
NEW YORK STATE
REGISTER

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State agencies must specify in each notice which proposes a rule the last date on which they will accept public comment. Agencies must always accept public comment: for a minimum of 60 days following publication in the *Register* of a Notice of Proposed Rule Making, or a Notice of Emergency Adoption and Proposed Rule Making; and for 30 days after publication of a Notice of Revised Rule Making, or a Notice of Emergency Adoption and Revised Rule Making in the *Register*. When a public hearing is required by statute, the hearing cannot be held until 60 days after publication of the notice, and comments must be accepted for at least 5 days after the last required hearing. When the public comment period ends on a Saturday, Sunday or legal holiday, agencies must accept comment through the close of business on the next succeeding workday.

For notices published in this issue:

- the 60-day period expires on January 27, 2019
- the 30-day period expires on December 28, 2018

**ANDREW M. CUOMO
GOVERNOR**

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SECRETARY OF STATE**

NEW YORK STATE DEPARTMENT OF STATE

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NEW YORK STATE REGISTER

Be a part of the rule making process!

The public is encouraged to comment on any of the proposed rules appearing in this issue. Comments must be made in writing and must be submitted to the agency that is proposing the rule. Address your comments to the agency representative whose name and address are printed in the notice of rule making. No special form is required; a handwritten letter will do. Individuals who access the online *Register* (www.dos.ny.gov) may send public comment via electronic mail to those recipients who provide an e-mail address in Notices of Proposed Rule Making. This includes Proposed, Emergency Proposed, Revised Proposed and Emergency Revised Proposed rule makings.

To be considered, comments should reach the agency before expiration of the public comment period. The law provides for a minimum 60-day public comment period after publication in the *Register* of every Notice of Proposed Rule Making, and a 30-day public comment period for every Notice of Revised Rule Making. If a public hearing is required by statute, public comments are accepted for at least five days after the last such hearing. Agencies are also required to specify in each notice the last date on which they will accept public comment.

When a time frame calculation ends on a Saturday or Sunday, the agency accepts public comment through the following Monday; when calculation ends on a holiday, public comment will be accepted through the following workday. Agencies cannot take action to adopt until the day after expiration of the public comment period.

The Administrative Regulations Review Commission (ARRC) reviews newly proposed regulations to examine issues of compliance with legislative intent, impact on the economy, and impact on affected parties. In addition to sending comments or recommendations to the agency, please do not hesitate to transmit your views to ARRC:

Administrative Regulations Review Commission
State Capitol
Albany, NY 12247
Telephone: (518) 455-5091 or 455-2731

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KEY: (P) Proposal; (RP) Revised Proposal; (E) Emergency; (EP) Emergency and Proposal; (A) Adoption; (AA) Amended Adoption; (W) Withdrawal

Individuals may send public comment via electronic mail to those recipients who provided an e-mail address in Notices of Proposed Rule Making. This includes Proposed, Emergency Proposed, Revised Proposed and Emergency Revised Proposed rule makings. Choose pertinent issue of the *Register* and follow the procedures on the website (www.dos.ny.gov)

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RULE MAKING ACTIVITIES

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AAM -the abbreviation to identify the adopting agency
01 -the *State Register* issue number
96 -the year
00001 -the Department of State number, assigned upon receipt of notice.
E -Emergency Rule Making—permanent action not intended (This character could also be: A for Adoption; P for Proposed Rule Making; RP for Revised Rule Making; EP for a combined Emergency and Proposed Rule Making; EA for an Emergency Rule Making that is permanent and does not expire 90 days after filing.)

Italics contained in text denote new material. Brackets indicate material to be deleted.

Department of Environmental Conservation

PROPOSED RULE MAKING NO HEARING(S) SCHEDULED

Jonah Crab Management

I.D. No. ENV-48-18-00002-P

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following proposed rule:

Proposed Action: Amendment of Part 44 of Title 6 NYCRR.

Statutory authority: Environmental Conservation Law, section 13-0331(7)

Subject: Jonah crab management.

Purpose: To implement required management measures of the Atlantic States Marine Fisheries Commission Jonah Crab Fishery Management Plan.

Text of proposed rule: 6 NYCRR paragraph 44.2(a)(5) is renumbered to paragraph 44.2(a)(6).

New paragraph 44.2(a)(5) is adopted to read as follows:

(5) *'Claw length' is measured along the bottom of the claw, from the joint to the lower tip of the claw.*

New paragraph 44.2(a)(7) is adopted to read as follows:

(7) *'Jonah crab bycatch' indicates there must be fewer pounds of Jonah crab onboard the fishing vessel at all times during a fishing trip than the pounds of the target species the deployed gear is targeting. Target species are those species primarily sought by the fishermen and are the subject of directed fishing effort.*

6 NYCRR subdivision 44.2(b) is amended to read as follows:

(b) Identification of gear used in taking of crabs.

[Effective January 1, 2007, gear] *Gear* used in taking crabs shall be identified as follows:

6 NYCRR paragraphs 44.2(b)(3) and (4) are amended to read as follows:

(3) All [blue] crab pots or traps shall be marked or branded, in characters not less than three-fourths inch in height with the number, including any letters, assigned the holder of a crab permit for the current year at the time he or she obtains a crab permit. If the construction of a pot or trap does not allow it to be marked by branding, that pot or trap shall be marked by a tag or other device bearing, in clearly visible and legible characters, the same number appearing on a buoy or marker used to identify that pot or trap. This tag or other device shall be of a material that is not deteriorated by sea water and shall be firmly attached to the pot or trap it identifies.

(4) [Blue crab] *Crab* pots shall not be placed within 25 feet of designated navigation channels, and all floating buoys or identification markers and lines attached to such pots shall remain outside designated navigation channels at all times, except that:

(i) [blue] crab pots which are directly attached to the shoreline or a bulkhead may be placed within 25 feet of a designated navigation channel provided that neither the pot nor any attached lines or markers are within such channel; and

(ii) [blue] crab pots or traps that are affixed to a vessel with at least one person aboard may be placed within 25 feet of a designated navigation channel.

6 NYCRR subdivision 44.2(c) is amended to read as follows:

(c) Construction of escape panels in [blue] crab pots or traps.

(1) [Effective January 1, 2007, blue crab] *Crab* pots or traps made of any material other than untreated natural wood shall contain on a side of the pot, but not the bottom, an escape panel, which when open, will provide an unobstructed oval or rectangular opening of not less than six [and one half inches] by [five] *four* inches in length and height. If this panel is constructed of wood, it shall be untreated natural wood not more than three-eighths of an inch thick. If the panel is constructed of any material other than untreated natural wood, it shall be hinged to open. The panel shall be hinged in such a manner that upon degradation of the material keeping the panel closed, the panel is released to produce an opening which is not blocked or otherwise obstructed by the panel material. Hinged panels shall be held in the closed position with either untreated, uncoated ferrous wire not more than three thirty-seconds of an inch in diameter or an untreated natural fiber such as cotton, sisal, hemp or manila not more than three-sixteenths of an inch in diameter. *The door or the side panel (which makes up the door) may serve as the escape panel if the door or side panel (which makes up the door), including any latching mechanism for the door, is fastened to the pot with the degradable materials listed above. At least three sides of the door or side panel must be unattached when the material degrades.* If the pot or trap is constructed of nylon, [polypropylene] *polypropylene*, or any other synthetic fiber mesh netting placed over the frame, the escape panel may be made by having a section of the mesh netting on the outside of the parlor section comprised of an untreated natural fiber which when rotten out or deteriorated will leave an opening of at least the size specified for an escape panel in this subdivision.

(2) No person shall set or place a [blue] crab pot or trap in the water of the State unless such pot or trap is equipped with an escape panel of the minimum size specified in paragraph (1) of this subdivision, except that no escape panel shall be required for [blue] crab pots or traps with sides that fall open to provide ingress.

6 NYCRR subdivision 44.2(e) is renumbered to paragraph 44.2(e)(1)

New 44.2(e) is adopted to read as follows:

(e) *Size limits.*

Renumbered subdivision 6 NYCRR 44.2(e)(1) is amended to read as follows:

(1) Blue crab size limits.

[After June 1, 2006, no] *No* person shall possess or land any blue crab ('*Callinectes sapidus*') with a carapace width less than four and one half

inches in length for hard shell blue crabs, three and one half inches in length for soft shell blue crabs, and three inches in length for peeler or shedder blue crabs.

New paragraph 6 NYCRR 44.2(e)(2) is adopted to read as follows:

(2) *Jonah crab size limits:*

No person shall possess or land any whole Jonah crab ('Cancer borealis') with a carapace width less than four and three quarters inches in length.

New subdivision 44.2(f) is adopted to read as follows:

(f) Permit holders may possess or land whole Jonah crabs or may remove claws at sea, keeping the claw and returning the crab to the water. If the permit holder is taking more than a five gallon bucket full of claws, those claws must meet a minimum size limit of two and three-quarters inches claw length. If five gallons or less of detached claws is possessed or landed, there is no minimum claw length. Only whole Jonah crab may be possessed or landed recreationally.

Text of proposed rule and any required statements and analyses may be obtained from: Kim McKown, Department of Environmental Conservation, 205 Belle Mead Road, Suite 1, East Setauket, NY 11733, (631) 444-0454, email: kim.mckown@dec.ny.gov

Data, views or arguments may be submitted to: Same as above.

Public comment will be received until: 60 days after publication of this notice.

Additional matter required by statute: Pursuant to Article 8 of the ECL, the State Environmental Quality Review Act, a Coastal Assessment Form and a Short Environmental Assessment Form with a negative determination have been prepared, and are on file with the Department.

Regulatory Impact Statement

1. Statutory authority:

Environmental Conservation Law (ECL) 13-0331(7) gives DEC the authority to adopt regulations for crabs. These include size limits, restrictions on the manner of taking and landing, requirements relating to transportation, possession and sale, and requirements for permits and eligibility therefor. Such regulations must be consistent with the compliance requirements of applicable FMPs adopted by Atlantic States Marine Fishery Commission (ASMFC).

2. Legislative objectives:

It is the objective of the above-cited legislation that DEC manages marine fisheries in such a way as to protect the natural resources for its intrinsic value to the marine ecosystem and to optimize resource use for commercial and recreational harvesters. The ECL stipulates that management and use of State fish and wildlife resources must be consistent with marine fisheries conservation and management policies and interstate fishery management plans.

3. Needs and benefits:

The Jonah crab, *Cancer borealis*, is primarily harvested as a bycatch in lobster traps on the East Coast. The decrease in Southern New England lobster populations has led many commercial fishermen to move to other fisheries. Jonah crab is one of these fisheries, and there have been dramatic increases in landings in the past five years. In August 2015, ASMFC adopted a fishery management plan (FMP) for Jonah crab. In order to comply with that FMP, New York and other member states are required to implement a series of management measures for Jonah crab. These management measures are intended to reduce the possibility of overfishing of the Jonah crab stock, while preserving the current utilization of the resource by the fishing industry.

Pursuant to ECL § 13-0371, New York State is a party to the Atlantic States Marine Fisheries Compact which established ASMFC. The Commission facilitates cooperative management of marine, shell, and anadromous fish species among the fifteen member states. The principal mechanism for implementation of cooperative management of migratory fish is ASMFC's Interstate FMPs for individual species or groups of fish. The FMPs are designed to promote the long-term health of these species, preserve resources, and protect the interests of both commercial and recreational fishermen.

Under the provisions of the Atlantic Coastal Fisheries Cooperative Management Act (ACFCMA), ASMFC determines if states have implemented, in a timely manner, provisions of FMPs with which they are required to comply. If ASMFC determines that a state is non-compliant with an FMP, it so notifies the U.S. Secretary of Commerce. If the Secretary concurs in the non-compliance determination, the Secretary promulgates and enforces a complete prohibition on all fishing for the subject species in the waters of the non-compliant state until the state comes into compliance with the FMP.

4. Costs:

There are no new costs to state and local governments from this action. The department will incur limited costs associated with both the implementation and administration of these rules, including the costs relating to notifying permit holders of the new rules.

5. Local government mandates:

The proposed rule making does not impose any mandates on local government.

6. Paperwork:

The proposed rule making will not impose any new paperwork requirements for the permit holders.

7. Duplication:

The proposed rule does not duplicate any state or federal requirement.

8. Alternatives:

No action: Failure to adopt these regulations could lead to a determination by ASMFC that New York is out of compliance. This could lead to a federal closure of New York's Jonah crab fishery. If the fishery were to close, this would cause significant hardship on New York fishermen. The estimated dollar value of New York's commercial Jonah crab harvest was approximately \$133,182 in 2016, which is the last year of estimated value data.

9. Federal standards:

The amendments to Part 44 are in compliance with the ASMFC Fishery Management Plan for Jonah Crab.

10. Compliance schedule:

Compliance with the proposed regulation is required upon the effective date of the rule. Permit holders will be notified of the changes to the regulations through appropriate news releases, by mail, and through DEC's website.

Regulatory Flexibility Analysis

1. Effect of rule:

The proposed rule will have minimal effect on Jonah crab fishers and dealers. Discussions with Jonah crab fishers indicate that crab dealers are most interested in purchasing Jonah crabs that are five inches wide or larger. This is supported by the department's fishery biological sampling. During our 2017 sampling, only two out of 78 harvested Jonah crab were smaller than the proposed minimum size limit of four and three-quarters inches. In addition, only 6 crabs were smaller than five inches.

The proposed rule continues to allow for the harvest of Jonah crab claws, which is important for the gill net fishery because the crabs grab on to the net and are difficult to get off. Claw harvest is also important for the trap fishery in the summer, when water temperatures are too hot to keep whole crabs en route to market.

In addition, the proposed rule includes changes to existing gear and escape panel definitions to include all crab pot and trap gear, modifies the escape panel size and adds an additional escape panel design. The proposed gear rules expand escape panel requirements and rules on where traps can be set to all crab traps, not just blue crab traps. This will protect marine resources from being caught in all lost crab traps. The proposed rule also expands the prohibition of setting blue crab traps in marked navigation channels to all crab traps. This will decrease the possibility of boat propellers getting wrapped in crab pot lines and buoys. In addition, the proposed rules include escape panel modifications which were requested by industry. A number of crabbers voluntarily use escape vents on their pots. These vents allow undersize crabs to escape from the pots and reduce mortality. The largest of these vents available is slightly smaller than the required escape panel size. Industry has requested that the escape panel size be modified to match industry standards. The department believes this is a legitimate request since the slightly smaller escape panel size, which protects resources if the pot is lost, is balanced by the continued reduction in mortality due to the voluntary use of escape vents which protect undersize crabs and small marine life continually during pot operation. The proposed rule also includes a modified design for escape which was requested by industry.

2. Compliance requirements:

None.

3. Professional services:

None.

4. Compliance costs:

There will be no costs incurred by small businesses and local government for this rule.

5. Economic and technological feasibility:

There is no additional technology required for small businesses or local governments, so there are no economic or technological impacts for these entities.

6. Minimizing adverse impact:

The proposed rule making will have minimal adverse impact on small businesses involved in the Jonah Crab fishery. The proposed minimum size limit is consistent with current industry practices. The rule making allows for the harvest of claws at sea, which is important to segments of the fishery. This will have minimal adverse impact on small businesses involved in the Jonah Crab fishery. The proposed minimum size limit is consistent with current industry practices. The rule making allows for the harvest of claws at sea, which is important to segments of the fishery.

Ultimately, the maintenance of long-term sustainable fisheries will have

a positive effect on employment for the fishery as well as wholesale and retail dealers. Failure to comply and take required actions to protect our natural resources could cause adverse impact on Jonah crab fisheries as well as supporting industries.

7. Small business and local government participation:

New York State Jonah crab fishers had an opportunity to comment upon the ASMFC Jonah Crab FMP and Addendums, including the measures proposed in this rulemaking, during the ASMFC's public hearings and comment period in June 2015, April 2016 and November 2016. New York's Jonah crab fishers also had the opportunity to comment on the proposed rule at the May and November 2017 Marine Resources Advisory Council meetings. They also had the opportunity to comment on the revised escape panel size at the March 6, 2018 Marine Resources Advisory Council meeting.

8. For rules that either establish or modify a violation or penalties associated with a violation:

Pursuant to the State Administrative Procedure Act § 202-b(1-a)(b) (SAPA), a cure period is not included in the rule because of the potential adverse impact on the resource. Cure periods for the illegal taking of fish or crustacea are neither desirable nor recommended. Immediate compliance is required to ensure that the general welfare of the public and the resource are both protected.

9. Initial review of the rule, pursuant to SAPA § 207 as amended by L. 2012, ch. 462:

This rule will be reviewed in three years.

Rural Area Flexibility Analysis

The Department of Environmental Conservation has determined that this rule will not impose an adverse impact on rural areas. There are no rural areas within the marine and coastal district. The majority of this regulatory package will affect resources and fisheries in the marine and coastal district. The only exceptions are the changes to existing gear and escape panel provisions in the proposed rule, which could affect one crab permit holder in Dutchess County, three in Rockland County and five in Westchester County. Rockland and Westchester Counties contain no rural areas. The Dutchess County permit holder is a resident of Poughkeepsie which is not considered a rural area. Lastly, the proposed rule does not impose any reporting, record-keeping, or other compliance requirements on public or private entities in rural areas. Since no rural areas will be affected by the proposed amendments of 6 NYCRR Part 44, a Rural Area Flexibility Analysis is not required.

Job Impact Statement

1. Nature of impact:

The proposed rule will have minimal effect on Jonah crab fishers and dealers. Discussions with Jonah crab fishers indicate that crab dealers are most interested in purchasing Jonah crabs that are five inches wide or larger. This is supported by the department's fishery biological sampling. Only two Jonah crab were smaller than the proposed minimum size limit of four and three-quarters inches out of the 78 crabs measured in 2017. In addition, only 6 crabs were smaller than five inches.

The proposed rule continues to allow for the harvest of claws, which is important for the gill net fishery and for the trap fishery in the summer, when water temperatures are too hot to allow for the harvest of live whole crabs.

2. Categories and numbers affected:

In 2016 there were twenty-two New York based fishers who reported harvesting Jonah crab out of the 565 resident and non-resident crab permit holders and 312 lobster permit holders (resident, non-resident and landing). There will be limited impact of the size limit to permit holders based on the department biological sampling data mentioned above.

During 2016 there were ten New York dealers who reported selling Jonah crab. They will not be impacted by the rule since the minimum size limit mandated by ASMFC is consistent with the size limit preferred by industry.

3. Regions of adverse impact:

Jonah crab are found predominantly in the ocean waters off the south shore of Long Island, mainly further than 3 miles off shore in federal waters. Permit holders are generally residents of the marine and coastal districts.

4. Minimizing adverse impact:

The rule making will have minimal adverse impact on small businesses involved in the Jonah Crab fishery. The proposed minimum size limit is consistent with current industry practices. The rule making allows for the harvest of claws at sea, which is important to segments of the fishery.

Ultimately, the maintenance of long-term sustainable fisheries will have a positive effect on employment for the fishery as well as wholesale and retail dealers. Failure to comply and take required actions to protect our natural resources could cause the adverse impact on the fisheries for that species as well as the supporting industries.

5. Self-employment opportunities:

Commercial fishers in New York are generally self-employed.

6. Initial review of the rule, pursuant to SAPA § 207 as amended by L. 2012, ch. 462:

This rule will be reviewed in three years.

Department of Financial Services

EMERGENCY RULE MAKING

Assessment of Entities Regulated by the Banking Division of the Department of Financial Services

I.D. No. DFS-48-18-00001-E

Filing No. 1072

Filing Date: 2018-11-07

Effective Date: 2018-11-07

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following action:

Action taken: Addition of Part 501 to Title 3 NYCRR.

Statutory authority: Banking Law, section 17; Financial Services Law, section 206

Finding of necessity for emergency rule: Preservation of general welfare.

Specific reasons underlying the finding of necessity: Pursuant to the Financial Services Law ("FSL"), the New York State Banking Department ("Banking Department") and the New York State Insurance Department were consolidated, effective October 3, 2011, into the Department of Financial Services ("Department").

Prior to the consolidation, assessments of institutions subject to the Banking Law ("BL") were governed by Section 17 of the BL; effective on October 3, 2011, assessments are governed by Section 206 of the Financial Services Law, provided that Section 17 continues to apply to assessments for the fiscal year which commenced April 1, 2011.

Both Section 17 of the Banking Law and Section 206 of the Financial Services Law provide that all expenses (compensation, lease costs and other overhead) of the Department in connection with the regulation and supervision (including examination) of any person or entity licensed, registered, incorporated or otherwise formed pursuant to the BL are to be charged to, and paid by, the regulated institutions subject to the supervision of in the Banking Division of the Department (the "Banking Division"). Under both statutes, the Superintendent is authorized to assess regulated institutions in the Banking Division in such proportions as the Superintendent shall deem just and reasonable.

Litigation commenced in June, 2011 challenged the methodology used by the Banking Department to assess mortgage bankers. On May 3, 2012, the Appellate Division invalidated this methodology for the 2010 State Fiscal Year, finding that the former Banking Department had not followed the requirements of the State Administrative Procedures Act.

In response to this ruling, the Department has determined to adopt this new rule setting forth the assessment methodology applicable to all entities regulated by the Banking Division for fiscal years beginning with fiscal year 2011.

The emergency adoption of this regulation is necessary to implement the requirements of Section 17 of the Banking Law and Section 206 of the Financial Services Law in light of the determination of the Court and the ongoing need to fund the operations of the Department without interruption.

Subject: Assessment of entities regulated by the Banking Division of the Department of Financial Services.

Purpose: New Part 501 implements Section 17 of the Banking Law and Section 206 of the Financial Services Law and sets forth the basis for allocating all costs and expenses attributable to the operation of the Banking Division of the Department of Financial Services among and between any person or entity licensed, registered, incorporated or otherwise formed pursuant to the Banking Law.

Text of emergency rule: Part 501

*Banking Division Assessments
§ 501.1 Background.*

Pursuant to the Financial Services Law ("FSL"), the New York State Banking Department ("Banking Department") and the New York State Insurance Department were consolidated on October 3, 2011 into the Department of Financial Services ("Department").

Prior to the consolidation, assessments of institutions subject to the Banking Law ("BL") were governed by Section 17 of the BL. Effective October 3, 2011, assessments are governed by Section 206 of the FSL, provided that Section 17 of the BL continues to apply to assessments for the fiscal year commencing on April 1, 2011.

Both Section 17 of the BL and Section 206 of the FSL provide that all expenses (including, but not limited to, compensation, lease costs and other overhead costs) of the Department attributable to institutions subject to the BL are to be charged to, and paid by, such regulated institutions. These institutions ("Regulated Entities") are now regulated by the Banking Division of the Department. Under both Section 17 of the BL and Section 206 of the FSL, the Superintendent is authorized to assess Regulated Entities for its total costs in such proportions as the Superintendent shall deem just and reasonable.

The Banking Department has historically funded itself entirely from industry assessments of Regulated Entities. These assessments have covered all direct and indirect expenses of the Banking Department, which are activities that relate to the conduct of banking business and the regulatory concerns of the Department, including all salary expenses, fringe benefits, rental and other office expenses and all miscellaneous and overhead costs such as human resource operations, legal and technology costs.

This regulation sets forth the basis for allocating such expenses among Regulated Entities and the process for making such assessments.

§ 501.2 Definitions.

The following definitions apply in this Part:

(a) "Total Operating Cost" means for the fiscal year beginning on April 1, 2011, the total direct and indirect costs of operating the Banking Division. For fiscal years beginning on April 1, 2012, "Total Operating Cost" means (1) the sum of the total operating expenses of the Department that are solely attributable to regulated persons under the Banking Law and (2) the proportion deemed just and reasonable by the Superintendent of the other operating expenses of the Department which under Section 206(a) of the Financial Services Law may be assessed against persons regulated under the Banking Law and other persons regulated by the Department.

(b) "Industry Group" means the grouping to which a business entity regulated by the Banking Division is assigned. There are three Industry Groups in the Banking Division:

(1) The Depository Institutions Group, which consists of all banking organizations and foreign banking corporations licensed by the Department to maintain a branch, agency or representative office in this state;

(2) The Mortgage-Related Entities Group, which consists of all mortgage brokers, mortgage bankers and mortgage loan servicers; and

(3) The Licensed Financial Services Providers Group, which consists of all check cashers, budget planners, licensed lenders, sales finance companies, premium finance companies and money transmitters.

(c) "Industry Group Operating Cost" means the amount of the Total Operating Cost to be assessed to a particular Industry Group. The amount is derived from the percentage of the total expenses for salaries and fringe benefits for the examining, specialist and related personnel represented by such costs for the particular Industry Group.

(d) "Industry Group Supervisory Component" means the total of the Supervisory Components for all institutions in that Industry Group.

(e) "Supervisory Component" for an individual institution means the product of the average number of hours attributed to supervisory oversight by examiners and specialists of all institutions of a similar size and type, as determined by the Superintendent, in the applicable Industry Group, or the applicable sub-group, and the average hourly cost of the examiners and specialists assigned to the applicable Industry Group or sub-group.

(f) "Industry Group Regulatory Component" means the Industry Group Operating Cost for that group minus the Industry Group Supervisory Component and certain miscellaneous fees such as application fees.

(g) "Industry Financial Basis" means the measurement tool used to distribute the Industry Group Regulatory Component among individual institutions in an Industry Group.

The Industry Financial Basis used for each Industry Group is as follows:

(1) For the Depository Institutions Group: total assets of all institutions in the group;

(2) For the Mortgage-Related Entities Group: total gross revenues from New York State operations, including servicing and secondary market revenues, for all institutions in the group; and

(3) For the Licensed Financial Services Providers Group: (i.) for budget planners, the number of New York customers; (ii.) for licensed lenders, the dollar amount of New York assets; (iii.) for check cashers, the dollar amount of checks cashed in New York; (iv.) for money transmitters, the dollar value of all New York transactions; (v.) for premium finance companies, the dollar value of loans originated in New York; and (vi.) for sales finance companies, the dollar value of credit extensions in New York.

(h) "Financial Basis" for an individual institution is that institution's

portion of the measurement tool used in Section 501.2(g) to develop the Industry Financial Basis. (For example, in the case of the Depository Institutions Group, an entity's Financial Basis would be its total assets.)

(i) "Industry Group Regulatory Rate" means the result of dividing the Industry Group Regulatory Component by the Industry Financial Basis.

(j) "Regulatory Component" for an individual institution is the product of the Financial Basis for the individual institution multiplied by the Industry Group Regulatory Rate for that institution.

§ 501.3 Billing and Assessment Process.

The New York State fiscal year begins April 1 and ends March 31 of the following calendar year. Each institution subject to assessment pursuant to this Part is billed five times for a fiscal year: four quarterly assessments (each approximately 25% of the anticipated annual amount) based on the Banking Division's estimated annual budget at the time of the billing, and a final assessment (or "true-up"), based on the Banking Division's actual expenses for the fiscal year. Any institution that is a Regulated Entity for any part of a quarter shall be assessed for the full quarter.

§ 501.4 Computation of Assessment.

The total annual assessment for an institution shall be the sum of its Supervisory Component and its Regulatory Component.

§ 501.5 Penalties/Enforcement Actions.

All Regulated Entities shall be subject to all applicable penalties, including late fees and interest, provided for by the BL, the FSL, the State Finance Law or other applicable laws. Enforcement actions for nonpayment could include suspension, revocation, termination or other actions.

§ 501.6 Effective Date.

This Part shall be effective immediately. It shall apply to all State Fiscal Years beginning with the Fiscal Year starting on April 1, 2011.

This notice is intended to serve only as a notice of emergency adoption. This agency intends to adopt this emergency rule as a permanent rule and will publish a notice of proposed rule making in the State Register at some future date. The emergency rule will expire February 4, 2019.

Text of rule and any required statements and analyses may be obtained from: George Bogdan, Esq., Department of Financial Services, One State Street, New York, New York 10004, (212) 480-4758, email: george.bogdan@dfs.ny.gov

Regulatory Impact Statement

1. Statutory Authority

Pursuant to the Financial Services Law ("FSL"), the New York State Banking Department (the "Banking Department") and the New York State Insurance Department were consolidated, effective October 3, 2011, into the Department of Financial Services (the "Department").

Prior to the consolidation, assessments of institutions subject to the Banking Law ("BL") were governed by Section 17 of the BL; effective on October 3, 2011, assessments are governed by Section 206 of the Financial Services Law, provided that Section 17 continues to apply to assessments for the fiscal year which commenced April 1, 2011.

Both Section 17 of the BL and Section 206 of the FSL provide that all expenses (compensation, lease costs and other overhead) of the Department in connection with the regulation and supervision of any person or entity licensed, registered, incorporated or otherwise formed pursuant to the BL are to be charged to, and paid by, the regulated institutions subject to the supervision of the Banking Division of the Department (the "Banking Division"). Under both statutes, the Superintendent is authorized to assess regulated institutions in the Banking Division in such proportions as the Superintendent shall deem just and reasonable.

In response to a court ruling, *In the Matter of Homestead Funding Corporation v. State of New York Banking Department et al.*, 944 N.Y.S.2d 649 (2012) ("Homestead"), that held that the Department should adopt changes to its assessment methodology for mortgage bankers through a formal assessment rule pursuant to the requirements of the State Administrative Procedures Act ("SAPA"), the Department has determined to adopt this new regulation setting forth the assessment methodology applicable to all entities regulated by the Banking Division for fiscal years beginning with fiscal year 2011.

2. Legislative Objectives

The BL and the FSL make the industries regulated by the former Banking Department (and now by the Banking Division of the new Department) responsible for all the costs and expenses of their regulation by the State. The assessments have covered all direct and indirect expenses of the Banking Department, which are activities that relate to the conduct of banking business and the regulatory concerns of the Department, including all salary expenses, fringe benefits, rental and other office expenses and all miscellaneous and overhead costs such as human resource operations, legal and technology costs.

This reflects a long-standing State policy that the regulated industries are the appropriate parties to pay for their supervision in light of the financial benefits it provides to them to engage in banking and other regulated businesses in New York. The statute specifically provides that

these costs are to be allocated among such institutions in the proportions deemed just and reasonable by the Superintendent.

While this type of allocation had been the practice of the former Banking Department for many decades, Homestead found that a change to the methodology for mortgage bankers to include secondary market and servicing income should be accomplished through formal regulations subject to the SAPA process. Given the nature of the Banking Division's assessment methodology -- the calculation and payment of the assessment is ongoing throughout the year and any period of uncertainty as to the applicable rule would be extremely disruptive -- the Department has determined that it is necessary to adopt the rule on an emergency basis so as to avoid any possibility of disrupting the funding of its operations.

3. Needs and Benefits

The Banking Division regulates more than 250 state chartered banks and licensed foreign bank branches and agencies in New York with total assets of over \$2 trillion. In addition, it regulates a variety of other entities engaged in delivering financial services to the residents of New York State. These entities include: licensed check cashers; licensed money transmitters; sales finance companies; licensed lenders; premium finance companies; budget planners; mortgage bankers and brokers; mortgage loan servicers; and mortgage loan originators.

Collectively, the regulated entities represent a spectrum, from some of the largest financial institutions in the country to the smallest, neighborhood-based financial services providers. Their services are vital to the economic health of New York, and their supervision is critical to ensuring that these services are provided in a fair, economical and safe manner.

This supervision requires that the Banking Division maintain a core of trained examiners, plus facilities and systems. As noted above, these costs are by statute to be paid by all regulated entities in the proportions deemed just and reasonable by the Superintendent. The new regulation is intended to formally set forth the methodology utilized by the Banking Division for allocating these costs.

4. Costs

The new regulation does not increase the total costs assessed to the regulated industries or alter the allocation of regulatory costs between the various industries regulated by the Banking Division. Indeed, the only change from the allocation methodology used by the Banking Department in the previous state fiscal years is that the regulatory costs assessed to the mortgage banking industry will be divided among the entities in that group on a basis which includes income derived from secondary market and servicing activities. The Department believes that this is a more appropriate basis for allocating the costs associated with supervising mortgage banking entities.

5. Local Government Mandates

None.

6. Paperwork

The regulation does not change the process utilized by the Banking Division to determine and collect assessments.

7. Duplication

The regulation does not duplicate, overlap or conflict with any other regulations.

8. Alternatives

The purpose of the regulation is to formally set forth the process employed by the Department to carry out the statutory mandate to assess and collect the operating costs of the Banking Division from regulated entities. In light of Homestead, the Department believes that promulgating this formal regulation is necessary in order to allow it to continue to assess all of its regulated institutions in the manner deemed most appropriate by the Superintendent. Failing to formalize the Banking Division's allocation methodology would potentially leave the assessment process open to further judicial challenges.

9. Federal Standards

Not applicable.

10. Compliance Schedule

The emergency regulations are effective immediately. Regulated institutions will be expected to comply with the regulation for the fiscal year beginning on April 1, 2011 and thereafter.

Regulatory Flexibility Analysis

1. Effect of the Rule:

The regulation does not have any impact on local governments.

The regulation simply codifies the methodology used by the Banking Division of the Department of Financial Services (the "Department") to assess all entities regulated by it, including those which are small businesses. The regulation does not increase the total costs assessed to the regulated industries or alter the allocation of regulatory costs between the various industries regulated by the Banking Division.

Indeed, the only change from the allocation methodology used by the Banking Department in the previous state fiscal years is that the regulatory costs assessed to the mortgage banking industry will be divided among the

entities in that group on a basis which includes income derived from secondary market and servicing activities. The Department believes that this is a more appropriate basis for allocating the costs associated with supervising mortgage banking entities. It is expected that the effect of this change will be that larger members of the mortgage banking industry will pay an increased proportion of the total cost of regulating that industry, while the relative assessments paid by smaller industry members will be reduced.

2. Compliance Requirements:

The regulation does not change existing compliance requirements. Both Section 17 of the Banking Law and Section 206 of the Financial Services Law provide that all expenses (compensation, lease costs and other overhead) of the Department in connection with the regulation and supervision of any person or entity licensed, registered, incorporated or otherwise formed pursuant to the Banking Law are to be charged to, and paid by, the regulated institutions subject to the supervision of the Banking Division. Under both statutes, the Superintendent is authorized to assess regulated institutions in the Banking Division in such proportions as the Superintendent shall deem just and reasonable.

3. Professional Services:

None.

4. Compliance Costs:

All regulated institutions are currently subject to assessment by the Banking Division. The regulation simply formalizes the Banking Division's assessment methodology. It makes only one change from the allocation methodology used by the Banking Department in the previous state fiscal years. That change affects only one of the industry groups regulated by the Banking Division. Regulatory costs assessed to the mortgage banking industry are now divided among the entities in that group on a basis which includes income derived from secondary market and servicing activities. Even within the one industry group affected by the change, additional compliance costs, if any, are expected to be minimal.

5. Economic and Technological Feasibility:

All regulated institutions are currently subject to the Banking Division's assessment requirements. The formalization of the Banking Division's assessment methodology in a regulation will not impose any additional economic or technological burden on regulated entities which are small businesses.

6. Minimizing Adverse Impact:

Even within the mortgage banking industry, which is the one industry group affected by the change in assessment methodology, the change will not affect the total amount of the assessment. Indeed, it is anticipated that this change may slightly reduce the proportion of mortgage banking industry assessments that is paid by entities that are small businesses.

7. Small Business and Local Government Participation:

This regulation does not impact local governments.

This regulation simply codifies the methodology which the Banking Division uses for determining the just and reasonable proportion of the Banking Division's costs to be charged to and paid by each regulated institution, including regulated institutions which are small businesses. The overall methodology was adopted in 2005 after extensive discussion with regulated entities and industry associations representing groups of regulated institutions, including those that are small businesses.

Thereafter, the Banking Department applied assessments against all entities subject to its regulation. In addition, for fiscal 2010, the Banking Department changed its overall methodology slightly with respect to assessments against the mortgage banking industry to include income derived from secondary market and servicing activities. Litigation was commenced challenging this latter change, and in a recent decision, *In the Matter of Homestead Funding Corporation v. State of New York Banking Department et al.*, 944 N.Y.S. 2d 649 (2012), the court determined that the Department should adopt a change to its assessment methodology for mortgage bankers through a formal assessment rule promulgated pursuant to the requirements of the State Administrative Procedures Act. The challenged change in methodology had the effect of increasing the proportion of assessments against the mortgage banking industry paid by its larger members, while reducing the assessments paid by smaller participants, including those which are small businesses.

Rural Area Flexibility Analysis

Types and Estimated Numbers of Rural Areas. There are entities regulated by the New York State Department of Financial Services (formerly the Banking Department) located in all areas of the State, including rural areas. However, this rule simply codifies the methodology currently used by the Department to assess all entities regulated by it. The regulation does not alter that methodology, and thus it does not change the cost of assessments on regulated entities, including regulated entities located in rural areas.

Reporting, Recordkeeping and Other Compliance Requirements; and Professional Services. The regulation would not change the current compliance requirements associated with the assessment process.

Costs. While the regulation formalizes the assessment process, it does not change the amounts assessed to regulated entities, including those located in rural areas.

Minimizing Adverse Impact. The regulation does not increase the total amount assessed to regulated entities by the Department. It simply codifies the methodology which the Superintendent has chosen for determining the just and reasonable proportion of the Department's costs to be charged to and paid by each regulated institution.

Rural Area Participation. This rule simply codifies the methodology which the Department currently uses for determining the just and reasonable proportion of the Department's costs to be charged to and paid by each regulated institution, including regulated institutions located in rural areas. The overall methodology was adopted in 2005 after extensive discussion with regulated entities and industry associations representing groups of regulated institutions, including those located in rural areas. It followed the loss of several major banking institutions that had paid significant portions of the former Banking Department's assessments.

Thereafter, the Department applied assessments against all entities subject to its regulation. In addition, for fiscal 2010, the Department changed this overall methodology slightly with respect to assessments against the mortgage banking industry to include income derived from secondary market income and servicing income. This latter change was challenged by a mortgage banker, and in early May, the Appellate Division determined that the latter change should have been made in conformity with the State Administrative Procedures Act. The challenged part of the methodology had the effect of increasing the proportion of assessments against the mortgage banking industry paid by its larger members, while reducing the assessments paid by smaller participants.

Job Impact Statement

The regulation is not expected to have an adverse effect on employment.

All institutions regulated by the Banking Division (the "Banking Division") of the Department of Financial Services are currently subject to assessment by the Department. The regulation simply formalizes the assessment methodology used by the Banking Division. It makes only one change from the allocation methodology used by the former Banking Department in the previous state fiscal years.

That change affects only one of the industry groups regulated by the Banking Division. It somewhat alters the way in which the Banking Division's costs of regulating mortgage banking industry are allocated among entities within that industry. In any case, the total amount assessed against regulated entities within that industry will remain the same.

NOTICE OF ADOPTION

Supplementary Uninsured/Underinsured Motorists Insurance

I.D. No. DFS-22-18-00002-A

Filing No. 1075

Filing Date: 2018-11-13

Effective Date: 2018-11-28

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following action:

Action taken: Amendment of Subpart 60-2 (Regulation 35-D) of Title 11 NYCRR.

Statutory authority: Financial Services Law, sections 202, 302; Insurance Law, sections 301 and 3420(f)(2-a)

Subject: Supplementary Uninsured/Underinsured Motorists Insurance.

Purpose: To conform 11 NYCRR 60-2 to new Insurance Law section 3420(f)(2-a).

Substance of final rule: The following sections are amended or added:

Section 60-2.0 is amended to expand the preamble, set forth the applicability of the Subpart, and add definitions.

Section 60-2.1(e) is amended to provide an exception as set forth in Section 60-2.1(f) and to move language in Section 60-2.1(e)(4) to new Section 60-2.1(h).

Section 60-2.1(f) is re-lettered as Section 60-2.1(g), and a new Section 60-2.1(f) is added to provide that, with regard to a motor vehicle liability insurance policy originally entered into, on, or after June 16, 2018, other than a commercial risk insurance policy, an insurer must provide supplementary uninsured/underinsured motorists ("SUM") limits in an amount equal to the bodily injury liability insurance limits of coverage provided under the motor vehicle liability insurance policy, unless a first named insured declines the SUM coverage or selects a lower amount of coverage through a written waiver signed by the first named insured, subject to the requirements of Insurance Law Section 3420(f)(2-a)(B); provided, however, that the insurer may require the insured's SUM coverage limit to

be equal to the insured's bodily injury liability insurance limit under the policy.

This section also states that a first named insured's written, signed waiver declining SUM coverage, or selecting a lower amount of SUM coverage, will apply to all subsequent renewals of coverage and to all policies or endorsements that extend, change, supersede, or replace an existing policy issued to the first named insured, unless changed in writing by a first named insured. Whenever SUM coverage is declined, the policy must provide the mandatory uninsured motorists ("UM") coverage required by Insurance Law Section 3420(f)(1). The insurer, on subsequent renewals, must provide to the insured the applicable notice required by Section 60-2.2(a).

Section 60-2.1(g) adds a reference to subdivision (f) and states that an insurer providing coverage in satisfaction of the financial responsibility requirements of Vehicle and Traffic Law ("VTL") Article 44-B must, if the policy provides liability coverage as required by VTL Section 1693(2): (1) offer SUM coverage as provided in Section 60-2.1(e) under an insurance policy, other than an insurance policy described in Section 60-2.1(g)(2), while the driver is logged onto the transportation network company's ("TNC's") digital network but is not engaged in a TNC prearranged trip; or (2) provide SUM coverage as provided in Section 60-2.1(f) under an insurance policy originally entered into on or after June 16, 2018, other than a commercial risk insurance policy, while the driver is logged onto the TNC's digital network but is not engaged in a TNC prearranged trip.

Section 60-2.2(a)(1) is amended to require that every insurer writing motor vehicle liability insurance that satisfies the requirements of VTL Article 6 or 8, with respect to all new and renewal policies, provide a written notice in concise language that includes, for the initial written notice, with regard to a motor vehicle liability insurance policy originally entered into on or after June 16, 2018 other than a commercial risk insurance policy: (1) a statement that the insurer must provide SUM limits in an amount equal to the bodily injury liability insurance limits of coverage provided under the motor vehicle liability policy unless a first named insured declines the SUM coverage or selects a lower amount of coverage through a written waiver signed by the first named insured, subject to the requirements of Insurance Law Section 3420(f)(2-a)(B), provided, however, if the insurer requires that the SUM coverage limit be equal to the policy's bodily injury liability insurance limit, then the written waiver must only provide for the first named insured's option to decline SUM coverage under the policy; and (2) a statement that the first named insured's written, signed waiver declining SUM coverage or selecting a lower amount of SUM coverage will apply to all subsequent renewals of coverage and to all policies or endorsements that extend, change, supersede, or replace an existing policy issued to the first named insured, unless changed in writing by a first named insured.

Section 60-2.2(a)(2) is amended in a similar manner as Section 60-2.2(a)(1) with regard to every insurer writing motor vehicle liability insurance providing liability insurance coverage in satisfaction of the financial responsibility requirements of VTL Article 44-B.

Final rule as compared with last published rule: Nonsubstantive changes were made in section 60-2.0(d)(3).

Text of rule and any required statements and analyses may be obtained from: Paul Zuckerman, Department of Financial Services, One State Street, 20th Floor, New York, NY 10004, (212) 480-5286, email: Paul.Zuckerman@dfs.ny.gov

Revised Regulatory Impact Statement

A revised Regulatory Impact Statement ("RIS") is not required for the adoption of the Eighth Amendment to 11 NYCRR 60-2 (Insurance Regulation 35-D) because the non-substantive revision to the regulation does not require a change to the previously published RIS.

Revised Regulatory Flexibility Analysis

A revised Regulatory Flexibility Analysis for Small Businesses and Local Governments ("RFA") is not required for the adoption of the Eighth Amendment to 11 NYCRR 60-2 (Insurance Regulation 35-D) because the non-substantive revision to the regulation does not require a change to the previously published RFA.

Revised Rural Area Flexibility Analysis

A revised Rural Area Flexibility Analysis ("RAFA") is not required for the adoption of the Eighth Amendment to 11 NYCRR 60-2 (Insurance Regulation 35-D) because the non-substantive revision to the regulation does not require a change to the previously published RAFA.

Revised Job Impact Statement

A revised Job Impact Statement ("JIS") is not required for the adoption of the Eighth Amendment to 11 NYCRR 60-2 (Insurance Regulation 35-D) because the non-substantive revision to the regulation does not require a change to the previously published JIS.

Initial Review of Rule

As a rule that requires a RFA, RAFA or JIS, this rule will be initially reviewed in the calendar year 2021, which is no later than the 3rd year after the year in which this rule is being adopted.

Assessment of Public Comment

The Department of Financial Services (“DFS”) received comments from three insurance trade organizations (“organizations”). The comments focused on two aspects of the amendment.

1. Offer of the supplementary uninsured/underinsured motorist (“SUM”) insurance waiver.

Sections 60-2.2(a)(1)(i)(b)(1) and 60-2.2(a)(2)(i)(b)(2)(i) of the regulation specifically provide that, in the initial notice to the insured, the insurer shall include:

... a statement that the insurer shall provide SUM limits in an amount equal to the bodily injury liability insurance limits of coverage provided under the motor vehicle liability policy unless a first named insured declines the SUM coverage or selects a lower amount of coverage through a written waiver signed by the first named insured, subject to the requirements of Insurance Law section 3420(f)(2-a)(B), provided, however, if the insurer requires that the SUM coverage limit be equal to the policy’s bodily injury liability insurance limit, then the written waiver shall only provide for the first named insured’s option to decline SUM coverage under the policy. . . .

Comment: All three organizations take issue with DFS’s reading of the amendment to the law that the insurer must offer the SUM waiver in the circumstances where it is offering SUM coverage only at the limits of the policy. They assert that the law permits the insurer to forego the written waiver process entirely and require SUM coverage limits that equal bodily injury liability insurance limits for all insureds with no option to purchase lower SUM coverage limits or decline SUM coverage.

Response: At issue is the proper interpretation of Insurance Law section 3420(f)(2-a)(D), which reads: “[n]otwithstanding the provisions of subparagraph (A) of this paragraph, at the insurer’s option, the insured’s supplementary uninsured/underinsured motorists coverage limit may be required to equal the insured’s bodily injury liability insurance limit under the motor vehicle liability insurance policy.”

DFS understood Chapter 490 of the Laws of 2017, which enacted section 3420(f)(2-a), as intending to provide for a mandatory inclusion of SUM coverage on new insurance policies with an affirmative option for the insured to select lower amounts or reject it. As stated in the introducer’s memorandum of support (“memorandum”) for Chapter 490:

Section 1 of this bill would establish that the amount of supplementary uninsured/underinsured motorist (SUM) coverage to be included in any new auto insurance policy will be the same as the amount of bodily injury coverage selected by the insured. The insured, [stet.] may have the opportunity to decline SUM coverage or to purchase lower amounts through a written waiver. The insurer or agent would be required to inform the insured what SUM coverage is and how much coverage the insured may purchase. At the insurer’s option, the insured’s SUM coverage may be required to equal the insured’s bodily injury liability insurance.

Chapter 15 of the Laws of 2018 amended Chapter 490, but the amendment did not affect the SUM waiver requirement. The memorandum for Chapter 15 states that “[t]he original bill would have further provided, that the insured, may have the opportunity to decline SUM coverage or to purchase lower amounts through a written waiver.” Neither memorandum states that the intent of either Chapter 490 or Chapter 15 was to allow the insurer to force the insured to purchase SUM coverage.

While DFS strongly believes that SUM coverage is important and beneficial to most consumers, the Legislature did not mandate the coverage and has continued to make it an optional coverage. There is obviously an additional cost to the insured. It is thus incongruent to construe the statute as permitting an insurer to force an insured to purchase SUM coverage against his or her will. Accordingly, DFS has not amended the regulation as the organizations requested.

2. First-named insured.

Comment: The proposed amendment defined “first-named insured” to mean “the individual specified first on the declarations page of a motor vehicle liability insurance policy, and the individual’s spouse, if the spouse is a resident of the same household and specified on the declarations page.” One of the organizations said that the Department should clarify that either spouse could waive SUM coverage. However, another organization objected to both spouses being able to waive SUM coverage, alleging that it would result in confusion and litigation.

Response: DFS had intended that either spouse would be able to waive SUM coverage, which is consistent with the coverage requirements under 11 NYCRR 60-1 (Insurance Regulation 35-A). However, DFS recognizes that “and” could be read to mean that both spouses had to waive the coverage, not one or the other.

With respect to whether the spouse should be able to waive the SUM coverage for both spouses, a resident spouse is equally the insured under a motor vehicle policy of financial responsibility. For example, Section 60-1.1(c)(1) of 11 NYCRR 60-1 (Insurance Regulation 35A) affords a special status to a spouse by defining an insured to mean “the named insured and, if an individual, his or her spouse if a resident of the same household with

respect to the motor vehicle or vehicles; . . .” Under section 60-1.1(c)(2), every other person who drives the vehicle is covered provided that the insured or his or her spouse has consented to the use of the vehicle by such person. Also, DFS believes that most insurers would regularly make changes to policies requested by either spouse provided that both spouses are named as insureds in the policy. Moreover, DFS believes that whether one spouse is listed first or second will generally be arbitrary and often the husband will be listed first because of prevailing societal norms. Thus, not accepting the second-named spouse’s waiver may result in de facto sexual discrimination.

DFS fails to see how allowing either spouse to waive SUM coverage under the policy would be confusing or result in increased litigation so long as the insurer clearly disclosed the rights of the insureds to waive the coverage in the required notice.

Accordingly, section 60-2.0(d)(3) of the regulation is amended to change the first “and” to “or” to clarify that either spouse may waive the SUM coverage.

Justice Center for the Protection of People with Special Needs

NOTICE OF ADOPTION

Procedures of the Surrogate Decision-Making Committee

I.D. No. JCP-31-18-00015-A

Filing No. 1073

Filing Date: 2018-11-09

Effective Date: 2018-11-28

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following action:

Action taken: Amendment of Part 710 of Title 14 NYCRR.

Statutory authority: Executive Law, section 553(15); Mental Hygiene Law, art. 80; Surrogate’s Court Procedure Act, section 1750-b

Subject: Procedures of the Surrogate Decision-Making Committee.

Purpose: To set forth uniform procedures for the surrogate decision-making committee.

Text or summary was published in the August 1, 2018 issue of the Register, I.D. No. JCP-31-18-00015-P.

Final rule as compared with last published rule: No changes.

Text of rule and any required statements and analyses may be obtained from: Deirdre Keating, Justice Center for the Protection of People with Special Needs, 161 Delaware Avenue, Delmar, NY 12054, (518) 549-0247, email: deirdre.keating@justicecenter.ny.gov

Initial Review of Rule

As a rule that requires a RFA, RAFA or JIS, this rule will be initially reviewed in the calendar year 2021, which is no later than the 3rd year after the year in which this rule is being adopted.

Assessment of Public Comment

The agency received no public comment.

Office of Parks, Recreation and Historic Preservation

PROPOSED RULE MAKING NO HEARING(S) SCHEDULED

Regulation of Pets in OPRHP Facilities

I.D. No. PKR-48-18-00006-P

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following proposed rule:

Proposed Action: Addition of section 377.2; amendment of section 372.7(g)(17); repeal of sections 397.6, 398.6, 399.6, 400.5, 401.4, 402.4, 415.2, 416.5, 417.5 and 418.2 of Title 9 NYCRR.

Statutory authority: Parks, Recreation and Historic Preservation Law, sections 3.02, 3.09(5) and (8)

Subject: Regulation of pets in OPRHP facilities.

Purpose: To adopt one statewide pet regulation that replaces regional regulations that are inconsistent with each other.

Text of proposed rule: 9 NYCRR Part 372.7(g)(17) is amended as follows:

(g) Camping. Camping at authorized sites, cabins or other structures.

(17) *Pets. The regulations governing pets at authorized camping sites, cabins, or other structures are set forth in section 377.2 of this Subtitle I.*

[No more than two pets (dogs, cats or other domesticated animals normally maintained in or near the household of the owner or person who cares for them) shall be present at any campsite, cabin or cottage in a park that allows these animals to be there. Persons at the campsite, cabin or cottage shall directly control and supervise the pets and crate or restrain them on leashes that are not more than six feet in length. Proof of licensure for dogs and proof of rabies inoculation for dogs, cats and domesticated ferrets shall be produced if requested by staff. If any provision of this paragraph is violated the pet shall be removed from the park by either the pet owner or the person who cares for the pet or the permit holder. This paragraph does not apply to a person with a disability or his or her companion service animal.]

9 NYCRR Part 377.2 is added as follows:

Section 377.2 Regulated Activities - Possession of Pets

(a) *Definitions.*

(1) *The term “pet” means a dog, cat, ferret, or other animal that is traditionally maintained in or near the household of the owner or person who cares for it, excluding:*

(i) *any ungulate, poultry, species of cattle, sheep, swine, goats, or llamas; and*

(ii) *any “wild animal” as that term is defined in Environmental Conservation Law § 11-0103(6)(e); and*

(iii) *a “service animal.”*

(2) *The term “service animal” shall have the same meaning as that term is defined in the Americans with Disabilities Act (“ADA”), as set forth in 28 CFR 35.104, and as interpreted by the U.S. Department of Justice, i.e., a dog or a miniature horse individually trained to perform a task or do work for an individual with a disability and such task or work is specific and related to a medical, physiological, mental, or emotional limitation associated with the individual’s disability. An animal or pet that provides emotional support, comfort, or companionship but does not perform a specific task or work for an individual with a disability is not included in this definition of “service animal.”*

(b) *Purpose and scope.*

(1) *This regulation provides for the health, safety, and welfare of the public at facilities under the jurisdiction, custody, and control of the office (“facilities”) affording patrons and their pets access to recreational opportunities. This regulation balances the public benefits from allowing pets to access facilities with the public benefits of protecting natural resources and enhancing the park experience for all patrons.*

(2) *This section supersedes and replaces regional pet regulations in Subtitle I; it also provides a consistent statewide regulation governing the introduction and possession of pets in facilities.*

(3) *A “pet” may enter facilities subject to the provisions of this section.*

(c) *Areas Where Pets Are Prohibited.*

(1) *Pets are prohibited at or in playgrounds, buildings, golf courses, boardwalks, pools and guarded beaches, poolside or deck areas, and spray pads.*

(2) *Pets are prohibited at any facility or portion thereof where pets are expressly prohibited by sign or other directive of the office.*

(3) *The office also reserves the right to prohibit the introduction or possession of pets at any facility or portion thereof at any time.*

(d) *Limit on the Number of Pets.*

(1) *No more than two pets per owner or handler are allowed in day-use areas, for example, picnic areas and shared-use trails.*

(2) *No more than two pets are allowed at each campsite, cabin, cottage, or yurt for which the office has issued a camping permit in accordance with section 372.7(g) of this Subtitle I.*

i. *The camping permit holder or other patron shall accompany a pet at the permitted site at all times.*

(e) *Pet owners and handlers and camping permit holders shall:*

(1) *comply with dog licensure laws of the state of residence.*

(2) *comply with rabies inoculation laws of the state of New York for dogs, cats, and ferrets.*

(3) *provide proof of licensure and rabies inoculation upon request.*

(4) *keep the pet under his or her control.*

(5) *keep the pet on a leash not exceeding 6 feet in length; this length restriction applies to retractable leashes.*

(6) *confine the pet in a crate, cage, or carrying case if a leash is not practicable for the pet type.*

(7) *dispose of the pet’s waste in a prompt and sanitary manner.*

(8) *leash or confine a pet in a manner that does not damage any natural, historic, or cultural resource or state property under the jurisdiction of the office.*

(9) *ensure the pet does not interfere with the rights of any facility patron, or his or her quiet enjoyment thereof. This includes, but is not limited to, ensuring the pet does not bark excessively or continuously or otherwise create a noise nuisance.*

(10) *be liable for any damage, personal injury, or nuisance caused by the pet.*

(f) *Designated Off-Leash Dog Areas*

(1) *In designated off-leash dog areas, the owner or handler shall keep the dog within his or her unobstructed sight and control. All other provisions of this section apply to dogs in designated off-leash areas.*

(g) *Hunting*

(1) *In designated areas where hunting with dogs and raptors is allowed under a valid hunting permit issued by the office pursuant to section 372.7(q) of this Subtitle I, dogs and raptors may be allowed off-leash or out of confinement solely for the purpose of hunting as prescribed by Environmental Conservation Law.*

(h) *Enforcement*

(1) *A violation of any provisions contained in this section may result in one or more of the following actions:*

i. *an order by an employee of the office for the owner, handler, or camping permit holder to remove the pet from the facility or camping permit site;*

ii. *revocation of a camping permit; or*

iii. *issuance of a ticket for violation of this section 377.2.*

Section 397.6 is repealed.

Section 398.6 is repealed.

Section 399.6 is repealed.

Section 400.5 is repealed.

Section 401.4 is repealed.

Section 402.4 is repealed.

Section 415.2 is repealed.

Section 416.5 is repealed.

Section 417.5 is repealed.

Section 418.2 is repealed.

Text of proposed rule and any required statements and analyses may be obtained from: Shari Calnero, Associate Counsel, NYS Office of Parks, Recreation and Historic Preservation, 625 Broadway, Albany, NY 12238, (518) 486-2921, email: rule.making@parks.ny.gov

Data, views or arguments may be submitted to: Same as above.

Public comment will be received until: 60 days after publication of this notice.

Regulatory Impact Statement

The Office of Parks, Recreation and Historic Preservation (“OPRHP” or “Agency”) is proposing a statewide regulation governing pets in OPRHP’s facilities. This regulation adds a new section 9 NYCRR 377.2, amends section 9 NYCRR 372.2(g)(17), and repeals the following regional pet regulations in sections 397.6 (Niagara), 398.6 (Allegany), 399.6 (Genesee), 400.5 (Finger Lakes), 401.4 (Central), 402.4 (Taconic), 415.2 (Long Island), 416.5 (Thousand Islands), 417.5 (Saratoga Capital), and 418.2 (New York City). The new regulation will supersede and replace regional regulations governing pets, except 410.1(m) (Palisades Interstate Park Commission).

Statutory authority: Section 3.02 of the Parks, Recreation and Historic Preservation Law (“PRHPL”) directs OPRHP to provide for the public enjoyment of and access to the State’s resources. In addition, sections 3.09(5) and 3.09(8) authorize OPRHP to provide for the health, safety, and welfare of the public using facilities under its jurisdiction and to adopt regulations necessary to carry out the functions of the office.

Legislative objectives: This proposed rule making satisfies OPRHP’s legislative objectives to enhance public enjoyment of and access to the State’s bountiful natural resources and parks, to protect these resources, and to ensure the health and safety of patrons. By establishing a consistent and statewide rule on the number of pets allowed in OPRHP’s facilities, the net result allows more patrons to visit facilities with their pets. By incorporating responsible pet ownership standards into the regulation, OPRHP simultaneously protects natural resources and the health and safety of patrons. In addition, promulgating a consistent regulation for pets across the various regions simplifies compliance for patrons and enforcement for OPRHP staff.

Needs and benefits: A need has been expressed to replace the regional pet regulations with one statewide pet regulation. Promulgating one regulation for statewide applicability simplifies compliance for patrons and enforcement for OPRHP staff. This need and resulting benefit will be met by the proposed regulation, which generally provides that two pets per owner/handler are allowed in designated day-use areas and camping/

overnight sites. The regulation also applies the same definition of allowable pets throughout the entire state.

In promulgating this new pet regulation, the Agency's guiding principle is to enhance public enjoyment of OPRHP facilities by those patrons who seek the companionship of their pets. The existing pet regulations are more restrictive than necessary in certain circumstances and lack clarity in regulatory details.

Currently, the regional pet rules vary widely on the number and type of pets allowed, and on locations within parks where pets are allowed. This has created enforcement issues that staff would like to resolve. For example, the only type of pet allowed in the Niagara region is a dog and the limit is one dog per owner. In Allegany region, the regulations allow more than one dog, but do not specify an allowable limit. In the Genesee region, pets are prohibited entirely from cabin/overnight areas. In the Finger Lakes region, pets are allowed in parks but no more than one pet can stay in an overnight camping site or cabin. In the Thousand Islands region, pets are entirely prohibited in all picnic areas, yet the region seeks to ease this restriction in certain designated areas. Finally, pets are entirely prohibited in the New York City region, except by an individual permit to be issued by the region. The proposed statewide regulation resolves many of enforcement issues resulting from the existing regulatory patchwork.

In this proposal, the Agency also sets forth clear standards of conduct for which pet owners shall abide, for example, guidance on length of leashes, confinement, and immunization. Providing public recreational opportunities in a safe environment is central to OPRHP's statutory mission. In addition to regulating pets, the Agency regulates a broad range of activities under Part 377 (Regulated Activities), including, for example, the riding or use of saddle horses, golfing, use or operation of a snowmobile, and bicycling. For this reason, the Agency needs the flexibility and discretion to regulate pets in relation to other regulated park uses and activities. The proposed regulation provides OPRHP with the necessary discretion and flexibility to determine when and if the presence of pets may conflict with another regulated use and to take appropriate steps to mitigate risk and ensure safety.

Moreover, there was an expressed need to distinguish the definition of "pet" from "service animal" as the latter term is defined pursuant to the Americans with Disabilities Act. The proposed regulation, which governs pets only, provides needed clarity.

Costs: The costs to the agency to implement the rule are estimated to be minimal. Easing restrictions on the presence of pets in facilities and campsites will likely result in an increase in revenue from more patrons visiting the state parks system.

Local government mandates: The proposed rule does not affect local governments.

Paperwork: There are no new reporting requirements created by this regulation.

Duplication: None.

Alternatives: OPRHP could have chosen to keep the status quo and, for example, continue to prohibit pets in all parks in the New York City region, or to prohibit pets other than dogs in the Niagara Region. OPRHP could have also continued to prohibit pets in overnight camping and cabin facilities in some regions but not others. However, these options are not viable because OPRHP seeks to enhance public enjoyment of its facilities by responsible pet-owning patrons on a statewide basis following consistent regulations. In sum, keeping the status quo would prevent the achievement of needs expressed by OPRHP management and enforcement staff as well as by patrons.

Federal standards: None.

Compliance schedule: The rule will take in effect on the date the Notice of Adoption is published in the State Register.

Regulatory Flexibility Analysis

A regulatory flexibility analysis is not required for this proposal since it will not impose any adverse economic impact or reporting, record keeping or other compliance requirements on small businesses or local governments. The rule replaces outdated and conflicting sets of regional pet regulations with an updated statewide regulation that conforms to current regulating practices. Therefore, the rule does not impose any additional burden on small business or local governments and a Regulatory Flexibility Analysis is not required.

Rural Area Flexibility Analysis

This rulemaking does not impact any rural areas as defined in New York State Administrative Procedure Act section 102(10). The rule replaces outdated and conflicting sets of regional pet regulations with an updated statewide regulation that conforms to current regulating practices. Therefore, the rule does not impose any additional regulatory burden and a Rural Area Flexibility Analysis is not required.

Job Impact Statement

A job impact statement is not submitted because this proposed rule will have no adverse impact on jobs or employment opportunities. The rule re-

places outdated and conflicting sets of regional pet regulations with an updated statewide regulation that conforms to current regulatory practices.

Public Service Commission

PROPOSED RULE MAKING NO HEARING(S) SCHEDULED

Purchase of Gas Safety Equipment for Westchester County Fire Departments

I.D. No. PSC-48-18-00005-P

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following proposed rule:

Proposed Action: The Commission is considering revising its Order Approving Proposed Use of Settlement Funds With Modifications to allow the Westchester County fire departments to receive gas safety equipment other than Remote Methane Leak Detectors and Aerators.

Statutory authority: Public Service Law, section 25-a

Subject: Purchase of gas safety equipment for Westchester County fire departments.

Purpose: To provide Westchester County fire departments gas safety equipment they state they need to respond to odor reports.

Substance of proposed rule: The Commission is considering whether to revise its previous Order Approving Proposed Use of Settlement Funds with Modifications (Funds Order), issued July 12, 2018, to change the type of gas safety equipment Consolidated Edison Company of New York, Inc. (Con Edison) may purchase for fire departments in Westchester County. The Funds Order requires that the Commission approve any changes to the assignment of funds.

Currently, the Funds Order states, "... Con Edison shall work with the Westchester County Fire Services Division to determine an amount to be provided Westchester County fire departments for RMLDs [Remote Methane Leakage Detectors] and for training fire fighters in their use." The Funds Order also states, "[s]ome portion of the settlement funds shall also be provided to the Westchester County Fire Services Division for aerators. Con Edison will work with Westchester County Fire Services Division to discern how many intrinsically safe aerators Westchester County first responders need."

During the process of assigning the fire fighter funds for Westchester County, fire departments there (Westchester fire) explained to the Department of Public Service that Westchester fire needed two RMLDs and two aerators (plus funds for RMLD and aerator maintenance). Westchester fire states that it also needs approximately 580 smaller gas indicators and calibrators to replace very old equipment now in use and so their departments will all be using the same gas detection equipment. Specifically, in addition to the RMLDs and aerators, Westchester fire seeks: 254 Sensit HXG-2Ds, 250 QRae3s, 44 AutoRae Lite Calibration Units, 24 MultiRae 4 Gas with PIDs, and 12 AutoRae 2 Calibration Units.

The full record of the proceeding may be reviewed online at the Department of Public Service web page: www.dps.ny.gov. The Commission may adopt, reject, or modify, in whole or in part, the action proposed and may resolve related matters.

Text of proposed rule and any required statements and analyses may be obtained by filing a Document Request Form (F-96) located on our website: <http://www.dps.ny.gov/f96dir.htm>. For questions, contact: John Pitucci, Public Service Commission, 3 Empire State Plaza, Albany, New York 12223-1350, (518) 486-2655, email: John.Pitucci@dps.ny.gov

Data, views or arguments may be submitted to: Kathleen H. Burgess, Secretary, Public Service Commission, 3 Empire State Plaza, Albany, New York 12223-1350, (518) 474-6530, email: secretary@dps.ny.gov

Public comment will be received until: 60 days after publication of this notice.

Regulatory Impact Statement, Regulatory Flexibility Analysis, Rural Area Flexibility Analysis and Job Impact Statement

Statements and analyses are not submitted with this notice because the proposed rule is within the definition contained in section 102(2)(a)(ii) of the State Administrative Procedure Act.

(14-G-0201SP2)

Department of Taxation and Finance

EMERGENCY/PROPOSED RULE MAKING NO HEARING(S) SCHEDULED

Metropolitan Transportation Business Tax Surcharge

I.D. No. TAF-48-18-00004-EP

Filing No. 1077

Filing Date: 2018-11-13

Effective Date: 2018-11-13

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following action:

Proposed Action: Addition of section 9-1.2(e) to Title 20 NYCRR.

Statutory authority: Tax Law, section 171, subdivision First, 209-B, subdivision First; L. 2014, ch. 59, part A, section 7

Finding of necessity for emergency rule: Preservation of general welfare.

Specific reasons underlying the finding of necessity: Specific reasons underlying the finding of necessity: The Commissioner is required, pursuant to Tax Law section 209-B(1)(f), to annually adjust the rate of the metropolitan transportation business tax surcharge for taxable years beginning on or after January 1, 2016. The rate is to be adjusted as necessary to ensure that the receipts attributable to the surcharge will meet and not exceed the financial projections for each state fiscal year, as reflected in the enacted budget for that fiscal year.

This rule is being adopted on an emergency basis in order to have the rates for tax year 2019 in place by January 1, 2019, to enable taxpayers to properly estimate the taxes due for tax year 2019 and reflect these estimated taxes in their financial statements.

Subject: Metropolitan Transportation Business Tax Surcharge.

Purpose: To provide metropolitan transportation business tax rate for tax year 2019.

Text of emergency/proposed rule: Subchapter A of Title 20 of the Codes, Rules and Regulations of the State of New York is amended to add a new subdivision (e) to section 9-1.2 of Part 9 to read as follows:

(e) *The metropolitan transportation business tax surcharge will be computed at the rate of 28.9 percent of the tax imposed under section 209 of the Tax Law for taxable years beginning on or after January 1, 2019 and before January 1, 2020. The rate used to compute the metropolitan transportation business tax surcharge, as determined by the Commissioner, will remain the same in any succeeding taxable year, unless the Commissioner, pursuant to the authority in paragraph (f) of subdivision (1) of section 209-B of the Tax Law, determines a new rate.*

This notice is intended: to serve as both a notice of emergency adoption and a notice of proposed rule making. The emergency rule will expire February 10, 2019.

Text of rule and any required statements and analyses may be obtained from: Kathleen D. Chase, Department of Taxation and Finance, Office of Counsel, Building 9, W.A. Harriman Campus, Albany, NY 12227, (518) 530-4153, email: Kathleen.Chase@tax.ny.gov

Data, views or arguments may be submitted to: Same as above.

Public comment will be received until: 60 days after publication of this notice.

Regulatory Impact Statement

1. Statutory authority: Tax Law, section 171, subdivision First, generally authorizes the Commissioner of Taxation and Finance to promulgate regulations; Tax Law section 209-B generally imposes a tax surcharge on every corporation subject to Tax Law section 209, other than a New York S corporation, for the privilege of exercising the corporation's corporate franchise, or of doing business, or of employing capital, or of owning or leasing property in a corporate or organized capacity, or of maintaining an office, or of deriving receipts from activity in the metropolitan commuter transportation district, for all or any part of the corporation's taxable year. Tax Law section 209-B(1)(f) requires the Commissioner to adjust the rate of the metropolitan transportation business tax surcharge for taxable years beginning on or after January 1, 2016. The rate is to be adjusted as necessary to ensure that the receipts attributable to the surcharge will meet and not exceed the financial projections for each state fiscal year, as reflected in the enacted budget for that fiscal year.

2. Legislative objectives: New subdivision (e) of section 9-1.2 of Part 9 of 20 NYCRR complies with the mandate of section 209-B(1)(f), setting forth the rate for taxable years beginning on or after January 1, 2019 and before January 1, 2020 and follows subdivision (d), which set the rate for taxable years beginning on or after January 1, 2018 and before January 1, 2019. As required by section 209-B(1)(f), the First Deputy Commissioner of Taxation and Finance, being duly authorized to act due to the vacancy in the office of the Commissioner, has determined that the rate of the metropolitan transportation business tax surcharge will be 28.9 percent of the tax imposed under Tax Law section 209 for taxable years beginning on or after January 1, 2019 and before January 1, 2020. The previously established statutory rate was 28.6 percent of the tax imposed under Tax Law section 209.

3. Needs and benefits: This rule sets forth amendments to the Business Corporation Franchise Tax regulations required by Tax Law section 209-B(1)(f). This rule benefits taxpayers by putting in place the metropolitan transportation business tax surcharge effective January 1, 2019 for Tax Year 2020.

4. Costs: (a) Costs to regulated parties for the implementation and continuing compliance with this rule: There is no additional cost or burden to comply with this amendment. There is no additional time period needed for compliance. (b) Costs to this agency, the State and local governments for the implementation and continuation of this rule: Since the need to make amendments to the New York State Business Corporation Franchise Tax regulations under Article 9-A of the Tax Law arises due to a statutory mandate that the Commissioner adjust the metropolitan transportation business tax surcharge, there are no costs to this agency or the State and local governments that are due to the promulgation of this rule. (c) Information and methodology: This analysis is based on a review of the statutory requirements and on discussions among personnel from the Department's Taxpayer Guidance Division, Office of Counsel, Office of Tax Policy Analysis, Bureau of Tax and Fiscal Studies, Office of Budget and Management Analysis, Management Analysis and Project Services Bureau, and the Division of Budget.

5. Local government mandates: There are no costs or burdens imposed on local governments to comply with this amendment.

6. Paperwork: This rule will not require any new forms.

7. Duplication: This rule does not duplicate any other requirements.

8. Alternatives: Since section 209-B(1)(f) requires the Commissioner to adjust, under certain circumstances, the metropolitan transportation business tax surcharge, there are no viable alternatives to providing such rate using the methodology prescribed in Tax Law section 209-B.

9. Federal standards: This rule does not exceed any minimum standards of the federal government for the same or similar subject areas.

10. Compliance schedule: The required rate information has been made available to regulated parties, by means of the emergency adoption of new subdivision (e) of section 9-1.2 of Part 9 of the Business Corporation Franchise Tax regulations on December 1, 2018, in sufficient time to implement the rate effective January 1, 2019. This rule establishes the rate for the 2019 tax year as an emergency measure and proposes it as a permanent rule.

Regulatory Flexibility Analysis

A Regulatory Flexibility Analysis for Small Businesses and Local Governments is not being submitted with this rule because it will not impose any adverse economic impact or any additional reporting, recordkeeping, or other compliance requirements on small businesses or local governments.

The purpose of the rule is to add a new subdivision (e) to section 9-1.2 of Part 9 of 20 NYCRR, to adjust the rate of the metropolitan transportation business tax surcharge for taxable years beginning on or after January 1, 2019 and before January 1, 2020, as required by Tax Law section 209-B(1)(f).

Tax Law section 209-B generally imposes a tax surcharge on every corporation subject to section 209 of the Tax Law, other than a New York S corporation, for the privilege of exercising the corporation's corporate franchise, or of doing business, or of employing capital, or of owning or leasing property in a corporate or organized capacity, or of maintaining an office, or of deriving receipts from activity in the metropolitan commuter transportation district, for all or any part of the corporation's taxable year.

The Commissioner is required, pursuant to Tax Law section 209-B(1)(f), to annually adjust the rate of the metropolitan transportation business tax surcharge for taxable years beginning on or after January 1, 2016. The rate is to be adjusted as necessary to ensure that the receipts attributable to the surcharge will meet and not exceed the financial projections for each state fiscal year, as reflected in the enacted budget for that fiscal year.

Subdivision (e) of section 9-1.2 of Part 9 complies with the mandate of Tax Law section 209-B(1)(f), setting forth the rate for taxable years beginning on or after January 1, 2019 and before January 1, 2020, and follows subdivision (d), which set the rate for taxable years beginning on or after January 1, 2018 and before January 1, 2019. As required by Tax Law sec-

tion 209-B(1)(f), the First Deputy Commissioner of Taxation and Finance, being duly authorized to act due to the vacancy in the office of the Commissioner, using the state fiscal year 2019 – 2020 fiscal projections, has determined that the metropolitan transportation business tax surcharge rate will be 28.9 percent of the tax imposed under section 209 of the Tax Law for taxable years beginning on or after January 1, 2019 and before January 1, 2020.

Rural Area Flexibility Analysis

A Rural Area Flexibility Analysis is not being submitted with this rule because it will not impose any adverse impact on any rural areas. The purpose of the rule is to add a new subdivision (e) to section 9-1.2 of Part 9 of 20 NYCRR, to adjust the rate of the metropolitan transportation business tax surcharge for taxable years beginning on or after January 1, 2019 and before January 1, 2020, pursuant to Tax Law section 209-B(1)(f).

Tax Law section 209-B generally imposes a tax surcharge on every corporation subject to Tax Law section 209, other than a New York S corporation, for the privilege of exercising the corporation's corporate franchise, or of doing business, or of employing capital, or of owning or leasing property in a corporate or organized capacity, or of maintaining an office, or of deriving receipts from activity in the metropolitan commuter transportation district, for all or any part of the corporation's taxable year.

The Commissioner is required, pursuant to Tax Law section 209-B(1)(f), to annually adjust the rate of the metropolitan transportation business tax surcharge for taxable years beginning on or after January 1, 2016. The rate is to be adjusted as necessary to ensure that the receipts attributable to the surcharge will meet and not exceed the financial projections for each state fiscal year, as reflected in the enacted budget for that fiscal year.

Subdivision (e) of Section 9-1.2 of Part 9 complies with the mandate of Tax Law section 209-B(1)(f), setting forth the rate for taxable years beginning on or after January 1, 2019 and before January 1, 2020, and follows subdivision (d) which set the rate for taxable years beginning on or after January 1, 2018 and before January 1, 2019. As required by section 209-B(1)(f), the First Deputy Commissioner of Taxation and Finance, being duly authorized to act due to the vacancy in the office of the Commissioner, using the state fiscal year 2019-2020 fiscal projections, has determined that the metropolitan transportation business tax surcharge rate will be 28.9 percent of the tax imposed under Tax Law section 209 for taxable years beginning on or after January 1, 2019 and before January 1, 2020.

Job Impact Statement

A Job Impact Statement is not being submitted with this rule because it is evident from the subject matter of the rule that the rule will have no adverse impact on jobs and employment opportunities. The purpose of the rule is to add a new subdivision (e) to section 9-1.2 of Part 9 of 20 NYCRR, to adjust the rate of the metropolitan transportation business tax surcharge for taxable years beginning on or after January 1, 2019 and before January 1, 2020, pursuant to section 209-B(1)(f) of the Tax Law.

Tax Law section 209-B generally imposes a tax surcharge on every corporation subject to Tax Law section 209, other than a New York S corporation, for the privilege of exercising the corporation's corporate franchise, or of doing business, or of employing capital, or of owning or leasing property in a corporate or organized capacity, or of maintaining an office, or of deriving receipts from activity in the metropolitan commuter transportation district, for all or any part of the corporation's taxable year.

The Commissioner is required, pursuant to Tax Law section 209-B(1)(f), to annually adjust the rate of the metropolitan transportation business tax surcharge for taxable years beginning on or after January 1, 2016. The rate is to be adjusted as necessary to ensure that the receipts attributable to the surcharge will meet and not exceed the financial projections for each state fiscal year, as reflected in the enacted budget for that fiscal year.

Subdivision (e) of section 9-1.2 of Part 9 complies with the mandate of Tax Law section 209-B(1)(f), setting forth the rate for taxable years beginning on or after January 1, 2019 and before January 1, 2018, and follows subdivision (d), which set the rate for taxable years beginning on or after January 1, 2018 and before January 1, 2019. As required by section 209-B(1)(f), the First Deputy Commissioner of Taxation and Finance, being duly authorized to act due to the vacancy in the office of the Commissioner, using the state fiscal year 2019-2020 fiscal projections, has determined that the metropolitan business tax surcharge rate will be 28.9 percent of the tax imposed under Tax Law section 209 for taxable years beginning on or after January 1, 2019 and before January 1, 2020.

This rule merely complies with the mandates of Tax Law section 209-B, as amended, by adding a new subdivision (e) to section 9-1.2 of Part 9 of 20 NYCRR, setting forth the rate for the metropolitan transportation tax surcharge for tax year 2019.

NOTICE OF ADOPTION

Fuel Use Tax on Motor Fuel and Diesel Motor Fuel and the Art. 13-A Carrier Tax Jointly Administered Therewith

I.D. No. TAF-35-18-00002-A

Filing No. 1076

Filing Date: 2018-11-13

Effective Date: 2018-11-13

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following action:

Action taken: Amendment of section 492.1 of Title 20 NYCRR.

Statutory authority: Tax Law, sections 171, subdivision First, 301-h(c), 509(7), 523(b) and 528(a)

Subject: Fuel use tax on motor fuel and diesel motor fuel and the art. 13-A carrier tax jointly administered therewith.

Purpose: To set the sales tax component and the composite rate per gallon for the period October 1, 2018 through December 31, 2018.

Text or summary was published in the August 29, 2018 issue of the Register, I.D. No. TAF-35-18-00002-P.

Final rule as compared with last published rule: No changes.

Text of rule and any required statements and analyses may be obtained from: Kathleen D. Chase, Tax Regulations Specialist, Department of Taxation and Finance, Office of Counsel, Building 9, W.A. Harriman Campus, Albany, NY 12227, (518) 530-4153, email: Kathleen.Chase@tax.ny.gov

Assessment of Public Comment

The agency received no public comment.

PROPOSED RULE MAKING NO HEARING(S) SCHEDULED

Fuel Use Tax on Motor Fuel and Diesel Motor Fuel and the Art. 13-A Carrier Tax Jointly Administered Therewith

I.D. No. TAF-48-18-00003-P

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following proposed rule:

Proposed Action: Amendment of section 492.1(b)(1) of Title 20 NYCRR.

Statutory authority: Tax Law, sections 171, subdivision First, 301-h(c), 509(7), 523(b) and 528(a)

Subject: Fuel use tax on motor fuel and diesel motor fuel and the art. 13-A carrier tax jointly administered therewith.

Purpose: To set the sales tax component and the composite rate per gallon for the period January 1, 2019 through March 31, 2019.

Text of proposed rule: Paragraph (1) of subdivision (b) of section 492.1 of such regulations is amended by adding a new subparagraph (xciii) to read as follows:

Motor Fuel			Diesel Motor Fuel		
Sales Tax Component	Composite Rate	Aggregate Rate	Sales Tax Component	Composite Rate	Aggregate Rate
(xcii) Oct. - Dec. 2018					
16.0	24.0	40.90	16.0	24.0	39.15
(xciii) Jan. - March 2019					
17.7	24.0	41.7	16.0	24.0	39.95

Text of proposed rule and any required statements and analyses may be obtained from: Kathleen D. Chase, Tax Regulations Specialist, Department of Taxation and Finance, Office of Counsel, Building 9, W.A. Harriman Campus, Albany, NY 12227, (518) 530-4153, email: Kathleen.Chase@tax.ny.gov

Data, views or arguments may be submitted to: Same as above.

Public comment will be received until: 60 days after publication of this notice.

Regulatory Impact Statement, Regulatory Flexibility Analysis, Rural Area Flexibility Analysis and Job Impact Statement

Statements and analyses are not submitted with this notice because the proposed rule is within the definition contained in section 102(2)(a)(ii) of the State Administrative Procedure Act.

HEARINGS SCHEDULED FOR PROPOSED RULE MAKINGS

Agency I.D. No.	Subject Matter	Location—Date—Time
Agriculture and Markets, Department of		
AAM-40-18-00021-EP.....	Shell eggs; acidified foods	Department of Agriculture and Markets, 10B Airline Dr., Albany, NY—January 14, 2019, 11:00 a.m.
Public Service Commission		
PSC-42-18-00014-P	Disposition of a refund from NYPA to the Village of Solway of \$733,000 for overcharge for electricity over several years	Department of Public Service, Agency Bldg. Three, 3rd Fl. Hearing Rm., Albany, NY—Dec. 12, 2018, 10:00 a.m. and continuing daily as needed (Evidentiary Hearing)* *On occasion there are requests to reschedule or postpone evidentiary hearing dates. If such a request is granted, notification of any subsequent scheduling changes will be available at the DPS website (www.dps.ny.gov) under Case 18-E-0606.

ACTION PENDING INDEX

The action pending index is a list of all proposed rules which are currently being considered for adoption. A proposed rule is added to the index when the notice of proposed rule making is first published in the *Register*. A proposed rule is removed from the index when any of the following occur: (1) the proposal is adopted as a permanent rule; (2) the proposal is rejected and withdrawn from consideration; or (3) the proposal's notice expires.

Most notices expire in approximately 12 months if the agency does not adopt or reject the proposal within that time. The expiration date is printed in the second column of the action pending index. Some notices, however, never expire. Those notices are identified by the word "exempt" in the second column. Actions pending for one year or more are preceded by an asterisk(*).

For additional information concerning any of the proposals

listed in the action pending index, use the identification number to locate the text of the original notice of proposed rule making. The identification number contains a code which identifies the agency, the issue of the *Register* in which the notice was printed, the year in which the notice was printed and the notice's serial number. The following diagram shows how to read identification number codes.

Agency code	Issue number	Year published	Serial number	Action Code
AAM	01	12	00001	P

Action codes: P — proposed rule making; EP — emergency and proposed rule making (expiration date refers to proposed rule); RP — revised rule making

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
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AGING, OFFICE FOR THE

AGE-44-18-00005-P 10/31/19	Nutrition Program	The purpose of this rule is to update the regulations governing the Nutrition Program
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AGRICULTURE AND MARKETS, DEPARTMENT OF

AAM-34-18-00001-EP 10/25/19	Importation of cervids susceptible to Chronic Wasting Disease ("CWD").	To help control the spread of CWD into the State's cervid population.
AAM-40-18-00021-EP 01/14/20	Shell Eggs; Acidified Foods	To continue regulatory powers in connection with acidified foods and shell eggs used in foods for human consumption
AAM-41-18-00001-EP 10/10/19	Spotted Lanternfly ("SL")	To prevent SL-infested articles originating in or moving through areas in other states where SL is present from entering NYS
AAM-43-18-00002-P 10/24/19	Certification of Industrial Hemp Seed	To establish standards for certification of industrial hemp seed

ALCOHOLISM AND SUBSTANCE ABUSE SERVICES, OFFICE OF

ASA-12-18-00001-RP 03/21/19	Problem Gambling Treatment and Recovery Services	Repeals existing gambling regulation; replaces with substantially updated provisions
ASA-21-18-00025-RP 05/23/19	Credentialing of Addictions Professionals	Repeal obsolete rules; update process of credentialing addictions professionals
ASA-21-18-00026-P 05/23/19	Appeals, Hearings and Rulings	Protect patient confidentiality, update due process provisions, technical amendments.
ASA-37-18-00002-P 09/12/19	The rule pertains to HIV/AIDS prevention, treatment and confidentiality.	Clarifies the statutory and regulatory obligations of OASAS programs relating to HIV/AIDS.

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
AUDIT AND CONTROL, DEPARTMENT OF			
AAC-06-18-00002-P	02/07/19	Update provisions relating to Employer Reporting; Service Credit Determination for certain members; and Notice of Hearings	To update language necessitated by the modernization and redesign of the retirement system's benefit administration system
AUTHORITIES BUDGET OFFICE, NEW YORK STATE			
ABO-34-18-00005-P	09/04/19	Requires posting all forms and policies on industrial development agencies website, consistent with Chapter 563 of Laws of 2015	To promote transparency and accountability of industrial development agencies and authorities.
CIVIL SERVICE, DEPARTMENT OF			
CVS-12-18-00012-P	03/21/19	Jurisdictional Classification	To classify a subheading and a position in the non-competitive class
CVS-12-18-00025-P	03/21/19	Jurisdictional Classification	To classify positions in the exempt class
CVS-12-18-00030-P	03/21/19	Jurisdictional Classification	To classify a position in the exempt class
CVS-12-18-00035-P	03/21/19	Jurisdictional Classification	To classify a position in the exempt class
CVS-21-18-00022-P	05/23/19	Jurisdictional Classification	To delete positions from and classify positions in the exempt class and to delete a position from the non-competitive class
CVS-24-18-00003-P	06/13/19	Jurisdictional Classification	To classify positions in the exempt class
CVS-33-18-00007-P	08/15/19	Jurisdictional Classification	To classify a position in the non-competitive class
CVS-33-18-00013-P	08/15/19	Jurisdictional Classification	To classify a subheading and a position in the non-competitive class
CVS-41-18-00006-P	10/10/19	Jurisdictional Classification	To classify positions in the non-competitive class
CVS-41-18-00007-P	10/10/19	Jurisdictional Classification	To classify a position in the non-competitive class
CVS-41-18-00008-P	10/10/19	Jurisdictional Classification	To classify positions in the non-competitive class
CVS-41-18-00009-P	10/10/19	Jurisdictional Classification	To delete positions from and classify positions in the exempt class
CVS-41-18-00010-P	10/10/19	Jurisdictional Classification	To classify a position in the non-competitive class
CVS-41-18-00011-P	10/10/19	Jurisdictional Classification	To classify a position in the non-competitive class
CVS-41-18-00012-P	10/10/19	Jurisdictional Classification	To delete a position from and classify a position in the non-competitive class
CVS-41-18-00013-P	10/10/19	Jurisdictional Classification	To classify positions in the exempt class
CVS-41-18-00014-P	10/10/19	Jurisdictional Classification	To classify a position in the exempt class
CVS-41-18-00015-P	10/10/19	Jurisdictional Classification	To classify a position in the exempt class

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CIVIL SERVICE, DEPARTMENT OF			
CVS-41-18-00016-P	10/10/19	Jurisdictional Classification	To classify a position in the non-competitive class
CVS-41-18-00017-P	10/10/19	Jurisdictional Classification	To classify positions in the exempt class
CVS-41-18-00018-P	10/10/19	Jurisdictional Classification	To classify positions in the non-competitive class
CVS-41-18-00019-P	10/10/19	Jurisdictional Classification	To delete from and classify positions in the exempt and non-competitive classes
CVS-41-18-00020-P	10/10/19	Jurisdictional Classification	To delete from and classify positions in the exempt and non-competitive classes
CVS-46-18-00006-P	11/14/19	Jurisdictional Classification	To classify a position in the exempt class
CVS-46-18-00007-P	11/14/19	Jurisdictional Classification	To classify positions in the non-competitive class
CVS-46-18-00008-P	11/14/19	Jurisdictional Classification	To classify positions in the exempt class
CVS-46-18-00009-P	11/14/19	Jurisdictional Classification	To classify a position in the non-competitive class
CVS-46-18-00010-P	11/14/19	Jurisdictional Classification	To delete positions from and classify positions in the non-competitive class
CVS-46-18-00011-P	11/14/19	Jurisdictional Classification	To classify positions in the exempt and non-competitive classes
CVS-46-18-00012-P	11/14/19	Jurisdictional Classification	To delete positions from and classify positions in the non-competitive class
CORRECTION, STATE COMMISSION OF			
CMC-42-18-00001-EP	10/17/19	Necessary age for admission to an adult lockup	To ensure that individuals under 17 years old are not admitted to an adult lockup
CRIMINAL JUSTICE SERVICES, DIVISION OF			
CJS-32-18-00004-P	08/08/19	New Rule 359: Role of Probation in Youth Part of Superior Court	Update job specifications and required knowledge, skills, and abilities for probation professionals employed by localities.
CJS-32-18-00005-P	08/08/19	Case Record Management	Update existing Rule to reflect services which will be performed by Probation departments as a result of Raise the Age law.
CJS-32-18-00006-P	08/08/19	Investigations and Reports	Update existing Rule to reflect services which will be performed by Probation departments as a result of Raise the Age law.
CJS-32-18-00007-P	08/08/19	Probation Supervision	Update existing Rule to reflect services which will be performed by Probation departments as a result of Raise the Age law.
CJS-32-18-00008-P	08/08/19	Graduated Sanctions and Violations of Probation, retitled to: Graduated Responses	Update existing Rule to reflect services which will be performed by Probation departments as a result of Raise the Age law.

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
CRIMINAL JUSTICE SERVICES, DIVISION OF			
CJS-32-18-00009-P	08/08/19	Preliminary Procedure for Article 3 JD Intake, retitled to: Probation Services for Article 3 Juvenile Delinquency(JD)	Update existing Rule to reflect services which will be performed by Probation departments as a result of Raise the Age law.
CJS-32-18-00010-P	08/08/19	New Rule 359: Role of Probation in Youth Part of Superior Court	Update existing Rule to reflect services which will be performed by Probation departments as a result of Raise the Age law.
EDUCATION DEPARTMENT			
EDU-13-18-00027-P	03/28/19	Teacher Certification in Health Education	Creation of a Transitional K Certificate for Certain New York State Licensed Health Professionals to Teach Health Education
EDU-19-18-00006-ERP	05/09/19	The implementation of New York's approved ESSA plan to comply with the provisions of the Every Student Succeeds Act	To implement New York's approved ESSA plan and to comply with the provisions of the Every Student Succeeds Act
EDU-26-18-00009-ERP	06/27/19	The administration of certain vaccines by pharmacists	To implement the provisions of Part DD of chapter 57 of the Laws of 2018
EDU-40-18-00007-P	10/03/19	Certificate progression pathway	Initial Certificate Requirements for Individuals Who Have a Graduate Degree and Two Years of Postsecondary Teaching Experience
EDU-40-18-00008-P	10/03/19	P-20 Principal Preparation Pilot Program	To establish the requirements for the P-20 Principal Preparation Pilot Program
EDU-40-18-00009-P	10/03/19	Students with Disabilities	To conform the Commissioner's regulations to Chapters 422, 428 and 429 of the Laws of 2017
EDU-40-18-00010-P	10/03/19	Professional development plans and other related requirements for school districts and BOCES	To improve the quality of teaching and learning for teachers and leaders for professional growth
EDU-40-18-00011-EP	10/03/19	School Breakfast Programs	To initiate, maintain, or expand school breakfast programs and make technical amendments to conform to federal requirements
EDU-40-18-00012-EP	10/03/19	Prohibition against meal shaming	Requires certain schools to develop a plan to prohibit against meal shaming or treating pupils with unpaid meal fees differently
EDU-44-18-00006-P	10/31/19	Limited extensions and program requirements for certain career and technical education teachers	Creation of an extension for holders of the Career and Technical Education Certificate to Teach Grades 5 and 6
EDU-44-18-00007-P	10/31/19	Alternative pathways for certificate for certain teachers who hold Students with Disabilities certificate	Establishes limited extensions and statements of continued eligibility pathways for teachers who hold certain teaching certificates for Students with Disabilities in grades 7-12
EDU-47-18-00010-EP	11/21/19	English Language Learner Grade Span Waiver Requirement	To provide a one-year renewable waiver to expand the allowable grade span for ENL and BE classes to three contiguous grades

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
ENVIRONMENTAL CONSERVATION, DEPARTMENT OF			
ENV-12-18-00043-P	06/07/19	BEACH Act Standards and Reclassification Rule	To comply with the federal BEACH Act of 2000 (P.L. 106-284) and protect coastal recreation waters for recreation
ENV-20-18-00006-P	07/24/19	CO2 Emissions Standards for Major Electric Generating Facilities	To establish CO2 emissions standards for existing major electric generating facilities
ENV-20-18-00007-P	07/24/19	Repeal and replace 6 NYCRR Parts 243, 244 and 245 and amend 6 NYCRR Part 200	Parts 243, 244 and 245 set forth the process the Department will use to allocate allowances under EPA's CSAPR Trading Programs
ENV-48-18-00002-P	11/28/19	Jonah crab management	To implement required management measures of the Atlantic States Marine Fisheries Commission Jonah Crab Fishery Management Plan
FINANCIAL SERVICES, DEPARTMENT OF			
*DFS-17-16-00003-P	exempt	Plan of Conversion by Commercial Travelers Mutual Insurance Company	To convert a mutual accident and health insurance company to a stock accident and health insurance company
DFS-23-18-00001-P	06/06/19	Minimum Standards for Form, Content, and Sale of Health Insurance, Including Standards for Full and Fair Disclosure	To require coverage for maternal screening and referrals
DFS-25-18-00006-P	exempt	Plan of Conversion by Medical Liability Mutual Insurance Company	To convert a mutual property and casualty insurance company to a stock property and casualty insurance company
DFS-26-18-00002-EP	06/27/19	Charges for Professional Health Services	To delay the effective date of the Workers' Compensation fee schedule increases for no-fault reimbursement
DFS-30-18-00007-P	07/25/19	Minimum Standards for Form, Content, and Sale of Health Insurance, Including Standards for Full and Fair Disclosure	To clarify requirements regarding coverage and disclosure of information for contraceptives
DFS-36-18-00003-P	09/05/19	Professional Bail Agents; Managing General Agents; et al	To provide greater protection to consumers, and raise the standards of integrity in the bail business.
DFS-40-18-00005-P	10/03/19	Transportation Network Companies: Minimum Provisions for Policies and Other Requirements	To extend the date in Section 60-3.7(b) from January 1, 2019 to July 1, 2019 and to fix an incorrect citation.
DFS-42-18-00003-P	10/17/19	Valuation of Life Insurance Reserves; Recognition of the 2001 CSO Mortality Table and the 2017 CSO Mortality Table, et al	To recognize mortality improvement for applicable policies issued prior to 1/1/2019 if optionally elected
DFS-46-18-00014-P	11/14/19	Regulations Implementing the Comprehensive Motor Vehicle Insurance Reparations Act- Claims for Personal Injury Protection Benefit	To give insurer option to void assignment of benefits when insurer issues denial for EIP's failure to attend IME or EUO
GAMING COMMISSION, NEW YORK STATE			
SGC-09-18-00005-P	02/28/19	The licensing and registration of gaming facility employees and vendors	To govern the licensing and registration of gaming facility employees and vendors

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
GAMING COMMISSION, NEW YORK STATE			
SGC-17-18-00002-P	04/25/19	Regulation of courier services that purchase and claim certain Lottery tickets and prizes as agents for customers	To license courier services to facilitate the sale of Lottery tickets to generate more revenue for education
SGC-38-18-00002-P	09/19/19	Heads Up Hold 'Em poker.	To set forth the practices and procedures for the operation of Heads Up Hold 'Em poker as a casino table game.
SGC-38-18-00003-P	09/19/19	Casino fees and payments.	Implementation of rules governing procedures for submission of fees and payments by gaming facilities to the Gaming Commission.
SGC-39-18-00003-P	09/26/19	Heads Up Hold 'Em poker.	To set forth the practices and procedures for the operation of Heads Up Hold 'Em poker as a casino table game.
SGC-40-18-00006-P	10/03/19	Amendment of multiple medication violation (MMV) penalty enhancement rule.	To enable the Commission to enhance the integrity and safety of thoroughbred pari-mutuel racing.
SGC-42-18-00015-P	10/17/19	Permit greater purse-to-price ratio in Thoroughbred claiming races	To advance the best interests of Thoroughbred racing and protect the safety of the race horses
SGC-47-18-00009-P	11/21/19	Self-exclusion and casino advertising	To centralize Commission self-exclusion policies and make self-exclusion universal throughout the various forms of gaming
GENERAL SERVICES, OFFICE OF			
GNS-46-18-00001-P	11/14/19	Service-Disabled Veteran-Owned Business Enterprises	To establish standards, procedures and criteria with respect to the Service-Disabled Veteran-Owned Business Enterprise program
HEALTH, DEPARTMENT OF			
*HLT-14-94-00006-P	exempt	Payment methodology for HIV/AIDS outpatient services	To expand the current payment to incorporate pricing for services
*HLT-28-17-00009-RP	11/15/18	Early Intervention Program	To conform existing program regulations to federal regulations and state statute
HLT-04-18-00010-RP	01/24/19	Emergency Medical Services (EMS) Initial Certification Eligibility Requirements	To reduce the EMS certification eligibility minimum age from 18 to 17 years of age
HLT-07-18-00002-P	02/14/19	Medicaid Reimbursement of Nursing Facility Reserved Bed Days for Hospitalizations	To make changes relating to reserved bed payments made by Medicaid to nursing facilities
HLT-22-18-00010-P	05/30/19	Criminal History Record Checks and Advanced Home Health Aides	This rule will implement statutory changes related to criminal history records checks and advanced home health aides
HLT-25-18-00008-P	06/20/19	Inpatient Psychiatric Services	To enhance reimbursement mechanisms for inpatient psychiatric services
HLT-30-18-00008-P	07/25/19	Voluntary Foster Care Agency Health Facility Licensure	To license Voluntary Foster Care Agencies to provide limited health-related services
HLT-31-18-00004-P	08/01/19	Newborn Screening for Phenylketonuria and Other Diseases	To support timely collection and submission of specimens for the detection of diseases in newborn infants in New York State

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HEALTH, DEPARTMENT OF			
HLT-31-18-00005-EP	08/01/19	Medical Use of Marihuana	To add additional serious conditions for which patients may be certified to use medical marihuana
HLT-33-18-00017-P	08/15/19	Patients' Bill of Rights	Require general hospitals and diagnostic and treatment centers to update their statements of patient rights.
HLT-34-18-00006-P	08/22/19	Statewide Planning and Research Cooperative System (SPARCS)	To revise the SPARCS regulation related to data intake.
HLT-34-18-00007-P	08/22/19	New York State Medicaid Infertility Treatment	To authorize Medicaid coverage of infertility benefits.
HLT-37-18-00008-P	09/12/19	Update Standards for Adult Homes and Standards for Enriched Housing Programs	To prohibit residential providers from excluding an applicant based solely on the individual's status as a wheelchair user.
HLT-42-18-00006-P	10/17/19	Durable Medical Equipment; Medical/Surgical Supplies; Orthotic and Prosthetic Appliances; Orthopedic Footwear	To amend the Department's regulation governing Medicaid coverage of orthopedic footwear and compression and support stockings
HLT-42-18-00007-P	10/17/19	Stroke Services	NYS criteria for stroke ctr. designation as part of an accrediting process for cert. by nationally recognized accredit. agencies
HLT-42-18-00008-P	10/17/19	Office-Based Surgery Practice Reports	Requires accredited Office-Based Surgery practices to submit adverse event & practice information which includes procedural data
HLT-47-18-00002-P	11/21/19	Food Service Establishments	To restrict the use of liquid Nitrogen and Dry Ice in food preparation
JUSTICE CENTER FOR THE PROTECTION OF PEOPLE WITH SPECIAL NEEDS			
JCP-31-18-00008-P	08/01/19	Protocols for interviewing service recipients	To ensure interviews of service recipients during investigations are conducted in a safe and sensitive manner.
LABOR, DEPARTMENT OF			
LAB-47-17-00011-P	11/22/18	Employee Scheduling (Call-In Pay)	To strengthen existing call-in pay protections involving employee scheduling
LAB-17-18-00005-P	07/11/19	Hours Worked, 24-Hour Shifts	To clarify that hours worked may exclude meal periods and sleep times for employees who work shifts of 24 hours or more
LAW, DEPARTMENT OF			
LAW-41-18-00021-P	10/10/19	Arbitration program regulations for defective farm equipment	To set forth the procedures for the operation of an alternative arbitration mechanism for defective farm equipment disputes
LIQUOR AUTHORITY, STATE			
LQR-07-18-00011-P	04/18/19	Municipal notification requirements for Temporary Beer and Wine Permit as well as Catering Permit applications for large events	To establish municipal notification for Temporary Beer and Wine Permit as well as Catering Permit applications for large events

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
LIQUOR AUTHORITY, STATE			
LQR-30-18-00001-P	10/24/19	Bottle Club license updates	To update outdated Bottle Club license requirements and procedures
LONG ISLAND POWER AUTHORITY			
*LPA-08-01-00003-P	exempt	Pole attachments and related matters	To approve revisions to the authority's tariff
*LPA-41-02-00005-P	exempt	Tariff for electric service	To revise the tariff for electric service
*LPA-04-06-00007-P	exempt	Tariff for electric service	To adopt provisions of a ratepayer protection plan
*LPA-03-10-00004-P	exempt	Residential late payment charges	To extend the application of late payment charges to residential customers
LPA-15-18-00013-P	exempt	Outdoor area lighting	To add an option and pricing for efficient LED lamps to the Authority's outdoor area lighting
LPA-33-18-00018-P	exempt	The net energy metering and related provisions of the Authority's Tariff for Electric Service.	To conform the Tariff to orders issued in the Value of Distributed Energy Resources proceeding of the New York DPS.
LPA-37-18-00009-P	exempt	The Authority's transmission and distribution property taxes as reflected in the Adjustments section of the Authority's Tariff.	To update the Tariff to implement an annual adjustment to recover payments in lieu of T&D property taxes.
LPA-37-18-00010-P	exempt	The Authority's annual budget, as reflected in the rates and charges in the Tariff for Electric Service.	To update the Tariff to implement the Authority's annual budget and corresponding rate adjustments.
LPA-37-18-00011-P	exempt	The terms and conditions of the Authority's Tariff for Electric Service regarding customer contacts by telephone.	To implement Federal Communications Commission guidance regarding customer contacts via mobile electronic devices.
LPA-37-18-00012-P	exempt	The small generator interconnection procedures in the Authority's Tariff for Electric Service	To update the small generator interconnection procedures consistent with Public Service Commission guidance
LPA-37-18-00013-P	exempt	The net energy metering provisions of the Authority's Tariff for Electric Service	To implement PSC guidance increasing eligibility for value stack compensation to larger projects
LPA-37-18-00014-P	exempt	The Authority's Tariff for Electric Service, Service Classification No. 7 Outdoor Area Lighting	To implement an option for high efficiency LED light bulbs and fixtures for Outdoor Area Lighting
LPA-37-18-00015-P	exempt	Uniform Business Practices for Distributed Energy Resource Suppliers in the Authority's Tariff for Electric Service.	To update the Tariff to implement Uniform Business Practices for Distributed Energy Resource Suppliers.
LPA-37-18-00016-P	exempt	The metering provisions of the Authority's Tariff for Electric Service	To implement a customer option to decline installation of a smart meter and associated fees
LPA-37-18-00017-P	exempt	The treatment of electric vehicle charging in the Authority's Tariff for Electric Service.	To effectuate the outcome of the Public Service Commission's proceeding on electric vehicle supply equipment.
LPA-37-18-00018-P	exempt	The treatment of energy storage in the Authority's Tariff for Electric Service.	To effectuate the outcome of the Public Service Commission's proceeding on the NY Energy Storage Roadmap.

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MENTAL HEALTH, OFFICE OF			
OMH-43-18-00001-P	10/24/19	Operation of Crisis Residences in New York State	To revise and update the categories of Crisis Residences to match what is currently operation in New York
OMH-47-18-00003-P	11/21/19	Establish standards for providers who wish to become licensed Children's Mental Health Rehabilitation programs	This regulation provides a vehicle for implementing the new State Plan services
MOTOR VEHICLES, DEPARTMENT OF			
MTV-42-18-00004-P	10/17/19	FOIL - denials to access of records	To permit the Commissioner to designate another person to hear FOIL appeals other than the Chair of the Appeals Board
NIAGARA FALLS WATER BOARD			
*NFW-04-13-00004-EP	exempt	Adoption of Rates, Fees and Charges	To pay for the increased costs necessary to operate, maintain and manage the system, and to achieve covenants with bondholders
*NFW-13-14-00006-EP	exempt	Adoption of Rates, Fees and Charges	To pay for increased costs necessary to operate, maintain and manage the system and to achieve covenants with the bondholders
NIAGARA FRONTIER TRANSPORTATION AUTHORITY			
NFT-37-18-00020-P	09/12/19	Transportation Network Company Operators providing commercial ground transportation services at NFTA airports	To provide cohesive operating procedures and practices for Transportation Network Companies operating at NFTA airports
OGDENSBURG BRIDGE AND PORT AUTHORITY			
OBA-33-18-00019-P	exempt	Increase in Bridge Toll Structure	To increase bridge toll revenue in order to become financially self-supporting. Our bridge operations are resulting in deficit.
PARKS, RECREATION AND HISTORIC PRESERVATION, OFFICE OF			
PKR-48-18-00006-P	11/28/19	The regulation of pets in OPRHP facilities	To adopt one statewide pet regulation that replaces regional regulations that are inconsistent with each other
PEOPLE WITH DEVELOPMENTAL DISABILITIES, OFFICE FOR			
PDD-07-18-00001-ERP	02/14/19	Enrollment in Medicare Prescription Drug Plans and Fully Integrated Duals Advantage Plans for IDD	To allow individuals to be enrolled in a FIDA-IDD plan when individuals are unable to enroll themselves
PDD-26-18-00003-EP	06/27/19	Eligibility of Services	The eligibility for individuals applying for OPWDD authorized services
PDD-32-18-00003-EP	08/08/19	Telehealth	To authorize telehealth as a new modality for the delivery of clinical services.
PDD-45-18-00001-EP	11/07/19	Telehealth	To authorize telehealth as a new modality for the delivery of clinical services

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
POWER AUTHORITY OF THE STATE OF NEW YORK			
*PAS-01-10-00010-P exempt	Rates for the sale of power and energy	Update ECSB Programs customers' service tariffs to streamline them/include additional required information
PAS-42-18-00005-P exempt	Rates for the Sale of Power and Energy	To align rates and costs
PUBLIC SERVICE COMMISSION			
*PSC-09-99-00012-P exempt	Transfer of books and records by Citizens Utilities Company	To relocate Ogden Telephone Company's books and records out-of-state
*PSC-15-99-00011-P exempt	Electronic tariff by Woodcliff Park Corp.	To replace the company's current tariff with an electronic tariff
*PSC-12-00-00001-P exempt	Winter bundled sales service election date by Central Hudson Gas & Electric Corporation	To revise the date
*PSC-44-01-00005-P exempt	Annual reconciliation of gas costs by Corning Natural Gas Corporation	To authorize the company to include certain gas costs
*PSC-07-02-00032-P exempt	Uniform business practices	To consider modification
*PSC-36-03-00010-P exempt	Performance assurance plan by Verizon New York	To consider changes
*PSC-40-03-00015-P exempt	Receipt of payment of bills by St. Lawrence Gas Company	To revise the process
*PSC-41-03-00010-P exempt	Annual reconciliation of gas expenses and gas cost recoveries	To consider filings of various LDCs and municipalities
*PSC-41-03-00011-P exempt	Annual reconciliation of gas expenses and gas cost recoveries	To consider filings of various LDCs and municipalities
*PSC-44-03-00009-P exempt	Retail access data between jurisdictional utilities	To accommodate changes in retail access market structure or commission mandates
*PSC-02-04-00008-P exempt	Delivery rates for Con Edison's customers in New York City and Westchester County by the City of New York	To rehear the Nov. 25, 2003 order
*PSC-06-04-00009-P exempt	Transfer of ownership interest by SCS Energy LLC and AE Investors LLC	To transfer interest in Steinway Creek Electric Generating Company LLC to AE Investors LLC
*PSC-10-04-00005-P exempt	Temporary protective order	To consider adopting a protective order
*PSC-10-04-00008-P exempt	Interconnection agreement between Verizon New York Inc. and VIC-RMTS-DC, L.L.C. d/b/a Verizon Avenue	To amend the agreement
*PSC-14-04-00008-P exempt	Submetering of natural gas service to industrial and commercial customers by Hamburg Fairgrounds	To submeter gas service to commercial customers located at the Buffalo Speedway
*PSC-15-04-00022-P exempt	Submetering of electricity by Glenn Gardens Associates, L.P.	To permit submetering at 175 W. 87th St., New York, NY
*PSC-21-04-00013-P exempt	Verizon performance assurance plan by Metropolitan Telecommunications	To clarify the appropriate performance level

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
*PSC-22-04-00010-P exempt	Approval of new types of electricity meters by Powell Power Electric Company	To permit the use of the PE-1250 electronic meter
*PSC-22-04-00013-P exempt	Major gas rate increase by Consolidated Edison Company of New York, Inc.	To increase annual gas revenues
*PSC-22-04-00016-P exempt	Master metering of water by South Liberty Corporation	To waive the requirement for installation of separate water meters
*PSC-25-04-00012-P exempt	Interconnection agreement between Frontier Communications of Ausable Valley, Inc., et al. and Sprint Communications Company, L.P.	To amend the agreement
*PSC-27-04-00008-P exempt	Interconnection agreement between Verizon New York Inc. and various Verizon wireless affiliates	To amend the agreement
*PSC-27-04-00009-P exempt	Interconnection agreement between Verizon New York Inc. and various Verizon wireless affiliates	To amend the agreement
*PSC-28-04-00006-P exempt	Approval of loans by Dunkirk & Fredonia Telephone Company and Cassadaga Telephone Corporation	To authorize participation in the parent corporation's line of credit
*PSC-31-04-00023-P exempt	Distributed generation service by Consolidated Edison Company of New York, Inc.	To provide an application form
*PSC-34-04-00031-P exempt	Flat rate residential service by Emerald Green Lake Louise Marie Water Company, Inc.	To set appropriate level of permanent rates
*PSC-35-04-00017-P exempt	Application form for distributed generation by Orange and Rockland Utilities, Inc.	To establish a new supplementary application form for customers
*PSC-43-04-00016-P exempt	Accounts recievable by Rochester Gas and Electric Corporation	To include in its tariff provisions for the purchase of ESCO accounts recievable
*PSC-46-04-00012-P exempt	Service application form by Consolidated Edison Company of New York, Inc.	To revise the form and make housekeeping changes
*PSC-46-04-00013-P exempt	Rules and guidelines governing installation of metering equipment	To establish uniform statewide business practices
*PSC-02-05-00006-P exempt	Violation of the July 22, 2004 order by Dutchess Estates Water Company, Inc.	To consider imposing remedial actions against the company and its owners, officers and directors
*PSC-09-05-00009-P exempt	Submetering of natural gas service by Hamlet on Olde Oyster Bay	To consider submetering of natural gas to a commercial customer
*PSC-14-05-00006-P exempt	Request for deferred accounting authorization by Freeport Electric Inc.	To defer expenses beyond the end of the fiscal year
*PSC-18-05-00009-P exempt	Marketer Assignment Program by Consolidated Edison Company of New York, Inc.	To implement the program
*PSC-20-05-00028-P exempt	Delivery point aggregation fee by Allied Frozen Storage, Inc.	To review the calculation of the fee

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PUBLIC SERVICE COMMISSION			
*PSC-25-05-00011-P exempt	Metering, balancing and cashout provisions by Central Hudson Gas & Electric Corporation	To establish provisions for gas customers taking service under Service Classification Nos. 8, 9 and 11
*PSC-27-05-00018-P exempt	Annual reconciliation of gas costs by New York State Electric & Gas Corporation	To consider the manner in which the gas cost incentive mechanism has been applied
*PSC-41-05-00013-P exempt	Annual reconciliation of gas expenses and gas cost recoveries by local distribution companies and municipalities	To consider the filings
*PSC-45-05-00011-P exempt	Treatment of lost and unaccounted gas costs by Corning Natural Gas Corporation	To defer certain costs
*PSC-46-05-00015-P exempt	Sale of real and personal property by the Brooklyn Union Gas Company d/b/a KeySpan Energy Delivery New York and Steel Arrow, LLC	To consider the sale
*PSC-47-05-00009-P exempt	Transferral of gas supplies by Corning Natural Gas Corporation	To approve the transfer
*PSC-50-05-00008-P exempt	Long-term debt by Saratoga Glen Hollow Water Supply Corp.	To obtain long-term debt
*PSC-04-06-00024-P exempt	Transfer of ownership interests by Mirant NY-Gen LLC and Orange and Rockland Utilities, Inc.	To approve of the transfer
*PSC-06-06-00015-P exempt	Gas curtailment policies and procedures	To examine the manner and extent to which gas curtailment policies and procedures should be modified and/or established
*PSC-07-06-00009-P exempt	Modification of the current Environmental Disclosure Program	To include an attributes accounting system
*PSC-22-06-00019-P exempt	Hourly pricing by National Grid	To assess the impacts
*PSC-22-06-00020-P exempt	Hourly pricing by New York State Electric & Gas Corporation	To assess the impacts
*PSC-22-06-00021-P exempt	Hourly pricing by Rochester Gas & Electric Corporation	To assess the impacts
*PSC-22-06-00022-P exempt	Hourly pricing by Consolidated Edison Company of New York, Inc.	To assess the impacts
*PSC-22-06-00023-P exempt	Hourly pricing by Orange and Rockland Utilities, Inc.	To assess the impacts
*PSC-24-06-00005-EP exempt	Supplemental home energy assistance benefits	To extend the deadline to Central Hudson's low-income customers
*PSC-25-06-00017-P exempt	Purchased power adjustment by Massena Electric Department	To revise the method of calculating the purchased power adjustment and update the factor of adjustment
*PSC-34-06-00009-P exempt	Inter-carrier telephone service quality standards and metrics by the Carrier Working Group	To incorporate appropriate modifications

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
*PSC-37-06-00015-P exempt	Procedures for estimation of customer bills by Rochester Gas and Electric Corporation	To consider estimation procedures
*PSC-37-06-00017-P exempt	Procedures for estimation of customer bills by Rochester Gas and Electric Corporation	To consider estimation procedures
*PSC-43-06-00014-P exempt	Electric delivery services by Strategic Power Management, Inc.	To determine the proper mechanism for the rate-recovery of costs
*PSC-04-07-00012-P exempt	Petition for rehearing by Orange and Rockland Utilities, Inc.	To clarify the order
*PSC-06-07-00015-P exempt	Meter reading and billing practices by Central Hudson Gas & Electric Corporation	To continue current meter reading and billing practices for electric service
*PSC-06-07-00020-P exempt	Meter reading and billing practices by Central Hudson Gas & Electric Corporation	To continue current meter reading and billing practices for gas service
*PSC-11-07-00010-P exempt	Investigation of the electric power outages by the Consolidated Edison Company of New York, Inc.	To implement the recommendations in the staff's investigation
*PSC-11-07-00011-P exempt	Storm-related power outages by Consolidated Edison Company of New York, Inc.	To modify the company's response to power outages, the timing for any such changes and other related matters
*PSC-17-07-00008-P exempt	Interconnection agreement between Verizon New York Inc. and BridgeCom International, Inc.	To amend the agreement
*PSC-18-07-00010-P exempt	Existing electric generating stations by Independent Power Producers of New York, Inc.	To repower and upgrade existing electric generating stations owned by Rochester Gas and Electric Corporation
*PSC-20-07-00016-P exempt	Tariff revisions and making rates permanent by New York State Electric & Gas Corporation	To seek rehearing
*PSC-21-07-00007-P exempt	Natural Gas Supply and Acquisition Plan by Corning Natural Gas Corporation	To revise the rates, charges, rules and regulations for gas service
*PSC-22-07-00015-P exempt	Demand Side Management Program by Consolidated Edison Company of New York, Inc.	To recover incremental program costs and lost revenue
*PSC-23-07-00022-P exempt	Supplier, transportation, balancing and aggregation service by National Fuel Gas Distribution Corporation	To explicitly state in the company's tariff that the threshold level of elective upstream transmission capacity is a maximum of 112,600 Dth/day of marketer-provided upstream capacity
*PSC-24-07-00012-P exempt	Gas Efficiency Program by the City of New York	To consider rehearing a decision establishing a Gas Efficiency Program
*PSC-39-07-00017-P exempt	Gas bill issuance charge by New York State Electric & Gas Corporation	To create a gas bill issuance charge unbundled from delivery rates
*PSC-41-07-00009-P exempt	Submetering of electricity rehearing	To seek reversal
*PSC-42-07-00012-P exempt	Energy efficiency program by Orange and Rockland Utilities, Inc.	To consider any energy efficiency program for Orange and Rockland Utilities, Inc.'s electric service

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
*PSC-42-07-00013-P exempt	Revenue decoupling by Orange and Rockland Utilities, Inc.	To consider a revenue decoupling mechanism for Orange and Rockland Utilities, Inc.
*PSC-45-07-00005-P exempt	Customer incentive programs by Orange and Rockland Utilities, Inc.	To establish a tariff provision
*PSC-02-08-00006-P exempt	Additional central office codes in the 315 area code region	To consider options for making additional codes
*PSC-03-08-00006-P exempt	Rehearing of the accounting determinations	To grant or deny a petition for rehearing of the accounting determinations
*PSC-04-08-00010-P exempt	Granting of easement rights on utility property by Central Hudson Gas & Electric Corporation	To grant easement rights to Millennium Pipeline Company, L.L.C.
*PSC-04-08-00012-P exempt	Marketing practices of energy service companies by the Consumer Protection Board and New York City Department of Consumer Affairs	To consider modifying the commission's regulation over marketing practices of energy service companies
*PSC-08-08-00016-P exempt	Transfer of ownership by Entergy Nuclear Fitzpatrick LLC, et al.	To consider the transfer
*PSC-12-08-00019-P exempt	Extend the provisions of the existing electric rate plan by Rochester Gas and Electric Corporation	To consider the request
*PSC-12-08-00021-P exempt	Extend the provisions of the existing gas rate plan by Rochester Gas and Electric Corporation	To consider the request
*PSC-13-08-00011-P exempt	Waiver of commission policy and NYSEG tariff by Turner Engineering, PC	To grant or deny Turner's petition
*PSC-13-08-00012-P exempt	Voltage drops by New York State Electric & Gas Corporation	To grant or deny the petition
*PSC-23-08-00008-P exempt	Petition requesting rehearing and clarification of the commission's April 25, 2008 order denying petition of public utility law project	To consider whether to grant or deny, in whole or in part, the May 7, 2008 Public Utility Law Project (PULP) petition for rehearing and clarification of the commission's April 25, 2008 order denying petition of Public Utility Law Project
*PSC-25-08-00007-P exempt	Policies and procedures regarding the selection of regulatory proposals to meet reliability needs	To establish policies and procedures regarding the selection of regulatory proposals to meet reliability needs
*PSC-25-08-00008-P exempt	Report on Callable Load Opportunities	Rider U report assessing callable load opportunities in New York City and Westchester County during the next 10 years
*PSC-28-08-00004-P exempt	Con Edison's procedure for providing customers access to their account information	To consider Con Edison's implementation plan and timetable for providing customers access to their account information
*PSC-31-08-00025-P exempt	Recovery of reasonable DRS costs from the cost mitigation reserve (CMR)	To authorize recovery of the DRS costs from the CMR
*PSC-32-08-00009-P exempt	The ESCO referral program for KEDNY to be implemented by October 1, 2008	To approve, reject or modify, in whole or in part, KEDNY's recommended ESCO referral program

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
*PSC-33-08-00008-P exempt	Noble Allegany's request for lightened regulation	To consider Noble Allegany's request for lightened regulation as an electric corporation
*PSC-36-08-00019-P exempt	Land Transfer in the Borough of Manhattan, New York	To consider petition for transfer of real property to NYPH
*PSC-39-08-00010-P exempt	RG&E's economic development plan and tariffs	Consideration of the approval of RG&E's economic development plan and tariffs
*PSC-40-08-00010-P exempt	Loans from regulated company to its parent	To determine if the cash management program resulting in loans to the parent should be approved
*PSC-41-08-00009-P exempt	Transfer of control of cable TV franchise	To determine if the transfer of control of Margaretville's cable TV subsidiary should be approved
*PSC-43-08-00014-P exempt	Annual Reconciliation of Gas Expenses and Gas Cost Recoveries	The filings of various LDCs and municipalities regarding their Annual Reconciliation of Gas Expenses and Gas Cost Recoveries
*PSC-46-08-00008-P exempt	Property transfer in the Village of Avon, New York	To consider a petition for the transfer of street lighting and attached equipment to the Village of Avon, New York
*PSC-46-08-00010-P exempt	A transfer of indirect ownership interests in nuclear generation facilities	Consideration of approval of a transfer of indirect ownership interests in nuclear generation facilities
*PSC-46-08-00014-P exempt	The attachment of cellular antennae to an electric transmission tower	To approve, reject or modify the request for permission to attach cellular antennae to an electric transmission tower
*PSC-48-08-00005-P exempt	A National Grid high efficiency gas heating equipment rebate program	To expand eligibility to customers converting from oil to natural gas
*PSC-48-08-00008-P exempt	Petition for the master metering and submetering of electricity	To consider the request of Bay City Metering, to master meter & submeter electricity at 345 E. 81st St., New York, New York
*PSC-48-08-00009-P exempt	Petition for the submetering of electricity	To consider the request of PCV/ST to submeter electricity at Peter Cooper Village & Stuyvesant Town, New York, New York
*PSC-50-08-00018-P exempt	Market Supply Charge	A study on the implementation of a revised Market Supply Charge
*PSC-51-08-00006-P exempt	Commission's October 27, 2008 Order on Future of Retail Access Programs in Case 07-M-0458	To consider a Petition for rehearing of the Commission's October 27, 2008 Order in Case 07-M-0458
*PSC-51-08-00007-P exempt	Commission's October 27, 2008 Order in Cases 98-M-1343, 07-M-1514 and 08-G-0078	To consider Petitions for rehearing of the Commission's October 27, 2008 Order in Cases 98-M-1343, 07-M-1514 and 08-G-0078
*PSC-53-08-00011-P exempt	Use of deferred Rural Telephone Bank funds	To determine if the purchase of a softswitch by Hancock is an appropriate use of deferred Rural Telephone Bank funds
*PSC-53-08-00012-P exempt	Transfer of permanent and temporary easements at 549-555 North Little Tor Road, New City, NY	Transfer of permanent and temporary easements at 549-555 North Little Tor Road, New City, NY

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
*PSC-53-08-00013-P exempt	To transfer common stock and ownership	To consider transfer of common stock and ownership
*PSC-01-09-00015-P exempt	FCC decision to redefine service area of Citizens/Frontier	Review and consider FCC proposed redefinition of Citizens/Frontier service area
*PSC-02-09-00010-P exempt	Competitive classification of independent local exchange company, and regulatory relief appropriate thereto	To determine if Chazy & Westport Telephone Corporation more appropriately belongs in scenario 1 rather than scenario 2
*PSC-05-09-00008-P exempt	Revenue allocation, rate design, performance metrics, and other non-revenue requirement issues	To consider any remaining non-revenue requirement issues related to the Company's May 9, 2008 tariff filing
*PSC-05-09-00009-P exempt	Numerous decisions involving the steam system including cost allocation, energy efficiency and capital projects	To consider the long term impacts on steam rates and on public policy of various options concerning the steam system
*PSC-06-09-00007-P exempt	Interconnection of the networks between Frontier Comm. and WVT Communications for local exchange service and exchange access	To review the terms and conditions of the negotiated agreement between Frontier Comm. and WVT Comm.
*PSC-07-09-00015-P exempt	Transfer certain utility assets located in the Town of Montgomery from plant held for future use to non-utility property	To consider the request to transfer certain utility assets located in the Town of Montgomery to non-utility assets
*PSC-07-09-00017-P exempt	Request for authorization to defer the incremental costs incurred in the restoration work resulting from the ice storm	To allow the company to defer the incremental costs incurred in the restoration work resulting from the ice storm
*PSC-07-09-00018-P exempt	Whether to permit the submetering of natural gas service to an industrial and commercial customer at Cooper Union, New York, NY	To consider the request of Cooper Union, to submeter natural gas at 41 Cooper Square, New York, New York
*PSC-12-09-00010-P exempt	Charges for commodity	To charge customers for commodity costs
*PSC-12-09-00012-P exempt	Charges for commodity	To charge customers for commodity costs
*PSC-13-09-00008-P exempt	Options for making additional central office codes available in the 718/347 numbering plan area	To consider options for making additional central office codes available in the 718/347 numbering plan area
*PSC-14-09-00014-P exempt	The regulation of revenue requirements for municipal utilities by the Public Service Commission	To determine whether the regulation of revenue requirements for municipal utilities should be modified
*PSC-16-09-00010-P exempt	Petition for the submetering of electricity	To consider the request of AMPS on behalf of Park Imperial to submeter electricity at 230 W. 56th Street, in New York, New York
*PSC-16-09-00020-P exempt	Whether SUNY's core accounts should be exempt from the mandatory assignment of local distribution company (LDC) capacity	Whether SUNY's core accounts should be exempt from the mandatory assignment of local distribution company (LDC) capacity
*PSC-17-09-00010-P exempt	Whether to permit the use of Elster REX2 solid state electric meter for use in residential and commercial accounts	To permit electric utilities in New York State to use the Elster REX2
*PSC-17-09-00011-P exempt	Whether Brooklyn Navy Yard Cogeneration Partners, L.P. should be reimbursed by Con Edison for past and future use taxes	Whether Brooklyn Navy Yard Cogeneration Partners, L.P. should be reimbursed by Con Edison for past and future use taxes

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
*PSC-17-09-00012-P exempt	Petition for the submetering of gas at commercial property	To consider the request of Turner Construction, to submeter natural gas at 550 Short Ave., & 10 South St., Governors Island, NY
*PSC-17-09-00014-P exempt	Benefit-cost framework for evaluating AMI programs prepared by the DPS Staff	To consider a benefit-cost framework for evaluating AMI programs prepared by the DPS Staff
*PSC-17-09-00015-P exempt	The construction of a tower for wireless antennas on land owned by National Grid	To approve, reject or modify the petition to build a tower for wireless antennas in the Town of Onondaga
*PSC-18-09-00012-P exempt	Petition for rehearing of Order approving the submetering of electricity	To consider the request of Frank Signore to rehear petition to submeter electricity at One City Place in White Plains, New York
*PSC-18-09-00013-P exempt	Petition for the submetering of electricity	To consider the request of Living Opportunities of DePaul to submeter electricity at E. Main St. located in Batavia, New York
*PSC-18-09-00017-P exempt	Approval of an arrangement for attachment of wireless antennas to the utility's transmission facilities in the City of Yonkers	To approve, reject or modify the petition for the existing wireless antenna attachment to the utility's transmission tower
*PSC-20-09-00016-P exempt	The recovery of, and accounting for, costs associated with the Companies' advanced metering infrastructure (AMI) pilots etc	To consider a filing of the Companies as to the recovery of, and accounting for, costs associated with it's AMI pilots etc
*PSC-20-09-00017-P exempt	The recovery of, and accounting for, costs associated with CHG&E's AMI pilot program	To consider a filing of CHG&E as to the recovery of, and accounting for, costs associated with it's AMI pilot program
*PSC-22-09-00011-P exempt	Cost allocation for Consolidated Edison's East River Repowering Project	To determine whether any changes are warranted in the cost allocation of Consolidated Edison's East River Repowering Project
*PSC-25-09-00005-P exempt	Whether to grant, deny, or modify, in whole or in part, the petition	Whether to grant, deny, or modify, in whole or in part, the petition
*PSC-25-09-00006-P exempt	Electric utility implementation plans for proposed web based SIR application process and project status database	To determine if the proposed web based SIR systems are adequate and meet requirements needed for implementation
*PSC-25-09-00007-P exempt	Electric rates for Consolidated Edison Company of New York, Inc	Consider a Petition for Rehearing filed by Consolidated Edison Company of New York, Inc
*PSC-27-09-00011-P exempt	Interconnection of the networks between Vernon and tw telecom of new york l.p. for local exchange service and exchange access.	To review the terms and conditions of the negotiated agreement between Vernon and tw telecom of new york l.p.
*PSC-27-09-00014-P exempt	Billing and payment for energy efficiency measures through utility bill	To promote energy conservation
*PSC-27-09-00015-P exempt	Interconnection of the networks between Oriskany and tw telecom of new york l.p. for local exchange service and exchange access	To review the terms and conditions of the negotiated agreement between Oriskany and tw telecom of new york l.p.
*PSC-29-09-00011-P exempt	Consideration of utility compliance filings	Consideration of utility compliance filings

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
*PSC-32-09-00009-P exempt	Cost allocation for Consolidated Edison's East River Repowering Project	To determine whether any changes are warranted in the cost allocation of Consolidated Edison's East River Repowering Project
*PSC-34-09-00016-P exempt	Recommendations made in the Management Audit Final Report	To consider whether to take action or recommendations contained in the Management Audit Final Report
*PSC-34-09-00017-P exempt	To consider the transfer of control of Plattsburgh Cablevision, Inc. d/b/a Charter Communications to CH Communications, LLC	To allow the Plattsburgh Cablevision, Inc. to distribute its equity interest in CH Communications, LLC
*PSC-36-09-00008-P exempt	The increase in the non-bypassable charge implemented by RG&E on June 1, 2009	Considering exemptions from the increase in the non-bypassable charge implemented by RG&E on June 1, 2009
*PSC-37-09-00015-P exempt	Sale of customer-generated steam to the Con Edison steam system	To establish a mechanism for sale of customer-generated steam to the Con Edison steam system
*PSC-37-09-00016-P exempt	Applicability of electronic signatures to Deferred Payment Agreements	To determine whether electronic signatures can be accepted for Deferred Payment Agreements
*PSC-39-09-00015-P exempt	Modifications to the \$5 Bill Credit Program	Consideration of petition of National Grid to modify the Low Income \$5 Bill Credit Program
*PSC-39-09-00018-P exempt	The offset of deferral balances with Positive Benefit Adjustments	To consider a petition to offset deferral balances with Positive Benefit Adjustments
*PSC-40-09-00013-P exempt	Uniform System of Accounts - request for deferral and amortization of costs	To consider a petition to defer and amortize costs
*PSC-51-09-00029-P exempt	Rules and guidelines for the exchange of retail access data between jurisdictional utilities and eligible ESCOs	To revise the uniform Electronic Data Interchange Standards and business practices to incorporate a contest period
*PSC-51-09-00030-P exempt	Waiver or modification of Capital Expenditure condition of merger	To allow the companies to expend less funds for capital improvement than required by the merger
*PSC-52-09-00006-P exempt	ACE's petition for rehearing for an order regarding generator-specific energy deliverability study methodology	To consider whether to change the Order Prescribing Study Methodology
*PSC-52-09-00008-P exempt	Approval for the New York Independent System Operator, Inc. to incur indebtedness and borrow up to \$50,000,000	To finance the renovation and construction of the New York Independent System Operator, Inc.'s power control center facilities
*PSC-05-10-00008-P exempt	Petition for the submetering of electricity	To consider the request of University Residences - Rochester, LLC to submeter electricity at 220 John Street, Henrietta, NY
*PSC-05-10-00015-P exempt	Petition for the submetering of electricity	To consider the request of 243 West End Avenue Owners Corp. to submeter electricity at 243 West End Avenue, New York, NY
*PSC-06-10-00022-P exempt	The Commission's Order of December 17, 2009 related to redevelopment of Consolidated Edison's Hudson Avenue generating facility	To reconsider the Commission's Order of December 17, 2009 related to redevelopment of the Hudson Avenue generating facility

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
*PSC-07-10-00009-P exempt	Petition to revise the Uniform Business Practices	To consider the RESA petition to allow rescission of a customer request to return to full utility service
*PSC-08-10-00007-P exempt	Whether to grant, deny, or modify , in whole or in part, the rehearing petition filed in Case 06-E-0847	Whether to grant, deny, or modify , in whole or in part, the rehearing petition filed in Case 06-E-0847
*PSC-08-10-00009-P exempt	Consolidated Edison of New York, Inc. energy efficiency programs	To modify approved energy efficiency programs
*PSC-12-10-00015-P exempt	Recommendations made by Staff intended to enhance the safety of Con Edison's gas operations	To require that Con Edison implement the Staff recommendations intended to enhance the safety of Con Edison's gas operations
*PSC-14-10-00010-P exempt	Petition for the submetering of electricity	To consider the request of 61 Jane Street Owners Corporation to submeter Electricity at 61 Jane Street, Manhattan, NY
*PSC-16-10-00005-P exempt	To consider adopting and expanding mobile stray voltage testing requirements	Adopt additional mobile stray voltage testing requirements
*PSC-16-10-00007-P exempt	Interconnection of the networks between TDS Telecom and PAETEC Communications for local exchange service and exchange access	To review the terms and conditions of the negotiated agreement between TDS Telecom and PAETEC Communications
*PSC-16-10-00015-P exempt	Interconnection of the networks between Frontier and Choice One Communications for local exchange service and exchange access	To review the terms and conditions of the negotiated agreement between Frontier and Choice One Communications
*PSC-18-10-00009-P exempt	Electric utility transmission right-of-way management practices	To consider electric utility transmission right-of-way management practices
*PSC-19-10-00022-P exempt	Whether National Grid should be permitted to transfer a parcel of property located at 1 Eddy Street, Fort Edward, New York	To decide whether to approve National Grid's request to transfer a parcel of vacant property in Fort Edward, New York
*PSC-22-10-00006-P exempt	Requirement that Noble demonstrate that its affiliated electric corporations operating in New York are providing safe service	Consider requiring that Noble demonstrate that its affiliated electric corporations in New York are providing safe service
*PSC-22-10-00008-P exempt	Petition for the submetering of electricity	To consider the request of 48-52 Franklin Street to submeter electricity at 50 Franklin Street, New York, New York
*PSC-24-10-00009-P exempt	Verizon New York Inc. tariff regulations relating to voice messaging service	To remove tariff regulations relating to retail voice messaging service from Verizon New York Inc.'s tariff
*PSC-25-10-00012-P exempt	Reassignment of the 2-1-1 abbreviated dialing code	Consideration of petition to reassign the 2-1-1 abbreviated dialing code
*PSC-27-10-00016-P exempt	Petition for the submetering of electricity	To consider the request of 9271 Group, LLC to submeter electricity at 960 Busti Avenue, Buffalo, New York
*PSC-34-10-00003-P exempt	The modification of Central Hudson Gas & Electric Corporation's Enhanced Powerful Opportunities Program	The modification of Central Hudson Gas & Electric Corporation's Enhanced Powerful Opportunities Program

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
*PSC-34-10-00005-P exempt	Approval of a contract for \$250,000 in tank repairs that may be a financing	To decide whether to approve a contract between the parties that may be a financing of \$250,000 for tank repairs
*PSC-34-10-00006-P exempt	The modification of Central Hudson Gas & Electric Corporation's Enhanced Powerful Opportunities Program	The modification of Central Hudson Gas & Electric Corporation's Enhanced Powerful Opportunities Program
*PSC-36-10-00010-P exempt	Central Hudson's procedures, terms and conditions for an economic development plan	Consideration of Central Hudson's procedures, terms and conditions for an economic development plan
*PSC-40-10-00014-P exempt	Disposition of a state sales tax refund	To determine how much of a state sales tax refund should be retained by National Grid
*PSC-40-10-00021-P exempt	Whether to permit the submetering of natural gas service to a commercial customer at Quaker Crossing Mall	To permit the submetering of natural gas service to a commercial customer at Quaker Crossing Mall
*PSC-41-10-00018-P exempt	Amount of hourly interval data provided to Hourly Pricing customers who have not installed a phone line to read meter	Allow Central Hudson to provide less than a years worth of interval data and charge for manual meter reading for some customers
*PSC-41-10-00022-P exempt	Request for waiver of the individual living unit metering requirements at 5742 Route 5, Vernon, NY	Request for waiver of the individual living unit metering requirements at 5742 Route 5, Vernon, NY
*PSC-42-10-00011-P exempt	Petition for the submetering of electricity	To consider the request of 4858 Group, LLC to submeter electricity at 456 Main Street, Buffalo, New York
*PSC-43-10-00016-P exempt	Utility Access to Ducts, Conduit Facilities and Utility Poles	To review the complaint from Optical Communications Group
*PSC-44-10-00003-P exempt	Third and fourth stage gas rate increase by Corning Natural Gas Corporation	To consider Corning Natural Gas Corporation's request for a third and fourth stage gas rate increase
*PSC-51-10-00018-P exempt	Commission proceeding concerning three-phase electric service by all major electric utilities	Investigate the consistency of the tariff provisions for three-phase electric service for all major electric utilities
*PSC-11-11-00003-P exempt	The proposed transfer of 55.42 acres of land and \$1.4 million of revenues derived from the rendition of public service	The proposed transfer of 55.42 acres of land and \$1.4 million of revenues derived from the rendition of public service
*PSC-13-11-00005-P exempt	Exclude the minimum monthly bill component from the earnings test calculation	Exclude the minimum monthly bill component from the earnings test calculation
*PSC-14-11-00009-P exempt	Petition for the submetering of electricity	To consider the request of 83-30 118th Street to submeter electricity at 83-30 118th Street, Kew Gardens, New York
*PSC-19-11-00007-P exempt	Utility price reporting requirements related to the Commission's "Power to Choose" website	Modify the Commission's utility electric commodity price reporting requirements related to the "Power to Choose" website
*PSC-20-11-00012-P exempt	Petition for the submetering of electricity	To consider the request of KMW Group LLC to submeter electricity at 122 West Street, Brooklyn, New York

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
*PSC-20-11-00013-P exempt	Determining the reasonableness of Niagara Mohawk Power Corporation d/b/a National Grid 's make ready charges	To determine if the make ready charges of Niagara Mohawk Power Corporation d/b/a National Grid are reasonable
*PSC-22-11-00004-P exempt	Whether to permit the use of the Sensus accWAVE for use in residential gas meter applications	To permit gas utilities in New York State to use the Sensus accWAVE diaphragm gas meter
*PSC-26-11-00007-P exempt	Water rates and charges	To approve an increase in annual revenues by about \$25,266 or 50%
*PSC-26-11-00009-P exempt	Petition for the submetering of electricity at commercial property	To consider the request of by Hoosick River Hardwoods, LLC to submeter electricity at 28 Taylor Avenue, in Berlin, New York
*PSC-26-11-00012-P exempt	Waiver of generation retirement notice requirements	Consideration of waiver of generation retirement notice requirements
*PSC-29-11-00011-P exempt	Petition requesting the Commission reconsider its May 19, 2011 Order and conduct a hearing, and petition to stay said Order.	To consider whether to grant or deny, in whole or in part, Windstream New York's Petition For Reconsideration and Rehearing.
*PSC-35-11-00011-P exempt	Whether to permit Consolidated Edison a waiver to commission regulations Part 226.8	Permit Consolidated Edison to conduct a inspection program in lieu of testing the accuracy of Category C meters
*PSC-36-11-00006-P exempt	To consider expanding mobile stray voltage testing requirements	Adopt additional mobile stray voltage testing requirements
*PSC-38-11-00002-P exempt	Operation and maintenance procedures pertaining to steam trap caps	Adopt modified steam operation and maintenance procedures
*PSC-38-11-00003-P exempt	Waiver of certain provisions of the electric service tariffs of Con Edison	Consideration of waiver of certain provisions of the electric service tariffs of Con Edison
*PSC-40-11-00010-P exempt	Participation of regulated local exchange carriers in the New York Data Exchange, Inc. (NYDE)	Whether to partially modify its order requiring regulated local exchange carriers' participation NYDE
*PSC-40-11-00012-P exempt	Granting of transfer of plant in-service to a regulatory asset	To approve transfer and recovery of unamortized plant investment
*PSC-42-11-00018-P exempt	Availability of telecommunications services in New York State at just and reasonable rates	Providing funding support to help ensure availability of affordable telecommunications service throughout New York
*PSC-43-11-00012-P exempt	Transfer of outstanding shares of stock	Transfer the issued outstanding shares of stock of The Meadows at Hyde Park Water-Works Corporation to HPWS, LLC
*PSC-47-11-00007-P exempt	Remedying miscalculations of delivered gas as between two customer classes	Consideration of Con Edison's proposal to address inter-class delivery imbalances resulting from past Company miscalculations
*PSC-48-11-00007-P exempt	Transfer of controlling interests in generation facilities from Dynegy to PSEG	Consideration of the transfer of controlling interests in electric generation facilities from Dynegy to PSEG
*PSC-48-11-00008-P exempt	Petition for the submetering of electricity	To consider the request of To Better Days, LLC to submeter electricity at 37 East 4th Street, New York, New York

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
*PSC-01-12-00007-P exempt	The New York State Reliability Council's revisions to its rules and measurements	To adopt revisions to various rules and measurements of the New York State Reliability Council
*PSC-01-12-00008-P exempt	Transfer of real property and easements from NMPNS to NMP3	Consideration of the transfer of real property and easements from NMPNS to NMP3
*PSC-01-12-00009-P exempt	Recovery of expenses related to the expansion of Con Edison's ESCO referral program, PowerMove	To determine how and to what extent expenses related to the Expansion of Con Edison's ESCO referral program should be recovered
*PSC-11-12-00002-P exempt	Whether to grant, deny or modify, in whole or part, Hegeman's petition for a waiver of Commission policy and Con Edison tariff	Whether to grant, deny or modify, in whole or part, Hegeman's petition for a waiver of Commission policy and Con Edison tariff
*PSC-11-12-00005-P exempt	Transfer of land and water supply assets	Transfer the land and associated water supply assets of Groman Shores, LLC to Robert Groman
*PSC-13-12-00005-P exempt	Authorization to transfer certain real property	To decide whether to approve the transfer of certain real property
*PSC-19-12-00023-P exempt	Petition for approval pursuant to Section 70 for the sale of goods with an original cost of less than \$100,000	To consider whether to grant, deny or modify, in whole or in part, the petition filed by Orange and Rockland Utilities, Inc.
*PSC-21-12-00006-P exempt	Tariff filing requirements and refunds	To determine if certain agreements should be filed pursuant to the Public Service Law and if refunds are warranted
*PSC-21-12-00011-P exempt	Whether to grant, deny or modify, in whole or part, the petition for waiver of tariff Rules 8.6 and 47	Whether to grant, deny or modify, in whole or part, the petition for waiver of tariff Rules 8.6 and 47
*PSC-23-12-00007-P exempt	The approval of a financing upon a transfer to Alliance of upstream ownership interests in a generation facility	To consider the approval of a financing upon a transfer to Alliance of upstream ownership interests in a generation facility
*PSC-23-12-00009-P exempt	Over earnings sharing between rate payers and shareholders	To establish an Earnings Sharing Mechanism to be applied following the conclusion of Corning's rate plan
*PSC-27-12-00012-P exempt	Implementation of recommendations made in a Management Audit Report	To consider implementation of recommendations made in a Management Audit Report
*PSC-28-12-00013-P exempt	Exemption of reliability reporting statistics for the purpose of the 2012 Reliability Performance Mechanism	Consideration of Orange and Rockland Utilities request for exemption of the 2012 reliability reporting statistics
*PSC-29-12-00019-P exempt	Waiver of 16 NYCRR 894.1 through 894.4	To allow the Town of Hamden to waive certain preliminary franchising procedures to expedite the franchising process.
*PSC-30-12-00010-P exempt	Waiver of 16 NYCRR 894.1 through 894.4	To allow the Town of Andes to waive certain preliminary franchising procedures to expedite the franchising process
*PSC-33-12-00009-P exempt	Telecommunications companies ability to attach to utility company poles	Consideration of Tech Valley's ability to attach to Central Hudson poles

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
*PSC-37-12-00009-P exempt	Proposed modification by Con Edison of its procedures to calculate estimated bills to its customers	Proposed modification by Con Edison of its procedures to calculate estimated bills to its customers
*PSC-42-12-00009-P exempt	Regulation of Gipsy Trail Club, Inc.'s long-term financing agreements	To exempt Gipsy Trail Club, Inc. from Commission regulation of its financing agreements
*PSC-45-12-00008-P exempt	Whether to grant, deny or modify, in whole or part, ESHG's petition for a waiver of Commission policy and RG&E tariff	Whether to grant, deny or modify, in whole or part, ESHG's petition for a waiver of Commission policy and RG&E tariff
*PSC-45-12-00010-P exempt	Whether to grant, deny or modify, in whole or in part the petition of Con Edison to grant easements to Millwood Fire District	Whether to grant, deny or modify, in whole or in part the petition of Con Edison to grant easements to Millwood Fire District
*PSC-50-12-00003-P exempt	Affiliate standards for Corning Natural Gas Corporation	To resolve issues raised by Corning Natural Gas Corporation in its petition for rehearing
*PSC-04-13-00006-P exempt	Expansion of mandatory day ahead hourly pricing for customers of Orange and Rockland Utilities with demands above 100 kW	To consider the expansion of mandatory day ahead hourly pricing for customers with demands above 100 kW
*PSC-04-13-00007-P exempt	Authorization to transfer certain real property.	To decide whether to approve the transfer of certain real property.
*PSC-06-13-00008-P exempt	Verizon New York Inc.'s retail service quality	To investigate Verizon New York Inc.'s retail service quality
*PSC-08-13-00012-P exempt	Filing requirements for certain Article VII electric facilities	To ensure that applications for certain electric transmission facilities contain pertinent information
*PSC-08-13-00014-P exempt	Uniform System of Accounts - Request for Accounting Authorization	To allow the company to defer an item of expense or capital beyond the end of the year in which it was incurred
*PSC-12-13-00007-P exempt	Protecting company water mains	To allow the company to require certain customers to make changes to the electrical grounding system at their homes
*PSC-13-13-00008-P exempt	The potential waiver of 16 NYCRR 255.9221(d) completion of integrity assessments for certain gas transmission lines.	To determine whether a waiver of the timely completion of certain gas transmission line integrity assessments should be granted.
*PSC-18-13-00007-P exempt	Whether Demand Energy Networks energy storage systems should be designated technologies for standby rate eligibility purposes	Whether Demand Energy Networks energy storage systems should be designated technologies for standby rate eligibility purposes
*PSC-21-13-00003-P exempt	To consider policies that may impact consumer acceptance and use of electric vehicles	To consider and further develop policies that may impact consumer acceptance and use of electric vehicles
*PSC-21-13-00005-P exempt	To implement an abandonment of Windover's water system	To approve the implementation of abandonment of Windover's water system
*PSC-21-13-00008-P exempt	Rates of National Fuel Gas Distribution Corporation	To make the rates of National Fuel Gas Distribution Corporation temporary, subject to refund, if they are found to be excessive

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
*PSC-21-13-00009-P exempt	Reporting requirements for natural gas local distribution companies	To help ensure efficient and economic expansion of the natural gas system as appropriate
*PSC-22-13-00009-P exempt	On remand from New York State court litigation, determine the recovery of certain deferred amounts owed NFG by ratepayers	On remand, to determine the recovery of certain deferral amounts owed NFG from ratepayers
*PSC-23-13-00005-P exempt	Waiver of partial payment, directory database distribution, service quality reporting, and service termination regulations	Equalize regulatory treatment based on level of competition and practical considerations
*PSC-25-13-00008-P exempt	To deny, grant or modify, in whole or in part, Central Hudson's rehearing request.	To deny, grant or modify, in whole or in part, Central Hudson's rehearing request.
*PSC-25-13-00009-P exempt	Provision by utilities of natural gas main and service lines.	To help ensure efficient and economic expansion of the natural gas system as appropriate.
*PSC-25-13-00012-P exempt	To deny, grant or modify, in whole or in part, Central Hudson's rehearing request.	To deny, grant or modify, in whole or in part, Central Hudson's rehearing request.
*PSC-27-13-00014-P exempt	Columbia Gas Transmission Corporation Cost Refund	For approval for temporary waiver of tariff provisions regarding its Columbia Gas Transmission Corporation cost refund.
*PSC-28-13-00014-P exempt	Provision for the recovery and allocation of costs of transmission projects that reduce congestion on certain interfaces	To consider the recovery and allocation of costs of transmission projects that reduce congestion on certain interfaces
*PSC-28-13-00016-P exempt	The request of NGT for lightened regulation as a gas corporation.	To consider whether to approve, reject, or modify the request of Niagara gas transport of Lockport, NY LLC.
*PSC-28-13-00017-P exempt	The request by TE for waiver of regulations requiring that natural gas be odorized in certain gathering line segments	Consider the request by TE for waiver of regulations that gas be odorized in certain lines
*PSC-32-13-00009-P exempt	To consider the definition of "misleading or deceptive conduct" in the Commission's Uniform Business Practices	To consider the definition of "misleading or deceptive conduct" in the Commission's Uniform Business Practices
*PSC-32-13-00012-P exempt	To consider whether NYSEG should be required to undertake actions to protect its name and to minimize customer confusion	To consider whether NYSEG should be required to undertake actions to protect its name and to minimize customer confusion
*PSC-33-13-00027-P exempt	Waive underground facility requirements for new construction in residential subdivisions to allow for overhead electric lines.	Determine whether Chapin Lumberland, LLC subdivision will be allowed overhead electric distribution and service lines.
*PSC-33-13-00029-P exempt	Deferral of incremental costs associated with the restoration of steam service following Superstorm Sandy.	To consider a petition by Con Edison to defer certain incremental steam system restoration costs relating to Superstorm Sandy.
*PSC-34-13-00004-P exempt	Escrow account and surcharge to fund extraordinary repairs	To approve the establishment of an escrow account and surcharge
*PSC-42-13-00013-P exempt	Failure to Provide Escrow Information	The closure of the Escrow Account
*PSC-42-13-00015-P exempt	Failure to Provide Escrow Information	The closure of the Escrow Account

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
*PSC-43-13-00015-P exempt	Petition for submetering of electricity	To consider the request of 2701 Kingsbridge Terrace L.P. to submeter electricity at 2701 Kingsbridge Terrace, Bronx, N.Y.
*PSC-45-13-00021-P exempt	Investigation into effect of bifurcation of gas and electric utility service on Long Island.	To consider a Petition for an investigation into effect of bifurcation of gas and electric utility service on Long Island.
*PSC-45-13-00022-P exempt	Waiver of PSC regulations, 16 NYCRR section 88.4(a)(4)	To consider a waiver of certain regulations relating to the content of an application for transmission line siting
*PSC-45-13-00023-P exempt	Waiver of PSC regulations, 16 NYCRR section 88.4(a)(4).	To consider a waiver of certain regulations relating to the content of an application for transmission line siting
*PSC-45-13-00024-P exempt	Waiver of PSC regulations, 16 NYCRR section 88.4(a)(4); waiver of filing deadlines.	To consider a waiver of certain regulations relating to the content of an application for transmission line siting
*PSC-45-13-00025-P exempt	Waiver of PSC regulations, 16 NYCRR section 88.4(a)(4).	To consider a waiver of certain regulations relating to the content of an application for transmission line siting
*PSC-47-13-00009-P exempt	Petition for submetering of electricity.	To consider the request of Hegeman Avenue Housing L.P. to submeter electricity at 39 Hegeman Avenue, Brooklyn, N.Y.
*PSC-47-13-00012-P exempt	Conditioning, restricting or prohibiting the purchase of services by NYSEG and RG&E from certain affiliates.	Consideration of conditioning, restricting or prohibiting the purchase of services by NYSEG and RG&E from certain affiliates.
*PSC-49-13-00008-P exempt	Authorization to transfer all of Crystal Water Supply Company, Inc. stocks to Essel Infra West Inc.	To allow Crystal Water Supply Company, Inc to transfer all of its issued and outstanding stocks to Essel Infra West Inc.
*PSC-51-13-00009-P exempt	Consolidated Edison proposing to use data from a test period ending September 30, 2013 to support its next rate filing.	To ensure there is a reasonable basis for data submitted in support of a request for a change in rates.
*PSC-51-13-00010-P exempt	Consolidated Edison proposing to use data from a test period ending September 30, 2013 to support its next rate filing.	To ensure there is a reasonable basis for data submitted in support of a request for a change in rates.
*PSC-51-13-00011-P exempt	Consolidated Edison proposing to use data from a test period ending September 30, 2013 to support its next rate filing.	To ensure there is a reasonable basis for data submitted in support of a request for a change in rates.
*PSC-52-13-00012-P exempt	The development of reliability contingency plan(s) to address the potential retirement of Indian Point Energy Center (IPEC).	To address the petition for rehearing and reconsideration/motion for clarification of the IPEC reliability contingency plan(s).
*PSC-52-13-00015-P exempt	To enter into a loan agreement with the banks for up to an amount of \$94,000.	To consider allowing Knolls Water Company to enter into a long-term loan agreement.
*PSC-05-14-00010-P exempt	The New York State Reliability Council's revisions to its rules and measurements	To adopt revisions to various rules and measurements of the New York State Reliability Council
*PSC-07-14-00008-P exempt	Petition for submetering of electricity	To consider the request of Greater Centennial Homes HDFC, Inc. to submeter electricity at 102, 103 and 106 W 5th Street, et al.

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
*PSC-07-14-00012-P exempt	Water rates and charges	Implementation of Long-Term Water Supply Surcharge to recover costs associated with the Haverstraw Water Supply Project
*PSC-08-14-00015-P exempt	Verizon New York Inc.'s service quality and Customer Trouble Report Rate (CTRR) levels at certain central office entities	To improve Verizon New York Inc.'s service quality and the Customer Trouble Report Rate levels at certain central office entities
*PSC-10-14-00006-P exempt	Actions to facilitate the availability of ESCO value-added offerings, ESCO eligibility and ESCO compliance	To facilitate ESCO value-added offerings and to make changes to ESCO eligibility and to ensure ESCO compliance
*PSC-11-14-00003-P exempt	Provision for the recovery and allocation of costs of transmission projects that reduce congestion on certain interfaces	To consider the recovery and allocation of costs of transmission projects that reduce congestion on certain interfaces
*PSC-16-14-00014-P exempt	Whether to order NYSEG to provide gas service to customers when an expanded CPCN is approved and impose PSL 25-a penalties.	To order gas service to customers in the Town of Plattsburgh after approval of a town wide CPCN and to impose penalties.
*PSC-16-14-00015-P exempt	Whether Central Hudson should be permitted to defer obligations of the Order issued on October 18, 2013 in Case 13-G-0336.	Consideration of the petition by Central Hudson to defer reporting obligations of the October 18, 2013 Order in Case 13-G-0336
*PSC-17-14-00003-P exempt	Con Edison's Report on its 2013 performance under the Electric Service Reliability Performance Mechanism	Con Edison's Report on its 2013 performance under the Electric Service Reliability Performance Mechanism
*PSC-17-14-00004-P exempt	To consider certain portions of petitions for rehearing, reconsideration and/or clarification	To consider certain portions of petitions for rehearing, reconsideration and/or clarification
*PSC-17-14-00007-P exempt	To consider petitions for rehearing, reconsideration and/or clarification	To consider petitions for rehearing, reconsideration and/or clarification
*PSC-17-14-00008-P exempt	To consider certain portions of petitions for rehearing, reconsideration and/or clarification	To consider certain portions of petitions for rehearing, reconsideration and/or clarification
*PSC-19-14-00014-P exempt	Market Supply Charge	To make tariff revisions to the Market Supply Charge for capacity related costs
*PSC-19-14-00015-P exempt	Whether to permit the use of the Sensus accuWAVE for use in residential and commercial gas meter applications	To permit gas utilities in New York State to use the Sensus accuWAVE 415TC gas meter
*PSC-22-14-00013-P exempt	Petition to transfer and merge systems, franchises and assets.	To consider the Comcast and Time Warner Cable merger and transfer of systems, franchises and assets.
*PSC-23-14-00010-P exempt	Whether to permit the use of the GE Dresser Series B3-HPC 11M-1480 rotary gas meter for use in industrial gas meter applications	To permit gas utilities in New York State to use the GE Dresser Series B3-HPC 11M-1480 rotary gas meter
*PSC-23-14-00014-P exempt	Waiver of the negative revenue adjustment associated with KEDLI's 2013 Customer Satisfaction Performance Metric	Consideration of KEDLI's waiver request pertaining to its 2013 performance under its Customer Satisfaction Metric
*PSC-24-14-00005-P exempt	To examine LDC's performance and performance measures.	To improve gas safety performance.
*PSC-26-14-00013-P exempt	Waiver of RG&E's tariffed definition of emergency generator.	To consider waiver of RG&E's tariffed definition of emergency generator.

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
*PSC-26-14-00020-P exempt	New electric utility backup service tariffs and standards for interconnection may be adopted.	To encourage development of microgrids that enhance the efficiency, safety, reliability and resiliency of the electric grid.
*PSC-26-14-00021-P exempt	Consumer protections, standards and protocols pertaining to access to customer data may be established.	To balance the need for the information necessary to support a robust market with customer privacy concerns.
*PSC-28-14-00014-P exempt	Petition to transfer systems, franchises and assets.	To consider the Comcast and Charter transfer of systems, franchise and assets.
*PSC-30-14-00023-P exempt	Whether to permit the use of the Sensus iPERL Fire Flow Meter.	Pursuant to 16 NYCRR Part 500.3 , it is necessary to permit the use of the Sensus iPERL Fire Flow Meter.
*PSC-30-14-00026-P exempt	Petition for a waiver to master meter electricity.	Considering the request of Renaissance Corporation of to master meter electricity at 100 Union Drive,Albany, NY.
*PSC-31-14-00004-P exempt	To transfer 100% of the issued and outstanding stock from Vincent Cross to Bonnie and Michael Cross	To transfer 100% of the issued and outstanding stock from Vincent Cross to Bonnie and Michael Cross
*PSC-32-14-00012-P exempt	Whether to grant or deny, in whole or in part, the Connect New York Coalition's petition	To consider the Connect New York Coalition's petition seeking a formal investigation and hearings
*PSC-35-14-00004-P exempt	Regulation of a proposed electricity generation facility located in the Town of Brookhaven, NY	To consider regulation of a proposed electricity generation facility located in the Town of Brookhaven, NY
*PSC-35-14-00005-P exempt	Whether to permit the use of the Sensus iConA electric meter	Pursuant to 16 NYCRR Parts 92 and 93, Commission approval is necessary to permit the use of the Sensus iConA electric meter
*PSC-36-14-00009-P exempt	Modification to the Commission's Electric Safety Standards.	To consider revisions to the Commission's Electric Safety Standards.
*PSC-38-14-00003-P exempt	Whether to approve, reject or modify, in whole or in part a time-sensitive rate pilot program.	Whether to approve, reject or modify, in whole or in part a time-sensitive rate pilot program.
*PSC-38-14-00004-P exempt	The study and petition of Con Edison regarding use, accounting and ratemaking treatment for 11-23 and 2-28 Hudson Ave. Brooklyn.	The study and petition of Con Edison regarding use, accounting and ratemaking treatment for 11-23 and 2-28 Hudson Ave. Brooklyn.
*PSC-38-14-00005-P exempt	Action on the report and petition of Con Edison regarding the Storm Hardening and Resiliency Collaborative, Phase 2.	Action on the report and petition of Con Edison regarding the Storm Hardening and Resiliency Collaborative, Phase 2.
*PSC-38-14-00007-P exempt	Whether to expand Con Edison's low income program to include Medicaid recipients.	Whether to expand Con Edison's low income program to include Medicaid recipients.
*PSC-38-14-00008-P exempt	The study and petition of Con Edison regarding use, accounting and ratemaking treatment for 11-23 and 2-28 Hudson Ave. Brooklyn.	The study and petition of Con Edison regarding use, accounting and ratemaking treatment for 11-23 and 2-28 Hudson Ave. Brooklyn.
*PSC-38-14-00010-P exempt	Inter-carrier telephone service quality standard and metrics and administrative changes.	To review recommendations from the Carrier Working Group and incorporate appropriate modifications to the existing Guidelines.

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
*PSC-38-14-00012-P exempt	Action on the report and petition of Con Edison regarding the Storm Hardening and Resiliency Collaborative, Phase 2.	Action on the report and petition of Con Edison regarding the Storm Hardening and Resiliency Collaborative, Phase 2.
*PSC-39-14-00020-P exempt	Whether to permit the use of the Mueller Systems 400 Series and 500 Series of water meters	Pursuant to 16 NYCRR section 500.3, whether to permit the use of the Mueller Systems 400, and 500 Series of water meters
*PSC-40-14-00008-P exempt	To consider granting authorization for Buy Energy Direct to resume marketing to residential customers.	To consider granting authorization for Buy Energy Direct to resume marketing to residential customers.
*PSC-40-14-00009-P exempt	Whether to permit the use of the Itron Open Way Centron Meter with Hardware 3.1 for AMR and AMI functionality.	Pursuant to 16 NYCRR Parts 93, is necessary to permit the use of the Itron Open Way Centron Meter with Hardware 3.1.
*PSC-40-14-00011-P exempt	Late Payment Charge.	To modify Section 7.6 - Late Payment Charge to designate a specific time for when a late payment charge is due.
*PSC-40-14-00013-P exempt	Regulation of a proposed natural gas pipeline and related facilities located in the Town of Ticonderoga, NY.	To consider regulation of a proposed natural gas pipeline and related facilities located in the Town of Ticonderoga, NY.
*PSC-40-14-00014-P exempt	Waiver of 16 NYCRR Sections 894.1 through 894.4(b)(2)	To allow the Town of Goshen, NY, to waive certain preliminary franchising procedures to expedite the franchising process.
*PSC-40-14-00015-P exempt	Late Payment Charge.	To modify Section 6.6 - Late Payment Charge to designate a specific time for when a late payment charge is due.
*PSC-42-14-00003-P exempt	Annual Reconciliation of Gas Expenses and Gas Cost Recoveries	The filings of various LDCs and municipalities regarding their Annual Reconciliation of Gas Expenses and Gas Cost Recoveries
*PSC-42-14-00004-P exempt	Winter Bundled Sales Service Option	To modify SC-11 to remove language relating to fixed storage charges in the determination of the Winter Bundled Sales charge
*PSC-48-14-00014-P exempt	Considering the recommendations contained in Staff's electric outage investigation report for MNRR, New Haven Line.	To consider the recommendations contained in Staff's electric outage investigation report for MNRR, New Haven Line.
*PSC-52-14-00019-P exempt	Petition for a waiver to master meter electricity.	Considering the request of 614 South Crouse Avenue, LLC to master meter electricity at 614 South Crouse Avenue, Syracuse, NY..
*PSC-01-15-00014-P exempt	State Universal Service Fund Disbursements	To consider Edwards Telephone Company's request for State Universal Service Fund disbursements
*PSC-08-15-00009-P exempt	Approval of a surcharge.	To allow or disallow Emerald Green Lake Louise Marie Water Company, Inc. for a surcharge.
*PSC-08-15-00010-P exempt	Request pertaining to the lawfulness of National Grid USA continuing its summary billing program.	To grant, deny, or modify URAC Rate Consultants' request that National Grid cease its summary billing program.

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
*PSC-10-15-00007-P exempt	Notification concerning tax refunds	To consider Verizon New York Inc.'s partial rehearing or reconsideration request regarding retention of property tax refunds
*PSC-10-15-00008-P exempt	Whether to waive Policy on Test Periods in Major Rate Proceedings and provide authority to file tariff changes	Whether to waive Policy on Test Periods in Major Rate Proceedings and provide authority to file tariff changes
*PSC-13-15-00024-P exempt	Whether Leatherstocking should be permitted to recover a shortfall in earnings	To decide whether to approve Leatherstocking's request to recover a shortfall in earnings
*PSC-13-15-00026-P exempt	Whether to permit the use of the Sensus Smart Point Gas AMR/AMI product	To permit the use of the Sensus Smart Point Gas AMR/AMI product
*PSC-13-15-00027-P exempt	Whether to permit the use of the Measurlogic DTS 310 electric submeter	To permit the use of the Measurlogic DTS 310 submeter
*PSC-13-15-00028-P exempt	Whether to permit the use of the SATEC EM920 electric meter	To permit necessary to permit the use of the SATEC EM920 electric meter
*PSC-13-15-00029-P exempt	Whether to permit the use the Triacta Power Technologies 6103, 6112, 6303, and 6312 electric submeters	To permit the use of the Triacta submeters
*PSC-17-15-00007-P exempt	To consider the petition of Leatherstocking Gas Company, LLC seeking authority to issue long-term debt of \$2.75 million	To consider the petition of Leatherstocking Gas Company, LLC seeking authority to issue long-term debt of \$2.75 million
*PSC-18-15-00005-P exempt	Con Edison's Report on its 2014 performance under the Electric Service Reliability Performance Mechanism	Con Edison's Report on its 2014 performance under the Electric Service Reliability Performance Mechanism
*PSC-19-15-00011-P exempt	Gas Safety Performance Measures and associated negative revenue adjustments	To update the performance measures applicable to KeySpan Gas East Corporation d/b/a National Grid
*PSC-22-15-00015-P exempt	To consider the request for waiver of the individual residential unit meter requirements and 16 NYCRR 96.1(a)	To consider the request for waiver of the individual residential unit meter requirements and 16 NYCRR 96.1(a)
*PSC-23-15-00005-P exempt	The modification of New York American Water's current rate plan	Whether to adopt the terms of the Joint Proposal submitted by NYAW and DPS Staff
*PSC-23-15-00006-P exempt	The modification of New York American Water's current rate plan	Whether to adopt the terms of the Joint Proposal submitted by NYAW and DPS Staff
*PSC-25-15-00008-P exempt	Notice of Intent to Submeter electricity.	To consider the request of 165 E 66 Residences, LLC to submeter electricity at 165 East 66th Street, New York, New York.
*PSC-29-15-00025-P exempt	Joint Petition for authority to transfer real property located at 624 West 132nd Street, New York, NY	Whether to authorize the proposed transfer of real property located at 624 West 132nd Street, New York, NY
*PSC-32-15-00006-P exempt	Development of a Community Solar Demonstration Project.	To approve the development of a Community Solar Demonstration Project.
*PSC-33-15-00009-P exempt	Remote net metering of a demonstration community net metering program.	To consider approval of remote net metering of a demonstration community net metering program.

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
*PSC-33-15-00012-P exempt	Remote net metering of a Community Solar Demonstration Project.	To consider approval of remote net metering of a Community Solar Demonstration Project.
*PSC-34-15-00021-P exempt	Petition by NYCOM requesting assistance with obtaining information on CLECs and ESCOs	To consider the petition by NYCOM requesting assistance with obtaining information on CLECs and ESCOs
*PSC-35-15-00014-P exempt	Consideration of consequences against Light Power & Gas, LLC for violations of the UBP	To consider consequences against Light Power & Gas, LLC for violations of the UBP
*PSC-37-15-00007-P exempt	Submetered electricity	To consider the request of 89 Murray Street Ass. LLC, for clarification of the submetering order issued December 20, 2007
*PSC-40-15-00014-P exempt	Whether to permit the use of the Open Way 3.5 with cellular communications	To consider the use of the Open Way 3.5 electric meter, pursuant to 16 NYCRR Parts 92 and 93
*PSC-42-15-00006-P exempt	Deferral of incremental expenses associated with NERC's new Bulk Electric System (BES) compliance requirements approved by FERC.	Consideration of Central Hudson's request to defer incremental expenses associated with new BES compliance requirements.
*PSC-44-15-00028-P exempt	Deferral of incremental expenses associated with new compliance requirements	Consideration of Central Hudson's request to defer incremental expenses associated with new compliance requirements
*PSC-47-15-00013-P exempt	Whitepaper on Implementing Lightened Ratemaking Regulation.	Consider Whitepaper on Implementing Lightened Ratemaking Regulation.
*PSC-48-15-00010-P exempt	Lightened and incidental regulation of a 55 MW electric and steam generating facility.	Consider the lightened and incidental regulation of a 55 MW electric and steam generating facility.
*PSC-48-15-00011-P exempt	Proposal to retire Huntley Units 67 and 68 on March 1, 2016.	Consider the proposed retirement of Huntley Units 67 and 68.
*PSC-50-15-00006-P exempt	The reduction of rates.	To consider the reduction of rates charged by Independent Water Works, Inc.
*PSC-50-15-00009-P exempt	Notice of Intent to submeter electricity.	To consider the request to submeter electricity at 31-33 Lincoln Road and 510 Flatbush Avenue, Brooklyn, New York.
*PSC-51-15-00010-P exempt	Modification of the EDP	To consider modifying the EDP
*PSC-01-16-00005-P exempt	Proposed amendment to Section 5, Attachment 1.A of the Uniform Business Practices	To consider amendment to Section 5, Attachment 1.A of the Uniform Business Practices
*PSC-04-16-00007-P exempt	Whether Hamilton Municipal Utilities should be permitted to construct and operate a municipal gas distribution facility.	Consideration of the petition by Hamilton Municipal Utilities to construct and operate a municipal gas distribution facility.
*PSC-04-16-00012-P exempt	Proposal to mothball three gas turbines located at the Astoria Gas Turbine Generating Station.	Consider the proposed mothball of three gas turbines located at the Astoria Gas Turbine Generating Station.
*PSC-04-16-00013-P exempt	Proposal to find that three gas turbines located at the Astoria Gas Turbine Generating Station are uneconomic.	Consider whether three gas turbines located at the Astoria Gas Turbine Generating Station are uneconomic.

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
*PSC-06-16-00013-P exempt	Continued deferral of approximately \$16,000,000 in site investigation and remediation costs.	To consider the continued deferral of approximately \$16,000,000 in site investigation and remediation costs.
*PSC-06-16-00014-P exempt	MEGA's proposed demonstration CCA program.	To consider MEGA's proposed demonstration CCA program.
*PSC-14-16-00008-P exempt	Resetting retail markets for ESCO mass market customers.	To ensure consumer protections with respect to residential and small non-residential ESCO customers.
*PSC-18-16-00013-P exempt	Amendments to the Uniform Business Practices of ESCOs.	To ensure consumer protection for ESCO customers.
*PSC-18-16-00014-P exempt	Amendments to the Uniform Business Practices of ESCOs.	To ensure consumer protection for ESCO customers.
*PSC-18-16-00015-P exempt	Petitions for rehearing of the Order Resetting Retail Energy Markets and Establishing Further Process.	To ensure consumer protections for ESCO customers.
*PSC-18-16-00016-P exempt	Amendments to the Uniform Business Practices of ESCOs.	To ensure consumer protection for ESCO customers.
*PSC-18-16-00018-P exempt	Amendments to the Uniform Business Practices of ESCOs.	To ensure consumer protection for ESCO customers.
*PSC-20-16-00008-P exempt	Consideration of consequences against Global Energy Group, LLC for violations of the Uniform Business Practices (UBP).	To consider consequences against Global Energy Group, LLC for violations of the Uniform Business Practices (UBP).
*PSC-20-16-00010-P exempt	Deferral and recovery of incremental expense.	To consider deferring costs of conducting leak survey and repairs for subsequent recovery.
*PSC-20-16-00011-P exempt	Enetics LD-1120 Non-Intrusive Load Monitoring Device in the Statewide Residential Appliance Metering Study.	To consider the use of the Enetics LD-1120 Non-Intrusive Load Monitoring Device.
*PSC-24-16-00009-P exempt	Petition to submeter gas service.	To consider the Petition of New York City Economic Development Corp. to submeter gas at Pier 17, 89 South Street, New York, NY.
*PSC-25-16-00009-P exempt	To delay Companies' third-party assessments of customer personally identifiable information until 2018.	To extend the time period between the Companies' third-party assessments of customer personally identifiable information.
*PSC-25-16-00024-P exempt	Pole Attachment Rules.	To determine that the Commission's existing pole attachment rules apply to wireless providers.
*PSC-25-16-00025-P exempt	Acquisition of all water supply assets of Woodbury Heights Estates Water Co., Inc. by the Village of Kiryas Joel.	To consider acquisition of all water supply assets of Woodbury Heights Estates Water Co., Inc. by the Village of Kiryas Joel.
*PSC-25-16-00026-P exempt	Use of the Badger E Series Ultrasonic Cold Water Stainless Steel Meter, in residential fire service applications.	To consider the use of the Badger E Series Ultrasonic Cold Water Stainless Steel Meter in fire service applications.
*PSC-28-16-00017-P exempt	A petition for rehearing of the Order Adopting a Ratemaking and Utility Revenue Model Policy Framework.	To determine appropriate rules for and calculation of the distributed generation reliability credit.

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
*PSC-29-16-00024-P exempt	Participation of NYPA customers in surcharge-funded clean energy programs.	To consider participation of NYPA customers in surcharge-funded clean energy programs.
*PSC-32-16-00012-P exempt	Benefit-Cost Analysis Handbooks.	To evaluate proposed methodologies of benefit-cost evaluation.
*PSC-33-16-00001-EP exempt	Use of escrow funds for repairs.	To authorize the use of escrow account funds for repairs.
*PSC-33-16-00005-P exempt	Exemption from certain charges for delivery of electricity to its Niagara Falls, New York facility.	Application of System Benefits Charges, Renewable Portfolio Standard charges and Clean Energy Fund surcharges.
*PSC-35-16-00015-P exempt	NYSRC's revisions to its rules and measurements	To consider revisions to various rules and measurements of the NYSRC
*PSC-36-16-00004-P exempt	Recovery of costs for installation of electric service.	To consider the recovery of costs for installation of electric service.
*PSC-40-16-00025-P exempt	Consequences pursuant to the Commission's Uniform Business Practices (UBP).	To consider whether to impose consequences on Smart One for its apparent non-compliance with Commission requirements.
*PSC-47-16-00009-P exempt	Petition to use commercial electric meters	To consider the petition of Itron, Inc. to use the Itron CP2SO and CP2SOA in commercial electric meter applications
*PSC-47-16-00010-P exempt	Standby Service rate design	To consider the report filed and the recommendations therein
*PSC-47-16-00013-P exempt	Standby Service rate design	To consider the report filed and the recommendations therein
*PSC-47-16-00014-P exempt	Standby Service rate design	To consider the report filed and the recommendations therein
*PSC-47-16-00016-P exempt	Standby Service rate design	To consider the report filed and the recommendations therein
*PSC-02-17-00010-P exempt	Implementation of the four EAMs.	To consider the implementation of EAMs for RG&E.
*PSC-02-17-00012-P exempt	Implementation of the four EAMs.	To consider the implementation of EAMs for NYSEG.
*PSC-14-17-00017-P exempt	Petition for Full-Scale Deployment of AMI and to Establish an AMI Surcharge.	To consider the petition for Full-Scale Deployment of AMI and to Establish an AMI Surcharge.
*PSC-18-17-00024-P exempt	A petition for rehearing or reconsideration of the Order Addressing Public Policy Transmission Need for AC Transmission Upgrades	To determine whether Public Policy Transmission Need/Public Policy Requirements continue to exist.
*PSC-18-17-00026-P exempt	Revisions to the Dynamic Load Management surcharge.	To consider revisions to the Dynamic Load Management surcharge.
*PSC-19-17-00004-P exempt	NYAW's request to defer and amortize, for future rate recognition, pension settlement payout losses incurred in 2016.	Consideration of NYAW's petition to defer and amortize, for future rate recognition, pension payout losses incurred in 2016.

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
*PSC-20-17-00008-P exempt	Compressed natural gas as a motor fuel for diesel fueled vehicles.	To consider a report filed by National Grid NY regarding the potential for adoption of compressed natural gas as a motor fuel.
*PSC-20-17-00010-P exempt	Compressed natural gas as a motor fuel for diesel fueled vehicles.	To consider a report filed by National Grid regarding the potential for adoption of compressed natural gas as a motor fuel.
*PSC-21-17-00013-P exempt	The establishment and implementation of Earnings Adjustment Mechanisms.	To consider the establishment and implementation of Earnings Adjustment Mechanisms.
*PSC-21-17-00018-P exempt	Proposed agreement for the provision of water service by Saratoga Water Services, Inc.	To consider a waiver and approval of terms of a service agreement.
*PSC-22-17-00004-P exempt	Financial incentives to create customer savings and develop market-enabling tools, with a focus on outcomes and incentives	To consider the proposed Interconnection Survey Process and Earnings Adjustment Mechanisms
*PSC-23-17-00022-P exempt	Changes in regulation of ESCOs, including restrictions on or prohibitions of marketing or offering certain products or services.	To ensure consumer protection for ESCO customers.
*PSC-24-17-00006-P exempt	Development of the Utility Energy Registry.	Improved data access.
*PSC-24-17-00014-P exempt	An alternative methodology for calculating billing adjustments.	To consider an alternative methodology for calculating billing adjustments.
*PSC-26-17-00005-P exempt	Notice of Intent to submeter electricity.	To consider the Notice of Intent to submeter electricity at 125 Waverly Street, Yonkers, New York.
*PSC-31-17-00010-P exempt	Notice of intent to submeter electricity	To consider the notice of intent of 11737 Owners Corp. to submeter electricity at 117 East 37th Street, New York, New York
*PSC-33-17-00013-P exempt	The obligation of electric utilities to pay for the extension of electric service to residential developments.	To consider if National Grid should change its practices and compensate the petitioners for past charges.
*PSC-34-17-00011-P exempt	Waiver to permit Energy Cooperative of America to serve low-income customers	To consider the petition for a waiver
*PSC-37-17-00005-P exempt	Financial incentives to create customer savings and develop market-enabling tools, with a focus on outcomes and incentives.	To consider the revised Interconnection Survey Process and Earnings Adjustment Mechanisms.
*PSC-37-17-00006-P exempt	Petition to submeter electricity.	To consider the petition of ACC OP (Park Point SU) LLC to submeter electricity at 417 Comstock Avenue, Syracuse, New York.
*PSC-39-17-00009-P exempt	Whether a proposed agreement for the provision of water service by Saratoga Water Services, Inc. is in the public interest.	To consider the terms of a service agreement and waiver.
*PSC-39-17-00011-P exempt	Whether to direct New York State Electric & Gas to complete electric facility upgrades at no charge to Hanehan.	To determine financial responsibility between NYSEG and Hanehan for the electric service upgrades to Hanehan.

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
*PSC-40-17-00006-P exempt	The aggregation of electric service for the Empire State Plaza and the Sheridan Avenue Steam Plant	To consider a waiver of National Grid's tariff provision requiring all electric delivery points to be on the same premises
*PSC-42-17-00010-P exempt	Petition for rehearing of negative revenue adjustment and contents of annual Performance Report.	To consider NFGD's petition for rehearing.
*PSC-46-17-00013-P exempt	Annual Reconciliation of Gas Expenses and Gas Cost Recoveries	To consider filings of LDCs and municipalities regarding their Annual Reconciliation of Gas Expenses and Gas Cost Recoveries
PSC-48-17-00015-P exempt	Low Income customer options for affordable water bills.	To consider the Low Income Bill Discount and/or Energy Efficiency Rebate Programs.
PSC-50-17-00017-P exempt	New Wave Energy Corp.'s petition for rehearing.	To consider the petition for rehearing filed by New Wave Energy Corp.
PSC-50-17-00018-P exempt	Application of the Public Service Law to DER suppliers.	To determine the appropriate regulatory framework for DER suppliers.
PSC-50-17-00019-P exempt	Transfer of utility property.	To consider the transfer of utility property.
PSC-50-17-00021-P exempt	Disposition of tax refunds and other related matters.	To consider the disposition of tax refunds and other related matters.
PSC-50-17-00022-P exempt	Data protection rules for DER suppliers.	To determine the appropriate regulatory framework for DER suppliers.
PSC-51-17-00007-P exempt	Opt-out tariff regarding installation of advanced digital metering devices in Central Hudson's service territory.	To determine the appropriate opt-out provisions for Central Hudson customers regarding advanced digital metering devices.
PSC-51-17-00011-P exempt	Petition for recovery of certain costs related to the implementation of a Non-Wires Alternative Project.	To consider Con Edison's petition for the recovery of costs for implementing the JFK Project.
PSC-51-17-00015-P exempt	Opt-out tariff regarding installation of advanced digital metering devices in Central Hudson's service territory.	To determine the appropriate opt-out provisions for Central Hudson customers regarding advanced digital metering devices.
PSC-52-17-00014-P exempt	Oversight and support of Clean Energy Fund and related NYSEDA activities	To support and monitor the deployment of clean energy technology
PSC-04-18-00003-P exempt	Minor rate filing.	To consider a proposal for an increase in total annual revenues of approximately \$136,634, or 11.4%.
PSC-04-18-00005-P exempt	Notice of intent to submeter electricity.	To consider the notice of intent of Montante/Morgan Gates Circle LLC to submeter electricity.
PSC-05-18-00004-P exempt	Lexington Power's ZEC compliance obligation.	To promote and maintain renewable and zero-emission electric energy resources.
PSC-05-18-00005-P exempt	Distributed Generation (DG), Natural Gas Vehicle (NGV) and Prime-WNY Pilot Programs.	To consider to make permanent the DG and NGV Pilot Programs and continue the Prime-WNY Pilot Program with modifications.

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
PSC-06-18-00012-P exempt	To consider further proposed amendments to the original criteria to grandfathering established in the Transition Plan	To modify grandfathering criteria
PSC-06-18-00017-P exempt	Merger of NYAW and Whitlock Farms Water Corp.	To consider the merger of NYAW and Whitlock Farms Water Company into a single corporate entity
PSC-07-18-00015-P exempt	The accuracy and reasonableness of National Grid's billing for certain interconnection upgrades.	To consider AEC's petition requesting resolution of their billing dispute with National Grid.
PSC-07-18-00016-P exempt	Notice of intent to submeter electricity.	To consider the notice of intent of Silo Ridge Condo Association to submeter electricity.
PSC-09-18-00010-P exempt	Use of the Itron OpenWay Riva CENTRON Polyphase CP2SRA electric meter	To ensure that consumers bills are based on accurate measurements of electric usage
PSC-09-18-00013-P exempt	Proposed use of electronic signatures for Deferred Payment Agreements	To make it easier for customers to obtain Deferred Payment Agreements
PSC-11-18-00004-P exempt	New York State Lifeline Program.	To consider TracFone's petition seeking approval to participate in Lifeline.
PSC-13-18-00014-P exempt	Commission oversight of distributed energy resource suppliers	To protect customers and utilities in the receipt of services from distributed energy resource suppliers
PSC-13-18-00015-P exempt	Eligibility of an ESCO to market to and enroll residential customers.	To consider whether Astral should be allowed to market to and enroll residential customers following a suspension.
PSC-13-18-00023-P exempt	Reconciliation of property taxes.	To consider NYAW's request to reconcile property taxes.
PSC-14-18-00006-P exempt	Petition for abandonment	To consider the abandonment of Willsboro Bay Water Company's water system
PSC-15-18-00008-P exempt	Amendments to the Uniform Business Practices.	Consideration of revised consumer protections and business practices of energy service companies.
PSC-17-18-00010-P exempt	Petition for use of gas metering equipment.	To ensure that consumer bills are based on accurate measurements of gas usage.
PSC-17-18-00012-P exempt	Residential Electric Vehicle Charging.	To effectuate service enhancements mandated by State Legislation.
PSC-17-18-00013-P exempt	Residential Electric Vehicle Charging.	To effectuate service enhancements mandated by State Legislation.
PSC-17-18-00014-P exempt	Residential Electric Vehicle Charging.	To effectuate service enhancements mandated by State Legislation.
PSC-18-18-00008-P exempt	Residential Electric Vehicle Charging	To effectuate service enhancements mandated by State Legislation
PSC-18-18-00009-P exempt	Transfer of control of Keene Valley Video Inc.	To ensure performance in accordance with applicable cable laws, regulations and standards and the public interest

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
PSC-18-18-00011-P exempt	Residential Electric Vehicle Charging	To effectuate service enhancements mandated by State Legislation
PSC-18-18-00012-P exempt	Residential Electric Vehicle Charging	To effectuate service enhancements mandated by State Legislation
PSC-18-18-00013-P exempt	Motion requesting program eligibility clarification and to make clarifying edits related to Case 16-E-0060 and Rider Q	To test standby rate designs to facilitate distributed generation growth
PSC-19-18-00005-P exempt	Whether Flanders' can market to and enroll new customers.	To protect customers by enforcing compliance with the Uniform Business Practices.
PSC-20-18-00008-P exempt	Proposed major rate increase in O&R's gas delivery revenues of approximately \$4.5 million (or 1.5% in total revenues)	To ensure safe and adequate service at just and reasonable rates charged to customers without undue preferences
PSC-20-18-00009-P exempt	Proposed major rate increase in O&R's electric delivery revenues of approximately \$20.3 million (or 2.3% in total revenues)	To ensure safe and adequate service at just and reasonable rates charged to customers without undue preferences
PSC-21-18-00044-P exempt	Electric ratemaking policy for Direct Current Fast Charging (DCFC) facilities used to recharge electric vehicles.	To ensure just and reasonable rates in the context of charging electric vehicles.
PSC-22-18-00006-P exempt	Intent to submeter electricity and requested waiver of the energy audit requirement	To ensure adequate submetering equipment, consumer protections, and energy efficiency protections are in place
PSC-22-18-00007-P exempt	Intent to submeter electricity	To ensure adequate submetering equipment and consumer protections are in place
PSC-22-18-00008-P exempt	Intent to submeter electricity	To ensure adequate submetering equipment and consumer protections are in place
PSC-23-18-00006-P exempt	Whether to impose consequences on Aspiry for its non-compliance with Commission requirements.	To ensure the provision of safe and adequate energy service at just and reasonable rates.
PSC-23-18-00010-P exempt	Community Distributed Generation project rules.	To ensure just and reasonable rates, including compensation, for distributed energy resources.
PSC-23-18-00011-P exempt	Proposed rate filing in Hudson Valley Water Companies, Inc.'s annual revenues of approximately \$24,370 or 13.0%.	To ensure safe and adequate service at just and reasonable rates charged to customers without undue preferences.
PSC-23-18-00014-P exempt	Proposed major rate increase of approximately \$11.7 million to cover its Franklin and St. Lawrence Counties expansion project.	To ensure safe and adequate service at just and reasonable rates charged to customers without undue preferences.
PSC-23-18-00015-P exempt	Appointment of a new temporary operator of the Painted Apron Water Co.	To ensure the provision of safe and reliable water service.
PSC-24-18-00013-P exempt	Implementation of program rules for Renewable Energy Standard and ZEC requirements.	To promote and maintain renewable and zero-emission electric energy resources.
PSC-24-18-00015-P exempt	To determine the use of investments to be made in lieu of a penalty action.	Commission oversight of electric and gas distribution companies and safe and adequate service.

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
PSC-24-18-00016-P exempt	Use of Artech models VCE-7 and CID-17 medium voltage class metering instrument transformers.	To ensure that consumer bills are based on accurate measurements of electric usage.
PSC-26-18-00010-P exempt	Change NFG's Tariff No. 9 and associated accounting issues related to the 2017 Tax Act.	Determine if NFG's proposal to provide a refund net of an equity ratio adjustment is appropriate.
PSC-26-18-00013-P exempt	Petition to submeter electricity.	To ensure adequate submetering equipment and consumer protections.
PSC-26-18-00015-P exempt	Notice of intent to submeter electricity.	To ensure adequate submetering equipment and consumer protections.
PSC-27-18-00005-P exempt	Petition to submeter electricity	To ensure adequate submetering equipment and consumer protections
PSC-27-18-00006-P exempt	Petition to submeter electricity	To ensure adequate submetering equipment and consumer protections
PSC-28-18-00004-P exempt	The 2017 Outcome-based EAM Collaborative Report.	To ensure the establishment of fair and equitable metrics, targets and associated incentive levels.
PSC-28-18-00005-P exempt	Petition to submeter electricity.	To ensure adequate submetering equipment and energy efficiency protections are in place.
PSC-28-18-00006-P exempt	Roadmap recommendations for the installation of qualified energy storage systems.	To encourage energy storage deployment and establish a 2030 target and deployment program.
PSC-28-18-00007-P exempt	Proposed rate filing to increase annual revenues.	To ensure safe and adequate service at just and reasonable rates charged to customers without undue preferences.
PSC-28-18-00010-P exempt	Transfer of utility pole ownership.	To ensure just and fair share of the cost required to install and maintain the joint use poles for both companies and customers.
PSC-28-18-00011-P exempt	Storm Hardening Collaborative Report.	To ensure safe and adequate gas service.
PSC-28-18-00012-P exempt	Transfer of certain street lighting facilities.	To transfer street lighting facilities for the benefit of ratepayers.
PSC-28-18-00013-P exempt	Notice of intent to submeter electricity.	To ensure adequate submetering equipment and consumer protections are in place.
PSC-29-18-00008-P exempt	Participation in Targeted Accessibility Fund	To encourage enhanced services for low-income consumers
PSC-29-18-00009-P exempt	Overvaluing real property tax expense recovery in water rates	To prevent unjust and unreasonable water rates
PSC-30-18-00004-P exempt	Ownership of St. Lawrence Gas Company, Inc.	To consider whether a proposed transfer of ownership interests in St. Lawrence Gas Company, Inc. is in the public interest.
PSC-30-18-00005-P exempt	Petition for use of gas metering equipment.	To ensure that consumer bills are based on accurate measurements of gas usage.

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
PSC-30-18-00006-P exempt	Petition for use of electric metering equipment.	To ensure that consumer bills are based on accurate measurements of electric usage.
PSC-31-18-00011-P exempt	Petition for the use of gas metering equipment.	To ensure that consumer bills are based on accurate measurements of gas usage.
PSC-31-18-00012-P exempt	Petition to submeter electricity.	To ensure adequate submetering equipment and consumer protections are in place.
PSC-31-18-00013-P exempt	To test rate structures for SC 1 and 2 that more closely align delivery rates with the cost of providing delivery service.	To provide residential and small commercial customers the opportunity to better manage their energy costs.
PSC-32-18-00012-P exempt	The request of the New York Independent System Operator, Inc. to incur indebtedness.	To ensure that debt financing is used reasonably and appropriately.
PSC-32-18-00013-P exempt	Energy efficiency programs and targets for investor-owned utilities.	To encourage energy conservation and the delivery and procurement of energy services by investor-owned utilities.
PSC-32-18-00014-P exempt	Petition for clarification and rehearing of the Rate Order.	To encourage energy efficiency measures and right of way maintenance and to ensure just and reasonable rates.
PSC-32-18-00015-P exempt	Establishment of the regulatory regime applicable to an approximately 126 MW wind electric generating facility.	To ensure appropriate regulation of a new electric corporation.
PSC-32-18-00016-P exempt	Transfer of assets, rate recovery of the costs of those assets, and lightened ratemaking regulatory regime.	To ensure safety and reliability, just and reasonable rates and appropriate regulation.
PSC-32-18-00017-P exempt	Rules for Value Stack compensation of hybrid storage and distributed generation.	To ensure just and reasonable rates, including compensation, for distributed energy resources.
PSC-34-18-00008-P exempt	Notice of intent to submeter electricity and request for waiver of the energy audit requirement.	To ensure adequate submetering equipment, consumer protections and energy efficiency protections are in place.
PSC-34-18-00009-P exempt	The Westchester Power Community Choice Aggregation Program.	To ensure appropriate consumer protections and benefits.
PSC-34-18-00010-P exempt	Notice of intent to submeter electricity.	To ensure adequate submetering equipment and consumer protections are in place.
PSC-34-18-00011-P exempt	Compensation of distributed energy resources.	To ensure just and reasonable rates, including compensation, for distributed energy resources.
PSC-34-18-00012-P exempt	Petition to submeter electricity.	To ensure adequate submetering equipment and consumer protections are in place.
PSC-34-18-00013-P exempt	Administrative costs and funding sources for the RES and ZEC programs.	To promote and maintain renewable and zero emission electric energy resources.
PSC-34-18-00014-P exempt	Continued implementation of the Clean Energy Standard.	To promote and maintain renewable and zero-emission electric energy resources.
PSC-34-18-00015-P exempt	Petition to submeter electricity.	To ensure adequate submetering equipment and energy efficiency protections are in place.

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
PSC-34-18-00016-P exempt	Deferral of pre-staging and mobilization storm costs.	To ensure just and reasonable rates for ratepayers and utility recovery of unexpected, prudently incurred costs.
PSC-35-18-00003-P exempt	Con Edison's 2018 DSIP and BCA Handbook Update.	To continue Con Edison's transition to a modern utility serving as a Distributed System Platform Provider.
PSC-35-18-00004-P exempt	To add a definition and include provisions for Renewable Natural Gas to P.S.C. No. 9 - Gas.	To provide clarity to the operating procedures and provisions for Renewable Natural Gas.
PSC-35-18-00005-P exempt	NYSEG and RG&E's 2018 DSIP and BCA Handbook Update.	To continue NYSEG and RG&E's transition to modern utilities acting as Distributed System Platform Providers.
PSC-35-18-00006-P exempt	National Grid's 2018 DSIP and BCA Handbook Update.	To continue National Grid's transition to a modern utility serving as a Distributed System Platform Provider.
PSC-35-18-00007-P exempt	Con Edison's methodology for reconciling the electric POR discount rate charge.	To consider modifications to the manner in which reconciliations of the electric POR discount rate are collected or refunded.
PSC-35-18-00008-P exempt	Central Hudson's 2018 DSIP and BCA Handbook Update.	To continue Central Hudson's transition to a modern utility serving as a Distributed System Platform Provider.
PSC-35-18-00009-P exempt	Petition to submeter electricity.	To ensure adequate submetering equipment and consumer protections are in place.
PSC-35-18-00010-P exempt	O&R's 2018 DSIP and BCA Handbook Update.	To continue O&R's transition to a modern utility acting as a Distributed System Platform Provider.
PSC-36-18-00004-P exempt	Update of revenue targets.	To update NYAW's revenue targets and make required balancing revisions to account for changes to the property tax targets.
PSC-36-18-00005-P exempt	Permanent operator of gas wells and certain gas facilities.	To resolve ownership of overlapping gas facilities associated with wells transferred to two gas companies.
PSC-36-18-00006-P exempt	Recording of unbilled revenue.	To correct revenue target discrepancies resulting from changes in billing schedule and net billing days.
PSC-36-18-00007-P exempt	PSC regulation 16 NYCRR § 86.3(a)(1).	To consider a waiver of a certain regulation relating to the content of an application for transmission line siting.
PSC-37-18-00003-P exempt	Service Classification No. 7 - Sale of Standby Service to Customers with On-Site Generation Facilities.	To expand eligibility to customers across multiple buildings connected to a generating facility by a private thermal loop.
PSC-37-18-00004-P exempt	Acceleration of the return of tax savings to ratepayers.	To determine the timetable for returning tax savings to ratepayers.
PSC-37-18-00005-P exempt	Notice of intent to submeter electricity and waiver request.	To ensure adequate submetering equipment, consumer protections and energy efficiency protections are in place.

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
PSC-37-18-00006-P exempt	Disposition of tax refunds received by New York American Water Company, Inc.	To determine the disposition of tax refunds and other related matters.
PSC-37-18-00007-P exempt	PSC regulation 16 NYCRR § 86.3(a)(1) and (b)(2).	To consider a waiver of certain regulations relating to the content of an application for transmission line siting.
PSC-38-18-00001-P exempt	Waiver request of Opinion No. 76-17 and 16 NYCRR Part 96.	To consider not requiring living units of the facility to be metered.
PSC-39-18-00004-P exempt	To establish Rider J - Smart Home Rate.	To provide residential customers the opportunity to better manage their energy costs.
PSC-39-18-00005-P exempt	Participation in New York State Lifeline Program.	To encourage enhanced services for low-income customers.
PSC-39-18-00006-P exempt	To establish Rider AB - Smart Home Rate.	To provide residential customers the opportunity to better manage their energy costs.
PSC-39-18-00007-P exempt	To implement enhanced safety pilot programs and energy efficiency initiatives.	To provide customers with enhanced safety and environmental benefits.
PSC-39-18-00008-P exempt	Payment of fire protection costs.	To shift the costs of municipal fire protection service from the City of Rye to its residents.
PSC-39-18-00009-P exempt	Authorization for one time credits to displaced customers.	To compensate displaced Con Edison ratepayers for their loss of service.
PSC-40-18-00013-P exempt	Commission programs for meter service providers and meter data service providers.	To prevent confusion from maintaining unnecessary programs.
PSC-40-18-00014-P exempt	Annual Reconciliation of Gas Expenses and Gas Cost Recoveries.	To review the gas utilities' reconciliation of Gas Expenses and Gas Cost Recoveries for 2018.
PSC-40-18-00015-P exempt	Proposed rate filing to increase annual revenues.	To ensure safe and adequate service at just and reasonable rates charged to customers without undue preferences.
PSC-40-18-00016-P exempt	Proposed transfer of the Company's assets to the Town and dissolution of the Company.	To determine if transfer of the water system to the Town of Delaware is in the public interest.
PSC-40-18-00017-P exempt	To modify provisions for Interruptible Gas under SC Nos. 9 and 12, P.S.C. No. 9 - Gas.	To amend certain penalties for non-compliant Interruptible Service Customers.
PSC-40-18-00018-P exempt	Waiver of PSC regulations, 16 NYCRR Sections 86.3(a)(2), (b)(2) and 88.4(a)(4).	To ensure that adequate maps and system studies support the application.
PSC-40-18-00019-P exempt	Issuance of long-term debt securities.	To provide funding for safety and reliability capital projects, maturing debt, and refinancing variable rate to fixed rate debt.
PSC-40-18-00020-P exempt	Issuance of long-term debt securities.	To provide funding for safety and reliability capital projects, maturing debt, and refinancing variable rate to fixed rate debt.
PSC-41-18-00003-P exempt	Initial Tariff Schedule, P.S.C. No. 1 - Water and waiver of rate setting authority	To provide the rates, rules, and regulations under which water service will be provided to the customers of the system

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
PSC-41-18-00004-P exempt	Internal reorganization and stock transfer	To determine if the proposed reorganization and stock transfer is in the public interest and beneficial to ratepayers
PSC-41-18-00005-P exempt	Authorization to enter into a long-term loan agreement	For system improvements and full repayment of existing loan
PSC-42-18-00009-P exempt	Notice of intent to submeter electricity.	To ensure adequate submetering equipment and consumer protections are in place.
PSC-42-18-00010-P exempt	Rehearing and/or reconsideration of the Tax Charges Rate Treatment Order.	To determine if the Commission was correct to require small telecom utilities to defer ongoing tax savings.
PSC-42-18-00011-P exempt	Voluntary residential beneficial electrification rate design.	To provide efficient rate design for beneficial technologies in New York State that is equitable for all residential customers.
PSC-42-18-00012-P exempt	Notice of intent to submeter electricity.	To ensure adequate submetering equipment and consumer protections are in place.
PSC-42-18-00013-P exempt	Petition for clarification and rehearing of the Smart Solutions Program Order.	To address the increased demand for natural gas in the Con Edison's service territory and the limited pipeline capacity.
PSC-42-18-00014-P exempt	The disposition of a refund from NYPA to the Village of Solvay of \$733,000 for overcharge for electricity over several years.	To determine whether the proposed disposition of the NYPA refund is just and reasonable.
PSC-44-18-00008-P exempt	The New York State Reliability Council's revisions to its rules and measurements.	To adopt revisions to various rules and measurements of the New York State Reliability Council.
PSC-44-18-00009-P exempt	Water rates and charges.	To shift fire protection costs from the Town to its residents' water bills.
PSC-44-18-00010-P exempt	Interruptible/Temperature Controlled gas service Collaborative report recommendations and tariff changes.	To consider the terms and conditions applicable to interruptible and temperature controlled gas service.
PSC-44-18-00011-P exempt	Modifications to electric supply reconciliation mechanism.	To improve the reconciliation of supply-related costs for hourly-priced customers.
PSC-44-18-00012-P exempt	Petition for approval of gas metering equipment.	To ensure that customer bills are based on accurate measurements of gas usage.
PSC-44-18-00013-P exempt	Petition for approval of gas metering equipment.	To ensure that customer bills are based on accurate measurements of gas usage.
PSC-44-18-00014-P exempt	Waiver of PSC regulations, 16 NYCRR sections 86.3(a)(1), (a)(2) and (b)(2).	To consider a waiver of certain regulations relating to the content of an application for transmission line siting.
PSC-44-18-00015-P exempt	Con Edison's petition for the Non-Pipeline Solutions Portfolio and associated budget.	To pursue projects that continue service reliability and meet customer energy needs while aiding greenhouse gas reduction goals.
PSC-44-18-00016-P exempt	Petition for approval of gas metering equipment.	To ensure that customer bills are based on accurate measurements of gas usage.

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
PSC-45-18-00003-P exempt	Eliminating a financial incentive for utility performance, the interconnection earnings adjustment mechanism	To consider encouraging utility performance while creating customer savings
PSC-45-18-00004-P exempt	Proposed transfer of two natural gas pipeline operating companies, and for lightened and incidental regulation	To consider transfer if there is no market power or ratepayer harm, incidental regulation, and continuing lightened regulation
PSC-45-18-00005-P exempt	Notice of intent to submeter electricity and waiver of energy audit	To ensure adequate submetering equipment, consumer protections and energy efficiency protections are in place
PSC-46-18-00005-P exempt	Proposed rate filing to increase annual revenues.	To ensure safe and adequate service at just and reasonable rates charged to customers without undue preferences.
PSC-46-18-00013-EP exempt	Acquisition of cable television assets and franchises.	To ensure continued service to Hamilton County Cable customers.
PSC-47-18-00004-P exempt	Ampersand Cranberry Lake Hydro LLC's 500kw hydroelectric facility in the Town of Clifton, New York.	To promote and maintain renewable electric energy resources.
PSC-47-18-00005-P exempt	Waiver of certain rules pertaining to cable television franchise.	To determine whether to waive any rules and regulations.
PSC-47-18-00006-P exempt	Modification of NMPC Estimating Metric project list.	To reflect an appropriate Estimating Metric project list for NMPC.
PSC-47-18-00007-P exempt	Petition to submeter electricity.	To ensure adequate submetering equipment and consumer protections are in place.
PSC-47-18-00008-P exempt	Proposed Public Policy Transmission Needs/ Public Policy Requirements, as defined under the NYISO tariff.	To identify any proposed Public Policy Transmission Needs/Public Policy Requirements for referral to the NYISO.
PSC-48-18-00005-P exempt	Purchase of gas safety equipment for Westchester County fire departments	To provide Westchester County fire departments gas safety equipment they state they need to respond to odor reports
STATE, DEPARTMENT OF			
DOS-36-18-00008-P 11/08/19	New York State Uniform Fire Prevention and Building Code (the Uniform Code)	To amend the existing Uniform Code to add provisions for diaper changing stations in certain buildings.
STATE UNIVERSITY OF NEW YORK			
SUN-44-18-00003-P 10/31/19	Proposed amendments to the traffic and parking regulations at State University College at Oneonta	Amend existing regulations to update traffic and parking regulations
TAXATION AND FINANCE, DEPARTMENT OF			
TAF-40-18-00001-P 10/03/19	New York State and City of Yonkers withholding tables and other methods	To provide current New York State and City of Yonkers withholding tables and other methods
TAF-48-18-00003-P exempt	Fuel use tax on motor fuel and diesel motor fuel and the art. 13-A carrier tax jointly administered therewith	To set the sales tax component and the composite rate per gallon for the period January 1, 2019 through March 31, 2019

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
TAXATION AND FINANCE, DEPARTMENT OF			
TAF-48-18-00004-EP	11/28/19	Metropolitan Transportation Business Tax Surcharge	To provide metropolitan transportation business tax rate for tax year 2019
TEMPORARY AND DISABILITY ASSISTANCE, OFFICE OF			
TDA-12-18-00004-P	03/21/19	Reengagement/conciliation and sanction procedures for employment programs	To implement statutory changes relative to public assistance (PA) reengagement/conciliation and sanction procedures
TDA-41-18-00002-EP	10/10/19	Standard Utility Allowances (SUAs) for the Supplemental Nutrition Assistance Program (SNAP)	These regulatory amendments set forth the federally-mandated and approved SUAs as of 10/1/18
TRANSPORTATION, DEPARTMENT OF			
TRN-47-18-00001-P	11/21/19	Regulation of transportation of hazardous materials by commercial motor carriers in New York State	Corrects omissions in State regulations associated with Title 49 CFR provisions related to transport of hazardous materials
WORKERS' COMPENSATION BOARD			
WCB-52-17-00021-RP	03/27/19	Establishment of Prescription Drug Formulary	Establishment of a drug formulary that includes high-quality and cost-effective preauthorized medication
WCB-23-18-00004-P	06/06/19	Fees for Medical Testimony	To increase fees for medical testimony and eliminate fee reductions for multiple appearances as this provision is not used
WCB-23-18-00005-RP	06/06/19	Medical Fee Schedules	Update the fees paid for medical treatment in workers' compensation claims

SECURITIES OFFERINGS

STATE NOTICES

Published pursuant to provisions of General Business Law
[Art. 23-A, § 359-e(2)]

DEALERS; BROKERS

17 Declaration of War/Gamely Girl, LLC
1306 Somerhill Place, Anchorage, KY 40223
State or country in which incorporated — Kentucky

Alpha Investment, Inc.
200 E. Campus View Blvd., Suite 200, Columbus, OH 43235
State or country in which incorporated — Delaware

Altair Galaxy Fund, LLC
c/o First Republic Investment Management, Inc., 1888 Century Park
E, Los Angeles, CA 90067-1702
State or country in which incorporated — Delaware

BBH Wealth Strategies, LLC - GQG Partners Emerging Markets
Equity Series
140 Broadway, New York, NY 10005
State or country in which incorporated — Delaware

BMC Secured Income Fund LLC
800 Westchester Ave., Suite N641, Rye Brook, NY 10573
State or country in which incorporated — Delaware

Centers of Psychiatric Excellence, LLC
26 E. 63rd St., Apt. 11-F, New York, NY 10065
State or country in which incorporated — Delaware

CrossFirst Bankshares, Inc.
11440 Tomahawk Creek Pkwy., Leawood, KS 66211
State or country in which incorporated — Kansas

Emerson Equity, LLC
155 Bovet Rd., Suite 725, San Mateo, CA 94402
State or country in which incorporated — California limited liability
company

Gene Solutions, LLC
One Boars Head Pointe, Suite 109, Charlottesville, VA 22903
State or country in which incorporated — Virginia

Guestfriend, Inc.
138 Spring St., 4th Fl., New York, NY 10012
State or country in which incorporated — Delaware

NYCQ Venture Capital Ltd.
250 Greenwich St., Suite 4655, New York, NY 10007
State or country in which incorporated — Cayman Islands

Summit Partners Growth Equity Fund X-A L.P.
222 Berkeley St., 18th Fl., Boston, MA 02116
Partnership — Summit Partners GE X, L.P.

Summit Partners Growth Equity Fund X-B L.P.
222 Berkeley St., 18th Fl., Boston, MA 02116
Partnership — Summit Partners GE X, L.P.

Tremilo LLC
2222 S. Madison St., Denver, CO 80210
State or country in which incorporated — Colorado

Vista Equity Partners Perennial, L.P.
Four Embarcadero Center, 20th Fl., San Francisco, CA 94111
Partnership — VEPP GP, L.P.

Vista Equity Partners Perennial A, L.P.
Four Embarcadero Center, 20th Fl., San Francisco, CA 94111
Partnership — VEPP GP, L.P.

Wells Fargo Investment Institute, Inc.
401 S. Tryon St., Charlotte, NC 28202
State or country in which incorporated — Delaware

ADVERTISEMENTS FOR BIDDERS/CONTRACTORS

SEALED BIDS

REPLACE ROOF/SIDING

Department of Transportation Region 8
Port Jervis, Orange County

Sealed bids for Project No. 45759-C, for Construction Work, Replace Roof and Siding, Salt Barn, DOT Region 8, Orange County, 2 Route 6, Port Jervis (Orange County), NY, will be received by the Office of General Services (OGS), Design & Construction Group (D&C), Division of Contract Management, 35th Fl., Corning Tower, Empire State Plaza, Albany, NY 12242, on behalf of the Department of Transportation, until 2:00 p.m. on Wednesday, December 5, 2018, when they will be publicly opened and read. Each bid must be prepared and submitted in accordance with the Instructions to Bidders and must be accompanied by a certified check, bank check, or bid bond in the amount of \$24,800 for C.

Further, Wicks Exempt Projects require a completed form BDC 59 (Wicks Exempt List of Contractors) be filled out and submitted (included in a separate, sealed envelope) in accordance with Document 002220, Supplemental Instructions to Bidders – Wicks Exempt. Failure to submit this form correctly will result in a disqualification of the bid.

All successful bidders will be required to furnish a Performance Bond and a Labor and Material Bond in the statutory form of public bonds required by Sections 136 and 137 of the State Finance Law, each for 100% of the amount of the Contract estimated to be between \$250,000 and \$500,000 for C.

Pursuant to State Finance Law §§ 139-j and 139-k, this solicitation includes and imposes certain restrictions on communications between OGS D&C and a bidder during the procurement process. A bidder is restricted from making contacts from the earliest posting, on the OGS website, in a newspaper of general circulation, or in the Contract Reporter of written notice, advertisement or solicitation of offers through final award and approval of the contract by OGS D&C and the Office of the State Comptroller ("Restricted Period") to other than designated staff unless it is a contact that is included among certain statutory exceptions set forth in State Finance Law § 139-j(3)(a). Designated staff are Jessica Hoffman, Carl Ruppert, and Pierre Alric in the Division of Contract Management, telephone (518) 474-0203, fax (518) 473-7862 and John Lewyckyj, Deputy Director, Design & Construction Group, telephone (518) 474-0201, fax (518) 486-1650. OGS D&C employees are also required to obtain certain information when contacted during the restricted period and make a determination of the responsibility of the bidder pursuant to these two statutes. Certain findings of non-responsibility can result in rejection for contract award and in the event of two findings within a four-year period, the bidder is debarred from obtaining governmental Procurement Contracts. Bidders responding to this Advertisement must familiarize themselves with the State Finance Law requirements and will be expected to affirm that they understand and agree to comply on the bid form. Further information about these requirements can be found within the project manual or at: <http://www.ogs.ny.gov/aboutOGS/regulations/defaultAdvisoryCouncil.html>

The substantial completion date for this project is 154 days after the Agreement is approved by the Comptroller.

The State intends to expedite award of this Contract and the Contractor shall be prepared to proceed with the Work accordingly. Bidders are warned that time is of the essence of the Contract and completion of the Work must be within the time stated in Section 011000 of the Specifications. Due to the tightness of the construction schedule, Bidders should consider the necessity for any increased work force and shift operations.

The only time prospective bidders will be allowed to visit the job site to take field measurements and examine existing conditions of the project area will be at 10:00 a.m. on November 15, 2018 at DOT Region 8, 2 Route 6, Port Jervis, NY. Prospective bidders are urged to visit the site at this time. Prospective bidders or their representatives attending the pre-bid site visit will not be admitted on facility grounds without proper photo identification. Note that parking restrictions and security provisions will apply and all vehicles will be subject to search.

For assistance pertaining to the site visit only, please phone Robin Griffiths (845) 365-0730.

Pursuant to New York State Executive Law Article 15-A and the rules and regulations promulgated thereunder, OGS is required to promote opportunities for the maximum feasible participation of New York State-certified Minority- and Women-owned Business Enterprises ("MWBEs") and the employment of minority group members and women in the performance of OGS contracts. All bidders are expected to cooperate in implementing this policy. OGS hereby establishes an overall goal of 10% for MWBE participation, 5% for Minority-Owned Business Enterprises ("MBE") participation and 5% for Women-Owned Business Enterprises ("WBE") participation (based on the current availability of qualified MBEs and WBEs). The total contract goal can be obtained by utilizing any combination of MBE and /or WBE participation for subcontracting and supplies acquired under this Contract.

The Office of General Services reserves the right to reject any or all bids.

The Bidding and Contract Documents for this Project are available on compact disc (CD) only, and may be obtained for an \$8.00 deposit per set, plus a \$2.00 per set shipping and handling fee. Contractors and other interested parties can order CD's on-line through a secure web interface available 24 hours a day, 7 days a week. Please use the following link for ordering and payment instructions: <http://www.ogs.ny.gov/bu/dc/esb/acquirebid.asp>

For questions about purchase of bid documents, please send an e-mail to DC.Plans@ogs.ny.gov, or call (518) 474-0203.

For additional information on this project, please use the link below and then click on the project number: <https://online.ogs.ny.gov/dnc/contractorConsultant/esb/ESBPlansAvailableIndex.asp>

By *John D. Lewyckyj, Deputy Director*
OGS - Design & Construction Group

NOTICE OF AVAILABILITY OF STATE AND FEDERAL FUNDS

Division of Homeland Security and Emergency
Services

1220 Washington Ave.
State Office Bldg. Campus, Bldg. 7A
Albany, NY 12242

NON-PROFIT NONPUBLIC SCHOOLS, NON-PROFIT DAYCARE
CENTERS AND NON-PROFIT CULTURAL MUSEUMS LO-
CATED WITHIN NEW YORK STATE

**State Fiscal Year 2018-19 Securing Communities Against Hate
Crime (SCAHC)**

Description:

Request for Applications (RFA) will be accepted for State funding made available by Governor Andrew Cuomo and administered by the NYS Division of Homeland Security and Emergency Services (DHSES). Each Applicant may apply for up to \$50,000 per facility, eligible organizations may submit up to three applications for a maximum total request of up to \$150,000 allowed per organization. There is a total of approximately \$10.1 million Statewide in funding available under this grant program and funds will be awarded competitively based on the submission of applications by eligible non-profit organizations located within New York State.

Funding will be awarded to support safety and security activities to non-profit nonpublic schools, non-profit daycare centers including those housed in community centers and non-profit cultural museums as described under section 501(c)(3) of the Internal Revenue Code of 1986 and exempt from tax under section 501(a) of such Code that are at high risk of a hate crime due to their ideology, beliefs, or mission and are located within New York State. The program seeks to provide safety and security measures for these organizations to assist in mitigating risk/vulnerabilities as identified in their application.

Applications will be accepted until December 19, 2018 at 5:00 p.m. through the New York State Division of Homeland Security and Emergency Services' (DHSES) electronic grants management system (E-Grants).

The RFA and other required documents can be found at: <http://www.dhSES.ny.gov/grants/nonprofit/hate-crimes.cfm>

MISCELLANEOUS NOTICES/HEARINGS

Notice of Abandoned Property Received by the State Comptroller

Pursuant to provisions of the Abandoned Property Law and related laws, the Office of the State Comptroller receives unclaimed monies and other property deemed abandoned. A list of the names and last known addresses of the entitled owners of this abandoned property is maintained by the office in accordance with Section 1401 of the Abandoned Property Law. Interested parties may inquire if they appear on the Abandoned Property Listing by contacting the Office of Unclaimed Funds, Monday through Friday from 8:00 a.m. to 4:30 p.m., at:

1-800-221-9311
or visit our web site at:
www.osc.state.ny.us

Claims for abandoned property must be filed with the New York State Comptroller's Office of Unclaimed Funds as provided in Section 1406 of the Abandoned Property Law. For further information contact: Office of the State Comptroller, Office of Unclaimed Funds, 110 State St., Albany, NY 12236.

PUBLIC NOTICE

Department of Civil Service

PURSUANT to the Open Meetings Law, the New York State Civil Service Commission hereby gives public notice of the following:

Please take notice that the regular monthly meeting of the State Civil Service Commission for December 2018 will be conducted on December 11 and December 12 commencing at 10:00 a.m. This meeting will be conducted at NYS Media Services Center, Suite 146, South Concourse, Empire State Plaza, Albany, NY with live coverage available at <https://www.cs.ny.gov/commission/>

For further information, contact: Office of Commission Operations, Department of Civil Service, Empire State Plaza, Agency Bldg. One, Albany, NY 12239, (518) 473-6598

PUBLIC NOTICE

Division of Criminal Justice Services Law Enforcement Agency Accreditation Council

Pursuant to Public Officers Law § 104, the Division of Criminal Justice Services gives notice of a meeting of the Law Enforcement Agency Accreditation Council to be held on:

Date: Thursday, December 13, 2018
Time: 10:00 a.m.
Place: Division of Criminal Justice Services
Alfred E. Smith Office Bldg.
80 S. Swan St.
CrimeStat Rm. (Rm. 118)
Albany, NY 12210

Identification and sign-in are required at this location. *For further information, or if you need a reasonable accommodation to attend this meeting, please contact:* Division of Criminal Justice Services,

Office of Public Safety, Alfred E. Smith Office Bldg., 80 S. Swan St., Albany, NY 12210, (518) 457-2667

Live Webcast will be available as soon as the meeting commences at: <http://www.criminaljustice.ny.gov/pio/openmeetings.htm>

PUBLIC NOTICE

Division of Criminal Justice Services Municipal Police Training Council

Pursuant to Public Officers Law § 104, the Division of Criminal Justice Services gives notice of a meeting of the Municipal Police Training Council to be held on:

Date: Wednesday, December 12, 2018
Time: 10:00 a.m.
Place: Division of Criminal Justice Services
Alfred E. Smith Office Bldg.
80 S. Swan St.
CrimeStat Rm. (Rm. 118)
Albany, NY 12210

Identification and sign-in are required at this location. *For further information, or if you need a reasonable accommodation to attend this meeting, please contact:* Division of Criminal Justice Services, Office of Public Safety, Alfred E. Smith Office Bldg., 80 S. Swan St., Albany, NY 12210, (518) 457-2667

Live Webcast will be available as soon as the meeting commences at: <http://www.criminaljustice.ny.gov/pio/openmeetings.htm>

PUBLIC NOTICE

Department of Health

Pursuant to 42 CFR Section 447.205, the Department of Health hereby gives public notice of the following:

The Department of Health proposes to amend the Title XIX (Medicaid) State Plan for non-institutional services to revise provisions of Comprehensive diagnostic and treatment centers Indigent Care program. The following changes are proposed:

Non-Institutional Services

Effective on or after December 1, 2018, and for each State Fiscal Year thereafter, the State proposes to provide additional payments of up to \$17,350,000 annually to Medicaid safety net non-FQHC clinics to sustain access to services. Eligible facilities must meet the following criteria: deliver comprehensive range of health care or mental health services; provide at least 5% of their annual visits/services to uninsured individuals; and have a process in place to collect payment from third party payers. The proposed distribution methodology will qualify facilities into tiers on their percentage of uninsured visits. An Add-on amount will be established for each tier and each facility's proportion of Medicaid services will then be used to arrive at a final safety net payment. Payment made to each facility may be added to rates of payment or made as lump sum.

The estimated annual net aggregate increase in gross Medicaid expenditures attributable to this initiative contained in the budget for state fiscal year 2018/2019 is \$17,350,000.

The public is invited to review and comment on this proposed State Plan Amendment, a copy of which will be available for public review on the Department's website at http://www.health.ny.gov/regulations/state_plans/status. Individuals without Internet access may view the State Plan Amendments at any local (county) social services district.

For the New York City district, access will be available at the following places:

New York County
250 Church Street
New York, New York 10018

Queens County, Queens Center
3220 Northern Boulevard
Long Island City, New York 11101

Kings County, Fulton Center
114 Willoughby Street
Brooklyn, New York 11201

Bronx County, Tremont Center
1916 Monterey Avenue
Bronx, New York 10457

Richmond County, Richmond Center
95 Central Avenue, St. George
Staten Island, New York 10301

For further information and to review and comment, please contact:
Department of Health, Division of Finance and Rate Setting, 99 Washington Ave., One Commerce Plaza, Suite 1432, Albany, NY 12210, spa_inquiries@health.ny.gov

PUBLIC NOTICE

Village of Rockville Centre

Pursuant to Section 120-w of the New York State General Municipal Law, the Village of Rockville Centre (Nassau County) hereby gives notice that on November 16, 2018 it is issuing a Draft Request for Proposals for Solid Waste Disposal Services. Interested companies may access the Draft RFP at www.rvcny.us. A pre-proposal conference and site visit will be conducted at 3 p.m. on December 19, 2018. Comments on this Draft RFP must be submitted in writing to the contact person identified below, no later than 3:00 p.m. on February 1, 2019. Subsequent to review of all comments, a Final RFP is expected to be issued on or about February 15, 2019. Written proposals submitted in response to that Final RFP are expected to be required to be received from interested contractors no later than March 4, 2019. These dates may be changed in the Final RFP. A separate public notice will be disseminated with respect to the Final RFP prior to its issuance.

Contact person: Gwynne Feiner, Director of Public Works Administration, 10 Sunrise Hwy., Rockville Centre, NY 11570, (516) 678-9267, Fax: (516) 766-0879, e-mail: gfeiner@rvcny.us

PUBLIC NOTICE

Department of State

F-2018-0735

Date of Issuance - November 28, 2018

The New York State Department of State (DOS) is required by Federal regulations to provide timely public notice for the activities described below, which are subject to the consistency provisions of the Federal Coastal Zone Management Act of 1972, as amended.

The applicant has certified that the proposed activity complies with and will be conducted in a manner consistent with the approved New York State Coastal Management Program. The applicant's consistency certification and accompanying public information and data are available for inspection at the New York State Department of State offices located at One Commerce Plaza, 99 Washington Avenue, in

Albany, New York, and are available for review at: http://www.dos.ny.gov/opd/programs/pdfs/Consistency/F-2018-0735_Application.pdf

In F-2018-0735, Maspeth Recycling, Inc., is proposing to construct a barge mooring facility along Newtown Creek at 58 08 48th Street, in the Borough of Queens, Queens County. The facility would include a new 402-linear foot steel sheetpile bulkhead installed waterward of the existing shoreline and backfilled with approximately 3,500 cubic yards of acceptable fill below the spring high water elevation. This would result in a loss of approximately 34,272 square feet (0.79 acres) of tidal wetlands. An area of approximately 26,225 square feet (0.60 acres) would be dredged on the waterward side of the proposed bulkhead to establish a depth of -10 feet. The initial dredging volume is estimated at approximately 3,900 cubic yards, and maintenance dredging of approximately 300 cubic yards is proposed every two years. The stated purpose of the project is to allow for the transport of demolition and recycled materials via the waterway in lieu of overland transport via truck.

The proposed activities would be undertaken within the New York City Waterfront Revitalization Program (WRP) area and is subject to consistency with the WRP policies. The WRP can be reviewed at: <https://waterfrontnavigator.nyc.gov/agency-contacts-information/nyc-dcp/>

Any interested parties and/or agencies desiring to express their views concerning any of the above proposed activities may do so by filing their comments, in writing, no later than 4:30 p.m., 30 days from the date of publication of this notice, or, December 28, 2018.

Comments should be addressed to the Consistency Review Unit, Department of State, Office of Planning, Development & Community Infrastructure, One Commerce Plaza, 99 Washington Ave., Albany, NY 12231, (518) 474-6000, Fax (518) 473-2464. Electronic submissions can be made by email at: CR@dos.ny.gov

This notice is promulgated in accordance with Title 15, Code of Federal Regulations, Part 930.

PUBLIC NOTICE

Department of State

F-2018-0841

Date of Issuance – November 28, 2018

The New York State Department of State (DOS) is required by Federal regulations to provide timely public notice for the activities described below, which are subject to the consistency provisions of the Federal Coastal Zone Management Act of 1972, as amended.

The applicant has certified that the proposed activity complies with and will be conducted in a manner consistent with the approved New York State Coastal Management Program. The applicant's consistency certification and accompanying public information and data are available for inspection on the New York State Department of State's website at <http://www.dos.ny.gov/opd/programs/pdfs/Consistency/F-2018-0841MadlinDock&Deck.pdf>

In F-2018-0841, or the "Madlin Seasonal Dock & Permanent Deck", the applicant – Patrick Madlin – proposes to build a 10 foot long by 10 foot wide deck on top of the existing 8 foot long by 8 foot wide crib on the eastern dock. In addition, the applicant proposes to install a 36 long foot by 4 foot wide aluminum rollout dock with two 28 foot long by 4 foot wide extensions perpendicular to the rollout dock. Between the fingers, the applicant proposes to install a 12 foot by 8 foot boat hoist. The rollout dock, fingers and boat lift will be seasonal. The application states purpose of the project is to reach a sufficient water depth for the applicant's boat.

Any interested parties and/or agencies desiring to express their views concerning the above proposed activities may do so by filing their comments, in writing, no later than 4:30 p.m., 30 days from the date of publication of this notice, or, December 28, 2018.

Comments should be addressed to the Consistency Review Unit, Department of State, Planning, Development and Community Infrastructure, One Commerce Plaza, 99 Washington Ave., Albany, NY 12231, (518) 474-6000, Fax (518) 473-2464. Electronic submissions can be made by email at: CR@dos.ny.gov

This notice is promulgated in accordance with Title 15, Code of Federal Regulations, Part 930.

PUBLIC NOTICE

Department of State

Uniform Code Variance / Appeal Petitions

Pursuant to 19 NYCRR Part 1205, the variance and appeal petitions below have been received by the Department of State. Unless otherwise indicated, they involve requests for relief from provisions of the New York State Uniform Fire Prevention and Building Code. Persons wishing to review any petitions, provide comments, or receive actual notices of any subsequent proceeding may contact Brian Tollisen or Neil Collier, Building Standards and Codes, Department of State, One Commerce Plaza, 99 Washington Ave., Albany, NY 12231, (518) 474-4073 to make appropriate arrangements.

2018-0546 In the matter of Stephan Phillips, 210 Lincoln Street East, Ithaca, NY 14850 concerning safety requirements including a variance for reduction in required height of existing exterior and interior handrails and guardrails.

Involved is the certificate of compliance inspection of an existing residential occupancy, two stories in height, located at 210-212 Lincoln Street East, City of Ithaca, County of Tompkins, New York.

PUBLIC NOTICE

Department of State

Uniform Code Variance / Appeal Petitions

Pursuant to 19 NYCRR Part 1205, the variance and appeal petitions below have been received by the Department of State. Unless otherwise indicated, they involve requests for relief from provisions of the New York State Uniform Fire Prevention and Building Code. Persons wishing to review any petitions, provide comments, or receive actual notices of any subsequent proceeding may contact Brian Tollisen or Neil Collier, Building Standards and Codes, Department of State, One Commerce Plaza, 99 Washington Ave., Albany, NY 12231, (518) 474-4073 to make appropriate arrangements.

2018-0550 In the matter of CSP Management, Jeremy Dietz, 407 West Seneca Street, Ithaca, NY 14850 concerning safety requirements including a variance for reduction in required height of existing handrails and guardrails.

Involved is the certificate of compliance inspection of an existing residential occupancy, two stories in height, located at 232 South Geneva Street, City of Ithaca, County of Tompkins, New York.

FINANCIAL REPORTS



Department of
Taxation and Finance

Depositories for the Funds of the State of New York

Month End: October 31, 2018

Prepared by the Division of the Treasury
Investments, Cash Management and Accounting Operations

Nonie Manion
Executive Deputy Commissioner

**ACCOUNTS HELD IN JOINT CUSTODY BY THE COMMISSIONER OF
TAXATION FINANCE AND THE NEW YORK STATE COMPTROLLER**

Unemployment Insurance Funding Account	Key Bank	2,619,929.34
Occupational Training Act Funding Account	Key Bank	78,596.25
Unemployment Insurance Exchange Account	Key Bank	0.00
Exchange Account	Key Bank	0.00
PIT Special Refund Account	JPMorgan Chase Bank, N.A.	(325,062,789.27)
General Checking	Key Bank	(479,109,569.07)
Direct Deposit Account	Wells Fargo	25,376.19
TOTAL		(322,338,887.49)
01000 - EXECUTIVE CHAMBER		
Executive Chamber Advance Account	Key Bank	No report received
01010 - DIVISION OF BUDGET		
Advance Account	Bank of America, N.A.	5,000.00
01030 - DIVISION OF ALCOHOLIC BEVERAGE CONTROL		
Albany		
SLA Investigations Account	Key Bank	2,109.71
01050 - OFFICE OF GENERAL SERVICES		
Exec Mansion Official Function	Key Bank	14,037.07
NY ISO Account	Key Bank	3,000,000.31
OGS Binghamton Office Bldg	Key Bank	500.00
SNY Office of General Services	JPMorgan Chase Bank, N.A.	3,559.86
State of New York OGS Escrow II	Key Bank	2,817,894.73
State of New York OGS Petty Cash	Key Bank	308,468.70
State of New York OGS Escrow	Key Bank	60,244.53
State of NY Office Of General Services	Key Bank	4,434.44
01060 - DIVISION OF STATE POLICE		
CNET Confidential Account	Key Bank	24,000.00
Div Headquarters - Petty Cash	Key Bank	1,138.25
Key Advantage Account	Key Bank	81,182.11
Manhattan Office-confidential	JPMorgan Chase Bank, N.A.	500.00
NYS Police Special Account	Key Bank	2,589,876.57
SIU Confidential Fund Account	Key Bank	7,091.64
Special Fund	Key Bank	56,689.04
State Police Receipts Account	Bank of America, N.A.	1,448,762.36
Troop A Batavia - Petty Cash	Bank of America, N.A.	680.00
Troop A Batavia-Confidential	Bank of America, N.A.	1,883.00
Troop B Confidential	Key Bank	6,500.00
Troop B Petty Cash	Community Bank	1,000.00
Troop C Confidential Fund	NBT Bank	1,800.00
Troop C Petty Cash	NBT Bank	582.00
Troop D Oneida - Confidential	Alliance Bank	1,500.00
Troop D Oneida Petty Cash	Alliance Bank	821.56
Troop E Canandaigua Confidential	Canandaigua National Bank	2,000.00
Troop E Petty Cash	Canandaigua National Bank	1,000.00
Troop F Confidential	JPMorgan Chase Bank, N.A.	4,100.00
Troop F Petty Cash	JPMorgan Chase Bank, N.A.	1,000.00
Troop G Loudonville Conf	Bank of America, N.A.	439.10
Troop G Petty Cash	Bank of America, N.A.	1,000.00
Troop K Petty Cash	Bank of Millbrook	826.00
Troop K Poughkeepsie-Confidential	Bank of Millbrook	2,326.53
Troop L Confidential Fund	Bank of America, N.A.	7,530.00
01070 - DIVISION OF MILITARY & NAVAL AFFAIRS		
Advance For Travel	Key Bank	No report received
SNY Camp Smith Billeting Fund	JPMorgan Chase Bank, N.A.	No report received
01077 - OFFICE OF HOMELAND SECURITY		
Academy Of Fire Science	Chemung Canal Trust	86,128.07
01080 - DIVISION OF HOUSING & COMMUNITY RENEWAL		
Albany Office Of Financial Administration		
Maximum Base Rent Fee Account	JPMorgan Chase Bank, N.A.	39,274.53
Revenue Account	JPMorgan Chase Bank, N.A.	2,576,761.84
01090 - DIVISION OF HUMAN RIGHTS		
Petty Cash Fund Account	JPMorgan Chase Bank, N.A.	No report received
01150 - OFFICE OF EMPLOYEE RELATIONS		
1986 Panel Administration Esc	Key Bank	3,830.07
GOER/LMC Advance Account	Key Bank	1,911.93
NYS Dependent Care Acct	Key Bank	57,685.89
01160 - JUSTICE CENTER FOR THE PROTECTION OF PEOPLE WITH SPECIAL NEEDS		
Agency Advance Account	Key Bank	1,672.49
01300 - ADIRONDACK PARK AGENCY		

General Fund	Community Bank	2.00
Petty Cash	Community Bank	3,572.79
01400 - CRIME VICTIMS COMPENSATION BOARD		
Crime Victims	JPMorgan Chase Bank, N.A.	18,805.20
Emergency Award Account	M&T Bank	0.00
Emergency Claims	Key Bank	6,689.66
Petty Cash Account	Key Bank	1,186.05
REST/SUBROG Escrow Account	Key Bank	389.66
01490 - DIVISION OF CRIMINAL JUSTICE SERVICES		
Advance Account	Bank of America, N.A.	2,895.46
FBI Fee Account	Bank of America, N.A.	7,356.21
Fingerprint Fee Account	Bank of America, N.A.	763,339.00
01530 - STATE COMMISSION OF CORRECTION		
Advance Account	Bank of America, N.A.	2,000.00
01540 - STATE BOARD OF ELECTIONS		
Revenue Account	Key Bank	No report received
01620 - OFFICE FOR PREVENTION OF DOMESTIC VIOLENCE		
NYS Prevention Domestic Violence	Bank of America, N.A.	No report received
02000 - OFFICE OF THE STATE COMPTROLLER		
Admissions	Bank of America, N.A.	587,118.00
Alcohol Beverage	Bank of America, N.A.	80,529.78
Alcoholic Bev Control License	Wells Fargo Bank	227,571.51
Alcoholic Beverage Control License	M&T Bank	157,758.24
Assessments Bulk	JPMorgan Chase Bank, N.A.	100,630.73
Assessments Receivable	JPMorgan Chase Bank, N.A.	6,060,239.94
Assessments Receivable (EFT)	Wells Fargo Bank	297,026.27
Boxing And Wrestling Tax	Bank of America, N.A.	72,650.22
Check 21 Corporation Tax	JPMorgan Chase Bank, N.A.	517,593.44
Check 21 Estate Tax	JPMorgan Chase Bank, N.A.	0.00
Check 21 Highway Use	JPMorgan Chase Bank, N.A.	651,938.36
Check 21 PIT	JPMorgan Chase Bank, N.A.	686,538.99
Check 21 Real Estate Transfer	JPMorgan Chase Bank, N.A.	0.00
Check Sales Tax	JPMorgan Chase Bank, N.A.	669,358.78
Cigarette Stamp Sales Tax	JPMorgan Chase Bank, N.A.	232,988.00
Cigarette Stamp Tax	JPMorgan Chase Bank, N.A.	8,700.00
Cigarette Stamp Tax (EFT)	Wells Fargo Bank	1,472,186.65
Cigarette Stamp Tax Split	JPMorgan Chase Bank, N.A.	289,593.96
Cigarette Tax Tobacco Products	Bank of America, N.A.	54,022.59
Congestion Surcharge	Wells Fargo Bank	0.00
Corporation Tax	Wells Fargo Bank	643.47
Corporation Tax - Art 9	Bank of America, N.A.	0.00
Corporation Tax - Coupon Acct.	JPMorgan Chase Bank, N.A.	183,624.74
Educational Charitable Account	Wells Fargo Bank	0.00
Employer Compensation Expense	Wells Fargo Bank	0.00
Encon Beverage Container Deposit/Bottle Bill (EFT)	Wells Fargo Bank	200,712.50
Estate Tax	Bank of America, N.A.	19,008,030.21
Estate Tax Article 10 Section 241	Key Bank	0.01
Estimated Tax	JPMorgan Chase Bank, N.A.	2,226,837.06
Gift Tax	Bank of America, N.A.	0.00
Hazardous Waste	Key Bank	28,947.76
Health Charitable Account	Wells Fargo Bank	0.00
Highway Use - Permits & Reg.	Bank of America, N.A.	93,513.07
Highway Use Truck Mileage Tax (EFT)	Wells Fargo Bank	1,275,197.48
Hudson River-Black River	Bank of America, N.A.	196,308.17
Hudson River-Black River	Community Bank	253.52
Hut/Oscar Registrations & Renewals (EFT)	Wells Fargo Bank	109,292.50
IFTA Fuel Use Tax (EFT)	Wells Fargo Bank	373,003.02
IFTA/Oscar Renewals (EFT)	Wells Fargo Bank	0.00
IFTA-Decal/Permit Fee Acct.	Bank of America, N.A.	72,144.00
IFTA-Fuel Use	Bank of America, N.A.	62,162.52
Income Tax - LLC	JPMorgan Chase Bank, N.A.	145,491.04
Justice Court	Key Bank	918,564.94
MCTD Medallion Taxicab Trip	JPMorgan Chase Bank, N.A.	14,681.50
Medallion Taxicab Trip Tax (EFT)	Wells Fargo Bank	161,473.75
Medical Marijuana Tax Collections	Bank of America, N.A.	1,895.25
Metro Commuter Trans. Mobility	JPMorgan Chase Bank, N.A.	577,066.73
Ogdensburg Bridge & Port	Community Bank	(1,261,983.56)
Payroll Revolving Exchange Acct.	Key Bank	0.00
Personal Income Tax	Wells Fargo Bank	48,364.14
Petroleum Business Tax (EFT)	Wells Fargo Bank	307,556.75
Petroleum Products Tax	Bank of America, N.A.	3,205,106.15
PIT Bulk	JPMorgan Chase Bank, N.A.	290,106.00
Port Of Oswego	Key Bank	(160,445.62)
Promptax - MCTMT	Wells Fargo Bank	11,029,101.60

Promptax - Petroleum Business Tax	Wells Fargo Bank	174.56
Promptax - Sales Tax/Sales Tax Prepaid Fuel	Wells Fargo Bank	865.48
Promptax - Withholding	Wells Fargo Bank	145,994,295.91
Promptax - Withholding Checks	JPMorgan Chase Bank, N.A.	33,797.85
Real Estate Transfer Tax	Key Bank	539,143.62
Revenue Holding	First Niagara Bank	2,433,000.00
Sales Tax	JPMorgan Chase Bank, N.A.	682.66
Sales Tax	Wells Fargo Bank	26.18
SUNY Concentration	First Niagara Bank	1,125,000.00
TNC Assessment (EFT)	Wells Fargo Bank	1,220.11
Troy Debt Service Reserve Fund	Bank of America, N.A.	296,650.67
Uncashed Winning Tickets	Bank of America, N.A.	25,238.08
Unclaimed Funds	JPMorgan Chase Bank, N.A.	0.00
Unclaimed Funds - 2	JPMorgan Chase Bank, N.A.	10,572.78
Withholding	Wells Fargo Bank	369.12
Withholding Tax	JPMorgan Chase Bank, N.A.	21,535,720.60
Cash Advance Accounts		
Advance For Travel Account	Key Bank	3,701.30
ERS Petty Cash Acct	Key Bank	5,100.00
Petty Cash Account	Key Bank	3,400.00
Common Retirement Fund		
Common Retirement Fund - Depository	JPMorgan Chase Bank, N.A.	799.56
NYS Common Retirement Fund	JPMorgan Chase Bank, N.A.	340,676.74
Employees Retirement System		
Employees Retirement System - EFT	JPMorgan Chase Bank, N.A.	36.10
Employees Retirement System - General	JPMorgan Chase Bank, N.A.	0.00
Employees Retirement System - Pension	JPMorgan Chase Bank, N.A.	0.00
Group Term Life		
Group Term Life - General	JPMorgan Chase Bank, N.A.	0.00
Municipal Assistance Corporation Accounts		
City Of Troy - MAC	JPMorgan Chase Bank, N.A.	0.00
Police and Fire		
Retirement Police & Firemen's - EFT	JPMorgan Chase Bank, N.A.	6.14
Retirement Police & Firemen's - General	JPMorgan Chase Bank, N.A.	0.00
Retirement Police & Firemen's - Pension	JPMorgan Chase Bank, N.A.	0.00
03000 - DEPARTMENT OF LAW		
Albany Filing Fees Account	Key Bank	No report received
Albany Petty Cash	Bank of America, N.A.	No report received
Albany Revenue Account	Key Bank	No report received
Assessment Account	JPMorgan Chase Bank, N.A.	No report received
Attorney General Account	Key Bank	No report received
Civil Recoveries Account	Key Bank	No report received
Dept Of Law Controlled Disb	M&T Bank	No report received
Marie Roberts	JPMorgan Chase Bank, N.A.	No report received
NYC Filing Fees Account	JPMorgan Chase Bank, N.A.	No report received
NYC Petty Cash Account	JPMorgan Chase Bank, N.A.	No report received
NYC Revenue Account	JPMorgan Chase Bank, N.A.	No report received
Restitution Account	M&T Bank	No report received
Special Account	Key Bank	No report received
US Justice Dept - Shared Forfeiture	Key Bank	No report received
US Treas Dept - Shared Forfeiture	Key Bank	No report received
03010 - OFFICE OF THE ATTORNEY GENERAL		
OCTF - Confidential Fund Checking	JPMorgan Chase Bank, N.A.	No report received
OCTF - Confidential Fund Checking	JPMorgan Chase Bank, N.A.	No report received
03020 - MEDICAID FRAUD CONTROL		
dept atty gen vs john doe	Key Bank	7,891,698.82
National Global Settlement	JPMorgan Chase Bank, N.A.	50,491,490.04
NYS Department Of Law Confidential Account	JPMorgan Chase Bank, N.A.	39,700.00
NYS Department of Law Petty Cash Account	JPMorgan Chase Bank, N.A.	5,262.62
04020 - NYS ASSEMBLY		
Advance For Travel	Bank of America, N.A.	No report received
Petty Cash Account Dist Off	Bank of America, N.A.	No report received
Petty Cash New York City	JPMorgan Chase Bank, N.A.	No report received
Public Information Office	Key Bank	No report received
04030 - ASSEMBLY WAYS & MEANS COMMITTEE		
Advance For Travel	Key Bank	No report received
Petty Cash	Key Bank	No report received
04040 - LEGISLATIVE BILL DRAFTING COMMISSION		
NY LBDC - Legislative Computer Services Fund	Key Bank	9,519.86
NYS Leg Bill Drafting Comm-Petty Cash Acct	Key Bank	1,824.00
04250 - REAPPORTIONMENT		
NYS Taskforce On Demo Res & Reapp	JPMorgan Chase Bank, N.A.	No report received
05000 - OFFICE OF COURT ADMINISTRATION		
Attorney Registration Fees - Revenue	JPMorgan Chase Bank, N.A.	1,770,395.00

Criminal Records Search Acct - Revenue	JPMorgan Chase Bank, N.A.	7,160,605.00
Finger Print Account	JPMorgan Chase Bank, N.A.	5,250.00
Petty Cash Acct	JPMorgan Chase Bank, N.A.	1,000.00
05005 - OCA OFFICE OF BUDGET & FINANCE		
Petty Cash Account	Key Bank	2,500.00
05008 - LAWYERS FUND FOR CLIENT PROTECTION		
Client Security Fund - Bail	Key Bank	1,029,603.49
Lawyers Fund For Client Protection - Bail	Key Bank	699.34
Petty Cash	Key Bank	1,613.49
05023 - ATTORNEY GRIEVANCE COMMITTEE, THIRD JUDICIAL DEPARTMENT		
Attorney Grievance Committee	M&T Bank	750.00
05071 - COURT OF APPEALS		
Chief Judge Advance	Key Bank	3,128.46
Clerk Of The Court Of Appeals	Key Bank	6,296.12
05072 - STATE BOARD OF LAW EXAMINERS		
State Board Of Law Examiners Fee	Key Bank	70,510.00
05081 - APPELLATE DIVISION - 1ST JUDICIAL DEPARTMENT		
1st Appellate Division Supreme Ct	JPMorgan Chase Bank, N.A.	42,942.57
1st Jud Dept Petty Cash Appellate	JPMorgan Chase Bank, N.A.	269.43
05082 - APPELLATE DIVISION - 2ND JUDICIAL DEPARTMENT		
Appellate Div 2nd Dept Revenue	JPMorgan Chase Bank, N.A.	No report received
05083 - APPELLATE DIVISION - 3RD JUDICIAL DEPARTMENT		
Third Dept Civil Fees Acct - Revenue	Key Bank	30,082.80
05084 - APPELLATE DIVISION - 4TH JUDICIAL DEPARTMENT		
4th Dept Appellate Div Civil Fees - Revenue	JPMorgan Chase Bank, N.A.	12,387.50
05090 - COURT OF CLAIMS		
Court Of Claims Revenue Account	Key Bank	6,479.10
05111 - 10TH JUDICIAL DISTRICT NASSAU COUNTY ADMINISTRATION		
Glen Cove City Court		
Glen Cove City Court Bail	Wells Fargo Bank	136,673.21
Glen Cove City Court Revenue	Wells Fargo Bank	59,636.00
Long Beach City Court		
Long Beach City Court Revenue	Wells Fargo Bank	87,516.75
Long Beach Court Bail	Wells Fargo Bank	141,113.46
Nassau County Court		
Nassau County Assessment	Wells Fargo Bank	30,588.28
Nassau District Court - Criminal		
Nassau Dist Ct Criminal Revenue	Wells Fargo Bank	303,613.22
Nassau District Court-Civil		
Nassau County Dist Ct- Civil Revenue	Wells Fargo Bank	25,492.63
Nassau Surrogate		
Nassau County Surrogate Court-Revenue	Wells Fargo Bank	118,525.75
05112 - 10TH JUDICIAL DISTRICT SUFFOLK COUNTY ADMINISTRATION		
10th Judicial District Suffolk County Admin		
Suffolk County Court -Court Fund	People's United Bank	40,868.00
Suffolk County Surrogate		
Surrogate Court Of Suffolk County	People's United Bank	119,789.00
Suffolk District Court Civil Fees		
Suffolk County District Court Civil Fees	Citibank	494,845.04
Suffolk District Court Criminal Fines		
Suffolk County District Court Criminal Fines	Citibank	289,655.35
Suffolk District Court Trust Acct		
Suffolk County District Court Trust Account	Citibank	4,963.53
05210 - NYC-CIVIL COURT		
Bronx Civil Court - Civil		
Bronx Civil Court - Revenue	JPMorgan Chase Bank, N.A.	218,829.16
Harlem Community Justice Court		
Harlem Community Justice - Revenue Account	JPMorgan Chase Bank, N.A.	1,540.00
Kings Civil Court- Civil		
Kings Civil Court- Civil Revenue	JPMorgan Chase Bank, N.A.	164,315.65
New York Civil Court - Civil		
New York Civil Court Revenue Acct	JPMorgan Chase Bank, N.A.	78,823.91
Queens Civil Court - Civil		
Queens Civil - Revenue	JPMorgan Chase Bank, N.A.	74,777.33
Richmond Civil Court - Civil		
Richmond Civil Ct Revenue Acct	JPMorgan Chase Bank, N.A.	30,373.15
05215 - NYC-CRIMINAL COURT		
Bronx Criminal Court- Criminal Court		
Bronx Criminal Division- Criminal Bail	JPMorgan Chase Bank, N.A.	83,407.00
Bronx Criminal Court- Criminal Court		
Bronx Criminal Division- Criminal Revenue	JPMorgan Chase Bank, N.A.	78,442.35
Kings County Criminal Court		
Kings Criminal Court	Citibank	65,066.00
New York County Criminal Court		

New York Criminal Court	JPMorgan Chase Bank, N.A.	85,179.00
New York Criminal Court- State Funds	JPMorgan Chase Bank, N.A.	98,332.00
Queens County Criminal Court		
Queens Criminal Court	JPMorgan Chase Bank, N.A.	99,960.00
Queens Criminal Court - State Funds	JPMorgan Chase Bank, N.A.	130,917.00
Richmond County Criminal Court		
Richard Criminal Court-City Funds	JPMorgan Chase Bank, N.A.	45,595.00
Richmond Criminal Court-State Funds	JPMorgan Chase Bank, N.A.	35,202.00
05220 - NYC-FAMILY COURT		
Family Citywide Administration		
NYC Family Court	JPMorgan Chase Bank, N.A.	310.00
05231 - SUPREME COURT - BRONX COUNTY		
Bronx County Supreme - NYS OCA	JPMorgan Chase Bank, N.A.	8,766.00
05235 - SUPREME COURT - KINGS COUNTY		
Kings Co Supreme		
Supreme Court Kings County-Revenue	JPMorgan Chase Bank, N.A.	11,030.00
05240 - SUPREME COURT - QUEENS COUNTY		
Queens Co Supreme		
Queens County Supreme Court	JPMorgan Chase Bank, N.A.	13,993.14
Queens County Supreme Court	JPMorgan Chase Bank, N.A.	0.00
05250 - NEW YORK COUNTY CLERK		
New York Co Clerk Revenue Account	JPMorgan Chase Bank, N.A.	3,651,244.50
05255 - BRONX COUNTY CLERK		
Bronx County Clerk		
Bronx Cnty Clerk Revenue Acct	JPMorgan Chase Bank, N.A.	2,085,230.00
05260 - KINGS COUNTY CLERK		
Kings County Clerk		
Kings County Clerk Revenue Account	Flushing Commercial Bank	2,515,718.90
05265 - QUEENS COUNTY CLERK		
Queens County Clerk-Revenue Acct	Sterling Bank	2,056,840.32
05270 - RICHMOND COUNTY CLERK		
Richmond Co Clerk State Fees Account	JPMorgan Chase Bank, N.A.	7,343,430.17
Richmond County Clerk DEC	JPMorgan Chase Bank, N.A.	228.21
05275 - NEW YORK COUNTY SURROGATES COURT		
New York Surrogate		
New York Surrogate Court	JPMorgan Chase Bank, N.A.	39,362.25
05280 - BRONX COUNTY SURROGATES COURT		
Bronx Surrogate		
Bronx Surrogate Court Revenue Acct	JPMorgan Chase Bank, N.A.	7,212.00
05285 - KINGS COUNTY SURROGATES COURT		
Kings County Surrogate		
Kings Co. Surrogate Revenue Acct	Bank of America, N.A.	20,795.00
05290 - QUEENS COUNTY SURROGATES COURT		
Queens surrogate		
Queens Co Revenue Acct Surrogate	Signature Bank	31,644.25
05295 - RICHMOND COUNTY SURROGATES COURT		
Richmond County Surrogate Court Revenue Account	Victory State Bank	18,785.00
05360 - 3RD JUDICIAL DISTRICT ADMINISTRATION		
Albany City Court - (Civil)		
Albany City Civil - Revenue	Wells Fargo Bank	17,789.38
Albany City Court - (Crim-Bail)		
Albany City Criminal - Bail	Wells Fargo Bank	29,066.65
Albany City Court - (Traffic)		
Albany City Traffic-Revenue	Wells Fargo Bank	223,442.00
Albany Traffic Court - Bail	Bank of America, N.A.	0.00
Albany City Court - Civil Part		
Albany City Court Civil - Revenue	Trustco Bank	0.00
Albany City Court - Crim		
Albany City Court-Crim	Wells Fargo Bank	8,391.00
Albany City Court - Traffic-Bail		
Albany City Traffic - Bail	Wells Fargo Bank	1,300.59
Albany County Surrogate		
Albany Cty Surrogates Court - Revenue	Bank of America, N.A.	15,927.00
Albany Police Court		
Albany Police Court Bail Account	Key Bank	0.00
Cohoes City Court		
Cohoes City Court Bail	Key Bank	32,811.65
Cohoes City Court Fees/Fines Account	Key Bank	7,714.56
Columbia County Surrogate		
Columbia Co Surrogate Ct Fees - Revenue	Key Bank	457.00
Greene Surrogate		
Greene Surrogate-Revenue	Wells Fargo Bank	2,087.00
Hudson City Court		
Hudson City Bail	Wells Fargo Bank	30,661.80

Hudson City Court Bail Acct	Trustco Bank	0.00
Hudson City Court Revenue Acct - Revenue	Trustco Bank	0.00
Hudson City Revenue	Wells Fargo Bank	6,875.00
Kingston City Court		
Kingston City Court Bail	Key Bank	11,704.00
Kingston City Court Bail	Wells Fargo Bank	1,301.00
Kingston City Court Revenue	Wells Fargo Bank	42,585.25
Rensselaer City Court		
Rensselaer City Court - Revenue	Key Bank	8,596.28
Rensselaer City Court Bail Acct	Key Bank	6,847.70
Rensselaer County Surrogate		
Rensselaer Co Surrogate Ct Fees - Revenue	Key Bank	23,405.25
Schoharie County Surrogate		
Schoharie Co Surrogates Court - Revenue	Bank of America, N.A.	1,087.00
Sullivan Surrogate		
Sullivan Surrogate-Revenue	Wells Fargo Bank	1,861.50
Troy City Court		
Troy City Court- Revenue Acct - Revenue	Bank of America, N.A.	92,551.55
Troy Police Court Bail Account	Bank of America, N.A.	36,023.46
Ulster County Surrogate		
Ulster County Surrogate Court - Revenue	Key Bank	11,782.00
Watervliet City Court		
Watervliet Bail	Pioneer Savings Bank	52,476.50
Watervliet Revenue	Pioneer Savings Bank	46,548.30
05460 - 4TH JUDICIAL DISTRICT ADMINISTRATION		
Amsterdam City Court		
Amsterdam City Court - Bail	Key Bank	80,906.29
Amsterdam City Court - Revenue	Key Bank	29,178.12
Clinton County Surrogates		
Clinton County Surrogates - Revenue	Key Bank	2,104.25
Essex County Surrogate		
Essex Co Surrogate Clerk - Revenue	Champlain National	1,538.00
Franklin County Surrogate		
Franklin Co Surrogate Court - Revenue	Key Bank	1,018.00
Fulton County Surrogate		
Fulton County Surrogate's Court	Key Bank	1,877.50
Glens Falls City Court		
Glens Falls City Court Account - Revenue	Glens Falls National	13,160.44
Glens Falls City Court Bail Acct	Glens Falls National	48,291.90
Gloversville City Court		
Gloversville City Court Bail	NBT Bank	72,101.15
Gloversville City Court Revenue	NBT Bank	11,328.14
Hamilton Surrogate		
Hamilton Surrogate - Revenue	Community Bank	2.00
Johnstown City Court		
City Of Johnstown Bail Account - Bail	Key Bank	31,654.13
Johnstown City Court Fines/Fees - Revenue	Key Bank	7,946.40
Mechanicville City Court		
Mechanicville City Court Bail	TD Bank	12,393.33
Mechanicville City Ct Revenue Acct	TD Bank	9,217.07
Montgomery County Surrogate		
Montgomery County Surrogates Court - Revenue	NBT Bank	2,121.00
Ogdensburg City Court		
Ogdensburg City Court Int Bail	Community Bank	33,574.25
Ogdensburg City Court Revenue	Community Bank	6,652.00
Plattsburgh City Court		
Plattsburgh City Court - Bail	Glens Falls National	94,058.59
State Of NY Plattsburgh City Court - Revenue	Glens Falls National	16,680.44
Saratoga County Surrogate		
Saratoga County Surrogate - Revenue	Ballston Spa National Bank	2,826.75
Saratoga Springs City Court		
Saratoga Springs Bail Account	The Adirondack Trust Company	70,983.00
Saratoga Springs City Revenue Acct	The Adirondack Trust Company	23,494.77
Schenectady City Court		
Schenectady City Court- Bail	Bank of America, N.A.	55,919.46
Schenectady City Court Revenue	Bank of America, N.A.	67,069.64
Schenectady Surrogate		
Schenectady Surrogate Court - Revenue	Key Bank	2,229.75
St. Lawrence Co Surrogate		
St. Lawrence County Surrogate - Revenue	Community Bank	880.00
Warren County Surrogate		
Warren County Surrogate Court - Revenue	TD Bank	2,752.00
Washington Surrogates		
Washington Surrogate Revenue	TD Bank	1,927.25

05560 - 5TH JUDICIAL DISTRICT ADMINISTRATION

Fulton City Court		
Fulton City Court Bail Acct	Key Bank	9,546.68
Fulton City Court Revenue	Key Bank	12,629.13
Herkimer Surrogate		
Herkimer Surrogate - Revenue	Partners Trust	3,228.75
Jefferson Surrogates		
Jefferson Co Surrogate Revenue	Key Bank	2,481.75
Lewis County Surrogates		
Lewis County Surrogate Court - Revenue	Key Bank	0.00
Little Falls City Court		
Little Falls City Court Bail	Bank of America, N.A.	0.00
Little Falls City Court Revenue	M&T Bank	5,725.00
Oneida County Combined		
Oneida County Combined Court	Adirondack Bank	9,541.00
Oneida County Surrogates		
Oneida County Surrogate Court Revenue	The Adirondack Trust Company	4,339.25
Onondaga County Surrogates		
Onondaga Surrogate Court - Revenue	Alliance Bank	6,693.25
Oswego City Court		
Oswego City Court Bail Acct	JPMorgan Chase Bank, N.A.	16,395.45
Oswego City Court Revenue	JPMorgan Chase Bank, N.A.	16,965.66
Oswego Surrogate Court		
Oswego County Surrogate Court - Revenue	Key Bank	4,801.00
Rome City Court		
City Court Of Rome Bail Account - Bail	Bank of America, N.A.	58,136.34
Rome City Court - Revenue	Bank of America, N.A.	60,111.16
Sherrill City Court		
Sherrill City Court 5th Jud Dist - Bail	Alliance Bank	750.00
Sherrill City Court Fees - Revenue	Alliance Bank	1,742.00
Syracuse City Court		
Syracuse City Court - Bail	Alliance Bank	342,773.02
Syracuse City Court - Fees - Revenue	Alliance Bank	73,643.90
Utica City Court		
Utica City Court Criminal Bail	Bank of Utica	89,336.81
Utica City Court Revenue Account	Key Bank	52,290.33
Watertown City Court		
Watertown City Court Bail	Key Bank	64,506.35
Watertown City Court Fees & Fines - Revenue	Key Bank	12,944.76

05661 - 6TH JUDICIAL DISTRICT ADMINISTRATION

Binghamton City Court		
Binghamton City Court Bail	M&T Bank	49,980.00
Binghamton City Court Revenue	M&T Bank	38,790.96
Broome Surrogates		
SNY UCS Broome County Surrogates Court	Wells Fargo Bank	3,295.50
Chemung County Surrogates		
SNY UCS Chemung County Surrogates Court	Wells Fargo Bank	648.25
Chenango County Surrogates		
SNY UCS Chenango County Surrogates Court	Wells Fargo Bank	733.50
Cortland City Court		
Court City Court Bail	NBT Bank	15,975.29
Court City Court- Revenue	NBT Bank	10,426.89
Cortland County Surrogates		
SNY UCS Cortland County Surrogates Court	Wells Fargo Bank	513.50
Delaware County Surrogates		
Delaware County Surrogate - Revenue	Delaware National Bank	1,832.50
Elmira City Court		
Elmira City Court - Revenue Account	Chemung Canal Trust	24,563.44
Elmira City Court Bail	Chemung Canal Trust	52,421.28
Ithaca City Court		
Ithaca City Court	Tompkins County Trust	18,030.00
Ithaca City Court Revenue	Tompkins County Trust	27,573.72
Madison County Surrogates		
SNY UCS Madison County Surrogates Court	Wells Fargo Bank	487.50
Norwich City Court		
Norwich City Court Bail Acct	NBT Bank	23,920.00
Norwich City Court Revenue Acct	NBT Bank	10,968.05
Oneida City Court		
Oneida City Court Bail Account	JPMorgan Chase Bank, N.A.	7,925.50
Oneida City Court Fee & Fine - Revenue	JPMorgan Chase Bank, N.A.	15,834.38
Oneonta City Court		
Oneonta City Court - Revenue	Community Bank	16,244.00
Oneonta City Court Bail Account	Community Bank	15,380.00
Otsego County Surrogates		

Otsego County Surrogates Court - Revenue	Key Bank	2,317.25
Schuyler County Surrogates		
Schuyler County Surrogates Court	Community Bank	438.25
Tioga County Surrogates		
Tioga Surrogates Court - Revenue	M&T Bank	1,455.50
Tompkins County Surrogates		
SNY UCS Tompkins County Surrogates Court	Wells Fargo Bank	2,775.25
05761 - 7TH JUDICIAL DISTRICT ADMINISTRATION		
Auburn City Court		
Auburn City Court Bail Acct	Key Bank	46,713.50
Auburn City Court Fees & Fines - Revenue	Key Bank	19,028.76
Canandaigua City Court		
Canandaigua City Court Bail Acct	Canandaigua National Bank	27,122.99
Canandaigua City Court Revenue	Canandaigua National Bank	24,258.47
Cayuga County Surrogates		
Cayuga Surrogate Court	Wells Fargo Bank	1,410.00
Corning City Court		
Corning City Court - Revenue	Community Bank	15,030.78
Corning City Court Bail	M&T Bank	38,870.50
Geneva City Court		
Geneva City Court Bail Account	Wells Fargo Bank	25,356.89
Geneva City Court Revenue Account	Wells Fargo Bank	17,434.50
Hornell City Court		
Hornell City Court Bail Account	Steuben Trust Co.	20,680.96
Hornell City Court Revenue	Steuben Trust Co.	4,276.89
Livingston County Surrogates		
Livingston Surrogate Court	Wells Fargo Bank	81.00
Monroe County Surrogates		
7th District Monroe Surrogate	Wells Fargo Bank	18,843.00
Ontario County Surrogates		
Ontario Surrogate Court	Wells Fargo Bank	3,273.75
Rochester City Court		
Rochester City Court Bail Account	M&T Bank	641,070.92
Rochester City Revenue	M&T Bank	47,777.98
Seneca County Surrogates		
Seneca Surrogate Court	Wells Fargo Bank	408.25
Steuben County Surrogates		
7th District Steuben Surrogate	Wells Fargo Bank	5,986.00
Wayne County Surrogates		
Wayne Surrogate Court	Wells Fargo Bank	519.25
Yates County Surrogates		
Yates Surrogate Court	Wells Fargo Bank	3,504.00
05860 - 8TH JUDICIAL DISTRICT ADMINISTRATION		
Allegany County Surrogates Court		
ST of NY Office of the State Comptroller State of New York Unified Courts Allegany Surrogate Court	Wells Fargo Bank	No report received
Batavia City Court		
Batavia City Bail Account	M&T Bank	No report received
Batavia City Court Revenue	M&T Bank	No report received
Buffalo City Court		
Buffalo City Bail Account	M&T Bank	No report received
Buffalo City Revenue Account	M&T Bank	No report received
Cattaraugus County Surrogates		
ST of NY Office of the State Comptroller State of New York Unified Courts Cattaraugus Surrogate Court	Wells Fargo Bank	No report received
Chautauqua County Surrogates Court		
ST of NY Office of the State Comptroller State of New York Unified Courts Chautauqua Surrogate Court	Wells Fargo Bank	No report received
Dunkirk City Court		
Dunkirk City - Revenue	Key Bank	No report received
Dunkirk City Court - Bail	Key Bank	No report received
Erie - Buffalo County Law Library		
Sur Ct Lib At Buffalo - Revenue	M&T Bank	No report received
Erie County Surrogates		
ST of NY Office of the State Comptroller State of New York Unified Courts Erie Surrogate Court	Wells Fargo Bank	No report received
Genesee County Surrogates		
ST of NY Office of the State Comptroller State of New York Unified Courts Genesee Surrogate Court	Wells Fargo Bank	No report received
Jamestown City Court		
Jamestown City Court Special Bail	Key Bank	No report received
State of New York Office of Court Administration Jamestown City Court Bail	Key Bank	No report received
State of New York Office of Court Administration Jamestown City Court Revenue	Key Bank	No report received
Lackawanna City Court		
Lackawanna City Court Bail Account	Key Bank	No report received
Lackawanna City Court Revenue Account	Key Bank	No report received
Lockport City Court		
Lockport City - Bail	Key Bank	No report received
Lockport City HESC EFT Account - Revenue	Key Bank	No report received

Niagara City Court		
Niagara Falls Bail Bond Account	M&T Bank	No report received
Niagara City Court - Criminal		
City Court Of Niagara Falls Criminal - Revenue	M&T Bank	No report received
Niagara County Surrogates		
ST of NY Office of the State Comptroller State of New York Unified Courts Niagara Surrogate Court	Wells Fargo Bank	No report received
No. Tonawanda City Court		
N. Tonawanda City Court Bail	M&T Bank	No report received
N. Tonawanda City Court Revenue	M&T Bank	No report received
Olean City Court		
Olean City Court Bail Account	Community Bank	No report received
Olean City Court Revenue Account	Community Bank	No report received
Orleans County Surrogates		
ST of NY Office of The State Comptroller State of New York Unified Courts Orleans Surrogate Court	Wells Fargo Bank	No report received
Salamanca City Court		
Salamanca City Court Bail	Community Bank	No report received
Salamanca City Court City Judge - Revenue	Community Bank	No report received
Tonawanda City Court		
Tonawanda City Court Bail	M&T Bank	No report received
Tonawanda City Court Revenue	M&T Bank	No report received
Wyoming County Surrogates		
ST of NY Office of the State Comptroller State of New York Unified Courts Wyoming Surrogate Court	Wells Fargo Bank	No report received
05960 - 9TH JUDICIAL DISTRICT ADMINISTRATION		
Beacon City Court		
Beacon City Court Bail Account - Bail	JPMorgan Chase Bank, N.A.	25,915.50
Beacon City Fines Account - Revenue	JPMorgan Chase Bank, N.A.	42,021.22
Dutchess County Surrogates Court		
Dutchess County Surrogate Court - Revenue	JPMorgan Chase Bank, N.A.	35,280.09
Middletown City Court		
Middletown City Bail Escrow - Bail	JPMorgan Chase Bank, N.A.	117,602.92
Middletown City Court Revenue	JPMorgan Chase Bank, N.A.	79,798.05
Mt Vernon City Court		
Mt Vernon City Court State Bail	Wells Fargo Bank	125,134.99
Mt Vernon City Court State Revenue	Wells Fargo Bank	75,532.85
Mt. Vernon City Court		
Mt Vernon City Trust - Bail	JPMorgan Chase Bank, N.A.	489.47
New Rochelle City Court		
New Rochelle City Court Bail	JPMorgan Chase Bank, N.A.	372,831.37
New Rochelle City Court Revenue	JPMorgan Chase Bank, N.A.	67,328.43
Newburgh City Court		
Newburgh Bail Account	Wells Fargo Bank	59,010.75
Newburgh City Court Revenue	Wells Fargo Bank	27,176.73
Orange County Surrogates Court		
Orange Co Surrogates Court - Revenue	JPMorgan Chase Bank, N.A.	13,726.25
Peekskill City Court		
Peekskill City Court Revenue	JPMorgan Chase Bank, N.A.	33,585.20
Peekskill City Court		
Peekskill City Court - Bail	JPMorgan Chase Bank, N.A.	106,220.57
Port Jervis City Court		
Port Jervis Bail Account - Bail	JPMorgan Chase Bank, N.A.	41,606.00
Port Jervis Revenue Account - Revenue	JPMorgan Chase Bank, N.A.	26,356.78
Poughkeepsie		
Poughkeepsie City Court -Bail	Wells Fargo Bank	137,679.97
Poughkeepsie City Court -Revenue	Wells Fargo Bank	82,135.36
Putnam Co Surrogate's Court		
Putnam Co Surrogates Court	Putnam County National Bank	12,595.50
Rockland County Surrogates Court		
Rockland Co Surrogates Court - Revenue	JPMorgan Chase Bank, N.A.	20,657.75
Rye City Court		
City Of Rye Bail Account	JPMorgan Chase Bank, N.A.	14,530.40
City Of Rye Fines And Fees - Revenue	JPMorgan Chase Bank, N.A.	33,364.35
Westchester County Surrogates Court		
Westchester Co Surrogates Fees - Revenue	JPMorgan Chase Bank, N.A.	63,055.50
White Plains City Court		
White Plains City Court Bail Account	Sterling Bank	9,641.96
White Plains City Court		
White Plains City Court Bail Account	Sterling Bank	175,771.75
White Plains City Court Vehicle And Traffic Acct - Revenue	Sterling Bank	119,197.36
Yonkers City Court		
Yonkers City Bail Account - Bail	Wells Fargo Bank	288,042.12
Yonkers City Bail Account- Bail	Sterling Bank	27,122.07
Yonkers City Revenue Account - Revenue	Wells Fargo Bank	110,643.18
Yonkers City State Account - Revenue	Sterling Bank	0.00
Yonkers City Court - Escrow		

Yonkers City Escrow Account - Bail	Sterling Bank	28,282.39
Yonkers City Court - State Fund		
Yonkers City State Fund Account - Revenue	Sterling Bank	0.00
06000 - AGRICULTURE & MARKETS		
Administration Account	Key Bank	37,976.04
Agency Advance Account	Key Bank	10,000.00
Agriculture Producers Sec Fund	Key Bank	35,412.00
Animal Population Control Account	Key Bank	29,345.19
Apple Marketing Order Fund	Key Bank	0.00
Consumer Food Industry Account	Key Bank	44,815.38
Dairy Industry Services Account	Key Bank	26,319.92
Dairy Promotion Order Fund	Key Bank	0.00
Farm Products Grading	JPMorgan Chase Bank, N.A.	0.00
Milk Producers Security Fund	Key Bank	50,197.05
NYS Farmers Market Program	Key Bank	1,329,842.49
NYS WNY Milk Mktg Area Administration Fund	M&T Bank	144.68
NYS WNY Milk Mktg Area Equalization Fund	M&T Bank	11,458.75
NYS WNY Milk Mktg Area Equalization Fund Savings	M&T Bank	318.89
Onion Marketing Order	Key Bank	0.00
Plants Industry Account	Key Bank	9,155.26
Pride of NY	Key Bank	6,266.98
Sour Cherry Marketing Fund	Key Bank	0.00
State Fair Premium Award Account	Solvay Bank	51,472.57
Weights & Measures Account	Key Bank	41,142.59
NYS Dept Agriculture & Markets		
Apple Marketing Order Fund	Key Bank	0.00
Dairy Promotion Order Fund	Key Bank	0.00
Farm Products	Key Bank	71,380.77
State Fair		
NYS Fair Operating Account	Solvay Bank	147,018.05
NYS Fair Petty Cash/Travel	Solvay Bank	1,399.53
NYS Fair Special Account	Solvay Bank	228.72
State Fair Premium Award Account	Solvay Bank	0.00
08000 - DEPARTMENT OF CIVIL SERVICE		
Agency Advance Account	Bank of America, N.A.	3,000.00
Examination Application Fees Account	Bank of America, N.A.	5,647.00
Examination Application Fees Account	Key Bank	9,110.00
NYS Affirmative Action Advisory Account	Bank of America, N.A.	5,141.90
NYS Department of Civil Service	US Bank	33,096,014.57
08010 - PUBLIC EMPLOYEE RELATIONS BOARD		
Petty Cash And Travel Advance Account	Key Bank	558.31
09000 - DEPARTMENT OF ENVIRONMENTAL CONSERVATION		
Albany		
Asharoken Feasibility Study	JPMorgan Chase Bank, N.A.	33,987.16
Bayville Feasibility Study	JPMorgan Chase Bank, N.A.	489,990.64
Conservation Petty Cash Account	M&T Bank	20,821.24
DEC/Exchange Account	M&T Bank	20,044.89
ENCON License Issuing Office	M&T Bank	1,133.00
ENCON/Montauk Point Feasibility Study	JPMorgan Chase Bank, N.A.	7,150.49
ENCON/South Shore Of Staten Island	JPMorgan Chase Bank, N.A.	22,746.29
Harbor Drift Removal Proj	JPMorgan Chase Bank, N.A.	1,549,941.61
Hunting Trapping & Fishing Account	M&T Bank	46,158.55
Lake Montauk Harbor	JPMorgan Chase Bank, N.A.	234,504.58
Lockbox Account	Wells Fargo Bank	273,211.21
Mattituck Inlet	JPMorgan Chase Bank, N.A.	1,319.03
NY Conservationist	Bank of America, N.A.	42,211.39
Program Fee	JPMorgan Chase Bank, N.A.	2,974.85
Revenue Account	Bank of America, N.A.	497,566.71
Rockaway Beach Study & Project	JPMorgan Chase Bank, N.A.	1,255,398.40
State of New York	Key Bank	124,607.11
US Army Coe - Moriches Project	JPMorgan Chase Bank, N.A.	11,082.60
Westhampton Project Escrow	JPMorgan Chase Bank, N.A.	254,415.44
Region 1		
Marine Permit Account	Bank of Smithtown	6,275.00
Region 3		
Revenue Region 3 Account	Bank of America, N.A.	0.00
Region 4		
Bear Spring Revenue Account	National Bank of Delaware	0.00
Region 4	Greene County Commercial Bank	150.00
Region 4 Camping	NBT Bank	0.00
Region 5		
Campsite Revenue Account	Glens Falls National	11.65
Land & Forest Region 5W	TD Bank	23,585.55
NYS Conservation	Glens Falls National	1.00

Recreation (Warrensburg)	City National Bank & Trust	2,163.91
Region 5	Citizens Bank	38.03
Region 5	NBT Bank	66,440.96
Tree Nursery	Bank of America, N.A.	120.00
Region 6		
Fish & Wildlife Watertown	Key Bank	121.92
Lands & Forest District #7	Community Bank	0.00
Lands & Forests District #6	Community Bank	0.00
Lands And Forests District 10	M&T Bank	70.20
SNY Dept Of Environmental Conserv	Community Bank	14.04
10000 - ATTICA CORRECTIONAL FACILITY		
Agency Advance Account	Five Star Bank	No report received
CD Spendable	Five Star Bank	No report received
Employee Benefit Fund	Five Star Bank	No report received
General Cash Fund	Five Star Bank	No report received
Inmate Occupational Therapy Fund	Five Star Bank	No report received
Inmate Savings Account	Five Star Bank	No report received
Spendable Fund	Five Star Bank	No report received
10010 - AUBURN CORRECTIONAL FACILITY		
Advance Account	Bank of America, N.A.	0.00
Advance Account	Key Bank	5,476.96
Certificate of Deposit	Bank of America, N.A.	15,000.00
Certificate of Deposit	Bank of America, N.A.	90,000.00
Certificate of Deposit	Bank of America, N.A.	20,000.00
Inmate Occupational Therapy Fund	Bank of America, N.A.	0.00
Inmate Occupational Therapy Fund	Key Bank	25,671.66
Inmate Spendable Account	Bank of America, N.A.	48,366.26
Inmate Spendable Account	Key Bank	122,339.89
Inmate Spendable Savings CD	Key Bank	0.00
Misc Receipts	Bank of America, N.A.	0.00
Misc Revenue	Key Bank	6,964.93
Money Market	Bank of America, N.A.	35,000.00
10020 - CLINTON CORRECTIONAL FACILITY		
Advance Account	Key Bank	9,588.95
Employee Benefit Fund	Key Bank	16,536.73
General Fund	Key Bank	2,104.40
Inmate Funds	Key Bank	195,456.18
Inmate Funds Money Market Account	Key Bank	691,136.66
Inmate Occupational Therapy Acct	Key Bank	107,931.74
10030 - WATERTOWN CORRECTIONAL FACILITY		
Agency Advance Account	Key Bank	693.70
Inmate Occupational Therapy Fund	Key Bank	16,769.62
Inmate Spendable Funds	Key Bank	70,330.76
Inmate Spendable Savings Account	Key Bank	90,456.69
Miscellaneous Receipts	Key Bank	15,909.73
10040 - GREAT MEADOW CORRECTIONAL FACILITY		
Certificate of Deposit	Glens Falls National	25,000.00
Certificate of Deposit	Glens Falls National	100,000.00
Certificate of Deposit	Glens Falls National	150,000.00
Facility Advance	Key Bank	6,250.00
General Fund	Key Bank	0.00
Inmate Fund	Key Bank	70,643.00
Inmate Fund Savings Account	Glens Falls National	152,500.00
Miscellaneous Account	Key Bank	6,381.20
Occupational Therapy	Key Bank	20,749.77
10050 - FISHKILL CORRECTIONAL FACILITY		
Agency Advance	M&T Bank	3,641.40
Employee Benefits	M&T Bank	17,611.97
Inmate Spending Account	M&T Bank	588,120.98
Inmates Account	M&T Bank	462,506.33
Inmates Benefit	M&T Bank	24,163.44
Misc Receipts	M&T Bank	58,205.92
Occupational Therapy Account	M&T Bank	31,137.10
10060 - WALLKILL CORRECTIONAL FACILITY		
Advance Account	Key Bank	No report received
Employee Benefit	Key Bank	No report received
Inmate Occupational Therapy Fund	Key Bank	No report received
Inmate Savings Account	Key Bank	No report received
Inmates Fund Account	Key Bank	No report received
Misc. Receipts	Key Bank	No report received
10070 - SING SING CORRECTIONAL FACILITY		
Cash Advance	JPMorgan Chase Bank, N.A.	6,338.61
Inmate Funds	JPMorgan Chase Bank, N.A.	188,224.49
Inmate Interest Funds	JPMorgan Chase Bank, N.A.	452,380.48

Misc Receipts	JPMorgan Chase Bank, N.A.	70,755.76
Occupational Therapy	JPMorgan Chase Bank, N.A.	108,574.76
Quality Work Life	JPMorgan Chase Bank, N.A.	26,666.01
10080 - GREEN HAVEN CORRECTIONAL FACILITY		
Advance Account	Key Bank	1,779.24
General Fund	Key Bank	51,883.15
Inmates Money Market	Key Bank	287,019.63
Inmates Now Checking	Key Bank	763,932.23
Occupational Therapy Fund	Key Bank	93,925.11
10090 - ALBION CORRECTIONAL FACILITY		
Albion Advance Account	Bank of America, N.A.	4,229.39
Employee Benefit Fund	Bank of America, N.A.	10,031.75
Inmate Funds	Bank of America, N.A.	152,409.90
Inmate Funds Savings	Bank of America, N.A.	103,689.74
Misc Receipts	Bank of America, N.A.	4,185.24
Occupational Therapy	Bank of America, N.A.	28,133.55
10100 - EASTERN NEW YORK CORRECTIONAL FACILITY		
Agency Advance Account	Sterling Bank	1,803.48
Employee Benefit Fund	Sterling Bank	14,359.50
ID Now 3 Month CD	M&T Bank	50,102.55
Inmate Deposit Now Account	Sterling Bank	392,416.63
Inmate Occupational Therapy Account	Sterling Bank	27,270.73
Misc. Receipts	Sterling Bank	5,957.78
10110 - ELMIRA CORRECTIONAL & RECEPTION CENTER		
Agency Advance Account	Chemung Canal Trust	2,105.96
ECF Clubhouse	Chemung Canal Trust	29,296.05
Employee Benefit Fund	Chemung Canal Trust	16,705.67
Inmate CD Account	M&T Bank	213,463.33
Inmates Fund	Chemung Canal Trust	397,957.55
Miscellaneous Receipts	Chemung Canal Trust	0.00
Occupational Therapy Fund	Chemung Canal Trust	57,316.95
10120 - BEDFORD HILLS CORRECTIONAL FACILITY		
Advance Account	JPMorgan Chase Bank, N.A.	1,613.56
Employee Benefit Fund	JPMorgan Chase Bank, N.A.	3,177.58
Inmate Funds	JPMorgan Chase Bank, N.A.	183,600.28
Inmate Funds CD	JPMorgan Chase Bank, N.A.	90,000.00
Inmate Funds Money Market	JPMorgan Chase Bank, N.A.	20,880.08
Misc. Receipts	JPMorgan Chase Bank, N.A.	36.00
Occupational Therapy	JPMorgan Chase Bank, N.A.	42,507.16
10130 - COXSACKIE CORRECTIONAL FACILITY		
Agency Advance Account	National Bank of Coxsackie	2,206.42
Employee Benefits Fund	National Bank of Coxsackie	11,969.84
Inmates Fund	National Bank of Coxsackie	123,479.19
Inmates Fund Savings Acct	National Bank of Coxsackie	23,627.27
Misc. Revenue	National Bank of Coxsackie	9,888.12
Money Market Acct	National Bank of Coxsackie	160,187.96
Occupational Therapy Acct	National Bank of Coxsackie	17,811.37
10140 - WOODBOURNE CORRECTIONAL FACILITY		
CD - Inmate Funds	First National Bank of Jeffersonville	No report received
CD - Inmate Funds	First National Bank of Jeffersonville	No report received
WCF Agency Advance	Jeff Bank	No report received
WCF General Fund	Jeff Bank	No report received
WCF Inmate Fund	Jeff Bank	No report received
WCF Occupational Therapy Fund	Jeff Bank	No report received
10160 - DEPARTMENT OF CORRECTIONS AND COMMUNITY SUPERVISION		
Agency Advance Account	Key Bank	24,530.83
Employee Benefit Fund	Key Bank	21,739.73
Inmate Escrow Account	Key Bank	374,458.90
Misc. Receipts Account	Key Bank	106,479.86
Special Account	Key Bank	1,300.00
10170 - QUEENSBORO CORRECTIONAL FACILITY		
Agency Advance Account	JPMorgan Chase Bank, N.A.	5,675.07
Employee Benefit Fund	JPMorgan Chase Bank, N.A.	6,934.52
Inmate Funds Account	JPMorgan Chase Bank, N.A.	121,038.18
Miscellaneous Receipts Account	JPMorgan Chase Bank, N.A.	505.91
Occupational Therapy Fund	JPMorgan Chase Bank, N.A.	2,748.81
Savings Account	JPMorgan Chase Bank, N.A.	15,499.90
10230 - ADIRONDACK CORRECTIONAL FACILITY		
ADK QWL	Community Bank	7,543.17
Agency Advance	Community Bank	790.00
Diversity Management	Community Bank	826.10
Employee Benefit Fund	Community Bank	1,867.27
General Fund	Community Bank	870.53
Inmate Funds	Community Bank	665.48

Inmate Occupational Therapy Fund	Community Bank	7,776.51
Inmate Savings Money Market	Community Bank	0.00
Make A Difference Day	Community Bank	0.00
10240 - DOWNSTATE CORRECTIONAL FACILITY		
Agency Advance	JPMorgan Chase Bank, N.A.	2,076.23
Employee Recreational Funds	JPMorgan Chase Bank, N.A.	12,646.58
Inmate Fund	JPMorgan Chase Bank, N.A.	326,774.77
Inmates Funds Savings	JPMorgan Chase Bank, N.A.	79,722.51
Misc. Receipts	JPMorgan Chase Bank, N.A.	26,755.38
Occupational Therapy	JPMorgan Chase Bank, N.A.	82,055.99
10250 - TACONIC CORRECTIONAL FACILITY		
Inmate Funds	JPMorgan Chase Bank, N.A.	115,430.19
Misc. Revenue	JPMorgan Chase Bank, N.A.	4,662.33
Money Market	JPMorgan Chase Bank, N.A.	30,735.26
Occupational Therapy Fund	JPMorgan Chase Bank, N.A.	19,510.09
Taconic Advance Account	JPMorgan Chase Bank, N.A.	2,007.66
10270 - HUDSON CORRECTIONAL FACILITY		
Advance Account	Key Bank	3,114.69
Employee Benefit Fund Account	Key Bank	4,689.69
Inmate Funds Account	Key Bank	29,203.03
Inmate Key Advantage Account	Key Bank	65,216.14
Inmate Occupational Therapy Account	Key Bank	9,566.30
Miscellaneous Receipts Account	Key Bank	4,882.47
10290 - OTISVILLE CORRECTIONAL FACILITY		
Cash Advance	Jeff Bank	499.18
General Fund	Jeff Bank	3,139.20
Inmate Funds	Jeff Bank	200,620.00
Inmate Occupational Therapy	Jeff Bank	37,584.65
Inmate Savings	Jeff Bank	51,265.14
Inmate Savings CD	Hometown Bank	76,776.57
10300 - ROCHESTER CORRECTIONAL FACILITY		
Consolidated Advance Account	M&T Bank	1,466.50
Employee Recreation Fund	M&T Bank	1,078.92
Inmate Deposit Account	M&T Bank	95,345.16
Inmate Occupational Therapy	M&T Bank	26.26
Misc Fees	M&T Bank	0.00
Work Release Advance Account	M&T Bank	4,837.00
10320 - EDGEcombe CORRECTIONAL FACILITY		
Agency Advance	JPMorgan Chase Bank, N.A.	1,262.50
Employee Benefit Account	JPMorgan Chase Bank, N.A.	2,140.69
Inmate Cash Account	JPMorgan Chase Bank, N.A.	43,064.15
Misc. Receipts Account	JPMorgan Chase Bank, N.A.	0.00
Occupational Therapy Acct	JPMorgan Chase Bank, N.A.	3,781.58
Work Release Account	JPMorgan Chase Bank, N.A.	1.88
10350 - OGDENSBURG CORRECTIONAL FACILITY		
Agency Advance Account	Community Bank	2,341.37
Inmate Fund	Community Bank	68,917.96
Inmate Savings	Community Bank	45,202.39
Misc. Receipts	Community Bank	38.75
Occupational Therapy	Community Bank	17,039.91
10360 - LINCOLN CORRECTIONAL FACILITY		
Agency Advance	JPMorgan Chase Bank, N.A.	1,331.85
Employee Benefit Fund	JPMorgan Chase Bank, N.A.	7,546.91
General Fund	JPMorgan Chase Bank, N.A.	2,802.04
Inmate Funds	JPMorgan Chase Bank, N.A.	196,326.01
Inmate Savings	JPMorgan Chase Bank, N.A.	59,809.45
Lincoln Work Release Account	JPMorgan Chase Bank, N.A.	1,546.00
Occupational Therapy	JPMorgan Chase Bank, N.A.	4,631.97
10370 - FIVE POINTS CORRECTIONAL FACILITY		
Consolidated Advance	Five Star Bank	1,046.46
EBF Checking	Five Star Bank	29,125.60
EBF Savings	Five Star Bank	45,750.59
Inmate Savings	Five Star Bank	257,840.85
Inmate Spendable	Five Star Bank	103,215.42
Misc Receipts	Five Star Bank	982.42
Occupational Therapy	Five Star Bank	26,207.95
10390 - MOHAWK CORRECTIONAL FACILITY		
Agency Advance	Key Bank	2,488.27
Employee Benefit Fund Checking	Bank of America, N.A.	24,053.47
Inmate Funds Checking	Key Bank	358,691.42
Inmate Funds Savings	Key Bank	313,601.75
Miscellaneous Revenue	Key Bank	564.98
Occupational Therapy	Key Bank	23,576.86
10430 - WENDE CORRECTIONAL FACILITY		

Consolidated Advance	Alden State Bank	3,537.95
Employee Benefit Account	Alden State Bank	36,838.37
Inmate Savings	Alden State Bank	195,607.69
Inmates Funds	Alden State Bank	191,442.69
Misc. Receipts	Alden State Bank	19,073.99
Occupational Therapy	Alden State Bank	75,200.75
10441 - DEPARTMENT OF CORRECTIONAL SERVICES-FOOD PRODUCTION CENTER		
Office of Nutritional Services		
Miscellaneous Receipts	Key Bank	5,075.99
10450 - GOWANDA CORRECTIONAL FACILITY		
Advance Account	Evans National Bank	1,931.55
Employee Benefit Fund	Evans National Bank	17,754.57
Inmate Funds	Evans National Bank	121,145.02
Inmate Funds - CD	Evans National Bank	134,701.35
Inmate Funds Savings	Evans National Bank	123,641.30
Miscellaneous Revenue	Evans National Bank	7,654.57
Occupational Therapy	Evans National Bank	19,741.40
10460 - GROVELAND CORRECTIONAL FACILITY		
Agency Advance Account	Five Star Bank	2,029.72
Employee Commission Account	Five Star Bank	15,815.49
Inmate Funds Account	Five Star Bank	166,283.89
Inmate Funds Account - Savings	Five Star Bank	108,016.63
Miscellaneous Receipts Account	Five Star Bank	12,595.70
Occupational Therapy Account	Five Star Bank	18,450.48
10470 - COLLINS CORRECTIONAL FACILITY		
Agency Advance	Community Bank	3,340.77
Employee Activities	Community Bank	44,274.03
Inmate Fund Checking	Community Bank	123,790.23
Inmate Savings	Community Bank	130,506.40
Miscellaneous Revenue	Community Bank	5,513.24
Occupational Therapy	Community Bank	16,679.69
10480 - MID-STATE CORRECTIONAL FACILITY		
Agency Advance	Key Bank	1,075.78
Employee Benefit Fund	Bank of America, N.A.	32,480.07
Inmate Funds	Key Bank	205,291.12
Inmate Savings	Key Bank	198,196.02
Misc. Revenue	Key Bank	10,824.92
Occupational Therapy	Key Bank	44,972.67
10490 - MARCY CORRECTIONAL FACILITY		
Agency Advance Account	Key Bank	334.07
Employee Benefit Fund Account	Bank of America, N.A.	11,613.62
Inmate Fund Account	Key Bank	171,389.84
Misc receipts Acct	Key Bank	24.50
NYS DOCS Marcy CORR Facility	Key Bank	206,081.81
Occupational Therapy fund Acct	Key Bank	39,425.80
10500 - NYC CENTRAL ADMINISTRATION		
Agency Advance Acct	JPMorgan Chase Bank, N.A.	No report received
Misc Receipts	JPMorgan Chase Bank, N.A.	No report received
10501 - CENTRAL PHARMACY		
NYS Docs Central Pharmacy Advance Acct		
10510 - MORIAH SHOCK INCARCERATION CORRECTIONAL FACILITY		
Moriah Shock Incarceration Advance Acct	Bank of America, N.A.	1,000.00
Moriah Shock Incarceration Employee Benefit Fund		
Moriah Shock Incarceration Occ Therapy	Glens Falls National	1,800.00
Moriah Shock Misc Receipts	Glens Falls National	5,494.97
NYS Moriah Shock Incarceration Inmate Checking	Glens Falls National	3,364.86
	Glens Falls National	0.00
	Glens Falls National	47,537.83
10530 - FRANKLIN CORRECTIONAL FACILITY		
Advance Account	Key Bank	4,621.52
Employee Benefit Account	Key Bank	11,559.47
Inmate Funds	Key Bank	105,225.08
Inmate Occupational Therapy	Key Bank	17,485.19
Inmate Savings	Key Bank	217,046.14
Misc. Receipts	Key Bank	7,894.66
10540 - ALTONA CORRECTIONAL FACILITY		
Cons Adv Travel Petty Cash	NBT Bank	3,378.09
Employees Vending Benefit	NBT Bank	9,339.56
Inmates Funds	NBT Bank	117,299.18
Misc Revenues General Fund	NBT Bank	1,210.23
Occupational Therapy	NBT Bank	8,218.51
10550 - CAYUGA CORRECTIONAL FACILITY		
Agency Advance	First National Bank of Groton	No report received
Cert Of Deposit	First National Bank of Groton	No report received
Employee Benefit Fund	First National Bank of Groton	No report received
Inmate Occupational Therapy Account	First National Bank of Groton	No report received

Inmate Spendable	First National Bank of Groton	No report received
Inmate Spendable	First National Bank of Groton	No report received
Misc Receipts	First National Bank of Groton	No report received
10560 - BARE HILL CORRECTIONAL FACILITY		
Agency Advance	Key Bank	1,717.27
Employee Benefit Fund	Key Bank	9,555.94
Inmate Spendable Funds	Key Bank	202,680.88
Key Public Money Market Checking	Key Bank	236,386.44
Miscellaneous Receipts	Key Bank	377.21
Occupational Therapy	Key Bank	22,868.31
10570 - RIVERVIEW CORRECTIONAL FACILITY		
Agency Advance Account	Key Bank	1,336.56
Inmate Accounts	Key Bank	187,961.55
Inmate Savings Account	Key Bank	105,349.58
Miscellaneous Receipts Account	Key Bank	9,372.28
Occupational Therapy	Key Bank	18,683.77
10580 - CAPE VINCENT CORRECTIONAL FACILITY		
Advance Account	Community Bank	1,879.60
Employee Benefit Fund	Community Bank	40,831.15
Inmate Occupation Therapy Acct	Community Bank	29,446.84
Inmate Savings	Community Bank	146,607.58
Inmate Spendable Account	Community Bank	102,346.52
Miscellaneous Receipts Account	Community Bank	8,737.86
10600 - LAKEVIEW SHOCK INCARCERATION CORRECTIONAL FACILITY		
Agency Advance	Community Bank	3,700.00
Employee Benefit Fund	Community Bank	9,605.67
Inmate Funds	Community Bank	45,565.19
Inmate Funds - Sav	Community Bank	94,643.87
Miscellaneous Revenue	Community Bank	2,130.43
Occupational Therapy	Community Bank	2,662.67
10610 - ULSTER CORRECTIONAL FACILITY		
Agency Advance	Bank of America, N.A.	0.00
Agency Advance	M&T Bank	1,533.76
Employee Benefit Fund	Bank of America, N.A.	0.00
Employee Benefit Fund	M&T Bank	5,729.76
Inmate Fund	Bank of America, N.A.	0.00
Inmate Fund	M&T Bank	142,031.20
Inmate Funds Savings	Bank of America, N.A.	0.00
Inmate Funds Savings	M&T Bank	12,293.37
Misc Receipts	M&T Bank	556.86
Misc. Receipts	Bank of America, N.A.	0.00
Occupational Therapy	Bank of America, N.A.	0.00
Occupational Therapy	M&T Bank	10,829.90
10630 - SOUTHPORT CORRECTIONAL FACILITY		
Advance Account	Chemung Canal Trust	570.90
Employee Benefit Fund	Chemung Canal Trust	18,115.60
Inmate Funds	Chemung Canal Trust	112,581.57
Inmate Funds Account	Chemung Canal Trust	48,577.14
Misc. Receipts Account	Chemung Canal Trust	509.18
Occupational Therapy Account	Chemung Canal Trust	26,197.13
10640 - ORLEANS CORRECTIONAL FACILITY		
Agency Advance	Bank of America, N.A.	2,224.21
Employee Benefit Fund	Bank of America, N.A.	6,777.03
Inmate Funds	Bank of America, N.A.	129,522.20
Inmate Savings	Bank of America, N.A.	157,697.92
Miscellaneous Receipts	Bank of America, N.A.	13,087.21
Occupational Therapy	Bank of America, N.A.	30,895.73
10650 - WASHINGTON CORRECTIONAL FACILITY		
Advance Account	Key Bank	2,329.58
General Account	Key Bank	0.00
Inmate Account	Key Bank	230,565.95
Inmate Funds Account Certificate Of Deposit	Glens Falls National	78,478.85
Inmate Savings Account	Key Bank	14,329.11
Occupational Therapy Account	Key Bank	23,486.05
10660 - WYOMING CORRECTIONAL FACILITY		
Agency Advance	Five Star Bank	5,992.03
Employee Benefit Fund	Five Star Bank	12,520.04
Inmate Occupational Therapy	Five Star Bank	44,055.45
Inmate Savings - Certificate of Deposit	Five Star Bank	75,000.00
Inmate Savings - Certificate of Deposit	Five Star Bank	75,000.00
Inmate Savings Account	Five Star Bank	51,331.17
Inmate Savings-Certificate of Deposit	Five Star Bank	75,000.00
Inmate Spendable	Five Star Bank	214,403.57
Misc. Receipts Account	Five Star Bank	10,381.99

10670 - GREENE CORRECTIONAL FACILITY		
Consolidated Advance	National Bank of Coxsackie	694.71
Inmate Accounts	National Bank of Coxsackie	94,154.85
Inmate Savings	National Bank of Coxsackie	297,864.50
Misc. Receipts	National Bank of Coxsackie	4,810.84
Occupational Therapy	National Bank of Coxsackie	75,168.83
10680 - SHAWANGUNK CORRECTIONAL FACILITY		
Consolidated Advance Account	Key Bank	976.46
Inmate Funds	Key Bank	150,066.68
Inmates Funds Account	Key Bank	35,476.70
Misc. Receipts Account	Key Bank	1,378.15
Occupational Therapy Acct	Key Bank	30,440.15
10690 - SULLIVAN CORRECTIONAL FACILITY		
Consolidated Advance	Key Bank	2,178.81
Inmate Checking	Key Bank	74,235.05
Inmate Savings	Key Bank	100,202.30
Miscellaneous	Key Bank	7,083.25
Occupational Therapy	Key Bank	22,975.39
10800 - LIVINGSTON CORRECTIONAL FACILITY		
Consolidated Advance	Five Star Bank	1,054.63
Employee Benefit Fund	Five Star Bank	5,772.42
Inmate Funds CD	Five Star Bank	100,487.52
Inmate Funds Checking	Five Star Bank	106,508.97
Inmate Savings	Five Star Bank	20,245.31
Miscellaneous Receipts	Five Star Bank	593.14
Occupational Therapy	Five Star Bank	10,841.28
10810 - GOUVERNEUR CORRECTIONAL FACILITY		
Agency Advance	Community Bank	2,261.68
Inmate Occupational Therapy	Community Bank	27,802.22
Inmate Savings	Community Bank	359,912.94
Inmate Spendable Fund	Community Bank	87,557.81
Misc Receipts	Community Bank	13,324.42
10820 - WILLARD DRUG TREATMENT CENTER		
Consolidated Advance	Community Bank	978.75
Employee Benefit Fund	Community Bank	17,123.03
Inmate Funds	Community Bank	62,505.75
Inmate Occupational Therapy	Community Bank	8,327.52
Misc Receipts	Community Bank	218.90
10840 - UPSTATE CORRECTIONAL FACILITY-AUDIT 1		
Advance Account	Key Bank	1,900.00
Facility Committees	Key Bank	15,916.52
Inmate Fund	Key Bank	402,004.65
Inmate Fund Savings	Key Bank	0.00
Inmate Occupational Therapy Fund	Key Bank	11,986.24
Miscellaneous Account	Key Bank	509.60
10850 - HALE CREEK ASACTC		
Consolidated Advance	Key Bank	466.80
Employee Benefit Fund	Bank of America, N.A.	12,522.71
Inmate Funds	Key Bank	64,762.65
Inmate Interest Bearing Account	Key Bank	15,001.91
Misc Receipts	Key Bank	186.50
Occupational Therapy	Key Bank	22,474.95
10890 - CORRECTIONS AND COMMUNITY SUPERVISION		
Asset Forfeiture Special Rev Acct	Bank of America, N.A.	277,342.76
Parole Supervision Fee	Wells Fargo Bank	20,937.62
10916 - CENTRAL OFFICE - INDUSTRIES		
Div of Ind Petty Cash Acct	Key Bank	4,000.00
Div of Ind Revenue Acct	Key Bank	823,742.28
11000 - EDUCATION DEPARTMENT		
Consolidated Advance Account	Key Bank	No report received
Consolidated Advance Account (Control Disbursement)	Key Bank	No report received
Revenue Account	Key Bank	No report received
11100 - NYS HIGHER EDUCATION SERVICES CORPORATION		
EFT Disbursement	Key Bank	No report received
Operating	Key Bank	No report received
Retail Lockbox	US Bank	No report received
TAP	Key Bank	No report received
Wholesale Lockbox	US Bank	No report received
11260 - BATAVIA SCHOOL FOR THE BLIND		
Misc. Receipts	M&T Bank	733.71
Petty Cash	M&T Bank	3,725.23
Student Spending Account	Bank of America, N.A.	12,965.50
11270 - ROME SCHOOL FOR THE DEAF		
Miscellaneous Receipts	NBT Bank	7,521.03

Petty Cash	NBT Bank	2,000.00
Student Activity Fund	NBT Bank	6,554.69
11280 - ARCHIVES PARTNERSHIP TRUST		
Endowment		
Endowment - Special Account	Janney Montgomery Scott LLC	4,490,689.25
Trust's Board Project Account	Janney Montgomery Scott LLC	No report received
	Key Bank	83,005.18
12000 - DEPARTMENT OF HEALTH CENTRAL ADMINISTRATION		
Conf Narcotic Investigation	M&T Bank	No report received
Consolidated Advance Acct	M&T Bank	No report received
CSA Rebate Account	Bank of America, N.A.	No report received
EPIC Benefit Recovery Program	Bank of America, N.A.	No report received
EPIC Provider Receipt Account	Bank of America, N.A.	No report received
General Account	M&T Bank	No report received
Indian Health Disbursement Account	Bank of America, N.A.	No report received
Medicaid	Key Bank	No report received
Medicaid Audit Recoveries Acct	Key Bank	No report received
Medicaid Buy-In Account	Bank of America, N.A.	No report received
Medicaid Insurance Recoveries Acct	Bank of America, N.A.	No report received
Nursing Home Fees Account	Bank of America, N.A.	50,744.94
12010 - ROSWELL PARK MEMORIAL INSTITUTE		
Office Of Patient Accounts	M&T Bank	2,507,101.50
12030 - HELEN HAYES HOSPITAL		
Misc. Receipts	JPMorgan Chase Bank, N.A.	No report received
Petty Cash Account	JPMorgan Chase Bank, N.A.	No report received
Rental Deposit Acct	JPMorgan Chase Bank, N.A.	No report received
12120 - NYS VETERANS HOME- OXFORD		
Agency Advance	NBT Bank	No report received
Exchange Account	NBT Bank	No report received
Maintenance Fund	NBT Bank	No report received
Resident Advance Savings	NBT Bank	No report received
12150 - NYS VETERANS HOME- ST ALBANS		
NYC Veteran Home Agency Advance	JPMorgan Chase Bank, N.A.	No report received
St Albans NYC Vet Home Resid Funds	JPMorgan Chase Bank, N.A.	No report received
St Albans Vet Home Maintenance Acct	NBT Bank	536,298.87
12180 - WESTERN NEW YORK VETERANS HOME		
Advance Account	Bank of America, N.A.	No report received
Exchange Account	Bank of America, N.A.	No report received
Maintenance Account	NBT Bank	306,134.88
Resident Funds	Bank of America, N.A.	No report received
12190 - VETERANS HOME AT MONTROSE		
Agency Advance Account	Bank of America, N.A.	No report received
Maintenance Acct	NBT Bank	456,081.85
Residence Account	Bank of America, N.A.	No report received
12200 - OFFICE OF MEDICAID INSPECTOR GENERAL		
Albany Confidential Account	Key Bank	254.59
Albany Petty Cash Account	Key Bank	429.08
NYC Confidential Account	JPMorgan Chase Bank, N.A.	370.04
14000 - DEPARTMENT OF LABOR		
Agency Advance Account	Key Bank	27,290.55
Exchange Account	Bank of America, N.A.	292,867.80
Fee And Permit Account	Key Bank	943,035.46
Min Wage & Claim Funding Acct	Key Bank	182,341.99
Minimum Wage & Wage Claim Acct	Key Bank	1,121,422.36
Misc Receipts	Bank of America, N.A.	89,042.49
U.I. Fund Clearing Account	JPMorgan Chase Bank, N.A.	46,699,680.49
UI Fund ACH Transactions	Wells Fargo Bank	100,000.00
14010 - WORKERS COMPENSATION BOARD		
DTF/WCB MAC 14	JPMorgan Chase Bank, N.A.	No report received
16000 - PUBLIC SERVICE COMMISSION		
Cable Account	Key Bank	5,317.57
Petty Cash Account	Key Bank	3,650.00
Special Fee Account	Key Bank	12,722.68
17000 - NYS DEPARTMENT OF TRANSPORTATION		
Contractors Bid And Guarantee	Key Bank	83,943.86
Driver Improvement Program (DIP)	Key Bank	17,064.31
Main Office Advance For Travel	Key Bank	51,830.69
PARTNERS DOT -HOOCs	Key Bank	955,521.07
Revenue Unit	Key Bank	62,743.36
Republic Airport, Long Island		
Republic Airport Revenue Acct	JPMorgan Chase Bank, N.A.	40,300.98
19000 - DEPARTMENT OF STATE		
Athletic	M&T Bank	No report received
Licensing Revenue Account	JPMorgan Chase Bank, N.A.	No report received
Main	M&T Bank	No report received

Petty Cash Account	Key Bank	No report received
Summons	M&T Bank	No report received
19001 - TUG HILL COMMISSION		
Agency Advance Account	Key Bank	No report received
19002 - LAKE GEORGE PARK COMMISSION		
Petty Cash Account	Glens Falls National	No report received
Revenue Transfer Account	Glens Falls National	No report received
19005 - COMMISSION ON PUBLIC INTEGRITY		
JCOPE Petty Cash Account	Bank of America, N.A.	330.00
JCOPE Revenue Account	Bank of America, N.A.	43,249.42
20000 - DEPARTMENT OF TAXATION & FINANCE		
Exchange	Bank of America, N.A.	124,793.50
Fee Account	Key Bank	346,277.23
IFTA Funding	JPMorgan Chase Bank, N.A.	101.76
Misc Tax Account - Exchange	Bank of America, N.A.	79,476.07
Petty Cash	Bank of America, N.A.	14,352.05
Tax Preparer Registration Fee (EFT)	Wells Fargo Bank	48,400.00
Waste Tire Fee (EFT)	Wells Fargo Bank	62,550.40
Waste Tire Tax	JPMorgan Chase Bank, N.A.	24,624.30
20050 - NEW YORK STATE GAMING COMMISSION		
Charitable Gaming Account	Key Bank	48,177.17
Custody Account	US Bank	10,103.16
Fingerprint Concentration Account	Key Bank	29,651.00
License Revenue Account	Bank of America, N.A.	15,955.81
Lottery Concentration Account	Key Bank	213,779.99
Lottery Prize Payment Account	Key Bank	5,000.00
Lottery Subscriptions Account	Key Bank	613,944.87
Petty Cash Account	Key Bank	966.75
Racing Refund Account	Key Bank	1,213,620.31
Video Gaming Revenue Account	Key Bank	6,239,931.08
21012 - WELFARE INSPECTOR GENERAL		
Confidential Fund	Bank of America, N.A.	15,070.00
Confidential Fund	JPMorgan Chase Bank, N.A.	No report received
Petty Cash	JPMorgan Chase Bank, N.A.	No report received
21110 - OFFICE OF REGULATORY REFORM		
Petty Cash	Key Bank	No report received
21290 - HUDSON RIVER-BLACK RIVER REGULATING DISTRICT		
Checking- General Fund Acct.	Community Bank	3,456.30
Checking- Petty Cash Fund	Community Bank	5,000.00
Hudson River General Acct	Bank of America, N.A.	381,507.64
Money Market	Bank of America, N.A.	0.00
Petty Cash Fund	Bank of America, N.A.	6,500.00
21700 - OFFICE OF THE STATE INSPECTOR GENERAL		
Office Of The State Inspector General Pass Thru Account	Key Bank	56,204.23
OSIG Petty Cash Account	Key Bank	1,499.90
Albany		
Office of the Inspector General Confidential	Bank of America, N.A.	30,589.15
21820 - STATE COMMISSION ON JUDICIAL CONDUCT		
Petty Cash Account	JPMorgan Chase Bank, N.A.	552.25
Petty Cash Account	Key Bank	319.20
Petty Cash Fund	JPMorgan Chase Bank, N.A.	570.96
21940 - NYS FINANCIAL CONTROL BOARD		
Agency Advance Acct	JPMorgan Chase Bank, N.A.	No report received
23000 - DEPARTMENT OF MOTOR VEHICLES		
Albany Central Main Acct		
Albany Central Main Acct	Wells Fargo Bank	249,153.55
Albany Central Main Exchange		
Exchange	Wells Fargo Bank	22,243.18
Albany Central Office		
Title Escrow Exchange (Albany Central Office)	Wells Fargo Bank	30,578.46
Albany TVB Sub (Albany Central Office)		
Adjudication Account	Wells Fargo Bank	148,753.65
Administrative Adj	M&T Bank	0.00
Albany-Region 3		
Confidential Inv Subpoena - Albany	Key Bank	1,500.00
Allegany-Belmont		
County Clerk Fee Allegany	Steuben Trust Co.	12,664.83
Andirondack Mountains		
County Fee Account	JPMorgan Chase Bank, N.A.	75,210.75
Buffalo-Region 5		
MV- Buffalo Investigator & Subpoena	M&T Bank	1,332.00
Capital Saratoga Revenue		
County Fee Account	JPMorgan Chase Bank, N.A.	96,566.66
Catskill Mountains		

County Fee Account	JPMorgan Chase Bank, N.A.	139,397.40
Central Leatherstocking		
County Fee Account	JPMorgan Chase Bank, N.A.	57,238.31
Chautauqua-Steuben		
County Fee Acct	JPMorgan Chase Bank, N.A.	40,703.25
Chautauqua County		
Holding Acct-Chautauqua County	Community Bank	No report received
Holding Acct-Chautauqua County	Key Bank	No report received
Holding Acct-Chautauqua County	M&T Bank	No report received
Concentration (CTY)(OSC)		
Concentration (CTY)(OSC)	Key Bank	177,000.00
Concentration (DO)(OSC)		
Concentration (DO)(OSC)	Key Bank	5,019,019.94
Confidential Fund (Albany Central Office)		
Confidential Fund	Bank of America, N.A.	7,269.00
CTY Credit Card (Albany Central Office)		
County Office Credit Card Account	JPMorgan Chase Bank, N.A.	779,300.72
Customer Service Counter (Albany)		
NYS DMV CSC	Wells Fargo Bank	36,744.61
D.O. Credit Card (Albany Central Office)		
District Office Credit Card Account	JPMorgan Chase Bank, N.A.	2,931,232.33
DMV Division Of Field Investigations - Albany Central Office		
Field Investigation	M&T Bank	0.00
Downstate		
Revenue Account - Downstate	Wells Fargo Bank	709,746.99
Eric County Revenue		
County Fee Account	JPMorgan Chase Bank, N.A.	64,109.55
Finger Lakes First		
County Fee Acct	JPMorgan Chase Bank, N.A.	41,174.85
Finger Lakes Second		
County Fee Acct	JPMorgan Chase Bank, N.A.	50,807.12
Genesee County		
Genesee County Clerk - DMV	Bank of Castile	No report received
Greene County		
Fee Account - Greene	Greene County Commercial Bank	No report received
Hudson Valley		
County Fee Acct	JPMorgan Chase Bank, N.A.	81,334.26
IRP (Albany Central Office)		
International Registration	M&T Bank	729,387.79
International Registration	Wells Fargo Bank	352,551.09
IRP Exchange (Albany Central Office)		
Irp Internet Office - Dept. MV	M&T Bank	112,088.17
Kiosk		
Kiosk Account	JPMorgan Chase Bank, N.A.	330,116.23
Long Island/Staten Island DO		
Long Island/Staten Island DO	Wells Fargo Bank	708,185.11
Long Island/Staten Island JP		
Long Island/Staten Island (Mass/Med)	JPMorgan Chase Bank, N.A.	2,472,874.25
Nassau Region 1		
Div. of Vehicle Safety	Citibank	No report received
Niagara Frontier		
County Fee Acct	JPMorgan Chase Bank, N.A.	52,251.41
Office Fee (Albany Central Office)		
Office Fee	Wells Fargo Bank	18,629.13
Oneida County		
DMV Oneida County Fee Account	Bank of Utica	No report received
Oneida County Fee Account	NBT Bank	No report received
Petty Cash (Albany Central Office)		
Petty Cash	Bank of America, N.A.	4,513.89
Queens-Region 6		
Confidential - Queens	JPMorgan Chase Bank, N.A.	3,390.00
Rockland/Westchester DO		
Rockland/Westchester DO	Wells Fargo Bank	159,971.24
Search Exchange (Albany Central Office)		
MV Search	Key Bank	368,902.61
Search Exchange (Albany Central Office)		
MV Search	Wells Fargo Bank	95,742.25
Syracuse-Region 4		
Confidential - Syracuse	Key Bank	1,059.00
Thousand Island Seaway		
County Fee Acct	JPMorgan Chase Bank, N.A.	264,602.24
TLC/DOCCS		
TLC/DOCCS	JPMorgan Chase Bank, N.A.	192,527.31
Travel Advance (Albany Central Office)		

Travel Advance	Bank of America, N.A.	1,555.34
TVB Acct		
TVB Acct	Wells Fargo Bank	133,442.20
TVB Credit Card (Albany Central Office)		
TVB Credit Card Receipts	JPMorgan Chase Bank, N.A.	991,133.75
Upstate DO		
Upstate District Offices (ALB, SYD, SYS, UTD)	Wells Fargo Bank	168,678.39
Utica D.O.		
Exchange Account	Bank of Utica	0.00
Revenue Utica	Bank of Utica	3,774,466.09
Yonkers-Region 2		
Safety Sup Automotive FAC INSP	JPMorgan Chase Bank, N.A.	No report received
25000 - OFFICE OF CHILDREN & FAMILY SERVICES		
Brentwood Resid Center Cash Advance	JPMorgan Chase Bank, N.A.	1,020.00
Brentwood Residents' Account	JPMorgan Chase Bank, N.A.	455.60
Brooklyn Aftercare Wraparound Account	JPMorgan Chase Bank, N.A.	500.00
Brookwood Cash Advance	Key Bank	1,722.29
Brookwood Resid Residential Cash	Key Bank	11,972.42
Check Exchange	Bank of America, N.A.	4,560.41
CO Independent Living Acct	Bank of America, N.A.	1,570.00
Co. Training Employment Dev (Youth Stipend)	Bank of America, N.A.	25,000.00
Columbia Girls Secure Center-Advance Acct	Key Bank	400.00
Columbia Girls Secure Center-Youth Savings	Key Bank	2,916.24
Finger Lakes Res Ctr Residents Cash	Tompkins County Trust	4,960.26
Fingerlakes Res Ctr Cash Advance	Tompkins County Trust	3,300.00
Goshen Cash Advance	Bank of America, N.A.	2,600.00
Goshen Residents Account	Bank of America, N.A.	15,887.26
Harriet Tubman Advance Account	Key Bank	0.00
Harriet Tubman Residents' Account	Key Bank	0.00
Highland Res Ctr Petty Cash Account	Bank of America, N.A.	2,585.49
Highland Res Ctr Residents Acct	Bank of America, N.A.	2,079.95
Home Office Care & Maintenance Account	Bank of America, N.A.	0.00
Industry Advance Account	JPMorgan Chase Bank, N.A.	2,320.44
Industry Res Account	JPMorgan Chase Bank, N.A.	1,803.15
Industry School Dug-Out	JPMorgan Chase Bank, N.A.	0.00
MacCormick Cash Advance	Tompkins County Trust	1,899.80
MacCormick Resid Advance	Tompkins County Trust	6,145.00
Medicaid Reimbursement Exchange	Bank of America, N.A.	152,251.46
NYS OCFS Advance Acct (Travel & Misc P.C.)	Bank of America, N.A.	15,500.00
NYS OCFS Salary Advance Account	Bank of America, N.A.	13,600.00
Parker Training Academy Cash Advance	Key Bank	0.00
Queens CMSO Cash Advance	Bank of America, N.A.	400.00
Queens-Long Island Aftercare	JPMorgan Chase Bank, N.A.	700.00
Red Hook Res Ctr Resident Cash	Key Bank	1,418.90
Red Hook Resid Ctr Cash Advance	Key Bank	300.00
Rochester Aftercare Wraparound Account	JPMorgan Chase Bank, N.A.	750.00
SCR Credit Card Revenue Account	Bank of America, N.A.	13,825.00
State Central Register	Bank of America, N.A.	58,243.60
Taberg Cash Advance	NBT Bank	694.47
Taberg Residents Account	NBT Bank	528.99
Youth Leadership Academy	NBT Bank	431.31
Youth Leadership Cash Advance	NBT Bank	750.00
27000 - OFFICE OF TEMPORARY & DISABILITY ASSISTANCE		
Exchange Account	Key Bank	1,100,150.70
Title IV D Of Social Security	Key Bank	112,944.20
Travel Advance	Key Bank	10,000.00
28010 - SUNY ALBANY		
Fee Account	Key Bank	(189,461.09)
Loan Services Center Account	Key Bank	183,213.68
Petty Cash/Travel Advance	Key Bank	0.00
28020 - SUNY BINGHAMTON		
SUNY Binghamton	M&T Bank	9,783,716.53
SUNY Binghamton - Controlled Disb	M&T Bank	0.00
SUNY Binghamton - Petty Cash	M&T Bank	0.00
28030 - SUNY BUFFALO		
Controlled Disbursement Account	Bank of America, N.A.	0.00
General Revenue Account	Bank of America, N.A.	0.00
General Revenue Account	Key Bank	934,032.10
Imprest Account	Key Bank	6,960.69
28050 - SUNY STONY BROOK		
Central Funding	JPMorgan Chase Bank, N.A.	1,171,545.31
Controlled Disbursement	JPMorgan Chase Bank, N.A.	0.00
Fees Depository	JPMorgan Chase Bank, N.A.	4,763,591.70
LISVH Fees Depository	JPMorgan Chase Bank, N.A.	80,400.60

LISVH Fees Depository	Sterling Bank	966,089.48
LISVH Residence Fund	Sterling Bank	425,178.73
Payroll Advance	JPMorgan Chase Bank, N.A.	4,410.42
SBU Student Refunds Cont Disb Acct	JPMorgan Chase Bank, N.A.	0.00
Student ACH Refunds Account	JPMorgan Chase Bank, N.A.	245,531.44
SUNY Eastern Long Island Hospital Depository	JPMorgan Chase Bank, N.A.	1.00
SUNY Southampton Depository	JPMorgan Chase Bank, N.A.	767,524.37
University Hosp Fees Depository	JPMorgan Chase Bank, N.A.	5,594,436.90
University Hospital Petty Cash	JPMorgan Chase Bank, N.A.	1,797.30
28100 - SUNY HEALTH SCIENCE CENTER AT BROOKLYN		
Center Revenue	JPMorgan Chase Bank, N.A.	56,622.31
EFT Federal Deposits Acct	JPMorgan Chase Bank, N.A.	0.00
Hospital Controlled Disbursement	JPMorgan Chase Bank, N.A.	0.00
Hospital Revenue	JPMorgan Chase Bank, N.A.	3,216,243.26
LICH Controlled Disbursement	JPMorgan Chase Bank, N.A.	0.00
LICH Depository	JPMorgan Chase Bank, N.A.	132,060.33
Petty Cash	JPMorgan Chase Bank, N.A.	0.00
Student Refunds	JPMorgan Chase Bank, N.A.	0.00
28110 - SUNY HEALTH SCIENCE CENTER AT SYRACUSE		
College Revenue	Key Bank	2,092,626.61
Controlled Disbursement	Key Bank	(20.00)
Hospital Revenue	Key Bank	7,089,997.64
Parking	Key Bank	46,068.70
28150 - SUNY BROCKPORT		
Brockport-REOC Account	Key Bank	11,337.84
Concentration Acct	M&T Bank	0.00
Controlled Disb	M&T Bank	212,900.77
28160 - SUNY BUFFALO STATE COLLEGE		
Controlled Disb	M&T Bank	0.00
Dept Public Safety	M&T Bank	0.00
Special Grant Account	M&T Bank	3,423.14
Students Acct Office	M&T Bank	377,620.56
28170 - SUNY CORTLAND		
General Checking Account	Key Bank	185,921.01
28180 - SUNY FREDONIA		
Controlled Disb	M&T Bank	0.00
Depository Account	M&T Bank	180,808.85
28190 - SUNY GENESEO		
Controlled Disbursement Account	Key Bank	0.00
State Fees	Key Bank	279,554.90
28200 - SUNY OLD WESTBURY		
Local Depository	JPMorgan Chase Bank, N.A.	173,892.89
Petty Cash	JPMorgan Chase Bank, N.A.	0.00
28210 - SUNY NEW PALTZ		
Disbursement Account	Key Bank	0.00
State Revenue	Key Bank	188,435.39
28220 - SUNY ONEONTA		
Petty Cash Advance Account	NBT Bank	0.00
Revenue Account	NBT Bank	3,919,901.29
28230 - SUNY OSWEGO		
Controlled Disbursement	Key Bank	0.00
General Revenue	Key Bank	3,489,991.03
Imprest Account	Key Bank	0.00
28240 - SUNY PLATTSBURGH		
General Revenue	TD Bank	4,672,169.27
28250 - SUNY POTSDAM		
Control Disbursement Account	Key Bank	0.00
State Fee Reconciliation Account	Key Bank	70,082.06
28260 - SUNY PURCHASE		
General Income Fund	Key Bank	238,398.34
28270 - SUNY INSTITUTE OF TECHNOLOGY UTICA/ROME		
Advance Account	Bank of America, N.A.	0.00
Controlled Disbursement Account	Bank of America, N.A.	0.00
Revenue	Bank of America, N.A.	65,172.55
28280 - SUNY EMPIRE STATE COLLEGE		
Concentration Account	Key Bank	58,425.95
Distribution Center Account	Key Bank	19,805.08
Zero Balance Controlled Disbursement Account	Key Bank	0.00
28350 - SUNY COLLEGE OF TECHNOLOGY AT ALFRED		
Fees Account	Community Bank	3,170,296.95
28360 - SUNY COLLEGE OF TECHNOLOGY AT CANTON		
Community Cash Deposits	NBT Bank	144,638.71
Dental Hygiene	NBT Bank	3,934.00
Income Fund	Key Bank	37,271.99

International Program Account	Key Bank	143,199.64
28370 - SUNY AGRICULTURAL & TECHNICAL COLLEGE AT COBLESKILL		
Income Fund	Key Bank	169,672.76
28380 - SUNY COLLEGE OF TECHNOLOGY AT DELHI		
General Revenue	Delaware National Bank	95,884.17
Petty Cash Fund	Delaware National Bank	0.00
28390 - SUNY COLLEGE OF TECHNOLOGY AT FARMINGDALE		
Income Fund	Citibank	1,661,693.75
28400 - SUNY AGRICULTURAL & TECHNICAL COLLEGE AT MORRISVILLE		
Income Fund	Key Bank	367,325.32
Revenue Account	NBT Bank	5,540.33
28550 - SUNY COLLEGE OF ENVIRONMENTAL SCIENCE & FORESTRY		
Agency Advance	Key Bank	0.00
Controlled Disb	Key Bank	0.00
ESF/GSA	Key Bank	0.00
Forestry	Community Bank	398.72
Regular Account	Key Bank	69,114.96
Student Government	Key Bank	32,915.98
28570 - SUNY MARITIME COLLEGE		
Controlled Disbursement Account	JPMorgan Chase Bank, N.A.	0.00
Cruise Account	JPMorgan Chase Bank, N.A.	92,403.95
Revenue Deposit Account	JPMorgan Chase Bank, N.A.	37,566.72
Revenue EFT Account	JPMorgan Chase Bank, N.A.	31,984.08
28580 - SUNY COLLEGE OF OPTOMETRY		
General Revenue	JPMorgan Chase Bank, N.A.	165,258.83
Medical Transportation	JPMorgan Chase Bank, N.A.	668.88
28650 - SUNY CENTRAL SYSTEM ADMINISTRATION		
ASC	Key Bank	10,000.00
NYS Iso	Key Bank	2,500,000.00
Revenue	Key Bank	50,155.74
37000 - DEPARTMENT OF FINANCIAL SERVICES		
Confidential Investigations	JPMorgan Chase Bank, N.A.	8,528.22
Confidential Investigations	JPMorgan Chase Bank, N.A.	9,468.18
Fire Tax Account (Main)	Key Bank	9,193.78
Fire Tax Payment	Key Bank	0.00
General Assessment Account	JPMorgan Chase Bank, N.A.	52,038.05
General Fund	Key Bank	154,270.50
Market Stabilization Pool Account	JPMorgan Chase Bank, N.A.	92,992,381.18
Miscellaneous Account	JPMorgan Chase Bank, N.A.	67,419.24
Petty Cash	Key Bank	6,018.37
Workers Comp Insurance Sec Fund Pymnt	JPMorgan Chase Bank, N.A.	100,254.42
49010 - SARATOGA-CAPITAL DISTRICT STATE PARK COMMISSION		
Contractors Bid (SA)	Bank of America, N.A.	79,951.68
Revenue (SA)	Glens Falls National	31,632.95
49020 - LONG ISLAND STATE PARK COMMISSION		
Contractors Bid (LI)	JPMorgan Chase Bank, N.A.	49,157.93
Regional Account (LI)	Bank of America, N.A.	1.00
Regional Account 2 (LI)	JPMorgan Chase Bank, N.A.	734,812.54
Revenue (LI)	People's United Bank	270,301.50
49030 - GENESEE STATE PARK COMMISSION		
Contractors Bid (GE)	Bank of Castile	50,681.39
Revenue (GE)	Bank of Castile	2,228,003.25
49040 - NIAGARA FRONTIER STATE PARK COMMISSION		
Contractors Bid (NIA)	Key Bank	3,984.37
Revenue (NIA)	Evans National Bank	183,249.95
49050 - PALISADES INTERSTATE STATE PARK COMMISSION		
Contractors Bid (PA)	JPMorgan Chase Bank, N.A.	10,155.81
49070 - OFFICE OF PARKS & RECREATION		
Main Office - Change Fund	Key Bank	61,862.00
Main Office Account (ALB)	Key Bank	1,400.00
OPRHP Concentration Account	Key Bank	192,120.16
Petty Cash (ALB)	Key Bank	39,970.00
Revenue (NI, GE, AL, CE, TA)	M&T Bank	22,755.17
Revenue (NYC, CE, LI, PA, TA)	JPMorgan Chase Bank, N.A.	35,938.94
Revenue (SA, LI, GE, NI, CE, TA)	Bank of America, N.A.	7,409.86
Revenue (SA, NI, PA, CE, TA, TI)	Key Bank	3,352.41
Revenue (various)	Wells Fargo Bank	58,067.93
Statewide Campsite/Cabin Revenue	JPMorgan Chase Bank, N.A.	1,492.15
Statewide Credit Card Revenue, Revenue (ALB, FL, LI, PA, TI)	Key Bank	191,670.59
Statewide Housing Security Deposits	Key Bank	172,940.61
49090 - FINGER LAKES STATE PARK COMMISSION		
Contractors Bid (FL)	Tompkins County Trust	18,103.78
Revenue (FL)	Savannah Bank	441,924.17
Revenue (FL-Multi)	Community Bank	11,097.83

Revenue (FL-Multi-Facilities)	Tompkins County Trust	1,684,917.53
49100 - ALLEGANY STATE PARK COMMISSION		
Contractors Bid (AL)	Five Star Bank	6,616.00
Regional Account (AL)	Five Star Bank	99,749.16
Revenue (AL)	Five Star Bank	317,375.06
49120 - CENTRAL NEW YORK STATE PARK COMMISSION		
Contractors Bid (CE)	JPMorgan Chase Bank, N.A.	18,319.27
Revenue (CE, SA, TI)	NBT Bank	636,626.37
49130 - TACONIC STATE PARK COMMISSION		
Contractors Bid (TA)	M&T Bank	3,963.62
49140 - THOUSAND ISLANDS STATE PARK COMMISSION		
Revenue (TI -Long Point River)	Citizens Bank	0.00
Revenue (TI)	Citizens Bank	59,792.53
Revenue (TI-Multi)	Community Bank	4,229.99
50000 - OFFICE OF MENTAL HEALTH		
Consolidated Advance	Bank of America, N.A.	21,873.45
Interim Assistance Agreement	Bank of America, N.A.	0.00
OMH Medication Grant Program Acct	Bank of America, N.A.	85,787.82
Reimbursement Account	Bank of America, N.A.	815,913.28
50010 - GREATER BINGHAMTON HEALTH CENTER		
Agency Advance Account	JPMorgan Chase Bank, N.A.	6,038.46
Facility Holding Account	JPMorgan Chase Bank, N.A.	19,358.44
Patient Cash Funds	JPMorgan Chase Bank, N.A.	447,231.18
Patients Cash Account	JPMorgan Chase Bank, N.A.	No report received
Security Deposit	JPMorgan Chase Bank, N.A.	2,745.99
50020 - KINGSBORO PSYCHIATRIC CENTER		
Advance Account	Banco Popular	No report received
Family Care	Banco Popular	14,290.87
Holding Account	Banco Popular	201,250.42
Medicaid Outpatient Travel	Banco Popular	535.92
Patient Cash Acct (MM)	Banco Popular	165,839.74
Patient Checking Account	Citibank	47,365.18
Patient Savings Account	Banco Popular	333,768.09
Security Deposit	Banco Popular	2,488.74
Urban Oasis/EBT	Banco Popular	47,065.32
50030 - BUFFALO PSYCHIATRIC CENTER		
Advance Account	Key Bank	50,020.18
Facility Holding	Key Bank	14,623.00
Patient Cash Checking	Key Bank	0.00
Patient Cash Checking	M&T Bank	49,118.60
50060 - HUDSON RIVER PSYCHIATRIC CENTER		
Patients Cash	JPMorgan Chase Bank, N.A.	0.00
50080 - MANHATTAN PSYCHIATRIC CENTER		
Advance Account	Sterling Bank	10,410.25
CD	Hudson Valley National Bank	425,038.94
General Fund Checking	Hudson Valley National Bank	9,249.98
Patient Cash Checking	Hudson Valley National Bank	717,378.53
Patients Money Market	Hudson Valley National Bank	72,799.49
Social Service Tokens	Hudson Valley National Bank	38,662.97
50110 - ROCHESTER PSYCHIATRIC CENTER		
Agency Advance	Key Bank	38,796.83
Facility Holding	Key Bank	60,242.64
Patients Cash Account	Key Bank	209,787.50
Patients Fund Savings	Key Bank	160,381.52
50120 - ST LAWRENCE PSYCHIATRIC CENTER		
Facility Advance Account	Community Bank	16,343.77
Facility Holding Account	Community Bank	23,330.00
Patients Cash Account	Community Bank	27,911.81
Patients Cash Savings	Community Bank	191,826.30
50150 - CREEDMOOR PSYCHIATRIC CENTER		
Advance Account	HSBC	63,073.90
Certificate Of Deposit	HSBC	150,000.00
Certificate Of Deposit	HSBC	250,000.00
Holding Account	HSBC	27,314.35
Medicaid Travel Account	HSBC	0.00
Money Management Account	HSBC	697,669.21
Patient Cash Account	HSBC	55,219.77
Rent Holding Account	HSBC	722.00
50170 - ROCKLAND PSYCHIATRIC CENTER		
Holding Account	JPMorgan Chase Bank, N.A.	44,426.41
Investment CD	Sterling Bank	0.00
Investment CD	Sterling Bank	100,458.30
Investment CD	Sterling Bank	100,443.02
Investment CD	Sterling Bank	100,443.02

Investment CD	Sterling Bank	100,443.02
Investment CD	Sterling Bank	100,458.30
Investment CD	Sterling Bank	100,718.00
Investment CD	Sterling Bank	0.00
Patient Cash Account	JPMorgan Chase Bank, N.A.	50,000.00
Patient Cash Checking Account	JPMorgan Chase Bank, N.A.	163,324.40
Patient Cash Savings Account	JPMorgan Chase Bank, N.A.	846,420.37
50180 - NYS PSYCHIATRIC INSTITUTE		
Donation & Gift Acct/Patient Fund Acct	JPMorgan Chase Bank, N.A.	3,876.21
General	JPMorgan Chase Bank, N.A.	12,505.93
Petty Cash	JPMorgan Chase Bank, N.A.	1,112.27
50190 - RICHARD H HUTCHINGS PSYCHIATRIC CENTER		
Advance Account	Key Bank	10,610.50
Clients Count	Key Bank	130,858.45
Holding Account	Key Bank	11,249.45
50200 - PILGRIM PSYCHIATRIC CENTER		
Facility Advance Account	JPMorgan Chase Bank, N.A.	47,379.80
Facility Holding Acct	JPMorgan Chase Bank, N.A.	229,790.82
Patient Cash	JPMorgan Chase Bank, N.A.	591,591.97
50210 - MOHAWK VALLEY PSYCHIATRIC CENTER		
Advance Fund	Key Bank	13,897.78
Facility Holding	Key Bank	5,351.10
Patient Cash Checking	Key Bank	27,407.11
Patient Cash Savings	Key Bank	39,988.73
Security Deposit	Key Bank	8,249.14
50310 - BRONX PSYCHIATRIC CENTER		
CD	JPMorgan Chase Bank, N.A.	250,000.00
Consolidated Advance	JPMorgan Chase Bank, N.A.	17,054.47
Misc. Receipts	JPMorgan Chase Bank, N.A.	27,996.63
Patients Cash MM	JPMorgan Chase Bank, N.A.	87,068.63
Patients Checking Acct	JPMorgan Chase Bank, N.A.	626,075.77
50340 - NATHAN KLINE INSTITUTE		
Petty Cash Account	JPMorgan Chase Bank, N.A.	1,300.00
50350 - KIRBY FORENSIC PSYCHIATRIC CENTER		
Consolidated Advance Account	Hudson Valley National Bank	5,570.13
Holding Account	Hudson Valley National Bank	34,520.89
Patient Cash Account	Hudson Valley National Bank	87,202.43
50390 - CENTRAL NY PSYCHIATRIC CENTER		
Agency Advance Account	Key Bank	9,768.70
General Fund	Key Bank	5,171.73
Patient Checking	Key Bank	36,396.03
Patient Savings	Key Bank	146,030.79
Security Deposit	Key Bank	3,648.96
50440 - MID-HUDSON FORENSIC PSYCHIATRIC CENTER		
Facility Advance Account	Key Bank	9,691.36
Facility Holding Account	Key Bank	1,828.33
Patients Account	Key Bank	163,073.32
50520 - BROOKLYN CHILDRENS PSYCHIATRIC CENTER		
Petty Cash Advance Account	Banco Popular	4,965.00
50790 - SOUTH BEACH PSYCHIATRIC CENTER		
Agency Advance Checking	JPMorgan Chase Bank, N.A.	17,147.22
Facility Holding Checking	JPMorgan Chase Bank, N.A.	8,823.07
Family Care Checking	JPMorgan Chase Bank, N.A.	No report received
Patients Cash Checking	JPMorgan Chase Bank, N.A.	39,365.98
50800 - BRONX CHILDRENS PSYCHIATRIC CENTER		
General Fund	JPMorgan Chase Bank, N.A.	13,180.52
50810 - WESTERN NY CHILDRENS PSYCHIATRIC CENTER		
Agency Advance	Key Bank	2,285.52
Exchange Account	Key Bank	No report received
Patient Cash	Key Bank	245.00
50850 - SAGAMORE CHILDRENS PSYCHIATRIC CENTER		
Sagamore Patient Personal Acct	JPMorgan Chase Bank, N.A.	47,309.23
Sagamore Petty Cash Account	JPMorgan Chase Bank, N.A.	5,322.00
50860 - ROCKLAND CHILDRENS PSYCHIATRIC CENTER		
Advance Account	JPMorgan Chase Bank, N.A.	17,140.37
50870 - QUEENS CHILDRENS PSYCHIATRIC CENTER		
Money Management Account	HSBC	1,248.89
Queens Adv Acct	HSBC	10,748.72
Queens Childrens Account	HSBC	7,167.69
50920 - ELMIRA PSYCHIATRIC CENTER		
Certificate of Deposit	Chemung Canal Trust	100,000.00
Certificate of Deposit	Chemung Canal Trust	100,000.00
Certificate of Deposit	Chemung Canal Trust	100,000.00
Facility Advance Account	Chemung Canal Trust	10,664.20

General Fund Account	Chemung Canal Trust	5,859.45
IMMA	Chemung Canal Trust	45,223.64
Patients Fund Account	Chemung Canal Trust	132,423.15
50980 - CAPITAL DISTRICT PSYCHIATRIC CENTER		
Facility Advance Account	Key Bank	No report received
Facility Holding Account	Key Bank	No report received
Parking Garage Account	Key Bank	No report received
Patients Cash Checking Account	Key Bank	No report received
Patients Cash Savings Account	Key Bank	No report received
51000 - NYS OFFICE FOR PEOPLE WITH DEVELOPMENTAL DISABILITIES		
Petty Cash Acct	Bank of America, N.A.	No report received
Revenue Account	Bank of America, N.A.	7,033,938.32
Travel Advance Account	Bank of America, N.A.	No report received
51210 - HUDSON VALLEY DDSO		
EBT Checking	JPMorgan Chase Bank, N.A.	No report received
Exchange Account	JPMorgan Chase Bank, N.A.	No report received
General Fund	JPMorgan Chase Bank, N.A.	No report received
Investor's Choice Savings	JPMorgan Chase Bank, N.A.	No report received
Petty Cash	JPMorgan Chase Bank, N.A.	No report received
PTS Cash Checking	JPMorgan Chase Bank, N.A.	No report received
51240 - CENTRAL NY DDSO		
Advance Account	M&T Bank	20,000.00
Advance Accounts	Key Bank	14,910.00
Clients Account	Key Bank	503,110.13
Consumer Cash - Checking	M&T Bank	16,091.15
Consumer Cash - Savings	Adirondack Bank	392,472.11
Consumer Cash - Savings	Key Bank	957,089.04
Consumer Cash - Savings	M&T Bank	2,432,571.23
EBT Checking Account	Key Bank	19,995.84
Exchange Account	Key Bank	6,693.91
51250 - TACONIC DDSO		
Agency Advance Account	M&T Bank	60,568.16
Electronic Benefits Transfer	M&T Bank	0.00
General Fund	M&T Bank	300.00
Patients Cash Checking	M&T Bank	2,150,846.86
500 Balltown Rd Schenectady, NY		
TDDSO Representative Payee Savings Acct	The Adirondack Trust Company	536,375.31
51270 - STATEN ISLAND DDSO		
Clients Cash Account	JPMorgan Chase Bank, N.A.	No report received
General Fund Account	JPMorgan Chase Bank, N.A.	No report received
Money Market Account	JPMorgan Chase Bank, N.A.	No report received
Petty Cash Account	JPMorgan Chase Bank, N.A.	No report received
Staten Island DDSO EBT Checking Acct	JPMorgan Chase Bank, N.A.	No report received
51290 - CAPITAL DISTRICT DDSO		
Agency Advance Account	Key Bank	No report received
Agency Advance Account	The Adirondack Trust Company	No report received
Consumer EBT Fund / Fiduciary Account	Key Bank	No report received
Consumers Fund / Fiduciary Account	Key Bank	No report received
Patients Account Direct Deposit / Fiduciary Acct	The Adirondack Trust Company	No report received
Patients Fund Operating Acct / Fiduciary Acct	The Adirondack Trust Company	No report received
Summer Camp - Fiduciary Acct	The Adirondack Trust Company	No report received
51330 - WESTERN NY DDSO		
Agency Advance Account	M&T Bank	38,138.84
CD-Patient Property Funds	Key Bank	3,376,442.90
Exchange Account	M&T Bank	43,835.25
Food Stamp Account	M&T Bank	18,123.00
General Account	Community Bank	101,958.55
Patient Property Funds	Adirondack Bank	122,032.14
Patient Property Funds	M&T Bank	144,776.57
WNY DDSO Perrysburg Client Cash	M&T Bank	147,114.96
51350 - LONG ISLAND DDSO		
Consolidated Advance	JPMorgan Chase Bank, N.A.	No report received
EBT Checking Account	JPMorgan Chase Bank, N.A.	No report received
General Fund	JPMorgan Chase Bank, N.A.	No report received
Patient Cash	JPMorgan Chase Bank, N.A.	No report received
51380 - BROOKLYN DDSO		
CD	Banco Popular	No report received
Consumers Fund	JPMorgan Chase Bank, N.A.	No report received
Consumers Money Management	JPMorgan Chase Bank, N.A.	No report received
Food Stamps Benefits	JPMorgan Chase Bank, N.A.	No report received
Miscellaneous Receipts	JPMorgan Chase Bank, N.A.	No report received
Petty Cash - Degraw Street	JPMorgan Chase Bank, N.A.	No report received
Petty Cash - Mental Hygiene Brooklyn	JPMorgan Chase Bank, N.A.	No report received
Thomas Shirtz Community Service	JPMorgan Chase Bank, N.A.	No report received

51420 - SUNMOUNT DDSO		
Certificate of Deposit	Community Bank	No report received
Community Store Fund	Community Bank	No report received
EBT	Community Bank	No report received
Exchange Fund	Community Bank	No report received
Residents Fund	Community Bank	No report received
Sunmount Advance Account	Community Bank	No report received
Sunmount EBT Checking Account	Community Bank	No report received
51430 - INSTITUTE FOR BASIC RESEARCH IN DEVELOPMENTAL DISABILITIES		
Petty Cash	JPMorgan Chase Bank, N.A.	3,000.00
51450 - METRO NY DDSO		
Consolidated Acct	JPMorgan Chase Bank, N.A.	29,332.68
Manhattan DDSO Client Cash	JPMorgan Chase Bank, N.A.	25,801.21
Metro NY DDSO Food Stamp Acct	JPMorgan Chase Bank, N.A.	0.00
Metro NY DDSOO REP PAYEE ACCOUNT	JPMorgan Chase Bank, N.A.	225,105.55
Patients Cash Account	JPMorgan Chase Bank, N.A.	18,534.75
Patients Money Market Account	JPMorgan Chase Bank, N.A.	9,290.38
Petty Cash	JPMorgan Chase Bank, N.A.	0.00
51470 - BERNARD M FINESON DDSO		
Bernard Fineson DDSO Food Stamp Acct	JPMorgan Chase Bank, N.A.	No report received
Money Market Account	JPMorgan Chase Bank, N.A.	No report received
Patient Fund Account	JPMorgan Chase Bank, N.A.	No report received
Petty Cash Fund	JPMorgan Chase Bank, N.A.	No report received
51780 - FINGER LAKES DDSO		
Advance Account	JPMorgan Chase Bank, N.A.	No report received
F.L. Newark Resident Checking	Community Bank	No report received
Finger Lakes Resident Checking	JPMorgan Chase Bank, N.A.	No report received
FL Vending Machine Account	Community Bank	No report received
General Account	JPMorgan Chase Bank, N.A.	No report received
Geneseo Client Cash	Bank of America, N.A.	No report received
Kelsey Trust Fund	Bank of America, N.A.	No report received
Mary Moore Trust Fund	Bank of America, N.A.	No report received
Monroe Resident Checking	JPMorgan Chase Bank, N.A.	No report received
Monroe Resident Savings	JPMorgan Chase Bank, N.A.	No report received
Patient Food Stamp Account	JPMorgan Chase Bank, N.A.	No report received
51940 - BROOME DDSO		
Broome DDSO	M&T Bank	No report received
Broome DDSO - Advance Account	M&T Bank	No report received
Broome DDSO - General Fund	M&T Bank	No report received
Disabled Individuals Savings	Key Bank	No report received
Disabled Individuals Savings	M&T Bank	No report received
OMRDD Broome DDSO EBT Checking	M&T Bank	No report received
53000 - OFFICE OF ALCOHOLISM & SUBSTANCE ABUSE SERVICES		
Agency Advance Acct	Key Bank	No report received
Patient Fees	Bank of America, N.A.	No report received
Revenue Account	Bank of America, N.A.	No report received
53020 - KINGSBORO ALCOHOLISM TREATMENT CENTER		
Petty Cash Advance Account	Bank of America, N.A.	844.34
55630 - NYS FOUNDATION FOR SCIENCE, TECHNOLOGY & INNOVATION		
Administrative Monies Account	Key Bank	No report received
Federal Monies Account	Key Bank	No report received
NYS Foundation For Science Technology & Innovation	Key Bank	No report received
70000 - CUNY UNIVERSITY MANAGEMENT & PROGRAM BOARD OF HIGHER EDUCATION		
CUNY Admin Imprest Cash Account	Citibank	10,273.01
70030 - CUNY HUNTER COLLEGE		
Travel Petty Cash Account	Citibank	46,443.85
70060 - CUNY JOHN JAY COLLEGE		
CUNY JOHN JAY COLLEGE IMPREST FUND	Citibank	14,423.02
70070 - CUNY LEHMAN COLLEGE		
Lehman College	Citibank	4,195.00
70080 - CUNY YORK COLLEGE		
York College Imprest Funds	Citibank	11,228.64
York College Travel Advance Fund	Citibank	817.00
70100 - CUNY COLLEGE OF STATEN ISLAND		
CSI Imprest Cash	TD Bank	5,154.36
70120 - CUNY NYC COLLEGE OF TECHNOLOGY		
NY City College Of Technology Technical College Imprest Fund	Popular Community Bank	5,000.00
70150 - CUNY SCHOOL OF LAW		
CUNY School Of Law	TD Bank	27,211.80

The above balances represent funds deposited in various banking institutions as reported by the State department and agencies, and published in accordance with Section 107 of the State Finance Law.

Division of the Treasury, Department of Taxation and Finance
Christopher Curtis *Deputy Commissioner and State Treasurer*

**FUNDS OF THE DIVISION OF THE TREASURY OF WHICH THE COMMISSIONER OF TAXATION AND FINANCE
IS THE SOLE CUSTODIAN WITH BALANCES AS OF 10/31/2018**

ACCOUNT DESCRIPTION	DEPOSITORY	BALANCE AS OF 10/31/2018
COMMUNITY COLLEGE TUITION AND INSTRUCTIONAL INCOME FUND		
0226 Upstate Community Colleges, FIT Series 2000A	Key Bank	25,482.19
0231 Upstate Community Colleges, Series 2005A	Key Bank	0.00
0232 Upstate Community Colleges, Series 2005B	Key Bank	30,984.99
0233 Upstate Community Colleges, Series 2005C	Key Bank	26,117.43
DORMITORY AUTHORITY OF THE STATE OF NEW YORK		
0039 Mental Hygiene Facilities Improvement Fund Income Account	Bank of America, N.A.	894.80
0070 Hospital and Nursing Home Project Operating Fund	Key Bank	0.00
0104 Lincoln Medical and Mental Health Center Project Construction Account	Key Bank	18,982.80
0105 Greenpoint Medical and Mental Health Center Project Construction Account	Key Bank	263,605.71
0149 State Advances Repayment Account	Bank of America, N.A.	53.09
1202 DA DFRF MAC #1202 Binghamton	Key Bank	351.28
1203 DA DFRF MAC #1203 Oneonta	Key Bank	279.06
1205 DA DFRF MAC #1205 Delhi	Key Bank	411.43
1206 DA DFRF MAC #1206 Buffalo Univ	Key Bank	99.49
1207 DA DFRF MAC #1207 Buffalo College	Key Bank	1,010.73
1208 DA DFRF MAC #1208 Alfred	Key Bank	1,000.61
1209 DA DFRF Mac #1209 Fredonia	Key Bank	833.75
1211 DA DFRF Mac #1211 Upstate Medical	Key Bank	1,000.52
1212 DA DFRF Mac #1212 Oswego	Key Bank	277.10
1214 DA DFRF Mac #1214 Cortland	Key Bank	248.13
1215 DA DFRF MAC #1215 Stony Brook	Key Bank	6,745,984.88
1216 DA DFRF MAC #1216 Old Westbury	Key Bank	1,000.29
1217 DA DFRF MAC #1217 Farmingdale	Key Bank	317.42
1218 DA DFRF MAC #1218 Downstate Med	Key Bank	709.08
1219 DA DFRF MAC #1219 Maritime	Key Bank	956.62
1221 DA DFRF MAC #1221 Brockport	Key Bank	294,094.67
1222 DA DFRF MAC #1222 Geneseo	Key Bank	509.41
1223 DA DFRF MAC #1223 Purchase	Key Bank	161,601.92
1224 DA DFRF MAC #1224 New Paltz	Key Bank	274.21
1225 DA DFRF MAC #1225 Canton	Key Bank	124.01
1226 DA DFRF MAC #1226 Plattsburgh	Key Bank	1,000.50
1227 DA DFRF MAC #1227 Potsdam	Key Bank	1,000.10
1228 DA DFRF MAC #1228 Morrisville	Key Bank	488.34
1229 DA DFRF MAC #1229 SUNYIT	Key Bank	963.48
1230 DA DFRF MAC #1230 Cobleskill	Key Bank	1,639,956.37
1239 DA DFRF MAC #1239 Albany	Key Bank	4,752,520.79
1240 Dormitory Authority Collection Account - Mac 1240	Key Bank	0.00
2202 DA OMR MAC #2202 Binghamton	Key Bank	281,705.14
2203 DA OMR MAC #2203 Oneonta	Key Bank	190.00
2205 DA OMR MAC #2205 Delhi	Key Bank	12,059.03
2206 DA OMR MAC #2206 Buffalo Univ	Key Bank	1,000.01
2207 DA OMR MAC #2207 Buffalo College	Key Bank	360.00
2208 DA OMR MAC #2208 Alfred	Key Bank	610.00
2209 DA OMR MAC #2209 Fredonia	Key Bank	270.00
2211 DA OMR MAC #2211 Upstate Medical	Key Bank	600.00
2212 DA OMR MAC #2212 Oswego	Key Bank	990.00
2214 DA OMR MAC #2214 Cortland	Key Bank	1,003,256.88
2215 DA OMR MAC #2215 Stony Brook	Key Bank	395.00
2216 DA OMR MAC #2216 Old Westbury	Key Bank	387,284.31
2217 DA OMR MAC #2217 Farmingdale	Key Bank	15,748.51
2218 DA OMR MAC #2218 Downstate Med	Key Bank	26,024.43
2219 DA OMR MAC #2219 Maritime	Key Bank	360,439.93
2221 DA OMR MAC #2221 Brockport	Key Bank	970.01
2222 DA OMR MAC #2222 Geneseo	Key Bank	1,000.01
2223 DA OMR MAC #2223 Purchase	Key Bank	487.00
2224 DA OMR MAC #2224 New Paltz	Key Bank	985.01
2225 DA OMR MAC #2225 Canton	Key Bank	390.00
2226 DA OMR MAC #2226 Plattsburgh	Key Bank	815.01
2227 DA OMR MAC #2227 Potsdam	Key Bank	350,619.80
2228 DA OMR MAC #2228 Morrisville	Key Bank	195.00
2229 DA OMR MAC #2229 SUNYIT	Key Bank	1,000.90
2230 DA OMR MAC #2230 Cobleskill	Key Bank	1,000.75
2239 DA OMR MAC #2239 Albany	Key Bank	610.00
2240 DA UNALLOCATED FD MAC #2240 System Admin	Key Bank	1,000.45
HOMELESS HOUSING ASSISTANCE CORPORATION		
0320 Social Services Homeless Housing and Assistance Corporation Operating Account	Key Bank	9,181,564.85

NELSON A. ROCKEFELLER EMPIRE STATE PLAZA PERFORMING ARTS CENTER CORPORATION

0315	The Egg	Key Bank	36,279.53
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NEW YORK CONVENTION CENTER

0300	Operating Fund	JPMorgan Chase Bank, N.A.	10,588,981.82
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NEW YORK JOB DEVELOPMENT AUTHORITY

0036	Special Purpose Fund	Bank of America, N.A.	106,371.65
0371	Series H Commercial Paper	Bank of America, N.A.	834,629.16
0389	Daily Demand Special Purpose Bonds Series 1992A-B	JPMorgan Chase Bank, N.A.	193,775.49
0421	Escrow Account for Package Fulfillment Center Inc.	Key Bank	30,868.82
0423	Escrow Account for USA Industries Inc.	Key Bank	37,274.67
0424	Escrow Account for Pluritec USA Inc.	Key Bank	19,782.38
0426	Agriculture Loan Program	Key Bank	0.00

NYS AFFORDABLE HOUSING CORPORATION

0491	Disbursement Account	JPMorgan Chase Bank, N.A.	622,776.70
0520	Development Account	Key Bank	869,525.05
0521	Development Account II	Key Bank	0.00
0522	Repayment Account	Key Bank	310,482.71
0523	Recapture Account	Key Bank	937.40
0880	Payroll Account	JPMorgan Chase Bank, N.A.	0.00

NYS DEPARTMENT OF TAXATION AND FINANCE

0510	Excelsior Linked Deposit Fund	Key Bank	0.00
0600	World Trade Center Memorial Foundation Fund Account	Bank of America, N.A.	22,227.36
0625	Advance Acct/Imprest Confidential Fund	Bank of America, N.A.	29,431.25
0626	Criminal Investigation Division	Key Bank	191,856.73
0778	PIT/STAR Rebate Exchange Account	JPMorgan Chase Bank, N.A.	0.00
0800	NYS IRS PIT offset account	Key Bank	4,191,306.77
0827	Stock Transfer Incentive Fund	Key Bank	1,066,825.90
0847	Pari-Mutuel Revenue Transfer Account	Key Bank	0.00
0848	Off-Track Bet Tax Revenue Transfer Account	Key Bank	0.00

NYS ENERGY RESEARCH & DEVELOPMENT AUTHORITY

0028	Green Jobs - Green New York Fund	Bank of America, N.A.	0.00
0030	NYS Energy Research and Development Authority	Bank of America, N.A.	8,200,168.11

NYS HOUSING FINANCE AGENCY

0032	Operating Fund	Key Bank	0.00
0251	Housing Project Repair Fund	Key Bank	0.00
0252	Energy Conservation/Tenant Health & Safety Improvement Account	JPMorgan Chase Bank, N.A.	168,691.00
0254	Agency Assisted Housing Operation Fund	JPMorgan Chase Bank, N.A.	5,083,062.14
0255	Residual Indebtedness Program Operating Fund	JPMorgan Chase Bank, N.A.	0.00
0266	Community Related Programs Operating Account	Key Bank	0.00
0267	Neighborhood Stabilization Program - Round 1	JPMorgan Chase Bank, N.A.	62,646.12
0268	Neighborhood Stabilization Program 3	JPMorgan Chase Bank, N.A.	0.00
0270	Claims Repayment Account	Key Bank	0.00
0271	Pre-Bond Revenue Account	Key Bank	0.00
0272	TCAP Account	Key Bank	0.00
0274	NYSHFA Special Reserve Fund	JPMorgan Chase Bank, N.A.	3,259,637.04
0277	Amalgamated Warbasse Houses Construction Defect Repair Fund	JPMorgan Chase Bank, N.A.	0.00
0283	Small Owner's Assistance Program Account	Key Bank	57,779.43
0285	Public Purpose Account	Key Bank	9,714,571.50
0286	Disbursement Account	Key Bank	1,483,691.05
0287	Infrastructure Development Fund	Key Bank	109,660.08
0288	Mobile Home Cooperative Fund	Key Bank	16,896.94
0290	Low Rent Lease Account	Key Bank	0.00
0292	Homeless Housing Initiatives	Key Bank	184,517.48
0294	Housing Plan Fund	Key Bank	861,309.28
0305	HPD Disbursement Fund	Key Bank	1,318,773.92
0879	Payroll Account	JPMorgan Chase Bank, N.A.	125,000.00

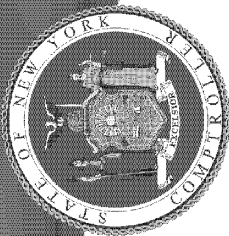
NYS HOUSING TRUST FUND CORPORATION

0458	Section 8 Housing Assistance Payment Account	JPMorgan Chase Bank, N.A.	195,054.60
0460	Escrow Account	M&T Bank	2,213,750.50
0461	Housing Modernization Account	M&T Bank	2,751,462.43
0462	General Custodial Account	M&T Bank	55,327,904.30
0463	General Administrative Account	M&T Bank	0.00
0464	Turnkey Account	M&T Bank	0.00
0465	Home Program Account	M&T Bank	9,374,254.01
0466	Homes for Working Families Account	M&T Bank	7,993,652.55
0467	Section 8 Administrative Account	M&T Bank	18,172,816.54
0468	Small Cities Administrative Account	M&T Bank	0.00
0469	OCR Community Miscellaneous Programs Account	M&T Bank	12,874,840.50
0470	HCV Main Account	Bank of America, N.A.	15,152,505.01

0471	Empire State Relief Fund	M&T Bank	1,603,450.03
0472	Master Escrow Account	Bank of America, N.A.	0.00
0473	Family Self-Sufficiency Account	Bank of America, N.A.	3,905,633.11
0474	Moderate Rehabilitation Account	Bank of America, N.A.	0.00
0475	Reserve Account	Bank of America, N.A.	447,396.09
0476	Voucher Fee Account	Bank of America, N.A.	0.00
0477	Five-Year Mainstream Account	Bank of America, N.A.	0.00
0480	OHP Miscellaneous Programs Account	Bank of America, N.A.	4,044,713.33
0891	Small Cities Community Development Block Grant Program	M&T Bank	1,198,316.37
0892	Disaster Recovery Initiative Account	M&T Bank	19,648.27
0893	Payroll Account for Small Cities CDBG Program	M&T Bank	158.69
0895	HTFC Storm Recovery Payment	M&T Bank	2,591,379.85
0899	HTFC Storm Recovery Lockbox	US Bank	7,961.56
NYS INSURANCE DEPARTMENT			
0001	Property/Casualty Insurance Security Fund	Key Bank	332,332.47
0002	Public Motor Vehicle Security Fund	JPMorgan Chase Bank, N.A.	770,261.38
0003	Workers' Compensation Security Fund	JPMorgan Chase Bank, N.A.	767,168.82
0004	Medical Indemnity Fund	JPMorgan Chase Bank, N.A.	730,110.65
NYS TEACHERS RETIREMENT SYSTEM			
0052	Master Funding Account	State Street Bank & Trust Co.	0.00
0052	Main Account	JPMorgan Chase Bank, N.A.	14,206,081.86
0853	Excess Benefit Fund	JPMorgan Chase Bank, N.A.	4,096,621.00
0854	NYSTRS Electronic Value Transfer System	JPMorgan Chase Bank, N.A.	0.00
STATE INSURANCE FUND			
0053	State Insurance Fund	Bank of America, N.A.	93,138,434.44
0054	State Insurance Fund	Bank of America, N.A.	1,542,943.93
0055	State Insurance Fund	Bank of America, N.A.	2,158,166.22
0861	Disability Benefits Fund Tax Escrow Account	Bank of America, N.A.	28,185.94
STATE UNIVERSITY CONSTRUCTION FUND			
0034	Income Fund	Key Bank	452,818.97
0075	Educational Facilities Revenue Bonds Debt Service Account	Key Bank	29,557.25
0870	Deductions Account	Key Bank	389,438.15
0871	Bio-Tech Incubator at Farmingdale	Key Bank	0.00
WORKERS COMPENSATION BOARD			
0006	Fund for Reopened Cases	Bank of America, N.A.	114,292.11
0007	Special Disability Fund	Bank of America, N.A.	101,151.13
0011	Uninsured Employers Fund	Bank of America, N.A.	237,285.52
0012	WCB Asset Transfer Account	Bank of America, N.A.	0.00
0013	WCB Assessment Revenue Clearing	Bank of America, N.A.	0.00
008K	Special Fund for Disability Benefits	Key Bank	1,127.87

The above balances represent funds deposited in various banking institutions per the records of the Department of Taxation and Finance, Division of Treasury, and published in accordance with Section 107 of the State Finance Law.

Division of the Treasury, Department of Taxation and Finance
Christopher Curtis Deputy Commissioner and State Treasurer



Office of the NEW YORK STATE
COMPTROLLER

Comptroller's Monthly Report on State Funds Cash Basis of Accounting

OCTOBER 2018

Office of Operations
Division of Payroll, Accounting and Revenue Services
Bureau of Financial Reporting and Oil Spill Remediation

NYS Comptroller
THOMAS P. DINAPOLI



STATE OF NEW YORK
OFFICE OF OPERATIONS
DIVISION OF PAYROLL, ACCOUNTING AND REVENUE SERVICES
BUREAU OF FINANCIAL REPORTING AND OIL SPILL REMEDIATION

THOMAS P. DINAPOLI
STATE COMPTROLLER

COMPTROLLER'S MONTHLY REPORT TO THE LEGISLATURE ON STATE FUNDS - CASH BASIS OF ACCOUNTING

October 31, 2018

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EXHIBIT A

**STATE OF NEW YORK
GOVERNMENTAL FUNDS
COMBINED STATEMENT OF CASH RECEIPTS, DISBURSEMENTS AND CHANGES IN FUND BALANCES**
(Amounts in millions)

	GENERAL				SPECIAL REVENUE				DEBT SERVICE				CAPITAL PROJECTS				TOTAL GOVERNMENTAL FUNDS				YEAR OVER YEAR	
	MONTH OF		7 MOS. ENDED		MONTH OF		7 MOS. ENDED		MONTH OF		7 MOS. ENDED		MONTH OF		7 MOS. ENDED		MONTH OF		7 MOS. ENDED		% Increase/ Decrease	
	OCT. 31, 2018	7 MOS. ENDED OCT. 31, 2018	OCT. 31, 2018	7 MOS. ENDED OCT. 31, 2018	OCT. 31, 2018	7 MOS. ENDED OCT. 31, 2018	OCT. 31, 2018	7 MOS. ENDED OCT. 31, 2018	OCT. 31, 2018	7 MOS. ENDED OCT. 31, 2018	OCT. 31, 2018	7 MOS. ENDED OCT. 31, 2018	OCT. 31, 2018	7 MOS. ENDED OCT. 31, 2018	OCT. 31, 2018	7 MOS. ENDED OCT. 31, 2018	OCT. 31, 2017	7 MOS. ENDED OCT. 31, 2017	\$ Increase/ (Decrease)			
RECEIPTS:																						
Personal Income Tax	\$ 1,268.9	\$ 13,117.7	\$ 0.5	\$ 0.5	\$ 1,267.4	\$ 13,118.2	\$ -	\$ -	\$ -	\$ -	\$ 2,574.8	\$ 26,236.4	\$ 1,339.9	\$ 24,988.9	\$ 1,361.1	\$ 24,988.9	\$ 1,367.5	\$ 24,988.9	\$ 1,367.5	5.5%		
Consumption/Use Taxes	587.9	4,468.3	168.1	1,213.6	538.4	4,099.3	47.5	384.9	47.5	384.9	1,339.9	10,167.1	1,339.9	9,670.3	1,316.1	9,670.3	496.8	9,670.3	5.1%			
Business Taxes	96.5	2,586.3	58.9	937.6	-	-	53.5	388.8	53.5	388.8	208.9	3,913.7	208.9	3,710.3	277.0	3,710.3	203.4	3,710.3	5.5%			
Other Taxes	99.3	600.6	-	-	76.0	625.0	12.0	59.6	12.0	59.6	187.3	1,285.2	187.3	1,194.9	277.0	1,194.9	(609.7)	1,194.9	-41.4%			
Miscellaneous Receipts	157.2	1,453.7	1,666.1	11,891.9	33.3	249.5	1,341.1	2,488.8	1,341.1	2,488.8	3,197.7	14,979.4	3,197.7	14,979.4	2,541.1	14,979.4	1,114.5	14,979.4	7.4%			
Federal Receipts	-	0.1	4,590.7	34,326.2	-	36.7	179.7	1,272.6	179.7	1,272.6	4,740.4	35,635.6	4,740.4	32,250.3	4,214.7	32,250.3	3,385.3	32,250.3	10.5%			
Total Receipts	2,227.8	22,227.7	6,452.3	48,389.8	1,935.1	18,128.7	1,633.8	4,605.7	1,633.8	4,605.7	12,249.0	93,331.9	12,249.0	87,674.1	11,112.8	87,674.1	5,657.8	87,674.1	6.5%			
DISBURSEMENTS:																						
Local Assistance Grants:																						
Education	932.2	12,408.6	326.0	4,884.3	-	-	11.1	110.1	11.1	110.1	1,269.3	17,403.0	1,269.3	16,535.2	1,277.4	16,535.2	867.8	16,535.2	48.3%			
Environment and Recreation	0.3	1.7	0.1	1.9	-	-	7.2	105.1	7.2	105.1	7.6	108.7	7.6	73.3	8.8	73.3	35.4	73.3	5.2%			
General Government	11.5	737.3	6.4	114.2	-	-	130.1	524.0	130.1	524.0	148.0	1,375.5	148.0	1,198.0	75.2	1,198.0	176.5	1,198.0	14.7%			
Public Health:																						
Medicaid	1,288.0	10,489.0	4,035.9	26,095.4	-	-	-	194.9	-	194.9	5,304.9	36,584.4	5,304.9	33,149.6	4,489.1	33,149.6	3,434.8	33,149.6	10.4%			
Other Public Health	203.8	1,502.1	574.1	4,393.1	-	-	40.4	194.9	40.4	194.9	816.3	6,060.1	816.3	5,820.0	616.8	5,820.0	270.1	5,820.0	4.6%			
Public Safety	9.8	112.4	216.0	773.6	-	-	34.1	34.1	34.1	34.1	225.8	920.1	225.8	895.0	173.3	895.0	55.1	895.0	6.4%			
Public Welfare	263.7	1,394.9	438.3	2,904.2	-	-	205.3	205.3	205.3	205.3	702.0	4,504.4	702.0	3,827.3	855.8	3,827.3	677.1	3,827.3	17.7%			
Support and Regulate Business	22.5	90.7	1.7	27.4	-	-	133.2	524.5	133.2	524.5	157.4	642.6	157.4	87.0	82.1	87.0	(236.4)	87.0	-26.9%			
Transportation	-	255.6	266.6	2,115.6	-	-	95.7	874.4	95.7	874.4	392.3	3,245.6	392.3	3,353.4	435.9	3,353.4	(107.8)	3,353.4	-3.2%			
Total Local Assistance Grants	2,711.8	26,992.3	5,865.1	41,309.7	-	-	417.7	2,572.4	417.7	2,572.4	8,995.6	70,874.4	8,995.6	65,701.8	8,024.4	65,701.8	5,172.6	65,701.8	7.9%			
Departmental Operations:																						
Personal Service	827.3	5,248.3	645.3	3,392.4	-	-	-	-	-	-	1,472.6	8,610.7	1,472.6	8,046.6	1,111.9	8,046.6	564.1	8,046.6	7.0%			
Non-Personal Service	219.3	1,506.4	398.4	2,388.8	0.2	25.1	-	-	-	-	585.9	3,900.3	585.9	3,977.1	644.2	3,977.1	(76.8)	3,977.1	-1.9%			
General State Charges	542.2	5,085.1	103.2	859.3	-	-	-	-	-	-	645.4	5,945.4	645.4	5,733.6	557.8	5,733.6	211.8	5,733.6	3.7%			
Debt Service, Including Payments on Financing Agreements	-	-	-	-	47.7	1,356.4	-	-	-	-	47.7	1,356.4	47.7	1,581.9	26.7	1,581.9	(225.5)	1,581.9	-14.3%			
Capital Projects	-	-	-	-	-	-	688.5	4,198.8	688.5	4,198.8	688.5	4,198.8	688.5	3,794.5	718.2	3,794.5	404.3	3,794.5	10.7%			
Total Disbursements	4,300.6	38,833.1	6,981.0	47,900.2	47.9	1,381.5	1,106.2	6,771.2	1,106.2	6,771.2	12,435.7	94,886.0	12,435.7	88,835.5	11,083.2	88,835.5	6,050.5	88,835.5	6.8%			
Excess (Deficiency) of Receipts over Disbursements	(2,072.8)	(16,605.4)	(628.7)	489.6	1,887.2	16,747.2	527.6	(2,165.5)	527.6	(2,165.5)	(186.7)	(1,554.1)	(186.7)	(1,161.4)	29.6	(1,161.4)	(392.7)	(1,161.4)	-33.8%			
OTHER FINANCING SOURCES (USES):																						
Bond Proceeds (net)	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%		
Transfers from Other Funds	1,863.6	17,762.3	73.5	1,402.8	312.6	1,474.2	(584.2)	2,192.3	(584.2)	2,192.3	1,685.5	22,831.6	1,685.5	18,399.4	2,075.1	18,399.4	4,432.2	18,399.4	24.1%			
Transfers to Other Funds	323.8	(4,036.4)	(64.2)	(681.8)	(1,874.8)	(17,715.8)	(16.9)	(411.4)	(16.9)	(411.4)	(1,632.1)	(22,465.4)	(1,632.1)	(18,469.1)	(2,081.7)	(18,469.1)	4,376.3	(18,469.1)	23.7%			
Total Other Financing Sources (Uses)	2,187.4	(13,725.9)	9.3	721.0	(1,562.2)	(16,241.6)	(601.1)	1,780.9	(601.1)	1,780.9	33.4	(13.8)	33.4	(69.7)	(6.6)	(69.7)	55.9	(69.7)	80.2%			
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	114.6	(2,879.5)	(519.4)	1,190.6	325.0	505.6	(73.5)	(384.6)	(73.5)	(384.6)	(153.3)	(1,567.9)	(153.3)	(1,231.1)	23.0	(1,231.1)	(336.8)	(1,231.1)	-27.4%			
Beginning Fund Balances (Deficits)	6,450.9	9,445.0	6,012.1	4,302.1	333.7	153.1	(1,462.3)	(1,151.2)	(1,462.3)	(1,151.2)	11,334.4	12,749.0	11,334.4	11,104.7	9,850.6	11,104.7	1,644.3	11,104.7	14.8%			
Ending Fund Balances (Deficits)	\$ 6,565.5	\$ 6,565.5	\$ 5,492.7	\$ 5,492.7	\$ 658.7	\$ 658.7	\$ (1,535.8)	\$ (1,535.8)	\$ (1,535.8)	\$ (1,535.8)	\$ 11,181.1	\$ 11,181.1	\$ 11,181.1	\$ 9,873.6	\$ 9,873.6	\$ 9,873.6	\$ 1,307.5	\$ 9,873.6	13.2%			

**STATE OF NEW YORK
GOVERNMENTAL FUNDS-STATE OPERATING (*)
COMBINED STATEMENT OF CASH RECEIPTS, DISBURSEMENTS AND CHANGES IN FUND BALANCES
(Amounts in millions)**

**EXHIBIT A
SUPPLEMENTAL**

	GENERAL		STATE SPECIAL REVENUE (**)		DEBT SERVICE		TOTAL STATE OPERATING FUNDS			
	MONTH OF OCT. 2018	7 MOS. ENDED OCT. 31, 2018	MONTH OF OCT. 2018	7 MOS. ENDED OCT. 31, 2018	MONTH OF OCT. 2018	7 MOS. ENDED OCT. 31, 2018	MONTH OF OCT. 2018	7 MOS. ENDED OCT. 31, 2018	MONTH OF OCT. 2017	7 MOS. ENDED OCT. 31, 2017
RECEIPTS:										
Personal Income Tax	\$ 1,286.9	\$ 13,117.7	\$ 0.5	\$ 0.5	\$ 1,287.4	\$ 13,118.2	\$ 2,574.8	\$ 26,236.4	\$ 2,694.2	\$ 24,868.9
Consumption/Use Taxes	587.9	4,463.3	166.1	1,213.6	538.4	4,099.3	1,292.4	9,782.2	1,262.6	9,342.9
Business Taxes	96.5	2,586.3	58.9	937.6	-	-	155.4	3,523.9	18.7	3,348.1
Other Taxes	99.3	600.6	-	-	76.0	625.0	175.3	1,225.6	285.1	2,135.4
Miscellaneous Receipts	157.2	1,453.7	1,648.0	11,757.6	33.3	249.5	1,838.5	13,460.8	1,557.6	11,982.5
Federal Receipts	-	0.1	-	(2.5)	-	36.7	-	34.3	0.1	37.2
Total Receipts	2,227.8	22,227.7	1,873.5	13,906.8	1,935.1	18,128.7	6,036.4	54,263.2	5,798.3	51,715.0
DISBURSEMENTS:										
Local Assistance Grants:										
Education	932.2	12,408.6	138.4	2,552.0	-	-	1,070.6	14,960.6	1,077.7	14,571.0
Environment and Recreation	0.3	1.7	-	0.5	-	-	0.3	2.2	0.2	3.7
General Government	11.5	737.3	5.2	82.2	-	-	16.7	819.5	18.7	826.8
Public Health:										
Medicaid	1,268.0	10,489.0	614.1	3,280.7	-	-	1,882.1	13,769.7	1,613.8	12,264.2
Other Public Health	203.8	1,502.1	61.5	525.1	-	-	265.3	2,027.2	142.5	1,943.0
Public Safety	9.8	112.4	11.2	92.3	-	-	21.0	204.7	25.9	159.9
Public Welfare	263.7	1,394.9	0.6	3.7	-	-	264.3	1,398.6	135.7	1,293.9
Support and Regulate Business	22.5	90.7	0.9	21.8	-	-	23.4	112.5	38.8	134.4
Transportation	-	255.6	262.8	2,093.5	-	-	262.8	2,339.1	362.0	2,826.4
Total Local Assistance Grants	2,711.8	26,892.3	1,094.7	8,941.8	-	-	3,806.5	35,634.1	3,415.3	34,023.3
Departmental Operations:										
Personal Service	827.3	5,248.3	576.1	2,965.7	-	-	1,403.4	8,214.0	1,064.3	7,675.6
Non-Personal Service	219.3	1,506.4	261.0	1,614.3	0.2	25.1	480.5	3,145.8	505.6	3,203.0
General State Charges	542.2	5,086.1	76.1	582.6	-	-	618.3	5,668.7	533.3	5,555.2
Debt Service, Including Payments on Financing Agreements	-	-	-	-	47.7	1,356.4	47.7	1,356.4	26.7	1,581.9
Capital Projects	-	-	-	-	-	-	-	-	-	-
Total Disbursements	4,300.6	38,833.1	2,007.9	13,804.4	47.9	1,381.5	6,356.4	54,019.0	5,545.2	52,039.0
Excess (Deficiency) of Receipts over Disbursements	(2,072.8)	(16,605.4)	(134.4)	102.4	1,887.2	16,747.2	(320.0)	244.2	253.1	(324.0)
OTHER FINANCING SOURCES (USES):										
Transfers from Other Funds	1,863.6	17,762.3	123.2	1,816.1	312.6	1,474.2	2,299.4	21,052.6	2,362.3	17,454.3
Transfers to Other Funds	323.8	(4,036.4)	30.4	(77.1)	(1,874.8)	(17,715.8)	(1,520.6)	(21,829.3)	(2,012.8)	(17,168.5)
Total Other Financing Sources (Uses)	2,187.4	13,725.9	153.6	1,739.0	(1,562.2)	(16,241.6)	778.8	(776.7)	349.5	285.8
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	114.6	(2,879.5)	19.2	1,841.4	325.0	505.6	458.8	(532.5)	602.6	(38.2)
Beginning Fund Balances (Deficits)	6,450.9	9,445.0	5,830.7	4,098.5	333.7	153.1	12,615.3	13,606.6	10,984.5	11,625.3
Ending Fund Balances (Deficits)	\$ 6,565.5	\$ 6,565.5	\$ 5,849.9	\$ 5,849.9	\$ 658.7	\$ 658.7	\$ 13,074.1	\$ 13,074.1	\$ 11,587.1	\$ 11,587.1

(*) State Operating Funds are comprised of the General Fund, State Special Revenue Funds supported by activities from dedicated revenue sources (including operating transfers from Federal Funds) and Debt Service Funds.
(**) Eliminations between Special Revenue - State and Federal Funds are not included.

GOVERNMENTAL FUNDS FOOTNOTES

1. Certain disbursements from Capital Projects funds are financed by operating transfers from other funds, proceeds of State bonds and notes, and reimbursements received from Public Authorities and the Federal Government. The amounts shown below represent disbursements to be reimbursed in future months from the sources indicated:

Urban Development Corporation (Correctional Facilities)	\$388.8	million
Urban Development Corporation (Youth Facilities)	62.1	
Housing Finance Agency (HFA)	348.9	
Housing Assistance Fund	13.1	
Dormitory Authority (Mental Hygiene)	605.3	
Dormitory Authority and State University Income Fund	531.8	
Federal Capital Projects	554.5	
State bond and note proceeds	103.3	

2. Operating Transfers constitute legally authorized transfers from a fund receiving revenues to a fund through which disbursements will ultimately be made. The more significant transfers include:

General Fund "Transfers to Other Funds" are as follows:

State Capital Projects Fund	\$1,379.3	million
General Debt Service Fund	511.9	
Banking Services Account	19.2	
Batavia School for the Blind Account	0.9	
Business Service Center Account	6.0	
Centralized Tech Services Account	14.0	
Court Facilities Incentive Aid Fund	62.0	
Dedicated Highway & Bridge Trust Fund	33.0	
Dedicated Infrastructure Investment Fund	750.0	
Dedicated Mass Transportation - Railroad Account	4.4	
Dedicated Mass Transportation - Transit Authority Account	24.4	
Dedicated Mass Transportation - (Non-MTA)	2.5	
Housing Debt Service Fund	2.0	
MTA Financial Assistance Fund	195.4	
MTA Operating Assistance Fund	27.8	
NYC County Courts Operating Fund	3.1	
Rome School for the Deaf Account	0.9	
SUNY - Income Fund	837.5	

Also included in the General Fund are transfers representing payments for patients residing in State-operated Health, Mental Hygiene and State University facilities to Debt Service funds (\$6.3m), the State University Income Fund (\$184.3m), the Mental Hygiene Program Account (\$-29.0m) and Miscellaneous State Special Revenue Fund (\$0.1m).

\$72(4)(b) was added to the State Finance Law in 2010 to permit the State's General Debt Service Fund to maintain a cash reserve for the payment of debt service, and related expenses, during the current fiscal quarter. As of October 31, 2018 - pursuant to a certification of the Budget Director - the reserve amount is (\$182.7m), which is funded by a transfer from the General Fund.

EXHIBIT A NOTES
October 2018

Special Revenue Funds "Transfers To Other Funds" includes transfers to Mental Health Services Fund and Department of Health Income Fund (\$541.3m) representing the federal share of Medicaid payments for patients residing in State-operated Health and Mental Hygiene facilities, the General Debt Services Fund (\$8.4m), Medicaid Management Information System Escrow Fund (\$-23.8m), SUNY Capital Projects Fund (\$-21.7m) and All other Capital Projects (\$51.7m).

Also included in Special Revenue funds are transfers to the General Fund from the following:

Cable Television Account	\$2.5	million
Federal Dept of Health & Human Services Fund	42.1	
Federal USDA/Food & Nutrition Services Fund	12.3	
NYC Assessment Account	19.3	
SUNY Income Fund	26.8	
Unemployment Insurance Administration Fund	7.7	
Unemployment Insurance - Interest & Penalty Account	11.4	

Debt Service Funds "Transfers To Other Funds" includes transfers to the General Fund from the following:

Revenue Bond Tax Fund	\$12,914.1	million
Local Government Assistance Tax Fund	2,020.9	
Sales Tax Revenue Bond Tax Fund	1,547.0	
Clean Water/Clean Air Fund	590.6	
Mental Health Services Fund	555.1	

Also included in Debt Service funds are transfers to Special Revenue funds representing receipts in excess of lease-purchase obligations that are used to finance a portion of the operating expenses for Departments of Health (\$88.1m).

Capital Projects Funds "Transfers To Other Funds" includes transfers to the General Fund (\$14.1m), the General Debt Service Fund - Lease Purchase (\$180.5m), and the Revenue Bond Tax Fund (\$216.8m).

3. The State receives moneys that represent refunds, pharmacy rebates, reimbursements, or disallowances of medical assistance payments previously made from appropriated State and Federal funds. These monies are initially credited to an agency escrow account and shortly after receipt are allocated and refunded to State or Federal fund appropriations from which the medical assistance payments were originally made.

At month end, the following balances remained in agency escrow accounts. For accounting purposes, adjustments have been made to reduce medical assistance spending and count these monies as financial resources of the General Fund and the Special Revenue Federal Fund.

	Allocation of Month-End Balances	
	General Fund	Special Revenue - Federal
Medicaid Recoveries - Health Facilities	\$ -	\$ -
Medicaid Recoveries - Audit	484	516
Medicaid Recoveries - Third Parties	1,123,396	1,197,670
Pharmacy Rebates	32,971	28,063
Medicare Catastrophic Recovery	-	-
Medicaid "Windfall" Recovery	-	-
Total	<u>\$ 1,156,851</u>	<u>\$ 1,226,249</u>

GOVERNMENTAL FUNDS FOOTNOTES

- 4. Part UU of Section 11 of Chapter 59 of the Laws of 2018 amended section 805(b) of the tax law, whereby the receipts from the metropolitan commuter transportation mobility tax will be paid into the metropolitan transportation authority finance fund pursuant to statute but without appropriation. The result is that neither the mobility tax receipts nor the related grant disbursements to the MTA are recorded in the State funds. The MTA mobility tax activity is now reported in Schedule 4.
- 5. A portion of Personal Income Tax receipts is transferred to the State Special Revenue School Tax Relief (STAR) Fund to be used to reimburse school districts for the STAR property tax exemptions for homeowners and payments to homeowners for the STAR Property Rebate Program. School Tax Relief payments were (\$0.5m) as of October 31, 2018.

STATE OF NEW YORK
PROPRIETARY FUNDS
COMBINED STATEMENT OF CASH RECEIPTS, DISBURSEMENTS AND CHANGES IN FUND BALANCES
(Amounts in millions)

EXHIBIT B

	ENTERPRISE			INTERNAL SERVICE			TOTAL PROPRIETARY FUNDS				YEAR OVER YEAR	
	MONTH OF	7 MOS. ENDED	MONTH OF	7 MOS. ENDED	MONTH OF	7 MOS. ENDED	MONTH OF	7 MOS. ENDED	MONTH OF	7 MOS. ENDED	\$ Increase/ (Decrease)	% Increase/ Decrease
	OCT. 2018	OCT. 31, 2018	OCT. 2018	OCT. 31, 2018	OCT. 2018	OCT. 31, 2018	OCT. 2018	OCT. 31, 2018	OCT. 2017	OCT. 31, 2017		
RECEIPTS:												
Miscellaneous Receipts	\$ 5.5	\$ 38.7	\$ 32.7	\$ 288.5	\$ 38.2	\$ 327.2	\$ 43.1	\$ 332.3			\$ (5.1)	-1.5%
Federal Receipts	1.1	7.2	-	-	1.1	7.2	1.5	9.6			(2.4)	-25.0%
Unemployment Taxes	148.7	1,098.2	-	-	148.7	1,098.2	164.8	1,205.7			(107.5)	-8.9%
Total Receipts	155.3	1,144.1	32.7	288.5	188.0	1,432.6	209.4	1,547.6			(115.0)	-7.4%
DISBURSEMENTS:												
Departmental Operations:												
Personal Service	2.4	4.7	11.1	63.4	13.5	68.1	10.9	65.2			2.9	4.4%
Non-Personal Service	5.8	31.9	40.1	257.3	45.9	289.2	35.7	319.6			(30.4)	-9.5%
General State Charges	-	0.6	4.8	50.3	4.8	50.9	5.4	35.8			15.1	42.2%
Unemployment Benefits	150.0	1,105.4	-	-	150.0	1,105.4	166.2	1,215.3			(109.9)	-9.0%
Total Disbursements	158.2	1,142.6	56.0	371.0	214.2	1,513.6	218.2	1,635.9			(122.3)	-7.5%
Excess (Deficiency) of Receipts Over Disbursements	(2.9)	1.5	(23.3)	(82.5)	(26.2)	(81.0)	(8.8)	(88.3)			7.3	8.3%
OTHER FINANCING SOURCES (USES):												
Transfers from Other Funds	-	-	5.6	44.6	5.6	44.6	6.6	22.0			22.6	102.7%
Transfers to Other Funds	-	-	-	(7.0)	-	(7.0)	-	(7.3)			(0.3)	-4.1%
Total Other Financing Sources (Uses)	-	-	5.6	37.6	5.6	37.6	6.6	14.7			22.9	155.8%
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	(2.9)	1.5	(17.7)	(44.9)	(20.6)	(43.4)	(2.2)	(73.6)			30.2	41.0%
Beginning Fund Balances (Deficits)	29.0	24.6	(296.4)	(269.2)	(267.4)	(244.6)	(248.2)	(176.8)			(67.8)	-38.3%
Ending Fund Balances (Deficits)	\$ 26.1	\$ 26.1	\$ (314.1)	\$ (314.1)	\$ (286.0)	\$ (288.0)	\$ (250.4)	\$ (250.4)			\$ (37.6)	-15.0%

STATE OF NEW YORK
TRUST FUNDS
COMBINED STATEMENT OF CASH RECEIPTS, DISBURSEMENTS AND CHANGES IN FUND BALANCES
(Amounts in millions)

EXHIBIT C

	PENSION		PRIVATE PURPOSE		TOTAL TRUST FUNDS			YEAR OVER YEAR	
	MONTH OF OCT. 2018	7 MOS. ENDED OCT. 31, 2018	MONTH OF OCT. 2018	7 MOS. ENDED OCT. 31, 2018	MONTH OF OCT. 2018	7 MOS. ENDED OCT. 31, 2018	MONTH OF OCT. 2017	7 MOS. ENDED OCT. 31, 2017	% Increase/ Decrease
RECEIPTS:									
Miscellaneous Receipts	\$ 7.6	\$ 74.1	\$ -	\$ 0.8	\$ 7.6	\$ 74.9	\$ 6.0	\$ 40.0	87.3%
Total Receipts	7.6	74.1	-	0.8	7.6	74.9	6.0	40.0	87.3%
DISBURSEMENTS:									
Departmental Operations:									
Personal Service	7.7	41.3	-	0.1	7.7	41.4	5.9	37.3	11.0%
Non-Personal Service	0.8	8.0	-	-	0.8	8.0	1.3	7.8	2.6%
General State Charges	3.2	32.4	-	-	3.2	32.4	-	15.0	116.0%
Total Disbursements	11.7	81.7	-	0.1	11.7	81.8	7.2	60.1	36.1%
Excess (Deficiency) of Receipts Over Disbursements	(4.1)	(7.6)	-	0.7	(4.1)	(6.9)	(1.2)	(20.1)	65.7%
OTHER FINANCING SOURCES (USES):									
Transfers from Other Funds	-	-	-	-	-	-	-	-	0.0%
Transfers to Other Funds	-	-	-	-	-	-	-	-	0.0%
Total Other Financing Sources (Uses)	-	-	-	-	-	-	-	-	0.0%
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	(4.1)	(7.6)	-	0.7	(4.1)	(6.9)	(1.2)	(20.1)	65.7%
Beginning Fund Balances (Deficits)	(5.5)	(2.0)	12.6	11.9	7.1	9.9	(10.1)	8.8	12.5%
Ending Fund Balances (Deficits)	(9.6)	(9.6)	12.6	12.6	3.0	3.0	(11.3)	(11.3)	126.5%

**STATE OF NEW YORK
BUDGETARY BASIS - FINANCIAL PLAN AND ACTUAL
FISCAL YEAR 2018-2019
FOR SEVEN MONTHS ENDED OCTOBER 31, 2018
(Amounts in millions)**

EXHIBIT D

	ALL GOVERNMENTAL FUNDS				
	Enacted Financial Plan (*)	Updated Financial Plan (**)	Actual	Actual Over/ (Under) Enacted Financial Plan	Actual Over/ (Under) Updated Financial Plan
RECEIPTS:					
Taxes:					
Personal Income	\$ 26,567.0	\$ 26,209.0	\$ 26,236.4	\$ (330.6)	\$ 27.4
Consumption/Use	10,088.0	10,167.0	10,167.1	79.1	0.1
Business	3,910.0	3,910.0	3,913.7	3.7	3.7
Other	1,315.0	1,300.0	1,285.2	(29.8)	(14.8)
Miscellaneous Receipts	15,336.0	16,059.0	16,093.9	757.9	34.9
Federal Receipts	33,186.0	35,603.0	35,635.6	2,449.6	32.6
Total Receipts	90,402.0	93,248.0	93,331.9	2,929.9	83.9
DISBURSEMENTS:					
Local Assistance Grants	68,309.0	70,794.0	70,874.4	2,565.4	80.4
Departmental Operations	12,663.0	12,507.0	12,511.0	(152.0)	4.0
General State Charges	6,085.0	5,940.0	5,945.4	(139.6)	5.4
Debt Service	1,371.0	1,347.0	1,356.4	(14.6)	9.4
Capital Projects	5,263.0	4,229.0	4,198.8	(1,064.2)	(30.2)
Total Disbursements	93,691.0	94,817.0	94,886.0	1,195.0	69.0
Excess (Deficiency) of Receipts over Disbursements	(3,289.0)	(1,569.0)	(1,554.1)	1,734.9	14.9
OTHER FINANCING SOURCES (USES):					
Bond and Note Proceeds, net	-	-	-	-	-
Transfers from Other Funds	24,355.0	23,330.0	22,831.6	(1,523.4)	(498.4)
Transfers to Other Funds	(24,409.0)	(23,382.0)	(22,845.4)	(1,563.6)	(536.6)
Total Other Financing Sources (Uses)	(54.0)	(52.0)	(13.8)	40.2	38.2
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	(3,343.0)	(1,621.0)	(1,567.9)	1,775.1	53.1
Fund Balances (Deficits) at April 1	12,749.0	12,749.0	12,749.0	-	-
Fund Balances (Deficits) at October 31, 2018	\$ 9,406.0	\$ 11,128.0	\$ 11,181.1	\$ 1,775.1	\$ 53.1

(*) Source: 2018-19 Enacted Financial Plan dated May 11, 2018.

(**) Source: 2018-19 Mid-Year Update dated November 9, 2018.

EXHIBIT D

STATE OF NEW YORK
BUDGETARY BASIS - FINANCIAL PLAN AND ACTUAL
FISCAL YEAR 2018-2019
FOR SEVEN MONTHS ENDED OCTOBER 31, 2018
(Amounts in millions)

	STATE OPERATING FUNDS ^(****)			
	Enacted Financial Plan (*)	Updated Financial Plan (**)	Actual	Actual Over/ (Under) Enacted Financial Plan Updated Financial Plan
RECEIPTS:				
Taxes:				
Personal Income	\$ 26,567.0	\$ 26,209.0	\$ 26,236.4	\$ 27.4
Consumption/Use	9,718.0	9,778.0	9,782.2	4.2
Business	3,525.0	3,519.0	3,523.9	4.9
Other	1,255.0	1,240.0	1,225.6	(1.1)
Miscellaneous Receipts	12,681.0	13,433.0	13,460.8	(14.4)
Federal Receipts	34.0	34.0	34.3	27.8
Total Receipts	53,780.0	54,213.0	54,263.2	483.2
DISBURSEMENTS:				
Local Assistance Grants	35,310.0	35,635.0	35,634.1	(0.9)
Departmental Operations	11,466.0	11,350.0	11,359.8	9.8
General State Charges	5,902.0	5,665.0	5,668.7	3.7
Debt Service	1,371.0	1,347.0	1,356.4	9.4
Capital Projects	-	-	-	-
Total Disbursements	54,049.0	53,997.0	54,019.0	(30.0)
Excess (Deficiency) of Receipts over Disbursements	(269.0)	216.0	244.2	28.2
OTHER FINANCING SOURCES (USES):				
Transfers from Other Funds	21,661.0	21,134.0	21,052.6 ^(***)	(81.4)
Transfers to Other Funds	(22,828.0)	(21,906.0)	(21,829.3) ^(***)	(76.7)
Total Other Financing Sources (Uses)	(1,167.0)	(772.0)	(776.7)	(4.7)
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	(1,436.0)	(556.0)	(532.5)	23.5
Fund Balances (Deficits) at April 1	13,607.0	13,607.0	13,606.6	(0.4)
Fund Balances (Deficits) at October 31, 2018	\$ 12,171.0	\$ 13,051.0	\$ 13,074.1	\$ 23.1

(*) Source: 2018-19 Enacted Financial Plan dated May 11, 2018.

(**) Source: 2018-19 Mid-Year Update dated November 9, 2018.

(*** State Operating Funds are comprised of the General Fund, State Special Revenue Funds supported

by activities from dedicated revenue sources (including operating transfers from Federal Funds) and Debt Service Funds.

(****) Eliminations between Special Revenue - State and Federal Funds are not included.

**STATE OF NEW YORK
BUDGETARY BASIS - FINANCIAL PLAN AND ACTUAL
FISCAL YEAR 2018-2019
FOR SEVEN MONTHS ENDED OCTOBER 31, 2018
(Amounts in millions)**

EXHIBIT D

	GENERAL FUND				
	Enacted Financial Plan (*)	Updated Financial Plan (**)	Actual	Actual Over/ (Under) Enacted Financial Plan	Actual Over/ (Under) Updated Financial Plan
RECEIPTS:					
Taxes:					
Personal Income	\$ 13,283.0	\$ 13,104.0	\$ 13,117.7	\$ (165.3)	\$ 13.7
Consumption/Use	4,435.0	4,466.0	4,469.3	34.3	3.3
Business	2,610.0	2,584.0	2,586.3	(23.7)	2.3
Other	605.0	615.0	600.6	(4.4)	(14.4)
Miscellaneous Receipts	1,049.0	1,472.0	1,453.7	404.7	(18.3)
Federal Receipts	-	-	0.1	0.1	0.1
Transfers From:					
PIT / ECET in excess of Revenue Bond Debt Service	13,059.0	12,900.0	12,914.1	(144.9)	14.1
Sales Tax in excess of LGAC / STRBF Debt Service	3,583.0	3,576.0	3,567.9	(15.1)	(8.1)
Real Estate Taxes in excess of CW/CA Debt Service	628.0	590.0	590.6	(37.4)	0.6
All Other	924.0	732.0	689.7	(234.3)	(42.3)
Total Receipts and Other Financing Sources	40,176.0	40,039.0	39,990.0	(186.0)	(49.0)
DISBURSEMENTS:					
Local Assistance Grants	26,693.0	26,990.0	26,992.3	299.3	2.3
Departmental Operations	6,896.0	6,752.0	6,754.7	(141.3)	2.7
General State Charges	5,364.0	5,086.0	5,086.1	(277.9)	0.1
Transfers To:					
Debt Service	572.0	511.0	511.9	(60.1)	0.9
Capital Projects	2,676.0	2,167.0	2,162.3	(513.7)	(4.7)
State Share Medicaid	-	(29.0)	161.7	161.7	190.7
SUNY Operations	842.0	838.0	837.5	(4.5)	(0.5)
Other Purposes	501.0	557.0	363.0	(138.0)	(194.0)
Total Disbursements and Other Financing Uses	43,544.0	42,872.0	42,869.5	(674.5)	(2.5)
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	(3,368.0)	(2,833.0)	(2,879.5)	488.5	(46.5)
Fund Balances (Deficits) at April 1	9,445.0	9,445.0	9,445.0	-	-
Fund Balances (Deficits) at October 31, 2018	\$ 6,077.0	\$ 6,612.0	\$ 6,565.5	\$ 488.5	\$ (46.5)

(*) Source: 2018-19 Enacted Financial Plan dated May 11, 2018.

(**) Source: 2018-19 Mid-Year Update dated November 9, 2018.

(***) Includes transfers to the Department of Health Income Fund and the State University Income Fund representing payments for patients residing in State-Operated Health and State University facilities.

STATE OF NEW YORK
BUDGETARY BASIS - FINANCIAL PLAN AND ACTUAL
FISCAL YEAR 2018-2019
FOR SEVEN MONTHS ENDED OCTOBER 31, 2018
(Amounts in millions)

EXHIBIT D

	SPECIAL REVENUE FUNDS					
	Enacted Financial Plan (*)	Updated Financial Plan (**)	Actual	Eliminations	Total	Actual Over/ (Under) Enacted Financial Plan Updated Financial Plan
RECEIPTS:						
Taxes:						
Personal Income	1.0	\$ 1.0	\$ 0.5	-	\$ 0.5	\$ (0.5)
Consumption/Use	1,208.0	1,213.0	1,213.6	-	1,213.6	5.6
Business	915.0	935.0	937.6	-	937.6	22.6
Other	-	-	-	-	-	-
Miscellaneous Receipts	11,442.0	11,825.0	11,891.9	-	11,891.9	449.9
Federal Receipts	31,891.0	34,292.0	34,326.2	-	34,326.2	2,435.2
Transfers from Other Funds (***)	1,718.0	1,855.0	1,816.1	(413.3)	1,402.8	(315.2)
Total Receipts and Other Financing Sources	47,175.0	50,121.0	50,185.9	(413.3)	49,772.6	2,597.6
DISBURSEMENTS:						
Local Assistance Grants	38,734.0	41,225.0	41,309.7	-	41,309.7	2,575.7
Departmental Operations	5,735.0	5,730.0	5,731.2	-	5,731.2	(3.8)
General State Charges	721.0	854.0	859.3	-	859.3	138.3
Capital Projects	-	-	-	-	-	-
Transfers to Other Funds (***)	1,323.0	1,183.0	1,095.1	(413.3)	681.8	(641.2)
Total Disbursements and Other Financing Uses	46,513.0	48,992.0	48,995.3	(413.3)	48,582.0	2,069.0
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	662.0	1,129.0	1,190.6	-	1,190.6	528.6
Fund Balances (Deficits) at April 1	4,302.0	4,302.0	4,302.1	-	4,302.1	0.1
Fund Balances (Deficits) at October 31, 2018	4,964.0	5,431.0	5,492.7	-	5,492.7	528.7
						\$ 61.7

(*) Source: 2018-19 Enacted Financial Plan dated May 11, 2018.

(**) Source: 2018-19 Mid-Year Update dated November 9, 2018.

(***) Actual reported transfer amounts include eliminations between Special Revenue - State and Federal Funds.

STATE OF NEW YORK
BUDGETARY BASIS - FINANCIAL PLAN AND ACTUAL
FISCAL YEAR 2018-2019
FOR SEVEN MONTHS ENDED OCTOBER 31, 2018
(Amounts in millions)

EXHIBIT D

	STATE SPECIAL REVENUE FUNDS				FEDERAL SPECIAL REVENUE FUNDS			
	Enacted Financial Plan (*)	Updated Financial Plan (**)	Actual	Actual Over/ (Under) Enacted Financial Plan	Enacted Financial Plan (*)	Updated Financial Plan (**)	Actual	Actual Over/ (Under) Enacted Financial Plan
RECEIPTS:								
Taxes:								
Personal Income	\$ 1,208.0	\$ 1,213.0	\$ 1,213.6	5.6	\$ -	\$ -	\$ -	\$ -
Consumption/Use	915.0	935.0	937.6	22.6	-	-	-	-
Business	-	-	-	-	-	-	-	-
Other	-	-	-	-	-	-	-	-
Miscellaneous Receipts	11,378.0	11,700.0	11,757.6	379.6	64.0	125.0	134.3	70.3
Federal Receipts	(3.0)	(3.0)	(2.5)	0.5	31,894.0	34,295.0	34,328.7	2,434.7
Transfers from Other Funds	1,712.0	1,855.0	1,816.1	104.1	6.0	-	-	(6.0)
Total Receipts and Other Financing Sources	15,211.0	15,701.0	15,722.9	511.9	31,964.0	34,420.0	34,463.0	2,499.0
DISBURSEMENTS:								
Local Assistance Grants	8,617.0	8,645.0	8,641.8	24.8	30,117.0	32,580.0	32,667.9	2,550.9
Departmental Operations	4,538.0	4,573.0	4,580.0	42.0	1,197.0	1,157.0	1,151.2	(45.8)
General State Charges	538.0	579.0	582.6	44.6	183.0	275.0	276.7	93.7
Capital Projects	-	-	-	-	-	-	-	-
Transfers to Other Funds	162.0	117.0	77.1	(84.9)	1,161.0	1,066.0	1,018.0	(143.0)
Total Disbursements and Other Financing Uses	13,855.0	13,914.0	13,881.5	26.5	32,658.0	35,078.0	35,113.8	2,455.8
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	1,356.0	1,787.0	1,841.4	485.4	(694.0)	(658.0)	(650.8)	43.2
Fund Balances (Deficits) at April 1	4,009.0	4,009.0	4,008.5	(0.5)	293.0	293.0	293.6	0.6
Fund Balances (Deficits) at October 31, 2018	\$ 5,365.0	\$ 5,796.0	\$ 5,849.9	\$ 484.9	\$ (401.0)	\$ (365.0)	\$ (357.2)	\$ 43.8

(*) Source: 2018-19 Enacted Financial Plan dated May 11, 2018.

(**) Source: 2018-19 Mid-Year Update dated November 9, 2018.

STATE OF NEW YORK

BUDGETARY BASIS - FINANCIAL PLAN AND ACTUAL

FISCAL YEAR 2018-2019

FOR SEVEN MONTHS ENDED OCTOBER 31, 2018

(Amounts in millions)

EXHIBIT D

	DEBT SERVICE FUNDS			
	Enacted Financial Plan (*)	Updated Financial Plan (**)	Actual	Actual Over/ (Under) Enacted Financial Plan Actual Over/ (Under) Updated Financial Plan
RECEIPTS:				
Taxes:				
Personal Income	\$ 13,283.0	\$ 13,104.0	\$ 13,118.2	\$ (164.8) 14.2
Consumption/Use	4,075.0	4,099.0	4,099.3	24.3 0.3
Other	650.0	625.0	625.0	(25.0) -
Miscellaneous Receipts	254.0	261.0	249.5	(4.5) (11.5)
Federal Receipts	37.0	37.0	36.7	(0.3) (0.3)
Transfers from Other Funds	1,755.0	1,481.0	1,474.2	(280.8) (6.8)
Total Receipts and Other Financing Sources	20,054.0	19,607.0	19,602.9	(451.1) (4.1)
DISBURSEMENTS:				
Departmental Operations	32.0	25.0	25.1	(6.9) 0.1
Debt Service	1,371.0	1,347.0	1,356.4	(14.6) 9.4
Transfers to Other Funds	18,075.0	17,745.0	17,715.8	(359.2) (29.2)
Total Disbursements and Other Financing Uses	19,478.0	19,117.0	19,097.3	(380.7) (19.7)
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	576.0	490.0	505.6	(70.4) 15.6
Fund Balances (Deficits) at April 1	153.0	153.0	153.1	0.1 0.1
Fund Balances (Deficits) at October 31, 2018	\$ 729.0	\$ 643.0	\$ 658.7	\$ (70.3) 15.7

(*) Source: 2018-19 Enacted Financial Plan dated May 11, 2018.

(**) Source: 2018-19 Mid-Year Update dated November 9, 2018.

STATE OF NEW YORK
BUDGETARY BASIS - FINANCIAL PLAN AND ACTUAL
FISCAL YEAR 2018-2019
FOR SEVEN MONTHS ENDED OCTOBER 31, 2018
(Amounts in millions)

EXHIBIT D

	CAPITAL PROJECTS FUNDS						Actual Over/ (Under) Updated Financial Plan
	Enacted Financial Plan (*)	Updated Financial Plan (**)	Actual	Eliminations	Total	Actual Over/ (Under) Enacted Financial Plan	
RECEIPTS:							
Taxes:							
Consumption/Use	\$ 370.0	\$ 389.0	\$ 384.9	\$ -	\$ 384.9	\$ 14.9	\$ (4.1)
Business	385.0	391.0	389.8	-	389.8	4.8	(1.2)
Other	60.0	60.0	59.6	-	59.6	(0.4)	(0.4)
Miscellaneous Receipts	2,591.0	2,501.0	2,498.8	-	2,498.8	(92.2)	(2.2)
Federal Receipts	1,258.0	1,274.0	1,272.6	-	1,272.6	14.6	(1.4)
Bond and Note Proceeds, net	-	-	-	-	-	-	-
Transfers from Other Funds	2,688.0	2,196.0	2,376.5	(184.2)	2,192.3	(495.7)	(3.7)
Total Receipts and Other Financing Sources	7,352.0	6,811.0	6,982.2	(184.2)	6,798.0	(554.0)	(13.0)
DISBURSEMENTS:							
Local Assistance Grants	2,882.0	2,579.0	2,572.4	-	2,572.4	(309.6)	(6.6)
Capital Projects	5,263.0	4,229.0	4,198.8	-	4,198.8	(1,064.2)	(30.2)
Transfers to Other Funds	420.0	410.0	595.6	(184.2)	411.4	(8.6)	1.4
Total Disbursements and Other Financing Uses	8,565.0	7,218.0	7,366.8	(184.2)	7,182.6	(1,382.4)	(35.4)
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	(1,213.0)	(407.0)	(384.6)	-	(384.6)	828.4	22.4
Fund Balances (Deficits) at April 1	(1,151.0)	(1,151.0)	(1,151.2)	-	(1,151.2)	(0.2)	(0.2)
Fund Balances (Deficits) at October 31, 2018	\$ (2,364.0)	\$ (1,558.0)	\$ (1,535.8)	\$ -	\$ (1,535.8)	\$ 828.2	\$ 22.2

(*) Source: 2018-19 Enacted Financial Plan dated May 11, 2018.

(**) Source: 2018-19 Mid-Year Update dated November 9, 2018.

STATE OF NEW YORK
BUDGETARY BASIS - FINANCIAL PLAN AND ACTUAL
FISCAL YEAR 2018-2019
FOR SEVEN MONTHS ENDED OCTOBER 31, 2018
(Amounts in millions)

EXHIBIT D

	STATE CAPITAL PROJECTS FUNDS				FEDERAL CAPITAL PROJECTS FUNDS					
	Enacted Financial Plan (*)	Updated Financial Plan (**)	Actual	Actual Over/ (Under) Enacted Financial Plan	Actual Over/ (Under) Updated Financial Plan	Enacted Financial Plan (*)	Updated Financial Plan (**)	Actual	Actual Over/ (Under) Enacted Financial Plan	Actual Over/ (Under) Updated Financial Plan
RECEIPTS:										
Taxes:										
Consumption/Use	\$ 370.0	\$ 389.0	\$ 384.9	\$ 14.9	\$ (4.1)	\$ -	\$ -	\$ -	\$ -	\$ -
Business	385.0	391.0	388.8	4.8	(1.2)	-	-	-	-	-
Other	60.0	60.0	59.6	(0.4)	(0.4)	-	-	-	-	-
Miscellaneous Receipts	2,591.0	2,501.0	2,498.3	(92.7)	(2.7)	-	-	0.5	0.5	0.5
Federal Receipts	2.0	2.0	2.5	0.5	0.5	1,256.0	1,272.0	1,270.1	14.1	(1.9)
Bond and Note Proceeds, net	2,688.0	2,381.0	2,376.5	(311.5)	(4.5)	-	-	-	-	-
Transfers from Other Funds	6,096.0	5,724.0	5,711.6	(384.4)	(12.4)	1,256.0	1,087.0	1,270.6	14.6	185.0
Total Receipts and Other Financing Sources										
DISBURSEMENTS:										
Local Assistance Grants	2,444.0	2,322.0	2,316.2	(127.8)	(5.8)	438.0	257.0	256.2	(181.8)	(0.8)
Capital Projects	4,492.0	3,425.0	3,397.0	(1,095.0)	(28.0)	771.0	804.0	801.8	30.8	(2.2)
Transfers to Other Funds	414.0	410.0	411.3	(2.7)	1.3	6.0	-	184.3	178.3	184.3
Total Disbursements and Other Financing Uses	7,350.0	6,157.0	6,124.5	(1,225.5)	(32.5)	1,215.0	1,061.0	1,242.3	27.3	181.3
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	(1,254.0)	(433.0)	(412.9)	841.1	20.1	41.0	26.0	28.3	(12.7)	2.3
Fund Balances (Deficits) at April 1	(568.0)	(568.0)	(568.4)	(0.4)	(0.4)	(583.0)	(583.0)	(582.8)	0.2	0.2
Fund Balances (Deficits) at October 31, 2018	\$ (1,822.0)	\$ (1,001.0)	\$ (981.3)	\$ 840.7	\$ 19.7	\$ (542.0)	\$ (557.0)	\$ (554.5)	\$ (12.5)	\$ 2.5

(*) Source: 2018-19 Enacted Financial Plan dated May 11, 2018.
(**) Source: 2018-19 Mid-Year Update dated November 9, 2018.

STATE OF NEW YORK
GOVERNMENTAL FUNDS
COMPARATIVE SCHEDULE OF TAX RECEIPTS
(Amounts in millions)

	EXHIBIT											
	GENERAL					TOTAL GOVERNMENTAL FUNDS					YEAR OVER YEAR	
	MONTH OF OCT. 2018	7 MOS. ENDED OCT. 31, 2018	SPECIAL REVENUE MONTH OF OCT. 2018	DEBT SERVICE MONTH OF OCT. 2018	CAPITAL PROJECTS MONTH OF OCT. 2018	MONTH OF OCT. 2018	7 MOS. ENDED OCT. 31, 2018	MONTH OF OCT. 2017	7 MOS. ENDED OCT. 31, 2017	\$ Increase/ (Decrease)	% Increase/ Decrease	
PERSONAL INCOME TAX												
Withholding	\$ 3,148.8	\$ 20,750.8	\$ -	\$ -	\$ -	\$ 3,148.8	\$ 20,750.8	\$ 2,789.7	\$ 19,562.1	\$ 1,168.7	6.0%	
Estimated Payments	162.9	9,745.4	-	-	-	162.9	9,745.4	145.3	8,948.1	896.3	10.1%	
Returns	474.4	2,357.3	-	-	-	474.4	2,357.3	391.7	2,195.3	162.0	7.4%	
State/City Offsets	(422.6)	(843.3)	-	-	-	(422.6)	(843.3)	(321.7)	(627.4)	215.9	34.4%	
Other Assessments/LLC	104.3	704.6	-	-	-	104.3	704.6	100.1	734.1	(28.5)	-4.0%	
Gross Receipts	3,487.8	32,714.8	-	-	-	3,487.8	32,714.8	3,105.1	30,733.2	1,981.6	6.4%	
Transfers to School Tax Relief Fund	(0.5)	(0.5)	0.5	-	-	-	-	-	-	-	0.0%	
Transfers to Revenue Bond Tax Fund	(1,287.4)	(13,118.2)	-	1,287.4	-	-	(6,478.4)	(410.9)	(5,954.3)	614.1	10.5%	
Less: Refunds Issued	(913.0)	(6,478.4)	-	-	-	(913.0)	(6,478.4)	-	-	-	0.0%	
Total	1,286.9	13,117.7	0.5	1,287.4	-	2,574.8	26,236.4	2,694.2	24,868.9	1,367.5	5.5%	
CONSUMPTION/USE TAXES												
Sales and Use	538.7	4,110.7	75.1	538.4	-	1,152.2	8,803.6	1,112.9	8,329.4	474.2	5.7%	
Auto Rental	-	-	0.1	-	0.1	0.2	75.0	9.3	81.2	(6.2)	-7.6%	
Cigarette/Tobacco Products	30.5	204.8	70.2	-	-	100.7	693.5	106.0	724.9	(31.4)	-4.3%	
Medical Marijuana	-	-	0.3	-	-	0.3	2.0	0.2	0.9	1.1	122.2%	
Motor Fuel	-	-	9.3	-	35.4	44.7	312.6	42.6	302.9	9.7	3.2%	
Alcoholic Beverage	18.7	153.8	-	-	-	18.7	153.8	19.4	152.5	1.3	0.9%	
Highway Use	-	-	0.2	(2.7)	12.0	12.2	88.3	14.3	39.6	48.7	128.8%	
Metropolitan Commuter Trans. Taxicab Trip	-	-	10.9	38.3	-	10.9	38.3	11.4	39.9	(1.6)	-4.0%	
Total	587.9	4,468.3	166.1	1,213.6	47.5	1,339.9	10,167.1	1,316.1	9,670.3	496.8	5.1%	
BUSINESS TAXES												
Corporation Franchise	61.2	1,686.8	19.1	476.5	-	80.3	2,163.3	(32.4)	1,701.3	462.0	27.2%	
Corporation and Utilities	(1.6)	202.8	2.2	72.9	-	1.0	283.9	1.3	336.5	(52.6)	-15.6%	
Insurance	28.0	667.2	6.4	93.2	0.4	34.4	760.4	8.5	762.9	(2.5)	-0.3%	
Bank	8.9	28.5	(10.5)	(2.9)	-	(1.6)	26.6	1.0	268.6	(242.0)	-90.1%	
Petroleum Business	-	-	41.7	297.9	-	53.1	679.5	91.3	641.0	38.5	6.0%	
Total	96.5	2,586.3	58.9	937.6	389.8	208.9	3,913.7	69.7	3,710.3	203.4	5.5%	
OTHER TAXES												
Real Property Gains	-	-	-	-	-	-	-	-	-	-	0.0%	
Estate and Gift	97.8	598.3	-	-	-	97.8	598.3	75.6	739.3	(151.0)	-20.4%	
Part-Mutuel	1.1	10.7	-	-	-	1.1	10.7	1.2	10.6	0.1	0.9%	
Real Estate Transfer	-	-	-	76.0	59.6	88.0	684.6	81.9	689.0	(4.4)	-0.6%	
Racing and Exhibitions	0.4	1.6	-	-	-	0.4	1.6	0.3	1.5	0.1	6.7%	
Metropolitan Commuter Trans. Mobility	-	-	-	-	-	-	-	118.0	754.5	(754.5)	-100.0%	
Total	99.3	600.6	-	76.0	59.6	187.3	1,285.2	277.0	2,194.9	(908.7)	-41.4%	
Total Tax Receipts	\$ 2,070.6	\$ 20,773.9	\$ 225.5	\$ 2,151.7	\$ 1,901.8	\$ 4,310.9	\$ 41,602.4	\$ 4,357.0	\$ 40,444.4	\$ 1,158.0	2.9%	

**STATE OF NEW YORK
GOVERNMENTAL FUNDS (*)
STATEMENT OF CASH FLOW
FISCAL YEAR 2018-2019
(Amounts in millions)**

7 Months Ended October 31													
												\$ Increased/ (Decreased)	% Increased/ Decreased

**STATE OF NEW YORK
GOVERNMENTAL FUNDS (*)
STATEMENT OF CASH FLOW
FISCAL YEAR 2018-2019
(Amounts in millions)**

	2019												7 Months Ended October 31		% Increase/Decrease	
	2018	APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	JANUARY	FEBRUARY	MARCH	2018	2017	
Administrative Recoveries	15.2	9.7	19.2	19.2	1.9	1.1	16.9	2.5						66.5	137.4	-51.6%
Commissions	0.4	0.5	0.5	0.5	0.3	1.3	-	0.6						4.0	2.6	53.8%
Gifts, Grants and Donations	-	-	-	-	1,000.0	37.1	-	-						1,000.0	-	100.0%
Indirect Cost Recoveries	1.5	0.3	4.9	4.9	0.3	0.3	57.7	0.7						103.6	21.9	373.1%
Patent/Client Care Reimbursement	230.0	302.0	156.1	156.1	220.6	196.8	219.4	64.2						1,388.5	1,384.3	-1.9%
Rebates	12.7	12.0	12.2	12.2	12.8	19.0	11.1	16.7						96.5	100.7	-4.2%
Restitution and Settlements	152.7	10.0	2.0	2.0	6.5	22.0	0.9	20.0						178.3	138.8	37.0 1%
Student Loans	4.9	7.7	10.6	10.6	15.2	6.9	2.4	11.3						58.9	88.5	-33.4%
All Other	46.0	50.5	39.5	39.5	43.6	36.1	60.5	48.1						325.3	304.8	6.7%
Sales	2.6	1.5	3.1	3.1	1.8	1.4	1.7	4.2						16.3	20.8	-21.6%
Tuition	37.9	49.5	83.8	83.8	47.8	22.9	359.0	167.8						968.3	978.9	-1.1%
Total Miscellaneous Receipts	2,288.7	1,827.7	1,802.2	1,802.2	2,972.0	1,936.7	2,183.9	3,197.7	-	-	-	-	-	16,093.9	14,978.4	7.4%
Federal Receipts	3,616.0	4,915.4	5,451.5	4,088.1	6,478.5	6,347.7	4,740.4	12,249.0	-	-	-	-	-	35,635.6	32,250.3	10.5%
Total Receipts	13,682.0	10,406.6	15,610.6	11,727.7	13,059.1	16,548.9	12,249.0	12,249.0	-	-	-	-	-	93,331.9	87,674.1	6.5%
DISBURSEMENTS:																
Local Assistance Grants:																
Education	1,568.1	4,241.6	2,570.7	2,145.9	1,565.8	4,043.6	1,269.3	1,269.3						17,403.0	16,535.2	5.2%
Environment and Recreation	8.0	30.1	8.2	8.1	39.2	7.5	7.6	7.6						109.7	73.3	49.3%
General Government	39.7	140.7	629.0	129.2	96.7	192.2	148.0	148.0						1,375.5	1,198.0	14.7%
Public Health:																
Medicaid	4,373.7	5,802.5	5,088.2	4,096.3	6,502.7	5,436.1	5,304.9	5,304.9						36,594.4	33,149.6	10.4%
Other Public Health	757.5	896.0	969.2	916.5	638.7	1,153.9	818.3	818.3						6,090.1	5,820.0	4.6%
Public Safety	90.3	155.7	171.7	173.9	107.8	88.9	225.8	225.8						920.1	865.0	6.4%
Public Welfare	460.1	395.9	371.8	371.8	594.1	1,300.7	688.8	702.0						4,504.4	3,827.3	17.7%
Support and Regulate Business	112.0	30.7	79.8	143.7	71.0	68.5	157.4	157.4						3,245.6	3,353.4	-33.9%
Transportation	293.3	488.0	722.3	379.2	469.4	531.1	385.3	385.3						3,245.6	3,353.4	-3.5%
Total Local Assistance Grants	7,700.7	12,122.2	10,480.7	8,562.9	12,191.0	12,191.3	8,955.6	8,955.6	-	-	-	-	-	70,874.4	65,701.8	7.6%
Departmental Operations:																
Personal Service	1,102.5	1,470.7	1,122.9	1,105.4	1,260.0	1,076.6	1,472.6	1,472.6						8,610.7	8,046.6	7.0%
Non-Personal Service	416.9	622.8	562.6	429.4	653.5	629.2	585.9	585.9						3,900.3	3,977.1	-1.9%
General State Charges	2,865.5	472.6	519.1	418.1	483.2	541.5	645.4	645.4						5,945.4	5,733.6	3.7%
Debt Service, Including Payments on	64.1	126.1	166.2	25.4	95.7	831.2	47.7	47.7						1,356.4	1,581.9	-14.3%
Financing Agreements	561.2	590.4	523.0	672.9	760.8	602.0	689.5	689.5						4,198.8	3,784.5	10.7%
Capital Projects																
Total Disbursements	12,510.9	15,404.8	13,364.5	11,234.1	14,044.2	15,871.8	12,438.7	12,438.7	-	-	-	-	-	94,886.0	88,835.5	6.8%
Excess (Deficiency) of Receipts over Disbursements	1,171.1	(4,998.2)	2,226.1	538.6	(885.1)	677.1	(186.7)	(186.7)	-	-	-	-	-	(1,554.1)	(1,161.4)	-33.8%
OTHER FINANCING SOURCES (USES):																
Bond Proceeds (net)	-	-	-	-	-	-	-	-						-	-	0.0%
Transfers from Other Funds	4,144.2	2,598.8	4,759.8	2,863.5	2,597.0	4,223.7	1,695.5	1,695.5						22,831.6	18,399.4	24.1%
Transfers to Other Funds	(4,050.9)	(2,598.7)	(4,815.3)	(2,863.5)	(2,597.0)	(4,223.7)	(1,695.5)	(1,695.5)						(22,845.4)	(18,468.1)	23.7%
Total Other Financing Sources (Uses)	93.4	(21.9)	(56.9)	(1.6)	(50.3)	(10.3)	33.4	33.4	-	-	-	-	-	(13.8)	(69.7)	80.2%
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	1,264.5	(5,017.1)	2,169.6	537.0	(1,035.4)	666.8	(153.3)	(153.3)	-	-	-	-	-	(1,567.9)	(1,231.1)	-27.4%
Ending Fund Balance	\$ 14,013.5	\$ 8,996.4	\$ 11,166.0	\$ 11,703.0	\$ 10,667.6	\$ 11,334.4	\$ 11,181.1	\$ 11,181.1	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 11,181.1	\$ 9,873.6	13.2%

(*) Governmental Funds includes General, Special Revenue, Debt Service and Capital Projects Funds combined.

2018	7 Months Ended October 31											
	APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	JANUARY	FEBRUARY	MARCH
Beginning Fund Balance	\$ 13,606.6	\$ 45,387.2	\$ 10,770.4	\$ 12,337.4	\$ 13,256.6	\$ 12,326.7	\$ 12,616.3					
RECEIPTS:												
Taxes:												
Personal Income Tax:												
Estimated payments	2,930.1	3,135.1	2,821.9	2,920.2	3,128.3	2,696.4	3,148.8					
Returns	1,639.0	98.9	2,228.8	109.1	101.1	2,867.6	182.9					
State/City Offsets	(279.9)	(38.8)	(25.2)	(10.9)	(22.5)	(43.5)	(422.6)					
Other (Assessments/LLC)	135.5	92.1	108.9	108.1	84.9	75.9	104.3					
8,782.2	3,947.9	3,183.7	3,162.6	3,162.6	3,330.7	5,423.7	3,487.8					
Transfers to Revenue Bond Tax Fund												
Refunds issued	(2,922.0)	(1,143.0)	(231.9)	(283.1)	(356.4)	(627.1)	(913.0)					
5,866.2	2,804.9	4,915.8	2,899.7	2,974.3	2,974.3	4,796.6	2,574.8					
Total Personal Income Tax												
Consumption/Use Taxes:												
Auto Rental	1,119.8	1,026.5	1,562.7	1,191.0	1,171.2	1,517.1	1,152.2					
Cigarettes/Tobacco Products	88.0	98.8	102.4	109.1	100.0	94.5	100.7					
Medical Marijuana	0.2	0.3	0.3	0.2	0.3	0.4	0.3					
Motor Fuel	7.9	9.2	9.8	10.0	9.6	9.7	9.6					
Alcoholic Beverage	7.8	24.0	30.6	30.1	17.6	25.3	16.7					
Metropolitan Commuter Trans.	12.8	0.7	0.4	12.4	12.8	0.4	10.9					
1,233.0	1,257.2	1,717.4	1,322.5	1,296.5	1,296.5	1,658.8	1,292.4					
Total Consumption/Use Taxes												
Business Taxes:												
Corporation Franchise	485.1	(81.4)	729.8	157.3	56.8	765.4	80.3					
Corporation and Utilities	3.0	3.0	3.0	3.0	3.0	3.0	3.0					
Income Tax	4.0	(5.3)	325.6	3.0	63.9	287.8	34.4					
Bank	(32.6)	(6.8)	(6.8)	28.2	(11.9)	5.0	(1.6)					
Petroleum Business	25.5	55.7	44.0	43.8	42.3	44.9	41.7					
575.5	(35.7)	1,281.1	243.6	243.6	152.0	1,230.0	165.4					
Total Business Taxes												
Other Taxes:												
Real Estate Property Gains	-	-	-	-	-	-	-					
Estate and Gift	50.2	81.3	88.8	98.1	71.4	120.7	97.8					
Pen-Mutuel	0.9	1.3	1.7	1.3	2.3	2.1	1.1					
Real Estate Transfer	87.0	91.8	89.5	87.1	110.3	83.3	76.0					
Racing and Exhibitions	0.5	0.2	0.1	-	0.3	0.1	0.4					
Metropolitan Commuter Trans.	-	-	-	-	-	-	-					
138.8	174.6	180.1	185.5	185.5	184.3	206.2	175.3					
Total Other Taxes												
Total Taxes	7,746.3	3,578.1	8,090.4	4,652.7	4,610.1	7,892.6	4,197.9	-	-	-	-	-
Beginning Fund Balance	13,606.6	45,387.2	10,770.4	12,337.4	13,256.6	12,326.7	12,616.3					
2018	13,606.6	45,387.2	10,770.4	12,337.4	13,256.6	12,326.7	12,616.3 </					

STATE OF NEW YORK
GOVERNMENTAL FUNDS
STATEMENT OF CASH FLOW - STATE OPERATING (*)
FISCAL YEAR 2018-2019
(Amounts in millions)

	2018 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2019 JANUARY	FEBRUARY	MARCH	2018	2017	\$ Increase/ (Decrease)	% Increase/ (Decrease)
Issuance Fees	1.9	1.3	2.3	21.0	1.8	1.8	16.3	1.8	1.8	1.8	1.8	1.8	57.4	57.4	(9.6)	-16.8%
Non-Bond Related	1.0	5.3	3.7	8.9	2.2	1.8	19.3	35.6	18.7	9.4	35.6	45.2	45.2	45.2	(9.6)	-21.2%
Receipts from Municipalities	20.8	20.0	24.2	31.8	40.5	26.6	22.8	20.2	186.7	186.7	186.7	129.6	186.7	129.6	(56.5)	-30.2%
Rentals	38.9	32.7	17.3	33.7	4.0	3.3	58.7	58.7	58.7	58.7	58.7	188.6	58.7	188.6	(59.0)	-45.5%
Revenues of State Departments:																
Administrative Recoveries	15.2	9.7	19.2	1.9	1.1	1.1	2.5	2.5	2.5	16.9	16.9	2.5	66.5	137.4	(70.9)	-51.6%
Administrative Recoveries	0.4	0.5	0.5	1,000.0	1.3	0.4	0.0	0.0	0.0	0.4	0.4	0.0	1,000.0	1,000.0	(999.6)	-99.9%
Commissions/Asset Conversion	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
Gifts, Grants and Donations	1.5	0.9	4.7	0.9	36.9	57.5	0.3	0.3	0.3	57.5	57.5	0.3	102.7	7.7	95.0	1,233.6%
Indirect Cost Recoveries	1.0	9.2	2.6	9.9	17.8	18.4	6.1	6.1	6.1	18.4	18.4	6.1	66.5	66.5	(10.5)	-15.6%
Patient/Client Care Reimbursement	230.4	302.0	156.1	220.6	188.8	219.4	84.2	84.2	84.2	219.4	219.4	84.2	1,399.5	1,394.3	35.2	2.6%
Rebates	3.5	3.9	3.9	3.5	10.6	10.6	3.5	3.5	3.5	10.6	10.6	3.5	35.1	35.1	(2.1)	-5.7%
Reimbursements and Settlements	152.8	5.1	5.1	3.9	3.9	3.9	3.9	3.9	3.9	3.9	3.9	3.9	35.1	35.1	(2.1)	-5.7%
Student Loans	4.9	7.7	10.5	15.2	6.9	2.4	11.3	11.3	11.3	169.9	169.9	11.3	58.9	88.5	(29.6)	-33.4%
All Other	44.9	48.5	40.2	40.3	35.4	53.3	48.0	48.0	48.0	53.3	53.3	48.0	310.6	285.6	25.0	8.8%
Sales	2.5	1.4	2.3	1.7	1.2	1.4	4.1	4.1	4.1	14.6	14.6	4.1	865.3	7.4	857.9	11,708.0%
Tuition	37.9	63.8	63.8	47.6	220.9	359.0	167.8	167.8	167.8	359.0	359.0	167.8	865.3	865.3	(10.6)	-1.2%
Total Miscellaneous Receipts	1,787.7	1,802.7	1,802.9	2,542.9	1,744.7	2,041.8	1,833.3	-	-	-	-	-	1,833.3	1,833.3	(147.8)	-8.1%
Federal Receipts	(2.6)	-	-	1.6	35.2	0.1	-	-	-	-	-	-	34.3	37.2	(2.9)	-7.8%
Total Receipts	9,530.4	9,529.8	9,883.3	7,249.0	6,390.0	9,934.3	6,036.4	-	-	-	-	-	54,263.2	51,715.0	2,548.2	4.9%
DISBURSEMENTS:																
Local Assistance Grants:																
Education	1,180.0	3,618.9	2,214.2	1,788.5	1,192.0	3,886.4	1,070.6	1,070.6	1,070.6	3,886.4	3,886.4	1,070.6	14,960.6	14,571.0	389.6	2.7%
Environment and Recreation	0.4	0.3	0.4	0.2	0.3	0.3	0.3	0.3	0.3	0.3	0.3	0.3	2.2	3.7	(1.5)	-40.5%
General Government	11.9	40.6	562.8	18.2	48.7	120.6	16.7	16.7	16.7	120.6	120.6	16.7	819.5	826.8	(7.3)	-0.9%
Public Health:																
Other Public Health	1,798.9	2,271.1	1,909.9	1,489.7	2,309.1	2,051.9	1,892.1	1,892.1	1,892.1	2,051.9	2,051.9	1,892.1	13,769.7	12,264.2	1,505.5	12.3%
Public Safety	25.1	36.2	26.4	34.8	512.4	(69.5)	265.3	265.3	265.3	207.2	207.2	265.3	2,027.2	1,943.0	84.2	4.3%
Public Welfare	81.3	132.7	133.6	211.3	211.3	364.1	264.3	264.3	264.3	364.1	364.1	264.3	1,388.6	1,293.9	94.7	7.3%
Support and Regulate Business	29.8	7.0	17.5	10.2	41.1	10.5	23.4	23.4	23.4	10.5	10.5	23.4	112.5	134.4	(21.9)	-16.3%
Transportation Assistance Grants	3,351.8	4,455.6	5,380.9	4,253.2	4,765.1	6,725.3	3,685.8	3,685.8	3,685.8	6,725.3	6,725.3	3,685.8	35,934.1	34,923.5	1,010.6	2.9%
Departmental Operations:																
Personal Service	1,050.8	1,400.5	1,060.9	1,060.5	1,211.9	1,026.0	1,403.4	1,403.4	1,403.4	1,026.0	1,026.0	1,403.4	8,214.0	7,675.6	538.4	7.0%
Non-Personal Service	347.2	532.1	472.0	368.5	522.1	423.4	480.5	480.5	480.5	423.4	423.4	480.5	3,145.8	3,203.0	(57.2)	-1.8%
General State Charges	2,826.1	445.3	509.4	396.2	359.4	514.0	618.3	618.3	618.3	514.0	514.0	618.3	5,698.7	5,555.2	143.5	2.6%
Debt Service, Including Payments on	64.1	126.1	166.2	25.4	95.7	831.2	47.7	47.7	47.7	831.2	831.2	47.7	1,356.4	1,581.9	(225.5)	-14.3%
Capital Projects	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Total Disbursements	7,880.0	9,528.1	7,717.4	6,113.8	6,888.2	9,525.1	6,336.4	-	-	-	-	-	54,018.0	52,039.0	1,980.0	3.8%
Excess (Deficiency) of Receipts over Disbursements	1,650.4	(4,298.3)	2,175.9	1,135.2	(508.2)	409.2	(300.0)	-	-	-	-	-	244.2	(324.0)	568.2	173.4%
OTHER FINANCING SOURCES (USES):																
Transfers from Other Funds (*)	4,147.3	2,120.8	4,083.3	2,596.5	2,014.6	3,800.7	2,289.4	2,289.4	2,289.4	3,800.7	3,800.7	2,289.4	21,052.6	17,454.3	3,598.3	20.6%
Transfers to Other Funds (*)	(4,017.1)	(2,439.3)	(4,682.2)	(2,892.5)	(2,437.3)	(3,820.3)	(1,520.6)	(1,520.6)	(1,520.6)	(3,820.3)	(3,820.3)	(1,520.6)	(21,829.3)	(17,188.5)	4,640.8	27.1%
Total Other Financing Sources (Uses)	130.2	(318.5)	(608.9)	(216.0)	(422.7)	(119.6)	778.8	-	-	-	-	-	(776.7)	285.8	(1,062.5)	-371.8%
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	1,780.6	(4,616.8)	1,567.0	919.2	(930.9)	289.6	468.8	-	-	-	-	-	(532.5)	(38.2)	(484.3)	-124.0%
Ending Fund Balance	\$ 15,387.2	\$ 10,770.4	\$ 12,337.4	\$ 13,256.6	\$ 12,325.7	\$ 12,615.3	\$ 13,074.1	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 13,074.1	\$ 11,587.1	\$ 1,487.0	12.8%

(*) State Operating Funds are comprised of the General Fund, State Special Revenue Funds supported by activities from dedicated revenue sources (including operating transfers from Federal funds) and Debt Service Funds.
(**) Eliminations between State and Federal Special Revenue Funds are not included.

EXHIBIT F

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STATE OF NEW YORK
GENERAL FUND
STATEMENT OF CASH FLOW
FISCAL YEAR 2018-2019
(Amounts in millions)

EXHIBIT F

	2018		2019		2019		2019		2019		2019		2018		2017		2017		2017		% Increase/ Decrease	
	APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	JANUARY	FEBRUARY	MARCH	2018	2017	\$ Increase/ (Decrease)	% Increase/ Decrease	2018	2017	\$ Increase/ (Decrease)	% Increase/ Decrease		
Federal Receipts																						
Total Receipts	4,089.3	1,840.6	4,747.3	2,456.7	2,405.2	4,460.8	2,227.8	-	-	-	-	-	22,227.7	27,766.6	(5,538.9)	-19.9%	0.1	27,766.6	(5,538.9)	-19.9%		
DISBURSEMENTS:																						
Local Assistance Grants:																						
Education	1,179.9	3,618.8	1,887.0	1,787.7	1,191.0	1,812.0	932.2						12,408.6	11,845.7	562.9	4.8%	12,408.6	11,845.7	562.9	4.8%		
Environment and Recreation	0.4	-	0.4	0.2	0.2	0.2	0.3						1.7	2.4	(0.7)	-29.2%	1.7	2.4	(0.7)	-29.2%		
General Government	1.4	13.1	560.2	3.7	33.9	113.5	11.5						737.3	724.8	12.5	1.7%	737.3	724.8	12.5	1.7%		
Public Health:																						
Medicaid	1,515.6	1,730.4	1,542.6	1,135.2	1,587.8	1,709.4	1,268.0						10,489.0	9,102.0	1,387.0	15.2%	10,489.0	9,102.0	1,387.0	15.2%		
Other Public Health	195.3	433.6	89.9	232.6	475.0	(128.1)	203.8						1,502.1	617.0	885.1	143.5%	1,502.1	617.0	885.1	143.5%		
Public Safety	13.3	16.2	13.4	21.8	18.2	13.7	9.8						112.4	81.1	31.3	38.6%	112.4	81.1	31.3	38.6%		
Public Welfare	80.8	131.5	133.2	211.0	210.8	363.9	263.7						1,394.9	1,290.6	104.3	8.1%	1,394.9	1,290.6	104.3	8.1%		
Support and Regulate Business	46.4	48.9	46.4	48.8	31.7	44.4	22.5						255.6	64.3	191.3	297.5%	255.6	64.3	191.3	297.5%		
Transportation	3,035.8	6,018.5	4,297.4	3,448.0	3,580.0	3,908.8	2,711.8			-	-	-	26,992.3	23,827.0	3,164.3	13.3%	26,992.3	23,827.0	3,164.3	13.3%		
Total Local Assistance Grants			4,297.4	3,448.0	3,580.0	3,908.8	2,711.8	-	-	-	-	-	26,992.3	23,827.0	3,164.3	13.3%	26,992.3	23,827.0	3,164.3	13.3%		
Departmental Operations:																						
Personal Service	667.9	846.1	684.1	724.8	838.5	659.6	827.3						5,248.3	3,608.1	1,640.2	45.5%	5,248.3	3,608.1	1,640.2	45.5%		
Non-Personal Service	163.7	282.5	220.5	182.3	228.3	209.8	219.3						1,506.4	1,216.2	290.2	23.9%	1,506.4	1,216.2	290.2	23.9%		
General State Charges	2,706.6	368.1	470.5	318.6	245.1	435.0	542.2						5,086.1	4,396.8	689.3	15.7%	5,086.1	4,396.8	689.3	15.7%		
Total Disbursements	6,574.0	7,515.2	5,672.5	4,673.7	4,891.9	5,205.2	4,300.6	-	-	-	-	-	38,833.1	33,049.0	5,784.1	17.5%	38,833.1	33,049.0	5,784.1	17.5%		
Excess (Deficiency) of Receipts over Disbursements	(2,484.7)	(5,674.6)	(925.2)	(2,217.0)	(2,486.7)	(744.4)	(2,072.8)	-	-	-	-	-	(16,605.4)	(5,282.4)	(11,323.0)	-214.4%	(16,605.4)	(5,282.4)	(11,323.0)	-214.4%		
OTHER FINANCING SOURCES (USES):																						
Transfers from Revenue Bond Tax Fund	2,927.8	1,070.3	2,486.7	1,438.1	1,056.3	2,647.5	1,287.4						12,914.1	5,805.3	7,108.8	122.5%	12,914.1	5,805.3	7,108.8	122.5%		
Transfers from LGAC / STRETF	436.0	404.8	696.6	478.9	445.3	675.5	430.8						3,567.9	3,452.9	115.0	3.3%	3,567.9	3,452.9	115.0	3.3%		
Transfers from CW/CA Fund	73.8	92.2	87.5	82.2	105.5	78.3	71.1						590.6	593.4	(2.8)	-0.5%	590.6	593.4	(2.8)	-0.5%		
Transfers from Other Funds	101.4	81.1	85.5	67.5	171.0	108.9	74.3						689.7	130.3	559.4	429.3%	689.7	130.3	559.4	429.3%		
Transfers to State Capital Projects	45.6	(268.1)	(631.1)	(252.6)	(422.4)	(437.7)	587.0						(1,379.3)	(354.6)	1,024.5	288.8%	(1,379.3)	(354.6)	1,024.5	288.8%		
Transfers to Federal Capital Projects	(100.0)	(300.0)	(186.5)	(80.0)	(200.0)	(15.5)	-						(783.0)	(719.7)	63.3	8.0%	(783.0)	(719.7)	63.3	8.0%		
Transfers to Federal Capital Projects	(182.3)	(39.4)	(19.9)	(222.9)	100.5	62.6	(201.5)						(511.9)	(712.3)	(200.4)	-28.1%	(511.9)	(712.3)	(200.4)	-28.1%		
Transfers to General Debt Service	(315.1)	(274.2)	(411.5)	(190.3)	(72.6)	(36.8)	(61.7)						(1,352.2)	(4,288.3)	(2,936.1)	-68.3%	(1,352.2)	(4,288.3)	(2,936.1)	-68.3%		
Total Other Financing Sources (Uses)	2,977.2	867.7	2,107.3	1,320.9	1,183.6	3,081.8	2,187.4	-	-	-	-	-	13,725.9	3,896.8	9,829.1	252.2%	13,725.9	3,896.8	9,829.1	252.2%		
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	492.5	(4,806.9)	1,182.1	(896.1)	(1,303.1)	2,337.4	114.6	-	-	-	-	-	(2,879.5)	(1,385.6)	(1,483.9)	-107.8%	(2,879.5)	(1,385.6)	(1,483.9)	-107.8%		
Ending Fund Balance	\$ 9,937.5	\$ 5,136.6	\$ 6,312.7	\$ 5,416.6	\$ 4,113.5	\$ 6,450.9	\$ 6,565.5	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 6,565.5	\$ 6,363.0	\$ 202.5	3.2%	\$ 6,565.5	\$ 6,363.0	\$ 202.5	3.2%		

EXHIBIT G

STATE OF NEW YORK
SPECIAL REVENUE FUNDS - COMBINED
STATEMENT OF CASH FLOW
FISCAL YEAR 2018-2019
(Amounts in millions)

	2018												Intra-Fund Eliminations (*)	7 Months Ended October 31			% Increased Decrease
	APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	JANUARY	FEBRUARY	MARCH		2017	2017 (\$ Decrease)	2017 (\$ Increase)	
Beginning Fund Balance	\$ 4,302.1	\$ 4,970.5	\$ 4,749.2	\$ 5,652.9	\$ 6,783.4	\$ 6,575.9	\$ 6,912.1							\$ 4,372.2	\$ 28.9	0.7%	
RECEIPTS:																	
Taxes:																	
Personal Income Tax:																	
Consumption/Use Taxes:																	
Sales and Use	98.2	73.0	100.1	74.7	75.1	97.4	75.1	0.5						62.6	(62.1)	-99.2%	
Auto Rental	0.2	0.1	0.1	0.1	0.1	0.1	0.1							593.0	30.6	5.4%	
Excise	53.2	59.3	71.2	77.7	70.2	69.0	70.2							53.7	(1.5)	-2.7%	
Medical Marijuana	0.2	0.3	0.3	0.2	0.3	0.4	0.3							53.1	(4.9)	-9.2%	
Motor Fuel	7.9	9.2	9.8	10.0	9.6	9.7	9.3							0.9	0.9	122.2%	
Alcoholic Beverage	-	-	-	-	-	-	-							64.4	1.1	1.7%	
Highway Use	2.8	(2.2)	-	0.1	-	(3.6)	0.2							-	-	0.0%	
Metropolitan Commuter Trans. Tax	12.2	8.7	9.4	7.9	0.7	(3.6)	0.2							1.2	(3.9)	-325.0%	
Metropolitan Commuter Trans. Tax	185.4	159.2	194.7	175.1	155.4	184.7	185.1							1,143.5	(8.9)	-0.8%	
Business Taxes:																	
Corporation Franchise	120.8	25.6	128.0	26.0	26.1	130.9	19.1							394.8	81.7	20.7%	
Corporation and Utilities	23.6	0.8	25.3	1.3	(6.8)	26.5	2.2							72.1	0.8	1.1%	
Insurance	4.8	(1.9)	37.4	0.8	8.5	33.4	93.2							70.3	22.9	32.6%	
Other	1.7	(0.1)	1.7	2.3	1.6	(1.4)	(1.4)							13.1	(1.4)	-10.6%	
Petroleum Business	25.5	55.7	44.0	43.8	42.3	44.9	41.7							294.8	4.6	1.5%	
Total Business Taxes	172.0	83.9	241.4	75.1	65.4	237.9	38.9							833.4	84.2	9.9%	
Other Taxes:																	
Metropolitan Commuter Trans. Mobility	-	-	-	-	-	-	-							754.5	(754.5)	-100.0%	
Total Other Taxes	-	-	-	-	-	-	-							754.5	(754.5)	-100.0%	
Total Taxes	356.4	234.1	436.1	250.2	224.8	472.6	273.5							2,893.0	(733.3)	-25.4%	
Miscellaneous Receipts:																	
Abandoned Property:																	
Abandoned Property	0.7	1.2	0.7	0.8	0.1	1.7	1.2							6.2	0.2	3.2%	
Amusement:																	
Business	87.6	55.2	69.3	81.0	47.7	51.0	74.2							359.6	105.4	29.6%	
Medical Care	524.3	468.3	543.7	500.1	487.5	619.7	486.5							3,386.8	143.3	4.2%	
Public Utilities	1.8	-	0.6	-	0.6	49.4	(10.4)							38.9	3.1	8.0%	
Other	-	0.8	0.1	-	-	0.1	-							2.1	(1.1)	-52.4%	
Fees, Licenses and Permits:																	
Business/Professional	37.1	39.5	87.5	40.3	47.3	73.5	54.7							2.0	0.1	5.0%	
Civil	4.6	4.6	4.8	4.6	4.8	5.2	3.9							373.8	6.1	1.6%	
Criminal	0.3	0.8	1.1	0.8	1.2	0.1	0.6							34.2	(1.7)	-5.0%	
Motor Vehicle	44.3	45.0	45.2	41.5	42.6	42.4	41.2							4.9	-	0.0%	
Recreational/Consumer	43.3	51.6	48.9	55.2	65.0	107.1	79.9							299.5	4.3	1.4%	
Fees, Licenses and Permits	53.5	51.4	56.3	14.4	7.7	107.1	13.2							416.5	35.7	8.6%	
Gaming:														153.4	(21.6)	-13.5%	
Casino	29.2	15.5	17.2	30.3	16.8	19.0	33.8							120.5	40.3	33.4%	
Lottery	199.0	236.1	187.0	182.7	223.1	182.2	321.8							1,435.1	102.8	7.2%	
Video Lottery	75.1	85.4	68.8	67.7	92.9	76.9	90.5							699.8	(2.5)	-0.4%	
Interest Earnings:														51.6	47.5	92.1%	
Revenues from Public Authorities:																	
Bond Proceeds	2.6	(2.6)	-	-	-	-	(5.0)							-	-	0.0%	
Cost Recovery Assessments	7.2	-	-	20.4	2.5	2.5	-							20.4	-	0.0%	
Insurance Fees	5.0	5.3	3.7	8.4	2.2	1.6	9.4							7.2	-	0.0%	
Non Bond Related	4.1	1.1	2.3	1.3	2.5	1.6	9.4							21.0	14.6	69.5%	
Repayments from Municipalities	38.7	32.6	16.4	33.4	2.5	3.2	57.9							145.0	(1.1)	-0.8%	
Revenues of State Departments:														127.1	57.5	45.3%	
Administrative Recoveries	14.8	8.8	1.1	0.8	1.1	1.5	2.9							92.3	(61.3)	-66.4%	
Commissions	0.4	0.5	0.5	0.3	1.3	0.4	0.6							2.4	1.6	66.7%	
Commissions-Asset Conversion	-	-	-	-	-	-	-							1.000.0	100.0%	100.0%	
Commissions-Debt	1.5	0.8	4.7	0.9	36.9	57.5	7.3							94.6	94.6	1,111.1%	
Indirect Cost Recoveries	-	-	-	-	-	-	-							102.6	6.0	6.0%	
Patient/Care Reimbursement	204.0	247.5	134.0	177.7	162.1	200.2	146.5							1,086.7	185.3	17.1%	
Rebates	13.3	12.7	10.1	13.7	20.6	9.5	16.7							101.2	(4.6)	-4.5%	
Restitution and Settlements	47.9	1.3	1.4	5.6	1.9	0.3	1.4							25.6	34.2	133.6%	
Student Loans	4.9	7.7	10.5	15.2	6.9	2.4	11.3							88.5	(29.6)	-33.4%	
All Other	42.4	43.7	42.9	31.2	34.0	53.2	34.1							278.2	3.3	1.2%	

EXHIBIT G

STATE OF NEW YORK
SPECIAL REVENUE FUNDS - COMBINED
STATEMENT OF CASH FLOW
FISCAL YEAR 2018-2019
(Amounts in millions)

	2018												7 Months Ended October 31		Intra-Fund Eliminations (*)	% Increased Decrease
	APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	JANUARY	FEBRUARY	MARCH	2018	2017		
Sales	2.5	1.5	2.3	1.5	1.1	1.4	4.1	-	-	-	-	-	14.4	7.7	6.7	87.0%
Tuition	37.8	49.5	83.8	47.6	220.8	350.0	167.8	-	-	-	-	-	95.3	976.9	(10.6)	-1.1%
Total Miscellaneous Receipts	1,557.5	1,444.2	1,413.7	2,403.6	1,574.8	1,850.0	1,861.1	-	-	-	-	-	11,891.9	10,152.2	1,738.7	17.1%
Federal Receipts	3,957.3	4,860.9	5,310.1	3,772.6	6,168.2	6,096.4	4,860.7	-	-	-	-	-	34,326.2	31,107.2	3,219.0	10.3%
Total Receipts	5,453.2	6,309.2	7,159.9	6,426.4	7,968.8	8,386.0	6,823.3	-	-	-	-	-	48,368.8	44,144.4	4,224.4	9.6%
DISBURSEMENTS:																
Local Assistance Grants:																
Education	378.2	605.9	667.0	340.9	347.7	2,218.6	326.0	-	-	-	-	-	4,894.3	4,813.8	270.5	5.9%
Environment and Recreation	-	8.6	0.1	0.2	0.8	9.1	0.1	-	-	-	-	-	11.4	11.4	-	0.0%
Government	13.7	29.4	14.3	14.3	26.8	5.3	6.4	-	-	-	-	-	114.2	123.9	(9.7)	-7.8%
Public Health:																
Medicaid	2,859.1	4,072.1	3,525.6	2,961.1	4,914.9	3,726.7	4,036.9	-	-	-	-	-	26,096.4	24,047.6	2,047.8	8.5%
Other Public Health	542.7	385.2	854.6	624.6	143.3	1,262.6	574.1	-	-	-	-	-	4,393.1	5,082.2	(689.1)	-13.6%
Public Safety	76.4	138.5	58.8	129.0	87.6	69.3	216.0	-	-	-	-	-	773.6	765.9	7.7	1.0%
Statewide Services	266.7	200.5	238.6	371.3	1,019.5	291.1	338.6	-	-	-	-	-	2,539.5	2,351.3	188.2	8.1%
Statewide Regulatory Business	10.1	11.1	11.0	11.0	13.7	12.2	1.7	-	-	-	-	-	27.4	37.4	(10.0)	-26.7%
Transportation	192.6	380.8	310.6	289.4	344.3	331.3	286.6	-	-	-	-	-	2,115.6	2,791.7	(676.1)	-24.2%
Total Local Assistance Grants	4,326.5	5,814.2	5,677.6	4,734.7	6,972.2	7,916.4	5,866.1	-	-	-	-	-	41,398.7	39,156.3	1,453.4	3.6%
Departmental Operations:																
Personal Service	434.6	524.6	439.8	380.6	421.5	417.0	845.3	-	-	-	-	-	3,362.4	4,438.5	(1,076.1)	-24.2%
Capital Projects	124.4	138.7	138.7	28.5	47.0	47.0	38.5	-	-	-	-	-	2,585.6	2,585.6	-	0.0%
General State Charges	155.9	104.5	48.6	89.3	235.1	105.5	103.2	-	-	-	-	-	889.3	1,336.8	(447.5)	-35.7%
Capital Projects	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Total Disbursements	5,174.4	6,882.0	6,500.5	5,451.1	8,044.3	8,856.9	6,881.0	-	-	-	-	-	47,990.2	48,372.1	(477.9)	-1.0%
Excess (Deficiency) of Receipts over Disbursements	278.8	(342.8)	659.4	965.3	(74.5)	(487.9)	(538.7)	-	-	-	-	-	488.6	(4,227.7)	4,897.3	111.1%
OTHER FINANCING SOURCES (USES):																
Transfers from Other Funds	381.9	284.8	592.2	218.2	136.4	79.4	133.2	-	-	-	-	-	1,402.8	5,180.6	(3,777.8)	-72.9%
Transfers to Other Funds	7.7	(163.3)	(347.9)	(53.0)	(263.4)	(155.3)	(113.9)	-	-	-	-	-	(651.9)	(1,176.3)	(494.5)	-42.0%
Total Other Financing Sources (Uses)	389.6	121.5	244.3	165.2	(133.0)	(75.9)	9.3	-	-	-	-	-	721.0	4,004.3	(3,283.3)	-82.0%
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	668.4	(221.3)	903.7	1,130.5	(207.5)	(563.8)	(519.4)	-	-	-	-	-	1,190.6	(223.4)	1,414.0	632.8%
Ending Fund Balance	\$ 4,970.5	\$ 4,749.2	\$ 5,652.9	\$ 6,783.4	\$ 6,575.9	\$ 6,012.1	\$ 5,492.7	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 5,492.7	\$ 4,488.8	\$ 1,443.9	35.7%

(*) Intra-Fund transfer eliminations represent transfers between Special Revenue-State and Federal Funds.

STATE OF NEW YORK
SPECIAL REVENUE FUNDS - STATE
STATEMENT OF CASH FLOW
FISCAL YEAR 2018-2019
(Amounts in millions)

EXHIBIT G

	2018	7 Months Ended October 31											% Increase/ Decrease			
	APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	JANUARY	FEBRUARY	MARCH		2018	2017	
Beginning Fund Balance	\$ 4,008.5	\$ 5,103.0	\$ 5,119.6	\$ 5,600.1	\$ 7,083.3	\$ 7,024.5	\$ 5,836.7						\$ 4,008.5	\$ 3,732.3	\$ 276.2	7.4%
RECEIPTS:																
Taxes:																
Personal Income Tax	-	-	-	-	-	-	0.5						0.5	62.6	(62.1)	-98.2%
Consumption/Use Taxes:																
Sales and Use	98.2	73.0	100.1	74.7	75.1	97.4	75.1						593.6	563.0	30.6	5.4%
Auto Rental	0.9	(0.1)	11.2	-	0.1	16.0	0.1						28.2	29.7	(1.5)	-5.1%
Cigarette/Tobacco Products	63.6	69.3	72.9	77.7	70.6	64.4	70.2						488.7	515.4	(26.7)	-5.2%
Medical Marijuana	0.2	0.3	0.2	0.3	0.2	0.4	0.3						2.0	0.9	1.1	122.2%
Motor Fuel	7.9	9.2	9.8	10.0	9.6	9.7	9.3						65.5	64.4	1.1	1.7%
Alcoholic Beverage	-	-	-	-	-	-	-						-	-	-	0.0%
Highway Use	2.8	(2.2)	-	0.1	-	(3.6)	0.2						(2.7)	1.2	(3.9)	-325.0%
Metropolitan Commuter Trans. Taxicab Trip	12.8	0.7	0.4	12.4	0.7	0.4	10.9						38.9	38.9	(0.0)	-0.0%
Total Consumption/Use Taxes	186.4	150.7	194.7	175.1	158.7	184.7	166.1						1,213.0	1,214.5	(1.5)	-0.1%
Business Taxes:																
Corporation Franchise	120.8	25.6	128.0	26.0	26.1	130.9	19.1						476.5	394.8	81.7	20.7%
Insurance	23.6	0.8	25.3	1.3	(6.8)	26.5	2.2						72.9	72.1	0.8	1.1%
Bank	4.8	1.9	37.4	0.8	8.5	33.4	6.4						93.2	70.3	22.9	32.6%
Petroleum Business	(2.7)	(0.1)	6.7	3.2	(1.7)	2.2	(2.9)						(2.9)	31.4	(34.3)	-109.2%
Total Business Taxes	28.5	55.7	44.0	43.8	42.3	44.9	41.7						297.8	284.8	13.1	4.6%
Other Taxes	172.0	83.9	241.4	75.1	68.3	237.9	58.9						853.6	853.4	0.2	9.9%
Metropolitan Computer Trans. Mobility																
Other Taxes	-	-	-	-	-	-	-						-	-	-	-100.0%
Total Other Taxes	-	-	-	-	-	-	-						-	-	-	-100.0%
Total Taxes	358.4	234.1	436.1	250.2	224.8	422.6	225.5						2,151.7	2,885.0	(733.3)	-25.4%
Miscellaneous Receipts:																
Abandoned Property:																
Assessments:	0.7	1.2	0.7	0.8	0.1	1.7	1.2						6.4	6.2	0.2	3.2%
Business:	89.4	18.2	69.1	78.5	37.0	51.0	72.2						408.4	398.0	10.4	33.8%
Public Corp	524.3	488.3	543.7	500.1	497.5	519.7	486.5						3,398.8	3,398.0	0.8	0.2%
Public Utilities	1.8	-	0.6	-	0.6	49.4	(10.4)						42.0	38.9	3.1	8.0%
Other	-	0.8	-	-	-	0.1	-						1.0	2.1	(1.1)	-52.4%
Fees, Licenses and Permits:																
Audit Fees	-	0.3	1.6	0.2	-	-	2.1						2.1	2.0	0.1	5.0%
Business/Professional	37.1	39.5	87.5	40.3	47.3	73.5	54.7						379.9	373.8	6.1	1.6%
Civil	4.6	4.6	4.6	0.8	4.6	5.2	3.9						32.5	34.2	(1.7)	-5.0%
Criminal	0.3	0.8	1.1	0.8	1.2	0.1	0.6						4.9	4.9	-	0.0%
Motor Vehicle	44.9	45.0	45.2	41.5	42.6	42.4	41.2						302.8	288.5	14.3	1.4%
Recreational/Consumer	51.8	51.8	48.9	55.2	65.0	107.1	79.9						452.2	416.5	35.7	8.6%
Fine Penalties and Forfeitures	52.0	13.9	5.8	13.8	7.0	4.5	12.5						109.5	127.9	(18.4)	-14.4%
Gaming:																
Casino	28.2	15.5	17.2	30.3	16.8	19.0	33.8						160.8	120.5	40.3	33.4%
Lottery	199.0	236.1	187.0	182.7	229.1	182.2	321.8						1,435.1	1,435.1	102.8	7.2%
Video Lottery	75.1	86.4	68.8	67.7	92.9	75.9	90.5						557.3	559.8	(2.5)	-0.4%
Interest Earnings	9.9	11.3	11.4	12.5	14.0	14.5	15.5						89.1	47.8	41.3	86.4%
Receipts from Public Authorities:																
Bond Proceeds	-	-	-	-	-	-	-						-	-	-	0.0%
Cost Recovery Assessments	2.6	(2.6)	-	20.4	2.5	2.5	(5.0)						20.4	20.4	-	0.0%
Insurance	7.2	-	-	-	-	-	-						-	-	-	0.0%
Non Bond Related	5.0	5.3	3.7	8.4	2.2	1.6	9.4						35.6	21.0	14.6	69.5%
Receipts from Municipalities	4.1	2.9	7.5	14.3	23.8	10.0	49.9						67.5	63.1	(4.4)	-6.5%
Rentals	38.7	32.6	16.4	33.4	2.5	3.2	57.9						184.7	127.1	57.6	45.3%
Revenues of State Departments:																
Administrative Recoveries	14.8	8.8	1.1	0.8	1.1	1.5	2.9						31.0	92.3	(61.3)	-66.4%
Commissions	0.4	0.5	0.5	0.3	1.3	0.4	0.6						4.0	2.4	1.6	66.7%
Commission-Asset Conversion	-	-	-	1,000.0	-	-	-						1,000.0	-	1,000.0	100.0%
Gifts, Grants and Donations	1.5	0.8	4.7	0.9	36.9	57.5	0.3						102.6	7.6	95.0	1,250.0%
Indirect Cost Recoveries	-	-	-	-	-	-	-						-	-	-	0.0%
Patient/Client Care Reimbursement	204.0	247.5	134.0	177.7	162.1	200.2	146.5						1,272.0	1,086.7	185.3	17.1%
Rebates	5.1	3.5	1.7	4.4	12.2	0.6	7.9						35.4	37.8	(2.4)	-6.3%
Resolution and Settlements	47.9	1.3	1.4	5.6	1.9	0.3	1.4						59.8	25.6	34.2	133.6%
Student Loans	4.9	7.7	10.5	15.2	6.9	2.4	11.3						24.9	88.5	(63.6)	-257.4%
All Other	42.4	43.6	42.0	31.1	33.8	52.9	34.1						279.9	274.2	5.7	2.1%

STATE OF NEW YORK
SPECIAL REVENUE FUNDS - STATE
STATEMENT OF CASH FLOW
FISCAL YEAR 2018-2019
(Amounts in millions)

EXHIBIT G

	7 Months Ended October 31												% Increase/ Decrease
	2018	2018	2018	2018	2018	2018	2018	2018	2018	2018	2018	2018	
	APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	JANUARY	FEBRUARY	MARCH	
Sales	2.5	1.4	2.3	1.6	1.1	1.4	4.1	-	-	-	-	-	7.1
Tuition	37.8	49.5	83.8	47.6	220.8	359.0	167.6	-	-	-	-	-	(10.6)
Total Miscellaneous Receipts	1,523.5	1,396.5	1,403.1	2,390.7	1,556.0	1,539.8	1,648.0	-	-	-	-	-	1,735.5
Federal Receipts	(2.6)	-	-	(0.1)	0.1	0.1	-	-	-	-	-	-	(2.9)
Total Receipts	1,879.3	1,630.6	1,839.2	2,640.8	1,780.9	2,262.5	1,873.5	-	-	-	-	-	1,002.3
DISBURSEMENTS:													
Local Assistance Grants:													
Education	0.1	0.1	327.2	0.8	1.0	2,094.4	138.4	-	-	-	-	-	(173.3)
Environment and Recreation	1.5	1.5	1.5	1.5	1.5	1.5	1.5	-	-	-	-	-	(1.5)
General Government	10.5	27.5	2.6	14.5	14.8	7.1	5.2	-	-	-	-	-	(19.8)
Public Health:													
Medicaid	280.3	540.7	457.3	333.5	712.3	342.5	614.1	-	-	-	-	-	118.5
Other Public Health	62.8	38.1	97.9	185.8	37.4	61.6	61.5	-	-	-	-	-	(800.9)
Public Safety	11.8	20.0	13.0	13.0	15.6	7.7	11.2	-	-	-	-	-	13.5
Public Welfare	0.5	1.2	0.4	0.3	0.5	0.2	0.6	-	-	-	-	-	0.4
Support and Regulate Business	0.1	1.2	8.0	3.1	0.3	0.7	0.9	-	-	-	-	-	(12.6)
Transportation	189.1	376.1	38.5	286.2	39.7	325.7	26.1	-	-	-	-	-	(155.6)
Total Local Assistance Grants	550.0	1,005.6	1,211.5	815.2	1,129.1	2,329.7	1,094.7	-	-	-	-	-	10,195.4
Departmental Operations:													
Personal Service	382.9	554.4	376.8	335.7	373.4	366.4	576.1	-	-	-	-	-	(1,101.8)
Non-Personal Service	182.7	248.0	244.9	185.4	281.1	211.2	261.0	-	-	-	-	-	(352.1)
General State Charges	119.5	77.2	38.9	77.6	114.3	79.0	76.1	-	-	-	-	-	(575.8)
Capital Projects	-	-	-	-	-	-	-	-	-	-	-	-	-
Total Disbursements	1,241.1	1,885.2	1,872.1	1,413.9	1,897.9	3,886.3	2,007.9	-	-	-	-	-	(3,583.3)
Excess (Deficiency) of Receipts over Disbursements	638.2	(254.6)	(32.9)	1,226.9	(117.0)	(1,223.8)	(134.4)	-	-	-	-	-	4,585.6
OTHER FINANCING SOURCES (USES):													
Transfers from Other Funds	381.9	284.8	592.2	218.2	136.4	78.4	123.2	-	-	-	-	-	(3,690.5)
Transfers to Other Funds	74.4	(13.6)	(78.9)	18.1	(88.2)	(48.4)	30.4	-	-	-	-	-	(241.9)
Total Other Financing Sources (Uses)	456.3	271.2	513.4	236.3	78.2	30.0	153.6	-	-	-	-	-	(3,448.6)
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	1,094.5	16.6	480.5	1,463.2	(38.8)	(1,193.8)	19.2	-	-	-	-	-	1,137.0
Ending Fund Balance	\$ 5,103.0	\$ 5,119.6	\$ 5,600.1	\$ 7,083.3	\$ 7,024.5	\$ 5,830.7	\$ 5,849.9	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 1,413.2
													\$ 4,436.7
													\$ 31.9%

EXHIBIT G

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EXHIBIT G

STATE OF NEW YORK
SPECIAL REVENUE FUNDS - FEDERAL
STATEMENT OF CASH FLOW
FISCAL YEAR 2018-2019
(Amounts in millions)

	7 Months Ended October 31												% Increase/ Decrease
	2018 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2019 JANUARY	FEBRUARY	MARCH	
DISBURSEMENTS:													
Local Assistance Grants:													
Education	378.1	605.8	339.8	340.1	346.7	134.2	187.6				1,888.5	443.8	23.5%
Environment and Recreation	-	0.3	0.1	0.2	0.7	-	0.1				0.6	0.8	133.3%
General Government	3.2	1.9	11.7	0.4	11.2	2.4	1.2				21.9	10.1	46.1%
Public Health:													
Medicaid	2,577.8	3,531.4	3,068.3	2,627.6	4,202.6	3,384.2	3,422.8				20,885.4	1,929.3	9.2%
Other Public Health	479.9	347.1	756.7	458.8	111.9	1,201.0	512.6				3,756.2	1,111.8	3.9%
Public Safety	64.6	118.5	43.9	116.0	122.0	61.6	204.9				687.3	(5.8)	-0.8%
Public Welfare	266.2	198.3	232.0	372.6	1,024.4	286.8	470.7				2,386.6	517.8	2.4%
Supervision/Regulate Business	0.1	0.1	0.1	0.1	0.1	0.1	0.1				0.1	0.1	66.4%
Transportation	2.7	4.2	5.9	3.4	6.6	5.9	3.8				29.6	2.5	8.4%
Total Local Assistance Grants	3,772.5	4,383.8	4,466.1	3,918.5	5,843.1	5,088.7	4,771.4	-	-	-	29,660.9	3,007.0	10.1%
Departmental Operations:													
Personal Service	51.7	70.2	62.0	44.9	48.1	50.6	69.2				396.7	371.0	6.9%
Non-Personal Service	69.7	90.7	90.6	60.9	131.4	205.8	105.4				774.1	(19.6)	-2.5%
General State Charges	39.4	27.3	9.7	21.9	123.8	27.5	27.1				178.4	98.3	55.1%
Capital Projects	-	-	-	-	-	-	-				-	-	0.0%
Total Disbursements	3,933.3	4,996.8	4,628.4	4,047.2	6,146.4	5,370.6	4,973.1	-	-	-	30,984.4	3,111.4	10.0%
Excess (Deficiency) of Receipts over Disbursements	(359.4)	(88.2)	692.3	(261.6)	42.5	735.9	(394.3)	-	-	-	255.5	111.7	43.7%
OTHER FINANCING SOURCES (USES):													
Transfers from Other Funds	-	-	-	-	-	-	-				-	-	0.0%
Transfers to Other Funds	(66.7)	(145.7)	(269.1)	(71.1)	(211.2)	(105.9)	(144.3)				(1,183.3)	(165.3)	-14.0%
Total Other Financing Sources (Uses)	(66.7)	(145.7)	(269.1)	(71.1)	(211.2)	(105.9)	(144.3)	-	-	-	(1,183.3)	(165.3)	-14.0%
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	(426.1)	(237.9)	423.2	(332.7)	(168.7)	630.0	(538.6)	-	-	-	(927.8)	277.0	29.9%
Ending Fund Balance	\$ (132.5)	\$ (370.4)	\$ 52.8	\$ (279.9)	\$ (448.6)	\$ 181.4	\$ (357.2)	\$ -	\$ -	\$ -	\$ (387.9)	\$ 30.7	7.9%

STATE OF NEW YORK
DEBT SERVICE FUNDS
STATEMENT OF CASH FLOW
FISCAL YEAR 2018-2019
(Amounts in millions)

	2018		2019										7 Months Ended October 31			
	APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	JANUARY	FEBRUARY	MARCH	2018	2017	\$ Increased/Decreased	% Increased/Decrease
Beginning Fund Balance	\$ 153.1	\$ 348.7	\$ 520.2	\$ 424.6	\$ 776.7	\$ 1,187.7	\$ 333.7						\$ 153.1	\$ 144.4	\$ 8.7	6.0%
RECEIPTS:																
Taxes:																
Personal Income Tax	2,928.1	1,091.6	2,475.9	1,449.9	1,487.1	2,398.3	1,287.4						13,119.2	6,217.2	6,901.0	111.0%
Consumption/Use Taxes:	502.8	526.5	723.9	550.1	547.9	709.7	538.4						4,090.3	3,879.9	219.4	5.7%
Sales and Use	502.8	526.5	723.9	550.1	547.9	709.7	538.4						4,090.3	3,879.9	219.4	5.7%
Total Consumption/Use Taxes													4,090.3	3,879.9	219.4	5.7%
Other Taxes:																
Real Estate Transfer	87.0	91.8	89.5	87.1	110.3	83.3	76.0						629.0	629.5	(.5)	-0.7%
Total Other Taxes	87.0	91.8	89.5	87.1	110.3	83.3	76.0						629.0	629.5	(.5)	-0.7%
Total Taxes	3,517.9	1,709.8	3,289.3	2,087.1	2,145.3	3,191.3	1,901.8						17,842.5	10,726.6	7,115.9	86.3%
Miscellaneous Receipts:																
Assessments:																
Medical Care	-	-	-	-	-	-	-						-	-	-	0.0%
Fees, Licenses and Permits:	-	-	-	-	-	-	-						-	-	-	0.0%
Alcohol Beverage Control Licensing	-	-	-	-	-	-	-						-	-	-	0.0%
Business/Professional	-	-	-	-	-	-	-						-	-	-	0.0%
Civil	-	-	-	-	-	-	-						-	-	-	0.0%
Criminal	-	-	-	-	-	-	-						-	-	-	0.0%
Motor Vehicle	-	-	-	-	-	-	-						-	-	-	0.0%
Recreational/Consumer	-	-	-	-	-	-	-						-	-	-	0.0%
Interest Earnings	0.1	-	-	-	0.6	0.2	-						0.9	0.5	0.4	80.0%
Receipts from Municipalities	-	0.4	0.1	0.8	-	-	-						2.5	4.2	0.1	42.4%
Rentals	-	-	-	-	-	-	-						-	-	-	0.0%
Revenues of State Departments:	43.8	48.3	17.4	62.0	22.8	19.5	32.1						245.9	277.6	(31.7)	-11.4%
Patient/Client Care Reimbursement	-	0.1	-	-	-	-	-						0.1	0.1	0.0	100.0%
All Other	-	-	-	-	0.1	-	-						0.1	-	-	0.0%
Sales	43.9	48.8	17.5	62.8	23.5	19.7	33.3						245.5	280.6	(35.1)	-11.1%
Total Miscellaneous Receipts	-	-	-	-	-	-	-						36.7	36.7	-	0.0%
Federal Receipts																
Total Receipts	3,561.8	1,758.6	3,306.8	2,151.5	2,203.9	3,211.0	1,935.1						18,128.7	11,043.9	7,084.8	64.2%
DISBURSEMENTS:																
Departmental Operations:																
Non-Personal Service	0.8	1.6	6.6	0.8	12.7	2.4	0.2						25.1	20.4	4.7	23.0%
Deli Service, Including Payments On Financing Agreements	64.1	128.1	166.2	25.4	95.7	831.2	47.7						1,356.4	1,581.9	(225.5)	-14.3%
Total Disbursements	64.9	127.7	172.8	26.2	108.4	833.6	47.9						1,381.5	1,602.3	(220.8)	-13.8%
Excess (Deficiency) of Receipts over Disbursements	3,496.9	1,630.9	3,134.0	2,125.3	2,095.5	2,377.4	1,887.2						16,747.2	9,441.6	7,305.6	77.4%
OTHER FINANCING SOURCES (USES):																
Transfers from Other Funds	226.4	187.6	134.8	301.6	100.1	211.1	312.6						1,474.2	1,965.8	(491.6)	-25.0%
Transfers to Other Funds	(3,529.7)	(1,645.0)	(3,304.4)	(2,074.8)	(1,784.6)	(3,442.5)	(1,874.8)						(17,715.8)	(10,764.4)	6,951.4	64.6%
Total Other Financing Sources (Uses)	(3,303.3)	(1,457.4)	(3,229.6)	(1,773.2)	(1,684.5)	(3,231.4)	(1,562.2)						(16,241.6)	(8,798.6)	(7,443.0)	-84.6%
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses																
	193.6	173.5	(89.6)	352.1	411.0	(854.0)	325.0						505.6	643.0	(137.4)	-21.4%
Ending Fund Balance	\$ 346.7	\$ 520.2	\$ 424.6	\$ 776.7	\$ 1,187.7	\$ 333.7	\$ 658.7	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 658.7	\$ 787.4	\$ (128.7)	-16.3%

EXHIBIT I

STATE OF NEW YORK
CAPITAL PROJECTS FUNDS - COMBINED
STATEMENT OF CASH FLOW
FISCAL YEAR 2018-2019
(Amounts in millions)

Beginning Fund Balance	2018		JUNE		JULY		AUGUST		SEPTEMBER		OCTOBER		NOVEMBER		DECEMBER		JANUARY		FEBRUARY		MARCH		Intra-Fund Transfer Eliminations (*)		7 Months Ended October 31		% Increase/Decrease
	APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	JANUARY	FEBRUARY	MARCH	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$ Increase/Decrease	
	(1,151.2)	(1,241.2)	(1,403.6)	(1,224.2)	(1,224.2)	(1,209.5)	(1,462.3)																			(98.7)	-4.6%
RECEIPTS:																											
Taxes:																											
Consumption/Use Taxes:																											
Auto Rental																											
Motor Fuel																											
Highway Use																											
Total Consumption/Use Taxes																											
Business Taxes:																											
Corporate Franchise																											
Corporation and Utilities																											
Petroleum Business																											
Total Business Taxes																											
Other Taxes:																											
Real Estate Transfer																											
Total Other Taxes																											
Total Taxes																											
Miscellaneous Receipts:																											
Abandoned Property:																											
Bottle Bill																											
Assessments:																											
Business																											
Fees, Licenses and Permits:																											
Business/Professional																											
Civil																											
Motor Vehicle																											
Recreational/Consumer																											
Fines, Penalties and Forfeitures																											
Interest Earnings																											
Receipts from Public Authorities:																											
Bond Proceeds																											
Issuance Fees																											
Non Bond Related																											
Receipts from Municipalities																											
Rentals																											
Revenues of State Departments:																											
Administrative Recoveries																											
Administrative Donations																											
Indirect Cost Recoveries																											
Rebates																											
Restitution and Settlements																											
All Other																											
Sales																											
Total Miscellaneous Receipts																											
Federal Receipts																											
Total Receipts																											

EXHIBIT I

STATE OF NEW YORK
CAPITAL PROJECTS FUNDS - COMBINED
STATEMENT OF CASH FLOW
FISCAL YEAR 2018-2019
(Amounts in millions)

	2018 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2019 JANUARY	FEBRUARY	MARCH	Intra-Fund Transfer/ Eliminations (*)	7 Months Ended October 31			% Increase/ Decrease
														2018	2017	\$ Increase/ (Decrease)	
DISBURSEMENTS:																	
Local Assistance Grants:																	
Education	8.0	16.9	16.7	17.3	27.1	13.0	11.1	-	-	-	-	-	-	110.1	75.7	34.4	45.4%
Environment and Recreation	7.6	29.5	7.7	7.7	38.2	7.2	7.2	-	-	-	-	-	-	105.1	69.0	36.1	52.3%
General Government	24.6	98.2	54.5	110.6	36.8	69.2	130.1	-	-	-	-	-	-	524.0	350.3	173.7	49.6%
Public Health:																	
Medicaid	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Other Public Health	19.5	17.2	24.7	59.3	14.4	19.4	40.4	-	-	-	-	-	-	184.9	120.8	74.1	61.3%
Public Safety	0.6	1.0	1.5	29.1	2.0	(0.1)	-	-	-	-	-	-	-	34.1	18.0	16.1	89.4%
Public Welfare	112.6	63.9	-	-	-	28.8	-	-	-	-	-	-	-	205.3	144.8	60.5	41.9%
Support and Regulate Business	109.2	35.9	60.1	133.7	27.9	193.2	133.2	-	-	-	-	-	-	824.5	471.6	352.9	74.9%
Transportation	10.2	32.5	35.0	33.9	97.9	189.2	58.7	-	-	-	-	-	-	481.6	48.8	432.8	885.8%
Total Local Assistance Grants	336.4	285.5	516.7	400.2	238.3	374.1	417.7	-	-	-	-	-	-	2,572.4	2,017.6	554.8	27.5%
Departmental Operations:																	
Personal Service	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Non-Personal Service	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
General State Charges	-	-	523.0	672.9	760.8	602.0	688.5	-	-	-	-	-	-	-	-	-	0.0%
Capital Projects	361.2	590.4	-	-	-	-	-	-	-	-	-	-	-	4,199.8	3,794.5	404.3	10.7%
Total Disbursements	697.6	879.9	1,038.7	1,073.1	999.6	976.1	1,106.2	-	-	-	-	-	-	6,771.2	5,812.1	959.1	16.5%
Excess (Deficiency) of Receipts over Disbursements	(119.9)	(608.7)	(642.1)	(335.0)	(519.4)	(468.0)	527.6	-	-	-	-	-	-	(2,165.5)	(1,092.9)	(1,072.6)	-98.1%
OTHER FINANCING SOURCES (USES):																	
Bond Proceeds (net)	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Transfers from Other Funds	55.7	473.6	847.7	314.2	626.6	458.7	(400.0)	-	-	-	-	-	-	2,192.3	1,271.1	921.2	72.5%
Transfers to Other Funds	(25.8)	(27.3)	(26.2)	(28.7)	(43.0)	(243.5)	(201.1)	-	-	-	-	-	-	(411.4)	(443.3)	(31.9)	-7.2%
Total Other Financing Sources (Uses)	29.9	446.3	821.5	285.5	583.6	215.2	(601.1)	-	-	-	-	-	-	1,780.9	827.8	953.1	115.1%
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	(90.0)	(162.4)	179.4	(49.5)	64.2	(252.8)	(73.5)	-	-	-	-	-	-	(384.6)	(265.1)	(119.5)	-45.1%
Ending Fund Balance	\$ (1,241.2)	\$ (1,403.6)	\$ (1,224.2)	\$ (1,273.7)	\$ (1,209.5)	\$ (1,482.3)	\$ (1,535.8)	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ (1,535.8)	\$ (1,325.6)	\$ (210.2)	-15.9%

(*) Intra-Fund transfer eliminations represent transfers from Capital Projects-State and Federal Funds.

EXHIBIT I

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EXHIBIT I

STATE OF NEW YORK
CAPITAL PROJECTS FUNDS - STATE
STATEMENT OF CASH FLOW
FISCAL YEAR 2018-2019
(Amounts in millions)

	2018 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2019			7 Months Ended October 31			
										JANUARY	FEBRUARY	MARCH	2018	2017	\$ Increase/ (Decrease)	% Increase/ Decrease
DISBURSEMENTS:																
Local Assistance Grants:																
Education	8.0	16.9	16.7	17.3	27.1	13.0	11.1						110.1	75.7	34.4	45.4%
Environment and Recreation	7.6	29.5	7.7	38.2	29.5	7.2	7.2						105.1	69.0	36.1	52.3%
General Government	24.6	98.2	54.5	110.6	38.8	69.2	130.1						524.0	350.3	173.7	49.6%
Public Health:																
Medicaid	-	-	-	-	-	-	-						-	-	-	0.0%
Other Public Health	19.5	17.2	24.7	56.5	14.4	19.4	40.4						182.1	115.9	76.2	65.7%
Public Safety	0.6	1.0	1.5	11.6	1.9	(0.1)							16.5	14.7	1.8	12.2%
Public Welfare	112.6	63.9	-	-	-	28.8	-						205.3	144.8	60.5	41.8%
Support and Regulate Business	109.2	23.6	60.1	133.3	27.9	37.2	133.2						524.5	741.6	(217.1)	-29.3%
Transportation	2.9	2.5	323.6	25.5	51.5	162.6	70.0						638.6	222.1	416.5	187.5%
Total Local Assistance Grants	285.0	252.8	488.8	382.5	197.8	337.3	392.0	-	-	-	-	-	2,316.2	1,734.1	582.1	33.6%
Departmental Operations:																
Personal Service	-	-	-	-	-	-	-						-	-	-	0.0%
Non-Personal Service	-	-	-	-	-	-	-						-	-	-	0.0%
General State Charges	-	-	-	-	-	-	-						-	-	-	0.0%
Capital Projects	304.9	502.8	417.7	563.4	616.3	488.6	523.3						3,397.0	3,009.8	387.2	12.9%
Total Disbursements	589.9	755.6	906.5	925.9	814.1	805.9	915.3	-	-	-	-	-	5,713.2	4,743.9	969.3	20.4%
Excess (Deficiency) of Receipts over Disbursements	(71.0)	(538.9)	(651.4)	(501.6)	(607.3)	(546.7)	538.8	-	-	-	-	-	(2,378.1)	(1,129.8)	(1,248.3)	-110.5%
OTHER FINANCING SOURCES (USES):																
Bond Proceeds (net)	-	-	-	-	-	-	-						-	-	-	0.0%
Transfers from Other Funds	55.7	473.6	847.7	314.2	626.6	458.7	(400.0)						2,376.5	1,271.1	1,105.4	87.0%
Transfers to Other Funds	(25.8)	(27.3)	(26.2)	(28.7)	(42.9)	(243.5)	(16.9)						(411.3)	(438.6)	(27.3)	-6.2%
Total Other Financing Sources (Uses)	29.9	446.3	821.5	285.5	583.7	215.2	(416.9)	-	-	-	-	-	1,965.2	832.5	1,132.7	136.1%
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	(41.1)	(92.6)	170.1	(216.1)	(23.6)	(331.5)	121.9	-	-	-	-	-	(412.9)	(297.3)	(115.6)	-38.9%
Ending Fund Balance	\$ (609.5)	\$ (702.1)	\$ (532.0)	\$ (748.1)	\$ (771.7)	\$ (1,103.2)	\$ (861.3)	\$ -	\$ -	\$ -	\$ -	\$ -	\$ (981.3)	\$ (786.2)	\$ (193.1)	-24.5%

**STATE OF NEW YORK
CAPITAL PROJECTS FUNDS - FEDERAL
STATEMENT OF CASH FLOW
FISCAL YEAR 2018-2019**
(Amounts in millions)

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EXHIBIT I

	2018		2019										7 Months Ended October 31		% Increase/ Decrease	
	APRIL	\$	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	JANUARY	FEBRUARY	MARCH	2017		
														2018		2017
Beginning Fund Balance																
RECEIPTS:																
Miscellaneous Receipts:																
Abandoned Property:																
Bottle Bill	-		-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Assessments:																
Business	-		-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Fees, Licenses and Permits:																
Business/Professional	-		-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Civil	-		-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Motor Vehicle	-		-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Recreational/Consumer	-		-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Fines, Penalties and Forfeitures	-		-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Interest Earnings	-		-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Receipts from Public Authorities:	-		-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Bond Proceeds	-		-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Interest Fees	-		-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Non Bond Related	-		-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Receipts from Municipalities	-		-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Rentals	0.1		-	0.1	-	0.2	-	-	-	-	-	-	0.4	0.4	-	0.0%
Revenues of State Departments:																
Administrative Recoveries	-		-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Gifts, Grants and Donations	-		-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Indirect Cost Recoveries	-		-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Restitution and Settlements	-		-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
All Other	-		-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Sales	0.1		-	0.1	-	0.2	0.1	-	-	-	-	-	0.1	0.9	(0.8)	-88.9%
Total Miscellaneous Receipts	0.1		-	0.1	-	0.2	0.1	-	-	-	-	-	0.5	1.3	(0.8)	-81.5%
Federal Receipts	58.7	54.5	141.4	313.8	273.2	248.8	179.7						1,270.1	1,103.8	166.3	15.1%
Total Receipts	58.8	54.5	141.5	313.8	273.4	248.9	179.7						1,270.6	1,105.1	165.5	15.0%
DISBURSEMENTS:																
Local Assistance Grants:																
Education	-		-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Environment and Recreation	-		-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
General Government	-		-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Public Health:																
Medicaid	-		-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Other Public Health	-		-	2.8	-	-	-	-	-	-	-	-	2.8	4.9	(2.1)	-42.9%
Public Safety	-		-	17.5	0.1	-	-	-	-	-	-	-	17.6	3.3	14.3	433.3%
Public Welfare	-		-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Support and Regulate Business	-		-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Transfers to Other Funds	51.4	36.7	26.9	17.4	40.9	36.8	25.7						235.8	275.3	(39.5)	-14.3%
Total Local Assistance Grants	51.4	36.7	26.9	37.7	41.0	36.8	25.7						256.2	283.5	(27.3)	-9.6%
Departmental Operations:																
Personal Service	-		-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Non-Personal Service	-		-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
General State Charges	56.3	87.6	105.3	109.5	144.5	133.4	165.2						801.8	784.7	17.1	2.2%
Capital Projects	-		-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Total Disbursements	107.7	124.3	132.2	147.2	185.5	170.2	190.9						1,058.0	1,068.2	(10.2)	-1.0%
Excess (Deficiency) of Receipts over Disbursements	(48.9)	(69.8)	9.3	166.6	87.9	78.7	(11.2)						212.6	36.9	175.7	476.2%
OTHER FINANCING SOURCES (USES):																
Transfers from Other Funds	-	-	-	-	-	-	(184.2)						(184.3)	(4.7)	179.6	3,821.3%
Transfers to Other Funds	-		-	-	-	(0.1)	-						(184.3)	(4.7)	179.6	3,821.3%
Total Other Financing Sources (Uses)	-	-	-	-	-	(0.1)	(184.2)						(184.3)	(4.7)	179.6	3,821.3%
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	(48.9)	(69.8)	9.3	166.6	87.8	78.7	(195.4)						28.3	32.2	(3.9)	-12.1%
Ending Fund Balance	\$ (631.7)	\$ (701.5)	\$ (692.2)	\$ (525.6)	\$ (437.8)	\$ (359.1)	\$ (554.5)	\$ -	\$ -	\$ -	\$ -	\$ -	\$ (554.5)	\$ (537.4)	\$ (17.1)	-3.2%

STATE OF NEW YORK
ENTERPRISE FUNDS
STATEMENT OF CASH FLOW
FISCAL YEAR 2018-2019
(Amounts in millions)

EXHIBIT J

	7 Months Ended October 31														
	\$ Increase/ Decrease														
2018 APRIL	2019														
	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	JANUARY	FEBRUARY	MARCH	2018	2017		
	\$ 24.6	\$ 25.7	\$ 26.4	\$ 26.3	\$ 26.9	\$ 26.3	\$ 29.0					\$ 24.6	\$ 23.6	\$ 1.0	4.2%
Beginning Fund Balance															
RECEIPTS:															
Miscellaneous Receipts	3.9	6.0	4.4	4.4	6.9	7.6	5.5					38.7	37.4	1.3	3.5%
Federal Receipts	1.0	1.2	0.9	1.1	1.0	0.9	1.1					7.2	9.6	(2.4)	-25.0%
Unemployment Taxes	191.1	148.1	135.0	182.1	161.2	132.0	148.7					1,098.2	1,205.7	(107.5)	-8.9%
Total Receipts	196.0	155.3	140.3	187.6	169.1	140.5	155.3	-	-	-	-	1,144.1	1,252.7	(108.6)	-8.7%
DISBURSEMENTS:															
Departmental Operations:															
Personal Service	0.4	0.6	0.4	0.4	0.3	0.2	2.4					4.7	4.9	(0.2)	-4.1%
Non-Personal Service	2.4	4.5	4.1	3.1	7.4	4.6	5.8					31.9	31.4	0.5	1.6%
General State Charges	-	0.1	0.1	0.1	0.3	-	-					0.6	0.8	(0.2)	-25.0%
Unemployment Benefits	192.1	149.4	135.8	183.4	161.7	133.0	150.0					1,105.4	1,215.3	(109.9)	-9.0%
Total Disbursements	194.9	154.6	140.4	187.0	169.7	137.8	158.2	-	-	-	-	1,142.6	1,252.4	(109.8)	-8.8%
Excess (Deficiency) of Receipts over Disbursements	1.1	0.7	(0.1)	0.6	(0.6)	2.7	(2.9)	-	-	-	-	1.5	0.3	1.2	400.0%
OTHER FINANCING SOURCES (USES):															
Transfers from Other Funds	-	-	-	-	-	-	-					-	-	-	0.0%
Transfers to Other Funds	-	-	-	-	-	-	-					-	-	-	0.0%
Total Other Financing Sources (Uses)	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Excess (Deficiency) of Receipts and Other Financing Sources Over Disbursements and Other Financing Uses	1.1	0.7	(0.1)	0.6	(0.6)	2.7	(2.9)	-	-	-	-	1.5	0.3	1.2	400.0%
Ending Fund Balance	\$ 25.7	\$ 26.4	\$ 26.3	\$ 26.9	\$ 26.3	\$ 29.0	\$ 26.1	\$ -	\$ -	\$ -	\$ -	\$ 26.1	\$ 23.9	\$ 2.2	9.2%

EXHIBIT K

STATE OF NEW YORK
INTERNAL SERVICE FUNDS
STATEMENT OF CASH FLOW
FISCAL YEAR 2018-2019
(Amounts in millions)

	2018		2019										7 Months Ended October 31		\$ Increase/ Decrease	% Increase Decrease
	APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	JANUARY	FEBRUARY	MARCH	2018	2017		
Beginning Fund Balance	\$ (269.2)	\$ (300.3)	\$ (263.4)	\$ (263.5)	\$ (263.4)	\$ (269.7)	\$ (296.4)						\$ (269.2)	\$ (200.4)	\$ (68.8)	-34.3%
RECEIPTS:																
Miscellaneous Receipts	22.6	73.5	50.2	26.7	56.9	25.9	32.7						288.5	294.9	(6.4)	-2.2%
Total Receipts	22.6	73.5	50.2	26.7	56.9	25.9	32.7						288.5	294.9	(6.4)	-2.2%
DISBURSEMENTS:																
Departmental Operations:																
Personal Service	8.1	11.4	8.5	8.0	8.3	8.0	11.1						63.4	60.3	3.1	5.1%
Non-Personal Service	48.0	27.3	46.2	23.4	38.3	34.0	40.1						257.3	288.2	(30.9)	-10.7%
General State Charges	0.2	9.8	4.1	6.8	18.2	6.4	4.8						50.3	35.0	15.3	43.7%
Total Disbursements	56.3	48.5	58.8	38.2	64.8	48.4	56.0						371.0	383.5	(12.5)	-3.3%
Excess (Deficiency) of Receipts over Disbursements	(33.7)	25.0	(8.6)	(11.5)	(7.9)	(22.5)	(23.3)						(82.5)	(88.6)	6.1	6.9%
OTHER FINANCING SOURCES (USES):																
Transfers from Other Funds	2.6	21.9	8.6	1.6	1.6	2.7	5.6						44.6	22.0	22.6	102.7%
Transfers to Other Funds	-	-	(0.1)	-	-	(6.9)	-						(7.0)	(7.3)	(0.3)	-4.1%
Total Other Financing Sources (Uses)	2.6	21.9	8.5	1.6	1.6	(4.2)	5.6						37.6	14.7	22.9	155.8%
Excess (Deficiency) of Receipts and Other Financing Sources Over Disbursements and Other Financing Uses	(31.1)	46.9	(0.1)	(9.9)	(6.3)	(26.7)	(17.7)						(44.9)	(73.9)	29.0	39.2%
Ending Fund Balance	\$ (300.3)	\$ (253.4)	\$ (253.5)	\$ (263.4)	\$ (269.7)	\$ (296.4)	\$ (314.1)	\$ -	\$ -	\$ -	\$ -	\$ -	\$ (314.1)	\$ (274.3)	\$ (39.8)	-14.5%

EXHIBIT L

STATE OF NEW YORK
PENSION TRUST FUNDS
STATEMENT OF CASH FLOW
FISCAL YEAR 2018-2019
(Amounts in millions)

	2018			2019												7 Months Ended October 31	
	APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	JANUARY	FEBRUARY	MARCH	2018	2017	\$ (Decrease)	% Increase/ Decrease	
Beginning Fund Balance	\$ (2.0)	\$ (2.8)	\$ (12.1)	\$ (13.1)	\$ (28.1)	\$ (34.2)	\$ (5.5)						\$ (2.0)	\$ (1.9)	\$ (0.1)	-5.3%	
RECEIPTS:																	
Miscellaneous Receipts	5.2	7.9	5.2	5.1	5.1	38.0	7.6						74.1	39.0	35.1	90.0%	
Total Receipts	5.2	7.9	5.2	5.1	5.1	38.0	7.6	-	-	-	-	-	74.1	39.0	35.1	90.0%	
DISBURSEMENTS:																	
Departmental Operations:																	
Personal Service	5.2	7.9	5.4	5.1	5.0	5.0	7.7						41.3	37.1	4.2	11.3%	
Non-Personal Service	0.8	1.5	0.8	1.7	1.3	1.1	0.8						8.0	7.8	0.2	2.6%	
General State Charges	-	7.8	-	13.3	4.9	3.2	3.2						32.4	14.9	17.5	117.4%	
Total Disbursements	6.0	17.2	6.2	20.1	11.2	9.3	11.7	-	-	-	-	-	81.7	59.8	21.9	36.6%	
Excess (Deficiency) of Receipts over Disbursements	(0.8)	(9.3)	(1.0)	(15.0)	(6.1)	28.7	(4.1)	-	-	-	-	-	(7.6)	(20.8)	13.2	63.5%	
OTHER FINANCING SOURCES (USES):																	
Transfers from Other Funds	-	-	-	-	-	-	-						-	-	-	0.0%	
Transfers to Other Funds	-	-	-	-	-	-	-						-	-	-	0.0%	
Total Other Financing Sources (Uses)	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%	
Excess (Deficiency) of Receipts and Other Financing Sources Over Disbursements and Other Financing Uses	(0.8)	(9.3)	(1.0)	(15.0)	(6.1)	28.7	(4.1)	-	-	-	-	-	(7.6)	(20.8)	13.2	63.5%	
Ending Fund Balance	\$ (2.8)	\$ (12.1)	\$ (13.1)	\$ (28.1)	\$ (34.2)	\$ (5.5)	\$ (9.6)	\$ -	\$ -	\$ -	\$ -	\$ -	\$ (9.6)	\$ (22.7)	\$ 13.1	57.7%	

EXHIBIT M

	2018				2019							7 Months Ended October 31		\$ Increase/ (Decrease)		% Increase/ (Decrease)
	APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	JANUARY	FEBRUARY	MARCH	2018	2017		
	\$ 11.9	\$ 12.1	\$ 12.2	\$ 12.3	\$ 12.4	\$ 12.5	\$ 12.6						\$ 11.9	\$ 10.7	\$ 1.2	
RECEIPTS:																
Miscellaneous Receipts	0.2	0.1	0.2	0.1	0.1	0.1	-	-	-	-	-	-	0.8	1.0	(0.2)	-20.0%
Total Receipts	0.2	0.1	0.2	0.1	0.1	0.1	0.1	-	-	-	-	-	0.8	1.0	(0.2)	-20.0%
DISBURSEMENTS:																
Departmental Operations:																
Personal Service	-	-	0.1	-	-	-	-	-	-	-	-	-	0.1	0.2	(0.1)	-50.0%
Non-Personal Service	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
General State Charges	-	-	-	-	-	-	-	-	-	-	-	-	-	0.1	(0.1)	-100.0%
Total Disbursements	-	-	0.1	-	-	-	-	-	-	-	-	-	0.1	0.3	(0.2)	-66.7%
Excess (Deficiency) of Receipts over Disbursements	0.2	0.1	0.1	0.1	0.1	0.1	-	-	-	-	-	-	0.7	0.7	-	0.0%
OTHER FINANCING SOURCES (USES):																
Transfers from Other Funds	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Transfers to Other Funds	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Total Other Financing Sources (Uses)	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Excess (Deficiency) of Receipts and Other Financing Sources Over Disbursements and Other Financing Uses	0.2	0.1	0.1	0.1	0.1	0.1	-	-	-	-	-	-	0.7	0.7	-	0.0%
Ending Fund Balance	\$ 12.1	\$ 12.2	\$ 12.3	\$ 12.4	\$ 12.5	\$ 12.6	\$ 12.6	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 12.6	\$ 11.4	\$ 1.2	10.5%

SCHEDULE 1

STATE OF NEW YORK
GOVERNMENTAL FUNDS
SUMMARY OF CASH RECEIPTS, DISBURSEMENTS AND
CHANGES IN FUND BALANCES
FISCAL YEAR 2018-2019
FOR THE MONTH OF OCTOBER 2018
(Amounts in millions)

	BALANCE OCTOBER 1, 2018	RECEIPTS	DISBURSEMENTS	OTHER FINANCING SOURCES (USES)	BALANCE OCTOBER 31, 2018
GENERAL FUND					
10000-10049-Local Assistance Account	\$ -	0.100	2,711.121	\$ 2,711.021	\$ -
10050-10099-State Operations Account	6,408.949	2,224.966	1,586.032	(523.644)	6,524.239
10100-10149-Tax Stabilization Reserve	-	-	-	-	-
10150-10199-Contingency Reserve	-	-	-	-	-
10200-10249-Universal Pre-K Reserve	-	-	-	-	-
10250-10299-Community Projects	41.941	-	0.702	-	41.239
10300-10349-Rainy Day Reserve Fund	-	-	-	-	-
10400-10449-Refund Reserve Account	-	-	-	-	-
10500-10549-Fringe Benefits Escrow	-	2.745	2.745	-	-
10550-10599-Tobacco Revenue Guarantee	-	-	-	-	-
TOTAL GENERAL FUND	6,450.890	2,227.811	4,300.600	2,187.377	6,585.478
SPECIAL REVENUE FUNDS-STATE					
20000-20099-Mental Health Gifts and Donations	0.788	0.002	-	-	0.790
20100-20299-Combined Expendable Trust	65.706	0.530	0.335	-	65.901
20300-20349-New York Interest on Lawyer Account	45.749	3.771	5.636	-	43.884
20350-20399-NYS Archives Partnership Trust	0.151	-	0.039	-	0.112
20400-20449-Child Performer's Protection	0.181	0.010	0.045	-	0.146
20450-20499-Tuition Reimbursement	6.848	0.275	0.256	-	6.867
20500-20549-New York State Local Government Records Management Improvement	4.043	0.545	0.532	-	4.056
20550-20599-School Tax Relief	0.011	0.500	0.409	-	0.102
20600-20649-Charter Schools Stimulus	1.645	0.003	-	-	1.648
20650-20699-Not-For-Profit Short Term Revolving Loan	-	-	-	(0.788)	-
20800-20849-HCRA Resources	269.543	493.691	524.267	-	238.179
20850-20899-Dedicated Mass Transportation Trust	85.514	50.599	64.700	-	71.413
20900-20949-State Lottery	(615.580)	412.858	139.959	-	(342.681)
20950-20999-Combined Student Loan	19.379	2.323	0.714	-	20.988
21000-21049-Sewage Treatment Program Mgmt. & Administration	(3.816)	-	0.080	-	(3.896)
21050-21149-Ercon Special Revenue	(3.368)	10.472	8.984	1.820	(0.060)
21150-21199-Conservation	76.828	11.502	3.795	(1.820)	82.715
21200-21249-Environmental Protection and Oil Spill Compensation	36.675	6.087	1.994	(1.768)	39.000
21250-21299-Training and Education Program on OSHA	5.665	5.138	5.442	-	5.381
21300-21349-Lawyers' Fund for Client Protection	8.195	0.743	0.108	-	8.830
21350-21399-Equipment Loan for the Disabled	0.540	0.003	0.004	-	0.539
21400-21449-Mass Transportation Operating Assistance	222.265	103.530	198.492	(0.121)	127.182
21450-21499-Clean Air	(32.383)	9.370	3.635	-	(26.648)
21500-21549-New York State Infrastructure Trust	0.069	-	-	-	0.069
21550-21599-Legislative Computer Services	11.217	0.094	0.050	-	11.261
21600-21649-Biodiversity Stewardship and Research	-	-	-	-	-
21650-21699-Combined Non-Expendable Trust	0.464	0.001	-	-	0.465
21700-21749-Winter Sports Education Trust	-	-	-	-	-
21750-21799-Musical Instrument Revolving	-	-	-	-	-
21850-21899-Arts Capital Revolving	0.001	-	-	-	0.001
21900-22499-Miscellaneous State Special Revenue	0.931	0.002	-	-	0.933
22500-22549-Court Facilities Incentive Aid	1,621.514	231.236	347.609	48.296	1,553.437
	23.882	0.044	3.140	-	20.786

SCHEDULE 1

STATE OF NEW YORK
GOVERNMENTAL FUNDS
SUMMARY OF CASH RECEIPTS, DISBURSEMENTS AND
CHANGES IN FUND BALANCES
FISCAL YEAR 2018-2019
FOR THE MONTH OF OCTOBER 2018
(Amounts in millions)

	BALANCE OCTOBER 1, 2018	RECEIPTS	DISBURSEMENTS	OTHER FINANCING SOURCES (USES)	BALANCE OCTOBER 31, 2018
<u>SPECIAL REVENUE FUNDS-STATE (CONTINUED)</u>					
22550-22599-Employment Training	0.050	0.001	-	-	0.051
22650-22699-State University Income	2,029.742	397.302	675.844	91.103	1,842.303
22700-22749-Chemical Dependence Service	22.341	0.736	0.113	-	22.964
22750-22799-Lake George Park Trust	0.387	(0.002)	0.159	-	0.226
22800-22849-State Police Motor Vehicle Law Enforcement and Motor Vehicle Theft and Insurance Fraud Prevention	88.620	10.296	0.209	-	98.707
22850-22899-New York Great Lakes Protection	0.404	0.001	0.014	-	0.391
22900-22949-Federal Revenue Maximization	0.023	-	-	-	0.023
22950-22999-Housing Development	9.554	0.016	-	-	9.420
23000-23049-NYS/DOT Highway Safety Program	(11.436)	0.052	0.382	-	(11.766)
23050-23099-Vocational Rehabilitation	0.026	0.001	-	-	0.027
23100-23149-Drinking Water Program Management and Administration	(5.351)	-	-	-	(5.351)
23150-23199-NYC County Clerks' Operations Offset	(42.777)	0.002	3.473	-	(46.248)
23200-23249-Judiciary Data Processing Offset	26.698	3.982	3.070	-	27.610
23250-23449-IFR/CUTRA	157.773	21.227	8.385	-	170.615
23500-23549-USOC Lake Placid Training	0.203	0.001	-	-	0.204
23550-23599-Indigent Legal Services	288.909	11.843	2.673	-	298.079
23600-23649-Unemployment Insurance Interest and Penalty	30.002	0.292	0.363	(0.058)	29.873
23650-23699-MTA Financial Assistance Fund	34.388	25.257	-	48.846	108.491
23700-23749-New York State Commercial Gaming Fund	68.218	16.105	1.799	-	82.524
23750-23799-Medical Marihuana Trust Fund	3.822	0.339	0.972	-	3.189
23800-23899-Dedicated Miscellaneous State Special Revenue	1.123	0.280	0.028	-	1.375
24850-24899-Health Care Transformation	1,000.059	1.684	-	-	1,001.743
24900-24949-Charitable Gift Trust Fund	92.518	0.163	-	-	92.681
24950-24999-Interactive Fantasy Sports	10.008	0.521	-	-	10.529
40350-40399-State University Dormitory Income	172.744	40.008	-	(31.883)	180.869
TOTAL SPECIAL REVENUE FUNDS-STATE	5,830.725	1,873.436	2,007.859	153.627	5,849.929
<u>SPECIAL REVENUE FUNDS-FEDERAL</u>					
25000-25099-Federal USDA/Food and Consumer Services	6.520	181.675	177.031	-	11.164
25100-25199-Federal Health and Human Services	470.450	3,987.433	4,331.501	(143.432)	(17.050)
25200-25249-Federal Education	(146.071)	155.735	182.391	(0.933)	(173.660)
25300-25899-Federal Miscellaneous Operating Grants	(309.285)	220.167	237.791	-	(326.909)
25900-25949-Unemployment Insurance Administration	160.823	21.711	31.479	-	151.055
25950-25999-Unemployment Insurance Occupational Training	(0.527)	0.518	-	-	(0.428)
26000-26049-Federal Employment and Training Grants	(0.500)	11.692	12.572	-	(1.380)
TOTAL SPECIAL REVENUE FUNDS-FEDERAL	181.410	4,578.931	4,973.184	(144.365)	(357.208)
TOTAL SPECIAL REVENUE FUNDS	6,012.135	6,452.367	6,981.043	9.262	5,492.721
<u>DEBT SERVICE FUNDS</u>					
40000-40049-Debt Reduction Reserve	-	-	-	-	-
40100-40149-Mental Health Services	81.517	12.734	0.272	25.174	119.153
40150-40199-General Debt Service	205.444	1,556.591	45.996	(1,229.769)	486.270
40250-40299-State Housing Debt Service	-	1.175	1.203	0.028	-
40300-40349-Department of Health Income	34.632	19.351	-	(16.025)	37.958
40400-40449-Clean Water/Clean Air	10.214	75.994	-	(72.858)	13.350
40450-40499-Local Government Assistance Tax	1.870	269.209	0.367	(268.781)	1.931
TOTAL DEBT SERVICE FUNDS	333.677	1,935.054	47.838	(1,562.231)	688.662

SCHEDULE 1

STATE OF NEW YORK
GOVERNMENTAL FUNDS
SUMMARY OF CASH RECEIPTS, DISBURSEMENTS AND
CHANGES IN FUND BALANCES
FISCAL YEAR 2018-2019
FOR THE MONTH OF OCTOBER 2018
(Amounts in millions)

	BALANCE OCTOBER 1, 2018	RECEIPTS	DISBURSEMENTS	OTHER FINANCING SOURCES (USES)	BALANCE OCTOBER 31, 2018
CAPITAL PROJECTS FUNDS					
30000-30049-State Capital Projects	-	1,055,421	468,410	(587,011)	-
30050-30099-Dedicated Highway and Bridge Trust	(166,623)	309,920	178,734	167,761	132,324
30100-30299-SUNY Residence Halls Rehabilitation and Repair	149,146	0,253	2,579	-	146,820
30300-30349-New York State Canal System Development	9,639	0,016	-	-	9,655
30350-30399-Parks Infrastructure	(73,653)	-	11,548	-	(85,201)
30400-30449-Passenger Facility Charge	0,015	-	-	-	0,015
30450-30499-Environmental Protection	148,896	12,813	11,757	-	149,952
30500-30549-Clean Water/Clean Air Implementation	-	-	-	-	-
30600-30609-Energy Conservation Thru Improved Transportation Bond	0,164	-	-	-	0,164
30610-30619-Park and Recreation Land Acquisition Bond	-	-	-	-	-
30620-30629-Pure Waters Bond	0,668	-	-	-	0,668
30630-30639-Transportation Capital Facilities Bond	3,328	-	-	-	3,328
30640-30649-Environmental Quality Protection Bond	1,419	-	-	-	1,419
30650-30659-Rebuild and Renew New York Transportation Bond	18,959	-	-	(0,012)	18,947
30660-30669-Transportation Infrastructure Renewal Bond	4,255	-	-	-	4,255
30670-30679-1986 Environmental Quality Bond Act	5,551	-	-	-	5,551
30680-30689-Accelerated Capacity and Transportation Improvement Bond	2,778	-	-	-	2,778
30690-30699-Clean Water/Clean Air Bond	1,428	-	-	-	1,428
30700-30709-State Housing Bond	-	-	-	-	-
30710-30719-Smart Schools Bond	-	-	-	-	-
30750-30799-Outdoor Recreation and Development Bond	-	-	-	-	-
30900-30949-Rail Preservation and Development Bond	-	-	-	-	-
31350-31449-Federal Capital Projects	(359,076)	179,736	190,956	(184,188)	(554,484)
31450-31499-Forest Preserve Expansion	0,926	0,002	-	-	0,928
31500-31549-Hazardous Waste Remedial	(119,074)	1,840	9,982	(0,549)	(127,765)
31650-31699-Suburban Transportation	0,521	0,001	-	-	0,522
31700-31749-Division for Youth Facilities Improvement	(59,460)	-	2,645	-	(62,105)
31800-31849-Housing Assistance	(13,109)	-	-	-	(13,109)
31850-31899-Housing Program	(348,942)	-	-	-	(348,942)
31900-31949-Natural Resource Damage	17,371	0,040	0,043	-	17,368
31950-31999-DOT Engineering Services	(12,367)	-	0,013	-	(12,380)
32200-32249-Miscellaneous Capital Projects	67,746	1,753	5,822	1,768	65,445
32250-32299-CUNY Capital Projects	(0,027)	(0,001)	-	-	(0,028)
32300-32349-Mental Hygiene Facilities Capital Improvement	(653,967)	71,451	22,784	-	(605,300)
32350-32399-Correction Facilities Capital Improvement	(355,463)	-	33,334	-	(388,797)
32400-32999-State University Capital Projects	112,496	0,517	7,302	1,167	106,878
33000-33049-NYS Storm Recovery Fund	(84,316)	-	0,326	-	(84,642)
33050-33099-Dedicated Infrastructure Investment Fund	238,468	-	159,967	-	78,501
TOTAL CAPITAL PROJECTS FUNDS	(1,462,303)	1,633,762	1,106,202	(601,064)	(1,535,807)
TOTAL GOVERNMENTAL FUNDS	\$ 11,334,399	\$ 12,248,994	\$ 12,435,683	\$ 33,344	\$ 11,181,054

SCHEDULE 2

STATE OF NEW YORK
 PROPRIETARY FUNDS
 SUMMARY OF CASH RECEIPTS, DISBURSEMENTS AND
 CHANGES IN FUND BALANCES
 FISCAL YEAR 2018-2019
 FOR THE MONTH OF OCTOBER 2018
 (Amounts in millions)

<u>FUND TYPE</u>	<u>BALANCE</u> <u>OCTOBER 1, 2018</u>	<u>RECEIPTS</u>	<u>DISBURSEMENTS</u>	<u>OTHER</u> <u>FINANCING</u> <u>SOURCES (USES)</u>	<u>BALANCE</u> <u>OCTOBER 31, 2018</u>
<u>ENTERPRISE FUNDS</u>					
50000-50049-Youth Commissary	\$ 0.096	\$ 0.003	\$ 0.005	\$ -	\$ 0.094
50050-50099-State Exposition Special	4.935	1.273	3.780	-	2.428
50100-50299-Correctional Services Commissary	4.050	3.609	3.910	-	3.749
50300-50399-Agencies Enterprise	3.065	0.283	0.253	-	3.095
50400-50449-Sheltered Workshop	2.121	0.026	0.033	-	2.114
50450-50499-Patient Workshop	1.800	0.114	0.098	-	1.816
50500-50599-Mental Hygiene Community Stores	4.558	0.101	0.099	-	4.560
50650-50699-Unemployment Insurance Benefit	8.384	149.920	150.025	-	8.279
TOTAL ENTERPRISE FUNDS	29.009	155.329	158.203	-	26.135
<u>INTERNAL SERVICE FUNDS</u>					
55000-55049-Centralized Services	(68.042)	25.580	32.437	0.593	(74.306)
55050-55099-Agency Internal Service	(137.734)	1.115	12.246	5.037	(143.828)
55100-55149-Mental Hygiene Revolving	0.008	0.007	0.096	-	(0.081)
55150-55199-Youth Vocational Education	0.069	0.001	0.001	-	0.069
55200-55249-Joint Labor and Management Administration	1.134	0.002	0.103	-	1.033
55250-55299-Audit and Control Revolving	(44.914)	-	2.969	-	(47.883)
55300-55349-Health Insurance Revolving	(16.007)	1.002	1.540	-	(16.545)
55350-55399-Correctional Industries Revolving	(30.931)	4.923	6.584	-	(32.592)
TOTAL INTERNAL SERVICE FUNDS	(296.417)	32.630	55.976	5.630	(314.133)
TOTAL PROPRIETARY FUNDS	\$ (267.408)	\$ 187.959	\$ 214.179	\$ 5.630	\$ (287.998)

SCHEDULE 3

STATE OF NEW YORK
FIDUCIARY FUNDS
SUMMARY OF CASH RECEIPTS, DISBURSEMENTS AND CHANGES IN FUND BALANCES
FISCAL YEAR 2018-2019
FOR THE MONTH OF OCTOBER 2018
(Amounts in millions)

FUND TYPE	BALANCE OCTOBER 1, 2018	RECEIPTS	DISBURSEMENTS	OTHER FINANCING SOURCES (USES)	BALANCE OCTOBER 31, 2018
<u>PENSION TRUST FUNDS</u>					
65000-65049-Common Retirement Administration	\$ (5.550)	\$ 7.658	\$ 11.682	\$ -	\$ (9.574)
TOTAL PENSION TRUST FUNDS	(5.550)	7.658	11.682	-	(9.574)
<u>PRIVATE PURPOSE TRUST FUNDS</u>					
66000-66049-Agriculture Producers' Security	2.772	0.005	-	-	2.777
66050-66099-Milk Producers' Security	9.801	0.065	0.022	-	9.844
TOTAL PRIVATE PURPOSE TRUST FUNDS	12.573	0.070	0.022	-	12.621
<u>AGENCY FUNDS</u>					
60050-60149-School Capital Facilities Financing Reserve	23.057	0.134	-	-	23.191
60150-60199-Child Performer's Holding	0.490	0.001	-	-	0.491
60200-60249-Employees Health Insurance	822.255	953.112	871.432	-	903.935
60250-60299-Social Security Contribution	15.184	120.868	120.561	-	15.491
60300-60399-Employee Payroll Withholding	39.000	444.753	418.315	-	65.438
60400-60449-Employees Dental Insurance	20.959	6.633	5.701	-	21.891
60450-60499-Management Confidential Group Insurance	0.470	0.879	0.698	-	0.651
60500-60549-Lottery Prize	742.040	179.475	208.808	-	712.707
60550-60599-Health Insurance Reserve Receipts	0.140	0.001	-	-	0.141
60600-60799-Miscellaneous New York State Agency	1,113.658	891.402	879.004	-	1,126.056
60800-60849-Elderly Pharmaceutical Insurance Coverage (EPIC) Escrow	23.663	8.422	8.504	-	23.581
60850-60899-CUNY Senior College Operating	79.843	120.188	171.281	-	28.750
60900-60949-Medicaid Management Information System (MMIS) Escrow	326.901	6,817.033	5,966.754	(38.974)	1,138.206
60950-60999-Special Education	-	-	-	-	-
61000-61099-State University of New York Revenue Collection	315.596	(130.805)	-	-	184.791
61100-61999-State University Federal Direct Lending Program	(19.346)	55.327	39.643	-	(3.662)
62000-62049-SSI SSP Payment Escrow	-	-	-	-	-
TOTAL AGENCY FUNDS	3,503.910	9,467.423	8,690.701	(38.974)	4,241.658
TOTAL FIDUCIARY FUNDS	\$ 3,510.933	\$ 9,475.151	\$ 8,702.405	\$ (38.974)	\$ 4,244.705

STATE OF NEW YORK
SOLE CUSTODY AND INVESTMENT ACCOUNTS
STATEMENT OF CASH RECEIPTS AND DISBURSEMENTS
FISCAL YEAR 2018-2019
FOR THE MONTH OF OCTOBER 2018
(Amounts in millions)

(*) See Footnotes - Note #4
(**) Includes Public Asset Fund resources:

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STATE OF NEW YORK
DEBT SERVICE FUNDS
STATEMENT OF DIRECT STATE DEBT ACTIVITY
FISCAL YEAR 2018-2019

SCHEDULE 5

PURPOSE	DEBT OUTSTANDING APR. 1, 2018	DEBT ISSUED		DEBT MATURED		DEBT OUTSTANDING OCTOBER 31, 2018	INTEREST DISBURSED	
		MONTH OF OCTOBER	7 MONTHS ENDED OCTOBER 31, 2018	MONTH OF OCTOBER	7 MONTHS ENDED OCTOBER 31, 2018		MONTH OF OCTOBER	7 MONTHS ENDED OCTOBER 31, 2018
GENERAL OBLIGATION BONDED DEBT:								
Accelerated Capacity and Transportation Improvements	\$ 32,275,100.89	\$ -	-	\$ -	\$ 10,919,265.60	\$ 21,355,835.29	\$ 117,372.08	\$ 880,056.23
Clean Water/Clean Air:								
Air Quality	3,117,448.29	-	-	-	155,950.14	2,961,498.15	4,066.94	52,830.69
Safe Drinking Water	-	-	-	-	-	-	-	-
Clean Water	346,341,865.67	-	-	-	8,964,855.81	337,377,009.86	1,632,784.94	8,300,009.56
Solid Waste	27,878,354.94	-	-	-	1,745,370.35	26,132,984.59	117,986.19	561,561.00
Environmental Restoration	56,923,093.56	-	-	-	155,000.00	56,768,093.56	17,625.00	1,180,038.12
Energy Conservation Through Improved Transportation:								
Rapid Transit and Rail Freight	1,617,602.67	-	-	-	264,787.74	1,352,814.93	29,433.99	64,313.19
Environmental Quality (1972):								
Air	169,207.37	-	-	-	160,000.00	9,207.37	-	4,178.26
Land and Wetlands	3,324,942.21	-	-	-	395,830.42	2,929,111.79	2,500.00	80,468.89
Water	15,647,986.47	-	-	-	4,632,503.04	11,015,483.43	101,469.46	393,032.19
Environmental Quality (1986):								
Land Acquisition/Development/Restoration/Forests	8,471,182.46	-	-	-	877,240.43	7,593,942.03	42,470.57	206,677.54
Solid Waste Management	116,059,318.43	-	-	-	6,896,891.29	109,161,427.14	1,023,513.17	3,552,194.66
Housing:								
Low Income	10,360,000.00	-	-	-	1,860,000.00	8,500,000.00	143,400.00	302,800.00
Middle Income	8,410,000.00	-	-	-	2,185,000.00	6,225,000.00	-	155,643.75
Park and Recreation Land Acquisition	-	-	-	-	-	-	-	-
Pure Waters	20,989,840.04	-	-	-	2,943,010.07	18,046,829.97	162,604.94	570,625.38
Rail Preservation Development	-	-	-	-	-	-	-	-
Rebuild and Renew New York Transportation:								
Highway Facilities	690,922,411.06	-	-	-	-	690,922,411.06	-	15,485,661.54
Canals and Waterways	15,195,330.58	-	-	-	-	15,195,330.58	-	298,786.38
Aviation	45,220,784.83	-	-	-	-	45,220,784.83	-	964,802.82
Rail and Port	95,856,947.16	-	-	-	-	95,856,947.16	-	1,929,636.30
Mass Transit - Dept. of Transportation	5,412,943.09	-	-	-	-	5,412,943.09	-	109,924.06
Mass Transit - Metropolitan Transportation Authority	759,341,045.05	-	-	-	-	759,341,045.05	-	16,876,972.53
Rebuild New York Transportation Infrastructure Renewal:								
Highways, Parkways, and Bridges	919,698.60	-	-	-	-	919,698.60	-	22,151.56
Rapid Transit, Rail and Aviation	3,686,569.96	-	-	-	1,088,952.61	2,597,617.35	63,488.74	146,600.34
Smart Schools Bond Act	99,505,289.03	-	-	-	-	99,505,289.03	-	2,071,669.57
Transportation Capital Facilities:								
Aviation	3,739,037.10	-	-	-	720,342.50	3,018,694.60	55,818.37	128,327.46
Mass Transportation	-	-	-	-	-	-	-	-
Total General Obligation Bonded Debt	\$ 2,371,384,999.46	\$ -	-	\$ -	\$ 43,965,000.00	\$ 2,327,419,999.46	\$ 3,514,534.39	\$ 54,328,962.02

SCHEDULE 5a

STATE OF NEW YORK
DEBT SERVICE FUNDS
FINANCING AGREEMENTS
FOR THE SEVEN MONTHS ENDED OCTOBER 31, 2018

Special Contractual Financing Obligations:	DEBT REDUCTION RESERVE (40000-40049)	GENERAL DEBT SERVICE (40151)	DEPARTMENT OF HEALTH INCOME (40300-40349)	LOCAL GOVERNMENT ASSISTANCE TAX (40450-40499)	MENTAL HEALTH SERVICES (40100-40149)	REVENUE BOND TAX (40152)	SALES TAX REVENUE BOND TAX (40154)	COMBINED TOTALS		\$ INCREASE/ (DECREASE)
								7 MONTHS ENDED OCTOBER 31 2018	2017	
Payments to Public Authorities:	\$ -	\$ 88,753,984	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 88,753,984	\$ 106,676,912	\$ (17,922,928)
City University Construction	-	-	-	-	-	-	-	-	-	-
Dormitory Authority:	-	-	-	-	-	-	-	-	-	-
Consolidated Service Contract Refunding	-	57,690,325	-	-	-	-	-	57,690,325	73,976,300	(16,285,975)
DASNY Revenue Bond	-	-	-	-	-	206,143,948	199,126,519	405,272,467	520,712,769	(115,440,302)
Department of Health Facilities	-	-	13,085,126	-	-	-	-	13,085,126	13,470,078	(384,950)
Mental Health Facilities	-	-	-	-	53,484,242	-	-	53,484,242	64,015,060	(10,530,818)
Secured Hospital Program	-	603,759	-	-	-	-	-	603,759	2,904,962	1,891,566
SUNY Community Colleges	-	4,596,528	-	-	-	-	-	4,596,528	17,145,623	17,145,623
SUNY Educational Facilities	-	17,145,623	-	-	-	-	-	17,145,623	2,303,044	(631,175)
Environmental Facilities Corporation	-	-	-	-	-	1,671,669	-	1,671,669	33,338,536	(16,119,353)
Housing Finance Agency	-	14,702,473	-	25,603,275	-	2,516,710	-	25,603,275	49,997,782	(24,394,507)
Local Government Assistance Corporation	-	-	-	-	-	-	-	-	-	-
Metropolitan Transportation Authority:	-	-	-	-	-	-	-	-	-	-
Transit and Commuter Rail Projects	-	35,457,621	-	-	-	-	-	35,457,621	42,043,163	(6,585,542)
Thruway Authority:	-	-	-	-	-	-	-	-	-	-
Dedicated Highway and Bridge	-	143,272,498	-	-	-	-	-	143,272,498	178,163,517	(34,891,019)
Local Highway and Bridge	-	36,892,075	-	-	-	-	-	36,892,075	53,845,175	(16,953,100)
Transportation	-	-	-	-	-	30,877,100	-	30,877,100	33,752,675	(2,875,575)
Urban Development Corporation:	-	-	-	-	-	-	-	-	-	-
Clarkson University	-	51,975	-	-	-	-	-	51,975	-	51,975
Columbia Univ.: Telecommunications Center	-	2,777,000	-	-	-	-	-	2,777,000	-	2,777,000
Consolidated Service Contract Refunding	-	27,121,943	-	-	-	-	-	27,121,943	1,695,175	25,426,768
Cornell Univ.: Supercomputer Center	-	362,000	-	-	-	-	-	362,000	-	362,000
Correctional Facilities	-	1,081,433	-	-	-	-	-	1,081,433	1,578,900	(497,467)
Debt Reduction Reserve	-	-	-	-	-	-	-	-	-	-
UDC Revenue Bond	-	-	-	-	-	295,089,574	-	295,089,574	283,606,001	11,483,573
University Facilities Grant 95 Refunding	-	-	-	-	-	-	-	-	-	-
University Facilities Grant Special Contractual	-	-	-	-	-	-	-	-	-	-
Total Disbursements for Special Contractual Financing Obligations	\$ -	\$ 430,499,239	\$ 13,085,126	\$ 25,603,275	\$ 53,484,242	\$ 536,299,201	\$ 199,126,519	\$ 1,258,099,602	\$ 1,462,080,077	\$ (203,980,475)

SCHEDULE 6

STATE OF NEW YORK
SUMMARY OF THE OPERATING FUND INVESTMENTS
FOR THE MONTH OF OCTOBER 2018
AS REQUIRED OF THE STATE COMPTROLLER
(Amounts in millions)

MONTH OF OCTOBER 2018	FISCAL YEAR TO DATE	PRIOR FISCAL YEAR TO DATE
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SHORT TERM INVESTMENT POOL (*)

AVERAGE DAILY INVESTMENT BALANCE (**)	\$ 17,590.7	\$ 16,504.0	\$ 10,904.7
AVERAGE YIELD (**)	2.210%	2.028%	1.132%
TOTAL INVESTMENT EARNINGS	\$ 32.083	\$ 189.141	\$ 71.763

Month-End Portfolio Balances

DESCRIPTION	OCTOBER 2018 PAR AMOUNT	OCTOBER 2017 PAR AMOUNT
GOVT. AGENCY BILLS/NOTES	\$ 1,861.3	\$ -
REPURCHASE AGREEMENTS	27.3	21.9
COMMERCIAL PAPER	12,787.7	10,904.7
CERTIFICATES OF DEPOSIT/SAVINGS	2,945.8	3,154.3
0% COMPENSATING BALANCE CDS	175.0	1,480.0
	\$ 17,797.1	\$ 15,560.9

(*) Pursuant to §98 of the State Finance Law, the State Comptroller is authorized to invest and keep invested all moneys, in any fund, held by the State. The Short Term Investment Pool (STIP) represents an accounting mechanism that allows for the separate accounting of individual funds (on deposit in the State's General Checking account) for the purpose of making short term investments. Pursuant to State Finance Law §4(5) the STIP is authorized to temporarily loan to the General Fund-State Operations Account (10050) funds for a period of four months or the end of the fiscal year, whichever is shorter. However, it must be noted that certain funds are invested as part of STIP, but are held by the State Comptroller in a fiduciary capacity. Fiduciary fund balances are restricted and may not be used for any State purposes since moneys in such funds are held by the State in a trustee (or fiduciary) capacity or as an agent for individuals, private organizations, or non-State governmental units (e.g. local governments and public authorities). Therefore, Fiduciary fund balances are not available to be temporarily loaned to the General Fund-State Operations Account. Fiduciary fund balances are presented in Schedules 3 and 4 of this report.

(**) Does not include 0% Compensating Balance CDs.

STATE OF NEW YORK HCRA RESOURCES FUND STATEMENT OF RECEIPTS AND DISBURSEMENTS BY ACCOUNT FISCAL YEAR 2018-2019														APPENDIX A
	2018 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2019 JANUARY	FEBRUARY	MARCH	7 Months Ended October 31, 2018	
OPENING CASH BALANCE	\$ 14,572,292	\$ 173,364,071	\$ 212,183,278	\$ 273,964,603	\$ 278,244,191	\$ 169,311,958	\$ 269,542,770						\$ 14,572,292	
RECEIPTS:														
Cigarette Tax	63,521,980	69,399,871	72,916,067	77,734,147	70,553,809	64,401,440	70,223,466						488,720,810	
State Share of NYC Cigarette Tax	2,365,000	2,743,000	2,812,000	2,266,000	2,540,000	2,668,000	2,167,000						17,551,000	
STIP Interest	293,039	473,413	649,724	847,128	646,640	844,914	702,926						4,457,784	
Public Asset Transfers														
Assessments	447,797,954	397,679,961	469,725,584	427,694,749	414,982,969	441,393,516	412,713,278						3,009,569,011	
Fees	469,000	407,000	2,659,000	579,000	104,000	925,000	301,000						5,444,000	
Rebates	5,097,831	2,853,191	1,309,539	4,043,093	12,290,603	158,431	7,584,106						33,306,791	
Restitution and Settlements														
Miscellaneous	45,460	-	-	7,475	49,315	-	-	102,250						
Total Receipts	519,560,264	473,626,436	547,074,911	513,471,592	501,137,336	510,381,301	493,691,806	-	-	-	-	-	3,559,140,646	
DISBURSEMENTS:														
Grants	342,597,291	431,476,254	479,603,225	497,415,435	602,142,217	401,700,557	519,088,650						3,274,023,029	
Interest - Late Payments	1,347	36	113	30	403	139	630						2,768	
Personal Service	573,997	731,320	1,126,299	500,110	381,341	590,182	769,845						4,693,011	
Non-Personal Service	1,766,723	1,631,242	3,050,142	2,396,131	1,563,226	1,475,370	4,079,514						16,375,348	
Employee Benefits/Indirect Costs	482,693	379,315	1,083,478	756,913	1,065,917	298,438	329,102						4,395,874	
Total Disbursements	345,441,991	434,218,167	484,863,222	501,068,619	605,543,194	404,057,716	524,267,141	-	-	-	-	-	3,299,460,050	
OPERATING TRANSFERS:														
Transfers to Capital Projects Fund	-	-	-	-	-	-	-						-	
Transfers to General Fund	-	-	-	-	156,106	-	-						156,106	
Transfers to Revenue Bond Tax Fund	-	-	-	-	3,582,200	4,846,704	-						8,431,904	
Transfers to Empire State Development Revenue Fund:														
Administration Program Account	-	110,000	-	-	-	455,000	-						565,000	
Empire State Stem Cell Trust Account	14,237,000	-	-	7,000,000	-	-	-						21,237,000	
Transfers to SUNY Income Fund	1,089,494	679,062	427,364	1,123,385	788,069	788,069	788,069						5,693,512	
Total Operating Transfers	15,326,494	789,062	427,364	8,123,385	4,526,375	6,092,773	788,069	-	-	-	-	-	36,073,522	
Total Disbursements and Transfers	360,768,485	435,007,229	485,290,586	509,192,004	610,069,569	410,150,489	525,055,210	-	-	-	-	-	3,335,533,572	
CLOSING CASH BALANCE	\$ 173,364,071	\$ 212,183,278	\$ 273,964,603	\$ 278,244,191	\$ 169,311,958	\$ 269,542,770	\$ 238,179,366	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 238,179,366	

STATE OF NEW YORK
 HCRA RESOURCES FUND
 STATEMENT OF PROGRAM DISBURSEMENTS
 FISCAL YEAR 2018-19

APPENDIX B

Program/Purpose	Appropriation Amount (*)	October	7 Months Ended October 31, 2018 (**)
CENTER FOR COMMUNITY HEALTH PROGRAM	8,313,000.00	365,020.29	2,210,921.46
CENTER FOR COMMUNITY HLTH	8,313,000.00	365,020.29	2,210,921.46
CHILD HEALTH INSURANCE PROGRAM	983,260,000.00	27,743,793.70	172,719,203.78
CHILD HEALTH INSURANCE	983,260,000.00	27,743,793.70	172,719,203.78
COMMUNITY SUPPORT PROGRAM	120,000.00	-	90,000.00
COMMUNITY SUPPORT	120,000.00	-	90,000.00
ELDERLY PHARMACEUTICAL INS COVERAGE PRG	291,636,064.37	11,832,173.47	77,618,504.21
ELDERLY PHARMACEUTICAL INSURANCE COVERAGE	291,636,064.37	11,832,173.47	77,618,504.21
HEALTH CARE REFORM ACT PROGRAM	1,916,478,605.03	21,525,431.86	274,146,229.39
AIDS DRUG ASSISTANCE	164,200,000.00	-	20,000,000.00
AMBULATORY CARE TRAINING	11,720,000.00	124,329.45	513,128.24
AREA HEALTH EDUCATION CENTER	7,475,000.00	-	1,075,139.01
COMMISSIONER EMERGENCY DISTRIBUTIONS	5,800,000.00	-	108,073.22
DIAGNOSTIC AND TREATMENT CTR UNCOMPENSATED C	272,000,000.00	-	-
DIVERSITY IN MEDICINE	6,698,000.00	-	245,830.00
EMPIRE CLINIC RESEARCH INVESTMENT (ECRIP)	18,947,000.00	-	-
HCRA PAYOR / PROVIDER AUDITS	14,720,000.00	2,721,666.00	3,866,779.89
HEALTH FACILITY RESTRUCTURING DASNY	39,200,000.00	-	19,600,000.00
HEALTH WORKFORCE RETRAINING	200,850,300.00	289,146.97	2,928,960.39
INFERTILITY SERVICES GRANTS	22,870,746.00	78,795.18	385,444.62
MEDICAL INDEMNITY FUND	156,000,000.00	-	52,000,000.00
PART 405.4 HOSPITAL AUDITS NYCRR	2,200,000.00	97,770.06	390,955.70
PHYSICIAN EXCESS MEDICAL MALPRACTICE	254,800,000.00	-	117,400,000.00
PHYSICIAN LOAN REPAYMENT	25,400,000.00	101,339.51	2,291,983.44
PHYSICIAN PRACTICE SUPPORT	1,000,000.00	-	-
PHYSICIAN WORKFORCE STUDIES	31,585,300.00	50,000.00	4,356,732.50
POISON CONTROL CENTERS	3,954,200.00	-	165,073.33
POOL ADMINISTRATION	6,040,000.00	-	-
ROSWELL PARK CANCER INSTITUTE	6,850,000.00	-	1,344,715.30
RURAL HEALTH CARE ACCESS	117,889,000.00	12,825,750.00	38,477,250.00
RURAL HEALTH NETWORK	26,300,000.00	396,487.70	2,552,139.78
SCHOOL BASED HEALTH CENTERS	17,460,000.00	610,146.99	2,216,023.97
SCHOOL BASED HEALTH CLINICS-POOL ADMIN	4,230,000.00	-	-
TRANSITION ACCT - PRIOR YEAR ALLOCATION	8,460,000.00	4,230,000.00	4,230,000.00
MEDICAL ASSISTANCE PROGRAM	28,136,329,000.00	461,917,197.41	2,766,315,380.05
HOME HEALTH RATE INCREASE	489,525,059.03	-	-
MEDICAID INDIGENT CARE	300,000,000.00	-	-
MEDICAL ASSISTANCE	5,409,000,000.00	77,000,197.41	471,100,380.05
PSNL CRE WRKR RECR & RETEN NYC (**)	21,544,129,000.00	384,917,000.00	2,295,215,000.00
PSNL CRE WRKR RECR & RETEN ROS (****)	816,000,000.00	-	-
OFFICE OF HEALTH INSURANCE PROGRAM	1,834,000.00	-	-
OFFICE OF HEALTH INSURANCE	1,834,000.00	-	-
OFFICE OF HEALTH SYSTEMS MANAGEMENT	48,413,000.00	1,672,371.23	10,250,122.94
OFFICE HEALTH SYSTEMS MANAGEMENT	48,413,000.00	1,672,371.23	10,250,122.94
OFFICE OF LONG TERM CARE	2,477,800.00	-	-
ADULT HOME INITIATIVE	2,477,800.00	-	-
REVENUE, PROCESSING & RECONCILIATION	8,190,000.00	-	1,793,550.56
REVENUE, PROCESSING & RECONCILIATION	8,190,000.00	-	1,793,550.56
TOTAL	31,397,051,469.40	525,055,987.96	3,305,143,912.39
Reclass of SUNY Hospital Disprop Share to Transfer	-	(788,069.26)	(5,683,512.71)
Reclass of SUNY Hospital Poison Control Centers to Transfer	-	-	-
Reclass of SUNY Empire Clinical Research Investigator	-	-	-
Program to Transfer	-	-	-
Reconciling Adjustment (P-Card and T-Card)	-	(777.55)	(349.42)
TOTAL REPORTED AMOUNT	31,397,051,469.40	524,267,141.15	3,299,460,050.26

(*) Includes amounts appropriated in SFY 2018-19, as well as prior year appropriations that were reappropriated.
 (**) Disbursements from the HCRA Resources Fund includes direct grant payments to program beneficiaries, services and expenses for administration of grant programs, and transfers to the Public Goods Pool to finance payments made by the State's fiscal agent.
 (***) Full title is: NYC Personal Care Workforce Recruitment and Retention Rates Grants.
 (****) Full title is: Personal Care Workforce Recruitment and Retention Rates Grants.

APPENDIX C

STATE OF NEW YORK
STATEMENT OF CASH FLOW - PUBLIC GOODS POOL
FISCAL YEAR 2018-19

	1st Quarter APRIL - JUNE	2nd Quarter JULY - SEPTEMBER	2018 OCTOBER	2018-19
OPENING CASH BALANCE	\$ 388,501,821.91	\$ 199,663,741.18	\$ 187,539,195.10	\$ 388,501,821.91
RECEIPTS:				
Patient Services	760,924,062.46	851,497,139.29	420,912,764.14	2,033,333,965.89
Covered Lives	223,281,927.40	242,320,798.61	127,632,826.06	593,235,552.07
Provider Assessments	28,949,536.79	32,729,406.94	12,200,601.29	73,879,545.02
1% Assessments	95,435,692.00	107,723,215.00	38,047,555.00	241,206,462.00
DASNY - MOE/Recast receivables	-	-	-	-
Interest Income	118,537.48	172,802.56	66,265.13	357,605.17
Unassigned	2,798,776.73	25,406,797.36	(28,212,343.24)	(6,769.15)
Total Receipts	1,111,508,532.86	1,259,850,159.76	570,647,668.38	2,942,006,361.00
PROGRAM DISBURSEMENTS:				
Poison Control Centers	-	-	-	-
School Based Health Center Grants	-	-	(4,230,000.00)	(4,230,000.00)
ECRIP Distributions	-	-	-	-
Total Program Disbursements	-	-	(4,230,000.00)	(4,230,000.00)
Excess (Deficiency) of Receipts over Disbursements	1,111,508,532.86	1,259,850,159.76	566,417,668.38	2,937,776,361.00
OTHER FINANCING SOURCES (USES):				
Transfers From Other Pools:				
Medicaid Disproportionate Share	-	-	-	-
Health Facility Assessment Fund - Hospital Quality Contribution	12,122,154.68	12,389,384.00	8,081,419.00	32,592,957.68
Transfers From State Funds:				
HCRA Resources Fund	-	-	-	-
Total Other Financing Sources	12,122,154.68	12,389,384.00	8,081,419.00	32,592,957.68
Transfers To Other Pools:				
Medicaid Disproportionate Share	-	-	-	-
Health Facility Assessment Fund	-	-	-	-
Transfers To State Funds:				
HCRA Resources Fund	(1,122,837,438.09)	(1,079,790,159.87)	(334,324,361.12)	(2,536,951,959.08)
Indigent Care Fund - Matched	(183,242,126.90)	(196,509,466.18)	(78,767,084.75)	(458,518,677.83)
Indigent Care Fund - Unmatched	(6,389,203.28)	(8,064,463.79)	383,344.97	(14,070,322.10)
Total Other Financing Uses	(1,312,468,768.27)	(1,284,364,089.84)	(412,708,100.90)	(3,009,540,959.01)
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	(188,838,080.73)	(12,124,546.08)	161,790,986.48	(39,171,640.33)
CLOSING CASH BALANCE	\$ 199,663,741.18	\$ 187,539,195.10	\$ 349,330,181.58	\$ 349,330,181.58

Source: HCRA - Office of Pool Administration

APPENDIX D

STATE OF NEW YORK
STATEMENT OF CASH FLOW - MEDICAID DISPROPORTIONATE SHARE
FISCAL YEAR 2018-19

	1st Quarter APRIL - JUNE	2nd Quarter JULY - SEPTEMBER	2018 OCTOBER	2018-19
OPENING CASH BALANCE	\$ 1,881.16	\$ 5,434.72	\$ 5,176.53	\$ 1,881.16
RECEIPTS:				
Interest Income	8,284.56	6,885.88	2,132.60	17,303.04
Total Receipts	8,284.56	6,885.88	2,132.60	17,303.04
PROGRAM DISBURSEMENTS:				
Indigent Care	(190,699,555.68)	(190,150,479.12)	(78,767,084.75)	(459,617,119.55)
High Need Indigent Care	-	-	-	-
Other	3,105,175.24	(8,250,698.21)	18,907,578.91	13,762,055.94
Total Program Disbursements	(187,594,380.44)	(198,401,177.33)	(59,859,505.84)	(445,855,063.61)
Excess (Deficiency) of Receipts over Disbursements	(187,586,095.88)	(198,394,291.46)	(59,857,373.24)	(445,837,760.57)
OTHER FINANCING SOURCES (USES):				
Transfers From Other Pools:				
Public Goods Pool	-	-	-	-
Health Facility Assessment Fund	-	-	-	-
Transfers From State Funds:				
HCRA Resources Indigent Care - Matched	91,621,063.45	98,254,733.09	39,383,542.38	229,259,338.92
HCRA Resources Indigent Care - Unmatched	7,457,428.78	11,243,957.32	(383,344.97)	18,318,041.13
HCRA Resources Indigent Care - ATB	-	-	-	-
Federal DHHS Fund	91,621,063.45	98,254,733.09	39,383,542.37	229,259,338.91
Other	-	-	-	-
Total Other Financing Sources	190,699,555.68	207,753,423.50	78,383,739.78	476,836,718.96
Transfers To Other Pools:				
Public Goods Pool	-	-	-	-
Health Facility Assessment Fund	-	-	-	-
Transfers To State Funds:				
HCRA Resources Fund Indigent Care Acct	(3,109,906.24)	(9,359,390.24)	(18,529,410.47)	(30,998,706.95)
Total Other Financing Uses	(3,109,906.24)	(9,359,390.24)	(18,529,410.47)	(30,998,706.95)
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	3,553.56	(258.19)	(3,043.93)	251.44
CLOSING CASH BALANCE	\$ 5,434.72	\$ 5,176.53	\$ 2,132.60	\$ 2,132.60

Source: HCRA - Office of Pool Administration

APPENDIX E

STATE OF NEW YORK
SUMMARY OF OFF-BUDGET SPENDING REPORT
FISCAL YEAR 2018-2019
(Amounts in thousands)

	2018 APRIL	2018 MAY	2018 JUNE	2018 JULY	2018 AUGUST	2018 SEPTEMBER	2018 OCTOBER	2018 NOVEMBER	2018 DECEMBER	2019 JANUARY	2019 FEBRUARY	2019 MARCH	2018-2019 TOTAL
DORMITORY AUTHORITY:													
Education - All Other	\$ -	\$ 1	\$ -	\$ -	\$ -	\$ -	\$ -	-	-	-	-	-	\$ 1
Education - EXCEL	1,178	443	3,263	899	80	-	1,875	-	-	-	-	-	7,738
Department of Health - All Other	-	-	-	-	-	-	-	-	-	-	-	-	-
Community Enhancement Facilities Assistance Program (CEFAP)	-	-	-	-	-	-	-	-	-	-	-	-	-
Regional Development	860	725	343	410	715	226	1,485	-	-	-	-	-	4,764
Community Capital Assistance Program (CCAP)/RESTORE	-	-	-	-	-	-	-	-	-	-	-	-	-
Multi-modal	-	-	-	-	-	-	-	-	-	-	-	-	-
GenYsis	-	-	-	-	-	-	-	-	-	-	-	-	-
SUNY Senior Colleges	19,515	21,647	7,451	20,771	21,444	8,672	37,966	-	-	-	-	-	137,466
SUNY Community Colleges	5,072	1,787	622	4,677	3,619	623	7,983	-	-	-	-	-	24,383
SUNY Dormitories	-	-	-	-	-	-	-	-	-	-	-	-	-
Upstate Community Colleges	6,444	2,235	2,014	3,558	7,048	7,295	6,825	-	-	-	-	-	35,419
Mental Health	9,662	8,727	-	17,898	11,418	2,985	16,342	-	-	-	-	-	67,032
Developmental Disabilities	547	1,047	281	1,345	1,475	1,167	3,415	-	-	-	-	-	9,277
Alcoholism and Substance Abuse	266	826	-	1,115	484	123	393	-	-	-	-	-	3,207
Brooklyn Court Officer Training Academy	424	595	217	1,286	840	400	188	-	-	-	-	-	3,950
TOTAL DORMITORY AUTHORITY	43,968	38,033	14,191	51,959	47,123	21,491	76,462	-	-	-	-	-	293,302
EMPIRE STATE DEVELOPMENT CORP:													
Regional Development	-	-	-	-	-	-	-	-	-	-	-	-	-
Centers of Excellence	-	-	-	-	-	-	-	-	-	-	-	-	-
Community Capital Assistance Program (CCAP)	-	-	-	-	-	-	-	-	-	-	-	-	-
Empire Opportunity	-	-	-	-	-	-	-	-	-	-	-	-	-
Community Enhancement Facilities Assistance Program (CEFAP)	-	-	-	-	75	-	-	-	-	-	-	-	75
State Facilities and Equipment	-	-	-	-	-	-	-	-	-	-	-	-	-
TOTAL EMPIRE STATE DEVELOPMENT CORP	-	-	-	-	75	-	-	-	-	-	-	-	75
TOTAL OFF-BUDGET	\$ 43,968	\$ 38,033	\$ 14,191	\$ 51,959	\$ 47,198	\$ 21,491	\$ 76,462	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 293,302

The Division of the Budget (DOB) is responsible for organizing and presenting the above schedule of 'Off Budget Spending'. Such reported disbursements are drawn from unaudited financial data provided by public authorities. Although the Office of the State Comptroller (OSC) has no reason to believe this information to be unreliable, it is important to note that these program disbursements are financed with public authority bond proceeds deposited directly into public authority accounts and all disbursements are made without any oversight by the OSC. Therefore, and pursuant to the provisions of Chapter 60, §16, of the Laws of 2006, this schedule is provided for information only.

APPENDIX F

STATE OF NEW YORK
SCHEDULE OF MONTH-END TEMPORARY LOANS OUTSTANDING(1)

SFS Fund	ACCOUNT TITLE	July 31, 2018		August 31, 2018		September 30, 2018		Change		October 31, 2018	
		GENERAL FUND		GENERAL FUND		GENERAL FUND		GENERAL FUND		GENERAL FUND	
		\$		\$		\$		\$		\$	
10050	STATE OPERATIONS AND LOCAL ASSISTANCE										
	TOTAL GENERAL FUND										
											(***)
30051	CAPITAL PROJECT AND BOND REIMBURSABLE FUNDS										
30053	HIGHWAY AND BRIDGE CAPITAL										
30101	AVIATION PURPOSE ACCOUNT			22,400,820.72		226,348,104.46		(226,348,104.46)			
30102	REHAB/REPAIR MARITIME										
30103	D21RVE- MARITIME										
30104	D36RVE- CENTRAL ADMIN										
30105	RESIDENCE HALL CAMPUS LET BOND PROCEEDS										
30106	REHAB/REPAIR ALBANY			24,101.51		64,073.99		(64,073.99)			
30107	D01RVE- ALBANY										
30108	REHAB/REPAIR BINGHAMTON										
30109	D07RVE- BINGHAMTON										
30110	REHAB/REPAIR BUFFALO UNIVERSITY										
30111	D28RVE- SUNY BUFFALO										
30112	REHAB/REPAIR STONYBROOK										
30113	D13RVE- STONYBROOK										
30114	REHAB/REPAIR BROOKLYN										
30115	D14RVE- HSC BROOKLYN										
30116	REHAB/REPAIR SYRACUSE										
30117	D15RVE- HSC SYRACUSE										
30118	REHAB/REPAIR BROOKPORT										
30119	D02RVE- BROOKPORT										
30120	REHAB/REPAIR BUFFALO COLLEGE										
30121	D03RVE- SUB BUFFALO										
30122	REHAB/REPAIR CORTLAND										
30123	D04RVE- CORTLAND										
30124	REHAB/REPAIR FREDONIA										
30125	D05RVE- FREDONIA										
30126	REHAB/REPAIR GENESIO										
30127	D06RVE- GENESIO										
30128	REHAB/REPAIR OLD WESTBURY										
30129	D31RVE- OLD WESTBURY										
30130	REHAB/REPAIR NEW PALTZ										
30131	D08RVE- NEW PALTZ										
30132	REHAB/REPAIR ONEONTA										
30133	D09RVE- ONEONTA										
30134	REHAB/REPAIR OSWEGO										
30135	D10RVE- OSWEGO										
30136	REHAB/REPAIR PLATTSBURGH										
30137	D11RVE- PLATTSBURGH										
30138	REHAB/REPAIR POTSDAM										
30139	D12RVE- POTSDAM										
30140	REHAB/REPAIR PURCHASE										
30141	D38RVE- PURCHASE										
30142	REHAB/REPAIR FOR UTICA/ROME										
30143	D27RVE- CAMPUS RESERVE										
30144	REHAB/REPAIR ALFRED										
30145	D22RVE- ALFRED										
30146	REHAB/REPAIR CANTON										
30147	D23RVE- CANTON										
30148	REHAB/REPAIR COBLESKILL										
30149	D24RVE- COBLESKILL										
30150	REHAB/REPAIR DELHI										
30151	D25RVE- DELHI										
30152	REHAB/REPAIR FARMINGDALE										
30153	D26RVE- FARMINGDALE										
30154	REHAB/REPAIR MORRISVILLE										
30351	D27RVE- MORRISVILLE										
30501	STATE PARK INFRASTRUCTURE			64,880,325.64		73,653,103.41		11,547,768.86		85,200,872.27	
30502	CW/CA IMPLEMENTATION DEC										
30503	CW/CA IMPLEMENTATION STATE										
30504	CW/CA IMPLEMENTATION ERDA										
30505	CW/CA IMPLEMENTATION EFC										
31506	HAZARDOUS WASTE CLEAN UP										
31701	YOUTH FACILITIES IMPROVEMENT			142,360,077.05		148,531,435.53		8,683,582.73		157,215,018.26	
31801	HOUSING ASSISTANCE			48,481,855.88		59,460,060.71		2,644,786.40		62,104,847.11	
31851	HOUSING PROG FD-HSG TR FD CORP			13,108,506.19		13,108,506.19		-		13,108,506.19	
31852	HOUSING PROG FD AFFORD HSG CORP			145,865,586.15		149,855,136.15		-		149,855,136.15	
31853	HOUSING PROG FD-DEPT OF SOCIAL SERVICES			57,911,177.48		65,116,000.11		-		65,116,000.11	
31854	HOUSING PROG FD-HEA			118,513,306.94		134,263,306.94		-		134,263,306.94	
31951	HIGHWAY FAC PURPOSE			12,366,984.78		12,366,984.78		13,315.46		12,380,300.24	

APPENDIX F

STATE OF NEW YORK
SCHEDULE OF MONTH-END TEMPORARY LOANS OUTSTANDING(*)

SFS Fund	ACCOUNT TITLE	July 31, 2018	August 31, 2018	September 30, 2018	Change	October 31, 2018
32213	NY RACING ACCOUNT	153,750.00	153,750.00	153,750.00	-	153,750.00
32214	CAPITAL PROJECT MISC GIFTS	-	-	-	-	-
32215	IT CAPITAL FINANCING ACCT	-	767,873.85	775,143.16	8,922.61	784,065.77
32219	NY ENVIRONMENTAL PROTECTION & SPILL REMEDIATION	5,809,415.20	-	-	-	-
32301	OPWDD-STATE FACILITIES PRE 12/99	-	-	-	-	-
32302	DSAS-COMMUNITY FACILITIES	-	-	-	-	-
32303	OMH-COMMUNITY FACILITIES	165,393,405.80	169,039,275.96	161,865,853.21	1,677,091.46	163,542,944.67
32304	OPWDD-COMMUNITY FACILITIES	-	-	-	-	-
32305	OASAS-COMMUNITY FACILITIES	273,217,060.02	274,865,310.02	278,124,610.18	(22,408,741.42)	255,715,868.76
32306	DASNY - OMH ADMIN	19,122,565.71	18,009,874.30	14,809,191.46	(2,167,428.55)	12,641,762.91
32307	DASNY - OPWDD ADMIN	11,814,711.01	10,302,117.20	10,302,117.20	(7,733,952.33)	2,568,164.87
32308	DASNY - OASAS ADMIN	2,255,583.90	1,952,946.02	1,952,946.02	(1,220,268.80)	732,677.42
32309	OMH - STATE FACILITIES	197,211,106.13	207,942,082.22	205,262,892.31	(17,418,064.48)	187,844,827.83
32310	OPWDD - STATE FACILITIES	-	-	-	-	-
32311	OASAS - STATE FACILITIES	49,496.38	53,606.38	53,606.38	616,805.66	670,412.04
32351	CORR. FACILITIES CAPITAL IMPROVEMENT	283,175,776.24	322,132,004.70	355,462,648.11	33,334,435.15	388,797,083.26
32352	DOCS-REHABILITATION PROJECTS	80,641,650.58	83,322,578.30	84,316,108.09	325,707.15	84,641,815.24
33001	STORM RECOVERY ACCOUNT	1,629,692,355.48	1,721,723,583.20	1,995,845,576.39	(218,506,218.35)	1,777,337,358.04
TOTAL CAPITAL AND BOND REIMBURSABLE FUNDS						
STATE SPECIAL REVENUE FUNDS						
20452	VOCATIONAL SCHOOL SUPERVISION	-	-	-	-	-
20501	LOCAL GOVERNMENT RECORDS MGMT	-	-	-	-	-
20810	CHILD HEALTH INSURANCE	-	18,815,836.25	45,123,170.34	(45,123,170.34)	-
20818	EPIC PREMIUM ACCOUNT	-	319,495.25	11,830,683.30	(11,830,683.30)	-
20901	LOTTERY-EDUCATION	-	-	1,335,956,045.23	(254,278,645.97)	1,081,677,399.26
20904	VLT EDUCATION	-	-	-	-	-
21001	ENVIR FAC CORP ADM ACCT	-	-	-	-	-
21002	ENCON ADMIN ACCT	3,671,681.10	3,753,517.21	3,815,781.29	80,198.04	3,895,979.33
21061	HAZARDOUS BULK STORAGE	-	-	-	-	-
21064	UTILITY ENVIRONMENTAL REGULATORY ACCOUNT	-	-	-	-	-
21065	FEDERAL GRANTS INDIRECT COST RECOVERY ACCOUNT	2,340,111.64	-	502,131.45	949,019.56	1,451,151.01
21066	ENCON-LOW LEVEL RADIOACTIVE WASTE SITING	4,091,848.26	3,831,224.96	4,002,371.89	187,520.48	4,189,892.37
21067	ENCON-RECREATION	203,567.50	-	-	-	-
21077	PUBLIC SAFETY RECOVERY ACCOUNT	-	-	-	-	-
21081	ENVIRONMENTAL REGULATORY	47,035,817.88	48,293,147.72	43,303,518.17	(1,341,800.67)	41,961,717.50
21082	NATURAL RESOURCES ACCOUNT	13,964,109.99	13,905,143.11	13,388,009.95	(152,653.61)	13,235,356.34
21084	MINED LAND RECLAMATION ACCT	-	-	-	-	-
21087	GREAT LAKES RESTORATION INITIATIVE	-	-	-	-	-
21201	AUDIT AND CONTROL OIL SPILL	289,265.07	470,882.66	521,474.68	80,020.81	601,495.49
21202	HEALTH DEPT OIL SPILL	100,890.34	165,229.16	190,882.09	37,816.97	228,699.06
21203	DEPT OF ENVIRONMENTAL CONSERVATION OIL SPILL	8,175,931.46	9,860,630.03	11,437,109.92	1,895,258.13	13,332,368.05
21204	OIL SPILL COMPENSATION	-	-	-	-	-
21205	LICENSE FEE SURCHARGES	-	-	-	-	-
21401	PUBLIC TRANSPORTATION SYSTEMS	-	47,735,804.77	20,280,452.03	(20,280,452.03)	-
21402	METROPOLITAN MASS TRANSPORTATION	26,179,368.44	26,976,949.51	27,315,858.78	(2,609,921.29)	24,705,937.49
21451	OPERATING PERMIT PROGRAM	938,586.62	804,687.49	5,066,732.61	(3,124,953.37)	1,941,779.24
21452	MOBILE SOURCE	-	-	-	-	-
21902	HEALTH-SPARC'S	-	-	-	-	-
21905	THRUWAY AUTHORITY ACCT	-	-	-	-	-
21907	MENTAL HYGIENE PROGRAM	-	-	-	-	-
21909	MENTAL HYGIENE PATIENT INCOME ACCOUNT	-	-	-	-	-
21911	FINANCIAL CONTROL BOARD	512,002.31	787,197.42	966,842.85	(625,188.84)	340,654.01
21912	RACING REGULATION ACCOUNT	3,052,059.37	3,631,399.23	3,169,491.22	(262,802.07)	2,906,689.15
21913	NY METROPOLITAN TRANSPORTATION COUNCIL	18,292,577.21	18,292,577.21	18,292,577.21	-	18,292,577.21
21937	SU DORM INCOME REIMBURSE	156,597.50	908,643.51	517,630.68	5,113,237.36	5,630,868.04
21945	CRIMINAL JUSTICE IMPROVEMENT	-	-	-	-	-
21959	ENV LAB REF FEE	-	-	-	-	-
21962	CLINICAL LAB FEE	11,246,508.18	10,867,197.87	12,051,694.82	(2,072,088.44)	9,979,606.38
21978	INDIRECT COST RECOVERY	-	-	-	-	-
21979	HIGH SCHOOL EQUIVALENCY PROGRAM	-	-	-	-	-
21989	MULTI - AGENCY TRAINING ACCOUNT	-	-	-	-	-
22003	BELL JAR COLLECTION ACCOUNT	-	-	-	-	-
22004	INDUSTRY AND UTILITY SERVICE	-	-	-	-	-
22006	REAL PROPERTY DISPOSITION	-	-	-	-	-
22007	PARKING ACCOUNT	-	-	-	-	-
22008	COURTS SPECIAL GRANTS	-	-	-	-	-
22009	ASBESTOS SAFETY TRAINING	143,382.18	168,846.63	161,553.07	(12,694.92)	148,858.15
22032	BATAVIA SCHOOL FOR THE BLIND	7,068,496.06	8,852,710.48	8,669,942.58	455,361.26	9,125,303.84
22034	INVESTMENT SERVICES	-	-	-	-	-
22036	SURPLUS PROPERTY ACCOUNT	-	-	-	-	-
22039	FINANCIAL OVERSIGHT	242,586.81	951,967.82	1,237,810.02	(884,143.71)	353,666.31
22046	REGULATION INDIAN GAMING	75,797,458.06	76,233,525.51	76,429,334.04	1,467,429.66	77,892,763.70
22053	ROME SCHOOL FOR THE DEAF	-	1,323,589.23	1,298,444.64	644,392.29	1,903,836.93

APPENDIX F

STATE OF NEW YORK
SCHEDULE OF MONTH-END TEMPORARY LOANS OUTSTANDING(*)

SFS Fund	Account Title	July 31, 2018	August 31, 2018	September 30, 2018	Change	October 31, 2018
22054	DSP-SEIZED ASSETS	5,224,254.65	5,175,238.36	5,071,414.80	(23,730.97)	5,047,683.83
22055	ADMINISTRATIVE ADJUDICATION	7,632,177.87	11,480,901.67	18,398,252.43	(2,234,676.73)	16,163,675.70
22056	FEDERAL SALARY SHARING	1,766,955.31	2,046,519.13	2,176,990.23	285,745.71	2,442,735.94
22062	NYC ASSESSMENT ACCT	-	-	-	-	-
22063	CULTURAL EDUCATION ACCOUNT	1,704,557.01	4,662,329.86	4,182,439.10	695,200.48	4,877,639.58
22078	LOCAL SERVICE ACCOUNT	-	-	-	-	-
22085	DHCR MORTGAGE SERVICES	11,611,529.06	11,863,827.72	12,105,889.22	336,421.54	12,442,310.76
22090	HOUSING INDIRECT COST RECOVERY	508,329.17	766,505.95	1,016,500.62	1,374,346.98	1,374,346.98
22100	DHCR-HOUSING CREDIT AGENCY APPLY FEE	3,796,925.46	4,800,436.64	5,383,285.40	413,766.03	5,797,051.43
22130	LOW INCOME HOUSING CREDIT MONITORING	-	-	-	-	-
22135	EFC-CORPORATION ADMINISTRATION	-	-	-	-	-
22144	MONTROSE VETERAN'S HOME	-	-	-	-	-
22151	DEFERRED COMPENSATION ADMIN	234,613.46	293,684.14	350,193.77	72,794.33	422,988.10
22156	RENT REVENUE OTHER - NYC	-	4,941,767.90	16,262,015.39	4,560,076.49	20,822,091.88
22158	RENT REVENUE	40,491.69	-	-	-	-
22188	TAX REVENUE ARREARAGE ACCOUNT	19,880,903.49	19,913,275.02	19,949,684.15	33,546.50	19,983,232.65
22654	S.U. NON-RESIDENT REV. OFFSET	-	-	-	-	-
22751	LAKE GEORGE PARK TRUST FUND	-	-	-	-	-
22802	STATE POLICE MV ENFORCE	-	-	-	-	-
23001	DOT - HIGHWAY SAFETY PRGM	11,271,846.73	11,181,409.77	11,435,858.09	329,971.23	11,765,832.32
23102	DOH DRINKING WATER PROGRAM	5,350,949.70	5,350,949.70	5,350,949.70	-	5,350,949.70
23151	NYCCC OPERATING OFFSET	37,839,433.03	40,309,535.66	42,776,722.91	3,471,440.49	46,246,163.40
23701	COMMERCIAL GAMING REVENUE	-	-	-	-	-
23702	COMMERCIAL GAMING REGULATION	10,489,121.37	10,928,723.70	11,284,717.09	471,546.84	11,756,263.93
23800	INTERSTATE RECIPROCITY FOR POST SEC DIST ED	-	-	-	-	-
23801	HIGHWAY USE TAX ADMIN	-	-	-	-	-
23806	NY'S SECURE CHOICE ADMIN	-	-	-	-	-
24951	FANTASY SPORTS ADMINISTRATION	-	-	-	-	-
	TOTAL STATE SPECIAL REVENUE FUNDS	340,854,853.98	430,965,299.05	1,801,241,455.76	(322,939,890.70)	1,478,301,565.06
	FEDERAL FUNDS					
25000-25099	FEDERAL USDA/FOOD AND NUTRITION SERVICES FUND	8,313,562.81	8,808,884.60	5,304,239.37	4,808,050.24	10,112,289.61
25100-25199	FEDERAL HEALTH AND HUMAN SERVICES FUND	670,179,946.70	481,228,563.29	483,007,222.93	177,395,273.96	660,402,496.89
25200-25249	FEDERAL EDUCATION GRANTS FUND	15,890,667.11	58,830,191.63	148,693,136.60	28,013,868.28	176,707,004.88
25250-25299	FEDERAL DHHS BLOCK GRANTS	-	-	-	-	-
25300-25399	FEDERAL OPERATING GRANTS FUND	471,449,621.70	450,317,635.89	453,711,934.08	9,213,703.94	462,925,638.02
31351	MILITARY AND NAVAL AFFAIRS	8,583,528.84	8,661,821.31	8,661,821.31	286,739.07	8,948,560.38
31350-31449	FEDERAL CAPITAL PROJECTS FUND (ALL OTHER)	430,608,908.81	383,017,093.31	288,605,546.65	180,534,726.18	468,140,272.83
25900-25949	UNEMPLOYMENT INSURANCE ADMINISTRATION	118,643,882.24	77,907,972.51	93,467,777.90	16,202,476.69	109,670,254.59
25950	FEDERAL UNEMPLOYMENT INS OCCUPATIONAL TRAINING	9,778,291.06	9,876,080.00	6,245,805.25	7,980,320.60	14,226,125.85
26001-26049	DOL EMPLOYMENT AND TRAINING GRANTS	423,322.87	348,658.12	457,895.25	(65,507.00)	392,388.25
	TOTAL FEDERAL FUNDS	1,743,221,059.85	1,480,046,865.58	1,488,655,690.10	425,249,826.25	1,913,905,516.35
	AGENCY FUNDS					
60201	EMPLOYEES HEALTH INSURANCE ACCT	-	-	-	-	-
60901	MMIS - STATE AND FEDERAL	-	-	-	-	-
	TOTAL AGENCY FUNDS					
	ENTERPRISE FUND					
50318	OGS CONVENTION CENTER ACCOUNT	137,921.02	148,512.25	240,354.11	95,631.84	335,985.95
50327	EMPIRE PLAZA GIFT SHOP	137,921.02	172,522.79	195,148.23	26,283.34	221,431.57
	TOTAL ENTERPRISE FUND	137,921.02	321,035.04	435,502.34	121,915.18	557,417.52
	INTERNAL SERVICE FUNDS					
55001	CENTRALIZED SERVICES-FLEET MGMT	-	109,704.24	205,298.95	192,479.78	397,778.73
55002	CENTRALIZED SERVICES-DATA PROCESSING	-	-	-	-	-
55003	CENTRALIZED SERVICES-PRINTING	1,269,125.38	1,372,727.11	1,300,275.54	(11,738.32)	1,288,537.22
55004	CENTRALIZED SERVICES-REAL PROPERTY-LABOR	-	-	-	-	-
55005	CENTRALIZED SERVICES-DONATED FOODS	-	-	-	-	-
55006	CENTRALIZED SERVICES-PERSONAL PROPERTY	-	-	-	-	-
55007	CENTRALIZED SERVICES-CONSTRUCTION SERVICES	1,631,379.93	1,711,913.46	2,269,932.36	283,596.98	2,553,529.34
55008	CENTRALIZED SERVICES-PASNY	13,515,630.14	13,450,335.79	14,593,520.78	610,235.50	15,203,756.28
55009	CENTRALIZED SERVICES-ADMIN SUPPORT	-	-	-	-	-
55010	CENTRALIZED SERVICES-DESIGN AND CONSTR	9,182,412.87	6,690,630.21	9,915,313.25	(777,924.61)	9,137,386.64
55011	CENTRALIZED SERVICES-INSURANCE	1,307,095.90	88,945.34	169,610.34	12,269.00	181,879.34
55012	CENTRALIZED SERVICES-SECURITY CARD ACCESS	99,692.66	-	-	-	-
55013	CENTRALIZED SERVICES-COPS	-	-	-	-	-
55014	CENTRALIZED SERVICES-FOOD SERVICES	-	-	-	-	-
55015	CENTRALIZED SERVICES-HOMER FOLKS	1,239,761.97	1,989,176.56	1,946,265.72	88,568.91	2,034,835.63
55016	CENTRALIZED SERVICES-HOMICS	289,965.40	326,869.81	80,407.94	80,407.94	423,277.75
55017	DOWNSTATE WAREHOUSE	5,154,788.45	6,876,095.25	6,314,966.09	466,218.41	6,781,184.50
55018	BUILDING ADMINISTRATION	-	-	-	-	-

APPENDIX F

STATE OF NEW YORK
SCHEDULE OF MONTH-END TEMPORARY LOANS OUTSTANDING(*)

SFS Fund	ACCOUNT TITLE	July 31, 2018	August 31, 2018	September 30, 2018	Change	October 31, 2018
55019	LEASE SPACE INITIATIVE	-	-	-	-	-
55020	OGS ENTERPRISE CONTRACTING ACCT	28,177,431.59	28,344,086.01	36,574,550.38	4,218,589.07	40,793,139.45
55021	NYS MEDIA CENTER	4,545,079.26	4,953,638.09	4,911,510.10	123,859.16	5,035,369.26
55022	BUSINESS SERVICES CENTER	988,013.55	2,538,046.20	3,464,448.54	932,777.84	4,397,226.38
55052	ARCHIVES RECORD MGMT I.S.	-	-	-	-	-
55053	FEDERAL SINGLE AUDIT	-	-	-	-	-
55056	CIVIL SERVICE EHS OCCUP HEALTH PROG	-	-	24,003.63	128,115.60	152,119.13
55057	BANKING SERVICES ACCOUNT	14,072.04	37,066.01	4,391.50	37,533.98	41,925.48
55058	CULTURAL RESOURCES ACCOUNT	1,913,408.14	2,371,919.75	2,740,065.32	255,693.21	2,995,758.53
55059	NEIGHBOR WORK PROJECT	10,317,622.89	10,610,712.93	10,108,519.75	(42,719.25)	10,065,800.50
55060	AUTOMATIC/PRINT CHARGBACKS	1,445,768.43	1,542,811.47	-	325,535.26	325,535.26
55061	OFT NYT ACCT	2,073,791.51	2,048,108.24	2,048,108.24	(5,590.00)	2,042,518.24
55062	DATA CENTER ACCOUNT	45,448,438.77	45,448,438.77	45,448,438.77	-	45,448,438.77
55066	CYBER SECURITY INTRUSION ACCT	1,261,584.27	1,261,584.27	1,261,584.27	-	1,261,584.27
55067	DOMESTIC VIOLENCE GRANT	25,836.39	59,599.92	53,682.08	21,177.36	74,839.44
55069	CENTRALIZED TECHNOLOGY SERVICES	64,043,031.43	65,030,746.28	64,677,556.18	1,636,856.43	66,314,412.61
55071	LABOR CONTACT CENTER ACCT	115,929.13	499,082.65	648,542.60	197,539.22	846,081.82
55072	HUMAN SERVICES CONTACT CNTR ACCT	1,600,689.80	-	1,722,758.08	1,201,318.72	2,924,076.80
55073	TAX CONTACT CENTER ACCT	-	-	-	-	-
55074	CIVIL RECOVERIES ACCT	13,967,911.29	15,889,182.52	17,463,527.93	642,223.16	18,105,751.09
55231	EXECUTIVE DIRECTION INTERNAL AUDIT	8,435,473.80	8,682,283.72	9,235,869.61	465,265.13	9,779,974.74
55232	CIO INFORMATION TECHNOLOGY CENTRALIZED SERVICES	31,243,061.84	33,465,311.20	35,620,228.00	2,465,516.70	38,103,742.70
55300	HEALTH INSURANCE INTERNAL SERVICE	8,343,867.82	11,252,413.79	12,224,302.62	1,257,285.65	13,481,588.47
55301	CIVIL SERVICE EMPLOYEE BENEFITS DIV ADM	3,774,957.78	3,626,101.63	3,783,004.77	(719,622.37)	3,063,382.40
55350	CORR INDUSTRIES INTERNAL SERVICE	27,906,736.42	29,184,676.70	30,931,174.05	1,661,249.15	32,592,423.20
	TOTAL INTERNAL SERVICE FUNDS	289,532,988.75	299,997,195.72	320,067,237.16	15,784,718.81	335,846,955.97
	GRAND TOTAL - TEMPORARY LOANS OUTSTANDING	\$ 4,003,439,979.08	\$ 3,933,048,992.59	\$ 5,606,240,461.75	\$ (100,291,648.81)	\$ 5,505,948,812.94

(*) Temporary Loans are authorized pursuant to Subdivision 5 of Section 4 of the State Finance Law and Chapter 59, Part BBB, Section 1, of the Laws of 2018-19. The loans represent authorizations made by the Legislature to allow certain funds/accounts to make appropriated payments regardless of the fund (cash) balance. Such loans are made from the State's Short-Term Investment Pool (STIP) and are intended to satisfy temporary cash shortfalls whenever scheduled disbursements exceed available revenues during the fiscal year. Generally, temporary loans are repaid from the first cash receipts of the fund or account; however, in some cases actual revenues are not sufficient to repay all loans made to the fund or account and a transfer from the General Fund "Repayment of Receivables" appropriation is approved by the Budget Director.

The balances reported here in Appendix F are the actual fund balances as of the close of business on the last day of the reporting month and do not include post-closing adjustments. Please refer to Schedule 1 for a detailed analysis of the "reported" cash balances of the fund group.

(**) Temporary loans to federal funds are typically reimbursed within 2-3 days. Such loans are made pursuant to federal regulations which require the State to disburse funds prior to making a reimbursement claim from the U.S. Treasury.

(***) Per Section 72 of the State Finance Law, the General Fund includes the Local Assistance Fund (10000) and State Purpose Fund (10050).

(*) Fund created pursuant to Chapter 60, Laws of 2015-16, Part H and SFL 93-b

(**) Pursuant to Section 93(b) of the State Finance Law

STATE OF NEW YORK

Interim Financial Report Governmental Funds

For the six months ended September 30, 2018

(Pursuant to Section 8(9a) of the State Finance Law)



**Office of the New York State Comptroller
Thomas P. DiNapoli, Comptroller**

Bureau of Financial Reporting and Oil Spill Remediation

STATE OF NEW YORK
Combined Balance Sheet
Governmental Funds
September 30, 2018
(Amounts in thousands)(Unaudited)

	General	Federal Special Revenue	Special Revenue	Debt Service	Capital Projects
ASSETS:					
Cash and investments.....	\$ 5,849,504	\$ 637,801	\$ 5,775,747	\$ 1,092,397	\$ 2,266,307
Receivables, net of allowances for uncollectibles:					
Taxes.....	5,807,822	-	620,880	4,683,425	55,513
Due from Federal government.....	8	8,885,538	-	3,701	424,657
Other.....	1,097,896	605,700	966,068	87,625	146,589
Due from other funds.....	3,032,905	86,662	39,523	391,097	1,141,246
Other assets.....	1,371,016	7,330	175	-	-
Total assets.....	\$ 17,159,151	\$ 10,223,031	\$ 7,402,393	\$ 6,258,245	\$ 4,034,312
LIABILITIES:					
Tax refunds payable.....	\$ 4,917,416	\$ -	\$ 523,447	\$ 3,959,420	\$ 23,430
Accounts payable.....	278,271	327,837	30,155	687	362,907
Accrued liabilities.....	2,155,120	2,602,852	21,853	23,063	80,363
Payable to local governments.....	17,523,812	4,480,814	2,549,504	351,029	151,709
Due to other funds.....	3,682,564	1,213,858	119,369	305,690	1,733,863
Pension contributions payable.....	374,482	-	-	-	-
Unearned revenues.....	143,410	841,749	-	1,850	516
Total liabilities.....	29,075,075	9,467,110	3,244,328	4,641,739	2,352,788
DEFERRED INFLOWS OF RESOURCES.....	685,764	734,677	277,780	260,198	17,804
FUND BALANCES:					
Restricted.....	69	21,244	581,018	657,506	171,571
Committed.....	9,938	-	3,035,520	698,802	2,214,827
Assigned.....	241,146	-	2,771,253	-	69,668
Unassigned.....	(12,852,841)	-	(2,507,506)	-	(792,346)
Total fund balances.....	(12,601,688)	21,244	3,880,285	1,356,308	1,663,720
Total liabilities, deferred inflows of resources and fund balances.....	\$ 17,159,151	\$ 10,223,031	\$ 7,402,393	\$ 6,258,245	\$ 4,034,312

See accompanying notes to the financial statements.

STATE OF NEW YORK
 Combined Statement of Revenues, Expenditures and Changes in Fund Balances (Deficits)
 Governmental Funds
 For the Six Months Ended September 30, 2018
 (Amounts in thousands)(Unaudited)

	General		Federal Special Revenue		Special Revenue		Debt Service		Capital Projects	
	Quarter Ended September 30, 2018	6 mos. Ended September 30, 2018	Quarter Ended September 30, 2018	6 mos. Ended September 30, 2018	Quarter Ended September 30, 2018	6 mos. Ended September 30, 2018	Quarter Ended September 30, 2018	6 mos. Ended September 30, 2018	Quarter Ended September 30, 2018	6 mos. Ended September 30, 2018
REVENUES:										
Fees.....	\$ 9,130,852	\$ 17,023,252	\$ -	\$ -	\$ 886,655	\$ 1,838,739	\$ 7,383,938	\$ 16,023,230	\$ 377,491	\$ 696,969
Federal grants.....	176	186	16,709,606	30,469,607	2,545,975	3,779,934	157,433	17,433	530,042	864,846
Miscellaneous.....	925,290	2,039,159	23,327	69,124	-	-	109,773	204,359	439,688	877,746
Total revenues.....	10,056,320	19,062,597	16,732,933	30,538,731	3,432,630	5,618,673	7,511,144	16,245,031	1,347,221	2,439,561
EXPENDITURES:										
Local assistance grants.....	23,805,046	38,234,925	15,633,642	28,401,590	2,894,943	8,905,953	-	-	1,141,265	2,217,552
State operations.....	4,341,598	8,706,176	551,975	958,101	85,222	212,836	9,938	21,409	-	-
Debt service, including payments on financing arrangements.....	-	-	-	-	-	-	931,812	1,563,548	-	-
Capital construction.....	-	-	-	-	-	-	-	-	1,661,629	3,026,091
Total expenditures.....	28,146,644	46,941,101	16,185,617	29,359,691	2,980,165	9,118,789	941,750	1,584,957	2,802,894	5,243,643
Excess (deficiency) of revenues over expenditures.....	(18,090,324)	(27,878,504)	547,316	1,179,040	452,465	(3,500,116)	6,569,394	14,660,074	(1,455,673)	(2,804,082)
OTHER FINANCING SOURCES (USES):										
Transfers from other funds.....	7,410,626	16,329,244	-	-	877,176	1,811,924	637,240	1,329,898	1,236,263	2,415,102
Transfers to other funds.....	(2,490,873)	(5,723,982)	(539,177)	(1,168,524)	(39,718)	(61,879)	(7,493,705)	(16,485,408)	(386,311)	(595,627)
Financing arrangements issued.....	-	-	-	-	-	-	-	-	817,420	817,420
Refunding debt issued.....	-	-	-	-	-	-	509,430	509,430	-	-
Payments to escrow agents for refundings.....	-	-	-	-	-	-	(576,291)	(576,291)	-	-
Premiums on bonds issued.....	-	-	-	-	-	-	67,112	67,112	-	-
Net other financing sources (uses).....	4,919,753	10,605,262	(539,177)	(1,168,524)	837,458	1,750,045	(6,856,214)	(15,155,259)	1,808,592	2,868,115
Net change in fund balances.....	(13,170,571)	(17,273,242)	8,139	10,516	1,289,923	(1,750,071)	(286,820)	(495,185)	352,919	64,033
Fund balances (deficits) at beginning of period.....	568,883	4,671,554	13,105	10,728	2,590,362	5,630,356	1,643,128	1,851,493	1,310,801	1,599,687
Fund balances (deficits) at September 30, 2018.....	(12,601,688)	(12,601,688)	21,244	21,244	3,880,285	3,880,285	1,356,308	1,356,308	1,663,720	1,663,720

See accompanying notes to financial statements.

STATE OF NEW YORK
Notes to Financial Statements
September 30, 2018 (Unaudited)

NOTE 1

The accounting policies and methods of estimating and accumulating financial data for preparation of the September 30, 2018 interim financial statements for governmental fund types are similar to those used to prepare the March 31, 2018 governmental fund financial statements.

NOTE 2

Within the governmental funds, transfers to other funds exceeded transfers from other funds by \$2,059 million. Subsidies to the State University of New York and the Senior Colleges of the City University of New York totaling \$3,660 million are reported as transfers to other funds offset by \$1,601 million in Enterprise Funds subsidies which are reported as transfers from other funds.

NOTE 3

The following table presents a reconciliation of the budgetary cash basis operating results for the six months ended September 30, 2018 as reported in the State Register, with the operating results for Governmental Fund Types prepared in accordance with generally accepted accounting principles (amounts in thousands):

	<u>General</u>	<u>Federal Special Revenue</u>	<u>Special Revenue</u>	<u>Debt Service</u>	<u>Capital Projects</u>
Excess (deficiency) of receipts and other financing sources over (under) disbursements and other financing uses	\$ (2,994,100)	\$ (112,200)	\$ 1,822,200	\$ 180,600	\$ (311,100)
Entity differences:					
Receipts and other financing sources over (under) disbursements and other financing uses for funds and accounts not included in the cash basis financial plan	(428,802)	(62,566)	(74,579)	(625,933)	261,356
Perspective differences:					
Receipts and other financing sources over (under) disbursements and other financing uses of the Miscellaneous Special Account, Infrastructure Trust Account, Child Performer's Protection Account and Charter Schools Stimulus Account, which are treated as Special Revenue Funds in the financial plan and as part of the General Fund for GAAP reporting	377,236	-	(377,236)	-	-
College and University Funds	-	-	(590,118)	-	41,311
Lottery Fund	-	-	721,532	-	-
Temporary interfund cash loans	(575,657)	225,991	24,142	-	325,524
Basis of accounting differences:					
To adjust for revenue accruals	(967,804)	(1,605,288)	(932,617)	(282,998)	84,747
To adjust for expenditure accruals	(12,684,115)	1,564,579	(2,343,395)	233,146	(337,805)
Net change in fund balances	\$ (17,273,242)	\$ 10,516	\$ (1,750,071)	\$ (495,185)	\$ 64,033

STATE OF NEW YORK
Notes to Financial Statements
September 30, 2018 (Unaudited) (cont'd)

NOTE 4

During the six months ended September 30, 2018, there were two debt refunding issues. The impact of these issues is presented in the following table (amounts in thousands):

Issue Description	Refunding Amount	Refunded Amount	Cash Flow Gain	Present Value Gain
NYS Dormitory Authority Sales Tax Bond Series 2018C	\$ 491,130	\$ 563,108	\$ 63,879	\$ 64,885
NYS Dormitory Authority Sales Tax Bond Series 2018D	18,300	18,000	1,421	1,495
Total	\$ 509,430	\$ 581,108	\$ 65,300	\$ 66,380

Office of the New York State Comptroller

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Office of Operations – Division of Payroll, Accounting and Revenue Services

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