
NEW YORK STATE **REGISTER**

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State agencies must specify in each notice which proposes a rule the last date on which they will accept public comment. Agencies must always accept public comment: for a minimum of 60 days following publication in the *Register* of a Notice of Proposed Rule Making, or a Notice of Emergency Adoption and Proposed Rule Making; and for 45 days after publication of a Notice of Revised Rule Making, or a Notice of Emergency Adoption and Revised Rule Making in the *Register*. When a public hearing is required by statute, the hearing cannot be held until 60 days after publication of the notice, and comments must be accepted for at least 5 days after the last required hearing. When the public comment period ends on a Saturday, Sunday or legal holiday, agencies must accept comment through the close of business on the next succeeding workday.

For notices published in this issue:

- the 60-day period expires on December 29, 2019
- the 45-day period expires on December 14, 2019
- the 30-day period expires on November 29, 2019

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NEW YORK STATE DEPARTMENT OF STATE

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NEW YORK STATE REGISTER

Be a part of the rule making process!

The public is encouraged to comment on any of the proposed rules appearing in this issue. Comments must be made in writing and must be submitted to the agency that is proposing the rule. Address your comments to the agency representative whose name and address are printed in the notice of rule making. No special form is required; a handwritten letter will do. Individuals who access the online *Register* (www.dos.ny.gov) may send public comment via electronic mail to those recipients who provide an e-mail address in Notices of Proposed Rule Making. This includes Proposed, Emergency Proposed, Revised Proposed and Emergency Revised Proposed rule makings.

To be considered, comments should reach the agency before expiration of the public comment period. The law provides for a minimum 60-day public comment period after publication in the *Register* of every Notice of Proposed Rule Making, and a 45-day public comment period for every Notice of Revised Rule Making. If a public hearing is required by statute, public comments are accepted for at least five days after the last such hearing. Agencies are also required to specify in each notice the last date on which they will accept public comment.

When a time frame calculation ends on a Saturday or Sunday, the agency accepts public comment through the following Monday; when calculation ends on a holiday, public comment will be accepted through the following workday. Agencies cannot take action to adopt until the day after expiration of the public comment period.

The Administrative Regulations Review Commission (ARRC) reviews newly proposed regulations to examine issues of compliance with legislative intent, impact on the economy, and impact on affected parties. In addition to sending comments or recommendations to the agency, please do not hesitate to transmit your views to ARRC:

Administrative Regulations Review Commission
State Capitol
Albany, NY 12247
Telephone: (518) 455-5091 or 455-2731

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KEY: (P) Proposal; (RP) Revised Proposal; (E) Emergency; (EP) Emergency and Proposal; (A) Adoption; (AA) Amended Adoption; (W) Withdrawal

Individuals may send public comment via electronic mail to those recipients who provided an e-mail address in Notices of Proposed Rule Making. This includes Proposed, Emergency Proposed, Revised Proposed and Emergency Revised Proposed rule makings. Choose pertinent issue of the *Register* and follow the procedures on the website (www.dos.ny.gov)

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AAM -the abbreviation to identify the adopting agency
01 -the *State Register* issue number
96 -the year
00001 -the Department of State number, assigned upon receipt of notice.
E -Emergency Rule Making—permanent action not intended (This character could also be: A for Adoption; P for Proposed Rule Making; RP for Revised Rule Making; EP for a combined Emergency and Proposed Rule Making; EA for an Emergency Rule Making that is permanent and does not expire 90 days after filing.)

Italics contained in text denote new material. Brackets indicate material to be deleted.

Office of Alcoholism and Substance Abuse Services

NOTICE OF ADOPTION

Appeals, Hearings and Rulings

I.D. No. ASA-32-19-00005-A

Filing No. 924

Filing Date: 2019-10-10

Effective Date: 2019-10-30

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following action:

Action taken: Amendment of Part 831 of Title 14 NYCRR.

Statutory authority: Mental Hygiene Law, sections 19.07, 19.09, 19.40, 32.01 and 32.07

Subject: Appeals, Hearings and Rulings.

Purpose: Protect patient confidentiality, update due process provisions, technical amendments.

Text or summary was published in the August 7, 2019 issue of the Register, I.D. No. ASA-32-19-00005-P.

Final rule as compared with last published rule: No changes.

Text of rule and any required statements and analyses may be obtained from: Carmelita Cruz, NYS OASAS, 1450 Western Avenue, Albany, NY 12203, (518) 485-2312, email: carmelita.cruz@oasas.ny.gov

Initial Review of Rule

As a rule that requires a RFA, RAFA or JIS, this rule will be initially reviewed in the calendar year 2022, which is no later than the 3rd year after the year in which this rule is being adopted.

Assessment of Public Comment

The agency received no public comment.

PROPOSED RULE MAKING NO HEARING(S) SCHEDULED

Limits on Administrative Expenses and Executive Compensation

I.D. No. ASA-44-19-00002-P

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following proposed rule:

Proposed Action: Amendment of Part 812 of Title 14 NYCRR. This rule is proposed pursuant to SAPA § 207(3), 5-Year Review of Existing Rules.

Statutory authority: Mental Hygiene Law, sections 19.07(e), 19.09(b), 19.40, 25.09, 32.01, 32.02, 32.07(a), 43.02; Not-for-Profit Corporation Law, section 508

Subject: Limits on administrative expenses and executive compensation.

Purpose: To define limits on administrative expenses and executive compensation in accordance with State law and Executive Order 38.

Substance of proposed rule (Full text is posted at the following State website: www.oasas.ny.gov): In addition to technical amendments and the Proposed Rule amends Part 812 as follows:

§ 812.3(l)(m) Definitions. Clarifies that the NY Division of Budget (DOB) will annually publish a list of government programs whose funds shall be considered State-authorized payments.

§ 812.5 Limits on Executive Compensation. Strikes subdivision (b) in compliance with the NY Court of Appeals decision regarding the cap on non-state funds which may be used for executive compensation.

Text of proposed rule and any required statements and analyses may be obtained from: Carmelita Cruz, NYS OASAS, 1450 Western Avenue, Albany, NY 12203, (518) 485-2312, email: Carmelita.Cruz@oasas.ny.gov

Data, views or arguments may be submitted to: Same as above.

Public comment will be received until: 60 days after publication of this notice.

Reasoned Justification for Modification of the Rule The proposed rule amends Part 812 regarding executive compensation limits established by Executive Order Number 38 in 2013. Part 812 is amended to comply with an October 2018 NY Court of Appeals decision invalidating a portion of the regulation as it relates to a cap on non-state funds which may be used for executive compensation. OASAS no longer enforces this provision of the regulation.

Regulatory Impact Statement

1. Statutory Authority:

(a) Section 19.07(e) of the Mental Hygiene Law authorizes the Commissioner ("Commissioner") of the Office to adopt standards including necessary rules and regulations pertaining to chemical dependence services.

(b) Section 19.09(b) of the Mental Hygiene Law authorizes the Commissioner to adopt regulations necessary and proper to implement any matter under his or her jurisdiction.

(c) Section 19.40 of the Mental Hygiene Law authorizes the Commissioner to issue operating certificates for the provision of chemical dependence services.

(d) Section 25.09 of the Mental Hygiene Law authorizes the Office to establish limits on the amount of financial support which may be advanced or reimbursed to a program for the administration of such program.

(e) Section 32.01 of the Mental Hygiene Law authorizes the Commissioner to adopt any regulation reasonably necessary to implement and effectively exercise the powers and perform the duties conferred by Article 32 of the Mental Hygiene Law.

(f) Section 32.02 of the Mental Hygiene Law authorizes the Commissioner to adopt regulations necessary to ensure quality services to those suffering from problem gambling.

(g) Section 32.07(a) of the Mental Hygiene Law authorizes the Commissioner to adopt regulations to effectuate the provisions and purposes of Article 32 of the Mental Hygiene Law.

(h) Section 43.02 of the Mental Hygiene Law authorizes the establishment of rates or methods of payment for services at facilities subject to licensure or certification by the Office.

(i) Executive Order Number 38 requires the promulgation of regulations and related actions to address the extent and nature of state funded providers' administrative costs and executive compensation which shall be eligible for reimbursement with State financial assistance or State-authorized payments for operating expenses.

(j) Section 508 of the Not for Profit Corporation Law requires any incidental profit from fees charged for services shall be applied to the maintenance, expansion or operation of the activities of the not for profit corporation and in no case be distributed among members, directors or officers of the corporation.

2. Legislative Objectives: The legislature has authorized OASAS to establish standards and regulations governing the provision of addiction treatment services, the certification of providers and to establish limits on the amount of financial support which may be advanced or reimbursed to a program for the administration of such program.

3. Needs and Benefits: An October 2018 NY Court of Appeals decision has invalidated portions of this regulation as it relates to a cap on non-state funds which may be used for executive compensation. As of the date of the decision, OASAS no longer enforces those provisions of the regulation.

4. Costs: No additional administrative costs to the agency are anticipated.

5. Paperwork: There is no additional paperwork beyond what is already required.

6. Local Government Mandates: There are no new local government mandates.

7. Duplication: This proposed rule does not duplicate, overlap, or conflict with any State or federal statute or rule.

8. Alternatives: The alternative is to leave the regulation as it currently reads and continue to not enforce those provisions that have been invalidated.

9. Federal Standards: This regulation does not conflict with federal standards.

10. Compliance Schedule: This rulemaking will be effective upon publication of a Notice of Adoption in the State Register.

Regulatory Flexibility Analysis

OASAS has determined that the rule will not impose any adverse economic impact or reporting, recordkeeping or other compliance requirements on small businesses or local governments because the amended portion of this regulation is already not being enforced by the Office.

Rural Area Flexibility Analysis

OASAS has determined that the rule will not impose any adverse impact on rural areas or reporting, recordkeeping or other compliance requirements on public or private entities in rural areas because the amended portion of this regulation is already not being enforced by the Office.

Job Impact Statement

OASAS is not submitting a Job Impact Statement for this rulemaking. OASAS does not anticipate a substantial adverse impact on jobs and employment opportunities because the amended portion of this regulation is already not being enforced by the Office.

Statutory authority: Correction Law, section 70

Subject: Reclassification of Correctional Facilities.

Purpose: The classification of Collins and Queensboro Correctional Facilities as Work Release facilities.

Text or summary was published in the July 10, 2019 issue of the Register, I.D. No. CCS-28-19-00003-EP.

Final rule as compared with last published rule: No changes.

Text of rule and any required statements and analyses may be obtained from: Adam Silverman, Deputy Commissioner and Counsel, NYS Department of Corrections and Community Supervision, 1220 Washington Avenue, Harriman State Campus, Albany, NY 12226-2050, (518) 457-4951, email: Rules@DOCCS.ny.gov

Initial Review of Rule

As a rule that requires a RFA, RAFA or JIS, this rule will be initially reviewed in the calendar year 2022, which is no later than the 3rd year after the year in which this rule is being adopted.

Assessment of Public Comment

This Bill reclassifies two facilities, Collins Correctional Facility ("Collins"), 7 NYCRR § 100.106 and Queensboro Correctional Facility ("Queensboro"), 7 NYCRR § 100.83, as Work Release Facilities ("WRF") for the purpose of maintaining the continuity of services and prevent interruption in the services provided to Work Release and Temporary Release programs due to the closing of Lincoln Correctional Facility ("Lincoln") and Livingston Correctional Facility on September 1, 2019.

The Department received one comment from Prisoners' Legal Services of New York ("PLS"). No individual members of the public submitted comments on the proposed rules. PLS provided no comment regarding the reclassification of Collins and opposes the reclassification of Queensboro as a Work Release Facility. Instead, PLS believes that Queensboro, a Residential Treatment Facility ("RTF") should be exclusively used as a RTF to house individuals subject to the housing restrictions imposed on sex offenders pursuant to Executive Law § 259-c(14).

On September 1, 2019, Lincoln and Collins were officially closed. The selection of correctional facilities for closure, including Lincoln, was based on a review of the operations of all 54 of the Department's facilities to determine which facilities are underutilized and could best save taxpayer dollars. Statewide, the current incarcerated population is at its lowest level in thirty years. New York State leads the nation with the lowest imprisonment rate of any large state. From its peak of 72,773 twenty years ago, the population has decreased by nearly 28,000 people, a 38 percent reduction.

The closure of a correctional facility requires moving staff, inmates, programs, services, and resources among other facilities. The closure of Lincoln and Livingston necessitated relocation of the facilities' Work Release programs, which are essential for incarcerated individuals' successful rehabilitation and re-entry into society. In response, the Department added the Work Release programs to Collins and Queensboro.

In addition to providing Work Release programs, Queensboro is a RTF and will continue to be utilized in that manner. The immediate reclassification of Queensboro to add work release programs was necessary to maintain continuity of the Department's work release programs in the New York City region. This reclassification affords the Department the ability to continue to offer Work Release to inmates in New York City where a significant portion of the Department's incarcerated population will return after their sentences. Work Release offers incarcerated individuals the opportunity to seek employment, reside with supportive family or friends, and engage in programs and transitional services close to his or her home community. All of which are critical to an incarcerated individual's successful re-entry into the community.

The Department is adopting both regulations changes as published.

Department of Corrections and Community Supervision

NOTICE OF ADOPTION

Reclassification of Correctional Facilities

I.D. No. CCS-28-19-00003-A

Filing No. 947

Filing Date: 2019-10-15

Effective Date: 2019-10-30

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following action:

Action taken: Amendment of sections 100.83 and 100.106 of Title 7 NYCRR.

Department of Environmental Conservation

EMERGENCY RULE MAKING

Sanitary Condition of Shellfish Lands

I.D. No. ENV-31-19-00008-E

Filing No. 921

Filing Date: 2019-10-09

Effective Date: 2019-10-09

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following action:

Action taken: Amendment of Part 41 of Title 6 NYCRR.

Statutory authority: Environmental Conservation Law, sections 11-0303, 13-0307 and 13-0319

Finding of necessity for emergency rule: Preservation of public health.

Specific reasons underlying the finding of necessity: Shellfish are filter feeders that consume plankton, other minute organisms, and particulate matter found in the water column. Shellfish are capable of accumulating pathogenic bacteria, viruses, and toxic substances within their bodies. Consequently, shellfish harvested from areas that do not meet the bacteriological standards for certification have an increased potential to cause illness in shellfish consumers. Closures of shellfish lands that do not meet water quality standards are essential for the protection of public health.

Several shellfish growing areas require reclassification as year-round uncertified and/or seasonally uncertified. Recent evaluations of current water quality data indicate that the bacteriological standards for certified shellfish lands are not being met in the affected areas, and an increased risk of illness exists for shellfish consumers.

Technical changes are also needed to clarify descriptions for enforcement purposes.

The promulgation of this regulation on an emergency basis is necessary to protect public health. If the Department of Environmental Conservation does not adopt this rule making on an emergency basis, areas that do not meet bacteriological standards will remain open for harvest, and the consumption of potentially harmful shellfish is foreseeable.

Subject: Sanitary Condition of Shellfish Lands.

Purpose: To reclassify underwater shellfish lands to protect public health.

Substance of emergency rule (Full text is posted at the following State website: <http://www.dec.ny.gov/regulations/106687.html>): The New York State Department of Environmental Conservation (Department) proposes to amend 6 NYCRR Part 41 to reclassify as uncertified (closed to shellfish harvest) either year-round or seasonally the following shellfish lands:

Southampton: An 8-acre expansion of the seasonally uncertified area in Eastern Moriches Bay will clarify the closure line to the southeasternmost point of land, at the southeast corner of the property located at 15 Fiske Avenue, Westhampton Beach.

Southampton: A 10-acre closure will uncertify a portion of Tiana Bay at the mouth of Romana Creek.

Southampton, East Hampton, & Shelter Island: A 29-acre closure will seasonally uncertify all of Little Northwest Creek and a 250-yard radius in outer Sag Harbor at the creek mouth.

East Hampton: Seasonal closure dates for the northern portion of Lake Montauk will be lengthened from May 15 through October 31 to May 1 through November 30.

Southold: A 2-acre expansion of the uncertified area in Greenport will include the waters between the Greenport Yacht & Ship Building Company and the fixed dock at 202 Carpenter Street Greenport, New York 11944.

Southold: The dates of the seasonal closure of Mill Creek and Budds Pond will be modified to May 1 through October 31 and the Budds Pond closure boundary line is being clarified.

Southold: 23-acres in Downs Creek will be downgraded to year-round uncertified.

Southold: The seasonally uncertified area at the Orient by the Sea boat basin is being expanded into Gardiners Bay with a 200-foot radial closure and the closure dates from May 15 through October 31 will be extended to May 1 through October 31.

Riverhead: A 74-acre expansion of the uncertified portion of Long

Island Sound near Wading River Creek will extend easterly to the westernmost point of the bulkhead located at 158 North Side Road Wading River, New York 11792.

Riverhead: A 28-acre radial closure expansion at the mouth of Baiting Hollow Creek will uncertify an area extending 300-yards into Long Island Sound.

Smithtown & Huntington: A 27-acre expansion of the radial closure at the mouth of Crab Meadow Creek will extend the uncertified area within Smithtown Bay from 500-feet to 300-yards.

Smithtown & Huntington: A 207-acre, 700-yard radial closure extending from the northernmost tip of the rock jetty on the east side of Northport Basin.

The Department proposes to amend 6 NYCRR Part 41 to reclassify as certified (open to shellfish harvest) either year-round or seasonally the following shellfish lands:

Brookhaven: 7-acres in Bellport Bay near the former site of Old Inlet Marina will be upgraded from seasonally uncertified to certified.

Southold: 24-acres of Pirates Cove in West Harbor on Fishers Island will be upgraded from uncertified to seasonally uncertified. West Harbor and Pirates Cove will both be closed to shellfish harvesting from May 1 through September 30.

The Department will also be proposing technical changes to amend 6 NYCRR Part 41 to clarify descriptions for enforcement purposes. The waterbodies affected by the technical changes are: Atlantic Ocean, Hempstead Bay, South Oyster Bay, Oyster Bay Harbor, Great South Bay, Moriches Bay, Mecox Bay, Hashamomuck Pond, and Smithtown Bay.

This notice is intended to serve only as a notice of emergency adoption. This agency intends to adopt the provisions of this emergency rule as a permanent rule, having previously submitted to the Department of State a notice of proposed rule making, I.D. No. ENV-31-19-00008-EP, Issue of July 31, 2019. The emergency rule will expire December 7, 2019.

Text of rule and any required statements and analyses may be obtained from: Kevin Ryan, Department of Environmental Conservation, 205 N. Belle Mead Road, Suite 1, East Setauket, NY 11733, (631) 444-0461, email: Kevin.Ryan@dec.ny.gov

Additional matter required by statute: The Department has determined that the Notice of Emergency Adoption is a Type II action and no further review is required pursuant to Article 8 of the ECL, the State Environmental Quality Review Act.

Regulatory Impact Statement

1. Statutory authority:

The statutory authority for designating shellfish lands as certified or uncertified is Environmental Conservation Law (ECL) section 13-0307. ECL § 13-0307(1) requires the Department of Environmental Conservation (Department) to periodically conduct examinations of all shellfish lands within the marine district to ascertain the sanitary condition of these areas. ECL § 13-0307(2) requires the Department to certify which shellfish lands are in such sanitary condition that shellfish may be taken for food. Such lands are designated as certified shellfish lands. All other shellfish lands are designated as uncertified. The statutory authority for promulgating regulations with respect to the harvest of shellfish is given in ECL § 13-0319.

2. Legislative objectives:

There are two purposes for the legislation: (1) to ensure that shellfish lands are appropriately classified and (2) to protect the public health by preventing the harvest and consumption of shellfish from lands that do not meet minimum standards for certification. Department staff examine shellfish lands and determine which shellfish lands meet the sanitary criteria for a certified shellfish land, as set forth in Part 47 of Title 6 NYCRR, entitled "Certification of Shellfish Lands." Shellfish lands which meet these criteria must be designated as certified. Shellfish lands which do not meet criteria must be designated as uncertified.

3. Needs and benefits:

To protect the public health and to comply with ECL § 13-0307, the Department conducts and maintains sanitary surveys of shellfish growing areas (SGAs) in the marine district. Maintenance of these surveys includes the regular collection and bacteriological examination of water samples to monitor the sanitary condition of SGAs. Annual water quality evaluation reports written in 2018 were prepared by Department staff for each SGA. These reports present the results of statistical analyses of water quality data comprised of a minimum of 30 water quality data points. The years involved can vary based on the number of samples collected for each year and for each growing area.

The report summary may state that all or portions of an SGA should be designated as uncertified for the harvest of shellfish, or that all or portions of an SGA should be designated as certified or seasonally uncertified for the harvest of shellfish based on criteria in 6 NYCRR Part 47. Seasonally uncertified areas are closed for the harvest of shellfish during particular months that are specified in regulations, and those months can vary between SGAs.

Regulations that designate shellfish lands as certified are needed to allow the harvest of shellfish from lands that meet the sanitary criteria for a certified area. Shellfish are a valuable state resource and, where possible, should be available for commercial and recreational harvest. The classification of previously uncertified shellfish lands as certified may provide additional sources of income for commercial shellfish diggers by increasing the amount of areas available for harvest. The direct harvest of shellfish for use as food is allowed from certified shellfish lands only. Recreational harvesters also benefit by having increased harvest opportunities and the ability to make use of a natural resource readily available to the public.

Regulations that designate shellfish lands as uncertified are needed to prevent the harvest and consumption of shellfish from lands that do not meet the sanitary criteria for a certified area. Shellfish harvested from uncertified shellfish lands have a greater potential to cause human illness due to the possible presence of pathogenic bacteria or viruses.

These regulations also protect the shellfish industry. Commercial shellfish harvesters and seafood wholesalers, retailers, and restaurants are adversely affected by public reaction to instances of shellfish related illness. By prohibiting the harvest of shellfish from lands that fail to meet the sanitary criteria, these regulations can ensure that only wholesome shellfish are allowed to be sold to the shellfish consumer.

Additionally, these regulations include changes to the shellfish growing area descriptions that will update, clarify, and correct them to match the current physical appearance and names of local landmarks cited in the descriptions and to achieve better consistency within 6 NYCRR Part 41. These changes will aid harvesters and law enforcement officials in determining which areas are uncertified for the harvest of shellfish.

4. Costs:

There will be no costs to State or local governments. No direct costs will be incurred by regulated commercial shellfish harvesters in the form of initial capital investment or initial non-capital expenses, in order to comply with these proposed regulations. The Department cannot provide an estimate of potential lost income to shellfish harvesters when areas are classified as uncertified, due to a number of variables that are associated with commercial shellfish harvesting; nor can the potential benefits be estimated when areas are reopened. Those variables are listed in the following three paragraphs.

In 2018, there were 1,692 licensed shellfish diggers in New York State. As of April 12, 2019, there are 1,354 licensed shellfish diggers for the year 2019. However, the actual number of those individuals who harvest shellfish commercially full time is not known. Recreational harvesters who wish to harvest more than the daily recreational limit of 100 hard clams, with no intent to sell their catch, can only do so by purchasing a New York State digger's permit. The number of individuals who hold shellfish digger's permits for that type of recreational harvest is unknown. The Department's records do not differentiate between full time and part-time commercial or recreational shellfish harvesters.

The number of harvesters working in a particular area cannot be estimated for the reasons stated above. In addition, the number of harvesters in a particular area is dependent upon the season, the amount of shellfish resource in the area, the price of shellfish and other economic factors, unrelated to the Department's proposed regulatory action. When a particular area is classified as uncertified, harvesters can shift their efforts to other certified areas.

Estimates of the existing shellfish resource in a particular embayment are not known. Recent shellfish population assessments have not been conducted by the Department. Without this information, the Department cannot determine the effect a closure or reopening would have on the existing shellfish resource.

The Department's actions to classify areas as certified or uncertified are not dependent on the shellfish resources in a particular area. They are based solely on the results of water quality analyses, the need to protect public health, and statutory requirements.

There is no cost to the Department. Administration and enforcement of the proposed amendment are covered by existing programs.

5. Local government mandates:

The proposed rule does not impose any mandates on local government.

6. Paperwork:

None.

7. Duplication:

The proposed amendment does not duplicate any state or federal requirement.

8. Alternatives:

There are no acceptable alternatives. ECL § 13-0307 mandates that when the Department has determined that a shellfish land meets the sanitary criteria for certified shellfish lands, the Department must designate the land as certified and open to shellfish harvesting. All other shellfish lands must be designated as uncertified and closed to shellfish harvesting. These actions are necessary to protect public health. Failure to

comply with the National Shellfish Sanitation Program (NSSP) guidelines could result in a ban on New York State shellfish in interstate commerce and would cause undue hardship to the commercial harvesting industry.

9. Federal standards:

There are no federal standards regarding the certification of shellfish lands. New York and other shellfish producing and shipping states participate in the NSSP which provides guidelines intended to promote uniformity in shellfish sanitation standards among members. The NSSP is a co-operative program consisting of the federal government, states, and the shellfish industry. Participation in the NSSP is voluntary, but participating states agree to follow NSSP water quality standards. Each state adopts its own regulations to implement a shellfish sanitation program consistent with the NSSP. The U.S. Food and Drug Administration (FDA) evaluates state programs and standards relative to NSSP guidelines. Substantial non-conformity with NSSP guidelines can result in sanctions being taken by the FDA, including removal of the state's shellfish shippers from the Interstate Certified Shellfish Shippers List. This effectively bars a non-conforming state's shellfish products from interstate commerce.

10. Compliance schedule:

Compliance with any new regulations designating areas as certified or uncertified does not require additional capital expense, paperwork, record keeping or any action by the regulated parties. Immediate compliance with any regulation designating shellfish lands as uncertified is necessary to protect public health. Shellfish harvesters are notified of changes in the classification of shellfish lands by mail either prior to, or concurrent with, the adoption of new regulations.

Regulatory Flexibility Analysis

1. Effect of rule:

In 2018, there were 1,692 licensed shellfish diggers in New York State. As of April 12, 2019, there are 1,354 licensed shellfish diggers for the year 2019. The numbers of permits issued for areas in the State for 2019 are as follows: Town of Babylon, 37; Town of Brookhaven, 240; Town of East Hampton, 183; Town of Hempstead, 87; Town of Huntington, 106; Town of Islip, 97; Town of North Hempstead, 6; Town of Oyster Bay, 72; Town of Riverhead, 68; Town of Shelter Island, 33; Town of Smithtown, 28; Town of Southampton, 149; Town of Southold, 208; New York City, 33; and Other, 7.

The Department of Environmental Conservation (Department) periodically conducts examinations of all shellfish lands within the marine district to ascertain the sanitary condition of these areas. As a result of these examinations, the Department designates lands as certified or uncertified for the harvest of shellfish. Any change in the designation of shellfish lands may have an effect on shellfish diggers. Each time shellfish lands, or portions of shellfish lands, are designated as uncertified, there may be some loss of income for shellfish diggers who are harvesting shellfish from the lands to be closed. This loss may be determined by the acreage to be closed, the type of closure (whether year-round or seasonal), the species of shellfish present in the area, the area's productivity, and the market value of the shellfish resource in the particular area.

When uncertified shellfish lands are found to meet the Department's sanitary criteria and are designated by the Department as certified, there is a benefit to shellfish diggers. More shellfish lands are made available for the harvest of shellfish, and there is a potential for an increase in income for shellfish diggers. Again, the effect of the re-opening of a harvesting area is determined by the shellfish species present, the area's productivity, and the market value of the shellfish resource in the area.

Shellfish growing area descriptions will be updated, clarified and corrected to match the current physical appearance and names of local landmarks cited in the descriptions and to achieve better consistency within 6 NYCRR Part 41. These changes will aid harvesters and law enforcement officials in determining which areas are uncertified for the harvest of shellfish.

Local governments on Long Island exercise management authority and share law enforcement responsibility for shellfish with the State and the counties of Nassau and Suffolk. These include the towns of Hempstead, North Hempstead, and Oyster Bay in Nassau County, and the towns of Babylon, Islip, Brookhaven, Southampton, East Hampton, Southold, Shelter Island, Riverhead, Smithtown, and Huntington in Suffolk County. Changes in the classification of shellfish lands impose no additional requirements on local governments above the level of management and enforcement that they currently perform; therefore, the Department expects that there will be no effect on local governments.

2. Compliance requirements:

The proposed regulation would not require reporting or recordkeeping requirements for small businesses or local governments.

3. Professional services:

Small businesses and local governments would not require any professional services to comply with the proposed regulation.

4. Compliance costs:

Under the proposed regulation, there are no capital costs which would be incurred by small businesses or local governments.

5. Economic and technological feasibility:

There is no reporting, recordkeeping, or affirmative actions that small businesses or local governments must undertake to comply with the proposed regulation. Similarly, small businesses and local governments would not have to retain any professional services or incur any capital costs to comply with the regulation. As a result, it should be economically and technically feasible for small businesses and local governments to comply with this regulation.

6. Minimizing adverse impact:

The designation of shellfish lands as uncertified may have an adverse impact on commercial shellfish diggers. All diggers in the towns affected by proposed closures will be notified by mail of the designation of shellfish lands as uncertified prior to, or concurrent with the date the closures go into effect. Shellfish lands which fail to meet the sanitary criteria during specific months of the year will be designated as uncertified only during those months. To minimize any adverse effects of proposed closures, towns may request that uncertified shellfish lands be considered for conditionally certified designation or for a shellfish transplant project. Shellfish diggers will also be able to shift harvesting effort to nearby certified shellfish lands. There should be no significant adverse impact on local governments from these changes in the classification of shellfish lands.

7. Small business and local government participation:

Impending shellfish closures are discussed at regularly scheduled Shellfish Advisory Committee meetings. This committee, organized by the Department, is comprised of representatives of local baymen's associations, shellfish shippers, and local town officials. Through their representatives, shellfish harvesters and shippers can express their opinions and give recommendations to the department concerning shellfish land classification. Local governments, state legislators, and baymen's organizations are notified by mail and given the opportunity to comment on any proposed rule making.

8. For rules that either establish or modify a violation or penalties associated with a violation:

None.

9. Initial review of the rule, pursuant to SAPA § 207 as amended by L. 2012, ch. 462:

The rule will be reviewed in three years.

Rural Area Flexibility Analysis

The Department of Environmental Conservation (Department) has determined that this rule will not impose an adverse impact on rural areas. This rule making only affects the marine and coastal district of the State; there are no rural areas within the marine and coastal district. The shellfish fishery is entirely located within the marine and coastal district and is not located adjacent to any rural areas of the State. The proposed rule will not impose any reporting, record keeping, or other compliance requirements on public or private entities in rural areas. Since no rural areas will be affected by the proposed amendments, the Department has determined that a Rural Area Flexibility Analysis is not required.

Job Impact Statement

1. Nature of impact:

Environmental Conservation Law (ECL) § 13-0307 requires that the Department of Environmental Conservation (Department) examine shellfish lands and certify which shellfish lands are in such sanitary condition that shellfish may be taken for use as food. Shellfish lands that do not meet the criteria for certified (open) shellfish lands must be designated as uncertified (closed) to protect public health.

Rule makings to amend Part 41 of Title 6 of the Official Compilation of Codes, Rules, and Regulations of New York (6 NYCRR 41), Sanitary Condition of Shellfish Lands, can potentially have a positive or negative effect on jobs for shellfish harvesters. Amendments to reclassify areas as certified may increase job opportunities, while amendments to reclassify areas as uncertified may limit harvesting opportunities.

The Department does not have specific information regarding the locations in which individual diggers harvest shellfish, and is therefore unable to assess the specific job impacts of the proposed regulation on individual shellfish diggers. In general terms, amendments of 6 NYCRR Part 41 to designate areas as uncertified can have negative impacts on harvesting opportunities. The extent of the impact will be determined by the acreage closed, the type of closure (year-round or seasonal), the area's productivity, and the market value of the shellfish. In general, any negative impacts are small because the Department's actions to designate areas as uncertified typically only affect a small portion of the shellfish lands in the state. Negative impacts are also diminished in many instances by the fact that shellfish harvesters are able to redirect effort to adjacent certified areas.

Amendments of 6 NYCRR Part 41 to designate areas as certified can have positive impacts on harvesting opportunities. This action results in financial benefits for commercial fisherman and increased opportunities for recreational shellfish harvesters. Increasing the amount of certified shellfish harvesting areas can provide a financial benefit due to the increased availability of shellfish resources.

2. Categories and numbers affected:

Licensed commercial shellfish diggers can be affected by amendments to 6 NYCRR Part 41. Most harvesters are self-employed, but there are some who work for companies with privately controlled shellfish lands or who harvest surf clams or ocean quahogs in the Atlantic Ocean.

In 2018, there were 1,692 licensed shellfish diggers in New York State. As of April 12, 2019, there are 1,354 licensed shellfish diggers for the year 2019. The numbers of permits issued for areas in the State for 2019 are as follows: Town of Babylon, 37; Town of Brookhaven, 240; Town of East Hampton, 183; Town of Hempstead, 87; Town of Huntington, 106; Town of Islip, 97; Town of North Hempstead, 6; Town of Oyster Bay, 72; Town of Riverhead, 68; Town of Shelter Island, 33; Town of Smithtown, 28; Town of Southampton, 149; Town of Southold, 208; New York City, 33; and Other, 7. It is estimated that ten (10) to twenty-five (25) percent of the diggers are full-time harvesters. The remainder are seasonal or part-time harvesters.

3. Regions of adverse impact:

Certified shellfish lands that could potentially be affected by amendments to 6 NYCRR Part 41 are located within or adjacent to Queens County, Nassau County, and Suffolk County. There is no potential adverse impact to jobs in any other areas of New York State.

4. Minimizing adverse impact:

Shellfish lands are designated as uncertified to protect public health as required by the ECL. Some impact from rule makings to close areas that do not meet the criteria for certified shellfish lands is unavoidable.

To minimize the impact of closures of shellfish lands, the Department evaluates areas to determine whether they can be opened seasonally during periods of improved water quality. The Department also operates conditional harvesting programs at the request of, and in cooperation with, local governments. Conditional harvesting programs allow harvest in uncertified areas under prescribed conditions, determined by studies, when bacteriological water quality is acceptable. Additionally, the Department operates shellfish transplant harvesting programs which allow removal of shellfish from closed areas for bacterial cleansing in certified areas. Conditional harvesting and shellfish transplant programs increase harvesting opportunities by making the resource in a closed area available under controlled conditions.

5. Self-employment opportunities:

A large majority of shellfish harvesters in New York State are self-employed. Rule makings to change the classification of shellfish lands can have an impact on self-employment opportunities. The impact is dependent on the size and productivity of the affected area and the availability of adjacent lands for shellfish harvesting.

6. Initial review of the rule, pursuant to SAPA § 207 as amended by L. 2012, ch. 462:

The rule will be reviewed in three years.

NOTICE OF ADOPTION**Omnibus Changes to 6 NYCRR**

I.D. No. ENV-28-19-00001-A

Filing No. 922

Filing Date: 2019-10-09

Effective Date: 2019-10-30

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following action:

Action taken: Amendment of Parts 151, 153, 170, 174 and 182 of Title 6 NYCRR.

Statutory authority: Environmental Conservation Law, sections 11-0535, 11-0535-a, 11-0536, 11-1707, 11-1728, 11-1901, 11-1903, 11-1905 and 11-1907

Subject: Omnibus changes to 6 NYCRR.

Purpose: Bring regulations current with statutory changes and to improve application efficiency as part of the Governor's Lean initiative.

Text or summary was published in the July 10, 2019 issue of the Register, I.D. No. ENV-28-19-00001-P.

Final rule as compared with last published rule: No changes.

Text of rule and any required statements and analyses may be obtained from: Joseph Therrien, NYS Department of Environmental Conservation, 625 Boadway, Albany NY 12233, (518) 402-8985, email: joseph.therrien@dec.ny.gov

Additional matter required by statute: A programmatic environmental impact statement is on file with the Department of Environmental Conservation.

Revised Regulatory Impact Statement

1. Statutory Authority

Section 11-1907 of the Environmental Conservation Law authorizes the

Department of Environmental Conservation (department) to renew licenses issued on or before April first two-thousand twelve for the possession, propagation and sale of fur-bearing animals.

Sections 11-1901, 11-1903, and 11-1905 of the Environmental Conservation Law authorize the department to issue licenses for the possession and propagation of domestic game birds and domestic game animals and to allow for their take.

Section 11-1707 of the Environmental Conservation Law authorizes the department to issue licenses for the importation and transportation of certain fish and wildlife species.

Section 11-1728 of the Environmental Conservation Law authorizes the department to promulgate rules and regulations pertaining to the sale of live wild birds.

Sections 11-0535, 11-0535-a, and 11-0536 of the Environmental Conservation Law authorize the department to issue licenses or permits for the sale of endangered and threatened species and their carcasses, hides, and parts, and the sale or offer for sale of articles made in whole or in part from such species.

2. Legislative Objectives

The legislative objectives of the statutory provisions listed above are to establish, or authorize the department to establish, licenses and permits authorizing various activities involving species regulated by the department.

3. Needs and Benefits

The purpose of this rulemaking is to amend 6 NYCRR Parts 151, 153, 170, 174, and 182 to bring them current with several recent statutory changes, and as part of the Governor's Lean initiative in which the department carried out a comprehensive analysis of the administration of special licenses to improve application processing time and to increase government service to licensees. As a result of that analysis, regulatory changes were identified to improve the department's process for the issuance of special licenses. The outcome of these changes will result in reduced staff time, less paperwork and decreased cost associated with the review and issuance of licenses. The changes will more importantly serve applicants and licensees in a more effective and efficient manner. Specific changes are as follows:

Part 151 - Propagation and Sale of Fur-Bearing Animals. The Department is proposing to amend 6 NYCRR Part 151 to make the regulations current with changes to ECL 11-1907, which became effective in April 2012. The statutory language now states that the department shall not issue any new licenses after April 1, 2012. The regulatory changes will provide for continued licensing of currently licensed individuals and will prohibit the issuance of any new licenses.

Part 153 - Preserve Licenses. The Department is proposing to amend 6 NYCRR Part 153 to make the regulations current with changes to multiple Sections of the ECL. The statutory language has removed the requirement for marking domestic game species with a Department provided tag and has permanently extended the shooting season authorized for shooting preserve licenses. The regulatory changes will remove the carcass tagging requirements in Section 153.1 and will remove the annual extension of the shooting season as allowed in Section 153.2. Additionally, Section 153.3 will be amended to require compliance with the Department's CWD regulation and the corresponding requirements of Department of Agriculture and Markets CWD regulations.

Part 170 - Miscellaneous Licenses. The Department is proposing to repeal 6 NYCRR Sections 170.1- Importation license and 170.3 - Special importation license. These changes are proposed to eliminate the nominal \$1.00 fee associated with these licenses and to remove redundant and unnecessary language already found in § 11-1707.

Part 174 - Sale of Live Birds. The Department is proposing to amend 6 NYCRR Part 174 to simplify the regulatory language and remove the provisions for licensing. Current regulations have historically been confusing to the regulated community which resulted in several individuals applying for and receiving a license when none was required. These changes are part of the Division's ongoing process to simplify and clarify regulatory language and to increase government services to licensees.

Part 182 - Endangered and Threatened Species of Fish and Wildlife; Species of Special Concern; Incidental Take Permits. The Department is proposing to rewrite 182.8 to clarify and simplify language in regard to the issuance of certain special licenses associated with the possession, sale and transport of endangered species and parts thereof; and to add special provisions for licensing the sale and distribution of elephant and mammoth ivory and rhinoceros horn as provided in enabling statute ECL 11-0535-a. These changes are necessary to clarify when a license is required for possession or sale of certain articles containing endangered or threatened species and also to bring the regulations current with the recent addition of ECL 11-0535-A.

4. Costs

The proposed rule changes will not impose any additional costs on individuals, businesses or local governments or the department. The

proposed changes will not alter any existing programs and will not increase requirements or fees associated with these licenses. The rulemaking will simplify the licensing process, eliminate fees associated with several license types and reduce the burden on the regulated community through time and cost savings and paperwork reductions.

5. Local Government Mandates

These amendments will not impose any programs, services, duties or responsibilities upon any county, city, town, village, school district or fire district.

6. Paperwork

The proposed rule will not impose any additional paperwork requirements. Most of the proposed changes are technical or clarifying in nature. The rulemaking will simplify the licensing process, eliminate fees associated with several license types and reduce the burden on the regulated community through time and cost savings and paperwork reductions.

7. Duplication

There are no other regulations similar to this proposal and no duplicative requirements of the state or federal governments.

8. Alternatives

Few alternatives were considered as these proposed changes are necessary to bring the regulations current with statutory language; are part of the Governor's Lean initiative; and provide regulatory relief and improved government services to the regulated community.

Alternatives were considered prior to the 2012 statutory changes being proposed and later enacted as well as during the Lean process. The "no change" alternative is counter to the Governor's desire to lean and enhance government services to licensees or applicants.

Prohibiting these activities by removing the ability to obtain a license was considered and, although the majority of these licenses are discretionary, the Department has a history of issuing licenses for these activities and changing the Department's position was not seen as necessary or justified.

9. Federal Standards

The federal standards appear in 16 U.S.C. 4901-4919, Wild Bird Conservation Act; 18 U.S.C. 42-48 and 16 U.S.C. 3371-3378 Lacey Act; 15 U.S.C. 1531-1544, Endangered Species Act, and in Title 50 of the Code of Federal Regulations Parts 10, 14, 15, 17, 20 and 21. The proposed rules do not exceed any minimum standards of the federal government. The federal standards deal primarily with import of species into the U.S. and transport of species across state lines.

10. Compliance Schedule

These regulations, if adopted, will become effective immediately. No additional steps will be required of current license holders.

Revised Regulatory Flexibility Analysis

1. Effect of rule

The proposed rule changes would not have a substantial adverse impact on small businesses or local governments as most of the proposed changes are technical or clarifying in nature. The department currently licenses eleven bird breeders under 6 NYCRR Part 174. After contacting each of these licensees the department determined that none of them needed the license as the activities that they were conducting, breeding and selling properly banded caged birds, was already authorized by regulation without license.

Only four individuals are currently licensed to breed fur bearers as defined and provided for in 6 NYCRR Part 151 and therefore qualify for the provisions of ECL 11-1907 adopted in 2012.

Very few import licenses are issued pursuant to 6 NYCRR Part 170 and the proposed rule changes would only eliminate the nominal one-dollar license fee associated with these licenses and would further remove regulatory language to eliminate redundant and unnecessary language already found in § 11-1707.

Several hundred individuals currently possess licenses that require compliance with 6 NYCRR Part 153. The proposed rule changes are only to make the regulations consistent with previous changes to the enabling statutes. Licensees would see no change in application, licensing or reporting requirements as the changes effectuated by the statutory revisions have already been placed into effect.

The proposed changes to 6 NYCRR section 182.8 are also only clarifying in nature and will not have any substantial adverse effect on any individual or business licensed pursuant to this section. Additionally, the addition of regulatory compliance with the newly enacted ECL 11-0535-a are only to make the regulations consistent with the statutory provisions and licensees would see no change in application, licensing or reporting requirements as the changes effectuated by the statutory revisions have already been placed into effect.

2. Compliance requirements

No additional reporting, recordkeeping or other affirmative acts will need to be undertaken by any business or local government from these proposed changes. All proposed changes were undertaken as part of the

Governor's Lean initiative to improve application processing time and to increase government service to licensees. The rulemaking will simplify the licensing process, eliminate fees associated with several license types and reduce the burden on the regulated community through time and cost savings and paperwork submissions. All other proposed changes are to make regulations consistent with recent statutory changes the provisions of which have already been implemented by the department.

3. Professional services

No professional services will need to be undertaken by any business or local government in order to comply with the proposed changes. All proposed changes were undertaken as part of the Governor's Lean initiative to improve application processing time and to increase government service to licensees. All other proposed changes are to make regulations consistent with recent statutory changes the provisions of which have already been implemented by the department.

4. Compliance costs

There are no additional costs associated with the proposed rulemaking. Applicants will realize reduced costs in eliminated license fees and simplified paperwork requirements.

5. Economic and technological feasibility

There will be no new requirements imposed by the proposed rule changes. The rulemaking will simplify the licensing process, eliminate fees associated with several license types and reduce the burden on the regulated community through time and cost savings and paperwork submissions. All other proposed changes are to make regulations consistent with recent statutory changes the provisions of which have already been implemented by the department. Minimal economic means are required for the application, licensing and compliance of these regulatory changes. Minimal technological requirements are required for any of the license processes.

6. Minimizing adverse impact

The rulemaking will have no adverse impact on businesses or local governments. As part of the Governor's Lean initiative, the Department carried out a comprehensive analysis of the administration of special licenses to improve application processing time and to increase government service to licensees. The proposed regulatory changes were identified as a result of that analysis, to improve the department's process for the issuance of special licenses. The rulemaking will simplify the licensing process, eliminate fees associated with several license types and reduce the burden on the regulated community through time and cost savings and paperwork submissions.

As this rule will provide regulatory relief through the simplification of the licensing process, remove several fees associated with licenses, and clarify the requirements of licensing thereby reducing the burden on the regulated community, the department has met the intent of SAPA 202-b(1) by minimizing any adverse impact on businesses and local governments.

7. Small business and local government participation

An Advanced Notice of Proposed Rulemaking was posted to the Department's public website and no comments were received during the 30-day comment period. Additionally, the department contacted several individual licensees to discuss these changes and access any potential impacts that the changes could have on their business activities. The information provided by each of these licensees indicated that their businesses would not be impacted by the proposed changes.

Revised Rural Area Flexibility Analysis

1. Types and estimated numbers of rural areas

Licensees affected by this proposed rulemaking are distributed throughout New York State and the proposed rule would not impose a disproportionate adverse impact on any specific region. The rulemaking would apply to all rural areas in New York State.

2. Reporting, recordkeeping and other compliance requirements; and professional services

Most of the proposed changes are technical or clarifying in nature and will not impose any additional reporting, recordkeeping or compliance requirements. The rulemaking will simplify the licensing process, eliminate fees associated with several license types and reduce the burden on the regulated community through time and cost savings and paperwork submissions. The proposed rules will not impose any additional reporting, recordkeeping or other compliance requirements. No additional professional services will be required to comply with the rules.

3. Costs

There are no additional costs associated with the proposed rulemaking. Applicants will realize reduced costs in eliminated license fees and simplified paperwork requirements.

4. Minimizing adverse impact

The rulemaking will have no adverse impact on rural areas. As part of the Governor's Lean initiative, the Department carried out a comprehensive analysis of the administration of special licenses to improve application processing time and to increase government service to licensees. The proposed regulatory changes were identified as a result of that analysis, to

improve the department's process for the issuance of special licenses. The rulemaking will simplify the licensing process, eliminate fees associated with several license types and reduce the burden on the regulated community through time and cost savings and paperwork submissions.

5. Rural area participation

An Advanced Notice of Proposed Rulemaking was posted to the Department's public website and no comments were received during the 30-day comment period. Additionally, the department contacted several individual licensees to discuss these changes and access any potential impacts that the changes could have on the licensed activities. The information provided by each of these licensees indicated that their businesses would not be impacted by the proposed changes.

Revised Job Impact Statement

1. Nature of impact

The proposed rule changes would not have a substantial adverse impact on jobs and employment opportunities as most of the proposed changes are technical or clarifying in nature. Additionally, an Advanced Notice of Proposed Rulemaking was posted to the Department's public website and no comments were received during the 30-day comment period.

The proposed changes to Part 182 specifically concerning the sale of articles containing ivory or rhinoceros horn are necessary to make the regulations current with the 2014 changes to the ECL which added a new Section 11-0535-a – Illegal ivory articles and rhinoceros horns. The statutory changes have already received public comment, and it is not anticipated that the proposed regulatory changes will generate any new comments.

2. Categories and numbers affected

Currently there are 11 Bird Breeder licenses issued pursuant to Part 174. The department contacted each of these individual licensees to ascertain the business practices at their facilities, specifically to determine if they needed a license under the current or the proposed regulations. The information provided by each of these licensees indicated that their businesses would not be impacted by the proposed changes and that each in fact did not require the current licenses already issued to them.

There are four current fur breeder licenses issued pursuant to Part 151. Only one of these licensees is actively engaged in the business of breeding fur bearers. None of the current licensees will be impacted by the regulatory changes which are necessary to bring the regulation current with previous statutory changes.

For the licenses issued pursuant to ECL 11-1901, Bird Breeder licenses; 11-1903, Shooting Preserve licenses; and 11-1905, Domestic Game Animal Breeder licenses, the regulatory changes will have no impact on current practices at these facilities. The changes are necessary to make the regulation, Part 153, consistent with previous statutory changes and further, all provision of the statutory changes have already been implemented. These licensees will see no impact to their businesses or current practices from the proposed regulatory changes.

The department issues few import licenses pursuant to 6 NYCRR Part 170. No change to the authorizations provided by these license will be implemented. The only change that licensees will experience is the change from a one-dollar license fee to no fee for these licenses.

Currently there are 19 Elephant ivory licenses issued pursuant to ECL 11-0535-a. The requirements, authorizations, and prohibitions of the enabling statute were implemented in 2014. The proposed rule changes will make the regulations consistent with the recently adopted enabling statute. Current licensees and future applicants will see no changes to the process or structure of the review and issuance of these licenses.

The remaining changes involve only clarification and simplification of the regulations relating to possession and sale of endangered or threatened species or possession with intent to sell any article made in whole or in part from such species. The proposed changes will serve only to clarify the regulatory language to clearly state when a license is required for possession or sale of certain articles made in whole or in part from endangered or threatened species. No changes to license authorization, application review or requirements will be implemented.

3. Regions of adverse impact

Licensees affected by this proposed rulemaking are distributed throughout New York State and the proposed rule would not impose a disproportionate adverse impact on any specific region.

4. Minimizing adverse impact

The proposed changes are not anticipated to raise concern or be controversial. Most of the proposed changes are technical or clarifying in nature and have been undertaken as part of the Governor's Lean initiative. The department carried out a comprehensive analysis of the administration of special licenses to improve application processing time and to increase government service to licensees. As a result of that analysis, regulatory changes were identified to improve the department's process for the issuance of special licenses. The outcome of these changes will result in reduced staff time, less paperwork and decreased cost associated with the review and issuance of licenses. More importantly, the changes

serve applicants and licensees in a more effective and efficient manner. Additionally, several statutory changes have been implemented which require amendment to certain regulations to make them consistent with the ECL changes.

Additionally, the department contacted several individual licensees to discuss these changes and access any potential impacts that the changes could have on the licensed activities. Further, an Advanced Notice of Proposed Rulemaking was posted to the Department's public website and no comments were received during the 30-day comment period.

Initial Review of Rule

As a rule that requires a RFA, RAFA or JIS, this rule will be initially reviewed in the calendar year 2024, which is the 4th or 5th year after the year in which this rule is being adopted. This review period, justification for proposing same, and invitation for public comment thereon, were contained in a RFA, RAFA or JIS.

Attached is an assessment of public comment on the issue of the 4 or 5-year initial review period.

Assessment of Public Comment

The Department of Environmental Conservation (department) received comments from one individual on the proposed omnibus changes to various Parts of Title 6 of the Codes, Rules, and Regulations of the State of New York (6 NYCRR) during the sixty-day public comment period, July 3 to September 2, 2019. The amendments bring regulations current with statutory language and improve application efficiency as part of the Governor's Lean initiative.

The individual who provided comments stated the following:

1. Carcasses of white-tailed deer subject to the provisions of Part 153 should have DEC marks on them and shooting seasons should be shut down totally.
2. The fee for imported fish and wildlife subject to the provisions of Part 170.1 should be increased to \$25.00.
3. The fee for non-ambulatory hunter permits should be increased to \$550.00.
4. The sale of ivory in New York State should be banned.
5. The license fee for the sale of any endangered or threatened species should be \$5,500.00.

Department response: The purpose of these omnibus changes is to bring the applicable regulations found in 6 NYCRR current with the enabling statutes found in the Environmental Conservation Law (ECL) and to improve application efficiency. The majority of the changes suggested by the individual commenter are outside the scope of these regulatory changes as many of the suggested changes would require Legislative amendments to the Fish and Wildlife Law.

1. The carcasses of white-tailed deer subject to the provisions of 6 NYCRR § 153 are already required to be marked in accordance with enabling statute ECL § 11-1905 and therefore it is not necessary to address these requirements in regulation.

2. ECL § 11-1707 contains provisions for the import of carcasses and parts of fish and wildlife from without to within the state. DEC is repealing 6 NYCRR Sections 170.1—Importation license and 170.3—Special importation license to eliminate the nominal \$1.00 fee associated with these licenses and to remove redundant and unnecessary regulatory language already found in § 11-1707.

3. The enabling statute, ECL § 11-0931, does not require a fee for these licenses.

4. All applications for sale of articles containing elephant ivory are thoroughly reviewed by the department based on State and Federal requirements. The application review process ensures that licenses issued by the department authorizing sale of articles containing elephant ivory are issued only for articles containing legally acquired ivory.

5. The enabling statutes, ECL § § 11-0535, 11-0535a, and 11-05356 do not require a fee for these licenses.

Department of Financial Services

PROPOSED RULE MAKING NO HEARING(S) SCHEDULED

Superintendent's Regulations: Basic Banking Accounts

I.D. No. DFS-44-19-00010-P

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following proposed rule:

Proposed Action: This is a consensus rule making to amend section 9.3(a)(5) of Title 3 NYCRR.

Statutory authority: Banking Law, sections 10, 14, 14-f; Financial Services Law, sections 102, 201, 202, 301, 302; L. 2019, ch. 260

Subject: Superintendent's Regulations: Basic Banking Accounts.

Purpose: To amend the requirements of basic banking accounts in conformity with chapter 260 of the Laws of 2019.

Text of proposed rule: Paragraph (5) of subdivision (a) of section 9.3 is amended to read as follows:

(5) The minimum number of withdrawal transactions which may be made during any periodic cycle at no additional charge to the account holder must be at least eight for account holders under sixty-five years of age, and at least twelve withdrawal transactions for account holders sixty-five years of age or older. For purposes of this paragraph, a withdrawal shall be deemed to be made when recorded on the books of the account holder's banking institution.

Text of proposed rule and any required statements and analyses may be obtained from: Eamon Rock, Department of Financial Services, One Commerce Plaza, Albany, NY 12257, (518) 474-4567, email: Eamon.Rock@dfs.ny.gov

Data, views or arguments may be submitted to: Same as above.

Public comment will be received until: 60 days after publication of this notice.

This rule was not under consideration at the time this agency submitted its Regulatory Agenda for publication in the Register.

Consensus Rule Making Determination

The amendment changes the minimum number of withdrawal transactions that is required for the basic banking account. The regulation currently requires only eight withdrawal transactions consistent with the requirement contained in Banking Law § 14-f(2)(c). However, pursuant to Chapter 260 of the Laws of 2019, effective November 12, 2019, that requirement will change to require eight withdrawal transactions for account holders under sixty-five years of age, and twelve withdrawal transactions for account holders sixty-five years of age or older. Thus, the amendment merely conforms the regulation to non-discretionary statutory provisions.

This rulemaking is determined by the agency to be a consensus rulemaking, as defined in State Administrative Procedure Act § 102(11) ("SAPA"), and is proposed pursuant to SAPA § 202(1)(b)(i). Accordingly, it is exempt from the requirement to file a Regulatory Impact Statement, Regulatory Flexibility Analysis for Small Businesses and Local Governments or a Rural Area Flexibility Analysis.

Job Impact Statement

The Department finds that the amendment to the rule will not have a substantial adverse impact on jobs and employment opportunities as apparent from its nature and purpose in New York State. The proposed amendment merely conforms the language of the regulation to the statutory provision as amended by Chapter 260 of the Laws of 2019. The statute, not the regulation, creates the underlying requirement. Even absent the amendment, regulated entities would nonetheless be required to provide basic banking accounts in conformity with Banking Law § 14-f.

PROPOSED RULE MAKING NO HEARING(S) SCHEDULED

Principle-Based Reserving

I.D. No. DFS-44-19-00011-P

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following proposed rule:

Proposed Action: Amendment of Part 103 (Regulation 213) of Title 11 NYCRR.

Statutory authority: Financial Services Law, sections 201, 202, 301, 302; Insurance Law, sections 301, 4217 and 4517

Subject: Principle-Based Reserving.

Purpose: To prescribe minimum principle-based valuation standards.

Substance of proposed rule (Full text is posted at the following State website: https://www.dfs.ny.gov/industry_guidance/regulations/proposed_insurance): Section 103.1 is renumbered as section 103.2 and amended to specify that this Part applies to all life insurance companies and fraternal benefit societies doing business in New York State and all insurers holding a certificate from the Superintendent of Financial Services ("Superintendent") as being accredited for the reinsurance of life insurance, annuity contracts, or accident and health insurance.

Section 103.2 is renumbered as section 103.3 and is amended to provide that except where the National Association of Insurance Commissioners'

("NAIC's") 2019 valuation manual (the "Manual") conflicts with any provision of the Insurance Law or regulations promulgated thereunder, the valuation manual is adopted in its entirety, subject to the effective dates and other requirements specified in the rule that deviate from the valuation manual.

A new section 103.1 is added to specify the purpose of this Part, which is to prescribe the minimum standards for valuing statutory reserves subject to the requirements of the Manual.

A new section 103.4 ("valuation of individual term life insurance reserves") is added to specify the minimum valuation standards for individual term life insurance policies issued on or after January 1, 2020. The minimum aggregate reserve shall be the greater of: (1) the sum of the greater of the cash surrender value and 70% of the minimum reserve for each policy determined under the current valuation requirements; and (2) the minimum aggregate reserve calculated in accordance with the methodology and assumptions prescribed by the Manual prior to reflecting any reinsurance ceded. An insurer may submit a request to the Superintendent to delay the implementation of the minimum valuation standards for individual term life insurance policies subject to section 103.4, such that the minimum valuation standards will be effective for policies issued on or after January 1, 2021, upon a demonstration of undue hardship, impracticability, or good cause, subject to the Superintendent's approval.

A new section 103.5 ("valuation of payout annuity reserves") is added to specify the minimum valuation standards for payout annuities with premium determination dates on or after January 1, 2019. Insurers are given the following three options to determine the maximum valuation interest rates for policies and contracts with premium determination dates during 2019: (1) the current requirements prescribed by Insurance Law section 4217(c); (2) the current requirements prescribed by Insurance Law section 4217(c) where the reference rate defined by Insurance Law section 4217(c)(4)(F) is reset monthly for jumbo contracts and quarterly for non-jumbo contracts; or (3) the lesser of the rate determined in accordance with section VM-22 of the Manual with certain adjustments, including setting the prescribed portfolio credit quality distribution to 5% treasuries, 45% AA bonds, 50% A bonds and placing a 200 basis point cap on spreads, and the rate determined in accordance with section VM-22 of the Manual without adjustments. For policies and contracts with premium determination dates on or after January 1, 2020, the maximum valuation interest rate shall be determined in accordance with option (3) as stated above. The minimum reserve must be the greater of the minimum reserve calculated in accordance with the current valuation requirements, except that the maximum valuation interest rate must be as determined in accordance with this section, and the minimum reserve calculated in accordance with the methodology and assumptions prescribed by the Manual.

A new section 103.6 ("valuation of variable annuity reserves") is added to specify the minimum valuation standards for variable annuities effective for valuations on or after January 1, 2020. For policies and contracts issued prior to January 1, 2020, the minimum reserve must be the greater of the minimum reserve calculated in accordance with the methodology and assumptions of the Standard Scenario Reserve prescribed by the 2017 Actuarial Guideline XLIII with certain adjustments and the minimum reserve calculated in accordance with the methodology and assumptions prescribed by the Manual. Such adjustments to the Standard Scenario Reserve prescribed by the 2017 Actuarial Guideline XLIII affect the mortality, discount rate and lapse rate assumptions.

For policies and contracts issued prior to January 1, 2020, insurers are granted a three-year phase-in for any reserves in excess of the greater of the aggregate minimum reserves determined in accordance with the 2017 Actuarial Guideline XLIII and the aggregate minimum reserves determined in accordance with the Manual.

For policies and contracts issued on or after January 1, 2020, the minimum reserve must be the greater of the minimum reserve calculated in accordance with the methodology and assumptions of section 103.6(e) and the minimum reserve calculated in accordance with the methodology and assumptions prescribed by the Manual. Section 103.6(e) dictates that the minimum reserve must be the greater of the standard scenario reserve, cash surrender value, and option value floor and prescribes the required methodology and assumptions, including those for discount rates, fund returns, mortality, lapse rates, and election rates, in order to calculate such amounts.

A new section 103.7 ("valuation of all other reserves") is added to specify the minimum valuation standards for individual life insurance policies issued on or after January 1, 2020 and group life insurance policies, annuity contracts, and accident and health insurance contracts issued on or after January 1, 2021, for which sections 103.4, 103.5, and 103.6 of Part 103 do not apply. The minimum reserve must be the greater of the minimum reserve calculated in accordance with the current valuation requirements and the minimum reserve calculated in accordance with the methodology and assumptions prescribed by the Manual. Aggregation at the level prescribed by the Manual is permissible for individual life

insurance. An insurer may submit a request to the Superintendent to delay the implementation of the minimum valuation standards for individual life insurance policies subject to section 103.7, such that the minimum valuation standards will be effective for policies issued on or after January 1, 2021, upon a demonstration of undue hardship, impracticability, or good cause, subject to the Superintendent's approval.

A new section 103.8 ("reinsurance") is added to prescribe the determination of reinsurance reserve credits for policies and contracts subject to Part 103. A credit for reinsurance must equal the difference between the minimum reserve calculated prior to reflecting reinsurance ceded and the greater of the reserve using the current reinsurance accounting requirements and the reserve determined in accordance with the Manual after reflecting reinsurance ceded.

Text of proposed rule and any required statements and analyses may be obtained from: Amanda Fenwick, New York State Department of Financial Services, One Commerce Plaza, Albany, New York 12257, (518) 474-7929, email: amanda.fenwick@dfs.ny.gov

Data, views or arguments may be submitted to: Same as above.

Public comment will be received until: 60 days after publication of this notice.

Regulatory Impact Statement

1. Statutory authority: Financial Services Law sections 201, 202, 301, and 302 and Insurance Law sections 301, 4217, and 4517.

Financial Services Law section 201 sets forth a declaration of policy, Financial Services Law section 202 establishes the office of the Superintendent of Financial Services ("Superintendent"), and Financial Services Law section 301 sets forth the Superintendent's powers.

Financial Services Law section 302 and Insurance Law section 301, in material part, authorize the Superintendent to effectuate any power accorded to the Superintendent by the Financial Services Law, Insurance Law, or any other law, and to prescribe regulations interpreting the Insurance Law.

Insurance Law section 4217 sets forth rules for the valuation of insurance policies and contracts. Insurance Law section 4217(d) provides that reserves for all individual and group accident and health insurance policies must reflect a sound value placed on the liabilities of such policies and permits the Superintendent to issue, by regulation, guidelines for the application of reserve valuation provisions for such policies. Insurance Law section 4217(g) requires authorized life insurance companies and fraternal benefit societies (collectively, "life insurers") to use principle-based reserving ("PBR") for certain individual and group life insurance policies and annuity contracts upon the Superintendent's approval of the National Association of Insurance Commissioners' ("NAIC's") valuation manual (the "Manual"), subject to the Superintendent's adopting any amendment to the Manual by regulation.

Insurance Law section 4517 makes Insurance Law section 4217 applicable to the valuation of life insurance and annuity certificates issued by fraternal benefit societies.

2. Legislative objectives: Insurance Law section 4217 sets forth rules for the valuation of insurance policies and contracts. In December 2018, Governor Andrew M. Cuomo signed into law a bill that added a new Insurance Law section 4217(g) to allow PBR for certain individual and group life insurance policies and annuity contracts beginning in 2019.

This rule accords with the public policy objectives that the Legislature sought to advance in Insurance Law section 4217(g) when it adopted PBR for life insurers, by making clear that the Superintendent may require a life insurer to change an assumption or method that in the Superintendent's opinion is necessary to comply with the Manual adopted by the Superintendent and section 4217(g), and that the life insurer must adjust the reserves as the Superintendent requires.

3. Needs and benefits: Life insurers set aside funds (called "reserves") to pay insurance claims when they become due. Insurance Law section 4217 and regulations promulgated thereunder set forth rules surrounding the setting aside of reserves. Insurance Law section 4517 makes Insurance Law section 4217 applicable to the valuation of life insurance and annuity certificates issued by fraternal benefit societies. The NAIC revised its model Standard Valuation Law in 2009 to establish PBR. According to the NAIC, as of May 3, 2019, 51 jurisdictions have enacted legislation implementing PBR.

Beginning January 1, 2020, the 2009 revisions to the NAIC's Standard Valuation Law will become an accreditation standard. NAIC accreditation is a certification that a state receives once it demonstrates that it has met and continues to meet certain legal, financial, and organizational standards. The purpose of the NAIC accreditation program is to ensure effective insurer financial solvency regulation across the United States.

This rule seeks to conform to the 2009 revisions to the NAIC's Standard Valuation Law to comply with the NAIC's accreditation standards.

4. Costs: This rule may impose compliance costs on life insurers because an insurer must adjust its reserves as the Superintendent deems

necessary to comply with the Manual and Insurance Law section 4217(g). This is a consequence of new Insurance Law section 4217(g), which requires that the minimum standard for the valuation of certain life insurance policies and annuity contracts will be the standard prescribed in the Manual with modifications by the Superintendent. However, under the law, a domestic insurance company and a fraternal benefit society that only writes business in New York may, with the Superintendent's approval, obtain an exemption for specific product forms or product lines.

The Department of Financial Services ("DFS") also may incur costs for the implementation and continuation of this rule, because DFS will need to monitor reserves to ensure conformance with the Manual and Insurance Law section 4217(g). However, any additional costs incurred should be minimal and DFS should be able to absorb the costs in its ordinary budget.

This rule does not impose compliance costs on any local government.

5. Local government mandates: This rule does not impose any program, service, duty, or responsibility upon a county, city, town, village, school district, fire district, or other special district.

6. Paperwork: This rule imposes reporting requirements related to the insurance policies and contracts subject to the minimum valuation standards prescribed by this rule.

7. Duplication: This rule does not duplicate, overlap, or conflict with any existing state or federal rules or other legal requirements.

8. Alternatives: Significant alternatives considered by DFS to the proposed rule include adopting the valuation requirements of the Manual without modifications or maintaining the current valuation requirements as the minimum valuation standards. This rule incorporates aspects of the two alternatives considered in a manner that best serves the policyholders of New York State.

9. Federal standards: The rule does not exceed any minimum standards of the federal government for the same or similar subject areas.

10. Compliance schedule: A life insurer must comply with the rule upon publication of the Notice of Adoption in the State Register.

Regulatory Flexibility Analysis

The Department of Financial Services ("DFS") finds that this proposed rulemaking will not impose any adverse economic impact or compliance requirements on small businesses or local governments. The basis for this finding is that this rule is directed at life insurance companies and fraternal benefit societies (collectively, "life insurers"), none of which are local governments or come within the definition of a "small business" as defined in State Administrative Procedure Act section 102(8). DFS reviewed filed reports on examination and annual statements of such life insurers and concluded that none of these life insurers come within the definition of "small business" because there are none that are both independently owned and have fewer than 100 employees.

Rural Area Flexibility Analysis

1. Types and estimated numbers of rural areas: Life insurance companies and fraternal benefit societies (collectively, "life insurers") affected by this rule operate in every county in this state, including rural areas as defined by State Administrative Procedure Act section 102(10).

2. Reporting, recordkeeping and other compliance requirements; and professional services: This rule imposes reporting requirements related to the insurance policies and contracts subject to the minimum valuation standards prescribed by this rule. A life insurer in a rural area may need to retain professional services, such as actuaries, to comply with this rule.

3. Costs: This rule may impose compliance costs on life insurers, including life insurers in rural areas, because a life insurer must adjust its reserves as the Superintendent of Financial Services ("Superintendent") deems necessary to comply with the National Association of Insurance Commissioners' valuation manual (the "Manual") and Insurance Law section 4217(g). This is a consequence of new Insurance Law section 4217(g), which requires that the minimum standard for the valuation of certain life insurance policies and annuity contracts will be the standard prescribed in the Manual with modifications by the Superintendent. However, under the law, a domestic insurance company and a fraternal benefit society that only writes business in New York may, with the Superintendent's approval, obtain an exemption for specific product forms or product lines.

4. Minimizing adverse impact: This rule uniformly affects life insurers that are located in both rural and non-rural areas of New York State. The rule should not have an adverse impact on rural areas.

5. Rural area participation: Life insurers in rural areas will have an opportunity to participate in the rule-making process when the notice of proposed rule-making is published in the State Register and posted on the Department of Financial Services' website.

Job Impact Statement

This rule should not adversely impact jobs or employment opportunities in New York State. This rule prescribes the minimum standards for valuing statutory reserves subject to the requirements of the National Association of Insurance Commissioners' valuation manual (the "Manual") adopted

by the Superintendent of Financial Services ("Superintendent") and Insurance Law section 4217(g). Insurance Law section 4217(g) authorizes the Superintendent to deviate, through regulations, from the reserve standards, valuation methods, assumptions, and related requirements in the Manual, provided that such deviations shall not result in reserve valuations that are lower than the minimum standards prescribed in the Manual. This rule may create new jobs or employment opportunities because life insurance companies and fraternal benefit societies may need to hire additional personnel, such as actuaries, to comply with this rule.

Division of Housing and Community Renewal

EMERGENCY RULE MAKING

Change in Location of DHCR's Office of Legal Affairs (OLA)

I.D. No. HCR-44-19-00001-E

Filing No. 923

Filing Date: 2019-10-09

Effective Date: 2019-10-09

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following action:

Action taken: Amendment of sections 1727-8.4, 2108.13, 2208.12, 2520.12, 2530.1, 2650.3, 2650.7 and 2657.9 of Title 9 NYCRR.

Statutory authority: L. 1974, ch. 576, section 10a; New York City Administrative Code, section 26-511(b) as recodified by L. 1985, ch. 907, section 1 as added by the L. 1985, ch. 888, section 8; L. 2011, ch. 97, section 44; Rent Laws of 2015, part A, ch. 20, section 17

Finding of necessity for emergency rule: Preservation of general welfare.

Specific reasons underlying the finding of necessity: As of October 15, 2019, Division of Housing and Community Renewal's Office of Legal Affairs will have moved to 641 Lexington Avenue, New York, NY 10022. Time is of the essence to notify the public of an address change at DHCR so that they can direct service of legal papers and communications there.

Subject: Change in location of Division of Housing and Community Renewal's Office of Legal Affairs (OLA).

Purpose: The purpose is to inform of the change in location in order to direct service of legal papers and communications there.

Text of emergency rule: Miscellaneous Provisions

Part 2650 PUBLIC ACCESS TO DIVISION RECORDS

Subdivision (b) of Section 2650.7 of this Part is amended to read as follows:

(b) Denial of access to all other records maintained by the Division shall be in writing, stating the reason therefore and advising the requestor of the right to appeal to:

Records Appeals Officer
Office of the General Counsel
Division of Housing and Community Renewal
[25 Beaver Street - 7th Floor] 641 Lexington Avenue
New York, New York [10004] 10022

Miscellaneous Provisions

Part 2657 ACCESS TO PERSONAL INFORMATION

Subdivision (a) of Section 2657.9 of this Part is amended to read as follows:

(a) Any person denied access to a record or denied a request to amend or correct a record or personal information, pursuant to section 2657.8 of this Part may, within thirty business days of such denial, appeal to the:

Privacy Compliance Appeals Officer
Office of the General Counsel
Division of Housing and Community Renewal
[25 Beaver Street - 7th Floor] 641 Lexington Avenue
New York, New York [10004] 10022

Rent and Eviction Regulations - New York City

PART 2208 ADMINISTRATIVE REVIEW

Subdivision (a) of Section 2208.12 of this Part is amended to read as follows:

(a) personal delivery of the notice of petition and petition to counsel's office at the division's office, [25 Beaver Street, New York, New York 10004] 641 Lexington Avenue, New York, N.Y 10022, or such other ad-

dress as may be designated by the administrator, and delivering a copy thereof to an Assistant Attorney General at an office of the New York State Attorney General within the State; or

Rent Stabilization Code

Part 2530 JUDICIAL REVIEW

Subdivision (a) of Section 2530.1 of this Part is amended to read as follows:

(a) personal delivery of the notice of petition and petition to Counsel's Office at the DHCR's office, [25 Beaver Street, New York, NY 10004] 641 Lexington Avenue, New York, N.Y. 10022, or such other address as may be designated by the commissioner, and delivering a copy thereof to an Assistant Attorney General at an office of the New York State Attorney General within the State; or

Emergency Tenant Protection Regulations

Part 2510 ADMINISTRATIVE REVIEW

Paragraph 1 in subdivision (c) of Section 2520.12 is amended to read as follows:

(1) personal delivery of the notice of petition and petition to Counsel's Office at the division's office, [25 Beaver Street, New York, New York 10004] 641 Lexington Avenue, New York, N.Y. 10022 or such other address as may be designated by the commissioner, and delivering a copy thereof to an Assistant Attorney General at an office of the New York State Attorney General within the State; or

Housing Company Management

Subpart 1727-8 SUCCESSION REQUIREMENTS

Subdivision (c) of Section 1727-8.4 of this Subpart is amended to read as follows:

(c) A family member applying to succeed to a lease, within 30 days of receipt of the written denial notice, may appeal to the Office of Legal Affairs of the division from such denial by the housing company, by sending the appeal to: Division of Housing and Community Renewal [25 Beaver Street New York, New York 10004] 641 Lexington Avenue, New York, N.Y. 10022 Attention: Office of Legal Affairs together with proof of service of a copy of such appeal upon the housing company. The appeal shall briefly set forth the reasons why the family member believes he or she is entitled to occupy the apartment and any errors or erroneous findings he or she believes are contained in the housing company's determination.

Rent and Eviction Regulations-State

Part 2108 ADMINISTRATIVE REVIEW

Subdivision (a) of Section 2108.13 of this Part is amended to read as follows:

(a) personal delivery of the notice of petition and petition to counsel's office at the commission's office, [25 Beaver Street, New York, New York 10004] 641 Lexington Avenue, New York, N.Y. 10022, or such other address as may be designated by the administrator, and delivering a copy thereof to an Assistant Attorney General at an office of the New York State Attorney General within the State; or

Miscellaneous Provisions

Part 2650 PUBLIC ACCESS TO DIVISION RECORDS

Subdivision (c) of Section 2650.3 of this Part is amended to read as follows:

(c) All other records maintained by the Division, including housing operation records, are available for public inspection and copying, upon request and appointment, at the Division office located at [25 Beaver Street, New York, New York.] 641 Lexington Avenue, New York, N.Y. 10022. Requests for information and records can be made to that office by writing to:

Records Access Officer

Division of Housing and Community Renewal

[25 Beaver Street - 7th Floor] 641 Lexington Avenue

New York, New York [10004] 10022

e-mail: FOIL@nysdchr.gov

This notice is intended to serve only as a notice of emergency adoption. This agency intends to adopt this emergency rule as a permanent rule and will publish a notice of proposed rule making in the *State Register* at some future date. The emergency rule will expire January 6, 2020.

Text of rule and any required statements and analyses may be obtained from: Francis De Martini, New York State Division of Housing and Community Renewal, 641 Lexington Avenue, New York, NY 10022, (212) 480-7471, email: Francis.DeMartini@nysdchr.gov

Regulatory Impact Statement

DHCR is claiming an exemption for a technical amendment under SAPA 202(a)(5) as the proposed amendments involve solely a change of address which is a technical change of the information in the existing regulations relating to DHCR's address.

Regulatory Flexibility Analysis, Rural Area Flexibility Analysis and Job Impact Statement

The above statements are not required as the amendments solely notify the public of an address change at DHCR which does not appear to impose

any adverse impact or require any additional reporting, recordkeeping or other compliance requirements. Additionally, it is apparent from the text of the rules that there will be no adverse impact on jobs and employment opportunities as a result of the amendments.

Power Authority of the State of New York

ERRATUM

A Notice of Proposed Rule Making, I.D. No. PAS-42-19-00008-P, pertaining to Rates for the Sale of Power and Energy, published in the October 16, 2019 issue of the *State Register*, inadvertently indicated that a public hearing (though not required by law) would be held on November 21, 2019. The public hearing, however, is actually scheduled for November 22, 2019 at the Power Authority of the State of New York, 123 Main Street, White Plains, NY.

A brief description of the subject, purpose and substance of the Proposed Rule is as follows: The Power Authority of the State of New York (the "Authority") proposes to decrease the production rates for its Westchester County Governmental Customers. The Authority provides electricity to governmental customers in Westchester County, including the County of Westchester, school districts, housing authorities, cities, towns and villages. Under the proposal, the overall 2020 production rates will decrease by 9.32% when compared with the 2019 rates. The decrease, which is based on a pro forma Cost-of-Service for 2020, is largely due to expected decreases in energy and capacity prices for electricity purchased from the New York Independent System Operator market to serve these customers. The new production rates will become effective with the January 2020 billing period.

Public Service Commission

PROPOSED RULE MAKING NO HEARING(S) SCHEDULED

Proposed Revisions to Standby Service Rates and Buyback Service Rates

I.D. No. PSC-44-19-00003-P

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following proposed rule:

Proposed Action: The Commission is considering proposed tariff filings by New York State Electric & Gas Corp. and Rochester Gas & Electric Corp. to effectuate revisions to Standby and Buyback Service rates in accordance with the Commission's May 16, 2019 Order.

Statutory authority: Public Service Law, sections 5(1)(b), (2), 65(1), (2), (3), 66(2) and (5)

Subject: Proposed revisions to Standby Service Rates and Buyback Service Rates.

Purpose: To ensure just and reasonable rates, including compensation, for distributed energy resources.

Substance of proposed rule: The Public Service Commission is considering a filing by New York State Electric and Gas Corporation and Rochester Gas and Electric Corporation, submitted on September 24, 2019, in compliance with the Commission's Order on Standby and Buyback Service Rate Design and Establishing Optional Demand-based Rates, issued on May 16, 2019.

The filing includes an Allocated Embedded Cost of Service study (ACOS), proposed tariff revisions for mass market Standby Services rates based on the ACOS study, and proposed tariff revisions revising the existing Standby Service and Buyback Service rates that reflect the results of the ACOS study with a revised Buyback contract demand charge.

The full text of the proposal and the full record of the proceeding may be reviewed online at the Department of Public Service web page: www.dps.ny.gov. The Commission may adopt, reject, or modify, in whole or in part, the action proposed and may resolve related matters.

Text of proposed rule and any required statements and analyses may be obtained by filing a Document Request Form (F-96) located on our website <http://www.dps.ny.gov/f96dir.htm>. For questions, contact: John Pitucci, Public Service Commission, 3 Empire State Plaza, Albany, New York 12223-1350, (518) 486-2655, email: john.pitucci@dps.ny.gov

Data, views or arguments may be submitted to: Kathleen H. Burgess, Secretary, Public Service Commission, 3 Empire State Plaza, Albany, New York 12223-1350, (518) 474-6530, email: secretary@dps.ny.gov

Public comment will be received until: 60 days after publication of this notice.

Regulatory Impact Statement, Regulatory Flexibility Analysis, Rural Area Flexibility Analysis and Job Impact Statement

Statements and analyses are not submitted with this notice because the proposed rule is within the definition contained in section 102(2)(a)(ii) of the State Administrative Procedure Act.

(15-E-0751SP29)

**PROPOSED RULE MAKING
NO HEARING(S) SCHEDULED**

Notice of Intent to Submeter Electricity

I.D. No. PSC-44-19-00004-P

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following proposed rule:

Proposed Action: The Commission is considering the notice of intent of Apex Rochester, LLC to submeter electricity at 380 John Street, Rochester, New York, located in the service territory of Rochester Gas and Electric Corporation.

Statutory authority: Public Service Law, sections 2, 4(1), 30, 32-48, 52, 53, 65(1), 66(1), (2), (3), (4), (12) and (14)

Subject: Notice of intent to submeter electricity.

Purpose: To ensure adequate submetering equipment and consumer protections are in place.

Substance of proposed rule: The Commission is considering the notice of intent filed by Apex Rochester, LLC on October 4, 2019, to submeter electricity at 380 John Street, Rochester, New York, 14623 located in the service territory of Rochester Gas and Electric Corporation. (RG&E).

By stating its intent to submeter electricity, Apex Rochester, LLC requests authorization to take electric service from RG&E and then distribute and meter that electricity to its residents. Submetering of electricity to residential residents is allowed so long as it complies with the protections and requirements of the Commission's regulations in 16 NYCRR Part 96.

The full text of the notice of intent and the full record of the proceeding may be reviewed online at the Department of Public Service web page: www.dps.ny.gov. The Commission may adopt, reject or modify, in whole or in part, the action proposed and may resolve related matters.

Text of proposed rule and any required statements and analyses may be obtained by filing a Document Request Form (F-96) located on our website <http://www.dps.ny.gov/f96dir.htm>. For questions, contact: John Pitucci, Public Service Commission, 3 Empire State Plaza, Albany, New York 12223-1350, (518) 486-2655, email: john.pitucci@dps.ny.gov

Data, views or arguments may be submitted to: Kathleen H. Burgess, Secretary, Public Service Commission, 3 Empire State Plaza, Albany, New York 12223-1350, (518) 474-6530, email: secretary@dps.ny.gov

Public comment will be received until: 60 days after publication of this notice.

Regulatory Impact Statement, Regulatory Flexibility Analysis, Rural Area Flexibility Analysis and Job Impact Statement

Statements and analyses are not submitted with this notice because the proposed rule is within the definition contained in section 102(2)(a)(ii) of the State Administrative Procedure Act.

(19-E-0649SP1)

**PROPOSED RULE MAKING
NO HEARING(S) SCHEDULED**

Proposed Revisions to Standby Service Rates and Buyback Service Rates

I.D. No. PSC-44-19-00005-P

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following proposed rule:

Proposed Action: The Commission is considering a proposed tariff filing by Consolidated Edison Company of New York, Inc. (Con Edison) to effectuate revisions to Standby and Buyback Service rates in accordance with the Commission's May 16, 2019 Order in this proceeding.

Statutory authority: Public Service Law, sections 5(1)(b), (2), 65(1), (2), (3), 66(2) and (5)

Subject: Proposed revisions to Standby Service Rates and Buyback Service Rates.

Purpose: To ensure just and reasonable rates, including compensation, for distributed energy resources.

Substance of proposed rule: The Public Service Commission is considering a filing by Consolidated Edison Company of New York, Inc., submitted on September 23, 2019, in compliance with the Commission's Order on Standby and Buyback Service Rate Design and Establishing Optional Demand-based Rates, issued on May 16, 2019.

The filing includes an Allocated Embedded Cost of Service study (ACOS), proposed tariff revisions for mass market Standby Services rates based on the ACOS study, and proposed tariff revisions revising the existing Standby Service and Buyback Service rates that reflect the results of the ACOS study with a revised Buyback contract demand charge.

The full text of the proposal and the full record of the proceeding may be reviewed online at the Department of Public Service web page: www.dps.ny.gov. The Commission may adopt, reject, or modify, in whole or in part, the action proposed and may resolve related matters.

Text of proposed rule and any required statements and analyses may be obtained by filing a Document Request Form (F-96) located on our website <http://www.dps.ny.gov/f96dir.htm>. For questions, contact: John Pitucci, Public Service Commission, 3 Empire State Plaza, Albany, New York 12223-1350, (518) 486-2655, email: john.pitucci@dps.ny.gov

Data, views or arguments may be submitted to: Kathleen H. Burgess, Secretary, Public Service Commission, 3 Empire State Plaza, Albany, New York 12223-1350, (518) 474-6530, email: secretary@dps.ny.gov

Public comment will be received until: 60 days after publication of this notice.

Regulatory Impact Statement, Regulatory Flexibility Analysis, Rural Area Flexibility Analysis and Job Impact Statement

Statements and analyses are not submitted with this notice because the proposed rule is within the definition contained in section 102(2)(a)(ii) of the State Administrative Procedure Act.

(15-E-0751SP25)

**PROPOSED RULE MAKING
NO HEARING(S) SCHEDULED**

Proposed Revisions to Standby Service Rates and Buyback Service Rates

I.D. No. PSC-44-19-00006-P

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following proposed rule:

Proposed Action: The Commission is considering a proposed tariff filing by Orange and Rockland Utilities, Inc. (O&R) to effectuate revisions to Standby and Buyback Service rates in accordance with the Commission's May 16, 2019 Order in this proceeding.

Statutory authority: Public Service Law, sections 5(1)(b), (2), 65(1), (2), (3), 66(2) and (5)

Subject: Proposed revisions to Standby Service Rates and Buyback Service Rates.

Purpose: To ensure just and reasonable rates, including compensation, for distributed energy resources.

Substance of proposed rule: The Public Service Commission is considering a filing by Orange and Rockland Utilities, Inc., submitted on September 23, 2019, in compliance with the Commission's Order on Standby and

Buyback Service Rate Design and Establishing Optional Demand-based Rates, issued on May 16, 2019.

The filing includes an Allocated Embedded Cost of Service study (ACOS), proposed tariff revisions for mass market Standby Services rates based on the ACOS study, and proposed tariff revisions revising the existing Standby Service and Buyback Service rates that reflect the results of the ACOS study with a revised Buyback contract demand charge.

The full text of the proposal and the full record of the proceeding may be reviewed online at the Department of Public Service web page: www.dps.ny.gov. The Commission may adopt, reject, or modify, in whole or in part, the action proposed and may resolve related matters.

Text of proposed rule and any required statements and analyses may be obtained by filing a Document Request Form (F-96) located on our website <http://www.dps.ny.gov/f96dir.htm>. For questions, contact: John Pitucci, Public Service Commission, 3 Empire State Plaza, Albany, New York 12223-1350, (518) 486-2655, email: john.pitucci@dps.ny.gov

Data, views or arguments may be submitted to: Kathleen H. Burgess, Secretary, Public Service Commission, 3 Empire State Plaza, Albany, New York 12223-1350, (518) 474-6530, email: secretary@dps.ny.gov

Public comment will be received until: 60 days after publication of this notice.

Regulatory Impact Statement, Regulatory Flexibility Analysis, Rural Area Flexibility Analysis and Job Impact Statement

Statements and analyses are not submitted with this notice because the proposed rule is within the definition contained in section 102(2)(a)(ii) of the State Administrative Procedure Act.

(15-E-0751SP27)

PROPOSED RULE MAKING NO HEARING(S) SCHEDULED

Proposed Revisions to Standby Service Rates and Buyback Service Rates

I.D. No. PSC-44-19-00007-P

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following proposed rule:

Proposed Action: The Commission is considering a proposed tariff filing by Central Hudson Gas & Electric Corporation (Central Hudson) to effectuate revisions to Standby and Buyback Service rates in accordance with the Commission's May 16, 2019 Order in this proceeding.

Statutory authority: Public Service Law, sections 5(1)(b), (2), 65(1), (2), (3), 66(2) and (5)

Subject: Proposed revisions to Standby Service Rates and Buyback Service Rates.

Purpose: To ensure just and reasonable rates, including compensation, for distributed energy resources.

Substance of proposed rule: The Public Service Commission is considering a filing by Central Hudson Gas & Electric Corporation, submitted on September 23, 2019, in compliance with the Commission's Order on Standby and Buyback Service Rate Design and Establishing Optional Demand-based Rates, issued on May 16, 2019.

The filing includes an Allocated Embedded Cost of Service study (ACOS), proposed tariff revisions for mass market Standby Services rates based on the ACOS study, and proposed tariff revisions revising the existing Standby Service and Buyback Service rates that reflect the results of the ACOS study with a revised Buyback contract demand charge.

The full text of the proposal and the full record of the proceeding may be reviewed online at the Department of Public Service web page: www.dps.ny.gov. The Commission may adopt, reject, or modify, in whole or in part, the action proposed and may resolve related matters.

Text of proposed rule and any required statements and analyses may be obtained by filing a Document Request Form (F-96) located on our website <http://www.dps.ny.gov/f96dir.htm>. For questions, contact: John Pitucci, Public Service Commission, 3 Empire State Plaza, Albany, New York 12223-1350, (518) 486-2655, email: john.pitucci@dps.ny.gov

Data, views or arguments may be submitted to: Kathleen H. Burgess, Secretary, Public Service Commission, 3 Empire State Plaza, Albany, New York 12223-1350, (518) 474-6530, email: secretary@dps.ny.gov

Public comment will be received until: 60 days after publication of this notice.

Regulatory Impact Statement, Regulatory Flexibility Analysis, Rural Area Flexibility Analysis and Job Impact Statement

Statements and analyses are not submitted with this notice because the proposed rule is within the definition contained in section 102(2)(a)(ii) of the State Administrative Procedure Act.

(15-E-0751SP26)

PROPOSED RULE MAKING NO HEARING(S) SCHEDULED

Notice of Intent to Submeter Electricity

I.D. No. PSC-44-19-00008-P

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following proposed rule:

Proposed Action: The Commission is considering the notice of intent of 85 Jay Street (Brooklyn), LLC to submeter electricity at 160 and 180 Front Street and 115 and 135 York Street, New York, located in the service territory of Consolidated Edison Company of New York, Inc.

Statutory authority: Public Service Law, sections 2, 4(1), 30, 32-48, 52, 53, 65(1), 66(1), (2), (3), (4), (12) and (14)

Subject: Notice of intent to submeter electricity.

Purpose: To ensure adequate submetering equipment and consumer protections are in place.

Substance of proposed rule: The Commission is considering the notice of intent filed by 85 Jay Street (Brooklyn), LLC on September 20, 2019, to submeter electricity at four separate properties: 160 and 180 Front Street and 115 and 135 York Street, New York, New York, 11201 located in the service territory of Consolidated Edison Company of New York, Inc. (Con Edison).

By stating its intent to submeter electricity, 85 Jay Street (Brooklyn), LLC requests authorization to take electric service from Con Edison and then distribute and meter that electricity to its residents. Submetering of electricity to residential residents is allowed so long as it complies with the protections and requirements of the Commission's regulations in 16 NYCRR Part 96.

The full text of the notice of intent and the full record of the proceeding may be reviewed online at the Department of Public Service web page: www.dps.ny.gov. The Commission may adopt, reject or modify, in whole or in part, the action proposed and may resolve related matters.

Text of proposed rule and any required statements and analyses may be obtained by filing a Document Request Form (F-96) located on our website <http://www.dps.ny.gov/f96dir.htm>. For questions, contact: John Pitucci, Public Service Commission, 3 Empire State Plaza, Albany, New York 12223-1350, (518) 486-2655, email: john.pitucci@dps.ny.gov

Data, views or arguments may be submitted to: Kathleen H. Burgess, Secretary, Public Service Commission, 3 Empire State Plaza, Albany, New York 12223-1350, (518) 474-6530, email: secretary@dps.ny.gov

Public comment will be received until: 60 days after publication of this notice.

Regulatory Impact Statement, Regulatory Flexibility Analysis, Rural Area Flexibility Analysis and Job Impact Statement

Statements and analyses are not submitted with this notice because the proposed rule is within the definition contained in section 102(2)(a)(ii) of the State Administrative Procedure Act.

(19-E-0600SP1)

PROPOSED RULE MAKING NO HEARING(S) SCHEDULED

Proposed Revisions to Standby Service Rates and Buyback Service Rates

I.D. No. PSC-44-19-00009-P

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following proposed rule:

Proposed Action: The Commission is considering a proposed tariff filing by Niagara Mohawk Power Corporation d/b/a National Grid to effectuate revisions to Standby and Buyback Service rates in accordance with the Commission's May 16, 2019 Order in this proceeding.

Statutory authority: Public Service Law, sections 5(1)(b), (2), 65(1), (2), (3), 66(2) and (5)

Subject: Proposed revisions to Standby Service Rates and Buyback Service Rates.

Purpose: To ensure just and reasonable rates, including compensation, for distributed energy resources.

Substance of proposed rule: The Public Service Commission is considering a filing by Niagara Mohawk Power Corporation d/b/a National Grid,

submitted on September 23, 2019, in compliance with the Commission's Order on Standby and Buyback Service Rate Design and Establishing Optional Demand-based Rates, issued on May 16, 2019.

The filing includes proposed tariff revisions for mass market Standby Services rates based on the ACOS study, and proposed tariff revisions revising the existing Standby Service and Buyback Service rates that reflect the results of the ACOS study with a revised Buyback contract demand charge.

The full text of the proposal and the full record of the proceeding may be reviewed online at the Department of Public Service web page: www.dps.ny.gov. The Commission may adopt, reject, or modify, in whole or in part, the action proposed and may resolve related matters.

Text of proposed rule and any required statements and analyses may be obtained by filing a Document Request Form (F-96) located on our website <http://www.dps.ny.gov/f96dir.htm>. For questions, contact: John Pitucci, Public Service Commission, 3 Empire State Plaza, Albany, New York 12223-1350, (518) 486-2655, email: john.pitucci@dps.ny.gov

Data, views or arguments may be submitted to: Kathleen H. Burgess, Secretary, Public Service Commission, 3 Empire State Plaza, Albany, New York 12223-1350, (518) 474-6530, email: secretary@dps.ny.gov

Public comment will be received until: 60 days after publication of this notice.

Regulatory Impact Statement, Regulatory Flexibility Analysis, Rural Area Flexibility Analysis and Job Impact Statement

Statements and analyses are not submitted with this notice because the proposed rule is within the definition contained in section 102(2)(a)(ii) of the State Administrative Procedure Act.

(15-E-0751SP28)

Workers' Compensation Board

NOTICE OF ADOPTION

Group Self-Insured Trusts That Are Inactive but Not Insolvent

I.D. No. WCB-22-19-00009-A

Filing No. 946

Filing Date: 2019-10-15

Effective Date: 2019-10-30

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following action:

Action taken: Amendment of section 317.20 of Title 12 NYCRR.

Statutory authority: Workers' Compensation Law, sections 117, 50(3-a); Public Authorities Law, section 1680-q

Subject: Group self-insured trusts that are inactive but not insolvent.

Purpose: Provide assistance with inactive but not insolvent group self-insured trusts to purchase ALPs to wind down liabilities.

Text of final rule: Section 317.20 of Title 12 NYCRR is hereby amended to read as follows:

Section 317.20. Insolvent; assessments; termination and dissolution of the group

(a) [Definition.] Insolvent, in the context of a determination by the Chair, or his or her designee, to levy an assessment pursuant to the provisions of Workers' Compensation Law section 50(5)(g), shall mean the inability of a private group self-insurer, to pay its outstanding lawful obligations under the Workers' Compensation Law as they mature in the regular course of business, as may be shown by:

(1) the self-insurer being under-funded as defined in Workers' Compensation Law, section 50 (3-a); and

(2) the sum of the self-insurer's assets, as defined by section 317.2(n) of this Part, plus the available security deposit held by the Chair pursuant to Workers' Compensation Law, section 50(3-a) and section 317.5 of this Part, being less than the total cost of all of the self-insurers anticipated workers' compensation liabilities, as defined by section 317.2(o) of this Part, that will accrue within the succeeding six months.

(b) The Chair shall levy an assessment against all private group self-insurers, pursuant to Workers' Compensation Law, section 50(5)(g), whenever he or she, or his or her designee, determines that workers' compensation benefits may be unpaid by reason of the default of an insolvent private group self-insurer as defined in subdivision (a) of this section.

(c) [Termination and dissolution of the group.] The group shall continue for such time as may be necessary to accomplish the purpose for which it

was created, and so long as all requirements to maintain authorization as set forth in this Part continue to be met. Upon termination of the group's status as a group self-insurer, the group will continue to administer the workers' compensation liabilities incurred by the group. Such a group shall be designated terminated.

(1) In the event a terminated group is deemed underfunded by the Chair, the group remains subject to provisions of this Part relative to underfunded groups. In connection therewith the group may be required to levy an assessment upon the group members as part of an overall plan of dissolution designed to extinguish all of the group's accrued liabilities. Such plan should contemplate the execution of an assumption of workers' compensation liability insurance policy securing the group's contingent and future liability arising out of prior workers' compensation claims.

(2) As part of a plan of dissolution a terminated group may apply to the Chair for financial assistance in meeting any unfunded claims obligations as defined in Workers' Compensation Law section 50(3-a). The unfunded claims liabilities set forth in such plan shall be quantified based upon the quoted price for an assumption of liability policy issued by an insurance carrier authorized to execute same. In no event shall the Chair be required to provide any group qualifying under this section more than forty percent of the cost of an assumption of workers' compensation liability policy premium nor more than fifty million dollars regardless of the percentage of the assumption of workers' compensation liability policy premium. Subject to this maximum threshold the Chair, in his or her discretion, may supply funding to the group in the amount of such unfunded claims obligations provided the following criteria have been met by the group:

(i) The group has submitted a dissolution plan setting forth the manner in which the group shall wind down all of its remaining obligations and operations including, but not limited to, the execution of an assumption of workers' compensation liability insurance policy, the issuance of releases of joint and several liability and/or the return of funds to the employer members of the group who supply the agreements set forth in paragraph three below. Said plan shall include the retainer of independent legal counsel for such purpose and shall, to the extent that the Board requires additional funds to fully recoup any financial assistance provided hereunder, provide for the remittance of funds from the group to the Board, from the members of the group that do not supply the agreements referenced in paragraph three below. The Chair shall review said plan for reasonableness and approve said plan where appropriate; and

(ii) The group has levied an assessment on all of the group's members in an amount sufficient to discharge the full value of the group's unfunded claims liability and all other remaining liabilities of the group.

(3) In the event that a terminated group meets the terms and conditions enumerated in paragraph two above, the group shall provide, in a form acceptable to the Chair, signed and notarized repayment agreements and confessions of judgment in favor of the workers' compensation board from the former members of the group in the aggregate amount of the funds sought by the group. In connection therewith, if deemed necessary by the Chair, the group shall reconcile the previously levied assessment to account for any members of the group that have been deemed unable to contribute to the group's liabilities and/or otherwise participate in the plan of dissolution.

(4) Upon the receipt and approval of agreements referenced above, the Chair shall use reasonable efforts to facilitate the group's execution of an assumption of liability policy, including, where appropriate, releasing the security held by the Chair on behalf of the group in furtherance of the execution of the assumption of liability policy and providing the funding referenced in paragraph two above to the assumption of liability policy carrier. In connection therewith, the group shall remain liable to provide all additional funding for the execution of the assumption of liability policy including but not limited to all required premium surcharges as set forth in Workers' Compensation Law section 50(3-a)(7)(a). In the event that the assumption of liability policy is ultimately disapproved by the superintendent of the department of financial services or is not executed for any other reason, the Chair shall be under no obligation to provide funding assistance to the terminated group and shall retain the security held by the Chair on behalf of the group, and the repayment agreements and confessions of judgment referenced in paragraph (3) above shall be deemed void and unenforceable.

(d) Upon failure on the part of the group to properly administer such liabilities, the Chair shall assume the administration and final distribution of the group's assets and liabilities.

Final rule as compared with last published rule: Nonsubstantive changes were made in section 317.20(c)(4).

Text of rule and any required statements and analyses may be obtained from: Heather MacMaster, NYS Workers' Compensation Board, Office of General Counsel, 328 State Street, Schenectady, NY 12305, (518) 486-9564, email: regulations@wcb.ny.gov

Revised Regulatory Impact Statement

A revised Regulatory Impact Statement is not required because the change made to the last published rule does not necessitate revision to the previously published document. The regulatory text still seeks to provide assistance with inactive but not insolvent group self-insured trusts to purchase ALPs to wind down liabilities in a way that accomplishes the goals highlighted in the Regulatory Impact Statement. The change does not affect the meaning of any statements in the document.

Revised Regulatory Flexibility Analysis

A revised Regulatory Flexibility Analysis is not required because the change made to the last published rule does not necessitate revision to the previously published document. The change does not affect the meaning of any statements in the document.

Revised Rural Area Flexibility Analysis

A revised Rural Area Flexibility Analysis is not required because the change made to the last published rule does not necessitate revision to the previously published document. The change does not affect the meaning of any statements in the document.

Revised Job Impact Statement

The proposed regulation will not have an adverse impact on jobs. The proposed amendments offer a way to prevent inactive but not insolvent groups from becoming insolvent while attempting to wind down their liabilities. The proposal provides a means for these groups to purchase an assumption of loss policy (ALP) to quantify their liabilities with certainty and provide finality with respect to the amounts owed – it is not expected to have any impact on jobs.

Initial Review of Rule

As a rule that requires a RFA, RAFA or JIS, this rule will be initially reviewed in the calendar year 2022, which is no later than the 3rd year after the year in which this rule is being adopted.

Assessment of Public Comment

During the public comment period, the Chair and Board received one written comment from a law firm.

The comment suggested changing section 317.20(c)(2) to take away the discretion of the Board if the group self-insurer has satisfied the conditions. The conditions listed are considered the minimum requirements to qualify for financial assistance – there may be other specific facts that affect whether or not financial assistance will be offered. The Board believes a permissive approach is more appropriate, so no change has been made in response to this comment.

The comment also requested requiring the Chair's approval and remittance of the funds within 30 days of the request. Because the regulation already states that the Board shall use reasonable efforts to assist the group in effectuating an assumption of liability policy, a specific timeline is unnecessary, so no change has been made.

The comment also requested an addition of language to clearly permit groups to issue assessments to cover the full cost of an ALP in order to facilitate the levy and collection of the assessment. The regulation already makes clear that the group will submit a dissolution plan and that the plan shall include the execution of an assumption of liability policy, so no change is required.

The comment also requested that the regulation clarify that the Chair is responsible for collection of any outstanding assessments upon execution of the ALP, as well as whether the group retains the ability to calculate the amount of each participating member's commitment. Paragraph (c)(3) of the regulation specifically states that the repayment agreements and confessions of judgment will run in favor of the Board, so no change has been made in response to this comment.

This comment also suggested adding a sentence clarifying how the repayment agreements and confessions of judgment will be allocated among the participating members. The regulation already provides that it is the group's responsibility to propose and allocate an assessment as part of the dissolution plan, so no change has been made.

This comment also suggested adding language stating that if the ALP does not go forward, the repayment agreements and confessions of judgment should be voided. As the suggestion clarifies the language proposed, the Board has made this change in the final text.

The comment requested a revision allowing for the funding of all costs of an ALP, not just the policy premium. The regulation already makes clear that the group is liable for all ancillary costs of an ALP as set forth in section 50(3-a)(7)(b) of the Workers' Compensation Law, so no change has been made in response to this comment.

The comment opined that it was unclear whether the assessment must be collected prior to the request for funding. The regulation already makes clear that 60% of the cost of the ALP must be paid upfront, and therefore the remaining 40% may be paid afterward – therefore, the Board has not made a change in response to this comment.

The comment suggested adding language to indicate when the group members that have executed the repayment agreements and confessions of judgment under subdivision (c)(3) will receive a full and final release from liability. As subdivision (c)(2)(i) already makes clear that the group will propose these specifics as part of its dissolution plan and the Board will either approve or modify these specifics, no change has been made in response to this comment.

The Chair has made the following clarifying change to the regulation:

- Added language to 317.20(c)(4) to clarify explicitly that if the ALP is not approved or not executed, the repayment agreements and confessions of judgment referenced in 317.20(c)(3) are deemed void and unenforceable.

HEARINGS SCHEDULED FOR PROPOSED RULE MAKINGS

Agency I.D. No.	Subject Matter	Location—Date—Time
Environmental Conservation, Department of		
ENV-36-19-00001-P	Waste fuels	Department of Environmental Conservation, 625 Broadway, Public Assembly Rm. 129A/B, Albany, NY—November 8, 2019, 11:00 a.m.
ENV-36-19-00002-P	New aftermarket catalytic converter (AMCC) standards	Department of Environmental Conservation, 625 Broadway, Public Assembly Rm. 129A/B, Albany, NY—November 8, 2019, 11:00 a.m.
ENV-36-19-00003-P	Stationary combustion installations	Department of Environmental Conservation, 625 Broadway, Public Assembly Rm. 129A/B, Albany, NY—November 8, 2019, 11:00 a.m.
ENV-36-19-00014-P	Distributed generation sources located in New York City, Long Island, Westchester and Rockland Counties	Department of Environmental Conservation, 625 Broadway, Public Assembly Rm. 129A/B, Albany, NY—November 12, 2019, 11:00 a.m.
ENV-39-19-00003-P	Part 219 applies to various types of incinerators and crematories operated in New York State	Department of Transportation, One Hunters Point Plaza, 47-40 21st St., Rm. 834, Long Island City, NY—November 20, 2019, 2:00 p.m. Suffolk County Water Authority, 260 Motor Pkwy., Hauppauge, NY—December 3, 2019, 11:00 a.m. 6274 Avon-Lima Rd. (Rtes. 5 and 20), Conference Rm., Avon, NY—December 4, 2019, 11:00 a.m.
ENV-43-19-00006-P	Class I and Class SD waters	Department of Environmental Conservation, 625 Broadway, Public Assembly Rm. 129A/B, Albany, NY—December 6, 2019, 11:00 a.m.
ENV-43-19-00010-P	Repeal and replace 6 NYCRR Part 622 and amend 6 NYCRR Parts 620, 621 and 624	Department of Environmental Conservation, 625 Broadway, Albany, NY—January 7, 2020, 1:00 p.m.
Long Island Power Authority		
LPA-37-19-00005-P	Authority's annual budget, as reflected in the rates and charges in the Tariff for Electric Service	H. Lee Dennison Bldg., 100 Veterans Memorial Hwy., Hauppauge, NY—November 12, 2019, 2:00 p.m. Long Island Power Authority, 333 Earle Ovington Blvd., 4th Fl., Uniondale, NY—November 13, 2019, 12:00 p.m.
LPA-37-19-00006-P	Modification of the SGIP to clarify and reflect updates to the State's Standardized Interconnection Requirements (SIR)	H. Lee Dennison Bldg., 100 Veterans Memorial Hwy., Hauppauge, NY—November 12, 2019, 2:00 p.m. Long Island Power Authority, 333 Earle Ovington Blvd., 4th Fl., Uniondale, NY—November 13, 2019, 12:00 p.m.
LPA-37-19-00007-P	Standard rates for pole attachments of the Authority's Tariff for Electric Service	H. Lee Dennison Bldg., 100 Veterans Memorial Hwy., Hauppauge, NY—November 12, 2019, 2:00 p.m. Long Island Power Authority, 333 Earle Ovington Blvd., 4th Fl., Uniondale, NY—November 13, 2019, 12:00 p.m.
Power Authority of the State of New York		
PAS-42-19-00008-P	Rates for the sale of power and energy	Power Authority of the State of New York, 123 Main St., White Plains, NY—November 21, 2019, 11:00 a.m.

ACTION PENDING INDEX

The action pending index is a list of all proposed rules which are currently being considered for adoption. A proposed rule is added to the index when the notice of proposed rule making is first published in the *Register*. A proposed rule is removed from the index when any of the following occur: (1) the proposal is adopted as a permanent rule; (2) the proposal is rejected and withdrawn from consideration; or (3) the proposal's notice expires.

Most notices expire in approximately 12 months if the agency does not adopt or reject the proposal within that time. The expiration date is printed in the second column of the action pending index. Some notices, however, never expire. Those notices are identified by the word "exempt" in the second column. Actions pending for one year or more are preceded by an asterisk(*).

For additional information concerning any of the proposals

listed in the action pending index, use the identification number to locate the text of the original notice of proposed rule making. The identification number contains a code which identifies the agency, the issue of the *Register* in which the notice was printed, the year in which the notice was printed and the notice's serial number. The following diagram shows how to read identification number codes.

Agency code	Issue number	Year published	Serial number	Action Code
AAM	01	12	00001	P

Action codes: P — proposed rule making; EP — emergency and proposed rule making (expiration date refers to proposed rule); RP — revised rule making

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
AGING, OFFICE FOR THE			
AGE-34-19-00014-P	08/20/20	Limits on Administrative Expenses and Executive Compensation	To bring this rule into compliance with current law in New York State
AGRICULTURE AND MARKETS, DEPARTMENT OF			
AAM-21-19-00002-ERP	05/21/20	Control of the European Cherry Fruit Fly	To help control the spread of the European Cherry Fruit Fly (ECFF), which renders cherries unmarketable if they are infested.
AAM-30-19-00004-P	07/23/20	Fuels for use in automobiles and motor-driven devices and equipment.	To conform regulations with federal requirements; to provide standards for, and relieve confusion in the sale of new fuels.
AAM-33-19-00003-P	08/13/20	State aid to districts	To conform Part 363 to S&WCL Sec. 11-a statutory amendments and to make technical amendments.
AAM-34-19-00001-EP	08/20/20	Spotted Lanternfly ("SL")	To prevent SL-infested articles originating in Dauphin County, in PA, or Cecil County, in MD, from entering NYS
AAM-43-19-00009-P	10/22/20	Control of the Asian Long Horned Beetle (ALB)	To lift approximately 58 square miles of Asian long horned beetle quarantine in Brooklyn and western Queens
ALCOHOLISM AND SUBSTANCE ABUSE SERVICES, OFFICE OF			
ASA-39-19-00004-P	09/24/20	Designated services (acupuncture and telepractice)	To identify standards for designation to provide acupuncture or telepractice services
ASA-39-19-00006-P	09/24/20	Children's behavioral health services	To identify addiction treatment services for children and families
ASA-44-19-00002-P	10/29/20	Limits on administrative expenses and executive compensation	To define limits on administrative expenses and executive compensation in accordance with state law and Executive Order 38

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
AUDIT AND CONTROL, DEPARTMENT OF			
AAC-29-19-00021-P	07/16/20	Limitations on Public Safety Overtime	To clarify that public safety overtime is subject to the limitations contained in the RSSL
CHILDREN AND FAMILY SERVICES, OFFICE OF			
CFS-51-18-00010-P	12/19/19	Residential and non-residential services to victims of domestic violence	To conform the existing regulations to comply with state and federal laws regarding services to victims of domestic violence
CFS-19-19-00006-ERP	05/07/20	Procedures for addressing children absent without consent from foster care, conditional releases and searches	To put into place procedures for children absent without consent from foster care, conditional releases and searches
CFS-36-19-00004-EP	09/03/20	Removal of non-medical exemption from vaccination regulations for child day care programs	To remove the non-medical exemption from vaccination regulations for child day care programs
CFS-39-19-00005-EP	09/24/20	Implement federal statutory requirements to include enhanced background checks, annual inspections, annual training and safety.	Implement federal statutory requirements to include enhanced background checks, annual inspections, annual training and safety.
CFS-39-19-00007-EP	09/24/20	Implement statutory requirements to include enhanced background checks, annual inspections, annual training and safety.	Implement statutory requirements to include enhanced background checks, annual inspections, annual training and safety.
CFS-42-19-00002-P	10/15/20	Permissible disclosure of records maintained by OCFS.	To amend existing regulations regarding the permissible disclosure of records by OCFS.
CIVIL SERVICE, DEPARTMENT OF			
CVS-06-19-00001-P	02/06/20	Jurisdictional Classification	To classify a position in the exempt class
CVS-13-19-00003-P	03/26/20	Jurisdictional Classification	To delete positions from and classify positions in the exempt class
CVS-18-19-00007-P	04/30/20	Jurisdictional Classification	To classify positions in the exempt class
CVS-18-19-00008-P	04/30/20	Jurisdictional Classification	To delete positions from the non-competitive class
CVS-25-19-00001-P	06/18/20	Jurisdictional Classification	To delete positions from and classify positions in the exempt and non-competitive classes.
CVS-25-19-00002-P	06/18/20	Jurisdictional Classification	To classify positions in the exempt class.
CVS-25-19-00003-P	06/18/20	Jurisdictional Classification	To classify a position in the exempt class.
CVS-25-19-00004-P	06/18/20	Jurisdictional Classification	To delete positions from the non-competitive class.
CVS-25-19-00005-P	06/18/20	Jurisdictional Classification	To delete positions from and classify a position in the non-competitive class.
CVS-25-19-00006-P	06/18/20	Jurisdictional Classification	To classify positions in the non-competitive class.
CVS-25-19-00007-P	06/18/20	Jurisdictional Classification	To delete positions from and classify a position in the non-competitive class.

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
CIVIL SERVICE, DEPARTMENT OF			
CVS-25-19-00008-P	06/18/20	Jurisdictional Classification	To classify positions in the non-competitive class.
CVS-25-19-00009-P	06/18/20	Jurisdictional Classification	To delete positions from and classify positions in the exempt and non-competitive classes.
CVS-25-19-00010-P	06/18/20	Jurisdictional Classification	To classify positions in the non-competitive class.
CVS-29-19-00001-P	07/16/20	Jurisdictional Classification	To delete positions from and classify a position in the non-competitive class
CVS-29-19-00002-P	07/16/20	Jurisdictional Classification	To classify a position in the exempt class and to delete positions from and classify a position in the non-competitive class
CVS-29-19-00003-P	07/16/20	Jurisdictional Classification	To classify a position in the non-competitive class
CVS-29-19-00004-P	07/16/20	Jurisdictional Classification	To delete positions from the non-competitive class
CVS-29-19-00005-P	07/16/20	Jurisdictional Classification	To classify positions in the non-competitive class
CVS-29-19-00006-P	07/16/20	Jurisdictional Classification	To classify positions in the non-competitive class
CVS-29-19-00007-P	07/16/20	Jurisdictional Classification	To classify positions in the non-competitive class
CVS-29-19-00008-P	07/16/20	Jurisdictional Classification	To delete a position from and classify positions in the non-competitive class
CVS-29-19-00009-P	07/16/20	Jurisdictional Classification	To classify a position in the non-competitive class
CVS-29-19-00010-P	07/16/20	Jurisdictional Classification	To classify positions in the non-competitive class
CVS-29-19-00011-P	07/16/20	Jurisdictional Classification	To classify a position in the non-competitive class
CVS-29-19-00012-P	07/16/20	Jurisdictional Classification	To classify a position in the non-competitive class
CVS-29-19-00013-P	07/16/20	Jurisdictional Classification	To classify positions in the exempt class and to delete positions from the non-competitive class
CVS-29-19-00014-P	07/16/20	Jurisdictional Classification	To delete a position in the non-competitive class
CVS-34-19-00002-P	08/20/20	Jurisdictional Classification	To classify positions in the exempt and non-competitive classes.
CVS-34-19-00003-P	08/20/20	Jurisdictional Classification	To classify a position in the exempt class
CVS-34-19-00004-P	08/20/20	Jurisdictional Classification	To classify a position in the non-competitive class

Action Pending Index**NYS Register/October 30, 2019**

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
CIVIL SERVICE, DEPARTMENT OF			
CVS-34-19-00005-P	08/20/20	Jurisdictional Classification	To classify a position in the non-competitive class
CVS-34-19-00006-P	08/20/20	Jurisdictional Classification	To delete positions from the non-competitive class
CVS-34-19-00007-P	08/20/20	Jurisdictional Classification	To delete positions from the non-competitive class
CVS-34-19-00008-P	08/20/20	Jurisdictional Classification	To delete a subheading and to delete a position in the non-competitive class
CVS-34-19-00009-P	08/20/20	Jurisdictional Classification	To delete a position from and classify a position in the non-competitive class
CVS-34-19-00010-P	08/20/20	Jurisdictional Classification	To classify positions in the exempt and non-competitive classes
CVS-34-19-00011-P	08/20/20	Jurisdictional Classification	To delete positions from and classify positions in the non-competitive class
CVS-42-19-00009-P	10/15/20	Jurisdictional Classification	To classify positions in the exempt class
CVS-42-19-00010-P	10/15/20	Jurisdictional Classification	To classify positions in the exempt class
CVS-42-19-00011-P	10/15/20	Jurisdictional Classification	To classify a position in the exempt class
CVS-42-19-00012-P	10/15/20	Jurisdictional Classification	To delete positions from and classify positions in the non-competitive class
CVS-42-19-00013-P	10/15/20	Jurisdictional Classification	To delete positions from the non-competitive class
CVS-42-19-00014-P	10/15/20	Jurisdictional Classification	To classify a position in the exempt class
CVS-42-19-00015-P	10/15/20	Jurisdictional Classification	To classify a position in the exempt class
CVS-42-19-00016-P	10/15/20	Jurisdictional Classification	To classify a position in the exempt class
CVS-42-19-00017-P	10/15/20	Jurisdictional Classification	To delete a position from and classify a position in the exempt class
CVS-42-19-00018-P	10/15/20	Jurisdictional Classification	To classify a position in the non-competitive class
CVS-42-19-00019-P	10/15/20	Jurisdictional Classification	To classify positions in the non-competitive class
CVS-42-19-00020-P	10/15/20	Jurisdictional Classification	To classify positions in the non-competitive class
CVS-42-19-00021-P	10/15/20	Jurisdictional Classification	To classify positions in the non-competitive class
CVS-42-19-00022-P	10/15/20	Jurisdictional Classification	To classify positions in the non-competitive class
CVS-42-19-00023-P	10/15/20	Jurisdictional Classification	To classify positions in the non-competitive class

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
CIVIL SERVICE, DEPARTMENT OF			
CVS-42-19-00024-P	10/15/20	Jurisdictional Classification	To delete positions from and classify positions in the non-competitive class
CVS-42-19-00025-P	10/15/20	Jurisdictional Classification	To delete positions from and classify positions in the exempt and non-competitive classes
CVS-42-19-00026-P	10/15/20	Jurisdictional Classification	To classify positions in the exempt class and to delete a position from and classify positions in the non-competitive class
CVS-42-19-00027-P	10/15/20	Jurisdictional Classification	To classify positions in the exempt and non-competitive classes
CORRECTION, STATE COMMISSION OF			
CMC-35-19-00002-P	08/27/20	Disciplinary and administrative segregation of inmates in special housing.	Prohibit the segregation of vulnerable inmates, and to standardize allowable uses and duration of special housing segregation.
CMC-41-19-00002-EP	10/08/20	Necessary age for admission to an adult lockup	To ensure that individuals under 18 years old are not admitted to an adult lockup
CORRECTIONS AND COMMUNITY SUPERVISION, DEPARTMENT OF			
CCS-05-19-00006-RP	01/30/20	Standard Conditions of Release Parole Revocation Dispositions	Establish standard conditions of release and provide a workable structure for applying appropriate parole revocation penalties
CCS-21-19-00014-P	05/21/20	Adolescent Offender Facilities	To reclassify two existing correctional facilities to adolescent offender facilities.
CCS-32-19-00007-P	08/06/20	Transfer of Foreign Nationals	Correct spelling and update employee responsibility
CCS-35-19-00001-P	08/27/20	Special Housing Units	Revisions have been made in order to be in compliance with new laws regarding special housing units and solitary confinement use
CRIMINAL JUSTICE SERVICES, DIVISION OF			
CJS-20-19-00003-P	05/14/20	Certified Instructors and Course Directors	Establish/maintain effective procedures governing certified instructors and course directors who deliver MPTC-approved courses
CJS-30-19-00010-EP	07/23/20	Use of Force	Set forth use of force reporting and recordkeeping procedures
ECONOMIC DEVELOPMENT, DEPARTMENT OF			
EDV-30-19-00003-EP	07/23/20	START-UP NY Program	Establish procedures for the implementation and execution of START-UP NY program
EDV-43-19-00001-P	10/22/20	Empire State Commercial Production Credit Program	Create administrative procedures for all components of the Empire State Commercial Production Credit Program

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
EDUCATION DEPARTMENT			
*EDU-40-18-00010-RP	01/01/20	Professional development plans and other related requirements for school districts and BOCES	To improve the quality of teaching and learning for teachers and leaders for professional growth
EDU-52-18-00005-P	12/26/19	Annual professional performance reviews.	To extend the transition period for an additional year (until 2019-2020).
EDU-05-19-00008-RP	01/30/20	Protecting Personally Identifiable Information	To implement the provisions of Education Law section 2-d
EDU-17-19-00008-P	04/23/20	To require study in language acquisition and literacy development of English language learners in certain teacher preparation	To ensure that newly certified teachers enter the workforce fully prepared to serve our ELL population
EDU-27-19-00010-P	07/02/20	Substantially Equivalent Instruction for Nonpublic School Students	Provide guidance to local school authorities to assist them in fulfilling their responsibilities under the Compulsory Ed Law
EDU-31-19-00009-EP	07/30/20	Instructional Time for State Aid purposes	To provide school districts with additional flexibility when establishing their school calendars
EDU-39-19-00008-P	09/24/20	The Education, Experience, Examination and Endorsement Requirements for Licensure as an Architect	To more closely align New York's requirements for architects with national standards and to streamline the endorsement process.
EDU-39-19-00009-P	09/24/20	Requirements for Licensure as an Architect	To more closely align the Commissioner's Regulations with national standards for licensure as an architect.
EDU-39-19-00012-P	09/24/20	Building Condition Surveys and Visual and Periodic Inspections of Public School Buildings	To align the Commissioner's Regulations with amendments made to Education Law sections 409-9d, 409-e, and 3641.
EDU-43-19-00011-P	10/22/20	Addition of Subject Ares to the Limited Extension and SOCE for Certain Teachers of Students with Disabilities	To enable more qualified teachers of students with disabilities to seek the limited extension and SOCE
EDU-43-19-00012-EP	10/22/20	Annual Professional Performance Reviews of Classroom Teachers and Building Principals	Necessary to implement part YYY of chapter 59 of the Laws of 2019
EDU-43-19-00013-P	10/22/20	Requirements for Chiropractic Education Programs and Education Requirements for Licensure as a Chiropractor	To conform educational requirements for the profession of chiropractic to the national preprofessional education standards
ELECTIONS, STATE BOARD OF			
SBE-22-19-00003-EP	05/28/20	Process for Early Voting	Establishing Process for Early Voting
SBE-35-19-00003-EP	08/27/20	Ballot Accountability Practices	Establishes additional ballot accountability procedures
ENVIRONMENTAL CONSERVATION, DEPARTMENT OF			
ENV-09-19-00015-RP	05/13/20	Set nitrogen oxide (NOx) emission rate limits for simple cycle and regenerative combustion turbines	Reduction of nitrogen oxide (NOx) emissions from simple cycle and regenerative combustion turbines
ENV-10-19-00003-P	05/13/20	Regulate volatile organic compounds (VOCs) in architectural and industrial maintenance (AIM) coatings	To set new and lower VOC limits for certain coating categories. Update categories and methods

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
ENVIRONMENTAL CONSERVATION, DEPARTMENT OF			
ENV-18-19-00006-EP	04/30/20	Regulations governing commercial fishing and harvest of scup.	To revise regulations concerning the commercial harvest of scup in New York State waters.
ENV-24-19-00002-P	08/18/20	Hazardous Waste Management Regulations (FedReg5)	To amend regulations pertaining to hazardous waste management
ENV-27-19-00001-P	07/02/20	Feeding of wild deer and moose, use of 4-Poster™ devices.	To prohibit feeding of wild deer and moose, to define conditions for use of 4-Poster™ devices.
ENV-27-19-00003-P	07/02/20	Black Bear hunting.	Expand bear hunting opportunities in Wildlife Management Unit 4W to reduce bear abundance.
ENV-28-19-00002-EP	07/09/20	Regulations governing recreational fishing of scup	To revise regulations concerning the recreational harvest of scup in New York State
ENV-29-19-00016-P	09/15/20	Reasonably Available Control Technology (RACT) for Major Facilities of Oxides of Nitrogen (NOx)	Regulation of NOx emissions from major facilities of NOx. The regulation sets NOx limits for boilers, turbines, and engines
ENV-29-19-00017-P	09/15/20	Federal and State standards for acceptable air quality	To revise outdated State and Federal air quality standards
ENV-31-19-00008-EP	07/30/20	Sanitary Condition of Shellfish Lands	To reclassify underwater shellfish lands to protect public health
ENV-32-19-00006-P	08/06/20	Chronic wasting disease	Amend regulations to reduce risk of introduction of infectious material into New York
ENV-36-19-00001-P	11/07/20	Waste Fuels	Update permit references, rule citations, monitoring, record keeping, reporting requirements, and incorporate federal standards.
ENV-36-19-00002-P	11/07/20	New Aftermarket Catalytic Converter (AMCC) standards	Prohibit sale of federal AMCCs and update existing AMCC record keeping and reporting requirements
ENV-36-19-00003-P	11/07/20	Stationary Combustion Installations	Update permit references, rule citations, monitoring, record keeping, reporting requirements, and lower emission standards.
ENV-36-19-00014-P	11/19/20	Distributed generation sources located in New York City, Long Island and Westchester and Rockland counties	Establish emission control requirements for sources used in demand response programs or as price-responsive generation sources
ENV-37-19-00003-P	09/10/20	Clarifying determination of jurisdiction under the Endangered and Threatened Fish and Wildlife regulations	To improve the review of projects by removing some project types that are known not to cause harm from the review stream
ENV-38-19-00001-P	09/17/20	Animals dangerous to health or welfare	To expand the list of animals which pose a risk to health or welfare of the people of the state or indigenous fish and wildlife
ENV-39-19-00003-P	12/05/20	Part 219 applies to various types of incinerators and crematories operated in New York State.	This rule establishes emission limits and operating requirements for various types of incinerators.

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ENVIRONMENTAL CONSERVATION, DEPARTMENT OF			
ENV-42-19-00003-P	10/15/20	Amendments to Great Lakes sportfishery regulations in 6NYCRR Part 10	Proposed amendments are intended to improve high quality sportfisheries and associated economic benefits
ENV-43-19-00006-P	01/07/21	Class I and Class SD waters	To clarify best usages of Class I and SD waters were/are "secondary contact recreation and fishing" and "fishing," respectively
ENV-43-19-00010-P	01/06/21	Repeal and replace 6 NYCRR Part 622 and amend 6 NYCRR Part 624, Part 621 and Part 620	To incorporate procedural and legal developments, develop consistency & reflect current practice in DEC hearings
FINANCIAL SERVICES, DEPARTMENT OF			
*DFS-17-16-00003-P	exempt	Plan of Conversion by Commercial Travelers Mutual Insurance Company	To convert a mutual accident and health insurance company to a stock accident and health insurance company
*DFS-25-18-00006-P	exempt	Plan of Conversion by Medical Liability Mutual Insurance Company	To convert a mutual property and casualty insurance company to a stock property and casualty insurance company
*DFS-30-18-00007-RP	10/23/19	Minimum Standards for Form, Content, and Sale of Health Insurance, Including Standards for Full and Fair Disclosure	To clarify requirements regarding coverage and disclosure of information for contraceptives
*DFS-36-18-00003-RP	12/04/19	Professional Bail Agents; Managing General Agents; et al	To provide greater protection to consumers, and raise the standards of integrity in the bail business.
DFS-46-18-00014-P	11/14/19	Regulations Implementing the Comprehensive Motor Vehicle Insurance Reparations Act- Claims for Personal Injury Protection Benefit	To give insurer option to void assignment of benefits when insurer issues denial for EIP's failure to attend IME or EUO
DFS-18-19-00005-P	04/30/20	Servicing Mortgage Loans: Business Conduct Rules	Sets standards governing the servicing of residential home mortgage loans.
DFS-20-19-00002-P	05/14/20	Electronic Filings and Submissions	To require certain filings or submissions to be made electronically
DFS-20-19-00004-P	05/14/20	Valuation of Individual and Group Accident and Health Insurance Reserves	To adopt the 2016 Cancer Claim Cost Valuation Tables
DFS-21-19-00005-P	05/21/20	Continuing Care Retirement Communities	Amend rules related to permitted investments, financial transactions, reporting requirements and add new optional contract type.
DFS-28-19-00007-P	07/09/20	Accelerated Payment of the Death Benefit Under a Life Insurance Policy	Implement statutory amendments in: Laws of 2017 ch. 300, Laws of 2014 ch. 465, Laws of 2014 ch. 448, and Laws of 2010 ch. 563
DFS-32-19-00003-P	08/06/20	Minimum Standards for Form, Content and Sale of Medicare Supplement and Medicare Select Insurance, et al.	To conform with the NAIC model regulation for Medicare supplement insurance, as required by 42 U.S.C. Section 1395ss.
DFS-33-19-00004-P	08/13/20	Minimum Standards for Form, Content, and Sale of Health Insurance, Including Standards for Full and Fair Disclosure	To set forth minimum standards for the content of health insurance identification cards.
DFS-39-19-00002-P	09/24/20	LICENSED CASHERS OF CHECKS; FEES	To increase the maximum fee that may be charged by licensed check cashers

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FINANCIAL SERVICES, DEPARTMENT OF			
DFS-43-19-00017-P	10/22/20	INDEPENDENT DISPUTE RESOLUTION FOR EMERGENCY SERVICES AND SURPRISE BILLS	To require notices and consumer disclosure information related to surprise bills and bills for emergency service to be provided
DFS-44-19-00010-P	10/29/20	Superintendent's Regulations: Basic Banking Accounts	To amend the requirements of basic banking accounts in conformity with Chapter 260 of the Laws of 2019
DFS-44-19-00011-P	10/29/20	Principle-Based Reserving	To prescribe minimum principle-based valuation standards
GAMING COMMISSION, NEW YORK STATE			
SGC-40-19-00010-P	10/01/20	Add racetrack operator to terms defined in Thoroughbred rules	To promote the integrity of racing and derive a reasonable return for government
SGC-40-19-00011-P	10/01/20	Remove obsolete reference to safety vest weight	To promote the integrity of racing and derive a reasonable return for government
SGC-40-19-00012-P	10/01/20	Add racetrack operator to terms defined in Thoroughbred rules	To promote the integrity of racing and derive a reasonable return for government
SGC-42-19-00004-P	10/15/20	Add racetrack operator to terms defined in harness racing rules	To promote the integrity of racing and derive a reasonable return for government
GENERAL SERVICES, OFFICE OF			
GNS-40-19-00005-P	10/01/20	Facility Use	To add "plastic knuckles" and remove "gravity knife" from the definition of "deadly weapon"
HEALTH, DEPARTMENT OF			
*HLT-14-94-00006-P	exempt	Payment methodology for HIV/AIDS outpatient services	To expand the current payment to incorporate pricing for services
*HLT-42-18-00008-P	10/17/19	Office-Based Surgery Practice Reports	Requires accredited Office-Based Surgery practices to submit adverse event & practice information which includes procedural data
HLT-51-18-00018-P	12/19/19	New requirements for Annual Registration of Licensed Home Care Services Agencies	To amend the regulations for licensed home care services agencies for the annual registration requirements of the agency
HLT-05-19-00005-P	01/30/20	Midwifery Birth Center Services	To set the standards for all birth centers to follow the structure of Article 28 requirements
HLT-25-19-00013-P	06/18/20	Registered Nurses in the Emergency Department	To remove a barrier to new graduate nurse recruitment in the emergency department
HLT-29-19-00020-P	07/16/20	Patients' Bill of Rights	To protect D&TC patients against unknowingly receiving care from out-of-network providers, resulting in surprise medical bills
HLT-30-19-00006-P	07/23/20	Maximum Contaminant Levels (MCLs)	Incorporating MCLs for perfluorooctanoic acid (PFOA), perfluorooctanesulfonic acid (PFOS) and 1,4-dioxane.
HLT-36-19-00005-EP	09/03/20	School Immunization Requirements	To be consistent with national immunization regulations and guidelines and to define "may be detrimental to the child's health".

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HEALTH, DEPARTMENT OF			
HLT-36-19-00006-P	09/03/20	Limits on Executive Compensation	Removes “Soft Cap” prohibition on covered executive salaries.
HLT-40-19-00002-EP	10/01/20	Required Signage Warning Against the Dangers of Illegal Products	To require sellers of legal e-liquids and e-cigarette products to post warning signs regarding illegal products
HLT-40-19-00004-P	10/01/20	Drug Take Back	To implement the State’s drug take back program to provide for the safe disposal of drugs
HLT-43-19-00005-P	10/22/20	Transitional Adult Home Admission Standards for Individuals with Serious Mental Illness	Delineate a clear pre-admissions process for determining whether a prospective resident is a person with serious mental illness
HOUSING AND COMMUNITY RENEWAL, DIVISION OF			
HCR-21-19-00019-P	07/21/20	Low-Income Housing Qualified Allocation Plan	To amend definitions, threshold criteria and application scoring for the allocation of low-income housing tax credits.
HOUSING FINANCE AGENCY			
HFA-21-19-00020-P	07/21/20	Low-Income Housing Qualified Allocation Plan	To amend definitions, threshold criteria and application scoring for the allocation of low-income housing tax credits
HUMAN RIGHTS, DIVISION OF			
HRT-27-19-00002-P	07/02/20	Gender Identity or Expression Discrimination	To conform the Division’s regulations with Executive Law as amended by Chapter 8 of the Laws of New York 2019.
LONG ISLAND POWER AUTHORITY			
*LPA-08-01-00003-P	exempt	Pole attachments and related matters	To approve revisions to the authority’s tariff
*LPA-41-02-00005-P	exempt	Tariff for electric service	To revise the tariff for electric service
*LPA-04-06-00007-P	exempt	Tariff for electric service	To adopt provisions of a ratepayer protection plan
*LPA-03-10-00004-P	exempt	Residential late payment charges	To extend the application of late payment charges to residential customers
*LPA-15-18-00013-P	exempt	Outdoor area lighting	To add an option and pricing for efficient LED lamps to the Authority’s outdoor area lighting
*LPA-37-18-00013-P	exempt	The net energy metering provisions of the Authority’s Tariff for Electric Service	To implement PSC guidance increasing eligibility for value stack compensation to larger projects
*LPA-37-18-00017-P	exempt	The treatment of electric vehicle charging in the Authority’s Tariff for Electric Service.	To effectuate the outcome of the Public Service Commission’s proceeding on electric vehicle supply equipment.
*LPA-37-18-00018-P	exempt	The treatment of energy storage in the Authority’s Tariff for Electric Service.	To effectuate the outcome of the Public Service Commission’s proceeding on the NY Energy Storage Roadmap.

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
LONG ISLAND POWER AUTHORITY			
LPA-37-19-00005-P exempt	The Authority's annual budget, as reflected in the rates and charges in the Tariff for Electric Service	To update the Tariff to implement the Authority's annual budget and corresponding rate adjustments
LPA-37-19-00006-P exempt	The modification of the SGIP to clarify and reflect updates to the State's Standardized Interconnection Requirements (SIR)	To be consistent with the State's SIR and related orders
LPA-37-19-00007-P exempt	The standard rates for pole attachments of the Authority's Tariff for Electric Service	To update the Authority's standard rates for pole attachments in accordance with recent Public Service Commission action
MENTAL HEALTH, OFFICE OF			
OMH-43-19-00008-P 10/22/20	Personalized Recovery Oriented Services (PROS)	To Allow PROS participants to receive Clinic Treatment from an Article 31 Clinic operated by the same agency
METROPOLITAN TRANSPORTATION AGENCY			
MTA-23-19-00006-EP 06/04/20	Debarment of contractors	To comply with Public Authorities Law, section 1279-h, which requires the MTA to establish a debarment process for contractors
NIAGARA FALLS WATER BOARD			
*NFW-04-13-00004-EP exempt	Adoption of Rates, Fees and Charges	To pay for the increased costs necessary to operate, maintain and manage the system, and to achieve covenants with bondholders
*NFW-13-14-00006-EP exempt	Adoption of Rates, Fees and Charges	To pay for increased costs necessary to operate, maintain and manage the system and to achieve covenants with the bondholders
NFW-01-19-00019-EP exempt	Adoption of Rates, Fees, and Charges	To pay for increased costs necessary to operate, maintain, and manage the system, and to meet covenants with the bondholders
OGDENSBURG BRIDGE AND PORT AUTHORITY			
*OBA-33-18-00019-P exempt	Increase in Bridge Toll Structure	To increase bridge toll revenue in order to become financially self-supporting. Our bridge operations are resulting in deficit.
OBA-07-19-00019-P exempt	Increase in Bridge Toll Structure	To increase bridge toll revenue in order to become financially self-supporting. Our bridge operations are resulting in deficit
PARKS, RECREATION AND HISTORIC PRESERVATION, OFFICE OF			
PKR-37-19-00001-P 09/10/20	Listing of state parks, parkways, recreation facilities and historic sites	To update the listing of state parks, parkways, recreation facilities and historic sites
PEOPLE WITH DEVELOPMENTAL DISABILITIES, OFFICE FOR			
PDD-33-19-00015-P 08/13/20	Limits on Administrative Expenses and Executive Compensation	To conform with recent court decisions

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
POWER AUTHORITY OF THE STATE OF NEW YORK			
*PAS-01-10-00010-P exempt	Rates for the sale of power and energy	Update ECSB Programs customers' service tariffs to streamline them/include additional required information
PAS-42-19-00008-P exempt	Rates for the Sale of Power and Energy	To align rates and costs
PUBLIC SERVICE COMMISSION			
*PSC-09-99-00012-P exempt	Transfer of books and records by Citizens Utilities Company	To relocate Ogden Telephone Company's books and records out-of-state
*PSC-15-99-00011-P exempt	Electronic tariff by Woodcliff Park Corp.	To replace the company's current tariff with an electronic tariff
*PSC-12-00-00001-P exempt	Winter bundled sales service election date by Central Hudson Gas & Electric Corporation	To revise the date
*PSC-44-01-00005-P exempt	Annual reconciliation of gas costs by Corning Natural Gas Corporation	To authorize the company to include certain gas costs
*PSC-07-02-00032-P exempt	Uniform business practices	To consider modification
*PSC-36-03-00010-P exempt	Performance assurance plan by Verizon New York	To consider changes
*PSC-40-03-00015-P exempt	Receipt of payment of bills by St. Lawrence Gas Company	To revise the process
*PSC-41-03-00010-P exempt	Annual reconciliation of gas expenses and gas cost recoveries	To consider filings of various LDCs and municipalities
*PSC-41-03-00011-P exempt	Annual reconciliation of gas expenses and gas cost recoveries	To consider filings of various LDCs and municipalities
*PSC-44-03-00009-P exempt	Retail access data between jurisdictional utilities	To accommodate changes in retail access market structure or commission mandates
*PSC-02-04-00008-P exempt	Delivery rates for Con Edison's customers in New York City and Westchester County by the City of New York	To rehear the Nov. 25, 2003 order
*PSC-06-04-00009-P exempt	Transfer of ownership interest by SCS Energy LLC and AE Investors LLC	To transfer interest in Steinway Creek Electric Generating Company LLC to AE Investors LLC
*PSC-10-04-00005-P exempt	Temporary protective order	To consider adopting a protective order
*PSC-10-04-00008-P exempt	Interconnection agreement between Verizon New York Inc. and VIC-RMTS-DC, L.L.C. d/b/a Verizon Avenue	To amend the agreement
*PSC-14-04-00008-P exempt	Submetering of natural gas service to industrial and commercial customers by Hamburg Fairgrounds	To submeter gas service to commercial customers located at the Buffalo Speedway
*PSC-15-04-00022-P exempt	Submetering of electricity by Glenn Gardens Associates, L.P.	To permit submetering at 175 W. 87th St., New York, NY
*PSC-21-04-00013-P exempt	Verizon performance assurance plan by Metropolitan Telecommunications	To clarify the appropriate performance level

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PUBLIC SERVICE COMMISSION			
*PSC-22-04-00010-P exempt	Approval of new types of electricity meters by Powell Power Electric Company	To permit the use of the PE-1250 electronic meter
*PSC-22-04-00013-P exempt	Major gas rate increase by Consolidated Edison Company of New York, Inc.	To increase annual gas revenues
*PSC-22-04-00016-P exempt	Master metering of water by South Liberty Corporation	To waive the requirement for installation of separate water meters
*PSC-25-04-00012-P exempt	Interconnection agreement between Frontier Communications of Ausable Valley, Inc., et al. and Sprint Communications Company, L.P.	To amend the agreement
*PSC-27-04-00008-P exempt	Interconnection agreement between Verizon New York Inc. and various Verizon wireless affiliates	To amend the agreement
*PSC-27-04-00009-P exempt	Interconnection agreement between Verizon New York Inc. and various Verizon wireless affiliates	To amend the agreement
*PSC-28-04-00006-P exempt	Approval of loans by Dunkirk & Fredonia Telephone Company and Cassadaga Telephone Corporation	To authorize participation in the parent corporation's line of credit
*PSC-31-04-00023-P exempt	Distributed generation service by Consolidated Edison Company of New York, Inc.	To provide an application form
*PSC-34-04-00031-P exempt	Flat rate residential service by Emerald Green Lake Louise Marie Water Company, Inc.	To set appropriate level of permanent rates
*PSC-35-04-00017-P exempt	Application form for distributed generation by Orange and Rockland Utilities, Inc.	To establish a new supplementary application form for customers
*PSC-43-04-00016-P exempt	Accounts recievable by Rochester Gas and Electric Corporation	To include in its tariff provisions for the purchase of ESCO accounts recievable
*PSC-46-04-00012-P exempt	Service application form by Consolidated Edison Company of New York, Inc.	To revise the form and make housekeeping changes
*PSC-46-04-00013-P exempt	Rules and guidelines governing installation of metering equipment	To establish uniform statewide business practices
*PSC-02-05-00006-P exempt	Violation of the July 22, 2004 order by Dutchess Estates Water Company, Inc.	To consider imposing remedial actions against the company and its owners, officers and directors
*PSC-09-05-00009-P exempt	Submetering of natural gas service by Hamlet on Olde Oyster Bay	To consider submetering of natural gas to a commercial customer
*PSC-14-05-00006-P exempt	Request for deferred accounting authorization by Freeport Electric Inc.	To defer expenses beyond the end of the fiscal year
*PSC-18-05-00009-P exempt	Marketer Assignment Program by Consolidated Edison Company of New York, Inc.	To implement the program
*PSC-20-05-00028-P exempt	Delivery point aggregation fee by Allied Frozen Storage, Inc.	To review the calculation of the fee

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PUBLIC SERVICE COMMISSION			
*PSC-25-05-00011-P exempt	Metering, balancing and cashout provisions by Central Hudson Gas & Electric Corporation	To establish provisions for gas customers taking service under Service Classification Nos. 8, 9 and 11
*PSC-27-05-00018-P exempt	Annual reconciliation of gas costs by New York State Electric & Gas Corporation	To consider the manner in which the gas cost incentive mechanism has been applied
*PSC-41-05-00013-P exempt	Annual reconciliation of gas expenses and gas cost recoveries by local distribution companies and municipalities	To consider the filings
*PSC-45-05-00011-P exempt	Treatment of lost and unaccounted gas costs by Corning Natural Gas Corporation	To defer certain costs
*PSC-46-05-00015-P exempt	Sale of real and personal property by the Brooklyn Union Gas Company d/b/a KeySpan Energy Delivery New York and Steel Arrow, LLC	To consider the sale
*PSC-47-05-00009-P exempt	Transferral of gas supplies by Corning Natural Gas Corporation	To approve the transfer
*PSC-50-05-00008-P exempt	Long-term debt by Saratoga Glen Hollow Water Supply Corp.	To obtain long-term debt
*PSC-04-06-00024-P exempt	Transfer of ownership interests by Mirant NY-Gen LLC and Orange and Rockland Utilities, Inc.	To approve of the transfer
*PSC-06-06-00015-P exempt	Gas curtailment policies and procedures	To examine the manner and extent to which gas curtailment policies and procedures should be modified and/or established
*PSC-07-06-00009-P exempt	Modification of the current Environmental Disclosure Program	To include an attributes accounting system
*PSC-22-06-00019-P exempt	Hourly pricing by National Grid	To assess the impacts
*PSC-22-06-00020-P exempt	Hourly pricing by New York State Electric & Gas Corporation	To assess the impacts
*PSC-22-06-00021-P exempt	Hourly pricing by Rochester Gas & Electric Corporation	To assess the impacts
*PSC-22-06-00022-P exempt	Hourly pricing by Consolidated Edison Company of New York, Inc.	To assess the impacts
*PSC-22-06-00023-P exempt	Hourly pricing by Orange and Rockland Utilities, Inc.	To assess the impacts
*PSC-24-06-00005-EP exempt	Supplemental home energy assistance benefits	To extend the deadline to Central Hudson's low-income customers
*PSC-25-06-00017-P exempt	Purchased power adjustment by Massena Electric Department	To revise the method of calculating the purchased power adjustment and update the factor of adjustment
*PSC-34-06-00009-P exempt	Inter-carrier telephone service quality standards and metrics by the Carrier Working Group	To incorporate appropriate modifications

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
*PSC-37-06-00015-P exempt	Procedures for estimation of customer bills by Rochester Gas and Electric Corporation	To consider estimation procedures
*PSC-37-06-00017-P exempt	Procedures for estimation of customer bills by Rochester Gas and Electric Corporation	To consider estimation procedures
*PSC-43-06-00014-P exempt	Electric delivery services by Strategic Power Management, Inc.	To determine the proper mechanism for the rate-recovery of costs
*PSC-04-07-00012-P exempt	Petition for rehearing by Orange and Rockland Utilities, Inc.	To clarify the order
*PSC-06-07-00015-P exempt	Meter reading and billing practices by Central Hudson Gas & Electric Corporation	To continue current meter reading and billing practices for electric service
*PSC-06-07-00020-P exempt	Meter reading and billing practices by Central Hudson Gas & Electric Corporation	To continue current meter reading and billing practices for gas service
*PSC-11-07-00010-P exempt	Investigation of the electric power outages by the Consolidated Edison Company of New York, Inc.	To implement the recommendations in the staff's investigation
*PSC-11-07-00011-P exempt	Storm-related power outages by Consolidated Edison Company of New York, Inc.	To modify the company's response to power outages, the timing for any such changes and other related matters
*PSC-17-07-00008-P exempt	Interconnection agreement between Verizon New York Inc. and BridgeCom International, Inc.	To amend the agreement
*PSC-18-07-00010-P exempt	Existing electric generating stations by Independent Power Producers of New York, Inc.	To repower and upgrade existing electric generating stations owned by Rochester Gas and Electric Corporation
*PSC-20-07-00016-P exempt	Tariff revisions and making rates permanent by New York State Electric & Gas Corporation	To seek rehearing
*PSC-21-07-00007-P exempt	Natural Gas Supply and Acquisition Plan by Corning Natural Gas Corporation	To revise the rates, charges, rules and regulations for gas service
*PSC-22-07-00015-P exempt	Demand Side Management Program by Consolidated Edison Company of New York, Inc.	To recover incremental program costs and lost revenue
*PSC-23-07-00022-P exempt	Supplier, transportation, balancing and aggregation service by National Fuel Gas Distribution Corporation	To explicitly state in the company's tariff that the threshold level of elective upstream transmission capacity is a maximum of 112,600 Dth/day of marketer-provided upstream capacity
*PSC-24-07-00012-P exempt	Gas Efficiency Program by the City of New York	To consider rehearing a decision establishing a Gas Efficiency Program
*PSC-39-07-00017-P exempt	Gas bill issuance charge by New York State Electric & Gas Corporation	To create a gas bill issuance charge unbundled from delivery rates
*PSC-41-07-00009-P exempt	Submetering of electricity rehearing	To seek reversal
*PSC-42-07-00012-P exempt	Energy efficiency program by Orange and Rockland Utilities, Inc.	To consider any energy efficiency program for Orange and Rockland Utilities, Inc.'s electric service

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
*PSC-42-07-00013-P exempt	Revenue decoupling by Orange and Rockland Utilities, Inc.	To consider a revenue decoupling mechanism for Orange and Rockland Utilities, Inc.
*PSC-45-07-00005-P exempt	Customer incentive programs by Orange and Rockland Utilities, Inc.	To establish a tariff provision
*PSC-02-08-00006-P exempt	Additional central office codes in the 315 area code region	To consider options for making additional codes
*PSC-03-08-00006-P exempt	Rehearing of the accounting determinations	To grant or deny a petition for rehearing of the accounting determinations
*PSC-04-08-00010-P exempt	Granting of easement rights on utility property by Central Hudson Gas & Electric Corporation	To grant easement rights to Millennium Pipeline Company, L.L.C.
*PSC-04-08-00012-P exempt	Marketing practices of energy service companies by the Consumer Protection Board and New York City Department of Consumer Affairs	To consider modifying the commission's regulation over marketing practices of energy service companies
*PSC-08-08-00016-P exempt	Transfer of ownership by Entergy Nuclear Fitzpatrick LLC, et al.	To consider the transfer
*PSC-12-08-00019-P exempt	Extend the provisions of the existing electric rate plan by Rochester Gas and Electric Corporation	To consider the request
*PSC-12-08-00021-P exempt	Extend the provisions of the existing gas rate plan by Rochester Gas and Electric Corporation	To consider the request
*PSC-13-08-00011-P exempt	Waiver of commission policy and NYSEG tariff by Turner Engineering, PC	To grant or deny Turner's petition
*PSC-13-08-00012-P exempt	Voltage drops by New York State Electric & Gas Corporation	To grant or deny the petition
*PSC-23-08-00008-P exempt	Petition requesting rehearing and clarification of the commission's April 25, 2008 order denying petition of public utility law project	To consider whether to grant or deny, in whole or in part, the May 7, 2008 Public Utility Law Project (PULP) petition for rehearing and clarification of the commission's April 25, 2008 order denying petition of Public Utility Law Project
*PSC-25-08-00007-P exempt	Policies and procedures regarding the selection of regulatory proposals to meet reliability needs	To establish policies and procedures regarding the selection of regulatory proposals to meet reliability needs
*PSC-25-08-00008-P exempt	Report on Callable Load Opportunities	Rider U report assessing callable load opportunities in New York City and Westchester County during the next 10 years
*PSC-28-08-00004-P exempt	Con Edison's procedure for providing customers access to their account information	To consider Con Edison's implementation plan and timetable for providing customers access to their account information
*PSC-31-08-00025-P exempt	Recovery of reasonable DRS costs from the cost mitigation reserve (CMR)	To authorize recovery of the DRS costs from the CMR
*PSC-32-08-00009-P exempt	The ESCO referral program for KEDNY to be implemented by October 1, 2008	To approve, reject or modify, in whole or in part, KEDNY's recommended ESCO referral program

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
*PSC-33-08-00008-P exempt	Noble Allegany's request for lightened regulation	To consider Noble Allegany's request for lightened regulation as an electric corporation
*PSC-36-08-00019-P exempt	Land Transfer in the Borough of Manhattan, New York	To consider petition for transfer of real property to NYPH
*PSC-39-08-00010-P exempt	RG&E's economic development plan and tariffs	Consideration of the approval of RG&E's economic development plan and tariffs
*PSC-40-08-00010-P exempt	Loans from regulated company to its parent	To determine if the cash management program resulting in loans to the parent should be approved
*PSC-41-08-00009-P exempt	Transfer of control of cable TV franchise	To determine if the transfer of control of Margaretville's cable TV subsidiary should be approved
*PSC-43-08-00014-P exempt	Annual Reconciliation of Gas Expenses and Gas Cost Recoveries	The filings of various LDCs and municipalities regarding their Annual Reconciliation of Gas Expenses and Gas Cost Recoveries
*PSC-46-08-00008-P exempt	Property transfer in the Village of Avon, New York	To consider a petition for the transfer of street lighting and attached equipment to the Village of Avon, New York
*PSC-46-08-00010-P exempt	A transfer of indirect ownership interests in nuclear generation facilities	Consideration of approval of a transfer of indirect ownership interests in nuclear generation facilities
*PSC-46-08-00014-P exempt	The attachment of cellular antennae to an electric transmission tower	To approve, reject or modify the request for permission to attach cellular antennae to an electric transmission tower
*PSC-48-08-00005-P exempt	A National Grid high efficiency gas heating equipment rebate program	To expand eligibility to customers converting from oil to natural gas
*PSC-48-08-00008-P exempt	Petition for the master metering and submetering of electricity	To consider the request of Bay City Metering, to master meter & submeter electricity at 345 E. 81st St., New York, New York
*PSC-48-08-00009-P exempt	Petition for the submetering of electricity	To consider the request of PCV/ST to submeter electricity at Peter Cooper Village & Stuyvesant Town, New York, New York
*PSC-50-08-00018-P exempt	Market Supply Charge	A study on the implementation of a revised Market Supply Charge
*PSC-51-08-00006-P exempt	Commission's October 27, 2008 Order on Future of Retail Access Programs in Case 07-M-0458	To consider a Petition for rehearing of the Commission's October 27, 2008 Order in Case 07-M-0458
*PSC-51-08-00007-P exempt	Commission's October 27, 2008 Order in Cases 98-M-1343, 07-M-1514 and 08-G-0078	To consider Petitions for rehearing of the Commission's October 27, 2008 Order in Cases 98-M-1343, 07-M-1514 and 08-G-0078
*PSC-53-08-00011-P exempt	Use of deferred Rural Telephone Bank funds	To determine if the purchase of a softswitch by Hancock is an appropriate use of deferred Rural Telephone Bank funds
*PSC-53-08-00012-P exempt	Transfer of permanent and temporary easements at 549-555 North Little Tor Road, New City, NY	Transfer of permanent and temporary easements at 549-555 North Little Tor Road, New City, NY

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
*PSC-53-08-00013-P exempt	To transfer common stock and ownership	To consider transfer of common stock and ownership
*PSC-01-09-00015-P exempt	FCC decision to redefine service area of Citizens/Frontier	Review and consider FCC proposed redefinition of Citizens/Frontier service area
*PSC-02-09-00010-P exempt	Competitive classification of independent local exchange company, and regulatory relief appropriate thereto	To determine if Chazy & Westport Telephone Corporation more appropriately belongs in scenario 1 rather than scenario 2
*PSC-05-09-00008-P exempt	Revenue allocation, rate design, performance metrics, and other non-revenue requirement issues	To consider any remaining non-revenue requirement issues related to the Company's May 9, 2008 tariff filing
*PSC-05-09-00009-P exempt	Numerous decisions involving the steam system including cost allocation, energy efficiency and capital projects	To consider the long term impacts on steam rates and on public policy of various options concerning the steam system
*PSC-06-09-00007-P exempt	Interconnection of the networks between Frontier Comm. and WVT Communications for local exchange service and exchange access	To review the terms and conditions of the negotiated agreement between Frontier Comm. and WVT Comm.
*PSC-07-09-00015-P exempt	Transfer certain utility assets located in the Town of Montgomery from plant held for future use to non-utility property	To consider the request to transfer certain utility assets located in the Town of Montgomery to non-utility assets
*PSC-07-09-00017-P exempt	Request for authorization to defer the incremental costs incurred in the restoration work resulting from the ice storm	To allow the company to defer the incremental costs incurred in the restoration work resulting from the ice storm
*PSC-07-09-00018-P exempt	Whether to permit the submetering of natural gas service to an industrial and commercial customer at Cooper Union, New York, NY	To consider the request of Cooper Union, to submeter natural gas at 41 Cooper Square, New York, New York
*PSC-12-09-00010-P exempt	Charges for commodity	To charge customers for commodity costs
*PSC-12-09-00012-P exempt	Charges for commodity	To charge customers for commodity costs
*PSC-13-09-00008-P exempt	Options for making additional central office codes available in the 718/347 numbering plan area	To consider options for making additional central office codes available in the 718/347 numbering plan area
*PSC-14-09-00014-P exempt	The regulation of revenue requirements for municipal utilities by the Public Service Commission	To determine whether the regulation of revenue requirements for municipal utilities should be modified
*PSC-16-09-00010-P exempt	Petition for the submetering of electricity	To consider the request of AMPS on behalf of Park Imperial to submeter electricity at 230 W. 56th Street, in New York, New York
*PSC-16-09-00020-P exempt	Whether SUNY's core accounts should be exempt from the mandatory assignment of local distribution company (LDC) capacity	Whether SUNY's core accounts should be exempt from the mandatory assignment of local distribution company (LDC) capacity
*PSC-17-09-00010-P exempt	Whether to permit the use of Elster REX2 solid state electric meter for use in residential and commercial accounts	To permit electric utilities in New York State to use the Elster REX2
*PSC-17-09-00011-P exempt	Whether Brooklyn Navy Yard Cogeneration Partners, L.P. should be reimbursed by Con Edison for past and future use taxes	Whether Brooklyn Navy Yard Cogeneration Partners, L.P. should be reimbursed by Con Edison for past and future use taxes

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
*PSC-17-09-00012-P exempt	Petition for the submetering of gas at commercial property	To consider the request of Turner Construction, to submeter natural gas at 550 Short Ave., & 10 South St., Governors Island, NY
*PSC-17-09-00014-P exempt	Benefit-cost framework for evaluating AMI programs prepared by the DPS Staff	To consider a benefit-cost framework for evaluating AMI programs prepared by the DPS Staff
*PSC-17-09-00015-P exempt	The construction of a tower for wireless antennas on land owned by National Grid	To approve, reject or modify the petition to build a tower for wireless antennas in the Town of Onondaga
*PSC-18-09-00012-P exempt	Petition for rehearing of Order approving the submetering of electricity	To consider the request of Frank Signore to rehear petition to submeter electricity at One City Place in White Plains, New York
*PSC-18-09-00013-P exempt	Petition for the submetering of electricity	To consider the request of Living Opportunities of DePaul to submeter electricity at E. Main St. located in Batavia, New York
*PSC-18-09-00017-P exempt	Approval of an arrangement for attachment of wireless antennas to the utility's transmission facilities in the City of Yonkers	To approve, reject or modify the petition for the existing wireless antenna attachment to the utility's transmission tower
*PSC-20-09-00016-P exempt	The recovery of, and accounting for, costs associated with the Companies' advanced metering infrastructure (AMI) pilots etc	To consider a filing of the Companies as to the recovery of, and accounting for, costs associated with it's AMI pilots etc
*PSC-20-09-00017-P exempt	The recovery of, and accounting for, costs associated with CHG&E's AMI pilot program	To consider a filing of CHG&E as to the recovery of, and accounting for, costs associated with it's AMI pilot program
*PSC-22-09-00011-P exempt	Cost allocation for Consolidated Edison's East River Repowering Project	To determine whether any changes are warranted in the cost allocation of Consolidated Edison's East River Repowering Project
*PSC-25-09-00005-P exempt	Whether to grant, deny, or modify, in whole or in part, the petition	Whether to grant, deny, or modify, in whole or in part, the petition
*PSC-25-09-00006-P exempt	Electric utility implementation plans for proposed web based SIR application process and project status database	To determine if the proposed web based SIR systems are adequate and meet requirements needed for implementation
*PSC-25-09-00007-P exempt	Electric rates for Consolidated Edison Company of New York, Inc	Consider a Petition for Rehearing filed by Consolidated Edison Company of New York, Inc
*PSC-27-09-00011-P exempt	Interconnection of the networks between Vernon and tw telecom of new york l.p. for local exchange service and exchange access.	To review the terms and conditions of the negotiated agreement between Vernon and tw telecom of new york l.p.
*PSC-27-09-00014-P exempt	Billing and payment for energy efficiency measures through utility bill	To promote energy conservation
*PSC-27-09-00015-P exempt	Interconnection of the networks between Oriskany and tw telecom of new york l.p. for local exchange service and exchange access	To review the terms and conditions of the negotiated agreement between Oriskany and tw telecom of new york l.p.
*PSC-29-09-00011-P exempt	Consideration of utility compliance filings	Consideration of utility compliance filings

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
*PSC-32-09-00009-P exempt	Cost allocation for Consolidated Edison's East River Repowering Project	To determine whether any changes are warranted in the cost allocation of Consolidated Edison's East River Repowering Project
*PSC-34-09-00016-P exempt	Recommendations made in the Management Audit Final Report	To consider whether to take action or recommendations contained in the Management Audit Final Report
*PSC-34-09-00017-P exempt	To consider the transfer of control of Plattsburgh Cablevision, Inc. d/b/a Charter Communications to CH Communications, LLC	To allow the Plattsburgh Cablevision, Inc. to distribute its equity interest in CH Communications, LLC
*PSC-36-09-00008-P exempt	The increase in the non-bypassable charge implemented by RG&E on June 1, 2009	Considering exemptions from the increase in the non-bypassable charge implemented by RG&E on June 1, 2009
*PSC-37-09-00015-P exempt	Sale of customer-generated steam to the Con Edison steam system	To establish a mechanism for sale of customer-generated steam to the Con Edison steam system
*PSC-37-09-00016-P exempt	Applicability of electronic signatures to Deferred Payment Agreements	To determine whether electronic signatures can be accepted for Deferred Payment Agreements
*PSC-39-09-00015-P exempt	Modifications to the \$5 Bill Credit Program	Consideration of petition of National Grid to modify the Low Income \$5 Bill Credit Program
*PSC-39-09-00018-P exempt	The offset of deferral balances with Positive Benefit Adjustments	To consider a petition to offset deferral balances with Positive Benefit Adjustments
*PSC-40-09-00013-P exempt	Uniform System of Accounts - request for deferral and amortization of costs	To consider a petition to defer and amortize costs
*PSC-51-09-00029-P exempt	Rules and guidelines for the exchange of retail access data between jurisdictional utilities and eligible ESCOs	To revise the uniform Electronic Data Interchange Standards and business practices to incorporate a contest period
*PSC-51-09-00030-P exempt	Waiver or modification of Capital Expenditure condition of merger	To allow the companies to expend less funds for capital improvement than required by the merger
*PSC-52-09-00006-P exempt	ACE's petition for rehearing for an order regarding generator-specific energy deliverability study methodology	To consider whether to change the Order Prescribing Study Methodology
*PSC-52-09-00008-P exempt	Approval for the New York Independent System Operator, Inc. to incur indebtedness and borrow up to \$50,000,000	To finance the renovation and construction of the New York Independent System Operator, Inc.'s power control center facilities
*PSC-05-10-00008-P exempt	Petition for the submetering of electricity	To consider the request of University Residences - Rochester, LLC to submeter electricity at 220 John Street, Henrietta, NY
*PSC-05-10-00015-P exempt	Petition for the submetering of electricity	To consider the request of 243 West End Avenue Owners Corp. to submeter electricity at 243 West End Avenue, New York, NY
*PSC-06-10-00022-P exempt	The Commission's Order of December 17, 2009 related to redevelopment of Consolidated Edison's Hudson Avenue generating facility	To reconsider the Commission's Order of December 17, 2009 related to redevelopment of the Hudson Avenue generating facility

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
*PSC-07-10-00009-P exempt	Petition to revise the Uniform Business Practices	To consider the RESA petition to allow rescission of a customer request to return to full utility service
*PSC-08-10-00007-P exempt	Whether to grant, deny, or modify , in whole or in part, the rehearing petition filed in Case 06-E-0847	Whether to grant, deny, or modify , in whole or in part, the rehearing petition filed in Case 06-E-0847
*PSC-08-10-00009-P exempt	Consolidated Edison of New York, Inc. energy efficiency programs	To modify approved energy efficiency programs
*PSC-12-10-00015-P exempt	Recommendations made by Staff intended to enhance the safety of Con Edison's gas operations	To require that Con Edison implement the Staff recommendations intended to enhance the safety of Con Edison's gas operations
*PSC-14-10-00010-P exempt	Petition for the submetering of electricity	To consider the request of 61 Jane Street Owners Corporation to submeter Electricity at 61 Jane Street, Manhattan, NY
*PSC-16-10-00005-P exempt	To consider adopting and expanding mobile stray voltage testing requirements	Adopt additional mobile stray voltage testing requirements
*PSC-16-10-00007-P exempt	Interconnection of the networks between TDS Telecom and PAETEC Communications for local exchange service and exchange access	To review the terms and conditions of the negotiated agreement between TDS Telecom and PAETEC Communications
*PSC-16-10-00015-P exempt	Interconnection of the networks between Frontier and Choice One Communications for local exchange service and exchange access	To review the terms and conditions of the negotiated agreement between Frontier and Choice One Communications
*PSC-18-10-00009-P exempt	Electric utility transmission right-of-way management practices	To consider electric utility transmission right-of-way management practices
*PSC-19-10-00022-P exempt	Whether National Grid should be permitted to transfer a parcel of property located at 1 Eddy Street, Fort Edward, New York	To decide whether to approve National Grid's request to transfer a parcel of vacant property in Fort Edward, New York
*PSC-22-10-00006-P exempt	Requirement that Noble demonstrate that its affiliated electric corporations operating in New York are providing safe service	Consider requiring that Noble demonstrate that its affiliated electric corporations in New York are providing safe service
*PSC-22-10-00008-P exempt	Petition for the submetering of electricity	To consider the request of 48-52 Franklin Street to submeter electricity at 50 Franklin Street, New York, New York
*PSC-24-10-00009-P exempt	Verizon New York Inc. tariff regulations relating to voice messaging service	To remove tariff regulations relating to retail voice messaging service from Verizon New York Inc.'s tariff
*PSC-25-10-00012-P exempt	Reassignment of the 2-1-1 abbreviated dialing code	Consideration of petition to reassign the 2-1-1 abbreviated dialing code
*PSC-27-10-00016-P exempt	Petition for the submetering of electricity	To consider the request of 9271 Group, LLC to submeter electricity at 960 Busti Avenue, Buffalo, New York
*PSC-34-10-00003-P exempt	The modification of Central Hudson Gas & Electric Corporation's Enhanced Powerful Opportunities Program	The modification of Central Hudson Gas & Electric Corporation's Enhanced Powerful Opportunities Program

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
*PSC-34-10-00005-P exempt	Approval of a contract for \$250,000 in tank repairs that may be a financing	To decide whether to approve a contract between the parties that may be a financing of \$250,000 for tank repairs
*PSC-34-10-00006-P exempt	The modification of Central Hudson Gas & Electric Corporation's Enhanced Powerful Opportunities Program	The modification of Central Hudson Gas & Electric Corporation's Enhanced Powerful Opportunities Program
*PSC-36-10-00010-P exempt	Central Hudson's procedures, terms and conditions for an economic development plan	Consideration of Central Hudson's procedures, terms and conditions for an economic development plan
*PSC-40-10-00014-P exempt	Disposition of a state sales tax refund	To determine how much of a state sales tax refund should be retained by National Grid
*PSC-40-10-00021-P exempt	Whether to permit the submetering of natural gas service to a commercial customer at Quaker Crossing Mall	To permit the submetering of natural gas service to a commercial customer at Quaker Crossing Mall
*PSC-41-10-00018-P exempt	Amount of hourly interval data provided to Hourly Pricing customers who have not installed a phone line to read meter	Allow Central Hudson to provide less than a years worth of interval data and charge for manual meter reading for some customers
*PSC-41-10-00022-P exempt	Request for waiver of the individual living unit metering requirements at 5742 Route 5, Vernon, NY	Request for waiver of the individual living unit metering requirements at 5742 Route 5, Vernon, NY
*PSC-42-10-00011-P exempt	Petition for the submetering of electricity	To consider the request of 4858 Group, LLC to submeter electricity at 456 Main Street, Buffalo, New York
*PSC-43-10-00016-P exempt	Utility Access to Ducts, Conduit Facilities and Utility Poles	To review the complaint from Optical Communications Group
*PSC-44-10-00003-P exempt	Third and fourth stage gas rate increase by Corning Natural Gas Corporation	To consider Corning Natural Gas Corporation's request for a third and fourth stage gas rate increase
*PSC-51-10-00018-P exempt	Commission proceeding concerning three-phase electric service by all major electric utilities	Investigate the consistency of the tariff provisions for three-phase electric service for all major electric utilities
*PSC-11-11-00003-P exempt	The proposed transfer of 55.42 acres of land and \$1.4 million of revenues derived from the rendition of public service	The proposed transfer of 55.42 acres of land and \$1.4 million of revenues derived from the rendition of public service
*PSC-13-11-00005-P exempt	Exclude the minimum monthly bill component from the earnings test calculation	Exclude the minimum monthly bill component from the earnings test calculation
*PSC-14-11-00009-P exempt	Petition for the submetering of electricity	To consider the request of 83-30 118th Street to submeter electricity at 83-30 118th Street, Kew Gardens, New York
*PSC-19-11-00007-P exempt	Utility price reporting requirements related to the Commission's "Power to Choose" website	Modify the Commission's utility electric commodity price reporting requirements related to the "Power to Choose" website
*PSC-20-11-00012-P exempt	Petition for the submetering of electricity	To consider the request of KMW Group LLC to submeter electricity at 122 West Street, Brooklyn, New York

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
*PSC-20-11-00013-P exempt	Determining the reasonableness of Niagara Mohawk Power Corporation d/b/a National Grid 's make ready charges	To determine if the make ready charges of Niagara Mohawk Power Corporation d/b/a National Grid are reasonable
*PSC-22-11-00004-P exempt	Whether to permit the use of the Sensus accWAVE for use in residential gas meter applications	To permit gas utilities in New York State to use the Sensus accWAVE diaphragm gas meter
*PSC-26-11-00007-P exempt	Water rates and charges	To approve an increase in annual revenues by about \$25,266 or 50%
*PSC-26-11-00009-P exempt	Petition for the submetering of electricity at commercial property	To consider the request of by Hoosick River Hardwoods, LLC to submeter electricity at 28 Taylor Avenue, in Berlin, New York
*PSC-26-11-00012-P exempt	Waiver of generation retirement notice requirements	Consideration of waiver of generation retirement notice requirements
*PSC-29-11-00011-P exempt	Petition requesting the Commission reconsider its May 19, 2011 Order and conduct a hearing, and petition to stay said Order.	To consider whether to grant or deny, in whole or in part, Windstream New York's Petition For Reconsideration and Rehearing.
*PSC-35-11-00011-P exempt	Whether to permit Consolidated Edison a waiver to commission regulations Part 226.8	Permit Consolidated Edison to conduct a inspection program in lieu of testing the accuracy of Category C meters
*PSC-36-11-00006-P exempt	To consider expanding mobile stray voltage testing requirements	Adopt additional mobile stray voltage testing requirements
*PSC-38-11-00002-P exempt	Operation and maintenance procedures pertaining to steam trap caps	Adopt modified steam operation and maintenance procedures
*PSC-38-11-00003-P exempt	Waiver of certain provisions of the electric service tariffs of Con Edison	Consideration of waiver of certain provisions of the electric service tariffs of Con Edison
*PSC-40-11-00010-P exempt	Participation of regulated local exchange carriers in the New York Data Exchange, Inc. (NYDE)	Whether to partially modify its order requiring regulated local exchange carriers' participation NYDE
*PSC-40-11-00012-P exempt	Granting of transfer of plant in-service to a regulatory asset	To approve transfer and recovery of unamortized plant investment
*PSC-42-11-00018-P exempt	Availability of telecommunications services in New York State at just and reasonable rates	Providing funding support to help ensure availability of affordable telecommunications service throughout New York
*PSC-43-11-00012-P exempt	Transfer of outstanding shares of stock	Transfer the issued outstanding shares of stock of The Meadows at Hyde Park Water-Works Corporation to HPWS, LLC
*PSC-47-11-00007-P exempt	Remedying miscalculations of delivered gas as between two customer classes	Consideration of Con Edison's proposal to address inter-class delivery imbalances resulting from past Company miscalculations
*PSC-48-11-00007-P exempt	Transfer of controlling interests in generation facilities from Dynegy to PSEG	Consideration of the transfer of controlling interests in electric generation facilities from Dynegy to PSEG
*PSC-48-11-00008-P exempt	Petition for the submetering of electricity	To consider the request of To Better Days, LLC to submeter electricity at 37 East 4th Street, New York, New York

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
*PSC-01-12-00007-P exempt	The New York State Reliability Council's revisions to its rules and measurements	To adopt revisions to various rules and measurements of the New York State Reliability Council
*PSC-01-12-00008-P exempt	Transfer of real property and easements from NMPNS to NMP3	Consideration of the transfer of real property and easements from NMPNS to NMP3
*PSC-01-12-00009-P exempt	Recovery of expenses related to the expansion of Con Edison's ESCO referral program, PowerMove	To determine how and to what extent expenses related to the Expansion of Con Edison's ESCO referral program should be recovered
*PSC-11-12-00002-P exempt	Whether to grant, deny or modify, in whole or part, Hegeman's petition for a waiver of Commission policy and Con Edison tariff	Whether to grant, deny or modify, in whole or part, Hegeman's petition for a waiver of Commission policy and Con Edison tariff
*PSC-11-12-00005-P exempt	Transfer of land and water supply assets	Transfer the land and associated water supply assets of Groman Shores, LLC to Robert Groman
*PSC-13-12-00005-P exempt	Authorization to transfer certain real property	To decide whether to approve the transfer of certain real property
*PSC-19-12-00023-P exempt	Petition for approval pursuant to Section 70 for the sale of goods with an original cost of less than \$100,000	To consider whether to grant, deny or modify, in whole or in part, the petition filed by Orange and Rockland Utilities, Inc.
*PSC-21-12-00006-P exempt	Tariff filing requirements and refunds	To determine if certain agreements should be filed pursuant to the Public Service Law and if refunds are warranted
*PSC-21-12-00011-P exempt	Whether to grant, deny or modify, in whole or part, the petition for waiver of tariff Rules 8.6 and 47	Whether to grant, deny or modify, in whole or part, the petition for waiver of tariff Rules 8.6 and 47
*PSC-23-12-00007-P exempt	The approval of a financing upon a transfer to Alliance of upstream ownership interests in a generation facility	To consider the approval of a financing upon a transfer to Alliance of upstream ownership interests in a generation facility
*PSC-23-12-00009-P exempt	Over earnings sharing between rate payers and shareholders	To establish an Earnings Sharing Mechanism to be applied following the conclusion of Corning's rate plan
*PSC-27-12-00012-P exempt	Implementation of recommendations made in a Management Audit Report	To consider implementation of recommendations made in a Management Audit Report
*PSC-28-12-00013-P exempt	Exemption of reliability reporting statistics for the purpose of the 2012 Reliability Performance Mechanism	Consideration of Orange and Rockland Utilities request for exemption of the 2012 reliability reporting statistics
*PSC-29-12-00019-P exempt	Waiver of 16 NYCRR 894.1 through 894.4	To allow the Town of Hamden to waive certain preliminary franchising procedures to expedite the franchising process.
*PSC-30-12-00010-P exempt	Waiver of 16 NYCRR 894.1 through 894.4	To allow the Town of Andes to waive certain preliminary franchising procedures to expedite the franchising process
*PSC-33-12-00009-P exempt	Telecommunications companies ability to attach to utility company poles	Consideration of Tech Valley's ability to attach to Central Hudson poles

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
*PSC-37-12-00009-P exempt	Proposed modification by Con Edison of its procedures to calculate estimated bills to its customers	Proposed modification by Con Edison of its procedures to calculate estimated bills to its customers
*PSC-42-12-00009-P exempt	Regulation of Gipsy Trail Club, Inc.'s long-term financing agreements	To exempt Gipsy Trail Club, Inc. from Commission regulation of its financing agreements
*PSC-45-12-00008-P exempt	Whether to grant, deny or modify, in whole or part, ESHG's petition for a waiver of Commission policy and RG&E tariff	Whether to grant, deny or modify, in whole or part, ESHG's petition for a waiver of Commission policy and RG&E tariff
*PSC-45-12-00010-P exempt	Whether to grant, deny or modify, in whole or in part the petition of Con Edison to grant easements to Millwood Fire District	Whether to grant, deny or modify, in whole or in part the petition of Con Edison to grant easements to Millwood Fire District
*PSC-50-12-00003-P exempt	Affiliate standards for Corning Natural Gas Corporation	To resolve issues raised by Corning Natural Gas Corporation in its petition for rehearing
*PSC-04-13-00006-P exempt	Expansion of mandatory day ahead hourly pricing for customers of Orange and Rockland Utilities with demands above 100 kW	To consider the expansion of mandatory day ahead hourly pricing for customers with demands above 100 kW
*PSC-04-13-00007-P exempt	Authorization to transfer certain real property.	To decide whether to approve the transfer of certain real property.
*PSC-06-13-00008-P exempt	Verizon New York Inc.'s retail service quality	To investigate Verizon New York Inc.'s retail service quality
*PSC-08-13-00012-P exempt	Filing requirements for certain Article VII electric facilities	To ensure that applications for certain electric transmission facilities contain pertinent information
*PSC-08-13-00014-P exempt	Uniform System of Accounts - Request for Accounting Authorization	To allow the company to defer an item of expense or capital beyond the end of the year in which it was incurred
*PSC-12-13-00007-P exempt	Protecting company water mains	To allow the company to require certain customers to make changes to the electrical grounding system at their homes
*PSC-13-13-00008-P exempt	The potential waiver of 16 NYCRR 255.9221(d) completion of integrity assessments for certain gas transmission lines.	To determine whether a waiver of the timely completion of certain gas transmission line integrity assessments should be granted.
*PSC-18-13-00007-P exempt	Whether Demand Energy Networks energy storage systems should be designated technologies for standby rate eligibility purposes	Whether Demand Energy Networks energy storage systems should be designated technologies for standby rate eligibility purposes
*PSC-21-13-00003-P exempt	To consider policies that may impact consumer acceptance and use of electric vehicles	To consider and further develop policies that may impact consumer acceptance and use of electric vehicles
*PSC-21-13-00005-P exempt	To implement an abandonment of Windover's water system	To approve the implementation of abandonment of Windover's water system
*PSC-21-13-00008-P exempt	Rates of National Fuel Gas Distribution Corporation	To make the rates of National Fuel Gas Distribution Corporation temporary, subject to refund, if they are found to be excessive

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
*PSC-21-13-00009-P exempt	Reporting requirements for natural gas local distribution companies	To help ensure efficient and economic expansion of the natural gas system as appropriate
*PSC-22-13-00009-P exempt	On remand from New York State court litigation, determine the recovery of certain deferred amounts owed NFG by ratepayers	On remand, to determine the recovery of certain deferral amounts owed NFG from ratepayers
*PSC-23-13-00005-P exempt	Waiver of partial payment, directory database distribution, service quality reporting, and service termination regulations	Equalize regulatory treatment based on level of competition and practical considerations
*PSC-25-13-00008-P exempt	To deny, grant or modify, in whole or in part, Central Hudson's rehearing request.	To deny, grant or modify, in whole or in part, Central Hudson's rehearing request.
*PSC-25-13-00009-P exempt	Provision by utilities of natural gas main and service lines.	To help ensure efficient and economic expansion of the natural gas system as appropriate.
*PSC-25-13-00012-P exempt	To deny, grant or modify, in whole or in part, Central Hudson's rehearing request.	To deny, grant or modify, in whole or in part, Central Hudson's rehearing request.
*PSC-27-13-00014-P exempt	Columbia Gas Transmission Corporation Cost Refund	For approval for temporary waiver of tariff provisions regarding its Columbia Gas Transmission Corporation cost refund.
*PSC-28-13-00014-P exempt	Provision for the recovery and allocation of costs of transmission projects that reduce congestion on certain interfaces	To consider the recovery and allocation of costs of transmission projects that reduce congestion on certain interfaces
*PSC-28-13-00016-P exempt	The request of NGT for lightened regulation as a gas corporation.	To consider whether to approve, reject, or modify the request of Niagara gas transport of Lockport, NY LLC.
*PSC-28-13-00017-P exempt	The request by TE for waiver of regulations requiring that natural gas be odorized in certain gathering line segments	Consider the request by TE for waiver of regulations that gas be odorized in certain lines
*PSC-32-13-00009-P exempt	To consider the definition of "misleading or deceptive conduct" in the Commission's Uniform Business Practices	To consider the definition of "misleading or deceptive conduct" in the Commission's Uniform Business Practices
*PSC-32-13-00012-P exempt	To consider whether NYSEG should be required to undertake actions to protect its name and to minimize customer confusion	To consider whether NYSEG should be required to undertake actions to protect its name and to minimize customer confusion
*PSC-33-13-00027-P exempt	Waive underground facility requirements for new construction in residential subdivisions to allow for overhead electric lines.	Determine whether Chapin Lumberland, LLC subdivision will be allowed overhead electric distribution and service lines.
*PSC-33-13-00029-P exempt	Deferral of incremental costs associated with the restoration of steam service following Superstorm Sandy.	To consider a petition by Con Edison to defer certain incremental steam system restoration costs relating to Superstorm Sandy.
*PSC-34-13-00004-P exempt	Escrow account and surcharge to fund extraordinary repairs	To approve the establishment of an escrow account and surcharge
*PSC-42-13-00013-P exempt	Failure to Provide Escrow Information	The closure of the Escrow Account
*PSC-42-13-00015-P exempt	Failure to Provide Escrow Information	The closure of the Escrow Account

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
*PSC-43-13-00015-P exempt	Petition for submetering of electricity	To consider the request of 2701 Kingsbridge Terrace L.P. to submeter electricity at 2701 Kingsbridge Terrace, Bronx, N.Y.
*PSC-45-13-00021-P exempt	Investigation into effect of bifurcation of gas and electric utility service on Long Island.	To consider a Petition for an investigation into effect of bifurcation of gas and electric utility service on Long Island.
*PSC-45-13-00022-P exempt	Waiver of PSC regulations, 16 NYCRR section 88.4(a)(4)	To consider a waiver of certain regulations relating to the content of an application for transmission line siting
*PSC-45-13-00023-P exempt	Waiver of PSC regulations, 16 NYCRR section 88.4(a)(4).	To consider a waiver of certain regulations relating to the content of an application for transmission line siting
*PSC-45-13-00024-P exempt	Waiver of PSC regulations, 16 NYCRR section 88.4(a)(4); waiver of filing deadlines.	To consider a waiver of certain regulations relating to the content of an application for transmission line siting
*PSC-45-13-00025-P exempt	Waiver of PSC regulations, 16 NYCRR section 88.4(a)(4).	To consider a waiver of certain regulations relating to the content of an application for transmission line siting
*PSC-47-13-00009-P exempt	Petition for submetering of electricity.	To consider the request of Hegeman Avenue Housing L.P. to submeter electricity at 39 Hegeman Avenue, Brooklyn, N.Y.
*PSC-47-13-00012-P exempt	Conditioning, restricting or prohibiting the purchase of services by NYSEG and RG&E from certain affiliates.	Consideration of conditioning, restricting or prohibiting the purchase of services by NYSEG and RG&E from certain affiliates.
*PSC-49-13-00008-P exempt	Authorization to transfer all of Crystal Water Supply Company, Inc. stocks to Essel Infra West Inc.	To allow Crystal Water Supply Company, Inc to transfer all of its issued and outstanding stocks to Essel Infra West Inc.
*PSC-51-13-00009-P exempt	Consolidated Edison proposing to use data from a test period ending September 30, 2013 to support its next rate filing.	To ensure there is a reasonable basis for data submitted in support of a request for a change in rates.
*PSC-51-13-00010-P exempt	Consolidated Edison proposing to use data from a test period ending September 30, 2013 to support its next rate filing.	To ensure there is a reasonable basis for data submitted in support of a request for a change in rates.
*PSC-51-13-00011-P exempt	Consolidated Edison proposing to use data from a test period ending September 30, 2013 to support its next rate filing.	To ensure there is a reasonable basis for data submitted in support of a request for a change in rates.
*PSC-52-13-00012-P exempt	The development of reliability contingency plan(s) to address the potential retirement of Indian Point Energy Center (IPEC).	To address the petition for rehearing and reconsideration/motion for clarification of the IPEC reliability contingency plan(s).
*PSC-52-13-00015-P exempt	To enter into a loan agreement with the banks for up to an amount of \$94,000.	To consider allowing Knolls Water Company to enter into a long-term loan agreement.
*PSC-05-14-00010-P exempt	The New York State Reliability Council's revisions to its rules and measurements	To adopt revisions to various rules and measurements of the New York State Reliability Council
*PSC-07-14-00008-P exempt	Petition for submetering of electricity	To consider the request of Greater Centennial Homes HDFC, Inc. to submeter electricity at 102, 103 and 106 W 5th Street, et al.

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
*PSC-07-14-00012-P exempt	Water rates and charges	Implementation of Long-Term Water Supply Surcharge to recover costs associated with the Haverstraw Water Supply Project
*PSC-08-14-00015-P exempt	Verizon New York Inc.'s service quality and Customer Trouble Report Rate (CTRR) levels at certain central office entities	To improve Verizon New York Inc.'s service quality and the Customer Trouble Report Rate levels at certain central office entities
*PSC-10-14-00006-P exempt	Actions to facilitate the availability of ESCO value-added offerings, ESCO eligibility and ESCO compliance	To facilitate ESCO value-added offerings and to make changes to ESCO eligibility and to ensure ESCO compliance
*PSC-11-14-00003-P exempt	Provision for the recovery and allocation of costs of transmission projects that reduce congestion on certain interfaces	To consider the recovery and allocation of costs of transmission projects that reduce congestion on certain interfaces
*PSC-16-14-00014-P exempt	Whether to order NYSEG to provide gas service to customers when an expanded CPCN is approved and impose PSL 25-a penalties.	To order gas service to customers in the Town of Plattsburgh after approval of a town wide CPCN and to impose penalties.
*PSC-16-14-00015-P exempt	Whether Central Hudson should be permitted to defer obligations of the Order issued on October 18, 2013 in Case 13-G-0336.	Consideration of the petition by Central Hudson to defer reporting obligations of the October 18, 2013 Order in Case 13-G-0336
*PSC-17-14-00003-P exempt	Con Edison's Report on its 2013 performance under the Electric Service Reliability Performance Mechanism	Con Edison's Report on its 2013 performance under the Electric Service Reliability Performance Mechanism
*PSC-17-14-00004-P exempt	To consider certain portions of petitions for rehearing, reconsideration and/or clarification	To consider certain portions of petitions for rehearing, reconsideration and/or clarification
*PSC-17-14-00007-P exempt	To consider petitions for rehearing, reconsideration and/or clarification	To consider petitions for rehearing, reconsideration and/or clarification
*PSC-17-14-00008-P exempt	To consider certain portions of petitions for rehearing, reconsideration and/or clarification	To consider certain portions of petitions for rehearing, reconsideration and/or clarification
*PSC-19-14-00014-P exempt	Market Supply Charge	To make tariff revisions to the Market Supply Charge for capacity related costs
*PSC-19-14-00015-P exempt	Whether to permit the use of the Sensus accuWAVE for use in residential and commercial gas meter applications	To permit gas utilities in New York State to use the Sensus accuWAVE 415TC gas meter
*PSC-22-14-00013-P exempt	Petition to transfer and merge systems, franchises and assets.	To consider the Comcast and Time Warner Cable merger and transfer of systems, franchises and assets.
*PSC-23-14-00010-P exempt	Whether to permit the use of the GE Dresser Series B3-HPC 11M-1480 rotary gas meter for use in industrial gas meter applications	To permit gas utilities in New York State to use the GE Dresser Series B3-HPC 11M-1480 rotary gas meter
*PSC-23-14-00014-P exempt	Waiver of the negative revenue adjustment associated with KEDLI's 2013 Customer Satisfaction Performance Metric	Consideration of KEDLI's waiver request pertaining to its 2013 performance under its Customer Satisfaction Metric
*PSC-24-14-00005-P exempt	To examine LDC's performance and performance measures.	To improve gas safety performance.
*PSC-26-14-00013-P exempt	Waiver of RG&E's tariffed definition of emergency generator.	To consider waiver of RG&E's tariffed definition of emergency generator.

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
*PSC-26-14-00020-P exempt	New electric utility backup service tariffs and standards for interconnection may be adopted.	To encourage development of microgrids that enhance the efficiency, safety, reliability and resiliency of the electric grid.
*PSC-26-14-00021-P exempt	Consumer protections, standards and protocols pertaining to access to customer data may be established.	To balance the need for the information necessary to support a robust market with customer privacy concerns.
*PSC-28-14-00014-P exempt	Petition to transfer systems, franchises and assets.	To consider the Comcast and Charter transfer of systems, franchise and assets.
*PSC-30-14-00023-P exempt	Whether to permit the use of the Sensus iPERL Fire Flow Meter.	Pursuant to 16 NYCRR Part 500.3 , it is necessary to permit the use of the Sensus iPERL Fire Flow Meter.
*PSC-30-14-00026-P exempt	Petition for a waiver to master meter electricity.	Considering the request of Renaissance Corporation of to master meter electricity at 100 Union Drive,Albany, NY.
*PSC-31-14-00004-P exempt	To transfer 100% of the issued and outstanding stock from Vincent Cross to Bonnie and Michael Cross	To transfer 100% of the issued and outstanding stock from Vincent Cross to Bonnie and Michael Cross
*PSC-32-14-00012-P exempt	Whether to grant or deny, in whole or in part, the Connect New York Coalition's petition	To consider the Connect New York Coalition's petition seeking a formal investigation and hearings
*PSC-35-14-00004-P exempt	Regulation of a proposed electricity generation facility located in the Town of Brookhaven, NY	To consider regulation of a proposed electricity generation facility located in the Town of Brookhaven, NY
*PSC-35-14-00005-P exempt	Whether to permit the use of the Sensus iConA electric meter	Pursuant to 16 NYCRR Parts 92 and 93, Commission approval is necessary to permit the use of the Sensus iConA electric meter
*PSC-36-14-00009-P exempt	Modification to the Commission's Electric Safety Standards.	To consider revisions to the Commission's Electric Safety Standards.
*PSC-38-14-00003-P exempt	Whether to approve, reject or modify, in whole or in part a time-sensitive rate pilot program.	Whether to approve, reject or modify, in whole or in part a time-sensitive rate pilot program.
*PSC-38-14-00004-P exempt	The study and petition of Con Edison regarding use, accounting and ratemaking treatment for 11-23 and 2-28 Hudson Ave. Brooklyn.	The study and petition of Con Edison regarding use, accounting and ratemaking treatment for 11-23 and 2-28 Hudson Ave. Brooklyn.
*PSC-38-14-00005-P exempt	Action on the report and petition of Con Edison regarding the Storm Hardening and Resiliency Collaborative, Phase 2.	Action on the report and petition of Con Edison regarding the Storm Hardening and Resiliency Collaborative, Phase 2.
*PSC-38-14-00007-P exempt	Whether to expand Con Edison's low income program to include Medicaid recipients.	Whether to expand Con Edison's low income program to include Medicaid recipients.
*PSC-38-14-00008-P exempt	The study and petition of Con Edison regarding use, accounting and ratemaking treatment for 11-23 and 2-28 Hudson Ave. Brooklyn.	The study and petition of Con Edison regarding use, accounting and ratemaking treatment for 11-23 and 2-28 Hudson Ave. Brooklyn.
*PSC-38-14-00010-P exempt	Inter-carrier telephone service quality standard and metrics and administrative changes.	To review recommendations from the Carrier Working Group and incorporate appropriate modifications to the existing Guidelines.

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
*PSC-38-14-00012-P exempt	Action on the report and petition of Con Edison regarding the Storm Hardening and Resiliency Collaborative, Phase 2.	Action on the report and petition of Con Edison regarding the Storm Hardening and Resiliency Collaborative, Phase 2.
*PSC-39-14-00020-P exempt	Whether to permit the use of the Mueller Systems 400 Series and 500 Series of water meters	Pursuant to 16 NYCRR section 500.3, whether to permit the use of the Mueller Systems 400, and 500 Series of water meters
*PSC-40-14-00008-P exempt	To consider granting authorization for Buy Energy Direct to resume marketing to residential customers.	To consider granting authorization for Buy Energy Direct to resume marketing to residential customers.
*PSC-40-14-00009-P exempt	Whether to permit the use of the Itron Open Way Centron Meter with Hardware 3.1 for AMR and AMI functionality.	Pursuant to 16 NYCRR Parts 93, is necessary to permit the use of the Itron Open Way Centron Meter with Hardware 3.1.
*PSC-40-14-00011-P exempt	Late Payment Charge.	To modify Section 7.6 - Late Payment Charge to designate a specific time for when a late payment charge is due.
*PSC-40-14-00013-P exempt	Regulation of a proposed natural gas pipeline and related facilities located in the Town of Ticonderoga, NY.	To consider regulation of a proposed natural gas pipeline and related facilities located in the Town of Ticonderoga, NY.
*PSC-40-14-00014-P exempt	Waiver of 16 NYCRR Sections 894.1 through 894.4(b)(2)	To allow the Town of Goshen, NY, to waive certain preliminary franchising procedures to expedite the franchising process.
*PSC-40-14-00015-P exempt	Late Payment Charge.	To modify Section 6.6 - Late Payment Charge to designate a specific time for when a late payment charge is due.
*PSC-42-14-00003-P exempt	Annual Reconciliation of Gas Expenses and Gas Cost Recoveries	The filings of various LDCs and municipalities regarding their Annual Reconciliation of Gas Expenses and Gas Cost Recoveries
*PSC-42-14-00004-P exempt	Winter Bundled Sales Service Option	To modify SC-11 to remove language relating to fixed storage charges in the determination of the Winter Bundled Sales charge
*PSC-48-14-00014-P exempt	Considering the recommendations contained in Staff's electric outage investigation report for MNRR, New Haven Line.	To consider the recommendations contained in Staff's electric outage investigation report for MNRR, New Haven Line.
*PSC-52-14-00019-P exempt	Petition for a waiver to master meter electricity.	Considering the request of 614 South Crouse Avenue, LLC to master meter electricity at 614 South Crouse Avenue, Syracuse, NY..
*PSC-01-15-00014-P exempt	State Universal Service Fund Disbursements	To consider Edwards Telephone Company's request for State Universal Service Fund disbursements
*PSC-08-15-00009-P exempt	Approval of a surcharge.	To allow or disallow Emerald Green Lake Louise Marie Water Company, Inc. for a surcharge.
*PSC-08-15-00010-P exempt	Request pertaining to the lawfulness of National Grid USA continuing its summary billing program.	To grant, deny, or modify URAC Rate Consultants' request that National Grid cease its summary billing program.

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
*PSC-10-15-00007-P exempt	Notification concerning tax refunds	To consider Verizon New York Inc.'s partial rehearing or reconsideration request regarding retention of property tax refunds
*PSC-10-15-00008-P exempt	Whether to waive Policy on Test Periods in Major Rate Proceedings and provide authority to file tariff changes	Whether to waive Policy on Test Periods in Major Rate Proceedings and provide authority to file tariff changes
*PSC-13-15-00024-P exempt	Whether Leatherstocking should be permitted to recover a shortfall in earnings	To decide whether to approve Leatherstocking's request to recover a shortfall in earnings
*PSC-13-15-00026-P exempt	Whether to permit the use of the Sensus Smart Point Gas AMR/AMI product	To permit the use of the Sensus Smart Point Gas AMR/AMI product
*PSC-13-15-00027-P exempt	Whether to permit the use of the Measurlogic DTS 310 electric submeter	To permit the use of the Measurlogic DTS 310 submeter
*PSC-13-15-00028-P exempt	Whether to permit the use of the SATEC EM920 electric meter	To permit necessary to permit the use of the SATEC EM920 electric meter
*PSC-13-15-00029-P exempt	Whether to permit the use the Triacta Power Technologies 6103, 6112, 6303, and 6312 electric submeters	To permit the use of the Triacta submeters
*PSC-17-15-00007-P exempt	To consider the petition of Leatherstocking Gas Company, LLC seeking authority to issue long-term debt of \$2.75 million	To consider the petition of Leatherstocking Gas Company, LLC seeking authority to issue long-term debt of \$2.75 million
*PSC-18-15-00005-P exempt	Con Edison's Report on its 2014 performance under the Electric Service Reliability Performance Mechanism	Con Edison's Report on its 2014 performance under the Electric Service Reliability Performance Mechanism
*PSC-19-15-00011-P exempt	Gas Safety Performance Measures and associated negative revenue adjustments	To update the performance measures applicable to KeySpan Gas East Corporation d/b/a National Grid
*PSC-22-15-00015-P exempt	To consider the request for waiver of the individual residential unit meter requirements and 16 NYCRR 96.1(a)	To consider the request for waiver of the individual residential unit meter requirements and 16 NYCRR 96.1(a)
*PSC-23-15-00005-P exempt	The modification of New York American Water's current rate plan	Whether to adopt the terms of the Joint Proposal submitted by NYAW and DPS Staff
*PSC-23-15-00006-P exempt	The modification of New York American Water's current rate plan	Whether to adopt the terms of the Joint Proposal submitted by NYAW and DPS Staff
*PSC-25-15-00008-P exempt	Notice of Intent to Submeter electricity.	To consider the request of 165 E 66 Residences, LLC to submeter electricity at 165 East 66th Street, New York, New York.
*PSC-29-15-00025-P exempt	Joint Petition for authority to transfer real property located at 624 West 132nd Street, New York, NY	Whether to authorize the proposed transfer of real property located at 624 West 132nd Street, New York, NY
*PSC-32-15-00006-P exempt	Development of a Community Solar Demonstration Project.	To approve the development of a Community Solar Demonstration Project.
*PSC-33-15-00009-P exempt	Remote net metering of a demonstration community net metering program.	To consider approval of remote net metering of a demonstration community net metering program.

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
*PSC-33-15-00012-P exempt	Remote net metering of a Community Solar Demonstration Project.	To consider approval of remote net metering of a Community Solar Demonstration Project.
*PSC-34-15-00021-P exempt	Petition by NYCOM requesting assistance with obtaining information on CLECs and ESCOs	To consider the petition by NYCOM requesting assistance with obtaining information on CLECs and ESCOs
*PSC-35-15-00014-P exempt	Consideration of consequences against Light Power & Gas, LLC for violations of the UBP	To consider consequences against Light Power & Gas, LLC for violations of the UBP
*PSC-37-15-00007-P exempt	Submetered electricity	To consider the request of 89 Murray Street Ass. LLC, for clarification of the submetering order issued December 20, 2007
*PSC-40-15-00014-P exempt	Whether to permit the use of the Open Way 3.5 with cellular communications	To consider the use of the Open Way 3.5 electric meter, pursuant to 16 NYCRR Parts 92 and 93
*PSC-42-15-00006-P exempt	Deferral of incremental expenses associated with NERC's new Bulk Electric System (BES) compliance requirements approved by FERC.	Consideration of Central Hudson's request to defer incremental expenses associated with new BES compliance requirements.
*PSC-44-15-00028-P exempt	Deferral of incremental expenses associated with new compliance requirements	Consideration of Central Hudson's request to defer incremental expenses associated with new compliance requirements
*PSC-47-15-00013-P exempt	Whitepaper on Implementing Lightened Ratemaking Regulation.	Consider Whitepaper on Implementing Lightened Ratemaking Regulation.
*PSC-48-15-00010-P exempt	Lightened and incidental regulation of a 55 MW electric and steam generating facility.	Consider the lightened and incidental regulation of a 55 MW electric and steam generating facility.
*PSC-48-15-00011-P exempt	Proposal to retire Huntley Units 67 and 68 on March 1, 2016.	Consider the proposed retirement of Huntley Units 67 and 68.
*PSC-50-15-00006-P exempt	The reduction of rates.	To consider the reduction of rates charged by Independent Water Works, Inc.
*PSC-50-15-00009-P exempt	Notice of Intent to submeter electricity.	To consider the request to submeter electricity at 31-33 Lincoln Road and 510 Flatbush Avenue, Brooklyn, New York.
*PSC-51-15-00010-P exempt	Modification of the EDP	To consider modifying the EDP
*PSC-01-16-00005-P exempt	Proposed amendment to Section 5, Attachment 1.A of the Uniform Business Practices	To consider amendment to Section 5, Attachment 1.A of the Uniform Business Practices
*PSC-04-16-00007-P exempt	Whether Hamilton Municipal Utilities should be permitted to construct and operate a municipal gas distribution facility.	Consideration of the petition by Hamilton Municipal Utilities to construct and operate a municipal gas distribution facility.
*PSC-04-16-00012-P exempt	Proposal to mothball three gas turbines located at the Astoria Gas Turbine Generating Station.	Consider the proposed mothball of three gas turbines located at the Astoria Gas Turbine Generating Station.
*PSC-04-16-00013-P exempt	Proposal to find that three gas turbines located at the Astoria Gas Turbine Generating Station are uneconomic.	Consider whether three gas turbines located at the Astoria Gas Turbine Generating Station are uneconomic.

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
*PSC-06-16-00013-P exempt	Continued deferral of approximately \$16,000,000 in site investigation and remediation costs.	To consider the continued deferral of approximately \$16,000,000 in site investigation and remediation costs.
*PSC-06-16-00014-P exempt	MEGA's proposed demonstration CCA program.	To consider MEGA's proposed demonstration CCA program.
*PSC-14-16-00008-P exempt	Resetting retail markets for ESCO mass market customers.	To ensure consumer protections with respect to residential and small non-residential ESCO customers.
*PSC-18-16-00013-P exempt	Amendments to the Uniform Business Practices of ESCOs.	To ensure consumer protection for ESCO customers.
*PSC-18-16-00014-P exempt	Amendments to the Uniform Business Practices of ESCOs.	To ensure consumer protection for ESCO customers.
*PSC-18-16-00015-P exempt	Petitions for rehearing of the Order Resetting Retail Energy Markets and Establishing Further Process.	To ensure consumer protections for ESCO customers.
*PSC-18-16-00016-P exempt	Amendments to the Uniform Business Practices of ESCOs.	To ensure consumer protection for ESCO customers.
*PSC-18-16-00018-P exempt	Amendments to the Uniform Business Practices of ESCOs.	To ensure consumer protection for ESCO customers.
*PSC-20-16-00008-P exempt	Consideration of consequences against Global Energy Group, LLC for violations of the Uniform Business Practices (UBP).	To consider consequences against Global Energy Group, LLC for violations of the Uniform Business Practices (UBP).
*PSC-20-16-00010-P exempt	Deferral and recovery of incremental expense.	To consider deferring costs of conducting leak survey and repairs for subsequent recovery.
*PSC-20-16-00011-P exempt	Enetics LD-1120 Non-Intrusive Load Monitoring Device in the Statewide Residential Appliance Metering Study.	To consider the use of the Enetics LD-1120 Non-Intrusive Load Monitoring Device.
*PSC-24-16-00009-P exempt	Petition to submeter gas service.	To consider the Petition of New York City Economic Development Corp. to submeter gas at Pier 17, 89 South Street, New York, NY.
*PSC-25-16-00009-P exempt	To delay Companies' third-party assessments of customer personally identifiable information until 2018.	To extend the time period between the Companies' third-party assessments of customer personally identifiable information.
*PSC-25-16-00025-P exempt	Acquisition of all water supply assets of Woodbury Heights Estates Water Co., Inc. by the Village of Kiryas Joel.	To consider acquisition of all water supply assets of Woodbury Heights Estates Water Co., Inc. by the Village of Kiryas Joel.
*PSC-25-16-00026-P exempt	Use of the Badger E Series Ultrasonic Cold Water Stainless Steel Meter, in residential fire service applications.	To consider the use of the Badger E Series Ultrasonic Cold Water Stainless Steel Meter in fire service applications.
*PSC-28-16-00017-P exempt	A petition for rehearing of the Order Adopting a Ratemaking and Utility Revenue Model Policy Framework.	To determine appropriate rules for and calculation of the distributed generation reliability credit.
*PSC-29-16-00024-P exempt	Participation of NYPA customers in surcharge-funded clean energy programs.	To consider participation of NYPA customers in surcharge-funded clean energy programs.

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
*PSC-32-16-00012-P exempt	Benefit-Cost Analysis Handbooks.	To evaluate proposed methodologies of benefit-cost evaluation.
*PSC-33-16-00001-EP exempt	Use of escrow funds for repairs.	To authorize the use of escrow account funds for repairs.
*PSC-33-16-00005-P exempt	Exemption from certain charges for delivery of electricity to its Niagara Falls, New York facility.	Application of System Benefits Charges, Renewable Portfolio Standard charges and Clean Energy Fund surcharges.
*PSC-35-16-00015-P exempt	NYSRC's revisions to its rules and measurements	To consider revisions to various rules and measurements of the NYSRC
*PSC-36-16-00004-P exempt	Recovery of costs for installation of electric service.	To consider the recovery of costs for installation of electric service.
*PSC-40-16-00025-P exempt	Consequences pursuant to the Commission's Uniform Business Practices (UBP).	To consider whether to impose consequences on Smart One for its apparent non-compliance with Commission requirements.
*PSC-47-16-00009-P exempt	Petition to use commercial electric meters	To consider the petition of Itron, Inc. to use the Itron CP2SO and CP2SOA in commercial electric meter applications
*PSC-47-16-00010-P exempt	Standby Service rate design	To consider the report filed and the recommendations therein
*PSC-47-16-00013-P exempt	Standby Service rate design	To consider the report filed and the recommendations therein
*PSC-47-16-00014-P exempt	Standby Service rate design	To consider the report filed and the recommendations therein
*PSC-47-16-00016-P exempt	Standby Service rate design	To consider the report filed and the recommendations therein
*PSC-02-17-00010-P exempt	Implementation of the four EAMs.	To consider the implementation of EAMs for RG&E.
*PSC-02-17-00012-P exempt	Implementation of the four EAMs.	To consider the implementation of EAMs for NYSEG.
*PSC-14-17-00017-P exempt	Petition for Full-Scale Deployment of AMI and to Establish an AMI Surcharge.	To consider the petition for Full-Scale Deployment of AMI and to Establish an AMI Surcharge.
*PSC-18-17-00024-P exempt	A petition for rehearing or reconsideration of the Order Addressing Public Policy Transmission Need for AC Transmission Upgrades	To determine whether Public Policy Transmission Need/Public Policy Requirements continue to exist.
*PSC-18-17-00026-P exempt	Revisions to the Dynamic Load Management surcharge.	To consider revisions to the Dynamic Load Management surcharge.
*PSC-19-17-00004-P exempt	NYAW's request to defer and amortize, for future rate recognition, pension settlement payout losses incurred in 2016.	Consideration of NYAW's petition to defer and amortize, for future rate recognition, pension payout losses incurred in 2016.
*PSC-20-17-00008-P exempt	Compressed natural gas as a motor fuel for diesel fueled vehicles.	To consider a report filed by National Grid NY regarding the potential for adoption of compressed natural gas as a motor fuel.

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
*PSC-20-17-00010-P exempt	Compressed natural gas as a motor fuel for diesel fueled vehicles.	To consider a report filed by National Grid regarding the potential for adoption of compressed natural gas as a motor fuel.
*PSC-21-17-00013-P exempt	The establishment and implementation of Earnings Adjustment Mechanisms.	To consider the establishment and implementation of Earnings Adjustment Mechanisms.
*PSC-21-17-00018-P exempt	Proposed agreement for the provision of water service by Saratoga Water Services, Inc.	To consider a waiver and approval of terms of a service agreement.
*PSC-22-17-00004-P exempt	Financial incentives to create customer savings and develop market-enabling tools, with a focus on outcomes and incentives	To consider the proposed Interconnection Survey Process and Earnings Adjustment Mechanisms
*PSC-23-17-00022-P exempt	Changes in regulation of ESCOs, including restrictions on or prohibitions of marketing or offering certain products or services.	To ensure consumer protection for ESCO customers.
*PSC-24-17-00006-P exempt	Development of the Utility Energy Registry.	Improved data access.
*PSC-26-17-00005-P exempt	Notice of Intent to submeter electricity.	To consider the Notice of Intent to submeter electricity at 125 Waverly Street, Yonkers, New York.
*PSC-34-17-00011-P exempt	Waiver to permit Energy Cooperative of America to serve low-income customers	To consider the petition for a waiver
*PSC-37-17-00005-P exempt	Financial incentives to create customer savings and develop market-enabling tools, with a focus on outcomes and incentives.	To consider the revised Interconnection Survey Process and Earnings Adjustment Mechanisms.
*PSC-37-17-00006-P exempt	Petition to submeter electricity.	To consider the petition of ACC OP (Park Point SU) LLC to submeter electricity at 417 Comstock Avenue, Syracuse, New York.
*PSC-39-17-00011-P exempt	Whether to direct New York State Electric & Gas to complete electric facility upgrades at no charge to Hanehan.	To determine financial responsibility between NYSEG and Hanehan for the electric service upgrades to Hanehan.
*PSC-40-17-00006-P exempt	The aggregation of electric service for the Empire State Plaza and the Sheridan Avenue Steam Plant	To consider a waiver of National Grid's tariff provision requiring all electric delivery points to be on the same premises
*PSC-42-17-00010-P exempt	Petition for rehearing of negative revenue adjustment and contents of annual Performance Report.	To consider NFGD's petition for rehearing.
*PSC-48-17-00015-P exempt	Low Income customer options for affordable water bills.	To consider the Low Income Bill Discount and/or Energy Efficiency Rebate Programs.
*PSC-50-17-00017-P exempt	New Wave Energy Corp.'s petition for rehearing.	To consider the petition for rehearing filed by New Wave Energy Corp.
*PSC-50-17-00018-P exempt	Application of the Public Service Law to DER suppliers.	To determine the appropriate regulatory framework for DER suppliers.
*PSC-50-17-00019-P exempt	Transfer of utility property.	To consider the transfer of utility property.

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
*PSC-50-17-00021-P exempt	Disposition of tax refunds and other related matters.	To consider the disposition of tax refunds and other related matters.
*PSC-50-17-00022-P exempt	Data protection rules for DER suppliers.	To determine the appropriate regulatory framework for DER suppliers.
*PSC-51-17-00011-P exempt	Petition for recovery of certain costs related to the implementation of a Non-Wires Alternative Project.	To consider Con Edison's petition for the recovery of costs for implementing the JFK Project.
*PSC-04-18-00005-P exempt	Notice of intent to submeter electricity.	To consider the notice of intent of Montante/Morgan Gates Circle LLC to submeter electricity.
*PSC-05-18-00004-P exempt	Lexington Power's ZEC compliance obligation.	To promote and maintain renewable and zero-emission electric energy resources.
*PSC-06-18-00012-P exempt	To consider further proposed amendments to the original criteria to grandfathering established in the Transition Plan	To modify grandfathering criteria
*PSC-06-18-00017-P exempt	Merger of NYAW and Whitlock Farms Water Corp.	To consider the merger of NYAW and Whitlock Farms Water Company into a single corporate entity
*PSC-07-18-00015-P exempt	The accuracy and reasonableness of National Grid's billing for certain interconnection upgrades.	To consider AEC's petition requesting resolution of their billing dispute with National Grid.
*PSC-11-18-00004-P exempt	New York State Lifeline Program.	To consider TracFone's petition seeking approval to participate in Lifeline.
*PSC-13-18-00015-P exempt	Eligibility of an ESCO to market to and enroll residential customers.	To consider whether Astral should be allowed to market to and enroll residential customers following a suspension.
*PSC-13-18-00023-P exempt	Reconciliation of property taxes.	To consider NYAW's request to reconcile property taxes.
*PSC-14-18-00006-P exempt	Petition for abandonment	To consider the abandonment of Willsboro Bay Water Company's water system
*PSC-17-18-00010-P exempt	Petition for use of gas metering equipment.	To ensure that consumer bills are based on accurate measurements of gas usage.
*PSC-18-18-00009-P exempt	Transfer of control of Keene Valley Video Inc.	To ensure performance in accordance with applicable cable laws, regulations and standards and the public interest
*PSC-23-18-00006-P exempt	Whether to impose consequences on Aspiry for its non-compliance with Commission requirements.	To ensure the provision of safe and adequate energy service at just and reasonable rates.
*PSC-23-18-00014-P exempt	Proposed major rate increase of approximately \$11.7 million to cover its Franklin and St. Lawrence Counties expansion project.	To ensure safe and adequate service at just and reasonable rates charged to customers without undue preferences.
*PSC-24-18-00013-P exempt	Implementation of program rules for Renewable Energy Standard and ZEC requirements.	To promote and maintain renewable and zero-emission electric energy resources.

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
*PSC-28-18-00011-P exempt	Storm Hardening Collaborative Report.	To ensure safe and adequate gas service.
*PSC-29-18-00008-P exempt	Participation in Targeted Accessibility Fund	To encourage enhanced services for low-income consumers
*PSC-29-18-00009-P exempt	Overvaluing real property tax expense recovery in water rates	To prevent unjust and unreasonable water rates
*PSC-30-18-00004-P exempt	Ownership of St. Lawrence Gas Company, Inc.	To consider whether a proposed transfer of ownership interests in St. Lawrence Gas Company, Inc. is in the public interest.
*PSC-34-18-00011-P exempt	Compensation of distributed energy resources.	To ensure just and reasonable rates, including compensation, for distributed energy resources.
*PSC-34-18-00015-P exempt	Petition to submeter electricity.	To ensure adequate submetering equipment and energy efficiency protections are in place.
*PSC-34-18-00016-P exempt	Deferral of pre-staging and mobilization storm costs.	To ensure just and reasonable rates for ratepayers and utility recovery of unexpected, prudently incurred costs.
*PSC-35-18-00003-P exempt	Con Edison's 2018 DSIP and BCA Handbook Update.	To continue Con Edison's transition to a modern utility serving as a Distributed System Platform Provider.
*PSC-35-18-00005-P exempt	NYSEG and RG&E's 2018 DSIP and BCA Handbook Update.	To continue NYSEG and RG&E's transition to modern utilities acting as Distributed System Platform Providers.
*PSC-35-18-00006-P exempt	National Grid's 2018 DSIP and BCA Handbook Update.	To continue National Grid's transition to a modern utility serving as a Distributed System Platform Provider.
*PSC-35-18-00008-P exempt	Central Hudson's 2018 DSIP and BCA Handbook Update.	To continue Central Hudson's transition to a modern utility serving as a Distributed System Platform Provider.
*PSC-35-18-00010-P exempt	O&R's 2018 DSIP and BCA Handbook Update.	To continue O&R's transition to a modern utility acting as a Distributed System Platform Provider.
*PSC-39-18-00005-P exempt	Participation in New York State Lifeline Program.	To encourage enhanced services for low-income customers.
*PSC-40-18-00014-P exempt	Annual Reconciliation of Gas Expenses and Gas Cost Recoveries.	To review the gas utilities' reconciliation of Gas Expenses and Gas Cost Recoveries for 2018.
*PSC-40-18-00015-P exempt	Proposed rate filing to increase annual revenues.	To ensure safe and adequate service at just and reasonable rates charged to customers without undue preferences.
*PSC-42-18-00011-P exempt	Voluntary residential beneficial electrification rate design.	To provide efficient rate design for beneficial technologies in New York State that is equitable for all residential customers.
*PSC-42-18-00013-P exempt	Petition for clarification and rehearing of the Smart Solutions Program Order.	To address the increased demand for natural gas in the Con Edison's service territory and the limited pipeline capacity.

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
PSC-44-18-00012-P exempt	Petition for approval of gas metering equipment.	To ensure that customer bills are based on accurate measurements of gas usage.
PSC-44-18-00016-P exempt	Petition for approval of gas metering equipment.	To ensure that customer bills are based on accurate measurements of gas usage.
PSC-45-18-00004-P exempt	Proposed transfer of two natural gas pipeline operating companies, and for lightened and incidental regulation	To consider transfer if there is no market power or ratepayer harm, incidental regulation, and continuing lightened regulation
PSC-45-18-00005-P exempt	Notice of intent to submeter electricity and waiver of energy audit	To ensure adequate submetering equipment, consumer protections and energy efficiency protections are in place
PSC-47-18-00008-P exempt	Proposed Public Policy Transmission Needs/ Public Policy Requirements, as defined under the NYISO tariff.	To identify any proposed Public Policy Transmission Needs/Public Policy Requirements for referral to the NYISO.
PSC-50-18-00003-P exempt	Proposed transfer of interests in an electric generating facility and dedicated natural gas pipeline	To consider the transfer of generating facility and dedicated gas pipeline if there is no market power or ratepayer harm
PSC-01-19-00004-P exempt	Advanced Metering Infrastructure.	To determine whether Niagara Mohawk Power Corporation d/b/a National Grid should implement advanced metering infrastructure.
PSC-01-19-00013-P exempt	Order of the Commission related to caller ID unblocking.	To require telephone companies to unblock caller ID on calls placed to the 311 municipal call center in Suffolk County.
PSC-01-19-00014-P exempt	To modify provisions for accepting new or additional gas service applications when there is inadequate supply or capacity.	To continue to provide safe and reliable service to existing customers.
PSC-01-19-00015-P exempt	To modify provisions for accepting new or additional gas service applications when there is inadequate supply or capacity.	To continue to provide safe and reliable service to existing customers.
PSC-01-19-00016-P exempt	To modify provisions for accepting new or additional gas service applications when there is inadequate supply or capacity.	To continue to provide safe and reliable service to existing customers.
PSC-02-19-00014-P exempt	Petition for use of electric metering equipment.	To ensure that consumer bills are based on accurate measurements of electric usage.
PSC-03-19-00002-P exempt	DPS Staff White Paper for who must be trained in 16 NYCRR Part 753 requirements and how the Commission will approve trainings.	To reduce damage to underground utility facilities by requiring certain training and approving training curricula.
PSC-04-19-00004-P exempt	Con Edison's petition for the Gas Innovation Program and associated budget.	To pursue programs that continue service reliability and meet customer energy needs while aiding greenhouse gas reduction goals.
PSC-04-19-00011-P exempt	Update of revenue targets.	To ensure NYAW's rates are just and reasonable and accurately reflect the needed revenues.
PSC-05-19-00009-P exempt	Transfer of street lighting facilities	To consider whether the transfer of certain street lighting facilities is in the public interest

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
PSC-06-19-00005-P exempt	Consideration of the Joint Utilities' proposed BDP Program.	To to expand opportunities for low-income households to participate in Community Distributed Generation (CDG) projects.
PSC-07-19-00009-P exempt	Whether to impose consequences on AAA for its non-compliance with Commission requirements.	To insure the provision of safe and adequate energy service at just and reasonable rates.
PSC-07-19-00010-P exempt	The rates and charges for non-roadway LED service offerings for outdoor lighting customers.	To determine whether to amend National Grid's P.S.C. No. 214 - Outdoor Lighting Tariff to provide new LED service offerings.
PSC-07-19-00016-P exempt	Participation in New York State Lifeline Program.	To encourage enhanced services for low-income customers.
PSC-09-19-00009-P exempt	Amendments to the tariff of Con Edison pertaining to interruptible gas service customers.	To consider the appropriate tariff provisions for Con Edison interruptible gas service customers.
PSC-09-19-00010-P exempt	Non-pipeline alternatives report recommendations.	To consider the terms and conditions applicable to gas service.
PSC-09-19-00013-P exempt	Cyber Security requirements.	Establish a framework to ensure the protection of utility systems and customer data from cyber events.
PSC-10-19-00006-P exempt	Notice of intent to submeter electricity.	To ensure adequate submetering equipment and consumer protections are in place.
PSC-11-19-00003-P exempt	Transfer of street lighting facilities	To consider whether the transfer of certain street lighting facilities is in the public interest
PSC-12-19-00004-P exempt	To test innovative pricing proposals on an opt-out basis.	To provide pricing structures that deliver benefits to customers and promote beneficial electrification technologies.
PSC-13-19-00010-P exempt	New Commission requirements for gas company operator qualification programs.	To make pipelines safer with improved training of workers who perform construction and repairs on natural gas facilities.
PSC-13-19-00012-P exempt	Paperless billing credit.	To provide just and reasonable rates.
PSC-15-19-00010-P exempt	Tariff provisions for Interruptible and Off-Peak Firm Service Customers.	To amend certain penalties for non-compliant Interruptible and Off-Peak Firm Customers.
PSC-16-19-00005-P exempt	Proposed major electric delivery revenue requirement increase of approximately \$485 million (or 4.6% in total revenues).	To ensure safe and adequate service at just and reasonable rates charged to customers without undue preferences.
PSC-16-19-00007-P exempt	Recommendation of the levelization surcharge, changes to the System Improvement Charge, and a one-year stay-out.	To address the issues in NYAW's petition dated February 25, 2019.
PSC-16-19-00008-P exempt	Proposed major rate increase in Con Edison's gas delivery revenues of approximately \$210 million (or 9.1% in total revenues).	To ensure safe and adequate service at just and reasonable rates charged to customers without undue preferences.
PSC-17-19-00014-P exempt	Minor rate filing.	To ensure safe and adequate service at just and reasonable rates charged to customers without undue preferences.

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
PSC-18-19-00010-P 04/30/20	Energy efficiency programs, budgets, and targets for investor-owned utilities.	To encourage the delivery and procurement of energy efficiency by investor-owned utilities.
PSC-18-19-00011-P exempt	Policies, budgets and targets for support of heat pump deployment by investor-owned utilities.	To encourage the support for heat pump deployment by investor-owned utilities.
PSC-18-19-00012-P 04/30/20	Policies, budgets and targets for support of energy efficiency programs for low- and moderate-income customers.	To encourage the support of energy efficiency programs for low- and moderate-income customers by investor-owned utilities.
PSC-18-19-00013-P exempt	Notice of intent to submeter electricity.	To ensure adequate submetering equipment and consumer protections are in place.
PSC-18-19-00015-P exempt	Minor rate filing.	To ensure safe and adequate service at just and reasonable rates charged to customers without undue preferences.
PSC-19-19-00013-P exempt	Proposed merger of three water utilities into one corporation.	To determine if the proposed merger is in the public interest.
PSC-19-19-00014-P exempt	Establishment of the regulatory regime applicable to an approximately 124 MW electric generating facility.	Consideration of a lightened regulatory regime for an approximately 124 MW electric generating facility.
PSC-19-19-00015-P exempt	Establishment of the regulatory regime applicable to an energy storage facility of up to 316 MW.	Consideration of a lightened regulatory regime for an energy storage facility of up to 316 MW
PSC-19-19-00016-P exempt	Establishment of the regulatory regime applicable to an approximately 242 MW electric generating facility.	Consideration of a lightened regulatory regime for an approximately 242 MW electric generating facility.
PSC-20-19-00008-P exempt	Reporting on energy sources	To ensure accurate reporting and encourage clean energy purchases
PSC-20-19-00009-P exempt	Petition to submeter electricity	To ensure adequate submetering equipment and consumer protections are in place
PSC-20-19-00010-P exempt	Compensation policies for certain CHP projects	To consider appropriate rules for compensation of certain CHP resources
PSC-20-19-00011-P exempt	Petition to submeter electricity	To ensure adequate submetering equipment and consumer protections are in place
PSC-20-19-00015-P exempt	Establishment of the regulatory regime applicable to an approximately 105.8 MW electric generating facility	Consideration of a lightened regulatory regime for an approximately 105.8 MW electric generating facility
PSC-21-19-00018-P exempt	Methodology used to set discount level for income-based discounts to residential electric and gas utility bills.	To ensure that income-based discounts are adjusted in a manner that moderates annual changes.
PSC-22-19-00013-P exempt	Notice of intent to submeter electricity.	To ensure adequate submetering equipment and consumer protections are in place.
PSC-23-19-00003-P exempt	Ownership interest in poles.	To consider the transfer of ownership interest in certain poles from NYSEG to Verizon.

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
PSC-23-19-00005-P exempt	Proposed major rate increase in SWNY's annual base revenues of approximately \$31.5 million (or 19.8% in total revenues).	To ensure safe and adequate service at just and reasonable rates charged to customers without undue preferences.
PSC-24-19-00003-P exempt	Three-year pilot for inspecting gas services at intervals longer than existing regulations require.	To use risk-based reasoning for gas service lines inspection intervals on a pilot basis.
PSC-24-19-00005-P exempt	Non-Firm Demand Response service classes.	To ensure safe and adequate service at just and reasonable rates charged to customers without undue preferences.
PSC-24-19-00008-P exempt	Non-Firm Demand Response service classes.	To ensure safe and adequate service at just and reasonable rates charged to customers without undue preferences.
PSC-25-19-00017-P exempt	Notice of intent to submeter electricity.	To ensure adequate submetering equipment and consumer protections are in place.
PSC-26-19-00002-P exempt	Petition to submeter electricity and waiver of energy audit	To ensure adequate submetering equipment, consumer protections and energy efficiency protections are in place
PSC-27-19-00007-P exempt	Implementation of consolidated billing for distributed energy resources.	To facilitate development of and participation in Community Distributed Generation projects.
PSC-27-19-00009-P exempt	Notice of intent to submeter electricity.	To ensure adequate submetering equipment and consumer protections are in place.
PSC-28-19-00004-P exempt	Revenue and Property Tax Reconciliation Mechanism.	To ensure recovery of proper expenses and the continued provision of safe and adequate service.
PSC-28-19-00006-P exempt	Establishment of an escrow account.	To maintain a replenishable escrow account with a maximum balance of \$5,000.
PSC-29-19-00018-P exempt	Compensation for distributed energy resources.	To establish rules for NYPA customer participation in the Value of Distributed Energy Resources program.
PSC-29-19-00019-P exempt	Transfer of street lighting facilities.	To determine whether to transfer street lighting facilities and the proper accounting for the transaction.
PSC-30-19-00007-P exempt	Use of electric metering equipment.	To ensure that consumer bills are based on accurate measurements of electric usage.
PSC-30-19-00008-P exempt	To institute a voluntary Tier 2(B) Physical Storage Program under the DDS Program.	To ensure safe and adequate service at just and reasonable rates charged to customers without undue preferences.
PSC-30-19-00009-P exempt	An Index REC procurement mechanism for Tier 1 REC procurements.	To provide a hedge against market volatility, and lower costs to both renewable generators and customers.
PSC-31-19-00011-P exempt	Electric metering equipment.	To ensure that consumer bills are based on accurate measurements of electric usage.
PSC-31-19-00012-P exempt	Purchase of renewable energy from distributed generators and energy storage systems 15 kilowatts or less.	To establish provisions to ensure safe and reliable service for all customers.

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
PSC-31-19-00013-P exempt	Implementation of Statewide Energy Benchmarking.	To ensure safe and adequate service at just and reasonable rates charged to customers without undue preferences.
PSC-31-19-00014-P exempt	Consideration of NYAW's Interim Implementation Plan.	To ensure NYAW improves its service to ratepayers.
PSC-31-19-00015-P exempt	Proposed major rate increase in KEDNY's gas delivery revenues by \$236.8 million (13.6% increase in total revenues).	To ensure safe and adequate service at just and reasonable rates charged to customers without undue preferences.
PSC-31-19-00016-P exempt	Proposed major rate increase in KEDLI's gas delivery revenues of approximately \$49.4 million (or 4.1% in total revenues).	To ensure safe and adequate service at just and reasonable rates charged to customers without undue preferences.
PSC-31-19-00017-P exempt	Implementation of e-DPAs.	To consider a proposal to implement e-DPAs.
PSC-32-19-00004-EP exempt	RDM target modifications for SC 8 customers and defer any revenue shortfall as a regulatory asset.	To ensure SC 8 electric customers are being charged properly and avoid customer confusion and unnecessary rate volatility.
PSC-32-19-00008-P exempt	Compensation of distributed energy resources	To ensure just and reasonable rates, including compensation, for distributed energy resources
PSC-32-19-00009-P exempt	Petition to submeter electricity and waiver request	To ensure adequate submetering equipment, consumer protections and energy efficiency protections are in place
PSC-32-19-00010-P exempt	Notice of intent to submeter electricity	To ensure adequate submetering equipment and consumer protections are in place
PSC-32-19-00011-P exempt	Notice of intent to submeter electricity and waiver of energy audit	To ensure adequate submetering equipment, consumer protections and energy efficiency protections are in place
PSC-32-19-00012-P exempt	Standby Service Rates and Buyback Service Rates	To ensure just and reasonable rates, including compensation, for distributed energy resources
PSC-32-19-00013-P exempt	Disposition of tax refunds received by New York American Water Company, Inc.	To determine the disposition of tax refunds and other related matters
PSC-33-19-00007-P exempt	Transfer of street lighting facilities.	To determine whether to provide written consent for the proposed transfer of certain street lighting facilities.
PSC-33-19-00008-P exempt	Pole attachment rates.	To ensure safe and adequate service at just and reasonable rates charged to customers without preferences.
PSC-33-19-00009-P exempt	Transfer of street lighting facilities.	To determine whether to provide written consent for the proposed transfer of certain street lighting facilities.
PSC-33-19-00010-P exempt	Purchase of renewable energy from new distributed generators and energy storage systems 15 kilowatts or less.	To establish provisions to ensure safe and reliable service for all customers.
PSC-33-19-00011-P exempt	Update revenue targets and pass back regulatory liability/asset resulting from tax law changes.	To ensure New York American Water's rates are just and reasonable and accurately reflect the needed revenues.

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
PSC-33-19-00012-P exempt	Limited waiver of tariff provisions for customers with PEVs under Special Provision L of the SC-1 VTOU rate.	To ensure just and reasonable rates charged to customers without undue preferences
PSC-33-19-00013-P exempt	Notice of intent to submeter electricity.	To ensure adequate submetering equipment and consumer protections are in place.
PSC-33-19-00014-P exempt	Electric metering equipment.	To ensure that consumer bills are based on accurate measurements of electric usage.
PSC-34-19-00015-P exempt	Major electric rate filing.	To consider a proposed increase in RG&E's electric delivery revenues of approximately \$31.7 million (or 4.1% in total revenues).
PSC-34-19-00016-P exempt	Major gas rate filing.	To consider a proposed increase in RG&E's gas delivery revenues of approximately \$5.8 million (or 1.4% in total revenues).
PSC-34-19-00017-P exempt	Notice of intent to submeter electricity.	To ensure adequate submetering equipment and consumer protections are in place.
PSC-34-19-00018-P exempt	Major electric rate filing.	To consider a proposed increase in NYSEG's electric delivery revenues of approximately \$156.7 million (10.4% in total revenues).
PSC-34-19-00019-P exempt	Compensation of distributed energy resources.	To ensure just and reasonable rates, including compensation for distributed energy resources.
PSC-34-19-00020-P exempt	Major gas rate filing.	To consider a proposed increase in NYSEG's gas delivery revenues of approximately \$6.3 million (or 1.4% in total revenues).
PSC-34-19-00021-P exempt	Customer Consent to Contact.	To include a new provision establishing customer consent for the utility to contact them electronically about utility service.
PSC-35-19-00004-P exempt	Transfer of street lighting facilities.	To determine whether to authorize the transfer of street lighting facilities and the proper accounting for the transaction.
PSC-35-19-00005-P exempt	Administrative costs and funding sources for the RES and ZEC programs.	To promote and maintain renewable and zero emission electric energy resources.
PSC-35-19-00006-P exempt	Notice of intent to submeter electricity.	To ensure adequate submetering equipment and consumer protections are in place.
PSC-35-19-00007-P exempt	Wireless pole attachment rate.	To ensure safe and adequate service at just and reasonable rates charged to customers without preferences.
PSC-35-19-00008-P exempt	Compensation of distributed energy resources.	To ensure just and reasonable rates, including compensation, for distributed energy resources.
PSC-36-19-00008-P exempt	Transfer of street lighting facilities.	To determine whether to authorize the transfer of street lighting facilities and the proper accounting for the transaction.
PSC-36-19-00009-P exempt	Minor rate filing to increase annual electric revenues.	To ensure safe and adequate service at just and reasonable rates charged to customers without undue preferences.

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
PSC-36-19-00010-P exempt	Notice of intent to submeter electricity and waiver of energy audit.	To ensure adequate submetering equipment, consumer protections and energy efficiency protections are in place.
PSC-36-19-00011-P exempt	Minor electric rate filing to increase annual electric revenues.	To ensure safe and adequate service at just and reasonable rates charged to customers without undue preferences.
PSC-36-19-00012-P exempt	Transfer of street lighting facilities.	To determine whether to authorize the transfer street of lighting facilities and the proper accounting for the transaction.
PSC-37-19-00004-P exempt	Proposed transfer of Hopewell's assets to the Town and dissolution of the company.	To determine if transfer of the water system to the Town of East Fishkill is in the public interest.
PSC-38-19-00002-P exempt	Petition to submeter electricity	To ensure adequate submetering equipment and consumer protections are in place
PSC-39-19-00013-P exempt	Proposed revisions to Consolidated Edison's Commercial Demand Response Programs.	To consider appropriate rules regarding Commercial Demand Response Programs.
PSC-39-19-00014-P exempt	Annual Reconciliation of Gas Expenses and Gas Cost Recoveries.	To review the gas utilities' reconciliation of Gas Expenses and Gas Cost Recoveries for the period ending August 31, 2019.
PSC-39-19-00015-P exempt	Amendments to the New York State Standardized Interconnection Requirements (SIR).	To more effectively interconnect distributed generation and energy storage Systems 5 MW or less to the distribution system.
PSC-39-19-00016-P exempt	PSC regulation 16 NYCRR § 86.3(a)(1), (2), (2)(iv), (b)(2), 86.4(b) and 88.4(a)(4).	To consider a waiver of certain regulations relating to the content of an application for transmission line siting.
PSC-39-19-00017-P exempt	Notice of intent to submeter electricity.	To ensure adequate submetering equipment and consumer protections are in place.
PSC-39-19-00018-P exempt	Petition to submeter electricity.	To ensure adequate submetering equipment and consumer protections are in place.
PSC-39-19-00019-P exempt	Petition for the use of gas metering equipment.	To ensure that consumer bills are based on accurate measurements of gas usage.
PSC-39-19-00020-P exempt	Initial Tariff Schedule, P.S.C. No. 1 - Water.	To ensure safe and adequate service at just and reasonable rates charged to customers without preferences.
PSC-40-19-00006-P exempt	Net energy metering and VDER crediting for eligible New York Power Authority customers.	To ensure safe and adequate service at just and reasonable rates charged to customers without preferences.
PSC-40-19-00007-P exempt	The sharing of ratepayer consumption data.	To allow for consumption based sewer billing and protect ratepayers' consumption data.
PSC-40-19-00008-P exempt	Implementation of consolidated billing for distributed energy resources.	To facilitate development of and participation in Community Distributed Generation projects.
PSC-41-19-00001-EP exempt	Appointment of a temporary operator.	To ensure safe water supply through appointment of a temporary operator.

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
PSC-41-19-00003-P exempt	A voluntary residential three-part rate that would include fixed, usage and demand charges.	To provide qualifying residential customers with an optional three-part rate.
PSC-41-19-00004-P exempt	To consider acquiring cable television facilities and franchises of 27 municipalities from CCE I to Spectrum NE.	To ensure performance in accordance with applicable cable laws, regulations and standards and the public interest.
PSC-41-19-00005-P exempt	Tariff modifications to correct the calculation for the VDER Value Stack DRV.	To ensure safe and adequate service at just and reasonable rates charged to customers without undue preferences.
PSC-42-19-00006-P exempt	Waiver of the prohibition on service to low-income customers by ESCOs.	To consider the petition for an extension of the waiver of the prohibition on service to low-income customers by ESCOs.
PSC-42-19-00007-P exempt	Waiver of the prohibition on service to low-income customers by ESCOs.	To consider the petition for an extension of the waiver of the prohibition on service to low-income customers by ESCOs.
PSC-43-19-00014-P exempt	Petition for the use of electric metering equipment.	To ensure that consumer bills are based on accurate measurements of electric usage.
PSC-43-19-00015-P exempt	Modifications to the Gas Cost Factor and Daily Delivery Service Programs.	To consider a rehearing petition filed by Consolidated Edison Company of New York, Inc. and Orange and Rockland Utilities, Inc.
PSC-43-19-00016-P exempt	Proposed rate filing to increase its semi-annual flat rate.	To ensure safe and adequate service at just and reasonable rates charged to customers without undue preferences.
PSC-44-19-00003-P exempt	Proposed revisions to Standby Service Rates and Buyback Service Rates.	To ensure just and reasonable rates, including compensation, for distributed energy resources.
PSC-44-19-00004-P exempt	Notice of intent to submeter electricity.	To ensure adequate submetering equipment and consumer protections are in place.
PSC-44-19-00005-P exempt	Proposed revisions to Standby Service Rates and Buyback Service Rates.	To ensure just and reasonable rates, including compensation, for distributed energy resources.
PSC-44-19-00006-P exempt	Proposed revisions to Standby Service Rates and Buyback Service Rates.	To ensure just and reasonable rates, including compensation, for distributed energy resources.
PSC-44-19-00007-P exempt	Proposed revisions to Standby Service Rates and Buyback Service Rates.	To ensure just and reasonable rates, including compensation, for distributed energy resources.
PSC-44-19-00008-P exempt	Notice of intent to submeter electricity.	To ensure adequate submetering equipment and consumer protections are in place.
PSC-44-19-00009-P exempt	Proposed revisions to Standby Service Rates and Buyback Service Rates.	To ensure just and reasonable rates, including compensation, for distributed energy resources.
STATE, DEPARTMENT OF			
DOS-27-19-00014-P 09/04/20	New York State Uniform Fire Prevention and Building Code (the Uniform Code)	To repeal the existing Uniform Code and adopt a new Uniform Code and to make conforming changes to 19 NYCRR Parts 1264 and 1265.
DOS-27-19-00015-P 09/04/20	The State Energy Conservation Construction Code (the "Energy Code").	To repeal the existing Energy Code and to adopt a new, updated Energy Code.

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
STATE, DEPARTMENT OF			
DOS-40-19-00001-P	10/01/20	Appraisal Standards	To adopt the 2020-2021 edition of the Uniform Standards of Professional Appraisal Practice
DOS-42-19-00001-P	10/15/20	Real estate advertisements	To update current regulations concerning real estate advertisements
STATE UNIVERSITY OF NEW YORK			
SUN-36-19-00013-P	09/03/20	College Fees	To increase the college fee charged at State-operated campuses, excluding the four University Centers.
TAXATION AND FINANCE, DEPARTMENT OF			
TAF-34-19-00013-P	exempt	To set the sales tax component and the composite rate per gallon for the period October 1, 2019 through September 31, 2019	To set the sales tax component and the composite rate per gallon for the period October 1, 2019 through September 31, 2019
TAF-40-19-00009-P	10/01/20	New York State and City of Yonkers withholding tables and other methods	To provide current New York State and City of Yonkers withholding tables and other methods
TEMPORARY AND DISABILITY ASSISTANCE, OFFICE OF			
TDA-14-19-00007-P	04/02/20	Abandonment of requests for fair hearings	To require the issuance of letters to appellants who fail to appear at scheduled fair hearings involving Medical Assistance, also known as Medicaid, advising them how to request the rescheduling of such fair hearings
TDA-19-19-00007-P	05/07/20	Adult-Care Facilities and Shelters for Adults	To update State regulations pertaining to general provisions, inspections and enforcement, and shelters for adults
TDA-19-19-00008-P	05/07/20	Shelters for Families	To update State regulations pertaining to shelters for families
TDA-19-19-00010-P	05/07/20	Elimination of finger imaging requirement for public assistance applicants and recipients	To update State regulations to align public assistance programs with other State benefit programs regarding identification verification requirements
URBAN DEVELOPMENT CORPORATION			
UDC-33-19-00006-EP	08/13/20	Life Sciences initiative Program	Create administrative procedures for all components of the Life Sciences Initiatives program
VICTIM SERVICES, OFFICE OF			
OVS-24-19-00001-EP	06/11/20	Conduct contributing related to burial awards	Adopt rules necessary as the result of chapter 494 of the Laws of 2018, when considering the victim's own conduct
OVS-36-19-00007-P	09/03/20	Limits on administrative expenses and executive compensation.	To codify the determination made in the Matter of Leading Age NY, Inc v. Shah (2018), striking a portion of the rule.

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
WORKERS' COMPENSATION BOARD			
WCB-27-19-00005-P 07/02/20	Medical Fee Schedules	Add new providers to the fee schedule
WCB-31-19-00018-P 07/30/20	Medical Treatment Guidelines	Add guidelines for treatment of hip and groin, foot and ankle, elbow and occupational interstitial lung disease
WCB-32-19-00001-P 08/06/20	Updating the prescription drug formulary	To add drugs to the prescription drug formulary in response to continuous feedback
WCB-37-19-00002-P 09/10/20	Applications for Reopenings	Clarify the process for reopening a case that has been previously closed

SECURITIES OFFERINGS

STATE NOTICES

Published pursuant to provisions of General Business Law
[Art. 23-A, § 359-e(2)]

DEALERS; BROKERS

Amarantus BioScience Holdings, Inc.
45 Wall St., Suite 920, New York, NY 10005
State or country in which incorporated — Nevada

EULAV Securities LLC
Seven Times Square, 21st Fl., New York, NY 10036-6524
State or country in which incorporated — Maryland

Evoke IL Highwood Investor, LLC
600 W. Diversey Pkwy., Suite 1506, Chicago, IL 60614-1564
State or country in which incorporated — Illinois

Karma Cannabis Co (2018) Ltd.
671 Pembina Hwy., Winnipeg, Manitoba R3M2L6 Canada
State or country in which incorporated — Canada

Montagu VI L.P.
Two More London Riverside, London UK SE1 2AP
Partnership — MPE (GP VI) LP

Montagu VI (US) L.P.
50 Lothian Rd., Festival Square, Edinburgh, EH3 9WJ
Partnership — MPE (General Partner VI) Limited

Stamps Hollow Investments, LLC
718 W. Business Hwy. 60, Dexter, MO 63841
State or country in which incorporated — Missouri

Summit Biomedical Imaging LLC
c/o Harlem Biospace, 423 W. 127th St., New York, NY 10027
State or country in which incorporated — Delaware

Sun Life Institutional Distributors (U.S.) LLC
600 California St., Suite 56, San Francisco, CA 94108
State or country in which incorporated — Delaware limited liability company

Toro Columbus II LLC
410 Jericho Tpke., Suite 220, Jericho, NY 11753
State or country in which incorporated — Delaware

Velocity Group Enterprises Inc.
290 Broadhollow Rd., Suite 220, East Melville, NY 11747
State or country in which incorporated — New York

X Co-Investment I, L.P.
c/o Angelo, Gordon & Co., L.P., 245 Park Ave., New York, NY 10167
Partnership — AGR X LLC

ADVERTISEMENTS FOR BIDDERS/CONTRACTORS

SEALED BIDS

PROVIDE
PARKING LOT
State Office Building Campus
Albany, Albany County

Sealed bids for Project No. 45566-C, for Construction Work, Provide Parking Lot, Building No. 4, State Office Building Campus, 1220 Washington Avenue, Albany (Albany County), NY, will be received by the Office of General Services (OGS), Design & Construction Group (D&C), Division of Contract Management, 35th Fl., Corning Tower, Empire State Plaza, Albany, NY 12242, on behalf of the Office of General Services, until 2:00 p.m. on Wednesday, October 30, 2019 when they will be publicly opened and read. Each bid must be prepared and submitted in accordance with the Instructions to Bidders and must be accompanied by a certified check, bank check, or bid bond in the amount of \$100,500 for C.

All successful bidders will be required to furnish a Performance Bond and a Labor and Material Bond in the statutory form of public bonds required by Sections 136 and 137 of the State Finance Law, each for 100% of the amount of the Contract estimated to be between \$4,000,000 and \$5,000,000 for C.

Pursuant to State Finance Law §§ 139-j and 139-k, this solicitation includes and imposes certain restrictions on communications between OGS D&C and a bidder during the procurement process. A bidder is restricted from making contacts from the earliest posting, on the OGS website, in a newspaper of general circulation, or in the Contract Reporter of written notice, advertisement or solicitation of offers through final award and approval of the contract by OGS D&C and the Office of the State Comptroller ("Restricted Period") to other than designated staff unless it is a contact that is included among certain statutory exceptions set forth in State Finance Law § 139-j(3)(a). Designated staff are Jessica Hoffman, Carl Ruppert and Pierre Alric in the Division of Contract Management, telephone (518) 474-0203, fax (518) 473-7862 and John Lewykyj, Deputy Director, Design & Construction Group, telephone (518) 474-0201, fax (518) 486-1650. OGS D&C employees are also required to obtain certain information when contacted during the restricted period and make a determination of the responsibility of the bidder pursuant to these two statutes. Certain findings of non-responsibility can result in rejection for contract award and in the event of two findings within a four-year period, the bidder is debarred from obtaining governmental Procurement Contracts. Bidders responding to this Advertisement must familiarize themselves with the State Finance Law requirements and will be expected to affirm that they understand and agree to comply on the bid form. Further information about these requirements can be found within the project manual or at: <http://www.ogs.ny.gov/aboutOGS/regulations/defaultAdvisoryCouncil.html>

The substantial completion date for this project is 190 days after the Agreement is approved by the Comptroller.

As a condition of award, within 48 hours of receipt of the proposed Contract Agreement from the State, the low bidder shall return the Contract Agreement to the State, properly executed, along with the Bonds if required by said Agreement. Low bidders who cannot meet these provisions may be subject to disqualification and forfeiture of the bid security.

The State intends to expedite award of this Contract and the Contractor shall be prepared to proceed with the Work accordingly. Bidders are warned that time is of the essence of the Contract and substantial completion of the Work must be within 190 days after the Agreement is approved by the Comptroller. Due to the tightness of the construction schedule, bidders should consider the necessity for an increased work force and shift operations.

The only time prospective bidders will be allowed to visit the job site to take field measurements and examine existing conditions of the project area will be at 9:00 a.m. on October 17, 2019 at the State Office Building Campus, 1220 Washington Avenue, Building 4 – Field Office Trailer, Albany NY. Prospective bidders are urged to visit the site at this time. Prospective bidders or their representatives attending the pre-bid site visit will not be admitted on facility grounds without proper photo identification. Note that parking restrictions and security provisions will apply and all vehicles will be subject to search.

Phone the office of Greg Haze, (518-225-0822) a minimum of 48 hours in advance of the date to provide the names of those who will attend the pre-bid site visit.

Pursuant to New York State Executive Law Article 15-A and the rules and regulations promulgated thereunder, OGS is required to promote opportunities for the maximum feasible participation of New York State-certified Minority- and Women-owned Business Enterprises ("MWBEs") and the employment of minority group members and women in the performance of OGS contracts. All bidders are expected to cooperate in implementing this policy. OGS hereby establishes an overall goal of 30% for MWBE participation, 15% for Minority-Owned Business Enterprises ("MBE") participation and 15% for Women-Owned Business Enterprises ("WBE") participation (based on the current availability of qualified MBEs and WBEs). The total contract goal can be obtained by utilizing any combination of MBE and /or WBE participation for subcontracting and supplies acquired under this Contract.

The Office of General Services reserves the right to reject any or all bids.

The Bidding and Contract Documents for this Project are available on compact disc (CD) only, and may be obtained for an \$8.00 deposit per set, plus a \$2.00 per set shipping and handling fee. Contractors and other interested parties can order CD's on-line through a secure web interface available 24 hours a day, 7 days a week. Please use the following link at the OGS website for ordering and payment instructions: <https://online.ogs.ny.gov/dnc/contractorConsultant/esb/ESBPlansAvailableIndex.asp>

For questions about purchase of bid documents, please send an e-mail to DCPlans@ogs.ny.gov, or call (518) 474-0203.

For additional information on this project, please use the link below and then click on the project number: <https://online.ogs.ny.gov/dnc/contractorConsultant/esb/ESBPlansAvailableIndex.asp>

By *John D. Lewykyj, Deputy Director*
OGS - Design & Construction Group

PROVIDE
ABOVEGROUND HOT WATER HEATING LINES
Ogdensburg Correctional Facility
Ogdensburg, St. Lawrence County

Sealed bids for Project Nos. 45674-H and 45674-E, comprising separate contracts for HVAC Work, and Electrical Work, Provide Aboveground Hot Water Heating Lines, Ogdensburg Correctional Facility, 1 Correction Way, Ogdensburg (St. Lawrence County), NY, will be received by the Office of General Services (OGS), Design & Construction Group (D&C), Division of Contract Management, 35th Fl., Corning Tower, Empire State Plaza, Albany, NY 12242, on behalf of the Department of Correctional Services, until 2:00 p.m. on Wednesday, October 30, 2019, when they will be publicly opened and read. Each bid must be prepared and submitted in accordance with the Instructions to Bidders and must be accompanied by a certified check, bank check, or bid bond in the amount of \$213,800 for H, and \$23,900 for E.

All successful bidders will be required to furnish a Performance Bond and a Labor and Material Bond in the statutory form of public bonds required by Sections 136 and 137 of the State Finance Law, each for 100% of the amount of the Contract estimated to be between \$8,000,000 and \$9,000,000 for H, and between \$250,000 and \$500,000 for E.

Pursuant to State Finance Law §§ 139-j and 139-k, this solicitation includes and imposes certain restrictions on communications between OGS D&C and a bidder during the procurement process. A bidder is restricted from making contacts from the earliest posting, on the OGS website, in a newspaper of general circulation, or in the Contract Reporter of written notice, advertisement or solicitation of offers through final award and approval of the contract by OGS D&C and the Office of the State Comptroller ("Restricted Period") to other than designated staff unless it is a contact that is included among certain statutory exceptions set forth in State Finance Law § 139-j(3)(a). Designated staff are Jessica Hoffman, Carl Ruppert and Pierre Alric in the Division of Contract Management, telephone (518) 474-0203, fax (518) 473-7862 and John Lewyckyj, Deputy Director, Design & Construction Group, telephone (518) 474-0201, fax (518) 486-1650. OGS D&C employees are also required to obtain certain information when contacted during the restricted period and make a determination of the responsibility of the bidder pursuant to these two statutes. Certain findings of non-responsibility can result in rejection for contract award and in the event of two findings within a four-year period, the bidder is debarred from obtaining governmental Procurement Contracts. Bidders responding to this Advertisement must familiarize themselves with the State Finance Law requirements and will be expected to affirm that they understand and agree to comply on the bid form. Further information about these requirements can be found within the project manual or at: <http://www.ogs.ny.gov/aboutOGS/regulations/defaultAdvisoryCouncil.html>

The substantial completion date for this project is 809 days after the Agreement is approved by the Comptroller.

The only time prospective bidders will be allowed to visit the job site to take field measurements and examine existing conditions of the project area will be at 9:00 a.m. on October 17, 2019, at the Ogdensburg Correctional Facility, 1 Correction Way, Ogdensburg, NY. Prospective bidders are urged to visit the site at this time. Prospective bidders or their representatives attending the pre-bid site visit will not be admitted on facility grounds without proper photo identification. Note that parking restrictions and security provisions will apply and all vehicles will be subject to search.

Phone the office of Doug Powers, (315-393-0281, x 3010) a minimum of 72 hours in advance of the date to provide the names of those who will attend the pre-bid site visit.

Pursuant to New York State Executive Law Article 15-A and the rules and regulations promulgated thereunder, OGS is required to promote opportunities for the maximum feasible participation of New York State-certified Minority- and Women-owned Business Enterprises ("MWBEs") and the employment of minority group members and women in the performance of OGS contracts. All bidders are

expected to cooperate in implementing this policy. OGS hereby establishes an overall goal of 30% for MWBE participation, 15% for Minority-Owned Business Enterprises ("MBE") participation and 15% for Women-Owned Business Enterprises ("WBE") participation (based on the current availability of qualified MBEs and WBEs) for HVAC Work and Electrical Work. The total contract goal can be obtained by utilizing any combination of MBE and/or WBE participation for subcontracting and supplies acquired under this Contract.

The Office of General Services reserves the right to reject any or all bids.

The Bidding and Contract Documents for this Project are available on compact disc (CD) only, and may be obtained for an \$8.00 deposit per set, plus a \$2.00 per set shipping and handling fee. Contractors and other interested parties can order CD's on-line through a secure web interface available 24 hours a day, 7 days a week. Please use the following link at the OGS website for ordering and payment instructions: <https://online.ogs.ny.gov/dnc/contractorConsultant/esb/ESBPlansAvailableIndex.asp>

For questions about purchase of bid documents, please send an e-mail to DCPlans@ogs.ny.gov, or call (518) 474-0203.

For additional information on this project, please use the link below and then click on the project number: <https://online.ogs.ny.gov/dnc/contractorConsultant/esb/ESBPlansAvailableIndex.asp>

By John D. Lewyckyj, Deputy Director
OGS - Design & Construction Group

MISCELLANEOUS NOTICES/HEARINGS

Notice of Abandoned Property Received by the State Comptroller

Pursuant to provisions of the Abandoned Property Law and related laws, the Office of the State Comptroller receives unclaimed monies and other property deemed abandoned. A list of the names and last known addresses of the entitled owners of this abandoned property is maintained by the office in accordance with Section 1401 of the Abandoned Property Law. Interested parties may inquire if they appear on the Abandoned Property Listing by contacting the Office of Unclaimed Funds, Monday through Friday from 8:00 a.m. to 4:30 p.m., at:

1-800-221-9311
or visit our web site at:
www.osc.state.ny.us

Claims for abandoned property must be filed with the New York State Comptroller's Office of Unclaimed Funds as provided in Section 1406 of the Abandoned Property Law. For further information contact: Office of the State Comptroller, Office of Unclaimed Funds, 110 State St., Albany, NY 12236.

PUBLIC NOTICE Department of Civil Service

PURSUANT to the Open Meetings Law, the New York State Civil Service Commission hereby gives public notice of the following:

Please take notice that the regular monthly meeting of the State Civil Service Commission for November 2019 will be conducted on November 20 and November 21 commencing at 10:00 a.m. This meeting will be conducted at NYS Media Services Center, Suite 146, South Concourse, Empire State Plaza, Albany, NY with live coverage available at <https://www.cs.ny.gov/commission/>

For further information, contact: Office of Commission Operations, Department of Civil Service, Empire State Plaza, Agency Bldg. One, Albany, NY 12239, (518) 473-6598

PUBLIC NOTICE Division of Criminal Justice Services DNA Subcommittee

Pursuant to Public Officers Law section 104, the Division of Criminal Justice Services gives notice of a meeting of the DNA Subcommittee to be held on:

Date: November 8, 2019
Time: 9:00 a.m. - 11:00 a.m.
Place: Empire State Development Corporation (ESDC)
633 3rd Ave.
37th Fl. Board Rm.
New York, NY

Identification and sign-in are required at this location. *For further information, or if you need a reasonable accommodation to attend this meeting:* Division of Criminal Justice Services, Office of Forensic Services, 80 S. Swan St., Albany, NY, (518) 485-5052

PUBLIC NOTICE Department of Health

Pursuant to 42 CFR Section 447.205, the Department of Health and the Office of Alcoholism and Substance Abuse Services hereby gives public notice of the following:

The Department of Health proposes to amend the Title XIX (Medicaid) State Plan for institutional services to comply with Title 14 NYCRR, Chapter XXI, Parts 818, 817, 816. The following changes are proposed:

Non-Institutional Services

The following is a clarification to the December 26, 2018 noticed provision regarding The New York State Office of Alcoholism and Substance Abuse Services (OASAS) change to the Medicaid reimbursement for freestanding chemical dependence residential rehabilitation services (Title 14 NYCRR, Chapter XXI, Part 818), chemical dependence residential rehabilitation services for youth (Part 817), and freestanding chemical dependence residential medically supervised withdrawal services (Part 816) to a new fee-based methodology effective January 1, 2019. This service was originally noticed as an Institutional service and will now be Non-Institutional.

There was no change to the fiscal impact with this change. The estimated all shares impact (cost) of this proposal is \$6.8 million per year. The estimated annual net aggregate increase in gross Medicaid expenditures attributable to this initiative contained in the budget for state fiscal year 2018/2019 is \$1.7 Million (all shares).

The public is invited to review and comment on this proposed State Plan Amendment, a copy of which will be available for public review on the Department's website at http://www.health.ny.gov/regulations/state_plans/status. Individuals without Internet access may view the State Plan Amendments at any local (county) social services district.

For the New York City district, copies will be available at the following places:

New York County
250 Church Street
New York, New York 10018

Queens County, Queens Center
3220 Northern Boulevard
Long Island City, New York 11101

Kings County, Fulton Center
114 Willoughby Street
Brooklyn, New York 11201

Bronx County, Tremont Center
1916 Monterey Avenue
Bronx, New York 10457

Richmond County, Richmond Center
95 Central Avenue, St. George
Staten Island, New York 10301

For further information and to review and comment, please contact:

Department of Health, Division of Finance and Rate Setting, 99 Washington Ave., One Commerce Plaza, Suite 1432, Albany, NY 12210, spa_inquiries@health.ny.gov

PUBLIC NOTICE

Office of Parks, Recreation and Historic Preservation

Pursuant to section 14.07 of the Parks, Recreation and Historic Preservation Law, the Office of Parks, Recreation and Historic Preservation hereby gives notice of the following:

In accordance with subdivision (c) of section 427.4 of title 9 NYCRR notice is hereby given that the New York State Board for Historic Preservation will be considering nomination proposals for listing of properties in the State and National Register of Historic Places at a meeting to be held on Wednesday, December 4th, 2019 at New York State Museum, Seventh Floor, 222 Madison Avenue, Empire State Plaza, Albany, NY 12230.

The following properties will be considered:

1. Buffalo Public School #78 (PS78), Buffalo, Erie County
2. Forsyth-Warren Farm, Lockport vic., Niagara County
3. Empire Worsted Mill, Jamestown, Chautauqua County
4. Lewis Avenue Congregational Church, Brooklyn, Kings County
5. Accord Historic District, Accord, Ulster County
6. Ezra Huntington House, Auburn, Cayuga County
7. Minerva and Daniel DeLand House, Fairport, Monroe County
8. Merrill-Soule None Such Mince meat Factory, Syracuse, Onondaga County
9. National Headquarters, March on Washington for Jobs and Freedom, New York, New York County
10. Broadway Historic District, Monticello, Sullivan County
11. North Guilford Cemetery, North Guilford, Chenango County
12. Sanford W. and Maude Smith House, Chatham, Columbia County

To be considered by the board, comments may be submitted to Daniel Mackay, Deputy Commissioner for Historic Preservation and Deputy State Historic Preservation Officer, Division for Historic Preservation, Peebles Island, P.O. Box 189, Waterford, New York 12188-0189, no later than Tuesday, December 3rd or may be submitted in person at the meeting by contacting Daniel Mackay at the same address no later than December 3rd.

For further information, contact: Daniel Mackay, Deputy Commissioner for Historic Preservation and Deputy State Historic Preservation Officer, Division for Historic Preservation, Peebles Island, P.O. Box 189, Waterford, NY 12188-0189, (518) 268-2171

PUBLIC NOTICE

Department of State

F-2019-0653

Date of Issuance – October 30, 2019

The New York State Department of State (DOS) is required by Federal regulations to provide timely public notice for the activities described below, which are subject to the consistency provisions of the Federal Coastal Zone Management Act of 1972, as amended.

The applicant has certified that the proposed activity complies with and will be conducted in a manner consistent with the approved New York State Coastal Management Program. The applicant's consistency certification and accompanying public information and data are available for inspection at the New York State Department of State offices located at One Commerce Plaza, 99 Washington Avenue, in Albany, New York. The applicant's consistency certification and supporting information are also available electronically at: <http://www.dos.ny.gov/opd/programs/pdfs/Consistency/F-2019-0653-GreenbergConsistencyCertification.pdf>

In F-2019-0653, Michael Greenberg and Associates, Bermuda Lagoon, 23 Covlee Drive, Westport, CT, The applicant has proposed to perform maintenance dredging of an area 4,599 s.f. to -4' Mean Low Water with a maximum 1' over dredge. Approximately 553 cubic

yards of mud, sand and silt will be dredged by clamshell bucket, placed in dump scows, and disposed of at the Western LIS disposal site (WLDS).

The WLDS is located in Long Island Sound and is an approximately 1.5 nautical mile (nmi) x 1.5 nmi area located at a center point of 40.99167N; -73.4825W. More information regarding this site can be found at: <https://www.nae.usace.army.mil/Missions/Disposal-Area-Monitoring-System-DAMOS/Disposal-Sites/> or at: <https://www.epa.gov/ocean-dumping/dredged-material-management-long-island-sound>

Any interested parties and/or agencies desiring to express their views concerning the above proposed activities may do so by filing their comments, in writing, no later than 4:30 p.m., 15 days from the date of publication of this notice, or, by Friday, November 14, 2019.

Comments should be addressed to Department of State, Consistency Review Unit, One Commerce Plaza, Suite 1010, 99 Washington Ave., Albany, NY 12231, (518) 474-6000, Fax (518) 473-2464. Comments can also be submitted electronically via e-mail at: CR@dos.ny.gov. Please include the file no. F-2019-0653 in your submission.

This notice is promulgated in accordance with Title 15, Code of Federal Regulations, Part 930.

PUBLIC NOTICE

Department of State

F-2019-0672

Date of Issuance – October 30, 2019

The New York State Department of State (DOS) is required by Federal regulations to provide timely public notice for the activities described below, which are subject to the consistency provisions of the Federal Coastal Zone Management Act of 1972, as amended.

The applicant has certified that the proposed activity complies with and will be conducted in a manner consistent with the approved New York State Coastal Management Program. The applicant's consistency certification and accompanying public information and data are available for inspection at the New York State Department of State offices located at One Commerce Plaza, 99 Washington Avenue, in Albany, New York. The applicant's consistency certification and supporting information are also available electronically at: <http://www.dos.ny.gov/opd/programs/pdfs/Consistency/F-2019-0672-ElectricBoatConsistencyCertification.pdf>

In F-2019-0672, General Dynamics-Electric Boat Corporation (Electric Boat), Thames River, 75 Eastern Point Road, Groton, CT; The applicant is proposing to perform significant infrastructure improvements at the existing facility. The description submitted states "As part of the Facilities Master Plan (FMP), Electric Boat intends to implement modifications to the existing in-water and terrestrial infrastructure in the South Yard area to accommodate the US Navy's plan to design and build a new class of ballistic missile submarines (SSBNs), the COLUMBIA class. In-water activities involve dredging an estimated 984,000 cubic yards (cy) of sediment from the South Yard to support a floating dry dock submersion basin, berthing of support and transport vessels, and staging of a floating dry dock that will accommodate the larger COLUMBIA class submarines."

On June 6, 2019 the US Army Corps of Engineers (USACE) and US Environmental Protection Agency (USEPA) issued a Suitability Determination finding that 890,000 cubic yards of dredged sediment from the South Yard was suitable for open-water disposal at the Eastern Long Island Sound Disposal Site (ELDS) under USACE application no. NAE-2017-02739. The remaining 94,000 cy of dredged material was determined to be unsuitable for open-water placement and will be placed at an upland location. The ELDS is located in Long Island Sound and is a 1 nautical mile (nmi) x 1.5 nmi irregularly shaped polygon located at a center point of 41.27184N; -72.10375W. More information regarding this site can be found at: <https://www.nae.usace.army.mil/Missions/Disposal-Area-Monitoring-System-DAMOS/Disposal-Sites/> or at: <https://www.epa.gov/ocean-dumping/dredged-material-management-long-island-sound>.

Any interested parties and/or agencies desiring to express their

views concerning the above proposed activities may do so by filing their comments, in writing, no later than 4:30 p.m., 30 days from the date of publication of this notice, or, by Friday, November 29, 2019.

Comments should be addressed to Department of State, Consistency Review Unit, One Commerce Plaza, Suite 1010, 99 Washington Ave., Albany, NY 12231, (518) 474-6000, Fax (518) 473-2464. Comments can also be submitted electronically via e-mail at: CR@dos.ny.gov. Please include the file no. F-2019-0672 in your submission.

This notice is promulgated in accordance with Title 15, Code of Federal Regulations, Part 930.

PUBLIC NOTICE

Department of State
F-2019-0801

Date of Issuance – October 30, 2019

The New York State Department of State (DOS) is required by Federal regulations to provide timely public notice for the activities described below, which are subject to the consistency provisions of the Federal Coastal Zone Management Act (CZMA) of 1972, as amended.

The applicant has certified that the proposed activities comply with and will be conducted in a manner consistent with the federally approved New York State Coastal Management Program (NYSCMP). The applicant's consistency certification and accompanying public information and data are available for inspection at the New York State Department of State offices located at One Commerce Plaza, 99 Washington Avenue, in Albany, New York.

In F-2019-0801, Richard Kleinknecht is proposing to construct a 6'x 75' floating dock. The float will consist of three, 20 foot sections and one 15 foot section. Eight seasonal mooring anchors will be installed with 18" chock blocks located on each corner of the individual floats in order to keep the floating structure from resting on the sea floor. The project is located at 28 Plover Lane, Lloyd Harbor, NY 11743 on Lloyd Harbor.

The applicant's consistency certification and supporting information are available for review at: <http://www.dos.ny.gov/opd/programs/pdfs/Consistency/F-2019-0801Kleinknecht.pdf>

Any interested parties and/or agencies desiring to express their views concerning any of the above proposed activities may do so by filing their comments, in writing, no later than 4:30 p.m., 30 days from the date of publication of this notice or November 29, 2019.

Comments should be addressed to Department of State, Office of Planning and Development and Community Infrastructure, Consistency Review Unit, One Commerce Plaza, Suite 1010, 99 Washington Ave., Albany, NY 12231, (518) 474-6000. Electronic submissions can be made by email at: CR@dos.ny.gov

This notice is promulgated in accordance with Title 15, Code of Federal Regulations, Part 930.

PUBLIC NOTICE

Department of State
F-2019-0928-DA

Date of Issuance – October 30, 2019

The New York State Department of State (DOS) is required by Federal regulations to provide timely public notice for the activities described below, which are subject to the consistency provisions of the Federal Coastal Zone Management Act of 1972, as amended.

The applicant has certified that the proposed activities comply with and will be conducted in a manner consistent to the maximum extent practicable with the federally approved New York State Coastal Management Program (NYSCMP). The applicant's consistency certification and accompanying public information and data are available for inspection at the New York State Department of State offices located at One Commerce Plaza, 99 Washington Avenue, in Albany, New York.

In F-2019-0928-DA, Eastern Federal Lands Highway Division of

the Federal Highway Administration (FHWA), in cooperation with Fire Island National Seashore of the National Park Service (NPS) and the New York Governor's Office of Storm Recovery (GOSR) proposes to rehabilitate a 0.57 mile segment of Burma Road between Lighthouse Checkpoint and Kimset on Fire Island, Suffolk County, New York. The project includes roadway reconditioning, raising profile, embankment construction, installation of aggregate base, roadway stabilization, sign improvement, and other miscellaneous work. Rehabilitating and repairing approximately 0.57 miles of unpaved road on Fire Island National Seashore. Repairs include strengthening the road with compacted aggregate and sand, cleaning ditches, and other miscellaneous work within the roadway. All work will take place within the existing roadway. The purpose of the proposed activity is to repair areas of the dirt road that were damaged by Hurricane Sandy in 2012.

The federal agency's consistency determination and accompanying public information and data are available for inspection at the New York State Department of State offices located at One Commerce Plaza, 99 Washington Avenue, in Albany, New York or at the following location: <https://www.dos.ny.gov/opd/programs/pdfs/Consistency/F-2019-0928-DA.pdf>

Any interested parties and/or agencies desiring to express their views concerning any of the above proposed activities may do so by filing their comments, in writing, no later than 4:30 p.m., 15 days from the date of publication of this notice or November 14, 2019.

Comments should be addressed to Department of State, Office of Planning and Development and Community Infrastructure, Consistency Review Unit, One Commerce Plaza, Suite 1010, 99 Washington Ave., Albany, NY 12231, (518) 474-6000, Fax (518) 473-2464. Comments can also be submitted electronically via e-mail to: CR@dos.ny.gov

This notice is promulgated in accordance with Title 15, Code of Federal Regulations, Part 930.

PUBLIC NOTICE

Department of State

Notice of Review of Request for
Brownfield Opportunity Area
Conformance Determination
Project: 399 Ohio Street Site

Location: Buffalo River Corridor Brownfield Opportunity
Area

City of Buffalo, Erie County

In accordance with General Municipal Law, Article 18 - C, Section 970-r, the Secretary of State designated the Buffalo River Corridor Brownfield Opportunity Area, in the City of Buffalo, on November 27, 2017. The designation of the Buffalo River Corridor Brownfield Opportunity Area was supported by a Nomination or a comprehensive planning tool that identifies strategies to revitalize the area which is affected by one or more known or suspected brownfield sites.

Pursuant to New York State Tax Law, Article 1, Section 21, the eligible taxpayer(s) of a project site located in a designated Brownfield Opportunity Area may apply for an increase in the allowable tangible property tax credit component of the brownfield redevelopment tax credit if the Secretary of State determines that the project conforms to the goals and priorities established in the Nomination for a designated Brownfield Opportunity Area.

On October 2nd, 2019, 4216 Group, LLC submitted a request for the Secretary of State to determine whether the 300 Ohio Street Site, which will be located within the designated Buffalo River Corridor Brownfield Opportunity Area, conforms to the goals and priorities identified in the Nomination that was prepared for the designated Buffalo River Corridor Brownfield Opportunity Area.

The public is permitted and encouraged to review and provide comments on the request for conformance. For this purpose, the full application for a conformance determination is available online at: https://www.dos.ny.gov/opd/programs/pdfs/BOA/301_Ohio_BOA_Conformance_Application_10-2-19.pdf

Comments must be submitted no later than November 29th, 2019,

either by mail to: Christopher Bauer, Department of State, Office of Planning and Development, 65 Court St., Suite 208, Buffalo, NY 14202, or by email to: chris.bauer@dos.ny.gov

PUBLIC NOTICE

Department of State
Notice of Review of Request for
Brownfield Opportunity Area
Conformance Determination

Project: 1050-1088 Niagara Street

Location: Tonawanda Street Corridor Brownfield Opportunity Area

City of Buffalo, Erie County

In accordance with General Municipal Law, Article 18 - C, Section 970-r, the Secretary of State designated the Tonawanda Street Corridor Brownfield Opportunity Area, in the City of Buffalo, on November 27, 2017. The designation of the Tonawanda Street Corridor Brownfield Opportunity Area was supported by a Nomination or a comprehensive planning tool that identifies strategies to revitalize the area which is affected by one or more known or suspected brownfield sites.

Pursuant to New York State Tax Law, Article 1, Section 21, the eligible taxpayer(s) of a project site located in a designated Brownfield Opportunity Area may apply for an increase in the allowable tangible property tax credit component of the brownfield redevelopment tax credit if the Secretary of State determines that the project conforms to the goals and priorities established in the Nomination for a designated Brownfield Opportunity Area.

On October 2nd, 2019, 9271 Group, LLC submitted a request for the Secretary of State to determine whether the 1050-1088 Niagara Street Site which will be located within the designated Tonawanda Street Corridor Brownfield Opportunity Area, conforms to the goals and priorities identified in the Nomination that was prepared for the designated Tonawanda Street Corridor Brownfield Opportunity Area.

The public is permitted and encouraged to review and provide comments on the request for conformance. For this purpose, the full application for a conformance determination is available online at: <https://www.dos.ny.gov/opd/programs/pdfs/BOA/1050>

Comments must be submitted no later than December 6th, 2019, either by mail to: Christopher Bauer, Department of State, Office of Planning and Development, 65 Court St., Suite 208, Buffalo, NY 14202, or by email to: chris.bauer@dos.ny.gov

PUBLIC NOTICE

Department of State
Notice of Review of Request for
Brownfield Opportunity Area
Conformance Determination

Project: Steel Sun 2, Unit 9

Location: City of Lackawanna First Ward Brownfield Opportunity Area

City of Lackawanna, Erie County

In accordance with General Municipal Law, Article 18 - C, Section 970-r, the Secretary of State designated the City of Lackawanna First Ward Brownfield Opportunity Area, in the City of Lackawanna, on November 27, 2017. The designation of the City of Lackawanna First Ward Brownfield Opportunity Area was supported by a Nomination or a comprehensive planning tool that identifies strategies to revitalize the area which is affected by one or more known or suspected brownfield sites.

Pursuant to New York State Tax Law, Article 1, Section 21, the eligible taxpayer(s) of a project site located in a designated Brownfield Opportunity Area may apply for an increase in the allowable tangible property tax credit component of the brownfield redevelopment tax credit if the Secretary of State determines that the project conforms to the goals and priorities established in the Nomination for a designated Brownfield Opportunity Area.

On October 9th, 2019, Steel Sun 2, LLC submitted a request for the Secretary of State to determine whether the 399 Ohio Street Site, which will be located within the designated City of Lackawanna First Ward Brownfield Opportunity Area, conforms to the goals and priorities identified in the Nomination that was prepared for the designated City of Lackawanna First Ward Brownfield Opportunity Area.

The public is permitted and encouraged to review and provide comments on the request for conformance. For this purpose, the full application for a conformance determination is available online at: https://www.dos.ny.gov/opd/programs/pdfs/BOA/Steel_Sun_2_Conformance_Application.pdf

Comments must be submitted no later than December 6th, 2019, either by mail to: Christopher Bauer, Department of State, Office of Planning and Development, 65 Court St., Suite 208, Buffalo, NY 14202, or by email to: chris.bauer@dos.ny.gov

PUBLIC NOTICE

Department of State
Uniform Code Variance / Appeal Petitions

Pursuant to 19 NYCRR Part 1205, the variance and appeal petitions below have been received by the Department of State. Unless otherwise indicated, they involve requests for relief from provisions of the New York State Uniform Fire Prevention and Building Code. Persons wishing to review any petitions, provide comments, or receive actual notices of any subsequent proceeding may contact Brian Tollisen or Neil Collier, Building Standards and Codes, Department of State, One Commerce Plaza, 99 Washington Ave., Albany, NY 12231, (518) 474-4073 to make appropriate arrangements.

2019-0623 In the matter of TC Syracuse Development Associates, LLC., George Laigaie, 300 Conshohocken State Road, West Conshohocken, PA 19428 concerning building code and fire safety requirements including a variance for travel distance and fire resistance ratings.

Involved is the construction of a new storage occupancy building, five stories in height, located at 7211 and 7219 Morgan Road, Town of Clay, County of Onondaga, New York.

PUBLIC NOTICE

Department of State
Proclamation

Revoking Limited Liability Partnerships

WHEREAS, Article 8-B of the Partnership Law, requires registered limited liability partnerships and New York registered foreign limited liability partnerships to furnish the Department of State with a statement every five years updating specified information, and

WHEREAS, the following registered limited liability partnerships and New York registered foreign limited liability partnerships have not furnished the department with the required statement, and

WHEREAS, such registered limited liability partnerships and New York registered foreign limited liability partnerships have been provided with 60 days notice of this action;

NOW, THEREFORE, I, Rossana Rosado, Secretary of State of the State of New York, do declare and proclaim that the registrations of the following registered limited liability partnerships are hereby revoked and the status of the following New York foreign limited liability partnerships are hereby revoked pursuant to the provisions of Article 8-B of the Partnership Law, as amended:

DOMESTIC REGISTERED LIMITED LIABILITY PARTNERSHIPS

A

ADAIR LAW FIRM, LLP (04)

ANDERSON & OCHS, LLP (04)

AXELROD LLP (14)

B

BERANBAUM MENKEN BEN-ASHER & BIERMAN LLP (04)

BOWEN, KENDALL & ASSOCIATES, L.L.P. (04)

BRIAN MARGOLIS, M.D. AND ANTHONY SPADARO, M.D. LLP (09)
 BROWN & GEORGE LAW GROUP, LLP (14)
 BROWN, GAVALAS & FROMM, LLP (99)
 C
 CAMACHO MAURO MULHOLLAND LLP (04)
 CARILLO & KOBER LLP (09)
 CLAIR, GREIFER LLP (04)
 COHEN & LYNN CERTIFIED PUBLIC ACCOUNTANTS, LLP (09)
 COLLAR CITY PODIATRY, LLP (99)
 COWEN LAW FIRM, LLP (14)
 D
 DEBROSSE & STUDLEY, LLP (09)
 DITO & INTERNICOLA LLP (04)
 DONOHUE TALBERT LLP (99)
 E
 ENGEL & GUTSIN, LLP (04)
 F
 FINLEY, FLETCHER & PILCH, LLP (99)
 G
 GROSSMAN & FLANAGAN, LLP (09)
 H
 HAFETZ & NECHELES LLP (14)
 HOLZMAN MOSS BOTTINO ARCHITECTURE LLP (04)
 J
 JUVELIER & O'SULLIVAN, LLP (09)
 L
 LETTERA & MOSIELLO LLP (14)
 LOMBARDI DENTAL ASSOCIATES, LLP (04)
 M
 MANDEL BHANDARI LLP (09)
 MCMAHON & KELLY LLP (99)
 MELNICK, ABDULLAH & ASSOCIATES, LLP (09)
 MIELO & STASKO, LLP (04)
 MITTMAN & AHLERS, L.L.P. (09)
 MORELLI & LASSALLE, LLP (14)
 N
 NAPOLI BERN, LLP (04)
 O
 ORTHOPAEDIC ASSOCIATES OF SARATOGA, LLP (99)
 P
 PM ARCHITECTS LLP (99)
 Q
 QASHU & SCHOENTHALER LLP (09)
 R
 REDTOP ARCHITECTS LLP (04)
 RITZ CLARK & BEN-ASHER LLP (04)
 RK ADLER LLP (09)
 S
 SAYID AND ASSOCIATES, LLP (99)
 SHER LLP (09)
 SMITHTOWN DENTAL ASSOCIATES, LLP (99)
 STANLEY LAW OFFICES, LLP (04)
 STATHOPOULOS & GLOUMIS, LLP (09)
 STIM PRO, LLP (14)
 SUSAN M. KARTEN & ASSOCIATES, LLP (09)
 T
 THE LONG FIRM, LLP (09)
 THE NATHANSON LAW FIRM LLP (04)

THE WALISEVER FIRM LLP (09)
 THE WARFIELD GROUP LLP (04)
 V
 VECERE & TRAVAGLIA L.L.P. (14)
 VENDIOLA & PEREZ LLP (04)
 VILLAGE PRIMARY CARE, LLP (99)
 VILLAMAR & EISENFELD LLP (14)
 W
 WALTER & DOLAN, CERTIFIED PUBLIC ACCOUNTANTS, L.L.P. (99)
 WEITZMAN AND CHESNER, M.D.S, LLP (99)
 WELLBORN & PALUCH LLP (14)
 WORBY, GRONER, EDELMAN & NAPOLI, BERN, LLP (04)
 6
 6429 TRANSIT VETERINARY MEDICINE LLP (04)

 FOREIGN REGISTERED LIMITED
 LIABILITY PARTNERSHIPS
 J
 JOHNSON FISTEL, LLP (14) (CA)
 K
 KLAUBER & KLAUBER, LLP (04) (NJ)
 M
 MORICE, LAYTON & FAGAN, LLP (14) (MD)
 S
 SOCIAL SECURITY DISABILITY ASSISTANCE LAW FIRM, LLP (09) (DE)
 W
 WILSON AGOSTO LLP (14) (NJ)
 Z
 ZELLE MCDONOUGH & COHEN LLP. (09) (MA)

[SEAL]

WITNESS my hand and the official seal of the Department of State at its office in the City of Albany this 30th day of October in the year two thousand nineteen.

ROSSANA ROSADO
Secretary of State

PUBLIC NOTICE

Susquehanna River Basin Commission

Grandfathering (GF) Registration Notice

SUMMARY: This notice lists Grandfathering Registration for projects by the Susquehanna River Basin Commission during the period set forth in DATES.

DATES: September 1-30, 2019.

ADDRESSES: Susquehanna River Basin Commission, 4423 North Front Street, Harrisburg, PA 17110-1788.

FOR FURTHER INFORMATION CONTACT: Jason E. Oyler, General Counsel and Secretary to the Commission, telephone: (717) 238-0423, ext. 1312; fax: (717) 238-2436; e-mail: joyler@srbc.net. Regular mail inquiries May be sent to the above address.

SUPPLEMENTARY INFORMATION: This notice lists GF Registration for projects, described below, pursuant to 18 CFR 806, Subpart E for the time period specified above:

Grandfathering Registration Under 18 CFR part 806, Subpart E

1. Twin Hickory Golf Club, Inc., GF Certificate No. GF-201909045, Town of Hornellsville, Steuben County, N.Y.; Wells A and B; Issue Date: September 18, 2019.

2. Town of Owego – Water District #3, GF Certificate No. GF-201909046, Town of Owego, Tioga County, N.Y.; Wells 1, 2, and 3; Issue Date: September 18, 2019.

3. Pennsylvania American Water Company – Montrose District, GF Certificate No. GF-201909047, Bridgewater Township, Susquehanna County, Pa.; Lake Montrose; Issue Date: September 18, 2019.

4. Rohrer's Quarry Inc., GF Certificate No. GF-201909048, Warwick and Penn Townships, Lancaster County, Pa.; Well 3; Issue Date: September 18, 2019.

Authority: Pub. L. 91-575, 84 Stat. 1509 et seq., 18 CFR parts 806 and 808.

Dated: October 9, 2019.

Jason E. Oyler,

General Counsel and Secretary to the Commission.

PUBLIC NOTICE

Susquehanna River Basin Commission

Projects Approved for Consumptive Uses of Water

SUMMARY: This notice lists the projects approved by rule by the Susquehanna River Basin Commission during the period set forth in "DATES."

DATES: September 1-30, 2019.

ADDRESSES: Susquehanna River Basin Commission, 4423 North Front Street, Harrisburg, PA 17110-1788.

FOR FURTHER INFORMATION CONTACT: Jason E. Oyler, General Counsel and Secretary to the Commission, telephone: (717) 238-0423, ext. 1312; fax: (717) 238-2436; e-mail: joyler@srbc.net. Regular mail inquiries May be sent to the above address.

SUPPLEMENTARY INFORMATION: This notice lists the projects, described below, receiving approval for the consumptive use of water pursuant to the Commission's approval by rule process set forth in 18 CFR § 806.22 (f) for the time period specified above:

Approvals By Rule – Issued Under 18 CFR 806.22(f):

1. Chesapeake Appalachia, L.L.C.; Pad ID: Trowbridge; ABR-201909001; Windham Township, Wyoming County, Pa.; Consumptive Use of Up to 7.5000 mgd; Approval Date: September 3, 2019.

2. Seneca Resources Company, LLC.; Pad ID: D09-K; ABR-201909002; Shippen Township, Cameron County; Jones Township, Elk County; and Sergeant Township, McKean County, Pa.; Consumptive Use of Up to 4.0000 mgd; Approval Date: September 3, 2019.

3. Chesapeake Appalachia, L.L.C.; Pad ID: Bonnie; ABR-20090904.R2; Albany Township, Bradford County, Pa.; Consumptive Use of Up to 7.5000 mgd; Approval Date: September 4, 2019.

4. Chesapeake Appalachia, L.L.C.; Pad ID: Hunsinger; ABR-20090905.R2; Rush Township, Susquehanna County, Pa.; Consumptive Use of Up to 7.5000 mgd; Approval Date: September 4, 2019.

5. Chesapeake Appalachia, L.L.C.; Pad ID: Martin; ABR-20090906.R2; Granville Township, Bradford County, Pa.; Consumptive Use of Up to 7.5000 mgd; Approval Date: September 4, 2019.

6. Chesapeake Appalachia, L.L.C.; Pad ID: Farr; ABR-20090907.R2; Towanda Township, Bradford County, Pa.; Consumptive Use of Up to 7.5000 mgd; Approval Date: September 4, 2019.

7. Range Resources – Appalachia, LLC; Pad ID: Cornwall B Unit 1H – 4H; ABR-201405003.R1; Lewis Township, Lycoming County, Pa.; Consumptive Use of Up to 2.0000 mgd; Approval Date: September 9, 2019.

8. Chesapeake Appalachia, L.L.C.; Pad ID: Sharer; ABR-20090913.R2; Stevens Township, Bradford County, Pa.; Consumptive Use of Up to 7.5000 mgd; Approval Date: September 9, 2019.

9. Range Resources – Appalachia, LLC; Pad ID: Ogontz 3H, 7H & 9H; ABR-20090606.R2; Cummings Township, Lycoming County, Pa.; Consumptive Use of Up to 2.0000 mgd; Approval Date: September 10, 2019.

10. Pennsylvania General Energy Company, L.L.C.; Pad ID: COP Tract 596 Pad A; ABR-201909004; Liberty Township, Tioga County, Pa.; Consumptive Use of Up to 4.9000 mgd; Approval Date: September 11, 2019.

11. Range Resources – Appalachia, LLC; Pad ID: McWilliams 1H-2H; ABR-20090607.R2; Cogan House Township, Lycoming County,

Pa.; Consumptive Use of Up to 2.0000 mgd; Approval Date: September 12, 2019.

12. Range Resources – Appalachia, LLC; Pad ID: Gulf USA 67H-68H; ABR-20140606.R1; Snow Shoe Township, Centre County, Pa.; Consumptive Use of Up to 2.0000 mgd; Approval Date: September 13, 2019.

13. Repsol Oil & Gas USA, LLC.; Pad ID: OLD MINE ROAD (02 188); ABR-201909003; Hamilton Township, Tioga County, Pa.; Consumptive Use of Up to 6.0000 mgd; Approval Date: September 16, 2019.

14. ARD Operating, LLC; Pad ID: Bonnel Run H&F Pad D; ABR-201404003.R1; Pine Township, Lycoming County, Pa.; Consumptive Use of Up to 4.0000 mgd; Approval Date: September 20, 2019.

15. ARD Operating, LLC; Pad ID: COP Tr 027C Pad A; ABR-201407005.R1; McHenry Township, Lycoming County, Pa.; Consumptive Use of Up to 4.0000 mgd; Approval Date: September 20, 2019.

16. ARD Operating, LLC; Pad ID: COP Tr 027C Pad B; ABR-201407006.R1; McHenry Township, Lycoming County, Pa.; Consumptive Use of Up to 4.0000 mgd; Approval Date: September 20, 2019.

17. RD Operating, LLC; Pad ID: Marilyn Ely Pad A; ABR-201404009.R1; Gamble Township, Lycoming County, Pa.; Consumptive Use of Up to 4.0000 mgd; Approval Date: September 20, 2019.

18. ARD Operating, LLC; Pad ID: COP 259 Pad B; ABR-20090440.R2; Burnside Township, Centre County, Pa.; Consumptive Use of Up to 5.0000 mgd; Approval Date: September 20, 2019.

19. ARD Operating, LLC; Pad ID: COP 252 Pad B; ABR-20090445.R2; Grugan Township, Clinton County, Pa.; Consumptive Use of Up to 5.0000 mgd; Approval Date: September 20, 2019.

20. ARD Operating, LLC.; Pad ID: COP 259 Pad A; ABR-20090513.R2; Burnside Township, Centre County, Pa.; Consumptive Use of Up to 5.0000 mgd; Approval Date: September 20, 2019.

21. ARD Operating, LLC; Pad ID: COP Tr 551 A; ABR-201404008.R1; McIntyre Township, Lycoming County, Pa.; Consumptive Use of Up to 4.0000 mgd; Approval Date: September 25, 2019.

22. Chesapeake Appalachia, L.L.C.; Pad ID: Welles 2; ABR-20090940.R2; Terry Township, Bradford County, Pa.; Consumptive Use of Up to 7.5000 mgd; Approval Date: September 25, 2019.

23. SWN Production Company, LLC; Pad ID: NR-03-COLWELL WEST-PAD; ABR-201406002.R1; New Milford Township, Susquehanna County, Pa.; Consumptive Use of Up to 4.9999 mgd; Approval Date: September 27, 2019.

24. SWN Production Company, LLC; Pad ID: NR-17-COLEMAN-PAD; ABR-201406003.R1; Great Bend Township, Susquehanna County, Pa.; Consumptive Use of Up to 4.9999 mgd; Approval Date: September 27, 2019.

25. SWN Production Company, LLC; Pad ID: Ivey Pad Site; ABR-20090608.R2; Forest Lake Township, Susquehanna County, Pa.; Consumptive Use of Up to 3.0000 mgd; Approval Date: September 27, 2019.

Dated: October 9, 2019.

Jason E. Oyler,

General Counsel and Secretary to the Commission.

FINANCIAL REPORTS



**Department of
Taxation and Finance**

Depositories for the Funds of the State of New York

Month End: September 30, 2019

Prepared by the Division of the Treasury
Investments, Cash Management and Accounting Operations

Michael R Schmidt
Commissioner

**ACCOUNTS HELD IN JOINT CUSTODY BY THE COMMISSIONER OF
TAXATION FINANCE AND THE NEW YORK STATE COMPTROLLER**

Unemployment Insurance Funding Account	Key Bank	2,475,434.64
Occupational Training Act Funding Account	Key Bank	(7,539.99)
Unemployment Insurance Exchange Account	Key Bank	0.00
PIT Special Refund Account	JPMorgan Chase Bank, N.A.	(402,881,256.69)
General Checking	Key Bank	(858,455,805.95)
Direct Deposit Account	Wells Fargo	99,112.10
TOTAL		(422,175,224.89)
01000 - EXECUTIVE CHAMBER		
Executive Chamber Advance Account	Key Bank	No report received
01010 - DIVISION OF BUDGET		
Advance Account	Bank of America, N.A.	5,000.00
01030 - DIVISION OF ALCOHOLIC BEVERAGE CONTROL		
Albany		
SLA Investigations Account	Key Bank	1,399.04
01050 - OFFICE OF GENERAL SERVICES		
Exec Mansion Official Function	Key Bank	8,908.07
NY ISO Account	Key Bank	2,630,250.06
OGS Binghamton Office Bldg	Key Bank	1,000.00
SNY Office of General Services	JPMorgan Chase Bank, N.A.	12,514.80
State of New York OGS Escrow II	Key Bank	2,817,894.73
State of New York OGS Petty Cash	Key Bank	301,111.51
State of New York OGS Escrow	Key Bank	263,400.99
State of NY Office Of General Services	Key Bank	2,402.40
01060 - DIVISION OF STATE POLICE		
CNET Confidential Account	Key Bank	0.00
Div Headquarters - Petty Cash	Key Bank	672.00
Key Advantage Account	Key Bank	82,913.08
Manhattan Office-confidential	JPMorgan Chase Bank, N.A.	500.00
NYS Police Special Account	Key Bank	699,460.19
NYS CTIU Confidential Fund	Key Bank	4,000.00
SIU Confidential Fund Account	Key Bank	8,616.24
Special Fund	Key Bank	48,287.23
State Police Receipts Account	Bank of America, N.A.	586,925.67
Troop A Batavia - Petty Cash	Bank of America, N.A.	1,000.00
Troop A Batavia-Confidential	Bank of America, N.A.	510.83
Troop B Confidential	Key Bank	6,500.00
Troop B Petty Cash	Community Bank	1,000.00
Troop C Confidential Fund	NBT Bank	4,300.00
Troop C Petty Cash	NBT Bank	958.00
Troop D Oneida - Confidential	Alliance Bank	1,187.03
Troop D Oneida Petty Cash	Alliance Bank	1,000.00
Troop E Canandaigua Confidential	Canandaigua National Bank	2,000.00
Troop E Petty Cash	Canandaigua National Bank	1,000.00
Troop F Confidential	JPMorgan Chase Bank, N.A.	2,500.00
Troop F Petty Cash	JPMorgan Chase Bank, N.A.	1,000.00
Troop G Loudonville Conf	Bank of America, N.A.	3,046.49
Troop G Petty Cash	Bank of America, N.A.	1,000.00
Troop K Petty Cash	Bank of Millbrook	380.08
Troop K Poughkeepsie-Confidential	Bank of Millbrook	7,161.16
Troop L Confidential Fund	Bank of America, N.A.	7,500.00
01070 - DIVISION OF MILITARY & NAVAL AFFAIRS		
Advance For Travel	Key Bank	No report received
SNY Camp Smith Billeting Fund	JPMorgan Chase Bank, N.A.	No report received
01077 - OFFICE OF HOMELAND SECURITY		
Academy Of Fire Science	Chemung Canal Trust	179,184.80
01080 - DIVISION OF HOUSING & COMMUNITY RENEWAL		
Albany Office Of Financial Administration		
Maximum Base Rent Fee Account	JPMorgan Chase Bank, N.A.	33,984.53
Revenue Account	JPMorgan Chase Bank, N.A.	688,558.54
01090 - DIVISION OF HUMAN RIGHTS		
Petty Cash Fund Account	JPMorgan Chase Bank, N.A.	No report received
01150 - OFFICE OF EMPLOYEE RELATIONS		
GOER Panel Administration Escrow Account	Key Bank	3,830.07
NYS Flex Spending	Key Bank	52,493.37
State of New York LMC Petty Cash Account	Key Bank	5,722.40
01160 - JUSTICE CENTER FOR THE PROTECTION OF PEOPLE WITH SPECIAL NEEDS		
Agency Advance Account	Key Bank	1,992.85
01300 - ADIRONDACK PARK AGENCY		

General Fund	Community Bank	2.00
Petty Cash	Community Bank	3,771.49
01400 - CRIME VICTIMS COMPENSATION BOARD		
Crime Victims	JPMorgan Chase Bank, N.A.	77,122.36
Emergency Award Account	M&T Bank	0.00
Emergency Claims	Key Bank	6,592.74
Petty Cash Account	Key Bank	686.05
REST/SUBROG Escrow Account	Key Bank	389.66
01490 - DIVISION OF CRIMINAL JUSTICE SERVICES		
Advance Account	Bank of America, N.A.	2,680.00
FBI Fee Account	Bank of America, N.A.	4,668.71
Fingerprint Fee Account	Bank of America, N.A.	18,763.00
01530 - STATE COMMISSION OF CORRECTION		
Advance Account	Bank of America, N.A.	2,000.00
01540 - STATE BOARD OF ELECTIONS		
Revenue Account	Key Bank	No report received
01620 - OFFICE FOR PREVENTION OF DOMESTIC VIOLENCE		
NYS Prevention Domestic Violence	Bank of America, N.A.	No report received
02000 - OFFICE OF THE STATE COMPTROLLER		
Admissions	Bank of America, N.A.	184,695.75
Advance for Travel Account	Key Bank	No report received
Alcohol Beverage	Bank of America, N.A.	263,283.18
Alcoholic Bev Control License	Wells Fargo Bank	315,484.12
Alcoholic Beverage Control License	M&T Bank	423,155.48
Assessments Bulk	JPMorgan Chase Bank, N.A.	143,206.67
Assessments Receivable	JPMorgan Chase Bank, N.A.	5,713,273.63
Assessments Receivable (EFT)	Wells Fargo Bank	164,522.56
Boxing And Wrestling Tax	Bank of America, N.A.	8,114.49
Check 21 Corporation Tax	JPMorgan Chase Bank, N.A.	259,257.02
Check 21 Estate Tax	JPMorgan Chase Bank, N.A.	0.00
Check 21 Highway Use	JPMorgan Chase Bank, N.A.	199,040.75
Check 21 PIT	JPMorgan Chase Bank, N.A.	637,767.36
Check 21 Real Estate Transfer	JPMorgan Chase Bank, N.A.	255,780.81
Check Sales Tax	JPMorgan Chase Bank, N.A.	3,620,847.94
Cigarette Stamp Sales Tax	JPMorgan Chase Bank, N.A.	542,340.00
Cigarette Stamp Tax	JPMorgan Chase Bank, N.A.	15,300.00
Cigarette Stamp Tax (EFT)	Wells Fargo Bank	3,034,108.43
Cigarette Stamp Tax Split	JPMorgan Chase Bank, N.A.	207,357.30
Cigarette Tax Tobacco Products	Bank of America, N.A.	386,081.24
Congestion Surcharge	Wells Fargo Bank	103,870.59
Corporation Tax	Wells Fargo Bank	998.36
Corporation Tax - Coupon Acct.	JPMorgan Chase Bank, N.A.	251,664.39
Educational Charitable Account	Wells Fargo Bank	0.00
Employer Compensation Expense	Wells Fargo Bank	9,690.18
Encon Beverage Container Deposit/Bottle Bill (EFT)	Wells Fargo Bank	200,445.90
ERS Petty Cash Acct	Key Bank	No report received
Estate Tax	Bank of America, N.A.	1,460,755.50
Estimated Tax	JPMorgan Chase Bank, N.A.	18,040,154.99
Gift Tax	Bank of America, N.A.	26,125.00
Hazardous Waste	Key Bank	15,095.97
Health Charitable Account	Wells Fargo Bank	0.00
Highway Use - Permits & Reg.	Bank of America, N.A.	39,906.76
Highway Use Truck Mileage Tax (EFT)	Wells Fargo Bank	609,770.54
Hudson River-Black River	Bank of America, N.A.	0.00
Hudson River-Black River	Community Bank	0.00
Hut/Oscar Registrations & Renewals (EFT)	Wells Fargo Bank	63,009.00
IFTA Fuel Use Tax (EFT)	Wells Fargo Bank	109,322.32
IFTA/Oscar Renewals (EFT)	Wells Fargo Bank	0.00
IFTA-Decal/Permit Fee Acct.	Bank of America, N.A.	53,016.50
IFTA-Fuel Use	Bank of America, N.A.	21,948.90
Income Tax - LLC	JPMorgan Chase Bank, N.A.	62,403.43
Justice Court	Key Bank	288,659.28
MCTD Medallion Taxicab Trip	JPMorgan Chase Bank, N.A.	1,770.50
Medallion Taxicab Trip Tax (EFT)	Wells Fargo Bank	174,408.69
Medical Marijuana Tax Collections	Bank of America, N.A.	1,454.02
Metro Commuter Trans. Mobility	JPMorgan Chase Bank, N.A.	59,964.10
Ogdensburg Bridge & Port	Community Bank	658,565.12
Personal Income Tax	Wells Fargo Bank	31,050.05
Petroleum Business Tax (EFT)	Wells Fargo Bank	2,538,118.77
Petroleum Products Tax	Bank of America, N.A.	3,106,661.94
Petty Cash Account	Key Bank	No report received
PIT Bulk	JPMorgan Chase Bank, N.A.	2,000,458.00
Port Of Oswego	Key Bank	(28,664.19)
Promptax - MCTMT	Wells Fargo Bank	1,742,022.19

Promptax - Petroleum Business Tax	Wells Fargo Bank	694.50
Promptax - Sales Tax/Sales Tax Prepaid Fuel	Wells Fargo Bank	(165,197.70)
Promptax - Withholding	Wells Fargo Bank	7,799,236.49
Promptax - Withholding Checks	JPMorgan Chase Bank, N.A.	36,748.26
Real Estate Transfer Tax	Key Bank	181,666.29
Revenue Holding	First Niagara Bank	3,100,000.00
Sales Tax	JPMorgan Chase Bank, N.A.	0.00
Sales Tax	Wells Fargo Bank	1,632,695.21
SUNY Concentration	First Niagara Bank	488,000.00
TNC Assessment (EFT)	Wells Fargo Bank	1,505.30
Troy Debt Service Reserve Fund	Bank of America, N.A.	3,559,608.40
Uncashed Winning Tickets	Bank of America, N.A.	25,331.90
Unclaimed Funds	JPMorgan Chase Bank, N.A.	392,905.90
Unclaimed Funds - 2	JPMorgan Chase Bank, N.A.	128,073.59
Withholding	Wells Fargo Bank	505.33
Withholding Tax	JPMorgan Chase Bank, N.A.	9,890,612.50
Cash Advance Accounts		
Advance For Travel Account	Key Bank	400.00
ERS Petty Cash Acct	Key Bank	5,100.00
Petty Cash Account	Key Bank	1,496.46
Common Retirement Fund		
Common Retirement Fund - Depository	JPMorgan Chase Bank, N.A.	660.31
NYS Common Retirement Fund	JPMorgan Chase Bank, N.A.	945,115.37
Employees Retirement System		
Employees Retirement System - EFT	JPMorgan Chase Bank, N.A.	218.88
Employees Retirement System - General	JPMorgan Chase Bank, N.A.	0.00
Employees Retirement System - Pension	JPMorgan Chase Bank, N.A.	0.00
Group Term Life		
Group Term Life - General	JPMorgan Chase Bank, N.A.	0.00
Municipal Assistance Corporation Accounts		
City Of Troy - MAC	JPMorgan Chase Bank, N.A.	719,231.00
Police and Fire		
Retirement Police & Firemen's - EFT	JPMorgan Chase Bank, N.A.	12.27
Retirement Police & Firemen's - General	JPMorgan Chase Bank, N.A.	0.00
Retirement Police & Firemen's - Pension	JPMorgan Chase Bank, N.A.	0.00
03000 - DEPARTMENT OF LAW		
Albany Filing Fees Account	Key Bank	No report received
Albany Petty Cash	Bank of America, N.A.	No report received
Albany Revenue Account	Key Bank	No report received
Assessment Account	JPMorgan Chase Bank, N.A.	No report received
Attorney General Account	Key Bank	No report received
Civil Recoveries Account	Key Bank	No report received
Dept Of Law Controlled Disb	M&T Bank	No report received
Marie Roberts	JPMorgan Chase Bank, N.A.	No report received
NYC Filing Fees Account	JPMorgan Chase Bank, N.A.	No report received
NYC Petty Cash Account	JPMorgan Chase Bank, N.A.	No report received
NYC Revenue Account	JPMorgan Chase Bank, N.A.	No report received
Restitution Account	M&T Bank	No report received
Special Account	Key Bank	No report received
US Justice Dept - Shared Forfeiture	Key Bank	No report received
US Treas Dept - Shared Forfeiture	Key Bank	No report received
03010 - OFFICE OF THE ATTORNEY GENERAL		
OCTF - Confidential Fund Checking	JPMorgan Chase Bank, N.A.	No report received
OCTF - Confidential Fund Checking	JPMorgan Chase Bank, N.A.	No report received
03020 - MEDICAID FRAUD CONTROL		
dept atty gen vs john doe	Key Bank	7,705,567.61
National Global Settlement	JPMorgan Chase Bank, N.A.	5,155,602.84
NYS Department Of Law Confidential Account	JPMorgan Chase Bank, N.A.	38,725.00
NYS Department of Law Petty Cash Account	JPMorgan Chase Bank, N.A.	5,300.00
04020 - NYS ASSEMBLY		
Advance For Travel	Bank of America, N.A.	3,332.00
Petty Cash Account Dist Off	Bank of America, N.A.	21,772.06
Petty Cash New York City	JPMorgan Chase Bank, N.A.	701.57
Public Information Office	Key Bank	0.25
04030 - ASSEMBLY WAYS & MEANS COMMITTEE		
Advance For Travel	Key Bank	No report received
Petty Cash	Key Bank	No report received
04040 - LEGISLATIVE BILL DRAFTING COMMISSION		
NY LBDC - Legislative Computer Services Fund	Key Bank	3,201.24
NYS Leg Bill Drafting Comm-Petty Cash Acct	Key Bank	2,000.00
04250 - REAPPORTIONMENT		
NYS Taskforce On Demo Res & Reapp	JPMorgan Chase Bank, N.A.	125.00
05000 - OFFICE OF COURT ADMINISTRATION		
Attorney Registration Fees - Revenue	JPMorgan Chase Bank, N.A.	1,027,900.00

Criminal Records Search Acct - Revenue	JPMorgan Chase Bank, N.A.	8,592,025.00
Finger Print Account	JPMorgan Chase Bank, N.A.	16,575.00
Petty Cash Acct	JPMorgan Chase Bank, N.A.	500.00
05005 - OCA OFFICE OF BUDGET & FINANCE		
Petty Cash Account	Key Bank	2,500.00
05008 - LAWYERS FUND FOR CLIENT PROTECTION		
Client Security Fund - Bail	Key Bank	1,652,210.41
Lawyers Fund For Client Protection - Bail	Key Bank	700.30
Petty Cash	Key Bank	3,255.94
05071 - COURT OF APPEALS		
Chief Judge Advance	Key Bank	65.07
Clerk Of The Court Of Appeals	Key Bank	2,250.00
05072 - STATE BOARD OF LAW EXAMINERS		
State Board Of Law Examiners Fee	Key Bank	41,000.00
05081 - APPELLATE DIVISION - 1ST JUDICIAL DEPARTMENT		
1st Appellate Division Supreme Ct	JPMorgan Chase Bank, N.A.	68,190.85
05082 - APPELLATE DIVISION - 2ND JUDICIAL DEPARTMENT		
Appellate Div 2nd Dept Revenue	JPMorgan Chase Bank, N.A.	95,672.00
05083 - APPELLATE DIVISION - 3RD JUDICIAL DEPARTMENT		
Third Dept Civil Fees Acct - Revenue	Key Bank	23,080.47
05084 - APPELLATE DIVISION - 4TH JUDICIAL DEPARTMENT		
4th Dept Appellate Div Civil Fees - Revenue	JPMorgan Chase Bank, N.A.	17,990.74
05090 - COURT OF CLAIMS		
Court Of Claims Revenue Account	Key Bank	5,805.87
05111 - 10TH JUDICIAL DISTRICT NASSAU COUNTY ADMINISTRATION		
Glen Cove City Court		
Glen Cove City Court Bail	Wells Fargo Bank	78,162.48
Glen Cove City Court Revenue	Wells Fargo Bank	52,073.16
Long Beach City Court		
Long Beach City Court Revenue	Wells Fargo Bank	125,186.25
Long Beach Court Bail	Wells Fargo Bank	114,843.36
Nassau County Court		
Nassau County Assessment	Wells Fargo Bank	33,425.81
Nassau District Court - Criminal		
Nassau Dist Ct Criminal Revenue	Wells Fargo Bank	376,768.26
Nassau District Court-Civil		
Nassau County Dist Ct- Civil Revenue	Wells Fargo Bank	89,834.21
Nassau Surrogate		
Nassau County Surrogate Court-Revenue	Wells Fargo Bank	58,156.75
05112 - 10TH JUDICIAL DISTRICT SUFFOLK COUNTY ADMINISTRATION		
10th Judicial District Suffolk County Admin		
Suffolk County Court -Court Fund	People's United Bank	37,321.00
Suffolk County Surrogate		
Surrogate Court Of Suffolk County	People's United Bank	35,398.00
Suffolk District Court Civil Fees		
Suffolk County District Court Civil Fees	Citibank	440,441.76
Suffolk District Court Criminal Fines		
Suffolk County District Court Criminal Fines	Citibank	237,233.23
Suffolk District Court Trust Acct		
Suffolk County District Court Trust Account	Citibank	34,438.70
05210 - NYC-CIVIL COURT		
Bronx Civil Court - Civil		
Bronx Civil Court - Revenue	JPMorgan Chase Bank, N.A.	192,262.20
Harlem Community Justice Court		
Harlem Community Justice - Revenue Account	JPMorgan Chase Bank, N.A.	2,342.00
Kings Civil Court- Civil		
Kings Civil Court- Civil Revenue	JPMorgan Chase Bank, N.A.	116,702.10
New York Civil Court - Civil		
New York Civil Court Revenue Acct	JPMorgan Chase Bank, N.A.	104,854.80
Queens Civil Court - Civil		
Queens Civil - Revenue	JPMorgan Chase Bank, N.A.	15,515.90
Richmond Civil Court - Civil		
Richmond Civil Ct Revenue Acct	JPMorgan Chase Bank, N.A.	15,013.40
05215 - NYC-CRIMINAL COURT		
Bronx Criminal Court- Criminal Court		
Bronx Criminal Division- Criminal Bail	JPMorgan Chase Bank, N.A.	31,723.00
Bronx Criminal Court- Criminal Court		
Bronx Criminal Division- Criminal Revenue	JPMorgan Chase Bank, N.A.	49,862.00
Kings County Criminal Court		
Kings Criminal Court	Citibank	136,914.00
New York County Criminal Court		
New York Criminal Court	JPMorgan Chase Bank, N.A.	27,706.00
New York Criminal Court- State Funds	JPMorgan Chase Bank, N.A.	81,691.00
Queens County Criminal Court		

Queens Criminal Court	JPMorgan Chase Bank, N.A.	48,666.00
Queens Criminal Court - State Funds	JPMorgan Chase Bank, N.A.	95,912.00
Richmond County Criminal Court		
Richard Criminal Court-City Funds	JPMorgan Chase Bank, N.A.	15,160.00
Richmond Criminal Court-State Funds	JPMorgan Chase Bank, N.A.	34,055.00
05231 - SUPREME COURT - BRONX COUNTY		
Bronx County Supreme - NYS OCA	JPMorgan Chase Bank, N.A.	5,800.00
05235 - SUPREME COURT - KINGS COUNTY		
Kings Co Supreme		
Supreme Court Kings County-Revenue	JPMorgan Chase Bank, N.A.	9,655.00
05240 - SUPREME COURT - QUEENS COUNTY		
Queens Co Supreme		
Queens County Supreme Court	JPMorgan Chase Bank, N.A.	0.00
Queens County Supreme Court	JPMorgan Chase Bank, N.A.	14,120.53
05250 - NEW YORK COUNTY CLERK		
New York Co Clerk Revenue Account	JPMorgan Chase Bank, N.A.	1,767,447.25
05255 - BRONX COUNTY CLERK		
Bronx County Clerk		
Bronx Cnty Clerk Revenue Acct	JPMorgan Chase Bank, N.A.	822,666.79
05260 - KINGS COUNTY CLERK		
Kings County Clerk		
Kings County Clerk Revenue Account	Flushing Commercial Bank	1,279,807.15
05265 - QUEENS COUNTY CLERK		
Queens County Clerk-Revenue Acct	Sterling Bank	1,046,611.97
05270 - RICHMOND COUNTY CLERK		
Richmond Co Clerk State Fees Account	JPMorgan Chase Bank, N.A.	986.01
Richmond County Clerk DEC	JPMorgan Chase Bank, N.A.	6,558,017.19
05275 - NEW YORK COUNTY SURROGATES COURT		
New York Surrogate		
New York Surrogate Court	JPMorgan Chase Bank, N.A.	9,406.75
05280 - BRONX COUNTY SURROGATES COURT		
Bronx Surrogate		
Bronx Surrogate Court Revenue Acct	JPMorgan Chase Bank, N.A.	1,555.95
05285 - KINGS COUNTY SURROGATES COURT		
Kings County Surrogate		
Kings Co. Surrogate Revenue Acct	Bank of America, N.A.	28,303.00
05290 - QUEENS COUNTY SURROGATES COURT		
Queens surrogate		
Queens Co Revenue Acct Surrogate	Signature Bank	17,863.50
05295 - RICHMOND COUNTY SURROGATES COURT		
Richmond County Surrogate Court Revenue Account	Victory State Bank	3,124.00
05360 - 3RD JUDICIAL DISTRICT ADMINISTRATION		
Albany City Court - (Civil)		
Albany City Civil - Revenue	Wells Fargo Bank	18,271.76
Albany City Court - (Crim-Bail)		
Albany City Criminal - Bail	Wells Fargo Bank	18,336.45
Albany City Court - (Traffic)		
Albany City Traffic-Revenue	Wells Fargo Bank	94,415.00
Albany Traffic Court - Bail	Bank of America, N.A.	0.00
Albany City Court - Civil Part		
Albany City Court Civil - Revenue	Trustco Bank	0.00
Albany City Court - Crim		
Albany City Court-Crim	Wells Fargo Bank	7,536.00
Albany City Court - Traffic-Bail		
Albany City Traffic - Bail	Wells Fargo Bank	1,300.20
Albany County Surrogate		
Albany Cty Surrogates Court - Revenue	Bank of America, N.A.	10,500.00
Albany Police Court		
Albany Police Court Bail Account	Key Bank	0.00
Cohoes City Court		
Cohoes City Court Bail	Key Bank	28,676.10
Cohoes City Court Fees/Fines Account	Key Bank	23,187.00
Columbia County Surrogate		
Columbia Co Surrogate Ct Fees - Revenue	Key Bank	444.00
Greene Surrogate		
Greene Surrogate-Revenue	Wells Fargo Bank	668.75
Hudson City Court		
Hudson City Bail	Wells Fargo Bank	30,539.70
Hudson City Court Bail Acct	Trustco Bank	0.00
Hudson City Court Revenue Acct - Revenue	Trustco Bank	0.00
Hudson City Revenue	Wells Fargo Bank	5,098.50
Kingston City Court		
Kingston City Court Bail	Wells Fargo Bank	2,114.00
Kingston City Court Revenue	Wells Fargo Bank	42,499.09

Rensselaer City Court		
Rensselaer City Court - Revenue	Key Bank	2,965.00
Rensselaer City Court Bail Acct	Key Bank	4,360.00
Rensselaer County Surrogate		
Rensselaer Co Surrogate Ct Fees - Revenue	Key Bank	17,622.00
Schoharie County Surrogate		
Schoharie Co Surrogates Court - Revenue	Bank of America, N.A.	3,118.25
Sullivan Surrogate		
Sullivan Surrogate-Revenue	Wells Fargo Bank	9,890.00
Troy City Court		
Troy City Court- Revenue Acct - Revenue	Bank of America, N.A.	67,301.81
Troy Police Court Bail Account	Bank of America, N.A.	29,153.46
Ulster County Surrogate		
Ulster County Surrogate Court - Revenue	Key Bank	1,198.00
Watervliet City Court		
Watervliet Bail	Pioneer Savings Bank	56,814.00
Watervliet Revenue	Pioneer Savings Bank	41,167.80
05460 - 4TH JUDICIAL DISTRICT ADMINISTRATION		
Amsterdam City Court		
Amsterdam City Court - Bail	Key Bank	93,811.72
Amsterdam City Court - Revenue	Key Bank	31,438.00
Clinton County Surrogates		
Clinton County Surrogates - Revenue	Key Bank	120.00
Essex County Surrogate		
Essex Co Surrogate Clerk - Revenue	Champlain National	408.50
Franklin County Surrogate		
Franklin Co Surrogate Court - Revenue	Key Bank	135.00
Fulton County Surrogate		
Fulton County Surrogate's Court	Key Bank	367.25
Glens Falls City Court		
Glens Falls City Court Account - Revenue	Glens Falls National	17,907.10
Glens Falls City Court Bail Acct	Glens Falls National	36,731.62
Gloversville City Court		
Gloversville City Court Bail	NBT Bank	34,700.42
Gloversville City Court Revenue	NBT Bank	18,813.00
Hamilton Surrogate		
Hamilton Surrogate - Revenue	Community Bank	510.00
Johnstown City Court		
City Of Johnstown Bail Account - Bail	Key Bank	30,028.81
Johnstown City Court Fines/Fees - Revenue	Key Bank	10,682.50
Mechanicville City Court		
Mechanicville City Court Bail	TD Bank	6,303.10
Mechanicville City Ct Revenue Acct	TD Bank	4,151.30
Montgomery County Surrogate		
Montgomery County Surrogates Court - Revenue	NBT Bank	322.00
Ogdensburg City Court		
Ogdensburg City Court Int Bail	Community Bank	9,370.08
Ogdensburg City Court Revenue	Community Bank	6,168.40
Plattsburgh City Court		
Plattsburgh City Court - Bail	Glens Falls National	52,697.40
State Of NY Plattsburgh City Court - Revenue	Glens Falls National	25,410.10
Saratoga County Surrogate		
Saratoga County Surrogate - Revenue	Ballston Spa National Bank	1,885.75
Saratoga Springs City Court		
Saratoga Springs Bail Account	The Adirondack Trust Company	50,778.48
Saratoga Springs City Revenue Acct	The Adirondack Trust Company	22,675.87
Schenectady City Court		
Schenectady City Court- Bail	Bank of America, N.A.	82,901.30
Schenectady City Court Revenue	Bank of America, N.A.	30,230.53
Schenectady Surrogate		
Schenectady Surrogate Court - Revenue	Key Bank	3,298.50
St. Lawrence Co Surrogate		
St. Lawrence County Surrogate - Revenue	Community Bank	1,223.00
Warren County Surrogate		
Warren County Surrogate Court - Revenue	TD Bank	969.00
Washington Surrogates		
Washington Surrogate Revenue	TD Bank	352.00
05560 - 5TH JUDICIAL DISTRICT ADMINISTRATION		
Fulton City Court		
Fulton City Court Bail Acct	Key Bank	12,066.44
Fulton City Court Revenue	Key Bank	9,950.00
Herkimer Surrogate		
Herkimer Surrogate - Revenue	Partners Trust	1,844.00
Jefferson Surrogates		

Jefferson Co Surrogate Revenue	Key Bank	5,054.00
Lewis County		
Lewis County Clerk	Community Bank	11,760.00
Lewis County Surrogates		
Lewis County Surrogate Court - Revenue	Key Bank	0.00
Little Falls City Court		
Little Falls City Court Bail	Bank of America, N.A.	24,000.00
Little Falls City Court Revenue	M&T Bank	4,173.00
Oneida County Combined		
Oneida County Combined Court	Adirondack Bank	5,480.00
Oneida County Surrogates		
Oneida County Surrogate Court Revenue	The Adirondack Trust Company	10,612.25
Onondaga County Surrogates		
Onondaga Surrogate Court - Revenue	Alliance Bank	2,354.25
Oswego City Court		
Oswego City Court Bail Acct	JPMorgan Chase Bank, N.A.	23,433.02
Oswego City Court Revenue	JPMorgan Chase Bank, N.A.	16,888.10
Oswego Surrogate Court		
Oswego County Surrogate Court - Revenue	Key Bank	836.00
Rome City Court		
City Court Of Rome Bail Account - Bail	Bank of America, N.A.	21,211.25
Rome City Court - Revenue	Bank of America, N.A.	37,992.00
Sherrill City Court		
Sherrill City Court 5th Jud Dist - Bail	Alliance Bank	0.00
Sherrill City Court Fees - Revenue	Alliance Bank	1,235.00
Syracuse City Court		
Syracuse City Court - Bail	Alliance Bank	226,800.00
Syracuse City Court - Fees - Revenue	Alliance Bank	56,812.80
Utica City Court		
Utica City Court Criminal Bail	Bank of Utica	59,611.61
Utica City Court Revenue Account	Key Bank	41,231.60
Watertown City Court		
Watertown City Court Bail	Key Bank	82,621.26
Watertown City Court Fees & Fines - Revenue	Key Bank	20,238.60
05661 - 6TH JUDICIAL DISTRICT ADMINISTRATION		
Binghamton City Court		
Binghamton City Court Bail	M&T Bank	69,510.00
Binghamton City Court Revenue	M&T Bank	29,049.65
Broome Surrogates		
SNY UCS Broome County Surrogates Court	Wells Fargo Bank	359.00
Chemung County Surrogates		
SNY UCS Chemung County Surrogates Court	Wells Fargo Bank	90.00
Chenango County Surrogates		
SNY UCS Chenango County Surrogates Court	Wells Fargo Bank	921.00
Cortland City Court		
Court City Court Bail	NBT Bank	20,475.36
Court City Court- Revenue	NBT Bank	8,568.50
Cortland County Surrogates		
SNY UCS Cortland County Surrogates Court	Wells Fargo Bank	36.00
Delaware County Surrogates		
Delaware County Surrogate - Revenue	Delaware National Bank	631.00
Elmira City Court		
Elmira City Court - Revenue Account	Chemung Canal Trust	17,831.92
Elmira City Court Bail	Chemung Canal Trust	34,269.32
Ithaca City Court		
Ithaca City Court	Tompkins County Trust	34,870.00
Ithaca City Court Revenue	Tompkins County Trust	13,619.60
Madison County Surrogates		
SNY UCS Madison County Surrogates Court	Wells Fargo Bank	3.00
Norwich City Court		
Norwich City Court Bail Acct	NBT Bank	6,250.00
Norwich City Court Revenue Acct	NBT Bank	3,315.50
Oneida City Court		
Oneida City Court Bail Account	JPMorgan Chase Bank, N.A.	11,210.00
Oneida City Court Fee & Fine - Revenue	JPMorgan Chase Bank, N.A.	11,965.50
Oneonta City Court		
Oneonta City Court - Revenue	Community Bank	10,094.75
Oneonta City Court Bail Account	Community Bank	19,200.00
Otsego County Surrogates		
Otsego County Surrogates Court - Revenue	Key Bank	1,162.25
Schuyler County Surrogates		
Schuyler County Surrogates Court	Community Bank	625.00
Tioga County Surrogates		
Tioga Surrogates Court - Revenue	M&T Bank	901.25

Tompkins County Surrogates		
SNY UCS Tompkins County Surrogates Court	Wells Fargo Bank	54.00
05761 - 7TH JUDICIAL DISTRICT ADMINISTRATION		
Auburn City Court		
Auburn City Court Bail Acct	Key Bank	48,087.55
Auburn City Court Fees & Fines - Revenue	Key Bank	19,311.25
Canandaigua City Court		
Canandaigua City Court Bail Acct	Canandaigua National Bank	61,473.57
Canandaigua City Court Revenue	Canandaigua National Bank	11,383.00
Cayuga County Surrogates		
Cayuga Surrogate Court	Wells Fargo Bank	450.00
Corning City Court		
Corning City Court - Bail	Wells Fargo Bank	6,216.20
Corning City Court - Revenue	Community Bank	0.00
Corning City Court - Revenue	Wells Fargo Bank	13,769.74
Corning City Court Bail	M&T Bank	0.00
Geneva City Court		
Geneva City Court Bail Account	Wells Fargo Bank	30,858.82
Geneva City Court Revenue Account	Wells Fargo Bank	8,871.00
Hornell City Court		
Hornell City Court Bail Account	Steuben Trust Co.	27,207.28
Hornell City Court Revenue	Steuben Trust Co.	4,628.00
Livingston County Surrogates		
Livingston Surrogate Court	Wells Fargo Bank	450.00
Monroe County Surrogates		
7th District Monroe Surrogate	Wells Fargo Bank	10,998.00
Ontario County Surrogates		
Ontario Surrogate Court	Wells Fargo Bank	3,252.00
Rochester City Court		
Rochester City Court Bail Account	M&T Bank	412,457.11
Rochester City Revenue	M&T Bank	45,861.45
Seneca County Surrogates		
Seneca Surrogate Court	Wells Fargo Bank	637.00
Steuben County Surrogates		
7th District Steuben Surrogate	Wells Fargo Bank	2,270.00
Wayne County Surrogates		
Wayne Surrogate Court	Wells Fargo Bank	2,822.00
Yates County Surrogates		
Yates Surrogate Court	Wells Fargo Bank	280.00
05860 - 8TH JUDICIAL DISTRICT ADMINISTRATION		
Allegany County Surrogates Court		
ST of NY Office of the State Comptroller State of New York Unified Courts Allegany Surrogate Court	Wells Fargo Bank	100.00
Batavia City Court		
Batavia City Bail Account	M&T Bank	23,849.00
Batavia City Court Revenue	M&T Bank	9,097.00
Buffalo City Court		
Buffalo City Bail Account	M&T Bank	0.00
ST of NY OFFICE OF THE STATE COMPTROLLER BUFFALO CITY COURT BAIL ACCOUNT	Wells Fargo Bank	204,892.54
ST of NY OFFICE OF THE STATE COMPTROLLER BUFFALO CITY COURT REVENUE ACCOUNT	Wells Fargo Bank	30,898.00
Cattaraugus County Surrogates		
ST of NY Office of the State Comptroller State of New York Unified Courts Cattaraugus Surrogate Court	Wells Fargo Bank	1,748.00
Chautauqua County Surrogates Court		
ST of NY Office of the State Comptroller State of New York Unified Courts Chautauqua Surrogate Court	Wells Fargo Bank	1,256.25
Dunkirk City Court		
Dunkirk City - Revenue	Key Bank	0.00
ST of NY Office of The State Comptroller Dunkirk Bail Account	Wells Fargo Bank	16,363.50
ST of NY Office of The State Comptroller Dunkirk Revenue Account	Wells Fargo Bank	7,838.00
Erie - Buffalo County Law Library		
Sur Ct Lib At Buffalo - Revenue	M&T Bank	117.75
Erie County Surrogates		
ST of NY Office of the State Comptroller State of New York Unified Courts Erie Surrogate Court	Wells Fargo Bank	9,404.75
Genesee County Surrogates		
ST of NY Office of the State Comptroller State of New York Unified Courts Genesee Surrogate Court	Wells Fargo Bank	298.00
Jamestown City Court		
State of New York Office of Court Administration Jamestown City Court Bail	Key Bank	6,019.60
State of New York Office of Court Administration Jamestown City Court Revenue	Key Bank	7,794.42
Lackawanna City Court		
Lackawanna City Court Bail Account	Key Bank	8,936.00
Lackawanna City Court Revenue Account	Key Bank	37,067.50
Lockport City Court		
Lockport City - Bail	Key Bank	49,439.50
Lockport City HESC EFT Account - Revenue	Key Bank	16,932.50
Niagara City Court		
Niagara Falls Bail Bond Account	M&T Bank	56.00

Niagara City Court - Criminal		
City Court Of Niagara Falls Criminal - Revenue	M&T Bank	0.00
Niagara County Surrogates		
ST of NY Office of the State Comptroller State of New York Unified Courts Niagara Surrogate Court	Wells Fargo Bank	744.00
Niagara Falls City Court		
ST of NY OFFICE OF THE STATE COMPTROLLER NIAGARA FALLS BAIL ACCOUNT	Wells Fargo Bank	65,712.17
ST of NY OFFICE OF THE STATE COMPTROLLER NIAGARA FALLS REVENUE ACCOUNT	Wells Fargo Bank	44,542.05
No. Tonawanda City Court		
N. Tonawanda City Court Bail	M&T Bank	41,209.20
N. Tonawanda City Court Revenue	M&T Bank	32,419.30
Olean City Court		
Olean City Court Bail Account	Community Bank	9,015.00
Olean City Court Revenue Account	Community Bank	3,867.20
Orleans County Surrogates		
ST of NY Office of The State Comptroller State of New York Unified Courts Orleans Surrogate Court	Wells Fargo Bank	859.00
Salamanca City Court		
Salamanca City Court Bail	Community Bank	6,763.00
Salamanca City Court City Judge - Revenue	Community Bank	5,818.25
Tonawanda City Court		
ST of NY Office of The State Comptroller Tonawanda City Court Bail	Wells Fargo Bank	82,352.35
ST of NY Office of The State Comptroller Tonawanda City Court Revenue	Wells Fargo Bank	34,412.00
Tonawanda City Court Bail	M&T Bank	0.00
Tonawanda City Court Revenue	M&T Bank	0.00
Wyoming County Surrogates		
ST of NY Office of the State Comptroller State of New York Unified Courts Wyoming Surrogate Court	Wells Fargo Bank	451.00
05960 - 9TH JUDICIAL DISTRICT ADMINISTRATION		
Beacon City Court		
Beacon City Court Bail Account - Bail	JPMorgan Chase Bank, N.A.	7,865.80
Beacon City Fines Account - Revenue	JPMorgan Chase Bank, N.A.	9,741.50
Dutchess County Surrogates Court		
Dutchess County Surrogate Court - Revenue	JPMorgan Chase Bank, N.A.	46,422.50
Middletown City Court		
Middletown City Bail Escrow - Bail	JPMorgan Chase Bank, N.A.	124,227.99
Middletown City Court Revenue	JPMorgan Chase Bank, N.A.	34,526.50
Mt Vernon City Court		
Mt Vernon City Court State Bail	Wells Fargo Bank	160,078.28
Mt Vernon City Court State Revenue	Wells Fargo Bank	69,115.60
New Rochelle City Court		
New Rochelle City Court Bail	JPMorgan Chase Bank, N.A.	335,992.49
New Rochelle City Court Revenue	JPMorgan Chase Bank, N.A.	47,397.63
Newburgh City Court		
Newburgh Bail Account	Wells Fargo Bank	32,895.85
Newburgh City Court Revenue	Wells Fargo Bank	30,300.15
Orange County Surrogates Court		
Orange Co Surrogates Court - Revenue	JPMorgan Chase Bank, N.A.	12,197.50
Peekskill City Court		
Peekskill City Court Revenue	JPMorgan Chase Bank, N.A.	24,069.07
Peekskill City Court		
Peekskill City Court - Bail	JPMorgan Chase Bank, N.A.	73,086.60
Port Jervis City Court		
Port Jervis Bail Account - Bail	JPMorgan Chase Bank, N.A.	115,714.79
Port Jervis Revenue Account - Revenue	JPMorgan Chase Bank, N.A.	18,975.10
Poughkeepsie		
Poughkeepsie City Court -Bail	Wells Fargo Bank	138,752.78
Poughkeepsie City Court -Revenue	Wells Fargo Bank	69,544.95
Putnam Co Surrogate's Court		
Putnam Co Surrogates Court	Putnam County National Bank	13,083.50
Rockland County Surrogates Court		
Rockland Co Surrogates Court - Revenue	JPMorgan Chase Bank, N.A.	10,241.75
Rye City Court		
City Of Rye Bail Account	JPMorgan Chase Bank, N.A.	11,062.40
City Of Rye Fines And Fees - Revenue	JPMorgan Chase Bank, N.A.	42,403.60
Westchester County Surrogates Court		
Westchester Co Surrogates Fees - Revenue	JPMorgan Chase Bank, N.A.	51,283.00
White Plains City Court		
White Plains City Court Bail Account	Sterling Bank	177,638.58
White Plains City Court Vehicle And Traffic Acct - Revenue	Sterling Bank	88,806.65
Yonkers City Court		
Yonkers City Bail Account - Bail	Wells Fargo Bank	416,458.25
Yonkers City Revenue Account - Revenue	Wells Fargo Bank	87,140.68
06000 - AGRICULTURE & MARKETS		
Administration Account	Key Bank	21,204.62
Agency Advance Account	Key Bank	10,000.00
Agriculture Producers Sec Fund	Key Bank	10,662.00

Animal Population Control Account	Key Bank	48,172.35
Apple Marketing Order Fund	Key Bank	0.00
Consumer Food Industry Account	Key Bank	38,194.84
Dairy Industry Services Account	Key Bank	30,396.32
Dairy Promotion Order Fund	Key Bank	0.00
Farm Products Grading	JPMorgan Chase Bank, N.A.	0.00
Milk Producers Security Fund	Key Bank	46,471.97
NYS Farmers Market Program	Key Bank	1,686,042.07
NYS WNY Milk Mktg Area Administration Fund	M&T Bank	47.11
NYS WNY Milk Mktg Area Equalization Fund	M&T Bank	10,655.81
NYS WNY Milk Mktg Area Equalization Fund Savings	M&T Bank	319.11
Onion Marketing Order	Key Bank	0.00
Plants Industry Account	Key Bank	41,597.01
Pride of NY	Key Bank	6,266.98
Sour Cherry Marketing Fund	Key Bank	0.00
State Fair Premium Award Account	Solvay Bank	0.49
Weights & Measures Account	Key Bank	1,200.68
NYS Dept Agriculture & Markets		
Apple Marketing Order Fund	Key Bank	0.00
Dairy Promotion Order Fund	Key Bank	0.00
Farm Products	Key Bank	45,609.14
State Fair		
NYS Fair Operating Account	Solvay Bank	627,185.54
NYS Fair Petty Cash/Travel	Solvay Bank	1,415.45
NYS Fair Special Account	Solvay Bank	10,230.86
State Fair Premium Award Account	Solvay Bank	0.00
08000 - DEPARTMENT OF CIVIL SERVICE		
Agency Advance Account	Bank of America, N.A.	3,000.00
Examination Application Fees Account	Bank of America, N.A.	8,683.00
Examination Application Fees Account	Key Bank	5,575.00
NYS Affirmative Action Advisory Account	Bank of America, N.A.	5,141.90
NYS Department of Civil Service	US Bank	73,123,624.67
08010 - PUBLIC EMPLOYEE RELATIONS BOARD		
Petty Cash And Travel Advance Account	Key Bank	1,269.10
09000 - DEPARTMENT OF ENVIRONMENTAL CONSERVATION		
Albany		
Asharoken Feasibility Study	JPMorgan Chase Bank, N.A.	34,187.68
Bayville Feasibility Study	JPMorgan Chase Bank, N.A.	492,881.40
Conservation Petty Cash Account	M&T Bank	11,555.00
DEC/Exchange Account	M&T Bank	63,507.84
ENCON License Issuing Office	M&T Bank	6,504.00
ENCON/Montauk Point Feasibility Study	JPMorgan Chase Bank, N.A.	7,191.15
ENCON/South Shore Of Staten Island	JPMorgan Chase Bank, N.A.	22,875.59
Harbor Drift Removal Proj	JPMorgan Chase Bank, N.A.	1,559,085.68
Hunting Trapping & Fishing Account	M&T Bank	95,035.22
Lake Montauk Harbor	JPMorgan Chase Bank, N.A.	155,879.32
Lockbox Account	Wells Fargo Bank	956,460.22
Mattituck Inlet	JPMorgan Chase Bank, N.A.	1,326.53
NY Conservationist	Bank of America, N.A.	15,842.20
Program Fee	JPMorgan Chase Bank, N.A.	44,416.31
Revenue Account	Bank of America, N.A.	2,264,715.60
Rockaway Beach Study & Project	JPMorgan Chase Bank, N.A.	1,262,804.75
State of New York	Key Bank	1,327,482.09
US Army Coe - Moriches Project	JPMorgan Chase Bank, N.A.	11,145.60
Westhampton Project Escrow	JPMorgan Chase Bank, N.A.	219.56
Region 1		
Marine Permit Account	Bank of Smithtown	7,803.02
Region 3		
Revenue Region 3 Account	Bank of America, N.A.	0.00
Region 4		
Bear Spring Revenue Account	National Bank of Delaware	573.64
Region 4	Greene County Commercial Bank	13,997.90
Region 4 Camping	NBT Bank	2,472.63
Region 5		
Campsite Revenue Account	Glens Falls National	56,931.81
Land & Forest Region SW	TD Bank	120,839.90
NYS Conservation	Glens Falls National	1.00
Recreation (Warrensburg)	City National Bank & Trust	1,243.75
Region 5	Citizens Bank	38.03
Region 5	NBT Bank	64,266.02
Tree Nursery	Bank of America, N.A.	155.64
Region 6		
Fish & Wildlife Watertown	Key Bank	121.92
Lands & Forest District #7	Community Bank	50.00

Lands & Forests District #6	Community Bank	125.00
Lands And Forests District 10	M&T Bank	171.20
SNY Dept Of Environmental Conserv	Community Bank	14.04
10000 - ATTICA CORRECTIONAL FACILITY		
Agency Advance Account	Five Star Bank	No report received
CD Spendable	Five Star Bank	No report received
Employee Benefit Fund	Five Star Bank	No report received
General Cash Fund	Five Star Bank	No report received
Inmate Occupational Therapy Fund	Five Star Bank	No report received
Inmate Savings Account	Five Star Bank	No report received
Spendable Fund	Five Star Bank	No report received
10010 - AUBURN CORRECTIONAL FACILITY		
Advance Account	Key Bank	3,627.41
Certificate of Deposit	Bank of America, N.A.	15,000.00
Certificate of Deposit	Bank of America, N.A.	90,000.00
Inmate Occupational Therapy Fund	Key Bank	23,877.36
Inmate Spendable Account	Bank of America, N.A.	0.00
Inmate Spendable Account	Key Bank	261,973.35
Inmate Spendable Savings CD	Key Bank	352,383.97
Misc Revenue	Key Bank	43,972.10
Money Market	Bank of America, N.A.	0.00
10020 - CLINTON CORRECTIONAL FACILITY		
Advance Account	Key Bank	12,754.25
Employee Benefit Fund	Key Bank	10,861.99
General Fund	Key Bank	934.02
Inmate Funds	Key Bank	78,424.04
Inmate Funds Money Market Account	Key Bank	833,563.37
Inmate Occupational Therapy Acct	Key Bank	68,013.00
10030 - WATERTOWN CORRECTIONAL FACILITY		
Agency Advance Account	Key Bank	2,105.20
Inmate Occupational Therapy Fund	Key Bank	17,825.36
Inmate Spendable Funds	Key Bank	40,553.11
Inmate Spendable Savings Account	Key Bank	60,614.50
Miscellaneous Receipts	Key Bank	15,181.42
10040 - GREAT MEADOW CORRECTIONAL FACILITY		
Certificate of Deposit	Glens Falls National	150,000.00
Certificate of Deposit	Glens Falls National	100,000.00
Certificate of Deposit	Glens Falls National	25,000.00
Facility Advance	Key Bank	4,559.45
General Fund	Key Bank	0.00
Inmate Fund	Key Bank	103,283.47
Inmate Fund Savings Account	Glens Falls National	152,500.00
Miscellaneous Account	Key Bank	11,434.64
Occupational Therapy	Key Bank	18,525.96
10050 - FISHKILL CORRECTIONAL FACILITY		
Agency Advance	M&T Bank	No report received
Employee Benefits	M&T Bank	No report received
Inmate Spending Account	M&T Bank	No report received
Inmates Account	M&T Bank	No report received
Inmates Benefit	M&T Bank	No report received
Misc Receipts	M&T Bank	No report received
Occupational Therapy Account	M&T Bank	No report received
10060 - WALLKILL CORRECTIONAL FACILITY		
Advance Account	Key Bank	1,536.16
Employee Benefit	Key Bank	3,809.27
Inmate Occupational Therapy Fund	Key Bank	44,645.56
Inmate Savings Account	Key Bank	88,089.99
Inmates Fund Account	Key Bank	99,839.46
Misc. Receipts	Key Bank	26,354.05
10070 - SING SING CORRECTIONAL FACILITY		
Cash Advance	JPMorgan Chase Bank, N.A.	5,543.40
Inmate Funds	JPMorgan Chase Bank, N.A.	106,548.89
Inmate Interest Funds	JPMorgan Chase Bank, N.A.	454,379.60
Misc Receipts	JPMorgan Chase Bank, N.A.	43,057.95
Occupational Therapy	JPMorgan Chase Bank, N.A.	106,178.89
Quality Work Life	JPMorgan Chase Bank, N.A.	37,549.34
10080 - GREEN HAVEN CORRECTIONAL FACILITY		
Advance Account	Key Bank	1,287.17
General Fund	Key Bank	10,449.31
Inmates Money Market	Key Bank	287,545.37
Inmates Now Checking	Key Bank	589,526.75
Occupational Therapy Fund	Key Bank	123,840.38
10090 - ALBION CORRECTIONAL FACILITY		
Albion Advance Account	Bank of America, N.A.	2,223.83

Employee Benefit Fund	Bank of America, N.A.	11,749.13
Inmate Funds	Bank of America, N.A.	71,180.82
Inmate Funds Savings	Bank of America, N.A.	153,943.26
Misc Receipts	Bank of America, N.A.	2,684.53
Occupational Therapy	Bank of America, N.A.	25,476.31
10100 - EASTERN NEW YORK CORRECTIONAL FACILITY		
Agency Advance Account	Sterling Bank	1,854.19
Employee Benefit Fund	Sterling Bank	15,421.03
ID Now 3 Month CD	M&T Bank	50,102.55
Inmate Deposit Now Account	Sterling Bank	403,191.94
Inmate Occupational Therapy Account	Sterling Bank	30,985.76
Misc. Receipts	Sterling Bank	8,687.67
10110 - ELMIRA CORRECTIONAL & RECEPTION CENTER		
Agency Advance Account	Chemung Canal Trust	1,357.96
Employee Benefit Fund	Chemung Canal Trust	33,733.62
Inmate CD Account	M&T Bank	213,659.33
Inmates Fund	Chemung Canal Trust	481,874.95
Miscellaneous Receipts	Chemung Canal Trust	148.10
Occupational Therapy Fund	Chemung Canal Trust	45,950.67
10120 - BEDFORD HILLS CORRECTIONAL FACILITY		
Advance Account	JPMorgan Chase Bank, N.A.	4,899.72
Employee Benefit Fund	JPMorgan Chase Bank, N.A.	9,363.35
Inmate Funds	JPMorgan Chase Bank, N.A.	164,331.50
Inmate Funds CD	JPMorgan Chase Bank, N.A.	90,000.00
Inmate Funds Money Market	JPMorgan Chase Bank, N.A.	22,376.25
Misc. Receipts	JPMorgan Chase Bank, N.A.	1,602.75
Occupational Therapy	JPMorgan Chase Bank, N.A.	52,635.67
10130 - COXSACKIE CORRECTIONAL FACILITY		
Agency Advance Account	National Bank of Cocksackie	716.25
Employee Benefits Fund	National Bank of Cocksackie	8,339.54
Inmates Fund	National Bank of Cocksackie	47,262.06
Inmates Fund Savings Acct	National Bank of Cocksackie	23,673.78
Misc. Revenue	National Bank of Cocksackie	8,117.06
Money Market Acct	National Bank of Cocksackie	160,714.52
Occupational Therapy Acct	National Bank of Cocksackie	13,906.79
10140 - WOODBOURNE CORRECTIONAL FACILITY		
CD - Inmate Funds	First National Bank of Jeffersonville	50,000.00
CD - Inmate Funds	First National Bank of Jeffersonville	190,000.00
WCF Agency Advance	Jeff Bank	1,600.00
WCF General Fund	Jeff Bank	7,011.65
WCF Inmate Fund	Jeff Bank	124,089.47
WCF Occupational Therapy Fund	Jeff Bank	27,407.55
10160 - DEPARTMENT OF CORRECTIONS AND COMMUNITY SUPERVISION		
Agency Advance Account	Key Bank	22,168.44
Employee Benefit Fund	Key Bank	19,975.04
Inmate Escrow Account	Key Bank	469,221.60
Misc. Receipts Account	Key Bank	150,818.13
Special Account	Key Bank	1,300.00
10170 - QUEENSBORO CORRECTIONAL FACILITY		
Agency Advance Account	JPMorgan Chase Bank, N.A.	10,266.36
Employee Benefit Fund	JPMorgan Chase Bank, N.A.	7,199.04
Inmate Funds Account	JPMorgan Chase Bank, N.A.	414,584.48
Miscellaneous Receipts Account	JPMorgan Chase Bank, N.A.	925.29
Occupational Therapy Fund	JPMorgan Chase Bank, N.A.	2,898.19
Savings Account	JPMorgan Chase Bank, N.A.	15,602.30
10230 - ADIRONDACK CORRECTIONAL FACILITY		
ADK QWL	Community Bank	8,471.95
Agency Advance	Community Bank	786.39
Diversity Management	Community Bank	493.07
Employee Benefit Fund	Community Bank	2,850.00
General Fund	Community Bank	103.12
Inmate Funds	Community Bank	1,187.81
Inmate Occupational Therapy Fund	Community Bank	7,729.91
Inmate Savings Money Market	Community Bank	0.00
Make A Difference Day	Community Bank	0.00
10240 - DOWNSTATE CORRECTIONAL FACILITY		
Agency Advance	JPMorgan Chase Bank, N.A.	2,082.18
Employee Recreational Funds	JPMorgan Chase Bank, N.A.	12,122.67
Inmate Fund	JPMorgan Chase Bank, N.A.	86,641.10
Inmates Funds Savings	JPMorgan Chase Bank, N.A.	80,263.53
Misc. Receipts	JPMorgan Chase Bank, N.A.	26,178.55
Occupational Therapy	JPMorgan Chase Bank, N.A.	351,932.93
10250 - TACONIC CORRECTIONAL FACILITY		
Inmate Funds	JPMorgan Chase Bank, N.A.	113,204.10

Misc. Revenue	JPMorgan Chase Bank, N.A.	1,488.35
Money Market	JPMorgan Chase Bank, N.A.	30,916.57
Occupational Therapy Fund	JPMorgan Chase Bank, N.A.	15,446.13
Taconic Advance Account	JPMorgan Chase Bank, N.A.	3,179.20
10270 - HUDSON CORRECTIONAL FACILITY		
Advance Account	Key Bank	2,618.53
Employee Benefit Fund Account	Key Bank	5,565.55
Inmate Funds Account	Key Bank	118,769.32
Inmate Key Advantage Account	Key Bank	50,309.61
Inmate Occupational Therapy Account	Key Bank	9,141.73
Miscellaneous Receipts Account	Key Bank	3,023.62
10290 - OTISVILLE CORRECTIONAL FACILITY		
Cash Advance	Jeff Bank	997.42
General Fund	Jeff Bank	2,329.22
Inmate Funds	Jeff Bank	189,145.17
Inmate Occupational Therapy	Jeff Bank	33,596.79
Inmate Savings	Jeff Bank	51,304.45
Inmate Savings CD	Hometown Bank	77,321.03
10300 - ROCHESTER CORRECTIONAL FACILITY		
Consolidated Advance Account	M&T Bank	1,439.82
Employee Recreation Fund	M&T Bank	1,180.98
Inmate Deposit Account	M&T Bank	116,076.99
Inmate Occupational Therapy	M&T Bank	35.98
Misc Fees	M&T Bank	944.26
Work Release Advance Account	M&T Bank	4,050.00
10320 - EDGEcombe CORRECTIONAL FACILITY		
Agency Advance	JPMorgan Chase Bank, N.A.	347.21
Employee Benefit Account	JPMorgan Chase Bank, N.A.	1,787.53
Inmate Cash Account	JPMorgan Chase Bank, N.A.	75,890.79
Misc. Receipts Account	JPMorgan Chase Bank, N.A.	0.00
Occupational Therapy Acct	JPMorgan Chase Bank, N.A.	1,937.01
Work Release Account	JPMorgan Chase Bank, N.A.	No report received
10350 - OGDENSBURG CORRECTIONAL FACILITY		
Agency Advance Account	Community Bank	2,979.89
Inmate Fund	Community Bank	79,235.77
Inmate Savings	Community Bank	45,224.99
Misc. Receipts	Community Bank	1,337.50
Occupational Therapy	Community Bank	18,114.38
10360 - LINCOLN CORRECTIONAL FACILITY		
Agency Advance	JPMorgan Chase Bank, N.A.	100.40
Employee Benefit Fund	JPMorgan Chase Bank, N.A.	0.00
General Fund	JPMorgan Chase Bank, N.A.	0.00
Inmate Funds	JPMorgan Chase Bank, N.A.	0.00
Inmate Savings	JPMorgan Chase Bank, N.A.	0.00
Lincoln Work Release Account	JPMorgan Chase Bank, N.A.	2,571.00
Occupational Therapy	JPMorgan Chase Bank, N.A.	0.00
10370 - FIVE POINTS CORRECTIONAL FACILITY		
Consolidated Advance	Five Star Bank	968.92
EBF Checking	Five Star Bank	32,679.25
EBF Savings	Five Star Bank	31,757.56
Inmate Savings	Five Star Bank	257,892.42
Inmate Spendable	Five Star Bank	256,743.30
Misc Receipts	Five Star Bank	1,319.49
Occupational Therapy	Five Star Bank	30,651.17
10390 - MOHAWK CORRECTIONAL FACILITY		
Agency Advance	Key Bank	2,588.86
Employee Benefit Fund Checking	Bank of America, N.A.	28,534.39
Inmate Funds Checking	Key Bank	374,311.25
Inmate Funds Savings	Key Bank	314,176.21
Miscellaneous Revenue	Key Bank	1,028.37
Occupational Therapy	Key Bank	28,424.34
10430 - WENDE CORRECTIONAL FACILITY		
Consolidated Advance	Alden State Bank	3,850.00
Employee Benefit Account	Alden State Bank	31,911.46
Inmate Savings	Alden State Bank	196,553.55
Inmates Funds	Alden State Bank	77,117.52
Misc. Receipts	Alden State Bank	3,947.24
Occupational Therapy	Alden State Bank	61,895.02
10441 - DEPARTMENT OF CORRECTIONAL SERVICES-FOOD PRODUCTION CENTER		
Office of Nutritional Services		
Miscellaneous Receipts	Key Bank	1,228.91
10450 - GOWANDA CORRECTIONAL FACILITY		
Advance Account	Community Bank	225.66
Employee Benefit Fund	Community Bank	14,980.07

Inmate Funds	Community Bank	174,387.65
Inmate Funds - CD	Evans National Bank	0.00
Inmate Funds Savings	Community Bank	259,726.52
Miscellaneous Revenue	Community Bank	7,894.35
Occupational Therapy	Community Bank	25,161.48
10460 - GROVELAND CORRECTIONAL FACILITY		
Agency Advance Account	Five Star Bank	865.20
Employee Commission Account	Five Star Bank	14,122.66
Inmate Funds Account	Five Star Bank	108,032.79
Inmate Funds Account - Savings	Five Star Bank	146,903.48
Miscellaneous Receipts Account	Five Star Bank	3,647.85
Occupational Therapy Account	Five Star Bank	26,517.13
10470 - COLLINS CORRECTIONAL FACILITY		
Agency Advance	Community Bank	4,539.58
Employee Activities	Community Bank	42,517.19
Inmate Fund Checking	Community Bank	142,686.97
Inmate Savings	Community Bank	130,530.28
Miscellaneous Revenue	Community Bank	9,116.75
Occupational Therapy	Community Bank	18,143.31
10480 - MID-STATE CORRECTIONAL FACILITY		
Agency Advance	Key Bank	1,080.50
Employee Benefit Fund	Bank of America, N.A.	29,887.45
Inmate Funds	Key Bank	240,145.57
Inmate Savings	Key Bank	198,559.08
Misc. Revenue	Key Bank	2,752.20
Occupational Therapy	Key Bank	61,705.95
10490 - MARCY CORRECTIONAL FACILITY		
Agency Advance Account	Key Bank	3,813.56
Employee Benefit Fund Account	Bank of America, N.A.	8,402.83
Inmate Fund Account	Key Bank	134,928.62
Misc receipts Acct	Key Bank	1,905.33
NYS DOCS Marcy CORR Facility	Key Bank	206,615.42
Occupational Therapy fund Acct	Key Bank	43,674.70
10500 - NYC CENTRAL ADMINISTRATION		
Agency Advance Acct	JPMorgan Chase Bank, N.A.	2,394.76
Misc Receipts	JPMorgan Chase Bank, N.A.	509.17
10501 - CENTRAL PHARMACY		
NYS Docs Central Pharmacy Advance Acct	Bank of America, N.A.	1,000.00
10510 - MORIAH SHOCK INCARCERATION CORRECTIONAL FACILITY		
Moriah Shock Incarceration Advance Acct	Glens Falls National	1,800.00
Moriah Shock Incarceration Employee Benefit Fund	Glens Falls National	5,183.28
Moriah Shock Incarceration Occ Therapy	Glens Falls National	2,530.39
Moriah Shock Misc Receipts	Glens Falls National	0.00
NYS Moriah Shock Incarceration Inmate Checking	Glens Falls National	45,980.08
10530 - FRANKLIN CORRECTIONAL FACILITY		
Advance Account	Key Bank	4,760.65
Employee Benefit Account	Key Bank	12,982.01
Inmate Funds	Key Bank	96,557.94
Inmate Occupational Therapy	Key Bank	16,242.30
Inmate Savings	Key Bank	217,444.91
Misc. Receipts	Key Bank	3,779.68
10540 - ALTONA CORRECTIONAL FACILITY		
Cons Adv Travel Petty Cash	NBT Bank	3,145.10
Employees Vending Benefit	NBT Bank	9,776.47
Inmates Funds	NBT Bank	109,610.29
Misc Revenues General Fund	NBT Bank	194.04
Occupational Therapy	NBT Bank	6,194.75
10550 - CAYUGA CORRECTIONAL FACILITY		
Agency Advance	First National Bank of Groton	1,235.42
Cert Of Deposit	First National Bank of Groton	87,018.51
Employee Benefit Fund	First National Bank of Groton	5,691.50
Inmate Occupational Therapy Account	First National Bank of Groton	35,810.25
Inmate Spendable	First National Bank of Groton	0.00
Inmate Spendable	First National Bank of Groton	186,978.88
Misc Receipts	First National Bank of Groton	1,872.71
10560 - BARE HILL CORRECTIONAL FACILITY		
Agency Advance	Key Bank	1,857.00
Employee Benefit Fund	Key Bank	7,947.39
Inmate Spendable Funds	Key Bank	42,752.87
Key Public Money Market Checking	Key Bank	268,809.26
Miscellaneous Receipts	Key Bank	1,003.91
Occupational Therapy	Key Bank	26,431.36
10570 - RIVERVIEW CORRECTIONAL FACILITY		
Agency Advance Account	Key Bank	1,437.67

Inmate Accounts	Key Bank	57,427.28
Inmate Savings Account	Key Bank	105,542.56
Miscellaneous Receipts Account	Key Bank	7,996.43
Occupational Therapy	Key Bank	17,988.43
10580 - CAPE VINCENT CORRECTIONAL FACILITY		
Advance Account	Community Bank	1,900.00
Employee Benefit Fund	Community Bank	40,221.53
Inmate Occupation Therapy Acct	Community Bank	35,360.91
Inmate Savings	Community Bank	176,685.28
Inmate Spendable Account	Community Bank	74,522.39
Miscellaneous Receipts Account	Community Bank	3,418.13
10600 - LAKEVIEW SHOCK INCARCERATION CORRECTIONAL FACILITY		
Agency Advance	Community Bank	3,700.00
Employee Benefit Fund	Community Bank	11,738.82
Inmate Funds	Community Bank	61,295.40
Inmate Funds - Sav	Community Bank	94,652.55
Miscellaneous Revenue	Community Bank	2,261.43
Occupational Therapy	Community Bank	1,217.96
10610 - ULSTER CORRECTIONAL FACILITY		
Agency Advance	Bank of America, N.A.	0.00
Agency Advance	M&T Bank	1,399.24
Employee Benefit Fund	Bank of America, N.A.	0.00
Employee Benefit Fund	M&T Bank	6,686.17
Inmate Fund	Bank of America, N.A.	0.00
Inmate Fund	M&T Bank	132,417.71
Inmate Funds Savings	Bank of America, N.A.	0.00
Inmate Funds Savings	M&T Bank	12,299.00
Misc Receipts	M&T Bank	38.70
Misc. Receipts	Bank of America, N.A.	0.00
Occupational Therapy	Bank of America, N.A.	0.00
Occupational Therapy	M&T Bank	4,913.63
10630 - SOUTHPORT CORRECTIONAL FACILITY		
Advance Account	Chemung Canal Trust	745.96
Employee Benefit Fund	Chemung Canal Trust	15,030.90
Inmate Funds	Chemung Canal Trust	167,689.68
Inmate Funds Account	Chemung Canal Trust	40,869.22
Misc. Receipts Account	Chemung Canal Trust	576.15
Occupational Therapy Account	Chemung Canal Trust	29,967.31
10640 - ORLEANS CORRECTIONAL FACILITY		
Agency Advance	Bank of America, N.A.	2,275.30
Employee Benefit Fund	Bank of America, N.A.	10,157.37
Inmate Funds	Bank of America, N.A.	88,930.29
Inmate Savings	Bank of America, N.A.	157,987.65
Miscellaneous Receipts	Bank of America, N.A.	16,599.74
Occupational Therapy	Bank of America, N.A.	28,620.73
10650 - WASHINGTON CORRECTIONAL FACILITY		
Advance Account	Key Bank	1,358.22
General Account	Key Bank	0.00
Inmate Account	Key Bank	99,583.02
Inmate Funds Account Certificate Of Deposit	Glens Falls National	78,793.61
Inmate Savings Account	Key Bank	14,355.36
Occupational Therapy Account	Key Bank	24,145.72
10660 - WYOMING CORRECTIONAL FACILITY		
Agency Advance	Five Star Bank	No report received
Employee Benefit Fund	Five Star Bank	No report received
Inmate Occupational Therapy	Five Star Bank	No report received
Inmate Savings - Certificate of Deposit	Five Star Bank	No report received
Inmate Savings - Certificate of Deposit	Five Star Bank	No report received
Inmate Savings Account	Five Star Bank	No report received
Inmate Savings-Certificate of Deposit	Five Star Bank	No report received
Inmate Spendable	Five Star Bank	No report received
Misc. Receipts Account	Five Star Bank	No report received
10670 - GREENE CORRECTIONAL FACILITY		
Consolidated Advance	National Bank of Coxsackie	507.67
Inmate Accounts	National Bank of Coxsackie	215,766.23
Inmate Savings	National Bank of Coxsackie	448,599.68
Misc. Receipts	National Bank of Coxsackie	1,076.54
Occupational Therapy	National Bank of Coxsackie	67,786.69
10680 - SHAWANGUNK CORRECTIONAL FACILITY		
Consolidated Advance Account	Key Bank	1,029.19
Inmate Funds	Key Bank	150,272.80
Inmates Funds Account	Key Bank	36,999.36
Misc. Receipts Account	Key Bank	4,062.12
Occupational Therapy Acct	Key Bank	22,554.33

10690 - SULLIVAN CORRECTIONAL FACILITY

Consolidated Advance	Key Bank	2,263.42
Inmate Checking	Key Bank	69,726.83
Inmate Savings	Key Bank	100,402.90
Miscellaneous	Key Bank	11,824.15
Occupational Therapy	Key Bank	26,607.74

10810 - GOUVERNEUR CORRECTIONAL FACILITY

Agency Advance	Community Bank	1,430.00
Inmate Occupational Therapy	Community Bank	24,762.30
Inmate Savings	Community Bank	194,957.87
Inmate Spendable Fund	Community Bank	155,688.95
Misc Receipts	Community Bank	12,282.20

10820 - WILLARD DRUG TREATMENT CENTER

Consolidated Advance	Community Bank	958.80
Employee Benefit Fund	Community Bank	16,120.93
Inmate Funds	Community Bank	71,241.57
Inmate Occupational Therapy	Community Bank	9,873.72
Misc Receipts	Community Bank	423.92

10840 - UPSTATE CORRECTIONAL FACILITY-AUDIT 1

Advance Account	Key Bank	1,900.00
Facility Committees	Key Bank	15,395.49
Inmate Fund	Key Bank	169,401.10
Inmate Fund Savings	Key Bank	0.00
Inmate Occupational Therapy Fund	Key Bank	4,531.69
Miscellaneous Account	Key Bank	415.25

10850 - HALE CREEK ASACTC

Consolidated Advance	Key Bank	407.00
Employee Benefit Fund	Bank of America, N.A.	8,698.47
Inmate Funds	Key Bank	94,188.09
Inmate Interest Bearing Account	Key Bank	15,005.67
Misc Receipts	Key Bank	0.00
Occupational Therapy	Key Bank	26,331.57

10890 - CORRECTIONS AND COMMUNITY SUPERVISION

Asset Forfeiture Special Rev Acct	Bank of America, N.A.	No report received
Parole Supervision Fee	Wells Fargo Bank	35,075.72

10916 - CENTRAL OFFICE - INDUSTRIES

Div of Ind Petty Cash Acct	Key Bank	4,000.00
Div of Ind Revenue Acct	Key Bank	93,720.90

11000 - EDUCATION DEPARTMENT

Consolidated Advance Account	Key Bank	No report received
Consolidated Advance Account (Control Disbursement)	Key Bank	No report received
Revenue Account	Key Bank	No report received

11100 - NYS HIGHER EDUCATION SERVICES CORPORATION

NYS HESC-Federal Student Loan Suspense	Key Bank	186,420.98
Operating	Key Bank	2,940,128.52
Retail Lockbox	US Bank	163,734.73
TAP	Key Bank	9,867.31
Wholesale Lockbox	US Bank	1,912,323.92

11260 - BATAVIA SCHOOL FOR THE BLIND

Misc. Receipts	M&T Bank	1,604.69
Petty Cash	M&T Bank	3,715.70
Student Spending Account	Bank of America, N.A.	10,435.35

11270 - ROME SCHOOL FOR THE DEAF

Miscellaneous Receipts	NBT Bank	4,252.72
Petty Cash	NBT Bank	2,070.00
Student Activity Fund	NBT Bank	12,340.20

11280 - ARCHIVES PARTNERSHIP TRUST

Endowment	Janney Montgomery Scott LLC	4,672,736.49
Endowment - Special Account	Janney Montgomery Scott LLC	No report received
Trust's Board Project Account	Key Bank	246,643.21

12000 - DEPARTMENT OF HEALTH CENTRAL ADMINISTRATION

Conf Narcotic Investigation	M&T Bank	4,978.07
Consolidated Advance Acct	M&T Bank	12,715.38
CSA Rebate Account	Bank of America, N.A.	180,394.18
DOH EPIC Lockbox Acct	Wells Fargo Bank	83,735.11
Early Intervention - Municipal Deposits for Provider Pymts	Key Bank	0.00
Early Intervention - Provider Payments Escrow	Key Bank	56,450.76
Early Intervention - State Funds	Key Bank	111,347.20
EPIC Co Pay Account	Bank of America, N.A.	0.00
Epic Drug Manufacturer Rebate Account	Bank of America, N.A.	55,249.12
EPIC EFT Acct	Bank of America, N.A.	0.00
EPIC Master Funding Acct	Bank of America, N.A.	431,207.60
EPIC Provider Receipt Account	Bank of America, N.A.	0.00
EPIC Refund Acct	Bank of America, N.A.	0.00

eWIC	Wells Fargo Bank	3,111.48
General Account	M&T Bank	26,215.93
ICR Audit Fees Account	Bank of America, N.A.	43,166.20
Indian Health Disbursement Account	Bank of America, N.A.	0.00
Indian Health-Master Acct	Bank of America, N.A.	22,125.41
Medicaid	Key Bank	5,604,852.66
Medicaid Audit Recoveries Acct	Key Bank	18,241.89
Medicaid Insurance Recoveries Acct	Bank of America, N.A.	1,971,563.36
Nurses Aide Fees (Prometric)	Bank of America, N.A.	48,929.46
Nursing Home Fees Account	Bank of America, N.A.	50,495.93
NYS DOH CLEP Revenue	Key Bank	61,972.52
NYS WIC Adjustment Account	Key Bank	3,856.43
OBRA Drug Rebate Program Acct	Bank of America, N.A.	16,523,365.31
SPARCS	Key Bank	40,978.60
WIC Program	Key Bank	1,095.04
12010 - ROSWELL PARK MEMORIAL INSTITUTE		
Office Of Patient Accounts	M&T Bank	858,216.57
12030 - HELEN HAYES HOSPITAL		
Misc. Receipts	JPMorgan Chase Bank, N.A.	438,263.38
Petty Cash Account	JPMorgan Chase Bank, N.A.	11,280.97
Rental Deposit Acct	JPMorgan Chase Bank, N.A.	5,395.70
12120 - NYS VETERANS HOME-OXFORD		
Agency Advance	NBT Bank	9,077.75
Exchange Account	NBT Bank	81,932.20
Maintenance Fund	NBT Bank	522,931.63
NYS Veterans Home-Oxford (Resident Account, Custodial Account)	NBT Bank	228,837.65
Resident Custodial Account	NBT Bank	3,905.10
Resident Custodial Account	NBT Bank	807.50
Resident Custodial Account	NBT Bank	9,003.20
Resident Custodial Account	NBT Bank	122.55
Resident Custodial Account	NBT Bank	2,377.72
Resident Custodial Account	NBT Bank	2,094.10
Resident Custodial Account	NBT Bank	4,658.14
Resident Custodial Account	NBT Bank	8,068.21
Resident Custodial Account	NBT Bank	4,275.38
Resident Custodial Account	NBT Bank	1,571.83
Resident Custodial Account	NBT Bank	1,609.02
12150 - NYS VETERANS HOME-ST ALBANS		
NYC Veteran Home Agency Advance	JPMorgan Chase Bank, N.A.	29,774.07
St Albans NYC Vet Home Resid Funds	JPMorgan Chase Bank, N.A.	1,007,073.94
St Albans Vet Home Maintenance Acct	NBT Bank	1,621,141.11
12180 - WESTERN NEW YORK VETERANS HOME		
Advance Account	Bank of America, N.A.	4,464.38
Exchange Account	Bank of America, N.A.	55,482.95
Maintenance Account	NBT Bank	243,407.07
Resident Funds	Bank of America, N.A.	46,176.15
12190 - VETERANS HOME AT MONTROSE		
Agency Advance Account	Bank of America, N.A.	12,157.01
Maintenance Acct	NBT Bank	344,539.53
Residence Account	Bank of America, N.A.	695,396.58
12200 - OFFICE OF MEDICAID INSPECTOR GENERAL		
Albany Confidential Account	Key Bank	254.59
Albany Petty Cash Account	Key Bank	207.58
NYC Confidential Account	JPMorgan Chase Bank, N.A.	166.00
14000 - DEPARTMENT OF LABOR		
Agency Advance Account	Key Bank	27,829.95
Exchange Account	Bank of America, N.A.	592,273.12
Fee And Permit Account	Key Bank	721,106.74
Min Wage & Claim Funding Acct	Key Bank	167,282.55
Minimum Wage & Wage Claim Acct	Key Bank	1,437,828.46
Misc Receipts	Bank of America, N.A.	124,398.83
U.I. Fund Clearing Account	JPMorgan Chase Bank, N.A.	4,489,221.65
UI Fund ACH Transactions	Wells Fargo Bank	No report received
14010 - WORKERS COMPENSATION BOARD		
DTF/WCB MAC 14	JPMorgan Chase Bank, N.A.	123,232,100.31
16000 - PUBLIC SERVICE COMMISSION		
Cable Account	Key Bank	5,966.54
Petty Cash Account	Key Bank	3,610.03
Special Fee Account	Key Bank	25,950.95
17000 - NYS DEPARTMENT OF TRANSPORTATION		
Contractors Bid And Guarantee	Key Bank	5,568.90
Driver Improvement Program (DIP)	Key Bank	17,064.31
Main Office Advance For Travel	Key Bank	52,901.30
PARTNERS DOT -HOOCs	Key Bank	4,527,778.50

Revenue Unit	Key Bank	313,244.68
Republic Airport, Long Island		
Republic Airport Revenue Acct	JPMorgan Chase Bank, N.A.	67,064.76
19000 - DEPARTMENT OF STATE		
Athletic	M&T Bank	No report received
Licensing Revenue Account	JPMorgan Chase Bank, N.A.	No report received
Main	M&T Bank	No report received
Petty Cash Account	Key Bank	No report received
Summons	M&T Bank	No report received
19001 - TUG HILL COMMISSION		
Agency Advance Account	Key Bank	No report received
19002 - LAKE GEORGE PARK COMMISSION		
Petty Cash Account	Glens Falls National	No report received
Revenue Transfer Account	Glens Falls National	No report received
19005 - COMMISSION ON PUBLIC INTEGRITY		
JCOPE Petty Cash Account	Bank of America, N.A.	No report received
JCOPE Revenue Account	Bank of America, N.A.	No report received
20000 - DEPARTMENT OF TAXATION & FINANCE		
IFTA Funding	JPMorgan Chase Bank, N.A.	2,589.09
Misc Tax Account - Exchange	Bank of America, N.A.	96,600.27
Petty Cash	Bank of America, N.A.	13,643.87
Tax Preparer Registration Fee (EFT)	Wells Fargo Bank	13,500.00
Waste Tire Fee (EFT)	Wells Fargo Bank	2,223,521.85
Waste Tire Tax	JPMorgan Chase Bank, N.A.	77,410.19
20050 - NEW YORK STATE GAMING COMMISSION		
Charitable Gaming Account	Key Bank	143,932.53
Custody Account	US Bank	12,581.28
Fingerprint Concentration Account	Key Bank	17,088.06
License Revenue Account	Bank of America, N.A.	56,349.56
Lottery Concentration Account	Key Bank	291,383.43
Lottery Prize Payment Account	Key Bank	28.38
Lottery Subscriptions Account	Key Bank	880,950.90
Petty Cash Account	Key Bank	856.26
Racing Refund Account	Key Bank	1,445,375.79
Video Gaming Revenue Account	Key Bank	14,465,426.23
21012 - WELFARE INSPECTOR GENERAL		
Confidential Fund	Bank of America, N.A.	15,000.00
Confidential Fund	JPMorgan Chase Bank, N.A.	No report received
Petty Cash	JPMorgan Chase Bank, N.A.	No report received
21110 - OFFICE OF REGULATORY REFORM		
Petty Cash	Key Bank	No report received
21290 - HUDSON RIVER-BLACK RIVER REGULATING DISTRICT		
Checking- General Fund Acct.	Community Bank	15,653.61
Checking- Petty Cash Fund	Community Bank	5,000.00
Hudson River General Acct	Bank of America, N.A.	337,491.82
Money Market	Bank of America, N.A.	2.72
Petty Cash Fund	Bank of America, N.A.	6,500.00
21700 - OFFICE OF THE STATE INSPECTOR GENERAL		
Office Of The State Inspector General Pass Thru Account	Key Bank	56,204.23
OSIG Petty Cash Account	Key Bank	1,105.82
Albany		
Office of the Inspector General Confidential	Bank of America, N.A.	30,000.00
21820 - STATE COMMISSION ON JUDICIAL CONDUCT		
Petty Cash Account	JPMorgan Chase Bank, N.A.	620.19
Petty Cash Account	Key Bank	343.88
Petty Cash Fund	JPMorgan Chase Bank, N.A.	639.82
21940 - NYS FINANCIAL CONTROL BOARD		
Agency Advance Acct	JPMorgan Chase Bank, N.A.	No report received
23000 - DEPARTMENT OF MOTOR VEHICLES		
Albany Central Main Acct		
Albany Central Main Acct	Wells Fargo Bank	289,670.46
Albany Central Main Exchange		
Exchange	Wells Fargo Bank	7,914.66
Albany Central Office		
Title Escrow Exchange (Albany Central Office)	Wells Fargo Bank	5,142.61
Albany TVB Sub (Albany Central Office)		
Adjudication Account	Wells Fargo Bank	89,080.65
Administrative Adj	M&T Bank	No report received
Albany-Region 3		
Confidential Inv Subpoena - Albany	Key Bank	1,500.00
Allegany-Belmont		
County Clerk Fee Allegany	Steuben Trust Co.	7,074.79
Andirondack Mountains		
County Fee Account	JPMorgan Chase Bank, N.A.	112,873.12

Buffalo-Region 5		
MV- Buffalo Investigator & Subpoena	M&T Bank	1,500.00
Capital Saratoga Revenue		
County Fee Account	JPMorgan Chase Bank, N.A.	104,734.52
Catskill Mountains		
County Fee Account	JPMorgan Chase Bank, N.A.	73,377.46
Central Leatherstocking		
County Fee Account	JPMorgan Chase Bank, N.A.	54,151.30
Chautauqua-Steuben		
County Fee Acct	JPMorgan Chase Bank, N.A.	70,559.13
Chautauqua County		
Holding Acct-Chautauqua County	Community Bank	118,761.93
Holding Acct-Chautauqua County	Key Bank	126,732.32
Holding Acct-Chautauqua County	M&T Bank	269,569.81
Concentration (CTY)(OSC)		
Concentration (CTY)(OSC)	Key Bank	344,000.00
Concentration (DO)(OSC)		
Concentration (DO)(OSC)	Key Bank	2,007,386.75
Confidential Fund (Albany Central Office)		
Confidential Fund	Bank of America, N.A.	2,624.28
CTY Credit Card (Albany Central Office)		
County Office Credit Card Account	JPMorgan Chase Bank, N.A.	1,219,264.89
Customer Service Counter (Albany)		
NYS DMV CSC	Wells Fargo Bank	60,585.92
D.O. Credit Card (Albany Central Office)		
District Office Credit Card Account	JPMorgan Chase Bank, N.A.	3,291,393.91
DMV Division Of Field Investigations - Albany Central Office		
Field Investigation	M&T Bank	No report received
Downstate		
Revenue Account - Downstate	Wells Fargo Bank	806,431.70
Eric County Revenue		
County Fee Account	JPMorgan Chase Bank, N.A.	32,399.65
Finger Lakes First		
County Fee Acct	JPMorgan Chase Bank, N.A.	58,803.22
Finger Lakes Second		
County Fee Acct	JPMorgan Chase Bank, N.A.	48,916.18
Genesee County		
Genesee County Clerk - DMV	Bank of Castile	No report received
Greene County		
Fee Account - Greene	Greene County Commercial Bank	No report received
Hudson Valley		
County Fee Acct	JPMorgan Chase Bank, N.A.	79,435.36
IRP (Albany Central Office)		
International Registration	M&T Bank	282,530.06
International Registration	Wells Fargo Bank	173,036.37
IRP Exchange (Albany Central Office)		
Irp Internet Office - Dept. MV	M&T Bank	372,622.71
Kiosk		
Kiosk Account	JPMorgan Chase Bank, N.A.	317,646.98
Long Island/Staten Island DO		
Long Island/Staten Island DO	Wells Fargo Bank	837,953.90
Long Island/Staten Island JP		
Long Island/Staten Island (Mass/Med)	JPMorgan Chase Bank, N.A.	3,552,723.00
Nassau Region 1		
Div. of Vehicle Safety	Citibank	No report received
Niagara Frontier		
County Fee Acct	JPMorgan Chase Bank, N.A.	67,436.48
Office Fee (Albany Central Office)		
Office Fee	Wells Fargo Bank	0.00
Oneida County		
DMV Oneida County Fee Account	Bank of Utica	171,227.66
Oneida County Fee Account	NBT Bank	88,071.50
Petty Cash (Albany Central Office)		
Petty Cash	Bank of America, N.A.	4,460.00
Queens-Region 6		
Confidential - Queens	JPMorgan Chase Bank, N.A.	4,500.00
Rockland/Westchester DO		
Rockland/Westchester DO	Wells Fargo Bank	131,292.19
Search Exchange (Albany Central Office)		
MV Search	Key Bank	21,473.61
Search Exchange (Albany Central Office)		
MV Search	Wells Fargo Bank	65,815.41
Syracuse-Region 4		
Confidential - Syracuse	Key Bank	975.00

Thousand Island Seaway		
County Fee Acct	JPMorgan Chase Bank, N.A.	263,981.63
TLC/DOCCS		
TLC/DOCCS	JPMorgan Chase Bank, N.A.	526,810.05
Travel Advance (Albany Central Office)		
Travel Advance	Bank of America, N.A.	1,000.00
TVB Acct		
TVB Acct	Wells Fargo Bank	254,607.82
TVB Credit Card (Albany Central Office)		
TVB Credit Card Receipts	JPMorgan Chase Bank, N.A.	738,590.12
Upstate DO		
Upstate District Offices (ALB, SYD, SYS, UTD)	Wells Fargo Bank	172,455.80
Utica D.O.		
Exchange Account	Bank of Utica	No report received
Revenue Utica	Bank of Utica	No report received
Yonkers-Region 2		
Safety Sup Automotive FAC INSP	JPMorgan Chase Bank, N.A.	No report received
25000 - OFFICE OF CHILDREN & FAMILY SERVICES		
Brentwood Resid Center Cash Advance	JPMorgan Chase Bank, N.A.	950.00
Brentwood Residents' Account	JPMorgan Chase Bank, N.A.	547.71
Brooklyn Aftercare Wraparound Account	JPMorgan Chase Bank, N.A.	500.00
Brookwood Cash Advance	Key Bank	1,731.71
Brookwood Resid Residential Cash	Key Bank	9,064.32
Check Exchange	Bank of America, N.A.	4,467.36
CO Independent Living Acct	Bank of America, N.A.	1,570.00
Co. Training Employment Dev (Youth Stipend)	Bank of America, N.A.	25,000.00
Columbia Girls Secure Center-Advance Acct	Key Bank	700.00
Columbia Girls Secure Center-Youth Savings	Key Bank	773.24
Finger Lakes Res Ctr Residents Cash	Tompkins County Trust	7,140.87
Fingerlakes Res Ctr Cash Advance	Tompkins County Trust	3,300.00
Goshen Cash Advance	Bank of America, N.A.	2,540.00
Goshen Residents Account	Bank of America, N.A.	9,592.22
Harriet Tubman Advance Account	Key Bank	500.00
Harriet Tubman Residents' Account	Key Bank	60.07
Highland Res Ctr Petty Cash Account	Bank of America, N.A.	2,390.12
Highland Res Ctr Residents Acct	Bank of America, N.A.	3,225.70
Home Office Care & Maintenance Account	Bank of America, N.A.	0.00
Industry Advance Account	JPMorgan Chase Bank, N.A.	2,320.00
Industry Res Account	JPMorgan Chase Bank, N.A.	3,278.31
Industry School Dug-Out	JPMorgan Chase Bank, N.A.	1,358.00
MacCormick Cash Advance	Tompkins County Trust	2,001.00
MacCormick Residents' Account	Tompkins County Trust	9,202.91
Medicaid Reimbursement Exchange	Bank of America, N.A.	92,204.57
NYS OCFS Advance Acct (Travel & Misc P.C.)	Bank of America, N.A.	15,500.00
NYS OCFS Salary Advance Account	Bank of America, N.A.	15,260.00
Queens CMSO Cash Advance	Bank of America, N.A.	0.00
Queens-Long Island Aftercare	JPMorgan Chase Bank, N.A.	700.00
Red Hook Res Ctr Resident Cash	Key Bank	280.67
Red Hook Resid Ctr Cash Advance	Key Bank	300.00
SCR Credit Card Revenue Account	Bank of America, N.A.	59,725.00
State Central Register	Bank of America, N.A.	91,572.60
Taberg Cash Advance	NBT Bank	737.46
Taberg Residents Account	NBT Bank	489.94
Youth Leadership Academy	NBT Bank	818.10
Youth Leadership Cash Advance	NBT Bank	750.00
27000 - OFFICE OF TEMPORARY & DISABILITY ASSISTANCE		
Exchange Account	Key Bank	0.70
Title IV D Of Social Security	Key Bank	328,772.25
Travel Advance	Key Bank	10,000.00
28010 - SUNY ALBANY		
Fee Account	Key Bank	(133,377.17)
Loan Services Center Account	Key Bank	57,962.95
Petty Cash/Travel Advance	Key Bank	0.00
28020 - SUNY BINGHAMTON		
SUNY Binghamton	M&T Bank	563,680.64
SUNY Binghamton - Controlled Disb	M&T Bank	0.00
28030 - SUNY BUFFALO		
Controlled Disbursement Account	Bank of America, N.A.	0.00
General Revenue Account	Bank of America, N.A.	0.00
General Revenue Account	Key Bank	1,349,676.82
Imprest Account	Key Bank	0.00
28050 - SUNY STONY BROOK		
Central Funding	JPMorgan Chase Bank, N.A.	953,045.04
Controlled Disbursement	JPMorgan Chase Bank, N.A.	0.00

Fees Depository	JPMorgan Chase Bank, N.A.	1,356,931.10
LISVH Fees Depository	JPMorgan Chase Bank, N.A.	687,150.10
LISVH Fees Depository	Sterling Bank	904,024.94
LISVH Residence Fund	Sterling Bank	431,698.74
Payroll Advance	JPMorgan Chase Bank, N.A.	4,107.13
SBU Student Refunds Cont Disb Acct	JPMorgan Chase Bank, N.A.	0.00
Student ACH Refunds Account	JPMorgan Chase Bank, N.A.	268,321.27
SUNY Eastern Long Island Hospital Depository	JPMorgan Chase Bank, N.A.	304,215.63
SUNY Southampton Depository	JPMorgan Chase Bank, N.A.	286,396.71
University Hosp Fees Depository	JPMorgan Chase Bank, N.A.	7,314,156.35
University Hospital Petty Cash	JPMorgan Chase Bank, N.A.	1,823.99
28100 - SUNY HEALTH SCIENCE CENTER AT BROOKLYN		
Center Revenue	JPMorgan Chase Bank, N.A.	61,167.99
EFT Federal Deposits Acct	JPMorgan Chase Bank, N.A.	0.00
Hospital Controlled Disbursement	JPMorgan Chase Bank, N.A.	0.00
Hospital Revenue	JPMorgan Chase Bank, N.A.	1,575,901.38
LICH Controlled Disbursement	JPMorgan Chase Bank, N.A.	0.00
LICH Depository	JPMorgan Chase Bank, N.A.	31,983.10
Petty Cash	JPMorgan Chase Bank, N.A.	0.00
Student Refunds	JPMorgan Chase Bank, N.A.	0.00
28110 - SUNY HEALTH SCIENCE CENTER AT SYRACUSE		
College Revenue	Key Bank	104,551.37
Controlled Disbursement	Key Bank	30.00
Hospital Revenue	Key Bank	3,600,458.59
Parking	Key Bank	53,619.38
28150 - SUNY BROCKPORT		
Brockport-REOC Account	Key Bank	9,577.14
Concentration Acct	M&T Bank	415,285.87
Controlled Disb	M&T Bank	0.00
28160 - SUNY BUFFALO STATE COLLEGE		
Controlled Disb	M&T Bank	0.00
Dept Public Safety	M&T Bank	0.00
Special Grant Account	M&T Bank	3,073.14
Students Acct Office	M&T Bank	404,996.10
28170 - SUNY CORTLAND		
General Checking Account	Key Bank	80,195.21
28180 - SUNY FREDONIA		
Controlled Disb	M&T Bank	0.00
Depository Account	M&T Bank	79,823.97
28190 - SUNY GENESEO		
Controlled Disbursement Account	Key Bank	0.00
State Fees	Key Bank	390,322.37
28200 - SUNY OLD WESTBURY		
Local Depository	JPMorgan Chase Bank, N.A.	104,929.38
28210 - SUNY NEW PALTZ		
Disbursement Account	Key Bank	0.00
State Revenue	Key Bank	360,923.14
28220 - SUNY ONEONTA		
Petty Cash Advance Account	NBT Bank	0.00
Revenue Account	NBT Bank	3,661,159.58
28230 - SUNY OSWEGO		
Controlled Disbursement	Key Bank	0.00
General Revenue	Key Bank	343,517.95
Imprest Account	Key Bank	0.00
28240 - SUNY PLATTSBURGH		
General Revenue	TD Bank	3,072,396.47
28250 - SUNY POTSDAM		
Control Disbursement Account	Key Bank	0.00
State Fee Reconciliation Account	Key Bank	246,968.89
28260 - SUNY PURCHASE		
General Income Fund	Key Bank	185,907.97
28270 - SUNY INSTITUTE OF TECHNOLOGY UTICA/ROME		
Advance Account	Bank of America, N.A.	0.00
Controlled Disbursement Account	Bank of America, N.A.	0.00
Revenue	Bank of America, N.A.	240,085.60
28280 - SUNY EMPIRE STATE COLLEGE		
Concentration Account	Key Bank	207,984.74
Distribution Center Account	Key Bank	74,550.32
Zero Balance Controlled Disbursement Account	Key Bank	0.00
28350 - SUNY COLLEGE OF TECHNOLOGY AT ALFRED		
Fees Account	Community Bank	2,710,898.65
28360 - SUNY COLLEGE OF TECHNOLOGY AT CANTON		
Community Cash Deposits	NBT Bank	71,071.01
Dental Hygiene	NBT Bank	0.00

Income Fund	Key Bank	196,654.19
International Program Account	Key Bank	24,388.36
28370 - SUNY AGRICULTURAL & TECHNICAL COLLEGE AT COBLESKILL		
Income Fund	Key Bank	176,027.25
28380 - SUNY COLLEGE OF TECHNOLOGY AT DELHI		
General Revenue	Delaware National Bank	1,021,152.13
Petty Cash Fund	Delaware National Bank	0.00
28390 - SUNY COLLEGE OF TECHNOLOGY AT FARMINGDALE		
Income Fund	Citibank	1,363,176.40
28400 - SUNY AGRICULTURAL & TECHNICAL COLLEGE AT MORRISVILLE		
Income Fund	Key Bank	1,602,368.75
Revenue Account	NBT Bank	10,187.67
28550 - SUNY COLLEGE OF ENVIRONMENTAL SCIENCE & FORESTRY		
Agency Advance	Key Bank	0.00
Controlled Disb	Key Bank	0.00
ESF/GSA	Key Bank	0.00
Forestry	Community Bank	1,108.72
Regular Account	Key Bank	133,017.55
Student Government	Key Bank	0.00
28570 - SUNY MARITIME COLLEGE		
Controlled Disbursement Account	JPMorgan Chase Bank, N.A.	0.00
Cruise Account	JPMorgan Chase Bank, N.A.	42,589.32
Revenue Deposit Account	JPMorgan Chase Bank, N.A.	204,334.72
Revenue EFT Account	JPMorgan Chase Bank, N.A.	29,996.16
28580 - SUNY COLLEGE OF OPTOMETRY		
General Revenue	JPMorgan Chase Bank, N.A.	112,745.47
Medical Transportation	JPMorgan Chase Bank, N.A.	410.38
28650 - SUNY CENTRAL SYSTEM ADMINISTRATION		
ASC	Key Bank	10,000.00
NYS Iso	Key Bank	2,500,000.00
Revenue	Key Bank	51,715.94
37000 - DEPARTMENT OF FINANCIAL SERVICES		
Confidential Investigations	JPMorgan Chase Bank, N.A.	9,927.91
Confidential Investigations	JPMorgan Chase Bank, N.A.	7,918.75
Fire Tax Account (Main)	Key Bank	5,696.54
Fire Tax Payment	Key Bank	0.00
General Assessment Account	JPMorgan Chase Bank, N.A.	368,380.70
General Fund	Key Bank	279,033.61
Market Stabilization Pool Account	JPMorgan Chase Bank, N.A.	11,726,275.18
Miscellaneous Account	JPMorgan Chase Bank, N.A.	167,354.16
Paid Family Leave	JPMorgan Chase Bank, N.A.	0.00
Petty Cash	Key Bank	5,408.99
Workers Comp Insurance Sec Fund Pymnt	JPMorgan Chase Bank, N.A.	4,692,668.24
49010 - SARATOGA-CAPITAL DISTRICT STATE PARK COMMISSION		
Contractors Bid (SA)	Bank of America, N.A.	85,977.68
Revenue (SA)	Glens Falls National	42,244.16
49020 - LONG ISLAND STATE PARK COMMISSION		
Contractors Bid (LI)	JPMorgan Chase Bank, N.A.	63,289.93
Regional Account (LI)	Bank of America, N.A.	1.00
Regional Account 2 (LI)	JPMorgan Chase Bank, N.A.	397,040.66
Revenue (LI)	People's United Bank	62,718.98
49030 - GENESEE STATE PARK COMMISSION		
Contractors Bid (GE)	Bank of Castile	50,222.45
Revenue (GE)	Bank of Castile	192,250.77
49040 - NIAGARA FRONTIER STATE PARK COMMISSION		
Contractors Bid (NIA)	Key Bank	7,462.27
Revenue (NIA)	Evans National Bank	49,573.30
49050 - PALISADES INTERSTATE STATE PARK COMMISSION		
Contractors Bid (PA)	JPMorgan Chase Bank, N.A.	9,055.95
49070 - OFFICE OF PARKS & RECREATION		
Main Office - Change Fund	Key Bank	31,151.00
Main Office Account (ALB)	Key Bank	1,500.00
OPRHP Concentration Account	Key Bank	878,084.10
Petty Cash (ALB)	Key Bank	30,043.20
Revenue (NI, GE, AL, CE, TA)	M&T Bank	103,269.14
Revenue (NYC, CE, LI, PA, TA)	JPMorgan Chase Bank, N.A.	157,031.92
Revenue (SA, LI, GE, NI, CE, TA)	Bank of America, N.A.	7,657.58
Revenue (SA, NI, PA, CE, TA, TI)	Key Bank	43,650.72
Revenue (various)	Wells Fargo Bank	78,553.42
Statewide Campsite/Cabin Revenue	JPMorgan Chase Bank, N.A.	602,441.50
Statewide Credit Card Revenue, Revenue (ALB, FL, LI, PA, TI)	Key Bank	599,303.16
Statewide Housing Security Deposits	Key Bank	183,870.28
49090 - FINGER LAKES STATE PARK COMMISSION		
Contractors Bid (FL)	Tompkins County Trust	19,475.78

Revenue (FL)	Savannah Bank	54,665.12
Revenue (FL-Multi)	Community Bank	7,997.44
Revenue (FL-Multi-Facilities)	Tompkins County Trust	275,875.36
49100 - ALLEGANY STATE PARK COMMISSION		
Contractors Bid (AL)	Five Star Bank	868.00
Regional Account (AL)	Five Star Bank	99,553.85
Revenue (AL)	Five Star Bank	116,739.79
49120 - CENTRAL NEW YORK STATE PARK COMMISSION		
Contractors Bid (CE)	JPMorgan Chase Bank, N.A.	20,329.27
Revenue (CE, SA, TI)	NBT Bank	136,285.42
49130 - TACONIC STATE PARK COMMISSION		
Contractors Bid (TA)	M&T Bank	239.32
49140 - THOUSAND ISLANDS STATE PARK COMMISSION		
Revenue (TI)	Citizens Bank	46,646.06
Revenue (TI-Multi)	Community Bank	8,213.43
50000 - OFFICE OF MENTAL HEALTH		
Consolidated Advance	Bank of America, N.A.	1,317.18
Interim Assistance Agreement	Bank of America, N.A.	0.00
OMH Medication Grant Program Acct	Bank of America, N.A.	104,199.06
Reimbursement Account	Bank of America, N.A.	3,926,548.36
50010 - GREATER BINGHAMTON HEALTH CENTER		
Agency Advance Account	JPMorgan Chase Bank, N.A.	15,730.88
Facility Holding Account	JPMorgan Chase Bank, N.A.	14,794.93
Patient Cash Funds	JPMorgan Chase Bank, N.A.	449,869.69
Patients Cash Account	JPMorgan Chase Bank, N.A.	186,133.08
Security Deposit	JPMorgan Chase Bank, N.A.	2,974.44
50020 - KINGSBORO PSYCHIATRIC CENTER		
Advance Account	Banco Popular	22,328.00
Family Care	Banco Popular	14,290.87
Holding Account	Banco Popular	202,573.03
Medicaid Outpatient Travel	Banco Popular	640.51
Patient Cash Acct (MM)	Banco Popular	174,027.45
Patient Checking Account	Citibank	39,514.01
Patient Savings Account	Banco Popular	334,357.18
Security Deposit	Banco Popular	2,488.97
Urban Oasis/EBT	Banco Popular	49,983.32
50030 - BUFFALO PSYCHIATRIC CENTER		
Advance Account	Key Bank	60,531.50
Facility Holding	Key Bank	18,319.10
Patient Cash Checking	Key Bank	53,525.55
Patient Cash Checking	M&T Bank	2,500.00
50060 - HUDSON RIVER PSYCHIATRIC CENTER		
Patients Cash	JPMorgan Chase Bank, N.A.	0.00
50080 - MANHATTAN PSYCHIATRIC CENTER		
Advance Account	Sterling Bank	5,505.85
CD	Hudson Valley National Bank	425,038.94
General Fund Checking	Hudson Valley National Bank	3,488.17
Patient Cash Checking	Hudson Valley National Bank	742,050.83
Patients Money Market	Hudson Valley National Bank	72,832.78
Social Service Tokens	Hudson Valley National Bank	38,929.94
50110 - ROCHESTER PSYCHIATRIC CENTER		
Agency Advance	Key Bank	36,736.00
Facility Holding	Key Bank	80,451.33
Patients Cash Account	Key Bank	178,908.99
Patients Fund Savings	Key Bank	182,242.58
50120 - ST LAWRENCE PSYCHIATRIC CENTER		
Facility Advance Account	Community Bank	20,637.86
Facility Holding Account	Community Bank	15,285.11
Patients Cash Account	Community Bank	18,211.84
Patients Cash Savings	Community Bank	235,859.81
50150 - CREEDMOOR PSYCHIATRIC CENTER		
Advance Account	HSBC	47,582.79
Certificate Of Deposit	HSBC	150,000.00
Certificate Of Deposit	HSBC	250,000.00
Holding Account	HSBC	17,241.71
Medicaid Travel Account	HSBC	0.00
Money Management Account	HSBC	594,247.73
Patient Cash Account	HSBC	159,582.73
Rent Holding Account	HSBC	5,188.00
50170 - ROCKLAND PSYCHIATRIC CENTER		
Advance Account	JPMorgan Chase Bank, N.A.	51,760.73
Exchange	JPMorgan Chase Bank, N.A.	121,193.89
Holding Account	JPMorgan Chase Bank, N.A.	25,549.27
INVESTMENT CD A	Sterling Bank	100,000.00

Investment CD B	Sterling Bank	100,000.00
INVESTMENT CD C	Sterling Bank	100,000.00
INVESTMENT CD D	Sterling Bank	100,000.00
INVESTMENT CD G	Sterling Bank	100,000.00
INVESTMENT CD I	Sterling Bank	100,000.00
Patient Cash Account	JPMorgan Chase Bank, N.A.	50,000.00
Patient Cash Checking Account	JPMorgan Chase Bank, N.A.	120,010.80
Patient Cash Savings Account	JPMorgan Chase Bank, N.A.	1,103,056.66
50180 - NYS PSYCHIATRIC INSTITUTE		
Donation & Gift Acct/Patient Fund Acct	JPMorgan Chase Bank, N.A.	3,586.21
General	JPMorgan Chase Bank, N.A.	50,630.90
Petty Cash	JPMorgan Chase Bank, N.A.	1,647.92
50190 - RICHARD H HUTCHINGS PSYCHIATRIC CENTER		
Advance Account	Key Bank	7,265.71
Clients Count	Key Bank	127,533.16
Holding Account	Key Bank	17,782.87
50200 - PILGRIM PSYCHIATRIC CENTER		
Facility Advance Account	JPMorgan Chase Bank, N.A.	43,651.62
Facility Holding Acct	JPMorgan Chase Bank, N.A.	211,646.34
Patient Cash	JPMorgan Chase Bank, N.A.	729,804.05
50210 - MOHAWK VALLEY PSYCHIATRIC CENTER		
Advance Fund	Key Bank	6,667.19
Facility Holding	Key Bank	3,926.68
Patient Cash Checking	Key Bank	4,945.12
Patient Cash Savings	Key Bank	28,902.33
Security Deposit	Key Bank	8,514.76
50310 - BRONX PSYCHIATRIC CENTER		
CD	JPMorgan Chase Bank, N.A.	250,000.00
Consolidated Advance	JPMorgan Chase Bank, N.A.	11,352.33
Misc. Receipts	JPMorgan Chase Bank, N.A.	28,074.97
Patients Cash MM	JPMorgan Chase Bank, N.A.	87,582.31
Patients Checking Acct	JPMorgan Chase Bank, N.A.	533,114.35
50340 - NATHAN KLINE INSTITUTE		
Petty Cash Account	JPMorgan Chase Bank, N.A.	1,300.00
50350 - KIRBY FORENSIC PSYCHIATRIC CENTER		
Consolidated Advance Account	Hudson Valley National Bank	9,133.80
Holding Account	Hudson Valley National Bank	35,239.70
Patient Cash Account	Hudson Valley National Bank	104,899.50
50390 - CENTRAL NY PSYCHIATRIC CENTER		
Agency Advance Account	Key Bank	8,032.35
General Fund	Key Bank	6,699.13
Patient Checking	Key Bank	69,952.62
Patient Savings	Key Bank	176,313.25
Security Deposit	Key Bank	2,661.28
50440 - MID-HUDSON FORENSIC PSYCHIATRIC CENTER		
Facility Advance Account	Key Bank	No report received
Facility Holding Account	Key Bank	No report received
Patients Account	Key Bank	No report received
50520 - BROOKLYN CHILDRENS PSYCHIATRIC CENTER		
Petty Cash Advance Account	Banco Popular	4,965.00
50790 - SOUTH BEACH PSYCHIATRIC CENTER		
Agency Advance Checking	JPMorgan Chase Bank, N.A.	14,452.92
Facility Holding Checking	JPMorgan Chase Bank, N.A.	8,631.72
Family Care Checking	JPMorgan Chase Bank, N.A.	0.00
Patients Cash Checking	JPMorgan Chase Bank, N.A.	453,562.71
50800 - BRONX CHILDRENS PSYCHIATRIC CENTER		
General Fund	JPMorgan Chase Bank, N.A.	12,287.45
50810 - WESTERN NY CHILDRENS PSYCHIATRIC CENTER		
Agency Advance	Key Bank	727.43
Exchange Account	Key Bank	0.00
Patient Cash	Key Bank	374.41
50850 - SAGAMORE CHILDRENS PSYCHIATRIC CENTER		
Sagamore Patient Personal Acct	JPMorgan Chase Bank, N.A.	49,619.23
Sagamore Petty Cash Account	JPMorgan Chase Bank, N.A.	5,799.39
50860 - ROCKLAND CHILDRENS PSYCHIATRIC CENTER		
Advance Account	JPMorgan Chase Bank, N.A.	17,396.74
50870 - QUEENS CHILDRENS PSYCHIATRIC CENTER		
Money Management Account	HSBC	3,561.89
Queens Adv Acct	HSBC	11,170.97
Queens Childrens Account	HSBC	7,385.69
50920 - ELMIRA PSYCHIATRIC CENTER		
Certificate of Deposit	Chemung Canal Trust	100,000.00
Certificate of Deposit	Chemung Canal Trust	100,000.00
Certificate of Deposit	Chemung Canal Trust	100,000.00

Facility Advance Account	Chemung Canal Trust	11,262.09
General Fund Account	Chemung Canal Trust	4,091.80
IMMA	Chemung Canal Trust	45,845.64
Patients Fund Account	Chemung Canal Trust	178,696.71
50980 - CAPITAL DISTRICT PSYCHIATRIC CENTER		
Facility Advance Account	Key Bank	15,932.09
Facility Holding Account	Key Bank	84,647.86
Parking Garage Account	Key Bank	196,990.35
Patients Cash Checking Account	Key Bank	148,451.81
Patients Cash Savings Account	Key Bank	396,818.34
51000 - NYS OFFICE FOR PEOPLE WITH DEVELOPMENTAL DISABILITIES		
Petty Cash Acct	Bank of America, N.A.	No report received
Revenue Account	Bank of America, N.A.	1,853,525.29
51210 - HUDSON VALLEY DDSO		
EBT Checking	JPMorgan Chase Bank, N.A.	No report received
Exchange Account	JPMorgan Chase Bank, N.A.	No report received
General Fund	JPMorgan Chase Bank, N.A.	No report received
Investor's Choice Savings	JPMorgan Chase Bank, N.A.	No report received
Petty Cash	JPMorgan Chase Bank, N.A.	No report received
PTS Cash Checking	JPMorgan Chase Bank, N.A.	No report received
51240 - CENTRAL NY DDSO		
Advance Accounts	Key Bank	35,170.00
Clients Account	Key Bank	238,662.03
Consumer Cash - Savings	Adirondack Bank	642,561.31
Consumer Cash - Savings	Key Bank	3,413,287.80
Exchange Account	Key Bank	0.00
51250 - TACONIC DDSO		
Agency Advance Account	M&T Bank	25,496.14
General Fund	M&T Bank	6,194.16
Patients Cash Checking	M&T Bank	876,172.84
500 Balltown Rd Schenectady, NY		
TDDSO Representative Payee Savings Acct	The Adirondack Trust Company	391,581.29
51270 - STATEN ISLAND DDSO		
Clients Cash Account	JPMorgan Chase Bank, N.A.	No report received
General Fund Account	JPMorgan Chase Bank, N.A.	No report received
Money Market Account	JPMorgan Chase Bank, N.A.	No report received
Petty Cash Account	JPMorgan Chase Bank, N.A.	No report received
51290 - CAPITAL DISTRICT DDSO		
Agency Advance Account	Key Bank	No report received
Agency Advance Account	The Adirondack Trust Company	No report received
Consumer EBT Fund / Fiduciary Account	Key Bank	No report received
Consumers Fund / Fiduciary Account	Key Bank	No report received
Patients Account Direct Deposit / Fiduciary Acct	The Adirondack Trust Company	No report received
Patients Fund Operating Acct / Fiduciary Acct	The Adirondack Trust Company	No report received
Summer Camp - Fiduciary Acct	The Adirondack Trust Company	No report received
51330 - WESTERN NY DDSO		
Agency Advance Account	M&T Bank	80,709.86
CD-Patient Property Funds	Key Bank	3,382,627.89
Exchange Account	M&T Bank	3,539.49
General Account	Community Bank	129,848.10
Patient Property Funds	Adirondack Bank	124,194.51
Patient Property Funds	M&T Bank	298,126.26
51350 - LONG ISLAND DDSO		
Consolidated Advance	JPMorgan Chase Bank, N.A.	No report received
EBT Checking Account	JPMorgan Chase Bank, N.A.	No report received
General Fund	JPMorgan Chase Bank, N.A.	No report received
Patient Cash	JPMorgan Chase Bank, N.A.	No report received
51380 - BROOKLYN DDSO		
CD	Banco Popular	No report received
Consumers Fund	JPMorgan Chase Bank, N.A.	363,571.46
Consumers Money Management	JPMorgan Chase Bank, N.A.	68,564,461.00
Miscellaneous Receipts	JPMorgan Chase Bank, N.A.	218,382.15
Petty Cash - Mental Hygiene Brooklyn	JPMorgan Chase Bank, N.A.	22,307.11
Thomas Shirtz Community Service	JPMorgan Chase Bank, N.A.	8,218.32
51420 - SUNMOUNT DDSO		
Certificate of Deposit	Community Bank	No report received
Community Store Fund	Community Bank	No report received
Exchange Fund	Community Bank	No report received
Residents Fund	Community Bank	No report received
Sunmount Advance Account	Community Bank	No report received
Sunmount EBT Checking Account	Community Bank	No report received
51430 - INSTITUTE FOR BASIC RESEARCH IN DEVELOPMENTAL DISABILITIES		
Petty Cash	JPMorgan Chase Bank, N.A.	3,000.00
51450 - METRO NY DDSO		

Consolidated Acct	JPMorgan Chase Bank, N.A.	26,434.62
Manhattan DDSO Client Cash	JPMorgan Chase Bank, N.A.	28,988.85
Metro NY DDSO Food Stamp Acct	JPMorgan Chase Bank, N.A.	0.00
Metro NY DDSO REP PAYEE ACCOUNT	JPMorgan Chase Bank, N.A.	336,637.61
Patients Cash Account	JPMorgan Chase Bank, N.A.	18,279.17
Patients Money Market Account	JPMorgan Chase Bank, N.A.	519.15
Petty Cash	JPMorgan Chase Bank, N.A.	0.00
51470 - BERNARD M FINESON DDSO		
Bernard Fineson DDSO Food Stamp Acct	JPMorgan Chase Bank, N.A.	0.00
Money Market Account	JPMorgan Chase Bank, N.A.	306,364.68
Patient Fund Account	JPMorgan Chase Bank, N.A.	297,673.89
Petty Cash Fund	JPMorgan Chase Bank, N.A.	8,767.76
51780 - FINGER LAKES DDSO		
Advance Account	JPMorgan Chase Bank, N.A.	No report received
F.L. Newark Resident Checking	Community Bank	No report received
Finger Lakes Resident Checking	JPMorgan Chase Bank, N.A.	No report received
FL Vending Machine Account	Community Bank	No report received
General Account	JPMorgan Chase Bank, N.A.	No report received
Geneseo Client Cash	Bank of America, N.A.	No report received
Kelsey Trust Fund	Bank of America, N.A.	No report received
Mary Moore Trust Fund	Bank of America, N.A.	No report received
Monroe Resident Checking	JPMorgan Chase Bank, N.A.	No report received
Monroe Resident Savings	JPMorgan Chase Bank, N.A.	No report received
Patient Food Stamp Account	JPMorgan Chase Bank, N.A.	No report received
51940 - BROOME DDSO		
Broome DDSO	M&T Bank	No report received
Broome DDSO - Advance Account	M&T Bank	No report received
Broome DDSO - General Fund	M&T Bank	No report received
Disabled Individuals Savings	Key Bank	No report received
Disabled Individuals Savings	M&T Bank	No report received
OMRDD Broome DDSO EBT Checking	M&T Bank	No report received
53000 - OFFICE OF ALCOHOLISM & SUBSTANCE ABUSE SERVICES		
Agency Advance Acct	Key Bank	No report received
Patient Fees	Bank of America, N.A.	No report received
Revenue Account	Bank of America, N.A.	No report received
53020 - KINGSBORO ALCOHOLISM TREATMENT CENTER		
Petty Cash Advance Account	Bank of America, N.A.	526.41
70000 - CUNY UNIVERSITY MANAGEMENT & PROGRAM BOARD OF HIGHER EDUCATION		
CUNY Admin Imprest Cash Account	Citibank	10,709.63
70030 - CUNY HUNTER COLLEGE		
Travel Petty Cash Account	Citibank	46,896.12
70060 - CUNY JOHN JAY COLLEGE		
CUNY JOHN JAY COLLEGE IMPREST FUND	Citibank	16,358.08
70070 - CUNY LEHMAN COLLEGE		
Lehman College	Citibank	4,345.50
70080 - CUNY YORK COLLEGE		
York College Imprest Funds	Citibank	11,228.64
York College Travel Advance Fund	Citibank	817.00
70100 - CUNY COLLEGE OF STATEN ISLAND		
CSI Imprest Cash	TD Bank	4,908.91
70120 - CUNY NYC COLLEGE OF TECHNOLOGY		
NY City College Of Technology Technical College Imprest Fund	Popular Community Bank	5,000.00
70150 - CUNY SCHOOL OF LAW		
CUNY School Of Law	TD Bank	28,954.89

The above balances represent funds deposited in various banking institutions as reported by the State department and agencies, and published in accordance with Section 107 of the State Finance Law.

Division of the Treasury, Department of Taxation and Finance
Christopher Curtis Deputy Commissioner and State Treasurer

**FUNDS OF THE DIVISION OF THE TREASURY OF WHICH THE COMMISSIONER OF TAXATION AND FINANCE
IS THE SOLE CUSTODIAN WITH BALANCES AS OF 09/30/2019**

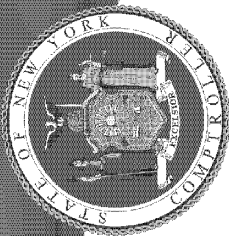
ACCOUNT DESCRIPTION	DEPOSITORY	BALANCE AS OF 9/30/2019
COMMUNITY COLLEGE TUITION AND INSTRUCTIONAL INCOME FUND		
0231 Upstate Community Colleges, Series 2005A	Key Bank	0.00
0232 Upstate Community Colleges, Series 2005B	Key Bank	10,563,984.61
DORMITORY AUTHORITY OF THE STATE OF NEW YORK		
0039 Mental Hygiene Facilities Improvement Fund Income Account	Bank of America, N.A.	1,088.94
0070 Hospital and Nursing Home Project Operating Fund	Key Bank	0.00
0104 Lincoln Medical and Mental Health Center Project Construction Account	Key Bank	20,354.46
0105 Greenpoint Medical and Mental Health Center Project Construction Account	Key Bank	306,179.99
0149 State Advances Repayment Account	Bank of America, N.A.	0.00
1202 DA DFRF MAC #1202 Binghamton	Key Bank	1,000.51
1203 DA DFRF MAC #1203 Oneonta	Key Bank	800,752.68
1205 DA DFRF MAC #1205 Delhi	Key Bank	673,379.04
1206 DA DFRF MAC #1206 Buffalo Univ	Key Bank	2,941,429.22
1207 DA DFRF MAC #1207 Buffalo College	Key Bank	1,000.86
1208 DA DFRF MAC #1208 Alfred	Key Bank	949,011.26
1209 DA DFRF Mac #1209 Fredonia	Key Bank	776,799.33
1211 DA DFRF Mac #1211 Upstate Medical	Key Bank	1,000.65
1212 DA DFRF Mac #1212 Oswego	Key Bank	1,311,089.97
1214 DA DFRF Mac #1214 Cortland	Key Bank	5,434,485.01
1215 DA DFRF MAC #1215 Stony Brook	Key Bank	6,423,985.18
1216 DA DFRF MAC #1216 Old Westbury	Key Bank	1,000.70
1217 DA DFRF MAC #1217 Farmingdale	Key Bank	94,225.68
1218 DA DFRF MAC #1218 Downstate Med	Key Bank	602,994.04
1219 DA DFRF MAC #1219 Maritime	Key Bank	1,130,659.06
1221 DA DFRF MAC #1221 Brockport	Key Bank	1,000.17
1222 DA DFRF MAC #1222 Geneseo	Key Bank	3,158,573.19
1223 DA DFRF MAC #1223 Purchase	Key Bank	2,482,065.45
1224 DA DFRF MAC #1224 New Paltz	Key Bank	1,000.10
1225 DA DFRF MAC #1225 Canton	Key Bank	1,200,394.78
1226 DA DFRF MAC #1226 Plattsburgh	Key Bank	1,000.15
1227 DA DFRF MAC #1227 Potsdam	Key Bank	1,000.06
1228 DA DFRF MAC #1228 Morrisville	Key Bank	370,769.42
1229 DA DFRF MAC #1229 SUNYIT	Key Bank	241,184.08
1230 DA DFRF MAC #1230 Cobleskill	Key Bank	4,168,703.16
1239 DA DFRF MAC #1239 Albany	Key Bank	1,000.13
1240 Dormitory Authority Collection Account - Mac 1240	Key Bank	0.00
2202 DA OMR MAC #2202 Binghamton	Key Bank	1,171.66
2203 DA OMR MAC #2203 Oneonta	Key Bank	1,171.08
2205 DA OMR MAC #2205 Delhi	Key Bank	1,014.75
2206 DA OMR MAC #2206 Buffalo Univ	Key Bank	1,052.57
2207 DA OMR MAC #2207 Buffalo College	Key Bank	197.65
2208 DA OMR MAC #2208 Alfred	Key Bank	302.83
2209 DA OMR MAC #2209 Fredonia	Key Bank	1,126.06
2211 DA OMR MAC #2211 Upstate Medical	Key Bank	1,026.35
2212 DA OMR MAC #2212 Oswego	Key Bank	704.18
2214 DA OMR MAC #2214 Cortland	Key Bank	1,588.35
2215 DA OMR MAC #2215 Stony Brook	Key Bank	340.77
2216 DA OMR MAC #2216 Old Westbury	Key Bank	1,232.55
2217 DA OMR MAC #2217 Farmingdale	Key Bank	1,016.86
2218 DA OMR MAC #2218 Downstate Med	Key Bank	1,023.17
2219 DA OMR MAC #2219 Maritime	Key Bank	1,216.57
2221 DA OMR MAC #2221 Brockport	Key Bank	130.53
2222 DA OMR MAC #2222 Geneseo	Key Bank	1,141.65
2223 DA OMR MAC #2223 Purchase	Key Bank	168.99
2224 DA OMR MAC #2224 New Paltz	Key Bank	269.04
2225 DA OMR MAC #2225 Canton	Key Bank	68.15
2226 DA OMR MAC #2226 Plattsburgh	Key Bank	1,006.33
2227 DA OMR MAC #2227 Potsdam	Key Bank	1,211.49
2228 DA OMR MAC #2228 Morrisville	Key Bank	73.06
2229 DA OMR MAC #2229 SUNYIT	Key Bank	92.93
2230 DA OMR MAC #2230 Cobleskill	Key Bank	1,195.43
2239 DA OMR MAC #2239 Albany	Key Bank	1,064.47
2240 DA UNALLOCATED FD MAC #2240 System Admin	Key Bank	1,011.34
HOMELESS HOUSING ASSISTANCE CORPORATION		
0320 Social Services Homeless Housing and Assistance Corporation Operating Account	Key Bank	4,620,877.98
NELSON A. ROCKEFELLER EMPIRE STATE PLAZA PERFORMING ARTS CENTER CORPORATION		

0315	The Egg	Key Bank	239,183.54
NEW YORK CONVENTION CENTER			
0300	Operating Fund	JPMorgan Chase Bank, N.A.	6,586,988.53
NEW YORK JOB DEVELOPMENT AUTHORITY			
0036	Special Purpose Fund	Bank of America, N.A.	944,901.35
0371	Series H Commercial Paper	Bank of America, N.A.	1,231,227.44
0389	Daily Demand Special Purpose Bonds Series 1992A-B	JPMorgan Chase Bank, N.A.	325,814.10
0423	Escrow Account for USA Industries Inc.	Key Bank	37,274.67
0424	Escrow Account for Pluritec USA Inc.	Key Bank	19,782.38
0426	Agriculture Loan Program	Key Bank	13,287.05
NYS AFFORDABLE HOUSING CORPORATION			
0491	Disbursement Account	JPMorgan Chase Bank, N.A.	464,305.51
0520	Development Account	Key Bank	17,768,662.24
0521	Development Account II	Key Bank	0.00
0522	Repayment Account	Key Bank	665,899.55
0523	Recapture Account	Key Bank	82,330.89
0880	Payroll Account	JPMorgan Chase Bank, N.A.	0.00
NYS DEPARTMENT OF TAXATION AND FINANCE			
0510	Excelsior Linked Deposit Fund	Key Bank	0.00
0600	World Trade Center Memorial Foundation Fund Account	Bank of America, N.A.	105,707.02
0625	Advance Acct/Imprest Confidential Fund	Bank of America, N.A.	29,007.95
0626	Criminal Investigation Division	Key Bank	379,040.25
0778	PIT/STAR Rebate Exchange Account	JPMorgan Chase Bank, N.A.	125.00
0800	NYS IRS PIT offset account	Key Bank	1,729,422.82
0827	Stock Transfer Incentive Fund	Key Bank	1,189,509.02
0847	Pari-Mutuel Revenue Transfer Account	Key Bank	1,588,731.82
0848	Off-Track Bet Tax Revenue Transfer Account	Key Bank	437,906.04
NYS ENERGY RESEARCH & DEVELOPMENT AUTHORITY			
0028	Green Jobs - Green New York Fund	Bank of America, N.A.	0.00
0030	NYS Energy Research and Development Authority	Bank of America, N.A.	(28,983,186.99)
NYS HOUSING FINANCE AGENCY			
0032	Operating Fund	Key Bank	0.00
0252	Energy Conservation/Tenant Health & Safety Improvement Account	JPMorgan Chase Bank, N.A.	189,145.78
0254	Agency Assisted Housing Operation Fund	JPMorgan Chase Bank, N.A.	1,407,924.34
0255	Residual Indebtedness Program Operating Fund	JPMorgan Chase Bank, N.A.	0.00
0266	Community Related Programs Operating Account	Key Bank	0.00
0267	Neighborhood Stabilization Program - Round 1	JPMorgan Chase Bank, N.A.	62,646.12
0270	Claims Repayment Account	Key Bank	0.00
0271	Pre-Bond Revenue Account	Key Bank	0.00
0274	NYSHFA Special Reserve Fund	JPMorgan Chase Bank, N.A.	606,944.81
0277	Amalgamated Warbasse Houses Construction Defect Repair Fund	JPMorgan Chase Bank, N.A.	0.00
0283	Small Owner's Assistance Program Account	Key Bank	74,031.52
0285	Public Purpose Account	Key Bank	893,345.87
0286	Disbursement Account	Key Bank	4,703,970.85
0287	Infrastructure Development Fund	Key Bank	8,595.40
0288	Mobile Home Cooperative Fund	Key Bank	23,302.18
0290	Low Rent Lease Account	Key Bank	0.00
0292	Homeless Housing Initiatives	Key Bank	198,761.96
0294	Housing Plan Fund	Key Bank	9,964,752.03
0301	HFA - Subsidy Repayment Account	Key Bank	148,065.03
0305	HPD Disbursement Fund	Key Bank	640,279.11
0879	Payroll Account	JPMorgan Chase Bank, N.A.	124,951.85
NYS HOUSING TRUST FUND CORPORATION			
0458	Section 8 Housing Assistance Payment Account	JPMorgan Chase Bank, N.A.	706,739.60
0460	Escrow Account	M&T Bank	422,126.80
0461	Housing Modernization Account	M&T Bank	1,545,817.31
0462	General Custodial Account	M&T Bank	58,611,542.23
0463	General Administrative Account	M&T Bank	0.00
0464	Turnkey Account	M&T Bank	0.00
0465	Home Program Account	M&T Bank	8,780,212.27
0466	Homes for Working Families Account	M&T Bank	6,453,240.36
0467	Section 8 Administrative Account	M&T Bank	14,817,460.54
0468	Small Cities Administrative Account	M&T Bank	0.00
0469	OCR Community Miscellaneous Programs Account	M&T Bank	9,994,306.31
0470	HCV Main Account	Bank of America, N.A.	22,484,275.53
0471	Empire State Relief Fund	M&T Bank	579,719.00
0472	Master Escrow Account	Bank of America, N.A.	0.00
0473	Family Self-Sufficiency Account	Bank of America, N.A.	3,848,460.56
0474	Moderate Rehabilitation Account	Bank of America, N.A.	0.00

0475	Reserve Account	Bank of America, N.A.	363,129.79
0476	Voucher Fee Account	Bank of America, N.A.	0.00
0477	Five-Year Mainstream Account	Bank of America, N.A.	0.00
0480	OHP Miscellaneous Programs Account	Bank of America, N.A.	471,746.00
0891	Small Cities Community Development Block Grant Program	M&T Bank	644,614.17
0892	Disaster Recovery Initiative Account	M&T Bank	122,615.02
0893	Payroll Account for Small Cities CDBG Program	M&T Bank	158.69
0895	HTFC Storm Recovery Payment	M&T Bank	2,338,537.33
0899	HTFC Storm Recovery Lockbox	US Bank	35,575.98
NYS INSURANCE DEPARTMENT			
0001	Property/Casualty Insurance Security Fund	Key Bank	232,098.63
0002	Public Motor Vehicle Security Fund	JPMorgan Chase Bank, N.A.	610,996.48
0003	Workers' Compensation Security Fund	JPMorgan Chase Bank, N.A.	500,008.31
0004	Medical Indemnity Fund	JPMorgan Chase Bank, N.A.	(349,000.84)
NYS TEACHERS RETIREMENT SYSTEM			
0052	Master Funding Account	State Street Bank & Trust Co.	0.00
0052	Main Account	JPMorgan Chase Bank, N.A.	6,858,769.04
0853	Excess Benefit Fund	JPMorgan Chase Bank, N.A.	3,511,751.00
0854	NYSTRS Electronic Value Transfer System	JPMorgan Chase Bank, N.A.	0.00
STATE INSURANCE FUND			
0053	State Insurance Fund	Bank of America, N.A.	18,939,925.74
0054	State Insurance Fund	Bank of America, N.A.	1,570,992.25
0055	State Insurance Fund	Bank of America, N.A.	1,598,873.18
0861	Disability Benefits Fund Tax Escrow Account	Bank of America, N.A.	28,300.94
STATE UNIVERSITY CONSTRUCTION FUND			
0034	Income Fund	Key Bank	794,978.14
0075	Educational Facilities Revenue Bonds Debt Service Account	Key Bank	30,955.81
0870	Deductions Account	Key Bank	97,940.78
0871	Bio-Tech Incubator at Farmingdale	Key Bank	0.00
WORKERS COMPENSATION BOARD			
0006	Fund for Reopened Cases	Bank of America, N.A.	0.00
0012	WCB Asset Transfer Account	Bank of America, N.A.	0.00
0013	WCB Assessment Revenue Clearing	Bank of America, N.A.	0.00
008K	Special Fund for Disability Benefits	Key Bank	42,429.43

The above balances represent funds deposited in various banking institutions per the records of the Department of Taxation and Finance, Division of Treasury, and published in accordance with Section 107 of the State Finance Law.

Division of the Treasury, Department of Taxation and Finance
Christopher Curtis Deputy Commissioner and State Treasurer



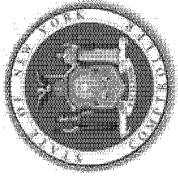
Office of the NEW YORK STATE
COMPTROLLER

Comptroller's Monthly Report on State Funds Cash Basis of Accounting

SEPTEMBER 2019

NYS Comptroller
THOMAS P. DINAPOLI

Office of Operations
Division of Payroll, Accounting and Revenue Services
Bureau of Financial Reporting and Oil Spill Remediation



STATE OF NEW YORK
OFFICE OF OPERATIONS
DIVISION OF PAYROLL, ACCOUNTING AND REVENUE SERVICES
BUREAU OF FINANCIAL REPORTING AND OIL SPILL REMEDIATION

THOMAS P. DINAPOLI
STATE COMPTROLLER

COMPTROLLER'S MONTHLY REPORT TO THE LEGISLATURE ON STATE FUNDS - CASH BASIS OF ACCOUNTING

September 30, 2019

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**STATE OF NEW YORK
GOVERNMENTAL FUNDS
COMBINED STATEMENT OF CASH RECEIPTS, DISBURSEMENTS AND CHANGES IN FUND BALANCES**
(amounts in millions)

EXHIBIT A

	GENERAL			SPECIAL REVENUE			DEBT SERVICE			CAPITAL PROJECTS			TOTAL GOVERNMENTAL FUNDS			YEAR OVER YEAR		
	MONTH OF SEP. 2019	6 MOS. ENDED SEP. 30, 2019	MONTH OF SEP. 2019	MONTH OF SEP. 2019	6 MOS. ENDED SEP. 30, 2019	MONTH OF SEP. 2019	MONTH OF SEP. 2019	6 MOS. ENDED SEP. 30, 2019	MONTH OF SEP. 2019	MONTH OF SEP. 2019	6 MOS. ENDED SEP. 30, 2019	MONTH OF SEP. 2019	MONTH OF SEP. 2019	6 MOS. ENDED SEP. 30, 2019	MONTH OF SEP. 2019	6 MOS. ENDED SEP. 30, 2019	\$ Increase/ (Decrease)	% Increase/ (Decrease)
RECEIPTS:																		
Personal Income Tax	\$ 2,415.7	\$ 13,990.7	\$ -	\$ -	\$ -	\$ 2,415.7	\$ 13,990.7	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 4,831.4	\$ 27,981.4	\$ 4,796.6	\$ 23,661.6	\$ 4,318.8	18.3%
Consumption/Use Taxes	813.7	4,059.5	181.2	1,013.0	5,165.7	761.5	3,754.7	768.8	338.1	768.8	338.1	768.8	1,835.2	9,165.3	1,737.5	8,827.2	338.1	3.8%
Business Taxes	1,283.6	2,928.5	274.9	962.1	4,195.5	-	-	559.9	348.0	559.9	348.0	559.9	1,614.4	4,238.6	1,290.1	3,704.8	533.8	14.4%
Other Taxes	55.9	429.8	-	-	-	85.4	539.0	11.9	47.6	11.9	47.6	11.9	153.2	1,016.4	218.1	1,097.9	(81.5)	-7.4%
Miscellaneous Receipts	278.7	1,713.3	1,885.7	9,431.4	15,991.1	15.9	265.6	581.6	1,657.1	581.6	1,657.1	581.6	2,761.9	12,896.2	2,158.9	12,896.2	171.2	1.3%
Federal Receipts	0.2	0.3	5,484.0	31,167.1	36.8	-	36.8	179.6	822.3	179.6	822.3	179.6	5,663.8	32,026.5	6,347.7	30,885.2	1,131.3	3.7%
Total Receipts	4,847.8	23,122.1	7,825.8	42,573.6	18,368.8	3,278.5	18,368.8	911.8	3,213.1	911.8	3,213.1	911.8	16,863.9	87,495.6	16,546.9	81,082.9	6,412.7	7.9%
DISBURSEMENTS:																		
Local Assistance Grants:																		
Education	2,342.9	11,720.1	2,698.8	4,760.9	-	-	-	42.4	87.8	42.4	87.8	42.4	5,084.1	16,568.8	4,043.6	16,133.7	425.1	2.7%
Environment and Recreation	0.8	1.3	0.5	1.4	-	-	-	17.6	107.3	17.6	107.3	17.6	18.9	110.0	7.5	101.1	8.9	8.8%
General Government	48.3	688.3	25.0	130.7	-	-	-	59.1	418.3	59.1	418.3	59.1	132.4	1,237.3	192.2	1,227.5	9.8	0.8%
Public Health:																		
Medicaid	1,308.3	9,980.9	3,752.2	22,913.4	-	-	-	-	-	-	-	-	5,060.5	32,894.3	5,436.1	31,279.5	1,614.8	5.2%
Other Public Health	213.0	1,149.0	721.0	3,751.9	-	-	-	20.2	206.9	20.2	206.9	20.2	954.2	5,107.8	1,153.9	5,271.8	167.6	-3.1%
Public Safety	24.8	92.7	66.9	582.6	-	-	-	12.0	21.6	12.0	21.6	12.0	103.7	696.9	88.9	694.3	2.6	0.4%
Public Welfare	170.7	832.1	493.0	1,540.1	-	-	-	124.8	154.9	124.8	154.9	124.8	708.5	2,527.1	688.8	3,802.4	(1,275.3)	-33.5%
Support and Regulate Business	7.3	69.8	5.2	28.3	-	-	-	61.9	515.3	61.9	515.3	61.9	74.4	613.4	48.2	485.2	128.2	26.4%
Transportation	(0.1)	62.0	330.5	1,728.1	-	-	-	508.7	959.1	508.7	959.1	508.7	839.1	2,749.2	531.1	2,883.3	(134.1)	-4.7%
Total Local Assistance Grants	4,116.0	24,596.2	8,093.1	35,437.4	-	-	-	764.7	2,471.2	764.7	2,471.2	764.7	12,973.8	62,504.8	12,191.3	61,878.8	626.0	1.0%
Departmental Operations:																		
Personal Service	676.0	4,666.1	439.1	2,878.4	-	-	-	-	-	-	-	-	1,115.1	7,544.5	1,076.6	7,138.1	406.4	5.7%
Non-Personal Service	188.9	1,228.2	402.6	2,066.3	1.5	22.5	-	-	-	-	-	-	593.0	3,257.0	623.2	3,314.4	(57.4)	-1.7%
General State Charges	477.0	4,726.8	95.7	616.7	-	-	-	-	-	-	-	-	572.7	5,343.5	541.5	5,300.0	43.5	0.8%
Debt Service, Including Payments on Financing Agreements	-	-	-	-	433.2	976.6	976.6	592.6	3,432.3	592.6	3,432.3	592.6	433.2	976.6	831.2	1,308.7	(332.1)	-25.4%
Capital Projects	-	-	-	-	-	-	-	-	-	-	-	-	16,270.4	83,058.7	15,871.8	82,450.3	608.4	0.7%
Total Disbursements	5,457.9	35,217.3	9,030.5	40,938.8	434.7	995.1	995.1	1,347.3	5,903.5	1,347.3	5,903.5	1,347.3	16,270.4	83,058.7	15,871.8	82,450.3	608.4	0.7%
Excess (Deficiency) of Receipts over Disbursements	(610.1)	(12,095.2)	(1,204.7)	1,634.8	17,587.7	(435.5)	17,587.7	(435.5)	(2,690.4)	(435.5)	(2,690.4)	(435.5)	593.5	4,436.9	677.1	(1,367.4)	5,804.3	424.5%
OTHER FINANCING SOURCES (USES):																		
Bond Proceeds (net)	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Transfers from Other Funds	3,581.6	18,712.8	136.5	1,628.2	270.9	1,251.3	752.3	3,054.3	4,741.3	752.3	3,054.3	4,741.3	4,741.3	24,646.6	4,223.7	21,166.1	3,480.5	16.4%
Transfers to Other Funds	(808.1)	(4,773.5)	(151.6)	(863.9)	(3,552.0)	(18,596.8)	(18,596.8)	(253.5)	(514.1)	(253.5)	(514.1)	(253.5)	(4,765.2)	(24,748.3)	(4,234.0)	(21,213.3)	3,535.0	16.7%
Total Other Financing Sources (Uses)	2,773.5	13,939.3	(151.1)	764.3	(3,281.1)	(17,345.5)	(17,345.5)	498.8	2,540.2	498.8	2,540.2	498.8	(23.9)	(101.7)	(10.3)	(47.2)	(54.5)	115.5%
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	2,163.4	1,844.1	(1,219.8)	2,399.1	242.2	(437.3)	242.2	63.3	(150.2)	63.3	(150.2)	63.3	568.6	4,335.2	665.8	(1,414.6)	5,749.8	406.5%
Beginning Fund Balances (Deficits)	8,886.4	7,205.7	7,461.3	3,842.4	744.3	64.8	64.8	(1,351.4)	(1,137.9)	(1,351.4)	(1,137.9)	(1,351.4)	13,740.6	9,975.0	10,667.6	12,749.0	(2,774.0)	-21.8%
Ending Fund Balances (Deficits)	\$ 9,049.8	\$ 9,049.8	\$ 6,241.5	\$ 6,241.5	\$ 307.0	\$ 307.0	\$ 307.0	\$ 1,288.1	\$ (1,288.1)	\$ 1,288.1	\$ (1,288.1)	\$ 1,288.1	\$ 14,310.2	\$ 14,310.2	\$ 11,334.4	\$ 11,334.4	\$ 2,975.8	26.3%

STATE OF NEW YORK

GOVERNMENTAL FUNDS-STATE OPERATING (*)

COMBINED STATEMENT OF CASH RECEIPTS, DISBURSEMENTS AND CHANGES IN FUND BALANCES

(amounts in millions)

EXHIBIT A
SUPPLEMENTAL

	GENERAL		STATE SPECIAL REVENUE (**)		DEBT SERVICE		TOTAL STATE OPERATING FUNDS			
	MONTH OF SEP. 2019	6 MOS. ENDED SEP. 30, 2019	MONTH OF SEP. 2019	6 MOS. ENDED SEP. 30, 2019	MONTH OF SEP. 2019	6 MOS. ENDED SEP. 30, 2019	MONTH OF SEP. 2019	6 MOS. ENDED SEP. 30, 2019	MONTH OF SEP. 2018	6 MOS. ENDED SEP. 30, 2018
RECEIPTS:										
Personal Income Tax	\$ 2,415.7	\$ 13,990.7	\$ -	\$ -	\$ 2,415.7	\$ 13,990.7	\$ 4,831.4	\$ 27,981.4	\$ 4,796.6	\$ 23,661.6
Consumption/Use Taxes	813.7	4,059.5	181.2	1,013.0	761.5	3,754.7	1,756.4	8,827.2	1,659.8	8,489.8
Business Taxes	1,283.6	2,928.5	274.9	962.1	-	-	1,558.5	3,890.6	1,230.0	3,368.5
Other Taxes	55.9	429.8	-	-	85.4	539.0	141.3	968.8	206.2	1,050.3
Miscellaneous Receipts	278.7	1,713.3	1,874.2	9,305.5	15.9	265.6	2,168.8	11,284.4	2,041.6	11,622.3
Federal Receipts	0.2	0.3	0.1	17.6	-	36.8	0.3	54.7	0.1	34.3
Total Receipts	4,847.8	23,122.1	2,330.4	11,298.2	3,278.5	18,586.8	10,456.7	53,007.1	9,934.3	48,226.8
DISBURSEMENTS:										
Local Assistance Grants:										
Education	2,342.9	11,720.1	2,511.4	2,863.1	-	-	4,854.3	14,583.2	3,896.4	13,890.0
Environment and Recreation	0.8	1.3	0.2	0.8	-	-	1.0	2.1	0.3	1.9
General Government	48.3	688.3	22.9	101.5	-	-	71.2	789.8	120.6	802.8
Public Health:										
Medicaid	1,308.3	9,980.9	607.5	3,122.6	-	-	1,915.8	13,103.5	2,051.9	11,887.6
Other Public Health	213.0	1,149.0	54.2	460.3	-	-	267.2	1,609.3	(86.5)	1,761.9
Public Safety	24.8	92.7	17.0	97.2	-	-	41.8	189.9	27.4	183.7
Public Welfare	170.7	832.1	(0.7)	2.9	-	-	170.0	835.0	364.1	1,134.3
Support and Regulate Business	7.3	69.8	4.4	22.7	-	-	11.7	92.5	10.5	89.1
Transportation	(0.1)	62.0	327.2	1,702.1	-	-	327.1	1,764.1	325.8	2,076.3
Total Local Assistance Grants	4,116.0	24,596.2	3,544.1	9,373.2	-	-	7,660.1	32,969.4	6,750.5	31,827.6
Departmental Operations:										
Personal Service	676.0	4,666.1	388.2	2,565.3	-	-	1,064.2	7,231.4	1,026.0	6,810.6
Non-Personal Service	188.9	1,228.2	216.2	1,390.0	1.5	22.5	406.6	2,640.7	423.4	2,665.3
General State Charges	477.0	4,726.8	71.2	452.6	-	-	548.2	5,179.4	514.0	5,050.4
Debt Service, Including Payments on Financing Agreements	-	-	-	-	433.2	976.6	433.2	976.6	831.2	1,308.7
Capital Projects	-	-	-	-	-	-	-	-	-	-
Total Disbursements	5,457.9	35,217.3	4,219.7	12,781.1	434.7	999.1	10,112.3	48,987.5	9,525.1	47,662.6
Excess (Deficiency) of Receipts over Disbursements	(610.1)	(12,095.2)	(1,889.3)	(1,482.9)	2,843.8	17,587.7	344.4	4,009.6	409.2	564.2
OTHER FINANCING SOURCES (USES):										
Transfers from Other Funds	3,581.6	18,712.8	168.6	2,004.3	270.9	1,251.3	4,021.1	21,968.4	3,800.7	18,763.2
Transfers to Other Funds	(808.1)	(4,773.5)	(107.2)	(335.4)	(3,552.0)	(18,596.8)	(4,467.3)	(23,705.7)	(3,920.3)	(20,308.7)
Total Other Financing Sources (Uses)	2,773.5	13,939.3	61.4	1,668.9	(3,281.1)	(17,345.5)	(446.2)	(1,737.3)	(119.6)	(1,555.5)
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	2,163.4	1,844.1	(1,827.9)	186.0	(437.3)	242.2	(101.8)	2,272.3	289.6	(981.3)
Beginning Fund Balances (Deficits)	6,886.4	7,205.7	7,104.7	5,090.8	744.3	64.8	14,735.4	12,361.3	12,325.7	13,606.6
Ending Fund Balances (Deficits)	\$ 9,049.8	\$ 9,049.8	\$ 5,276.8	\$ 5,276.8	\$ 307.0	\$ 307.0	\$ 14,633.6	\$ 14,633.6	\$ 12,615.3	\$ 12,615.3

(*) State Operating Funds are comprised of the General Fund, State Special Revenue Funds supported by activities from dedicated revenue sources (including operating transfers from Federal Funds) and Debt Service Funds.

(**) Eliminations between Special Revenue - State and Federal Funds are not included.

GOVERNMENTAL FUNDS FOOTNOTES

1. Certain disbursements from Capital Projects funds are financed by operating transfers from other funds, proceeds of State bonds and notes, and reimbursements received from Public Authorities and the Federal Government. The amounts shown below represent disbursements to be reimbursed in future months from the sources indicated:

Urban Development Corporation (Correctional Facilities)	\$366.0	million
Urban Development Corporation (Youth Facilities)	24.6	
Housing Finance Agency (HFA)	244.9	
Housing Assistance Fund	12.9	
Dormitory Authority (Mental Hygiene)	381.3	
Dormitory Authority and State University Income Fund	475.0	
Federal Capital Projects	528.5	
State bond and note proceeds	77.0	

2. Operating Transfers constitute legally authorized transfers from a fund receiving revenues to a fund through which disbursements will ultimately be made. The more significant transfers include:

General Fund "Transfers to Other Funds" are as follows:

State Capital Projects Fund	\$2,240.4	million
General Debt Service Fund	225.7	
Banking Services Account	17.9	
Building Administration Account	8.0	
Business Service Center Account	8.1	
Centralized Tech Services Account	11.5	
Court Facilities Incentive Aid Fund	65.3	
Dedicated Highway & Bridge Trust Fund	33.0	
Dedicated Infrastructure Investment Fund	670.0	
Dedicated Mass Transportation - Railroad Account	4.4	
Dedicated Mass Transportation - Transit Authority Account	24.4	
Dedicated Mass Transportation - (Non-MTA)	2.5	
Housing Debt Service Fund	1.9	
MTA Financial Assistance Fund	146.6	
MTA Operating Assistance Fund	31.5	
NY Central Business District Trust	37.5	
NYC County Courts Operating Account	3.2	
SUNY - Income Fund	1,007.3	

Also included in the General Fund are transfers representing payments for patients residing in State-operated Health, Mental Hygiene and State University facilities to Debt Service funds (\$4.4m), and the State University Income Fund (\$230.0m).

\$72(4)(b) was added to the State Finance Law in 2010 to permit the State's General Debt Service Fund to maintain a cash reserve for the payment of debt service, and related expenses, during the current fiscal quarter. As of September 30, 2019 - pursuant to a certification of the Budget Director - payment obligations were met out of these reserves and future payment amounts were scheduled for transfer at the commencement of the succeeding month

Special Revenue Funds "Transfers To Other Funds" includes transfers to Mental Health Services Fund and Department of Health Income Fund (\$511.1m) representing the federal share of Medicaid payments for patients residing in State-operated Health and Mental Hygiene facilities, the General Debt Services Fund (\$6.9m), Medicaid Management Information System Escrow Fund (\$57.3m), SUNY Capital Projects Fund (\$49.9m), State Capital Projects Fund (\$1.1m) and All Other Capital Projects (\$59.6m).

EXHIBIT A NOTES
September 2019

Also included in Special Revenue funds are transfers to the General Fund from the following:

Chemical Dependence Service Fund	\$101.3	million
Federal Dept. of Health & Human Services Fund	11.6	
Federal Education Fund	1.3	
NYC Assessment Account	22.9	
SUNY Income Fund	31.6	
Unemployment Insurance Administration Fund	4.1	
Unemployment Insurance, Interest & Penalty	8.8	

Debt Service Funds "Transfers To Other Funds" includes transfers to the General Fund from the following:

Revenue Bond Tax Fund	\$14,156.9	million
Local Government Assistance Tax Fund	1,855.3	
Sales Tax Revenue Bond Tax Fund	1,474.5	
Clean Water/Clean Air Fund	510.8	
Mental Health Services Fund	524.0	

Also included in Debt Service funds are transfers to Special Revenue funds representing receipts in excess of lease-purchase obligations that are used to finance a portion of the operating expenses for the Department of Health (\$75.3m).

Capital Projects Funds "Transfers To Other Funds" includes transfers to the General Fund (\$13.2m), the General Debt Service Fund - Lease Purchase (\$287.4m), and the Revenue Bond Tax Fund (\$213.4m).

3. Part UU of Section 11 of Chapter 59 of the Laws of 2018 amended section 805(b) of the tax law, whereby the receipts from the metropolitan commuter transportation mobility tax will be paid into the metropolitan transportation authority finance fund pursuant to statute but without appropriation. The result is that neither the mobility tax receipts nor the related grant disbursements to the MTA are recorded in the State funds. The MTA mobility tax activity is now reported in Schedule 4 as part of the MTA State Assistance fund group.

4. Part FF of Chapter 58 of the Laws of 2019 amended paragraphs (b-1) and (c-3) of subdivision two of section 503 of the vehicle and traffic law, article 28-a of the tax law, article 17-c of the vehicle and traffic law and section 1166-a of the tax law, whereby the receipts from the various taxes and fees will be paid into the metropolitan transportation authority special assistance fund pursuant to statute but without appropriation. The activity is now reported in Schedule 4 as part of the MTA State Assistance fund group.

5. Part NNN of Chapter 59 of the Laws of 2018 added Tax Law, Article 28-C, on April 1, 2018, with collection of the for-hire congestion surcharge scheduled to begin on January 1, 2019. Amounts collected will be paid into the NYC transportation fund pursuant to statute but without appropriation. The result is that neither the surcharge nor the related disbursements to MTA are recorded in State Funds. The for-hire congestion surcharge activity is reported in Schedule 4 as part of the MTA State Assistance fund group.

6. Part OOO of Chapter 59 of the Laws of 2019 added to and amended Tax Law Article 31, Section 1402-b added an additional real estate transfer tax to residential property over \$2 million, in cities with a population of over 1 million. Section 1421(b) of the tax law was amended directing these taxes be remitted to the MTA pursuant to statute but without appropriation. This activity is reported in Schedule 4 as part of the MTA State Assistance fund group.

EXHIBIT B

STATE OF NEW YORK
PROPRIETARY FUNDS
COMBINED STATEMENT OF CASH RECEIPTS, DISBURSEMENTS AND CHANGES IN FUND BALANCES
(amounts in millions)

	ENTERPRISE			INTERNAL SERVICE		TOTAL PROPRIETARY FUNDS				YEAR OVER YEAR	
	MONTH OF SEP. 2019	6 MOS. ENDED SEP. 30, 2019	MONTH OF SEP. 2019	6 MOS. ENDED SEP. 30, 2019	MONTH OF SEP. 2019	6 MOS. ENDED SEP. 30, 2019	MONTH OF SEP. 2018	6 MOS. ENDED SEP. 30, 2018	\$ Increase/ (Decrease)	% Increase/ Decrease	
RECEIPTS:											
Miscellaneous Receipts	\$ 17.2	\$ 45.4	\$ 61.7	\$ 264.2	\$ 78.9	\$ 309.6	\$ 33.5	\$ 289.0	\$ 20.6	7.1%	
Federal Receipts	0.9	5.8	-	-	0.9	5.8	0.9	6.1	(0.3)	-4.9%	
Unemployment Taxes	150.3	958.5	-	-	150.3	958.5	132.0	949.5	9.0	0.9%	
Total Receipts	168.4	1,009.7	61.7	264.2	230.1	1,273.9	166.4	1,244.6	29.3	2.4%	
DISBURSEMENTS:											
Departmental Operations:											
Personal Service	0.4	2.3	9.6	62.5	10.0	64.8	8.2	54.6	10.2	18.7%	
Non-Personal Service	4.3	29.1	34.1	191.8	38.4	220.9	38.6	243.3	(22.4)	-9.2%	
General State Charges	0.1	0.4	4.6	30.0	4.7	30.4	6.4	46.1	(15.7)	-34.1%	
Unemployment Benefits	151.4	965.2	-	-	151.4	965.2	133.0	955.4	9.8	1.0%	
Total Disbursements	156.2	997.0	48.3	284.3	204.5	1,281.3	186.2	1,299.4	(18.1)	-1.4%	
Excess (Deficiency) of Receipts Over Disbursements	12.2	12.7	13.4	(20.1)	25.6	(7.4)	(19.8)	(54.8)	47.4	86.5%	
OTHER FINANCING SOURCES (USES):											
Transfers from Other Funds	-	-	5.3	45.4	5.3	45.4	2.7	39.0	6.4	16.4%	
Transfers to Other Funds	-	-	(0.5)	(0.9)	(0.5)	(0.9)	(6.9)	(7.0)	(6.1)	-87.1%	
Total Other Financing Sources (Uses)	-	-	4.8	44.5	4.8	44.5	(4.2)	32.0	12.5	39.1%	
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	12.2	12.7	18.2	24.4	30.4	37.1	(24.0)	(22.8)	59.9	-262.7%	
Beginning Fund Balances (Deficits)	27.1	26.6	(296.5)	(302.7)	(269.4)	(276.1)	(243.4)	(244.6)	(31.5)	-12.9%	
Ending Fund Balances (Deficits)	\$ 39.3	\$ 39.3	\$ (278.3)	\$ (278.3)	\$ (239.0)	\$ (239.0)	\$ (267.4)	\$ (267.4)	\$ 28.4	10.6%	

STATE OF NEW YORK

TRUST FUNDS

COMBINED STATEMENT OF CASH RECEIPTS, DISBURSEMENTS AND CHANGES IN FUND BALANCES
(amounts in millions)

EXHIBIT C

	PENSION			PRIVATE PURPOSE			TOTAL TRUST FUNDS				YEAR OVER YEAR	
	MONTH OF SEP. 2019	6 MOS. ENDED SEP. 30, 2019		MONTH OF SEP. 2019	6 MOS. ENDED SEP. 30, 2019		MONTH OF SEP. 2019	6 MOS. ENDED SEP. 30, 2019	MONTH OF SEP. 2018	6 MOS. ENDED SEP. 30, 2018	\$ Increase/ (Decrease)	% Increase/ Decrease
	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$
RECEIPTS:												
Miscellaneous Receipts	5.2	58.5		0.1	0.8		5.3	59.3	38.1	67.3	(8.0)	-11.9%
Total Receipts	5.2	58.5		0.1	0.8		5.3	59.3	38.1	67.3	(8.0)	-11.9%
DISBURSEMENTS:												
Departmental Operations:												
Personal Service	5.3	33.8		-	0.1		5.3	33.9	5.0	33.7	0.2	0.6%
Non-Personal Service	1.6	7.3		-	-		1.6	7.3	1.1	7.2	0.1	1.4%
General State Charges	3.3	21.4		0.1	0.1		3.4	21.5	3.2	29.2	(7.7)	-26.4%
Total Disbursements	10.2	62.5		0.1	0.2		10.3	62.7	9.3	70.1	(7.4)	-10.6%
Excess (Deficiency) of Receipts Over Disbursements	(5.0)	(4.0)		-	0.6		(5.0)	(3.4)	28.8	(2.8)	(0.6)	-21.4%
OTHER FINANCING SOURCES (USES):												
Transfers from Other Funds	-	-		-	-		-	-	-	-	-	0.0%
Transfers to Other Funds	-	-		-	-		-	-	-	-	-	0.0%
Total Other Financing Sources (Uses)	-	-		-	-		-	-	-	-	-	0.0%
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	(5.0)	(4.0)		-	0.6		(5.0)	(3.4)	28.8	(2.8)	(0.6)	-21.4%
Beginning Fund Balances (Deficits)	(2.0)	(3.0)		13.8	13.2		11.8	10.2	(21.7)	9.9	0.3	3.0%
Ending Fund Balances (Deficits)	(7.0)	(7.0)		13.8	13.8		6.8	6.8	7.1	7.1	(0.3)	-4.2%

STATE OF NEW YORK
BUDGETARY BASIS - FINANCIAL PLAN AND ACTUAL
FISCAL YEAR 2019-2020
FOR SIX MONTHS ENDED SEPTEMBER 30, 2019
(amounts in millions)

EXHIBIT D

ALL GOVERNMENTAL FUNDS

	Enacted Financial Plan (*)	Updated Financial Plan (**)	Actual	Actual Over/ (Under) Enacted Financial Plan	Actual Over/ (Under) Updated Financial Plan
RECEIPTS:					
Taxes:					
Personal Income	\$ 27,432.0	\$ 27,690.0	\$ 27,981.4	\$ 549.4	\$ 291.4
Consumption/Use	9,273.0	9,246.0	9,165.3	(107.7)	(80.7)
Business	4,001.0	4,097.0	4,238.6	237.6	141.6
Other	1,159.0	1,140.0	1,016.4	(142.6)	(123.6)
Miscellaneous Receipts	12,548.0	12,662.0	13,067.4	519.4	405.4
Federal Receipts	34,134.0	31,948.0	32,026.5	(2,107.5)	78.5
Total Receipts	88,547.0	86,783.0	87,495.6	(1,051.4)	712.6
DISBURSEMENTS:					
Local Assistance Grants	64,260.0	63,696.0	62,504.8	(1,755.2)	(1,191.2)
Departmental Operations	10,851.0	11,019.0	10,801.5	(49.5)	(217.5)
General State Charges	5,423.0	5,354.0	5,343.5	(79.5)	(10.5)
Debt Service	973.0	977.0	976.6	3.6	(0.4)
Capital Projects	4,414.0	3,649.0	3,432.3	(981.7)	(216.7)
Total Disbursements	85,921.0	84,695.0	83,058.7	(2,862.3)	(1,636.3)
Excess (Deficiency) of Receipts over Disbursements	2,626.0	2,088.0	4,436.9	1,810.9	2,348.9
OTHER FINANCING SOURCES (USES):					
Bond and Note Proceeds, net	-	-	-	-	-
Transfers from Other Funds	24,728.0	24,790.0	24,646.6	(81.4)	(143.4)
Transfers to Other Funds	(24,779.0)	(24,860.0)	(24,748.3)	(30.7)	111.7
Total Other Financing Sources (Uses)	(51.0)	(70.0)	(101.7)	(50.7)	(31.7)
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	2,575.0	2,018.0	4,335.2	1,760.2	2,317.2
Fund Balances (Deficits) at April 1	9,975.0	9,975.0	9,975.0	-	-
Fund Balances (Deficits) at September 30, 2019	\$ 12,550.0	\$ 11,993.0	\$ 14,310.2	\$ 1,760.2	\$ 2,317.2

(*) Source: 2019-20 Enacted Financial Plan dated May 13, 2019.

(**) Source: 2019-20 First Quarter Update dated August 13, 2019.

EXHIBIT D

STATE OF NEW YORK
BUDGETARY BASIS - FINANCIAL PLAN AND ACTUAL
FISCAL YEAR 2019-2020
FOR SIX MONTHS ENDED SEPTEMBER 30, 2019
(amounts in millions)

	STATE OPERATING FUNDS (***)				
	Enacted Financial Plan (*)	Updated Financial Plan (**)	Actual	Actual Over/ (Under) Enacted Financial Plan	Actual Over/ (Under) Updated Financial Plan
RECEIPTS:					
Taxes:					
Personal Income	\$ 27,432.0	\$ 27,690.0	\$ 27,981.4	\$ 549.4	\$ 291.4
Consumption/Use	8,941.0	8,909.0	8,827.2	(113.8)	(81.8)
Business	3,661.0	3,755.0	3,890.6	229.6	135.6
Other	1,111.0	1,092.0	968.8	(142.2)	(123.2)
Miscellaneous Receipts	11,204.0	11,075.0	11,284.4	80.4	209.4
Federal Receipts	37.0	36.0	54.7	17.7	18.7
Total Receipts	52,386.0	52,557.0	53,007.1	621.1	450.1
DISBURSEMENTS:					
Local Assistance Grants	33,363.0	33,583.0	32,969.4	(393.6)	(613.6)
Departmental Operations	9,815.0	10,050.0	9,872.1	57.1	(177.9)
General State Charges	5,261.0	5,189.0	5,179.4	(81.6)	(9.6)
Debt Service	973.0	977.0	976.6	3.6	(0.4)
Capital Projects	-	-	-	-	-
Total Disbursements	49,412.0	49,799.0	48,997.5	(414.5)	(801.5)
Excess (Deficiency) of Receipts over Disbursements	2,974.0	2,758.0	4,009.6	1,035.6	1,251.6
OTHER FINANCING SOURCES (USES):					
Transfers from Other Funds	21,761.0	21,937.0	21,968.4	207.4	31.4
Transfers to Other Funds	(23,322.0)	(23,426.0)	(23,705.7)	383.7	279.7
Total Other Financing Sources (Uses)	(1,561.0)	(1,489.0)	(1,737.3)	(176.3)	(248.3)
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	1,413.0	1,269.0	2,272.3	859.3	1,003.3
Fund Balances (Deficits) at April 1	12,362.0	12,362.0	12,361.3	(0.7)	(0.7)
Fund Balances (Deficits) at September 30, 2019	\$ 13,775.0	\$ 13,631.0	\$ 14,633.6	\$ 858.6	\$ 1,002.6

(*) Source: 2019-20 Enacted Financial Plan dated May 13, 2019.

(**) Source: 2019-20 First Quarter Update dated August 13, 2019.

(***) State Operating Funds are comprised of the General Fund, State Special Revenue Funds supported by activities from dedicated revenue sources (including operating transfers from Federal Funds) and Debt Service Funds.
(****) Eliminations between Special Revenue - State and Federal Funds are not included.

STATE OF NEW YORK
BUDGETARY BASIS - FINANCIAL PLAN AND ACTUAL
FISCAL YEAR 2019-2020
FOR SIX MONTHS ENDED SEPTEMBER 30, 2019
(amounts in millions)

EXHIBIT D

	GENERAL FUND				
	Enacted Financial Plan (*)	Updated Financial Plan (**)	Actual	Actual Over/ (Under) Enacted Financial Plan	Actual Over/ (Under) Updated Financial Plan
RECEIPTS:					
Taxes:					
Personal Income	\$ 13,716.0	\$ 13,845.0	\$ 13,990.7	\$ 274.7	\$ 145.7
Consumption/Use	4,139.0	4,110.0	4,059.5	(79.5)	(50.5)
Business	2,774.0	2,828.0	2,928.5	154.5	100.5
Other	557.0	533.0	429.8	(127.2)	(103.2)
Miscellaneous Receipts	1,591.0	1,662.0	1,713.3	122.3	51.3
Federal Receipts	-	-	0.3	0.3	0.3
Transfers From:					
PIT / ECET in excess of Revenue Bond Debt Service	13,900.0	14,030.0	14,156.9	256.9	126.9
Sales Tax in excess of LGAC / STRBF Debt Service	3,383.0	3,350.0	3,329.8	(53.2)	(20.2)
Real Estate Taxes in excess of CW/CA Debt Service	531.0	530.0	510.8	(20.2)	(19.2)
All Other	728.0	858.0	715.3	(12.7)	(142.7)
Total Receipts and Other Financing Sources	41,319.0	41,746.0	41,834.9	515.9	88.9
DISBURSEMENTS:					
Local Assistance Grants	25,475.0	25,717.0	24,596.2	(878.8)	(1,120.8)
Departmental Operations	5,900.0	6,034.0	5,894.3	(5.7)	(139.7)
General State Charges	4,800.0	4,746.0	4,726.8	(73.2)	(19.2)
Transfers To:					
Debt Service	227.0	225.0	225.7	(1.3)	0.7
Capital Projects	2,948.0	2,804.0	2,943.4	(4.6)	139.4
State Share Medicaid	-	-	234.4	234.4	234.4
SUNY Operations	1,022.0	980.0	1,007.3	(14.7)	27.3
Other Purposes	552.0	588.0	362.7	(189.3)	(225.3)
Total Disbursements and Other Financing Uses	40,924.0	41,094.0	39,990.8	(933.2)	(1,103.2)
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	395.0	652.0	1,844.1	1,449.1	1,192.1
Fund Balances (Deficits) at April 1	7,206.0	7,206.0	7,205.7	(0.3)	(0.3)
Fund Balances (Deficits) at September 30, 2019	\$ 7,601.0	\$ 7,858.0	\$ 9,049.8	\$ 1,448.8	\$ 1,191.8

(*) Source: 2019-20 Enacted Financial Plan dated May 13, 2019.

(**) Source: 2019-20 First Quarter Update dated August 13, 2019.

(***) Includes transfers to the Department of Health Income Fund and the State University Income Fund representing payments for patients residing in State-Operated Health and State University facilities.

EXHIBIT D

STATE OF NEW YORK
BUDGETARY BASIS - FINANCIAL PLAN AND ACTUAL
FISCAL YEAR 2019-2020
FOR SIX MONTHS ENDED SEPTEMBER 30, 2019
(amounts in millions)

	SPECIAL REVENUE FUNDS					Actual Over/ (Under) Enacted Financial Plan	Actual Over/ (Under) Updated Financial Plan
	Enacted Financial Plan (*)	Updated Financial Plan (**)	Actual	Eliminations	Total		
RECEIPTS:							
Taxes:							
Personal Income	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
Consumption/Use	984.0	1,007.0	1,013.0	-	1,013.0	29.0	6.0
Business	887.0	927.0	962.1	-	962.1	75.1	35.1
Miscellaneous Receipts	9,488.0	9,301.0	9,431.4	-	9,431.4	(56.6)	130.4
Federal Receipts	33,176.0	31,053.0	31,167.1	-	31,167.1	(2,008.9)	114.1
Transfers from Other Funds (***)	1,829.0	1,872.0	2,004.3	(376.1)	1,628.2	(200.8)	(243.8)
Total Receipts and Other Financing Sources	46,364.0	44,160.0	44,577.9	(376.1)	44,201.8	(2,162.2)	41.8
DISBURSEMENTS:							
Local Assistance Grants	36,540.0	35,667.0	35,437.4	-	35,437.4	(1,102.6)	(229.6)
Departmental Operations	4,927.0	4,960.0	4,884.7	-	4,884.7	(42.3)	(75.3)
General State Charges	623.0	608.0	616.7	-	616.7	(6.3)	8.7
Capital Projects	-	-	-	-	-	-	-
Transfers to Other Funds (***)	1,102.0	1,150.0	1,240.0	(376.1)	863.9	(238.1)	(286.1)
Total Disbursements and Other Financing Uses	43,192.0	42,385.0	42,178.8	(376.1)	41,802.7	(1,389.3)	(582.3)
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	3,172.0	1,775.0	2,399.1	-	2,399.1	(772.9)	624.1
Fund Balances (Deficits) at April 1	3,842.0	3,842.0	3,842.4	-	3,842.4	0.4	0.4
Fund Balances (Deficits) at September 30, 2019	\$ 7,014.0	\$ 5,617.0	\$ 6,241.5	\$ -	\$ 6,241.5	\$ (772.5)	\$ 624.5

(*) Source: 2019-20 Enacted Financial Plan dated May 13, 2019.

(**) Source: 2019-20 First Quarter Update dated August 13, 2019.

(***) Actual reported transfer amounts include eliminations between Special Revenue - State and Federal Funds.

EXHIBIT D

(*) Source: 2019-20 Enacted Financial Plan dated May 13, 2019.
(**) Source: 2019-20 First Quarter Update dated August 13, 2019.

**STATE OF NEW YORK
BUDGETARY BASIS - FINANCIAL PLAN AND ACTUAL
FISCAL YEAR 2019-2020
FOR SIX MONTHS ENDED SEPTEMBER 30, 2019
(amounts in millions)**

EXHIBIT D

	DEBT SERVICE FUNDS				
	Enacted Financial Plan (*)	Updated Financial Plan (**)	Actual	Actual Over/ (Under) Enacted Financial Plan	Actual Over/ (Under) Updated Financial Plan
RECEIPTS:					
Taxes:					
Personal Income	\$ 13,716.0	\$ 13,845.0	\$ 13,990.7	\$ 274.7	\$ 145.7
Consumption/Use	3,818.0	3,792.0	3,754.7	(63.3)	(37.3)
Other	554.0	559.0	539.0	(15.0)	(20.0)
Miscellaneous Receipts	166.0	196.0	265.6	99.6	69.6
Federal Receipts	37.0	37.0	36.8	(0.2)	(0.2)
Transfers from Other Funds	1,390.0	1,298.0	1,251.3	(138.7)	(46.7)
Total Receipts and Other Financing Sources	19,681.0	19,727.0	19,838.1	157.1	111.1
DISBURSEMENTS:					
Departmental Operations	24.0	25.0	22.5	(1.5)	(2.5)
Debt Service	973.0	977.0	976.6	3.6	(0.4)
Transfers to Other Funds	18,421.0	18,598.0	18,596.8	175.8	(1.2)
Total Disbursements and Other Financing Uses	19,418.0	19,600.0	19,595.9	177.9	(4.1)
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	263.0	127.0	242.2	(20.8)	115.2
Fund Balances (Deficits) at April 1	65.0	65.0	64.8	(0.2)	(0.2)
Fund Balances (Deficits) at September 30, 2019	\$ 328.0	\$ 192.0	\$ 307.0	\$ (21.0)	\$ 115.0

(*) Source: 2019-20 Enacted Financial Plan dated May 13, 2019.

(**) Source: 2019-20 First Quarter Update dated August 13, 2019.

STATE OF NEW YORK
BUDGETARY BASIS - FINANCIAL PLAN AND ACTUAL
FISCAL YEAR 2019-2020
FOR SIX MONTHS ENDED SEPTEMBER 30, 2019
(amounts in millions)

EXHIBIT D

CAPITAL PROJECTS FUNDS						
	Enacted Financial Plan (*)	Updated Financial Plan (**)	Actual	Eliminations	Total	Actual Over/ (Under) Enacted Financial Plan Updated Financial Plan
RECEIPTS:						
Taxes:						
Consumption/Use	\$ 332.0	\$ 337.0	\$ 338.1	\$ -	\$ 338.1	\$ 6.1
Business	340.0	342.0	348.0	-	348.0	8.0
Other	48.0	48.0	47.6	-	47.6	(0.4)
Miscellaneous Receipts	1,303.0	1,503.0	1,657.1	-	1,657.1	354.1
Federal Receipts	921.0	858.0	822.3	-	822.3	(98.7)
Bond and Note Proceeds, net	-	-	-	-	-	-
Transfers from Other Funds	2,967.0	2,852.0	3,054.3	-	3,054.3	87.3
Total Receipts and Other Financing Sources	5,911.0	5,940.0	6,267.4	-	6,267.4	356.4
DISBURSEMENTS:						
Local Assistance Grants	2,245.0	2,312.0	2,471.2	-	2,471.2	226.2
Capital Projects	4,414.0	3,649.0	3,432.3	-	3,432.3	(981.7)
Transfers to Other Funds	507.0	515.0	514.1	-	514.1	7.1
Total Disbursements and Other Financing Uses	7,166.0	6,476.0	6,417.6	-	6,417.6	(748.4)
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	(1,255.0)	(536.0)	(150.2)	-	(150.2)	1,104.8
Fund Balances (Deficits) at April 1	(1,138.0)	(1,138.0)	(1,137.9)	-	(1,137.9)	0.1
Fund Balances (Deficits) at September 30, 2019	(2,393.0)	(1,674.0)	(1,288.1)	-	(1,288.1)	\$ 1,104.9
						\$ 385.9

(*) Source: 2019-20 Enacted Financial Plan dated May 13, 2019.

(**) Source: 2019-20 First Quarter Update dated August 13, 2019.

STATE OF NEW YORK
BUDGETARY BASIS - FINANCIAL PLAN AND ACTUAL
FISCAL YEAR 2019-2020
FOR SIX MONTHS ENDED SEPTEMBER 30, 2019
(amounts in millions)

EXHIBIT D

	STATE CAPITAL PROJECTS FUNDS				FEDERAL CAPITAL PROJECTS FUNDS					
	Enacted Financial Plan (*)	Updated Financial Plan (**)	Actual	Actual Over/ (Under) Enacted Financial Plan	Actual Over/ (Under) Updated Financial Plan	Enacted Financial Plan (*)	Updated Financial Plan (**)	Actual	Actual Over/ (Under) Enacted Financial Plan	Actual Over/ (Under) Updated Financial Plan
RECEIPTS:										
Taxes:										
Consumption/Use	\$ 332.0	\$ 337.0	\$ 338.1	\$ 6.1	\$ 1.1	\$ -	\$ -	\$ -	\$ -	\$ -
Business	340.0	342.0	348.0	8.0	6.0	-	-	-	-	-
Other	48.0	47.6	48.0	(0.4)	(0.4)	-	-	-	-	-
Miscellaneous Receipts	1,303.0	1,503.0	1,656.7	353.7	153.7	-	-	0.4	0.4	0.4
Federal Receipts	-	-	2.3	2.3	2.3	921.0	858.0	820.0	(101.0)	(38.0)
Bond and Note Proceeds, net	-	-	-	-	-	-	-	-	-	-
Transfers from Other Funds	3,147.0	2,972.0	3,054.3	(92.7)	82.3	(180.0)	(120.0)	-	180.0	120.0
Total Receipts and Other Financing Sources	5,170.0	5,202.0	5,447.0	277.0	245.0	741.0	738.0	820.4	79.4	82.4
DISBURSEMENTS:										
Local Assistance Grants	1,976.0	2,034.0	2,215.1	239.1	181.1	269.0	278.0	256.1	(12.9)	(21.9)
Capital Projects	3,865.0	3,067.0	2,843.2	(1,021.8)	(223.8)	549.0	582.0	589.1	40.1	7.1
Transfers to Other Funds	507.0	515.0	514.1	7.1	(0.9)	-	-	-	-	-
Total Disbursements and Other Financing Uses	6,348.0	5,616.0	5,572.4	(775.6)	(43.6)	818.0	860.0	845.2	27.2	(14.8)
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	(1,178.0)	(414.0)	(125.4)	1,052.6	288.6	(77.0)	(122.0)	(24.8)	52.2	97.2
Fund Balances (Deficits) at April 1	(633.0)	(633.0)	(633.2)	(0.2)	(0.2)	(505.0)	(505.0)	(504.7)	0.3	0.3
Fund Balances (Deficits) at September 30, 2019	\$ (1,811.0)	\$ (1,047.0)	\$ (758.6)	\$ 1,052.4	\$ 288.4	\$ (582.0)	\$ (627.0)	\$ (529.5)	\$ 52.5	\$ 97.5

(*) Source: 2019-20 Enacted Financial Plan dated May 13, 2019.

(**) Source: 2019-20 First Quarter Update dated August 13, 2019.

STATE OF NEW YORK
GOVERNMENTAL FUNDS
COMPARATIVE SCHEDULE OF TAX RECEIPTS
(amounts in millions)

	EXHIBIT E													
	GENERAL				SPECIAL REVENUE				DEBT SERVICE			CAPITAL PROJECTS		
	MONTH OF SEP. 2019	6 MOS. ENDED SEP. 30, 2019	MONTH OF SEP. 2019	6 MOS. ENDED SEP. 30, 2019	MONTH OF SEP. 2019	6 MOS. ENDED SEP. 30, 2019	MONTH OF SEP. 2019	6 MOS. ENDED SEP. 30, 2019	MONTH OF SEP. 2019	6 MOS. ENDED SEP. 30, 2019	MONTH OF SEP. 2018	6 MOS. ENDED SEP. 30, 2018	YEAR OVER YEAR	
													\$ Increase/ (Decrease)	% Increase/ Decrease
PERSONAL INCOME TAX	\$	2,851.1	\$	18,530.1	\$	2,851.1	\$	18,530.1	\$	2,666.4	\$	17,602.0	\$	928.1
														5.3%

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**STATE OF NEW YORK
GOVERNMENTAL FUNDS (*)
STATEMENT OF CASH FLOW
FISCAL YEAR 2019-2020
(amounts in millions)**

	6 Months Ended September 30												% Increase/ Decrease
	2019	2020											
	APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	JANUARY	FEBRUARY	MARCH	
Rentals	40.2	28.1	9.1	30.2	2.6	1.4							136.2
Revenues of State Departments:													
Administrative Recoveries	1.9	2.8	24.7	17.7	4.6	21.9							64.0
Commissions	0.6	-	0.6	0.6	0.2	0.8							3.4
Commissions - Asset Conversion	-	-	-	-	-	-							-
Gifts, Grants and Donations	10.9	1.5	3.1	0.9	0.9	0.3							17.6
Indirect Cost Recoveries	5.6	5.2	8.7	8.3	7.2	5.8							102.9
Patent/Client Care Reimbursement	239.4	198.1	240.8	208.3	264.5	264.5							48.9
Rebates	12.3	11.5	14.7	22.1	11.7	12.1							1,346.0
Restitution and Settlements	7.8	1.7	1.4	1.1	6.6	1.7							79.8
Student Loans	7.5	3.1	8.5	7.5	1.7	10.9							174.3
All Other	52.9	56.0	47.1	57.8	66.7	82.5							47.6
Tuition	5.2	0.8	1.2	2.7	1.7	2.5							276.2
Total Miscellaneous Receipts	2,659.7	1,871.3	1,971.3	1,968.8	1,834.4	2,761.9	-	-	-	-	-	-	13,067.4
													786.5
													12,986.2
Federal Receipts	6,242.0	4,959.2	5,803.8	3,275.4	6,092.3	5,663.8							32,026.5
Total Receipts	20,483.1	10,716.0	16,419.8	10,544.7	12,478.1	16,863.9	-	-	-	-	-	-	81,082.9
DISBURSEMENTS:													
Local Assistance Grants:													
Education	1,263.9	4,574.0	2,811.5	1,910.2	925.1	5,084.1							16,133.7
Environment and Recreation	25.4	33.7	2.7	14.9	14.4	18.9							8.9
General Government	60.3	159.7	734.4	63.0	87.5	132.4							1,227.5
Public Health:													
Medicaid	6,412.0	6,151.7	4,867.9	4,905.7	5,506.5	5,060.5							32,894.3
Other Public Health	724.4	882.4	1,162.1	802.3	792.4	954.2							1,614.8
Public Safety	156.9	178.2	70.6	87.9	99.6	103.7							5,271.8
Public Welfare	240.1	215.8	537.8	452.8	374.1	706.5							694.3
Support and Regulate Business	34.1	164.8	204.6	67.8	67.7	74.4							2,527.1
Transportation	305.0	477.1	354.6	335.4	439.0	839.1							613.4
Total Local Assistance Grants	9,222.1	12,537.4	10,736.2	8,640.0	8,295.3	12,973.8	-	-	-	-	-	-	2,985.3
Departmental Operations:													
Personal Services	1,139.6	1,717.6	1,127.7	1,191.0	1,253.3	1,115.1							7,139.1
Information Services	1,139.6	1,717.6	1,127.7	1,191.0	1,253.3	1,115.1							3,314.4
General State Charges	813.6	2,460.6	464.8	498.0	543.0	572.7							5,343.5
Debt Service, Including Payments on													
Financing Agreements	72.4	121.1	230.3	45.1	74.5	433.2							1,306.7
Capital Projects	434.1	528.1	536.5	600.7	750.3	592.6							3,432.3
Total Disbursements	12,156.1	18,041.1	13,601.2	11,495.3	11,494.6	16,270.4	-	-	-	-	-	-	83,058.7
Excess (Deficiency) of Receipts over Disbursements	8,307.0	(7,225.1)	2,818.6	(940.6)	983.5	583.5	-	-	-	-	-	-	(1,367.4)
OTHER FINANCING SOURCES (USES):													
Bond Proceeds (net)	-	-	-	-	-	-							-
Transfers from Other Funds	6,203.6	2,881.3	4,839.5	3,289.8	2,912.1	4,741.3							21,166.1
Transfers to Other Funds	(6,217.9)	(2,698.8)	(4,854.6)	(3,281.7)	(2,930.1)	(4,785.2)							(21,213.3)
Total Other Financing Sources (Uses)	(14.3)	(17.9)	(15.1)	(12.9)	(18.0)	(23.9)	-	-	-	-	-	-	(47.2)
Excess (Deficiency) of Receipts over Disbursements and Other Financing Uses	8,292.7	(7,242.6)	2,803.5	(953.5)	965.5	569.6	-	-	-	-	-	-	4,335.2
Ending Fund Balance	18,267.7	10,925.1	13,728.6	12,775.1	13,740.6	14,310.2	\$	\$	\$	\$	\$	\$	\$
													11,334.4
													5,749.8
													2,975.8
													26.3%

(*) Governmental Funds includes General, Special Revenue, Debt Service and Capital Projects Funds combined.

2019	6 Months Ended September 30																
	APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	JANUARY	FEBRUARY	MARCH	2018		% Increased/ Decreased		
													2019	2018			
Beginning Fund Balance															\$ 12,361.3	\$ 13,006.6	
RECEIPTS:																	
Taxes:																	
Personal Income Tax:																	
Withholdings	3,237.1	3,220.1	2,922.3	3,365.9	2,933.7	2,851.1							18,502.1	926.1	5.3%		
Estimated Payments	6,943.2	112.6	2,966.4	118.7	2,986.4	2,462.5							12,311.6	2,748.1	28.7%		
State/City Offsets	(296.9)	(31.1)	(25.9)	(21.0)	(20.9)	(47.2)							6,943.2	6,943.2	0.0%		
Other (Assessments)/LLC	95.7	105.2	96.2	88.3	91.7	91.7							(420.7)	22.3	5.3%		
Gross Receipts	12,240.6	3,481.9	5,443.1	3,593.6	3,192.3	5,703.1							6,943.4	48.1	8.0%		
Transfers to School Tax Relief Fund													29,227.0	4,387.6	15.0%		
Transfers to Revenue Bond Tax Fund	(3,002.2)	(697.3)	(236.2)	(296.0)	(243.0)	(871.7)							(5,593.2)	67.6	0.0%		
Refunds to State Personal Income Tax	9,216.4	2,846.0	3,209.3	3,351.6	2,968.3	4,851.4							27,981.4	4,319.8	16.3%		
Consumption/Use Taxes:																	
Sales and Use																	
Auto Rental	-	1,178.8	1,589.5	1,238.2	1,243.6	1,628.2							8,079.9	7,651.4	5.6%		
Cigarette/Tobacco Products	88.2	85.2	83.8	106.8	92.2	87.7							28.1	(20.8)	-74.0%		
Medical Marijuana	0.5	0.4	0.5	0.5	0.4	0.5							544.9	592.8	-8.1%		
Alcoholic Beverage	20.0	20.9	28.5	11.6	19.5	23.5							1.7	1.1	64.7%		
Highway Use	0.1	0.1	0.1	-	0.1	0.1							0.3	0.3	0.3%		
Metropolitan Commuter Trans. Taxicab Trip	-	-	-	-	-	-							(2.9)	3.2	-110.3%		
Total Consumption/Use Taxes	1,320.9	1,294.8	1,709.4	1,376.9	1,365.8	1,756.4							27.4	(27.4)	100.0%		
Business Taxes:													8,488.8	337.4	4.0%		
Corporation Franchise	378.7	(69.0)	601.2	146.3	941.3	941.3							2,083.0	213.5	10.2%		
Corporation and Utilities	4,400.0	5.0	4,400.0	598.8	(1.7)	4,400.0							2,083.0	2,083.0	0.0%		
Insurance	141.8	5.0	403.2	59.8	(1.5)	496.8							728.0	316.1	43.5%		
Bank	(44.1)	(121.1)	(21.1)	(121.1)	(0.3)	(1.4)							28.2	(27.0)	-95.7%		
Petroleum Business	44.1	43.9	45.4	41.3	47.0	43.9							256.2	9.4	3.7%		
Total Business Taxes	747.6	(139.9)	1,436.3	244.6	43.5	1,555.5							3,880.6	522.1	15.5%		
Other Taxes:																	
Real Property Gains	-	57.3	62.2	124.7	41.8	53.8							-	-	0.0%		
Employment Gt	0.9	1.1	1.6	1.2	2.2	2.1							490.5	(71.0)	-14.5%		
Peri-Manual	82.9	86.0	86.7	118.9	78.8	91.6							9.6	(0.5)	-5.2%		
Real Estate Transfer	0.2	0.1	0.3	0.2	0.1	-							549.0	(10.4)	-1.9%		
Racing and Exhibitions	-	-	-	-	-	-							1.2	(0.3)	-25.0%		
Metropolitan Commuter Trans. Mobility	0.1	0.1	0.1	0.2	0.2	0.1							-	-	0.0%		
Employer Compensation Expense Tax	163.8	144.0	150.6	243.2	123.1	141.3							0.7	(81.5)	102.0%		
Total Other Taxes	163.8	144.0	150.6	243.2	123.1	141.3			</								

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*) State Operating Funds are comprised of the General Fund, State Special Revenue Funds supported by activities from dedicated revenue sources (including operating transfers from Federal funds) and Debt Service Funds.

**) Eliminations between State and Federal Special Revenue Funds are not included.

STATE OF NEW YORK
GENERAL FUND
STATEMENT OF CASH FLOW
FISCAL YEAR 2019-2020
(amounts in millions)

EXHIBIT F

Beginning Fund Balance	2019			6 Months Ended September 30												% Increase/ Decrease	
	APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	JANUARY	FEBRUARY	MARCH	2018		\$ Increase/ (Decrease)		-23.7%
													\$	\$			
RECEIPTS:	\$ 7,205.7	\$ 11,968.7	\$ 5,221.6	\$ 6,593.0	\$ 6,614.3	\$ 6,886.4								\$ 7,205.7	\$ 9,445.0	\$ (2,239.3)	
Personal Income Tax:																	
Withholdings	3,237.1	3,220.1	2,922.3	3,365.8	2,933.7	2,951.1								18,530.1	17,602.0	928.1	5.3%
Estimated Payments	2,286.9	1,751.6	2,396.4	1,187.7	1,454.3	2,742.0								2,742.0	1,751.6	990.4	36.4%
Returns	2,986.9	75.1	54.1	40.8	45.1	65.5								1,982.9	1,982.9	-	0.0%
State/City Offsets	(286.9)	(31.1)	(25.9)	(21.0)	(20.9)	(47.2)								(443.0)	(420.7)	22.3	5.3%
Other (Assessments/LC)	170.3	105.2	96.2	89.3	95.7	91.7								600.3	648.4	-48.1	-7.8%
Gross Receipts	12,240.6	3,481.9	5,443.1	3,593.6	3,152.3	5,763.6								29,227.0	33,614.8	-4,387.6	-15.0%
Transfers to School Tax Relief Fund	(4,607.7)	(1,242.3)	(2,604.9)	(1,665.8)	(1,454.3)	(2,415.7)								(13,980.7)	(11,630.8)	2,349.9	18.3%
Refunds Issued	(3,025.2)	(897.3)	(233.2)	(262.0)	(243.8)	(871.7)								(13,980.7)	(11,630.8)	2,349.9	18.3%
Total Personal Income Tax	4,607.7	1,242.3	2,605.0	1,665.8	1,454.2	2,415.7								13,980.7	11,630.8	2,349.9	18.3%
Consumption/Use Taxes:																	
Sales and Use	539.3	551.4	743.5	579.8	581.8	761.9								3,757.7	3,572.0	185.7	5.2%
Auto Rental	-	-	-	-	-	-								-	-	-	0.0%
Cigarette/Tobacco Products	26.0	25.8	26.5	31.4	28.3	28.3								174.3	174.3	-	-4.6%
Motor Fuel	-	-	-	-	-	-								-	-	-	0.0%
Alcoholic Beverage	20.0	20.9	26.0	25.6	19.5	23.5								135.1	135.1	-	0.3%
Highway Use	-	-	-	-	-	-								-	-	-	0.0%
Metropolitan Commuter Trans. Taxicab Trip	-	-	-	-	-	-								-	-	-	0.0%
Total Consumption/Use Taxes	585.3	598.1	796.0	636.8	629.6	813.7								3,881.4	3,881.4	-	-4.6%
Business Taxes:																	
Corporation Franchise	274.1	(75.0)	725.8	109.4	(21.4)	791.3								1,804.2	1,625.6	178.6	11.0%
Corporation and Utilities	16.3	1.8	0.2	0.2	(0.8)	105.5								207.1	204.4	2.7	1.3%
Insurance	127.4	(3.6)	354.7	50.9	2.3	387.8								919.5	639.2	280.3	43.9%
Bank	125.4	(104.7)	(21.5)	(0.3)	(0.2)	(1.0)								(2.3)	20.6	(22.9)	-10.0%
Petroleum Business	-	-	-	-	-	-								-	-	-	0.0%
Total Business Taxes	543.2	(181.5)	1,143.1	160.2	(20.1)	1,233.6								2,488.8	2,488.8	-	-17.6%
Other Taxes:																	
Real Property Gains	-	-	-	-	-	-								-	-	-	0.0%
Estate and Gift	79.7	57.3	62.2	124.7	41.8	53.8								480.5	480.5	-	-14.5%
Pan-Mutual	0.9	1.1	1.6	1.2	2.2	2.1								9.1	9.6	-0.5	-5.2%
Real Estate Transfer	-	-	-	-	-	-								-	-	-	0.0%
Racing and Exhibitions	0.2	0.1	0.3	0.2	0.1	-								0.9	1.2	-0.3	-25.0%
Metropolitan Commuter Trans. Mobility	-	-	-	-	-	-								-	-	-	0.0%
Employer Compensation Expense Tax	0.1	-	-	0.1	0.1	-								0.3	-	-0.3	-100.0%
Total Other Taxes	80.9	58.5	64.1	126.2	44.2	55.9								428.8	501.3	-72.5	-14.3%
Total Taxes	5,817.1	1,717.4	4,608.2	2,589.0	2,107.9	4,568.9								18,703.3	21,408.5	-2,705.2	-14.5%
Miscellaneous Receipts:																	
Abandoned Property:																	
Abandoned Property	0.9	0.1	-	0.3	4.8	30.2								36.3	11.3	25.0	221.2%
Bottle Bill	0.2	0.3	8.2	0.3	0.1	38.1								48.2	48.2	-	-8.9%
Assessments:																	
Business:	-	-	-	-	-	-								-	-	-	0.0%
Medical Care	-	4.2	4.3	3.8	2.6	1.9								16.8	15.7	1.1	7.0%
Public Utilities	-	-	-	-	-	-								-	-	-	0.0%
Other	-	0.1	0.1	-	0.1	-								0.3	0.4	-0.1	-25.0%
Fees, Licenses and Permits:																	
Alcohol Beverage Control Licensing	5.7	6.0	5.4	6.0	6.9	7.0								37.0	36.7	0.3	0.8%
Audit Fees	-	-	-	-	-	-								-	-	-	0.0%
Business/Professional	11.1	18.1	29.8	15.0	3.4	35.1								112.5	110.3	2.2	2.0%
Civil	25.0	13.4	17.8	18.4	15.1	28.7								110.3	109.3	1.0	0.9%
Criminal	0.2	0.1	0.1	0.2	0.2	0.1								0.8	0.8	-	0.1%
Motor Vehicle	33.2	34.9	11.6	35.5	24.4	24.2								163.8	108.4	55.4	51.1%
Recreational/Consumer	1.2	1.5	1.9	1.6	1.4	2.1								9.7	8.5	1.2	14.1%
Fines, Penalties and Forfeitures	618.1	154.6	26.5	43.9	19.7	28.7								891.5	429.4	462.1	107.6%
Interest Earnings	21.8	16.8	19.8	13.1	13.9	12.8								96.2	66.5	31.7	47.7%
Receipts from Public Authorities:																	
Cost Recovery Assessments	-	-	-	-	5.1	5.0								18.1	12.5	5.6	45.2%
Insurance Fees	-	-	10.9	7.0	-	0.8								33.9	18.7	15.2	83.4%
Non Bond Related	0.1	-	-	-	-	25.0								25.1	33.9	-8.8	-25.9%
Receipts from Municipalities	16.7	-	-	-	-	-								16.7	100.0	(83.3)	-83.3%
Rentals	0.3	0.1	0.2	0.3	0.1	0.2								1.2	3.1	-1.9	-61.3%
Revenues of State Departments:																	
Administrative Recoveries	0.5	1.0	20.1	0.1	0.3	18.2								40.2	35.9	4.3	12.0%
Commissions	-	0.1	0.2	-	(0.2)	-								0.3	0.3	-	0.0%
Grants and Donations	-	-	-	-	-	-								-	-	-	0.0%
Indirect Cost Recoveries	5.6	5.2	8.7	8.3	7.2	5.8								40.8	49.9	-9.1	-22.3%
Patent/Client Care Reimbursement	(7.3)	2.7	(70.7)	59.9	2.7	(4.1)								(24.0)	(19.8)	-4.2	-16.2%
Rebates	(0.6)	1.7	(0.6)	(0.2)	2.5	-								3.2	3.2	-	0.0%

EXHIBIT F

STATE OF NEW YORK
GENERAL FUND
STATEMENT OF CASH FLOW
FISCAL YEAR 2019-2020
(amounts in millions)

	2019 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	JANUARY	FEBRUARY	MARCH	6 Months Ended September 30		
													2019	2018	% Increase/ Decrease
Restitution and Settlements	-	-	0.2	-	-	0.1	-	-	-	-	-	-	0.3	109.7	-98.7%
Student Loans	8.1	18.3	(11.6)	4.1	0.6	-	10.1	-	-	-	-	-	28.6	16.7	0.0%
All Other	-	-	(1.0)	-	-	-	-	-	-	-	-	-	-	12.9	77.2%
Total Miscellaneous Receipts	741.0	279.3	158.8	87.4	188.1	278.7	-	-	-	-	-	-	1,113.3	1,266.3	-17.2%
Federal Receipts	-	-	-	-	0.1	0.2	-	-	-	-	-	-	0.3	0.1	200.0%
Total Receipts	6,558.1	1,998.7	4,767.0	2,876.4	2,276.1	4,847.8	-	-	-	-	-	-	23,122.1	19,999.9	15.4%
DISBURSEMENTS:															
Local Assistance Grants:															
Education	917.0	3,979.7	2,189.8	1,611.4	679.3	2,342.9	-	-	-	-	-	-	11,720.1	11,476.4	2.1%
Environment and Recreation	0.1	0.1	-	-	0.2	0.8	-	-	-	-	-	-	1.3	1.4	-7.1%
General Government	1.7	15.7	574.9	2.2	45.5	48.3	-	-	-	-	-	-	688.3	725.8	-5.2%
Public Health:															
Medicaid	3,296.6	1,988.6	1,393.9	1,320.2	1,073.3	1,308.3	-	-	-	-	-	-	9,980.9	9,221.0	8.2%
Other Public Health	190.2	120.3	336.0	217.7	111.8	213.0	-	-	-	-	-	-	1,149.0	1,288.3	-11.5%
Public Safety	18.0	13.4	10.5	12.5	10.5	10.5	-	-	-	-	-	-	105.0	105.0	0.0%
Public Welfare	87.9	84.9	189.5	128.5	170.8	170.7	-	-	-	-	-	-	832.1	1,131.0	-26.4%
Support and Regulate Business	6.0	7.7	5.7	22.0	21.1	17.3	-	-	-	-	-	-	69.8	69.2	2.3%
Transportation	-	23.9	14.1	0.2	23.9	(0.1)	-	-	-	-	-	-	62.0	255.6	-75.7%
Total Local Assistance Grants	4,470.0	5,834.3	4,707.5	3,320.6	2,147.8	4,116.0	-	-	-	-	-	-	24,596.2	24,280.5	1.3%
Departmental Operations:															
Personal Service	688.8	1,071.8	679.0	687.3	853.2	678.0	-	-	-	-	-	-	4,666.1	4,421.0	5.5%
Non-Personal Service	159.0	249.2	216.9	200.9	211.3	186.9	-	-	-	-	-	-	1,228.2	1,287.1	-4.6%
General State Charges	730.2	2,249.3	358.9	384.0	422.8	471.0	-	-	-	-	-	-	4,563.9	4,563.9	4.0%
Total Disbursements	6,046.0	9,304.6	5,970.3	4,603.6	3,634.9	5,457.9	-	-	-	-	-	-	35,217.3	34,592.5	2.0%
Excess (Deficiency) of Receipts over Disbursements	512.1	(7,307.9)	(1,203.3)	(1,927.2)	(1,358.8)	(610.1)	-	-	-	-	-	-	(12,095.2)	(14,532.6)	16.8%
OTHER FINANCING SOURCES (USES):															
Transfers from Revenue Bond Tax Fund	4,607.3	1,126.2	2,606.0	1,658.3	1,476.3	2,882.8	-	-	-	-	-	-	14,156.9	11,626.7	21.8%
Transfers from LGAC / STREITF	452.0	302.7	835.6	501.5	490.2	747.8	-	-	-	-	-	-	3,329.8	3,137.1	6.1%
Transfers from CWCA Fund	70.3	185.0	185.4	114.3	119.1	90.1	-	-	-	-	-	-	710.8	518.5	27.1%
Transfers from Capital Projects	46.0	180.0	180.0	24.0	18.1	24.0	-	-	-	-	-	-	24.0	24.0	0.0%
Transfers to State Capital Projects	(248.8)	(406.3)	(393.8)	(201.8)	(402.8)	(586.9)	-	-	-	-	-	-	(2,004.4)	(1,966.3)	1.9%
Transfers to All Other Capital Projects	(250.0)	-	(216.5)	(50.0)	(70.0)	(116.5)	-	-	-	-	-	-	(703.0)	(753.0)	-7.2%
Transfers to General Debt Service	(134.7)	(10.7)	(17.0)	(132.1)	16.6	28.2	-	-	-	-	-	-	(225.7)	(310.4)	-27.3%
Transfers to All Other State Funds	(284.5)	(447.3)	(467.6)	(180.1)	(71.9)	(132.9)	-	-	-	-	-	-	(1,604.4)	(1,300.5)	23.4%
Total Other Financing Sources (Uses)	4,250.9	760.8	2,574.7	1,948.5	1,630.9	2,773.5	-	-	-	-	-	-	13,838.3	11,538.5	20.8%
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	4,763.0	(6,747.1)	1,371.4	21.3	272.1	2,163.4	-	-	-	-	-	-	1,844.1	(2,984.1)	161.6%
Ending Fund Balance	\$ 11,983.7	\$ 5,221.6	\$ 6,593.0	\$ 6,614.3	\$ 6,886.4	\$ 9,049.8	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 9,049.8	\$ 6,450.9	40.3%

STATE OF NEW YORK
SPECIAL REVENUE FUNDS - COMBINED
STATEMENT OF CASH FLOW
FISCAL YEAR 2019-2020
(amounts in millions)

EXHIBIT G

														6 Months Ended September 30				% Increase/ Decrease					
														2018	2019	2020	Intra-Fund Transfer Eliminations (1)	\$ Increase/ (Decrease)	% Increase/ Decrease				
														\$	\$	JANUARY	FEBRUARY	MARCH	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	
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EXHIBIT G

(4) Intra-Fund transfer eliminations represent transfers between Special Revenue-State and Federal Funds.

STATE OF NEW YORK
SPECIAL REVENUE FUNDS - STATE
STATEMENT OF CASH FLOW
FISCAL YEAR 2019-2020
(amounts in millions)

EXHIBIT G

	2019 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2020 JANUARY	FEBRUARY	MARCH	6 Months Ended September 30 2019	2018	Increase/ (Decrease)	% Increase/ Decrease
Beginning Fund Balance	\$ 5,090.8	\$ 6,434.7	\$ 6,338.1	\$ 7,095.2	\$ 7,277.1	\$ 7,104.7							\$ 5,090.8	\$ 4,008.5	\$ 1,082.3	27.0%
RECEIPTS:																
Taxes:																
Personal Income Tax	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Consumption/Use Taxes:																
Sales and Use	124.1	76.4	102.8	79.1	80.3	104.8							567.5	518.5	49.0	9.5%
Auto Rental	-	-	-	-	-	7.3							28.1	28.1	(20.8)	-74.0%
Cigarette/Tobacco Products	63.2	59.4	57.3	75.4	63.9	59.4							378.6	418.5	(39.9)	-9.5%
Medical Marijuana	0.5	0.4	0.5	0.5	0.4	0.5							2.8	1.7	1.1	64.7%
Motor Fuel	9.6	9.4	9.5	8.8	10.1	9.1							56.5	56.2	0.3	0.5%
Alcoholic Beverage	-	-	-	-	-	-							-	-	-	0.0%
Highway Use	-	0.1	0.1	-	-	0.1							(2.9)	(2.9)	3.2	110.3%
Metropolitan Commuter Trans. Taxicab Trip	-	-	-	-	-	-							-	-	(2.1)	-100.0%
Total Consumption/Use Taxes	<u>197.4</u>	<u>145.7</u>	<u>170.2</u>	<u>163.8</u>	<u>154.7</u>	<u>181.2</u>							<u>1,013.0</u>	<u>1,041.5</u>	<u>(28.5)</u>	<u>-3.3%</u>
Business Taxes:																
Corporate Franchise	102.6	6.0	175.4	36.9	21.4	150.0							492.3	457.4	34.9	7.6%
Corporation and Utilities	23.4	(0.5)	23.5	0.2	(0.9)	32.4							78.1	70.7	7.4	10.5%
Insurance	14.4	8.6	48.5	5.9	(3.8)	49.0							122.6	88.8	33.8	41.2%
Bank	19.9	(16.4)	0.4	0.1	(0.1)	(0.4)							3.5	7.6	(4.1)	-53.9%
Petroleum Business	44.1	43.9	45.4	47.3	47.0	43.9							265.6	256.2	9.4	3.7%
Total Business Taxes	<u>284.4</u>	<u>41.0</u>	<u>283.2</u>	<u>84.4</u>	<u>63.6</u>	<u>274.9</u>							<u>862.1</u>	<u>878.7</u>	<u>(16.6)</u>	<u>-3.3%</u>
Total Taxes	<u>401.8</u>	<u>187.3</u>	<u>463.4</u>	<u>248.2</u>	<u>218.3</u>	<u>456.1</u>							<u>1,975.1</u>	<u>1,926.2</u>	<u>48.9</u>	<u>2.5%</u>
Miscellaneous Receipts:																
Abandoned Property:																
Abandoned Property	1.1	1.1	0.9	0.9	0.8	0.8							5.6	5.2	0.4	7.7%
Assessments:																
Business	68.4	33.6	84.2	48.2	43.9	68.3							355.6	337.2	18.4	5.5%
Real Estate	65.1	519.7	500.5	567.5	516.6	547.1							3,516.6	3,015.6	501.0	16.6%
Public Utilities	5.1	0.7	-	-	0.9	44.2							52.4	52.4	(0.0)	-0.0%
Other	-	0.1	-	-	0.1	-							1.0	1.0	(0.0)	-0.0%
Fees, Licenses and Permits:																
Audit Fees	-	0.8	1.5	-	0.1	0.2							2.6	2.1	0.5	23.8%
Business/Professional	48.6	36.9	98.0	47.5	32.8	95.6							355.4	325.2	30.2	9.3%
Civil	3.6	4.6	5.1	4.2	2.7	7.8							28.0	28.6	(0.6)	-2.1%
Criminal	0.3	0.4	1.1	-	0.4	1.2							3.4	4.3	(0.9)	-20.9%
Motor Vehicle	28.0	26.6	28.7	25.5	29.3	19.0							145.1	145.1	-	0.0%
Registration	54.0	46.7	68.7	63.9	64.3	139.0							373.3	373.3	-	0.0%
Retail Consumer	7.6	67.2	106.7	9.8	9.8	7.6							203.9	97.0	106.9	110.2%
Fines, Penalties and Forfeitures																
Gambling:																
Casino	31.4	18.8	19.7	37.7	15.3	20.0							142.9	127.0	15.9	12.5%
Lottery	218.4	262.0	202.3	225.7	170.2	176.3							1,254.9	1,216.1	38.8	3.2%
Video Lottery	76.6	88.5	63.2	88.5	78.5	77.5							471.8	468.8	3.0	0.6%
Interest Earnings	19.4	18.2	21.5	19.7	20.9	19.2							118.9	73.6	45.3	61.5%
Receipts from Public Authorities:																
Bartenders	-	-	-	-	-	-							-	-	-	0.0%
Code Enforcement	-	-	-	-	-	-							-	-	-	0.0%
Code Assessments	2.0	1.0	4.2	-	-	-							7.2	7.2	-	0.0%
Insurance Fees	0.6	4.7	4.3	13.5	-	1.7							24.8	28.2	(3.4)	-13.5%
Non Bond Related	9.4	2.7	6.3	3.8	2.3	5.9							30.2	62.6	(32.4)	-51.8%
Receipts from Municipalities	39.0	28.2	8.4	28.3	0.9	0.5							106.3	128.8	(22.5)	-17.5%
Revenues of State Departments:																
Administrative Recoveries	1.4	1.8	4.6	17.6	4.3	3.7							33.4	28.1	5.3	18.9%
Commissions	0.5	0.4	0.6	0.4	0.4	0.8							3.1	3.4	(0.3)	-8.8%
Commissions - Asset Conversion	-	-	-	-	-	-							-	-	-	0.0%
Commissions - Asset Conversion	0.8	1.0	2.8	0.3	0.6	-							1,005.0	1,005.0	-	0.0%
Indirect Cost Recoveries	0.9	-	-	-	-	-							102.3	102.3	-	0.0%
Patient/Client Care Reimbursement	204.3	156.2	154.9	222.3	108.2	243.2							1,087.1	1,125.5	(38.4)	-3.4%
Rebates	5.2	1.0	6.7	13.6	1.1	3.8							31.4	27.5	3.9	14.2%
Restitution and Settlements	7.6	1.2	0.8	1.0	5.9	0.4							16.9	58.4	(41.5)	-71.1%
Student Loans	40.9	36.9	57.4	39.2	43.7	67.5							265.6	245.8	20.8	8.5%
All Other	0.8	0.8	1.5	2.5	1.7	2.5							8.9	10.3	(1.4)	-13.6%
Sales	59.2	48.7	34.6	44.5	24.3	30.7							70.8	70.8	-	0.0%
Tuition	1,557.2	1,411.7	1,509.0	1,531.9	1,421.4	1,824.2							9,305.5	10,109.6	(804.1)	-8.0%
Total Miscellaneous Receipts	<u>1,959.0</u>	<u>1,588.5</u>	<u>1,972.1</u>	<u>1,780.2</u>	<u>1,658.0</u>	<u>2,330.4</u>							<u>11,285.2</u>	<u>12,033.3</u>	<u>(748.1)</u>	<u>-6.2%</u>
Federal Receipts	-	-	(0.9)	0.1	18.3	0.1							17.6	(2.5)	20.1	804.0%
Total Receipts	<u>1,959.0</u>	<u>1,588.5</u>	<u>1,972.1</u>	<u>1,780.2</u>	<u>1,658.0</u>	<u>2,330.4</u>							<u>11,285.2</u>	<u>12,033.3</u>	<u>(748.1)</u>	<u>-6.2%</u>

STATE OF NEW YORK
SPECIAL REVENUE FUNDS - STATE
STATEMENT OF CASH FLOW
FISCAL YEAR 2019-2020
(amounts in millions)

EXHIBIT G

	2019 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2020 JANUARY	FEBRUARY	MARCH	2019	2018	6 Months Ended September 30 Increase (Decrease)	% Increase/ Decrease
DISBURSEMENTS:																
Local Assistance Grants:																
Education	0.2	0.5	349.3	0.2	1.5	2,511.4							2,863.1	2,413.6	449.5	18.6%
Environment and Recreation	0.1	-	0.5	(0.1)	0.1	0.2							0.8	0.5	0.3	60.0%
General Government	25.4	18.7	1.8	20.2	12.5	22.9							101.5	77.0	24.5	31.8%
Public Health:																
Medicaid	(1.1)	881.9	385.3	582.8	688.2	607.5							3,122.6	2,668.6	458.0	17.1%
Other Public Health	60.1	32.7	104.7	43.5	165.1	54.2							480.3	483.6	(3.3)	-0.7%
Public Safety	20.1	14.4	16.1	16.5	20.1	17.0							97.2	81.1	16.1	19.8%
Police	0.3	0.4	0.5	0.5	0.9	0.7							3.8	3.1	0.7	22.6%
Support and Regulate Business	1.1	6.7	1.3	5.8	3.4	4.4							22.7	20.9	1.8	8.6%
Transportation	68.3	402.7	295.3	274.1	364.5	327.2							1,702.1	1,820.7	(118.6)	-6.5%
Total Local Assistance Grants	175.1	1,338.1	1,104.3	938.5	1,254.3	3,544.1	-	-	-	-	-	-	8,374.2	7,547.1	827.1	10.9%
Departmental Operations:																
Personal Service	401.7	580.0	393.6	448.4	352.4	388.2							2,695.3	2,389.6	305.7	12.8%
Non-Personal Service	244.3	248.5	198.0	228.4	254.8	216.2							1,390.0	1,353.3	36.7	2.7%
General State Charges	64.0	87.2	55.7	78.6	95.9	71.2							452.6	506.5	(53.9)	-10.6%
Capital Projects	-	-	0.1	-	(0.1)	-							-	-	-	0.0%
Total Disbursements	885.1	2,274.1	1,752.2	1,692.9	1,957.1	4,219.7	-	-	-	-	-	-	12,781.1	11,796.5	984.6	8.3%
Excess (Deficiency) of Receipts over Disbursements	1,073.9	(675.6)	219.9	87.3	(298.1)	(1,889.3)	-	-	-	-	-	-	(1,482.9)	236.3	(1,719.7)	-726.2%
OTHER FINANCING SOURCES (USES):																
Transfers from Other Funds	309.3	585.3	568.5	215.4	180.2	188.6							2,094.3	1,692.9	401.4	23.7%
Transfers to Other Funds	(38.3)	(0.3)	(29.5)	(128.8)	(32.5)	(107.2)							(335.4)	(107.5)	(227.9)	-212.0%
Total Other Financing Sources (Uses)	270.0	579.0	537.2	94.6	126.7	61.4	-	-	-	-	-	-	1,668.9	1,585.4	83.5	5.3%
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	1,343.9	(96.6)	757.1	181.9	(172.4)	(1,827.9)	-	-	-	-	-	-	186.0	1,872.2	(1,686.2)	-89.8%
Ending Fund Balance	\$ 6,434.7	\$ 6,338.1	\$ 7,095.2	\$ 7,277.1	\$ 7,104.7	\$ 5,276.8	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 5,276.8	\$ 5,830.7	\$ (553.9)	-9.5%

EXHIBIT G

STATE OF NEW YORK
SPECIAL REVENUE FUNDS - FEDERAL
STATEMENT OF CASH FLOW
FISCAL YEAR 2019-2020
(amounts in millions)

	6 Months Ended September 30												% Increase/ Decrease				
	2019						2018										
	APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	JANUARY	FEBRUARY	MARCH					
Beginning Fund Balance	\$ (1,248.4)	\$ 567.7	\$ (69.2)	\$ 891.4	\$ (396.5)	\$ 356.6								\$ (1,248.4)	\$ 293.6	\$ (1,542.0)	-525.2%
RECEIPTS:																	
Miscellaneous Receipts:																	
Abandoned Property:	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Assessments:																	
Business:	4.5	39.1	0.2	2.8	10.7	0.2								57.5	54.6	2.9	53%
Medical Care:	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Public Utilities:	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Other:	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Fees, Licenses and Permits:																	
Business/Professional:	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Civil:	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Criminal:	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Motor Vehicle:	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Recreational/Consumer:	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Fines, Penalties and Forfeitures	0.7	0.8	0.5	0.4	0.7	0.4								-	4.2	(0.7)	-16.7%
Interest Earnings	1.5	2.8	2.3	2.0	3.1	2.6								14.3	3.4	10.9	320.6%
Receipts from Public Authorities:																	
Cost Recovery:	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Cost Recovery Assessments	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Insurance Fees:	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Non Bond Related	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Receipts from Municipalities	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Rentals	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Revenues of State Departments:																	
Administrative Recoveries	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Commissions	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Gifts, Grants and Donations	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Indirect Cost Recoveries	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Patient/Client Care Reimbursement	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Rebates:	7.7	8.7	8.5	8.7	8.1	8.3								50.0	52.4	(2.4)	-4.6%
Restitution and Settlements	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Student Loans	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
All Other	0.3	-	0.2	-	0.1	-	-	-	-	-	-	-	-	0.6	1.6	(1.0)	-62.5%
Sales	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Tuition	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Total Miscellaneous Receipts	14.7	51.4	11.7	13.9	22.7	11.5	-	-	-	-	-	-	-	125.9	116.2	9.7	8.3%
Federal Receipts	6,203.7	4,816.3	5,672.5	3,118.0	5,855.1	5,483.9								31,149.5	29,768.0	1,381.5	4.6%
Total Receipts	6,218.4	4,867.7	5,684.2	3,131.9	5,877.8	5,495.4	-	-	-	-	-	-	-	31,275.4	29,884.2	1,391.2	4.7%

STATE OF NEW YORK
SPECIAL REVENUE FUNDS - FEDERAL
STATEMENT OF CASH FLOW
FISCAL YEAR 2019-2020
(amounts in millions)

	6 Months Ended September 30												EXHIBIT G
	2019 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2020 JANUARY	FEBRUARY	MARCH	
DISBURSEMENTS:													
Local Assistance Grants:													
Education	337.5	590.6	262.1	287.9	232.3	187.4					1,897.8	2,144.7	(246.9)
Environment and Recreation	0.1	0.1	-	-	0.1	0.3					0.6	1.3	(0.7)
General Government	2.0	3.5	8.7	1.2	11.7	2.1					28.2	30.8	(1.6)
Public Health:													
Medicaid	3,116.5	3,691.2	3,098.7	3,002.7	3,747.0	3,144.7					19,790.8	19,391.9	398.9
Other Public Health	483.3	487.8	700.8	485.1	487.8	666.8					3,291.6	3,355.4	(63.8)
Public Safety	116.2	150.5	42.7	59.8	66.3	49.9					485.4	476.5	8.9
Public Welfare	151.4	119.2	277.0	31.9	16.3	48.9					1,557.2	2,462.8	(905.6)
Statewide Programs:													
Regulate Business	8.2	11.2	10.2	0.0	2.3	0.6					1,444.2	1,444.2	-
Transportation	4.0	5.8	44.4	4.0	4.5	3.3					26.0	28.3	(2.3)
Total Local Assistance Grants	4,211.3	5,039.9	4,388.3	4,139.4	4,736.3	4,549.0	-	-	-	-	27,064.2	27,896.5	(832.3)
Departmental Operations:													
Personal Service	49.3	65.8	55.1	44.3	47.7	50.9					313.1	327.5	(14.4)
Non-Personal Service	70.1	76.3	96.4	81.6	105.5	186.4					616.3	649.1	(32.8)
General State Charges	21.4	24.1	34.0	35.6	24.5	24.5					164.1	249.6	(85.5)
Capital Projects	-	-	-	-	-	-					-	-	-
Total Disbursements	4,352.1	5,205.1	4,573.8	4,300.9	4,914.0	4,810.2	-	-	-	-	28,157.7	29,122.7	(965.0)
Excess (Deficiency) of Receipts over Disbursements	1,866.3	(338.4)	1,110.4	(1,169.0)	963.8	684.6	-	-	-	-	3,117.7	761.5	2,356.2
OTHER FINANCING SOURCES (USES):													
Transfers from Other Funds	-	-	-	-	-	-					-	-	-
Transfers to Other Funds	(50.2)	(298.5)	(148.9)	(118.9)	(210.7)	(76.5)					(604.6)	(873.7)	30.9
Total Other Financing Sources (Uses)	(50.2)	(298.5)	(148.9)	(118.9)	(210.7)	(76.5)	-	-	-	-	(604.6)	(873.7)	30.9
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	1,816.1	(636.9)	960.6	(1,287.9)	753.1	608.1	-	-	-	-	2,213.1	(112.2)	2,325.3
Ending Fund Balance	\$ 567.7	\$ (69.2)	\$ 891.4	\$ (396.5)	\$ 356.6	\$ 964.7	\$ -	\$ -	\$ -	\$ -	\$ 964.7	\$ 181.4	\$ 783.3
													431.8%

STATE OF NEW YORK
DEBT SERVICE FUNDS
STATEMENT OF CASH FLOW
FISCAL YEAR 2019-2020
(amounts in millions)

EXHIBIT H

	6 Months Ended September 30											
	2019						2020					
	APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	JANUARY	FEBRUARY	MARCH
Beginning Fund Balance	\$ 64.8	\$ 314.8	\$ 683.8	\$ 361.5	\$ 614.7	\$ 744.3						
RECEIPTS:												
Taxes:												
Personal Income Tax	4,607.7	1,242.3	2,604.9	1,665.8	1,454.3	2,415.7						
Consumption/Use Taxes:												
Sales and Use	538.2	551.0	743.2	579.3	581.5	761.5						
Total Consumption/Use Taxes	538.2	551.0	743.2	579.3	581.5	761.5						
Other Taxes:												
Real Estate Transfer	82.9	86.0	86.7	118.9	78.8	85.3						
Employer Compensation Expense Tax	-	0.1	-	0.1	0.1	0.1						
Total Other Taxes	82.9	86.1	86.7	119.0	78.9	85.4						
Total Taxes	5,228.8	1,879.4	3,434.8	2,364.1	2,114.7	3,262.6						
Miscellaneous Receipts:												
Assessments:												
Medical Care	-	-	-	-	-	-						
Fees, Licenses and Permits:												
Alcohol Beverage Control Licensing	-	-	-	-	-	-						
Business/Professional	-	-	-	-	-	-						
Civil	-	-	-	-	-	-						
Criminal	-	-	-	-	-	-						
Motor Vehicle	-	-	-	-	-	-						
Recreational/Consumer	-	-	-	-	-	-						
Interest Earnings	0.2	-	0.3	0.1	0.5	0.2						
Receipts from Municipalities	-	0.5	-	0.8	-	-						
Rentals	-	-	-	-	-	-						
Revenues of State Departments:												
Patent/Client Care Reimbursement	42.4	36.0	37.5	89.2	42.2	15.7						
All Other	-	-	-	-	-	-						
Total Miscellaneous Receipts	42.6	36.5	37.8	90.1	42.7	15.9						
Federal Receipts	-	-	-	1.6	35.2	-						
Total Receipts	5,271.4	1,915.9	3,472.6	2,455.8	2,192.6	3,278.5						
Disbursements:												
Departmental Operations:												
Non-Personal Service	0.7	2.3	2.6	8.6	6.8	1.5						
Debt Service, Including Payments on												
Financing Agreements	72.4	121.1	230.3	45.1	74.5	433.2						
Total Disbursements	73.1	123.4	232.9	53.7	81.3	434.7						
Excess (Deficiency) of Receipts over Disbursements	5,198.3	1,792.5	3,239.7	2,402.1	2,111.3	2,843.8						
OTHER FINANCING SOURCES (USES):												
Transfers from Other Funds	219.3	206.6	86.5	281.9	186.1	270.9						
Transfers to Other Funds	(5,167.6)	(1,630.1)	(3,648.5)	(2,430.8)	(2,167.8)	(3,552.0)						
Total Other Financing Sources (Uses)	(4,948.3)	(1,423.5)	(3,562.0)	(2,148.9)	(1,981.7)	(3,281.1)						
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	260.0	369.0	(322.3)	253.2	129.6	(437.3)						
Ending Fund Balance	\$ 314.8	\$ 683.8	\$ 361.5	\$ 614.7	\$ 744.3	\$ 307.0						

EXHIBIT I

STATE OF NEW YORK
CAPITAL PROJECTS FUNDS - COMBINED
STATEMENT OF CASH FLOW
FISCAL YEAR 2019-2020
(amounts in millions)

Beginning Fund Balance	6 Months Ended September 30												% Increase/ Decrease			
	2019															
	APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	JANUARY	FEBRUARY	MARCH				
	\$ (1,137.9)	\$ (1,018.2)	\$ (1,248.2)	\$ (1,212.5)	\$ (1,334.5)	\$ (1,351.4)								\$ (1,151.2)	\$ 13.3	1.2%
RECEIPTS:																
Taxes:																
Consumption/Use Taxes:																
Auto Rental	3.5	0.3	19.5	0.1	0.1	33.7	-	-	-	-	-	-	-	46.7	10.5	22.5%
Motor Fuel	36.4	34.8	36.6	30.4	37.8	34.1	-	-	-	-	-	-	-	211.7	(1.9)	-0.8%
Highway Use	54.2	45.7	65.9	45.0	48.6	78.8	-	-	-	-	-	-	-	337.4	0.2	-0.2%
Total Consumption/Use Taxes																0.2%
Business Taxes:																
Corporation Franchise	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Corporation and Utilities	3.3	(0.1)	1.9	-	-	3.1	-	-	-	-	-	-	-	8.2	0.4	5.1%
Petroleum Business	56.3	55.8	58.4	52.5	60.0	56.8	-	-	-	-	-	-	-	339.8	11.3	3.4%
Total Business Taxes	59.6	55.7	60.3	52.5	60.0	59.9	-	-	-	-	-	-	-	386.3	11.7	3.5%
Other Taxes:																
Real Estate Transfer	-	-	11.9	11.9	11.9	11.9	-	-	-	-	-	-	-	47.6	-	0.0%
Total Other Taxes	-	-	11.9	11.9	11.9	11.9	-	-	-	-	-	-	-	47.6	-	0.0%
Total Taxes	113.7	107.4	138.1	109.4	120.5	150.6	-	-	-	-	-	-	-	733.7	12.4	1.7%
Miscellaneous Receipts:																
Abandoned Property:																
Bottle Bill	-	-	23.0	-	-	-	-	-	-	-	-	-	-	23.0	-	0.0%
Assessments:																
Business	10.1	9.3	8.7	8.2	8.6	8.3	-	-	-	-	-	-	-	53.2	(6.3)	-10.6%
Fees, Licenses and Permits:																
Business/Professional	0.8	5.6	3.7	2.5	10.7	1.3	-	-	-	-	-	-	-	24.6	25.8	-4.7%
Civil	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Motor Vehicle	67.0	69.3	65.4	60.8	63.6	60.4	-	-	-	-	-	-	-	386.5	(29.1)	-7.0%
Recreational/Consumer	0.2	0.1	-	-	-	3.0	-	-	-	-	-	-	-	7.4	(4.1)	-55.4%
Fines, Penalties and Forfeitures	2.3	2.0	2.3	1.7	1.7	2.3	-	-	-	-	-	-	-	14.2	(1.9)	-13.4%
Interest Earnings	1.1	1.0	1.0	1.1	1.0	1.0	-	-	-	-	-	-	-	5.2	1.0	19.2%
Receipts from Public Authorities:																
Bond Proceeds	200.8	2.8	146.4	155.3	79.2	498.1	-	-	-	-	-	-	-	1,083.6	506.2	87.7%
Insurance Fees	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Non Bond Related	3.5	0.1	0.4	(0.1)	-	-	-	-	-	-	-	-	-	3.9	2.2	1.7
Receipts from Municipalities	0.3	-	0.3	-	0.3	0.2	-	-	-	-	-	-	-	0.5	0.6	120.0%
Rentals	0.9	0.8	0.5	0.6	1.6	0.7	-	-	-	-	-	-	-	5.1	6.3	(1.2)
Revenues of State Departments:																
Administrative Recoveries	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Gifts, Grants and Donations	10.1	0.5	0.3	0.6	0.3	0.3	-	-	-	-	-	-	-	0.5	11.6	2320.0%
Indirect Cost Recoveries	(0.9)	-	-	-	-	-	-	-	-	-	-	-	-	(0.9)	(0.9)	-100.0%
Rebates	-	0.1	0.1	-	-	-	-	-	-	-	-	-	-	0.3	(0.1)	-33.3%
Restitution and Settlements	0.2	0.5	0.4	0.1	0.2	0.1	-	-	-	-	-	-	-	1.5	6.2	(4.7)
Sales	3.6	0.8	1.1	14.5	12.3	4.9	-	-	-	-	-	-	-	37.2	25.2	210.0%
Total Miscellaneous Receipts	304.2	92.9	253.6	245.3	178.5	581.6	-	-	-	-	-	-	-	1,657.7	499.4	43.1%
Federal Receipts	38.3	142.9	132.2	155.7	173.6	179.6	-	-	-	-	-	-	-	1,092.9	(270.6)	-24.8%
Total Receipts	456.2	337.2	523.9	510.4	473.6	911.8	-	-	-	-	-	-	-	2,971.9	241.2	8.1%

EXHIBIT I

STATE OF NEW YORK
CAPITAL PROJECTS FUNDS - COMBINED
STATEMENT OF CASH FLOW
FISCAL YEAR 2019-2020
(amounts in millions)

	2019												Intra-Fund Transfer Eliminations (*)	6 Months Ended September 30			% Increase/ Decrease
	APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	JANUARY	FEBRUARY	MARCH		2019	2018	\$ Increase/ (Decrease)	
DISBURSEMENTS:																	
Local Assistance Grants:																	
Education	9.2	3.2	10.3	10.7	12.0	42.4							-	87.8	89.0	(11.2)	-11.3%
Environment and Recreation	25.1	33.5	2.1	15.0	14.0	17.6							-	107.3	87.9	19.4	9.8%
General Government	31.2	121.8	145.0	39.4	17.8	59.1							-	418.3	383.9	24.4	6.2%
Public Health:																	
Medicaid	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Other Public Health	30.8	41.6	20.6	76.0	17.7	20.2							-	206.9	154.5	52.4	33.9%
Public Safety	10.0	(0.1)	(0.7)	0.1	0.3	12.0							-	21.6	34.1	(12.5)	-36.7%
Public Welfare	-	10.9	86.1	6.0	9.1	42.8							-	154.9	205.3	(50.4)	-24.5%
Support and Regulate Business	26.7	149.2	197.4	39.2	40.9	61.9							-	515.3	391.3	124.0	31.7%
Transportation	232.7	44.7	70.8	57.1	45.1	508.7							-	859.1	778.7	80.4	23.2%
Total Local Assistance Grants	385.7	404.8	535.6	243.5	156.9	764.7	-	-	-	-	-	-	-	2,471.2	2,154.7	316.5	14.7%
Department of Operations:																	
Police Services	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Fire Services	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Non-Personal Service	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
General State Changes	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Capital Projects	434.1	528.1	536.4	600.7	750.4	592.6							-	3,432.3	3,510.3	(78.0)	-2.2%
Total Disbursements	799.8	932.9	1,072.0	844.2	907.3	1,347.3	-	-	-	-	-	-	-	5,903.5	5,665.0	238.5	4.2%
Excess (Deficiency) of Receipts over Disbursements	(343.6)	(595.7)	(548.1)	(333.8)	(433.7)	(435.5)	-	-	-	-	-	-	-	(2,690.4)	(2,693.1)	2.7	0.1%
OTHER FINANCING SOURCES (USES):																	
Bond Proceeds	509.2	412.4	633.5	280.6	486.3	752.3							-	3,954.3	2,776.5	2,777.8	0.0%
Transfers from Other Funds	(45.9)	(47.7)	(48.7)	(48.8)	(69.5)	(253.5)							-	(514.1)	(394.5)	119.6	10.0%
Transfers to Other Funds	-	-	-	-	-	-							-	-	-	-	30.3%
Total Other Financing Sources (Uses)	463.3	364.7	584.8	231.8	416.8	498.8	-	-	-	-	-	-	-	2,540.2	2,382.0	158.2	6.6%
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	119.7	(231.0)	36.7	(122.0)	(16.9)	63.3	-	-	-	-	-	-	-	(150.2)	(311.1)	160.9	51.7%
Ending Fund Balance	\$ (1,018.2)	\$ (1,249.2)	\$ (1,212.5)	\$ (1,334.5)	\$ (1,351.4)	\$ (1,288.1)	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ (1,288.1)	\$ (1,462.3)	\$ 174.2	11.9%

(*) Intra-Fund transfer eliminations represent transfers from Capital Projects-State and Federal Funds.

EXHIBIT I

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EXHIBIT I

STATE OF NEW YORK
CAPITAL PROJECTS FUNDS - STATE
STATEMENT OF CASH FLOW
FISCAL YEAR 2019-2020
(amounts in millions)

	2019 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2020 JANUARY	FEBRUARY	MARCH	6 Months Ended September 30		
													2019	2018	% Increase/ Decrease
DISBURSEMENTS:															
Local Assistance Grants:															
Education	9.2	3.2	10.3	10.7	12.0	42.4							87.8	99.0	-11.3%
Environment and Recreation	25.1	33.5	2.1	15.0	14.0	17.6							107.3	97.9	9.4
General Government	31.2	121.8	149.0	39.4	17.8	59.1							418.3	393.9	6.2%
Public Health:															
Medicaid	-	-	-	-	-	-							-	-	0.0%
Other Public Health	30.8	41.6	20.6	75.6	17.7	19.4							205.7	151.7	35.6%
Public Safety	10.0	(0.1)	(10.8)	-	0.3	2.0							1.4	16.5	-91.5%
Public Welfare	-	10.9	86.1	6.0	9.1	42.8							154.9	205.3	-24.5%
Support and Regulate Business	26.7	149.2	197.4	39.2	40.9	61.9							515.3	391.3	31.7%
Transportation	192.7	7.1	23.2	19.3	5.8	476.3							724.4	568.6	27.4%
Total Local Assistance Grants	325.7	367.2	477.9	205.2	117.6	721.5							2,215.1	1,924.2	15.1%
Departmental Operations:															
Personal Service	-	-	-	-	-	-							-	-	0.0%
Non-Personal Service	-	-	-	-	-	-							-	-	0.0%
General State Charges	368.7	444.7	435.8	496.3	632.0	465.7							2,843.2	2,873.7	-1.1%
Capital Projects															
Total Disbursements	694.4	811.9	913.7	701.5	749.6	1,187.2							5,056.3	4,797.9	5.4%
Excess (Deficiency) of Receipts over Disbursements	(276.6)	(617.6)	(522.1)	(346.9)	(449.6)	(452.8)							(2,665.6)	(2,916.9)	8.6%
OTHER FINANCING SOURCES (USES):															
Bond Proceeds (net)	-	-	-	-	-	-							-	-	0.0%
Transfers from Other Funds	509.2	412.4	633.5	260.6	486.3	752.3							3,054.3	2,776.5	10.0%
Transfers to Other Funds	(45.9)	(47.7)	(48.7)	(48.8)	(69.5)	(253.5)							(614.1)	(394.4)	30.3%
Total Other Financing Sources (Uses)	463.3	364.7	584.8	211.8	416.8	498.8							2,540.2	2,382.1	6.6%
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	186.7	(252.9)	62.7	(135.1)	(32.8)	46.0							(125.4)	(534.8)	76.6%
Ending Fund Balance	\$ (446.5)	\$ (698.4)	\$ (636.7)	\$ (771.8)	\$ (804.6)	\$ (758.6)	\$	\$	\$	\$	\$	\$	\$ (758.6)	\$ (1,103.2)	31.2%

EXHIBIT I

STATE OF NEW YORK
CAPITAL PROJECTS FUNDS - FEDERAL
STATEMENT OF CASH FLOW
FISCAL YEAR 2019-2020
(amounts in millions)

	6 Months Ended September 30												% Increase/ Decrease			
	2019	2018	2020													
	APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	JANUARY	FEBRUARY	MARCH				
Beginning Fund Balance	\$ (504.7)	\$ (571.7)	\$ (549.8)	\$ (575.8)	\$ (562.7)	\$ (546.8)							\$ (504.7)	\$ (582.8)	\$ 78.1	13.4%
RECEIPTS:																
Miscellaneous Receipts:																
Abandoned Property:																
Bottle Bill	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Assessments:																
Business	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Fees, Licenses and Permits:																
Business/Professional	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Civil	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Motor Vehicle	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Recreational/Consumer	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Fines, Penalties and Forfeitures	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Interest Earnings	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Receipts from Public Authorities:																
Bond Proceeds	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Insurance Fees	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Non Bond Related	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Receipts from Municipalities	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Rentals	0.1	0.1	0.1	0.1	0.1	0.1	0.1	0.1	0.1	0.1	0.1	0.1	0.4	0.4	0.4	0.0%
Revenues of State Departments:																
Administrative Recoveries	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Gifts, Grants and Donations	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Indirect Cost Recoveries	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Restitution and Settlements	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
All Other	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Sales	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Total Miscellaneous Receipts	0.1	0.1	0.1	0.1	0.1	0.1	-	-	-	-	-	-	0.4	0.5	(0.1)	-20.0%
Federal Receipts	38.3	142.9	132.2	155.7	173.6	177.3							820.0	1,090.4	(270.4)	-24.8%
Total Receipts	38.4	142.9	132.3	155.8	173.6	177.4	-	-	-	-	-	-	820.4	1,090.9	(270.5)	-24.8%
DISBURSEMENTS:																
Local Assistance Grants:																
Education	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Environment and Recreation	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
General Government	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Public Health:																
Medicaid	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Other Public Health	-	-	-	0.4	-	0.8	-	-	-	-	-	-	1.2	2.8	(1.6)	-57.1%
Public Safety	-	-	10.1	0.1	-	10.0	-	-	-	-	-	-	20.2	17.6	2.6	14.8%
Public Welfare	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Support and Regulate Business	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Transportation	40.0	37.6	47.6	37.8	39.3	32.4	-	-	-	-	-	-	234.7	210.1	24.6	11.7%
Total Local Assistance Grants	40.0	37.6	57.7	38.3	39.3	43.2	-	-	-	-	-	-	256.1	230.5	25.6	11.1%
Departmental Operations:																
Personal Service	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Non-Personal Service	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
General State Charges	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Capital Projects	65.4	83.4	100.6	104.4	118.4	116.9							589.1	636.6	(47.5)	-7.5%
Total Disbursements	105.4	121.0	158.3	142.7	157.7	160.1	-	-	-	-	-	-	845.2	867.1	(21.9)	-2.5%
Excess (Deficiency) of Receipts over Disbursements	(67.0)	21.9	(26.0)	13.1	15.9	17.3	-	-	-	-	-	-	(24.8)	223.8	(248.6)	-111.1%
OTHER FINANCING SOURCES (USES):																
Transfers from Other Funds	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Transfers to Other Funds	-	-	-	-	-	-	-	-	-	-	-	-	-	(0.1)	(0.1)	-100.0%
Total Other Financing Sources (Uses)	-	-	-	-	-	-	-	-	-	-	-	-	-	(0.1)	(0.1)	-100.0%
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	(67.0)	21.9	(26.0)	13.1	15.9	17.3	-	-	-	-	-	-	(24.8)	223.7	(248.5)	-111.1%
Ending Fund Balance	\$ (571.7)	\$ (549.8)	\$ (575.8)	\$ (562.7)	\$ (546.8)	\$ (529.5)	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ (529.5)	\$ (359.1)	\$ (170.4)	-47.5%

STATE OF NEW YORK
ENTERPRISE FUNDS
STATEMENT OF CASH FLOW
FISCAL YEAR 2019-2020
(amounts in millions)

EXHIBIT J

	6 Months Ended September 30													% Increase/ (Decrease)	8.1%
	2019 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	JANUARY	FEBRUARY	MARCH			
Beginning Fund Balance	\$ 26.6	\$ 26.6	\$ 26.9	\$ 27.3	\$ 27.8	\$ 27.1							2019 \$ 26.6	2018 \$ 24.6	
RECEIPTS:															
Miscellaneous Receipts	4.8	6.1	5.1	5.5	6.7	17.2							45.4	33.2	12.2
Federal Receipts	1.1	1.0	0.9	1.0	0.9	0.9							5.8	6.1	(0.3)
Unemployment Taxes	187.5	139.2	134.9	186.0	160.6	150.3							988.5	949.5	9.0
Total Receipts	193.4	146.3	140.9	192.5	168.2	168.4	-	-	-	-	-	-	1,009.7	988.8	20.9
DISBURSEMENTS:															
Departmental Operations:															
Personal Service	0.3	0.6	0.3	0.3	0.4	0.4							2.3	2.3	-
Non-Personal Service	3.6	5.1	4.5	4.4	7.2	4.3							29.1	26.1	3.0
General State Charges	0.1	-	0.1	0.1	-	0.1							0.4	0.6	(0.2)
Unemployment Benefits	189.4	140.3	135.6	187.2	161.3	151.4							985.2	955.4	9.8
Total Disbursements	193.4	146.0	140.5	192.0	168.9	156.2	-	-	-	-	-	-	997.0	984.4	12.6
Excess (Deficiency) of Receipts over Disbursements	-	0.3	0.4	0.5	(0.7)	12.2	-	-	-	-	-	-	12.7	4.4	8.3
OTHER FINANCING SOURCES (USES):															
Transfers from Other Funds	-	-	-	-	-	-							-	-	-
Transfers to Other Funds	-	-	-	-	-	-							-	-	-
Total Other Financing Sources (Uses)	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
Excess (Deficiency) of Receipts and Other Financing Sources Over Disbursements and Other Financing Uses	-	0.3	0.4	0.5	(0.7)	12.2	-	-	-	-	-	-	12.7	4.4	8.3
Ending Fund Balance	\$ 26.6	\$ 26.9	\$ 27.3	\$ 27.8	\$ 27.1	\$ 39.3	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 39.3	\$ 29.0	\$ 10.3

STATE OF NEW YORK
INTERNAL SERVICE FUNDS
STATEMENT OF CASH FLOW
FISCAL YEAR 2019-2020
(amounts in millions)

EXHIBIT K

	2019												6 Months Ended September 30		% Increase/ (Decrease)	% Increase/ (Decrease)
	2019 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	JANUARY	FEBRUARY	MARCH	2019 \$ (302.7)	2018 \$ (269.2)	\$ (33.5)	-12.4%
Beginning Fund Balance																
RECEIPTS:																
Miscellaneous Receipts	33.2	32.5	42.3	56.6	37.9	61.7							264.2	255.8	8.4	3.3%
Total Receipts	33.2	32.5	42.3	56.6	37.9	61.7							264.2	255.8	8.4	3.3%
DISBURSEMENTS:																
Departmental Operations:																
Personal Service	9.7	14.0	9.6	9.7	9.9	9.6							62.5	52.3	10.2	19.5%
Non-Personal Service	24.4	56.3	5.9	34.7	36.4	34.1							191.8	217.2	(25.4)	-11.7%
General State Charges	4.1	4.9	6.6	5.2	4.6	4.6							30.0	45.5	(15.5)	-34.1%
Total Disbursements	38.2	75.2	22.1	49.6	50.9	48.3							284.3	315.0	(30.7)	-9.7%
Excess (Deficiency) of Receipts over Disbursements	(5.0)	(42.7)	20.2	7.0	(13.0)	13.4							(20.1)	(59.2)	39.1	66.0%
OTHER FINANCING SOURCES (USES):																
Transfers from Other Funds	14.3	17.5	4.7	2.3	1.3	5.3							45.4	39.0	6.4	16.4%
Transfers to Other Funds	-	-	-	-	(0.4)	(0.5)							(0.9)	(7.0)	(6.1)	-87.1%
Total Other Financing Sources (Uses)	14.3	17.5	4.7	2.3	0.9	4.8							44.5	32.0	12.5	39.1%
Excess (Deficiency) of Receipts and Other Financing Sources Over Disbursements and Other Financing Uses	9.3	(25.2)	24.9	9.3	(12.1)	18.2							24.4	(27.2)	51.6	189.7%
Ending Fund Balance	\$ (293.4)	\$ (318.6)	\$ (293.7)	\$ (284.4)	\$ (296.5)	\$ (278.3)	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ (278.3)	\$ (296.4)	\$ 18.1	6.1%

STATE OF NEW YORK
PENSION TRUST FUNDS
STATEMENT OF CASH FLOW
FISCAL YEAR 2019-2020
(amounts in millions)

EXHIBIT L

	2019		2020										6 Months Ended September 30		\$ Increase/ (Decrease)	% Increase Decrease				
	APRIL	\$ (3.0)	MAY	\$ (7.4)	JUNE	\$ (11.8)	JULY	\$ (16.5)	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	JANUARY			FEBRUARY	MARCH	2019	2018
Beginning Fund Balance																				
RECEIPTS:																				
Miscellaneous Receipts	5.3	7.8	5.1	5.1	30.0	5.2												58.5	66.5	
Total Receipts	5.3	7.8	5.1	5.1	30.0	5.2												58.5	66.5	
DISBURSEMENTS:																				
Departmental Operations:																				
Personal Service	5.3	7.7	5.4	5.0	5.1	5.3												33.6	33.6	
Non-Personal Service	1.1	1.1	1.1	1.3	1.1	1.6												7.3	7.2	
General State Charges	3.3	3.4	3.3	4.9	3.2	3.3												21.4	29.2	
Total Disbursements	9.7	12.2	9.8	11.2	9.4	10.2												62.5	70.0	
Excess (Deficiency) of Receipts over Disbursements	(4.4)	(4.4)	(4.7)	(6.1)	20.6	(5.0)												(4.0)	(3.5)	
OTHER FINANCING SOURCES (USES):																				
Transfers from Other Funds	-	-	-	-	-	-												-	-	
Transfers to Other Funds	-	-	-	-	-	-												-	-	
Total Other Financing Sources (Uses)	-	-	-	-	-	-												-	-	
Excess (Deficiency) of Receipts and Other Financing Sources Over Disbursements and Other Financing Uses	(4.4)	(4.4)	(4.7)	(6.1)	20.6	(5.0)												(4.0)	(3.5)	
Ending Fund Balance	\$ (7.4)	\$ (11.8)	\$ (16.5)	\$ (22.6)	\$ (2.0)	\$ (7.0)	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ (7.0)	\$ (5.5)	\$ (1.5)	\$ (1.5)	\$ (1.5)	\$ (1.5)	

STATE OF NEW YORK
PRIVATE PURPOSE TRUST FUNDS
STATEMENT OF CASH FLOW
FISCAL YEAR 2019-2020
(amounts in millions)

	2019				2020				2021				6 Months Ended September 30		\$ Increase/ (Decrease)		% Increase/ (Decrease)	
	APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	JANUARY	FEBRUARY	MARCH	2019	2018	\$	\$		
	\$ 13.2	\$ 13.4	\$ 13.5	\$ 13.6	\$ 13.7	\$ 13.8							\$ 13.2	\$ 11.9	\$	\$	10.9%	10.9%
RECEIPTS:																		
Miscellaneous Receipts	0.2	0.1	0.1	0.2	0.1	0.1							0.8	0.8	-	-	0.0%	0.0%
Total Receipts	0.2	0.1	0.1	0.2	0.1	0.1	-	-	-	-	-	-	0.8	0.8	-	-	0.0%	0.0%
DISBURSEMENTS:																		
Departmental Operations:																		
Personal Service	-	-	-	0.1	-	-							0.1	0.1	-	-	0.0%	0.0%
Non-Personal Service	-	-	-	-	-	-							-	-	-	-	0.0%	0.0%
General State Charges	-	-	-	-	-	0.1							0.1	0.1	-	-	100.0%	100.0%
Total Disbursements	-	-	-	0.1	-	0.1	-	-	-	-	-	-	0.2	0.1	0.1	0.1	100.0%	100.0%
Excess (Deficiency) of Receipts over Disbursements	0.2	0.1	0.1	0.1	0.1	-	-	-	-	-	-	-	0.6	0.7	(0.1)	(0.1)	-14.3%	-14.3%
OTHER FINANCING SOURCES (USES):																		
Transfers from Other Funds	-	-	-	-	-	-							-	-	-	-	0.0%	0.0%
Transfers to Other Funds	-	-	-	-	-	-							-	-	-	-	0.0%	0.0%
Total Other Financing Sources (Uses)	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%	0.0%
Excess (Deficiency) of Receipts and Other Financing Sources Over Disbursements and Other Financing Uses	0.2	0.1	0.1	0.1	0.1	-	-	-	-	-	-	-	0.6	0.7	(0.1)	(0.1)	-14.3%	-14.3%
Ending Fund Balance	\$ 13.4	\$ 13.5	\$ 13.6	\$ 13.7	\$ 13.8	\$ 13.8	\$	\$	\$	\$	\$	\$	\$ 13.8	\$ 12.6	\$	\$	1.2	9.5%

SCHEDULE 1

STATE OF NEW YORK
GOVERNMENTAL FUNDS
SUMMARY OF CASH RECEIPTS, DISBURSEMENTS AND
CHANGES IN FUND BALANCES
FISCAL YEAR 2019-2020
FOR THE MONTH OF SEPTEMBER 2019
(amounts in millions)

	BALANCE SEPTEMBER 1, 2019	RECEIPTS	DISBURSEMENTS	OTHER FINANCING SOURCES (USES)	BALANCE SEPTEMBER 30, 2019
GENERAL FUND					
10000-10049-Local Assistance Account	\$ -	\$ 0.048	\$ 4,115.643	\$ 4,115.595	\$ -
10050-10099-State Operations Account	6,852.892	4,847.721	1,341.818	(1,342.109)	9,016.686
10100-10149-Tax Stabilization Reserve	-	-	-	-	-
10150-10199-Contingency Reserve	-	-	-	-	-
10200-10249-Universal Pre-K Reserve	-	-	-	-	-
10250-10299-Community Projects	33.546	-	0.421	-	33.125
10300-10349-Rainy Day Reserve Fund	-	-	-	-	-
10400-10449-Refund Reserve Account	-	-	-	-	-
10500-10549-Fringe Benefits Escrow	-	-	-	-	-
10550-10599-Tobacco Revenue Guarantee	-	-	-	-	-
TOTAL GENERAL FUND	6,886.438	4,847.769	5,457.882	2,773.486	9,049.811
SPECIAL REVENUE FUNDS-STATE					
20000-20099-Mental Health Gifts and Donations	0.825	0.002	-	-	0.827
20100-20299-Combined Expendable Trust	69.860	0.539	0.779	-	69.620
20300-20349-New York Interest on Lawyer Account	83.960	5.720	3.524	-	86.156
20350-20399-NYS Archives Partnership Trust	0.220	-	0.032	(0.018)	0.170
20400-20449-Child Performer's Protection	0.334	0.016	0.047	-	0.303
20450-20499-Tuition Reimbursement	8.048	0.444	0.326	(0.116)	8.050
20500-20549-New York State Local Government Records Management Improvement	4.308	1.463	1.866	(0.131)	3.774
20550-20599-School Tax Relief	0.002	-	(0.002)	-	0.004
20600-20649-Charter Schools Stimulus	2.004	0.007	0.773	-	1.238
20650-20699-Not-For-Profit Short Term Revolving Loan	-	-	-	-	-
20800-20849-HCRA Resources	136.966	531.945	584.477	(4.571)	79.863
20850-20899-Dedicated Mass Transportation Trust	68.309	53.678	51.000	15.666	86.653
20900-20949-State Lottery	1,522.305	254.335	2,510.312	-	(733.672)
20950-20999-Combined Student Loan	39.948	1.923	0.546	-	41.325
21000-21049-Sewage Treatment Program Mgmt. & Administration	(3.700)	-	0.052	-	(3.752)
21050-21149-Encon Special Revenue	(8.973)	8.134	7.446	3.312	(4.973)
21150-21199-Conservation	74.913	9.227	3.061	0.609	81.688
21200-21249-Environmental Protection and Oil Spill Compensation	31.363	6.130	1.680	(2.855)	32.958
21250-21299-Training and Education Program on OSHA	12.746	0.149	4.100	-	8.795
21300-21349-Lawyers' Fund for Client Protection	6.525	0.903	0.416	-	7.012
21350-21399-Equipment Loan for the Disabled	0.544	0.004	-	-	0.548
21400-21449-Mass Transportation Operating Assistance	201.406	359.106	227.666	5.551	338.397
21450-21499-Clean Air	(27.980)	(3.511)	3.184	-	(34.675)
21500-21549-New York State Infrastructure Trust	0.070	-	-	-	0.070
21550-21599-Legislative Computer Services	12.134	0.093	0.445	-	11.782
21600-21649-Biodiversity Stewardship and Research	-	-	-	-	-
21650-21699-Combined Non-Expendable Trust	0.464	0.001	-	-	0.465
21700-21749-Winter Sports Education Trust	-	-	-	-	-
21750-21799-Musical Instrument Revolving	-	-	-	-	-
21850-21899-Arts Capital Grants	0.001	-	-	-	0.001
21900-22499-Miscellaneous State Special Revenue	0.964	0.003	-	-	0.967
22500-22549-Court Facilities Incentive Aid	1,270.142	331.437	253.791	47.703	1,395.491
	50.478	0.105	7.182	-	43.401

SCHEDULE 1

STATE OF NEW YORK
GOVERNMENTAL FUNDS
SUMMARY OF CASH RECEIPTS, DISBURSEMENTS AND
CHANGES IN FUND BALANCES
FISCAL YEAR 2019-2020
FOR THE MONTH OF SEPTEMBER 2019
(amounts in millions)

	BALANCE SEPTEMBER 1, 2019	RECEIPTS	DISBURSEMENTS	OTHER FINANCING SOURCES (USES)	BALANCE SEPTEMBER 30, 2019
SPECIAL REVENUE FUNDS-STATE (CONTINUED)					
22500-22599-Employment Training	0.052	-	-	-	0.052
22650-22699-State University Income	1,909.870	691.869	484.597	6.781	2,123.923
22700-22749-Chemical Dependence Service	12.133	1.096	0.085	-	13.144
22750-22799-Lake George Park Trust	0.609	0.145	0.126	-	0.628
22800-22849-State Police Motor Vehicle Law Enforcement and Motor Vehicle Theft and Insurance Fraud Prevention	76.334	2.130	0.848	-	77.616
22850-22899-New York Great Lakes Protection	0.462	-	0.011	-	0.451
22900-22949-Federal Revenue Maximization	0.024	-	-	-	0.024
22950-22999-Housing Development	9.317	0.019	0.100	-	9.236
23000-23049-NYS/DOT Highway Safety Program	(13.652)	0.185	0.469	-	(13.936)
23050-23099-Vocational Rehabilitation	0.022	0.008	-	-	0.030
23100-23149-Drinking Water Program Management and Administration	(5.351)	-	-	-	(5.351)
23150-23199-NYC County Clerks' Operations Offset	(42.559)	-	2.452	-	(45.011)
23200-23249-Judiciary Data Processing Offset	38.553	6.282	2.317	-	42.518
23250-23449-JFR/CUTRA	191.165	19.157	10.286	-	200.036
23500-23549-USOC Lake Placid Training	0.250	0.008	-	-	0.258
23550-23599-Indigent Legal Services	384.160	28.711	4.646	-	388.225
23600-23649-Unemployment Insurance Interest and Penalty	38.602	0.931	0.278	(8.630)	30.625
23650-23699-MTA Financial Assistance Fund	50.452	0.031	48.850	37.500	39.133
23700-23749-New York State Commercial Gaming Fund	47.674	15.266	0.958	-	61.982
23750-23799-Medical Marijuana Trust Fund	9.367	0.448	0.968	-	8.847
23800-23899-Dedicated Miscellaneous State Special Revenue	2.559	0.124	0.023	(0.014)	2.646
24850-24899-Health Care Transformation	530.765	1.053	-	-	531.818
24900-24949-Charitable Gifts Trust Fund	94.561	0.188	-	-	94.749
24950-24999-Interactive Fantasy Sports	15.449	0.324	-	-	15.773
40350-40399-State University Dormitory Income	215.712	0.515	-	(39.313)	176.914
TOTAL SPECIAL REVENUE FUNDS-STATE	7,104.716	2,330.343	4,219.717	61.474	5,276.816
SPECIAL REVENUE FUNDS-FEDERAL					
25000-25099-Federal USDA/Food and Consumer Services	(4.741)	104.687	107.776	(0.622)	(8.452)
25100-25199-Federal Health and Human Services	505.339	5,006.168	4,316.867	(70.015)	1,124.625
25200-25249-Federal Education	(30.229)	274.224	275.192	(2.276)	(33.473)
25300-25899-Federal Miscellaneous Operating Grants	(274.903)	77.558	76.488	(3.585)	(277.418)
25900-25949-Unemployment Insurance Administration	164.114	22.030	23.826	-	162.318
25950-25999-Unemployment Insurance Occupational Training	(0.409)	0.191	0.296	-	(0.514)
26000-26049-Federal Employment and Training Grants	(2.582)	10.584	10.446	-	(2.444)
TOTAL SPECIAL REVENUE FUNDS-FEDERAL	356.589	5,495.442	4,810.891	(76.498)	964.642
TOTAL SPECIAL REVENUE FUNDS	7,461.305	7,825.785	9,030.608	(15.024)	6,241.458
DEBT SERVICE FUNDS					
40000-40049-Debt Reduction Reserve	-	-	-	-	-
40100-40149-Mental Health Services	81.146	13.374	-	10.495	105.015
40150-40199-General Debt Service	604.973	2,796.530	418.917	(2,819.336)	163.250
40250-40299-State Housing Debt Service	-	0.004	2.310	2.306	-
40300-40349-Department of Health Income	37.547	2.481	-	(9.964)	30.064
40400-40449-Clean Water/Clean Air	7.197	85.331	-	(83.838)	8.690
40450-40499-Local Government Assistance Tax	13.439	380.803	13.440	(380.802)	-
TOTAL DEBT SERVICE FUNDS	744.302	3,278.523	434.667	(3,281.139)	307.019

SCHEDULE 1

STATE OF NEW YORK
GOVERNMENTAL FUNDS
SUMMARY OF CASH RECEIPTS, DISBURSEMENTS AND
CHANGES IN FUND BALANCES
FISCAL YEAR 2019-2020
FOR THE MONTH OF SEPTEMBER 2019
(amounts in millions)

	BALANCE SEPTEMBER 1, 2019	RECEIPTS	DISBURSEMENTS	OTHER FINANCING SOURCES (USES)	BALANCE SEPTEMBER 30, 2019
CAPITAL PROJECTS FUNDS					
30000-30049-State Capital Projects	-	255,516	841,427	585,911	-
30050-30099-Dedicated Highway and Bridge Trust	(142,663)	387,750	162,382	(234,907)	(152,202)
30100-30299-SUNY Residence Halls Rehabilitation and Repair	129,514	0,273	1,955	18,064	145,896
30300-30349-New York State Canal System Development	12,813	0,025	-	-	12,838
30350-30399-Parks Infrastructure	(79,312)	2,962	8,589	-	(84,939)
30400-30449-Passenger Facility Charge	0,015	-	-	-	0,015
30450-30499-Environmental Protection	103,215	13,125	22,060	-	94,280
30500-30549-Clean Water/Clean Air Implementation	-	-	-	-	-
30600-30609-Energy Conservation Thru Improved Transportation Bond	0,164	-	-	-	0,164
30610-30619-Park and Recreation Land Acquisition Bond	-	-	-	-	-
30620-30629-Pure Waters Bond	0,668	-	-	-	0,668
30630-30639-Transportation Capital Facilities Bond	3,328	-	-	-	3,328
30640-30649-Environmental Quality Protection Bond	1,419	-	-	-	1,419
30650-30659-Rebuild and Renew New York Transportation Bond	18,550	-	-	-	18,550
30660-30669-Transportation Infrastructure Renewal Bond	4,255	-	-	-	4,255
30670-30679-1986 Environmental Quality Bond Act	5,551	-	-	-	5,551
30680-30689-Accelerated Capacity and Transportation Improvement Bond	2,778	-	-	-	2,778
30690-30699-Clean Water/Clean Air Bond	1,428	-	-	-	1,428
30700-30709-State Housing Bond	-	-	-	-	-
30710-30719-Smart Schools Bond	-	-	-	-	-
30750-30799-Outdoor Recreation and Development Bond	-	-	-	-	-
30900-30949-Rail Preservation and Development Bond	-	-	-	-	-
31350-31449-Federal Capital Projects	(546,842)	177,446	160,071	-	(529,467)
31450-31499-Forest Preserve Expansion	1,067	0,002	-	-	1,069
31500-31549-Hazardous Waste Remedial	(107,367)	1,254	7,902	(0,513)	(114,528)
31650-31699-Suburban Transportation	0,532	0,001	-	-	0,533
31700-31749-Division for Youth Facilities Improvement	(23,427)	-	1,135	-	(24,562)
31800-31849-Housing Assistance	(12,942)	-	-	-	(12,942)
31850-31899-Housing Program	(227,507)	-	17,371	-	(244,878)
31900-31949-Natural Resource Damage	17,089	0,534	0,035	-	17,598
31950-31999-DOT Engineering Services	(12,294)	-	(0,004)	-	(12,290)
32200-32249-Miscellaneous Capital Projects	99,907	0,893	3,138	1,134	98,796
32250-32299-CUNY Capital Projects	0,010	-	-	-	0,010
32300-32349-Mental Hygiene Facilities Capital Improvement	(434,422)	67,627	15,531	1,000	(381,326)
32350-32399-Correction Facilities Capital Improvement	(335,247)	0,012	30,732	-	(365,967)
32400-32499-State University Capital Projects	134,848	0,262	5,755	28,059	157,414
33000-33049-NYS Storm Recovery Fund	(64,799)	4,122	2,422	-	(63,099)
33050-33099-Dedicated Infrastructure Investment Fund	98,280	-	66,770	100,000	131,510
TOTAL CAPITAL PROJECTS FUNDS	(1,351,381)	911,804	1,347,271	498,748	(1,288,100)
TOTAL GOVERNMENTAL FUNDS	\$ 13,740,664	\$ 16,863,881	\$ 16,270,428	\$ (23,929)	\$ 14,310,188

SCHEDULE 2

STATE OF NEW YORK
 PROPRIETARY FUNDS
 SUMMARY OF CASH RECEIPTS, DISBURSEMENTS AND
 CHANGES IN FUND BALANCES
 FISCAL YEAR 2019-2020
 FOR THE MONTH OF SEPTEMBER 2019
 (amounts in millions)

<u>FUND TYPE</u>	<u>BALANCE</u> <u>SEPTEMBER 1, 2019</u>	<u>RECEIPTS</u>	<u>DISBURSEMENTS</u>	<u>OTHER</u> <u>FINANCING</u> <u>SOURCES (USES)</u>	<u>BALANCE</u> <u>SEPTEMBER 30, 2019</u>
ENTERPRISE FUNDS					
50000-50049-Youth Commissary	\$ 0.104	\$ 0.001	\$ 0.002	\$ -	\$ 0.103
50050-50099-State Exposition Special	2.751	2.993	1.132	-	4.612
50100-50299-Correctional Services Commissary	3.444	3.261	3.058	-	3.647
50300-50399-Agencies Enterprise	3.267	10.393	0.245	-	13.415
50400-50449-Sheltered Workshop	2.186	0.026	0.010	-	2.202
50450-50499-Patient Workshop	1.779	0.369	0.162	-	1.986
50500-50599-Mental Hygiene Community Stores	4.981	0.060	0.067	-	4.974
50650-50699-Unemployment Insurance Benefit	8.602	151.217	151.476	-	8.343
TOTAL ENTERPRISE FUNDS	27.114	188.320	156.152	-	39.282
INTERNAL SERVICE FUNDS					
55000-55049-Centralized Services	(73.349)	26.453	27.095	(0.065)	(74.056)
55050-55099-Agency Internal Service	(129.802)	29.956	11.090	4.898	(106.038)
55100-55149-Mental Hygiene Revolving	(0.033)	0.034	0.059	-	(0.058)
55150-55199-Youth Vocational Education	0.077	-	0.002	-	0.075
55200-55249-Joint Labor and Management Administration	(0.007)	0.416	0.080	-	0.329
55250-55299-Audit and Control Revolving	(48.373)	0.864	3.808	(0.052)	(51.369)
55300-55349-Health Insurance Revolving	(15.412)	0.620	1.063	-	(15.855)
55350-55399-Correctional Industries Revolving	(29.608)	3.365	5.048	(0.008)	(31.299)
TOTAL INTERNAL SERVICE FUNDS	(296.507)	61.708	48.245	4.773	(278.271)
TOTAL PROPRIETARY FUNDS	\$ (269.393)	\$ 230.028	\$ 204.397	\$ 4.773	\$ (238.989)

SCHEDULE 3

STATE OF NEW YORK
FIDUCIARY FUNDS
SUMMARY OF CASH RECEIPTS, DISBURSEMENTS AND CHANGES IN FUND BALANCES
FISCAL YEAR 2019-2020
FOR THE MONTH OF SEPTEMBER 2019
(amounts in millions)

FUND TYPE	BALANCE		RECEIPTS	DISBURSEMENTS	OTHER FINANCING SOURCES (USES)		BALANCE
	SEPTEMBER 1, 2019	SEPTEMBER 30, 2019					
PENSION TRUST FUNDS							
65000-65049-Common Retirement Administration	\$ (1.990)	\$ 5.200	\$ 10.159	\$ (6.949)			
TOTAL PENSION TRUST FUNDS	(1.990)	5.200	10.159	(6.949)			
PRIVATE PURPOSE TRUST FUNDS							
66000-66049-Agriculture Producers' Security	3.015	0.006	0.017	3.004			
66050-66099-Milk Producers' Security	10.726	0.098	0.010	10.814			
TOTAL PRIVATE PURPOSE TRUST FUNDS	13.741	0.104	0.027	13.818			
AGENCY FUNDS							
60050-60149-School Capital Facilities Financing Reserve	24.016	0.274	-	24.290			
60150-60199-Child Performer's Holding	0.521	0.002	-	0.523			
60200-60249-Employees Health Insurance	1,129.365	804.514	928.540	1,005.339			
60250-60299-Social Security Contribution	14.784	95.159	94.866	15.077			
60300-60399-Employee Payroll Withholding	4.319	351.179	352.279	3.219			
60400-60449-Employees Dental Insurance	26.814	5.855	6.500	26.169			
60450-60499-Management Confidential Group Insurance	0.562	0.721	0.750	0.533			
60500-60549-Lottery Prize	586.616	238.868	86.754	738.730			
60550-60599-Health Insurance Reserve Receipts	0.143	0.001	-	0.144			
60600-60799-Miscellaneous New York State Agency	989.803	444.256	438.364	995.695			
60800-60849-Elderly Pharmaceutical Insurance Coverage (EPIC) Escrow	30.935	6.857	3.663	34.129			
60850-60899-CUNY Senior College Operating	10.573	270.113	247.914	32.772			
60900-60949-Medicaid Management Information System (MMIS) Escrow	201.383	5,806.636	5,781.671	245.504	19,156		
60950-60999-Special Education	-	-	-	-	-		
61000-61099-State University of New York Revenue Collection	411.577	(200.344)	-	211.233	-		
61100-61999-State University Federal Direct Lending Program	(67.040)	256.723	210.103	(20.420)	-		
62000-62049-SSI SSP Payment Escrow	-	-	-	-	-		
TOTAL AGENCY FUNDS	3,364.371	8,080.814	8,151.404	3,312.937	19,156		
TOTAL FIDUCIARY FUNDS	\$ 3,376.122	\$ 8,086.118	\$ 8,161.590	\$ 3,319.806	\$ 19,156		

SCHEDULE 4

STATE OF NEW YORK
 SOLE CUSTODY AND INVESTMENT ACCOUNTS
 STATEMENT OF CASH RECEIPTS AND DISBURSEMENTS
 FISCAL YEAR 2019-2020
 FOR THE MONTH OF SEPTEMBER 2019
 (amounts in millions)

<u>FUND TYPE</u>	<u>BALANCE SEPTEMBER 1, 2019</u>	<u>RECEIPTS</u>	<u>DISBURSEMENTS</u>	<u>BALANCE SEPTEMBER 30, 2019</u>
ACCOUNTS				
70000-70049-Tobacco Settlement	\$ 2,848	\$ 0.006	\$ -	\$ 2,854
70093, 70095, 70300-70301-MTA State Assistance (*)	194,378	197,023	212,930	178,471
70050-70149-Sole Custody Investment (**)	2,345,326	6,886,454	6,211,274	3,020,506
70200-Comptroller's Refund Account	-	173,963	173,963	-
TOTAL ACCOUNTS	\$ 2,542,552	\$ 7,257,446	\$ 6,598,167	\$ 3,201,831

(*) See Footnotes

(**) Includes Public Asset Fund resources:

Chapter 1 of the Laws of 2002 authorized the conversion of Empire Health Choice, d/b/a Empire Blue Cross and Blue Shield from a not-for-profit corporation to a for-profit corporation. Chapter 1 requires, in part, that upon such conversion, assets representing 95 percent of the fair market value of the not-for-profit corporation be transferred to a fund designated as the "Public Asset Fund" and 5 percent transferred to a Charitable Foundation - as set forth in Section 7317 of the Insurance Law. On December 28, 2005, WellChoice, Inc. (previously known as Empire Blue Cross, Blue Shield) approved a takeover by WellPoint, Inc. This conversion was also subject to the same Chapter 1 requirements of assigning assets representing 95 percent of the fair market value of the not-for-profit corporation be transferred to the "Public Asset Fund".

As of September 30, 2019, \$9,428,348.22 (representing the remaining balance of the State's 95 percent share of the fair market value of the not-for-profit corporation plus interest) is on deposit in the sole custody account titled Public Asset Fund. In accordance with Section 4301(j)(4)(F) and (O) of the Insurance Law and at the direction of the Director of the Budget, these funds are available for transfer to HCRA Resources Fund (20800-20849).

SCHEDULE 5

STATE OF NEW YORK
DEBT SERVICE FUNDS
STATEMENT OF DIRECT STATE DEBT ACTIVITY
FISCAL YEAR 2019-2020

PURPOSE	DEBT OUTSTANDING APRIL 1, 2019	DEBT ISSUED		DEBT MATURED		DEBT OUTSTANDING SEPTEMBER 30, 2019	INTEREST DISBURSED	
		MONTH OF SEPTEMBER	6 MONTHS ENDED SEPTEMBER 30, 2019	MONTH OF SEPTEMBER	6 MONTHS ENDED SEPTEMBER 30, 2019		MONTH OF SEPTEMBER	6 MONTHS ENDED SEPTEMBER 30, 2019
GENERAL OBLIGATION BONDED DEBT:								
Accelerated Capacity and Transportation Improvements	\$ 16,747,925	\$ -	\$ -	\$ -	\$ 688,854	\$ 16,059,071	\$ 86,832	\$ 386,432
Clean Water/Clean Air:								
Air Quality	2,465,600	-	-	-	-	2,302,923	3,288	40,811
Safe Drinking Water	-	-	-	-	-	-	-	-
Clean Water	321,372,381	-	-	-	8,471,194	312,901,187	2,498,817	6,781,418
Solid Waste	22,144,792	-	-	-	2,033,357	20,111,435	78,074	494,820
Environmental Restoration	46,724,919	-	-	-	210,000	46,514,919	565,093	949,575
Energy Conservation Through Improved Transportation:								
Rapid Transit and Rail Freight	1,352,815	-	-	-	154,061	1,198,754	900	30,209
Environmental Quality (1972):								
Air	6,247	-	-	-	-	6,247	-	125
Land and Wetlands	5,870,169	-	-	-	25,000	5,845,169	114,919	133,980
Water	10,826,301	-	-	-	2,915,000	6,407,348	106,077	225,690
Environmental Quality (1986):								
Land Acquisition/Development/Restoration/Forests	6,831,632	-	-	-	738,107	6,093,525	39,415	159,592
Solid Waste Management	107,613,085	-	-	-	7,019,746	100,593,339	950,281	2,446,332
Housing:								
Low Income	8,500,000	-	-	-	800,000	7,700,000	-	127,500
Middle Income	6,225,000	-	-	-	2,190,000	4,035,000	120,138	120,138
Park and Recreation Land Acquisition	-	-	-	-	-	-	-	-
Pure Waters	17,772,576	-	-	-	1,380,000	15,398,175	134,114	387,529
Rail Preservation Development	-	-	-	-	-	-	-	-
Rebuild and Renew New York Transportation:								
Highway Facilities	641,322,676	-	-	-	-	641,322,676	7,971,707	14,423,811
Canals and Waterways	11,884,363	-	-	-	-	11,884,363	131,475	241,632
Aviation	42,044,726	-	-	-	-	42,044,726	445,443	895,796
Rail and Port	94,745,141	-	-	-	-	94,745,141	548,788	1,983,245
Mass Transit - Dept. of Transportation	13,915,297	-	-	-	-	13,915,297	228,075	309,013
Mass Transit - Metropolitan Transportation Authority	721,891,399	-	-	-	-	721,891,399	6,014,455	16,180,692
Rebuild New York Transportation Infrastructure Renewal:								
Highways, Parkways, and Bridges	741,418	-	-	-	-	741,418	15,238	17,695
Rapid Transit, Rail and Aviation	2,597,617	-	-	-	555,054	2,042,563	-	63,247
Smart Schools Bond Act	179,020,225	-	-	-	-	179,020,225	1,975,503	4,227,135
Transportation Capital Facilities:								
Aviation	3,018,695	-	-	-	928,596	2,090,099	12,225	66,764
Mass Transportation	-	-	-	-	-	-	-	-
Total General Obligation Bonded Debt	\$ 2,285,634,999	\$ -	\$ -	\$ -	\$ 7,105,000	\$ 2,254,864,999	\$ 22,040,857	\$ 50,693,180

SCHEDULE 5a

STATE OF NEW YORK
DEBT SERVICE FUNDS
FINANCING AGREEMENTS
FOR THE SIX MONTHS ENDED SEPTEMBER 30, 2019

Special Contractual Financing Obligations: Payments to Public Authorities:	DEBT REDUCTION RESERVE (40000-40049)	GENERAL DEBT SERVICE (40151)	DEPARTMENT OF HEALTH INCOME (40300-40349)	LOCAL GOVERNMENT ASSISTANCE TAX (40450-40499)	MENTAL HEALTH SERVICES (40100-40149)	REVENUE BOND TAX (40152)	SALES TAX REVENUE BOND TAX (40154)	COMBINED TOTALS		\$ INCREASE/ (DECREASE)
								6 MONTHS ENDED SEPTEMBER 30 2019	2018	
City University Construction	\$ -	\$ 54,720,301	\$ -	\$ -	\$ -	-	-	\$ 54,720,301	\$ 88,753,984	\$ (34,033,683)
Dormitory Authority:	-	-	-	-	-	-	-	-	-	-
Consolidated Service Contract Refunding	-	54,430,525	-	-	-	-	-	54,430,525	57,690,325	(3,259,800)
DASNY Revenue Bond	-	-	-	-	-	135,672,179	239,836,331	375,408,510	396,221,325	(20,812,815)
Department of Health Facilities	-	-	13,080,876	-	-	-	-	13,080,876	13,086,126	(4,250)
Mental Health Facilities	-	-	-	-	7,064,797	-	-	7,064,797	53,377,315	(46,312,518)
Secured Hospital Program	-	3,829,093	-	-	-	-	-	3,829,093	603,759	3,225,334
SUNY Community Colleges	-	5,928,700	-	-	-	-	-	5,928,700	4,586,528	1,342,172
SUNY Educational Facilities	-	18,022,938	-	-	-	-	-	18,022,938	-	18,022,938
Environmental Facilities Corporation	-	-	-	-	-	839,859	-	-	1,671,869	(832,010)
Housing Finance Agency	-	15,734,765	-	-	-	1,907,968	-	17,642,733	17,219,183	423,550
Local Government Assistance Corporation	-	-	-	21,302,971	-	-	-	21,302,971	25,236,026	(3,933,055)
Metropolitan Transportation Authority:	-	-	-	-	-	-	-	-	-	-
Transit and Commuter Rail Projects	-	-	-	-	-	-	-	-	35,457,621	(35,457,621)
Thruway Authority:	-	-	-	-	-	-	-	-	-	-
Dedicated Highway and Bridge	-	263,973,915	-	-	-	-	-	263,973,915	126,864,132	137,109,783
Local Highway and Bridge	-	21,772,000	-	-	-	-	-	21,772,000	36,892,075	(15,120,075)
Transportation	-	-	-	-	-	27,320,113	-	27,320,113	30,877,100	(3,556,987)
Urban Development Corporation:	-	-	-	-	-	-	-	-	-	-
Clarkson University	-	26,675	-	-	-	-	-	26,675	51,975	(25,300)
Columbia University, Telecommunications Center	-	-	-	-	-	-	-	-	2,777,000	(2,777,000)
Consolidated Service Contract Refunding	-	4,100,254	-	-	-	-	-	4,100,254	27,121,943	(23,021,689)
Cornell University, Supercomputer Center	-	-	-	-	-	-	-	-	362,000	(362,000)
Conditional Facilities	-	555,750	-	-	-	-	-	555,750	1,081,433	(525,683)
Debt Reduction Reserve	-	-	-	-	-	-	-	-	-	-
UDC Revenue Bond	-	-	-	-	-	5,105,575	-	5,105,575	295,089,574	(289,983,999)
University Facilities Grant 95 Refunding	-	60,072	-	-	-	-	-	60,072	-	60,072
Total Disbursements for Special Contractual Financing Obligations	\$ -	\$ 443,154,868	\$ 13,080,876	\$ 21,302,971	\$ 7,064,797	\$ 170,745,684	\$ 239,836,331	\$ 885,185,657	\$ 1,215,020,293	\$ (319,834,636)

SCHEDULE 6

STATE OF NEW YORK
SUMMARY OF THE OPERATING FUND INVESTMENTS
FOR THE MONTH OF SEPTEMBER 2019
AS REQUIRED OF THE STATE COMPTROLLER
(amounts in millions)

MONTH OF	FISCAL YEAR	PRIOR FISCAL
SEPTEMBER 2019	TO DATE	YEAR TO DATE

SHORT TERM INVESTMENT POOL (*)

AVERAGE DAILY INVESTMENT BALANCE (**)	\$	20,135.5	\$	18,557.2	\$	16,319.9
AVERAGE YIELD (**)		2.143%		2.367%		1.994%
TOTAL INVESTMENT EARNINGS	\$	36.734	\$	230.905	\$	157.058

Month-End Portfolio Balances

DESCRIPTION	SEPTEMBER 2019 PAR AMOUNT	SEPTEMBER 2018 PAR AMOUNT
GOVT. AGENCY BILLS/NOTES	\$ 2,696.3	\$ 2,231.3
REPURCHASE AGREEMENTS	26.4	26.8
COMMERCIAL PAPER	15,688.3	12,622.6
CERTIFICATES OF DEPOSIT/SAVINGS	2,932.8	2,932.1
0% COMPENSATING BALANCE CDs	8.0	175.0
	\$ 21,351.8	\$ 17,987.8

(*) Pursuant to §98 of the State Finance Law, the State Comptroller is authorized to invest and keep invested all moneys, in any fund, held by the State. The Short Term Investment Pool (STIP) represents an accounting mechanism that allows for the separate accounting of individual funds (on deposit in the State's General Checking account) for the purpose of making short term investments. Pursuant to State Finance Law §4(5) the STIP is authorized to temporarily loan to the General Fund-State Operations Account (10050) funds for a period of four months or the end of the fiscal year, whichever is shorter. However, it must be noted that certain funds are invested as part of STIP, but are held by the State Comptroller in a fiduciary capacity. Fiduciary fund balances are restricted and may not be used for any State purposes since moneys in such funds are held by the State in a trustee (or fiduciary) capacity or as an agent for individuals, private organizations, or non-State governmental units (e.g. local governments and public authorities). Therefore, Fiduciary fund balances are not available to be temporarily loaned to the General Fund-State Operations Account. Fiduciary fund balances are presented in Schedules 3 and 4 of this report.

(**) Does not include 0% Compensating Balance CDs.

STATE OF NEW YORK HCRA RESOURCES FUND STATEMENT OF RECEIPTS AND DISBURSEMENTS BY ACCOUNT FISCAL YEAR 2019-2020												APPENDIX A	
	2019 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2020 JANUARY	FEBRUARY	MARCH	6 Months Ending September 30, 2019
OPENING CASH BALANCE	\$ -	\$ 543,140,525	\$ 283,562,198	\$ 300,393,092	\$ 420,298,155	\$ 136,965,831	-	-	-	-	-	-	\$ -
RECEIPTS:													
Cigarette Tax	65,203,605	59,470,644	57,339,173	75,425,457	63,846,255	59,391,304							379,566,438
State Share of NYC Cigarette Tax	2,069,000	2,175,000	1,928,000	1,929,000	2,141,000	1,867,000							12,109,000
Public Asset Transfers	489,440	765,843	1,563,166	1,015,764	1,230,823	1,065,288							6,130,405
Assessments	533,324,883	443,523,673	423,216,328	484,280,029	436,592,470	465,018,162							2,795,945,545
Fees	404,000	903,000	2,574,000	312,000	94,000	987,000							5,274,000
Rebates	4,761,424	392,419	6,001,122	13,400,488	1,044,971	3,628,158							28,186,892
Restitution and Settlements	-	-	-	-	-	-							-
Miscellaneous	-	-	-	-	-	-							-
Total Receipts	604,232,352	507,120,579	402,644,789	586,362,738	504,939,619	531,944,893	-	-	-	-	-	-	3,227,241,970
DISBURSEMENTS:													
Grants	57,399,500	753,460,762	467,314,025	461,209,200	773,350,315	580,722,220							3,093,475,022
Interest - Late Payments	1,007,007	72	16	1,654	331	105							3,185
Personal Service	541,146	1,589,857	1,742,462	1,042,316	944,621	1,006,723							6,867,425
Non-Personal Service	1,746,620	3,414,743	4,043,595	3,156,138	2,726,729	2,156,664							17,244,489
Employee Benefits/Indirect Costs	345,719	445,042	1,682,906	662,367	602,434	591,118							4,329,686
Total Disbursements	60,023,292	758,940,476	474,783,004	466,071,675	777,624,430	584,476,830	-	-	-	-	-	-	3,121,919,707
OPERATING TRANSFERS:													
Transfers to Capital Projects Fund	-	-	-	-	-	-							-
Transfers to General Fund	-	-	26,968	-	-	-							26,968
Transfers to Empire Bond Tax Fund	-	-	-	-	3,027,025	3,754,806							6,781,831
Transfers to Miscellaneous Special Revenue Fund	-	-	-	-	-	-							-
Administration Program Account	-	350,000	-	386,000	-	-							736,000
Empire State Stem Cell Trust Account	-	6,661,750	-	-	6,661,750	-							13,323,500
Transfers to SUNY Income Fund	1,088,535	746,680	1,000,923	-	959,738	815,765							4,590,641
Total Operating Transfers	1,088,535	7,758,430	1,027,891	386,000	10,647,513	4,570,571	-	-	-	-	-	-	25,458,940
Total Disbursements and Transfers	61,091,827	766,698,906	475,810,895	466,457,675	788,271,943	589,047,401	-	-	-	-	-	-	3,147,378,647
CLOSING CASH BALANCE	\$ 543,140,525	\$ 283,562,198	\$ 300,393,092	\$ 420,298,155	\$ 136,965,831	\$ 79,863,323	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 79,863,323

APPENDIX B

STATE OF NEW YORK
HCRA RESOURCES FUND
STATEMENT OF PROGRAM DISBURSEMENTS
FISCAL YEAR 2019-20

Program/Purpose	Appropriation Amount (*)	September	6 Months Ended September 30, 2019 (*)
	\$		\$
CENTER FOR COMMUNITY HEALTH PROGRAM	8,752,000.00	228,811.26	1,588,531.39
CENTER FOR COMMUNITY HEALTH	8,752,000.00	228,811.26	1,588,531.39
CHILD HEALTH INSURANCE PROGRAM	1,478,644,000.00	41,088,806.91	167,593,961.38
CHILD HEALTH INSURANCE	1,478,644,000.00	41,088,806.91	167,593,961.38
COMMUNITY SUPPORT PROGRAM	180,000.00	-	30,000.00
COMMUNITY SUPPORT	180,000.00	-	30,000.00
ELDERLY PHARMACEUTICAL INS COVERAGE PRG	426,154,811.52	10,082,748.31	53,963,782.11
ELDERLY PHARMACEUTICAL INS COVERAGE	426,154,811.52	10,082,748.31	53,963,782.11
HEALTH CARE REFORM ACT PROGRAM	2,160,773,305.03	1,510,093.04	237,084,896.23
HEALTH CARE REFORM ACT PROGRAM	2,160,773,305.03	1,510,093.04	237,084,896.23
AIDS DRUG ASSISTANCE	205,250,000.00	-	20,000,000.00
AIDS DRUG ASSISTANCE	205,250,000.00	-	20,000,000.00
AMBULATORY CARE TRAINING	13,520,000.00	34,458.63	680,091.84
AMBULATORY CARE TRAINING	13,520,000.00	34,458.63	680,091.84
AREA HEALTH EDUCATION CENTER	7,063,000.00	-	758,811.44
AREA HEALTH EDUCATION CENTER	7,063,000.00	-	758,811.44
COMMISSIONER EMERGENCY DISTRIBUTIONS	24,700,000.00	-	-
COMMISSIONER EMERGENCY DISTRIBUTIONS	24,700,000.00	-	-
DIAGNOSTIC AND TREATMENT CTR UNCOMPENSATED CARE	272,000,000.00	-	-
DIAGNOSTIC AND TREATMENT CTR UNCOMPENSATED CARE	272,000,000.00	-	-
DIVERSITY IN MEDICINE	5,232,000.00	-	-
DIVERSITY IN MEDICINE	5,232,000.00	-	-
EMPIRE CLINIC RESEARCH INVESTMENT (ECRIP)	13,780,000.00	-	-
EMPIRE CLINIC RESEARCH INVESTMENT (ECRIP)	13,780,000.00	-	-
HCRA PAYOR / PROVIDER AUDITS	9,440,000.00	-	-
HCRA PAYOR / PROVIDER AUDITS	9,440,000.00	-	-
HEALTH FACILITY RESTRUCTURING DASNY	39,200,000.00	-	19,600,000.00
HEALTH FACILITY RESTRUCTURING DASNY	39,200,000.00	-	19,600,000.00
HEALTH WORKFORCE RETRAINING	210,010,300.00	179,821.03	2,611,175.59
HEALTH WORKFORCE RETRAINING	210,010,300.00	179,821.03	2,611,175.59
INFERTILITY SERVICES GRANTS	24,781,746.00	80,370.16	231,768.06
INFERTILITY SERVICES GRANTS	24,781,746.00	80,370.16	231,768.06
MEDICAL INDEMNITY FUND	208,000,000.00	-	52,000,000.00
MEDICAL INDEMNITY FUND	208,000,000.00	-	52,000,000.00
PART 405.4 HOSPITAL AUDITS NYCRR	2,200,000.00	67,461.34	413,793.47
PART 405.4 HOSPITAL AUDITS NYCRR	2,200,000.00	67,461.34	413,793.47
PHYSICIAN EXCESS MEDICAL MALPRACTICE	382,200,000.00	481,539.61	105,100,000.00
PHYSICIAN EXCESS MEDICAL MALPRACTICE	382,200,000.00	481,539.61	105,100,000.00
PHYSICIAN LOAN REPAYMENT AND PRACTICE SUPPORT	34,465,000.00	-	3,487,239.62
PHYSICIAN LOAN REPAYMENT AND PRACTICE SUPPORT	34,465,000.00	-	3,487,239.62
PHYSICIAN PRACTICE SUPPORT	1,000,000.00	-	-
PHYSICIAN PRACTICE SUPPORT	1,000,000.00	-	-
PHYSICIAN WORKFORCE STUDIES	27,825,000.00	155,000.00	165,000.00
PHYSICIAN WORKFORCE STUDIES	27,825,000.00	155,000.00	165,000.00
POISON CONTROL CENTER	3,954,200.00	-	622,498.06
POISON CONTROL CENTER	3,954,200.00	-	622,498.06
POOL ADMINISTRATION	8,440,000.00	-	28,651,500.00
POOL ADMINISTRATION	8,440,000.00	-	28,651,500.00
ROSWELL PARK CANCER INSTITUTE	5,300,000.00	-	-
ROSWELL PARK CANCER INSTITUTE	5,300,000.00	-	-
ROSWELL PARK COMPREHENSIVE CANCER CENTER	102,606,000.00	-	-
ROSWELL PARK COMPREHENSIVE CANCER CENTER	102,606,000.00	-	-
RURAL HEALTH CARE ACCESS	50,000.00	-	-
RURAL HEALTH CARE ACCESS	50,000.00	-	-
RURAL HEALTH NETWORK	34,550,000.00	447,298.13	2,291,172.32
RURAL HEALTH NETWORK	34,550,000.00	447,298.13	2,291,172.32
SCHOOL BASED HEALTH CENTERS	22,990,000.00	64,144.16	2,086,894.57
SCHOOL BASED HEALTH CENTERS	22,990,000.00	64,144.16	2,086,894.57
SCHOOL BASED HEALTH CLINICS-POOL ADMIN	4,230,000.00	-	-
SCHOOL BASED HEALTH CLINICS-POOL ADMIN	4,230,000.00	-	-

(*) Includes amounts appropriated in SFY 2019-20, as well as prior year appropriations that were reappropriated.
 (***) Disbursements from the HCRA Resources Fund includes direct grant payments to program beneficiaries, services and expenses for administration of grant programs, and transfers to the Public Goods Pool to finance payments made by the State's fiscal agent.
 (****) Full title is: NYC Personal Care Workforce Recruitment and Retention Rates Grants.
 (*****): Full title is: Personal Care Workforce Recruitment and Retention Rates Grants.

APPENDIX C

STATE OF NEW YORK
STATEMENT OF CASH FLOW - PUBLIC GOODS POOL
FISCAL YEAR 2019-20

	1st Quarter APRIL - JUNE	2019 JULY	2019 AUGUST	2019 SEPTEMBER	2019-20
OPENING CASH BALANCE	\$ 284,899,772.70	\$ 217,136,341.70	\$ 346,685,528.48	\$ 370,578,094.07	\$ 284,899,772.70
RECEIPTS:					
Patient Services	901,482,254.94	458,951,302.74	321,268,980.51	323,916,066.47	2,005,618,604.66
Covered Lives	257,819,074.40	131,091,471.59	84,868,323.98	87,227,733.88	561,006,603.85
Provider Assessments	33,710,660.47	17,137,482.84	10,360,283.95	11,220,552.22	72,428,979.48
1% Assessments	101,375,334.00	38,085,459.00	35,346,462.00	34,815,339.00	209,622,594.00
DASNY- MOE/Recast receivables	-	-	-	-	-
Interest Income	214,148.16	97,184.05	56,985.34	68,130.90	436,448.45
Unassigned	25,420,354.00	(25,448,353.00)	4,033,806.00	(4,024,366.00)	(18,559.00)
Total Receipts	1,320,021,825.97	619,914,547.22	455,934,841.78	453,223,456.47	2,849,094,671.44
PROGRAM DISBURSEMENTS:					
Poison Control Centers	-	-	-	-	-
School Based Health Center Grants	-	-	-	-	-
ECRIP Distributions	-	-	-	-	-
Total Program Disbursements	-	-	-	-	-
Excess (Deficiency) of Receipts over Disbursements	1,320,021,825.97	619,914,547.22	455,934,841.78	453,223,456.47	2,849,094,671.44
OTHER FINANCING SOURCES (USES):					
Transfers From Other Pools:					
Medicaid Disproportionate Share	-	-	-	-	-
Health Facility Assessment Fund - Hospital Quality Contribution	12,273,811.00	3,913,470.00	4,538,537.00	4,458,953.00	25,184,771.00
Transfers From State Funds:					
HCRA Resources Fund	-	-	-	-	-
Total Other Financing Sources	12,273,811.00	3,913,470.00	4,538,537.00	4,458,953.00	25,184,771.00
Transfers To Other Pools:					
Medicaid Disproportionate Share	-	-	-	-	-
Health Facility Assessment Fund	-	-	-	-	-
Transfers To State Funds:					
HCRA Resources Fund	(1,211,212,716.72)	(405,379,450.19)	(377,389,482.23)	(279,102,802.01)	(2,273,084,451.15)
Indigent Care Fund - Matched	(189,777,239.46)	(44,643,082.37)	(64,004,935.31)	(217,247,025.65)	(515,672,282.79)
Indigent Care Fund - Unmatched	930,888.21	(44,256,297.88)	4,813,604.35	17,364,576.40	(21,147,228.92)
Total Other Financing Uses	(1,400,059,067.97)	(494,278,830.44)	(436,580,813.19)	(478,985,251.26)	(2,809,903,962.86)
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	(67,763,431.00)	129,549,186.78	23,892,565.59	(21,302,841.79)	64,375,479.58
CLOSING CASH BALANCE	\$ 217,136,341.70	\$ 346,685,528.48	\$ 370,578,094.07	\$ 349,275,252.28	\$ 349,275,252.28

Source: HCRA - Office of Pool Administration

APPENDIX D

STATE OF NEW YORK
STATEMENT OF CASH FLOW - MEDICAID DISPROPORTIONATE SHARE
FISCAL YEAR 2019-20

	1st Quarter APRIL - JUNE	2019 JULY	2019 AUGUST	2019 SEPTEMBER	2019-20
OPENING CASH BALANCE	\$ 2,224.49	\$ 1,198.39	\$ 1,657.22	\$ 883.92	\$ 2,224.49
RECEIPTS:					
Interest Income	4,789.67	1,657.22	883.92	9,008.14	16,338.95
Total Receipts	<u>4,789.67</u>	<u>1,657.22</u>	<u>883.92</u>	<u>9,008.14</u>	<u>16,338.95</u>
PROGRAM DISBURSEMENTS:					
Indigent Care	(189,777,239.46)	(64,004,935.31)	(64,004,935.31)	(94,998,765.65)	(412,785,875.73)
High Need Indigent Care	-	-	-	-	-
Other	945,583.86	(22,172,912.47)	5,582,961.35	(104,114,326.60)	(119,758,693.86)
Total Program Disbursements	<u>(188,831,655.60)</u>	<u>(86,177,847.76)</u>	<u>(58,421,973.96)</u>	<u>(199,113,092.25)</u>	<u>(532,544,569.59)</u>
Excess (Deficiency) of Receipts over Disbursements	<u>(188,826,865.93)</u>	<u>(86,176,190.56)</u>	<u>(58,421,090.04)</u>	<u>(199,104,084.11)</u>	<u>(532,528,230.64)</u>
OTHER FINANCING SOURCES (USES):					
Transfers From Other Pools:					
Public Goods Pool	-	-	-	-	-
Health Facility Assessment Fund	-	-	-	-	-
Transfers From State Funds:					
HCRA Resources Indigent Care - Matched	94,888,619.73	22,321,541.19	32,002,467.66	108,623,512.83	257,836,141.41
HCRA Resources Indigent Care - Unmatched	(930,888.21)	42,304,122.41	(4,813,604.35)	(17,364,576.40)	19,195,053.45
HCRA Resources Indigent Care - ATB	-	-	-	-	-
Federal DHHS Fund	94,888,619.73	22,321,541.18	32,002,467.65	108,623,512.82	257,836,141.38
Other	-	-	-	-	-
Total Other Financing Sources	<u>188,846,351.25</u>	<u>86,947,204.78</u>	<u>59,191,330.96</u>	<u>199,882,449.25</u>	<u>534,867,336.24</u>
Transfers To Other Pools:					
Public Goods Pool	-	-	-	-	-
Health Facility Assessment Fund	-	-	-	-	-
Transfers To State Funds:					
HCRA Resources Fund Indigent Care Acct	(20,511.42)	(770,555.39)	(771,014.22)	(770,240.92)	(2,332,321.95)
Total Other Financing Uses	<u>(20,511.42)</u>	<u>(770,555.39)</u>	<u>(771,014.22)</u>	<u>(770,240.92)</u>	<u>(2,332,321.95)</u>
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	<u>(1,026.10)</u>	<u>458.83</u>	<u>(773.30)</u>	<u>8,124.22</u>	<u>6,783.65</u>
CLOSING CASH BALANCE	\$ 1,198.39	\$ 1,657.22	\$ 883.92	\$ 9,008.14	\$ 9,008.14

Source: HCRA - Office of Pool Administration

APPENDIX E

STATE OF NEW YORK
SUMMARY OF OFF-BUDGET SPENDING REPORT
FISCAL YEAR 2019-2020
(amounts in thousands)

	2019 APRIL	2019 MAY	2019 JUNE	2019 JULY	2019 AUGUST	2019 SEPTEMBER	2019 OCTOBER	2019 NOVEMBER	2019 DECEMBER	2020 JANUARY	2020 FEBRUARY	2020 MARCH	2019-2020 TOTAL
DORMITORY AUTHORITY:													
Education - All Other	\$ -	\$ 21	\$ -	\$ -	\$ 25	\$ 1	\$ -	-	-	-	-	-	\$ 47
Education- EXCEL	-	2,109	221	2,703	2,354	1,566	-	-	-	-	-	-	8,953
Department of Health - All Other	-	-	-	-	51	3	-	-	-	-	-	-	54
Community Enhancement Facilities Assistance Program (CEFAP)	-	-	-	-	-	-	-	-	-	-	-	-	-
Regional Development	-	-	-	-	-	-	-	-	-	-	-	-	-
Community Capital Assistance Program (CCAP)/RESTORE	647	1,183	11	788	309	590	-	-	-	-	-	-	3,528
Multi-modal	37	-	-	-	-	-	-	-	-	-	-	-	37
GenNYSIS	-	-	-	-	-	-	-	-	-	-	-	-	-
CUNY Senior Colleges	19,134	18,022	14,341	23,762	10,916	28,143	-	-	-	-	-	-	114,318
CUNY Community Colleges	2,965	2,554	975	5,101	780	5,980	-	-	-	-	-	-	18,355
SUNY Dormitories	-	-	-	-	-	-	-	-	-	-	-	-	-
Upstate Community Colleges	-	-	-	-	-	-	-	-	-	-	-	-	-
Mental Health	9,238	13,563	4,697	25,605	5,907	28,243	-	-	-	-	-	-	87,253
Developmental Disabilities	1,109	1,614	462	2,044	682	2,065	-	-	-	-	-	-	7,976
Alcoholism and Substance Abuse	158	274	190	302	304	671	-	-	-	-	-	-	1,899
Brooklyn Court Officer Training Academy	23	36	-	192	-	-	-	-	-	-	-	-	1,031
TOTAL DORMITORY AUTHORITY	33,311	39,376	20,897	60,522	21,304	68,041	-	-	-	-	-	-	243,451
EMPIRE STATE DEVELOPMENT CORP:													
Regional Development	-	-	-	-	-	-	-	-	-	-	-	-	-
Centers of Excellence	-	-	-	-	-	-	-	-	-	-	-	-	-
Community Capital Assistance Program (CCAP)	-	-	-	-	-	-	-	-	-	-	-	-	-
Empire Opportunity	-	-	-	-	-	-	-	-	-	-	-	-	-
Community Enhancement Facilities Assistance Program (CEFAP)	-	-	-	-	-	-	-	-	-	-	-	-	-
State Facilities and Equipment	-	-	-	-	-	-	-	-	-	-	-	-	-
TOTAL EMPIRE STATE DEVELOPMENT CORP	-	-	-	-	-	-	-	-	-	-	-	-	-
TOTAL OFF-BUDGET	\$ 33,311	\$ 39,376	\$ 20,897	\$ 60,522	\$ 21,304	\$ 68,041	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 243,451

The Division of the Budget (DOB) is responsible for organizing and presenting the above schedule of 'Off Budget Spending'. Such reported disbursements are drawn from unaudited financial data provided by public authorities. Although the Office of the State Comptroller (OSC) has no reason to believe this information to be unreliable, it is important to note that these program disbursements are financed with public authority bond proceeds deposited directly into public authority accounts and all disbursements are made without any oversight by the OSC. Therefore, and pursuant to the provisions of Chapter 60, §16, of the Laws of 2006; this schedule is provided for information only.

APPENDIX F

STATE OF NEW YORK
SCHEDULE OF MONTH-END TEMPORARY LOANS OUTSTANDING(")

SFS Fund	ACCOUNT TITLE	June 30, 2019	July 31, 2019	August 31, 2019	Change	September 30, 2019
10050	GENERAL FUND					
	STATE OPERATIONS AND LOCAL ASSISTANCE					
	TOTAL GENERAL FUND	\$ -	\$ -	\$ -	\$ -	\$ -
						(**)
30051	CAPITAL PROJECT AND BOND REIMBURSABLE FUNDS					
30053	HIGHWAY AND BRIDGE CAPITAL	134,888,031.48	154,697,817.64	196,665,951.78	49,801,699.46	246,467,651.24
30101	AVIATION PURPOSE ACCOUNT	-	-	-	-	-
30102	REHAB/REPAIR MARITIME	-	-	-	-	-
30103	D21RVE- MARITIME	-	-	-	-	-
30104	D36RVE- CENTRAL ADMIN	-	-	-	-	-
30105	RESIDENCE HALL CAMPUS LET BOND PROCEEDS	-	-	-	-	-
30106	REHAB/REPAIR ALBANY	-	-	-	-	-
30107	D01RVE- ALBANY	-	-	-	-	-
30108	REHAB/REPAIR BINGHAMTON	-	-	-	-	-
30109	D07RVE- BINGHAMTON	-	-	-	-	-
30110	REHAB/REPAIR BUFFALO UNIVERSITY	-	-	-	-	-
30111	D28RVE- SUNY BUFFALO	-	-	-	-	-
30112	REHAB/REPAIR STONYBROOK	-	-	-	-	-
30113	D13RVE- STONYBROOK	-	-	-	-	-
30114	REHAB/REPAIR BROOKLYN	-	-	-	-	-
30115	D14RVE- HSC BROOKLYN	-	-	-	-	-
30116	REHAB/REPAIR SYRACUSE	-	-	-	-	-
30117	D16RVE- HSC SYRACUSE	-	-	-	-	-
30118	REHAB/REPAIR BROOKPORT	-	-	-	-	-
30119	D02RVE- BROOKPORT	-	-	-	-	-
30120	REHAB/REPAIR BUFFALO COLLEGE	-	-	-	-	-
30121	D03RVE- SUB BUFFALO	-	-	-	-	-
30122	REHAB/REPAIR CORTLAND	-	-	-	-	-
30123	D04RVE- CORTLAND	-	-	-	-	-
30124	REHAB/REPAIR FREDONIA	-	-	-	-	-
30125	D06RVE- FREDONIA	-	-	-	-	-
30126	REHAB/REPAIR GENESEO	-	-	-	-	-
30127	D08RVE- GENESEO	-	-	-	-	-
30128	REHAB/REPAIR OLD WESTBURY	-	-	-	-	-
30129	D31RVE- OLD WESTBURY	-	-	-	-	-
30130	REHAB/REPAIR NEW PALTZ	-	-	-	-	-
30131	D08RVE- NEW PALTZ	-	-	-	-	-
30132	REHAB/REPAIR ONEONTA	-	-	-	-	-
30133	D09RVE- ONEONTA	-	-	-	-	-
30134	REHAB/REPAIR OSWEGO	-	-	18,778.22	(18,778.22)	-
30135	D10RVE- OSWEGO	-	-	-	-	-
30136	REHAB/REPAIR PLATTSBURGH	-	-	-	-	-
30137	D11RVE- PLATTSBURGH	-	-	-	-	-
30138	REHAB/REPAIR POTSDAM	-	-	-	-	-
30139	D12RVE- POTSDAM	-	-	-	-	-
30140	REHAB/REPAIR PURCHASE	-	-	-	-	-
30141	D28RVE- PURCHASE	-	-	-	-	-
30142	REHAB/REPAIR FOR UTICA/ROME	-	-	-	-	-
30143	D27RVE- CAMPUS RESERVE	-	-	-	-	-
30144	REHAB/REPAIR ALFRED	-	-	-	-	-
30145	D22RVE- ALFRED	-	-	-	-	-
30146	REHAB/REPAIR CANTON	-	-	-	-	-
30147	D23RVE- CANTON	-	-	-	-	-
30148	REHAB/REPAIR COBLESKILL	-	-	-	-	-
30149	D24RVE- COBLESKILL	-	-	-	-	-
30150	REHAB/REPAIR DELHI	-	-	-	-	-
30151	D23RVE- DELHI	-	-	-	-	-
30152	REHAB/REPAIR FARMINGDALE	-	-	-	-	-
30153	D28RVE- FARMINGDALE	-	-	-	-	-
30154	REHAB/REPAIR MORRISVILLE	-	-	-	-	-
30351	D27RVE- MORRISVILLE	-	-	-	-	-
30501	STATE PARK INFRASTRUCTURE	55,624,767.40	64,901,073.58	79,311,676.07	5,627,326.62	84,939,002.69
30502	CW/CA IMPLEMENTATION DEC	-	-	-	-	-
30503	CW/CA IMPLEMENTATION ERDA	-	-	-	-	-
30504	CW/CA IMPLEMENTATION EFC	-	-	-	-	-
31506	HAZARDOUS WASTE CLEAN UP	144,228,335.82	141,424,658.44	145,687,134.65	7,152,243.19	152,839,377.84
31701	YOUTH FACILITIES IMPROVEMENT	20,027,753.08	21,770,204.48	23,426,987.41	1,134,836.73	24,561,924.14
31801	HOUSING ASSISTANCE	12,941,967.06	12,941,967.06	12,941,967.06	-	12,941,967.06
31851	HOUSING PROG FD-HSG TR FD CORP	48,088,183.38	48,639,456.79	51,109,456.79	1,621,202.00	52,730,658.79
31852	HOUSING PROG FD-AFFORD HSG CORP	46,242,563.15	51,730,717.15	56,780,924.15	-	56,780,924.15
31853	HOUSING PROG FD-DEPT OF SOCIAL SERVICES	119,908,911.98	119,908,911.98	119,908,911.98	15,750,000.00	135,658,911.98
31854	HOUSING PROG FD-HFA	-	-	-	-	-
31951	HIGHWAY FAC PURPOSE	12,346,481.24	12,294,449.24	12,294,449.24	(4,275.00)	12,290,174.24

APPENDIX F

STATE OF NEW YORK
SCHEDULE OF MONTH-END TEMPORARY LOANS OUTSTANDING(*)

SFS Fund	ACCOUNT TITLE	June 30, 2019	July 31, 2019	August 31, 2019	September 30, 2019
32213	NY RACING ACCOUNT	153,750.00	153,750.00	153,750.00	153,750.00
32214	CAPITAL PROJECT MISC GIFTS	-	-	-	-
32215	IT CAPITAL FINANCING ACCT	1,280,565.38	-	-	-
32219	NY ENVIRONMENTAL PROTECTION & SPILL REMEDIATION	-	-	-	-
32301	OPWDD-STATE FACILITIES PRE 12/99	-	-	-	-
32302	DSAS-COMMUNITY FACILITIES	-	-	-	-
32303	OMH-COMMUNITY FACILITIES	141,594,477.81	143,468,493.98	134,757,988.44	136,758,845.01
32304	OPWDD-COMMUNITY FACILITIES	-	-	-	-
32305	OASAS-COMMUNITY FACILITIES	195,561,388.85	198,987,437.85	198,987,437.85	199,487,437.85
32306	DASNY - OMH ADMIN	-	-	-	-
32307	DASNY - OPWDD ADMIN	8,881,243.87	2,359,597.75	2,359,597.75	2,359,597.75
32308	DASNY - OASAS ADMIN	1,344,677.42	458,493.08	458,493.08	458,493.08
32309	OMH - STATE FACILITIES	168,823,160.74	169,081,539.18	111,279,036.26	56,724,161.57
32310	OPWDD - STATE FACILITIES	4,548,163.38	4,548,163.38	4,548,163.38	4,548,163.38
32311	OASAS - STATE FACILITIES	1,035,331.74	1,035,331.74	1,035,331.74	(1,035,331.36)
32351	CORR. FACILITIES CAPITAL IMPROVEMENT	-	-	-	0.38
32352	DOCS-REHABILITATION PROJECTS	268,367,982.83	305,802,536.52	335,247,074.51	365,966,742.42
32353	CORR. FACILITIES CAPITAL CLOSURE	-	-	-	-
33001	STORM RECOVERY ACCOUNT	75,665,484.64	75,670,555.28	84,799,128.45	83,098,863.04
	TOTAL CAPITAL AND BOND REIMBURSABLE FUNDS	1,461,430,201.25	1,529,876,155.12	1,551,773,238.81	1,608,767,845.61
STATE SPECIAL REVENUE FUNDS					
20401	DOL-CHILD PERFORMER PROTECTION ACCOUNT	-	-	-	-
20452	VOCATIONAL SCHOOL SUPERVISION	-	-	-	-
20501	LOCAL GOVERNMENT RECORDS MGMT	-	-	-	-
20810	CHILD HEALTH INSURANCE	84,592,225.48	3,685,449.44	26,505,154.47	67,593,961.38
20818	EPIC PREMIUM ACCOUNT	11,407,082.74	-	1,527,589.70	5,642,590.75
20901	LOTTERY-EDUCATION	-	-	-	1,380,191,281.75
20904	VLT EDUCATION	-	-	-	-
21001	ENVR FAC CORP ADM ACCT	-	-	-	-
21002	ENCON ADMIN ACCT	3,585,311.81	3,647,733.08	3,700,045.82	3,752,358.56
21061	HAZARDOUS BULK STORAGE	-	-	-	-
21064	UTILITY ENVIRONMENTAL REGULATORY ACCOUNT	1,672,200.00	1,672,200.00	1,672,200.00	1,672,200.00
21065	FEDERAL GRANTS INDIRECT COST RECOVERY ACCOUNT	1,938,576.96	2,290,499.16	2,932,629.78	259,790.78
21066	ENCON-LOW LEVEL RADIOACTIVE WASTE SITING	3,984,034.81	4,158,885.89	3,666,016.14	3,828,024.49
21067	ENCON-RECREATION	-	-	-	-
21077	PUBLIC SAFETY RECOVERY ACCOUNT	-	-	-	-
21081	ENVIRONMENTAL REGULATORY	53,980,601.05	55,683,564.64	56,050,881.51	58,491,726.19
21082	NATURAL RESOURCES ACCOUNT	13,566,250.90	13,160,344.82	13,127,626.00	12,880,444.32
21084	MINED LAND RECLAMATION ACCT	-	-	-	-
21087	GREAT LAKES RESTORATION INITIATIVE	-	-	-	-
21201	AUDIT AND CONTROL OIL SPILL	-	-	-	-
21202	HEALTH DEPT OIL SPILL	5,130.47	-	457.93	96.00
21203	DEPT OF ENVIRONMENTAL CONSERVATION OIL SPILL	-	-	-	-
21204	OIL SPILL COMPENSATION	38,205.97	-	-	-
21205	LICENSE FEE SURCHARGES	-	-	-	-
21401	PUBLIC TRANSPORTATION SYSTEMS	-	-	-	-
21402	METROPOLITAN MASS TRANSPORTATION	-	-	-	-
21451	OPERATING PERMIT PROGRAM	29,286,029.86	30,036,786.99	30,629,345.74	30,334,012.87
21452	MOBILE SOURCE	-	-	-	4,339,988.21
21902	HEALTH-SPARC'S	-	-	-	-
21905	THRUWAY AUTHORITY ACCT	14,176,497.90	6,830,074.90	11,103,420.41	11,590,323.15
21907	MENTAL HYGIENE PROGRAM	-	-	-	-
21909	MENTAL HYGIENE PATIENT INCOME ACCOUNT	-	-	-	-
21911	FINANCIAL CONTROL BOARD	527,982.73	178,927.56	548,158.80	696,002.30
21912	RACING REGULATION ACCOUNT	2,924,824.19	2,222,606.48	1,826,882.24	1,149,544.34
21913	NY METROPOLITAN TRANSPORTE	18,292,577.21	18,292,577.21	-	-
21937	SU DORM INCOME REIMBURSE	489,241.52	298,410.37	483,279.38	47,765.20
21945	CRIMINAL JUSTICE IMPROVEMENT	-	-	-	(435,514.18)
21959	ENV LAB REF FEE	-	-	-	-
21961	TRAINING, MANAGEMENT AND EVALUATION ACCOUNT	425,910.02	515,617.48	253,662.62	403,825.51
21962	CLINICAL LAB FEE	11,576,825.01	13,195,792.46	10,936,896.75	11,107,514.67
21978	INDIRECT COST RECOVERY	2,640,307.85	4,422,235.58	2,913,105.60	-
21979	HIGH SCHOOL EQUIVALENCY PROGRAM	-	-	-	-
21989	MULTI - AGENCY TRAINING ACCOUNT	-	-	-	-
22003	BELL JAR COLLECTION ACCOUNT	-	-	-	-
22004	INDUSTRY AND UTILITY SERVICE	-	-	-	-
22006	REAL PROPERTY DISPOSITION	-	-	-	-
22007	PARKING ACCOUNT	-	-	-	-
22008	COURTS SPECIAL GRANTS	-	-	-	-
22009	ASBESTOS SAFETY TRAINING	36,247.21	28,535.87	21,410.53	16,353.19
22017	CAMP SMITH BILLETING ACCOUNT	38,664.15	30,664.15	15,664.15	(15,664.15)
22032	BATAVIA SCHOOL FOR THE BLIND	9,569,495.42	10,131,661.60	10,667,975.36	12,114,628.14
22034	INVESTMENT SERVICES	-	-	-	-

APPENDIX F

STATE OF NEW YORK
SCHEDULE OF MONTH-END TEMPORARY LOANS OUTSTANDING(*)

SFS Fund	ACCOUNT TITLE	June 30, 2019	July 31, 2019	August 31, 2019	Change	September 30, 2019
22036	SURPLUS PROPERTY ACCOUNT	-	-	-	-	-
22039	FINANCIAL OVERSIGHT	910,231.15	328,708.18	589,657.40	282,197.98	871,855.38
22046	REGULATION INDIAN GAMING	82,622,224.17	83,636,479.42	84,431,331.96	(535,049.86)	83,896,282.10
22053	ROME SCHOOL FOR THE DEAF	3,057,257.68	2,444,210.06	3,137,352.92	962,495.15	4,099,848.07
22054	DSP-SEIZED ASSETS	3,852,772.25	3,708,968.96	3,103,098.50	(78,877.58)	3,024,220.92
22055	ADMINISTRATIVE ADJUDICATION	16,384,051.16	20,116,815.29	19,836,577.83	3,033,986.25	22,870,564.08
22056	FEDERAL SALARY SHARING	487,627.05	645,430.63	881,877.31	125,906.60	1,007,783.91
22062	NYC ASSESSMENT ACCT	-	-	-	-	-
22063	CULTURAL EDUCATION ACCOUNT	5,210,700.26	5,697,352.65	6,876,207.49	(424,106.67)	6,452,098.82
22078	LOCAL SERVICE ACCOUNT	-	-	-	-	-
22078	DHCR MORTGAGE SERVICES	14,280,418.86	14,597,470.74	15,035,221.45	165,126.36	15,200,349.81
22085	HOUSING INDIRECT COST RECOVERY	-	-	-	-	-
22090	DHCR-HOUSING CREDIT AGENCY APPLY FEE	-	-	-	-	-
22100	LOW INCOME HOUSING CREDIT MONITORING	5,191,823.50	6,034,711.48	6,349,815.14	506,873.26	6,856,688.40
22135	EFC-CORPORATION ADMINISTRATION	-	-	-	-	-
22144	MONROSE VETERAN'S HOME	21,420.45	-	170,390.02	253,156.53	423,546.55
22151	DEFERRED COMPENSATION ADMIN	128,920.72	197,818.90	72,308.80	57,884.67	130,193.47
22156	RENT REVENUE OTHER - NYC	9,666,326.93	1,570,787.22	5,118,243.39	3,273,863.78	8,392,107.17
22158	RENT REVENUE	-	-	-	-	-
22168	TAX REVENUE ARREARAGE ACCOUNT	-	-	6,646.93	24,052.42	30,701.35
22240	NYS MEDICAL INDEMNITY FUND ACCOUNT	20,301,019.65	20,341,471.23	20,362,045.21	40,439.51	20,422,484.72
22694	S.U. NON-RESIDENT REV. OFFSET	-	-	-	-	-
22751	LANE GEORGE PARK TRUST FUND	-	-	-	-	-
22802	STATE POLICE INV ENFORCE	-	-	-	-	-
23001	DOT - HIGHWAY SAFETY PRGM	13,329,056.56	13,571,744.18	13,651,747.04	284,045.47	13,935,792.51
23102	DOH DRINKING WATER PROGRAM	5,350,949.70	5,350,949.70	5,350,949.70	-	5,350,949.70
23151	NYCCC OPERATING OFFSET	37,515,667.13	40,042,296.63	42,558,652.02	2,452,633.27	45,011,285.29
23701	COMMERCIAL GAMING REVENUE	-	-	-	-	-
23702	COMMERCIAL GAMING REGULATION	14,756,450.71	15,116,447.00	15,372,223.34	297,906.56	15,670,129.90
23800	INTERSTATE RECIPROcity FOR POST SEC DIST ED	-	-	-	-	-
23801	HIGHWAY USE TAX ADMIN	-	-	-	-	-
23806	NYS SECURE CHOICE ADMIN	-	-	-	-	-
24951	FANTASY SPORTS ADMINISTRATION	-	-	-	-	-
	TOTAL STATE SPECIAL REVENUE FUNDS	496,530,141.19	403,884,230.15	421,706,751.36	1,439,580,132.29	1,861,286,883.65
	FEDERAL FUNDS					
25000-25099	FEDERAL USDA/FOOD AND NUTRITION SERVICES FUND	55,367,684.85	129,536,697.96	12,963,285.82	1,505,996.67	14,469,282.49
25100-25199	FEDERAL HEALTH AND HUMAN SERVICES FUND	303,557,427.73	1,212,835,314.38	263,272,303.41	191,441,883.15	454,714,186.56
25200-25249	FEDERAL EDUCATION GRANTS FUND	24,554,882.47	25,423,935.82	33,268,518.43	3,180,539.08	36,449,057.51
25250-25299	FEDERAL DHHS BLOCK GRANTS	-	-	-	-	-
25300-25399	FEDERAL OPERATING GRANTS	447,922,402.47	430,936,565.89	442,973,970.08	(1,188,881.11)	441,785,088.97
31351	MILITARY AND NAVAL AFFAIRS	8,791,744.66	8,756,661.66	8,756,661.66	-	8,756,661.66
31354	DEPARTMENT OF TRANSPORTATION	475,189,753.44	459,879,211.31	440,347,641.47	(18,484,174.81)	421,863,466.66
31350-31449	FEDERAL CAPITAL PROJECTS FUND (ALL OTHER)	123,395,612.50	126,791,239.95	127,953,624.40	800,977.78	128,754,602.18
25800-25949	UNEMPLOYMENT INSURANCE ADMINISTRATION	15,311,890.78	12,706,389.75	11,321,263.40	(3,553,632.34)	7,767,661.06
25950	FEDERAL UNEMPLOYMENT INS OCCUPATIONAL TRAINING	505,463.51	403,655.51	402,866.51	84,103.98	487,090.49
26001-26049	DOL EMPLOYMENT AND TRAINING GRANTS	6,193,915.32	2,898,993.86	2,582,302.41	(138,574.69)	2,443,727.72
	TOTAL FEDERAL FUNDS	1,460,792,676.95	2,409,966,631.59	1,343,842,587.59	173,648,237.71	1,517,490,825.30
	AGENCY FUNDS					
60201	EMPLOYEES HEALTH INSURANCE ACCT	-	-	-	-	-
60901	MMIS - STATE AND FEDERAL	-	-	-	-	-
	TOTAL AGENCY FUNDS	-	-	-	-	-
	ENTERPRISE FUND					
50318	OGS CONVENTION CENTER ACCOUNT	242,148.75	232,686.70	379,061.04	(14,252.22)	364,808.82
50327	EMPIRE PLAZA GIFT SHOP	217,493.73	229,012.37	246,132.52	253,678.44	253,678.44
	TOTAL ENTERPRISE FUND	459,642.48	461,699.07	625,192.56	(6,706.30)	618,487.26
	INTERNAL SERVICE FUNDS					
55001	CENTRALIZED SERVICES-FLEET MGMT	-	-	-	-	-
55002	CENTRALIZED SERVICES-DATA PROCESSING	1,452,438.07	1,453,852.81	1,446,245.67	(77,075.62)	1,369,170.05
55003	CENTRALIZED SERVICES-PRINTING	-	-	-	-	-
55004	CENTRALIZED SERVICES-REAL PROPERTY-LABOR	-	-	-	-	-
55005	CENTRALIZED SERVICES-DONATED FOODS	-	-	-	-	-
55006	CENTRALIZED SERVICES-PERSONAL PROPERTY	2,379,054.23	2,202,148.64	2,783,124.27	299,270.76	3,082,395.03
55007	CENTRALIZED SERVICES-CONSTRUCTION SERVICES	5,663,133.38	10,224,177.70	10,307,995.54	293,676.70	10,601,662.24
55008	CENTRALIZED SERVICES-PASNY	-	-	-	-	-
55009	CENTRALIZED SERVICES-ADMIN SUPPORT	15,704,808.60	8,575,158.16	11,418,997.31	922,114.91	12,341,112.22
55010	CENTRALIZED SERVICES-DESIGN AND CONSTR	2,501,087.88	5,001,523.81	4,996,693.09	(280,976.27)	4,715,716.82
55011	CENTRALIZED SERVICES-INSURANCE	202,143.64	158,727.64	147,038.27	29,735.03	176,773.30
55012	CENTRALIZED SERVICES-SECURITY CARD ACCESS	-	-	-	-	-
55013	CENTRALIZED SERVICES-COPS	-	-	-	-	-

APPENDIX F

STATE OF NEW YORK
SCHEDULE OF MONTH-END TEMPORARY LOANS OUTSTANDING^(*)

SFS Fund	ACCOUNT TITLE	June 30, 2019	July 31, 2019	August 31, 2019	Change	September 30, 2019
55014	CENTRALIZED SERVICES-FOOD SERVICES	-	-	-	-	-
55015	CENTRALIZED SERVICES-HOMER FOLKS	-	-	-	-	-
55016	CENTRALIZED SERVICES-HMICS	1,318,190.22	1,261,872.84	1,492,621.11	241,938.62	1,734,559.73
55017	DOWNSTATE WAREHOUSE	370,047.49	345,863.13	346,737.56	78,174.01	424,911.57
55018	BUILDING ADMINISTRATION	-	-	-	-	-
55019	LEASE SPACE INITIATIVE	-	-	-	-	-
55020	OGS ENTERPRISE CONTRACTING ACCT	67,993,252.07	53,265,501.48	53,890,027.83	1,518,233.22	55,408,261.05
55021	NYS MEDIA CENTER	6,096,519.04	6,253,598.89	6,558,111.51	6,780,906.74	6,780,906.74
55022	BUSINESS SERVICES CENTER	6,704,861.54	9,251,963.80	11,130,490.59	1,999,352.77	13,129,843.36
55052	ARCHIVES RECORD MGMT I.S.	6,186.77	51,574.65	-	-	-
55053	FEDERAL SINGLE AUDIT	-	-	-	-	-
55056	CIVIL SERVICE EHS OCCUP HEALTH PROG	131,862.90	-	-	-	-
55057	BANKING SERVICES ACCOUNT	1,691,493.56	34,603.41	7,959.56	70,762.03	78,721.59
55058	CULTURAL RESOURCE SURVEY	1,753,197.41	1,581,122.51	1,794,918.30	323,512.38	2,118,430.68
55059	NEIGHBOR WORK PROJECT	10,332,032.72	10,107,650.57	11,121,992.25	9,344.85	11,131,337.10
55060	AUTOMATIC/PRINT CHARGEBACKS	4,403,775.47	3,177,880.79	3,246,884.37	(2,775,966.91)	470,917.46
55061	OFT NYT ACCT	2,322,746.64	2,318,002.96	2,317,678.02	(25,622.55)	2,292,055.47
55062	DATA CENTER ACCOUNT	45,128,023.70	45,381,789.50	45,381,789.50	-	45,381,789.50
55063	CYBER SECURITY INTRUSION ACCT	1,261,584.27	1,261,584.27	1,261,584.27	-	1,261,584.27
55066	DOMESTIC VIOLENCE GRANT	153,051.66	159,010.41	169,607.57	(74,988.07)	94,639.50
55067	CENTRALIZED TECHNOLOGY SERVICES	54,372,378.46	56,081,457.82	54,758,282.68	(910,653.66)	53,846,669.23
55071	LABOR CONTRACT CENTER ACCT	200,679.60	381,428.90	536,194.46	152,386.24	688,580.66
55072	HUMAN SERVICES CONTACT CNTR ACCT	962,907.62	-	-	696,689.36	696,689.36
55073	TAX CONTRACT CENTER ACCT	-	-	-	-	-
55074	CIVIL RECOVERIES ACCT	-	-	-	(15,221,387.23)	-
55251	EXECUTIVE DIRECTION INTERNAL AUDIT	15,382,766.52	15,473,889.76	15,221,387.23	390,532.19	11,146,308.44
55252	CIO INFORMATION TECHNOLOGY CENTRALIZED SERVICES	9,947,279.39	10,384,234.22	10,755,776.25	2,605,315.36	40,222,262.84
55300	HEALTH INSURANCE INTERNAL SERVICE	29,411,121.22	32,404,366.80	37,616,947.48	295,288.19	14,776,570.77
55301	CIVIL SERVICE EMPLOYEE BENEFITS DIV ADM	13,006,160.12	14,230,244.01	14,481,302.58	147,807.34	1,078,644.74
55350	CORR INDUSTRIES INTERNAL SERVICE	625,679.41	765,603.99	930,837.40	1,690,747.07	31,299,237.49
		29,091,245.22	321,232,069.00	333,730,685.32	(7,915,206.53)	325,815,478.79
	TOTAL INTERNAL SERVICE FUNDS	330,909,799.84	4,665,420,784.93	3,651,678,456.64	1,662,300,863.97	5,313,979,320.61

GRAND TOTAL - TEMPORARY LOANS OUTSTANDING

\$ 3,750,142,661.69

\$ 4,665,420,784.93

\$ 3,651,678,456.64

\$ 1,662,300,863.97

\$ 5,313,979,320.61

^(*) Temporary Loans are authorized pursuant to Subdivision 5 of Section 4 of the State Finance Law and Chapter 59, Part TTT, Section 1, of the Laws of 2019-20.

The loans represent authorizations made by the Legislature to allow certain funds/accounts to make appropriated payments regardless of the fund (cash) balance. Such loans are made from the State's Short-Term Investment Pool (STIP) and are intended to satisfy temporary cash shortfalls whenever scheduled disbursements exceed available revenues during the fiscal year. Generally, temporary loans are repaid from the first cash receipts of the fund or account; however, in some cases actual revenues are not sufficient to repay all loans made to the fund or account and a transfer from the General Fund "Repayment of Receivables" appropriation is approved by the Budget Director.

The balances reported here in Appendix F are the actual fund balances as of the close of business on the last day of the reporting month and do not include post-closing adjustments. Please refer to Schedule 1 for a detailed analysis of the reported cash balances of the fund group.

^(**) Temporary loans to federal funds are typically reimbursed within 2-3 days. Such loans are made pursuant to federal regulations which require the State to disburse funds prior to making a reimbursement claim from the U.S. Treasury.

^(***) Per Section 72 of the State Finance Law, the General Fund includes the Local Assistance Fund (10000) and State Purpose Fund (10050).

APPENDIX G

STATE OF NEW YORK DEDICATED INFRASTRUCTURE INVESTMENT FUND(*) STATEMENT OF RECEIPTS AND DISBURSEMENTS FISCAL YEAR 2019-2020													6 Months Ended September 30, 2019
	2019 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2020 JANUARY	FEBRUARY	MARCH	
OPENING CASH BALANCE	\$ 16,298,833	\$ 228,983,088	\$ 67,983,418	\$ 126,634,302	\$ 99,294,725	\$ 98,280,268							\$ 16,298,833
RECEIPTS:													
Transfers from General Fund (**)	250,000,000	-	200,000,000	50,000,000	70,000,000	100,000,000							670,000,000
Total Receipts	250,000,000	-	200,000,000	50,000,000	70,000,000	100,000,000							670,000,000
DISBURSEMENTS:													
Affordable and Homeless Housing	-	1,460,000	18,256,090	-	1,574,403	25,407,411							46,699,904
Broadband Initiative	1,906,857	22,713,440	5,454,209	12,367,387	1,905,302	6,084,561							50,431,756
Downtown Revitalization	-	-	516,406	151,311	161,511	63,446							894,673
Health Care / Hospital Initiatives	1,376,141	69,909,227	2,203,139	5,379,040	1,033,482	2,853,386							79,757,015
Empire State Poverty Reduction Initiatives	1,174,678	643,317	1,630,974	1,884,546	638,637	2,373,357							8,346,509
Information Technology/Infrastructure for Behavioral Sciences	-	-	-	-	-	-							-
Infrastructure Improvements	-	298,303	697,554	604,723	62,372	3,874							1,598,825
Infrastructure Expansion	-	-	87,959,699	-	-	-							87,959,699
Life Sciences Initiative	-	-	-	-	500,000	4,420							504,420
Municipal Restructuring / Consolidation Competition	1,257,796	1,322,544	884,297	584,993	1,230,887	68,308							5,346,825
Penn. Station Access	-	-	-	-	-	-							-
Resiliency, Mitigation, Security and Emergency Response	32,703	4,101,595	100,755	8,723	(953)	-							4,242,823
Southern Tier / Hudson Valley Farm Initiative	10,000	73,340	4,871	20,000	173,311	-							281,522
Thruway Stabilization Program	15,988,205	29,132,267	20,630,646	42,058,113	49,057,748	25,685,242							182,552,221
Transformative Economic Development Projects	5,122,897	8,707,914	1,701	4,492,613	2,754,194	2,500,000							23,579,319
Transportation Capital Plan	-	-	-	(3,626)	-	-							(3,626)
Upstate Revitalization Program	10,833,898	25,249,723	3,102,575	9,791,754	11,923,563	1,728,351							62,627,834
Total Disbursements	37,703,745	160,611,670	141,349,116	77,339,577	71,014,457	66,770,355							554,788,920
OPERATING TRANSFERS:													
Transfers to General Fund	-	-	-	-	-	-							-
Total Operating Transfers	-	-	-	-	-	-							-
Total Disbursements and Transfers	37,703,745	160,611,670	141,349,116	77,339,577	71,014,457	66,770,355							554,788,920
CLOSING CASH BALANCE	\$ 228,595,088	\$ 67,983,418	\$ 126,634,302	\$ 99,294,725	\$ 98,280,268	\$ 131,509,913	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 131,509,913

(*) Fund created pursuant to Chapter 60, Laws of 2015-16, Part H and SFL § 93-b

(**) Pursuant to Section 83(b) of the State Finance Law

