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Issue 2

DEPARTMENT OF STATE
Division of Administrative Rules

# NEW YORK STATE REGISTER

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- Public Retirement Systems
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State agencies must specify in each notice which proposes a rule the last date on which they will accept public comment. Agencies must always accept public comment: for a minimum of 45 days following publication in the *Register* of a Notice of Proposed Rule Making or a Notice of Emergency Adoption and Proposed Rule Making for which full text was included in the Notice or posted on a state web site, or which is a consensus rule or a rule defined in SAPA § 102(2)(a)(ii); or for a minimum of 60 days following publication in the *Register* of a Notice of Proposed Rule Making or a Notice of Emergency Adoption and Proposed Rule Making for which a summary of the text of the rule was included in the Notice and the full text of which was not published on a state web site; and for 30 days after publication of a Notice of Revised Rule Making in the *Register*. When a public hearing is required by statute, the hearing cannot be held until 45 days after publication of the notice, and comments must be accepted for at least 5 days after the last required hearing. When the public comment period ends on a Saturday, Sunday or legal holiday, agencies must accept comment through the close of business on the next succeeding workday.

***For notices published in this issue:***
– the 60-day period expires on March 13, 2016
– the 45-day period expires on February 27, 2016
– the 30-day period expires on February 12, 2016

**ANDREW M. CUOMO**
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**CESAR A. PERALES**
**SECRETARY OF STATE**

**NEW YORK STATE DEPARTMENT OF STATE**

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NEW YORK STATE
REGISTER

**Be a part of the rule making process!**

The public is encouraged to comment on any of the proposed rules appearing in this issue. Comments must be made in writing and must be submitted to the agency that is proposing the rule. Address your comments to the agency representative whose name and address are printed in the notice of rule making. No special form is required; a handwritten letter will do. Individuals who access the online *Register* (www.dos.ny.gov) may send public comment via electronic mail to those recipients who provide an e-mail address in Notices of Proposed Rule Making. This includes Proposed, Emergency Proposed, Revised Proposed and Emergency Revised Proposed rule makings.

To be considered, comments must reach the agency before the proposed rule is adopted. The law provides for a minimum 45-day public comment period after publication in the *Register* of every Notice of Proposed Rule Making for which full text was included or posted on a state web site, or which is a consensus rule or a rule defined in SAPA § 102(2)(a)(ii); a minimum 60-day public comment period after publication in the *Register* of a Notice of Proposed Rule Making for which a summary of the text of the rule was included in the Notice and the full text of which was not published on a state web site; and a 30-day public comment period for every Notice of Revised Rule Making. If a public hearing is required by statute, public comments are accepted for at least five days after the last such hearing. Agencies are also required to specify in each notice the last date on which they will accept public comment.

When a time frame calculation ends on a Saturday or Sunday, the agency accepts public comment through the following Monday; when calculation ends on a holiday, public comment will be accepted through the following workday. Agencies cannot take action to adopt until the day after public comments are due.

The Administrative Regulations Review Commission (ARRC) is charged with the task of reviewing newly proposed regulations to examine the issues of compliance with legislative intent, impact on the economy, and impact on affected parties. In addition to sending comments or recommendations to the agency, please do not hesitate to transmit your views to ARRC:

Administrative Regulations Review Commission
State Capitol
Albany, NY 12247
Telephone: (518) 455-5091 or 455-2731

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KEY: (P) Proposal; (RP) Revised Proposal; (E) Emergency; (EP) Emergency and Proposal; (A) Adoption; (AA) Amended Adoption; (W) Withdrawal

Individuals may send public comment via electronic mail to those recipients who provided an e-mail address in Notices of Proposed Rule Making. This includes Proposed, Emergency Proposed, Revised Proposed and Emergency Revised Proposed rule makings. Choose pertinent issue of the *Register* and follow the procedures on the website (www.dos.ny.gov)

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# RULE MAKING ACTIVITIES

Each rule making is identified by an I.D. No., which consists of 13 characters. For example, the I.D. No. AAM-01-96-00001-E indicates the following:

AAM -the abbreviation to identify the adopting agency
01 -the *State Register* issue number
96 -the year
00001 -the Department of State number, assigned upon receipt of notice.
E -Emergency Rule Making—permanent action not intended (This character could also be: A for Adoption; P for Proposed Rule Making; RP for Revised Rule Making; EP for a combined Emergency and Proposed Rule Making; EA for an Emergency Rule Making that is permanent and does not expire 90 days after filing.)

Italics contained in text denote new material. Brackets indicate material to be deleted.

## Department of Agriculture and Markets

### NOTICE OF ADOPTION

**Growth and Cultivation of Industrial Hemp**

**I.D. No.** AAM-17-15-00011-A
**Filing No.** 1148
**Filing Date:** 2015-12-29
**Effective Date:** 2016-01-13

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following action:

***Action taken:*** Addition of Part 159 to Title 1 NYCRR.

***Statutory authority:*** Agriculture and Markets Law, sections 16, 18 and 508

***Subject:*** Growth and cultivation of industrial hemp.

***Purpose:*** To set forth procedures for authorizing and regulating the growth and cultivation of industrial hemp.

***Text of final rule:*** Chapter III of 1 NYCRR is amended by adding thereto a new Subchapter F, to read as follows:

*Subchapter F Industrial Hemp*
*Part 159 Industrial Hemp Agricultural Pilot Programs*
*§ 159.1 Definitions*
*For the purpose of this Part, the following terms shall have the following meanings:*
*(a) "Authorization holder" means an institution of higher education that has been granted authority by the Commissioner to acquire and possess industrial hemp to study its growth and cultivation.*
*(b) "Commissioner" means the Commissioner of Agriculture and Markets of the State of New York.*
*(c) "Department" means the Department of Agriculture and Markets of the State of New York.*
*(d) "Dispose", and any variant thereof, means to render unusable for any purpose.*
*(e) "Industrial hemp" means the same as that term is defined in subdivision (1) of Agriculture and Markets Law section 505.*
*(f) "Institution of higher education" means the same as that term is defined in subdivision (2) of Agriculture and Markets Law section 505.*
*(g) "Person" means an individual, partnership, corporation, limited liability company, association, or any business entity by whatever name designated and whether or not incorporated, unless the context clearly indicates otherwise.*
*(h) "Registered premises" means any facility, location, or property owned, leased, or licensed, which is under the control of the authorization holder and certified by the Commissioner as a site where industrial hemp may be grown or cultivated, harvested, stored, studied, or disposed of.*
*(i) "Secured facility" means a building or structure where access is restricted only to authorized persons.*
*(j) "State" means the State of New York.*
*§ 159.2 Authorization to grow and cultivate industrial hemp*
*(a) Industrial hemp and industrial hemp seeds may not be possessed, grown, or cultivated unless an application therefor has been submitted to and authority has been granted by the Commissioner.*
*(b) Only an institution of higher education may submit an application to the Commissioner for authorization to grow or cultivate industrial hemp.*
*(c) Industrial hemp may only be grown, cultivated, or processed upon registered premises.*
*(d) An application to grow and cultivate industrial hemp shall be made upon a form prescribed by the Commissioner and shall include an application fee of $500.00. Each application and renewal application shall provide the information deemed necessary by the Commissioner for the administration of this Part, including but not limited to:*
*(1) a description of each premises where industrial hemp will be grown or cultivated, harvested, stored, studied or disposed of, by physical address and by GPS co-ordinates;*
*(2) a diagram for each premises that visually depicts the buildings, structures and improvements on the premises and identifies their use, and that sets forth the relevant activities conducted at the premises; and*
*(3) a detailed summary of the issues and matters that the applicant intends to study in conjunction with growing, cultivating, or processing industrial hemp which may include:*
*i. the soils, growing conditions, and harvest methods suitable for the growth or cultivation of various types of industrial hemp in the State;*
*ii. the cultivars suitable for the growth or cultivation of various types of industrial hemp, including the cost of each cultivar; the yield of industrial hemp attributable to each such cultivar; and the inputs required to assure that each such cultivar, when planted, results in a satisfactory yield of industrial hemp;*
*iii. the markets that the applicant has identified, in consultation with appropriate commercial interests, that exist or that could feasibly be developed for various types of industrial hemp, including but not limited to markets for apparel, energy, food, paper, and tools;*
*iv. the means and methods that could feasibly be used to process, market, advertise, expose, or publicize products that contain, in whole or in predominate part, industrial hemp, to facilitate the wholesale and/or retail sale thereof.*
*(4) a transportation plan, if industrial hemp will be moved from one location on the registered premises to another or from one registered premises to another registered premises, that sets forth information relevant to the security requirements set forth in section 159.6 of this Part.*
*(5) a security plan that sets forth the measures that the applicant intends to take to ensure that the security requirements set forth in section 159.6 of this Part are complied with.*
*(e) Applications to grow, cultivate, process, and market industrial hemp*

*shall be evaluated in the order in which they are received. In the event that two or more applications are received at the same time, the Department will determine the order of receipt at random.*

*(f) The Commissioner may decline to grant authority to grow, cultivate, process, and market industrial hemp, and may revoke or decline to renew an authorization to grow and cultivate industrial hemp, if he or she finds, after investigation and opportunity to be heard, that:*

*(1) the application does not set forth the information required pursuant to subdivision (d) of this section and fails to set forth such information within twenty days after the applicant has received notice that the required information was not set forth on the application; or*

*(2) ten authorizations to grow and cultivate industrial hemp have been issued and are in effect; or*

*(3) the applicant or authorization holder is not capable for whatever reason of complying, or has failed to comply, with the provisions of this Part or with state or federal law relating to the possession, sale, or cultivation of industrial hemp; or*

*(4) the Department determines, in its sole discretion, that it is or will be impracticable to regulate the applicant's or authorization holder's adherence to the requirements set forth in this Part; or*

*(5) the authorization holder has not complied with the requirements set forth in subdivision (e) of section 159.3 of this Part.*

*(g) Authorization to grow and cultivate industrial hemp shall be for a period of three years from the date application therefor was approved by the Commissioner. Notwithstanding the preceding, the Commissioner may grant or renew an authorization to grow and cultivate industrial hemp for a period of more than three years if he or she determines that the issues and matters that the applicant or authorization holder intends to study or is studying cannot be adequately and fully studied within three years from the date that authorization is granted or renewed.*

*An application for renewal shall be submitted to the Commissioner no later than thirty days prior to the date that the authorization expires and shall include an application fee of $500.00.*

*(h) The Commissioner may grant or renew an authorization to grow and cultivate industrial hemp with conditions, including but not limited to one or more of the following:*

*(1) industrial hemp is grown and cultivated on a limited number of acres; or*

*(2) industrial hemp is grown and cultivated in a limited volume.*

*(i) An authorization holder may surrender its authorization at any time; however, the requirements set forth in section 159.6 of this Part shall remain applicable and binding upon such authorization holder until its authorization period would otherwise have expired.*

*§ 159.3 Requirements*

*(a) Studies and reports.*

*(1) An authorization holder shall, no later than three months after the date of application to grow or cultivate industrial hemp was approved by the Commissioner, furnish to the Commissioner a report that provides, in detail, its findings and conclusions regarding the issues and matters set forth in its application to grow or cultivate industrial hemp.*

*(2) An authorization holder shall every three months after furnishing a report of the type referred to in paragraph (1) of this subdivision, furnish a report that supplements, in detail, the findings and conclusions set forth in earlier report(s).*

*(3) An authorization holder may study issues and matters different from those set forth in its application to grow or cultivate industrial hemp, with the prior written approval of the Commissioner, and all reports required pursuant to this section, furnished after the date of the Commissioner's approval, shall set forth findings and conclusions regarding such different issues and matters.*

*(b) Except as provided in subdivision (a) of section 159.6 of this Part and in this subdivision, industrial hemp may be grown or cultivated, harvested, stored, and disposed of only on the registered premises. Industrial hemp that has been harvested shall be stored in a secured facility except when it is being transported within the registered premises, to a laboratory for testing, or to another registered premises or facility approved by the Commissioner.*

*(c) Industrial hemp may be transported off registered premises only if it is being transported to a laboratory for testing or to another registered premises or facility approved by the Commissioner. Industrial hemp may be transported only in an enclosed, locked compartment of a truck or van where it cannot be seen from the outside of the vehicle, the contents of the vehicle are not disclosed, and the operator of the vehicle has been approved by the authorization holder to transport industrial hemp, as indicated in the record required to be maintained pursuant to paragraph (1) of subdivision (a) of section 159.4 of this Part.*

*(d) Testing and disposition.*

*(1) An authorization holder shall prepare, maintain, and make available to the Commissioner, upon request, a record that sets forth an accurate inventory of industrial hemp plants and seeds and shall reasonably ensure that no plant is possessed or grown or cultivated that would not meet the definition of industrial hemp because it contains a concentration of more than 0.3 percent of delta-9 tetrahydrocannabinol, on a dry basis.*

*(2) An authorization holder shall ensure that a representative sample of plants grown or cultivated from each variety of seed used for the purpose of growing or cultivating industrial hemp is analyzed at a laboratory approved by the Commissioner, to determine the concentration of delta-9 tetrahydrocannabinol therein. The authorization holder shall furnish a report that sets forth the results of analysis(es) to the Commissioner promptly after such analysis(es) is made, in a form approved by the Commissioner.*

*(3) An authorization holder shall dispose of all plants determined, after laboratory analysis, to have a concentration of more than 0.3 percent of delta-9 tetrahydrocannabinol on a dry basis, and shall prepare and maintain on the registered premises for a period of two years, a record that sets forth the information required in section 159.4(a)(4)(iii) of this Part. The authorization holder shall make available to the Department such records upon request, in a form and at a location satisfactory to the Commissioner.*

*(e) An authorization holder shall, no later than fifteen days after having been granted authorization, notify, in writing, the applicable unit or units of law enforcement, including the unit or units of law enforcement in the political subdivision in which the registered premises is located, that it has received such authorization and shall provide such unit or units of law enforcement a copy of the security plan referred to in section 159.2(d)(5) of this Part. The authorization holder shall, no later than fifteen days after having notified such unit or units of law enforcement, provide the Department with a copy of such notification. An authorization holder shall adequately monitor registered premises under its control and shall notify the appropriate unit or units of law enforcement and the Department regarding facts and circumstances that indicate that industrial hemp has been or may be held or possessed in violation of the provisions of this Part.*

*(f) (1) Notwithstanding any provision of this Part to the contrary, an authorization holder may enter into a contract with a person for that person to be involved in growing or cultivating, harvesting, storing, studying, transporting, and/or disposing of industrial hemp, if:*

*i. the contract has, prior to execution, been approved by the Commissioner; and*

*ii. the contract requires such subcontractor to comply with all relevant provisions of this Part.*

*(2) The Commissioner may decline to renew or may revoke an authorization to grow and cultivate industrial hemp if he or she finds, after investigation, that such subcontractor has failed to comply with all relevant provisions of this Part.*

*§ 159.4 Recordkeeping*

*(a) An authorization holder shall create, maintain, and make available accurate records, in a form and at a location satisfactory to the Commissioner, that set forth the following information:*

*(1) a description of the registered premises at which industrial hemp is grown or cultivated that is in substantially the same form as the description required to be provided pursuant to paragraph (1) of subdivision (d) of section 159.2 of this Part;*

*(2) the name of the cultivar(s) grown and the volume of each cultivar purchased, acquired and/or used, for the appropriate growing season; and*

*(3) the volume of industrial hemp grown or cultivated, for the appropriate growing season, and*

*i. the volume of industrial hemp harvested; and*

*ii. the volume of industrial hemp studied and the name and address of each person who or that has conducted or been involved in such study; and*

*iii. the volume of industrial hemp disposed of, the date and location of each disposal, and the method of each disposal.*

*(b) The records and materials referred to in subdivision (a) of this section shall be maintained on the registered premises and made available to the Commissioner for two years from the date they were made or prepared.*

*§ 159.5 Inspections*

*(a) The authorization holder shall inspect the registered premises as often as necessary to ensure compliance with the requirements set forth in this Part.*

*(b) The registered premises of an authorization holder are subject to inspection by the Commissioner and by his or her authorized agents, employees, or officers, pursuant to Agriculture and Markets Law section 20, as often and to the extent necessary to ensure compliance with the provisions of this Part and state and federal law relating to the possession, sale, or cultivation of industrial hemp. The Commissioner may authorize agents, employees, or officers of the New York State Department of Health and/or local law enforcement to accompany him or her during an inspection of the registered premises of an authorization holder.*

*§ 159.6 Security measures*

*(a) An authorization holder shall take all actions necessary to ensure that:*

*(1) industrial hemp is not removed from registered premises except for transportation to a laboratory for testing pursuant to the provisions of section 159.3(d)(2) of this Part or except as allowed by the Commissioner pursuant to his/her written authorization.*

*(2) industrial hemp is not acquired, possessed, grown or cultivated, harvested, stored, transported, or disposed of except under conditions that ensure that it will not be removed from registered premises or used in violation of state or federal law.*

*(b) The authorization holder shall take measures, satisfactory to the Commissioner, to ensure compliance with the requirements set forth in subdivision (a) of this section, including but not limited to:*

*(1) restricting access to areas of the registered premises where industrial hemp is grown or cultivated; and*

*(2) posting signs, each of which set forth, in readily observable block letters, the words "NO TRESPASSING. FACILITY CONTAINS INDUSTRIAL HEMP. UNAUTHORIZED POSSESSION OF INDUSTRIAL HEMP IS SUBJECT TO PROSECUTION PURSUANT TO ARTICLE 220 OF THE PENAL LAW". A sufficient number of signs shall be posted so that a sign and the information required to be set forth on a sign can be read, from a distance of not less than 100 feet, from any location around the perimeter of the registered premises where industrial hemp is grown or cultivated, or held; and*

*(3) providing for equipment and/or other fixtures such as fences that are reasonably designed to prevent unauthorized persons from entering the registered premises and/or having their presence therein undetected.*

*(c) Nothing in this section is intended to apply to any finished or marketable product which contains industrial hemp but from which the hemp may not practically be extricated in the form of industrial hemp.*

***Final rule as compared with last published rule:*** Nonsubstantive changes were made in section 159.2(c), (d)(3), (iv), (e) and (f).

***Revised rule making(s) were previously published in the State Register on*** September 30, 2015.

***Text of rule and any required statements and analyses may be obtained from:*** Chris Logue, Director, Division of Plant Industry, NYS Dept. of Agriculture and Markets, 10B Airline Drive, Albany, NY 12235, (518) 457-2087, email: Chris.Logue@agriculture.ny.gov

***Revised Regulatory Impact Statement, Regulatory Flexibility Analysis, Rural Area Flexibility Analysis and Job Impact Statement***

Changes were made to the last published rule to allow for an institution of higher education that has been authorized to grow and cultivate industrial hemp to, generally, process and market that commodity in addition to being able to grow and cultivate it; these changes are not substantial and do not necessitate revision of the previously published impact statements and analyses.

***Initial Review of Rule***

As a rule that requires a RFA, RAFA or JIS, this rule will be initially reviewed in the calendar year 2019, which is no later than the 3rd year after the year in which this rule is being adopted.

***Assessment of Public Comment***

The agency received no public comment.

# Department of Civil Service

## PROPOSED RULE MAKING
## NO HEARING(S) SCHEDULED

**Jurisdictional Classification**

**I.D. No.** CVS-02-16-00003-P

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following proposed rule:

***Proposed Action:*** Amendment of Appendix 1 of Title 4 NYCRR.

***Statutory authority:*** Civil Service Law, section 6(1)

***Subject:*** Jurisdictional Classification.

***Purpose:*** To classify a position in the exempt class.

***Text of proposed rule:*** Amend Appendix 1 of the Rules for the Classified Service, listing positions in the exempt class, in the Department of Health, by adding thereto the positon of Chief Marketing Officer.

***Text of proposed rule and any required statements and analyses may be obtained from:*** Jennifer Paul, NYS Department of Civil Service, Empire State Plaza, Agency Building 1, Albany, NY 12239, (518) 473-6598, email: jennifer.paul@cs.ny.gov

***Data, views or arguments may be submitted to:*** Ilene Lees, Counsel, NYS Department of Civil Service, Empire State Plaza, Agency Building 1, Albany, NY 12239, (518) 473-2624, email: ilene.lees@cs.ny.gov

***Public comment will be received until:*** 45 days after publication of this notice.

***Consolidated Regulatory Impact Statement***

1. Statutory Authority: The New York State Civil Service Commission is authorized to promulgate rules for the jurisdictional classification of offices within the classified service of the State by Section 6 of the Civil Service Law. In so doing, it is guided by the requirements of Sections 41, 42 and 43 of this same law.

2. Legislative Objectives: These rule changes are in accord with the statutory authority delegated to the Civil Service Commission to prescribe rules for the jurisdictional classification of the offices and positions in the classified service of the State.

3. Needs and Benefits: Article V, Section 6, of the New York State Constitution requires that, wherever practicable, appointments and promotions in the civil service of the State, including all its civil divisions, are to be made according to merit and fitness. It also requires that competitive examinations be used, as far as practicable, as a basis for establishing this eligibility. This requirement is intended to provide protection for those individuals appointed or seeking appointment to civil service positions while, at the same time, protecting the public by securing for it the services of employees with greater merit and ability. However, as the language suggests, the framers of the Constitution realized it would not always be possible, nor indeed feasible, to fill every position through the competitive process. This point was also recognized by the Legislature for, when it enacted the Civil Service Law to implement this constitutional mandate, it provided basic guidelines for determining which positions were to be outside of the competitive class. These guidelines are contained in Section 41, which provides for the exempt class; 42, the non-competitive class and 43, the labor class. Thus, there are four jurisdictional classes within the classified service of the civil service and any movement between them is termed a jurisdictional reclassification.

The Legislature further established a Civil Service Department to administer this Law and a Civil Service Commission to serve primarily as an appellant body. The Commission has also been given rulemaking responsibility in such areas as the jurisdictional classification of offices within the classified service of the State (Civil Service Law Section 6). In exercising this rule-making responsibility, the Commission has chosen to provide appendices to its rules, known as Rules for the Classified Service, to list those positions in the classified service which are in the exempt class (Appendix 1), non-competitive class (Appendix 2), and labor class (Appendix 3).

In effect, all positions, upon creation at least, are, by constitutional mandate, a part of the competitive class and remain so until removed by the Civil Service Commission, through an amendment of its rules upon showing of impracticability in accordance with the guidelines provided by the Legislature. The guidelines are as follows. The exempt class is to include those positions specifically placed there by the Legislature, together with all other subordinate positions for which there is no requirement that the person appointed pass a civil service examination. Instead, appointments rest in the discretion of the person who, by law, has determined the position's qualifications and whether the persons to be appointed possess those qualifications. The non-competitive class is to be comprised of those positions which are not in the exempt or labor classes and for which the Civil Service Commission has found it impracticable to determine an applicant's merit and fitness through a competitive examination. The qualifications of those candidates selected are to be determined by an examination which is sufficient to insure selection of proper and competent employees. The labor class is to be made up of all unskilled laborers in the service of the State and its civil divisions, except those which can be examined for competitively.

4. Costs: The removal of a position from one jurisdictional class and placement in another is descriptive of the proper placement of the position in question in the classified service, and has no appreciable economic impact for the State or local governments.

5. Local Government Mandates: These amendments have no impact on local governments. They pertain only to the jurisdictional classification of positions in the State service.

6. Paperwork: There are no new reporting requirements imposed on applicants by these rules.

7. Duplication: These rules are not duplicative of State or Federal requirements.

8. Alternatives: Within the statutory constraints of the New York State

Civil Service Commission, it is not believed there is a viable alternative to the jurisdictional classification chosen.

9. Federal Standards: There are no parallel Federal standards and, therefore, this is not applicable.

10. Compliance Schedule: No action is required by the subject State agencies and, therefore, no estimated time period is required.

***Regulatory Flexibility Analysis***

The proposal does not affect or impact upon small businesses or local governments, as defined by Section 102(8) of the State Administrative Procedure Act, and, therefore, a regulatory flexibility analysis for small businesses is not required by Section 202-b of such act. In light of the fact that this proposal only affects jurisdictional classifications of State employees, it will not have any adverse impact on small businesses or local governments.

***Rural Area Flexibility Analysis***

The proposal does not affect or impact upon rural areas as defined by Section 102(13) of the State Administrative Procedure Act and Section 481(7) of the Executive Law, and, therefore, a rural area flexibility analysis is not required by Section 202-bb of such act. In light of the fact that this proposal only affects jurisdictional classifications of State employees, it will not have any adverse impact on rural areas.

***Job Impact Statement***

The proposal has no impact on jobs and employment opportunities. This proposal only affects the jurisdictional classification of positions in the Classified Civil Service.

## PROPOSED RULE MAKING NO HEARING(S) SCHEDULED

**Jurisdictional Classification**

**I.D. No.** CVS-02-16-00004-P

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following proposed rule:

***Proposed Action:*** Amendment of Appendix 2 of Title 4 NYCRR.

***Statutory authority:*** Civil Service Law, section 6(1)

***Subject:*** Jurisdictional Classification.

***Purpose:*** To delete positions from and classify positions in the non-competitive class.

***Text of proposed rule:*** Amend Appendix 2 of the Rules for the Classified Service, listing positions in the non-competitive class, in the Department of Agriculture and Markets, by deleting therefrom the positions of Horticultural Inspector 3 (Apiculture) (1), Horticultural Inspector 3 (Biotechnology) (1) and Horticultural Inspector 3 (Invasive Species) (1) and by adding thereto the positions of Horticultural Inspector 2 (Apiculture) (1), Horticultural Inspector 2 (Biotechnology) (1) and Horticultural Inspector 2 (Invasive Species) (1).

***Text of proposed rule and any required statements and analyses may be obtained from:*** Jennifer Paul, NYS Department of Civil Service, Empire State Plaza, Agency Building 1, Albany, NY 12239, (518) 473-6598, email: jennifer.paul@cs.ny.gov

***Data, views or arguments may be submitted to:*** Ilene Lees, Counsel, NYS Department of Civil Service, Empire State Plaza, Agency Building 1, Albany, NY 12239, (518) 473-2624, email: ilene.lees@cs.ny.gov

***Public comment will be received until:*** 45 days after publication of this notice.

***Regulatory Impact Statement***

A regulatory impact statement is not submitted with this notice because this rule is subject to a consolidated regulatory impact statement that was previously printed under a notice of proposed rule making, I.D. No. CVS-01-15-00005-P, Issue of January 7, 2015.

***Regulatory Flexibility Analysis***

A regulatory flexibility analysis is not submitted with this notice because this rule is subject to a consolidated regulatory flexibility analysis that was previously printed under a notice of proposed rule making, I.D. No. CVS-01-15-00005-P, Issue of January 7, 2015.

***Rural Area Flexibility Analysis***

A rural area flexibility analysis is not submitted with this notice because this rule is subject to a consolidated rural area flexibility analysis that was previously printed under a notice of proposed rule making, I.D. No. CVS-01-15-00005-P, Issue of January 7, 2015.

***Job Impact Statement***

A job impact statement is not submitted with this notice because this rule is subject to a consolidated job impact statement that was previously printed under a notice of proposed rule making, I.D. No. CVS-01-15-00005-P, Issue of January 7, 2015.

## PROPOSED RULE MAKING NO HEARING(S) SCHEDULED

**Jurisdictional Classification**

**I.D. No.** CVS-02-16-00005-P

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following proposed rule:

***Proposed Action:*** Amendment of Appendix 2 of Title 4 NYCRR.

***Statutory authority:*** Civil Service Law, section 6(1)

***Subject:*** Jurisdictional Classification.

***Purpose:*** To delete a position from and classify a position in the non-competitive class.

***Text of proposed rule:*** Amend Appendix 2 of the Rules for the Classified Service, listing positions in the non-competitive class, in the New York State Thruway Authority, by deleting therefrom the position of øAffirmative Action Administrator 3 (1) and by adding thereto the position of øAffirmative Action Administrator 4 (1).

***Text of proposed rule and any required statements and analyses may be obtained from:*** Jennifer Paul, NYS Department of Civil Service, Empire State Plaza, Agency Building 1, Albany, NY 12239, (518) 473-6598, email: jennifer.paul@cs.ny.gov

***Data, views or arguments may be submitted to:*** Ilene Lees, Counsel, NYS Department of Civil Service, Empire State Plaza, Agency Building 1, Albany, NY 12239, (518) 473-2624, email: ilene.lees@cs.ny.gov

***Public comment will be received until:*** 45 days after publication of this notice.

***Regulatory Impact Statement***

A regulatory impact statement is not submitted with this notice because this rule is subject to a consolidated regulatory impact statement that was previously printed under a notice of proposed rule making, I.D. No. CVS-01-15-00005-P, Issue of January 7, 2015.

***Regulatory Flexibility Analysis***

A regulatory flexibility analysis is not submitted with this notice because this rule is subject to a consolidated regulatory flexibility analysis that was previously printed under a notice of proposed rule making, I.D. No. CVS-01-15-00005-P, Issue of January 7, 2015.

***Rural Area Flexibility Analysis***

A rural area flexibility analysis is not submitted with this notice because this rule is subject to a consolidated rural area flexibility analysis that was previously printed under a notice of proposed rule making, I.D. No. CVS-01-15-00005-P, Issue of January 7, 2015.

***Job Impact Statement***

A job impact statement is not submitted with this notice because this rule is subject to a consolidated job impact statement that was previously printed under a notice of proposed rule making, I.D. No. CVS-01-15-00005-P, Issue of January 7, 2015.

## PROPOSED RULE MAKING NO HEARING(S) SCHEDULED

**Jurisdictional Classification**

**I.D. No.** CVS-02-16-00006-P

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following proposed rule:

***Proposed Action:*** Amendment of Appendix 2 of Title 4 NYCRR.

***Statutory authority:*** Civil Service Law, section 6(1)

***Subject:*** Jurisdictional Classification.

***Purpose:*** To delete positions from the non-competitive class.

***Text of proposed rule:*** Amend Appendix 2 of the Rules for the Classified Service, listing positions in the non-competitive class, in the Executive Department under the subheading "Division of Criminal Justice Services," by decreasing the number of positions of øSecretary 2 from 3 to 2; in the Executive Department under the subheading "Division of Homeland Security and Emergency Services," by decreasing the number of positions of Communications Specialist (DHSES) from 3 to 2; and, in the Executive Department under the subheading "Office of Victim Services," by decreasing the number of positions of øSecretary 2 from 3 to 2.

***Text of proposed rule and any required statements and analyses may be obtained from:*** Jennifer Paul, NYS Department of Civil Service, Empire State Plaza, Agency Building 1, Albany, NY 12239, (518) 473-6598, email: jennifer.paul@cs.ny.gov

***Data, views or arguments may be submitted to:*** Ilene Lees, Counsel, NYS Department of Civil Service, Empire State Plaza, Agency Building 1, Albany, NY 12239, (518) 473-2624, email: ilene.lees@cs.ny.gov

***Public comment will be received until:*** 45 days after publication of this notice.

***Regulatory Impact Statement***

A regulatory impact statement is not submitted with this notice because this rule is subject to a consolidated regulatory impact statement that was previously printed under a notice of proposed rule making, I.D. No. CVS-01-15-00005-P, Issue of January 7, 2015.

***Regulatory Flexibility Analysis***

A regulatory flexibility analysis is not submitted with this notice because this rule is subject to a consolidated regulatory flexibility analysis that was previously printed under a notice of proposed rule making, I.D. No. CVS-01-15-00005-P, Issue of January 7, 2015.

***Rural Area Flexibility Analysis***

A rural area flexibility analysis is not submitted with this notice because this rule is subject to a consolidated rural area flexibility analysis that was previously printed under a notice of proposed rule making, I.D. No. CVS-01-15-00005-P, Issue of January 7, 2015.

***Job Impact Statement***

A job impact statement is not submitted with this notice because this rule is subject to a consolidated job impact statement that was previously printed under a notice of proposed rule making, I.D. No. CVS-01-15-00005-P, Issue of January 7, 2015.

# Education Department

## EMERGENCY RULE MAKING

### School Receivership

**I.D. No.** EDU-27-15-00008-E
**Filing No.** 1144
**Filing Date:** 2015-12-24
**Effective Date:** 2015-12-26

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following action:

***Action taken:*** Addition of section 100.19 to Title 8 NYCRR.

***Statutory authority:*** Education Law, sections 207(not subdivided), 211-f(15), 215(not subdivided), 305(1), (2), (20), 308(not subdivided), 309(not subdivided); L. 2015, ch. 56, subpart H, part EE

***Finding of necessity for emergency rule:*** Preservation of general welfare.

***Specific reasons underlying the finding of necessity:*** The purpose of the proposed rulemaking is to implement section 211-f of Education Law, as added by Subpart H of Part EE of Chapter 56 of the Laws of 2015, pertaining to school receivership. Section 211-f designates current Priority Schools that have been in the most severe accountability status since the 2006-07 school year as "Persistently Failing Schools" and vests the superintendent of the district with the powers of an independent receiver. The superintendent is given an initial one-year period to use the enhanced authority of a receiver to make demonstrable improvement in student performance at the "Persistently Failing School" or the Commissioner will direct that the school board appoint an independent receiver and submit the appointment for approval by the Commissioner. Failing Schools, schools that have been Priority Schools since the 2012-13 school year, will be given two years under a "superintendent receiver" (.i.e., the superintendent of schools of the school district vested with the powers a receiver would have under section 211-f) to improve student performance. Should the school fail to make demonstrable progress in two years then the district will be required to appoint an independent receiver and submit the appointment for approval by the Commissioner. Independent Receivers are appointed for up to three school years and serve under contract with the Commissioner.

The proposed rulemaking adds a new section 100.19 to align the Commissioner's Regulations with Education Law 211-f, and addresses the Regents Reform Agenda and New York State's updated accountability system. Adoption of the proposed rule is necessary to ensure seamless implementation of the provisions of Education Law § 211-f, and will provide school districts with additional powers to impact improvement in academic achievement for students in the lowest performing schools.

The proposed rule was adopted by emergency action at the June 15-16, 2015 Regents meeting, effective July 1, 2015. A Notice of Emergency Adoption and Proposed Rule Making was published in the State Register on July 8, 2015. The proposed amendment was substantially revised in response to public comment and, as revised, adopted by emergency action at the September 12-13, 2015 Regents meeting, effective September 21, 2015. A Notice of Emergency Adoption and Revised Rule Making was published in the State Register on October 7, 2015. The proposed rule was further revised to add procedures for the Commissioner's resolution of collective bargaining issues and, as revised, adopted by emergency action at the October 26-27, 2015 Regents meeting, effective October 27, 2015. A Notice of Emergency Adoption and Revised Rule Making was published in the State Register on November 10, 2015.

Since the Board of Regents meets at fixed intervals, the earliest the proposed rule can be presented for regular (non-emergency) adoption, after expiration of the required 30-day public comment period provided for in State Administrative Procedure Act (SAPA) section 202(4-a) for revised rule makings, would be the January 11-12, 2016 Regents meeting. Furthermore, pursuant to SAPA section 203(1), the earliest effective date of the proposed rule, if adopted at the November meeting, would be January 27, 2016, the date a Notice of Adoption would be published in the State Register. However, the October emergency rule will expire on December 25, 2015, 60 days after its filing with the Department of State on October 27, 2015. A lapse in the rule will disrupt the process of school receivership pursuant to Education Law section 211-f.

Emergency action at the December 2015 Regents meeting is necessary for the preservation of the general welfare in order to ensure that the emergency rule adopted at the October 2015 Regents meeting remains continuously in effect until it can be presented for permanent adoption and take effect as a permanent rule.

It is anticipated that the proposed rule will be presented for adoption as a permanent rule at the January 11-12, 2016 Regents meeting, which is the first scheduled meeting after expiration of the 30-day public comment period prescribed in the State Administrative Procedure Act for State agency revised rule makings.

***Subject:*** School receivership.

***Purpose:*** To implement Education Law section 211-f, as added by part EE, subpart H of ch. 56 of the Laws of 2015.

***Substance of emergency rule:*** The Commissioner of Education proposes to add a new section 100.19 of the Commissioner's Regulations. The proposed rule was originally adopted as an emergency action at the June 2015 Regents meeting, effective June 23, 2015 and revised and adopted as an emergency action at the September and October 2015 Regents meetings. The revised proposed rule has been readopted by emergency action at the December 2015 Regents meeting, effective December 26, 2015, in order to ensure that the emergency rule adopted at the October 2015 Regents meeting remains continuously in effect until it can be presented for permanent adoption and take effect as a permanent rule. The following is a summary of the substantive provisions of the emergency rule.

Section 100.19(a), Definitions, provides the definitions used in the section, including the definitions of Failing School (Struggling School), Persistently Failing School (Persistently Struggling School), Priority School, School District in Good Standing, School District Superintendent Receiver, Independent Receiver, School District, Community School, Board of Education, Department-approved Intervention Model, School Intervention Plan, School Receiver, Diagnostic Tool for School and District Effectiveness, Consultation and Cooperation, Consultation, Consulting and Day.

§ 100.19(b), Designation of Schools as Failing and Persistently Failing, explains the process by which the Commissioner shall designate schools as Struggling or Persistently Struggling and clarifies that school districts will have the opportunity to present data and relevant information concerning extenuating or extraordinary circumstances faced by the school that should cause it not to be identified as a Struggling or a Persistently Struggling School.

§ 100.19(c), Public Notice and Hearing and Community Engagement, details the process and timeline for notifying parents and the community regarding the Struggling or Persistently Struggling designation, the establishment of a Community Engagement Team, and the role of the Community Engagement Team in the development of recommendations for the identified school. The regulations would require at least one public meeting or hearing annually regarding the status of the school and annual notification to parents of the school's designation and its implications.

The regulations also detail the process by which the hearing shall be conducted and notifications made. Additionally, the subdivision specifies that the district superintendent receiver is required to develop a community engagement plan for approval by the Commissioner.

§ 100.19(d), School District Receivership, specifies that the superintendent shall be vested with the powers of the receiver for Persistently Struggling Schools for the 2015-16 school year and with the powers of the receiver for Struggling Schools for the 2015-16 and 2016-17 school years, provided that there is a Department approved intervention model or comprehensive education plan in place for these school years that includes rigorous performance metrics. The school district superintendent receiver shall provide quarterly written reports regarding implementation of the department-approved intervention model or school comprehensive education plan, and such reports, together with a plain-language summary thereof, shall be made publicly available. At the end of the 2015-16 school year, the Commissioner will review (in consultation and collaboration with the district) the performance of the Persistently Struggling School to determine whether the school can continue under the superintendent receivership or whether the district must appoint an independent receiver for the school. Similarly, the Department will review the performance of Struggling Schools after two years to determine whether the schools can continue under the superintendent receivership or whether the district must appoint an independent receiver for the school.

§ 100.19(e), Appointment of an Independent Receiver, details the timeline and process for appointment of an independent receiver for Persistently Struggling and Struggling Schools and the process by which the Commissioner approves and contracts with the independent receiver. The section also details the power of the Commissioner to appoint an independent receiver if the district fails within sixty days to appoint an independent receiver that meets the Commissioner's approval. The subdivision clarifies that districts may appoint independent receivers from a department approved list or provide evidence of qualifications of a receiver not on the approved list. Additionally, the subdivision specifies what happens when the Commissioner must appoint an interim receiver.

§ 100.19(f), School Intervention Plan, describes the timeline and process by which the independent receiver will submit to the Commissioner for approval a school intervention plan and the specific components of that plan, including the metrics that will be used to evaluate plan implementation. Each approved school intervention plan must be submitted within six months of the independent receiver's appointment and this approval is authorized for a period of no more than three years. Each approved school intervention plan must be based on input from stakeholders delineated in the subdivision and a stakeholder engagement plan must be provided to the Commissioner within ten days of the independent receiver entering into a contract with the Commissioner. The school intervention plan must also be based upon recent diagnostic reviews and student achievement data. The independent receiver must provide quarterly reports, and plain-language summaries thereof, regarding the progress of implementing the school intervention plan to the local board of education, the Board of Regents, and the Commissioner. In order to provide additional direction to school districts, the regulations further delineate that in converting a school to a community school, the receiver must follow a particular process and meet minimum program requirements. The subdivision further clarifies that if the independent receiver cannot create an approvable plan, the Commissioner may appoint a new independent receiver.

§ 100.19(g), Powers and Duties of a Receiver, delineates the powers and duties of a school receiver, and the powers and duties that an independent receiver has in developing and implementing a school intervention plan. The independent receiver is required to convert the school to a community school and to submit an approvable school intervention plan to the Commissioner. The receiver (both the superintendent receiver and the independent receiver) has powers that may be exercised in the areas of school program and curriculum development; staffing, including replacement of teachers and administrators; school budget; expansion of the school day or year; professional development for staff; conversion of the school to a charter school; and requesting changes to the collective bargaining agreement at the identified school in areas that impact implementation of the school intervention plan. This section also describes the power of the receiver (both the superintendent and the independent receiver) to supersede decisions, policies, or local school district regulations that the receiver, in his/her sole judgment, believes impedes implementation of the school intervention plan.

Under the provisions of this subdivision, the receiver must notify the board of education, superintendent, and principal when the receiver is superseding their authority. The receiver must provide a reason for the supersession and an opportunity for the supersession to be appealed, all within a timeline prescribed in the regulations. This subdivision also delineates a similar process by which the receiver reviews and makes changes to the school budget and supersedes employment decisions regarding staff employed in schools operating under receivership.

§ 100.19(h), Annual Evaluation of Schools with an Appointed Independent Receiver, describes how the Commissioner, in collaboration and consultation with the district, will conduct an annual evaluation of each school to determine whether the school is meeting the performance goals and progressing in implementation of the school intervention plan. As a result of this evaluation, the Commissioner may allow the receiver to continue with the approved plan or require the receiver to modify the school intervention plan.

§ 100.19(i), Expiration of School Intervention Plan, describes the process by which the Commissioner evaluates the progress of the school under the receiver's school intervention plan after a three year period. Based on the results of the evaluation, the Commissioner may renew the plan with the independent receiver for not more than three years; terminate the independent receiver and appoint a new receiver; or determine that the school has improved sufficiently to be removed from Failing or Persistently Failing status.

§ 100.19(j), Phase-out and Closure of Failing and Persistently Failing School, states that nothing in these regulations shall prohibit the Commissioner from directing a school district to phase out or close a school, the Board of Regents from revoking the registration of a school, or a district from closing or phasing out a school with the approval of the Commissioner.

§ 100.19(k), regarding the Commissioner's evaluation of a school receivership program, requires the school receiver to provide any reports or other information requested by the Commissioner, in such form and format and according to such timeline as may be prescribed by the Commissioner, in order for the Commissioner to conduct an evaluation of the school receivership program.

***This notice is intended*** to serve only as a notice of emergency adoption. This agency intends to adopt the provisions of this emergency rule as a permanent rule, having previously submitted to the Department of State a notice of proposed rule making, I.D. No. EDU-27-15-00008-EP, Issue of July 8, 2015. The emergency rule will expire February 21, 2016.

***Text of rule and any required statements and analyses may be obtained from:*** Kirti Goswami, State Education Department, Office of Counsel, State Education Building, Room 148, 89 Washington Ave., Albany, NY 12234, (518) 474-6400, email: legal@nysed.gov

***Regulatory Impact Statement***

1. STATUTORY AUTHORITY:

Education Law § 207 empowers Regents/ Commissioner to adopt rules to carry out State education laws and functions/ duties conferred by law.

Education Law § 305(1) and (2) provide Commissioner, as chief executive officer, with general supervision over schools and institutions subject to Education Law or education-related statutes, and responsibility for executing all Regents educational policies. § 305(20) provides Commissioner has additional powers/duties as charged by Regents.

Education Law § 211-f, as added by Part EE, Subpart H of Ch. 56, L.2015, provides for appointment of receivers to assist low-performing schools to make demonstrable improvement in student performance.

Education Law § 215 authorizes Commissioner to require schools/ districts to submit reports containing information prescribed by Commissioner.

Education Law § 308 authorizes Commissioner to enforce/give effect to Education Law provisions or other general/special law pertaining to education.

Education Law § 309 charges Commissioner with general supervision of schoolboards.

2. LEGISLATIVE OBJECTIVES:

The rule is consistent with the above authority and is necessary to implement Education Law § 211-f, by establishing criteria for appointment of receivers to assist low-performing schools.

3. NEEDS AND BENEFITS:

Education Law § 211-f designates current Priority Schools that have been in most severe accountability status since 2006-07 school year as "Persistently Failing Schools" (identified in rule as "Persistently Struggling Schools"), vests school district superintendent with powers of an independent receiver; and gives superintendent initial one-year period to use enhanced authority of receiver to make demonstrable improvement in student performance at the "Persistently Struggling School" or Commissioner will direct that schoolboard appoint independent receiver and submit appointment for Commissioner's approval. Independent receivers are appointed for up to three school years and serve under contract with Commissioner. Additionally, school will be eligible for a portion of $75 million in State aid to support/implement its turnaround efforts over a two-year period. Failing Schools (identified in rule as "Struggling Schools"), schools that have been Priority Schools since 2012-13 school year, will be given an initial two-year period under a "superintendent receiver" (i.e., school district superintendent of schools vested with powers of receiver) to improve student performance. Should school fail to

make demonstrable improvement in two years then district must appoint independent receiver and submit appointment for Commissioner's approval.

§ 211-f provides persons/entities vested with powers of receiver new authority to develop school intervention plan; convert schools to community schools providing wrap-around services; reallocate funds in school's budget; expand school day/school year; establish professional development plans; order conversion of school to charter school; remove staff and/or require staff to reapply for employment in collaboration with staffing committee; and negotiate collective bargaining agreements, with unresolved issues submitted to Commissioner for decision.

At end of one- or two-year period in which Persistently Struggling or Struggling school remains under district control, and annually thereafter, Commissioner must determine whether school should be removed from designation, allowed to continue to be operated by school district under superintendent receiver, or be placed under independent receiver appointed by schoolboard with sole responsibility to manage/operate school. Schools operating under independent receiver must be annually evaluated by Commissioner to determine whether school intervention plan should be continued/modified. At end of independent receivership period, Commissioner must decide whether to end receivership, continue it, or appoint new receiver. Additionally, Commissioner may order closure of Struggling school and Regents may revoke school's registration.

4. COSTS:

(a) Costs to State government: $75 million is appropriated for period July 1, 2015 to March 31, 2017 to support turnaround efforts in Persistently Struggling Schools.

(b) Costs to local government: The rule is necessary to implement Education Law § 211-f and, consequently, major mandates of rule are statutorily imposed. SED anticipates because $75 million has been appropriated to support turnaround efforts in Persistently Struggling Schools during the 2015-16 and 2016-17 school years, there will be no costs to local governments for implementing school receivership in these schools during these years.

There are currently 17 schools/districts that may potentially have one or more schools identified as Struggling or Persistently Struggling. Annual costs to implement school receivership will vary widely depending on number of factors, including but not limited to: size of school enrollment, demographics of school population and grade configuration of the school; whether independent receiver is assigned to a school and district required to convert school to community school; and degree to which school receiver chooses to use receiver's authority to take actions such as extending school day/school year; expanding/modifying curriculum/program offerings; replacing teachers/administrators; increasing salaries of teachers/administrators; improving hiring, induction, teacher evaluation, professional development, teacher advancement, school culture, organizational structure; adding kindergarten or pre-kindergarten programs; and/or re-staffing school. SED estimates on average it will cost district approximately $50,000 per school to meet rule's requirements regarding providing written annual notifications to parents of students attending Struggling or Persistently Struggling school; conducting at least one public meeting/hearing annually to discuss school's performance and the construct of receivership; establishing and implementing community engagement team; providing quarterly written reports to schoolboard, Commissioner and the Regents; amending comprehensive school improvement plans or Department-approved intervention plans to meet rule's requirements; and submitting information necessary to allow Commissioner to determine whether school is making demonstrable improvement. SED estimates in event that large high school (2,000 plus students) is placed in independent receivership, is implementing community school program, and independent receiver chooses to utilize all of receiver's authority, annual costs of implementation of receivership could be in range of $4 million to $5.5 million dollars.

(c) Costs to private regulated parties: None.

(d) Costs to regulating agency for implementation and continued administration of this rule: SED has received no additional funding to administrate this program. However, SED estimates it will cost annually between $65,000 and $800,000 per year to conduct additional visits to receivership schools to provide information in support of determinations on whether schools have made demonstrable improvement, depending on size and composition of review teams, length of visits, and type of reports written. SED further anticipates it will need to devote approximately $500,000 per year in staff time to coordinate receivership program, including providing technical assistance/support, evaluating performance, selecting independent receivers, and developing/overseeing their contracts. To extent SED does not receive additional funding, SED will be required to reallocate existing resources and diminish support for other program initiatives.

5. LOCAL GOVERNMENT MANDATES:

The rule is necessary to implement Education Law § 211-f by establishing criteria for appointment of receivers to assist low-performing schools to make demonstrable improvement in student performance. Consequently, major mandates of rule are statutorily imposed.

Upon Commissioner's designation of a school as Struggling or Persistently Struggling, the schoolboard shall conduct at least one public meeting/ hearing annually to discuss the performance of designated school and receivership, and provide translators and provide reasonable notice to public of meeting/hearing.

No later than twenty days following designation, district shall establish community engagement team, comprised of community stakeholders with direct ties to the school, to develop recommendations for improvement of the school and solicit input through public engagement.

The superintendent receiver shall develop community engagement plan in such form. format and according to such timeline as prescribed by Commissioner and shall submit such plan for Commissioner's approval.

The district shall continue to operate a Persistently Struggling school for an additional school year and a Struggling school for an additional two school years, provided there is a Department-approved intervention model or comprehensive education plan in place that includes rigorous performance metrics and goals, and a community engagement plan. The superintendent shall be vested with the powers of independent receiver but shall not be required to prepare school intervention plan or convert school to community school.

In the event SED revokes provisional approval or approval of an intervention model or comprehensive education plan, Commissioner shall require district to appoint and submit for Commissioner's approval an independent receiver to manage and operate the school.

The district shall consult with community engagement team, in accordance with approved community engagement plan, with respect to modifications to district's approved intervention model or comprehensive education plan.

Within 60 days of Commissioner's determination to place a school into receivership, district shall appoint an independent receiver and submit appointment for Commissioner's approval. If district fails to appoint independent receiver that meets the Commissioner's approval, Commissioner shall appoint independent receiver.

The district shall fully cooperate with independent receiver and willful failure to cooperate with or interference with functions of such receiver shall constitute willful neglect of duty under Education Law § 306.

No later than 30 business days prior to presentation of a school budget at budget hearing, the schoolboard shall provide school receiver with a copy of proposed district budget including any school-based budget, that shall include a specific delineation of all funds and resources the school receiver shall have available to manage and operate the school and services and resources that the district shall provide to the school. Upon receipt of the school receiver's proposed budget modifications, the schoolboard shall incorporate the modifications into the proposed budget and present it to the public or return modifications for reconsideration for reasons specified in writing. The school receiver shall notify schoolboard in writing of receiver's decision and determination of the school receiver shall be incorporated into the budget. The school receiver and the schoolboard shall provide the Commissioner with an electronic copy of all correspondence related to modification of the school budget.

6. PAPERWORK:

Upon Commissioner's designation of a school as Struggling or Persistently Struggling, the schoolboard shall provide written notice of designation to parents/persons in parental relation no later than 30 days following designation, and by June 30th of each school year the school remains so identified.

The district shall provide written notice of public meeting/hearing held annually for purposes of discussing the performance of the designated school and receivership.

The superintendent receiver shall provide quarterly written reports regarding implementation of the Department-approved intervention model or school comprehensive education plan, and such reports, together with a plain-language summary thereof, shall be publicly available.

Quarterly reports of school receiver shall be publicly available in school district's offices and posted on school district's website, if one exists.

No later than ten business days after a schoolboard has acted upon an employment decision pertaining to staff assigned to a Struggling or Persistently Struggling school, or a school that the Commissioner has determined shall be placed into receivership, the schoolboard shall provide school receiver with a copy of the action taken, which shall not go into effect until it has been reviewed by the school receiver. Upon receipt of any proposed modifications to an employment decision, the schoolboard shall adopt the modifications at the next regularly scheduled board meeting or return the modification within 10 days for reconsideration with the reasons specified in writing. The board shall approve modifications required by receiver at its next regularly scheduled meeting. The receiver and schoolboard shall provide Commissioner with an electronic copy of all correspondence related to such employment decisions.

The school receiver shall provide Commissioner with any reports or other information requested, in such form and format and according to such timeline as may be prescribed, in order for Commissioner to conduct an evaluation of the receivership program.

7. DUPLICATION:

The rule is necessary to implement Education Law § 211-f and does not duplicate, overlap or conflict with State or federal legal requirements.

8. ALTERNATIVES:

The rule is necessary to implement Education Law § 211-f by establishing criteria for the appointment of receivers to assist low-performing schools to make demonstrable improvement in student performance. Consequently, the major provisions of the rule are statutorily imposed, and there are no significant alternatives and none were considered.

9. FEDERAL STANDARDS:

There are no applicable federal standards for the appointment of receivers pursuant to Education Law § 211-f.

10. COMPLIANCE SCHEDULE:

The rule is necessary to implement Education Law § 211-f by establishing criteria for the appointment of receivers for Persistently Struggling Schools and Struggling Schools. Consequently, the major provisions of the proposed rule are statutorily imposed. It is anticipated that regulated parties can achieve compliance with the proposed rule by its effective date.

***Regulatory Flexibility Analysis***

Small Businesses:

The proposed rule is necessary to implement Education Law § 211-f, as added by Subpart H of Part EE of Chapter 56 of the Laws of 2015, by establishing criteria for the appointment of receivers to assist low performing schools and does not impose any adverse economic impact, reporting, record keeping or any other compliance requirement on small businesses. Because it is evident from the nature of the rule that it does not affect small businesses, no further measures were needed to ascertain that fact and none were taken. Accordingly, a regulatory flexibility analysis for small businesses is not required and one has not been prepared.

Local Government:

1. EFFECT OF RULE:

The proposed rule applies to those school districts that have:

(1) "Persistently Failing Schools" (identified in the regulation as a "Persistently Struggling Schools"), which are Priority Schools that have been in the most severe accountability status since the 2006-07 school year, and/or

(2) Failing Schools (identified in the regulation as "Struggling Schools"), which are schools that have been in Priority Schools status since the 2012-13 school year.

There are currently 17 school districts that have Persistently Struggling Schools and/or Struggling Schools.

2. COMPLIANCE REQUIREMENTS:

The rule is necessary to implement Education Law section 211-f by establishing criteria for the appointment of receivers to assist low-performing schools to make demonstrable improvement in student performance. Consequently, the major mandates of the proposed rule are statutorily imposed. Major mandates of the proposed rule include: the development of a community engagement plan in a form and format and according to a timeline as prescribed by the Commissioner, the creation of a community engagement team, full cooperation of the district with the independent receiver, and the completion of quarterly reports by the independent receiver. In April 2015, Subpart H of Part EE of Chapter 56 of the Laws of 2015 created a new Education Law § 211-f. The statute designates current Priority Schools that have been in the most severe accountability status since the 2006-07 school year as "Persistently Failing Schools" (identified in the proposed regulation as "Persistently Struggling Schools" and vests the superintendent of the district with the powers of an independent receiver. The superintendent is given an initial one-year period to use the enhanced authority of a receiver to make demonstrable improvement in student performance at the "Persistently Struggling School" or the Commissioner will direct that the school board appoint an independent receiver and submit the appointment for approval by the Commissioner. Additionally, the school will be eligible for a portion of $75 million in State aid to support and implement its turnaround efforts over a two-year period. Failing Schools (identified in the regulation as "Struggling Schools"), schools that have been Priority Schools since the 2012-13 school year, will be given two years under a "superintendent receiver" (i.e., the superintendent of schools of the school district vested with the powers a receiver would have under § 211-f) to improve student performance. Should the school fail to make demonstrable improvement in two years then the district will be required to appoint an independent receiver and submit the appointment for approval by the Commissioner. Independent receivers are appointed for up to three school years and serve under contract with the Commissioner.

Education Law § 211-f provides persons or entities vested with the powers of a receiver new authority to, among other things, develop a school intervention plan; convert schools to community schools providing wrap-around services; reallocate funds in the school's budget; expand the school day or school year; establish professional development plans; order the conversion of the school to a charter school consistent with applicable state laws; remove staff and/or require staff to reapply for their jobs in collaboration with a staffing committee; and negotiate collective bargaining agreements, with any unresolved issues submitted to the Commissioner for decision.

At the end of the one- or two-year period in which a school designated as Persistently Struggling or as Struggling remains under district control, and annually thereafter, the Commissioner must determine whether the school should be removed from such designation; allowed to continue to be operated by the school district with the superintendent receiver; or be placed under an independent receiver who shall be appointed by the school board and shall have the responsibility to manage and operate the school. Schools operating under an independent receiver must also be annually evaluated by the Commissioner to determine whether the school intervention plan should be continued or modified. At the end of the independent receivership period, the Commissioner must decide whether to end the receivership, continue it, or appoint a new receiver. Additionally, the Commissioner may order the closure of a Persistently Struggling or Struggling School and the Board of Regents may revoke the registration of a school.

Upon the Commissioner's designation of a school as Struggling or Persistently Struggling, the board of education shall conduct at least one public meeting or hearing annually for purposes of discussing the performance of the designated school and receivership. The district shall provide translators and provide reasonable notice to the public of the meeting/hearing.

The school district superintendent receiver shall provide quarterly written reports regarding implementation of the department-approved intervention model or school comprehensive education plan, and such reports, together with a plain-language summary thereof, shall be made publicly available.

No later than twenty days following designation, the school district shall establish a community engagement team, comprised of community stakeholders with direct ties to the school, to develop recommendations for improvement of the school and solicit input through public engagement.

The superintendent shall develop a community engagement plan in such form and format and according to such timeline as may be prescribed by the Commissioner and shall submit such plan to the Commissioner for approval.

The school district shall continue to operate a school identified as Persistently Struggling for one additional school year and a school identified as Struggling for two additional school years, provided there is a Department-approved intervention model or comprehensive education plan in place that includes rigorous performance metrics and goals, and a community engagement plan. The superintendent shall be vested with the powers of an independent receiver.

In the event the Department revokes the provisional approval or approval of an intervention model or comprehensive education plan, the Commissioner shall require the school district to appoint and submit for the Commissioner's approval an independent receiver to manage and operate the school.

The district shall consult with the community engagement team in accordance with the approved community engagement plan, with respect to modifications to the district's approved intervention model or comprehensive education plan.

Within 60 days of Commissioner's determination to place a school into receivership, the district shall appoint an independent receiver and submit the appointment to the Commissioner for approval. If the school district fails to appoint an independent receiver that meets the Commissioner's approval, the Commissioner shall appoint the independent receiver.

The school district shall fully cooperate with the independent receiver and willful failure to cooperate with or interfere with the functions of such receiver shall constitute willful neglect of duty under Education Law section 306.

No later than 30 business days prior to presentation of a school budget at the budget hearing, the school board shall provide the school receiver with a copy of the proposed district budget including any school-based budget, that shall include a specific delineation of all funds and resources the school receiver shall have available to manage and operate the school and the services and resources that the school district shall provide to the school. Upon receipt of the school receiver's proposed budget modifications, the school board shall incorporate the modifications into the proposed budget and present it to the public or return the modifications for reconsideration for reasons specified in writing. The school receiver shall notify the school board in writing of the decision and the determination shall be incorporated into the budget. The school receiver and the school

board shall provide the Commissioner with an electronic copy of all correspondence related to modification of the school budget.

Upon the Commissioner's designation of a school as Struggling or Persistently Struggling, the board of education shall provide written notice of the designation to parents/persons in parental relation no later than 30 days following designation, and by June 30th of each school year the school remains so identified.

The school district shall provide written notice of the public meeting or hearing held annually for purposes of discussing the performance of the designated school and receivership.

The school district superintendent receiver shall provide quarterly written reports regarding implementation of the department-approved intervention model or school comprehensive education plan, and such reports, together with a plain-language summary thereof, shall be publicly available.

Quarterly reports of the independent receiver shall be publicly available in the school district's offices and posted on the school district's website, if one exists.

No later than ten business days after a school board has acted upon an employment decision pertaining to staff assigned to a Struggling or Persistently Struggling School, or a school that the Commissioner has determined shall be placed into receivership, the school board shall provide the school receiver with a copy of the action taken, which shall not go into effect until it has been reviewed by the school receiver. Upon receipt of any proposed modifications to an employment decision, the school board shall adopt the modifications at the next regularly scheduled board meeting or return the modification within 10 days for reconsideration with the reasons specified in writing. The board shall approve modifications required by the receiver at its next regularly scheduled meeting. The receiver and school board shall provide the Commissioner with an electronic copy of all correspondence related to such employment decisions.

The school receiver shall provide the Commissioner with any reports or other information requested, in such form and format and according to such timeline as may be prescribed, in order for the Commissioner to conduct an evaluation of the receivership program.

3. PROFESSIONAL SERVICES:

The rule is necessary to implement Education Law § 211-f by establishing criteria for the appointment of receivers to assist low performing schools. The proposed rule does not impose any additional professional services requirements beyond those inherent in the statute.

4. COMPLIANCE COSTS:

The proposed rule is necessary to implement Education Law § 211-f and, consequently, the major mandates of the proposed rule are statutorily imposed. The Department anticipates that because $75 million has been appropriated to support turnaround efforts in Persistently Struggling Schools during the 2015-16 and 2016-17 school years, there will be no costs to local governments for implementing school receivership in these schools during these years.

There are currently 17 schools districts that may potentially have one or more schools identified as Struggling or Persistently Struggling. Annual costs to implement school receivership will vary widely depending on a number of factors, including but not limited to: the size of school enrollment, the demographics of the school population and the grade configuration of the school; whether an independent receiver is assigned to a school and the district is required to convert the school to a community school; and the degree to which the school receiver chooses to use the receiver's authority to take actions such as extending the school day or school year; expanding or modifying curriculum and program offerings; replacing teachers and administrators; increasing salaries of teachers and administrators; improving hiring, induction, teacher evaluation, professional development, teacher advancement, school culture and/or organizational structure; adding kindergarten or pre-kindergarten programs; and/or restaffing the school. The Department estimates that on average it will cost a district approximately $50,000 per school to meet the requirements of the regulations regarding providing written annual notifications to parents of, or persons in parental relation to, students attending a struggling or a persistently struggling school; conducting at least one public meeting or hearing annually for purposes of discussing the performance of the designated school and the construct of receivership; establishing a community engagement team and implementing the provisions of the regulations regarding such teams; providing quarterly written reports to the board of education, the Commissioner and the Board of Regents; amending comprehensive school improvement plans or department approved intervention plans to meet the requirements of the regulations; and submitting information necessary to allow the Commissioner to determine whether a school is making demonstrable improvement. The Department estimates that in the event that a large high school (2,000 plus students) is placed in independent receivership, is implementing a community school program, and the independent receiver chooses to utilize all of the authority of the receiver as specified in subdivision 100.19(g), the annual costs of implementation of receivership could be in the range of $4 million to $5.5 million dollars.

5. ECONOMIC AND TECHNOLOGICAL FEASIBILITY:

The proposed rule requires school districts to provide notice to the public regarding public meetings or hearings by posting the notice on a school district website, if one exists. In addition, the School Intervention Plan must be publicly available by the independent receiver in the school district's offices and posted on the school district's website, if one exists. Quarterly reports must be publicly available in the school district's offices and posted on the school district's website, if one exists.

Economic feasibility is addressed in the Costs section above.

6. MINIMIZING ADVERSE IMPACT:

The rule is necessary to implement Education Law section 211-f by establishing criteria for the appointment of receivers to assist low performing schools. Consequently, the major provisions of the rule are statutorily imposed and it is not feasible to establish differing compliance or reporting requirements or timetables, or to exempt school districts from coverage by the rule. Nevertheless, a substantial effort was made to involve school districts and other interested parties in the development of this rule, and their comments were considered in drafting the proposed rule.

The Department intends to take steps to provide sufficient notice of the proposed rule to ensure that school districts are made aware of the rule's requirements so they may suitably prepare for and implement this requirement. The Department will also take steps to share a variety of resources with school districts to provide guidance with the implementation process.

7. LOCAL GOVERNMENT PARTICIPATION:

With the approval of the Board of Regents at its May 18-19, 2015 meeting, Department staff solicited comments and recommendations from groups that included teams from school districts with one or more eligible priority schools; district superintendents; Statewide representatives of parents, teachers, principals, superintendents, and school boards; educational partnership organizations; representatives of State agencies that provide health, mental health, child welfare, and job services; representatives of organizations involved in and concerned with the education of English language learners, students with disabilities and students in temporary housing; and technical experts in school receivership, expanded learning, and community school models. A meeting of these key stakeholders was held on May 27, 2015, where more than 100 participants provided their feedback on draft express terms that were presented to the Board of Regents in May, and many of their suggestions were incorporated in the proposed rule presented for emergency adoption at the June 15-16, 2015 Regents meeting.

8. INITIAL REVIEW OF RULE (SAPA § 207):

Pursuant to State Administrative Procedure Act section 207(1)(b), the State Education Department proposes that the initial review of this rule shall occur in the fifth calendar year after the year in which the rule is adopted, instead of in the third calendar year. The justification for a five year review period is that the proposed rule is necessary to implement Education Law section 211-f, as added by Part EE, Subpart H of Ch. 56 of the Laws of 2015, by establishing criteria for the appointment of receivers to assist low-performing schools to make demonstrable improvement in student performance. Consequently, the major, substantive provisions of the proposed rule are statutorily imposed and cannot be changed without further Legislative action.

The Department invites public comment on the proposed five year review period for this rule. Comments should be sent to the agency contact listed in item number 10 of the Notice of Proposed Rule Making published herewith, and must be received within 45 days of the date the Notice is published in the State Register.

***Rural Area Flexibility Analysis***

1. TYPES AND ESTIMATED NUMBER OF RURAL AREAS:

The proposed rule applies to those school districts that have:

(1) "Persistently Failing Schools" (identified in the regulation as a "Persistently Struggling Schools"), which are Priority Schools that have been in the most severe accountability status since the 2006-07 school year, and/or

(2) Failing Schools (identified in the regulation as a "Struggling Schools"), which are schools that have been in Priority Schools status since the 2012-13 school year.

There is currently one school district that has one Struggling School located in a rural area (i.e. the 44 rural counties with less than 200,000 inhabitants and the 71 towns in urban counties with a population density of 150 per square mile or less).

2. REPORTING, RECORDKEEPING AND OTHER COMPLIANCE REQUIREMENTS; AND PROFESSIONAL SERVICES:

The proposed rule is necessary to implement Education Law section 211-f by establishing criteria for the appointment of receivers to assist low-performing schools to make demonstrable improvement in student

performance. In April 2015, Subpart H of Part EE of Ch. 56 of the Laws of 2015 created a new Education Law § 211-f. The statute designates current Priority Schools that have been in the most severe accountability status since the 2006-07 school year as "Persistently Failing Schools" (identified in the proposed regulation as "Persistently Struggling Schools" and vests the superintendent of the district with the powers of an independent receiver. The superintendent is given an initial one-year period to use the enhanced authority of a receiver to make demonstrable improvement in student performance at the Persistently Struggling School or the Commissioner will direct that the school board appoint an independent receiver and submit the appointment for approval by the Commissioner. Independent receivers are appointed for up to three school years and serve under contract with the Commissioner. Additionally, the school will be eligible for a portion of $75 million in State aid to support and implement its turnaround efforts over a two-year period. Failing Schools (identified in the regulation as "Struggling Schools"), schools that have been Priority Schools since the 2012-13 school year, will be given two years under a "superintendent receiver" (i.e., the superintendent of schools of the school district vested with the powers of a receiver under § 211-f) to improve student performance. Should the school fail to make demonstrable improvement in two years then the district will be required to appoint an independent receiver and submit the appointment for approval by the Commissioner. Education Law § 211-f provides persons or entities vested with the powers of a receiver new authority to, among other things, develop a school intervention plan; convert schools to community schools providing wrap-around services; reallocate funds in the school's budget; expand the school day or school year; establish professional development plans; order the conversion of the school to a charter school consistent with applicable state laws; remove staff and/or require staff to reapply for their jobs in collaboration with a staffing committee; and negotiate collective bargaining agreements, with any unresolved issues submitted to the Commissioner for decision.

At the end of the one- or two-year period in which a school designated as Persistently Struggling or as Struggling remains under district control, and annually thereafter, the Commissioner must determine whether the school should be removed from such designation; allowed to continue to be operated by the school district with the superintendent receiver; or be placed under an independent receiver who shall be appointed by the school board with the responsibility to manage and operate the school. Schools operating under an independent receiver must also be annually evaluated by the Commissioner to determine whether the school intervention plan should be continued or modified. At the end of the independent receivership period, the Commissioner must decide whether to end the receivership, continue it, or appoint a new receiver. Additionally, the Commissioner may order the closure of a Struggling or Persistently Struggling School and the Board of Regents may revoke the registration of the school.

Upon the Commissioner's designation of a school as Struggling or Persistently Struggling, the board of education shall conduct at least one public meeting or hearing annually for purposes of discussing the performance of the designated school and receivership. The district shall provide translators and provide reasonable notice to the public of the meeting or hearing.

The superintendent receiver shall provide quarterly written reports regarding implementation of the department-approved intervention model or school comprehensive education plan, and such reports, together with a plain-language summary thereof, shall be publicly available.

No later than twenty days following designation, the school district shall establish a community engagement team, comprised of community stakeholders with direct ties to the school, to develop recommendations for improvement of the school and solicit input through public engagement.

The superintendent receiver shall develop a community engagement plan in such form and format and according to such timeline as may be prescribed by the Commissioner and shall submit such plan to the Commissioner for approval.

The school district shall continue to operate a school identified as Persistently Struggling for one additional school year and a school identified as Struggling for two additional school years, provided there is a Department-approved intervention model or comprehensive education plan in place that includes rigorous performance metrics and goals, as well as a community engagement plan. The superintendent shall be vested with the powers of an independent receiver, except that superintendent is not required to develop a school intervention plan or convert the school to a community school.

In the event the Department revokes the provisional approval or approval of an intervention model or comprehensive education plan, the Commissioner shall require the school district to appoint and submit for the Commissioner's approval an independent receiver to manage and operate the school.

The district shall consult with the community engagement team in accordance with the approved community engagement plan, with respect to modifications to the district's approved intervention model or comprehensive education plan.

Within 60 days of the Commissioner's determination to place a school into receivership, the district shall appoint an independent receiver and submit the appointment to the Commissioner for approval. If the school district fails to appoint an independent receiver that meets the Commissioner's approval, the Commissioner shall appoint the independent receiver.

The school district shall fully cooperate with the independent receiver and willful failure to cooperate with or interfere with the functions of such receiver shall constitute willful neglect of duty under Education Law section 306.

No later than 30 business days prior to the presentation of a school budget at the budget hearing, the school board shall provide the school receiver with a copy of the proposed district budget including any school-based budget, that shall include a specific delineation of all funds and resources the school receiver shall have available to manage and operate the school and the services and resources that the school district shall provide to the school. Upon receipt of the school receiver's proposed budget modifications, the school board shall incorporate the modifications into the proposed budget and present it to the public or return the modifications for reconsideration for reasons specified in writing. The school receiver shall notify the school board in writing with a decision and that determination shall be incorporated into the budget. The school receiver and the school board shall provide the Commissioner with an electronic copy of all correspondence related to modification of the school budget.

Upon the Commissioner's designation of a school as Struggling or Persistently Struggling, the board of education shall provide written notice of the designation to parents/persons in parental relation no later than 30 days following designation, and by June 30th of each school year the school remains so identified.

The school district shall provide written notice of the public meeting or hearing held annually for purposes of discussing the performance of the designated school and receivership.

Quarterly reports of the independent receiver shall be publicly available in the school district's offices and posted on the school district's website, if one exists.

No later than ten business days after a school board has acted upon an employment decision pertaining to staff assigned to a Struggling or Persistently Struggling School, or a school that the Commissioner has determined shall be placed into receivership, the school board shall provide the school receiver with a copy of the action taken, which shall not go into effect until it has been reviewed by the school receiver. Upon receipt of any proposed modifications to an employment decision, the school board shall adopt the modifications at the next regularly scheduled board meeting or return the modification within 10 days for reconsideration with the reasons specified in writing. The board shall approve modifications required by the receiver at its next regularly scheduled meeting. The receiver and school board shall provide the Commissioner with an electronic copy of all correspondence related to such employment decisions.

The school receiver shall provide the Commissioner with any reports or other information requested, in such form and format and according to such timeline as may be prescribed, in order for the Commissioner to conduct an evaluation of the receivership program.

The rule is necessary to implement Education Law § 211-f by establishing criteria for the appointment of receivers to assist low performing schools, and does not impose any additional professional service requirements upon schools in rural areas beyond those inherent in the statute.

3. COMPLIANCE COSTS:

The proposed rule is necessary to implement Education Law § 211-f and, consequently, the major mandates of the proposed rule are statutorily imposed. The Department anticipates that because $75 million has been appropriated to support turnaround efforts in Persistently Struggling Schools during the 2015-16 and 2016-17 school years, there will be no costs to ernments for implementing school receivership in these schools during these years.

There are currently 17 schools districts that may potentially have one or more schools identified as Struggling or Persistently Struggling. Annual costs to implement school receivership will vary widely depending on a number of factors, including but not limited to: the size of school enrollment, the demographics of the school population and the grade configuration of the school; whether an independent receiver is assigned to a school and the district is required to convert the school to a community school; and the degree to which the school receiver chooses to use the receiver's authority to take actions such as extending the school day or school year; expanding or modifying curriculum and program offerings; replacing teachers and administrators; increasing salaries of teachers and administrators; improving hiring, induction, teacher evaluation, professional

development, teacher advancement, school culture and/or organizational structure; adding kindergarten or pre-kindergarten programs; and/or re-staffing the school. The Department estimates that on average it will cost a district approximately $50,000 per school to meet the requirements of the regulations regarding providing written annual notifications to parents of, or persons in parental relation to, students attending a struggling or a persistently struggling school; conducting at least one public meeting or hearing annually for purposes of discussing the performance of the designated school and the construct of receivership; establishing a community engagement team and implementing the provisions of the regulations regarding such teams; providing quarterly written reports to the board of education, the Commissioner and the Board of Regents; amending comprehensive school improvement plans or department approved intervention plans to meet the requirements of the regulations; and submitting information necessary to allow the Commissioner to determine whether a school is making demonstrable improvement. The Department estimates that in the event that a large high school (2,000 plus students) is placed in independent receivership, is implementing a community school program, and the independent receiver chooses to utilize all of the authority of the receiver as specified in subdivision 100.19(g), the annual costs of implementation of receivership could be in the range of $4 million to $5.5 million dollars.

4. MINIMIZING ADVERSE IMPACT:

The rule is necessary to implement Education Law section 211-f by establishing criteria for the appointment of receivers to assist low performing schools. Consequently, the major provisions of the rule are statutorily imposed and it is not feasible to establish differing compliance or reporting requirements or timetables or to exempt schools in rural areas from coverage by the proposed rule. Nevertheless, a substantial effort was made to involve school districts and other interested parties in the development of this rule, and their comments were considered in drafting the proposed rule.

The Department has taken steps to minimize the possible adverse impact of the proposed rule by including stakeholders in the decision making process. The Department also intends to take steps to provide sufficient notice of the proposed rule to ensure that school districts are made aware of the rule's requirements so they may timely prepare for implementation. The Department will also take steps to share a variety of resources with school districts to provide guidance with implementation.

5. RURAL AREA PARTICIPATION:

With the approval of the Board of Regents at its May 18-19, 2015 meeting, Department staff solicited comments and recommendations from groups that included teams from school districts with one or more eligible priority schools; district superintendents; Statewide representatives of parents, teachers, principals, superintendents, and school boards; educational partnership organizations; representatives of State agencies that provide health, mental health, child welfare, and job services; representatives of organizations involved in and concerned with the education of English language learners, students with disabilities and students in temporary housing; and technical experts in school receivership, expanded learning, and community school models. A meeting of these key stakeholders was held on May 27, 2015, where more than 100 participants provided their feedback on draft express terms that were presented to the Board of Regents in May, and many of their suggestions were incorporated in the proposed rule presented for emergency adoption at the June 15-16, 2015 Regents meeting.

6. INITIAL REVIEW OF RULE (SAPA § 207):

Pursuant to State Administrative Procedure Act § 207(1)(b), the State Education Department proposes that the initial review of this rule shall occur in the fifth calendar year after the year in which the rule is adopted, instead of in the third calendar year. The justification for a five-year review period is that the proposed rule is necessary to ensure implementation of Education Law section 211-f, as added by Part EE, Subpart H of Ch. 56 of the Laws of 2015, by establishing criteria for the appointment of receivers to assist low-performing schools to make demonstrable improvement in student performance. Consequently, the major, substantive provisions of the proposed rule are statutorily imposed and cannot be changed without further Legislative action.

The Department invites public comment on the proposed five-year review period for this rule. Comments should be sent to the agency contact listed in item number 16 of the Notice of Emergency Adoption and Proposed Rule Making published herewith, and must be received within 45 days of the date the State Register publishes the Notice.

***Job Impact Statement***

The proposed rule relates to public school and school district accountability and is necessary to implement and otherwise conform the Commissioner's Regulations to Education Law section 211-f, as added by Part EE, Subpart H of Ch. 56 of the Laws of 2015, by establishing criteria for the appointment of receivers to assist low performing schools to make demonstrable improvement in student performance. The statute designates current Priority Schools that have been in the most severe accountability status since the 2006-07 school year as "Persistently Failing Schools" (identified in the proposed regulation as "Persistently Struggling Schools") and identifies schools that have been identified as Priority since the 2012-13 school year as "Failing Schools" (identified in the proposed regulation as "Struggling Schools") and vests the superintendent of the district with the powers of an independent receiver.

The proposed rule applies to public schools that are Struggling or Persistently Struggling and placed into receivership and will not result in an adverse impact on jobs or employment opportunities. In accordance with Education Law section 211-f(7)(b) and (c), a school receiver may abolish the positions of all members of the teaching and administrative and supervisory staff assigned to the Struggling or Persistently Struggling School and terminate the employment of any principal assigned to such a school and require staff members to reapply for their positions in the school if they so choose. Although the school receiver may choose not to rehire a maximum of fifty percent of the former staff, it is anticipated that those staff members will be replaced by other individuals and will not cause a net loss in positions at the school.

Furthermore, an apportionment of $75 million in State funds will be available to Persistently Struggling Schools for the implementation of the Receivership process during the 2015-16 and 2016-17 school years. Since school districts are expected to use a portion of this allocation to implement strategies that may require hiring of new staff for these schools, this will result in a net gain of jobs. It is also possible that to meet the requirements of school receivership in Struggling Schools, which are not eligible for the $75 million grant, districts may choose to hire additional staff to implement the provisions of receivership.

***Assessment of Public Comment***

The agency received no public comment.

# Department of Financial Services

## EMERGENCY RULE MAKING

**Public Retirement Systems**

**I.D. No.** DFS-02-16-00001-E
**Filing No.** 1145
**Filing Date:** 2015-12-28
**Effective Date:** 2015-12-28

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following action:

***Action taken:*** Amendment of Part 136 (Regulation 85) of Title 11 NYCRR.

***Statutory authority:*** Financial Services Law, sections 202, 302; Insurance Law, sections 301, 314, 7401(a) and 7402(n)

***Finding of necessity for emergency rule:*** Preservation of general welfare.

***Specific reasons underlying the finding of necessity:*** The Second Amendment to 11 NYCRR 136 (Insurance Regulation 85), effective November 19, 2008, established new standards of behavior with regard to investment of the assets of the New York State Common Retirement Fund ("Fund"), conflicts of interest, and procurement. In addition, it created new audit and actuarial committees, and greatly strengthened the investment advisory committee. The Second Amendment also set high ethical standards, strengthened internal controls and governance, enhanced the operational transparency of the Fund, and strengthened supervision by the Department.

Nevertheless, recent events surrounding how placement agents conduct business on behalf of their clients with regard to the Fund compel the Superintendent to conclude that the mere strengthening of the Fund's control environment is insufficient to protect the integrity of the state employees' retirement systems. Rather, only an immediate ban on the use of placement agents will ensure sufficient protection of the Fund's members and beneficiaries and safeguard the integrity of the Fund's investments.

This regulation was previously promulgated on an emergency basis on June 18, 2009, September 16, 2009, January 5, 2010, April 2, 2010, May 28, 2010, July 29, 2010, September 23, 2010, November 19, 2010, January 18, 2011, March 21, 2011, May 19, 2011, August 16, 2011, November 10, 2011, February 7, 2012, May 7, 2012, August 3, 2012, October 31, 2012, January 28, 2013, April 26, 2013, July 24, 2013, October 21, 2013, January 17, 2014, April 16, 2014, July 14, 2014, October 10, 2014, January 7, 2015, April 6, 2015, July 3, 2015, and September 30, 2015.

***Subject:*** Public Retirement Systems.

***Purpose:*** To ban the use of placement agents by investment advisors engaged by the state employees' retirement systems.

***Text of emergency rule:*** Section 136-2.2 is amended to read as follows:

§ 136-2.2 Definitions.

The following words and phrases, as used in this Subpart, unless a different meaning is plainly required by the context, shall have the following meanings:

[(a) Retirement system shall mean the New York State and Local Employees' Retirement System and the New York State and Local Police and Fire Retirement System.]

[(b) Fund shall mean the New York State Common Retirement Fund, a fund in the custody of the Comptroller as trustee, established pursuant to Section 422 of the Retirement and Social Security Law, which holds the assets of the retirement system.]

[(c)] *(a)* Comptroller shall mean the Comptroller of the State of New York in his capacity as administrative head of the Retirement System and the sole trustee of the [fund] *Fund*.

[(d) OSC shall mean the Office of the State Comptroller.]

[(e)] *(b)* Consultant or advisor shall mean any person (other than an OSC employee) or entity retained by the [fund] *Fund* to provide technical or professional services to the [fund] *Fund* relating to investments by the [fund] Fund, including outside investment counsel and litigation counsel, custodians, administrators, broker-dealers, and persons or entities that identify investment objectives and risks, assist in the selection of [money] *investment* managers, securities, or other investments, or monitor investment performance.

*(c) Family member shall mean any person living in the same household as the Comptroller, and any person related to the Comptroller within the third degree of consanguinity or affinity.*

*(d) Fund shall mean the New York State Common Retirement Fund, a fund in the custody of the Comptroller as trustee, established pursuant to Section 422 of the Retirement and Social Security Law ("RSSL"), which holds the assets of the Retirement System.*

[f] *(e)* Investment manager shall mean any person (other than an OSC employee) or entity engaged by the Fund in the management of part or all of an investment portfolio of the [fund] *Fund*. "Management" shall include, but is not limited to, analysis of portfolio holdings, and the purchase, sale, and lending thereof. For the purposes hereof, any investment made by the Fund pursuant to RSSL § 177(7) shall be deemed to be the investment of the Fund in such investment entity (rather than in the assets of such investment entity).

*(f) Investment policy statement shall mean a written document that, consistent with law, sets forth a framework for the investment program of the Fund.*

*(g) OSC shall mean the Office of the State Comptroller.*

[(g)] *(h)* Placement agent or intermediary shall mean any person or entity*, including registered lobbyists, directly or indirectly* engaged *and compensated* by an investment manager (other than [an] *a regular* employee of the investment manager) to *promote investments to or solicit investment by* [assist the investment manager in obtaining investments by the fund, or otherwise doing business with] the [fund] *Fund*, whether compensated on a flat fee, a contingent fee, or any other basis. Regular employees of an investment manager are excluded from this definition unless they are employed principally for the purpose of *securing or influencing the decision to secure a particular transaction or investment by the Fund.*[obtaining investments or providing other intermediary services with respect to the fund.] *For purpose of this paragraph, the term "employee" shall include any person who would qualify as an employee under the federal Internal Revenue Code of 1986, as amended, but shall not include a person hired, retained or engaged by an investment manager to secure or influence the decision to secure a particular transaction or investment by the Fund.*

[(h) Investment policy statement shall mean a written document that, consistent with law, sets forth a framework for the investment program of the fund.]

[(i) Third party administrator shall mean any person or entity that contractually provides administrative services to the retirement system, including receiving and recording employer and employee contributions, maintaining eligibility rosters, verifying eligibility for benefits or paying benefits and maintaining any other retirement system records. Administrative services do not include services provided to the fund relating to fund investments.]

*(i) Retirement System shall mean the New York State and Local Employees' Retirement System and the New York State and Local Police and Fire Retirement System.*

*(j) Third party administrator shall mean any person or entity that contractually provides administrative services to the Retirement System, including receiving and recording employer and employee contributions, maintaining eligibility rosters, verifying eligibility for benefits, paying benefits or maintaining any other Retirement System records. "Administrative services" do not include services provided to the Fund relating to Fund investments.*

[(j)] *(k)* Unaffiliated Person shall mean any person other than: (1) the Comptroller or a family member of the Comptroller, (2) an officer or employee of OSC, (3) an individual or entity doing business with OSC or the [fund] *Fund*, or (4) an individual or entity that has a substantial financial interest in an entity doing business with OSC or the [fund] *Fund*. For the purpose of this paragraph, the term "substantial financial interest" shall mean the control of the entity, whereby *"*control*"* means the possession, direct or indirect, of the power to direct or cause the direction of the management and policies of the entity, whether through the ownership of voting securities, by contract (except a commercial contract for goods or non-management services) or otherwise; but no individual shall be deemed to control an entity solely by reason of his being an officer or director of such entity. Control shall be presumed to exist if any individual directly or indirectly owns, controls or holds with the power to vote ten percent or more of the voting securities of such entity.

[(k) Family member shall mean any person living in the same household as the Comptroller, and any person related to the Comptroller within the third degree of consanguinity or affinity.]

Section 136-2.4(d) is amended to read as follows:

(d) Placement agents or intermediaries: In order to preserve the independence and integrity of the [fund] *Fund*, to [address] *preclude* potential conflicts of interest, and to assist the Comptroller in fulfilling his or her duties as a fiduciary to the [fund] *Fund*, [the Comptroller shall maintain a reporting and review system that must be followed whenever the fund] *the Fund shall not* [engages, hires, invests with, or commits] *engage, hire, invest with or commit* to[,] an outside investment manager who is using the services of a placement agent or intermediary to assist the investment manager in obtaining investments by the [fund] *Fund.* [, or otherwise doing business with the fund. The Comptroller shall require investment managers to disclose to the Comptroller and to his or her designee payments made to any such placement agent or intermediary. The reporting and review system shall be set forth in written guidelines and such guidelines shall be published on the OSC public website.]

Section 136-2.5(g) is amended to read as follows:

(g) The Comptroller shall:

(1) file with the superintendent an annual statement in the format prescribed by Section 307 of the Insurance Law, including the [retirement system's] *Retirement System's* financial statement, together with an opinion of an independent certified public accountant on the financial statement;

(2) file with the superintendent the Comprehensive Annual Financial Report within the time prescribed by law, but no later than the time it is published on the OSC public website;

(3) disclose on the OSC public website, on at least an annual basis, all fees paid by the [fund] *Fund* to investment managers, consultants or advisors, and third party administrators;

[(4) disclose on the OSC public website, on at least an annual basis, instances where an investment manager has paid a fee to a placement agent or intermediary;]

[(5)]*(4)* disclose on the OSC public website the [fund's] *Fund's* investment policies and procedures; and

[(6)]*(5)* require fiduciary and conflict of interest reviews of the [fund] *Fund* every three years by a qualified unaffiliated person.

***This notice is intended*** to serve only as an emergency adoption, to be valid for 90 days or less. This rule expires March 26, 2016.

***Text of rule and any required statements and analyses may be obtained from:*** Mark McLeod, New York State Department of Financial Services, One State Street, New York, NY 10004, (212) 480-4937, email: mark.mcleod@dfs.ny.gov

***Regulatory Impact Statement***

1. Statutory authority: The Superintendent's authority for the adoption of the rule to 11 NYCRR 136 is derived from sections 202 and 302 of the Financial Services Law ("FSL") and sections 301, 314, 7401(a), and 7402(n) of the Insurance Law.

FSL section 202 establishes the office of the Superintendent and designates the Superintendent to be the head of the Department of Financial Services ("DFS").

FSL section 302 and Insurance Law section 301, in material part, authorize the Superintendent to effectuate any power accorded to him by the Insurance Law, the Banking Law, the Financial Services Law, or any other law of this state and to prescribe regulations interpreting the Insurance Law.

Insurance Law section 314 vests the Superintendent with the authority to promulgate standards with respect to administrative efficiency, discharge of fiduciary responsibilities, investment policies and financial

soundness of the public retirement and pension systems of the State of New York, and to make an examination into the affairs of every system at least once every five years in accordance with Insurance Law sections 310, 311 and 312. The implementation of the standards is necessarily through the promulgation of regulations.

As confirmed by the Court of Appeals in Matter of Dinallo v. DiNapoli, 9 N.Y. 3d 94 (2007), the Superintendent functions in two distinct capacities. The first is as regulator of the insurance industry. The second is as statutory receiver of financially distressed insurance entities. Article 74 of the Insurance Law sets forth the Superintendent's role and responsibilities in this latter capacity.

Insurance Law section 7401(a) sets forth the entities, including the public retirement systems, to which Article 74 applies.

Insurance Law section 7402(n) provides that it is a ground for rehabilitation if an entity subject to Article 74 has failed or refused to take such steps as may be necessary to remove from office any officer or director whom the Superintendent has found, after appropriate notice and hearing, to be a dishonest or untrustworthy person.

2. Legislative objectives: Insurance Law section 314 authorizes the Superintendent to promulgate and amend, after consultation with the respective administrative heads of public retirement and pension systems and after a public hearing, standards with respect to the public retirement and pension systems of the State of New York.

This rule, which in effect bans the use of an investment tool that has been found to be untrustworthy, is consistent with the public policy objectives that the Legislature sought to advance in enacting Insurance Law section 314, which provides the Superintendent with the powers to promulgate standards to protect the New York State Common Retirement Fund (the "Fund").

3. Needs and benefits: The Second Amendment to 11 NYCRR 136 (Regulation 85), effective November 19, 2008, established new standards with regard to investment of the assets of the Fund, conflicts of interest and procurement. In addition, the Second Amendment created new audit and actuarial committees, and greatly strengthened the investment advisory committee. The Second Amendment also set high ethical standards, strengthened internal controls and governance, enhanced the operational transparency of the Fund, and strengthened supervision by the Department.

Nevertheless, recent allegations regarding "pay to play" practices, whereby politically connected individuals reportedly sold access to investment opportunities with the Fund, compel the Superintendent to conclude that the mere strengthening of the Fund's control environment is insufficient to protect the integrity of the state employees' retirement systems. The Third Amendment to Regulation 85 will adopt an immediate ban on the use of placement agents to ensure sufficient protection of the Fund's members and beneficiaries, and safeguard the integrity of the Fund's investments. Further, the rule defines "placement agent or intermediary" in a manner that both thwarts evasion of the ban while ensuring that such ban not extend to persons otherwise acting lawfully on behalf of investment managers.

4. Costs: The rule does not impose any additional requirements on the Comptroller, and no additional costs are expected to result from the implementation of the ban imposed by this rule. There are no costs to the Department or other state government agencies or local governments. Investment managers, consultants and advisors who provide services to the Fund, which are required to discontinue the use of placement agents in connection with investment services they provide to the Fund, may lose opportunities to do business with the Fund.

5. Local government mandates: The rule imposes no new programs, services, duties or responsibilities on any county, city, town, village, school district, fire district or other special district.

6. Paperwork: No additional paperwork should result from the prohibition imposed by the rule.

7. Duplication: This rule will not duplicate any existing state or federal rule.

8. Alternatives: The Superintendent considered other ways to limit the influence of placement agents, including a partial ban, increased disclosure requirements, and adopting alternative definitions of placement agent or intermediary. The Department considered limiting the ban to include intent on the part of the party using placement agents, or defining "placement agent" in more general terms.

In developing the rule, the Superintendent and State Comptroller not only consulted with one another, but also briefed representatives of: (1) New York State and New York City Public Employee Unions; (2) New York City Retirement and Pension Funds; (3) the Borough Presidents of the five counties of New York City; and (4) officials of the New York City Mayor's Office, Comptroller's Office and Finance Department. These entities agreed with the concerns expressed by the Department and intend to explore remedies most appropriate to the pension funds that they represent.

Initially, the Superintendent concluded that only an immediate total ban on the use of placement agents could provide sufficient protection of the Fund's members and beneficiaries and safeguard the integrity of the Fund's investments. The proposed rule was published in the State Register on March 17, 2010. A Public Hearing was held on April 28, 2010. The following comments were received:

Blackstone Group, a global investment manager and financial advisor, wrote to oppose the proposed ban on the use of placement agents by investment advisors engaged by the New York State Common Retirement Fund ("The Fund"). It stated that the rule would lessen the number of investment opportunities brought before the Fund, adversely affect small, medium-sized and women-and minority-owned investment firms seeking to do business with the Fund, and adversely affect a number of New York-headquartered financial institutions doing business as placement agents.

Blackstone suggested the inclusion of the following provisions in the rule instead:

- A ban on political contributions by any employee of any placement agent seeking to do business with the Fund;
- A requirement that any placement agent seeking do to business with the Fund be registered as a broker dealer with the SEC and ensure that its professionals have passed the appropriate Series qualifications administered by Financial Industry Regulatory Authority ("FINRA");
- A requirement that any placement agent seeking to do business in New York register with the Department; and
- A requirement that any placement agent representing an investment manager before the Fund fully disclose the contractual arrangement between it and the manager, including the fee arrangement and the scope of services to be provided.

The Securities Industry and Financial Markets Association ("SIFMA"), representing hundreds of securities firms, banks, and asset managers, commented that the proposed rule (1) inadvertently limits the access of smaller fund managers to the Fund; (2) restricts the number and types of advisers that could be utilized by the Fund; (3) creates an inherent conflict between federal and state law that would make it impossible to do business with the Fund while complying with both; and (4) adds duplicative regulation in an area already substantially regulated at the state level and that is primed for further federal regulation through the imminent imposition of a federal pay-to-play regime on all registered broker-dealers acting as placement agents. In addition, SIFMA provided language that it believes would be consistent with the existing federal requirements on the use of placement agents. SIFMA requested that the Department either exclude from the proposed rule those placement agents who are registered as broker-dealers under the Securities Exchange Act of 1934 or delay the enactment of the proposed rule until the federal and state placement agent initiatives are finalized.

The Superintendent did consider other ways to limit the influence of placement agents, including a partial ban, increased disclosure requirements, and adopting alternative definitions of placement agent or intermediary. The Department considered limiting the ban to include intent on the part of the party using placement agents, or defining "placement agent" in more general terms. At the time, the Superintendent concluded that only an immediate, total ban on the use of placement agents could provide sufficient protection of the Fund's members and beneficiaries and safeguard the integrity of the Fund's investments.

9. Federal standards: The Securities and Exchange Commission issued a "Pay-To-Play" regulation for financial advisors on July 1, 2010, which may have an impact on the issues addressed in the proposed rule.

10. Compliance schedule: The emergency adoption of this regulation on June 18, 2009 ensured that the ban would become enforceable immediately. The ban needs to remain in effect on an emergency basis until such time as an amended regulation can be made permanent.

***Regulatory Flexibility Analysis***

1. Effect of the rule: This rule strengthens standards for the management of the New York State and Local Employees' Retirement System and New York State and Local Police and Fire Retirement System (collectively, "the Retirement System"), and the New York State Common Retirement Fund ("the Fund").

The Second Amendment to 11 NYCRR 136 (Insurance Regulation 85), effective November 19, 2008, established new standards with regard to investment of the assets of the Fund, conflicts of interest and procurement. In addition, the Second Amendment created new audit and actuarial committees, and greatly strengthened the investment advisory committee. The Second Amendment also set high ethical standards, strengthened internal controls and governance, enhanced the operational transparency of the Fund, and strengthened supervision by the Department.

Nevertheless, recent allegations regarding "pay to play" practices, whereby politically connected individuals reportedly sold access to investment opportunities with the Fund, compel the Superintendent to conclude that the mere strengthening of the Fund's control environment is insufficient to protect the integrity of the state employees' retirement systems. The Third Amendment to Insurance Regulation 85 will adopt an immedi-

ate ban on the use of placement agents to ensure sufficient protection of the Fund's members and beneficiaries, and safeguard the integrity of the Fund's investments. Further, the rule defines "placement agent or intermediary" in a manner that both thwarts evasion of the ban while ensuring that such ban not extend to persons otherwise acting lawfully on behalf of investment managers.

These standards are intended to assure that the conduct of the business of the Retirement System and the Fund, and of the State Comptroller (as administrative head of the Retirement System and as sole trustee of the Fund), are consistent with the principles specified in the rule. Most among all affected parties, the State Comptroller, as a fiduciary whose responsibilities are clarified and broadened, is impacted by the rule. The State Comptroller is not a "small business" as defined in section 102(8) of the State Administrative Procedure Act.

This rule will affect investment managers and other intermediaries (other than OSC employees) who provide technical or professional services to the Fund related to Fund investments. The rule will prohibit investment managers from using the services of a placement agent unless such agent is a regular employee of the investment manager and is acting in a broader capacity than just providing specific investment advice to the Fund. In addition, the rule is also directed to placement agents, who as a result of this rule, will no longer be engaged directly or indirectly by investment managers that do business with the Fund. Some investment managers and placement agents may come within the definition of "small business" set forth in section 102(8) of the State Administrative Procedure Act, because they are independently owned and operated, and employ 100 or fewer individuals.

The rule bans the use of placement agents in connection with investments by the Fund. This may adversely affect the business of placement agents, who will lose opportunities to earn profits in connection with investments by the Fund. Nevertheless, as a result of recent allegations regarding "pay to play" practices, whereby politically connected individuals reportedly sold access to investment opportunities with the Fund, the Superintendent has concluded that an immediate ban on the use of placement agents is necessary to protect the Fund's members and beneficiaries and to safeguard the integrity of the Fund's investments.

This rule will not impose any adverse compliance requirements or result in any adverse impacts on local governments. The basis for this finding is that this rule is directed at the State Comptroller; employees of the Office of State Comptroller; and investment managers, placement agents, consultant or advisors - none of which are local governments.

2. Compliance requirements: None.

3. Professional services: Investment managers, consultants and advisors who provide services to the Fund, and are required to discontinue the use of placement agents in connection with investment services they provide to the Fund, may need to employ other professional services.

4. Compliance costs: The rule does not impose any additional requirements on the Comptroller, and no additional costs are expected to result from the implementation of the ban imposed by this rule. There are no costs to the Department of Financial Services or other state government agencies or local governments. However, investment managers, consultants and advisors who provide services to the Fund, which are required to discontinue the use of placement agents in connection with investment services they provide to the Fund, may lose opportunities to do business with the Fund.

5. Economic and technological feasibility: The rule does not impose any economic and technological requirements on affected parties, except for placement agents who will lose the opportunity to earn profits in connection with investments by the Fund.

6. Minimizing adverse impact: The costs to placement agents are lost opportunities to earn profits in connection with investments by the Fund. The Superintendent considered other ways to limit the influence of placement agents, including a partial ban, increased disclosure requirements, and adopting alternative definitions of placement agent or intermediary. But in the end, the Superintendent concluded that only an immediate total ban on the use of placement agents could provide sufficient protection of the Fund's members and beneficiaries and safeguard the integrity of the Fund's investments.

7. Small business and local government participation: In developing the rule, the Superintendent and State Comptroller not only consulted with one another, but also briefed representatives of: (1) New York State and New York City Public Employee Unions; (2) New York City Retirement and Pension Funds; (3) the Borough Presidents of the five counties of New York City; and (4) officials of the New York City Mayor's Office, Comptroller's Office and Finance Department.

A public hearing was held on April 28, 2010. Comments were received from two entities recommending that the total ban on the use of placement agents be modified. The Department will continue to assess the comments that have been received and any others that may be submitted.

***Rural Area Flexibility Analysis***

1. Types and estimated numbers of rural areas: Investment managers, placement agents, consultants or advisors that do business in rural areas as defined under State Administrative Procedure Act Section 102(10) will be affected by this rule. The rule bans the use of placement agents in connection with investments by the New York State Common Retirement Fund ("the Fund"), which may adversely affect the business of placement agents and of other entities that utilize placement agents and are involved in Fund investments.

2. Reporting, recordkeeping and other compliance requirements, and professional services: This rule will not impose any reporting, recordkeeping or other compliance requirements on public or private entities in rural areas, with the exception of requiring investment managers, consultants and advisors who provide services to the Fund to discontinue the use of placement agents.

3. Costs: The costs to placement agents are lost opportunities to earn profits in connection with investments by the Fund.

4. Minimizing adverse impact: The rule does not adversely impact rural areas.

5. Rural area participation: A public hearing was held on April 28, 2010. Comments were received from two entities recommending that the total ban on the use of placement agents be modified. The Department will continue to assess the comments that have been received and any others that may be submitted.

***Job Impact Statement***

The Department of Financial Services finds that this rule will have little or no impact on jobs and employment opportunities. The rule bans investment managers from using placement agents in connection with investments by the New York State Common Retirement Fund ("the Fund"). The rule may adversely affect the business of placement agents, who could lose the opportunity to earn profits in connection with investments by the Fund. Nevertheless, in view of recent events about how placement agents conduct business on behalf of their clients with regard to the Fund, the Superintendent has concluded that an immediate ban on the use of placement agents is necessary to protect the Fund's members and beneficiaries, and to safeguard the integrity of the Fund's investments.

# State Liquor Authority

## NOTICE OF ADOPTION

### Update Outdated Application Procedures and Eliminate Archaic Physical Standards for Certain Licenses Types

**I.D. No.** LQR-34-15-00029-A
**Filing No.** 1149
**Filing Date:** 2015-12-29
**Effective Date:** 2016-01-13

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following action:

***Action taken:*** Amendment of sections 40.3, 40.4, 46.1, 47.4, 48.4 and 65.2 of Title 9 NYCRR.

***Statutory authority:*** Alcoholic Beverage Control Law, sections 64(2), 64-a(3), 99-d(4), 101-b(4) and 109(1)

***Subject:*** Update outdated application procedures and eliminate archaic physical standards for certain licenses types.

***Purpose:*** To update application procedures, codify current online filing practices and eliminate archaic restrictions on certain licenses.

***Text of final rule:*** Title 9, Subtitle B, of the Official Compilation of Codes, Rules and Regulations of the State of New York (NYCRR), is hereby amended to include amendments to parts 40.3, 40.4, 46.1, 47.4, 48.4 and 65.2.

§ 40.3 Date for filing

(a) Applications for the renewal of licenses must be filed within the period prescribed by the Liquor Authority, as set forth in the instructions accompanying the renewal [application blanks] *advisory* mailed to licensees.

(b) No application for the renewal of a license will be accepted more than [1] *30* days after the expiration of the preceding license period, except for good cause shown.

§ 40.4 Use of forms

(a) Renewal application forms must be used in the following instances:

(1) Generally. Where there has been no change in the licensee, the location of premises, or the type of license, and there will be no such change at the commencement of the new license period.

(2) Dissolution of partnership. Where one or more members of a

partnership licensee are retiring from the partnership at the commencement of the new license period, and the remaining partners intend to continue the business after that date. In such cases, the renewal application must be accompanied by an [affidavit, in duplicate, setting forth all the facts pertaining to the dissolution] *endorsement application*, signed by the outgoing and the remaining partners. The renewal application must be executed by all the remaining partners.

(3) Death of a licensee. (i) Where the licensee, or one of the members of a licensed partnership dies before the renewal application is filed, and a certificate of endorsement under section 122 of the law [Alcoholic Beverage Control Law] has been applied for and issued, the renewal application must then be made out to conform to the endorsement certificate.

(ii) Where the licensee, or a member of a licensed partnership dies after the renewal application has been filed, the zone office must be immediately notified and instructions thereafter issued by the zone office must be followed.

(b) Renewal application forms may not be used under the following circumstances:

(1) Sale of the licensed business from an individual or corporation to another individual or corporation.

(2) Formation of a new partnership or the addition of a new member to an existing partnership.

In each of the above cases an original form of application must be filed [with the appropriate local board, if a retailer, or the appropriate zone office, if a manufacturer or wholesaler] *on a form and in a manner as designated by the Authority*.

§ 46.1 Applications for corporate change involving two or more licensed premises

(a) Where the same corporation operates two or more premises separately licensed under this chapter (L.1963, ch. 204), the licensee shall file one corporate change application and attach thereto a list of all licenses issued by the Authority in the name of the licensee. The application shall be filed, together with the appropriate fee, with the State Liquor Authority [at its New York City office, if any of the licensed premises is located in Zone I; where none is so located, the application shall be filed and processed at the Authority's Albany office, if any of the licensed premises is located in Zone II; where all are in Zone III, the application shall be filed and processed at the Authority's Buffalo office] *on a form and in a manner as designated by the Authority*.

[(b) Copies of the Authority's determination, either approving or disapproving the corporate change, shall be forwarded for filing in the local board and zone office folders relating to each licensed premises.]

§ 47.4 Applications

Applications for permission to make alterations shall be filed [in triplicate as follows:

Manufacturers, wholesalers and vendors shall file with the New York City Office of the Authority at New York City. Retail licensees, except holders of vendors licenses, shall file with the appropriate local A.B.C. Board] *on a form and in a manner as designated by the Authority*.

§ 48.4 Physical standards

(a) No on-premises licenses shall be issued except where the premises comply with all statutory requirements. In addition, each such premises, when situated on or about the street level, shall have one or more windows which shall be so constructed as to afford clear visibility from the exterior and throughout the interior of said premises.

(b) No on-premises licenses shall be issued to premises described in subdivisions (b), (d), (e) and (f) of section 48.1 of this Part unless a particular location or locations shall be designated for the sale and service of alcoholic beverages which, if approved by the Authority, shall be deemed the licensed premises.

(1) Each such premises shall be under the exclusive dominion and control of the licensee and the sale and service of alcoholic beverages and the consumption of liquor and wine shall be confined thereto.

(2) In premises described in subdivisions (d), (e) and (f) of section 48.1 of this Part, the licensed premises shall be enclosed by a permanent wall or partition at least eight feet high.

(c) On-premises licenses may be issued to premises described in subdivision (c) of section 48.1 of this Part with due regard for the functional and traditional layouts of such premises. Any stand-up bar shall be in an area where seating at tables is provided for patrons [and where such premises is in a bowling establishment, such area shall be enclosed by permanent walls or partitions at least eight feet high].

(d) General physical standards. The following standards shall be applicable to all on-premises licenses:

(1) Each premises licensed hereunder shall have seating for patrons at tables, except that the Authority, in its discretion, may permit a bar in any premises described in subdivision (b) of section 48.1 of this Part without requiring seating at tables.

(2) Each premises licensed hereunder shall provide separate sanitary facilities for both sexes. The provision of such facilities may be waived by the Authority provided there is a satisfactory showing that such facilities are in an area adjacent or proximate to the licensed premises and available to the patrons thereof.

[(3) Each premises licensed hereunder shall, at all times during the hours such premises is open for business, be illuminated by sufficient lighting such as will permit a person therein to read nine-point print of the kind generally used in the average newspaper. Nothing herein contained shall, however, be construed as prohibiting temporary dimming of lights during a period of regular entertainment or other special occasions and during any performance in any premises described in subdivision (b) of section 48.1 of this Part.]

§ 65.2 Filing of schedules

(a) Each schedule filed under this section shall identify the filer by name, address and license number and set forth such information as is required by subdivision 3(a) or 3(b) of section 101-b of the (Alcoholic Beverage Control Law), whichever is appropriate. In addition, the brand label registration number of each brand of liquor or wine listed therein shall also be designated.

(b) Where a schedule of prices to wholesalers is filed by the brand owner, the listing of items of brands owned by the filer should be preceded by the words "as brand owner". Where filed as agent the listing of items should be preceded by the words "as agent" followed by identification of the brand owner.

(c) In schedules of prices to retailers the listing of brands owned by the filer should be preceded by the words "as brand owner"; the listing of brands for which the filer is the brand agent should be preceded by the words "as agent"; and where the filer is neither the brand owner nor brand agent the listing should be preceded by the name of the licensee who registers the brand label.

(d) Where a manufacturer or wholesaler holds more than one license, a separate schedule of prices to retailers shall be filed by such licensee for each licensed premises.

(e) There shall be filed with the State Liquor Authority's *website* [Wholesale Bureau in Albany six] *a* copy[ies] of each schedule of prices to wholesalers and [six copies of] each schedule of prices to retailers *on a form and in a manner as designated by the Authority*.

(f) Schedules of prices to wholesalers and the applicable affirmation shall be filed on or before the 25th day of each month and shall become effective on the first day of the second succeeding calendar month, unless otherwise ordered by the authority. When the 25th day of the month falls on a Saturday, Sunday or legal holiday, such schedules and affirmation shall be filed on the following business day.

(g) Schedules of prices to retailers shall be filed on or before the fifth calendar day of each month unless otherwise ordered by the Liquor Authority. When the fifth day of the month falls on a Saturday, Sunday or legal holiday, such schedules shall be filed on the following business day.

(h) [Six copies of each a]*A*mended schedule*s* of prices to retailers shall be filed *on a form and in a manner as designated by the Authority* no later than the 20th day of the month prior to the effective date of the schedule being amended. When the 20th day of the month falls on a Saturday, Sunday or legal holiday, such amended schedule may be filed on the following business day.

(i) The schedules filed each month which contain a listing of all brands of liquor and wine which are to be sold shall be known as master schedules. The Liquor Authority may permit or require the filing of short form schedules for any particular month in place of the master schedule. Short form schedules shall show all new items, price changes, or items discontinued since the last filing of a master schedule. All schedules shall contain such statements as the Liquor Authority may permit or require.

***Final rule as compared with last published rule:*** Nonsubstantive changes were made in sections 40.3 and 65.2.

***Text of rule and any required statements and analyses may be obtained from:*** Paul Karamanol, Senior Attorney, State Liquor Authority, 80 South Swan Street, Suite 900, Albany, NY 12210, (518) 474-3114, email: paul.karamanol@sla.ny.gov

***Revised Job Impact Statement***

The express terms of the proposed rule were changed merely to remove outdated references to blank renewal applications being mailed to renewal applicants in Part 40.3, as well as to six (6) copies being necessary for amended price postings pursuant to Part 65.2(h). Said non-substantive revisions merely conform to current agency practices. As such, the changes made to the previously published rule do not necessitate that the previously published statement in lieu of job impact statement be revised.

***Assessment of Public Comment***

The agency received no public comment.

## PROPOSED RULE MAKING
## NO HEARING(S) SCHEDULED

**Update Outdated Freedom of Information Law Procedures Utilized by Authority**

**I.D. No.** LQR-02-16-00002-P

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following proposed rule:

***Proposed Action:*** This is a consensus rule making to amend Part 95 of Title 9 NYCRR.

***Statutory authority:*** Public Officers Law, section 87(1)(b)

***Subject:*** Update outdated Freedom of Information Law procedures utilized by Authority.

***Purpose:*** To update Authority procedures and ensure compliance with Freedom of information Law requirements under Public Officers Law, art. 6.

***Text of proposed rule:*** Title 9, Subtitle B, of the Official Compilation of Codes, Rules and Regulations of the State of New York (NYCRR), is hereby amended to include amendments to parts 95.1, 95.2, 95.3, 95.5, 95.6, 95.7, 95.8, and 95.9. In addition, Part 95.4 is hereby repealed.

§ 95.1 Purpose and scope

(a) The people's right to know the process of government decision-making and the documents and statistics leading to determinations is basic to our society. Access to such information should not be thwarted by shrouding it with the cloak of secrecy or confidentiality.

(b) This Part provides information concerning the procedures by which records may be obtained.

[(c) Personnel shall furnish to the public the information and records required by the Freedom of Information Law and those which were furnished to the public prior to its enactment.

(d) Any conflicts among laws governing public access to records shall be construed in favor of the widest possible availability of public records.]

§ 95.2 Designation of records access officer

(a) The Chairman of the State Liquor Authority is responsible for insuring compliance with the regulations in this Part and [designates the following persons as] *designating an Authority employee as the* [r]*R*ecords [a]*A*ccess [o]*O*fficer[s:

(1) Secretary to the Authority.

(2) Deputy commissioner in charge of compliance bureau.

(3) Deputy commissioner in charge of Zone II office.

(4) Deputy commissioner in charge of Zone III office].

(b) *The* Records [a]*A*ccess [o]*O*fficer[s are] *is* responsible for insuring appropriate agency responses to public requests for access to records. [However, the public shall not be denied access to records through officials who have in the past been authorized to make records or information available.] *The* Records [a]*A*ccess [o]*O*fficer[s] shall assure that personnel:

(1) Maintain an up-to-date subject matter list.

(2) Assist [the requester] in identifying requested records, if necessary.

(3) Upon locating the records, take one of the following actions in accordance with section 95.6(b) of this Part:

(i) Make records promptly available for inspection; or

(ii) Deny access to the records in whole or in part and explain in writing the reasons therefor.

(4) Upon request for copies of records:

(i) Make a copy available upon payment or offer to pay established fees, if any, in accordance with section 95.8 of this Part; or

(ii) Permit the requester to copy those records.

(5) Upon request, certify that a [transcript] *record* is a true copy of records copied.

(6) Upon failure to locate records, certify that:

(i) The State Liquor Authority is not the legal custodian for such records, or

(ii) The records of which the State Liquor Authority is the legal custodian, after diligent search, cannot be found.

§ 95.3 [Designation of fiscal officer] *Record Maintenance*

(a) The [director of manpower management is designated the fiscal officer, who shall certify the payroll and respond to requests, in accordance with section 95.6(b) of this Part, for an itemized record setting forth the name, address, title and salary of every officer or employee of the agency.] *Secretary to the Authority will maintain a record of the final vote of each member in every proceeding in which the members vote.*

(b) [Either the chief executive officer or the fiscal officer shall make the payroll items listed above available to any person including bona fide members of the news media as required under section 88(1)(g), (1)(i), and (10) of the Freedom of Information Law.] *The Chairman of the Authority or his designee shall maintain a record setting forth the name, public office address, title and salary of every officer or employee of the Authority.*

*(c) The Records Access Officer shall maintain a reasonably detailed current list, by subject matter, of all records in the possession of the Authority.*

§ 95.5 Hours for public inspection *and location of records*

*(a)* Requests for public access to records shall be accepted and records produced between [10] *8:30* a.m. and 4*:30* p.m. on all days when the Authority is regularly open for business.

*(b) Records shall be available for public inspection and copying at:*

*(1) 317 Lenox Avenue, New York, NY 10027; and*

*(2) 80 South Swan Street, Suite 900, Albany, NY 12210; and*

*(3) 535 Washington Street, Buffalo, NY 14230.*

*(c) No records may be removed by the requester from the office where the record is located without the permission of the Records Access Officer.*

§ 95.6 Requests for public access to records

(a) A request for records shall be in writing *and should be sufficiently detailed to identify the records.* [However, written requests shall not be required for records that have been customarily available without written request.] *Whether a request is made in writing, via email or in person, where possible, the requester should supply information regarding dates, titles, file designations or other information which may help identify the records. All requests for records may be submitted to FOIL@sla.ny.gov or mailed to: Records Access Officer, New York State Liquor Authority, 80 South Swan Street, Suite 900, Albany, NY 12210*

(b)[(1) Except under extraordinary circumstances, officials shall respond to a request for records no more than five business days after receipt of the request, whether the request is oral or in writing.

(2) If, because of extraordinary circumstances, more than five business days are required to respond to a request, receipt of the request shall be acknowledged within five business days after the request is received. The acknowledgment shall state the reason for delay and estimate the date when a reply will be made.

(c) A request for access to records should be sufficiently detailed to identify the records. Where possible, the requester should supply information regarding dates, titles, file designations or other information which may help identify the records.

(d)(1) A current list of all records, by subject matter, shall be available for public inspection and copying. The list shall be sufficiently detailed to permit the requester to identify the file category of the records sought.

(2) The subject matter list shall be updated periodically and the date of the most recent updating shall appear on the first page.

(e) No records may be removed by the requester from the office where the record is located without the permission of a records access officer or the chief executive officer.] *The Records Access Officer shall:*

*(1) Make the requested record available; or*

*(2) Deny access to the requested record in writing; or*

*(3) Furnish written acknowledgment of the request and a statement of the approximate date, which shall be reasonable under the circumstances of the request, when such request will be granted or denied.*

§ 95.7 *Appeal of* [D]*d*enial of access to records

(a) Denial of access to records shall be in writing stating the reason therefor and advising the requester of the right to appeal to the individuals authorized to hear appeals.

(b) If *a* request[ed] *for* records [are] *is* not [provided promptly, as required in] *responded to in accordance with* section 95.6(b) of this Part, such [failure] *request* shall also be deemed a denial of access.

(c) [Any one of the following persons shall hear appeals for denial of access to records under the Freedom of Information Law:

(1) Chief Executive Officer 2 World Trade Center, New York City.

(2) Counsel to the Authority 2 World Trade Center, New York City.

(3) Deputy Commissioner in charge of Licensing--Statewide 2 World Trade Center, New York City.] *The Chairman shall appoint an attorney within the Authority to serve as the FOIL Appeals Officer to hear appeals for denial of access to records.*

(d) The time for deciding an appeal by the individuals designated to hear appeals shall commence upon receipt of written appeal identifying:

(1) The date of the appeal.

(2) The date and location of the requests for records.

(3) The records to which the requester was denied access.

(4) [Whether the denial of access was in writing or was by failure to provide records promptly as required by section 95.6(b) of this Part.

(5)] The name and return address of the requester.

(e) The individual designated to hear appeals shall inform the requester of his decision in writing within [seven] *ten* business days of receipt of an appeal.

(f) A final denial of access to a requested record, as provided for in subdivision (e) of this section, shall be subject to court review, as provided for in article 78 of the Civil Practice Law and Rules.

§ 95.8 Fees
(a) There shall be no fee charged for
(1) Inspection of records.
(2) Search for records, except that a reasonable fee may be charged in the discretion of the [chief executive officer or the secretary to] *Chairman of* the Authority where such search will entail an inordinate length of time.
(3) Any certification pursuant to this Part.
(b) *Fees shall be charged for:*
(1) Except as otherwise provided by the rules of the Authority, copies of records shall be provided at a fee of 25 cents per page not exceeding 8 1/2 inches by 14 inches in size.
(2) The fees for other types of copies or transcripts and for certification shall be such reasonable amounts as the [chief executive officer or the secretary to] *Chairman of* the Authority shall establish. Notwithstanding the above, the [aforesaid chief executive officer or the secretary to] *Chairman of* the Authority may, in his discretion, waive all or any portion of the fees authorized by this section for any records or class of records.
§ 95.9 Public notice
A notice containing the job title and business address of the [r]*R*ecords [a]*A*ccess [o]*O*fficer[s and fiscal officer]; the job title, business address and telephone number of the appeal person or persons or body, and the location where records can be seen or copied, shall be posted [in a conspicuous location wherever records are kept] *on the Authority's website*.

***Text of proposed rule and any required statements and analyses may be obtained from:*** Paul Karamanol, Senior Attorney, State Liquor Authority, 80 South Swan Street, Suite 900, Albany, NY 12210, (518) 474-3114, email: paul.karamanol@sla.ny.gov

***Data, views or arguments may be submitted to:*** Same as above.

***Public comment will be received until:*** 45 days after publication of this notice.

***Consensus Rule Making Determination***

This statement is being submitted pursuant to subparagraph (i) of paragraph (b) of subdivision (1) of section 202 of the State Administrative Procedure Act and in support of the New York State Liquor Authority's ("Authority") Notice of Proposed Rulemaking seeking to amend Parts 95.1, 95.2, 95.3, 95.5, 95.6, 95.7, 95.8, and 95.9 as well as the repeal of Part 95.4 of Title 9, Subtitle B, of the Official Compilation of Codes, Rules and Regulations of the State of New York (N.Y.C.R.R.)

It is apparent from the nature and purpose of these proposed amendments that no person is likely to object to their adoption as written. Part 95.1 sets forth the purpose and scope of Part 95 and the proposed changes merely simplify the directives to Authority staff and codify current practices. Part 95.2 sets forth the designation of multiple Records Access Officers and the proposed change would clarify that the designation of a single Records Access Officer shall be the responsibility of the Chairman of the Authority, thereby alleviating confusion and enhancing transparency in the FOIL process. Part 95.3 sets forth the designation of a Fiscal Officer, and the proposed changes would eliminate that outdated position and clearly set forth the recordkeeping responsibilities of several Authority staff in keeping with statutory requirements, thereby merely codifying current practices. Part 95.4 sets forth locations for public inspection of records, including outdated references to Authority office locations, and the Authority seeks repeal of this Part in its entirety. Part 95.5 sets forth hours for public inspection of Authority records and the proposed changes would expand the hours for public inspections as well as set forth updated Authority office locations, thereby enhancing transparency and alleviating confusion in the FOIL process. Part 95.6 sets forth procedures for public requests for access to Authority records, and the proposed changes would simplify the procedures as well as provide for electronic mail requests, thereby codifying current practices. Part 95.7 sets for procedures available to persons upon a denial of a request for access to records, and the proposed changes simplify and codify the FOIL appeals process as well as establish a single FOIL Appeals Officer to be appointed by the Chairman thereby alleviating confusion and enhancing transparency. Part 95.8 sets forth fees associated with FOIL requests and the proposed changes clarify that the Chairman shall have the sole discretion to charge certain fees associated therewith thereby alleviating confusion. Part 95.9 sets forth the public notice requirements enabling the public to locate the Authority's Records Access Officer and the proposed changes provide for the Authority's website to be utilized for that purpose.

Consistent with the definition of "consensus rule" as set forth in section 102(11) of the State Administrative Procedure Act, the Authority has determined that these proposals, which update multiple outdated references to Authority FOIL procedures to enhance transparency and public accountability, are non-controversial in nature and, therefore, no person is likely to object to their adoption as written.

***Job Impact Statement***

This statement is being submitted pursuant to subdivision (2) of section 201-a of the State Administrative Procedure Act and in support of the New York State Liquor Authority's ("Authority") Notice of Proposed Rulemaking seeking to amend Parts 95.1, 95.2, 95.3, 95.5, 95.6, 95.7, 95.8, and 95.9 as well as the repeal of Part 95.4 of Title 9, Subtitle B, of the Official Compilation of Codes, Rules and Regulations of the State of New York (N.Y.C.R.R.)

It is apparent from the nature and purpose of these proposed amendments that they have no impact on jobs or employment opportunities in New York. These proposed amendments merely update multiple outdated references to Authority FOIL procedures to enhance transparency and public accountability. As a result, the Authority has determined that these proposed amendments will have no substantial adverse impact on any private or public sector jobs or employment opportunities and a full Job Impact Statement is not warranted.

# Long Island Power Authority

## NOTICE OF ADOPTION

**Rates and Charges Set Forth in LIPA's Tariff for Electric Service**

**I.D. No.** LPA-07-15-00003-A
**Filing Date:** 2015-12-29
**Effective Date:** 2015-12-29

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following action:

***Action taken:*** The Authority adopted a 3-year rate plan prepared by PSEG-LI and the Authority's staff and recommended by the Department of Public Service upon due consideration by the Authority's board of trustees.

***Statutory authority:*** Public Authorities Law, section 1020-f(z) and (u); Public Service Law, section 3-b(3)(a)

***Subject:*** The rates and charges set forth in LIPA's Tariff for Electric Service.

***Purpose:*** To set rates and charges at the lowest level consistent with sound fiscal and operating practices and safe and adequate service.

***Text or summary was published*** in the February 18, 2015 issue of the Register, I.D. No. LPA-07-15-00003-P.

***Final rule as compared with last published rule:*** No changes.

***Text of rule and any required statements and analyses may be obtained from:*** Justin Bell, Long Island Power Authority, 333 Earle Ovington Blvd., Suite 403, Uniondale, NY 11553, (516) 222-7700, email: jbell@lipower.org

***Assessment of Public Comment***

An assessment of public comment is not submitted with this notice because the rule is within the definition contained in section 102(2)(a)(ii) of the State Administrative Procedure Act.

## PROPOSED RULE MAKING HEARING(S) SCHEDULED

**Dynamic Load Management Programs Including Direct Load Control, Peak Shaving, and Contingency Load Relief**

**I.D. No.** LPA-02-16-00014-P

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following proposed rule:

***Proposed Action:*** The Long Island Power Authority ("LIPA") is considering a proposal to modify its Tariff for Electric Service ("Tariff") to establish dynamic load management programs consistent with tariff revisions approved by the New York PSC for the regulated utilities.

***Statutory authority:*** Public Authorities Law, sections 1020-f(z), (u) and (gg)

***Subject:*** Dynamic load management programs including direct load control, peak shaving, and contingency load relief.

***Purpose:*** To establish dynamic load management programs consistent with tariff revisions approved by the PSC for the regulated utilities.

***Public hearing(s) will be held at:*** 10:00 a.m., Feb. 29, 2016 at H. Lee Dennison Bldg., 100 Veterans Memorial Hwy., Hauppauge, NY; 2:00 p.m., Feb. 29, 2016 at 333 Earle Ovington Blvd., 4th Fl., Uniondale, NY.

***Interpreter Service:*** Interpreter services will be made available to hearing impaired persons, at no charge, upon written request submitted within reasonable time prior to the scheduled public hearing. The written request must be addressed to the agency representative designated in the paragraph below.

***Accessibility:*** All public hearings have been scheduled at places reasonably accessible to persons with a mobility impairment.

***Substance of proposed rule:*** The Long Island Power Authority ("the Authority") proposes to modify the Tariff for Electric Service ("Tariff") effective April 1, 2016 to authorize three dynamic load management programs: (1) a Direct Load Control program; (2) a Commercial System Relief Program; and (3) a Distribution Load Relief Program. These programs are proposed to conform with NY Public Service Commission ("PSC") policy for innovative demand response programs consistent with the New York Reforming the Energy Vision ("REV") initiative.

The three dynamic load management programs that the Authority proposes are similar to programs that the NY PSC has authorized for each of the major regulated electric utilities in the State and have been designed to provide similar benefits to both participants and the electric system.

The Direct Load Control program will pay customers to install devices that allow the utility to turn off or limit the use of selected end uses, such as air conditioners and pool pumps. The Distribution Load Relief Program will create the opportunity for market participants to identify and implement load relief measures that would be called upon to address reliability problems as may occur at specific locations along the transmission and distribution system. The Commercial System Relief Program would create the opportunity for market participants to identify and implement load relief measures that would be called upon in anticipation of peak system loads, thereby reducing the need to expand or reinforce the transmission and distribution system.

***Text of proposed rule and any required statements and analyses may be obtained from:*** Justin Bell, Long Island Power Authority, 333 Earle Ovington Blvd., Suite 403, Uniondale, NY 11553, (516) 719-9886, email: jbell@lipower.org

***Data, views or arguments may be submitted to:*** Same as above.

***Public comment will be received until:*** Five days after the last scheduled public hearing.

***Regulatory Impact Statement, Regulatory Flexibility Analysis, Rural Area Flexibility Analysis and Job Impact Statement***

Statements and analyses are not submitted with this notice because the proposed rule is within the definition contained in section 102(2)(a)(ii) of the State Administrative Procedure Act.

## PROPOSED RULE MAKING HEARING(S) SCHEDULED

**Community Distributed Generation Net Metering, Remote Net Metering, and Size Limits for Fuel Cells**

**I.D. No.** LPA-02-16-00015-P

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following proposed rule:

***Proposed Action:*** The Long Island Power Authority ("LIPA") is considering a proposal to modify its Tariff for Electric Service to authorize community distributed generation net metering and to modify provisions regarding remote net metering and size limits for fuel cells.

***Statutory authority:*** Public Authorities Law, section 1020-f(z), (u) and (gg)

***Subject:*** Community distributed generation net metering, remote net metering, and size limits for fuel cells.

***Purpose:*** To authorize community distributed generation net metering and to modify provisions for remote net metering and fuel cells.

***Public hearing(s) will be held at:*** 10:00 a.m., Feb. 29, 2016 at H. Lee Dennison Bldg., 100 Veterans Memorial Hwy., Hauppauge, NY; 2:00 p.m., Feb. 29, 2016 at 333 Earle Ovington Blvd., 4th Fl., Uniondale, NY.

***Interpreter Service:*** Interpreter services will be made available to hearing impaired persons, at no charge, upon written request submitted within reasonable time prior to the scheduled public hearing. The written request must be addressed to the agency representative designated in the paragraph below.

***Accessibility:*** All public hearings have been scheduled at places reasonably accessible to persons with a mobility impairment.

***Substance of proposed rule:*** The Long Island Power Authority ("the Authority") proposes to modify the Tariff for Electric Service ("Tariff") effective April 1, 2016 to: (1) authorize Community Distributed Generation ("DG") Net Metering; (2) modify existing Tariff provisions relating to Remote Net Metering to conform to NY Public Service Commission ("PSC") practices for the regulated utilities; and (3) modify other Tariff provisions to conform to amendments to Public Service Law § 66-j regarding eligibility and size limits for net metering.

Net metering, also known as net energy metering, allows customers with certain types of generation to reduce their energy bill in two ways: (1) electricity generated and used immediately reduces the amount of electricity that is bought from the Authority; and (2) electricity generated in excess of the customer's immediate need is injected into the grid to displace other sources of generation, for which the customer receives a credit to offset later energy needs, further reducing the customer's electricity bill.

The Authority proposes to expand the eligibility for customer participation in net metering by, among other things, allowing for Community Net Metering. Community DG Net Metering allows residential customers to participate in renewable generation at some central location and share the benefits of the renewable generation among the members.

The Authority proposes to authorize Community DG Net Metering, allowing customers to associate with a project sponsor (the "host account") that would be responsible for building the Community DG generation facility, interconnecting it to the utility grid, and then owning or operating it in conformance with net metering requirements of the Tariff for Electric Service. The host site would have a non-residential account and any excess generation (net of energy consumed on-site) produced by the host account would be allocated among the members (the "satellite accounts") in proportions to be designated by the host account. Any generation allocated to a satellite account in excess of that account's energy usage would be used to offset future energy use at that account or cashed out on the account's annual anniversary date, just as if that account was net metered on an individual basis.

The Authority's proposal would also increase eligibility for remote net metering to include Farm Waste and update the size limits applicable to Non-Residential Fuel Cells.

***Text of proposed rule and any required statements and analyses may be obtained from:*** Justin Bell, Long Island Power Authority, 333 Earle Ovington Blvd., Suite 403, Uniondale, NY 11553, (516) 719-9886, email: jbell@lipower.org

***Data, views or arguments may be submitted to:*** Same as above.

***Public comment will be received until:*** Five days after the last scheduled public hearing.

***Regulatory Impact Statement, Regulatory Flexibility Analysis, Rural Area Flexibility Analysis and Job Impact Statement***

Statements and analyses are not submitted with this notice because the proposed rule is within the definition contained in section 102(2)(a)(ii) of the State Administrative Procedure Act.

# Public Service Commission

## NOTICE OF ADOPTION

**Amendments to P.S.C. No. 9—Gas**

**I.D. No.** PSC-03-15-00003-A

**Filing Date:** 2015-12-23

**Effective Date:** 2015-12-23

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following action:

***Action taken:*** On 12/17/15, the PSC adopted an order approving Consolidated Edison Company of New York, Inc.'s (Con Ed) tariff filing to make amendments establishing a new provision under General Information Section 3.8, contained in P.S.C. No. 9—Gas.

***Statutory authority:*** Public Service Law, section 66(1) and (12)

***Subject:*** Amendments to P.S.C. No. 9—Gas.

***Purpose:*** To approve Con Ed's tariff filing to make amendments to P.S.C. No. 9—Gas.

***Substance of final rule:*** The Commission, on December 17, 2015, adopted an order approving Consolidated Edison Company of New York, Inc.'s tariff filing to make amendments establishing a new provision under General Information Section 3.8 — Metering and Billing Titled Automated Meter Reading/Advanced Metering Infrastructure Meter Opt-Out,

contained in P.S.C. No. 9 — Gas, subject to the terms and conditions set forth in the order.

***Final rule as compared with last published rule:*** No changes.

***Text of rule may be obtained from:*** John Pitucci, Public Service Commission, Three Empire State Plaza, Albany, New York, 12223, (518) 486-2655, email: john.pitucci@dps.ny.gov An IRS employer ID no. or social security no. is required from firms or persons to be billed 25 cents per page. Please use tracking number found on last line of notice in requests.

***Assessment of Public Comment***

An assessment of public comment is not submitted with this notice because the rule is within the definition contained in section 102(2)(a)(ii) of the State Administrative Procedure Act.

(14-G-0571SA1)

## NOTICE OF ADOPTION

**Amendments to P.S.C. No. 10—Electricity**

**I.D. No.** PSC-03-15-00004-A

**Filing Date:** 2015-12-23

**Effective Date:** 2015-12-23

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following action:

***Action taken:*** On 12/17/15, the PSC adopted an order approving Consolidated Edison Company of New York, Inc.'s (Con Ed) tariff filing to make amendments establishing General Rule 6.10, contained in P.S.C. No. 10 — Electricity.

***Statutory authority:*** Public Service Law, section 66(1) and (12)

***Subject:*** Amendments to P.S.C. No. 10—Electricity.

***Purpose:*** To approve Con Ed's tariff filing to make amendments to P.S.C. No. 10—Electricity.

***Substance of final rule:*** The Commission, on December 17, 2015, adopted an order approving Consolidated Edison Company of New York, Inc.'s tariff filing to make amendments establishing General Rule 6.10 – Automated Meter Reading/Advanced Metering Infrastructure Meter Opt-Out, contained in P.S.C. No. 10—Electricity, subject to the terms and conditions set forth in the order.

***Final rule as compared with last published rule:*** No changes.

***Text of rule may be obtained from:*** John Pitucci, Public Service Commission, Three Empire State Plaza, Albany, New York, 12223, (518) 486-2655, email: john.pitucci@dps.ny.gov An IRS employer ID no. or social security no. is required from firms or persons to be billed 25 cents per page. Please use tracking number found on last line of notice in requests.

***Assessment of Public Comment***

An assessment of public comment is not submitted with this notice because the rule is within the definition contained in section 102(2)(a)(ii) of the State Administrative Procedure Act.

(14-E-0570SA1)

## NOTICE OF ADOPTION

**Submetering of Electricity**

**I.D. No.** PSC-16-15-00010-A

**Filing Date:** 2015-12-24

**Effective Date:** 2015-12-24

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following action:

***Action taken:*** On 12/17/15, the PSC adopted and order authorizing 325 Lex Condominium (325 Lex) to submeter electricity at 325 Lexington Avenue, New York, New York.

***Statutory authority:*** Public Service Law, sections 2, 4(1), 30, 32-48, 52, 53, 65(1), 66(1), (2), (3), (4), (12) and (14)

***Subject:*** Submetering of electricity.

***Purpose:*** To authorize 325 Lex to submeter electricity at 325 Lexington Avenue, New York, New York.

***Substance of final rule:*** The Commission, on December 17, 2015, adopted and order authorizing 325 Lex Condominium to submeter electricity at 325 Lexington Avenue, New York, New York, subject to the terms and conditions set forth in the order.

***Final rule as compared with last published rule:*** No changes.

***Text of rule may be obtained from:*** John Pitucci, Public Service Commission, Three Empire State Plaza, Albany, New York, 12223, (518) 486-2655, email: john.pitucci@dps.ny.gov An IRS employer ID no. or social security no. is required from firms or persons to be billed 25 cents per page. Please use tracking number found on last line of notice in requests.

***Assessment of Public Comment***

An assessment of public comment is not submitted with this notice because the rule is within the definition contained in section 102(2)(a)(ii) of the State Administrative Procedure Act.

(15-E-0181SA1)

## NOTICE OF ADOPTION

**Submetering of Electricity**

**I.D. No.** PSC-17-15-00005-A

**Filing Date:** 2015-12-24

**Effective Date:** 2015-12-24

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following action:

***Action taken:*** On 12/17/15, the PSC adopted and order authorizing Cottage Street Apartments LLC (Cottage) to submeter electricity at 31 Cottage Street, Troy, New York.

***Statutory authority:*** Public Service Law, sections 2, 4(1), 30, 32-48, 52, 53, 65(1), 66(1), (2), (3), (4), (12) and (14)

***Subject:*** Submetering of electricity.

***Purpose:*** To authorize Cottage to submeter electricity at 31 Cottage Street, Troy, New York.

***Substance of final rule:*** The Commission, on December 17, 2015, adopted and order authorizing Cottage Street Apartments LLC to submeter electricity at 31 Cottage Street, Troy, New York, subject to the terms and conditions set forth in the order.

***Final rule as compared with last published rule:*** No changes.

***Text of rule may be obtained from:*** John Pitucci, Public Service Commission, Three Empire State Plaza, Albany, New York, 12223, (518) 486-2655, email: john.pitucci@dps.ny.gov An IRS employer ID no. or social security no. is required from firms or persons to be billed 25 cents per page. Please use tracking number found on last line of notice in requests.

***Assessment of Public Comment***

An assessment of public comment is not submitted with this notice because the rule is within the definition contained in section 102(2)(a)(ii) of the State Administrative Procedure Act.

(15-E-0200SA1)

## NOTICE OF ADOPTION

**Revisions to the UBP**

**I.D. No.** PSC-32-15-00004-A

**Filing Date:** 2015-12-23

**Effective Date:** 2015-12-23

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following action:

***Action taken:*** On 12/17/15, the PSC adopted an order authorizing revisions to the Uniform Business Practices (UBP) reducing the on-cycle gas switching timeline from 15 to 10 calendar days.

***Statutory authority:*** Public Service Law, sections 5(1), 65(1), (2), (3), 66(1), (2), (3), (5), (8), (9) and (12)

***Subject:*** Revisions to the UBP.

***Purpose:*** To authorize revisions to the UBP.

***Substance of final rule:*** The Commission, on December 17, 2015, adopted an order authorizing revisions to the Uniform Business Practices reducing the on-cycle gas switching timeline from 15 to 10 calendar days, subject to the terms and conditions set forth in the order.

***Final rule as compared with last published rule:*** No changes.

***Text of rule may be obtained from:*** John Pitucci, Public Service Commission, Three Empire State Plaza, Albany, New York, 12223, (518) 486-2655, email: john.pitucci@dps.ny.gov An IRS employer ID no. or social security no. is required from firms or persons to be billed 25 cents per page. Please use tracking number found on last line of notice in requests.

***Assessment of Public Comment***

An assessment of public comment is not submitted with this notice because the rule is within the definition contained in section 102(2)(a)(ii) of the State Administrative Procedure Act.

(12-M-0476SA11)

## NOTICE OF ADOPTION

**Petition for Waivers**

**I.D. No.** PSC-39-15-00009-A
**Filing Date:** 2015-12-28
**Effective Date:** 2015-12-28

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following action:

***Action taken:*** On 12/17/15, the PSC adopted an order denying Dorothy Bedor's petition for waivers of 16 NYCRR 100.3 and Niagara Mohawk Power Corporation d/b/a National Grid tariff rules P.S.C. No. 219 — Gas, Rule 10.4 and P.S.C. No. 220 — Electricity, Rule 16.6.

***Statutory authority:*** Public Service Law, sections 65 and 66

***Subject:*** Petition for waivers.

***Purpose:*** To deny Dorothy Bedor's petition for waivers.

***Substance of final rule:*** The Commission, on December 17, 2015, adopted an order denying Dorothy Bedor's petition for waivers of 16 NYCRR 100.3 and Niagara Mohawk Power Corporation d/b/a National Grid tariff rules P.S.C. No. 219 — Gas, Rule 10.4 and P.S.C. No. 220 — Electricity, Rule 16.6, subject to the terms and conditions set forth in the order.

***Final rule as compared with last published rule:*** No changes.

***Text of rule may be obtained from:*** John Pitucci, Public Service Commission, Three Empire State Plaza, Albany, New York, 12223, (518) 486-2655, email: john.pitucci@dps.ny.gov An IRS employer ID no. or social security no. is required from firms or persons to be billed 25 cents per page. Please use tracking number found on last line of notice in requests.

***Assessment of Public Comment***

An assessment of public comment is not submitted with this notice because the rule is within the definition contained in section 102(2)(a)(ii) of the State Administrative Procedure Act.

(15-M-0272SA1)

## NOTICE OF ADOPTION

**Use of an Electric Submeter**

**I.D. No.** PSC-41-15-00003-A
**Filing Date:** 2015-12-24
**Effective Date:** 2015-12-24

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following action:

***Action taken:*** On 12/17/15, the PSC adopted an order approving Siemens Industry, Inc.'s (Siemens) petition to use the Siemens Embedded Micro Metering Module (SEM3) for residential electric submetering applications in New York State.

***Statutory authority:*** Public Service Law, section 67(1)

***Subject:*** Use of an electric submeter.

***Purpose:*** To approve Siemens' petition to use the SEM3.

***Substance of final rule:*** The Commission, on December 17, 2015, adopted an order approving Siemens Industry, Inc. 's petition to use the high accuracy version of the Siemens Embedded Micro Metering Module submeter with solid core transformers for residential electric submetering applications in New York State, subject to the terms and conditions set forth in the order.

***Final rule as compared with last published rule:*** No changes.

***Text of rule may be obtained from:*** John Pitucci, Public Service Commission, Three Empire State Plaza, Albany, New York, 12223, (518) 486-2655, email: john.pitucci@dps.ny.gov An IRS employer ID no. or social security no. is required from firms or persons to be billed 25 cents per page. Please use tracking number found on last line of notice in requests.

***Assessment of Public Comment***

An assessment of public comment is not submitted with this notice because the rule is within the definition contained in section 102(2)(a)(ii) of the State Administrative Procedure Act.

(14-E-0561SA1)

## PROPOSED RULE MAKING
## NO HEARING(S) SCHEDULED

**Application of the Earnings Sharing Mechanism Related to a Partial Year Period**

**I.D. No.** PSC-02-16-00007-P

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following proposed rule:

***Proposed Action:*** The Public Service Commission is considering a petition filed by Orange and Rockland Utilities, Inc. to address the application of the earnings sharing mechanism related to a partial year period (a period less than 12 months).

***Statutory authority:*** Public Service Law, sections 4, 65 and 66

***Subject:*** Application of the earnings sharing mechanism related to a partial year period.

***Purpose:*** To consider Orange and Rockland Utilities, Inc.'s petition to address the application of the earnings sharing mechanism.

***Substance of proposed rule:*** The Public Service Commission is considering a petition by Orange and Rockland Utilities, Inc. to change the application of the earnings sharing mechanism related to a partial year period (a period less than 12 months). The Commission may adopt, reject or modify, in whole or in part, the petition and may resolve other related matters.

***Text of proposed rule and any required statements and analyses may be obtained by filing a Document Request Form (F-96) located on our website http://www.dps.ny.gov/f96dir.htm. For questions, contact:*** John Pitucci, Department of Public Service, 3 Empire State Plaza, Albany, New York 12223-1350, (518) 486-2655, email: john.pitucci@dps.ny.gov

***Data, views or arguments may be submitted to:*** Kathleen H. Burgess, Secretary, Public Service Commission, 3 Empire State Plaza, Albany, New York 12223-1350, (518) 474-6530, email: secretary@dps.ny.gov

***Public comment will be received until:*** 45 days after publication of this notice.

***Regulatory Impact Statement, Regulatory Flexibility Analysis, Rural Area Flexibility Analysis and Job Impact Statement***

Statements and analyses are not submitted with this notice because the proposed rule is within the definition contained in section 102(2)(a)(ii) of the State Administrative Procedure Act.

(11-E-0408SP5)

## PROPOSED RULE MAKING
## NO HEARING(S) SCHEDULED

**Establishment of the Regulatory Regime and Financing Applicable to Certain Electric Transmission Facilities**

**I.D. No.** PSC-02-16-00008-P

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following proposed rule:

***Proposed Action:*** The Commission is considering a petition filed by New York Transco LLC for approval of a lightened regulatory regime and financing in connection with certain electric transmission facilities.

***Statutory authority:*** Public Service Law, sections 2(12), (13), 5(1)(b), 5-b, 18-a, 64-69, 69-a, 70, 71, 72, 72-a, 75, 76, 105-114, 114-a, 115, 117, 118, 119-a, 119-b and 119-c

***Subject:*** Establishment of the regulatory regime and financing applicable to certain electric transmission facilities.

***Purpose:*** Consideration of a lightened regulatory regime and financing for certain electric transmission facilities.

***Substance of proposed rule:*** The Public Service Commission (Commission) is considering a petition filed by New York Transco LLC on December 15, 2015, requesting approval of a lightened regulatory regime and financing in connection with certain electric transmission facilities that transmit electric power in the wholesale electricity market. The petitioner requests an order providing that the petitioner will be regulated as an electric corporation under a lightened regulatory regime consistent with that imposed on the owners-operators of competitive wholesale generators and interstate transmission companies engaged in the transmission of electricity in interstate commerce. The Commission may adopt, reject, or modify, in whole or in part, the relief proposed and may resolve other related matters.

***Text of proposed rule and any required statements and analyses may be obtained by filing a Document Request Form (F-96) located on our website http://www.dps.ny.gov/f96dir.htm. For questions, contact:*** John Pitucci, Public Service Commission, 3 Empire State Plaza, Albany, New York 12223-1350, (518) 486-2655, email: john.pitucci@dps.ny.gov

***Data, views or arguments may be submitted to:*** Kathleen H. Burgess, Secretary, Public Service Commission, 3 Empire State Plaza, Albany, New York 12223-1350, (518) 474-6530, email: secretary@dps.ny.gov

***Public comment will be received until:*** 45 days after publication of this notice.

***Regulatory Impact Statement, Regulatory Flexibility Analysis, Rural Area Flexibility Analysis and Job Impact Statement***
Statements and analyses are not submitted with this notice because the proposed rule is within the definition contained in section 102(2)(a)(ii) of the State Administrative Procedure Act.
(15-E-0743SP1)

## PROPOSED RULE MAKING NO HEARING(S) SCHEDULED

### Proposed Revisions to SC Nos. 1 and 2 to Include Net Metering Services for Solar and Wind Generation

**I.D. No.** PSC-02-16-00009-P

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following proposed rule:

***Proposed Action:*** The Commission is considering a proposal by the Salamanca Board of Public Utilities to revise Service Classification (SC) Nos. 1 and 2, residential and non-residential, to include net metering services for solar and wind generation.

***Statutory authority:*** Public Service Law, sections 4(1), 5(1), 65(1), 66(1), (4), (5), (12), 66-j and 66-l

***Subject:*** Proposed revisions to SC Nos. 1 and 2 to include net metering services for solar and wind generation.

***Purpose:*** To consider revisions to SC Nos. 1 and 2 to include net metering services for solar and wind generation.

***Substance of proposed rule:*** The Public Service Commission (Commission) is considering modifications proposed by the Salamanca Board of Public Utilities (Salamanca) to Service Classification (SC) Nos. 1 and 2, residential and non-residential, contained in P.S.C. No. 1 – Electricity. Salamanca proposes to amend SC Nos. 1 and 2 to include net metering services for solar and wind generation. Salamanca's proposed provisions will apply to residential and non-residential customers receiving service under SC Nos. 1 and 2, respectively, and who own or operate solar or wind generating equipment with a rated capacity of no more than 15 kW located and used on their premises. The aggregate maximum purchase by Salamanca of solar or wind generation will be 200 kW, or one percent of Salamanca's average system load. The proposed amendments have an effective date of April 1, 2016. The Commission may adopt, modify, or reject, in whole or in part, the relief proposed and may resolve other related matters.

***Text of proposed rule and any required statements and analyses may be obtained by filing a Document Request Form (F-96) located on our website http://www.dps.ny.gov/f96dir.htm. For questions, contact:*** John Pitucci, Public Service Commission, 3 Empire State Plaza, Albany, New York 12223-1350, (518) 486-2655, email: john.pitucci@dps.ny.gov

***Data, views or arguments may be submitted to:*** Kathleen H. Burgess, Secretary, Public Service Commission, 3 Empire State Plaza, Albany, New York 12223-1350, (518) 474-6530, email: secretary@dps.ny.gov

***Public comment will be received until:*** 45 days after publication of this notice.

***Regulatory Impact Statement, Regulatory Flexibility Analysis, Rural Area Flexibility Analysis and Job Impact Statement***
Statements and analyses are not submitted with this notice because the proposed rule is within the definition contained in section 102(2)(a)(ii) of the State Administrative Procedure Act.
(15-E-0753SP1)

## PROPOSED RULE MAKING NO HEARING(S) SCHEDULED

### 2015 Electric Emergency Response Plans for New York's Six Major Electric Utilities

**I.D. No.** PSC-02-16-00010-P

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following proposed rule:

***Proposed Action:*** The Commission is considering New York's six major electric utilities' 2015 Electric Emergency Response Plans filed on 12/15/15.

***Statutory authority:*** Public Service Law, sections 5(1)(b) and 66(21)(a)

***Subject:*** 2015 Electric Emergency Response Plans for New York's six major electric utilities.

***Purpose:*** To consider the 2015 Electric Emergency Response Plans for New York's six major electric utilities.

***Substance of proposed rule:*** The Public Service Commission is considering the 2015 Electric Emergency Response Plans for Consolidated Edison Company of New York, Inc., Orange and Rockland Utilities, Inc., Central Hudson Gas and Electric Corporation, New York State Electric and Gas Corporation, Rochester Gas and Electric Corporation, and Niagara Mohawk Power Corporation d/b/a National Grid (collectively referred to hereinafter as Utilities). The Commission may adopt, reject, or modify, in whole or in part, the relief proposed and may resolve related matters.

***Text of proposed rule and any required statements and analyses may be obtained by filing a Document Request Form (F-96) located on our website http://www.dps.ny.gov/f96dir.htm. For questions, contact:*** John Pitucci, Public Service Commission, 3 Empire State Plaza, Albany, New York 12223-1350, (518) 486-2655, email: john.pitucci@dps.ny.gov

***Data, views or arguments may be submitted to:*** Kathleen H. Burgess, Secretary, Public Service Commission, 3 Empire State Plaza, Albany, New York 12223-1350, (518) 474-6530, email: secretary@dps.ny.gov

***Public comment will be received until:*** 45 days after publication of this notice.

***Regulatory Impact Statement, Regulatory Flexibility Analysis, Rural Area Flexibility Analysis and Job Impact Statement***
Statements and analyses are not submitted with this notice because the proposed rule is within the definition contained in section 102(2)(a)(ii) of the State Administrative Procedure Act.
(15-E-0689SP1)

## PROPOSED RULE MAKING NO HEARING(S) SCHEDULED

### Proposed Tariff Revisions Intended to Clarify HEFPA Requirements Related to Court Orders for Gaining Access to Meters

**I.D. No.** PSC-02-16-00011-P

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following proposed rule:

***Proposed Action:*** The Commission is considering a proposal by Orange and Rockland Utilities, Inc. to modify its electric tariff schedule, P.S.C. No. 3, General Information Section 7.4, to clarify HEFPA requirements related to court orders for gaining access to meters.

***Statutory authority:*** Public Service Law, sections 39, 47 and 66(12)

***Subject:*** Proposed tariff revisions intended to clarify HEFPA requirements related to court orders for gaining access to meters.

***Purpose:*** To consider tariff revisions intended to clarify HEFPA requirements related to court orders for gaining access to meters.

***Substance of proposed rule:*** The Public Service Commission (Commission) is considering modifications proposed by Orange and Rockland Utilities, Inc. (O&R or the Company) to P.S.C. No. 3 – Electricity. O&R proposes to revise General Information Section 7.4 – Metering and Billing, to clarify the Home Energy Fair Practices Act (HEFPA) requirements related to court orders for gaining access to customer meters. O&R proposes to align its language to more closely match the HEFPA language contained in 16 NYCRR § 11.13(e), stating that if the Company intends to obtain a court order, it shall inform the customer by certified or registered letter after the second appointment request. The proposed amendments have an effective date of March 31, 2016. The Commission may adopt,

modify, or reject, in whole or in part, the relief proposed and may resolve related matters.

***Text of proposed rule and any required statements and analyses may be obtained by filing a Document Request Form (F-96) located on our website http://www.dps.ny.gov/f96dir.htm. For questions, contact:*** John Pitucci, Public Service Commission, 3 Empire State Plaza, Albany, New York 12223-1350, (518) 486-2655, email: john.pitucci@dps.ny.gov

***Data, views or arguments may be submitted to:*** Kathleen H. Burgess, Secretary, Public Service Commission, 3 Empire State Plaza, Albany, New York 12223-1350, (518) 474-6530, email: secretary@dps.ny.gov

***Public comment will be received until:*** 45 days after publication of this notice.

***Regulatory Impact Statement, Regulatory Flexibility Analysis, Rural Area Flexibility Analysis and Job Impact Statement***

Statements and analyses are not submitted with this notice because the proposed rule is within the definition contained in section 102(2)(a)(ii) of the State Administrative Procedure Act.

(15-E-0754SP1)

## PROPOSED RULE MAKING NO HEARING(S) SCHEDULED

### NYSEG's Procedures, Terms and Conditions of its Targeted Financial Assistance Program

**I.D. No.** PSC-02-16-00012-P

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following proposed rule:

***Proposed Action:*** The Public Service Commission is considering a petition by New York State Electric and Gas Corporation for a waiver or modification of provisions in two of its Non Rate Economic Development Programs.

***Statutory authority:*** Public Service Law, sections 5(1)(b), 65(1), (2), (3), 66(1), (3), (5), (10), (12) and (12-b)

***Subject:*** NYSEG's procedures, terms and conditions of its Targeted Financial Assistance Program.

***Purpose:*** Provide additional economic development program assistance for a new manufacturing facility.

***Substance of proposed rule:*** The Public Service Commission is considering a petition filed by New York State Electric and Gas Corporation requesting a waiver for the provisions of its Targeted Financial Assistance and Natural Gas Infrastructure Investment Programs which would allow the Company to provide a grant, from its accumulated economic development reserve fund, to a new manufacturing facility having appreciable economic merit. The Commission may adopt, reject or modify the petition, in whole or in part, the relief proposed and may address any related matters.

***Text of proposed rule and any required statements and analyses may be obtained by filing a Document Request Form (F-96) located on our website http://www.dps.ny.gov/f96dir.htm. For questions, contact:*** John Pitucci, Public Service Commission, 3 Empire State Plaza, Albany, New York 12223-1350, (518) 486-2655, email: John.Pitucci@dps.ny.gov

***Data, views or arguments may be submitted to:*** Kathleen H. Burgess, Secretary, Public Service Commission, 3 Empire State Plaza, Albany, New York 12223-1350, (518) 474-6530, email: secretary@dps.ny.gov

***Public comment will be received until:*** 45 days after publication of this notice.

***Regulatory Impact Statement, Regulatory Flexibility Analysis, Rural Area Flexibility Analysis and Job Impact Statement***

Statements and analyses are not submitted with this notice because the proposed rule is within the definition contained in section 102(2)(a)(ii) of the State Administrative Procedure Act.

(15-M-0708SP1)

## PROPOSED RULE MAKING NO HEARING(S) SCHEDULED

### Tariff Revisions Intended to Clarify HEFPA Requirements Related to Court Orders for Gaining Access to Meters

**I.D. No.** PSC-02-16-00013-P

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following proposed rule:

***Proposed Action:*** The Commission is considering a proposal by Orange and Rockland Utilities, Inc. to modify its gas tariff schedule, P.S.C. No. 4, General Information Section 6, to clarify HEFPA requirements related to court orders for gaining access to meters.

***Statutory authority:*** Public Service Law, sections 39, 47 and 66(12)

***Subject:*** Tariff revisions intended to clarify HEFPA requirements related to court orders for gaining access to meters.

***Purpose:*** To consider tariff revisions intended to clarify HEFPA requirements related to court orders for gaining access to meters.

***Substance of proposed rule:*** The Public Service Commission (Commission) is considering modifications proposed by Orange and Rockland Utilities, Inc. (O&R or the Company) to P.S.C. No. 4 – Gas. O&R proposes to revise General Information Section 6 – Metering and Billing, to clarify the Home Energy Fair Practices Act (HEFPA) requirements related to court orders for gaining access to customer meters. O&R proposes to align its language to more closely match the HEFPA language contained in 16 NYCRR § 11.13(e), stating that if the Company intends to obtain a court order, it shall inform the customer by certified or registered letter after the second appointment request. The proposed amendments have an effective date of March 31, 2016. The Commission may adopt, modify, or reject, in whole or in part, the relief proposed and may resolve related matters.

***Text of proposed rule and any required statements and analyses may be obtained by filing a Document Request Form (F-96) located on our website http://www.dps.ny.gov/f96dir.htm. For questions, contact:*** John Pitucci, Public Service Commission, 3 Empire State Plaza, Albany, New York 12223-1350, (518) 486-2655, email: john.pitucci@dps.ny.gov

***Data, views or arguments may be submitted to:*** Kathleen H. Burgess, Secretary, Public Service Commission, 3 Empire State Plaza, Albany, New York 12223-1350, (518) 474-6530, email: secretary@dps.ny.gov

***Public comment will be received until:*** 45 days after publication of this notice.

***Regulatory Impact Statement, Regulatory Flexibility Analysis, Rural Area Flexibility Analysis and Job Impact Statement***

Statements and analyses are not submitted with this notice because the proposed rule is within the definition contained in section 102(2)(a)(ii) of the State Administrative Procedure Act.

(15-G-0755SP1)

# Office of Victim Services

## NOTICE OF ADOPTION

### Attorney's Fees for Representation Before the Office and/or Before the Appellate Division Upon Judicial Review

**I.D. No.** OVS-45-15-00008-A

**Filing No.** 1147

**Filing Date:** 2015-12-29

**Effective Date:** 2016-01-13

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following action:

***Action taken:*** Addition of section 525.3(h); and amendment of section 525.9 of Title 9 NYCRR.

***Statutory authority:*** Executive Law, sections 623(3) and 626(1)

***Subject:*** Attorney's fees for representation before the office and/or before the appellate division upon judicial review.

***Purpose:*** The purpose of this rule change is to limit attorney's fees pursuant to article 22 of the Executive Law.

***Text or summary was published*** in the November 10, 2015 issue of the Register, I.D. No. OVS-45-15-00008-P.

***Final rule as compared with last published rule:*** No changes.

***Text of rule and any required statements and analyses may be obtained from:*** John Watson, General Counsel, Office of Victim Services, AE Smith State Office Bldg., 80 South Swan Street, 2nd Floor, Albany, NY 12210, (518) 457-8066, email: john.watson@ovs.ny.gov

***Initial Review of Rule***

As a rule that does not require a RFA, RAFA or JIS, this rule will be initially reviewed in the calendar year 2021, which is no later than the 5th year after the year in which this rule is being adopted.

***Assessment of Public Comment***
The agency received no public comment.

## NOTICE OF ADOPTION

**Reduction or Denial of a Claim Based on Victim's Conduct Contributing**

**I.D. No.** OVS-45-15-00009-A
**Filing No.** 1146
**Filing Date:** 2015-12-29
**Effective Date:** 2016-01-13

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following action:

***Action taken:*** Amendment of section 525.6(b); and addition of section 525.12(m) to Title 9 NYCRR.

***Statutory authority:*** Executive Law, sections 623(3) and 631(5)

***Subject:*** Reduction or denial of a claim based on victim's conduct contributing.

***Purpose:*** Create standards for the reduction or denial of a claim based on the victim's conduct contributing.

***Text or summary was published*** in the November 10, 2015 issue of the Register, I.D. No. OVS-45-15-00009-P.

***Final rule as compared with last published rule:*** No changes.

***Text of rule and any required statements and analyses may be obtained from:*** John Watson, General Counsel, Office of Victim Services, AE Smith State Office Bldg., 80 South Swan Street, 2nd Floor, Albany, NY 12210, (518) 457-8066, email: john.watson@ovs.ny.gov

***Initial Review of Rule***
As a rule that does not require a RFA, RAFA or JIS, this rule will be initially reviewed in the calendar year 2021, which is no later than the 5th year after the year in which this rule is being adopted.

***Assessment of Public Comment***
The agency received no public comment.

## HEARINGS SCHEDULED FOR PROPOSED RULE MAKINGS

| Agency I.D. No. | Subject Matter | Location—Date—Time |
|---|---|---|
| **Environmental Conservation, Department of** | | |
| ENV-51-15-00004-P | Distributed generation (DG) sources that feed the distribution grid or produce electricity for use at host facilities or both | Department of Environment Conservation, One Hunters Point Plaza, 47-40 21st St., Long Island City, NY—Feb. 8, 2016, 10:00 a.m.<br>Department of Environment Conservation, 6274 E. Avon-Lima Rd., Avon, NY—Feb. 9, 2016, 1:00 p.m.<br>Department of Environment Conservation, 625 Broadway, Public Assembly Rm. 129, Albany, NY—Feb. 11, 2016, 9:00 a.m. |
| **Long Island Power Authority** | | |
| LPA-02-16-00014-P | Dynamic load management programs including direct load control, peak shaving, and contingency load relief | H. Lee Dennison Bldg., 100 Veterans Memorial Highway, Hauppauge, NY—Feb. 29, 2016, 10:00 a.m.<br>Long Island Power Authority, 333 Earle Ovington Blvd., 4th Fl., Uniondale, NY—Feb. 29, 2016, 2:00 p.m. |
| LPA-02-16-00015-P | Community distributed generation net metering, remote net metering, and size limits for fuel cells | H. Lee Dennison Bldg., 100 Veterans Memorial Highway, Hauppauge, NY—Feb. 29, 2016, 10:00 a.m.<br>Long Island Power Authority, 333 Earle Ovington Blvd., 4th Fl., Uniondale, NY—Feb. 29, 2016, 2:00 p.m. |
| **State, Department of** | | |
| DOS-47-15-00016-P | State Energy Conservation Construction Code (the "Energy Code") | Department of State, 99 Washington Ave., Conference Rm. 505, Albany, NY—Jan. 25, 2016, 10:00 a.m.<br>Perry B. Duryea Jr. State Office Bldg., 250 Veterans Memorial Hwy., Training Rm. 1A16, Hauppauge, NY—Jan. 26, 2016, 10:00 a.m.<br>Hughes State Office Bldg., 333 E. Washington St., Main Hearing Rm. - 1st Fl., Syracuse, NY—Jan. 27, 2016, 10:00 a.m.<br>Walter J. Mahoney State Office Bldg., 65 Court St., Hearing Rm. 4, Buffalo, NY—Jan. 28, 2016, 10:00 a.m.<br>Department of State, 123 William St., Conference Rm. 231, New York, NY—Jan. 29, 2016, 10:00 a.m. |
| DOS-47-15-00017-P | To adopt updated provisions for the Uniform Fire Prevention and Building Code ("Uniform Code") | Department of State, 99 Washington Ave., Conference Rm. 505, Albany, NY—Jan. 25, 2016, 10:00 a.m.<br>Perry B. Duryea Jr. State Office Bldg., 250 Veterans Memorial Hwy., Training Rm. 1A16, Hauppauge, NY—Jan. 26, 2016, 10:00 a.m.<br>Hughes State Office Bldg., 333 E. Washington St., Main Hearing Rm. - 1st Fl., Syracuse, NY—Jan. 27, 2016, 10:00 a.m.<br>Walter J. Mahoney State Office Bldg., 65 Court St., Hearing Rm. 4, Buffalo, NY—Jan. 28, 2016, 10:00 a.m. |

# ACTION PENDING INDEX

The action pending index is a list of all proposed rules which are currently being considered for adoption. A proposed rule is added to the index when the notice of proposed rule making is first published in the *Register*. A proposed rule is removed from the index when any of the following occur: (1) the proposal is adopted as a permanent rule; (2) the proposal is rejected and withdrawn from consideration; or (3) the proposal's notice expires.

Most notices expire in approximately 12 months if the agency does not adopt or reject the proposal within that time. The expiration date is printed in the second column of the action pending index. Some notices, however, never expire. Those notices are identified by the word "exempt" in the second column. Actions pending for one year or more are preceded by an asterisk(*).

For additional information concerning any of the proposals listed in the action pending index, use the identification number to locate the text of the original notice of proposed rule making. The identification number contains a code which identifies the agency, the issue of the *Register* in which the notice was printed, the year in which the notice was printed and the notice's serial number. The following diagram shows how to read identification number codes.

| Agency code | Issue number | Year published | Serial number | Action Code |
|---|---|---|---|---|
| **AAM** | **01** | **12** | **00001** | **P** |

Action codes: P — proposed rule making; EP — emergency and proposed rule making (expiration date refers to proposed rule); RP — revised rule making

| Agency I.D. No. | Expires | Subject Matter | Purpose of Action |
|---|---|---|---|
| **AGRICULTURE AND MARKETS, DEPARTMENT OF** | | | |
| AAM-28-15-00003-P | 07/14/16 | Incorporate by reference in 1 NYCRR of the 2015 edition of National Institute of Standards and Technology (''NIST'') Handbook 133 | To incorporate by reference in 1 NYCRR the 2015 edition of NIST Handbook 133 |
| AAM-32-15-00001-P | 08/11/16 | Petroleum products and delivery devices; exemption of maple syrup and honey producers from food processing | To repeal requirements relating to petroleum devices and products, and to maple syrup and honey producers |
| **CHILDREN AND FAMILY SERVICES, OFFICE OF** | | | |
| CFS-20-15-00004-P | 05/19/16 | Casework contact for foster children placed out of state | To conform NYS standards for casework contacts of foster children under age 18 who are placed out of state to federal standards |
| CFS-49-15-00005-P | 12/08/16 | Youth development program funding and implementation | To implement changes in the Executive Law regarding youth development program funding and implementation |
| **CIVIL SERVICE, DEPARTMENT OF** | | | |
| CVS-01-15-00023-P | 01/07/16 | Jurisdictional Classification | To classify a position in the non-competitive class |
| CVS-11-15-00004-P | 03/17/16 | Jurisdictional Classification | To classify a position in the non-competitive class |
| CVS-19-15-00005-P | 05/12/16 | Jurisdictional Classification | To classify a position in the non-competitive class |
| CVS-29-15-00006-P | 07/21/16 | Jurisdictional Classification | To delete a position from and classify positions in the exempt class |
| CVS-29-15-00007-P | 07/21/16 | Jurisdictional Classification | To delete positions from the non-competitive class |
| CVS-29-15-00008-P | 07/21/16 | Jurisdictional Classification | To classify positions in the non-competitive class |

| Agency I.D. No. | Expires | Subject Matter | Purpose of Action |
|---|---|---|---|
| **CIVIL SERVICE, DEPARTMENT OF** | | | |
| CVS-29-15-00009-P | 07/21/16 | Jurisdictional Classification | To classify a position in the non-competitive class |
| CVS-29-15-00010-P | 07/21/16 | Jurisdictional Classification | To delete positions from the exempt class |
| CVS-29-15-00011-P | 07/21/16 | Jurisdictional Classification | To classify a position in the exempt class |
| CVS-34-15-00006-P | 08/25/16 | Jurisdictional Classification | To classify a position in the exempt class |
| CVS-34-15-00007-P | 08/25/16 | Jurisdictional Classification | To classify a position in the exempt class and to classify a position in the non-competitive class |
| CVS-34-15-00008-P | 08/25/16 | Jurisdictional Classification | To delete positions from the non-competitive class |
| CVS-34-15-00009-P | 08/25/16 | Jurisdictional Classification | To delete positions from and classify positions in the non-competitive class |
| CVS-34-15-00010-P | 08/25/16 | Jurisdictional Classification | To classify a position in the non-competitive class |
| CVS-34-15-00011-P | 08/25/16 | Jurisdictional Classification | To delete positions from and classify positions in the exempt class |
| CVS-34-15-00012-P | 08/25/16 | Jurisdictional Classification | To delete positions from and classify positions in the exempt class |
| CVS-34-15-00013-P | 08/25/16 | Jurisdictional Classification | To delete a position from and classify a position in the exempt class |
| CVS-36-15-00001-P | 09/08/16 | Jurisdictional Classification | To classify a position in the non-competitive class |
| CVS-36-15-00002-P | 09/08/16 | Jurisdictional Classification | To classify positions in the exempt class |
| CVS-36-15-00003-P | 09/08/16 | Jurisdictional Classification | To classify positions in the exempt class |
| CVS-36-15-00004-P | 09/08/16 | Jurisdictional Classification | To classify a position in the non-competitive class |
| CVS-36-15-00005-P | 09/08/16 | Jurisdictional Classification | To delete a position from and classify a position in the exempt class |
| CVS-36-15-00006-P | 09/08/16 | Jurisdictional Classification | To classify a position in the non-competitive class |
| CVS-36-15-00007-P | 09/08/16 | Jurisdictional Classification | To classify a position in the non-competitive class |
| CVS-36-15-00008-P | 09/08/16 | Jurisdictional Classification | To classify a position in the non-competitive class |
| CVS-36-15-00009-P | 09/08/16 | Jurisdictional Classification | To classify positions in the exempt class |
| CVS-36-15-00010-P | 09/08/16 | Jurisdictional Classification | To classify positions in the exempt class |
| CVS-36-15-00011-P | 09/08/16 | Jurisdictional Classification | To classify positions in the non-competitive class |

| Agency I.D. No. | Expires | Subject Matter | Purpose of Action |
|---|---|---|---|
| **CIVIL SERVICE, DEPARTMENT OF** | | | |
| CVS-36-15-00012-P | 09/08/16 | Jurisdictional Classification | To delete a position from and classify a position in the non-competitive class |
| CVS-36-15-00013-P | 09/08/16 | Jurisdictional Classification | To delete positions from and classify positions in the non-competitive class |
| CVS-36-15-00014-P | 09/08/16 | Jurisdictional Classification | To delete a position from and classify positions in the non-competitive class |
| CVS-36-15-00015-P | 09/08/16 | Jurisdictional Classification | To delete positions/subheadings from and classify positions in the exempt class and delete positions from non-competitive class |
| CVS-36-15-00016-P | 09/08/16 | Jurisdictional Classification | To delete positions from and classify positions in the non-competitive class |
| CVS-36-15-00017-P | 09/08/16 | Jurisdictional Classification | To classify a position in the exempt class and to delete a position from the non-competitive class |
| CVS-36-15-00018-P | 09/08/16 | Jurisdictional Classification | To delete positions from and classify positions in the non-competitive class |
| CVS-44-15-00004-P | 11/03/16 | Jurisdictional Classification | To classify a position in the exempt class |
| CVS-44-15-00005-P | 11/03/16 | Jurisdictional Classification | To classify positions in the non-competitive class |
| CVS-44-15-00006-P | 11/03/16 | Jurisdictional Classification | To classify positions in the exempt class |
| CVS-44-15-00007-P | 11/03/16 | Jurisdictional Classification | To classify positions in the exempt class |
| CVS-44-15-00008-P | 11/03/16 | Jurisdictional Classification | To classify positions in the non-competitive class |
| CVS-44-15-00009-P | 11/03/16 | Jurisdictional Classification | To classify positions in the exempt class |
| CVS-44-15-00010-P | 11/03/16 | Jurisdictional Classification | To classify positions in the non-competitive class |
| CVS-44-15-00011-P | 11/03/16 | Jurisdictional Classification | To delete a position from and classify positions in the exempt class |
| CVS-44-15-00012-P | 11/03/16 | Jurisdictional Classification | To classify a subheading and positions in the non-competitive class |
| CVS-44-15-00013-P | 11/03/16 | Jurisdictional Classification | To classify a position in the non-competitive class |
| CVS-44-15-00014-P | 11/03/16 | Jurisdictional Classification | To delete a position from and classify a position in the non-competitive class |
| CVS-44-15-00015-P | 11/03/16 | Jurisdictional Classification | To classify a position in the non-competitive class |
| CVS-44-15-00016-P | 11/03/16 | Jurisdictional Classification | To delete a position from and classify a position in the non-competitive class |
| CVS-44-15-00017-P | 11/03/16 | Jurisdictional Classification | To classify a position in the non-competitive class |

| Agency I.D. No. | Expires | Subject Matter | Purpose of Action |
|---|---|---|---|
| **CIVIL SERVICE, DEPARTMENT OF** | | | |
| CVS-44-15-00018-P | 11/03/16 | Jurisdictional Classification | To classify positions in the exempt class and to classify a position from the non-competitive class |
| CVS-45-15-00003-P | 11/09/16 | Jurisdictional Classification | To classify positions in the exempt class |
| CVS-45-15-00004-P | 11/09/16 | Jurisdictional Classification | To classify a position in the exempt class |
| CVS-45-15-00005-P | 11/09/16 | Jurisdictional Classification | To classify a position in the exempt class |
| CVS-45-15-00006-P | 11/09/16 | Jurisdictional Classification | To classify a position in the non-competitive class |
| CVS-45-15-00007-P | 11/09/16 | Jurisdictional Classification | To classify positions in the non-competitive class |
| CVS-51-15-00002-P | 12/22/16 | Jurisdictional Classification | To classify a position in the non-competitive class |
| CVS-51-15-00003-P | 12/22/16 | Jurisdictional Classification | To classify a position in the exempt class |
| CVS-02-16-00003-P | 01/12/17 | Jurisdictional Classification | To classify a position in the exempt class |
| CVS-02-16-00004-P | 01/12/17 | Jurisdictional Classification | To delete positions from and classify positions in the non-competitive class |
| CVS-02-16-00005-P | 01/12/17 | Jurisdictional Classification | To delete a position from and classify a position in the non-competitive class |
| CVS-02-16-00006-P | 01/12/17 | Jurisdictional Classification | To delete positions from the non-competitive class |
| **CORRECTION, STATE COMMISSION OF** | | | |
| CMC-45-15-00024-P | 11/09/16 | Manner in which significant correctional facility incidents are reported to the Commission of Correction | To allow electronic filing of reportable incidents to the Commission of Correction |
| **CORRECTIONS AND COMMUNITY SUPERVISION, DEPARTMENT OF** | | | |
| CCS-35-15-00018-P | 09/01/16 | Applicability of Title and Definitions | Update the Department name and add new definitions |
| CCS-52-15-00001-EP | 12/29/16 | Standards of Inmate Behavior; Institutional Rules of Conduct; Rule Series 113 Contraband | Provide clarification regarding the definition of a controlled substance for the purposes of this rule |
| CCS-52-15-00002-EP | 12/29/16 | Contraband Drugs | This proposal introduces a second testing system that may be utilized when testing for suspected contraband drugs |
| CCS-52-15-00003-P | 12/29/16 | Washington Correctional Facility | Amend the age for general confinement to 18 years and older |
| **CRIMINAL JUSTICE SERVICES, DIVISION OF** | | | |
| CJS-52-15-00018-P | 12/29/16 | Basic Course for Correction Officers | Set forth minimum standards/clear and specific requirements of a basic course for correction officers |

| Agency I.D. No. | Expires | Subject Matter | Purpose of Action |
|---|---|---|---|
| **ECONOMIC DEVELOPMENT, DEPARTMENT OF** | | | |
| EDV-49-15-00002-EP | 12/08/16 | Employee Training Incentive Program | Establish procedures for the implementation of the Employee Training Incentive Program |
| **EDUCATION DEPARTMENT** | | | |
| EDU-10-15-00011-P | 03/10/16 | Off-premises delivery of prescription medications by New York resident pharmacies | To require pharmacies to obtain patient consent before automatically delivering new or refilled prescriptions |
| EDU-13-15-00021-P | 03/31/16 | Supplementary Teaching Certificates in Bilingual Education and English to Speakers of Other Languages (ESOL) | To provide additional pathways for teacher certification candidates to obtain supplementary bilingual education extension and the ESOL supplementary certificate, for a three year period to conclude on June 30, 2018 |
| EDU-27-15-00008-ERP | 07/07/16 | School receivership | To implement Education Law section 211-f, as added by Part EE, Subpart H of Ch. 56 of the Laws of 2015 |
| EDU-27-15-00019-ERP | 07/07/16 | Annual Professional Performance Reviews of Classroom Teachers and Building Principals | To Implement Subparts D and E of Part EE of Chapter 56 of the Laws of 2015 |
| EDU-40-15-00009-EP | 10/06/16 | Graduate-level teacher and educational leadership programs. | To establish minimum admission standards for graduate level teacher and leader prepartion programs and requirements |
| EDU-45-15-00013-EP | 11/09/16 | New York State Common Core Learning Standards (CCLS) | To provide additional opportunities for students who began grade 9 in 2013 to meet diploma requirements by passing either the Regents comprehensive Examination in English or the Common Core ELA examination at the January 2016 and June 2016 test |
| EDU-45-15-00014-P | 11/30/16 | Preschool special education programs and services | To enact requirements relating to appointment of 1:1 aide by Committee on Special Education (CSE); Special Education Itinerant Services (SEIS); related services; and standards for approved preschool providers |
| EDU-45-15-00015-P | 11/09/16 | Continuing education requirements for Licensed Marriage and Family Therapists | Implement mandatory continuing education requirements, establish standards for acceptable education and approval of providers |
| EDU-45-15-00016-P | 11/09/16 | Continuing education requirements for Licensed Creative Arts Therapists | Implement mandatory continuing education requirements, establish standards for acceptable education and approval of providers |
| EDU-45-15-00017-P | 11/09/16 | Continuing education requirements for Licensed Mental Health Counselors | Implement mandatory continuing education requirements, establish standards for acceptable education and approval of providers |
| EDU-45-15-00018-P | 11/09/16 | Continuing education requirements for Licensed Psychoanalysts | Implement mandatory continuing education requirements, establish standards for acceptable education and approval of providers |
| EDU-48-15-00007-EP | 12/01/16 | Instruction in Cardiopulmonary Resuscitation (CPR) and Use of Automated External Defibrillators (AEDs) | Provide limited exemption to students with disabilities from CPR/AED required instruction |
| EDU-48-15-00008-P | 12/01/16 | Licensing Examination Requirements for Dental Hygienists | To address a name-change by the testing agency for Part II of the licensing exam; and remove remedial education requirements |

| Agency I.D. No. | Expires | Subject Matter | Purpose of Action |
|---|---|---|---|
| **EDUCATION DEPARTMENT** | | | |
| EDU-48-15-00009-EP | 12/01/16 | Extension and expansion of the Collaborative Drug Therapy Management (CDTM) Demonstration Program for Pharmacists | To implement Chapter 238 of the Laws of 2015 to extend and expand the CDTM program for pharmacists |
| EDU-49-15-00013-P | 12/08/16 | Voluntary institutional accreditation for Title IV purposes | To clarify existing standards and procedures that must be met by institutions of higher education seeking voluntary accreditation by the Board of Regents and the Commissioner of Education |
| EDU-52-15-00017-EP | 12/29/16 | Annual Professional Performance Reviews (APPR) of classroom teachers and building principals | To implement the recommendations of the New York Common Core Task Force Report by establishing transition ratings for teachers and building principals during a four-year transition period for APPRs |
| **ENVIRONMENTAL CONSERVATION, DEPARTMENT OF** | | | |
| ENV-04-15-00006-P | 01/28/16 | Regulations governing the recreational harvest of winter flounder | Extend the recreational winter flounder fishing open season from April 1 - May 30 to March 1 - December 31 |
| ENV-19-15-00010-P | 05/12/16 | Fisher trapping seasons and bag limits and general trapping regulations for furbearers | Revise existing fisher seasons, establish a new season in central/western NY, update and clarify general trapping regulations |
| ENV-23-15-00008-P | 07/28/16 | Environmental Remediation - Brownfield Cleanup Program | To amend the Environmental Remediation Program regulations that pertain to the Brownfield Cleanup Program |
| ENV-24-15-00013-P | 06/16/16 | Rule making to implement ECL 17-0826-a | To implement the reporting, notification and record keeping requirements of ECL 17-0826-a |
| ENV-27-15-00004-P | 08/23/16 | Incorporation by reference of Federal NESHAP and NSPS rules | Incorporation by reference of Federal NESHAP and NSPS |
| ENV-34-15-00028-P | 08/25/16 | Qualifications for License Issuing Agents and Wildlife Rehabilitators | To remove regulatory requirements that exclude individuals with felonies from obtaining certain licenses and authorizations |
| ENV-44-15-00001-EP | 11/03/16 | Sanitary Condition of Shellfish Lands | To reclassify shellfish lands to prohibit the harvest of shellfish |
| ENV-45-15-00028-P | 11/09/16 | Science-based State sea-level rise projections | To establish a common source of sea-level rise projections for consideration in relevant programs and decision-making |
| ENV-50-15-00002-P | 12/15/16 | The management of black sea bass | Redefine the term trip limit to allow two fishers aboard a single vessel to possess and land the trip limit for black sea bass |
| ENV-50-15-00003-P | 12/15/16 | Atlantic Ocean surfclam management | To amend surfclam regulations to provide consistency with management measures of the Fishery Management Plan |
| ENV-50-15-00010-P | 12/15/16 | Aquatic Invasive Species Spread Prevention | To require that ''reasonable precautions'' are taken prior to placing watercraft into public waters to prevent the spread of AIS |
| ENV-51-15-00004-P | 02/10/17 | Distributed generation (DG) sources that feed the distribution grid or produce electricity for use at host facilities or both | Establish emission limits for distributed generation sources |

| Agency I.D. No. | Expires | Subject Matter | Purpose of Action |
|---|---|---|---|
| **ENVIRONMENTAL CONSERVATION, DEPARTMENT OF** | | | |
| ENV-51-15-00005-P | 12/22/16 | Big bore air rifles | To allow big bore air rifles as legal implements for hunting big game |
| ENV-52-15-00010-P | 12/29/16 | Procedures for modifying or extinguishing a conservation easement held by the NYS DEC | Establish standards for the DEC to follow when modifying or extinguishing a CE and provide for a formal public review process |
| **FINANCIAL SERVICES, DEPARTMENT OF** | | | |
| DFS-18-15-00009-P | 05/05/16 | Title Insurance Rates, Expenses and Charges | To insure proper, non-excessive rates, compliance with Ins. Law 6409(d), and reasonable charges for ancillary services |
| DFS-43-15-00004-P | 10/27/16 | Valuation of Individual and Group Accident and Health Insurance Reserves | To adopt the 2012 Group Long-Term Disability Valuation Table |
| DFS-50-15-00004-P | 12/15/16 | Regulating Transaction Monitoring and Filtering Systems maintained by banks, check cashers and money transmitters | To improve efficiency and transparency in the mortgage banker and mortgage broker licensing process |
| **GAMING COMMISSION, NEW YORK STATE** | | | |
| SGC-39-15-00005-P | 09/29/16 | Thoroughbred restricted time periods for various drugs | To enhance the integrity and safety of thoroughbred horse racing |
| SGC-39-15-00006-P | 09/29/16 | Reimbursement of awards for capital improvement projects at video lottery gaming (''VLG'') facilities | Clarify when VLG agent must reimburse State upon divestment of a capital improvement for which capital award was received |
| SGC-46-15-00004-P | 11/17/16 | To require claimant to indicate on claim form whether commission at claimant's expense shall test a claimed horse for drug use | To preserve the integrity of pari-mutuel racing while generating reasonable revenue for the support of government |
| SGC-46-15-00007-P | 11/17/16 | Requirement of specific minimum penalties for certain multiple medication violations | To enhance the integrity and safety of thoroughbred horse racing |
| SGC-48-15-00006-P | 12/01/16 | Per Se thresholds and related rule amendments for cobalt, ketoprofen, isoflupredone and albuterol | To preserve the integrity of pari-mutuel racing while generating reasonable revenue for the support of government |
| SGC-52-15-00005-P | 12/29/16 | Lottery subscription program | To better serve customers needs and preferences |
| SGC-52-15-00006-P | 12/29/16 | The manner in which prize payments are made | To better reflect customer and retailer preferences and the administrative needs of the Commission |
| SGC-52-15-00007-P | 12/29/16 | Prohibiting the administration of stanozolol to racehorses | To preserve the safety and integrity of pari-mutuel racing while generating reasonable revenue for the support of government |
| SGC-52-15-00008-P | 12/29/16 | Suspension and revocation of a lottery agent's license | To revise the rules for the procedure and grounds for suspension and revocation of a lottery license for sales agents |
| SGC-01-16-00006-EP | 01/05/17 | The plan of operation for the Jockey Injury Compensation Fund | To effectuate the provisions of the Jockey Injury Compensation Fund in the absence of a plan of operation for 2016 |

| Agency I.D. No. | Expires | Subject Matter | Purpose of Action |
|---|---|---|---|
| **HEALTH, DEPARTMENT OF** | | | |
| *HLT-14-94-00006-P | exempt | Payment methodology for HIV/AIDS outpatient services | To expand the current payment to incorporate pricing for services |
| HLT-08-15-00003-P | 02/25/16 | Supplementary Reports of Certain Congenital Anomalies for Epidemiological Surveillance; Filing | To increase maximum age of reporting certain birth defects to the Congenital Malformations Registry |
| HLT-11-15-00019-P | 03/17/16 | Standards for Individual Onsite Water Supply and Individual Onsite Wastewater Treatment Systems | Establishes minimum water quality standards for individual onsite water supply systems |
| HLT-16-15-00014-P | 04/21/16 | Rate Rationalization – Prevocational Services, Respite, Supported Employment and Residential Habilitation | To establish new rate methodology effective July 1, 2015 |
| HLT-18-15-00008-P | 05/05/16 | Computed Tomography (CT) Quality Assurance | To protect the public from the adverse effects of ionizing radiation. |
| HLT-30-15-00005-P | 07/28/16 | Practice of radiologic technology | To update regulations related to the practice of radiologic technology |
| HLT-30-15-00006-P | 07/28/16 | Medicaid provider enrollment | To make technical, conforming changes to regulations governing the enrollment of Medicaid providers of care, services and supplies |
| HLT-30-15-00007-P | 07/28/16 | Reciprocal Emergency Medical Technician Certification Requirements | To replace the emergency medical technician-intermediate category with the advanced emergency medical technician category |
| HLT-30-15-00008-P | 07/28/16 | Controlled Substances for EMS Agency Agent and Requirements for an Advanced Life Support System | To amend the regulations regarding the EMS Agency Agent and the Requirements for an Advanced Life Support System |
| HLT-30-15-00009-P | 07/28/16 | Requirements for Manufacturers and Distributors Regarding Controlled Substances | To clarify and use language consistent with current terminology used by the State Board of Pharmacy |
| HLT-39-15-00015-P | 09/29/16 | Women Infants and Children (WIC) Program Vendor Applicant Enrollment Criteria | To align NYS WIC Program operations with current federal requirements by amending the existing vendor enrollment criteria |
| HLT-42-15-00016-P | 10/20/16 | Standards for Adult Homes and Adult Care Facilities Standards for Enriched Housing | Revisions to Parts 487 and 488 in regards to the establishment of the Justice Center for Protection of People with Special Needs |
| HLT-43-15-00003-P | 10/27/16 | Immediate Need for Personal Care Services (PCS) and Consumer Directed Personal Assistance (CDPA) | To implement 2015 State law changes regarding Medicaid applicants and recipients with immediate needs for PCS or CDPA |
| HLT-44-15-00003-P | 11/03/16 | Transgender Related Care and Services | To amend provisions regarding Medicaid coverage of transition-related transgender care and services |
| HLT-44-15-00020-P | 11/03/16 | Statewide Health Information Network for New York (SHIN-NY) | To establish the Statewide Health Information Network for NY (SHIN-NY) |
| HLT-46-15-00006-P | 01/10/17 | Early Intervention Program | To conform existing program regulations to federal regulations and state statute |
| HLT-47-15-00003-P | 11/24/16 | Sexually Transmitted Diseases (STDs) | Control of Sexually Transmitted Diseases (STDs); Expedited Partner Therapy for Chlamydia Trachomatis Infection |

| Agency I.D. No. | Expires | Subject Matter | Purpose of Action |
|---|---|---|---|
| **HEALTH, DEPARTMENT OF** | | | |
| HLT-51-15-00001-P | 12/22/16 | General Provisions Concerning State Aid Eligibility | To clarify that rent and maintenance of space in lieu of rent (MILOR) remain eligible for State Aid |
| HLT-51-15-00008-P | 12/22/16 | Children's Camps | To include camps for children with developmental disabilities as a type of facility within the oversight of the Justice Center |
| **HOMELAND SECURITY AND EMERGENCY SERVICES, DIVISION OF** | | | |
| HES-32-15-00002-EP | 08/11/16 | Registration of manufacturers, distributors,wholesalers, various retailers of sparkling devices | Establish the registration process, fees and reporting requirements related to sparkling devices |
| **HUMAN RIGHTS, DIVISION OF** | | | |
| HRT-44-15-00033-P | 11/03/16 | Gender Identity Discrimination | To clarify how gender identity discrimination may constitute either sex or disability discrimination under the Human Rights Law |
| **JUDICIAL CONDUCT, STATE COMMISSION ON** | | | |
| JDC-47-15-00006-P | 11/24/16 | Practice of law before the commission | To prohibit the practice of law before the commission, by commission members, their law firms and former commission members |
| **JUSTICE CENTER FOR THE PROTECTION OF PEOPLE WITH SPECIAL NEEDS** | | | |
| JCP-28-15-00008-EP | 07/14/16 | Protocols for interviewing service recipients during investigations of abuse or neglect | To enhance protections for people with special needs during investigations of abuse or neglect |
| **LABOR, DEPARTMENT OF** | | | |
| LAB-21-15-00009-RP | 05/26/16 | Methods of Payment of Wages | This regulation provides clarification and specification as to the permissible methods of payment, including payroll debit cards |
| **LAW, DEPARTMENT OF** | | | |
| LAW-47-15-00007-EP | 11/24/16 | Clarification of Protections for Senior and Disabled Tenants During Condominium or Cooperative Ownership Conversions | To clarify the Martin Act's non-purchasing tenant protections for eligible senior citizens and eligible disabled persons |
| LAW-49-15-00011-P | 12/08/16 | Disclosure requirements for condominium offerors renting, rather than selling, unsold condominium units | To clarify a condominium offeror's disclosure obligations in a newly-constructed, vacant, or non-residential condominium |
| **LIQUOR AUTHORITY, STATE** | | | |
| LQR-02-16-00002-P | 01/12/17 | Update outdated Freedom of Information Law procedures utilized by Authority | To update Authority procedures and ensure compliance with Freedom of information Law requirements under Pub. Off. Law., art. 6 |
| **LONG ISLAND POWER AUTHORITY** | | | |
| *LPA-08-01-00003-P | exempt | Pole attachments and related matters | To approve revisions to the authority's tariff |

| Agency I.D. No. | Expires | Subject Matter | Purpose of Action |
|---|---|---|---|
| **LONG ISLAND POWER AUTHORITY** | | | |
| *LPA-41-02-00005-P | exempt | Tariff for electric service | To revise the tariff for electric service |
| *LPA-04-06-00007-P | exempt | Tariff for electric service | To adopt provisions of a ratepayer protection plan |
| *LPA-03-10-00004-P | exempt | Residential late payment charges | To extend the application of late payment charges to residential customers |
| LPA-02-16-00014-P | exempt | Dynamic load management programs including direct load control, peak shaving, and contingency load relief | To establish dynamic load management programs consistent with tariff revisions approved by the PSC for the regulated utilities |
| LPA-02-16-00015-P | exempt | Community distributed generation net metering, remote net metering, and size limits for fuel cells | To authorize community distributed generation net metering and to modify provisions for remote net metering and fuel cells |
| **MENTAL HEALTH, OFFICE OF** | | | |
| OMH-44-15-00002-P | 11/03/16 | Visitation and Inspection of Facilities | To conform existing regulations to statute and enable external entity to perform reviews and inspections |
| **METROPOLITAN TRANSPORTATION AGENCY** | | | |
| MTA-50-15-00005-P | 12/15/16 | MTA Bus Company- Rules and Regulations | Regulate conduct on MTA buses and facilities to enhance safety and protect employees, customers, bus facilities and the public |
| **MOTOR VEHICLES, DEPARTMENT OF** | | | |
| MTV-46-15-00003-P | 11/17/16 | Hearings for persons who persistently evade the payment of tolls | To hold hearings for persons subject to a registration suspension due to persistently evading the payment of tolls |
| **NIAGARA FALLS WATER BOARD** | | | |
| *NFW-04-13-00004-EP | exempt | Adoption of Rates, Fees and Charges | To pay for the increased costs necessary to operate, maintain and manage the system, and to achieve covenants with bondholders |
| NFW-13-14-00006-EP | exempt | Adoption of Rates, Fees and Charges | To pay for increased costs necessary to operate, maintain and manage the system and to achieve covenants with the bondholders |
| NFW-01-16-00001-EP | exempt | Adoption of Rates, Fees and Charges | To pay for increased costs necessary to operate, maintain and manage the system, and to achieve covenants with the bondholders |
| **PARKS, RECREATION AND HISTORIC PRESERVATION, OFFICE OF** | | | |
| PKR-47-15-00001-P | 11/24/16 | Adding windsurfing, sailboarding and paddleboarding to the list of activities regulated by OPRHP | To authorize the regulated activity by the public of windsurfing and stand-up paddleboarding |
| **PEOPLE WITH DEVELOPMENTAL DISABILITIES, OFFICE FOR** | | | |
| PDD-42-15-00002-P | 10/20/16 | Article 16 Clinic Services and Independent Practitioner Services for Individuals with Intellectual Disabilities (IPSIDD) | To discontinue off-site Article 16 clinic services and to add requirements for IPSIDD |

| Agency I.D. No. | Expires | Subject Matter | Purpose of Action |
|---|---|---|---|
| **PEOPLE WITH DEVELOPMENTAL DISABILITIES, OFFICE FOR** | | | |
| PDD-48-15-00003-P | 12/01/16 | Agency Name Change and Terminology Updates | To update the agency name and other terminology in the Title 14 NYCRR Part 600 series |
| PDD-50-15-00012-P | 12/15/16 | Amendments to Reimbursement Methodology for Continuing Residential Leases | To make changes concerning reimbursement methodology for lease costs for continuing residential lease arrangements |
| **POWER AUTHORITY OF THE STATE OF NEW YORK** | | | |
| *PAS-01-10-00010-P | exempt | Rates for the sale of power and energy | Update ECSB Programs customers' service tariffs to streamline them/include additional required information |
| PAS-42-15-00004-P | exempt | Rates for the Sale of Power and Energy | To recover the Authority's fixed costs |
| PAS-42-15-00005-P | exempt | Rates for the Sale of Power and Energy | To align rates and costs |
| **PUBLIC EMPLOYMENT RELATIONS BOARD** | | | |
| PRB-42-15-00014-P | 10/20/16 | Rules of Procedure governing matters before the Public Employment Relations Board pursuant to Labor Law, art. 20 | To conform procedure under SERA to the 2010 and 2013 statutory changes, and harmonize with PERB rules |
| **PUBLIC SERVICE COMMISSION** | | | |
| *PSC-28-97-00032-P | exempt | General service by Central Hudson Gas & Electric Corporation | To limit certain special provisions |
| *PSC-34-97-00009-P | exempt | Collection agency fees by Consolidated Edison Company of New York, Inc. | To pass collection agency fees on to the customer |
| *PSC-04-98-00015-P | exempt | Interconnection service overcharges by Niagara Mohawk Power Corporation | To consider a complaint by Azure Mountain Power Co. |
| *PSC-19-98-00008-P | exempt | Call forwarding by CPU Industries Inc./MKL Net, et al. | To rehear the petition |
| *PSC-02-99-00006-EP | exempt | Intralata freeze plan by New York Telephone Company | To approve the plan |
| *PSC-09-99-00012-P | exempt | Transfer of books and records by Citizens Utilities Company | To relocate Ogden Telephone Company's books and records out-of-state |
| *PSC-15-99-00011-P | exempt | Electronic tariff by Woodcliff Park Corp. | To replace the company's current tariff with an electronic tariff |
| *PSC-50-99-00009-P | exempt | Retail access uniform business practices by The Brooklyn Union Gas Company and KeySpan Gas East Corporation d/b/a Brooklyn Union of Long Island | To approve a joint petition requesting a waiver extension of a requirement set forth in the commission's order |
| *PSC-52-99-00006-P | exempt | Wide area rate center calling | To implement number conservation measures |
| *PSC-12-00-00001-P | exempt | Winter bundled sales service election date by Central Hudson Gas & Electric Corporation | To revise the date |
| *PSC-14-00-00004-EP | exempt | NXX code in the 716 NPA by Broadview Networks | To assign an NXX code in Buffalo |

| Agency I.D. No. | Expires | Subject Matter | Purpose of Action |
|---|---|---|---|
| **PUBLIC SERVICE COMMISSION** | | | |
| *PSC-14-00-00026-P | exempt | Interconnection agreement between New York Telephone Company d/b/a Bell Atlantic-New York and Media Log, Inc. | To review the terms and conditions of the negotiated agreement |
| *PSC-14-00-00027-P | exempt | Interconnection agreement between New York Telephone Company d/b/a Bell Atlantic-New York and Pilgrim Telephone, Inc. | To review the terms and conditions of the negotiated agreement |
| *PSC-14-00-00029-P | exempt | Interconnection agreement between New York Telephone Company d/b/a Bell Atlantic-New York and CoreComm New York, Inc. | To review the terms and conditions of the negotiated agreement |
| *PSC-16-00-00012-P | exempt | Termination of local telecommunications traffic by Hyperion Communications of New York, Inc. | To determine appropriate compensation levels |
| *PSC-21-00-00007-P | exempt | Initial tariff schedule by Drew Road Association | To set forth the rates, charges, rules and regulations |
| *PSC-31-00-00026-P | exempt | Water service by Windover Water Works | To abandon the water system |
| *PSC-33-00-00010-P | exempt | Electric rate and restructuring plan by Rochester Gas and Electric Corporation | To evaluate possible modifications |
| *PSC-36-00-00039-P | exempt | Steam increase by Consolidated Edison Company of New York, Inc. | To provide for an annual increase in the first year of a proposed four-year rate plan |
| *PSC-37-00-00001-EP | exempt | Interruptible gas customers | To ensure customers have an adequate supply of alternative fuel available |
| *PSC-39-00-00004-P | exempt | Blockable central office codes by PaeTec Communications, Inc. | To review the commission's requirements for assignment of numbering resources |
| *PSC-44-00-00014-P | exempt | Recovery of costs through adjustment mechanisms by Consolidated Edison Company of New York, Inc. | To permit the recovery of certain costs |
| *PSC-49-00-00007-P | exempt | Gas sales and purchases by Corning Natural Gas Corporation | To determine whether certain gas sales and purchases were in the public interest and whether customers should bear the resulting costs |
| *PSC-01-01-00023-P | exempt | Installation, maintenance and ownership of service laterals by Rochester Gas and Electric Corporation | To update and clarify the provisions |
| *PSC-06-01-00009-P | exempt | Uniform system of accounts by Rochester Gas and Electric Corporation | To defer an item of expense beyond the end of the year in which it was incurred |
| *PSC-13-01-00001-P | exempt | Request for accounting authorization by Rochester Gas and Electric Corporation | To defer an item of expense beyond the end of the year in which it was incurred |
| *PSC-13-01-00002-P | exempt | Request for accounting authorization by Rochester Gas and Electric Corporation | To defer an item of expense beyond the end of the year in which it was incurred |
| *PSC-13-01-00003-P | exempt | Request for accounting authorization by Rochester Gas and Electric Corporation | To defer an item of expense beyond the end of the year in which it was incurred |
| *PSC-15-01-00012-P | exempt | Transfer of a controlling leasehold interest by Huntley Power LLC | To approve the transfer |

| Agency I.D. No. | Expires | Subject Matter | Purpose of Action |
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| **PUBLIC SERVICE COMMISSION** | | | |
| *PSC-22-01-00006-P | exempt | Con Edison's phase 4 plan for retail access by AES Energy, Inc. | To review the request for rehearing |
| *PSC-26-01-00012-P | exempt | Interconnection of networks between Sprint PCS and Verizon New York Inc. | To review the terms and conditions of the negotiated agreement |
| *PSC-36-01-00010-P | exempt | Competitive metering by eBidenergy.com | To clarify meter ownership rules and requirements |
| *PSC-44-01-00005-P | exempt | Annual reconciliation of gas costs by Corning Natural Gas Corporation | To authorize the company to include certain gas costs |
| *PSC-01-02-00007-P | exempt | Accounting and rate treatment of proceeds by Consolidated Edison Company of New York, Inc. | To consider proceeds from sale of nuclear generating facilities |
| *PSC-05-02-00005-P | exempt | Uniform system of accounts by Consolidated Edison Company of New York, Inc. | To defer expenditures incurred in connection with emergency response services affected by the World Trade Center disaster |
| *PSC-06-02-00015-P | exempt | Network reliability performance mechanism by Consolidated Edison Company of New York, Inc. | To earn rewards for meeting the targets of the network reliability performance mechanism |
| *PSC-07-02-00032-P | exempt | Uniform business practices | To consider modification |
| *PSC-29-02-00014-P | exempt | Financing by Valley Energy, Inc. | To issue a note and allocate costs |
| *PSC-49-02-00021-P | exempt | Requests for lightened regulation by PSEG Power Bellport, LLC | To consider the company's request |
| *PSC-08-03-00009-P | exempt | Provision of gas service to World Kitchen Incorporated | To establish terms and conditions |
| *PSC-09-03-00012-P | exempt | Incremental service line installations by New York State Electric & Gas Corporation | To revise the current flat rate per foot charged |
| *PSC-09-03-00014-P | exempt | Deferral accounting by Consolidated Edison Company of New York, Inc. and Orange and Rockland Utilities, Inc. | To defer expense items beyond the end of the year(s) in which they were incurred |
| *PSC-11-03-00012-P | exempt | Economic development plan by New York State Electric & Gas Corporation | To consider the plan |
| *PSC-18-03-00004-P | exempt | Lightened regulation by East Hampton Power and Light Corporation (EHPLC) | To provide for lightened regulation and grant financing approval |
| *PSC-22-03-00020-P | exempt | Inter-departmental gas pricing by Consolidated Edison Company of New York, Inc. | To revise the method used in steam and steam-electric generating stations |
| *PSC-32-03-00020-P | exempt | Issuance of debt and approval of surcharge by Rainbow Water Company | To approve necessary financing |
| *PSC-34-03-00019-P | exempt | Issuance of securities by KeySpan East Corporation d/b/a KeySpan Energy Delivery Long Island | To obtain authorization to issue securities |
| *PSC-35-03-00009-P | exempt | Interconnection agreement between Verizon New York Inc. and MClMetro Access Transmission Services LLC | To amend the agreement |

| Agency I.D. No. | Expires | Subject Matter | Purpose of Action |
|---|---|---|---|
| **PUBLIC SERVICE COMMISSION** | | | |
| *PSC-36-03-00010-P | exempt | Performance assurance plan by Verizon New York | To consider changes |
| *PSC-39-03-00013-P | exempt | Complaint by State University of New York (SUNY) regarding a NYSEG operating agreement | To consider the complaint |
| *PSC-40-03-00015-P | exempt | Receipt of payment of bills by St. Lawrence Gas Company | To revise the process |
| *PSC-41-03-00008-P | exempt | Lightened regulation by Sterling Power Partners, L.P. | To consider granting lightened regulation |
| *PSC-41-03-00010-P | exempt | Annual reconciliation of gas expenses and gas cost recoveries | To consider filings of various LDCs and municipalities |
| *PSC-41-03-00011-P | exempt | Annual reconciliation of gas expenses and gas cost recoveries | To consider filings of various LDCs and municipalities |
| *PSC-42-03-00005-P | exempt | Interest rate by the Bath Electric, Gas, and Water Systems | To use an alternate interest rate |
| *PSC-43-03-00036-P | exempt | Merchant function backout credit and transition balancing account by KeySpan Gas East Corporation | To continue the credit and account until May 31, 2005 |
| *PSC-43-03-00037-P | exempt | Merchant function backout credit and transition balancing account by The Brooklyn Union Gas Company | To continue the credit and account until May 31, 2005 |
| *PSC-44-03-00009-P | exempt | Retail access data between jurisdictional utilities | To accommodate changes in retail access market structure or commission mandates |
| *PSC-47-03-00024-P | exempt | Lightened regulation and financing approval by Medford Energy LLC | To consider the requests |
| *PSC-02-04-00008-P | exempt | Delivery rates for Con Edison's customers in New York City and Westchester County by the City of New York | To rehear the Nov. 25, 2003 order |
| *PSC-06-04-00009-P | exempt | Transfer of ownership interest by SCS Energy LLC and AE Investors LLC | To transfer interest in Steinway Creek Electric Generating Company LLC to AE Investors LLC |
| *PSC-10-04-00005-P | exempt | Temporary protective order | To consider adopting a protective order |
| *PSC-10-04-00008-P | exempt | Interconnection agreement between Verizon New York Inc. and VIC-RMTS-DC, L.L.C. d/b/a Verizon Avenue | To amend the agreement |
| *PSC-14-04-00008-P | exempt | Submetering of natural gas service to industrial and commercial customers by Hamburg Fairgrounds | To submeter gas service to commercial customers located at the Buffalo Speedway |
| *PSC-15-04-00022-P | exempt | Submetering of electricity by Glenn Gardens Associates, L.P. | To permit submetering at 175 W. 87th St., New York, NY |
| *PSC-21-04-00013-P | exempt | Verizon performance assurance plan by Metropolitan Telecommunications | To clarify the appropriate performance level |
| *PSC-22-04-00010-P | exempt | Approval of new types of electricity meters by Powell Power Electric Company | To permit the use of the PE-1250 electronic meter |

| Agency I.D. No. | Expires | Subject Matter | Purpose of Action |
|---|---|---|---|
| **PUBLIC SERVICE COMMISSION** | | | |
| *PSC-22-04-00013-P | exempt | Major gas rate increase by Consolidated Edison Company of New York, Inc. | To increase annual gas revenues |
| *PSC-22-04-00016-P | exempt | Master metering of water by South Liberty Corporation | To waive the requirement for installation of separate water meters |
| *PSC-25-04-00012-P | exempt | Interconnection agreement between Frontier Communications of Ausable Valley, Inc., et al. and Sprint Communications Company, L.P. | To amend the agreement |
| *PSC-27-04-00008-P | exempt | Interconnection agreement between Verizon New York Inc. and various Verizon wireless affiliates | To amend the agreement |
| *PSC-27-04-00009-P | exempt | Interconnection agreement between Verizon New York Inc. and various Verizon wireless affiliates | To amend the agreement |
| *PSC-28-04-00006-P | exempt | Approval of loans by Dunkirk & Fredonia Telephone Company and Cassadaga Telephone Corporation | To authorize participation in the parent corporation's line of credit |
| *PSC-31-04-00023-P | exempt | Distributed generation service by Consolidated Edison Company of New York, Inc. | To provide an application form |
| *PSC-34-04-00031-P | exempt | Flat rate residential service by Emerald Green Lake Louise Marie Water Company, Inc. | To set appropriate level of permanent rates |
| *PSC-35-04-00017-P | exempt | Application form for distributed generation by Orange and Rockland Utilities, Inc. | To establish a new supplementary application form for customers |
| *PSC-43-04-00016-P | exempt | Accounts recievable by Rochester Gas and Electric Corporation | To include in its tariff provisions for the purchase of ESCO accounts recievable |
| *PSC-46-04-00012-P | exempt | Service application form by Consolidated Edison Company of New York, Inc. | To revise the form and make housekeeping changes |
| *PSC-46-04-00013-P | exempt | Rules and guidelines governing installation of metering equipment | To establish uniform statewide business practices |
| *PSC-02-05-00006-P | exempt | Violation of the July 22, 2004 order by Dutchess Estates Water Company, Inc. | To consider imposing remedial actions against the company and its owners, officers and directors |
| *PSC-09-05-00009-P | exempt | Submetering of natural gas service by Hamlet on Olde Oyster Bay | To consider submetering of natural gas to a commercial customer |
| *PSC-14-05-00006-P | exempt | Request for deferred accounting authorization by Freeport Electric Inc. | To defer expenses beyond the end of the fiscal year |
| *PSC-18-05-00009-P | exempt | Marketer Assignment Program by Consolidated Edison Company of New York, Inc. | To implement the program |
| *PSC-20-05-00028-P | exempt | Delivery point aggregation fee by Allied Frozen Storage, Inc. | To review the calculation of the fee |
| *PSC-25-05-00011-P | exempt | Metering, balancing and cashout provisions by Central Hudson Gas & Electric Corporation | To establish provisions for gas customers taking service under Service Classification Nos. 8, 9 and 11 |

| Agency I.D. No. | Expires | Subject Matter | Purpose of Action |
|---|---|---|---|
| **PUBLIC SERVICE COMMISSION** | | | |
| *PSC-27-05-00018-P | exempt | Annual reconciliation of gas costs by New York State Electric & Gas Corporation | To consider the manner in which the gas cost incentive mechanism has been applied |
| *PSC-41-05-00013-P | exempt | Annual reconciliation of gas expenses and gas cost recoveries by local distribution companies and municipalities | To consider the filings |
| *PSC-45-05-00011-P | exempt | Treatment of lost and unaccounted gas costs by Corning Natural Gas Corporation | To defer certain costs |
| *PSC-46-05-00015-P | exempt | Sale of real and personal property by the Brooklyn Union Gas Company d/b/a KeySpan Energy Delivery New York and Steel Arrow, LLC | To consider the sale |
| *PSC-47-05-00009-P | exempt | Transferral of gas supplies by Corning Natural Gas Corporation | To approve the transfer |
| *PSC-50-05-00008-P | exempt | Long-term debt by Saratoga Glen Hollow Water Supply Corp. | To obtain long-term debt |
| *PSC-04-06-00024-P | exempt | Transfer of ownership interests by Mirant NY-Gen LLC and Orange and Rockland Utilities, Inc. | To approve of the transfer |
| *PSC-06-06-00015-P | exempt | Gas curtailment policies and procedures | To examine the manner and extent to which gas curtailment policies and procedures should be modified and/or established |
| *PSC-07-06-00009-P | exempt | Modification of the current Environmental Disclosure Program | To include an attributes accounting system |
| *PSC-22-06-00019-P | exempt | Hourly pricing by National Grid | To assess the impacts |
| *PSC-22-06-00020-P | exempt | Hourly pricing by New York State Electric & Gas Corporation | To assess the impacts |
| *PSC-22-06-00021-P | exempt | Hourly pricing by Rochester Gas & Electric Corporation | To assess the impacts |
| *PSC-22-06-00022-P | exempt | Hourly pricing by Consolidated Edison Company of New York, Inc. | To assess the impacts |
| *PSC-22-06-00023-P | exempt | Hourly pricing by Orange and Rockland Utilities, Inc. | To assess the impacts |
| *PSC-24-06-00005-EP | exempt | Supplemental home energy assistance benefits | To extend the deadline to Central Hudson's low-income customers |
| *PSC-25-06-00017-P | exempt | Purchased power adjustment by Massena Electric Department | To revise the method of calculating the purchased power adjustment and update the factor of adjustment |
| *PSC-34-06-00009-P | exempt | Inter-carrier telephone service quality standards and metrics by the Carrier Working Group | To incorporate appropriate modifications |
| *PSC-37-06-00015-P | exempt | Procedures for estimation of customer bills by Rochester Gas and Electric Corporation | To consider estimation procedures |

| Agency I.D. No. | Expires | Subject Matter | Purpose of Action |
|---|---|---|---|
| **PUBLIC SERVICE COMMISSION** | | | |
| *PSC-37-06-00017-P | exempt | Procedures for estimation of customer bills by Rochester Gas and Electric Corporation | To consider estimation procedures |
| *PSC-39-06-00018-P | exempt | Order establishing rate plan by Central Hudson Gas & Electric Corporation and the Consumer Protection Board | To consider the petitions for rehearing |
| *PSC-39-06-00019-P | exempt | Investigation of Richard M. Osborne by Corning Natural Gas Corporation | To determine the interests, plans and commitments that will be in place if he is successful in blocking the merger of Corning Gas and C&T Enterprises |
| *PSC-39-06-00022-P | exempt | Uniform business practices and related matters by U.S. Energy Savings Corporation | To establish a contest period |
| *PSC-40-06-00005-P | exempt | Orion Integral automatic meter reading transmitter by New York State Electric and Gas Corporation | To permit gas utilities in NYS to use the Badger Meter Incorporated Orion Integral transmitters |
| *PSC-42-06-00011-P | exempt | Submetering of electricity by 225 5th LLC | To submeter electricity at 255 Fifth Ave., New York, NY |
| *PSC-43-06-00014-P | exempt | Electric delivery services by Strategic Power Management, Inc. | To determine the proper mechanism for the rate-recovery of costs |
| *PSC-44-06-00014-P | exempt | Electric power outages in Northwest Queens by Consolidated Edison Company of New York, Inc. | To review the terms and conditions of the agreement |
| *PSC-45-06-00007-P | exempt | Alleged failure to provide electricity by Robert Andrews | To assess validity of allegations and appropriateness of fines |
| *PSC-01-07-00031-P | exempt | Enforcement mechanisms by National Fuel Gas Distribution Corporation | To modify enforcement mechanisms |
| *PSC-04-07-00012-P | exempt | Petition for rehearing by Orange and Rockland Utilities, Inc. | To clarify the order |
| *PSC-06-07-00015-P | exempt | Meter reading and billing practices by Central Hudson Gas & Electric Corporation | To continue current meter reading and billing practices for electric service |
| *PSC-06-07-00020-P | exempt | Meter reading and billing practices by Central Hudson Gas & Electric Corporation | To continue current meter reading and billing practices for gas service |
| *PSC-11-07-00010-P | exempt | Investigation of the electric power outages by the Consolidated Edison Company of New York, Inc. | To implement the recommendations in the staff's investigation |
| *PSC-11-07-00011-P | exempt | Storm-related power outages by Consolidated Edison Company of New York, Inc. | To modify the company's response to power outages, the timing for any such changes and other related matters |
| *PSC-17-07-00008-P | exempt | Interconnection agreement between Verizon New York Inc. and BridgeCom International, Inc. | To amend the agreement |
| *PSC-18-07-00010-P | exempt | Existing electric generating stations by Independent Power Producers of New York, Inc. | To repower and upgrade existing electric generating stations owned by Rochester Gas and Electric Corporation |

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| **PUBLIC SERVICE COMMISSION** | | | |
| *PSC-20-07-00016-P | exempt | Tariff revisions and making rates permanent by New York State Electric & Gas Corporation | To seek rehearing |
| *PSC-21-07-00007-P | exempt | Natural Gas Supply and Acquisition Plan by Corning Natural Gas Corporation | To revise the rates, charges, rules and regulations for gas service |
| *PSC-22-07-00015-P | exempt | Demand Side Management Program by Consolidated Edison Company of New York, Inc. | To recover incremental program costs and lost revenue |
| *PSC-23-07-00022-P | exempt | Supplier, transportation, balancing and aggregation service by National Fuel Gas Distribution Corporation | To explicitly state in the company's tariff that the threshold level of elective upstream transmission capacity is a maximum of 112,600 Dth/day of marketer-provided upstream capacity |
| *PSC-24-07-00012-P | exempt | Gas Efficiency Program by the City of New York | To consider rehearing a decision establishing a Gas Efficiency Program |
| *PSC-39-07-00017-P | exempt | Gas bill issuance charge by New York State Electric & Gas Corporation | To create a gas bill issuance charge unbundled from delivery rates |
| *PSC-41-07-00009-P | exempt | Submetering of electricity rehearing | To seek reversal |
| *PSC-42-07-00012-P | exempt | Energy efficiency program by Orange and Rockland Utilities, Inc. | To consider any energy efficiency program for Orange and Rockland Utilities, Inc.'s electric service |
| *PSC-42-07-00013-P | exempt | Revenue decoupling by Orange and Rockland Utilities, Inc. | To consider a revenue decoupling mechanism for Orange and Rockland Utilities, Inc. |
| *PSC-45-07-00005-P | exempt | Customer incentive programs by Orange and Rockland Utilities, Inc. | To establish a tariff provision |
| *PSC-02-08-00006-P | exempt | Additional central office codes in the 315 area code region | To consider options for making additional codes |
| *PSC-03-08-00006-P | exempt | Rehearing of the accounting determinations | To grant or deny a petition for rehearing of the accounting determinations |
| *PSC-04-08-00010-P | exempt | Granting of easement rights on utility property by Central Hudson Gas & Electric Corporation | To grant easement rights to Millennium Pipeline Company, L.L.C. |
| *PSC-04-08-00012-P | exempt | Marketing practices of energy service companies by the Consumer Protection Board and New York City Department of Consumer Affairs | To consider modifying the commission's regulation over marketing practices of energy service companies |
| *PSC-08-08-00016-P | exempt | Transfer of ownership by Entergy Nuclear Fitzpatrick LLC, et al. | To consider the transfer |
| *PSC-12-08-00019-P | exempt | Extend the provisions of the existing electric rate plan by Rochester Gas and Electric Corporation | To consider the request |
| *PSC-12-08-00021-P | exempt | Extend the provisions of the existing gas rate plan by Rochester Gas and Electric Corporation | To consider the request |
| *PSC-13-08-00011-P | exempt | Waiver of commission policy and NYSEG tariff by Turner Engineering, PC | To grant or deny Turner's petition |

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| **PUBLIC SERVICE COMMISSION** | | | |
| *PSC-13-08-00012-P | exempt | Voltage drops by New York State Electric & Gas Corporation | To grant or deny the petition |
| *PSC-23-08-00008-P | exempt | Petition requesting rehearing and clarification of the commission's April 25, 2008 order denying petition of public utility law project | To consider whether to grant or deny, in whole or in part, the May 7, 2008 Public Utility Law Project (PULP) petition for rehearing and clarification of the commission's April 25, 2008 order denying petition of Public Utility Law Project |
| *PSC-23-08-00009-P | exempt | The transfer of certain real property with an original cost under $100,000 in the Town of Throop | To consider the filing for the transfer of certain real property in the Town of Throop |
| *PSC-25-08-00007-P | exempt | Policies and procedures regarding the selection of regulatory proposals to meet reliability needs | To establish policies and procedures regarding the selection of regulatory proposals to meet reliability needs |
| *PSC-25-08-00008-P | exempt | Report on Callable Load Opportunities | Rider U report assessing callable load opportunities in New York City and Westchester County during the next 10 years |
| *PSC-28-08-00004-P | exempt | Con Edison's procedure for providing customers access to their account information | To consider Con Edison's implementation plan and timetable for providing customers access to their account information |
| *PSC-31-08-00025-P | exempt | Recovery of reasonable DRS costs from the cost mitigation reserve (CMR) | To authorize recovery of the DRS costs from the CMR |
| *PSC-32-08-00009-P | exempt | The ESCO referral program for KEDNY to be implemented by October 1, 2008 | To approve, reject or modify, in whole or in part, KEDNY's recommended ESCO referral program |
| *PSC-33-08-00008-P | exempt | Noble Allegany's request for lightened regulation | To consider Noble Allegany's request for lightened regulation as an electric corporation |
| *PSC-36-08-00019-P | exempt | Land Transfer in the Borough of Manhattan, New York | To consider petition for transfer of real property to NYPH |
| *PSC-39-08-00010-P | exempt | RG&E's economic development plan and tariffs | Consideration of the approval of RG&E's economic development plan and tariffs |
| *PSC-40-08-00010-P | exempt | Loans from regulated company to its parent | To determine if the cash management program resulting in loans to the parent should be approved |
| *PSC-41-08-00009-P | exempt | Transfer of control of cable TV franchise | To determine if the transfer of control of Margaretville's cable TV subsidiary should be approved |
| *PSC-43-08-00014-P | exempt | Annual Reconcilliation of Gas Expenses and Gas Cost Recoveries | The filings of various LDCs and municipalities regarding their Annual Reconciliation of Gas Expenses and Gas Cost Recoveries |
| *PSC-46-08-00008-P | exempt | Property transfer in the Village of Avon, New York | To consider a petition for the transfer of street lighting and attached equipment to the Village of Avon, New York |
| *PSC-46-08-00010-P | exempt | A transfer of indirect ownership interests in nuclear generation facilities | Consideration of approval of a transfer of indirect ownership interests in nuclear generation facilities |

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| **PUBLIC SERVICE COMMISSION** | | | |
| *PSC-46-08-00014-P | exempt | The attachment of cellular antennae to an electric transmission tower | To approve, reject or modify the request for permission to attach cellular antennae to an electric transmission tower |
| *PSC-48-08-00005-P | exempt | A National Grid high efficiency gas heating equipment rebate program | To expand eligibility to customers converting from oil to natural gas |
| *PSC-48-08-00008-P | exempt | Petition for the master metering and submetering of electricity | To consider the request of Bay City Metering, to master meter & submeter electricity at 345 E. 81st St., New York, New York |
| *PSC-48-08-00009-P | exempt | Petition for the submetering of electricity | To consider the request of PCV/ST to submeter electricity at Peter Cooper Village & Stuyvesant Town, New York, New York |
| *PSC-50-08-00018-P | exempt | Market Supply Charge | A study on the implementation of a revised Market Supply Charge |
| *PSC-51-08-00006-P | exempt | Commission's October 27, 2008 Order on Future of Retail Access Programs in Case 07-M-0458 | To consider a Petition for rehearing of the Commission's October 27, 2008 Order in Case 07-M-0458 |
| *PSC-51-08-00007-P | exempt | Commission's October 27, 2008 Order in Cases 98-M-1343, 07-M-1514 and 08-G-0078 | To consider Petitions for rehearing of the Commission's October 27, 2008 Order in Cases 98-M-1343, 07-M-1514 and 08-G-0078 |
| *PSC-53-08-00011-P | exempt | Use of deferred Rural Telephone Bank funds | To determine if the purchase of a softswitch by Hancock is an appropriate use of deferred Rural Telephone Bank funds |
| *PSC-53-08-00012-P | exempt | Transfer of permanent and temporary easements at 549-555 North Little Tor Road, New City, NY | Transfer of permanent and temporary easements at 549-555 North Little Tor Road, New City, NY |
| *PSC-53-08-00013-P | exempt | To transfer common stock and ownership | To consider transfer of common stock and ownership |
| *PSC-01-09-00015-P | exempt | FCC decision to redefine service area of Citizens/Frontier | Review and consider FCC proposed redefinition of Citizens/Frontier service area |
| *PSC-02-09-00010-P | exempt | Competitive classification of independent local exchange company, and regulatory relief appropriate thereto | To determine if Chazy & Westport Telephone Corporation more appropriately belongs in scenario 1 rather than scenario 2 |
| *PSC-05-09-00008-P | exempt | Revenue allocation, rate design, performance metrics, and other non-revenue requirement issues | To consider any remaining non-revenue requirement issues related to the Company's May 9, 2008 tariff filing |
| *PSC-05-09-00009-P | exempt | Numerous decisions involving the steam system including cost allocation, energy efficiency and capital projects | To consider the long term impacts on steam rates and on public policy of various options concerning the steam system |
| *PSC-06-09-00007-P | exempt | Interconnection of the networks between Frontier Comm. and WVT Communications for local exchange service and exchange access | To review the terms and conditions of the negotiated agreement between Frontier Comm. and WVT Comm. |
| *PSC-07-09-00015-P | exempt | Transfer certain utility assets located in the Town of Montgomery from plant held for future use to non-utility property | To consider the request to transfer certain utility assets located in the Town of Montgomery to non-utility assets |

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|---|---|---|---|
| **PUBLIC SERVICE COMMISSION** | | | |
| *PSC-07-09-00017-P | exempt | Request for authorization to defer the incremental costs incurred in the restoration work resulting from the ice storm | To allow the company to defer the incremental costs incurred in the restoration work resulting from the ice storm |
| *PSC-07-09-00018-P | exempt | Whether to permit the submetering of natural gas service to an industrial and commercial customer at Cooper Union, New York, NY | To consider the request of Cooper Union, to submeter natural gas at 41 Cooper Square, New York, New York |
| *PSC-12-09-00010-P | exempt | Charges for commodity | To charge customers for commodity costs |
| *PSC-12-09-00012-P | exempt | Charges for commodity | To charge customers for commodity costs |
| *PSC-13-09-00008-P | exempt | Options for making additional central office codes available in the 718/347 numbering plan area | To consider options for making additional central office codes available in the 718/347 numbering plan area |
| *PSC-14-09-00014-P | exempt | The regulation of revenue requirements for municipal utilities by the Public Service Commission | To determine whether the regulation of revenue requirements for municipal utilities should be modified |
| *PSC-16-09-00010-P | exempt | Petition for the submetering of electricity | To consider the request of AMPS on behalf of Park Imperial to submeter electricity at 230 W. 56th Street, in New York, New York |
| *PSC-16-09-00020-P | exempt | Whether SUNY's core accounts should be exempt from the mandatory assignment of local distribution company (LDC) capacity | Whether SUNY's core accounts should be exempt from the mandatory assignment of local distribution company (LDC) capacity |
| *PSC-17-09-00010-P | exempt | Whether to permit the use of Elster REX2 solid state electric meter for use in residential and commerical accounts | To permit electric utilities in New York State to use the Elster REX2 |
| *PSC-17-09-00011-P | exempt | Whether Brooklyn Navy Yard Cogeneration Partners, L.P. should be reimbursed by Con Edison for past and future use taxes | Whether Brooklyn Navy Yard Cogeneration Partners, L.P. should be reimbursed by Con Edison for past and future use taxes |
| *PSC-17-09-00012-P | exempt | Petition for the submetering of gas at commercial property | To consider the request of Turner Construction, to submeter natural gas at 550 Short Ave., & 10 South St., Governors Island, NY |
| *PSC-17-09-00014-P | exempt | Benefit-cost framework for evaluating AMI programs prepared by the DPS Staff | To consider a benefit-cost framework for evaluating AMI programs prepared by the DPS Staff |
| *PSC-17-09-00015-P | exempt | The construction of a tower for wireless antennas on land owned by National Grid | To approve, reject or modify the petition to build a tower for wireless antennas in the Town of Onondaga |
| *PSC-18-09-00012-P | exempt | Petition for rehearing of Order approving the submetering of electricity | To consider the request of Frank Signore to rehear petition to submeter electricity at One City Place in White Plains, New York |
| *PSC-18-09-00013-P | exempt | Petition for the submetering of electricity | To consider the request of Living Opportunities of DePaul to submeter electricity at E. Main St. located in Batavia, New York |
| *PSC-18-09-00017-P | exempt | Approval of an arrangement for attachment of wireless antennas to the utility's transmission facilities in the City of Yonkers | To approve, reject or modify the petition for the existing wireless antenna attachment to the utility's transmission tower |

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|---|---|---|---|
| **PUBLIC SERVICE COMMISSION** | | | |
| *PSC-20-09-00016-P | exempt | The recovery of, and accounting for, costs associated with the Companies' advanced metering infrastructure (AMI) pilots etc | To consider a filing of the Companies as to the recovery of, and accounting for, costs associated with it's AMI pilots etc |
| *PSC-20-09-00017-P | exempt | The recovery of, and accounting for, costs associated with CHG&E's AMI pilot program | To consider a filing of CHG&E as to the recovery of, and accounting for, costs associated with it's AMI pilot program |
| *PSC-22-09-00011-P | exempt | Cost allocation for Consolidated Edison's East River Repowering Project | To determine whether any changes are warranted in the cost allocation of Consolidated Edison's East River Repowering Project |
| *PSC-25-09-00005-P | exempt | Whether to grant, deny, or modify, in whole or in part, the petition | Whether to grant, deny, or modify, in whole or in part, the petition |
| *PSC-25-09-00006-P | exempt | Electric utility implementation plans for proposed web based SIR application process and project status database | To determine if the proposed web based SIR systems are adequate and meet requirements needed for implementation |
| *PSC-25-09-00007-P | exempt | Electric rates for Consolidated Edison Company of New York, Inc | Consider a Petition for Rehearing filed by Consolidated Edison Company of New York, Inc |
| *PSC-27-09-00011-P | exempt | Interconnection of the networks between Vernon and tw telecom of new york l.p. for local exchange service and exchange access. | To review the terms and conditions of the negotiated agreement between Vernon and tw telecom of new york l.p. |
| *PSC-27-09-00014-P | exempt | Billing and payment for energy efficiency measures through utility bill | To promote energy conservation |
| *PSC-27-09-00015-P | exempt | Interconnection of the networks between Oriskany and tw telecom of new york l.p. for local exchange service and exchange access | To review the terms and conditions of the negotiated agreement between Oriskany and tw telecom of new york l.p |
| *PSC-29-09-00006-P | exempt | Petition for the submetering of electricity at a residential senior citizen facility | To consider the request of Shinda Management Corp. to submeter electricity at 107-37 166th Street, Jamaica, New York |
| *PSC-29-09-00011-P | exempt | Consideration of utility compliance filings | Consideration of utility compliance filings |
| *PSC-32-09-00009-P | exempt | Cost allocation for Consolidated Edison's East River Repowering Project | To determine whether any changes are warranted in the cost allocation of Consolidated Edison's East River Repowering Project |
| *PSC-34-09-00016-P | exempt | Recommendations made in the Management Audit Final Report | To consider whether to take action or recommendations contained in the Management Audit Final Report |
| *PSC-34-09-00017-P | exempt | To consider the transfer of control of Plattsburgh Cablevision, Inc. d/b/a Charter Communications to CH Communications, LLC | To allow the Plattsburgh Cablevision, Inc. to distribute its equity interest in CH Communications, LLC |
| *PSC-36-09-00008-P | exempt | The increase in the non-bypassable charge implemented by RG&E on June 1, 2009 | Considering exemptions from the increase in the non-bypassable charge implemented by RG&E on June 1, 2009 |
| *PSC-37-09-00015-P | exempt | Sale of customer-generated steam to the Con Edison steam system | To establish a mechanism for sale of customer-generated steam to the Con Edison steam system |
| *PSC-37-09-00016-P | exempt | Applicability of electronic signatures to Deferred Payment Agreements | To determine whether electronic signatures can be accepted for Deferred Payment Agreements |

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| **PUBLIC SERVICE COMMISSION** | | | |
| *PSC-39-09-00015-P | exempt | Modifications to the $5 Bill Credit Program | Consideration of petition of National Grid to modify the Low Income $5 Bill Credit Program |
| *PSC-39-09-00018-P | exempt | The offset of deferral balances with Positive Benefit Adjustments | To consider a petition to offset deferral balances with Positive Benefit Adjustments |
| *PSC-40-09-00013-P | exempt | Uniform System of Accounts - request for deferral and amortization of costs | To consider a petition to defer and amortize costs |
| *PSC-51-09-00029-P | exempt | Rules and guidelines for the exchange of retail access data between jurisdictional utilities and eligible ESCOs | To revise the uniform Electronic Data Interchange Standards and business practices to incorporate a contest period |
| *PSC-51-09-00030-P | exempt | Waiver or modification of Capital Expenditure condition of merger | To allow the companies to expend less funds for capital improvement than required by the merger |
| *PSC-52-09-00006-P | exempt | ACE's petition for rehearing for an order regarding generator-specific energy deliverability study methodology | To consider whether to change the Order Prescribing Study Methodology |
| *PSC-52-09-00008-P | exempt | Approval for the New York Independent System Operator, Inc. to incur indebtedness and borrow up to $50,000,000 | To finance the renovation and construction of the New York Independent System Operator, Inc.'s power control center facilities |
| *PSC-05-10-00008-P | exempt | Petition for the submetering of electricity | To consider the request of University Residences - Rochester, LLC to submeter electricity at 220 John Street, Henrietta, NY |
| *PSC-05-10-00015-P | exempt | Petition for the submetering of electricity | To consider the request of 243 West End Avenue Owners Corp. to submeter electricity at 243 West End Avenue, New York, NY |
| *PSC-06-10-00022-P | exempt | The Commission's Order of December 17, 2009 related to redevelopment of Consolidated Edison's Hudson Avenue generating facility | To reconsider the Commission's Order of December 17, 2009 related to redevelopment of the Hudson Avenue generating facility |
| *PSC-07-10-00009-P | exempt | Petition to revise the Uniform Business Practices | To consider the RESA petition to allow rescission of a customer request to return to full utility service |
| *PSC-08-10-00007-P | exempt | Whether to grant, deny, or modify , in whole or in part, the rehearing petition filed in Case 06-E-0847 | Whether to grant, deny, or modify , in whole or in part, the rehearing petition filed in Case 06-E-0847 |
| *PSC-08-10-00009-P | exempt | Consolidated Edison of New York, Inc. energy efficiency programs | To modify approved energy efficiency programs |
| *PSC-12-10-00015-P | exempt | Recommendations made by Staff intended to enhance the safety of Con Edison's gas operations | To require that Con Edison implement the Staff recommendations intended to enhance the safety of Con Edison's gas operations |
| *PSC-14-10-00010-P | exempt | Petition for the submetering of electricity | To consider the request of 61 Jane Street Owners Corporation to submeter Electricity at 61 Jane Street, Manhattan, NY |
| *PSC-16-10-00005-P | exempt | To consider adopting and expanding mobile stray voltage testing requirements | Adopt additional mobile stray voltage testing requirements |
| *PSC-16-10-00007-P | exempt | Interconnection of the networks between TDS Telecom and PAETEC Communications for local exchange service and exchange access | To review the terms and conditions of the negotiated agreement between TDS Telecom and PAETEC Communications |

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| **PUBLIC SERVICE COMMISSION** | | | |
| *PSC-16-10-00015-P | exempt | Interconnection of the networks between Frontier and Choice One Communications for local exchange service and exchange access | To review the terms and conditions of the negotiated agreement between Frontier and Choice One Communications |
| *PSC-18-10-00009-P | exempt | Electric utility transmission right-of-way management practices | To consider electric utility transmission right-of-way management practices |
| *PSC-19-10-00022-P | exempt | Whether National Grid should be permitted to transfer a parcel of property located at 1 Eddy Street, Fort Edward, New York | To decide whether to approve National Grid's request to transfer a parcel of vacant property in Fort Edward, New York |
| *PSC-22-10-00006-P | exempt | Requirement that Noble demonstrate that its affiliated electric corporations operating in New York are providing safe service | Consider requiring that Noble demonstrate that its affiliated electric corporations in New York are providing safe service |
| *PSC-22-10-00008-P | exempt | Petition for the submetering of electricity | To consider the request of 48-52 Franklin Street to submeter electricity at 50 Franklin Street, New York, New York |
| *PSC-24-10-00009-P | exempt | Verizon New York Inc. tariff regulations relating to voice messaging service | To remove tariff regulations relating to retail voice messaging service from Verizon New York Inc.'s tariff |
| *PSC-25-10-00012-P | exempt | Reassignment of the 2-1-1 abbreviated dialing code | Consideration of petition to reassign the 2-1-1 abbreviated dialing code |
| *PSC-25-10-00015-P | exempt | To allow NYWC to defer and amortize, for future rate recognition, pension settlement payout losses incurred in 2009 | Consideration of NYWC's petition to defer and amortize, for future rate recognition, pension payout losses incurred in 2009 |
| *PSC-27-10-00016-P | exempt | Petition for the submetering of electricity | To consider the request of 9271 Group, LLC to submeter electricity at 960 Busti Avenue, Buffalo, New York |
| *PSC-31-10-00007-P | exempt | Waiver of the Attachment 23 requirement in 2001 Rate Order that NMPC Board of Directors consist of ''outside directors'' | To consider the waiver of the requirement that a majority of NMPC Board of directors consist of ''outside directors'' |
| *PSC-34-10-00003-P | exempt | The modification of Central Hudson Gas & Electric Corporation's Enhanced Powerful Opportunities Program | The modification of Central Hudson Gas & Electric Corporation's Enhanced Powerful Opportunities Program |
| *PSC-34-10-00005-P | exempt | Approval of a contract for $250,000 in tank repairs that may be a financing | To decide whether to approve a contract between the parties that may be a financing of $250,000 for tank repairs |
| *PSC-34-10-00006-P | exempt | The modification of Central Hudson Gas & Electric Corporation's Enhanced Powerful Opportunities Program | The modification of Central Hudson Gas & Electric Corporation's Enhanced Powerful Opportunities Program |
| *PSC-36-10-00010-P | exempt | Central Hudson's procedures, terms and conditions for an economic development plan | Consideration of Central Hudson's procedures, terms and conditions for an economic development plan |
| *PSC-40-10-00014-P | exempt | Disposition of a state sales tax refund | To determine how much of a state sales tax refund should be retained by National Grid |
| *PSC-40-10-00021-P | exempt | Whether to permit the submetering of natural gas service to a commercial customer at Quaker Crossing Mall | To permit the submetering of natural gas service to a commercial customer at Quaker Crossing Mall |

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| **PUBLIC SERVICE COMMISSION** | | | |
| *PSC-41-10-00018-P | exempt | Amount of hourly interval data provided to Hourly Pricing customers who have not installed a phone line to read meter | Allow Central Hudson to provide less than a years worth of interval data and charge for manual meter reading for some customers |
| *PSC-41-10-00022-P | exempt | Request for waiver of the individual living unit metering requirements at 5742 Route 5, Vernon, NY | Request for waiver of the individual living unit metering requirements at 5742 Route 5, Vernon, NY |
| *PSC-42-10-00011-P | exempt | Petition for the submetering of electricity | To consider the request of 4858 Group, LLC to submeter electricity at 456 Main Street, Buffalo, New York |
| *PSC-43-10-00016-P | exempt | Utility Access to Ducts, Conduit Facilities and Utility Poles | To review the complaint from Optical Communications Group |
| *PSC-44-10-00003-P | exempt | Third and fourth stage gas rate increase by Corning Natural Gas Corporation | To consider Corning Natural Gas Corporation's request for a third and fourth stage gas rate increase |
| *PSC-51-10-00018-P | exempt | Commission proceeding concerning three-phase electric service by all major electric utilities | Investigate the consistency of the tariff provisions for three-phase electric service for all major electric utilities |
| *PSC-11-11-00003-P | exempt | The proposed transfer of 55.42 acres of land and $1.4 million of revenues derived from the rendition of public service | The proposed transfer of 55.42 acres of land and $1.4 million of revenues derived from the rendition of public service |
| *PSC-12-11-00008-P | exempt | To allow NYWC to defer and amortize, for future rate recognition, pension settlement payout losses incurred in 2010 | Consideration of NYWC's petition to defer and amortize, for future rate recognition, pension payout losses incurred in 2010 |
| *PSC-13-11-00005-P | exempt | Exclude the minimum monthly bill component from the earnings test calculation | Exclude the minimum monthly bill component from the earnings test calculation |
| *PSC-13-11-00007-P | exempt | Budget allocations and use of System Benefits Charge funds to pay State Cost Recovery Fee | To encourage cost effective gas and electric energy conservation in the State |
| *PSC-14-11-00009-P | exempt | Petition for the submetering of electricity | To consider the request of 83-30 118th Street to submeter electricity at 83-30 118th Street, Kew Gardens, New York |
| *PSC-16-11-00011-P | exempt | The Energy Efficiency Portfolio Standard | To promote gas and electricity energy conservation programs in New York |
| *PSC-19-11-00007-P | exempt | Utility price reporting requirements related to the Commission's ''Power to Choose'' website | Modify the Commission's utility electric commodity price reporting requirements related to the ''Power to Choose'' website |
| *PSC-20-11-00012-P | exempt | Petition for the submetering of electricity | To consider the request of KMW Group LLC to submeter electricity at 122 West Street, Brooklyn, New York |
| *PSC-20-11-00013-P | exempt | Determining the reasonableness of Niagara Mohawk Power Corporation d/b/a National Grid 's make ready charges | To determine if the make ready charges of Niagara Mohawk Power Corporation d/b/a National Grid are reasonable |
| *PSC-22-11-00004-P | exempt | Whether to permit the use of the Sensus accWAVE for use in residential gas meter applications | To permit gas utilities in New York State to use the Sensus accWAVE diaphragm gas meter |
| *PSC-23-11-00018-P | exempt | NYSERDA's energy efficiency program for low-income customers | To promote energy conservation in New York State |

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| **PUBLIC SERVICE COMMISSION** | | | |
| *PSC-26-11-00007-P | exempt | Water rates and charges | To approve an increase in annual revenues by about $25,266 or 50% |
| *PSC-26-11-00009-P | exempt | Petition for the submetering of electricity at commercial property | To consider the request of by Hoosick River Hardwoods, LLC to submeter electricity at 28 Taylor Avenue, in Berlin, New York |
| *PSC-26-11-00012-P | exempt | Waiver of generation retirement notice requirements | Consideration of waiver of generation retirement notice requirements |
| *PSC-29-11-00011-P | exempt | Petition requesting the Commssion reconsider its May 19, 2011 Order and conduct a hearing, and petition to stay said Order. | To consider whether to grant or deny, in whole or in part, Windstream New York's Petition For Reconsideration and Rehearing. |
| *PSC-35-11-00011-P | exempt | Whether to permit Consolidated Edison a waiver to commission regulations Part 226.8 | Permit Consolidated Edison to conduct a inspection program in lieu of testing the accuracy of Category C meters |
| *PSC-36-11-00006-P | exempt | To consider expanding mobile stray voltage testing requirements | Adopt additional mobile stray voltage testing requirements |
| *PSC-38-11-00002-P | exempt | Operation and maintenance procedures pertaining to steam trap caps | Adopt modified steam operation and maintenance procedures |
| *PSC-38-11-00003-P | exempt | Waiver of certain provisions of the electric service tariffs of Con Edison | Consideration of waiver of certain provisions of the electric service tariffs of Con Edison |
| *PSC-40-11-00010-P | exempt | Participation of regulated local exchange carriers in the New York Data Exchange, Inc. (NYDE) | Whether to partially modify its order requiring regulated local exchange carriers' participation NYDE |
| *PSC-40-11-00012-P | exempt | Granting of transfer of plant in-service to a regulatory asset | To approve transfer and recovery of unamortized plant investment |
| *PSC-42-11-00018-P | exempt | Availability of telecommunications services in New York State at just and reasonable rates | Providing funding support to help ensure availability of affordable telecommunications service throughout New York |
| *PSC-43-11-00012-P | exempt | Transfer of outstanding shares of stock | Transfer the issued outstanding shares of stock of The Meadows at Hyde Park Water-Works Corporation to HPWS, LLC |
| *PSC-47-11-00007-P | exempt | Remedying miscalculations of delivered gas as between two customer classes | Consideration of Con Edison's proposal to address inter-class delivery imbalances resulting from past Company miscalculations |
| *PSC-48-11-00007-P | exempt | Transfer of controlling interests in generation facilities from Dynegy to PSEG | Consideration of the transfer of controlling interests in electric generation facilities from Dynegy to PSEG |
| *PSC-48-11-00008-P | exempt | Petition for the submetering of electricity | To consider the request of To Better Days, LLC to submeter electricity at 37 East 4th Street, New York, New York |
| *PSC-51-11-00010-P | exempt | The Total Resource Cost (TRC) test, used to analyze measures in the Energy Efficiency Portfolio Standard program | Petitioners request that the TRC test and/or its application to measures should be revised |
| *PSC-52-11-00017-P | exempt | Reparations and refunds | Reparations and refunds |

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| **PUBLIC SERVICE COMMISSION** | | | |
| *PSC-01-12-00007-P | exempt | The New York State Reliability Council's revisions to its rules and measurements | To adopt revisions to various rules and measurements of the New York State Reliability Council |
| *PSC-01-12-00008-P | exempt | Transfer of real property and easements from NMPNS to NMP3 | Consideration of the transfer of real property and easements from NMPNS to NMP3 |
| *PSC-01-12-00009-P | exempt | Recovery of expenses related to the expansion of Con Edison's ESCO referral program, PowerMove | To determine how and to what extent expenses related to the Expansion of Con Edison's ESCO referral program should be recovered |
| *PSC-11-12-00002-P | exempt | Whether to grant, deny or modify, in whole or part, Hegeman's petition for a waiver of Commission policy and Con Edison tariff | Whether to grant, deny or modify, in whole or part, Hegeman's petition for a waiver of Commission policy and Con Edison tariff |
| *PSC-11-12-00005-P | exempt | Transfer of land and water supply assets | Transfer the land and associated water supply assets of Groman Shores, LLC to Robert Groman |
| *PSC-13-12-00005-P | exempt | Authorization to transfer certain real property | To decide whether to approve the transfer of certain real property |
| *PSC-17-12-00007-P | exempt | Whether a proposed agreement for the provision of water service by Saratoga Water Services, Inc. is in the public interest | Whether the Commission should issue an order approving the proposed provision of water service |
| *PSC-17-12-00008-P | exempt | Whether a proposed agreement for the provision of water service by Saratoga Water Services, Inc. is in the public interest | Whether the Commission should issue an order approving the proposed provision of water service |
| *PSC-17-12-00009-P | exempt | Whether a proposed agreement for the provision of water service by Saratoga Water Services, Inc. is in the public interest | Whether the Commission should issue an order approving the proposed provision of water service |
| *PSC-19-12-00019-P | exempt | EEPS programs administered by New York State Electric & Gas Corporation and Rochester Gas and Electric Corporation | To modify the C&I sector by combining multiple approved C&I programs into a single C&I program for each PA |
| *PSC-19-12-00022-P | exempt | Approval of a combined heat and power performance program funding plan administered by NYSERDA | Modify NYSERDA's EEPS programs budget and targets to fund the CHP program |
| *PSC-19-12-00023-P | exempt | Petition for approval pursuant to Section 70 for the sale of goods with an original cost of less than $100,000 | To consider whether to grant, deny or modify, in whole or in part, the petition filed by Orange and Rockland Utilities, Inc. |
| *PSC-21-12-00006-P | exempt | Tariff filing requirements and refunds | To determine if certain agreements should be filed pursuant to the Public Service Law and if refunds are warranted |
| *PSC-21-12-00011-P | exempt | Whether to grant, deny or modify, in whole or part, the petition for waiver of tariff Rules 8.6 and 47 | Whether to grant, deny or modify, in whole or part, the petition for waiver of tariff Rules 8.6 and 47 |
| *PSC-23-12-00005-P | exempt | EEPS multifamily programs administered by Consolidated Edison Company of New York, Inc. | To redesign the multifamily electric and gas programs and modify the budgets and targets |
| *PSC-23-12-00007-P | exempt | The approval of a financing upon a transfer to Alliance of upstream ownership interests in a generation facility | To consider the approval of a financing upon a transfer to Alliance of upstream ownership interests in a generation facility |

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| **PUBLIC SERVICE COMMISSION** | | | |
| *PSC-23-12-00009-P | exempt | Over earnings sharing between rate payers and shareholders | To establish an Earnings Sharing Mechanism to be applied following the conclusion of Corning's rate plan |
| *PSC-27-12-00012-P | exempt | Implementation of recommendations made in a Management Audit Report | To consider implementation of recommendations made in a Management Audit Report |
| *PSC-28-12-00013-P | exempt | Exemption of reliability reporting statistics for the purpose of the 2012 Reliability Performance Mechanism | Consideration of Orange and Rockland Utilities request for exemption of the 2012 reliability reporting statistics |
| *PSC-29-12-00019-P | exempt | Waiver of 16 NYCRR 894.1 through 894.4 | To allow the Town of Hamden to waive certain preliminary franchising procedures to expedite the franchising process. |
| *PSC-30-12-00010-P | exempt | Waiver of 16 NYCRR 894.1 through 894.4 | To allow the Town of Andes to waive certain preliminary franchising procedures to expedite the franchising process |
| *PSC-33-12-00009-P | exempt | Telecommunications companies ability to attach to utility company poles | Consideration of Tech Valley's ability to attach to Central Hudson poles |
| *PSC-35-12-00014-P | exempt | To implement an abandonment of White Knight's water system | To approve the implementation of abandonment of White Knight's water system |
| *PSC-37-12-00009-P | exempt | Proposed modification by Con Edison of its procedures to calculate estimated bills to its customers | Proposed modification by Con Edison of its procedures to calculate estimated bills to its customers |
| *PSC-42-12-00009-P | exempt | Regulation of Gipsy Trail Club, Inc.'s long-term financing agreements | To exempt Gipsy Trail Club, Inc. from Commission regulation of its financing agreements |
| *PSC-45-12-00008-P | exempt | Whether to grant, deny or modify, in whole or part, ESHG's petition for a waiver of Commission policy and RG&E tariff | Whether to grant, deny or modify, in whole or part, ESHG's petition for a waiver of Commission policy and RG&E tariff |
| *PSC-45-12-00010-P | exempt | Whether to grant, deny or modify, in whole or in part the petition of Con Edison to grant easements to Millwood Fire District | Whether to grant, deny or modify, in whole or in part the petition of Con Edison to grant easements to Millwood Fire District |
| *PSC-50-12-00003-P | exempt | Affiliate standards for Corning Natural Gas Corporation | To resolve issues raised by Corning Natural Gas Corporation in its petition for rehearing |
| *PSC-04-13-00006-P | exempt | Expansion of mandatory day ahead hourly pricing for customers of Orange and Rockland Utilities with demands above 100 kW | To consider the expansion of mandatory day ahead hourly pricing for customers with demands above 100 kW |
| *PSC-04-13-00007-P | exempt | Authorization to transfer certain real property. | To decide whether to approve the transfer of certain real property. |
| *PSC-06-13-00008-P | exempt | Verizon New York Inc.'s retail service quality | To investigate Verizon New York Inc.'s retail service quality |
| *PSC-08-13-00012-P | exempt | Filing requirements for certain Article VII electric facilities | To ensure that applications for certain electric transmission facilities contain pertinent information |
| *PSC-08-13-00014-P | exempt | Uniform System of Accounts - Request for Accounting Authorization | To allow the company to defer an item of expense or capital beyond the end of the year in which it was incurred |

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|---|---|---|---|
| **PUBLIC SERVICE COMMISSION** | | | |
| *PSC-12-13-00007-P | exempt | Protecting company water mains | To allow the company to require certain customers to make changes to the electrical grounding system at their homes |
| *PSC-13-13-00008-P | exempt | The potential waiver of 16 NYCRR 255.9221(d) completion of integrity assessments for certain gas transmission lines. | To determine whether a waiver of the timely completion of certain gas transmission line integrity assessments should be granted. |
| *PSC-14-13-00005-P | exempt | Recovery of incremental expense. | To consider petition for recovery of incremental expense. |
| *PSC-17-13-00008-P | exempt | Provision of historical utility pricing information for comparison purposes for residential ESCO customers | Provision of historical utility pricing information for comparison purposes for residential ESCO customers |
| *PSC-17-13-00010-P | exempt | Provision of historical pricing information for comparison purposes for residential ESCO customers | Provision of historical pricing information for comparison purposes for residential ESCO customers |
| *PSC-18-13-00007-P | exempt | Whether Demand Energy Networks energy storage systems should be designated technologies for standby rate eligibility purposes | Whether Demand Energy Networks energy storage systems should be designated technologies for standby rate eligibility purposes |
| *PSC-21-13-00003-P | exempt | To consider policies that may impact consumer acceptance and use of electric vehicles | To consider and further develop policies that may impact consumer acceptance and use of electric vehicles |
| *PSC-21-13-00005-P | exempt | To implement an abandonment of Windover's water system | To approve the implementation of abandonment of Windover's water system |
| *PSC-21-13-00008-P | exempt | Rates of National Fuel Gas Distribution Corporation | To make the rates of National Fuel Gas Distribution Corporation temporary, subject to refund, if they are found to be excessive |
| *PSC-21-13-00009-P | exempt | Reporting requirements for natural gas local distribution companies | To help ensure efficient and economic expansion of the natural gas system as appropriate |
| *PSC-22-13-00009-P | exempt | On remand from New York State court litigation, determine the recovery of certain deferred amounts owed NFG by ratepayers | On remand, to determine the recovery of certain deferral amounts owed NFG from ratepayers |
| *PSC-23-13-00005-P | exempt | Waiver of partial payment, directory database distribution, service quality reporting, and service termination regulations | Equalize regulatory treatment based on level of competition and practical considerations |
| *PSC-24-13-00009-P | exempt | Repowering options for the Cayuga generating station located in Lansing, New York, and alternatives | To establish whether utility plans should include repowering options for the Cayuga generating station, or other alternatives |
| *PSC-24-13-00010-P | exempt | Repowering options for the Dunkirk generating station located in Dunkirk, New York, and alternatives | To establish whether utility plans should include repowering options for the Dunkirk generating station, or other alternatives |
| *PSC-25-13-00008-P | exempt | To deny, grant or modify, in whole or in part, Central Hudson's rehearing request. | To deny, grant or modify, in whole or in part, Central Hudson's rehearing request. |
| *PSC-25-13-00009-P | exempt | Provision by utilities of natural gas main and service lines. | To help ensure efficient and economic expansion of the natural gas system as appropriate. |

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| **PUBLIC SERVICE COMMISSION** | | | |
| *PSC-25-13-00011-P | exempt | Waiver of certain Commission requirements related to provision of customer information to credit reporting agencies. | To waive a utility's right to provide information to credit reporting agencies related to customers' payment histories. |
| *PSC-25-13-00012-P | exempt | To deny, grant or modify, in whole or in part, Central Hudson's rehearing request. | To deny, grant or modify, in whole or in part, Central Hudson's rehearing request. |
| *PSC-27-13-00014-P | exempt | Columbia Gas Transmission Corporation Cost Refund | For approval for temporary waiver of tariff provisions regarding its Columbia Gas Transmission Corporation cost refund. |
| *PSC-28-13-00014-P | exempt | Provision for the recovery and allocation of costs of transmission projects that reduce congestion on certain interfaces | To consider the recovery and allocation of costs of transmission projects that reduce congestion on certain interfaces |
| *PSC-28-13-00016-P | exempt | The request of NGT for lightened regulation as a gas corporation. | To consider whether to approve, reject, or modify the request of Niagara gas transport of Lockport, NY LLC. |
| *PSC-28-13-00017-P | exempt | The request by TE for waiver of regulations requiring that natural gas be odorized in certain gathering line segments | Consider the request by TE for waiver of regulations that gas be odorized in certain lines |
| *PSC-32-13-00009-P | exempt | To consider the definition of ''misleading or deceptive conduct'' in the Commission's Uniform Business Practices | To consider the definition of ''misleading or deceptive conduct'' in the Commission's Uniform Business Practices |
| *PSC-32-13-00010-P | exempt | Permission to write off and eliminate record keeping for regulatory reserves for Pensions and Other Post Retirement Benefits | To allow write off and eliminate record keeping of Pension and Other Post Retirement Benefits Reserves |
| *PSC-32-13-00012-P | exempt | To consider whether NYSEG should be required to undertake actions to protect its name and to minimize customer confusion | To consider whether NYSEG should be required to undertake actions to protect its name and to minimize customer confusion |
| *PSC-33-13-00027-P | exempt | Waive underground facility requirements for new construction in residential subdivisions to allow for overhead electric lines. | Determine whether Chapin Lumberland, LLC subdivision will be allowed overhead electric distribution and service lines. |
| *PSC-33-13-00029-P | exempt | Deferral of incremental costs associated with the restoration of steam service following Superstorm Sandy. | To consider a petition by Con Edison to defer certain incremental steam system restoration costs relating to Superstorm Sandy. |
| *PSC-34-13-00004-P | exempt | Escrow account and surcharge to fund extraordinary repairs | To approve the establishment of an escrow account and surcharge |
| *PSC-37-13-00007-P | exempt | Dissolution of Garrow Water Works Company, Inc.. | To allow for the dissolution of Garrow Water Works Company, Inc. |
| *PSC-39-13-00010-P | exempt | NY-Sun initiative within the Customer-Sited Tier of the RPS Program. | To increase the statewide adoption of customer sited photovoltaic solar generation through the NY-Sun Initiative. |
| *PSC-42-13-00013-P | exempt | Failure to Provide Escrow Information | The closure of the Escrow Account |
| *PSC-42-13-00015-P | exempt | Failure to Provide Escrow Information | The closure of the Escrow Account |
| *PSC-43-13-00015-P | exempt | Petition for submetering of electricity | To consider the request of 2701 Kingsbridge Terrace L.P. to submeter electricity at 2701 Kingsbridge Terrace, Bronx, N.Y. |

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| **PUBLIC SERVICE COMMISSION** | | | |
| *PSC-45-13-00021-P | exempt | Investigation into effect of bifurcation of gas and electric utility service on Long Island. | To consider a Petition for an investigation into effect of bifurcation of gas and electric utility service on Long Island. |
| *PSC-45-13-00022-P | exempt | Waiver of PSC regulations, 16 NYCRR section 88.4(a)(4) | To consider a waiver of certain regulations relating to the content of an application for transmission line siting |
| *PSC-45-13-00023-P | exempt | Waiver of PSC regulations, 16 NYCRR section 88.4(a)(4). | To consider a waiver of certain regulations relating to the content of an application for transmission line siting |
| *PSC-45-13-00024-P | exempt | Waiver of PSC regulations, 16 NYCRR section 88.4(a)(4); waiver of filing deadlines. | To consider a waiver of certain regulations relating to the content of an application for transmission line siting |
| *PSC-45-13-00025-P | exempt | Waiver of PSC regulations, 16 NYCRR section 88.4(a)(4). | To consider a waiver of certain regulations relating to the content of an application for transmission line siting |
| *PSC-47-13-00009-P | exempt | Petition for submetering of electricity. | To consider the request of Hegeman Avenue Housing L.P. to submeter electricity at 39 Hegeman Avenue, Brooklyn, N.Y. |
| *PSC-47-13-00012-P | exempt | Conditioning,restricting or prohibiting the purchase of services by NYSEG and RG&E from certain affiliates. | Consideration of conditioning,restricting or prohibiting the purchase of services by NYSEG and RG&E from certain affiliates. |
| *PSC-49-13-00008-P | exempt | Authorization to transfer all of Crystal Water Supply Company, Inc. stocks to Essel Infra West Inc. | To allow Crystal Water Supply Company, Inc to transfer all of its issued and outstanding stocks to Essel Infra West Inc. |
| *PSC-51-13-00009-P | exempt | Consolidated Edison proposing to use data from a test period ending September 30, 2013 to support its next rate filing. | To ensure there is a reasonable basis for data submitted in support of a request for a change in rates. |
| *PSC-51-13-00010-P | exempt | Consolidated Edison proposing to use data from a test period ending September 30, 2013 to support its next rate filing. | To ensure there is a reasonable basis for data submitted in support of a request for a change in rates. |
| *PSC-51-13-00011-P | exempt | Consolidated Edison proposing to use data from a test period ending September 30, 2013 to support its next rate filing. | To ensure there is a reasonable basis for data submitted in support of a request for a change in rates. |
| *PSC-52-13-00012-P | exempt | The development of reliability contingency plan(s) to address the potential retirement of Indian Point Energy Center (IPEC). | To address the petition for rehearing and reconsideration/motion for clarification of the IPEC reliability contingency plan(s). |
| *PSC-52-13-00015-P | exempt | To enter into a loan agreement with the banks for up to an amount of $94,000. | To consider allowing Knolls Water Company to enter into a long-term loan agreement. |
| *PSC-01-14-00017-P | exempt | Residential Time-of-Use Rates | To establish residential optional time of use delivery and commodity rates |
| PSC-03-14-00009-P | exempt | disposition of tax refunds and other related matters | to determine the disposition of tax refunds and other related matters |
| PSC-04-14-00005-P | exempt | National Fuel Gas Corporation's Conservation Incentive Programs. | To modify National Fuel Gas Corporation's Non-Residential Conservation Incentive Program. |

| Agency I.D. No. | Expires | Subject Matter | Purpose of Action |
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| **PUBLIC SERVICE COMMISSION** | | | |
| PSC-05-14-00010-P | exempt | The New York State Reliability Council's revisions to its rules and measurements | To adopt revisions to various rules and measurements of the New York State Reliability Council |
| PSC-07-14-00008-P | exempt | Petition for submetering of electricity | To consider the request of Greater Centennial Homes HDFC, Inc. to submeter electricity at 102, 103 and 106 W 5th Street, et al. |
| PSC-07-14-00012-P | exempt | Water rates and charges | Implementation of Long-Term Water Supply Surcharge to recover costs associated with the Haverstraw Water Supply Project |
| PSC-08-14-00015-P | exempt | Verizon New York Inc.'s service quality and Customer Trouble Report Rate (CTRR) levels at certain central office entities | To improve Verizon New York Inc.'s service quality andthe Customer Trouble Report Rate levels at certain central office entities |
| PSC-10-14-00006-P | exempt | Actions to facilitate the availability of ESCO value-added offerings, ESCO eligibility and ESCO compliance | To facilitate ESCO value-added offerings and to make changes to ESCO eligibility and to ensure ESCO compliance |
| PSC-11-14-00003-P | exempt | Provision for the recovery and allocation of costs of transmission projects that reduce congestion on certain interfaces | To consider the recovery and allocation of costs of transmission projects that reduce congestion on certain interfaces |
| PSC-16-14-00014-P | exempt | Whether to order NYSEG to provide gas service to customers when an expanded CPCN is approved and impose PSL 25-a penalties. | To order gas service to customers in the Town of Plattsburgh after approval of a town wide CPCN and to impose penalties. |
| PSC-16-14-00015-P | exempt | Whether Central Hudson should be permitted to defer obligations of the Order issued on October 18, 2013 in Case 13-G-0336. | Consideration of the petition by Central Hudson to defer reporting obligations of the October 18, 2013 Order in Case 13-G-0336 |
| PSC-16-14-00016-P | exempt | Waiver of Commission regulations governing termination of service. | Consider United Water New York Inc.'s proposal to expand termination of service provisions. |
| PSC-17-14-00003-P | exempt | Con Edison's Report on its 2013 performance under the Electric Service Reliability Performance Mechanism | Con Edison's Report on its 2013 performance under the Electric Service Reliability Performance Mechanism |
| PSC-17-14-00004-P | exempt | To consider certain portions of petitions for rehearing, reconsideration and/or clarification | To consider certain portions of petitions for rehearing, reconsideration and/or clarification |
| PSC-17-14-00007-P | exempt | To consider petitions for rehearing, reconsideration and/or clarification | To consider petitions for rehearing, reconsideration and/or clarification |
| PSC-17-14-00008-P | exempt | To consider certain portions of petitions for rehearing, reconsideration and/or clarification | To consider certain portions of petitions for rehearing, reconsideration and/or clarification |
| PSC-19-14-00014-P | exempt | Market Supply Charge | To make tariff revisions to the Market Supply Charge for capacity related costs |
| PSC-19-14-00015-P | exempt | Whether to permit the use of the Sensus accuWAVE for use in residential and commercial gas meter applications | To permit gas utilities in New York State to use the Sensus accuWAVE 415TC gas meter |
| PSC-19-14-00018-P | exempt | Uniform System of Accounts, deferral of an expense item | Authorization of a deferral for an expense item beyond the end of the year in which it was incurred |
| PSC-22-14-00013-P | exempt | Petition to transfer and merge systems, franchises and assets. | To consider the Comcast and Time Warner Cable merger and transfer of systems, franchises and assets. |

| Agency I.D. No. | Expires | Subject Matter | Purpose of Action |
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| **PUBLIC SERVICE COMMISSION** | | | |
| PSC-23-14-00010-P | exempt | Whether to permit the use of the GE Dresser Series B3-HPC 11M-1480 rotary gas met for use in industrial gas meter applications | To permit gas utilities in New York State to use the GE Dresser Series B3-HPC 11M-1480 rotary gas meter |
| PSC-23-14-00014-P | exempt | Waiver of the negative revenue adjustment associated with KEDLI's 2013 Customer Satisfaction Performance Metric | Consideration of KEDLI's waiver request pertaining to its 2013 performance under its Customer Satisfaction Metric |
| PSC-24-14-00004-P | exempt | Approval of asset transfer. | To allow or disallow transfer of assets from Heritage Hills Water Works Corp. to Community Utilities of New York, Inc. |
| PSC-24-14-00005-P | exempt | To examine LDC's performance and performance measures. | To improve gas safety performance. |
| PSC-25-14-00015-P | exempt | Surcharges related to the System Benefits Charge, Energy Efficiency Portfolio Standard, Retail Renewable Portfolio Standard | To reduce the public benefit surcharge applicable to large industrial, commercial and institutional energy consumers |
| PSC-26-14-00010-P | exempt | Petitioner requests an order authorizing its participation in the next Main Tier solicitation offered under the RPS Program. | To enable continued operation of a 21 MW biomass fueled electric generating facility in Chateaugay, New York. |
| PSC-26-14-00013-P | exempt | Waiver of RG&E's tariffed definition of emergency generator. | To consider waiver of RG&E's tariffed definition of emergency generator. |
| PSC-26-14-00017-P | exempt | Existing ratemaking and rate design practices will be revised with a focus on outcomes and incentives. | To use the Commission's ratemaking authority to foster a DER-intensive system. |
| PSC-26-14-00020-P | exempt | New electric utility backup service tariffs and standards for interconnection may be adopted. | To encourage development of microgrids that enhance the efficiency, safety, reliability and resiliency of the electric grid. |
| PSC-26-14-00021-P | exempt | Consumer protections, standards and protocols pertaining to access to customer data may be established. | To balance the need for the information necessary to support a robust market with customer privacy concerns. |
| PSC-28-14-00014-P | exempt | Petition to transfer systems, franchises and assets. | To consider the Comcast and Charter transfer of systems, franchise and assets. |
| PSC-30-14-00023-P | exempt | Whether to permit the use of the Sensus iPERL Fire Flow Meter. | Pursuant to 16 NYCRR Part 500.3 , it is necessary to permit the use of the Sensus iPERL Fire Flow Meter. |
| PSC-30-14-00025-P | exempt | Allocation of uncommitted Technology and Market Development Funds to the Combined Heat & Power Performance Program. | To consider allocation of uncommitted Technology & Market Development Funds to the Combined Heat & Power Performance Program. |
| PSC-30-14-00026-P | exempt | Petition for a waiver to master meter electricity. | Considering the request of Renaissance Corporation of to master meter electricity at 100 Union Drive,Albany, NY. |
| PSC-31-14-00004-P | exempt | To transfer 100% of the issued and outstanding stock from Vincent Cross to Bonnie and Michael Cross | To transfer 100% of the issued and outstanding stock from Vincent Cross to Bonnie and Michael Cross |
| PSC-32-14-00009-P | exempt | Refueling options for the Dunkirk generating station located in Dunkirk, New York, and alternatives | To address the joint petition for rehearing of the Commission's Order related to refueling the Dunkirk generating station |

| Agency I.D. No. | Expires | Subject Matter | Purpose of Action |
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| **PUBLIC SERVICE COMMISSION** | | | |
| PSC-32-14-00012-P | exempt | Whether to grant or deny, in whole or in part, the Connect New York Coalition's petition | To consider the Connect New York Coalition's petition seeking a formal investigation and hearings |
| PSC-34-14-00009-P | exempt | Whether to approve the Quadlogic S10N residential submeter. | Approval of the Quadlogic S10N Smart Meter for use in residential electric submetering is required by 16 NYCRR Parts 93 and 96. |
| PSC-35-14-00004-P | exempt | Regulation of a proposed electricity generation facility located in the Town of Brookhaven, NY | To consider regulation of a proposed electricity generation facility located in the Town of Brookhaven, NY |
| PSC-35-14-00005-P | exempt | Whether to permit the use of the Sensus iConA electric meter | Pursuant to 16 NYCRR Parts 92 and 93, Commission approval is necessary to permit the use of the Sensus iConA electric meter |
| PSC-36-14-00009-P | exempt | Modification to the Commission's Electric Safety Standards. | To consider revisions to the Commission's Electric Safety Standards. |
| PSC-36-14-00010-P | exempt | The procurement of Main Tier renewable resources will become the responsibility of the State's electric utilities. | To ensure the development of large-scale remnewables in New York State to promote fuel diversity and reduce carbon emissions. |
| PSC-36-14-00011-P | exempt | To defer pension settlement losses associated with retirements in the year ended March 31, 2014. | To resolve the ratemaking of the pension settlement loss. |
| PSC-38-14-00003-P | exempt | Whether to approve, reject or modify, in whole or in part a time-sensitive rate pilot program. | Whether to approve, reject or modify, in whole or in part a time-sensitive rate pilot program. |
| PSC-38-14-00004-P | exempt | The study and petition of Con Edison regarding use, accounting and ratemaking treatment for 11-23 and 2-28 Hudson Ave. Brooklyn. | The study and petition of Con Edison regarding use, accounting and ratemaking treatment for 11-23 and 2-28 Hudson Ave. Brooklyn. |
| PSC-38-14-00005-P | exempt | Action on the report and petition of Con Edison regarding the Storm Hardening and Resiliency Collaborative, Phase 2. | Action on the report and petition of Con Edison regarding the Storm Hardening and Resiliency Collaborative, Phase 2. |
| PSC-38-14-00007-P | exempt | Whether to expand Con Edison's low income program to include Medicaid recipients. | Whether to expand Con Edison's low income program to include Medicaid recipients. |
| PSC-38-14-00008-P | exempt | The study and petition of Con Edison regarding use, accounting and ratemaking treatment for 11-23 and 2-28 Hudson Ave. Brooklyn. | The study and petition of Con Edison regarding use, accounting and ratemaking treatment for 11-23 and 2-28 Hudson Ave. Brooklyn. |
| PSC-38-14-00010-P | exempt | Inter-carrier telephone service quality standard and metrics and administrative changes. | To review recommendations from the Carrier Working Group and incorporate appropriate modifications to the existing Guidelines. |
| PSC-38-14-00012-P | exempt | Action on the report and petition of Con Edison regarding the Storm Hardening and Resiliency Collaborative, Phase 2. | Action on the report and petition of Con Edison regarding the Storm Hardening and Resiliency Collaborative, Phase 2. |
| PSC-38-14-00018-P | exempt | New electric utility demand response tariffs may be adopted. | To develop mature DER markets by enabling the development and use of DR as an economic system resource. |
| PSC-39-14-00020-P | exempt | Whether to permit the use of the Mueller Systems 400 Series and 500 Series of water meters | Pursuant to 16 NYCRR section 500.3, whether to permit the use of the Mueller Systems 400, and 500 Series of water meters |

| Agency I.D. No. | Expires | Subject Matter | Purpose of Action |
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| **PUBLIC SERVICE COMMISSION** | | | |
| PSC-40-14-00008-P | exempt | To consider granting authorization for Buy Energy Direct to resume marketing to residential customers. | To consider granting authorization for Buy Energy Direct to resume marketing to residential customers. |
| PSC-40-14-00009-P | exempt | Whether to permit the use of the Itron Open Way Centron Meter with Hardware 3.1 for AMR and AMI functionality. | Pursuant to 16 NYCRR Parts 93, is necessary to permit the use of the Itron Open Way Centron Meter with Hardware 3.1. |
| PSC-40-14-00011-P | exempt | Late Payment Charge. | To modify Section 7.6 - Late Payment Charge to designate a specific time for when a late payment charge is due. |
| PSC-40-14-00013-P | exempt | Regulation of a proposed natural gas pipeline and related facilities located in the Town of Ticonderoga, NY. | To consider regulation of a proposed natural gas pipeline and related facilities located in the Town of Ticonderoga, NY. |
| PSC-40-14-00014-P | exempt | Waiver of 16 NYCRR Sections 894.1 through 894.4(b)(2) | To allow the Town of Goshen, NY, to waive certain preliminary franchising procedures to expedite the franchising process. |
| PSC-40-14-00015-P | exempt | Late Payment Charge. | To modify Section 6.6 - Late Payment Charge to designate a specific time for when a late payment charge is due. |
| PSC-41-14-00011-P | exempt | Establishment of annual collections caps and collection and spending mechanisms as described in the Clean Energy Fund Proposal | Consideration of proposal by NYSERDA for the establishment of annual collections caps and collection and spending mechanisms |
| PSC-41-14-00012-P | exempt | Funding and management of the NY-Sun program as described in the Clean Energy Fund Proposal | Consideration of proposal by NYSERDA for the funding and management of the NY-Sun program |
| PSC-41-14-00013-P | exempt | Funding and management of the New York Green Bank as described in the Clean Energy Fund Proposal and NY Green Bank Petition | Consideration of proposal by NYSERDA for the funding and management of the New York Green Bank |
| PSC-41-14-00014-P | exempt | Funding and management of a Market Development program as described in the Clean Energy Fund Proposal | Consideration of proposal by NYSERDA for the funding and management of a Market Development program |
| PSC-41-14-00015-P | exempt | Funding and management of a Technology and Business Innovation program as described in the Clean Energy Fund Proposal | Consideration of proposal by NYSERDA for the funding and management of a Technology and Business Innovation program |
| PSC-42-14-00003-P | exempt | Annual Reconciliation of Gas Expenses and Gas Cost Recoveries | The filings of various LDCs and municipalities regarding their Annual Reconciliation of Gas Expenses and Gas Cost Recoveries |
| PSC-42-14-00004-P | exempt | Winter Bundled Sales Service Option | To modify SC-11 to remove language relating to fixed storage charges in the determination of the Winter Bundled Sales charge |
| PSC-45-14-00003-P | exempt | Notice of Intent to Submeter electricity | To consider the request of Bedford-Stuyvesant South One LLC to submeter electricity at 27 Albany Avenue, Brooklyn, NY |
| PSC-48-14-00014-P | exempt | Considering the recommendations contained in Staff' s electric outage investigation report for MNRR, New Haven Line. | To consider the recommendations contained in Staff's electric outage investigation report for MNRR, New Haven Line. |

| Agency I.D. No. | Expires | Subject Matter | Purpose of Action |
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| **PUBLIC SERVICE COMMISSION** | | | |
| PSC-51-14-00006-P | exempt | The Northeast Power Coordinating Council, Inc's A-Criteria documents and Criteria | To adopt revisions to various rules and measurements of the Northeast Power Coordinating Council, Inc. |
| PSC-52-14-00019-P | exempt | Petition for a waiver to master meter electricity. | Considering the request of 614 South Crouse Avenue, LLC to master meter electricity at 614 South Crouse Avenue, Syracuse, NY.. |
| PSC-52-14-00026-P | exempt | Community Choice Aggregation. | To consider action related to Community Choice Aggregation. |
| PSC-01-15-00014-P | exempt | State Universal Service Fund Disbursements | To consider Edwards Telephone Company's request for State Universal Service Fund disbursements |
| PSC-01-15-00017-P | exempt | Reimbursement of costs for construction under 16 NYCRR 230 | To determine proper reimbursement for costs related to trenching and construction |
| PSC-03-15-00002-P | exempt | Waiver of tariff provisions related to SC 14 Non-Core Transportation Services for Electric Generation | To determine whether a waiver is warranted |
| PSC-04-15-00008-P | exempt | Re-billing SC No. 2 customers from March 2008 through March 2014. | To determine whether re-billing SC No. 2 customers by the Companies' proposed methodology customers is appropriate. |
| PSC-04-15-00010-P | exempt | To modify the retail access program under SC No. 19 - Seller Transportation Aggregation Service. | To modify the retail access program to implement Tier 2A – Storage Capacity Release and make other tariff changes. |
| PSC-04-15-00011-P | exempt | To modify the retail access program under SC No. 8 - Seller Services. | To modify the retail access program to implement Tier 2A - Storage Capacity Release and make other tariff changes. |
| PSC-04-15-00012-P | exempt | Disposition of tax refunds and other related matters. | To determine the disposition of tax refunds and other related matters. |
| PSC-06-15-00003-P | exempt | Petition for submetering of electricity | To consider the request of City Point Residential LLC, to submeter electricity at 366 Flatbush Avenue Ext, Brooklyn, New York |
| PSC-07-15-00006-P | exempt | Whether to order a remand regarding payphone rates | Whether to order a remand regarding payphone rates and award refunds |
| PSC-08-15-00009-P | exempt | Approval of a surcharge. | To allow or disallow Emerald Green Lake Louise Marie Water Company, Inc. for a surcharge. |
| PSC-08-15-00010-P | exempt | Request pertaining to the lawfulness of National Grid USA continuing its summary billing program. | To grant, deny, or modify URAC Rate Consultants' request that National Grid cease its summary billing program. |
| PSC-10-15-00007-P | exempt | Notification concerning tax refunds | To consider Verizon New York Inc.'s partial rehearing or reconsideration request regarding retention of property tax refunds |
| PSC-10-15-00008-P | exempt | Whether to waive Policy on Test Periods in Major Rate Proceedings and provide authority to file tariff changes | Whether to waive Policy on Test Periods in Major Rate Proceedings and provide authority to file tariff changes |
| PSC-10-15-00009-P | exempt | Contingency Tariffs regarding demand response issues | To consider Contingency Tariffs regarding demand response issues |

| Agency I.D. No. | Expires | Subject Matter | Purpose of Action |
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| **PUBLIC SERVICE COMMISSION** | | | |
| PSC-10-15-00010-P | exempt | Notification concerning tax refunds | To consider Verizon New York Inc.'s request to retain a portion of a property tax refund |
| PSC-12-15-00007-P | exempt | The Annual Reconciliation of Gas Expenses and Gas Cost Recoveries codified at Title 16 NYCRR Section 720.6.5 | Examine the Annual Reconciliation of Gas Expenses and Gas Cost Recoveries mechanism |
| PSC-12-15-00008-P | exempt | Minor electric rate filing | To approve an increase in annual electric revenues by approximately $299,966 or 3.2% |
| PSC-13-15-00024-P | exempt | Whether Leatherstocking should be permitted to recover a shortfall in earnings | To decide whether to approve Leatherstocking's request to recover a shortfall in earnings |
| PSC-13-15-00026-P | exempt | Whether to permit the use of the Sensus Smart Point Gas AMR/AMI product | To permit the use of the Sensus Smart Point Gas AMR/AMI product |
| PSC-13-15-00027-P | exempt | Whether to permit the use of the Measurlogic DTS 310 electric submeter | To permit the use of the Measurlogic DTS 310 submeter |
| PSC-13-15-00028-P | exempt | Whether to permit the use of the SATEC EM920 electric meter | To permit necessary to permit the use of the SATEC EM920 electric meter |
| PSC-13-15-00029-P | exempt | Whether to permit the use the Triacta Power Technologies 6103, 6112, 6303, and 6312 electric submeters | To permit the use of the Triacta submeters |
| PSC-15-15-00005-P | exempt | The approval of Arteche's Medium Voltage Class Metering Instrument Transformers in New York State | Whether to approve the use of Arteche's Medium Voltage Class Metering Instrument Transformers in New York State |
| PSC-15-15-00007-P | exempt | Notification concerning tax refunds | To consider Verizon New York Inc.'s request to retain a portion of a property tax refund |
| PSC-15-15-00008-P | exempt | Minor electric rate filing | To approve an increase in annual electric revenues by approximately $1,197,760 or 2.48% |
| PSC-17-15-00004-P | exempt | Rehearing of the Commission's Order Adopting Regulatory Policy Framework and Implementation Plan | Consideration of a petition for rehearing |
| PSC-17-15-00006-P | exempt | Petition to submeter electricity | To consider the request of 56th and Park (NY) LLC, to submeter electricity at 432 Park Avenue, New York, New York |
| PSC-17-15-00007-P | exempt | To consider the petition of Leatherstocking Gas Company, LLC seeking authority to issue long-term debt of $2.75 million | To consider the petition of Leatherstocking Gas Company, LLC seeking authority to issue long-term debt of $2.75 million |
| PSC-17-15-00009-P | exempt | To make clarifying tariff revisions | For approval to make clarifying revisions to Rule 28 - Special Services Performed by Company at a Charge |
| PSC-18-15-00004-P | exempt | National Grid's electric Economic Development Programs | To revise the economic development assistance to qualified businesses |
| PSC-18-15-00005-P | exempt | Con Edison's Report on its 2014 performance under the Electric Service Reliability Performance Mechanism | Con Edison's Report on its 2014 performance under the Electric Service Reliability Performance Mechanism |

| Agency I.D. No. | Expires | Subject Matter | Purpose of Action |
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| **PUBLIC SERVICE COMMISSION** | | | |
| PSC-18-15-00007-P | exempt | National Grid's Economic Development Programs | To authorize a new economic development program for National Grid's natural gas service territory |
| PSC-19-15-00011-P | exempt | Gas Safety Performance Measures and associated negative revenue adjustments | To update the performance measures applicable to KeySpan Gas East Corporation d/b/a National Grid |
| PSC-19-15-00015-P | exempt | To consider the request of Hudson CBD Flatbush LLC to submeter electricity at 626 Flatbush Avenue, Brooklyn, New York | To consider the request of Hudson CBD Flatbush LLC to submeter electricity at 626 Flatbush Avenue, Brooklyn, New York |
| PSC-20-15-00006-P | exempt | Implementation of the proposed Microgrid Business Model as a reliability and demand management resource | Consider implementation of the proposed Microgrid Business Model as a reliability and demand management resource |
| PSC-20-15-00008-P | exempt | Petition for rehearing and/or clarification of the Commission's Order, issued in Case 13-W-0246 | To consider the petition for rehearing and/or clarification filed by the Town of Ramapo |
| PSC-22-15-00015-P | exempt | To consider the request for waiver of the individual residential unit meter requirements and 16 NYCRR 96.1(a) | To consider the request for waiver of the individual residential unit meter requirements and 16 NYCRR 96.1(a) |
| PSC-23-15-00005-P | exempt | The modification of New York American Water's current rate plan | Whether to adopt the terms of the Joint Proposal submitted by NYAW and DPS Staff |
| PSC-23-15-00006-P | exempt | The modification of New York American Water's current rate plan | Whether to adopt the terms of the Joint Proposal submitted by NYAW and DPS Staff |
| PSC-23-15-00007-P | exempt | Notice of Intent to Submeter electricity | To consider the request of 200 W. 54 Corp. to submeter electricity at 200 West 54th Street, New York, New York |
| PSC-24-15-00011-P | exempt | To consider adopting the recommendations of the Staff Report on addressing energy affordability for low income programs | To consider the Staff Report on, and recommendations of, best practices for implementing utility low income programs |
| PSC-25-15-00007-P | exempt | Waiver of certain Commission requirements related to blocking caller ID for emergency services | To allow a non-profit entity acting as an emergency service the ability to receive unblocked caller ID numbers |
| PSC-25-15-00008-P | exempt | Notice of Intent to Submeter electricity. | To consider the request of 165 E 66 Residences, LLC to submeter electricity at 165 East 66th Street, New York, New York. |
| PSC-25-15-00010-P | exempt | Notice of Intent to Submeter electricity | To consider the request of 250 West Street Condominium to submeter electricity at 250 West Street, New York, New York |
| PSC-26-15-00014-P | exempt | To consider the request for partial waiver of the energy audit requirements in 16 NYCRR Section 96.5(k) | To consider the request for partial waiver of the energy audit requirements in 16 NYCRR Section 96.5(k) |
| PSC-26-15-00016-P | exempt | Petition to Submeter electricity | To consider the request of 39 Plaza Housing Corporation to submeter electricity at 39 Plaza Street West, Brooklyn, New York |
| PSC-27-15-00011-P | exempt | Repowering options for the Cayuga Generating Facility located in Lansing, New York, and other alternatives | To establish whether utility plans should include repowering the Cayuga Generating Facility, or other alternatives |

| Agency I.D. No. | Expires | Subject Matter | Purpose of Action |
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| **PUBLIC SERVICE COMMISSION** | | | |
| PSC-27-15-00014-P | exempt | Authorization for NYAW to accrue interest on internal reserve debit balances | To allow NYAW to accrue interest on internal reserve debit balances |
| PSC-28-15-00006-P | exempt | The minor electric rate filing of Mohawk Municipal Commission | Whether to increase Mohawk Municipal Commission's annual electric revenues by approximately $113,119 or 13.74% |
| PSC-29-15-00016-P | exempt | A benefit-cost framework will be adopted to guide utility proposals within the context of REV and related proceedings | To develop a method for valuing resources that will further REV objectives |
| PSC-29-15-00017-P | exempt | Notification concerning tax refunds | To consider Verizon New York Inc.'s request to retain a portion of a property tax refund |
| PSC-29-15-00018-P | exempt | Approval of ratemaking related to amendment a certificate of public convenience and necessity | To approve or reject the ratemaking aspects of SLG's petition to amend its certificate of public convenience and necessity |
| PSC-29-15-00020-P | exempt | Whether to waive the requirement that the customer have telecommunications service in place 30 days prior to July 1, 2015 | To waive the requirement that the customer have telecommunications service in place 30 days prior to July 1, 2015 |
| PSC-29-15-00022-P | exempt | Notice of Intent to Submeter electricity | To consider the request of 92nd and 3rd Associates, LLC to submeter electricity at 205 East 92nd Street, New York, New York |
| PSC-29-15-00023-P | exempt | Notice of Intent to Submeter electricity | To consider the request of Bridge Land Hudson LLC to submeter electricity at 261 Hudson Street, New York, New York |
| PSC-29-15-00025-P | exempt | Joint Petition for authority to transfer real property located at 624 West 132nd Street, New York, NY | Whether to authorize the proposed transfer of real property located at 624 West 132nd Street, New York, NY |
| PSC-30-15-00003-P | exempt | Petition to transfer and merge systems, franchises and assets and issue debt. | To consider the Charter and Time Warner Cable merger and transfer of systems, franchises and assets and issuance of debt. |
| PSC-31-15-00004-P | exempt | Proposed electric Energy Efficiency Budget and Metrics Plan | To establish an Energy Efficiency Budget and Metrics Plan for the Company's electric portfolio for the years 2016-2018 |
| PSC-31-15-00005-P | exempt | Proposed gas Energy Efficiency Budget and Metrics Plan | To establish an Energy Efficiency Budget and Metrics Plan for the Company's gas portfolio for the years 2016-2018 |
| PSC-31-15-00006-P | exempt | Proposed electric Energy Efficiency Budget and Metrics Plan | To establish an Energy Efficiency Budget and Metrics Plan for the Company's electric portfolio for the years 2016-2018 |
| PSC-31-15-00007-P | exempt | Plan to convert petroleum pipeline into a natural gas pipeline | Whether to approve the proposed conversion plan submitted by NIC Holding Corp |
| PSC-31-15-00010-P | exempt | Whether to approve, reject or modify, in whole or in part, revisions to Appendix B of the December 12, 2014 Order | To revise Appendix B of the December 12, 2014 Order |
| PSC-31-15-00013-P | exempt | Proposed gas Energy Efficiency Budget and Metrics Plan | To establish an Energy Efficiency Budget and Metrics Plan for the Company's gas portfolio for the years 2016-2018 |

| Agency I.D. No. | Expires | Subject Matter | Purpose of Action |
|---|---|---|---|
| **PUBLIC SERVICE COMMISSION** | | | |
| PSC-31-15-00014-P | exempt | Proposed gas Energy Efficiency Budget and Metrics Plan | To establish an Energy Efficiency Budget and Metrics Plan for the Company's gas portfolio for the years 2016-2018 |
| PSC-31-15-00015-P | exempt | Proposed gas Energy Efficiency Budget and Metrics Plan | To establish an Energy Efficiency Budget and Metrics Plan for the Company's gas portfolio for the years 2016-2018 |
| PSC-31-15-00016-P | exempt | Proposed electric Energy Efficiency Budget and Metrics Plan | To establish an Energy Efficiency Budget and Metrics Plan for the Company's electric portfolio for the years 2016-2018 |
| PSC-31-15-00017-P | exempt | Proposed electric Energy Efficiency Budget and Metrics Plans | To establish Energy Efficiency Budget and Metrics Plans for the Companies' electric portfolios for the years 2016-2018 |
| PSC-31-15-00018-P | exempt | Proposed gas Energy Efficiency Budget and Metrics Plans | To establish Energy Efficiency Budget and Metrics Plans for the Companies' gas portfolios for the years 2016-2018 |
| PSC-31-15-00019-P | exempt | Proposed electric Energy Efficiency Budget and Metrics Plan | To establish an Energy Efficiency Budget and Metrics Plan for the Company's electric portfolio for the years 2016-2018 |
| PSC-31-15-00020-P | exempt | Proposed gas Energy Efficiency Budget and Metrics Plans | To establish Energy Efficiency Budget and Metrics Plans for the Companies' gas portfolios for the years 2016-2018 |
| PSC-32-15-00005-P | exempt | Petition for rehearing of the Order Adopting Dynamic Load Management Filings with Modifications | To consider a petition for rehearing of the Order Adopting Dynamic Load Management Filings with Modifications |
| PSC-32-15-00006-P | exempt | Development of a Community Solar Demonstration Project. | To approve the development of a Community Solar Demonstration Project. |
| PSC-32-15-00007-P | exempt | Approval of cost recovery and incentive mechanisms for the NWA Project. | To approve the cost recovery and incentive mechanisms for the NWA Project. |
| PSC-32-15-00009-P | exempt | Amendments to the Uniform Business Practices of ESCOs. | To consider amendments to the Uniform Business Practices of ESCOs. |
| PSC-32-15-00012-P | exempt | Proposed standards for Commission oversight of Distributed Energy Resource suppliers. | To consider proposed standards for Commission oversight of Distributed Energy Resource suppliers. |
| PSC-33-15-00006-P | exempt | Recovery of costs related to an RSSA. | To recover costs related to an RSSA for services from R.E. Ginna Nuclear Power Plant, LLC. |
| PSC-33-15-00008-P | exempt | Existing ratemaking, rate design and regulatory practices will be revised with a focus on outcomes and incentives. | To use the Commission's ratemaking authority to foster a DER-intensive system. |
| PSC-33-15-00009-P | exempt | Remote net metering of a demonstration community net metering program. | To consider approval of remote net metering of a demonstration community net metering program. |
| PSC-33-15-00012-P | exempt | Remote net metering of a Community Solar Demonstration Project. | To consider approval of remote net metering of a Community Solar Demonstration Project. |

| Agency I.D. No. | Expires | Subject Matter | Purpose of Action |
|---|---|---|---|
| **PUBLIC SERVICE COMMISSION** | | | |
| PSC-34-15-00014-P | exempt | Notice of Intent to Submeter electricity | To consider the request of Herkimer Street Residence, L.P. to submeter electricity at 437 Herkimer Street, Brooklyn, New York |
| PSC-34-15-00021-P | exempt | Petition by NYCOM requesting assistance with obtaining information on CLECs and ESCOs | To consider the petition by NYCOM requesting assistance with obtaining information on CLECs and ESCOs |
| PSC-35-15-00008-P | exempt | Major electric revenue increase | To consider an increase to its annual electric revenues by approximately $857,227 or 6.2% |
| PSC-35-15-00009-P | exempt | Deferral of incremental storm restoration expenses | Consideration of Central Hudson Gas & Electric's request to defer incremental expenses incurred during storm restoration work |
| PSC-35-15-00010-P | exempt | Notice of Intent to Submeter Electricity | To consider the request of 605 West 42nd Owner LLC to submeter electricity at 605 West 42nd Street, New York, New York |
| PSC-35-15-00011-P | exempt | Demand based Standby Service Charges levied upon Offset Tariff customers accounts | To consider a revision to demand based Standby Service Charges levied upon Offset Tariff customers accounts |
| PSC-35-15-00012-P | exempt | Consideration of consequences against Spectrum Gas & Electric, LLC for violations of the UBP | To consider consequences against Spectrum Gas & Electric, LLC for violations of the UBP |
| PSC-35-15-00013-P | exempt | Consideration of consequences against Energy Your Way, LLC for violations of the UBP | To consider consequences against Energy Your Way, LLC for violations of the UBP |
| PSC-35-15-00014-P | exempt | Consideration of consequences against Light Power & Gas, LLC for violations of the UBP | To consider consequences against Light Power & Gas, LLC for violations of the UBP |
| PSC-35-15-00015-P | exempt | Consideration of consequences against National Power & Gas, Inc. for violations of the UBP | To consider consequences against National Power & Gas, Inc. for violations of the UBP |
| PSC-35-15-00016-P | exempt | Consideration of consequences against Engineered Energy Solutions, LLC for violations of the UBP | To consider consequences against Engineered Energy Solutions, LLC for violations of the UBP |
| PSC-35-15-00017-P | exempt | Consideration of consequences against Ipsum Solutions, Inc. for violations of the UBP | To consider consequences against Ipsum Solutions, Inc. for violations of the UBP |
| PSC-36-15-00025-P | exempt | Notice of Intent to submeter electricity. | To consider the request of 42 West Broad Developers LLC to submeter electricity at 42 Broad Street West, Mount Vernon, New York. |
| PSC-37-15-00006-P | exempt | Major electric rate filing | To consider an increase in NYSEG's electric delivery revenues by approximately $122 million or 16.8% |
| PSC-37-15-00007-P | exempt | Submetered electricity | To consider the request of 89 Murray Street Ass. LLC, for clarification of the submetering order issued December 20, 2007 |
| PSC-37-15-00008-P | exempt | Major gas rate filing | To consider an increase in NYSEG's gas delivery revenues by approximately $36.3 million or 19.1% |

| Agency I.D. No. | Expires | Subject Matter | Purpose of Action |
|---|---|---|---|
| **PUBLIC SERVICE COMMISSION** | | | |
| PSC-37-15-00009-P | exempt | Major electric rate filing | To consider an increase in RG&E's electric delivery revenues by approximately $53 million or 12.1% |
| PSC-37-15-00010-P | exempt | Major gas rate filing | To consider an increase in RG&E's gas delivery revenues by approximately $21.8 million or 31.1% |
| PSC-37-15-00012-P | exempt | Issuance by Corning of long-term indebtedness | To consider Corning's petition for authority to issue approximately $34.7 million in long-term debt |
| PSC-38-15-00007-P | exempt | Report of Con Edison regarding the Storm Hardening and Resiliency Collaborative, Phase 3 | To consider the report of Con Edison regarding the Storm Hardening and Resiliency Collaborative, Phase 3 |
| PSC-38-15-00008-P | exempt | Notice of Intent to submeter electricity | To consider the request of Community Counseling and Mediation to submeter electricity at 226 Linden Blvd., Brooklyn, New York |
| PSC-39-15-00008-P | exempt | Minor water rate filing | To consider an increase in Windham Ridge Water Corp.'s annual water revenues by approximately $15,059 or 24.8% |
| PSC-39-15-00010-P | exempt | Major gas revenue increase | To consider an increase to its annual gas revenues by approximately $1,228,000 or 2.96% |
| PSC-39-15-00011-P | exempt | A joint proposal filed on September 9, 2015 | Resolution of Cases 09-M-0114 and 09-M-0243 regarding alleged imprudent contractor-related construction expenditures |
| PSC-39-15-00012-P | exempt | Petition to submeter electricity | To consider the request of 47 East 34th Street (NY), L.P., to submeter electricity at 49 East 34th Street New York, New York |
| PSC-39-15-00013-P | exempt | Revisions to General Information Section 15 to allow recovery of certain NYISO tariff charges related to transmission projects | To consider revisions to General Information Section No. 15 to allow for recovery of certain NYISO charges |
| PSC-39-15-00014-P | exempt | Revisions to General Rule No. 25.1 to allow recovery of certain NYISO tariff charges related to transmission projects | To consider revisions to General Rule No. 25.1 to allow for recovery of certain NYISO charges related to transmission projects |
| PSC-40-15-00012-P | exempt | Establishment of the regulatory regime applicable to an approximately 106 MW electric generating facility | Consideration of approval of a lightened regulatory regime for an approximately 106 MW electric generating facility |
| PSC-40-15-00013-P | exempt | Issuance by Niagara Mohawk Power Corporation d/b/a National Grid of Long-Term indebtedness of up to $2.07 billion | To consider a petition for authority to issue long-term indebtedness in the amount of up to $2.07 billion until March 31, 2020 |
| PSC-40-15-00014-P | exempt | Whether to permit the use of the Open Way 3.5 with cellular communications | To consider the use of the Open Way 3.5 electric meter, pursuant to 16 NYCRR Parts 92 and 93 |
| PSC-41-15-00004-P | exempt | Whether to permit the use of the Enetics NILM Recorders | To consider permitting the use of the Enetics NILM Recorders |
| PSC-41-15-00005-P | exempt | Intergrow disputes National Grid's revenue assurance calculations | To consider whether the revenue assurance National Grid is requiring of Intergrow for the new interconnection is appropriate |

| Agency I.D. No. | Expires | Subject Matter | Purpose of Action |
|---|---|---|---|
| **PUBLIC SERVICE COMMISSION** | | | |
| PSC-41-15-00006-P | exempt | The addition of General Information Section 45 - Empire Zone Rate to Central Hudson's electric tariff | To consider the addition of General Information Section 45 - Empire Zone Rate to Central Hudson's electric tariff |
| PSC-41-15-00007-P | exempt | The allocation of costs for the extension of electric service | Whether to grant the complaint of Glenwyck Development, LLC |
| PSC-41-15-00008-P | exempt | Petition for deferral and recovery of lost revenue resulting from Central Hudson's proposed Empire Zone (EZ) Rate | To consider Central Hudson's petition for deferral and recovery of lost revenue resulting from its proposed EZ Rate provision |
| PSC-41-15-00009-P | exempt | Main Tier of the Renewable Portfolio Standard program | To consider allocating funding from the Main Tier to an eligible hydroelectric facility |
| PSC-41-15-00011-P | exempt | Deferral of incremental costs incurred in 2014 associated with increased gas leak response and repair activities | To consider a petition by Con Edison to defer certain incremental costs associated with gas leak response and repair activities |
| PSC-41-15-00012-P | exempt | Changes to the Commercial Demand Response programs, as well as conforming tariff revisions | To consider changes to the Commercial Demand Response programs, as well as conforming tariff revisions |
| PSC-42-15-00006-P | exempt | Deferral of incremental expenses associated with NERC's new Bulk Electric System (BES) compliance requirements approved by FERC. | Consideration of Central Hudson's request to defer incremental expenses associated with new BES compliance requirements. |
| PSC-42-15-00007-P | exempt | Notice of Intent to submeter electricity. | To consider the request of Sandy Clarkson LLC to submeter electricity at 310 Clarkson Avenue, Brooklyn, New York. |
| PSC-42-15-00008-P | exempt | Notice of Intent to submeter electricity. | To consider the request of 560 West 24th Street Condominium to submeter electricity at 552 West 24th Street, New York, New York. |
| PSC-42-15-00009-P | exempt | Revised method for assigning anniversary dates to net-metered residential PV customers. | To consider a revised method for assigning anniversary dates to net-metered residential PV customers. |
| PSC-42-15-00010-P | exempt | Petition for modification of Con Edison's S.C. No. 4 (Back-up/Supplementary) Steam Service. | To consider modifications to S.C. No. 4 of Con Edison's Schedule for Steam Service. |
| PSC-42-15-00011-P | exempt | Proposed transfer of ownership interests in Cayuga Operating Company, LLC and Somerset Operating Company, LLC. | To consider proposed transfer of ownership interests in Cayuga Operating Company, LLC and Somerset Operating Company, LLC. |
| PSC-42-15-00012-P | exempt | Establishment of the regulatory regime applicable to a proposed natural gas pipeline facility. | Consideration of a lightened regulatory regime for a proposed natural gas pipeline facility. |
| PSC-42-15-00013-P | exempt | Annual Reconciliation of Gas Expenses and Gas Cost Recoveries. | The filings of various LDCs and municipalities regarding their Annual Reconciliation of Gas Expenses and Gas Cost Recoveries. |
| PSC-44-15-00021-P | exempt | AMI Business Plan of Con Edison | To consider Con Edison's AMI Business Plan |
| PSC-44-15-00022-P | exempt | Cost recovery mechanism | To consider the addition of components to RG&E's Supply Charge for RG&E to recover costs for the NY Transco LLC projects |

| Agency I.D. No. | Expires | Subject Matter | Purpose of Action |
|---|---|---|---|
| **PUBLIC SERVICE COMMISSION** | | | |
| PSC-44-15-00023-P | exempt | Cost recovery mechanism | To consider the addition of components to NYSEG's Supply Charge for NYSEG to recover costs for the NY Transco LLC projects |
| PSC-44-15-00024-P | exempt | The development of reliability contingency plan(s) to address the potential retirement of Indian Point Energy Center | To identify the proposed projects for inclusion in the Indian Point Energy Center reliability contingency plan(s) |
| PSC-44-15-00025-P | exempt | Distributed System Implementation Plan Guidance | To consider DPS Staff's proposal regarding the filing of Distributed System Implementation Plans by utilities |
| PSC-44-15-00026-P | exempt | Transfer of water supply assets. | To consider the transfer of the water supply assets of Beekman Water Company, Inc. to the Town of East Fishkill. |
| PSC-44-15-00027-P | exempt | To establish a surcharge to recover costs due to unexpected repairs | To consider the recovery of expenses incurred as a result of having to replace two well pumps and electrical repairs |
| PSC-44-15-00028-P | exempt | Deferral of incremental expenses associated with new compliance requirements | Consideration of Central Hudson's request to defer incremental expenses associated with new compliance requirements |
| PSC-44-15-00029-P | exempt | Rider L - Direct Load Control (DLC) program and Residential Smart Appliance Program (RSAP) | To consider enhancing the DLC program and RSAP by expanding and increasing flexibility of both programs |
| PSC-44-15-00030-P | exempt | System Improvement Plan mechanism | To consider Bath's petition to implement a SIP mechanism |
| PSC-44-15-00031-P | exempt | Transfer ownership of property | To consider the transfer of street lighting assets to the Town of Greece |
| PSC-44-15-00032-P | exempt | Rider H - Non Residential Distributed Generation | To consider revisions to Rider H to allow for the aggregation of distributed generation capacity under certain circumstances |
| PSC-45-15-00010-P | exempt | Notice of Intent to submeter electricity. | To consider the request of One Vandam Condominium to submeter electricity at 180 Avenue of the Americas, New York, New York. |
| PSC-47-15-00008-P | exempt | Notice of Intent to Submeter electricity | To consider the request of 150 Charles Street Holdings LLC to submeter electricity at 150 Charles Street, New York, New York |
| PSC-47-15-00009-P | exempt | Addition of LED options to NMPC's SC No. 2 in its street lighting schedule, P.S.C. No. 214 - Electricity | To consider the addition of LED options to NMPC's SC No. 2 in its street lighting schedule, P.S.C. No. 214 - Electricity |
| PSC-47-15-00010-P | exempt | Use of the AMETEK JEMStar II Digital Power Meter | To consider permitting the use of AMETEK Power Instrument's JEMStar II Digital Power Meter for electric metering applications |
| PSC-47-15-00011-P | exempt | SIR and the interconnection of Distributed Generation | Consider SIR and the interconnection of Distributed Generation |
| PSC-47-15-00012-P | exempt | Reimbursement of costs for construction under 16 NYCRR 230 | To determine proper reimbursement for costs related to trenching and construction |
| PSC-47-15-00013-P | exempt | Whitepaper on Implementing Lightened Ratemaking Regulation. | Consider Whitepaper on Implementing Lightened Ratemaking Regulation. |

| Agency I.D. No. | Expires | Subject Matter | Purpose of Action |
|---|---|---|---|
| **PUBLIC SERVICE COMMISSION** | | | |
| PSC-47-15-00014-P | exempt | The calculation of NYSEG and RG&E's Percent of Estimate customer service quality metric for February 2015. | To consider a petition by NYSEG and RG&E to normalize the February 2015 level of meter reading estimates. |
| PSC-47-15-00015-P | exempt | Petition to transfer and merge telephone and cable systems, franchises and assets and issue debt. | Consider the the proposed acquisition of Cablevision, its systems, franchises and assets, by Altice and the issuance of debt. |
| PSC-48-15-00010-P | exempt | Lightened and incidental regulation of a 55 MW electric and steam generating facility. | Consider the lightened and incidental regulation of a 55 MW electric and steam generating facility. |
| PSC-48-15-00011-P | exempt | Proposal to retire Huntley Units 67 and 68 on March 1, 2016. | Consider the proposed retirement of Huntley Units 67 and 68. |
| PSC-49-15-00006-P | exempt | Petition to waive monthly billing for certain net-metered customers | To consider the request of Central Hudson to continue bimonthly meter reading and billing for certain net-metered customers |
| PSC-49-15-00007-P | exempt | Petition to transfer assets of AOMNE to NYAW | To consider the petition to transfer assets of AOMNE to NYAW |
| PSC-49-15-00008-P | exempt | Request of the New York Independent System Operator, Inc. to incur indebtedness | To consider a petition filed by the New York Independent System Operator, Inc. to incur indebtedness |
| PSC-49-15-00009-P | exempt | Petition for rehearing of the Order Establishing Interim Ceilings on the Interconnection of Net Metered Generation | To consider a Petition for rehearing of the Order Establishing Interim Ceilings on the Interconnection of Net Metered Generation |
| PSC-49-15-00010-P | exempt | Proposed revisions to Rule 34 - Economic Development Programs and SC No. 12 - Special Contract Rates | To consider revisions to Rule 34 - Economic Development Programs and SC No. 12 - Special Contract Rates |
| PSC-50-15-00006-P | exempt | The reduction of rates. | To consider the reduction of rates charged by Independent Water Works, Inc. |
| PSC-50-15-00007-P | exempt | Collaborative Report on proposed consumer protections for the low income customers of energy services companies. | To consider the Collaborative Report on proposed consumer protections for the low income customers of energy services companies. |
| PSC-50-15-00008-P | exempt | The transfer of 1,064 utility poles. | To consider the transfer of 1,064 utility poles from Orange and Rockland Utilities, Inc. to Frontier Communications Corp. |
| PSC-50-15-00009-P | exempt | Notice of Intent to submeter electricity. | To consider the request to submeter electricity at 31-33 Lincoln Road and 510 Flatbush Avenue, Brooklyn, New York. |
| PSC-51-15-00009-P | exempt | The New York State Reliability Council's establishment of an Installed Reserve Margin of 17.5% | To consider an Installed Reserve Margin for the Capability Year beginning May 1, 2016, and ending April 30, 2017 |
| PSC-51-15-00010-P | exempt | Modification of the EDP | To consider modifying the EDP |
| PSC-51-15-00011-P | exempt | National Grid's electric Economic Development Programs | To consider modifications to the economic development assistance to qualified businesses |
| PSC-51-15-00013-P | exempt | Waiver of the rule requiring new electric lines to be constructed underground in residential subdivisions | To consider a waiver of the rule requiring new electric lines to be constructed underground in residential subdivisions |

| Agency I.D. No. | Expires | Subject Matter | Purpose of Action |
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| **PUBLIC SERVICE COMMISSION** | | | |
| PSC-52-15-00013-P | exempt | The addition of third party financing options for Distributed Generation (DG), Natural Gas Vehicle (NGV) and Prime-WNY Programs. | To consider the addition of third party financing options for the DG, NGV and Prime-WNY Programs. |
| PSC-52-15-00014-P | exempt | Notice of Intent to submeter electricity. | To consider the Notice of Intent of EO 180 Water LLC to submeter electricity at 180 Water Street, New York, New York. |
| PSC-52-15-00015-P | exempt | Consequences pursuant to the Commission's Uniform Business Practices (UBP). | To consider whether to impose consequences on Astral for its apparent non-compliance with Commission requirements. |
| PSC-52-15-00016-P | exempt | Notice of Intent to submeter electricity. | To consider the Notice of Intent of G-Z/10 UNP Realty, LLC to submeter electricity at 823 First Avenue, New York, New York. |
| PSC-01-16-00002-P | exempt | Revenue assurance calculations | To consider whether the revenue assurance National Grid is requiring of Tiashoke for the upgraded service is appropriate |
| PSC-01-16-00003-P | exempt | Deferral of expenses | To consider a petition for the deferral of expenses |
| PSC-01-16-00004-P | exempt | Notice of Intent to submeter electricity | To consider the Notice of Intent of 10 Madison Square West to submeter electricity at 10 Madison Square West, New York, NY |
| PSC-01-16-00005-P | exempt | Proposed amendment to Section 5, Attachment 1.A of the Uniform Business Practices | To consider amendment to Section 5, Attachment 1.A of the Uniform Business Practices |
| PSC-02-16-00007-P | exempt | The application of the earnings sharing mechanism related to a partial year period. | To consider Orange and Rockland Utilities, Inc.'s petition to address the application of the earnings sharing mechanism. |
| PSC-02-16-00008-P | exempt | Establishment of the regulatory regime and financing applicable to certain electric transmission facilities. | Consideration of a lightened regulatory regime and financing for certain electric transmission facilities. |
| PSC-02-16-00009-P | exempt | Proposed revisions to SC Nos. 1 and 2 to include net metering services for solar and wind generation. | To consider revisions to SC Nos. 1 and 2 to include net metering services for solar and wind generation. |
| PSC-02-16-00010-P | exempt | The 2015 Electric Emergency Response Plans for New York's six major electric utilities. | To consider the 2015 Electric Emergency Response Plans for New York's six major electric utilities. |
| PSC-02-16-00011-P | exempt | Proposed tariff revisions intended to clarify HEFPA requirements related to court orders for gaining access to meters. | To consider tariff revisions intended to clarify HEFPA requirements related to court orders for gaining access to meters. |
| PSC-02-16-00012-P | exempt | NYSEG's procedures, terms and conditions of its Targeted Financial Assistance Program. | Provide additional economic development program assistance for a new manufacturing facility. |
| PSC-02-16-00013-P | exempt | Tariff revisions intended to clarify HEFPA requirements related to court orders for gaining access to meters. | To consider tariff revisions intended to clarify HEFPA requirements related to court orders for gaining access to meters. |

| Agency I.D. No. | Expires | Subject Matter | Purpose of Action |
|---|---|---|---|
| **STATE, DEPARTMENT OF** | | | |
| DOS-22-15-00017-P | 06/02/16 | Facility requirements for businesses which offer appearance enhancement services | Increase ventilation standards for businesses which offer appearance enhancement services |
| DOS-28-15-00004-EP | 08/30/16 | Installation of carbon monoxide detecting devices in commercial buildings | To amend the State Uniform Fire Prevention and Building Code (Uniform Code) by adding standards requiring the installation of carbon monoxide detecting devices in every commercial building |
| DOS-47-15-00016-P | 01/28/17 | State Energy Conservation Construction Code (the ''Energy Code'') | To repeal the existing Energy Code and to adopt a new, updated Energy Code |
| DOS-47-15-00017-P | 01/27/17 | Adopt updated provisions for the Uniform Fire Prevention and Building Code (''Uniform Code'') | To repeal the existing text of the Uniform Code and adopt updated text for the Uniform Code |
| DOS-49-15-00003-P | 12/08/16 | Cemetery annual financial reports; commercial crime coverage; and permanent maintenance fund contributions | To reduce the financial reporting burden and expense on cemeteries and ensure timely, accurate and complete reports are filed |
| **STATE UNIVERSITY OF NEW YORK** | | | |
| SUN-38-15-00002-P | 09/22/16 | Proposed amendments to traffic and parking regulations at State University of New York University at Buffalo | Amend existing regulations to update traffic and parking regulations |
| SUN-45-15-00001-P | 11/09/16 | To name a new street under construction on the Stony Brook Medical Center | To more clearly define traffic patterns at the Medical Center of Stony Brook University |
| SUN-47-15-00005-EP | 11/24/16 | College tuition and fees | To amend the tuition and fees to allow for resident or in-state tuition to certain veterans and their dependents |
| **TAXATION AND FINANCE, DEPARTMENT OF** | | | |
| TAF-49-15-00004-P | exempt | Fuel use tax on motor fuel and diesel motor fuel and the art. 13-A carrier tax jointly administered therewith | To set the sales tax component and the composite rate per gallon for the period January 1, 2016 through March 31, 2016 |
| **TEMPORARY AND DISABILITY ASSISTANCE, OFFICE OF** | | | |
| TDA-20-15-00001-P | 05/19/16 | Information appropriate for victims of sexual assault | To require social services districts to make all applicants for and recipients of public assistance aware of their option to receive information appropriate for victims of sexual assault consistent with Chapter 427 of the Laws of 2009 |
| TDA-22-15-00005-P | 06/02/16 | Supplemental Nutrition Assistance Program | Update regulations for the Transitional Benefits Alternative program |
| TDA-27-15-00002-P | 07/07/16 | Child support federal incentive payments | To update State procedures to distribute federal child support incentives and allocate portions thereof to local districts |
| TDA-37-15-00005-P | 09/15/16 | Monthly Shelter Supplements | To update State regulations to reflect current State law |

| Agency I.D. No. | Expires | Subject Matter | Purpose of Action |
|---|---|---|---|
| **TEMPORARY AND DISABILITY ASSISTANCE, OFFICE OF** | | | |
| TDA-39-15-00016-P | 09/29/16 | Temporary Housing Placements | Adjust the rate approval process for temporary housing placements and expand the scope of inspections for such placements |
| TDA-45-15-00011-P | 11/09/16 | Burden of proof at fair hearings challenging Interim Assistance Reimbursement (IAR) amounts | Clarify existing State regulations relative to fair hearings and render them consistent with New York State court precedents |
| TDA-45-15-00012-P | 11/09/16 | Public Assistance (PA) resources exemption for four-year accredited post-secondary educational institutions | To update State regulation governing PA resources exemption, rendering it consistent with Chapter 58 of the Laws of 2014 |
| TDA-46-15-00005-P | 11/17/16 | Storage of furniture and personal belongings | Provide clarification regarding allowances for the storage of furniture and personal belongings |
| TDA-47-15-00004-P | 11/24/16 | Child Support Program | Amend regulatory requirements concerning the distribution and disbursement of child support collections |
| **TRANSPORTATION, DEPARTMENT OF** | | | |
| TRN-45-15-00002-P | 11/09/16 | Liability insurance policies required for Highway Work Permits | To make it easier and less costly for permittees to obtain the liability coverage necessary to obtain Highway Work Permits |
| TRN-47-15-00002-P | 11/24/16 | Various regulations addressing accident reporting, record retention, insurance, vehicle inspection and equipment identification | Updates to regulations addressing accident reporting, record retention, insurance, vehicle inspection, equipment identification |
| TRN-48-15-00005-P | 12/01/16 | Updates to various household goods provisions | Updates various household goods provisions |
| **WORKERS' COMPENSATION BOARD** | | | |
| WCB-14-15-00009-P | 04/07/16 | Health Insurance Matching Program (HIMP) | Provide the process for health insurers to recover from workers' compensation carriers |
| WCB-45-15-00019-P | 11/09/16 | Stipulations | To streamline the process for parties to enter into stipulations in workers' compensation proceedings |
| WCB-45-15-00020-P | 11/09/16 | Requests for Administrative Review | To clarify the proecss for requesting administrative review and full Board review including requests for reconsideration |
| WCB-45-15-00021-P | 11/09/16 | Convene Board hearings by electronic means | Permit the Chair to convene Board hearings by electronic means |
| WCB-45-15-00022-P | 11/09/16 | Voluntary Binding Review of Decisions | To permit parties to a workers' compensation case to enter into voluntary binding review of issues related to compensation |
| WCB-45-15-00023-P | 11/09/16 | Permitted expenses for funerals | To increase the permitted amount for reimbursement of funeral and memorial services for work related deaths |
| WCB-45-15-00025-P | 11/09/16 | Medical Treatment Guideline variances | Permit the Chair to require submission of variance requests via an electronic medical portal |

| Agency I.D. No. | Expires | Subject Matter | Purpose of Action |
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| **WORKERS' COMPENSATION BOARD** | | | |
| WCB-45-15-00026-P | 11/09/16 | Medical Authorizations | Permit the Chair to require submission of medical authorization requests via an electronic medical portal |
| WCB-45-15-00027-P | 11/09/16 | Medical Treatment Guideline optional prior approval | Change the time to respond from business days to calendar days |

# REGULATORY AGENDA

## Department of Environmental Conservation

Introduction

Each year, pursuant to SAPA, the department publishes a Regulatory Agenda and 5 Year Review of Rules in the State Register and on its website. This is a listing of the regulations that may be proposed for adoption or amendment within that calendar year, and a review of department rules adopted 5 years previous.

Division of Air Resources

6 NYCRR Part 200, General Provisions. Statutory Authority: Environmental Conservation Law Sections 1-0101, 1-0303, 3-0301, 3-0303, 11-0303, 11-0305, 11-0535, 13-0105, 15-0109, 15-1903, 16-0111, 17-0303, 19-0103, 19-0105, 19-0107, 19-0301, 19-0302, 19-0303, 19-0305, 19-0306, 19-0311, 19-0319, 19-0323, 24-0103, 25-0102, 34-0108, 49-0309, 70-0109, 71-2103, 71-2105, 72-0303; Energy Law Sections 3-101, 3-103; Public Authorities Law Sections 1850, 1851, 1854, 1855; Federal Clean Air Act Sections 160-169, 171-193 [42 U.S.C. 7470-7479; 7501-7515]. Part 200 is being revised, and is on the Department's 2015 regulatory agenda and will carry into 2016. Contact: Steve Yarrington, NYS Department of Environmental Conservation, 625 Broadway, Albany, NY 12233-3254. Telephone: 518-402-8403. E-Mail: Air.Regs@dec.ny.gov. Please include the Part number when e-mailing.

6 NYCRR Part 201, Permits and Registrations. Statutory authority: Environmental Conservation Law. Part 201 will be amended to make certain minor wording changes, correct typographical errors, and other minor corrections to ensure consistent implementation across regions. This rulemaking will require a Regulatory Flexibility Analysis for Small Businesses and Local Governments and a Rural Area Flexibility Analysis. Contact: Mark Lanzafame, NYS Department of Environmental Conservation, 625 Broadway, Albany, NY 12233-3251. Telephone: 518-402-8403. E-mail: Air.Regs@dec.ny.gov. Please include the Part number when emailing.

6 NYCRR Part 205, Architectural and Industrial Maintenance Coatings. The existing regulation will be revised to include additional and more restrictive volatile organic compound (VOC) limits. This rulemaking will require a Regulatory Flexibility Analysis for Small Businesses and Local Governments and a Rural Area Flexibility Analysis. Contact: Ona Papageorgiou, NYS Department of Environmental Conservation, 625 Broadway, Albany, NY 12233-3251. Telephone: 518-402-8396. E-mail: Air.Regs@dec.ny.gov. Please include the Part number when emailing.

6 NYCRR Part 217, Motor Vehicle Emissions. The regulation will be amended to add emissions-related recall repairs to the enhanced inspection and maintenance program requirements. This rulemaking will require a Regulatory Flexibility Analysis for Small Businesses and Local Governments and a Rural Area Flexibility Analysis. Contact James Clyne, P.E., NYS Department of Environmental Conservation, 625 Broadway, Albany, NY 12233-3255, Telephone: 518-402-8292. E-mail: Air.Regs@dec.ny.gov. Please include the Part number when emailing.

6 NYCRR Part 218, Emission Standards for Motor Vehicles and Motor Vehicle Engines. The regulation will be amended to incorporate revisions California has made in 2015 to its emission control program to amend the Zero Emission Vehicle program. This rulemaking will require a Regulatory Flexibility Analysis for Small Businesses and Local Governments and a Rural Area Flexibility Analysis. Contact Jeff Marshall, P.E., NYS Department of Environmental Conservation, 625 Broadway, Albany, NY 12233-3255, Telephone: 518-402-8292. E-mail: Air.Regs@dec.ny.gov. Please include the Part number when emailing.

NYCRR Part 222, Distributed Generation Sources. This is a new regulation to establish emission standards for distributed generation sources located at facilities that are not subject to 6 NYCRR Part 227-2. This rulemaking will require a Regulatory Flexibility Analysis for Small Businesses and Local Governments and a Rural Area Flexibility Analysis. Contact: John Barnes, NYS Department of Environmental Conservation, 625 Broadway, Albany, NY 12233-3251. Telephone: 518-402-8396. E-mail: Air.Regs@dec.ny.gov. Please include the Part number when emailing.

6 NYCRR Subpart 225-2, Fuel Composition and Use - Waste Fuel. Statutory authority: Environmental Conservation Law, §§ 1-0101, 3-0301, 19-0103, 19-0105, 19-0301, 19-0303, 19-0305, 71-2103, 71-2105. The existing regulation will be revised to remove ''out-of-date'' regulatory references, correct typographical errors, update the waste oil constituent limits, remove ''out-of-date'' work practices, expand the number of facilities allowed to burn waste oil, and update the rule to complement Title V criteria.

6 NYCRR Subpart 227-1, Stationary Combustion Installations. Statutory authority: Environmental Conservation Law, Sections 1-0101, 3-0301, 19-0103, 19-0105, 19-0301, 19-0303, 19-0305, 19-0311. The existing regulation will be revised to remove ''out-of-date'' regulatory references, correct typographical errors, and update the permissible emission rates for particulate matter for both solid and liquid fuels. E-mail: Air.Regs@dec.ny.gov. Please include the Part number when emailing.

6 NYCRR Subpart 227-2, NOx RACT. A review of the NOx emission limits for peaking turbines will be conducted. This rulemaking will require a Regulatory Flexibility Analysis for Small Businesses and Local Governments and a Rural Area Flexibility Analysis. Contact: Ona Papageorgiou, NYS Department of Environmental Conservation, 625 Broadway, Albany, NY 12233-3251. Telephone: 518-402-8396. E-mail: Air.Regs@dec.ny.gov. Please include the Part number when emailing.

6 NYCRR Subpart 228-3, Mobile Equipment Repair and Refinishing. A new rule to establish VOC limits for coatings used in motor vehicle repair and refinishing. This rulemaking will require a Regulatory Flexibility Analysis for Small Businesses and Local Governments and a Rural Area Flexibility Analysis. Contact: Marie Barnes, NYS Department of Environmental Conservation, 625 Broadway, Albany, NY 12233-3251. Telephone: 518-402-8396. E-mail: Air.Regs@dec.ny.gov. Please include the Part number when emailing.

6 NYCRR Part 230, Gasoline Dispensing Sites and Transport Vehicles. Statutory authority: Environmental Conservation Law, § 3-0301, § 19-0301. The existing rule will be amended to update and clarify testing requirements for gasoline dispensing sites (gas stations) and to conform more closely to new federal requirements and

guidance. The regulation will also require prior notification to the department for each test; require new vapor leak detection equipment; and remove Stage II requirements. E-mail: Air.Regs@dec.ny.gov. Please include the Part number when emailing.

6 NYCRR Part 232, Perchloroethylene Dry Cleaning Facilities. Statutory authority: Environmental Conservation Law, §§ 3-0301, 19-0301, 19-0303. The existing regulation that became effective May 15, 1997 will be updated to provide for administrative streamlining and consistency with any new federal requirements. E-mail: Air.Regs@dec.ny.gov. Please include the Part number when emailing.

6 NYCRR Part 235, Consumer Products. The existing regulation will be updated to implement additional Volatile Organic Compound (VOC) product content limits. This rulemaking will require a Regulatory Flexibility Analysis for Small Businesses and Local Governments and a Rural Area Flexibility Analysis. Contact: Kenneth Newkirk, NYS Department of Environmental Conservation, 625 Broadway, Albany, NY 12233-3251. Telephone: 518-402-8396. E-mail: Air.Regs@dec.ny.gov. Please include the Part number when emailing.

6 NYCRR Part 242, CO2 Budget Trading Program. The regulation will be amended, as necessary and appropriate, to incorporate revisions to comply with the Environmental Protection Agency's "Carbon Pollution Emission Guidelines for Existing Stationary Sources: Electric Utility Generating Units." This rulemaking will require a Regulatory Flexibility Analysis for Small Businesses and Local Governments and a Rural Area Flexibility Analysis. Contact Michael Sheehan, P.E., NYS Department of Environmental Conservation, 625 Broadway, Albany, NY 12233-3251, Telephone: 518-402-8396. E-mail: Air.Regs@dec.ny.gov. Please include the Part number when emailing.

6 NYCRR Parts 243, 244, 245, Transport Rule. These existing regulations will be updated to fully incorporate the Cross State Air Pollution Rule adopted by the Environmental Protection Agency into the New York State Implementation Plan. This rulemaking will require a Regulatory Flexibility Analysis for Small Businesses and Local Governments and a Rural Area Flexibility Analysis. Contact: Marie Barnes, NYS Department of Environmental Conservation, 625 Broadway, Albany, NY 12233-3251. Telephone: 518-402-8396. E-mail: Air.Regs@dec.ny.gov. Please include the Part number when emailing.

6 NYCRR Part 247, Outdoor Wood Boilers. The existing regulation will be updated to conform to the emission standards and certification requirements of the federal NSPS rule. This rulemaking will require a Regulatory Flexibility Analysis for Small Businesses and Local Governments and a Rural Area Flexibility Analysis. Contact: John Barnes, NYS Department of Environmental Conservation, 625 Broadway, Albany, NY 12233-3251. Telephone: 518-402-8396. E-mail: Air.Regs@dec.ny.gov. Please include the Part number when emailing.

Division of Environmental Permits

6 NYCRR, Part 621, Uniform Procedure Act (UPA). Part 621 was last amended on October 14, 2009. Since that time several statutes and regulations that interface with Part 621 have been changed or amended. The Division of Environmental Permits proposes to update the main text of Part 621. Several minor changes and updates are proposed to align the text with the revised regulations. Also several minor clarifications and corrections are proposed to correct inaccurate references and clarify permitting procedures. This rulemaking will require a Regulatory Flexibility Analysis for Small Businesses and Local Governments and a Rural Area Flexibility Analysis. Contact: Kent Sanders, NYS Department of Environmental Conservation, 625 Broadway, 4th Floor, Albany, NY 12233-1750. Telephone: 518-402-9168. E-mail: deppermitting@dec.ny.gov. Please include the Part number when emailing.

6 NYCRR Part 617, State Environmental Quality Review Act (SEQR). The Division of Environmental Permits proposes to amend the main text of Part 617. The purpose of the revisions is to streamline the SEQR process without sacrificing meaningful environmental review. The revisions would modify the Type I and Type II lists of actions, as well make other changes to the SEQR process. More information about the expected changes could be found at http://www.dec.ny.gov/permits/83389.html. This rulemaking will require a Regulatory Flexibility Analysis for Small Businesses and Local Governments and a Rural Area Flexibility Analysis. Contact: Kent Sanders, Department of Environmental Conservation, 625 Broadway, 4th Floor, Albany, NY 12233-1750. Telephone: 518-402-9167. E-mail: deppermitting@dec.ny.gov. Please include the Part number when emailing.

Division of Environmental Remediation

6 NYCRR Parts 370, 371, 372, 373, 374, and 376, Hazardous Waste Management Regulations ('FedReg5'). This rule making will incorporate, as appropriate, (1) federal rules from January 2002 to present; (2) changes related to Standards for Hazardous Air Pollutants for Hazardous Waste Combustors (Maximum Achievable Control Technology (MACT) rules) from September 1999 to present; and (3) State-initiated changes, including clarification of language and corrections of errors found in the regulations. This rulemaking will require a Regulatory Flexibility Analysis for Small Businesses and Local Governments, and a Rural Area Flexibility Analysis. Contact: Michelle Ching, New York State Department of Environmental Conservation, Division of Environmental Remediation, 625 Broadway, Albany, NY 12233-7020. Telephone: 518-402-9553. E-mail: hwregs@dec.ny.gov. Please include 'FedReg5' in the subject line when emailing.

6 NYCRR Parts 370, 371, 372, 373, 374 and 376, Hazardous Waste Management Regulations ('FedReg6'). This rulemaking will incorporate, as appropriate, four federal rules that were adopted between July 31, 2013 and January 13, 2015, which are: (1) Solvent-Contaminated Wipes Rule, published in Federal Register on July 31, 2013, which modifies provisions for laundering and reuse that are currently administered by Program Policy DSW-HW-03-09, 'Regulatory Status of Laundered Industrial Rags and Soiled Clothing;' (2) Carbon Dioxide Sequestration Rule, published in Federal Register on January 3, 2014, which provides a conditional exclusion for carbon dioxide streams in geological sequestration activities; (3) Electronic Manifest Rule, published in Federal Register on February 7, 2014, which provides the legal and policy framework to authorize use of electronic manifests; and (4) 2008 Definition of Solid Waste Rule, as amended on January 13, 2015. Standards for the Management of Used Oil (6 NYCRR Subpart 374-2) may also be amended. This rulemaking will require a Regulatory Flexibility Analysis for Small Businesses and Local Governments, and a Rural Area Flexibility Analysis. Contact: Michelle Ching, New York State Department of Environmental Conservation, Division of Environmental Remediation, 625 Broadway, Albany, NY 12233-7020. Telephone: 518-402-9553. E-mail: hwregs@dec.ny.gov. Please include 'FedReg6' in the subject line when e-mailing.

6 NYCRR Part 375 (Subparts 375-1 to 375-4, and 375-6), Environmental Remediation Programs. DEC proposes to amend subparts 375-1 to 375-4, and 375-6 to: (1) provide additional direction for issues that have been encountered since the rule was promulgated in December 2006; (2) provide additional guidance on processes so as to promote uniformity and consistency; (3) incorporate provisions as specified in Part BB of Chapter 56 of the Laws of 2015 as they pertain to the Environmental Remediation Programs in Part 375; (4) incorporate soil cleanup objective (SCO) changes, if any, resulting from the statutorily required five-year review; (5) add or revise multiple provisions to clarify issues that have arisen in the Brownfield Cleanup Program in the course of implementing the program since 2006; (6) make necessary revisions of provisions applicable to the State Superfund Program, including, but not limited to, possibly clarifying the definition of "significant threat;" (7) encourage the incorporation of sustainable remediation and development techniques into cleanup projects covered by this rule; and (8) correct scrivener's errors that have caused inconsistencies and created confusion, replace accidental omissions, and insert clarifications as needed to conform to the amendments to these regulations. This rule making will require a Regulatory Flexibility Analysis for Small Businesses and Local Governments and a Rural Area Flexibility Analysis. Contact: Michael Ryan, New York State Department of Environmental Conservation, Division of Environmental Remediation, 625 Broadway, Albany, NY 12233-7011. Telephone: 518-402-9706. E-mail: derweb@dec.ny.gov. Please include 'Part 375' in the subject line when e-mailing.

6 NYCRR Part 380, Prevention and Control of Environmental Pollution by Radioactive Materials. This rule making will amend the Part 380 regulations to incorporate federal rule changes. In addition, several corrections and revisions not related to the federal rules will be done, including: clarifying the regulations in a number of areas such as standards for issuance of a Part 380 permit and language in the variance provision; elimination of redundant provisions; and deletion of obsolete provisions. This rule making will require a Regulatory Flexibility Analysis for Small Businesses and Local Governments, and a Rural Area Flexibility Analysis. Contact: Sandra Hinkel, New York State Department of Environmental Conservation, Division of Environmental Remediation, 625 Broadway, Albany, New York 12233-7255. Telephone: 518-402-9625. E-mail: radregs@dec.ny.gov. Please include 'Part 380' in the subject line when e-mailing.

6 NYCRR Part 384, Cleanup Criteria for Remediation of Sites Contaminated with Radioactive Material. This rule is being developed to adopt applicable sections of the federal Nuclear Regulatory Commission's (NRC) License Termination Rule (LTR), which establishes cleanup criteria for radiologically contaminated sites, and the Timeliness of Decommissioning Rule, which outlines necessary steps for the planning and implementing of site cleanups. Program staff are coordinating adoption of these rules with the New York State Department of Health and the New York City Department of Health and Mental Hygiene to ensure compatibility, as they also are required to adopt applicable sections of these federal rules. This rule making will require a Regulatory Flexibility Analysis for Small Businesses and Local Governments, and a Rural Area Flexibility Analysis. Contact: Timothy Rice, New York State Department of Environmental Conservation, Division of Environmental Remediation, 625 Broadway, Albany, NY 12233-7255. Telephone: 518-402-8579. E-mail: radregs@dec.ny.gov. Please include 'Part 384' in the subject line when e-mailing.

6 NYCRR Part 483, Hazardous Waste Program Fees. This rule making will amend Part 483 to incorporate statutory changes made to the Hazardous Waste Program Fees in Environmental Conservation Law section 72-0402. This rule making will require a Regulatory Flexibility Analysis for Small Businesses and Local Governments and a Rural Area Flexibility Analysis. Contact: Laura Zeppetelli, New York State Department of Environmental Conservation, Division of Environmental Remediation, 625 Broadway, Albany, New York 12233-7012. Telephone: 518-402-9764. E-mail: derweb@dec.ny.gov. Please include 'Part 483' in the subject line when e-mailing.

6 NYCRR Parts 596, 597, 598, and 599, Chemical Bulk Storage Regulations. In this second phase of rule making for revisions to the Chemical Bulk Storage (CBS) regulations, DEC proposes to repeal Parts 596, 597, 598, and 599 and replace them with a new Part 598 to: (1) achieve equivalency with 40 CFR Part 280 (Underground Storage Tank regulations), which is needed in order for the State to receive delegation from U.S. Environmental Protection Agency (EPA), by incorporating new requirements from the federal regulations that the EPA promulgated in their July 2015 rule making; and (2) incorporate State-initiated changes pertaining to the administration of the CBS program and its consistency with the Petroleum Bulk Storage program, where applicable. This rule making will require a Regulatory Flexibility Analysis for Small Businesses and Local Governments and a Rural Area Flexibility Analysis. Contact: Russ Brauksieck, New York State Department of Environmental Conservation, Division of Environmental Remediation, 625 Broadway, Albany, New York 12233-7020. Telephone: 518-402-9553. E-mail: derweb@dec.ny.gov. Please include 'Part 598' in the subject line when e-mailing.

6 NYCRR Part 610, Certification of Onshore Major Facilities [Major Oil Storage Facility (MOSF) Regulations]. DEC proposes to repeal and replace Part 610 to: (1) incorporate appropriate language from the New York State Department of Transportation regulations, 17 NYCRR Parts 30, 31 and 32, that pertains to the administration of the MOSF program, which has been solely handled by DEC since 1985; (2) repeal 17 NYCRR Parts 30, 31 and 32; (3) improve the consistency and clarity of language directing the administration of the MOSF program, which will make explicit in regulation the procedures set forth in DEC Program Policy DER-11, Procedures for Licensing Onshore Major Oil Storage Facilities; (4) enhance monitoring, maintenance, procedures, and equipment requirements to prevent leaks and spills; and (5) evaluate the need for additional measures to ensure protection of public health and the environment, including the need for financial assurance. This rule making will require a Regulatory Flexibility Analysis for Small Businesses and Local Governments and a Rural Area Flexibility Analysis. Contact: Russ Brauksieck, New York State Department of Environmental Conservation, Division of Environmental Remediation, 625 Broadway, Albany, New York 12233-7020. Telephone: 518-402-9553. E-mail: derweb@dec.ny.gov. Please include 'Part 610' in the subject line when e-mailing.

6 NYCRR Part 611, Environmental Priorities and Procedures in Petroleum Cleanup and Removal. DEC proposes to repeal and replace Part 611 to incorporate requirements from Article 12 of the Navigation Law, which prohibits the discharge of petroleum and provides for cleanup and removal of any petroleum discharge. Part 611 needs to be revised to achieve equivalency with 40 CFR Part 280 (Underground Storage Tank regulations), which is needed in order for the State to receive delegation from EPA. This rule making will require a Regulatory Flexibility Analysis for Small Businesses and Local Governments and a Rural Area Flexibility Analysis. Contact: Dennis Farrar, New York State Department of Environmental Conservation, Division of Environmental Remediation, 625 Broadway, Albany, New York 12233-7020. Telephone: 518-402-9553. E-mail: derweb@dec.ny.gov. Please include 'Part 611' in the subject line when e-mailing.

6 NYCRR Part 613, Petroleum Bulk Storage Regulations. In this second phase of rule making for revisions to the Petroleum Bulk Storage (PBS) regulations, DEC proposes to amend Part 613 to: (1) achieve equivalency with 40 CFR Part 280 (Underground Storage Tank regulations), which is needed in order for the State to receive delegation from EPA by incorporating new requirements from the federal regulations that the EPA promulgated in their July 2015 rule making; and (2) incorporate State-initiated changes pertaining to the administration of the PBS program and its consistency with the CBS program, where applicable. This rule making will require a Regulatory Flexibility Analysis for Small Businesses and Local Governments and a Rural Area Flexibility Analysis. Contact: Russ Brauksieck, New York State Department of Environmental Conservation, Division of Environmental Remediation, 625 Broadway, Albany, New York 12233-7020. Telephone: 518-402-9553. E-mail: derweb@dec.ny.gov. Please include 'Part 613' in the subject line when e-mailing.

Division of Fish, Wildlife, and Marine Resources

6 NYCRR Part 10 - Sportfishing Regulations. Every two years the Department makes needed adjustments to the freshwater sportfishing regulations for the purposes of keeping the regulations up to date and current with management needs (including individual waters) and to provide for angling opportunity. The amendment of these regulations is necessary to adjust for meeting management needs, and to include appropriate adjustments to seasons, creel limits, and size limits. A Notice of Proposed Rule Making will be filed during the second half of 2016. Regulation changes, once finally adopted would become effective on 4/1/17. This amendment will not require a Regulatory Flexibility Analysis for Small Businesses or a Rural Area Flexibility Analysis. Contact: Shaun Keeler, New York State Department of Environmental Conservation, Bureau of Fisheries, 625 Broadway, Albany, NY 12233. Telephone: 518-402-8928. E-mail: shaun.keeler@dec.ny.gov

6 NYCRR Part 189, Chronic Wasting Disease. Statutory Authority: ECL 3-0301, 11-0325, and 11-1905. These amendments are needed to strengthen the existing regulation pertaining to importation, transportation, possession and disposal of live or dead Cervids (or parts of those animals) as necessary to prevent the introduction or spread of Chronic-wasting Disease in New York. It is not anticipated that this amendment will require a Regulatory Flexibility Analysis for small businesses or a Rural Area Flexibility Analysis. Contact: Vicky Wagenbaugh, NYS Department of Environmental Conservation, Division of Fish, Wildlife & Marine Resources, 625 Broadway, Albany, NY 12233-4754, Telephone: 518-402-8879. E-mail: vicky.wagenbaugh@dec.ny.gov

6 NYCRR Section 3.1, Diamondback terrapins. Statutory Authority: ECL 11-0905. The Department is proposing to revise 6 NYCRR Sec-

tion 3.1, Diamondback terrapins to close the current open season for harvesting this species. The agency lacks data to verify that harvest of these turtles is sustainable and all other states in the region have already closed their seasons. This rulemaking will require a Regulatory Flexibility Analysis for Small Businesses and Local Governments and a Rural Area Flexibility Analysis. Contact: Vicky Wagenbaugh, NYS Department of Environmental Conservation, Division of Fish, Wildlife & Marine Resources, 625 Broadway, Albany, NY 12233-4754, Telephone: 518-402-8879. E-mail: vicky.wagenbaugh@dec.ny.gov

6 NYCRR Subchapter G (Fish and Wildlife Management Areas and Facilities), Parts 51-113. Statutory Authority: ECL 11-0303, 11-2101, 11-2106. These amendments are needed to simplify public use regulations pertaining to the wildlife management areas, cooperative fish and wildlife management areas, and several state parks. They are also needed to ensure that public use activities on WMAs are consistent with the requirements of the Pittman-Robertson Law, a key source of federal funding for the acquisition and management of WMAs. It is not anticipated that this amendment will require a Regulatory Flexibility Analysis for small businesses or a Rural Area Flexibility Analysis. Contact: Stephen Hurst, NYS Department of Environmental Conservation, Division of Fish, Wildlife & Marine Resources, 625 Broadway, Albany, NY 12233-4754, Telephone: 518-402-8924. E-mail: steve.hurst@dec.ny.gov. Please include the Part number when emailing.

6 NYCRR Parts 151, 153, 174, 175, 180, 182, and 184. Amendments to these regulations would be done as one package.

6 NYCRR Part 151, Propagation and Sale of Fur-Bearing Animals. The Department is proposing to amend 6 NYCRR Part 151 to bring the regulations current with changes to ECL 11-1907, which became effective in April 2012. The statutory language now states that the department shall not issue any new licenses after April 1, 2012. The regulatory changes will provide for continued licensing of currently licensed individuals and will prohibit the issuance of new licenses. This amendment may require a Regulatory Flexibility Analysis for Small Businesses and Local Governments but not a Rural Area Flexibility Analysis. Contact: Joseph Therrien, New York State Department of Environmental Conservation, Division of Fish, Wildlife and Marine Resources, 625 Broadway, Albany, NY 12233-4752. Telephone: 518-402-8985. E-mail: joseph.therrien@dec.ny.gov. Please include the Part number when emailing.

6 NYCRR Part 153, Preserve Licenses. The Department is proposing to amend 6 NYCRR Part 153 to bring the regulations current with changes to multiple Sections of the ECL. The statutory language has removed the requirement for marking domestic game species with a Department provided tag and has extended the shooting season authorized for shooting preserve licenses. The regulatory changes will remove the carcass tagging requirements in Section 153.1 and will extend the shooting season as allowed in Section 153.2. In addition, the requirement for submission of an annual report will be removed from Section 153.1. This amendment will not require a Regulatory Flexibility Analysis for Small Businesses and Local Governments or a Rural Area Flexibility Analysis. Contact: Joseph Therrien, New York State Department of Environmental Conservation, Division of Fish, Wildlife and Marine Resources, 625 Broadway, Albany, NY 12233-4752. Telephone: 518-402-8985. E-mail: joseph.therrien@dec.ny.gov. Please include the Part number when emailing.

6 NYCRR Part 174, Sale of Live Birds. The Department is proposing to amend 6 NYCRR Part 174 to simplify the regulatory language and to clearly state for what purposes a license is required. Part 174 will be repealed in its entirety and a new Part 174 will be written. Changes will also include the removal of the records retention requirement in 174.3(c). This amendment may require a Regulatory Flexibility Analysis for Small Businesses but not a Rural Area Flexibility Analysis. Contact: Joseph Therrien, New York State Department of Environmental Conservation, Division of Fish, Wildlife and Marine Resources, 625 Broadway, Albany, NY 12233-4752. Telephone: 518-402-8985. E-mail: joseph.therrien@dec.ny.gov. Please include the Part number when emailing.

6 NYCRR Part 180, Miscellaneous Regulations. The Department is proposing to amend 6 NYCRR 180.9(c) by removing the permit fee and authorizing possession of dangerous fish only for education and exhibition at Zoos accredited by the Association of Zoos and Aquariums and for scientific research. Contact: Joseph Therrien, New York State Department of Environmental Conservation, Division of Fish, Wildlife and Marine Resources, 625 Broadway, Albany, NY 12233-4752. Telephone: 518-402-8985. E-mail: joseph.therrien@dec.ny.gov. Please include the Part number when emailing.

6 NYCRR Section 180.1, Wildlife Dangerous to Health or Welfare. The Department is proposing to amend 6 NYCRR Section 180.1 or promulgate a new regulation to address statutory requirements of ECL 11-0511, 11-0512, and 11-0103(6)(e)(5). The statutory language in ECL 11-0512 and 11-0103(6)(e)(5) provides the opportunity for the private possession of animals that are or should be considered too dangerous for possession outside of bona fide zoological gardens. In addition, pursuant to ECL 11-0511, the proposed amendments to Section 180.1 will provide a list of species that have been found to be too dangerous to the public or to the fish and wildlife resources of the state to be possessed in any facility except a bona fide zoological garden certified by the American Zoological Association. This amendment may require a Regulatory Flexibility Analysis for Small Businesses but not a Rural Area Flexibility Analysis. Contact: Joseph Therrien, New York State Department of Environmental Conservation, Division of Fish, Wildlife and Marine Resources, 625 Broadway, Albany, NY 12233-4752. Telephone: 518-402-8985. E-mail: joseph.therrien@dec.ny.gov. Please include the Section number when emailing.

6 NYCRR Part 182, Endangered and Threatened Species of Fish and Wildlife; Species of Special Concern; Incidental Take Permits. The Department is proposing to repeal Section 182.14 to; remove license fee and application language for sale of articles made from crocodilian species; "rewrite Section 182.8 or, add new Section allowing an exception for the sale of crocodilian and vicuna articles manufactured from legal sources;" rewrite 182.8 to clearly distinguish between live animals, raw carcasses or parts and worked or manufactured articles containing pieces or parts form endangered or threatened species; and or to define requirements of license application including proof of acquisition and provenance. This amendment may require a Regulatory Flexibility Analysis for Small Businesses but not a Rural Area Flexibility Analysis. Contact: Joseph Therrien, New York State Department of Environmental Conservation, Division of Fish, Wildlife and Marine Resources, 625 Broadway, Albany, NY 12233-4752. Telephone: 518-402-8985. E-mail: joseph.therrien@dec.ny.gov. Please include the Part number when emailing.

6 NYCRR Part 182 Endangered and Threatened Species of Fish and Wildlife; Species of Special Concern; Incidental Take Permits. The Department is also proposing to amend Section 182.5 to revise the list of endangered species, threatened species, and species of special concerns, and amend Section 182.13 to allow for experimental populations and to exempt man-made structures from critical habitat. This amendment may require a Regulatory Flexibility Analysis for Small Businesses but not a Rural Area Flexibility Analysis. Contact: Daniel Rosenblatt, New York State Department of Environmental Conservation, Division of Fish, Wildlife and Marine Resources, 625 Broadway, Albany, NY 12233-4752. Telephone: 518-402-8884. E-mail: daniel.rosenblatt@dec.ny.gov. Please include the Part number when emailing.

6 NYCRR Part 184, Wildlife Rehabilitation. The Department is proposing to amend 6 NYCRR Part 184 to; reduce the number of license classes from six to one; "to remove the requirement for submitting two character references with license applications; and" to remove the requirement for interview of new licensees by regional staff. Changes will be made to incorporate the prohibitions of the proposed dangerous animal regulations and the Chronic Wasting Disease Regulations as to possession of prohibited species for rehabilitative care. This amendment may require a Regulatory Flexibility Analysis for Small Businesses but not a Rural Area Flexibility Analysis. Contact: Joseph Therrien, New York State Department of Environmental Conservation, Division of Fish, Wildlife and Marine Resources, 625 Broadway, Albany, NY 12233-4752. Telephone: 518-402-8985. E-mail: joseph.therrien@dec.ny.gov. Please include the Part number when emailing.

6 NYCRR Part 177, Sporting License Issuance and Use. Amend current regulations to update proof of qualifications for certain licenses to be consistent with updated procedures and potential changes necessary to issue sporting licenses as part of a statewide, shared services electronic licensing system that is currently under development. Also, amend current regulations concerning the description of what constitutes a license issued by DEC to allow for electronic sporting licenses to be carried and presented via electronic device(s). It is not anticipated that this amendment will require a Regulatory Flexibility Analysis for small businesses or a Rural Area Flexibility Analysis Contact: Mary Bailey, New York State Department of Environmental Conservation, Division of Fish, Wildlife, and Marine Resources, 625 Broadway, Albany, NY 12233-4750, Telephone: 518-402-8869. E-mail: mary.bailey@dec.ny.gov.

6 NYCRR Part 183, License-Issuing Officers. Amend current regulations to address procedures for evaluation and prioritization of applicants that wish to become license-issuing officers (agents) for DEC. It is not anticipated that this amendment will require a Regulatory Flexibility Analysis for small businesses or a Rural Area Flexibility Analysis. Contact: Mary Bailey, New York State Department of Environmental Conservation, Division of Fish, Wildlife, and Marine Resources, 625 Broadway, Albany, NY 12233-4750, Telephone: 518-402-8869. E-mail: mary.bailey@dec.ny.gov.

Bureau of Marine Resources

6 NYCRR, Part 11 - More Than One Species. The Department is proposing amendments that pertain to the management of diadromous fishes, including American eel, for taking, possessing, sale, or trafficking in the Harlem or East Rivers and the Hudson River. They are needed to remain in compliance with fishery management plans developed by the Atlantic States Marine Fisheries Commission or as directed in the Federal Sustainable Fisheries Act for such species. They also comply with the requirements of Environmental Conservation Law regarding the management of anadromous species. The purpose of these regulations is to protect and to maintain the health of these fish stocks. This rule making will require a Regulatory Flexibility Analysis for Small Businesses and Local Government and a Rural Area Flexibility Analysis. Contact: Stephen W. Heins, New York State Department of Environmental Conservation, Bureau of Marine Resources, 205 N. Belle Meade Road, Suite 1, East Setauket, New York 11733. Telephone: 631-444-0435. E-mail: steve.heins@dec.ny.gov. Please include the Part number when emailing.

6 NYCRR, Part 18 - Taking Bait. The Department is proposing amendments that pertain to the management of diadromous fishes to take as bait for sportfishing via the use of fishing devices. They are needed to remain in compliance with fishery management plans developed by the Atlantic States Marine Fisheries Commission or as directed in the Federal Sustainable Fisheries Act for such species. They also comply with the requirements of Environmental Conservation Law regarding the management of anadromous species. The purpose of these regulations is to protect and to maintain the health of these fish stocks. This rule making will require a Regulatory Flexibility Analysis for Small Businesses and Local Government and a Rural Area Flexibility Analysis. Contact: Stephen W. Heins, New York State Department of Environmental Conservation, Bureau of Marine Resources, 205 N. Belle Meade Road, Suite 1, East Setauket, New York 11733. Telephone: 631-444-0435. E-mail: steve.heins@dec.ny.gov. Please include the Part number when emailing.

6 NYCRR, Part 35 - Licenses. The Department proposes to establish new reporting requirements for certain inland commercial fishery license holders, consistent with those in Part 40 for the same regulated species. The Department also proposes to reduce the number of types of licenses issued and to increase in the fees charged for certain licenses. This rule making will require a Regulatory Flexibility Analysis for Small Businesses and Local Government and a Rural Area Flexibility Analysis. Contact: Stephen W. Heins, New York State Department of Environmental Conservation, Bureau of Marine Resources, 205 N. Belle Meade Road, Suite 1, East Setauket, New York 11733. Telephone: 631-444-0435. E-mail: steve.heins@dec.ny.gov. Please include the Part number when emailing.

6 NYCRR, Part 36 - Gear and Operation of Gear. The Department is proposing amendments that pertain to the management of diadromous fishes in the Hudson and Delaware Rivers and are needed to maintain compliance with fishery management plans developed by the Atlantic States Marine Fisheries Commission (ASMFC) or as directed in the Federal Sustainable Fisheries Act for such species. They also comply with the requirements of Environmental Conservation Law regarding the management of anadromous species. This rule making will require a Regulatory Flexibility Analysis for Small Businesses and Local Government and a Rural Area Flexibility Analysis. Contact: Stephen W. Heins, New York State Department of Environmental Conservation, Bureau of Marine Resources, 205 N. Belle Meade Road, Suite 1, E. Setauket, New York 11733. Telephone: 631-444-0435. E-mail: steve.heins@dec.ny.gov. Please include the Part number when emailing.

6 NYCRR Part 40 - Marine Fish. The Department proposes to amend regulations pertaining to the management of marine and diadromous fishes to maintain compliance with fishery management plans developed by the Atlantic States Marine Fisheries Commission (ASMFC), or with the requirements of Environmental Conservation Law, or pursuant to the Federal Sustainable Fisheries Act for such species. Proposed amendments will also provide compliance with National Marine Fisheries Service requirements and other federal laws. The Department proposes to amend the regulations to define what methods are allowed for recreational anglers to take fish. The Department proposes to amend reporting and record keeping requirements for State licensed harvesters; and to create a definition for proof of residency and establish the requirement for providing such proof of residency when obtaining marine license and permits. The Department proposes to amend the striped bass and summer flounder commercial fishing special regulations to replace qualifications for permits with an acceptable substitute for 50 percent of earned income and tax records and make changes to striped bass commercial fishing permit reissuance and striped bass commercial permit tag provisions. New regulations will be proposed to make changes in the summer flounder commercial fishing special regulations to add language for requalification similar to that in the striped bass commercial fishing special regulations. The Department proposes to adopt regulations to establish a commercial eel permit with reporting requirements statewide, and to extend coverage by the general provisions of this part to include Rockland and Putnam Counties and ensure that commercial possession is covered statewide. The proposed amendments will adopt changes to the shipping, labeling and packing requirements to require harvester's fishing vessel trip report numbers on labels for quota managed species and adopt regulations to clarify provision for records retention by food fish shippers and dealers. The Department proposes amendments to establish seasonal area gear closures to decrease bycatch of Atlantic sturgeon. This rule making will require a Regulatory Flexibility Analysis for Small Businesses and Local Government and a Rural Area Flexibility Analysis. Contact: Stephen W. Heins, New York State Department of Environmental Conservation, Bureau of Marine Resources, 205 N. Belle Meade Road, Suite 1, East Setauket, New York 11733. Telephone: 631-444-0435. E-mail: steve.heins@dec.ny.gov. Please include the Part number when emailing.

6 NYCRR Part 41 - Sanitary Condition of Shellfish Lands. As necessary, the Department will propose to amend regulations that specify the classification (certified or uncertified) of shellfish lands. This is necessary to protect public health by designating lands that do not meet bacteriological water quality criteria as uncertified or closed to shellfish harvesting. Shellfish lands that meet the water quality criteria are designated as certified (open) for the taking of shellfish. Shellfish are defined in law as oysters, scallops, and all kinds of clams and mussels. This rule making will require a Regulatory Flexibility Analysis for Small Businesses and Local Government but no Rural Area Flexibility Analysis. Contact: William Hastback, New York State Department of Environmental Conservation, Bureau of Marine Resources, Shellfisheries Section, 205 N. Belle Meade Road, Suite 1, East Setauket, New York 11733. Telephone: 631-444-0479. E-mail: william.hastback@dec.ny.gov. Please include the Part number when emailing.

6 NYCRR Part 42 - Sanitary Control over Shellfish. The Department proposes to amend regulations pertaining to the sanitary, record keeping, and reporting requirements and to require Vibrio control plans and introduce educational requirements, as necessary, to protect public health and allow for the modification of any conditions placed on permit activities after the permit has been issued for shellfish harvesters and shippers needed to comply with the guidelines of the National Shellfish Sanitation Program (NSSP), the federal regulations regarding interstate shipment of shellfish, and the Environmental Conservation Law. The proposed regulations will modify the descriptions of allowable activities under each category of shellfish shipper permits and the harvester permit consistent with the NSSP and the Environmental Conservation Law and amend and clarify descriptions of shellfish harvest areas to clearly delineate geographical sites where shellfish are harvested. This rule making will require a Regulatory Flexibility Analysis for Small Businesses and Local Government and a Rural Area Flexibility Analysis. Contact: Susan Ritchie, New York State Department of Environmental Conservation, Bureau of Marine Resources, 205 N. Belle Meade Road, Suite 1, East Setauket, New York 11733. Telephone: 631-444-0494. E-mail: susan.ritchie@dec.ny.gov. Please include the Part number when emailing.

6 NYCRR Part 43 - Surfclam/Ocean Quahog Fishery Management. The Department proposes to amend regulations pertaining to the management of surfclams and ocean quahogs that are consistent with the provisions of fishery management plans adopted by the Department and allow for the orderly implementation of any changes to the Environmental Conservation Law for the surfclam fishery. All amendments may describe changes to permit requirements and eligibility, harvest limits, gear restrictions, record keeping, and reporting requirements. This rule making will require a Regulatory Flexibility Analysis for Small Businesses and Local Government but no Rural Area Flexibility Analysis. Contact: Jennifer O'Dwyer, New York State Department of Environmental Conservation, Bureau of Marine Resources, 205 N. Belle Mead Road, Suite 1, East Setauket, New York 11733. Telephone: 631-444-0489. E-mail: jennifer.odwyer@dec.ny.gov. Please include the Part number when emailing.

6 NYCRR Part 44 - Lobsters and Crabs. The Department proposes to amend regulations pertaining to the management of lobsters, decapod crabs and horseshoe crabs to maintain the health of such species and to prevent the introduction of exotic crustacean species. These proposed regulations will comply with fishery management plans developed by the Atlantic State Marine Fisheries Commission (ASMFC) for these species and with requirements of the Environmental Conservation Law. The proposed regulations will include general provisions consistent with those found in Part 40. The proposed amendments will allow for flexibility in the type of vent used in crab traps, mandatory use of terrapin excluder devices on crab traps and establish open crab dredge seasons and areas. The Department will propose to modify the crab endorsement language to mirror the language used for lobster and finfish endorsement. The Department proposes to adopt regulations to implement the new ASMFC Interstate Fishery Management Plan for Jonah Crab which will include a special permit, size limit, effort controls and other management measures based on details of the plan. The Department proposes to clarify current language and remove unnecessary regulations. Horseshoe crabs regulations will be proposed to allow more control over the harvest and storage of horseshoe crabs and modify daily trip limit language. Rules will also be developed to prohibit the importation, possession and use of Asian horseshoe crabs. ASMFC will be developing an Addendum to the lobster management plan to scale the size of the Southern New England lobster fishery to the size of the resource and to respond to the continued depletion of the stock. The Department will propose lobster regulations based on the details of the Addendum. The Department will propose rules to prohibit the liberation of non-local crustaceans into New York's marine district. Rules will be developed to modify lobster trap tag allocations in order to implement trap transferability program. This rule making will require a Regulatory Flexibility Analysis for Small Businesses and Local Government as well as a Rural Area Flexibility Analysis. Contact: Kim McKown, New York State Department of Environmental Conservation, Bureau of Marine Resources, Finfish and Crustaceans Section, 205 N. Belle Meade Road, Suite 1, East Setauket, New York 11733. Telephone: 631-444-0454. E-mail: kim.mckown@dec.ny.gov. Please include the Part number when emailing.

6 NYCRR Part 45 - Transplanting of Shellfish. The Department proposes to amend regulations pertaining to the transplanting of shellfish that are necessary to provide adequate protection of public health and comply with the guidelines and minimum requirements of the National Shellfish Sanitation Program. The proposed regulations will amend requirements for permits, transplanting of shellfish, relay area certification, and establish requirements for recordkeeping and reporting. This rule making will require a Regulatory Flexibility Analysis for Small Businesses and Local Government as well as a Rural Area Flexibility Analysis. Contact: Debra Barnes, New York State Department of Environmental Conservation, Bureau of Marine Resources, 205 N. Belle Mead Road, Suite 1, East Setauket, New York 11733. Telephone: 631-444-0483. E-mail: debra.barnes@dec.ny.gov. Please include the Part number when emailing.

6 NYCRR Part 48 - Marine Hatcheries, On-Bottom and Off-Bottom Culture of Marine Plant and Animal Life. The Department proposes to amend regulations pertaining to the sale of cultured food fish and other cultured food products for consumption or resale. The proposed regulations will amend requirements for marking and identification of cultivation products in commercial markets, requirements for permits, and record keeping and reporting requirements. This rule making will require a Regulatory Flexibility Analysis for Small Businesses and Local Government as well as a Rural Area Flexibility Analysis. Contact: Wade Carden, New York State Department of Environmental Conservation, Bureau of Marine Resources, 205 N. Belle Mead Road, Suite 1, East Setauket, New York 11733. Telephone: 631-444-0481. E-mail: wade.carden@dec.ny.gov. Please include the Part number when emailing.

6 NYCRR Part 49 - Shellfish Management. The Department proposes to adopt regulations for the management of hard clams, soft or steamer clams and razor clams. The management measures include provisions for size limits, catch and possession limits, open and closed seasons, closed areas, restrictions on the manner of taking and landing, requirements for permits and eligibility, record keeping and identification requirements, requirements on the amount and type of fishing effort and gear, and requirements relating to transportation, possession and sale. The Department proposes to amend regulations pertaining to the possession of oysters of less than legal size which is needed to ensure enforceability and compliance with the minimum size requirements for harvest of wild (natural) oysters in the marine district. This rule making will require a Regulatory Flexibility Analysis for Small Businesses and Local Government as well as a Rural Area Flexibility Analysis. Contact: Debra Barnes, New York State Department of Environmental Conservation, Bureau of Marine Resources, 205 N. Belle Mead Road, Suite 1, East Setauket, New York 11733. Telephone: 631-444-0483. E-mail: debra.barnes@dec.ny.gov. Please include the Part number when emailing.

6 NYCRR Part 50 - Miscellaneous Marine Species. The Department proposes to adopt new regulations for the protection and management of various other marine species. The immediate need is for the adoption of regulations for the protection and management of whelks (also known as conch, Busycon spp). These regulations will include size limits, reporting requirements for harvesters and dealers, specifications for marking and placement of whelk fishing gear, trap limits and other regulations necessary to manage the whelk fishery. This rule making will require a Regulatory Flexibility Analysis for Small Businesses and Local Government but no Rural Flexibility Analysis. Contact: Kim McKown, New York State Department of Environmental Conservation, Bureau of Marine Resources, 205 N. Belle Mead Road, Suite 1, East Setauket, New York 11733. Telephone: 631-444-0454. E-mail: kim.mckown@dec.ny.gov. Please include the Part number when emailing.

Office of Hearings and Mediation Services

6 NYCRR Part 622, Uniform Enforcement Hearing Procedures. The Office of Hearings and Mediation Services proposes to clarify

procedures governing default procedures and motions for order without hearing, and make various typographical, technical and related corrections throughout. This rulemaking may require a Regulatory Flexibility Analysis for Small Businesses, and a Rural Regulatory Flexibility Analysis. Contact: James T. McClymonds, Chief Administrative Law Judge, New York State Department of Environmental Conservation, Office of Hearings and Mediation Services, 625 Broadway, First Floor, Albany, New York 12233-1550. E-mail, jtmcclym@gw.dec.state.ny.us

6 NYCRR Part 624, Permit Hearing Procedures. The Office of Hearings and Mediation Services proposes to amend procedures governing issues conferences and interim appeals to the Commissioner from issues rulings by the Administrative Law Judges, to clarify the procedures governing motion practice, to establish procedures governing trade secrets and other confidential information in adjudicatory hearings, to revise certain definitions and to make various typographical, technical and related corrections. This rulemaking may require a Regulatory Flexibility Analysis for Small Businesses, and a Rural Regulatory Flexibility Analysis. Contact: Louis A. Alexander, New York State Department of Environmental Conservation, Office of Hearings and Mediation Services, 625 Broadway, 14th Floor, Albany, New York 12233-1010. E-mail, laalexan@gw.dec.state.ny.us

Division of Lands and Forests

6 NYCRR Part 592, Conservation Easements. Addition of a New Part. These regulations will provide guidance and a process for the Department to follow when modifying or extinguishing a conservation easement. A Regulatory Flexibility Analysis and a Rural Area Flexibility Analysis are not required for this rulemaking. Contact: Robert Burgher, NYS Department of Environmental Conservation, Division of Lands and Forests, 625 Broadway, Albany, NY 12233-4256, Telephone (518) 402-9442, E-mail: robert.burgher@dec.ny.gov

6 NYCRR, Aquatic Invasive Species Prevention. Addition of a New Part. These regulations will define "reasonable precautions" that a person shall take prior to launching a watercraft or floating dock into a public water body. A Regulatory Flexibility Analysis and a Rural Area Flexibility Analysis are not required for this rulemaking. Contact: Leslie Surprenant, NYS Department of Environmental Conservation, Division of Lands and Forests, 625 Broadway, Albany, NY 12233-4250. Telephone: (518) 402-8980, E-mail: leslie.surprenant@dec.ny.gov

6 NYCRR Part 195, Permits for the Erection and Maintenance of Signs, Advertising Structures and Devices in the Adirondack and Catskill Parks. These regulations will allow a modest increase in the size of signs and the distance of signs from businesses. A Regulatory Flexibility Analysis and a Rural Area Flexibility Analysis are not required for this rulemaking. Contact: Peter J. Frank, NYS Department of Environmental Conservation, Bureau of Forest Preserve Management, 625 Broadway, Albany, NY 12233-4254, Telephone: (518) 473-9518, E-mail: peter.frank@dec.ny.gov

6 NYCRR Part 190, Use of State Lands. Amend Section 190.13, Wilderness Areas in the Adirondack Park. These regulations will protect the natural resources in the Saint Regis Canoe Area from overuse by applying restrictions to group size, camping, campfires and other activities. A Regulatory Flexibility Analysis and a Rural Area Flexibility Analysis are not required for this rulemaking. Contact: Peter J. Frank, NYS Department of Environmental Conservation, Bureau of Forest Preserve Management, 625 Broadway, Albany, NY 12233-4254, Telephone: (518) 473-9518. E-mail: peter.frank@dec.ny.gov

6 NYCRR Part 196, Operation of Motorized Vehicles, Vessels, Aircraft and Motorized Equipment in the Forest Preserve. Amend Section 196.7, Operation of Bicycles in the Adirondack Forest Preserve. This regulation will update existing Section 196.7 implemented by Adirondack Park State Land Master Plan guidelines for bicycle use. A Regulatory Flexibility Analysis and a Rural Area Flexibility Analysis are not required for this rulemaking. Contact: Peter J. Frank, NYS Department of Environmental Conservation, Bureau of Forest Preserve Management, 625 Broadway, Albany, NY 12233-4254 Telephone: (518) 473-9518, E-mail: peter.frank@dec.ny.gov

6 NYCRR Part 190, Use of State Lands. Amend existing Section 190.10, Unique Areas. The amended regulation will address public safety and environmental issues at the Department managed Croton Gorge Unique Area. A Regulatory Flexibility Analysis and a Rural Area Flexibility Analysis are not required for this rulemaking. Contact: Jeffrey Wiegert, NYS Department of Environmental Conservation, 21 South Putt Corners Road, New Paltz, NY 12561, Telephone: (845) 256-3084, E-mail: jeffrey.wiegert@dec.ny.gov

6 NYCRR Part 190, Lower Salmon River State Forest. Adopt a new section. These regulations are needed to control public use on lands to be acquired by the Department along the Salmon River in Oswego County. Because of the uniqueness of the area and its uses, specific regulations for the state forest will be developed that are not addressed in the existing Part 190 general regulations. A Regulatory Flexibility Analysis and a Rural Area Flexibility Analysis are not required for this rulemaking. Contact: Daniel Bishop, NYS Department of Environmental Conservation, Region 7 Sub-Office, 1285 Fisher Avenue, Cortland, NY 13045-1090, Telephone: (607) 753-3095, E-mail: daniel.bishop@dec.ny.gov

Division of Materials Management

6 NYCRR, Part 327, Rules and Regulations Relating to the Use of Chemicals for the Control or Elimination of Aquatic Vegetation. Part 327 will be amended to reflect the current statutory requirement of the Environmental Conservation Law. This rulemaking will require a Regulatory Flexibility Analysis for Small Businesses and Local Governments, and a Rural Area Flexibility Analysis. Contact: Anthony Lamanno, NYS Department of Environmental Conservation, Division of Materials Management, 625 Broadway, Albany, New York 12233-7254. Telephone: 518-402-8727. E-mail: pestcomp@dec.ny.gov. Please include the Part number when e-mailing.

6 NYCRR, Part 328, Rules and Regulations Relating to the Use of Chemicals for the Control or Elimination of Undesirable Fish. Part 328 will be amended to reflect the current statutory requirement of the Environmental Conservation Law. This rulemaking will require a Regulatory Flexibility Analysis for Small Businesses and Local Governments, and a Rural Area Flexibility Analysis. Contact: Anthony Lamanno, NYS Department of Environmental Conservation, Division of Materials Management, 625 Broadway, Albany, New York 12233-7254. Telephone: 518-402-8727. E-mail: pestcomp@dec.ny.gov. Please include the Part number when e-mailing.

6 NYCRR, Part 329, Rules and Regulations Relating to the Use of Chemicals for the Control or Elimination of Aquatic Insects. Part 329 will be amended to reflect the current statutory requirement of the Environmental Conservation Law. This rulemaking will require a Regulatory Flexibility Analysis for Small Businesses and Local Governments, and a Rural Area Flexibility Analysis. Contact: Anthony Lamanno, NYS Department of Environmental Conservation, Division of Materials Management, 625 Broadway, Albany, New York 12233-7254. Telephone: 518-402-8727. E-mail: pestcomp@dec.ny.gov. Please include the Part number when e-mailing.

6 NYCRR, Part 325, Rules and Regulations Relating to the Application of Pesticides. Part 325 will be amended to adopt regulations on the use of pesticides classified by the USEPA as exempt from the requirements of the Federal Insecticide, Fungicide, and Rodenticide Act. These pesticides are commonly known as 25(b) or minimum risk pesticides. This rulemaking will require a Regulatory Flexibility Analysis for Small Businesses and Local Governments, and a Rural Area Flexibility Analysis. Contact: Anthony Lamanno, NYS Department of Environmental Conservation, Division of Materials Management, 625 Broadway, Albany, New York 12233-7254. Telephone: 518-402-8727. E-mail: pestcomp@dec.ny.gov Please include the Part number when e-mailing.

6 NYCRR Part 360 Series, Solid Waste Management Facilities. This rulemaking will update and clarify technical criteria for solid waste management facilities and activities, and incorporate legal and policy developments that have not been made since the last major revision to these regulations in 1993. Changes to all portions of the Part 360 series are expected, including Parts 364 and 369. The

rulemaking will also incorporate solid waste management activities, technologies or waste streams that are not currently specifically addressed within existing Part 360, including automobile dismantlers, pharmaceutical waste, dredge materials, biohazard incident waste, and yellow grease. Enhancements will be made to the regulations to address the current issues associated with large commercial mulching operations and the improper disposal of construction and demolition debris materials in certain areas of the state. The information contained in the current Part 360 will be subdivided into different parts to better organize solid waste topics, and to make future revisions to specific topics less burdensome. This rulemaking will require a Regulatory Flexibility Analysis for Small Businesses and Local Governments, and a Rural Area Flexibility Analysis. Contact: Melissa Treers, NYS Department of Environmental Conservation, Division of Materials Management, 625 Broadway, Albany, New York 12233-7260. Telephone: 518-402-8678. E-mail: SolidWasteRegulations@dec.ny.gov Please include the Part number when e-mailing.

6 NYCRR Part 367, Returnable Beverage Containers. This rulemaking will incorporate revisions to the statute that specifically changed and, in some cases, change the Part 367 regulations that were promulgated in 1983; reflect changes in the way the industry and the regulated community now complies with these regulations; and include changes that will lead to improved compliance and enforcement. This rulemaking will require a Regulatory Flexibility Analysis for Small Businesses and Local Governments, and a Rural Area Flexibility Analysis. Contact: Jennifer Kruman, NYS Department of Environmental Conservation, Division of Materials Management, 625 Broadway, Albany, New York 12233-7253. Telephone: 518-402-8706. E-mail: nybottle@dec.ny.gov Please include the Part number when e-mailing.

6 NYCRR Part 368, Product Stewardship and Labeling. This rulemaking will rename and include changes to the existing Recycling Emblem regulations in 6 NYCRR Part 368 to be consistent with national labeling guidelines for recycling terms. It will also include developing regulations for mercury-added consumer products labeling, and product stewardship requirements for E-Waste, Cell Phones and Rechargeable Batteries - all resulting from legislation for these specific materials. This rulemaking will require a Regulatory Flexibility Analysis for Small Businesses and Local Governments, and a Rural Area Flexibility Analysis. Contact: Peter Pettit, NYS Department of Environmental Conservation, Division of Materials Management, 625 Broadway, Albany, New York 12233-7253. Telephone: 518-402-8706. E-mail: pswr@dec.ny.gov Please include the Part number when e-mailing.

Division of Operations

6 NYCRR 623: Use of Environmental Education Centers. The Department is proposing to revise 6 NYCRR 623: Use of Environmental Education Centers to include Reinstein Woods Environmental Education Center, update appropriate uses of the education centers, and make the regulations consistent with relevant sections of Part 190: Use of State Lands. This rulemaking will not require a Regulatory Flexibility Analysis for Small Businesses and Local Governments and a Rural Area Flexibility Analysis. Contact: Tom Shimalla, NYS Department of Environmental Conservation, 625 Broadway, Albany, New York 12233-5256. Telephone: 518-402-8043. E-mail: edcenterregs@dec.ny.gov. Please include the Part number when emailing.

Division of Water

6 NYCRR Part 602 - Long Island Agricultural Water Wells. Revisions are needed to reflect that the permit exemption for agricultural water wells on Long Island was eliminated in 1992 by the introduction of paragraph 7 of ECL § 15-1527. This rulemaking may require a Regulatory Flexibility Analysis for small businesses and a Rural Area Flexibility Analysis. Contact: Angus Eaton, Director of Bureau of Water Resource Management, Division of Water, 625 Broadway, Albany, New York 12233. Telephone: 518-402-8099. E-mail: angus.eaton@dec.ny.gov

6 NYCRR Part TBD - Waste Water Reuse. Regulations are needed to reflect the provisions in 6 ECL § 15-0605 regarding water efficiencies and the promotion of the reuse of reclaimed wastewater. This rulemaking may require a Regulatory Flexibility Analysis for small businesses and a Rural Area Flexibility Analysis. Contact: Angus Eaton, Director of Bureau of Water Resource Management, Division of Water, 625 Broadway, Albany, New York 12233. Telephone: 518-402-8099. E-mail: angus.eaton@dec.ny.gov

6 NYCRR Part 502 - Floodplain Management Criteria for State Projects. Revisions will update language to comply with enabling legislation and will update criteria to comply with changes in Federal Emergency Management Agency regulations and New York State Building Code. Part 502 was last updated in 1984. Revisions will include updated definitions, clarifying floodway encroachment requirements, changing lowest floor elevation requirements to reflect the Building Code of New York State, and clarifying the variance application and decision process. This rulemaking may require a Regulatory Flexibility Analysis for small businesses and a Rural Area Flexibility Analysis. Contact: Alan Fuchs, Director Bureau of Flood Protection and Dam Safety, Division of Water, NYSDEC, Albany, NY 12233-3507 Telephone 518-402-8185. E-mail: alan.fuchs@dec.ny.gov

6 NYCRR Parts 700 – 706 Water Quality Standards. Revisions will add/revise ambient water quality standards, standard-setting procedures, implementation procedures, and other regulatory provisions. This rulemaking may require a Regulatory Flexibility Analysis for small businesses and a Rural Area Flexibility Analysis. Contact: Scott Stoner, Chief, Standards and Analytical Support Section, Bureau of Water Quality Management, Division of Water, NYSDEC, Albany, NY 12233-3502. Telephone 518-402-8193. E-mail: scott.stoner@dec.ny.gov

6 NYCRR Part 505 - Coastal Erosion Management Regulations. Part 505 of 6 NYCRR was last amended in March 1988. Revisions will include clarifying definitions, adding new defined terms, and clarifying language regarding regulated activities in natural protective features areas. This rulemaking may require a Regulatory Flexibility Analysis for small businesses and a Rural Area Flexibility Analysis. Contact: Alan Fuchs, Director, Bureau of Water Permits, Division of Water, 625 Broadway, Albany, NY 12233-3505. Telephone: 518-402-8185. E-mail: alan.fuchs@dec.ny.gov

6 NYCRR Part TBD, Water Well Registration and Reporting. A new Part will be developed in conjunction with ECL 15-1525 to establish registration, reporting, certification, and enforcement provisions. This rulemaking may require a Regulatory Flexibility Analysis for small businesses and a Rural Area Flexibility Analysis. Contact: Mike Holt, Section Chief, Bureau of Water Resource Management, Division of Water, 625 Broadway, Albany, New York 12233. Telephone: 518-402-8099. E-mail: michael.holt@dec.ny.gov

6 NYCRR Part 485 - State Pollutant Discharge Elimination System (SPDES) Program Fees. The SPDES program fees were changed in 2009 through amendments to ECL Section 72-0602. Part 485 will be amended to conform the SPDES fees in regulation to the fees in statute. This rulemaking may require a Regulatory Flexibility Analysis for small businesses and a Rural Area Flexibility Analysis. Contact: Koon Tang, Director, Bureau of Water Permits, Division of Water, 625 Broadway, Albany, NY 12233-3505. Telephone: 518-402-8111. E-mail: koon.tang@dec.ny.gov

6 NYCRR Part 500 - Flood Plain Management Regulations Development Permits (BFP&DS). This Part of 6 NYCRR will be repealed. Changes made to ECL Article 36 in 1992 removed this requirement from law. This rulemaking may require a Regulatory Flexibility Analysis for small businesses and a Rural Area Flexibility Analysis. Contact: Alan Fuchs, Director, Bureau of Flood Protection and Dam Safety, Division of Water, 625 Broadway, Albany, NY 12233-3505. Telephone: 518-402- 8111. E-mail: alan.fuchs@dec.ny.gov

6 NYCRR Part 651 - State Aid for Collection, Treatment and Disposal of Sewage - Cost of Operation and Maintenance of Sewage Treatment Plants. Due to a lack of appropriations for this program for several years, this Part of 6 NYCRR will be repealed. This rulemaking may require a Regulatory Flexibility Analysis for small businesses and a Rural Area Flexibility Analysis. Contact: Koon Tang, Director, Bureau of Water Permits, Division of Water, 625 Broadway, Albany,

NY 12233-3505. Telephone: 518-402- 8111. E-mail: koon.tang@dec.ny.gov

6 NYCRR Part 750 - State Pollutant Discharge Elimination System (SPDES) Permits. Revisions are necessary to incorporate new federal criteria and standards. This rulemaking may require a Regulatory Flexibility Analysis for small businesses and a Rural Area Flexibility Analysis. Contact: Koon Tang, Director, Bureau of Water Permits, Division of Water, 625 Broadway, Albany, NY 12233-3505. Telephone: 518-402- 8111. E-mail: koon.tang@dec.ny.gov

6 NYCRR Part 830 - Lake Champlain Drainage Basin. Revisions will reclassify surface waters as needed to provide water quality protection consistent with designated best usages, as well as the Clean Water Act (CWA) Section 101(a)(2) goals. This rulemaking may require a Regulatory Flexibility Analysis for small businesses and a Rural Area Flexibility Analysis. Contact: Scott Stoner, Chief, Standards and Analytical Support Section, Bureau of Water Quality Management, Division of Water, NYSDEC, Albany, NY 12233-3502. Telephone 518-402-8193. E-mail: scott.stoner@dec.ny.gov

6 NYCRR Part 910 - St. Lawrence River Drainage Basin. Revisions will reclassify surface waters as needed to provide water quality protection consistent with designated best usages, as well as the Clean Water Act (CWA) Section 101(a)(2) goals. This rulemaking may require a Regulatory Flexibility Analysis for small businesses and a Rural Area Flexibility Analysis. Contact: Scott Stoner, Chief, Standards and Analytical Support Section, Bureau of Water Quality Management, Division of Water, NYSDEC, Albany, NY 12233-3502. Telephone 518-402-8193. E-mail: scott.stoner@dec.ny.gov

# RULE REVIEW

## Department of Environmental Conservation

The following rules were adopted by the New York State Department of Environmental Conservation (Department or DEC) during 2011, and pursuant to SAPA Section 207 have been reviewed. Comments on the rules that are being amended this year should be directed to the contact person listed in the main body of the Regulatory Agenda. Comments on any rules that are not being changed at this time will be accepted for 45 days from the date of publication in the State Register and should be directed to the regulatory coordinator for the appropriate program, as listed below the rules.

Division of Air Resources

6 NYCRR Part 200, General Provisions. Statutory Authority: Environmental Conservation Law Sections 1-0101, 1-0303, 3-0301, 3-0303, 11-0303, 11-0305, 11-0535, 13-0105, 15-0109, 15-1903, 16-0111, 17-0303, 19-0103, 19-0105, 19-0107, 19-0301, 19-0302, 19-0303, 19-0305, 19-0306, 19-0311, 19-0319, 19-0323, 24-0103, 25-0102, 34-0108, 49-0309, 70-0109, 71-2103, 71-2105, 72-0303; Energy Law Sections 3-101, 3-103; Public Authorities Law Sections 1850, 1851, 1854, 1855; Federal Clean Air Act Sections 160-169, 171-193 [42 U.S.C. 7470-7479; 7501-7515]. Part 200 will be revised, and is on the Department's 2015 regulatory agenda.

6 NYCRR Part 201, Permits and Registrations. Statutory authority: Environmental Conservation Law, §§ 1-0101, 3-0301, 3-0303, 19-0103, 19-0105, 19-0107, 19-0301, 19-0302, 19-0303,19-0305, 19-0306, 19-0311, 70-0109, 71-2103, and 71-2105; 40 CFR part 70; United States Code, § 7661[b], Federal Clean Air Act, §§ 160-169, 171-193 [42 U.S.C. 7470-7479; 7501-7515]. Part 201 was amended in 2011 to make certain minor wording changes, correct typographical errors, and other minor corrections to ensure consistent implementation across regions. This regulation is on the Department's 2015 regulatory agenda for 2015.

6 NYCRR Subpart 219-9, Emission Guidelines and Compliance Schedules for Existing Sewage Sludge Incineration Units. Statutory authority: Public Health Law, §§ 1271, 1276; Environmental Conservation Law, §§ 1-0101, 3-0301, 3-0303, 19-0103, 19-0105, 19-0107, 19-0301, 19-0302, 19-0303, 19-0305, 19-0306, 19-0311, 19-0319, 20-0109, 71-2103, 71-2105. This regulation was amended in 2011 and no amendments are planned at this time.

6 NYCRR Part 231, New Source Review for New and Modified Facilities. Statutory Authority: Environmental Conservation Law Sections 1-0101, 3-0301, 3-0303, 19-0103, 19-0105, 19-01017, 19-0301, 19-0302, 19-0303, 19-0305; Federal Clean Air Act Sections 160-169, 171-193 [42 U.S.C. 7470-7479; 7501-7515]. Part 231 was amended in 2011. No further amendments will be made at this time.

6 NYCRR Part 247, Outdoor Wood Boilers. Statutory Authority: Environmental Conservation Law Sections 1-0101, 3-0301, 19-0103, 19-0105, 19-0301, 19-0303, 19-0305, 71-2103, and 71-2105. Part 247 was adopted in 2010 to establish PM emission limits as well as siting and stack height requirements for new outdoor wood boilers (OWBs). This regulation was amended in 2011 and is on the Regulatory Agenda for 2015.

Contact: Jennifer Masa, New York State Department of Environmental Conservation, Division of Air Resources, 625 Broadway, Albany, NY 12233-3258. Telephone: 518-402-8401. E-mail: air.regs@dec.ny.gov. Please include the Part number when emailing.

Division of Fish, Wildlife, and Marine Resources

6 NYCRR Section 1.31, Black Bear Hunting. Statutory Authority: Environmental Conservation Law Sections 11-0303, 11-0903, and 11-0907. Section 1.31 was amended in 2014. Section 1.31 is under consideration for possible revision, and is on the Department's 2016 regulatory agenda.

6 NYCRR Section 1.40, Wild Turkey Hunting. Statutory Authority: Environmental Conservation Law Sections 11-0303, 11-0903, 11-0905. The amendment in 2011 established a two-day spring youth-only hunt in Suffolk County, Long Island that coincides with the two-day youth-only hunt in upstate New York. This regulation is still serving the intended purpose and need, and will not be amended at this time.

Section 1.40 was amended in 2015 to revise fall turkey hunting seasons and bag limits statewide. No further amendments will be made at this time.

6 NYCRR Part 2 and Section 170.6, Crossbow Hunting. Statutory Authority: Environmental Conservation Law Sections 11-0303, 11-0901. Part 2 was revised in 2014. No further amendments will be made at this time.

6 NYCRR Section 2.25, Pheasant Hunting. Statutory Authority: Environmental Conservation Law Sections 11-0303, 11-0903, 11-0905. The amendment in 2011 established a two-day youth-only pheasant hunt in Nassau and Suffolk counties. This regulation is still serving the intended purpose and need, and will not be amended at this time.

6 NYCRR Part 10 Sportfishing Regulations; Part 19 Use of baitfish, fish for bait, and baitfish; Part 35 Commercial Inland Fisheries; and Part 188 Fish Health Inspection Requirements. Statutory Authority: Environmental Conservation Law Sections 3-0301, 11-0303, 11-0305, and 11-0325. Parts 10, 19, 35 and 188 were amended in 2011 to provide some allowances for the transportation of uncertified baitfish by anglers, and to adjust the baitfish "green" list. These regulations will not be amended at this time as the current restrictions on the transportation of uncertified baitfish provide a balance between being resource protective while accommodating for angler use of baitfish. The baitfish "green" list was further amended in 2015.

6 NYCRR Section 187.1, Black Bear Feeding. Statutory Authority: Environmental Conservation Law Sections 11-0521, 11-0903, 11-0928. This regulation is still serving the intended purpose and need and will not be amended at this time.

Division of Lands and Forests

6 NYCRR Part 190, Use of State Lands, Hemlock- Canadice State Forest. Statutory Authority: Environmental Conservation Law sections, 1-0101(1), 1-0101(3)(b), 3-0301(1), 3-0301(1)(b), 3-0301(2)(m), 3-0301(2)(v), 9-0105(1) and 9-0105(3). This regulation is needed to control public use and safety and to protect watershed

values and natural resources. No amendments to this regulation are planned for the coming year since implementation has been satisfactory.

6 NYCRR Part 190, Use of State Lands, Otter Creek Trail System Assembly Area. Statutory Authority: Environmental Conservation Law sections, 1-0101(1), 1-0101(3)(b), 3-0301(1), 3-0301(1)(b), 3-0301(2)(m), 9-0105(1) and 9-0105(3). This regulation is needed to protect natural resources and public safety. No amendments to this regulation are planned for the coming year since implementation has been satisfactory.

6 NYCRR Part 196, Operation of Motorized Vehicles, Vessels, Aircraft and Motorized Equipment in the Forest Preserve, Operation of Mechanically Propelled Vessels on Certain Bodies of Water. Statutory Authority: 1-0101(3)(b), 1-0101(3)(d), 3-0301(1)(b), 3-0301(1)(d), 3-0301(2)(m), 9-0105(1), Executive Law Section 816 (3) and Article XIV, Section 1 of the New York State Constitution. This regulation sets forth mechanically propelled vessel use restrictions on Thirteenth Lake. No amendments to this regulation are planned for the coming year since implementation has been satisfactory.

Contact: Linda Kashdan-Schrom, NYS Department of Environmental Conservation, Division of Lands and Forests, 625 Broadway, Albany, NY 12233-4250 Telephone: (518) 402-9405 E-mail: linda.kashdan-schrom@dec.ny.gov

Division of Water

6 NYCRR Part 649 – The Linked Deposit Program Administered jointly with Environmental Facilities Corporation. Statutory Authority: Environmental Conservation Law sections 3-0301, 15-0313, 17-030117-1909(3); Public Authorities Law Sections 1284(5) and 1285-j(4), State Finance Law Section 243. The Water Pollution Control Linked Deposit Program Act (Act) established the linked deposit program (LDP), under the Clean Water State Revolving Fund (CWSRF). Changes to Part 649 were necessary to adopt the provisions established in the Act. This regulation will not be amended at this time. Contact: Robert Simson, Regulatory Coordinator, Division of Water, NYSDEC, Albany, NY 12233-3500. Telephone 518-402-8271. E-mail: robert.simson@dec.ny.gov

# GUIDANCE DOCUMENTS

Not less than once each year, every agency shall submit to the Secretary of State for publication in the *State Register* a list of all Guidance Documents on which the agency currently relies [SAPA, section 202-e(1)]. However, an agency may be exempted from compliance with the requirements of SAPA section 202-e(1) if the agency has published on its website the full text of all Guidance Documents on which it currently relies [SAPA, section 202-e(2)].

## Office of Temporary and Disability Assistance

The State Administrative Procedure Act (SAPA) § 202-e requires every State agency, at least once a year, to submit to the Secretary of State for publication in the New York State Register a list of guidance documents on which the agency currently relies and to provide information on where and how regulated parties and members of the public may inspect and obtain copies of the documents. Set forth below is the list of guidance documents relied upon by the New York State Office of Temporary and Disability Assistance (OTDA). Many of these documents are available for review on OTDA's website at www.otda.ny.gov. Copies of the documents may be requested from OTDA's Public Information Office by writing to 40 North Pearl Street, Albany, New York 12243-0001, by calling (518) 474-9516, or by e-mailing: nyspio@otda.ny.gov. Arrangements can be made with that Office for inspection of the documents. If copies are requested, OTDA will charge fees pursuant to the Freedom of Information Law for copies of the documents. However, fees may be waived on any requests for copies numbering ten (10) pages or less. There will be no fee charged for inspection of any of the documents listed below.

MANUALS AND SOURCE BOOKS

1. Temporary Assistance Source Book

2. Temporary Assistance for Needy Families State Plan

3. Supplemental Nutrition Assistance Program (SNAP) Source Book

4. Home Energy Assistance Program Manual

5. Home Energy Assistance Program State Plan

6. Temporary Assistance Energy Manual

7. Cash Management Subsystem (CAMS) Manual

8. The Automated Budgeting and Eligibility Logic (ABEL) Reference Manual

9. Institute of Internal Auditors International Professional Practices Framework

10. Benefit Issuance Control Subsystem (BICS) Services Payments Processing (BSPP) Manual

11. Benefit Issuance Control Subsystem (BICS) Operations Manual

12. Electronic Benefit Transfer (EBT) Fiscal Manual

13. Fiscal Reference Manual: Policy and Procedures; Forms and Instructions; County Cost Allocation Plan; and New York City Cost Allocation Plan

14. GAO Government Auditing Standards

15. Indirect Payment Processing Subsystem (IPPS) Manual

16. Local District Claiming System Users Manual

17. Payment Issuance and Control System (PICS) Manual

18. State Data Exchange (SDX) Reference Guide

19. System Reference Manual (SRM)

20. Welfare Management System (WMS) Worker's Guide to Codes

21. Non Public Assistance (NPA) Food Stamp Desk Guide to Codes

22. IM Operational Handbook Authorization of Grants Manual

23. Budgeting Manual NPA Food Stamp Program

24. Budgeting Manual Public Assistance Program

25. Client Notices System (CNS) Codes & Text Catalogue

26. Client Notices Manual

27. Time Limit Tracking Manual

28. Temporary Assistance and Food Stamp Employment Policy Manual

29. Benefit Issuance Control Subsystem (BICS) Error List

30. COSO Guidance — Committee on Sponsoring Organizations of the Treadway Commission

31. eDRS Worker Manual

32. Disaster SNAP State Plan

33. SNAP Employment & Training Plan

ADMINISTRATIVE DIRECTIVES (ADMs), INFORMATIONAL LETTERS (INFs), LOCAL COMMISSIONER MEMORANDA (LCMs), GENERAL INFORMATION SYSTEM (GIS) MESSAGES, DEAR COLLEAGUE LETTERS (DCLs), OFFICE OF ADMINISTRATIVE HEARINGS (OAH) PROCEDURES TRANSMITTALS, AND OTHER ISSUANCES

2015

ADMs

15-ADM-07 Arrears Pilot Initiative to Improve Child Support Compliance

15-ADM-06-T Temporary Housing Assistance: Consolidation and Clarification of Policy

15-ADM-06 Temporary Housing Assistance: Consolidation and Clarification of Policy

15-ADM-05-T Recovery of Liens and Requirement to Provide Biennial Accounting

15-ADM-05 Recovery of Liens and Requirement to Provide Biennial Accounting

15-ADM-04 The Modified Mini Screen (MMS) Mental Health Screening Tool

15-ADM-03 Flexible Fund for Family Services (FFFS)

15-ADM-02 Temporary Assistance Budgeting: 2015 Earned Income Disregard and Poverty Level Test

15-ADM-01 State Supplement Program (SSP) Recovery of Equivalent Benefits (REB) Policy and Procedures

LCMs

15-LCM-19 Electronic Disqualified Recipient System (eDRS) Web Service

15-LCM-18 2016-2017 Biennial Temporary Assistance and Supplemental Nutrition Assistance Program (SNAP) Employment Plan

15-LCM-17 2015-2016 Home Energy Assistance Program (HEAP)

15-LCM-16 Establishing a Social Media Access Policy for Social Services District Investigators

15-LCM-15 Temporary Assistance Questions and Answers

15-LCM-14 2015-2016 Home Energy Assistance Program (HEAP) – Early Mail Out Process and Administrative Allocations

15-LCM-13 Revisions to the LDSS-4925: "Employability Code Desk Guide TANF and SN MOE" and LDSS-4926: "Employability Code Desk Guide SN Non-MOE"

15-LCM-12 Claiming Deadlines for Federal and State Reimbursement

15-LCM-11 Child Support Incentives – Federal Fiscal Years 2013 and 2015

15-LCM-10 Revisions to the LDSS-4923: ''TANF and SNA MOE Work Activities Countability Desk Guide'' and LDSS-4924: SNA Non-MOE Work Activities

15-LCM-09 Supplemental Nutrition Assistance Program (SNAP) Revised Civil/Human Rights Complaint Procedures

15-LCM-08 Supplemental Nutrition Assistance Program Employment and Training (SNAP E & T) Allocations – FFY 2015

15-LCM-07 2015 New York State Summer Youth Employment Program Allocations

15-LCM-06 Deactivation of SNAP Debts in the Treasury Offset Program (TOP) for payment received

15-LCM-05 Submission of TANF Claims/FFFS Plans

15-LCM-04 Noncustodial Parent (NCP) Employment Program

15-LCM-03 2014-2015 Home Energy Assistance Program (HEAP) Cooling Assistance Component (CAC)

15-LCM-02 Revisions to LDSS-4004

15-LCM-01 Records Access

INFs

15-INF-09 State Minimum Wage Increase and Its Effect on Temporary Assistance (TA) and Supplemental Nutrition Assistance Program (SNAP)

15-INF-08 Supplemental Nutrition Assistance Program (SNAP) Data Collection and Reporting Requirements Related to Racial and Ethnic Data

15-INF-07 Investigative Unit Operations Plan (Revised)

15-INF-06 Summer Food Service Program (SFSP)

15-INF-05 Revised LDSS-3938 and LDSS-3938 NYC: "Supplemental Nutrition Assistance Program (SNAP) Application Expedited Processing Summary Sheet"

15-INF-04 Digest of Laws of 2014 Relating to Programs of the Office of Temporary and Disability Assistance

15-INF-03 Personal Needs Allowance (PNA) in Non-Medical and Medical

15-INF-02 Change in Internal Revenue Service Mileage Rates

15-INF-01 Changes in the Earned Income Tax Credit (EITC) and Summary of Other Available Federal and State Tax Credits for Tax Year 2014

GIS

11/19/15 - 15TA/DC051 Unlinked Casekeys and On Hold/Deleted SNAP and Cash Payments on EBT

11/13/15 - 15TA/DC050 Electronic Disqualified Recipient System (eDRS)

11/12/15 - 15TA/DC049 2015-16 HEAP NYS Office of State Comptroller (OSC) Payment File Transfer Schedule (UPSTATE ONLY)

11/09/15 - 15TA/DC048 Processing Temporary Assistance (TA) Requests for Energy Emergencies

11/04/15 - 15TA/DC047 Re-issuance of 15-ADM-06 (15-ADM-06-T) Temporary Housing Assistance Consolidation and Clarification of Policy

10/30/15 - 15TA/DC046 Refugee Form I-94 Arrival/Departure Record Automation

10/26/15 - 15TA/DC045 Alternative Formats and Rafferty v. OTDA, DOH, HRA, et. al Settlement

10/20/15 - 15TA/DC044 Electronic Disqualified Recipient System (eDRS)

10/02/15 - 15TA/DC043 Home Energy Assistance Program (HEAP) Manual

10/02/15 - 15TA/DC042 Federal Government Shutdown Averted

09/25/15 - 15TA/DC041 Potential Federal Government Shutdown and SNAP (Supplemental Nutrition Assistance Program) Benefits

09/25/15 - 15TA/DC040 Temporary Assistance and Supplemental Nutrition Assistance (SNAP) Eligibility for Yemeni nationals applying for or granted Temporary Protected Status by the Department of Homeland Security (DHS)

09/25/15 - 15TA/DC039 Statewide Financial System (SFS) Blackout Period affecting Home Energy Assistance Program (HEAP) vendor payments (UPSTATE ONLY)

09/14/15 - 15TA/DC038 Reminder to Disregard Certain SNAP E&T Sanctions from the SNAP E&T Sanction Progression

09/14/15 - 15TA/DC037 Important Notification about October 2015 SSP Payments

09/09/15 - 15TA/DC036 Changes to National Grid's Procedures for Elderly, Blind or Disabled Households (UPSTATE ONLY)

08/28/15 - 15TA/DC035 Validation of Social Security Numbers

08/25/15 - 15TA/DC034 Upstate and NYC-Updated Supplemental Nutrition Assistance Program (SNAP) Standards for October 2015

08/24/15 - 15TA/DC033 Closing of the 2014-2015 Home Energy Assistance Program Cooling Assistance Component

08/14/15 - 15TA/DC032 Electronic Benefit Transfer (EBT) Outage

08/04/15 - 15TA/DC031 2015-2016 Home Energy Assistance Program (HEAP) TA/SNAP Pre-Autopay Vendor Information Reports

08/04/15 - 15TA/DC030 Excessive Replacement Card Letter to Clients

07/24/15 - 15TA/DC029 Temporary Cessation of System Generated CNS Notices to Shelter, Fuel and/or Utility Vendors

07/02/15 - 15TA/DC028 Revised State Verification and Exchange System (SVES) and State Online Query (SOLQ) Manual

06/15/15 - 15TA/DC027 EBT Forms Ordering. LDSS 5066 and LDSS 5004.

06/12/15 - 15TA/DC026 Change to the Address for the Guide Dog Food Coordinator on the LDSS 3087, "Application/Recertification Guide Dog Food Program." (NYC ONLY)

06/08/15 - 15TA/DC025 2015-2016 Home Energy Assistance Program (HEAP) TA/SNAP Pre-Autopay Vendor Information Reports (UPSTATE ONLY)

06/05/15 - 15TA/DC024 SNAP Disaster Plan Local Emergency Contacts

06/03/15 - 15TA/DC023 Rafferty v. OTDA, DOH, HRA, et. al Settlement and Alternate Format Materials for the Visually Impaired

05/29/15 - 15TA/DC022 Home Energy Assistance Program (HEAP) Pending Report Closing (UPSTATE ONLY)

05/12/15 - 15TA/DC021 Restoration of SFARS (Specialized Fraud and Abuse Reporting System) Database and Reports

05/06/15 - 15TA/DC020 2014-15 Home Energy Assistance Program (HEAP) Revised Program Allocations (UPSTATE ONLY)

05/06/15 - 15TA/DC019 FFY 2015 Supplemental Nutrition Assistance Program (SNAP) Process and Technology Improvement Grants

05/05/15 - 15TA/DC018 Removal of Gender Field from Common Benefit Identification Card (CBIC)

04/23/15 - 15TA/DC017 Clarification of Affixed SSDs Representative's Signature on Interim Assistance Reimbursement Authorizations

04/02/15 - 15TA/DC016 No-Heat Referrals to NYSERDA EmPower Program

03/26/15 - 15TA/DC015 Statewide Financial System Blackout Period - HEAP Implications

03/26/15 - 15TA/DC014 2014-15 Home Energy Assistance Program Regular and Emergency Benefit Components Closing Date

03/24/15 - 15TA/DC013 2015-16 Income Guidelines for Emergency Safety Net Assistance (ESNA) and Emergency Assistance to Needy Families with Children (EAF)

03/12/15 - 15TA/DC012 Calculation of Utility Arrears When TA, HEAP and/or Customer Payments Have Been Made

03/11/15 - 15TA/DC011 Additional Home Energy Assistance Program (HEAP) Administrative Funding

03/06/15 - 15TA/DC010 2014-15 Home Energy Assistance Program (HEAP) – Second Emergency Benefit and Revised Closing Dates

03/05/15 - 15TA/DC009 Important Notification about April SSP Payments

03/05/15 - 15TA/DC008 New Haitian Family Reunification Parole (HFRP) for SNAP Benefits

02/24/15 - 15TA/DC007 Third-party Application (App) for Android phones offering free EBT balance information

02/24/15 - 15TA/DC006 SNAP Closing Reason Code "M12" (UPSTATE ONLY)

02/24/15 - 15TA/DC005 200% of Poverty Income Standards Chart-June 1, 2015 through May 31, 2016

02/06/15 - 15TA/DC004 Additional Update on SNAP Applications submitted through Intuit (Turbo Tax)

02/03/15 - 15TA/DC003 Update on SNAP Applications submitted through Intuit (Turbo Tax)

01/21/15 - 15TA/DC002 SNAP Applications submitted through Intuit (Turbo Tax)

01/07/15 - 15TA/DC001 Home Energy Assistance Program (HEAP) Heating Equipment Repair and Replacement Closing Date

DCLs (Child Support)

- 11/5/15 Chapter 387 of the Laws of 2015
- 10/19/15 Banking Services Contract Extension
- 10/7/15 Amendment to Social Services Law § 111-i and Adoption of the 2008 Amendments to the Uniform Interstate Family Support Act
- 10/2/15 Revisions to the Direct Deposit Enrollment Form and Direct Deposit Cancellation Form
- 9/22/15 Walk In Payments Related to Collection Actions taken by the New York State Department of Taxation and Finance
- 9/15/15 Change to Noncustodial Parent Medical Execution Cover Notice
- 8/24/15 Statewide Arrears Pilot Program—Solicitation of District Participation
- 7/29/15 Federal Interstate Case Reconciliation Data Match
- 7/16/15 Department of Motor Vehicles License Suspension Law Extended
- 6/24/15 Process Year 2016 Tax Refund Offset DCO Values
- 6/8/15 Lottery Prize Intercept Process Changes
- 6/1/15 Change in New York State Child Support Processing Center Project Directors
- 6/1/15 Implementation of the Revised Federal Income Withholding Order/Notice for Support
- 5/15/15 Account Documents for a Court Proceeding Scheduled in Another County
- 4/29/15 New Federal Case Registry Misidentified Participant Application
- 4/16/15 Changes to Non-IV-D Services
- 3/23/15 Increase in Volume of Cost of Living Adjustments for March 2015
- 2/27/15 Updated Child Support Guidelines Figures and Publication of the Child Support Standards Chart
- 1/23/15 Batch Type 65 Processing
- 1/22/15 Chapter 466 of the Laws of 2014

OAH PROCEDURES TRANSMITTALS

15-01 – New Agency NHED

2014

ADMs

- 14-ADM-07 State Administration of SSI State Supplement Program (SSP)
- 14-ADM-06 Supplemental Nutrition Assistance Program (SNAP) Conciliation with Option to Avoid a SNAP Work Sanction through Demonstrated Compliance
- 14-ADM-05-T Automated Information Exchange Agreement between OTDA, OCFS, DOH and DOL-Unemployment Insurance Benefit Information
- 14-ADM-05 Automated Information Exchange Agreement between OTDA, OCFS, DOH and DOL-Unemployment Insurance Benefit Information
- 14-ADM-04 Employment and Resource Exemption Changes authorized by Chapter 58 of the Laws of 2014
- 14-ADM-03 2014-15 Flexible Fund for Family Services (FFFS)
- 14-ADM-02 The Use, Capture and Reporting of SSD's Representative's Signature on Interim Assistance Reimbursement (IAR) Authorizations
- 14-ADM-01 Temporary Assistance Budgeting: 2014 Earned Income Disregard and Poverty Level Test

LCMs

- 14-LCM-15 Use and Protection of Confidential, Private, Personal, and/or Sensitive Information
- 14-LCM-14-T 2014-15 Home Energy Assistance Program — Administrative Allocations and Program Allocations
- 14-LCM-14 2014-15 Home Energy Assistance Program — Administrative Allocations and Program Allocations
- 14-LCM-13 2014-2015 Home Energy Assistance Program (HEAP)
- 14-LCM-12-T Claiming Deadlines for Federal and State Reimbursement
- 14-LCM-12 Claiming Deadlines for Federal and State Reimbursement
- 14-LCM-11 2014-2015 Home Energy Assistance Program (HEAP) – Early Mail Out Process and Administrative Allocations
- 14-LCM-10 Supplemental Nutrition Assistance Program Employment and Training Allocations – FFY 2014
- 14-LCM-09 Supplemental Nutrition Assistance Program Employment and Training (SNAP E&T) Dependent Care Requests for FFY 2014
- 14-LCM-08 Quarterly Fraud Report
- 14-LCM-07 New York State Summer Youth Employment Program Allocations
- 14-LCM-06 Submission of TANF Claims/FFFS Plans
- 14-LCM-05 Child Support Incentives - Federal Fiscal Years 2012 and 2014
- 14-LCM-04 Flexible Fund for Family Services (FFFS) 2014-2015 Allocations and Child Welfare Services Thresholds
- 14-LCM-03 2013-2014 Home Energy Assistance Program (HEAP) Cooling Assistance Component (CAC)
- 14-LCM-02 WMS Audit Tool
- 14-LCM-01 Eligibility Determination and Claiming of EAF Benefits

INFs

- 14-INF-16 Notice of Pending SNAP Application
- 14-INF-15 State Minimum Wage Increase and Its Effect on Temporary Assistance (TA) and Supplemental Nutrition Assistance (SNAP) Programs
- 14-INF-14 Temporary Housing Assistance (THA) for Sex Offenders-Updated Department of Corrections and Community Supervision Contact Lists

- 14-INF-13 Transportation Guidance
- 14-INF-12 Social Security Administration (SSA) Cost-of-Living Adjustment (COLA) for January 2015 and updated SSI and SSP Benefit Levels Chart
- 14-INF-11 Social Services District Monitoring Responsibilities for Access To and Usage of Unemployment Information
- 14-INF-10 The Treatment of Veterans Aid and Attendance (VA AA) benefits for SNAP
- 14-INF-09-T Cost Avoidance Calculations for Program Integrity Initiatives
- 14-INF-09 Cost Avoidance Calculations for Program Integrity Initiatives
- 14-INF-08 Summer Food Service Program (SFSP)
- 14-INF-07 Personal Needs Allowance (PNA) in Non-Medical and Medical Facilities Desk Aid
- 14-INF-06 Temporary Assistance Questions and Answers
- 14-INF-05 Resource File Integration (RFI) Policy and Procedures
- 14-INF-04 Change in Internal Revenue Service Mileage Rates
- 14-INF-02 Digest of Laws of 2013 Relating to Programs of the Office of Temporary and Disability Assistance
- 14-INF-01 Revision to LDSS 4418 Acknowledgement of Paternity Form

GIS Messages

12/23/14 - 14TA/DC056 SSI COLA and NYSNIP Standard Benefit Amount Adjustments (UPSTATE ONLY)

12/23/14 - 14TA/DC055 SSI COLA and NYSNIP Standard Benefit Amount Adjustments (NYC ONLY)

12/23/14 - 14TA/DC054 Home Energy Assistance Program Emergency Benefit Component Opening

12/16/14 - 14TA/DC053 Home Energy Assistance Program (HEAP) and Temporary Assistance (TA) Implications of the 2014-15 Utility Termination Moratorium Schedule for New York State Public Service Commission (PSC) Regulated and Municipal Utility Companies

11/26/14 - 14TA/DC052 State On-Line Query (SOLQ) System Expansion and Changes

11/21/14 - 14TA/DC051 Addressing Emergency Needs Resulting from Impact of Western New York Winter Storms (UPSTATE ONLY)

11/20/14 - 14TA/DC050 SDX Transmission Error (UPSTATE ONLY)

11/10/14 14TA/DC049 2014-15 HEAP NYS Office of State Comptroller (OSC) Payment File Transfer Schedule (UPSTATE ONLY)

11/07/14 14TA/DC048 Processing Temporary Assistance (TA) Requests for Energy Emergencies

10/21/14 14TA/DC047 Release of Revised Version of the LDSS-5004 "EBT How to Use Your Benefit Card to Get Supplemental Nutrition Assistance Program (SNAP) and/or Cash Benefits

10/21/14 14TA/DC046 Release of Two New LDSS E-Forms for Electronic Benefits Transfer (EBT)

10/20/14 14TA/DC045 2014-15 HEAP NYS Office of State Comptroller Voucher File Schedule (UPSTATE ONLY)

10/20/14 14TA/DC044 Treatment of Family Tax Relief & Property Tax Relief Credits: TA, SNAP and HEAP Policy Implications

10/15/14 14TA/DC043 SFARS (Specialized Fraud and Abuse Reporting System) Limited Availability Since EBT Contractor Transition

10/15/14 14TA/DC042 Increase in the UIB Minimum and Maximum Benefits

10/02/14 14TA/DC041 Excessive Replacement Card Letter to Clients

09/30/14 14TA/DC040 State Takeover of the SSI State Supplement Program (SSP)

09/26/14 14TA/DC039 Direct Deposit Banking Information for SSP Recipients

09/26/14 14TA/DC038 Changes to SNAP Resource Limits

09/18/14 14TA/DC037 Update on Client Electronic Benefit Transfer (EBT) System Conversion Activities

09/10/14 14TA/DC036 State Supplement Program (SSP) Updates

09/03/14 14TA/DC035 Update on Client Electronic Benefits Transfer (EBT) System Conversion Activities

09/02/14 14TA/DC034 SNAP Disaster Plan Local Contacts

08/29/14 14TA/DC033 Upstate and NYC-Updated Supplemental Nutrition Assistance Program (SNAP) Standards for October, 2014

08/29/14 14TA/DC032 Restrictions on EBT Cash Transactions at Specified Locations

08/15/14 14TA/DC031 National Grid Fax Outage Resolved (UPSTATE ONLY)

08/14/14 14TA/DC030 National Grid Fax Outage (UPSTATE ONLY)

08/01/14 14TA/DC029 State Supplement Program (SSP) Transition Letter Mail Out

08/01/14 14TA/DC028 2014-15 Home Energy Assistance Program TA/SNAP Pre-Autopay Vendor Information Reports (UPSTATE ONLY)

07/25/14 14TA/DC027 Changes to the Services Provided by Social Security Offices

07/23/14 14TA/DC026 National Grid System Maintenance

07/09/14 14TA/DC025 Transition of State Supplemental Personal Needs Allowance (SSPNA) Benefits to Direct Deposit

06/26/14 14TA/DC024 Home Energy Assistance Program (HEAP) Benefit Cooling Assistance Component (CAC) Tracking Log (UPSTATE ONLY)

06/20/14 14TA/DC023 Farm Bill Changes to SNAP Standard Utility Allowance (SUA) Policy – Initial Draft Guidance

06/19/14 14TA/DC022 Disability Accommodation Indicator

06/19/14 14TA/DC021 Closing of the 2013-2014 Home Energy Assistance Program Cooling Assistance Component

06/11/14 14TA/DC020 2014-2015 Home Energy Assistance Program (HEAP) TA/SNAP Pre-Autopay Vendor Information Reports (UPSTATE ONLY)

06/02/14 14TA/DC019 LDSS-5041: "Lien Acknowledgement" Form

05/21/14 14TA/DC018 SNAP Budgeting Shelter Expense of Mortgage Costs when in Foreclosure

05/15/14 14TA/DC017 Home Energy Assistance Program (HEAP) Pending Reports (UPSTATE ONLY)

05/14/14 14TA/DC016 No-Heat Referrals to NYSERDA EmPower

04/08/14 14TA/DC015 2014 Emergency Program Income Guidelines for Emergency Safety Net Assistance (ESNA) and Emergency Assistance to Needy Families with Children (EAF)

04/03/14 14TA/DC014 200% of Poverty Income Standards Chart - June 1, 2014 through May 31, 2015

04/01/14 14TA/DC013 FFY 2014 Supplemental Nutrition Assistance Program (SNAP) Process and Technology Improvement Grants

03/21/14 14TA/DC012 Additional SAVE Telephone Conference and Information on Training (UPSTATE ONLY)

03/19/14 14TA/DC011 Farm Bill Changes to SNAP Standard Utility Allowance (SUA) Policy

03/14/14 14TA/DC010 Supplemental HEAP Payments (UPSTATE ONLY)

03/11/14 14TA/DC009 Additional Home Energy Assistance Program (HEAP) Administrative Funding

03/06/14 14TA/DC008 Closing Dates for the 2013-14 Home Energy Assistance Program (HEAP) Regular and Emergency Components

02/28/14 14TA/DC007 SAVE Telephone Conference and Information on Training (UPSTATE ONLY)

02/20/14 14TA/DC006 Electronic Benefit Transfer System Conversion

02/19/14 14TA/DC005 Home Energy Assistance Program (HEAP) Benefit Pending Reports

02/03/14 14TA/DC004 SAVE Telephone Conference (UPSTATE ONLY)

01/30/14 14TA/DC003 2013-14 Home Energy Assistance Program Regular Component

01/29/14 14TA/DC002 Home Energy Assistance Program (HEAP) Heating Equipment Repair and Replacement Closing Date

01/06/14 14TA/DC001 Updated HEAP Brochure PUB-4735 and HEAP Poster PUB-5007

DCLs (Child Support)

- 12/17/2014 Chapter 373 of the Laws of 2014 (Discontinued ASSETS templates)
- 06/27/2014 Process Year 2015 Tax Refund Offset DCO Values
- 06/20/2014 Revised Wage and Health Benefits Report
- 05/02/2014 Revisions to Lien Documents Used in the Enforcement of Support Obligations and the Personal Injury Claims Enforcement Process
- 04/30/2014 Driver License Suspension Process—Systems Fixer to Update Aged DMV Indicators of U01 for Resubmission
- 04/25/2014 New COLD Report for CSENet Annual Notice of Overdue Support
- 03/12/2014 Updated Child Support Guidelines Figures for 2014 and Publication of the Child Support Standards Chart
- 03/06/2014 Information from the Department of Health about NY State of Health Notices
- 02/18/2014 Accessing eMedNY for Medicaid Eligibility Status
- 02/04/2014 Planned Data Collection Activities on ASSETS and the Child Support Website

OAH PROCEDURES TRANSMITTALS

14-002 Outcome Reason Codes 080714

2013

ADMs

- 13-ADM-07 Systematic Alien Verification for Entitlements (SAVE) Program
- 13-ADM-06 NYSERDA On-Bill Recovery (OBR) Financing
- 13-ADM-05 2013-14 Flexible Fund for Family Services (FFFS)
- 13-ADM-04 SNAP Reactivation Waiver
- 13-ADM-03 Temporary Assistance Budgeting: 2013 Changes to the Earned Income Disregard and Poverty Level Test
- 13-ADM-02 Temporary Assistance and Supplemental Nutrition Assistance Program Policy: The Treatment of Tax Refunds and Credits
- 13-ADM-01 Automated Case Closure and Closure of Administrative Cases

LCMs

- 13-LCM-16 2013-14 Home Energy Assistance Program–Administrative Allocations and Program Allocations
- 13-LCM-15 Document Packet for Fair Hearings Related to Interim Assistance Reimbursement (IAR)
- 13-LCM-14 2013-14 Home Energy Assistance Program
- 13-LCM-12 2014-2015 Biennial Temporary Assistance and Supplemental Nutrition Assistance Program (SNAP) Employment Plan
- 13-LCM-11 2013-14 Home Energy Assistance Program-Early Mail Out Process and Administrative Allocations
- 13-LCM-10 Supplemental Nutrition Assistance Program Employment and Training Allocations-FFY 2013
- 13-LCM-09 Revisions to LDSS-2859, Information Transmittal
- 13-LCM-08 Supplemental Nutrition Assistance Program Employment and Training (SNAP E&T) Dependent Care Requests for FFY 2013
- 13-LCM-07 Reporting Requirements under the Federal Funding Accountability and Transparency Act of 2006 (FFATA)
- 13-LCM-06 Supplemental Nutrition Assistance Program (SNAP) Civil/Human Rights Complaint
- 13-LCM-05 Claiming Deadlines for Federal and State Reimbursement
- 13-LCM-04 Child Support Incentives-Federal Fiscal Years 2011 and 2013
- 13-LCM-03 Submission of TANF Claims/FFFS Plans
- 13-LCM-02 2013 TANF Summer Youth Employment Program Allocations
- 13-LCM-01 2012-2013 Home Energy Assistance Program (HEAP) Cooling Assistance Component

INFs

- 13-INF-08 State Minimum Wage Increase and Its Effect on Temporary Assistance (TA) and Supplemental Nutrition Assistance Program (SNAP)
- 13-INF-07 Social Security Administration (SSA) Cost-of-Living Adjustment (COLA) for January 2014 – SSI Benefit Levels Chart
- 13-INF-06 Changes in the Earned Income Tax Credit (EITC) and Summary of Other Available Federal and State Tax Credits for Tax Year 2013
- 13-INF-05 Mandatory Supplemental Nutrition Assistance Program (SNAP) Right to File Poster-LDSS-4995
- 13-INF-04 Summer Food Service Program
- 13-INF-03 CBIC Photos for NTA-SNAP Cardholders
- 13-INF-02 Revisions to the LDSS 4310 "Periodic Report" and the LDSS 4310A "Follow-up to the Periodic Report"
- 13-INF-01 Changes in the Earned Income Tax Credit (EITC) and provide SSDs a summary of other available federal and state tax credits for Tax Year 2012

GIS Messages

12/30/13 13TA/DC056 Expiration of the Emergency Unemployment Compensation (EUC) Program

12/27/13 13TA/DC055 Home Energy Assistance Program Emergency Benefit Component Opening

12/27/13 13TA/DC054 SSI COLA and NYSNIP Standard Benefit Amount Adjustments (NYC ONLY)

12/26/13 13TA/DC054 SSI COLA and NYSNIP Standard Benefit Amount Adjustments (UPSTATE ONLY)

12/17/13 13TA/DC053 Home Energy Assistance Program (HEAP) and Temporary Assistance (TA) Implications of the 2013-14 Utility Termination Moratorium Schedule for New York State Public Service Commission (PSC) Regulated and Municipal Utility Companies

12/17/13 13TA/DC052 Social Services District Responsibility for Updating Specific Information, including Out of District/State inquires, found on the OTDA Intranet and CentraPort

12/05/13 13TA/DC051 Home Energy Assistance Program (HEAP) Payment Information (UPSTATE ONLY)

12/05/13 13TA/DC050 National Grid System Maintenance (UPSTATE ONLY)

11/15/13 13TA/DC049 Home Energy Assistance Program (HEAP) Manual

11/14/13 13TA/DC048 Issuance of One-Time Supplemental Nutrition Assistance Program (SNAP) Benefit resulting from the Richard C. v. Berlin Settlement Agreement

11/14/13 13TA/DC047 State On-Line Query (SOLQ) System Changes

11/04/13 13TA/DC046 Supportive Services for Veteran Families Program

10/25/13 13TA/DC045 Release of Revised Version of the Previous PUB-4792 ''Will You Receive Food Stamp Benefits after Cash Assistance Ends?''

10/24/13 13TA/DC044 Release of Revised LDSS-4943 ''Supplemental Nutrition Assistance Program (SNAP) Benefits Categorical Eligibility Desk-Aid''

10/24/13 13TA/DC043 Clarification of SNAP Policies Regarding Homeless Including Homeless Youth

10/21/13 13TA/DC042 Food/Non-Food Guidelines for the ''Financial Statement'' (LDSS-3596) for Utility Assistance

10/18/13 13TA/DC041 End of Federal Government Shutdown

10/07/13 13TA/DC040 Federal Government Shutdown - Granting Good Cause for Inability to Apply for Social Security Card(s)

10/07/13 13TA/DC039 New York State Energy Research and Development Authority's EmPower New York Assistance for Summer 2013 Flooding and Superstorm Sandy

10/03/13 13TA/DC038 November 1, 2013 Expiration of Federal American Recovery and Reinvestment Act (ARRA) Temporary Increase to SNAP Benefits

09/30/13 13TA/DC037 Impact on OTDA Programs of Potential Federal Government Shutdown

09/23/13 13TA/DC036 Change in Form Number for PUB-4596 ''EBT How to Use Your Benefit Card to Get SNAP and/or Cash Benefits'' to LDSS-5004

09/23/13 13TA/DC035 Calculation of Utility Arrears

09/13/13 13TA/DC034 CBIC Vault Card Ordering Process (UPSTATE ONLY)

09/11/13 13TA/DC033 Protocols for HEAP Alternate Certifiers and myWorkspace Access for Alternate Certifiers (UPSTATE ONLY)

08/27/13 13TA/DC032 Release of Revised LDSS-548 ''Budget Worksheet - Temporary Assistance''

08/20/13 13TA/DC031 Upstate and NYC Updated Supplemental Nutrition Assistance Program (SNAP) Standards for October 2013

08/19/13 13TA/DC030 Excessive Replacement Card Letter to Clients

07/08/13 13TA/DC029 Temporary Assistance (TA) and Supplemental Nutrition Assistance Program (SNAP) Computer Matches: Incarcerated Clients

07/08/13 13TA/DC028 Extension to Deadline Reporting Loss of Food Purchased with SNAP Benefits Due to June 2013 Flooding (UPSTATE ONLY)

07/02/13 13TA/DC027 Addressing Emergency Needs Resulting from Recent Flooding (UPSTATE ONLY)

07/01/13 13TA/DC026 Implementation of the myBenefits/myWorkspace Home Energy Assistance Program (HEAP) Application Process

06/24/13 13TA/DC025 Home Energy Assistance Program (HEAP) Benefit Cooling Assistance Component (CAC) Tracking Log

06/21/13 13TA/DC024 SNAP Disaster Plan Local Contacts

06/20/13 13TA/DC023 National Grid System Maintenance (UPSTATE ONLY)

06/07/13 13TA/DC022 2013-2014 Home Energy Assistance Program (HEAP) TA/SNAP Pre- Autopay Request for Vendor Information (UPSTATE ONLY)

06/05/13 13TA/DC021 Closing of the 2012-2013 Home Energy Assistance Program (HEAP) Cooling Assistance Component (CAC)

05/24/13 13TA/DC020 Home Energy Assistance Program (HEAP) Benefit Pending Reports (UPSTATE ONLY)

04/29/13 13TA/DC019 Required Posting of Notices

04/29/13 13TA/DC018 Expiration of Waiver to Allow Purchase of Hot and Prepared Foods with SNAP Benefits at Authorized FNS Retailers

04/12/13 13TA/DC017 State On Line Query (SOLQ) System

04/09/13 13TA/DC016 Reporting Applications for the 2012-2013 Home Energy Assistance Program (HEAP) Cooling Assistance Component (CAC)

04/03/13 13TA/DC015 FFY2013 Supplemental Nutrition Assistance Program (SNAP) Technology Improvement Grants

04/01/13 13TA/DC014 Fourth Extension of Timeframe for Allowing SNAP Purchases of Hot and Prepared Foods at Authorized FNS Retailers in New York City and in Nassau and Suffolk Counties

03/28/13 13TA/DC013 2013 Emergency Program Income Guidelines for Emergency Safety Net Assistance (ESNA) and Emergency Assistance to Needy Families with Children (EAF)

03/20/13 13TA/DC012 200% Poverty Income Standards Chart - June 1, 2013 through May 31, 2014

03/11/13 13TA/DC011 Notice to Individuals Currently Serving Supplemental Nutrition Assistance Program Employment and Training (SNAP E&T) Sanctions

03/11/13 13TA/DC010 Expanded Online Access to Social Security Administration Information

03/01/13 13TA/DC009 Fourth Extension of Timeframe for Allowing SNAP Purchases of Hot and Prepared Foods at Authorized FNS Retailers in New York City and in Nassau and Suffolk Counties

02/28/13 13TA/DC008 Home Energy Assistance Program (HEAP) Regular and Emergency Component Closing Date

02/13/13 13TA/DC007 Additional Information on the Home Energy Assistance Program (HEAP)

01/31/13 13TA/DC006 Third Extension of Timeframe for Allowing SNAP Purchase of Hot and Prepared Foods at Authorized FNS Retailers in New York City and in Nassau and Suffolk

01/31/13 13TA/DC005 Ending the Duration of Current Supplemental Nutrition Assistance Program Employment and Training (SNAP E&T) Sanctions

01/29/13 13TA/DC004 Home Energy Assistance Program (HEAP) Heating Equipment Repair and Replacement Component Closing Date

01/29/13 13TA/DC003 Home Energy Assistance Program (HEAP) Benefit Processing and Pending Applications Reporting

01/24/13 13TA/DC002 Disabling Welfare Management System (WMS) Temporary Assistance (TA) Case Reason Code ''M40''

01/02/13 13TA/DC001 SSI COLA and NYSNIP Standard Benefit Amounts (UPSTATE ONLY)

01/02/13 13TA/DC001 SSI COLA and NYSNIP Standard Benefit Amounts (NYC ONLY)

DCLs (Child Support)

- 12/19/2013 Affordable Care Act and Department of Health (DOH) Takeover of Medicaid
- 12/04/2013 Time Limits for Vacating an Acknowledgment of Paternity
- 11/06/2013 Instructions for Review of Federal Tax Offset Corrected Social Security Number (SSN) Report and Federal Tax Offset Process Changes
- 08/02/2013 Revisions to DMV and DTF Important Notices Sent to Noncustodial Parents
- 07/16/2013 Extension of DMV License Suspension Laws
- 03/21/2013 Federal Case Registry Reconciliation
- 03/11/2013 Electronic Communications System Enhancements

OAH PROCEDURES TRANSMITTALS

13-03 Mailing Evidence Packet for Telephone Hearings

13-02 Waiver of Personal Appearance Instructions for Agencies

13-01b Fishman Default Letter 16 Spanish Language

13-01a Fishman Default Letter 16

13-01 Fishman v. Daines Litigation procedures [FISHMAN COURT ORDER VACATED SEPT. 2013]

13-002 Waiver Packet Address Change CORRECTED

2012

ADMs

- 12-ADM-08 Elimination of Finger Imaging Requirements for Purposes of the Supplemental Nutrition Assistance Program (SNAP)
- 12-ADM-07 Supplemental Nutrition Assistance Program (SNAP)-New Name for the Food Stamp Program
- 12-ADM-06 2012-13 Flexible Fund for Family Services (FFFS)
- 12-ADM-05 2012 Temporary Assistance Basic Allowance Increase
- 12-ADM-04 New York State Parolees and Temporary Assistance/Temporary Housing Assistance District of Fiscal Responsibility (DFR) Policy
- 12-ADM-03 Information about Child Support Services and Application/Referral for Child Support Enforcement Services (LDSS-4882)

• 12-ADM-02 Temporary Assistance Budgeting: 2012 Changes to the Earned Income Disregard and Poverty Level Test

• 12-ADM-01 Requirement to Make Information Available to Non-Parent Caregivers relating to Available Services and Assistance Programs

LCMs

• 12-LCM-14 Claiming Deadlines for Federal and State Reimbursement

• 12-LCM-13 Results of the Federal Fiscal Year 2011 Data Reliability Audit and Procedures to Prevent Deficiencies

• 12-LCM-12 2012-13 Home Program Summary

• 12-LCM-11 Transportation Initiative

• 12-LCM-10 2012-13 Home Energy Assistance Program-Administrative Allocations and Program Allocations

• 12-LCM-09 Supplemental 100% SNAP Employment and Training Allocations –FFY 2012

• 12-LCM-08 2012-13 Home Energy Assistance Program-Early Outreach Process and Administrative Allocations

• 12-LCM-07 Food Stamp Employment and Training Allocations-FFY 2012

• 12-LCM-06 Continuation of OTDA Contract with Medical Providers for Consultative Medical and Psychological Examinations and Intelligence Assessments

• 12-LCM-05 Submission of TANF Claims/FFFS Plans

• 12-LCM-04 Child Support Incentives-Federal Fiscals Years 2010 and 2012

• 12-LCM-03 2012 Summer Youth Employment Program Allocations

• 12-LCM-02 Use of Food Stamp Employment and Training (FSET) Funds for Dependent Care Expenses

• 12-LCM-01 Claiming Deadlines for Federal and State Reimbursement

INFs

• 12-INF-17 Social Security Administration (SSA) Cost-of-Living Adjustment (COLA) for January 2013 – SSI Benefit Levels Chart

• 12-INF-16 Digest of Laws of 2012 Relating to Programs of the Office of Temporary and Disability Assistance

• 12-INF-15 Revision to LDSS-4887 Mail-in Recert/Eligibility Questionnaire

• 12-INF-14 Supplemental Nutrition Assistance Program Policy-Student Eligibility (Replaces 11-INF-06)

• 12-INF-13 Guidance on Use of Enhanced WMS Cross-System Clearance Process and New Statewide Clearance Report

• 12-INF-12 PUB-4916 (Rev. 12/11): Helping Hands for People in Need Brochure (No Longer Required)

• 12-INF-11 Availability of New Forms LDSS-4882 (7/12): Information about Child Support Services and Application/Referral for Child Support Services; LDSS-4882A (7/12): Information about Child Support Services; LDSS-4882B (7/12): Information for an Additional Noncustodial Parent (NCP)/Putative Father (PF); and LDSS-4882C (7/12): Information for an Additional Child

• 12-INF-10 Internal Revenue Service Mileage Reimbursement Rate

• 12-INF-09 Summer Food Service Program

• 12-INF-08 The Treatment of Subsidized Employment as Earned Income for the Food Stamp Program

• 12-INF-07 Revised LDSS-4403: ''Determination of Eligibility for Emergency Assistance to Families (EAF)''

• 12-INF-06 Food Stamp Program Clarification of required Documentation/Verification

• 12-INF-05 Clarification of Food Stamp Policy for Claims Established as a Result of a Court Ordered Restitution & Revision of FS Recoupment Data Entry Form-WMS LDSS-3513 NYC

• 12-INF-04 Personal Needs Allowance (PNA) in Non-Medical and Medical Facilities Desk Aids

• 12-INF-03 Temporary Assistance (TA) and Medicaid (MA) Benefits for Infants Residing With Their Incarcerated Mothers

• 12-INF-02 Earned Income Tax Credit (EITC) and Other Tax Credits and Information for Tax Year 2011

• 12-INF-01 Lottery Intercept Match (LIM) for Temporary Assistance Recipients

GIS Messages

12/31/12 12TA/DC040 HEAP Initial and Ongoing Daily Mass Authorization Process (UPSTATE ONLY)

12/28/12 12TA/DC039 Opening of the 2012-13 HEAP Emergency Benefit Component

12/21/12 12TA/DC038 Second Extension of Timeframe for Allowing SNAP Purchase of Hot and Prepared Foods at Authorized FNS Retailers in Counties Impacted by Hurricane Sandy

12/19/12 12TA/DC037 Correction to the LDSS-4887: ''Mail-In Recert/Eligibility Questionnaire''

12/13/12 12TA/DC036 HEAP and TA Implications for the 2012-13 Utility Termination Schedule for NYS PSC Regulated and Municipal Utility Companies

12/04/12 12TA/DC035 Temporary Suspension of Supplemental Nutrition Assistance Program Employment and Training (SNAP&T) Sanctions

12/03/12 12TA/DC034 2012-13 Home Energy Assistance Program (HEAP) Payment Information

11/26/12 12TA/DC033 Extension of Timeframe for Allowing SNAP Purchase of Hot and Prepared Foods at Authorized FNS Retailers in Counties Impacted by Hurricane Sandy

11/20/12 12TA/DC032 Processing Temporary Assistance (TA) Requests for Energy Emergencies

11/16/12 12TA/DC031 Exemption of Disaster Unemployment Insurance (DUA)

11/16/12 12TA/DC030 Restored EBT Availability

11/16/12 12TA/DC029 Availability of Emergency Manual Vouchers for SNAP During Continued Outage of EBT

11/16/12 12TA/DC028 Availability of EBT

11/15/12 12TA/DC027 NY Youth Works Program TA Budgeting/ Eligibility Implications and Reporting Requirements

11/14/12 12TA/DC026 Temporary Assistance Programs for Emergencies Resulting from Superstorm Sandy

11/13/12 12TA/DC025 Extension of Certification Periods for SNAP and TA Households Impacted by Hurricane Sandy (UPSTATE ONLY FOR SELECTED COUNTIES)

11/09/12 12TA/DC025 Extension of Certification Periods for SNAP and TA Households Impacted by Hurricane Sandy (NYC ONLY

11/06/12 12TA/DC024 Waiver Approval to Allow SNAP Purchase of Hot and Prepared Foods at Authorized FNA Retailers in the following areas affected by Hurricane Sandy: New York City, and the counties of Nassau, Orange, Putnam, Rockland, Sullivan, Suffolk, and Westchester

11/06/12 12TA/DC023 Mass Authorization for Replacement of 50% SNAP October Benefits (UPSTATE ONLY)

11/06/12 12TA/DC023 Mass Authorization for Replacement of 50% SNAP October Benefits (NYC ONLY)

11/02/12 12TA/DC022 Extension to Deadline for Reporting Loss of Food Purchased with SNAP Benefits due to Hurricane Sandy (UPSTATE ONLY)

11/02/12 12TA/DC022 Extension to Deadline for Reporting Loss of Food Purchased with SNAP Benefits due to Hurricane Sandy (NYC ONLY)

10/29/12 12TA/DC021 Disaster Preparedness for Hurricane Sandy

10/01/12 12TA/DC020 Income Eligibility Verification System (IEVS) 1099 Processing - Revised Procedure and IEVS Contact List

09/07/12 12TA/DC019 Participation of 18-21 Year Olds in NYSNIP

08/22/12 12TA/DC018 Upstate - Updated Supplemental Nutrition

Assistance Program (SNAP) Standards for October 1, 2012 (UPSTATE ONLY)

08/22/12 12TA/DC018 NYC - Updated Supplemental Nutrition Assistance Program (SNAP) Standards for October 1, 2012 (NYC ONLY)

08/08/12 12TA/DC017 National Grid Program ''Advocates in Action''

07/31/12 12TA/DC016 New York State Fresh/Connect Program for Supplemental Nutrition Assistance Program (SNAP) recipients

07/24/12 12TA/DC015 District Contact for Out of District/State Inquiries

07/10/12 12TA/DC014 Food Stamps Disaster Plan Local Contacts

07/03/12 12TA/DC013 Release of Updated LDSS-2642 ''Documentation Requirements'' Form

06/21/12 12TA/DC012 Distribution of Informational Booklets: LDSS-4148A, LDSS-4148B, and LDSS-4148C

06/15/12 12TA/DC011 Finger-Imaging Requirements in the Food Stamp Program

05/23/12 12TA/DC010 Social Services District Responsibility for Updating District Specific Information found on the OTDA Intranet and CentraPort

05/10/12 12TA/DC009 Release of Updated LDSS-3151

05/08/12 12TA/DC008 Release of Updated LDSS-3969B: ''Notice of Action on Your Application/Benefit for the Child Assistance Program, Status of Medical, Food Stamps or Services''

04/24/12 12TA/DC007 Loss of Food - Crisis Situations (UPSTATE ONLY)

03/30/12 12TA/DC006 2012 Emergency Program Income Guidelines for Emergency Safety Net Assistance (ESNA) and Emergency Assistance to Needy Families With Children (EAF)

03/29/12 12TA/DC005 200% of Poverty Income Standards Chart - June 1, 2012 through May 31, 2013

03/15/12 12TA/DC004 NYSNIP Shelter Type 98 Standard Benefit Amount Adjustments

02/07/12 12TA/DC003 Discontinuance of WRTS Production Reports (UPSTATE ONLY)

01/12/12 12TA/DC002 National Grid Upstate New York DSS Unit Closure on Friday, January 20, 2012

01/03/12 12TA/DC001 Food Stamp program elderly and disabled household resource limit increase to $3,250 effective 10/1/11

DCLs (Child Support)

- 11/30/2012 Banking Services Update
- 11/09/2012 Zero Dollar Current Child Support
- 10/17/2012 Website Security Enhancements Slide Show
- 10/17/2012 Website Security Enhancements Letter
- 08/17/2012 Banking Services Updates
- 07/06/2012 Property Execution Process
- 06/28/2012 2012 – 2013 Tax Refund Offset Process
- 06/18/2012 Federal Interstate Case Reconciliation Data Match
- 04/10/2012 Adoption of Federal Income Withholding Notice and Worksheet
- 04/05/2012 Enhancements to Noticing of Assigned Support Collections and Disbursements
- 02/14/2012 Fraudulent Internal Revenue Service Tax Returns

OAH PROCEDURES TRANSMITTALS

12-02 Change of FS to SNAP

12-01 Change to NYCRR 358-5.5 Abandonment of a Request for a Fair Hearing

2011

ADMs

- 11-ADM-08 State-issued OCFS and OTDA IT Equipment
- 11-ADM-07 Flexible Fund for Family Services (FFFS)
- 11-ADM-06 New York State Employment
- 11-ADM-05 Temporary Assistance Budgeting: Treatment of Kinship Guardianship Assistance Program (KinGAP) Payments
- 11-ADM-04 Desk Reviews of the Distribution and Disbursement of Support Collections
- 11-ADM-03 Required Temporary Assistance for Needy Families (TANF) Reporting
- 11-ADM-02 Temporary Assistance Budgeting: 2011 Changes to the earned Income Disregard and Poverty Level Test
- 11-ADM-01 Penalty for Failure to Comply with Temporary Assistance (TA) Program Requirement to Pursue Supplemental Security Income (SSI)

LCMs

- 11-LCM-14 2011-12 Home Energy Assistance Program (HEAP)
- 11-LCM-13 Community Solutions for Transportation (CST XI) Funding
- 11-LCM-12 Supplemental 100% Food Stamp Employment and Training Allocations - FFY 2011
- 11-LCM-11 Supplemental allocations for Green Jobs Corps 2 Program
- 11-LCM-10 2012-2013 Biennial Temporary Assistance and Food Stamp Employment Plan
- 11-LCM-09 Supplemental Health Care Jobs 2 Program Allocations
- 11-LCM-08 Supplemental Allocations for OTDA Transitional Jobs 2 Program
- 11-LCM-07 2011-12 Home Energy Assistance Program-Early Mail Out Process and Administrative Allocations for Early Outreach Processing
- 11-LCM-06 Food Stamp Employment and Training Allocations-FFY 2011
- 11-LCM-05 Submission of TANF Claims/FFFS Plans
- 11-LCM-04 Child Support Incentives-Federal Fiscal Years 2009 and 2011
- 11-LCM-03 2011 Summer Youth Employment Program Allocations
- 11-LCM-02 Local District Emergency Closings and Reporting of Emergency Information
- 11-LCM-01 Claiming Deadlines for Federal and State Reimbursement

INFs

- 11-INF-17 Digest of Laws of 2011 Relating to Programs of the Office of Temporary and Disability Assistance
- 11-INF-16 Introduce LDSS-4973: ''Manual Child Support Pass-Through Payment Determination Worksheet''
- 11-INF-15 Process for Requesting Temporary Assistance Recertification Waiver(s)
- 11-INF-14 Revised ''Request for Voluntary Restricted Payments'' (LDSS-4580)
- 11-INF-13 Limited Revisions to the LDSS-3707, LDSS-4527, LDSS-4732A, LDSS-4732B, and LDSS-4778
- 11-INF-12 Social Security Administration (SSA) Cost-of-Living Adjustment (COLA) for January 2012-SSI Benefits Levels Chart
- 11-INF-11 Rest of State (ROS)-ABEL Initial Grant Proration
- 11-INF-10 Electronic Interim Assistance Reimbursement (eIAR) Implementation Questions and Answers
- 11-INF-09 New Form LDSS-4982 (5/11): ''How Do I Apply for Food Stamps?''
- 11-INF-08 Revisions to Manual Client Notices
- 11-INF-07 District Policy Options
- 11-INF-06 Food Stamp Policy-Student Eligibility
- 11-INF-04 Client Benefit Identification Card (CBIC) "Vault" Cards (Upstate Only)
- 11-INF-03 Earned Income Tax Credit (EITC) and Other Tax Credits for Tax Year 2010
- 11-INF-02 Conditional Certifications for the Work Opportunity Tax Credit (WOTC)

• 11-INF-01 Revisions to LDSS-4230 (Conciliation Notification)

GIS Messages

12/06/11 11TA/DC026 SSI COLA and NYSNIP Standard Benefit Amount Adjustments (UPSTATE ONLY)

12/06/11 11TA/DC026 SSI COLA and NYSNIP Standard Benefit Amount Adjustments (NYC ONLY)

11/16/11 11TA/DC025 Processing Requests for Energy Related Emergencies

09/28/11 11TA/DC024 Disaster Food Stamp Benefits Program of 2011 Questions and Answers

09/23/11 11TA/DC023 Extension of Requests for Replacement of Food Stamp Benefits due to Hurricane Irene

09/21/11 11TA/DC022 Instructions for LDSSs Operating a Disaster Food Stamp Benefit Program due to Hurricane Irene

09/20/11 11TA/DC021 Requests for Replacement August Food Stamp Benefits due to Hurricane Irene

09/09/11 11TA/DC020 Temporary Assistance Payments for Emergencies Resulting from Hurricane Irene and Tropical Storm Lee

09/07/11 11TA/DC019 Requests for Replacement Food Stamp Benefits

09/02/11 11TA/DC018 Issuing Replacement Food Stamp Benefits

08/26/11 11TA/DC017 Disaster Preparedness

08/18/11 11TA/DC016 National Voter Registration Act (NVRA) Responsibilities - 2011 Primary Election

08/17/11 11TA/DC015 ROS - Updated Food Stamp Standards for October 1, 2011 (UPSTATE ONLY)

08/17/11 11TA/DC015 NYC - Updated Food Stamp Standards for October 1, 2011 (NYC ONLY)

08/02/11 11TA/DC014 Use of Accurate WMS Payment Types for Temporary Housing (UPSTATE ONLY)

06/30/11 11TA/DC013 Social Service Responsibility for Submission of Voter Registration Forms Received via Electronically Submitted Applications

06/07/11 11TA/DC012 False or Misleading Marital Status Information Admission Statement Form and Food Stamp Program Intentional Program Violations

05/31/11 11TA/DC011 Revisions to LDSS-4725 (TANF Services Certification/ Application Review Form)

04/26/11 11TA/DC010 Delayed Mailing of March Periodic Reports (LDSS-4310)

04/04/11 11TA/DC009 200% of Poverty Income Standards Chart - June 1, 2011 through May 31, 2012

03/25/11 11TA/DC008 Second HEAP Emergency Benefit for 2010-11

04/12/11 11TA/DC007 REISSUED - 2011 Emergency Program Income Guidelines for Emergency Safety Net Assistance (ESNA) and Emergency Assistance to Needy Families with Children (EAF)

03/08/11 11TA/DC006 Temporary Assistance and Food Stamp Implications of the Changes to Medicaid Citizenship Documentation Requirements

03/04/11 11TA/DC005 Redesigned I-551 Permanent Resident Card (Green Card)

02/15/11 11TA/DC004 UPS Required Adjustment to Food Stamp Standard Utility Allowance (SUA) Values (UPSTATE ONLY)

02/15/11 11TA/DC004 NYC Required Adjustment to Food Stamp Standard Utility Allowance (SUA) Values (NYC ONLY)

02/01/11 11TA/DC003 Food Stamp Disaster Plan Local Contacts

02/01/11 11TA/DC002 The Treatment of Tax Refunds and Credits: TA and FS Policy Implications of the ''Tax Relief, Unemployment Insurance Reauthorization, and Job Creation Act of 2010''

01/26/11 11TA/DC001 Reminder on the Budgeting of Restored FS Benefits for Households with Individuals who Have Received a Retroactive Determination of SSI Eligibility

DCLs (Child Support)

• 12/19/2011 Approved Paternity Testing Laboratories List

• 12/19/2011 Approved Paternity Testing Laboratories Letter

• 12/01/2011 Quarterly Wage Reporting Chart

• 12/01/2011 Quarterly Wage Reporting of Available Dependent Health Insurance

• 11/09/2011 Multiple Document Data Capture Instructions

• 11/09/2011 Multiple Document Data Capture Enhancements

• 09/16/2011 Administrative Subpoena Forms

• 09/07/2011 Unemployment Insurance Benefits Payment Processing

• 08/31/2011 Administrative Subpoena Forms

• 08/16/2011 Electronic Communication System Enhancements

• 08/11/2011 Employer Reporting of Dependent Health Insurance

• 08/09/2011 New FIDM Contract

• 07/08/2011 Tax Refund Offset Process Exclusions

• 05/23/2011 Procedural Changes to Rejected Federal Tax Refund Offset Collections

• 03/16/2011 Court-Based License Suspension Process

• 03/10/2011 Enhancements to the Nightly Application Process

• 03/02/2011 Personal Information Form

• 02/18/2011 Electronic Communication System Reject Report

• 01/27/2011 Federal Case Registry Enhancements

OAH PROCEDURES TRANSMITTALS

11-001 Reissued Document Imaging Instructions for Agencies

2010

ADMs

• 10-ADM-07 Provision of Temporary Housing Assistance (THA) to Sex Offenders-Chapter 568 of the Laws of 2008

• 10-ADM-06 2010-11 Flexible Fund for Family Services (FFFS)

• 10-ADM-05 Purchase of Service Agreements/Memorandum of Understanding for the Child Support Program

• 10-ADM-04 Increase in the Pass-through and Disregard of Support Payments-Phase II

• 10-ADM-03 Providing Temporary Assistance Applicants and Recipients with Information Regarding Sexual Assault

• 10-ADM-02 Legal Services and Cost Recovery for Recipients of Child Support Services

• 10-ADM-01 Changes to Assignment of Support Rights and Child Support Distribution in Current-Assistance Cases

INFs

• 10-INF-24 Digest of Laws of 2010 Relating to Programs of the Office of Temporary and Disability Assistance

• 10-INF-23 Social Security Administration (SSA) Cost-of-Living Adjustment (COLA) for January 2011

• 10-INF-22 Accessing Applications for Temporary Assistance (TA), the Food Stamp Program and to the Home Energy Assistance Program (HEAP)

• 10-INF-21 New myBenefits Poster

• 10-INF-20 Obsolete LDSS-4148D New Information About Temporary Assistance and Food Stamps

• 10-INF-19 Home Energy Assistance Program: Elimination of 105 Day Rule

• 10-INF-18 Revision to the LDSS-4884: Temporary Assistance Energy Emergencies Comparison Table

• 10-INF-17 Revised LDSS-4958 "TA Sanction & Denial Policy/ Participation Rate Impact Guide"

• 10-INF-16 Revised LDSS-3152: "Action Taken on Your FS Benefit Case"

• 10-INF-15 Temporary Assistance (TA) Policy Implications Regarding Electronic Interim Assistance Reimbursement (e-IAR) Activation

• 10-INF-14 Electronic Application and Signatures

• 10-INF-13 Revised LDSS-4526 (Rev. 6/2010) Medical Examination for Employability Assessment, Disability Screening, and

Alcoholism/Drug Addiction Determination and Release of Cover Letter to Accompany the Request for Medical Information Model Document

- 10-INF-12 Revised LDSS-3668: "Shelter Verification" Form
- 10-INF-11 Revised LDSS-3087 Application/Recertification Guide Dog Food Program
- 10-INF-10 Temporary Assistance (TA) and Employment Program Requirements for Individuals 18 to 21 Years Old Residing with Their Parent(s)
- 10-INF-09 Temporary Assistance Questions and Answers
- 10-INF-08 Elevate America Program
- 10-INF-07 LDSS-4943 (11/09): "Food Stamp Benefits Categorical Eligibility Desk-Aid"
- 10-INF-06 Revision to the LDSS-4314: "FS Benefits Household Composition Desk Guide"
- 10-INF-05 Revised PUB-4716: Every Day, Seniors Just Like You Get Food Stamps
- 10-INF-04 Revision to PUB-4916: Helping Hands for People in Need Brochure
- 10-INF-03 Revised LDSS-3938 (NYC) : "Food Stamp Application Expedited Processing Summary Sheet" and LDSS-4921: "Working Families Food Stamp Initiative Screening Sheet"
- 10-INF-02 Resolution of Doe v. Doar Cases on the WINR9482
- 10-INF-01 Revisions to the LDSS-4148C "What You Should Know If You Have An Emergency, Questions and Answers Book- 3" (Rev.10/09)

LCMs

- 10-LCM-18 Funding for OTDA Transitional Jobs 2 Program
- 10-LCM-16 OTDA Health Care Jobs Corps 2 Program
- 10-LCM-15 OTDA Green Jobs Corps 2 Program
- 10-LCM-14 Supplemental 100% Food Stamp Employment and Training Allocations-FFY 2010
- 10-LCM-13 2010-11 Home Energy Assistance Program (HEAP) Early Mail Out-Additional Administrative Funds
- 10-LCM-12 Food Stamp/Supplemental Nutrition Assistance (SNAP) Administrative Funding under the Defense Bill
- 10-LCM-11 State On-Line Query (SOLQ) System: Policy Guidelines, Ongoing Audit Responsibilities, and Information Security
- 10-LCM-10 Flexible Fund for Family Services (FFFS) 201-2011 Allocations and Child Welfare Services Thresholds
- 10-LCM-09 Submission of TANF Special Project Claims/FFFS Plans
- 10-LCM-08 2010 TANF Summer Youth Employment Program Allocations
- 10-LCM-07 Food Stamp Employment and Training Allocations-FFY 2010
- 10-LCM-06 Funding for Intensive Case Services for Noncompliant Families (ICS4)
- 10-LCM-05 Temporary Assistance Basic Allowance Grant Increase-Payment to Local Districts
- 10-LCM-04 Claiming Deadlines for State Reimbursement Revised
- 10-LCM-03 NY Connects (formerly Long Term Care Point of Entry) Program Year 4
- 10-LCM-02 2010 Census Promotion
- 10-LCM-01 Child Support Incentives - Federal Fiscal Year 2008 (FFY2008)

GIS Messages

12/17/10 10TA/DC029 Issuing Emergency Payments Using WMS Payment Type Codes 13, E8, N1 and Special Claiming Category Code P on Active Non-Temporary Assistance Food Stamp, Active Medicaid Case or at the Time of a Temporary Assistance Application Denial (UPSTATE ONLY)

12/16/10 10TA/DC028 Termination of the $25 per week UIB Supplement and FS Income Exclusion (UPSTATE ONLY)

12/16/10 10TA/DC028 Termination of the $25 per week UIB Supplement and FS Income Exclusion (NYC ONLY)

12/01/10 10TA/DC027 Timeframe for Social Service Districts (DSSs) to Report Interim Assistance Reimbursement (IAR) on the e-IAR System

11/23/10 10TA/DC026 Clark v. Astrue: Temporary Assistance (TA) and Food Stamps (FS) Policy for Probation and Parole Violators

11/03/10 10TA/DC025 Processing Requests for Energy Related Emergencies

10/01/10 10TA/DC024 Extension of the Validity of Puerto Rico Birth Certificates

09/23/10 10TA/DC023 Delay in the Implementation of the Electronic Interview Assistance Reimbursement (e-IAR) Project

08/18/10 10TA/DC022 UPS - Updated - Food Stamp Standards for October 1, 2010 (UPSTATE ONLY)

08/18/10 10TA/DC022 NYC - Updated - Food Stamp Standards for October 1, 2010 (NYC ONLY)

08/13/10 10TA/DC021 EBT Cash and Food Stamp Adjustment due to Network Outage on July 15 and 16, 2010

08/05/10 10TA/DC020 Budgeting of Extended Unemployment Insurance Benefits (UIB) and Treatment of the $25.00 Weekly Federal Additional Compensation (FAC) Payments (UPSTATE ONLY)

08/05/10 10TA/DC020 Budgeting of Extended Unemployment Insurance Benefits (UIB) and Treatment of the $25.00 Weekly Federal Additional Compensation (FAC) Payments (NYC ONLY)

07/22/10 10TA/DC019 Documentation of Unemployment Insurance (UI) Benefits (UPSTATE ONLY)

07/09/10 10TA/DC018 Amendment to Law 191 Invalidating Puerto Rico Birth Certificate

06/21/10 10TA/DC017 Availability of ''Know Your Options: Relatives Caring for Children''

06/18/10 10TA/DC016 Law 191 Invalidating Puerto Rican Birth Certificates

06/02/10 10TA/DC015 Authorization of Energy Assistance for Emergencies for Grantees Receiving Supplemental Security Income (SSI)

06/01/10 10TA/DC014 Update on the 2010 Earned Income Disregard (EID) and Poverty Level Test

04/14/10 10TA/DC013 Extension of Case Services 4 Program Period

03/26/10 10TA/DC012 Extension of the 2009 Emergency Program Income Guidelines for Emergency Safety Net Assistance (ESNA) and Emergency Assistance to Needy Families With Children (EAF)

03/10/10 10TA/DC011 Availability of ''Having a Voice and a Choice: New York State Handbook for Relatives Raising Children''

03/05/10 10TA/DC010 The Treatment of Making Work Pay and Child Tax Credits for TA, MA, and FS

03/01/10 10TA/DC009 Temporary Assistance and Food Stamp eligibility for Haitians applying for or granted Temporary Protected Status by the United States Citizenship and Immigration Service (USCIS)

02/18/10 10TA/DC008 REVISED Treatment of 2010 Census Temporary Income using new Earned Income Source Code '75 - Census Income (PA Only) and Exclusion of Temporary Census Income from Food Stamp Budgeting

02/16/10 10TA/DC007 Treatment of 2010 Census Temporary Income using new Earned Income Source Code '75 - Census Income (PA Only) and Exclusion of Temporary Census Income from Food Stamp Budgeting

02/12/10 10TA/DC006 Laser-printed NTA FS Recertification Applications

02/12/10 10TA/DC005 Elimination of the Eight Month Time Limit for Afghan and Iraqi Special Immigrants to Receive Refugee Benefits

02/05/10 10TA/DC004 False or Misleading Marital Status Information and Food Stamp Program Intentional Program Violations

01/22/10 10TA/DC003 Reminder of Change to Medicare Part B Premium

01/14/10 10TA/DC002 Extension of the Final Date for Resolution of Potentially Eligible Households for the 2009 Back-to-School One-Time Payment (UPSTATE ONLY)

01/08/10 10TA/DC001 Exclusion of $25 per week UIB Supplement from Food Stamp Income (UPSTATE ONLY)

01/08/10 10TA/DC001 Exclusion of $25 per week UIB Supplement from Food Stamp Income (NYC ONLY)

DCLs (Child Support)

- 12/29/2010 Title IV-E Foster Care Case Changes in CSMS
- 10/29/2010 CSMS IVDJRH Screen
- 10/29/2010 CSMS IVDJCI Screen
- 10/29/2010 CSMS Medical Indicator Values Chart
- 10/29/2010 CSMS CSSA Module
- 10/29/2010 CSMS Changes and Revised Medical Support Documents
- 10/15/2010 Noncustodial Parent Poverty-Level Pilot Summary
- 10/15/2010 Noncustodial Parent Poverty-Level Pilot
- 10/13/2010 Banking Services Enhancements
- 10/07/2010 Low Income Support Obligation Performance Improvement Act (LISOPIA)
- 09/22/2010 Child Support Services Available to Noncustodial Parents Attachment
- 09/22/2010 Child Support Services Available to Noncustodial Parents
- 07/01/2010 Tax Refund Offset Process
- 05/26/2010 Interstate Central Registry Document Processing
- 05/04/2010 Incarcerated Noncustodial Parents DOCS Website Lookup
- 05/04/2010 Incarcerated Noncustodial Parents
- 03/10/2010 Childs Support Standards Act Letter
- 02/17/2010 Purging Case History Transactions
- 01/11/2010 Tax Offset Process Changes

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ADMs

- 09-ADM-24 Changes to 18 NYCRR 350.4 Impacting the Safety Net Assistance (SNA) Application Supplement and the Recognition of Same Sex Marriages
- 09-ADM-22 Food Stamp Transitional Benefit Alternative (TBA) for Households with Children Leaving Safety Net Assistance
- 09-ADM-21 Temporary Assistance Excess Support Process and Procedures for Reviewing Cases that have Support Collections in Excess of the Temporary Assistance Deficit
- 09-ADM-20 Employment Sanctions and the Redetermination of Benefits
- 09-ADM-19 Changes to Assignment of Support Rights and Child Support Distribution for Recipients of Temporary Assistance
- 09-ADM-18 Temporary Assistance (TA) Policy Implications of Implementation of Electronic Interim Assistance Reimbursement (e-IAR)
- 09-ADM-17 Changes to the Utility Arrears Repayment Agreement Terms and Enforcement Option
- 09-ADM-16 Enrollments in Educational Activities for Nonexempt Public Assistance Applicants and Recipients
- 09-ADM-15 Change in the Requirement to Recover Temporary Assistance (TA) Overpayments
- 09-ADM-14 Employment Assessments for Exempt Public Assistance Applicants and Recipients in Households Without Dependent Children
- 09-ADM-13 Temporary Assistance Basic Allowance Grant Increase
- 09-ADM-12 2009-10 Flexible Fund for Family Services (FFFS) (re-issued)
- 09-ADM-11 Transitional Employment Advancement Program (TEAP)
- 09-ADM-10 Shelter Supplementation Plans for Safety Net Assistance Single Adults and Childless Couples
- 09-ADM-09 Essential Persons
- 09-ADM-08 Participation in Food Stamp Program by Students in Institutions of Higher Education
- 09-ADM-07 Property Execution Procedures for Mistake of Fact and/or Exempt Money Claims
- 09-ADM-06 Expansion of Categorical Eligibility for Food Stamps for Households with Out-of-pocket Dependent Care Expenses
- 09-ADM-05 Temporary Assistance Budgeting: 2009 Changes to the Earned Income Disregard and the Poverty Level Income Test
- 09-ADM-04 Temporary Assistance (TA) Payment Type Codes--Designations as Assistance or Non-Assistance
- 09-ADM-03 Change to the AIDS/HIV-related Illness Emergency Shelter Allowance
- 09-ADM-02 Income Execution Process
- 09-ADM-01 New York State Anti-Trafficking Statute

INFs

- 09-INF-24 Questions and Answers from September 2009 Conference Call on the Transitional Employment Advancement Program (TEAP)
- 09-INF-23 Earned Income Tax Credit (EITC) and Other Tax Credits for Tax Year 2009
- 09-INF-22 Social Security Administration (SSA) Cost-of-Living Adjustment (COLA) for January 2010 - SSI Benefit Levels Chart
- 09-INF-21 Revision to LDSS-4887 Mail-in recertification/ Eligibility Questionnaire
- 09-INF-20 Countability of Job Search and Job Readiness Training Activities
- 09-INF-19 Revisions to LDSS-4836, LDSS-4836-SP, LDSS-4836 NYC, LDSS-4836-SP NYC, LDSS-4841, and LDSS-4841-SP
- 09-INF-18 5/08 Revisions to the LDSS-4826: "Food Stamp Benefits Application/Recertification" and LDSS-4826A: "How to complete the Food Stamp Benefits Application/Recertification"
- 09-INF-17 Addendum to the Client Information Books – LDSS-4148D: "New Information About Temporary Assistance and Food Stamps"
- 09-INF-16 LDSS–4942: ''Food Stamp Program Authorized Representative Request Form'' (3/09)
- 09-INF-15 Re-instatement of form LDSS-2640: "Request for Action/Services"
- 09-INF-14 Personal Needs Allowance (PNA) in Non-Medical Facilities and Medical Facilities Desk Aids
- 09-INF-13 Revised LDSS-1410: Life Insurance Information Request-Prudential and MetLife
- 09-INF-12 Program Integrity Questions and Answers
- 09-INF-11 Local District Security Provisions for Hearing Officers
- 09-INF-10 Modifications made to the Upstate CSMS 8649: "Obligation and Collection Greater Than Assistance Granted" Report and New York City CSMS 8649: "Obligation and Collection Greater Than Assistance Granted" Report
- 09-INF-09 Revised PUB-4596: EBT Training Brochure
- 09-INF-08 Distance Learning Education and Training
- 09-INF-07 Introduce the LDSS-4958: "TA Sanction & Denial Policy/Participation Rate Impact Guide
- 09-INF-06 Revisions to the LDSS-4148B - What You Should Know About Social Services Programs – Book 2
- 09-INF-05 Revisions made to the LDSS-4279: "Notice of Responsibilities and Rights for Support" and LDSS-4279 SP: "Notice of Responsibilities and Rights for Support Spanish''
- 09-INF-04 Digest of Laws of 2007 Relating to programs of the Office of Temporary and Disability Assistance
- 09-INF-03 Temporary Assistance (TA) Case Composition When a Child Attains Age 18

• 09-INF-02 WorkPays NY: Introduction of the WorkPays NY Promotional Material and Resource Guide/Checklist

LCMs

• 09-LCM-19 Discontinuation of SOLQ Access For HEAP Eligibility Workers and Alternate Certifiers Effective January 1, 2010

• 09-LCM-18 Stimulus Food Stamp / Supplemental Nutrition Assistance Program Administrative Funding under the American Recovery and Reinvestment Act

• 09-LCM-17 New Supplemental Security Income (SSI) Local Management Report

• 09-LCM-16 Availability of Safety Net Assistance Project (SNAP 8) Funding

• 09-LCM-15 2010-2011 Biennial Temporary Assistance and Food Stamp Employment Plan09-LCM-14 Supplemental 100% Food Stamp Employment and Training Allocations – FFY 2009

• 09-LCM-14 Supplemental 100% Food Stamp Employment and Training Allocations – FFY 2009

• 09-LCM-13 Systems Availability Schedule

• 09-LCM-12 Adjustment of Transaction Charges for the Federal Systematic Alien Verification for Entitlements (SAVE) Program

• 09-LCM-11 OTDA Transitional Jobs Program

• 09-LCM-10 OTDA Health Care Jobs Program

• 09-LCM-09 OTDA Green Jobs Corps Program

• 09-LCM-08 Funding for Community Solutions for Transportation (CST)

• 09-LCM-07 Submission of TANF Special Project Claims/FFFS Plans

• 09-LCM-06 NY Connects (formerly Long Term Care Point of Entry) Program Year 3

• 09-LCM-05 Food Stamp/Supplemental Nutrition Assistance Program Administrative Funding under the American Recovery and Reinvestment Act

• 09-LCM-04 Food Stamp Employment and Training Allocations-FFY 2009

• 09-LCM-03 2009 TANF Summer Youth Employment Program (SYEP) Allocations

• 09-LCM-02 Support Incentives—Federal Fiscal Year 2007 (FFY 2207)

GIS Messages

12/15/09 09TA/DC036 Randomization of CBIC Numbering

12/02/09 09TA/DC035 Food Stamp Disaster Plan Local Contacts

11/16/09 09TA/DC034 CNS/WMS Alcohol & Substance Abuse Sanction Code Changes (UPSTATE ONLY)

11/03/09 09TA/DC033 Meeting Energy Emergencies with HEAP

10/28/09 09TA/DC032 Changes to Pass-through and Disregard of Support Payments Effective January 1, 2010 (NYC ONLY)

10/20/09 09TA/DC031 Work Activity Attendance Documentation

10/14/09 09TA/DC029 Resolution of Potentially Eligible Households for the 2009 Back-to-School One-Time Payment (UPSTATE ONLY)

09/28/09 09TA/DC028 TA Policy and District of Fiscal Responsibility (DFR) Procedures, Mediation and Intervention Services

09/21/09 09TA/DC027 Save the Date for Upstate Temporary Assistance (TA) Conference Calls (UPSTATE ONLY)

09/01/09 09TA/DC026 Extension of the Repayment Terms of the Utility Arrears Repayment Agreement

08/27/09 09TA/DC025 SOLQ Reference Guide Revised August 2009 and Available Online

08/18/09 09TA/DC024 Updated - Food Stamp Standards for October 1, 2009 (UPSTATE ONLY)

08/18/09 09TA/DC024 Updated - Food Stamp Standards for October 1, 2009 (NYC ONLY)

08/14/09 09TA/DC023 Revised LDSS-3938 (NYC): ''Food Stamp Application Expedited Processing Summary Sheet (NYC ONLY)

08/11/09 09TA/DC022 Human Trafficking Program Liaison

08/05/09 09TA/DC021 2009 Back to School One-Time Payment

07/28/09 09TA/DC020 Revised LDSS-4921: ''Working Families Food Stamp Initiative Screening Sheet''

07/27/09 09TA/DC019 USDA Clarification on Action for Returned Mail for Simplified (Six Month) Reporters

07/15/09 09TA/DC018 Changes to Allowable Cash Assistance Rates - United States Repatriation Program (USRP) (UPSTATE ONLY) Posted as a courtesy for BRIA

07/06/09 09TA/DC017 Changes to Pass-through and Disregard of Support Payments effective July 1, 2009 (UPSTATE ONLY)

07/06/09 09TA/DC016 Transitional Food Stamp Benefits for Participants of the TA Grant Diversion Programs (TEAP)

06/30/09 09TA/DC015 Federal Minimum Wage Increase and Its Effects on Temporary Assistance and Food Stamp Programs

06/02/09 09TA/DC014 Suspension of Able-Bodied Adults without Dependents (ABAWD) Requirements

05/08/09 09TA/DC013 Discontinuance of Upstate WRTS Production Reports (UPSTATE ONLY)

04/21/09 09TA/DC012 200% of Poverty Income Standards Chart - June 1, 2009 through May 31, 2010

04/10/09 09TA/DC011 TA, FS, and HEAP Treatment of American Recovery and Reinvestment Act (ARRA) of 2009 $25 Per Week Increase to Unemployment Insurance Benefits (UIB)

03/31/09 09TA/DC010 Notification of date of the automated process to implement change to the treatment of certain payment type codes discussed in 09 ADM-04

03/19/09 09TA/DC009 Treatment of the One-Time Stimulus Payments from the American Recovery and Reinvestment Act (ARRA) of 2009

03/17/09 09TA/DC008 Updated Food Stamp Standards for April 1, 2009 (UPSTATE ONLY)

03/17/09 09TA/DC008 Updated Food Stamp Standards for April 1, 2009 (NYC ONLY)

03/10/09 09TA/DC006 Use of ''Financial Statement'' (LDSS-3596) for Utility Arrearage Assistance and the Updated Food/Non-Food Expense Guidelines

03/10/09 09TA/DC005 Return Date for Mailing of LDSS-4130 Periodic Reports to Six-Month Reporting Food Stamp Households (UPSTATE ONLY)

02/11/09 09TA/DC003 2009 Emergency Program Income guidelines for Emergency Safety Net Assistance (ESNA) and Emergency Assistance to Needy Families with Children (EAF)

01/16/09 09TA/DC002 NYSNIP Standard Benefit Amount Adjustments (NYC ONLY)

01/09/09 09TA/DC001 NYSNIP Standard Benefit Amount Adjustments (UPSTATE ONLY)

DCLs (Child Support)

• 11/17/2009 Electronic Communication System Enhancements

• 08/19/2009 Child Support Legislation

• 06/30/2009 2010 Tax Refund Offset Process

• 06/19/2009 Banking Services: SafePass Tokens

• 06/01/2009 Customer Service Helpline: Third Party Referrals

• 03/27/2009 Issuance of the Income Withholding for Support to Employers

• 03/05/2009 Listing of In-Network Automated Teller Machines

• 03/05/2009 EPPI Card Brochure

• 03/05/2009 New Contract for Centralized Support Collection and Enforcement

• 02/18/2009 Request to Withdraw Passport Denial Form

• 02/18/2009 Change to Request to Withdraw Passport Denial Form

• 01/29/2009 Income Execution Resources Letter

OAH PROCEDURES TRANSMITTALS

09-02 Appearances at Upstate Hearings

2008

ADMs

• 08-ADM-12 Support NCP Poverty Level Pilot Initiative

• 08-ADM-11 Interim Assistance Reimbursement (IAR) Consolidated Policy and Procedures

• 08-ADM-10 Deficit Reduction Act (DRA) Annual Service Fee for Child Support

• 08-ADM-09 Farm Bill Reauthorization Provisions of Food Stamp Program for 2008

• 08-ADM-08 Increase in the Pass-through and Disregard of Support Payments

• 08-ADM-07 Implementation of Final Temporary Assistance for Needy Families (TANF) Rule

• 08-ADM-06 Social Security Administration Automation of Interim Assistance Reimbursement (IAR), Direct Deposit Authorization for IAR Payments, Government to Government Services Online (GSO) Registration, and Monthly IAR E-Report

• 08-ADM-05 SSI- Screening/ Identification, Referral and Tracking Requirements

• 08-ADM-04 Treatment of Income from Adoption Subsidy Payments and Foster Care Payments in Determining Food Stamp Program Eligibility and Benefit Amount

• 08-ADM-03 Substance Abuse Treatment for Adolescents – Conversion of Some Facilities that Treat Adolescents from Congregate Care Level 2 to Medical Facilities Under Residential Rehabilitation Services for Youth (RRSY)

• 08-ADM-02 2008-09 Flexible Fund for Family Services (FFFS)

INFs

• 08-INF-19 Social Security Administration (SSA) Cost-of-Living Adjustment (COLA) for January 2009-SSI Benefit Levels Chart

• 08-INF-18 Revisions to LDSS-4925: ''Employability Code Desk Guide TANF and SN MOE'' and LDSS-4926: ''Employability Code Desk Guide SN non-MOE''

• 08-INF-17 Temporary Assistance: Financial Institution Resource Clearance

• 08-INF-16 Non-Parent Caregivers and Good Cause

• 08-INF-15 Revision to LDSS-3696 ''Job Search Handbook''

• 08-INF-14 Temporary Assistance (TA): Income Eligibility Verification System (IEVS) 1099 Processing for Local Districts

• 08-INF-13 Cost Avoidance Calculations for Program Integrity Initiatives

• 08-INF-12 Pathways and Similar Case Management Models

• 08-INF-11 Revised and Reformatted PUB-4596: EBT Training Brochure

• 08-INF-10 Employment Verifications- Local District Commitment to a Revenue Intercept for Utilization of TALX Corporation eXpress Services under the OTDA Statewide Contract with TALX Corporation

• 08-INF-09 Temporary Assistance Questions and Answers

• 08-INF-08 Verified Employment Data (VED) Information Added to Upstate RFI

• 08-INF-07 Working Families Food Stamp Initiative Q and As

• 08-INF-06 Online availability of the LDSS-4903: ''Disqualification Consent Agreement'' and LDSS-4904: ''Notice of Consequences to a Disqualification Consent Agreement''

• 08-INF-05 LDSS-4905: Domestic Violence Information for All Temporary Assistance Applicants

• 08-INF-03 Categorical Eligibility for Food Stamps Q & As

• 08-INF-02 LDSS-4583: Domestic Violence Screening Form (Rev. 9/07)

LCMs

• 08-LCM-13 Funding for Intensive Case Services for Noncompliant Families (ICS3)

• 08-LCM-12 Availability of Safety Net Assistance Project (SNAP) Funding

• 08-LCM-11 Automated Termination of Food Stamp (FS) Claims

• 08-LCM-10 Supplemental 100% Food Stamp Employment and Training Allocations - FFY 2008

• 08-LCM-09 Home Energy Assistance Program (HEAP) Office of the State Comptroller (OSC) Payment Process

• 08-LCM-08 NY Connects (formerly Long Term Care Point of Entry Program)

• 08-LCM-07 Work Incentive Fund Allocations 2008-09

• 08-LCM-06 Local Administration Fund (LAF) Base Allocations 2008-2009

• 08-LCM-05 Funding Available for Community Solutions for Transportation (CST)

• 08-LCM-04 2008-09 Home Energy Assistance Program (HEAP) Targeted Mail Out

• 08-LCM-03 Submission of TANF Special Project Claims/FFFS Plans

• 08-LCM-02 Child Support Incentives-Federal Fiscal Year 2006 (FFY 2006)

• 08-LCM-01 Food Stamp Employment and Training Allocations - FFY 2008

GIS Messages

12/23/08 08TA/DC034 Extension of the Ten Day Reporting Deadline for Request of Replacement Food Stamp Benefits

12/22/08 08TA/DC033 Survey of Social Services Districts (SSDs) for Information Needed to Implement New Laws Regarding Temporary Housing for Sex Offenders

12/19/08 08TA/DC032 Food Stamp Budgeting Reminder: Increased Standard Utility Allowances

12/17/08 08TA/DC031 (REVISED) Issuing Replacement Food Stamp Benefits and Emergency Food Replacement (UPSTATE ONLY)

11/25/08 08TA/DC029 Estimating Automobile Value: Change in the National Automobile Dealers Association (NADA) Pricing Terminology

11/25/08 08TA/DC028 2008 Food Stamp Bonus Award Allocation

11/14/08 08TA/DC027 OMRDD Regional Meetings and Food Stamp Applications for Group Home Residents

11/05/08 08TA/DC026 Suspending Enforcement of Utility Repayment Agreements during the Cold Weather Period

10/17/08 08TA/DC025 Claims Establishment for Food Stamp Cases Affected by Deletion of Adoption Subsidy Income during the ALL MRB/A (UPSTATE ONLY)

10/06/08 08TA/DC024 Meeting Energy Emergencies with HEAP

09/30/08 08TA/DC023 Increase in Pass-through and Disregard of Support Payments

09/24/08 08TA/DC022 Group Home and Congregate Care Budgeting (UPSTATE ONLY)

09/24/08 08TA/DC022 Group Home and Congregate Care Budgeting (NYC ONLY)

09/18/08 08TA/DC021 Use of the ''Financial Statement (LDSS-3596) for Utility Arrearage Assistance and the Updated 2007-08 Food/Non-Food Guidelines

09/15/08 08TA/DC020 Electronic IAR Phone Conference

08/14/08 08TA/DC019 Updated – Food Stamp Standards for October '08 (NYC ONLY)

08/14/08 08TA/DC019 Updated - Food Stamp Standards for October'08 (UPSTATE ONLY)

07/30/08 08TA/DC018 Food Stamp Notice of Missed Interview (NOMI) Notice

07/24/08 08TA/DC017 Federal Minimum Wage Increase & Food Stamp Work Exemption

07/22/08 08TA/DC016 New Policy and Closing Codes for Fleeing Felons and Probation and Parole Violators (NYC ONLY)

07/22/08 08TA/DC016 New Policy and Closing Codes for Fleeing Felons and Probation and Parole Violators (UPSTATE ONLY)

07/22/08 08TA/DC015 National Voter Registration Act (NVRA) Responsibilities – 2008 General Election

06/26/08 08TA/DC014 SDX Manual Renamed SDX Reference Guide, Revised March 2008, and Available Online

06/19/08 08TA/DC013 Automated Termination of Food Stamp (FS) Claims

05/28/08 08TA/DC012 Social Services District Responsibility for Updating District Specific Information Found on the OTDA Intranet and CentraPort

04/22/08 08TA/DC011 200% of Poverty Income Standards Chart - June 1, 2008 through May 31, 2009

04/08/08 08TA/DC010 Addition of Verified Employment Data (VED) to RFI for ALL Upstate LDSS's (UPSTATE ONLY)

04/08/08 08TA/DC009 Federal Economic Stimulus Tax Rebate

04/04/08 08TA/DC008 Guidance of Afghan & Iraqi Nationals Granted Special Immigrant Status by the U.S. Citizenship & Immigration Services (USCIS)

03/20/08 08TA/DC007 Third Set of Conference Calls Regarding Necessary Action on Closed Cases Discussed in 07 ADM-06 Doe v. Doar - Unfavorable Appellate Court Decision on the Proration Policy transmitted in 04 ADM-05 ''TA & Non-TA Mixed Households, Budgeting when the Family Includes an SSI Member''

03/20/08 08TA/DC006 2008 Emergency Program Income Guidelines for ESNA & EAF

03/07/08 08TA/DC005 Addition of Verified Employment Data (VED) to RFI (UPSTATE ONLY)

02/29/08 08TA/DC004 Categorical Eligibility for Food Stamps / Helping Hands Brochure

02/08/08 08TA/DC003 Updates to the Temporary Assistance & Food Stamp Policy Manual

01/17/08 08TA/DC002 Public Housing Authority Shelter Allowance Change in Approval Process

01/11/08 08TA/DC001 Temporary Assistance: Safety Net Assistance (SNA) 45 Day Application Period During a Leap Year

DCLs (Child Support)

- 12/30/2008 Impact of Statutory Amendments on PEX and PIC Processes
- 11/25/2008 Enhanced Driver's License Program
- 11/20/2008 Poverty-Level Noncustodial Parent Modification and Arrears Pilot
- 09/26/2008 Tax Law Change and Offset of STAR Rebates
- 07/16/2008 Tax Refund Offset Process
- 06/30/2008 Money Screen Confidentiality
- 05/19/2008 Desk Review Confirmation Notice
- 05/19/2008 Desk Review Confirmation Notification Procedure
- 04/22/2008 Desk Review Request Form and Instructions
- 04/22/2008 Desk Review Request Form Letter

OAH PROCEDURES TRANSMITTALS

08-13a Attachment New Scripted Letter J Varshavsky Withdrawals

08-13 New Scripted Letter J Varshavsky Withdrawal Procedures

08-04 Reissued Document Imaging Instructions for Local Agencies

2007

ADMs

- 07-ADM-10 The Working Families Food Stamp Initiative (WFFSI)
- 07-ADM-09 Categorical Eligibility for Food Stamps
- 07-ADM-08 Safety Net Assistance (SNA) Households Without Dependent Children (Singles and Childless Couples) Work Activity Definitions and Participation Rate Methodology
- 07-ADM-07 Unaccompanied Refugee Minors (URM) Program
- 07-ADM-06 Doe v. Doar – Unfavorable Appellate Court Decision on the Proration Policy Transmitted in 04 ADM-05 "Temporary Assistance and Non-Temporary Assistance Mixed Households; Budgeting When the Family Includes an SSI Member"
- 07-ADM-05 Food Stamp Change Reporting for Ten-Day Reporters
- 07-ADM-04 2007-08 Flexible Fund for Family Services (FFFS)
- 07-ADM-03 The Recoupment of Duplicate Restricted Rental Payments and Change of Timely Reporting Requirements from Five (5) Business Days to Ten (10) Calendar Days
- 07-ADM-01 Temporary Assistance Procedures: Elimination of Quarterly Reporting System (QRS) Requirements and Welfare-To-Work Procedures: Documenting Hours of Paid Employment

INFs

- 07-INF-16 Social Security Administration (SSA) Cost-of-Living Adjustment (COLA) for January 2008 - SSI Benefit Levels Chart
- 07-INF-15 LDSS-4579: "Alien Eligibility Desk Aid" (Revised 10/07)
- 07-INF-14 Child Only Questions and Answers
- 07-INF-13 Introducing the Electronic Benefit Transfer (EBT) Cardholder Account Overview Guide
- 07-INF-12 Office of Temporary and Disability Assistance (OTDA) Restructuring
- 07-INF-11 Office of Alcoholism and Substance Abuse Services (OASAS) Tobacco-Free Prevention and Treatment Programs
- 07-INF-10 Automated Case Closing of Computer Match Hits (Auto Close)
- 07-INF-09 LDSS-4905: Domestic Violence Information for All Temporary Assistance Applicants (previously known as the "Hand-Out to All Applicants for Welfare" LDSS-4594, 4594-NYC, 4594-S, 4594-S-NYC: "Notification of Decision on a Waiver" LDSS-4595, 4595-NYC, 4595-S,4595-S-NYC: "Notification of Decision on a Continuation of Waiver")
- 07-INF-08 Lottery Intercept Reporting to Local Districts
- 07-INF-06 Revisions to the LDSS-4148A - What You Should Know About Your Rights and Responsibilities (When Applying For or Receiving Social Services)
- 07-INF-05 Revision to LDSS-4530: Assignment of Wages, Salary, Commissions or Compensation For Services
- 07-INF-04 Revisions to 22 Mandatory Client Notices
- 07-INF-03 Recovery/Recoupment Due to Fleeing Felon and Probation/Parole Violator Status
- 07-INF-02 Revisions to "Action Taken On Your Request For Assistance To Meet An Immediate Need or A Special Allowance" (LDSS-4002)
- 07-INF-01 Social Security Numbers for Aliens without United States Citizenship and Immigration Services (USCIS) Work Authorization

LCMs

- 07-LCM-16 Financial Management Procedures for Social Security Administration Representative Payees - Revised
- 07-LCM-15 Federal Requirements for Work Participation Documentation and Reporting
- 07-LCM-14 Food Stamp Bonus Award Allocation
- 07-LCM-13 2008-2009 Temporary Assistance and Food Stamp Employment Plan
- 07-LCM-12 Food Stamp Employment and Training Allocations – FFY 2007
- 07-LCM-11 2007-2008 Home Energy Assistance Program (HEAP) – Client Notice System (CNS) Early Mail-Out
- 07-LDM-10 Food Stamp Management Evaluation Procedures
- 07-LDM-09 Availability of Funds to Provide Services to Safety Net Assistance Recipients (SNAP – Safety Net Assistance Project)
- 07-LCM-08 e-HEAP Electronic Workbook and e-File
- 07-LCM-07 Funding for Intensive Case Services for Noncompliant Families
- 07-LCM-06 Funding Available for Community Solutions for Transportation (CST)
- 07-LCM-05 Local Administration Fund Allocations 2007-2008

• 07-LCM-04 SDX – SSI Individual Status Screen on WMS

• 07-LCM-03 Local District Emergency Closings

• 07-LCM-02 Submission of TANF Special Project Claims/FFFS Plans

• 07-LCM-01 Child Support Incentives - Federal Fiscal Year 2005

GIS Messages

12/18/07 07TA/DC022 NYSNIP Standard Benefit Amount Adjustments

12/14/07 07TA/DC021 Working Families Food Stamp Initiative Conference Informational Calls – December 20, 2007

12/14/07 07TA/DC020 Categorical Eligibility for Food Stamps

12/05/07 07TA/DC019 Food Stamp Program Group Home Standard Benefits (GHSB) Adjustments

11/29/07 07TA/DC018 Meeting Energy Emergencies

11/16/07 07TA/DC017 Food Stamp Bonus Award Allocation

11/15/07 07TA/DC016 Distribution of ''Child Care Letter'' Lists UPSTATE ONLY

10/30/07 07TA/DC015 "In Lieu of TA Child Care" Dear Parent Letter

10/17/07 07TA/DC014 Conference Calls regarding implementation issues related to 07 ADM-06 Doe v. Doar - Unfavorable Appellate Court Decision on the Proration Policy transmitted in 04 ADM-05 ''Temporary Assistance and Non-Temporary Assistance Mixed Households, Budgeting when the Family includes an SSI Member'' (UPSTATE ONLY)

09/27/07 07TA/DC012 Use of the ''Financial Statement'' (LDSS-3596) for Utility Arrearage Assistance and the Updated 2007-08 Food/Non-Food Guidelines

09/14/07 07TA/DC011 Doe v. Doar: Court Decision Invalidates Policy in 18 NYCRR 352.2(b) and 04 ADM-5 ''Temporary Assistance and Non-Temporary Assistance Mixed Households-Budgeting When Family Includes an SSI Member''

08/27/07 07TA/DC010 Interim Assistance Reimbursement (IAR) for More than One Social Services District

08/21/07 07TA/DC009 Substance Abuse Treatment for Adolescents - Conversion of Some Facilities that Treat Adolescents from Congregate Care Level II to Medical Facilities Under Residential Rehabilitation Services for Youth (RRSY)

08/14/07 07TA/DC008 Updated-Food Stamp Standards for October 1, 2007 (UPSTATE ONLY)

08/14/07 07TA/DC008 Updated-Food Stamp Standards for October 1, 2007 (NYC ONLY)

08/07/07 07TA/DC007 Federal Minimum Wage Increase and Food Stamp Exemption

08/01/07 07TA/DC006 Reporting Excused Absences from Countable Unpaid Work Activities as Hours of Participation for Households with Dependent Children

06/06/07 07TA/DC005 Delayed Mailing of LDSS-4310 Periodic Reports to Six-Month Reporting Food Stamp Households

03/30/07 07TA/DC004 2007 Emergency Program Income Guidelines for Emergency Safety Net Assistance (ESNA) and Emergency Assistance to Needy Families with Children (EAF)

03/20/07 07TA/DC003 200% of Poverty Income Standards Chart June 1, 2007 through May 31, 2008

03/01/07 07TA/DC002 Client Satisfaction Survey

02/20/07 07TA/DC001 Permanently Residing Under the Color of Law (PRUCOL)

DCLs Child Support

• 06/29/2007 MEDX Appellate Court Decision

• 06/01/2007 Release of Information to a Requesting Party

• 05/09/2007 Retroactive Modification of Support and Crediting of Overpayments

OAH PROCEDURES TRANSMITTALS

07-03a Hearing Room Display Signs and Instructions Attachment

07-03 Hearing Room Display Signs and Instructions

2006

ADMs

• 06-ADM-15 School Attendance of Homeless Children - Revised

• 06-ADM-14 Electronic Benefit Transfer (EBT) Customer Service Automated Response Unit (ARU) Personal Identification Number (PIN) Selection Restriction

• 06-ADM-13 National Directory of New Hires (NDNH) Computer Match

• 06-ADM-12 Noncustodial Parent New York State Earned Income Tax Credit (NCP NYS EIC) - Procedures

• 06-ADM-11 United States Repatriation Program (USRP) ADM Local District Responsibilities (except NYC)

• 06-ADM-10 Temporary Assistance (TA) Mail-in Recertification Process Revised

• 06-ADM-09 Velazquez Cases – Special Instructions Part 3

• 06-ADM-08 2006-07 Combined TANF Allocation

• 06-ADM-07 District of Fiscal Responsibility for Homeless Placements Out of District Revised

• 06-ADM-06 Coordination of Temporary Assistance Employment and Eligibility Requirements for Individuals Who Are Required to Apply for SSI

• 06-ADM-05 Providing Access to Temporary Assistance Programs for Persons with Disabilities and/or Limited English Proficiency (LEP) Revised

• 06-ADM-03 Personal Needs Allowance in Level 2 Congregate Care Residential Alcohol and Substance Abuse Treatment Programs Revised

• 06-ADM-02 Temporary Assistance: Income Eligibility Verification System (IEVS) 1099 Processing

• 06-ADM-01 Lifeline Telephone Service

INFs

• 06-INF-34 Domestic Violence Residential Programs – Payment Issues and District of Fiscal Responsibility (DFR)

• 06-INF-33 Social Security Administration (SSA) Cost-of-Living Adjustment (COLA) for January 2007 – SSI Benefit Levels Chart

• 06-INF-32 Digest of Laws of 2006 Relating to Programs of the Office of Temporary and Disability Assistance

• 06-INF-30 New Desk Guide – LDSS-4888: "School Attendance Desk Guide" (7/06)

• 06-INF-29 Revisions to 12 Mandatory Client Notices

• 06-INF-28 Revised 9/06 version of the LDSS-4778: "Calculations of Total Overpayment Amount"

• 06-INF-27 Revision of the LDSS-4731: "District of Fiscal Responsibility (DFR) Desk Guide" (Rev. 7/06)

• 06-INF-26 Investigative Unit Operations Plan

• 06-INF-25 Consolidated Shelter Arrears Policy and Emergency Safety Net Assistance Shelter Arrears Repayment Agreement - Revised

• 06-INF-24 Contract with TALX Corporation for Employment and Income Verification Services via the "Work Number" and Local District Contact Information Request for the TALX Corporation and the National Directory of New Hires computer match

• 06-INF-23 LDSS-4579: "Alien Eligibility Desk Aid" (Rev. 6/06)

• 06-INF-22 Clarification of DFR Procedures for Parolees

• 06-INF-21 Temporary Assistance Questions and Answers

• 06-INF-20 Clarification of the Treasury Offset Program (TOP) Collection Process

• 06-INF-19 Medicare Part D Prescription Drug Plan and End of the Medicare-Approved Prescription Drug Discount Card Program Deduction

• 06-INF-18 LDSS-4884: Temporary Assistance Energy Emergencies Comparison Table

• 06-INF-17 LDSS-4863 Medical Information Release Form

• 06-INF-16 Program Integrity Questions and Answers

• 06-INF-15 Revisions to the LDSS-3174 Recertification Form for Temporary Assistance, Medical Assistance, Medicare Savings Program and Food Stamp Benefits and Pub-1313 How to Complete the LDSS-3174

• 06-INF-14 Battered Aliens Eligibility for Benefits Revised

• 06-INF-12 Clarification of Policy Regarding Verification of School Attendance Revised

• 06-INF-11 Family Violence Option Policy Clarifications

• 06-INF-10 Computer Matching Clarification for Food Stamps

• 06-INF-09 Revisions for the LDSS-3151: Food Stamp Change Report form (Rev. 1/06)

• 06-INF-07 Changes in Expungement Procedures

• 06-INF-06 State Tax Refund Offset Program (STROP): Watts v. Wing Settlement Terms

• 06-INF-05 Welfare-To-Work Regulatory Citation Changes

• 06-INF-04 HEAP 2006-2007 Needs Assessment Public Hearings

• 06-INF-03 Welfare-To-Work Employment Forms

• 06-INF-02 Revision to PUB-4786 The Earned Income Tax Credit

LCMs

• 06-LCM-13 OTDA Contract with Medical Providers for Consultative Medical and Psychological Examinations and Intelligence Assessments

• 06-LCM-12 Long Term Care Point of Entry Program

• 06-LCM-11 Funding for Intensive Case Services for Noncompliant Families

• 06-LCM-09 Claiming Process for Certain Two-Parent Families

• 06-LCM-08 Food Stamp Management Evaluation Procedures

• 06-LCM-07 Claiming of Food Stamp Program (FSP) administrative funds for the medical screening of Food Stamp Employment and Training (FSE&T) participants to establish exemption from program rule requirements.

• 06-LCM-06 Additional TANF Funding to Support State-Administered Programs and Contracts

• 06-LCM-05 Imaging and Enterprise Document Repository (I/EDR) Services and Pricing Information

• 06-LCM-04 Katrina Claiming for Non-Assistance Program Expenditures

• 06-LCM-03 2006-07 Home Energy Assistance Program

• 06-LCM-02 Allocation for the 2006-07 Local Administration Fund

• 06-LCM-01 Submission of TANF Special Project Claims

GIS Messages

12/21/06 06TA/DC043 Citizens Energy Oil Heat Program (UPSTATE ONLY)

12/19/06 06TA/DC042 Minimum Wage Increase and Work Hours

11/24/06 06TA/DC041 NYSNIP Standard Benefit Amount Adjustments

11/22/06 06TA/DC040 Upcoming Telephone Conference Calls to Discuss Child Support Desk Review Process for Excess Support & Pass-through Payments

11/20/06 06TA/DC039 Group Home Standardized Benefits (GHSB) Adjustments

10/30/06 06TA/DC038 TA Grant Diversion Participants Not Eligible for TBA FS

10/26/06 06TA/DC037 1099 Computer Matching for TANF Recipients

10/18/06 06TA/DC036 Hours of Participation in Work Activities Report for Safety-Net Assistance (SNA) Cases without Dependent Children

10/10/06 06TA/DC035 Meeting Energy Emergencies

09/21/06 06TA/DC034 Non-Assistance Payment Types for Authorization of Temporary Assistance (TA) Emergency or Immediate Needs (UPSTATE ONLY)

09/05/06 06TA/DC033 Use of the ''Financial Statement'' (LDSS-3596) for Utility Arrearage Assistance and the Updated 2006-07 Food/Non-Food Guidelines

08/18/06 06TA/DC032 UPST Updated - Food Stamp Standards for October 1, 2006 (UPSTATE ONLY)

08/18/06 06TA/DC032 NYC Updated - Food Stamp Standards for October 1, 2006 (NYC ONLY)

08/11/06 06TA/DC031 Alert for the provision of US citizen repatriation services (UPSTATE ONLY)

07/25/06 06TA/DC030 Close Out of DFSP in Four Counties (Broome, Delaware, Montgomery, Tioga) (UPSTATE ONLY)

07/21/06 06TA/DC029 Extension of DFSP in Four Counties (Broome, Delaware, Montgomery, Tioga) (UPSTATE ONLY)

07/17/06 06TA/DC028 Issuing Supplemental Disaster FS Benefits to Current Food Stamp Recipients Affected by the Disaster (UPSTATE ONLY)

07/14/06 06TA/DC027 Extension of the Disaster Food Stamp Benefit Program (UPSTATE ONLY)

07/14/06 06TA/DC026 Toll-Free Number for Hearing Matters Related to the Flood of 2006 (UPSTATE ONLY)

07/11/06 06TA/DC025 Disaster Food Stamp Benefit Program and TA Emergency Benefits Follow-up GIS Message (UPSTATE ONLY)

07/10/06 06TA/DC024 Edit Change to Unearned Income Source Code 06 (Child Support Payments) and Unearned Income Source Code 02 (Alimony/Spousal Support Non-Arrears) (UPSTATE ONLY)

07/10/06 06TA/DC023 Camp Fees

07/07/06 06TA/DC022 Implementation of Disaster Food Stamp Program and Clarification of Emergency Assistance Programs; Information on Temporary Assistance Programs and HEAP in Relation to Flood Relief (UPSTATE ONLY)

07/07/06 06TA/DC021 WINR 4402 - Excess Child Support Exception Report - New Exceptions and Necessary Action (UPSTATE ONLY)

07/04/06 06TA/DC020 Emergency Benefit Issuance to Flooding Victims, July 4, 2006

06/29/06 06TA/DC019 WMS Availability on Fourth of July, 2006

06/29/06 06TA/DC018 Emergency Food Replacement

05/31/06 06TA/DC017 Problem with recent NYSNIP 24-Month Interim Report Mailing

05/25/06 06TA/DC016 Legislative Changes to Temporary Assistance Employment Requirements

05/12/06 06TA/DC015 NYSNIP Upstate 24 Month Interim Report (LDSS-4836 and 4836SP)

05/11/06 06TA/DC014 NYSNIP 24 Month Interim Reporting

04/07/06 06TA/DC013 Temporary Assistance: Income Eligibility Verification System (IEVS) 1099 Processing Contact List (UPSTATE ONLY)

04/03/06 06TA/DC012 New York State Nutrition Improvement Program (NYSNIP) Benefit Levels

03/28/06 06TA/DC011 Food Stamp Disaster Plan Local Contacts

03/23/06 06TA/DC010 Food Stamp Policy Reminder Authorized Reps are Chosen at HH Discretion

03/10/06 06TA/DC009 Delayed Delivery of Interim Assistance Reimbursement (IAR) Checks

03/07/06 06TA/DC008 WMS Coding for Evacuees of Hurricane Katrina

02/22/06 06TA/DC007 200% of Poverty Income Standards Chart - June 1, 2006 through May 31, 2007

02/10/06 06TA/DC006 Reauthorization of Temporary Assistance for Needy Families Program

02/10/06 06TA/DC005 2006 Emergency Program Income Guidelines for Emergency Safety Net Assistance (ESNA) and Emergency Assistance to Needy Families with Children (EAF)

02/01/06 06TA/DC004 Food Stamp Budgeting Reminder: Increased Standard Utility Allowances

01/30/06 06TA/DC003 Group Home Standardized Benefits (GHSB) Adjustments (NYC ONLY)

01/12/06 06TA/DC002 Food Stamp Budgeting; Updated Food Stamp Medical Mileage Deduction

01/05/06 06TA/DC001 Group Home Standardized Benefits (GHSB) Adjustments (UPSTATE ONLY)

DCLs (Child Support)

- 08/31/2006 Passport Denial Instructions
- 08/31/2006 Passport Denial
- 08/17/2006 Tax Refund Offset Process

2005

ADMs

- 05-ADM-16 Temporary Assistance Case Number Reuse
- 05-ADM-15 Food Stamp Claims and Collection Processes
- 05-ADM-14 Food Stamp Budgeting: VISTA Payments and Medical Mileage Expenses
- 05-ADM-13 Revised LDSS-3938: "Food Stamp Application Expedited Processing Summary Sheet"
- 05-ADM-12 Reporting of Room and Board Arrangements
- 05-ADM-11 Flexible Fund for Family Services
- 05-ADM-10 Velazquez Cases – Special Instructions Part 2
- 05-ADM-08 Front End Detection System (FEDS) Policy
- 05-ADM-07 Review of Reapplications for Temporary Housing Assistance
- 05-ADM-06 Temporary Assistance (TA) and Medicaid (MA) Financial Institution Recipient Match (FIRM): Implications for TA, MA, and Food Stamps (FS)
- 05-ADM-05 Regulatory Amendment Mandating Use of CAMS
- 05-ADM-04 Velazquez Cases – Special Instructions Parts 1 and 2
- 05-ADM-03 Establishing the Standard of Need and Budgeting Income for Persons Not Residing in Their Own Homes (18 NYCRR 352.9)
- 05-ADM-02 Section 8 Voucher: Change to the Temporary Assistance (TA) Budgeting for Some Section 8 Voucher Cases
- 05-ADM-01 Refugee Cash and Medical Assistance Programs (RCA & RMA)

INFs

- 05-INF-26 Notification of Local Districts Concerning Release of Sex Offenders
- 05-INF-25 Revised Hurricane Katrina Questions and Answers
- 05-INF-24 TA Policy: Non-parent Caregiver Cases and Temporary Assistance
- 05-INF-23 9/05 Revisions of LDSS-4682, LDSS-4682 NYC, LDSS-4799, LDSS-4799 NYC, LDSS-4827 and LDSS-4827 NYC
- 05-INF-22 SSA COLA for January 2006-SSI Benefit Levels Chart
- 05-INF-21 Revision to LDSS-3814: "Temporary Assistance Additional Allowances and Other Help" flyer
- 05-INF-20 Digest of Laws 2005 Relating to Programs of the Office of Temporary and Disability Assistance
- 05-INF-19 Hurricane Katrina Evacuee Questions and Answers
- 05-INF-18 Food Stamp Program Questions and Answers: Expedited FS Application Processing, IPVS, NYSNIP, TOP/CAMS
- 05-INF-17 Temporary Assistance (TA) Budgeting: Volunteers in Service to America (VISTA) Payments
- 05-INF-16 Revision of LDSS-2642: "Documentation Requirements"
- 05-INF-15 Revisions to Mandatory Client Notices
- 05-INF-14 Revision of LDSS-4826: Food Stamp Benefits Application/Recertification and LDSS-4826A: How to Complete the Food Stamp Benefits Application/Recertification
- 05-INF-13 Revision to Food Stamp Benefits ABEL Budget Narratives (LDSS-3959, LDSS-3960 and LDSS-3961)
- 05-INF-11 Revision of Mandatory Client Notice: Repayment of Interim Assistance (LDSS-2425)
- 05-INF-10 Temporary Assistance Policy: Questions and Answers from the Spring 2004 Regional Meetings
- 05-INF-09 New Statewide "Common Application", LDSS – 2921 Statewide (Rev. 1/05) New Statewide "How to Complete" Publication, PUB – 1301 Statewide (Rev. 1/05)
- 05-INF-08 Revisions to PUB-4842: "Interpreter Services Poster" and PUB-4843: Interpreter Services Desk Guide
- 05-INF-07 State Tax Refund Offset Program (STROP): Watts vs. Wing – Update on Litigation and Request for LDSS Contact Person(s)
- 05-INF-04 Reinstatement of LDSS-3666: "TA/FS Documentation/Verification Desk Guide" (1/05)
- 05-INF-03 Puerto Rico EBT Interoperability Policy
- 05-INF-02 Documentation Receipt – Temporary Assistance, Food Stamp Benefits, Medicaid and or Child Health Plus A

LCMs

- 05-LCM-13 Funding Availability for the Youth Engagement Services Program
- 05-LCM-12 Local District Emergency Closings
- 05-LCM-11 Case Management Services for Safety Net Recipients
- 05-LCM-10 HEAP Budget Calculator Application (HBC)
- 05-LCM-09 Policy Regarding the Supervisory Signature of LDSS-3209 Authorization
- 05-LCM-8 Claiming Child Support Collections Refunded to Velasquez Case Members
- 05-LCM-06 Allocation for the 2005-06 Local Administrative Fund
- 05-LCM-05 Submission of TANF Special Project Claims
- 05-LCM-04 Treasury Offset Program
- 05-LCM-03 Submission of RF Certifications
- 05-LCM-01 TANF Calendar Year 2003 Projects/Allocations

GIS Messages

10/06/05 05TA/DC040 NYSNIP Shelter Threshold Increase

09/14/05 05TA/DC031 Updated Food Stamp Instructions for Evacuees from Hurricane Katrina (NYC HRA Version)

09/14/05 05TA/DC031 Updated Food Stamp Instructions for Evacuees from Hurricane Katrina (Upstate Version)

09/08/05 05TA/DC028 Assistance for Displaced Citizens from Hurricane Katrina

09/02/05 05TA/DC027 Refugees from Hurricane Katrina

08/15/05 05TA/DC025 Updated Food Stamp Standards for October 1, 2005(UPSTATE)

08/15/05 05TA/DC025 Updated - Food Stamp Standards for October 1, 2005 (NYC)

08/12/05 05TA/DC024 TA and/or FS Authorization Periods Limited to Six Months

04/21/05 05TA/DC016 Budgeting of Food Stamp Benefits for Group Home Residents

03/08/05 05TA/DC009 NYSNIP Non-Redeemer Letter-Round Two

03/02/05 05TA/DC008 FS Standard Utility Allowances for Heating/Cooling Expenses

02/15/05 05TA/DC006 Food Stamp Exclusion of Child Support Payments from Income

02/09/05 05TA/DC004 Exclusion of Disaster Relief Earnings

02/02/05 05TA/DC003 NYSNIP Non-Redeemer Reminder GIS

01/28/05 05TA/DC002 Exclusion of Military Combat Pay

DCLs (Child Support)

- 11/29/2005 Model Bankruptcy Protocol
- 06/10/2005 Undistributed Collections and Coding
- 06/10/2005 Undistributed Collection Categories and Coding for 44 SPEC Field
- 03/10/2005 Medical Execution

OAH PROCEDURES TRANSMITTALS

05-31 Varshavsky Remand Procedure 2

05-26a Attachment Referral Guide

05-03 Reminder to Agencies on Communications with Represented Clients/Appellants

2004

ADMs

- 2004 ADM-08 Expansion of Eligibility of Alien Victims of Severe Forms of Trafficking in Persons
- 2004 ADM-07 Food Stamp Medical Deduction for Medicare Prescription Drug Discount Cards
- 2004 ADM-06 Treatment of Full-Time Earnings of Students Under Temporary Assistance (TA) Programs
- 2004 ADM-04 Refugee Cash and Medical Assistance Programs (RCA & RMA) Revised December 8, 2004
- 2004 ADM-02 Changes in Food Stamp Program Change Reporting Rules
- 2004 ADM-01 Establishing and Collecting Food Stamp Overissuance Claims

INFs

- 2004 INF-26 Revisions to Manual Client Notices
- 2004 INF-25 Introduction of a Food Stamp Six-Month Reporting Desk Guide
- 2004 INF-24 Digest of Laws of 2004 Relating to Programs of the Office of Temporary and Disability Assistance
- 2004 INF-23 Revision of LDSS-4398: "WMS Non-Services Code Cards" (November 2004 Update)
- 2004 INF-22 SSA COLA for January 2005 - SSI and Food Stamp Charts
- 2004 INF-21 Revision of the LDSS-4403: "Determination of Eligibility For Emergency Assistance to Families (EAF)" (7/04 Revision)
- 2004 INF-20 Temporary Assistance Policy: Computer Matching Clarification
- 2004 INF-19 Offer of a Job as an Available Resource
- 2004 INF-18 Local Department of Social Services District of Fiscal Responsibility Contacts Found on the OTDA Intranet Site and CentraPort
- 2004 INF-17 Temporary Assistance (TA) Budgeting: Lump Sum Set-Aside Work Sheet
- 2004 INF-16 Electronic Benefit Transfer (EBT) Voluntary Repayment of Overpayments
- 2004 INF-15 Availability of the Revised 2/04 Version of the 9 Other Than English Languages LDSS-3151: "Food Stamp Change Report Form"
- 2004 INF-14 Revision of the Mandated Food Stamp Benefits Civil Rights Complaint Procedure Poster (LDSS-8036)
- 2004-INF-13 Revisions to the Systems Generated "Periodic Report" (LDSS-4310) and the Vendor Printed "Follow-Up to the Periodic Report" (LDSS-4310A)
- 2004 INF-12 Temporary Assistance Questions and Answers Revised July 26, 2004
- 2004 INF-11 Revision of the LDSS-4826: "Food Stamp Benefits Application/Recertification" and LDSS-4826A: "How to Complete the Food Stamp Benefits Application/Recertification"
- 2004 INF-09 Documentation Requirements Reminder Revised July 6, 2004
- 2004 INF-07 Temporary Assistance Questions and Answers
- 2004 INF-05 Disregard Certain Veterans Administration (VA) Payments Made to Biological Children of Women Vietnam Veterans and Extend to Safety Net Assistance Families the Disregard of VA Payments Made to Vietnam Veterans' Children with Spina Bifida
- 2004 INF-03 Revision of the LDSS-4403: "Determination of Eligibility For Emergency Assistance to Families (EAF)" (7/03 Revision)

LCMs

- 2004 LCM-13 New York State Nutrition Improvement Project
- 2004 LCM-12 Appointment of OTDA Executive Deputy Commissioner and OTDA Deputy Commissioner for Division of Temporary Assistance
- 2004 LCM-11 AFIS Plan / Exemptions for FS Finger Imaging
- 2004 LCM-10 Program Integrity Performance Measures
- 2004 LCM-08 Food Stamp Program Access Policy
- 2004 LCM-07 Food Stamp Program Civil Rights Complaint Procedures Revised June 30, 2004
- 2004 LCM-06 Front End Detection System (FEDS)
- 2004 LCM-05 Food Stamp Management Evaluation Procedures
- 2004 LCM-04 Approvals of Revisions to Local District's Cost Allocation Plan
- 2004 LCM-03 Submission of TANF Special Project Claims
- 2004 LCM-02 Procedures Regarding the Security of Common Benefit Identification Cards (CBICS) Returned Undeliverable By the United States Postal Service (USPS)
- 2004 LCM-01 District of Fiscal Responsibility Procedures Reminder Revised February 19, 2004

GIS Messages

12/29/04 04TA/DC031 FS Outreach via EPIC Letter regarding Medicare Rx Drug Discount Programs

12/21/04 04TA/DC030 Cash HEAP Payments to NYSNIP Participants

11/02/04 04TA/DC028 Implementation of Standardized Food Stamp Benefits for Group Home Residents

10/14/04 04TA/DC025 FS Policy on Medicare RX Drug Discount programs

08/17/04 04TA/DC019 Updated Food Stamp Standards for October 1, 2004

07/06/04 04TA/DC018 Follow-up to GIS 04TA/DC005: Shortening 24-Month FS Certification Periods

06/30/04 04TA/DC016 Periodic Reports for Non-TA Food Stamp Households

06/02/04 04TA/DC014 Food Stamp Disaster Plan: Local District Contacts

05/11/04 04TA/DC013 Clarification of FS TBA Policy reissued

05/11/04 04TA/DC011 Clarification of FS TBA Policy

03/18/04 04TA/DC005 Shortening 24-Month FS Certification Periods/New B80 CNS Code

02/18/04 04TA/DC002 SUA for Telephone

DCLs (Child Support)

- 06/25/2004 Document Data Sheets
- 05/03/2004 Letter: Calculation of Unreimbursed Assistance
- 05/03/2004 Calculation/Payment of Support In Excess of Assistance Paid
- 05/03/2004 Response to Specific Questions
- 03/24/2004 Unreimbursed Assistance
- 03/23/2004 Letter: Medical Execution Frequently Asked Questions
- 03/23/2004 Medical Execution Frequently Asked Questions
- 03/02/2004 Issuance of Medical Execution and Alternative Coverage
- 02/26/2004 Medical Execution Cover
- 02/26/2004 National Medical Execution Notice Revised

2003

ADMs

- 2003 ADM-11 Emergency Assistance to Families (EAF): Changes to Part 372 of 18 NYCRR 372 and to the TANF State Plan
- 2003 ADM-10 TA Policy Changes: Lump Sum Set Asides and Resource Two-Year College Fund Exemption
- 2003 ADM-09 Regulatory Changes: Temporary Assistance (TA) Budgeting: Percentage Earnings Disregard Extended to Pregnant SNA Women and Trust Fund Policy Clarification
- 2003 ADM-08 Issuing Nonrecurring Temporary Assistance (TA) Emergency Payments and TANF Services Block Grant Payments on an Active Non-Temporary Assistance Food Stamp Case, an Active Medicaid Case or at the Time of a TA Application Denial

• 2003 ADM-07 Temporary Assistance: New Shelter Allowances and Related Changes

• 2003 ADM-03 New Food Stamp-Only Application Form

• 2003 ADM-02 Desk Reference for DV Screening under the Family Violence Option

• 2003 ADM-01 Eligibility of Alien Victims of Severe Form of Trafficking in Persons

INFs

• 2003 INF-43 Food Stamp Deductions for Medical Expenses

• 2003 INF-42 Elimination of Social Security Numbers from SSI, Interim Assistance Reimbursement, and Social Security Checks

• 2003 INF-41 Revisions to Client Notices

• 2003 INF-40 SSA COLA for January 2004 - SSI and Food Stamp Charts

• 2003 INF-39 New Statewide "Common Application", LDSS – 2921 Statewide (Rev. 7/03) and New Statewide "How to Complete" Publication, PUB – 1301 Statewide (Rev. 7/03)

• 2003 INF-38 Availability of the Following Forms in Arabic and Chinese: LDSS-2921 Statewide "Common Application" form and PUB-1301 Statewide "How to Complete the Application" form

• 2003 INF-37 Revision to Spanish Versions of the Client Information Books and the Introduction of Other Available Languages Including Russian, Arabic and Chinese

• 2003 INF-36 Digest of Laws of 2003 Relating to Programs of the Office of Temporary and Disability Assistance

• 2003 INF-35 Clarification of Temporary Assistance Diversion Payment Types for Families

• 2003 INF-34 Limiting Emergency/Immediate Needs Grants Due to Frequent Applications for Recurring and Emergency Temporary Assistance

• 2003 INF-33 Revision of the LDSS-3151: "Food Stamp Change Report Form" (Rev. 6/03)

• 2003 INF-32 LDSS-4682: Notification of Overpayment of Public Assistance to a Former Recipient and Demand For Repayment (5/03) and LDSS-4682 NYC: Notification of Overpayment of Public Assistance to a Former Recipient and Demand For Repayment (NYC) (5/03)

• 2003 INF-31 Revision of the LDSS-3558: "Food Stamp Separate Determination Input Form" (Rev. 5/03)

• 2003 INF-29 Family Violence Option: Domestic Violence Liaison List

• 2003 INF-27 Temporary Assistance Date of Eligibility

• 2003 INF-26 Introduction of a Statewide LDSS-3174: "Recertification Form" and The Associated PUB-1313: "How to Complete" Publication

• 2003 INF-25 Temporary Assistance Questions and Answers Revision: July 7, 2003 Original Release: June 19, 2003

• 2003 INF-23 LDSS-4579: "Alien Eligibility Desk Aid" (Rev. 4/03)

• 2003 INF-22 Temporary Assistance: Individuals in Residential Treatment Programs

• 2003 INF-20 Availability of the Statewide Common Application and the How to Complete the Application in Spanish and Russian

• 2003 INF-19 Expired or Lost Immigration Documents

• 2003 INF-18 Obsolete Form DSS-2215: "Report of Claim/Benefit Restoration Determination" Food Stamp Program

• 2003 INF-17 Recoupment Procedures When Temporary Assistance (TA) Recipients Change Districts

• 2003 INF-16 Revisions to the Food Stamp Benefits Budget Worksheets

• 2003 INF-15 Compromise of Food Stamp Claims for Overissuance

• 2003 INF-14 Food Stamp Program Eligibility for Aliens

• 2003 INF-13 Revision to LDSS-4753: "Food Stamps – Request for Contact/Missed Interview"

• 2003 INF-12 Revision of the "Food Stamp Household Composition Desk Guide" (LDSS-4314) (Rev. 1/03)

• 2003 INF-11 Revisions to the LDSS-4791: Important Information About What Changes You Must Report for Food Stamp Benefits

• 2003 INF-10 Food Stamp Program Questions and Answers: Application Processing, Six-Month Reporting, Transitional Benefits and Standard Utility Allowances

• 2003 INF-07 State Sixty-Month Time Limit and Essential Persons

• 2003 INF-05 Digest of Laws of 2002 Relating to Programs of the Office of Temporary and Disability Assistance

• 2003 INF-04 Time Limit Counts and Family Assistance Payment Corrections

• 2003-INF-02 Revision of the LDSS-3151 "Food Stamp Change Report Form" (Rev. 1/03)

• 2003 INF-01 Earned Income Tax Credit and Other Tax Credits

LCMs

• 2003 LCM-09 Refunds of Treasury Offset Program (TOP) Monies

• 2003 LCM-08 Safeguarding of Personal Information for Food Stamp and Temporary Assistance Applicants and Recipients

• 2003 LCM-07 Food Stamp Management Evaluation Procedures

• 2003 LCM-05 Termination of Certain Food Stamp Overissuance Claims

• 2003 LCM-03 Food Stamp Program Civil Rights Complaint Procedures

• 2003 LCM-02 New Hires Match

• 2003 LCM-01 Clothing Allowance Under the Emergency Assistance to Adults Program

GIS Messages

09/25/03 03TA/DC028 24-month FS certification periods for households in which all adults are elderly/disabled

08/15/03 03TA/DC022 Response to Power Outages

08/13/03 03TA/DC021 IPV Notices for PA and FS now available on OTDA Forms Website

08/11/03 03TA/DC020 Updated-Food Stamp Standards for October 1, 2003

07/31/03 03TA/DC019 Subscribe to the Automated Subscriber System for Updates to the Temporary Assistance Source Book - TASB and the Food Stamp Source Book – FSSB

07/28/03 03TA/DC018 Temporary Assistance (TA), Medicaid and Food Stamps (FS) Policy Regarding the Treatment of Federal Tax Variables

07/14/03 03TA/DC017 Treasury Offset Program Fairness Letter

07/02/03 03TA/DC015 Introduction of LDSS-4826B: ''Interview/Verification Guide for the LDSS-4826: 'Food Stamp Benefits Application' ''

02/06/03 03TA/DC003 Food Stamp Eligibility of Certain Aliens

02/04/03 03TA/DC001 Food Stamp Disaster Plan Local Contacts

DCLs (Child Support)

• 10/21/2003 Private Collection Agencies

• 07/11/2003 $500 Arrears Cap

• 06/27/2003 Intrastate Transfer of Cases

• 06/10/2003 HIPAA Rules and Child Support

• 05/01/2003 Department of Taxation and Finance: Kennedy Enhancements

• 01/28/2003 Cost Recovery of Legal Representation/International Child Support Cases

OAH PROCEDURES TRANSMITTALS

03-22 Revised OTDA-4420 Notice of Aid Status 8 28 032

03-10 Revised OAH-457

2002

ADMs

• 2002 ADM-08 Repeal of NYCRR 352.31(B) "Claimant for Income Tax Exemption"

• 2002 ADM-07 Food Stamp Program Reauthorization Changes

• 2002 ADM-06 Change in the Food Stamp Vehicle Resource Policy

• 2002 ADM-02 Meeting the Emergency/Immediate needs of Temporary Assistance (TA) Applicants/Recipients

• 2002 ADM-01 Food Stamp Treatment of Individual Development Accounts

INFs

• 2002 INF-41 Revision to Food Stamp Benefits ABEL Budget Narratives (LDSS-3959, LDSS-3960 and LDSS-3961)

• 2002 INF-39 Conditions and Costs Associated with Removals, Revision: December 10, 2002

• 2002 INF-37 Temporary Assistance Periodic Reporting Regulation Filing

• 2002 INF-36 Family Violence Option Questions and Answers

• 2002 INF-35 SSA COLA for January 2003 – SSI and Food Stamp Charts

• 2002 INF-34 Treatment of Crime Victims Compensation Benefits for Temporary Assistance (Correction of November 1st Release)

• 2002 INF-33 Receipts for Recipients Who Drop Off Documentation

• 2002 INF-31 Temporary Assistance Questions and Answers

• 2002 INF-30 Revision of the "Food Stamp Household Composition Desk Guide" (LDSS-4314) (Rev. 6/02)

• 2002 INF-29 Necessity of Accurate Social Security Numbers in the Welfare Management System

• 2002 INF-28 Revision to Public Assistance ABEL Budget Narratives (LDSS-3951, LDSS-3952, LDSS-3953 and LDSS-3954)

• 2002 INF-27 Domestic Violence: Frequently Asked Questions on Reimbursement, General and Programmatic Issues

• 2002 INF-26 Revisions to the System Generated Periodic Report Form (LDSS-4310) and the Printed Follow-Ups to the Periodic Report Form (LDSS-4310A and LDSS-4310A NYC)

• 2002 INF-25 Section 8 Certificate Shelter Type Codes

• 2002 INF-22 Drug and Alcohol Frequently Asked Questions (FAQs), U.S. Department of Veteran Affairs (VA) Drug and Alcohol Treatment Programs; and OTDA Audit and Quality Control (A&QC) Drug and Alcohol Abuse Screening/Assessment Process Review/Findings

• 2002 INF-21 Temporary Assistance Procedures; Certification Periods of TA Cases With Earned Income

• 2002 INF-19 Revision of the LDSS-3708: "School Attendance Verification Form" (Rev. 4/01)

• 2002 INF-18 Revision of the LDSS-3151: "Food Stamp Change Report Form"

• 2002 INF-17 Food Stamp Questions and Answers

• 2002 INF-15 Revised Issue July 23, 2002 (Original Issued June 27, 2002) Recurring Limited Temporary Assistance Energy Payments

• 2002 INF-14 Charitable Choice Provision, Personal Responsibility and Work Opportunity Reconciliation Act of 1996, P.L. 104-193 (Reissued June 3, 2002)

• 2002 INF-09 Certification/Recertification Checklist: Changes In Requirements

• 2002 INF-06 Clarification of Retention Policy of Domestic Violence (DV) Screening Forms and Related Case Records

• 2002 INF-03 Responses to Questions Raised at Time Limit/Tracking Conference Calls

• 2002 INF-02 Obsolete Certification Guide (DSS-3570)

• 2002 INF-01 Expansion of the Eligibility of Certain Minors for TANF Service Programs

LCMs

• 2002 LCM-13 Extension of Food Stamp Administrative Cost Reductions

• 2002 LCM-12 Work Experience Placements Reimbursement

• 2002 LCM-11 Model HEAP Cooperative Agreement/Contract

• 2002 LCM-10 Changes to Food Stamp Employment and Training Participant Expenditures

• 2002 LCM-8 Claiming Federal Reimbursement for Reserved Accommodations

• 2002 LCM-07 Americans with Disabilities Act (ADA) – Access to Local District Social Services Programs and Services and Activities

• 2002 LCM-06 Food Stamp Management Evaluation Procedures

• 2002 LCM-05 Submission of TANF Special Project Claims

• 2002 LCM-02 Suspension of Local MOE Plans Submission

GIS Messages

09/17/02 02TADC024 Additional Food Stamp Resource Exclusion

08/13/02 02TADC018 Updated - Food Stamp Standards for October 1, 2002

04/10/02 02TADC009 Requirement to send the LDSS 4735 FS ''Request for Contact/Notice of Missed Interview'' when an initial FS eligibility interview is missed

03/11/02 02TADC007 Revised Message: GIS 02 TA/DC004

03/01/02 02TADC004 Printing and Delivery of Manual Notices Referenced in 01 INF-17

02/22/02 02TADC003 Request for Contact Language for Food Stamp 6 Month Reporters on Transitional Benefits

01/08/02 02TADC001 Correction of LDSS 4753 - Request for Contact/Notice of Missed Interview

DCLs (Child Support)

• 12/16/2002 Modifications to Driver's License Suspension Process

• 08/29/2002 PIC Enforcement Procedures

• 07/29/2002 Liens

• 07/19/2002 Property Execution Against Jointly Owned Bank Accounts

• 06/03/2002 Wage and Health Benefit Report

• 05/21/2002 Drivers' License Suspension Modifications

OAH PROCEDURES TRANSMITTALS

02-01 EA and Foster Care Processing

2001

ADMs

• 2001 ADM-16 Transitional Benefit Alternative: Transitional Food Stamp Benefits for Family Assistance Leavers

• 2001 ADM-14 Temporary Assistance Procedures: District Waiver Option of Quarterly Reporting Requirements (QRS) and Attachments

• 2001 ADM-13 Temporary Assistance Budgeting: Initial and Increased Earnings of Recipients

• 2001 ADM-12 Temporary Assistance Budgeting: The Treatment of Retroactive SSI and Social Security (RSDI) Corrective Payments

• 2001 ADM-11 Safety Net Assistance Recoupment Rate Change

• 2001 ADM-10 Revised Alcohol and Drug Abuse Screening and Referral Form, Revised Referral Protocols, Targeting TANF Applicants/Recipients

• 2001 ADM-09 Six-Month Reporting Rules Food Stamp Households With Earned Income

• 2001 ADM-08 Changes in Food Stamp Application and Recertification Procedures

• 2001 ADM-07 Food Stamp and Temporary Assistance Treatment of Family Subsistence Supplemental Allowance Payments

• 2001 ADM-06 Revised Letter of Notification (LON) and Letter of Intent (LOI) Approval Process for the Acquisition of EDP Equipment and/or Related Services

• 2001 ADM-04 Reporting Requirement for TANF Assistance: Reg. 351.1(b)(2)

• 2001 ADM-03 Errata: Exemptions to the State Sixty-Month Cash Time Limit/Evaluation for Safety Net Assistance

• 2001 ADM-03 Exemptions to the State Sixty-Month Cash Time Limit/Evaluation for Safety Net Assistance

• 2001 ADM-02 Expansion of Food Stamp Categorical Eligibility

• 2001 ADM-01 Continuation of Food Stamps on TA Case During Move

INFs

• 2001 INF-28 Digest of Laws of 2001 Relating to Programs of the Office of Temporary and Disability Assistance and Attachment

• 2001 INF-27 SSA Cost of Living Adjustment for January 2002-SSI Benefit Levels Chart

• 2001 INF-25 Treatment of Income/Resources of Participants in Operation Enduring Freedom

• 2001 INF-24 Obsolete Form DSS-632: "Consent for Verification of Information"

• 2001 INF-22 Revisions of LDSS-2921 Upstate Common Application and PUB-1301 Upstate "How to Complete" Publication

• 2001 INF-21 Food Stamp Questions and Answers

• 2001 INF-20 Providing Temporary Assistance to Individuals Sanctioned From Supplemental Security Income

• 2001 INF-19 Responses to Questions Raised at Time Limit/Tracking Regional Meetings

• 2001 INF-18 Clarification of Temporary Assistance Drug and Alcohol Employment Coding and Case Type Policy/Procedures

• 2001 INF-17 Revisions to Manual Notices

• 2001 INF-14 Converting EBT Food Stamp Benefits to EBT Cash When Recipients Move Out of State

• 2001 INF-12 Temporary Assistance Sanctions: Budgeting for TA and FS, Treatment of Income for FS When the TA Case Closes, and Medicaid Implications

• 2001 INF-11 Temporary Assistance Questions and Answers and Attachment

• 2001 INF-10 Clarification of Food Stamp Denials When an Eligibility Interview is Missed

• 2001 INF-09 Application Access for Non-Citizens: Temporary Assistance and Food Stamps

• 2001 INF-08 Temporary Assistance (TA) and Food Stamps (FS) Policy: The Treatment of Supplemental Needs Trusts (SNTs) and Reverse Annuity Mortgage (RAM) Loans

• 2001 INF-04 The 2001 New York State and Federal Earned Income Credit Campaign

• 2001 INF-03 Clarification of Policy Relating to the Provision of the Emergency Shelter Allowance for Persons with AIDS or HIV-Related Illness

• 2001 INF-02 Digest of Laws of 2000 Relating to Programs of the Office of Temporary and Disability Assistance

LCMs

• 2001 LCM-12 Availability of the Spanish Version of the "Youth Application for TANF Services" Form and Attachment

• 2001 LCM-11 Reserved Accommodations

• 2001 LCM-10 Availability of the "Youth Application for TANF Services" Form

• 2001 LCM-08 Two Year Claiming Deadlines

• 2001 LCM-06 IRS-1099 Match

• 2001 LCM-05 EBT Fedwire Settlement Process

• 2001 LCM-02 Special Claim Forms for TANF Services Plan Programs and TANF MOE

GIS Messages

10/23/01 TADC045 Exemption of Disaster Relief Payments Reminder

09/21/01 TADC040 Exemption of Disaster Relief Payments

09/20/01 TADC039 New Food Stamps Poster

09/06/01 TADC034 Revised Manual Notices (01 INF-17)

08/20/01 TADC030 Updated-FS Standards for October 1, 2001

08/10/01 TADC029 Updated-Food Stamp Standards for October 1, 2001

08/07/01 TADC028 Quarterly Reporting Mailers

06/25/01 TADC024 June mail out to Non-TA/FS cases regarding the six-month reporting rule

06/20/01 TADC023 Treatment of Federal Tax Rebates

06/13/01 TADC021 Exclusion of Certain Retroactive SSI Payments as Lump Sums for FS

06/01/01 TADC018 Changes in the Food Stamps Vehicle Resource Exemption Policy

02/27/01 TADC009 Client Benefit Access

02/27/01 TADC008 FS Cash-Out Conversion

02/06/01 TADC005 FS Cashout Conversion

01/24/01 TADC004 Food Stamp Casefile Documentation

01/24/01 TADC003 Updated-Food Stamp SUA Standards for March 1, 2001

DCLs (Child Support)

• 03/06/2001 Re-Assignment of Permanently Assigned Arrears

• 08/06/2001 Tax Off-Set Distribution Rules

• 12/18/2001 Letter: Continuation of Services

• 12/18/2001 Notice: Continuation of Services

OAH PROCEDURES TRANSMITTALS

01-51 Upstate Emergency Hearing Procedures

01-45 Action Taken by NYC HRA MAP Due to Ineligibility for SSI

01-35 Appellant Identification Procedure

01-15 Procedure for Handling Non-hearing Inquiries and Complaints

2000

ADMs

• 2000 ADM-08 Errata: Electronic Benefit Transfer (EBT)

• 2000 ADM-07 Non-Cash Safety Net Assistance (SNA) for Other Than Grantee (OTG) Cases

• 2000 ADM-06 Initial Notice of Overpayment to Closed Cases (Revised)

• 2000 ADM-05 Change in the Automobile Exemption Policy for Applicants For and Recipients of Family Assistance (FA) and Safety Net Assistance (SNA)

• 2000 ADM-01 TANF Funding Swap From Public Assistance to EAF Foster Care for the 1999-2000 State Fiscal Year

INFs

• 2000 INF-21 Policy Clarification on TANF Funded Assistance

• 2000 INF-20 WMS Input Directions to Assure Accurate and Complete Federal Reports

• 2000 INF-19 District of Fiscal Responsibility (DFR) Procedures

• 2000 INF18 Clarification of Relationship Between the Standard Utility Allowance (SUA) and Receipt of HEAP

• 2000 INF-16 Temporary Assistance (TA), Food Stamps (FS), and Medicaid (MA) Budgeting: Out-of-State Temporary Assistance Payment

• 2000 INF-15 Temporary Assistance Questions and Answers

• 2000 INF-14 Revised "Request for Voluntary Restricted Payments" Form (LDSS-4580) (Rev. 1/00)

• 2000 INF-13 Errata: Alien Eligibility Desk Aid (LDSS-4579) (4/00)

• 2000 INF-13 Alien Eligibility Desk ID Card (Rev. 4/00)

• 2000 INF-11 Revision of "Food Stamp Separate Determination Input Form" (LDSS-3558)

• 2000 INF-10 Welfare Reform Community-Based Organization Resource Guide (Pub No. 4663)

• 2000 INF-08 Office of Temporary and Disability Assistance (OTDA) Census 2000 Campaign

• 2000 INF-07 Interim Printings of LDSS-2921, LDSS-2921(NYC), LDSS-3174 and LDSS-3174(NYC)

• 2000 INF-06 Verifying Relationship of the Caretaker Relative to the Child

• 2000 INF-05 LDSS-3343: "Quarterly Roster of Good Cause Claims"

• 2000 INF-02 Child Support Cooperation: Questions and Answers

• 2000 INF-01 Errata: Revision of Mandatory Client Notice: Repayment of Interim Assistance Notice (LDSS-2425)

• 2000 INF-01 Revision of Mandatory Client Notice "Repayment of Interim Assistance Notice" LDSS-2425

LCMs

• 2000 LCM-21 Temporary Assistance to Needy Families Maintenance of Effort (MOE) Local Initiative

• 2000 LCM-20 TANF Services for Individuals and Families With Incomes Up To 200% of The Federal Poverty Level

• 2000 LCM-18 Availability of Funds to Provide Services to Certain Safety Net Recipients (SNAP – Safety Net Assistance Project)

• 2000 LCM-15 TANF and TANF Maintenance-of-Effort (MOE) Program Reporting

• 2000 LCM-13 Proposals Requested for the Establishment of Transitional Opportunities Program (TOP) Offices/Units

• 2000 LCM-11 Earned Income Credit (EIC)

• 2000 LCM-10 Certain Employment Costs not Allowed as Food Stamp Employment and Training Expenditures

• 2000 LCM-09 Domestic Violence Allocation Use

• 2000 LCM-08 Separate State Maintenance-of-Effort (MOE) Program Reporting

• 2000 LCM-07 Emergency Assistance to Families (EAF) for Juvenile Delinquents and Persons In Need of Supervision (JD/PINS)

• 2000 LCM-04 2000 Census Promotion

• 2000 LCM-01 Temporary Assistance to Needy Families Maintenance of Effort FY 2000

DCLs (Child Support)

• 05/16/2000 Policy: DNA Labs + Attachment

• 03/02/2000 CSMS Modification: Distributions/PROWRA

• 01/19/2000 CSMS Modification: Distributions/PROWRA

OAH PROCEDURES TRANSMITTALS

00-30 OTDA Initiative to Encourage and Monitor Compliance with FH Decisions

00-05 Document Imaging Implementation (Instructions for Local Agencies)

00-04 Document Imaging Implementation

1999

ADMs

• 1999 ADM-09 The Automated Finger Imaging System (AFIS) for PA, FS, FAP, EAF, ESNA, PICA and Medicaid

• 1999 ADM-08 Domestic Violence: Final TANF Regulations and the Family Violence Option

• 1999 ADM-07 Errata: Time Limit Tracking and System Support

• 1999 ADM-07 Time Limit Tracking and System Support

• 1999 ADM-05 Cooperation with Child Support Enforcement for Temporary Assistance, Medicaid, Foster Care and Child Care Services Applicants and Recipients

• 1999 ADM-04 Changes to Supervisory Review Approval for Temporary Assistance and Food Stamps

• 1999 ADM-02 Alien Sponsorship – Deeming of Sponsor's Income and Resources

• 1999 ADM-01 Administration Issuance of Subpoenas in Child Support Cases

INFs

• 1999 INF-21 Child Assistance Program (CAP) Eligibility: Procedures for Employment Sanctions

• 1999 INF-20 Digest of Laws of 1999 Relating to Programs of the Office of Temporary and Disability Assistance

• 1999 INF-19 Drug/Alcohol Treatment: Option for In-District Care

• 1999 INF-18 Non-Recoverability of Child Support Pass-Through Payments

• 1999 INF-17 Reporting of Aliens Known to be Unlawfully in the United States

• 1999 INF-16 HUD Welfare-to-Work Housing Vouchers – Potential Rent Subsidy Assistance for Some Temporary Assistance Families

• 1999 INF-15 Emergency Assistance and Sanctioned Persons

• 1999 INF-14 LDSS-4600: LDSS-4600 Drug and Alcohol Screening, Assessment, and Treatment Implications Desk Guide (6/98)

• 1999 INF-13 Questions and Answers on Temporary Assistance Energy Policy

• 1999 INF-11 Potential Eligibility for Food Stamps: Hmong and Other Highland Laotian Tribe Members

• 1999 INF-10 Domestic Violence Waiver of Temporary Assistance Lien Requirements; Recovery of Temporary Assistance From Legally Responsible Batterers

• 1999 INF-09 Temporary Assistance/Medicaid Eligibility: Expansion of Who Can Conduct Drug/Alcohol Assessments

• 1999 INF-08 Food Stamp Program Application Requirements

• 1999 INF-07 Amended State Version of Federal Form SS-5 "Application for a Social Security Card" (LDSS-4000)

• 1999 INF-06 Medicaid Determinations When Public Assistance is Denied or Closed or the PA Application is Withdrawn

• 1999 INF-05 Child Assistance Program (CAP): Questions and Answers

• 1999 INF-04 Public Assistance Eligibility: Clarification of Drug and/or Alcohol Issues

• 1999 INF-02 Revision to Budget Worksheet – Public Assistance (LDSS-548)

LCMs

• 1999 LCM-40 Information on Problem Gambling

• 1999 LCM-39 TANF Funded Employment Program Contracts

• 1999 LCM-37 Claiming Deadlines – TANF Programs

• 1999 LCM-32 Updated District of Fiscal Responsibility and Drug/Alcohol Contacts

• 1999 LCM-31 Y2K Readiness Planning

• 1999 LCM-30 Claiming of JD/PINS for Youth in Voluntary Agencies and Foster Boarding Homes

• 1999 LCM-29 TANF MOE Expenditures

• 1999 LCM-28 Domestic Violence Allocations: July 1999-June 2001

• 1999 LCM-26 Merit Incentive Awards Allocations

• 1999 LCM-23 Implementation of the Systematic Alien Verification for Entitlements (SAVE) Program – Interim Process

• 1999 LCM-22 Expansion of the Child Assistance Program (CAP)

• 1999 LCM-20 Non-Cash Safety Net Assistance (SNA) Restriction Hierarchy and Available Restriction and Payment Options

• 1999 LCM-19 Notice: LDSS-4647: "Important Information About Child Care"

• 1999 LCM-17 TANF State Plan Forums

• 1999 LCM-15 Allocations for TANF & SNA Drug & Alcohol Assessments and the Availability of Funds to Operate an Enhanced Drug/Alcohol Program for TANF Recipients

• 1999 LCM-14 Temporary Assistance to Needy Families Maintenance of Effort

• 1999 LCM-13 Local District Year 2000 Contingency Plan Survey

• 1999 LCM-12 Survey of Social Welfare Examiner Job Tasks

• 1999 LCM-11 Federal Tax Refund Offset Program (FTROP)

• 1999 LCM-10 Year 2000 Compliance Requirements

• 1999 LCM-09 Federal Tax Refund Offset Program (FTROP)

• 1999 LCM-08 "New York State Touchstones/Kids Count Data Book"

• 1999 LCM-04 Federal Tax Refund Offset Program (FTROP)

• 1999 LCM-03 "Notice of Claim Settlement" (OTDA-591, 591-A & 907)

• 1999 LCM-01 Food Stamp Program: Clarification of Definition of Disability

OAH PROCEDURES TRANSMITTALS

99-40 Hearing Right for Former Recipients when Challenging the Amount of a Claim for Overpayment of PA

99-13 Changes to the Outcome Reason Codes and the Decision Issuance Screen (PFHISS)

1998

ADMs

- 1998 ADM-14 Procedures for Cost of Living Adjustments (COLA) and One-Time Review and Adjustments for Child Support Orders
- 1998 ADM-12 Recovery of Overpayments: Modification of PA Threshold
- 1998 ADM-11 Authorization For Social Services Districts to Approve Adoption Subsidies
- 1998 ADM-09 Public Assistance Eligibility: Time Limit for Initial Interview
- 1998 ADM-06 Procedures for Establishing Paternity with Acknowledgments and Administrative Orders for Genetic/DNA Tests
- 1998 ADM-05 Merit Incentive Awards for Children in Receipt of TANF Funded Public Assistance
- 1998 ADM-03 Errata: Domestic Violence: Family Violence Option Under the Welfare Reform Act of 1997
- 1998 ADM-03 Domestic Violence: Family Violence Option Under the Welfare Reform Act of 1997
- 1998 ADM-02 Change of Payee Policy and Procedure for Child Support Enforcement
- 1998 ADM-01 Local Flexibility Incentive Pilot Programs

INFs

- 1998 INF-16 Obsolete Form: DSS-2502: "ADC-U Screening Checklist"
- 1998 INF-15 Deadline Extension for Local District Negotiated Performance Award Plans and Transmittal of Baseline Performance Data on Job Placements for 98-ADM-13
- 1998 INF-13 State Assumption of Local Districts' Share of Supplemental Payments
- 1998 INF-12 Digest of Laws of 1998 Relating to Programs of the Office of Temporary and Disability Assistance
- 1998 INF-06 Child Assistance Program (CAP); Questions and Answers on Changes Due to the Welfare Reform Act of 1997
- 1998 INF-05 Alien Status Desk Guide (LDSS-4579)
- 1998 INF-04 Consolidated Services Plan: 1998 Income Eligibility Standards
- 1998 INF-03 Food Stamp Program: Record Retention
- 1998 INF-02 Revised Request for Voluntary Restriction Form (DSS-4580 Rev. 12/97)
- 1998 INF-01 Annual Index of Administrative Directives and Informational Letters

LCMs

- 1998 LCM-46 "Notice of Claims Settlement" Claims Against Households Report" (DSS-3214)
- 1998 LCM-43 Automated Claiming System (ACS) Re-Hosting Project
- 1998 LCM-40 National Voter Registration Act (NVRA) Litigation
- 1998 LCM-39 Drug and Alcohol Services: Availability of Funds to Provide Enhanced Drug and Alcohol Services to Victims of Domestic Violence
- 1998 LCM-37 Expansion of the Child Assistance Program (CAP)
- 1998 LCM-34 Clarification of Fair Hearing and Special Hearings Processes
- 1998 LCM-30 Survey of Drug and Alcohol Assessment Costs
- 1998 LCM-29 1998 Multidisciplinary Team Building Request for Proposals Grant Selections
- 1998 LCM-28 Case Management Funding Application
- 1998 LCM-27 Homeless Information Reporting
- 1998 LCM-26 Informational Mailing to All Public Assistance Households (FA, CAP, SNA)
- 1998 LCM-25 Adult Care Facilities: Prohibition of Referrals to Certain Facilities
- 1998 LCM-23 FISCAL: Allocation of $100,000,000 TANF Set-Aside for Family and Children's Services (EAF Allocation)
- 1998 LCM-21 Food Stamp Earned Income Deduction
- 1998 LCM-19 Reimbursement Ceilings for Social Services for the Period October 1, 1997 Through September 30, 1998
- 1998 LCM-18 DSS-3803 "Monthly Report of Collections of Overpayments to Families with Dependent Children and Home Relief"
- 1998 LCM-17 Disability Advocacy Program (DAP) RFP
- 1998 LCM-15 Federal/State Tax Refund Offset Reports Federal/State Tax Refund Offset
- 1998 LCM-14 Availability of Funds to Operate an Enhanced Drug/Alcohol Services Program for Family Assistance Recipients
- 1998 LCM-11 Retroactive TANF Conversions Retroactive TANF Conversions
- 1998 LCM-09 Tax Refund Offset Process – 1998 General Instructions
- 1998 LCM-07 Enhanced PA Earned Income Disregard (42%) Mailing
- 1998 LCM-06 Extension of Claiming for the Child Care and Development Block Grant Subsidy Program
- 1998 LCM-05 Deadlines for Submitting Claims to Close Out ADC (Including EAF and Administration), JOBS, At Risk and Transitional Child Care, as a Result of TANF

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98-51 NYC Department of Homeless Services Shelter Placements for SNA Singles

98-17 Responsibility of Local Agencies to Provide Documents to Representatives

98-05 Krieger v. Perales Notification

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- 1997 ADM-25 Food Stamp Eligibility of Non-Citizens
- 1997 ADM-24 Child Assistance Program (CAP): Impact of the Welfare Reform Act of 1997
- 1997 ADM-23 Public Assistance (Family Assistance/Safety Net Assistance) Changes Resulting From The Welfare Reform Act of 1997
- 1997 ADM-20 Family Assistance Program (Welfare Reform Act of 1997)
- 1997 ADM-19 Reporting and Monitoring of the DSS-3214, Food Stamps Claims Against Household Report
- 1997 ADM-18 Student Grants and Supportive Services Budgeting (Williams vs. Dowling)
- 1997 ADM-17 Elimination of the Child Care Earned Income Disregard and the Implementation of Child Care Payments for Public Assistance Cases with Earned Income
- 1997 ADM-16 Food Stamp ABAWD Eligibility Requirements
- 1997 ADM-13 Procedure for Requesting Approval of Local Equivalent Forms
- 1997 ADM-11 Assessment Requirement for Recipients of Temporary Assistance for Needy Families (TANF)
- 1997 ADM-08 Re-categorization of ADC and CAP Cases as a Result of the Personal Responsibility and Work Opportunity Reconciliation Act (PRWORA)
- 1997 ADM-06 Immunization Information for PA Applicants and Recipients
- 1997 ADM-05 Option to Extend Certification Periods for Certain Non-Citizen Food Stamp Recipients
- 1997 ADM-03 Prohibition Against Concurrent Benefits
- 1997 ADM-01 Federal Cost-of-Living Adjustment in Social Se-

curity and Supplemental Security Income (SSI) Benefits and Impact of Department Programs

INFs

- 1997 INF-18 Digest of Laws of 1997 Relating to Social Services
- 1997 INF-17 DSS-4148D: Supplement to Client Information Books DSS-4148A, 4148B, and 4148C
- 1997 INF-16 Model Drug and/or Alcohol Assessment Form for Public Assistance
- 1997 INF-14 Obsolete Form: DSS-4232; "JOBS (Job Opportunities and Basic Skills Training Program)" Form
- 1997 INF-12 Revisions of the Quarterly Report (DSS-4310) and the Follow-Up to the Quarterly Report (DSS-4310A) and (DSS-4310A NYC)
- 1997 INF-09 Automated Finger Imaging System (AFIS) Policy Clarifications
- 1997 INF-07 Revision of DSS-3604: "Notification of ADC Change"
- 1997 INF-06 District of Fiscal Responsibility
- 1997 INF-05 Consolidated Services Plan: 1997 Income Eligibility Standards
- 1997 INF-04 Temporary Assistance to Needy Families (TANF) State Plan
- 1997 INF-01 Annual Index of Administrative Directives, Informational Letters and Manual Bulletins for 1996

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- 1997 LCM-68 Preventive Services: Reduction/Waiver of Maintenance of Effort (MOE) Penalty Amount
- 1997 LCM-64 1997 Federal Family Violence Prevention and Services Act Grant
- 1997 LCM-61 Expansion of the Child Assistance Program (CAP)
- 1997 LCM-56 Recoupments, Screen 6 Changes and Attachment
- 1997 LCM-52 Welfare Reform Act of 1997
- 1997 LCM-51 Federal Changes in SSI Eligibility for Children
- 1997 LCM-49 Local District Training CAP
- 1997 LCM-44 Agency-Based Voter Registration (Motor Voter) Training Program
- 1997 LCM-42 Local District Closings
- 1997 LCM-38 Temporary Assistance to Needy Families (TANF) State Plan
- 1997 LCM-37 SSN Validation
- 1997 LCM-32 Notice to Certain Disabled Children Affected by Welfare Reform
- 1997 LCM-31 Tax Refund Offset Program (FTROP)
- 1997 LCM-23 Home and Community-Based Services Waiver for Persons with Traumatic Brain Injuries (HCBS/TBI Waiver): New Recipient Restriction/Exception Code for Waiver Participants
- 1997 LCM-22 Revised Disability Criteria for Children
- 1997 LCM-21 Food Stamp Eligibility for Non-Citizens Prior to April 1, 1997
- 1997 LCM-20 Waiver of Food Stamp ABAWD Eligibility Requirements
- 1997 LCM-07 Home and Community-Based Services Waiver for Persons with Traumatic Brain Injuries (HCBS/TBI Waiver): Authorization, Provision and Payment of Transportation
- 1997 LCM-01 Tax Refund Offset Process – 1997 General Instructions

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97-25 Requests from Local Agencies for Additional Copies of Decisions

97-21 Administrative Disqualification Hearing (ADH) Reopen Procedures

97-07 Local District Letter Request Notification (Letter Requests)

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- 1996 ADM-20 Responsibilities of Homeless Individuals and Families
- 1996 ADM-09 Utility Arrears Repayment Agreement
- 1996 ADM-08 OBRA'93 Provisions on Transferees and Trusts
- 1996 ADM-05 Article VII Changes – 1995/96 State Budget
- 1996 ADM-04 The Automated Finger Imaging System (AFIS) for Public Assistance

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- 1996 INF-29 Digest of Laws of 1996 Relating to Social Services
- 1996 INF-28 Questions and Answers from the Drug and Alcohol Statewide Regional Meetings, the Introduction of Four New Forms That Will Assist Local Districts In Working With Their Client Population, and the Obsoletion of DSS-2355 and DSS-2356
- 1996 INF-26 Revisions to Applications (DSS-2921, DSS-2921NYC), Recertification Forms (DSS-3174, DSS-3174 NYC) and their "How to Complete" Publications (PUB-1301, PUB-1301 NYC, PUB-1313, PUB -1313 NYC)
- 1996 INF-21 Home and Community-Based Services Waiver for Persons with Traumatic Brain Injuries (HCBS/TBI Waiver)
- 1996 INF-19 Paper Reduction/Reports Distribution
- 1996 INF-16 Implementation of the Revised DSS-876: "Request For Forms or Publications" (Rev. 2/96)
- 1996 INF-12 Obsolete Form: DSS-4158: "Home Relief Notification of Job Readiness and The Right To Contest" (Rev. 8/93)
- 1996 INF-11 Temporary Assistance Forms Implemented, Revised or Made Obsolete in 1995
- 1996 INF-05 Revision of DSS-4231: "Option To End Your Sanction"
- 1996 INF-04 Blue Book Internet Project
- 1996 INF-01 Annual Index of Administrative Directives and Informational Letters for 1995

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- 1996 LCM-110 FY 1996/97 Maximum State Aid Rates (MSARs) For Foster Care Programs and SED Approved Residential Schools for the Period September 1, 1996 – June 30, 1997
- 1996 LCM-108 Adult Care Facilities: Prohibition of Referrals to Certain Facilities
- 1996 LCM-107 FY 1996/97 Maximum State Aid Rates (MSAR) for Foster Care Programs and In-State Approved Private Schools for the Period July 1, 1996-August 31, 1996
- 1996 LCM-106 Competency-Based Training
- 1996 LCM-105 1996/97 Committee on Special Education (CSE) Maintenance Rates for Approved Schools Located Out-of-State
- 1996 LCM-104 Child and Adolescent Sexual Offense Medical Protocol
- 1996 LCM-103 New Address and Telephone Numbers for State MA Disability Review Team
- 1996 LCM-102 Claiming Instructions - $50 Child Support Disregard
- 1996 LCM-100 Annual Implementation Report of the Consolidated Services Plan
- 1996 LCM-99 Supplemental Security Income (SSI) Cost of Living Adjustment for Family Type Home for Adult Residents
- 1996 LCM-98 Notification of the Lack of Federal Funding for the Non-Federal Costs of ADC Type Refugees
- 1996 LCM-87 National Association of Counties Teleconference "Counties and the New Welfare Law"
- 1996 LCM-86 Federal Welfare Reform "Food Stamp Eligibility of Aliens"
- 1996 LCM-85 Lottery Intercept Program
- 1996 LCM-83 Food Stamp Impact of New Federal Welfare Law
- 1996 LCM-76 State Assumption of Local Districts' Share of Supplemental SSI Payments
- 1996 LCM-75 Local District Training CAP

- 1996 LCM-72 1995 Domestic Violence Annual Report
- 1996 LCM-63 Revised Reimbursement Ceilings for Social Services for the Period October 1, 1995 through September 30, 1996
- 1996 LCM-62 Food Stamp Error Rate for FFY 1995
- 1996 LCM-59 1996-97 Domestic Violence State Aid Rates (DVSAR) for Domestic Violence Residential Programs in New York City
- 1996 LCM-58 Update to Emergency Assistance to Families Claiming for JD/PINS
- 1996 LCM-47 TASA Client Outcomes
- 1996 CLM-45 Employees Separated from Employment Due to Domestic Violence
- 1996 LCM-29 Local District Clearances
- 1996 LCM-26 Department Report to Governor Pataki
- 1996 LCM-21 Authorizing EAF For Services Provided By Non-DSS Agencies
- 1996 LCM-17 DSS-3214 "Claims Against Households" Retention Amounts
- 1996 LCM-14 FS Error Rate/Sanction for FFY '94
- 1996 LCM-12 AFDC Error Rate/Sanction for FFY '94
- 1996 LCM-11 1996 Domestic Violence State Aid Rates (DVSAR) for Domestic Violence Residential Programs in New York State

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96-22 Provision of Aid Continuing for Food Stamp Recertification Issues

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- 1995 ADM-24 Home Visits for Public Assistance Eligibility and Continuing Eligibility
- 1995 ADM-20 Food Stamps: Stipulation and Order of Settlement in Huberman, et al. v. Espy, et al.
- 1995 ADM-15 Food Stamp Program Implications of the Mickey Leland Childhood Hunger Relief Act
- 1995 ADM-09 PA Budgeting: The Treatment of New York State Disability Benefits
- 1995 ADM-08 Food Stamps: Selection of Head of Household for Employment Purposes
- 1995 ADM-05 SSI Case Correction Procedure: Use of Form SSA-3911 (Cancels 79-ADM-72)
- 1995 ADM-04 Public Assistance and Medical Assistance Benefits for Infants Residing with their Incarcerated Mothers
- 1995 ADM-01 National Voter Registration Act

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- 1995 INF-46 Revision of Client Information Books
- 1995 INF-45 Digest of Laws of 1995 Relating to Social Services
- 1995 INF-41 Food Stamps: Clarification of Treatment of Earned Income Tax Credit (EITC) as a Resource and Introduction of EITC Desk Chart
- 1995 INF-40 Food Stamps Expedited Authorization Report (WINR 3195 Expedited Food Stamps)
- 1995 INF-39 Revision of "How to Apply for Food Stamps If You Are Also Applying For SSI/Are Getting SSI/Are Living In A Group Home…" Booklet (DSS-3035A)
- 1995 INF-35 Food Stamps: SSI or SSDI When Disability is Based on Drug Addition or Alcoholism
- 1995 INF-33 PA Budgeting: Self-Employment Income
- 1995 INF-31 Frequent Reapplications for Emergency Home Relief
- 1995 INF-30 Clarification of the Treatment of Americorps Awards
- 1995 INF-28 Economic Security Forms Implemented, Revised or Made Obsolete in 1994
- 1995 INF-27 Federal OSHA Regulations on Blood Borne Pathogens
- 1995 INF-24 Revision of "Action Taken On Your Request For Assistance To Meet An Immediate Need Or A Special Allowance" (DSS-4002) (Rev. 9/94)
- 1995 INF-22 FS: Students on Meal Programs and Eligibility of Students Campus Housing
- 1995 INF-20 The Family Protection and Domestic Violence Intervention Act
- 1995 INF-19 Quarterly Reporting System (QRS) Questions and Answers
- 1995 INF-12 Treatment of Americorps Awards
- 1995 INF-05 Direct Shelter and Child Care Payments
- 1995 INF-04 Revision of "Determination of Eligibility For Emergency Assistance To Families (EAF)" (DSS-4403) (Rev. 10/94)
- 1995 INF-01 Annual Index of Administrative Directives, Informational Letters and Manual Bulletins for 1994

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- 1995 LCM-138 Emergency Assistance to Families Claiming for JD/PINS
- 1995 LCM-132 HR Requirements to Sign Agreement and Assignment Forms
- 1995 LCM-129 Reimbursement Ceilings for Social Services for the Period of October 1, 1995 through September 30, 1996
- 1995 LCM-128 Additional Office of Administrative Hearings Staff Chargeback
- 1995 LCM-126 ADC Minors – Living Arrangements
- 1995 LCM-123 SFY 1995/96 Preventive Services MOE Amounts for Social Services Districts Spending Under the Family and Children's Services Block Grant
- 1995 LCM-114 National Voter Registration Act
- 1995 LCM-108 Family and Children's Services Block Grant
- 1995 LCM-104 Paper Reduction/Reports Survey
- 1995 LCM-94 Questions and Answers from the May 3, 1995 Teleconference on Changes to the Local District Claiming Schedules
- 1995 LCM-88 Claiming Instructions for the Finger Imaging Demonstration Project
- 1995 LCM-78 Treatment of Student Grants When Determining the Need for Supportive Services
- 1995 LCM-75 Announcement of the Availability of Federal Funds for "Changing the Culture of Welfare" Demonstration Projects
- 1995 LCM-66 1995 Domestic Violence State Aid Rates (DVSAR) for Domestic Violence Residential Programs In New York State
- 1995 LCM-58 1994 Domestic Violence Annual Report
- 1995 LCM-54 Emergency Assistance to Families (EAF) for Division for Youth Placements
- 1995 LCM-42 Updated Information Concerning Velasquez v. Bane
- 1995 LCM-17 Food Stamp Computer Assisted Instruction (CAI)
- 1995 LCM-09 Interim Assistance Reimbursement for New Drug/Alcohol SSI Recipients
- 1995 LCM-07 Report of District Organization and Operation – Staffing Schedule (Form DSS-1023)
- 1995 LCM-03 National Voter Registration Act

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95-28 Home Hearings Pursuant to Varshavsky v. Perales (Issue Code 900)

95-25 New Issue Code: 994 McMahon v. Dowling Disabled Adult Children (DAC)

95-14 Phone Numbers to Request Fair Hearings

95-06 Transmittal of FH Decisions

95-04 Issue Code 997 Agency Field NYS6

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- 1994 ADM-20 Preventing Homelessness and Providing Assistance to Homeless Persons

- 1994 ADM-17 Treatment of Personal Needs Allowance of Institutional Persons and Disposition Upon Death
- 1994 ADM-15 Food Stamps: Expansion of Definition of Food Stamp Eligibles Who Reside in Certain Group Living Arrangements
- 1994 ADM-13 Deeming of Parental Income to SSI-Related Children
- 1994 ADM-11 Domestic Violence: Eligibility and Payment for Residential Services for Victims
- 1994 ADM-10 Budgeting of a Home Relief (HR) Case When a Legally Responsible Relative in Receipt of Supplemental Social Security Income (SSI) is in the Household (Rice vs. Perales)
- 1994 ADM-05 Writing Off Uncollectible Amounts from Closed Cases
- 1994 ADM-01 Interim Assistance Reimbursement (IAR) for Non-IV-E Child Welfare (CW) Benefits

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- 1994 INF-58 FS: Medical Deductions Clarification
- 1994 INF-54 Revision to ABEL Input Sheet (DSS-3570A)
- 1994 INF-46 Digest of Laws of 1994 Relating to Social Services
- 1994 INF-45 PA Budgeting and Case Category for Joint Custody Cases
- 1994 INF-44 Revision of Mandatory Client Notice "Repayment of Interim Assistance Notice" (DSS-2425)
- 1994 INF-43 Obsoletion of Food Stamp Client Notices DSS-4050, DSS-4051 and DSS-4052
- 1994 INF-40 Microenterprises and Public Assistance Recipients
- 1994 INF-39 Revision of DSS-4398: "WMS Non-Services Code Cards" (April 1994 Update) (Upstate Only)
- 1994 INF-38 Revision of "Shelter Verification" Form (DSS-3668)
- 1994 INF-37 Revision of "Life Insurance Information Request – Prudential and Metropolitan" Form (DSS-1410)
- 1994 INF-36 Revised "Programmatic Action Regulations Guide" DSS-4168
- 1994 INF-35 Obsoletion of the 7/91 (Brown) Upstate Version of the "Recertification For PA, MA, FS" (DSS-3174) and the 7/91 (Brown) Upstate Version of the "How To Complete…Recertification" (Pub. 1313)
- 1994 INF-34 Introduction of New Informational Book DSS-3035A to Accompany the DSS-3035/DSS-3035 NYC "Food Stamp Application for SSI Recipients and Group Living Residents"
- 1994 INF-33 Expedited Food Stamps
- 1994 INF-32 Revision of "Determination of Eligibility for Emergency Assistance to Families (EAF)" (DSS-4403) (Rev. 5/94)
- 1994 INF-29 Food Stamps: Child and Adult Care Food Program Payments
- 1994 INF-27 Economic Security Forms Implemented, Revised or Made Obsolete in 1993
- 1994 INF-23 Food Stamps: Deduction for Recurring Medical Expenses
- 1994 INF-22 Obsoletion of "Right To Claim Good Cause For Refusal To Cooperate With Child Support Enforcement (Second Notice)" (DSS-4280)
- 1994 INF-16 Food Stamps Clarification of Effective Date of a Timely Adverse Action Notice
- 1994 INF-11 Intentional Program Violation (IPV) – Regional Meetings Questions and Answers
- 1994 INF-10 Food Stamps: Waiver of In-Office Interviews for Certain Applicants
- 1994 INF-09 Limitations on HR for New Residents – Standards of Payment Update
- 1994 INF-05 Revision of Public Assistance ABEL Budget Narrative (DSS-3951) (Rev. 11/93)
- 1994 INF-04 Revision of Food Stamp Application for SSI Recipients and Group Living Residents (DSS-3035) and (DSS-3035 NYC) (Rev. 9/93)
- 1994 INF-03 The 1994 Earned Income Credit Campaign
- 1994 INF-01 Annual Index of Administrative Directives, Informational Letters and Manual Bulletins for 1993

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- 1994 LCM-155 Reimbursement Ceilings for Social Services for the Period of October 1, 1994 through September 30, 1995
- 1994 LCM-153 Domestic Violence: Questions and Answers
- 1994 LCM-148 National Voter Registration Act Supplies
- 1994 LCM-145 Tax Refund Offset Process – 1995 General Instructions
- 1994 LCM-133 Front End Detection System Teleconference Issues
- 1994 LCM-129 Food Stamp Data Analysis Report with Upstate Case Detail for 10/1/92 through 9/30/93
- 1994 LCM-119 AFDC Error Rate/Sanction for FFY '91
- 1994 LCM-106 Providing Local District Job Opportunities for Public Assistance Applicants/Recipients
- 1994 LCM-104 National Voter Registration Act
- 1994 LCM-91 Teleconference on Front End Detection System – September 30, 1994
- 1994 LCM-81 Velasquez Injunction Required Action with Respect to Poverty Level Respondents
- 1994 LCM-70 1994 Domestic Violence State Aid Rates (DSVAR) for Domestic Violence Residential Programs in New York State
- 1994 LCM-54 Three Informational Brochures
- 1994 LCM-53 Implementation of Emergency Assistance to Families (EAF) in Child Welfare
- 1994 LCM-50 1993 Domestic Violence Annual Report
- 1994 LCM-41 Teenage Custodial Parents
- 1994 LCM-29 Food Stamp Employment and Training (FSE&T) Work Experience Programs
- 1994 LCM-15 Reimbursement Ceilings for Social Services for the Period October 1, 1993 through September 30, 1994
- 1994 LCM-05 RFI High Risk Report

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- 1993 ADM-39 Use of EAF for the Provision of Services Revised September 30, 1996
- 1993 ADM-38 Public Assistance Category Clarification
- 1993 ADM-35 Shelter Arrears Payments Above Shelter Maximums for Recipients of Aid to Dependent Children and Home Relief and Revised Method of Calculating Income Under Emergency Home Relief
- 1993 ADM-34 Foster Care and Adoption Assistance: Eligibility Under Title IV-E, Emergency Assistance for Families (EAF), and the Supplemental Security Income Program (SSI)
- 1993 ADM-33 Filing Unit: Adding Persons Reported or Found to Be in The Household
- 1993 ADM-31 Filing Unit: Social Security Benefits Received by Children and Their Parents
- 1993 ADM-26 Social Services District Implications of Chapter 713 of the Laws of 1981 (Home Energy Fair Practices Act)
- 1993 ADM-24 Model Contract for Local Purchase of Residential Domestic Violence Services Agreements
- 1993 ADM-21 Utilization of Veteran's Benefits as a Resource
- 1993 ADM-20 DSS-2642 Documentation Requirements
- 1993 ADM-14 New Procedures for Eligibility Workers Regarding Inquiry of Third Party Resources
- 1993 ADM-13 Public Assistance Alien Sponsor Deeming Modified by the Minino and Ruiz vs. Perales Decision
- 1993 ADM-10 Public Assistance Consolidated Policy on Securing Housing
- 1993-ADM-09 Quarterly Reporting System (QRS)
- 1993 ADM-08 Disqualifications for Intentional Program Violations

- 1993 ADM-07 PA and MA Eligibility: Changes in ADC-U Eligibility Requirements
- 1993 ADM-05 Public Assistance Eligibility: Retroactive Payment Process (DeAllaume vs. Perales)
- 1993 ADM-04 Furnishing of Social Security Numbers as a Condition of Public Assistance Household Eligibility
- 1993 ADM-02 Public Housing: Changes to Shelter Allowances

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- 1993 INF-49 Digest of Laws of 1993 Relating to Social Services
- 1993 INF-45 Revisions to Mandatory Client Notices
- 1993 INF-42 Revision of Follow-Up to the Quarterly Reports (DSS-4310A and DSS-4310A NYC)
- 1993 INF-40 Revision of Certification Guide (DSS-3570)
- 1993 INF-34 Department of Housing and Community Renewal (DHCR) Regulation on Security Agreements in Public Housing
- 1993 INF-30 Social Security Enumeration
- 1993 INF-29 Restaurant Allowances When Three Meals Are Provided
- 1993 INF-28 Revision to ABEL Input Sheet (DSS-3570A)
- 1993 INF-27 Revision of "Food Stamp Change Report Form" (DSS-3151) Rev. 3/93
- 1993 INF-26 Revision of Client Information Books: DSS-4148A – "What You Should Know About Your Rights and Responsibilities (When Applying For or Receiving Social Services)"; DSS-4148B – "What You Should Know About Social Services Programs"; DSS-4148C – "What You Should Know If You Have An Emergency"
- 1993 INF-25 Revision of DSS-4398: "WMS Non-Services Code Cards" (December, 1992 Update)
- 1993 INF-23 Revision of Mandated "Public Assistance Recertification – Medical Assistance Status" (DSS-2114)
- 1993 INF-22 Economic Security Forms Implemented, Revised or Made Obsolete in 1992
- 1993 INF-18 Clarification of Lump Sum Policy for Retroactive SSI Recipients
- 1993 INF-11 PA Cost Containment: Implementation of Certain Provisions of Chapter 41 of the Laws of 1992 – Questions and Answers
- 1993 INF-10 Electronic Benefit Issuance and Control System (EBICS) Questions and Answers
- 1993 INF-09 The 1993 Earned Income Credit Campaign
- 1993 INF-08 Food Stamp Income Exclusions: National Community Service Act Funds
- 1993 INF-07 Revision of Applications (DSS-2921 and DSS-2921 NYC) and Accompanying "How to Complete" Publications (PUB. 1301 and PUB. 1301 NYC) and "Declaration of Citizenship/ Immigration Status" Form (DSS-4060)
- 1993 INF-03 Questions and Answers from May 21, 1992 Teleconference on Immediate Needs
- 1993 INF-02 Revision of "Shelter Verification" Form (DSS-3668)
- 1993 INF-01 Annual Index of Administrative Directives, Informational Letters and Manual Bulletins for 1992

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- 1993 LCM-158 Treatment of Accelerated Life Insurance Payments
- 1993 LCM-150 Clarification to 93 LCM-26, dated March 22, 1993, on HIV/AIDS Confidentiality
- 1993 LCM-147 Food Stamp Provisions of the 1993 Omnibus Budget Reconciliation Act: Mickey Leland Childhood Hunger Relief Act
- 1993 LCM-141 Social Services Block Grant Report and IV-B Plan for 1993 – 1997
- 1993 LCM-138 Fraud Prevention Booklet
- 1993 LCM-136 1993 Domestic Violence State Aid Rates (DVSAR) for Domestic Violence Residential Programs in New York State
- 1993 LCM-132 1993 Forms Survey
- 1993 LCM-127 National Voter Registration Act of 1993 ("Motor Voter")
- 1993 LCM-124 1992 Domestic Violence Annual Report
- 1993 LCM-120 Food Stamp Nutrition Education
- 1993 LCM-99 Providing Food Stamp and ADC Program Participation Information to Local School Authorities
- 1993 LCM-65 Reimbursement Ceilings for Social Services for the Period October 1, 1992 through September 30, 1993
- 1993 LCM-58 Food Stamp Data Analysis Report for 10/1/91 through 9/30/92
- 1993 LCM-20 Food Stamp Reinvestment Plan
- 1993 LCM-14 Food Stamp Sanction Settlement
- 1993 LCM-04 Lashieka Jackson vs. Perales: The Effect of the Filing Unit of the Lifting of The Jackson Injunction (83 ADM-7)

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- 1992 ADM-47 HEAP and Eligibility for the Food Stamp Standard Utility Allowances (SUA's)
- 1992 ADM-46 Shelters for Families: Pre-Approval Process
- 1992 ADM-44 Transfer of Resources: Changes in the Method of Calculating Transfer Penalty Periods
- 1992 ADM-43 Disregard of Loans for ADC Public Assistance Cases
- 1992 ADM-42 Public Assistance Budgeting: Treatment of Adoption Subsidies
- 1992 ADM-41 Quality Control Reviews: Penalty for Non-Cooperation
- 1992 ADM-40 Pursuing Support for Home Relief Applicants/ Recipients and Revision of Related Forms (DSS-4279 and DSS-4280)
- 1992 ADM-39 Reimbursement Ceilings for Social Services for the Period October 1, 1991 through September 30, 1992
- 1992 ADM-37 Home Relief (HR) Plan of Self-Support
- 1992 ADM-33 Front End Detection Systems (FEDS)
- 1992 ADM-31 Filing Unit Rules for Sanctioned Persons
- 1992 ADM-30 PA Budgeting: Prorating Grants According to Date Specific Eligibility Rules
- 1992 ADM-26 PA Cost Containment Implementation of Certain Provisions of Chapter 41 of the Laws of 1992
- 1992 ADM-22 Required Corrective Action Plan
- 1992 ADM-20 PA Budgeting: Income of Sanctioned Public Assistance Recipients
- 1992 ADM-19 Absent Parent/Legally Responsible Relative Subrogation
- 1992 ADM-17 PA Budgeting: Lump Sum Notification Procedures
- 1992 ADM-10 Requirement for Declaration of Citizenship/ Immigration Status – Change in Food Stamp Requirement
- 1992 ADM-09 Food Stamps: Income Exclusion for Homeless Households in Transitional Housing
- 1992 ADM-07 Treatment of Lump Sum Workers' Compensation Awards
- 1992 ADM-06 Treatment of Earned Income Tax Credit (EITC)
- 1992 ADM-03 Food Stamps: Failure to Comply with Employment and Training Requirements (Non-Head of Household)

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- 1992 INF-57 Revised "Programmatic Action Regulations Guide" DSS-4168
- 1992 INF-53 Digest of Laws of 1992 Relating to Social Services
- 1992 INF-49 Introduction of Combined Recertification Form (DSS-3174) and Accompanying "How To Complete" Publication (Pub. 1313)
- 1992 INF-48 Home Relief Drug/Alcohol Abuse Sanctions – Questions and Answers
- 1992 INF-45 PA, MA, and FS Treatment of Escrow Accounts Under the Family Self-Sufficiency (FSS) Program Administered by the Department of Housing and Urban Development (HUD)

• 1992 INF-42 Revision of Continuous Pinfeed Version of "Notice of Intent to Change Benefits: PA, FS, MA Coverage and Services (Timely and Adequate)" (DSS-4015C)

• 1992 INF-39 Revision to ABEL Input Sheet (DSS-3570A)

• 1992 INF-38 Revision of Two Food Stamp ABEL Budget Narratives (DSS-3959 and DSS-3961)

• 1992 INF-34 Revision to Mandatory Client Notices

• 1992 INF-31 Revision of "Shelter Verification" Form (DSS-3668)

• 1992 INF-30 Revision of Mandated "Public Assistance Recertification – Medical Assistance Status" (DSS-2114)

• 1992 INF-28 Income Maintenance Forms Implemented, Revised or Made Obsolete in 1991

• 1992 INF-24 Revision of Certification Guide (DSS-3570)

• 1992 INF-22 Food Stamp Program: Processing of and Revisions to Form DSS-2291 (Rev. 10/91), Statement of Non-Receipt of Food Stamp Benefits

• 1992 INF-21 Verification of Social Security Numbers

• 1992 INF-17 Revision to Budget Worksheet – Public Assistance (DSS-548) (Rev. 12/91)

• 1992 INF-16 Food Stamp Treatment of Payments to Qualified Organizations serving as Representative Payees in the SSI Program

• 1992 INF-12 The 1992 Earned Income Credit Campaign

• 1992 INF-09 Verifying Eligibility: Special Determinations for Public Assistance Cases

• 1992 INF-08 Public Assistance Paternity Acknowledgment

• 1992 INF-07 Verification of Information Maintained by Banks and Financial Institutions

• 1992 INF-06 Revision to Budget Worksheet – Public Assistance (DSS-548) (Rev. 12/91)

• 1992 INF-03 Revision of Continuous Pinfeed Version of "Notice of Intent to Change Benefits: PA, FS, MA Coverage and Services (Timely and Adequate)" (DSS-4015C)

• 1992 INF-02 Revision to ABEL Input Sheet (DS-3570A)

• 1992 INF-01 Annual Index of Administrative Directives, Informational Letters and Manual Bulletins for 1991

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• 1992 LCM-197 Review of Local Rules, Regulations and Procedures 18NYCRR 300.6 – New Contact Person

• 1992 LCM-193 Tax Refund Offset Process – 1993 General Instructions

• 1992 LCM-182 Supplemental Security Income (SSI) Cost of Living Adjustment for Family Type Home for Adults Residents

• 1992 LCM-175 Quarterly Client Contact

• 1992 LCM-149 NYPWA Committee Meetings: Distribution of Materials

• 1992 LCM-140 Final AFDC Data Analysis Report for 10/1/90 Through 9/30/91

• 1992 LCM-122 Electronic Benefit Issuance and Control System (EBICS) PIN Security Requirements

• 1992 LCM-116 Client Informational Material – Forty Five Day Waiting Period for Home Relief Applicants

• 1992 LCM-112 Recovery of Security Deposits from Landlords

• 1992 LCM-106 Providing Food Stamp and ADC Program Participation Information to Local School Authorities

• 1992 LCM-104 Domestic Violence: Questions and Answers

• 1992 LCM-103 1991 Domestic Violence Annual Report

• 1992 LCM-93 Resource File Integration

• 1992 LCM-59 Twelve Month Food Stamp Data Analysis Report with Upstate Case Detail for 10/1/90 through 9/30/91

• 1992 LCM-34 1992 Domestic Violence State Aid Rates (DVSAR) for Domestic Violence Residential Programs in New York State

• 1992 LCM-24 Photo ID's – CBIC Cards EMEVS Processing

• 1992 LCM-22 Photo ID Requirements for AFSI Phase III (EBICS)

• 1992 LCM-06 Non-Residential Services for Victims of Domestic Violence

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• 1991 ADM-49 Reimbursement for Burials

• 1991 ADM-45 Recovery/Recoupment of Security Deposits or Security Agreements, Finders'/Brokers' Fees and Moving Expenses Due to Non-Payment of Rent

• 1991 ADM-44 Changes to Home Relief (HR/PG-ADC) Drug Alcohol Rehabilitation Requirements

• 1991 ADM-43 Emergency Home Relief Income Eligibility Criteria and Other Changes to the Emergency Home Relief Program

• 1991 ADM-41 Food Stamps: Replacement of Coupons and Food

• 1991 ADM-25 Section 8: Amendment to Department Regulation 352.3(d) and the Effect of PA Households in Section 8 Rent Subsidy programs

• 1991 ADM-23 Treatment of German Reparation Payments Received by Institutionalized Individuals

• 1991 ADM-09 Cancellation of Outdated or Duplicative Administrative Directives

• 1991 ADM-06 PA and FS Disregards: Treatment of Agent Orange Payments

• 1991 ADM-03 Fuel Allowances for Dependent Children Residing with Self-Maintaining Non-Legally Responsible Caretakers (McMullen vs. Perales, et al.)

• 1991 ADM-01 Public Assistance Shelter and Fuel Needs for Children in Foster Care

INFs

• 1991 INF-69 Revision of Applications and Recertification Application (DSS-2921, DSS-2921(NYC), DSS-3174), Accompanying "How To Complete" Publications (Pub.1301, Pub. 1301(NYC), Pub 1313) and "Declaration of Citizenship/Immigration Status" Form (DSS-4060)

• 1991 INF-68 Food Stamps: MA Referral of Persons Termed Disabled to Local District FS Staff

• 1991 INF-66 Public Assistance Sewer, Water and Garbage Charges

• 1991 INF-65 Revision to Public Assistance ABEL Budget Narratives (DSS-3951, DSS-3952, DSS-3953, DSS-3954) (Rev. 10/91)

• 1991 INF-64 Digest of Laws of 1991 Relating to Social Services

• 1991 INF-60 Revision of Client Information Books: DSS-4148A – "What You Should Know About Your Rights and Responsibilities (When Applying for or Receiving Social Services)" DSS-4148B – "What You Should Know About Social Services Programs" DSS-4148C – "What You Should Know If You Have An Emergency"

• 1991 INF-58 Clarification of Policy on Income-Producing Property

• 1991 INF-57 Revisions to Mandatory Client Notices

• 1991 INF-56 Fair Hearings – Compliance with Favorable Decisions

• 1991 INF-51 Revised "Programmatic Action Regulations Guide" DSS-4168

• 1991 INF-49 Food Stamps Quality Control Alert: Failure to Budget Correct Shelter Costs for Households Reporting Changes in HUD Rent Subsidies

• 1991 INF-48 Food Stamps Policy Clarification Regarding Aged, Blind or Disabled Aliens Under the Immigration and Nationality Act (INA)

• 1991 INF-47 Food Stamps Quality Control Alert

• 1991 INF-41 Use of Uniform Terms and Names

• 1991-INF-38 Food Stamps: SSI/Food Stamp Joint Processing Procedures

• 1991 INF-35 Clarification of Requirements to Provide Client Information Books (DSS-4148A – "What You Should Know About Your Rights and Responsibilities (When Applying for or Receiving Social Services)" and DSS-4148B – "What You Should Know About Social Services Programs")

• 1991 INF-25 Resources File Integration

• 1991 INF-24 Reduction of Certain Institutionalized Veterans' Pension Benefits

• 1991 INF-20 The 1991 Earned Income Credit Campaign

• 1991 INF-19 Revision of Applications and Recertification Application (DSS-2921, DSS-2921(NYC), DSS-3174) and Accompanying "How To Complete" Publications (Pub. 1301, Pub. 1301(NYC), Pub. 1313)

• 1991 INF-18 Unemployment Insurance Benefits (UIB): Permanent Provision to Extend Benefits to UIB Claimants in Training

• 1991 INF-17 Digest of Laws of 1990 Relating to Social Services

• 1991 INF-16 Income Maintenance Forms Implemented, Revised or Made Obsolete in 1990

• 1991 INF-15 Revision of "Bank Inquiry and Clearance Report" (DSS-760) (Rev.1/91)

• 1991 INF-12 Filing Unit: A Non-Legally Responsible Caretaker Relative and a Natural Parent Reside with A Minor Dependent Child

• 1991 INF-10 Introduction of Continuous Pinfeed Version of "Notice of Intent to Change Benefits: PA, FS, MA Coverage and Services (Timely and Adequate)" (DSS-4015C)

• 1991 INF-07 Monthly Reporting Retrospective Budgeting (MRRB): Prospective Budgeting

• 1991 INF-06 Food Stamps: Treatment of Federal Pension Income

• 1991 INF-04 Unemployment Insurance Benefits (UIB): Permanent

• 1991 INF-03 1990 Income Maintenance Regional Meetings Questions and Answers

• 1991 INF-01 Annual Index of Administrative Directives, Informational Letters and Manual Bulletins for 1990

LCMs

• 1991 LCM-218 Individual Categorical Code 04 Military Service of Parent

• 1991 LCM-212 Domestic Violence Maintenance Grants

• 1991 LCM-210 Declaration of Citizenship/Alien Status

• 1991 LCM-181 Payment of Child Care for Employed Caretaker Relatives Prior to the Application of the Child Care Disregard

• 1991 LCM-149 Domestic Violence, Provisions of Chapter 53 of the Laws of 1991

• 1991 LCM-139 Preventive Housing Grants

• 1991 LCM-129 Recovery of Security Deposits from Landlords

• 1991 LCM-122 Energy Reconciliation Procedures

• 1991 LCM-91 DSS-3214 "Claims Against Households" Retention Amounts

• 1991 LCM-80 Increase in Federal Minimum Wage Level

• 1991 LCM-79 Child Support Pass-Throughs

• 1991 LCM-60 Disclosure of Information to the U.S. Department of Veterans' Affairs

• 1991 LCM-48 Filed Regulation 352.22(o) Filed Regulation 352.22(v) Filed Regulation 504.5

• 1991 LCM-46 Section 8: Proposed Change to Department Regulation 352.3(d) to Establish a Shelter Schedule for Public Assistance Tenants Who Participate In The Section 8 Certificate Program

• 1991 LCM-45 Education for Homeless Children

• 1991 LCM-32 Federal Reimbursement for Cash/Medical Assistance and Administration Under the Refugee/Entrant Assistance Program(R/EAP) for FFY 91

• 1991 LCM-28 Filed Regulation 352.22(x)

• 1991 LCM-19 Information on High School Diploma Programs

• 1991 LCM-17 Fair Hearing Chargebacks

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• 1990 ADM-45 Emergency Assistance for Adults (EAA) – Permanent Authorization

• 1990 ADM-44 Recipient Restriction Program Policy Changes

• 1990 ADM-41 New Client Informational Books: DSS-4148A – "What You Should Know About Your Rights and Responsibilities (When Applying for or Receiving Social Services)" and DSS-4148B – "What you Should Know About Social Services Programs"

• 1990 ADM-39 Revision of Public Assistance "Undue Hardship" Policy

• 1990 ADM-38 Public Service Commission Regulations Regarding Certain Private Waterworks Corporations

• 1990 ADM-23 Food Stamps: Changes in Social Security Number Policy

• 1990 ADM-22 Reimbursement Ceilings for Social Services for the Period October 1, 1989 Through September 30, 1990

• 1990 ADM-19 Preventive Services/Housing Services: Chapter 542 of the Laws of 1988

• 1990 ADM-18 ADC and Food Stamps for Individuals in Congregate Care Facilities

• 1990 ADM-17 Mandatory Notice: Disability Determinations

• 1990 ADM-15 Cancellation of Outdated or Duplicative Administrative Directives

• 1990 ADM-13 Eligibility Criteria for Emergency Home Relief

• 1990 ADM-08 Emergency Shelter Allowances for Persons with AIDS or HIV-Related Illness Faced with Homelessness

• 1990 ADM-05 Claiming Burial Expenses Under the Aid to Dependent Children(ADC) Program

• 1990 ADM-03 Changes in Earned Income Disregards: Amendments to Department Regulations

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• 1990 INF-67 Revision of "Emergency Assistance For Adults Applicant Statement" (DSS-2921A)

• 1990 INF-66 Revision of "Documentation/Verification Desk Aid" (DSS-3666)

• 1990 INF-65 Revision of Client Information Books: DSS4148A – "What You Should Know About Your Rights and Responsibilities (When Applying for or Receiving Social Services)" and DSS-4148B – "What You Should Know About Social Services Programs"

• 1990 INF-62 Errata 90 INF-62 (Revisions to DSS-3825 and Cancellation of DSS-3824), Transmittal Date November 9, 1990

• 1990 INF-57 Revisions to Mandatory Client Notices

• 1990 INF-53 Errata 90 INF-53 (Food Stamp; Complaint Procedures/Requirements) transmittal Date October 1, 1990

• 1990 INF-52 Grants of Assistance to Guide Dogs: Elimination of Quarterly Report (DS-3094)

• 1990 INF-49 Revision of "ADC-U Screening Checklist" (DSS-2502)

• 1990 INF-47 Food Stamp Notice for October 1, 1990 Food Stamp Changes

• 1990 INF-46 Revision of "Emergency Assistance For Adults Applicant Statement" (DSS-2921A)

• 1990 INF-40 Revision of "Documentation Requirements" (DSS-2642)

• 1990 INF-39 Incapacity as an ADC Deprivation Factor

• 1990 INF-34 Revision to Monthly Reporting Data Mailers (DSS-3469; DSS-3469A) and Monthly Reporting Instructions (DSS-3469B; DSS-3469B(S))

• 1990 INF-33 Treatment of Income from Certain Seniors Citizen Programs for Public Assistance, Medical Assistance and Food Stamps

• 1990 INF-32 Revisions to Applications (DSS-2921 and DSS-2921 (NYC))

• 1990 INF-31 The Earned Income Credit Campaign

• 1990 INF-30 Home Relief (HR) Job Search Program Questions and Answers

• 1990 INF-27 Revision of "School Attendance Verification" Form (DSS-3708)

• 1990 INF-26 Food Stamp Program: Revision of Replacement Claim Statement – Food Stamp Program (DSS-2291, Rev. 1/90)

• 1990 INF-25 Revision of "Shelter Verification" Form (DSS-3668)

- 1990 INF-22 SSI: Budgeting of Income for Persons Living with ADC Households
- 1990 INF-21 Revisions to Recertification Application (DSS-3174) and its Companion Publication (PUB-1313)
- 1990 INF-18 Food Stamps: Medicare Part B Premiums as a Medical Deduction
- 1990 INF-17 Revision of Recertification Guide (DSS-3608)
- 1990 INF-13 Revision of "Declaration of Citizenship/Alien Status" form (DSS-4060)
- 1990 INF-08 "Programmatic Action Regulations Guide" DSS-4168
- 1990 INF-06 Food Stamp Certification of Self Employed Farmers
- 1990 INF-05 Revision to Food Stamp ABEL Budget Narratives (DSS-3959, DSS-3960, DSS-3961
- 1990 INF-04 Food Stamp Program Treatment of Child Care Food Program (CCFP) Payments
- 1990 INF-03 Revisions to Applications and Recertification Forms and Their Companion Publications (DSS-2921, 2921(S), 2921(NYC), 2921(NYC)(S), 3174, 3174(S); PUB-1301, 1301(S), 1301(NYC), 1301(NYC)(S), 1313 and 1313(S))
- 1990 INF-02 Public Assistance Security Deposits
- 1990 INF-01 Annual Index of Administrative Directives, Informational Letters and Manual Bulletins for 1989

LCMs

- 1990 LCM-182 Revised SDX (SSI) Instructions
- 1990 LCM-181 Filed Regulation 352.30(F)
- 1990 LCM-169 Training Fees Increase
- 1990 LCM-168 Local district IV-D Cooperative Agreements
- 1990 LCM-165 Federal Lobbying Act-Certification Requirements
- 1990 LCM-157 Welfare Reform Conference
- 1990 LCM-155 Burials – Itemized Billing
- 1990 LCM-153 Domestic Violence Maintenance Grants
- 1990 LCM-135 PA Closing Reports for Notification of Transitional Child Care Benefits
- 1990 LCM-132 Teenage Services Act (TASA)
- 1990 LCM-131 Case Management Reimbursement for AIDS
- 1990 LCM-77 "Face Facts" Family Violence Prevention Campaign
- 1990 LCM-73 AFDC Transitional Housing Demonstration
- 1990 LCM-54 Teenage Services Act Evaluation
- 1990 LCM-42 Earned Income Credit Campaign
- 1990 LCM-41 PG-ADC Case Count Procedures
- 1990 LCM-39 Unapplied/Undistributed Support Collections
- 1990 LCM-37 Effect of the Computer Matching and Privacy Protection Act of 1988 on Automated SDX/WMS Interface (ASWI) Processing
- 1990 LCM-27 Income and Resource Collection Subsystems (IRCS)
- 1990 LCM-22 Child Support Enforcement Referral for Title IV-E Foster Care Cases
- 1990 LCM-18 Lump Sum Retroactive SSI and RSDI Payments
- 1990 LCM-14 PG-ADC Adult Only Cases and Good Cause Claims
- 1990 LCM-13 Aliens and Public Assistance Applications

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- 1989 ADM-52 Cancellation of Outdated or Duplicative Administrative Directives
- 1989 ADM-50 New Regulatory Requirements Regarding Eligibility Mailout Questionnaires
- 1989 ADM-44 Home Relief Job Search Program
- 1989 ADM-41 Change of Policy Concerning Public Assistance Budgeting of Income From Roomers and Room and Boarders (Boarder/Lodger Income)
- 1989 ADM-25 Elimination of the Six Month Hotel and Motel Waiver Process
- 1989 ADM-21 Mandatory Client Notices (Public Assistance, Food Stamps, Medical Assistance)
- 1989 ADM-16 Cancellation of Outdated or Duplicative Administrative Directives
- 1989 ADM-12 Transmitting Applications for Social Security Numbers (SS-5s) to the Local Social Security Office
- 1989 ADM-9 Notification to Monthly Reporting Households that Return a Late Incomplete Monthly Report Form & Language for Client (Ruffin v. Perales)
- 1989 ADM-06 Public Assistance Additional Allowances Forms (DSS-3813, DSS-3814, & DSS-3815)
- 1989 ADM-02 Home Relief Cash Allowance for Persons in Public Shelters for Adults (Thrower v. Perales)

INFs

- 1989 INF-70 Food Stamps: Budgeting of Certain Student Income
- 1989 INF-61 Fire Insurance on Client Owned Property
- 1989 INF-60 Non-Public Assistance Food Stamp Notice for the Federal Cost of Living Adjustment in Social Security and Supplemental Security Income (SSI)
- 1989 INF-55 Lump Sums
- 1989 INF-54 Participation by Pregnant Women in Emergency Assistance To Families (EAF)
- 1989 INF-47 Digest of Laws of 1989 Relating to Social Services
- 1989 INF-46 Food Stamps: Application Processing for Migrant/Seasonal Farm workers
- 1989 INF-34 Food Stamp Policy Regarding Eastern Airlines Strikers
- 1989 INF-33 Page Replacements for 89 ADM-18
- 1989 INF-32 Payment of Sales Tax for Public Assistance Recipients Placed in Hotels/Motels
- 1989 INF-31 Food Stamp Household Composition: Three Generation Household and Living With Siblings
- 1989 INF-29 Revision of "MA/FS Separate Determination Input Form" (DSS-3558)
- 1989 INF-25 Incapacity as an ADC Deprivation Factor
- 1989 INF-22 SSI/SSA: Pursuit of Benefits by Certain Public Assistance Applicants/Recipients
- 1989 INF-15 SSI Referrals of Individuals Dependent on Alcohol or Drugs
- 1989 INF-14 Digest of Laws of 1988 Relating To Social Services
- 1989 INF-12 Clarification of Alien Issues
- 1989 INF-03 1988-89 Home Energy Assistance Program (HEAP) Questions and Answers
- 1989 INF-01 Annual Index of Administrative Directives, Informational Letters and Manual Bulletins for 1988

LCMs

- 1989 LCM-170 Claiming Reimbursement of Filing Fees for Court Cases

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- 1988 ADM-52 Cancellation of Outdated Administrative Directives
- 1988 ADM-46 July 1, 1988 Increases in the SSI and HR Congregate Care Level II Rates and in the PNA For SSI Recipients in Medical Facilities
- 1988 ADM-40 Exclusion of Senior Community Service Employment Program (SCSEP) Income as Food Stamp Income
- 1988 ADM-34 Cancellation of Outdated Administrative Directives
- 1988 ADM-26 Cancellation of Outdated Administrative Directives
- 1988 ADM-06 Comprehensive Employment Report (CER) DSS-3545 (Re 11/87)

• 1988 ADM-05 Cancellation of Outdated Administrative Directives

INFs

• 1988 INF-81 Clarification of Food Stamp Regional Meetings Questions and Answers

• 1988 INF-75 Burial Spaces/Plots

• 1988 INF-71 Social Security Numbers Issued Prior to January 1, 1972 for Purposes of Verifying Alien Status

• 1988 INF-68 Graduate Student Assistantships

• 1988 INF-67 Refugee/Entrant Assistance Program: Eligibility of Certain Amerasian Immigrants

• 1988 INF-64 PA/Energy Voluntary Restriction Form

• 1988 INF-58 Revision to Form DSS-2502: "ADC-U Screening Checklist" and DSS-548 "Budget Worksheet – Public Assistance"

• 1988 INF-53 Availability of Special Funding to Operate Expanded Educational and Occupational Training Programs for HR & NPA Food Stamp Recipients

• 1988 INF-50 Food Stamp Categorical Eligibility: Budgeting of Food Stamp Households Retroactively Determined Eligible for SSI

• 1988 INF-47 Amendment to Department Regulations Increasing Public Assistance Exemption of Earnings of Family Day Care Providers

• 1988 INF-45 Amendment of Department Regulation Regarding Public Assistance Exemption of Student Earnings

• 1988 INF-38 Revision to DSS-3342: "Food Stamp Program Disqualification Notice/Notice of Food Stamp Overissuance Action"

• 1988 INF-36 Revision of DSS-3153: "Continuing Your Food Stamps"

• 1988 INF-34 Food Stamp Categorical Eligibility 87 ADM-35

• 1988 INF-30 Biggs v. Lyng – Home Relief/Interim Assistance Payments

• 1988 INF-23 1988-1989 Comprehensive Employment Program (CEP) and the Food Stamp Employment and Training Program (FSET): Guidelines and Planning Documents

• 1988 INF-16 Revised DSS-3890 Comment Sheet

• 1988 INF-11 Increase in Maximum Amount of Grant Which May Be Provided Toward Purchase of an Interest In a Cooperative in a Low Cost Housing Unit

• 1988 INF-10 Revisions to the Second Monthly Reporting Mailer (DSS-3469A)

• 1988 INF-01 Annual Index of Administrative Directives, Informational Letters and Manual Bulletins for 1987

LCMs

• 1988 LCM-43 Non-Discrimination Policy Concerning HIV and AIDS

• 1988 LCM-32 Public Assistance Training Manual

• 1988 LCM-11 Transfer of Assets

• 1988 LCM-3 Filed Regulations

• 1988 LCM-1 New Communication Vehicle: Local Commissioners Memorandum (LCM)

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• 1987 ADM-52 Community Work Experience Program (CWEP) – ADC Work Experience

• 1987 ADM-28 Monthly Reporting (Jensen v. Perales)

• 1987 ADM-25 Social Security Number Validation Process

• 1987 ADM-24 Assistance for Newborns (Vega v. Perales)

• 1987 ADM-14 Special Monthly Restaurant Allowance for Pregnant Women and Children

• 1987 ADM-05 Increase in Grants of Assistance to Guide Dogs (GAGD) Program

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• 1987 INF-66 Increase in the State Supplemental Personal Needs Allowance (SSPNA)

• 1987 INF-64 Increase in Camp Fees

• 1987 INF-58 Elimination of 60 Day Limit on the Storage of Furniture and Personal Belongings Under the Emergency Assistance to Adults Program (EAA)

• 1987 INF-54 the Revision of the DSS-2425, Repayment of Interim Assistance Notice

• 1987 INF-53 SSI Related Case Closing

• 1987 INF-52 Digest of Laws of 1987 Relating to Social Services

• 1987 INF-50 Prenatal Care Act of 1987: Chapter 822 of the Laws of 1987

• 1987 INF-48 Education Services: Payment of Reduced Tuition for Vocational Training at Board of Cooperative Education Services (BOCES) Facilities

• 1987 INF-47 Literacy Volunteers of New York State. Inc.

• 1987 INF-45 Expanded Child Care Demonstration Project

• 1987 INF-42 Sales Tax on Food Stamp Purchases

• 1987 INF-40 The Amendment of Subdivision (b) of Section 372.4 of Title 18 NYCRR (EAF Property Repairs)

• 1987 INF-38 Food Stamp Client Education Video

• 1987 INF-37 Responsibility of Local Districts During the Fair Hearings Process

• 1987 INF-35 Food Stamp Eligibility for the Disabled: Press Release

• 1987 INF-32 Tax Credit Programs: Targeted Jobs Tax Credit (TJTC)

• 1987 INF-31 Energy Conservation Bank (ECB)

• 1987 INF-30 New York Telephone Life Line

• 1987 INF-29 Income Maintenance Regional Meetings: Questions and Answers

• 1987 INF-28 Adult Educational Services: Welfare Education Program (WEP), Employment Preparation Education Program (EPE), and Adult Education Act (AEA – Also Known as Adult Basic Education (ABE)

• 1987 INF-27 Job Training Partnership Act (JTPA): Remediation and Assessment Requirements Amendments for Summer Programming

• 1987 INF-26 "Food Stamp Restaurant Program for the Elderly and Disabled:" List of Authorized Restaurants

• 1987 INF-25 Job Training Partnership Act (JTPA): Schedule for Modifications of the Job Training Plan JTPA Allocations (1987-88) Changes in the JTPA Title IIB Program – (Summer Youth)

• 1987 INF-23 Job Training Partnership Act (JTPA): Title IIA Statewide Summary Report for July 1985 – June 1986

• 1987 INF-19 Clarification of Excess Income Policy

• 1987 INF-18 Food Stamp Eligibility of Certain Aliens Admitted as Permanent Residents

• 1987 INF-17 Revised Food Stamp Standard Utility Schedules

• 1987 INF-16 Reimbursement for Educationally Handicapped Children Placed in Child Care Institutions or RTFs

• 1987 INF-15 The Revision of the DSS-3021, AFDC/SSI Interagency Request Form

• 1987 INF-14 Submission of DSS-2550: Application Register (or WINR 1140 – DS-2550 Food Stamp Application Register)

• 1987 INF-12 Planning Year (PY) '86 Hard to Serve Incentive Awards

• 1987 INF-10 Jobs Created by the NYS Job Development Authority Available to Public Assistance Recipients

• 1987 INF-07 Department of Social Services/State Education Department Employment Program Initiatives

• 1987 INF-05 Interagency Agreement Between the Department of Social Services and Office of Mental Health on Discharge Planning

• 1987 INF-03 Clarification of Employability Status of ADC Caretaker Relative with Children Under Six

• 1987 INF-01 Annual Index of Administrative Directives/ Informational Letters, Manual Bulletins 1986

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- 1986 ADM-42 Retroactive Budgeting of Three Generation Households (Yates et al. v. Buscaglia et al.)
- 1986 ADM-40 Assistance to Recipients Who Move from One District to Another (Rogers v. Kramer)
- 1986 ADM-27 Retroactive Aid Category Changes
- 1986 ADM-13 Client Requested Restricted Payments
- 1986 ADM-10 Revision of PA-Employment Related Sanctioning Procedures

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- 1986 INF-47 Licensure of Home Care Services Agencies and Certification of Home Health Agencies
- 1986 INF-43 Permanency Practice Issue: Parental Involvement With Their Children and With The Supervising Agencies
- 1986 INF-41 1986 Legislation Related to Victims of Domestic Violence
- 1986 INF-37 Clarification of Certain Environmental Standards for Family Type Homes (Dept. Regulation Part 489)
- 1986 INF-34 Revised State Form DSS-1552 and Instructions
- 1986 INF-25 Home Energy Assistance Program (HEAP) Reporting Requirements of Section 6041 of the Internal Review Code (IRC)
- 1986 INF-23 WMS Homeless Indicator
- 1986 INF-18 Family-Type Homes for Adults: Responses to Questions Raised by Local Staff at Regional Technical Assistance Sessions
- 1986 INF-15 Digest of Laws of 1986 Relating to Social Services
- 1986 INF-12 Interstate Compact for the Placement of Children
- 1986 INF-11 Utilization Trends of Section 473-a of the SSL Short Term Involuntary Protective Services Orders
- 1986 INF-07 Regulations for the Shelter for Families Program
- 1986 INF-03 Parental Visiting of Children in Foster Care
- 1986 INF-02 Annual Index of Administrative Directives INF's, 1985
- 1986 INF-01 Digest of Laws of 1985 Relating to Social Services

All Commissioners Letters

06/13/86 – Availability of Non-Liquid Resources

03/18/86 – Allowance for Furniture and Supplies

03/18/86 – Increase in Visitor Allowance

03/05/86 – Recoupment of Shelter Allowances

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- 1985 ADM-49 Monthly Allowances for Supplemental Home Energy Assistance
- 1985 ADM-45 Fair Hearings to Contest Determinations of Employability
- 1985 ADM-38 Implementation in Local Social Services Districts of New and Revised Eligibility Documents for Public Assistance, Medical Assistance and Food Stamps
- 1985 ADM-31 Underwood and Morgan v. Blum – Retroactive SSI Invisibility
- 1985 ADM-24 Work Incentive Program (WIN Demonstration) and Other Changes that Affect All Districts
- 1985 ADM-17 Procedures for Implementation of Legislation Regarding Recipient Noncompliance with Employment Related Requirements (SSL § 131.5)
- 1985 ADM-09 Cooperative Cases (Danks v. Perales)
- 1985 ADM-01 Burial Trusts for SSI Recipients

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- 1985 INF-10 Case Assessment and Prioritization
- 1985 INF-02 Annual Index of Administrative Directives/ Informational Letters 1984
- 05/02/85 EIC
- 02/14/85 Public Housing
- 02/01/85 Support Pass-through

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- 1984 ADM-42 Water Allowances for PA Recipients
- 1984 ADM-39 Implementation of the Applicable Provisions of the Deficit Reduction Act of 1984 (Public Law 98-369)
- 1984 ADM-25 Fiscal Responsibility for Recipients Discharged Directly from Mental Hygiene Institutions into Community Residences Certified by the Office of Mental Health
- 1984 ADM-20 PA Recertification – MA Status Notice to Recipients
- 1984 ADM-10 Payment of the Supplemental Security Income (SSI) Rate to Home Relief (HR) Recipients in Congregate Care Facilities
- 1984 ADM-01 Exemption of JTPA Allowances

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- 1984 INF-14 Digest of Laws of 1984 Relating to Social Services
- 1984 INF-06 Changes in the Preparation of Social Security Number Applications (Form SS-5)
- 1984 INF-03 Annual Index of ADM Directives/INF Letters, 1983

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09/25/1984 Prospective Budgeting

07/02/1984 Danks v. Perales

01/17/1984 – IAR

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- 1983 ADM-67 Grazyna Pasternak v. Blum: Exemption of Graduate School Grants and Loans
- 1983 ADM-55 Stepparent Deeming (Kelly v. Perales)
- 1983 ADM-53 Clarifications of Procedures in Authorizing EAF Payments
- 1983 ADM-49 EAF Modification Confirmed by the U.S. Supreme Court in the Blum v. Bacon Litigation
- 1983 ADM-48 Changes in the Refugee Assistance Program (RAP) and Cuban/Haitian Entrant Program (CHEP)
- 1983 ADM-45 Budgeting of Individuals and Families Temporarily Housed in Hotels/Motels
- 1983 ADM-38 Determining the Standard of Need for the Gross Income Limitation
- 1983 ADM-37 Tax Equity and Fiscal Responsibility Act of 1982 (P.L. 97-248) and Chapter 548 of the Laws of 1983: Rounding Down Provision
- 1983 ADM-30 Deeming of a Stepparent's Income to PA Dependents
- 1983 ADM-25 Tax Equity and Fiscal Responsibility Act of 1982 (P.L. 97-248): Military Service No Longer Deprivation Factor for ADC
- 1983 ADM-12 Clarification of New SSI Requirement: Disclosure of Tax Return Information (82 ADM-67)
- 1983 ADM-10 Failure to Cooperate with Child support Enforcement Requirements: Vasquez v. Blum

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- 1983 INF-14 Burial Set Asides for SSI Recipients
- 1983 INF-08 Voter Registration Activities
- 1983 INF-07 Retrospective Monthly Accounting (RMA) in the Supplemental Security Income (SSI) Program
- 1983 INF-05 Annual Index of ADM Directives/INF Letters, 1982
- 1983 INF-02 Removal of the Remaining Sex Based Distinctions in Social Services Law Section 131.5; Chapter 388 of the Laws of 1983

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12/29/1983 IAR

08/15/1983 Transportation for Homeless Children

05/05/1983 Cooperation with CSEU

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• 1982 ADM-78 Allen v. Blum: Budgeting the Income of a Spouse or Parent Who Fails to Apply for Public Assistance

• 1982 ADM-75 Elimination of Proration in PA cases Affected by the Swift v. Toia Case

• 1982 ADM-74 Provision of Adequate and Timely Notice for HR Supplemented Recipients of SSI Benefits When the HR Benefit is Reduced or Discontinued

• 1982 ADM-68 Public Work Project Worksites at Religious Institutions; Atchinson et al. v. Blum

• 1982 ADM-65 Nafziger et al. v. Blum

• 1982 ADM-55 Rivers v. Schweiker et al. Federal Court Decision Regarding 10-day Interim Assistance Accounting Period and Authorization Processing Procedures.

• 1982 ADM-49 Treatment of Earned Income Credits and Further Clarification of Other Provisions Covered in 81 ADM-55

• 1982 ADM-24 Changes to the Refugee Social Services Assistance Program and the Cuban/Haitian Entrant Program (CHEP)

• 1982 ADM-17 Assignment and Subrogation (Chapter 319 Laws of 1981)

• 1982 ADM-15 Budgeting Sick Pay Benefits as Earned Income (Pennick v. Blum and Buscaglia)

• 1982 ADM-03 Folsom v. Blum: New Developments

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• 1982 INF-24 Emergency Nutrition Aid Program for Pregnant Women and Adolescents

• 1983 INF-20 Procedures for the Use of the General Information System (GIS)

• 1982 INF-19 State Supplemental Personal Needs Allowance (SSPNA) to SSI Recipients Placed in Certain Health Care Facilities Out-of-State

• 1982 INF-13 State Supplemental Personal Needs Allowance

• 1982 INF-07 Annual Index of ADM Directives/INF Letters, 1981

• 1982 INF-01 Increase in Veterans Pension Benefits for Service Connected Disability

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09/08/1982 Recoupment Rate 10%

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• 1981 ADM-67 EAF Modification Ordered by the U.S. Court of Appeals in the Bacon v. Toia Litigation

• 1981 ADM-65 U.S. District Court Settlement: Montes v. Blum, Treatment of Shelter Allowance in Rental Disputes in ADC Cases

• 1981 ADM-63 Percey v. Blum Budgeting Earned Income on Non-PA Legally Responsible ADC Caretaker Relatives

• 1981 ADM-61 Further Court Order in the Swift v. Toia Case

• 1981 ADM-56 ADC Child Care Allowance for Student Parents

• 1981 ADM-52 Public Law 97-35: Repealing the 20% Vendor Restricted Payment Limitation and the Montes v. Blum Case

• 1981 ADM-51 Freedman v. Blum Decision

• 1981 ADM-44 PA/SSI Households: Income of SSI Recipients – Further Development in the Folsom v. Blum Case

• 1981 ADM-38 U.S. Circuit Court of Appeals Decision, Hayes and Warren v. Blum, and U.S. District Court Decisions, Jamroz v. Blum and Markel v. Blum: Treatment of Educational Grants, Loans and Scholarships

• 1981 ADM-27 Riddick v. Blum Court Decision

• 1981 ADM-26 Monthly Allowances for Home, Energy Needs, Effective July 1, 1981

• 1981 ADM-25 IV-A/IV-D Interface Record Keeping in Good Cause Claims

• 1981 ADM-24 SSI/AFDC Payment Coordination Form DSS-3021

• 1981 ADM-01 Income of Illegal Aliens Ineligible for Public Assistance and Care

INFs

• 1981 INF-32 Digest of Laws of 1981 Relating to Social Services

• 1981 INF-28 New Interim Assistance Legislation Chapter 433 of Laws of 1981

• 1981 INF-14 Addendum to 1981 INF-10

• 1981 INF-12 Recently Enacted Federal Legislation Related to the Supplemental Security Income (SSI) Program

• 1981 INF-10 Clarification of Certain Issues Regarding Burial Trust for SSI Recipients

• 1981 INF-09 Allocation of WIN Medical Expenditure Funds for the Period October 1, 1979 through September 30, 1980

• 1981 INF-03 Annual Index of ADM Directives/INF Letters, 1980

• 1981 INF-02 Reallocation of WIN Services Funds for Federal Fiscal Year 1980

• 1981 INF-01 Reallocation of WIN Medical Expenditure Funds for the Period October 1, 1979 through September 30, 1980

1980

ADMs

• 1980 ADM-98 Restricted Payments in ADC Cases

• 1980 ADM-86 Cooperative Agreements and Inter-Agency Contracts with Other Local Governmental Units

• 1980 ADM-64 Tax Credit Programs

• 1980 ADM-44 Exception to procedures requiring PA applicant/recipients to reapply for SSI benefits

• 1980 ADM-42 Necessity of obtaining correct SSN for all applicant/recipients and absent parents

• 1980 ADM-11 U.S. Supreme Court Decision in the Matter of Califano v. Westcott: Extension of ADC-U Eligibility to Unemployed Mothers and Its Impact on the Work Incentive and Work Rules Programs

• 1980 ADM-4 Inter-district jurisdictional disputes

INFs

• 1980 INF-23 1981 Clients' Reporting Date Schedule – Work Referral

• 1980 INF-14 Revised DSS 1776 Work Relief Project Activity Work Sheet

• 1980 INF-10 Reallocation of WIN Services Funds for Federal Fiscal Year 1979

• 1980 INF-07 1980 Census

• 1980 INF-06 List of Records Maintained by DSS (Freedom of Information Law)

• 1980 INF-04 Allocation of WIN Medical Expenditures Funds for the Period October 1, 1978 through September 30, 1979

• 1980 INF-02 Annual Index of ADM Directives/INF Letters, 1979 All Commissioners Letter 08/20/1980 Swift v. Toia

1979

ADMs

• 1979 ADM-82 Burial Trust for SSI recipients

• 1979 ADM-02 Child Care for Employed ADC/HR Applicants/Recipients

INFs

• 1979 INF-32 Automatic Four-Month Extension of MA for Certain Families who Become Ineligible for ADC

• 1979 INF-20 Digest of Laws of 1978 Relating to Social Services

• 1979 INF-19 Revised CETA Referral/Enrollment Form

• 1979 INF-18 Reference Guide to SSI – Related IM Activities and Programs

• 1979 INF-17 Section 8 – Housing Assistance Payments (HAP) Program for Lower-Income Families

• 1979 INF-15 Revised CETA Desk Aid

• 1979 INF-14 Settlement of International Union of Electrical Workers (I.U.E.) v. Bergland Lawsuit

- 1979 INF-13 Aliens – Permanent Residence Under Color of Law
- 1979 INF-08 Emergency Assistance for Adults (EAA) – A Desk Reference Outlining Available Benefits
- 1979 INF-07 Pen and Ink Correction of '78 INF-8 (EAA Desk Reference)
- 1979 INF-05 Disregard of Per Capita Judgment Fund Paid to Indians

1978

ADMs

- 1978 ADM-95 Foran v. Dimitri – Sanctioned for Failure to Comply with Part 385 Requirements
- 1978 ADM-93 Maximum Utilization of SSI Program by Requiring ADC Applicants/Recipients Who Appear Disabled to Apply for SSI
- 1978 ADM-76 SSI/AFDC Payment Coordination Form DSS-3021
- 1978 ADM-71 Nondiscrimination-Handicapped Section 504-Rehabilitation Act of 1973
- 1978 ADM-56 Public Assistance and Care for Migratory Seasonal Farm Workers
- 1978 ADM-55 Emergency Home Relief Grants for Presumptive SSI-Eligible Persons
- 1978 ADM-48 Services to Victims of Domestic Violence: Special Care Homes as Authorized by Chapter 450 of the Laws of 1977
- 1978 ADM-26 Definition of "Appropriate Rehabilitative Program" for Purposes of Home Relief Eligibility

INFs

- 1978 INF-37 Additional Food Stamp Reimbursement
- 1978 INF-32 Automatic Four-Month Extension of MA for Certain Families Who Become Ineligible for ADC
- 1978 INF-20 Digest of Laws of 1978 Relating to Social Services
- 1978 INF-19 Eligibility for Services to Victims of Domestic Violence Programs
- 1978 INF-18 Reference Guide to SSI – Related IM Activities and Programs
- 1978 INF-17 Section 8 – Housing Assistance Payments (HAP) Program for Lower-Income Families
- 1978 INF-08 Emergency Assistance for Adults (EAA) – A Desk Reference Outlining Available Benefits

1977

ADMs

- 1977 ADM-138 Court of Appeals Decision: Lee v. Smith and Its Effect on the Home Relief Program
- 1977 ADM-134 Treatment of Educational Grants, Loans and Scholarships
- 1977 ADM-130 Federal Regulations Concerning Nondiscrimination against the Handicapped
- 1977 ADM-96 Budgeting of Applicant Who is an "Essential Person" in an Active SSI Case
- 1977 ADM-85 Congregate Care Directory
- 1977 ADM-59 Separation of Services from Income Maintenance
- 1977 ADM-56A Initial Case Review MIL Maintenance Program and Rebudgeting
- 1977 ADM-56 Minimum Income Level (MIL) Maintenance Program
- 1977 ADM-54 Emergency Assistance to Adults (EAA) – Direct Deposit Program for SSI Checks
- 1977 ADM-40 Change in Procedures Regarding the Use of Timely and Adequate Notices During an Eligibility Questionnaire Mail-Out Project
- 1977 ADM-26B Citizenship and Alien Status as a Condition for Public Assistance and Care
- 1977 ADM-26 Citizenship and Alien Status as a Condition for Public Assistance and Care
- 1977 ADM-25 Chapter 77 of the Laws of 1977: Duplicate Assistance Payments
- 1977 ADM-22 Settlement in the Case of Jefferies v. Sugerman, Clarification of Policy with Respect to Four-Year College Students Who Are Applicants and Recipients of AFDC
- 1977 ADM-06 Recoveries of Advance Allowances for Rent and/or Utilities (Reves v. Dumpson & Lavine)

INFs

- 1977 INF-23 Access to Social Security Administration Income Information
- I977 INF-15 Pass-Through of Federal Cost-of-Living Increases to SSI Recipients and Increases in State Supplement Payments
- 1977 INF-12 List of Available Records for NYS DSS - Freedom of Information Law
- 1977 INF-09 Upgrading of "Less Than Honorable Discharge from Military Service"
- 1977 INF-01 Recent SSI Legislation

1976

ADMs

- 1976 ADM-122 Clarification of Policy, Sanctions for Voluntarily Terminating Employment, Milne, et al. vs. Lavine, et al.
- 1976 ADM-121 Miscellaneous Shelter Grants and Allowances
- 1976 ADM-115 Date of Initial Entitlement of Assistance for Eligible Applicants
- 1976 ADM-110 EAA Policy: Claiming for Repairs To, or Maintenance On, Property Not Owned by the SSI Occupant
- 1976 ADM-104 Exceptions to the Timely Notice Requirement in Certain Cases of Proposed Adverse Action
- 1976 ADM- 87 EAF Clarification of Policy
- 1976 ADM-60 Privacy Act Notice – Disclosure and Use of Social Security Number
- 1976 ADM-31 Replacement Cost of Clothing
- 1976 ADM-09 Client Reminder to Report Change
- 1976 ADM-09A Client Reminder to Report Change (Spanish Version)
- 1976 ADM-07 Welfare Enumeration – Revision of Required Procedures

INFs

- 1976 INF-35 Requirement for Use of New Forms DSS-2655 and DSS-2657
- 1976 INF-34 Bonus Payments to ADC Families Under Title IV-D
- 1976 INF-33 List of Available Records for NYS DSS Freedom of Information Law
- 1976 INF-25 Federal Policy Clarification
- 1976 INF-23 Digest of Laws of 1976 Relating to Social Services
- 1976 INF-22 Family Planning Services Flyer, "The Stork Should Be An Invited Guest"
- 1976 INF-14 List of Available Records for NYS DSS Freedom of Information Law
- 1976 INF-13 Evidentiary Requirements for Case Actions and FH for "Man-in-House" Cases
- 1976 INF-09 Title IV-D, Change of Payee Under Assignment of Right
- 1976 INF-02 EAF Court Decision – Baumes v. Lavine
- 1976 INF-01 Introduction to DSS-2487, Notice of CETA Referral or Enrollment

1975

ADMs

- 1975 ADM-131 1975 Index to ADM/INF Letters and List of Releases Superseded in 1975
- 1975 ADM-108 EAA-Program Amendment and Expansion
- 1975 ADM-86 N.Y. Court of Appeals Decision: Jones v. Lavine (Westchester Co.); Domine and Gipson v. Lavine (Albany Co.); Duplicate Assistance Payments Under the Emergency Assistance for

Families (EAF) Program (S.S.L. § 350-j; 18 NYCRR § 372.2(c); Expedited Hearings Under EAF

- 1975 ADM-85 MA Eligibility of Children in Foster Care
- 1975 ADM-78 Expedited Hearings for EAF/EAA
- 1975 ADM-73 Family Planning Services

INFs

- 1975 INF-56 List of Available Records for NYS DSS Freedom of Information Law
- 1975 INF-45 Federal Legislation, "Emergency Housing Act of 1975" (PL 94-50)
- 1975 INF-42 Improper Practices by Rental Brokers
- 1975 INF-33 Final Federal Regulations Under Title XX
- 1975 INF-29 Implementation of New Federal Paternity and Support Requirements
- 1975 INF-25 Desk Aid – CETA - Program and Policies
- 1975 INF-11 Model Regulations for Public Access to Records Freedom of Information Law
- 1975 INF-09 Interim Payments Under Home Relief for SSI Eligibles – Continuation of Instructions in 74-ADM-176
- 1975 INF-07 Family Planning Services – Flyer "The Smaller Size"

1974

ADMs

- 1974 ADM-132 Freedom of Information Law: Implementation by Local Districts
- 1974 ADM-124 Emergency Assistance to Adults (EAA)
- 1974 ADM-96 Continuation of Assistance Payments, Medical Assistance Authorization and Food Stamp Authorization When Fair Hearing is Requested
- 1974 ADM-83 Fraud Investigations of Voluntary Case Closings Occurring During the Public Assistance and Medical Assistance Face-to-Face Recertification Programs
- 1974 ADM-79 Standards of Assistance – Monthly Grants and Allowances
- 1974 ADM-26 Recommended Procedure to Reduce the Incidence of Illegal Welfare Check Cashing
- 1974 ADM-24 Shelter Allowances
- 1974 ADM-11 Averaging of Earned Income for ADC Recipients
- 1974 ADM-06 Emergency Assistance to Adults

INFs

- 1974 INF-34 PA for Strikers
- 1974 INF-28 Disregards of Payment Received Pursuant to the Alaska Native Claims Act
- 1974 INF-20 Information Flow and Processing, PA Eligibility

1973

WIN ADM

- 1973 WIN ADM-09 Application of #30 and 1/3 Earned Income Disregard

PWDs (Administrative Letters)

- 1973 PWD-182 Clarification of Admin. Letter 73 PWD-159 Relating to Investigation and Eligibility; Continued Federal Court Order in Lewis v. Lavine Admin. Letter 73 PWD-88
- 1973 PWD-173 Right to Apply for Public Assistance and Action of Suspension of Assistance Eliminated
- 1973 PWD-161 Addendum to Admin. Letter 73 PWD-93 of 6/18/73 (Requirements Applicable to Fraud Cases)
- 1973 PWD-159 Investigation and Eligibility
- 1973 PWD-157 Application for Public Assistance
- 1973 PWD-131 Dublino v. NYS DSS (Non-Win Districts Only)
- 1973 PWD-124 Security Deposit for Gas and Electric Service
- 1973 PWD-09 (1) State Approval for Sale of Conveyed Real Estate prior to Death of Recipient (2) Redemption of Real Property After a Deed or Mortgage Has Been Given to a Social Services District

INFs

- 1973 INF-48 Recipient Non-Payment of Shelter Expenses
- 1973 INF-34 Digest of Laws of 1973 Relating to Social Services
- 1973 INF-13 NYS DSS Response to Natural Disasters
- 1973 INF-01 Release of Information to Internal Revenue Service

1972

PWDs (Administrative Letters)

- 1972 PWD-157 Decisions & Actions
- 1972 PWD-149 Dublino Case Court Order: HR Employables; Notice to Recipients Relative To Right to Fair Hearing on Determination of Employability pursuant to Section 131.4 of the Social Services law and Part 385 of the Regulations
- 1972 PWD-148 Court Order in Case of Dublino v. Wyman (New York State Work Rules)
- 1972 PWD-147 Inclusion of Full Shelter Allowance in One Semi-Monthly Check
- 1972 PWD-137 Shelter Payments – Chapter 943 Laws of 1972
- 1972 PWD-133 laws of 1972 – Amendment to Social Services Law, in Relation to Rent Security Deposits
- 1972 PWD-84 Revised WIN Program (III), Budgeting of WIN Enrollees Who Are Employed or in OJT
- 1972 PWD-23 Public Works Project – Workmen's Compensation
- 1972 PWD-16 Inquiry and Report on Unemployment Insurance Benefits Form DSS-1416
- 1972 PWD-08 FSP – Instructions for Local Districts on Recipient Claim Determinations

INF

- 1972 INF-37 Educational Services for Children of Families Residing in Motel/Hotels

1971

PWDs (Administrative Letters)

- 1971 PWD-105 Photo ID Cards
- 1971 PWD-103 Grouping of Districts by PA Caseload
- 1971 PWD-81 Photo ID Cards
- 1971 PWD-75 Possible Travel to be Reporting to DA
- 1971 PWD-68 Chapter 123 of the Laws of 1971
- 1971 PWD-63 Photo Identification Cards
- 1971 PWD-62 Volunteers
- 1971 PWD-61 Lopez v. Wyman
- 1971 PWD-55 Welfare Residency Requirements
- 1971 PWD-46 Study of Characteristics of Recipients of Home Relief
- 1971 PWD-41 Separation of Services, Guidelines Pursuant to Chapter 109, Laws of 1971, Supplemental to Admin Letter '71 PWD-23
- 1971 PWD-21 Standards of Assistance

1970

PWD (Administrative Letter)

- 1970 PWD-84 Location of SSD Office

INF

- 1970 INF-22 Digest of Laws of 1970 Relating to Social Services

1968

PWDs (Administrative Letters)

- 1968 PWD-41 Exemption of Income and Resources
- 1968 PWD-13 Reporting of ADC Children in Need Due to Absence of Parents

INFs

- 1968 INF-29 Digest of Laws of 1968 Relating to Social Services
- 1968 INF-17 Cuban Refugee Program: Adjustment of Status from Parolee to Permanent Resident

Manual Bulletins (undated)

MB 195 - Compilation of Requirements for the Provision of Social Services

MB 185 - EAF
MB 181 - Work – Related Benefits
MB 178 - Protection Against Discriminatory Practices
MB 175 - Caseload and Supervisory Standards
MB 169 - Requirements Applicable to Fraud Cases
MB 137b - Disclosure of Information
MB 134 - Standard of Need
MB 128 - Fair Hearings
MB 91b - Determination of Initial and Continuing Eligibility for Public Assistance or Care
MB 90h - Official Releases
MB 26a - Restoring Employables to Self-Support
MB 17h - Distribution of Informational Pamphlets and Notification to Applicants and Recipients of Public Assistance and Care

# SECURITIES OFFERINGS

## STATE NOTICES

Published pursuant to provisions of General Business Law
[Art. 23-A, § 359-e(2)]

DEALERS; BROKERS

2241 Vista Ridge Manager LLC
7545 Irvine Center Dr., Suite 200, Irvine, CA 92618
*State or country in which incorporated* — Delaware limited liability company

BW Gas & Convenience Onshore Fund, L.P.
c/o Brookwood Financial Partners, LLC, 138 Conant St., Beverly, MA 01915
*Partnership* — BW Gas & Convenience Fund GP, LLC

CalWest Bancorp
Two Venture, Suite 120, Irvine, CA 92618
*State or country in which incorporated* — California

Capital Real Estate - Omnia McClintock, LLC
1800 Larimer St., Suite 1700, Denver, CO 80202
*State or country in which incorporated* — Colorado limited liability company

DW Healthcare Management IV, L.L.C.
1413 Center Dr., Suite 220, Park City, UT 84098
*State or country in which incorporated* — Delaware

DxNA LLC
180 N. 300 E, Suite 201, St. George, UT 84770
*State or country in which incorporated* — Delaware

Eight SPV 1, L.P.
501 Second St., Suite 300, San Francisco, CA 94107
*Partnership* — Eight SPV GP 1, LLC, general partner

General Agriculture Corporation
801 Pl. B., Yonghe, No. 28, AnDingMen E. St., Beijing, China 100007
*State or country in which incorporated* — Delaware

Go Club Golf, LLC
777 Terrace Ave., Suite 503, Hasbrouck Heights, NJ 07604
*State or country in which incorporated* — Nevada

GWFS Equities, Inc.
8525 E. Orchard Rd., 2T3, Greenwood Village, CO 80111-5002
*State or country in which incorporated* — Delaware

HJR Energy Partnership L.P.
600 Travis St., Suite 6650, Houston, TX 77002
*Partnership* — HJR Capital LLC

HJSI Athena Portfolio Finance, LLC
2150 Post Rd., Suite 301, Fairfield, CT 06824

Independent Bank Corp.
288 Union St., Rockland, MA 02370
*State or country in which incorporated* — Massachusetts

Investment Managers Series Trust
235 W. Galena St., Milwaukee, WI 53212
*State or country in which incorporated* — Delaware

Investment Managers Series Trust II
235 W. Galena St., Milwaukee, WI 53212
*State or country in which incorporated* — Delaware

Morgan Rio Private Fund II, LP
c/o Morgan Rio Asset Partners II, LLC, 135 E. 57th St., 23rd Fl., New York, NY 10022
*Partnership* — Morgan Rio Asset Partners II, LLC

MPNYC Fund I L.P.
c/o MetaProp Holdings LLC, 18 W. 21st St., 4th Fl., New York, NY 10010
*Partnership* — MPNYC GP I, LLC

Newbridge Securities Corporation
5200 Town Center Circle, Tower One, Suite 306, Boca Raton, FL 33486
*State or country in which incorporated* — Virginia

Papyrus Capital Fund LP
1185 Avenue of the Americas, Suite 1750, New York, NY 10036
*Partnership* — Papyrus Capital GP LLC

PharmaCann LLC
1140 Lake St., Suite 304, Oak Park, IL 60302

PHI Single Index Option Fund, LP
455 S. Recker Rd., #3084, Gilbert, AZ 85296
*Partnership* — Pacini Hatfield Investments, LLC

R.C. Temme Corporation
P.O. Box 667, Woodland Hills, CA 91365
*State or country in which incorporated* — California

Rising Tide Fund LLC
683 Brannan St., #114, San Francisco, CA 94107
*State or country in which incorporated* — Delaware

Silver Oak Services Partners III, L.P.
1560 Sherman Ave., Suite 1200, Evanston, IL 60201
*Partnership* — Silver Oak Management III, L.P.

Silver Oak Services Partners III-A, L.P.
1560 Sherman Ave., Suite 1200, Evanston, IL 60201
*Partnership* — Silver Oak Management III, L.P.

Socialform, Inc.
715 Montana Dr., Morgansville, NJ 07751
*State or country in which incorporated* — Delaware

SPM Macro Fund, L.P.
c/o Structured Servicing Transaction Group, L.L.C., 2215-B Renaissance Dr., Suite 5, Las Vegas, NV 89119
*Partnership* — Structured Servicing Transaction Group, L.L.C., general partner

SPM Macro Offshore Fund, SPC
190 Elgin Ave., George Town, Grand Cayman, Cayman Islands KY1-9005
*State or country in which incorporated* — Cayman Islands

Waldman Capital Management, LLC
10575 E. Key Dr., Boca Raton, FL 33498

# ADVERTISEMENTS FOR BIDDERS/CONTRACTORS

## NOTICE TO BIDDERS
UPGRADE
POWER LINES
Highland Residential Center
Highland, Ulster County

Sealed bids for Project No. 42575-E, for Electrical Work, Upgrade Power Lines, Highland Residential Center, 629 North Chodikee Lake Road, Highland (Ulster County), NY, will be received by the Office of General Services (OGS), Design & Construction Group (D&C), Contract Administration, 35th Fl., Corning Tower, Empire State Plaza, Albany, NY 12242, on behalf of the Office of Children and Family Services, until 2:00 p.m. on Wednesday, January 27, 2016, when they will be publicly opened and read. Each bid must be prepared and submitted in accordance with the Instructions to Bidders and must be accompanied by a certified check, bank check, or bid bond in the amount of $84,500 for E.

All successful bidders on a multiple trade project or the successful bidder with a bid over $200,000 on a single trade project, will be required to furnish a Performance Bond and a Labor and Material Bond in the statutory form of public bonds required by Sections 136 and 137 of the State Finance Law, each for 100% of the amount of the Contract estimated to be between $3,000,000 and $4,000,000 for E. The requirement for Labor and Material and Performance Bonds may be waived on a bid under $200,000 on a single trade project.

Pursuant to State Finance Law § 139-j and § 139-k, this solicitation includes and imposes certain restrictions on communications between OGS D&C and a bidder during the procurement process. A bidder is restricted from making contacts from the earliest notice of intent to solicit offers through final award and approval of the Procurement Contract by OGS D&C and Office of the State Comptroller ("restricted period") to other than designated staff unless it is a contact that is included among certain statutory exceptions set forth in State Finance Law § 139-j(3)(a). Designated staff are Frank Peris and Carl Ruppert in the Bureau of Contract Awards, telephone (518) 474-0203, fax (518) 473-7862 and John Lewyckyj, Deputy Director of Design and Construction, telephone (518) 474-0201, fax (518) 486-1650. OGS D&C employees are also required to obtain certain information when contacted during the restricted period and make a determination of the responsibility of the bidder pursuant to these two statutes. Certain findings of non-responsibility can result in rejection for contract award and in the event of two findings within a 4 year period, the bidder is debarred from obtaining governmental Procurement Contracts. Bidders responding to this Advertisement must familiarize themselves with the new Legislative and State Finance Law requirements and will be expected to affirm that they understand and agree to comply on the bid form. Further information about these requirements can be found within the project manual or at: http://ogs.ny.gov/aboutogs/regulations/defaultAdvisoryCouncil.asp.

The substantial completion date for this project is 480 days after the Agreement is approved by the Comptroller.

The only time prospective bidders will be allowed to visit the job site to take field measurements and examine existing conditions of the project area will be at 10:00 a.m. on January 14, 2016 at Highland Residential Center, 629 North Chodikee Lake Road, OGS Field Office Trailer, Highland, NY. Prospective bidders are urged to visit the site at this time. Prospective bidders or their representatives attending the pre-bid site visit will not be admitted on facility grounds without proper photo identification. Note that parking restrictions and security provisions will apply and all vehicles will be subject to search.

For assistance pertaining to the site visit only, please phone Paul Richards (845) 691-8968.

It is the policy of the State and the Office of General Services to encourage meaningful minority business enterprise participation in this project by contractors, subcontractors and suppliers who perform commercially useful functions under the Contract, and all bidders are expected to cooperate in implementing this policy.

The Office of General Services reserves the right to reject any or all bids.

The Bidding and Contract Documents for this Project are available on compact disc (CD) only, and may be obtained for an $8.00 deposit per set, plus a $2.00 per set shipping and handling fee. Contractors and other interested parties can order CD's on-line through a secure web interface available 24 hours a day, 7 days a week. Please use the following link at the OGS website for ordering and payment instructions: http://www.ogs.ny.gov/bu/dc/esb/acquirebid.asp.

For questions about purchase of bid documents, please send an e-mail to D&C.Plans@ogs.ny.gov, or call toll free at 1-877-647-7526.

For additional information on this project, please use the link below and then click on the project number: https://online.ogs.ny.gov/dnc/contractorConsultant/esb/ESBPlansAvailableIndex.asp.

*John D. Lewyckyj*, Deputy Director
OGS - Design & Construction Group

# NOTICE OF AVAILABILITY
## OF STATE AND FEDERAL FUNDS

Department of Environmental Conservation
625 Broadway
Albany, NY 12233-4250

ADIRONDACK PARK MUNICIPALITIES AND NOT-FOR-PROFIT ORGANIZATIONS

**2015 Adirondack Park Smart Growth Implementation Grants**

Applications will be accepted through February 12, 2016 for Adirondack Park Smart Growth Implementation Grants. The Department of Environmental Conservation (DEC), in partnership with the Adirondack Park Agency and the Department of State, is soliciting applications from municipalities and not-for-profit corporations. Grants will support key projects that build on previous smart growth planning and economic development activities by implementing a specific strategy or objective. DEC seeks capital projects and community development initiatives that link environmental protection, economic development and community livability within the special conditions of the Adirondack Park. This round focuses supplemental points toward regional or parkwide projects and projects that enhance the tourism economic sector by contributing to the establishment of tourism amenities, support services, attractions, accommodations and infrastructure.

DEC anticipates approximately $400,000 will be made available from the New York State Environmental Protection Fund (EPF) for eligible projects under this grant opportunity. Up to 25% of this funding, or $100,000, may be awarded to not-for-profit corporations as lead applicant, applying with municipal partner(s). Eligible projects must be located wholly within the Adirondack Park and must be consistent with the Smart Growth principles outlined in the Request For Applications (RFA). Grants amounts will range from a minimum amount of $5,000 up to the maximum amount of $75,000.

Apply Online at www.grantsgateway.ny.gov. Paper application submissions will not be accepted.

IMPORTANT: If you have not used the Grants Gateway before, it will take time to get set up in the system and to navigate the application forms. Please start your application as soon as possible. Detailed instructions for registering to use the grants gateway are found on page 2 of the RFA under the heading IMPORTANT NOTICE FOR VENDORS/ APPLICANTS/ GRANTEES.

The RFA is available in the Grants Gateway. Additional information is also available at www.dec.ny.gov/lands/103864.html. If you have questions on eligibility requirements or the application process, please contact Dylan Walrath, Department of Environmental Conservation, Division of Lands and Forests, 625 Broadway, Albany, NY 12233-4250 at (518) 402-9405 or LF.Lands@dec.ny.gov (Subject: Smart Growth).

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Department of Environmental Conservation
625 Broadway
Albany, NY 12233-4250

CATSKILL PARK MUNICIPALITIES AND NOT-FOR-PROFIT ORGANIZATIONS

**2015 Catskill Park Smart Growth Implementation Grants**

Applications will be accepted through February 12, 2016 for Catskill Park Smart Growth Implementation Grants. The Department of Environmental Conservation (DEC), in partnership with the Department of State, is soliciting applications from municipalities and not-for-profit corporations. Grants will support key projects that build on previous smart growth planning and economic development activities by implementing a specific strategy or objective. DEC seeks capital projects and community development initiatives that link environmental protection, economic development and community livability within the special conditions of the Catskill Park.

DEC anticipates approximately $200,000 will be made available from the New York State Environmental Protection Fund (EPF) for eligible projects under this grant opportunity. Up to 25% of this funding, or $50,000, may be awarded to not-for-profit corporations as lead applicant, applying with municipal partner(s). Eligible projects must be located wholly within the boundaries of a Catskill Park municipality (Towns and Villages located partially or wholly within the Catskill Park Blue Line) and must be consistent with the Smart Growth principles outlined in the Request For Applications (RFA). Grants amounts will range from a minimum amount of $5,000 up to the maximum amount of $75,000.

Apply Online at www.grantsgateway.ny.gov. Paper application submissions will not be accepted.

IMPORTANT: This is a new process and if you have not used the Grants Gateway before, it will take time to get set up in the system and to navigate the application forms. Please start your application as soon as possible. Detailed instructions for registering to use the grants gateway are found on page 2 of the RFA under the heading IMPORTANT NOTICE FOR VENDORS/ APPLICANTS/ GRANTEES.

The RFA is available in the Grants Gateway. Additional information is also available at www.dec.ny.gov/lands/103864.html. If you have questions on eligibility requirements or the application process, please contact Dylan Walrath, Department of Environmental Conservation, Division of Lands and Forests, 625 Broadway, Albany, NY 12233-4250 at (518) 402-9405 or LF.Lands@dec.ny.gov (Subject: Smart Growth).

# MISCELLANEOUS
# NOTICES/HEARINGS

## Notice of Abandoned Property Received by the State Comptroller

Pursuant to provisions of the Abandoned Property Law and related laws, the Office of the State Comptroller receives unclaimed monies and other property deemed abandoned. A list of the names and last known addresses of the entitled owners of this abandoned property is maintained by the office in accordance with Section 1401 of the Abandoned Property Law. Interested parties may inquire if they appear on the Abandoned Property Listing by contacting the Office of Unclaimed Funds, Monday through Friday from 8:00 a.m. to 4:30 p.m., at:

1-800-221-9311
or visit our web site at:
www.osc.state.ny.us

Claims for abandoned property must be filed with the New York State Comptroller's Office of Unclaimed Funds as provided in Section 1406 of the Abandoned Property Law. For further information contact: Office of the State Comptroller, Office of Unclaimed Funds, 110 State St., Albany, NY 12236.

## PUBLIC NOTICE
### Deferred Compensation Board

Pursuant to the provisions of 9 NYCRR, Section 9003.2, authorized by Section 5 of the State Finance Law, the New York State Deferred Compensation Board, beginning Monday, January 11, 2016 is soliciting proposals from Financial Organizations to provide Stable Value Structure Management services. The Stable Value Structure Manager will be responsible for the aggregate risk characteristics of the Plan's Stable Income Fund. The Stable Income Fund is offered as an investment option under the Deferred Compensation Plan for Employees of the State of New York and Other Participating Public Jurisdictions, a plan meeting the requirements of Section 457 of the Internal Revenue Code and Section 5 of the State Finance Law, including all rules and regulations issued pursuant thereto. A copy of the request for proposals may be obtained from Tom Shingler, Callan Associates, Inc. at: shingler@callan.com. All proposals must be received no later than the close of business on February 22, 2016.

## PUBLIC NOTICE
### Department of State
### F-2015-0885
### Date of Issuance - January 13, 2015

The New York State Department of State (DOS) is required by Federal regulations to provide timely public notice for the activities described below, which are subject to the consistency provisions of the Federal Coastal Zone Management Act of 1972, as amended.

The applicant has certified that the proposed activity complies with and will be conducted in a manner consistent with the approved New York State Coastal Management Program. The applicant's consistency certification and accompanying public information and data are available for inspection at the New York State Department of State offices located at One Commerce Plaza, 99 Washington Avenue, in Albany, New York.

In F-2015-0885, New York State Office of Parks, Recreation and Historic Preservation (NYSOPRHP), is proposing Fort Niagara Fishing Access and Boat Launch Improvements along the eastern shore of Niagara River at the mouth of Lake Ontario, within the Fort Niagara State Park fishing area in the Village of Youngstown. NYSOPRHP proposes to construct a new floating aluminum dock system with ADA compliant gangways, repair and extend two (2) boat launch ramps, and restore the shoreline through removal of and improvement to deteriorated shoreline protection, at the Fort Niagara State Park fishing area. The stated purpose of the proposed action is that the "Project improves the experience for visitors, improves ADA accessibility, increases boaters and fishing access, and improves shoreline appearance."

Any interested parties and/or agencies desiring to express their views concerning any of the above proposed activities may do so by filing their comments, in writing, no later than 4:30 p.m., 30 days from the date of publication of this notice, or, February 12, 2016.

Comments should be addressed to the Consistency Review Unit, New York State Department of State, Office of Planning and Development, One Commerce Plaza, 99 Washington Avenue, Albany, New York 12231. Telephone (518) 474-6000; Fax (518) 473-2464. Electronic submissions can be made by email at: CR@dos.ny.gov.

This notice is promulgated in accordance with Title 15, Code of Federal Regulations, Part 930.

## PUBLIC NOTICE
### Department of State

Pursuant to Governor Cuomo's Veto Message No. 210, dated September 27, 2013, the New York State Department of State hereby gives notice of the following:

Governor Andrew M. Cuomo has directed the Department of State to undertake "an analysis to determine if State recognition [of the Montaukett Indians] is warranted." The Department is now proceeding with the information-gathering necessary to conduct the analysis requested by the Governor, for the purpose of preparing a responsive report and recommendation.

To provide the opportunity for any group claiming to constitute a Montaukett Indian tribe to submit relevant information for consideration during this process, the Department of State is hereby requesting that any such group contact the Department's Office of General Counsel by writing to the address provided below on or before May 1, 2016 and providing the following initial contact information: the organization's official mailing address and email address (if available), the names and contact information for the organization's authorized officials/representatives, and a copy of any organizational bylaws/governing documents.

*For further information, please contact*: Department of State, Office of General Counsel, Attn: James Leary, Associate Attorney, One Commerce Plaza, 99 Washington Ave., Suite 1120, Albany, NY 12231-0001, (518) 474-6740, or e-mail montaukett.analysis@dos.ny.gov

## PUBLIC NOTICE
### Department of State
### Date of Issuance - January 13, 2016

The New York State Department of State (DOS) is required by Federal regulations to provide timely public notice for the activities

described below, which are subject to the consistency provisions of the Federal Coastal Zone Management Act of 1972, as amended.

The applicant has certified that the proposed activity complies with and will be conducted in a manner consistent with the approved New York State Coastal Management Program. The applicant's consistency certification and accompanying public information and data are available for inspection at the New York State Department of State offices located at One Commerce Plaza, 99 Washington Avenue, in Albany, New York.

Motivia Enterprises, LLC, Dock off of Waterfront Street, New Haven CT; The applicant is proposing to perform maintenance dredging of approximately 14,880 cubic yards with subsequent open-water placement at the Central Long Island Sound Disposal Site (CLIS) in Long Island Sound.

Any interested parties and/or agencies desiring to express their views concerning the above proposed activities may do so by filing their comments, in writing, no later than 4:30 p.m., 15 days from the date of publication of this notice, or, by January 28, 2016.

Comments should be addressed to the New York State Department of State, ATTN: Consistency Review Unit, One Commerce Plaza, Suite 1010, 99 Washington Avenue, Albany, New York 12231. Telephone (518) 474-6000; Fax (518) 473-2464. Comments can also be submitted electronically via e-mail at: CR@dos.ny.gov.

This notice is promulgated in accordance with Title 15, Code of Federal Regulations, Part 930.