for managing inland trout streams as part of a comprehensive approach to improve our stewardship of these resources. The 26 inland trout stream special regulations currently in place have been enacted in an independent nature over a 30-year time span exclusive of overarching guidance and without consistency. In addition to being numerous, they can be confusing and in many cases are outdated. They do little to measurably influence the quality of trout stream fisheries and add unnecessary complexity, potentially dissuading angling participation.

DEC's newly developed New York Trout Stream Management Plan (Plan) establishes a categorical approach to trout stream management that reduces the number of special regulations to four regulatory strategies that are easily understandable.

The management categories are:

- Wild
- Wild Quality
- Wild Premier
- Stocked
- Stocked Extended

Applicable regulations for each stream reach will be selected from the standardized suite of four regulatory strategies, including a statewide regulation for those stream reaches on private lands. The existing complicated regulatory structure for trout streams should be eliminated and replaced in accordance with the Plan as follows:

- eliminate the current statewide creel limit of 5 trout, any size for inland trout streams
 - eliminate unnecessary special regulations on trout stream reaches throughout the state and replace them with one of the regulations identified below according to the management criteria identified in the plan
 - 1) establish a default 5 trout with no more than 2 over 12" creel limit for inland trout streams statewide and for stream reaches categorized as Wild or Stocked
 - 2) establish a 1 trout per day, any size creel limit on stream reaches categorized as Wild Premier
 - 3) establish a 3 trout with no more than 1 over 12" creel limit on stream reaches categorized as Wild-Quality or Stocked-Extended
 - 4) establish a statewide catch and release season for trout in inland trout stream, limited to artificial lures only, from October 16 through March 31
- Making these changes will simplify regulations, clearly align regulatory strategies with defined management objectives, and help manage anglers' fishing experience expectations for managed trout stream reaches.

Regulatory Impact Statement**1. Statutory authority:**

Section 3-0301 of the Environmental Conservation Law (ECL) establishes the general functions, powers and duties of the Department of Environmental Conservation (DEC) and the Commissioner, including general authority to adopt regulations. Sections 11-0303 and 11-0305 of the ECL authorize DEC to provide for the management and protection of the State's fisheries resources, taking into consideration ecological factors, public safety, and the safety and protection of private property. Sections 11-1301 and 11-1303 of the ECL empower DEC to fix by regulation open seasons, size and catch limits, and the manner of taking of all species of fish, except certain species of marine fish (listed in section 13-0339 of the ECL), in all waters of the State. Section 11-1319 of the ECL governs possession of fish taken in waters of the State.

2. Legislative objectives:

Implementation of size restrictions and creel limits are tools used by DEC in achieving the intent of the legislation referenced above. Size restrictions and creel limits are necessary to maintain quality fisheries, ensure that adequate numbers of fish survive to spawning age, and to provide equitable distribution of the fisheries resource.

3. Needs and benefits:

In an effort to provide the best management possible based on science and the desires of the angling public, DEC has reexamined its regulations for managing inland trout streams as part of a comprehensive approach to improve our stewardship of these resources. The 26 inland trout stream special regulations currently in place have been enacted in an independent nature over a 30-year time span exclusive of overarching guidance and without consistency. In addition to being numerous, they can be confusing and in many cases are outdated. They do little to measurably influence the quality of trout stream fisheries and add unnecessary complexity, potentially dissuading angling participation.

DEC's newly developed New York Trout Stream Management Plan (Plan) establishes a categorical approach to trout stream management that reduces the number of special regulations to four regulatory strategies that are easily understandable.

The management categories are:

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Applicable regulations for each stream reach will be selected from the standardized suite of four regulatory strategies, including a statewide regulation for those stream reaches on private lands. The existing complicated regulatory structure for trout streams should be eliminated and replaced in accordance with the Plan as follows:

- eliminate the current statewide creel limit of 5 trout, any size for inland trout streams
- eliminate unnecessary special regulations on trout stream reaches throughout the state and replace them with one of the regulations identified below according to the management criteria identified in the plan

1) establish a default 5 trout with no more than 2 over 12" creel limit for inland trout streams statewide and for stream reaches categorized as Wild or Stocked

2) establish a 1 trout per day, any size creel limit on stream reaches categorized as Wild Premier

3) establish a 3 trout with no more than 1 over 12" creel limit on stream reaches categorized as Wild-Quality or Stocked-Extended

4) establish a statewide catch and release season for trout in inland trout stream, limited to artificial lures only, from October 16 through March 31

Making these changes will simplify regulations, clearly align regulatory strategies with defined management objectives, and help manage anglers' fishing experience expectations for managed trout stream reaches.

4. Costs:

Aligning trout stream regulations with the Plan will not result in increased expenditures by the State, local governments, or the general public.

5. Local government mandates:

The proposed rule will not impose any programs, services, duties or responsibilities upon any county, city, town, village, school district, or fire district.

6. Paperwork:

No additional paperwork will be required as a result of this proposed rule change.

7. Duplication:

The proposed rule does not duplicate any state or federal requirement.

8. Alternatives:

No change: The alternative is to keep the existing special regulation and maintain the existing complex regulatory structure. This would prevent simplification of the regulations.

9. Federal standards:

There are no minimum federal standards that apply to the regulation of sportfishing.

10. Compliance schedule:

These regulations, if adopted, will be in effect for the start of the 2021 harvest season for trout, which begins on April 1, 2021. Regulated persons will comply with these regulations once they take effect.

Regulatory Flexibility Analysis

In an effort to provide the best management possible based on science and the desires of the angling public, the Department of Environmental Conservation (DEC) has reexamined its regulations for managing inland trout streams as part of a comprehensive approach to improve our stewardship of these resources. The 26 inland trout stream special regulations currently in place have been enacted in an independent nature over a 30-year time span exclusive of overarching guidance and without consistency. In addition to being numerous, they can be confusing and in many cases are outdated. They do little to measurably influence the quality of trout stream fisheries and add unnecessary complexity, potentially dissuading angling participation.

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- Stocked
- Stocked Extended

Applicable regulations for each stream reach will be selected from the standardized suite of four regulatory strategies, including a statewide regulation for those stream reaches on private lands. The existing complicated regulatory structure for trout streams should be eliminated and replaced in accordance with the Plan as follows:

- eliminate the current statewide creel limit of 5 trout, any size for inland trout streams

- eliminate unnecessary special regulations on trout stream reaches throughout the state and replace them with one of the regulations identified below according to the management criteria identified in the plan

1) establish a default 5 trout with no more than 2 over 12" creel limit for inland trout streams statewide and for stream reaches categorized as Wild or Stocked

2) establish a 1 trout per day, any size creel limit on stream reaches categorized as Wild Premier

3) establish a 3 trout with no more than 1 over 12" creel limit on stream reaches categorized as Wild-Quality or Stocked-Extended

4) establish a statewide catch and release season for trout in inland trout stream, limited to artificial lures only, from October 16 through March 31

Making these changes will simplify regulations, clearly align regulatory strategies with defined management objectives, and help manage anglers' fishing experience expectations for managed trout stream reaches.

1. Effect of rule:

This rule will align trout stream regulations with the Plan in DEC Regions 1, 3, 4, 5, 6, 7, 8 and 9. This change will not result in a loss of angling opportunities or diminish opportunities for taking fish. Thus, there should be no effects on small businesses and local governments. The catch and release season may yield some economic benefits to some businesses that serve trout anglers.

2. Compliance requirements:

There are no compliance requirements associated with this rule change.

3. Professional services:

There are no professional services needed to comply with the provisions of this rule.

4. Compliance costs:

Costs associated with the implementation and enforcement of the proposed regulation are principally the responsibility of DEC.

5. Economic and technological feasibility:

Because there are no anticipated effects on small businesses and local governments, this rulemaking is fully economically and technologically feasible for these entities.

6. Minimizing adverse impact:

This rulemaking will have no adverse impact on small business and local governments.

7. Small business and local government participation:

Following proposal of this rule, DEC will receive public comment (including from small businesses and local governments) for 60 days.

Rural Area Flexibility Analysis

In an effort to provide the best management possible based on science and the desires of the angling public, the Department of Environmental

Conservation (DEC) has reexamined its regulations for managing inland trout streams as part of a comprehensive approach to improve our stewardship of these resources. The 26 inland trout stream special regulations currently in place have been enacted in an independent nature over a 30-year time span exclusive of overarching guidance and without consistency. In addition to being numerous, they can be confusing and in many cases are outdated. They do little to measurably influence the quality of trout stream fisheries and add unnecessary complexity, potentially dissuading angling participation.

DEC's newly developed New York Trout Stream Management Plan (Plan) establishes a categorical approach to trout stream management that reduces the number of special regulations to four regulatory strategies that are easily understandable.

The management categories are:

- Wild
- Wild Quality
- Wild Premier
- Stocked
- Stocked Extended

Applicable regulations for each stream reach will be selected from the standardized suite of four regulatory strategies, including a statewide regulation for those stream reaches on private lands. The existing complicated regulatory structure for trout streams should be eliminated and replaced in accordance with the Plan as follows:

- eliminate the current statewide creel limit of 5 trout, any size for inland trout streams

- eliminate unnecessary special regulations on trout stream reaches throughout the state and replace them with one of the regulations identified below according to the management criteria identified in the plan

1) establish a default 5 trout with no more than 2 over 12" creel limit for inland trout streams statewide and for stream reaches categorized as Wild or Stocked

2) establish a 1 trout per day, any size creel limit on stream reaches categorized as Wild Premier

3) establish a 3 trout with no more than 1 over 12" creel limit on stream reaches categorized as Wild-Quality or Stocked-Extended

4) establish a statewide catch and release season for trout in inland trout stream, limited to artificial lures only, from October 16 through March 31

Making these changes will simplify regulations, clearly align regulatory strategies with defined management objectives, and help manage anglers' fishing experience expectations for managed trout stream reaches.

1. Types and estimated numbers of rural areas:

Trout streams run through both urban and rural areas in DEC Regions 1, 3, 4, 5, 6, 7, 8 and 9. Alignment of trout stream regulations with the Plan will not have any specific impact on rural areas.

2. Reporting, recordkeeping and other compliance requirements; and professional services:

There is no reporting and recordkeeping associated with this rule making, and thus there will be no effect in rural areas.

3. Costs:

Costs associated with this rulemaking are principally the responsibility of DEC. DEC will incur no costs from this rulemaking beyond typical administrative expenses. There are no impacts to other state agencies. This rulemaking will have no economic impact on anglers.

4. Minimizing adverse impact:

Aligning trout stream regulations in accordance with the Plan will have no adverse impact on rural areas.

5. Rural area participation:

Following proposal of the rule, DEC will receive comment from the public (including those in rural areas) for 60 days.

Job Impact Statement

In an effort to provide the best management possible based on science and the desires of the angling public, the Department of Environmental Conservation (DEC) has reexamined its regulations for managing inland trout streams as part of a comprehensive approach to improve our stewardship of these resources. The 26 inland trout stream special regulations currently in place have been enacted in an independent nature over a 30-year time span exclusive of overarching guidance and without consistency. In addition to being numerous, they can be confusing and in many cases are outdated. They do little to measurably influence the quality of trout stream fisheries and add unnecessary complexity, potentially dissuading angling participation.

DEC's newly developed New York Trout Stream Management Plan (Plan) establishes a categorical approach to trout stream management that reduces the number of special regulations to four regulatory strategies that are easily understandable.

The management categories are:

- Wild
- Wild Quality
- Wild Premier

- Stocked
- Stocked Extended

Applicable regulations for each stream reach will be selected from the standardized suite of four regulatory strategies, including a statewide regulation for those stream reaches on private lands. The existing complicated regulatory structure for trout streams should be eliminated and replaced in accordance with the Plan as follows:

- eliminate the current statewide creel limit of 5 trout, any size for inland trout streams

- eliminate unnecessary special regulations on trout stream reaches throughout the state and replace them with one of the regulations identified below according to the management criteria identified in the plan

1) establish a default 5 trout with no more than 2 over 12" creel limit for inland trout streams statewide and for stream reaches categorized as Wild or Stocked

2) establish a 1 trout per day, any size creel limit on stream reaches categorized as Wild Premier

3) establish a 3 trout with no more than 1 over 12" creel limit on stream reaches categorized as Wild-Quality or Stocked-Extended

4) establish a statewide catch and release season for trout in inland trout stream, limited to artificial lures only, from October 16 through March 31

Making these changes will simplify regulations, clearly align regulatory strategies with defined management objectives, and help manage anglers' fishing experience expectations for managed trout stream reaches.

1. Nature of impact:

This rule will align trout stream regulations with regulated trout stream reaches in the Plan in DEC Regions 1, 3, 4, 5, 6, 7, 8 and 9, including setting new statewide minimum lengths and daily harvest limits. This change will not result in a loss of angling opportunities or diminish opportunities for taking fish. Aligning trout stream regulations in accordance with the Plan will not have any job impact. The catch and release season may yield some economic benefits to some businesses that serve trout anglers.

2. Categories and numbers affected:

Aligning trout stream regulations in accordance with the Plan will not have any job impact.

3. Regions of adverse impact:

Aligning trout stream regulations in accordance with the Plan will not have any job impact.

4. Minimizing adverse impact:

Aligning trout stream regulations in accordance with the Plan will not have any job impact.

PROPOSED RULE MAKING NO HEARING(S) SCHEDULED

Sportfishing (Freshwater) and Associated Activities

I.D. No. ENV-47-20-00005-P

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following proposed rule:

Proposed Action: Amendment of sections 10.2(g) and 10.3(b) of Title 6 NYCRR.

Statutory authority: Environmental Conservation Law, sections 3-0301, 11-0303, 11-0305, 11-0317, 11-1301, 11-1303, 11-1316 and 11-1319

Subject: Sportfishing (freshwater) and associated activities.

Purpose: To revise and simplify sportfishing regulations and associated activities.

Text of proposed rule: Existing clause 10.2(g)(2)(b) is amended to read as follows:

(b)	Black bass	Second Saturday in June through November 30	[10"]/12"	5
		December 1 through the Friday preceding the second Saturday in June	Catch and release only	Artificial lures only

Existing clause 10.3(b)(1)(b) is amended to read as follows:

(b)	Normans Kill mouth to Watervliet Reservoir	Black bass	Third Saturday in June through November 30	[10"]/12"	5
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December 1 through the Friday preceding the third Saturday in June

Catch and release only

Artificial lures only

December 1 through the Friday preceding the third Saturday in June

Catch and release only

Artificial lures only

Existing clause 10.3(b)(12)(d) is amended to read as follows:

(d)	Otselic River, Tioughnioga River, East Branch Tioughnioga River, West Branch Tioughnioga River	Black bass	Third Saturday in June through November 30	[10"]12"	5
			December 1 through the Friday preceding the third Saturday in June	Catch and release only	Artificial lures only

Existing clause 10.3(b)(14)(d) is amended to read as follows:

(d)	All other rivers and streams except Hudson River from Troy Dam downstream and all tributaries in this section to the first barrier impassable by fish	Black bass	Third Saturday in June through November 30	[10"]12"	5
			December 1 through the Friday preceding the third Saturday in June	Catch and release only	Artificial lures only

Existing clause 10.3(b)(16)(j) is amended to read as follows:

(j)	Moose Pond in Town of St. Armand	Black bass	April 1 through November 30	[Any size] 12"	[Any number] 5
		Lake trout	April 1 through October 15	15"	3

Existing clause 10.3(b)(17)(e) is amended to read as follows:

Lake Colby	Trout	All year	Any size	5	Ice fishing permitted
		Landlocked salmon	All year	15"	3
		Black bass	Third Saturday in June through November 30	[Any size]12"	5

Existing clause 10.3(b)(23)(e) is amended to read as follows:

(e)	Black River from Dexter Dam upstream, Indian River	Black bass	Third Saturday in June through November 30	[10"]12"	5
		Walleye	Statewide Regulations Apply		

Existing clause 10.3(b)(25)(b) is amended to read as follows:

(b)	Beaver River, Black River, Deer River, West Branch Deer River	Black bass	Third Saturday in June through November 30	[10"]12"	5
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Existing clause 10.3(b)(29)(a) is amended to read as follows:

(a)	Schoharie Creek	Black bass	Third Saturday in June through November 30	[10"]12"	5
			December 1 through the Friday preceding the third Saturday in June	Catch and release only	Artificial lures only

Existing clause 10.3(b)(33)(c) is amended to read as follows:

(c)	Black River	Black bass	Third Saturday in June through November 30	[10"]12"	5
			December 1 through the Friday preceding the third Saturday in June	Catch and release only	Artificial lures only

Existing clause 10.3(b)(37)(e) is amended to read as follows:

(e)	All other rivers and streams except Hudson from Troy Dam downstream and all tributaries in this section to the first barrier impassable by fish and Delaware Rivers	Black bass	Third Saturday in June through November 30	[10"]12"	5
			December 1 through the Friday preceding the third Saturday in June	Catch and release only	Artificial lures only

Existing clause 10.3(b)(40)(f) is amended to read as follows:

(f)	All rivers and streams except Hudson from Troy Dam downstream and all tributaries in this section to the first barrier impassable by fish	Black bass	Third Saturday in June through November 30	[10"]12"	5
			December 1 through the Friday preceding the third Saturday in June	Catch and release only	

Existing clause 10.3(b)(44)(c) is amended to read as follows:

	All rivers and streams except Hudson from Troy Dam downstream and all tributaries in this section to the first barrier impassable by fish	Black bass	Third Saturday in June through November 30	[10"]12"	5
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December 1 through the Friday proceeding the third Saturday in June

Catch and release only

Artificial lures only

December 1 through the Friday proceeding the third Saturday in June

Catch and release only

Artificial lures only

Existing clause 10.3(b)(45)(d) is amended to read as follows:

(d)	All rivers and streams except the Raquette River impoundments and those covered by section 10.2 of this Part	Black bass	Third Saturday in June through November 30	[10"]/12"	5
			December 1 through the Friday proceeding the third Saturday in June	Catch and release only	Artificial lures only

Existing clause 10.3(b)(47)(a) is amended to read as follows:

(a)	Schoharie Creek	Black bass	Third Saturday in June through November 30	[10"]/12"	5
			December 1 through the Friday proceeding the third Saturday in June	Catch and release only	Artificial lures only

Existing clause 10.3(b)(48)(b) is amended to read as follows:

(b)	Schoharie Creek from Schoharie Reservoir downstream	Black bass	Third Saturday in June through November 30	[10"]/12"	5
			December 1 through the Friday proceeding the third Saturday in June	Catch and release only	Artificial lures only

Existing clause 10.3(b)(51)(b) is amended to read as follows:

(b)	Chemung River and tributaries upstream of Route 352 Patterson bridge west of Corning	Black bass	Third Saturday in June through November 30	[10"]/12"	5
			December 1 through the Friday proceeding the third Saturday in June	Catch and release only	Artificial lures only

Existing clause 10.3(b)(53)(o) is amended to read as follows:

(o)	All other rivers and streams except Delaware River	Black bass	Third Saturday in June through November 30	[10"]/12"	5
			December 1 through the Friday proceeding the third Saturday in June	Catch and release only	Artificial lures only

Existing clause 10.3(b)(54)(b) is amended to read as follows:

(b)	Catatonk Creek	Black bass	[All year] <i>Third Saturday in June through November 30</i>	[Any size]/12"	5
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Existing clause 10.3(b)(55)(b) is amended to read as follows:

(b)	Fall Creek from Ithaca Falls upstream	Black bass	[All year] <i>Third Saturday in June through November 30</i>	[Any size]/12"	5
			December 1 through the Friday proceeding the third Saturday in June	Catch and release only	Artificial lures only

Existing clause 10.3(b)(56)(f) is amended to read as follows:

(f)	All rivers and streams except Hudson River from Troy Dam downstream and all tributaries in this section to the first barrier impassable by fish	Black bass	Third Saturday in June through November 30	[10"]/12"	5
			December 1 through the Friday proceeding the third Saturday in June	Catch and release only	Artificial lures only

Existing clause 10.3(b)(60)(a) is amended to read as follows:

(a)	All rivers and streams except Hudson River from Troy Dam downstream and all tributaries in this section to the first barrier impassable by fish	Black bass	Third Saturday in June through November 30	[10"]/12"	5
			December 1 through the Friday proceeding the third Saturday in June	Catch and release only	Artificial lures only

Text of proposed rule and any required statements and analyses may be obtained from: Jeffrey Loukmas, Department of Environmental Conservation, 625 Broadway, Albany, NY 12233, (518) 402-8897, email: jeffrey.loukmas@dec.ny.gov

Data, views or arguments may be submitted to: Same as above.

Public comment will be received until: 60 days after publication of this notice.

Additional matter required by statute: A Programmatic Impact Statement pertaining to these actions is on file with the Department of Environmental Conservation.

This rule was not under consideration at the time this agency submitted its Regulatory Agenda for publication in the Register.

Regulatory Impact Statement

1. Statutory authority:

Section 3-0301 of the Environmental Conservation Law (ECL) establishes the general functions, powers and duties of the Department of Environmental Conservation (department) and the Commissioner, including general authority to adopt regulations. Sections 11-0303 and 11-0305 of the ECL authorize the department to provide for the management and protection of the State's fisheries resources, taking into consideration ecological factors, public safety, and the safety and protection of private property. Sections 11-1301 and 11-1303 of the ECL empower the department to fix by regulation open seasons, size and catch limits, and the manner of taking of all species of fish, except certain species of marine fish (listed in section 13-0339 of the ECL), in all waters of the State. Section 11-1319 of the ECL governs possession of fish taken in waters of the State.

2. Legislative objectives:

Implementation of size restrictions is the tool used by the department in achieving the intent of the legislation referenced above. Size limits are necessary to maintain quality fisheries and to ensure that adequate numbers survive to spawning age.

3. Needs and benefits:

Some rivers and streams in the state are managed under 10-inch or any size minimum size limit (msl) regulations to allow harvest of black bass populations that were generally believed to not grow as large as their lake and pond counterparts. A recent evaluation of the black bass rivers managed under this regime found no differences in the size structures or growth of smallmouth bass when compared to rivers and lakes managed under the statewide 12-inch minimum size limit. These results indicate that there is ample opportunity for anglers to harvest black bass 12 inches and larger, thereby invalidating previous assumptions that select riverine fisheries need special regulations for reduced harvest size. Lake Champlain is recognized as one of the best black bass lakes in the Country. Aligning the minimum size limit with the statewide 12" minimum will maintain the quality of the Lake Champlain black bass fishery. Aligning the two remaining any size black bass minimum size regulations and lakes and ponds will simplify regulations.

The 10-inch and any size msl regulations for black bass are unnecessary and should be eliminated. Management of black bass in riverine fisheries, lakes and ponds, and Lake Champlain under the statewide 12-inch msl should instead be instituted for the following reasons:

- Harvest opportunity under a 12-inch msl will not be diminished.
- Black bass angling is primarily catch-and-release. Anglers have minimal interest in harvesting black bass from streams and rivers or Lake Champlain.
- A recent survey of bass anglers in New York found that almost all of them (99%) prefer either a 12-inch minimum size or larger for managing black bass.
- Regulations will be simplified and easier to understand, which encourages fishing participation.

Eliminating the 10-inch and any size regulations and replacing it with the statewide msl should not affect angler opportunity, is consistent with expressed bass angler desires, and will simplify the current suite of black bass fishing regulations.

4. Costs:

Elimination of this special regulation will not result in increased expenditures by the State, local governments, or the general public.

5. Local government mandates:

The proposed rule will not impose any programs, services, duties or responsibilities upon any county, city, town, village, school district, or fire district.

6. Paperwork:

No additional paperwork will be required as a result of this proposed rule change.

7. Duplication:

The proposed rule does not duplicate any state or federal requirement.

8. Alternatives:

No change: The alternative is to keep the special regulation and maintain a reduced minimum size limit in certain rivers and streams throughout the state. This would also prevent simplification of the regulations.

9. Federal standards:

There are no minimum federal standards that apply to the regulation of sportfishing.

10. Compliance schedule:

These regulations, if adopted, will be in effect for the 2021 license year, which begins on April 1, 2021. Regulated persons will comply with these regulations once they take effect.

Regulatory Flexibility Analysis

The purpose of this rule is to eliminate the 10-inch and any size minimum size limits and replace them with the statewide black bass minimum size limit regulation on all rivers and streams, lakes and ponds, and Lake Champlain with these special regulations found in Title 6, Sections 10.2 and 10.3 of the New York State Codes, Rules and Regulations. Eliminating the lower minimum size limits aligns with bass angler desires for a 12-inch minimum size limit, and a recent study conducted by the Department concluded that opportunities to harvest bass from stream systems will not be diminished. Lake Champlain is documented to be one of the best black bass lakes in the country and aligning the minimum size limit to 12" will help maintain the quality of its black bass fishery. This rule making will simplify sportfishing regulations by eliminating unnecessary special regulations and aligning them with the statewide regulation.

1. Effect of rule:

This rule will eliminate the 10-inch and any size minimum size limits in rivers and streams, lakes and ponds, and Lake Champlain in DEC Regions 3, 4, 5, 6, 7, and 8 and replace them with the statewide minimum size

limit. This change will not result in a loss of angling opportunities or diminish opportunities for taking fish. Thus, there should be no effects on small businesses and local governments.

2. Compliance requirements:

There are no compliance requirements associated with this rule change.

3. Professional services:

There are no professional services needed to comply with the provisions of this rule.

4. Compliance costs:

Costs associated with the implementation and enforcement of the proposed regulation are principally the responsibility of the Department.

5. Economic and technological feasibility:

Because there are no anticipated effects on small businesses and local governments this rulemaking is fully economically and technologically feasible for these entities.

6. Minimizing adverse impact:

This rulemaking will have no adverse impact on small business and local governments.

7. Small business and local government participation:

Following proposal of this rule, the Department will receive public comment (including from small businesses and local governments) for 60 days.

Rural Area Flexibility Analysis

The purpose of this rule is to eliminate the 10-inch and any size minimum size limits and replace them with the statewide black bass minimum size limit regulation on all rivers and streams, lakes and ponds, and Lake Champlain with these special regulations found in Title 6, Sections 10.2 and 10.3 of the New York State Codes, Rules and Regulations. Eliminating the lower minimum size limits aligns with bass angler desires for a 12-inch minimum size limit, and a recent study conducted by the Department concluded that opportunities to harvest bass from stream systems will not be diminished. Lake Champlain is documented to be one of the best black bass lakes in the country and aligning the minimum size limit to 12" will help maintain the quality of its black bass fishery. This rule making will simplify sportfishing regulations by eliminating unnecessary special regulations and aligning them with the statewide regulation.

1. Types and estimated numbers of rural areas:

Rivers and streams, lakes and ponds, and Lake Champlain with the black bass 10-inch and any size minimum size limits run through both urban and rural areas in DEC Regions 3, 4, 5, 6, 7 and 8. Elimination of these special regulations will not have any specific impact on rural areas.

2. Reporting, recordkeeping and other compliance requirements; and professional services:

There is no reporting and recordkeeping associated with this rule making, and thus there will be no effect in rural areas.

3. Costs:

Costs associated with this rulemaking are principally the responsibility of the Department. The Department will incur no costs from this rulemaking beyond typical administrative expenses. There are no impacts to other state agencies. This rulemaking will have no economic impact on anglers.

4. Minimizing adverse impact:

Elimination of this special regulation will have no adverse impact on rural areas.

5. Rural area participation:

Following proposal of the rule, the Department will receive comment from the public (including those in rural areas) for 60 days.

Job Impact Statement

The purpose of this rule is to eliminate the 10-inch and any size minimum size limits and replace them with the statewide black bass minimum size limit regulation on all rivers and streams, lakes and ponds, and Lake Champlain with these special regulations found in Title 6, Sections 10.2 and 10.3 of the New York State Codes, Rules and Regulations. Eliminating the lower minimum size limits aligns with bass angler desires for a 12-inch minimum size limit, and a recent study conducted by the Department concluded that opportunities to harvest bass from these systems will not be diminished. Lake Champlain is documented to be one of the best black bass lakes in the country and aligning the minimum size limit to 12" will help maintain the quality of its black bass fishery. This rule making will simplify sportfishing regulations by eliminating unnecessary special regulations and aligning them with the statewide regulation.

1. Nature of impact:

This rule will eliminate the 10-inch and any size minimum size limits in rivers and streams, lakes and ponds, and Lake Champlain in DEC Regions 3, 4, 5, 6, 7, and 8 and replace them with the statewide minimum size limit. This change will not result in a loss of angling opportunities or diminish opportunities for taking fish. The elimination of this special regulation will not have any job impact.

2. Categories and numbers affected:

The elimination of these special regulations will not have any job impact.

3. Regions of adverse impact:
The elimination of these special regulations will not have any job impact.
4. Minimizing adverse impact:
The elimination of these special regulations will not have any job impact.

Department of Financial Services

EMERGENCY RULE MAKING

Minimum Standards for Form, Content, and Sale of Health Insurance, Including Standards of Full and Fair Disclosure

I.D. No. DFS-47-20-00002-E

Filing No. 721

Filing Date: 2020-11-09

Effective Date: 2020-11-09

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following action:

Action taken: Addition of section 52.16(q) to Title 11 NYCRR.

Statutory authority: Financial Services Law, sections 202, 302; Insurance Law, sections 301, 3216, 3217, 3217-h, 3221, 4303 and 4306-g

Finding of necessity for emergency rule: Preservation of public health and public safety.

Specific reasons underlying the finding of necessity: The novel coronavirus ("COVID-19") has spread to millions of people worldwide, with several hundred-thousand confirmed cases in New York State. While the number of hospitalizations for COVID-19 has diminished sharply in New York, there are still numerous cases of New Yorkers testing positive for COVID-19. The Centers for Disease Control has confirmed that COVID-19 seems to spread easily and sustainably in communities in affected areas. Given the public health implications related to COVID-19, it is essential that insureds continue to have access to health care services in a way that limits the spread of COVID-19.

This amendment prohibits authorized insurers and health maintenance organizations (collectively, "health care plans") that provide comprehensive coverage for hospital, surgical, or medical care from imposing, and states that no insured shall be required to pay, copayments, coinsurance, or annual deductibles for an in-network service otherwise covered under the policy. The amendment requires every health care plan to provide written notification of the requirements of the amendment to its in-network health care providers ("providers") to ensure that the providers do not require any insured to pay a copayment, coinsurance, or annual deductible that is prohibited from being imposed pursuant to the amendment. This notification should ensure that providers do not collect a copayment, coinsurance, or annual deductible for telehealth services provided.

Given the public health implications related to COVID-19, it is essential that New Yorkers continue to be able to access health care services in a way that limits the spread of COVID-19. The waiver of copayments, coinsurance, and annual deductibles for in-network telehealth services is necessary to ensure that people continue to have access to health care services in a way that limits in-person exposure. Additionally, encouraging people who do not need emergency care to use telehealth services alleviates the stress that COVID-19 puts on our health care system, in particular, the number of patients in emergency departments. Failure to encourage the use of telehealth services could result in the further spread of this epidemic and could jeopardize the health and safety of the people of New York.

Since the crisis involving COVID-19 is constantly evolving, and to ensure that New Yorkers continue to have access to health care services in a way that limits the spread of COVID-19, it is imperative that this amendment be promulgated on an emergency basis for the preservation of public health.

Subject: Minimum Standards for Form, Content, and Sale of Health Insurance, Including Standards of Full and Fair Disclosure.

Purpose: To waive cost-sharing for in-network telehealth services.

Text of emergency rule: Section 52.16(q) is added as follows:

(q)(1) *No policy or contract delivered or issued for delivery in this State that provides comprehensive coverage for hospital, surgical, or medical care shall impose, and no insured shall be required to pay, copayments, coinsurance, or annual deductibles for an in-network service*

delivered via telehealth when such service would have been covered under the policy if it had been delivered in person.

(2) *An insurer shall provide written notification to its in-network providers that they shall not collect any deductible, copayment, or coinsurance in accordance with this subdivision.*

(3) *Telehealth means the use of electronic information and communication technologies, including the telephone, by a health care provider to deliver health care services to an insured while such insured is located at a site that is different from the site where the health care provider is located, pursuant to Insurance Law sections 3217-h and 4306-g.*

This notice is intended to serve only as an emergency adoption, to be valid for 90 days or less. This rule expires January 8, 2021.

Text of rule and any required statements and analyses may be obtained from: Tobias Len, Department of Financial Services, One Commerce Plaza, Albany, NY 12257, (518) 474-8975, email: Tobias.Len@dfs.ny.gov

Regulatory Impact Statement

1. **Statutory authority:** Financial Services Law sections 202 and 302 and Insurance Law sections 301, 3216, 3217, 3217-h, 3221, 4303, and 4306-g.

Financial Services Law section 202 establishes the office of the Superintendent of Financial Services ("Superintendent").

Financial Services Law section 302 and Insurance Law section 301, in pertinent part, authorize the Superintendent to prescribe regulations interpreting the Insurance Law and to effectuate any power granted to the Superintendent in the Insurance Law, Financial Services Law, or any other law.

Insurance Law section 3216 sets forth the standard provisions in individual accident and health insurance policies.

Insurance Law section 3217 authorizes the Superintendent to issue regulations to establish minimum standards for the form, content and sale of health insurance policies and subscriber contracts of corporations organized under Insurance Law Articles 32 and 43 and Public Health Law Article 44.

Insurance Law sections 3217-e and 4306-g provide that an insurer or corporation may not exclude from coverage a service that is otherwise covered under a policy or contract that provides comprehensive coverage for hospital, medical or surgical care because the service is delivered via telehealth.

Insurance Law section 3221 sets forth the standard provisions in group and blanket accident and health insurance policies.

Insurance Law section 4303 sets forth mandatory benefits in subscriber contracts issued by corporations organized under Insurance Law Article 43.

2. **Legislative objectives:** The statutory sections cited above establish the minimum standards for the form, content, and sale of health insurance, including standards of full and fair disclosure. This proposed amendment accords with the public policy objectives that the Legislature sought to advance in the foregoing sections of the Insurance Law by prohibiting copayments, coinsurance, or annual deductibles for an in-network service otherwise covered under the policy.

3. **Needs and benefits:** COVID-19 has spread to millions of people worldwide, with several hundred thousand confirmed cases in New York State. While the number of hospitalizations for COVID-19 has diminished sharply in New York, there are still numerous cases of New Yorkers testing positive for COVID-19. The Centers for Disease Control has confirmed that COVID-19 seems to spread easily and sustainably in communities in affected areas. Given the public health implications related to COVID-19, it is essential that insureds continue to have access to health care services in a way that limits the spread of COVID-19.

This amendment prohibits authorized insurers and health maintenance organizations (collectively, "health care plans") that provide comprehensive coverage for hospital, surgical, or medical care from imposing, and provides that no insured shall be required to pay, copayments, coinsurance, or annual deductibles for an in-network service otherwise covered under the policy when such service is delivered via telehealth.

The amendment requires every health care plan to provide written notification of the requirements of the amendment to its in-network health care providers ("providers") in order to ensure that the providers do not require any insured to pay a copayment, coinsurance, or annual deductible that is prohibited from being imposed pursuant to the amendment. This notification should ensure that providers do not collect a copayment, coinsurance, or annual deductible for telehealth services.

The Department of Financial Services ("Department") expects every health care plan to reimburse a provider, including reimbursement for the insured's waived copayment, coinsurance, or annual deductible, with respect to any affected claims.

Given the public health implications relating to COVID-19, it is essential that New Yorkers continue to be able to access health care services in a way that limits the spread of COVID-19. The waiver of copayments,

coinsurance, and annual deductibles for in-network telehealth services is necessary to ensure that people continue to have access to health care services in a way that limits in-person exposure. Additionally, encouraging people who do not need emergency care to use telehealth services may alleviate the stress that COVID-19 puts on our health care system, in particular the increased number of patients in emergency departments. Failure to encourage the use of telehealth services could result in the further spread of this epidemic and could jeopardize the health and safety of the people of New York.

4. **Costs:** Health care plans may incur additional costs to comply with the amendment because they may need to file new policy and contract forms and rates and they will need to provide written notification to in-network providers regarding this amendment. However, any costs should be minimal because health care plans submit policy or contract form and rate filings and provide written notifications to providers as a part of the normal course of business.

This amendment may impose costs on providers because they will need to ensure that insureds are not charged a copayment, coinsurance, or annual deductible that is prohibited from being imposed pursuant to the amendment. However, any additional costs should be minimal because a provider should receive reimbursement, including the insured's copayment, coinsurance, or annual deductible, from the health care plan directly with respect to any affected claims.

This amendment may impose compliance costs on the Department because the Department will need to review amended policy and contract forms and rates. However, any additional costs incurred by the Department should be minimal, and the Department should be able to absorb the costs in its ordinary budget.

The amendment will not impose compliance costs on any local governments.

5. **Local government mandates:** The amendment does not impose any program, service, duty or responsibility on any county, city, town, village, school district, fire district or other special district.

6. **Paperwork:** Health care plans are required to provide written notification to their in-network providers that the providers may not collect any deductible, copayment, or coinsurance for telehealth services provided. This notification may be provided electronically as part of existing communications that occur between health care plans and in-network providers. Health care plans may also need to file new policy and contract forms and rates with the Superintendent.

Providers and local governments should not incur additional paperwork to comply with this amendment.

7. **Duplication:** This amendment does not duplicate, overlap, or conflict with any existing state or federal rules or other legal requirements.

8. **Alternatives:** There are no significant alternatives to consider.

9. **Federal standards:** The amendment does not exceed any minimum standards of the federal government for the same or similar subject areas. If the policy or contract is a high deductible health plan as defined in Internal Revenue Code section 223(c)(2), in-network services delivered via telehealth may be subject to the annual deductible if otherwise required by federal law.

10. **Compliance schedule:** The rule will take effect immediately upon filing of the Notice of Emergency Adoption with the Secretary of State.

Regulatory Flexibility Analysis

1. **Effect of rule:** This rule affects health maintenance organizations and authorized insurers (collectively, "health care plans") and health care providers ("providers"). This amendment prohibits health care plans that provide comprehensive coverage for hospital, surgical, or medical care from imposing, and no insured shall be required to pay, copayments, coinsurance, or annual deductibles for an in-network service otherwise covered under the policy. The amendment requires every health care plan to provide written notification of the requirements of the amendment to its in-network providers to ensure that the providers do not require any insured to pay a copayment, coinsurance, or annual deductible that is prohibited from being imposed pursuant to the amendment. This notification should ensure that providers do not collect a copayment, coinsurance, or annual deductible for telehealth services provided.

Industry asserts that certain health care plans subject to the amendment are small businesses. Providers also may be small businesses. As a result, certain health care plans and providers that are small businesses will be affected by this amendment.

This amendment does not affect local governments.

2. **Compliance requirements:** No local government will have to undertake any reporting, recordkeeping, or other affirmative acts to comply with this amendment because the amendment does not apply to any local government.

A health care plan that is a small business affected by this amendment, if any, may be subject to reporting, recordkeeping, or other compliance requirements as the health care plan may need to file new policy and contract forms and rates with the Superintendent of Financial Services and

must provide written notification of the amendment to its in-network providers.

A provider that is a small business may be subject to reporting, recordkeeping, or other compliance requirements as the provider must ensure that an insured is not charged a copayment, coinsurance, or annual deductible that is prohibited from being imposed pursuant to the amendment.

3. **Professional services:** No local government will need professional services to comply with this amendment because the amendment does not apply to any local government. No health care plan or provider that is a small business affected by this amendment should need to retain professional services, such as lawyers or auditors, to comply with this amendment.

4. **Compliance costs:** No local government will incur any costs to comply with this amendment because the amendment does not apply to any local government. A health care plan that is a small business affected by this amendment, if any, may incur costs because it may need to file new policy or contract forms and rates and must provide written notification of the amendment to its in-network providers. However, any costs should be minimal because health care plans submit policy or contract form and rate filings and provide written notifications to providers as a part of the normal course of business.

A provider that is a small business may incur additional costs to comply with the amendment, which may include costs to ensure that the insured is not charged a copayment, coinsurance, or annual deductible that is prohibited from being imposed pursuant to the amendment. However, any additional costs should be minimal because a provider should receive reimbursement, including the insured's copayment, coinsurance, or annual deductible, from the health care plan directly with respect to any affected claims.

5. **Economic and technological feasibility:** This amendment does not apply to any local government; therefore, no local government should experience any economic or technological impact as a result of the amendment. A health care plan and a provider that is a small business should not incur any economic or technological impact as a result of the amendment.

6. **Minimizing adverse impact:** There will not be an adverse impact on any local government because the amendment does not apply to any local government. This amendment should not have an adverse impact on a health care plan or provider that is a small business affected by the amendment, if any, because the amendment uniformly affects all health care plans and providers. In addition, a provider that is a small business should receive reimbursement, including the insured's copayment, coinsurance, or annual deductible, from the health care plan directly with respect to any affected claims from the health care plan directly.

7. **Small business and local government participation:** The Department of Financial Services ("Department") notified trade associations representing health care plans that are small businesses that it intended to promulgate this amendment. Health care plans and providers that are small businesses also will have an opportunity to participate in the rulemaking process when the amendment is published in the State Register and posted on the Department's website.

Rural Area Flexibility Analysis

1. **Types and estimated numbers of rural areas:** Authorized insurers and health maintenance organizations (collectively, "health care plans") and health care providers ("providers") affected by this amendment operate in every county in this state, including rural areas as defined by State Administrative Procedure Act section 102(10).

2. **Reporting, recordkeeping, and other compliance requirements; and professional services:** A health care plan, including a health care plan in a rural area, may be subject to additional reporting, recordkeeping, or other compliance requirements because the health care plan may need to file new policy and contract forms and rates with the Department of Financial Services ("Department") and will be required to provide written notification of the amendment to its in-network providers.

A provider, including a provider in a rural area, may be subject to reporting, recordkeeping, or other compliance requirements as the provider must ensure that an insured is not required to pay a copayment, coinsurance, or annual deductible that is prohibited from being imposed pursuant to the amendment.

A health care plan or provider, including those in a rural area, should not need to retain professional services, such as lawyers or auditors, to comply with this amendment.

3. **Costs:** Health care plans and providers, including those in rural areas, may incur additional costs to comply with the amendment. A health care plan may incur additional compliance costs as it may need to file new policy and contract forms and rates with the Department and will be required to provide written notification of the amendment to its in-network providers. However, any costs should be minimal because health care plans submit policy or contract form and rate filings and provide written notifications to providers as a part of the normal course of business.

Providers, including those in rural areas, may incur additional costs to comply with the amendment. Those additional costs may include costs to ensure that the insured is not required to pay a copayment, coinsurance, or annual deductible that is prohibited from being imposed pursuant to the amendment. However, any additional costs should be minimal because a provider should receive reimbursement, including the insured's copayment, coinsurance, or annual deductible, from the health care plan directly with respect to any affected claims.

4. Minimizing adverse impact: This amendment uniformly affects health care plans and providers that are located in both rural and non-rural areas of New York State. The amendment should not have an adverse impact on rural areas.

5. Rural area participation: The Department notified trade associations representing health care plans that are in rural areas that it intended to promulgate this amendment. Health care plans and providers in rural areas will also have an opportunity to participate in the rulemaking process when the amendment is published in the State Register and posted on the Department's website.

Job Impact Statement

This amendment should not adversely impact jobs or employment opportunities in New York State. The amendment prohibits a policy or contract delivered or issued for delivery in this State that provides comprehensive coverage for hospital, surgical, or medical care from imposing, and provides that no insured shall be required to pay, copayments, coinsurance, or annual deductibles for an in-network service otherwise covered under the policy when such service is delivered via telehealth. As a result, there should be no impact on jobs or employment opportunities.

Assessment of Public Comment

The agency received no public comment.

EMERGENCY RULE MAKING

Minimum Standards for Form, Content, and Sale of Health Insurance, Including Standards of Full and Fair Disclosure

I.D. No. DFS-47-20-00003-E

Filing No. 722

Filing Date: 2020-11-09

Effective Date: 2020-11-09

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following action:

Action taken: Addition of section 52.16(p) to Title 11 NYCRR.

Statutory authority: Financial Services Law, sections 202, 302; Insurance Law, sections 301, 3216, 3217, 3221 and 4303

Finding of necessity for emergency rule: Preservation of public health and public safety.

Specific reasons underlying the finding of necessity: The novel coronavirus ("COVID-19") has spread to millions of people worldwide, with several hundred thousand confirmed cases in New York State. While the number of hospitalizations for COVID-19 has diminished sharply in New York, there are still numerous cases of New Yorkers testing positive for COVID-19. The Centers for Disease Control has confirmed that COVID-19 seems to spread easily and sustainably in communities in affected areas. Given the public health implications related to COVID-19, it is essential that cost-sharing not serve as a barrier to testing for COVID-19.

This amendment prohibits health care plans that provide hospital, surgical, or medical expense insurance policies or contracts from imposing, and provides that no insured shall be required to pay, copayments, coinsurance, or annual deductibles for covered in-network laboratory tests to diagnose COVID-19 and for visits to diagnose COVID-19 at the following locations, including through telehealth: an in-network provider's office, an in-network urgent care center, any other in-network outpatient provider setting able to diagnose COVID-19, or an emergency department of a hospital. Copayments, coinsurance, or annual deductibles may be imposed in accordance with the applicable policy or contract for any follow-up care or treatment for COVID-19, including an inpatient hospital admission, as otherwise permitted by law. The amendment requires every health care plan to provide written notification of the requirements of the amendment to its in-network providers in order to ensure that the providers do not require any insured to pay a copayment, coinsurance, or annual deductible that is prohibited from being imposed under the amendment. This notification should ensure that providers do not collect a copayment, coinsurance,

or annual deductible at any time, including when the services are provided, which is typically when such payment is collected.

Since the situation regarding COVID-19 is constantly evolving, and to ensure that cost-sharing does not serve as a barrier to testing for COVID-19, it is imperative that this amendment be promulgated on an emergency basis for the preservation of public health.

Subject: Minimum Standards for Form, Content, and Sale of Health Insurance, Including Standards of Full and Fair Disclosure.

Purpose: To waive cost-sharing for in-network visits and laboratory tests necessary to diagnose the novel coronavirus (COVID-19).

Text of emergency rule: Section 52.16(p) is added as follows:

(p)(1) *No policy or contract delivered or issued for delivery in this State that provides hospital, surgical, or medical expense insurance coverage shall impose, and no insured shall be required to pay, copayments, coinsurance, or annual deductibles for the following services when covered under the policy or contract:*

(i) *in-network laboratory tests to diagnose the novel coronavirus (COVID-19); and*

(ii) *visits to diagnose the novel coronavirus (COVID-19) at the following locations, including through telehealth: an in-network provider's office, an in-network urgent care center, any other in-network outpatient provider setting able to diagnose the novel coronavirus (COVID-19), or an emergency department of a hospital. Copayments, coinsurance, or annual deductibles may be imposed in accordance with the applicable policy or contract for any follow-up care or treatment for the novel coronavirus (COVID-19), including an inpatient hospital admission, as otherwise permitted by law.*

(2) *An insurer shall provide written notification to its in-network providers that they shall not collect any deductible, copayment, or coinsurance in accordance with this subdivision.*

This notice is intended to serve only as an emergency adoption, to be valid for 90 days or less. This rule expires January 8, 2021.

Text of rule and any required statements and analyses may be obtained from: Tobias Len, Department of Financial Services, One Commerce Plaza, Albany, NY 12257, (518) 474-8975, email: Tobias.Len@dfs.ny.gov

Regulatory Impact Statement

1. Statutory authority: Financial Services Law sections 202 and 302 and Insurance Law sections 301, 3216, 3217, 3221, and 4303.

Financial Services Law section 202 establishes the office of the Superintendent of Financial Services ("Superintendent").

Financial Services Law section 302 and Insurance Law section 301, in pertinent part, authorize the Superintendent to prescribe regulations interpreting the Insurance Law and to effectuate any power granted to the Superintendent in the Insurance Law, Financial Services Law, or any other law.

Insurance Law section 3216 sets forth the standard provisions in individual accident and health insurance policies.

Insurance Law section 3217 authorizes the Superintendent to issue regulations to establish minimum standards for the form, content and sale of health insurance policies and subscriber contracts of corporations organized under Insurance Law Articles 32 and Article 43 and Public Health Law Article 44.

Insurance Law section 3221 sets forth the standard provisions in group and blanket accident and health insurance policies.

Insurance Law section 4303 sets forth mandatory benefits in subscriber contracts issued by corporations organized under Insurance Law Article 43.

2. Legislative objectives: The statutory sections cited above establish the minimum standards for the form, content, and sale of health insurance, including standards of full and fair disclosure. This proposed amendment accords with the public policy objectives that the Legislature sought to advance in the foregoing sections of the Insurance Law by prohibiting the imposition of copayments, coinsurance, or annual deductibles for in-network laboratory tests to diagnose the novel coronavirus ("COVID-19") and visits to diagnose COVID-19 at the following locations, including through telehealth: an in-network provider's office, an in-network urgent care center, any other in-network outpatient provider setting able to diagnose COVID-19, or an emergency department of a hospital.

3. Needs and benefits: COVID-19 has spread to millions of people worldwide, with several hundred thousand confirmed cases in New York State. While the number of hospitalizations for COVID-19 has diminished sharply in New York, there are still numerous cases of New Yorkers testing positive for COVID-19. The Centers for Disease Control has confirmed that COVID-19 seems to spread easily and sustainably in communities in affected areas. Given the public health implications related to COVID-19, it is essential that cost-sharing not serve as a barrier to testing for COVID-19.

This amendment prohibits authorized insurers and health maintenance organizations (collectively, "health care plans") that provide hospital, sur-

gical, or medical expense insurance policies or contracts from imposing, and provides that no insured shall be required to pay, copayments, coinsurance, or annual deductibles for covered in-network laboratory tests to diagnose COVID-19 and for visits to diagnose COVID-19 at the following locations, including through telehealth: an in-network provider's office, an in-network urgent care center, any other in-network outpatient provider setting able to diagnose COVID-19, or an emergency department of a hospital. Copayments, coinsurance, or annual deductibles may be imposed in accordance with the applicable policy or contract for any follow-up care or treatment for COVID-19, including an inpatient hospital admission, as otherwise permitted by law.

The amendment requires every health care plan to provide written notification of the requirements of the amendment to its in-network health care providers ("providers") in order to ensure that the providers do not require any insured to pay a copayment, coinsurance, or annual deductible that is prohibited from being imposed under the amendment. This notification should ensure that providers do not collect a copayment, coinsurance, or annual deductible at any time, including when the services are provided, which is typically when such payment is collected.

The Department of Financial Services ("Department") expects every health care plan to reimburse a provider, including reimbursement for the insured's waived copayment, coinsurance, or annual deductible, with respect to any impacted claims.

Given the public health implications related to COVID-19, it is essential that cost-sharing does not serve as a barrier to testing for COVID-19. The waiver of copayments, coinsurance, and annual deductibles is necessary to ensure that people are not deterred from seeing a provider and getting tested for COVID-19. Failure to do so could result in the further spread of this epidemic and could jeopardize the health and safety of the people of New York.

4. Costs: Health care plans may incur additional costs to comply with the amendment because they may need to file new policy and contract forms and rates and they will need to provide the written notification to in-network providers regarding this amendment. However, any costs should be minimal because health care plans submit policy or contract form and rate filings and provide written notifications to providers as a part of the normal course of business.

This amendment may impose costs on providers because they will need to ensure that insureds are not charged a copayment, coinsurance, or annual deductible that is prohibited from being imposed, including at the time the services are provided. However, any additional costs should be minimal because a provider should receive reimbursement, including the insured's copayment, coinsurance, or annual deductible, from the health care plan directly with respect to any impacted claims.

This amendment may impose compliance costs on the Department because the Department will need to review amended policy and contract forms and rates. However, any additional costs incurred by the Department should be minimal, and the Department should be able to absorb the costs in its ordinary budget.

The amendment will not impose compliance costs on any local governments.

5. Local government mandates: The amendment does not impose any program, service, duty or responsibility on any county, city, town, village, school district, fire district or other special district.

6. Paperwork: Health care plans are required to provide written notification to their in-network providers that the providers may not collect any deductible, copayment, or coinsurance for laboratory tests and visits to diagnose COVID-19. This notification may be provided electronically as part of existing communications that occur between health care plans and in-network providers. Health care plans may also need to file new policy and contract forms and rates with the Superintendent.

Providers and local governments should not incur additional paperwork to comply with this amendment.

7. Duplication: This amendment does not duplicate, overlap, or conflict with any existing state or federal rules or other legal requirements.

8. Alternatives: There are no significant alternatives to consider.

9. Federal standards: The amendment does not exceed any minimum standards of the federal government for the same or similar subject areas.

10. Compliance schedule: The rule will take effect immediately upon filing of the Notice of Emergency Adoption with the Secretary of State.

Regulatory Flexibility Analysis

1. Effect of rule: This rule affects health maintenance organizations and authorized insurers (collectively, "health care plans") and health care providers ("providers"). This amendment prohibits health care plans that provide hospital, surgical, or medical expense insurance policies or contracts from imposing, and provides that no insured shall be required to pay, copayments, coinsurance, or annual deductibles for covered in-network laboratory tests to diagnose the novel coronavirus ("COVID-19") and for visits to diagnose COVID-19 at the following locations, including through telehealth: an in-network provider's office, an in-network urgent

care center, any other in-network outpatient provider setting able to diagnose COVID-19, or an emergency department of a hospital. Copayments, coinsurance, or annual deductibles may be imposed in accordance with the applicable policy or contract for any follow-up care or treatment for COVID-19, including an inpatient hospital admission, as otherwise permitted by law. The amendment requires every health care plan to provide written notification of the requirements of the amendment to its in-network providers in order to ensure that the providers do not require any insured to pay a copayment, coinsurance, or annual deductible that is prohibited from being imposed under the amendment. This notification should ensure that providers do not collect a copayment, coinsurance, or annual deductible at any time, including when the services are provided, which is typically when such payment is collected.

Industry asserts that certain health care plans subject to the amendment are small businesses. Providers also may be small businesses. As a result, certain health care plans and providers that are small businesses will be affected by this amendment.

This amendment does not affect local governments.

2. Compliance requirements: No local government will have to undertake any reporting, recordkeeping, or other affirmative acts to comply with this amendment because the amendment does not apply to any local government.

A health care plan that is a small business affected by this amendment, if any, may be subject to reporting, recordkeeping, or other compliance requirements as the health care plan may need to file new policy and contract forms and rates with the Superintendent and will be required to provide written notification of the amendment to its in-network providers.

A provider that is a small business may be subject to reporting, recordkeeping, or other compliance requirements as the provider must ensure that an insured is not charged a copayment, coinsurance, or annual deductible that is prohibited from being imposed under the amendment at any time, including at the time services are provided.

3. Professional services: No local government will need professional services to comply with this amendment because the amendment does not apply to any local government. No health care plan or provider that is a small business affected by this amendment should need to retain professional services, such as lawyers or auditors, to comply with this amendment.

4. Compliance costs: No local government will incur any costs to comply with this amendment because the amendment does not apply to any local government. A health care plan that is a small business affected by this amendment, if any, may incur costs because it may need to file new policy or contract forms and rates and will be required to provide written notification of the amendment to its in-network providers. However, any costs should be minimal because health care plans submit policy or contract form and rate filings and provide written notifications to providers as a part of the normal course of business.

A provider that is a small business may incur additional costs to comply with the amendment, which may include costs to ensure that the insured is not charged a copayment, coinsurance, or annual deductible that is prohibited from being imposed at any time, including at the time the services are provided. However, any additional costs should be minimal because a provider should receive reimbursement, including the insured's copayment, coinsurance, or annual deductible, from the health care plan directly with respect to any impacted claims.

5. Economic and technological feasibility: This amendment does not apply to any local government; therefore, no local government should experience any economic or technological impact as a result of the amendment. A health care plan and a provider that is a small business should not incur any economic or technological impact as a result of the amendment.

6. Minimizing adverse impact: There will not be an adverse impact on any local government because the amendment does not apply to any local government. This amendment should not have an adverse impact on a health care plan or provider that is a small business affected by the amendment, if any, because the amendment uniformly affects all health care plans and providers. In addition, a provider that is a small business should receive reimbursement, including the insured's copayment, coinsurance, or annual deductible, from the health care plan directly with respect to any impacted claims from the health care plan directly.

7. Small business and local government participation: The Department of Financial Services ("Department") contacted trade associations representing health care plans that are small businesses before it promulgated this amendment and considered comments it received from these associations. The Department also notified trade associations representing providers that are small businesses that it intended to promulgate this amendment and considered comments it received from these associations. Health care plans and providers that are small businesses also will have an opportunity to participate in the rulemaking process when the amendment is published in the State Register and posted on the Department's website.

Rural Area Flexibility Analysis

1. Types and estimated numbers of rural areas: Authorized insurers and health maintenance organizations (collectively, “health care plans”) and health care providers (“providers”) affected by this amendment operate in every county in this state, including rural areas as defined by State Administrative Procedure Act section 102(10).

2. Reporting, recordkeeping, and other compliance requirements; and professional services: A health care plan, including a health care plan in a rural area, may be subject to additional reporting, recordkeeping, or other compliance requirements because the health care plan may need to file new policy and contract forms and rates with the Department of Financial Services (“Department”) and will be required to provide written notification of the amendment to its in-network providers.

A provider, including a provider in a rural area, may be subject to reporting, recordkeeping, or other compliance requirements as the provider must ensure that an insured is not required to pay a copayment, coinsurance, or annual deductible that is prohibited from being imposed pursuant to the amendment at any time, including at the time the services are provided.

A health care plan or a provider, including those in a rural area, should not need to retain professional services, such as lawyers or auditors, to comply with this amendment.

3. Costs: Health care plans and providers, including those in rural areas, may incur additional costs to comply with the amendment. A health care plan may incur additional compliance costs as it may need to file new policy and contract forms and rates with the Department and will be required to provide written notification of the amendment to its in-network providers. However, any costs should be minimal because health care plans submit policy or contract form and rate filings and provide written notifications to providers as a part of the normal course of business.

A provider, including those in rural areas, may incur additional costs to comply with the amendment. Those additional costs may include costs to ensure that the insured is not required to pay a copayment, coinsurance, or annual deductible that is prohibited from being imposed at any time, including at the time the services are provided. However, any additional costs should be minimal because a provider should receive reimbursement, including the insured’s copayment, coinsurance, or annual deductible, from the health care plan directly with respect to any impacted claims.

4. Minimizing adverse impact: This amendment uniformly affects health care plans and providers that are located in both rural and non-rural areas of New York State. The amendment should not have an adverse impact on rural areas.

5. Rural area participation: The Department contacted trade associations representing health care plans that are in rural areas before it promulgated this amendment and considered comments it received from these associations. The Department also notified trade associations representing providers in rural areas that it intended to promulgate this amendment and considered comments it received from these associations. Health care plans and providers in rural areas will also have an opportunity to participate in the rulemaking process when the amendment is published in the State Register and posted on the Department’s website.

Job Impact Statement

This amendment should not adversely impact jobs or employment opportunities in New York State. The amendment prohibits policies and contracts of hospital, surgical, or medical expense insurance from imposing, and provides that no insured shall be required to pay, copayments, coinsurance, and annual deductibles for covered in-network laboratory tests to diagnose the novel coronavirus (“COVID-19”) and for visits to diagnose COVID-19 at the following locations, including through telehealth: an in-network health care provider’s office, an in-network urgent care center, any other in-network outpatient provider setting able to diagnose COVID-19, or an emergency department of a hospital. As a result, there should be no impact on jobs or employment opportunities.

Assessment of Public Comment

The agency received no public comment.

Department of Health**EMERGENCY
RULE MAKING****Surge and Flex Health Coordination System**

I.D. No. HLT-47-20-00001-E

Filing No. 715

Filing Date: 2020-11-04

Effective Date: 2020-11-04

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following action:

Action taken: Addition of sections 1.2, 700.5, Part 360; amendment of sections 400.1, 405.24, 1001.6 of Title 10 NYCRR; amendment of sections 487.3, 488.3, 490.3 of Title 18 NYCRR.

Statutory authority: Public Health Law, sections 225, 576, 2800, 2803, 4662; Social Services Law, section 461

Finding of necessity for emergency rule: Preservation of public health.

Specific reasons underlying the finding of necessity: During a state disaster emergency with significant public health impact, and where compliance with certain regulations may prevent, hinder or delay action necessary to cope with the disaster, as is the case with COVID-19, these proposed regulations will ensure that the State has the most efficient regulatory tools to facilitate the State’s and regulated parties’ response efforts to Surge and Flex the healthcare system statewide. Additionally, this authority will also ensure that the Department has the flexibility to impose additional requirements, where necessary, to ensure effective response to a declared state disaster emergency. Accordingly, these tools will help ensure the health and safety of patients and residents in New York State.

Given the possibility of a second wave of COVID-19 in New York State, the Department has determined that these regulations should be issued on an emergency basis.

Subject: Surge and Flex Health Coordination System.

Purpose: Provides authority to the Commissioner to direct certain actions and waive certain regulations in an emergency.

Substance of emergency rule (Full text is posted at the following State website: www.health.ny.gov/Laws&Regulations/EmergencyRegulations/

Regulations): Although the Governor retains authority to issue Executive Orders to temporarily suspend or modify regulations and issue directives pursuant to the Executive Law, these proposed regulatory amendments would provide an expedient and coherent plan to implement quickly the relevant temporary suspensions, modifications, and directives. The proposed regulatory amendments would permit the State Commissioner of Health or designee to take specific actions, as well as to temporarily suspend or modify certain regulatory provisions (or parts thereof) in Titles 10 and 18 of the NYCRR during a state disaster emergency, where such provisions are not required by statute or federal law. These proposed amendments would also permit the Commissioner to take certain actions, where consistent with any Executive Order (EO) issued by the Governor during a declared state disaster emergency. Examples include issuing directives to authorize and require clinical laboratories or hospitals to take certain actions consistent with any such EOs, as well as the temporary suspension or modification of additional regulatory provisions when the Governor temporarily suspends or modifies a controlling state statute.

The proposed regulatory amendments would also require hospitals to: develop disaster emergency response plans; maintain a 90-day supply of personal protective equipment (PPE); ensure that staff capable of working remotely are equipped and trained to do so; and report data as requested by the Commissioner.

This notice is intended to serve only as a notice of emergency adoption. This agency intends to adopt this emergency rule as a permanent rule and will publish a notice of proposed rule making in the *State Register* at some future date. The emergency rule will expire February 1, 2021.

Text of rule and any required statements and analyses may be obtained from: Katherine Ceroalo, DOH, Bureau of Program Counsel, Reg. Affairs Unit, Room 2438, ESP Tower Building, Albany, NY 12237, (518) 473-7488, email: regsqa@health.ny.gov

Regulatory Impact Statement

Statutory Authority:

The authority for the promulgation of these regulations with respect to facilities subject to Article 28 of the Public Health Law (PHL) is contained

in PHL sections 2800 and 2803(2). PHL Article 28 (Hospitals), section 2800, specifies: "Hospital and related services including health-related service of the highest quality, efficiently provided and properly utilized at a reasonable cost, are of vital concern to the public health. In order to provide for the protection and promotion of the health of the inhabitants of the state, pursuant to section three of article seventeen of the constitution, the department of health shall have the central, comprehensive responsibility for the development and administration of the state's policy with respect to hospital and related services, and all public and private institutions, whether state, county, municipal, incorporated or not incorporated, serving principally as facilities for the prevention, diagnosis or treatment of human disease, pain, injury, deformity or physical condition or for the rendering of health-related service shall be subject to the provisions of this article." PHL section 2801 defines the term "hospital" as also including residential health care facilities (nursing homes) and diagnostic and treatment centers (D&TCs). PHL section 2803 (2) authorizes PHHPC to adopt and amend rules and regulations, subject to the approval of the Commissioner, to implement the purposes and provisions of PHL Article 28, and to establish minimum standards governing the operation of such health care facilities.

PHL section 4662 authorizes the Commissioner to issue regulations governing assisted living residences. Social Services Law (SSL) section 461(1) authorizes the Commissioner to promulgate regulations establishing standards applicable to adult care facilities. PHL section 576 authorizes the Commissioner to regulate clinical laboratories.

PHL section 225 authorizes the Public Health and Health Planning Council (PHHPC) and the Commissioner to establish and amend the State Sanitary Code (SSC) provisions related to any matters affecting the security of life or health or the preservation and improvement of public health in the State of New York.

Executive Order No. 202, as extended, authorizes the Commissioner to directly issue emergency regulations pursuant to PHL sections 225 and 2803. Upon the future declaration of any disaster emergency, any further authorization by the Governor pursuant to article 2-B of the Executive Law, if it should suspend any statutes which otherwise conflict with these regulations, will establish the immediate effectiveness of these provisions.

Legislative Objectives:

The objectives of PHL Article 28 include protecting the health of New York State residents by ensuring that they have access to safe, high-quality health services in medical facilities, while also protecting the health and safety of healthcare workers. Similarly, PHL Articles 36 and 40 ensure that the Department has the tools needed to achieve these goals in the home care and hospice spaces, and PHL section 4662 and SSL section 461 likewise ensure that the Department has appropriate regulatory authority with respect to assisted living residences and adult care facilities. PHL section 576 ensures that the Commissioner has appropriate regulatory authority over clinical laboratories. Finally, PHL section 225 ensures that the State Sanitary Code includes appropriate regulations in the areas of communicable disease control and environmental health, among others.

Each of these areas has been impacted by COVID-19. By permitting the Commissioner to temporarily suspend or modify regulatory provisions in each these areas, where not required by state statute or federal law, or where he is authorized by a gubernatorial Executive Order, these amendments provide crucial flexibility for this and future emergency response efforts.

Needs and Benefits:

During a state disaster emergency, Section 29-a of the Executive Law permits the Governor to, among other things, "temporarily suspend any statute, local law, ordinance, orders, rules, or regulations, or parts thereof, of any agency. . . if compliance with such provisions would prevent, hinder, or delay action necessary to cope with the state disaster emergency." To that end, on March 7, 2020 and in response to the COVID-19 pandemic, Governor Andrew M. Cuomo issued Executive Order No. 202, declaring a state disaster emergency, thereby enabling additional State action that aided in addressing the threat COVID-19 presents to the health and welfare of New York State residents and visitors.

Since March 7, 2020, fifty-five (55) Executive Orders have been issued to address the COVID-19 pandemic, with many of them containing temporary suspensions and modifications of regulations within Titles 10 and 18 of the NYCRR. Further, nine (9) of these Executive Orders were issued, either in whole or in part, to extend previously suspended or modified regulations in Titles 10 and 18 of the NYCRR.

Although the Governor retains authority to issue Executive Orders to temporarily suspend or modify regulations and issue directives pursuant to the Executive Law, these proposed regulatory amendments would provide an expedient and coherent plan to implement quickly the relevant temporary suspensions, modifications, and directives. The proposed regulatory amendments would permit the State Commissioner of Health or designee to take specific actions, as well as to temporarily suspend or modify certain regulatory provisions (or parts thereof) in Titles 10 and 18

of the NYCRR during a state disaster emergency, where such provisions are not required by statute or federal law. These proposed amendments would also permit the Commissioner to take certain actions, where consistent with any Executive Order (EO) issued by the Governor during a declared state disaster emergency. Examples include issuing directives to authorize and require clinical laboratories or hospitals to take certain actions consistent with any such EOs, as well as the temporary suspension or modification of additional regulatory provisions when the Governor temporarily suspends or modifies a controlling state statute.

The proposed regulatory amendments would also require hospitals to: develop disaster emergency response plans; maintain a 90-day supply of personal protective equipment (PPE); ensure that staff capable of working remotely are equipped and trained to do so; and report data as requested by the Commissioner.

During a state disaster emergency with significant public health impact, and where compliance with certain regulations may prevent, hinder or delay action necessary to cope with the disaster, as is the case with COVID-19, this authority will ensure that the State has the most efficient regulatory tools to facilitate the State's and regulated parties' response efforts to Surge and Flex the healthcare system statewide. Additionally, this authority will also ensure that the Department has the flexibility to impose additional requirements, where necessary, to ensure effective response to a declared state disaster emergency. Accordingly, these tools will help ensure the health and safety of patients and residents in New York State.

Costs:

Costs to Regulated Parties:

As a significant portion of these regulatory amendments would give the State Commissioner of Health authority to temporarily suspend or modify certain regulations within Titles 10 and 18 of the NYCRR during a state disaster emergency, these regulatory amendments are not expected to result in any significant costs to regulated parties.

To the extent that additional requirements are imposed on regulated parties by these proposed regulatory amendments, most requirements would be in effect only for the duration of a declared state disaster emergency, thereby limiting costs. The ongoing cost to hospitals of requiring a minimum PPE supply have already been realized through Executive Orders.

Costs to Local Governments:

As a significant portion of these regulatory amendments would give the Commissioner authority to temporarily suspend or modify certain regulations within Titles 10 and 18 of the NYCRR during a state disaster emergency, these regulatory amendments are not expected to result in any significant costs to regulated parties, including facilities operated by local governments.

To the extent additional requirements are imposed on local governments that operate facilities regulated by the Department, most requirements would be in effect only for the duration of a declared state disaster emergency, thereby limiting costs. The ongoing cost to hospitals of requiring a minimum PPE supply have already been realized through Executive Orders.

Cost to State Government:

The administration and oversight of these planning and response activities will be managed within the Department's existing resources.

Paperwork:

It is not anticipated that the proposed regulatory amendments will impose any significant paperwork requirements. Although these proposed amendments require additional reporting, these reports can be submitted electronically using the current platforms that facilities are already using. Moreover, such reporting requirements would only be activated during a declared state disaster emergency, thereby limiting the burden.

Local Government Mandates:

Facilities operated by local governments will subject to the same requirements as any other regulated facility, as described above.

Duplication:

These proposed regulatory amendments do not duplicate state or federal rules.

Alternatives:

The alternative would be to not promulgate the regulation. However, this alternative was rejected, as the Department believes that these regulatory amendments are necessary to facilitate response to a state disaster emergency.

Federal Standards:

42 CFR 482.15 establishes emergency preparedness minimum standards in four core areas including emergency planning, development of applicable policies and procedures, communications plan, and training and testing. These proposed amendments would complement the federal regulation and further strengthen hospitals' emergency preparedness and response programs.

Compliance Schedule:

These regulatory amendments will become effective upon filing with the Department of State.

Regulatory Flexibility Analysis

Effect on Small Business and Local Government:

The proposed regulatory amendments would primarily affect health care professionals, licensed health care facilities, permitted clinical laboratories, emergency medical service personnel, providers, and agencies, and pharmacies.

Compliance Requirements:

A significant portion of these regulatory amendments are designed to provide regulatory relief during a declared state disaster emergency. Where the regulatory amendments would impose requirements, most of them would only be applicable when there is a declared state disaster emergency. An example of a requirement that may be implemented during a declared state disaster emergency is reporting of data and inventory as requested by the Commissioner (i.e. medical supplies and equipment, as well as hospital bed capacity, bed utilization, patient demographics, etc.). There are certain ongoing requirements proposed by this regulatory amendments, which would apply regardless of whether there is a declared state disaster emergency, in which hospitals would be required to: (1) maintain minimum levels of PPE; (2) ensure work from home capabilities; and (3) develop disaster emergency response plans.

Professional Services:

It is not expected that any professional services will be required to comply with the proposed regulatory amendments.

Compliance Costs:

As a significant portion of these regulatory amendments would give the State Commissioner of Health authority to temporarily suspend or modify certain regulations within Titles 10 and 18 during a state disaster emergency, these regulatory amendments are not expected to result in any significant costs to small businesses and local governments.

To the extent additional requirements are imposed on small businesses and local governments by these proposed regulatory amendments, most requirements would only be in effect for the duration of a declared state disaster emergency, thereby limiting costs. Ongoing costs requiring hospitals to maintain a minimum PPE supply and ensure work from home capabilities should have been addressed throughout the ongoing COVID-19 pandemic, thereby limiting costs of continued implementation. Ongoing costs related to hospital development of disaster emergency response plan will complement and build upon existing planning documents that hospitals are already required to have, which also limits costs.

Economic and Technological Feasibility:

There are no economic or technological impediments to the proposed regulatory amendments.

Minimizing Adverse Impact:

Although the proposed regulatory amendments impose some additional requirements on regulated parties, most of these requirements are only triggered during a declared state disaster emergency. Proposed amendments that would impose ongoing requirements would only apply to hospitals, and as noted above, will largely be a continuation of the efforts already being employed by these entities.

Small Business and Local Government Participation:

Due to the emergency nature of COVID-19, small businesses and local governments were not consulted.

Rural Area Flexibility Analysis

Type and Number of Rural Areas:

Although this rule applies uniformly throughout the state, including rural areas, for the purposes of this Rural Area Flexibility Analysis (RAFA), "rural area" means areas of the state defined by Exec. Law § 481(7) (SAPA § 102(10)). Per Exec. Law § 481(7), rural areas are defined as "counties within the state having less than two hundred thousand population, and the municipalities, individuals, institutions, communities, and programs and such other entities or resources found therein. In counties of two hundred thousand or greater population 'rural areas' means towns with population densities of one hundred fifty persons or less per square mile, and the villages, individuals, institutions, communities, programs and such other entities or resources as are found therein." The following 43 counties have a population of less than 200,000 based upon the United States Census estimated county populations for 2010:

Allegany County	Greene County	Schoharie County
Cattaraugus County	Hamilton County	Schuyler County
Cayuga County	Herkimer County	Seneca County
Chautauqua County	Jefferson County	St. Lawrence County
Chemung County	Lewis County	Steuben County
Chenango County	Livingston County	Sullivan County
Clinton County	Madison County	Tioga County
Columbia County	Montgomery County	Tompkins County

Cortland County	Ontario County	Ulster County
Delaware County	Orleans County	Warren County
Essex County	Oswego County	Washington County
Franklin County	Otsego County	Wayne County
Fulton County	Putnam County	Wyoming County
Genesee County	Rensselaer County	Yates County
	Schenectady County	

The following counties have a population of 200,000 or greater and towns with population densities of 150 persons or fewer per square mile. Data is based upon the United States Census estimated county populations for 2010.

Albany County	Monroe County	Orange County
Broome County	Niagara County	Saratoga County
Dutchess County	Oneida County	Suffolk County
Erie County	Onondaga County	

Reporting, recordkeeping, and other compliance requirements; and professional services:

A significant portion of these regulatory amendments are designed to provide regulatory relief during a declared state disaster emergency. Where the regulatory amendments would impose requirements, most of them would only be applicable when there is a declared state disaster emergency. An example of a requirement that may be implemented during a declared state disaster emergency is reporting of data and inventory as requested by the Commissioner (i.e. medical supplies and equipment, hospital bed capacity, bed utilization, patient demographics, etc.). There are certain ongoing requirements proposed by this regulatory amendments, regardless of whether there is a declared state disaster emergency, in which hospitals would be required to: (1) maintain minimum levels of PPE; (2) ensure work from home capabilities; and (3) develop disaster emergency response plans.

It is not expected that any professional services will be required to comply with the proposed regulatory amendments.

Compliance Costs:

As a large part of these regulatory amendments would give the State Commissioner of Health authority to temporarily suspend or modify certain regulations within Titles 10 and 18 during a state disaster emergency, these regulatory amendments are not expected to result in any significant costs to public and private entities in rural areas.

To the extent additional requirements are imposed on public and private entities in rural areas by these proposed regulatory amendments, such requirements would only be in effect for the duration of a declared state disaster emergency.

Lastly, per SAPA § 202-bb(3)(c), it is not anticipated that there will be any significant variation in cost for different types of public and private entities in rural areas.

Economic and Technological Feasibility:

There are no economic or technological impediments to the rule changes.

Minimizing Adverse Impact:

Although the proposed regulatory amendments impose additional requirements on regulated parties, including those in rural areas, most of these requirements are only triggered during a declared state disaster emergency. Proposed amendments that would require disaster emergency preparedness planning on the part of regulated parties will complement and build upon existing state and federal planning requirements.

Rural Area Participation:

Due to the emergency nature of COVID-19, parties representing rural areas were not consulted in the initial draft. However, parties representing rural may submit comments during the notice and comment period for the proposed regulations.

Job Impact Statement

The Department of Health has determined that these regulatory changes will not have a substantial adverse impact on jobs and employment, based upon its nature and purpose.

NOTICE OF ADOPTION

Controlled Substances

I.D. No. HLT-32-20-00002-A

Filing No. 723

Filing Date: 2020-11-09

Effective Date: 2020-11-25

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following action:

Action taken: Amendment of section 80.3 of Title 10 NYCRR.

Statutory authority: Public Health Law, section 3307

Subject: Controlled Substances.

Purpose: To ensure access to medicine that was formerly classified as a controlled substance.

Text or summary was published in the August 12, 2020 issue of the Register, I.D. No. HLT-32-20-00002-P.

Final rule as compared with last published rule: No changes.

Text of rule and any required statements and analyses may be obtained from: Katherine Ceroalo, DOH, Bureau of Program Counsel, Reg. Affairs Unit, Room 2438, ESP Tower Building, Albany, NY 12237, (518) 473-7488, email: regsqa@health.ny.gov

Initial Review of Rule

As a rule that does not require a RFA, RAFA or JIS, this rule will be initially reviewed in the calendar year 2025, which is no later than the 5th year after the year in which this rule is being adopted.

Assessment of Public Comment

The agency received no public comment.

Public Service Commission

PROPOSED RULE MAKING
NO HEARING(S) SCHEDULED

Notice of Intent to Submeter Electricity and Request for Waiver of 16 NYCRR Section 96.5(k)(3)

I.D. No. PSC-47-20-00006-P

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following proposed rule:

Proposed Action: The Commission is considering the notice of intent of 225 West 28th Street Property Owner LLC to submeter electricity at 225 West 28th Street, New York, New York and request for waiver of an energy audit pursuant to 16 NYCRR section 96.5(k)(3).

Statutory authority: Public Service Law, sections 2, 4(1), 30, 32-48, 52, 53, 65(1), 66(1), (2), (3), (4), (12) and (14)

Subject: Notice of intent to submeter electricity and request for waiver of 16 NYCRR section 96.5(k)(3).

Purpose: To ensure adequate submetering equipment, consumer protections and energy efficiency protections are in place.

Substance of proposed rule: The Commission is considering the notice of intent, filed by 225 West 28th Street Property Owner LLC on September 17, 2020, to submeter electricity at 225 West 28th Street, New York, New York, located in the territory of Consolidated Edison Company of New York, Inc. (Con Edison).

In the notice of intent, 225 West 28th Street Property Owner LLC requests authorization to take electric service from Con Edison and then distribute and meter that electricity to its residents. Submetering of electricity to residents is allowed so long as it complies with the protections and requirements of the Commission's regulations in 16 NYCRR Part 96. The Commission is also considering the Owner's request for waiver of 16 NYCRR § 96.5(k)(3), which requires proof that an energy audit has been conducted when 20 percent or more of the residents receive income-based housing assistance. The owner states that because the building is new construction, it must comply with the current New York City Energy Conservation Construction Code, which provides strict energy conservation requirements for new and renovated buildings, therefore an energy audit is not appropriate in this case.

The full text of the notice of intent and waiver request, and the full record of the proceeding may be reviewed online at the Department of Public Service web page: www.dps.ny.gov. The Commission may adopt, reject or modify, in whole or in part, the action proposed and may resolve related matters.

Text of proposed rule and any required statements and analyses may be obtained by filing a Document Request Form (F-96) located on our website <http://www.dps.ny.gov/f96dir.htm>. For questions, contact: John Pitucci, Public Service Commission, 3 Empire State Plaza, Albany, New York 12223-1350, (518) 486-2655, email: john.pitucci@dps.ny.gov

Data, views or arguments may be submitted to: Michelle L. Phillips, Secretary, Public Service Commission, 3 Empire State Plaza, Albany, New York 12223-1350, (518) 474-6530, email: secretary@dps.ny.gov

Public comment will be received until: 60 days after publication of this notice.

Regulatory Impact Statement, Regulatory Flexibility Analysis, Rural Area Flexibility Analysis and Job Impact Statement

Statements and analyses are not submitted with this notice because the proposed rule is within the definition contained in section 102(2)(a)(ii) of the State Administrative Procedure Act.

(20-E-0469SP1)

PROPOSED RULE MAKING
NO HEARING(S) SCHEDULED

Petition to Submeter Electricity

I.D. No. PSC-47-20-00007-P

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following proposed rule:

Proposed Action: The Commission is considering petition of 646 11th Owner LLC to submeter electricity at 545 West 47th Street, New York, New York.

Statutory authority: Public Service Law, sections 2, 4(1), 30, 32-48, 52, 53, 65(1), 66(1), (2), (3), (4), (12) and (14)

Subject: Petition to submeter electricity.

Purpose: To ensure adequate submetering equipment and consumer protections are in place.

Substance of proposed rule: The Commission is considering the petition filed on August 6, 2020 by 646 11th Owner LLC, seeking authority to submeter electricity at a new condominium located at 545 West 47th Street, New York, New York, located in the service territory of Consolidated Edison Company of New York, Inc. (Con Edison).

In the petition, 646 11th Owner LLC requests authorization to take electric service from Con Edison and then distribute and meter that electricity to its tenants. Submetering of electricity to residential tenants is allowed so long as it complies with the protections and requirements of the Commission's regulations in 16 NYCRR Part 96.

The full text of the petition and the full record of the proceeding may be reviewed online at the Department of Public Service web page: www.dps.ny.gov. The Commission may adopt, reject or modify, in whole or in part, the action proposed and may resolve related matters.

Text of proposed rule and any required statements and analyses may be obtained by filing a Document Request Form (F-96) located on our website <http://www.dps.ny.gov/f96dir.htm>. For questions, contact: John Pitucci, Public Service Commission, 3 Empire State Plaza, Albany, New York 12223-1350, (518) 486-2655, email: john.pitucci@dps.ny.gov

Data, views or arguments may be submitted to: Michelle L. Phillips, Secretary, Public Service Commission, 3 Empire State Plaza, Albany, New York 12223-1350, (518) 474-6530, email: secretary@dps.ny.gov

Public comment will be received until: 60 days after publication of this notice.

Regulatory Impact Statement, Regulatory Flexibility Analysis, Rural Area Flexibility Analysis and Job Impact Statement

Statements and analyses are not submitted with this notice because the proposed rule is within the definition contained in section 102(2)(a)(ii) of the State Administrative Procedure Act.

(20-E-0389SP1)

**PROPOSED RULE MAKING
NO HEARING(S) SCHEDULED**

Notice of Intent to Submeter Electricity**I.D. No.** PSC-47-20-00008-P

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following proposed rule:

Proposed Action: The Commission is considering the notice of intent of CJ Plaza Two LLC to submeter electricity at 148-10 Archer Avenue, Jamaica, New York.

Statutory authority: Public Service Law, sections 2, 4(1), 30, 32-48, 52, 53, 65(1), 66(1), (2), (3), (4), (12) and (14)

Subject: Notice of intent to submeter electricity.

Purpose: To ensure adequate submetering equipment and consumer protections are in place.

Substance of proposed rule: The Commission is considering the notice of intent filed by CJ Plaza Two LLC, on October 13, 2020, to submeter electricity at 148-10 Archer Avenue, Jamaica, New York, located in the territory of Consolidated Edison Company of New York Inc. (Con Edison).

In the notice of intent CJ Plaza Two LLC requests authorization to take electric service from Con Edison and then distribute and meter that electricity to its residents. Submetering of electricity to residents is allowed so long as it complies with the protections and requirements of the Commission's regulations in 16 NYCRR Part 96.

The full text of the notice of intent and the full record of the proceeding may be reviewed online at the Department of Public Service web page: www.dps.ny.gov. The Commission may adopt, reject or modify, in whole or in part, the action proposed and may resolve related matters.

Text of proposed rule and any required statements and analyses may be obtained by filing a Document Request Form (F-96) located on our website <http://www.dps.ny.gov/f96dir.htm>. For questions, contact: John Pitucci, Public Service Commission, 3 Empire State Plaza, Albany, New York 12223-1350, (518) 486-2655, email: john.pitucci@dps.ny.gov

Data, views or arguments may be submitted to: Michelle L. Phillips, Secretary, Public Service Commission, 3 Empire State Plaza, Albany, New York 12223-1350, (518) 474-6530, email: secretary@dps.ny.gov

Public comment will be received until: 60 days after publication of this notice.

Regulatory Impact Statement, Regulatory Flexibility Analysis, Rural Area Flexibility Analysis and Job Impact Statement

Statements and analyses are not submitted with this notice because the proposed rule is within the definition contained in section 102(2)(a)(ii) of the State Administrative Procedure Act.

(20-E-0501SP1)

HEARINGS SCHEDULED FOR PROPOSED RULE MAKINGS

Agency I.D. No.	Subject Matter	Location—Date—Time
Lake George Park Commission		
LGP-43-20-00005-P	Stream Corridor Protection Regulations for the Lake George Park	Fort William Henry, 48 Canada St., Lake George, NY—January 12, 2021, 4:00 p.m.
Public Service Commission		
PSC-41-20-00011-P	Major Gas Rate Filing	Department of Public Service, 19th Fl., Board Rm., 3 Empire State Plaza, Albany, NY—December 15, 2020, 10:30 a.m. and continuing daily as needed (Evidentiary Hearing)* *On occasion, there are requests to reschedule or postpone hearing dates. If such a request is granted, notification of any subsequent scheduling changes will be available at the DPS website (www.dps.ny.gov) under Case 20-G-0101
PSC-42-20-00006-P	Proposed Major Rate Increase in National Grid's Delivery Revenues of Approximately \$41.8 Million (or 9.8% in Total Revenues)	Department of Public Service, 19th Fl. Board Rm., 3 Empire State Plaza, Albany, NY—January 6, 2021, 10:30 a.m. and continuing daily as needed (Evidentiary Hearing)* *On occasion, the evidentiary hearing date may be rescheduled or postponed. In that event, notification of any subsequent scheduling changes will be available at the DPS website (www.dps.ny.gov) under Case 20-G-0381
PSC-42-20-00009-P	Proposed Major Rate Increase in National Grid's Delivery Revenues of Approximately \$100.4 Million (or 3.2% in Total Revenues)	Department of Public Service, 19th Fl. Board Rm., 3 Empire State Plaza, Albany, NY—January 6, 2021, 10:30 a.m. and continuing daily as needed (Evidentiary Hearing)* *On occasion, the evidentiary hearing date may be rescheduled or postponed. In that event, public notification of any subsequent scheduling changes will be available at the DPS website (www.dps.ny.gov) under Case 20-E-0380
PSC-45-20-00004-P	Major Gas Rate Filing	Department of Public Service, 19 Fl. Board Rm., 3 Empire State Plaza, Albany, NY—February 16, 2021, 10:30 a.m. and continuing daily as needed (Evidentiary Hearing)* *On occasion, there are requests to reschedule or postpone hearing dates. If such a request is granted, notification of any subsequent scheduling changes will be available at the DPS website (www.dps.ny.gov) under Case 20-E-0429
PSC-45-20-00005-P	Major Electric Rate Filing	Department of Public Service, 19 Fl. Board Rm., 3 Empire State Plaza, Albany, NY—February 16, 2021, 10:30 a.m. and continuing daily as needed (Evidentiary Hearing)* *On occasion, there are requests to reschedule or postpone hearing dates. If such a request is granted, notification of any subsequent scheduling changes will be available at the DPS website (www.dps.ny.gov) under Case 20-E-0428
PSC-46-20-00005-P	Recommendations of the DPS Staff Report to Improve Hudson Valley Water's Service	The Public Hearing will be held online and by telephone. Details for access will be published via Notice at the DPS website (www.dps.ny.gov) under Case 20-W-0477—January 19, 2021, 4:00 p.m.*

*On occasion, there are requests to reschedule or postpone hearing dates. If such a request is granted, notification of any subsequent scheduling changes will be available at the DPS website (www.dps.ny.gov) under Case 20-W-0477

ACTION PENDING INDEX

The action pending index is a list of all proposed rules which are currently being considered for adoption. A proposed rule is added to the index when the notice of proposed rule making is first published in the *Register*. A proposed rule is removed from the index when any of the following occur: (1) the proposal is adopted as a permanent rule; (2) the proposal is rejected and withdrawn from consideration; or (3) the proposal's notice expires.

Most notices expire in approximately 12 months if the agency does not adopt or reject the proposal within that time. The expiration date is printed in the second column of the action pending index. Some notices, however, never expire. Those notices are identified by the word "exempt" in the second column. Actions pending for one year or more are preceded by an asterisk(*).

For additional information concerning any of the proposals

listed in the action pending index, use the identification number to locate the text of the original notice of proposed rule making. The identification number contains a code which identifies the agency, the issue of the *Register* in which the notice was printed, the year in which the notice was printed and the notice's serial number. The following diagram shows how to read identification number codes.

Agency code	Issue number	Year published	Serial number	Action Code
AAM	01	12	00001	P

Action codes: P — proposed rule making; EP — emergency and proposed rule making (expiration date refers to proposed rule); RP — revised rule making

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
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AGRICULTURE AND MARKETS, DEPARTMENT OF

AAM-12-20-00006-P	03/25/21	Calibrating and testing of certain weights and measures standards and devices.	To allow the Dept. to increase the fees it charges in calibrating and testing certain weights & measures standards and devices.
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ALCOHOLISM AND SUBSTANCE ABUSE SERVICES, OFFICE OF

ASA-19-20-00001-RP	05/13/21	General service standards applicable to outpatient substance use disorder programs	To set-forth the minimum regulatory requirements for certified outpatient substance use disorder treatment programs.
ASA-28-20-00013-P	07/15/21	Patient Rights	To set-forth the minimum regulatory requirements for patient rights in OASAS certified, funded or otherwise authorized programs
ASA-28-20-00014-P	07/15/21	Specialized Services	To replace the term "chemical dependence" with "addiction"
ASA-28-20-00016-P	07/15/21	Designated Services	To set-forth the minimum regulatory requirements for certified programs to seek an Office designation

AUDIT AND CONTROL, DEPARTMENT OF

AAC-43-20-00004-P	10/28/21	Budgets and Financial Plan Format of Public Authorities	Conform regulations related to the submission of annual budgets and financial plans to the Public Authorities Reform Act of 2009
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CHILDREN AND FAMILY SERVICES, OFFICE OF

*CFS-46-19-00002-RP	03/03/21	Behavioral health services, elimination of room isolation and authority to operate de-escalation rooms	To implement standards for behavioral health services and the operation of de-escalation rooms and to eliminate room isolation
CFS-04-20-00009-P	01/28/21	Host Family Homes	The proposed regulations would establish standards for the approval and administration of host family homes.

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
CHILDREN AND FAMILY SERVICES, OFFICE OF			
CFS-36-20-00001-EP	09/09/21	Define “non-school hours” and “those periods of the year in which school is not in session”	To include virtual and/or remote learning as in school hours
CFS-36-20-00003-P	09/09/21	Requires training on adverse childhood experiences (ACEs), focused on understanding trauma and on nurturing resiliency	Requires training on adverse childhood experiences (ACEs), focused on understanding trauma and on nurturing resiliency
CFS-46-20-00001-P	11/18/21	Amendment to community guardian program regarding who can complete the annual evaluation or examination	Amendment to community guardian program regarding who can complete the annual evaluation or examination
CIVIL SERVICE, DEPARTMENT OF			
CVS-51-19-00002-P	02/01/21	Jurisdictional Classification	To delete positions from and classify positions in the non-competitive class
CVS-51-19-00003-P	02/01/21	Jurisdictional Classification	To classify a position in the exempt class
CVS-51-19-00004-P	02/01/21	Jurisdictional Classification	To classify positions in the exempt class
CVS-51-19-00005-P	02/01/21	Jurisdictional Classification	To classify positions in the exempt class
CVS-51-19-00006-P	02/01/21	Jurisdictional Classification	To classify positions in the exempt class
CVS-51-19-00007-P	02/01/21	Jurisdictional Classification	To classify positions in the non-competitive class
CVS-51-19-00008-P	02/01/21	Jurisdictional Classification	To classify positions in the non-competitive class
CVS-51-19-00009-P	02/01/21	Jurisdictional Classification	To classify positions in the non-competitive class
CVS-51-19-00010-P	02/01/21	Jurisdictional Classification	To delete positions from the non-competitive class
CVS-51-19-00011-P	02/01/21	Jurisdictional Classification	To delete a position from and classify a position in the exempt class
CVS-51-19-00012-P	02/01/21	Jurisdictional Classification	To classify positions in the non-competitive class
CVS-51-19-00013-P	02/01/21	Jurisdictional Classification	To classify positions in the non-competitive class
CVS-51-19-00014-P	02/01/21	Jurisdictional Classification	To classify positions in the non-competitive class
CVS-03-20-00003-P	02/01/21	Jurisdictional Classification	To classify positions in the non-competitive class
CVS-03-20-00004-P	02/01/21	Jurisdictional Classification	To classify a position in the exempt class
CVS-03-20-00005-P	02/01/21	Jurisdictional Classification	To delete positions from and classify positions in the non-competitive class
CVS-03-20-00006-P	02/01/21	Jurisdictional Classification	To classify a position in the non-competitive class

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
CIVIL SERVICE, DEPARTMENT OF			
CVS-03-20-00007-P	02/01/21	Jurisdictional Classification	To delete positions from and classify positions in the exempt class
CVS-06-20-00001-P	02/11/21	Jurisdictional Classification	To classify a position in the non-competitive class
CVS-06-20-00002-P	02/11/21	Jurisdictional Classification	To classify a position in the exempt class
CVS-06-20-00003-P	02/11/21	Jurisdictional Classification	To classify positions in the non-competitive class
CVS-06-20-00004-P	02/11/21	Jurisdictional Classification	To classify positions in the non-competitive class
CVS-06-20-00005-P	02/11/21	Jurisdictional Classification	To delete positions from the non-competitive class
CVS-06-20-00006-P	02/11/21	Jurisdictional Classification	To delete positions from and classify positions in the non-competitive class
CVS-06-20-00007-P	02/11/21	Jurisdictional Classification	To delete a position from and classify a position in the exempt class and to delete positions from the non-competitive class
CVS-06-20-00008-P	02/11/21	Jurisdictional Classification	To delete a subheading and positions from and to classify a subheading and positions in the exempt and non-competitive classes
CVS-13-20-00002-P	04/01/21	Supplemental military leave benefits	To extend the availability of supplemental military leave benefits for certain New York State employees until December 31, 2020
CVS-13-20-00009-P	04/01/21	Jurisdictional Classification	To classify positions in the exempt class
CVS-13-20-00010-P	04/01/21	Jurisdictional Classification	To classify positions in the non-competitive class
CVS-13-20-00011-P	04/01/21	Jurisdictional Classification	To delete positions from the exempt class
CVS-13-20-00012-P	04/01/21	Jurisdictional Classification	To delete positions from the non-competitive class
CVS-13-20-00013-P	04/01/21	Jurisdictional Classification	To classify a position in the non-competitive class
CVS-13-20-00014-P	04/01/21	Jurisdictional Classification	To classify positions in the exempt class
CVS-13-20-00015-P	04/01/21	Jurisdictional Classification	To delete positions from and classify positions in the non-competitive class
CVS-13-20-00016-P	04/01/21	Jurisdictional Classification	To classify positions in the exempt class
CVS-13-20-00017-P	04/01/21	Jurisdictional Classification	To classify positions in the exempt class
CVS-13-20-00018-P	04/01/21	Jurisdictional Classification	To classify a position in the exempt class
CVS-13-20-00019-P	04/01/21	Jurisdictional Classification	To classify positions in the exempt class
CVS-13-20-00020-P	04/01/21	Jurisdictional Classification	To delete positions in the non-competitive class

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Agency I.D. No.	Expires	Subject Matter	Purpose of Action
CIVIL SERVICE, DEPARTMENT OF			
CVS-13-20-00021-P	04/01/21	Jurisdictional Classification	To classify positions in the non-competitive class
CVS-13-20-00022-P	04/01/21	Jurisdictional Classification	To classify a position in the non-competitive class
CVS-13-20-00023-P	04/01/21	Jurisdictional Classification	To delete positions from the non-competitive class
CVS-13-20-00024-P	04/01/21	Jurisdictional Classification	To classify positions in the exempt and the non-competitive classes.
CVS-13-20-00025-P	04/01/21	Jurisdictional Classification	To delete a position from and classify positions in the non-competitive class
CVS-18-20-00004-P	05/06/21	Jurisdictional Classification	To classify a position in the exempt class
CVS-18-20-00005-P	05/06/21	Jurisdictional Classification	To classify positions in the exempt class
CVS-18-20-00006-P	05/06/21	Jurisdictional Classification	To classify a position in the exempt class
CVS-18-20-00007-P	05/06/21	Jurisdictional Classification	To delete a position from and classify a position in the exempt class
CVS-18-20-00008-P	05/06/21	Jurisdictional Classification	To delete positions from and classify positions in the non-competitive class
CVS-18-20-00009-P	05/06/21	Jurisdictional Classification	To classify positions in the exempt class
CVS-18-20-00010-P	05/06/21	Jurisdictional Classification	To delete positions from the non-competitive class
CVS-24-20-00002-P	06/17/21	Jurisdictional Classification	To delete a position from and classify a position in the exempt class
CVS-24-20-00003-P	06/17/21	Jurisdictional Classification	To classify positions in the non-competitive class
CVS-24-20-00004-P	06/17/21	Jurisdictional Classification	To classify positions in the non-competitive class
CVS-24-20-00005-P	06/17/21	Jurisdictional Classification	To classify a position in the non-competitive class
CVS-24-20-00006-P	06/17/21	Jurisdictional Classification	To classify positions in the exempt class
CVS-24-20-00007-P	06/17/21	Jurisdictional Classification	To classify positions in the non-competitive class
CVS-24-20-00008-P	06/17/21	Jurisdictional Classification	To classify positions in the non-competitive class
CVS-24-20-00009-P	06/17/21	Jurisdictional Classification	To classify positions in the non-competitive class
CVS-24-20-00010-P	06/17/21	Jurisdictional Classification	To classify positions in the non-competitive class
CVS-28-20-00004-P	07/15/21	Jurisdictional Classification	To classify positions in the exempt class

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
CIVIL SERVICE, DEPARTMENT OF			
CVS-28-20-00005-P	07/15/21	Jurisdictional Classification	To classify a position in the exempt class
CVS-28-20-00006-P	07/15/21	Jurisdictional Classification	To classify a position in the exempt class
CVS-28-20-00007-P	07/15/21	Jurisdictional Classification	To delete a position from the exempt class
CVS-28-20-00008-P	07/15/21	Jurisdictional Classification	To delete positions from and classify positions in the non-competitive class
CVS-28-20-00009-P	07/15/21	Jurisdictional Classification	To classify a position in the non-competitive class
CVS-28-20-00010-P	07/15/21	Jurisdictional Classification	To classify positions in the non-competitive class.
CVS-28-20-00011-P	07/15/21	Jurisdictional Classification	To delete positions from and classify positions in the non-competitive class
CVS-28-20-00012-P	07/15/21	Jurisdictional Classification	To classify positions in the non-competitive class
CVS-32-20-00003-P	08/12/21	Jurisdictional Classification	To classify a position in the exempt class
CVS-32-20-00004-P	08/12/21	Jurisdictional Classification	To classify positions in the exempt and the non-competitive classes
CVS-32-20-00005-P	08/12/21	Jurisdictional Classification	To classify a position in the exempt class
CVS-32-20-00006-P	08/12/21	Jurisdictional Classification	To classify a position in the exempt class
CVS-41-20-00002-P	10/14/21	Jurisdictional Classification	To delete positions from and to classify a subheading and positions in the exempt class
CVS-41-20-00003-P	10/14/21	Jurisdictional Classification	To classify a position in the non-competitive class
CVS-41-20-00004-P	10/14/21	Jurisdictional Classification	To classify a position in the non-competitive class
CVS-41-20-00005-P	10/14/21	Jurisdictional Classification	To classify positions in the non-competitive class
CVS-41-20-00006-P	10/14/21	Jurisdictional Classification	To delete a position from and classify a position in the exempt class
CVS-41-20-00007-P	10/14/21	Jurisdictional Classification	To classify positions in the non-competitive class
CORRECTION, STATE COMMISSION OF			
*CMC-35-19-00002-P	02/01/21	Disciplinary and administrative segregation of inmates in special housing.	Prohibit the segregation of vulnerable inmates, and to standardize allowable uses and duration of special housing segregation.
CORRECTIONS AND COMMUNITY SUPERVISION, DEPARTMENT OF			
*CCS-35-19-00001-RP	02/01/21	Special Housing Units	Revisions have been made in order to be in compliance with new laws regarding special housing units and solitary confinement use

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
CORRECTIONS AND COMMUNITY SUPERVISION, DEPARTMENT OF			
CCS-34-20-00001-P	08/26/21	Family Reunion Program	To clarify for logic and consistency, and make additional changes to the current Family Reunion Program
CCS-38-20-00002-P	09/23/21	Parole Revocation Process and Disposition	Harmonize revocation process with recent amendments to conditions and guidelines and clarify certain delinquency dates
CRIMINAL JUSTICE SERVICES, DIVISION OF			
*CJS-30-19-00010-ERP	02/01/21	Use of Force	Set forth use of force reporting and recordkeeping procedures
CJS-19-20-00010-P	05/13/21	Part 364 - Conditional release conditions.	Conform to the recent changes made by the Legislature by removing the term "gravity knife".
CJS-44-20-00002-P	11/04/21	Intake for Article 7 (PINS)	Update existing Rule to reflect services which will be performed by Probation departments
ECONOMIC DEVELOPMENT, DEPARTMENT OF			
EDV-09-20-00007-RP	03/04/21	Minority and Women-Owned Business Enterprise Program	Update the regulations of the Division of Minority and Women's Business Development
EDV-37-20-00003-P	09/16/21	Excelsior Jobs program	Update regulations to include newly enhanced tax credits for green economy projects
EDV-41-20-00014-P	10/14/21	The Excelsior Linked Deposit Program	Administration of the Excelsior Linked Deposit Program
EDUCATION DEPARTMENT			
*EDU-17-19-00008-P	02/01/21	To require study in language acquisition and literacy development of English language learners in certain teacher preparation	To ensure that newly certified teachers enter the workforce fully prepared to serve our ELL population
*EDU-27-19-00010-P	02/01/21	Substantially Equivalent Instruction for Nonpublic School Students	Provide guidance to local school authorities to assist them in fulfilling their responsibilities under the Compulsory Ed Law
EDU-11-20-00013-RP	03/23/21	Special Education Impartial Hearing Officers and the Special Education Due Process System Procedures	To address volume of special education due process complaints in the New York City due process system
EDU-16-20-00002-ERP	04/22/21	Addressing the COVID-19 Crisis	To provide flexibility for certain regulatory requirements in response to the COVID-19 crisis
EDU-20-20-00008-ERP	05/20/21	Addressing the COVID-19 Crisis	To provide flexibility for certain regulatory requirements in response to the COVID-19 crisis
EDU-25-20-00008-ERP	06/24/21	Eligibility for Participation of Students With Section 504 or ADA Plans in Interschool Competition and Inclusive Athletics	To clarify the eligibility requirements for participation of students with section 504 or ADA plans in interschool competition
EDU-30-20-00002-P	07/29/21	Creating a transitional J Certificate for Military Spouses	To create a Transitional J certificate for spouses of individuals on full-time active duty with the Armed Forces

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
EDUCATION DEPARTMENT			
EDU-30-20-00003-P	07/29/21	Creating Safety Nets for the Arts Content Specialty Tests (CSTs)	To create a safety net for the Arts Content Specialty Tests (CSTs)
EDU-30-20-00004-ERP	07/29/21	Addressing the COVID-19 crisis and planning for the reopening of schools	To provide regulatory flexibility due to the COVID-19 crisis and to plan for the reopening of schools
EDU-30-20-00005-P	07/29/21	Creating a Safety Net for the School Building Leader Assessment	To create a safety net for the School Building Leader Assessment
EDU-39-20-00010-P	09/30/21	Financial Transparency Requirement Reporting Deadlines	To permit the Department to establish the financial transparency reporting requirement deadline administratively
EDU-39-20-00011-P	09/30/21	Continuing Education Requirements for Psychologists	To implement Chapter 436 of the 2018 requiring continuing education for psychologists
EDU-39-20-00012-EP	09/30/21	Addressing the COVID-19 Crisis and the Reopening of Schools	To address the COVID-19 crisis and to prepare for the reopening of schools
EDU-39-20-00013-P	09/30/21	Authorize NY higher education institutions to participate in SARA & the approval of out-of-state institutions to provide distance education	To align the Commissioner's regulations with national SARA policy and federal regulations

ENERGY RESEARCH AND DEVELOPMENT AUTHORITY, NEW YORK STATE

ERD-19-20-00012-P	05/13/21	CO2 Allowance Auction Program	Continued administration and implementation of the CO2 allowance auctions and programs under Part 507
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ENVIRONMENTAL CONSERVATION, DEPARTMENT OF

*ENV-36-19-00003-P	02/01/21	Stationary Combustion Installations	Update permit references, rule citations, monitoring, record keeping, reporting requirements, and lower emission standards.
*ENV-37-19-00003-RP	03/03/21	Clarifying determination of jurisdiction under the Endangered and Threatened Fish and Wildlife regulations	To improve the review of projects by removing some project types that are known not to cause harm from the review stream
ENV-04-20-00004-EP	01/28/21	Regulations governing commercial fishing of quota managed species.	To improve efficiency, reduce waste, and increase safety in marine commercial fisheries.
ENV-05-20-00002-P	04/10/21	Sulfur-in Fuel Limitations	Limit sulfur in liquid and solid fuels throughout NYS
ENV-06-20-00018-P	04/16/21	The repeal and replacement of 6 NYCRR Part 230 Gasoline Dispensing Sites and Transport Vehicles	To further reduce harmful volatile organic compounds (VOCs) emitted into the atmosphere.
ENV-06-20-00019-P	04/16/21	Consumer Products	Reduce Volatile Organic Compound emissions from Consumer Products - those products used in the average household.
ENV-06-20-00020-P	04/16/21	New Source Review requirements for proposed new major facilities and major modifications to existing facilities.	To conform to federal NSR rule requirements and related court rulings, correct typographical errors, and clarify rule language.
ENV-12-20-00001-EP	03/25/21	Regulations governing commercial fishing of Tautog (blackfish).	To revise regulations concerning the commercial harvest of Tautog in New York State.

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
ENVIRONMENTAL CONSERVATION, DEPARTMENT OF			
ENV-17-20-00005-P	04/29/21	The above referenced Parts make up the Department's air pollution control permitting program.	The purpose of this rulemaking is to improve the clarity and consistency of the Department's air pollution permitting program
ENV-17-20-00007-P	04/29/21	CO2 Budget trading program	To lower the emissions cap established under Part 242.
ENV-33-20-00005-P	08/19/21	Repeal of Section 485.1	To remove outdated and redundant references in the Department's regulations
ENV-33-20-00007-P	10/20/21	Emissions limits for 2030 and 2050, as a percentage of 1990 levels, required by Climate Leadership and Community Protection Act	To limit greenhouse gas emissions that endanger public health and the environment
ENV-36-20-00002-P	09/09/21	Deer Hunting Seasons	Establish a bow and muzzleloader deer hunting season in the Southern Zone during the Christmas and New Year holiday week
ENV-42-20-00003-EP	10/21/21	Sanitary Condition of Shellfish Lands	To reclassify underwater shellfish lands to protect public health
ENV-47-20-00004-P	11/25/21	Inland trout stream fishing regulations	To revise and standardize inland trout stream fishing regulations
ENV-47-20-00005-P	11/25/21	Sportfishing (freshwater) and associated activities	To revise and simplify sportfishing regulations and associated activities
FINANCIAL SERVICES, DEPARTMENT OF			
*DFS-17-16-00003-P	exempt	Plan of Conversion by Commercial Travelers Mutual Insurance Company	To convert a mutual accident and health insurance company to a stock accident and health insurance company
*DFS-25-18-00006-P	exempt	Plan of Conversion by Medical Liability Mutual Insurance Company	To convert a mutual property and casualty insurance company to a stock property and casualty insurance company
*DFS-33-19-00004-RP	02/01/21	Minimum Standards for Form, Content, and Sale of Health Insurance, Including Standards for Full and Fair Disclosure	To set forth minimum standards for the content of health insurance identification cards.
*DFS-43-19-00017-P	02/01/21	Independent Dispute Resolution for Emergency Services and Surprise Bills	To require notices and consumer disclosure information related to surprise bills and bills for emergency service to be provided
DFS-36-20-00007-P	09/09/21	Superintendent's Regulations: Information Subject to Confidential Treatment	Provide rules concerning publication or disclosure of information subject to confidential treatment
DFS-39-20-00025-P	09/30/21	Financial Statement Filings and Accounting Practices and Procedures	To make technical corrections and clarifications, add new subdivisions S. 83.4(t) and (u), and update incorporated references
DFS-45-20-00007-P	11/10/21	Office of Pharmacy Benefits	To establish the Office of Pharmacy Benefits and rules for the Drug Accountability Board

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
GAMING COMMISSION, NEW YORK STATE			
SGC-33-20-00006-P	08/19/21	Agency rule for the protection of trade secrets submitted to the Gaming Commission	To prescribe the manner of safeguarding against any unauthorized access to records containing trade secrets
SGC-34-20-00009-P	08/26/21	Qualification time in harness racing	To improve harness pari-mutuel wagering and generate reasonable revenue for the support of government
SGC-35-20-00002-P	09/02/21	Addition of feature to the Quick Draw lottery game called "Money Dots"	To raise additional revenue for education
SGC-35-20-00003-P	09/02/21	Triple wager in harness racing	To improve harness pari-mutuel wagering and generate reasonable revenue for the support of government
SGC-35-20-00004-P	09/02/21	Restricting NSAID use in Thoroughbred racing	To improve integrity, health and safety of Thoroughbred horse racing
SGC-35-20-00005-P	09/02/21	Furosemide use and practice	To enhance horse racing in New York and generate reasonable revenue for the support of government
SGC-35-20-00006-P	09/02/21	Jackpot Super High Five wager for harness racing	To improve harness pari-mutuel wagering and generate reasonable revenue for the support of government
SGC-35-20-00007-P	09/02/21	EIPH protections for Thoroughbred horses	EIPH protections for Thoroughbred horses
SGC-35-20-00008-P	09/02/21	Medical fitness of Thoroughbred horse riders and Steeplechase jockey licensing	To improve the health and safety of Thoroughbred pari-mutuel racing
SGC-35-20-00009-P	09/02/21	Backstretch housing standards at racetracks	To enhance the integrity of racing and safety of pari-mutuel racing
SGC-35-20-00010-P	09/02/21	Log of drugs administered by Thoroughbred horse trainers	To enhance the integrity and safety of thoroughbred horse racing
SGC-35-20-00011-P	09/02/21	Pick-six jackpot wager for harness racing	To improve harness pari-mutuel wagering and generate reasonable revenue for the support of government
SGC-35-20-00012-P	09/02/21	Restrictions on wagering by key employees of casino vendors	To maintain the integrity of the gaming facilities
SGC-35-20-00014-P	09/02/21	Amend the out-of-competition testing rule for thoroughbred racing	To enhance the integrity and safety of thoroughbred horse racing
SGC-40-20-00001-P	10/07/21	To expressly permit veterinary technicians to practice in horse racing	To preserve the safety and integrity of pari-mutuel racing while generating reasonable revenue for the support of government
SGC-44-20-00012-P	11/04/21	Allowing licensed lottery courier services to purchase and deliver lottery tickets to customers	To facilitate the sale of lottery tickets to generate additional revenue for education

HEALTH, DEPARTMENT OF

*HLT-14-94-00006-P	exempt	Payment methodology for HIV/AIDS outpatient services	To expand the current payment to incorporate pricing for services
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HEALTH, DEPARTMENT OF			
*HLT-36-19-00006-P	02/01/21	Limits on Executive Compensation	Removes "Soft Cap" prohibition on covered executive salaries.
*HLT-40-19-00004-P	02/01/21	Drug Take Back	To implement the State's drug take back program to provide for the safe disposal of drugs
*HLT-46-19-00003-P	02/01/21	Tanning Facilities	To prohibit the use of indoor tanning facilities by individuals less than 18 years of age
HLT-47-19-00008-P	02/01/21	Hospital Medical Staff - Limited Permit Holders	To repeal extra years of training required for limited permit holders to work in New York State hospitals.
HLT-51-19-00001-P	02/01/21	Women, Infants and Children (WIC) Program	To support implementation of eWIC; clarify rules for violations, penalties & hearings & conform vendor authorization criteria.
HLT-53-19-00001-P	01/02/21	Prohibition on the Sale of Electronic Liquids with Characterizing Flavors	To prohibit the sale of electronic liquids with characterizing flavors
HLT-53-19-00012-P	02/01/21	Consumer Directed Personal Assistance Program Reimbursement	To establish a program to pay home care services & establish a methodology framework for the payment of FI administrative costs.
HLT-04-20-00002-P	02/01/21	Reducing Annual Tuberculosis Testing of Health Care Workers	To replace annual tuberculosis testing of health care workers.
HLT-04-20-00003-P	02/01/21	Applied Behavior Analysis	To include Applied Behavior Analysis in the Early and Periodic Screening, Diagnosis, and Treatment (EPSDT) benefit.
HLT-04-20-00011-P	02/01/21	Nursing Home Case Mix Rationalization	To authorize the Department of Health to change the case mix acuity process for all nursing homes.
HLT-11-20-00003-P	03/18/21	Adult Day Health Care (ADHC)	To allow for reimbursement of real property leases in certain situations when used for operations of an ADHC program
HLT-27-20-00006-P	07/08/21	Medicaid Managed Care State Fair Hearings and External Appeals Processes and Standards	To address & clarify rules of procedure & presentation of evidence for Medicaid managed care fair hearings & external appeals
HLT-28-20-00019-P	07/15/21	Personal Care Services (PCS) and Consumer Directed Personal Assistance Program (CDPAP)	To implement a revised assessment process and eligibility criteria for PCS and CDPAP
HLT-31-20-00012-EP	exempt	Hospital Non-comparable Ambulance Acute Rate Add-on	Prevents duplicate claiming by Article 28 hospitals for the ambulance add-on regarding participation in the program
HLT-38-20-00006-P	09/23/21	Medicaid Transportation Program	Medicaid payment standards for emergency ambulance providers participating in an Emergency Triage, Treat & Transport (ET3) model
HLT-38-20-00008-EP	09/23/21	Revise Requirements for Collection of Blood Components	To facilitate the availability of human blood components while maintaining safety

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
HEALTH, DEPARTMENT OF			
HLT-39-20-00003-EP	09/30/21	Reduce Hospital Capital Rate Add-on and Reduce Hospital Capital Reconciliation Payment	To include a 5 percent reduction to the budgeted and actual capital add-on in Article 28 hospital inpatient reimbursement rates
HLT-40-20-00002-EP	10/07/21	Hospital Indigent Care Pool Payment Methodology	To develop an indigent care distribution methodology for calendar years through 2022
HLT-45-20-00002-P	11/10/21	Cannabinoid Hemp	To create a licensing framework for cannabinoid hemp processors and cannabinoid hemp retailers
HOUSING AND COMMUNITY RENEWAL, DIVISION OF			
*HCR-21-19-00019-P	02/01/21	Low-Income Housing Qualified Allocation Plan	To amend definitions, threshold criteria and application scoring for the allocation of low-income housing tax credits.
HCR-26-20-00012-EP	09/09/21	Schedule of Reasonable Costs for Major Capital Improvements in rent regulated housing accommodations	Provide a schedule of reasonable costs for Major Capital Improvements in rent regulated housing accommodations
HOUSING FINANCE AGENCY			
*HFA-21-19-00020-P	02/01/21	Low-Income Housing Qualified Allocation Plan	To amend definitions, threshold criteria and application scoring for the allocation of low-income housing tax credits
JOINT COMMISSION ON PUBLIC ETHICS, NEW YORK STATE			
JPE-28-20-00031-RP	07/15/21	Source of funding reporting	Clarifying amendments to Source of Funding reporting
JPE-28-20-00032-RP	07/15/21	Amendments to the lobbying regulations	To clarify the lobbying regulations that implement the provisions of the Lobbying Act
LABOR, DEPARTMENT OF			
*LAB-46-19-00004-P	02/01/21	NY State Public Employees Occupational Safety and Health Standards	To incorporate by reference updates to OSHA standards into the NY State Public Employee Occupational Safety and Health Standards
LAKE GEORGE PARK COMMISSION			
LGP-29-20-00006-P	09/22/21	Amendment of Stormwater Regulations within the Lake George Park	To more adequately control and minimize the pollutants found in stormwater runoff from going into Lake George
LGP-43-20-00005-P	01/12/22	Stream corridor protection regulations for the Lake George Park	To establish permit requirements and standards for the protection of stream corridors in the Lake George Park
LAW, DEPARTMENT OF			
LAW-15-20-00017-P	04/15/21	investment advisers defined under GBL § 359-eee	Harmonize regulations with federal law and national standards and facilitate anti-fraud activities and modernize registration.

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
LAW, DEPARTMENT OF			
LAW-15-20-00018-P	04/15/21	Brokers, dealers and salespersons defined under GBL § 359-e	Harmonize regulations with federal law and national standards and facilitate anti-fraud activities and modernize registration.
LAW-18-20-00002-P	05/06/21	Designation of a Privacy Officer	Removal of a named Privacy Officer., along with their contact information
LONG ISLAND POWER AUTHORITY			
*LPA-08-01-00003-P	exempt	Pole attachments and related matters	To approve revisions to the authority's tariff
*LPA-41-02-00005-P	exempt	Tariff for electric service	To revise the tariff for electric service
*LPA-04-06-00007-P	exempt	Tariff for electric service	To adopt provisions of a ratepayer protection plan
*LPA-03-10-00004-P	exempt	Residential late payment charges	To extend the application of late payment charges to residential customers
*LPA-15-18-00013-P	exempt	Outdoor area lighting	To add an option and pricing for efficient LED lamps to the Authority's outdoor area lighting
*LPA-37-18-00013-P	exempt	The net energy metering provisions of the Authority's Tariff for Electric Service	To implement PSC guidance increasing eligibility for value stack compensation to larger projects
*LPA-37-18-00017-P	exempt	The treatment of electric vehicle charging in the Authority's Tariff for Electric Service.	To effectuate the outcome of the Public Service Commission's proceeding on electric vehicle supply equipment.
*LPA-37-18-00018-P	exempt	The treatment of energy storage in the Authority's Tariff for Electric Service.	To effectuate the outcome of the Public Service Commission's proceeding on the NY Energy Storage Roadmap.
LPA-09-20-00010-P	exempt	To update and implement latest requirements for ESCOs proposing to do business within the Authority's service territory.	To strengthen customer protections and be consistent with Public Service Commission orders on retail energy markets.
LPA-28-20-00033-EP	exempt	LIPA's late payment charges, reconnection charges, and low-income customer discount enrollment	To allow waiver of late payment and reconnection charges and extend the grace period for re-enrolling in customer bill discounts
LPA-37-20-00008-P	exempt	The Authority's annual budget, as reflected in the rates and charges in the Tariff for Electric Service	To update the Tariff to implement the Authority's annual budget and corresponding rate adjustments
LPA-37-20-00009-P	exempt	Consolidated billing for community distributed generation	To modify the Tariff to offer consolidated billing options for community distributed generation consistent with the NY PSC
LPA-37-20-00010-P	exempt	To modify the RDM and DSA to address the unforeseen impact of COVID-19	To modify the Tariff to mitigate high bill impacts and allow for additional expense recovery related to a state of emergency
LPA-37-20-00011-P	exempt	New optional TOU rates as proposed in PSEG Long Island's 2018 Utility 2.0 Filing and subsequent filing updates	To incorporate best practices in TOU rate design, reduce peak load, and offer customers new rate options
LPA-37-20-00012-P	exempt	The Authority's implementation of PSL § 66-p in the Tariff for Electric Service	To update the Tariff to provide access to historical electric charges billed to a rental property

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
LONG ISLAND POWER AUTHORITY			
LPA-37-20-00013-EP exempt	The terms of deferred payment agreements available to LIPA's commercial customers	To expand eligibility for and ease the terms of deferred payment agreements for LIPA's commercial customers
LONG ISLAND RAILROAD COMPANY			
LIR-39-20-00005-ERP 09/30/21	Requiring wearing masks over the nose and mouth when using terminals, stations, and trains operated by Long Island Rail Road	To safeguard the public health and safety on terminals, stations and trains operated by Long Island Rail Road
MANHATTAN AND BRONX SURFACE TRANSIT OPERATING AUTHORITY			
MBA-39-20-00007-EP 09/30/21	Requiring mask wearing covering the nose and mouth when using facilities and conveyances operated by the MaBSTOA	To safeguard the public health and safety by amending existing rules to require use of masks when using the transit system
MENTAL HEALTH, OFFICE OF			
OMH-47-19-00001-P 11/19/20	Limits on Executive Compensation	To eliminate "soft cap" restrictions on compensation.
OMH-42-20-00011-EP 10/21/21	Comprehensive Psychiatric Emergency Programs	To provide clarify and provide uniformity relating to CPEPs and to implement Chapter 58 of the Laws of 2020
METRO-NORTH COMMUTER RAILROAD			
MCR-39-20-00004-EP 09/30/21	Requiring mask wearing covering the nose and mouth when using terminals, stations, and trains operated by Metro-North Railroad	To safeguard the public health and safety by amending the rules to require use of masks when using Metro-North facilities
METROPOLITAN TRANSPORTATION AGENCY			
MTA-39-20-00009-EP 09/30/21	Requiring mask wearing covering the nose and mouth when using the facilities and conveyances operated by MTA Bus Company	To safeguard the public health and safety by amending rules to require use of masks when using MTA Bus facilities and conveyance
NEW YORK CITY TRANSIT AUTHORITY			
NTA-39-20-00006-EP 09/30/21	Requiring mask wearing covering the nose and mouth when using facilities and conveyances operated by NYC Transit Authority	To safeguard the public health and safety by amending existing rules to require use of masks when using the transit system
NIAGARA FALLS WATER BOARD			
*NFW-04-13-00004-EP exempt	Adoption of Rates, Fees and Charges	To pay for the increased costs necessary to operate, maintain and manage the system, and to achieve covenants with bondholders
*NFW-13-14-00006-EP exempt	Adoption of Rates, Fees and Charges	To pay for increased costs necessary to operate, maintain and manage the system and to achieve covenants with the bondholders

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
NIAGARA FRONTIER TRANSPORTATION AUTHORITY			
NFT-39-20-00023-P	09/30/21	Procurement Guidelines of the Niagara Frontier Transportation Authority and Niagara Frontier Transit Metro System, Inc	To amend procurement guidelines to reflect changes in law and clarifying language
OGDENSBURG BRIDGE AND PORT AUTHORITY			
*OBA-33-18-00019-P	exempt	Increase in Bridge Toll Structure	To increase bridge toll revenue in order to become financially self-supporting. Our bridge operations are resulting in deficit.
*OBA-07-19-00019-P	exempt	Increase in Bridge Toll Structure	To increase bridge toll revenue in order to become financially self-supporting. Our bridge operations are resulting in deficit
PARKS, RECREATION AND HISTORIC PRESERVATION, OFFICE OF			
PKR-29-20-00001-P	07/22/21	Listing of state parks, parkways, recreation facilities and historic sites (facilities). New York City Region	To update the listing of state parks, parkways, recreation facilities and historic sites in the New York City Region
PEOPLE WITH DEVELOPMENTAL DISABILITIES, OFFICE FOR			
PDD-37-20-00004-EP	09/16/21	Day Habilitation Duration	to help providers maintain capacity to operate during the public health emergency
PDD-42-20-00001-P	10/21/21	Crisis Intervention Services for individuals with intellectual/developmental disabilities	Specifies qualifications for providers for the provision of these services and allowance for billing
POWER AUTHORITY OF THE STATE OF NEW YORK			
*PAS-01-10-00010-P	exempt	Rates for the sale of power and energy	Update ECSB Programs customers' service tariffs to streamline them/include additional required information
PAS-41-20-00009-P	exempt	Rates for the Sale of Power and Energy	To align rates and costs
PUBLIC SERVICE COMMISSION			
*PSC-09-99-00012-P	exempt	Transfer of books and records by Citizens Utilities Company	To relocate Ogden Telephone Company's books and records out-of-state
*PSC-15-99-00011-P	exempt	Electronic tariff by Woodcliff Park Corp.	To replace the company's current tariff with an electronic tariff
*PSC-12-00-00001-P	exempt	Winter bundled sales service election date by Central Hudson Gas & Electric Corporation	To revise the date
*PSC-44-01-00005-P	exempt	Annual reconciliation of gas costs by Corning Natural Gas Corporation	To authorize the company to include certain gas costs
*PSC-07-02-00032-P	exempt	Uniform business practices	To consider modification
*PSC-36-03-00010-P	exempt	Performance assurance plan by Verizon New York	To consider changes
*PSC-40-03-00015-P	exempt	Receipt of payment of bills by St. Lawrence Gas Company	To revise the process

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
*PSC-41-03-00010-P exempt	Annual reconciliation of gas expenses and gas cost recoveries	To consider filings of various LDCs and municipalities
*PSC-41-03-00011-P exempt	Annual reconciliation of gas expenses and gas cost recoveries	To consider filings of various LDCs and municipalities
*PSC-44-03-00009-P exempt	Retail access data between jurisdictional utilities	To accommodate changes in retail access market structure or commission mandates
*PSC-02-04-00008-P exempt	Delivery rates for Con Edison's customers in New York City and Westchester County by the City of New York	To rehear the Nov. 25, 2003 order
*PSC-06-04-00009-P exempt	Transfer of ownership interest by SCS Energy LLC and AE Investors LLC	To transfer interest in Steinway Creek Electric Generating Company LLC to AE Investors LLC
*PSC-10-04-00005-P exempt	Temporary protective order	To consider adopting a protective order
*PSC-10-04-00008-P exempt	Interconnection agreement between Verizon New York Inc. and VIC-RMTS-DC, L.L.C. d/b/a Verizon Avenue	To amend the agreement
*PSC-14-04-00008-P exempt	Submetering of natural gas service to industrial and commercial customers by Hamburg Fairgrounds	To submeter gas service to commercial customers located at the Buffalo Speedway
*PSC-15-04-00022-P exempt	Submetering of electricity by Glenn Gardens Associates, L.P.	To permit submetering at 175 W. 87th St., New York, NY
*PSC-21-04-00013-P exempt	Verizon performance assurance plan by Metropolitan Telecommunications	To clarify the appropriate performance level
*PSC-22-04-00010-P exempt	Approval of new types of electricity meters by Powell Power Electric Company	To permit the use of the PE-1250 electronic meter
*PSC-22-04-00013-P exempt	Major gas rate increase by Consolidated Edison Company of New York, Inc.	To increase annual gas revenues
*PSC-22-04-00016-P exempt	Master metering of water by South Liberty Corporation	To waive the requirement for installation of separate water meters
*PSC-25-04-00012-P exempt	Interconnection agreement between Frontier Communications of Ausable Valley, Inc., et al. and Sprint Communications Company, L.P.	To amend the agreement
*PSC-27-04-00008-P exempt	Interconnection agreement between Verizon New York Inc. and various Verizon wireless affiliates	To amend the agreement
*PSC-27-04-00009-P exempt	Interconnection agreement between Verizon New York Inc. and various Verizon wireless affiliates	To amend the agreement
*PSC-28-04-00006-P exempt	Approval of loans by Dunkirk & Fredonia Telephone Company and Cassadaga Telephone Corporation	To authorize participation in the parent corporation's line of credit
*PSC-31-04-00023-P exempt	Distributed generation service by Consolidated Edison Company of New York, Inc.	To provide an application form

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PUBLIC SERVICE COMMISSION			
*PSC-34-04-00031-P exempt	Flat rate residential service by Emerald Green Lake Louise Marie Water Company, Inc.	To set appropriate level of permanent rates
*PSC-35-04-00017-P exempt	Application form for distributed generation by Orange and Rockland Utilities, Inc.	To establish a new supplementary application form for customers
*PSC-43-04-00016-P exempt	Accounts recievable by Rochester Gas and Electric Corporation	To include in its tariff provisions for the purchase of ESCO accounts recievable
*PSC-46-04-00012-P exempt	Service application form by Consolidated Edison Company of New York, Inc.	To revise the form and make housekeeping changes
*PSC-46-04-00013-P exempt	Rules and guidelines governing installation of metering equipment	To establish uniform statewide business practices
*PSC-02-05-00006-P exempt	Violation of the July 22, 2004 order by Dutchess Estates Water Company, Inc.	To consider imposing remedial actions against the company and its owners, officers and directors
*PSC-09-05-00009-P exempt	Submetering of natural gas service by Hamlet on Olde Oyster Bay	To consider submetering of natural gas to a commercial customer
*PSC-14-05-00006-P exempt	Request for deferred accounting authorization by Freeport Electric Inc.	To defer expenses beyond the end of the fiscal year
*PSC-18-05-00009-P exempt	Marketer Assignment Program by Consolidated Edison Company of New York, Inc.	To implement the program
*PSC-20-05-00028-P exempt	Delivery point aggregation fee by Allied Frozen Storage, Inc.	To review the calculation of the fee
*PSC-25-05-00011-P exempt	Metering, balancing and cashout provisions by Central Hudson Gas & Electric Corporation	To establish provisions for gas customers taking service under Service Classification Nos. 8, 9 and 11
*PSC-27-05-00018-P exempt	Annual reconciliation of gas costs by New York State Electric & Gas Corporation	To consider the manner in which the gas cost incentive mechanism has been applied
*PSC-41-05-00013-P exempt	Annual reconciliation of gas expenses and gas cost recoveries by local distribution companies and municipalities	To consider the filings
*PSC-45-05-00011-P exempt	Treatment of lost and unaccounted gas costs by Corning Natural Gas Corporation	To defer certain costs
*PSC-46-05-00015-P exempt	Sale of real and personal property by the Brooklyn Union Gas Company d/b/a KeySpan Energy Delivery New York and Steel Arrow, LLC	To consider the sale
*PSC-47-05-00009-P exempt	Transferral of gas supplies by Corning Natural Gas Corporation	To approve the transfer
*PSC-50-05-00008-P exempt	Long-term debt by Saratoga Glen Hollow Water Supply Corp.	To obtain long-term debt
*PSC-04-06-00024-P exempt	Transfer of ownership interests by Mirant NY-Gen LLC and Orange and Rockland Utilities, Inc.	To approve of the transfer

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PUBLIC SERVICE COMMISSION			
*PSC-06-06-00015-P exempt	Gas curtailment policies and procedures	To examine the manner and extent to which gas curtailment policies and procedures should be modified and/or established
*PSC-07-06-00009-P exempt	Modification of the current Environmental Disclosure Program	To include an attributes accounting system
*PSC-22-06-00019-P exempt	Hourly pricing by National Grid	To assess the impacts
*PSC-22-06-00020-P exempt	Hourly pricing by New York State Electric & Gas Corporation	To assess the impacts
*PSC-22-06-00021-P exempt	Hourly pricing by Rochester Gas & Electric Corporation	To assess the impacts
*PSC-22-06-00022-P exempt	Hourly pricing by Consolidated Edison Company of New York, Inc.	To assess the impacts
*PSC-22-06-00023-P exempt	Hourly pricing by Orange and Rockland Utilities, Inc.	To assess the impacts
*PSC-24-06-00005-EP exempt	Supplemental home energy assistance benefits	To extend the deadline to Central Hudson's low-income customers
*PSC-25-06-00017-P exempt	Purchased power adjustment by Massena Electric Department	To revise the method of calculating the purchased power adjustment and update the factor of adjustment
*PSC-34-06-00009-P exempt	Inter-carrier telephone service quality standards and metrics by the Carrier Working Group	To incorporate appropriate modifications
*PSC-37-06-00015-P exempt	Procedures for estimation of customer bills by Rochester Gas and Electric Corporation	To consider estimation procedures
*PSC-37-06-00017-P exempt	Procedures for estimation of customer bills by Rochester Gas and Electric Corporation	To consider estimation procedures
*PSC-43-06-00014-P exempt	Electric delivery services by Strategic Power Management, Inc.	To determine the proper mechanism for the rate-recovery of costs
*PSC-04-07-00012-P exempt	Petition for rehearing by Orange and Rockland Utilities, Inc.	To clarify the order
*PSC-06-07-00015-P exempt	Meter reading and billing practices by Central Hudson Gas & Electric Corporation	To continue current meter reading and billing practices for electric service
*PSC-06-07-00020-P exempt	Meter reading and billing practices by Central Hudson Gas & Electric Corporation	To continue current meter reading and billing practices for gas service
*PSC-11-07-00010-P exempt	Investigation of the electric power outages by the Consolidated Edison Company of New York, Inc.	To implement the recommendations in the staff's investigation
*PSC-11-07-00011-P exempt	Storm-related power outages by Consolidated Edison Company of New York, Inc.	To modify the company's response to power outages, the timing for any such changes and other related matters
*PSC-17-07-00008-P exempt	Interconnection agreement between Verizon New York Inc. and BridgeCom International, Inc.	To amend the agreement

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PUBLIC SERVICE COMMISSION			
*PSC-18-07-00010-P exempt	Existing electric generating stations by Independent Power Producers of New York, Inc.	To repower and upgrade existing electric generating stations owned by Rochester Gas and Electric Corporation
*PSC-20-07-00016-P exempt	Tariff revisions and making rates permanent by New York State Electric & Gas Corporation	To seek rehearing
*PSC-21-07-00007-P exempt	Natural Gas Supply and Acquisition Plan by Corning Natural Gas Corporation	To revise the rates, charges, rules and regulations for gas service
*PSC-22-07-00015-P exempt	Demand Side Management Program by Consolidated Edison Company of New York, Inc.	To recover incremental program costs and lost revenue
*PSC-23-07-00022-P exempt	Supplier, transportation, balancing and aggregation service by National Fuel Gas Distribution Corporation	To explicitly state in the company's tariff that the threshold level of elective upstream transmission capacity is a maximum of 112,600 Dth/day of marketer-provided upstream capacity
*PSC-24-07-00012-P exempt	Gas Efficiency Program by the City of New York	To consider rehearing a decision establishing a Gas Efficiency Program
*PSC-39-07-00017-P exempt	Gas bill issuance charge by New York State Electric & Gas Corporation	To create a gas bill issuance charge unbundled from delivery rates
*PSC-41-07-00009-P exempt	Submetering of electricity rehearing	To seek reversal
*PSC-42-07-00012-P exempt	Energy efficiency program by Orange and Rockland Utilities, Inc.	To consider any energy efficiency program for Orange and Rockland Utilities, Inc.'s electric service
*PSC-42-07-00013-P exempt	Revenue decoupling by Orange and Rockland Utilities, Inc.	To consider a revenue decoupling mechanism for Orange and Rockland Utilities, Inc.
*PSC-45-07-00005-P exempt	Customer incentive programs by Orange and Rockland Utilities, Inc.	To establish a tariff provision
*PSC-02-08-00006-P exempt	Additional central office codes in the 315 area code region	To consider options for making additional codes
*PSC-03-08-00006-P exempt	Rehearing of the accounting determinations	To grant or deny a petition for rehearing of the accounting determinations
*PSC-04-08-00010-P exempt	Granting of easement rights on utility property by Central Hudson Gas & Electric Corporation	To grant easement rights to Millennium Pipeline Company, L.L.C.
*PSC-04-08-00012-P exempt	Marketing practices of energy service companies by the Consumer Protection Board and New York City Department of Consumer Affairs	To consider modifying the commission's regulation over marketing practices of energy service companies
*PSC-08-08-00016-P exempt	Transfer of ownership by Entergy Nuclear Fitzpatrick LLC, et al.	To consider the transfer
*PSC-12-08-00019-P exempt	Extend the provisions of the existing electric rate plan by Rochester Gas and Electric Corporation	To consider the request

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PUBLIC SERVICE COMMISSION			
*PSC-12-08-00021-P exempt	Extend the provisions of the existing gas rate plan by Rochester Gas and Electric Corporation	To consider the request
*PSC-13-08-00011-P exempt	Waiver of commission policy and NYSEG tariff by Turner Engineering, PC	To grant or deny Turner's petition
*PSC-13-08-00012-P exempt	Voltage drops by New York State Electric & Gas Corporation	To grant or deny the petition
*PSC-23-08-00008-P exempt	Petition requesting rehearing and clarification of the commission's April 25, 2008 order denying petition of public utility law project	To consider whether to grant or deny, in whole or in part, the May 7, 2008 Public Utility Law Project (PULP) petition for rehearing and clarification of the commission's April 25, 2008 order denying petition of Public Utility Law Project
*PSC-25-08-00007-P exempt	Policies and procedures regarding the selection of regulatory proposals to meet reliability needs	To establish policies and procedures regarding the selection of regulatory proposals to meet reliability needs
*PSC-25-08-00008-P exempt	Report on Callable Load Opportunities	Rider U report assessing callable load opportunities in New York City and Westchester County during the next 10 years
*PSC-28-08-00004-P exempt	Con Edison's procedure for providing customers access to their account information	To consider Con Edison's implementation plan and timetable for providing customers access to their account information
*PSC-31-08-00025-P exempt	Recovery of reasonable DRS costs from the cost mitigation reserve (CMR)	To authorize recovery of the DRS costs from the CMR
*PSC-32-08-00009-P exempt	The ESCO referral program for KEDNY to be implemented by October 1, 2008	To approve, reject or modify, in whole or in part, KEDNY's recommended ESCO referral program
*PSC-33-08-00008-P exempt	Noble Allegany's request for lightened regulation	To consider Noble Allegany's request for lightened regulation as an electric corporation
*PSC-36-08-00019-P exempt	Land Transfer in the Borough of Manhattan, New York	To consider petition for transfer of real property to NYPH
*PSC-39-08-00010-P exempt	RG&E's economic development plan and tariffs	Consideration of the approval of RG&E's economic development plan and tariffs
*PSC-40-08-00010-P exempt	Loans from regulated company to its parent	To determine if the cash management program resulting in loans to the parent should be approved
*PSC-41-08-00009-P exempt	Transfer of control of cable TV franchise	To determine if the transfer of control of Margaretville's cable TV subsidiary should be approved
*PSC-43-08-00014-P exempt	Annual Reconciliation of Gas Expenses and Gas Cost Recoveries	The filings of various LDCs and municipalities regarding their Annual Reconciliation of Gas Expenses and Gas Cost Recoveries
*PSC-46-08-00008-P exempt	Property transfer in the Village of Avon, New York	To consider a petition for the transfer of street lighting and attached equipment to the Village of Avon, New York

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PUBLIC SERVICE COMMISSION			
*PSC-46-08-00010-P exempt	A transfer of indirect ownership interests in nuclear generation facilities	Consideration of approval of a transfer of indirect ownership interests in nuclear generation facilities
*PSC-46-08-00014-P exempt	The attachment of cellular antennae to an electric transmission tower	To approve, reject or modify the request for permission to attach cellular antennae to an electric transmission tower
*PSC-48-08-00005-P exempt	A National Grid high efficiency gas heating equipment rebate program	To expand eligibility to customers converting from oil to natural gas
*PSC-48-08-00008-P exempt	Petition for the master metering and submetering of electricity	To consider the request of Bay City Metering, to master meter & submeter electricity at 345 E. 81st St., New York, New York
*PSC-48-08-00009-P exempt	Petition for the submetering of electricity	To consider the request of PCV/ST to submeter electricity at Peter Cooper Village & Stuyvesant Town, New York, New York
*PSC-50-08-00018-P exempt	Market Supply Charge	A study on the implementation of a revised Market Supply Charge
*PSC-51-08-00006-P exempt	Commission's October 27, 2008 Order on Future of Retail Access Programs in Case 07-M-0458	To consider a Petition for rehearing of the Commission's October 27, 2008 Order in Case 07-M-0458
*PSC-51-08-00007-P exempt	Commission's October 27, 2008 Order in Cases 98-M-1343, 07-M-1514 and 08-G-0078	To consider Petitions for rehearing of the Commission's October 27, 2008 Order in Cases 98-M-1343, 07-M-1514 and 08-G-0078
*PSC-53-08-00011-P exempt	Use of deferred Rural Telephone Bank funds	To determine if the purchase of a softswitch by Hancock is an appropriate use of deferred Rural Telephone Bank funds
*PSC-53-08-00012-P exempt	Transfer of permanent and temporary easements at 549-555 North Little Tor Road, New City, NY	Transfer of permanent and temporary easements at 549-555 North Little Tor Road, New City, NY
*PSC-53-08-00013-P exempt	To transfer common stock and ownership	To consider transfer of common stock and ownership
*PSC-01-09-00015-P exempt	FCC decision to redefine service area of Citizens/Frontier	Review and consider FCC proposed redefinition of Citizens/Frontier service area
*PSC-02-09-00010-P exempt	Competitive classification of independent local exchange company, and regulatory relief appropriate thereto	To determine if Chazy & Westport Telephone Corporation more appropriately belongs in scenario 1 rather than scenario 2
*PSC-05-09-00008-P exempt	Revenue allocation, rate design, performance metrics, and other non-revenue requirement issues	To consider any remaining non-revenue requirement issues related to the Company's May 9, 2008 tariff filing
*PSC-05-09-00009-P exempt	Numerous decisions involving the steam system including cost allocation, energy efficiency and capital projects	To consider the long term impacts on steam rates and on public policy of various options concerning the steam system
*PSC-06-09-00007-P exempt	Interconnection of the networks between Frontier Comm. and WVT Communications for local exchange service and exchange access	To review the terms and conditions of the negotiated agreement between Frontier Comm. and WVT Comm.

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PUBLIC SERVICE COMMISSION			
*PSC-07-09-00015-P exempt	Transfer certain utility assets located in the Town of Montgomery from plant held for future use to non-utility property	To consider the request to transfer certain utility assets located in the Town of Montgomery to non-utility assets
*PSC-07-09-00017-P exempt	Request for authorization to defer the incremental costs incurred in the restoration work resulting from the ice storm	To allow the company to defer the incremental costs incurred in the restoration work resulting from the ice storm
*PSC-07-09-00018-P exempt	Whether to permit the submetering of natural gas service to an industrial and commercial customer at Cooper Union, New York, NY	To consider the request of Cooper Union, to submeter natural gas at 41 Cooper Square, New York, New York
*PSC-12-09-00010-P exempt	Charges for commodity	To charge customers for commodity costs
*PSC-12-09-00012-P exempt	Charges for commodity	To charge customers for commodity costs
*PSC-13-09-00008-P exempt	Options for making additional central office codes available in the 718/347 numbering plan area	To consider options for making additional central office codes available in the 718/347 numbering plan area
*PSC-14-09-00014-P exempt	The regulation of revenue requirements for municipal utilities by the Public Service Commission	To determine whether the regulation of revenue requirements for municipal utilities should be modified
*PSC-16-09-00010-P exempt	Petition for the submetering of electricity	To consider the request of AMPS on behalf of Park Imperial to submeter electricity at 230 W. 56th Street, in New York, New York
*PSC-16-09-00020-P exempt	Whether SUNY's core accounts should be exempt from the mandatory assignment of local distribution company (LDC) capacity	Whether SUNY's core accounts should be exempt from the mandatory assignment of local distribution company (LDC) capacity
*PSC-17-09-00010-P exempt	Whether to permit the use of Elster REX2 solid state electric meter for use in residential and commercial accounts	To permit electric utilities in New York State to use the Elster REX2
*PSC-17-09-00011-P exempt	Whether Brooklyn Navy Yard Cogeneration Partners, L.P. should be reimbursed by Con Edison for past and future use taxes	Whether Brooklyn Navy Yard Cogeneration Partners, L.P. should be reimbursed by Con Edison for past and future use taxes
*PSC-17-09-00012-P exempt	Petition for the submetering of gas at commercial property	To consider the request of Turner Construction, to submeter natural gas at 550 Short Ave., & 10 South St., Governors Island, NY
*PSC-17-09-00014-P exempt	Benefit-cost framework for evaluating AMI programs prepared by the DPS Staff	To consider a benefit-cost framework for evaluating AMI programs prepared by the DPS Staff
*PSC-17-09-00015-P exempt	The construction of a tower for wireless antennas on land owned by National Grid	To approve, reject or modify the petition to build a tower for wireless antennas in the Town of Onondaga
*PSC-18-09-00012-P exempt	Petition for rehearing of Order approving the submetering of electricity	To consider the request of Frank Signore to rehear petition to submeter electricity at One City Place in White Plains, New York
*PSC-18-09-00013-P exempt	Petition for the submetering of electricity	To consider the request of Living Opportunities of DePaul to submeter electricity at E. Main St. located in Batavia, New York

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
*PSC-18-09-00017-P exempt	Approval of an arrangement for attachment of wireless antennas to the utility's transmission facilities in the City of Yonkers	To approve, reject or modify the petition for the existing wireless antenna attachment to the utility's transmission tower
*PSC-20-09-00016-P exempt	The recovery of, and accounting for, costs associated with the Companies' advanced metering infrastructure (AMI) pilots etc	To consider a filing of the Companies as to the recovery of, and accounting for, costs associated with it's AMI pilots etc
*PSC-20-09-00017-P exempt	The recovery of, and accounting for, costs associated with CHG&E's AMI pilot program	To consider a filing of CHG&E as to the recovery of, and accounting for, costs associated with it's AMI pilot program
*PSC-22-09-00011-P exempt	Cost allocation for Consolidated Edison's East River Repowering Project	To determine whether any changes are warranted in the cost allocation of Consolidated Edison's East River Repowering Project
*PSC-25-09-00005-P exempt	Whether to grant, deny, or modify, in whole or in part, the petition	Whether to grant, deny, or modify, in whole or in part, the petition
*PSC-25-09-00006-P exempt	Electric utility implementation plans for proposed web based SIR application process and project status database	To determine if the proposed web based SIR systems are adequate and meet requirements needed for implementation
*PSC-25-09-00007-P exempt	Electric rates for Consolidated Edison Company of New York, Inc	Consider a Petition for Rehearing filed by Consolidated Edison Company of New York, Inc
*PSC-27-09-00011-P exempt	Interconnection of the networks between Vernon and tw telecom of new york l.p. for local exchange service and exchange access.	To review the terms and conditions of the negotiated agreement between Vernon and tw telecom of new york l.p.
*PSC-27-09-00014-P exempt	Billing and payment for energy efficiency measures through utility bill	To promote energy conservation
*PSC-27-09-00015-P exempt	Interconnection of the networks between Oriskany and tw telecom of new york l.p. for local exchange service and exchange access	To review the terms and conditions of the negotiated agreement between Oriskany and tw telecom of new york l.p.
*PSC-29-09-00011-P exempt	Consideration of utility compliance filings	Consideration of utility compliance filings
*PSC-32-09-00009-P exempt	Cost allocation for Consolidated Edison's East River Repowering Project	To determine whether any changes are warranted in the cost allocation of Consolidated Edison's East River Repowering Project
*PSC-34-09-00016-P exempt	Recommendations made in the Management Audit Final Report	To consider whether to take action or recommendations contained in the Management Audit Final Report
*PSC-34-09-00017-P exempt	To consider the transfer of control of Plattsburgh Cablevision, Inc. d/b/a Charter Communications to CH Communications, LLC	To allow the Plattsburgh Cablevision, Inc. to distribute its equity interest in CH Communications, LLC
*PSC-36-09-00008-P exempt	The increase in the non-bypassable charge implemented by RG&E on June 1, 2009	Considering exemptions from the increase in the non-bypassable charge implemented by RG&E on June 1, 2009
*PSC-37-09-00015-P exempt	Sale of customer-generated steam to the Con Edison steam system	To establish a mechanism for sale of customer-generated steam to the Con Edison steam system
*PSC-37-09-00016-P exempt	Applicability of electronic signatures to Deferred Payment Agreements	To determine whether electronic signatures can be accepted for Deferred Payment Agreements

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
*PSC-39-09-00015-P exempt	Modifications to the \$5 Bill Credit Program	Consideration of petition of National Grid to modify the Low Income \$5 Bill Credit Program
*PSC-39-09-00018-P exempt	The offset of deferral balances with Positive Benefit Adjustments	To consider a petition to offset deferral balances with Positive Benefit Adjustments
*PSC-40-09-00013-P exempt	Uniform System of Accounts - request for deferral and amortization of costs	To consider a petition to defer and amortize costs
*PSC-51-09-00029-P exempt	Rules and guidelines for the exchange of retail access data between jurisdictional utilities and eligible ESCOs	To revise the uniform Electronic Data Interchange Standards and business practices to incorporate a contest period
*PSC-51-09-00030-P exempt	Waiver or modification of Capital Expenditure condition of merger	To allow the companies to expend less funds for capital improvement than required by the merger
*PSC-52-09-00006-P exempt	ACE's petition for rehearing for an order regarding generator-specific energy deliverability study methodology	To consider whether to change the Order Prescribing Study Methodology
*PSC-52-09-00008-P exempt	Approval for the New York Independent System Operator, Inc. to incur indebtedness and borrow up to \$50,000,000	To finance the renovation and construction of the New York Independent System Operator, Inc.'s power control center facilities
*PSC-05-10-00008-P exempt	Petition for the submetering of electricity	To consider the request of University Residences - Rochester, LLC to submeter electricity at 220 John Street, Henrietta, NY
*PSC-05-10-00015-P exempt	Petition for the submetering of electricity	To consider the request of 243 West End Avenue Owners Corp. to submeter electricity at 243 West End Avenue, New York, NY
*PSC-06-10-00022-P exempt	The Commission's Order of December 17, 2009 related to redevelopment of Consolidated Edison's Hudson Avenue generating facility	To reconsider the Commission's Order of December 17, 2009 related to redevelopment of the Hudson Avenue generating facility
*PSC-07-10-00009-P exempt	Petition to revise the Uniform Business Practices	To consider the RESA petition to allow rescission of a customer request to return to full utility service
*PSC-08-10-00007-P exempt	Whether to grant, deny, or modify , in whole or in part, the rehearing petition filed in Case 06-E-0847	Whether to grant, deny, or modify , in whole or in part, the rehearing petition filed in Case 06-E-0847
*PSC-08-10-00009-P exempt	Consolidated Edison of New York, Inc. energy efficiency programs	To modify approved energy efficiency programs
*PSC-12-10-00015-P exempt	Recommendations made by Staff intended to enhance the safety of Con Edison's gas operations	To require that Con Edison implement the Staff recommendations intended to enhance the safety of Con Edison's gas operations
*PSC-14-10-00010-P exempt	Petition for the submetering of electricity	To consider the request of 61 Jane Street Owners Corporation to submeter Electricity at 61 Jane Street, Manhattan, NY
*PSC-16-10-00005-P exempt	To consider adopting and expanding mobile stray voltage testing requirements	Adopt additional mobile stray voltage testing requirements

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
*PSC-16-10-00007-P exempt	Interconnection of the networks between TDS Telecom and PAETEC Communications for local exchange service and exchange access	To review the terms and conditions of the negotiated agreement between TDS Telecom and PAETEC Communications
*PSC-16-10-00015-P exempt	Interconnection of the networks between Frontier and Choice One Communications for local exchange service and exchange access	To review the terms and conditions of the negotiated agreement between Frontier and Choice One Communications
*PSC-18-10-00009-P exempt	Electric utility transmission right-of-way management practices	To consider electric utility transmission right-of-way management practices
*PSC-19-10-00022-P exempt	Whether National Grid should be permitted to transfer a parcel of property located at 1 Eddy Street, Fort Edward, New York	To decide whether to approve National Grid's request to transfer a parcel of vacant property in Fort Edward, New York
*PSC-22-10-00006-P exempt	Requirement that Noble demonstrate that its affiliated electric corporations operating in New York are providing safe service	Consider requiring that Noble demonstrate that its affiliated electric corporations in New York are providing safe service
*PSC-22-10-00008-P exempt	Petition for the submetering of electricity	To consider the request of 48-52 Franklin Street to submeter electricity at 50 Franklin Street, New York, New York
*PSC-24-10-00009-P exempt	Verizon New York Inc. tariff regulations relating to voice messaging service	To remove tariff regulations relating to retail voice messaging service from Verizon New York Inc.'s tariff
*PSC-25-10-00012-P exempt	Reassignment of the 2-1-1 abbreviated dialing code	Consideration of petition to reassign the 2-1-1 abbreviated dialing code
*PSC-27-10-00016-P exempt	Petition for the submetering of electricity	To consider the request of 9271 Group, LLC to submeter electricity at 960 Busti Avenue, Buffalo, New York
*PSC-34-10-00003-P exempt	The modification of Central Hudson Gas & Electric Corporation's Enhanced Powerful Opportunities Program	The modification of Central Hudson Gas & Electric Corporation's Enhanced Powerful Opportunities Program
*PSC-34-10-00005-P exempt	Approval of a contract for \$250,000 in tank repairs that may be a financing	To decide whether to approve a contract between the parties that may be a financing of \$250,000 for tank repairs
*PSC-34-10-00006-P exempt	The modification of Central Hudson Gas & Electric Corporation's Enhanced Powerful Opportunities Program	The modification of Central Hudson Gas & Electric Corporation's Enhanced Powerful Opportunities Program
*PSC-36-10-00010-P exempt	Central Hudson's procedures, terms and conditions for an economic development plan	Consideration of Central Hudson's procedures, terms and conditions for an economic development plan
*PSC-40-10-00014-P exempt	Disposition of a state sales tax refund	To determine how much of a state sales tax refund should be retained by National Grid
*PSC-40-10-00021-P exempt	Whether to permit the submetering of natural gas service to a commercial customer at Quaker Crossing Mall	To permit the submetering of natural gas service to a commercial customer at Quaker Crossing Mall
*PSC-41-10-00018-P exempt	Amount of hourly interval data provided to Hourly Pricing customers who have not installed a phone line to read meter	Allow Central Hudson to provide less than a years worth of interval data and charge for manual meter reading for some customers

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
*PSC-41-10-00022-P exempt	Request for waiver of the individual living unit metering requirements at 5742 Route 5, Vernon, NY	Request for waiver of the individual living unit metering requirements at 5742 Route 5, Vernon, NY
*PSC-42-10-00011-P exempt	Petition for the submetering of electricity	To consider the request of 4858 Group, LLC to submeter electricity at 456 Main Street, Buffalo, New York
*PSC-43-10-00016-P exempt	Utility Access to Ducts, Conduit Facilities and Utility Poles	To review the complaint from Optical Communications Group
*PSC-44-10-00003-P exempt	Third and fourth stage gas rate increase by Corning Natural Gas Corporation	To consider Corning Natural Gas Corporation's request for a third and fourth stage gas rate increase
*PSC-51-10-00018-P exempt	Commission proceeding concerning three-phase electric service by all major electric utilities	Investigate the consistency of the tariff provisions for three-phase electric service for all major electric utilities
*PSC-11-11-00003-P exempt	The proposed transfer of 55.42 acres of land and \$1.4 million of revenues derived from the rendition of public service	The proposed transfer of 55.42 acres of land and \$1.4 million of revenues derived from the rendition of public service
*PSC-13-11-00005-P exempt	Exclude the minimum monthly bill component from the earnings test calculation	Exclude the minimum monthly bill component from the earnings test calculation
*PSC-14-11-00009-P exempt	Petition for the submetering of electricity	To consider the request of 83-30 118th Street to submeter electricity at 83-30 118th Street, Kew Gardens, New York
*PSC-19-11-00007-P exempt	Utility price reporting requirements related to the Commission's "Power to Choose" website	Modify the Commission's utility electric commodity price reporting requirements related to the "Power to Choose" website
*PSC-20-11-00012-P exempt	Petition for the submetering of electricity	To consider the request of KMW Group LLC to submeter electricity at 122 West Street, Brooklyn, New York
*PSC-20-11-00013-P exempt	Determining the reasonableness of Niagara Mohawk Power Corporation d/b/a National Grid 's make ready charges	To determine if the make ready charges of Niagara Mohawk Power Corporation d/b/a National Grid are reasonable
*PSC-22-11-00004-P exempt	Whether to permit the use of the Sensus accWAVE for use in residential gas meter applications	To permit gas utilities in New York State to use the Sensus accWAVE diaphragm gas meter
*PSC-26-11-00007-P exempt	Water rates and charges	To approve an increase in annual revenues by about \$25,266 or 50%
*PSC-26-11-00009-P exempt	Petition for the submetering of electricity at commercial property	To consider the request of by Hoosick River Hardwoods, LLC to submeter electricity at 28 Taylor Avenue, in Berlin, New York
*PSC-26-11-00012-P exempt	Waiver of generation retirement notice requirements	Consideration of waiver of generation retirement notice requirements
*PSC-29-11-00011-P exempt	Petition requesting the Commission reconsider its May 19, 2011 Order and conduct a hearing, and petition to stay said Order.	To consider whether to grant or deny, in whole or in part, Windstream New York's Petition For Reconsideration and Rehearing.

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
*PSC-35-11-00011-P exempt	Whether to permit Consolidated Edison a waiver to commission regulations Part 226.8	Permit Consolidated Edison to conduct a inspection program in lieu of testing the accuracy of Category C meters
*PSC-36-11-00006-P exempt	To consider expanding mobile stray voltage testing requirements	Adopt additional mobile stray voltage testing requirements
*PSC-38-11-00002-P exempt	Operation and maintenance procedures pertaining to steam trap caps	Adopt modified steam operation and maintenance procedures
*PSC-38-11-00003-P exempt	Waiver of certain provisions of the electric service tariffs of Con Edison	Consideration of waiver of certain provisions of the electric service tariffs of Con Edison
*PSC-40-11-00010-P exempt	Participation of regulated local exchange carriers in the New York Data Exchange, Inc. (NYDE)	Whether to partially modify its order requiring regulated local exchange carriers' participation NYDE
*PSC-40-11-00012-P exempt	Granting of transfer of plant in-service to a regulatory asset	To approve transfer and recovery of unamortized plant investment
*PSC-42-11-00018-P exempt	Availability of telecommunications services in New York State at just and reasonable rates	Providing funding support to help ensure availability of affordable telecommunications service throughout New York
*PSC-43-11-00012-P exempt	Transfer of outstanding shares of stock	Transfer the issued outstanding shares of stock of The Meadows at Hyde Park Water-Works Corporation to HPWS, LLC
*PSC-47-11-00007-P exempt	Remedying miscalculations of delivered gas as between two customer classes	Consideration of Con Edison's proposal to address inter-class delivery imbalances resulting from past Company miscalculations
*PSC-48-11-00007-P exempt	Transfer of controlling interests in generation facilities from Dynegy to PSEG	Consideration of the transfer of controlling interests in electric generation facilities from Dynegy to PSEG
*PSC-48-11-00008-P exempt	Petition for the submetering of electricity	To consider the request of To Better Days, LLC to submeter electricity at 37 East 4th Street, New York, New York
*PSC-01-12-00007-P exempt	The New York State Reliability Council's revisions to its rules and measurements	To adopt revisions to various rules and measurements of the New York State Reliability Council
*PSC-01-12-00008-P exempt	Transfer of real property and easements from NMPNS to NMP3	Consideration of the transfer of real property and easements from NMPNS to NMP3
*PSC-01-12-00009-P exempt	Recovery of expenses related to the expansion of Con Edison's ESCO referral program, PowerMove	To determine how and to what extent expenses related to the Expansion of Con Edison's ESCO referral program should be recovered
*PSC-11-12-00002-P exempt	Whether to grant, deny or modify, in whole or part, Hegeman's petition for a waiver of Commission policy and Con Edison tariff	Whether to grant, deny or modify, in whole or part, Hegeman's petition for a waiver of Commission policy and Con Edison tariff
*PSC-11-12-00005-P exempt	Transfer of land and water supply assets	Transfer the land and associated water supply assets of Groman Shores, LLC to Robert Groman
*PSC-13-12-00005-P exempt	Authorization to transfer certain real property	To decide whether to approve the transfer of certain real property

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
*PSC-19-12-00023-P exempt	Petition for approval pursuant to Section 70 for the sale of goods with an original cost of less than \$100,000	To consider whether to grant, deny or modify, in whole or in part, the petition filed by Orange and Rockland Utilities, Inc.
*PSC-21-12-00006-P exempt	Tariff filing requirements and refunds	To determine if certain agreements should be filed pursuant to the Public Service Law and if refunds are warranted
*PSC-21-12-00011-P exempt	Whether to grant, deny or modify, in whole or part, the petition for waiver of tariff Rules 8.6 and 47	Whether to grant, deny or modify, in whole or part, the petition for waiver of tariff Rules 8.6 and 47
*PSC-23-12-00007-P exempt	The approval of a financing upon a transfer to Alliance of upstream ownership interests in a generation facility	To consider the approval of a financing upon a transfer to Alliance of upstream ownership interests in a generation facility
*PSC-23-12-00009-P exempt	Over earnings sharing between rate payers and shareholders	To establish an Earnings Sharing Mechanism to be applied following the conclusion of Corning's rate plan
*PSC-27-12-00012-P exempt	Implementation of recommendations made in a Management Audit Report	To consider implementation of recommendations made in a Management Audit Report
*PSC-28-12-00013-P exempt	Exemption of reliability reporting statistics for the purpose of the 2012 Reliability Performance Mechanism	Consideration of Orange and Rockland Utilities request for exemption of the 2012 reliability reporting statistics
*PSC-29-12-00019-P exempt	Waiver of 16 NYCRR 894.1 through 894.4	To allow the Town of Hamden to waive certain preliminary franchising procedures to expedite the franchising process.
*PSC-30-12-00010-P exempt	Waiver of 16 NYCRR 894.1 through 894.4	To allow the Town of Andes to waive certain preliminary franchising procedures to expedite the franchising process
*PSC-33-12-00009-P exempt	Telecommunications companies ability to attach to utility company poles	Consideration of Tech Valley's ability to attach to Central Hudson poles
*PSC-37-12-00009-P exempt	Proposed modification by Con Edison of its procedures to calculate estimated bills to its customers	Proposed modification by Con Edison of its procedures to calculate estimated bills to its customers
*PSC-42-12-00009-P exempt	Regulation of Gipsy Trail Club, Inc.'s long-term financing agreements	To exempt Gipsy Trail Club, Inc. from Commission regulation of its financing agreements
*PSC-45-12-00008-P exempt	Whether to grant, deny or modify, in whole or part, ESHG's petition for a waiver of Commission policy and RG&E tariff	Whether to grant, deny or modify, in whole or part, ESHG's petition for a waiver of Commission policy and RG&E tariff
*PSC-45-12-00010-P exempt	Whether to grant, deny or modify, in whole or in part the petition of Con Edison to grant easements to Millwood Fire District	Whether to grant, deny or modify, in whole or in part the petition of Con Edison to grant easements to Millwood Fire District
*PSC-50-12-00003-P exempt	Affiliate standards for Corning Natural Gas Corporation	To resolve issues raised by Corning Natural Gas Corporation in its petition for rehearing
*PSC-04-13-00006-P exempt	Expansion of mandatory day ahead hourly pricing for customers of Orange and Rockland Utilities with demands above 100 kW	To consider the expansion of mandatory day ahead hourly pricing for customers with demands above 100 kW

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
*PSC-04-13-00007-P exempt	Authorization to transfer certain real property.	To decide whether to approve the transfer of certain real property.
*PSC-06-13-00008-P exempt	Verizon New York Inc.'s retail service quality	To investigate Verizon New York Inc.'s retail service quality
*PSC-08-13-00012-P exempt	Filing requirements for certain Article VII electric facilities	To ensure that applications for certain electric transmission facilities contain pertinent information
*PSC-08-13-00014-P exempt	Uniform System of Accounts - Request for Accounting Authorization	To allow the company to defer an item of expense or capital beyond the end of the year in which it was incurred
*PSC-12-13-00007-P exempt	Protecting company water mains	To allow the company to require certain customers to make changes to the electrical grounding system at their homes
*PSC-13-13-00008-P exempt	The potential waiver of 16 NYCRR 255.9221(d) completion of integrity assessments for certain gas transmission lines.	To determine whether a waiver of the timely completion of certain gas transmission line integrity assessments should be granted.
*PSC-18-13-00007-P exempt	Whether Demand Energy Networks energy storage systems should be designated technologies for standby rate eligibility purposes	Whether Demand Energy Networks energy storage systems should be designated technologies for standby rate eligibility purposes
*PSC-21-13-00003-P exempt	To consider policies that may impact consumer acceptance and use of electric vehicles	To consider and further develop policies that may impact consumer acceptance and use of electric vehicles
*PSC-21-13-00005-P exempt	To implement an abandonment of Windover's water system	To approve the implementation of abandonment of Windover's water system
*PSC-21-13-00008-P exempt	Rates of National Fuel Gas Distribution Corporation	To make the rates of National Fuel Gas Distribution Corporation temporary, subject to refund, if they are found to be excessive
*PSC-21-13-00009-P exempt	Reporting requirements for natural gas local distribution companies	To help ensure efficient and economic expansion of the natural gas system as appropriate
*PSC-22-13-00009-P exempt	On remand from New York State court litigation, determine the recovery of certain deferred amounts owed NFG by ratepayers	On remand, to determine the recovery of certain deferral amounts owed NFG from ratepayers
*PSC-23-13-00005-P exempt	Waiver of partial payment, directory database distribution, service quality reporting, and service termination regulations	Equalize regulatory treatment based on level of competition and practical considerations
*PSC-25-13-00008-P exempt	To deny, grant or modify, in whole or in part, Central Hudson's rehearing request.	To deny, grant or modify, in whole or in part, Central Hudson's rehearing request.
*PSC-25-13-00009-P exempt	Provision by utilities of natural gas main and service lines.	To help ensure efficient and economic expansion of the natural gas system as appropriate.
*PSC-25-13-00012-P exempt	To deny, grant or modify, in whole or in part, Central Hudson's rehearing request.	To deny, grant or modify, in whole or in part, Central Hudson's rehearing request.

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
*PSC-27-13-00014-P exempt	Columbia Gas Transmission Corporation Cost Refund	For approval for temporary waiver of tariff provisions regarding its Columbia Gas Transmission Corporation cost refund.
*PSC-28-13-00014-P exempt	Provision for the recovery and allocation of costs of transmission projects that reduce congestion on certain interfaces	To consider the recovery and allocation of costs of transmission projects that reduce congestion on certain interfaces
*PSC-28-13-00016-P exempt	The request of NGT for lightened regulation as a gas corporation.	To consider whether to approve, reject, or modify the request of Niagara gas transport of Lockport, NY LLC.
*PSC-28-13-00017-P exempt	The request by TE for waiver of regulations requiring that natural gas be odorized in certain gathering line segments	Consider the request by TE for waiver of regulations that gas be odorized in certain lines
*PSC-32-13-00009-P exempt	To consider the definition of “misleading or deceptive conduct” in the Commission’s Uniform Business Practices	To consider the definition of “misleading or deceptive conduct” in the Commission’s Uniform Business Practices
*PSC-32-13-00012-P exempt	To consider whether NYSEG should be required to undertake actions to protect its name and to minimize customer confusion	To consider whether NYSEG should be required to undertake actions to protect its name and to minimize customer confusion
*PSC-33-13-00027-P exempt	Waive underground facility requirements for new construction in residential subdivisions to allow for overhead electric lines.	Determine whether Chapin Lumberland, LLC subdivision will be allowed overhead electric distribution and service lines.
*PSC-33-13-00029-P exempt	Deferral of incremental costs associated with the restoration of steam service following Superstorm Sandy.	To consider a petition by Con Edison to defer certain incremental steam system restoration costs relating to Superstorm Sandy.
*PSC-34-13-00004-P exempt	Escrow account and surcharge to fund extraordinary repairs	To approve the establishment of an escrow account and surcharge
*PSC-42-13-00013-P exempt	Failure to Provide Escrow Information	The closure of the Escrow Account
*PSC-42-13-00015-P exempt	Failure to Provide Escrow Information	The closure of the Escrow Account
*PSC-43-13-00015-P exempt	Petition for submetering of electricity	To consider the request of 2701 Kingsbridge Terrace L.P. to submeter electricity at 2701 Kingsbridge Terrace, Bronx, N.Y.
*PSC-45-13-00021-P exempt	Investigation into effect of bifurcation of gas and electric utility service on Long Island.	To consider a Petition for an investigation into effect of bifurcation of gas and electric utility service on Long Island.
*PSC-45-13-00022-P exempt	Waiver of PSC regulations, 16 NYCRR section 88.4(a)(4)	To consider a waiver of certain regulations relating to the content of an application for transmission line siting
*PSC-45-13-00023-P exempt	Waiver of PSC regulations, 16 NYCRR section 88.4(a)(4).	To consider a waiver of certain regulations relating to the content of an application for transmission line siting
*PSC-45-13-00024-P exempt	Waiver of PSC regulations, 16 NYCRR section 88.4(a)(4); waiver of filing deadlines.	To consider a waiver of certain regulations relating to the content of an application for transmission line siting
*PSC-45-13-00025-P exempt	Waiver of PSC regulations, 16 NYCRR section 88.4(a)(4).	To consider a waiver of certain regulations relating to the content of an application for transmission line siting

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
*PSC-47-13-00009-P exempt	Petition for submetering of electricity.	To consider the request of Hegeman Avenue Housing L.P. to submeter electricity at 39 Hegeman Avenue, Brooklyn, N.Y.
*PSC-47-13-00012-P exempt	Conditioning, restricting or prohibiting the purchase of services by NYSEG and RG&E from certain affiliates.	Consideration of conditioning, restricting or prohibiting the purchase of services by NYSEG and RG&E from certain affiliates.
*PSC-49-13-00008-P exempt	Authorization to transfer all of Crystal Water Supply Company, Inc. stocks to Essel Infra West Inc.	To allow Crystal Water Supply Company, Inc to transfer all of its issued and outstanding stocks to Essel Infra West Inc.
*PSC-51-13-00009-P exempt	Consolidated Edison proposing to use data from a test period ending September 30, 2013 to support its next rate filing.	To ensure there is a reasonable basis for data submitted in support of a request for a change in rates.
*PSC-51-13-00010-P exempt	Consolidated Edison proposing to use data from a test period ending September 30, 2013 to support its next rate filing.	To ensure there is a reasonable basis for data submitted in support of a request for a change in rates.
*PSC-51-13-00011-P exempt	Consolidated Edison proposing to use data from a test period ending September 30, 2013 to support its next rate filing.	To ensure there is a reasonable basis for data submitted in support of a request for a change in rates.
*PSC-52-13-00012-P exempt	The development of reliability contingency plan(s) to address the potential retirement of Indian Point Energy Center (IPEC).	To address the petition for rehearing and reconsideration/motion for clarification of the IPEC reliability contingency plan(s).
*PSC-52-13-00015-P exempt	To enter into a loan agreement with the banks for up to an amount of \$94,000.	To consider allowing Knolls Water Company to enter into a long-term loan agreement.
*PSC-05-14-00010-P exempt	The New York State Reliability Council's revisions to its rules and measurements	To adopt revisions to various rules and measurements of the New York State Reliability Council
*PSC-07-14-00008-P exempt	Petition for submetering of electricity	To consider the request of Greater Centennial Homes HDPC, Inc. to submeter electricity at 102, 103 and 106 W 5th Street, et al.
*PSC-07-14-00012-P exempt	Water rates and charges	Implementation of Long-Term Water Supply Surcharge to recover costs associated with the Haverstraw Water Supply Project
*PSC-08-14-00015-P exempt	Verizon New York Inc.'s service quality and Customer Trouble Report Rate (CTRR) levels at certain central office entities	To improve Verizon New York Inc.'s service quality and the Customer Trouble Report Rate levels at certain central office entities
*PSC-10-14-00006-P exempt	Actions to facilitate the availability of ESCO value-added offerings, ESCO eligibility and ESCO compliance	To facilitate ESCO value-added offerings and to make changes to ESCO eligibility and to ensure ESCO compliance
*PSC-11-14-00003-P exempt	Provision for the recovery and allocation of costs of transmission projects that reduce congestion on certain interfaces	To consider the recovery and allocation of costs of transmission projects that reduce congestion on certain interfaces
*PSC-16-14-00014-P exempt	Whether to order NYSEG to provide gas service to customers when an expanded CPCN is approved and impose PSL 25-a penalties.	To order gas service to customers in the Town of Plattsburgh after approval of a town wide CPCN and to impose penalties.

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
*PSC-16-14-00015-P exempt	Whether Central Hudson should be permitted to defer obligations of the Order issued on October 18, 2013 in Case 13-G-0336.	Consideration of the petition by Central Hudson to defer reporting obligations of the October 18, 2013 Order in Case 13-G-0336
*PSC-17-14-00003-P exempt	Con Edison's Report on its 2013 performance under the Electric Service Reliability Performance Mechanism	Con Edison's Report on its 2013 performance under the Electric Service Reliability Performance Mechanism
*PSC-17-14-00004-P exempt	To consider certain portions of petitions for rehearing, reconsideration and/or clarification	To consider certain portions of petitions for rehearing, reconsideration and/or clarification
*PSC-17-14-00007-P exempt	To consider petitions for rehearing, reconsideration and/or clarification	To consider petitions for rehearing, reconsideration and/or clarification
*PSC-17-14-00008-P exempt	To consider certain portions of petitions for rehearing, reconsideration and/or clarification	To consider certain portions of petitions for rehearing, reconsideration and/or clarification
*PSC-19-14-00014-P exempt	Market Supply Charge	To make tariff revisions to the Market Supply Charge for capacity related costs
*PSC-19-14-00015-P exempt	Whether to permit the use of the Sensus accuWAVE for use in residential and commercial gas meter applications	To permit gas utilities in New York State to use the Sensus accuWAVE 415TC gas meter
*PSC-22-14-00013-P exempt	Petition to transfer and merge systems, franchises and assets.	To consider the Comcast and Time Warner Cable merger and transfer of systems, franchises and assets.
*PSC-23-14-00010-P exempt	Whether to permit the use of the GE Dresser Series B3-HPC 11M-1480 rotary gas met for use in industrial gas meter applications	To permit gas utilities in New York State to use the GE Dresser Series B3-HPC 11M-1480 rotary gas meter
*PSC-23-14-00014-P exempt	Waiver of the negative revenue adjustment associated with KEDLI's 2013 Customer Satisfaction Performance Metric	Consideration of KEDLI's waiver request pertaining to its 2013 performance under its Customer Satisfaction Metric
*PSC-24-14-00005-P exempt	To examine LDC's performance and performance measures.	To improve gas safety performance.
*PSC-26-14-00013-P exempt	Waiver of RG&E's tariffed definition of emergency generator.	To consider waiver of RG&E's tariffed definition of emergency generator.
*PSC-26-14-00020-P exempt	New electric utility backup service tariffs and standards for interconnection may be adopted.	To encourage development of microgrids that enhance the efficiency, safety, reliability and resiliency of the electric grid.
*PSC-26-14-00021-P exempt	Consumer protections, standards and protocols pertaining to access to customer data may be established.	To balance the need for the information necessary to support a robust market with customer privacy concerns.
*PSC-28-14-00014-P exempt	Petition to transfer systems, franchises and assets.	To consider the Comcast and Charter transfer of systems, franchise and assets.
*PSC-30-14-00023-P exempt	Whether to permit the use of the Sensus iPERL Fire Flow Meter.	Pursuant to 16 NYCRR Part 500.3 , it is necessary to permit the use of the Sensus iPERL Fire Flow Meter.
*PSC-30-14-00026-P exempt	Petition for a waiver to master meter electricity.	Considering the request of Renaissance Corporation of to master meter electricity at 100 Union Drive, Albany, NY.

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
*PSC-31-14-00004-P exempt	To transfer 100% of the issued and outstanding stock from Vincent Cross to Bonnie and Michael Cross	To transfer 100% of the issued and outstanding stock from Vincent Cross to Bonnie and Michael Cross
*PSC-32-14-00012-P exempt	Whether to grant or deny, in whole or in part, the Connect New York Coalition's petition	To consider the Connect New York Coalition's petition seeking a formal investigation and hearings
*PSC-35-14-00004-P exempt	Regulation of a proposed electricity generation facility located in the Town of Brookhaven, NY	To consider regulation of a proposed electricity generation facility located in the Town of Brookhaven, NY
*PSC-35-14-00005-P exempt	Whether to permit the use of the Sensus iConA electric meter	Pursuant to 16 NYCRR Parts 92 and 93, Commission approval is necessary to permit the use of the Sensus iConA electric meter
*PSC-36-14-00009-P exempt	Modification to the Commission's Electric Safety Standards.	To consider revisions to the Commission's Electric Safety Standards.
*PSC-38-14-00003-P exempt	Whether to approve, reject or modify, in whole or in part a time-sensitive rate pilot program.	Whether to approve, reject or modify, in whole or in part a time-sensitive rate pilot program.
*PSC-38-14-00004-P exempt	The study and petition of Con Edison regarding use, accounting and ratemaking treatment for 11-23 and 2-28 Hudson Ave. Brooklyn.	The study and petition of Con Edison regarding use, accounting and ratemaking treatment for 11-23 and 2-28 Hudson Ave. Brooklyn.
*PSC-38-14-00005-P exempt	Action on the report and petition of Con Edison regarding the Storm Hardening and Resiliency Collaborative, Phase 2.	Action on the report and petition of Con Edison regarding the Storm Hardening and Resiliency Collaborative, Phase 2.
*PSC-38-14-00007-P exempt	Whether to expand Con Edison's low income program to include Medicaid recipients.	Whether to expand Con Edison's low income program to include Medicaid recipients.
*PSC-38-14-00008-P exempt	The study and petition of Con Edison regarding use, accounting and ratemaking treatment for 11-23 and 2-28 Hudson Ave. Brooklyn.	The study and petition of Con Edison regarding use, accounting and ratemaking treatment for 11-23 and 2-28 Hudson Ave. Brooklyn.
*PSC-38-14-00010-P exempt	Inter-carrier telephone service quality standard and metrics and administrative changes.	To review recommendations from the Carrier Working Group and incorporate appropriate modifications to the existing Guidelines.
*PSC-38-14-00012-P exempt	Action on the report and petition of Con Edison regarding the Storm Hardening and Resiliency Collaborative, Phase 2.	Action on the report and petition of Con Edison regarding the Storm Hardening and Resiliency Collaborative, Phase 2.
*PSC-39-14-00020-P exempt	Whether to permit the use of the Mueller Systems 400 Series and 500 Series of water meters	Pursuant to 16 NYCRR section 500.3, whether to permit the use of the Mueller Systems 400, and 500 Series of water meters
*PSC-40-14-00008-P exempt	To consider granting authorization for Buy Energy Direct to resume marketing to residential customers.	To consider granting authorization for Buy Energy Direct to resume marketing to residential customers.
*PSC-40-14-00009-P exempt	Whether to permit the use of the Itron Open Way Centron Meter with Hardware 3.1 for AMR and AMI functionality.	Pursuant to 16 NYCRR Parts 93, is necessary to permit the use of the Itron Open Way Centron Meter with Hardware 3.1.
*PSC-40-14-00011-P exempt	Late Payment Charge.	To modify Section 7.6 - Late Payment Charge to designate a specific time for when a late payment charge is due.

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
*PSC-40-14-00013-P exempt	Regulation of a proposed natural gas pipeline and related facilities located in the Town of Ticonderoga, NY.	To consider regulation of a proposed natural gas pipeline and related facilities located in the Town of Ticonderoga, NY.
*PSC-40-14-00014-P exempt	Waiver of 16 NYCRR Sections 894.1 through 894.4(b)(2)	To allow the Town of Goshen, NY, to waive certain preliminary franchising procedures to expedite the franchising process.
*PSC-40-14-00015-P exempt	Late Payment Charge.	To modify Section 6.6 - Late Payment Charge to designate a specific time for when a late payment charge is due.
*PSC-42-14-00003-P exempt	Annual Reconciliation of Gas Expenses and Gas Cost Recoveries	The filings of various LDCs and municipalities regarding their Annual Reconciliation of Gas Expenses and Gas Cost Recoveries
*PSC-42-14-00004-P exempt	Winter Bundled Sales Service Option	To modify SC-11 to remove language relating to fixed storage charges in the determination of the Winter Bundled Sales charge
*PSC-48-14-00014-P exempt	Considering the recommendations contained in Staff's electric outage investigation report for MNRR, New Haven Line.	To consider the recommendations contained in Staff's electric outage investigation report for MNRR, New Haven Line.
*PSC-52-14-00019-P exempt	Petition for a waiver to master meter electricity.	Considering the request of 614 South Crouse Avenue, LLC to master meter electricity at 614 South Crouse Avenue, Syracuse, NY..
*PSC-01-15-00014-P exempt	State Universal Service Fund Disbursements	To consider Edwards Telephone Company's request for State Universal Service Fund disbursements
*PSC-08-15-00010-P exempt	Request pertaining to the lawfulness of National Grid USA continuing its summary billing program.	To grant, deny, or modify URAC Rate Consultants' request that National Grid cease its summary billing program.
*PSC-10-15-00007-P exempt	Notification concerning tax refunds	To consider Verizon New York Inc.'s partial rehearing or reconsideration request regarding retention of property tax refunds
*PSC-10-15-00008-P exempt	Whether to waive Policy on Test Periods in Major Rate Proceedings and provide authority to file tariff changes	Whether to waive Policy on Test Periods in Major Rate Proceedings and provide authority to file tariff changes
*PSC-13-15-00024-P exempt	Whether Leatherstocking should be permitted to recover a shortfall in earnings	To decide whether to approve Leatherstocking's request to recover a shortfall in earnings
*PSC-13-15-00026-P exempt	Whether to permit the use of the Sensus Smart Point Gas AMR/AMI product	To permit the use of the Sensus Smart Point Gas AMR/AMI product
*PSC-13-15-00027-P exempt	Whether to permit the use of the Measurlogic DTS 310 electric submeter	To permit the use of the Measurlogic DTS 310 submeter
*PSC-13-15-00028-P exempt	Whether to permit the use of the SATEC EM920 electric meter	To permit necessary to permit the use of the SATEC EM920 electric meter
*PSC-13-15-00029-P exempt	Whether to permit the use the Triacta Power Technologies 6103, 6112, 6303, and 6312 electric submeters	To permit the use of the Triacta submeters

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
*PSC-17-15-00007-P exempt	To consider the petition of Leatherstocking Gas Company, LLC seeking authority to issue long-term debt of \$2.75 million	To consider the petition of Leatherstocking Gas Company, LLC seeking authority to issue long-term debt of \$2.75 million
*PSC-18-15-00005-P exempt	Con Edison's Report on its 2014 performance under the Electric Service Reliability Performance Mechanism	Con Edison's Report on its 2014 performance under the Electric Service Reliability Performance Mechanism
*PSC-19-15-00011-P exempt	Gas Safety Performance Measures and associated negative revenue adjustments	To update the performance measures applicable to KeySpan Gas East Corporation d/b/a National Grid
*PSC-22-15-00015-P exempt	To consider the request for waiver of the individual residential unit meter requirements and 16 NYCRR 96.1(a)	To consider the request for waiver of the individual residential unit meter requirements and 16 NYCRR 96.1(a)
*PSC-23-15-00005-P exempt	The modification of New York American Water's current rate plan	Whether to adopt the terms of the Joint Proposal submitted by NYAW and DPS Staff
*PSC-23-15-00006-P exempt	The modification of New York American Water's current rate plan	Whether to adopt the terms of the Joint Proposal submitted by NYAW and DPS Staff
*PSC-25-15-00008-P exempt	Notice of Intent to Submeter electricity.	To consider the request of 165 E 66 Residences, LLC to submeter electricity at 165 East 66th Street, New York, New York.
*PSC-29-15-00025-P exempt	Joint Petition for authority to transfer real property located at 624 West 132nd Street, New York, NY	Whether to authorize the proposed transfer of real property located at 624 West 132nd Street, New York, NY
*PSC-32-15-00006-P exempt	Development of a Community Solar Demonstration Project.	To approve the development of a Community Solar Demonstration Project.
*PSC-33-15-00009-P exempt	Remote net metering of a demonstration community net metering program.	To consider approval of remote net metering of a demonstration community net metering program.
*PSC-33-15-00012-P exempt	Remote net metering of a Community Solar Demonstration Project.	To consider approval of remote net metering of a Community Solar Demonstration Project.
*PSC-34-15-00021-P exempt	Petition by NYCOM requesting assistance with obtaining information on CLECs and ESCOs	To consider the petition by NYCOM requesting assistance with obtaining information on CLECs and ESCOs
*PSC-35-15-00014-P exempt	Consideration of consequences against Light Power & Gas, LLC for violations of the UBP	To consider consequences against Light Power & Gas, LLC for violations of the UBP
*PSC-37-15-00007-P exempt	Submetered electricity	To consider the request of 89 Murray Street Ass. LLC, for clarification of the submetering order issued December 20, 2007
*PSC-40-15-00014-P exempt	Whether to permit the use of the Open Way 3.5 with cellular communications	To consider the use of the Open Way 3.5 electric meter, pursuant to 16 NYCRR Parts 92 and 93
*PSC-42-15-00006-P exempt	Deferral of incremental expenses associated with NERC's new Bulk Electric System (BES) compliance requirements approved by FERC.	Consideration of Central Hudson's request to defer incremental expenses associated with new BES compliance requirements.
*PSC-44-15-00028-P exempt	Deferral of incremental expenses associated with new compliance requirements	Consideration of Central Hudson's request to defer incremental expenses associated with new compliance requirements

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
*PSC-47-15-00013-P exempt	Whitepaper on Implementing Lightened Ratemaking Regulation.	Consider Whitepaper on Implementing Lightened Ratemaking Regulation.
*PSC-48-15-00011-P exempt	Proposal to retire Huntley Units 67 and 68 on March 1, 2016.	Consider the proposed retirement of Huntley Units 67 and 68.
*PSC-50-15-00006-P exempt	The reduction of rates.	To consider the reduction of rates charged by Independent Water Works, Inc.
*PSC-50-15-00009-P exempt	Notice of Intent to submeter electricity.	To consider the request to submeter electricity at 31-33 Lincoln Road and 510 Flatbush Avenue, Brooklyn, New York.
*PSC-51-15-00010-P exempt	Modification of the EDP	To consider modifying the EDP
*PSC-01-16-00005-P exempt	Proposed amendment to Section 5, Attachment 1.A of the Uniform Business Practices	To consider amendment to Section 5, Attachment 1.A of the Uniform Business Practices
*PSC-04-16-00007-P exempt	Whether Hamilton Municipal Utilities should be permitted to construct and operate a municipal gas distribution facility.	Consideration of the petition by Hamilton Municipal Utilities to construct and operate a municipal gas distribution facility.
*PSC-04-16-00012-P exempt	Proposal to mothball three gas turbines located at the Astoria Gas Turbine Generating Station.	Consider the proposed mothball of three gas turbines located at the Astoria Gas Turbine Generating Station.
*PSC-04-16-00013-P exempt	Proposal to find that three gas turbines located at the Astoria Gas Turbine Generating Station are uneconomic.	Consider whether three gas turbines located at the Astoria Gas Turbine Generating Station are uneconomic.
*PSC-06-16-00013-P exempt	Continued deferral of approximately \$16,000,000 in site investigation and remediation costs.	To consider the continued deferral of approximately \$16,000,000 in site investigation and remediation costs.
*PSC-06-16-00014-P exempt	MEGA's proposed demonstration CCA program.	To consider MEGA's proposed demonstration CCA program.
*PSC-14-16-00008-P exempt	Resetting retail markets for ESCO mass market customers.	To ensure consumer protections with respect to residential and small non-residential ESCO customers.
*PSC-18-16-00013-P exempt	Amendments to the Uniform Business Practices of ESCOs.	To ensure consumer protection for ESCO customers.
*PSC-18-16-00014-P exempt	Amendments to the Uniform Business Practices of ESCOs.	To ensure consumer protection for ESCO customers.
*PSC-18-16-00015-P exempt	Petitions for rehearing of the Order Resetting Retail Energy Markets and Establishing Further Process.	To ensure consumer protections for ESCO customers.
*PSC-18-16-00016-P exempt	Amendments to the Uniform Business Practices of ESCOs.	To ensure consumer protection for ESCO customers.
*PSC-18-16-00018-P exempt	Amendments to the Uniform Business Practices of ESCOs.	To ensure consumer protection for ESCO customers.
*PSC-20-16-00008-P exempt	Consideration of consequences against Global Energy Group, LLC for violations of the Uniform Business Practices (UBP).	To consider consequences against Global Energy Group, LLC for violations of the Uniform Business Practices (UBP).

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
*PSC-20-16-00010-P exempt	Deferral and recovery of incremental expense.	To consider deferring costs of conducting leak survey and repairs for subsequent recovery.
*PSC-20-16-00011-P exempt	Enetics LD-1120 Non-Intrusive Load Monitoring Device in the Statewide Residential Appliance Metering Study.	To consider the use of the Enetics LD-1120 Non-Intrusive Load Monitoring Device.
*PSC-24-16-00009-P exempt	Petition to submeter gas service.	To consider the Petition of New York City Economic Development Corp. to submeter gas at Pier 17, 89 South Street, New York, NY.
*PSC-25-16-00009-P exempt	To delay Companies' third-party assessments of customer personally identifiable information until 2018.	To extend the time period between the Companies' third-party assessments of customer personally identifiable information.
*PSC-25-16-00025-P exempt	Acquisition of all water supply assets of Woodbury Heights Estates Water Co., Inc. by the Village of Kiryas Joel.	To consider acquisition of all water supply assets of Woodbury Heights Estates Water Co., Inc. by the Village of Kiryas Joel.
*PSC-25-16-00026-P exempt	Use of the Badger E Series Ultrasonic Cold Water Stainless Steel Meter, in residential fire service applications.	To consider the use of the Badger E Series Ultrasonic Cold Water Stainless Steel Meter in fire service applications.
*PSC-28-16-00017-P exempt	A petition for rehearing of the Order Adopting a Ratemaking and Utility Revenue Model Policy Framework.	To determine appropriate rules for and calculation of the distributed generation reliability credit.
*PSC-29-16-00024-P exempt	Participation of NYPA customers in surcharge-funded clean energy programs.	To consider participation of NYPA customers in surcharge-funded clean energy programs.
*PSC-32-16-00012-P exempt	Benefit-Cost Analysis Handbooks.	To evaluate proposed methodologies of benefit-cost evaluation.
*PSC-33-16-00001-EP exempt	Use of escrow funds for repairs.	To authorize the use of escrow account funds for repairs.
*PSC-33-16-00005-P exempt	Exemption from certain charges for delivery of electricity to its Niagara Falls, New York facility.	Application of System Benefits Charges, Renewable Portfolio Standard charges and Clean Energy Fund surcharges.
*PSC-35-16-00015-P exempt	NYSRC's revisions to its rules and measurements	To consider revisions to various rules and measurements of the NYSRC
*PSC-36-16-00004-P exempt	Recovery of costs for installation of electric service.	To consider the recovery of costs for installation of electric service.
*PSC-40-16-00025-P exempt	Consequences pursuant to the Commission's Uniform Business Practices (UBP).	To consider whether to impose consequences on Smart One for its apparent non-compliance with Commission requirements.
*PSC-47-16-00009-P exempt	Petition to use commercial electric meters	To consider the petition of Itron, Inc. to use the Itron CP2SO and CP2SOA in commercial electric meter applications
*PSC-47-16-00010-P exempt	Standby Service rate design	To consider the report filed and the recommendations therein
*PSC-47-16-00013-P exempt	Standby Service rate design	To consider the report filed and the recommendations therein

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
*PSC-47-16-00014-P exempt	Standby Service rate design	To consider the report filed and the recommendations therein
*PSC-47-16-00016-P exempt	Standby Service rate design	To consider the report filed and the recommendations therein
*PSC-02-17-00010-P exempt	Implementation of the four EAMs.	To consider the implementation of EAMs for RG&E.
*PSC-02-17-00012-P exempt	Implementation of the four EAMs.	To consider the implementation of EAMs for NYSEG.
*PSC-18-17-00024-P exempt	A petition for rehearing or reconsideration of the Order Addressing Public Policy Transmission Need for AC Transmission Upgrades	To determine whether Public Policy Transmission Need/Public Policy Requirements continue to exist.
*PSC-18-17-00026-P exempt	Revisions to the Dynamic Load Management surcharge.	To consider revisions to the Dynamic Load Management surcharge.
*PSC-19-17-00004-P exempt	NYAW's request to defer and amortize, for future rate recognition, pension settlement payout losses incurred in 2016.	Consideration of NYAW's petition to defer and amortize, for future rate recognition, pension payout losses incurred in 2016.
*PSC-20-17-00008-P exempt	Compressed natural gas as a motor fuel for diesel fueled vehicles.	To consider a report filed by National Grid NY regarding the potential for adoption of compressed natural gas as a motor fuel.
*PSC-20-17-00010-P exempt	Compressed natural gas as a motor fuel for diesel fueled vehicles.	To consider a report filed by National Grid regarding the potential for adoption of compressed natural gas as a motor fuel.
*PSC-21-17-00013-P exempt	The establishment and implementation of Earnings Adjustment Mechanisms.	To consider the establishment and implementation of Earnings Adjustment Mechanisms.
*PSC-21-17-00018-P exempt	Proposed agreement for the provision of water service by Saratoga Water Services, Inc.	To consider a waiver and approval of terms of a service agreement.
*PSC-22-17-00004-P exempt	Financial incentives to create customer savings and develop market-enabling tools, with a focus on outcomes and incentives	To consider the proposed Interconnection Survey Process and Earnings Adjustment Mechanisms
*PSC-24-17-00006-P exempt	Development of the Utility Energy Registry.	Improved data access.
*PSC-26-17-00005-P exempt	Notice of Intent to submeter electricity.	To consider the Notice of Intent to submeter electricity at 125 Waverly Street, Yonkers, New York.
*PSC-34-17-00011-P exempt	Waiver to permit Energy Cooperative of America to serve low-income customers	To consider the petition for a waiver
*PSC-37-17-00005-P exempt	Financial incentives to create customer savings and develop market-enabling tools, with a focus on outcomes and incentives.	To consider the revised Interconnection Survey Process and Earnings Adjustment Mechanisms.
*PSC-39-17-00011-P exempt	Whether to direct New York State Electric & Gas to complete electric facility upgrades at no charge to Hanehan.	To determine financial responsibility between NYSEG and Hanehan for the electric service upgrades to Hanehan.

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
*PSC-42-17-00010-P exempt	Petition for rehearing of negative revenue adjustment and contents of annual Performance Report.	To consider NFGD's petition for rehearing.
*PSC-48-17-00015-P exempt	Low Income customer options for affordable water bills.	To consider the Low Income Bill Discount and/or Energy Efficiency Rebate Programs.
*PSC-50-17-00017-P exempt	New Wave Energy Corp.'s petition for rehearing.	To consider the petition for rehearing filed by New Wave Energy Corp.
*PSC-50-17-00018-P exempt	Application of the Public Service Law to DER suppliers.	To determine the appropriate regulatory framework for DER suppliers.
*PSC-50-17-00019-P exempt	Transfer of utility property.	To consider the transfer of utility property.
*PSC-50-17-00021-P exempt	Disposition of tax refunds and other related matters.	To consider the disposition of tax refunds and other related matters.
*PSC-51-17-00011-P exempt	Petition for recovery of certain costs related to the implementation of a Non-Wires Alternative Project.	To consider Con Edison's petition for the recovery of costs for implementing the JFK Project.
*PSC-04-18-00005-P exempt	Notice of intent to submeter electricity.	To consider the notice of intent of Montante/Morgan Gates Circle LLC to submeter electricity.
*PSC-05-18-00004-P exempt	Lexington Power's ZEC compliance obligation.	To promote and maintain renewable and zero-emission electric energy resources.
*PSC-06-18-00012-P exempt	To consider further proposed amendments to the original criteria to grandfathering established in the Transition Plan	To modify grandfathering criteria
*PSC-06-18-00017-P exempt	Merger of NYAW and Whitlock Farms Water Corp.	To consider the merger of NYAW and Whitlock Farms Water Company into a single corporate entity
*PSC-07-18-00015-P exempt	The accuracy and reasonableness of National Grid's billing for certain interconnection upgrades.	To consider AEC's petition requesting resolution of their billing dispute with National Grid.
*PSC-11-18-00004-P exempt	New York State Lifeline Program.	To consider TracFone's petition seeking approval to participate in Lifeline.
*PSC-13-18-00015-P exempt	Eligibility of an ESCO to market to and enroll residential customers.	To consider whether Astral should be allowed to market to and enroll residential customers following a suspension.
*PSC-13-18-00023-P exempt	Reconciliation of property taxes.	To consider NYAW's request to reconcile property taxes.
*PSC-14-18-00006-P exempt	Petition for abandonment	To consider the abandonment of Willsboro Bay Water Company's water system
*PSC-17-18-00010-P exempt	Petition for use of gas metering equipment.	To ensure that consumer bills are based on accurate measurements of gas usage.
*PSC-18-18-00009-P exempt	Transfer of control of Keene Valley Video Inc.	To ensure performance in accordance with applicable cable laws, regulations and standards and the public interest

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
*PSC-23-18-00006-P exempt	Whether to impose consequences on Asprity for its non-compliance with Commission requirements.	To ensure the provision of safe and adequate energy service at just and reasonable rates.
*PSC-24-18-00013-P exempt	Implementation of program rules for Renewable Energy Standard and ZEC requirements.	To promote and maintain renewable and zero-emission electric energy resources.
*PSC-28-18-00011-P exempt	Storm Hardening Collaborative Report.	To ensure safe and adequate gas service.
*PSC-29-18-00008-P exempt	Participation in Targeted Accessibility Fund	To encourage enhanced services for low-income consumers
*PSC-29-18-00009-P exempt	Overvaluing real property tax expense recovery in water rates	To prevent unjust and unreasonable water rates
*PSC-34-18-00015-P exempt	Petition to submeter electricity.	To ensure adequate submetering equipment and energy efficiency protections are in place.
*PSC-34-18-00016-P exempt	Deferral of pre-staging and mobilization storm costs.	To ensure just and reasonable rates for ratepayers and utility recovery of unexpected, prudently incurred costs.
*PSC-35-18-00003-P exempt	Con Edison's 2018 DSIP and BCA Handbook Update.	To continue Con Edison's transition to a modern utility serving as a Distributed System Platform Provider.
*PSC-35-18-00005-P exempt	NYSEG and RG&E's 2018 DSIP and BCA Handbook Update.	To continue NYSEG and RG&E's transition to modern utilities acting as Distributed System Platform Providers.
*PSC-35-18-00006-P exempt	National Grid's 2018 DSIP and BCA Handbook Update.	To continue National Grid's transition to a modern utility serving as a Distributed System Platform Provider.
*PSC-35-18-00008-P exempt	Central Hudson's 2018 DSIP and BCA Handbook Update.	To continue Central Hudson's transition to a modern utility serving as a Distributed System Platform Provider.
*PSC-35-18-00010-P exempt	O&R's 2018 DSIP and BCA Handbook Update.	To continue O&R's transition to a modern utility acting as a Distributed System Platform Provider.
*PSC-39-18-00005-P exempt	Participation in New York State Lifeline Program.	To encourage enhanced services for low-income customers.
*PSC-40-18-00014-P exempt	Annual Reconciliation of Gas Expenses and Gas Cost Recoveries.	To review the gas utilities' reconciliation of Gas Expenses and Gas Cost Recoveries for 2018.
*PSC-42-18-00011-P exempt	Voluntary residential beneficial electrification rate design.	To provide efficient rate design for beneficial technologies in New York State that is equitable for all residential customers.
*PSC-42-18-00013-P exempt	Petition for clarification and rehearing of the Smart Solutions Program Order.	To address the increased demand for natural gas in the Con Edison's service territory and the limited pipeline capacity.
*PSC-44-18-00016-P exempt	Petition for approval of gas metering equipment.	To ensure that customer bills are based on accurate measurements of gas usage.

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
*PSC-45-18-00005-P exempt	Notice of intent to submeter electricity and waiver of energy audit	To ensure adequate submetering equipment, consumer protections and energy efficiency protections are in place
*PSC-47-18-00008-P exempt	Proposed Public Policy Transmission Needs/ Public Policy Requirements, as defined under the NYISO tariff.	To identify any proposed Public Policy Transmission Needs/Public Policy Requirements for referral to the NYISO.
*PSC-01-19-00004-P exempt	Advanced Metering Infrastructure.	To determine whether Niagara Mohawk Power Corporation d/b/a National Grid should implement advanced metering infrastructure.
*PSC-01-19-00013-P exempt	Order of the Commission related to caller ID unblocking.	To require telephone companies to unblock caller ID on calls placed to the 311 municipal call center in Suffolk County.
*PSC-03-19-00002-P exempt	DPS Staff White Paper for who must be trained in 16 NYCRR Part 753 requirements and how the Commission will approve trainings.	To reduce damage to underground utility facilities by requiring certain training and approving training curricula.
*PSC-04-19-00004-P exempt	Con Edison's petition for the Gas Innovation Program and associated budget.	To pursue programs that continue service reliability and meet customer energy needs while aiding greenhouse gas reduction goals.
*PSC-04-19-00011-P exempt	Update of revenue targets.	To ensure NYAW's rates are just and reasonable and accurately reflect the needed revenues.
*PSC-06-19-00005-P exempt	Consideration of the Joint Utilities' proposed BDP Program.	To to expand opportunities for low-income households to participate in Community Distributed Generation (CDG) projects.
*PSC-07-19-00009-P exempt	Whether to impose consequences on AAA for its non-compliance with Commission requirements.	To insure the provision of safe and adequate energy service at just and reasonable rates.
*PSC-07-19-00016-P exempt	Participation in New York State Lifeline Program.	To encourage enhanced services for low-income customers.
*PSC-09-19-00010-P exempt	Non-pipeline alternatives report recommendations.	To consider the terms and conditions applicable to gas service.
*PSC-12-19-00004-P exempt	To test innovative pricing proposals on an opt-out basis.	To provide pricing structures that deliver benefits to customers and promote beneficial electrification technologies.
*PSC-13-19-00010-P exempt	New Commission requirements for gas company operator qualification programs.	To make pipelines safer with improved training of workers who perform construction and repairs on natural gas facilities.
*PSC-19-19-00013-P exempt	Proposed merger of three water utilities into one corporation.	To determine if the proposed merger is in the public interest.
*PSC-19-19-00014-P exempt	Establishment of the regulatory regime applicable to an approximately 124 MW electric generating facility.	Consideration of a lightened regulatory regime for an approximately 124 MW electric generating facility.
*PSC-20-19-00008-P exempt	Reporting on energy sources	To ensure accurate reporting and encourage clean energy purchases

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
*PSC-20-19-00010-P exempt	Compensation policies for certain CHP projects	To consider appropriate rules for compensation of certain CHP resources
*PSC-20-19-00015-P exempt	Establishment of the regulatory regime applicable to an approximately 105.8 MW electric generating facility	Consideration of a lightened regulatory regime for an approximately 105.8 MW electric generating facility
*PSC-31-19-00013-P exempt	Implementation of Statewide Energy Benchmarking.	To ensure safe and adequate service at just and reasonable rates charged to customers without undue preferences.
*PSC-31-19-00015-P exempt	Proposed major rate increase in KEDNY's gas delivery revenues by \$236.8 million (13.6% increase in total revenues).	To ensure safe and adequate service at just and reasonable rates charged to customers without undue preferences.
*PSC-31-19-00016-P exempt	Proposed major rate increase in KEDLI's gas delivery revenues of approximately \$49.4 million (or 4.1% in total revenues).	To ensure safe and adequate service at just and reasonable rates charged to customers without undue preferences.
*PSC-32-19-00012-P exempt	Standby Service Rates and Buyback Service Rates	To ensure just and reasonable rates, including compensation, for distributed energy resources
*PSC-34-19-00015-P exempt	Major electric rate filing.	To consider a proposed increase in RG&E's electric delivery revenues of approximately \$31.7 million (or 4.1% in total revenues).
*PSC-34-19-00016-P exempt	Major gas rate filing.	To consider a proposed increase in RG&E's gas delivery revenues of approximately \$5.8 million (or 1.4% in total revenues).
*PSC-34-19-00018-P exempt	Major electric rate filing.	To consider a proposed increase in NYSEG's electric delivery revenues of approximately \$156.7 million (10.4% in total revenues).
*PSC-34-19-00020-P exempt	Major gas rate filing.	To consider a proposed increase in NYSEG's gas delivery revenues of approximately \$6.3 million (or 1.4% in total revenues).
*PSC-38-19-00002-P exempt	Petition to submeter electricity	To ensure adequate submetering equipment and consumer protections are in place
*PSC-39-19-00018-P exempt	Petition to submeter electricity.	To ensure adequate submetering equipment and consumer protections are in place.
*PSC-41-19-00003-P exempt	A voluntary residential three-part rate that would include fixed, usage and demand charges.	To provide qualifying residential customers with an optional three-part rate.
*PSC-44-19-00003-P exempt	Proposed revisions to Standby Service Rates and Buyback Service Rates.	To ensure just and reasonable rates, including compensation, for distributed energy resources.
*PSC-44-19-00005-P exempt	Proposed revisions to Standby Service Rates and Buyback Service Rates.	To ensure just and reasonable rates, including compensation, for distributed energy resources.
*PSC-44-19-00006-P exempt	Proposed revisions to Standby Service Rates and Buyback Service Rates.	To ensure just and reasonable rates, including compensation, for distributed energy resources.
*PSC-44-19-00007-P exempt	Proposed revisions to Standby Service Rates and Buyback Service Rates.	To ensure just and reasonable rates, including compensation, for distributed energy resources.

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
*PSC-44-19-00008-P exempt	Notice of intent to submeter electricity.	To ensure adequate submetering equipment and consumer protections are in place.
*PSC-44-19-00009-P exempt	Proposed revisions to Standby Service Rates and Buyback Service Rates.	To ensure just and reasonable rates, including compensation, for distributed energy resources.
*PSC-45-19-00012-P exempt	Notice of intent to submeter electricity.	To ensure adequate submetering equipment and consumer protections are in place.
*PSC-46-19-00008-P exempt	Wappingers Falls Hydroelectric LLC's facility located in Wappingers Falls, New York.	To promote and maintain renewable electric energy resources.
*PSC-46-19-00010-P exempt	To test innovative rate designs on an opt-out basis.	To implement alternative innovative rate designs intended to assess customer behaviors in response to price signals
PSC-48-19-00007-P exempt	Extension of the State Universal Service Fund.	To continue to provide universal service at a reasonable rate in certain service territories.
PSC-50-19-00004-P exempt	Petition to submeter electricity and waiver of energy audit.	To ensure adequate submetering equipment, consumer protections and energy efficiency protections are in place.
PSC-52-19-00001-P exempt	SUEZ Water New York Inc.'s acquisition of 100% of Heritage Hills Water Works Corporation's assets.	To determine if the proposed acquisition is in the public interest.
PSC-52-19-00006-P exempt	Authorization to defer pension settlement losses.	To address the ratemaking related to the pension settlement losses.
PSC-03-20-00009-P exempt	Changes to the Utility Energy Registry	To determine appropriate rules for data availability
PSC-04-20-00014-P exempt	Transfer of the Indian Point site, nuclear waste, and decommissioning and site restoration funds from Entergy to Holtec.	To protect the public interest.
PSC-06-20-00016-P exempt	Notice of intent to submeter electricity and waiver of energy audit.	To ensure adequate submetering equipment, consumer protections and energy efficiency protections are in place.
PSC-07-20-00008-P exempt	Notice of intent to submeter electricity.	To ensure adequate submetering equipment and consumer protections are in place.
PSC-08-20-00003-P exempt	PSC regulation 16 NYCRR § 86.3(a)(2) and 86.3(b)(2).	To consider a waiver of certain regulations relating to the content of an application for transmission line siting.
PSC-09-20-00002-P exempt	Request for waiver of 16 NYCRR 96.5(k)(3).	To ensure adequate submetering equipment, consumer protections and energy efficiency protections are in place.
PSC-10-20-00003-P exempt	The Commission's statewide low-income discount policy.	To consider modifications to certain conditions regarding utility low-income discount programs.
PSC-10-20-00005-P exempt	Partial waiver of the Order Adopting Changes to the Retail Access Energy Market and Establishing Further Process.	To consider whether Family Energy, Inc. should be granted a waiver to offer two "green gas" products to mass market customers.
PSC-11-20-00006-P exempt	Notice of intent to submeter electricity.	To ensure adequate submetering equipment and consumer protections are in place.

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
PSC-11-20-00008-P exempt	Revisions to the proration tariff language.	To consider revisions to the proration tariff language under Leaf 18.1, 18 61 to 64 and Leaf 69.
PSC-11-20-00011-P exempt	Application of the Public Service Law to owners of a proposed 345 kilovolt (kV) transmission line providing wholesale services.	To determine whether to apply a lightened regulatory regime to the owners of a proposed 345 kV transmission line.
PSC-12-20-00008-P exempt	Delivery rates of Corning Natural Gas Corporation.	Whether to postpone the implementation of a change in rates that would otherwise become effective on June 1, 2020.
PSC-12-20-00010-P exempt	Direct Energy, LLC's Green Gas Products.	To consider whether Direct Energy, LLC should be allowed to offer two Green Gas Products to mass market customers.
PSC-13-20-00006-P exempt	Utility capital expenditure proposal.	To ensure safe and adequate service at just and reasonable rates charged to customers without undue preferences.
PSC-15-20-00011-P exempt	To modify the terms and conditions under which gas utilities provide service to electric generators.	To provide clarity and uniformity to the provision of gas service to electric generators.
PSC-15-20-00013-P exempt	Ownership of New York American Water Company, Inc.	To consider whether a proposed transfer of ownership of New York American Water Company, Inc. is in the public interest.
PSC-16-20-00004-P exempt	Disposition of a state sales tax refund.	To determine how much of a state sales tax refund should be retained by Central Hudson.
PSC-17-20-00008-P exempt	Partial waiver of the Order Adopting Changes to the Retail Access Energy Market and Establishing Further Process.	To consider whether Alpha Gas & Electric, LLC should be permitted to offer its Green Gas Program to mass market customers.
PSC-18-20-00012-P exempt	The purchase price of electric energy and capacity from customers with qualifying on-site generation facilities.	To revise the price to be paid by the Company under Service Classification No. 10. for qualifying purchases of unforced capacity
PSC-18-20-00015-P exempt	Participation of Eligible Telecommunications Carriers (ETCs) in New York State Lifeline Program.	Commission will consider each petition filed by an ETCs seeking approval to participate in the NYS Lifeline program.
PSC-19-20-00004-P exempt	Clarification of the Order Adopting Changes to the Retail Access Energy Market and Establishing Further Process.	To consider whether energy service companies should be permitted to bank RECs to satisfy their renewable energy requirements.
PSC-19-20-00005-P exempt	Cost recovery associated with Day-Ahead-DLM and Auto-DLM programs, and elimination of double compensation.	To provide cost recovery for new DLM programs and prevent double compensation to participating customers.
PSC-19-20-00009-P exempt	Cost recovery associated with Day-Ahead-DLM and Auto-DLM programs, and elimination of double compensation.	To consider revisions to P.S.C. No. 10 - Electricity, and P.S.C. No. 12 - Electricity.
PSC-21-20-00005-P exempt	Partial waiver of the Order Adopting Changes to the Retail Access Energy Market and Establishing Further Process.	To consider whether Kiwi Energy NY LLC should be permitted to offer its Green Gas Products to mass market customers.

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
PSC-21-20-00008-P exempt	Waiver of tariff rules and a related Commission regulation.	To consider whether a waiver of tariff rules and a Commission regulation are just and reasonable and in the public interest.
PSC-21-20-00011-P exempt	Partial waiver of the Order Adopting Changes to the Retail Access Energy Market and Establishing Further Process.	To consider whether IGS Energy should be allowed to offer a Carbon-Neutral Gas Product and a Home Warranty Service Product.
PSC-23-20-00006-P exempt	Partial waiver of the Order Adopting Changes to the Retail Access Energy Market and Establishing Further Process.	To consider whether IDT Energy, Inc. and Residents Energy, LLC should be permitted to offer Green Gas Products in New York.
PSC-23-20-00007-P exempt	Partial waiver of the Order Adopting Changes to the Retail Access Energy Market and Establishing Further Process.	To consider whether American Power & Gas LLC should be permitted to offer its Green Gas Products to mass market customers.
PSC-23-20-00008-P exempt	Disposition of sales tax refund and other related matters.	To consider the appropriate allocation of the sales tax refund proceeds while balancing ratepayer and shareholder interests.
PSC-23-20-00010-P exempt	Partial waiver of the Order Adopting Changes to the Retail Access Energy Market and Establishing Further Process.	To consider whether AmeriChoice Energy should be permitted to offer its Green Gas Products to mass market customers.
PSC-24-20-00016-P exempt	Partial waiver of the Order Adopting Changes to the Retail Access Energy Market and Establishing Further Process.	To consider whether the NRG Retail Companies should be permitted to offer Green Gas Products in New York.
PSC-24-20-00018-P exempt	Partial waiver of the Order Adopting Changes to the Retail Access Energy Market and Establishing Further Process.	To consider whether Atlantic Energy, LLC should be permitted to offer Green Gas Products to mass market customers in New York.
PSC-24-20-00020-P exempt	Partial waiver of the Order Adopting Changes to the Retail Access Energy Market and Establishing Further Process.	To consider whether CenStar Energy, Major Energy Services, and Spark Energy Gas should be permitted to offer Green Gas Products.
PSC-25-20-00009-P exempt	Petition for the use of electric metering equipment.	To ensure that consumer bills are based on accurate measurements of electric usage.
PSC-25-20-00010-P exempt	Whitepaper regarding energy service company financial assurance requirements.	To consider the form and amount of financial assurances to be included in the eligibility criteria for energy service companies.
PSC-25-20-00011-P exempt	Notice of intent to submeter electricity.	To ensure adequate submetering equipment and consumer protections are in place.
PSC-25-20-00012-P exempt	Petition to submeter electricity.	To ensure adequate submetering equipment, consumer protections and energy efficiency protections are in place.
PSC-25-20-00014-P exempt	Partial waiver of the Order Adopting Changes to the Retail Access Energy Market and Establishing Further Process.	To consider whether South Bay Energy Corp. should be permitted to offer Green Gas Products to mass market customers in New York.
PSC-25-20-00015-P exempt	Staff whitepaper on a Data Access Framework.	To standardize the necessary privacy and cybersecurity requirements for access to energy-related data.

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
PSC-25-20-00016-P exempt	Modifications to the Low-Income Affordability program.	To address the economic impacts of the COVID-19 pandemic.
PSC-25-20-00017-P exempt	Partial waiver of the Order Adopting Changes to the Retail Access Energy Market and Establishing Further Process.	To consider whether Marathon Energy should be permitted to offer Green Gas Products to mass market customers in New York.
PSC-25-20-00018-P exempt	Staff's whitepaper proposing an IEDR.	To collect and integrate a large and diverse set of energy-related information and data on one statewide platform.
PSC-27-20-00003-P exempt	To make the uniform statewide customer satisfaction survey permanent.	To encourage consumer protections and safe and adequate service.
PSC-28-20-00020-P exempt	Compensation of distributed energy resources.	To ensure just and reasonable rates, including compensation, for distributed energy resources.
PSC-28-20-00022-P exempt	Compensation of distributed energy resources.	To ensure just and reasonable rates, including compensation, for distributed energy resources.
PSC-28-20-00025-P exempt	Notice of intent to submeter electricity.	To ensure adequate submetering equipment, consumer protections and energy efficiency protections are in place.
PSC-28-20-00027-P exempt	Partial waiver of the Order Adopting Changes to the Retail Access Energy Market and Establishing Further Process.	To consider whether the petitioners should be permitted to offer Green Gas Products to mass market customers in New York.
PSC-28-20-00034-P exempt	Petition to implement Section 7(5) of the Accelerated Renewable Energy Growth and Community Benefit Act	To develop the bulk transmission investments necessary to achieve the Climate Leadership and Community Protection Act goals
PSC-29-20-00008-P exempt	Modification to the Commission's Electric Safety Standards.	To consider revisions to the Commission's Electric Safety Standards.
PSC-29-20-00011-P exempt	Petition for waiver of the requirements of Opinion No. 76-17 and 16 NYCRR Part 96 regarding individual metering of living units.	To consider the petition of Opportunities for Broome, Inc for waiver of Opinion No. 76-17 and 16 NYCRR Part 96.
PSC-30-20-00006-P exempt	Petition to submeter electricity and request for waiver of 16 NYCRR § 96.5(k)(3).	To ensure adequate submetering equipment, consumer protections and energy efficiency protections are in place.
PSC-31-20-00003-P exempt	Authority to issue and sell promissory notes.	To consider the petition of National Fuel Gas Distribution Corporation to issue up to \$300 million in promissory notes.
PSC-31-20-00004-P exempt	Submetering of electricity.	To ensure adequate submetering equipment and consumer protections are in place.
PSC-31-20-00007-P exempt	Transfer of street lighting facilities.	To determine whether to authorize the transfer street of lighting facilities and the proper accounting for the transaction.
PSC-31-20-00008-P exempt	Submetering of electricity.	To ensure adequate submetering equipment and consumer protections are in place.
PSC-31-20-00009-P exempt	Partial waiver of the Order Adopting Changes to the Retail Access Energy Market and Establishing Further Process.	To consider whether Kiwi Energy NY LLC should be permitted to offer its Kiwi Guard product to mass market customers in New York.

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
PSC-31-20-00010-P exempt	Submetering of electricity and waiver of energy audit.	To ensure adequate submetering equipment, consumer protections and energy efficiency protections are in place.
PSC-31-20-00011-P exempt	Submetering of electricity.	To ensure adequate submetering equipment and consumer protections are in place.
PSC-32-20-00009-P exempt	Transfer of street light facilities.	To determine whether to authorize the transfer of street lighting facilities and the proper accounting for the transaction.
PSC-32-20-00010-P exempt	Procurement of Tier 1 RECs.	Management of renewable energy procurements to meet state goals and benefit ratepayers.
PSC-32-20-00012-P exempt	Transfer of street lighting facilities.	To determine whether to authorize the transfer of street lighting facilities and the proper accounting for the transaction.
PSC-32-20-00013-P exempt	Authorization for RED-Rochester, LLC to incur indebtedness of up to \$200 million.	To ensure that the proposed debt financing is within the public interest.
PSC-32-20-00014-P exempt	The term for retention of a monetary crediting methodology.	To provide sufficient revenues to support financing, realize promised benefits from the project, and repay necessary re-work.
PSC-32-20-00015-P exempt	Petition for waiver of the requirements of 16 NYCRR Part 96 regarding individual metering of living units.	To consider the petition of St. Paul's Center to master meter and for waiver of 16 NYCRR Part 96.
PSC-32-20-00016-P exempt	Transfer of street lighting facilities.	To determine whether to authorize the transfer street of lighting facilities and the proper accounting for the transaction.
PSC-32-20-00017-P exempt	Transfer of street light facilities.	To determine whether to authorize the transfer of street lighting facilities and the proper accounting for the transaction.
PSC-33-20-00003-P exempt	Transfer of street lighting facilities	To determine whether to authorize the transfer of street lighting facilities and the proper accounting for the transaction
PSC-33-20-00004-P exempt	Transfer of street lighting facilities.	To determine whether to authorize the transfer street of lighting facilities and the proper accounting for the transaction.
PSC-34-20-00004-P exempt	Notice of intent to submeter electricity and waiver of energy audit requirement.	To ensure adequate submetering equipment, consumer protections and energy efficiency protections are in place.
PSC-34-20-00005-P exempt	Petition to provide a renewable, carbon-free energy option to residential and small commercial full-service customers.	To increase customer access to renewable energy in the Consolidated Edison Company of New York, Inc. service territory.
PSC-34-20-00006-P exempt	Waiver of tariff rules and a related Commission regulation.	To consider whether a waiver of tariff rules and a Commission regulation are just and reasonable and in the public interest.
PSC-34-20-00007-P exempt	Transfer of street light facilities.	To consider the transfer of street lighting facilities to the Town of Bethel.

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
PSC-35-20-00015-P exempt	Request for waiver of 16 NYCRR 96.5(k)(3).	To ensure adequate submetering equipment, consumer protections and energy efficiency protections are in place.
PSC-35-20-00016-P exempt	Notice of intent to submeter electricity.	To ensure adequate submetering equipment and consumer protections are in place.
PSC-35-20-00017-P exempt	Partial waiver of the Order Adopting Changes to the Retail Access Energy Market and Establishing Further Process.	To consider whether petitioner should be permitted to offer green gas products to mass market customers in New York.
PSC-36-20-00004-P exempt	Transfer of street lighting facilities.	To consider whether the transfer of street lighting facilities is in the public interest.
PSC-36-20-00005-P exempt	The petition relates to the proposed transfer of membership interests in companies providing gas transportation services.	To consider the requested transfer and, if approved, what regulatory conditions should apply.
PSC-36-20-00006-P exempt	A debt financing arrangement with respect to a proposed 345 kilovolt (kV) transmission line providing wholesale services.	To consider the requested financing arrangement, and if approved, what regulatory conditions should apply.
PSC-37-20-00006-P exempt	Con Edison's petition for a proposed Non-Pipeline Solutions portfolio and associated budget.	To provide for continued service reliability and to meet customer energy needs while addressing greenhouse gas reduction goals.
PSC-37-20-00014-EP exempt	Postponement of delivery rate, System Improvement Charge (SIC) and RAC/PTR surcharge with make whole starting on April 1, 2021.	To assist customers in a time of hardship by delaying a rate increase and SIC increase and RAC/PTR surcharge implementation.
PSC-38-20-00003-P exempt	Minor Rate Filing	To ensure safe and adequate service at just and reasonable rates charged to customers without undue preferences.
PSC-38-20-00004-P exempt	The annual Reconciliation of Gas Expenses and Gas Cost Recoveries.	To consider filings of LDCs and municipalities regarding their Annual Reconciliation of Gas Expenses and Gas Cost Recoveries.
PSC-39-20-00014-P exempt	Tariff filing.	To determine if New York State Electric & Gas Corporation's tariff filing is consistent with the law and in the public interest.
PSC-39-20-00015-P exempt	Compensation of distributed energy resources.	To ensure just and reasonable rates, including compensation, for distributed energy resources.
PSC-39-20-00016-P exempt	Tariff filing.	To determine if Central Hudson Gas & Electric Corporation's tariff filing is consistent with the law and in the public interest.
PSC-39-20-00017-P exempt	Tariff filing.	To determine if National Grid's tariff filing is consistent with the law and in the public interest.
PSC-39-20-00018-P exempt	Tariff filing.	To determine if Rochester Gas and Electric Corporation's tariff filing is consistent with the law and in the public interest.
PSC-39-20-00019-P exempt	Tariff filing.	To determine if Orange and Rockland Utilities, Inc.'s tariff filing is consistent with the law and in the public interest.

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
PSC-39-20-00020-P exempt	Tariff filing.	To determine if Consolidated Edison Company of New York's tariff filing is consistent with the law and in the public interest.
PSC-39-20-00021-P exempt	Authority to issue to long-term debt.	To consider Corning's request for authority to issue long-term debt.
PSC-39-20-00022-P exempt	Notice of intent to submeter electricity and waiver of energy audit.	To ensure adequate submetering equipment, consumer protections and energy efficiency protections are in place.
PSC-40-20-00003-P exempt	NYSEG's petition for a proposed Non-Pipeline Alternatives portfolio of projects and associated budget.	To provide for continued service reliability and to meet customer energy needs while addressing greenhouse gas reduction goals.
PSC-40-20-00004-P exempt	Minor rate filing.	To ensure safe and adequate service at just and reasonable rates charged to customers without undue preferences.
PSC-40-20-00005-P exempt	Electric Generation Facility Cessation Mitigation Program Funding	To develop a funding mechanism for the Electric Generation Facility Cessation Mitigation Program.
PSC-40-20-00006-P exempt	Waiver of tariff rules and a related Commission regulation.	To consider whether a waiver of tariff rules and a Commission regulation are just and reasonable and in the public interest.
PSC-40-20-00007-P exempt	Partial waiver of the Order Adopting Changes to the Retail Access Energy Market and Establishing Further Process.	To consider whether the petitioner should be permitted to offer green gas and home warranty products to mass market customers.
PSC-40-20-00008-P exempt	A benefit for electric utility customers in communities that host a major renewable energy facility.	To consider a just and reasonable benefit for electric utility customers in renewable host communities.
PSC-40-20-00009-P exempt	Amendments clarifying the sharing of revenue from the Energy Storage Program.	To ensure that Con Edison's tariff is clear regarding sharing of revenue when annual wholesale revenues exceed contract costs.
PSC-40-20-00010-P exempt	Partial waiver of the Order Adopting Changes to the Retail Access Energy Market and Establishing Further Process.	To consider whether the petitioner should be permitted to offer green gas and home warranty products to mass market customers.
PSC-41-20-00010-P exempt	Disposition of a \$50 million municipal tax refund	To consider a disposition of a municipal tax refund for customer and company benefit
PSC-41-20-00011-P exempt	Major gas rate filing.	To consider a proposed increase in Corning's gas delivery revenues of approximately \$6.3 million (23.4% in total revenues).
PSC-41-20-00012-P exempt	Compensation of distributed energy resources.	To ensure just and reasonable rates, including compensation, for distributed energy resources.
PSC-41-20-00013-P exempt	The proposed transfer of a Certificate of Environmental Compatibility and Public Need.	Consideration of whether the proposed transfer is in the public interest.
PSC-42-20-00006-P exempt	Proposed major rate increase in National Grid's delivery revenues of approximately \$41.8 million (or 9.8% in total revenues).	To ensure safe and adequate service at just and reasonable rates charged to customers without undue preferences.

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
PSC-42-20-00007-P exempt	Transfer of ownership interests and facilities associated with three nuclear generating units, funds, and storage facilities.	To ensure appropriate regulatory review, oversight, and action concerning the proposed transfer to serve the public interest.
PSC-42-20-00008-P exempt	Availability of gas leak information to the public safety officials.	Facilitate availability of gas leak information to public safety officials by gas corporations.
PSC-42-20-00009-P exempt	Proposed major rate increase in National Grid's delivery revenues of approximately \$100.4 million (or 3.2% in total revenues).	To ensure safe and adequate service at just and reasonable rates charged to customers without undue preferences.
PSC-43-20-00003-P exempt	The use of \$50 million to support residential and commercial customers experiencing financial hardship	To consider whether the proposed support of ratepayers is in the public interest
PSC-44-20-00004-P exempt	Changes to PSL Section 66-p relating to billing information for residential rental premises.	To establish provisions as necessary to effectuate PSL Section 66-p.
PSC-44-20-00005-P exempt	Notice of intent to submeter electricity.	To ensure adequate submetering equipment and consumer protections are in place.
PSC-44-20-00006-P exempt	Transfer of property interests in the Union Falls Hydroelectric Facility.	To determine whether to authorize the transfer of the Union Falls Hydroelectric Facility and the proper accounting treatment.
PSC-44-20-00007-P exempt	Establishment of the regulatory regime applicable to an approximately 90.5 MW electric generating facility.	Consideration of a lightened regulatory regime for an approximately 90.5 MW electric generating facility.
PSC-44-20-00008-P exempt	Lease of right-of-way and transfer of facilities.	To determine whether to authorize lease of right-of-way, transfer of facilities and the proper accounting treatment.
PSC-44-20-00009-P exempt	Notice of intent to submeter electricity and waiver of energy audit requirement.	To ensure adequate submetering equipment, consumer protections and energy efficiency protections are in place.
PSC-44-20-00010-P exempt	Transfer of natural gas pipeline facilities and ownership interests in those facilities, and an applicable regulatory regime.	To ensure appropriate regulatory review, oversight, and action concerning the proposed transfers and the facility owners.
PSC-45-20-00003-P exempt	Petition to submeter electricity	To ensure adequate submetering equipment and consumer protections are in place
PSC-45-20-00004-P exempt	Major gas rate filing	To consider an increase in Central Hudson's gas delivery revenues
PSC-45-20-00005-P exempt	Major electric rate filing	To consider an increase in Central Hudson's electric delivery revenues
PSC-45-20-00006-P exempt	Petition to submeter electricity and waiver request	To ensure adequate submetering equipment, consumer protections and energy efficiency protections are in place
PSC-46-20-00004-P exempt	Notice of intent to submeter electricity.	To ensure adequate submetering equipment and consumer protections are in place.
PSC-46-20-00005-P exempt	The recommendations of the DPS Staff report to improve Hudson Valley Water's service.	To determine if approving the DPS Staff's recommendations is in the public interest.

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
PSC-46-20-00006-P exempt	Amendments to the SIR.	To more effectively interconnect distributed generation and energy storage Systems 5 MW or less to the distribution system.
PSC-46-20-00007-P exempt	Compliance of New York Transco LLC with the applicable portions of the Electric Safety Standards.	To consider the petition of New York Transco LLC for clarification of its responsibilities under the Electric Safety Standards.
PSC-46-20-00008-P exempt	Compliance report by electric utilities on developing distribution and local transmission in accordance with the AREGCB Act.	To support distribution and local transmission investments necessary to achieve the the State's climate goals.
PSC-46-20-00009-P exempt	Proposed Public Policy Transmission Needs/ Public Policy Requirements, as defined under the NYISO tariff.	To identify any proposed Public Policy Transmission Needs/Public Policy Requirements for referral to the NYISO.
PSC-47-20-00006-P exempt	Notice of intent to submeter electricity and request for waiver of 16 NYCRR § 96.5(k)(3).	To ensure adequate submetering equipment, consumer protections and energy efficiency protections are in place.
PSC-47-20-00007-P exempt	Petition to submeter electricity.	To ensure adequate submetering equipment and consumer protections are in place.
PSC-47-20-00008-P exempt	Notice of intent to submeter electricity.	To ensure adequate submetering equipment and consumer protections are in place.

STATE, DEPARTMENT OF

DOS-37-20-00015-P 09/16/21	Siting of major renewable energy facilities	To establish procedural requirements for permits for siting, construction and operation of major renewable energy facilities
DOS-37-20-00016-P 11/29/21	Siting permits for major renewable energy facilities	To establish uniform standards and conditions for siting, design, construction & operation of major renewable energy facilities
DOS-41-20-00001-P 10/14/21	Public Playground Safety	Update public playground safety standards

STATE UNIVERSITY OF NEW YORK

SUN-53-19-00005-P 02/01/21	Proposed amendments to the traffic and parking regulations at State University Agricultural and Technical College at Morrisville	Amend existing regulations to update traffic and parking regulations
SUN-29-20-00004-EP 07/22/21	State basic financial assistance for the operating expenses of community colleges under the program of SUNY and CUNY	To modify limitations formula for basic State financial assistance and remove an operating support "floor"
SUN-29-20-00005-EP 07/22/21	Student Assembly Elections, Student Assembly Officers, Campus Government Elections, Student Activity Fees	To postpone voting on student activity fees and elections of Student Assembly representatives and officers until Fall 2020
SUN-37-20-00002-EP 09/16/21	Appointment of Employees; Eligibility	To allow for the addition of one year to the service limits for current faculty to attain continuing appointment

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
STATEN ISLAND RAPID TRANSIT OPERATING AUTHORITY			
SIR-39-20-00008-EP	09/30/21	Requiring mask wearing covering the nose and mouth when using terminals, stations and trains operated by SIRTOA.	To safeguard the public health and safety by amending rules to require the use of masks when using terminals and stations.
TAXATION AND FINANCE, DEPARTMENT OF			
TAF-02-20-00001-EP	02/01/21	Property tax levy limits for school districts in relation to certain costs resulting from capital local expenditures	To implement Education Law 2023-a relating to certain costs resulting from capital local expenditures of school districts
TAF-38-20-00005-P	09/23/21	New York State and City of Yonkers withholding tables and other methods	To provide current New York State and City of Yonkers withholding tables and other methods
TAF-46-20-00003-P	exempt	Fuel use tax on motor fuel and diesel motor fuel and the art. 13-A carrier tax jointly administered therewith	To set the sales tax component and the composite rate per gallon for the period January 1, 2021 through March 31, 2021
TEMPORARY AND DISABILITY ASSISTANCE, OFFICE OF			
TDA-16-20-00012-P	04/22/21	New York State Combined Application Project (NYSCAP)	To implement the NYSCAP, a new combined application project for recipients of Supplemental Security Income benefits, who have been designated as Live-Alone by the Social Security Administration and the State-funded SSI State Supplement Program
TDA-26-20-00007-P	07/01/21	Supplemental Security Income (SSI) Additional State Payments	To clarify who participates, the intended uses for benefits, that benefits won't be issued once a death is verified, time frames to report and circumstances when underpayment/retroactive benefits will issue, and NYS operates SSP under State rules
TDA-39-20-00024-EP	09/30/21	Standard Utility Allowances (SUAs) for the Supplemental Nutrition Assistance Program (SNAP)	These regulatory amendments set forth the federally-approved SUAs as of 10/1/20
TDA-46-20-00002-P	11/18/21	Payment access cards	To update State regulations pertaining to payment access cards to align with Part V of Chapter 56 of the Laws of 2020
THRUWAY AUTHORITY, NEW YORK STATE			
THR-01-20-00003-P	02/01/21	Toll rate adjustments on the New York State Thruway system.	To provide for toll rate adjustments necessary to support the Authority's financial obligations.
THR-42-20-00013-P	10/21/21	Amend the Authority's rules in relation to Grand Island Bridges sidewalks and Governor Mario M Cuomo Bridge Shared Use Path	To regulate certain activities on the Grand Island Bridges sidewalks and Governor Mario M Cuomo Bridge Shared Use Path
WORKERS' COMPENSATION BOARD			
WCB-23-20-00004-P	06/10/21	EDI system updates	To require carriers to report certain credits taken for payments to claimants; biannual reports; EDI 3.1 updates
WCB-28-20-00003-EP	07/15/21	Adding COVID-19 diagnosis by a health care provider as a serious health condition for purposes of Paid Family Leave	To clarify that employees may take PFL to care for a family member with COVID-19

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
WORKERS' COMPENSATION BOARD			
WCB-42-20-00004-P	10/21/21	Medical Treatment Guidelines	To add PTSD and acute stress disorder, and major depressive disorder MTGs
WCB-42-20-00005-P	10/21/21	Medical Treatment Guidelines	To add PTSD and acute stress disorder, and major depressive disorder MTGs
WCB-42-20-00010-P	10/21/21	Requesting prior approval for medical treatment and care	To implement an internet portal-based submission and review process
WCB-42-20-00012-P	10/21/21	DME Fee Schedule	To replace DME fee schedule, update fees; create prior authorization process

SECURITIES OFFERINGS

STATE NOTICES

Published pursuant to provisions of General Business Law
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Drawing Capital Growth Fund LP
857 Manor Way, Los Altos, CA 94024
Partnership — Drawing Capital Group LLC

Foreside Fund Services LLC
805 Third Ave., New York, NY 10022
State or country in which incorporated — Delaware

Frisbie Rubin's, Inc.
7100 E. Lincoln Dr., #3106, Scottsdale, AZ 85253
State or country in which incorporated — Delaware

GTCR Co-Invest XIII LP
300 N. LaSalle Street, Suite 5600, Chicago, IL 60654
Partnership — GTCR Investment XIII LLC

GTCR Fund XIII LP
300 N. LaSalle St., Suite 5600, Chicago, IL 60654
Partnership — GTCR Investment XIII LLC

GTCR Fund XIII/A LP
300 N. LaSalle St., Suite 5600, Chicago, IL 60654
Partnership — GTCR Partners XIII/A&C LP

GTCR Fund XIII/B LP
300 N. LaSalle Street, Suite 5600, Chicago, IL 60654
Partnership — GTCR Partners XIII/B LP

GTCR Fund XIII/C LP
300 N. LaSalle St., Suite 5600, Chicago, IL 60654
Partnership — GTCR Partners XIII/A&C LP

Hanover Bancorp, Inc.
80 E. Jericho Tpke., Mineola, NY 11501
State or country in which incorporated — New York

Hudson Valley Hotel LLC
Three Wythe Lane, Brooklyn, NY 11249
State or country in which incorporated — Delaware

JLLX Mason Mill, DST
333 W. Wacker Dr., Suite 2300, Chicago, IL 60606
State or country in which incorporated — Delaware

Laconia Oculolus SPV L.P.
c/o Laconia Associates II LLC, 381 Park Ave. S, Suite 419, New York, NY 10016
Partnership — Laconia Oculolus SPV L.P.

Melody Harmoni Co-Invest, LP
600 Fifth Ave., 27th Fl., New York, NY 10020
Partnership — Melody Communications Infrastructure GP LLC

Reger Austin Note Fund LLC
2730 Transit Road, West Seneca, NY 14224
Partnership — Reger Holdings LLC

RPC F1 50 Partners LLC
1705 S. Capital of Texas Hwy., Suite 400, Austin, TX 78746
State or country in which incorporated — Delaware

Saab AB (publ)
Olof Patmes gata, 17 SE-111 22 Stockholm, Sweden
State or country in which incorporated — Sweden

Salted Inc.
1223 Wilshire Blvd., #1443, Santa Monica, CA 90403
State or country in which incorporated — Delaware

Sealy Investment Securities, LLC
8401 N. Central Exp., Suite 150, Dallas, TX 75225
State or country in which incorporated — Georgia limited liability company

SR One Capital Fund I-A, LP
161 Washington St., #500, Conshohocken, PA 19428
Partnership — SR One Capital Partners I, LP

Thoma Bravo Executive Fund XIV-a, L.P.
150 N. Riverside Plaza, Suite 2800, Chicago, IL 60606
Partnership — Thoma Bravo Partners XIV, L.P.

Thoma Bravo Executive Fund XIV-a-P, LP
150 N. Riverside Plaza, Suite 2800, Chicago, IL 60606
Partnership — Thoma Bravo Partners XIV, LP

Verijet Holding Company, LLC
5030 Champion Blvd., PMB 183-G6, Boca Raton, FL 33496
State or country in which incorporated — Delaware

Virtual Rights Management LLC
2934 1/2 Beverly Glen Circle, #590, Bel Air, CA 90077
State or country in which incorporated — California limited liability company

WPEF VIII Feeder LP
170 Chiltern Hill Rd., Suite 609, Toronto, Ontario, Canada M6C 0A9
Partnership — WPEF VIII Manager Limited

ADVERTISEMENTS FOR BIDDERS/CONTRACTORS

SEALED BIDS

REPLACE/REPAIR ROOF/MASONRY

Bldg. 26, Bedford Hills Correctional Facility
Bedford Hills, Westchester County

Sealed bids for Project No. M3049-C, comprising a contract for Construction Work, Replace Roof and Repair Masonry, Building 26, Bedford Hills Correctional Facility, 247 Harris Rd, Bedford Hills (Westchester County), NY, will be received by the Office of General Services (OGS), Design & Construction Group (D&C), Division of Contract Management, 35th Fl., Corning Tower, Empire State Plaza, Albany, NY 12242, on behalf of the Department of Correctional Services, until 2:00 p.m. on Wednesday, December 9, 2020, when they will be publicly opened and read. Each bid must be prepared and submitted in accordance with the Instructions to Bidders and must be accompanied by a bid security (i.e. certified check, bank check, or bid bond in the amount of \$73,100 for C).

All successful bidders will be required to furnish a Performance Bond and a Labor and Material Bond pursuant to Sections 136 and 137 of the State Finance Law, each for 100% of the amount of the Contract estimated to be between \$2,000,000 and \$3,000,000 for C.

Pursuant to State Finance Law §§ 139-j and 139-k, this solicitation includes and imposes certain restrictions on communications between OGS D&C and a bidder during the procurement process. A bidder is restricted from making contacts from the earliest posting, on the OGS website, in a newspaper of general circulation, or in the Contract Reporter of written notice, advertisement or solicitation of offers through final award and approval of the contract by OGS D&C and the Office of the State Comptroller ("Restricted Period") to other than designated staff unless it is a contact that is included among certain statutory exceptions set forth in State Finance Law § 139-j(3)(a). Designated staff are John Pupons, Jessica Hoffman and Pierre Alric in the Division of Contract Management, telephone (518) 474-0203, fax (518) 473-7862 and John Lewykyj, Deputy Director, Design & Construction Group, telephone (518) 474-0201, fax (518) 486-1650. OGS D&C employees are also required to obtain certain information when contacted during the restricted period and make a determination of the responsibility of the bidder pursuant to these two statutes. Certain findings of non-responsibility can result in rejection for contract award and in the event of two findings within a four-year period, the bidder is debarred from obtaining governmental Procurement Contracts. Bidders responding to this Advertisement must familiarize themselves with the State Finance Law requirements and will be expected to affirm that they understand and agree to comply on the bid form. Further information about these requirements can be found within the project manual or at: <http://www.ogs.ny.gov/aboutOGS/regulations/defaultAdvisoryCouncil.html>

The substantial completion date for this project is 272 days after the Agreement is approved by the Comptroller.

Pursuant to Public Buildings Law § 8(6), effective January 11, 2020, for any projects where the project design commenced on or after January 1, 2020 and for any contracts over \$5,000 for the work of construction, reconstruction, alteration, repair, or improvement of any State building, a responsible and reliable NYS-certified Minority or Women-Owned Business Enterprise that submits a bid within ten

percent of the lowest bid will be deemed the apparent low bidder provided that the bid is \$1,400,000 or less, as adjusted annually for inflation beginning January 1, 2020. If more than one responsible and reliable MWBE firm meets these requirements, the MWBE firm with the lowest bid will be deemed the apparent low bidder.

 X Project commenced design before January 1, 2020. Not subject to provision.

 Project commenced design on or after January 1, 2020. Subject to provision.

Pursuant to New York State Executive Law Article 15-A and the rules and regulations promulgated thereunder, OGS is required to promote opportunities for the maximum feasible participation of New York State-certified Minority- and Women-owned Business Enterprises ("MWBEs") and the employment of minority group members and women in the performance of OGS contracts. All bidders are expected to cooperate in implementing this policy. OGS hereby establishes an overall goal of 30% for MWBE participation, 15% for Minority-Owned Business Enterprises ("MBE") participation and 15% for Women-Owned Business Enterprises ("WBE") participation (based on the current availability of qualified MBEs and WBEs). The total contract goal can be obtained by utilizing any combination of MBE and /or WBE participation for subcontracting and supplies acquired under this Contract.

The Office of General Services reserves the right to reject any or all bids.

The Bidding and Contract Documents for this Project are available on compact disc (CD) only, and may be obtained for an \$8.00 deposit per set, plus a \$2.00 per set shipping and handling fee. Pursuant to State Finance Law § 143(1), effective January 11, 2020, the required deposit will be waived upon request by any Minority- and Women-Owned Business Enterprise certified pursuant to Article 15-A of the Executive Law or any Service-Disabled Veteran-Owned Business Enterprise certified pursuant to Article 17-B of the Executive Law. Contractors and other interested parties can order CD's on-line through a secure web interface available 24 hours a day, 7 days a week. Please use the following link at the OGS website for ordering and payment instructions: <https://online.ogs.ny.gov/dnc/contractorConsultant/esb/ESBPlansAvailableIndex.asp>

For questions about purchase of bid documents, please send an e-mail to DCPlans@ogs.ny.gov, or call (518) 474-0203

For additional information on this project, please use the link below and then click on the project number: <https://online.ogs.ny.gov/dnc/contractorConsultant/esb/ESBPlansAvailableIndex.asp>

By *John D. Lewykyj*, Deputy Director
OGS - Design & Construction Group

REPAIR

HEATING SYSTEM

Bldg. 12, Green Haven Correctional Facility
Stormville, Dutchess County

Sealed bids for Project No. M3098-H, comprising of a contract for HVAC Work, Heating System Repairs, Building 12 Vocational School, Green Haven Correctional Facility, Route 216, Stormville (Dutchess County), NY, will be received by the Office of General Services

(OGS), Design & Construction Group (D&C), Division of Contract Management, 35th Fl., Corning Tower, Empire State Plaza, Albany, NY 12242, on behalf of the Department of Correctional Services, until 2:00 p.m. on Wednesday, December 9th, 2020 when they will be publicly opened and read. Each bid must be prepared and submitted in accordance with the Instructions to Bidders and must be accompanied by a bid security (i.e. certified check, bank check, or bid bond in the amount of \$52,500).

All successful bidders will be required to furnish a Performance Bond and a Labor and Material Bond pursuant to Sections 136 and 137 of the State Finance Law, each for 100% of the amount of the Contract estimated to be between \$1,000,000 and \$2,000,000 for H.

Pursuant to State Finance Law §§ 139-j and 139-k, this solicitation includes and imposes certain restrictions on communications between OGS D&C and a bidder during the procurement process. A bidder is restricted from making contacts from the earliest posting, on the OGS website, in a newspaper of general circulation, or in the Contract Reporter of written notice, advertisement or solicitation of offers through final award and approval of the contract by OGS D&C and the Office of the State Comptroller ("Restricted Period") to other than designated staff unless it is a contact that is included among certain statutory exceptions set forth in State Finance Law § 139-j(3)(a). Designated staff are John Pupons, Jessica Hoffman and Pierre Alric in the Division of Contract Management, telephone (518) 474-0203, fax (518) 473-7862 and John Lewyckyj, Deputy Director, Design & Construction Group, telephone (518) 474-0201, fax (518) 486-1650. OGS D&C employees are also required to obtain certain information when contacted during the restricted period and make a determination of the responsibility of the bidder pursuant to these two statutes. Certain findings of non-responsibility can result in rejection for contract award and in the event of two findings within a four-year period, the bidder is debarred from obtaining governmental Procurement Contracts. Bidders responding to this Advertisement must familiarize themselves with the State Finance Law requirements and will be expected to affirm that they understand and agree to comply on the bid form. Further information about these requirements can be found within the project manual or at: <http://www.ogs.ny.gov/aboutOGS/regulations/defaultAdvisoryCouncil.html>

The substantial completion date for this project is 302 days after the Agreement is approved by the Comptroller.

Pursuant to Public Buildings Law § 8(6), effective January 11, 2020, for any projects where the project design commenced on or after January 1, 2020 and for any contracts over \$5,000 for the work of construction, reconstruction, alteration, repair, or improvement of any State building, a responsible and reliable NYS-certified Minority or Women-Owned Business Enterprise that submits a bid within ten percent of the lowest bid will be deemed the apparent low bidder provided that the bid is \$1,400,000 or less, as adjusted annually for inflation beginning January 1, 2020. If more than one responsible and reliable MWBE firm meets these requirements, the MWBE firm with the lowest bid will be deemed the apparent low bidder.

 X Project commenced design before January 1, 2020. Not subject to provision.

 Project commenced design on or after January 1, 2020. Subject to provision.

Pursuant to New York State Executive Law Article 15-A and the rules and regulations promulgated thereunder, OGS is required to promote opportunities for the maximum feasible participation of New York State-certified Minority- and Women-owned Business Enterprises ("MWBEs") and the employment of minority group members and women in the performance of OGS contracts. All bidders are expected to cooperate in implementing this policy. OGS hereby establishes an overall goal of 30% for MWBE participation, 15% for Minority-Owned Business Enterprises ("MBE") participation and 15% for Women-Owned Business Enterprises ("WBE") participation (based on the current availability of qualified MBEs and WBEs). The total contract goal can be obtained by utilizing any combination of MBE and /or WBE participation for subcontracting and supplies acquired under this Contract.

The Office of General Services reserves the right to reject any or all bids.

The Bidding and Contract Documents for this Project are available on compact disc (CD) only, and may be obtained for an \$8.00 deposit per set, plus a \$2.00 per set shipping and handling fee. Pursuant to State Finance Law § 143(1), effective January 11, 2020, the required deposit will be waived upon request by any Minority- and Women-Owned Business Enterprise certified pursuant to Article 15-A of the Executive Law or any Service-Disabled Veteran-Owned Business Enterprise certified pursuant to Article 17-B of the Executive Law. Contractors and other interested parties can order CD's on-line through a secure web interface available 24 hours a day, 7 days a week. Please use the following link at the OGS website for ordering and payment instructions: <https://online.ogs.ny.gov/dnc/contractorConsultant/esb/ESBPlansAvailableIndex.asp>

For questions about purchase of bid documents, please send an e-mail to DCPlans@ogs.ny.gov, or call (518) 474-0203

For additional information on this project, please use the link below and then click on the project number: <https://online.ogs.ny.gov/dnc/contractorConsultant/esb/ESBPlansAvailableIndex.asp>

By *John D. Lewyckyj*, Deputy Director
OGS - Design & Construction Group

**REPLACE
SANITARY LINE
Wyoming Correctional Facility
Attica, Wyoming County**

Sealed bids for Project No. Q1784-C, comprising a contract for Construction Work, Replace Sanitary Line, Wyoming Correctional Facility, 3203 Dunbar Road, Attica (Wyoming County), NY, will be received by the Office of General Services (OGS), Design & Construction Group (D&C), Division of Contract Management, 35th Fl., Corning Tower, Empire State Plaza, Albany, NY 12242, on behalf of the Department of Correctional Services, until 2:00 p.m. on Wednesday, December 9, 2020 when they will be publicly opened and read. Each bid must be prepared and submitted in accordance with the Instructions to Bidders and must be accompanied by a bid security (i.e. certified check, bank check, or bid bond in the amount of \$23,800 for C.

All successful bidders will be required to furnish a Performance Bond and a Labor and Material Bond pursuant to Sections 136 and 137 of the State Finance Law, each for 100% of the amount of the Contract estimated to be between \$250,000 and \$500,000 for C.

Pursuant to State Finance Law §§ 139-j and 139-k, this solicitation includes and imposes certain restrictions on communications between OGS D&C and a bidder during the procurement process. A bidder is restricted from making contacts from the earliest posting, on the OGS website, in a newspaper of general circulation, or in the Contract Reporter of written notice, advertisement or solicitation of offers through final award and approval of the contract by OGS D&C and the Office of the State Comptroller ("Restricted Period") to other than designated staff unless it is a contact that is included among certain statutory exceptions set forth in State Finance Law § 139-j(3)(a). Designated staff are John Pupons, Jessica Hoffman and Pierre Alric in the Division of Contract Management, telephone (518) 474-0203, fax (518) 473-7862 and John Lewyckyj, Deputy Director, Design & Construction Group, telephone (518) 474-0201, fax (518) 486-1650. OGS D&C employees are also required to obtain certain information when contacted during the restricted period and make a determination of the responsibility of the bidder pursuant to these two statutes. Certain findings of non-responsibility can result in rejection for contract award and in the event of two findings within a four-year period, the bidder is debarred from obtaining governmental Procurement Contracts. Bidders responding to this Advertisement must familiarize themselves with the State Finance Law requirements and will be expected to affirm that they understand and agree to comply on the bid form. Further information about these requirements can be found within the project manual or at: <http://www.ogs.ny.gov/aboutOGS/regulations/defaultAdvisoryCouncil.html>

The substantial completion date for this project is 177 days after the Agreement is approved by the Comptroller.

Pursuant to Public Buildings Law § 8(6), effective January 11, 2020, for any projects where the project design commenced on or after January 1, 2020 and for any contracts over \$5,000 for the work of construction, reconstruction, alteration, repair, or improvement of any State building, a responsible and reliable NYS-certified Minority or Women-Owned Business Enterprise that submits a bid within ten percent of the lowest bid will be deemed the apparent low bidder provided that the bid is \$1,400,000 or less, as adjusted annually for inflation beginning January 1, 2020. If more than one responsible and reliable MWBE firm meets these requirements, the MWBE firm with the lowest bid will be deemed the apparent low bidder.

- ☒ Project commenced design before January 1, 2020. Not subject to provision.
☐ Project commenced design on or after January 1, 2020. Subject to provision.

Pursuant to New York State Executive Law Article 15-A and the rules and regulations promulgated thereunder, OGS is required to promote opportunities for the maximum feasible participation of New York State-certified Minority- and Women-owned Business Enterprises ("MWBEs") and the employment of minority group members and women in the performance of OGS contracts. All bidders are expected to cooperate in implementing this policy. OGS hereby establishes an overall goal of 30% for MWBE participation, 15% for Minority-Owned Business Enterprises ("MBE") participation and 15% for Women-Owned Business Enterprises ("WBE") participation (based on the current availability of qualified MBEs and WBEs). The total contract goal can be obtained by utilizing any combination of MBE and/or WBE participation for subcontracting and supplies acquired under this Contract.

The Office of General Services reserves the right to reject any or all bids.

The Bidding and Contract Documents for this Project are available on compact disc (CD) only, and may be obtained for an \$8.00 deposit per set, plus a \$2.00 per set shipping and handling fee. Pursuant to State Finance Law § 143(1), effective January 11, 2020, the required deposit will be waived upon request by any Minority- and Women-Owned Business Enterprise certified pursuant to Article 15-A of the Executive Law or any Service-Disabled Veteran-Owned Business Enterprise certified pursuant to Article 17-B of the Executive Law. Contractors and other interested parties can order CD's on-line through a secure web interface available 24 hours a day, 7 days a week. Please use the following link at the OGS website for ordering and payment instructions: <https://ogs.ny.gov/design-construction/construction-contractors>

For questions about purchase of bid documents, please send an e-mail to DCPlans@ogs.ny.gov, or call (518) 474-0203

For additional information on this project, please use the link below and then click on the project number: <https://online.ogs.ny.gov/dnc/contractorConsultant/esb/ESBPlansAvailableIndex.asp>

By *John D. Lewyckyj*, Deputy Director
OGS - Design & Construction Group

REPLACE DOORS

Bldg. 842, Hudson River Campus
Poughkeepsie, Dutchess County

Sealed bids for Project No. Q1799-C, comprising a contract for Construction Work, Replace Doors, Building 842, Rockland PC, Hudson River Campus, 10 Ross Circle, Poughkeepsie (Dutchess County), NY, will be received by the Office of General Services (OGS), Design & Construction Group (D&C), Division of Contract Management, 35th Fl., Corning Tower, Empire State Plaza, Albany, NY 12242, on behalf of the Office of Mental Health, until 2:00 p.m. on Wednesday, December 9, 2020 when they will be publicly opened and read. Each bid must be prepared and submitted in accordance with the Instructions to Bidders and must be accompanied by a bid security (i.e. certified check, bank check, or bid bond in the amount of \$15,300 for C.)

All successful bidders will be required to furnish a Performance Bond and a Labor and Material Bond pursuant to Sections 136 and 137 of the State Finance Law, each for 100% of the amount of the Contract estimated to be between \$100,000 and \$250,000 for C.

Pursuant to State Finance Law §§ 139-j and 139-k, this solicitation includes and imposes certain restrictions on communications between OGS D&C and a bidder during the procurement process. A bidder is restricted from making contacts from the earliest posting, on the OGS website, in a newspaper of general circulation, or in the Contract Reporter of written notice, advertisement or solicitation of offers through final award and approval of the contract by OGS D&C and the Office of the State Comptroller ("Restricted Period") to other than designated staff unless it is a contact that is included among certain statutory exceptions set forth in State Finance Law § 139-j(3)(a). Designated staff are John Pupons, Jessica Hoffman and Pierre Alric in the Division of Contract Management, telephone (518) 474-0203, fax (518) 473-7862 and John Lewyckyj, Deputy Director, Design & Construction Group, telephone (518) 474-0201, fax (518) 486-1650. OGS D&C employees are also required to obtain certain information when contacted during the restricted period and make a determination of the responsibility of the bidder pursuant to these two statutes. Certain findings of non-responsibility can result in rejection for contract award and in the event of two findings within a four-year period, the bidder is debarred from obtaining governmental Procurement Contracts. Bidders responding to this Advertisement must familiarize themselves with the State Finance Law requirements and will be expected to affirm that they understand and agree to comply on the bid form. Further information about these requirements can be found within the project manual or at: <http://www.ogs.ny.gov/aboutOGS/regulations/defaultAdvisoryCouncil.html>

The substantial completion date for this project is 276 days after the Agreement is approved by the Comptroller.

Pursuant to Public Buildings Law § 8(6), effective January 11, 2020, for any projects where the project design commenced on or after January 1, 2020 and for any contracts over \$5,000 for the work of construction, reconstruction, alteration, repair, or improvement of any State building, a responsible and reliable NYS-certified Minority or Women-Owned Business Enterprise that submits a bid within ten percent of the lowest bid will be deemed the apparent low bidder provided that the bid is \$1,400,000 or less, as adjusted annually for inflation beginning January 1, 2020. If more than one responsible and reliable MWBE firm meets these requirements, the MWBE firm with the lowest bid will be deemed the apparent low bidder.

- ☒ Project commenced design before January 1, 2020. Not subject to provision.
☐ Project commenced design on or after January 1, 2020. Subject to provision.

Pursuant to New York State Executive Law Article 15-A and the rules and regulations promulgated thereunder, OGS is required to promote opportunities for the maximum feasible participation of New York State-certified Minority- and Women-owned Business Enterprises ("MWBEs") and the employment of minority group members and women in the performance of OGS contracts. All bidders are expected to cooperate in implementing this policy. OGS hereby establishes an overall goal of 30% for MWBE participation, 15% for Minority-Owned Business Enterprises ("MBE") participation and 15% for Women-Owned Business Enterprises ("WBE") participation (based on the current availability of qualified MBEs and WBEs). The total contract goal can be obtained by utilizing any combination of MBE and/or WBE participation for subcontracting and supplies acquired under this Contract.

The Office of General Services reserves the right to reject any or all bids.

The Bidding and Contract Documents for this Project are available on compact disc (CD) only, and may be obtained for an \$8.00 deposit per set, plus a \$2.00 per set shipping and handling fee. Pursuant to State Finance Law § 143(1), effective January 11, 2020, the required deposit will be waived upon request by any Minority- and Women-Owned Business Enterprise certified pursuant to Article 15-A of the Executive Law or any Service-Disabled Veteran-Owned Business

Enterprise certified pursuant to Article 17-B of the Executive Law. Contractors and other interested parties can order CD's on-line through a secure web interface available 24 hours a day, 7 days a week. Please use the following link at the OGS website for ordering and payment instructions: <https://online.ogs.ny.gov/dnc/contractorConsultant/esb/ESBPlansAvailableIndex.asp>

For questions about purchase of bid documents, please send an e-mail to DCPlans@ogs.ny.gov, or call (518) 474-0203

For additional information on this project, please use the link below and then click on the project number: <https://online.ogs.ny.gov/dnc/contractorConsultant/esb/ESBPlansAvailableIndex.asp>

By John D. Lewyckyj, Deputy Director
OGS - Design & Construction Group

UPGRADE PROCESS HIGH PRESSURE STEAM SYSTEM Bldg. Four, New York Psychiatric Institute New York, New York County

Sealed bids for Project No. 45977-H, comprising a contract for HVAC Work, Upgrade Process High Pressure Steam System, Building 4, New York Psychiatric Institute, 1051 Riverside Drive, New York (New York County), NY, will be received by the Office of General Services (OGS), Design & Construction Group (D&C), Division of Contract Management, 35th Fl., Corning Tower, Empire State Plaza, Albany, NY 12242, on behalf of the Office of Mental Health, until 2:00 p.m. on Wednesday, December 9, 2020 when they will be publicly opened and read. Each bid must be prepared and submitted in accordance with the Instructions to Bidders and must be accompanied by a bid security (i.e. certified check, bank check, or bid bond in the amount of \$22,800 for H).

Further, Wicks Exempt Projects require a completed form BDC 59 (Wicks Exempt List of Contractors) be filled out and submitted (included in a separate, sealed envelope) in accordance with Document 002220, Supplemental Instructions to Bidders – Wicks Exempt. Failure to submit this form correctly will result in a disqualification of the bid.

All successful bidders will be required to furnish a Performance Bond and a Labor and Material Bond pursuant to Sections 136 and 137 of the State Finance Law, each for 100% of the amount of the Contract estimated to be between \$500,000 and \$1,000,000 for H.

Pursuant to State Finance Law §§ 139-j and 139-k, this solicitation includes and imposes certain restrictions on communications between OGS D&C and a bidder during the procurement process. A bidder is restricted from making contacts from the earliest posting, on the OGS website, in a newspaper of general circulation, or in the Contract Reporter of written notice, advertisement or solicitation of offers through final award and approval of the contract by OGS D&C and the Office of the State Comptroller ("Restricted Period") to other than designated staff unless it is a contact that is included among certain statutory exceptions set forth in State Finance Law § 139-j(3)(a). Designated staff are John Pupons, Jessica Hoffman and Pierre Alric in the Division of Contract Management, telephone (518) 474-0203, fax (518) 473-7862 and John Lewyckyj, Deputy Director, Design & Construction Group, telephone (518) 474-0201, fax (518) 486-1650. OGS D&C employees are also required to obtain certain information when contacted during the restricted period and make a determination of the responsibility of the bidder pursuant to these two statutes. Certain findings of non-responsibility can result in rejection for contract award and in the event of two findings within a four-year period, the bidder is debarred from obtaining governmental Procurement Contracts. Bidders responding to this Advertisement must familiarize themselves with the State Finance Law requirements and will be expected to affirm that they understand and agree to comply on the bid form. Further information about these requirements can be found within the project manual or at: <http://www.ogs.ny.gov/aboutOGS/regulations/defaultAdvisoryCouncil.html>

The substantial completion date for this project is 379 days after the Agreement is approved by the Comptroller.

Pursuant to Public Buildings Law § 8(6), effective January 11, 2020, for any projects where the project design commenced on or after January 1, 2020 and for any contracts over \$5,000 for the work of construction, reconstruction, alteration, repair, or improvement of any State building, a responsible and reliable NYS-certified Minority or Women-Owned Business Enterprise that submits a bid within ten percent of the lowest bid will be deemed the apparent low bidder provided that the bid is \$1,400,000 or less, as adjusted annually for inflation beginning January 1, 2020. If more than one responsible and reliable MWBE firm meets these requirements, the MWBE firm with the lowest bid will be deemed the apparent low bidder.

X Project commenced design before January 1, 2020. Not subject to provision.

_____ Project commenced design on or after January 1, 2020. Subject to provision.

The only time prospective bidders will be allowed to visit the job site to take field measurements and examine existing conditions of the project area will be at 9:00 a.m. or 11:00 a.m. on November 24, 2020 at the New York Psychiatric Institute, Building #4, 40 Haven Avenue, New York, NY. Prospective bidders are urged to visit the site at this time. Prospective bidders or their representatives attending the pre-bid site visit will not be admitted on facility grounds without proper photo identification. Note that parking restrictions and security provisions will apply and all vehicles will be subject to search.

Phone the office of Carlos Silva (212) 543-0804 a minimum of 72 hours in advance of the date to provide the names of those who will attend the pre-bid site visit.

Pursuant to New York State Executive Law Article 15-A and the rules and regulations promulgated thereunder, OGS is required to promote opportunities for the maximum feasible participation of New York State-certified Minority- and Women-owned Business Enterprises ("MWBEs") and the employment of minority group members and women in the performance of OGS contracts. All bidders are expected to cooperate in implementing this policy. OGS hereby establishes an overall goal of 16% for MWBE participation, 8% for Minority-Owned Business Enterprises ("MBE") participation and 8% for Women-Owned Business Enterprises ("WBE") participation (based on the current availability of qualified MBEs and WBEs). The total contract goal can be obtained by utilizing any combination of MBE and /or WBE participation for subcontracting and supplies acquired under this Contract.

The Office of General Services reserves the right to reject any or all bids.

The Bidding and Contract Documents for this Project are available on compact disc (CD) only, and may be obtained for an \$8.00 deposit per set, plus a \$2.00 per set shipping and handling fee. Pursuant to State Finance Law § 143(1), effective January 11, 2020, the required deposit will be waived upon request by any Minority- and Women-Owned Business Enterprise certified pursuant to Article 15-A of the Executive Law or any Service-Disabled Veteran-Owned Business Enterprise certified pursuant to Article 17-B of the Executive Law. Contractors and other interested parties can order CD's on-line through a secure web interface available 24 hours a day, 7 days a week. Please use the following link for ordering and payment instructions: <https://online.ogs.ny.gov/dnc/contractorConsultant/esb/ESBPlansAvailableIndex.asp>

For questions about purchase of bid documents, please send an e-mail to DCPlans@ogs.ny.gov, or call (518) 474-0203

For additional information on this project, please use the link below and then click on the project number: <https://online.ogs.ny.gov/dnc/contractorConsultant/esb/ESBPlansAvailableIndex.asp>

By John D. Lewyckyj, Deputy Director
OGS - Design & Construction Group

**REPLACE
HEAT EXCHANGERS
Upstate Correctional Facility
Malone, Franklin County**

Sealed bids for Project Nos. 46010-H and 46010-E, comprising separate contracts for HVAC Work and Electrical Work, Replace Heat Exchangers, Housing Units 8, 9, 10, 11, 12, Buildings 1, 4 & 1, Upstate Correctional Facility, 309 Bare Hill Road, Malone (Franklin County), NY, will be received by the Office of General Services (OGS), Design & Construction Group (D&C), Division of Contract Management, 35th Fl., Corning Tower, Empire State Plaza, Albany, NY 12242, on behalf of the Department of Correctional Services, until 2:00 p.m. on Wednesday, December 9, 2020 when they will be publicly opened and read. Each bid must be prepared and submitted in accordance with the Instructions to Bidders and must be accompanied by a bid security (i.e. certified check, bank check, or bid bond in the amount of \$87,500 for H, and \$20,700 for E).

All successful bidders will be required to furnish a Performance Bond and a Labor and Material Bond pursuant to Sections 136 and 137 of the State Finance Law, each for 100% of the amount of the Contract estimated to be between \$3,000,000 and \$4,000,000 for H, and between \$250,000 and \$500,000 for E.

Pursuant to State Finance Law §§ 139-j and 139-k, this solicitation includes and imposes certain restrictions on communications between OGS D&C and a bidder during the procurement process. A bidder is restricted from making contacts from the earliest posting, on the OGS website, in a newspaper of general circulation, or in the Contract Reporter of written notice, advertisement or solicitation of offers through final award and approval of the contract by OGS D&C and the Office of the State Comptroller ("Restricted Period") to other than designated staff unless it is a contact that is included among certain statutory exceptions set forth in State Finance Law § 139-j(3)(a). Designated staff are John Pupons, Jessica Hoffman and Pierre Alric in the Division of Contract Management, telephone (518) 474-0203, fax (518) 473-7862 and John Lewyckyj, Deputy Director, Design & Construction Group, telephone (518) 474-0201, fax (518) 486-1650. OGS D&C employees are also required to obtain certain information when contacted during the restricted period and make a determination of the responsibility of the bidder pursuant to these two statutes. Certain findings of non-responsibility can result in rejection for contract award and in the event of two findings within a four-year period, the bidder is debarred from obtaining governmental Procurement Contracts. Bidders responding to this Advertisement must familiarize themselves with the State Finance Law requirements and will be expected to affirm that they understand and agree to comply on the bid form. Further information about these requirements can be found within the project manual or at: <http://www.ogs.ny.gov/aboutOGS/regulations/defaultAdvisoryCouncil.html>

The substantial completion date for this project is 246 days after the Agreement is approved by the Comptroller.

Pursuant to Public Buildings Law § 8(6), effective January 11, 2020, for any projects where the project design commenced on or after January 1, 2020 and for any contracts over \$5,000 for the work of construction, reconstruction, alteration, repair, or improvement of any State building, a responsible and reliable NYS-certified Minority or Women-Owned Business Enterprise that submits a bid within ten percent of the lowest bid will be deemed the apparent low bidder provided that the bid is \$1,400,000 or less, as adjusted annually for inflation beginning January 1, 2020. If more than one responsible and reliable MWBE firm meets these requirements, the MWBE firm with the lowest bid will be deemed the apparent low bidder.

 X Project commenced design before January 1, 2020. Not subject to provision.

 Project commenced design on or after January 1, 2020. Subject to provision.

Pursuant to New York State Executive Law Article 15-A and the rules and regulations promulgated thereunder, OGS is required to promote opportunities for the maximum feasible participation of New York State-certified Minority- and Women-owned Business Enter-

prises ("MWBEs") and the employment of minority group members and women in the performance of OGS contracts. All bidders are expected to cooperate in implementing this policy. OGS hereby establishes an overall goal of 30% for MWBE participation, 15% for Minority-Owned Business Enterprises ("MBE") participation and 15% for Women-Owned Business Enterprises ("WBE") participation (based on the current availability of qualified MBEs and WBEs) for HVAC Work and an overall goal of 10% for MWBE participation, 5% for Minority-Owned Business Enterprises ("MBE") participation and 5% for Women-Owned Business Enterprises ("WBE") participation (based on the current availability of qualified MBEs and WBEs) for Electrical Work. The total contract goal can be obtained by utilizing any combination of MBE and/or WBE participation for subcontracting and supplies acquired under this Contract.

The Office of General Services reserves the right to reject any or all bids.

The Bidding and Contract Documents for this Project are available on compact disc (CD) only, and may be obtained for an \$8.00 deposit per set, plus a \$2.00 per set shipping and handling fee. Pursuant to State Finance Law § 143(1), effective January 11, 2020, the required deposit will be waived upon request by any Minority- and Women-Owned Business Enterprise certified pursuant to Article 15-A of the Executive Law or any Service-Disabled Veteran-Owned Business Enterprise certified pursuant to Article 17-B of the Executive Law. Contractors and other interested parties can order CD's on-line through a secure web interface available 24 hours a day, 7 days a week. Please use the following link at the OGS website for ordering and payment instructions: <https://online.ogs.ny.gov/dnc/contractorConsultant/esb/ESBPlansAvailableIndex.asp>

For questions about purchase of bid documents, please send an e-mail to DCPlans@ogs.ny.gov, or call (518) 474-0203

For additional information on this project, please use the link below and then click on the project number: <https://online.ogs.ny.gov/dnc/contractorConsultant/esb/ESBPlansAvailableIndex.asp>

*By John D. Lewyckyj, Deputy Director
OGS - Design & Construction Group*

**REMOVE/REPLACE
AIR HANDLING UNIT
Bldg. 144, Cook Chill Production Center
Orangeburg, Rockland County**

Sealed bids for Project No. 46024-H, comprising separate contracts for HVAC Work, Remove/Replace Air Handling Unit, Building 144, Cook Chill Production Center, 145 Old Orangeburg Road, Orangeburg (Rockland County), NY, will be received by the Office of General Services (OGS), Design & Construction Group (D&C), Division of Contract Management, 35th Fl., Corning Tower, Empire State Plaza, Albany, NY 12242, on behalf of the Office of Mental Health, until 2:00 p.m. on Wednesday, December 9, 2020 when they will be publicly opened and read. Each bid must be prepared and submitted in accordance with the Instructions to Bidders and must be accompanied by a bid security (i.e. certified check, bank check, or bid bond in the amount of \$31,400 for H).

All successful bidders will be required to furnish a Performance Bond and a Labor and Material Bond pursuant to Sections 136 and 137 of the State Finance Law, each for 100% of the amount of the Contract estimated to be between \$500,000 and \$1,000,000 for H.

Pursuant to State Finance Law §§ 139-j and 139-k, this solicitation includes and imposes certain restrictions on communications between OGS D&C and a bidder during the procurement process. A bidder is restricted from making contacts from the earliest posting, on the OGS website, in a newspaper of general circulation, or in the Contract Reporter of written notice, advertisement or solicitation of offers through final award and approval of the contract by OGS D&C and the Office of the State Comptroller ("Restricted Period") to other than designated staff unless it is a contact that is included among certain statutory exceptions set forth in State Finance Law § 139-j(3)(a).

Designated staff are John Pupons, Jessica Hoffman and Pierre Alric in the Division of Contract Management, telephone (518) 474-0203, fax (518) 473-7862 and John Lewycky, Deputy Director, Design & Construction Group, telephone (518) 474-0201, fax (518) 486-1650. OGS D&C employees are also required to obtain certain information when contacted during the restricted period and make a determination of the responsibility of the bidder pursuant to these two statutes. Certain findings of non-responsibility can result in rejection for contract award and in the event of two findings within a four-year period, the bidder is debarred from obtaining governmental Procurement Contracts. Bidders responding to this Advertisement must familiarize themselves with the State Finance Law requirements and will be expected to affirm that they understand and agree to comply on the bid form. Further information about these requirements can be found within the project manual or at: <http://www.ogs.ny.gov/aboutOGS/regulations/defaultAdvisoryCouncil.html>

The substantial completion date for this project is 342 days after the Agreement is approved by the Comptroller.

Pursuant to Public Buildings Law § 8(6), effective January 11, 2020, for any projects where the project design commenced on or after January 1, 2020 and for any contracts over \$5,000 for the work of construction, reconstruction, alteration, repair, or improvement of any State building, a responsible and reliable NYS-certified Minority or Women-Owned Business Enterprise that submits a bid within ten percent of the lowest bid will be deemed the apparent low bidder provided that the bid is \$1,400,000 or less, as adjusted annually for inflation beginning January 1, 2020. If more than one responsible and reliable MWBE firm meets these requirements, the MWBE firm with the lowest bid will be deemed the apparent low bidder.

 X Project commenced design before January 1, 2020. Not subject to provision.

 Project commenced design on or after January 1, 2020. Subject to provision.

Pursuant to New York State Executive Law Article 15-A and the rules and regulations promulgated thereunder, OGS is required to promote opportunities for the maximum feasible participation of New York State-certified Minority- and Women-owned Business Enterprises ("MWBEs") and the employment of minority group members and women in the performance of OGS contracts. All bidders are expected to cooperate in implementing this policy. OGS hereby establishes an overall goal of 20% for MWBE participation, 10% for Minority-Owned Business Enterprises ("MBE") participation and 10% for Women-Owned Business Enterprises ("WBE") participation (based on the current availability of qualified MBEs and WBEs). The total contract goal can be obtained by utilizing any combination of MBE and /or WBE participation for subcontracting and supplies acquired under this Contract.

The Office of General Services reserves the right to reject any or all bids.

The Bidding and Contract Documents for this Project are available on compact disc (CD) only, and may be obtained for an \$8.00 deposit per set, plus a \$2.00 per set shipping and handling fee. Pursuant to State Finance Law § 143(1), effective January 11, 2020, the required deposit will be waived upon request by any Minority- and Women-Owned Business Enterprise certified pursuant to Article 15-A of the Executive Law or any Service-Disabled Veteran-Owned Business Enterprise certified pursuant to Article 17-B of the Executive Law. Contractors and other interested parties can order CD's on-line through a secure web interface available 24 hours a day, 7 days a week. Please use the following link at the OGS website for ordering and payment instructions: <https://online.ogs.ny.gov/dnc/contractorConsultant/esb/ESBPlansAvailableIndex.asp>

For questions about purchase of bid documents, please send an e-mail to DCPlans@ogs.ny.gov, or call (518) 474-0203

For additional information on this project, please use the link below and then click on the project number: <https://online.ogs.ny.gov/dnc/contractorConsultant/esb/ESBPlansAvailableIndex.asp>

By *John D. Lewycky, Deputy Director*
OGS - Design & Construction Group

NOTICE OF AVAILABILITY OF STATE AND FEDERAL FUNDS

Division of Housing and Community Renewal
Housing Trust Fund Corporation
Office of Community Renewal
38-40 State St., 4th Fl. S
Albany, NY 12207

UNITS OF LOCAL GOVERNMENT, NON-PROFIT CORPORATIONS

NYS HOME Program

2020 NOTICE OF FUNDING AVAILABILITY (NOFA)

The Housing Trust Fund Corporation (HTFC) announces the availability of approximately \$10 million in Federal funds for the NYS HOME Program

PROGRAM DESCRIPTION

The NYS HOME Program is a federally funded program administered by the Housing Trust Fund Corporation's (HTFC) Office of Community Renewal (OCR). The program is designed to fund activities to expand the supply of decent, safe, and affordable housing throughout the State of New York. The funds made available in this NOFA represent 2020 fiscal year HOME funds designated for use by Local Program Administrators (LPAs).

HOME ELIGIBLE APPLICANTS

Eligible applicants are defined as:

- 1) Units of local government, to include: Counties, Cities, Towns and Villages
- 2) Non-profit corporations incorporated under State Non-Profit Corporation Law

NOTE: Applications will be permitted from not-for-profit organizations within HOME Participating Jurisdictions. Please refer to the Request for Proposals for more details.

To be eligible to apply, applicants must have been in existence and providing recent and relevant residential housing services to the community for at least one year prior to application. Applicants must be able to demonstrate a local market need for the program proposed and the capacity to utilize the amount of funding requested within the two-year contract term.

HOME ELIGIBLE ACTIVITIES

1. Housing Rehabilitation

Funds may be requested for the repair or rehabilitation of an owner-occupied single-family (1-4 unit) dwelling. All HOME assisted units must meet NYS and/or Local Code upon completion of construction activities.

2. Manufactured Housing Replacement

Funds may be requested to demolish and dispose of a sub-standard owner-occupied manufactured or mobile home and replace it with a new manufactured home. Manufactured home replacement is considered reconstruction for the purposes of the HOME Local program. The unit must be installed on the same lot and can replace a unit that was demolished within the last 12 months prior to the date of commitment.

3. Homebuyer Down Payment Assistance

Funds may be requested to assist homebuyers with down payment and/or closing cost assistance to purchase a single family (1-4 unit), non-HOME assisted existing home or newly constructed home. The home to be purchased must meet NYS and/or local Code prior to closing in order to be HOME eligible.

Funds may also be requested for rehabilitation for the home that is purchased as well as to assist with down payment and/or closing costs.

4. Tenant Based Rental Assistance (TBRA)

Funds may be requested to provide monthly tenant-based rental assistance to assist families at or below 60% of area median income. Security deposits and tenant utility deposits may also be eligible.

Applicants may submit a maximum of two applications in response to this NOFA. Each application must be for one eligible activity. In other words, two activities cannot be combined into one application.

HOME MAXIMUM AWARD AMOUNTS, MAXIMUM PER UNIT AMOUNTS

1. Housing Rehabilitation

Maximum Award up to \$600,000 – Maximum Per-unit up to \$65,000

2. Manufactured Housing Replacement

Maximum Award up to \$1,250,000 – Maximum Per-unit up to \$125,000

3. Homebuyer Down Payment Assistance

Without Rehabilitation- Maximum Award up to \$800,000 – Maximum Per-unit up to \$80,000

With Rehabilitation- Maximum Award up to \$800,000 – Maximum Per-unit up to \$100,000

4. Tenant Based Rental Assistance (TBRA)

Maximum Award up to \$600,000 – Maximum Per-unit up to \$50,000

APPLICATION FOR FUNDING

The NYS Home Local Program application for funds available through this NOFA and corresponding RFP will be available on the NYS Homes and Community Renewal website, <https://hcr.ny.gov/search/funding-opportunities> or <https://hcr.ny.gov/nys-home-program> November 25, 2020. Applications are due no later than January 22, 2021. Applications must be submitted using the Community Development Online ApplicationSystem (CDOL).

The above-stated HOME application deadlines are firm as to date and hour. In the interest of fairness to all competing applicants, applications received after the specified date and time will be deemed ineligible and will not be considered for funding. Applicants should make early submission of their applications to avoid risks of ineligibility resulting from unanticipated delays or other delivery-related problems.

Applicants may make a request, based on demonstrated need, to submit a paper application in lieu of using the CDOL application system. Requests for approval to submit a paper application must be sent to: Crystal Loffler, Deputy Commissioner, NYS Homes and Community Renewal, Office of Community Renewal, Hampton Plaza, 38-40 State Street, 4th Floor South, Albany, NY 12207.

CONTACT INFORMATION

For inquiries or technical assistance regarding the NYS HOME Programs, please contact: Stephanie Galvin-Riley, NY Home and Community Renewal, Office of Community Renewal, 38-40 State St., 4th Fl. S, Albany, NY 12207, (518) 474-2057, Homeprogram@nys-hcr.org

Division of Housing and Community Renewal

Housing Trust Fund Corporation
Office of Community Renewal
38-40 State St., 4th Fl. S
Albany, NY 12207

UNITS OF LOCAL GOVERNMENT AND ORGANIZATIONS INCORPORATED UNDER THE NEW YORK STATE NOT-FOR-PROFIT CORPORATION LAW

New York Main Street (NYMS) Program

2020 NOTICE OF FUNDING AVAILABILITY (NOFA)

The Housing Trust Fund Corporation (HTFC) announces the availability of \$6.2 million of State funds for the New York Main Street (NYMS) Program

The Housing Trust Fund Corporation (HTFC) announces the availability of \$6.2 million of State funds for the New York Main Street (NYMS) Program.

APPLICATION FOR FUNDING

The detailed Request for Applications (RFA) and access to the online application will be available on the NYS Homes and Community Renewal website, <https://hcr.ny.gov/new-york-main-street#funding-round-materials>, on November 25, 2020. Applications will be due no later than 4:00 pm on Friday, January 15, 2021. Applications must be submitted using the Consolidated Funding Application system (CFA) available at: <https://apps.cio.ny.gov/apps/cfa/>

The above-stated application deadline is firm as to date and hour. In the interest of fairness to all competing applicants, applications received after the specified date and time will be deemed ineligible and will not be considered for funding. Early submission of applications is recommended to avoid the risk of ineligibility resulting from unanticipated delays.

PROGRAM DESCRIPTION

The New York Main Street (NYMS) Program was created by the Housing Trust Fund Corporation (HTFC) in 2004 to provide resources to assist New York's communities with Main Street and downtown revitalization efforts. Article XXVI was added to NYS Private Housing Finance Law in 2009 to formally establish the New York Main Street Program in statute. The NYMS Program is administered by the Office of Community Renewal (OCR) under the direction of the Housing Trust Fund Corporation.

NYMS provides resources to invest in projects that provide economic development and housing opportunities in downtown, mixed-use commercial districts. A primary goal of the program is to stimulate reinvestment and leverage additional funds to establish and sustain downtown and neighborhood revitalization efforts.

ELIGIBLE APPLICANTS

Eligible applicants for the New York Main Street program are units of local government and organizations incorporated under the New York State Not-For-Profit Corporation Law, that have been providing relevant service to the community for at least one year prior to application.

ELIGIBLE TARGET AREAS

All NYMS activities must be in an eligible target area. Applicants must clearly identify how the target area meets each of the three components of the statutory definition of an eligible target area. Applications that do not address each of the three statutory criteria, or do not provide clear source documentation will be deemed ineligible. Please note, based on the statutory criteria there are areas within NYS that are not eligible; therefore, vague or unclear responses cannot be interpreted to present an eligible target area.

Article XXVI of the Private Housing Finance Law indicates that an eligible target area shall mean an area:

- (i) that has experienced sustained physical deterioration, decay, neglect, or disinvestment;
- (ii) has a number of substandard buildings or vacant residential or commercial units; and
- (iii) in which more than fifty percent of the residents are persons

whose incomes do not exceed ninety percent of the area median income for the county or metropolitan statistical area (MSA) in which the project is located, or which is designated by a state or federal agency to be eligible for a community or economic development program.

Applicants are encouraged to identify well-defined Main Street areas to maximize the impact that the funds will have on the community. The proposed activities must be carried out in a program target area of generally no more than three contiguous blocks which has experienced sustained physical deterioration, decay, neglect, or disinvestment, and has a number of substandard buildings or vacant residential or commercial units.

PRE-APPLICATION REQUIREMENTS

Municipal Resolution

The local governing body should demonstrate that they are familiar with and in support of the proposed project. Each municipality in which the proposed program or project will function must approve a formal resolution supporting an application for the proposed NYMS program or project. The resolution should be passed prior to the application deadline and attached in the documents section of the application. In response to the COVID Pandemic, a written letter from the municipal or community board may be an acceptable alternative if a formal resolution cannot be obtained in the required timeframe. Note that this flexibility is being offered for this application round only. If awarded, a formal resolution may be required prior to grant execution.

ELIGIBLE ACTIVITIES

Applicants may propose only one activity for a 2020 New York Main Street (NYMS) application.

1. Technical Assistance Project

Applicants may request up to \$20,000 to complete a project that improves community or property owner readiness to participate in a future New York Main Street renovation activity. Examples of eligible NYMS-TA activities include, but are not limited to, building reuse and feasibility analysis, design guidelines, and economic development planning. Investments of NYMS funds must be matched by other funds. In conjunction with a NYMS-TA project, applicants may also request streetscape enhancement funds for activities that improve the NYMS target area.

2. Traditional NYMS Target Area Building Renovation Project

Applicants may request between \$50,000 and \$500,000 for Target Area Building Renovation Activities. Requests must not exceed an amount that can be reasonably expended in the identified target area, within a 24-month term. Eligible activities include building renovation, streetscape enhancements in conjunction with building renovation activities, administration, and soft costs. Investments of NYMS funds in eligible building activities or soft costs must be matched by other funds.

3. NYMS Downtown Anchor Project

Applicants may request between \$100,000 and \$500,000 for a standalone, single site, "shovel ready" renovation project. NYMS Downtown Anchor Project funds are intended to help establish or expand cultural, residential or business anchors that are key to local downtown revitalization efforts through substantial interior and/or exterior building renovations. Eligible activities include building renovation, administration, and soft costs. Investments of NYMS funds in eligible building activities or soft costs must be matched by other funds.

4. NYMS Downtown Stabilization Project

Applicants may request \$100,000 to \$500,000 to complete a Downtown Stabilization Project. Funds are available to assist with environmental remediation and associated construction costs, as well as other innovative approaches to stabilizing and developing a downtown, mixed-use project. Example eligible projects include: asbestos management, soil vapor intrusion testing and mitigation, building stabilization construction activities or other projects that identify or mitigate risks associated with other hazardous materials or remove obstacles to future redevelopment. Investments of NYMS funds in eligible building activities or soft costs must be matched by other funds. Investments of NYMS funds in eligible building activities or soft costs must be matched by other funds.

INELIGIBLE ACTIVITIES

Please note: An applicant is encouraged to focus its efforts on one project type. For this reason, applicants may propose only one NYMS activity in an application.

Ineligible uses of NYMS funds include, but are not limited to: demolition; new construction; improvements to structures owned by religious or private membership organizations; improvements to municipally-owned buildings used for municipal purposes; the purchase of non-permanent fixtures, such as furnishings, appliances, electronics, and business equipment; general organizational operating expenses; capitalizing a revolving loan fund; site work or ancillary activities on a property, including septic systems and laterals, grading, parking lots, sidewalks, landscaping, fences, free standing signs, and general maintenance. General planning activities are not eligible under the four NYMS program activities.

GENERAL REQUIREMENTS

The OCR reserves the right to reject any and all proposals, to negotiate with applicants, recommend funding in an amount less than requested, conduct site visits, interview the applicant and development team, extend the submission deadline, and request additional information. The OCR reserves the right to waive or modify any requirement contained in the Request for Proposals and applications received subject to the applicable statutes and the Program Regulations.

MINORITY- AND WOMEN-OWNED BUSINESS ENTERPRISES (M/WBE) COMPLIANCE

Recipients of awards are subject to Article 15A and 17-B of the New York State Executive Law. These requirements include equal employment opportunities for minority group members and women ("EEO") and contracting opportunities for certified minority and women-owned business enterprises ("MWBEs") and Service-Disabled Veteran-Owned Businesses ("SDVOBs"). Recipient's demonstration of "good faith efforts" pursuant to 5 NYCRR § 142.8 shall be a part of these requirements.

CONTACT INFORMATION

For inquiries or technical assistance regarding these programs please contact: NY Homes and Community Renewal, Office of Community Renewal, 38-40 State St., 4th Fl. S, Albany, NY 12207, (518) 474-2057, Homeprogram@nyshcr.org

MISCELLANEOUS NOTICES/HEARINGS

Notice of Abandoned Property Received by the State Comptroller

Pursuant to provisions of the Abandoned Property Law and related laws, the Office of the State Comptroller receives unclaimed monies and other property deemed abandoned. A list of the names and last known addresses of the entitled owners of this abandoned property is maintained by the office in accordance with Section 1401 of the Abandoned Property Law. Interested parties may inquire if they appear on the Abandoned Property Listing by contacting the Office of Unclaimed Funds, Monday through Friday from 8:00 a.m. to 4:30 p.m., at:

1-800-221-9311
or visit our web site at:
www.osc.state.ny.us

Claims for abandoned property must be filed with the New York State Comptroller's Office of Unclaimed Funds as provided in Section 1406 of the Abandoned Property Law. For further information contact: Office of the State Comptroller, Office of Unclaimed Funds, 110 State St., Albany, NY 12236.

NOTICE OF PUBLIC HEARING

Office of Parks, Recreation & Historic Preservation

Pursuant to Part 617 of the implementing regulations pertaining to Article 8 (State Environmental Quality Review) of the Environmental Conservation Law, the NYS OPRHP hereby gives notice of a public hearing:

Time and Date: Wednesday, January 6, 2021 at 6:00 p.m.

Place: Public hearing will be held at: 6:00 p.m., Jan. 6, 2021 before an Administrative Law Judge (ALJ) from the New York State Department of Environmental Conservation (DEC) via electronic webinar. Instructions on how to "join" the hearing webinar and provide an oral statement will be published in the DEC's electronic Environmental Notice Bulletin (ENB) and posted on OPRHP's website on Wednesday, November 18, 2020. The DEC's ENB may be accessed at <https://www.dec.ny.gov/enb/enb.html>. The OPRHP's webpage for the Statewide Greenway Trails Plan/GEIS may be accessed at: <https://parks.ny.gov/inside-our-agency/master-plans.aspx>

Persons who wish to receive the instructions by mail or telephone may call DEC at (518) 402-9003. Please provide your first and last name, address, and telephone number and reference the Statewide Greenway Trails Plan public comment hearing.

Interpreter services for hearing impaired persons or persons with limited English proficiency will be provided at no charge upon written request submitted no later than December 23, 2020. The written request must be addressed to Chief ALJ James T. McClymonds, NYS DEC Office of Hearings and Mediation Services, 625 Broadway, 1st Floor, Albany, NY 12233-1550 or emailed to Chief ALJ McClymonds at ohms@dec.ny.gov.

Purpose: OPRHP, as Lead Agency, has determined that the proposed Adoption and Implementation of the Statewide Greenway Trails Plan may have a significant adverse impact on the environment and therefore has prepared a Draft Generic Environmental Impact Statement (DGEIS). OPRHP will hold an online public comment hearing to receive comments on the Draft Plan/DGEIS.

For further information, contact: Christopher Morris, Statewide Trails Planner, Division of Environmental Stewardship and Planning, Office of Parks, Recreation and Historic Preservation Albany NY, 12238, StatewideTrailsPlan@parks.ny.gov

PUBLIC NOTICE

Department of State

F-2020-0558

Date of Issuance – November 25, 2020

The New York State Department of State (DOS) is required by Federal regulations to provide timely public notice for the activities described below, which are subject to the consistency provisions of the Federal Coastal Zone Management Act of 1972, as amended.

The applicant has certified that the proposed activity complies with and will be conducted in a manner consistent with the approved New York State Coastal Management Program. The applicant's consistency certification and accompanying public information and data are available for inspection on the New York State Department of State's website at: <http://www.dos.ny.gov/opd/programs/pdfs/Consistency/F-2020-0558.pdf>

In F-2020-0558, or the "Waterfront Improvement - Richards Property", the applicant – Christopher Richards – proposes to remove and replace 130 linear feet of functioning and lawfully existing bulkhead, including returns and parallel capping boardwalks, in-place (without seaward expansion). The applicant also proposes to maintain the existing 110' x 5' fixed pier, construct/ install a 70' x 5' (350 sf) fixed "L" shaped pier extension, 12' x 8' (96 sf) floating dock, two (2) 12' x 6' (72 sf) personal watercraft floating dock and a 45 linear feet wave screen. Maintain/relocate a 24' x 20' (480 sf) 8-pile lift, an 18' x 18' (224 sf) 4-pile lift, and a 12.5' x 12' (150 sf) 2-pile personal watercraft lift.

The purpose of the proposed project is to remove and replace erosion protection structure to provide shoreline resiliency and prevent damage or property loss during future storm events, provide safe dockage and access for water dependent uses including recreational boating. The fixed "L" shape pier extension is being proposed due to a restrictive berthing area adjacent to the neighboring property. The project is located at 332 Bay Drive in the Town of Massapequa, Nassau County on the Great South Bay.

Any interested parties and/or agencies desiring to express their views concerning the above proposed activities may do so by filing their comments, in writing, no later than 4:30 p.m., 15 days from the date of publication of this notice, or, December 10, 2020.

Comments should be addressed to: Consistency Review Unit, Department of State, Planning, Development and Community Infrastructure, One Commerce Plaza, 99 Washington Ave., Albany, NY 12231, (518) 474-6000, Fax (518) 473-2464. Electronic submissions can be made by email at: CR@dos.ny.gov

This notice is promulgated in accordance with Title 15, Code of Federal Regulations, Part 930.

PUBLIC NOTICE

Department of State
F-2020-0801

Date of Issuance – November 25, 2020

The New York State Department of State (DOS) is required by Federal regulations to provide timely public notice for the activities described below, which are subject to the consistency provisions of the Federal Coastal Zone Management Act of 1972, as amended.

The applicant has certified that the proposed activity complies with and will be conducted in a manner consistent with the approved New York State Coastal Management Program. The applicant's consistency certification and accompanying public information and data are available for inspection on the New York State Department of State's website at: <http://www.dos.ny.gov/opd/programs/pdfs/Consistency/F-2020-0801.pdf>

In F-2020-0801, or the "Milton Road and Hewlett Ave. Drainage Improvements", the applicant – City of Rye – proposes two drainage improvement projects, one on Milton Road and the other on Hewlett Avenue. The Applicant is proposing two municipal storm sewer and drainage improvements which will discharge to Milton Harbor. One project involves the installation of new conveyance pipes and outfall structure for the Milton Road storm sewer system. The other project involves the installation of a concrete stormwater vault for the Hewlett Avenue storm sewer system.

The purpose of the proposed project is to help reduce flooding problems. Milton Road experiences flooding during storm events due to backwater from high tide and insufficient capacity of the existing municipal stormwater infrastructure. Additionally, the Hewlett Avenue stormwater infrastructure at Milton Harbor experiences backflow during high tide that impedes drainage. The Milton Road storm sewer system work includes some work within Milton Road, but is predominately located within an asphalt parking lot and a maintained lawn area between Milton Road and Milton Harbor. The work will occur within or adjacent to the City of Rye drainage easement that crosses the lawn area on the Milton Harbor House property. The project includes a new outfall structure within the existing riprap shoreline at Milton Harbor. The project is located on Milton Road and Hewlett Avenue in the City of Rye, Westchester County on Milton Harbor.

Any interested parties and/or agencies desiring to express their views concerning the above proposed activities may do so by filing their comments, in writing, no later than 4:30 p.m., 15 days from the date of publication of this notice, or, December 10, 2020.

Comments should be addressed to: Consistency Review Unit, Department of State, Planning, Development and Community Infrastructure, One Commerce Plaza, 99 Washington Ave., Albany, NY 12231, (518) 474-6000, Fax (518) 473-2464. Electronic submissions can be made by email at: CR@dos.ny.gov

This notice is promulgated in accordance with Title 15, Code of Federal Regulations, Part 930.

PUBLIC NOTICE

Department of State
F-2020-0913 (DA)

Date of Issuance – November 25, 2020

The New York State Department of State (DOS) is required by Federal regulations to provide timely public notice for the activities described below, which are subject to the consistency provisions of the Federal Coastal Zone Management Act of 1972, as amended.

The U.S. Environmental Protection Agency has determined that the proposed activity will be undertaken in a manner consistent to the maximum extent practicable with the enforceable policies of the New York State Coastal Management Program. The applicant's consistency determination and accompanying supporting information and data are available for inspection at the New York State Department of State offices located at One Commerce Plaza, 99 Washington Avenue in Albany, New York.

On October 26, 2020, U.S. Environmental Protection Agency (EPA) proposed regulations under the Clean Water Act (CWA), as amended

by the Vessel Incidental Discharge Act (VIDA) of 2018, to establish national performance standards for discharges incidental to the normal operation of primarily commercial vessels. EPA is providing notification of its determination that the proposed action would have de minimis coastal effects that are likely to be environmentally beneficial. The proposed rule would establish general and specific technology-based discharge standards of performance for approximately 82,000 domestic and international non-military, non-recreational vessels operating in the waters of the United States or the waters of the contiguous zone. Discharges from commercial vessels have been regulated under the CWA section 402 National Pollutant Discharge Elimination System permitting regime through the 2013 Vessel General Permit (VGP). The principal effect of the VIDA is to transfer authority for establishing discharge requirements for commercial vessels from the VGP permitting program to the new uniform national regulation-based program under CWA section 312(p). Absent certain narrow exceptions, VIDA requires that the proposed standards be at least as stringent as the general requirements contained in the 2013 VGP.

CWA section 312(p), captioned "uniform national standards for discharges incidental to normal operation of vessels," directs EPA to promulgate performance standards that apply consistently throughout the country to the regulated vessel discharges covered by the proposed VIDA rule. Among Congress' purposes in enacting the VIDA is to provide for uniform, environmentally sound standards and requirements for the management of discharges. The approach does not allow for state-specific distinctions in the development of the technology-based standards.

Any interested parties and/or agencies desiring to express their views concerning the above proposed activities may do so by filing their comments, in writing, no later than 4:30 p.m., 15 days from the date of publication of this notice, or, December 10, 2020.

Comments should be addressed to: Department of State, Office of Coastal, Local Government and Community Sustainability, One Commerce Plaza, 99 Washington Ave., Suite 1010, Albany, NY 12231, (518) 474-6000, Fax (518) 474-6572

This notice is promulgated in accordance with Title 15, Code of Federal Regulations, Part 930.

PUBLIC NOTICE

Department of State

Uniform Code Variance/Appeal Petitions

Pursuant to 19 NYCRR Part 1205, the variance and appeal petitions below have been received by the Department of State. Unless otherwise indicated, they involve requests for relief from provisions of the New York State Uniform Fire Prevention and Building Code. Persons wishing to review any petitions, provide comments, or receive actual notices of any subsequent proceeding may contact Brian Tollisen or Neil Collier, Building Standards and Codes, Department of State, One Commerce Plaza, 99 Washington Ave., Albany, NY 12231, (518) 474-4073 to make appropriate arrangements.

2020-0507 In the matter of Francine Jasper, 216 Washington St., Ithaca, NY 14850, requesting a variance concerning fire safety and building code requirements including a variance to allow a reduction in required height of stair handrail, located at 216 Washington St., City of Ithaca, County of Tompkins, State of New York.

PUBLIC NOTICE

Department of State

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2020-0512 Matter of Patricia Schachter, 863 Wellington Rd., Westbury, NY 11590, for a variance concerning safety requirements, including the ceiling height, involved is an existing one-family dwelling located at 107 Barwick St., Floral Park, Town of North Hempstead, NY 11001 County of Nassau, State of New York.

2020-0513 Matter of Raymond M. Willhoft, 304 Cinnabar Lane, Yardley, PA 19067, for a variance concerning safety requirements, including the ceiling height, involved is an existing one-family dwelling located at 1112 Merillon St., Uniondale, Town of Hempstead, NY 11553, County of Nassau, State of New York.

2020-0514 Matter of Gray Architectural SVS, P.C., Chris Gray, 2401 Capri Place, N. Bellmore, NY 11710, for a variance concerning safety requirements, including the height under a girder/soffit, involved is an existing one-family dwelling located at 68 Nottingham Ct., New Hyde Park, Town of North Hempstead, NY 11040, County of Nassau, State of New York.

PUBLIC NOTICE

Department of State Uniform Code Variance/Appeal Petitions

Pursuant to 19 NYCRR Part 1205, the variance and appeal petitions below have been received by the Department of State. Unless otherwise indicated, they involve requests for relief from provisions of the New York State Uniform Fire Prevention and Building Code. Persons wishing to review any petitions, provide comments, or receive actual notices of any subsequent proceeding may contact Brian Tollisen or Neil Collier, Building Standards and Codes, Department of State, One Commerce Plaza, 99 Washington Ave., Albany, NY 12231, (518) 474-4073 to make appropriate arrangements.

2020-0522 Matter of Kazel Architects, Keri Kazel, P.O. Box 169, Speonk, NY 11972, for a variance concerning safety requirements, including the height under a girder/soffit, involved is an existing one-family dwelling located at 32 N. Quarter Rd., Westhampton, Town of Southampton, NY 11977, County of Suffolk, State of New York.

2020-0523 Matter of Christopher Reed, 3117 Devon Ave., Medford, NY 11763, for a variance concerning safety requirements, including the ceiling height and the height under a girder/soffit, involved is an existing one-family dwelling located at 3117 Devon Avenue, Medford, Town of Brookhaven, NY 11763, County of Suffolk, State of New York.

2020-0524 Matter of Ramnarine & Parbattie Birbahadur, 22 Hollywood Drive, Shirley, NY 11967, for a variance concerning safety requirements, including the height under a girder/soffit, involved is an existing one-family dwelling located at 22 Hollywood Drive, Shirley, Town of Brookhaven, NY 11967, County of Suffolk, State of New York.

2020-0525 Matter of Gene Solomon, Architect, Gene Solomon, 919 Shari Lane, East Meadow, NY 11554, for a variance concerning safety requirements, including the height under a girder/soffit, involved is an existing one-family dwelling located at 261 Yale Street, Village of Hempstead, NY 11550, County of Nassau, State of New York.

2020-0526 Matter of Apple Expediting Corp., Carolann Chang, P.O. Box 56, West Islip, NY 11795, for a variance concerning safety requirements, including the height under a girder/soffit, involved is an existing one-family dwelling located at 6 Riverview Terrace, Village of Lindenhurst, NY 11757, County of Suffolk, State of New York.

2020-0527 Matter of Sadia Yousuf, 11 Indiana Avenue, Bay Shore, NY 11706, for a variance concerning safety requirements, including the ceiling height and the height under a girder/soffit, involved is an existing one-family dwelling located at 11 Indiana Avenue, Bay Shore, Town of Islip, NY 11706, County of Suffolk, State of New York.

PUBLIC NOTICE

Department of State Office of Renewable Energy Siting Extension of Public Comment Period

The original November 16, 2020 deadline for submission of public comment on Proposed Rule Making, "Siting of Major Renewable

Energy Facilities," I.D. No. DOS-37-20-00015, has been extended until December 7, 2020, which will match the public comment deadline for Proposed Rule Making, "Siting Permits for Major Renewable Energy Facilities," I.D. No. DOS-37-20-00016-P. Comments may be submitted as indicated in these Notices of Proposed Rule Making, which were both published on September 16, 2020. Comments may also be submitted at: www.ores.ny.gov/regulations

PUBLIC NOTICE

Susquehanna River Basin Commission

Commission Meeting

SUMMARY: The Susquehanna River Basin Commission will conduct its regular business meeting on December 11, 2020, from Harrisburg, Pennsylvania. Details concerning the matters to be addressed at the business meeting are contained in the Supplementary Information section of this notice. Also the Commission published a document in the Federal Register on October 7, 2020, concerning its public hearing on November 5, 2020, in Harrisburg, Pennsylvania.

DATES: The meeting will be held on Friday, December 11, 2020, at 9 a.m.

ADDRESSES: The meeting will be conducted telephonically from the Susquehanna River Basin Commission, 4423 N. Front Street, Harrisburg, PA 17110.

FOR FURTHER INFORMATION CONTACT: Jason E. Oyler, General Counsel and Secretary to the Commission, telephone: 717-238-0423; fax: 717-238-2436.

SUPPLEMENTARY INFORMATION: The business meeting will include actions or presentations on the following items: (1) adoption of a policy to incentivize the use of impaired waters; (2) adoption of an updated regulatory program fee schedule; (3) adoption of a general permit for groundwater remediation projects; (4) ratification/approval of contracts/grants; (5) a report on delegated settlements; and (6) Regulatory Program projects.

This agenda is complete at the time of issuance, but other items may be added, and some stricken without further notice. The listing of an item on the agenda does not necessarily mean that the Commission will take final action on it at this meeting. When the Commission does take final action, notice of these actions will be published in the Federal Register after the meeting. Any actions specific to projects will also be provided in writing directly to project sponsors.

Due to the COVID-19 orders, the meeting will be conducted telephonically and there will be no physical public attendance. The public is invited to attend the Commission's business meeting by telephone conference and may do so by dialing Conference Call # 1-888-387-8686, the Conference Room Code # 9179686050. Written comments pertaining to items on the agenda at the business meeting may be mailed to the Susquehanna River Basin Commission, 4423 North Front Street, Harrisburg, Pennsylvania 17110-1788, or submitted electronically through www.srbcc.net/about/meetings-events/business-meeting.html. Such comments are due to the Commission on or before December 9, 2020. Comments will not be accepted at the business meeting noticed herein.

Authority: Pub. L. 91-575, 84 Stat. 1509 et seq., 18 CFR Parts 806, 807, and 808.

Dated: November 5, 2020

Jason E. Oyler,

General Counsel and Secretary to the Commission

PUBLIC NOTICE

Susquehanna River Basin Commission

Grandfathering (GF) Registration Notice

SUMMARY: This notice lists Grandfathering Registration for projects by the Susquehanna River Basin Commission during the period set forth in DATES.

DATES: October 1-31, 2020.

ADDRESSES: Susquehanna River Basin Commission, 4423 North Front Street, Harrisburg, PA 17110-1788.

FOR FURTHER INFORMATION CONTACT: Jason E. Oyler, General Counsel and Secretary to the Commission, (717) 238-0423, ext. 1312, fax (717) 238-2436, e-mail: joyler@srbc.net. Regular mail inquiries may be sent to the above address.

SUPPLEMENTARY INFORMATION: This notice lists GF Registration for projects, described below, pursuant to 18 CFR 806, Subpart E for the time period specified above:

Grandfathering Registration Under 18 CFR part 806, Subpart E:

1. Danville Borough Municipal Authority – Public Water Supply System, GF Certificate No. GF-202010119, Danville Borough, Montour County, Pa.; Susquehanna River; Issue Date: October 13, 2020.

2. Pennsylvania Department of Corrections – State Correctional Institute at Camp Hill, GF Certificate No. GF-202010120, Lower Allen Township, Cumberland County, Pa.; Cedar Run and consumptive use; Issue Date: October 13, 2020.

3. Pennsylvania Department of Corrections – State Correctional Institute at Dallas, GF Certificate No. GF-202010121, Jackson Township, Luzerne County, Pa.; Well 1 and consumptive use; Issue Date: October 13, 2020.

4. Joseph and Susan Tallman – Joseph and Susan Tallman Farm, GF Certificate No. GF 202010122, Porter Township, Schuylkill County, Pa.; Wiconisco Creek; Issue Date: October 13, 2020.

5. Port Royal Municipal Authority – Public Water Supply System, GF Certificate No. GF 202010123, Turbett Township, Juniata County, Pa.; Wells 1, 3, 4, and 5; Issue Date: October 15, 2020.

6. Pennsylvania Fish & Boat Commission – Huntsdale State Fish Hatchery, GF Certificate No. GF-202010124, Penn Township, Cumberland County, Pa.; Northline/Knaubs, McManus, and Springs 3, 4, 5, 6, 7, and 9; Issue Date: October 15, 2020.

7. Centre Hall Borough – Centre Hall Borough Waterworks, GF Certificate No. GF 202010125, Centre Hall Borough and Potter Township, Centre County, Pa.; Wells 8 and 9; Issue Date: October 15, 2020.

8. Henry Reiner – Reiner Farms, GF Certificate No. GF-202010126, Upper Mahantongo Township, Schuylkill County, Pa.; Mahantongo Creek - Pivot and Mahantongo Creek - Reel; Issue Date: October 15, 2020.

Authority: Pub. L. 91-575, 84 Stat. 1509 et seq., 18 CFR parts 806 and 808.

Dated: November 5, 2020

Jason E. Oyler,

General Counsel and Secretary to the Commission

EXECUTIVE ORDERS

Executive Order No. 198.11: Continuing the Declaration of Disaster Emergency in the Counties of Cayuga, Jefferson, Monroe, Niagara, Orleans, Oswego, St. Lawrence, and Wayne.

WHEREAS, pursuant to Executive Order 198, a disaster has heretofore been declared within the counties of Cayuga, Jefferson, Monroe, Niagara, Orleans, Oswego, St. Lawrence, and Wayne due to extensive flooding, widespread erosion, and water damage that caused significant damage to homes and other residential structures, businesses, and public infrastructure;

WHEREAS, historic high water levels in Lake Ontario and the St. Lawrence River continue to be of concern as a cause of flooding, widespread erosion, and water damage, which continues to jeopardize infrastructure, coastline structures, natural barriers, and navigation; and

WHEREAS, the Resiliency and Economic Development Initiative Commission has identified high-priority projects that are urgently needed to protect homes, businesses, and critical infrastructure; to sustainably maintain safe navigation channels; and to address the immediate and long-term resiliency of communities along Lake Ontario and the St. Lawrence River.

NOW, THEREFORE, I, ANDREW M. CUOMO, Governor of the State of New York, by virtue of the authority vested in me by the Constitution and the Laws of the State of New York, do hereby find that a disaster continues to exist for which affected state agencies and local governments are unable to respond adequately. Therefore, pursuant to the authority vested in me by the Constitution of the State of New York and Section 28 of Article 2-B of the Executive Law, I hereby continue the declaration of the State Disaster Emergency effective November 20, 2019, as set forth in Executive Order 198, within the territorial boundaries of the counties of Cayuga, Jefferson, Monroe, Niagara, Orleans, Oswego, St. Lawrence, and Wayne. The provisions set forth in Executive Order 198 and this Executive Order shall continue and remain in effect until February 26, 2021; and

FURTHER, pursuant to Section 29-a of Article 2-B of the Executive Law, I also hereby continue all of the temporary suspensions or modification of any statute, local law, ordinance, order, rule, or regulation, or parts thereof, of any agency during a State disaster emergency, if compliance with such statute, local law, ordinance, order, rule, or regulation would prevent, hinder, or delay action necessary to cope with the disaster emergency or if necessary to assist or aid in coping with such disaster, to include all suspensions and modifications, terms, conditions, directives, and temporary suspension of identified provisions from the date that such disaster emergency as was declared and identified in Executive Order No. 198, *et. seq.*, in their entirety until November 25, 2020.

(L.S.)

GIVEN under my hand and the Privy Seal of the State in the City of Albany this twenty-sixth day of October in the year two thousand twenty.

BY THE GOVERNOR

/S/ Andrew M. Cuomo

/s/ Melissa DeRosa

Secretary to the Governor

and Modification of Laws Relating to the Disaster Emergency.

WHEREAS, on March 7, 2020, I issued Executive Order Number 202, declaring a State disaster emergency for the entire State of New York; and

WHEREAS, both travel-related cases and community contact transmission of COVID-19 have been documented in New York State and are expected to continue:

NOW THEREFORE, I, Andrew M. Cuomo, Governor of the State of New York, by virtue of the authority vested in me by Section 29-a of Article 2-B of the Executive Law to temporarily suspend or modify any statute, local law, ordinance, order, rule, or regulation, or parts thereof, of any agency during a State disaster emergency, if compliance with such statute, local law, ordinance, order, rule, or regulation would prevent, hinder, or delay action necessary to cope with the disaster emergency or if necessary to assist or aid in coping with such disaster, or to provide any directive necessary to respond to the disaster, do hereby continue the suspensions and modifications of law, and any directives not superseded by a subsequent directive contained in Executive Orders 202.36, 202.37, 202.46, 202.47, 202.54, 202.58, and 202.59, as continued and contained in Executive Order 202.65 for another thirty days through November 19, 2020;

IN ADDITION, by virtue of the authority vested in me by Section 29-a of Article 2-B of the Executive Law to issue any directive during a disaster emergency necessary to cope with the disaster, I do hereby issue the following directive through November 19, 2020:

- The directive contained in Executive Order 202.64, which modified the directive in Executive Order in 202.28 that relates to eviction of any commercial tenant for nonpayment of rent or a foreclosure of any commercial mortgage for nonpayment of such mortgage is continued through January 1, 2021.
- The directive contained in Executive Order 202.3, as extended, that closed movie theatres, is hereby modified to provide that movie theatres shall be allowed to open effective October 23, 2020 at 25% capacity with up to 50 people maximum per screen, subject to adherence to Department of Health guidance, provided that movie theatres in the New York City region, in counties with infection rates above 2% over a 14-day average, and in counties with red cluster zones continue to be closed.

(L.S.)

GIVEN under my hand and the Privy Seal of the State in the City of Albany this twentieth day of October in the year two thousand twenty.

BY THE GOVERNOR

/S/ Andrew M. Cuomo

/s/ Melissa DeRosa

Secretary to the Governor

Executive Order No. 202.70: Continuing Temporary Suspension

FINANCIAL REPORTS



**Department of
Taxation and Finance**

Depositories for the Funds of the State of New York

Month End: October 31, 2020

Prepared by the Division of the Treasury
Investments, Cash Management and Accounting Operations

Michael R Schmidt
Commissioner

ACCOUNT DESCRIPTION	DEPOSITORY	BALANCE AS OF 10/31/2020
ACCOUNTS HELD IN JOINT CUSTODY BY THE COMMISSIONER OF TAXATION FINANCE AND THE NEW YORK STATE COMPTROLLER		
Unemployment Insurance Funding Account	Key Bank	10,166,077.62
Occupational Training Act Funding Account	Key Bank	144,075.00
Unemployment Insurance Exchange Account	Key Bank	0.00
PIT Special Refund Account	JPMorgan Chase Bank, N.A.	(266,723,152.50)
General Checking	Key Bank	(296,832,026.40)
Direct Deposit Account	Wells Fargo	61,081.54
TOTAL		(286,055,151.26)
01000 - EXECUTIVE CHAMBER		
Executive Chamber Advance Account	Key Bank	No report received
01010 - DIVISION OF BUDGET		
Advance Account	Bank of America, N.A.	5,000.00
01030 - DIVISION OF ALCOHOLIC BEVERAGE CONTROL		
Albany		
SLA Investigations Account	Key Bank	1,741.45
01050 - OFFICE OF GENERAL SERVICES		
Exec Mansion Official Function	Key Bank	No report received
NY ISO Account	Key Bank	No report received
OGS Binghamton Office Bldg	Key Bank	No report received
SNY Office of General Services	JPMorgan Chase Bank, N.A.	No report received
State of New York OGS Escrow II	Key Bank	No report received
State of New York OGS Petty Cash	Key Bank	No report received
State of New York OGS Escrow	Key Bank	No report received
State of NY Office Of General Services	Key Bank	No report received
01060 - DIVISION OF STATE POLICE		
CNET Confidential Account	Key Bank	0.00
Div Headquarters - Petty Cash	Key Bank	1,972.75
Key Advantage Account	Key Bank	92,503.68
Manhattan Office-confidential	JPMorgan Chase Bank, N.A.	500.00
NYS Police Special Account	Key Bank	2,615,328.99
NYSP CTIU Confidential Fund	Key Bank	3,750.00
SIU Confidential Fund Account	Key Bank	5,886.59
Special Fund	Key Bank	50,107.83
State Police Receipts Account	Bank of America, N.A.	180,892.46
Troop A Batavia - Petty Cash	Bank of America, N.A.	1,000.00
Troop A Batavia-Confidential	Bank of America, N.A.	3,250.00
Troop B Confidential	Key Bank	5,120.50
Troop B Petty Cash	Community Bank	1,000.00
Troop C Confidential Fund	NBT Bank	1,800.00
Troop C Petty Cash	NBT Bank	1,000.00
Troop D Oneida - Confidential	Alliance Bank	3,000.00
Troop D Oneida Petty Cash	Alliance Bank	1,000.00
Troop E Canandaigua Confidential	Canandaigua National Bank	2,000.00
Troop E Petty Cash	Canandaigua National Bank	1,000.00
Troop F Confidential	JPMorgan Chase Bank, N.A.	2,500.00
Troop F Petty Cash	JPMorgan Chase Bank, N.A.	1,000.00
Troop G Loudonville Conf	Bank of America, N.A.	7,450.00
Troop G Petty Cash	Bank of America, N.A.	1,000.00
Troop K Petty Cash	Bank of Millbrook	1,000.00
Troop K Poughkeepsie-Confidential	Bank of Millbrook	5,788.37
Troop L Confidential Fund	Bank of America, N.A.	7,500.00
01070 - DIVISION OF MILITARY & NAVAL AFFAIRS		
Advance For Travel	Key Bank	11,771.82
SNY Camp Smith Billeting Fund	JPMorgan Chase Bank, N.A.	6,334.80
01077 - OFFICE OF HOMELAND SECURITY		
Academy Of Fire Science	Chemung Canal Trust	No report received
01080 - DIVISION OF HOUSING & COMMUNITY RENEWAL		
Albany Office Of Financial Administration		
Maximum Base Rent Fee Account	JPMorgan Chase Bank, N.A.	19,274.53
Revenue Account	JPMorgan Chase Bank, N.A.	2,005,389.13
01090 - DIVISION OF HUMAN RIGHTS		
Petty Cash Fund Account	JPMorgan Chase Bank, N.A.	No report received
01150 - OFFICE OF EMPLOYEE RELATIONS		
GOER Panel Administration Escrow Account	Key Bank	7,630.07
NYS Flex Spending	Key Bank	768,525.84
State of New York LMC Petty Cash Account	Key Bank	1,940.00
01160 - JUSTICE CENTER FOR THE PROTECTION OF PEOPLE WITH SPECIAL NEEDS		
Agency Advance Account	Key Bank	1,968.43
01300 - ADIRONDACK PARK AGENCY		

General Fund	Community Bank	2.00
Petty Cash	Community Bank	3,011.15
01400 - CRIME VICTIMS COMPENSATION BOARD		
Crime Victims	JPMorgan Chase Bank, N.A.	149,680.00
Emergency Award Account	M&T Bank	0.00
Emergency Claims	Key Bank	16,440.00
Petty Cash Account	Key Bank	1,550.00
REST/SUBROG Escrow Account	Key Bank	389.66
01490 - DIVISION OF CRIMINAL JUSTICE SERVICES		
Advance Account	Bank of America, N.A.	2,683.50
FBI Fee Account	Bank of America, N.A.	3,351.96
Fingerprint Fee Account	Bank of America, N.A.	1,898,824.89
01530 - STATE COMMISSION OF CORRECTION		
Advance Account	Bank of America, N.A.	2,000.00
01540 - STATE BOARD OF ELECTIONS		
Revenue Account	Key Bank	No report received
01620 - OFFICE FOR PREVENTION OF DOMESTIC VIOLENCE		
NYS Prevention Domestic Violence	Bank of America, N.A.	No report received
02000 - OFFICE OF THE STATE COMPTROLLER		
Admissions	Bank of America, N.A.	2,602.11
Advance for Travel Account	Key Bank	No report received
Alcohol Beverage	Bank of America, N.A.	39,043.54
Alcoholic Bev Control License	Wells Fargo Bank	112,851.07
Alcoholic Beverage Control License	M&T Bank	0.00
Assessments Bulk	JPMorgan Chase Bank, N.A.	100,700.77
Assessments Receivable	JPMorgan Chase Bank, N.A.	2,413,312.58
Assessments Receivable (EFT)	Wells Fargo Bank	332,391.37
Boxing And Wrestling Tax	Bank of America, N.A.	64,522.09
Check 21 Corporation Tax	JPMorgan Chase Bank, N.A.	284,594.00
Check 21 Estate Tax	JPMorgan Chase Bank, N.A.	27,798,237.92
Check 21 Highway Use	JPMorgan Chase Bank, N.A.	400,485.15
Check 21 PIT	JPMorgan Chase Bank, N.A.	690,161.48
Check 21 Real Estate Transfer	JPMorgan Chase Bank, N.A.	288,169.21
Check Sales Tax	JPMorgan Chase Bank, N.A.	2,004,860.08
Cigarette Stamp Tax	JPMorgan Chase Bank, N.A.	0.00
Cigarette Stamp Tax (EFT)	Wells Fargo Bank	5,637,053.74
Cigarette Stamp Tax Split	JPMorgan Chase Bank, N.A.	290,409.67
Cigarette Tax Tobacco Products	Bank of America, N.A.	21,618.16
Congestion Surcharge	Wells Fargo Bank	105,234.21
Corporation Tax	Wells Fargo Bank	803.06
Corporation Tax - Coupon Acct.	JPMorgan Chase Bank, N.A.	121,714.64
Educational Chartable Account	Wells Fargo Bank	0.00
Employer Compensation Expense	Wells Fargo Bank	36,093.59
Encon Beverage Container Deposit/Bottle Bill (EFT)	Wells Fargo Bank	213,488.09
ERS Petty Cash Acct	Key Bank	No report received
Estimated Tax	JPMorgan Chase Bank, N.A.	7,867,463.88
Gift Tax	Bank of America, N.A.	0.00
Hazardous Waste	Key Bank	43,403.36
Highway Use - Permits & Reg.	Bank of America, N.A.	28,956.48
Highway Use Truck Mileage Tax (EFT)	Wells Fargo Bank	886,767.48
Hudson River-Black River	Bank of America, N.A.	407,980.17
Hudson River-Black River	Community Bank	14,869.29
Hut/Oscar Registrations & Renewals (EFT)	Wells Fargo Bank	56,943.00
IFTA Fuel Use Tax (EFT)	Wells Fargo Bank	66,743.03
IFTA/Oscar Renewals (EFT)	Wells Fargo Bank	0.00
IFTA-Decal/Permit Fee Acct.	Bank of America, N.A.	50,253.00
IFTA-Fuel Use	Bank of America, N.A.	24,028.84
Income Tax - LLC	JPMorgan Chase Bank, N.A.	94,406.50
Justice Court	Key Bank	601,299.43
Mac #847 NYS T&F Pari Mutuel	Key Bank	487.14
Mac #848 NYS T&F Off Track	Key Bank	2,135,446.62
MCTD Medallion Taxicab Trip	JPMorgan Chase Bank, N.A.	876.50
Medallion Taxicab Trip Tax (EFT)	Wells Fargo Bank	1,274.50
Medical Marijuana Tax Collections	Bank of America, N.A.	1,066.77
Metro Commuter Trans. Mobility	JPMorgan Chase Bank, N.A.	131,515.21
Ogdensburg Bridge & Port	Community Bank	237,005.56
Opioid Excise Tax	Wells Fargo Bank	53,834.12
Personal Income Tax	Wells Fargo Bank	19,057.00
Petroleum Business Tax (EFT)	Wells Fargo Bank	303,905.72
Petroleum Products Tax	Bank of America, N.A.	3,027,444.71
Petty Cash Account	Key Bank	No report received
PIT Bulk	JPMorgan Chase Bank, N.A.	355,766.00
Port Of Oswego	Key Bank	34,920.12
Promptax - MCTMT	Wells Fargo Bank	3,498,430.40

Promptax - Petroleum Business Tax	Wells Fargo Bank	473.44
Promptax - Sales Tax/Sales Tax Prepaid Fuel	Wells Fargo Bank	544.22
Promptax - Withholding	Wells Fargo Bank	2,238,367.65
Promptax - Withholding Checks	JPMorgan Chase Bank, N.A.	2,173.83
Real Estate Transfer Tax	Key Bank	714,905.19
Revenue Holding	First Niagara Bank	0.00
Sales Tax	JPMorgan Chase Bank, N.A.	0.00
Sales Tax	Wells Fargo Bank	1,120,464.14
SUNY Concentration	First Niagara Bank	0.00
TNC Assessment (EFT)	Wells Fargo Bank	1,791.44
Troy Debt Service Reserve Fund	Bank of America, N.A.	428,502.48
Uncashed Winning Tickets	Bank of America, N.A.	55,597.76
Unclaimed Funds	JPMorgan Chase Bank, N.A.	124,920.92
Unclaimed Funds - 2	JPMorgan Chase Bank, N.A.	0.00
Withholding	Wells Fargo Bank	986.91
Withholding Tax	JPMorgan Chase Bank, N.A.	15,392,775.06
Cash Advance Accounts		
Advance For Travel Account	Key Bank	No report received
ERS Petty Cash Acct	Key Bank	No report received
Petty Cash Account	Key Bank	No report received
Common Retirement Fund		
Common Retirement Fund - Depository	JPMorgan Chase Bank, N.A.	59,371.37
NYS Common Retirement Fund	JPMorgan Chase Bank, N.A.	511,010.52
Employees Retirement System		
Employees Retirement System - EFT	JPMorgan Chase Bank, N.A.	14.48
Employees Retirement System - General	JPMorgan Chase Bank, N.A.	0.00
Employees Retirement System - Pension	JPMorgan Chase Bank, N.A.	0.00
Group Term Life		
Group Term Life - General	JPMorgan Chase Bank, N.A.	0.00
Municipal Assistance Corporation Accounts		
City Of Troy - MAC	JPMorgan Chase Bank, N.A.	575,384.80
Police and Fire		
Retirement Police & Firemen's - EFT	JPMorgan Chase Bank, N.A.	0.78
Retirement Police & Firemen's - General	JPMorgan Chase Bank, N.A.	0.00
Retirement Police & Firemen's - Pension	JPMorgan Chase Bank, N.A.	0.00
03000 - DEPARTMENT OF LAW		
Albany Filing Fees Account	Key Bank	No report received
Albany Petty Cash	Bank of America, N.A.	No report received
Albany Revenue Account	Key Bank	No report received
Assessment Account	JPMorgan Chase Bank, N.A.	No report received
Attorney General Account	Key Bank	No report received
Civil Recoveries Account	Key Bank	No report received
Dept Of Law Controlled Disb	M&T Bank	No report received
Marie Roberts	JPMorgan Chase Bank, N.A.	No report received
NYC Filing Fees Account	JPMorgan Chase Bank, N.A.	No report received
NYC Petty Cash Account	JPMorgan Chase Bank, N.A.	No report received
NYC Revenue Account	JPMorgan Chase Bank, N.A.	No report received
Restitution Account	M&T Bank	No report received
Special Account	Key Bank	No report received
US Justice Dept - Shared Forfeiture	Key Bank	No report received
US Treas Dept - Shared Forfeiture	Key Bank	No report received
03010 - OFFICE OF THE ATTORNEY GENERAL		
OCTF - Confidential Fund Checking	JPMorgan Chase Bank, N.A.	No report received
OCTF - Confidential Fund Checking	JPMorgan Chase Bank, N.A.	No report received
03020 - MEDICAID FRAUD CONTROL		
dept atty gen vs john doe	Key Bank	10,974,201.17
National Global Settlement	JPMorgan Chase Bank, N.A.	12,297,980.66
NYS Department Of Law Confidential Account	JPMorgan Chase Bank, N.A.	40,000.00
NYS Department of Law Petty Cash Account	JPMorgan Chase Bank, N.A.	5,214.91
04020 - NYS ASSEMBLY		
Advance For Travel	Bank of America, N.A.	3,645.00
Petty Cash Account Dist Off	Bank of America, N.A.	21,148.70
Petty Cash New York City	JPMorgan Chase Bank, N.A.	722.56
Public Information Office	Key Bank	0.25
04030 - ASSEMBLY WAYS & MEANS COMMITTEE		
Advance For Travel	Key Bank	No report received
Petty Cash	Key Bank	No report received
04040 - LEGISLATIVE BILL DRAFTING COMMISSION		
NY LBDC - Legislative Computer Services Fund	Key Bank	18,625.44
NYS Leg Bill Drafting Comm-Petty Cash Acct	Key Bank	2,000.00
04250 - REAPPORTIONMENT		
NYS Taskforce On Demo Res & Reapp	JPMorgan Chase Bank, N.A.	125.00
05000 - OFFICE OF COURT ADMINISTRATION		
Attorney Registration Fees - Revenue	JPMorgan Chase Bank, N.A.	1,276,875.00

Criminal Records Search Acct - Revenue	JPMorgan Chase Bank, N.A.	7,024,375.00
Finger Print Account	JPMorgan Chase Bank, N.A.	4,150.00
05005 - OCA OFFICE OF BUDGET & FINANCE		
Petty Cash Account	Key Bank	2,500.00
05008 - LAWYERS FUND FOR CLIENT PROTECTION		
Client Security Fund - Bail	Key Bank	No report received
Lawyers Fund For Client Protection - Bail	Key Bank	No report received
Petty Cash	Key Bank	No report received
05071 - COURT OF APPEALS		
Chief Judge Advance	Key Bank	65.07
Clerk Of The Court Of Appeals	Key Bank	2,990.00
05072 - STATE BOARD OF LAW EXAMINERS		
State Board Of Law Examiners Fee	Key Bank	60,040.00
05081 - APPELLATE DIVISION - 1ST JUDICIAL DEPARTMENT		
1st Appellate Division Supreme Ct	JPMorgan Chase Bank, N.A.	45,180.00
05082 - APPELLATE DIVISION - 2ND JUDICIAL DEPARTMENT		
Appellate Div 2nd Dept Revenue	JPMorgan Chase Bank, N.A.	116,178.50
05083 - APPELLATE DIVISION - 3RD JUDICIAL DEPARTMENT		
Third Dept Civil Fees Acct - Revenue	Key Bank	20,919.00
05084 - APPELLATE DIVISION - 4TH JUDICIAL DEPARTMENT		
4th Dept Appellate Div Civil Fees - Revenue	JPMorgan Chase Bank, N.A.	12,233.75
05090 - COURT OF CLAIMS		
Court Of Claims Revenue Account	Key Bank	5,979.88
05111 - 10TH JUDICIAL DISTRICT NASSAU COUNTY ADMINISTRATION		
Glen Cove City Court		
Glen Cove City Court Bail	Wells Fargo Bank	3,000.61
Glen Cove City Court Revenue	Wells Fargo Bank	24,385.80
Long Beach City Court		
Long Beach City Court Revenue	Wells Fargo Bank	81,723.00
Long Beach Court Bail	Wells Fargo Bank	29,811.79
Nassau County Court		
Nassau County Assessment	Wells Fargo Bank	27,771.70
Nassau District Court - Criminal		
Nassau Dist Ct Criminal Revenue	Wells Fargo Bank	233,976.94
Nassau District Court-Civil		
Nassau County Dist Ct- Civil Revenue	Wells Fargo Bank	58,040.75
Nassau Surrogate		
Nassau County Surrogate Court-Revenue	Wells Fargo Bank	102,423.25
05112 - 10TH JUDICIAL DISTRICT SUFFOLK COUNTY ADMINISTRATION		
10th Judicial District Suffolk County Admin		
Suffolk County Court -Court Fund	People's United Bank	42,509.15
Suffolk County Surrogate		
Surrogate Court Of Suffolk County	People's United Bank	211,594.25
Suffolk District Court Civil Fees		
Suffolk County District Court Civil Fees	Citibank	325,663.01
Suffolk District Court Criminal Fines		
Suffolk County District Court Criminal Fines	Citibank	328,229.08
Suffolk District Court Trust Acct		
Suffolk County District Court Trust Account	Citibank	5,501.00
05210 - NYC-CIVIL COURT		
Bronx Civil Court - Civil		
Bronx Civil Court - Revenue	JPMorgan Chase Bank, N.A.	414,824.90
Harlem Community Justice Court		
Harlem Community Justice - Revenue Account	JPMorgan Chase Bank, N.A.	4,289.05
Kings Civil Court- Civil		
Kings Civil Court- Civil Revenue	JPMorgan Chase Bank, N.A.	769,484.65
New York Civil Court - Civil		
New York Civil Court Revenue Acct	JPMorgan Chase Bank, N.A.	1,206,118.65
Queens Civil Court - Civil		
Queens Civil - Revenue	JPMorgan Chase Bank, N.A.	381,851.20
Richmond Civil Court - Civil		
Richmond Civil Ct Revenue Acct	JPMorgan Chase Bank, N.A.	64,881.60
05215 - NYC-CRIMINAL COURT		
Bronx Criminal Court- Criminal Court		
Bronx Criminal Division- Criminal Bail	JPMorgan Chase Bank, N.A.	291,297.00
Bronx Criminal Court- Criminal Court		
Bronx Criminal Division- Criminal Revenue	JPMorgan Chase Bank, N.A.	13,777.00
Kings County Criminal Court		
Kings Criminal Court	Citibank	268,984.00
New York County Criminal Court		
New York Criminal Court	JPMorgan Chase Bank, N.A.	10,650.00
New York Criminal Court- State Funds	JPMorgan Chase Bank, N.A.	11,887.00
Queens County Criminal Court		
Queens Criminal Court	JPMorgan Chase Bank, N.A.	8,861.00

Queens Criminal Court - State Funds	JPMorgan Chase Bank, N.A.	11,284.00
Richmond County Criminal Court		
Richard Criminal Court-City Funds	JPMorgan Chase Bank, N.A.	74,980.00
Richmond Criminal Court-State Funds	JPMorgan Chase Bank, N.A.	11,622.00
05231 - SUPREME COURT - BRONX COUNTY		
Bronx County Supreme - NYS OCA	JPMorgan Chase Bank, N.A.	1,355.00
05235 - SUPREME COURT - KINGS COUNTY		
Kings Co Supreme		
Supreme Court Kings County-Revenue	JPMorgan Chase Bank, N.A.	4,514.10
05240 - SUPREME COURT - QUEENS COUNTY		
Queens Co Supreme		
Queens County Supreme Court	JPMorgan Chase Bank, N.A.	0.00
Queens County Supreme Court	JPMorgan Chase Bank, N.A.	14,770.00
05250 - NEW YORK COUNTY CLERK		
New York Co Clerk Revenue Account	JPMorgan Chase Bank, N.A.	3,818,796.75
05255 - BRONX COUNTY CLERK		
Bronx County Clerk		
Bronx Cnty Clerk Revenue Acct	JPMorgan Chase Bank, N.A.	1,418,326.61
05260 - KINGS COUNTY CLERK		
Kings County Clerk		
Kings County Clerk Revenue Account	Flushing Commercial Bank	2,546,388.54
05265 - QUEENS COUNTY CLERK		
Queens County Clerk-Revenue Acct	Sterling Bank	2,124,649.80
05270 - RICHMOND COUNTY CLERK		
Richmond Co Clerk State Fees Account	JPMorgan Chase Bank, N.A.	7,517,621.79
Richmond County Clerk DEC	JPMorgan Chase Bank, N.A.	78.93
05275 - NEW YORK COUNTY SURROGATES COURT		
New York Surrogate		
New York Surrogate Court	JPMorgan Chase Bank, N.A.	171,400.37
05280 - BRONX COUNTY SURROGATES COURT		
Bronx Surrogate		
Bronx Surrogate Court Revenue Acct	JPMorgan Chase Bank, N.A.	145,151.00
05285 - KINGS COUNTY SURROGATES COURT		
Kings County Surrogate		
Kings Co. Surrogate Revenue Acct	Bank of America, N.A.	350,194.00
05290 - QUEENS COUNTY SURROGATES COURT		
Queens surrogate		
Queens Co Revenue Acct Surrogate	Signature Bank	99,983.00
05295 - RICHMOND COUNTY SURROGATES COURT		
Richmond County Surrogate Court Revenue Account	Victory State Bank	38,150.00
05360 - 3RD JUDICIAL DISTRICT ADMINISTRATION		
Albany City Court - (Civil)		
Albany City Civil - Revenue	Wells Fargo Bank	10,541.50
Albany City Court - (Crim-Bail)		
Albany City Criminal - Bail	Wells Fargo Bank	11,147.14
Albany City Court - (Traffic)		
Albany City Traffic-Revenue	Wells Fargo Bank	68,145.00
Albany Traffic Court - Bail	Bank of America, N.A.	0.00
Albany City Court - Civil Part		
Albany City Court Civil - Revenue	Trustco Bank	0.00
Albany City Court - Crim		
Albany City Court-Crim	Wells Fargo Bank	10,213.00
Albany City Court - Traffic-Bail		
Albany City Traffic - Bail	Wells Fargo Bank	1,300.18
Albany County Surrogate		
Albany Cty Surrogates Court - Revenue	Bank of America, N.A.	25,080.50
Albany Police Court		
Albany Police Court Bail Account	Key Bank	0.00
Cohoes City Court		
Cohoes City Court Bail	Key Bank	1,751.80
Cohoes City Court Fees/Fines Account	Key Bank	10,228.90
Columbia County Surrogate		
Columbia Co Surrogate Ct Fees - Revenue	Key Bank	5,794.75
Greene Surrogate		
Greene Surrogate-Revenue	Wells Fargo Bank	1,194.00
Hudson City Court		
Hudson City Bail	Wells Fargo Bank	30,166.52
Hudson City Revenue	Wells Fargo Bank	5,379.00
Kingston City Court		
Kingston City Court Bail	Wells Fargo Bank	5,000.00
Kingston City Court Revenue	Wells Fargo Bank	24,600.23
Rensselaer City Court		
Rensselaer City Court - Bail	Wells Fargo Bank	101.01
Rensselaer City Court - Revenue	Wells Fargo Bank	4,066.00

Rensselaer City Court Bail Acct	Key Bank	194.00
Rensselaer County Surrogate		
Rensselaer Co Surrogate Ct Fees - Revenue	Key Bank	22,878.00
Schoharie County Surrogate		
Schoharie Co Surrogates Court - Revenue	Bank of America, N.A.	1,149.75
Sullivan Surrogate		
Sullivan Surrogate-Revenue	Wells Fargo Bank	5,583.25
Troy City Court		
Troy City Court- Revenue Acct - Revenue	Bank of America, N.A.	18,994.00
Troy Police Court Bail Account	Bank of America, N.A.	13,801.24
Ulster County Surrogate		
Ulster County Surrogate Court - Revenue	Key Bank	7,482.75
Watervliet City Court		
Watervliet City Court - Bail	Wells Fargo Bank	42,840.00
Watervliet City Court - Revenue	Wells Fargo Bank	30,527.78
05460 - 4TH JUDICIAL DISTRICT ADMINISTRATION		
Amsterdam City Court		
Amsterdam City Court - Bail	Key Bank	35,865.42
Amsterdam City Court - Revenue	Key Bank	25,615.83
Clinton County Surrogates		
Clinton County Surrogates - Revenue	Key Bank	802.25
Essex County Surrogate		
Essex Co Surrogate Clerk - Revenue	Champlain National	2,936.25
Franklin County Surrogate		
Franklin Co Surrogate Court - Revenue	Key Bank	428.75
Fulton County Surrogate		
Fulton County Surrogate's Court	Key Bank	937.00
Glens Falls City Court		
Glens Falls City Court Account - Revenue	Glens Falls National	16,186.65
Glens Falls City Court Bail Acct	Glens Falls National	19,810.85
Gloversville City Court		
Gloversville City Court Bail	NBT Bank	17,150.71
Gloversville City Court Revenue	NBT Bank	6,369.40
Hamilton Surrogate		
Hamilton Surrogate - Revenue	Community Bank	712.00
Johnstown City Court		
City Of Johnstown Bail Account - Bail	Key Bank	4,601.04
Johnstown City Court Fines/Fees - Revenue	Key Bank	6,972.00
Mechanicville City Court		
Mechanicville City Court Bail	TD Bank	4,150.22
Mechanicville City Ct Revenue Acct	TD Bank	4,160.00
Montgomery County Surrogate		
Montgomery County Surrogates Court - Revenue	NBT Bank	2,222.75
Ogdensburg City Court		
Ogdensburg City Court Int Bail	Community Bank	2,472.00
Ogdensburg City Court Revenue	Community Bank	3,834.00
Plattsburgh City Court		
Plattsburgh City Court - Bail	Glens Falls National	10,350.50
State Of NY Plattsburgh City Court - Revenue	Glens Falls National	8,484.89
Saratoga County Surrogate		
Saratoga County Surrogate - Revenue	Ballston Spa National Bank	6,299.50
Saratoga Springs City Court		
Saratoga Springs Bail Account	The Adirondack Trust Company	6,080.40
Saratoga Springs City Revenue Acct	The Adirondack Trust Company	19,172.40
Schenectady City Court		
Schenectady City Court- Bail	Bank of America, N.A.	47,151.19
Schenectady City Court Revenue	Bank of America, N.A.	23,030.00
Schenectady Surrogate		
Schenectady Surrogate Court - Revenue	Key Bank	11,034.50
St. Lawrence Co Surrogate		
St. Lawrence County Surrogate - Revenue	Community Bank	3,170.25
Warren County Surrogate		
Warren County Surrogate Court - Revenue	TD Bank	2,773.25
Washington Surrogates		
Washington Surrogate Revenue	TD Bank	1,335.50
05560 - 5TH JUDICIAL DISTRICT ADMINISTRATION		
Fulton City Court		
Fulton City Court Bail Acct	Key Bank	6,661.07
Fulton City Court Revenue	Key Bank	5,995.50
Herkimer Surrogate		
Herkimer Surrogate - Revenue	Partners Trust	2,149.00
Jefferson Surrogates		
Jefferson Co Surrogate Revenue	Key Bank	8,508.75
Lewis County		

Lewis County Clerk	Community Bank	2,004.46
Lewis County Surrogates		
Lewis County Surrogate Court - Revenue	Key Bank	705.75
Little Falls City Court		
Little Falls City Court Bail	Bank of America, N.A.	100.00
Little Falls City Court Revenue	M&T Bank	2,095.25
Oneida County Combined		
Oneida County Combined Court	Adirondack Bank	6,937.98
Oneida County Surrogates		
Oneida County Surrogate Court Revenue	The Adirondack Trust Company	20,780.50
Onondaga County Surrogates		
Onondaga Surrogate Court - Revenue	Alliance Bank	14,814.50
Oswego City Court		
Oswego City Court Bail Acct	JPMorgan Chase Bank, N.A.	10,279.20
Oswego City Court Revenue	JPMorgan Chase Bank, N.A.	20,842.53
Oswego Surrogate Court		
Oswego County Surrogate Court - Revenue	Key Bank	1,503.00
Rome City Court		
City Court Of Rome Bail Account - Bail	Bank of America, N.A.	950.00
Rome City Court - Revenue	Bank of America, N.A.	18,050.50
Sherrill City Court		
Sherrill City Court 5th Jud Dist - Bail	Alliance Bank	0.00
Sherrill City Court Fees - Revenue	Alliance Bank	1,038.00
Syracuse City Court		
Syracuse City Court - Bail	Alliance Bank	226,024.01
Syracuse City Court - Fees - Revenue	Alliance Bank	43,883.85
Utica City Court		
Utica City Court Criminal Bail	Bank of Utica	47,251.60
Utica City Court Revenue Account	Key Bank	37,755.19
Watertown City Court		
Watertown City Court Bail	Key Bank	76,571.35
Watertown City Court Fees & Fines - Revenue	Key Bank	12,583.70
05661 - 6TH JUDICIAL DISTRICT ADMINISTRATION		
Binghamton City Court		
Binghamton City Court Bail	M&T Bank	52,291.00
Binghamton City Court Revenue	M&T Bank	20,942.35
Broome Surrogates		
SNY UCS Broome County Surrogates Court	Wells Fargo Bank	5,285.00
Chemung County Surrogates		
SNY UCS Chemung County Surrogates Court	Wells Fargo Bank	3,256.25
Chenango County Surrogates		
SNY UCS Chenango County Surrogates Court	Wells Fargo Bank	1,318.00
Cortland City Court		
Court City Court Bail	NBT Bank	15,415.25
Court City Court- Revenue	NBT Bank	4,623.25
Cortland County Surrogates		
SNY UCS Cortland County Surrogates Court	Wells Fargo Bank	532.75
Delaware County Surrogates		
Delaware County Surrogate - Revenue	Delaware National Bank	No report received
Elmira City Court		
Elmira City Court - Revenue Account	Chemung Canal Trust	11,811.50
Elmira City Court Bail	Chemung Canal Trust	26,132.44
Ithaca City Court		
Ithaca City Court	Tompkins County Trust	9,739.00
Ithaca City Court Revenue	Tompkins County Trust	3,983.00
Madison County Surrogates		
SNY UCS Madison County Surrogates Court	Wells Fargo Bank	3,754.00
Norwich City Court		
Norwich City Court Bail Acct	NBT Bank	7,201.00
Norwich City Court Revenue Acct	NBT Bank	2,283.42
Oneida City Court		
Oneida City Court Bail Account	JPMorgan Chase Bank, N.A.	5,500.00
Oneida City Court Fee & Fine - Revenue	JPMorgan Chase Bank, N.A.	15,737.00
Oneonta City Court		
Oneonta City Court - Revenue	Community Bank	4,802.00
Oneonta City Court Bail Account	Community Bank	8,750.00
Otsego County Surrogates		
Otsego County Surrogates Court - Revenue	Key Bank	3,118.75
Schuyler County Surrogates		
Schuyler County Surrogates Court	Community Bank	627.00
Tioga County Surrogates		
Tioga Surrogates Court - Revenue	M&T Bank	1,562.25
Tompkins County Surrogates		
SNY UCS Tompkins County Surrogates Court	Wells Fargo Bank	6,477.25

05761 - 7TH JUDICIAL DISTRICT ADMINISTRATION

Auburn City Court		
Auburn City Court Bail Acct	Key Bank	21,284.55
Auburn City Court Fees & Fines - Revenue	Key Bank	12,487.35
Canandaigua City Court		
Canandaigua City Court Bail Acct	Canandaigua National Bank	19,802.55
Canandaigua City Court Revenue	Canandaigua National Bank	11,898.00
Cayuga County Surrogates		
Cayuga Surrogate Court	Wells Fargo Bank	4,016.00
Corning City Court		
Corning City Court - Bail	Wells Fargo Bank	0.00
Corning City Court - Revenue	Wells Fargo Bank	0.00
Geneva City Court		
Geneva City Court Bail Account	Wells Fargo Bank	19,528.78
Geneva City Court Revenue Account	Wells Fargo Bank	9,462.50
Hornell City Court		
Hornell City Court Bail Account	Community Bank	8,915.03
Hornell City Court Revenue	Community Bank	2,314.00
Livingston County Surrogates		
Livingston Surrogate Court	Wells Fargo Bank	3,464.00
Monroe County Surrogates		
7th District Monroe Surrogate	Wells Fargo Bank	35,769.00
Ontario County Surrogates		
Ontario Surrogate Court	Wells Fargo Bank	2,237.25
Rochester City Court		
Rochester City Court Bail Account	M&T Bank	436,397.50
Rochester City Revenue	M&T Bank	27,973.80
Seneca County Surrogates		
Seneca Surrogate Court	Wells Fargo Bank	1.00
Steuben County Surrogates		
7th District Steuben Surrogate	Wells Fargo Bank	6,943.75
Wayne County Surrogates		
Wayne Surrogate Court	Wells Fargo Bank	2,797.75
Yates County Surrogates		
Yates Surrogate Court	Wells Fargo Bank	1,486.00

05860 - 8TH JUDICIAL DISTRICT ADMINISTRATION

ALLEGANY COUNTY SURROGATES COURT		
ST of NY Office of The State Comptroller State of New York Unified Courts Allegany Surrogate Court	Wells Fargo Bank	No report received
Batavia City Court		
ST of NY Office of The State Comptroller Batavia City Court Bail	Wells Fargo Bank	No report received
ST of NY Office of The State Comptroller Batavia City Court REVENUE	Wells Fargo Bank	No report received
BUFFALO CITY COURT		
ST of NY Office of The State Comptroller Buffalo City Court Bail Account	Wells Fargo Bank	No report received
ST of NY OFFICE OF THE STATE COMPTROLLER BUFFALO CITY COURT REVENUE ACCOUNT	Wells Fargo Bank	No report received
Cattaraugus County Surrogates		
ST of NY Office of the State Comptroller State of New York Unified Courts Cattaraugus Surrogate Court	Wells Fargo Bank	No report received
Chautauqua County Surrogates Court		
ST of NY Office of the State Comptroller State of New York Unified Courts Chautauqua Surrogate Court	Wells Fargo Bank	No report received
Dunkirk City Court		
ST of NY Office of The State Comptroller Dunkirk Bail Account	Wells Fargo Bank	No report received
ST of NY Office of The State Comptroller Dunkirk Revenue Account	Wells Fargo Bank	No report received
Erie - Buffalo County Law Library		
Sur Ct Lib At Buffalo - Revenue	M&T Bank	No report received
Erie County Surrogates		
ST of NY Office of the State Comptroller State of New York Unified Courts Erie Surrogate Court	Wells Fargo Bank	No report received
Genesee County Surrogates		
ST of NY Office of the State Comptroller State of New York Unified Courts Genesee Surrogate Court	Wells Fargo Bank	No report received
Jamestown City Court		
State of New York Office of Court Administration Jamestown City Court Bail	Key Bank	No report received
State of New York Office of Court Administration Jamestown City Court Revenue	Key Bank	No report received
Lackawanna City Court		
Lackawanna City Court Bail Account	Key Bank	No report received
Lackawanna City Court Revenue Account	Key Bank	No report received
Lockport City Court		
Lockport City - Bail	Key Bank	No report received
Lockport City HESC EFT Account - Revenue	Key Bank	No report received
Niagara City Court		
Niagara Falls Bail Bond Account	M&T Bank	No report received
Niagara County Surrogates		
ST of NY Office of the State Comptroller State of New York Unified Courts Niagara Surrogate Court	Wells Fargo Bank	No report received
Niagara Falls City Court		
ST of NY OFFICE OF THE STATE COMPTROLLER NIAGARA FALLS BAIL ACCOUNT	Wells Fargo Bank	No report received
ST of NY OFFICE OF THE STATE COMPTROLLER NIAGARA FALLS REVENUE ACCOUNT	Wells Fargo Bank	No report received
No. Tonawanda City Court		

N. Tonawanda City Court Revenue	M&T Bank	No report received
ST of NY Office of The State Comptroller No. Tonawanda City Court Bail	Wells Fargo Bank	No report received
ST of NY Office of The State Comptroller No. Tonawanda Revenue	Wells Fargo Bank	No report received
Olean City Court		
ST of NY Office of The State Comptroller Olean City Court Bail	Wells Fargo Bank	No report received
ST of NY Office of The State Comptroller Olean City Court Revenue	Wells Fargo Bank	No report received
Orleans County Surrogates		
ST of NY Office of The State Comptroller State of New York Unified Courts Orleans Surrogate Court	Wells Fargo Bank	No report received
Salamanca City Court		
Salamanca City Court Bail	Community Bank	No report received
Salamanca City Court City Judge - Revenue	Community Bank	No report received
Tonawanda City Court		
ST of NY Office of The State Comptroller Tonawanda City Court Bail	Wells Fargo Bank	No report received
ST of NY Office of The State Comptroller Tonawanda City Court Revenue	Wells Fargo Bank	No report received
Tonawanda City Court Bail	M&T Bank	No report received
Wyoming County Surrogates		
ST of NY Office of The State Comptroller State of New York Unified Courts Wyoming Surrogate Court	Wells Fargo Bank	No report received
05960 - 9TH JUDICIAL DISTRICT ADMINISTRATION		
Beacon City Court		
Beacon City Court Bail Account - Bail	JPMorgan Chase Bank, N.A.	No report received
Beacon City Fines Account - Revenue	JPMorgan Chase Bank, N.A.	No report received
Dutchess County Surrogates Court		
Dutchess County Surrogate Court - Revenue	JPMorgan Chase Bank, N.A.	No report received
Middletown City Court		
Middletown City Bail Escrow - Bail	Wells Fargo Bank	No report received
Middletown City Court Revenue	JPMorgan Chase Bank, N.A.	No report received
Middletown City Court Revenue	Wells Fargo Bank	No report received
Mt Vernon City Court		
Mt Vernon City Court State Bail	Wells Fargo Bank	No report received
Mt Vernon City Court State Revenue	Wells Fargo Bank	No report received
New Rochelle City Court		
New Rochelle City Court Bail	JPMorgan Chase Bank, N.A.	No report received
New Rochelle City Court Revenue	JPMorgan Chase Bank, N.A.	No report received
Newburgh City Court		
Newburgh Bail Account	Wells Fargo Bank	No report received
Newburgh City Court Revenue	Wells Fargo Bank	No report received
Orange County Surrogates Court		
Orange Co Surrogates Court - Revenue	JPMorgan Chase Bank, N.A.	No report received
Peekskill City Court		
Peekskill City Court Revenue	JPMorgan Chase Bank, N.A.	No report received
Peekskill City Court		
Peekskill City Court - Bail	JPMorgan Chase Bank, N.A.	No report received
Port Jervis City Court		
Port Jervis Bail Account - Bail	JPMorgan Chase Bank, N.A.	No report received
Port Jervis Revenue Account - Revenue	JPMorgan Chase Bank, N.A.	No report received
Poughkeepsie		
Poughkeepsie City Court -Bail	Wells Fargo Bank	No report received
Poughkeepsie City Court -Revenue	Wells Fargo Bank	No report received
Putnam Co Surrogate's Court		
Putnam Co Surrogates Court	Putnam County National Bank	No report received
Rockland County Surrogates Court		
Rockland Co Surrogates Court - Revenue	JPMorgan Chase Bank, N.A.	No report received
Rye City Court		
City Of Rye Bail Account	JPMorgan Chase Bank, N.A.	No report received
City Of Rye Fines And Fees - Revenue	JPMorgan Chase Bank, N.A.	No report received
Westchester County Surrogates Court		
Westchester Co Surrogates Fees - Revenue	Wells Fargo Bank	No report received
White Plains City Court		
White Plains City Court Bail Account	Sterling Bank	No report received
White Plains City Court Bail Account	Wells Fargo Bank	No report received
White Plains City Court Vehicle And Traffic Acct - Revenue	Sterling Bank	No report received
White Plains City Court Vehicle And Traffic Acct - Revenue	Wells Fargo Bank	No report received
Yonkers City Court		
Yonkers City Bail Account - Bail	Wells Fargo Bank	No report received
Yonkers City Revenue Account - Revenue	Wells Fargo Bank	No report received
06000 - AGRICULTURE & MARKETS		
Administration Account	Key Bank	45,570.63
Agency Advance Account	Key Bank	10,000.00
Agriculture Producers Sec Fund	Key Bank	20,162.68
Animal Population Control Account	Key Bank	49,267.17
Apple Marketing Order Fund	Key Bank	0.00
Consumer Food Industry Account	Key Bank	26,243.80
Dairy Industry Services Account	Key Bank	42,035.80
Dairy Promotion Order Fund	Key Bank	0.00

Milk Producers Security Fund	Key Bank	18,177.28
NYS Farmers Market Program	Key Bank	432,692.24
NYS WNY Milk Mktg Area Administration Fund	M&T Bank	65.20
NYS WNY Milk Mktg Area Equalization Fund	M&T Bank	10,014.79
NYS WNY Milk Mktg Area Equalization Fund Savings	M&T Bank	319.35
Onion Marketing Order	Key Bank	0.00
Plants Industry Account	Key Bank	57,739.16
Pride of NY	Key Bank	6,266.98
Sour Cherry Marketing Fund	Key Bank	0.00
Weights & Measures Account	Key Bank	9,718.18
NYS Dept Agriculture & Markets		
Apple Marketing Order Fund	Key Bank	0.00
Dairy Promotion Order Fund	Key Bank	0.00
Farm Products	Key Bank	39,006.57
State Fair		
NYS Fair Operating Account	Solvay Bank	10,104.46
NYS Fair Special Account	Solvay Bank	226.20
08000 - DEPARTMENT OF CIVIL SERVICE		
Agency Advance Account	Bank of America, N.A.	3,000.00
Examination Application Fees Account	Bank of America, N.A.	978.00
Examination Application Fees Account	Key Bank	922.00
NYS Affirmative Action Advisory Account	Bank of America, N.A.	5,141.90
NYS Department of Civil Service	US Bank	11,096,726.54
08010 - PUBLIC EMPLOYEE RELATIONS BOARD		
Petty Cash And Travel Advance Account	Key Bank	1,591.45
09000 - DEPARTMENT OF ENVIRONMENTAL CONSERVATION		
Albany		
Bayville Feasibility Study	JPMorgan Chase Bank, N.A.	494,031.07
Conservation Petty Cash Account	M&T Bank	8,931.00
DEC/Exchange Account	M&T Bank	14,580.53
ENCON License Issuing Office	M&T Bank	342.22
ENCON/Montauk Point Feasibility Study	JPMorgan Chase Bank, N.A.	7,205.26
ENCON/South Shore Of Staten Island	JPMorgan Chase Bank, N.A.	22,920.46
Hunting Trapping & Fishing Account	M&T Bank	22,455.54
Lake Montauk Harbor	JPMorgan Chase Bank, N.A.	156,242.91
Lockbox Account	Wells Fargo Bank	747,009.66
Mattituck Inlet	JPMorgan Chase Bank, N.A.	1,329.12
NY Conservationist	Bank of America, N.A.	29,144.66
Program Fee	JPMorgan Chase Bank, N.A.	29,108.41
Revenue Account	Bank of America, N.A.	607,994.64
Rockaway Beach Study & Project	JPMorgan Chase Bank, N.A.	1,265,750.29
State of New York	Key Bank	506,138.52
Region 1		
Marine Permit Account	People's United Bank	8,044.70
Region 3		
Beaverkill & Mongaup Pond	Jeff Bank	498.00
Revenue Region 3 Account	Bank of America, N.A.	2,110.00
Region 4		
Bear Spring Revenue Account	Wayne Bank	200.00
Region 4	Greene County Commercial Bank	6,400.00
Region 4 Camping	NBT Bank	0.00
Region 5		
Campsite Revenue Account	Glens Falls National	24,135.40
Land & Forest Region 5W	TD Bank	324,892.71
NYS Conservation	Glens Falls National	8,889.59
Recreation (Warrensburg)	City National Bank & Trust	55,417.41
Region 5	Citizens Bank	89,926.05
Region 5	NBT Bank	110,148.05
Tree Nursery	Bank of America, N.A.	130.00
Region 6		
Fish & Wildlife Watertown	Key Bank	126.92
Lands & Forest District #7	Community Bank	242.00
Lands & Forests District #6	Community Bank	25.00
Lands And Forests District 10	M&T Bank	21.20
SNY Dept Of Environmental Conserv	Community Bank	14.04
10000 - ATTICA CORRECTIONAL FACILITY		
Agency Advance Account	Five Star Bank	1,626.00
CD Spendable	Five Star Bank	220,000.00
Employee Benefit Fund	Five Star Bank	10,194.82
General Cash Fund	Five Star Bank	0.00
Inmate Occupational Therapy Fund	Five Star Bank	61,339.53
Inmate Savings Account	Five Star Bank	255,164.80
Spendable Fund	Five Star Bank	322,561.43
10010 - AUBURN CORRECTIONAL FACILITY		

Advance Account	Key Bank	3,731.59
Certificate of Deposit	Bank of America, N.A.	15,000.00
Certificate of Deposit	Bank of America, N.A.	90,000.00
Inmate Occupational Therapy Fund	Key Bank	16,104.32
Inmate Spendable Account	Key Bank	313,021.02
Inmate Spendable Savings CD	Key Bank	102,649.46
Misc Revenue	Key Bank	57,165.51
10020 - CLINTON CORRECTIONAL FACILITY		
Advance Account	Key Bank	13,025.13
Employee Benefit Fund	Key Bank	12,014.78
General Fund	Key Bank	1,328.69
Inmate Funds	Key Bank	170,082.59
Inmate Funds Money Market Account	Key Bank	819,419.70
Inmate Occupational Therapy Acct	Key Bank	68,592.61
10030 - WATERTOWN CORRECTIONAL FACILITY		
Agency Advance Account	Key Bank	2,400.00
Inmate Occupational Therapy Fund	Key Bank	15,019.94
Inmate Spendable Funds	Key Bank	29,369.41
Inmate Spendable Savings Account	Key Bank	60,673.73
Miscellaneous Receipts	Key Bank	12,373.66
10040 - GREAT MEADOW CORRECTIONAL FACILITY		
Certificate of Deposit	Glens Falls National	150,000.00
Certificate of Deposit	Glens Falls National	25,000.00
Certificate of Deposit	Glens Falls National	100,000.00
Facility Advance	Key Bank	6,250.72
General Fund	Key Bank	0.00
Inmate Fund	Key Bank	216,669.48
Inmate Fund Savings Account	Glens Falls National	52,500.00
Miscellaneous Account	Key Bank	10,330.98
Occupational Therapy	Key Bank	46,469.00
10050 - FISHKILL CORRECTIONAL FACILITY		
Agency Advance	M&T Bank	No report received
Employee Benefits	M&T Bank	No report received
Inmate Spending Account	M&T Bank	No report received
Inmates Account	M&T Bank	No report received
Inmates Benefit	M&T Bank	No report received
Misc Receipts	M&T Bank	No report received
Occupational Therapy Account	M&T Bank	No report received
10060 - WALLKILL CORRECTIONAL FACILITY		
Advance Account	Key Bank	1,382.00
Employee Benefit	Key Bank	1,994.57
Inmate Occupational Therapy Fund	Key Bank	30,304.22
Inmate Savings Account	Key Bank	88,189.82
Inmates Fund Account	Key Bank	91,355.49
Misc. Receipts	Key Bank	15,717.03
10070 - SING SING CORRECTIONAL FACILITY		
Cash Advance	JPMorgan Chase Bank, N.A.	No report received
Inmate Funds	JPMorgan Chase Bank, N.A.	No report received
Inmate Interest Funds	JPMorgan Chase Bank, N.A.	No report received
Misc Receipts	JPMorgan Chase Bank, N.A.	No report received
Occupational Therapy	JPMorgan Chase Bank, N.A.	No report received
Quality Work Life	JPMorgan Chase Bank, N.A.	No report received
10080 - GREEN HAVEN CORRECTIONAL FACILITY		
Advance Account	Key Bank	No report received
General Fund	Key Bank	No report received
Inmates Money Market	Key Bank	No report received
Inmates Now Checking	Key Bank	No report received
Occupational Therapy Fund	Key Bank	No report received
10090 - ALBION CORRECTIONAL FACILITY		
Albion Advance Account	Bank of America, N.A.	No report received
Employee Benefit Fund	Bank of America, N.A.	No report received
Inmate Funds	Bank of America, N.A.	No report received
Inmate Funds Savings	Bank of America, N.A.	No report received
Misc Receipts	Bank of America, N.A.	No report received
Occupational Therapy	Bank of America, N.A.	No report received
10100 - EASTERN NEW YORK CORRECTIONAL FACILITY		
Agency Advance Account	Sterling Bank	2,016.64
Employee Benefit Fund	Sterling Bank	16,425.52
ID Now 3 Month CD	M&T Bank	50,102.55
Inmate Deposit Now Account	Sterling Bank	453,930.83
Inmate Occupational Therapy Account	Sterling Bank	33,261.31
Misc. Receipts	Sterling Bank	7,248.12
10110 - ELMIRA CORRECTIONAL & RECEPTION CENTER		
Agency Advance Account	Chemung Canal Trust	No report received

Employee Benefit Fund	Chemung Canal Trust	No report received
Inmate CD Account	M&T Bank	No report received
Inmates Fund	Chemung Canal Trust	No report received
Miscellaneous Receipts	Chemung Canal Trust	No report received
Occupational Therapy Fund	Chemung Canal Trust	No report received
10120 - BEDFORD HILLS CORRECTIONAL FACILITY		
Advance Account	JPMorgan Chase Bank, N.A.	5,110.00
Employee Benefit Fund	JPMorgan Chase Bank, N.A.	8,276.09
Inmate Funds	JPMorgan Chase Bank, N.A.	97,982.88
Inmate Funds CD	JPMorgan Chase Bank, N.A.	90,000.00
Inmate Funds Money Market	JPMorgan Chase Bank, N.A.	23,268.43
Misc. Receipts	JPMorgan Chase Bank, N.A.	1,224.90
Occupational Therapy	JPMorgan Chase Bank, N.A.	64,849.58
10130 - COXSACKIE CORRECTIONAL FACILITY		
Agency Advance Account	National Bank of Cocksackie	2,222.13
Employee Benefits Fund	National Bank of Cocksackie	28,309.77
Inmates Fund	National Bank of Cocksackie	96,706.01
Inmates Fund Savings Acct	National Bank of Cocksackie	186,104.17
Misc. Revenue	National Bank of Cocksackie	2,959.50
Money Market Acct	National Bank of Cocksackie	No report received
Occupational Therapy Acct	National Bank of Cocksackie	60,460.39
10140 - WOODBOURNE CORRECTIONAL FACILITY		
CD - Inmate Funds	First National Bank of Jeffersonville	50,000.00
CD - Inmate Funds	First National Bank of Jeffersonville	190,000.00
WCF Agency Advance	Jeff Bank	1,470.00
WCF General Fund	Jeff Bank	17,547.98
WCF Inmate Fund	Jeff Bank	154,654.26
WCF Occupational Therapy Fund	Jeff Bank	40,169.98
10160 - DEPARTMENT OF CORRECTIONS AND COMMUNITY SUPERVISION		
Agency Advance Account	Key Bank	24,962.76
Employee Benefit Fund	Key Bank	18,183.60
Inmate Escrow Account	Key Bank	460,623.34
Misc. Receipts Account	Key Bank	35,610.12
Special Account	Key Bank	1,300.00
10170 - QUEENSBORO CORRECTIONAL FACILITY		
Agency Advance Account	JPMorgan Chase Bank, N.A.	3,450.75
Employee Benefit Fund	JPMorgan Chase Bank, N.A.	7,919.39
Inmate Funds Account	JPMorgan Chase Bank, N.A.	158,164.69
Miscellaneous Receipts Account	JPMorgan Chase Bank, N.A.	573.04
Occupational Therapy Fund	JPMorgan Chase Bank, N.A.	1,887.93
Savings Account	JPMorgan Chase Bank, N.A.	15,646.94
10230 - ADIRONDACK CORRECTIONAL FACILITY		
ADK QWL	Community Bank	7,430.67
Agency Advance	Community Bank	790.00
Diversity Management	Community Bank	493.07
Employee Benefit Fund	Community Bank	3,980.65
General Fund	Community Bank	273.25
Inmate Funds	Community Bank	37,315.87
Inmate Occupational Therapy Fund	Community Bank	1,496.90
10240 - DOWNSTATE CORRECTIONAL FACILITY		
Agency Advance	JPMorgan Chase Bank, N.A.	1,272.62
Employee Recreational Funds	JPMorgan Chase Bank, N.A.	15,852.10
Inmate Fund	JPMorgan Chase Bank, N.A.	426,667.53
Inmates Funds Savings	JPMorgan Chase Bank, N.A.	80,465.31
Misc. Receipts	JPMorgan Chase Bank, N.A.	19,288.90
Occupational Therapy	JPMorgan Chase Bank, N.A.	70,372.13
10250 - TACONIC CORRECTIONAL FACILITY		
Employee Benefit Fund	JPMorgan Chase Bank, N.A.	1,700.95
Inmate Funds	JPMorgan Chase Bank, N.A.	61,241.07
Misc. Revenue	JPMorgan Chase Bank, N.A.	929.48
Money Market	JPMorgan Chase Bank, N.A.	30,988.68
Occupational Therapy Fund	JPMorgan Chase Bank, N.A.	16,697.46
Taconic Advance Account	JPMorgan Chase Bank, N.A.	3,068.00
10270 - HUDSON CORRECTIONAL FACILITY		
Advance Account	Key Bank	4,205.48
Employee Benefit Fund Account	Key Bank	5,226.06
Inmate Funds Account	Key Bank	43,634.47
Inmate Key Advantage Account	Key Bank	50,358.78
Inmate Occupational Therapy Account	Key Bank	7,378.44
Miscellaneous Receipts Account	Key Bank	3,053.38
10290 - OTISVILLE CORRECTIONAL FACILITY		
Cash Advance	Jeff Bank	687.76
General Fund	Jeff Bank	2,731.77
Inmate Funds	Jeff Bank	218,347.08

Inmate Occupational Therapy	Jeff Bank	39,682.58
Inmate Savings	Jeff Bank	51,365.47
Inmate Savings CD	Hometown Bank	78,515.76
10300 - ROCHESTER CORRECTIONAL FACILITY		
Consolidated Advance Account	M&T Bank	1,540.00
Employee Recreation Fund	M&T Bank	1,243.42
Inmate Deposit Account	M&T Bank	84,247.08
Misc Fees	M&T Bank	668.51
Work Release Advance Account	M&T Bank	10,047.69
10320 - EDGEcombe CORRECTIONAL FACILITY		
Agency Advance	JPMorgan Chase Bank, N.A.	1,182.00
Employee Benefit Account	JPMorgan Chase Bank, N.A.	3,229.85
Inmate Cash Account	JPMorgan Chase Bank, N.A.	28,987.38
Misc. Receipts Account	JPMorgan Chase Bank, N.A.	0.00
Occupational Therapy Acct	JPMorgan Chase Bank, N.A.	1,582.19
Work Release Account	JPMorgan Chase Bank, N.A.	No report received
10350 - OGDENSBURG CORRECTIONAL FACILITY		
Agency Advance Account	Community Bank	3,000.00
EBF	Community Bank	7,258.35
Inmate Fund	Community Bank	62,344.48
Inmate Savings	Community Bank	45,241.01
Misc. Receipts	Community Bank	24.86
Occupational Therapy	Community Bank	12,466.21
10370 - FIVE POINTS CORRECTIONAL FACILITY		
Consolidated Advance	Five Star Bank	No report received
EBF Checking	Five Star Bank	No report received
EBF Savings	Five Star Bank	No report received
Inmate Savings	Five Star Bank	No report received
Inmate Spendable	Five Star Bank	No report received
Misc Receipts	Five Star Bank	No report received
Occupational Therapy	Five Star Bank	No report received
10390 - MOHAWK CORRECTIONAL FACILITY		
Agency Advance	Key Bank	2,658.75
Employee Benefit Fund Checking	Bank of America, N.A.	30,416.65
Inmate Funds Checking	Key Bank	187,501.75
Inmate Funds Savings	Key Bank	314,483.24
Miscellaneous Revenue	Key Bank	707.44
Occupational Therapy	Key Bank	24,381.43
10430 - WENDE CORRECTIONAL FACILITY		
Consolidated Advance	Alden State Bank	3,748.45
Employee Benefit Account	Alden State Bank	19,709.09
Inmate Savings	Alden State Bank	197,243.21
Inmates Funds	Alden State Bank	180,644.71
Misc. Receipts	Alden State Bank	14,521.01
Occupational Therapy	Alden State Bank	38,401.64
10441 - DEPARTMENT OF CORRECTIONAL SERVICES-FOOD PRODUCTION CENTER		
Office of Nutritional Services		
Miscellaneous Receipts	Key Bank	3,175.70
10450 - GOWANDA CORRECTIONAL FACILITY		
Advance Account	Community Bank	2,118.92
Employee Benefit Fund	Community Bank	16,089.26
Inmate Funds	Community Bank	187,882.85
Inmate Funds Savings	Community Bank	259,782.77
Miscellaneous Revenue	Community Bank	8,125.88
Occupational Therapy	Community Bank	19,465.11
10460 - GROVELAND CORRECTIONAL FACILITY		
Agency Advance Account	Five Star Bank	1,996.89
Employee Commission Account	Five Star Bank	13,879.35
Inmate Funds Account	Five Star Bank	127,772.61
Inmate Funds Account - Savings	Five Star Bank	108,059.90
Miscellaneous Receipts Account	Five Star Bank	1,690.60
Occupational Therapy Account	Five Star Bank	12,700.71
10470 - COLLINS CORRECTIONAL FACILITY		
Agency Advance	Community Bank	4,545.00
Employee Activities	Community Bank	41,509.61
Inmate Fund Checking	Community Bank	230,500.45
Inmate Savings	Community Bank	130,558.62
Miscellaneous Revenue	Community Bank	7,995.01
Occupational Therapy	Community Bank	11,985.00
10480 - MID-STATE CORRECTIONAL FACILITY		
Agency Advance	Key Bank	1,180.42
Employee Benefit Fund	Bank of America, N.A.	35,684.94
Inmate Funds	Key Bank	320,879.37
Inmate Savings	Key Bank	198,753.12

Misc. Revenue	Key Bank	970.85
Occupational Therapy	Key Bank	40,062.83
10490 - MARCY CORRECTIONAL FACILITY		
Agency Advance Account	Key Bank	3,853.80
Employee Benefit Fund Account	Bank of America, N.A.	15,716.91
Inmate Fund Account	Key Bank	148,634.64
Misc receipts Acct	Key Bank	250.00
NYS DOCS Marcy CORR Facility	Key Bank	206,815.60
Occupational Therapy fund Acct	Key Bank	40,500.45
10500 - NYC CENTRAL ADMINISTRATION		
Agency Advance Acct	JPMorgan Chase Bank, N.A.	0.00
Misc Receipts	JPMorgan Chase Bank, N.A.	0.00
10501 - CENTRAL PHARMACY		
NYS Docs Central Pharmacy Advance Acct	Bank of America, N.A.	1,000.00
10510 - MORIAH SHOCK INCARCERATION CORRECTIONAL FACILITY		
Moriah Shock Incarceration Advance Acct	Glens Falls National	No report received
Moriah Shock Incarceration Employee Benefit Fund	Glens Falls National	No report received
Moriah Shock Incarceration Occ Therapy	Glens Falls National	No report received
Moriah Shock Misc Receipts	Glens Falls National	No report received
NYS Moriah Shock Incarceration Inmate Checking	Glens Falls National	No report received
10530 - FRANKLIN CORRECTIONAL FACILITY		
Advance Account	Key Bank	3,900.58
Employee Benefit Account	Key Bank	16,767.41
Inmate Funds	Key Bank	131,699.54
Inmate Occupational Therapy	Key Bank	13,352.41
Inmate Savings	Key Bank	217,720.10
Misc. Receipts	Key Bank	2,087.10
10540 - ALTONA CORRECTIONAL FACILITY		
Cons Adv Travel Petty Cash	NBT Bank	3,615.15
Employees Vending Benefit	NBT Bank	9,591.45
Inmates Funds	NBT Bank	94,390.17
Misc Revenues General Fund	NBT Bank	153.20
Occupational Therapy	NBT Bank	4,678.00
10550 - CAYUGA CORRECTIONAL FACILITY		
Agency Advance	First National Bank of Groton	1,639.58
Cert Of Deposit	First National Bank of Groton	87,426.07
Employee Benefit Fund	First National Bank of Groton	5,333.08
Inmate Occupational Therapy Account	First National Bank of Groton	30,463.41
Inmate Spendable	First National Bank of Groton	215,603.93
Misc Receipts	First National Bank of Groton	2,140.90
10560 - BARE HILL CORRECTIONAL FACILITY		
Agency Advance	Key Bank	1,882.00
Employee Benefit Fund	Key Bank	7,928.38
Inmate Spendable Funds	Key Bank	101,297.72
Key Public Money Market Checking	Key Bank	259,009.92
Miscellaneous Receipts	Key Bank	1,207.60
Occupational Therapy	Key Bank	15,135.48
10570 - RIVERVIEW CORRECTIONAL FACILITY		
Agency Advance Account	Key Bank	1,345.62
Inmate Accounts	Key Bank	56,830.15
Inmate Savings Account	Key Bank	105,645.70
Miscellaneous Receipts Account	Key Bank	0.00
Miscellaneous Receipts Account	Key Bank	8,617.99
Occupational Therapy	Key Bank	12,115.55
10580 - CAPE VINCENT CORRECTIONAL FACILITY		
Advance Account	Community Bank	1,900.00
Employee Benefit Fund	Community Bank	42,452.02
Inmate Occupation Therapy Acct	Community Bank	31,863.44
Inmate Savings	Community Bank	121,742.62
Inmate Spendable Account	Community Bank	95,151.00
Miscellaneous Receipts Account	Community Bank	4,248.05
10600 - LAKEVIEW SHOCK INCARCERATION CORRECTIONAL FACILITY		
Agency Advance	Community Bank	3,700.00
Employee Benefit Fund	Community Bank	15,444.51
Inmate Funds	Community Bank	49,003.33
Inmate Funds - Sav	Community Bank	84,662.80
Miscellaneous Revenue	Community Bank	2,259.46
Occupational Therapy	Community Bank	198.10
10610 - ULSTER CORRECTIONAL FACILITY		
Agency Advance	Bank of America, N.A.	0.00
Agency Advance	M&T Bank	1,062.23
Employee Benefit Fund	Bank of America, N.A.	0.00
Employee Benefit Fund	M&T Bank	6,491.66
Inmate Fund	Bank of America, N.A.	0.00

Inmate Fund	M&T Bank	120,558.81
Inmate Funds Savings	Bank of America, N.A.	0.00
Inmate Funds Savings	M&T Bank	12,305.69
Misc Receipts	M&T Bank	68.12
Misc. Receipts	Bank of America, N.A.	0.00
Occupational Therapy	Bank of America, N.A.	0.00
Occupational Therapy	M&T Bank	2,921.82
10630 - SOUTHPORT CORRECTIONAL FACILITY		
Advance Account	Chemung Canal Trust	432.11
Employee Benefit Fund	Chemung Canal Trust	14,530.38
Inmate Funds	Chemung Canal Trust	121,755.38
Inmate Funds Account	Chemung Canal Trust	59,014.02
Misc. Receipts Account	Chemung Canal Trust	129.95
Occupational Therapy Account	Chemung Canal Trust	26,456.00
10640 - ORLEANS CORRECTIONAL FACILITY		
Agency Advance	Bank of America, N.A.	2,250.00
Employee Benefit Fund	Bank of America, N.A.	9,329.18
Inmate Funds	Bank of America, N.A.	73,232.10
Inmate Savings	Bank of America, N.A.	108,252.99
Miscellaneous Receipts	Bank of America, N.A.	14,713.23
Occupational Therapy	Bank of America, N.A.	16,323.24
10650 - WASHINGTON CORRECTIONAL FACILITY		
Advance Account	Key Bank	2,410.90
EBF Account	Key Bank	8,033.33
General Account	Key Bank	No report received
Inmate Account	Key Bank	132,220.70
Inmate Funds Account Certificate Of Deposit	Glens Falls National	79,109.64
Inmate Savings Account	Key Bank	14,369.38
Occupational Therapy Account	Key Bank	14,409.02
10660 - WYOMING CORRECTIONAL FACILITY		
Agency Advance	Five Star Bank	6,300.00
Employee Benefit Fund	Five Star Bank	11,860.06
Inmate Occupational Therapy	Five Star Bank	32,434.87
Inmate Savings - Certificate of Deposit	Five Star Bank	25,000.00
Inmate Savings - Certificate of Deposit	Five Star Bank	25,000.00
Inmate Savings - Certificate of Deposit	Five Star Bank	25,000.00
Inmate Savings Account	Five Star Bank	52,006.93
Inmate Spendable	Five Star Bank	130,603.99
Misc. Receipts Account	Five Star Bank	6,781.90
10670 - GREENE CORRECTIONAL FACILITY		
Consolidated Advance	National Bank of Coxsackie	1,957.35
Employee Benefit Fund	National Bank of Coxsackie	20,736.61
Inmate Accounts	National Bank of Coxsackie	137,173.83
Inmate Savings	National Bank of Coxsackie	248,805.75
Misc. Receipts	National Bank of Coxsackie	0.00
Occupational Therapy	National Bank of Coxsackie	58,358.56
10680 - SHAWANGUNK CORRECTIONAL FACILITY		
Consolidated Advance Account	Key Bank	1,300.00
Inmate Funds	Key Bank	150,385.29
Inmates Funds Account	Key Bank	70,554.42
Misc. Receipts Account	Key Bank	4,760.00
Occupational Therapy Acct	Key Bank	28,512.31
10690 - SULLIVAN CORRECTIONAL FACILITY		
Consolidated Advance	Key Bank	2,217.00
Inmate Checking	Key Bank	120,125.92
Inmate Savings	Key Bank	100,501.02
Miscellaneous	Key Bank	8,901.37
Occupational Therapy	Key Bank	30,482.04
10810 - GOUVERNEUR CORRECTIONAL FACILITY		
Agency Advance	Community Bank	1,210.18
Inmate Occupational Therapy	Community Bank	20,202.75
Inmate Savings	Community Bank	174,999.46
Inmate Spendable Fund	Community Bank	91,710.72
Misc Receipts	Community Bank	14,884.42
10820 - WILLARD DRUG TREATMENT CENTER		
Consolidated Advance	Community Bank	1,000.00
Employee Benefit Fund	Community Bank	13,682.92
Inmate Funds	Community Bank	74,730.65
Inmate Occupational Therapy	Community Bank	10,890.32
Misc Receipts	Community Bank	46.93
10840 - UPSTATE CORRECTIONAL FACILITY-AUDIT 1		
Advance Account	Key Bank	1,900.00
Facility Committees	Key Bank	19,211.44
Inmate Fund	Key Bank	135,791.56

Inmate Occupational Therapy Fund	Key Bank	5,908.57
Miscellaneous Account	Key Bank	402.00
10850 - HALE CREEK ASACTC		
Consolidated Advance	Key Bank	675.00
Employee Benefit Fund	Bank of America, N.A.	7,822.28
Inmate Funds	Key Bank	129,139.69
Inmate Interest Bearing Account	Key Bank	15,000.13
Misc Receipts	Key Bank	80.00
Occupational Therapy	Key Bank	28,404.34
10890 - CORRECTIONS AND COMMUNITY SUPERVISION		
Asset Forfeiture Special Rev Acct	Bank of America, N.A.	307,462.76
Parole Supervision Fee	Wells Fargo Bank	37,755.34
10916 - CENTRAL OFFICE - INDUSTRIES		
Div of Ind Petty Cash Acct	Key Bank	57,820.82
Div of Ind Revenue Acct	Key Bank	588,518.97
11000 - EDUCATION DEPARTMENT		
Consolidated Advance Account	Key Bank	No report received
Consolidated Advance Account (Control Disbursement)	Key Bank	No report received
Revenue Account	Key Bank	No report received
11100 - NYS HIGHER EDUCATION SERVICES CORPORATION		
NYS HESC-Federal Student Loan Suspense	Key Bank	2,703,761.11
Operating	Key Bank	2,342,016.99
Retail Lockbox	US Bank	150,430.00
TAP	Key Bank	17,436.56
Wholesale Lockbox	US Bank	1,645,590.11
11260 - BATAVIA SCHOOL FOR THE BLIND		
Misc. Receipts	M&T Bank	716.29
Petty Cash	M&T Bank	3,835.66
Student Spending Account	Bank of America, N.A.	8,498.23
11270 - ROME SCHOOL FOR THE DEAF		
Miscellaneous Receipts	NBT Bank	12,407.18
Petty Cash	NBT Bank	2,000.00
Student Activity Fund	NBT Bank	15,187.80
11280 - ARCHIVES PARTNERSHIP TRUST		
Endowment	Janney Montgomery Scott LLC	4,853,172.03
Endowment - Special Account	Janney Montgomery Scott LLC	No report received
Trust's Board Project Account	Key Bank	228,082.25
12000 - DEPARTMENT OF HEALTH CENTRAL ADMINISTRATION		
CSA Rebate Account	Bank of America, N.A.	4,712.37
DOH EPIC Lockbox Acct	Wells Fargo Bank	7,418.34
DOH Main Cash Advance	Key Bank	18,107.60
Early Intervention - Municipal Deposits for Provider Pymts	Key Bank	0.00
Early Intervention - Provider Payments Escrow	Key Bank	497,430.72
Early Intervention - State Funds	Key Bank	15,489.68
EPIC Co Pay Account	Bank of America, N.A.	0.00
Epic Drug Manufacturer Rebate Account	Bank of America, N.A.	1,471.60
EPIC EFT Acct	Bank of America, N.A.	0.00
EPIC Master Funding Acct	Bank of America, N.A.	375,968.12
EPIC Provider Receipt Account	Bank of America, N.A.	0.00
EPIC Refund Acct	Bank of America, N.A.	0.00
eVIC	Wells Fargo Bank	30,100.16
General Account	M&T Bank	39,191.00
ICR Audit Fees Account	Bank of America, N.A.	28,496.08
Indian Health Disbursement Account	Bank of America, N.A.	0.00
Indian Health-Master Acct	Bank of America, N.A.	9,813.14
Medicaid	Key Bank	109,331.41
Medicaid Audit Recoveries Acct	Key Bank	0.00
Medicaid Insurance Recoveries Acct	Bank of America, N.A.	742,158.34
Nurses Aide Fees (Prometric)	Bank of America, N.A.	22,390.37
Nursing Home Fees Account	Bank of America, N.A.	64,310.99
NYS DOH CLEP Revenue	Key Bank	435,982.69
OBRA Drug Rebate Program Acct	Bank of America, N.A.	100,976.44
SPARCS	Key Bank	43,686.26
12010 - ROSWELL PARK MEMORIAL INSTITUTE		
Office Of Patient Accounts	M&T Bank	2,462,570.85
12030 - HELEN HAYES HOSPITAL		
Misc. Receipts	JPMorgan Chase Bank, N.A.	152,456.05
Petty Cash Account	JPMorgan Chase Bank, N.A.	11,731.59
Rental Deposit Acct	JPMorgan Chase Bank, N.A.	5,811.43
12120 - NYS VETERANS HOME-OXFORD		
Agency Advance	NBT Bank	11,379.69
Exchange Account	NBT Bank	29,843.95
Maintenance Fund	NBT Bank	93,306.53
NYS Veterans Home-Oxford (Resident Account, Custodial Account)	NBT Bank	313,057.57

Resident Custodial Account	NBT Bank	1,204.18
Resident Custodial Account	NBT Bank	2,148.02
Resident Custodial Account	NBT Bank	119.83
Resident Custodial Account	NBT Bank	4,990.03
Resident Custodial Account	NBT Bank	3,788.74
Resident Custodial Account	NBT Bank	48,183.15
Resident Custodial Account	NBT Bank	1,135.63
Resident Custodial Account	NBT Bank	10,190.75
Resident Custodial Account	NBT Bank	5,072.89
Resident Custodial Account	NBT Bank	3,210.98
Resident Custodial Account	NBT Bank	9,701.73
12150 - NYS VETERANS HOME-ST ALBANS		
NYC Veteran Home Agency Advance	JPMorgan Chase Bank, N.A.	32,584.91
St Albans NYC Vet Home Resid Funds	JPMorgan Chase Bank, N.A.	842,664.45
St Albans Vet Home Maintenance Acct	NBT Bank	76,396.34
12180 - WESTERN NEW YORK VETERANS HOME		
Advance Account	Bank of America, N.A.	4,879.30
Exchange Account	Bank of America, N.A.	5,445.69
Maintenance Account	NBT Bank	77,872.96
Resident Funds	Bank of America, N.A.	57,475.19
12190 - VETERANS HOME AT MONTROSE		
Agency Advance Account	Bank of America, N.A.	6,177.32
Maintenance Acct	NBT Bank	56,950.37
Residence Account	Bank of America, N.A.	580,685.77
12200 - OFFICE OF MEDICAID INSPECTOR GENERAL		
Albany Confidential Account	Key Bank	229.59
Albany Petty Cash Account	Key Bank	442.00
NYC Confidential Account	JPMorgan Chase Bank, N.A.	166.00
14000 - DEPARTMENT OF LABOR		
Agency Advance Account	Key Bank	27,560.00
Exchange Account	Bank of America, N.A.	2,994.35
Fee And Permit Account	Key Bank	539,564.98
Min Wage & Claim Funding Acct	Key Bank	125,537.23
Minimum Wage & Wage Claim Acct	Key Bank	1,009,344.10
Misc Receipts	Bank of America, N.A.	172,894.74
U.I. Fund Clearing Account	JPMorgan Chase Bank, N.A.	11,719,435.38
UI Fund ACH Transactions	Wells Fargo Bank	1,000,000.00
14010 - WORKERS COMPENSATION BOARD		
DTF/WCB MAC 14	JPMorgan Chase Bank, N.A.	227,658,345.71
16000 - PUBLIC SERVICE COMMISSION		
Cable Account	Key Bank	10,659.96
Petty Cash Account	Key Bank	4,005.00
Special Fee Account	Key Bank	10,443.38
17000 - NYS DEPARTMENT OF TRANSPORTATION		
Contractors Bid And Guarantee	Key Bank	17,924.83
Driver Improvement Program (DIP)	Key Bank	17,064.31
Main Office Advance For Travel	Key Bank	52,901.30
PARTNERS DOT -HOOCs	Key Bank	3,878,328.75
Revenue Unit	Key Bank	178,658.91
Republic Airport, Long Island		
Republic Airport Revenue Acct	JPMorgan Chase Bank, N.A.	77,429.12
19000 - DEPARTMENT OF STATE		
Atheltic	M&T Bank	5,320.00
Licensing Revenue Account	JPMorgan Chase Bank, N.A.	595,593.26
Main	M&T Bank	61,622.63
Petty Cash Account	Key Bank	18,670.52
Summons	M&T Bank	153,327.00
19001 - TUG HILL COMMISSION		
Agency Advance Account	Key Bank	No report received
19002 - LAKE GEORGE PARK COMMISSION		
Petty Cash Account	Glens Falls National	No report received
Revenue Transfer Account	Glens Falls National	No report received
19005 - COMMISSION ON PUBLIC INTEGRITY		
JCOPE Petty Cash Account	Bank of America, N.A.	500.00
JCOPE Revenue Account	Bank of America, N.A.	7,045.07
20000 - DEPARTMENT OF TAXATION & FINANCE		
IFTA Funding	JPMorgan Chase Bank, N.A.	334.75
Misc Tax Account - Exchange	Bank of America, N.A.	224,078.96
Off Track Betting Tax (MAC 848)	Key Bank	2,135,446.62
Pari Mutuel Betting Tax (MAC 847)	Key Bank	487.14
Petty Cash	Bank of America, N.A.	14,850.00
Tax Preparer Registration Fee (EFT)	Wells Fargo Bank	30,600.00
Waste Tire Fee (EFT)	Wells Fargo Bank	5,767.48
Waste Tire Tax	JPMorgan Chase Bank, N.A.	45,988.07

20050 - NEW YORK STATE GAMING COMMISSION

Charitable Gaming Account
 Commercial Gaming Revenue Account
 Custody Account
 Fingerprint Concentration Account
 License Revenue Account
 Lottery Concentration Account
 Lottery Prize Payment Account
 Lottery Subscriptions Account
 Petty Cash Account
 Racing Refund Account
 Video Gaming Revenue Account

Key Bank 129,273.76
 Key Bank 22,049.69
 US Bank 12,687.96
 Key Bank 17,375.86
 Bank of America, N.A. 28,569.06
 Key Bank 169.00
 Key Bank 0.00
 Key Bank 720,535.90
 Key Bank 863.67
 Key Bank 470,609.63
 Key Bank 10,201,631.85

21012 - WELFARE INSPECTOR GENERAL

Confidential Fund
 Confidential Fund
 Petty Cash

Bank of America, N.A. 15,000.00
 JPMorgan Chase Bank, N.A. No report received
 JPMorgan Chase Bank, N.A. No report received

21110 - OFFICE OF REGULATORY REFORM

Petty Cash

Key Bank No report received

21190 - NYS ENERGY RESEARCH & DEVELOPMENT AUTHORITY

NYSERDA Greenbank MAC 26
 NYSERDA Main Checking MAC 30

JPMorgan Chase Bank, N.A. No report received
 JPMorgan Chase Bank, N.A. (5,699,875.56)

21290 - HUDSON RIVER-BLACK RIVER REGULATING DISTRICT

Checking- General Fund Acct.
 Checking- Petty Cash Fund
 Hudson River General Acct
 Money Market
 Petty Cash Fund

Community Bank 10,505.63
 Community Bank 5,000.00
 Bank of America, N.A. 219,361.56
 Bank of America, N.A. 0.30
 Bank of America, N.A. 6,500.00

21700 - OFFICE OF THE STATE INSPECTOR GENERAL

Office Of The State Inspector General Pass Thru Account
 OSIG Petty Cash Account

Key Bank 56,204.23
 Key Bank 1,474.13

Albany

Office of the Inspector General Confidential

Bank of America, N.A. 27,700.00

21820 - STATE COMMISSION ON JUDICIAL CONDUCT

Petty Cash Account
 Petty Cash Account
 Petty Cash Fund

JPMorgan Chase Bank, N.A. 608.82
 Key Bank 902.00
 JPMorgan Chase Bank, N.A. 1,604.60

21940 - NYS FINANCIAL CONTROL BOARD

Agency Advance Acct

JPMorgan Chase Bank, N.A. No report received

23000 - DEPARTMENT OF MOTOR VEHICLES

Albany Central Main Acct
 Albany Central Main Acct
 Albany Central Main Exchange
 Exchange
 Albany Central Office
 Title Escrow Exchange (Albany Central Office)
 Albany TVB Sub (Albany Central Office)
 Adjudication Account
 Administrative Adj
 Albany-Region 3
 Confidential Inv Subpoena - Albany
 Allegany-Belmont
 County Clerk Fee Allegany
 Andirondack Mountains
 County Fee Account
 Buffalo-Region 5
 MV- Buffalo Investigator & Subpoena
 Capital Saratoga Revenue
 County Fee Account
 Catskill Mountains
 County Fee Account
 Central Leatherstocking
 County Fee Account
 Chautauqua-Steuben
 County Fee Acct
 Chautauqua County
 Holding Acct-Chautauqua County
 Holding Acct-Chautauqua County
 Holding Acct-Chautauqua County
 Concentration (CTY)(OSC)
 Concentration (CTY)(OSC)
 Concentration (DO)(OSC)
 Concentration (DO)(OSC)
 Confidential Fund (Albany Central Office)
 Confidential Fund

Wells Fargo Bank 787,838.82
 Wells Fargo Bank 25,560.19
 Wells Fargo Bank 9,871.46
 Wells Fargo Bank 22,598.86
 M&T Bank No report received
 Key Bank 1,500.00
 Steuben Trust Co. 6,096.24
 JPMorgan Chase Bank, N.A. 86,601.26
 M&T Bank 1,500.00
 JPMorgan Chase Bank, N.A. 227,494.55
 JPMorgan Chase Bank, N.A. 164,667.79
 JPMorgan Chase Bank, N.A. 79,813.88
 JPMorgan Chase Bank, N.A. 78,205.99
 Community Bank 241,357.82
 Key Bank 218,492.68
 M&T Bank 210,559.52
 Key Bank 0.00
 Key Bank 453,759.25
 Bank of America, N.A. 8,612.00

CTY Credit Card (Albany Central Office)	JPMorgan Chase Bank, N.A.	1,072,207.14
County Office Credit Card Account		
Customer Service Counter (Albany)		
NYS DMV CSC	Wells Fargo Bank	50,000.00
D.O. Credit Card (Albany Central Office)		
District Office Credit Card Account	JPMorgan Chase Bank, N.A.	4,066,227.21
DMV Division Of Field Investigations - Albany Central Office		
Field Investigation	M&T Bank	No report received
Downstate		
Revenue Account - Downstate	Wells Fargo Bank	419,039.88
Eric County Revenue		
County Fee Account	JPMorgan Chase Bank, N.A.	50,000.00
Finger Lakes First		
County Fee Acct	JPMorgan Chase Bank, N.A.	67,941.44
Finger Lakes Second		
County Fee Acct	JPMorgan Chase Bank, N.A.	92,152.80
Genesee County		
Genesee County Clerk - DMV	Bank of Castile	No report received
Greene County		
Fee Account - Greene	Greene County Commercial Bank	No report received
Hudson Valley		
County Fee Acct	JPMorgan Chase Bank, N.A.	56,683.91
IRP (Albany Central Office)		
International Registration	M&T Bank	1,125,255.96
International Registration	Wells Fargo Bank	575,270.64
IRP Exchange (Albany Central Office)		
Irp Internet Office - Dept. MV	M&T Bank	191,420.97
Kiosk		
Kiosk Account	JPMorgan Chase Bank, N.A.	71,389.50
Long Island/Staten Island DO		
Long Island/Staten Island DO	Wells Fargo Bank	882,771.10
Long Island/Staten Island JP		
Long Island/Staten Island (Mass/Med)	JPMorgan Chase Bank, N.A.	50,000.00
Nassau Region 1		
Div. of Vehicle Safety	Citibank	No report received
Niagara Frontier		
County Fee Acct	JPMorgan Chase Bank, N.A.	96,958.01
Oneida County		
DMV Oneida County Fee Account	Bank of Utica	No report received
Oneida County Fee Account	NBT Bank	No report received
Petty Cash (Albany Central Office)		
Petty Cash	Bank of America, N.A.	11,478.47
Queens-Region 6		
Confidential - Queens	JPMorgan Chase Bank, N.A.	4,500.00
Rockland/Westchester DO		
Rockland/Westchester DO	Wells Fargo Bank	62,967.50
Search Exchange (Albany Central Office)		
MV Search	Key Bank	187,769.61
Search Exchange (Albany Central Office)		
MV Search	Wells Fargo Bank	38,853.39
Syracuse-Region 4		
Confidential - Syracuse	Key Bank	1,500.00
Thousand Island Seaway		
County Fee Acct	JPMorgan Chase Bank, N.A.	217,575.46
TLC/DOCCS		
TLC/DOCCS	JPMorgan Chase Bank, N.A.	6,101.54
Travel Advance (Albany Central Office)		
Travel Advance	Bank of America, N.A.	1,000.00
TVB Acct		
TVB Acct	Wells Fargo Bank	129,875.42
TVB Credit Card (Albany Central Office)		
TVB Credit Card Receipts	JPMorgan Chase Bank, N.A.	533,650.67
Upstate DO		
Upstate District Offices (ALB, SYD, SYS, UTD)	Wells Fargo Bank	39,470.08
Utica D.O.		
Exchange Account	Bank of Utica	No report received
Revenue Utica	Bank of Utica	No report received
Yonkers-Region 2		
Safety Sup Automotive FAC INSP	JPMorgan Chase Bank, N.A.	No report received
25000 - OFFICE OF CHILDREN & FAMILY SERVICES		
Brentwood Resid Center Cash Advance	JPMorgan Chase Bank, N.A.	950.00
Brentwood Residents' Account	JPMorgan Chase Bank, N.A.	293.56
Brookwood Cash Advance	Key Bank	1,702.58
Brookwood Resid Residential Cash	Key Bank	9,408.99

Check Exchange	Bank of America, N.A.	64.95
CO Independent Living Acct	Bank of America, N.A.	710.00
Co. Training Employment Dev (Youth Stipend)	Bank of America, N.A.	25,000.00
Columbia Girls Secure Center-Advance Acct	Key Bank	384.93
Columbia Girls Secure Center-Youth Savings	Key Bank	873.36
Finger Lakes Res Ctr Residents Cash	Tompkins County Trust	7,666.35
Fingerlakes Res Ctr Cash Advance	Tompkins County Trust	3,300.00
Goshen Cash Advance	Bank of America, N.A.	2,600.00
Goshen Residents Account	Bank of America, N.A.	36,457.88
Harriet Tubman Advance Account	Key Bank	500.00
Harriet Tubman Residents' Account	Key Bank	152.57
Highland Res Ctr Petty Cash Account	Bank of America, N.A.	2,710.67
Highland Res Ctr Residents Acct	Bank of America, N.A.	1,237.37
Home Office Care & Maintenance Account	Bank of America, N.A.	0.00
Industry Advance Account	JPMorgan Chase Bank, N.A.	2,099.89
Industry Res Account	JPMorgan Chase Bank, N.A.	6,406.06
Industry School Dug-Out	JPMorgan Chase Bank, N.A.	0.00
MacCormick Cash Advance	Tompkins County Trust	2,425.64
MacCormick Residents' Account	Tompkins County Trust	11,468.42
Medicaid Reimbursement Exchange	Bank of America, N.A.	40,190.15
NYS OCFS Advance Acct (Travel & Misc P.C.)	Bank of America, N.A.	15,464.00
NYS OCFS Salary Advance Account	Bank of America, N.A.	13,721.83
Queens-Long Island Aftercare	JPMorgan Chase Bank, N.A.	0.00
Red Hook Res Ctr Resident Cash	Key Bank	327.17
Red Hook Resid Ctr Cash Advance	Key Bank	300.00
SCR Credit Card Revenue Account	Bank of America, N.A.	51,450.00
State Central Register	Bank of America, N.A.	87,442.60
Taberg Cash Advance	NBT Bank	758.85
Taberg Residents Account	NBT Bank	789.00
Youth Leadership Academy	NBT Bank	0.00
Youth Leadership Cash Advance	NBT Bank	705.00
27000 - OFFICE OF TEMPORARY & DISABILITY ASSISTANCE		
Exchange Account	Key Bank	20.70
Title IV D Of Social Security	Key Bank	1,109,412.69
Travel Advance	Key Bank	10,001.14
28010 - SUNY ALBANY		
Fee Account	Key Bank	1,093,577.13
Loan Services Center Account	Key Bank	165,020.32
Petty Cash/Travel Advance	Key Bank	0.00
28020 - SUNY BINGHAMTON		
SUNY Binghamton	M&T Bank	378,314.52
SUNY Binghamton - Controlled Disb	M&T Bank	No report received
28030 - SUNY BUFFALO		
Controlled Disbursement Account	Bank of America, N.A.	0.00
General Revenue Account	Bank of America, N.A.	0.00
General Revenue Account	Key Bank	1,209,986.08
Imprest Account	Key Bank	0.00
28050 - SUNY STONY BROOK		
Central Funding	JPMorgan Chase Bank, N.A.	4,100,416.92
Controlled Disbursement	JPMorgan Chase Bank, N.A.	0.00
Fees Depository	JPMorgan Chase Bank, N.A.	1,856,814.33
LISVH Fees Depository	JPMorgan Chase Bank, N.A.	120,179.94
LISVH Fees Depository	Sterling Bank	194,495.01
LISVH Residence Fund	Sterling Bank	415,250.25
Payroll Advance	JPMorgan Chase Bank, N.A.	3,512.23
SBU Student Refunds Cont Disb Acct	JPMorgan Chase Bank, N.A.	0.00
Student ACH Refunds Account	JPMorgan Chase Bank, N.A.	0.00
SUNY Eastern Long Island Hospital Depository	JPMorgan Chase Bank, N.A.	441,726.30
SUNY Southampton Depository	JPMorgan Chase Bank, N.A.	431,335.53
University Hosp Fees Depository	JPMorgan Chase Bank, N.A.	3,851,175.52
University Hospital Petty Cash	JPMorgan Chase Bank, N.A.	1,909.35
28100 - SUNY HEALTH SCIENCE CENTER AT BROOKLYN		
Center Revenue	JPMorgan Chase Bank, N.A.	262,941.75
EFT Federal Deposits Acct	JPMorgan Chase Bank, N.A.	0.00
Hospital Controlled Disbursement	JPMorgan Chase Bank, N.A.	0.00
Hospital Revenue	JPMorgan Chase Bank, N.A.	1,285,173.82
LICH Controlled Disbursement	JPMorgan Chase Bank, N.A.	0.00
LICH Depository	JPMorgan Chase Bank, N.A.	53,511.88
Petty Cash	JPMorgan Chase Bank, N.A.	0.00
Student Refunds	JPMorgan Chase Bank, N.A.	0.00
28110 - SUNY HEALTH SCIENCE CENTER AT SYRACUSE		
College Revenue	Key Bank	2,249,652.15
Controlled Disbursement	Key Bank	0.00
Hospital Revenue	Key Bank	4,640,261.80

Parking	Key Bank	223,508.71
28150 - SUNY BROCKPORT		
Brockport-REOC Account	Key Bank	1,244.04
Concentration Acct	M&T Bank	50,577.83
Controlled Disb	M&T Bank	0.00
28160 - SUNY BUFFALO STATE COLLEGE		
Controlled Disb	M&T Bank	No report received
Dept Public Safety	M&T Bank	No report received
Special Grant Account	M&T Bank	3,423.14
Students Acct Office	M&T Bank	609,417.28
28170 - SUNY CORTLAND		
General Checking Account	Key Bank	444,546.27
28180 - SUNY FREDONIA		
Controlled Disb	M&T Bank	No report received
Depository Account	M&T Bank	No report received
28190 - SUNY GENESEO		
Controlled Disbursement Account	Key Bank	0.00
State Fees	Key Bank	114,351.46
28200 - SUNY OLD WESTBURY		
Local Depository	JPMorgan Chase Bank, N.A.	94,915.18
28210 - SUNY NEW PALTZ		
Disbursement Account	Key Bank	0.00
State Revenue	Key Bank	100,959.25
28220 - SUNY ONEONTA		
Petty Cash Advance Account	NBT Bank	0.00
Revenue Account	NBT Bank	2,943,494.68
28230 - SUNY OSWEGO		
Controlled Disbursement	Key Bank	0.00
General Revenue	Key Bank	244,062.29
Imprest Account	Key Bank	0.00
28240 - SUNY PLATTSBURGH		
General Revenue	TD Bank	2,311,507.53
28250 - SUNY POTSDAM		
Control Disbursement Account	Key Bank	0.00
State Fee Reconciliation Account	Key Bank	149,413.22
28260 - SUNY PURCHASE		
General Income Fund	Key Bank	57,344.68
28270 - SUNY INSTITUTE OF TECHNOLOGY UTICA/ROME		
Advance Account	Bank of America, N.A.	0.00
Controlled Disbursement Account	Bank of America, N.A.	0.00
Revenue	Bank of America, N.A.	60,093.83
28280 - SUNY EMPIRE STATE COLLEGE		
Concentration Account	Key Bank	92,632.79
Distribution Center Account	Key Bank	116,436.72
Zero Balance Controlled Disbursement Account	Key Bank	0.00
28350 - SUNY COLLEGE OF TECHNOLOGY AT ALFRED		
Fees Account	Community Bank	869,176.26
28360 - SUNY COLLEGE OF TECHNOLOGY AT CANTON		
Community Cash Deposits	NBT Bank	299,817.28
Income Fund	Key Bank	52,027.05
International Program Account	Key Bank	37,521.36
28370 - SUNY AGRICULTURAL & TECHNICAL COLLEGE AT COBLESKILL		
Income Fund	Key Bank	37,679.56
28380 - SUNY COLLEGE OF TECHNOLOGY AT DELHI		
General Revenue	Delaware National Bank	129,756.00
Petty Cash Fund	Delaware National Bank	0.00
28390 - SUNY COLLEGE OF TECHNOLOGY AT FARMINGDALE		
Income Fund	Citibank	897,845.97
28400 - SUNY AGRICULTURAL & TECHNICAL COLLEGE AT MORRISVILLE		
Income Fund	Key Bank	3,528,791.77
Revenue Account	NBT Bank	11,007.67
28550 - SUNY COLLEGE OF ENVIRONMENTAL SCIENCE & FORESTRY		
Agency Advance	Key Bank	No report received
Controlled Disb	Key Bank	No report received
ESF/GSA	Key Bank	No report received
Forestry	Community Bank	180.78
Regular Account	Key Bank	44,081.04
Student Government	Key Bank	No report received
28570 - SUNY MARITIME COLLEGE		
Controlled Disbursement Account	JPMorgan Chase Bank, N.A.	No report received
Cruise Account	JPMorgan Chase Bank, N.A.	No report received
Revenue Deposit Account	JPMorgan Chase Bank, N.A.	290,679.51
Revenue EFT Account	JPMorgan Chase Bank, N.A.	10,815.01
28580 - SUNY COLLEGE OF OPTOMETRY		

General Revenue	JPMorgan Chase Bank, N.A.	136,268.61
Medical Transportation	JPMorgan Chase Bank, N.A.	425.38
28650 - SUNY CENTRAL SYSTEM ADMINISTRATION		
ASC	Key Bank	10,000.00
NYS Iso	Key Bank	4,341,449.19
Revenue	Key Bank	120,423.80
37000 - DEPARTMENT OF FINANCIAL SERVICES		
Confidential Investigations	JPMorgan Chase Bank, N.A.	9,617.50
Confidential Investigations	JPMorgan Chase Bank, N.A.	11,202.85
Fire Tax Account (Main)	Key Bank	29,023.13
Fire Tax Payment	Key Bank	0.00
General Assessment Account	JPMorgan Chase Bank, N.A.	905,854.99
General Fund	Key Bank	416,676.92
Market Stabilization Pool Account	JPMorgan Chase Bank, N.A.	6,053,285.68
Miscellaneous Account	JPMorgan Chase Bank, N.A.	1,424,947.15
Paid Family Leave	JPMorgan Chase Bank, N.A.	0.00
Petty Cash	Key Bank	6,000.00
Workers Comp Insurance Sec Fund Pymnt	JPMorgan Chase Bank, N.A.	100,003.58
49010 - SARATOGA-CAPITAL DISTRICT STATE PARK COMMISSION		
Revenue (SA)	Glens Falls National	46,789.41
SARATOGA REGION CONTRACTORS BID ACCOUNT	Key Bank	88,231.68
49020 - LONG ISLAND STATE PARK COMMISSION		
Contractors Bid (LI)	JPMorgan Chase Bank, N.A.	74,853.93
Regional Account (LI)	Bank of America, N.A.	1.00
Regional Account 2 (LI)	JPMorgan Chase Bank, N.A.	196,499.97
Revenue (LI)	People's United Bank	49,718.60
49030 - GENESEE STATE PARK COMMISSION		
Contractors Bid (GE)	Bank of Castile	48,856.60
Revenue (GE)	Bank of Castile	168,522.95
49040 - NIAGARA FRONTIER STATE PARK COMMISSION		
Contractors Bid (NIA)	Key Bank	887.23
Revenue (NIA)	Evans National Bank	34,586.79
49050 - PALISADES INTERSTATE STATE PARK COMMISSION		
Contractors Bid (PA)	JPMorgan Chase Bank, N.A.	3,253.04
49070 - OFFICE OF PARKS & RECREATION		
Main Office - Change Fund	Key Bank	66,560.00
Main Office Account (ALB)	Key Bank	1,500.00
OPRHP Concentration Account	Key Bank	92,819.91
Petty Cash (ALB)	Key Bank	30,223.20
Revenue (NI, GE, AL, CE, TA)	M&T Bank	4,367.61
Revenue (NYC, CE, LI, PA, TA)	JPMorgan Chase Bank, N.A.	12,938.20
Revenue (SA, LI, GE, NI, CE, TA)	Bank of America, N.A.	7,220.92
Revenue (SA, NI, PA, CE, TA, TI)	Key Bank	6,918.42
Revenue (various)	Wells Fargo Bank	50,806.82
Statewide Campsite/Cabin Revenue	JPMorgan Chase Bank, N.A.	286,006.12
Statewide Credit Card Revenue, Revenue (ALB, FL, LI, PA, TI)	Key Bank	220,836.53
Statewide Housing Security Deposits	Key Bank	140,951.60
49090 - FINGER LAKES STATE PARK COMMISSION		
Contractors Bid (FL)	Tompkins County Trust	20,517.78
Revenue (FL)	Savannah Bank	51,914.03
Revenue (FL-Multi)	Community Bank	27,151.28
Revenue (FL-Multi-Facilities)	Tompkins County Trust	215,464.62
49100 - ALLEGANY STATE PARK COMMISSION		
Contractors Bid (AL)	Five Star Bank	868.00
Regional Account (AL)	Five Star Bank	135,229.02
Revenue (AL)	Five Star Bank	51,027.98
49120 - CENTRAL NEW YORK STATE PARK COMMISSION		
Contractors Bid (CE)	JPMorgan Chase Bank, N.A.	21,065.27
Revenue (CE, SA, TI)	NBT Bank	54,222.22
49130 - TACONIC STATE PARK COMMISSION		
Contractors Bid (TA)	M&T Bank	1,056.08
49140 - THOUSAND ISLANDS STATE PARK COMMISSION		
Revenue (TI)	Citizens Bank	35,740.35
Revenue (TI-Multi)	Community Bank	5,499.01
50000 - OFFICE OF MENTAL HEALTH		
Consolidated Advance	Bank of America, N.A.	19,500.00
Iterim Assistance Agreement	Bank of America, N.A.	No report received
OMH Medication Grant Program Acct	Bank of America, N.A.	7,482.50
Reimbursement Account	Bank of America, N.A.	No report received
50010 - GREATER BINGHAMTON HEALTH CENTER		
Agency Advance Account	JPMorgan Chase Bank, N.A.	6,709.88
Facility Holding Account	JPMorgan Chase Bank, N.A.	11,294.10
Patient Cash Funds	JPMorgan Chase Bank, N.A.	450,919.03
Patients Cash Account	JPMorgan Chase Bank, N.A.	416,668.17

Security Deposit	JPMorgan Chase Bank, N.A.	3,989.37
50020 - KINGSBORO PSYCHIATRIC CENTER		
Advance Account	Banco Popular	14,887.88
Family Care	Banco Popular	14,290.87
Holding Account	Banco Popular	217,907.40
Medicaid Outpatient Travel	Banco Popular	832.05
Patient Cash Acct (MM)	Banco Popular	333,954.65
Patient Checking Account	Citibank	50,412.92
Patient Savings Account	Banco Popular	334,933.50
Security Deposit	Banco Popular	2,489.24
Urban Oasis/EBT	Banco Popular	55,603.32
50030 - BUFFALO PSYCHIATRIC CENTER		
Advance Account	Key Bank	30,787.57
Facility Holding	Key Bank	2,043.94
Patient Cash Checking	Key Bank	313,371.27
50080 - MANHATTAN PSYCHIATRIC CENTER		
Advance Account	Sterling Bank	6,507.34
CD	Hudson Valley National Bank	425,038.94
General Fund Checking	Hudson Valley National Bank	5,137.31
Patient Cash Checking	Hudson Valley National Bank	968,889.80
Patients Money Market	Hudson Valley National Bank	72,886.76
Social Service Tokens	Hudson Valley National Bank	33,965.62
50110 - ROCHESTER PSYCHIATRIC CENTER		
Agency Advance	Key Bank	19,013.88
Facility Holding	Key Bank	51,323.42
Patients Cash Account	Key Bank	222,152.66
Patients Fund Savings	Key Bank	167,252.41
50120 - ST LAWRENCE PSYCHIATRIC CENTER		
Facility Advance Account	Community Bank	20,673.12
Facility Holding Account	Community Bank	16,937.21
Patients Cash Account	Community Bank	27,642.89
Patients Cash Savings	Community Bank	263,486.35
50150 - CREEDMOOR PSYCHIATRIC CENTER		
Advance Account	HSBC	45,243.66
Certificate Of Deposit	HSBC	150,000.00
Certificate Of Deposit	HSBC	250,000.00
Holding Account	HSBC	16,932.12
Medicaid Travel Account	HSBC	0.00
Money Management Account	HSBC	706,793.09
Patient Cash Account	HSBC	64,759.43
Rent Holding Account	HSBC	0.00
50170 - ROCKLAND PSYCHIATRIC CENTER		
Exchange	JPMorgan Chase Bank, N.A.	121,111.09
Holding Account	JPMorgan Chase Bank, N.A.	244,266.03
Investment CD A	Sterling Bank	100,000.00
INVESTMENT CD B	Sterling Bank	100,000.00
Investment CD C	Sterling Bank	100,000.00
Investment CD D	Sterling Bank	100,000.00
Investment CD G	Sterling Bank	100,000.00
Investment CD I	Sterling Bank	100,000.00
Patient Cash Account	JPMorgan Chase Bank, N.A.	110,871.84
Patient Cash Checking Account	JPMorgan Chase Bank, N.A.	840,283.28
Patient Cash Savings Account	JPMorgan Chase Bank, N.A.	570,531.52
50180 - NYS PSYCHIATRIC INSTITUTE		
Donation & Gift Acct/Patient Fund Acct	JPMorgan Chase Bank, N.A.	4,559.21
General	JPMorgan Chase Bank, N.A.	43,010.44
Petty Cash	JPMorgan Chase Bank, N.A.	1,003.84
50190 - RICHARD H HUTCHINGS PSYCHIATRIC CENTER		
Advance Account	Key Bank	15,591.98
Clients Count	Key Bank	142,849.74
Holding Account	Key Bank	15,904.46
50200 - PILGRIM PSYCHIATRIC CENTER		
Facility Advance Account	JPMorgan Chase Bank, N.A.	63,732.90
Facility Holding Acct	JPMorgan Chase Bank, N.A.	176,951.79
Patient Cash	JPMorgan Chase Bank, N.A.	1,070,153.40
50210 - MOHAWK VALLEY PSYCHIATRIC CENTER		
Advance Fund	Key Bank	11,429.28
Facility Holding	Key Bank	1,728.98
Patient Cash Checking	Key Bank	42,326.35
Patient Cash Savings	Key Bank	28,930.57
Security Deposit	Key Bank	7,273.03
50310 - BRONX PSYCHIATRIC CENTER		
CD	JPMorgan Chase Bank, N.A.	250,000.00
Consolidated Advance	JPMorgan Chase Bank, N.A.	31,171.53

Misc. Receipts	JPMorgan Chase Bank, N.A.	27,590.10
Patients Cash MM	JPMorgan Chase Bank, N.A.	88,209.62
Patients Checking Acct	JPMorgan Chase Bank, N.A.	466,165.78
50340 - NATHAN KLINE INSTITUTE		
Petty Cash Account	JPMorgan Chase Bank, N.A.	1,300.00
50350 - KIRBY FORENSIC PSYCHIATRIC CENTER		
Consolidated Advance Account	Hudson Valley National Bank	4,990.68
Holding Account	Hudson Valley National Bank	30,890.00
Patient Cash Account	Hudson Valley National Bank	109,768.30
50390 - CENTRAL NY PSYCHIATRIC CENTER		
Agency Advance Account	Key Bank	9,745.00
General Fund	Key Bank	6,579.33
Patient Checking	Key Bank	40,665.12
Patient Savings	Key Bank	266,505.20
Security Deposit	Key Bank	3,820.75
50440 - MID-HUDSON FORENSIC PSYCHIATRIC CENTER		
Facility Advance Account	Key Bank	12,064.33
Facility Holding Account	Key Bank	2,484.42
Patients Account	Key Bank	202,906.69
50520 - BROOKLYN CHILDRENS PSYCHIATRIC CENTER		
Petty Cash Advance Account	Banco Popular	4,450.00
50790 - SOUTH BEACH PSYCHIATRIC CENTER		
Agency Advance Checking	JPMorgan Chase Bank, N.A.	27,192.54
Facility Holding Checking	JPMorgan Chase Bank, N.A.	14,014.89
Family Care Checking	JPMorgan Chase Bank, N.A.	0.00
Patients Cash Checking	JPMorgan Chase Bank, N.A.	556,141.57
50800 - BRONX CHILDRENS PSYCHIATRIC CENTER		
General Fund	JPMorgan Chase Bank, N.A.	10,954.19
50810 - WESTERN NY CHILDRENS PSYCHIATRIC CENTER		
Agency Advance	Key Bank	1,850.93
Exchange Account	Key Bank	0.00
Patient Cash	Key Bank	140.00
50850 - SAGAMORE CHILDRENS PSYCHIATRIC CENTER		
Sagamore Patient Personal Acct	JPMorgan Chase Bank, N.A.	53,349.23
Sagamore Petty Cash Account	JPMorgan Chase Bank, N.A.	5,000.20
50860 - ROCKLAND CHILDRENS PSYCHIATRIC CENTER		
Advance Account	JPMorgan Chase Bank, N.A.	No report received
50870 - QUEENS CHILDRENS PSYCHIATRIC CENTER		
Queens Adv Acct	HSBC	11,000.00
Queens Childrens Account	HSBC	7,585.58
50920 - ELMIRA PSYCHIATRIC CENTER		
Certificate of Deposit	Chemung Canal Trust	100,000.00
Certificate of Deposit	Chemung Canal Trust	100,000.00
Facility Advance Account	Chemung Canal Trust	11,443.00
General Fund Account	Chemung Canal Trust	2,926.63
IMMA	Chemung Canal Trust	46,514.70
Patients Fund Account	Chemung Canal Trust	256,703.94
50980 - CAPITAL DISTRICT PSYCHIATRIC CENTER		
Facility Advance Account	Key Bank	No report received
Facility Holding Account	Key Bank	No report received
Parking Garage Account	Key Bank	No report received
Patients Cash Checking Account	Key Bank	No report received
Patients Cash Savings Account	Key Bank	No report received
51000 - NYS OFFICE FOR PEOPLE WITH DEVELOPMENTAL DISABILITIES		
Petty Cash Acct	Bank of America, N.A.	No report received
Revenue Account	Bank of America, N.A.	4,549,313.14
51210 - HUDSON VALLEY DDSO		
EBT Checking	JPMorgan Chase Bank, N.A.	No report received
Exchange Account	JPMorgan Chase Bank, N.A.	No report received
General Fund	JPMorgan Chase Bank, N.A.	No report received
Investor's Choice Savings	JPMorgan Chase Bank, N.A.	No report received
Petty Cash	JPMorgan Chase Bank, N.A.	No report received
PTS Cash Checking	JPMorgan Chase Bank, N.A.	No report received
51240 - CENTRAL NY DDSO		
Advance Accounts	Key Bank	33,632.82
Clients Account	Key Bank	70,386.79
Consumer Cash - Savings	Adirondack Bank	2,329,876.70
Consumer Cash - Savings	Key Bank	3,416,623.47
51250 - TACONIC DDSO		
Agency Advance Account	M&T Bank	65,690.00
General Fund	M&T Bank	(259.32)
Patients Cash Checking	M&T Bank	2,344,866.54
500 Balltown Rd Schenectady, NY		
TDDSO Representative Payee Savings Acct	The Adirondack Trust Company	1,102,032.61

51270 - STATEN ISLAND DDSO

Clients Cash Account
General Fund Account
Money Market Account
Petty Cash Account

JPMorgan Chase Bank, N.A. No report received
JPMorgan Chase Bank, N.A. No report received
JPMorgan Chase Bank, N.A. No report received
JPMorgan Chase Bank, N.A. No report received

51290 - CAPITAL DISTRICT DDSO

Agency Advance Account
Agency Advance Account
Consumer EBT Fund / Fiduciary Account
Consumers Fund / Fiduciary Account
Patients Account Direct Deposit / Fiduciary Acct
Patients Fund Operating Acct / Fiduciary Acct
Summer Camp - Fiduciary Acct

Key Bank No report received
The Adirondack Trust Company No report received
Key Bank No report received
Key Bank No report received
The Adirondack Trust Company No report received
The Adirondack Trust Company No report received
The Adirondack Trust Company No report received

51330 - WESTERN NY DDSO

Agency Advance Account
CD-Patient Property Funds
Exchange Account
General Account
Patient Property Funds
Patient Property Funds

M&T Bank No report received
Key Bank No report received
M&T Bank No report received
Community Bank No report received
Adirondack Bank No report received
M&T Bank No report received

51350 - LONG ISLAND DDSO

Consolidated Advance
EBT Checking Account
General Fund
Patient Cash

JPMorgan Chase Bank, N.A. No report received
JPMorgan Chase Bank, N.A. No report received
JPMorgan Chase Bank, N.A. No report received
JPMorgan Chase Bank, N.A. No report received

51380 - BROOKLYN DDSO

CD
Consumers Fund
Consumers Money Management
Miscellaneous Receipts
Petty Cash - Mental Hygiene Brooklyn
Thomas Shirtz Community Service

Banco Popular No report received
JPMorgan Chase Bank, N.A. No report received
JPMorgan Chase Bank, N.A. No report received
JPMorgan Chase Bank, N.A. No report received
JPMorgan Chase Bank, N.A. No report received
JPMorgan Chase Bank, N.A. No report received

51420 - SUNMOUNT DDSO

Certificate of Deposit
Community Store Fund
Exchange Fund
Residents Fund
Sunmount Advance Account

Community Bank No report received
Community Bank No report received
Community Bank No report received
Community Bank No report received
Community Bank No report received

51430 - INSTITUTE FOR BASIC RESEARCH IN DEVELOPMENTAL DISABILITIES

Petty Cash

JPMorgan Chase Bank, N.A. No report received

51450 - METRO NY DDSO

Consolidated Acct
Manhattan DDSO Client Cash
Metro NY DDSO Food Stamp Acct
Metro NY DDSO REP PAYEE ACCOUNT
Patients Cash Account
Patients Money Market Account
Petty Cash

JPMorgan Chase Bank, N.A. 31,569.12
JPMorgan Chase Bank, N.A. 26,930.05
JPMorgan Chase Bank, N.A. 0.00
JPMorgan Chase Bank, N.A. 443,290.33
JPMorgan Chase Bank, N.A. 18,798.32
JPMorgan Chase Bank, N.A. 0.10
JPMorgan Chase Bank, N.A. 0.00

51470 - BERNARD M FINESON DDSO

Money Market Account
Patient Fund Account
Petty Cash Fund

JPMorgan Chase Bank, N.A. 307,079.30
JPMorgan Chase Bank, N.A. 181,498.04
JPMorgan Chase Bank, N.A. 14,572.36

51780 - FINGER LAKES DDSO

Advance Account
F.L. Newark Resident Checking
Finger Lakes Resident Checking
FL Vending Machine Account
General Account
Geneseo Client Cash
Kelsey Trust Fund
Mary Moore Trust Fund
Monroe Resident Checking
Monroe Resident Savings
Patient Food Stamp Account

JPMorgan Chase Bank, N.A. No report received
Community Bank No report received
JPMorgan Chase Bank, N.A. No report received
Community Bank No report received
JPMorgan Chase Bank, N.A. No report received
Bank of America, N.A. No report received
Bank of America, N.A. No report received
Bank of America, N.A. No report received
JPMorgan Chase Bank, N.A. No report received
JPMorgan Chase Bank, N.A. No report received
JPMorgan Chase Bank, N.A. No report received

51940 - BROOME DDSO

Broome DDSO
Broome DDSO - Advance Account
Broome DDSO - General Fund
Disabled Individuals Savings
Disabled Individuals Savings
OMRDD Broome DDSO EBT Checking

M&T Bank No report received
M&T Bank No report received
M&T Bank No report received
Key Bank No report received
M&T Bank No report received
M&T Bank No report received

53000 - OFFICE OF ALCOHOLISM & SUBSTANCE ABUSE SERVICES

Agency Advance Acct
Creedmoor PNA Account

Key Bank No report received
JPMorgan Chase Bank, N.A. No report received

Patient Fees	Bank of America, N.A.	No report received
Revenue Account	Bank of America, N.A.	No report received
53020 - KINGSBORO ALCOHOLISM TREATMENT CENTER		
Petty Cash Advance Account	Bank of America, N.A.	No report received
70000 - CUNY UNIVERSITY MANAGEMENT & PROGRAM BOARD OF HIGHER EDUCATION		
CUNY Admin Imprest Cash Account	Citibank	11,103.68
70030 - CUNY HUNTER COLLEGE		
Travel Petty Cash Account	Citibank	50,000.00
70060 - CUNY JOHN JAY COLLEGE		
CUNY JOHN JAY COLLEGE IMPREST FUND	Citibank	16,681.16
70070 - CUNY LEHMAN COLLEGE		
Lehman College	Citibank	4,345.50
70080 - CUNY YORK COLLEGE		
York College Imprest Funds	Citibank	11,288.64
York College Travel Advance Fund	Citibank	817.00
70100 - CUNY COLLEGE OF STATEN ISLAND		
CSI Imprest Cash	TD Bank	4,913.07
70120 - CUNY NYC COLLEGE OF TECHNOLOGY		
NY City College Of Technology Technical College Imprest Fund	Popular Community Bank	5,000.00
70150 - CUNY SCHOOL OF LAW		
CUNY School Of Law	TD Bank	25,299.74

The above balances represent funds deposited in various banking institutions as reported by the State department and agencies, and published in accordance with Section 107 of the State Finance Law.

Division of the Treasury, Department of Taxation and Finance
Christopher Curtis *Deputy Commissioner and State Treasurer*

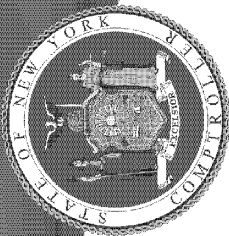
**FUNDS OF THE DIVISION OF THE TREASURY OF WHICH THE COMMISSIONER OF TAXATION AND FINANCE
IS THE SOLE CUSTODIAN WITH BALANCES AS OF 10/31/2020**

ACCOUNT DESCRIPTION	DEPOSITORY	BALANCE AS OF 10/31/2020
COMMUNITY COLLEGE TUITION AND INSTRUCTIONAL INCOME FUND		
0232 Upstate Community Colleges, Series 2005B	Key Bank	7,515.75
DEPARTMENT OF FINANCIAL SERVICES		
0001 Property/Casualty Insurance Security Fund	Key Bank	108,175.47
0002 Public Motor Vehicle Security Fund	JPMorgan Chase Bank, N.A.	476,317.38
0003 Workers' Compensation Security Fund	JPMorgan Chase Bank, N.A.	462,677.13
DORMITORY AUTHORITY OF THE STATE OF NEW YORK		
0039 Mental Hygiene Facilities Improvement Fund Income Account	Bank of America, N.A.	1,594.41
0104 Lincoln Medical and Mental Health Center Project Construction Account	Key Bank	9,515.27
0105 Greenpoint Medical and Mental Health Center Project Construction Account	Key Bank	296,899.81
0149 State Advances Repayment Account	Bank of America, N.A.	0.00
HOMELESS HOUSING ASSISTANCE CORPORATION		
0320 Social Services Homeless Housing and Assistance Corporation Operating Account	Key Bank	8,766,812.70
NELSON A. ROCKEFELLER EMPIRE STATE PLAZA PERFORMING ARTS CENTER CORPORATION		
0315 The Egg	Key Bank	31,250.10
NEW YORK CONVENTION CENTER		
0300 Operating Fund	JPMorgan Chase Bank, N.A.	13,706,646.28
NEW YORK JOB DEVELOPMENT AUTHORITY		
0036 Special Purpose Fund	Bank of America, N.A.	25,949.14
0371 Series H Commercial Paper	Bank of America, N.A.	1,416,739.77
0389 Daily Demand Special Purpose Bonds Series 1992A-B	JPMorgan Chase Bank, N.A.	339,133.66
0423 Escrow Account for USA Industries Inc.	Key Bank	37,274.67
0424 Escrow Account for Pluritec USA Inc.	Key Bank	19,782.38
0426 Agriculture Loan Program	Key Bank	28,731.51
NYS AFFORDABLE HOUSING CORPORATION		
0491 Disbursement Account	JPMorgan Chase Bank, N.A.	1,525,044.81
0520 Development Account	Key Bank	3,839,440.40
0522 Repayment Account	Key Bank	2,163,570.98
0523 Recapture Account	Key Bank	147,025.37
0880 Payroll Account	JPMorgan Chase Bank, N.A.	0.00
NYS DEPARTMENT OF HEALTH		
0004 Medical Indemnity Fund	JPMorgan Chase Bank, N.A.	(2,007,074.09)
NYS DEPARTMENT OF TAXATION AND FINANCE		
0510 Excelsior Linked Deposit Fund	Key Bank	0.00
0600 World Trade Center Memorial Foundation Fund Account	Bank of America, N.A.	92,867.57
0625 Advance Acct/Imprest Confidential Fund	Bank of America, N.A.	29,863.00
0626 Criminal Investigation Division	Key Bank	263,697.25
0778 PIT/STAR Rebate Exchange Account	JPMorgan Chase Bank, N.A.	0.00
0800 NYS IRS PIT offset account	Key Bank	0.00
0827 Stock Transfer Incentive Fund	Key Bank	1,127,363.99
0847 Pari-Mutuel Revenue Transfer Account	Key Bank	0.00
0848 Off-Track Bet Tax Revenue Transfer Account	Key Bank	0.00
NYS HOUSING FINANCE AGENCY		
0252 Energy Conservation/Tenant Health & Safety Improvement Account	JPMorgan Chase Bank, N.A.	205,927.29
0254 Agency Assisted Housing Operation Fund	JPMorgan Chase Bank, N.A.	3,159,585.66
0267 Neighborhood Stabilization Program - Round 1	JPMorgan Chase Bank, N.A.	87,646.12
0274 NYSHFA Special Reserve Fund	JPMorgan Chase Bank, N.A.	1,346,326.06
0283 Small Owner's Assistance Program Account	Key Bank	75,277.71
0285 Public Purpose Account	Key Bank	6,145,847.91
0286 Disbursement Account	Key Bank	4,547,363.93
0287 Infrastructure Development Fund	Key Bank	197,817.52
0288 Mobile Home Cooperative Fund	Key Bank	23,793.33
0292 Homeless Housing Initiatives	Key Bank	199,854.21
0294 Housing Plan Fund	Key Bank	5,113,472.10
0301 HFA - Subsidy Repayment Account	Key Bank	179,658.44
0305 HPD Disbursement Fund	Key Bank	1,885,387.19
0879 Payroll Account	JPMorgan Chase Bank, N.A.	194,970.81
NYS HOUSING TRUST FUND CORPORATION		
0458 Section 8 Housing Assistance Payment Account	JPMorgan Chase Bank, N.A.	965,792.60
0460 Escrow Account	M&T Bank	5,989,228.97
0461 Housing Modernization Account	M&T Bank	865,766.80

0462	General Custodial Account	M&T Bank	52,591,728.87
0465	Home Program Account	M&T Bank	9,929,532.02
0466	Homes for Working Families Account	M&T Bank	6,117,628.62
0467	Section 8 Administrative Account	M&T Bank	20,996,690.35
0469	OCR Community Miscellaneous Programs Account	M&T Bank	16,510,746.13
0470	HCV Main Account	Bank of America, N.A.	42,877,562.80
0471	Empire State Relief Fund	M&T Bank	500,672.73
0472	Master Escrow Account	Bank of America, N.A.	0.00
0473	Family Self-Sufficiency Account	Bank of America, N.A.	4,302,557.81
0475	Reserve Account	Bank of America, N.A.	1,683,779.06
0480	OHP Miscellaneous Programs Account	Bank of America, N.A.	15,372,150.61
0891	Small Cities Community Development Block Grant Program	M&T Bank	774,604.53
0892	Disaster Recovery Initiative Account	M&T Bank	294,004.56
0893	Payroll Account for Small Cities CDBG Program	M&T Bank	158.69
0895	HTFC Storm Recovery Payment	M&T Bank	782,273.66
0899	HTFC Storm Recovery Lockbox	US Bank	31,709.84
NYS TEACHERS RETIREMENT SYSTEM			
0052	Main Account	JPMorgan Chase Bank, N.A.	1,956,586.66
0052	Master Funding Account	State Street Bank & Trust Co.	0.00
0853	Excess Benefit Fund	JPMorgan Chase Bank, N.A.	3,044,640.26
STATE INSURANCE FUND			
0053	State Insurance Fund	Bank of America, N.A.	59,184,703.92
0054	State Insurance Fund	Bank of America, N.A.	8,572,798.69
0055	State Insurance Fund	Bank of America, N.A.	17,030,275.70
0861	Disability Benefits Fund Tax Escrow Account	Bank of America, N.A.	26,980.75
STATE UNIVERSITY CONSTRUCTION FUND			
0034	Income Fund	Key Bank	1,060,945.31
0075	Educational Facilities Revenue Bonds Debt Service Account	Key Bank	31,806.05
0870	Deductions Account	Key Bank	2,254.89

The above balances represent funds deposited in various banking institutions per the records of the Department of Taxation and Finance, Division of Treasury, and published in accordance with Section 107 of the State Finance Law.

Division of the Treasury, Department of Taxation and Finance
Christopher Curtis Deputy Commissioner and State Treasurer



Office of the NEW YORK STATE
COMPTROLLER

Comptroller's Monthly Report on State Funds Cash Basis of Accounting

OCTOBER 2020

Office of Operations
Division of Payroll, Accounting and Revenue Services
Bureau of Financial Reporting and Oil Spill Remediation

NYS Comptroller
THOMAS P. DINAPOLI



STATE OF NEW YORK
OFFICE OF OPERATIONS
DIVISION OF PAYROLL, ACCOUNTING AND REVENUE SERVICES
BUREAU OF FINANCIAL REPORTING AND OIL SPILL REMEDIATION

THOMAS P. DINAPOLI
STATE COMPTROLLER

COMPTROLLER'S MONTHLY REPORT TO THE LEGISLATURE ON STATE FUNDS - CASH BASIS OF ACCOUNTING

October 31, 2020

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EXHIBIT A

STATE OF NEW YORK
GOVERNMENTAL FUNDS
COMBINED STATEMENT OF CASH RECEIPTS, DISBURSEMENTS AND CHANGES IN FUND BALANCES
(amounts in millions)

	GENERAL		SPECIAL REVENUE		DEBT SERVICE		CAPITAL PROJECTS		TOTAL GOVERNMENTAL FUNDS		YEAR OVER YEAR	
	MONTH OF OCT. 2020	7 MOS. ENDED OCT. 31, 2020	MONTH OF OCT. 2020	7 MOS. ENDED OCT. 31, 2020	MONTH OF OCT. 2020	7 MOS. ENDED OCT. 31, 2020	MONTH OF OCT. 2020	7 MOS. ENDED OCT. 31, 2020	MONTH OF OCT. 2019	7 MOS. ENDED OCT. 31, 2019	\$ Increase/ (Decrease)	% Increase/ Decrease
RECEIPTS:												
Personal Income Tax	\$ 1,265.7	\$ 14,695.1	\$ 0.1	\$ 0.2	\$ 1,265.8	\$ 14,695.3	\$ -	\$ -	\$ 2,579.4	\$ 30,559.8	\$ (1,169.2)	-3.8%
Consumption/Use Taxes	566.6	4,044.6	144.0	1,023.2	549.9	3,660.7	42.0	269.2	1,405.9	10,571.2	(1,543.5)	-14.6%
Business Taxes	101.5	3,032.2	86.1	914.8	-	-	50.6	316.5	207.4	4,446.0	(182.5)	-4.1%
Other Taxes	136.8	700.9	-	-	66.0	386.6	12.0	59.6	250.0	1,266.4	(100.3)	-8.6%
Miscellaneous Receipts	190.2	5,870.4	1,642.4	9,687.5	20.9	253.0	978.4	3,629.5	3,594.3	16,661.7	2,778.7	16.7%
Federal Receipts	-	0.1	7,441.5	49,228.5	-	24.4	167.7	1,097.0	5,709.8	37,735.3	12,614.7	33.4%
Total Receipts	2,260.8	28,351.3	9,314.1	60,854.2	1,902.6	19,023.0	1,250.7	5,401.8	14,728.2	101,240.4	12,389.9	12.2%
DISBURSEMENTS:												
Local Assistance Grants:												
Education	1,051.2	11,938.7	279.3	4,645.3	-	-	20.3	40.6	1,451.3	18,020.1	(1,395.5)	-7.7%
Environment and Recreation	-	-	0.8	2.0	-	-	6.9	77.0	15.6	125.6	(46.6)	-37.1%
General Government	62.4	628.3	169.6	4,120.7	-	-	90.4	266.9	322.4	5,045.9	3,644.9	260.2%
Public Health:												
Medicaid	999.5	9,246.5	3,286.6	29,287.0	-	-	-	-	4,286.1	38,533.5	5,679.8	40.6%
Other Public Health	193.6	1,298.5	570.7	4,237.5	-	-	50.9	309.5	815.2	5,845.5	803.0	11.1%
Public Safety	7.3	36.6	627.1	1,400.9	-	-	0.3	6.8	634.7	1,444.3	168.4	66.7%
Public Welfare	70.3	1,427.9	384.1	2,204.4	-	-	24.6	367.3	479.0	3,999.6	1,491.0	40.1%
Support and Regulate Business	9.0	39.6	1.7	24.1	-	-	55.5	23.1	64.2	296.8	60.8	67.4%
Transportation	2.9	42.2	258.5	1,697.4	-	-	483.6	1,212.1	745.0	2,951.7	364.1	3.1%
Total Local Assistance Grants	2,366.2	24,558.3	5,578.4	47,619.3	-	-	730.5	2,543.3	8,705.1	74,820.9	2,117.4	2.9%
Departmental Operations:												
Personal Service	598.0	4,885.4	521.2	3,815.3	-	-	-	-	1,119.2	8,800.7	1,440.2	20.0%
Non-Personal Service	183.6	833.4	437.0	3,202.8	-	29.6	-	-	620.6	4,065.8	647.4	4.1%
General State Charges	387.4	4,773.3	124.3	792.1	-	-	-	-	511.7	5,585.4	665.9	7.4%
Debt Service, Including Payments on	-	-	-	-	-	-	-	-	-	-	-	-
Financing Agreements	-	-	-	-	39.8	1,318.8	-	-	39.8	1,318.8	48.5	28.7%
Capital Projects	-	-	-	2.3	-	-	533.6	4,144.7	533.6	4,147.0	710.7	4.0%
Total Disbursements	3,565.2	35,250.4	6,660.9	55,431.8	39.8	1,348.4	1,264.1	6,688.0	11,530.0	96,770.1	1,948.5	2.0%
Excess (Deficiency) of Receipts over Disbursements	(1,304.4)	(6,899.1)	2,653.2	5,422.4	1,862.8	17,674.6	(13.4)	(1,286.2)	33.4	4,470.3	10,441.4	233.6%
OTHER FINANCING SOURCES (USES):												
Bond and Note Proceeds (net)	-	-	-	-	-	-	-	-	-	-	-	0.0%
Transfers from Other Funds	1,212.9	15,857.6	259.2	1,630.8	319.1	1,376.6	91.3	1,184.5	1,641.5	26,288.1	(6,238.6)	-23.7%
Transfers to Other Funds	(419.5)	(2,971.9)	(238.9)	(1,181.5)	(1,218.9)	(15,814.3)	(12.1)	(286.5)	(1,645.1)	(26,393.4)	(6,139.2)	-23.3%
Total Other Financing Sources (Uses)	793.4	12,885.7	20.3	449.3	(899.8)	(14,437.7)	79.2	898.0	(3.6)	(105.3)	(95.4)	-94.4%
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	(511.0)	5,986.6	2,673.5	5,971.7	963.0	3,236.9	65.8	(388.2)	29.8	4,365.0	10,342.0	236.9%
Beginning Fund Balances (Deficits)	15,441.8	8,944.2	9,510.3	6,312.1	2,337.3	63.4	(1,488.9)	(1,034.9)	14,310.2	9,975.0	4,305.8	43.2%
Ending Fund Balances (Deficits)	\$ 14,930.8	\$ 14,930.8	\$ 12,183.8	\$ 12,183.8	\$ 3,300.3	\$ 3,300.3	\$ (1,423.1)	\$ (1,423.1)	\$ 14,340.0	\$ 14,340.0	\$ 14,651.8	102.2%

**STATE OF NEW YORK
GOVERNMENTAL FUNDS-STATE OPERATING (*)
COMBINED STATEMENT OF CASH RECEIPTS, DISBURSEMENTS AND CHANGES IN FUND BALANCES
(amounts in millions)**

**EXHIBIT A
SUPPLEMENTAL**

	GENERAL		STATE SPECIAL REVENUE (**)		DEBT SERVICE		TOTAL STATE OPERATING FUNDS				% Increase/ Decrease
	MONTH OF OCT. 2020	7 MOS. ENDED OCT. 31, 2020	MONTH OF OCT. 2020	7 MOS. ENDED OCT. 31, 2020	MONTH OF OCT. 2020	7 MOS. ENDED OCT. 31, 2020	MONTH OF OCT. 2020	7 MOS. ENDED OCT. 31, 2020	MONTH OF OCT. 2019	7 MOS. ENDED OCT. 31, 2019	
RECEIPTS:											
Personal Income Tax	(3)	\$ 1,285.7	\$ 14,695.1	\$ 0.1	\$ 0.2	\$ 1,265.8	\$ 2,531.6	\$ 29,390.6	\$ 2,578.4	\$ 30,559.8	-3.8%
Consumption/Use Taxes		566.6	4,044.6	144.0	1,023.2	549.9	1,260.5	8,728.5	1,361.6	10,188.8	-14.3%
Business Taxes		101.5	3,032.2	86.1	914.8	-	187.6	3,947.0	147.1	4,037.7	-2.2%
Other Taxes		136.8	708.9	-	-	66.0	202.8	1,088.5	238.0	1,206.8	-9.0%
Miscellaneous Receipts		190.2	5,870.4	1,632.5	9,863.1	20.9	1,843.6	15,686.5	1,878.8	13,163.2	19.2%
Federal Receipts		-	0.1	12.9	40.8	-	12.9	65.3	0.1	54.8	19.2%
Total Receipts		2,260.8	28,351.3	1,875.6	11,542.1	1,902.6	6,039.0	58,916.4	6,204.0	59,211.1	-0.5%
DISBURSEMENTS:											
Local Assistance Grants:											
Education		1,051.2	11,938.7	149.0	2,771.6	-	1,200.2	14,710.3	1,230.2	15,813.4	-7.0%
Environment and Recreation		-	-	0.7	1.6	-	0.7	1.6	0.7	2.8	-42.9%
General Government		62.4	628.3	15.3	86.6	-	77.7	714.9	33.2	823.0	-13.1%
Public Health:											
Medicaid		999.5	9,246.5	417.0	3,218.8	-	1,416.5	12,465.3	2,120.2	15,223.7	-18.1%
Other Public Health		193.6	1,298.5	55.4	466.2	-	249.0	1,764.7	277.2	1,886.5	-6.5%
Public Safety		7.3	36.6	10.0	98.1	-	17.3	134.7	28.5	218.4	-38.3%
Public Welfare		70.3	1,427.9	-	1.0	-	70.3	1,428.9	424.8	1,259.8	13.4%
Support and Regulate Business		9.0	39.6	0.7	18.1	-	9.7	57.7	22.4	114.9	-49.8%
Transportation		2.9	42.2	253.5	1,663.4	-	256.4	1,705.6	279.8	2,043.9	-16.6%
Total Local Assistance Grants		2,396.2	24,658.3	901.6	8,225.4	-	3,297.8	32,983.7	4,417.0	37,386.4	-11.8%
Departmental Operations:											
Personal Service		598.0	4,985.4	389.4	3,084.3	-	987.4	8,089.7	1,364.4	8,595.8	-6.1%
Non-Personal Service		183.6	833.4	248.4	1,426.0	-	432.0	2,289.0	514.3	3,155.0	-27.4%
General State Charges		387.4	4,773.3	46.6	450.2	-	434.0	5,223.5	639.9	5,819.3	-10.2%
Debt Service, Including Payments on Financing Agreements		-	-	-	-	39.8	39.8	1,318.8	48.5	1,025.1	28.7%
Capital Projects		-	-	-	-	-	-	-	-	-	0.0%
Total Disbursements		3,565.2	35,250.4	1,586.0	13,285.9	39.8	5,191.0	49,884.7	6,984.1	55,981.6	-10.9%
Excess (Deficiency) of Receipts over Disbursements		(1,304.4)	(6,899.1)	288.6	(1,743.8)	1,862.8	848.0	9,031.7	(780.1)	3,229.5	179.7%
OTHER FINANCING SOURCES (USES):											
Transfers from Other Funds	(2)	1,212.9	15,857.6	505.9	2,125.2	319.1	2,037.9	19,359.4	2,373.2	24,341.6	-20.5%
Transfers to Other Funds	(2)	(419.5)	(2,971.9)	(5.3)	(188.0)	(1,218.9)	(1,643.7)	(18,974.2)	(1,456.6)	(25,162.3)	-24.6%
Total Other Financing Sources (Uses)		793.4	12,885.7	500.6	1,937.2	(899.8)	394.2	385.2	916.6	(820.7)	146.9%
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses		(511.0)	5,986.6	790.2	193.4	963.0	1,242.2	9,416.9	136.5	2,408.8	290.9%
Beginning Fund Balances (Deficits)		15,441.8	8,944.2	4,803.9	5,400.7	2,337.3	22,583.0	14,408.3	14,633.6	12,361.3	16.6%
Ending Fund Balances (Deficits)		\$ 14,930.8	\$ 14,930.8	\$ 5,594.1	\$ 5,594.1	\$ 3,300.3	\$ 23,825.2	\$ 23,825.2	\$ 14,770.1	\$ 14,770.1	61.3%

(*) State Operating Funds are comprised of the General Fund, State Special Revenue Funds supported by activities from dedicated revenue sources (including operating transfers from Federal Funds) and Debt Service Funds.
 (**) Eliminations between Special Revenue - State and Federal Funds are not included.

GOVERNMENTAL FUNDS FOOTNOTES**EXHIBIT A NOTES
OCTOBER 2020**

1. Certain disbursements from Capital Projects funds are financed by operating transfers from other funds, proceeds of State bonds and notes, and reimbursements received from Public Authorities and the Federal Government. The amounts shown below represent disbursements to be reimbursed in future months from the sources indicated:
- | | |
|---|-----------------|
| Urban Development Corporation (Correctional Facilities) | \$233.0 million |
| Urban Development Corporation (Youth Facilities) | 17.2 |
| Housing Finance Agency (HFA) | 443.4 |
| Housing Assistance Fund | 12.9 |
| Dormitory Authority (Mental Hygiene) | 394.8 |
| Dormitory Authority and State University Income Fund | 303.3 |
| Federal Capital Projects | 539.6 |
| State bond and note proceeds | 187.6 |
2. Operating Transfers constitute legally authorized transfers from a fund receiving revenues to a fund through which disbursements will ultimately be made. The more significant transfers include:
- General Fund** "Transfers to Other Funds" are as follows:
- | | |
|---|-----------------|
| Slate Capital Projects Fund | \$907.4 million |
| General Debt Service Fund | 166.7 |
| Banking Services Account | 18.7 |
| Building Administration Account | 8.0 |
| Business Services Center | 26.9 |
| Centralized Tech Services | 11.5 |
| Court Facilities Incentive Aid Fund | 62.6 |
| Dedicated Highway & Bridge Trust Fund | 33.0 |
| Dedicated Infrastructure Investment Fund | 204.0 |
| Dedicated Mass Transportation - Railroad Account | 4.4 |
| Dedicated Mass Transportation - Transit Authority Account | 24.4 |
| Dedicated Mass Transportation - (Non MTA) | 2.5 |
| Environmental Protection Fund | 14.0 |
| Health Insurance Revolving Fund | 12.0 |
| Housing Debt Service Fund | 2.9 |
| Mass Transportation Operating Assistance Fund | 24.4 |
| Mass Transportation Financial Assistance | 244.3 |
| New York Central Business District Trust Fund | 87.5 |
| New York City County Clerks' Operations Offset | 2.8 |
| Recruitment Incentive Account | 2.1 |
| State Fair Receipts | 3.0 |
| State University Income Fund | 865.0 |
- Also included in the General Fund are transfers representing payments for patients residing in State-operated health, mental hygiene and State University facilities to Debt Service funds (\$4.8m), and the State University Income Fund (\$238.5m).
- \$724(b) was added to the State Finance Law in 2010 to permit the State's General Debt Service Fund to maintain a cash reserve for the payment of debt service, and related expenses, during the current fiscal quarter. As of October 31, 2020 - pursuant to a certification of the Budget Director - the reserve amount is (\$48.7m), which was funded by a transfer from the General Fund.

Special Revenue Funds "Transfers To Other Funds" includes transfers to Mental Health Services Fund and Department of Health Income Fund (\$923.1m) representing the federal share of Medicaid payments for patients residing in State-operated Health and Mental Hygiene facilities, the General Debt Service Fund (\$4.9m), Medicaid Management Information System Escrow Fund (\$122.3m), SUNY Capital Projects Fund (\$2.0m), and All Other Capital Projects (\$24.1m).

Also included in Special Revenue funds are transfers to the General Fund from the following:

Federal Department of Health Services Fund	\$51.2 million
Federal Operating Grants Fund	5.3
Federal USDA/Food and Nutrition Services Fund	7.7
SUNY Income Fund	22.4
Unemployment Insurance Administration Fund	2.7
Unemployment Insurance Interest & Penalty Account	11.3

Debt Service Funds "Transfers To Other Funds" includes transfers to the General Fund from the following:

Revenue Bond Tax Fund	\$11,676.3 million
Local Government Assistance Tax Fund	1,830.3
Sales Tax Revenue Bond Tax Fund	1,120.3
Clean Water/Clean Air Fund	360.4
Mental Health Services Fund	755.4

Also included in Debt Service funds are transfers to Special Revenue funds representing receipts in excess of lease-purchase obligations that are used to finance a portion of the operating expenses for the Department of Health (\$71.6m).

Capital Projects Funds "Transfers To Other Funds" includes transfers to the General Fund (\$12.4m), the General Debt Service Fund - Lease Purchase (\$72.2m), and the Revenue Bond Tax Fund (\$201.9m).

3. A portion of Personal Income Tax receipts is transferred to the State Special Revenue School Tax Relief (STAR) Fund to be used to reimburse school districts for the STAR property tax exemptions for homeowners and payments to homeowners for the STAR Property Rebate Program. School Tax Relief payments were (\$0.2m) as of October 31, 2020.

EXHIBIT B

STATE OF NEW YORK
PROPRIETARY FUNDS
COMBINED STATEMENT OF CASH RECEIPTS, DISBURSEMENTS AND CHANGES IN FUND BALANCES
(amounts in millions)

	ENTERPRISE			INTERNAL SERVICE			TOTAL PROPRIETARY FUNDS			YEAR OVER YEAR	
	MONTH OF	7 MOS. ENDED		MONTH OF	7 MOS. ENDED		MONTH OF	7 MOS. ENDED		\$ Increase/ (Decrease)	% Increase/ Decrease
	OCT. 2020	OCT. 31, 2020		OCT. 2020	OCT. 31, 2020		OCT. 2020	OCT. 31, 2020			
RECEIPTS:											
Miscellaneous Receipts	\$ 4.4	\$ 41.4	\$ 221.7	\$ 53.0	\$ 221.7		\$ 57.4	\$ 263.1	\$ 362.9	\$ (99.8)	-27.5%
Federal Receipts	2,489.5	36,363.4	-	-	-		2,489.5	36,363.4	6.8	36,356.6	534,655.9%
Unemployment Taxes	613.4	12,539.3	-	-	-		613.4	12,539.3	1,105.5	11,433.8	1,034.3%
Total Receipts	3,107.3	48,944.1	221.7	53.0	221.7		3,160.3	49,165.8	1,475.2	47,690.6	3,232.8%
DISBURSEMENTS:											
Departmental Operations:											
Personal Service	1.1	8.6	83.6	10.2			11.3	92.2	22.2	5.2	6.0%
Non-Personal Service	4.1	31.9	270.4	61.3			65.4	302.3	49.6	31.8	11.8%
General State Charges	0.1	1.0	38.6	4.8			4.9	39.6	1.6	7.6	23.8%
Unemployment Benefits	3,102.8	48,894.0	-	-			3,102.8	48,894.0	147.8	47,781.0	4,293.0%
Total Disbursements	3,108.1	48,935.5	392.6	76.3	392.6		3,184.4	49,328.1	221.2	47,825.6	3,183.1%
Excess (Deficiency) of Receipts Over Disbursements	(0.8)	8.6	(170.9)	(23.3)	(170.9)		(24.1)	(162.3)	(19.9)	(135.0)	-494.5%
OTHER FINANCING SOURCES (USES):											
Transfers from Other Funds	-	3.0	79.6	6.9			6.9	82.6	3.6	33.6	68.6%
Transfers to Other Funds	-	-	(0.3)	-			-	(0.3)	-	(0.6)	-66.7%
Total Other Financing Sources (Uses)	-	3.0	79.3	6.9	79.3		6.9	82.3	3.6	34.2	71.1%
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	(0.8)	11.6	(91.6)	(16.4)	(91.6)		(17.2)	(80.0)	(16.3)	(100.8)	-484.6%
Beginning Fund Balances (Deficits)	42.1	29.7	(297.5)	(372.7)	(297.5)		(330.6)	(267.8)	(239.0)	8.3	3.0%
Ending Fund Balances (Deficits)	\$ 41.3	\$ 41.3	\$ (389.1)	\$ (389.1)	\$ (389.1)		\$ (347.8)	\$ (347.8)	\$ (255.3)	\$ (92.5)	-36.2%

STATE OF NEW YORK
TRUST FUNDS
COMBINED STATEMENT OF CASH RECEIPTS, DISBURSEMENTS AND CHANGES IN FUND BALANCES
(amounts in millions)

EXHIBIT C

	PENSION		PRIVATE PURPOSE		TOTAL TRUST FUNDS			YEAR OVER YEAR	
	MONTH OF OCT. 2020	7 MOS. ENDED OCT. 31, 2020	MONTH OF OCT. 2020	7 MOS. ENDED OCT. 31, 2020	MONTH OF OCT. 2020	7 MOS. ENDED OCT. 31, 2020	MONTH OF OCT. 2019	\$ Increase/ (Decrease)	% Increase/ Decrease
RECEIPTS:									
Miscellaneous Receipts	11.0	\$ 73.6	\$ 0.2	\$ 0.2	\$ 11.2	\$ 73.8	\$ 12.8	\$ 1.7	2.4%
Total Receipts	11.0	73.6	0.2	0.2	11.2	73.8	12.8	1.7	2.4%
DISBURSEMENTS:									
Departmental Operations:									
Personal Service	5.6	44.3	0.1	0.2	5.7	44.5	7.7	2.9	7.0%
Non-Personal Service	0.7	5.3	-	-	0.7	5.3	1.0	(3.0)	-36.1%
General State Charges	3.4	25.8	-	0.1	3.4	25.9	0.2	4.2	19.4%
Total Disbursements	9.7	75.4	0.1	0.3	9.8	75.7	8.9	4.1	5.7%
Excess (Deficiency) of Receipts Over Disbursements	1.3	(1.8)	0.1	(0.1)	1.4	(1.9)	3.9	(2.4)	-480.0%
OTHER FINANCING SOURCES (USES):									
Transfers from Other Funds	-	-	-	-	-	-	-	-	0.0%
Transfers to Other Funds	-	-	-	-	-	-	-	-	0.0%
Total Other Financing Sources (Uses)	-	-	-	-	-	-	-	-	0.0%
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	1.3	(1.8)	0.1	(0.1)	1.4	(1.9)	3.9	(2.4)	-480.0%
Beginning Fund Balances (Deficits)	(4.2)	(1.1)	14.1	14.3	9.9	13.2	6.8	3.0	29.4%
Ending Fund Balances (Deficits)	(2.9)	(2.9)	14.2	14.2	11.3	11.3	10.7	0.6	5.6%

EXHIBIT D

STATE OF NEW YORK
BUDGETARY BASIS - FINANCIAL PLAN AND ACTUAL
FISCAL YEAR 2020-2021
FOR SEVEN MONTHS ENDED OCTOBER 31, 2020
(amounts in millions)

ALL GOVERNMENTAL FUNDS					
	Enacted Financial Plan (*)	Updated Financial Plan (**)	Actual	Actual Over/ (Under) Enacted Financial Plan	Actual Over/ (Under) Updated Financial Plan
RECEIPTS:					
Taxes:					
Personal Income	\$ 28,003.0	\$ 29,745.0	\$ 29,390.6	\$ 1,387.6	\$ (354.4)
Consumption/Use	8,851.0	9,082.0	9,027.7	176.7	(54.3)
Business	4,397.0	4,305.0	4,263.5	(133.5)	(41.5)
Other	1,151.0	1,140.0	1,158.1	7.1	18.1
Miscellaneous Receipts	19,378.0	19,796.0	19,440.4	62.4	(355.6)
Federal Receipts	41,478.0	48,472.0	50,350.0	8,872.0	1,878.0
Total Receipts	103,258.0	112,540.0	113,630.3	10,372.3	1,090.3
DISBURSEMENTS:					
Local Assistance Grants	73,154.0	75,648.0	74,820.9	1,666.9	(827.1)
Departmental Operations	12,560.0	12,887.0	12,866.5	306.5	(20.5)
General State Charges	5,722.0	5,594.0	5,565.4	(156.6)	(28.6)
Debt Service	1,335.0	1,319.0	1,318.8	(16.2)	(0.2)
Capital Projects	5,712.0	4,221.0	4,147.0	(1,565.0)	(74.0)
Total Disbursements	98,483.0	99,669.0	98,718.6	235.6	(950.4)
Excess (Deficiency) of Receipts over Disbursements	4,775.0	12,871.0	14,911.7	10,136.7	2,040.7
OTHER FINANCING SOURCES (USES):					
Bond and Note Proceeds, net	-	-	-	-	-
Transfers from Other Funds	20,971.0	21,177.0	20,049.5	(921.5)	(1,127.5)
Transfers to Other Funds	(21,059.0)	(21,381.0)	(20,254.2)	(804.8)	(1,126.8)
Total Other Financing Sources (Uses)	(88.0)	(204.0)	(204.7)	(116.7)	(0.7)
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	4,687.0	12,667.0	14,707.0	10,020.0	2,040.0
Fund Balances (Deficits) at April 1	14,284.0	14,283.0	14,284.8	0.8	1.8
Fund Balances (Deficits) at October 31, 2020	\$ 18,971.0	\$ 26,950.0	\$ 28,991.8	\$ 10,020.8	\$ 2,041.8

(*) Source: 2020-21 Enacted Financial Plan dated April 25, 2020.

(**) Source: 2020-21 Mid Year Update dated October 30, 2020.

EXHIBIT D

STATE OF NEW YORK
BUDGETARY BASIS - FINANCIAL PLAN AND ACTUAL
FISCAL YEAR 2020-2021
FOR SEVEN MONTHS ENDED OCTOBER 31, 2020
(amounts in millions)

	STATE OPERATING FUNDS (****)			
	Enacted Financial Plan (*)	Updated Financial Plan (**)	Actual	Actual Over/ (Under) Enacted Financial Plan Updated Financial Plan
RECEIPTS:				
Taxes:				
Personal Income	\$ 28,003.0	\$ 29,745.0	\$ 29,390.6	\$ 1,387.6
Consumption/Use	8,553.0	8,776.0	8,728.5	175.5
Business	4,088.0	3,979.0	3,947.0	(141.0)
Other	1,091.0	1,081.0	1,098.5	7.5
Miscellaneous Receipts	15,045.0	16,056.0	15,686.5	641.5
Federal Receipts	36.0	61.0	65.3	29.3
Total Receipts	56,816.0	59,698.0	58,916.4	2,100.4
				(781.6)
DISBURSEMENTS:				
Local Assistance Grants	35,096.0	33,105.0	32,983.7	(2,112.3)
Departmental Operations	11,307.0	10,329.0	10,358.7	29.7
General State Charges	5,517.0	5,245.0	5,223.5	(293.5)
Debt Service	1,335.0	1,319.0	1,318.8	(16.2)
Capital Projects	-	-	-	-
Total Disbursements	53,255.0	49,998.0	49,884.7	(3,370.3)
				(113.3)
Excess (Deficiency) of Receipts over Disbursements	3,561.0	9,700.0	9,031.7	5,470.7
				(668.3)
OTHER FINANCING SOURCES (USES):				
Transfers from Other Funds	18,900.0	20,134.0	19,359.4	459.4
Transfers to Other Funds	(19,710.0)	(19,860.0)	(18,974.2)	(735.8)
Total Other Financing Sources (Uses)	(810.0)	274.0	385.2	1,195.2
				(774.6)
				(885.8)
				111.2
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	2,751.0	9,974.0	9,416.9	6,665.9
				(557.1)
Fund Balances (Deficits) at April 1	14,408.0	14,407.0	14,408.3	0.3
Fund Balances (Deficits) at October 31, 2020	\$ 17,159.0	\$ 24,381.0	\$ 23,825.2	\$ 6,666.2
				1.3
				(555.8)

(*) Source: 2020-21 Enacted Financial Plan dated April 25, 2020.

(**) Source: 2020-21 Mid Year Update dated October 30, 2020.

(***) State Operating Funds are comprised of the General Fund, State Special Revenue Funds supported by activities from dedicated revenue sources (including operating transfers from Federal Funds) and Debt Service Funds.

(****) Eliminations between Special Revenue - State and Federal Funds are not included.

**STATE OF NEW YORK
BUDGETARY BASIS - FINANCIAL PLAN AND ACTUAL
FISCAL YEAR 2020-2021
FOR SEVEN MONTHS ENDED OCTOBER 31, 2020
(amounts in millions)**

EXHIBIT D

	GENERAL FUND				
	Enacted Financial Plan (*)	Updated Financial Plan (**)	Actual	Actual Over/ (Under) Enacted Financial Plan	Actual Over/ (Under) Updated Financial Plan
RECEIPTS:					
Taxes:					
Personal Income	\$ 14,001.0	\$ 14,872.0	\$ 14,695.1	\$ 694.1	\$ (176.9)
Consumption/Use	3,965.0	4,086.0	4,044.6	79.6	(41.4)
Business	3,167.0	3,078.0	3,032.2	(134.8)	(45.8)
Other	692.0	674.0	708.9	16.9	34.9
Miscellaneous Receipts	5,348.0	5,841.0	5,870.4	522.4	29.4
Federal Receipts	-	-	0.1	0.1	0.1
Transfers From:					
PIT / ECET in excess of Revenue Bond Debt Service	11,686.0	12,454.0	11,676.3	(9.7)	(777.7)
Sales Tax in excess of LGAC / STRBF Debt Service	2,845.0	2,955.0	2,950.6	105.6	(4.4)
Real Estate Taxes in excess of CW/CA Debt Service	371.0	378.0	360.4	(10.6)	(17.6)
All Other	792.0	1,058.0	870.3	78.3	(187.7)
Total Receipts and Other Financing Sources	42,867.0	45,396.0	44,208.9	1,341.9	(1,187.1)
DISBURSEMENTS:					
Local Assistance Grants	26,587.0	24,784.0	24,658.3	(1,928.7)	(125.7)
Departmental Operations	6,817.0	5,805.0	5,818.8	(98.2)	13.8
General State Charges	4,956.0	4,779.0	4,773.3	(182.7)	(5.7)
Transfers To:					
Debt Service	153.0	165.0	166.7	13.7	1.7
Capital Projects	2,018.0	1,014.0	1,158.4	(859.6)	144.4
State Share Medicaid	-	-	243.3	243.3	243.3
SUNY Operations	1,116.0	909.0	865.0	(251.0)	(44.0)
Other Purposes	651.0	783.0	538.5	(112.5)	(244.5)
Total Disbursements and Other Financing Uses	42,298.0	38,239.0	38,222.3	(4,075.7)	(16.7)
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	569.0	7,157.0	5,986.6	5,417.6	(1,170.4)
Fund Balances (Deficits) at April 1	8,944.0	8,944.0	8,944.2	0.2	0.2
Fund Balances (Deficits) at October 31, 2020	\$ 9,513.0	\$ 16,101.0	\$ 14,930.8	\$ 5,417.8	\$ (1,170.2)

(*) Source: 2020-21 Enacted Financial Plan dated April 25, 2020.

(**) Source: 2020-21 Mid Year Update dated October 30, 2020.

(***) Includes transfers to the Department of Health Income Fund and the State University Income Fund representing payments for patients residing in State-Operated Health and State University facilities.

EXHIBIT D

STATE OF NEW YORK
BUDGETARY BASIS - FINANCIAL PLAN AND ACTUAL
FISCAL YEAR 2020-2021
FOR SEVEN MONTHS ENDED OCTOBER 31, 2020
(amounts in millions)

SPECIAL REVENUE FUNDS						
	Enacted Financial Plan (*)	Updated Financial Plan (**)	Actual	Eliminations	Total	Actual Over/ (Under) Enacted Financial Plan Updated Financial Plan
RECEIPTS:						
Taxes:						
Personal Income	\$ 1.0	\$ -	\$ 0.2	\$ -	\$ 0.2	\$ (0.8) 0.2
Consumption/Use	1,017.0	1,022.0	1,023.2	-	1,023.2	6.2 1.2
Business	921.0	901.0	914.8	-	914.8	(6.2) 13.8
Miscellaneous Receipts	9,584.0	10,083.0	9,687.5	-	9,687.5	103.5 (395.5)
Federal Receipts	40,246.0	47,350.0	49,228.5	-	49,228.5	8,982.5 1,878.5
Transfers from Other Funds (***)	2,035.0	2,003.0	2,125.2	(494.4)	1,630.8	(404.2) (372.2)
Total Receipts and Other Financing Sources	53,804.0	61,359.0	62,979.4	(494.4)	62,485.0	8,681.0 1,126.0
DISBURSEMENTS:						
Local Assistance Grants	43,688.0	48,311.0	47,619.3	-	47,619.3	3,931.3 (691.7)
Departmental Operations	5,724.0	7,052.0	7,018.1	-	7,018.1	1,294.1 (33.9)
General State Charges	766.0	815.0	792.1	-	792.1	26.1 (22.9)
Capital Projects	-	2.0	2.3	-	2.3	2.3 0.3
Transfers to Other Funds (***)	1,164.0	1,431.0	1,675.9	(494.4)	1,181.5	17.5 (249.5)
Total Disbursements and Other Financing Uses	51,342.0	57,611.0	57,107.7	(494.4)	56,613.3	5,271.3 (997.7)
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	2,462.0	3,748.0	5,871.7	-	5,871.7	3,409.7 2,123.7
Fund Balances (Deficits) at April 1	6,312.0	6,311.0	6,312.1	-	6,312.1	0.1 1.1
Fund Balances (Deficits) at October 31, 2020	\$ 8,774.0	\$ 10,059.0	\$ 12,183.8	\$ -	\$ 12,183.8	\$ 3,409.8 \$ 2,124.8

(*) Source: 2020-21 Enacted Financial Plan dated April 25, 2020.

(**) Source: 2020-21 Mid Year Update dated October 30, 2020.

(***) Actual reported transfer amounts include eliminations between Special Revenue - State and Federal Funds.

STATE OF NEW YORK
BUDGETARY BASIS – FINANCIAL PLAN AND ACTUAL
FISCAL YEAR 2020-2021
FOR SEVEN MONTHS ENDED OCTOBER 31, 2020
(amounts in millions)

EXHIBIT D

	STATE SPECIAL REVENUE FUNDS				FEDERAL SPECIAL REVENUE FUNDS			
	Enacted Financial Plan (*)	Updated Financial Plan (**)	Actual	Actual Over/ (Under) Enacted Financial Plan	Actual Over/ (Under) Enacted Financial Plan	Updated Financial Plan (*)	Actual	Actual Over/ (Under) Enacted Financial Plan
RECEIPTS:								
Taxes:								
Personal Income	\$ 1.0	\$ -	\$ 0.2	(0.8)	\$ -	\$ -	\$ -	\$ -
Consumption/Use	1,017.0	1,023.2	1,023.2	6.2	-	-	-	-
Business	921.0	901.0	914.8	(6.2)	-	-	-	-
Miscellaneous Receipts	9,476.0	9,968.0	9,563.1	87.1	-	115.0	124.4	16.4
Federal Receipts	-	37.0	40.8	40.8	-	47,313.0	49,167.7	8,941.7
Transfers from Other Funds	2,035.0	2,003.0	2,125.2	90.2	-	-	-	-
Total Receipts and Other Financing Sources	13,450.0	13,931.0	13,667.3	217.3		47,428.0	49,312.1	8,958.1
DISBURSEMENTS:								
Local Assistance Grants	8,509.0	8,321.0	8,325.4	(183.6)		39,990.0	39,293.9	4,114.9
Departmental Operations	4,471.0	4,484.0	4,510.3	39.3		2,358.0	2,507.6	1,254.6
General State Charges	561.0	486.0	450.2	(110.8)		349.0	341.9	136.9
Capital Projects	-	-	-	-		2.0	2.3	0.3
Transfers to Other Funds	111.0	195.0	188.0	77.0		1,236.0	1,487.9	434.9
Total Disbursements and Other Financing Uses	13,652.0	13,476.0	13,473.9	(178.1)		44,135.0	43,633.8	5,943.8
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	(202.0)	455.0	193.4	395.4		3,293.0	5,678.3	2,385.3
Fund Balances (Deficits) at April 1	5,401.0	5,400.0	5,400.7	(0.3)		911.0	911.4	0.4
Fund Balances (Deficits) at October 31, 2020	\$ 5,199.0	\$ 5,855.0	\$ 5,594.1	\$ 395.1		\$ 4,204.0	\$ 6,589.7	\$ 2,385.7

(*) Source: 2020-21 Enacted Financial Plan dated April 25, 2020.

(**) Source: 2020-21 Mid Year Update dated October 30, 2020.

**STATE OF NEW YORK
BUDGETARY BASIS - FINANCIAL PLAN AND ACTUAL
FISCAL YEAR 2020-2021
FOR SEVEN MONTHS ENDED OCTOBER 31, 2020
(amounts in millions)**

EXHIBIT D

	DEBT SERVICE FUNDS			
	Enacted Financial Plan (*)	Updated Financial Plan (**)	Actual Financial Plan	Actual Over/ (Under) Enacted Financial Plan Actual Over/ (Under) Updated Financial Plan
RECEIPTS:				
Taxes:				
Personal Income	\$ 14,001.0	\$ 14,873.0	\$ 14,695.3	\$ 694.3
Consumption/Use	3,571.0	3,668.0	3,660.7	89.7
Other	399.0	407.0	389.6	(9.4)
Miscellaneous Receipts	221.0	247.0	253.0	32.0
Federal Receipts	36.0	24.0	24.4	(11.6)
Transfers from Other Funds	1,171.0	1,286.0	1,376.6	205.6
Total Receipts and Other Financing Sources	19,399.0	20,505.0	20,399.6	1,000.6
				(105.4)
DISBURSEMENTS:				
Departmental Operations	19.0	30.0	29.6	10.6
Debt Service	1,335.0	1,319.0	1,318.8	(16.2)
Transfers to Other Funds	15,661.0	16,794.0	15,814.3	153.3
Total Disbursements and Other Financing Uses	17,015.0	18,143.0	17,162.7	147.7
				(980.3)
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	2,384.0	2,362.0	3,236.9	852.9
				874.9
Fund Balances (Deficits) at April 1	63.0	63.0	63.4	0.4
Fund Balances (Deficits) at October 31, 2020	\$ 2,447.0	\$ 2,425.0	\$ 3,300.3	\$ 853.3
				\$ 875.3

(*) Source: 2020-21 Enacted Financial Plan dated April 25, 2020.

(**) Source: 2020-21 Mid Year Update dated October 30, 2020.

STATE OF NEW YORK
BUDGETARY BASIS - FINANCIAL PLAN AND ACTUAL
FISCAL YEAR 2020-2021
FOR SEVEN MONTHS ENDED OCTOBER 31, 2020
(amounts in millions)

EXHIBIT D

CAPITAL PROJECTS FUNDS						
	Enacted Financial Plan (*)	Updated Financial Plan (**)	Actual	Eliminations	Total	Actual Over/ (Under) Enacted Financial Plan Updated Financial Plan
RECEIPTS:						
Taxes:						
Consumption/Use	\$ 298.0	\$ 306.0	\$ 299.2	\$ -	\$ 299.2	\$ 1.2
Business	309.0	326.0	316.5	-	316.5	7.5
Other	60.0	59.0	59.6	-	59.6	(0.4)
Miscellaneous Receipts	4,225.0	3,625.0	3,629.5	-	3,629.5	(595.5)
Federal Receipts	1,196.0	1,098.0	1,097.0	-	1,097.0	(99.0)
Bond and Note Proceeds, net	-	-	-	-	-	-
Transfers from Other Funds	2,071.0	1,043.0	1,184.5	-	1,184.5	(886.5)
Total Receipts and Other Financing Sources	8,159.0	6,457.0	6,586.3	-	6,586.3	(1,572.7)
DISBURSEMENTS:						
Local Assistance Grants	2,879.0	2,553.0	2,543.3	-	2,543.3	(335.7)
Capital Projects	5,712.0	4,219.0	4,144.7	-	4,144.7	(1,567.3)
Transfers to Other Funds	296.0	285.0	286.5	-	286.5	(9.5)
Total Disbursements and Other Financing Uses	8,887.0	7,057.0	6,974.5	-	6,974.5	(912.5)
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	(728.0)	(600.0)	(388.2)	-	(388.2)	339.8
Fund Balances (Deficits) at April 1	(1,035.0)	(1,035.0)	(1,034.9)	-	(1,034.9)	0.1
Fund Balances (Deficits) at October 31, 2020	\$ (1,763.0)	\$ (1,635.0)	\$ (1,423.1)	\$ -	\$ (1,423.1)	\$ 339.9

(*) Source: 2020-21 Enacted Financial Plan dated April 25, 2020.

(**) Source: 2020-21 Mid Year Update dated October 30, 2020.

STATE OF NEW YORK
BUDGETARY BASIS - FINANCIAL PLAN AND ACTUAL
FISCAL YEAR 2020-2021
FOR SEVEN MONTHS ENDED OCTOBER 31, 2020
(amounts in millions)

EXHIBIT D

	STATE CAPITAL PROJECTS FUNDS				FEDERAL CAPITAL PROJECTS FUNDS			
	Enacted Financial Plan (*)	Updated Financial Plan (**)	Actual	Actual Over/ (Under) Enacted Financial Plan	Enacted Financial Plan (*)	Updated Financial Plan (**)	Actual	Actual Over/ (Under) Enacted Financial Plan
RECEIPTS:								
Taxes:								
Consumption/Use	\$ 298.0	\$ 306.0	\$ 290.2	\$ 1.2	\$ (6.8)	\$ -	\$ -	\$ -
Business	309.0	326.0	316.5	7.5	(9.5)	-	-	-
Other	60.0	59.0	59.6	(0.4)	0.6	-	-	-
Miscellaneous Receipts	4,225.0	3,624.0	3,628.9	(596.1)	4.9	-	0.6	(0.4)
Federal Receipts	2.0	2.0	2.1	0.1	0.1	1,194.0	1,094.9	(99.1)
Bond and Note Proceeds, net	-	-	-	-	-	-	-	(1.1)
Transfers from Other Funds	2,240.0	1,043.0	1,184.5	(1,055.5)	141.5	(169.0)	-	189.0
Total Receipts and Other Financing Sources	7,134.0	5,960.0	5,490.8	(1,643.2)	130.8	1,025.0	1,097.0	70.5
DISBURSEMENTS:								
Local Assistance Grants	2,491.0	2,252.0	2,251.3	(239.7)	(0.7)	388.0	301.0	(86.0)
Capital Projects	4,979.0	3,421.0	3,364.3	(1,614.7)	(56.7)	733.0	798.0	47.4
Transfers to Other Funds	297.0	285.0	286.5	(10.5)	1.5	(1.0)	-	1.0
Total Disbursements and Other Financing Uses	7,767.0	5,959.0	5,902.1	(1,864.9)	(55.9)	1,120.0	1,099.0	(47.6)
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses								
	(633.0)	(598.0)	(411.3)	221.7	186.7	(95.0)	(2.0)	118.1
Fund Balances (Deficits) at April 1								
	(471.0)	(471.0)	(472.2)	(1.2)	(1.2)	(564.0)	(564.0)	1.3
Fund Balances (Deficits) at October 31, 2020	\$ (1,104.0)	\$ (1,069.0)	\$ (883.5)	\$ 220.5	\$ 185.5	\$ (659.0)	\$ (539.6)	\$ 119.4
								\$ 26.4

(*) Source: 2020-21 Enacted Financial Plan dated April 25, 2020.

(**) Source: 2020-21 Mid Year Update dated October 30, 2020.

STATE OF NEW YORK
GOVERNMENTAL FUNDS
COMPARATIVE SCHEDULE OF TAX RECEIPTS
(amounts in millions)

	TOTAL GOVERNMENTAL FUNDS										YEAR OVER YEAR		
	GENERAL		SPECIAL REVENUE		DEBT SERVICE		CAPITAL PROJECTS		TOTAL GOVERNMENTAL FUNDS		\$ Increase/ (Decrease)	% Increase/ Decrease	
	MONTH OF OCT. 2020	7 MOS. ENDED OCT. 31, 2020	MONTH OF OCT. 2020	7 MOS. ENDED OCT. 31, 2020	MONTH OF OCT. 2020	7 MOS. ENDED OCT. 31, 2020	MONTH OF OCT. 2020	7 MOS. ENDED OCT. 31, 2020	MONTH OF OCT. 2019	7 MOS. ENDED OCT. 31, 2019			
PERSONAL INCOME TAX													
Withholding	\$ 2,919.5	\$ 21,555.5	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 2,919.5	\$ 21,555.5	\$ 3,270.6	\$ 21,800.7	\$ (245.2)	-1.1%
Estimated Payments	176.8	10,912.6	-	-	-	-	-	176.8	10,912.6	170.9	12,482.5	(1,569.9)	-12.6%
Returns	528.0	3,170.5	-	-	-	-	-	528.0	3,170.5	564.2	3,131.7	38.8	1.2%
State/City Offsets	(444.9)	(869.7)	-	-	-	-	-	(444.9)	(869.7)	(475.4)	(918.4)	(16.7)	-2.0%
Other (Assessments/LLC)	133.3	639.8	-	-	-	-	-	133.3	639.8	104.3	752.7	(112.9)	-15.0%
Gross Receipts	3,312.7	35,378.7	-	-	-	-	-	3,312.7	35,378.7	3,634.6	37,249.2	(1,870.5)	-5.0%
Transfers to School Tax Relief Fund	(0.1)	(0.2)	-	-	-	-	-	-	-	-	-	-	0.0%
Transfers to Revenue Bond Tax Fund	(1,265.8)	(14,695.3)	-	-	1,265.8	14,695.3	-	-	(781.1)	(1,056.2)	(6,689.4)	(701.3)	0.0%
Less: Refunds Issued	(781.1)	(5,988.1)	-	-	-	-	-	-	(5,988.1)	-	-	(1,169.2)	-3.8%
Total	1,265.7	14,695.1	0.1	0.2	1,265.8	14,695.3	-	2,531.6	29,390.6	2,578.4	30,559.8	(1,169.2)	-3.8%
CONSUMPTION/USE TAXES													
Sales and Use	511.5	3,663.2	79.2	505.9	549.9	3,660.7	-	1,140.6	7,829.8	1,238.3	9,318.2	(1,488.4)	-16.0%
Auto Rental	-	-	-	4.9	-	-	0.1	0.1	31.4	(7.3)	57.2	(25.8)	-45.1%
Cigarette/Tobacco Products	25.3	191.9	55.7	436.2	-	-	81.0	628.1	93.8	638.7	(10.6)	(10.6)	-1.7%
Medical Marijuana	-	-	0.6	4.7	-	-	0.8	4.7	0.4	3.2	1.5	1.5	46.9%
Motor Fuel	-	-	8.3	52.6	-	-	31.3	36.6	246.6	47.3	313.9	(67.3)	-21.4%
Alcoholic Beverage	23.7	167.0	-	-	-	-	-	167.0	170.0	19.1	154.6	12.4	8.0%
Highway Use	-	-	-	0.2	-	-	10.6	10.6	78.9	14.3	85.4	(6.5)	-7.6%
Vapor Excise	-	-	-	18.7	-	-	-	-	18.7	-	-	18.7	100.0%
Opitoid Excise	6.1	22.5	-	-	-	-	-	6.1	22.5	-	-	22.5	100.0%
Total	566.6	4,044.6	144.0	1,023.2	549.9	3,660.7	42.0	1,302.5	9,027.7	1,405.9	10,571.2	(1,543.5)	-14.6%
BUSINESS TAXES													
Corporation Franchise	74.3	1,889.8	40.7	496.0	-	-	-	115.0	2,385.8	98.8	2,395.3	(9.5)	-0.4%
Corporation and Utilities	5.4	185.9	4.1	59.9	-	-	0.7	10.2	251.6	2.9	296.3	(44.7)	-15.1%
Insurance	21.9	810.1	1.2	94.7	-	-	-	23.1	904.8	1.4	1,043.5	(138.7)	-13.3%
Bank	(0.1)	146.4	0.5	18.3	-	-	-	0.4	164.7	(3.1)	(1.9)	166.6	8,768.4%
Petroleum Business	-	-	39.6	245.9	-	-	48.9	89.5	556.6	107.4	712.8	(156.2)	-21.9%
Total	101.5	3,032.2	86.1	914.8	-	-	50.6	238.2	4,263.5	207.4	4,446.0	(182.5)	-4.1%
OTHER TAXES													
Real Property Gains	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Estate and Gift	135.1	701.9	-	-	-	-	-	135.1	701.9	163.2	582.7	119.2	20.5%
Pari-Mutuel	1.6	6.4	-	-	-	-	-	1.6	6.4	1.0	10.1	(3.7)	-36.6%
Real Estate Transfer	-	-	-	-	65.8	399.0	12.0	77.8	448.6	85.2	671.4	(222.8)	-33.2%
Racing and Exhibitions	-	0.1	-	-	-	-	-	-	0.1	0.4	1.3	(1.2)	-62.3%
Employer Compensation Expense Tax	0.1	0.5	-	-	0.2	0.6	-	0.3	1.1	0.2	0.9	0.2	22.2%
Total	136.8	708.9	-	-	66.0	389.6	12.0	214.8	1,158.1	250.0	1,266.4	(108.3)	-8.6%
Total Tax Receipts	\$ 2,070.6	\$ 22,480.8	\$ 230.2	\$ 1,938.2	\$ 1,881.7	\$ 18,745.6	\$ 104.6	\$ 4,287.1	\$ 43,839.9	\$ 4,441.7	\$ 46,843.4	\$ (3,003.5)	-6.4%

**STATE OF NEW YORK
GOVERNMENTAL FUNDS (*)
STATEMENT OF CASH FLOW
FISCAL YEAR 2020-2021
(amounts in millions)**

	7 Months Ended October 31												% Increase/ Decrease
	2020 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2021 JANUARY	FEBRUARY	MARCH	
Beginning Fund Balance	\$ 14,284.8	\$ 20,544.4	\$ 17,650.3	\$ 20,623.6	\$ 28,288.0	\$ 27,457.2	\$ 25,800.5						
RECEIPTS:													
Personal Income Tax:													
Withholdings	3,187.3	2,928.3	3,096.3	3,400.3	2,876.6	3,147.2	2,919.5						
Estimated Payments	211.6	70.9	1,493.0	6,329.0	121.3	2,510.0	178.8						
Returns	338.1	124.7	280.9	1,785.1	68.3	83.4	529.0						
State/City Offsets	(89.6)	(38.6)	(69.4)	(187.0)	(28.1)	(71.7)	(444.9)						
Other Receipts	3,774.6	3,144.2	4,854.8	11,410.3	3,114.0	5,766.8	3,312.7						
Gross Receipts	7,721.0	4,854.8	11,410.3	20,623.6	28,288.0	27,457.2	25,800.5						
Transfers to School Tax Relief Fund	-	-	-	-	-	-	-						
Transfers to Revenue Bond Tax Fund	-	-	-	-	-	-	-						
Refunds Issued	(1,709.4)	(945.0)	(488.3)	(1,179.8)	(391.1)	(495.4)	(791.1)						
Total Personal Income Tax	2,066.2	2,199.2	4,388.5	10,230.7	2,723.0	5,271.4	2,518.6						
Consumption/Use Taxes:													
Sales and Use	889.4	790.8	1,210.0	1,132.4	1,146.4	1,540.2	1,146.6						
Auto Rental	0.5	(1.4)	13.5	2.1	2.1	14.5	0.1						
Cigarette/Tobacco Products	98.8	74.0	86.1	97.8	87.0	103.4	81.0						
Medical Marijuana	0.5	0.6	0.7	0.6	0.8	0.7	0.8						
Motor Fuel	50.3	21.4	31.5	38.7	42.5	41.6	38.6						
Alcoholic Beverage	11.6	28.4	22.6	28.4	23.4	23.4	17.2						
Hotel/Motel	11.0	8.9	12.0	12.0	10.3	12.2	10.6						
Vapor Excise	0.1	11.7	0.1	(0.4)	(0.1)	7.4	18.7						
Total Consumption/Use Taxes	7.2	913.8	1,387.7	1,319.5	1,312.1	1,744.1	1,302.5						
Business Taxes:	1,045.0	1,134.7	557.5	563.2	29.7	1,000.7	115.0						
Corporation Franchise	254.4	(134.7)	94.9	33.3	2.3	106.3	10.2						
Corporation and Utilities	15.6	10.3	364.0	33.3	16.0	391.7	23.1						
Insurance	70.2	6.5	91.8	0.7	48.5	15.3	0.4						
Bank	7.4	2.6	8.7	0.7	87.9	86.4	88.5						
Petroleum Business	88.3	38.8	85.7	87.0	87.0	88.4	88.5						
Total Business Taxes	415.9	486.8	1,133.9	784.4	181.5	1,612.4	238.2						
Other Taxes:													
Real Property Gains	-	-	-	-	-	-	-						
Estate and Gift	72.7	52.0	147.3	147.7	55.8	91.3	135.1						
Pari-Mutuel	0.7	0.2	0.8	1.0	1.4	0.7	1.6						
Real Estate Transfer	57.2	48.4	49.8	64.9	68.3	82.2	77.8						
Racing and Exhibitions	0.1	-	-	-	-	-	-						
Employer Compensation Expense Tax	0.2	(0.2)	0.2	0.2	-	0.2	0.3						
Total Other Taxes	130.9	100.4	198.1	213.8	125.7	174.4	214.8						
Total Taxes	3,658.0	3,118.6	7,149.2	12,482.4	4,342.3	8,802.3	4,287.1						
Miscellaneous Receipts:													
Abandoned Property:													
Abandoned Property	1.6	0.7	0.8	0.9	25.9	86.0	11.4						
Bottle Bill	0.7	0.3	20.6	18.4	0.1	43.2	2.1						
Assessments:													
Business	54.6	66.0	88.0	78.5	48.6	72.7	97.6						
Medical Care	571.2	468.8	508.1	442.6	463.6	520.0	508.0						
Public Utilities	0.1	-	0.4	4.4	0.4	45.4	(10.1)						
Other	-	0.1	-	-	-	-	-						
Fees, License and Permits:													
Alcohol Beverage Control Licensing	2.2	2.9	2.9	4.9	4.8	5.4	5.0						
Audit Fees	-	-	0.1	0.2	0.7	0.7	0.1						
Business/Professional:	69.0	71.8	116.0	55.6	58.3	128.6	96.5						
Criminal	0.6	0.4	0.2	1.6	88.8	10.2	10.5						
Motor Vehicle	(29.8)	187.3	200.9	187.3	103.6	128.9	105.0						
Recreational/Consumer	43.0	-	36.6	34.2	43.3	155.0	397.4						
Fines, Penalties and Forfeitures	100.9	233.7	18.3	201.3	6.9	16.3	80.1						
Gaming:													
Casino	-	-	-	20.8	-	8.0	33.4						
Lottery	157.0	142.1	173.8	202.2	195.9	199.3	168.3						
Video Lottery	-	0.6	-	(0.4)	-	33.8	59.6						
Interest Earnings	32.9	18.2	9.2	5.2	6.7	6.2	5.8						
Receipts from Public Authorities:													
Bond Proceeds	1,122.1	1,018.1	3,942.4	289.9	32.4	384.7	895.8						
Loss Recovery Assessments	0.5	1.4	25.5	24.7	-	1.8	30.2						
Non Bond Related	9.0	0.3	0.5	3.8	2.3	0.1	0.8						
Receipts from Municipalities	9.3	2.5	3.9	3.8	2.0	4.7	3.5						
Rentals	(4.6)	(41.7)	1.8	4.2	7.8	35.9	61.8						
Total Receipts	14,284.8	20,544.4	17,650.3	20,623.6	28,288.0	27,457.2	25,800.5						
Total Disbursements	14,284.8	20,544.4	17,650.3	20,623.6	28,288.0	27,457.2	25,800.5						
Ending Fund Balance	14,284.8	20,544.4	17,650.3	20,623.6	28,288.0	27,457.2	25,800.5						
Change in Fund Balance	0.0	0.0	0.0	0.0	0.0	0.0	0.0						

STATE OF NEW YORK
GOVERNMENTAL FUNDS (*)
STATEMENT OF CASH FLOW
FISCAL YEAR 2020-2021
(amounts in millions)

	7 Months Ended October 31												% Increase/ Decrease
	2020 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	JANUARY	FEBRUARY	MARCH	
Revenues of State Departments:													
Administrative Recoveries	25.0	8.8	25.4	9.1	8.9	25.6	24.5	8.9					27.0
Commissions	0.6	(0.3)	0.2	0.2	-	-	-	0.2					(1.9)
Gifts, Grants and Donations	0.6	-	-	-	-	-	-	-					-
Indirect Cost Recoveries	5.6	2.2	27.9	0.7	1.9	2.1	5.9	41.3					21.3
Interest on Bonds	529.8	5.4	37.4	5.7	5.9	5.7	29.4	5.0					47.8
Patient/Care Reimbursement	529.8	37.5	38.5	22.5	22.5	22.5	29.4	5.0					51.6
Real Estate	7.0	15.3	13.2	17.7	12.7	12.7	3.6	8.2					12.9
Recreation and Settlements	6.1	0.6	0.6	0.3	4.2	5.3	3.6	8.1					38.4
Student Loans	6.1	1.4	3.2	6.4	6.8	3.7	8.0	33.6					21.7
All Other	(19.5)	10.7	30.0	75.6	46.8	65.5	75.7	29.4					413.8
Tuition	0.5	0.6	2.6	3.0	0.6	0.7	1.8	9.8					(129.0)
Sales	(67.5)	33.6	56.9	50.9	113.3	375.7	172.8	15.0					(5.2)
Total Miscellaneous Receipts	2,637.2	2,438.7	5,557.9	1,978.6	1,550.7	2,444.4	2,831.9	735.7					16,961.7
Federal Receipts	10,853.1	4,207.0	7,520.2	5,423.2	4,591.2	10,136.1	7,609.2	-					12,614.7
Total Receipts	17,158.3	9,765.3	20,227.0	19,894.2	10,484.2	21,382.8	14,778.2	-					12,389.9
DISBURSEMENTS:													
Local Assistance Grants:													
Education	1,149.2	4,132.7	3,964.9	759.2	1,070.5	4,157.3	1,350.8						18,020.1
Environment and Recreation	4.5	3.7	14.0	12.9	20.6	15.6	7.7						125.6
General Government	48.5	17.7	543.7	67.4	109.0	3,937.2	322.4						1,401.0
Public Health:													
Medicaid	5,410.3	5,069.6	6,082.5	5,357.6	5,292.8	7,004.6	4,286.1						38,574.1
Other Public Health	602.5	638.5	980.4	1,028.7	701.7	1,078.5	815.2						5,910.8
Public Safety	85.3	63.6	163.3	265.2	71.2	151.0	634.7						866.3
Public Welfare	211.8	217.7	388.7	850.9	394.1	1,497.5	479.0						4,019.1
Support and Regulate Business	48.1	15.1	35.9	26.7	84.5	22.3	64.2						874.2
Transportation	59.2	18.1	27.0	82.0	6.5	6.5	2.6						3,143.3
Total Local Assistance Grants	7,660.2	10,270.5	12,246.0	9,234.0	8,224.0	18,472.1	8,705.1	-					72,703.5
Departmental Operations:													
Personal Service	1,589.5	1,135.9	1,116.4	1,278.8	1,053.9	1,527.0	1,119.2						8,994.7
Non-Personal Service	584.1	417.0	504.4	577.1	605.9	756.7	620.6						3,904.4
General State Charges	535.2	395.0	2,621.5	471.9	414.0	616.1	511.7						161.4
Debt Service, Including Payments on													6,009.4
Financing Agreements	36.5	23.5	28.9	10.7	337.6	841.8	36.8						1,025.1
Capital Projects	509.8	405.0	681.8	641.4	687.7	705.7	533.6						4,143.0
Total Disbursements	10,895.3	12,655.9	17,198.0	12,243.9	11,303.1	22,920.4	11,530.0	-					96,770.1
Excess (Deficiency) of Receipts over Disbursements	6,263.0	(2,891.6)	3,028.3	7,670.3	(815.9)	(1,537.6)	3,198.2	-					1,948.5
OTHER FINANCING SOURCES (USES):													
Bond and Note Proceeds (net)	-	-	-	-	-	-	-	-					(184.0)
Transfers from Other Funds	1,063.1	1,865.4	4,185.9	5,867.2	1,882.7	3,319.7	1,882.5						3,904.4
Transfers to Other Funds	(1,066.5)	(1,860.9)	(4,240.9)	(5,983.1)	(1,884.6)	(3,438.9)	(1,888.4)						(444.0)
Total Other Financing Sources (Uses)	(3.4)	(2.9)	(85.0)	(5.9)	(11.9)	(119.1)	(6.9)	-					(105.3)
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	6,259.6	(2,894.1)	2,973.3	7,664.4	(830.9)	(1,656.7)	3,191.3	-					1,843.2
Ending Fund Balance	\$ 20,544.4	\$ 17,650.3	\$ 20,623.6	\$ 28,288.0	\$ 27,457.2	\$ 25,300.5	\$ 28,991.8	\$ -					\$ 14,551.8

(*) Governmental Funds includes General, Special Revenue, Debt Service and Capital Projects Funds combined.

STATE OF NEW YORK
GOVERNMENTAL FUNDS
STATEMENT OF CASH FLOW - STATE OPERATING (*)
FISCAL YEAR 2020-2021
(amounts in millions)

	2020 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2021 JANUARY	FEBRUARY	MARCH	2020 \$	2019 \$	7 Months Ended October 31 \$	% Increase/ Decrease
Beginning Fund Balance	\$ 14,408.3	\$ 16,171.6	\$ 13,342.7	\$ 14,665.2	\$ 22,665.9	\$ 22,416.0	\$ 22,563.0							\$ 12,361.3	\$ 2,047.0	16.8%
RECEIPTS:																
Taxes:																
Personal Income Tax:																
Withholdings	3,187.3	2,928.3	3,096.3	3,400.3	2,876.6	3,147.2	2,919.5						21,555.5	21,800.7	(245.2)	-1.1%
Estimated Payments	211.6	70.9	1,469.0	6,326.0	121.3	2,510.0	176.8						10,912.6	12,482.5	(1,569.9)	-12.6%
Returns	336.1	124.7	260.9	1,765.1	69.3	83.4	528.0						3,170.5	3,131.7	38.8	1.2%
State City/Offices	(68.6)	(38.9)	(187.0)	(187.0)	(26.1)	(17.7)	(44.9)						(659.7)	(918.4)	(258.7)	-31.0%
Other (Net of Lottery)	(108.9)	(58.0)	(83.4)	(187.0)	(26.1)	(17.7)	(44.9)						(659.7)	(918.4)	(258.7)	-31.0%
Gross Receipts	3,775.6	3,144.2	4,354.8	11,410.5	3,114.1	5,766.8	3,312.7						35,378.7	37,249.2	(1,870.5)	-5.0%
Transfers to School Tax Relief Fund	-	-	-	-	-	-	-						-	-	-	0.0%
Transfers to Revenue Bond Tax Fund	-	-	-	-	-	-	-						-	-	-	0.0%
Refunds Issued	(1,709.4)	(845.0)	(468.3)	(1,179.9)	(391.1)	(495.4)	(781.1)						(6,598.1)	(701.3)	(701.3)	-10.5%
Total Personal Income Tax	2,066.2	2,199.2	4,386.5	10,230.7	2,723.0	5,271.4	2,531.6						29,390.6	(1,169.2)	(1,169.2)	-3.8%
Consumption/Use Taxes:																
Real Property Use	869.4	790.8	1,210.0	1,132.4	1,146.4	1,540.2	1,140.6						7,829.8	8,319.2	(489.4)	-5.9%
Auto Rental	(61.1)	(1.5)	3.4	2.1	2.1	(1.1)							4.9	7.5	(2.6)	-32.9%
Cigarette/Tobacco Products	98.8	74.0	96.1	97.8	87.0	103.4	81.0						628.1	638.7	(10.6)	-1.7%
Medical Marijuana	0.5	0.6	0.7	0.6	0.8	0.7	0.8						4.7	3.2	1.5	46.9%
Motor Fuel	6.5	4.7	6.6	8.5	9.1	8.9	8.3						52.6	66.5	(13.9)	-20.9%
Alcoholic Beverage	26.7	21.4	22.8	26.0	23.1	23.3	23.7						164.6	154.6	12.4	8.0%
Highway Use	0.1	0.1	0.1	0.1	0.1	0.1	0.1						0.3	0.3	0.0	0.0%
Other	0.1	0.1	0.1	(0.4)	0.1	7.4	19.2						18.2	18.2	0.0	0.0%
Opinion Expense	7.2	-	-	8.9	-	0.3	6.1						22.5	22.5	0.0	0.0%
Total Consumption/Use Taxes	1,009.0	890.2	1,341.3	1,275.9	1,268.5	1,683.1	1,260.5						8,728.5	10,188.3	(1,460.3)	-14.3%
Business Taxes:																
Corporation Franchise	254.4	(134.7)	557.5	563.2	29.7	1,000.7	115.0						2,395.8	2,395.3	(0.5)	-0.4%
Corporation and Utilities	15.5	(9.5)	84.3	29.7	2.2	104.1	9.5						245.8	288.0	(42.2)	-14.7%
Business License	72.2	3.2	38.1	30.2	38.7	381.3	20.1						1,043.3	1,043.3	0.0	0.0%
Business	30.3	17.6	37.7	38.8	36.7	48.5	39.6						164.9	164.9	0.0	0.0%
Petroleum Business	37.8	(117.5)	1,145.3	665.7	133.1	1,555.0	187.6						245.9	312.8	(66.9)	-21.4%
Total Business Taxes	377.8	(117.5)	1,145.3	665.7	133.1	1,555.0	187.6						3,947.0	4,037.7	(90.7)	-2.2%
Other Taxes:																
Real Property Gains	-	-	-	-	-	-	-						-	-	-	0.0%
Estate and Gift	72.7	52.0	147.3	147.7	55.8	91.3	135.1						701.9	562.7	119.2	20.9%
Real Estate	0.2	0.2	0.2	0.2	0.2	0.2	0.2						1.1	1.1	0.0	0.0%
Real Estate Transfer	57.2	48.4	37.9	53.0	56.4	70.3	65.8						389.0	61.8	(327.2)	-52.1%
Racing and Exhibitions	0.1	-	-	-	-	-	-						0.1	0.1	0.0	0.0%
Employer Compensation Expense Tax	0.2	(0.2)	0.2	0.2	0.2	0.2	0.3						1.1	0.9	0.2	22.2%
Total Other Taxes	130.9	100.4	186.2	201.9	113.8	162.5	202.8						1,098.5	1,206.8	(108.3)	-9.0%
Total Taxes	3,589.9	3,072.3	7,041.3	12,374.2	4,238.4	8,672.0	4,192.5						43,164.6	45,993.1	(2,828.5)	-6.1%
Miscellaneous Receipts:																
Abandoned Property	1.6	0.7	0.8	0.9	25.9	86.0	11.4						127.3	78.1	49.2	63.0%
Bottle Bill	0.7	0.3	20.6	(4.6)	0.1	43.2	2.1						62.4	48.5	13.9	28.7%
Assessments:																
Business	42.7	21.8	62.4	69.8	33.4	65.5	88.9						404.5	423.7	(19.2)	-4.5%
Medical Care	571.2	486.8	506.1	442.6	453.6	520.0	508.0						3,479.3	3,823.7	(344.4)	-9.0%
Video Lottery	0.1	0.1	0.1	0.1	0.1	0.1	0.1						0.1	0.1	0.0	0.0%
Other	-	-	-	-	-	-	-						-	-	(0.4)	-80.0%
Fees, Licenses and Permits:																
Alcohol Beverage Control Licensing	2.2	2.9	2.9	4.9	4.8	5.4	5.0						28.1	44.4	(16.3)	-36.7%
Audit Fees	-	-	0.1	0.2	0.7	0.7	0.1						1.8	2.6	(0.8)	-30.8%
Business/Professional	67.2	69.8	114.4	54.3	46.0	125.5	64.0						541.2	551.6	(10.4)	-1.9%
Charitable	3.3	3.3	3.3	3.3	3.3	3.3	3.3						16.8	16.8	0.0	0.0%
Other	16.6	0.4	0.2	1.6	88.8	(8.9)	16.5						4.3	4.3	0.0	0.0%
Motor Vehicle	(82.0)	(33.2)	144.2	140.3	40.2	71.5	44.3						325.3	366.0	(40.7)	-11.1%
Recreational/Consumer	43.0	-	36.1	34.2	32.3	147.3	85.2						378.1	521.7	(143.6)	-27.5%
Fines, Penalties and Forfeitures	98.6	231.6	16.1	199.9	3.5	13.8	78.1						641.6	1,181.3	(539.7)	-45.7%
Gaming:	-	-	-	-	-	-	-						62.2	179.7	(117.5)	-65.4%
Casino	157.0	142.1	173.8	20.9	185.9	193.3	193.3						1,224.6	1,035.4	189.2	18.3%
Video Lottery	0.6	0.6	0.6	(0.4)	3.8	3.8	59.8						59.8	59.8	0.0	0.0%
Interest Earnings	29.2	13.8	7.7	4.3	5.4	5.3	5.0						70.7	252.2	(181.5)	-72.0%
Receipts from Public Authorities:	-	-	-	-	-	-	-						4,500.0	-	4,500.0	100.0%
Bond Proceeds	-	1,000.0	3,500.0	-	-	-	-						8.9	46.7	(37.8)	-80.9%
Cost Recovery Assessments	-	-	-	-	-	-	-						-	-	-	0.0%

**STATE OF NEW YORK
GOVERNMENTAL FUNDS
STATEMENT OF CASH FLOW - STATE OPERATING (*)
FISCAL YEAR 2020-2021
(amounts in millions)**

	2020 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2021 JANUARY	FEBRUARY	MARCH	2020 2019	7 Months Ended October 31	\$ Increase/ (Decrease)	% Increase/ Decrease
Insurance Fees	0.5	1.4	25.5	24.7	8.9	25.6	30.2	1.8	1.8	30.2	24.5	84.1	40.7	43.4	(2.7)	108.9%
Non-Bond Related	9.3	3.5	3.8	3.6	1.9	4.7	1.4	1.9	1.4	1.4	1.4	1.4	1.4	1.4	(0.1)	-7.1%
Revenues from Municipalities	9.3	2.5	3.8	3.6	1.7	4.7	3.4	1.7	1.7	3.4	29.2	52.6	52.6	52.6	(0.1)	-0.2%
Rentals	(5.1)	(42.8)	(0.3)	2.0	4.0	34.7	61.0	4.0	34.7	61.0	53.5	53.5	173.2	(119.7)	(68.1%)	-69.1%
Revenues of State Departments:	25.0	8.8	25.4	9.1	8.9	25.6	24.5	25.6	24.5	24.5	127.3	100.3	100.3	27.0	26.9%	26.9%
Administrative Recoveries	0.6	(0.3)	0.2	0.2	-	1.3	0.2	-	1.3	0.2	2.2	4.1	4.1	(1.9)	-46.3%	-46.3%
Commissions	0.6	1.5	22.1	0.7	0.6	0.5	5.1	0.5	5.1	0.5	31.1	31.1	31.1	25.3	81.0%	81.0%
Commissions - Asset Conversion	0.6	1.5	22.1	0.7	0.6	0.5	5.1	0.5	5.1	0.5	31.1	31.1	31.1	25.3	81.0%	81.0%
Indirect Cost Recoveries	5.5	5.4	7.4	5.9	6.1	5.7	5.0	5.7	5.0	5.0	41.0	41.0	41.0	48.7	118.8%	118.8%
Indirect Cost Recoveries - Patient/Client Care Reimbursement	529.5	372.8	350.6	227.5	221.8	79.0	291.4	79.0	291.4	206.9	1,554.1	2,069.6	1,554.1	515.5	33.2%	33.2%
Rebates	0.1	6.6	5.7	10.2	5.3	5.0	2.3	5.0	2.3	35.2	43.8	43.8	43.8	(8.6)	-19.6%	-19.6%
Restitution and Settlements	3.9	0.4	0.6	0.2	41.8	3.9	0.3	3.9	0.3	51.1	17.7	33.4	17.7	33.4	188.7%	188.7%
Student Loans	6.1	1.4	3.2	6.4	6.8	3.7	6.0	3.7	6.0	33.6	41.5	41.5	41.5	(7.9)	-19.0%	-19.0%
All Other	(20.1)	0.6	1.2	75.3	46.5	51.8	70.9	46.5	51.8	250.1	371.5	371.5	371.5	(121.4)	-32.7%	-32.7%
State	6.5	0.6	1.2	75.3	46.5	51.8	70.9	46.5	51.8	250.1	371.5	371.5	371.5	(121.4)	-32.7%	-32.7%
Tuition	(67.5)	33.6	56.9	50.9	1,139.1	375.7	1,728.8	375.7	1,728.8	735.7	1,843.6	1,843.6	1,843.6	(131.7)	-7.2%	-7.2%
Total Miscellaneous Receipts	1,431.2	2,321.8	5,133.0	1,602.6	1,393.1	1,955.2	1,843.6	1,955.2	1,843.6	-	15,686.5	15,686.5	15,686.5	2,523.3	16.2%	16.2%
Federal Receipts	-	-	4.1	(4.1)	2.5	49.9	12.9	49.9	12.9	-	65.3	65.3	65.3	54.8	10.5%	10.5%
Total Receipts	5,015.1	5,394.1	12,178.4	13,972.7	5,640.0	10,677.1	6,039.0	10,677.1	6,039.0	-	58,916.4	58,916.4	58,916.4	(294.7)	-0.5%	-0.5%
DISBURSEMENTS:																
Local Assistance Grants:																
Education	754.3	4,065.1	3,409.1	563.6	856.2	3,861.8	1,200.2	3,861.8	1,200.2	14,710.3	14,710.3	14,710.3	14,710.3	(1,103.1)	-7.0%	-7.0%
Environment and Recreation	0.1	0.3	-	0.2	(0.1)	0.4	0.7	0.4	0.7	1.6	2.8	2.8	2.8	(1.2)	-42.9%	-42.9%
General Government	16.1	4.6	500.0	20.4	33.6	62.5	77.7	62.5	77.7	714.9	823.0	823.0	823.0	(108.1)	-13.1%	-13.1%
Public Health:																
Other Public Health	757.7	1,757.8	2,467.4	2,043.9	1,856.5	2,135.5	1,416.5	2,135.5	1,416.5	12,485.3	12,485.3	12,485.3	12,485.3	(2,759.4)	-22.1%	-22.1%
Public Safety	20.9	72.8	307.2	486.1	119.0	438.1	249.0	438.1	249.0	1,784.7	1,886.5	1,886.5	1,886.5	(121.8)	-6.5%	-6.5%
Public Welfare	77.0	158.2	61.4	578.1	57.1	428.8	70.3	428.8	70.3	1,428.9	1,259.8	1,259.8	1,259.8	189.1	13.4%	13.4%
Support and Regulate Business	4.6	7.1	9.0	5.4	11.0	10.9	9.7	10.9	9.7	57.7	114.9	114.9	114.9	(57.2)	-49.8%	-49.8%
Transportation	61.7	41.7	18.5	728.3	357.9	243.1	256.4	243.1	256.4	3,705.6	2,043.9	2,043.9	2,043.9	(339.3)	-16.6%	-16.6%
Total Local Assistance Grants	1,783.9	6,118.7	6,807.1	4,440.9	3,319.6	7,213.1	3,267.8	7,213.1	3,267.8	32,953.7	32,953.7	32,953.7	32,953.7	(4,402.7)	-11.8%	-11.8%
Debt and Capital Projects:																
Capital Projects	1,434.8	1,094.9	955.5	1,114.0	980.1	1,443.0	987.4	1,443.0	987.4	8,089.7	8,556.8	8,556.8	8,556.8	(526.1)	-6.1%	-6.1%
Non-Personal Service	543.3	372.9	335.8	(309.2)	394.5	529.7	432.0	529.7	432.0	2,269.0	3,155.0	3,155.0	3,155.0	(886.0)	-27.4%	-27.4%
General State Charges	512.5	370.3	2,582.6	388.2	343.3	592.6	434.0	592.6	434.0	5,223.5	5,819.3	5,819.3	5,819.3	(595.8)	-10.2%	-10.2%
Debt Service, Including Payments on	36.5	23.5	28.9	10.7	337.6	841.8	39.8	841.8	39.8	1,318.8	1,025.1	1,025.1	1,025.1	293.7	28.7%	28.7%
Financing Agreements	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%	0.0%
Capital Projects	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
Total Disbursements	4,373.0	7,970.3	10,709.9	5,645.6	5,375.1	10,619.8	5,191.0	10,619.8	5,191.0	-	49,834.7	49,834.7	49,834.7	(6,096.9)	-10.9%	-10.9%
Excess (Deficiency) of Receipts over Disbursements	642.1	(2,576.2)	1,468.5	8,327.1	264.9	57.3	848.0	57.3	848.0	-	9,031.7	3,229.5	3,229.5	5,802.2	176.7%	176.7%
OTHER FINANCING SOURCES (USES):																
Transfers from Other Funds (**)	1,939.1	1,674.9	3,796.1	5,367.5	1,326.8	3,217.1	2,037.9	3,217.1	2,037.9	-	19,359.4	24,341.6	24,341.6	(4,982.2)	-20.5%	-20.5%
Transfers to Other Funds (**)	(817.9)	(1,727.6)	(4,202.1)	(5,633.9)	(1,841.6)	(3,107.4)	(1,643.7)	(3,107.4)	(1,643.7)	-	(18,974.2)	(25,162.3)	(25,162.3)	(6,188.1)	-24.6%	-24.6%
Total Other Financing Sources (Uses)	1,121.2	(92.7)	(406.0)	(266.4)	(514.8)	109.7	394.2	109.7	394.2	-	385.2	(820.7)	(820.7)	1,205.9	146.9%	146.9%
Excess (Deficiency) of Receipts over Disbursements and Other Financing Sources (Uses)	1,763.3	(2,628.9)	1,062.5	8,060.7	(249.9)	167.0	1,242.2	167.0	1,242.2	-	9,416.9	2,408.8	2,408.8	7,008.1	290.9%	290.9%
Ending Fund Balance	\$ 16,171.6	\$ 13,542.7	\$ 14,805.2	\$ 22,665.9	\$ 22,416.0	\$ 22,583.0	\$ 23,825.2	\$ 22,583.0	\$ 23,825.2	\$ -	\$ -	\$ -	\$ 14,770.1	\$ 9,055.1	61.3%	61.3%

(*) State Operating Funds are comprised of the General Fund, State Special Revenue Funds supported by activities from dedicated revenue sources (including operating transfers from Federal funds) and Debt Service Funds.
(**) Eliminations between State and Federal Special Revenue Funds are not included.

EXHIBIT F

Beginning Fund Balance	2020		MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2021		7 Months Ended October 31	% Increase/Decrease	% Increase/Decrease									
	\$	APRIL																						
											2020	2021												
\$	8,944.2	\$	10,082.5	\$	7,310.2	\$	6,833.6	\$	14,363.0	\$			13,527.7	\$	15,441.8	\$	7,205.7	\$	8,944.2	\$	7,057.7	\$	1,385.5	24.1%
RECEIPTS:																								
Taxes:																								
Personal Income Tax:																								
Withholdings	3,187.3	2,928.3	3,096.3	3,400.3	2,876.6	3,147.2	2,919.5						21,555.5	21,800.7	(245.2)	-1.1%								
Estimated Payments	211.6	70.9	1,493.0	6,329.0	121.3	2,510.0	1,768						10,912.6	12,482.5	(1,569.9)	-12.6%								
Refunds	339.1	124.7	280.9	1,765.1	69.3	83.4	528						3,170.5	3,131.7	38.8	1.2%								
State/City Offsets	(69.9)	(68.4)	(69.9)	(187.0)	(28.1)	(71.7)	(444.9)						(689.7)	(618.4)	(71.3)	-2.0%								
Other Assets (Net of Liabilities)	3,776.6	3,144.2	4,854.8	11,410.5	3,115.0	5,764.8	3,332.7						35,379.7	37,249.2	(1,869.5)	-5.0%								
Transfers to School Tax Relief Fund	-	-	-	-	-	-	(0.1)						(6.2)	(0.2)	-	0.0%								
Transfers to Revenue Bond Tax Fund	(1,033.1)	(1,099.6)	(2,184.2)	(5,115.4)	(1,361.5)	(2,635.7)	(1,265.8)						(14,695.3)	(15,279.9)	(584.6)	-3.8%								
Refunds Issued	(1,708.4)	(1,708.4)	(468.3)	(1,179.8)	(391.1)	(495.4)	(781.1)						(6,589.4)	(6,589.4)	(701.3)	-10.5%								
Total Personal Income Tax	1,033.1	1,099.6	2,184.3	5,115.3	1,361.5	2,635.6	1,265.7						14,695.1	15,279.7	(584.6)	-3.8%								
Consumption/Use Taxes:																								
Sales and Use	394.9	389.9	572.4	530.0	536.5	749.0	511.5						3,663.2	4,336.7	(673.5)	-18.5%								
Auto Rental	-	-	-	-	-	-	-						-	-	-	0.0%								
Cigarette	30.0	22.7	25.9	29.0	26.6	32.4	25.3						191.9	194.6	(2.7)	0.0%								
Tobacco Products	-	-	-	-	-	-	-						-	-	-	0.0%								
Motor Fuel	26.7	21.4	22.8	26.0	23.1	23.3	23.7						167.0	154.6	12.4	8.0%								
Alcoholic Beverage	-	-	-	-	-	-	-						-	-	-	0.0%								
Highway Use	-	-	-	-	-	-	-						-	-	-	0.0%								
Vapor Excise	-	-	-	-	-	-	-						-	-	-	0.0%								
Opioid Excise	7.2	-	-	8.9	-	-	0.3						22.5	-	22.5	100.0%								
Total Consumption/Use Taxes	458.8	414.0	671.1	593.9	586.2	804.0	566.6						4,044.6	4,935.9	(891.3)	-22.3%								
Business Taxes:																								
Franchise	197.4	(131.5)	447.4	449.2	181.5	835.0	74.3						1,893.8	1,875.7	18.1	0.8%								
Corporate and Utilities	13.3	(2.6)	73.8	12.7	3.6	78.7	5.4						185.9	209.1	(23.2)	-11.1%								
Insurance	63.0	325.4	28.9	15.6	348.4	21.9	184.4						810.1	922.2	(112.1)	-12.2%								
Bank	6.1	2.0	78.9	0.7	40.4	18.4	(0.1)						146.4	(5.2)	151.6	2,915.4%								
Petroleum Business	-	-	-	-	-	-	-						-	-	-	0.0%								
Total Business Taxes	278.8	(125.2)	925.5	491.5	77.6	1,281.5	101.5						3,032.2	3,001.8	30.4	1.0%								
Other Taxes:																								
Real Property/Gains	-	-	-	-	-	-	-						-	-	-	0.0%								
Real Property/Gift	72.7	52.0	147.3	147.7	55.8	91.3	135.1						701.9	582.7	119.2	20.5%								
Paid-Matruel	0.7	0.2	0.8	1.0	1.4	0.7	1.6						6.4	10.1	(3.7)	-36.6%								
Real Estate Transfer	-	-	-	-	-	-	-						-	-	-	0.0%								
Racing and Exhibitions	0.1	-	-	-	-	-	-						0.1	1.3	(1.2)	-92.3%								
Employer Compensation Expense Tax	-	-	-	-	-	-	-						0.5	0.4	0.1	25.0%								
Total Other Taxes	73.6	52.1	148.2	148.8	57.3	92.1	136.8						708.9	594.5	114.4	16.2%								
Total Taxes	1,845.3	1,400.5	3,979.1	6,349.5	2,082.6	4,813.2	2,070.6						22,480.8	23,861.9	(1,081.1)	-4.6%								
Miscellaneous Receipts:																								
Abandoned Property	0.4	-	-	-	-	25.1	84.8	10.6					120.9	71.3	49.6	68.6%								
Abandoned Property	0.7	0.3	20.6	(4.6)	0.1	43.2	43.2	2.1					62.4	48.5	13.9	28.7%								
Bottle Bill	-	-	-	-	-	-	-	-					-	-	-	0.0%								
Business:	-	-	-	-	-	-	-	-					-	-	-	0.0%								
Medical Care	1.9	2.1	1.7	1.8	2.1	2.7	3.3						15.6	19.3	(3.7)	-19.2%								
Public Utilities	-	-	-	-	-	-	-	-					0.1	0.3	(0.2)	-66.7%								
Other	-	0.1	-	-	-	-	-	-					-	-	-	0.0%								
Fees, Licenses and Permits:	-	-	-	-	-	-	-	-					-	-	-	0.0%								
Alcohol Beverage Control Licensing	2.2	2.9	2.9	4.9	4.8	5.4	5.0						28.1	44.4	(16.3)	-36.7%								
Audit Fees	19.0	(0.6)	27.5	13.5	9.7	30.7	17.9						119.1	142.8	(23.7)	-0.0%								
Business/Professional	0.9	0.9	2.9	1.7	8.7	(14.5)	9.5						84.3	132.1	(47.8)	-36.2%								
Civil	0.1	0.1	0.1	0.1	0.1	0.1	0.1						0.7	1.0	(0.3)	-30.0%								
Criminal	(100.4)	(49.0)	127.2	109.7	13.2	43.2	28.5						172.4	197.6	(25.2)	-12.8%								
Motor Vehicle	-	-	0.1	(0.1)	0.5	3.0	1.9						5.4	11.0	(5.6)	-50.9%								
Recreational/Consumer	-	-	-	-	-	-	-						54.0	983.5	(423.5)	-44.0%								
Fines, Penalties and Forfeitures	88.9	226.0	14.7	186.1	3.3	8.2	12.8						29.0	112.2	(83.2)	-74.2%								
Interest Earnings	15.6	5.9	1.6	0.9	1.4	1.9	1.7						4,500.0	100.0%	4,500.0	100.0%								
Receipts from Public Authorities:	-	-	-	-	-	-	-						-	-	-	0.0%								
Grant Proceeds	-	1,000.0	3,500.0	-	-	-	-						4,500.0	18.0	(4,500.0)	-100.0%								
Grant Assessments	-	-	-	-	-	-	-						33.5	43.4	(9.9)	-29.3%								
Insurance Fees	-	-	-	24.7	-	1.8	30.2						76.9	35.5	41.4	129.6%								
Non Bond Related	-	-	-	-	-	-	-						25.2	(25.2)	(50.4)	-100.0%								
Receipts from Municipalities	-	-	-	-	-	-	-						0.1	16.8	(16.7)	-99.4%								
Rentals	0.2	0.1	0.1	0.1	0.2	0.1	0.1						0.8	1.4	(0.6)	-42.9%								
Revenues of State Departments:	-	-	-	-	-	-	-						-	-	-	0.0%								
Administrative Recoveries	0.4	0.3	16.7	0.4	0.1	15.5	0.3						33.7	40.7	(7.0)	-17.2%								
Commissions	(0.4)	0.1	-	(0.3)	0.5	(0.5)	(0.5)						0.3	0.3	(0.0)	-166.7%								
Grants and Donations	-	-	-	-	-	-	-						-	-	-	0.0%								
Indirect Cost Recoveries	5.5	5.4	7.4	5.9	6.1	5.7	5.0						41.0	47.8	(6.8)	-14.2%								
Patient/Client Care Reimbursement	2.2	2.2	2.2	2.2	2.2	2.2	49.3						(20.9)	5.7	27.3%	27.3%								
Rebates	1.7	0.9	(0.9)	3.2	3.2	3.2	(0.7)						2.8	0.5	17.9%	17.9%								
Restitution and Settlements	0.2	-	-	0.1	-	-	-						0.3	0.4	(0.1)	-25.0%								

EXHIBIT F

STATE OF NEW YORK
GENERAL FUND
STATEMENT OF CASH FLOW
FISCAL YEAR 2020-2021
(amounts in millions)

	2020 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2021 JANUARY	FEBRUARY	MARCH	2020 2019	7 Months Ended October 31 (\$ Increase/ Decrease)	% Increase/ Decrease
Student Loans	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
All Other	4.8	4.5	4.9	11.9	6.1	3.2	12.7	-	-	-	-	-	48.1	48.1	-2.0%
Sales	37.3	1,254.0	3,752.2	342.3	166.1	128.3	190.2	-	-	-	-	-	1,958.1	3,911.3	100.0%
Total Miscellaneous Receipts	-	-	-	-	-	0.1	-	-	-	-	-	-	0.1	(0.3)	-75.0%
Federal Receipts	1,882.6	2,684.5	7,631.3	6,691.8	2,248.7	4,941.6	2,260.8	-	-	-	-	-	25,521.4	2,828.9	11.1%
Total Receipts	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
DISBURSEMENTS:															
Local Assistance Grants:															
Local Assistance Grants	754.2	4,030.1	3,112.1	563.3	856.3	1,571.5	1,051.2	-	-	-	-	-	12,802.4	(893.7)	-6.7%
Environment and Recreation	0.1	-	-	-	(0.1)	-	-	-	-	-	-	-	2.0	(2.0)	-100.0%
General Government	6.8	0.7	483.7	12.4	0.6	51.7	82.4	-	-	-	-	-	70.4	(78.1)	-11.2%
Public Health:															
Medicaid	229.4	1,283.6	2,466.9	1,220.8	1,431.8	1,614.5	999.5	-	-	-	-	-	11,631.4	(2,384.9)	-20.5%
Other Public Health	63.9	47.3	239.8	433.9	72.7	247.3	183.6	-	-	-	-	-	1,369.9	(71.4)	-5.2%
Public Safety	2.9	1.4	0.3	2.7	7.9	14.1	7.3	-	-	-	-	-	104.9	(68.3)	-65.1%
Public Welfare	76.9	158.0	61.4	577.5	57.1	426.7	70.3	-	-	-	-	-	1,256.5	171.4	13.6%
Support and Regulate Business	5.0	14.1	4.6	5.0	4.6	2.0	2.9	-	-	-	-	-	5.0	(18.8)	-73.6%
Transfers to Other State Funds	0.1	-	-	24.5	12.7	-	-	-	-	-	-	-	67.0	(18.8)	-31.8%
Total Local Assistance Grants	1,138.9	5,527.8	6,378.8	2,839.9	2,443.3	3,933.4	2,366.2	-	-	-	-	-	28,027.2	(3,368.9)	-12.0%
Departmental Operations:															
Personal Service	883.7	691.3	564.6	738.8	663.2	835.8	588.0	-	-	-	-	-	5,471.3	(485.9)	-8.9%
Non-Personal Service	313.2	195.2	165.0	(506.7)	222.4	260.7	183.6	-	-	-	-	-	1,484.7	(651.3)	-43.9%
General State Charges	460.2	330.5	2,511.9	335.9	271.1	476.3	387.4	-	-	-	-	-	5,300.2	(528.9)	-9.9%
Total Disbursements	2,806.0	6,744.8	9,620.3	3,407.9	3,600.0	5,506.2	3,565.2	-	-	-	-	-	40,283.4	(5,033.0)	-12.5%
Excess (Deficiency) of Receipts over Disbursements	(923.4)	(4,060.3)	(1,988.0)	3,283.9	(1,351.3)	(564.8)	(1,304.4)	-	-	-	-	-	(6,899.1)	7,862.9	53.3%
OTHER FINANCING SOURCES (USES):															
Transfers from Revenue Bond Tax Fund	1,032.9	1,098.9	2,178.3	4,323.6	487.1	1,888.6	665.9	-	-	-	-	-	15,445.7	(3,769.4)	-24.4%
Transfers from LGAC / STRBTF	284.6	162.2	560.4	420.1	426.7	656.4	440.2	-	-	-	-	-	3,823.7	(873.1)	-22.8%
Transfers from CWICA Fund	43.8	48.4	37.5	50.5	52.3	66.1	61.8	-	-	-	-	-	579.4	(219.0)	-37.8%
Transfers from Other Funds	74.9	193.6	83.5	126.5	195.3	151.5	45.0	-	-	-	-	-	798.5	70.8	8.9%
Transfers to State Capital Projects	800.3	(203.8)	(312.4)	(306.1)	(565.6)	(228.0)	(60.8)	-	-	-	-	-	(1,428.3)	(520.9)	-36.5%
Transfers to All Other Capital Projects	-	-	(30.5)	(204.0)	-	(16.5)	(75.6)	-	-	-	-	-	(803.0)	(652.0)	-88.7%
Transfers to General Debt Service	(32.0)	1.7	(3.7)	(62.7)	4.0	21.6	(75.6)	-	-	-	-	-	(168.7)	(168.7)	-53.1%
Transfers to All Other State Funds	(142.5)	(23.9)	(67.7)	(82.4)	(108.9)	(59.9)	(23.1)	-	-	-	-	-	(1,069.9)	(43.1)	-2.9%
Total Other Financing Sources (Uses)	2,061.7	1,278.0	1,542.4	4,235.5	491.0	2,483.7	793.4	-	-	-	-	-	16,371.5	(3,485.8)	-21.3%
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	1,138.3	(2,772.3)	(446.6)	7,519.4	(860.3)	1,918.1	(511.0)	-	-	-	-	-	1,608.6	4,377.1	272.0%
Ending Fund Balance	\$ 10,082.5	\$ 7,310.2	\$ 6,863.6	\$ 14,383.0	\$ 13,522.7	\$ 15,441.8	\$ 14,930.8	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 8,815.2	\$ 6,115.6	69.4%

STATE OF NEW YORK
SPECIAL REVENUE FUNDS - COMBINED
STATEMENT OF CASH FLOW
FISCAL YEAR 2020-2021
(amounts in millions)

EXHIBIT G

	2020 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2021 JANUARY	FEBRUARY	MARCH	Intra-Fund Transfer Eliminations (1)	2020 \$	2019 \$	7 Months Ended October 31 \$ Increase/ (Decrease)	% Increase/ Decrease
Beginning Fund Balance	\$ 6,312.1	\$ 11,244.0	\$ 11,440.2	\$ 14,427.7	\$ 13,232.7	\$ 12,376.2	9,510.3							\$ 6,312.1	\$ 3,842.4	\$ 2,469.7	64.3%
Taxes:																	
Personal Income Tax	-	-	-	-	-	0.1	-	0.1	-	-	-	-	-	0.2	0.2	-	0.0%
Consumption/Use Taxes:																	
Sales and Use	80.3	51.2	65.6	72.7	73.6	83.3	-	-	-	-	-	-	-	505.9	648.5	(142.6)	-22.0%
Auto Rental	(0.1)	(1.5)	3.4	(1.1)	2.1	(1.1)	-	79.2	-	-	-	-	-	4.9	7.3	(2.4)	-32.9%
Excise	0.5	0.5	0.5	0.5	0.5	0.5	0.5	0.5	0.5	0.5	0.5	0.5	0.5	44.2	44.2	-	0.0%
Medical Marijuana	0.5	0.6	0.7	0.6	0.8	0.7	0.8	0.8	0.8	0.8	0.8	0.8	0.8	4.7	66.5	(61.8)	-46.9%
Motor Fuel	6.5	4.7	6.6	9.1	8.9	8.9	8.3	8.3	8.3	8.3	8.3	8.3	8.3	-	-	(13.9)	-20.9%
Alcoholic Beverage	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Highway Use	0.1	0.1	0.1	0.1	0.1	0.1	-	-	-	-	-	-	-	0.2	0.3	(0.1)	-33.3%
Vapors	11.7	11.7	11.7	(0.1)	7.1	7.1	-	-	-	-	-	-	-	0.2	0.2	-	0.0%
Total Consumption/Use Taxes	158.0	106.5	148.2	152.3	146.0	176.2	144.0	144.0	144.0	144.0	144.0	144.0	144.0	1,232.2	1,169.3	62.9	5.4%
Business Taxes:																	
Corporation Franchise	57.0	(3.2)	110.1	114.0	117.7	165.7	40.7	40.7	40.7	40.7	40.7	40.7	40.7	496.0	519.6	(23.6)	-4.5%
Corporation and Utilities	2.2	(6.9)	20.5	17.0	(1.4)	24.4	4.1	4.1	4.1	4.1	4.1	4.1	4.1	59.9	79.9	(19.0)	-24.1%
Insurance	1.2	(0.6)	12.6	4.4	6.4	(3.1)	0.5	0.5	0.5	0.5	0.5	0.5	0.5	121.3	121.3	-	0.0%
Petroleum Business	30.3	17.6	37.7	38.8	38.7	43.2	39.6	39.6	39.6	39.6	39.6	39.6	39.6	245.9	312.8	(66.9)	-21.4%
Total Business Taxes	88.0	7.7	219.8	174.2	55.5	273.5	88.1	88.1	88.1	88.1	88.1	88.1	88.1	914.8	1,035.3	(120.5)	-11.7%
Total Taxes	246.0	114.2	368.0	326.5	201.5	449.8	232.1	232.1	232.1	232.1	232.1	232.1	232.1	1,947.0	2,204.6	(257.6)	-11.7%
Miscellaneous Receipts:																	
Abandoned Property	1.2	0.7	0.8	0.9	0.8	1.2	0.8	0.8	0.8	0.8	0.8	0.8	0.8	6.4	6.8	(0.4)	-5.9%
Assessments:																	
Real Estate	45.7	52.4	59.6	71.5	41.0	65.6	90.3	90.3	90.3	90.3	90.3	90.3	90.3	460.1	483.5	(23.4)	-4.8%
Medical Care	569.3	464.7	504.4	440.8	451.5	517.3	505.7	505.7	505.7	505.7	505.7	505.7	505.7	3,453.7	3,894.4	(440.7)	-11.3%
Public Utilities	0.1	-	0.4	-	-	4.5	(10.1)	(10.1)	(10.1)	(10.1)	(10.1)	(10.1)	(10.1)	40.6	40.6	-	0.0%
Other	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.2	(0.2)	-100.0%
Fees, Licenses and Permits:																	
Adult Fees	48.2	70.4	86.5	40.8	36.3	94.8	46.1	46.1	46.1	46.1	46.1	46.1	46.1	423.1	408.9	14.2	3.5%
Business/Professional	3.2	2.4	2.6	6.1	6.1	4.7	5.1	5.1	5.1	5.1	5.1	5.1	5.1	25.5	32.8	(7.3)	-22.3%
Civil	0.5	0.3	0.1	1.5	-	-	0.4	0.4	0.4	0.4	0.4	0.4	0.4	2.8	3.8	(1.0)	-26.3%
Criminal	3.0	0.3	0.1	3.0	27.0	28.3	15.8	15.8	15.8	15.8	15.8	15.8	15.8	152.9	169.4	(16.5)	-9.8%
Motor Vehicle	18.4	15.8	17.0	34.3	31.0	144.3	83.3	83.3	83.3	83.3	83.3	83.3	83.3	372.7	510.7	(138.0)	-27.0%
Recreational/Consumer	43.0	36.0	34.3	14.9	0.4	5.9	60.4	60.4	60.4	60.4	60.4	60.4	60.4	103.1	221.7	(118.6)	-53.5%
Recreational/Consumer	10.0	5.8	1.6	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Gaming:																	
Casino	-	-	20.8	20.2	195.9	199.3	33.4	33.4	33.4	33.4	33.4	33.4	33.4	62.2	179.7	(117.5)	-65.4%
Lottery	157.0	142.1	173.8	202.2	195.9	338.8	168.3	168.3	168.3	168.3	168.3	168.3	168.3	1,238.6	1,475.0	(236.4)	-16.0%
Video Lottery	16.5	0.6	-	(0.4)	-	3.8	59.6	59.6	59.6	59.6	59.6	59.6	59.6	93.6	96.4	(2.8)	-2.9%
Intergovernmental:																	
Recapital from Public Authorities:	-	-	7.5	4.3	3.2	4.2	4.1	4.1	4.1	4.1	4.1	4.1	4.1	53.5	130.1	(76.6)	-58.1%
Bond Proceeds	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Cost Recovery Assessments	0.5	1.4	5.3	4.2	-	-	-	-	-	-	-	-	-	8.9	28.7	(19.8)	-69.0%
Insurance Fees	0.5	1.4	5.3	4.2	-	-	-	-	-	-	-	-	-	7.2	7.2	-	0.0%
Gifts, Grants and Donations	9.3	2.2	3.7	3.7	1.6	4.7	3.4	3.4	3.4	3.4	3.4	3.4	3.4	28.6	34.1	(5.5)	-16.1%
Rentals	(5.3)	(42.9)	(0.4)	1.9	3.8	34.6	61.0	61.0	61.0	61.0	61.0	61.0	61.0	52.7	171.8	(119.1)	-69.3%
Revenue of State Departments:																	
Administrative Recoveries	24.6	8.5	8.7	8.7	8.8	10.1	24.2	24.2	24.2	24.2	24.2	24.2	24.2	93.6	99.6	(6.0)	-6.0%
Commissions	0.2	0.1	0.1	0.2	0.3	0.8	0.7	0.7	0.7	0.7	0.7	0.7	0.7	2.4	3.0	(0.6)	-20.0%
Gifts, Grants and Donations	0.6	1.5	22.1	0.7	0.6	0.5	5.1	5.1	5.1	5.1	5.1	5.1	5.1	31.1	5.8	25.3	436.2%
Indirect Cost Recoveries	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Patient/Client Care Reimbursement	483.0	305.7	305.8	198.8	167.9	150.1	221.2	221.2	221.2	221.2	221.2	221.2	221.2	1,832.5	1,280.9	551.6	43.1%
Rentals	7.8	13.6	14.1	17.7	9.5	12.7	10.5	10.5	10.5	10.5	10.5	10.5	10.5	85.9	99.1	(13.2)	-13.3%
Student Loans	6.1	1.4	3.2	5.4	4.9	3.7	6.0	6.0	6.0	6.0	6.0	6.0	6.0	33.6	41.5	(7.9)	-19.0%
All Other	(24.6)	4.4	13.1	63.5	40.0	48.6	58.3	58.3	58.3	58.3	58.3	58.3	58.3	203.3	323.0	(119.7)	-37.1%
Sales	0.5	0.6	0.8	0.7	0.5	0.6	1.3	1.3	1.3	1.3	1.3	1.3	1.3	5.0	10.7	(5.7)	-53.3%
Tuition	(67.0)	33.6	56.9	50.9	113.3	375.7	172.8	172.8	172.8	172.8	172.8	172.8	172.8	735.7	87.4	648.3	742.8%
Total Miscellaneous Receipts	1,381.9	1,107.7	1,347.2	1,224.8	1,203.6	1,795.3	1,442.4	1,442.4	1,442.4	1,442.4	1,442.4	1,442.4	1,442.4	9,667.7	11,063.8	(1,396.1)	-12.6%
Federal Receipts	10,777.4	4,104.3	7,352.3	5,214.1	4,404.5	9,934.4	7,441.5	7,441.5	7,441.5	7,441.5	7,441.5	7,441.5	7,441.5	49,228.5	36,535.0	12,693.5	34.7%
Total Receipts	12,999.3	5,326.2	9,067.7	6,765.4	5,809.8	12,177.7	9,314.1	9,314.1	9,314.1	9,314.1	9,314.1	9,314.1	9,314.1	60,954.2	49,788.8	11,165.4	22.2%

STATE OF NEW YORK
SPECIAL REVENUE FUNDS - COMBINED
STATEMENT OF CASH FLOW
FISCAL YEAR 2020-2021
(amounts in millions)

EXHIBIT G

	2020 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2021 JANUARY	FEBRUARY	MARCH	Intra-Fund Transfer Eliminations (1)	2020	2019	Increase/ Decrease	% Increase/ Decrease
DISBURSEMENTS:																	
Local Assistance Grants:																	
Education	383.0	102.6	847.9	234.9	213.2	2,584.4	279.3						-	4,645.3	5,115.5	(470.2)	-9.2%
Environment and Recreation	-	0.5	-	0.3	0.1	0.3	0.8						-	2.0	1.5	0.5	33.3%
General Government	11.3	6.1	8.5	19.0	39.4	3,866.8	169.6						-	4,120.7	146.9	3,973.8	2,705.1%
Public Health:																	
Other Public Health	5,180.9	3,816.0	3,615.6	4,136.8	3,861.0	5,390.1	3,386.6						-	29,387.0	26,942.7	2,444.3	8.7%
Public Safety	509.6	533.0	709.7	555.1	552.9	805.5	570.7						-	4,237.5	4,261.5	(24.0)	-0.6%
Public Welfare	134.9	62.2	159.2	261.6	62.2	136.2	627.1						-	1,400.9	738.6	662.3	89.7%
Support and Regulate Business	0.3	25.9	253.6	201.6	253.9	950.4	384.1						-	2,204.4	2,603.5	(399.1)	-15.3%
Transfers to Other Funds	0.3	0.7	8.6	1.0	3.6	24.5	28.7						-	1.2	24.1	(23.0)	-90.2%
Total Local Assistance Grants	<u>6,377.9</u>	<u>4,591.7</u>	<u>5,623.6</u>	<u>6,126.4</u>	<u>5,341.5</u>	<u>13,883.8</u>	<u>5,378.4</u>	-	-	-	-	-	-	<u>47,818.3</u>	<u>41,852.3</u>	<u>5,966.0</u>	<u>13.8%</u>
Departmental Operations:																	
Personal Service	675.8	444.6	551.8	540.0	390.7	691.2	521.2						-	3,815.3	3,513.4	301.9	8.6%
Non-Personal Service	270.9	220.9	327.3	1,075.0	380.6	488.1	437.0						-	3,202.8	2,386.6	816.2	33.6%
Capital Charges	73.0	64.5	109.6	138.0	142.3	139.8	124.3						-	1,423.1	709.2	713.9	100.6%
Capital Projects	-	-	-	-	2.3	-	-						-	2.3	-	2.3	100.0%
Total Disbursements	<u>7,399.6</u>	<u>5,321.7</u>	<u>6,612.3</u>	<u>7,874.4</u>	<u>6,288.0</u>	<u>15,304.9</u>	<u>6,600.9</u>	-	-	-	-	-	-	<u>55,431.8</u>	<u>48,471.7</u>	<u>6,960.1</u>	<u>14.4%</u>
Excess (Deficiency) of Receipts over Disbursements	<u>4,983.7</u>	<u>4.5</u>	<u>2,455.4</u>	<u>(1,108.8)</u>	<u>(448.2)</u>	<u>(3,127.2)</u>	<u>2,653.2</u>	-	-	-	-	-	-	<u>5,422.4</u>	<u>1,315.1</u>	<u>4,107.3</u>	<u>312.3%</u>
OTHER FINANCING SOURCES (USES):																	
Transfers from Other Funds	222.7	41.5	897.4	135.6	116.0	206.1	505.9						(494.4)	1,500.8	1,724.4	(223.6)	-13.0%
Transfers to Other Funds	<u>(304.5)</u>	<u>(129.9)</u>	<u>(20.5)</u>	<u>(265.9)</u>	<u>(30.5)</u>	<u>(439.8)</u>	<u>(485.6)</u>						<u>494.4</u>	<u>(1,181.5)</u>	<u>(1,075.3)</u>	<u>185.2</u>	<u>15.4%</u>
Total Other Financing Sources (Uses)	<u>(81.8)</u>	<u>(88.3)</u>	<u>877.1</u>	<u>(131.0)</u>	<u>85.7</u>	<u>(233.7)</u>	<u>20.3</u>	-	-	-	-	-	-	<u>448.3</u>	<u>709.1</u>	<u>(258.8)</u>	<u>-36.6%</u>
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	<u>4,911.9</u>	<u>(83.8)</u>	<u>3,332.5</u>	<u>(1,240.8)</u>	<u>(362.5)</u>	<u>(3,359.9)</u>	<u>2,673.5</u>	-	-	-	-	-	-	<u>5,871.7</u>	<u>2,024.2</u>	<u>3,847.5</u>	<u>190.1%</u>
Ending Fund Balance	<u>\$ 11,224.0</u>	<u>\$ 11,140.2</u>	<u>\$ 14,472.7</u>	<u>\$ 13,232.7</u>	<u>\$ 12,870.2</u>	<u>\$ 9,510.3</u>	<u>\$ 12,183.8</u>	<u>\$ -</u>	<u>\$ -</u>	<u>\$ -</u>	<u>\$ -</u>	<u>\$ -</u>	<u>\$ -</u>	<u>\$ 12,183.8</u>	<u>\$ 5,866.6</u>	<u>\$ 6,317.2</u>	<u>107.7%</u>

(1) Intra-Fund transfer eliminations represent transfers between Special Revenue-State and Federal Funds.

EXHIBIT G

Beginning Fund Balance	2020												2021				7 Months Ended October 31		% Increase/ Decrease			
	RECEIPTS:												2020		2019		\$ Increase/ (Decrease)					
	APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	JANUARY	FEBRUARY	MARCH	\$	2020	\$	2019						
\$	5,400.7	\$	5,986.2	\$	5,710.4	\$	7,246.4	\$	6,679.9	\$	6,748.8	\$	4,893.9		\$	5,400.7	\$	5,980.8		\$	308.9	6.1%
Taxes:																						
Personal Income Tax	-	-	-	-	-	-	-	-	-	0.1	0.1	-	-	-	0.2	-	0.2	-	-	-	-	0.0%
Consumption/Use Taxes:																						
Sales and Use	80.3	51.2	65.6	72.7	73.6	83.3	79.2	-	-	83.3	79.2	-	-	-	505.9	648.5	-	(142.6)	-22.0%			
Auto Rental	(0.1)	(1.5)	3.4	2.1	2.1	(1.1)	-	-	-	(1.1)	-	-	-	-	4.9	7.3	-	(2.4)	-32.9%			
Cigarette/Tobacco Products	68.8	51.3	60.2	68.8	60.4	71.0	55.7	-	-	71.0	55.7	-	-	-	436.2	444.1	-	(7.9)	-1.8%			
Medical Marijuana	0.5	0.6	0.7	0.6	0.8	0.7	0.8	-	-	0.7	0.8	-	-	-	4.7	3.2	-	1.5	46.9%			
Motor Fuel	6.5	4.7	6.6	8.5	9.1	8.9	8.3	-	-	8.9	8.3	-	-	-	52.6	66.5	-	(13.9)	-20.9%			
Alcoholic Beverage	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%			
Highway Use	-	0.1	11.7	0.1	0.1	7.4	-	-	-	7.4	-	-	-	-	0.2	0.3	-	(0.1)	-33.3%			
Vapor Cigarette/Use	-	-	41.7	60.4	60.0	-	-	-	-	-	-	-	-	-	18.9	18.9	-	-	0.0%			
Business Taxes:																						
Corporation Franchise	57.0	(3.2)	110.1	114.0	11.7	165.7	40.7	-	-	165.7	40.7	-	-	-	1,033.3	1,189.9	-	(146.7)	-12.3%			
Corporation and Utilities	2.2	(6.9)	20.5	17.0	(1.4)	24.4	4.1	-	-	24.4	4.1	-	-	-	496.0	519.6	-	(23.6)	-4.5%			
Insurance	7.2	(0.4)	38.6	4.4	0.4	43.3	1.5	-	-	43.3	1.5	-	-	-	94.7	121.3	-	(26.6)	-21.9%			
Bank	1.3	0.6	12.9	-	6.1	(3.1)	0.2	-	-	(3.1)	0.2	-	-	-	18.3	3.3	-	15.0	454.5%			
Petroleum Business	30.3	17.6	37.7	38.8	38.7	43.2	38.6	-	-	43.2	38.6	-	-	-	245.9	312.8	-	(66.9)	-21.4%			
Total Business Taxes	98.0	7.7	219.8	174.2	55.5	273.5	86.1	-	-	273.5	86.1	-	-	-	914.8	1,035.9	-	(121.1)	-11.7%			
Total Taxes	254.0	114.2	388.0	326.5	201.5	443.8	230.2	-	-	443.8	230.2	-	-	-	1,938.2	2,206.0	-	(267.8)	-12.1%			
Miscellaneous Receipts:																						
Abandoned Property	1.2	0.7	0.8	0.9	0.8	1.2	0.8	-	-	1.2	0.8	-	-	-	6.4	6.8	-	(0.4)	-5.9%			
Assessments:	42.7	21.8	82.4	69.8	33.4	65.5	66.9	-	-	65.5	66.9	-	-	-	404.5	423.7	-	(19.2)	-4.5%			
Medical Care	569.3	464.7	504.4	440.8	461.5	517.3	505.7	-	-	517.3	505.7	-	-	-	3,804.4	3,804.4	-	(0.0)	-0.0%			
Public Utilities	0.1	-	0.4	4.4	0.4	45.4	(10.1)	-	-	45.4	(10.1)	-	-	-	40.6	44.9	-	(4.3)	-9.8%			
Other	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.2	-	(0.2)	-100.0%			
Fees, Licenses and Permits:																						
Adult Fees	-	-	0.1	0.2	0.7	0.7	0.1	-	-	0.7	0.1	-	-	-	1.8	2.6	-	(0.8)	-30.8%			
Business/Professional	48.2	70.4	86.5	40.8	36.3	94.8	46.1	-	-	94.8	46.1	-	-	-	423.1	408.8	-	14.3	3.5%			
Civil	3.2	2.4	2.6	1.4	6.1	4.7	5.1	-	-	4.7	5.1	-	-	-	25.5	32.8	-	(7.3)	-22.3%			
Criminal	0.5	0.3	0.1	1.5	-	-	0.4	-	-	-	0.4	-	-	-	2.8	3.8	-	(1.0)	-26.3%			
Motor Vehicle	18.4	15.8	17.0	30.6	27.0	28.3	15.8	-	-	28.3	15.8	-	-	-	152.9	168.4	-	(15.5)	-9.2%			
Recreational/Consumer	43.0	-	36.0	34.3	31.8	144.3	37.2	-	-	144.3	37.2	-	-	-	310.7	310.7	-	(0.0)	-0.0%			
Fines, Penalties and Forfeitures	9.7	5.6	1.4	13.8	0.2	5.6	66.3	-	-	5.6	66.3	-	-	-	101.6	217.8	-	(116.2)	-53.4%			
Gaming:	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-			
Casino	-	-	-	20.8	-	8.0	33.4	-	-	8.0	33.4	-	-	-	69.2	179.7	-	(110.5)	-56.4%			
Video Lottery	157.0	142.1	173.8	202.2	195.9	199.3	169.3	-	-	199.3	169.3	-	-	-	1,238.6	1,475.0	-	(236.4)	-16.0%			
Interest Earnings	13.5	7.8	6.1	3.4	4.0	3.4	3.3	-	-	3.4	3.3	-	-	-	93.6	566.4	-	(472.8)	-83.5%			
Receipts from Public Authorities:																						
Bond Proceeds	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-			
Cost Recovery Assessments	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-			
Insurance Fees	0.5	1.4	5.3	-	-	-	8.9	-	-	-	8.9	-	-	-	8.9	28.7	-	(19.8)	-68.0%			
Non Bond Related	8.9	0.3	-	4.2	1.8	-	7.2	-	-	-	7.2	-	-	-	15.6	29.2	-	(13.6)	-46.6%			
Receipts from Municipalities	8.3	2.2	3.7	3.7	1.6	4.7	3.4	-	-	4.7	3.4	-	-	-	28.6	34.1	-	(5.5)	-16.1%			
Revenues of State Departments:	(42.9)	(5.3)	(0.4)	1.9	3.8	34.6	61.0	-	-	34.6	61.0	-	-	-	52.7	171.8	-	(119.1)	-68.3%			
Revenues of State Departments:	24.6	8.5	8.7	8.7	8.8	10.1	24.2	-	-	10.1	24.2	-	-	-	93.6	59.6	-	34.0	57.0%			
Revenues of State Departments:	0.2	0.1	0.1	0.2	0.3	0.8	0.7	-	-	0.8	0.7	-	-	-	2.4	3.8	-	(1.4)	-36.8%			
Commissions - Asset Conversion	0.6	1.5	22.1	0.7	0.6	0.5	5.1	-	-	0.5	5.1	-	-	-	31.1	5.8	-	25.3	436.2%			
Gifts, Grants and Donations	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.9	0.9	-	(0.0)	0.0%			
Indirect Cost Recoveries	483.0	305.7	305.8	198.8	167.9	150.1	221.2	-	-	150.1	221.2	-	-	-	1,832.5	1,280.9	-	551.6	43.1%			
Patient/Client Care Reimbursement	0.1	4.9	6.6	10.2	2.1	5.0	3.0	-	-	5.0	3.0	-	-	-	31.9	41.0	-	(9.1)	-22.2%			
Rebates	3.7	0.4	0.6	0.1	41.8	3.9	0.3	-	-	3.9	0.3	-	-	-	50.8	17.3	-	33.5	193.6%			
Restitution and Settlements	6.1	1.4	3.2	6.8	6.8	3.7	6.0	-	-	3.7	6.0	-	-	-	41.5	41.5	-	(0.0)	-0.0%			
Student Loans	(2.8)	1.1	0.1	6.4	3.4	4.7	3.4	-	-	4.7	3.4	-	-	-	20.0	32.0	-	(12.0)	-37.5%			
Other	0.5	0.6	0.8	0.7	0.5	0.6	1.3	-	-	0.6	1.3	-	-	-	10.7	10.7	-	(0.0)	0.0%			
Sales	(67.5)	33.6	56.9	50.9	113.3	375.7	172.8	-	-	375.7	172.8	-	-	-	735.7	867.4	-	(131.7)	-15.2%			
Tuition	1,346.8	1,544.0	1,381.1	1,214.4	1,188.3	1,790.7	1,832.5	-	-	1,790.7	1,832.5	-	-	-	9,566.9	10,906.9	-	(1,340.0)	-12.3%			
Total Miscellaneous Receipts	1,346.8	1,544.0	1,381.1	1,214.4	1,188.3	1,790.7	1,832.5	-	-	1,790.7	1,832.5	-	-	-	9,566.9	10,906.9	-	(1,340.0)	-12.3%			
Federal Receipts	-	-	4.1	(4.1)	2.5	25.4	12.9	-	-	25.4	12.9	-	-	-	40.8	17.6	-	23.2	131.8%			
Total Receipts	1,600.6	1,682.2	1,710.2	1,596.8	1,390.8	2,259.9	1,976.6	-	-	2,259.9	1,976.6	-	-	-	11,542.1	13,130.5	-	(1,588.4)	-12.1%			

EXHIBIT G

STATE OF NEW YORK
SPECIAL REVENUE FUNDS - STATE
STATEMENT OF CASH FLOW
FISCAL YEAR 2020-2021
(amounts in millions)

	2020 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2021 JANUARY	FEBRUARY	MARCH	2020	2019	\$ Increase/ (Decrease)	% Increase/ Decrease
DISBURSEMENTS:																
Local Assistance Grants:																
Education	0.1	35.0	297.0	0.3	(0.1)	2,290.3	149.0						2,771.6	3,011.0	(239.4)	-8.0%
Environment and Recreation	-	0.3	-	0.2	-	0.4	0.7						1.6	0.8	0.8	100.0%
General Government	9.3	3.9	6.3	8.0	33.0	10.8	15.3						66.6	115.6	(28.0)	-25.1%
Public Health:																
Medicaid	528.3	474.2	30.5	823.1	424.7	521.0	417.0						3,218.8	3,592.3	(373.5)	-10.4%
Other Public Health	28.6	25.5	67.4	52.2	45.3	190.8	55.4						466.2	516.6	(50.4)	-9.8%
Public Safety	16.0	8.7	14.2	14.2	21.5	20.5	10.0						96.1	113.5	(17.4)	-15.3%
Public Works	0.1	0.2	0.2	0.2	0.2	0.2	0.2						0.8	0.8	-	0.0%
Support and Regulate Business	61.6	41.7	18.5	701.8	345.2	241.1	0.7						181.0	24.2	(156.8)	-25.2%
Transportation				0.6	6.7	5.3							18.1		(6.1)	-16.1%
Total Local Assistance Grants	647.0	590.9	428.3	1,601.8	876.3	3,280.3	901.6	-	-	-	-	-	8,325.4	9,359.2	(1,033.8)	-11.0%
Departmental Operations:																
Personal Service	601.1	383.6	390.9	375.2	326.9	607.2	388.4						3,084.3	3,124.5	(40.2)	-1.3%
Non-Personal Service	230.1	176.8	158.7	192.7	159.2	280.1	248.4						1,426.0	1,647.2	(221.2)	-13.4%
General State Charges	52.3	39.8	70.7	52.3	72.2	116.3	46.6						450.2	519.1	(68.9)	-13.3%
Capital Projects	-	-	-	-	-	-	-						-	-	-	0.0%
Total Disbursements	1,500.5	1,201.1	1,048.6	2,271.2	1,434.6	4,283.9	1,586.0	-	-	-	-	-	13,285.9	14,650.0	(1,364.1)	-9.3%
Excess (Deficiency) of Receipts over Disbursements	70.1	(32.9)	661.6	(684.4)	(43.8)	(2,004.0)	288.6	-	-	-	-	-	(1,743.8)	(1,516.5)	(224.3)	-14.8%
OTHER FINANCING SOURCES (USES):																
Transfers from Other Funds	222.7	41.5	897.4	135.6	116.0	206.1	505.9						2,125.2	2,123.8	1.4	0.1%
Transfers to Other Funds	-2.7	5.6	(23.0)	(17.7)	(3.3)	(147.0)	(5.3)						(186.0)	(344.8)	(158.8)	-45.4%
Total Other Financing Sources (Uses)	225.4	47.1	874.4	117.9	112.7	59.1	500.6	-	-	-	-	-	1,939.2	1,779.2	160.0	8.9%
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	295.5	14.2	1,536.0	(566.5)	68.9	(1,944.9)	790.2	-	-	-	-	-	193.4	259.7	(66.3)	-25.5%
Ending Fund Balance	\$ 5,696.2	\$ 5,710.4	\$ 7,246.4	\$ 6,678.9	\$ 6,748.8	\$ 4,803.9	\$ 5,594.1	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 5,594.1	\$ 5,350.5	\$ 243.6	4.6%

STATE OF NEW YORK
SPECIAL REVENUE FUNDS - FEDERAL
STATEMENT OF CASH FLOW
FISCAL YEAR 2020-2021
(amounts in millions)

EXHIBIT G

	2020 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2021 JANUARY	FEBRUARY	MARCH	7 Months Ended October 31 2020	2019	\$ Increase/ (Decrease)	% Increase/ Decrease
Beginning Fund Balance	\$ 911.4	\$ 5,527.8	\$ 5,429.8	\$ 7,226.3	\$ 6,552.8	\$ 6,121.4	\$ 4,706.4						\$ 911.4	\$ (1,248.4)	\$ 2,158.8	173.0%
RECEIPTS:																
Miscellaneous Receipts:																
Abandoned Property:																
Assessments:																
Business:	4.0	40.6	0.2	1.7	7.6	0.1	1.4						55.6	59.8	(4.2)	-7.0%
Medical Care	-	-	-	-	-	-	-						-	-	-	0.0%
Public Utilities	-	-	-	-	-	-	-						-	-	-	0.0%
Other	-	-	-	-	-	-	-						-	-	-	0.0%
Fees, Licenses and Permits:																
Business/Professional	-	-	-	-	-	-	-						-	-	-	0.0%
Civil	-	-	-	-	-	-	-						-	-	-	0.0%
Criminal	-	-	-	-	-	-	-						-	-	-	0.0%
Motor Vehicle	-	-	-	-	-	-	-						-	-	-	0.0%
Recreational/Consumer	-	-	-	-	-	-	-						-	-	-	0.0%
Fines, Penalties and Forfeitures	0.3	0.2	0.2	0.2	0.2	0.3	0.1						1.5	3.9	(2.4)	-61.5%
Interest Earnings	3.0	3.9	1.4	0.9	1.2	0.8	0.8						12.0	16.4	(4.4)	-26.8%
Receipts from Public Authorities:																
Bond Proceeds	-	-	-	-	-	-	-						-	-	-	0.0%
Cost Recovery Assessments	-	-	-	-	-	-	-						-	-	-	0.0%
Insurance Fees	-	-	-	-	-	-	-						-	-	-	0.0%
Non Bond Related	-	-	-	-	-	-	-						-	-	-	0.0%
Receipts from Municipalities	-	-	-	-	-	-	-						-	-	-	0.0%
Revenues from State Departments:																
Administrative Recoveries	-	-	-	-	-	-	-						-	-	-	0.0%
Commissions	-	-	-	-	-	-	-						-	-	-	0.0%
Gifts, Grants and Donations	-	-	-	-	-	-	-						-	-	-	0.0%
Indirect Cost Recoveries	-	-	-	-	-	-	-						-	-	-	0.0%
Patient/Client Care Reimbursement	-	-	-	-	-	-	-						-	-	-	0.0%
Rebates	7.7	8.7	7.5	7.5	7.4	7.7	7.5						54.0	58.1	(4.1)	-7.1%
Restitution and Settlements	-	-	-	-	-	-	-						-	-	-	0.0%
Student Loans	-	-	-	-	-	-	-						-	-	-	0.0%
All Other	0.3	0.3	-	-	0.6	(0.1)	0.1						1.3	0.7	0.6	85.7%
Sales	-	-	-	-	-	-	-						-	-	-	0.0%
Tuition	-	-	-	-	-	-	-						-	-	-	0.0%
Total Miscellaneous Receipts	15.3	53.7	9.3	10.4	17.0	8.8	9.9	-	-	-	-	-	124.4	135.9	(14.5)	-10.4%
Federal Receipts	10,777.4	4,104.3	7,348.2	5,218.2	4,402.0	9,909.0	7,428.6						49,187.7	35,517.4	12,670.3	34.7%
Total Receipts	10,792.7	4,158.0	7,357.5	5,228.6	4,419.0	9,917.8	7,438.5	-	-	-	-	-	49,312.1	36,656.3	12,655.8	34.5%

STATE OF NEW YORK
SPECIAL REVENUE FUNDS - FEDERAL
STATEMENT OF CASH FLOW
FISCAL YEAR 2020-2021
(amounts in millions)

EXHIBIT G

	7 Months Ended October 31												% Increase/ Decrease
	2020	2020	2020	2020	2020	2020	2020	2020	2020	2020	2020	2020	
DISBURSEMENTS:	APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	JANUARY	FEBRUARY	MARCH	
Local Assistance Grants:													
Education and Recreation	382.9	67.6	550.9	234.6	213.3	294.1	130.3				1,873.7	2,104.5	(230.8)
General Government	-	0.2	-	0.1	0.1	(0.1)	0.1				0.4	0.7	(0.3)
Public Health:	2.0	2.2	2.2	11.0	6.4	3,856.0	164.3				4,034.1	31.3	4,002.8
Medicaid	4,652.6	3,341.8	3,585.1	3,313.7	3,436.3	4,869.1	2,899.6				26,069.2	23,350.4	2,717.8
Other Public Health	480.0	507.5	642.3	503.9	507.6	614.7	515.3				3,771.3	3,744.9	26.4
Public Safety	74.4	52.5	155.0	247.4	40.7	115.7	617.1				1,302.8	625.1	677.7
Support and Regulate Business	134.8	25.7	253.6	201.0	253.9	950.3	384.1				2,500.2	2,500.2	(396.8)
Transportation	0.3	0.3	2.1	0.4	1.9	-	1.0				6.0	6.0	-
Total Local Assistance Grants	5,730.9	4,003.8	5,193.3	4,518.4	4,463.2	10,765.5	4,876.8				39,245.3	32,453.2	6,800.6
Departmental Operations:													
Regional Service	74.7	51.0	160.9	164.8	63.8	84.0	131.8				388.9	342.1	88.0%
Non-Personal Service	40.8	44.1	168.6	885.3	221.4	228.0	189.6				749.4	749.4	137.1%
General State Charges	22.7	24.7	38.9	83.7	70.7	23.5	77.7				190.1	151.8	79.9%
Capital Projects	-	-	-	-	2.3	-	-				2.3	-	100.0%
Total Disbursements	5,869.1	4,120.6	5,563.7	5,553.2	4,823.4	11,041.0	5,074.9				33,821.7	8,324.2	24.6%
Excess (Deficiency) of Receipts over Disbursements	4,923.6	37.4	1,793.8	(424.6)	(404.4)	(1,123.2)	2,383.6				2,834.6	4,331.6	152.8%
OTHER FINANCING SOURCES (USES):													
Transfers from Other Funds	(307.2)	(135.4)	2.7	(248.9)	(27.0)	(291.8)	(480.3)				(1,070.1)	(1,070.1)	0.0%
Transfers to Other Funds	-	-	-	-	-	-	-				-	-	39.0%
Total Other Financing Sources (Uses)	(307.2)	(135.4)	2.7	(248.9)	(27.0)	(291.8)	(480.3)				(1,070.1)	(1,070.1)	39.0%
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	4,616.4	(98.0)	1,796.5	(673.5)	(431.4)	(1,415.0)	1,883.3				1,764.5	3,913.8	221.8%
Ending Fund Balance	\$ 5,527.8	\$ 5,429.8	\$ 7,226.3	\$ 6,552.8	\$ 6,121.4	\$ 4,706.4	\$ 6,589.7	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 6,073.6
													1,176.8%

**STATE OF NEW YORK
DEBT SERVICE FUNDS
STATEMENT OF CASH FLOW
FISCAL YEAR 2020-2021
(amounts in millions)**

EXHIBIT H

	7 Months Ended October 31												% Increase/ Decrease				
	2021																
	2020 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	JANUARY	FEBRUARY	MARCH					
Beginning Fund Balance	\$ 63.4	\$ 392.9	\$ 522.1	\$ 495.2	\$ 1,603.0	\$ 2,144.5	\$ 2,337.3							2020 \$ 63.4	2019 \$ 64.8	\$ (1.4)	-2.2%
RECEIPTS:																	
Taxes:																	
Personal Income Tax	1,033.1	1,099.6	2,184.2	5,115.4	1,361.5	2,635.7	1,265.8							14,895.3	15,279.9	(584.6)	-3.8%
Consumption/Use Taxes:																	
Sales and Use	394.2	369.7	572.0	529.7	536.3	708.9	549.9							3,660.7	4,333.0	(672.3)	-15.5%
Total Consumption/Use Taxes	394.2	369.7	572.0	529.7	536.3	708.9	549.9							3,660.7	4,333.0	(672.3)	-15.5%
Other Taxes:																	
Real Estate Transfer	57.2	48.4	37.9	53.0	56.4	70.3	65.8							389.0	611.8	(222.8)	-36.4%
Employer Compensation Expense Tax	0.1	(0.1)	0.1	0.1	0.1	0.1	0.2							0.6	0.5	0.1	20.0%
Total Other Taxes	57.3	48.3	38.0	53.1	56.5	70.4	66.0							389.6	612.3	(222.7)	-36.4%
Total Taxes	1,484.6	1,517.6	2,794.2	5,698.2	1,954.3	3,415.0	1,891.7							18,745.6	20,225.2	(1,479.6)	-7.3%
Miscellaneous Receipts:																	
Assessments:																	
Medical Care	-	-	-	-	-	-	-							-	-	-	0.0%
Fees, Licenses and Permits:														-	-	-	0.0%
Alcohol Beverage Control Licensing	-	-	-	-	-	-	-							-	-	-	0.0%
Business/Professional	-	-	-	-	-	-	-							-	-	-	0.0%
Civil	-	-	-	-	-	-	-							-	-	-	0.0%
Criminal	-	-	-	-	-	-	-							-	-	-	0.0%
Motor Vehicle	-	-	-	-	-	-	-							-	-	-	0.0%
Recreational/Consumer	-	-	-	-	-	-	-							-	-	-	0.0%
Interest Earnings	0.1	0.1	-	-	-	-	-							0.2	1.3	(1.1)	-84.6%
Receipts from Municipalities	-	0.3	0.1	0.1	-	-	-							0.5	1.7	(1.2)	-70.6%
Rentals	-	-	-	-	-	-	-							-	-	-	0.0%
Revenues of State Departments:														252.3	294.1	(41.8)	-14.2%
Patient/Client Care Reimbursement	47.2	13.4	42.6	45.8	46.2	36.2	20.9							0.1	0.1	(0.1)	-100.0%
All Other	-	-	-	-	-	-	-							-	-	-	0.0%
Sales	-	-	-	-	-	-	-							253.0	287.2	(44.2)	-14.9%
Total Miscellaneous Receipts	47.3	13.8	42.7	45.9	46.2	36.2	20.9							24.4	36.8	(12.4)	-33.7%
Federal Receipts	-	-	-	-	-	24.4	-							19,023.0	20,559.2	(1,536.2)	-7.5%
Total Receipts	1,531.9	1,531.4	2,836.9	5,744.1	2,000.5	3,475.6	1,902.6										
DISBURSEMENTS:																	
Departmental Operations:																	
Non-Personal Service	-	0.9	12.1	5.8	2.9	7.9	-							29.6	23.1	6.5	28.1%
Debt Service, Including Payments on Financing Agreements	36.5	23.5	28.9	40.7	337.6	841.8	39.8							1,318.8	1,025.1	293.7	28.7%
Total Disbursements	36.5	24.4	41.0	46.5	340.5	849.7	39.8							1,348.4	1,048.2	300.2	28.6%
Excess (Deficiency) of Receipts over Disbursements	1,495.4	1,507.0	2,795.9	5,727.6	1,660.0	2,625.9	1,862.8							17,674.6	19,511.0	(1,836.4)	-9.4%
OTHER FINANCING SOURCES (USES):																	
Transfers from Other Funds	280.2	130.3	39.0	311.2	49.4	247.4	319.1							1,376.6	1,569.5	(192.9)	-12.3%
Transfers to Other Funds	(1,446.1)	(1,508.1)	(2,861.8)	(4,931.0)	(1,167.9)	(2,680.5)	(1,218.9)							(15,814.3)	(20,540.9)	(4,726.6)	-23.0%
Total Other Financing Sources (Uses)	(1,165.9)	(1,377.8)	(2,822.8)	(4,619.8)	(1,118.5)	(2,433.1)	(895.8)							(14,437.7)	(18,971.4)	4,533.7	23.9%
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	329.5	129.2	(26.9)	1,107.8	541.5	192.8	963.0							3,236.9	539.6	2,697.3	499.9%
Ending Fund Balance	\$ 392.9	\$ 522.1	\$ 495.2	\$ 1,603.0	\$ 2,144.5	\$ 2,337.3	\$ 3,300.3	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 3,300.3	\$ 604.4	\$ 2,695.9	446.0%

STATE OF NEW YORK
CAPITAL PROJECTS FUNDS - COMBINED
STATEMENT OF CASH FLOW
FISCAL YEAR 2020-2021
(amounts in millions)

EXHIBIT I

	2020 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2021 JANUARY	FEBRUARY	MARCH	Intra-Fund Transfer Eliminations (*)	7 Months Ended October 31			% Increase/ Decrease
	\$ (1,034.9)	\$ (1,155.0)	\$ (1,322.2)	\$ (1,207.9)	\$ (930.7)	\$ (1,080.2)	\$ (1,488.9)							\$ (1,034.9)	\$ (1,137.9)	\$ 103.0	
Beginning Fund Balance																	
RECEIPTS:																	
Taxes:																	
Consumption/Use Taxes:																	
Auto Rental	0.6	0.1	10.1	-	-	15.6	0.1						-	26.5	49.9	(23.4)	-46.9%
Motor Fuel	23.8	16.7	24.9	31.2	33.4	32.7	31.3						-	247.4	247.4	(53.4)	-21.6%
Highway Use	11.6	8.8	12.4	12.4	10.2	12.7	10.6						-	18.7	85.1	(6.4)	-7.5%
Total Consumption/Use Taxes	36.0	25.6	47.4	43.6	43.6	61.0	42.0							299.2	382.4	(83.2)	-21.5%
Business Taxes:																	
Civil Franchise	-	-	-	-	-	-	-						-	-	-	-	0.0%
Corporation and Utilities	0.1	(1.5)	0.6	3.6	0.1	2.2	0.7						-	5.8	8.3	(2.5)	-30.1%
Petroleum Business	38.0	22.2	48.0	49.1	48.3	55.2	49.9						-	310.7	400.0	(89.3)	-22.3%
Total Business Taxes	38.1	20.7	48.6	52.7	48.4	57.4	50.6						-	316.5	408.3	(91.8)	-22.5%
Other Taxes:																	
Real Estate Transfer	-	-	11.9	11.9	11.9	11.9	12.0						-	59.6	59.6	-	0.0%
Total Other Taxes	-	-	11.9	11.9	11.9	11.9	12.0						-	59.6	59.6	-	0.0%
Total Taxes	74.1	46.3	107.9	108.2	103.9	130.3	104.6						-	675.3	850.3	(175.0)	-20.6%
Miscellaneous Receipts:																	
Abandoned Property:																	
Bottle Bill	-	-	-	23.0	-	-	-						-	23.0	23.0	-	0.0%
Assessments:																	
Business:	7.9	3.6	5.4	7.0	7.6	7.1	7.3						-	45.9	61.5	(15.6)	-25.4%
Fees, Licenses and Permits:																	
Business/Professional	1.8	2.1	1.6	1.3	9.3	3.1	2.5						-	21.7	25.8	(4.1)	-15.9%
Civil	-	-	-	-	-	-	-						-	-	-	-	0.0%
Motor Vehicle	52.2	33.2	43.1	60.6	63.4	57.4	60.7						-	370.6	443.9	(73.3)	-16.5%
Recreational/Consumer	-	-	0.5	-	11.0	7.7	0.1						-	19.3	16.1	3.2	19.9%
Fines, Penalties and Forfeitures	2.0	1.9	2.0	1.2	3.2	2.2	1.9						-	14.4	14.2	0.2	1.4%
Interest Earnings	0.7	0.5	0.1	-	0.1	0.1	-						-	1.5	7.2	(5.7)	-79.2%
Receipts from Public Authorities:																	
Bond Proceeds	1,122.1	18.1	342.4	289.9	32.4	384.7	885.8						-	3,086.4	2,671.8	394.6	14.8%
Insurance Fees	-	-	-	-	-	-	-						-	-	-	-	0.0%
Non Bond Related	0.1	-	0.5	0.1	0.5	0.1	0.4						-	0.7	24.3	(22.6)	-93.0%
Receipts from Municipalities	-	-	0.1	-	-	-	-						-	0.5	0.5	(0.6)	-61.5%
Revenues from State Departments:	0.5	1.1	2.1	2.2	3.8	1.2	0.8						-	11.7	5.8	5.9	101.7%
Administrative Recoveries:																	
Gifts, Grants and Donations	-	0.7	5.8	-	1.3	1.6	0.8						-	-	15.5	(5.3)	-34.2%
Indirect Cost Recoveries	-	-	-	-	-	-	-						-	-	(0.9)	0.9	100.0%
Rebates	-	-	-	-	-	-	-						-	-	0.2	(0.2)	-100.0%
Restitution and Settlements	3.1	0.2	-	0.1	0.9	1.4	3.3						-	9.0	4.0	5.0	125.0%
All Other	0.3	1.8	12.0	0.2	0.7	13.7	4.7						-	33.4	41.6	(8.2)	-19.7%
Sales	-	-	-	-	-	0.1	0.1						-	0.2	4.3	(4.1)	-95.3%
Total Miscellaneous Receipts	1,190.7	64.2	415.6	365.6	134.6	480.4	978.4						-	3,629.5	3,359.6	269.9	8.0%
Federal Receipts	85.7	102.7	167.9	209.1	186.7	177.2	167.7						-	1,087.0	1,163.1	(66.1)	-5.7%
Total Receipts	1,350.5	213.2	691.4	682.9	425.2	787.9	1,250.7						-	5,401.8	5,373.0	28.8	0.5%

STATE OF NEW YORK
CAPITAL PROJECTS FUNDS - COMBINED
STATEMENT OF CASH FLOW
FISCAL YEAR 2020-2021
(amounts in millions)

EXHIBIT I

	2020 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2021 JANUARY	FEBRUARY	MARCH	Intra-Fund Transfer Eliminations (*)	7 Months Ended October 31			% Increase/ Decrease
														2020	2019	\$ Increase/ (Decrease)	
DISBURSEMENTS:																	
Local Assistance Grants:																	
Education	12.0	-	4.9	1.0	1.0	1.4	20.3	-	-	-	-	-	-	40.6	102.2	(61.6)	-60.3%
Environment and Recreation	4.4	3.2	14.0	12.6	20.6	15.2	6.9	-	-	-	-	-	-	7.0	125.1	(118.1)	-98.3%
Government	30.4	10.9	41.5	36.0	69.0	18.7	90.4	-	-	-	-	-	-	286.9	546.7	(259.8)	-45.7%
Public Health:																	
Medicaid	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Other Public Health	29.0	59.2	30.9	38.7	76.1	25.7	50.9	-	-	-	-	-	-	309.5	279.4	30.1	10.8%
Public Safety	-	-	3.8	0.9	1.1	0.7	0.3	-	-	-	-	-	-	6.8	22.8	(16.0)	-70.2%
Public Welfare	-	33.8	73.7	71.7	53.1	110.4	24.6	-	-	-	-	-	-	367.3	158.1	209.2	132.3%
Support and Regulate Business	43.2	7.7	24.8	20.9	71.6	11.4	53.5	-	-	-	-	-	-	233.1	553.3	(320.2)	-57.9%
Transportation	24.4	46.2	50.0	91.9	146.7	369.3	483.6	-	-	-	-	-	-	1,212.1	1,039.2	172.9	16.6%
Total Local Assistance Grants	143.4	160.0	243.8	273.7	439.2	552.9	730.5	-	-	-	-	-	-	2,543.3	2,823.8	(280.5)	-9.9%
Departmental Operations:																	
Personal Service	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Non-Personal Service	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
General State Charges	509.8	409.0	681.8	641.4	665.4	706.7	533.6	-	-	-	-	-	-	4,144.7	4,143.0	1.7	0.0%
Capital Projects	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Total Disbursements	653.2	566.0	925.4	915.1	1,104.6	1,259.6	1,284.1	-	-	-	-	-	-	6,688.0	6,966.8	(278.8)	-4.0%
Excess (Deficiency) of Receipts over Disbursements	897.3	(352.8)	(234.0)	(232.2)	(679.4)	(471.7)	(13.4)	-	-	-	-	-	-	(1,286.2)	(1,593.8)	307.6	19.3%
OTHER FINANCING SOURCES (USES):																	
Bond and Note Proceeds (net)	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Transfers from Other Funds	(805.1)	198.0	360.6	523.4	566.3	250.0	91.3	-	-	-	-	-	-	1,184.5	2,345.9	(1,161.4)	-49.5%
Transfers to Other Funds	(12.3)	(12.4)	(12.3)	(14.0)	(36.4)	(187.0)	(12.1)	-	-	-	-	-	-	(286.5)	(580.4)	(273.9)	-48.9%
Total Other Financing Sources (Uses)	(817.4)	185.6	348.3	509.4	529.9	63.0	79.2	-	-	-	-	-	-	898.0	1,785.5	(887.5)	-49.7%
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	(120.1)	(167.2)	114.3	277.2	(149.5)	(408.7)	65.8	-	-	-	-	-	-	(388.2)	191.7	(579.9)	-302.5%
Ending Fund Balance	\$ (1,155.0)	\$ (1,322.2)	\$ (1,207.9)	\$ (930.7)	\$ (1,080.2)	\$ (1,488.9)	\$ (1,423.1)	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ (1,423.1)	\$ (946.2)	\$ (476.9)	-50.3%

(*) Intra-Fund transfer eliminations represent transfers from Capital Projects-State and Federal Funds.

STATE OF NEW YORK
CAPITAL PROJECTS FUNDS - STATE
STATEMENT OF CASH FLOW
FISCAL YEAR 2020-2021
(amounts in millions)

EXHIBIT I

	7 Months Ended October 31																
	2020						2021										
	APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	JANUARY	FEBRUARY	MARCH					
Beginning Fund Balance	\$ (472.2)	\$ (598.4)	\$ (754.3)	\$ (629.3)	\$ (389.5)	\$ (564.6)	\$ (935.6)										
RECEIPTS:																	
Taxes:																	
Consumption/Use Taxes																	
Auto Rental	0.6	0.1	10.1	-	-	15.6	0.1										
Motor Fuel	23.8	16.7	24.9	31.2	33.4	32.7	31.3										
Highway Use	11.6	8.8	12.4	10.2	10.2	12.7	10.6										
Total Consumption/Use Taxes	36.0	25.6	47.4	43.6	43.6	61.0	42.0										
Business Taxes																	
Corporation Franchise	-	-	-	-	-	-	-										
Corporation and Utilities	0.1	(1.5)	0.6	3.6	0.1	2.2	0.7										
Petroleum Business	38.0	22.2	48.0	49.1	48.3	55.2	49.9										
Total Business Taxes	38.1	20.7	48.6	52.7	48.4	57.4	50.6										
Other Taxes																	
Real Estate Transfer	-	-	11.9	11.9	11.9	11.9	12.0										
Total Other Taxes	-	-	11.9	11.9	11.9	11.9	12.0										
Total Taxes	74.1	46.3	107.9	108.2	103.9	130.3	104.6										
Miscellaneous Receipts:																	
Abandoned Property:																	
Bottle Bill	-	-	-	23.0	-	-	-										
Assessments:																	
Business	7.9	3.6	5.4	7.0	7.6	7.1	7.3										
Fees, Licenses and Permits:																	
Business/Professional	1.8	2.1	1.6	1.3	9.3	3.1	2.5										
Civil	-	-	-	-	-	-	-										
Motor Vehicle	52.2	33.2	43.1	60.6	63.4	57.4	60.7										
Recreational/Consumer	-	-	0.5	-	11.0	7.7	0.1										
Fines, Penalties and Forfeitures	2.0	1.9	2.0	1.2	3.2	2.2	1.9										
Interest Earnings	0.7	0.5	0.1	-	0.1	0.1	-										
Receipts from Public Authorities:																	
Bond Proceeds	1,122.1	19.1	342.4	269.9	32.4	384.7	895.8										
Insurance Fees	-	-	-	-	-	-	-										
Non Bond Related	0.1	-	0.5	0.1	0.5	0.1	0.4										
Receipts from Municipalities	-	-	0.1	-	0.3	-	0.1										
Rentals	0.4	1.1	2.0	2.2	3.7	1.1	0.7										
Revenues of State Departments:																	
Administrative Recoveries	-	-	5.8	-	1.3	1.6	0.8										
Gifts, Grants and Donations	-	0.7	-	-	-	-	-										
Indirect Cost Recoveries	-	-	-	-	-	-	-										
Rebates	-	-	-	-	-	-	-										
Restitution and Settlements	3.1	0.2	-	0.1	0.9	1.4	3.3										
All Other	0.3	1.8	12.0	0.2	0.7	13.7	4.7										
Sales	-	-	415.5	365.6	134.5	480.2	978.3										
Total Miscellaneous Receipts	1,190.6	64.2	415.5	365.6	134.5	480.2	978.3										
Federal Receipts																	
						2.1	-										
								2.1									
Total Receipts	1,264.7	110.5	523.4	473.8	238.4	612.6	1,082.9										

STATE OF NEW YORK
CAPITAL PROJECTS FUNDS - STATE
STATEMENT OF CASH FLOW
FISCAL YEAR 2020-2021
(amounts in millions)

EXHIBIT I

	2020 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2021 JANUARY	FEBRUARY	MARCH	2020	2019	\$ Increase/ (Decrease)	% Increase/ Decrease
DISBURSEMENTS:																
Local Assistance Grants:																
Education	12.0	-	4.9	1.0	1.0	1.4	20.3						40.6	102.2	(61.6)	-60.3%
Environment and Recreation	4.4	3.2	14.0	12.6	20.6	15.3	6.9						77.0	122.1	(45.1)	-36.9%
General Government	30.4	10.9	41.5	36.0	69.0	18.7	90.4						296.9	546.7	(249.8)	-45.7%
Public Health:																
Medicaid	-	-	-	-	-	-	-						-	-	-	0.0%
Other Public Health	29.0	58.2	30.9	37.5	76.1	25.1	50.9						307.7	240.5	67.2	27.9%
Public Safety	-	-	0.7	0.9	1.1	0.3	0.3						3.3	2.6	0.7	26.9%
Public Welfare	-	33.8	73.7	71.7	53.1	110.4	24.6						387.3	158.1	209.2	132.3%
Support and Regulate Business	43.2	7.7	24.8	20.9	71.6	11.4	53.5						293.1	553.3	(320.2)	-57.9%
Transportation	2.4	4.7	14.4	50.4	104.0	316.6	432.9						925.4	754.0	171.4	22.7%
Total Local Assistance Grants	121.4	118.5	204.9	231.0	386.5	498.2	679.8	-	-	-	-	-	2,251.3	2,479.5	(228.2)	-9.2%
Departmental Operations:																
Personal Service	-	-	-	-	-	-	-						-	-	-	0.0%
Non-Personal Service	-	-	-	-	-	-	-						-	-	-	0.0%
General State Charges	452.1	333.5	541.8	512.4	546.9	547.4	430.2						3,364.3	3,433.7	(69.4)	-2.0%
Capital Projects	-	-	-	-	-	-	-						-	-	-	0.0%
Total Disbursements	573.5	452.0	746.7	743.4	943.4	1,046.6	1,110.0	-	-	-	-	-	5,015.6	5,913.2	(297.6)	-5.0%
Excess (Deficiency) of Receipts over Disbursements	691.2	(341.5)	(223.3)	(269.6)	(705.0)	(434.0)	(27.1)	-	-	-	-	-	(1,308.3)	(1,701.5)	393.2	23.1%
OTHER FINANCING SOURCES (USES):																
Bond and Note Proceeds (net)	-	-	-	-	-	-	-						-	-	-	0.0%
Transfers from Other Funds	(805.1)	198.0	360.6	523.4	566.3	250.0	91.3						1,184.5	2,521.8	(1,337.3)	-53.0%
Transfers to Other Funds	(12.3)	(12.4)	(12.3)	(14.0)	(36.4)	(187.0)	(12.1)						(286.5)	(560.4)	(273.9)	-48.9%
Total Other Financing Sources (Uses)	(817.4)	185.6	348.3	509.4	529.9	63.0	79.2	-	-	-	-	-	898.0	1,961.4	(1,063.4)	-54.2%
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	(126.2)	(155.9)	125.0	239.8	(175.1)	(371.0)	52.1	-	-	-	-	-	(411.3)	259.9	(671.2)	-258.3%
Ending Fund Balance	\$ (598.4)	\$ (754.3)	\$ (629.3)	\$ (389.5)	\$ (564.6)	\$ (935.6)	\$ (883.5)	\$ -	\$ -	\$ -	\$ -	\$ -	\$ (883.5)	\$ (373.3)	\$ (510.2)	-136.7%

EXHIBIT I

STATE OF NEW YORK
CAPITAL PROJECTS FUNDS - FEDERAL
STATEMENT OF CASH FLOW
FISCAL YEAR 2020-2021
(amounts in millions)

	7 Months Ended October 31												% Increase/ Decrease			
	2020 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2021 JANUARY	FEBRUARY	MARCH				
Beginning Fund Balance	\$ (562.7)	\$ (556.6)	\$ (567.9)	\$ (578.6)	\$ (541.2)	\$ (515.6)	\$ (553.3)						\$ (58.0)	-11.5%		
RECEIPTS:																
Miscellaneous Receipts:																
Abandoned Property:																
Bottle Bill	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%		
Assessments:																
Business	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%		
Fees, Licenses and Permits:																
Business/Professional	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%		
Civil	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%		
Motor Vehicle	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%		
Recreational/Consumer	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%		
Fines, Penalties and Forfeitures	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%		
Interest Earnings	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%		
Receipts from Public Authorities:																
Bond Proceeds	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%		
Insurance Fees	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%		
Non Bond Related	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%		
Receipts from Municipalities	0.1	-	0.1	-	0.1	0.1	0.1	-	-	-	-	-	-	0.0%		
Rentals	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%		
Revenues of State Departments:																
Administrative Recoveries	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%		
Gifts, Grants and Donations	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%		
Indirect Cost Recoveries	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%		
Restitution and Settlements	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%		
All Other	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%		
Sales	-	-	-	-	-	0.1	-	-	-	-	-	-	-	0.1	100.0%	
Total Miscellaneous Receipts	0.1	-	0.1	-	0.1	0.2	0.1	-	-	-	-	-	-	0.1	20.0%	
Federal Receipts	85.7	102.7	167.9	209.1	186.7	175.1	167.7	-	-	-	-	-	-	1,094.9	(65.9)	-5.7%
Total Receipts	85.8	102.7	168.0	209.1	186.8	175.3	167.8	-	-	-	-	-	-	1,095.5	(65.8)	-5.7%
DISBURSEMENTS:																
Local Assistance Grants:																
Education	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Environment and Recreation	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
General Government	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Public Health:																
Medicaid	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Other Public Health	-	-	-	1.2	-	0.6	-	-	-	1.8	38.9	-	-	(37.1)	(37.1)	-95.4%
Public Safety	-	-	3.1	-	-	0.4	-	-	-	3.5	20.2	-	-	(16.7)	(16.7)	-82.7%
Public Welfare	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Support and Regulate Business	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Transportation	22.0	41.5	35.6	41.5	42.7	52.7	50.7	-	-	-	285.2	1.5	-	286.7	1.5	0.5%
Total Local Assistance Grants	22.0	41.5	38.7	42.7	42.7	53.7	50.7	-	-	-	344.3	(52.3)	(52.3)	292.0	(52.3)	-15.2%
Departmental Operations:																
Personal Service	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Non-Personal Service	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
General State Charges	57.7	72.5	140.0	129.0	118.5	159.3	103.4	-	-	-	709.3	71.1	-	780.4	71.1	10.0%
Capital Projects	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Total Disbursements	79.7	114.0	178.7	171.7	161.2	213.0	154.1	-	-	-	1,053.6	18.8	18.8	1,072.4	18.8	1.8%
Excess (Deficiency) of Receipts over Disbursements	6.1	(11.3)	(10.7)	37.4	25.6	(37.7)	13.7	-	-	-	107.7	(84.6)	(84.6)	23.1	(84.6)	-78.6%
OTHER FINANCING SOURCES (USES):																
Transfers from Other Funds	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Transfers to Other Funds	-	-	-	-	-	-	-	-	-	-	(175.9)	(175.9)	(175.9)	-	(175.9)	-100.0%
Total Other Financing Sources (Uses)	-	-	-	-	-	-	-	-	-	-	(175.9)	(175.9)	(175.9)	-	(175.9)	-100.0%
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	6.1	(11.3)	(10.7)	37.4	25.6	(37.7)	13.7	-	-	-	-	-	-	23.1	-	0.0%
Ending Fund Balance	\$ (556.6)	\$ (567.9)	\$ (578.6)	\$ (541.2)	\$ (515.6)	\$ (553.3)	\$ (539.6)	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ (539.6)	\$ 91.3	133.9%

STATE OF NEW YORK
ENTERPRISE FUNDS
STATEMENT OF CASH FLOW
FISCAL YEAR 2020-2021
(amounts in millions)

EXHIBIT J

	2020 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2021 JANUARY	FEBRUARY	MARCH	7 Months Ended October 31			% Increase/ Decrease
	\$ 29.7	\$ 45.9	\$ 35.9	\$ 35.3	\$ 40.4	\$ 42.2	\$ 42.1						2020 \$ 29.7	2019 \$ 26.6	\$ Increase/ (Decrease)	
Beginning Fund Balance																
RECEIPTS:																
Miscellaneous Receipts	4.2	4.8	6.4	6.9	7.7	7.0	4.4						41.4	55.3	(13.9)	-25.1%
Federal Receipts	2,584.0	5,983.3	10,834.5	8,949.5	3,020.9	2,491.7	2,489.5						36,363.4	6.8	36,356.6	534,655.9%
Unemployment Taxes	1,823.9	2,281.7	2,631.7	1,980.9	1,831.3	1,396.4	613.4						12,539.3	1,105.5	11,433.8	1,034.3%
Total Receipts	4,412.1	8,259.8	13,472.6	10,937.3	4,859.9	3,895.1	3,107.3	-	-	-	-	-	48,944.1	1,167.6	47,776.5	4,091.9%
DISBURSEMENTS:																
Departmental Operations:																
Personal Service	1.4	0.9	1.1	1.1	1.3	1.7	1.1						8.6	10.5	(1.9)	-18.1%
Non-Personal Service	3.6	4.9	5.4	4.9	4.6	4.4	4.1						31.9	36.9	(5.0)	-13.6%
General State Charges	0.2	0.1	0.2	0.1	0.1	0.2	0.1						1.0	0.4	0.6	150.0%
Unemployment Benefits	4,390.7	8,263.9	13,469.5	10,926.1	4,852.1	3,888.9	3,102.8						48,884.0	1,113.0	47,781.0	4,293.0%
Total Disbursements	4,395.9	8,269.8	13,476.2	10,932.2	4,858.1	3,895.2	3,106.1	-	-	-	-	-	48,935.5	1,160.8	47,774.7	4,115.7%
Excess (Deficiency) of Receipts over Disbursements	16.2	(10.0)	(3.6)	5.1	1.8	(0.1)	(0.8)	-	-	-	-	-	8.6	6.8	1.8	26.5%
OTHER FINANCING SOURCES (USES):																
Transfers from Other Funds	-	-	3.0	-	-	-	-						3.0	-	3.0	100.0%
Transfers to Other Funds	-	-	-	-	-	-	-						-	-	-	0.0%
Total Other Financing Sources (Uses)	-	-	3.0	-	-	-	-	-	-	-	-	-	3.0	-	3.0	100.0%
Excess (Deficiency) of Receipts and Other Financing Sources Over Disbursements and Other Financing Uses	16.2	(10.0)	(0.6)	5.1	1.8	(0.1)	(0.8)	-	-	-	-	-	11.6	6.8	4.8	70.6%
Ending Fund Balance	\$ 45.9	\$ 35.9	\$ 35.3	\$ 40.4	\$ 42.2	\$ 42.1	\$ 41.3	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 41.3	\$ 33.4	\$ 7.9	23.7%

EXHIBIT K

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EXHIBIT L

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EXHIBIT M

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SCHEDULE 1

STATE OF NEW YORK
GOVERNMENTAL FUNDS
SUMMARY OF CASH RECEIPTS, DISBURSEMENTS AND
CHANGES IN FUND BALANCES
FISCAL YEAR 2020-2021
FOR THE MONTH OF OCTOBER 2020
(amounts in millions)

	BALANCE OCTOBER 1, 2020	RECEIPTS	DISBURSEMENTS	OTHER FINANCING SOURCES (USES)	BALANCE OCTOBER 31, 2020
GENERAL FUND					
10000-10049-Local Assistance Account	\$ -	\$ 0.061	\$ 2,396.098	\$ 2,396.037	\$ -
10050-10099-State Operations Account	15,411.819	2,260.867	1,168.999	(1,602.752)	14,900.935
10100-10149-Tax Stabilization Reserve	-	-	-	-	-
10150-10199-Contingency Reserve	-	-	-	-	-
10200-10249-Universal Pre-K Reserve	-	-	-	-	-
10250-10299-Community Projects	-	-	0.097	-	-
10300-10349-Rainy Day Reserve Fund	29.976	-	-	-	29.879
10400-10449-Refund Reserve Account	-	-	-	-	-
10500-10549-Fringe Benefits Escrow	-	-	-	-	-
10550-10599-Tobacco Revenue Guarantee	-	-	-	-	-
TOTAL GENERAL FUND	15,441.795	2,260.928	3,565.194	793.285	14,930.814
SPECIAL REVENUE FUNDS-STATE					
20000-20099-Mental Health Gifts and Donations	0.841	-	0.003	-	0.838
20100-20299-Combined Expendable Trust	69.874	0.978	0.513	-	70.339
20300-20349-New York Interest on Lawyer Account	114.387	2.251	4.088	-	112.550
20350-20399-NYS Archives Partnership Trust	0.077	-	0.036	-	0.041
20400-20449-Child Performer's Protection	0.470	0.005	0.049	-	0.426
20450-20499-Tuition Reimbursement	8.429	0.291	0.484	-	8.236
20500-20549-New York State Local Government Records Management Improvement	4.746	0.901	0.508	-	5.139
20550-20599-School Tax Relief	0.009	0.100	-	-	0.109
20600-20649-Charter Schools Stimulus	0.577	0.001	-	-	0.578
20650-20699-Not-For-Profit Short Term Revolving Loan	-	-	-	-	-
20800-20849-HCRA Resources	104.335	495.529	410.798	(7.485)	181.581
20850-20899-Dedicated Mass Transportation Trust	90.444	49.779	65.100	-	75.123
20900-20949-State Lottery	(1,415.269)	227.879	149.275	-	(1,336.665)
20950-20999-Combined Student Loan	28.982	1.942	0.522	-	30.402
21000-21049-Sewage Treatment Program Mgmt. & Administration	(3.748)	-	0.051	-	(3.799)
21050-21149-Encon Special Revenue	(5.871)	12.432	6.764	5.033	4.830
21150-21199-Conservation	95.103	10.745	2.655	(1.834)	101.359
21200-21249-Environmental Protection and Oil Spill Compensation	20.283	3.318	1.543	(2.422)	19.636
21250-21299-Training and Education Program on OSHA	10.532	0.001	1.826	-	8.707
21300-21349-Lawyers' Fund for Client Protection	10.089	0.925	0.092	-	10.922
21350-21399-Equipment Loan for the Disabled	0.543	0.002	0.013	-	0.532
21400-21449-Mass Transportation Operating Assistance	486.246	136.397	178.710	(0.085)	443.848
21450-21499-Clean Air	(34.205)	5.591	2.670	-	(31.284)
21500-21549-New York State Infrastructure Trust	0.071	-	-	-	0.071
21550-21599-Legislative Computer Services	12.328	0.094	0.073	-	12.349
21600-21649-Biodiversity Stewardship and Research	-	-	-	-	-
21650-21699-Combined Non-Expendable Trust	0.469	-	-	-	0.469
21700-21749-Winter Sports Education Trust	-	-	-	-	-
21750-21799-Musical Instrument Revolving	-	-	-	-	-
21850-21899-Arts Capital Grants	-	-	-	-	-
21900-22499-Miscellaneous State Special Revenue	0.990	0.001	0.157	-	0.834
22500-22549-Court Facilities Incentive Aid	1,605.733	334.315	217.423	44.891	1,767.516
	25.481	0.003	6.962	-	18.522

SCHEDULE 1

STATE OF NEW YORK
GOVERNMENTAL FUNDS
SUMMARY OF CASH RECEIPTS, DISBURSEMENTS AND
CHANGES IN FUND BALANCES
FISCAL YEAR 2020-2021
FOR THE MONTH OF OCTOBER 2020
(amounts in millions)

	BALANCE OCTOBER 1, 2020	RECEIPTS	DISBURSEMENTS	OTHER FINANCING SOURCES (USES)	BALANCE OCTOBER 31, 2020
SPECIAL REVENUE FUNDS-STATE (CONTINUED)					
22550-22599-Employment Training	0.053	-	-	-	0.053
22650-22699-State University Income	1,872.271	483.790	507.637	421.399	2,269.823
22700-22749-Chemical Dependence Service	10.864	0.085	0.184	-	10.765
22750-22799-Lake George Park Trust	0.240	-	0.094	-	0.146
22800-22849-State Police Motor Vehicle Law Enforcement and Motor Vehicle Theft and Insurance Fraud Prevention	79.067	0.004	2.313	-	76.758
22850-22899-New York Great Lakes Protection	0.482	-	0.011	-	0.471
22900-22949-Federal Revenue Maximization	0.024	-	-	-	0.024
22950-22999-Housing Development	10.645	0.001	-	-	10.646
23000-23049-NYS/DOT Highway Safety Program	(15.896)	0.127	0.272	-	(16.041)
23050-23099-Vocational Rehabilitation	0.075	-	-	-	0.075
23100-23149-Drinking Water Program Management and Administration	(5.351)	-	-	-	(5.351)
23150-23199-NYC County Clerks' Operations Offset	(43.873)	-	2.221	-	(46.094)
23200-23249-Judiciary Data Processing Offset	53.356	5.988	2.656	-	56.688
23250-23449-IFR/CUTRA	149.449	4.009	2.042	-	151.416
23500-23549-USOC Lake Placid Training	0.341	0.007	-	-	0.348
23550-23599-Indigent Legal Services	496.632	25.340	4.993	-	516.979
23600-23649-Unemployment Insurance Interest and Penalty	24.266	0.206	0.156	(2.537)	21.779
23650-23699-MTA Financial Assistance Fund	326.463	0.037	10.000	61.350	377.850
23700-23749-New York State Commercial Gaming Fund	13.233	11.330	2.708	-	21.855
23750-23799-Medical Marijuana Trust Fund	12.202	0.759	0.283	-	12.678
23800-23899-Dedicated Miscellaneous State Special Revenue	3.834	0.202	0.091	-	3.945
24850-24899-Health Care Transformation	316.419	0.034	-	-	316.453
24900-24949-Charitable Gifts Trust Fund	95.898	0.010	-	-	95.908
24950-24999-Interactive Fantasy Sports	20.740	0.813	0.010	-	21.543
40350-40399-State University Dormitory Income	150.544	59.288	-	(17.675)	192.157
TOTAL SPECIAL REVENUE FUNDS-STATE	4,803.924	1,875.510	1,585.986	500.635	5,594.083
SPECIAL REVENUE FUNDS-FEDERAL					
25000-25099-Federal USDA/Food and Consumer Services	0.638	143.150	141.617	(0.178)	1.993
25100-25199-Federal Health and Human Services	868.370	6,384.555	3,751.552	(477.102)	3,024.271
25200-25249-Federal Education	(16.039)	123.930	140.043	(0.023)	(32.175)
25300-25899-Federal Miscellaneous Operating Grants	3,741.725	725.029	957.136	(3.026)	3,506.592
25900-25949-Unemployment Insurance Administration	113.143	50.945	68.414	-	95.674
25950-25999-Unemployment Insurance Occupational Training	(0.503)	0.232	0.091	-	(0.362)
26000-26049-Federal Employment and Training Grants	(0.926)	10.673	16.031	-	(6.284)
TOTAL SPECIAL REVENUE FUNDS-FEDERAL	4,706.408	7,438.514	5,074.884	(480.329)	6,589.709
TOTAL SPECIAL REVENUE FUNDS	9,510.332	9,314.024	6,660.870	20.306	12,183.792
DEBT SERVICE FUNDS					
40000-40049-Debt Reduction Reserve	-	-	-	-	-
40100-40149-Mental Health Services	65.475	21.558	-	190.974	278.007
40150-40199-General Debt Service	2,227.009	1,540.898	38.668	(743.956)	2,985.283
40250-40299-State Housing Debt Service	-	0.002	1.147	1.145	-
40300-40349-Department of Health Income	38.837	(0.676)	-	(10.081)	28.080
40400-40449-Clean Water/Clean Air	6.030	65.856	-	(62.934)	8.952
40450-40499-Local Government Assistance Tax	-	274.909	-	(274.909)	-
TOTAL DEBT SERVICE FUNDS	2,337.351	1,902.547	39.815	(899.761)	3,300.322

SCHEDULE 1

STATE OF NEW YORK
GOVERNMENTAL FUNDS
SUMMARY OF CASH RECEIPTS, DISBURSEMENTS AND
CHANGES IN FUND BALANCES
FISCAL YEAR 2020-2021
FOR THE MONTH OF OCTOBER 2020
(amounts in millions)

	BALANCE OCTOBER 1, 2020	RECEIPTS	DISBURSEMENTS	OTHER FINANCING SOURCES (USES)	BALANCE OCTOBER 31, 2020
CAPITAL PROJECTS FUNDS					
30000-30049-State Capital Projects	-	689,738	780,606	90,868	-
30050-30099-Dedicated Highway and Bridge Trust	(320,732)	307,903	173,936	(10,649)	(197,414)
30100-30299-SUNY Residence Halls Rehabilitation and Repair	116,349	0,013	1,279	-	115,083
30300-30349-New York State Canal System Development	14,096	0,001	-	-	14,097
30350-30399-Parks Infrastructure	(70,450)	47,560	11,964	-	(34,854)
30400-30449-Passenger Facility Charge	0,015	-	-	-	0,015
30450-30499-Environmental Protection	98,040	15,441	11,745	-	101,736
30500-30549-Clean Water/Clean Air Implementation	-	-	-	-	-
30600-30609-Energy Conservation Thru Improved Transportation Bond	0,164	-	-	-	0,164
30610-30619-Park and Recreation Land Acquisition Bond	-	-	-	-	-
30620-30629-Pure Waters Bond	0,668	-	-	-	0,668
30630-30639-Transportation Capital Facilities Bond	3,328	-	-	-	3,328
30640-30649-Environmental Quality Protection Bond	1,419	-	-	-	1,419
30650-30659-Rebuild and Renew New York Transportation Bond	17,201	-	-	(0,001)	17,200
30660-30669-Transportation Infrastructure Renewal Bond	4,255	-	-	-	4,255
30670-30679-1986 Environmental Quality Bond Act	5,551	-	-	-	5,551
30680-30689-Accelerated Capacity and Transportation Improvement Bond	2,778	-	-	-	2,778
30690-30699-Clean Water/Clean Air Bond	1,428	-	-	-	1,428
30700-30709-State Housing Bond	-	-	-	-	-
30710-30719-Smart Schools Bond	-	-	-	-	-
30750-30799-Outdoor Recreation Development Bond	-	-	-	-	-
30900-30949-Rail Preservation and Development Bond	-	-	-	-	-
31350-31449-Federal Capital Projects	(553,291)	167,775	154,064	-	(539,580)
31450-31499-Forest Preserve Expansion	1,082	-	-	-	1,082
31500-31549-Hazardous Waste Remedial	(62,232)	19,361	6,181	(0,677)	(49,729)
31650-31699-Suburban Transportation	0,539	0,001	-	-	0,540
31700-31749-Division for Youth Facilities Improvement	(15,759)	-	1,432	-	(17,191)
31800-31849-Housing Assistance	(12,942)	-	-	-	(12,942)
31850-31899-Housing Program	(424,287)	-	24,112	-	(448,399)
31900-31949-Natural Resource Damage	17,899	0,002	0,189	-	17,712
31950-31999-DOT Engineering Services	(11,969)	-	(0,013)	-	(11,956)
32200-32249-Miscellaneous Capital Projects	103,009	1,541	3,777	1,632	102,405
32250-32299-CUNY Capital Projects	0,035	0,001	-	-	0,036
32300-32349-Mental Hygiene Facilities Capital Improvement	(383,564)	0,004	11,283	-	(394,843)
32350-32399-Correction Facilities Capital Improvement	(212,506)	-	20,471	-	(232,977)
32400-32999-State University Capital Projects	153,516	0,052	3,858	(1,945)	147,765
33000-33049-NYS Storm Recovery Fund	(53,102)	1,310	1,806	-	(53,598)
33050-33099-Dedicated Infrastructure Investment Fund	90,519	-	57,387	-	33,132
TOTAL CAPITAL PROJECTS FUNDS	(1,488,943)	1,250,703	1,264,077	79,228	(1,423,089)
TOTAL GOVERNMENTAL FUNDS	\$ 25,800,535	\$ 14,728,202	\$ 11,529,956	\$ (6,942)	\$ 28,991,839

SCHEDULE 2

STATE OF NEW YORK
 PROPRIETARY FUNDS
 SUMMARY OF CASH RECEIPTS, DISBURSEMENTS AND
 CHANGES IN FUND BALANCES
 FISCAL YEAR 2020-2021
 FOR THE MONTH OF OCTOBER 2020
 (amounts in millions)

FUND TYPE	BALANCE OCTOBER 1, 2020	RECEIPTS	DISBURSEMENTS	OTHER FINANCING SOURCES (USES)	BALANCE OCTOBER 31, 2020
ENTERPRISE FUNDS					
50000-50049-Youth Commissary	\$ 0.114	\$ 0.002	\$ -	\$ -	\$ 0.116
50050-50099-State Exposition Special	2.057	0.019	0.363	-	1.713
50100-50299-Correctional Services Commissary	3.669	3.829	3.421	-	4.077
50300-50399-Agencies Enterprise	11.004	0.475	1.362	-	10.117
50400-50449-Sheltered Workshop	2.241	0.012	0.010	-	2.243
50450-50499-Patient Workshop	1.887	0.002	-	-	1.889
50500-50599-Mental Hygiene Community Stores	4.943	0.084	0.095	-	4.932
50650-50699-Unemployment Insurance	16.143	3,102.904	3,102.875	-	16.172
TOTAL ENTERPRISE FUNDS	42.058	3,107.327	3,108.126	-	41.259
INTERNAL SERVICE FUNDS					
55000-55049-Centralized Services	(117.864)	30.635	29.801	1.340	(115.690)
55050-55099-Agency Internal Service	(143.179)	11.797	37.721	5.901	(163.202)
55100-55149-Mental Hygiene Revolving	(0.104)	0.042	0.054	-	(0.116)
55150-55199-Youth Vocational Education	0.079	-	-	-	0.079
55200-55249-Joint Labor and Management Administration	0.090	0.459	0.063	-	0.486
55250-55299-Audit and Control Revolving	(57.215)	-	3.290	(0.069)	(60.574)
55300-55349-Health Insurance Revolving	(10.377)	7.868	0.718	(0.225)	(3.452)
55350-55399-Correctional Industries Revolving	(44.083)	2.202	4.738	(0.005)	(46.624)
TOTAL INTERNAL SERVICE FUNDS	(372.653)	53.003	76.385	6.942	(389.093)
TOTAL PROPRIETARY FUNDS	\$ (330.595)	\$ 3,160.330	\$ 3,184.511	\$ 6.942	\$ (347.834)

SCHEDULE 3

STATE OF NEW YORK
FIDUCIARY FUNDS
SUMMARY OF CASH RECEIPTS, DISBURSEMENTS AND CHANGES IN FUND BALANCES
FISCAL YEAR 2020-2021
FOR THE MONTH OF OCTOBER 2020
(amounts in millions)

FUND TYPE	BALANCE OCTOBER 1, 2020	RECEIPTS	DISBURSEMENTS	OTHER FINANCING SOURCES (USES)	BALANCE OCTOBER 31, 2020
PENSION TRUST FUNDS					
65000-65049-Common Retirement Administration	\$ (4.213)	\$ 11.054	\$ 9.708	\$ -	\$ (2.867)
TOTAL PENSION TRUST FUNDS	(4.213)	11.054	9.708	-	(2.867)
PRIVATE PURPOSE TRUST FUNDS					
66000-66049-Agriculture Producers' Security	3.059	0.001	0.017	-	3.043
66050-66099-Milk Producers' Security	11.075	0.104	0.021	-	11.158
TOTAL PRIVATE PURPOSE TRUST FUNDS	14.134	0.105	0.038	-	14.201
AGENCY FUNDS					
60050-60149-School Capital Facilities Financing Reserve	18.030	0.056	-	-	18.086
60150-60199-Child Performer's Holding	0.538	-	-	-	0.538
60200-60249-Employees Health Insurance	1,143.184	862.097	966.754	-	1,038.527
60250-60299-Social Security Contribution	15.427	93.386	94.375	-	14.438
60300-60399-Employee Payroll Withholding	61.561	347.584	375.020	-	34.125
60400-60449-Employees Dental Insurance	19.583	5.048	5.774	-	18.857
60450-60499-Management Confidential Group Insurance	0.794	0.782	0.763	-	0.813
60500-60549-Lottery Prize	632.188	81.580	76.733	-	637.035
60550-60599-Health Insurance Reserve Receipts	0.146	-	-	-	0.146
60600-60799-Miscellaneous New York State Agency	926.018	936.418	932.080	-	930.356
60800-60849-Elderly Pharmaceutical Insurance Coverage (EPIC) Escrow	31.693	10.898	14.190	-	28.401
60850-60899-CUNY Senior College Operating	24.098	180.161	201.527	-	2.732
60900-60949-Medicaid Management Information System (MMIS) Escrow	3,074.824	6,030.447	8,907.150	-	198.121
60950-60999-Special Education	-	-	-	-	-
61000-61099-State University of New York Revenue Collector	236.126	(136.122)	-	-	100.004
61100-61999-State University Federal Direct Lending Program	(7.132)	43.932	39.999	-	(3.199)
62000-62049-SSI SSP Payment Escrow	-	-	-	-	-
TOTAL AGENCY FUNDS	6,177.078	8,456.267	11,614.365	-	3,018.980
TOTAL FIDUCIARY FUNDS	\$ 6,186.999	\$ 8,467.426	\$ 11,624.111	\$ -	\$ 3,030.314

SCHEDULE 4

STATE OF NEW YORK
 SOLE CUSTODY AND INVESTMENT ACCOUNTS
 STATEMENT OF CASH RECEIPTS AND DISBURSEMENTS
 FISCAL YEAR 2020-2021
 FOR THE MONTH OF OCTOBER 2020
 (amounts in millions)

ACCOUNTS	FUND TYPE	BALANCE		RECEIPTS	DISBURSEMENTS	BALANCE	
		OCTOBER 1, 2020					OCTOBER 31, 2020
70000-70049-Tobacco Settlement		\$	2,887	\$	0.001	\$	2,888
70093, 70095, 70300-70301-MTA State Assistance			157,031		160,904		173,289
70050-70149-Sole Custody Investment (*)			2,626,155		2,411,926		2,420,745
70200-Comptroller's Refund Account			-		169,456		-
TOTAL ACCOUNTS		\$	2,786,073	\$	2,742,287	\$	2,596,922

(*) Includes Public Asset Fund resources:

Chapter 1 of the Laws of 2002 authorized the conversion of Empire Health Choice, d/b/a Empire Blue Cross and Blue Shield from a not-for-profit corporation to a for-profit corporation. Chapter 1 requires, in part, that upon such conversion, assets representing 95 percent of the fair market value of the not-for-profit corporation be transferred to a fund designated as the "Public Asset Fund" and 5 percent transferred to a Charitable Foundation - as set forth in Section 7317 of the Insurance Law. On December 28, 2005, WellChoice, Inc. (previously known as Empire Blue Cross, Blue Shield) approved a takeover by WellPoint, Inc. This conversion was also subject to the same Chapter 1 requirements of assigning assets representing 95 percent of the fair market value of the not-for-profit corporation be transferred to the "Public Asset Fund".

As of October 31, 2020, \$9,541,097.79 (representing the remaining balance of the State's 95 percent share of the fair market value of the not-for-profit corporation plus interest) is on deposit in the sole custody account titled Public Asset Fund. In accordance with Section 4301(0)(4)(F) and (O) of the Insurance Law and at the direction of the Director of the Budget, these funds are available for transfer to HCRA Resources Fund (20800-20849).

STATE OF NEW YORK
DEBT SERVICE FUNDS
STATEMENT OF DIRECT STATE DEBT ACTIVITY
FISCAL YEAR 2020-2021

SCHEDULE 5

PURPOSE	DEBT OUTSTANDING APRIL 1, 2020	DEBT ISSUED		DEBT MATURED		DEBT OUTSTANDING OCTOBER 31, 2020	INTEREST DISBURSED	
		MONTH OF OCTOBER	7 MONTHS ENDED OCTOBER 31, 2020	MONTH OF OCTOBER	7 MONTHS ENDED OCTOBER 31, 2020		MONTH OF OCTOBER	7 MONTHS ENDED OCTOBER 31, 2020
GENERAL OBLIGATION BONDED DEBT:								
Accelerated Capacity and Transportation Improvements	\$ 11,445,463	\$ -	\$ -	\$ -	\$ 720,219	\$ 10,725,244	\$ 82,145	\$ 265,100
Clean Water/Clean Air:								
Air Quality	1,795,354	-	-	-	-	1,795,354	-	25,936
Safe Drinking Water	-	-	-	-	-	-	-	-
Clean Water	298,595,491	-	-	-	10,184,660	288,410,831	1,133,273	6,522,250
Solid Waste	16,287,590	-	-	-	1,659,267	14,628,323	23,580	356,229
Environmental Restoration	40,070,447	-	-	-	160,000	39,910,447	8,375	750,962
Energy Conservation Through Improved Transportation:								
Rapid Transit and Rail Freight	1,198,754	-	-	-	176,298	1,022,456	22,550	48,908
Environmental Quality (1972):								
Air	3,184	-	-	-	-	3,184	-	64
Land and Wetlands	4,939,861	-	-	-	25,000	4,914,861	1,250	121,641
Water	6,370,803	-	-	-	715,000	5,655,803	42,875	168,874
Environmental Quality (1986):								
Land Acquisition/Development/Restoration/Forests	5,309,545	-	-	-	486,025	4,823,520	11,571	126,241
Solid Waste Management	91,992,747	-	-	-	7,260,923	84,731,824	666,496	2,401,672
Housing:								
Low Income	5,840,000	-	-	-	1,060,000	4,780,000	87,600	87,600
Middle Income	4,035,000	-	-	-	2,240,000	1,795,000	-	80,718
Park and Recreation Land Acquisition	-	-	-	-	-	-	-	-
Pure Waters	15,498,329	-	-	-	1,846,959	13,651,370	107,528	389,781
Rail Preservation Development	-	-	-	-	-	-	-	-
Rebuild and Renew New York Transportation:								
Highway Facilities	600,658,226	-	-	-	-	600,658,226	-	10,411,922
Canals and Waterways	9,419,680	-	-	-	-	9,419,680	-	189,325
Aviation	41,089,448	-	-	-	-	41,089,448	-	643,951
Rail and Port	92,824,245	-	-	-	-	92,824,245	-	1,413,133
Mass Transit - Dept. of Transportation	12,168,734	-	-	-	-	12,168,734	-	286,617
Mass Transit - Metropolitan Transportation Authority	705,163,311	-	-	-	-	705,163,311	-	12,342,743
Rebuild New York Transportation Infrastructure Renewal:								
Highways, Parkways, and Bridges	553,992	-	-	-	-	553,992	37,391	50,012
Rapid Transit, Rail and Aviation	2,042,563	-	-	-	479,171	1,563,392	-	49,370
Smart Schools Bond Act	161,307,133	-	-	-	-	161,307,133	-	4,031,427
Transportation Capital Facilities:								
Aviation	2,090,099	-	-	-	441,478	1,648,621	40,662	90,412
Mass Transportation	-	-	-	-	-	-	-	-
Total General Obligation Bonded Debt	\$ 2,130,689,999	\$ -	\$ -	\$ 1,060,000	\$ 27,455,000	\$ 2,103,244,999	\$ 2,265,296	\$ 40,854,886

SCHEDULE 5a

STATE OF NEW YORK
DEBT SERVICE FUNDS
FINANCING AGREEMENTS
FOR THE SEVEN MONTHS ENDED OCTOBER 31, 2020

Special Contractual Financing Obligations: Payments to Public Authorities:	DEBT REDUCTION RESERVE (40000-40049)	GENERAL DEBT SERVICE (40151)	DEPARTMENT OF HEALTH INCOME (40300-40349)	LOCAL GOVERNMENT ASSISTANCE TAX (40450-40499)	MENTAL HEALTH SERVICES (40100-40149)	REVENUE BOND TAX (40152)	SALES TAX REVENUE BOND TAX (40154)	COMBINED TOTALS		\$ INCREASE/ (DECREASE)
								7 MONTHS ENDED OCTOBER 31 2020	2019	
City University Construction	\$ -	\$ -	\$ -	\$ -	\$ -	-	\$ -	\$ 54,720,301	\$ -	\$ (54,720,301)
Domitory Authority:	-	-	-	-	-	-	-	54,430,525	-	(54,430,525)
Consolidated Service Contract Refunding	-	-	-	-	-	-	-	375,408,510	-	421,857,494
DASNY Revenue Bond	-	-	-	-	-	573,824,449	223,441,555	797,266,004	-	(278,850)
Department of Health Facilities	-	-	12,802,026	-	-	-	-	13,080,876	-	1,550,556
Mental Health Facilities	-	-	-	-	8,615,353	-	-	7,064,797	-	(1,333,928)
Secured Hospital Program	-	2,495,165	-	-	-	-	-	3,829,083	-	2,418,500
SUNY Community Colleges	-	8,347,200	-	-	-	-	-	8,347,200	-	7,706,875
SUNY Educational Facilities	-	25,819,813	-	-	-	-	-	25,819,813	-	(409,228)
Environmental Facilities Corporation	-	-	-	-	-	-	-	18,022,938	-	(1,814,181)
Housing Finance Agency	-	-	-	-	-	430,631	-	430,631	-	(21,302,971)
Local Government Assistance Corporation	-	15,828,552	-	-	-	-	-	15,828,552	-	-
Metropolitan Transportation Authority:	-	-	-	-	-	-	-	-	-	-
Transit and Commuter Rail Projects	-	-	-	-	-	-	-	-	-	-
Thruway Authority:	-	-	-	-	-	-	-	-	-	-
Dedicated Highway and Bridge	-	70,245,848	-	-	-	-	-	70,245,848	-	(238,294,240)
Local Highway and Bridge	-	-	-	-	-	-	-	21,772,000	-	(21,772,000)
Transportation	-	-	-	-	-	17,821,175	-	17,821,175	-	(9,498,938)
Urban Development Corporation:	-	-	-	-	-	-	-	-	-	-
Clarkson University	-	-	-	-	-	-	-	26,675	-	(26,675)
Columbia Univer. Telecommunications Center	-	-	-	-	-	-	-	-	-	-
Consolidated Service Contract Refunding	-	4,297,108	-	-	-	-	-	4,297,108	-	196,854
Cornell Univer. Supercomputer Center	-	-	-	-	-	-	-	-	-	-
Correctional Facilities	-	-	-	-	-	-	-	-	-	-
Debt Reduction Reserve	-	-	-	-	-	-	-	-	-	-
UDC Revenue Bond	-	-	-	-	-	286,525,473	-	286,525,473	-	281,419,898
University Facilities Grant 95 Refunding	-	11,603	-	-	-	-	-	11,603	60,072	(48,469)
Total Disbursements for Special Contractual Financing Obligations	\$ -	\$ 127,045,289	\$ 12,802,026	\$ -	\$ 8,615,353	\$ 878,601,728	\$ 223,441,555	\$ 1,250,505,951	\$ 939,751,830	\$ 310,754,121

SCHEDULE 6

STATE OF NEW YORK
SUMMARY OF THE OPERATING FUND INVESTMENTS
FOR THE MONTH OF OCTOBER 2020
AS REQUIRED OF THE STATE COMPTROLLER
(amounts in millions)

	MONTH OF OCTOBER 2020	FISCAL YEAR TO DATE	PRIOR FISCAL YEAR TO DATE
SHORT TERM INVESTMENT POOL (*)			
AVERAGE DAILY INVESTMENT BALANCE (**)	\$ 34,725.3	\$ 28,995.3	\$ 18,820.4
AVERAGE YIELD (**)	0.122%	0.240%	2.318%
TOTAL INVESTMENT EARNINGS	\$ 3.578	\$ 40.894	\$ 265.354

Month-End Portfolio Balances

DESCRIPTION	OCTOBER 2020 PAR AMOUNT	OCTOBER 2019 PAR AMOUNT
GOVT. AGENCY BILLS/NOTES	\$ 15,356.2	\$ 3,893.3
REPURCHASE AGREEMENTS	20.8	77.3
GOVT. SPONSORED AGENCIES	652.7	-
COMMERCIAL PAPER	15,255.1	13,681.7
CERTIFICATES OF DEPOSIT/SAVINGS	2,902.0	2,941.9
0% COMPENSATING BALANCE CDs	48.0	8.0
	\$ 34,234.8	\$ 20,602.2

(*) Pursuant to §98 of the State Finance Law, the State Comptroller is authorized to invest and keep invested all moneys, in any fund, held by the State. The Short Term Investment Pool (STIP) represents an accounting mechanism that allows for the separate accounting of individual funds (on deposit in the State's General Checking account) for the purpose of making short term investments. Pursuant to State Finance Law §4(5) the STIP is authorized to temporarily loan to the General Fund-State Operations Account (10050) funds for a period not to exceed the end of the fiscal year. However, it must be noted that certain funds are invested as part of STIP, but are held by the State Comptroller in a fiduciary capacity. Fiduciary fund balances are restricted and may not be used for any State purposes since moneys in such funds are held by the State in a trustee (or fiduciary) capacity or as an agent for individuals, private organizations, or non-State governmental units (e.g. local governments and public authorities). Therefore, Fiduciary fund balances are not available to be temporarily loaned to the General Fund-State Operations Account. Fiduciary fund balances are presented in Schedules 3 and 4 of this report.

(**) Does not include 0% Compensating Balance CDs.

STATE OF NEW YORK
HCRA RESOURCES FUND
STATEMENT OF RECEIPTS AND DISBURSEMENTS BY ACCOUNT
FISCAL YEAR 2020-2021

APPENDIX A

	2020 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2021 JANUARY	FEBRUARY	MARCH	7 Months Ended October 31, 2020
OPENING CASH BALANCE	\$ 15,704,540	\$ 95,764,658	\$ 80,082,746	\$ 480,512,199	\$ 186,132,186	\$ 217,721,195	\$ 104,334,501						\$ 15,704,540
RECEIPTS:													
Cigarette Tax	68,786,104	51,352,065	60,187,824	68,786,987	60,405,723	70,952,125	95,756,250						438,239,088
State Share of NYC Cigarette Tax	2,160,000	1,133,000	1,348,000	1,945,000	1,308,000	2,075,000	1,695,000						11,632,000
State Excise Tax	1,557,771	1,133,000	1,167,000	(345,972)	(123,922)	7,357,000	6,150						19,685,000
STIP	382,848	258,771	58,513	43,854	53,717	32,869	41,586						882,170
Public Asset Transfers													
Assessments	478,443,458	390,720,867	437,012,587	376,078,217	389,691,001	454,153,792	435,070,365						2,961,170,287
Fees	327,000	46,000	561,000	930,000	776,000	1,404,000	199,000						4,243,000
Rebates	12,000	4,545,140	5,975,618	9,916,208	2,000,368	4,881,029	2,789,602						30,119,893
Restitution and Settlements	-	-	-	-	-	-	-						-
Miscellaneous	-	-	297,248	-	1,148	-	-	483					298,879
Total Receipts	550,137,287	448,125,819	517,109,315	457,364,004	454,112,653	540,875,952	485,529,063	-	-	-	-	-	3,463,253,075
DISBURSEMENTS:													
Grants	466,021,724	462,778,011	97,733,246	756,144,082	414,416,738	642,214,484	404,418,743						3,243,727,028
Interest - Late Payments	36	22	26,224	11,452	(5,834)	(3,803)	610						28,707
Personal Service	1,509,162	534,982	1,290,441	270,686	1,523,044	750,054	1,609,108						7,487,887
Non-Personal Service	95,956	(291,867)	5,284,009	4,077,923	3,349,084	7,839,793	3,878,285						24,193,783
Employee Benefits/Indirect Costs	612,447	298,051	1,164,130	938,546	569,694	194,836	891,073						4,389,777
Total Disbursements	468,199,325	463,320,209	105,489,150	761,142,889	419,851,726	650,995,364	410,97,819	-	-	-	-	-	3,279,806,262
OPERATING TRANSFERS:													
Transfers to Capital Projects Fund	-	-	-	-	-	-	-						-
Transfers to General Fund	-	-	297,248	-	1,147	-	484						298,879
Transfers to Revenue Bond Tax Fund	-	-	-	-	2,276,000	2,650,324	-						4,926,324
Transfers to Miscellaneous Special Revenue Fund:													
Administration Program Account	989,254	-	-	-	-	222,807	(1)						1,212,060
Empire State Stem Cell Trust Account	889,500	487,522	863,664	601,328	394,151	-	7,100,000						7,100,000
Transfers to SUNY Income Fund	-	-	-	-	-	394,151	-						4,000,000
Total Operating Transfers	1,877,844	487,522	1,169,812	601,328	2,671,298	3,267,282	7,484,599	-	-	-	-	-	17,570,785
Total Disbursements and Transfers	470,077,169	463,807,731	106,650,962	761,744,017	422,523,024	654,262,646	418,282,418	-	-	-	-	-	3,297,377,067
CLOSING CASH BALANCE	\$ 95,764,658	\$ 80,082,746	\$ 480,512,199	\$ 186,132,186	\$ 217,721,195	\$ 104,334,501	\$ 181,381,146	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 181,381,146

APPENDIX B

STATE OF NEW YORK
HCRA RESOURCES FUND
STATEMENT OF PROGRAM DISBURSEMENTS
FISCAL YEAR 2020-21

Program/Purpose	Appropriation Amount (*)	October	7 Months Ended October 31, 2020 (**)
CENTER FOR COMMUNITY HEALTH PROGRAM	8,752,000.00	183,447.38	1,500,932.58
CENTER FOR COMMUNITY HLTH	8,752,000.00	183,447.38	1,500,932.58
CHILD HEALTH INSURANCE PROGRAM	2,134,768,000.00	32,163,173.03	274,411,948.02
CHILD HEALTH INSURANCE	2,134,768,000.00	32,163,173.03	274,411,948.02
COMMUNITY SUPPORT PROGRAM	120,000.00	-	-
COMMUNITY SUPPORT	120,000.00	-	-
ELDERLY PHARMACEUTICAL INS COVERAGE PRG	384,850,000.00	12,948,002.78	60,146,687.33
ELDERLY PHARMACEUTICAL INSURANCE COVERAGE	384,850,000.00	12,948,002.78	60,146,687.33
HEALTH CARE REFORM ACT PROGRAM	1,490,325,059.03	9,461,678.94	133,897,486.52
HEALTH CARE REFORM ACT PROGRAM	1,490,325,059.03	9,461,678.94	133,897,486.52
AIDS DRUG ASSISTANCE	123,150,000.00	-	-
AIDS DRUG ASSISTANCE	123,150,000.00	-	-
AMBULATORY CARE TRAINING	3,537,000.00	-	448,825.77
AMBULATORY CARE TRAINING	3,537,000.00	-	448,825.77
AREA HEALTH EDUCATION CENTER	3,387,000.00	-	583,185.44
AREA HEALTH EDUCATION CENTER	3,387,000.00	-	583,185.44
COMMISSIONER EMERGENCY DISTRIBUTIONS	24,700,000.00	-	(0.01)
COMMISSIONER EMERGENCY DISTRIBUTIONS	24,700,000.00	-	(0.01)
DIAGNOSTIC AND TREATMENT CTR UNCOMPENSATED CARE	163,200,000.00	-	-
DIAGNOSTIC AND TREATMENT CTR UNCOMPENSATED CARE	163,200,000.00	-	-
DIVERSITY IN MEDICINE	4,732,000.00	-	-
DIVERSITY IN MEDICINE	4,732,000.00	-	-
EMPIRE CLINIC RESEARCH INVESTMENT (ECRIP)	10,335,000.00	-	-
EMPIRE CLINIC RESEARCH INVESTMENT (ECRIP)	10,335,000.00	-	-
HCRA PAYOR / PROVIDER AUDITS	9,440,000.00	-	411,213.15
HCRA PAYOR / PROVIDER AUDITS	9,440,000.00	-	411,213.15
HEALTH FACILITY RESTRUCTURING DASNY	39,200,000.00	-	-
HEALTH FACILITY RESTRUCTURING DASNY	39,200,000.00	-	-
HEALTH WORKFORCE RETRAINING	18,320,000.00	137,376.96	2,635,355.76
HEALTH WORKFORCE RETRAINING	18,320,000.00	137,376.96	2,635,355.76
INFERTILITY SERVICES GRANTS	5,733,000.00	39,643.17	130,812.89
INFERTILITY SERVICES GRANTS	5,733,000.00	39,643.17	130,812.89
MEDICAL INDEMNITY FUND	52,000,000.00	-	-
MEDICAL INDEMNITY FUND	52,000,000.00	-	-
PART 405.4 HOSPITAL AUDITS NYCRR	2,200,000.00	-	102,100,000.00
PART 405.4 HOSPITAL AUDITS NYCRR	2,200,000.00	-	102,100,000.00
PHYSICIAN EXCESS MEDICAL MALPRACTICE	359,900,000.00	-	1,189,674.65
PHYSICIAN EXCESS MEDICAL MALPRACTICE	359,900,000.00	-	1,189,674.65
PHYSICIAN LOAN REPAYMENT	27,195,000.00	85,306.64	124,000.00
PHYSICIAN LOAN REPAYMENT	27,195,000.00	85,306.64	124,000.00
PHYSICIAN WORKFORCE STUDIES	974,000.00	-	-
PHYSICIAN WORKFORCE STUDIES	974,000.00	-	-
POISON CONTROL CENTERS	6,320,000.00	-	-
POISON CONTROL CENTERS	6,320,000.00	-	-
POOL ADMINISTRATION	5,300,000.00	850,765.66	966,827.13
POOL ADMINISTRATION	5,300,000.00	850,765.66	966,827.13
ROSWELL PARK CANCER INSTITUTE	89,266,000.00	7,592,600.00	22,777,800.00
ROSWELL PARK CANCER INSTITUTE	89,266,000.00	7,592,600.00	22,777,800.00
ROSWELL PARK COMPREHENSIVE CANCER CENTER	50,000.00	-	-
ROSWELL PARK COMPREHENSIVE CANCER CENTER	50,000.00	-	-
RURAL HEALTH CARE ACCESS	17,050,000.00	195,030.95	397,984.62
RURAL HEALTH CARE ACCESS	17,050,000.00	195,030.95	397,984.62
RURAL HEALTH CARE ACCESS & NETWORK DEVELOPMENT	9,410,000.00	760,956.56	934,581.33
RURAL HEALTH CARE ACCESS & NETWORK DEVELOPMENT	9,410,000.00	760,956.56	934,581.33
RURAL HEALTH CARE GRANTS	1,100,000.00	-	-
RURAL HEALTH CARE GRANTS	1,100,000.00	-	-
RURAL HEALTH NETWORK	11,610,000.00	-	1,197,225.79
RURAL HEALTH NETWORK	11,610,000.00	-	1,197,225.79
SCHOOL BASED HEALTH CENTERS	4,230,000.00	-	-
SCHOOL BASED HEALTH CENTERS	4,230,000.00	-	-
SCHOOL BASED HEALTH CLINICS-POOL ADMIN	8,460,000.00	-	-
SCHOOL BASED HEALTH CLINICS-POOL ADMIN	8,460,000.00	-	-
TRANSITION ACCT - PRIOR YEAR ALLOCATION	489,526,059.03	-	-
TRANSITION ACCT - PRIOR YEAR ALLOCATION	489,526,059.03	-	-
MEDICAL ASSISTANCE PROGRAM	28,631,301,000.00	351,021,925.78	2,790,243,981.52
MEDICAL ASSISTANCE PROGRAM	28,631,301,000.00	351,021,925.78	2,790,243,981.52
HOME HEALTH RATE INCREASE	300,000,000.00	-	-
HOME HEALTH RATE INCREASE	300,000,000.00	-	-
MEDICAID INDIGENT CARE	4,999,000,000.00	51,021,925.78	465,243,981.52
MEDICAID INDIGENT CARE	4,999,000,000.00	51,021,925.78	465,243,981.52
MEDICAL ASSISTANCE	22,349,101,000.00	300,000,000.00	2,325,000,000.00
MEDICAL ASSISTANCE	22,349,101,000.00	300,000,000.00	2,325,000,000.00
PSNL CRE WRKR RECR & RETEN NYC (***)	916,000,000.00	-	-
PSNL CRE WRKR RECR & RETEN NYC (***)	916,000,000.00	-	-
PSNL CRE WRKR RECR & RETEN ROS (****)	67,200,000.00	-	-
PSNL CRE WRKR RECR & RETEN ROS (****)	67,200,000.00	-	-
NEW YORK STATE OF HEALTH	102,431,000.00	3,418,634.20	16,350,054.50
NEW YORK STATE OF HEALTH	102,431,000.00	3,418,634.20	16,350,054.50
OFFICE OF HEALTH INSURANCE PROGRAM	1,834,000.00	-	-
OFFICE OF HEALTH INSURANCE PROGRAM	1,834,000.00	-	-
OFFICE OF HEALTH INSURANCE	1,834,000.00	-	-
OFFICE OF HEALTH INSURANCE	1,834,000.00	-	-
OFFICE OF HEALTH SYSTEMS MANAGEMENT	68,317,000.00	972,283.86	4,614,676.15
OFFICE OF HEALTH SYSTEMS MANAGEMENT	68,317,000.00	972,283.86	4,614,676.15
OFFICE OF LONG TERM CARE	2,477,800.00	-	-
OFFICE OF LONG TERM CARE	2,477,800.00	-	-
ADULT HOME INITIATIVE	2,477,800.00	-	-
ADULT HOME INITIATIVE	2,477,800.00	-	-
REVENUE, PROCESSING & RECONCILIATION	8,190,000.00	1,012,326.25	2,674,293.07
REVENUE, PROCESSING & RECONCILIATION	8,190,000.00	1,012,326.25	2,674,293.07
TOTAL	32,833,365,859.03	4,111,472.22	3,283,840,059.69
Reclass of SUNY Hospital Disprop Share to Transfer	-	(384,115.40)	(4,033,521.62)
Reclass of SUNY Hospital Disprop Share to Transfer	-	(384,115.40)	(4,033,521.62)
Reclass of SUNY Empire Clinical Research Investigator Program to Transfer	-	-	-
Reclass of SUNY Empire Clinical Research Investigator Program to Transfer	-	-	-
Reconciling Adjustment (P-Card and T-Card)	-	461.87	(256.00)
Reconciling Adjustment (P-Card and T-Card)	-	461.87	(256.00)
TOTAL REPORTED AMOUNT	\$ 32,833,365,859.03	\$ 410,797,818.69	\$ 3,279,806,282.07

(*) Includes amounts appropriated in SFY 2020-21, as well as prior year appropriations that were reappropriated.

(**) Disbursements from the HCRA Resources Fund includes direct grant payments to program beneficiaries, services and expenses for administration of grant programs, and transfers to the Public Goods Pool to finance payments made by the State's fiscal agent.

(***) Full title is: NYC Personal Care Workforce Recruitment and Retention Rates Grants.

(****) Full title is: Personal Care Workforce Recruitment and Retention Rates Grants.

APPENDIX C

STATE OF NEW YORK
STATEMENT OF CASH FLOW - PUBLIC GOODS POOL
FISCAL YEAR 2020-21

	1st Quarter APRIL - JUNE	2nd Quarter JULY - SEPTEMBER	2020 OCTOBER	2020-21
OPENING CASH BALANCE	\$ 350,947,309.06	\$ 126,897,506.61	\$ 318,804,091.76	\$ 350,947,309.06
RECEIPTS:				
Patient Services	722,415,689.44	975,374,899.18	285,489,035.07	1,983,279,623.69
Covered Lives	224,564,997.99	294,913,084.03	84,288,479.43	603,766,561.45
Provider Assessments	19,621,242.87	22,021,897.80	7,247,462.61	48,890,603.28
1% Assessments	103,739,180.00	107,280,064.00	34,082,840.00	245,102,084.00
DASNY - MOE/Recast receivables	-	-	-	-
Interest Income	13,893.95	16,145.63	2,835.45	32,875.03
Unassigned	(1,563,049.32)	(978,886.85)	1,100,696.29	(1,441,239.88)
Total Receipts	1,068,791,954.93	1,398,627,203.79	412,211,348.85	2,879,630,507.57
PROGRAM DISBURSEMENTS:				
Poison Control Centers	-	-	-	-
School Based Health Center Grants	-	-	-	-
ECRIP Distributions	-	-	-	-
Total Program Disbursements	-	-	-	-
Excess (Deficiency) of Receipts over Disbursements	1,068,791,954.93	1,398,627,203.79	412,211,348.85	2,879,630,507.57
OTHER FINANCING SOURCES (USES):				
Transfers From Other Pools:				
Medicaid Disproportionate Share	-	-	-	-
Health Facility Assessment Fund - Hospital Quality Contribution	13,334,232.00	13,201,960.00	4,284,504.00	30,820,696.00
Transfers From State Funds:				
HCRA Resources Fund	-	-	-	-
Total Other Financing Sources	13,334,232.00	13,201,960.00	4,284,504.00	30,820,696.00
Transfers To Other Pools:				
Medicaid Disproportionate Share	-	-	-	-
Health Facility Assessment Fund	-	-	-	-
Transfers To State Funds:				
HCRA Resources Fund	(1,306,175,989.38)	(1,219,922,578.64)	(435,069,166.89)	(2,961,167,734.91)
Indigent Care Fund - Matched	-	-	-	-
Indigent Care Fund - Unmatched	-	-	-	-
Total Other Financing Uses	(1,306,175,989.38)	(1,219,922,578.64)	(435,069,166.89)	(2,961,167,734.91)
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	(224,049,802.45)	191,906,585.15	(18,573,314.04)	(50,716,531.34)
CLOSING CASH BALANCE	\$ 126,897,506.61	\$ 318,804,091.76	\$ 300,230,777.72	\$ 300,230,777.72

Source: HCRA - Office of Pool Administration

APPENDIX D

STATE OF NEW YORK
STATEMENT OF CASH FLOW - MEDICAID DISPROPORTIONATE SHARE
FISCAL YEAR 2020-21

	1st Quarter APRIL - JUNE	2nd Quarter JULY - SEPTEMBER	2020 OCTOBER	2020-21
OPENING CASH BALANCE	\$ 668.63	\$ 169.31	\$ 333,127.45	\$ 668.63
RECEIPTS:				
Interest Income	422.98	1,460.78	163.10	2,046.86
Total Receipts	422.98	1,460.78	163.10	2,046.86
PROGRAM DISBURSEMENTS:				
Indigent Care	(188,629,665.12)	(150,799,778.96)	(52,705,108.77)	(392,134,552.85)
High Need Indigent Care	-	-	-	-
Other	506,867.55	(90,700,038.60)	2,479,580.40	(87,713,590.65)
Total Program Disbursements	(188,122,797.57)	(241,499,817.56)	(50,225,528.37)	(479,848,143.50)
Excess (Deficiency) of Receipts over Disbursements	(188,122,374.59)	(241,498,356.78)	(50,225,365.27)	(479,846,096.64)
OTHER FINANCING SOURCES (USES):				
Transfers From Other Pools:				
Public Goods Pool	-	-	-	-
Health Facility Assessment Fund	-	-	-	-
Transfers From State Funds:				
HCRA Resources Indigent Care - Matched	94,314,832.56	113,950,116.17	23,029,709.38	231,294,658.11
HCRA Resources Indigent Care - Unmatched	(506,129.55)	16,528,236.56	(2,685,283.60)	13,336,823.41
HCRA Resources Indigent Care - ATB	-	-	-	-
Federal DHHS Fund	94,314,832.56	113,950,116.15	29,549,535.77	237,814,484.48
Other	-	-	-	-
Total Other Financing Sources	188,123,535.57	244,428,468.88	49,893,961.55	482,445,966.00
Transfers To Other Pools:				
Public Goods Pool	-	-	-	-
Health Facility Assessment Fund	-	-	-	-
Transfers To State Funds:				
HCRA Resources Fund Indigent Care Acct	(1,660.30)	(431.55)	(1,198.54)	(3,290.39)
CSRA Inc (eMedNY) General Fund	-	(2,596,722.41)	(362.09)	(2,597,084.50)
Total Other Financing Uses	(1,660.30)	(2,597,153.96)	(1,560.63)	(2,600,374.89)
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	(499.32)	332,968.14	(332,964.35)	(505.53)
CLOSING CASH BALANCE	\$ 169.31	\$ 333,127.45	\$ 163.10	\$ 163.10

Source: HCRA - Office of Pool Administration

STATE OF NEW YORK
SUMMARY OF OFF-BUDGET SPENDING REPORT
FISCAL YEAR 2020-2021
(amounts in thousands)

APPENDIX E

	2020 APRIL	2020 MAY	2020 JUNE	2020 JULY	2020 AUGUST	2020 SEPTEMBER	2020 OCTOBER	2020 NOVEMBER	2020 DECEMBER	2021 JANUARY	2021 FEBRUARY	2021 MARCH	2020-2021 TOTAL
DORMITORY AUTHORITY:													
Education - All Other	\$ -	\$ -	\$ -	\$ -	\$ 15	\$ -	\$ -	-	-	-	-	\$ -	15
Education - EXCEL	427	2,157	1,567	17	-	649	-	-	-	-	-	-	4,817
Department of Health - All Other	(1)	-	59	-	-	-	-	-	-	-	-	-	58
Community Enhancement Facilities Assistance Program (CEFAP)	-	-	-	-	-	-	-	-	-	-	-	-	-
Regional Development:													
Community Capital Assistance Program (CCAP)/RESTORE	525	-	454	444	323	148	14	-	-	-	-	-	1,908
Multi-modal	-	-	24	-	-	20	-	-	-	-	-	-	44
GenYsis	-	-	-	-	-	-	-	-	-	-	-	-	-
CUNY Senior Colleges	24,128	11,443	24,631	18,581	19,061	18,031	10,339	-	-	-	-	-	126,214
CUNY Community Colleges	4,766	1,358	5,403	2,217	1,052	2,064	83	-	-	-	-	-	16,973
Brooklyn Court Officer Training Academy	26	-	-	1,153	-	-	-	-	-	-	-	-	1,179
TOTAL DORMITORY AUTHORITY	29,871	14,958	32,138	22,412	20,451	20,942	10,436	-	-	-	-	-	151,208
EMPIRE STATE DEVELOPMENT CORP:													
Regional Development	-	-	-	-	-	-	-	-	-	-	-	-	-
Centers of Excellence	-	-	-	-	-	-	-	-	-	-	-	-	-
Community Capital Assistance Program (CCAP)	-	-	-	-	-	-	-	-	-	-	-	-	-
Empire Opportunity	-	-	-	-	-	-	-	-	-	-	-	-	-
Community Enhancement Facilities Assistance Program (CEFAP)	-	-	-	-	-	-	-	-	-	-	-	-	-
State Facilities and Equipment	-	-	-	-	-	-	-	-	-	-	-	-	-
TOTAL EMPIRE STATE DEVELOPMENT CORP	-	-	-	-	-	-	-	-	-	-	-	-	-
TOTAL OFF-BUDGET	\$ 29,871	\$ 14,958	\$ 32,138	\$ 22,412	\$ 20,451	\$ 20,942	\$ 10,436	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 151,208

The Division of the Budget (DOB) is responsible for organizing and presenting the above schedule of 'Off Budget Spending'. Such reported disbursements are drawn from unaudited financial data provided by public authorities. Although the Office of the State Comptroller (OSC) has no reason to believe this information to be unreliable, it is important to note that these program disbursements are financed with public authority bond proceeds deposited directly into public authority accounts and all disbursements are made without any oversight by the OSC. Therefore, and pursuant to the provisions of Chapter 60, §16, of the Laws of 2006, this schedule is provided for information only.

APPENDIX F

STATE OF NEW YORK
SCHEDULE OF MONTH-END TEMPORARY LOANS OUTSTANDING(*)

SFS Fund	ACCOUNT TITLE	July 31, 2020		August 31, 2020		September 30, 2020		Change		October 31, 2020	
		\$		\$		\$		\$		\$	
10050	GENERAL FUND										
	STATE OPERATIONS AND LOCAL ASSISTANCE										
	TOTAL GENERAL FUND										(***)
		137,006,631.01		184,652,658.88		362,853,243.13		(133,212,711.46)		229,640,531.67	
30051	CAPITAL PROJECT AND BOND REIMBURSABLE FUNDS										
30053	HIGHWAY AND BRIDGE CAPITAL										
30101	AVIATION PURPOSE ACCOUNT										
30102	REHAB/REPAIR MARITIME										
30103	D21RVE- MARITIME										
30104	D36RVE- CENTRAL ADMIN										
30105	RESIDENCE HALL CAMPUS LET BOND PROCEEDS										
30106	REHAB/REPAIR ALBANY										
30107	D01RVE- ALBANY										
30108	REHAB/REPAIR BINGHAMTON										
30109	D07RVE- BINGHAMTON										
30110	REHAB/REPAIR BUFFALO UNIVERSITY										
30111	D28RVE- SUNY BUFFALO										
30112	REHAB/REPAIR STONYBROOK										
30113	D13RVE- STONYBROOK										
30114	REHAB/REPAIR BROOKLYN										
30115	D14RVE- HSC BROOKLYN										
30116	REHAB/REPAIR SYRACUSE										
30117	D15RVE- HSC SYRACUSE										
30118	REHAB/REPAIR BROCKPORT										
30119	D02RVE- BROCKPORT										
30120	REHAB/REPAIR BUFFALO COLLEGE										
30121	D03RVE- SUB BUFFALO										
30122	REHAB/REPAIR CORTLAND										
30123	D04RVE- CORTLAND										
30124	REHAB/REPAIR FREDONIA										
30125	D05RVE- FREDONIA										
30126	REHAB/REPAIR GENESEO										
30127	D06RVE- GENESEO										
30128	REHAB/REPAIR OLD WESTBURY										
30129	D31RVE- OLD WESTBURY										
30130	REHAB/REPAIR NEW PALTZ										
30131	D08RVE- NEW PALTZ										
30132	REHAB/REPAIR ONEONTA										
30133	D09RVE- ONEONTA										
30134	REHAB/REPAIR OSWEGO										
30135	D10RVE- OSWEGO										
30136	REHAB/REPAIR PLATTSBURGH										
30137	D11RVE- PLATTSBURGH										
30138	REHAB/REPAIR POTSDAM										
30139	D12RVE- POTSDAM										
30140	REHAB/REPAIR PURCHASE										
30141	D23RVE- PURCHASE										
30142	REHAB/REPAIR FOR UTICA/ROME										
30143	D27RVE- CAMPUS RESERVE										
30144	REHAB/REPAIR ALFRED										
30145	D22RVE- ALFRED										
30146	REHAB/REPAIR CANTON										
30147	D23RVE- CANTON										
30148	REHAB/REPAIR COBLESKILL										
30149	D24RVE- COBLESKILL										
30150	REHAB/REPAIR DELHI										
30151	D25RVE- DELHI										
30152	REHAB/REPAIR FARMINGDALE										
30153	D26RVE- FARMINGDALE										
30154	REHAB/REPAIR MORRISVILLE										
30351	D27RVE- MORRISVILLE										
30501	STATE PARK INFRASTRUCTURE	64,137,330.12		64,402,407.96		70,449,897.42		(35,595,927.81)		34,853,969.61	
30502	CW/CA IMPLEMENTATION DEC										
30503	CW/CA IMPLEMENTATION STATE										
30504	CW/CA IMPLEMENTATION ERDA										
30505	CW/CA IMPLEMENTATION EFC										
31701	HAZARDOUS WASTE CLEAN UP										
31801	YOUTH FACILITIES IMPROVEMENT	92,674,807.49		98,228,303.32		103,476,661.09		(12,686,838.03)		90,789,823.06	
31851	HOUSING PROG FD-HSG TR FD CORP	13,168,047.62		14,936,253.28		15,758,588.97		1,432,514.31		17,191,103.28	
31852	HOUSING PROG FD-AFFORD HSG CORP	12,941,967.06		12,941,967.06		12,941,967.06		-		12,941,967.06	
31853	HOUSING PROG FD-DEPT OF SOCIAL SERVICES	135,008,846.41		182,373,910.06		285,465,442.82		14,149,559.74		299,615,002.56	
31854	HOUSING PROG FD-HFA	37,999,676.38		39,958,056.81		42,074,022.81		2,662,636.00		44,736,658.81	
31951	HOUSING PROG FD-HFA	88,489,684.37		92,239,684.37		97,039,684.37		7,300,000.00		104,339,684.37	
	HIGHWAY FAC PURPOSE	11,969,463.99		11,969,463.99		11,969,463.99		(12,984.22)		11,956,479.77	

APPENDIX F

STATE OF NEW YORK
SCHEDULE OF MONTH-END TEMPORARY LOANS OUTSTANDING(")

SFS Fund	ACCOUNT TITLE	July 31, 2020	August 31, 2020	September 30, 2020	Change	October 31, 2020
32213	NY RACING ACCOUNT	153,750.00	153,750.00	153,750.00	-	153,750.00
32214	CAPITAL PROJECT MISC GIFTS	-	-	-	-	-
32215	IT CAPITAL FINANCING ACCT	1,915,529.83	2,682,076.92	2,883,666.80	510,583.49	3,394,250.29
32219	NY ENVIRONMENTAL PROTECTION & SPILL REMEDIATION	-	-	-	-	-
32301	OPWDD-STATE FACILITIES PRE 12/69	-	-	-	-	-
32302	DSAS-COMMUNITY FACILITIES	-	-	-	-	-
32303	OMH-COMMUNITY FACILITIES	82,591,601.44	70,136,299.13	69,237,204.51	797,403.50	70,034,608.01
32304	OPWDD-COMMUNITY FACILITIES	176,272,009.92	180,739,076.13	182,240,076.13	(611,536.44)	181,628,539.69
32305	OASAS-COMMUNITY FACILITIES	-	-	-	-	-
32306	DASNY - OMH ADMIN	-	-	-	-	-
32307	DASNY - OPWDD ADMIN	7,828,273.39	7,828,273.39	7,828,273.39	-	7,828,273.39
32308	DASNY - OASAS ADMIN	1,732,406.20	1,732,406.20	1,732,406.20	-	1,732,406.20
32309	OMH - STATE FACILITIES	97,577,181.06	105,079,828.74	116,382,293.79	848,815.00	125,053,038.62
32310	OPWDD - STATE FACILITIES	17,831,809.86	19,107,109.86	18,171,024.28	1,200,000.00	19,371,024.28
32311	OASAS - STATE FACILITIES	1,983,616.94	2,404,788.40	2,105,820.18	378,236.67	2,484,056.85
32351	CORR. FACILITIES CAPITAL IMPROVEMENT	-	-	-	-	-
32352	DOCS-REHABILITATION PROJECTS	159,272,923.68	185,043,996.73	212,506,047.90	20,471,398.92	232,977,446.82
32353	CORR. FACILITIES CAPITAL CLOSURE	-	-	-	-	-
33001	STORM RECOVERY ACCOUNT	53,737,776.12	54,159,323.63	54,411,469.09	(813,700.90)	53,597,768.11
	TOTAL CAPITAL AND BOND REIMBURSABLE FUNDS	1,194,294,132.89	1,330,769,614.86	1,670,263,036.02	(124,292,797.91)	1,545,970,238.11
STATE SPECIAL REVENUE FUNDS						
20401	DOL-CHILD PERFORMER PROTECTION ACCOUNT	-	-	-	-	-
20452	VOCATIONAL SCHOOL SUPERVISION	-	-	-	-	-
20501	LOCAL GOVERNMENT RECORDS MGMT	-	-	-	-	-
20810	CHILD HEALTH INSURANCE	54,766,440.58	84,283,757.87	69,887,137.16	(69,887,137.16)	-
20818	EPIC PREMIUM ACCOUNT	-	-	277,647.70	10,004,400.25	10,282,047.95
20901	LOTTERY-EDUCATION	-	-	1,562,212,831.94	(149,843,724.61)	1,412,369,107.33
20904	VLT EDUCATION	-	-	79,973,663.25	76,131,430.30	156,105,093.55
21001	ENVR FAC CORP ADM ACCT	-	-	-	-	-
21002	ENCON ADMIN ACCT	3,605,844.31	3,679,618.69	3,747,659.65	51,771.78	3,799,431.43
21061	HAZARDOUS BULK STORAGE	-	-	-	-	-
21064	UTILITY ENVIRONMENTAL REGULATORY ACCOUNT	1,672,099.99	1,672,099.99	1,672,099.99	-	1,672,099.99
21065	FEDERAL GRANTS INDIRECT COST RECOVERY ACCOUNT	2,544,578.01	3,211,820.14	4,193,299.47	(2,523,823.49)	1,669,475.98
21066	ENCON-LOW LEVEL RADIOACTIVE WASTE SITING	4,099,364.93	4,256,939.81	3,778,420.46	165,030.53	3,943,450.99
21067	ENCON-RECREATION	-	-	-	-	-
21077	PUBLIC SAFETY RECOVERY ACCOUNT	-	-	-	-	-
21081	ENVIRONMENTAL REGULATORY	65,652,808.84	65,386,949.81	66,000,252.83	(5,703,545.66)	60,296,707.17
21082	NATURAL RESOURCES ACCOUNT	14,397,873.37	14,551,154.81	14,524,726.57	(258,784.21)	14,265,932.36
21084	MINED LAND RECLAMATION ACCT	-	-	-	-	-
21087	GREAT LAKES RESTORATION INITIATIVE	-	-	-	-	-
21201	AUDIT AND CONTROL OIL SPILL	-	-	-	-	-
21202	HEALTH DEPT OIL SPILL	-	-	17,340.72	(17,340.72)	-
21203	DEPT OF ENVIRONMENTAL CONSERVATION OIL SPILL	95.03	-	3,555.33	(3,555.33)	-
21204	OIL SPILL COMPENSATION	-	-	451,031.84	(451,031.84)	-
21205	LICENSE FEE SURCHARGES	-	-	-	-	-
21401	PUBLIC TRANSPORTATION SYSTEMS	-	-	-	-	-
21402	METROPOLITAN MASS TRANSPORTATION	-	-	-	-	-
21451	OPERATING PERMIT PROGRAM	33,477,460.79	34,006,513.90	33,983,508.33	(1,995,959.80)	31,987,548.53
21452	MOBILE SOURCE	2,330,414.87	946,982.11	221,275.54	(221,275.54)	-
21902	HEALTH-SPARC'S	-	-	-	-	-
21905	THRUWAY AUTHORITY ACCT	7,003,953.56	6,067,878.28	11,541,462.39	(4,913,421.46)	6,628,040.93
21907	MENTAL HYGIENE PROGRAM	-	-	-	-	-
21909	MENTAL HYGIENE PATIENT INCOME ACCOUNT	-	-	-	-	-
21911	FINANCIAL CONTROL BOARD	202,652.50	368,167.98	609,859.59	(382,543.55)	227,316.04
21912	RACING REGULATION ACCOUNT	2,028,062.32	1,885,102.73	1,992,255.27	335,586.92	2,327,842.19
21937	SU DORM INCOME REIMBURSE	196,888.87	90,178.97	5,713,145.53	(4,871,262.15)	841,883.38
21945	CRIMINAL JUSTICE IMPROVEMENT	-	-	-	-	-
21959	ENV LAB REF FEE	-	-	-	-	-
21961	TRAINING, MANAGEMENT AND EVALUATION ACCOUNT	647,700.58	659,525.08	685,911.18	(98,586.67)	587,324.51
21962	CLINICAL LAB FEE	11,661,405.33	11,883,640.37	14,018,024.78	(1,755,472.75)	12,262,552.03
21978	INDIRECT COST RECOVERY	-	-	-	-	-
21979	HIGH SCHOOL EQUIVALENCY PROGRAM	-	-	-	-	-
21989	MULTI - AGENCY TRAINING ACCOUNT	-	-	-	-	-
22003	BELL JAR COLLECTION ACCOUNT	-	-	-	-	-
22004	INDUSTRY AND UTILITY SERVICE	-	-	-	-	-
22006	REAL PROPERTY DISPOSITION	-	-	-	-	-
22007	PARKING ACCOUNT	-	-	-	-	-
22008	COURTS SPECIAL GRANTS	-	-	-	-	-
22009	ASBESTOS SAFETY TRAINING	40,558.87	43,090.63	47,455.78	(8,704.40)	38,751.38
22017	CAMP SMITH BILLETING ACCOUNT	-	-	-	-	-
22032	BATAVIA SCHOOL FOR THE BLIND	9,243,397.88	10,053,270.59	7,895,881.47	672,850.36	8,568,731.83
22034	INVESTMENT SERVICES	-	-	-	-	-
22036	SURPLUS PROPERTY ACCOUNT	-	-	-	-	-

APPENDIX F

STATE OF NEW YORK
SCHEDULE OF MONTH-END TEMPORARY LOANS OUTSTANDING(*)

SFS Fund	ACCOUNT TITLE	July 31, 2020	August 31, 2020	September 30, 2020	Change	October 31, 2020
22039	FINANCIAL OVERSIGHT	288,954.28	555,728.17	928,284.63	(688,578.32)	259,686.11
22046	REGULATION INDIAN GAMING	94,851,180.94	92,899,966.99	93,807,558.71	953,889.07	94,761,447.78
22053	ROME SCHOOL FOR THE DEAF	3,830,663.92	4,557,350.11	3,307,030.74	410,926.63	3,717,957.37
22054	DSP-SEIZED ASSETS	1,227,989.63	1,018,972.60	951,946.85	(49,108.24)	902,838.61
22055	ADMINISTRATIVE ADJUDICATION	20,677,781.17	23,606,581.03	27,496,671.52	3,520,103.10	31,016,774.62
22056	FEDERAL SALARY SHARING	639,956.47	854,157.69	1,004,479.83	116,474.91	1,120,954.74
22062	NYC ASSESSMENT ACCT	-	-	-	-	-
22063	CULTURAL EDUCATION ACCOUNT	8,155,159.90	6,506,966.31	5,954,486.22	(463,843.18)	5,490,643.04
22078	LOCAL SERVICE ACCOUNT	-	-	-	-	-
22085	DHCR MORTGAGE SERVICES	16,125,064.82	16,430,442.22	16,644,492.31	(15,063,226.34)	1,581,265.97
22090	HOUSING INDIRECT COST RECOVERY	-	-	-	-	-
22100	DHCR-HOUSING CREDIT AGENCY APPLY FEE	9,073,223.01	9,652,893.52	9,759,624.08	714,731.20	10,474,355.28
22130	LOW INCOME HOUSING CREDIT MONITORING	-	-	-	-	-
22135	EFC-CORPORATION ADMINISTRATION	-	-	-	-	-
22144	MONTROSE VETERAN'S HOME	-	-	-	-	-
22151	DEFERRED COMPENSATION ADMIN	186,214.42	238,166.66	124,151.33	264,654.69	264,654.69
22156	RENT REVENUE OTHER - NYC	-	-	591,253.80	176,103.57	176,103.57
22158	RENT REVENUE	-	-	-	3,682,025.27	4,253,279.07
22168	TAX REVENUE APPEARANCE ACCOUNT	-	-	-	-	-
22240	NY'S MEDICAL INDEMNITY FUND ACCOUNT	984,850.06	1,083,976.25	1,185,080.73	84,744.49	1,269,835.22
22694	S.U. NON-RESIDENT REV. OFFSET	20,659,528.61	20,662,290.36	20,664,809.17	2,238.12	20,667,047.29
22751	LANE GEORGE PARK TRUST FUND	247,138.52	-	-	-	-
22802	STATE POLICE MV ENFORCE	-	-	-	-	-
23001	DOT - HIGHWAY SAFETY PRGM	15,366,088.88	15,631,992.02	15,895,636.27	145,606.42	16,041,242.69
23102	DOH DRINKING WATER PROGRAM	5,350,949.70	5,350,949.70	5,350,949.70	-	5,350,949.70
23102	NYCCC OPERATING OFFSET	38,503,815.68	40,779,914.98	43,872,916.00	2,221,314.01	46,094,230.01
23701	COMMERCIAL GAMING REVENUE	-	-	-	-	-
23701	COMMERCIAL GAMING REGULATION	-	-	-	-	-
23702	HIGHWAY USE TAX ADMIN	19,423,617.53	19,727,468.18	20,189,828.45	319,295.52	20,509,123.97
23801	NY'S SECURE CHOICE ADMIN	-	-	-	-	-
23806	FANTASY SPORTS ADMINISTRATION	-	34,948.58	50,169.33	9,975.46	60,094.79
24951	TOTAL STATE SPECIAL REVENUE FUNDS	469,178,936.75	502,639,457.13	2,151,227,806.44	(159,341,984.35)	1,991,885,822.09
FEDERAL FUNDS						
25000-25099	FEDERAL USDA/FOOD AND NUTRITION SERVICES FUND	-	-	-	-	-
25100-25199	FEDERAL HEALTH AND HUMAN SERVICES FUND	4,861,559.86	118,710,736.93	4,451,739.48	(332,778.10)	4,118,961.38
25200-25249	FEDERAL EDUCATION GRANTS FUND	120,502,674.30	185,715,291.63	2,748,340,824.81	(2,516,938,845.91)	231,401,978.90
25250-25299	FEDERAL DHHS BLOCK GRANTS	29,827,487.57	101,592,275.68	18,968,485.01	16,375,985.67	35,344,470.68
25300-25899	FEDERAL OPERATING GRANTS FUND	-	-	-	-	-
31351	MILITARY AND NAVAL AFFAIRS	682,171,490.90	467,988,759.37	483,311,461.02	68,718,456.30	552,029,917.32
31354	DEPARTMENT OF TRANSPORTATION	8,753,932.66	8,753,932.66	8,753,932.66	-	8,753,932.66
31350-31449	FEDERAL CAPITAL PROJECTS FUND (ALL OTHER)	447,377,872.95	418,981,740.11	441,892,716.97	(9,488,636.96)	432,424,080.01
25900-25949	UNEMPLOYMENT INSURANCE ADMINISTRATION	115,023,670.81	117,669,448.07	132,492,087.37	(4,502,034.36)	127,990,063.01
25950	FEDERAL UNEMPLOYMENT INS OCCUPATIONAL TRAINING	33,519,545.80	31,789,946.69	59,955,005.26	16,388,532.41	76,343,537.67
26001-26049	DOL EMPLOYMENT AND TRAINING GRANTS	416,583.50	450,110.00	517,598.00	(140,506.00)	377,092.00
	TOTAL FEDERAL FUNDS	1,455,180,489.75	1,451,886,715.31	3,899,805,895.76	(2,424,542,075.68)	1,475,067,820.08
AGENCY FUNDS						
60201	EMPLOYEES HEALTH INSURANCE ACCT	-	-	-	-	-
60901	MMIS - STATE AND FEDERAL	-	-	-	-	-
TOTAL AGENCY FUNDS						
ENTERPRISE FUNDS						
50318	OGS CONVENTION CENTER ACCOUNT	586,266.50	648,917.48	713,220.32	41,590.83	754,811.15
50327	EMPIRE PLAZA GIFT SHOP	228,262.18	240,989.79	254,229.78	2,240.33	256,470.11
	TOTAL ENTERPRISE FUND	814,528.68	889,907.27	967,450.10	43,831.16	1,011,281.26
INTERNAL SERVICE FUNDS						
55001	CENTRALIZED SERVICES-FLEET MGMT	-	-	-	-	-
55002	CENTRALIZED SERVICES-DATA PROCESSING	-	-	-	-	-
55003	CENTRALIZED SERVICES-PRINTING	1,446,232.57	1,442,837.96	1,394,497.72	(83,778.20)	1,310,719.52
55004	CENTRALIZED SERVICES-REAL PROPERTY-LABOR	-	-	-	-	-
55005	CENTRALIZED SERVICES-DONATED FOODS	-	-	-	-	-
55006	CENTRALIZED SERVICES-PERSONAL PROPERTY	-	-	-	-	-
55007	CENTRALIZED SERVICES-CONSTRUCTION SERVICES	2,891,489.38	2,314,098.70	2,368,688.72	57,789.08	2,426,477.80
55008	CENTRALIZED SERVICES-PASNY	13,357,977.47	15,387,075.38	16,165,549.76	(211,136.64)	15,954,413.12
55009	CENTRALIZED SERVICES-ADMIN SUPPORT	-	-	-	-	-
55010	CENTRALIZED SERVICES-DESIGN AND CONSTR	16,784,151.78	15,127,023.47	15,655,355.27	1,005,546.81	16,660,902.08
55011	CENTRALIZED SERVICES-INSURANCE	4,577,187.86	7,590,357.34	7,450,486.64	(1,100,064.66)	6,350,431.78
55012	CENTRALIZED SERVICES-SECURITY CARD ACCESS	207,459.30	188,661.30	180,435.30	(11,596.00)	168,639.30
55013	CENTRALIZED SERVICES-COPS	-	-	-	-	-
55014	CENTRALIZED SERVICES-FOOD SERVICES	-	-	-	-	-
55015	CENTRALIZED SERVICES-HOMER FOLKS	-	-	-	-	-

APPENDIX F

STATE OF NEW YORK
SCHEDULE OF MONTH-END TEMPORARY LOANS OUTSTANDING(*)

SFS Fund	ACCOUNT TITLE	July 31, 2020	August 31, 2020	September 30, 2020	Change	October 31, 2020
55016	CENTRALIZED SERVICES-IMMICS	1,514,610.99	1,521,357.13	1,588,350.50	(77,182.20)	1,511,168.30
55017	DOWNSTATE WAREHOUSE	662,969.71	521,118.50	502,274.59	(18,849.06)	483,425.53
55018	BUILDING ADMINISTRATION	-	-	-	-	-
55019	LEASE SPACE INITIATIVE	-	-	-	-	-
55020	OGS ENTERPRISE CONTRACTING ACCT	73,597,486.69	76,569,652.79	81,282,398.64	785,542.64	82,067,941.28
55021	NYS MEDIA CENTER	9,055,539.70	9,439,780.85	9,953,930.37	394,509.00	10,348,439.37
55022	BUSINESS SERVICES CENTER	12,933,909.60	14,919,494.12	18,026,847.72	1,987,764.82	20,014,612.54
55052	ARCHIVES RECORD MGMT I/S.	73,519.17	-	-	-	-
55053	FEDERAL SINGLE AUDIT	-	-	-	-	-
55056	CIVIL SERVICE EHS OCCUP HEALTH PROG	-	-	-	-	-
55057	BANKING SERVICES ACCOUNT	18,460.34	-	475,146.08	(341,452.73)	133,693.35
55058	CULTURAL RESOURCE SURVEY	1,674,062.39	2,087,246.37	2,433,275.59	179,238.37	2,612,513.96
55059	NEIGHBOR WORK PROJECT	11,275,561.47	11,251,663.72	11,338,641.98	(264,245.22)	11,074,396.76
55060	AUTOMATIC/PRINT CHARGBACKS	5,319,415.94	7,185,342.64	4,903,094.05	(367,596.01)	4,535,498.04
55061	OFT NYT ACCT	1,445,258.44	1,445,258.44	1,246,980.34	-	1,246,980.34
55062	DATA CENTER ACCOUNT	43,326,143.01	49,321,855.09	49,321,855.09	(491,037.75)	48,830,817.34
55066	CYBER SECURITY INTRUSION ACCT	1,261,584.27	1,261,584.27	1,261,584.27	-	1,261,584.27
55067	DOMESTIC VIOLENCE GRANT	170,986.11	166,342.91	182,141.33	24,871.64	207,012.97
55069	CENTRALIZED TECHNOLOGY SERVICES	74,973,272.59	69,365,379.43	66,381,761.96	22,773,720.64	89,155,482.60
55071	LABOR CONTACT CENTER ACCT	3,663,586.73	4,169,821.77	5,190,551.33	510,701.47	5,701,252.80
55072	HUMAN SERVICES CONTACT CNTR ACCT	1,752,511.26	2,628,593.18	4,195,382.43	(1,544,287.98)	2,651,094.45
55073	TAX CONTACT CENTER ACCT	-	-	-	-	-
55074	CIVIL RECOVERIES ACCT	75,398.42	1,167,591.84	1,500,691.21	436,535.32	1,937,226.53
55251	EXECUTIVE DIRECTION INTERNAL AUDIT	9,778,854.76	10,004,846.93	10,301,952.13	229,370.84	10,531,322.97
55252	CIO INFORMATION TECHNOLOGY CENTRALIZED SERVICES	41,456,353.95	43,315,717.18	46,913,337.30	3,129,130.14	50,042,467.44
55300	HEALTH INSURANCE INTERNAL SERVICE	3,145,790.06	4,178,409.64	5,653,025.69	(5,653,025.69)	-
55301	CIVIL SERVICE EMPLOYEE BENEFITS DIV ADM	4,504,814.98	4,599,865.62	4,723,682.87	95,050.64	4,818,733.51
55350	CORR INDUSTRIES INTERNAL SERVICE	40,232,673.85	41,443,662.37	44,083,398.15	2,540,742.02	46,624,140.17
	TOTAL INTERNAL SERVICE FUNDS	381,177,262.89	398,614,642.94	414,673,327.03	23,986,261.09	438,661,588.12
	GRAND TOTAL - TEMPORARY LOANS OUTSTANDING	\$ 3,500,645,350.96	\$ 3,684,800,337.51	\$ 8,136,743,515.35	\$ (2,684,146,765.69)	\$ 5,452,596,749.66

(*) Temporary Loans are authorized pursuant to Subdivision 5 of Section 4 of the State Finance Law and Chapter 56, Part JJ, Section 1, of the Laws of 2020-21. The loans represent authorizations made by the Legislature to allow certain funds/accounts to make appropriated payments regardless of the fund (cash) balance. Such loans are made from the State's Short-Term Investment Pool (STIP) and are intended to satisfy temporary cash shortfalls whenever scheduled disbursements exceed available revenues during the fiscal year. Generally, temporary loans are repaid from the first cash receipts of the fund or account; however, in some cases actual revenues are not sufficient to repay all loans made to the fund or account and a transfer from the General Fund "Repayment of Receivables" appropriation is approved by the Budget Director. The balances reported here in Appendix F are the actual fund balances as of the close of business on the last day of the reporting month and do not include post-closing adjustments. Please refer to Schedule 1 for a detailed analysis of the "reported" cash balances of the fund group.

(**) Temporary loans to federal funds are typically reimbursed within 2-3 days. Such loans are made pursuant to federal regulations which require the State to disburse funds prior to making a reimbursement claim from the U.S. Treasury.

(***) Per Section 72 of the State Finance Law, the General Fund includes the Local Assistance Fund (10000) and State Purpose Fund (10050).

STATE OF NEW YORK DEDICATED INFRASTRUCTURE INVESTMENT FUND(*) STATEMENT OF RECEIPTS AND DISBURSEMENTS FISCAL YEAR 2020-2021														APPENDIX G
	2020 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2021 JANUARY	FEBRUARY	MARCH	7 Months Ended October 31, 2020	
OPENING CASH BALANCE	\$ 86,513,214	\$ 49,126,483	\$ 42,662,065	\$ 7,636,110	\$ 165,822,096	\$ 101,117,004	\$ 90,519,037	-	-	-	-	-	\$ 86,513,214	
RECEIPTS:														
Transfers from General Fund (**)	-	-	-	204,000,000	-	-	-	-	-	-	-	-	204,000,000	
Other	-	-	-	-	-	-	-	-	-	-	-	-	-	
Total Receipts	-	-	-	204,000,000	-	-	-	-	-	-	-	-	204,000,000	
DISBURSEMENTS:														
Affordable and Homeless Housing	-	-	9,481	533,024	-	415,671	516,927	-	-	-	-	-	1,475,103	
Broadband Initiative	1,735,855	1,420,080	-	6,998,821	-	47,334	7,596,310	-	-	-	-	-	17,789,200	
Downtown Revitalization	-	-	-	-	-	250,000	455,489	-	-	-	-	-	705,489	
Empire State Poverty Reduction Initiatives	2,457,343	88,175	-	1,071,138	565,275	2,517,997	1,863,105	-	-	-	-	-	8,663,032	
Health Care / Hospital Initiatives	2,586,638	3,634,357	1,781,021	298,010	2,275,903	643,795	468,438	-	-	-	-	-	11,688,172	
Information Technology/Infrastructure for Behavioral Sciences	-	-	-	-	-	-	-	-	-	-	-	-	-	
Infrastructure Improvements	-	-	5,540,784	1,292,017	2,270,353	144,374	4,447,962	-	-	-	-	-	13,665,500	
Jacob Javits Center Expansion	-	-	-	-	55,700,000	-	22,620,551	-	-	-	-	-	78,320,551	
Life Sciences Initiative	2,500,000	1,500,000	-	-	-	-	5,811,363	-	-	-	-	-	9,811,363	
Municipal Restructuring / Consolidation Competition	3,054,840	(2,778,282)	-	562,372	-	830,000	2,063,090	-	-	-	-	-	3,732,011	
Penn Station Access	-	-	-	-	-	-	-	-	-	-	-	-	-	
Resiliency, Mitigation, Security and Emergency Response	-	-	-	-	(6,035)	-	(10,425)	-	-	-	-	-	(16,459)	
Southern Tier / Hudson Valley Farm Initiative	-	-	-	30,000	55,274	(14,282)	20,642	-	-	-	-	-	91,634	
Thruway Stabilization Program	-	-	22,587,449	24,055,020	2,944,322	4,284,912	-	-	-	-	-	-	53,871,703	
Transformative Economic Development Projects	10,440,876	79,325	4,746,161	282,274	-	1,478,166	5,814,301	-	-	-	-	-	22,841,102	
Transportation Capital Plan	-	-	-	-	-	-	-	-	-	-	-	-	-	
Upstate Revitalization Program	14,611,179	2,520,763	361,049	10,700,538	900,000	-	5,619,275	-	-	-	-	-	34,712,804	
Total Disbursements	37,386,731	6,464,418	35,025,955	45,814,014	64,705,092	10,597,967	57,387,028	-	-	-	-	-	257,361,205	
OPERATING TRANSFERS:														
Transfers to General Fund	-	-	-	-	-	-	-	-	-	-	-	-	-	
Total Operating Transfers	-	-	-	-	-	-	-	-	-	-	-	-	-	
Total Disbursements and Transfers	37,386,731	6,464,418	35,025,955	45,814,014	64,705,092	10,597,967	57,387,028	-	-	-	-	-	257,361,205	
CLOSING CASH BALANCE	\$ 49,126,483	\$ 42,662,065	\$ 7,636,110	\$ 165,822,096	\$ 101,117,004	\$ 90,519,037	\$ 33,132,009	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 33,132,009	

(*) Fund created pursuant to Chapter 60, Laws of 2015-16, Part H and S.F.L. § 93-b

(**) Pursuant to Section 93(b) of the State Finance Law

STATE OF NEW YORK

MEDICAL ASSISTANCE DISBURSEMENTS - STATE FUNDS⁽¹⁾

FISCAL YEAR 2020-2021

APPENDIX H

	OCTOBER 2020			7 MONTHS ENDED OCTOBER 31		
	Department of Health	Other State Agencies	October	Department of Health	Other State Agencies	Year to Date
Adult State Share Medicaid	\$ -	\$ 59,931,059.00	\$ 59,931,059.00	\$ -	\$ 101,752,582.00	\$ 101,752,582.00
State Share Medicaid	23,681,653.00	14,255,323.81	37,936,981.81	71,548,963.00	9,402,765.59	80,951,728.59
Medical Assistance (OPWDD)	-	-	-	-	171,294,520.00	171,294,520.00
Medical Assistance Administration	2,780,320.79	-	2,780,320.79	29,777,290.23	145,062,864.00	174,840,154.23
Population Health Improvement	43,278.22	-	43,278.22	1,251,523.21	-	1,251,523.21
Traumatic Brain Injury Services	894,923.00	-	894,923.00	6,373,354.41	-	6,373,354.41
Nursing Home Transition & Diversion	-	-	-	-	-	-
Reducing Maternal Mortality	11,119.99	-	11,119.99	634,323.41	-	634,323.41
New York Connects	-	2,263,171.92	2,263,171.92	-	7,177,836.12	7,177,836.12
Facilitated Enrollment	666,098.56	-	666,098.56	1,870,929.06	-	1,870,929.06
Emergency Medical Transportation	-	-	-	750,000.00	-	750,000.00
Managed Long-Term Care Ombudsman	-	-	-	2,851,067.25	-	2,851,067.25
Major Academic Pool	-	-	-	-	-	-
Women's Health & Multiple Births	-	-	-	-	-	-
Vital Access Program (OASAS)	-	-	-	-	-	-
Vital Access Program (OMH)	-	-	-	-	-	-
Vital Access Provider Services	-	-	-	-	-	-
General Hospitals Safety-Net Providers	-	-	-	-	-	-
Rural Transportation	-	-	-	35,239,490.00	-	35,239,490.00
AIDS Epidemic	710,051.21	-	710,051.21	-	-	-
Fluoridation Systems	-	-	-	4,336,749.71	-	4,336,749.71
Expanding Caregiver Support Services	2,041,565.70	-	2,041,565.70	750,709.02	-	750,709.02
Provide Affordable Housing	2,272,266.33	2,289,337.00	4,561,603.33	15,677,979.53	-	15,677,979.53
Health Homes Establishment	-	-	-	14,492,893.42	6,144,673.38	20,637,566.80
Community Provider Network	-	-	-	376,446.03	-	376,446.03
Inpatient Services	9,399,517.50	-	9,399,517.50	8,078,589.95	-	8,078,589.95
Patient Centered Medical Homes	(70,399,426.98)	-	(70,399,426.98)	207,433,273.63	-	207,433,273.63
Outpatient & Emergency Room Services	-	-	-	46,974,452.85	-	46,974,452.85
Clinic Services	55,118,719.11	-	55,118,719.11	126,997,530.12	-	126,997,530.12
Nursing Home Services	14,183,059.01	-	14,183,059.01	95,936,003.62	-	95,936,003.62
Other Long Term Care Services	80,165,853.46	-	80,165,853.46	529,070,508.46	-	529,070,508.46
Managed Care Services	341,589,445.62	-	341,589,445.62	4,127,660,809.03	-	4,127,660,809.03
Pharmacy Services	454,658,669.28	-	454,658,669.28	2,957,949,491.26	-	2,957,949,491.26
Transportation Services	11,256,030.17	-	11,256,030.17	87,448,143.11	-	87,448,143.11
Dental Services	7,231,056.69	-	7,231,056.69	57,693,576.68	-	57,693,576.68
Non-Institutional & Other	294,940.59	-	294,940.59	1,582,721.30	-	1,582,721.30
Medical Services State Facilities	188,707,403.17	4,199.00	188,711,602.17	487,257,444.77	11,219,461.00	498,476,905.77
CSEA Family Health Plus Buy In	124,458,355.38	-	124,458,355.38	818,363,135.21	-	818,363,135.21
DC37 & Teamster Local 858	1,035,387.64	-	1,035,387.64	1,163,698.61	-	1,163,698.61
Medical Assistance (HCRA)	-	-	-	-	-	-
Indigent Care	300,000,000.00	-	300,000,000.00	2,325,000,000.00	-	2,325,000,000.00
Provider Assessments	51,021,925.78	-	51,021,925.78	465,243,981.52	-	465,243,981.52
NYC Personal Care Workforce Recruitment and Retention Rates (HCRA)	66,317,000.00	-	66,317,000.00	432,564,000.00	-	432,564,000.00
Personal Care Workforce Recruitment and Retention Rates (HCRA)	-	-	-	-	-	-
Home Health Rate Increase (HCRA)	-	-	-	-	-	-
Additional DSH Payments SUNY	-	-	-	-	-	-
TOTAL⁽¹⁾	1,648,139,224.22	78,743,090.73	1,726,882,314.95	170,950,773.20	452,054,702.09	170,950,773.20
	(310,382,478.35)	-	(310,382,478.35)	(1,120,071,493.96)	-	(1,120,071,493.96)
	\$ 1,337,756,745.87	\$ 78,743,090.73	\$ 1,416,499,836.60	\$ 12,013,228,357.64	\$ 452,054,702.09	\$ 12,465,283,059.73

Reclassification of Medical Assistance payments for care and treatment of patients at State-operated health, mental hygiene and State University facilities to Transfers.

TOTAL REPORTED MEDICAID

⁽¹⁾ General Fund and State Special Revenue Funds only.
These amounts do not include Medical Assistance spending for State Operations.
These amounts are not comparable to Medicaid Global Cap spending.
Department of Health regularly reclassifies spending between programs, and therefore amounts for any individual program may be restated by DOH.
⁽²⁾ Source: Statewide Financial System

STATE OF NEW YORK
MEDICAL ASSISTANCE DISBURSEMENTS - FEDERAL FUNDS^(*)
FISCAL YEAR 2020-2021

APPENDIX I

	OCTOBER 2020			7 MONTHS ENDED OCTOBER 31		
	Department of Health	Other State Agencies	October	Department of Health	Other State Agencies	Year to Date
Medical Assistance & Survey Certification Program	\$ 5,760,061.06	\$ -	\$ 5,760,061.06	\$ 73,689,732.10	\$ -	\$ 73,689,732.10
Medical Assistance Administration	57,955,909.00	5,721,955.00	63,677,864.00	75,707,776.50	146,471,607.00	222,179,383.50
Partnership Plan	-	-	-	733,712,458.80	-	733,712,458.80
Inpatient Services	398,538,029.58	-	398,538,029.58	2,459,695,613.40	-	2,459,695,613.40
Outpatient & Emergency Room Services	(5,241,850.19)	-	(5,241,850.19)	366,354,245.33	-	366,354,245.33
Clinic Services	54,795,339.10	-	54,795,339.10	366,305,412.92	-	366,305,412.92
Nursing Home Services	123,377,586.96	-	123,377,586.96	808,639,382.65	-	808,639,382.65
Other Long Term Care Services	1,329,480,596.22	-	1,329,480,596.22	8,201,080,780.88	-	8,201,080,780.88
Managed Care Services	1,470,588,169.78	-	1,470,588,169.78	12,258,243,310.43	-	12,258,243,310.43
Pharmacy Services	31,478,500.16	-	31,478,500.16	242,188,214.10	-	242,188,214.10
Transportation Services	39,520,881.78	-	39,520,881.78	275,975,121.04	-	275,975,121.04
Dental Services	1,007,837.23	-	1,007,837.23	5,824,418.43	-	5,824,418.43
Non-Institutional & Other	(127,623,587.86)	(582,102.00)	(128,205,689.86)	(25,931,703.66)	(582,102.00)	(26,513,805.66)
Medical Services State Facilities	(25,000,000.00)	-	(25,000,000.00)	426,796,474.84	-	426,796,474.84
Additional DSH Payments SUNY	-	-	-	219,347,795.80	-	219,347,795.80
TOTAL ^(*)	3,354,637,472.82	5,139,853.00	3,359,777,325.82	26,487,629,033.56	145,889,505.00	26,633,518,538.56
Redistribution of Medical Assistance payments for care and treatment of patients at State-operated health, mental hygiene and State University facilities to Transfers and adjustments for timing of payments at month end.						
	(490,179,152.58)	-	(490,179,152.58)	(565,334,966.20)	-	(565,334,966.20)
TOTAL REPORTED MEDICAID ^(***)	\$ 2,864,458,320.24	\$ 5,139,853.00	\$ 2,869,598,173.24	\$ 25,922,294,067.36	\$ 145,889,505.00	\$ 26,068,183,572.36

^(*) Special Revenue Federal Funds only.

These amounts do not include Medical Assistance spending for State Operations. These amounts are not comparable to Medicaid Global Cap spending.

^(**) Source: Statewide Financial System

^(***) Reported Medicaid spending does not include the Basic Health Plan.



State of New York

Interim Financial Report Governmental Funds

For the six months ended September 30, 2020
(Pursuant to Section 8(9a) of the State Finance Law)

New York State Comptroller
THOMAS P. DiNAPOLI



STATE OF NEW YORK
Combined Balance Sheet
Governmental Funds
September 30, 2020
(Amounts in thousands)(Unaudited)

	General	Federal Special Revenue	Special Revenue	Debt Service	Capital Projects	Eliminations	Total
ASSETS:							
Cash and investments.....	\$ 18,609,666	\$ 6,326,549	\$ 6,949,504	\$ 2,723,703	\$ 2,531,614	\$ -	\$ 37,141,036
Receivables, net of allowances for uncollectibles:							
Taxes.....	4,162,507	-	35,468	4,265,068	45,044	-	8,508,087
Due from Federal government.....	-	9,794,276	-	16,079	649,450	-	10,459,805
Other.....	2,822,605	812,801	1,122,768	95,059	160,342	-	5,013,575
Due from other funds.....	2,939,843	84,140	50,243	257,586	485,806	(861,247)	2,956,371
Other assets.....	1,850,429	25,486	-	-	5,200	-	1,881,115
Total assets.....	\$ 30,385,050	\$ 17,043,252	\$ 8,157,983	\$ 7,357,495	\$ 3,877,456	\$ (861,247)	\$ 65,959,989
LIABILITIES:							
Tax refunds payable.....	\$ 5,270,070	\$ -	\$ 453,305	\$ 4,043,029	\$ 28,824	\$ -	\$ 9,795,228
Accounts payable.....	421,388	128,495	4,750	11	221,722	-	776,366
Accrued liabilities.....	4,340,050	4,013,627	12,618	27,437	57,272	-	8,451,004
Payable to local governments.....	19,555,408	3,678,371	2,253,739	457,717	364,787	-	26,310,022
Due to other funds.....	1,088,790	1,036,161	117,755	275,069	1,949,984	(861,247)	3,606,512
Pension contributions payable.....	332,871	-	-	-	-	-	332,871
Unearned revenues.....	148,260	7,689,609	-	1,443	-	-	7,839,312
Total liabilities.....	31,156,837	16,546,263	2,842,167	4,804,706	2,622,589	(861,247)	57,111,315
DEFERRED INFLOWS OF RESOURCES.....	990,107	465,923	284,029	291,329	31,290	-	2,062,678
FUND BALANCES:							
Restricted.....	-	31,066	951,676	389,713	145,826	-	1,518,281
Committed.....	-	-	2,467,292	1,871,747	1,198,305	-	5,537,344
Assigned.....	-	-	3,859,880	-	74,544	-	3,934,424
Unassigned.....	(1,761,894)	-	(2,247,061)	-	(195,098)	-	(4,204,053)
Total fund balances.....	(1,761,894)	31,066	5,031,787	2,261,460	1,223,577	-	6,785,996
Total liabilities, deferred inflows of resources and fund balances.....	\$ 30,385,050	\$ 17,043,252	\$ 8,157,983	\$ 7,357,495	\$ 3,877,456	\$ (861,247)	\$ 65,959,989

See accompanying notes to the financial statements.

STATE OF NEW YORK
Combined Statement of Revenues, Expenditures and Changes in Fund Balances (Deficits)
Governmental Funds
For the Six Months Ended September 30, 2020
(Amounts in thousands)(Unaudited)

	General		Federal Special Revenue		Special Revenue		Debt Service		Capital Projects		Eliminations		Total	
	Quarter Ended September 30, 2020	6 mos. Ended September 30, 2020	Quarter Ended September 30, 2020	6 mos. Ended September 30, 2020	Quarter Ended September 30, 2020	6 mos. Ended September 30, 2020	Quarter Ended September 30, 2020	6 mos. Ended September 30, 2020	Quarter Ended September 30, 2020	6 mos. Ended September 30, 2020	Quarter Ended September 30, 2020	6 mos. Ended September 30, 2020	Quarter Ended September 30, 2020	6 mos. Ended September 30, 2020
REVENUES:														
Taxes.....	\$ 7,798,271	\$ 16,133,479	\$ -	\$ -	\$ 889,769	\$ 2,263,945	\$ 5,003,220	\$ 16,231,235	\$ 331,288	\$ 554,793	\$ -	\$ -	\$ 38,186,472	
Fees.....	1,757,288	3,413,188	38,549,434	38,549,434	1,565,716	2,821,474	125,012	228,434	541,156	930,982	(23,027,632)	(23,027,632)	39,549,907	
Miscellaneous.....	11,728,237	34,565,132	68,482	68,482	-	-	-	-	(253,074)	163,907	-	-	14,819,767	
Total revenues.....	19,533,796	50,705,963	22,472,426	38,617,886	2,455,425	5,085,419	5,145,560	16,480,017	643,370	1,649,683	(23,027,632)	(23,027,632)	89,511,336	
EXPENDITURES:														
Salaries and benefits.....	25,366,528	37,899,620	20,088,983	35,099,679	3,989,544	9,122,084	18,218	30,035	2,708,709	3,298,836	-	-	85,330,219	
State operations.....	13,629,166	37,954,135	1,747,969	2,446,621	76,740	203,534	-	-	-	-	(23,027,632)	(23,027,632)	17,686,693	
Debt service, including payments on financing arrangements.....	-	-	-	-	-	-	1,277,335	1,936,248	1,669,417	3,054,399	-	-	1,936,248	
Capital construction.....	-	-	-	-	-	-	-	-	-	-	-	-	3,054,399	
Total expenditures.....	38,995,634	75,853,755	21,756,952	37,456,300	4,066,284	9,325,618	1,295,553	1,866,283	4,378,126	6,353,235	(23,027,632)	(23,027,632)	107,927,559	
Excess (deficiency) of revenues over expenditures.....	(19,471,838)	(25,147,792)	715,474	1,161,586	(1,610,859)	(4,240,199)	3,850,007	14,513,734	(3,734,756)	(4,703,552)	-	-	(18,416,223)	
OTHER FINANCING SOURCES (USES):														
Transfers from other funds.....	3,738,499	15,095,449	-	-	970,951	2,053,448	616,689	1,037,408	1,907,098	1,536,304	(15,310,573)	(15,310,573)	4,412,536	
Transfers to other funds.....	(2,566,899)	(4,030,089)	(713,625)	(1,131,383)	(24,064)	(31,251)	(3,876,098)	(15,143,840)	(332,525)	(821,758)	15,310,573	15,310,573	(5,409,445)	
Proceeds from bonds issued.....	-	-	-	-	-	-	-	-	1,876,525	3,651,230	-	-	3,651,230	
Refunding debt issued.....	-	-	-	-	-	-	264,455	264,455	-	-	-	-	264,455	
Premiums on bonds issued.....	-	117,802	-	-	-	-	43,414	43,414	439,982	680,042	-	-	841,558	
Revenue anticipation notes.....	-	4,382,200	-	-	-	-	-	-	-	-	-	-	4,382,200	
Net other financing sources (uses).....	1,191,600	15,565,362	(713,625)	(1,131,383)	946,887	2,021,997	(2,957,540)	(13,798,563)	3,890,650	5,484,921	-	-	8,142,334	
Net change in fund balances.....	(18,280,238)	(9,582,430)	1,849	30,203	(663,972)	(2,218,202)	892,467	715,171	155,894	781,269	-	-	(10,273,889)	
Fund balances (deficits) at beginning of period, as restated.....	16,518,344	7,820,536	29,217	863	5,095,759	7,249,989	1,368,993	1,546,289	1,067,683	442,208	-	-	17,059,885	
Fund balances (deficits) at September 30, 2020.....	\$ (1,761,894)	\$ (1,761,894)	\$ 31,066	\$ 31,066	\$ (5,031,787)	\$ (5,031,787)	\$ 2,261,460	\$ 2,261,460	\$ 1,223,577	\$ 1,223,577	\$ -	\$ -	\$ 6,785,996	

See accompanying notes to financial statements.

STATE OF NEW YORK
Notes to Financial Statements
September 30, 2020 (Unaudited)

NOTE 1

The accounting policies and methods of estimating and accumulating financial data for preparation of the September 30, 2020 interim financial statements for governmental fund types are similar to those used to prepare the March 31, 2020 governmental fund financial statements.

The implementation of GASB Statement 84, Fiduciary Activities, has been initiated in the interim financial statements for the fiscal year ending March 31, 2021.

NOTE 2

Within the governmental funds, transfers to other funds exceeded transfers from other funds by \$997 million. Subsidies to the State University of New York and the Senior Colleges of the City University of New York totaling \$2,729 million are reported as transfers to other funds offset by \$1,732 million in Enterprise Funds subsidies which are reported as transfers from other funds.

NOTE 3

The following table presents a reconciliation of the budgetary cash basis operating results for the six months ended September 30, 2020 as reported in the State Register, with the operating results for Governmental Fund Types prepared in accordance with generally accepted accounting principles (amounts in thousands):

	<u>General</u>	<u>Federal Special Revenue</u>	<u>Special Revenue</u>	<u>Debt Service</u>	<u>Capital Projects</u>
Excess (deficiency) of receipts and other financing sources over (under) disbursements and other financing uses	\$ 6,497,600	\$ 3,795,000	\$ (596,800)	\$ 2,273,900	\$ (454,000)
Entity differences:					
Receipts and other financing sources over (under) disbursements and other financing uses for funds and accounts not included in the cash basis financial plan	(1,078,853)	224,407	(77,470)	(828,693)	1,639,554
Perspective differences:					
Receipts and other financing sources over (under) disbursements and other financing uses of the Miscellaneous Special Revenue Account, Infrastructure Trust Account, Child Performer's Protection Account and Charter Schools Stimulus Account, which are treated as Special Revenue Funds in the financial plan and as part of the General Fund for GAAP reporting	222,947	-	(222,947)	-	-
Fiduciary Funds	1,858,389	-	791	-	-
College and University Funds	-	-	(224,844)	-	55,944
Lottery Fund	-	-	1,532,789	-	-
Temporary interfund cash loans	(96,270)	(310,856)	24,906	-	382,220
Basis of accounting differences:					
To adjust for revenue accruals	(7,075,058)	(5,352,646)	(638,623)	(2,457,664)	(398,195)
To adjust for expenditure accruals	(9,911,185)	1,674,298	(2,016,004)	1,727,628	(444,154)
Net change in fund balances	\$ (9,582,430)	\$ 30,203	\$ (2,218,202)	\$ 715,171	\$ 781,369

STATE OF NEW YORK
Notes to Financial Statements
September 30, 2020 (Unaudited) (cont'd)

NOTE 4

During the six months ended September 30, 2020, there were two debt refunding issues. The impact of these issues is presented in the following table (amounts in thousands):

Issue Description	Refunding Amount	Refunded Amount	Cash Flow Gain (Loss)	Present Value Gain (Loss)
Urban Development Corporation PIT General Purpose Bond Series 2020C	\$ 229,795	\$ 264,020	\$ 52,429	\$ 47,163
Urban Development Corporation PIT General Purpose Bond Series 2020D	34,660	33,390	(3,909)	523
Total	\$ 264,455	\$ 297,410	\$ 48,520	\$ 47,686

NOTE 5

On March 11, 2020, the World Health Organization officially declared Coronavirus (COVID-19), the disease caused by the novel coronavirus, a pandemic. The impact of the pandemic which had begun to be seen in late March 2020, is likely to affect various parts of fiscal year 2021 operations and financial performance. In response to the COVID-19 pandemic, the United States Congress passed, and the President signed, a variety of legislation (including but not limited to the Coronavirus Aid, Relief, and Economic Security Act, or "CARES Act") that provides certain relief to partially mitigate the economic impact of the pandemic. The relief provided under the CARES Act has been and is expected to be distributed through the Coronavirus Relief Fund (CRF). The outbreak of COVID-19 has affected travel, commerce and financial markets globally, and is widely expected to affect economic growth worldwide. The degree of any such impact to the State's operations and finances (and those of its municipalities and major public authorities), is extremely difficult to predict due to the dynamic nature of the COVID-19 outbreak, including uncertainties relating to its duration and severity, as well as with regard to what actions may be taken by governmental and other health care authorities to contain or mitigate its impact. While the overall impact on the State cannot be predicted at this time, the continued spread of the outbreak could have a material adverse effect on the State, its economy and the Financial Plan.

The Division of the Budget (DOB) expects a reduction in State tax revenues in the range of \$10 to \$15 billion in the 2020-21 fiscal year, with recurring shortfalls in subsequent years. Accordingly, effective April 28, 2020, DOB ordered spending controls including a hiring freeze, elimination of non-essential nonpersonal service spending, and deferral on discretionary local aid grants.

In April, the State received \$5.1 billion in allocation from the Federal government under the CRF. Federal provisions and current guidance for the CRF indicate that its funds are limited to expenditures made between March 1, 2020 and December 30, 2020, and eligible jurisdictions need to return any funds that do not meet this requirement to the Federal government. The State intends to charge eligible costs incurred prior to receipt of the funding to the CRF during the 2020-21 fiscal year. Further allocation of funds may be received based on allocation methods and criteria that are not yet known, however any receipt of any additional monies under the CARES Act is uncertain and is not guaranteed.

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